

*Handwritten signature*



**THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE**

30-30 THOMSON AVENUE  
LONG ISLAND CITY, NEW YORK 11101-3045  
TELEPHONE (718) 391-1000  
WEBSITE [www.nyc.gov/buildnyc](http://www.nyc.gov/buildnyc)

**CONTRACTOR**

**VOLUME 1 OF 3**

**BID BOOKLET**

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED FOR:

**PROJECT ID: SE809**

**CONSTRUCTION OF SANITARY AND STORM SEWERS AND APPURTENANCES IN N.B. WHITESTONE EXPRESSWAY SERVICE ROAD BETWEEN 25<sup>TH</sup> AVENUE AND FLUSHING RIVER; ETC.**

**INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC SIGNAL WORK**

Together With All Work Incidental Thereto  
**BOROUGH OF QUEENS  
CITY OF NEW YORK**

FOR THE DEPARTMENT OF ENVIRONMENTAL PROTECTION  
PREPARED BY  
IN-HOUSE DESIGN

**MARCH 25, 2011**



**118106**





#2

BID FORM  
THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE

BID FOR FURNISHING ALL LABOR AND  
MATERIAL NECESSARY AND REQUIRED FOR:

PROJECT ID: SE809

CONSTRUCTION OF SANITARY AND STORM SEWERS AND APPURTENANCES IN N.B.  
WHITESTONE EXPRESSWAY SERVICE ROAD BETWEEN 25<sup>TH</sup> AVENUE AND FLUSHING RIVER;  
ETC.

INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC SIGNAL WORK

Together With All Work Incidental Thereto  
BOROUGH OF QUEENS  
CITY OF NEW YORK

Name of Bidder: Delaney Associates, LP

Date of Bid Opening: 5/24/11

Bidder is: (Check one, whichever applies) Individual ( ) Partnership  Corporation ( )

Place of Business of Bidder: 125-08 26 Avenue Flushing, NY 11354

Bidder's Telephone Number: 718-359-4300 Fax Number: 718-886-1519

Bidder's E-Mail Address: DelaneyAssociates@gmail.com

Residence of Bidder (If Individual): \_\_\_\_\_

If Bidder is a Partnership, fill in the following blanks:

| Names of Partners                           | Residence of Partners     |
|---|---------------------------|
| <u>Kenneth J. Delaney Contracting Corp.</u> | <u>125-08 26 Avenue</u>   |
| <u>P.S.S. Utilities Corp.</u>               | <u>Flushing, NY 11354</u> |

If Bidder is a Corporation, fill in the following blanks:

Organized under the laws of the State of \_\_\_\_\_

Name and Home Address of President: \_\_\_\_\_

\_\_\_\_\_

Name and Home Address of Secretary: \_\_\_\_\_

\_\_\_\_\_

Name and Home Address of Treasurer: \_\_\_\_\_

## BID FORM

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The above-named Bidder affirms and declares:

1. The said bidder is of lawful age and the only one interested in this bid; and no person, firm or corporation other than hereinbefore named has any interest in this bid, or in the Contract proposed to be taken.
2. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief: (1) the prices in this bid have been arrived at independently without collusion, consultation, communication or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor; (2) unless otherwise required by law, the prices quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and (3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.
3. No councilman or other officer or employee or person whose salary is payable in whole or in part from the City Treasury is directly or indirectly interested in this bid, or in the supplies, materials, equipment, work or labor to which it relates, or in any of the profits thereof.
4. The bidder is not in arrears to the City of New York upon debt or contract or taxes, and is not a defaulter, as surety or otherwise, upon any obligation of the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York or State of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except as set forth on the Affirmation included as page C-6 of this Bid Booklet.

The bidder hereby affirms that it has paid all applicable City income, excise and other taxes for all years it has conducted business activities in New York City.

5. The bidder, as an individual, or as a member, partner, director or officer of the bidder, if the same be a firm, partnership or corporation, executes this document expressly warranting and representing that should this bid be accepted by the City and the Contract awarded to him, he and his subcontractors engaged in the performance: (1) will comply with the provisions of Section 6-108 of the Administrative Code of the City of New York and the non-discrimination provisions of Section 220a of the New York State Labor Law, as more expressly and in detail set forth in the Agreement; (2) will comply with Section 6-109 of the Administrative Code of the City of New York in relation to minimum wages and other stipulations as more expressly and in detail set forth in the Agreement; (3) have complied with the provisions of the aforesaid laws since their respective effective dates, and (4) will post notices to be furnished by the City, setting forth the requirements of the aforesaid laws in prominent and conspicuous places in each and every plant, factory, building and structure where employees engaged in the performance of the Contract can readily view it, and will continue to keep such notices posted until the supplies, materials and equipment, or work labor and services required to be furnished or rendered by the

Contractor have been finally accepted by the City. In the event of any breach or violation of the foregoing, the Contractor may be subject to damages, liquidated or otherwise, cancellation of the Contract and suspension as a bidder for a period of three years. (The words, "the bidder", "he", "his", and "him" where used herein shall mean the individual bidder, firm, partnership or corporation executing this bid).

6. Compliance Report

The bidder, as an individual, or as a member, partner, director, or officer of the bidder, if the same be a firm, partnership, or corporation, (1) represents that his attention has been specifically drawn to Executive Order No. 50, dated, April 25, 1980, on Equal Employment Compliance of the contract, and (2) warrants that he will comply with the provisions of Executive Order No. 50. The Employment Report must be submitted as part of the bid.

The bidder, as an individual, or as a member, partner, director, or officer of the bidder, if the same be a firm, partnership, or corporation, executes this document expressly warranting that he will comply with: (1) the provision of the contract on providing records, Chapter 8.

7. By submission of this bid, the bidder certifies that it now has and will continue to have the financial capability to fully perform the work required for this contract. Any award of this contract will be made in reliance upon such certification. Upon request therefor, the bidder will submit written verification of such financial capability in a form that is acceptable to the department.

8. In accordance with Section 165 of the State Finance Law, the bidder agrees that tropical hardwoods, as defined in Section 165 of the State Finance Law, shall not be utilized in the performance of this Contract, except as the same are permitted by the foregoing provision of law.

9. The bidder has visited and examined the site of the work and has carefully examined the Contract in the form approved by the Corporation Counsel, and will execute the Contract and perform all its items, covenants and conditions, and will provide, furnish and deliver all the work, materials, supplies, tools and appliances for all labor and materials necessary or required for the hereinafter named work, all in strict conformity with the Contract, for the prices set forth in the Bid Schedule:

**(NO TEXT ON THIS PAGE)**

BID FORM

PROJECT ID: SE809

**TOTAL BID PRICE:** In the space provided below, the Bidder shall indicate its Total Bid Price in figures. Such Total Bid Price is set forth on the final page of the Bid Schedule.

TOTAL BID PRICE:  
(a/k/a BID PROPOSAL)

\$ 56,221,907.50

f-c  
6-3-11

BIDDER'S SIGNATURE AND AFFIDAVIT

**WARNING!!!** If M/WBE goals have been established for this Contract, you **MUST** complete and submit the Affirmations on the 2nd page of the Subcontractor Utilization Plan (or a pre-approved waiver) at the time you submit your bid. Failure to comply will result in the rejection of your bid.

Bidder: DeLaney Associates, LP

By: Karen J. [Signature], PARTNER  
(Signature of Partner or corporate officer)

Attest:  
(Corporate Seal)

Secretary of Corporate Bidder

Affidavit on the following page should be subscribed  
and sworn to before a Notary Public

**BID FORM (TO BE NOTARIZED)**

**AFFIDAVIT WHERE BIDDER IS AN INDIVIDUAL**

STATE OF NEW YORK, COUNTY OF \_\_\_\_\_ ss:

\_\_\_\_\_ being duly sworn says:  
I am the person described in and who executed the foregoing bid, and the several matters therein stated are in all respects true.

\_\_\_\_\_  
(Signature of the person who signed the Bid)

Subscribed and sworn to before me this  
\_\_\_\_\_ day of \_\_\_\_\_,

\_\_\_\_\_  
Notary Public

**AFFIDAVIT WHERE BIDDER IS A PARTNERSHIP**

STATE OF NEW YORK, COUNTY OF Queens ss:

KAREN JARZYNSKA being duly sworn says:  
I am a member of DELANCY ASSOCIATES LP the firm described in and which executed the foregoing bid. I subscribed the name of the firm thereto on behalf of the firm, and the several matters therein stated are in all respects true.

[Signature]  
(Signature of Partner who signed the Bid)

Subscribed and sworn to before me this  
24 day of MAY, 2011

[Signature]  
Notary Public

**Kathleen A. Lane**  
Notary Public State of New York  
No. 01-LA5016554  
Qualified in Queens, New York  
Commission Expires  
August 16, 2013

**AFFIDAVIT WHERE BIDDER IS A CORPORATION**

STATE OF NEW YORK, COUNTY OF \_\_\_\_\_ ss:

\_\_\_\_\_ being duly sworn says:  
I am the \_\_\_\_\_ of the above named corporation whose name is subscribed to and which executed the foregoing bid. I reside at \_\_\_\_\_  
I have knowledge of the several matters therein stated, and they are in all respects true.

\_\_\_\_\_  
(Signature of Partner who signed the Bid)

Subscribed and sworn to before me this  
\_\_\_\_\_ day of \_\_\_\_\_,

\_\_\_\_\_  
Notary Public

**AFFIRMATION**

The undersigned bidder affirms and declares that said bidder is not in arrears to the City of New York upon debt, contract or taxes and is not a defaulter, as surety or otherwise, upon obligation to the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except: \_\_\_\_\_

(If none, the bidder shall insert the word "None" in the space provided above.)

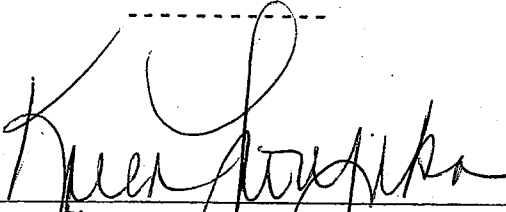
Full Name of Bidder: Delaney Associates LP  
Address: 125-08 20 Avenue  
City FLUSHING State NY Zip Code 11357

CHECK ONE BOX AND INCLUDE APPROPRIATE NUMBER:

A - Individual or Sole Proprietorship\*  
SOCIAL SECURITY NUMBER

B - Partnership, Joint Venture or other unincorporated organization  
EMPLOYER IDENTIFICATION NUMBER  
01-1749525

C - Corporation  
EMPLOYER IDENTIFICATION NUMBER

By:   
Signature  
Title: PARTNER

If a corporation, place seal here

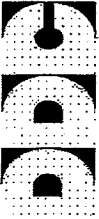
This affirmation must be signed by an officer or duly authorized representative.

\*Under the Federal Privacy Act the furnishing of Social Security Numbers by bidders on City contracts is voluntary. Failure to provide a Social Security Number will not result in a bidder's disqualification. Social Security Numbers will be used to identify bidders, proposers or vendors to ensure their compliance with laws, to assist the City in enforcement of laws, as well as to provide the City a means of identifying of businesses which seek City contracts.

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Contract PIN 8502011SE0018C  
Project ID SE809

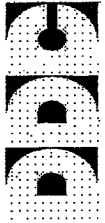


NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

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BID SCHEDULE

- NOTE: (1) The Agency may reject a bid if it contains unbalanced bid prices. An unbalanced bid is considered to be one containing lump sum or unit items which do not reflect reasonable actual costs plus a reasonable proportionate share of the Bidder's anticipated profit, overhead costs, and other indirect costs, anticipated for the performance of the items in question.
- (2) The following bid prices on Unit Price Contracts are to be paid for the actual quantities of the several classes of work in the completed work or structure, and they cover the cost of all work, labor, material, tools, plant and appliances of every description necessary to complete the entire work, as specified, and the removal of all debris, temporary work and appliances.
- (3) PLEASE BE SURE A LEGIBLE BID IS ENTERED, IN INK, FOR EACH ITEM. Alterations must be initialed in ink by the bidder.
- (4) The Extended Amount entered in Column 5 shall be the product of the Estimated Quantity in Column 2 times the Unit Price Bid in Column 4.
- (5) Prospective bidders must examine the Bid Schedule carefully and, before bidding, must advise the Commissioner, in writing, if any pages are missing, and must request that such missing pages be furnished them. The pages of this Bid Schedule are numbered consecutively, as follows: B - 3 through B - 55



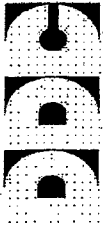
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BID PAGES

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN 8502011SE0018C  
Project ID SE809

| COL. 1<br>ITEM NUMBER<br>(SEQUENCE NO.) | COL. 2<br>ENGINEER'S<br>ESTIMATE OF<br>QUANTITIES | COL. 3<br>CLASSIFICATIONS  | COL. 4<br>UNIT PRICES<br>(IN FIGURES) |     | COL. 5<br>EXTENDED AMOUNTS<br>(IN FIGURES) |     |
|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 4.02 AB-R<br>(001)                      | 150.0<br>S.Y.                                     | ASPHALTIC CONCRETE WEARING COURSE, 1-1/2" THICK  | \$ 14 -                               | -   | \$ 2,100 -                                 | -   |
| 4.02 AF-R<br>(002)                      | 30,500.0<br>S.Y.                                  | ASPHALTIC CONCRETE WEARING COURSE, 2" THICK  | \$ 18 -                               | -   | \$ 549,000 -                               | -   |
| 4.02 CA<br>(003)                        | 8,750.0<br>TONS                                   | BINDER MIXTURE   | \$ 180 -                              | -   | \$ 1,575,000 -                             | -   |
| 4.04 H<br>(004)                         | 1,340.0<br>C.Y.                                   | CONCRETE BASE FOR PAVEMENT, VARIABLE THICKNESS FOR TRENCH RESTORATION, (HIGH-EARLY STRENGTH) | \$ 250 -                              | -   | \$ 335,000 -                               | -   |

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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 4.05 B<br>(005)                         | 275.0<br>C.Y.                                     | REINFORCED CONCRETE PAVEMENT (FULL WIDTH PAVEMENT) | \$ 450 -                              | -   | \$ 123,750 -                               | -   |
| 4.08 AA<br>(006)                        | 4,000.0<br>L.F.                                   | CONCRETE CURB (18" DEEP)                           | \$ 35 -                               | -   | \$ 140,000 -                               | -   |
| 4.09 AE<br>(007)                        | 1,500.0<br>L.F.                                   | STRAIGHT STEEL FACED CONCRETE CURB (21" DEEP)      | \$ 65 -                               | -   | \$ 97,500 -                                | -   |
| 4.09 CD<br>(008)                        | 1,100.0<br>L.F.                                   | CORNER STEEL FACED CONCRETE CURB (18" DEEP)        | \$ 115 -                              | -   | \$ 126,500 -                               | -   |



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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 4.09 CE<br>(009)                        | 150.0<br>L.F.                                     | CORNER STEEL FACED CONCRETE CURB (21" DEEP) | 135                                   | -   | 20,250                                     | -   |
| 4.13 AAS<br>(010)                       | 5,000.0<br>S.F.                                   | 4" CONCRETE SIDEWALK (UNPIGMENTED)          | 11.50                                 | -   | 57,500                                     | -   |
| 4.13 BAS<br>(011)                       | 5,700.0<br>S.F.                                   | 7" CONCRETE SIDEWALK (UNPIGMENTED)          | 15                                    | -   | 85,500                                     | -   |
| 4.13 DE<br>(012)                        | 515.0<br>S.F.                                     | EMBEDDED PREFORMED DETECTABLE WARNING UNITS | 40                                    | -   | 20,600                                     | -   |

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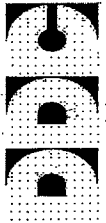
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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 4.15<br>(013)                           | 750.0<br>C.Y.                                     | TOPSOIL                                  | 70                                    | -   | \$ 52,500                                  | -   |
| 4.16 AA<br>(014)                        | 3.0<br>EACH                                       | TREES REMOVED (4" TO UNDER 12" CALIPER)  | 475                                   | -   | \$ 1,425                                   | -   |
| 4.16 AB<br>(015)                        | 2.0<br>EACH                                       | TREES REMOVED (12" TO UNDER 18" CALIPER) | 800                                   | -   | \$ 1,600                                   | -   |
| 4.16 AC<br>(016)                        | 4.0<br>EACH                                       | TREES REMOVED (18" TO UNDER 24" CALIPER) | 1,150                                 | -   | \$ 4,600                                   | -   |



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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 4.16 AD<br>(017)                        | 2.0<br>EACH                                       | TREES REMOVED (24" CALIPER AND OVER)  | \$ 1,500 -                            | -   | \$ 3,000 -                                 | -   |
| 4.16 BAA05<br>(018)                     | 20.0<br>EACH                                      | TREES PLANTED, 2-1/2" TO 3" CALIPER, ALL TYPES, IN 4' X 5' TREE PITS          | \$ 775 -                              | -   | \$ 15,500 -                                | -   |
| 4.16 BRC<br>(019)                       | 5.0<br>EACH                                       | TREES TRANSPLANTED - BARE ROOT APPLICATION, 4" TO UNDER 5" CALIPER, ALL TYPES | \$ 1,995 -                            | -   | \$ 9,975 -                                 | -   |
| 4.16 CAA<br>(020)                       | 85.0<br>EACH                                      | TREES PLANTED, 2-1/2" TO 3" CALIPER, ALL TYPES                                | \$ 700 -                              | -   | \$ 59,500 -                                | -   |

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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 4.16 SS<br>(021)                        | 8.0<br>EACH                                       | TREES PLANTED, 5' TO 6' HIGH, AMELANCHIER<br>CANADENSIS (SHADBUSH) | \$ 395-                               |     | \$ 3,160-                                  |     |
| 4.17 AD<br>(022)                        | 10.0<br>EACH                                      | SHRUBS PLANTED, 36" TO 48" HIGH, ALL TYPES                         | \$ 125-                               |     | \$ 1,250-                                  |     |
| 4.17 AE<br>(023)                        | 10.0<br>EACH                                      | SHRUBS PLANTED, 48" TO 60" HIGH, ALL TYPES                         | \$ 190-                               |     | \$ 1,900-                                  |     |
| 4.18 A<br>(024)                         | 85.0<br>EACH                                      | MAINTENANCE TREE PRUNING (UNDER 12" CAL.)                          | \$ 150-                               |     | \$ 12,750-                                 |     |



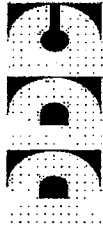
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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 4.18 B<br>(025)                         | 72.0<br>EACH                                      | MAINTENANCE TREE PRUNING (12" TO UNDER 18" CAL.) | 190-                                  | -   | 13,680-                                    | -   |
| 4.18 C<br>(026)                         | 29.0<br>EACH                                      | MAINTENANCE TREE PRUNING (18" TO UNDER 24" CAL.) | 285-                                  | -   | 8,265-                                     | -   |
| 4.18 D<br>(027)                         | 36.0<br>EACH                                      | MAINTENANCE TREE PRUNING (24" CAL. AND OVER)     | 450-                                  | -   | 16,200-                                    | -   |
| 4.19<br>(028)                           | 250.0<br>S.Y.                                     | SODDING  | 17                                    | 50  | 4,375-                                     | -   |



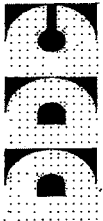


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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 4.21<br>(029)                           | 2,500.0<br>P/HR                                   | TREE CONSULTANT   | \$ 40                                 | -   | \$ 100,000                                 | -   |
| 50.11MS076090<br>(030)                  | 248.0<br>L.F.                                     | 7'-6"W X 9'-0"H SINGLE BARREL FLAT TOP<br>REINFORCED CONCRETE STORM SEWER | \$ 3750                               | -   | \$ 930,000                                 | -   |
| 50.11MS090090<br>(031)                  | 3,063.0<br>L.F.                                   | 9'-0"W X 9'-0"H SINGLE BARREL FLAT TOP<br>REINFORCED CONCRETE STORM SEWER | \$ 3,850                              | -   | \$ 11,792,550                              | -   |
| 50.11MS096090<br>(032)                  | 2,130.0<br>L.F.                                   | 9'-6"W X 9'-0"H SINGLE BARREL FLAT TOP<br>REINFORCED CONCRETE STORM SEWER | \$ 4,000                              | -   | \$ 8,520,000                               | -   |



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| COL. 1<br>ITEM NUMBER<br>(SEQUENCE NO.) | COL. 2<br>ENGINEER'S<br>ESTIMATE OF<br>QUANTITIES | COL. 3<br>CLASSIFICATIONS  | COL. 4<br>UNIT PRICES<br>(IN FIGURES) |     | COL. 5<br>EXTENDED AMOUNTS<br>(IN FIGURES) |     |
|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 50.12B116070090090<br>(033)             | 72.0<br>L.F.                                      | INCREMENTAL COST OF 11'-6"W X 7'-0"H SINGLE BARREL FLAT TOP REINFORCED CONCRETE STORM SEWER WITH TRANSITION SECTIONS IN LIEU OF 9'-0"W X 9'-0"H SINGLE BARREL FLAT TOP REINFORCED CONCRETE STORM SEWER | 750                                   | -   | 54,000                                     | -   |
| 50.21M3C024D<br>(034)                   | 619.0<br>L.F.                                     | 24" R.C.P. CLASS III STORM SEWER, ON CONCRETE CRADLE   | 400                                   | -   | 247,600                                    | -   |
| 50.21M3C066D<br>(035)                   | 608.0<br>L.F.                                     | 66" R.C.P. CLASS III STORM SEWER, ON CONCRETE CRADLE   | 1,200                                 | -   | 729,600                                    | -   |
| 50.21M3E024D<br>(036)                   | 281.0<br>L.F.                                     | 24" R.C.P. CLASS III STORM SEWER, ENCASED IN CONCRETE  | 375                                   | -   | 105,375                                    | -   |

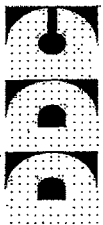


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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 50.21M3E066D<br>(037)                   | 71.0<br>I.F.                                      | 66" R.C.P. CLASS III STORM SEWER, ENCASED<br>IN CONCRETE | \$ 1,500 -                            |     | \$ 106,500 -                               |     |
| 50.31M12<br>(038)                       | 222.0<br>I.F.                                     | 12" E.S.V.P. STORM SEWER, ON CONCRETE<br>CRADLE          | \$ 300 -                              |     | \$ 66,600 -                                |     |
| 50.31M18<br>(039)                       | 580.0<br>I.F.                                     | 18" E.S.V.P. STORM SEWER, ON CONCRETE<br>CRADLE          | \$ 375 -                              |     | \$ 217,500 -                               |     |
| 50.31M18<br>(040)                       | 168.0<br>I.F.                                     | 18" E.S.V.P. STORM SEWER, ENCASED IN<br>CONCRETE         | \$ 370 -                              |     | \$ 62,160 -                                |     |



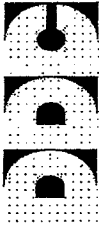
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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 50.31SC10<br>(041)                      | 1,919.0<br>I.F.                                   | 10" E.S.V.P. SANITARY SEWER, ON CONCRETE<br>CRADLE         | 475-                                  | -   | 911,525-                                   | -   |
| 50.31SC15<br>(042)                      | 30.0<br>I.F.                                      | 15" E.S.V.P. SANITARY SEWER, ON CONCRETE<br>CRADLE         | 515-                                  | -   | 15,450-                                    | -   |
| 50.41S6E16<br>(043)                     | 20.0<br>I.F.                                      | 16" D.I.P. CLASS 56 SANITARY SEWER, ENCASED<br>IN CONCRETE | 600-                                  | -   | 12,000-                                    | -   |
| 51.11C001<br>(044)                      | 1.0<br>EACH                                       | CHAMBER NO. 1  | 150,000-                              | -   | 150,000-                                   | -   |

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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 51.11C002<br>(045)                      | 1.0<br>EACH                                       | CHAMBER NO. 2                            | \$ 200,000 -                          | -   | \$ 200,000 -                               | -   |
| 51.11P004<br>(046)                      | 7.0<br>EACH                                       | STANDARD 4'-0" DIAMETER PRECAST MANHOLE  | \$ 5,000 -                            | -   | \$ 35,000 -                                | -   |
| 51.11P008<br>(047)                      | 2.0<br>EACH                                       | STANDARD 8'-0" DIAMETER PRECAST MANHOLE  | \$ 15,000 -                           | -   | \$ 30,000 -                                | -   |
| 51.11P010<br>(048)                      | 2.0<br>EACH                                       | STANDARD 10'-0" DIAMETER PRECAST MANHOLE | \$ 22,000 -                           | -   | \$ 44,000 -                                | -   |

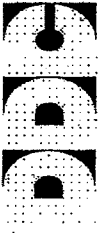


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|---|---|---------------------------|---------------------------------------|-----|--|-----|
|   |   |                           | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 51.11S000<br>(049)                      | 1.0<br>EACH                                       | SIPHON CHAMBER            | \$ 5,000,000 -                        | -   | \$ 5,000,000 -                             | -   |
| 51.21A000000C<br>(050)                  | 17.0<br>EACH                                      | ACCESS MANHOLE            | \$ 6,000 -                            | -   | \$ 102,000 -                               | -   |
| 51.21C000000C<br>(051)                  | 7.0<br>EACH                                       | CLEANOUT MANHOLE          | \$ 4,000 -                            | -   | \$ 28,000 -                                | -   |
| 51.21S0A1000V<br>(052)                  | 10.0<br>EACH                                      | STANDARD MANHOLE TYPE A-1 | \$ 5,000 -                            | -   | \$ 50,000 -                                | -   |



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|---|---|-----------------------------------|---------------------------------------|-----|--|-----|
|   |   |                                   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 51.21S0A2000V<br>(053)                  | 13.0<br>EACH                                      | STANDARD MANHOLE TYPE A-2         | \$ 7,000                              | -   | \$ 91,000                                  | -   |
| 51.21S0A3000V<br>(054)                  | 2.0<br>EACH                                       | STANDARD SHALLOW MANHOLE TYPE A-3 | \$ 4,000                              | -   | \$ 8,000                                   | -   |
| 51.21S0B1000V<br>(055)                  | 7.0<br>EACH                                       | STANDARD MANHOLE TYPE B-1         | \$ 5,000                              | -   | \$ 35,000                                  | -   |
| 51.21S0B2000V<br>(056)                  | 3.0<br>EACH                                       | STANDARD MANHOLE TYPE B-2         | \$ 7,000                              | -   | \$ 21,000                                  | -   |



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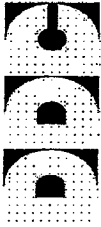
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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 51.21S0D1066R<br>(057)                  | 4.0<br>EACH                                       | STANDARD MANHOLE TYPE D-1 ON 66" R.C.P.<br>SEWER | \$ 13,000 -                           | -   | \$ 52,000 -                                | -   |
| 51.41P000<br>(058)                      | 1.0<br>EACH                                       | SPECIAL CATCH BASIN                              | \$ 6,000 -                            | -   | \$ 6,000 -                                 | -   |
| 51.41S001<br>(059)                      | 42.0<br>EACH                                      | STANDARD CATCH BASIN, TYPE 1                     | \$ 7,000 -                            | -   | \$ 294,000 -                               | -   |
| 51.41S002<br>(060)                      | 2.0<br>EACH                                       | STANDARD CATCH BASIN, TYPE 2                     | \$ 6,500 -                            | -   | \$ 13,000 -                                | -   |



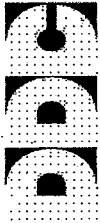
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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 51.41W000<br>(061)                      | 7.0<br>EACH                                       | SHALLOW CATCH BASIN   | \$ 5,000 -                            | -   | \$ 35,000 -                                | -   |
| 51.42B1W<br>(062)                       | 2.0<br>EACH                                       | INCREMENTAL COST OF TYPE 3 CATCH BASIN WITH<br>CURB PIECE IN LIEU OF TYPE 1 CATCH BASIN | \$ 2,500 -                            | -   | \$ 5,000 -                                 | -   |
| 51.61F000<br>(063)                      | 1.0<br>EACH                                       | OUTFALL   | \$ 750,000 -                          | -   | \$ 750,000 -                               | -   |
| 52.11D12<br>(064)                       | 1,350.0<br>L.F.                                   | 12" DUCTILE IRON PIPE BASIN CONNECTION  | \$ 225 -                              | -   | \$ 303,750 -                               | -   |



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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 52.31V06S10<br>(065)                    | 19.0<br>EACH                                      | 6" E.S.V.P. SPUR FOR HOUSE CONNECTION ON<br>10" E.S.V.P. SANITARY SEWER  | \$ 100-                               |     | \$ 1,900-                                  |     |
| 52.31V08S10<br>(066)                    | 1.0<br>EACH                                       | 8" E.S.V.P. SPUR FOR HOUSE CONNECTION ON<br>10" E.S.V.P. SANITARY SEWER  | \$ 110-                               |     | \$ 110-                                    |     |
| 52.41V06N<br>(067)                      | 15.0<br>I.F.                                      | NEW 6" E.S.V.P. HOUSE CONNECTION DRAIN ON<br>CONCRETE CRADLE<br><br>Unit price bid shall not be greater than: \$ 75.00 | \$ 75-                                |     | \$ 1,125-                                  |     |
| 52.41V06R<br>(068)                      | 115.0<br>I.F.                                     | 6" E.S.V.P. HOUSE CONNECTION DRAIN ON<br>CONCRETE CRADLE (RECONNECTION)  | \$ 65-                                |     | \$ 7,475-                                  |     |

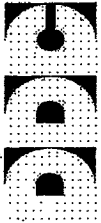
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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 52.41V08N<br>(069)                      | 70.0<br>L.F.                                      | NEW 8" E.S.V.P. HOUSE CONNECTION DRAIN ON<br>CONCRETE CRADLE<br><br>Unit price bid shall not be greater than: \$ 80.00 | \$ 80                                 | -   | \$ 5,600                                   | -   |
| 52.41V08R<br>(070)                      | 30.0<br>L.F.                                      | 8" E.S.V.P. HOUSE CONNECTION DRAIN ON<br>CONCRETE CRADLE (RECONNECTION)  | \$ 85                                 | -   | \$ 2,550                                   | -   |
| 53.11DR<br>(071)                        | 3,900.0<br>L.F.                                   | TELEVISION INSPECTION AND DIGITAL<br>AUDIO-VISUAL RECORDING OF SEWERS  | \$ 3                                  | -   | \$ 11,700                                  | -   |
| 6.02 AAN<br>(072)                       | 5,565.0<br>C.Y.                                   | UNCLASSIFIED EXCAVATION  | \$ 75                                 | -   | \$ 417,375                                 | -   |



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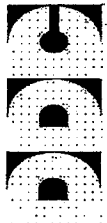
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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 6.23 AB<br>(073)                        | 1.0<br>EACH                                       | REMOVE EXISTING FIRE ALARM POST  | 425-                                  | -   | \$ 425-                                    | -   |
| 6.23 BA<br>(074)                        | 1.0<br>EACH                                       | FURNISH AND INSTALL FIRE ALARM POST AND<br>SUBBASE IN ACCORDANCE WITH F.D. STD. DWG.<br>#141   | 2,300-                                | -   | \$ 2,300-                                  | -   |
| 6.23 BD<br>(075)                        | 60.0<br>L.F.                                      | FURNISH AND INSTALL 4-PAIR FIRE ALARM CABLE  | 9-                                    | -   | \$ 540-                                    | -   |
| 6.23 BE<br>(076)                        | 1.0<br>EACH                                       | FURNISH AND INSTALL FIRE DEPARTMENT SLOTTED<br>MANHOLE TYPE "A" WITH FRAME AND COVER IN<br>ACCORDANCE WITH F.D. STD. DWG. #140, #144S<br>& #144E | 6,450-                                | -   | \$ 6,450-                                  | -   |



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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 6.23 BFC<br>(077)                       | 1.0<br>EACH                                       | FURNISH AND INSTALL FIRE DEPARTMENT 12 WIRE TERMINAL BOX AND TERMINATE FIRE ALARM CABLES   | \$ 1,875 -                            | -   | \$ 1,875 -                                 | -   |
| 6.23 BGSE<br>(078)                      | 45.0<br>L.F.                                      | FURNISH AND INSTALL 4" P.V.C. CONDUIT, SCHEDULE 40, U.L. 651 (WITH PAVEMENT EXCAVATION)  | \$ 15 -                               | -   | \$ 675 -                                   | -   |
| 6.23 BHE<br>(079)                       | 1.0<br>EACH                                       | FURNISH AND INSTALL 4" 90-DEGREE P.V.C. WIDE BEND, SCHEDULE 40, U.L. 651 (WITH PAVEMENT EXCAVATION) IN ACCORDANCE WITH F.D. STD. DWG. #141 OR #145AA | \$ 175 -                              | -   | \$ 175 -                                   | -   |
| 6.23 BP<br>(080)                        | 1.0<br>SETS                                       | FURNISH AND INSTALL FIRE ALARM PEDESTAL BUMPERS (2 REQUIRED PER SET) IN ACCORDANCE WITH F.D. STD. DWG. #168  | \$ 750 -                              | -   | \$ 750 -                                   | -   |



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|---|---|------------------------------|--|-----|---|-----|
|   |   |                              | UNIT PRICES<br>(IN FIGURES)<br>DOLLARS | CTS | EXTENDED AMOUNTS<br>(IN FIGURES)<br>DOLLARS | CTS |
| 6.30 AA<br>(081)                        | 100.0<br>L.F.                                     | BEAM TYPE GUIDE RAIL         | \$ 100 -                               | -   | \$ 10,000 -                                 | -   |
| 6.30 AB<br>(082)                        | 120.0<br>L.F.                                     | MALL BARRIER                 | \$ 250 -                               | -   | \$ 30,000 -                                 | -   |
| 6.30 AR<br>(083)                        | 220.0<br>L.F.                                     | REMOVE EXISTING GUARD RAIL   | \$ 10 -                                | -   | \$ 2,200 -                                  | -   |
| 6.30 UB<br>(084)                        | 1.0<br>EACH                                       | ANCHOR UNIT FOR MALL BARRIER | \$ 5,000 -                             | -   | \$ 5,000 -                                  | -   |

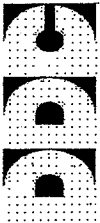
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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 6.34 ACT<br>(085)                       | 3,500.0<br>L.F.                                   | TEMPORARY CHAIN LINK FENCE, 6'-0" HIGH          | \$ 40 -                               | -   | \$ 140,000 -                               | -   |
| 6.34 CD<br>(086)                        | 3,500.0<br>L.F.                                   | CHAIN LINK FENCE WITH TENSION WIRES, 8'-0" HIGH | \$ 60 -                               | -   | \$ 210,000 -                               | -   |
| 6.34 X<br>(087)                         | 3,500.0<br>L.F.                                   | REMOVE AND DISPOSE OF EXISTING CHAIN LINK FENCE | \$ 10 -                               | -   | \$ 35,000 -                                | -   |
| 6.40 DC<br>(088)                        | 36.0<br>MONTH                                     | ENGINEER'S FIELD OFFICE WITH CONFERENCE ROOM    | \$ 10,000 -                           | -   | \$ 360,000 -                               | -   |



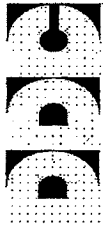
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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 6.70<br>(089)                           | 1.0<br>I.S.                                       | MAINTENANCE AND PROTECTION OF TRAFFIC<br><br>Unit price bid shall not be less than: \$ 225,000.00 | \$ 225,000-                           | -   | \$ 225,000-                                | -   |
| 60.11R520<br>(090)                      | 1,300.0<br>I.F.                                   | FURNISHING AND DELIVERING 20-INCH DUCTILE<br>IRON RESTRAINED JOINT PIPE (CLASS 55)                | \$ 200-                               | -   | \$ 260,000-                                | -   |
| 60.11R606<br>(091)                      | 80.0<br>I.F.                                      | FURNISHING AND DELIVERING 6-INCH DUCTILE<br>IRON RESTRAINED JOINT PIPE (CLASS 56)                 | \$ 50-                                | -   | \$ 4,000-                                  | -   |
| 60.11R608<br>(092)                      | 830.0<br>I.F.                                     | FURNISHING AND DELIVERING 8-INCH DUCTILE<br>IRON RESTRAINED JOINT PIPE (CLASS 56)                 | \$ 60-                                | -   | \$ 49,800-                                 | -   |



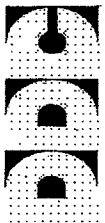


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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 60.11R612<br>(093)                      | 180.0<br>L.F.                                     | FURNISHING AND DELIVERING 12-INCH DUCTILE<br>IRON RESTRAINED JOINT PIPE (CLASS 56) | \$ 90-                                | -   | \$ 16,200-                                 | -   |
| 60.12D06<br>(094)                       | 100.0<br>L.F.                                     | LAYING 6-INCH DUCTILE IRON PIPE AND<br>FITTINGS                                    | \$ 70-                                | -   | \$ 7,000-                                  | -   |
| 60.12D08<br>(095)                       | 850.0<br>L.F.                                     | LAYING 8-INCH DUCTILE IRON PIPE AND<br>FITTINGS                                    | \$ 110-                               | -   | \$ 93,500-                                 | -   |
| 60.12D12<br>(096)                       | 200.0<br>L.F.                                     | LAYING 12-INCH DUCTILE IRON PIPE AND<br>FITTINGS                                   | \$ 175-                               | -   | \$ 35,000-                                 | -   |

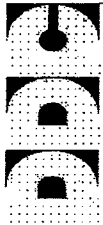


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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 60.12D20<br>(097)                       | 1,325.0<br>L.F.                                   | LAYING 20-INCH DUCTILE IRON PIPE AND FITTINGS   | \$ 200 -                              |     | \$ 265,000 -                               |     |
| 60.13M0A24<br>(098)                     | 10.5<br>TONS                                      | FURNISHING AND DELIVERING DUCTILE IRON MECHANICAL JOINT 24-INCH DIAMETER AND SMALLER FITTINGS, INCLUDING WEDGE TYPE RETAINER GLANDS | \$ 10,000 -                           |     | \$ 109,000 -                               |     |
| 61.11DM06<br>(099)                      | 7.0<br>EACH                                       | FURNISHING AND DELIVERING 6-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS                  | \$ 1,150 -                            |     | \$ 8,050 -                                 |     |
| 61.11DM08<br>(100)                      | 2.0<br>EACH                                       | FURNISHING AND DELIVERING 8-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS                  | \$ 1,750 -                            |     | \$ 3,500 -                                 |     |

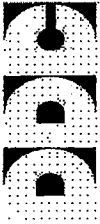


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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 61.11DMX12<br>(101)                     | 1.0<br>EACH                                       | FURNISHING AND DELIVERING 12-INCH<br>MECHANICAL JOINT DUCTILE IRON GATE VALVE<br>COMPLETE WITH WEDGE TYPE RETAINER GLANDS | \$ 3,500-                             |     | \$ 3,500-                                  |     |
| 61.11DMX20<br>(102)                     | 2.0<br>EACH                                       | FURNISHING AND DELIVERING 20-INCH<br>MECHANICAL JOINT DUCTILE IRON GATE VALVE<br>COMPLETE WITH WEDGE TYPE RETAINER GLANDS | \$ 20,000-                            |     | \$ 40,000-                                 |     |
| 61.11TWC03<br>(103)                     | 2.0<br>EACH                                       | FURNISHING AND DELIVERING 3-INCH WET<br>CONNECTION TAPPING VALVE COMPLETE WITH<br>WEDGE TYPE RETAINER GLANDS              | \$ 4,500-                             |     | \$ 9,000-                                  |     |
| 61.11TWC04<br>(104)                     | 1.0<br>EACH                                       | FURNISHING AND DELIVERING 4-INCH WET<br>CONNECTION TAPPING VALVE COMPLETE WITH<br>WEDGE TYPE RETAINER GLANDS              | \$ 5,000-                             |     | \$ 5,000-                                  |     |



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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 61.11TWC06<br>(105)                     | 1.0<br>EACH                                       | FURNISHING AND DELIVERING 6-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS  | \$ 5,000                              | -   | \$ 5,000                                   | -   |
| 61.11TWC12<br>(106)                     | 1.0<br>EACH                                       | FURNISHING AND DELIVERING 12-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | \$ 7,750                              | -   | \$ 7,750                                   | -   |
| 61.12DMM06<br>(107)                     | 7.0<br>EACH                                       | SETTING 6-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS        | \$ 1,000                              | 650 | \$ 4,650                                   | -   |
| 61.12DMM08<br>(108)                     | 2.0<br>EACH                                       | SETTING 8-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS        | \$ 1,300                              | 148 | \$ 2,800                                   | -   |



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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 61.12DMM12<br>(109)                     | 1.0<br>EACH                                       | SETTING 12-INCH MECHANICAL JOINT DUCTILE<br>IRON GATE VALVE COMPLETE WITH WEDGE TYPE<br>RETAINER GLANDS | \$ 1,300 -                            | -   | \$ 1,300 -                                 | -   |
| 61.12DMM20<br>(110)                     | 2.0<br>EACH                                       | SETTING 20-INCH MECHANICAL JOINT DUCTILE<br>IRON GATE VALVE COMPLETE WITH WEDGE TYPE<br>RETAINER GLANDS | \$ 2,500 -                            | -   | \$ 5,000 -                                 | -   |
| 61.12TWC03<br>(111)                     | 2.0<br>EACH                                       | SETTING 3-INCH WET CONNECTION TAPPING VALVE<br>COMPLETE WITH WEDGE TYPE RETAINER GLANDS                 | \$ 650 -                              | -   | \$ 1,300 -                                 | -   |
| 61.12TWC04<br>(112)                     | 1.0<br>EACH                                       | SETTING 4-INCH WET CONNECTION TAPPING VALVE<br>COMPLETE WITH WEDGE TYPE RETAINER GLANDS                 | \$ 1,000 -                            | -   | \$ 1,000 -                                 | -   |

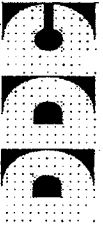


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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 61.12TWC06<br>(113)                     | 1.0<br>EACH                                       | SETTING 6-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS  | \$ 1,300 -                            | -   | \$ 1,300 -                                 | -   |
| 61.12TWC12<br>(114)                     | 1.0<br>EACH                                       | SETTING 12-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | \$ 2,500 -                            | -   | \$ 2,500 -                                 | -   |
| 62.11SD<br>(115)                        | 7.0<br>EACH                                       | FURNISHING AND DELIVERING HYDRANTS  | \$ 3,500 -                            | -   | \$ 24,500 -                                | -   |
| 62.12SG<br>(116)                        | 7.0<br>EACH                                       | SETTING HYDRANTS COMPLETE WITH WEDGE TYPE RETAINER GLANDS                             | \$ 1,000 -                            | -   | \$ 7,000 -                                 | -   |

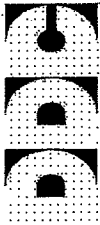


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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 62.13RH<br>(117)                        | 6.0<br>EACH                                       | REMOVING HYDRANTS   | \$ 650 -                              |     | \$ 3,900 -                                 |     |
| 62.14FS<br>(118)                        | 14.0<br>EACH                                      | FURNISHING, DELIVERING AND INSTALLING<br>HYDRANT FENDERS                          | \$ 400 -                              |     | \$ 5,600 -                                 |     |
| 63.11VC<br>(119)                        | 6.0<br>TONS                                       | FURNISHING AND DELIVERING VARIOUS CASTINGS  | \$ 2,500 -                            |     | \$ 15,000 -                                |     |
| 64.11EL<br>(120)                        | 30.0<br>EACH                                      | WITHDRAWING AND REPLACING HOUSE SERVICES<br>USING 1-1/2-INCH OR LARGER SCREW TAPS | \$ 800 -                              |     | \$ 24,000 -                                |     |



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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 64.11ST<br>(121)                        | 20.0<br>EACH                                      | WITHDRAWING AND REPLACING HOUSE SERVICES<br>USING SMALLER THAN 1-1/2-INCH SCREW TAPS                              | \$ 500-                               |     | \$ 10,000-                                 |     |
| 64.12SECG<br>(122)                      | 160.0<br>L.F.                                     | EXTENDING HOUSE SERVICE WATER CONNECTIONS<br>(EQUAL TO OR GREATER THAN 3-INCH DIAMETER)                           | \$ 50-                                |     | \$ 8,000-                                  |     |
| 64.12ESLT<br>(123)                      | 90.0<br>L.F.                                      | EXTENDING HOUSE SERVICE WATER CONNECTIONS<br>(LESS THAN 3-INCH DIAMETER)  | \$ 35-                                |     | \$ 3,150-                                  |     |
| 64.13WC20<br>(124)                      | 5.0<br>EACH                                       | FURNISHING, DELIVERING AND INSTALLING WET<br>CONNECTION SLEEVE ON 20-INCH WATER MAIN<br>PIPE WITH VARIOUS OUTLETS | \$ 5,000-                             |     | \$ 25,000-                                 |     |



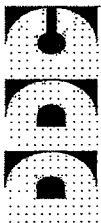


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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 65.11BR<br>(125)                        | 200.0<br>LBS.                                     | FURNISHING, DELIVERING AND INSTALLING<br>BANDS, RODS, WASHERS, ETC., COMPLETE, FOR<br>RESTRAINING JOINTS  | \$ 10                                 |     | \$ 2,000                                   |     |
| 65.21PS<br>(126)                        | 1,200.0<br>L.F.                                   | FURNISHING AND PLACING POLYETHYLENE SLEEVE<br><br>Unit price bid shall not be less than: \$ 0.50          | \$ 0.50                               |     | \$ 600                                     |     |
| 65.31FF<br>(127)                        | 25,000.0<br>S.F.                                  | FURNISHING, DELIVERING AND PLACING FILTER<br>FABRIC<br><br>Unit price bid shall not be less than: \$ 0.10 | \$ 0.10                               |     | \$ 2,500                                   |     |
| 65.71SG<br>(128)                        | 165.0<br>C.Y.                                     | FURNISHING, DELIVERING AND PLACING SCREENED<br>GRAVEL OR SCREENED BROKEN STONE BEDDING                    | \$ 25                                 |     | \$ 4,125                                   |     |



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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 7.13 B<br>(129)                         | 30.0<br>MONTH                                     | MAINTENANCE OF SITE<br><br>Unit price bid shall not be less than: \$ 7,500.00                       | \$ 7,500 -                            | -   | \$ 225,000 -                               | -   |
| 7.88 AA<br>(130)                        | 1.0<br>L. S.                                      | RODENT INFESTATION SURVEY AND MONITORING<br><br>Unit price bid shall not be less than: \$ 30,000.00 | \$ 30,000 -                           | -   | \$ 30,000 -                                | -   |
| 7.88 AB<br>(131)                        | 370.0<br>EACH                                     | RODENT BAIT STATIONS<br><br>Unit price bid shall not be less than: \$ 60.00                         | \$ 60 -                               | -   | \$ 22,200 -                                | -   |
| 7.88 AC<br>(132)                        | 370.0<br>EACH                                     | BAITING OF RODENT BAIT STATIONS<br><br>Unit price bid shall not be less than: \$ 8.50               | \$ 8 50                               | -   | \$ 3,145 -                                 | -   |



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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 7.88 AD<br>(133)                        | 65.0<br>BLOCK                                     | WATERBUG BAIT APPLICATIONS<br><br>Unit price bid shall not be less than: \$ 65.00 | \$ 65 -                               | -   | \$ 4,225 -                                 | -   |
| 70.12AN<br>(134)                        | 171,150.0<br>V.F.                                 | CONTINUOUS FLIGHT AUGER (CFA) PILES   | \$ 70 -                               | -   | \$ 11,980,500 -                            | -   |
| 70.12AT<br>(135)                        | 6.0<br>EACH                                       | CONTINUOUS FLIGHT AUGER (CFA) PILES, LOAD TEST                                    | \$ 42,000 -                           | -   | \$ 252,000 -                               | -   |
| 70.21DK<br>(136)                        | 8,000.0<br>S.Y.                                   | DECKING   | \$ 100 -                              | -   | \$ 800,000 -                               | -   |



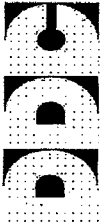
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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 70.31FN<br>(137)                        | 21,500.0<br>L.F.                                  | FENCING<br><br>Unit price bid shall not be less than: \$ 2.00                             | \$ 27                                 |     | \$ 43,000                                  |     |
| 70.51EO<br>(138)                        | 500.0<br>C.Y.                                     | EXCAVATION OF BOULDERS IN OPEN CUT<br><br>Unit price bid shall not be less than: \$ 75.00 | \$ 75                                 |     | \$ 37,500                                  |     |
| 70.61RE<br>(139)                        | 10.0<br>C.Y.                                      | ROCK EXCAVATION   | \$ 1                                  |     | \$ 10                                      |     |
| 70.71RR<br>(140)                        | 10.0<br>C.Y.                                      | RIPRAP  | \$ 100                                |     | \$ 1,000                                   |     |

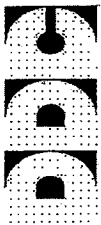
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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 70.71SB<br>(141)                        | 3,315.0<br>C.Y.                                   | STONE BALLAST<br><br>Unit price bid shall not be less than: \$ 15.00   | 15                                    |     | \$ 49,725                                  |     |
| 70.71SP<br>(142)                        | 15.0<br>C.Y.                                      | SLOPE PAVEMENT   | 250                                   |     | \$ 3,750                                   |     |
| 70.81CB<br>(143)                        | 16,350.0<br>C.Y.                                  | CLEAN BACKFILL<br><br>Unit price bid shall not be less than: \$ 15.00  | 15                                    |     | \$ 245,250                                 |     |
| 70.91SW12<br>(144)                      | 200.0<br>S.F.                                     | FURNISHING AND PLACING SHEETING AND BRACING<br>IN TRENCH FOR WATER MAIN PIPE 12-INCH IN<br>DIAMETER AND LESS | 1                                     |     | \$ 200                                     |     |

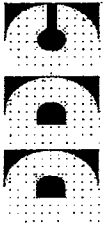


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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 70.91SW20<br>(145)                      | 17,000.0<br>S.F.                                  | FURNISHING AND PLACING SHEETING AND BRACING<br>IN TRENCH FOR WATER MAIN PIPE 20-INCH IN<br>DIAMETER | \$ 1                                  |     | \$ 17,000                                  |     |
| 72.11HF<br>(146)                        | 20.0<br>C.Y.                                      | HYDRAULIC FILL FOR ABANDONED SEWERS AND<br>WATER MAINS  | \$ 200                                |     | \$ 4,000                                   |     |
| 73.11AB<br>(147)                        | 40.0<br>C.Y.                                      | ADDITIONAL BRICK MASONRY<br><br>Unit price bid shall not be less than: \$ 62.50                     | \$ 62.50                              |     | \$ 2,500                                   |     |
| 73.21AC<br>(148)                        | 1,565.0<br>C.Y.                                   | ADDITIONAL CONCRETE<br><br>Unit price bid shall not be less than: \$ 62.50                          | \$ 62.50                              |     | \$ 97,812.50                               |     |



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| COL. 1<br>ITEM NUMBER<br>(SEQUENCE NO.) | COL. 2<br>ENGINEER'S<br>ESTIMATE OF<br>QUANTITIES | COL. 3<br>CLASSIFICATIONS  | COL. 4<br>UNIT PRICES<br>(IN FIGURES) |     | COL. 5<br>EXTENDED AMOUNTS<br>(IN FIGURES) |     |
|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 73.31AE0<br>(149)                       | 2,555.0<br>C.Y.                                   | ADDITIONAL EARTH EXCAVATION INCLUDING TEST<br>PITS (ALL DEPTHS)<br><br>Unit price bid shall not be less than: \$ 20.00 | \$ 20.00                              | -   | \$ 51,100.00                               | -   |
| 73.41AG<br>(150)                        | 260.0<br>C.Y.                                     | ADDITIONAL SELECT GRANULAR BACKFILL<br><br>Unit price bid shall not be less than: \$ 15.00                             | \$ 15.00                              | -   | \$ 3,900.00                                | -   |
| 73.51AS<br>(151)                        | 284,400.0<br>LBS.                                 | ADDITIONAL STEEL REINFORCING BARS<br><br>Unit price bid shall not be less than: \$ 1.00                                | \$ 1.00                               | -   | \$ 284,400.00                              | -   |
| 76.21MR<br>(152)                        | 1.0<br>I.S.                                       | MONITORING AND POST-CONSTRUCTION REPORT  | \$ 50,000.00                          | -   | \$ 50,000.00                               | -   |



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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 8.01 C1<br>(153)                        | 30,000.0<br>TONS                                  | HANDLING, TRANSPORTING AND DISPOSAL OF<br>NON-HAZARDOUS CONTAMINATED SOIL                   | \$ 60 -                               | -   | \$ 1,800,000 -                             | -   |
| 8.01 C2<br>(154)                        | 20.0<br>SETS                                      | SAMPLING AND TESTING OF<br>CONTAMINATED/POTENTIALLY HAZARDOUS SOIL FOR<br>DISPOSAL PURPOSES | \$ 1,000 -                            | -   | \$ 20,000 -                                | -   |
| 8.01 H<br>(155)                         | 1,600.0<br>TONS                                   | HANDLING, TRANSPORTING AND DISPOSAL OF<br>HAZARDOUS SOIL                                    | \$ 100 -                              | -   | \$ 160,000 -                               | -   |
| 8.01 S<br>(156)                         | 1.0<br>L.S.                                       | HEALTH AND SAFETY   | \$ 25,000 -                           | -   | \$ 25,000 -                                | -   |



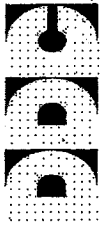
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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 8.01 W1<br>(157)                        | 60.0<br>DAY                                       | REMOVAL, TREATMENT, AND DISCHARGE/DISPOSAL<br>OF CONTAMINATED WATER                                       | \$ 8,000-                             |     | \$ 480,000-                                |     |
| 8.01 W2<br>(158)                        | 10.0<br>SETS                                      | SAMPLING AND TESTING OF WATER   | \$ 1,000-                             |     | \$ 10,000-                                 |     |
| 9.04 HW<br>(159)                        | 1.0<br>F.S.                                       | ALLOWANCE FOR ANTI-FREEZE ADDITIVE IN<br>CONCRETE<br>PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 50,000.00 | \$ 50,000.00                          |     | \$ 50,000.00                               |     |
| 9.30<br>(160)                           | 1.0<br>I.S.                                       | STORM WATER POLLUTION PREVENTION  | \$ 25,000-                            |     | \$ 25,000-                                 |     |

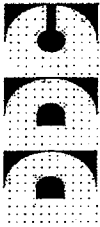


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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| SL-20.02.02<br>(161)                    | 12.0<br>EACH                                      | FURNISH AND INSTALL STANDARD TYPE ANCHOR BOLT FOUNDATION, AS PER DRAWING E-3788   | \$ 800-                               |     | \$ 9,600-                                  |     |
| SL-20.08.01<br>(162)                    | 11.0<br>EACH                                      | REMOVE STANDARD TYPE ANCHOR BOLT CONCRETE FOUNDATION  | \$ 200-                               |     | \$ 2,200-                                  |     |
| SL-21.03.02<br>(163)                    | 12.0<br>EACH                                      | FURNISH AND INSTALL TYPE 2S, 4S, 6S, 8S OR 12S LAMPPOST WITH TRANSFORMER BASE   | \$ 1,600-                             |     | \$ 19,200-                                 |     |
| SL-21.09.05<br>(164)                    | 11.0<br>EACH                                      | REMOVE FABRICATED STEEL, ALUMINUM NO. 10, ETC. LAMPPOST, WITH ARM(S), LUMINAIRE(S), ETC., WITH ALL ATTACHMENTS, IF ANY. | \$ 530-                               |     | \$ 5,830-                                  |     |



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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| SL-21.09.08<br>(165)                    | 1.0<br>EACH                                       | REMOVE ALL STREET LIGHTING EQUIPMENT FROM TYPE "M-2" TRAFFIC POST (ARM(S), PHOTOELECTRIC CONTROLLER, LUMINAIRE (S), SHAFT EXTENSION, WIRING, ETC.) | \$ 380                                |     | \$ 380                                     |     |
| SL-22.03.17<br>(166)                    | 13.0<br>EACH                                      | FURNISH AND INSTALL TYPE COBRA HEAD LUMINAIRE WITH 100 WATT HPS WITH SOLID STATE BALLAST AND STRAY VOLTAGE INDICATOR FOR 55 VOLT LAMP.             | \$ 330                                |     | \$ 4,290                                   |     |
| SL-24.02.02<br>(167)                    | 1.0<br>EACH                                       | FURNISH AND INSTALL FABRICATED STEEL 8 Ft. ARM ON LAMPOST OR "M-2" TRAFFIC POLE SHAFT EXTENSION.   | \$ 500                                |     | \$ 500                                     |     |
| SL-24.02.03<br>(168)                    | 1.0<br>EACH                                       | FURNISH AND INSTALL FABRICATED STEEL 6 Ft. EXTENSION ARM ON LAMPOST OR "M-2" POLE SHAFT EXTENSION, AS PER DRAWING J-3951.                          | \$ 850                                |     | \$ 850                                     |     |

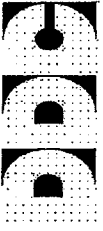


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|---|---|--|--|-----|---|-----|
|   |   |  | UNIT PRICES<br>(IN FIGURES)<br>DOLLARS | CTS | EXTENDED AMOUNTS<br>(IN FIGURES)<br>DOLLARS | CTS |
| SL-26.01.01<br>(169)                    | 13.0<br>EACH                                      | FURNISH AND INSTALL, OR FURNISH AND REPLACE<br>A PLUG-IN SOLID STATE PHOTOELECTRIC CONTROL                               | \$ 53-                                 |     | \$ 689-                                     |     |
| SL-29.01.01<br>(170)                    | 5.0<br>EACH                                       | FURNISH, INSTALL, MAINTAIN AND REMOVE<br>EQUIPMENT FOR TEMPORARY LIGHTING (PYLON),<br>AS PER DRAWINGS F-5005 AND F-5005A | \$ 1,265-                              |     | \$ 6,325-                                   |     |
| SL-33.02.01<br>(171)                    | 500.0<br>L.F.                                     | REMOVE TEMPORARY OR PERMANENT OVERHEAD<br>ELECTRICAL CONDUCTORS  | \$ 3-                                  |     | \$ 1,500-                                   |     |
| SL-33.02.10<br>(172)                    | 500.0<br>L.F.                                     | FURNISH AND INSTALL #6 ALUMINUM DUPLEX<br>CABLE FOR OVERHEAD INSTALLATION.   | \$ 7-                                  |     | \$ 3,500-                                   |     |



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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| T-1.1<br>(173)                          | 1.0<br>EACH                                       | INSTALL TYPE "S" OR "T" FOUNDATION  | \$ 890                                | -   | \$ 890                                     | -   |
| T-1.18<br>(174)                         | 1.0<br>EACH                                       | REMOVE TYPE "A", "B", "S" OR "T" SERIES<br>FOUNDATION                         | \$ 200                                | -   | \$ 200                                     | -   |
| T-2.1<br>(175)                          | 1.0<br>EACH                                       | INSTALL TYPE "S-1" OR "T-1" SERIES POST                                       | \$ 1,100                              | -   | \$ 1,100                                   | -   |
| T-2.16<br>(176)                         | 1.0<br>EACH                                       | FURNISH, INSTALL, MAINTAIN AND REMOVE<br>TEMPORARY POST OR PYLON WITH SIGNALS | \$ 1,137                              | -   | \$ 1,137                                   | -   |



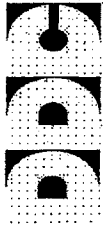
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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| T-2.22<br>(177)                         | 1.0<br>EACH                                       | REMOVE TYPE "S-1" OR "T-1" SERIES POST   | \$ 385-                               |     | \$ 385-                                    |     |
| T-20020<br>(178)                        | 3.0<br>EACH                                       | a) FURNISH 3/4" ANCHOR BOLT ASSEMBLIES FOR S-1 (EACH) (3 REQUIRED PER POST)    | \$ 14-                                |     | \$ 42-                                     |     |
| T-3.21<br>(179)                         | 1.0<br>EACH                                       | REMOVE PEDESTRIAN SIGNAL OR SIGN UNIT OR OTHER ILLUMINATED SIGNS FROM ANY POST | \$ 210-                               |     | \$ 210-                                    |     |
| T-3.6<br>(180)                          | 1.0<br>EACH                                       | INSTALL PEDESTRIAN SIGNAL ON ANY TYPE POST                                     | \$ 290-                               |     | \$ 290-                                    |     |

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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| T-31340<br>(181)                        | 1.0<br>EACH                                       | f) "VB-P" ASSEMBLY *ASSEMBLY IS EQUAL TO ONE PAIR                 | \$ 57-                                |     | \$ 57-                                     |     |
| T-5.2<br>(182)                          | 20.0<br>L.F.                                      | FURNISH AND INSTALL 2" RIGID UNDERGROUND CONDUIT IN PAVED ROADWAY | \$ 33-                                |     | \$ 660-                                    |     |
| T-5.32<br>(183)                         | 20.0<br>L.F.                                      | RESTORING PERMANENT ROADWAY (INCLUDING SAWCUT)                    | \$ 50-                                |     | \$ 1,000-                                  |     |
| T-6.10<br>(184)                         | 150.0<br>L.F.                                     | REMOVE CABLE (INCLUDES OVERHEAD)                                  | \$ 4-                                 |     | \$ 600-                                    |     |



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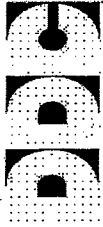
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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| T-6.2<br>(185)                          | 150.0<br>L.F.                                     | INSTALL MULTIPLE CABLE (INCLUDES OVERHEAD)                                      | \$ 850                                |     | \$ 1,275                                   |     |
| T-60000B<br>(186)                       | 150.0<br>L.F.                                     | FURNISH 2 c # 10B (SEE SPEC) (BREAKDOWN =<br>2#10 WITH 3RD WIRE FOR GROUNDING). | \$ 150                                |     | \$ 225                                     |     |
| T-60040<br>(187)                        | 150.0<br>L.F.                                     | c) 7 CONDUCTOR, 14 A.W.G.   | \$ 150                                |     | \$ 225                                     |     |
| T-60190<br>(188)                        | 200.0<br>L.F.                                     | e) 13 CONDUCTOR, 14 A.W.G.  | \$ 2                                  |     | \$ 400                                     |     |



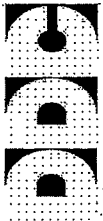
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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| UTL-6.01.1<br>(189)                     | 6.0<br>EACH                                       | GAS MAIN CROSSING SEWER UP TO 24" IN<br>DIAMETER (S6.01)<br><br>Unit price bid shall not be less than: \$ 1,040.00                              | \$ 1,040 -                            | -   | \$ 6,240 -                                 | -   |
| UTL-6.01.6<br>(190)                     | 2.0<br>EACH                                       | GAS MAIN CROSSING SEWER 66" THRU 72" IN<br>DIAMETER (S6.01)<br><br>Unit price bid shall not be less than: \$ 2,540.00                           | \$ 2,540 -                            | -   | \$ 5,080 -                                 | -   |
| UTL-6.01.7Y<br>(191)                    | 3.0<br>EACH                                       | GAS MAIN CROSSING 9'-6"W X 9'-0"H FLAT TOP<br>REINFORCED CONCRETE STORM SEWER (S6.01)<br><br>Unit price bid shall not be less than: \$ 2,740.00 | \$ 2,740 -                            | -   | \$ 8,220 -                                 | -   |
| UTL-6.01.8<br>(192)                     | 37.0<br>EACH                                      | GAS SERVICES CROSSING TRENCHES AND/OR<br>EXCAVATIONS (S6.01)<br><br>Unit price bid shall not be less than: \$ 465.00                            | \$ 465 -                              | -   | \$ 17,205 -                                | -   |



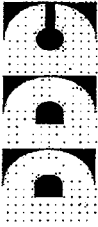
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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| UTL-6.01.9<br>(193)                     | 7.0<br>EACH                                       | GAS MAIN CROSSING WATER MAIN UP TO 20" IN<br>DIAMETER (S6.01)<br><br>Unit price bid shall not be less than: \$ 485.00   | 485                                   | -   | \$ 3,395                                   | -   |
| UTL-6.02<br>(194)                       | 15.0<br>EACH                                      | EXTRA EXCAVATION FOR THE INSTALLATION OF<br>CATCH BASIN SEWER DRAIN PIPES WITH GAS<br>INTERFERENCES (S6.02)<br><br>Unit price bid shall not be less than: \$ 715.00 | 715                                   | -   | \$ 10,725                                  | -   |
| UTL-6.03<br>(195)                       | 895.0<br>L.F.                                     | REMOVAL OF ABANDONED GAS FACILITIES. ALL<br>SIZES. (S6.03)<br><br>Unit price bid shall not be less than: \$ 15.00   | 15                                    | -   | \$ 13,425                                  | -   |
| UTL-6.03.1A<br>(196)                    | 200.0<br>L.F.                                     | REMOVAL OF ABANDONED GAS FACILITIES WITH<br>POSSIBLE COAL TAR WRAP. ALL SIZES. (S6.03)<br><br>Unit price bid shall not be less than: \$ 25.00                       | 25                                    | -   | \$ 5,000                                   | -   |

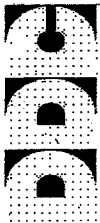
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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| UTL-6.04<br>(197)                       | 10.0<br>EACH                                      | ADJUST HARDWARE TO GRADE USING SPACER<br>RINGS/ADAPTORS. (STREET REPAVING.) (S6.04)<br><br>Unit price bid shall not be less than: \$ 35.00 | \$ 35                                 | -   | \$ 350                                     | -   |
| UTL-6.05<br>(198)                       | 10.0<br>EACH                                      | ADJUST HARDWARE TO GRADE BY RESETTING.<br>(ROAD RECONSTRUCTION.) (S6.05)<br><br>Unit price bid shall not be less than: \$ 65.00            | \$ 65                                 | -   | \$ 650                                     | -   |
| UTL-6.06<br>(199)                       | 300.0<br>C.Y.                                     | SPECIAL CARE EXCAVATION AND BACKFILLING<br>(S6.06)<br><br>Unit price bid shall not be less than: \$ 180.00                                 | \$ 180                                | -   | \$ 54,000                                  | -   |
| UTL-6.07<br>(200)                       | 100.0<br>C.Y.                                     | TEST PITS FOR GAS FACILITIES (S6.07)<br><br>Unit price bid shall not be less than: \$ 100.00   | \$ 100                                | -   | \$ 10,000                                  | -   |



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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| UTL-GCS-2WS<br>(201)                    | 1.0<br>F.S.                                       | GAS INTERFERENCES AND ACCOMMODATIONS<br><br>PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 75,000.00 | \$ 75,000.00                          |     | \$ 75,000.00                               |     |



04/19/2011  
2:03PM

BID PAGES

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN 8502011SE0018C

Project ID SE809

| COL. 1<br>ITEM NUMBER<br>(SEQUENCE NO.) | COL. 2<br>ENGINEER'S<br>ESTIMATE OF<br>QUANTITIES | COL. 3<br>CLASSIFICATIONS  | COL. 4<br>UNIT PRICES<br>(IN FIGURES) |     | COL. 5<br>EXTENDED AMOUNTS<br>(IN FIGURES) |     |
|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| SUB-TOTAL:                              |   |  |                                       |     |  |     |
| 6.39 A<br>(202)                         | 1.0<br>LUMP SUM                                   | MOBILIZATION<br><br>PRICE BID SHALL NOT EXCEED 4% OF THE ABOVE SUB-TOTAL<br>PRICE. |                                       |     | \$ 54,061,907                              | 50  |
| TOTAL BID PRICE:                        |   |  |                                       |     |  |     |
|   |   |  |                                       |     | \$ 56,221,907                              | 50  |

PLEASE BE SURE A LEGIBLE BID IS ENTERED FOR EACH ITEM.

THE BIDDER SHALL INSERT THE TOTAL BID PRICE IN  
THE BID FORM ON PAGE C-4 OF THIS BID BOOKLET.

(NO TEXT ON THIS PAGE)

**A. PROJECT REFERENCES - SIMILAR CONTRACTS COMPLETED BY THE BIDDER**

List all contracts substantially completed within the last 4 years similar to the contract being awarded, up to a maximum of 10, in descending order of date of substantial completion.

| Project & Location   | Contract Type | Contract Amount (\$000) | Date Completed | Owner Reference & Tel. No.              | Architect/ Engineer Reference & Tel. No. if different from owner |
|--|---------------|-------------------------|----------------|---|--|
| SEHDWR03Q<br>Replacement & Adjustment of Defective Hardware, Queens  | Construction  | \$1,789,600.00          | 08/04          | NYCDDC<br>Aliq Rehman<br>(718)206-4440  |  |
| SER-002252<br>Construction of Storm & Sanitary Sewers in Tysons Lane, Staten Island                          | Construction  | \$815,185.00            | 12/03          | NYCDDC<br>Tom O'Connor<br>(718)979-6603 |  |
| SEC20004H<br>Emergency Reconstruction of Collapsed or Otherwise Defective Storm & Sanitary Sewers - Citywide | Construction  | \$12,250,000.00         | 04/05          | NYCDEP<br>M. Abraham<br>718-595-4200    |  |
| SEC20004I<br>Emergency Reconstruction of Collapsed or Otherwise Defective Storm & Sanitary Sewers - Citywide | Construction  | \$11,250,000.00         | 02/06          | NYCDEP<br>M. Abraham<br>718-595-4200    |  |
| SER-002255<br>Construction of Storm & Sanitary Sewers in Forest Avenue, S.I.                                 | Construction  | \$6,957,820.00          | 03/06          | NYCDDC<br>Sanjay Modi<br>718-818-0239   |  |





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|--|---------------|-------------------------|----------------|---|---|
| SER-002250<br>Construction of Sanitary Sewers in Ganesvoort Blvd etc., S.I.                                  | Construction  | \$2,642,028.00          | 08/06          | NYCDDC  |   |
| SER-002234<br>Construction of Sanitary Sewers & Water Main in Pershing Street, S.I.                          | Construction  | \$667,155.00            | 10/06          | NYCDDC  |   |
| SEC20004J<br>Emergency Reconstruction of Collapsed or Otherwise Defective Storm & Sanitary Sewers - Citywide | Construction  | \$13,800,000.00         | 2/07           | NYCDEP  |   |
| UJFK424000<br>Sanitary Sewer Force Main for JetBlue Terminal, JFK Airport                                    | Construction  | \$2,663,600.00          | 8/07           | Port Authority of NY & NJ<br>Thomas Armoia<br>(718)244-4194 |   |
| CSO-OH-REG<br>Outer Harbor CSO Regulator Improvements in S.I. & Bklyn  | Construction  | \$4,390,100.00          | 11/07          | NYCDEP  |   |



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| Project & Location  | Contract Type | Contract Amount (\$000) | Date Completed | Owner Reference & Tel. No.   | Architect/ Engineer Reference & Tel. No., if different from owner |
|---|---------------|-------------------------|----------------|--|---|
| SEC20004"K"<br>Emergency Reconstruction of Collapsed or Otherwise Defective Storm & Sanitary Sewers, Citywide | Construction  | \$14,000,000.00         | 4/08           | NYCDEP<br>M. Abraham<br>(718)595-4200  |   |
| SER200185<br>Construction of Storm & Sanitary Sewers in Willow Avenue, etc., S.I.                             | Construction  | \$2,862,402.00          | 6/08           | NYCDDC   |   |
| SEC20004"L"<br>Emergency Reconstruction of Collapsed or Otherwise Defective Storm & Sanitary Sewers, Citywide | Construction  | \$12,500,000.00         | 3/09           | NYCDEP<br>M. Abraham<br>(718)595-4200  |   |
| 538-C<br>Sewer & Watermain Work @ Shaft27B for Completion of Manhattan Portion of City Tunnel #3 Stage 2      | Construction  | \$730,000.00            | 6/09           | Schiavone Construction Co./Shea Construction Frontier-Kemper Construction Joint Venture<br>Danielle Alviggi- (201)867-5070 |   |
| SEC20004"M"<br>Emergency Reconstruction of Collapsed or Otherwise Defective Storm & Sanitary Sewers, Citywide | Construction  | \$12,000,000.00         | 06/10          | NYCDEP<br>M. Abraham<br>(718)595-4200  |   |
| SER002229<br>Construction of Storm & Sanitary Sewers in Richmond Terrace, etc., S.I.                          | Construction  | \$10,795,551.00         | 06/10          | NYCDDC   |   |
| PWPHR08<br>Forensic Construction & Engineering Services, Citywide   | Construction  | \$140,000.00            | 7/10           | NYCDDC<br>Thomas Foley, P.E.<br>(212)442-1900  |   |







**C. PROJECT REFERENCES** PENDING CONTRACTS NOT YET STARTED BY THE BIDDER

List all contracts awarded to or won by the bidder but not yet started.

| Project & Location   | Contract Type | Contract Amount (\$000) | Date Completed | Owner Reference & Tel. No.              | Architect/Engineer Reference & Tel. No., if different from owner |
|--|---------------|-------------------------|----------------|---|--|
| SEC20004"O"<br>Emergency Reconstruction of Collapsed or Otherwise Defective Storm or Sanitary Sewers, Citywide | Construction  | \$14,000,000.00         |                | NYC Department of Design & Construction | M.A. Abraham<br>(718)586-4200                                    |
|  |               |                         |                |   |  |
|  |               |                         |                |   |  |
|  |               |                         |                |   |  |
|  |               |                         |                |   |  |
|  |               |                         |                |   |  |





*McLanay Associates*

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| SER-002252<br>Construction of Storm & Sanitary Sewers in Tysons Lane, Staten Island                          | Construction  | \$816,185.00            | 12/03          | NYCDDC<br>Tom O'Connor<br>(718)979-6603 |   |
| SEC20004H<br>Emergency Reconstruction of Collapsed or Otherwise Defective Storm & Sanitary Sewers - Citywide | Construction  | \$12,250,000.00         | 04/05          | NYCDEP<br>M. Abraham<br>718-595-4200    |   |
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| UJFK424000<br>Sanitary Sewer Force Main for JetBlue Terminal, JFK Airport                                    | Construction  | \$2,663,600.00           | 8/07           | Port Authority of NY & NJ<br>Thomas Arnoia<br>(718)244-4194 |   |
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| 538-C<br>Sewer & Watermain Work @ Shaft27B for Completion of Manhattan Portion of City Tunnel #3 Stage 2      | Construction  | \$730,000.00            | 6/09           | Schiavone Construction Co./Shea Construction Frontier-Kemper Construction Joint Venture<br>Danielle Alviggi- (201)867-5070 |   |
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List all contracts awarded to or won by the bidder but not yet started.

| Project & Location   | Contract Type | Contract Amount (\$000) | Date Completed | Owner Reference & Tel. No.              | Architect/ Engineer Reference & Tel. No., if different from owner |
|--|---------------|-------------------------|----------------|---|---|
| SEC20004101<br>Emergency Reconstruction of Collapsed or Otherwise Defective Storm or Sanitary Sewers, Citywide | Construction  | \$14,000,000.00         |                | NYC Department of Design & Construction | M.A. Abraham<br>(718)595-4200                                     |
|  |               |                         |                |   |   |
|  |               |                         |                |   |   |
|  |               |                         |                |   |   |
|  |               |                         |                |   |   |



#2

## M/WBE PROGRAM

### SCHEDULE B: SUBCONTRACTOR UTILIZATION PLAN

Schedule B: Subcontractor Utilization Plan: Schedule B: Subcontractor Utilization Plan for this Contract is set forth on the following pages of this Bid Booklet. Schedule B: Subcontractor Utilization Plan (Part I) indicates whether participation goals have been established for this Contract. If participation goals have been established for this Contract, the bidder must submit Schedule B: Subcontractor Utilization Plan (Part II) with its bid.

Contract Provisions: Contract provisions regarding the participation of MWBE firms are set forth in Article 77 of the Contract. The bidder is advised to review these contract provisions.

Waiver: The bidder may seek a full or partial pre-award waiver of the Target Subcontracting Percentage in accordance with Article 77 of the Contract (See Part A, Section 10). The bidder's request for a waiver must be submitted at least seven (7) calendar days prior to the bid date. Waiver requests submitted after the deadline will not be considered. The form for requesting a waiver of the Target Subcontracting Percentage is set forth in Schedule B: Subcontractor Utilization Plan (Part III).

Rejection of the Bid: The bidder must complete Schedule B: Subcontractor Utilization Plan (Part II) set forth on the following pages. Subcontractor Utilization Plans which do not include the required affirmations (on Page 2) will be deemed to be non-responsive, unless a full waiver of the Target Subcontracting Percentage is granted (Schedule B: Subcontractor Utilization Plan, Part III). In the event that the City determines that the bidder has submitted a Schedule B: Subcontractor Utilization Plan where the required affirmations are completed but other aspects of the Plan are not complete, or contain a copy or computation error that is at odds with the affirmation, the bidder will be notified by the Agency and will be given four (4) calendar days from receipt of notification to cure the specified deficiencies and return a completed plan to the Agency. Failure to do so will result in a determination that the Bid is non-responsive. Receipt of notification is defined as the date notice is emailed or faxed (if the bidder has provided an email address or fax number), or no later than five (5) days from the date of mailing or upon delivery, if delivered.

Impact on LBE Requirements: If goals have been established for the participation of M/WBEs, the contractor is not required to comply with the Locally Based Enterprise Program ("LBE"). The LBE Program is set forth in Article 67 of the Contract.



THE CITY OF NEW YORK

**SCHEDULE B - Subcontractor Utilization Plan - Part I: Agency's Target**

This page to be completed by contracting agency

**Contract Overview**

Pin # 8502011SE0018C FMS Project ID #: SE-809  
 Project Title CONSTRUCTION OF SANITARY AND STORM SEWERS AND APPURTENANCES  
 Contracting Agency Department of Design and Construction  
 Agency Address 30-30 Thomson Ave City Long Island City State NY Zip Code 11101  
 Contact Person ALICIA WILLIAMS Title MWBE Liaison & Compliance Analyst  
 Telephone # (718) 391-2610 Email williamal@ddc.nyc.gov

**Project Description** (attach additional pages if necessary)

**PROJECT ID: SE809**

**CONSTRUCTION OF SANITARY AND STORM SEWERS AND APPURTENANCES IN N.B. WHITESTONE EXPRESSWAY SERVICE ROAD BETWEEN 25<sup>TH</sup> AVENUE AND FLUSHING RIVER; ETC.**

**INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC SIGNAL WORK**

**Together With All Work Incidental Thereto**

**BOROUGH OF QUEENS**

**CITY OF NEW YORK**

(1)  **Target Subcontracting Percentage**  
 Percentage of total contract dollar value that agency estimates will be awarded to subcontractors in amounts under \$1 million for construction and professional services. 2 %

**Subcontractor Participation Goals\***  
 Complete and enter total for each Construction or Professional Services, or both (if applicable)

| Group                            | Construction    | Professional Services |
|----------------------------------|-----------------|-----------------------|
| Black American                   | UNSPECIFIED %   | 0 %                   |
| Hispanic American                | UNSPECIFIED %   | 0 %                   |
| Asian American                   | UNSPECIFIED %   | No Goal               |
| Caucasian Female                 | No Goal         | 0 %                   |
| <b>Total Participation Goals</b> | <b>(2) 50 %</b> | <b>(3) 0 %</b>        |

\*Note: For this procurement, individual ethnicity and gender goals are not specified. The Total Participation Goals for construction subcontracts may be met by using Black American, Hispanic American or Asian American firms or any combination of such firms

### SCHEDULE B - Subcontractor Utilization Plan - Part II: Bidder/Proposer Subcontracting Plan

This page and the next (Part II herein) are to be completed by the bidder/proposer. **REQUIRED AFFIRMATIONS; Bidder/proposer must check the applicable boxes below, affirming compliance with M/WBE requirements or the bid/proposal will be deemed non-responsive.**

Bidder/proposer  AFFIRMS or  DOES NOT AFFIRM [statement below]

It is a material term of the contract to be awarded that, with respect to the total amount of the contract to be awarded, bidder/proposer will award one or more subcontracts for amounts under one million dollars, sufficient to meet or exceed the Target Subcontracting Percentage (as set forth in Part I) unless it obtains a full or partial waiver thereof, and it will award subcontracts sufficient to meet or exceed the Total Participation Goals (as set forth in Part I) unless such goals are modified by the Agency.

Bidder/proposer  AFFIRMS that it intends to meet or exceed the Target Subcontracting Percentage (as set forth in Part I); or

AFFIRMS that it has obtained a full/partial pre-award waiver of the Target Subcontracting Percentage (as set forth in Part I) and intends to award the modified Target Subcontracting Percentage, if any; or

DOES NOT AFFIRM

#### Section I: Prime Contractor Contact Information

Tax ID # 01-0149585 FMS Vendor ID # 0002125882  
 Business Name DeLaney Associates, P.C. Contact Person Kenneth J. Delaney  
 Address 125-08 20 Avenue Flushing, NY 11354  
 Telephone # 718-359-4300 E-mail KJDfeuer@AOL.COM

#### Section II: General Contract Information

- Define the industry in which work is to be performed.
  - Construction includes all contracts for the construction, rehabilitation, and/or renovation of physical structures. This category does include CM Build as well as other construction related services such as: demolition, asbestos and lead abatement, and painting services, carpentry services, carpet installation and removal, where related to new construction and not maintenance.
  - Professional Services are a class of services that typically require the provider to have some specialized field or advanced degree. Services of this type include: legal, management consulting, information technology, accounting, auditing, actuarial, advertising, health services, pure construction management, environmental analysis, scientific testing, architecture and engineering, and traffic studies, and similar services.
- Type of work on Prime Contract (Check one):
  - Construction  Professional Services
- Type of work on Subcontract (Check all that apply):
  - Construction  Professional Services  Other
- What is the expected percentage of the total contract dollar value that you expect to award to all subcontracts? 2 %
- Will you award subcontract(s) in amounts below \$ 1 million for construction and/or professional Services contracts within the first 12 months of the notice to proceed on the contract?  Yes  No

#### Section III: Subcontractor Utilization Summary

**IMPORTANT: If you do not anticipate that you will subcontract at the target level the agency has specified, because you will perform more of the work yourself, you must seek a waiver of the Target Subcontracting Percentage by completing p.9).**

| Step 1:<br>Calculate the percentage (of your total bid) that will go towards subcontracts under \$ 1M for construction and/or Professional services | Subcontracts under \$1M (4)<br>(construction/professional services) | Total Bid/Proposal Value | Calculated Target Subcontracting Percentage |
|---|---|--------------------------|---|
|   | <u>\$ 1,125,000.00</u>  | <u>\$ 56,221,907.50</u>  | <u>2</u> %                                  |

• Subcontracts under \$1M (construction/professional services): Enter the value you expect to award to subcontractors in dollars for amounts under \$1 million for construction and/or professional services. This value defines the amount that participation goals apply to, and will be entered into the first line of Step 2.  
 • Total Bid/Proposal Value: Provide the dollar amount of the bid/proposal.  
 • Calculated Target Subcontracting Percentage: The percentage of the total contract dollar value that will be awarded to one or more subcontractors for amounts under \$1 million for construction and/or professional services. This percentage must equal or exceed the percentage listed by the agency on page 6, at line (1).

NOTE: The "Calculated Target Subcontracting Percentage" MUST equal or exceed the Target Subcontracting Percentage listed by the agency on Page 6, Line (1).

**SCHEDULE B - Subcontractor Utilization Plan - Part II: Bidder/Proposer Subcontracting Plan - cont.**

Step 2:  
Calculate value of subcontractor participation goals

a. Copy value from Step 1, line (4) – the total value of all expected subcontracts Under \$1M for construction and/or professional services

**Subcontracts under \$1M**  
(construction/professional services)  
\$ 1,125,000.00

b. • From line a. above, allocate the dollar value of "Subcontracts Under \$1M" by Construction and Professional Services.  
• If all subcontracts under \$1M are in one industry, enter "0" for the industry with no subcontracts.  
• Amounts listed on these lines should add up to the value from line a.

|                        |                       |
|------------------------|-----------------------|
| Construction           | Professional Services |
| \$ <u>1,125,000.00</u> | \$ <u>-0-</u>         |

c. • **Subcontracts under \$1M by industry**  
• For Construction enter percentage from line (2) from Page 6.  
• For Professional Services enter percentage from line (3) from Page 6.

Total Participation Goals x 50 % x -0- %

d. Total Participation Goals Percentages must be copied from Part I, lines (2) and (3).

Value of Total Participation Goals \$ 562,500.00      \$ -0-

Step 3: Enter brief description of type(s) of subcontracts in amounts under \$1M anticipated, by Type of work, not by name of subcontractor

✓ Subcontracts in Amounts Under \$1 M Scope of Work - Construction

ELECTRIC - 80,000 -  
LANDSCAPE 150,000 - MBE  
REBAR 412,000 - MBE  
TRUCKING 483,000

✓ Subcontracts in Amounts Under \$1 M Scope of Work - Professional Services

Enter brief description of type(s) of subcontracts in amounts under \$1M anticipated, by Type of work, not by name of subcontractor

**Section IV: Vendor Certification and Required Affirmations**

I hereby 1) acknowledge my understanding of the M/WBE requirements as set forth herein and the pertinent provisions of Local Law 129 of 2005, and the rules promulgated thereunder; 2) affirm that the information supplied in support of this subcontractor utilization plan is true and correct; 3) agree, if awarded this Contract, to comply with the M/WBE requirements of this Contract and the pertinent provisions of Local Law 129 of 2005, and the rules promulgated thereunder, all of which shall be deemed to be material terms of this contract; 4) agree and affirm that it is a material term of this contract that the Vendor will award subcontract(s) sufficient to meet the Target Subcontracting Percentage, unless a waiver is obtained, and the Vendor will award subcontract(s) sufficient to meet the Total Participation Goals unless such goals are modified by the Agency; and 5) agree and affirm, if awarded this contract the Vendor intends to make all reasonable, good faith efforts to meet the Target Subcontracting Percentage, or if the Vendor has obtained a waiver, the Vendor intends to meet the modified Target Subcontracting Percentage, if any, and the Vendor intends to solicit and obtain the participation of M/WBEs so as to meet the Total Participation Goals unless modified by the Agency.

Signature Kenneth J. Deaney Date 5/24/11  
Print Name Kenneth J. Deaney Title PARTNER

**SCHEDULE B - PART III - REQUEST FOR WAIVER OF TARGET SUBCONTRACTING PERCENTAGE**

**Contract Overview**

Tax ID # \_\_\_\_\_ FMS Vendor ID # \_\_\_\_\_  
 Business Name \_\_\_\_\_  
 Contact Name \_\_\_\_\_ Telephone # \_\_\_\_\_ Email \_\_\_\_\_

Type of Procurement  Competitive Sealed Bids  Other Bid/Response Due Date \_\_\_\_\_

PIN # (for this procurement) \_\_\_\_\_ Type of work on Prime Contract (Check one):  
 Construction  Professional Services  
 Type of work on Subcontract (Check all that apply):  
 Construction  Other  
 Professional Services

**SUBCONTRACTING as described in bid/solicitation documents (Copy this % figure from the solicitation)**  
 \_\_\_\_\_ % of the total contract value anticipated by the agency to be subcontracted for construction/professional services subcontracts valued below \$1 million (each)

**ACTUAL SUBCONTRACTING as anticipated by vendor seeking waiver**  
 \_\_\_\_\_ % of the total contract value anticipated in good faith by the bidder/proposer to be subcontracted for construction/professional services subcontracts valued below \$1 million (each)

**Basis for Waiver Request: Check appropriate box & explain in detail below (attach additional pages if needed)**

- Vendor does not subcontract construction/professional services, and has the capacity and good faith intention to perform all such work itself.
- Vendor subcontracts *some* of this type of work but at *lower* % than bid/solicitation describes, and has the capacity and good faith intention to do so on this contract.
- Other \_\_\_\_\_

**References**

List 3 most recent contracts/subcontracts performed for NYC agencies (if any)

CONTRACT NO. \_\_\_\_\_ AGENCY \_\_\_\_\_ DATE COMPLETED \_\_\_\_\_  
 CONTRACT NO. \_\_\_\_\_ AGENCY \_\_\_\_\_ DATE COMPLETED \_\_\_\_\_  
 CONTRACT NO. \_\_\_\_\_ AGENCY \_\_\_\_\_ DATE COMPLETED \_\_\_\_\_

List 3 most recent contracts/subcontracts performed for other agencies/entities (complete ONLY if vendor has performed fewer than 3 NYC contracts)

TYPE OF WORK \_\_\_\_\_ AGENCY/ENTITY \_\_\_\_\_ DATE COMPLETED \_\_\_\_\_  
 Manager at agency/entity that hired vendor (Name/Phone No.) \_\_\_\_\_  
 TYPE OF WORK \_\_\_\_\_ AGENCY/ENTITY \_\_\_\_\_ DATE COMPLETED \_\_\_\_\_  
 Manager at agency/entity that hired vendor (Name/Phone No.) \_\_\_\_\_  
 TYPE OF WORK \_\_\_\_\_ AGENCY/ENTITY \_\_\_\_\_ DATE COMPLETED \_\_\_\_\_  
 Manager at agency/entity that hired vendor (Name/Phone No.) \_\_\_\_\_

**VENDOR CERTIFICATION: I hereby affirm that the information supplied in support of this waiver request is true and correct, and that this request is made in good faith.**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
 Print Name: \_\_\_\_\_ Title: \_\_\_\_\_

*Shaded area below is for agency completion only*

**AGENCY CHIEF CONTRACTING OFFICER APPROVAL**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**CITY CHIEF PROCUREMENT OFFICER APPROVAL**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**(NO TEXT ON THIS PAGE)**



# SAFETY QUESTIONNAIRE

Project ID. \_\_\_\_\_

The bidder must include, with its bid, all information requested on this Safety Questionnaire. Failure to provide a completed and signed Safety Questionnaire at the time of bid opening may result in disqualification of the bid as non-responsive.

**1. Bidder Information:**

Company Name: DELANEY ASSOCIATES LP

DDC Project Number: \_\_\_\_\_

Company Size:  Ten (10) employees or less  
 Greater than ten (10) employees

Company has previously worked for DDC

**2. Type(s) of Construction Work**

| TYPE OF WORK                         | LAST 3 YEARS | THIS PROJECT |
|--------------------------------------|--------------|--------------|
| General Building Construction        | _____        | _____        |
| Residential Building Construction    | _____        | _____        |
| Nonresidential Building Construction | _____        | _____        |
| Heavy Construction, except building  | _____        | _____        |
| Highway and Street Construction      | ✓            | ✓            |
| Heavy Construction, except highways  | ✓            | ✓            |
| Plumbing, Heating, HVAC              | _____        | _____        |
| Painting and Paper Hanging           | _____        | _____        |
| Electrical Work                      | _____        | _____        |
| Masonry, Stonework and Plastering    | _____        | _____        |
| Carpentry and Floor Work             | _____        | _____        |
| Roofing, Siding, and Sheet Metal     | _____        | _____        |
| Concrete Work                        | _____        | _____        |
| Specialty Trade Contracting          | _____        | _____        |
| Asbestos Abatement                   | _____        | _____        |
| Other (specify)                      | _____        | _____        |

**3. Experience Modification Rate:**

The Experience Modification Rate (EMR) is a rating generated by the National Council of Compensation Insurance (NCCI). This rating is used to determine the contractor's premium for worker's compensation insurance. The contractor may obtain its EMR by contacting its insurance broker or the NCCI. If the contractor cannot obtain its EMR, it must submit a written explanation as to why.



Project ID. \_\_\_\_\_

The Contractor must indicate its Intrastate and Interstate EMR for the past three years. [Note: For contractors with less than three years of experience, the EMR will be considered to be 1.00].

| YEAR        | INTRASTATE RATE | INTERSTATE RATE |
|-------------|-----------------|-----------------|
| <u>2010</u> | <u>1.01</u>     | _____           |
| <u>2009</u> | <u>.94</u>      | _____           |
| <u>2008</u> | <u>.97</u>      | _____           |

If the Intrastate and/or Interstate EMR for any of the past three years is greater than 1.00, the contractor must attach, to this questionnaire, a written explanation for the rating and identify what corrective action was taken to correct the situation resulting in that rating.

**4. OSHA Information:**

none

Contractor has received a willful violation issued by OSHA or New York City Department of Buildings (NYCDOB) within the last three years.

none

Contractor has had an incident requiring OSHA notification within 8 hours (i.e., fatality, or hospitalization of three or more employees).

The Occupational Safety and Health Act (OSHA) of 1970 requires employers with ten or more employees, on a yearly basis to complete and maintain on file the form entitled "Log of Work-related Injuries and Illnesses". This form is commonly referred to as the OSHA 300 Log (OSHA 200 Log for 2001 and earlier).

The OSHA 300 Log must be submitted for the last three years for contractors with more than ten employees.

The Contractor must indicate the total number of hours worked by its employees, as reflected in payroll records for the past three years.

The contractor must submit the Incident Rate for Lost Time Injuries (the Incident Rate) for the past three years. The Incident Rate is calculated in accordance with the formula set forth below. For each given year, the total number of incidents is the total number of non-fatal injuries and illnesses reported on the OSHA 300 Log. The 200,000 hours represents the equivalent of 100 employees working forty hours a week, fifty weeks per year.

Incident Rate =

$$\frac{\text{Total Number of Incidents} \times 200,000}{\text{Total Number of Hours Worked by Employees}}$$



Project ID. \_\_\_\_\_

| YEAR        | TOTAL NUMBERS OF HOURS WORKED BY EMPLOYEES | INCIDENT RATE |
|-------------|--|---------------|
| <u>2010</u> | <u>163,646</u>                             | <u>3.67</u>   |
| <u>2009</u> | <u>164,636</u>                             | <u>3.64</u>   |
| <u>2008</u> | <u>152,121</u>                             | <u>5.24</u>   |

If the contractor's Incident Rate for any of the past three years is one point higher than the Incident Rate for the type of construction it performs (listed below), the contractor must attach, to this questionnaire, a written explanation for the relatively high rate.

|                                      |      |
|--------------------------------------|------|
| General Building Construction        | 8.5  |
| Residential Building Construction    | 7.0  |
| Nonresidential Building Construction | 10.2 |
| Heavy Construction, except building  | 8.7  |
| Highway and Street Construction      | 9.7  |
| Heavy Construction, except highways  | 8.3  |
| Plumbing, Heating, HVAC              | 11.3 |
| Painting and Paper Hanging           | 6.9  |
| Electrical Work                      | 9.5  |
| Masonry, Stonework and Plastering    | 10.5 |
| Carpentry and Floor Work             | 12.2 |
| Roofing, Siding, and Sheet Metal     | 10.3 |
| Concrete Work                        | 8.6  |
| Specialty Trade Contracting          | 8.6  |

5. Safety Performance on Previous DDC Project(s)

YES Contractor previously <sup>VISITED</sup> audited by the DDC Office of Site Safety.

DDC Project Number(s): SR002220, SR002229  
SR2004'L"

YES Accident on previous DDC Project(s).

NO Fatality or Life-altering Injury on DDC Project(s) within the last three years.  
[Examples of a life-altering injury include loss of limb, loss of a sense (e.g., sight, hearing), or loss of neurological function].

Date: 5/24/11

By: [Signature]  
(Signature of Owner, Partner, Corporate Officer)

Title: PARTNER



# Log of Work-Related Injuries and Illnesses

You must record information about every work-related death and about every work-related injury or illness that involves loss of consciousness, restricted work activity or job transfer, days away from work, or medical treatment beyond first aid. You must also record significant work-related injuries and illnesses that are diagnosed by a physician or licensed health care professional. You must also record work-related injuries and illnesses that meet any of the specific recording criteria listed in 29 CFR Part 1904.4 through 1904.12. Feel free to use two lines for a single case if you need to. You must complete an Injury and Illness Incident Report (OSHA Form 301) or equivalent form for each injury or illness recorded on this form. If you're not sure whether a case is recordable, call your local OSHA office for help.

**Attention:** This form contains information relating to employee health and must be used in a manner that protects the confidentiality of employees to the extent possible while the information is being used for occupational safety and health purposes.

Year 20 1 0  
U.S. Department of Labor  
Occupational Safety and Health Administration  
Form approved OSHA no. 121

Establishment name  
Flushing  
Delaney Associates, LP  
City NY

**Identify the person**

**Describe the case**

(A) Case no. (B) Employee's name (C) Job title (e.g., Worker) (D) Date of injury or onset (e.g., 01/15/12) (E) Where the event occurred (e.g., Loading dock north end) (F) Describe injury or illness, parts of body affected, and objective data that directly injured or made person ill (e.g., Second degree burns on right forearm from acetone torch)

**Classify the case**  
CHECK ONLY ONE box for each case based on the most serious outcome for that case:  
(g) Death (h) Days away from work (i) Job transfer or restriction (j) Other recordable case

Enter the number of days the injured or ill worker was:  
(k) Average number of days lost due to work restriction (l) On job transfer or restriction (m) Slighter condition (n) Respiratory condition (o) Poisoning (p) Hearing loss

| (A) | (B)             | (C)       | (D)      | (E)                | (F)                   | (g)                      | (h)                                 | (i)                      | (j)                      | (k) | (l) | (m) | (n) | (o) | (p) |
|-----|-----------------|-----------|----------|--------------------|-----------------------|--------------------------|-------------------------------------|--------------------------|--------------------------|-----|-----|-----|-----|-----|-----|
| 1   | Armando Corriea | Op. Eng   | 01/15/12 | Field              | Chronic Bronchitis    | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
| 2   | Steven Boyle    | Timb. For | 07/12/12 | Oriental Bld Bklyn | Burn on face and arm  | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 18  |     |     |     |     |     |
| 3   | Sam Bally       | Timberman | 12/23/12 | W. 136th St., NYC  | Car hit and broke leg | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 8   |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
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|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
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|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
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|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
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|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
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|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> |     |     |     |     |     |     |
|     |                 |           |          |                    |                       | <input type="checkbox"/> | <input type="checkbox"/>            |                          |                          |     |     |     |     |     |     |





# Summary of Work-Related Injuries and Illnesses

All establishments covered by Part 1904 must complete this Summary page, even if no work-related injuries or illnesses occurred during the year. Remember to review the Log to verify that the entries are complete and accurate before completing this summary.

Using the Log, count the individual entries you made for each category. Then write the totals below, making sure you've added the entries from every page of the Log. If you had no cases, write "0".

Employees, former employees, and their representatives have the right to review the OSHA Form 300 in its entirety. They also have limited access to the OSHA Form 301 or its equivalent. See 29 CFR Part 1904.35, in OSHA's recordkeeping rule, for further details on the access provisions for these forms.

| Number of Cases        |  |  |  |
|------------------------|--|--|--|
| Total number of deaths | Total number of cases with days away from work | Total number of cases with job transfer or restriction | Total number of other recordable cases |
| 0                      | 2  |  | 0                                      |
| (g)                    | (h)  | (i)  | (j)                                    |

| Number of Days                      |   |
|-------------------------------------|---|
| Total number of days away from work | Total number of days of job transfer or restriction |
| 26                                  | 0   |
| (k)                                 | (l)   |

| Injury and Illness Types   |              |                  |                         |
|----------------------------|--------------|------------------|-------------------------|
| Total number of ...        | (1) Injuries | (4) Poisonings   | (6) All other illnesses |
| (2) Skin disorders         | 2            | (5) Hearing loss |                         |
| (3) Respiratory conditions | 1            |                  |                         |

Post this Summary page from February 1 to April 30 of the year following the year covered by the form.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time to review the instructions, search and gather the data needed, and complete and review the collection of information. Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number. If you have any comments about these estimates or any other aspect of this data collection, contact: US Department of Labor, OSHA Office of Statistical Analysis, Room N-3644, 200 Constitution Avenue, NW, Washington, DC 20210. Do not send the completed form to this office.

**Establishment Information**

Your establishment name: Delaney Associates, LP

Street: 125-08 26th Avenue

City: Flushing State: NY ZIP: 11354

Industry description (e.g., Manufacturer of motor truck trailers)

Standard Industrial Classification (SIC), if known (e.g., 3715)

OR

North American Industrial Classification (NAICS), if known (e.g., 36212)

**Employment Information** (If you don't have these figures, see the instructions on the back of this page to estimate.)

Annual average number of employees: 75

Total hours worked by all employees last year: 163,646

**Sign here**

Knowingly falsifying this document may result in a fine.

I certify that I have examined this document and that to the best of my knowledge the entries are true, accurate, and complete.

Manfred A. ... Partner

Company Executive

(718) 352-4360

3/17/11



# Summary of Work-Related Injuries and Illnesses

Year 20 09

All establishments covered by Part 1904 must complete this Summary page, even if no work-related injuries or illnesses occurred during the year. Remember to review the Log to verify that the entries are complete and accurate before compiling this summary.  
Using the Log, count the individual entries you made for each category. Then write the totals below, making sure you've added the entries from every page of the Log. If you had no cases, write "0".

Employees, former employees, and their representatives have the right to review the OSHA Form 300 in its entirety. They also have limited access to the OSHA Form 301 or its equivalent. See 29 CFR Part 1904.35. In OSHA's recordkeeping rule, for further details on the access provisions for these forms.

| Number of Cases        |  |  |  |
|------------------------|--|--|--|
| Total number of deaths | Total number of cases with days away from work | Total number of cases with job transfer or restriction | Total number of other recordable cases |
| 0                      | 2  | 0  | 0                                      |
| (G)                    | (H)  | (I)  | (J)                                    |

| Number of Days                      |   |
|-------------------------------------|---|
| Total number of days away from work | Total number of days of job transfer or restriction |
| 228                                 | 0   |
| (K)                                 | (L)   |

| Injury and Illness Types |                  |                    |                            |
|--------------------------|------------------|--------------------|----------------------------|
| Total number of...       | (1) Injuries (A) | (2) Skin disorders | (3) Respiratory conditions |
|                          | 3                | 0                  | 0                          |
|                          | (4) Poisonings   | (5) Hearing loss   | (6) All other illnesses    |
|                          | 0                | 0                  | 0                          |

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**Establishment Information**

Your establishment name: Delaney Associates, LP  
 Street: 125-08 46 Avenue  
 City: Flushing State: NY ZIP: 11354

Industry description (e.g., *Manufacture of rubber, plastic, and other synthetic materials*):  
Construction

Standard Industrial Classification (SIC), if known (e.g., 3715):  
 OR  
 North American Industrial Classification (NAICS), if known (e.g., 336212)

**Employment Information** (If you don't have these figures, see the Worksheet on the back of this page for estimates.)  
 Annual average number of employees: 75

Total hours worked by all employees last year: 164,636

**Sign here**  
 Knowingly falsifying this document may result in a fine.

I certify that I have examined this document and that to the best of my knowledge the entries are true, accurate, and complete.  
Mick Strayker Partner  
 Title: 1/8/10  
 Date: 1/8/10







# Log of Work-Related Injuries and Illnesses

You must record information about every work-related death and about every work-related injury or illness that involves loss of consciousness, restricted work activity or job transfer, days away from work, or medical treatment beyond first aid. You must also record significant work-related injuries and illnesses that are diagnosed by a physician or licensed health care professional. You must also record work-related injuries and illnesses that meet any of the specific recording criteria listed in 29 CFR Part 1904.8 through 1904.12. Feel free to use two lines for a single case if you need to. You must complete an Injury and Illness Incident Report (OSHA Form 301) or equivalent form for each injury or illness recorded on this form. If you're not sure whether a case is recordable, call your local OSHA office for help.

**Attention:** This form contains information relating to employee health and must be used in a manner that protects the confidentiality of employees to the extent possible while the information is being used for occupational safety and health purposes.

Year 2008  
 U.S. Department of Labor  
 Occupational Safety and Health Administration  
 Form approved OMB no. 12184  
 Establishment name: Delaney Associates, LP  
 City: Flushing, NY

| Identify the person |                        | Describe the case               |   | Classify the case  |  | Enter the number of days the injured or ill worker was |   | On job                              |                          | Check the "yes" column above any type of illness |                            |                          |                          |                              |                          |                          |                          |
|---------------------|------------------------|---------------------------------|---|--|--|--|---|-------------------------------------|--------------------------|--|----------------------------|--------------------------|--------------------------|------------------------------|--------------------------|--------------------------|--------------------------|
| (A)<br>Case no.     | (B)<br>Employee's name | (C)<br>Job title (e.g., Welder) | (D)<br>Date of injury or onset of illness | (E)<br>Where the event occurred (e.g., Landing deck north end) | (F)<br>Describe injury or illness, parts of body affected, and object/substance that directly injured or made person ill (e.g., Second degree burns on right forearm from acetylene torch) | (G)<br>Death   | (H)<br>Days away from work or restriction | (I)<br>Job transfer or modification | (J)<br>Other restriction | (K)<br>Days from work                            | (L)<br>Days of restriction | (1)<br>Skin disorder     | (2)<br>Skin disorder     | (3)<br>Respiratory condition | (4)<br>Poisoning         | (5)<br>Hearing loss      | (6)<br>All other         |
| 1                   | Fredy Zeas             | Laborer                         | month/day /                               | Chryslie St & Broome St, NYC                                   | Steel plate slipped off of chain when it was removed from trench/ fall on employee's left foot   | <input type="checkbox"/>                               | <input checked="" type="checkbox"/>       | <input type="checkbox"/>            | <input type="checkbox"/> | 220  | days                       | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2                   | Antonio Rama           | Laborer                         | month/day /                               | Willow Ave & Bay St., S.I.                                     | Employee was guiding pipe from roadway into trench when chain holding pipe snapped and hit employee under eye.   | <input type="checkbox"/>                               | <input checked="" type="checkbox"/>       | <input type="checkbox"/>            | <input type="checkbox"/> | 11   | days                       | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3                   | Anthony D'Ambra        | Laborer                         | month/day /                               | 126th St. & Bwy, NYC   | Employee fell in small hole created when 1 plate was removed from trench, hitting left side of body.   | <input type="checkbox"/>                               | <input type="checkbox"/>                  | <input type="checkbox"/>            | <input type="checkbox"/> | 0  | days                       | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 4                   | Francisco Miguez       | Laborer                         | month/day /                               | Richmond Terrace S.I.  | Employee was placing pipe into trench when pipe hit trench wall causing rock to fall and hit right ankle, breaking it.   | <input type="checkbox"/>                               | <input checked="" type="checkbox"/>       | <input type="checkbox"/>            | <input type="checkbox"/> | 18   | days                       | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                     |                        |                                 | month/day /                               |  |  | <input type="checkbox"/>                               | <input type="checkbox"/>                  | <input type="checkbox"/>            | <input type="checkbox"/> | 51   | days                       | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Page totals: 0 0 0 0 51 0  
 Be sure to transfer these totals to the Summary page (Form 300A) before you post it.





# Summary of Work-Related Injuries and Illnesses

All establishments covered by Part 1904 must complete this Summary page, even if no work-related injuries or illnesses occurred during the year. Remember to review the Log to verify that the entries are complete and accurate before completing this summary. Using the Log, count the individual entries you made for each category. Then write the totals below, making sure you've added the entries from every page of the Log. If you had no cases, write "0".

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### Number of Cases

| Total number of deaths | Total number of cases with days away from work | Total number of cases with job transfer or restriction | Total number of other recordable cases |
|------------------------|--|--|--|
| 0                      | 3  | 0  | 0                                      |
| (G)                    | (H)  | (I)  | (J)                                    |

### Number of Days

| Total number of days away from work | Total number of days of job transfer or restriction |
|-------------------------------------|---|
| 51                                  | 0   |
| (K)                                 | (L)   |

### Injury and Illness Types

| Total number of...         | (1) Injuries (M) | (4) Poisonings | (5) Hearing loss | (6) All other illnesses |
|----------------------------|------------------|----------------|------------------|-------------------------|
| (2) Skin disorders         | 4                | 0              | 0                | 0                       |
| (3) Respiratory conditions | 0                | 0              | 0                | 0                       |

Post this Summary page from February 1 to April 30 of the year following the year covered by the form.

Public reporting burden for this collection of information is estimated to average 50 minutes per response, including time to review the instructions, search and gather the data needed, and complete and review the collection of information. Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number. If you have any comments about this estimate or any other aspect of this data collection, contact: U.S. Department of Labor, OSHA Office of Statistical Analysis, Room N-3614, 2001 Constitution Avenue, NW, Washington, DC 20210. Do not send the completed forms to this office.

### Establishment Information

Your establishment name: Delaney Associates, LP  
 Street: 125-18 26 Avenue  
 City: Flushing State: NY ZIP: 11354

Industry description (e.g., Manufacture of motor truck trailers)  
Construction

Standard Industrial Classification (SIC), if known (e.g., 3715)  
 \_\_\_\_\_

OR

North American Industrial Classification (NAICS), if known (e.g., 336212)  
 \_\_\_\_\_

**Employment Information** (If you don't have these figures, see the Worksheet on the back of this page to estimate.)  
 Annual average number of employees: 75

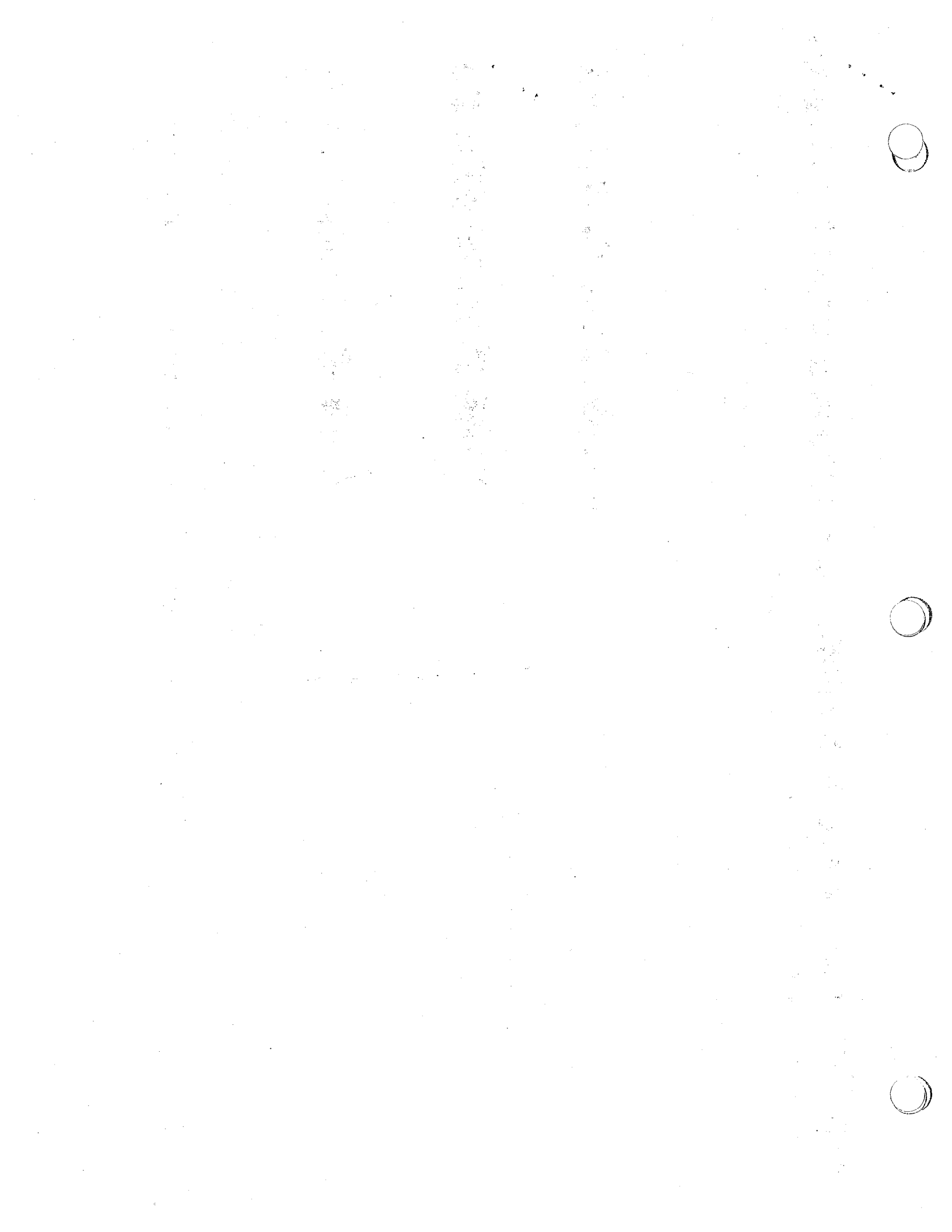
Total hours worked by all employees last year: 152,620

### Sign here

Knowingly falsifying this document may result in a fine.

I certify that I have examined this document and that to the best of my knowledge the entries are true, accurate, and complete.

Neil M. Delaney Partner  
 Title: Partner  
 Telephone: 718 354-4300  
 Date: 1/28/09



**VENDEX COMPLIANCE**

(A) **Vendex Fees:** Pursuant to Procurement Policy Board Rule 2-08(f)(2), the contractor will be charged a fee for the administration of the VENDEX system, including the Vendor Name Check process, if a Vendor Name Check review is required to be conducted by the Department of Investigation. The contractor shall also be required to pay the applicable required fees for any of its subcontractors for which Vendor Name Check reviews are required. The fee(s) will be deducted from payments made to the contractor under the contract. For contracts with an estimated value of less than or equal to \$1,000,000, the fee will be \$175 per Vendor Name Check review. For contracts with an estimated value of greater than \$1,000,000, the fee will be \$350 per Vendor Name Check review.

(B) **Confirmation of Vendex Compliance:** The Bidder shall submit this Confirmation of Vendex Compliance to the Department of Design and Construction, Contracts Section, 30-30 Thomson Avenue – First Floor, Long Island City, NY 11101.

**Bid Information:** The Bidder shall complete the bid information set forth below.

Name of Bidder: DELANEY ASSOCIATES CP  
Bidder's Address: 125-08 26 AVENUE FLUSHING, NY 11354  
Bidder's Telephone Number: 718-359-4300  
Bidder's Fax Number: 718-880-1519  
Date of Bid Opening: 5/24/11  
Project ID: Se 809

**Vendex Compliance:** To demonstrate compliance with Vendex requirements, the Bidder shall complete either Section (1) or Section (2) below, whichever applies.

(1) **Submission of Vendex Questionnaires to MOCS:** By signing in the space provided below, the Bidder certifies that as of the date specified below, the Bidder has submitted Vendex Questionnaires to the Mayor's Office of Contract Services, Attn: VENDEX, 253 Broadway, 9<sup>th</sup> Floor, New York, New York 10007.

Date of Submission: \_\_\_\_\_

By: \_\_\_\_\_  
(Signature of Partner or corporate officer)

Print Name: \_\_\_\_\_

(2) **Submission of Certification of No Change to DDC:** By signing in the space provided below, the Bidder certifies that it has read the instructions in a "Vendor's Guide to Vendex" and that such instructions do not require the Bidder to submit Vendex Questionnaires. The Bidder has completed **TWO ORIGINALS** of the Certification of No Change set forth on the next page of this Bid Booklet.

By: [Signature]  
(Signature of Partner or corporate officer)

Print Name: KAREN JARZYNSKA, PARTNER

**(NO TEXT ON THIS PAGE)**

**DIRECTIONS:** Please execute two originals (both with original signature).

**CERTIFICATE OF NO CHANGE**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS CERTIFICATION, AND/OR THE FAILURE TO CONDUCT APPROPRIATE DUE DILIGENCE IN VERIFYING THE INFORMATION THAT IS THE SUBJECT MATTER OF THIS CERTIFICATION, MAY RESULT IN RENDERING THE SUBMITTED ENTITY NON - RESPONSIVE FOR THE PURPOSE OF CONTRACT AWARD, AND A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS CERTIFICATION MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Submitting entity DeLaney Associates LP  
EIN/TIN 01-0749525  
Address 125-08 215 Avenue Flushing, NY 11357

I, KAREN JARZYNSKA, being duly sworn, state that I have read and understand all the items contained in the VENDOR questionnaire, dated 10/11/09 [if applicable: and the submission(s) dated \_\_\_\_\_, updating the information in that questionnaire]; and that, to the best of my knowledge, information and belief, the answers contained in the \_\_\_\_\_ questionnaire [if applicable: as modified by the submission(s) dated \_\_\_\_\_,] are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate. I further certify on behalf of the submitting vendor that the information contained in the principal questionnaires for KAREN J. DELANEY dated 10/11/09, FRANK FORTE, dated 10/11/09, KAREN JARZYNSKA dated 10/11/09, [if applicable: and the submission(s) dated \_\_\_\_\_, updating the information in those questionnaires] has been verified and continues to the best of my knowledge to be full, complete and accurate. I understand that the City of New York will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

|   |  |
|---|--|
| Sworn to before me this <u>24</u> day of <u>MAY</u> 20 <u>11</u>        |  |
| <u>Kathleen A Lane</u><br>Notary Public                                 | <u>Queens 01-LA5016554</u><br>County License Issued License Number             |
| BY <u>KAREN JARZYNSKA</u><br>Print name                                 | <b>Kathleen A. Lane</b><br>Notary Public State of New York<br>No. 01-LA5016554 |
| <u>PARTNER</u><br>Title   | Qualified in Queens, New York<br>Commission Expires<br>August 16, 20 <u>13</u> |
| <u>[Signature]</u><br>Signature   | <u>5/04/11</u><br>Date   |
| ON BEHALF OF <u>DeLaney Associates, LP</u><br>Name of submitting entity |  |



DIRECTIONS: Please execute two originals (both with original signature).

CERTIFICATE OF NO CHANGE

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS CERTIFICATION, AND/OR THE FAILURE TO CONDUCT APPROPRIATE DUE DILIGENCE IN VERIFYING THE INFORMATION THAT IS THE SUBJECT MATTER OF THIS CERTIFICATION, MAY RESULT IN RENDERING THE SUBMITTED ENTITY NON - RESPONSIVE FOR THE PURPOSE OF CONTRACT AWARD, AND A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS CERTIFICATION MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Submitting entity DeLANEY ASSOCIATES LP  
EIN/TIN 01-1149525  
Address 125-08 210 Avenue Flushing, NY 11354

I, Karen JARZYNSKA, being duly sworn, state that I have read and understand all the items contained in the Vendor questionnaire, dated 10/1/09 [if applicable: and the submission(s) dated \_\_\_\_\_, updating the information in that questionnaire]; and that, to the best of my knowledge, information and belief, the answers contained in the \_\_\_\_\_ questionnaire [if applicable: as modified by the submission(s) dated \_\_\_\_\_,] are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate. I further certify on behalf of the submitting vendor that the information contained in the principal questionnaires for William J. Delaney dated 10/1/09, Frank Forte, dated 10/1/09, Karen JARZYNSKA, dated 10/1/09, [if applicable: and the submission(s) dated \_\_\_\_\_, updating the information in those questionnaires] has been verified and continues to the best of my knowledge to be full, complete and accurate. I understand that the City of New York will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

|  |  |
|--|--|
| Sworn to before me this <u>24</u> day of <u>MAY</u> 20 <u>11</u>       |  |
| <u>Kathleen A Lane</u><br>Notary Public                                | <u>Queens</u> <u>01-LA5016554</u><br>County License Issued License Number  |
| BY <u>KAREN JARZYNSKA</u><br>Print name                                | <b>Kathleen A. Lane</b><br>Notary Public State of New York<br>No. 01-LA5016554<br>Qualified in Queens, New York<br>Commission Expires<br>August 16, 20 <u>13</u> |
| <u>PARTNER</u><br>Title  | <u>5/24/11</u><br>Date   |
| <u>Karen Jarzynska</u><br>Signature                                    |  |
| ON BEHALF OF <u>DeLANEY ASSOCIATES LP</u><br>Name of submitting entity |  |





**THE CITY OF NEW YORK  
DEPARTMENT OF SMALL BUSINESS SERVICES  
DIVISION OF LABOR SERVICES  
CONTRACT COMPLIANCE UNIT  
110 WILLIAMS STREET  
NEW YORK, NEW YORK 10038  
PHONE: (212) 513-6323  
FAX: (212) 618-8879**

## **CONSTRUCTION**

## **EMPLOYMENT**

## **REPORT**





Division of Labor Services

CONSTRUCTION EMPLOYMENT REPORT

GENERAL INFORMATION

- 1. Your contractual relationship in this contract is: Prime Contractor X Subcontractor
1a. Are MWBE goals attached to this project? Yes X No
2. Would your company like information on how to certify with the City of New York as a:
Minority Owned Business Enterprise Locally based Business Enterprise
Women Owned Business Enterprise Emerging Business Enterprise
Disadvantaged
3. Please indicate if you would like assistance from SBS in identify certified MWBEs for contracting opportunities: Yes No
4. Is this project subject to a Project labor Agreement? Yes No

PART I: CONTRACTOR/SUBCONTRACTOR INFORMATION

- 5. 01-0749525 Employer Identification Number or Federal Tax I.D.
6. Delaney Associates, LP Company Name
7. 125-08 26th Ave Flushing NY 11354 Street Address City State Zip Code
8. Frank Forte 718-359-4300 718-886-1519 (Chief Operating Officer) First Name Last Name Telephone Number Fax Number
9. Same Designated Equal Opportunity Compliance Officer (if same as Item #8, write "Same") Telephone Number
10. Same Name of Prime Contractor and Contact Person (if same as Item #6, write "Same")
11. Number of employees in your company: 75
12. Contract information:
(a) Dept. of Design & Const Contracting Agency (City Agency) (b) \$56,221,907 Contract Amount
(c) 8502011SE0018C Procurement Identification Number (PIN) (d) Contract Registration Number (CT#)
(e) Project Commence Date (f) Projected Completion Date

FOR OFFICIAL USE ONLY: File No.

Revised 11/09



(g) Description and location of proposed contract:

Construction of Sanitary & Storm Sewers in N.B.  
Whitestone Expwy Svc Rd between 25th Avenue &  
Flushing River, Queens

13. Has your firm been reviewed by the Division of Labor Services (DLS) within the past 36 months and issued a Certificate of Approval? Yes  No

If Yes, attach a copy of the certificate.

14. Has DLS within the past month reviewed an Employment Report submission for your company and issued a Conditional Certificate of Approval? Yes  No

If Yes, attach a copy of the certificate.

**NOTE: DLS WILL NOT ISSUE A CONTINUED CERTIFICATE OF APPROVAL IN CONNECTION WITH THIS CONTRACT UNLESS THE REQUIRED CORRECTIVE ACTIONS IN PRIOR CONDITIONAL CERTIFICATES OF APPROVAL HAVE BEEN TAKEN**

15. Has an Employment Report already been submitted for a different contract (not covered by this Employment Report) for which you have not yet received compliance certificate? Yes  No

If Yes,

Date submitted: \_\_\_\_\_ Agency to which submitted: \_\_\_\_\_

Name of Agency Person: First Name \_\_\_\_\_ Last Name \_\_\_\_\_

Contract No. : \_\_\_\_\_ Telephone: \_\_\_\_\_

16. Has your company in the past 36 months been audited by the United States Department of Labor, Office of Federal Contract Compliance Programs (OFCCP)? Yes  No

If Yes,

(a) Name and address of OFCCP office: \_\_\_\_\_

(b) Was a Certificate of Equal Employment Compliance issued within the past 24 months? Yes  No

If Yes, attach a copy of such certificate.

(c) Were any corrective actions required or agreed to? Yes  No

If Yes, attach a copy of such requirements or agreements.

(d) Were any deficiencies found? Yes  No

If Yes, attach a copy of such findings.

17. Is your company or its affiliates a member or members of an employers' trade association which is responsible for negotiating collective bargaining agreements (CBA) which affect construction site hiring? Yes  No  Member of the GCA  
If Yes, attach a list of such associations and all applicable CBA's.

FOR OFFICIAL USE ONLY: File No. \_\_\_\_\_



**PART II: DOCUMENTS REQUIRED**

18. For the following policies or practices, attach the relevant documents (e.g., printed booklets, brochures, manuals, memoranda, etc.) If the policy(ies) are unwritten, attach a full explanation of the practices. See instructions.

(a) Health benefit coverage/description(s) for all management, nonunion and union employees (whether company or union administered) Thru Unions

(b) Disability, life, other insurance coverage/description

(c) Employee Policy/Handbook

(d) Personnel Policy/Manual

(e) Supervisor's Policy/Manual

(f) Pension plan or 401k coverage/description for all management, nonunion and union employees, whether company or union administered.

(g) Collective bargaining agreement(s)

(h) Employment Application(s)

(i) Employee evaluation policy/form(s)

(j) Does your firm have medical and/or non-medical (i.e. education, military, personal, pregnancy, child care) leave policy?

19. To comply with the Immigration Reform and Control Act of 1986 when and of whom does your firm require the completion of an I-9 form?

(a) Prior to job offer Yes \_\_\_\_\_ No

(b) After a conditional job offer Yes \_\_\_\_\_ No

(c) After a job offer Yes  No \_\_\_\_\_

(d) Within the first three days on the job Yes  No \_\_\_\_\_

(e) To some applicants Yes \_\_\_\_\_ No

(f) To all applicants Yes  No \_\_\_\_\_

(g) To some employees Yes \_\_\_\_\_ No

(h) To all employees Yes  No \_\_\_\_\_

20. Explain where and how completed I-9 Forms, with their supportive documentation are maintained and made accessible.

All kept in office

21. Does your firm or any of its collective bargaining agreements require job applicants to take a medical examination?

Yes \_\_\_\_\_ No

If Yes, is the medical examination given:

FOR OFFICIAL USE ONLY: File No. \_\_\_\_\_

Revised 1/1/06





- (a) Prior to the job offer            Yes \_\_\_\_\_ No \_\_\_\_\_
- (b) After a conditional job offer    Yes \_\_\_\_\_ No \_\_\_\_\_
- (c) After a job offer                Yes \_\_\_\_\_ No \_\_\_\_\_
- (d) To all applicants                Yes \_\_\_\_\_ No \_\_\_\_\_
- (e) Only to some applicants        Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, list for which applicants below and attach copies of all medical examination or questionnaire forms and instructions utilized for these examinations.

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22. Do you have a written equal opportunity (EEO) policy?    Yes \_\_\_\_\_    No  X

If Yes, list the document(s) and page number(s) where these written policies are located.

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23. Does the company have a current affirmative action plan(s) (AAP)    No

- \_\_\_\_\_ Minorities and Women
  - \_\_\_\_\_ Individuals with handicaps
  - \_\_\_\_\_ Other. Please specify \_\_\_\_\_
- 

24. Does your firm or collective bargaining agreement(s) have an internal grievance procedure with respect to EEO complaints?    Yes  X     No \_\_\_\_\_

If Yes, please attach a copy of this policy.    Per Union Agreement  
 If No, attach a report detailing your firm's unwritten procedure for handling EEO complaints.

25. Has any employee, within the past three years, filed a complaint pursuant to an internal grievance procedure or with any official of your firm with respect to equal employment opportunity?    Yes \_\_\_\_\_    No  X

If Yes, attach an internal complaint log. See instructions.

26. Has your firm, within the past three years, been named as a defendant (or respondent) in any administrative or judicial action where the complainant (plaintiff) alleged violation of any anti-discrimination or affirmative action laws?    Yes \_\_\_\_\_    No  X

If Yes, attach a log. See instructions.

27. Are there any jobs for which there are physical qualification?    Yes \_\_\_\_\_    No  X

If Yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

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---

28. Are there any jobs for which there are age, race, color, national origin, sex, creed, disability, marital status, sexual orientation, or citizenship qualifications?    Yes \_\_\_\_\_    No  X

If Yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

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# NYC

Small Business Services

## Division of Labor Services

### FORM A. CONTRACT BID INFORMATION: USE OF SUBCONTRACTORS/TRADES

1. Do you plan to subcontractor work on this contract? Yes  No
2. If yes, complete the chart below.

**NOTE: All proposed subcontractors with a subcontract in excess of \$1,000,000 must complete an Employment Report for review and approval before the contract may be awarded and work commences.**

| SUBCONTRACTOR'S NAME* | OWNERSHIP (ENTER APPROPRIATE CODE LETTERS BELOW) | WORK TO BE PERFORMED BY SUBCONTRACTOR | TRADE PROJECTED FOR USE BY SUBCONTRACTOR | PROJECTED DOLLAR VALUE OF SUBCONTRACT |
|-----------------------|--|---------------------------------------|--|---------------------------------------|
| Aspen                 | SBE/MBE/WBE                                      | Landscaping                           |  | \$150,000                             |
| Welsbach Electric     | W  | Street Lighting/<br>Traffic Signals   |  | \$80,000                              |
| Dewatering            | ?  | Dewatering                            |  | \$1,000,000                           |
| Piles                 | ?  | Piles                                 |  | \$10,000,000                          |
| Paving                | ?  | Asphalt Paving                        |  | \$500,000                             |

*\*If subcontractor is presently unknown, please enter the trade (craft name).*

Ownership codes: W: White A: Asian B: Black N: Native American H: Hispanic F: Female

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Revised 1/99

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NYC Dept. of Small Business Services, Division of Labor Services, Contract Compliance Unit, 110 William Street, NY, NY 10038  
 Phone: (212) 513-6323 Fax: (212) 518-8879



# NYC

Small Business  
Services

## Division of Labor Services

**FORM A. CONTRACT BID INFORMATION: USE OF SUBCONTRACTORS/TRADES**

1. Do you plan to subcontractor work on this contract? Yes  No
2. If yes, complete the chart below.

**NOTE: All proposed subcontractors with a subcontract in excess of \$1,000,000 must complete an Employment Report for review and approval before the contract may be awarded and work commences.**

| SUBCONTRACTOR'S NAME* | OWNERSHIP (ENTER APPROPRIATE CODE LETTERS BELOW) | WORK TO BE PERFORMED BY SUBCONTRACTOR | TRADE PROJECTED FOR USE BY SUBCONTRACTOR | PROJECTED DOLLAR VALUE OF SUBCONTRACT |
|-----------------------|--|---------------------------------------|--|---------------------------------------|
| Majestic Rebar        | B  | Install Rebar                         |  | \$950,000                             |
| Fence                 | ?  | Remove & Install Fence                |  | \$100,000                             |
| Plumbing              | ?  | Transfer Water Service                |  | \$50,000                              |
|                       |  |                                       |  |                                       |
|                       |  |                                       |  |                                       |

\*If subcontractor is presently unknown, please enter the trade (craft name).

Ownership codes:    W: White    A: Asian    B: Black    N: Native American    H: Hispanic    F: Female

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Rev/Ed: 1-09

1948



# NYC

Small Business  
Services

## Division of Labor Services

**FORM B: PROJECTED WORKFORCE**  
**TRADE CLASSIFICATION CODES**

- (J) Journey level Workers
- (H) Helper
- (TOT) Total by Column
- (A) Apprentice
- (TRN) Trainee

*For each trade to be engaged by your company for this project, enter the projected workforce for **Males** and **Females** by trade classification on the charts below.*

Trade: Dockbuilder

Union Affiliation, if applicable:

1456

Total (Col. #1-10):

12

Total Minority, Male & Female  
(Col. #2, 3, 4, 5, 7, 8, 9 & 10)

4

Total Female  
(Col. #6 - 10):

0

|     | Males                        |                              |              |              |                        | Females                      |                              |              |              |                         |
|-----|------------------------------|------------------------------|--------------|--------------|------------------------|------------------------------|------------------------------|--------------|--------------|-------------------------|
|     | (1)<br>White<br>Non<br>Hisp. | (2)<br>Black<br>Non<br>Hisp. | (3)<br>Hisp. | (4)<br>Asian | (5)<br>Native<br>Amer. | (6)<br>White<br>Non<br>Hisp. | (7)<br>Black<br>Non<br>Hisp. | (8)<br>Hisp. | (9)<br>Asian | (10)<br>Native<br>Amer. |
| J   | 8                            | 2                            | 2            |              |                        |                              |                              |              |              |                         |
| H   |                              |                              |              |              |                        |                              |                              |              |              |                         |
| A   |                              |                              |              |              |                        |                              |                              |              |              |                         |
| TRN |                              |                              |              |              |                        |                              |                              |              |              |                         |
| TOT | 8                            | 2                            | 2            |              |                        |                              |                              |              |              |                         |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

Unions, Community Outreach

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Revised 11/89

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NYC Dept. of Small Business Services, Division of Labor Services, Contract Compliance Unit, 110 William Street, NY, NY 10038

Phone: (212) 513-6323 Fax: (212) 618-8879





# NYC

Small Business Services

## Division of Labor Services

**FORM B: PROJECTED WORKFORCE**  
**TRADE CLASSIFICATION CODES**

- (J) Journey level Workers
- (H) Helper
- (TOT) Total by Column
- (A) Apprentice
- (TRN) Trainee

For each trade to be engaged by your company for this project, enter the projected workforce for **Males and Females** by trade classification on the charts below.

Trade: Carpenter

Union Affiliation, if applicable:

1536

Total (Col. #1-10):

8

Total Minority, Male & Female  
 (Col. #2, 3, 4, 5, 7, 8, 9 & 10)

5

Total Female  
 (Col. #6 - 10):

0

|     | Males                        |                              |              |              |                        | Females                      |                              |              |              |                         |
|-----|------------------------------|------------------------------|--------------|--------------|------------------------|------------------------------|------------------------------|--------------|--------------|-------------------------|
|     | (1)<br>White<br>Non<br>Hisp. | (2)<br>Black<br>Non<br>Hisp. | (3)<br>Hisp. | (4)<br>Asian | (5)<br>Native<br>Amer. | (6)<br>White<br>Non<br>Hisp. | (7)<br>Black<br>Non<br>Hisp. | (8)<br>Hisp. | (9)<br>Asian | (10)<br>Native<br>Amer. |
| J   | 4                            | 2                            | 2            |              |                        |                              |                              |              |              |                         |
| H   |                              | 1                            |              |              |                        |                              |                              |              |              |                         |
| A   |                              |                              |              |              |                        |                              |                              |              |              |                         |
| TRN |                              |                              |              |              |                        |                              |                              |              |              |                         |
| TOT | 4                            | 3                            | 2            |              |                        |                              |                              |              |              |                         |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

Unions, Community Outreach

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Fax: (212) 618 - 8879





# Division of Labor Services

## FORM B: PROJECTED WORKFORCE TRADE CLASSIFICATION CODES

- (J) Journey level Workers
- (H) Helper
- (TOT) Total by Column
- (A) Apprentice
- (TRN) Trainee

For each trade to be engaged by your company for this project, enter the projected workforce for **Males** and **Females** by trade classification on the charts below.

Trade: Timberman

Union Affiliation, if applicable:

1536

Total (Col. #1-10):

12

Total Minority, Male & Female  
(Col. #2, 3, 4, 5, 7, 8, 9 & 10)

5

Total Female  
(Col. #6 - 10):

0

|     | Males                        |                              |              |              |                        | Females                      |                              |              |              |                         |
|-----|------------------------------|------------------------------|--------------|--------------|------------------------|------------------------------|------------------------------|--------------|--------------|-------------------------|
|     | (1)<br>White<br>Non<br>Hisp. | (2)<br>Black<br>Non<br>Hisp. | (3)<br>Hisp. | (4)<br>Asian | (5)<br>Native<br>Amer. | (6)<br>White<br>Non<br>Hisp. | (7)<br>Black<br>Non<br>Hisp. | (8)<br>Hisp. | (9)<br>Asian | (10)<br>Native<br>Amer. |
| J   | 7                            | 3                            | 2            |              |                        |                              |                              |              |              |                         |
| H   |                              |                              |              |              |                        |                              |                              |              |              |                         |
| A   |                              |                              |              |              |                        |                              |                              |              |              |                         |
| TRN |                              |                              |              |              |                        |                              |                              |              |              |                         |
| TOT | 7                            | 3                            |              | 2            |                        |                              |                              |              |              |                         |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

Unions, Community Outreach

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NYC Dept. of Small Business Services, Division of Labor Services, Contract Compliance Unit, 110 William Street, NY, NY 10038  
Phone: (212) 513-6323 Fax: (212) 618-8879



# NYC

**Small Business Services**

## Division of Labor Services

**FORM B: PROJECTED WORKFORCE  
TRADE CLASSIFICATION CODES**

- (J) Journey level Workers      (A) Apprentice  
(H) Helper                              (TRN) Trainee  
(TOT) Total by Column

*For each trade to be engaged by your company for this project, enter the projected workforce for **Males** and **Females** by trade classification on the charts below.*

Trade:   Laborers  

**Males**

**Females**

Union Affiliation, if applicable:

731

Total (Col. #1-10):

J

16

Total Minority, Male & Female H  
(Col. #2, 3, 4, 5, 7, 8, 9 & 10)

H

8

A

Total Female  
(Col. #6 - 10):

TRN

1

TOT

|     | (1)<br>White<br>Non<br>Hisp. | (2)<br>Black<br>Non<br>Hisp. | (3)<br>Hisp. | (4)<br>Asian | (5)<br>Native<br>Amer. | (6)<br>White<br>Non<br>Hisp. | (7)<br>Black<br>Non<br>Hisp. | (8)<br>Hisp. | (9)<br>Asian | (10)<br>Native<br>Amer. |
|-----|------------------------------|------------------------------|--------------|--------------|------------------------|------------------------------|------------------------------|--------------|--------------|-------------------------|
| J   | 8                            | 5                            | 2            |              |                        |                              | 1                            |              |              |                         |
| H   |                              |                              |              |              |                        |                              |                              |              |              |                         |
| A   |                              |                              |              |              |                        |                              |                              |              |              |                         |
| TRN |                              |                              |              |              |                        |                              |                              |              |              |                         |
| TOT | 8                            | 5                            | 2            |              |                        |                              | 1                            |              |              |                         |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

Unions, Community Outreach

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Rev 8/81 1-109

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Fax: (212) 518-8879





# Division of Labor Services

Small Business Services

## FORM C: CURRENT WORKFORCE TRADE CLASSIFICATION CODES

(J) Journey level Workers  
(H) Helper  
(TOT) Total by Column

(A) Apprentice  
(TRN) Trainee

For each trade to be engaged by your company for this project, enter the projected workforce for Males and Females by trade classification on the charts below.

| Trade: Operating Engineers<br>14 & 15                             | Males                        |                              |              |              |                        | Females                      |                              |              |              |                         |
|---|------------------------------|------------------------------|--------------|--------------|------------------------|------------------------------|------------------------------|--------------|--------------|-------------------------|
|   | (1)<br>White<br>Non<br>Hisp. | (2)<br>Black<br>Non<br>Hisp. | (3)<br>Hisp. | (4)<br>Asian | (5)<br>Native<br>Amer. | (6)<br>White<br>Non<br>Hisp. | (7)<br>Black<br>Non<br>Hisp. | (8)<br>Hisp. | (9)<br>Asian | (10)<br>Native<br>Amer. |
| Total (Col. #1-10):<br>13   | 9                            | 2                            | 1            |              |                        |                              | 1                            |              |              |                         |
| Total Minority, Male & Female<br>(Col. #2, 3, 4, 5, 7, 8, 9 & 10) |                              |                              |              |              |                        |                              |                              |              |              |                         |
|   |                              |                              |              |              |                        |                              |                              |              |              |                         |
| Total Female<br>(Col. #6 - 10):<br>1                              |                              |                              |              |              |                        |                              | 1                            |              |              |                         |
| TOT   | 9                            | 2                            | 1            |              |                        |                              | 1                            |              |              |                         |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

Unions

FOR OFFICIAL USE ONLY. File No. \_\_\_\_\_





# NYC

## Division of Labor Services

Small Business  
Services

### FORM C: CURRENT WORKFORCE TRADE CLASSIFICATION CODES

- (J) Journey level Workers  
(H) Helper  
(TOT) Total by Column
- (A) Apprentice  
(TRN) Trainee

*For each trade to be engaged by your company for  
this project, enter the projected workforce for  
Males and Females by trade classification on  
the charts below.*

Trade: Teamsters

#### Males

#### Females

Union Affiliation, if applicable:  
282

Total (Col. #1-10):  
4

Total Minority, Male & Female  
(Col. #2, 3, 4, 5, 7, 8, 9 & 10)  
1

Total Female  
(Col. #6 - 10):  
9

|     | Males                        |                              |              |              |                        | Females                      |                              |              |              |                         |
|-----|------------------------------|------------------------------|--------------|--------------|------------------------|------------------------------|------------------------------|--------------|--------------|-------------------------|
|     | (1)<br>White<br>Non<br>Hisp. | (2)<br>Black<br>Non<br>Hisp. | (3)<br>Hisp. | (4)<br>Asian | (5)<br>Native<br>Amer. | (6)<br>White<br>Non<br>Hisp. | (7)<br>Black<br>Non<br>Hisp. | (8)<br>Hisp. | (9)<br>Asian | (10)<br>Native<br>Amer. |
| J   | 3                            |                              | 1            |              |                        |                              |                              |              |              |                         |
| H   |                              |                              |              |              |                        |                              |                              |              |              |                         |
| A   |                              |                              |              |              |                        |                              |                              |              |              |                         |
| TRN |                              |                              |              |              |                        |                              |                              |              |              |                         |
| TOT | 3                            |                              | 1            |              |                        |                              |                              |              |              |                         |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

Unions

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Revised 1-89

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NYC Dept. of Small Business Services, Division of Labor Services, Contract Compliance Unit, 110 William Street, NY, NY 10038

Phone: (212) 513-6323 Fax: (212) 518-6879





# Division of Labor Services

Date \_\_\_\_\_

File Number \_\_\_\_\_

## LESS THAN \$750,000 SUBCONTRACT CERTIFICATE

Are you currently certified as one of the following? Please check yes or no:

|              |                              |                             |            |                              |                             |
|--------------|------------------------------|-----------------------------|------------|------------------------------|-----------------------------|
| <b>M/WBE</b> | Yes <input type="checkbox"/> | No <input type="checkbox"/> | <b>MBE</b> | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| <b>WBE</b>   | Yes <input type="checkbox"/> | No <input type="checkbox"/> | <b>LBE</b> | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

If you are certified as an **M/WBE, MBE, WBE, or LBE**, what city/state agency are you certified with? \_\_\_\_\_

Please check one of the following if your firm would like information on how to certify with the City of New York as a:

**Minority Owned Business Enterprise**       **Locally based Business Enterprise**  
 **Women Owned Business Enterprise**

\_\_\_\_\_  
Company Name Employer Identification Number or Federal Tax I.D

\_\_\_\_\_  
Company Address and Zip Code

\_\_\_\_\_  
Contact Person (First Name, Last Name) Telephone Number

\_\_\_\_\_  
Fax Number E-mail Address

\_\_\_\_\_  
Contracting Agency

Description and location of proposed subcontract:  
\_\_\_\_\_  
\_\_\_\_\_

|                  |                         |                     |                          |
|------------------|-------------------------|---------------------|--------------------------|
| _____<br>Borough | _____<br>Project Number | _____<br>Pin Number | _____<br>Contract Amount |
|------------------|-------------------------|---------------------|--------------------------|

I, (print name of authorized official signing) \_\_\_\_\_ hereby certify that I am authorized by the above-named subcontractor to certify that said subcontractor's proposed contract with the above named owner or City agency is less than \$750,000.

Willful or fraudulent falsifications of any data or information submitted herewith may result in the termination of the contract between the City and the bidder or contractor and in disapproval of future contracts for a period of up to five years. Further, such falsification may result in civil and/or criminal prosecution.

\_\_\_\_\_  
Signature of authorized official Date

FOR OFFICIAL USE ONLY: File No. \_\_\_\_\_



SIGNATURE PAGE

I, (print name of authorized official signing) Karen Jarzynka hereby certify that the information submitted herewith is true and complete to the best of my knowledge and belief and submitted with the understanding that compliance with New York City's equal employment requirements, as contained in Chapter 56 of the City Charter, Executive Order No. 50 (1980), as amended, and the implementing Rules and Regulations, is a requirement for the contractors and subcontractors working on this construction project. I also agree on behalf of the company to submit a certified copy of payroll records to the Division of Labor Services on a monthly basis.

Delaney Associates, LP

**Contractor's Name**

Karen Jarzynka

Partner

**Name of person who prepared this Employment Report**

**Title**

Karen Jarzynka

Partner

**Name of official authorized to sign on behalf of the contractor**

**Title**

718-359-4300

**Telephone Number**

**Signature of authorized official**

June 7, 2011

**Date**

If contractors are found to be underutilizing minorities and females in any given trade based on Chapter 56 Section 3H, the Division of Labor Services reserves the right to request the contractor's workforce data and to implement an employment program.

Contractors who fail to comply with the above mentioned requirements or are found to be in noncompliance may be subject to the withholding of final payment.

Willful or fraudulent falsifications of any data or information submitted herewith may result in the termination of the contract between the City and the bidder or contractor and in disapproval of future contracts for a period of up to five years. Further, such falsification may result in civil and/or criminal prosecution.

To the extent permitted by law and consistent with the proper discharge of DLS' responsibilities under Charter Chapter 56 of the City Charter and Executive Order No. 50 (1980) and the implementing Rules and Regulations, all information provided by a contractor to DLS shall be confidential.

Please attach your M/WBE Compliance Report.

Only original signatures accepted.

Sworn to before me this 7th day of June 2011

Kathleen A. Lane  
Notary Public

Authorized Signature

Kathleen A. Lane  
Notary Public State of New York  
No. 01-LA5016554  
Qualified in Queens, New York  
Commission Expires  
August 16, 2013

FOR OFFICIAL USE ONLY: File No. \_\_\_\_\_

Revised 11/09



ATTACH TO CONTRACT DOCUMENTS

THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
INFRASTRUCTURE DIVISION  
BUREAU OF DESIGN

PROJECT ID: SE809

FOR THE CONSTRUCTION OF SANITARY AND STORM SEWERS AND APPURTENANCES IN N.B. WHITESTONE EXPRESSWAY SERVICE ROAD BETWEEN 25<sup>TH</sup> AVENUE AND FLUSHING RIVER; 144<sup>TH</sup> STREET BETWEEN 22<sup>ND</sup> AVENUE AND 25<sup>TH</sup> AVENUE; 25<sup>TH</sup> AVENUE BETWEEN PARSONS BOULEVARD AND N.B. WHITESTONE EXPRESSWAY SERVICE ROAD; UNION STREET BETWEEN 26<sup>TH</sup> AVENUE AND 25<sup>TH</sup> AVENUE; 25<sup>TH</sup> ROAD BETWEEN UNION STREET AND N.B. WHITESTONE EXPRESSWAY SERVICE ROAD; AND, WILLET'S POINT BOULEVARD BETWEEN UNION STREET AND PARSONS BOULEVARD

INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC SIGNAL WORK

Together With All Work Incidental Thereto

BOROUGH OF QUEENS

ADDENDUM NO. 6

DATED: May 13, 2011

This Addendum is issued for the purpose of amending the requirements of the contract documents and is hereby made part of said contract documents to the same extent as if it was originally included therein.

1. Refer to the Bid and Contract Documents, VOLUME 1 OF 3. Page A-1, Attachment 1 - Bid Information;  
Change the dates shown for Submission of Bids To: and for Bid Opening: from "May 24, 2011" to read "June 3, 2011".

By signing in the space provided below, the bidder acknowledges receipt of the one (1) Page of this Addendum

THIS ADDENDUM MUST BE SIGNED BY ALL BIDDERS AND ATTACHED TO THEIR BIDS.

Delaney Associates, LP  
Name of Bidder

By: [Signature]

[Signature]  
GURDIP SAINI, P.E.  
Assistant Commissioner







# DDC

City of New York Department of Design and Construction

GURDIP S. SAINI, P.E.  
Associate Commissioner

### FAX TRANSMITTAL SHEET

30-30 Thomson Avenue  
Long Island City, NY 11101

Tel. 718 / 391-2151  
Fax 718-391-2403

To: \_\_\_\_\_

From: Robert Castelli, Infra/Design 1

Sender's Phone No.: 718-391-2195

Number of Pages to follow: 1

#### ACTION TO BE TAKEN:

DATE: 5/13/11



URGENT/IMMEDIATE ATTENTION

AWAITING YOUR COMMENTS

PER YOUR REQUEST

FOR YOUR INFORMATION

PLEASE CALL UPON RECEIPT

COMMENTS: Addendum #6

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Operator: \_\_\_\_\_

*This facsimile transmission may contain confidential or privileged information, which is intended only for use by the individual or entity to which the transmission is addressed. If you are not the intended recipient, you are hereby notified that any disclosure, dissemination, copying or distribution of this transmission is strictly prohibited. If you have received this transmission in error, please notify us by telephone immediately.  
Thank you.*



**CITY OF NEW YORK**  
**DEPARTMENT OF**  
**DESIGN AND CONSTRUCTION**  
**DIVISION OF INFRASTRUCTURE**

**BID BOOKLET**

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND  
REQUIRED FOR:

**PROJECT ID: SE809**

**CONSTRUCTION OF SANITARY AND STORM SEWERS AND APPURTENANCES  
IN N.B. WHITESTONE EXPRESSWAY SERVICE ROAD BETWEEN 25<sup>TH</sup> AVENUE  
AND FLUSHING RIVER; ETC.**

**INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC SIGNAL WORK**

**Together With All Work Incidental Thereto  
BOROUGH OF QUEENS**

(NO TEXT ON THIS PAGE)

**PROJECT ID: SE809**

**CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE**

**BID BOOKLET**

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(NO TEXT ON THIS PAGE)

**CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE**

**SPECIAL NOTICE TO BIDDERS**

**BID SUBMISSION REQUIREMENTS**

**THE FOLLOWING DOCUMENTS ARE TO BE COMPLETED AND SUBMITTED WITH THE BID:**

1. Bid Schedule and Bid Form, including Affirmation
2. Bid Security (if required, see Attachment 1 on Page A-1)
3. M/WBE Subcontractor Utilization Plan (if participation goals have been established)

**FAILURE TO SUBMIT ITEMS (1), (2) AND (3)  
WILL RESULT IN THE DISQUALIFICATION OF THE BID.**

4. Safety Questionnaire
5. Construction Employment Report (if bid is \$1,000,000 or more)
6. Contract Certificate (if bid is less than \$1,000,000)
7. Confirmation of Vendex Compliance
8. Special Experience Requirements (if applicable)
9. Apprenticeship Program Questionnaire (if applicable)

**FAILURE TO SUBMIT ITEMS (4) THROUGH (9)  
MAY RESULT IN THE DISQUALIFICATION OF THE BID.**

**NOTES:**

- (1) All of the above referred to blank forms to be completed and submitted with the bid are included in the BID BOOKLET.
- (2) If additional information is required, please contact the Department of Design and Construction at 718-391-2601.
- (3) **VENDEX QUESTIONNAIRES:** The Bidder is advised that Vendex Questionnaires and procedures have been changed. Vendex Questionnaires, as well as detailed instructions, may be obtained at [www.nyc.gov/vendex](http://www.nyc.gov/vendex). The bidder may also obtain Vendex forms and instructions by contacting the Agency Chief Contracting Officer or the contact person for this contract.
- (4) **SPECIAL EXPERIENCE REQUIREMENTS:** The Bidder is advised that Special Experience Requirements may apply to this contract. Such requirements are set forth on pages 3 and 4 of this Bid Booklet.

**SPECIAL NOTICE TO BIDDERS**  
**SPECIAL EXPERIENCE REQUIREMENTS (Revised 02/2007)**

- (A) **EXPERIENCE REQUIREMENTS FOR THE BIDDER:** The bidder must, within the last seven (7) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least one (1) project similar in scope and type to the required work. Such prior project may have been performed as a prime contractor, subcontractor or sub-subcontractor.

The requirements set forth below under Article (B) apply to this contract where indicated by a blackened box (■) or by X in a □ to left.

- (B) **EXPERIENCE REQUIREMENTS FOR INSTALLER OF TRUNK WATER MAIN:** The special experience requirements set forth below apply to the contractor who will install the Trunk Water Main. If the bidder intends to perform such work itself, it must demonstrate compliance with the special experience requirements. If the bidder intends to subcontract this work, the proposed subcontractor must demonstrate compliance with the special experience requirements. Once approved, no substitution will be permitted, unless the qualifications of the proposed replacement have been approved in writing in advance by the City.

- (1) The contractor who will install the Trunk Water Main must, within the last seven (7) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least one (1) project similar in scope and type to the required work. Such prior project may have been performed as a prime contractor, subcontractor or sub-subcontractor.

- (C) **SUBMISSION REQUIREMENTS:** For each project submitted to meet the experience requirements set forth above, the bidder must complete and submit the Qualification Form set forth in the Bid Booklet. Separate Qualification Forms shall be provided for bidder and the contractor who will install the Trunk Water Main.

- (D) **CONDITIONS:** In determining compliance with the special experience requirements for the bidder set forth above, the City may consider prior projects completed by principal(s) or other employees of the bidder while affiliated with another entity, subject to the conditions set forth below.

- (1) Any principal or other employee on whose prior experience the bidder is relying to demonstrate compliance with this special experience requirement must have held the following: (a) a significant management role in the prior entity with which he/she was affiliated, and (b) a significant management role in the entity submitting the bid for a period of six months or more from the inception of the bidding entity.
- (2) The bidder may not rely on the experience of its principals or other employees to demonstrate compliance with any other requirements, including without limitation, financial requirements or requirements for a specified minimum amount of annual gross revenues.

- (E) **JOINT VENTURES:** In the event the bidder is a joint venture, at least one firm in the joint venture must meet the above described experience requirements.

- (F) **COMPLIANCE:** Compliance with the experience requirements set forth herein will be determined solely by the City, prior to an award of contract. The bidder is advised that failure to meet the above described experience will result in the rejection of the bid as non-responsive.



**Qualification Form**

List previous projects completed to meet the special experience requirements for this contract.  
Please photocopy this form for submission of all required projects.

Name of Contractor: \_\_\_\_\_

Name of Project: \_\_\_\_\_

Location of Project: \_\_\_\_\_

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: \_\_\_\_\_

Title: \_\_\_\_\_ Phone Number: \_\_\_\_\_

Brief description of the Project completed or the Project in progress: \_\_\_\_\_

\_\_\_\_\_

Was the Project performed as a prime, a subcontractor or a sub-subcontractor: \_\_\_\_\_

Amount of Contract, Subcontract or Sub-subcontract: \_\_\_\_\_

Start Date and Completion Date: \_\_\_\_\_

\*\*\*\*\*

Name of Contractor: \_\_\_\_\_

Name of Project: \_\_\_\_\_

Location of Project: \_\_\_\_\_

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: \_\_\_\_\_

Title: \_\_\_\_\_ Phone Number: \_\_\_\_\_

Brief description of the Project completed or the Project in progress: \_\_\_\_\_

\_\_\_\_\_

Was the Project performed as a prime, a subcontractor or a sub-subcontractor: \_\_\_\_\_

Amount of Contract, Subcontract or Sub-subcontract: \_\_\_\_\_

Start Date and Completion Date: \_\_\_\_\_

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ATTACHMENT 1 - BID INFORMATION

**PROJECT ID: SE809**  
**PIN: 8502011SE0018C**

Description and Location of Work: Construction Of Sanitary And Storm Sewers And Appurtenances In N.B. Whitestone Expressway Service Road Between 25<sup>th</sup> Avenue And Flushing River; Etc., Including Water Main, Street Lighting And Traffic Signal Work, Together With All Work Incidental Thereto, Borough Of Queens.

Documents Available At: 30-30 Thomson Avenue  
First Floor Bid Procurement Room  
Long Island City, New York 11101  
8:30 A.M. to 4:00 P.M. - Monday through Friday

Submission of Bids To: 30-30 Thomson Avenue  
First Floor Bid Procurement Room  
Long Island City, New York 11101  
Before 11:00 A.M. on MAY 24, 2011

Bid Opening: 30-30 Thomson Avenue  
First Floor Bid Procurement Room  
Long Island City, New York 11101  
Time and Date: 11:00 A.M. on MAY 24, 2011

Pre-Bid Conference: Yes \_\_\_\_\_ No X  
If Yes, Mandatory: \_\_\_\_\_ Optional: \_\_\_\_\_  
Time and Date: \_\_\_\_\_  
Location: \_\_\_\_\_

Bid Security: Bid Security is required in the amount set forth below; provided, however, bid security is not required if the TOTAL BID PRICE set forth on the Bid Form is less than \$500,000.

- (1) Bond in an amount not less than 10% of the TOTAL BID PRICE set forth on the Bid Form, OR
- (2) Certified Check in an amount not less than the amount set forth below:

\$100,000.00

Performance and Payment Security: Required for contracts in excess of \$500,000. Performance Security and Payment Security shall each be in an amount equal to 100% of the TOTAL BID PRICE set forth on the Bid Form.

Agency Contact Person: Lorraine Holley  
718-391-2601

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## BID SCHEDULE

### NOTICE TO BIDDERS

Items listed in this Bid Schedule which have one (1) digit followed by a decimal (e.g. 4.02 AG), excluding items beginning with the number "8.01", shall comply with the requirements of the corresponding numerical Sections of the NYCDOT Standard Highway Specifications as amended by Addendum No. 1 and Addendum No. 2, herein Volume 3 of 3.

Items listed in this Bid Schedule beginning with the number "8.01" (e.g. 8.01 C1) shall comply with the requirements of Addendum No. 4, herein Volume 3 of 3.

Items listed in this Bid Schedule which have two (2) digits beginning with the number "5" followed by a decimal (e.g. 50.31CC15) shall comply with the requirements of the New York City Department of Design and Construction (NYCDDC) Standard Sewer Specifications dated August 1, 2009, as amended by Addendum No. 2, herein Volume 3 of 3.

Items listed in this Bid Schedule which have two (2) digits beginning with the number "6" followed by a decimal (e.g. 60.11R612) shall comply with the requirements of the New York City Department of Environmental Protection (NYCDEP) Standard Water Main Specifications dated August 1, 2009, as amended by Addendum No. 2, herein Volume 3 of 3.

Items listed in this Bid Schedule which have two (2) digits beginning with the number "7" followed by a decimal (e.g. 70.31FN) shall comply with the requirements of the New York City Department of Environmental Protection (NYCDEP) Standard Sewer Specifications dated August 1, 2009, and Standard Water Main Specifications dated August 1, 2009, as amended by Addendum No. 2, herein Volume 3 of 3.

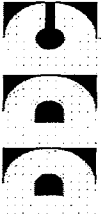
Items listed in this Bid Schedule beginning with the prefix "SL-" (e.g. SL-21.09.05) are Street Lighting Items which shall be done in accordance with the requirements of Sub-Section 1.06.23.(D) and Section 1.06.49 in the Standard Highway Specifications.

Items listed in this Bid Schedule beginning with the prefix "T-" (e.g. T-2.15) are Traffic Items which shall be done in accordance with the requirements of Sub-Section 1.06.23.(D) and Section 1.06.49 in the Standard Highway Specifications, except for Item No. "T-60000B" which shall comply with the requirements of Section T-60000B in Addendum No. 2, herein Volume 3 of 3.

Items listed in this Bid Schedule beginning with the prefix "UTL-" (e.g. UTL-6.01.8) are for Gas Cost Sharing (EP-7) work and shall comply with the requirements of Addendum No. 3, herein Volume 3 of 3.

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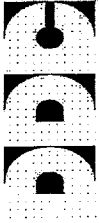


NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN 8502011SE0018C  
Project ID SE809

BID SCHEDULE

- NOTE: (1) The Agency may reject a bid if it contains unbalanced bid prices. An unbalanced bid is considered to be one containing lump sum or unit items which do not reflect reasonable actual costs plus a reasonable proportionate share of the Bidder's anticipated profit, overhead costs, and other indirect costs, anticipated for the performance of the items in question.
- (2) The following bid prices on Unit Price Contracts are to be paid for the actual quantities of the several classes of work in the completed work or structure, and they cover the cost of all work, labor, material, tools, plant and appliances of every description necessary to complete the entire work, as specified, and the removal of all debris, temporary work and appliances.
- (3) PLEASE BE SURE A LEGIBLE BID IS ENTERED, IN INK, FOR EACH ITEM. Alterations must be initialed in ink by the bidder.
- (4) The Extended Amount entered in Column 5 shall be the product of the Estimated Quantity in Column 2 times the Unit Price Bid in Column 4.
- (5) Prospective bidders must examine the Bid Schedule carefully and, before bidding, must advise the Commissioner, in writing, if any pages are missing, and must request that such missing pages be furnished them. The pages of this Bid Schedule are numbered consecutively, as follows: B - 3 through B - 55



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BID PAGES

NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN 8502011SE0018C  
Project ID SE809

| COL. 1<br>ITEM NUMBER<br>(SEQUENCE NO.) | COL. 2<br>ENGINEER'S<br>ESTIMATE OF<br>QUANTITIES | COL. 3<br>CLASSIFICATIONS  | COL. 4<br>UNIT PRICES<br>(IN FIGURES) |     | COL. 5<br>EXTENDED AMOUNTS<br>(IN FIGURES) |     |
|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 4.02 AB-R<br>(001)                      | 150.0<br>S.Y.                                     | ASPHALTIC CONCRETE WEARING COURSE, 1-1/2" THICK  | \$                                    |     | \$   |     |
| 4.02 AF-R<br>(002)                      | 30,500.0<br>S.Y.                                  | ASPHALTIC CONCRETE WEARING COURSE, 2" THICK  | \$                                    |     | \$   |     |
| 4.02 CA<br>(003)                        | 8,750.0<br>TONS                                   | BINDER MIXTURE   | \$                                    |     | \$   |     |
| 4.04 H<br>(004)                         | 1,340.0<br>C.Y.                                   | CONCRETE BASE FOR PAVEMENT, VARIABLE THICKNESS FOR TRENCH RESTORATION, (HIGH-EARLY STRENGTH) | \$                                    |     | \$   |     |



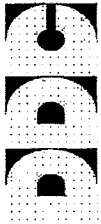
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NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN 8502011SE0018C  
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| COL. 1<br>ITEM NUMBER<br>(SEQUENCE NO.) | COL. 2<br>ENGINEER'S<br>ESTIMATE OF<br>QUANTITIES | COL. 3<br>CLASSIFICATIONS                          | COL. 4<br>UNIT PRICES<br>(IN FIGURES) |           | COL. 5<br>EXTENDED AMOUNTS<br>(IN FIGURES) |
|---|---|--|---------------------------------------|-----------|--|
|   |   |  | DOLLARS                               | CTS       |  |
| 4.05 B<br>(005)                         | 275.0<br>C.Y.                                     | REINFORCED CONCRETE PAVEMENT (FULL WIDTH PAVEMENT) | \$ _____                              | _____ CTS | \$ _____                                   |
| 4.08 AA<br>(006)                        | 4,000.0<br>L.F.                                   | CONCRETE CURB (18" DEEP)                           | \$ _____                              | _____ CTS | \$ _____                                   |
| 4.09 AE<br>(007)                        | 1,500.0<br>L.F.                                   | STRAIGHT STEEL FACED CONCRETE CURB (21" DEEP)      | \$ _____                              | _____ CTS | \$ _____                                   |
| 4.09 CD<br>(008)                        | 1,100.0<br>L.F.                                   | CORNER STEEL FACED CONCRETE CURB (18" DEEP)        | \$ _____                              | _____ CTS | \$ _____                                   |



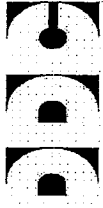
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DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

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| COL. 1<br>ITEM NUMBER<br>(SEQUENCE NO.) | COL. 2<br>ENGINEER'S<br>ESTIMATE OF<br>QUANTITIES | COL. 3<br>CLASSIFICATIONS                   | COL. 4<br>UNIT PRICES<br>(IN FIGURES) |     | COL. 5<br>EXTENDED AMOUNTS<br>(IN FIGURES) |     |
|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 4.09 CE<br>(009)                        | 150.0<br>L.F.                                     | CORNER STEEL FACED CONCRETE CURB (21" DEEP) | \$                                    |     | \$   |     |
| 4.13 AAS<br>(010)                       | 5,000.0<br>S.F.                                   | 4" CONCRETE SIDEWALK (UNPIGMENTED)          | \$                                    |     | \$   |     |
| 4.13 BAS<br>(011)                       | 5,700.0<br>S.F.                                   | 7" CONCRETE SIDEWALK (UNPIGMENTED)          | \$                                    |     | \$   |     |
| 4.13 DE<br>(012)                        | 515.0<br>S.F.                                     | EMBEDDED PREFORMED DETECTABLE WARNING UNITS | \$                                    |     | \$   |     |

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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 4.15<br>(013)                           | 750.0<br>C.Y.                                     | TOPSOIL                                   | \$                                    |     | \$   |     |
| 4.16 AA<br>(014)                        | 3.0<br>EACH                                       | TREES REMOVED (4" TO UNDER 12" CALLIPER)  | \$                                    |     | \$   |     |
| 4.16 AB<br>(015)                        | 2.0<br>EACH                                       | TREES REMOVED (12" TO UNDER 18" CALLIPER) | \$                                    |     | \$   |     |
| 4.16 AC<br>(016)                        | 4.0<br>EACH                                       | TREES REMOVED (18" TO UNDER 24" CALLIPER) | \$                                    |     | \$   |     |



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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 4.16 AD<br>(017)                        | 2.0<br>EACH                                       | TREES REMOVED (24" CALIPER AND OVER)  | \$                                    |     | \$   |     |
| 4.16 BA405<br>(018)                     | 20.0<br>EACH                                      | TREES PLANTED, 2-1/2" TO 3" CALIPER, ALL TYPES, IN 4' X 5' TREE PITS          | \$                                    |     | \$   |     |
| 4.16 BRC<br>(019)                       | 5.0<br>EACH                                       | TREES TRANSPLANTED - BARE ROOT APPLICATION, 4" TO UNDER 5" CALIPER, ALL TYPES | \$                                    |     | \$   |     |
| 4.16 CAA<br>(020)                       | 85.0<br>EACH                                      | TREES PLANTED, 2-1/2" TO 3" CALIPER, ALL TYPES                                | \$                                    |     | \$   |     |

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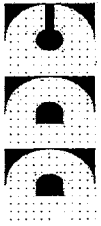
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Project ID

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| COL. 1<br>ITEM NUMBER<br>(SEQUENCE NO.) | COL. 2<br>ENGINEER'S<br>ESTIMATE OF<br>QUANTITIES | COL. 3<br>CLASSIFICATIONS  | COL. 4<br>UNIT PRICES<br>(IN FIGURES) |     | COL. 5<br>EXTENDED AMOUNTS<br>(IN FIGURES) |
|---|---|--|---------------------------------------|-----|--|
|   |   |  | DOLLARS                               | CTS |  |
| 4.16 SS<br>(021)                        | 8.0<br>EACH                                       | TREES PLANTED, 5' TO 6' HIGH, AMELANCHIER<br>CANADENSIS (SHADBUSH) | \$                                    |     | \$   |
| 4.17 AD<br>(022)                        | 10.0<br>EACH                                      | SHRUBS PLANTED, 36" TO 48" HIGH, ALL TYPES                         | \$                                    |     | \$   |
| 4.17 AE<br>(023)                        | 10.0<br>EACH                                      | SHRUBS PLANTED, 48" TO 60" HIGH, ALL TYPES                         | \$                                    |     | \$   |
| 4.18 A<br>(024)                         | 85.0<br>EACH                                      | MAINTENANCE TREE PRUNING (UNDER 12" CAL.)                          | \$                                    |     | \$   |



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| COL. 1<br>ITEM NUMBER<br>(SEQUENCE NO.) | COL. 2<br>ENGINEER'S<br>ESTIMATE OF<br>QUANTITIES | COL. 3<br>CLASSIFICATIONS                        | COL. 4<br>UNIT PRICES<br>(IN FIGURES) |     | COL. 5<br>EXTENDED AMOUNTS<br>(IN FIGURES) |     |
|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 4.18 B<br>(025)                         | 72.0<br>EACH                                      | MAINTENANCE TREE PRUNING (12" TO UNDER 18" CAL.) | \$                                    |     | \$   |     |
| 4.18 C<br>(026)                         | 29.0<br>EACH                                      | MAINTENANCE TREE PRUNING (18" TO UNDER 24" CAL.) | \$                                    |     | \$   |     |
| 4.18 D<br>(027)                         | 36.0<br>EACH                                      | MAINTENANCE TREE PRUNING (24" CAL. AND OVER)     | \$                                    |     | \$   |     |
| 4.19<br>(028)                           | 250.0<br>S.Y.                                     | SODDING  | \$                                    |     | \$   |     |

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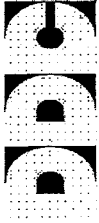


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DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 4.21<br>(029)                           | 2,500.0<br>P/HR                                   | TREE CONSULTANT   | \$                                    | —   | \$   | —   |
| 50.11MS076090<br>(030)                  | 248.0<br>I.F.                                     | 7'-6"W X 9'-0"H SINGLE BARREL FLAT TOP<br>REINFORCED CONCRETE STORM SEWER | \$                                    | —   | \$   | —   |
| 50.11MS090090<br>(031)                  | 3,063.0<br>I.F.                                   | 9'-0"W X 9'-0"H SINGLE BARREL FLAT TOP<br>REINFORCED CONCRETE STORM SEWER | \$                                    | —   | \$   | —   |
| 50.11MS096090<br>(032)                  | 2,130.0<br>I.F.                                   | 9'-6"W X 9'-0"H SINGLE BARREL FLAT TOP<br>REINFORCED CONCRETE STORM SEWER | \$                                    | —   | \$   | —   |

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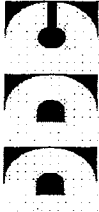
NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN 8502011SE0018C  
Project ID SE809

| COL. 1<br>ITEM NUMBER<br>(SEQUENCE NO.) | COL. 2<br>ENGINEER'S<br>ESTIMATE OF<br>QUANTITIES | COL. 3<br>CLASSIFICATIONS  | COL. 4<br>UNIT PRICES<br>(IN FIGURES) |     | COL. 5<br>EXTENDED AMOUNTS<br>(IN FIGURES) |     |
|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 50.12B116070090090<br>(033)             | 72.0<br>L.F.                                      | INCREMENTAL COST OF 11'-6"W X 7'-0"H SINGLE BARREL FLAT TOP REINFORCED CONCRETE STORM SEWER WITH TRANSITION SECTIONS IN LIEU OF 9'-0"W X 9'-0"H SINGLE BARREL FLAT TOP REINFORCED CONCRETE STORM SEWER | \$                                    |     | \$   |     |
| 50.21M3C024D<br>(034)                   | 619.0<br>L.F.                                     | 24" R.C.P. CLASS III STORM SEWER, ON CONCRETE CRADLE   | \$                                    |     | \$   |     |
| 50.21M3C066D<br>(035)                   | 608.0<br>L.F.                                     | 66" R.C.P. CLASS III STORM SEWER, ON CONCRETE CRADLE   | \$                                    |     | \$   |     |
| 50.21M3E024D<br>(036)                   | 281.0<br>L.F.                                     | 24" R.C.P. CLASS III STORM SEWER, ENCASED IN CONCRETE  | \$                                    |     | \$   |     |



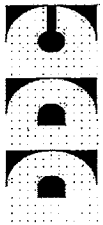
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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 50.21MC12<br>(037)                      | 71.0<br>L.F.                                      | 66" R.C.P. CLASS III STORM SEWER, ENCASED<br>IN CONCRETE | \$                                    |     | \$   |     |
| 50.31MC12<br>(038)                      | 222.0<br>L.F.                                     | 12" E.S.V.P. STORM SEWER, ON CONCRETE<br>CRADLE          | \$                                    |     | \$   |     |
| 50.31MC18<br>(039)                      | 580.0<br>L.F.                                     | 18" E.S.V.P. STORM SEWER, ON CONCRETE<br>CRADLE          | \$                                    |     | \$   |     |
| 50.31ME18<br>(040)                      | 168.0<br>L.F.                                     | 18" E.S.V.P. STORM SEWER, ENCASED IN<br>CONCRETE         | \$                                    |     | \$   |     |



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DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN 8502011SE0018C  
Project ID SE809

| COL. 1<br>ITEM NUMBER<br>(SEQUENCE NO.) | COL. 2<br>ENGINEER'S<br>ESTIMATE OF<br>QUANTITIES | COL. 3<br>CLASSIFICATIONS                                  | COL. 4<br>UNIT PRICES<br>(IN FIGURES) |       | COL. 5<br>EXTENDED AMOUNTS<br>(IN FIGURES) |       |
|---|---|--|---------------------------------------|-------|--|-------|
|   |   |  | DOLLARS                               | CTS   | DOLLARS                                    | CTS   |
| 50.31SC10<br>(041)                      | 1,919.0<br>I.F.                                   | 10" E.S.V.P. SANITARY SEWER, ON CONCRETE<br>CRADLE         | \$ _____                              | _____ | \$ _____                                   | _____ |
| 50.31SC15<br>(042)                      | 30.0<br>I.F.                                      | 15" E.S.V.P. SANITARY SEWER, ON CONCRETE<br>CRADLE         | \$ _____                              | _____ | \$ _____                                   | _____ |
| 50.41SGE16<br>(043)                     | 20.0<br>I.F.                                      | 16" D.I.P. CLASS 56 SANITARY SEWER, ENCASED<br>IN CONCRETE | \$ _____                              | _____ | \$ _____                                   | _____ |
| 51.11C001<br>(044)                      | 1.0<br>EACH                                       | CHAMBER NO. 1  | \$ _____                              | _____ | \$ _____                                   | _____ |

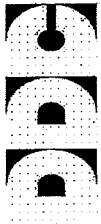
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|---|---|--|---------------------------------------|-------|--|-------|
|   |   |  | DOLLARS                               | CTS   | DOLLARS                                    | CTS   |
| 51.11C002<br>(045)                      | 1.0<br>EACH                                       | CHAMBER NO. 2                            | \$ _____                              | _____ | \$ _____                                   | _____ |
| 51.11P004<br>(046)                      | 7.0<br>EACH                                       | STANDARD 4'-0" DIAMETER PRECAST MANHOLE  | \$ _____                              | _____ | \$ _____                                   | _____ |
| 51.11P008<br>(047)                      | 2.0<br>EACH                                       | STANDARD 8'-0" DIAMETER PRECAST MANHOLE  | \$ _____                              | _____ | \$ _____                                   | _____ |
| 51.11P010<br>(048)                      | 2.0<br>EACH                                       | STANDARD 10'-0" DIAMETER PRECAST MANHOLE | \$ _____                              | _____ | \$ _____                                   | _____ |



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|---|---|---------------------------|---------------------------------------|-----|--|
|   |   |                           | DOLLARS                               | CTS |  |
| 51.11S000<br>(049)                      | 1.0<br>EACH                                       | SIPHON CHAMBER            | \$                                    |     | \$   |
| 51.21A000000C<br>(050)                  | 17.0<br>EACH                                      | ACCESS MANHOLE            | \$                                    |     | \$   |
| 51.21C000000C<br>(051)                  | 7.0<br>EACH                                       | CLEANOUT MANHOLE          | \$                                    |     | \$   |
| 51.21S0A1000V<br>(052)                  | 10.0<br>EACH                                      | STANDARD MANHOLE TYPE A-1 | \$                                    |     | \$   |

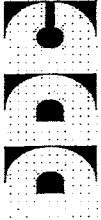


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|---|---|-----------------------------------|---------------------------------------|-------|--|-------|
|   |   |                                   | DOLLARS                               | CTS   | DOLLARS                                    | CTS   |
| 51.21S0A2000V<br>(053)                  | 13.0<br>EACH                                      | STANDARD MANHOLE TYPE A-2         | \$ _____                              | _____ | \$ _____                                   | _____ |
| 51.21S0A3000V<br>(054)                  | 2.0<br>EACH                                       | STANDARD SHALLOW MANHOLE TYPE A-3 | \$ _____                              | _____ | \$ _____                                   | _____ |
| 51.21S0B1000V<br>(055)                  | 7.0<br>EACH                                       | STANDARD MANHOLE TYPE B-1         | \$ _____                              | _____ | \$ _____                                   | _____ |
| 51.21S0B2000V<br>(056)                  | 3.0<br>EACH                                       | STANDARD MANHOLE TYPE B-2         | \$ _____                              | _____ | \$ _____                                   | _____ |



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|---|---|--|---------------------------------------|-----------|--|-----------|
|   |   |  | DOLLARS                               | CTS       | DOLLARS                                    | CTS       |
| 51.21S0D1066R<br>(057)                  | 4.0<br>EACH                                       | STANDARD MANHOLE TYPE D-1 ON 66" R.C.P.<br>SEWER | \$ _____                              | _____ CTS | \$ _____                                   | _____ CTS |
| 51.41P000<br>(058)                      | 1.0<br>EACH                                       | SPECIAL CATCH BASIN                              | \$ _____                              | _____ CTS | \$ _____                                   | _____ CTS |
| 51.41S001<br>(059)                      | 42.0<br>EACH                                      | STANDARD CATCH BASIN, TYPE 1                     | \$ _____                              | _____ CTS | \$ _____                                   | _____ CTS |
| 51.41S002<br>(060)                      | 2.0<br>EACH                                       | STANDARD CATCH BASIN, TYPE 2                     | \$ _____                              | _____ CTS | \$ _____                                   | _____ CTS |

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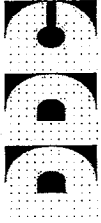
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|---|---|---|---------------------------------------|-----------|--|
|   |   |   | DOLLARS                               | CTS       |  |
| 51.41W000<br>(061)                      | 7.0<br>EACH                                       | SHALLOW CATCH BASIN   | \$ _____                              | _____ CTS | \$ _____                                   |
| 51.42B1W<br>(062)                       | 2.0<br>EACH                                       | INCREMENTAL COST OF TYPE 3 CATCH BASIN WITH<br>CURB PIECE IN LIEU OF TYPE 1 CATCH BASIN | \$ _____                              | _____ CTS | \$ _____                                   |
| 51.61F000<br>(063)                      | 1.0<br>EACH                                       | OUTFALL   | \$ _____                              | _____ CTS | \$ _____                                   |
| 52.11D12<br>(064)                       | 1,350.0<br>L.F.                                   | 12" DUCTILE IRON PIPE BASIN CONNECTION  | \$ _____                              | _____ CTS | \$ _____                                   |



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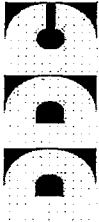
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|---|---|---|---------------------------------------|-------|--|-------|
|   |   |   | DOLLARS                               | CTS   | DOLLARS                                    | CTS   |
| 52.31V06S10<br>(065)                    | 19.0<br>EACH                                      | 6" E.S.V.P. SPUR FOR HOUSE CONNECTION ON<br>10" E.S.V.P. SANITARY SEWER   | \$ _____                              | _____ | \$ _____                                   | _____ |
| 52.31V08S10<br>(066)                    | 1.0<br>EACH                                       | 8" E.S.V.P. SPUR FOR HOUSE CONNECTION ON<br>10" E.S.V.P. SANITARY SEWER   | \$ _____                              | _____ | \$ _____                                   | _____ |
| 52.41V06N<br>(067)                      | 15.0<br>L.F.                                      | NEW 6" E.S.V.P. HOUSE CONNECTION DRAIN ON<br>CONCRETE CRADLE<br><br><b>Unit price bid shall not be greater than: \$ 75.00</b> | \$ _____                              | _____ | \$ _____                                   | _____ |
| 52.41V06R<br>(068)                      | 115.0<br>L.F.                                     | 6" E.S.V.P. HOUSE CONNECTION DRAIN ON<br>CONCRETE CRADLE (RECONNECTION)   | \$ _____                              | _____ | \$ _____                                   | _____ |



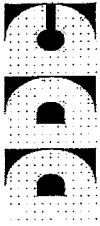
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|---|---|--|---------------------------------------|-----------|--|-----------|
|   |   |  | DOLLARS                               | CTS       | DOLLARS                                    | CTS       |
| 52.41V08N<br>(069)                      | 70.0<br>L.F.                                      | NEW 8" E.S.V.P. HOUSE CONNECTION DRAIN ON<br>CONCRETE CRADLE<br><br>Unit price bid shall not be greater than: \$ 80.00 | \$ _____                              | _____ CTS | \$ _____                                   | _____ CTS |
| 52.41V08R<br>(070)                      | 30.0<br>L.F.                                      | 8" E.S.V.P. HOUSE CONNECTION DRAIN ON<br>CONCRETE CRADLE (RECONNECTION)  | \$ _____                              | _____ CTS | \$ _____                                   | _____ CTS |
| 53.11DR<br>(071)                        | 3,900.0<br>L.F.                                   | TELEVISION INSPECTION AND DIGITAL<br>AUDIO-VISUAL RECORDING OF SEWERS  | \$ _____                              | _____ CTS | \$ _____                                   | _____ CTS |
| 6.02 AAN<br>(072)                       | 5,565.0<br>C.Y.                                   | UNCLASSIFIED EXCAVATION  | \$ _____                              | _____ CTS | \$ _____                                   | _____ CTS |



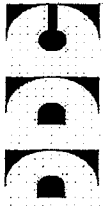
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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 6.23 AB<br>(073)                        | 1.0<br>EACH                                       | REMOVE EXISTING FIRE ALARM POST  | \$                                    |     | \$   |     |
| 6.23 BA<br>(074)                        | 1.0<br>EACH                                       | FURNISH AND INSTALL FIRE ALARM POST AND<br>SUBBASE IN ACCORDANCE WITH F.D. STD. DWG.<br>#141   | \$                                    |     | \$   |     |
| 6.23 BD<br>(075)                        | 60.0<br>L.F.                                      | FURNISH AND INSTALL 4-PAIR FIRE ALARM CABLE  | \$                                    |     | \$   |     |
| 6.23 BE<br>(076)                        | 1.0<br>EACH                                       | FURNISH AND INSTALL FIRE DEPARTMENT SLOTTED<br>MANHOLE TYPE "A" WITH FRAME AND COVER IN<br>ACCORDANCE WITH F.D. STD. DWG. #140, #144S<br>& #144E | \$                                    |     | \$   |     |

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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 6.23 BFC<br>(077)                       | 1.0<br>EACH                                       | FURNISH AND INSTALL FIRE DEPARTMENT 12 WIRE<br>TERMINAL BOX AND TERMINATE FIRE ALARM<br>CABLES  | \$                                    |     | \$   |     |
| 6.23 BGSE<br>(078)                      | 45.0<br>L.F.                                      | FURNISH AND INSTALL 4" P.V.C. CONDUIT,<br>SCHEDULE 40, U.L. 651 (WITH PAVEMENT<br>EXCAVATION)   | \$                                    |     | \$   |     |
| 6.23 BHE<br>(079)                       | 1.0<br>EACH                                       | FURNISH AND INSTALL 4" 90-DEGREE P.V.C.<br>WIDE BEND, SCHEDULE 40, U.L. 651 (WITH<br>PAVEMENT EXCAVATION) IN ACCORDANCE WITH<br>F.D. STD. DWG. #141 OR #145AA | \$                                    |     | \$   |     |
| 6.23 BP<br>(080)                        | 1.0<br>SETS                                       | FURNISH AND INSTALL FIRE ALARM PEDESTAL<br>BUMPS (2 REQUIRED PER SET) IN ACCORDANCE<br>WITH F.D. STD. DWG. #168   | \$                                    |     | \$   |     |



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|---|---|------------------------------|---------------------------------------|-----|--|-----|
|   |   |                              | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 6.30 AA<br>(081)                        | 100.0<br>L.F.                                     | BEAM TYPE GUIDE RAIL         | \$                                    |     | \$   |     |
| 6.30 AB<br>(082)                        | 120.0<br>L.F.                                     | MALL BARRIER                 | \$                                    |     | \$   |     |
| 6.30 AR<br>(083)                        | 220.0<br>L.F.                                     | REMOVE EXISTING GUARD RAIL   | \$                                    |     | \$   |     |
| 6.30 UB<br>(084)                        | 1.0<br>EACH                                       | ANCHOR UNIT FOR MALL BARRIER | \$                                    |     | \$   |     |

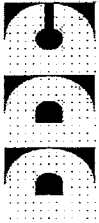
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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 6.34 ACT<br>(085)                       | 3,500.0<br>L.F.                                   | TEMPORARY CHAIN LINK FENCE, 6'-0" HIGH          | \$                                    |     | \$   |     |
| 6.34 CD<br>(086)                        | 3,500.0<br>L.F.                                   | CHAIN LINK FENCE WITH TENSION WIRES, 8'-0" HIGH | \$                                    |     | \$   |     |
| 6.34 X<br>(087)                         | 3,500.0<br>L.F.                                   | REMOVE AND DISPOSE OF EXISTING CHAIN LINK FENCE | \$                                    |     | \$   |     |
| 6.40 DC<br>(088)                        | 36.0<br>MONTH                                     | ENGINEER'S FIELD OFFICE WITH CONFERENCE ROOM    | \$                                    |     | \$   |     |



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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 6.70<br>(089)                           | 1.0<br>I.S.                                       | MAINTENANCE AND PROTECTION OF TRAFFIC<br><br>Unit price bid shall not be less than: \$ 225,000.00 | \$                                    |     | \$   |     |
| 60.11R520<br>(090)                      | 1,300.0<br>L.F.                                   | FURNISHING AND DELIVERING 20-INCH DUCTILE<br>IRON RESTRAINED JOINT PIPE (CLASS 55)                | \$                                    |     | \$   |     |
| 60.11R606<br>(091)                      | 80.0<br>L.F.                                      | FURNISHING AND DELIVERING 6-INCH DUCTILE<br>IRON RESTRAINED JOINT PIPE (CLASS 56)                 | \$                                    |     | \$   |     |
| 60.11R608<br>(092)                      | 830.0<br>L.F.                                     | FURNISHING AND DELIVERING 8-INCH DUCTILE<br>IRON RESTRAINED JOINT PIPE (CLASS 56)                 | \$                                    |     | \$   |     |

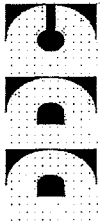
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|---|---|--|---------------------------------------|-------|--|-------|
|   |   |  | DOLLARS                               | CTS   | DOLLARS                                    | CTS   |
| 60.11R612<br>(093)                      | 180.0<br>L.F.                                     | FURNISHING AND DELIVERING 12-INCH DUCTILE<br>IRON RESTRAINED JOINT PIPE (CLASS 56) | \$ _____                              | _____ | \$ _____                                   | _____ |
| 60.12D06<br>(094)                       | 100.0<br>L.F.                                     | LAYING 6-INCH DUCTILE IRON PIPE AND<br>FITTINGS                                    | \$ _____                              | _____ | \$ _____                                   | _____ |
| 60.12D08<br>(095)                       | 850.0<br>L.F.                                     | LAYING 8-INCH DUCTILE IRON PIPE AND<br>FITTINGS                                    | \$ _____                              | _____ | \$ _____                                   | _____ |
| 60.12D12<br>(096)                       | 200.0<br>L.F.                                     | LAYING 12-INCH DUCTILE IRON PIPE AND<br>FITTINGS                                   | \$ _____                              | _____ | \$ _____                                   | _____ |



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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 60.12D20<br>(097)                       | 1,325.0<br>L.F.                                   | LAYING 20-INCH DUCTILE IRON PIPE AND FITTINGS   | \$                                    |     | \$   |     |
| 60.13MOA24<br>(098)                     | 10.5<br>TONS                                      | FURNISHING AND DELIVERING DUCTILE IRON MECHANICAL JOINT 24-INCH DIAMETER AND SMALLER FITTINGS, INCLUDING WEDGE TYPE RETAINER GLANDS | \$                                    |     | \$   |     |
| 61.11DMM06<br>(099)                     | 7.0<br>EACH                                       | FURNISHING AND DELIVERING 6-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS                  | \$                                    |     | \$   |     |
| 61.11DMM08<br>(100)                     | 2.0<br>EACH                                       | FURNISHING AND DELIVERING 8-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS                  | \$                                    |     | \$   |     |



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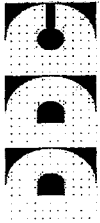
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| COL. 1<br>ITEM NUMBER<br>(SEQUENCE NO.) | COL. 2<br>ENGINEER'S<br>ESTIMATE OF<br>QUANTITIES | COL. 3<br>CLASSIFICATIONS   | COL. 4<br>UNIT PRICES<br>(IN FIGURES) |     | COL. 5<br>EXTENDED AMOUNTS<br>(IN FIGURES) |     |
|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 61.11DMM12<br>(101)                     | 1.0<br>EACH                                       | FURNISHING AND DELIVERING 12-INCH<br>MECHANICAL JOINT DUCTILE IRON GATE VALVE<br>COMPLETE WITH WEDGE TYPE RETAINER GLANDS | \$                                    |     | \$   |     |
| 61.11DMM20<br>(102)                     | 2.0<br>EACH                                       | FURNISHING AND DELIVERING 20-INCH<br>MECHANICAL JOINT DUCTILE IRON GATE VALVE<br>COMPLETE WITH WEDGE TYPE RETAINER GLANDS | \$                                    |     | \$   |     |
| 61.11TWC03<br>(103)                     | 2.0<br>EACH                                       | FURNISHING AND DELIVERING 3-INCH WET<br>CONNECTION TAPPING VALVE COMPLETE WITH<br>WEDGE TYPE RETAINER GLANDS              | \$                                    |     | \$   |     |
| 61.11TWC04<br>(104)                     | 1.0<br>EACH                                       | FURNISHING AND DELIVERING 4-INCH WET<br>CONNECTION TAPPING VALVE COMPLETE WITH<br>WEDGE TYPE RETAINER GLANDS              | \$                                    |     | \$   |     |



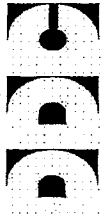
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DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN 8502011SE0018C  
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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 61.11TWC06<br>(105)                     | 1.0<br>EACH                                       | FURNISHING AND DELIVERING 6-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS  | \$                                    |     | \$   |     |
| 61.11TWC12<br>(106)                     | 1.0<br>EACH                                       | FURNISHING AND DELIVERING 12-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS | \$                                    |     | \$   |     |
| 61.12DMM06<br>(107)                     | 7.0<br>EACH                                       | SETTING 6-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS        | \$                                    |     | \$   |     |
| 61.12DMM08<br>(108)                     | 2.0<br>EACH                                       | SETTING 8-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS        | \$                                    |     | \$   |     |

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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 61.12DMM12<br>(109)                     | 1.0<br>EACH                                       | SETTING 12-INCH MECHANICAL JOINT DUCTILE<br>IRON GATE VALVE COMPLETE WITH WEDGE TYPE<br>RETAINER GLANDS | \$                                    |     | \$   |     |
| 61.12DMM20<br>(110)                     | 2.0<br>EACH                                       | SETTING 20-INCH MECHANICAL JOINT DUCTILE<br>IRON GATE VALVE COMPLETE WITH WEDGE TYPE<br>RETAINER GLANDS | \$                                    |     | \$   |     |
| 61.12TWC03<br>(111)                     | 2.0<br>EACH                                       | SETTING 3-INCH WET CONNECTION TAPPING VALVE<br>COMPLETE WITH WEDGE TYPE RETAINER GLANDS                 | \$                                    |     | \$   |     |
| 61.12TWC04<br>(112)                     | 1.0<br>EACH                                       | SETTING 4-INCH WET CONNECTION TAPPING VALVE<br>COMPLETE WITH WEDGE TYPE RETAINER GLANDS                 | \$                                    |     | \$   |     |



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|---|---|---|---------------------------------------|-------|--|-------|
|   |   |   | DOLLARS                               | CTS   | DOLLARS                                    | CTS   |
| 61.12TWC06<br>(113)                     | 1.0<br>EACH                                       | SETTING 6-INCH WET CONNECTION TAPPING VALVE<br>COMPLETE WITH WEDGE TYPE RETAINER GLANDS     | \$ _____                              | _____ | \$ _____                                   | _____ |
| 61.12TWC12<br>(114)                     | 1.0<br>EACH                                       | SETTING 12-INCH WET CONNECTION TAPPING<br>VALVE COMPLETE WITH WEDGE TYPE RETAINER<br>GLANDS | \$ _____                              | _____ | \$ _____                                   | _____ |
| 62.11SD<br>(115)                        | 7.0<br>EACH                                       | FURNISHING AND DELIVERING HYDRANTS  | \$ _____                              | _____ | \$ _____                                   | _____ |
| 62.12SG<br>(116)                        | 7.0<br>EACH                                       | SETTING HYDRANTS COMPLETE WITH WEDGE TYPE<br>RETAINER GLANDS                                | \$ _____                              | _____ | \$ _____                                   | _____ |

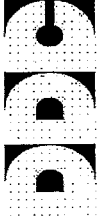


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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 62.13RH<br>(117)                        | 6.0<br>EACH                                       | REMOVING HYDRANTS   | \$                                    |     | \$   |     |
| 62.14FS<br>(118)                        | 14.0<br>EACH                                      | FURNISHING, DELIVERING AND INSTALLING<br>HYDRANT FENDERS                          | \$                                    |     | \$   |     |
| 63.11VC<br>(119)                        | 6.0<br>TONS                                       | FURNISHING AND DELIVERING VARIOUS CASTINGS  | \$                                    |     | \$   |     |
| 64.11EL<br>(120)                        | 30.0<br>EACH                                      | WITHDRAWING AND REPLACING HOUSE SERVICES<br>USING 1-1/2-INCH OR LARGER SCREW TAPS | \$                                    |     | \$   |     |



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|---|---|---|---------------------------------------|-----------|--|-----------|
|   |   |   | DOLLARS                               | CTS       | DOLLARS                                    | CTS       |
| 64.11ST<br>(121)                        | 20.0<br>EACH                                      | WITHDRAWING AND REPLACING HOUSE SERVICES<br>USING SMALLER THAN 1-1/2-INCH SCREW TAPS                              | \$ _____                              | _____ CTS | \$ _____                                   | _____ CTS |
| 64.12SEEG<br>(122)                      | 160.0<br>L.F.                                     | EXTENDING HOUSE SERVICE WATER CONNECTIONS<br>(EQUAL TO OR GREATER THAN 3-INCH DIAMETER)                           | \$ _____                              | _____ CTS | \$ _____                                   | _____ CTS |
| 64.12ESLT<br>(123)                      | 90.0<br>L.F.                                      | EXTENDING HOUSE SERVICE WATER CONNECTIONS<br>(LESS THAN 3-INCH DIAMETER)  | \$ _____                              | _____ CTS | \$ _____                                   | _____ CTS |
| 64.13WC20<br>(124)                      | 5.0<br>EACH                                       | FURNISHING, DELIVERING AND INSTALLING WET<br>CONNECTION SLEEVE ON 20-INCH WATER MAIN<br>PIPE WITH VARIOUS OUTLETS | \$ _____                              | _____ CTS | \$ _____                                   | _____ CTS |

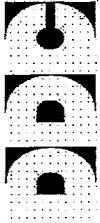
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|---|---|---|---------------------------------------|-----------|--|-----------|
|   |   |   | DOLLARS                               | CTS       | DOLLARS                                    | CTS       |
| 65.11BR<br>(125)                        | 200.0<br>LBS.                                     | FURNISHING, DELIVERING AND INSTALLING<br>BANDS, RODS, WASHERS, ETC., COMPLETE, FOR<br>RESTRAINING JOINTS  | \$ _____                              | _____ CTS | \$ _____                                   | _____ CTS |
| 65.21PS<br>(126)                        | 1,200.0<br>L.F.                                   | FURNISHING AND PLACING POLYETHYLENE SLEEVE<br><br>Unit price bid shall not be less than: \$ 0.50          | \$ _____                              | _____ CTS | \$ _____                                   | _____ CTS |
| 65.31FF<br>(127)                        | 25,000.0<br>S.F.                                  | FURNISHING, DELIVERING AND PLACING FILTER<br>FABRIC<br><br>Unit price bid shall not be less than: \$ 0.10 | \$ _____                              | _____ CTS | \$ _____                                   | _____ CTS |
| 65.71SG<br>(128)                        | 165.0<br>C.Y.                                     | FURNISHING, DELIVERING AND PLACING SCREENED<br>GRAVEL OR SCREENED BROKEN STONE BEDDING                    | \$ _____                              | _____ CTS | \$ _____                                   | _____ CTS |



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|---|---|---|---------------------------------------|-------|--|-------|
|   |   |   | DOLLARS                               | CTS   | DOLLARS                                    | CTS   |
| 7.13 B<br>(129)                         | 30.0<br>MONTH                                     | MAINTENANCE OF SITE<br><br>Unit price bid shall not be less than: \$ 7,500.00                       | \$ _____                              | _____ | \$ _____                                   | _____ |
| 7.88 AA<br>(130)                        | 1.0<br>L.S.                                       | RODENT INFESTATION SURVEY AND MONITORING<br><br>Unit price bid shall not be less than: \$ 30,000.00 | \$ _____                              | _____ | \$ _____                                   | _____ |
| 7.88 AB<br>(131)                        | 370.0<br>EACH                                     | RODENT BAIT STATIONS<br><br>Unit price bid shall not be less than: \$ 60.00                         | \$ _____                              | _____ | \$ _____                                   | _____ |
| 7.88 AC<br>(132)                        | 370.0<br>EACH                                     | BAITING OF RODENT BAIT STATIONS<br><br>Unit price bid shall not be less than: \$ 8.50               | \$ _____                              | _____ | \$ _____                                   | _____ |



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|---|---|---|---------------------------------------|-------|--|
|   |   |   | DOLLARS                               | CTS   |  |
| 7.88 AD<br>(133)                        | 65.0<br>BLOCK                                     | WATERBUG BAIT APPLICATIONS<br><br>Unit price bid shall not be less than: \$ 65.00 | \$ _____                              | _____ | \$ _____                                   |
| 70.12AN<br>(134)                        | 171,150.0<br>V.F.                                 | CONTINUOUS FLIGHT AUGER (CFA) PILES   | \$ _____                              | _____ | \$ _____                                   |
| 70.12AT<br>(135)                        | 6.0<br>EACH                                       | CONTINUOUS FLIGHT AUGER (CFA) PILES, LOAD TEST                                    | \$ _____                              | _____ | \$ _____                                   |
| 70.21DK<br>(136)                        | 8,000.0<br>S.Y.                                   | DECKING   | \$ _____                              | _____ | \$ _____                                   |



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|---|---|---|---------------------------------------|-------|--|-------|
|   |   |   | DOLLARS                               | CTS   | DOLLARS                                    | CTS   |
| 70.31FN<br>(137)                        | 21,500.0<br>I.F.                                  | FENCING<br><br>Unit price bid shall not be less than: \$ 2.00                             | \$ _____                              | _____ | \$ _____                                   | _____ |
| 70.51EO<br>(138)                        | 500.0<br>C.Y.                                     | EXCAVATION OF BOULDERS IN OPEN CUT<br><br>Unit price bid shall not be less than: \$ 75.00 | \$ _____                              | _____ | \$ _____                                   | _____ |
| 70.61RE<br>(139)                        | 10.0<br>C.Y.                                      | ROCK EXCAVATION   | \$ _____                              | _____ | \$ _____                                   | _____ |
| 70.71RR<br>(140)                        | 10.0<br>C.Y.                                      | RIPRAP  | \$ _____                              | _____ | \$ _____                                   | _____ |

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|---|---|--|---------------------------------------|-------|--|-------|
|   |   |  | DOLLARS                               | CTS   | DOLLARS                                    | CTS   |
| 70.71SB<br>(141)                        | 3,315.0<br>C.Y.                                   | STONE BALLAST<br><br>Unit price bid shall not be less than: \$ 15.00   | \$ _____                              | _____ | \$ _____                                   | _____ |
| 70.71SP<br>(142)                        | 15.0<br>C.Y.                                      | SLOPE PAVEMENT   | \$ _____                              | _____ | \$ _____                                   | _____ |
| 70.81CB<br>(143)                        | 16,350.0<br>C.Y.                                  | CLEAN BACKFILL<br><br>Unit price bid shall not be less than: \$ 15.00  | \$ _____                              | _____ | \$ _____                                   | _____ |
| 70.91SW12<br>(144)                      | 200.0<br>S.F.                                     | FURNISHING AND PLACING SHEETING AND BRACING<br>IN TRENCH FOR WATER MAIN PIPE 12-INCH IN<br>DIAMETER AND LESS | \$ _____                              | _____ | \$ _____                                   | _____ |

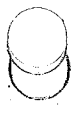


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|---|---|---|---------------------------------------|-----|--|-----|
|   |   |   | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| 70.91SW20<br>(145)                      | 17,000.0<br>S.F.                                  | FURNISHING AND PLACING SHEETING AND BRACING<br>IN TRENCH FOR WATER MAIN PIPE 20-INCH IN<br>DIAMETER | \$                                    |     | \$   |     |
| 72.11HF<br>(146)                        | 20.0<br>C.Y.                                      | HYDRAULIC FILL FOR ABANDONED SEWERS AND<br>WATER MAINS  | \$                                    |     | \$   |     |
| 73.11AB<br>(147)                        | 40.0<br>C.Y.                                      | ADDITIONAL BRICK MASONRY<br><br>Unit price bid shall not be less than: \$ 62.50                     | \$                                    |     | \$   |     |
| 73.21AC<br>(148)                        | 1,565.0<br>C.Y.                                   | ADDITIONAL CONCRETE<br><br>Unit price bid shall not be less than: \$ 62.50                          | \$                                    |     | \$   |     |



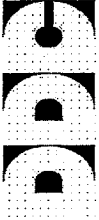
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|---|---|--|---------------------------------------|-------|--|-------|
|   |   |  | DOLLARS                               | CTS   | DOLLARS                                    | CTS   |
| 73.31AE0<br>(149)                       | 2,555.0<br>C.Y.                                   | ADDITIONAL EARTH EXCAVATION INCLUDING TEST<br>PITS (ALL DEPTHS)<br><br>Unit price bid shall not be less than: \$ 20.00 | \$ _____                              | _____ | \$ _____                                   | _____ |
| 73.41AG<br>(150)                        | 260.0<br>C.Y.                                     | ADDITIONAL SELECT GRANULAR BACKFILL<br><br>Unit price bid shall not be less than: \$ 15.00                             | \$ _____                              | _____ | \$ _____                                   | _____ |
| 73.51AS<br>(151)                        | 284,400.0<br>LBS.                                 | ADDITIONAL STEEL REINFORCING BARS<br><br>Unit price bid shall not be less than: \$ 1.00                                | \$ _____                              | _____ | \$ _____                                   | _____ |
| 76.21MR<br>(152)                        | 1.0<br>L.S.                                       | MONITORING AND POST-CONSTRUCTION REPORT  | \$ _____                              | _____ | \$ _____                                   | _____ |



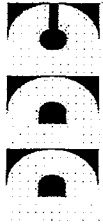
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|---|---|---|---------------------------------------|-------|--|-------|
|   |   |   | DOLLARS                               | CTS   | DOLLARS                                    | CTS   |
| 8.01 C1<br>(153)                        | 30,000.0<br>TONS                                  | HANDLING, TRANSPORTING AND DISPOSAL OF<br>NON-HAZARDOUS CONTAMINATED SOIL                   | \$ _____                              | _____ | \$ _____                                   | _____ |
| 8.01 C2<br>(154)                        | 20.0<br>SETS                                      | SAMPLING AND TESTING OF<br>CONTAMINATED/POTENTIALLY HAZARDOUS SOIL FOR<br>DISPOSAL PURPOSES | \$ _____                              | _____ | \$ _____                                   | _____ |
| 8.01 H<br>(155)                         | 1,600.0<br>TONS                                   | HANDLING, TRANSPORTING AND DISPOSAL OF<br>HAZARDOUS SOIL                                    | \$ _____                              | _____ | \$ _____                                   | _____ |
| 8.01 S<br>(156)                         | 1.0<br>L.S.                                       | HEALTH AND SAFETY   | \$ _____                              | _____ | \$ _____                                   | _____ |

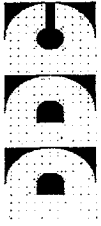
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|---|---|---|---------------------------------------|-----------|--|-----------|
|   |   |   | DOLLARS                               | CTS       | DOLLARS                                    | CTS       |
| 8.01 W1<br>(157)                        | 60.0<br>DAY                                       | REMOVAL, TREATMENT, AND DISCHARGE/DISPOSAL<br>OF CONTAMINATED WATER                                       | \$                                    |           | \$   |           |
| 8.01 W2<br>(158)                        | 10.0<br>SETS                                      | SAMPLING AND TESTING OF WATER   | \$                                    |           | \$   |           |
| 9.04 HW<br>(159)                        | 1.0<br>F.S.                                       | ALLOWANCE FOR ANTI-FREEZE ADDITIVE IN<br>CONCRETE<br>PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 50,000.00 | \$                                    | 50,000.00 | \$   | 50,000.00 |
| 9.30<br>(160)                           | 1.0<br>I.S.                                       | STORM WATER POLLUTION PREVENTION  | \$                                    |           | \$   |           |



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|---|---|---|---------------------------------------|-------|--|-------|
|   |   |   | DOLLARS                               | CTS   | DOLLARS                                    | CTS   |
| SL-20.02.02<br>(161)                    | 12.0<br>EACH                                      | FURNISH AND INSTALL STANDARD TYPE ANCHOR BOLT FOUNDATION, AS PER DRAWING E-3788   | \$ _____                              | _____ | \$ _____                                   | _____ |
| SL-20.08.01<br>(162)                    | 11.0<br>EACH                                      | REMOVE STANDARD TYPE ANCHOR BOLT CONCRETE FOUNDATION  | \$ _____                              | _____ | \$ _____                                   | _____ |
| SL-21.03.02<br>(163)                    | 12.0<br>EACH                                      | FURNISH AND INSTALL TYPE 2S, 4S, 6S, 8S OR 12S LAMPPOST WITH TRANSFORMER BASE   | \$ _____                              | _____ | \$ _____                                   | _____ |
| SL-21.09.05<br>(164)                    | 11.0<br>EACH                                      | REMOVE FABRICATED STEEL, ALUMINUM NO. 10, ETC. LAMPPOST, WITH ARM(S), LUMINAIRE(S), ETC., WITH ALL ATTACHMENTS, IF ANY. | \$ _____                              | _____ | \$ _____                                   | _____ |



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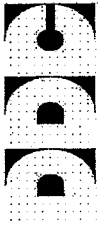


NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN 8502011SE0018C

Project ID SE809

| COL. 1<br>ITEM NUMBER<br>(SEQUENCE NO.) | COL. 2<br>ENGINEER'S<br>ESTIMATE OF<br>QUANTITIES | COL. 3<br>CLASSIFICATIONS  | COL. 4<br>UNIT PRICES<br>(IN FIGURES) |     | COL. 5<br>EXTENDED AMOUNTS<br>(IN FIGURES) |     |
|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| SL-21.09.08<br>(165)                    | 1.0<br>EACH                                       | REMOVE ALL STREET LIGHTING EQUIPMENT FROM TYPE "M-2" TRAFFIC POST (ARM(S) , PHOTOELECTRIC CONTROLLER, LUMINAIRE (S) , SHAFT EXTENSION, WIRING, ETC.) | \$                                    |     | \$   |     |
| SL-22.03.17<br>(166)                    | 13.0<br>EACH                                      | FURNISH AND INSTALL TYPE COBRA HEAD LUMINAIRE WITH 100 WATT HPS WITH SOLID STATE BALLAST AND STRAY VOLTAGE INDICATOR FOR 55 VOLT LAMP.               | \$                                    |     | \$   |     |
| SL-24.02.02<br>(167)                    | 1.0<br>EACH                                       | FURNISH AND INSTALL FABRICATED STEEL 8 Ft. ARM ON LAMPOST OR "M-2" TRAFFIC POLE SHAFT EXTENSION.   | \$                                    |     | \$   |     |
| SL-24.02.03<br>(168)                    | 1.0<br>EACH                                       | FURNISH AND INSTALL FABRICATED STEEL 6 Ft. EXTENSION ARM ON LAMPOST OR "M-2" POLE SHAFT EXTENSION, AS PER DRAWING J-3951.                            | \$                                    |     | \$   |     |



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| COL. 1<br>ITEM NUMBER<br>(SEQUENCE NO.) | COL. 2<br>ENGINEER'S<br>ESTIMATE OF<br>QUANTITIES | COL. 3<br>CLASSIFICATIONS  | COL. 4<br>UNIT PRICES<br>(IN FIGURES) |     | COL. 5<br>EXTENDED AMOUNTS<br>(IN FIGURES) |     |
|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| SL-26.01.01<br>(169)                    | 13.0<br>EACH                                      | FURNISH AND INSTALL, OR FURNISH AND REPLACE<br>A PLUG-IN SOLID STATE PHOTOELECTRIC CONTROL                               | \$                                    |     | \$   |     |
| SL-29.01.01<br>(170)                    | 5.0<br>EACH                                       | FURNISH, INSTALL, MAINTAIN AND REMOVE<br>EQUIPMENT FOR TEMPORARY LIGHTING (PYLON),<br>AS PER DRAWINGS F-5005 AND F-5005A | \$                                    |     | \$   |     |
| SL-33.02.01<br>(171)                    | 500.0<br>L.F.                                     | REMOVE TEMPORARY OR PERMANENT OVERHEAD<br>ELECTRICAL CONDUCTORS  | \$                                    |     | \$   |     |
| SL-33.02.10<br>(172)                    | 500.0<br>L.F.                                     | FURNISH AND INSTALL #6 ALUMINUM DUPLEX<br>CABLE FOR OVERHEAD INSTALLATION.   | \$                                    |     | \$   |     |

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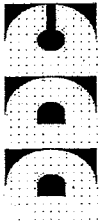


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DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN  
Project ID

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| COL. 1<br>ITEM NUMBER<br>(SEQUENCE NO.) | COL. 2<br>ENGINEER'S<br>ESTIMATE OF<br>QUANTITIES | COL. 3<br>CLASSIFICATIONS   | COL. 4<br>UNIT PRICES<br>(IN FIGURES) |       | COL. 5<br>EXTENDED AMOUNTS<br>(IN FIGURES) |       |
|---|---|---|---------------------------------------|-------|--|-------|
|   |   |   | DOLLARS                               | CTS   | DOLLARS                                    | CTS   |
| T-1.1<br>(173)                          | 1.0<br>EACH                                       | INSTALL TYPE "S" OR "T" FOUNDATION  | \$ _____                              | _____ | \$ _____                                   | _____ |
| T-1.18<br>(174)                         | 1.0<br>EACH                                       | REMOVE TYPE "A", "B", "S" OR "T" SERIES<br>FOUNDATION                         | \$ _____                              | _____ | \$ _____                                   | _____ |
| T-2.1<br>(175)                          | 1.0<br>EACH                                       | INSTALL TYPE "S-1" OR "T-1" SERIES POST                                       | \$ _____                              | _____ | \$ _____                                   | _____ |
| T-2.16<br>(176)                         | 1.0<br>EACH                                       | FURNISH, INSTALL, MAINTAIN AND REMOVE<br>TEMPORARY POST OR PYLON WITH SIGNALS | \$ _____                              | _____ | \$ _____                                   | _____ |



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|---|---|--|---------------------------------------|-------|--|-------|
|   |   |  | DOLLARS                               | CTS   | DOLLARS                                    | CTS   |
| T-2.22<br>(177)                         | 1.0<br>EACH                                       | REMOVE TYPE "S-1" OR "T-1" SERIES POST   | \$ _____                              | _____ | \$ _____                                   | _____ |
| T-20020<br>(178)                        | 3.0<br>EACH                                       | a) FURNISH 3/4" ANCHOR BOLT ASSEMBLIES FOR S-1 (EACH) (3 REQUIRED PER POST)    | \$ _____                              | _____ | \$ _____                                   | _____ |
| T-3.21<br>(179)                         | 1.0<br>EACH                                       | REMOVE PEDESTRIAN SIGNAL OR SIGN UNIT OR OTHER ILLUMINATED SIGNS FROM ANY POST | \$ _____                              | _____ | \$ _____                                   | _____ |
| T-3.6<br>(180)                          | 1.0<br>EACH                                       | INSTALL PEDESTRIAN SIGNAL ON ANY TYPE POST                                     | \$ _____                              | _____ | \$ _____                                   | _____ |

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|---|---|--|---------------------------------------|-------|--|-------|
|   |   |  | DOLLARS                               | CTS   | DOLLARS                                    | CTS   |
| T-31340<br>(181)                        | 1.0<br>EACH                                       | f) "VB-P" ASSEMBLY *ASSEMBLY IS EQUAL TO<br>ONE PAIR                 | \$ _____                              | _____ | \$ _____                                   | _____ |
| T-5.2<br>(182)                          | 20.0<br>L.F.                                      | FURNISH AND INSTALL 2" RIGID UNDERGROUND<br>CONDUIT IN PAVED ROADWAY | \$ _____                              | _____ | \$ _____                                   | _____ |
| T-5.32<br>(183)                         | 20.0<br>L.F.                                      | RESTORING PERMANENT ROADWAY (INCLUDING<br>SAWCUT)                    | \$ _____                              | _____ | \$ _____                                   | _____ |
| T-6.10<br>(184)                         | 150.0<br>L.F.                                     | REMOVE CABLE (INCLUDES OVERHEAD)                                     | \$ _____                              | _____ | \$ _____                                   | _____ |



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|---|---|---|---------------------------------------|-------|--|-------|
|   |   |   | DOLLARS                               | CTS   | DOLLARS                                    | CTS   |
| T-6.2<br>(185)                          | 150.0<br>L.F.                                     | INSTALL MULTIPLE CABLE (INCLUDES OVERHEAD)                                      | \$ _____                              | _____ | \$ _____                                   | _____ |
| T-60000B<br>(186)                       | 150.0<br>L.F.                                     | FURNISH 2 c # 10B (SEE SPEC) (BREAKDOWN =<br>2#10 WITH 3RD WIRE FOR GROUNDING). | \$ _____                              | _____ | \$ _____                                   | _____ |
| T-60040<br>(187)                        | 150.0<br>L.F.                                     | c) 7 CONDUCTOR, 14 A.W.G.   | \$ _____                              | _____ | \$ _____                                   | _____ |
| T-60190<br>(188)                        | 200.0<br>L.F.                                     | e) 13 CONDUCTOR, 14 A.W.G.  | \$ _____                              | _____ | \$ _____                                   | _____ |

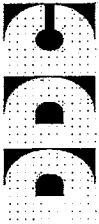
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|---|---|---|---------------------------------------|-------|--|
|   |   |   | DOLLARS                               | CTS   |  |
| UTL-6.01.1<br>(189)                     | 6.0<br>EACH                                       | GAS MAIN CROSSING SEWER UP TO 24" IN<br>DIAMETER (S6.01)<br><br>Unit price bid shall not be less than: \$ 1,040.00                              | \$ _____                              | _____ | \$ _____                                   |
| UTL-6.01.6<br>(190)                     | 2.0<br>EACH                                       | GAS MAIN CROSSING SEWER 66" THRU 72" IN<br>DIAMETER (S6.01)<br><br>Unit price bid shall not be less than: \$ 2,540.00                           | \$ _____                              | _____ | \$ _____                                   |
| UTL-6.01.7Y<br>(191)                    | 3.0<br>EACH                                       | GAS MAIN CROSSING 9'-6"W X 9'-0"H FLAT TOP<br>REINFORCED CONCRETE STORM SEWER (S6.01)<br><br>Unit price bid shall not be less than: \$ 2,740.00 | \$ _____                              | _____ | \$ _____                                   |
| UTL-6.01.8<br>(192)                     | 37.0<br>EACH                                      | GAS SERVICES CROSSING TRENCHES AND/OR<br>EXCAVATIONS (S6.01)<br><br>Unit price bid shall not be less than: \$ 465.00                            | \$ _____                              | _____ | \$ _____                                   |



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|---|---|---|---------------------------------------|-------|--|-------|
|   |   |   | DOLLARS                               | CTS   | DOLLARS                                    | CTS   |
| UTL-6.01.9<br>(193)                     | 7.0<br>EACH                                       | GAS MAIN CROSSING WATER MAIN UP TO 20" IN<br>DIAMETER (S6.01)<br><br>Unit price bid shall not be less than: \$ 485.00   | \$ _____                              | _____ | \$ _____                                   | _____ |
| UTL-6.02<br>(194)                       | 15.0<br>EACH                                      | EXTRA EXCAVATION FOR THE INSTALLATION OF<br>CATCH BASIN SEWER DRAIN PIPES WITH GAS<br>INTERFERENCES (S6.02)<br><br>Unit price bid shall not be less than: \$ 715.00 | \$ _____                              | _____ | \$ _____                                   | _____ |
| UTL-6.03<br>(195)                       | 895.0<br>L.F.                                     | REMOVAL OF ABANDONED GAS FACILITIES. ALL<br>SIZES. (S6.03)<br><br>Unit price bid shall not be less than: \$ 15.00   | \$ _____                              | _____ | \$ _____                                   | _____ |
| UTL-6.03.1A<br>(196)                    | 200.0<br>L.F.                                     | REMOVAL OF ABANDONED GAS FACILITIES WITH<br>POSSIBLE COAL TAR WRAP. ALL SIZES. (S6.03)<br><br>Unit price bid shall not be less than: \$ 25.00                       | \$ _____                              | _____ | \$ _____                                   | _____ |



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| COL. 1<br>ITEM NUMBER<br>(SEQUENCE NO.) | COL. 2<br>ENGINEER'S<br>ESTIMATE OF<br>QUANTITIES | COL. 3<br>CLASSIFICATIONS  | COL. 4<br>UNIT PRICES<br>(IN FIGURES) |       | COL. 5<br>EXTENDED AMOUNTS<br>(IN FIGURES) |
|---|---|--|---------------------------------------|-------|--|
|   |   |  | DOLLARS                               | CTS   |  |
| UTL-6.04<br>(197)                       | 10.0<br>EACH                                      | ADJUST HARDWARE TO GRADE USING SPACER<br>RINGS/ADAPTORS. (STREET REPAVING.) (S6.04)<br><br>Unit price bid shall not be less than: \$ 35.00 | \$ _____                              | _____ | \$ _____                                   |
| UTL-6.05<br>(198)                       | 10.0<br>EACH                                      | ADJUST HARDWARE TO GRADE BY RESETTING.<br>(ROAD RECONSTRUCTION.) (S6.05)<br><br>Unit price bid shall not be less than: \$ 65.00            | \$ _____                              | _____ | \$ _____                                   |
| UTL-6.06<br>(199)                       | 300.0<br>C.Y.                                     | SPECIAL CARE EXCAVATION AND BACKFILLING<br>(S6.06)<br><br>Unit price bid shall not be less than: \$ 180.00                                 | \$ _____                              | _____ | \$ _____                                   |
| UTL-6.07<br>(200)                       | 100.0<br>C.Y.                                     | TEST PITS FOR GAS FACILITIES (S6.07)<br><br>Unit price bid shall not be less than: \$ 100.00   | \$ _____                              | _____ | \$ _____                                   |



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|---|---|--|---------------------------------------|-----|--|-----|
|   |   |  | DOLLARS                               | CTS | DOLLARS                                    | CTS |
| UTL-GCS-2WS<br>(201)                    | 1.0<br>F.S.                                       | GAS INTERFERENCES AND ACCOMMODATIONS<br><br>PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 75,000.00 | \$ 75,000.00                          | .00 | \$ 75,000.00                               | .00 |

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|---|---|---|-----------------------------|---------|---------|-----|
|   |   |   | UNIT PRICES<br>(IN FIGURES) | DOLLARS | DOLLARS | CTS |
| 6.39 A<br>(202)                         | 1.0<br>LUMP SUM                                   | MOBILIZATION  |                             |         |         |     |
|   |   | PRICE BID SHALL NOT EXCEED 4% OF THE ABOVE SUB-TOTAL PRICE. |                             |         |         |     |
| <b>SUB-TOTAL:</b>                       |   |   |                             |         |         |     |
| <b>TOTAL BID PRICE:</b>                 |   |   |                             |         |         |     |

PLEASE BE SURE A LEGIBLE BID IS ENTERED FOR EACH ITEM.

THE BIDDER SHALL INSERT THE TOTAL BID PRICE IN  
THE BID FORM ON PAGE C-4 OF THIS BID BOOKLET.

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**BID FORM  
THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE**

**BID FOR FURNISHING ALL LABOR AND  
MATERIAL NECESSARY AND REQUIRED FOR:**

**PROJECT ID: SE809**

**CONSTRUCTION OF SANITARY AND STORM SEWERS AND APPURTENANCES IN N.B.  
WHITESTONE EXPRESSWAY SERVICE ROAD BETWEEN 25<sup>TH</sup> AVENUE AND FLUSHING RIVER;  
ETC.**

**INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC SIGNAL WORK**

**Together With All Work Incidental Thereto  
BOROUGH OF QUEENS  
CITY OF NEW YORK**

Name of Bidder: \_\_\_\_\_

Date of Bid Opening: \_\_\_\_\_

Bidder is: (Check one, whichever applies) Individual ( ) Partnership ( ) Corporation ( )

Place of Business of Bidder: \_\_\_\_\_

Bidder's Telephone Number: \_\_\_\_\_ Fax Number: \_\_\_\_\_

Bidder's E-Mail Address: \_\_\_\_\_

Residence of Bidder (If Individual): \_\_\_\_\_

If Bidder is a Partnership, fill in the following blanks:

Names of Partners

Residence of Partners

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

If Bidder is a Corporation, fill in the following blanks:

Organized under the laws of the State of \_\_\_\_\_

Name and Home Address of President: \_\_\_\_\_

\_\_\_\_\_

Name and Home Address of Secretary: \_\_\_\_\_

\_\_\_\_\_

Name and Home Address of Treasurer: \_\_\_\_\_

## BID FORM

---

The above-named Bidder affirms and declares:

1. The said bidder is of lawful age and the only one interested in this bid; and no person, firm or corporation other than hereinbefore named has any interest in this bid, or in the Contract proposed to be taken.
2. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief: (1) the prices in this bid have been arrived at independently without collusion, consultation, communication or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor; (2) unless otherwise required by law, the prices quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and (3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.
3. No councilman or other officer or employee or person whose salary is payable in whole or in part from the City Treasury is directly or indirectly interested in this bid, or in the supplies, materials, equipment, work or labor to which it relates, or in any of the profits thereof.
4. The bidder is not in arrears to the City of New York upon debt or contract or taxes, and is not a defaulter, as surety or otherwise, upon any obligation of the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York or State of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except as set forth on the Affirmation included as page C-6 of this Bid Booklet.

The bidder hereby affirms that it has paid all applicable City income, excise and other taxes for all years it has conducted business activities in New York City.

5. The bidder, as an individual, or as a member, partner, director or officer of the bidder, if the same be a firm, partnership or corporation, executes this document expressly warranting and representing that should this bid be accepted by the City and the Contract awarded to him, he and his subcontractors engaged in the performance: (1) will comply with the provisions of Section 6-108 of the Administrative Code of the City of New York and the non-discrimination provisions of Section 220a of the New York State Labor Law, as more expressly and in detail set forth in the Agreement; (2) will comply with Section 6-109 of the Administrative Code of the City of New York in relation to minimum wages and other stipulations as more expressly and in detail set forth in the Agreement; (3) have complied with the provisions of the aforesaid laws since their respective effective dates, and (4) will post notices to be furnished by the City, setting forth the requirements of the aforesaid laws in prominent and conspicuous places in each and every plant, factory, building and structure where employees engaged in the performance of the Contract can readily view it, and will continue to keep such notices posted until the supplies, materials and equipment, or work labor and services required to be furnished or rendered by the

Contractor have been finally accepted by the City. In the event of any breach or violation of the foregoing, the Contractor may be subject to damages, liquidated or otherwise, cancellation of the Contract and suspension as a bidder for a period of three years. (The words, "the bidder", "he", "his", and "him" where used herein shall mean the individual bidder, firm, partnership or corporation executing this bid).

6. Compliance Report

The bidder, as an individual, or as a member, partner, director, or officer of the bidder, if the same be a firm, partnership, or corporation, (1) represents that his attention has been specifically drawn to Executive Order No. 50, dated, April 25, 1980, on Equal Employment Compliance of the contract, and (2) warrants that he will comply with the provisions of Executive Order No. 50. The Employment Report must be submitted as part of the bid.

The bidder, as an individual, or as a member, partner, director, or officer of the bidder, if the same be a firm, partnership, or corporation, executes this document expressly warranting that he will comply with: (1) the provision of the contract on providing records, Chapter 8.

7. By submission of this bid, the bidder certifies that it now has and will continue to have the financial capability to fully perform the work required for this contract. Any award of this contract will be made in reliance upon such certification. Upon request therefor, the bidder will submit written verification of such financial capability in a form that is acceptable to the department.

8. In accordance with Section 165 of the State Finance Law, the bidder agrees that tropical hardwoods, as defined in Section 165 of the State Finance Law, shall not be utilized in the performance of this Contract, except as the same are permitted by the foregoing provision of law.

9. The bidder has visited and examined the site of the work and has carefully examined the Contract in the form approved by the Corporation Counsel, and will execute the Contract and perform all its items, covenants and conditions, and will provide, furnish and deliver all the work, materials, supplies, tools and appliances for all labor and materials necessary or required for the hereinafter named work, all in strict conformity with the Contract, for the prices set forth in the Bid Schedule:

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**BID FORM**

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**PROJECT ID: SE809**

**TOTAL BID PRICE:** In the space provided below, the Bidder shall indicate its Total Bid Price in figures. Such Total Bid Price is set forth on the final page of the Bid Schedule.

TOTAL BID PRICE: \$ \_\_\_\_\_  
(a/k/a BID PROPOSAL)

**BIDDER'S SIGNATURE AND AFFIDAVIT**

**WARNING!!!** If M/WBE goals have been established for this Contract, you **MUST** complete and submit the Affirmations on the 2nd page of the Subcontractor Utilization Plan (or a pre-approved waiver) at the time you submit your bid. Failure to comply will result in the rejection of your bid.

Bidder: \_\_\_\_\_

By: \_\_\_\_\_  
(Signature of Partner or corporate officer)

Attest:  
(Corporate Seal)

Secretary of Corporate Bidder

Affidavit on the following page should be subscribed  
and sworn to before a Notary Public

**BID FORM (TO BE NOTARIZED)**

**AFFIDAVIT WHERE BIDDER IS AN INDIVIDUAL**

STATE OF NEW YORK, COUNTY OF \_\_\_\_\_ ss:

being duly sworn says:

I am the person described in and who executed the foregoing bid, and the several matters therein stated are in all respects true.

\_\_\_\_\_  
(Signature of the person who signed the Bid)

Subscribed and sworn to before me this  
\_\_\_\_\_ day of \_\_\_\_\_,

\_\_\_\_\_  
Notary Public

**AFFIDAVIT WHERE BIDDER IS A PARTNERSHIP**

STATE OF NEW YORK, COUNTY OF \_\_\_\_\_ ss:

being duly sworn says:

I am a member of \_\_\_\_\_ the firm described in and which executed the foregoing bid. I subscribed the name of the firm thereto on behalf of the firm, and the several matters therein stated are in all respects true.

\_\_\_\_\_  
(Signature of Partner who signed the Bid)

Subscribed and sworn to before me this  
\_\_\_\_\_ day of \_\_\_\_\_,

\_\_\_\_\_  
Notary Public

**AFFIDAVIT WHERE BIDDER IS A CORPORATION**

STATE OF NEW YORK, COUNTY OF \_\_\_\_\_ ss:

being duly sworn says:

I am the \_\_\_\_\_ of the above named corporation whose name is subscribed to and which executed the foregoing bid. I reside at \_\_\_\_\_  
I have knowledge of the several matters therein stated, and they are in all respects true.

\_\_\_\_\_  
(Signature of Partner who signed the Bid)

Subscribed and sworn to before me this  
\_\_\_\_\_ day of \_\_\_\_\_,

\_\_\_\_\_  
Notary Public

**AFFIRMATION**

The undersigned bidder affirms and declares that said bidder is not in arrears to the City of New York upon debt, contract or taxes and is not a defaulter, as surety or otherwise, upon obligation to the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except: \_\_\_\_\_

(If none, the bidder shall insert the word "None" in the space provided above.)

Full Name of Bidder: \_\_\_\_\_  
Address: \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

**CHECK ONE BOX AND INCLUDE APPROPRIATE NUMBER:**

A - Individual or Sole Proprietorship\*  
SOCIAL SECURITY NUMBER

-----

B - Partnership, Joint Venture or other unincorporated organization  
EMPLOYER IDENTIFICATION NUMBER

-----

C- Corporation  
EMPLOYER IDENTIFICATION NUMBER

-----

By: \_\_\_\_\_  
Signature

Title: \_\_\_\_\_

If a corporation, place seal here

This affirmation must be signed by an officer or duly authorized representative.

\*Under the Federal Privacy Act the furnishing of Social Security Numbers by bidders on City contracts is voluntary. Failure to provide a Social Security Number will not result in a bidder's disqualification. Social Security Numbers will be used to identify bidders, proposers or vendors to ensure their compliance with laws, to assist the City in enforcement of laws, as well as to provide the City a means of identifying of businesses which seek City contracts.

(NO TEXT ON THIS PAGE)

BID BOND 1  
FORM OF BID BOND

KNOW ALL MEN BY THESE PRESENTS. That we, \_\_\_\_\_

hereinafter referred to as the "Principal", and \_\_\_\_\_

hereinafter referred to as the "Surety" are held and firmly bound to THE CITY OF NEW YORK,  
hereinafter referred to as the "CITY", or to its successors and assigns in the penal sum of \_\_\_\_\_

(\$ \_\_\_\_\_), Dollars lawful money of the United States, for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

Whereas, the Principal is about to submit (or has submitted) to the City the accompanying proposal, hereby made a part hereof, to enter into a contract in writing for \_\_\_\_\_

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said Proposal without the consent of the City for a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's Proposal by the City, if the Principal shall:

(a) Within ten (10) days after notification by the City, execute in quadruplicate and deliver to the City all the executed counterparts of the Contract in the form set forth in the Contract Documents, in accordance with the proposal as accepted, and

(b) Furnish a performance bond and separate payment bond, as may be required by the City, for the faithful performance and proper fulfillment of such Contract, which bonds shall be satisfactory in all respects to the City and shall be executed by good and sufficient sureties, and

(c) In all respects perform the agreement created by the acceptance of said Proposal as provided in the Information for Bidders, bound herewith and made a part hereof, or if the City shall reject the aforesaid Proposal, then this obligation shall be null and void; otherwise to remain in full force and effect.

BID BOND 2

In the event that the Proposal of the Principal shall be accepted and the Contract be awarded to him the Surety hereunder agrees subject only to the payment by the Principal of the premium therefore, if requested by the City, to write the aforementioned performance and payment bonds in the form set forth in the Contract Documents.

It is expressly understood and agreed that the liability of the Surety for any and all claims hereunder shall in no event exceed the penal amount of this obligation as herein stated.

There shall be no liability under this bond if, in the event of the acceptance of the Principal's Proposal by the City, either a performance bond or payment bond, or both, shall not be required by the City on or before the 30th day after the date on which the City signs the Contract.

The surety, for the value received, hereby stipulates and agrees that the obligations of the Surety and its bond shall in no way be impaired or affected by any postponements of the date upon which the City will receive or open bids, or by any extensions of the time within which the City may accept the Principal's Proposal, or by any waiver by the City of any of the requirements of the Information for Bidders, and the Surety hereby waives notice of any such postponements, extensions, or waivers.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers the \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

(Seal)

\_\_\_\_\_  
Principal (L.S.)

By: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
Surety

By: \_\_\_\_\_

BID BOND 3

ACKNOWLEDGMENT OF PRINCIPAL, IF A CORPORATION

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:  
On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally came  
\_\_\_\_\_ to me known, who, being by me duly sworn, did depose and say  
that he resides at \_\_\_\_\_  
that he is the \_\_\_\_\_ of \_\_\_\_\_  
the corporation described in and which executed the foregoing instrument; that he knows the seal of said  
corporation; that one of the seals affixed to said instrument is such seal; that it was so affixed by order of  
the directors of said corporation, and that he signed his name thereto by like order.

\_\_\_\_\_  
Notary Public

ACKNOWLEDGMENT OF PRINCIPAL, IF A PARTNERSHIP

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:  
On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally appeared  
\_\_\_\_\_ to me known and known to me to be one of the members of the  
firm of \_\_\_\_\_ described in and who executed the foregoing  
instrument, and he acknowledged to me that he executed the same as and for the act and deed of said  
firm.

\_\_\_\_\_  
Notary Public

ACKNOWLEDGMENT OF PRINCIPAL, IF AN INDIVIDUAL

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:  
On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally appeared  
\_\_\_\_\_ to me known and known to me to be the person described in  
and who executed the foregoing instrument and acknowledged that he executed the same.

\_\_\_\_\_  
Notary Public

AFFIX ACKNOWLEDGMENTS AND JUSTIFICATION OF SURETIES

(NO TEXT ON THIS PAGE)



## M/WBE PROGRAM

### SCHEDULE B: SUBCONTRACTOR UTILIZATION PLAN

Schedule B: Subcontractor Utilization Plan: Schedule B: Subcontractor Utilization Plan for this Contract is set forth on the following pages of this Bid Booklet. Schedule B: Subcontractor Utilization Plan (Part I) indicates whether participation goals have been established for this Contract. If participation goals have been established for this Contract, the bidder must submit Schedule B: Subcontractor Utilization Plan (Part II) with its bid.

Contract Provisions: Contract provisions regarding the participation of MWBE firms are set forth in Article 77 of the Contract. The bidder is advised to review these contract provisions.

Waiver: The bidder may seek a full or partial pre-award waiver of the Target Subcontracting Percentage in accordance with Article 77 of the Contract (See Part A, Section 10). The bidder's request for a waiver must be submitted at least seven (7) calendar days prior to the bid date. Waiver requests submitted after the deadline will not be considered. The form for requesting a waiver of the Target Subcontracting Percentage is set forth in Schedule B: Subcontractor Utilization Plan (Part III).

Rejection of the Bid: The bidder must complete Schedule B: Subcontractor Utilization Plan (Part II) set forth on the following pages. Subcontractor Utilization Plans which do not include the required affirmations (on Page 2) will be deemed to be non-responsive, unless a full waiver of the Target Subcontracting Percentage is granted (Schedule B: Subcontractor Utilization Plan, Part III). In the event that the City determines that the bidder has submitted a Schedule B: Subcontractor Utilization Plan where the required affirmations are completed but other aspects of the Plan are not complete, or contain a copy or computation error that is at odds with the affirmation, the bidder will be notified by the Agency and will be given four (4) calendar days from receipt of notification to cure the specified deficiencies and return a completed plan to the Agency. Failure to do so will result in a determination that the Bid is non-responsive. Receipt of notification is defined as the date notice is emailed or faxed (if the bidder has provided an email address or fax number), or no later than five (5) days from the date of mailing or upon delivery, if delivered.

Impact on LBE Requirements: If goals have been established for the participation of M/WBEs, the contractor is not required to comply with the Locally Based Enterprise Program ("LBE"). The LBE Program is set forth in Article 67 of the Contract.



THE CITY OF NEW YORK

**SCHEDULE B - Subcontractor Utilization Plan - Part I: Agency's Target**

This page to be completed by contracting agency

**Contract Overview**

Pin # 8502011SE0018C FMS Project ID #: SE-809  
 Project Title CONSTRUCTION OF SANITARY AND STORM SEWERS AND APPURTENANCES  
 Contracting Agency Department of Design and Construction  
 Agency Address 30-30 Thomson Ave City Long Island City State NY Zip Code 11101  
 Contact Person ALICIA WILLIAMS Title MWBE Liaison & Compliance Analyst  
 Telephone # (718) 391-2610 Email williamal@ddc.nyc.gov

**Project Description** (attach additional pages if necessary)

PROJECT ID: SE809

CONSTRUCTION OF SANITARY AND STORM SEWERS AND APPURTENANCES IN N.B. WHITESTONE EXPRESSWAY SERVICE ROAD BETWEEN 25<sup>TH</sup> AVENUE AND FLUSHING RIVER; ETC.

INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC SIGNAL WORK

Together With All Work Incidental Thereto  
 BOROUGH OF QUEENS  
 CITY OF NEW YORK

- (1)  **Target Subcontracting Percentage**  
 Percentage of total contract dollar value that agency estimates will be awarded to subcontractors in amounts under \$1 million for construction and professional services. 2 %

**Subcontractor Participation Goals**

Complete and enter total for each Construction or Professional Services, or both (if applicable)

| Group                            | Construction    | Professional Services |
|----------------------------------|-----------------|-----------------------|
| Black American                   | UNSPECIFIED %   | 0 %                   |
| Hispanic American                | UNSPECIFIED %   | 0 %                   |
| Asian American                   | UNSPECIFIED %   | No Goal               |
| Caucasian Female                 | No Goal         | 0 %                   |
| <b>Total Participation Goals</b> | <b>(2) 50 %</b> | <b>(3) 0 %</b>        |

\*Note: For this procurement, individual ethnicity and gender goals are not specified. The Total Participation Goals for construction subcontracts may be met by using Black American, Hispanic American or Asian American firms or any combination of such firms

Tax ID #: \_\_\_\_\_

PIN #: 8502011SE0018C

### SCHEDULE B - Subcontractor Utilization Plan - Part II: Bidder/Proposer Subcontracting Plan

This page and the next (Part II herein) are to be completed by the bidder/proposer. **REQUIRED AFFIRMATIONS; Bidder/proposer must check the applicable boxes below, affirming compliance with M/WBE requirements or the bid/proposal will be deemed non-responsive.**

Bidder/proposer  AFFIRMS or  DOES NOT AFFIRM [statement below]

It is a material term of the contract to be awarded that, with respect to the total amount of the contract to be awarded, bidder/proposer will award one or more subcontracts for amounts under one million dollars, sufficient to meet or exceed the Target Subcontracting Percentage (as set forth in Part I) unless it obtains a full or partial waiver thereof, and it will award subcontracts sufficient to meet or exceed the Total Participation Goals (as set forth in Part I) unless such goals are modified by the Agency.

Bidder/proposer  AFFIRMS that it intends to meet or exceed the Target Subcontracting Percentage (as set forth in Part I); or

AFFIRMS that it has obtained a full/partial pre-award waiver of the Target Subcontracting Percentage (as set forth in Part I) and intends to award the modified Target Subcontracting Percentage, if any; or

DOES NOT AFFIRM

#### Section I: Prime Contractor Contact Information

Tax ID # \_\_\_\_\_ FMS Vendor ID # \_\_\_\_\_

Business Name \_\_\_\_\_ Contact Person \_\_\_\_\_

Address \_\_\_\_\_

Telephone # \_\_\_\_\_ E-mail \_\_\_\_\_

#### Section II: General Contract Information

- Define the industry in which work is to be performed.
  - Construction includes all contracts for the construction, rehabilitation, and/or renovation of physical structures. This category does include CM Build as well as other construction related services such as: demolition, asbestos and lead abatement, and painting services, carpentry services, carpet installation and removal, where related to new construction and not maintenance.
  - Professional Services are a class of services that typically require the provider to have some specialized field or advanced degree. Services of this type include: legal, management consulting, information technology, accounting, auditing, actuarial, advertising, health services, pure construction management, environmental analysis, scientific testing, architecture and engineering, and traffic studies, and similar services.

a. Type of work on Prime Contract (Check one):      b. Type of work on Subcontract (Check all that apply):

Construction     Professional Services       Construction     Professional Services     Other
- What is the expected percentage of the total contract dollar value that you expect to award to all subcontracts? \_\_\_\_\_ %
- Will you award subcontract(s) in amounts below \$ 1 million for construction and/or professional Services contracts within the first 12 months of the notice to proceed on the contract?       Yes     No

#### Section III: Subcontractor Utilization Summary

**IMPORTANT: If you do not anticipate that you will subcontract at the target level the agency has specified, because you will perform more of the work yourself, you must seek a waiver of the Target Subcontracting Percentage by completing p.9).**

| Step 1:<br>Calculate the percentage (of your total bid) that will go towards subcontracts under \$ 1M for construction and/or Professional services | Subcontracts under \$1M (4)<br>(construction/professional services) | Total Bid/Proposal Value | Calculated Target Subcontracting Percentage |
|---|---|--------------------------|---|
|   | \$ _____  | \$ _____                 | X 100 = _____ %                             |

- Subcontracts under \$1M (construction/professional services): Enter the value you expect to award to subcontractors in dollars for amounts under \$1 million for construction and/or professional services. This value defines the amount that participation goals apply to, and will be entered into the first line of Step 2.
- Total Bid/Proposal Value: Provide the dollar amount of the bid/proposal.
- Calculated Target Subcontracting Percentage: The percentage of the total contract dollar value that will be awarded to one or more subcontractors for amounts under \$1 million for construction and/or professional services. This percentage must equal or exceed the percentage listed by the agency on page 6, at line (1).

NOTE: The "Calculated Target Subcontracting Percentage" MUST equal or exceed the Target Subcontracting Percentage listed by the agency on Page 6, Line (1).



**SCHEDULE B - PART III - REQUEST FOR WAIVER OF TARGET SUBCONTRACTING PERCENTAGE**

**Contract Overview**

Tax ID # \_\_\_\_\_ FMS Vendor ID # \_\_\_\_\_

Business Name \_\_\_\_\_

Contact Name \_\_\_\_\_ Telephone # \_\_\_\_\_ Email \_\_\_\_\_

Type of Procurement  Competitive Sealed Bids  Other Bid/Response Due Date \_\_\_\_\_

PIN # (for this procurement) \_\_\_\_\_ Type of work on Prime Contract \_\_\_\_\_ Type of work on Subcontract (Check all that apply):

(Check one):

Construction

Construction

Other

Professional Services

Professional Services

SUBCONTRACTING as described in bid/solicitation documents (Copy this % figure from the solicitation)

\_\_\_\_\_ % of the total contract value anticipated by the agency to be subcontracted for construction/professional services subcontracts valued below \$1 million (each)

ACTUAL SUBCONTRACTING as anticipated by vendor seeking waiver

\_\_\_\_\_ % of the total contract value anticipated in good faith by the bidder/proposer to be subcontracted for construction/professional services subcontracts valued below \$1 million (each)

**Basis for Waiver Request:** Check appropriate box & explain in detail below (attach additional pages if needed)

- Vendor does not subcontract construction/professional services, and has the capacity and good faith intention to perform all such work itself.
- Vendor subcontracts some of this type of work but at lower % than bid/solicitation describes, and has the capacity and good faith intention to do so on this contract.
- Other \_\_\_\_\_

**References**

List 3 most recent contracts/subcontracts performed for NYC agencies (if any)

CONTRACT NO. \_\_\_\_\_ AGENCY \_\_\_\_\_ DATE COMPLETED \_\_\_\_\_

CONTRACT NO. \_\_\_\_\_ AGENCY \_\_\_\_\_ DATE COMPLETED \_\_\_\_\_

CONTRACT NO. \_\_\_\_\_ AGENCY \_\_\_\_\_ DATE COMPLETED \_\_\_\_\_

List 3 most recent contracts/subcontracts performed for other agencies/entities (complete ONLY if vendor has performed fewer than 3 NYC contracts)

TYPE OF WORK \_\_\_\_\_ AGENCY/ENTITY \_\_\_\_\_ DATE COMPLETED \_\_\_\_\_

Manager at agency/entity that hired vendor (Name/Phone No.) \_\_\_\_\_

TYPE OF WORK \_\_\_\_\_ AGENCY/ENTITY \_\_\_\_\_ DATE COMPLETED \_\_\_\_\_

Manager at agency/entity that hired vendor (Name/Phone No.) \_\_\_\_\_

TYPE OF WORK \_\_\_\_\_ AGENCY/ENTITY \_\_\_\_\_ DATE COMPLETED \_\_\_\_\_

Manager at agency/entity that hired vendor (Name/Phone No.) \_\_\_\_\_

**VENDOR CERTIFICATION:** I hereby affirm that the information supplied in support of this waiver request is true and correct, and that this request is made in good faith.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Print Name: \_\_\_\_\_ Title: \_\_\_\_\_

*Shaded area below is for agency completion only*

**AGENCY CHIEF CONTRACTING OFFICER APPROVAL**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**CITY CHIEF PROCUREMENT OFFICER APPROVAL**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**(NO TEXT ON THIS PAGE)**

## APPRENTICESHIP PROGRAM REQUIREMENTS

Bidders are advised that the Apprenticeship Program Requirements set forth below apply to each contract for which a check mark is indicated before the word "Yes". Compliance with these requirements will be determined solely by the City.

YES  NO

### (1) Apprenticeship Program Requirements

Notice to Bidders: Please be advised that, pursuant to the authority granted to the City under Labor Law Section 816-b, the Department of Design and Construction hereby requires that the contractor awarded a contract as a result of this Invitation for Bids, and any of its subcontractors with subcontracts worth one million dollars or over, have, prior to entering into such contract or subcontract, apprenticeship agreements appropriate for the type and scope of work to be performed that have been registered with, and approved by, the New York State Commissioner of Labor. In addition, the contractor and its subcontractors will be required to show that such apprenticeship programs have three years of current, successful experience in providing career opportunities.

The failure to prove, upon request, that these requirements have been met shall result in the contract not being awarded to the contractor or the subcontract not being approved.

Please be further advised that, pursuant to Labor Law Section 220, the allowable ratio of apprentices to journeypersons in any craft classification shall not be greater than the ratio permitted to the contractor as to its workforce on any job under the registered apprenticeship program.

### (2) Apprenticeship Program Questionnaire

The bidder must submit a completed and signed Apprenticeship Program Questionnaire. The Questionnaire is set forth on the following page of the Bid Booklet.







Project ID. \_\_\_\_\_

The Contractor must indicate its Intrastate and Interstate EMR for the past three years. [Note: For contractors with less than three years of experience, the EMR will be considered to be 1.00].

| YEAR  | INTRASTATE RATE | INTERSTATE RATE |
|-------|-----------------|-----------------|
| _____ | _____           | _____           |
| _____ | _____           | _____           |
| _____ | _____           | _____           |

If the Intrastate and/or Interstate EMR for any of the past three years is greater than 1.00, the contractor must attach, to this questionnaire, a written explanation for the rating and identify what corrective action was taken to correct the situation resulting in that rating.

**4. OSHA Information:**

\_\_\_\_\_ Contractor has received a willful violation issued by OSHA or New York City Department of Buildings (NYCDOB) within the last three years.

\_\_\_\_\_ Contractor has had an incident requiring OSHA notification within 8 hours (i.e., fatality, or hospitalization of three or more employees).

The Occupational Safety and Health Act (OSHA) of 1970 requires employers with ten or more employees, on a yearly basis to complete and maintain on file the form entitled "Log of Work-related Injuries and Illnesses". This form is commonly referred to as the OSHA 300 Log (OSHA 200 Log for 2001 and earlier).

The OSHA 300 Log must be submitted for the last three years for contractors with more than ten employees.

The Contractor must indicate the total number of hours worked by its employees, as reflected in payroll records for the past three years.

The contractor must submit the Incident Rate for Lost Time Injuries (the Incident Rate) for the past three years. The Incident Rate is calculated in accordance with the formula set forth below. For each given year, the total number of incidents is the total number of non-fatal injuries and illnesses reported on the OSHA 300 Log. The 200,000 hours represents the equivalent of 100 employees working forty hours a week, fifty weeks per year.

$$\text{Incident Rate} = \frac{\text{Total Number of Incidents} \times 200,000}{\text{Total Number of Hours Worked by Employees}}$$

Project ID. \_\_\_\_\_

| YEAR  | TOTAL NUMBERS OF HOURS WORKED BY EMPLOYEES | INCIDENT RATE |
|-------|--|---------------|
| _____ | _____                                      | _____         |
| _____ | _____                                      | _____         |
| _____ | _____                                      | _____         |

If the contractor's Incident Rate for any of the past three years is one point higher than the Incident Rate for the type of construction it performs (listed below), the contractor must attach, to this questionnaire, a written explanation for the relatively high rate.

|                                      |      |
|--------------------------------------|------|
| General Building Construction        | 8.5  |
| Residential Building Construction    | 7.0  |
| Nonresidential Building Construction | 10.2 |
| Heavy Construction, except building  | 8.7  |
| Highway and Street Construction      | 9.7  |
| Heavy Construction, except highways  | 8.3  |
| Plumbing, Heating, HVAC              | 11.3 |
| Painting and Paper Hanging           | 6.9  |
| Electrical Work                      | 9.5  |
| Masonry, Stonework and Plastering    | 10.5 |
| Carpentry and Floor Work             | 12.2 |
| Roofing, Siding, and Sheet Metal     | 10.3 |
| Concrete Work                        | 8.6  |
| Specialty Trade Contracting          | 8.6  |

### 5. Safety Performance on Previous DDC Project(s)

\_\_\_\_\_ Contractor previously audited by the DDC Office of Site Safety.

DDC Project Number(s): \_\_\_\_\_

\_\_\_\_\_ Accident on previous DDC Project(s).

\_\_\_\_\_ Fatality or Life-altering Injury on DDC Project(s) within the last three years.  
[Examples of a life-altering injury include loss of limb, loss of a sense (e.g., sight, hearing), or loss of neurological function].

Date: \_\_\_\_\_

By: \_\_\_\_\_  
(Signature of Owner, Partner, Corporate Officer)

Title: \_\_\_\_\_

(NO TEXT ON THIS PAGE)

## Pre-Award Process

The bidder is advised that as part of the pre-award review of its bid, it may be required to submit the information described in Sections (A) through (D) below. If required, such information must be submitted by the bidder within five (5) business days following receipt of notification from DDC that it is among the low bidders. Such notification from DDC will be by facsimile or in writing and will specify the types of information must be submitted. The types of information the bidder may be required to submit are described below. In the event the bidder fails to submit the required information within the specified time frame, its bid may be rejected as nonresponsive.

\*\*\*\*\*

- (A) **Project Reference Form:** If required, the bidder must complete and submit the Project Reference Form set forth on pages 25 through 27 of this Bid Booklet. The Project Reference Form consists of 3 parts: (1) Similar Contracts Completed by the Bidder, (2) Contracts Currently Under Construction by the Bidder, and (3) Pending Contracts Not Yet Started by the Bidder.
- (B) **Copy of License:** If required, the bidder must submit a copy of the license under which the bidder will be performing the work. Such license must clearly show the following: (1) Name of the Licensee, (2) License Number, and (3) Expiration date of the License. A copy of the license will be required from bidders for the following contracts: Plumbing Work, Electrical Work and Asbestos Abatement.
- (C) **Financial Information:** If required, the bidder must submit the financial information described below:
- (1) **Audited Financial Statements:** Financial statements (Balance Sheet and Income Statement) of the entity submitting the bid, as audited by an independent auditor licensed to practice as a certified public accountant (CPA). Audited financial statements for the three most recent fiscal years must be submitted. Each such financial statement must include the auditor's standard report.

If the bidder does not have audited financial statements, it must submit an affidavit attesting to the fact that the bidder does not have such statements. In addition, the bidder must submit the following documentation covering the three most recent fiscal years: signed federal tax returns, unaudited financial statements, and a "certified review letter" from a certified public accountant (CPA) verifying the unaudited financial statements.

Unless the most recent audited or unaudited financial statement was issued within ninety (90) days, the bidder must submit interim financial information that includes data on financial position and results of operation (income data) for the current fiscal year. Such information may be summarized on a monthly or quarterly basis or at other intervals.

- (2) **Schedule of Aged Accounts Receivable,** including portion due within ninety (90) days.

(D) **Project Specific Information:** If required, the bidder must submit the project specific information described below:

- (1) Statement indicating the number of years of experience the bidder has had and in what type of construction.
- (2) Resumes of all key personnel to be involved in the project, including the proposed project superintendent.
- (3) List of significant pieces of equipment expected to be used for the contract, and whether such equipment is owned or leased.
- (4) Description of work expected to be subcontracted, and to what firms, if known.
- (5) List of key material suppliers.
- (6) Preliminary bar chart time schedule
- (7) Contractor's expected means of financing the project. This should be based on the assumption that the contractor is required to finance 2X average monthly billings throughout the contract period.
- (8) Any other issues the contractor sees as impacting his ability to complete the project according to the contract.

In addition to the information described in Sections (A) through (D) above, the bidder shall submit such additional information as the Commissioner may require, including without limitation, an explanation or justification for specific unit price items.

The bidder is further advised that it may be required to attend a pre-award meeting with DDC representatives. If such a meeting is convened, the bidder will be advised as to any additional material to be provided.

**A. PROJECT REFERENCES - SIMILAR CONTRACTS COMPLETED BY THE BIDDER**

List all contracts substantially completed within the last 4 years similar to the contract being awarded, up to a maximum of 10, in descending order of date of substantial completion.

| Project & Location | Contract Type | Contract Amount (\$000) | Date Completed | Owner Reference & Tel. No. | Architect/Engineer Reference & Tel. No. if different from owner |
|--------------------|---------------|-------------------------|----------------|----------------------------|---|
|                    |               |                         |                |                            |   |
|                    |               |                         |                |                            |   |
|                    |               |                         |                |                            |   |
|                    |               |                         |                |                            |   |
|                    |               |                         |                |                            |   |
|                    |               |                         |                |                            |   |
|                    |               |                         |                |                            |   |
|                    |               |                         |                |                            |   |
|                    |               |                         |                |                            |   |

**B. PROJECT REFERENCES – CONTRACTS CURRENTLY UNDER CONSTRUCTION BY THE BIDDER**

List all contracts currently under construction even if they are not similar to the contract being awarded.

| Project & Location | Contract Type | Contract Amount (\$000) | Subcontracted to Others (\$000) | Uncompleted Portion (\$000) | Date Scheduled to Complete | Owner Reference & Tel. No. | Architect/Engineer Reference & Tel. No. if different from owner |
|--------------------|---------------|-------------------------|---------------------------------|-----------------------------|----------------------------|----------------------------|---|
|                    |               |                         |                                 |                             |                            |                            |   |
|                    |               |                         |                                 |                             |                            |                            |   |
|                    |               |                         |                                 |                             |                            |                            |   |
|                    |               |                         |                                 |                             |                            |                            |   |
|                    |               |                         |                                 |                             |                            |                            |   |
|                    |               |                         |                                 |                             |                            |                            |   |





**C. PROJECT REFERENCES – PENDING CONTRACTS NOT YET STARTED BY THE BIDDER**

List all contracts awarded to or won by the bidder but not yet started.

| Project & Location | Contract Type | Contract Amount (\$000) | Date Scheduled to Start | Owner Reference & Tel. No. | Architect/Engineer Reference & Tel. No. if different from owner |
|--------------------|---------------|-------------------------|-------------------------|----------------------------|---|
|                    |               |                         |                         |                            |   |
|                    |               |                         |                         |                            |   |
|                    |               |                         |                         |                            |   |
|                    |               |                         |                         |                            |   |
|                    |               |                         |                         |                            |   |
|                    |               |                         |                         |                            |   |
|                    |               |                         |                         |                            |   |

(NO TEXT ON THIS PAGE)



OFFICE OF THE MAYOR  
BUREAU OF LABOR SERVICES  
CONTRACT CERTIFICATE

To be completed if the contract is less than \$1,000,000

Contractor: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Telephone Number: \_\_\_\_\_

Name and Title of Signatory: \_\_\_\_\_  
\_\_\_\_\_

Contracting Agency or Owner: \_\_\_\_\_

Project Number: \_\_\_\_\_

Proposed Contract Amount: \_\_\_\_\_

Description and Address of Proposed Contract: \_\_\_\_\_

Names of Subcontractors in the amount of 750,000 or more on this contract (if not known at this time, so state indicating that trades will be subcontracted):  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

I, (fill in name of person signing) \_\_\_\_\_,  
hereby affirm that I am authorized by the above-named contractor to certify that said contractor's  
proposed contract with the above-named owner or city agency is less than \$1,000,000. This affirmation  
is made in accordance with Executive Order No. 50 (1980) as amended and its implementing regulations.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

**WILLFUL OR FRAUDULENT FALSIFICATION OF ANY DATA OR INFORMATION  
SUBMITTED HEREWITH MAY RESULT IN THE TERMINATION OF ANY CONTRACT BETWEEN  
THE CITY AND THE BIDDER OR CONTRACTOR AND BAR THE BIDDER OR CONTRACTOR FROM  
PARTICIPATION IN ANY CITY CONTRACT FOR A PERIOD OF UP TO THREE YEARS. FURTHER,  
SUCH FALSIFICATION MAY RESULT IN CRIMINAL PROSECUTION.**

(NO TEXT ON THIS PAGE)

## VENDEX COMPLIANCE

(A) **Vendex Fees:** Pursuant to Procurement Policy Board Rule 2-08(f)(2), the contractor will be charged a fee for the administration of the VENDEX system, including the Vendor Name Check process, if a Vendor Name Check review is required to be conducted by the Department of Investigation. The contractor shall also be required to pay the applicable required fees for any of its subcontractors for which Vendor Name Check reviews are required. The fee(s) will be deducted from payments made to the contractor under the contract. For contracts with an estimated value of less than or equal to \$1,000,000, the fee will be \$175 per Vendor Name Check review. For contracts with an estimated value of greater than \$1,000,000, the fee will be \$350 per Vendor Name Check review.

(B) **Confirmation of Vendex Compliance:** The Bidder shall submit this Confirmation of Vendex Compliance to the Department of Design and Construction, Contracts Section, 30-30 Thomson Avenue – First Floor, Long Island City, NY 11101.

**Bid Information:** The Bidder shall complete the bid information set forth below.

Name of Bidder: \_\_\_\_\_  
Bidder's Address: \_\_\_\_\_  
Bidder's Telephone Number: \_\_\_\_\_  
Bidder's Fax Number: \_\_\_\_\_  
Date of Bid Opening: \_\_\_\_\_  
Project ID: \_\_\_\_\_

**Vendex Compliance:** To demonstrate compliance with Vendex requirements, the Bidder shall complete either Section (1) or Section (2) below, whichever applies.

- (1) **Submission of Vendex Questionnaires to MOCS:** By signing in the space provided below, the Bidder certifies that as of the date specified below, the Bidder has submitted Vendex Questionnaires to the Mayor's Office of Contract Services, Attn: VENDEX, 253 Broadway, 9<sup>th</sup> Floor, New York, New York 10007.

Date of Submission: \_\_\_\_\_

By: \_\_\_\_\_  
(Signature of Partner or corporate officer)

Print Name: \_\_\_\_\_

- (2) **Submission of Certification of No Change to DDC:** By signing in the space provided below, the Bidder certifies that it has read the instructions in a "Vendor's Guide to Vendex" and that such instructions do not require the Bidder to submit Vendex Questionnaires. The Bidder has completed **TWO ORIGINALS** of the Certification of No Change set forth on the next page of this Bid Booklet.

By: \_\_\_\_\_  
(Signature of Partner or corporate officer)

Print Name: \_\_\_\_\_

**(NO TEXT ON THIS PAGE)**

**DIRECTIONS: Please execute two originals (both with original signature).**

**CERTIFICATE OF NO CHANGE**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS CERTIFICATION, AND/OR THE FAILURE TO CONDUCT APPROPRIATE DUE DILIGENCE IN VERIFYING THE INFORMATION THAT IS THE SUBJECT MATTER OF THIS CERTIFICATION, MAY RESULT IN RENDERING THE SUBMITTED ENTITY NON - RESPONSIVE FOR THE PURPOSE OF CONTRACT AWARD, AND A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS CERTIFICATION MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Submitting entity \_\_\_\_\_  
EIN/TIN \_\_\_\_\_  
Address \_\_\_\_\_

I, \_\_\_\_\_, being duly sworn, state that I have read and understand all the items contained in the \_\_\_\_\_ questionnaire, dated \_\_\_\_\_ [if applicable: and the submission(s) dated \_\_\_\_\_, updating the information in that questionnaire]; and that, to the best of my knowledge, information and belief, the answers contained in the \_\_\_\_\_ questionnaire [if applicable: as modified by the submission(s) dated \_\_\_\_\_,] are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate. I further certify on behalf of the submitting vendor that the information contained in the principal questionnaires for \_\_\_\_\_, dated \_\_\_\_\_, \_\_\_\_\_, dated \_\_\_\_\_, \_\_\_\_\_, dated \_\_\_\_\_, [if applicable: and the submission(s) dated \_\_\_\_\_, updating the information in those questionnaires] has been verified and continues to the best of my knowledge to be full, complete and accurate. I understand that the City of New York will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

|                           |  |                       |        |                |
|---------------------------|--|-----------------------|--------|----------------|
| Sworn to before me this   |  |                       | day of | 20             |
| Notary Public             |  | County License Issued |        | License Number |
| BY _____                  |  |                       |        |                |
| Print name                |  |                       |        |                |
| _____                     |  |                       |        |                |
| Title                     |  |                       |        |                |
| _____                     |  |                       |        |                |
| Signature                 |  |                       | Date   |                |
| ON BEHALF OF _____        |  |                       |        |                |
| Name of submitting entity |  |                       |        |                |

(NO TEXT ON THIS PAGE)



**DIRECTIONS: Please execute two originals (both with original signature).**

**CERTIFICATE OF NO CHANGE**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS CERTIFICATION, AND/OR THE FAILURE TO CONDUCT APPROPRIATE DUE DILIGENCE IN VERIFYING THE INFORMATION THAT IS THE SUBJECT MATTER OF THIS CERTIFICATION, MAY RESULT IN RENDERING THE SUBMITTED ENTITY NON - RESPONSIVE FOR THE PURPOSE OF CONTRACT AWARD, AND A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS CERTIFICATION MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Submitting entity \_\_\_\_\_  
EIN/TIN \_\_\_\_\_  
Address \_\_\_\_\_

I, \_\_\_\_\_, being duly sworn, state that I have read and understand all the items contained in the \_\_\_\_\_ questionnaire, dated \_\_\_\_\_ [if applicable: and the submission(s) dated \_\_\_\_\_, updating the information in that questionnaire]; and that, to the best of my knowledge, information and belief, the answers contained in the \_\_\_\_\_ questionnaire [if applicable: as modified by the submission(s) dated \_\_\_\_\_,] are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate. I further certify on behalf of the submitting vendor that the information contained in the principal questionnaires for \_\_\_\_\_, dated \_\_\_\_\_, \_\_\_\_\_, dated \_\_\_\_\_, \_\_\_\_\_, dated \_\_\_\_\_, [if applicable: and the submission(s) dated \_\_\_\_\_, updating the information in those questionnaires] has been verified and continues to the best of my knowledge to be full, complete and accurate. I understand that the City of New York will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

|   |                                |
|---|--------------------------------|
| Sworn to before me this _____ day of _____ 20____ |                                |
| _____<br>Notary Public                            | _____<br>County License Issued |
| _____<br>License Number                           |                                |
| BY _____<br>Print name                            |                                |
| _____<br>Title                                    |                                |
| _____<br>Signature                                | _____<br>Date                  |
| ON BEHALF OF _____<br>Name of submitting entity   |                                |

(NO TEXT ON THIS PAGE)

**THE CITY OF NEW YORK  
DEPARTMENT OF BUSINESS SERVICES  
DIVISION OF LABOR SERVICES  
CONTRACT COMPLIANCE UNIT  
110 WILLIAMS STREET – 3<sup>RD</sup> FLOOR  
NEW YORK, NEW YORK 10038  
PHONE: (212) 513-6433  
FAX: (212) 618-8899**

## **CONSTRUCTION**

## **EMPLOYMENT**

## **REPORT**

(NO TEXT ON THIS PAGE)



(g) Description and location of proposed contract::

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

13. Has your firm been reviewed by the Division of Labor Services (DLS) within the past 36 months and issued a Certificate of Approval? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, attach a copy of the certificate.

14. Has DLS within the past month reviewed an Employment Report submission for your company and issued a Conditional Certificate of Approval? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, attach a copy of the certificate.

**NOTE: DLS WILL NOT ISSUE A CONTINUED CERTIFICATE OF APPROVAL IN CONNECTION WITH THIS CONTRACT UNLESS THE REQUIRED CORRECTIVE ACTIONS IN PRIOR CONDITIONAL CERTIFICATES OF APPROVAL HAVE BEEN TAKEN**

15. Has an Employment Report already been submitted for a different contract (not covered by this Employment Report) for which you have not yet received compliance certificate? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes,

Date submitted: \_\_\_\_\_ Agency to which submitted: \_\_\_\_\_

Name of Agency Person: First Name \_\_\_\_\_ Last Name \_\_\_\_\_

Contract No. : \_\_\_\_\_ Telephone: \_\_\_\_\_

16. Has your company in the past 36 months been audited by the United States Department of Labor, Office of Federal Contract Compliance Programs (OFCCP)? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes,

(a) Name and address of OFCCP office: \_\_\_\_\_

(b) Was a Certificate of Equal Employment Compliance issued within the past 24 months? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, attach a copy of such certificate.

(c) Were any corrective actions required or agreed to? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, attach a copy of such requirements or agreements.

(d) Were any deficiencies found? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, attach a copy of such findings.

17. Is your company or its affiliates a member or members of an employers' trade association which is responsible for negotiating collective bargaining agreements (CBA) which affect construction site hiring? Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, attach a list of such associations and all applicable CBA's.

**PART II: DOCUMENTS REQUIRED**

18. For the following policies or practices, attach the relevant documents (e.g., printed booklets, brochures, manuals, memoranda, etc.) If the policy(ies) are unwritten, attach a full explanation of the practices. See instructions.

- \_\_\_ (a) Health benefit coverage/description(s) for all management, nonunion and union employees (whether company or union administered)
- \_\_\_ (b) Disability, life, other insurance coverage/description
- \_\_\_ (c) Employee Policy/Handbook
- \_\_\_ (d) Personnel Policy/Manual
- \_\_\_ (e) Supervisor's Policy/Manual
- \_\_\_ (f) Pension plan or 401k coverage/description for all management, nonunion and union employees, whether company or union administered.
- \_\_\_ (g) Collective bargaining agreement(s)
- \_\_\_ (h) Employment Application(s)
- \_\_\_ (i) Employee evaluation policy/form(s)
- \_\_\_ (j) Does your firm have medical and/or non-medical (i.e. education, military, personal, pregnancy, child care) leave policy?

19. To comply with the Immigration Reform and Control Act of 1986 when and of whom does your firm require the completion of an I-9 form?

- |  |         |        |
|--|---------|--------|
| (a) Prior to job offer                     | Yes ___ | No ___ |
| (b) After a conditional job offer          | Yes ___ | No ___ |
| (c) After a job offer                      | Yes ___ | No ___ |
| (d) Within the first three days on the job | Yes ___ | No ___ |
| (e) To some applicants                     | Yes ___ | No ___ |
| (f) To all applicants                      | Yes ___ | No ___ |
| (g) To some employees                      | Yes ___ | No ___ |
| (h) To all employees                       | Yes ___ | No ___ |

20. Explain where and how completed I-9 Forms, with their supportive documentation are maintained and made accessible.

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21. Does your firm or any of its collective bargaining agreements require job applicants to take a medical examination?  
 Yes \_\_\_ No \_\_\_

If Yes, is the medical examination given:

- (a) Prior to the job offer            Yes \_\_\_\_\_ No \_\_\_\_\_
- (b) After a conditional job offer    Yes \_\_\_\_\_ No \_\_\_\_\_
- (c) After a job offer                Yes \_\_\_\_\_ No \_\_\_\_\_
- (d) To all applicants                Yes \_\_\_\_\_ No \_\_\_\_\_
- (e) Only to some applicants        Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, list for which applicants below and attach copies of all medical examination or questionnaire forms and instructions utilized for these examinations.

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22. Do you have a written equal opportunity (EEO) policy?    Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, list the document(s) and page number(s) where these written policies are located.

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23. Does the company have a current affirmative action plan(s) (AAP)

- \_\_\_\_\_ Minorities and Women
  - \_\_\_\_\_ Individuals with handicaps
  - \_\_\_\_\_ Other. Please specify \_\_\_\_\_
- 

24. Does your firm or collective bargaining agreement(s) have an internal grievance procedure with respect to EEO complaints?    Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please attach a copy of this policy.

If No, attach a report detailing your firm's unwritten procedure for handling EEO complaints.

25. Has any employee, within the past three years, filed a complaint pursuant to an internal grievance procedure or with any official of your firm with respect to equal employment opportunity?    Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, attach an internal complaint log. See instructions.

26. Has your firm, within the past three years, been named as a defendant (or respondent) in any administrative or judicial action where the complainant (plaintiff) alleged violation of any anti-discrimination or affirmative action laws?    Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, attach a log. See instructions.

27. Are there any jobs for which there are physical qualification?    Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

---



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28. Are there any jobs for which there are age, race, color, national origin, sex, creed, disability, marital status, sexual orientation, or citizenship qualifications?    Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

---



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**Division of  
Labor Services**

**FORM A. CONTRACT BID INFORMATION: USE OF SUBCONTRACTORS/TRADES**

1. Do you plan to subcontractor work on this contract? Yes \_\_\_ No \_\_\_
2. If yes, complete the chart below.

**NOTE: All proposed subcontractors with a subcontract in excess of \$1,000,000 must complete an Employment Report for review and approval before the contract may be awarded and work commences.**

| SUBCONTRACTOR'S NAME* | OWNERSHIP (ENTER APPROPRIATE CODE LETTERS BELOW) | WORK TO BE PERFORMED BY SUBCONTRACTOR | TRADE PROJECTED FOR USE BY SUBCONTRACTOR | PROJECTED DOLLAR VALUE OF SUBCONTRACT |
|-----------------------|--|---------------------------------------|--|---------------------------------------|
|                       |  |                                       |  |                                       |
|                       |  |                                       |  |                                       |
|                       |  |                                       |  |                                       |
|                       |  |                                       |  |                                       |
|                       |  |                                       |  |                                       |

\*If subcontractor is presently unknown, please enter the trade (craft name).

Ownership codes: W: White A: Asian B: Black N: Native American H: Hispanic F: Female



**Division of  
Labor Services**

**FORM B: PROJECTED WORKFORCE  
TRADE CLASSIFICATION CODES**

(J) Journey level Workers (A) Apprentice  
(H) Helper (TRN) Trainee  
(TOT) Total by Column

*For each trade to be engaged by your company for  
this project, enter the projected workforce for  
**Males** and **Females** by trade classification on  
the charts below.*

| Trade: _____   | <u>Males</u>          |                       |       |       |                 | <u>Females</u>        |                       |       |       |                 |
|--|-----------------------|-----------------------|-------|-------|-----------------|-----------------------|-----------------------|-------|-------|-----------------|
|  | (1)                   | (2)                   | (3)   | (4)   | (5)             | (6)                   | (7)                   | (8)   | (9)   | (10)            |
|  | White<br>Non<br>Hisp. | Black<br>Non<br>Hisp. | Hisp. | Asian | Native<br>Amer. | White<br>Non<br>Hisp. | Black<br>Non<br>Hisp. | Hisp. | Asian | Native<br>Amer. |
| Total (Col. #1-10):<br>_____   |                       |                       |       |       |                 |                       |                       |       |       |                 |
| Total Minority, Male & Female<br>(Col. #2, 3, 4, 5, 7, 8, 9 & 10)<br>_____ |                       |                       |       |       |                 |                       |                       |       |       |                 |
| Total Female<br>(Col. #6 - 10):<br>_____                                   |                       |                       |       |       |                 |                       |                       |       |       |                 |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)? \_\_\_\_\_



**Division of  
Labor Services**

**FORM B: PROJECTED WORKFORCE  
TRADE CLASSIFICATION CODES**

(J) Journey level Workers  
(H) Helper  
(TOT) Total by Column

*For each trade to be engaged by your company for this project, enter the projected workforce for **Males** and **Females** by trade classification on the charts below.*

| Trade: _____  | <u>Males</u>          |                       |                       |                       |       | <u>Females</u> |                 |                       |                       |       |       |                 |                       |                       |       |       |                 |  |
|---|-----------------------|-----------------------|-----------------------|-----------------------|-------|----------------|-----------------|-----------------------|-----------------------|-------|-------|-----------------|-----------------------|-----------------------|-------|-------|-----------------|--|
|   | (1)                   |                       | (2)                   |                       | (3)   | (4)            |                 | (5)                   |                       | (6)   |       | (7)             |                       | (8)                   | (9)   |       | (10)            |  |
|   | White<br>Non<br>Hisp. | Black<br>Non<br>Hisp. | White<br>Non<br>Hisp. | Black<br>Non<br>Hisp. | Hisp. | Asian          | Native<br>Amer. | White<br>Non<br>Hisp. | Black<br>Non<br>Hisp. | Hisp. | Asian | Native<br>Amer. | White<br>Non<br>Hisp. | Black<br>Non<br>Hisp. | Hisp. | Asian | Native<br>Amer. |  |
| Total (Col. #1-10):   |                       |                       |                       |                       |       |                |                 |                       |                       |       |       |                 |                       |                       |       |       |                 |  |
| Total Minority, Male & Female<br>(Col. #2, 3, 4, 5, 7, 8, 9 & 10) |                       |                       |                       |                       |       |                |                 |                       |                       |       |       |                 |                       |                       |       |       |                 |  |
| Total Female<br>(Col. #6 - 10):                                   |                       |                       |                       |                       |       |                |                 |                       |                       |       |       |                 |                       |                       |       |       |                 |  |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)? \_\_\_\_\_

**FORM C: CURRENT WORKFORCE  
TRADE CLASSIFICATION CODES**

(J) Journey level Workers (A) Apprentice  
(H) Helper (TRN) Trainee  
(TOT) Total by Column

For each trade to be engaged by your company for this project, enter the projected workforce for **Males** and **Females** by trade classification on the charts below.

| Trade: _____   | Males          |                       |                |                       |       | Females        |                |                 |                       |                       |                       |                       |       |                |                 |
|--|----------------|-----------------------|----------------|-----------------------|-------|----------------|----------------|-----------------|-----------------------|-----------------------|-----------------------|-----------------------|-------|----------------|-----------------|
|  | (1)            |                       | (2)            |                       | (3)   | (4)            |                | (5)             | (6)                   |                       | (7)                   | (8)                   | (9)   | (10)           |                 |
|  | White<br>Hisp. | Black<br>Non<br>Hisp. | White<br>Hisp. | Black<br>Non<br>Hisp. | Hisp. | Asian<br>Hisp. | Asian<br>Hisp. | Native<br>Amer. | White<br>Non<br>Hisp. | Black<br>Non<br>Hisp. | White<br>Non<br>Hisp. | Black<br>Non<br>Hisp. | Hisp. | Asian<br>Hisp. | Native<br>Amer. |
| Total (Col. #1-10): _____  |                |                       |                |                       |       |                |                |                 |                       |                       |                       |                       |       |                |                 |
| Total Minority, Male & Female (Col. #2, 3, 4, 5, 7, 8, 9 & 10) _____ |                |                       |                |                       |       |                |                |                 |                       |                       |                       |                       |       |                |                 |
| Total Female (Col. #6 - 10): _____                                   |                |                       |                |                       |       |                |                |                 |                       |                       |                       |                       |       |                |                 |
| TOT  |                |                       |                |                       |       |                |                |                 |                       |                       |                       |                       |       |                |                 |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)? \_\_\_\_\_



**Division of  
Labor Services**

**Small Business  
Services**

**FORM C: CURRENT WORKFORCE  
TRADE CLASSIFICATION CODES**

(J) Journey level Workers  
(H) Helper  
(TOT) Total by Column

(A) Apprentice  
(TRN) Trainee

For each trade to be engaged by your company for this project, enter the projected workforce for **Males and Females** by trade classification on the charts below.

Trade: \_\_\_\_\_

Union Affiliation, if applicable: \_\_\_\_\_

Total (Col. #1-10): J

H

**Total Minority, Male & Female**  
(Col. #2, 3, 4, 5, 7, 8, 9 & 10) A

Total Female  
(Col. #6 - 10): TRN

TOT

|     | Males                        |                              |              |              |                        | Females                      |                              |              |              |                         |
|-----|------------------------------|------------------------------|--------------|--------------|------------------------|------------------------------|------------------------------|--------------|--------------|-------------------------|
|     | (1)<br>White<br>Non<br>Hisp. | (2)<br>Black<br>Non<br>Hisp. | (3)<br>Hisp. | (4)<br>Asian | (5)<br>Native<br>Amer. | (6)<br>White<br>Non<br>Hisp. | (7)<br>Black<br>Non<br>Hisp. | (8)<br>Hisp. | (9)<br>Asian | (10)<br>Native<br>Amer. |
| J   |                              |                              |              |              |                        |                              |                              |              |              |                         |
| H   |                              |                              |              |              |                        |                              |                              |              |              |                         |
| A   |                              |                              |              |              |                        |                              |                              |              |              |                         |
| TRN |                              |                              |              |              |                        |                              |                              |              |              |                         |
| TOT |                              |                              |              |              |                        |                              |                              |              |              |                         |

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)? \_\_\_\_\_



Division of Labor Services

Date \_\_\_\_\_

File Number \_\_\_\_\_

LESS THAN \$750,000 SUBCONTRACT CERTIFICATE

Are you currently certified as one of the following? Please check yes or no:

M/WBE Yes \_\_\_ No \_\_\_ MBE Yes \_\_\_ No \_\_\_
WBE Yes \_\_\_ No \_\_\_ LBE Yes \_\_\_ No \_\_\_

If you are certified as an M/WBE, MBE, WBE, or LBE, what city/state agency are you certified with? \_\_\_\_\_

Please check one of the following if your firm would like information on how to certify with the City of New York as a:

Minority Owned Business Enterprise Locally based Business Enterprise
Women Owned Business Enterprise

Company Name Employer Identification Number or Federal Tax I.D.
Company Address and Zip Code
Contact Person (First Name, Last Name) Telephone Number
Fax Number E-mail Address
Contracting Agency

Description and location of proposed subcontract:

\_\_\_\_\_

Borough Project Number Pin Number Contract Amount

I, (print name of authorized official signing) \_\_\_\_\_ hereby certify that I am authorized by the above-named subcontractor to certify that said subcontractor's proposed contract with the above named owner or City agency is less than \$750,000.

Willful or fraudulent falsifications of any data or information submitted herewith may result in the termination of the contract between the City and the bidder or contractor and in disapproval of future contracts for a period of up to five years. Further, such falsification may result in civil and/or criminal prosecution.

Signature of authorized official Date

**SIGNATURE PAGE**

I, (print name of authorized official signing) \_\_\_\_\_ hereby certify that the information submitted herewith is true and complete to the best of my knowledge and belief and submitted with the understanding that compliance with New York City's equal employment requirements, as contained in Chapter 56 of the City Charter, Executive Order No. 50 (1980), as amended, and the implementing Rules and Regulations, is a requirement for the contractors and subcontractors working on this construction project. I also agree on behalf of the company to submit a certified copy of payroll records to the Division of Labor Services on a monthly basis.

\_\_\_\_\_  
*Contractor's Name*

\_\_\_\_\_  
*Name of person who prepared this Employment Report*

\_\_\_\_\_  
*Title*

\_\_\_\_\_  
*Name of official authorized to sign on behalf of the contractor*

\_\_\_\_\_  
*Title*

\_\_\_\_\_  
*Telephone Number*

\_\_\_\_\_  
*Signature of authorized official*

\_\_\_\_\_  
*Date*

If contractors are found to be underutilizing minorities and females in any given trade based on Chapter 56 Section 3H, the Division of Labor Services reserves the right to request the contractor's workforce data and to implement an employment program.

Contractors who fail to comply with the above mentioned requirements or are found to be in noncompliance may be subject to the withholding of final payment.

Willful or fraudulent falsifications of any data or information submitted herewith may result in the termination of the contract between the City and the bidder or contractor and in disapproval of future contracts for a period of up to five years. Further, such falsification may result in civil and/or criminal prosecution.

To the extent permitted by law and consistent with the proper discharge of DLS' responsibilities under Charter Chapter 56 of the City Charter and Executive Order No. 50 (1980) and the implementing Rules and Regulations, all information provided by a contractor to DLS shall be confidential.

**Please attach your M/WBE Compliance Report.**

**Only original signatures accepted.**

Sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20 \_\_\_\_\_

\_\_\_\_\_  
Authorized Signature

\_\_\_\_\_  
Notary Public



# Division of Labor Services

## WHO MUST FILE AN EMPLOYMENT REPORT

An Employment Report (ER) must be filed if you meet the following conditions:

| CONTRACTOR       | CONTRACT VALUE   | Submission Requirement          |
|------------------|--|---------------------------------|
| Prime Contractor | \$1,000,000 or greater ( <i>city, state</i> )                      | Construction Employment Report  |
|                  | \$10,000 or greater ( <i>federally and/or federally assisted</i> ) |                                 |
| Subcontractor    | \$750,000 or greater   | Construction Employment Report  |
|                  | Less than \$750,000  | Less than \$750,000 Certificate |
|                  | \$10,000 or greater ( <i>federally and/or federally assisted</i> ) | Construction Employment Report  |

## WHERE TO FILE

ERs must be filed directly with the Division of Labor Services (DLS).

## DLS REVIEW PROCESS

In accordance with Executive Order 50 (EO 50), upon receipt by DLS of a completed ER, DLS conducts a review of the contractor's current employment policies, practices and procedures, as well as perform a statistical analysis of the contractor's workforce, if necessary. The process is as follows:

1. Within five (5) business days, DLS will review the ER for completeness and accuracy. If any information is omitted or incorrect, or if necessary documents are not submitted, the submission shall be deemed incomplete and DLS will inform the contractor. The substantive compliance review does not commence until the submission is complete. **An incomplete submission will delay the review process and may preclude or interrupt the contract approval.**
  - 1a. If the City is allocating funds to this project, you must provide the name of the contracting agency.
2. If the ER submission is complete, the compliance review will proceed, resulting in one of the following:

### Certificate of Approval

The contractor is found to be in compliance with all applicable laws and regulations. The approval is valid for 36 months.

### Continued Approval Certificate

The contractor has been issued a Certificate of Approval in the previous 36 months which is good for the applicable contract.

### Conditional Certificate of Compliance



The contractor is required to take corrective actions in order to be in compliance with EO 50. The contractor must meet the conditions within three months of the issue of the Conditional Certificate.

### **Determination of Nonperformance**

The contractor has failed to take the required corrective actions stipulated in the Conditional Certificate. A determination of nonperformance may prevent a contractor from receiving an DLS Approval.

3. Please indicate if you would like assistance from SBS in identifying certified M/WBEs for contracting opportunities.
- 3a. Please provide a copy of your project labor agreement which is negotiated through an employer trade association.

## **HOW TO COMPLETE THE EMPLOYMENT REPORT**

### **Contents**

#### **General Information**

**Part I: Company/Contract Information**

**Part II: Employment Policies and Practices**

**Part III: Contract Bid Information and Projected and Current Workforce Forms**

**Signature Page**

## **PART I: CONTRACTOR/SUBCONTRACTOR INFORMATION**

- Question 5: Please provide the Employer Identification Number or Federal Tax I.D.
- Questions 6 – 9: Please provide the requested company information. All contracts must have a designated Equal Employment Officer.
- Question 10: If you are a subcontractor, you must state the name of the contractor for whom you are providing the construction services.
- Question 11: Please indicate how many employees are in your company.
- Question 12 (a-f): Please provide all relevant information requested in 12 (a) to (f).
- Question 12(g): Provide a description of the trade work you will perform on this project and the address where the work will be performed. Subcontractors can obtain this information from the contract they have with their contractor.
- Questions 13 – 15: If your company has received a valid Certificate of Approval within the past 36 months, been audited by the United States Department of Labor, Office of Federal Contract Compliance Programs (OFCCP), or if your company has submitted an ER for a different contract for which you have not yet received a compliance certificate, then you only need to complete and submit the following:
- General Information section
  - Part I - Contractor/Subcontractor Information
  - Form B - Projected Workforce
  - Signature Page
- If your company is currently waiting for an approval on another contract previously submitted, be certain to identify the date on which you submitted the completed Employment Report, the name of the City contracting agency with which the contract was made, and the name and telephone number of the person to whom the Employment Report was submitted.
- If your company was issued a Conditional Certificate of Approval, all required corrective actions must have been taken or DLS will not issue a Continued Certificate.
- Question 16: If the company was audited by the OFCCP, also provide the following:
- Identify the reviewing OFCCP office by its name and address
  - If an unconditional certificate of compliance was issued by the OFCCP, attach a copy of the certificate in lieu of completing Parts II and III;

- Include copies of all corrective actions and documentation of OFCCP's performance; and
- Provide a copy of all stated OFCCP findings.

Question 17: Please provide a copy of any Collective Bargaining Agreement(s) which is negotiated through an employer trade association on behalf of your organization or any of its affiliates.

**PART II: EMPLOYMENT POLICIES AND PRACTICES**

*Remember to label all documents with the question number for which they are submitted.*

Questions 18a – j: You must respond to the questions as to whether or not your firm has documents reflecting written policies, benefits and procedures. If so, then you must identify by name each document in which the policy(ies), procedure(s) and benefit(s) is located and submit copies of all of the document(s). If your firm follows unwritten practices or procedures, include an explanation of how they operate. Please submit the most current document(s), including all applicable amendments. Label each document and/or unwritten practice according to the question to which it corresponds (e.g. 18a, 18b, etc.)

Questions 19a – h: Inquires about the manner/methods by which you comply with the requirements of the Immigration Reform and Control Act of 1986 (IRCA).

Question 20: Inquires into where and how I-9 forms are maintained and stored.

Questions 21a – e: Inquires into whether or not there is a requirement that an applicant or employee be subjected to a medical examination at any given time. Copies of the medical information questionnaire and instructions must be submitted with the Employment Report.

Question 22: Indicate the existence and location of all statements of your firm's Equal Employment Opportunity policy and attach a copy of each statement.

Question 23: Submit any current Affirmative Action Plan(s) created pursuant to Executive Order 11246.

Question 24: If your firm or collective bargaining agreement has an internal grievance procedure, indicate this and submit a copy of the policy and procedure. If unwritten, explain its nature and operation. Explain how your firm's procedure addresses EEO complaints.

Question 25: If your employees have used the procedure in the last three (3) years, please submit an explanation in the format indicated below:

| 1. Number of complaint(s) | 2. Nature of the complaint(s) | 3. Position(s) of the complainant(s) | 4. Was an investigation conducted?<br>Y/N | 5. Current status of the disposition |
|---------------------------|-------------------------------|--------------------------------------|---|--------------------------------------|
|---------------------------|-------------------------------|--------------------------------------|---|--------------------------------------|

Question 26: Indicate whether in the past three (3) years complaints have been filed with a court of law or administrative agency, naming your company as a defendant (or respondent) in a complaint alleging violation of any anti-discrimination or affirmative action laws. If yes, develop and submit a log to show, for each administrative/and or judicial action filed, the following information:

| 1. Name(s) of complainant(s) | 2. Administrative agency or court in which action was filed | 3. Nature of the complaint(s) | 4. Current status | 5. If not pending, the complaint's disposition |
|------------------------------|---|-------------------------------|-------------------|--|
|------------------------------|---|-------------------------------|-------------------|--|

Question 27: Identify each job for which a physical qualification exists. Identify and explain the physical qualification(s) for each stated job. Submit job descriptions for each job and the reasons for the qualifications.

Question 28: Identify each job for which there exists any qualification related to age, race, color, national origin, sex, creed, disability, marital status, sexual orientation or citizenship status. Identify and explain the specific related qualification for each job stated. Submit job descriptions for each job and the reasons for the qualifications.

### PART III: CONTRACT BID INFORMATION AND PROJECTED AND CURRENT WORKFORCE FORMS

#### FORM A: CONTRACT BID INFORMATION – USE OF SUBCONTRACTORS/TRADES

Your projections for the utilization of subcontractors on the proposed contract are to be provided in this section. A chart has been provided for the identification of subcontractors. Information is to be provided to the extent known at the time the ER is filed for review by DLS. If the subcontractor's name is unknown, then write "unknown". Under "ownership", enter the appropriate race/ethnic and gender code. If the contract is federally funded or assisted and the subcontractor is being utilized in accordance with applicable federal requirements with respect to Minority Business Enterprise or Woman Business Enterprise requirements, enter the appropriate code. This will also apply to state funded contracts with similar requirements for minority and female owned businesses.

#### FORM B: PROJECTED WORKFORCE FOR WORK TO BE PERFORMED ON THIS PROJECT

For each trade to be engaged by your company for this project, enter the projected workforce for Males and Females by trade classification in the charts provided.

#### FORM C: CURRENT WORKFORCE FOR WORK TO BE PERFORMED ON THIS PROJECT

For each trade *currently* engaged by your company for all work performed in NYC, enter the current workforce for Males and Females by trade classification in the charts provided.

### SIGNATURE PAGE

The signatory of this Employment Report and all other documents submitted to DLS must be an official authorized to enter into a binding legal agreement. The signature page must be completed in its entirety and notarized. Only original signatures will be accepted.

(NO TEXT ON THIS PAGE)





NEW YORK CITY DEPARTMENT OF  
DESIGN + CONSTRUCTION

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**INFRASTRUCTURE DIVISION  
BUREAU OF DESIGN**

---

**VOLUME 1 OF 3**

PROJECT ID: SE809

CONSTRUCTION OF SANITARY AND STORM SEWERS AND APPURTENANCES  
IN N.B. WHITESTONE EXPRESSWAY SERVICE ROAD BETWEEN 25<sup>TH</sup> AVENUE  
AND FLUSHING RIVER; ETC.

INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC SIGNAL WORK

Together With All Work Incidental Thereto  
BOROUGH OF QUEENS  
CITY OF NEW YORK

---

*Contractor.*

---

Dated \_\_\_\_\_, 20\_\_\_\_

---



-----





NEW YORK CITY DEPARTMENT OF  
DESIGN + CONSTRUCTION

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**INFRASTRUCTURE DIVISION  
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BOROUGH OF QUEENS  
CITY OF NEW YORK

---

*Contractor.*

---

Dated \_\_\_\_\_, 20\_\_\_\_

---



**THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE**

30-30 THOMSON AVENUE  
LONG ISLAND CITY, NEW YORK 11101-3045  
TELEPHONE (718) 391-1000  
WEBSITE [www.nyc.gov/buildnyc](http://www.nyc.gov/buildnyc)

**CONTRACTOR**

**VOLUME 2 OF 3**

**INFORMATION FOR BIDDERS  
CONTRACT  
PERFORMANCE AND PAYMENT BONDS  
PREVAILING WAGE SCHEDULE**

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED  
FOR:

**PROJECT ID: SE809**

**CONSTRUCTION OF SANITARY AND STORM SEWERS AND  
APPURTENANCES IN N.B. WHITESTONE EXPRESSWAY SERVICE  
ROAD BETWEEN 25<sup>TH</sup> AVENUE AND FLUSHING RIVER; ETC.**

**INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC  
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Together With All Work Incidental Thereto  
**BOROUGH OF QUEENS  
CITY OF NEW YORK**

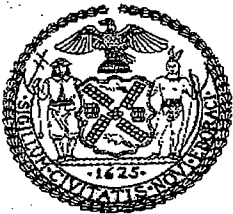
FOR THE DEPARTMENT OF ENVIRONMENTAL PROTECTION  
PREPARED BY  
IN-HOUSE DESIGN

**MARCH 25, 2011**

**11#108**



NEW YORK CITY DEPARTMENT OF  
DESIGN + CONSTRUCTION







**THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE**

30-30 THOMSON AVENUE  
LONG ISLAND CITY, NEW YORK 11101-3045  
TELEPHONE (718) 391-1000  
WEBSITE [www.nyc.gov/buildnyc](http://www.nyc.gov/buildnyc)

**VOLUME 2 OF 3**

**INFORMATION FOR BIDDERS  
CONTRACT  
PERFORMANCE AND PAYMENT BONDS  
PREVAILING WAGE SCHEDULE**

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED  
FOR:



FOR THE DEPARTMENT OF ENVIRONMENTAL PROTECTION  
PREPARED BY  
IN-HOUSE DESIGN

**MARCH 25, 2011**



# NOTICE TO BIDDERS

Please be advised that the City of New York has revised the form of the performance bond that is required for City construction contracts that do not exceed \$5 million. The form of bond required for contracts that are greater than \$5 million has not changed. The City now has two approved forms. One form is to be used for contracts that do not exceed \$5 million and one form is to be used for contracts above \$5 million. The City's payment bond remains unchanged.

**The new bond form for contracts that do not exceed \$5 million has been approved by the U.S. Small Business Administration ("SBA") for participation in their Bond Guarantee Program.** The SBA's Bond Guarantee Program enables eligible small businesses to obtain or increase bonding by having the SBA act as a partial guarantor of the contractor to the surety. If you are interested in participating in this program, we suggest that you contact your broker or the SBA.

In order to maximize participation by small businesses in the SBA Guarantee Program, the City also encourages prime contractors who are awarded contracts greater than \$5 million to allow their subcontractors to use the SBA-approved form, particularly on contracts that are subject to Local Law 129 (the M/WBE program), if the prime contractor requires subcontractors to obtain performance bonds.

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**CITY OF NEW YORK**

**DEPARTMENT OF  
DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURES**

**INFORMATION FOR BIDDERS**

**SEPTEMBER 2008**

**(NO TEXT ON THIS PAGE)**



**CITY OF NEW YORK CITY  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
INFORMATION FOR BIDDERS**

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## INFORMATION FOR BIDDERS

### 1. Description and Location of Work

The description and location of the work for which bids are requested are specified in Attachment 1, "Bid Information". Attachment 1 is included as page A-1 of the Bid Booklet.

### 2. Time and Place for Receipt of Bids

Sealed bids shall be received on or before the date and hour specified in Attachment 1, at which time they will be publicly opened and read aloud in the presence of the Commissioner or his or her representative, and any bidders who may desire to be present.

### 3. Definitions

The definitions set forth in the Procurement Policy Board Rules shall apply to this Invitation For Bids.

### 4. Invitation For Bids and Contract Documents

(A) Except for titles, sub-titles, headings, running headlines, tables of contents and indices (all of which are printed herein merely for convenience) the following, except for such portions thereof as may be specifically excluded, shall be deemed to be part of the Contract and the Invitation for Bids.

- (1) All provisions required by law to be inserted in this Contract, whether actually inserted or not
- (2) The Contract Drawings and Specifications
- (3) The General Conditions, the General Requirements and the Special Conditions, if any
- (4) The Contract
- (5) The Information for Bidders; Request for Proposals; Notice of Solicitation and Proposal For Bids; Bid or Proposal, and, if used, the Bid Booklet
- (6) The Budget Director's Certificate; all Addenda issued prior to the receipt of the bids; the Notice of Award; Performance and Payment Bonds, if required; and the Notice to Proceed with the Work.

(B) For particulars as to this procurement, including quantity and quality of the purchase, extent of the work or labor to be performed, delivery and performance schedule, and any other special instructions, prospective bidders are referred to the Invitation For Bids Documents. A copy of such documents can be obtained at the location set forth in Attachment 1.

(C) Deposit for Copy of Invitation For Bids Documents: Prospective bidders may obtain a copy of the Invitation For Bids Documents by complying with the conditions set forth in the Notice of Solicitation. The deposit must be in the form of a check or money order made payable to the City of New York, and drawn upon a state or national bank or trust company, or a check of such bank or trust company signed by a duly authorized officer thereof.

(D) Return of Invitation For Bids Documents: All Invitation For Bids Documents must be returned to the Department upon request. If the bidder elects not to submit a bid thereunder, the

Invitation For Bids Documents shall be returned to the Department, along with a statement that no bid will be submitted.

(E) Return of Deposit: Such deposit will be returned within 30 days after the award of the contract or the rejection of all bids as set forth in the advertisement, provided the Invitation For Bids Documents are returned to the location specified in Attachment 1, in physical condition satisfactory to the Commissioner.

(F) Additional Copies: Additional copies of the Invitation For Bids Documents may be obtained, subject to the conditions set forth in the advertisement for bids.

5. Pre-Bid Conference

A pre-bid conference shall be held as set forth in Attachment 1. Nothing stated at the pre-bid conference shall change the terms or conditions of the Invitation For Bids Documents, unless a change is made by written amendment as provided in Section 9 below. Failure to attend a mandatory pre-bid conference shall constitute grounds for the rejection of the bid.

6. Agency Contact

Any questions or correspondence relating to this bid solicitation shall be addressed to the Agency Contact person specified in Attachment 1.

7. Bidder's Oath

(A) The bid shall be properly signed by an authorized representative of the bidder and the bid shall be verified by the written oath of the authorized representative who signed the bid, that the several matters stated and information furnished therein are in all aspects true.

(B) A materially false statement willfully or fraudulently made in connection with the bid or any of the forms completed and submitted with the bid may result in the termination of any Contract between the City and the Bidder. As a result, the Bidder may be barred from participating in future City contracts as well as be subject to possible criminal prosecution.

8. Examination and Viewing of Site, Consideration of Other Sources of Information and Changed Conditions

(A) Pre-Bidding (Investigation) Viewing of Site -Bidders must carefully view and examine the site of the proposed work, as well as its adjacent area, and seek other usual sources of information, for they will be conclusively presumed to have full knowledge of any and all conditions on, about or above the site relating to or affecting in any way the performance of the work to be done under the Contract which were or should have been indicated to a reasonably prudent bidder. To arrange a date for visiting the work site, bidders are to contact the Agency Contact person specified in Attachment 1.

(B) Should the contractor encounter during the progress of the work subsurface conditions at the site materially differing from any shown on the Contract Drawings or indicated in the Specifications or such subsurface conditions as could not reasonably have been anticipated by the contractor and were not anticipated by the City, which conditions will materially affect the cost of the work to be done under the

Contract, the attention of the Commissioner must be called immediately to such conditions before they are disturbed. The Commissioner shall thereupon promptly investigate the conditions. If he finds that they do so materially differ, or that they could not have been reasonably anticipated by the contractor and were not anticipated by the City, the Contract may be modified with his written approval.

9. Examination of Proposed Contract

(A) Request for Interpretation or Correction: Prospective bidders must examine the Contract Documents carefully and before bidding must request the Commissioner in writing for an interpretation or correction of every patent ambiguity, inconsistency or error therein which should have been discovered by a reasonably prudent bidder. Such interpretation or correction, as well as any additional contract provisions the Commissioner may decide to include, will be issued in writing by the Commissioner as an addendum to the Contract, which will be transmitted to each person recorded as having received a copy of the Contract Documents from the Department. Transmission of such addendum will be by mail, e-mail, facsimile or hand delivery. Such addendum will also be posted at the place where the Contract Documents are available for the inspection of prospective bidders. Upon transmission as provided for herein, such addendum shall become a part of the Contract Documents, and binding on all bidders, whether or not actual notice of such addendum is shown.

(B) Only Commissioner's Interpretation or Correction Binding: Only the written interpretation or correction so given by the Commissioner shall be binding, and prospective bidders are warned that no other officer, agent or employee of the City is authorized to give information concerning, or to explain or interpret, the Contract.

(C) Documents given to a subcontractor for the purpose of soliciting the subcontractor's bid shall include either a copy of the bid cover sheet or a separate information sheet setting forth the project name, the Contract number (if available), the contracting agency and the Project's location.

10. Form of Bid

Each bid must be submitted upon the prescribed form and must contain: a) the name, residence and place of business of the person or persons making the same; b) the names of all persons interested therein, and if no other person is so interested, such fact must be distinctly stated; c) a statement to the effect that it is made without any connection with any other person making a bid for the same purpose and that it is in all respects fair and without collusion or fraud; d) a statement that no Council member or other officer or employee or person whose salary is payable in whole or part from the City Treasury is directly or indirectly interested therein or in the supplies, materials or equipment and work or labor to which it relates, or in any portion of the profits thereof; e) a statement that the bidder is not in arrears to the City or to any agency upon a debt or contract or taxes, and is not a defaulter as surety or otherwise upon any obligation to the City to any agency thereof, except as set forth in the bid.

THE BID SHALL BE TYPEWRITTEN OR WRITTEN LEGIBLY IN INK. THE BID SHALL BE SIGNED IN INK. ERASURES OR ALTERATIONS SHALL BE INITIALED BY THE SIGNER IN INK. FAILURE TO CONFORM TO THE REQUIREMENTS OF THIS SECTION 10 SHALL RESULT IN THE REJECTION OF THE BID.

11. Irrevocability of Bid

The prices set forth in the bid cannot be revoked and shall be effective until the award of the Contract, unless the bid is withdrawn as provided for in Sections 15 and 18 below.

12. Acknowledgment of Amendments

The receipt of any amendment to the Contract Documents shall be acknowledged by the bidder in its bid submission.

13. Bid Samples and Descriptive Literature

Bid samples and descriptive literature shall not be submitted by the bidder, unless expressly requested elsewhere in the Contract or Contract Documents. Any unsolicited bid samples or descriptive literature which are submitted shall not be examined or tested and shall not be deemed to vary any of the provisions of this Contract.

14. Proprietary Information/Trade Secrets

(A) The bidder shall identify those portions of the bid which it deems to be confidential, proprietary information or trade secrets, and provide justification why such materials shall not be disclosed by the City. All such materials shall be clearly indicated by stamping the pages on which such information appears, at the top and bottom thereof with the word "Confidential". Such materials stamped "Confidential" must be easily separable from the non-confidential sections of the bid.

(B) All such materials so indicated shall be reviewed by the Agency and any decision not to honor a request for confidentiality shall be communicated in writing to the bidder. For those bids which are unsuccessful, all such confidential materials shall be returned to the bidder. Prices, makes and model or catalog numbers of the items offered, deliveries, and terms of payment shall be publicly available after bid opening, regardless of any designation of confidentiality made by the bidder.

15. Pre-Opening Modification or Withdrawal of Bids

Bids may be modified or withdrawn by written notice received in the office designated in Attachment 1, before the time and date set for the bid opening. If a bid is withdrawn in accordance with this Section, the bid security, if any, shall be returned to the bidder.

16. Bid Evaluation and Award

In accordance with the New York City Charter, the Procurement Policy Board Rules and the terms and conditions of this Invitation For Bids, this Contract shall be awarded, if at all, to the responsible bidder whose bid meets the requirements and evaluation criteria set forth in the Invitation For Bids, and whose bid price is either the most favorable bid price or, if the Invitation For Bids so states, the most favorable evaluated bid price. A bid may not be evaluated for any requirement or criterion that is not disclosed in the Invitation For Bids.

Restriction: No negotiations with any bidder shall be allowed to take place except under the circumstances and in the manner set forth in Section 21. Nothing in this Section shall be deemed to permit a contract award to a bidder submitting a higher quality item than that designated in the Invitation For Bids, if that bid is not also the most favorable bid.

17. Late Bids, Late Withdrawals and Late Modifications

Any bid received at the place designated in the solicitation after the time and date set for receipt of bids is late and shall not be considered. Any request for withdrawal or modification received at the place designated in the solicitation after the time and date set for receipt of bids is late and shall not be considered. The exception to this provision is that a late modification of a successful bid that makes the bid terms more favorable to the City shall be considered at any time it is received.

18. Withdrawal of Bids.

Except as provided for in Section 15, above, a bidder may not withdraw its bid before the expiration of forty-five (45) days after the date of the opening of bids; thereafter, a bidder may withdraw its bid only in writing and in advance of an actual award. If within sixty (60) days after the execution of the Contract, the Commissioner fails to fix the date for commencement of work by written notice to the bidder, the bidder, at his option, may ask to be relieved of his obligation to perform the work called for by written notice to the Commissioner. If such notice is given to the Commissioner, and the request to withdraw is granted, the bidder waives all claims in connection with this Contract.

19. Mistake in Bids

(A) Mistake Discovered Before Bid Opening: A bidder may correct mistakes discovered before the time and date set for bid opening by withdrawing or correcting the bid as provided in Section 15 above.

(B) Mistakes Discovered Before Award

(1) In accordance with General Municipal Law (Section 103, subdivision 11), where a unilateral error or mistake is discovered in a bid, such bid may be withdrawn upon written approval of the Agency Chief Contracting Officer if the following conditions are met:

- (a) The mistake is known or made known to the agency prior to the awarding of the Contract or within 3 days after the opening of the bid, whichever period is shorter; and
- (b) The price bid was based upon an error of such magnitude that enforcement would be unconscionable; and
- (c) The bid was submitted in good faith and the bidder submits credible evidence that the mistake was a clerical error as opposed to a judgment error; and
- (d) The error in the bid is actually due to an unintentional and substantial arithmetic error or an unintentional omission of a substantial quantity of work, labor, material or services made directly in the compilation of the bid, which unintentional arithmetic error or unintentional omission can be clearly shown by objective evidence drawn from inspection of the original work paper, documents, or materials used in the preparation of the bid sought to be withdrawn; and
- (e) It is possible to place the agency in the same position as existed prior to the bid.

(2) Unless otherwise required by law, the sole remedy for a bid mistake in accordance with this Article shall be withdrawal of the bid, and the return of the bid bond, or other security, if any, to the bidder. Thereafter, the agency may, in its discretion, award the Contract to the next lowest bidder or rebid the Contract. Any amendment to or reformation of a bid or a Contract to rectify such an error or mistake

therein is strictly prohibited.

(3) If the mistake and the intended correct bid are clearly evident on the face of the bid document, the bid shall be corrected to the intended correct bid and may not be withdrawn. Examples of mistakes that may be corrected are typographical errors, errors in extending unit prices, transposition errors and arithmetical errors.

20. Low Tie Bids

(A) When two or more low responsive bids from responsible bidders are identical in price, meeting all the requirements and criteria set forth in the Invitation For Bids, the Agency Chief Contracting Officer will break the tie in the following manner and order of priority:

- (1) Award to a certified New York City small, minority or woman-owned business entity bidder;
- (2) Award to a New York City bidder;
- (3) Award to a certified New York State small, minority or woman-owned business bidder;
- (4) Award to a New York State bidder.

(B) If two or more bidders still remain equally eligible after application of paragraph (A) above, award shall be made by a drawing by lot limited to those bidders. The bidders involved shall be invited to attend the drawing. A witness shall be present to verify the drawing and shall certify the results on the bid tabulation sheet.

21. Rejection of Bids

(A) Rejection of Individual Bids: The Agency may reject a bid if:

- (1) The bidder fails to furnish any of the information required pursuant to Section 24 or 28 hereof; or if
- (2) The bidder is determined to be not responsible pursuant to the Procurement Policy Board Rules; or if
- (3) The bid is determined to be non-responsive pursuant to the Procurement Policy Board Rules; or if
- (4) The bid, in the opinion of the Agency Chief Contracting Officer, contains unbalanced bid prices and is thus non-responsive, unless the bidder can show that the prices are not unbalanced for the probable required quantity of items, or if the imbalance is corrected pursuant to Section 15.

(B) Rejection of All Bids: The Agency, upon written approval by the Agency Chief Contracting Officer, may reject all bids and may elect to resolicit bids if in its sole opinion it shall deem it in the best interest of the City so to do.

(C) Rejection of All Bids and Negotiation With All Responsible Bidders: The Agency Head may determine that it is appropriate to cancel the Invitation For Bids after bid opening and before award and to complete the acquisition by negotiation. This determination shall be based on one of the following reasons:



- (1) All otherwise acceptable bids received are at unreasonable prices, or only one bid is received and the Agency Chief Contracting Officer cannot determine the reasonableness of the bid price, or no responsive bid has been received from a responsible bidder; or
- (2) In the judgment of the Agency Chief Contracting Officer, the bids were not independently arrived at in open competition, were collusive, or were submitted in bad faith.

(D) When the Agency has determined that the Invitation for Bids is to be canceled and that use of negotiation is appropriate to complete the acquisition, the contracting officer may negotiate and award the Contract without issuing a new solicitation, subject to the following conditions:

- (1) prior notice of the intention to negotiate and a reasonable opportunity to negotiate have been given by the contracting officer to each responsible bidder that submitted a bid in response to the Invitation for Bids;
- (2) the negotiated price is the lowest negotiated price offered by a responsible bidder; and
- (3) the negotiated price is lower than the lowest rejected bid price of a responsible bidder that submitted a bid in response to the Invitation for Bids.

22. Right to Appeal Determinations of Non-Responsiveness or Non-Responsibility and Right to Protest Solicitations and Award

The bidder has the right to appeal a determination of non-responsiveness or non-responsibility and has the right to protest a solicitation and award. For further information concerning these rights, the bidder is directed to the Procurement Policy Board Rules.

23. Affirmative Action and Equal Employment Opportunity

This Invitation For Bids is subject to applicable provisions of Federal, State and Local Laws and executive orders requiring affirmative action and equal employment opportunity.

24. VENDEX Questionnaires

(A) Requirement: Pursuant to Administrative Code Section 6-116.2 and the PPB Rules, bidders may be obligated to complete and submit VENDEX Questionnaires. Generally, if this bid is \$100,000 or more, or if this bid when added to the sum total of all contracts, concessions and franchises the bidder has received from the City and any subcontracts received from City contractors over the past twelve months, equals or exceeds \$100,000, Vendex Questionnaires must be completed. If required, Vendex Questionnaires must be completed and submitted before any award of contract may be made or before approval is given for a proposed subcontractor. Non-compliance with these submission requirements may result in the disqualification of the bid, disapproval of a subcontractor, subsequent withdrawal of approval for the use of an approved subcontractor, or the cancellation of the contract after its award.

(B) Submission: Vendex Questionnaires must be submitted directly to the Mayor's Office of Contract Services, ATTN: Vendex, 253 Broadway, 9th Floor, New York, New York 10007. In addition, the bidder must submit a Confirmation of Vendex Compliance to the agency. A form for this confirmation is set forth in the Bid Booklet.

(C) Obtaining Forms: Vendex Questionnaires, as well as detailed instructions, may be obtained at [www.nyc.gov/vendex](http://www.nyc.gov/vendex). The bidder may also obtain Vendex forms and instructions by contacting the

Agency Chief Contracting Office or the contract person for this contract.

25. Complaints About the Bid Process

The New York City Comptroller is charged with the audit of contracts in New York City. Any vendor who believes that there has been unfairness, favoritism or impropriety in the bid process should inform the Comptroller, Office of Contract Administration, One Centre Street, Room 835, New York, New York; telephone number (212)669-2797.

26. Bid, Performance and Payment Security

(A) Bid Security: Each bid must be accompanied by bid security in an amount and type specified in Attachment 1 (page A-1 of the Bid Booklet). The bid security shall assure the City of New York of the adherence of the bidder to its proposal, the execution of the Contract, and the furnishing of Performance and Payment Bonds by the bidder, if required in Attachment 1. Bid security shall be returned to the bidder as follows:

- (1) Within ten (10) days after the bid opening, the Comptroller will be notified to return the deposits of all but the three (3) lowest bidders. Within five (5) days after the award, the Comptroller will be notified to return the deposits of the remaining two unsuccessful bidders.
- (2) Within five (5) days after the execution of the Contract and acceptance of the Contractor's bonds, the Comptroller will be notified to return the bid security of the successful bidder or, if performance and payment bonds are not required, only after the sum retained under Article 21 of the Contract equals the amount of the bid security.
- (3) Where all bids are rejected, the Comptroller will be notified to return the deposit of the three (3) lowest bidders at the time of rejection.

(B) Performance and Payment Security: Performance and Payment Security must be provided in an amount and type specified in Attachment 1 (page A-1 of the Bid Booklet). The performance and payment security shall be delivered by the contractor prior to or at the time of execution of the Contract. If a contractor fails to deliver the required performance and payment security, its bid security shall be enforced, and an award of Contract may be made to the next lowest responsible and responsive bidder, or the contract may be rebid.

(C) Acceptable Types of Security: Acceptable types of security for bids, performance, and payment shall be limited to the following:

- (1) a one-time bond in a form satisfactory to the City;
- (2) a bank certified check or money order;
- (3) obligations of the City of New York; or
- (4) other financial instruments as determined by the Office of Construction in consultation with the Comptroller.

Whenever the successful bidder deposits obligations of the City of New York as performance and payment security, the Comptroller may sell and use the proceeds thereof for any purpose for which the principal or surety on such bond would be liable under the terms of the Contract. If the money is deposited with the Comptroller, the successful bidder shall not be entitled to receive interest on such money from the City.

(D) Form of Bonds: Security provided in the form of bonds must be prepared on the form of bonds authorized by the City of New York. Forms for bid, performance, and payment bonds are included in the Invitation for Bids Documents. Such bonds must have as surety thereunder such surety company or companies as are: (1) approved by the City of New York; (2) authorized to do business in the State of New York, and (3) approved by the Department of the Treasury of the United States. Premiums for any required bonds must be included in the base bid.

The bidder is advised that submission of a bid bond where the surety on such bond fails to meet the criteria set forth herein, shall result in the rejection of the bid as non-responsive.

The Department of the Treasury of the United States advises that information concerning approved surety companies may be obtained as follows: (1) from the Government Printing Office at 202-512-1800; (2) through the Internet at <http://www.fms.treas.gov/c570/index.html>, and (3) through a computerized public bulletin board, which can be accessed by using your computer modem and dialing 202-874-6887.

(E) Power of Attorney: Attorneys in fact who sign bid, performance, or payment bonds must file with each bond a certified copy of their power of attorney to sign said bonds.

27. Failure to Execute Contract

In the event of failure of the successful bidder to execute the Contract and furnish the required security within ten (10) days after notice of the award of the Contract, the deposit of the successful bidder or so much thereof as shall be applicable to the amount of the award made shall be retained by the City, and the successful bidder shall be liable for and hereby agrees to pay on demand the difference between the price bid and the price for which such Contract shall be subsequently awarded, including the cost of any required reletting and less the amount of such deposit. No plea of mistake in such accepted bid shall be available to the bidder for the recovery of the deposit or as a defense to any action based upon such accepted bid. Further, should the bidder's failure to comply with this Section cause any funding agency, body or group (Federal, State, City, Public, Private, etc.) to terminate, cancel or reduce the funding on this project, the bidder in such event shall be liable also to the City for the amount of actual funding withdrawn by such agency on this project, less the amount of the forfeited deposit.

28. Bidder Responsibilities and Qualifications

(A) Bidders must include with their bids all information necessary for a determination of bidder responsibility, as set forth in the Specifications.

(B) The Agency may require any bidder or prospective bidder to furnish all books of account, records, vouchers, statements or other information concerning the bidder's financial status for examination as may be required by the Agency to ascertain the bidder's responsibility and capability to perform the Contract. If required, a bidder must also submit a sworn statement setting forth such information as the Agency may require concerning present and proposed plant and equipment, the personnel and qualifications of his working organizations, prior experience and performance record.

(C) Oral Examination on Qualifications: In addition thereto, and when directed by the Agency, the bidder, or a responsible officer, agent or employee of the bidder, must submit to an oral examination to be conducted by the Agency in relation to his proposed tentative plan and schedule of

operations, and such other matters as the Agency may deem necessary in order to determine the bidder's ability and responsibility to perform the work in accordance with the Contract. Each person so examined must sign and verify a stenographic transcript of such examination noting thereon such corrections as such person may desire to make.

(D) If the bidder fails or refuses to supply any of the documents or information set forth in paragraph (B) hereof or fails to comply with any of the requirements thereof, the Agency may reject the bid.

29. Employment Report

In accordance with Executive Order No. 50 (1980) as modified by Executive Order 108 (1986), the filing of a completed Employment Report (ER) is a requirement of doing business with the City of New York for construction contractors with contracts of \$1,000,000 or more and subcontractors with construction subcontracts of \$750,000 or more. The required forms and information are included in the Bid Booklet.

30. Labor Law Requirements

(A) General: The successful bidder will be required to comply strictly with all Federal, State and local labor laws and regulations.

(B) New York State Labor Law: This Contract is subject to New York State Labor Law Section 220, which requires that construction workers on the site be paid prevailing wages and supplements. The Contractor is reminded that all wage provisions of this Contract will be enforced strictly and failure to comply will be considered when evaluating performance. Noncompliance may result in the contractor being debarred by the City from future contracts. Complaints filed with the Comptroller may result in decisions which may debar a contractor from bidding contracts with any state governmental entity and other political subdivisions.

(C) Records: The Contractor is expected to submit accurate payroll reports and other required documents and verify attendance and job classifications being utilized in compliance with the law, Contract provisions and agency procedures.

31. Insurance

(A) Bidders are advised that the insurance requirements contained herein are regarded as material terms of the Contract. As required by Article 22 of the Contract, the contractor must effect and maintain with companies licensed and authorized to do business in the State of New York, the types of insurance set forth therein, when required by and in the amounts set forth in Schedule A of the General Conditions. Such required insurance must be provided from the date the contractor is ordered to commence work and up to the date of final acceptance of all required work.

(B) The contractor must, within ten days of receipt of the notice of award, submit the following insurance documentation: (a) original certificate of insurance for general liability in the amount required by Schedule A of the General Conditions, and (b) original certificates of insurance or other proof of coverage for workers' compensation and disability benefits, as required by Section 57 of the New York State Workers' Compensation Law and Section 220 of the Disability Benefits Law.

32. Lump Sum Contracts

(A) Comparison of Bids: Bids on Lump Sum Contracts will be compared on the basis of the lump sum price bid, adjusted for alternate prices bid, if any.

(B) Lump Sum Bids for "General Construction Work" which include excavation shall include all necessary excavation work defined in the Specifications as being included in the lump sum bid. The bidder shall also bid a unit price for the additional cost of excavating material which is defined in the Specifications as excavation for which additional payment will be made. The total estimated additional cost of removing such material will be taken as the quantity set forth in the Engineer's Estimate multiplied by the unit price bid. This total estimated cost of additional excavation shall be added to the lump sum bid for the General Construction Work for the purpose of comparing bids to determine the low bidder.

(C) Variations from Engineer's Estimate: The Engineer's Estimate of the quantity of excavation for which additional payment will be made is approximate only and is given solely to be used as a uniform basis for the comparison of bids and such estimate is not to be considered as part of this contract. The quantities actually required to complete the contract work may be more or less than the quantities in the Engineer's Estimate and, if so, no action for damages or for loss of profits shall accrue to the contractor by reason thereof.

33. Unit Price Contracts

(A) Comparison of Bids: Bids on Unit Price Contracts will be compared on the basis of a total estimated price, arrived at by taking the sum of the estimated quantities of such items, in accordance with the Engineer's Estimate of Quantities set forth in the Bid Schedule, multiplied by the corresponding unit prices, and including any lump sum bids on individual items.

(B) Variations from Engineer's Estimate: Bidders are warned that the Engineer's Estimate of Quantities on the various items of work and materials is approximate only, given solely to be used as a uniform basis for the comparison of bids, and is not to be considered part of this contract. The quantities actually required to complete the contract work may be less or more than so estimated, and if so, no action for damages or for loss of profits shall accrue to the contractor by reason thereof.

(C) Overruns: The terms and conditions applicable to overruns of unit price items are set forth in Article 26 of the Contract.

34. Excise Tax

Bidders are referred to the Specifications for information on Federal Excise Tax exemptions.

35. Licenses and Permits

The successful bidder will be required to obtain all necessary licenses and permits necessary to perform the work.

36. Multiple Prime Contractors

If more than one prime contractor will be involved on this project, all contractors are required to examine the Invitation for Bid packages for all other parts of the project.

37. Locally Based Enterprise Requirements (LBE)

This Contract is subject to the requirements of Administrative Code, Section 6-108.1, and the regulations promulgated thereunder. No construction contract will be awarded unless and until these requirements have been complied with in their entirety. The bidder is advised of the provisions set forth below, as well as the provisions with respect to the Locally Based Enterprise Program contained in Article 67 of the Contract. The contractor is advised that:

(A) If any portion of the Contract is subcontracted, not less than ten percent of the total dollar amount of the contract shall be awarded to locally based enterprises ("LBEs"); except, where less than ten percent of the total dollar amount of the Contract is subcontracted, such lesser percentage shall be so awarded.

(B) No contractor shall require performance and payment bonds from LBE subcontractors.

(C) No Contract shall be awarded unless the contractor first identifies in its bid:

(1) the percentage, dollar amount and type of work to be subcontracted; and

(2) the percentage, dollar amount and type of work to be subcontracted to LBEs.

(D) Within ten calendar days after notification of low bid, the apparent low bidder shall submit an "LBE Participation Schedule" to the contracting agency. If such schedule does not identify sufficient LBE subcontractors to meet the requirements of Administrative Code Section 6-108.1, the apparent low bidder shall submit documentation of its good faith efforts to meet such requirements.

(1) The "LBE Participation Schedule" shall include:

(a) the name and address of each LBE that will be given a subcontract,

(b) the percentage, dollar amount and type of work to be subcontracted to the LBE, and

(c) the dates when the LBE subcontract work will commence and end.

(2) The following documents shall be attached to the "LBE Participation Schedule":

(a) verification letters from each subcontractor listed in the "LBE Participation Schedule" stating that the LBE will enter into a formal agreement for work,

(b) certification documents of any proposed LBE subcontractor which is not on the LBE certified list, and

(c) copies of the certification letter of any proposed subcontractor which is an LBE.

(3) Documentation of good faith efforts to achieve the required LBE percentage shall include as appropriate but not limited to the following:

(a) attendance at prebid meetings, when scheduled by the agency, to advise bidders of contract requirements;

- (b) advertisement where appropriate in general circulation media, trade association publications and small business media of the specific subcontracts that would be at least equal to the percentage goal for LBE utilization specified by the contractor;
- (c) written notification to association of small, minority and women contractors soliciting specific subcontractors;
- (d) written notification by certified mail to LBE firms that their interest in the contract is solicited for specific work items and their estimated values;
- (e) demonstration of efforts made to select portions of the work for performance by LBE firms in order to increase the likelihood of achieving the stated goal;
- (f) documented efforts to negotiate with LBE firms for specific subcontracts, including at a minimum:
  - (i) The names, address and telephone numbers of LBE firms that are contacted;
  - (ii) A description of the information provided to LBE firms regarding the plans and specifications for portions of the work to be performed;
  - (iii) Documentation showing that no reasonable price can be obtained from LBE firms;
  - (iv) A statement of why agreements with LBE firms were not reached;
- (g) a statement of the reason for rejecting any LBE firm which the contractor deemed to be unqualified; and
- (h) documentation of efforts made to assist the LBE firms contacted that needed assistance in obtaining required insurance.

(E) Unless otherwise waived by the Commissioner with the approval of the Office of Economic and Financial Opportunity, failure of a proposed contractor to provide the information required by paragraphs (C) and (D) above may render the bid non-responsive and the Contract may not be awarded to the bidder. If the contractor states that it will subcontract a specific portion of the work, but can demonstrate despite good faith efforts it cannot achieve its required LBE percentage for subcontracted work until after award of Contract, the Contract may be awarded, subject to a letter of compliance from the contractor stating that it will comply with Administrative Code Section 6-108.1 and subject to approval by the Commissioner. If the contractor has not met its required LBE percentage prior to award, the contractor shall demonstrate that a good faith effort has been made subsequent to award to obtain LBEs on each subcontract until it meets the required percentage.

(F) When a bidder indicates prior to award that no work will be subcontracted, no work may be subcontracted without the prior written approval of the Commissioner, which shall be granted only if the contractor in good faith seeks LBE subcontractors at least six weeks prior to the start of work.

(G) The contractor may not substitute or change any LBE which was identified prior to award of the contract without the written permission of the Commissioner. The contractor shall make a written application to the Commissioner for permission to make such substitution or change, explaining why the contractor needs to change its LBE subcontractor and how the contractor will meet its LBE subcontracting requirement. Copies of such application must be served on the originally identified LBE by certified mail return receipt requested, as well as the proposed substitute LBE. The Commissioner shall determine whether or not to grant the contractor's request for substitution.

38. Bid Submission Requirements

The following forms, all of which are contained in the Bid Booklet, are to be completed and submitted with the bid:

- (1) Bid Schedule and Bid Form, including Affirmation
- (2) Bid Security (if required, see Attachment 1 on Page A-1)
- (3) M/WBE Subcontractor Utilization Plan (if participation goals have been established)

**FAILURE TO SUBMIT ITEMS (1), (2) AND (3)  
WILL RESULT IN THE DISQUALIFICATION OF THE BID.**

- (4) Safety Questionnaire
- (5) Construction Employment Report (if bid is \$1,000,000 or more)
- (6) Contract Certificate (if bid is less than \$1,000,000)
- (7) Confirmation of Vendex Compliance
- (8) Special Experience Requirements (if applicable to this contract)
- (9) Apprenticeship Program Questionnaire (if applicable)

**FAILURE TO SUBMIT ITEMS (4) THROUGH (9)  
MAY RESULT IN THE DISQUALIFICATION OF THE BID.**

39. Comptroller's Certificate

This Contract shall not be binding or of any force unless it is registered by the Comptroller in accordance with Section 328 of the City Charter and the Procurement Policy Board Rules. This Contract shall continue in force only after annual appropriation of funds by the City of New York and certification as hereinabove set forth.

40. Procurement Policy Board Rules

This Invitation For Bids is subject to the Rules of the Procurement Policy Board of the City of New York. In the event of a conflict between said Rules and a provision of this Invitation For Bids, the Rules shall take precedence.

41. DDC Safety Requirements

The DDC Safety Requirements apply to the work to be performed pursuant to the Contract. The DDC Safety Requirements are set forth on the following pages.



**CITY OF NEW YORK**  
**DEPARTMENT OF DESIGN AND CONSTRUCTION**  
**SAFETY REQUIREMENTS**

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**THE DDC SAFETY REQUIREMENTS INCLUDE THE FOLLOWING SECTIONS:**

- I. POLICY ON SITE SAFETY.....**
- II. PURPOSE .....**
- III. DEFINITIONS.....**
- IV. RESPONSIBILITIES.....**
- V. SAFETY QUESTIONNAIRE .....**
- VI. SAFETY PROGRAM AND SITE SAFETY PLAN .....**
- VII. KICK-OFF/PRE-CONSTRUCTION MEETINGS AND SAFETY REVIEW .....**
- VIII. EVALUATION DURING WORK IN PROGRESS.....**
- IX. SAFETY PERFORMANCE EVALUATION.....**

City of New York Department of Design and Construction: Safety Requirements  
Technical Support Division – Bureau of Quality Assurance and Construction Safety

## I. POLICY ON SITE SAFETY

The City of New York Department of Design and Construction (DDC) is committed to a policy of injury and illness prevention and risk management for construction work that will ensure the safety and health of the workers engaged in the projects and the protection of the general public. Therefore, it is DDC's policy that work carried out by Contractors on DDC jobsites must, at a minimum, comply with applicable federal, state and city laws, rules and regulations, including without limitation:

- U. S. Department of Labor 29 Code of Federal Regulations (CFR) Part 1926 and applicable Sub-parts of Part 1910 – U.S. Occupational Safety and Health Administration (OSHA) including, but not limited to “Respiratory Protection” (29 CFR 1910.134), “Permit-Required Confined Spaces” (29 CFR 1910.146), and “Hazard Communication” (29 CFR 1910.1200);
- New York State Department of Labor Industrial Code Rule 23 – Protection in Construction, Demolition and Excavation;
- New York City Construction Codes, Title 28
- NYC Department of Transportation Title 34 Chapter 2 – Highway Rules
- New York State Department of Labor Industrial Code Rule 753
- NYC Local Law No. 113 (2005) Noise Control Code

In addition, all regulations promulgated by the NYC Department of Transportation, including requirements for Maintenance and Protection of Traffic (MPT), are applicable when contained in contract specifications. While MPT is a significant component of work in our Infrastructure Division, it does not supersede or exempt Contractors from complying with other applicable health and safety standards (for example, excavating and trenching standards, operation of heavy equipment and compliance with City environmental and noise regulations).

## II. PURPOSE

The purpose of this policy is to ensure that Contractors perform their work and supervise their employees in accordance with all applicable federal, state and city rules and regulations. Further, Contractors will be expected to minimize or eliminate jobsite and public hazard, through a planning, inspection, auditing and corrective action process. The goal is to control risks so that injuries, illnesses and accidents to contractors' employees, DDC employees and the general public, as well as damage to city-owned and private property, are reduced to the lowest level feasible.

## III. DEFINITIONS

**Agency Chief Contracting Officer (ACCO):** The ACCO shall mean the person delegated authority by the Commissioner to organize and supervise the procurement activity of subordinate Agency staff in conjunction with the CCPO.

**Competent Person:** As defined by OSHA, an individual who is capable of identifying existing and predictable hazards in the surroundings or working conditions that are unsanitary, hazardous, or dangerous to employees or the general public, and who has authorization to take prompt corrective measures to eliminate them.

**Construction Safety Auditor:** A representative of the QACS Construction Safety Unit who provides inspection and assessment services to enhance health and safety on all DDC construction projects. The activities of the Construction Safety Auditor include performing site surveys, reviewing health and safety plans, reviewing construction permits, and rendering technical advice and assistance to DDC Resident Engineers and Project Managers.

City of New York Department of Design and Construction: Safety Requirements  
Technical Support Division – Bureau of Quality Assurance and Construction Safety

**Construction Safety Unit:** A part of QACS within the Division of Technical Support that assesses contractor safety on DDC jobsites and advises responsible parties of needed corrective actions.

**Construction Superintendent:** A representative of the contractor responsible for overseeing performance of the required construction work. This individual must engage in sound construction practices, and is responsible to maintain a safe work site. In the case of a project involving the demolition, alteration or new construction of buildings, the Construction Superintendent must be licensed by the NYC Department of Buildings.

**Contractor:** For purposes of these Safety Requirements, the term "Contractor" shall mean any person or entity that enters into a contract for the performance of construction work on a DDC project. The term "Contractor" shall include any person or entity which enters into any of the following types of contracts: (1) a prime construction contract for a specific project, (2) a prime construction contract using the Job Order Contracting System ("JOCS Contract"), and (3) a subcontract with a CM/Builder ("First Tier Subcontract").

**Director - Quality Assurance and Construction Safety (QACS):** Responsible for the operations of the QACS Construction Safety Unit and the DDC Site Safety management programs.

**Job Hazard Assessment (JHA):** A process of identifying site-specific hazards that may be present during construction and establishing the means and methods to reduce or eliminate those hazards.

**Jobsite Safety Coordinator:** A person designated by the Contractor to be onsite during all activities. This individual shall have received, at a minimum, the OSHA 10-hour construction safety program. Other examples of acceptable training are the 30-hour OSHA Safety and Health Standards for the Construction Industry training program (OSHA 510) or a degree/certificate in a safety and health from a college-level curriculum. This person does not necessarily have to be dedicated full-time to site safety, but must have sufficient experience and authority to undertake corrective action and must qualify to be a competent person. For certain projects, as defined in NYC Construction Codes – Title 28, this person may be required to have a Site Safety Manager's License issued by the NYC DOB.

**Qualified Person:** As defined by OSHA, an individual who, by possession of a recognized degree, certificate, license or professional standing, or who by extensive knowledge, training, and experience, has successfully demonstrated his or her ability to solve problems relating to the subject matter, the work, or the project. Qualified Persons are required under regulation to address issues pertaining, but without limit, to fall protection, scaffold design and trenching and shoring, among others.

**Resident Engineer (RE) / Construction Project Manager (CPM):** Representative of the Commissioner duly designated by the Commissioner to be his/her representative at the site of the work. (The RE/CPM may be a third-party consultant, including a CM, retained by DDC.)

**Safety Program:** Established by the Contractor that covers all operations of that Contractor and establishes the Contractor's overall safety policy, regulatory compliance plan and minimum safety standards. The Safety Program must be submitted prior to the commencement of work at the site and is subject to review and acceptance by the Construction Safety Unit.

**Safety Questionnaire:** Used by DDC to evaluate Contractor's current and past safety performance. It is required to be completed by all Contractors initially when submitting bids for Construction work, or when being pre-qualified and updated annually or as requested by the DDC.

**Site Safety Plan:** A site-specific safety plan developed by the Contractor for a specific project. The Site Safety Plan must identify hazards associated with the project, and include specific safety precautions and training appropriate and necessary to complete the work. The Site Safety Plan must be submitted prior to the commencement of work at the site and is subject to review and acceptance by the Construction Safety Unit.

City of New York Department of Design and Construction: Safety Requirements  
Technical Support Division – Bureau of Quality Assurance and Construction Safety

**Unsafe or Unhealthy Condition:** A condition that could be potentially hazardous to the health and safety of personnel or the public, and/or damaging to equipment, machinery, property or the environment.

**Weekly Safety Meetings:** Weekly documented jobsite safety meetings, given to all jobsite personnel by contractor, with the purpose of discussing general safety topics and job specific requirements encountered at the DDC work site.

#### IV. RESPONSIBILITIES

All persons who manage, perform, and provide support for construction projects shall conduct operations in compliance with the requirements identified in this Policy and all applicable governing regulatory agency requirements and guidelines pertaining to safety in construction.

##### A. Resident Engineer / Construction Project Manager / Construction Manager

- Monitors the issuance of safety-related permits, approvals and drawings and maintains copies on site.
- Monitors construction-related work activities to confirm that they are conducted in accordance with DDC policies and all applicable regulations that pertain to construction safety.
- Maintains documentation and periodically attends weekly safety meeting.
- Notifies the Construction Safety Unit and the ACCO's Insurance and Risk Management Unit of project-related accidents and emergencies, as per DDC's Construction Safety Emergency Protocol.
- Gathers facts related to all accidents and prepares DDC Accident Reports.
- Notifies the Construction Safety Unit of outside regulatory agency inspections and forwards a copy of the inspection report within three days of its receipt.
- Monitors the conditions at the site for conformance with the Site Safety Plan and DDC construction documents.
- Notifies the contractor and DDC in the event that any condition or activity exists that is not in compliance with the Site Safety Plan, applicable federal, state or local codes or any condition that presents a potential risk of injury to the public or workers or possible damage to property.
- Notifies DDC of any emergency condition and directs the contractor to provide such labor, materials, equipment and supervision to abate such conditions.
- Reports gross safety violations to the Construction Safety Unit immediately.

##### B. Contractors

- Complete a Safety Questionnaire and submit with its bid or as part of a pre-qualification package.
- Provide a Written Job Hazard Assessment (JHA) that identifies expected safety issues of the work to be performed. JHA shall be included with the Site Safety Plan submitted by the contractor.
- Submit a Site Safety Plan and Safety Program within 15 days of issuance of the Notice to Proceed, or as otherwise directed. The Site Safety Plan and Safety Program are subject to review and acceptance by the Construction Safety Unit prior to the commencement of work at the site. The Site Safety Plan shall be revised and updated as necessary.
- Ensure that all employees are aware of the hazards associated with the project through formal and informal training and/or other communications. Conduct and document weekly safety meetings for the duration of the project. Documentation to be provided to the RE/CPM/CM on a monthly basis.
- Name a Construction Superintendent, if required.
- Name a Job Site Safety Coordinator. The Contractor will be required to identify the Job Site Safety Coordinator in the Site Safety Plan.
- Comply with all mandated federal, state and local safety and health rules and regulations.
- Comply with all provisions of the Site Safety Plan.
- As part of the Site Safety Plan, prepare a site specific MPT (if not otherwise provided in the contract documents) and comply with all of its provisions.

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- Conduct and document site-specific safety orientation for Contractor personnel to review the hazards associated with the project as identified in the Site Safety Plan and the specific safety procedures and controls that will be used to protect workers, the general public and property. The Job Site Safety Coordinator will conduct this training prior to mobilization and provide documentation to the RE/CPM/CM.
- Provide, replace and adequately maintain at or around the project site, suitable and sufficient signage, lights, barricades and enclosures (fences, sidewalk sheds, netting, bracing, etc.).
- Report unsafe conditions or hazards to the DDC RE/CPM/CM as soon as practical, but no more than 24 hours after discovery, and take action to remove or abate such conditions.
- Report any accident involving injuries to workers or the general public, as well as property damage, to the DDC RE/CPM/CM within two (2) hours.
- Notify the DDC RE/CPM/CM within two (2) hours of the start of an inspection by any regulatory agency personnel, including OSHA.
- Maintain all records pertaining to all required compliance documents and accident and injury reports.
- Respond to DDC recommendations on safety, which shall in no way relieve the Contractor of its responsibilities for safety on the project. The Contractor has sole responsibility for safety.

#### V. SAFETY QUESTIONNAIRE

DDC requires that all Contractors provide information regarding their current and past safety and environmental performance and programs. This will be accomplished by the use of the DDC Safety Questionnaire. As a part of the bid submittal package, the contractor must submit a completed DDC Safety Questionnaire listing their workers' compensation experience modification rating and OSHA Incidence Rates for the three (3) years prior to the date of the bid opening. DDC may request a Contractor to update its Questionnaire at any time or to provide more detailed information. The Contractor must provide the requested update within 30 days.

The following criteria will be used by DDC in reviewing the Contractor's responsibility, which will be based on the information provided on the questionnaire:

- Criteria 1: OSHA Injury and Illness Rates (I&IR) are no greater than the average for the industry (based on the most current Bureau of Labor Statistics data for the Contractors SIC code); and
- Criteria 2: Insurance workers compensation Experience Modification Rate (EMR) equal to or less than 1.0; and
- Criteria 3: Any willful violations issued by OSHA or NYC DOB within the last three years; and
- Criteria 4: A fatality (worker or member of public) experienced on or near Contractor's worksite within the last three (3) years; and
- Criteria 5: An unacceptable rating by QACS based on past performance on DDC projects; and
- Criteria 6: Contractor has in place an acceptable corporate safety program and its employees shall have completed all documented relative safety training; and
- Criteria 7: Contractor shall provide OSHA Injury Records (currently OSHA 300 Log) for the last three (3) years.

If the Contractor fails to meet the basic criteria listed above, the Construction Safety Unit may request, through the ACCO, more detail concerning the Contractor's safety experience. DDC may request the Contractor to provide copies of, among other things, OSHA records, OSHA and DOB citations, EPA citations and written Safety Programs.

## VI. SAFETY PROGRAM AND SITE SAFETY PLAN

Within fifteen (15) days of issuance of the Notice to Proceed, or as otherwise directed, the Contractor shall submit the following: (1) Safety Program, and (2) Site Safety Plan. The Safety Program shall set forth the Contractor's overall safety policy, regulatory compliance plan and minimum safety standard, and the Site Safety Plan shall identify hazards associated with the project, and include specific safety precautions and training appropriate and necessary to complete the work. The Safety Program and the Site Safety Plan are subject to review and acceptance by the Construction Safety Unit prior to the commencement of work at the site. Failure by the contractor to submit an acceptable Site Safety Plan and Safety Program shall be grounds for default.

The Site Safety Plan shall apply to all Contractor and subcontractor operations, and shall have at a minimum, the following elements. Each element shall be described in a separate section in the written document. It may be necessary to modify the basic format for certain unique or high-risk projects (such as tunnels or high-rise construction). The basic elements are as follows:

1. **Responsibility and Organization:** Identify the person or persons with authority and responsibility for implementing the Site Safety Plan. Provide an organization chart and define levels of authority and responsibility. Identify the Competent Person, the Construction Superintendent (if required), the Job Safety Coordinator and the Qualified Person required for this project.
2. **Communication:** Establish a system for communicating with employees and subcontractors on matters relating to worker and public safety and health and environmental protection, including provisions designed to encourage employees to inform the employer of hazards at the worksite without fear of reprisal. An emergency response notification protocol is to be established that also includes after hours contact numbers. The plan must also include provisions for weekly safety meetings held by the Job Site Safety Coordinator.
3. **Job Hazard Assessment:** A written document submitted by the contractor, used to identify expected job hazards and public safety risks and state the specific means and methods to reduce, control or eliminate those hazards. This part of the Site Safety Plan must also include how on-going evaluations of those risks and hazards will be carried out, including plans for periodic inspections to identify unsafe conditions, work practices and public safety hazards.
4. **Accident/Exposure Investigation:** Establish a procedure to investigate and report occupational and public injury or illness, property damage, vehicle accidents or other mishaps.
5. **Hazard Correction:** Establish means, methods and/or procedures for correcting unsafe or unhealthy conditions that might be exposing both the public and workers to hazards. Corrective actions must be taken immediately when observed or discovered. Should an imminent hazard exist which cannot be immediately abated without endangering employees, the public and/or property, remove or restrict all exposed persons from the area except those necessary to correct the existing condition. Employees necessary to correct the hazardous condition shall be provided the necessary safeguards. When corrective actions cannot be taken immediately, temporary measures should be taken until such time permanent measures are taken to eliminate the potential risks or hazards.
6. **Training:** Describe site-specific hazard training programs. In addition to the required safety orientation, additional site specific training, in the form of required weekly safety meetings, will be required. Contractors must also initiate training when: a) new employees are hired; b) employees are given new job assignments for which training has not been previously received; c) new substances, processes, procedures or equipment are introduced that might represent a new public or worker hazard; d) the employee is made aware of a new or previously unrecognized hazard; e) new supervisors are assigned to familiarize themselves with the safety and health hazards to which employees under their immediate direction and control may be exposed; and f) after a jobsite incident or accident has occurred.

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7. Recordkeeping: Establish procedures to maintain records of scheduled and periodic inspections, weekly safety meetings, and training records. Updated records shall be maintained at the jobsite, accessible to the Construction Safety Auditors and/or Quality Assurance Auditors/RE/CPM, and retained in accordance with DDC policy.

The most critical component of the Site Safety Plan is the Job Hazard Assessment section. This section must address specific hazards that are anticipated throughout the project. Each Site Safety Plan must address, at a minimum:

- Public and pedestrian safety
- Fall protection
- Electrical hazards
- Scaffolding
- Fire protection
- Emergency notification & response
- Housekeeping / debris removal
- Dust control
- Maintenance and protection of traffic
- Trenching and excavating
- Heavy equipment operations
- Material / equipment storage
- Environmental contamination
- Sheeting and shoring
- Alcohol and Drug Abuse Policy

The following additional hazards must be addressed, if applicable, based on the contract safety specifications and/or the results of the JHA (the list is not all-inclusive):

- Basic Personal Protective Equipment
- Compressed Air
- Compressed Gas Cylinders
- Cranes, Derricks and Hoists
- Demolition
- Electrical safety
- Excavations and Trenching
- Fall Protection – Floor openings/Stairways
- Fall Protection – Guardrails Toe boards etc
- Fall Protection – Leading Edge
- Fall Protection – Personal Fall Protection Devices
- Fire Protection and Fire Prevention
- Hazard Communication (RIGHT TO KNOW)
- Hazardous Energy & Lock Out / Tag Out
- Housekeeping/ Sanitation
- Maintenance and Protection of Traffic (MPT)
- Man Lifts /Aerial Lifts
- Marine Operations
- Motor Vehicle Safety
- Overhead Power lines
- Permit Required Confined Space
- Portable Ladders
- Powered Actuated Tools
- Powered Material Handling Equipment
- Scaffolds – Mobile
- Scaffolds – Stationary
- Scaffolds – Suspended
- Slings
- Steel Erection

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- Welding and Cutting (Hot Work)
- Airborne Contaminants – Particulates – General
- Asbestos
- Blood borne Pathogens
- Hearing Protection
- Lead in Construction
- Mercury in Construction
- PCB's
- Respiratory Protection
- Silica
- Thermal Stress
- West Nile Virus
- Rodents and Vermin
- Noise Mitigation Plan

Certain DDC programs, such as Job Order Contracting System (JOCS), may not necessarily require Site Safety Plans. The JOCS contractor will be required to submit a Safety Program. In addition, certain DDC Operating Units may establish program or client-specific safety requirements. The contractor's Site Safety Plan must address such program or client specific safety requirements.

#### **VII. KICK-OFF MEETINGS/PRE-CONSTRUCTION AND SAFETY REVIEW**

As part of the construction kick-off meeting, a Site Safety Plan review will be part of the agenda. A QACS representative will participate in this meeting with the contractor prior to the start of the project for the purpose of:

- A. Reviewing the safety issues detailed in the contract.
- B. Reviewing the Site Safety Plan.
- C. Reviewing any new issues or information that was not previously addressed.
- D. Discussing planned inspections and audits of the site by DDC personnel.

#### **VIII. EVALUATION DURING WORK IN PROGRESS**

The Contractor's adherence to these Safety Requirements will be monitored throughout the project. This will be accomplished by the following:

- A. Use of a safety checklist by a representative of the Construction Safety Unit or other designated DDC representative or Consultant during regular, unannounced inspections of the job site. Field Exit Conferences will be held with the RE/CPM, Contractor Superintendents or Safety Representatives.
- B. The RE/CPM will continually monitor the safety and environmental performance of the contractor's employees and work methods. Deficiencies shall be brought to the attention of the contractor's representative on site for immediate correction. The DDC representative will maintain a written record of these deficiencies and forward them to the Construction Safety Unit on a weekly basis. Any critical deficiencies shall be immediately reported to QACS phone# (718) 391-1624 or (718) 391-1911.
- C. If the Contractor's safety performance during the project is not up to DDC standards (safety performance measure, accident/incident rate, etc.) the Director- QACS, or designee will meet with the Contractor's safety representative, the DDC project manager, the RE/CPM, or the DDC Environmental Specialist (if environmental issues are involved). The purpose of this meeting is to 1) determine the level of non-compliance; 2) explain and clarify the safety/environmental provisions; 3) agree on a future course of action to correct the deficiencies.



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- D. If the deficiencies continue to occur with inadequate attention by the contractor, this shall, among other remedies available, be grounds for default.
- E. The contractor shall inform the Construction Safety Unit and ACCO Insurance and Risk Management Unit of all medical injuries or illnesses that require doctors' treatment resulting from an on-the-job incident within 24 hours of the occurrence. The Construction Safety Unit shall also be immediately informed of all fatalities, catastrophic accidents with more than one employee hospitalized, any injuries to members of the general public and major equipment damage (e.g., property damage, equipment rollovers, loads dropped from crane). QACS shall maintain a record of all contractor injuries and illnesses during the project and provide regular reports to the Agency.
- F. The Construction Safety Unit shall be immediately notified at the start of any NYS-DOL/ NYC-COSH/ OSHA/ EPA inspections. The Director of Quality Assurance & Construction Safety shall maintain a log of all contractor OSHA/EPA inspections and citations during the project.

**IX. SAFETY PERFORMANCE EVALUATION**

The contractor's safety record, including all DDC inspection results, will be considered as part of the Contractor's performance evaluation at the conclusion of the project. Poor safety performance during the course of the project shall be a reason to rate a Contractor unsatisfactory which will be reflected in the City's Vendex system and will be considered for future procurement actions as set forth in the City's Procurement Policy Board Rules.

**(NO TEXT ON THIS PAGE)**

**CITY OF NEW YORK**  
**STANDARD CONSTRUCTION CONTRACT**

**SEPTEMBER 2008**

**(NO TEXT ON THIS PAGE)**

**CITY OF NEW YORK  
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WITNESSETH:

The parties in consideration of the mutual agreements contained herein, agree as follows:

CHAPTER I  
THE CONTRACT AND DEFINITIONS

ARTICLE 1. THE CONTRACT

1.1 Except for titles, subtitles, headings, running headlines, tables of content and indices (all of which are printed herein merely for convenience), the following, except for such portions thereof as may be specifically excluded, shall be deemed to be part of this Contract:

1.1.1 All provisions required by law to be inserted in this Contract, whether actually inserted or not;

1.1.2 The Contract Drawings and Specifications;

1.1.3 The General Conditions and Special Conditions, if any;

1.1.4 The Contract;

1.1.5 The Information for Bidders; Request for Proposals; Notice of Solicitation and Proposal For Bids; Bid or Proposal, and, if used, the Bid Booklet;

1.1.6 The Budget Director's Certificate; all Addenda issued prior to the receipt of the bids; the Notice of Award; Performance and Payment Bonds, if required; and the Notice to Proceed with the Work.

1.2 Should any conflict occur in or between the Drawings and Specifications, the Contractor shall be deemed to have estimated the most expensive way of doing the Work, unless the Contractor shall have asked for and obtained a decision in writing from the Commissioner, of the Agency that is entering into this Contract, before the submission of its bid as to what shall govern.

ARTICLE 2. DEFINITIONS

2.1 The following words and expressions, or pronouns used in their stead, shall, wherever they appear in this Contract, be construed as follows, unless a different meaning is clear from the context:

2.1.1 "Addendum" or "Addenda" shall mean the additional Contract provisions issued in writing by the Commissioner prior to the receipt of bids.

2.1.2 "Agency" shall mean a city, county, borough or other office; position, department, division, bureau, board or commission, or a corporation, institution or agency of government, the expenses of which are paid in whole or in part from the City treasury.

2.1.3 "Agency Chief Contracting Officer" (ACCO) shall mean a person delegated authority by the Commissioner to organize and supervise the procurement activity of subordinate Agency staff in conjunction with the CCPO.

2.1.4 "City" shall mean the City of New York.

2.1.5 "City Chief Procurement Officer" (CCPO) shall mean a person delegated authority by the Mayor to coordinate and oversee the procurement activity of Mayoral agency staff, including the ACCO and any offices which have oversight responsibility for the procurement of construction.

2.1.6 "Commissioner" shall mean the head of the Agency that has entered into this Contract, or his/her duly authorized representative.

2.1.7 "Comptroller" shall mean the Comptroller of the City of New York.

2.1.8 "Contract" or "Contract Documents" shall mean each of the various parts of the contract referred to in Article 1 hereof, both as a whole and severally.

2.1.9 "Contract Drawings" shall mean only those drawings specifically entitled as such and listed in the Specifications or in any Addendum, or any drawings furnished by the Commissioner, pertaining or supplemental thereto.

2.1.10 "Contract Work" shall mean everything required to be furnished and done by the Contractor by any one or more of the parts of the Contract referred to in Article 1, except Extra Work as hereinafter defined.

2.1.11 "Contractor" shall mean the entity which executed this Contract, whether a corporation, firm, partnership, joint venture, individual, or any combination thereof, and it(s), their, his/ her successors, personal representatives, executors, administrators and assigns, and any person, firm, partnership, joint venture, individual, or corporation which shall at any time be substituted in the place of the Contractor under this Contract.

2.1.12 "Days" shall mean calendar days, except where otherwise specified.

2.1.13 "Engineer" or "Architect" or "Project Manager" shall mean the person so designated in writing by the Commissioner to act as such in relation to this Contract, including a private Architect or Engineer or Project Manager, as the case may be.

2.1.14 "Engineering Audit Officer" (EAO) shall mean the person so designated by the Commissioner to perform responsible auditing functions hereunder.

2.1.15 "Extra Work" shall mean Work other than that required by the Contract at the time of award which is authorized by the Commissioner pursuant to Chapter VI of this Contract.

2.1.16 "Federal-Aid Contract" shall mean a contract in which the United States (federal) Government provides financial funding as so designated in the Information for Bidders.

2.1.17 "Final Acceptance" shall mean final written acceptance of all the Work by the Commissioner, a copy of which shall be sent to the Contractor.

2.1.18 "Final Approved Punch List" shall mean a list, approved in writing by the Engineer, specifying those items of Work to be completed by the Contractor after Substantial Completion and dates for the completion of each item of Work.

2.1.19 "Law" or "Laws" shall mean the Constitution of the State of New York, the New York City Charter, the New York City Administrative Code, a Statute of the United States or

of the State of New York, a local law of the City of New York, any ordinance, rule or regulation having the force of law, or common law.

2.1.20 **"Materialman"** shall mean any corporation, firm, partnership, joint venture, or individual, other than employees of the Contractor, who or which contracts with the Contractor or any Subcontractor, to fabricate or deliver, or who actually fabricates or delivers, plant, materials or equipment to be incorporated in the Work.

2.1.21 **"Means and Methods of Construction"** shall mean the labor, materials, temporary structures, tools, plant, and construction equipment, and the manner and time of their use, necessary to accomplish the result intended by this Contract.

2.1.22 **"Other Contractor(s)"** shall mean any Contractor (other than the entity which executed this Contract or its Subcontractors) who has a contract with the City for work on or adjacent to the building or site of the Work.

2.1.23 **"Payroll Taxes"** shall mean State Unemployment Insurance ("SUI"), Federal Unemployment Insurance (FUI) and payments pursuant to the Federal Insurance Contributions Act ("FICA").

2.1.24 **"Project"** shall mean the public improvement to which this Contract relates.

2.1.25 **"Procurement Policy Board" (PPB)** shall mean the Agency of the City of New York whose function is to establish comprehensive and consistent procurement policies and rules which shall have broad application throughout the City.

2.1.26 **"Required Quantity"** in a unit price Contract shall mean the actual quantity of any item of Work or materials which is required to be performed or furnished in order to comply with the Contract.

2.1.27 **"Resident Engineer"** shall mean the representative of the Commissioner duly designated by the Commissioner to be his/her representative at the site of the Work.

2.1.28 **"Site"** shall mean the area upon or in which the Contractor's operations are carried on, and such other areas adjacent thereto as may be designated as such by the Engineer.

2.1.29 **"Specifications"** shall mean all of the directions, requirements and standards of performance applying to the Work as hereinafter detailed and designated under the Specifications.

2.1.30 **"Subcontractor"** shall mean any person, firm or corporation, other than employees of the Contractor, who or which contracts with the Contractor or with its Subcontractors to furnish, or actually furnishes labor, or labor and materials, or labor and equipment, at the site. Wherever the word Subcontractor appears, it shall also mean Sub-Subcontractor.

2.1.31 **"Substantial Completion"** shall mean the written determination by the Commissioner that the Work required under this Contract is substantially, but not entirely, complete.

2.1.32 **"Treasurer"** shall mean the Commissioner of the Department of Finance of the City of New York.

2.1.33 **"Work"** shall mean all services required to complete the Project in accordance with the Contract Documents, including without limitation, labor, material, superintendence, management, administration, equipment, and incidentals, and shall include both Contract Work and Extra Work.

## **CHAPTER II THE WORK AND ITS PERFORMANCE**

### **ARTICLE 3. CHARACTER OF THE WORK**

3.1 Unless otherwise expressly provided in the **Contract Drawings, Specifications and Addenda**, the **Work** shall be performed in accordance with the best modern practice, utilizing, unless otherwise specified in writing, new and unused materials of standard first grade quality and workmanship and design of the highest quality, to the satisfaction of the **Commissioner**.

### **ARTICLE 4. MEANS AND METHODS OF CONSTRUCTION**

4.1 Unless otherwise expressly provided in the **Contract Drawings, Specifications and Addenda**, the **Means and Methods of Construction** shall be such as the **Contractor** may choose; subject, however, to the **Engineer's** right to reject the **Means and Methods of Construction** proposed by the **Contractor** which in the opinion of the **Engineer**:

- 4.1.1 Will constitute or create a hazard to the **Work**, or to persons or property; or
- 4.1.2 Will not produce finished **Work** in accordance with the terms of the **Contract**; or
- 4.1.3 Will be detrimental to the overall progress of the **Project**.

4.2 The **Engineer's** approval of the **Contractor's Means and Methods of Construction**, or his/her failure to exercise his/her right to reject such means or methods, shall not relieve the **Contractor** of its obligation to complete the **Work** as provided in this **Contract**; nor shall the exercise of such right to reject create a cause of action for damages.

### **ARTICLE 5. COMPLIANCE WITH LAWS**

5.1 The **Contractor** shall comply with all **Laws** applicable to this **Contract** and to the **Work** to be done hereunder.

5.2 Procurement Policy Board Rules: This **Contract** is subject to the Rules of the **PPB** ("**PPB Rules**") in effect at the time of the bid opening for this **Contract**. In the event of a conflict between the **PPB Rules** and a provision of this **Contract**, the **PPB Rules** shall take precedence.

5.3 Noise control code provisions.

5.3.1 In accordance with the provisions of Section 24-216(b) of the Administrative Code of the City ("**Administrative Code**"), Noise Abatement Contract Compliance, devices and activities which will be operated, conducted, constructed or manufactured pursuant to this **Contract** and which are subject to the provisions of the **City Noise Control Code** shall be operated, conducted, constructed, or manufactured without causing a violation of the **Administrative Code**. Such devices and activities shall incorporate advances in the art of noise control development for the kind and level of noise

emitted or produced by such devices and activities, in accordance with regulations issued by the Commissioner of the Department of Environmental Protection.

5.3.2 The Contractor agrees to comply with Section 24-219 of the Administrative Code of the City ("Administrative Code") and implementing rules codified at 15 Rules of the City of New York ("RCNY") Section 28-100 et. seq. In accordance with such provisions, the Contractor, if the Contractor is the responsible party under such regulations, shall prepare and post a Construction Noise Mitigation Plan at each work site, in which the Contractor shall certify that all construction tools and equipment have been maintained so that they operate at normal manufacturers operating specifications. If the Contractor cannot make this certification, it must have in place an Alternative Noise Mitigation Plan approved by the New York City Department of Environmental Protection. In addition, the Contractor's certified Construction Noise Mitigation Plan is subject inspection by the Department of Environmental Protection in accordance with 15 RCNY §28-101. No Contract work may take place at a worksite unless there is a Construction Noise Mitigation Plan or approved Alternative Noise Mitigation Plan in place. In addition, the Contractor shall create and implement a noise mitigation training program. Failure to comply with these requirements may result in fines and other penalties pursuant to the applicable provisions of the Administrative Code and RCNY.

5.4 Ultra Low Sulfur Diesel Fuel: In accordance with the provisions of Section 24-163.3 of the Administrative Code, the Contractor specifically agrees as follows:

5.4.1 Definitions. For purposes of this Article 5.4, the following definitions apply:

5.4.1(a) "Contractor" means any person or entity that enters into a Public Works Contract with a City agency, or any person or entity that enters into an agreement with such person or entity, to perform work or provide labor or services related to such Public Works Contract

5.4.1(b) "Motor Vehicle" means any self-propelled vehicle designed for transporting persons or property on a street or highway.

5.4.1(c) "Nonroad Engine" means an internal combustion engine (including the fuel system) that is not used in a Motor Vehicle or a vehicle used solely for competition, or that is not subject to standards promulgated under section 7411 or section 7521 of title 42 of the United States Code, except that this term shall apply to internal combustion engines used to power generators, compressors or similar equipment used in any construction program or project.

5.4.1(d) "Nonroad Vehicle" means a vehicle that is powered by a Nonroad Engine, fifty horsepower and greater, and that is not a Motor Vehicle or a vehicle used solely for competition, which shall include, but not be limited to, excavators, backhoes, cranes, compressors, generators, bulldozers and similar equipment, except that this term shall not apply to horticultural maintenance vehicles used for landscaping purposes that are powered by a Nonroad Engine of sixty-five horsepower or less and that are not used in any construction program or project.

5.4.1(e) "Public Works Contract" means a contract with a City agency for a construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge; a contract with a City agency for the preparation for any construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge; or a contract with a City agency for any final work involved in the completion of any construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge.

5.4.1(f) "Ultra Low Sulfur Diesel Fuel" means diesel fuel that has a sulfur content of no more than fifteen parts per million.

#### 5.4.2 Ultra Low Sulfur Diesel Fuel

5.4.2(a) All Contractors shall use Ultra Low Sulfur Diesel Fuel in diesel-powered Nonroad Vehicles in the performance of this Contract.

5.4.2(b) Notwithstanding the requirements of Article 5.4.2(a), Contractors may use diesel fuel that has a sulfur content of no more than thirty parts per million to fulfill the requirements of this Article 5.4.2, where the Commissioner of the New York City Department of Environmental Protection ("DEP Commissioner") has issued a determination that a sufficient quantity of Ultra Low Sulfur Diesel Fuel is not available to meet the needs of City agencies and Contractors. Any such determination shall expire after six months unless renewed.

5.4.2(c) Contractors shall not be required to comply with this Article 5.4.2 where the agency letting this contract makes a written finding, which is approved, in writing, by the DEP Commissioner, that a sufficient quantity of Ultra Low Sulfur Diesel Fuel, or diesel fuel that has a sulfur content of no more than thirty parts per million is not available to meet the requirements of Section 24-163.3 of the Administrative Code, provided that such Contractor in its fulfillment of the requirements of this Contract, to the extent practicable, shall use whatever quantity of Ultra Low Sulfur Diesel Fuel or diesel fuel that has a sulfur content of no more than thirty parts per million is available. Any finding made pursuant to this subdivision shall expire after sixty days, at which time the requirements of this Article 5.4.2 shall be in full force and effect unless the Agency renews the finding in writing and such renewal is approved by the DEP Commissioner.

5.4.2(d) Contractors may check on determinations and approvals issued by the DEP Commissioner pursuant to Section 24-163.3 of the Administrative Code, if any, at [www.dep.nyc.gov](http://www.dep.nyc.gov) or by contacting the Agency issuing this solicitation.

5.4.2(e) The requirements of this Article 5.4.2 do not apply where they are precluded by federal or State funding requirements or where the Contract is an emergency procurement.

#### 5.4.3 Best Available Technology

5.4.3(a) All Contractors shall utilize the best available technology for reducing the emission of pollutants for diesel-powered Nonroad Vehicles in the performance of this Contract. For determinations of best available technology for each type of diesel-powered Nonroad Vehicle, Contractors shall comply with the regulations of the City Department of Environmental Protection, as and when adopted, Chapter 14 of Title 15 of the Rules of the City of New York (RCNY). The Contractor shall fully document all steps in the best available technology selection process and shall furnish such documentation to the Agency or the DEP Commissioner upon request. The Contractor shall retain all documentation generated in the best available technology selection process for as long as the selected best available technology is in use.

5.4.3(b) No Contractor shall be required to replace best available technology for reducing the emission of pollutants or other authorized technology utilized for a diesel-powered Nonroad Vehicle in accordance with the provisions of this Article 5.4.3 within three years of having first utilized such technology for such vehicle.

5.4.3(c) This Article 5.4.3 shall not apply to any vehicle used to satisfy the requirements of a specific Public Works Contract for fewer than twenty calendar days.

5.4.3(d) The Contractor shall not be required to comply with this Article 5.4.3 with respect to a diesel-powered Nonroad Vehicle under the following circumstances:

5.4.3(d)(1) Where the agency makes a written finding, which is approved, in writing, by the DEP Commissioner, that the best available technology for reducing the emission of pollutants as required by those paragraphs is unavailable for such vehicle, Contractor shall use whatever technology for reducing the emission of pollutants, if any, is available and appropriate for such vehicle.

5.4.3(d)(2) Where the DEP Commissioner has issued a written waiver based upon the Contractor having demonstrated to the DEP Commissioner that the use of the best available technology for reducing the emission of pollutants might endanger the operator of such vehicle or those working near such vehicle, due to engine malfunction, Contractor shall use whatever technology for reducing the emission of pollutants, if any, is available and appropriate for such vehicle, which would not endanger the operator of such vehicle or those working near such vehicle.

5.4.3(d)(3) In determining which technology to use for the purposes of Articles 5.4.3(d)(1) and 5.4.3(d)(2) above, Contractor shall primarily consider the reduction in emissions of particulate matter and secondarily consider the reduction in emissions of nitrogen oxides associated with the use of such technology, which shall in no event result in an increase in the emissions of either such pollutant.

5.4.3(d)(4) Contractors shall submit requests for a finding or a waiver pursuant to this Article 5.4.3(d) in writing to the DEP Commissioner, with a copy to the ACCO of the Agency issuing the solicitation. Any finding or waiver made or issued pursuant to Articles 5.4.3(d)(1) and 5.4.3(d)(2) above shall expire after one hundred eighty days, at which time the requirements of Article 5.4.3(a) shall be in full force and effect unless the Agency renews the finding, in writing, and the DEP Commissioner approves such finding, in writing, or the DEP Commissioner renews the waiver, in writing.

5.4.3(e) The requirements of this Article 5.4.3 do not apply where they are precluded by federal or State funding requirements or where the contract is an emergency procurement.

5.4.4 Section 24-163 of the Administrative Code. Contractors shall comply with Section 24-163 of the Administrative Code related to the idling of the engines of motor vehicles while parking.

#### 5.4.5 Compliance

5.4.5(a) Contractor's compliance with Article 5.4 may be independently monitored. If it is determined that the Contractor has failed to comply with any provision of Article 5.4, any costs associated with any independent monitoring incurred by the City shall be reimbursed by the Contractor.

5.4.5(b) Any Contractor who violates any provision of Article 5.4, except as provided in Article 5.4.5(c) below, shall be liable for a civil penalty between the amounts of one thousand and ten thousand dollars, in addition to twice the amount of money saved by such Contractor for failure to comply with Article 5.4.

5.4.5(c) No Contractor shall make a false claim with respect to the provisions of Article 5.4 to a City agency. Where a Contractor has been found to have done so, such Contractor shall be liable for a civil penalty of twenty thousand dollars, in addition to twice the amount of money saved by such Contractor in association with having made such false claim.

**5.4.6 Reporting**

5.4.6(a) For all Public Works Contracts covered by this Article 5.4, the Contractor shall report to the Department the following information:

5.4.6(1) The total number of diesel-powered Nonroad Vehicles used to fulfill the requirements of this Public Works Contract;

5.4.6(2) The number of such Nonroad Vehicles that were powered by Ultra Low Sulfur Diesel Fuel;

5.4.6(3) The number of such Nonroad Vehicles that utilized the best available technology for reducing the emission of pollutants, including a breakdown by vehicle model and the type of technology;

5.4.6(4) The number of such Nonroad Vehicles that utilized such other authorized technology in accordance with Article 5.4.3, including a breakdown by vehicle model and the type of technology used for each such vehicle;

5.4.6(5) The locations where such Nonroad Vehicles were used; and

5.4.6(6) Where a determination is in effect pursuant to Article 5.4.2(b) or 5.4.2(c), detailed information concerning the Contractor's efforts to obtain Ultra Low Sulfur Diesel Fuel or diesel fuel that has a sulfur content of no more than thirty parts per million.

5.4.6(b) The Contractor shall submit the information required by Article 5.4.6(a) at the completion of work under the Public Works Contract and on a yearly basis no later than August 1 throughout the term of the Public Works Contract. The yearly report shall cover work performed the preceding fiscal year (July 1 - June 30).

**5.5 Ultra Low Sulfur Diesel Fuel.** In accordance with the Coordinated Construction Act for Lower Manhattan, as amended:

5.5.1 Definitions. For purposes of this Article 5.5, the following definitions apply:

5.5.1(a) "Lower Manhattan" means the area to the south of and within the following lines: a line beginning at a point where the United States pierhead line in the Hudson river as it exists now or may be extended would intersect with the southerly line of West Houston street in the borough of Manhattan extended, thence easterly along the southerly side of West Houston street to the southerly side of Houston street, thence easterly along the southerly side of Houston street to the southerly side of East Houston street, thence northeasterly along the southerly side of East Houston street to the point where it would intersect with the United States pierhead line in the East river as it exists now or may be extended, including tax lots within or immediately adjacent thereto.

5.5.1(b) "Lower Manhattan Redevelopment Project" means any project in Lower Manhattan that is funded in whole or in part with federal or State funding, or any project intended to improve transportation between Lower Manhattan and the two air terminals in the City of New York known as LaGuardia Airport and John F. Kennedy International Airport, or between Lower Manhattan and the air terminal in Newark known as Newark Liberty International Airport, and that is funded in whole or in part with federal funding.



5.5.1(c) "Nonroad Engine" means an internal combustion engine (including the fuel system) that is not used in a Motor Vehicle or a vehicle used solely for competition, or that is not subject to standards promulgated under section 7411 or section 7521 of title 42 of the United States Code, except that this term shall apply to internal combustion engines used to power generators, compressors or similar equipment used in any construction program or project.

5.5.1(d) "Nonroad Vehicle" means a vehicle that is powered by a Nonroad Engine, fifty horsepower and greater, and that is not a Motor Vehicle or a vehicle used solely for competition, which shall include, but not be limited to, excavators, backhoes, cranes, compressors, generators, bulldozers and similar equipment, except that this terms shall not apply to horticultural maintenance vehicles used for landscaping purposes that are powered by a Nonroad Engine of sixty-five horsepower or less and that are not used in any construction program or project.

5.5.1(e) "Ultra Low Sulfur Diesel Fuel" means diesel fuel that has a sulfur content of no more than fifteen parts per million.

5.5.2 Requirements. **Contractors** and **Subcontractors** are required to use only Ultra Low Sulfur Diesel Fuel to power the diesel-powered Nonroad Vehicles with engine horsepower (HP) rating of 50 HP and above used on a Lower Manhattan Redevelopment Project and, where practicable, to reduce the emission of pollutants by retrofitting such Nonroad Vehicles with oxidation catalysts, particulate filters, or technology that achieves lowest particulate matter emissions.

5.6 Pesticides. In accordance with Section 17-1209 of the Administrative Code, to the extent that the **Contractor** or any **Subcontractor** applies pesticides to any property owned or leased by the **City**, the **Contractor** and any **Subcontractor** shall comply with chapter 12 of the Administrative Code.

## ARTICLE 6. INSPECTION

6.1 During the progress of the **Work** and up to the date of **Final Acceptance**, the **Contractor** shall at all times afford the representatives of the **City** every reasonable, safe and proper facility for inspecting all **Work** done or being done at the **Site** and also for inspecting the manufacture or preparation of materials and equipment at the place of such manufacture or preparation.

6.2 The **Contractor's** obligation hereunder shall include the uncovering or taking down of finished **Work** and its restoration thereafter; provided, however, that the order to uncover, take down and restore shall be in writing, and further provided that if **Work** thus exposed proves satisfactory, and if the **Contractor** has complied with Article 6.1, such uncovering or taking down and restoration shall be considered an item of **Extra Work** to be paid for in accordance with the provisions of Article 26. If the **Work** thus exposed proves unsatisfactory, the **City** has no obligation to compensate the **Contractor** for the uncovering, taking down or restoration.

6.3 Inspection and approval by the **Commissioner**, the **Engineer**, **Project Manager**, or **Resident Engineer**, of finished **Work** or of **Work** being performed, or of materials and equipment at the place of manufacture or preparation, shall not relieve the **Contractor** of its obligation to perform the **Work** in strict accordance with the **Contract**. Finished or unfinished **Work** not found to be in strict accordance with the **Contract** shall be replaced as directed by the **Engineer**, even though such **Work** may have been previously approved and paid for. Such corrective work is **Contract Work** and shall not be deemed **Extra Work**.

6.4 Rejected **Work** and materials shall be promptly taken down and removed from the **Site**, which must at all times be kept in a reasonably clean and neat condition.

**ARTICLE 7. PROTECTION OF WORK AND OF PERSONS  
AND PROPERTY; NOTICES AND INDEMNIFICATION**

7.1 During the performance of the **Work** and up to the date of **Final Acceptance**, the **Contractor** shall be under an absolute obligation to protect the finished and unfinished **Work** against any damage, loss, injury, theft and/or vandalism and in the event of such damage, loss, injury, theft and/or vandalism, it shall promptly replace and/or repair such **Work** at the **Contractor's** sole cost and expense, as directed by the **Resident Engineer**. The obligation to deliver finished **Work** in strict accordance with the **Contract** prior to **Final Acceptance** shall be absolute and shall not be affected by the **Resident Engineer's** approval of, or failure to prohibit, the **Means and Methods of Construction** used by the **Contractor**.

7.2 During the performance of the **Work** and up to the date of **Final Acceptance**, the **Contractor** shall take all reasonable precautions to protect the persons and property of the **City** and of others from damage, loss or injury resulting from the **Contractor's**, and/or its **Subcontractors'** operations under this **Contract**. The **Contractor's** obligation to protect shall include the duty to provide, place or replace and adequately maintain at or about the **Site** suitable and sufficient protection such as lights, barricades, and enclosures.

7.3 The **Contractor** shall comply with the notification requirements set forth below in the event of any loss, damage or injury to **Work**, persons or property, or any accidents arising out of the operations of the **Contractor** and/or its **Subcontractors** under this **Contract**.

7.3.1 The **Contractor** shall make a full and complete report in writing to the **Resident Engineer** within three (3) **Days** after the occurrence.

7.3.2 The **Contractor** shall notify in writing the commercial general liability insurance carrier, and, where applicable, the worker's compensation and/or other insurance carrier, of any such loss, damage, injury, or accident, and any claim or suit arising therefrom, immediately, but not later than 20 days after such event. The **Contractor's** notice to the commercial general liability insurance carrier must expressly specify that "this notice is being given on behalf of the **City of New York** as Additional Insured as well as [the **Contractor**] as Named Insured." The **Contractor's** notice to the insurance carrier shall contain the following information: the name of the **Contractor**, the number of the **Contract**, the date of the occurrence, the location (street address and borough) of the occurrence, and the identity of the persons or things injured, damaged or lost.

7.3.2(a) At the time notice is provided to the insurance carrier(s), the **Contractor** shall provide copies of such notice to the **Comptroller** and the **Commissioner**. Notice to the **Comptroller** shall be sent to the Insurance Unit, NYC Comptroller's Office, 1 Centre Street – Room 1222, New York, New York, 10007. Notice to the **Commissioner** shall be sent to the address set forth in Schedule A of the General Conditions.

7.3.2(b) If the **Contractor** fails to provide any of the foregoing notices to any appropriate insurance carrier(s) in a timely and complete manner, the **Contractor** shall indemnify the **City** for all losses, judgments, settlements and expenses, including reasonable attorneys' fees, arising from an insurer's disclaimer of coverage citing late notice by or on behalf of the **City**.

7.4 To the fullest extent permitted by law, the **Contractor** shall indemnify, defend and hold the **City**, its employees and agents (the "Indemnitees") harmless against any and all claims (including but not limited to claims asserted by any employee of the **Contractor** and/or its **Subcontractors**) and costs and expenses of whatever kind (including but not limited to payment or reimbursement of attorneys' fees and disbursements) allegedly arising out of or in any way related to the operations of the **Contractor** and/or its **Subcontractors** in the performance of this **Contract** or from the **Contractor's** and/or its **Subcontractors'** failure to comply with any of the provisions of this **Contract** or of the **Law**. Such costs and expenses shall include all those incurred in defending the underlying claim and those incurred in connection with the enforcement of this Article 7.4 by way of cross-claim, third-party

claim, declaratory action or otherwise. The parties expressly agree that the indemnification obligation hereunder contemplates (1) full indemnity in the event of liability imposed against the Indemnitees without negligence and solely by reason of statute, operation of law or otherwise; and (2) partial indemnity in the event of any actual negligence on the part of the Indemnitees either causing or contributing to the underlying claim (in which case, indemnification will be limited to any liability imposed over and above that percentage attributable to actual fault whether by statute, by operation of law, or otherwise). Where partial indemnity is provided hereunder, all costs and expenses shall be indemnified on a pro rata basis.

7.4.1 Indemnification under Article 7.4 or any other provision of the Contract shall operate whether or not Contractor or its Subcontractors have placed and maintained the insurance specified under Article 22.

7.5 The Contractor waives all rights against the City for any damages or losses for which either is covered under any insurance required under Article 22 (whether or not such insurance is actually procured) or any other insurance applicable to the operations of the Contractor and/or its Subcontractors in the performance of this Contract.

7.6 The provisions of this Article shall not be deemed to create any new right of action in favor of third parties against the Contractor or the City.

### CHAPTER III TIME PROVISIONS

#### ARTICLE 8. COMMENCEMENT AND PROSECUTION OF THE WORK

8.1 The Contractor shall commence Work on the date specified in a written notice signed by the Commissioner. The time for performance of the Work under the Contract shall be computed from the date specified in such written notice. TIME BEING OF THE ESSENCE to the City, the Contractor shall thereafter prosecute the Work diligently, using such Means and Methods of Construction as are in accord with Article 4 herein and as will assure its completion not later than the date specified herein, or on the date to which the time for completion may be extended.

#### ARTICLE 9. PROGRESS SCHEDULES

9.1 To enable the Work to be performed in an orderly and expeditious manner, the Contractor, within fifteen (15) Days after the Notice to Proceed with this Contract, unless otherwise directed by the Engineer, shall submit to the Engineer a proposed progress schedule in the form of a bar graph or in such other form as specified by the Engineer, and monthly cash flow requirements, showing:

9.1.1 The anticipated time of commencement and completion of each of the various operations to be performed under this Contract; and

9.1.2 The sequence and interrelation of each of these operations with the others and with those of other related Contracts; and

9.1.3 The estimated time required for fabrication or delivery, or both, of all materials and equipment required for the Work; and

9.1.4 The estimated amount in dollars the Contractor will claim on a monthly basis.

9.2 The proposed schedule shall be revised as directed by the Engineer, until finally approved by the Engineer, and after such approval, subject to the provisions of Article 11, shall be strictly adhered to by the Contractor.

9.3 If the Contractor shall fail to adhere to the approved progress schedule, or to the schedule as revised pursuant to Article 11, it shall promptly adopt such other or additional Means and Methods of Construction as will make up for the time lost and will assure completion in accordance with the approved progress schedule. The approval by the City of a progress schedule which is shorter than the time allotted under the Contract shall not create any liability for the City if the approved progress schedule is not met.

9.4 The Contractor will not receive any payments until the proposed progress schedule is submitted.

#### **ARTICLE 10. REQUESTS FOR INFORMATION OR APPROVAL**

10.1 From time to time as the Work progresses and in the sequence indicated by the approved progress schedule, the Contractor shall submit to the Engineer a specific request in writing for each item of information or approval required by the Contractor. These requests shall state the latest date upon which the information or approval is actually required by the Contractor, and shall be submitted in a reasonable time in advance thereof to enable the Engineer a sufficient time to act upon such submissions, or any necessary re-submissions thereof.

10.2 The Contractor shall not have any right to an extension of time on account of delays due to the Contractor's failure to submit requests for the required information or the required approval in accordance with the above requirements.

#### **ARTICLE 11. NOTICE OF CONDITIONS CAUSING DELAY AND DOCUMENTATION OF DAMAGES CAUSED BY DELAY**

11.1 After the commencement of any condition which is causing or may cause a delay in completion of the Work, including conditions for which the Contractor may be entitled to an extension of time, the following notifications and submittals are required:

11.1.1 Within seven (7) Days after the commencement of such condition, the Contractor must notify the Engineer in writing of the existence, nature and effect of such condition upon the approved progress schedule and the Work, and must state why and in what respects, if any, the condition is causing or may cause a delay.

11.1.2 If the Contractor shall claim to be sustaining damages for delay, by reason of any act or omission of the City or its agents, it shall submit to the Commissioner within forty-five (45) Days from the time such damages are first incurred, and every thirty (30) Days thereafter for as long as such damages are incurred, verified statements of the details and the amounts of such damages, together with documentary evidence of such damages. The Contractor may submit any of the above statements within such additional time as may be granted by the Commissioner in writing upon written request therefor. Failure of the Commissioner to respond in writing to a written request for additional time within thirty (30) Days shall be deemed a denial of the request. On failure of the Contractor to fully comply with the foregoing provisions, such claims shall be deemed waived and no right to recover on such claims shall exist. Damages that the Contractor may claim in any action arising under or by reason of this Contract shall not be different from or in excess of the statements made and documentation provided pursuant to this article.

11.2 Failure of the **Contractor** to strictly comply with the requirements of Article 11.1.1 may, in the discretion of the **Commissioner**, be deemed sufficient cause to deny any extension of time on account of delay arising out of such condition. Failure of the **Contractor** to strictly comply with the requirements of Articles 11.1.1 and 11.1.2 shall be deemed a conclusive waiver by the **Contractor** of any and all claims for damages for delay arising from such condition and no right to recover on such claims shall exist.

11.3 When appropriate and directed by the **Engineer**, the progress schedule shall be revised by the **Contractor** until finally approved by the **Engineer**. The revised progress schedule must be strictly adhered to by the **Contractor**.

## **ARTICLE 12. COORDINATION WITH OTHER CONTRACTORS**

12.1 During the progress of the **Work**, **Other Contractors** may be engaged in performing other work or may be awarded other contracts for additional work on this **Project**. In that event, the **Contractor** shall coordinate the **Work** to be done hereunder with the work of such **Other Contractors** and the **Contractor** shall fully cooperate with such **Other Contractors** and carefully fit its own **Work** to that provided under other contracts as may be directed by the **Engineer**. The **Contractor** shall not commit or permit any act which will interfere with the performance of work by any **Other Contractors**.

12.2 If the **Engineer** shall determine that the **Contractor** is failing to coordinate its **Work** with the work of **Other Contractors** as the **Engineer** has directed, then the **Commissioner** shall have the right to withhold any payments otherwise due hereunder until the **Contractor** completely complies with the **Engineer's** directions.

12.3 The **Contractor** shall notify the **Engineer** in writing if any **Other Contractor** on this **Project** is failing to coordinate its work with the **Work** of this **Contract**. If the **Engineer** finds such charges to be true, the **Engineer** shall promptly issue such directions to the **Other Contractor** with respect thereto as the situation may require. The **City** shall not, however, be liable for any damages suffered by any **Other Contractor's** failure to coordinate its work with the **Work** of this **Contract** or by reason of the **Other Contractor's** failure to promptly comply with the directions so issued by the **Engineer**, or by reason of any **Other Contractor's** default in performance, it being understood that the **City** does not guarantee the responsibility or continued efficiency of any contractor. The **Contractor** agrees to make no claim against the **City** for any damages relating to or arising out of any directions issued by the **Engineer** pursuant to this article (including but not limited to the failure of any **Other Contractor** to comply or promptly comply with such directions), or the failure of the **Engineer** to issue any directions, or the failure of any **Other Contractor** to coordinate its work, or the default in performance of any **Other Contractor**.

12.4 The **Contractor** shall indemnify and hold the **City** harmless from any and all claims or judgments for damages and from costs and expenses to which the **City** may be subjected or which it may suffer or incur by reason of the **Contractor's** failure to comply with the **Engineer's** directions promptly; and the **Comptroller** shall have the right to exercise the powers reserved in Article 23 with respect to any claims which may be made for damages due to this **Contractor's** failure to comply with the **Engineer's** direction promptly. Insofar as the facts and **Law** relating to any claim would preclude the **City** from being completely indemnified by the **Contractor**, the **City** shall be partially indemnified by the **Contractor** to the fullest extent provided by **Law**.

12.5 Should the **Contractor** sustain any damage through any act or omission of any **Other Contractor** having a contract with the **City** for the performance of work upon the **Site** or of work which may be necessary to be performed for the proper prosecution of the **Work** to be performed hereunder, or through any act or omission of a **Subcontractor** of such **Contractor**, the **Contractor** shall have no claim against the **City** for such damage, but shall have a right to recover such damage from the **Other Contractor** under the provision similar to the following provisions which apply to this **Contract** and have been or will be inserted in the contracts with such **Other Contractors**:

12.5.1 Should any **Other Contractor** having or who shall hereafter have a contract with the **City** for the performance of work upon the **Site** sustain any damage through any act or omission of the **Contractor** hereunder or through any act or omission of any **Subcontractor** of the **Contractor**, the **Contractor** agrees to reimburse such **Other Contractor** for all such damages and to defend at its own expense any suit based upon such claim and if any judgment or claims (even if the allegations of the suit are without merit) against the **City** shall be allowed the **Contractor** shall pay or satisfy such judgment or claim and pay all costs and expenses in connection therewith and agrees to indemnify and hold the **City** harmless from all such claims. Insofar as the facts and **Law** relating to any claim would preclude the **City** from being completely indemnified by the **Contractor**, the **City** shall be partially indemnified by the **Contractor** to the fullest extent provided by **Law**.

12.6 The **City's** right to indemnification hereunder shall in no way be diminished, waived or discharged, by its recourse to assessment of liquidated damages as provided in Article 15, or by the exercise of any other remedy provided for by **Contract** or by **Law**.

### ARTICLE 13. EXTENSION OF TIME FOR PERFORMANCE

13.1 If performance by the **Contractor** is delayed for a reason set forth in Article 13.3, the **Contractor** may be allowed a reasonable extension of time in conformance with this article and the **PPB Rules**.

13.2 Any extension of time may be granted only by the **Commissioner** or by the Board for the Extension of Time (hereafter "Board") (as set forth below) upon written application by the **Contractor**.

13.3 Grounds for Extension: If such application is made, the **Contractor** shall be entitled to an extension of time for delay in completion of the **Work** caused solely:

13.3.1 By the acts or omissions of the **City**, its officers, agents or employees; or

13.3.2 By the act or omissions of **Other Contractors** on this **Project**; or

13.3.3 By supervening conditions entirely beyond the control of either party hereto (such as, but not limited to, acts of God or the public enemy, excessive inclement weather, war or other national emergency making performance temporarily impossible or illegal, or strikes or labor disputes not brought about by any act or omission of the **Contractor**).

13.3.4 The **Contractor** shall, however, be entitled to an extension of time for such causes only for the number of **Days** of delay which the **Commissioner** or the Board may determine to be due solely to such causes, and then only if the **Contractor** shall have strictly complied with all of the requirements of Articles 9 and 10.

13.4 The **Contractor** shall not be entitled to receive a separate extension of time for each of several causes of delay operating concurrently, but, if at all, only for the actual period of delay in completion of the **Work** as determined by the **Commissioner** or the Board, irrespective of the number of causes contributing to produce such delay. If one of several causes of delay operating concurrently results from any act, fault or omission of the **Contractor** or of its **Subcontractors** or **Materialmen**, and would of itself (irrespective of the concurrent causes) have delayed the **Work**, no extension of time will be allowed for the period of delay resulting from such act, fault or omission.

13.5 The determination made by the **Commissioner** or the Board on an application for an extension of time shall be binding and conclusive on the **Contractor**.

13.6 The granting of an application for an extension of time for causes of delay other than those herein referred to shall be entirely within the discretion of the **Commissioner** or the Board.

13.7 Permitting the **Contractor** to continue with the **Work** after the time fixed for its completion has expired, or after the time to which such completion may have been extended has expired, or the making of any payment to the **Contractor** after such time, shall in no way operate as a waiver on the part of the **City** of any of its rights under this **Contract**.

13.8 Application for Extension of Time:

13.8.1 Before the **Contractor's** time extension request will be considered, the **Contractor** shall notify the **Commissioner** of the condition which allegedly has caused or is causing the delay, and shall submit a written application to the **Commissioner** identifying:

13.8.1(a) The **Contractor**; the registration number; and **Project** description;

13.8.1(b) Liquidated damage assessment rate, as specified in the **Contract**;

13.8.1(c) Original bid amount;

13.8.1(d) The original **Contract** start date and completion date;

13.8.1(e) Any previous time extensions granted (number and duration); and

13.8.1(f) The extension of time requested.

13.8.2 In addition, the application for extension of time shall set forth in detail:

13.8.2(a) The nature of each alleged cause of delay in completing the **Work**;

13.8.2(b) The date upon which each such cause of delay began and ended and the number of **Days** attributable to each such cause;

13.8.2(c) A statement that the **Contractor** waives all claims except for those delineated in the application, and the particulars of any claims which the **Contractor** does not agree to waive. For time extensions for **Substantial Completion** and final completion payments, the application shall include a detailed statement of the dollar amounts of each element of claim item reserved; and

13.8.2(d) A statement indicating the **Contractor's** understanding that the time extension is granted only for purposes of permitting continuation of **Contract** performance and payment for **Work** performed and that the **City** retains its right to conduct an investigation and assess liquidated damages as appropriate in the future.

13.9 Analysis and Approval of Time Extensions:

13.9.1 For time extensions for partial payments, a written determination shall be made by the **Commissioner** who may, for good and sufficient cause, extend the time for the performance of the **Contract** as follows:

13.9.1(a) If the **Work** is to be completed within six (6) months, the time for performance may be extended for sixty (60) **Days**;

13.9.1(b) If the **Work** is to be completed within less than one (1) year but more than six (6) months, an extension of ninety (90) **Days** may be granted;

13.9.1(c) If the **Contract** period exceeds one (1) year, besides the extension granted in Article 13.9.1(b), an additional thirty (30) **Days** may be granted for each multiple of six (6) months involved beyond the one (1) year period; or

13.9.1(d) If exceptional circumstances exist, the **Commissioner** may extend the time for performance beyond the extensions in Articles 13.9.1(a), 13.9.1(b), and 13.9.1(c). In that event, the **Commissioner** shall file with the Mayor's Office of Contract Services a written explanation of the exceptional circumstances.

13.9.2 For extensions of time for **Substantial Completion** and final completion payments, the **Engineer**, in consultation with the **Commissioner**, shall prepare a written analysis of the delay (including a preliminary determination of the causes of delay, the beginning and end dates for each such cause of delay, and whether the delays are excusable under the terms of this **Contract**). The report shall be subject to review by and approval of the Board, which shall have authority to question its analysis and determinations and request additional facts or documentation. The report as reviewed and made final by the Board shall be made a part of the **Agency Contract** file. Neither the report itself nor anything contained therein shall operate as a waiver or release of any claim the **City** may have against the **Contractor** for either actual or liquidated damages.

13.9.3 Approval Mechanism for Time Extensions for **Substantial Completion** or Final Completion Payments: An extension shall be granted only with the approval of the Board which is comprised of the **ACCO** of the **Agency**, the Corporation Counsel and the **Comptroller**, or their authorized representatives.

13.9.4 Neither the granting of any application for an extension of time to the **Contractor** or any other **Contractor** on this **Project** nor the papers, records or reports related to any application for or grant of an extension of time or determination related thereto shall be referred to or offered in evidence by the **Contractor** or its attorneys in any action or proceeding.

13.10 No Damage for Delay: The **Contractor** agrees to make no claim for damages for delay in the performance of this **Contract** occasioned by any act or omission to act of the **City** or any of its representatives, and agrees that all it may be entitled to on account of any such delay is an extension of time to complete performance of the **Work** as provided herein.

#### **ARTICLE 14. COMPLETION AND FINAL ACCEPTANCE OF THE WORK**

14.1 Date for **Substantial Completion**: The **Contractor** shall substantially complete the **Work** within the time fixed in Schedule A of the General Conditions, or within the time to which such **Substantial Completion** may be extended.

14.2 Determining the Date of **Substantial Completion**: The **Work** will be deemed to be substantially complete when the two conditions set forth in Articles 14.2.1 and 14.2.2 have been met. The **Commissioner** will then issue a Certificate of **Substantial Completion**.

14.2.1 Inspection: The **Engineer** has inspected the **Work** and has made a written determination that it is substantially complete.



14.2.2 **Approval of Final Punch List and Date for Final Acceptance:** Following inspection of the **Work**, the **Engineer** shall furnish the **Contractor** a final punch list, specifying all items of **Work** to be completed. The **Contractor** shall then submit to the **Engineer** dates for the completion of each specified item of **Work**. Within a reasonable time after receipt, the **Engineer**, in a written notification to the **Contractor**, shall approve the **Contractor's** completion dates or, if they are unable to agree, shall establish dates for the completion of each item of **Work**. The latest completion date specified shall be the date for **Final Acceptance** of the **Work**.

14.3 **Determining the Date of Final Acceptance:** The **Work** will be accepted as final and complete as of the date of the **Engineer's** inspection if, upon such inspection, the **Engineer** finds that all items on the **Final Approved Punch List** are complete and no further **Work** remains to be done. The **Commissioner** will then issue a written determination of **Final Acceptance**.

14.4 **Request for Inspection:** Inspection of the **Work** by the **Engineer** for the purpose of **Substantial Completion** or **Final Acceptance** shall be made within ten (10) **Days** after receipt of the **Contractor's** written request therefor.

14.5 **Request for Re-inspection:** If upon inspection for the purpose of **Substantial Completion** or **Final Acceptance**, the **Engineer** determines that there are items of **Work** still to be performed, the **Contractor** shall promptly perform them and then request a re-inspection. If upon re-inspection, the **Engineer** determines that the **Work** is substantially complete or finally accepted, the date of such re-inspection shall be the date of **Substantial Completion** or **Final Acceptance**. Re-inspection by the **Engineer** shall be made within ten (10) **Days** after receipt of the **Contractor's** written request therefor.

14.6 **Initiation of Inspection by the Engineer:** If the **Contractor** does not request inspection or re-inspection of the **Work** for the purpose of **Substantial Completion** or **Final Acceptance**, the **Engineer** may initiate such inspection or re-inspection.

#### **ARTICLE 15. LIQUIDATED DAMAGES**

15.1 In the event the **Contractor** fails to complete the **Work** within the time fixed for such completion in Schedule A of the **General Conditions**, plus authorized time extensions, or if the **Contractor**, in the sole determination of the **Commissioner**, has abandoned the **Work**, the **Contractor** shall pay to the **City** the sum fixed in Schedule A of the **General Conditions**, for each and every **Day** that the time consumed in completing the **Work** exceeds the time allowed therefor; which said sum, in view of the difficulty of accurately ascertaining the loss which the **City** will suffer by reason of delay in the completion of the **Work** hereunder, is hereby fixed and agreed as the liquidated damages that the **City** will suffer by reason of such delay, and not as a penalty. This article shall apply to the **Contractor** if it is defaulted pursuant to Chapter X of this **Contract**. Neither the failure to assess liquidated damages nor the granting of any time extension shall operate as a waiver or release of any claim the **City** may have against the **Contractor** for either actual or liquidated damages.

15.2 Liquidated damages received hereunder are not intended to be nor shall they be treated as either a partial or full waiver or discharge of the **City's** right to indemnification, or the **Contractor's** obligation to indemnify the **City**, or to any other remedy provided for in this **Contract** or by **Law**.

15.3 The **Commissioner** may deduct and retain out of the monies which may become due hereunder, the amount of any such liquidated damages; and in case the amount which may become due hereunder shall be less than the amount of liquidated damages suffered by the **City**, the **Contractor** shall be liable to pay the difference.

## ARTICLE 16. OCCUPATION OR USE PRIOR TO COMPLETION

16.1 Unless otherwise provided for in the specifications, the **Commissioner** may take over, use, occupy or operate any part of the **Work** at any time prior to **Final Acceptance**, upon written notification to the **Contractor**. The **Engineer** shall inspect the part of the **Work** to be taken over, used, occupied, or operated, and will furnish the **Contractor** with a written statement of the **Work**, if any, which remains to be performed on such part. The **Contractor** shall not object to, nor interfere with, the **Commissioner's** decision to exercise the rights granted by this article. In the event the **Commissioner** takes over, uses, occupies, or operates any part of the **Work**:

16.1.1 the **Commissioner** shall issue a written determination of **Substantial Completion** with respect to such part of the **Work**;

16.1.2 the **Contractor** shall be relieved of its absolute obligation to protect such part of the unfinished **Work** in accordance with Article 7;

16.1.3 the **Contractor's** guarantee on such part of the **Work** shall begin on the date of such use by the **City**; and;

16.1.4 the **Contractor** shall be entitled to a return of so much of the amount retained in accordance with Article 21 as it relates to such part of the **Work**, except so much thereof as may be retained under Articles 24 and 44.

## CHAPTER IV SUBCONTRACTS AND ASSIGNMENTS

### ARTICLE 17. SUBCONTRACTS

17.1 The **Contractor** shall not make subcontracts totaling an amount more than the percentage of the total **Contract** price fixed in Schedule A of the **General Conditions**, without prior written permission from the **Commissioner**. All subcontracts made by the **Contractor** shall be in writing. No work may be performed by a **Subcontractor** prior to the **Contractor** entering into a written subcontract with the **Subcontractor** and complying with the provisions of this Article 17.

17.2 Before making any subcontracts, the **Contractor** shall submit a written statement to the **Commissioner** giving the name and address of the proposed **Subcontractor**, the portion of the **Work** and materials which it is to perform and furnish, the cost of the subcontract, the **VENDEX** questionnaire if required, and any other information tending to prove that the proposed **Subcontractor** has the necessary facilities, skill, integrity, past experience and financial resources to perform the **Work** in accordance with the terms and conditions of this **Contract**.

17.3 If an approved **Subcontractor** elects to subcontract any portion of its subcontract, the proposed sub-subcontract shall be submitted in the same manner as directed above.

17.4 The **Commissioner** will notify the **Contractor** in writing whether the proposed **Subcontractor** is qualified or not qualified. If the proposed **Subcontractor** is not qualified, the **Contractor** may submit another proposed **Subcontractor** unless the **Contractor** decides to do the **Work**. No **Subcontractor** shall be permitted on the **Site** unless approved.

17.5 Before entering into any subcontract hereunder, the **Contractor** shall inform the **Subcontractor** fully and completely of all provisions and requirements of this **Contract** relating either directly or indirectly to the **Work** to be performed and the materials to be furnished under such subcontract, and every such **Subcontractor** shall

expressly stipulate that all labor performed and materials furnished by the **Subcontractor** shall strictly comply with the requirements of this **Contract**.

17.6 Documents given to a **Subcontractor** for the purpose of soliciting the **Subcontractor's** bid shall include either a copy of the bid cover or a separate information sheet setting forth the **Project** name, the **Contract** number (if available), the **Agency** (as noted in Article 2.1.6), and the **Project's** location.

17.7 The **Commissioner's** approval of a **Subcontractor** shall not relieve the **Contractor** of any of its responsibilities, duties and liabilities hereunder. The **Contractor** shall be solely responsible to the **City** for the acts or defaults of its **Subcontractor** and of such **Subcontractor's** officers, agents and employees, each of whom shall, for this purpose, be deemed to be the agent or employee of the **Contractor** to the extent of its subcontract.

17.8 The **Contractor** shall be responsible for ensuring that all **Subcontractors** performing **Work** at the **Site** have either their own insurance coverage or are covered by the **Contractor's** insurance as required by Article 22.

17.9 The **Contractor** shall promptly, upon request, file with the **Engineer** a conformed copy of the subcontract and its cost. The subcontract shall provide the following:

17.9.1 **Payment to Subcontractors:** The agreement between the **Contractor** and its **Subcontractors** shall contain the same terms and conditions as to method of payment for **Work**, labor and materials, and as to retained percentages, as are contained in this **Contract**.

17.9.2 **Prevailing Rate of Wages:** The agreement between the **Contractor** and its **Subcontractors** shall include the prevailing wage rates and supplemental benefits to be paid in accordance with Labor Law Section 220.

17.9.3 **Section 6-123 of the Administrative Code:** Pursuant to the requirements of Section 6-123 of the Administrative Code, every agreement between the **Contractor** and its **Subcontractors** in excess of \$50,000 shall include a provision that the **Subcontractor** shall not engage in any unlawful discriminatory practice as defined in Title VIII of the Administrative Code (Section 8-101 et. seq.).

17.10 The **Commissioner** may deduct from the amounts certified under this **Contract** to be due to the **Contractor**, the sum or sums due and owing from the **Contractor** to the **Subcontractors** according to the terms of the said subcontracts, and in case of dispute between the **Contractor** and its **Subcontractor**, or **Subcontractors**, as to the amount due and owing, the **Commissioner** may deduct and withhold from the amounts certified under this **Contract** to be due to the **Contractor** such sum or sums as may be claimed by such **Subcontractor**, or **Subcontractors**, in a sworn affidavit, to be due and owing until such time as such claim or claims shall have been finally adjusted.

17.11 On **Contracts** where 100% performance bonds and payment bonds are executed, the **Contractor** shall include on each requisition for payment the following data: **Subcontractor's** name, value of the subcontract, total amount previously paid to **Subcontractor** for **Work** previously requisitioned, and the amount, including retainage, to be paid to the **Subcontractor** for **Work** included in the requisition.

17.12 On **Contracts** where performance bonds and payment bonds are not executed, the **Contractor** shall include with each requisition for payment submitted hereunder, a signed statement from each and every **Subcontractor** and/or **Materialman** for whom payment is requested in such requisition. Such signed statement shall be on the letterhead of the **Subcontractor** and/or **Materialman** for whom payment is requested and shall (i) verify that such **Subcontractor** and/or **Materialman** has been paid in full for all work performed and/or material supplied to date, exclusive of any amount retained and any amount included on the current requisition, and (ii) state the total amount of retainage to date, exclusive of any amount retained on the current requisition.

## ARTICLE 18. ASSIGNMENTS

18.1 The Contractor shall not assign, transfer, convey or otherwise dispose of this Contract, or the right to execute it, or the right, title or interest in or to it or any part thereof, or assign, by power of attorney or otherwise any of the monies due or to become due under this Contract, unless the previous written consent of the Commissioner shall first be obtained thereto, and the giving of any such consent to a particular assignment shall not dispense with the necessity of such consent to any further or other assignments.

18.2 Such assignment, transfer, or conveyance shall not be valid until filed in the office of the Commissioner and the Treasurer, with the written consent of the Commissioner endorsed thereon or attached thereto.

18.3 Failure to obtain the previous written consent of the Commissioner to such an assignment, transfer or conveyance, may result in the revocation and annulment of this Contract. The City shall thereupon be relieved and discharged from any further liability to the Contractor, its assignees, transferees or sublessees, who shall forfeit and lose all monies therefor earned under the Contract, except so much as may be required to pay the Contractor's employees.

18.4 The provisions of this clause shall not hinder, prevent, or affect an assignment by the Contractor for the benefit of its creditors made pursuant to the Laws of the State of New York.

18.5 This Contract may be assigned by the City to any corporation, agency or instrumentality having authority to accept such assignment.

## **CHAPTER V CONTRACTOR'S SECURITY AND GUARANTY**

### ARTICLE 19. SECURITY DEPOSIT

19.1 The bid deposit, if required, shall be retained by the Comptroller as security for the Contractor's faithful performance of the Contract and will be returned to the Contractor only after the sum retained under Article 21 equals the amount of the bid deposit, subject to the other provisions of this Contract. If performance and payment bonds are required, any bid security posted shall be returned within a reasonable time after posting of such bonds and execution of this Contract by the City. When no partial payments are provided, the bid deposit will be released when final payment is certified to the Comptroller for payment.

19.2 If the Contractor is declared in default under Article 48 prior to the return of the deposit, or if any claim is made such as referred to in Article 23, the amount of such deposit, or so much thereof as the Comptroller may deem necessary, may be retained and then applied by the Comptroller:

19.2.1 To compensate the City for any expense, loss or damage suffered or incurred by reason of or resulting from such default, including the cost of re-letting and liquidated damages; or

19.2.2 To indemnify the City against any and all claims.

### ARTICLE 20. PAYMENT GUARANTEE

20.1 On Contracts where 100% performance bonds and payment bonds are executed, this article does not apply.

20.2 In the event the terms of this **Contract** do not require the **Contractor** to provide a payment bond, the **City** shall, in accordance with the terms of this article, guarantee payment of all lawful demands for:

20.2.1 Wages and compensation for labor performed and/or services rendered; and

20.2.2 Materials, equipment, and supplies provided, whether incorporated into the **Work** or not, when demands have been filed with the **City** as provided hereinafter by any person, firm, or corporation which furnished labor, material, equipment, supplies, or any combination thereof, in connection with the **Work** performed hereunder (hereinafter referred to as the "beneficiary") at the direction of the **City** or the **Contractor**.

20.3 The provisions of Article 20.2 are subject to the following limitations and conditions:

20.3.1 The guarantee is made for the benefit of all beneficiaries as defined in Article 20.2 provided that those beneficiaries strictly adhere to the terms and conditions of this Article 20.3.

20.3.2 Nothing in this article shall prevent a beneficiary providing labor, services or material for the **Work** from suing the **Contractor** for any amounts due and owing the beneficiary by the **Contractor**.

20.3.3 All demands made against the **City** pursuant to this article shall be made within four (4) months from the date payment is due on the invoice or invoices submitted by the beneficiary to the **Contractor** for labor or **Work** done or for materials or supplies delivered, or, if the demand is for wages, four (4) months from the date the wages were due to be paid to the beneficiary.

20.3.4 All demands made against the **City** by such beneficiary shall be presented to the **Engineer** along with all written documentation concerning the demand which the **Engineer** deems appropriate or necessary, which may include, but shall not be limited to: the subcontract; any invoices presented to the **Contractor** for payment; the notarized statement of the beneficiary that the demand is due and payable, that a request for payment has been made of the **Contractor** and that the demand has not been paid by the **Contractor** within the time allowed for such payment by the subcontract; and copies of any correspondence between the beneficiary and the **Contractor** concerning such demand. The **City** shall notify the **Contractor** that a demand has been made. The **Contractor** shall inform the **City** of any defenses to the demand, and shall forward to the **City** any documents the **City** requests concerning the demand.

20.3.5 The **City** shall make payment only if, after considering all defenses presented by the **Contractor**, it determines that the payment is due and owing to the beneficiary making the demand.

20.3.6 The **City** will not initiate the payment process of this article or make payment on a demand where the beneficiary making the demand has filed a lien against the **Work** or otherwise sues the **City** prior to receiving a written notice from the **City** that it will not pay the demand.

20.3.7 No beneficiary shall be entitled to interest from the **City**, or to any other costs, including, but not limited to, attorney's fees.

20.4 Upon the receipt by the **City** of a demand pursuant to this article, the **City** may withhold from any payment otherwise due and owing to the **Contractor** under this **Contract** an amount sufficient to satisfy the demand.

20.4.1 In the event the **City** determines that the demand is valid, the **City** shall notify the **Contractor** of such determination and the amount thereof, and direct the **Contractor** to immediately pay such amount to the beneficiary. In the event the **Contractor**, within seven (7) days of receipt of such notification from the **City**, fails to pay the beneficiary, such failure shall constitute an automatic

and irrevocable assignment of payment by the **Contractor** to the beneficiary for the amount of the demand determined by the **City** to be valid. The **Contractor**, without further notification or other process, hereby gives its unconditional consent to such assignment of payment to the beneficiary and authorizes the **City**, on its behalf, to take all necessary actions to implement such assignment of payment, including without limitation the execution of any instrument or documentation necessary to effectuate such assignment.

In the event that the amount otherwise due and owing to the **Contractor** by the **City** is insufficient to satisfy such demand, the **City** may, at its option, require payment from the **Contractor** of an amount sufficient to cover such demand and exercise any other right to require or recover payment which the **City** may have under **Law** or **Contract**.

20.4.2 In the event the **City** determines that the demand is invalid, any amount withheld pending the **City's** review of such demand shall be paid to the **Contractor**; provided, however, no lien has been filed. In the event a lien has been filed, the terms and conditions set forth in Article 23 shall apply.

20.5 The provisions of this article shall not prevent the **City** and the **Contractor** from resolving disputes in accordance with the **PPB Rules**, where applicable.

20.6 In the event the **City** determines that the beneficiary is entitled to payment pursuant to this article, such determination and any defenses and counterclaims raised by the **Contractor** shall be taken into account in evaluating the **Contractor's** performance.

20.7 Nothing in this article shall relieve the **Contractor** of the obligation to pay the claims of all persons with valid and lawful claims against the **Contractor** relating to the **Work**.

20.8 The **Contractor** shall not require any performance, payment or other bonds of any **Subcontractor** if this **Contract** does not require such bonds of the **Contractor**.

20.9 The payment guarantee made pursuant to this article shall be construed in a manner consistent with Section 137 of the State Finance Law and shall afford to persons furnishing labor or materials to the **Contractor** or his **Subcontractors** in the prosecution of the **Work** under this **Contract** all of the rights and remedies afforded to such persons by such section, including but not limited to, the right to commence an action against the **City** on the payment guarantee provided by this article within the one year limitations period set forth in Section 137(4)(b).

#### **ARTICLE 21. RETAINED PERCENTAGE**

21.1 If this **Contract** requires 100% performance and payment security, then as further security for the faithful performance of this **Contract**, the **Commissioner** shall deduct, and retain until the substantial completion of the **Work**, five (5%) percent of the value of **Work** certified for payment in each partial payment voucher.

21.2 If this **Contract** does not require 100% performance and payment security and if the price for which this **Contract** was awarded does not exceed \$500,000, then as further security for the faithful performance of this **Contract**, the **Commissioner** shall deduct, and retain until the substantial completion of the **Work**, ten (10%) percent of the value of **Work** certified for payment in each partial payment voucher.

21.3 If this **Contract** does not require 100% performance and payment security and if the price for which this **Contract** was awarded exceeds \$500,000, then as further security for the faithful performance of this **Contract**, the **Commissioner** shall deduct, and retain until the substantial completion of the **Work**, up to ten (10%) percent of the value of **Work** certified for payment in each partial payment voucher. The percentage to be retained is set forth in Schedule A of the General Conditions.

## ARTICLE 22. INSURANCE

22.1 Types of Insurance: From the date the **Contractor** is required to provide Proof of Insurance pursuant to Article 22.3.1 through the date of completion of all required **Work** (including punch list work as certified in writing by the **Resident Engineer**), the **Contractor** shall effect and maintain the following types of insurance if and as indicated in Schedule A of the General Conditions (with the minimum limits and special conditions specified in Schedule A). Such insurance shall be issued by companies that meet the standards of Article 22.2.1 and shall be primary (and non-contributing) to any insurance or self-insurance maintained by the **City**.

22.1.1 Commercial General Liability Insurance: The **Contractor** shall provide a Commercial General Liability Insurance policy covering the **Contractor** as Named Insured and the **City** as an Additional Insured. This policy shall protect the **City** and the **Contractor** from claims for property damage and/or bodily injury, including death, which may arise from any of the operations under this **Contract**. Coverage under this policy shall be at least as broad as that provided by ISO Form CG 0001 (10/01 ed.), must be "occurrence" based rather than "claims-made", and shall include, without limitation, the following types of coverage: Premises Operations, Products and Completed Operations, Contractual Liability (including the tort liability of another assumed in a contract), Broad Form Property Damage, Medical Payments, Independent Contractors, Personal Injury (Contractual Exclusion deleted), Explosion, Collapse and Underground Property, and Incidental Malpractice. If such insurance contains an aggregate limit, it shall apply separately to this **Project**.

22.1.1(a) Such Commercial General Liability Insurance shall name the **City**, together with its officials and employees, as an Additional Insured under this policy. Coverage for the **City** as Additional Insured shall specifically include the **City's** officials and employees, and shall be at least as broad as either Insurance Services Office ("ISO") Form CG 20 10 (07/04 ed.) or Form CG 20 33 (07/04 ed.) and shall provide completed operations coverage at least as broad as CG 20 37 (07/04 ed.).

22.1.1(b) If this **Contract** is equal to or greater than Ten Million Dollars (\$10,000,000.00), each Commercial General Liability Insurance policy provided shall contain each of the following endorsements:

22.1.1(b)(i) The Duties in the Event of Occurrence, Claim or Suit condition of the policy is amended per the following: If and insofar as knowledge of an "occurrence", "claim", or "suit" is relevant to the **City of New York** as Additional Insured under this policy, such knowledge by an agent, servant, official, or employee of the **City of New York** will not be considered knowledge on the part of the **City of New York** of the "occurrence", "claim", or "suit" unless the following position shall have received notice thereof from such agent, servant, official, or employee: Insurance Claims Specialist, Affirmative Litigation Division, New York City Law Department; and

22.1.1(b)(ii) Any notice, demand or other writing by or on behalf of the Named Insured to the Insurance Company shall also be deemed to be a notice, demand, or other writing on behalf of the **City** as Additional Insured. Any response by the Insurance Company to such notice, demand or other writing shall be addressed to Named Insured and to the **City** at the following addresses: Insurance Unit, NYC Comptroller's Office, 1 Centre Street - Room 1222, New York, N.Y. 10007; and Insurance Claims Specialist, Affirmative Litigation Division, New York City Law Department, 100 Church Street, New York, NY 10007.

22.1.2 **Workers' Compensation Insurance and Disability Benefits Insurance:** The **Contractor** shall provide, and ensure that each **Subcontractor** provides, Workers Compensation Insurance and Disability Benefits Insurance in accordance with the Laws of the State of New York on behalf of all employees providing services under this **Contract** (except for those qualifying for insurance pursuant to Article 22.1.4).

22.1.3 **Employers' Liability Insurance:** The **Contractor** shall provide, and ensure that each **Subcontractor** provides, Employers Liability Insurance affording compensation due to bodily injury by accident or disease sustained by any employee arising out of and in the course of his/her employment under this **Contract** (except for those qualifying for insurance pursuant to Article 22.1.4).

22.1.4 **United States Longshoremen's and Harbor Workers Act and/or Jones Act Insurance:** The **Contractor** shall provide, and ensure that each **Subcontractor** provides, insurance in accordance with the United States Longshoremen's and Harbor Workers Act and/or the Jones Act, on behalf of all qualifying employees providing services under this **Contract**.

22.1.5 **Builders' Risk Insurance:** The **Contractor** shall provide a Builders' Risk Insurance policy covering all risks in completed value form. Such policy shall cover the total value of the **Work** performed in accordance with Schedule A, as well as the value of any equipment, supplies and/or material for the **Project** that may be in storage (on or off the **Site**) or in transit. The policy shall cover the cost of removing debris, including demolition as may be legally necessary by the operation of any law, ordinance or regulation, and for loss or damage to any owned, borrowed, leased or rented capital equipment, tools, including tools of their agents and employees, staging towers and forms, and property of the **City** held in their care, custody and/or control. Such policy shall name as insureds the **City**, the **Contractor**, and its **Subcontractors**. The Builders' Risk policy shall contain the following endorsements:

22.1.5(a) The **City** and the **Contractor** shall be named as loss payee for the **Work** in order of precedence, as their interest may appear; and

22.1.5(b) In the event the loss occurs at an occupied facility, the policy shall permit occupancy without the consent of the Insurance Company; and

22.1.5(c) In the event that the insurance policy has been issued by a mutual insurance company, the following language shall be included: "The **City** of New York is not liable for any premium or assessment under this policy of insurance. The First Named Insured is solely liable therefor."

22.1.6 **Comprehensive Business Automobile Liability Insurance:** The **Contractor** shall provide a Comprehensive Business Automobile Liability policy for liability arising out of any owned, non-owned, leased and hired vehicles to be used in connection with this **Contract**. Coverage should be at least as broad as ISO Form CA0001, ed. 10/01.

22.1.6(a) If autos are used for transporting hazardous materials, the Automobile Liability Insurance shall be endorsed to provide pollution liability broadened coverage for covered vehicles (endorsement CA 99 48) as well as proof of MCS 90.

22.1.7 **Pollution/Environmental Liability Insurance:** The **Contractor** shall provide Pollution/Environmental Liability Insurance covering bodily injury and property damage, including loss of use of damaged property or of property that has not been physically injured. Such insurance shall provide coverage for actual, alleged or threatened emission, discharge, dispersal, seepage, release or escape of pollutants (including asbestos), including any loss, cost or expense incurred as a



result of any cleanup of pollutants (including asbestos) or in the investigation, settlement or defense of any claim, suit, or proceedings against the City arising from the operations under this Contract. Such insurance shall be in the Contractor's name and list the City as an Additional Insured. Coverage for the City as Additional Insured shall specifically include the City's officials and employees, and shall be at least as broad as provided to the Contractor for this Project.

22.1.7(a) If such coverage is written on a claims-made policy, such policy shall have a retroactive date on or before the effective date of this Contract, and continuous coverage shall be maintained, or an extended discovery period exercised, for a period of not less than three years from the time the Work under this Contract is completed.

#### 22.1.8 Marine Insurance:

22.1.8(a) Marine Protection and Indemnity Insurance: The Contractor shall provide a Marine Protection and Indemnity policy with coverage at least as broad as policy form SP-23. The policy shall provide coverage for the Contractor and for the City (together with its officials and employees) as Additional Insured for bodily injury and property damage arising from marine operations under this Contract including injury or death of crew members (if not fully provided through other insurance), damage to piers, wharves and other fixed or movable structures and loss of or damage to any other vessel or craft, or to property on such other vessel or craft, not caused by collision.

22.1.8(b) Ship Repairers Legal Liability Insurance: The Contractor shall provide a Ship Repairers Legal Liability Insurance policy covering all repair operations under this Contract at or in the vicinity of a designated approved port or yard under this Contract. The policy shall provide coverage from the point of acceptance of care custody and control of any City vessel. The policy shall provide Bailee Coverage for any City vessel in the Contractor's care, custody and control and coverage for damage to property of others caused by any City vessel in the Contractor's care custody and control.

22.1.8(c) Collision Liability/Towers Liability Insurance: The Contractor shall provide a Collision Liability/Towers Liability Insurance policy with coverage for the Contractor and for the City (together with its officials and employees) as Additional Insured at least as broad as the American Institute Tug Form (08/01/76) for all tugs used under this Contract and Collision Liability per American Institute Hull Clauses (6/2/77).

22.1.8(d) Marine Pollution Liability Insurance: The Contractor shall provide a Marine Pollution Liability Insurance policy covering itself as Named Insured and the City (together with its officials and employees) as Additional Insured for liability arising from the discharge or substantial threat of a discharge of oil, or from the release or threatened release of a hazardous substance including injury to, or economic losses resulting from, the destruction of or damage to real property, personal property or natural resources. Coverage under this policy shall be at least as broad as that provided by Water Quality Insurance Syndicate Form (09/98 ed.).

22.1.9 The Contractor shall provide such other types of insurance, at such minimum limits, as are specified in Schedule A of the General Conditions.

#### 22.2 General Requirements for Insurance Policies:

22.2.1 All required insurance policies shall be maintained with companies that may lawfully issue the required policy and have an A.M. Best rating of at least A- VII or a Standard and Poor's rating of at least AA, unless prior written approval is obtained from the Mayor's Office of Operations.

22.2.2 The **Contractor** shall be solely responsible for the payment of all premiums for all required policies and all deductibles and self-insured retentions to which such policies are subject, whether or not the City is an insured under the policy.

22.2.3 In his/her sole discretion, the **Commissioner** may, subject to the approval of the **Comptroller** and the Corporation Counsel, accept Letters of Credit and/or custodial accounts in lieu of required insurance.

22.2.4 The City's limits of coverage for all types of insurance required pursuant to Schedule A of the General Conditions shall be the greater of (i) the minimum limits set forth in Schedule A or (ii) the limits provided to the **Contractor** as Named Insured under all primary, excess and umbrella policies of that type of coverage.

22.2.5 All required insurance policies, except for insurance required pursuant to Sections 22.1.2, 22.1.3, and 22.1.4, shall contain the following endorsement: "This policy may not be cancelled, terminated, modified or changed unless thirty (30) days prior written notice is sent by the Insurance Company to the Named Insured (or First Named Insured, as appropriate), the **Commissioner**, and to the **Comptroller**, attn: Office of Contract Administration, Municipal Building, Room 1005, New York, New York 10007."

### 22.3 Proof of Insurance:

22.3.1 Within ten (10) **Days** of award, the **Contractor** shall, for each policy required under this **Contract**, except for Workers Compensation Insurance and Disability Benefits Insurance and builders' risk insurance, file a Certificate of Insurance with the **Commissioner** pursuant to Article 22.6. For Workers' Compensation Insurance and Disability Benefits Insurance, the **Contractor** shall file proof of insurance in a form acceptable to the **Commissioner** within ten (10) **Days** of award. Accord forms are not acceptable proof of workers' compensation coverage. The **Contractor** must submit one of the following forms to the Department, or another form acceptable to the Department: C-105.2 -- Certificate of Workers' Compensation Insurance, or U-26.3 -- State Insurance Fund Certificate of Workers' Compensation Insurance. For builders' risk insurance, the **Contractor** shall file a Certificate of Insurance with the **Commissioner** at the direction of the **Commissioner** but in any event no later than ten (10) **Days** prior to commencement of the **Work**.

22.3.1(a) All Certificates of Insurance shall be in a form acceptable to the City and shall certify the issuance and effectiveness of the types of insurance specified in Schedule A, each with the specified minimum limits and evidence of the compliance with the Additional Insured or Named Insured provisions of Articles 22.1.1(a), 22.1.5, 22.1.7, and 22.1.8, as applicable. All Certificate(s) of Insurance shall be accompanied by either a duly executed "Certification by Broker" in the form contained in Part II of Schedule A or completed copies of all policies referenced in the Certificate of Insurance. In the absence of completed policies, binders are acceptable.

22.3.2 Certificates of Insurance confirming renewals of insurance shall be submitted to the **Commissioner** prior to the expiration date of coverage of policies required under this **Contract**. Such Certificates of Insurance shall comply with the requirements of Article 22.3.1(a) and, if applicable, Article 22.3.1(b).

22.3.3 The **Contractor** shall be obligated to provide the City with a copy of any policy required by this Article 22 upon the demand for such policy by the **Commissioner** or the New York City Law Department.

#### 22.4 Operations of the Contractor:

22.4.1 The **Contractor** shall not commence the **Work** unless and until all required certificates have been submitted to and accepted by the **Commissioner**. Acceptance by the **Commissioner** of a certificate hereunder does not excuse the **Contractor** from securing a policy consistent with all provisions of this Article or of any liability arising from its failure to do so.

22.4.2 The **Contractor** shall be responsible for providing continuous insurance coverage in the manner, form, and limits required by this **Contract** and shall be authorized to perform **Work** only during the effective period of all required coverage.

22.4.3 In the event that any of the required insurance policies lapse, are revoked, suspended or otherwise terminated, for whatever cause, the **Contractor** shall immediately stop all **Work**, and shall not recommence **Work** until authorized in writing to do so by the **Commissioner**. Upon quitting the **Site**, except as otherwise directed by the **Commissioner**, the **Contractor** shall leave all plant, materials, equipment, tools and supplies on the **Site**. **Contract** time shall continue to run during such periods and no extensions of time will be granted. The **Commissioner** may also declare the **Contractor** in default for failure to maintain required insurance.

22.5 The **City** as Additional Insured or Loss Payee under **Subcontractors'** Insurance. The **Contractor** shall ensure that each **Subcontractor** name the **City** as Additional Insured or loss payee, as appropriate, under all policies covering **Work** performed by such **Subcontractor** under this **Contract**. The **City's** coverage as Additional Insured shall include the **City's** officials and employees and be at least as broad as that provided to the **Contractor**. The foregoing requirements shall not apply to insurance provided pursuant to Articles 22.1.2, 22.1.3, and 22.1.4.

22.6 Wherever reference is made in Article 7 or this Article 22 to documents to be sent to the **Commissioner** (e.g., notices, filings, or submissions), such documents shall be sent to the address set forth in Schedule A of the General Conditions. In the event no address is set forth in Schedule A, such documents are to be sent to the **Commissioner's** address as provided elsewhere in this **Contract**.

22.7 If the **Contract** involves disposal of hazardous materials, the **Contractor** shall dispose such materials only at sites where the disposal site operator maintains Pollution Legal Liability Insurance in the amount of at least \$2,000,000 for losses arising from such disposal site.

22.8 **Materiality/Non-Waiver:** The **Contractor's** failure to secure policy(ies) in complete conformity with this Article, or to give the Insurance Company timely notice of any sort required in this **Contract** on behalf of the **City**, or to do anything else required by this Article shall constitute a material breach of this **Contract**. Such breach shall not be waived or otherwise excused by any action or inaction by the **City** at any time.

22.9 **Other Remedies:** Insurance coverage in the minimum amounts provided for herein shall not relieve the **Contractor** or **Subcontractors** of any liability under this **Contract**, nor shall it preclude the **City** from exercising any rights or taking such other actions as are available to it under any other provisions of this **Contract** or **Law**.

## ARTICLE 23. MONEY RETAINED AGAINST CLAIMS

23.1 If any claim shall be made by any person or entity (including **Other Contractors** with the **City** on this **Project**) against the **City** or against the **Contractor** and the **City** for any of the following:

(a) An alleged loss, damage, injury, theft or vandalism of any of the kinds referred to in Articles 7 and 12, plus the reasonable costs of defending the **City**, which in the opinion of the **Comptroller** may not be paid by an insurance company (for any reason whatsoever); or

(b) An infringement of copyrights, patents or use of patented articles, tools, etc., as referred to in Article 57; or

(c) Damage claimed to have been caused directly or indirectly by the failure of the **Contractor** to perform the **Work** in strict accordance with this **Contract**,

the amount of such claim, or so much thereof as the **Comptroller** may deem necessary, may be withheld by the **Comptroller**, as security against such claim, from any money due hereunder. The **Comptroller**, in his/her discretion, may permit the **Contractor** to substitute other satisfactory security in lieu of the monies so withheld.

23.2 If an action on such claim is timely commenced and the liability of the **City**, or the **Contractor**, or both, shall have been established therein by a final judgment of a Court of competent jurisdiction, or if such claim shall have been admitted by the **Contractor** to be valid, the **Comptroller** shall pay such judgment or admitted claim out of the monies retained by the **Comptroller** under the provisions of this article, and return the balance, if any, without interest, to the **Contractor**.

23.3 Liens: If at any time before or within thirty (30) **Days** after the **Work** is completed and accepted by the **City**, any persons claiming to have performed any labor or furnished any material toward the performance or completion of this **Contract**, shall file with the **Agency** and with the **Treasurer** any notice as is described in the **New York State Lien Law**, or any act of the **Legislature of the State of New York**, the **City** shall retain, from the monies due or to become due under this **Contract**, so much of such monies as shall be sufficient to pay the amount claimed in said notice, together with the reasonable costs of any action or actions brought or that may be brought to enforce such lien. The monies so retained shall be held by the **City** until the lien thereon created by the said act and the filing of the said notice shall be discharged pursuant to **Law**.

## ARTICLE 24. MAINTENANCE AND GUARANTY

24.1 The **Contractor** shall promptly repair, replace, restore or rebuild, as the **Commissioner** may determine, any finished **Work** in which defects of materials or workmanship may appear or to which damage may occur because of such defects, during the one (1) year period subsequent to the date of **Substantial Completion** (or use and occupancy in accordance with Article 16), except where other periods of maintenance and guarantee are provided for.

24.2 As security for the faithful performance of its obligations hereunder, the **Contractor**, upon filing its requisition for payment on **Substantial Completion**, shall deposit with the **Commissioner** a sum equal to one (1%) percent of the price (or the amount fixed in Schedule A of the **General Conditions**) in cash or certified check upon a state or national bank and trust company or a check of such bank and trust company signed by a duly authorized officer thereof and drawn to the order of the **Comptroller**, or obligations of the **City**, which the **Comptroller** may approve as of equal value with the sum so required.

24.3 In lieu of the above, the **Contractor** may make such security payment to the **City** by authorizing the **Commissioner** in writing to deduct the amount from the **Substantial Completion** payment which shall be deemed the deposit required above.

24.4 If the **Contractor** has faithfully performed all of its obligations hereunder the **Commissioner** shall so certify to the **Comptroller** within five (5) **Days** after the expiration of one (1) year from the date of **Substantial Completion** and acceptance of the **Work** or within thirty (30) **Days** after the expiration of the guarantee period fixed in the **Specifications**. The security payment shall be repaid to the **Contractor** without interest within thirty (30) **Days** after certification by the **Commissioner** to the **Comptroller** that the **Contractor** has faithfully performed all of its obligations hereunder.

24.5 Notice by the **Commissioner** to the **Contractor** to repair, replace, rebuild or restore such defective or damaged **Work** shall be timely, pursuant to this article, if given not later than ten (10) **Days** subsequent to the expiration of the one (1) year period or other periods provided for herein.

24.6 If the **Contractor** shall fail to repair, replace, rebuild or restore such defective or damaged **Work** promptly after receiving such notice, the **Commissioner** shall have the right to have the **Work** done by others in the same manner as provided for in the completion of a defaulted **Contract**, under Article 51.

24.7 If the security payment so deposited is insufficient to cover the cost of such **Work**, the **Contractor** shall be liable to pay such deficiency on demand by the **Commissioner**.

24.8 The **Engineer's** certificate setting forth the fair and reasonable cost of repairing, replacing, rebuilding or restoring any damaged or defective **Work** when performed by one other than the **Contractor**, shall be binding and conclusive upon the **Contractor** as to the amount thereof.

24.9 The **Contractor** shall obtain all manufacturers' warranties and guaranties of all equipment and materials required by this **Contract** in the name of the **City** and shall deliver same to the **Commissioner**. All of the **City's** rights and title and interest in and to said manufacturers' warranties and guaranties may be assigned by the **City** to any subsequent purchasers or lessees of the premises.

## CHAPTER VI CHANGES, EXTRA WORK AND DOCUMENTATION OF CLAIM

### ARTICLE 25. CHANGES

25.1 Changes may be made to this **Contract** only as duly authorized in writing by the **Commissioner** in accordance with the **Laws** and this **Contract**. All such changes, modifications and amendments will become a part of the **Contract**. **Work** so ordered shall be performed by the **Contractor**.

25.2 **Contract** changes will be made only for **Work** necessary to complete the **Work** included in the original scope of the **Contract** and/or for non-material changes to the scope of the **Contract**. Changes are not permitted for any material alteration in the scope of **Work** in the **Contract**.

25.3 The **Contractor** shall be entitled to a price adjustment for **Extra Work** performed pursuant to a written change order. Adjustments to price shall be computed in one or more of the following ways:

25.3.1 By applicable unit prices specified in the **Contract**; and/or

25.3.2 By agreement of a fixed price; and/or

25.3.3 By time and material records; and/or

25.3.4 In any other manner approved by the **CCPO**.

25.4 All payments for change orders are subject to pre-audit by the **Engineering Audit Officer** and may be post-audited by the **Comptroller** and/or the **Department**.

## **ARTICLE 26. METHODS OF PAYMENT FOR OVERRUNS AND EXTRA WORK**

26.1 **Overrun of Unit Price Item:** An overrun is any quantity of a unit price item which the **Contractor** is directed to provide which is in excess of one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule.

26.1.1 For any unit price item, the **Contractor** will be paid at the unit price bid for any quantity up to one hundred twenty five (125%) percent of the estimated quantity for that item set forth in the bid schedule. If during the progress of the **Work**, the actual quantity of any unit price item required to complete the **Work** approaches the estimated quantity for that item, and for any reason it appears that the actual quantity of any unit price item necessary to complete the **Work** will exceed the estimated quantity for that item by twenty-five (25%) percent, the **Contractor** shall immediately notify the **Engineer** of such anticipated overrun. The **Contractor** shall not be compensated for any quantity of a unit price item provided which is in excess of one hundred twenty five (125%) percent of the estimated quantity for that item set forth in the bid schedule without written authorization from the **Engineer**.

26.1.2 If the actual quantity of any unit price item necessary to complete the **Work** will exceed one hundred twenty five (125%) percent of the estimated quantity for that item set forth in the bid schedule, the **City** reserves the right and the **Contractor** agrees to negotiate a new unit price for such item. In no event shall such negotiated new unit price exceed the unit bid price. If the **City** and **Contractor** cannot agree on a new unit price, then the **City** shall order the **Contractor** and the **Contractor** agrees to provide additional quantities of the item on a time and material basis for the actual and reasonable cost as determined under Article 26.2, but in no event at a unit price exceeding the unit price bid.

26.2 **Extra Work:** For **Extra Work** where payment is by agreement on a fixed price in accordance with Article 25.3.2, the price to be paid for such **Extra Work** shall be based on the fair and reasonable estimated cost of the items set forth below. For **Extra Work** where payment is on a time and material basis in accordance with Article 25.3.3, the price to be paid for such **Extra Work** shall be the actual and reasonable cost of the items set forth below.

26.2.1 Necessary materials (including transportation to the **Site**); plus

26.2.2 Necessary direct labor, including payroll taxes and supplemental benefits; plus

26.2.3 Sales and personal property taxes, if any, required to be paid on materials not incorporated into such **Extra Work**; plus

26.2.4 Reasonable rental value of **Contractor**-owned, necessary plant and equipment other than small tools, plus fuel/energy costs. Except for fuel costs for pick-up trucks which shall be reimbursed based on a consumption of five (5) gallons per shift, fuel costs shall be reimbursed based on actual costs or, in the absence of auditable documentation, the following fuel consumption formula per operating hour:  $(.035) \times (\text{HP rating}) \times (\text{Fuel cost/gallon})$ . Reasonable rental value is defined as the lower of either seventy-five percent of the monthly prorated rental rates established in "The AED Green Book, Rental Rates and Specifications for Construction Equipment" published by PRIMEDIA (the "Green Book"), or seventy-five percent of the monthly prorated rental rates established in the "Rental Rate Blue Book for Construction Equipment" published by PRIMEDIA (the "Blue Book"). The reasonable rental value is inclusive of all operating costs except for fuel/energy consumption and equipment operator's wages/costs. For multiple shift utilization, reimbursement shall be calculated as follows: first shift shall be seventy-five percent of such rental rates; second shift shall be sixty percent of the first shift rate; and third shift shall be forty

percent of the first shift rate. Equipment on standby shall be reimbursed at one-third the prorated monthly rental rate. **Contractor-owned** equipment includes equipment from rental companies affiliated with or controlled by the **Contractor**, as determined by the **Commissioner**. In establishing cost reimbursement for non-operating contractor-owned equipment (scaffolding, sheeting systems, road plates, etc.), the City may restrict reimbursement to a purchase-salvage/life cycle basis if less than the computed rental costs; plus

26.2.5 Necessary installation and dismantling of such plant and equipment, including transportation to and from the **Site**, if any, provided that, in the case of non-**Contractor-owned** equipment rented from a third party, the cost of installation and dismantling are not allowable if such costs are included in the rental rate; plus

26.2.6 Reasonable rental costs of non-**Contractor-owned** necessary plant and equipment other than small tools, plus fuel/energy costs. Except for fuel costs for pick-up trucks which shall be reimbursed based on a consumption of five (5) gallons per shift, fuel costs shall be reimbursed based on actual costs or, in the absence of auditable documentation, the following fuel consumption formula per hour of operation:  $(.035) \times (\text{HP rating}) \times (\text{Fuel cost/gallon})$ . In lieu of renting, the City reserves the right to direct the purchase of non-operating equipment (scaffolding, sheeting systems, road plates, etc.), with payment on a purchase-salvage/life cycle basis, if less than the projected rental costs; plus

26.2.7 Workers' compensation insurance, and any insurance coverage expressly required by the City for the performance of the **Extra Work** which is different than the types of insurance required by Article 22 and Schedule A of the General Conditions. The cost of workers' compensation insurance shall be based upon the Manual Rate for such insurance for the applicable work classifications/codes, in accordance with the most recent schedule promulgated by the New York Compensation Insurance Rating Board; plus

26.2.8 Additional costs incurred as a result of the **Extra Work** for performance and payment bonds; plus

26.2.9 Ten (10%) percent of the total of items in Articles 26.2.1 through 26.2.5 as compensation for overhead, except that no percentage for overhead will be allowed on **Payroll Taxes** or on the premium portion of overtime pay or on sales and personal property taxes. Overhead shall include without limitation, all costs and expenses in connection with administration, management superintendence, small tools, and insurance required by Schedule A of the General Conditions other than workers' compensation insurance; plus

26.2.10 Ten (10%) percent of the total of items in Articles 26.2.1 through 26.2.5, plus item 26.2.9, as compensation for profit, except that no percentage for profit will be allowed on **Payroll Taxes** or on the premium portion of overtime pay or on sales and personal property taxes; plus

26.2.11 Five (5%) percent of the total of items in Article 26.2.6, 26.2.7, and 26.2.8 as compensation for overhead and profit.

26.3 Where the **Extra Work** is performed in whole or in part by other than the **Contractor's** own forces pursuant to Article 26.2, the **Contractor** shall be paid, subject to pre-audit by the **Engineering Audit Officer**, the cost of such **Work** computed in accordance with Article 26.2 above, plus an additional allowance of five (5%) percent to cover the **Contractor's** overhead and profit.

26.4 Where a change is ordered, involving both **Extra Work** and omitted or reduced **Contract Work**, the **Contract** price shall be adjusted, subject to pre-audit by the **EAO**, in an amount based on the difference between the cost of such **Extra Work** and of the omitted or reduced **Work**. The cost of such **Extra Work** and of such omitted or reduced **Work** shall be computed based upon applicable **Contract** unit prices. Where there are no applicable **Contract** unit prices, the cost of such **Extra Work** and of such omitted or reduced **Contract Work** shall

be computed in accordance with items 26.2.1 through 26.2.8. If the cost of such **Extra Work** exceeds the costs of such omitted or reduced **Contract Work**, the **Contract** price shall be increased by the difference, plus percentages for overhead and profit as provided in Articles 26.2.9 through 26.2.11. If the cost of the omitted or reduced **Contract Work** exceeds the cost of the **Extra Work**, then the **Contract** price shall be reduced by the difference.

26.5 Where the **Contractor** and the **Commissioner** can agree upon a fixed price for **Extra Work** in accordance with Article 25.3.2 or another method of payment for **Extra Work** in accordance with Article 25.3.4, or for **Extra Work** ordered in connection with omitted work, such method, subject to pre-audit by the **EAO**, may, at the option of the **Commissioner**, be substituted for the cost plus a percentage method provided in Article 26.2; provided, however, that if the **Extra Work** is performed by a **Subcontractor**, the **Contractor** shall not be entitled to receive more than an additional allowance of five (5%) percent for overhead and profit over the cost of such **Subcontractor's Work** as computed in accordance with Article 26.2.

## ARTICLE 27. RESOLUTION OF DISPUTES

27.1 All disputes between the **City** and the **Contractor** of the kind delineated in this article that arise under, or by virtue of, this **Contract** shall be finally resolved in accordance with the provisions of this article and the **PPB Rules**. This procedure for resolving all disputes of the kind delineated herein shall be the exclusive means of resolving any such disputes.

27.1.1 This article shall not apply to disputes concerning matters dealt with in other sections of the **PPB Rules**, or to disputes involving patents, copyrights, trademarks, or trade secrets (as interpreted by the courts of New York State) relating to proprietary rights in computer software.

27.1.2 This article shall apply only to disputes about the scope of work delineated by the **Contract**, the interpretation of **Contract** documents, the amount to be paid for **Extra Work** or disputed work performed in connection with the **Contract**, the conformity of the **Contractor's Work** to the **Contract**, and the acceptability and quality of the **Contractor's Work**; such disputes arise when the **Engineer**, **Resident Engineer**, **Engineering Audit Officer**, or other designee of the **Commissioner** makes a determination with which the **Contractor** disagrees.

27.2 All determinations required by this article shall be made in writing clearly stated, with a reasoned explanation for the determination based on the information and evidence presented to the party making the determination. Failure to make such determination within the time required by this article shall be deemed a non-determination without prejudice that will allow application to the next level.

27.3 During such time as any dispute is being presented, heard, and considered pursuant to this article, the **Contract** terms shall remain in force and the **Contractor** shall continue to perform **Work** as directed by the **ACCO** or the **Engineer**. Failure of the **Contractor** to continue **Work** as directed shall constitute a waiver by the **Contractor** of its claim.

27.4 Presentation of Disputes to **Commissioner**.

Notice of Dispute and Agency Response. The **Contractor** shall present its dispute in writing ("Notice of Dispute") to the **Commissioner** within thirty (30) Days of receiving written notice of the determination or action that is the subject of the dispute. This notice requirement shall not be read to replace any other notice requirements contained in the **Contract**. The Notice of Dispute shall include all the facts, evidence, documents, or other basis upon which the **Contractor** relies in support of its position, as well as a detailed computation demonstrating how any amount of money claimed by the **Contractor** in the dispute was arrived at. Within thirty (30) Days after receipt of the detailed written submission comprising the complete Notice of Dispute, the **Engineer**, **Resident Engineer**, **Engineering Audit Officer**, or other designee of the **Commissioner** shall submit to the **Commissioner** all materials he or she deems pertinent to the dispute. Following initial submissions to the **Commissioner**, either



party may demand of the other the production of any document or other material the demanding party believes may be relevant to the dispute. The requested party shall produce all relevant materials that are not otherwise protected by a legal privilege recognized by the courts of New York State. Any question of relevancy shall be determined by the **Commissioner** whose decision shall be final. Willful failure of the **Contractor** to produce any requested material whose relevancy the **Contractor** has not disputed, or whose relevancy has been affirmatively determined, shall constitute a waiver by the **Contractor** of its claim.

27.4.1 **Commissioner Inquiry.** The **Commissioner** shall examine the material and may, in his or her discretion, convene an informal conference with the **Contractor**, the **ACCO**, and the **Engineer**, **Resident Engineer**, **Engineering Audit Officer**, or other designee of the **Commissioner** to resolve the issue by mutual consent prior to reaching a determination. The **Commissioner** may seek such technical or other expertise as he or she shall deem appropriate, including the use of neutral mediators, and require any such additional material from either or both parties as he or she deems fit. The **Commissioner's** ability to render, and the effect of, a decision hereunder shall not be impaired by any negotiations in connection with the disputed presented, whether or not the **Commissioner** participated therein. The **Commissioner** may or, at the request of any party to the dispute, shall compel the participation of any other **Contractor** with a **Contract** related to the **Work** of this **Contract**, and that **Contractor** shall be bound by the decision of the **Commissioner**. Any **Contractor** thus brought into the dispute resolution proceeding shall have the same rights and obligations under this article as the **Contractor** initiating the dispute.

27.4.2 **Commissioner Determination.** Within thirty (30) days after the receipt of all materials and information, or such longer time as may be agreed to by the parties, the **Commissioner** shall make his or her determination and shall deliver or send a copy of such determination to the **Contractor**, the **ACCO**, and **Engineer**, **Resident Engineer**, **Engineering Audit Officer**, or other designee of the **Commissioner**, as applicable, together with a statement concerning how the decision may be appealed.

27.4.3 **Finality of Commissioner Decision.** The **Commissioner's** decision shall be final and binding on all parties, unless presented to the Contract Dispute Resolution Board pursuant to this article. The **City** may not take a petition to the Contract Dispute Resolution Board. However, should the **Contractor** take such a petition, the **City** may seek, and the Contract Dispute Resolution Board may render, a determination less favorable to the **Contractor** and more favorable to the **City** than the decision of the **Commissioner**.

27.5 **Presentation of Dispute to the Comptroller.** Before any dispute may be brought by the **Contractor** to the Contract Dispute Resolution Board, the **Contractor** must first present its claim to the **Comptroller** for his or her review, investigation, and possible adjustment.

27.5.1 **Time, Form, and Content of Notice.** Within thirty (30) days of its receipt of a decision by the **Commissioner**, the **Contractor** shall submit to the **Comptroller** and to the **Commissioner** a Notice of Claim regarding its dispute with the **Agency**. The Notice of Claim shall consist of (i) a brief Written statement of the substance of the dispute, the amount of money, if any, claimed and the reason(s) the **Contractor** contends the dispute was wrongly decided by the **Commissioner**; (ii) a copy of the written decision of the **Commissioner**; and (iii) a copy of all materials submitted by the **Contractor** to the **Agency**, including the Notice of Dispute. The **Contractor** may not present to the **Comptroller** any material not presented to the **Commissioner**, except at the request of the **Comptroller**.

27.5.2 **Agency Response.** Within thirty (30) days of receipt of the Notice of Claim, the **Agency** shall make available to the **Comptroller** a copy of all material submitted by the **Agency** to the **Commissioner** in connection with the dispute. The **Agency** may not present to the **Comptroller** any material not presented to the **Commissioner** except at the request of the **Comptroller**.

27.5.3 **Comptroller Investigation.** The **Comptroller** may investigate the claim in dispute and, in the course of such investigation, may exercise all powers provided in section 7-201 and 7-203 of the New York City Administrative Code. In addition, the **Comptroller** may demand of either party, and such party shall provide, whatever additional material the **Comptroller** deems pertinent to the claim, including original business records of the **Contractor**. Willful failure of the **Contractor** to produce within fifteen (15) days any material requested by the **Comptroller** shall constitute a waiver by the **Contractor** of its claim. The **Comptroller** may also schedule an informal conference to be attended by the **Contractor**, **Agency** representatives, and any other personnel desired by the **Comptroller**.

27.5.4 **Opportunity of Comptroller to Compromise or Adjust Claim.** The **Comptroller** shall have forty-five (45) days from his or her receipt of all materials referred to in Article 27.5.3 to investigate the disputed claim. The period for investigation and compromise may be further extended by agreement between the **Contractor** and the **Comptroller**, to a maximum of ninety (90) days from the **Comptroller's** receipt of all materials. The **Contractor** may not present its petition to the Contract Dispute Resolution Board until the period for investigation and compromise delineated in Article 27.5.4 has expired. In compromising or adjusting any claim hereunder, the **Comptroller** may not revise or disregard the terms of the **Contract** between the parties.

27.6 **Contract Dispute Resolution Board.** There shall be a Contract Dispute Resolution Board composed of:

27.6.1 The chief administrative law judge of the Office of Administrative Trials and Hearings (OATH) or his/her designated OATH administrative law judge, who shall act as chairperson, and may adopt operational procedures and issue such orders consistent with this article as may be necessary in the execution of the Contract Dispute Resolution Board's functions, including, but not limited to, granting extensions of time to present or respond to submissions;

27.6.1.1 The CCPO or his/her designee; any designee shall have the requisite background to consider and resolve the merits of the dispute and shall not have participated personally and substantially in the particular matter that is the subject of the dispute or report to anyone who so participated; and

27.6.2 A person with appropriate expertise who is not an employee of the City. This person shall be selected by the presiding administrative law judge from a prequalified panel of individuals, established and administered by OATH with appropriate background to act as decision-makers in a dispute. Such individual may not have a contract or dispute with the City or be an officer or employee of any company or organization that does, or regularly represents persons, companies, or organizations having disputes with the City.

27.7 **Petition to the Contract Dispute Resolution Board.** In the event the claim has not been settled or adjusted by the **Comptroller** within the period provided in this article, the **Contractor**, within thirty (30) days thereafter, may petition the Contract Dispute Resolution Board to review the **Commissioner's** determination.

27.7.1 **Form and Content of Petition by Contractor.** The **Contractor** shall present its dispute to the Contract Dispute Resolution Board in the form of a petition, which shall include (i) a brief written statement of the substance of the dispute, the amount of money, if any, claimed, and the reason(s) the **Contractor** contends the dispute was wrongly decided by the **Commissioner**; (ii) a copy of the written Decision of the **Commissioner**, (iii) copies of all materials submitted by the **Contractor** to the **Agency**; (iv) a copy of the written decision of the **Comptroller**, if any, and (v) copies of all correspondence with, or written material submitted by the **Contractor**, to the **Comptroller**. The **Contractor** shall concurrently submit four (4) complete sets of the Petition: one set to the Corporation Counsel (Attn: Commercial and Real Estate Litigation Division) and three (3) sets to the Contract

Dispute Resolution Board at OATH's offices with proof of service on the Corporation Counsel. In addition, the **Contractor** shall submit a copy of the written statement of the substance of the dispute, cited in (i) above, to both the **Commissioner** and the **Comptroller**.

27.7.2 **Agency Response.** Within thirty (30) Days of its receipt of the petition by the Corporation Counsel, the **Agency** shall respond to the brief written statement of the **Contractor** and make available to the Contract Dispute Resolution Board all material it submitted to the **Commissioner** and **Comptroller**. Three (3) complete copies of the **Agency** response shall be provided to the Contract Dispute Resolution Board and one to the **Contractor**. Extensions of time for submittal of the **Agency** response shall be given as necessary upon a showing of good cause or, upon consent of the parties, for an initial period of up to thirty (30) Days.

27.7.3 **Further Proceedings.** The Contract Dispute Resolution Board shall permit the **Contractor** to present its case by submission of memoranda, briefs, and oral argument. The Contract Dispute Resolution Board shall also permit the **Agency** to present its case in response to the **Contractor** by submission of memoranda, briefs, and oral argument. If requested by the Corporation Counsel, the **Comptroller** shall provide reasonable assistance in the preparation of the **Agency's** case. Neither the **Contractor** nor the **Agency** may support its case with any documentation or other material that was not considered by the **Comptroller**, unless requested by the Contract Dispute Resolution Board. The Contract Dispute Resolution Board, in its discretion, may seek such technical or other expert advice as it shall deem appropriate and may seek, on its own or upon application of a party, any such additional material from any party as it deems fit. The Contract Dispute Resolution Board, in its discretion, may combine more than one dispute between the parties for concurrent resolution.

27.7.4 **Contract Dispute Resolution Board Determination.** Within forty-five (45) Days of the conclusion of all written submissions and oral arguments, the Contract Dispute Resolution Board shall render a written decision resolving the dispute. In an unusually complex case, the Contract Dispute Resolution Board may render its decision in a longer period, not to exceed ninety (90) Days, and shall so advise the parties at the commencement of this period. The Contract Dispute Resolution Board's decision must be consistent with the terms of the **Contract**. Decisions of the Contract Dispute Resolution Board shall only resolve matters before the Contract Dispute Resolution Board and shall not have precedential effect with respect to matters not before the Contract Dispute Resolution Board.

27.7.5 **Notification of Contract Dispute Resolution Board Decision.** The Contract Dispute Resolution Board shall send a copy of its decision to the **Contractor**, the **ACCO**, the **Engineer**, the **Comptroller**, the Corporation Counsel, the Director of the Office of Construction, and the **PPB**. A decision in favor of the **Contractor** shall be subject to the prompt payment provisions of the **PPB** Rules. The Required Payment Date shall be thirty (30) Days after the date the parties are formally notified of the Contract Dispute Resolution Board's decision.

27.7.6 **Finality of Contract Dispute Resolution Board Decision.** The Contract Dispute Resolution Board's decision shall be final and binding on all parties. Any party may seek review of the Contract Dispute Resolution Board's decision solely in the form of a challenge, filed within four (4) months of the date of the Contract Dispute Resolution Board's decision, in a court of competent jurisdiction of the State of New York, County of New York pursuant to Article 78 of the Civil Practice Laws and Rules. Such review by the court shall be limited to the question of whether or not the Contract Dispute Resolution Board's decision was made in violation of lawful procedure, was affected by an error of Law, or was arbitrary and capricious or an abuse of discretion. No evidence or information shall be introduced or relied upon in such proceeding that was not presented to the Contract Dispute Resolution Board in accordance with this article.

27.8 Any termination, cancellation, or alleged breach of the **Contract** prior to or during the pendency of any proceedings pursuant to this article shall not affect or impair the ability of the **Commissioner** or **Contract Dispute Resolution Board** to make a binding and final decision pursuant to this article.

#### **ARTICLE 28. RECORD KEEPING FOR EXTRA OR DISPUTED WORK**

28.1 While the **Contractor** or any of its **Subcontractors** is performing **Extra Work** on a **Time and Material Basis** ordered by the **Commissioner** under Article 25, or is performing **disputed Work**, or complying with a determination or order under protest in accordance with Articles 27 and 30, in each such case the **Contractor** shall furnish the **Resident Engineer** daily with three (3) copies of written statements signed by the **Contractor's** representative at the **Site** showing:

28.1.1 The name and number of each **Worker** employed on such **Work** or engaged in complying with such determination or order, the number of hours employed, and the character of the **Work** each is doing; and

28.1.2 The nature and quantity of any materials, plant and equipment furnished or used in connection with the performance of such **Work** or compliance with such determination or order, and from whom purchased or rented.

28.2 A copy of such statement will be countersigned by the **Resident Engineer**, noting thereon any items not agreed to or questioned, and will be returned to the **Contractor** within two (2) **Days** after submission.

28.3 The **Contractor** and its **Subcontractors**, when required by the **Commissioner**, or the **Comptroller**, shall also produce for inspection, at the office of the **Contractor** or **Subcontractor**, any and all of its books, bid documents, financial statements, vouchers, records, daily job diaries and reports, and cancelled checks, and any other documents relating to showing the nature and quantity of the labor, materials, plant and equipment actually used in the performance of such **Work**, or in complying with such determination or order, and the amounts expended therefor, and shall permit the **Commissioner** and the **Comptroller** to make such extracts therefrom, or copies thereof, as they or either of them may desire.

28.4 In connection with the examination provided for herein, the **Commissioner**, upon demand therefor, will produce for inspection by the **Contractor** such records as the **Agency** may have with respect to such **Extra** or **disputed Work** performed under protest pursuant to order of the **Commissioner**, except those records and reports which may have been prepared for the purpose of determining the accuracy and validity of the **Contractor's** claim.

28.5 Failure to comply strictly with these requirements shall constitute a waiver of any claim for extra compensation or damages on account of the performance of such **Work** or compliance with such determination or order.

#### **ARTICLE 29. OMITTED WORK**

29.1 If any **Contract Work** in a lump sum **Contract**, or if any part of a lump sum item in a unit price, lump sum, or percentage-bid **Contract** is omitted by the **Commissioner** pursuant to Article 33, the **Contract price**, subject to audit by the **EAO**, shall be reduced by a pro rata portion of the lump sum bid amount based upon the percent of **Work** omitted subject to Article 29.4. For the purpose of determining the pro rata portion of the lump sum bid amount, the bid breakdown submitted in accordance with Article 41 shall be considered, but shall not be the determining factor.

29.2 If the whole of a lump sum item or units of any other item is so omitted by the **Commissioner** in a unit price, lump sum, or percentage-bid **Contract**, then no payment will be made therefor except as provided in Article 29.4.

29.3 For units that have been ordered but are only partially completed, the unit price shall be reduced by a pro rata portion of the unit price bid based upon the percentage of Work omitted subject to Article 29.4.

29.4 In the event the Contractor, with respect to any omitted Work, has purchased any non-cancelable material and/or equipment that is not capable of use except in the performance of this Contract and has been specifically fabricated for the sole purpose of this Contract, but not yet incorporated into the Work, the Contractor shall be paid for such material and/or equipment in accordance with Article 64.2.1(b); provided, however, such payment is contingent upon the Contractor's delivery of such material and/or equipment in acceptable condition to a location designated by the City.

29.5 The Contractor agrees to make no claim for damages or for loss of overhead and profit with regard to any omitted Work.

### **ARTICLE 30. NOTICE AND DOCUMENTATION OF COSTS AND DAMAGES; PRODUCTION OF FINANCIAL RECORDS**

30.1 If the Contractor shall claim to be sustaining damages by reason of any act or omission of the City or its agents, it shall submit to the Commissioner within forty-five (45) Days from the time such damages are first incurred, and every thirty (30) Days thereafter for as long as such damages are incurred, verified statements of the details and the amounts of such damages, together with documentary evidence of such damages. The Contractor may submit any of the above statements within such additional time as may be granted by the Commissioner in writing upon written request therefor. Failure of the Commissioner to respond in writing to a written request for additional time within thirty (30) Days shall be deemed a denial of the request. On failure of the Contractor to fully comply with the foregoing provisions, such claims shall be deemed waived and no right to recover on such claims shall exist. Damages that the Contractor may claim in any action or dispute resolution procedure arising under or by reason of this Contract shall not be different from or in excess of the statements and documentation made pursuant to this article.

30.2 In addition to the foregoing statements, the Contractor shall, upon notice from the Commissioner, produce for examination at the Contractor's office, by the Engineer, Architect or Project Manager, all of its books of account, bills, invoices, payrolls, subcontracts, time books, daily reports, bank deposit books, bank statements, check books, cancelled checks, showing all of its acts and transactions in connection with or relating to or arising by reason of this Contract, and submit itself and persons in its employment, for examination under oath by any person designated by the Commissioner or Comptroller to investigate claims made or disputes against the City under this Contract. At such examination, a duly authorized representative of the Contractor may be present.

30.3 In addition to the statements required under Article 28 and this Article, the Contractor and/or its Subcontractor shall, within thirty (30) Days upon notice from the Commissioner or Comptroller, produce for examination at the Contractor's and/or Subcontractor's office, by a representative of either the Commissioner or Comptroller, all of its books of account, bid documents, financial statements, accountant workpapers, bills, invoices, payrolls, subcontracts, time books, daily reports, bank deposit books, bank statements, check books, cancelled checks, showing all of its acts and transactions in connection with or relating to or arising by reason of this Contract. Further, the Contractor and/or its Subcontractor shall submit any person in its employment, for examination under oath by any person designated by the Commissioner or Comptroller to investigate claims made or disputes against the City under this Contract. At such examination, a duly authorized representative of the Contractor may be present.

30.4 Unless the information and examination required under Article 30.3 is provided by the Contractor and/or its Subcontractor upon thirty (30) Days notice from the Commissioner or Comptroller, or upon the Commissioner's or Comptroller's written authorization to extend the time to comply, the City shall be released

from all claims arising under, relating to or by reason of this **Contract**, except for sums certified by the **Commissioner** or **Comptroller** to be due under the provisions of this **Contract**. It is further stipulated and agreed that no person has the power to waive any of the foregoing provisions and that in any action or dispute resolution procedure against the **City** to recover any sum in excess of the sums certified by the **Commissioner** or **Comptroller** to be due under or by reason of this **Contract**, the **Contractor** must allege in its complaint and prove, at trial or during such dispute resolution procedure, compliance with the provisions of this Article.

30.5 In addition, after the commencement of any action or dispute resolution procedure by the **Contractor** arising under or by reason of this **Contract**, the **City** shall have the right to require the **Contractor** to produce for examination under oath, up until the trial of the action or hearing before the Contract Dispute Resolution Board, the books and documents described in Article 30.3 and submit itself and all persons in its employ for examination under oath. If this Article is not complied with as required, then the **Contractor** hereby consents to the dismissal of the action or dispute resolution procedure.

**CHAPTER VII**  
**POWERS OF THE RESIDENT ENGINEER,**  
**THE ENGINEER OR ARCHITECT AND THE COMMISSIONER**

**ARTICLE 31. THE RESIDENT ENGINEER**

31.1 The **Resident Engineer** shall have the power to inspect, supervise and control the performance of the **Work**, subject to review by the **Commissioner**. The **Resident Engineer** shall not, however, have the power to issue an **Extra Work** order, except as specifically designated in writing by the **Commissioner**.

**ARTICLE 32. THE ENGINEER OR ARCHITECT OR PROJECT MANAGER**

32.1 The **Engineer** or **Architect** or **Project Manager**, in addition to those matters elsewhere herein delegated to the **Engineer** and expressly made subject to his/her determination, direction or approval, shall have the power, subject to review by the **Commissioner**:

32.1.1 To determine the amount, quality, and location of the **Work** to be paid for hereunder; and

32.1.2 To determine all questions in relation to the **Work**, to interpret the **Contract Drawings**, **Specifications**, and **Addenda**, and to resolve all patent inconsistencies or ambiguities therein; and

32.1.3 To determine how the **Work** of this **Contract** shall be coordinated with **Work** of other **Contractors** engaged simultaneously on this **Project**, including the power to suspend any part of the **Work**, but not the whole thereof; and

32.1.4 To make minor changes in the **Work** as he/she deems necessary, provided such changes do not result in a net change in the cost to the **City** or to the **Contractor** of the **Work** to be done under the **Contract**; and

32.1.5 To amplify the **Contract Drawings**, add explanatory information and furnish additional **Specifications** and drawings, consistent with this **Contract**.

32.2 The foregoing enumeration shall not imply any limitation upon the power of the **Engineer** or **Architect** or **Project Manager**, for it is the intent of this **Contract** that all of the **Work** shall generally be subject to his/her determination, direction and approval, except where the determination, direction or approval of someone other than the **Engineer** or **Architect** or **Project Manager** is expressly called for herein.

32.3 The **Engineer** or **Architect** or **Project Manager** shall not, however, have the power to issue an **Extra Work** order, except as specifically designated in writing by the **Commissioner**.

### ARTICLE 33. THE COMMISSIONER

33.1 The **Commissioner**, in addition to those matters elsewhere herein expressly made subject to his/her determination, direction or approval, shall have the power:

33.1.1 To review and make determinations on any and all questions in relation to this **Contract** and its performance; and

33.1.2 To modify or change this **Contract** so as to require the performance of **Extra Work** (subject, however, to the limitations specified in Article 25) or the omission of **Contract Work**; and

33.1.3 To suspend the whole or any part of the **Work** whenever in his/her judgment such suspension is required:

33.1.3(a) In the interest of the **City** generally; or

33.1.3(b) To coordinate the **Work** of the various **Contractors** engaged on this **Project** to the provisions of Article 12; or

33.1.3(c) To expedite the completion of the entire **Project** even though the completion of this particular **Contract** may thereby be delayed.

### ARTICLE 34. NO ESTOPPEL

34.1 Neither the **City** nor any **Agency**, officer, agent or employee thereof, shall be bound, precluded or estopped by any determination, decision, approval, order, letter, payment or certificate made or given under or in connection with this **Contract** by the **City**, the **Commissioner**, the **Resident Engineer**, or any other officer, agent or employee of the **City**, either before or after the final completion and acceptance of the **Work** and payment therefor:

34.1.1 From showing the true and correct classification, amount, quality or character of the **Work** actually done; or that any such determination, decision, order, letter, payment or certificate was untrue, incorrect or improperly made in any particular, or that the **Work**, or any part thereof, does not in fact conform to the requirements of this **Contract**; and

34.1.2 From demanding and recovering from the **Contractor** any overpayment made to it, or such damages as the **City** may sustain by reason of the **Contractor's** failure to perform each and every part of its **Contract**.

## CHAPTER VIII LABOR PROVISIONS

### ARTICLE 35. EMPLOYEES

35.1 The **Contractor** and its **Subcontractors** shall not employ on the **Work**:

35.1.1 Anyone who is not competent, faithful and skilled in the **Work** for which he/she shall be employed; and whenever the **Commissioner** shall inform the **Contractor**, in writing, that any employee is, in his/her opinion, incompetent, unfaithful or disobedient, that

employee shall be discharged from the Work forthwith, and shall not again be employed upon it; or

35.1.2 Any labor, materials or means whose employment, or utilization during the course of this Contract, may tend to or in any way cause or result in strikes, work stoppages, delays, suspension of Work or similar troubles by workers employed by the Contractor or its Subcontractors, or by any of the trades working in or about the buildings and premises where Work is being performed under this Contract, or by Other Contractors or their Subcontractors pursuant to other Contracts, or on any other building or premises owned or operated by the City, its Agencies, departments, boards or authorities. Any violation by the Contractor of this requirement may, upon certification of the Commissioner, be considered as proper and sufficient cause for declaring the Contractor to be in default, and for the City to take action against it as set forth in Chapter X of this Contract, or such other article of this Contract as the Commissioner may deem proper; or

35.1.3 In accordance with Section 220.3-e of the Labor Law of the State of New York (hereinafter "Labor Law"), the Contractor and its Subcontractors shall not employ on the Work any apprentice, unless he/she is a registered individual, under a bona fide program registered with the New York State Department of Labor. The allowable ratio of apprentices to journey-level workers in any craft classification shall not be greater than the ratio permitted to the Contractor as to its Work force on any job under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered as above, shall be paid the wage rate determined by the Comptroller of the City for the classification of Work actually performed. The Contractor or Subcontractor will be required to furnish written evidence of the registration of its program and apprentices as well as all the appropriate ratios and wage rates, for the area of the construction prior to using any apprentices on the Contract Work.

35.2 If the total cost of the Work under this Contract is at least two hundred fifty thousand dollars, all laborers, workers, and mechanics employed in the performance of the Contract on the public work site, either by the Contractor, Subcontractor or other person doing or contracting to do the whole or a part of the work contemplated by the contract, shall be certified prior to performing any Work as having successfully completed a course in construction safety and health approved by the United States department of labor's occupational safety and health administration that is at least ten hours in duration.

#### ARTICLE 36. NO DISCRIMINATION

36.1 The Contractor specifically agrees, as required by Labor Law Section 220-e, as amended, that:

36.1.1 In the hiring of employees for the performance of Work under this Contract or any subcontract hereunder, neither the Contractor, Subcontractor, nor any person acting on behalf of such Contractor or Subcontractor, shall by reason of race, creed, color or national origin discriminate against any citizen of the State of New York who is qualified and available to perform the Work to which the employment relates;

36.1.2 Neither the Contractor, Subcontractor, nor any person on its behalf shall, in any manner, discriminate against or intimidate any employee hired for the performance of work under this Contract on account of race, creed, color or national origin;

36.1.3 There may be deducted from the amount payable to the Contractor by the City under this Contract a penalty of fifty (\$50.00) dollars for each person for each Day during which such person was discriminated against or intimidated in violation of the provisions of this Contract; and



36.1.4 This Contract may be cancelled or terminated by the City and all moneys due or to become due hereunder may be forfeited, for a second or any subsequent violation of the terms or conditions of this article.

36.1.5 The aforesaid provisions of this article covering every Contract for or on behalf of the State or a municipality for the manufacture, sale or distribution of materials, equipment or supplies shall be limited to operations performed within the territorial limits of the State of New York.

36.2 The Contractor specifically agrees, as required by Section 6-108 of the Administrative Code, as amended, that:

36.2.1 It shall be unlawful for any person engaged in the construction, alteration or repair of buildings or engaged in the construction or repair of streets or highways pursuant to a Contract with the City or engaged in the manufacture, sale or distribution of materials, equipment or supplies pursuant to a Contract with the City to refuse to employ or to refuse to continue in any employment any person on account of the race, color or creed of such person.

36.2.2 It shall be unlawful for any person or any servant, agent or employee of any person, described in Article 36.1.2, to ask, indicate or transmit, orally or in writing, directly or indirectly, the race, color or creed or religious affiliation of any person employed or seeking employment from such person, firm or corporation.

36.2.3 Breach of the foregoing provisions shall be deemed a violation of a material provision of this Contract.

36.2.4 Any person, or the employee, manager or owner of or officer of such firm or corporation who shall violate any of the provisions of this section shall, upon conviction thereof, be punished by a fine of not more than one hundred (\$100.00) dollars or by imprisonment for not more than thirty (30) Days, or both.

36.3 This Contract is subject to the requirements of Executive Order No. 50 (1980) ("E.O. 50"), as revised, and the Rules and Regulations promulgated thereunder. No Contract will be awarded unless and until these requirements have been complied with in their entirety. By signing this Contract, the Contractor agrees that it:

36.3.1 Will not engage in any unlawful discrimination against any employee or applicant for employment because of race, creed, color, national origin, sex, age, disability, marital status or sexual orientation with respect to all employment decisions including, but not limited to, recruitment, hiring, upgrading, demotion, downgrading, transfer, training, rates of pay or other forms of compensation, layoff, termination, and all other terms and conditions of employment; and

36.3.2 Will not engage in any unlawful discrimination in the selection of Subcontractors on the basis of the owner's race, color, creed, national origin, sex, age, disability, marital status or sexual orientation; and

36.3.3 Will state in all solicitations or advertisements for employees placed by or on behalf of the Contractor that all qualified applicants will receive consideration for employment without unlawful discrimination based on race, creed, color, national origin, sex, age, citizens status, disability, marital status, sexual orientation, or that it is an equal employment opportunity employer; and

36.3.4 Will send to each labor organization or representative of workers with which it has a Collective Bargaining Agreement or other Contract or memorandum of understanding, written

notification of its equal employment opportunity commitments under E.O. 50 and the Rules and Regulations promulgated thereunder; and

36.3.5 Will furnish all information and reports including an Employment Report before the award of the Contract which are required by E.O. 50, the Rules and Regulations promulgated thereunder, and orders of the Department of Business Services, Division of Labor Services ("DLS") and will permit access to its books, records and accounts by the DLS for the purposes of investigation to ascertain compliance with such rules, regulations, and orders.

36.4 The Contractor understands that in the event of its noncompliance with the nondiscrimination clauses of this Contract or with any of such rules, regulations, or orders, such noncompliance shall constitute a material breach of this Contract and noncompliance with E.O. 50 and the Rules and Regulations promulgated thereunder. After a hearing held pursuant to the rules of the DLS, the Director of the DLS may direct the Commissioner to impose any or all of the following sanctions:

36.4.1 Disapproval of the Contractor; and/or

36.4.2 Suspension or termination of the Contract; and/or

36.4.3 Declaring the Contractor in default; and/or

36.4.4 In lieu of any of the foregoing sanctions, the Director of the DLS may impose an employment program.

Failure to comply with E.O. 50 and the rules and regulations promulgated thereunder, in one or more instances, may result in the Agency declaring the Contractor to be non-responsible.

The Contractor further agrees that it will refrain from entering into any Contract or Contract modification subject to E.O. 50 and the rules and regulations promulgated thereunder with a Subcontractor who is not in compliance with the requirements of E.O. 50 and the rules and regulations promulgated thereunder.

36.5 The Contractor specifically agrees, as required by Section 6-123 of the Administrative Code, that:

36.5.1 The Contractor will not engage in any unlawful discriminatory practice in violation of Title VIII of the Administrative Code;

36.5.2 every agreement between the Contractor and its Subcontractors in excess of \$50,000 shall include a provision that the Subcontractor shall not engage in any unlawful discriminatory practice as defined in title viii of the Administrative Code (Section 8-101 et. seq.); and

36.5.3 Any failure to comply with this Article 36.5 may subject the Contractor to the remedies set forth in Section 6-123 of the Administrative Code, including, where appropriate, sanctions such as withholding of payment, imposition of an employment program, finding the Contractor to be in default, cancellation of the Contract, or any other sanction or remedy provided by Law or Contract.

### ARTICLE 37. LABOR LAW REQUIREMENTS

37.1 The Contractor shall strictly comply with all applicable provisions of the Labor Law, as amended. Such compliance is a material term of this Contract.

37.2 The **Contractor** specifically agrees, as required by Labor Law Section 220 and 220-d, as amended, that:

37.2.1 **Hours of Work:** No laborer, worker, or mechanic in the employ of the **Contractor**, **Subcontractor** or other person doing or contracting to do the whole or a part of the **Work** contemplated by this **Contract** shall be permitted or required to work more than eight (8) hours in any one (1) calendar **Day**, or more than five (5) **Days** in any one (1) week, except as provided in the Labor Law and in cases of extraordinary emergency including fire, flood, or danger to life or property, or in the case of national emergency when so proclaimed by the President of the United States of America.

37.2.2 In situations in which there are not sufficient laborers, workers and mechanics who may be employed to carry on expeditiously the **Work** contemplated by this **Contract** as a result of such restrictions upon the number of hours and days of labor, and the immediate commencement or prosecution or completion without undue delay of the **Work** is necessary for the preservation of the **Site** and/or for the protection of the life and limb of the persons using the same, such laborers, workers, and mechanics shall be permitted or required to work more than eight (8) hours in any one (1) **Day**; or five (5) **Days** in any one (1) week; provided, however, that upon application of any **Contractor**, the **Commissioner** shall have first certified to the Commissioner of Labor of the State of New York (hereinafter "Commissioner of Labor") that such public **Work** is of an important nature and that a delay in carrying it to completion would result in serious disadvantage to the public; and provided, further, that such Commissioner of Labor shall have determined that such an emergency does in fact exist as provided in Labor Law Section 220.2.

37.2.3 Failure of the **Commissioner** to make such a certification to the Commissioner of Labor shall not entitle the **Contractor** to damages for delay or for any cause whatsoever.

37.2.4 **Prevailing Rate of Wages:** The wages to be paid for a legal day's **Work** to laborers, workers, or mechanics employed upon the **Work** contemplated by this **Contract** or upon any materials to be used thereon shall not be less than the "prevailing rate of wage" as defined in Labor Law Section 220, and as fixed by the **Comptroller** in the attached Schedule of Wage Rates and in updated schedules thereof. The prevailing wage rates and supplemental benefits to be paid are those in effect at the time the **Work** is being performed.

37.2.5 Requests for interpretation or correction in the Information for Bidders includes all requests for clarification of the classification of trades to be employed in the performance of the **Work** under this **Contract**. In the event that a trade not listed in the **Contract** is in fact employed during the performance of this **Contract**, the **Contractor** shall be required to obtain from the **Agency** the prevailing wage rates and supplementary benefits for the trades used and to complete the performance of this **Contract** at the price at which the **Contract** was awarded.

37.2.6 **Minimum Wages:** Except for employees whose wage is required to be fixed pursuant to Labor Law Section 220, all persons employed by the **Contractor** and any **Subcontractor** in the manufacture or furnishing of the supplies, materials, or equipment, or the furnishing of work, labor, or services, used in the performance of this **Contract**, shall be paid, without subsequent deduction or rebate unless expressly authorized by Law, not less than the sum mandated by Law. Minimum wages shall be the rates fixed by Federal Law and regulations.

37.3 **Working Conditions:** No part of the **Work**, labor or services shall be performed or rendered by the **Contractor** in any plants, factories, buildings or surroundings or under working conditions which are unsanitary or hazardous or dangerous to the health and safety of employees engaged in the performance of this **Contract**. Compliance with the safety, sanitary and factory inspection Laws of the state in which the **Work** is to be performed shall be prima facie evidence of compliance with this article.

37.4 Prevailing Wage Enforcement: The Contractor agrees to pay for all costs incurred by the City in enforcing prevailing wage requirements, including the cost of any investigation conducted by or on behalf of the Agency or the Comptroller, where the City discovers a failure to comply with any of the requirements of this Article 37 by the Contractor or its Subcontractor(s). The Contractor also agrees, that should it fail or refuse to pay for any such investigation, the Agency is hereby authorized to deduct from a Contractor's account an amount equal to the cost of such investigation.

37.4.1 The Labor Law Section 220 and Section 220-d, as amended, provide that this Contract shall be forfeited and no sum paid for any Work done hereunder on a second conviction for willfully paying less than:

37.4.1(a) The stipulated wage scale as provided in Labor Law Section 220, as amended, or

37.4.1(b) Less than the stipulated minimum hourly wage scale as provided in Labor Law Section 220-d, as amended.

37.4.2 For any breach or violation of either Working Conditions (Article 37.3), and Minimum Wages (Article 37.2.6), the party responsible therefore shall be liable to the City for liquidated damages, which may be withheld from any amounts due on any Contracts with the City of such party responsible, or may be recovered in suits brought by the Corporation Counsel in the name of the City, in addition to damage for any other breach of this Contract, a sum equal to the amount of any underpayment of wages due to any employee engaged in the performance of this Contract. In addition, the Commissioner shall have the right to cancel Contracts and enter into other Contracts for the completion of the original Contract, with or without public letting, and the original Contractor shall be liable for any additional cost. All sums withheld or recovered as deductions, rebates, refunds, or underpayment of wages hereunder, shall be held in a special deposit account and shall be paid without interest, on order of the Comptroller, directly to the employees who have been paid less than minimum rates of pay as set forth herein and on whose account such sums were withheld or recovered, provided that no claims by employees for such payments shall be entertained unless made within two (2) years from the date of actual notice to the Contractor of the withholding or recovery of such sums by the City.

37.4.3 A determination by the Comptroller that a Contractor and/or its Subcontractor willfully violated Labor Law Section 220 will be forwarded to the City's five District Attorneys for review.

37.4.4 The Contractor's or Subcontractor's noncompliance with this article and Labor Law Section 220, may result in an unsatisfactory performance evaluation and the Comptroller may also find and determine that the Contractor or Subcontractor willfully violated the New York Labor Law.

37.4.4(a) An unsatisfactory performance evaluation for noncompliance with this article may result in a determination that the Contractor is a non-responsible bidder on subsequent procurements with the City and thus a rejection of a future award of a contract with the City, as well as any other sanctions provided for by Law.

37.4.4(b) Labor Law Section 220-b, as amended, provides that when two (2) final determinations have been rendered against a Contractor or Subcontractor within any consecutive six (6) year period determining that such Contractor or Subcontractor has willfully failed to pay the prevailing rate of wages or to provide supplements in accordance with the Labor Law and this article, whether such failures were concurrent or consecutive and whether or not such final determinations concerning separate public work projects are rendered

simultaneously, such **Contractor** or **Subcontractor** shall be ineligible to submit a bid on or be awarded any public work contract with the **City** for a period of five (5) years from the second final determination. If the final determination involves the falsification of payroll records or the kickback of wages or supplements, the **Contractor** or **Subcontractor** shall be ineligible to submit a bid on or be awarded any public work contract with the **City** for a period of five (5) years from the first final determination.

37.4.4(c) **Labor Law** Section 220, as amended, provides that the **Contractor** or **Subcontractor** found to have violated this article may be directed to make payment of wages or supplements including interest found to be due, and the **Contractor** or **Subcontractor** may be directed to make payment of a further sum as a civil penalty in an amount not exceeding twenty-five (25%) percent of the total amount found to be due.

37.5 The **Contractor** and its **Subcontractors** shall within ten (10) **Days** after mailing of a **Notice of Award** or written order, post in prominent and conspicuous places in each and every plant, factory, building, and structure where employees of the **Contractor** and its **Subcontractors** engaged in the performance of this **Contract** are employed, notices furnished by the **City**, in relation to prevailing wages and supplements, minimum wages and other stipulations contained in Sections 220 and 220-h of the **Labor Law**, and the **Contractor** and its **Subcontractors** shall continue to keep such notices posted in such prominent and conspicuous places until **Final Acceptance** of the supplies, materials, equipment, or **Work**, labor, or services required to be furnished or rendered under this **Contract**.

37.6 The **Contractor** shall strictly comply with all of the provisions of Articles 37.6.1 through 37.6.5, and provide for all workers, laborers or mechanics in its employ, the following:

37.6.1 **Notices-Posted At Site:** Post, in a location designated by the **City**, schedules of prevailing wages and supplements for this **Project**, a copy of all re-determinations of such schedules for the **Project**, the **Workers' Compensation Law** Section 51 notice, all other notices required by law to be posted at the **Site**, the **City** notice that this **Project** is a public works **Project** on which each worker is entitled to receive the prevailing wages and supplements for the occupation at which he or she is working, and all other notices which the **City** directs the **Contractor** to post. The **Contractor** shall provide a surface for such notices which is satisfactory to the **City**. The **Contractor** shall maintain and keep current such notices in a legible manner and shall replace any notice or schedule which is damaged, defaced, illegible or removed for any reason. The **Contractor** shall post such notices before commencing any **Work** on the **Site** and shall maintain such notices until all **Work** on the **Site** is complete; and

37.6.2 **Daily Site Sign-in Sheets:** Maintain daily **Site** sign-in sheets, and require that **Subcontractors** maintain daily **Site** sign-in sheets for its employees, which include blank spaces for an employee's name to be both printed and signed, job title, date started and Social Security number, the time the employee began **Work** and the time the employee left **Work**, until **Final Acceptance** of the supplies, materials, equipment, or **Work**, labor, or services to be furnished or rendered under this **Contract** unless exception is granted by the Comptroller upon application by the **Agency**. In the alternative, subject to the approval of the CCPO, the **Contractor** and **Subcontractor** may maintain an electronic or biometric sign-in system, which provides the information required by this Article 37.6.2; and

37.6.3 **Individual Employee Information Notices:** Distribute a notice, to each worker, laborer or mechanic employed under this **Contract**, in a form provided by the **Agency**, that this **Project** is a public work project on which each worker, laborer or mechanic is entitled to receive the prevailing rate of wages and supplements for the occupation at which he or she is working. If the total cost of the **Work** under this **Contract** is at least two hundred fifty thousand dollars, such notice shall also include a statement that, that each worker, laborer or mechanic be certified prior to performing any

Work as having successfully completed a course in construction safety and health approved by the United States department of labor's occupational safety and health administration that is at least ten hours in duration. Such notice shall be distributed to each worker before he or she starts performing any Work of this Contract and with the first paycheck after July first of each year. Worker, laborer or mechanic includes employees of the Contractor and all Subcontractors and all employees of suppliers entering the Site. At the time of distribution, the Contractor shall have each worker, laborer or mechanic sign a statement, in a form provided by the Agency, certifying that the worker has received the notice required by this article, which signed statement shall be maintained with the payroll records required by this Contract; and

37.6.3.1 The Contractor and each Subcontractor shall notify each worker, laborer or mechanic employed under this Contract in writing of the prevailing rate of wages for their particular job classification. Such notification shall be given to every worker, laborer and mechanic on their first pay stub and with every pay stub thereafter; and

37.6.4 Site Laminated Identification Badges: Provide laminated identification badges which indicate the worker's, laborer's or mechanic's name, trade, employer's name and employment starting date (month/day/year). Further, require as a condition of employment on the Site, that each and every worker, laborer or mechanic wear the laminated identification badge at all times and that it may be seen by any representative of the City; and

37.6.5 Language Other Than English-Used On Site: Provide the ACCO notice when three (3) or more employees (worker and/or laborer and/or mechanic) on the Site, at any time, speak a language other than English. The ACCO will then provide the Contractor the notices in Article 37.6.1 in that language or languages as may be required. The Contractor is responsible for all distributions under Article 37; and

37.6.6 Provision of Records: The Contractor and Subcontractor(s) shall produce within five (5) Days on the Site of the Work and upon a written order of the Engineer, the Commissioner, the ACCO, the Agency EAO, or the Comptroller, such records as are required to be kept by this Article 37.6; and

37.6.7 If this Contract is for an amount greater than \$1,000,000, checks issued by the Contractor to covered employees shall be generated by a payroll service or automated payroll system (an in-house system may be used if approved by the Agency). For any subcontract for an amount greater than \$750,000, checks issued by a Subcontractor to covered employees shall be generated by a payroll service or automated payroll system (an in-house system may be used if approved by the Agency); and

37.6.8 The failure of the Contractor or Subcontractor(s) to comply with the provisions of Articles 37.6.1 through 37.6.7 may result in the Commissioner declaring the Contractor or Subcontractor(s) in default and/or the withholding of payments otherwise due under the Contract.

37.7 The Contractor and its Subcontractors shall keep such employment and payroll records as are required by Section 220 of the Labor Law.

37.8 At the time the Contractor makes application for each partial payment and for final payment, the Contractor shall submit to the Commissioner a written payroll certification, in the form provided by this Contract, of compliance with the prevailing wage, minimum wage and other provisions and stipulations required by Labor Law Section 220 and of compliance with the training requirements of Labor law section 220-h set forth in Article 35.2. This certification of compliance with the provisions of this article shall be a condition precedent to payment and no payment shall be made to the Contractor unless and until each such certification shall have been submitted to and received by the Commissioner.

37.9 This **Contract** is executed by the **Contractor** with the express warranty and representation that the **Contractor** is not disqualified under the provisions of Section 220 of the Labor Law for the award of the **Contract**.

37.10 Any breach or violation of any of the foregoing shall be deemed a breach or violation of a material provision of this **Contract**, and grounds for cancellation thereof by the **City**.

#### **ARTICLE 38. PAYROLL REPORTS**

38.1 The **Contractor** shall maintain on the **Site** the original payrolls or transcripts thereof which the **Contractor** and its **Subcontractor(s)** are required to maintain pursuant to Labor Law Section 220. The **Contractor** and **Subcontractor(s)** shall submit original payrolls or transcripts, subscribed and affirmed by it as true, with each and every payment requisition. The **Contractor** and **Subcontractor(s)** shall produce within five (5) **Days** on the **Site** of the **Work** and upon a written order of the **Engineer**, the **Commissioner**, the **ACCO**, the **Agency EAO**, or the **Comptroller**, such original payrolls or transcripts thereof, subscribed and affirmed by it as true, and the statements signed by each worker pursuant to this Chapter VIII. In addition, the **Contractor** and **Subcontractor(s)** shall furnish to the **Engineer** upon written demand any other information to satisfy the **Engineer** that this Chapter VIII and the Labor Law, as to the hours of employment and rates of wages, are being observed. The **Contractor** shall maintain the payrolls or transcripts thereof for six (6) years from the date of completion of the **Work** on this **Contract**.

38.2 When directed by the **Engineer**, the **Contractor** or **Subcontractor** shall provide the **Engineer** with an attendance sheet for each **Day** on which **Work** is performed on the **Site**. Such attendance sheet shall be in a form acceptable to the **Agency** and shall provide information for employees of the **Contractor** and **Subcontractor(s)**.

#### **ARTICLE 39. DUST HAZARDS**

39.1 Should a harmful dust hazard be created in performing the **Work** of this **Contract**, for the elimination of which appliances or methods have been approved by the Board of Standards and Appeals of the City of New York, such appliances and methods shall be installed, maintained, and effectively operated during the continuance of such harmful dust hazard. Failure to comply with this provision after notice shall make this **Contract** void.

### **CHAPTER IX PARTIAL AND FINAL PAYMENTS**

#### **ARTICLE 40. CONTRACT PRICE**

40.1 The **City** shall pay, and the **Contractor** agrees to accept, in full consideration for the **Contractor's** performance of the **Work** subject to the terms and conditions hereof, the lump sum price or unit prices which this **Contract** was awarded, plus the amount required to be paid for any **Extra Work** ordered by the **Commissioner** under Article 25, less credit for any **Work** omitted pursuant to Article 29.

#### **ARTICLE 41. BID BREAKDOWN ON LUMP SUM**

41.1 Within fifteen (15) **Days** after the commencement date specified in the Notice to Proceed, unless otherwise directed by the **Resident Engineer**, the **Contractor** shall submit to the **Resident Engineer** a breakdown of its bid price, or of lump sums bid for items of the **Contract**, showing the various operations to be performed

under the **Contract**, as directed in the progress schedule required under Article 9, and the value of each of such operations, the total of such items to equal the lump sum price bid. Said breakdown must be approved in writing by the **Resident Engineer**.

41.2 No partial payment will be approved until the **Contractor** submits a bid breakdown that is acceptable to the **Resident Engineer**.

41.3 The **Contractor** shall also submit such other information relating to the bid breakdown as directed by the **Resident Engineer**. Thereafter, the breakdown may be used only for checking the **Contractor's** applications for partial payments hereunder, but shall not be binding upon the **City**, the **Commissioner**, or the **Engineer** for any purpose whatsoever.

#### ARTICLE 42. PARTIAL PAYMENTS

42.1 From time to time as the **Work** progresses satisfactorily, but not more often than once a month, the **Contractor** may submit to the **Engineer** a requisition for a partial payment in the prescribed form, which shall contain an estimate of the quantity and the fair value of the **Work** done during the payment period.

42.2 Partial payments may be made for materials, fixtures and equipment in advance of their actual incorporation in the **Work**, as the **Commissioner** may approve, and upon the terms and conditions set forth in the **General Conditions**.

42.3 The **Contractor** shall also submit to the **Commissioner** in connection with every application for partial payment a verified statement in the form prescribed by the **Comptroller** setting forth the information required under Labor Law Section 220-a.

42.4 Within thirty (30) **Days** after receipt of such satisfactory payment application, the **Engineer** will prepare and certify, and the **Commissioner** will approve, a voucher for a partial payment in the amount of such approved estimate, less any and all deductions authorized to be made by the **Commissioner** under the terms of this **Contract** or by **Law**.

#### ARTICLE 43. PROMPT PAYMENT

43.1 The Prompt Payment provisions of the **PPB Rules** in effect at the time of the **Bid** will be applicable to payments made under this **Contract**. The provisions require the payment to **Contractor** of interest on payments made after the required payment date, except as set forth in the **PPB Rules**.

43.2 The **Contractor** shall submit a proper invoice to receive payment, except where the **Contract** provides that the **Contractor** will be paid at predetermined intervals without having to submit an invoice for each scheduled payment.

43.3 Determination of interest due will be made in accordance with the **PPB Rules**.

43.4 If the **Contractor** is paid interest, the proportionate share of that interest shall be forwarded by the **Contractor** to its **Subcontractor(s)**.

43.5 The **Contractor** shall pay each **Subcontractor** or **Materialman** not later than seven (7) **Days** after receipt of payment out of amounts paid to the **Contractor** by the **City** for **Work** performed by the **Subcontractor** or **Materialman** under this **Contract**.



43.5.1 If **Contractor** fails to make any payment to any **Subcontractor** or **Materialman** within seven (7) days after receipt of payment by the **City** pursuant to section 43.5 herein, then the **Contractor** shall pay interest on amounts due to such **Subcontractor** or **Materialman** at a rate of interest in effect on the date such payment is made by the **Contractor** computed in accordance with section 756-b (1)(b) of the NY General Business Law. Accrual of interest shall commence on the day immediately following the expiration of the seventh day following receipt of payment to the **Contractor** by the **City** and shall end on the date on which payment is made.

43.6 The **Contractor** shall include in each of its subcontracts a provision requiring each **Subcontractor** to make payment to each of its **Subcontractors** or suppliers for **Work** performed under this **Contract** in the same manner and within the same time period set forth above.

#### ARTICLE 44. SUBSTANTIAL COMPLETION PAYMENT

44.1 When the **Work** in the opinion of the **Commissioner**, has been substantially but not entirely completed, he/she shall issue a certificate of **Substantial Completion**.

44.2 The **Contractor** shall submit with the **Substantial Completion** requisition:

44.2.1 A Final Verified Statement of any and all alleged claims against the **City** and any pending dispute resolution procedures in accord with the **PPB Rules** and this **Contract**, in any way connected with or arising out of this **Contract** (including those as to which details may have been furnished pursuant to Articles 11, 27, 28, and 30) setting forth with respect to each such claim the total amount thereof, the various items of labor and materials included therein, and the alleged value of each item; and if the alleged claim be one for delay, the alleged cause of each such delay, the period or periods of time, giving the dates when the **Contractor** claims the performance of the **Work** or a particular part thereof was delayed, and an itemized statement and breakdown of the amount claimed for each such delay.

44.2.1(a) With respect to each such claim, the **Commissioner**, the **Comptroller** and, in the event of litigation, the Corporation Counsel of the **City** shall have the same right to inspect, and to make extracts or copies of, the **Contractor's** books, vouchers, records, etc., as is referred to in Articles 11, 27, 28, and 30. Nothing contained in this article is intended to or shall relieve the **Contractor** from the obligation of complying strictly with Articles 11, 27, 28, and 30. The **Contractor** is warned that unless such claims are completely set forth as herein required, the **Contractor** upon acceptance of the **Substantial Completion** payment pursuant to this article, will have waived any such claims.

44.2.2 A Final Approved Punch List.

44.2.3 Where required, a request for a substantial or final extension of time.

44.3 The **Commissioner** shall issue a voucher calling for payment of any part or all of the balance due for **Work** performed under the **Contract**, including monies retained under Article 21, less any and all deductions authorized to be made by the **Commissioner**, under this **Contract** or by **Law**, and less twice the amount the **Commissioner** considers necessary to ensure the completion of the balance of the **Work** by the **Contractor**. Such a payment shall be considered a Partial and not a Final Payment. No **Substantial Completion** payment shall be made under this article where the **Contractor** shall fail to complete the **Work** within the time fixed for such completion in the Schedule A of the General Conditions, or within the time to which completion may have been extended, until an extension or extensions of time for the completion of **Work** have been acted upon pursuant to Article 13.

44.4 No further partial payments shall be made to the **Contractor** after the **Commissioner** issues a Certificate of **Substantial Completion**, except the **Substantial Completion** payment and **Contractor's** requisition that were properly filed with the **Commissioner** prior to the date of **Substantial Completion**; however, the **Commissioner** may grant a waiver for further partial payments after the date of **Substantial Completion** to permit payments for change order **Work** and/or release of retainage and deposits pursuant to Articles 21 and 24. Such waiver shall be in writing.

44.5 The **Contractor** acknowledges that nothing contained in this article is intended to or shall in any way diminish the force and effect of Article 13.

#### ARTICLE 45. FINAL PAYMENT

45.1 After completion and **Final Acceptance** of the **Work**, the **Contractor** shall submit all required certificates and documents, together with a requisition for the balance claimed to be due under the **Contract**, less the amount authorized to be retained for maintenance under Article 24. A verified statement similar to that required in connection with applications for partial payments shall also be submitted to the **Commissioner**.

45.2 Amended Verified Statement of Claims: The **Contractor** shall also submit with the final requisition any amendments to the final verified statement of any and all alleged claims against the **City**, and any pending dispute resolution procedures in accord with the **PPB Rules** and this **Contract**, in any way connected with or arising out of this **Contract** (including those as to which details may have been furnished pursuant to Articles 11, 27, 28, and 30.) that have occurred subsequent to **Substantial Completion**, setting forth with respect to each such claim the total amount thereof, the various items of labor and materials included therein, and the alleged value of each such item; and if the alleged claim be one for delay, the alleged cause of each such delay, the period or periods of time, giving the dates when the **Contractor** claims the performance of the **Work** or a particular part thereof was delayed, and an itemized statement and breakdown of the amount claimed for each such delay. With reference to each such claim, the **Commissioner**, the **Comptroller** and, in the event of litigation, the Corporation Counsel of the **City** shall have the same right to inspect, and to make extracts or copies of, the **Contractor's** books, vouchers, records, etc., as is referred to in Articles 11, 27, 28, and 30. Nothing contained in this article, is entitled to or shall relieve the **Contractor** from the obligation of complying strictly with Articles 11, 27, 28, and 30. The **Contractor** is warned that unless such claims are completely set forth as herein required, the **Contractor**, upon acceptance of the Final Payment pursuant to Article 46, will have waived any such claims.

45.3 Preparation of Final Voucher: Upon determining the balance due hereunder other than on account of claims, the **Engineer** will prepare and certify, for the **Commissioner's** approval, a voucher for final payment in that amount less any and all deductions authorized to be made by the **Commissioner** under this **Contract** or by **Law**. In the case of a lump sum **Contract**, the **Commissioner** shall certify the voucher for final payment within thirty (30) Days from the date of completion and acceptance of the **Work**, provided all requests for extensions of time have been acted upon.

45.3.1 All prior certificates and vouchers upon which partial payments were made, being merely estimates made to enable the **Contractor** to prosecute the **Work** more advantageously, shall be subject to correction in the final voucher, and the certification of the **Engineer** thereon and the approval of the **Commissioner** thereof, shall be conditions precedent to the right of the **Contractor** to receive any money hereunder. Such final voucher shall be binding and conclusive upon the **Contractor**.

45.3.2 Payment pursuant to such final voucher, less any deductions authorized to be made by the **Commissioner** under this **Contract** or by **Law**, shall constitute the final payment, and shall be made by the **Comptroller** within thirty (30) Days after the filing of such voucher in his/her office.

45.4 The **Contractor** acknowledges that nothing contained in this article is intended to or shall in any way diminish the force and effect of Article 13.

#### **ARTICLE 46. ACCEPTANCE OF FINAL PAYMENT**

46.1 The acceptance by the **Contractor**, or by anyone claiming by or through it, of the final payment, whether such payment be made pursuant to any judgment of any Court, or otherwise, shall constitute and operate as a release to the **City** from any and all claims of and liability to the **Contractor** for anything heretofore done or furnished for the **Contractor** relating to or arising out of this **Contract** and the **Work** done hereunder, and for any prior act, neglect or default on the part of the **City** or any of its officers, agents or employees, excepting only a claim against the **City** for the amounts deducted or retained in accordance with the terms and provisions of this **Contract** or by **Law**, and excepting any claims, not otherwise waived, or any pending dispute resolution procedures which are contained in the verified statement filed with the **Contractor's** substantial and final requisitions pursuant to Articles 44 and 45.

46.2 The **Contractor** is warned that the execution by it of a release, in connection with the acceptance of the final payment, containing language purporting to reserve claims other than those herein specifically excepted from the operation of this article, or those for amounts deducted by the **Commissioner** from the final requisition or by the **Comptroller** from the final payment as certified by the **Engineer** and approved by the **Commissioner**, shall not be effective to reserve such claims, anything stated to the **Contractor** orally or in writing by any officer, agent or employee of the **City** to the contrary notwithstanding.

46.3 Should the **Contractor** refuse to accept the final payment as tendered by the **Comptroller**, it shall constitute a waiver of any right to interest thereon.

46.4 The **Contractor**, however, shall not be barred from commencing an action for breach of **Contract** under this provision to the extent permitted by **Law** and by the terms of the **Contract** provided that a detailed and verified statement of claim is served upon the contracting **Agency** and **Comptroller** not later than forty (40) **Days** after the mailing of such final payment. The statement shall specify the items upon which the claim will be based and any such claim shall be limited to such items.

#### **ARTICLE 47. APPROVAL BY PUBLIC DESIGN COMMISSION**

47.1 All works of art, including paintings, mural decorations, stained glass, statues, bas-reliefs and other sculptures, monuments, fountains, arches, and other structures of a permanent character intended for ornament or commemoration, and every design of the same to be used in the performance of this **Contract**, and the design of all bridges, approaches, buildings, gates, fences, lamps, or structures to be erected, pursuant to the terms of this **Contract**, shall be submitted to the Art Commission, d/b/a the Public Design Commission of the City of New York, and shall be approved by the Public Design Commission prior to the erection or placing in the position of the same. The final payment shall not become due or payable under this **Contract** unless and until the Public Design Commission shall certify that the design for the **Work** herein contracted for has been approved by the said Public Design Commission, and that the same has been executed in substantial accordance with the design so approved, pursuant to the provisions of Chapter 37, Section 854 of the **City Charter**, as amended.

**CHAPTER X  
CONTRACTOR'S DEFAULT**

**ARTICLE 48. COMMISSIONER'S RIGHT TO DECLARE CONTRACTOR IN DEFAULT**

48.1 In addition to those instances specifically referred to in other Articles herein, the **Commissioner** shall have the right to declare the **Contractor** in default of this **Contract** if:

48.1.1 The **Contractor** fails to commence **Work** when notified to do so by the **Commissioner**; or if

48.1.2 The **Contractor** shall abandon the **Work**; or if

48.1.3 The **Contractor** shall refuse to proceed with the **Work** when and as directed by the **Commissioner**; or if

48.1.4 The **Contractor** shall, without just cause, reduce its working force to a number which, if maintained, would be insufficient; in the opinion of the **Commissioner**, to complete the **Work** in accordance with the **Progress Schedule**; or if

48.1.5 The **Contractor** shall fail or refuse to increase sufficiently such working force when ordered to do so by the **Commissioner**; or if

48.1.6 The **Contractor** shall sublet, assign, transfer, convert or otherwise dispose of this **Contract** other than as herein specified; or sell or assign a majority interest in the **Contractor**; or if

48.1.7 The **Contractor** fails to secure and maintain all required insurance; or if

48.1.8 A receiver or receivers are appointed to take charge of the **Contractor's** property or affairs; or if

48.1.9 The **Commissioner** shall be of the opinion that the **Contractor** is or has been unnecessarily or unreasonably or willfully delaying the performance and completion of the **Work**, or the award of necessary subcontracts, or the placing of necessary material and equipment orders; or if

48.1.10 The **Commissioner** shall be of the opinion that the **Contractor** is or has been willfully or in bad faith violating any of the provisions of this **Contract**; or if

48.1.11 The **Commissioner** shall be of the opinion that the **Work** cannot be completed within the time herein provided therefor or within the time to which such completion may have been extended; provided, however, that the impossibility of timely completion is, in the **Commissioner's** opinion, attributable to conditions within the **Contractor's** control; or if

48.1.12 The **Work** is not completed within the time herein provided therefor or within the time to which the **Contractor** may be entitled to have such completion extended; or if

48.1.13 Any statement or representation of the **Contractor** in the **Contract** or in any document submitted by the **Contractor** with respect to the **Work**, the **Project**, or the **Contract** (or for purposes of securing the **Contract**) was untrue or incorrect when made.

48.1.14 The **Contractor** or any of its officers, directors, partners, five (5%) percent shareholders, principals, or other persons substantially involved in its activities, commits any of the acts or omissions specified as the grounds for debarment in the **PPB Rules**.

48.2 Before the **Commissioner** shall exercise his/her right to declare the **Contractor** in default, the **Commissioner** shall give the **Contractor** an opportunity to be heard, upon not less than two (2) **Days** notice.

#### **ARTICLE 49. EXERCISE OF THE RIGHT TO DECLARE DEFAULT**

49.1 The right to declare in default for any of the grounds specified or referred to in Article 48 shall be exercised by sending the **Contractor** a notice, signed by the **Commissioner**, setting forth the ground or grounds upon which such default is declared (hereinafter referred to as a "Notice of Default").

49.2 The **Commissioner's** determination that the **Contractor** is in default shall be conclusive, final and binding on the parties and such a finding shall preclude the **Contractor** from commencing a plenary action for any damages relating to the **Contract**. If the **Contractor** protests the determination of the **Commissioner**, the **Contractor** may commence a lawsuit in a court of competent jurisdiction of the State of New York under Article 78 of the New York Civil Practice Law and Rules.

#### **ARTICLE 50. QUITTING THE SITE**

50.1 Upon receipt of such notice the **Contractor** shall immediately discontinue all further operations under this **Contract** and shall immediately quit the **Site**, leaving untouched all plant, materials, equipment, tools and supplies then on the **Site**.

#### **ARTICLE 51. COMPLETION OF THE WORK**

51.1 The **Commissioner**, after declaring the **Contractor** in default, may then have the **Work** completed by such means and in such manner, by **Contract** with or without public letting, or otherwise, as he/she may deem advisable, utilizing for such purpose such of the **Contractor's** plant, materials, equipment, tools and supplies remaining on the **Site**, and also such **Subcontractors**, as he/she may deem advisable.

51.2 After such completion, the **Commissioner** shall make a certificate stating the expense incurred in such completion, which shall include the cost of re-letting and also the total amount of liquidated damages (at the rate provided for in the **Contract**) from the date when the **Work** should have been completed by the **Contractor** in accordance with the terms hereof to the date of actual completion of the **Work**. Such certificate shall be binding and conclusive upon the **Contractor**, its Sureties, and any person claiming under the **Contractor**, as to the amount thereof.

51.3 The expense of such completion, including any and all related and incidental costs, as so certified by the **Commissioner**, and any liquidated damages assessed against the **Contractor**, shall be charged against and deducted out of monies which are earned by the **Contractor** prior to the date of default. Should the expense of such completion, as certified by the **Commissioner**, exceed the total sum which would have been payable under the **Contract** if it had been completed by the **Contractor**, any excess shall be paid by the **Contractor**.

#### **ARTICLE 52. PARTIAL DEFAULT**

52.1 In case the **Commissioner** shall declare the **Contractor** in default as to a part of the **Work** only, the **Contractor** shall discontinue such part, shall continue performing the remainder of the **Work** in strict conformity with the terms of this **Contract**, and shall in no way hinder or interfere with any **Other Contractor(s)** or persons whom the **Commissioner** may engage to complete the **Work** as to which the **Contractor** was declared in default.

52.2 The provisions of this Chapter relating to declaring the **Contractor** in default as to the entire **Work** shall be equally applicable to a declaration of partial default, except that the **Commissioner** shall be entitled to utilize for completion of the part of the **Work** as to which the **Contractor** was declared in default only such plant, materials, equipment, tools and supplies as had been previously used by the **Contractor** on such part.

#### **ARTICLE 53. PERFORMANCE OF UNCOMPLETED WORK**

53.1 In completing the whole or any part of the **Work** under the provision of this Chapter X, the **Commissioner** shall have the power to depart from or change or vary the terms and provisions of this **Contract**, provided, however, that such departure, change or variation is made for the purpose of reducing the time or expense of such completion. Such departure, change or variation, even to the extent of accepting a lesser or different performance, shall not affect the conclusiveness of the **Commissioner's** certificate of the cost of completion referred to in Article 51, nor shall it constitute a defense to an action to recover the amount by which such certificate exceeds the amount which would have been payable to the **Contractor** hereunder but for its default.

#### **ARTICLE 54. OTHER REMEDIES**

54.1 In addition to the right to declare the **Contractor** in default pursuant to this Chapter X, the **Commissioner** shall have the absolute right, in his/her sole discretion and without a hearing, to complete or cause to complete in the same manner as described in Articles 51 and 53, any or all unsatisfactory or uncompleted punch list **Work** that remains after the completion date specified in the Final Approved Punch List. A written notice of the exercise of this right shall be sent to the **Contractor** who shall immediately quit the **Site** in accordance with the provisions of Article 50.

54.2 The previous provisions of this Chapter X shall be in addition to any and all other legal or equitable remedies permissible in the premises.

54.3 The exercise by the **City** of any remedy set forth herein shall not be deemed a waiver by the **City** of any other legal or equitable remedy contained in this **Contract** or provided under **Law**.

54.4 The expense of such completion, including any and all related and incidental costs, as so certified by the **Commissioner**, shall be charged against and deducted out of monies which have been earned by the **Contractor** prior to the date of the exercise of the right set forth in Article 54.1; the balance of such monies, if any, subject to the other provisions of this **Contract**, to be paid to the **Contractor** without interest after such completion. Should the expense of such completion, as certified by the **Commissioner**, exceed the total sum which would have been payable under the **Contract** if it had been completed by the **Contractor**, any excess shall be paid by the **Contractor**.

### **CHAPTER XI MISCELLANEOUS PROVISIONS**

#### **ARTICLE 55. CONTRACTOR'S WARRANTIES**

55.1 In consideration of, and to induce, the award of this **Contract** to the **Contractor**, the **Contractor** represents and warrants:

55.1.1 That it is financially solvent, sufficiently experienced and competent to perform the **Work**;  
and

55.1.2 That the facts stated in its bid and the information given by it pursuant to the Information for Bidders is true and correct in all respects; and

55.1.3 That it has read and complied with all requirements set forth in the Contract.

#### **ARTICLE 56. CLAIMS AND ACTIONS THEREON**

56.1 Any claim, that is not subject to dispute resolution under the PPB Rules or this Contract, against the City for damages for breach of Contract shall not be made or asserted in any lawsuit, unless the Contractor shall have strictly complied with all requirements relating to the giving of notice and of information with respect to such claims, as herein before provided.

56.2 Nor shall any lawsuit be instituted or maintained on any such claims unless such lawsuit is commenced within six (6) months after the date the Commissioner issues a Certificate of Substantial Completion pursuant to Article 44; except that:

56.2.1 Any claims arising out of events occurring after the date the Commissioner issues a Certificate of Substantial Completion and before Final Acceptance of the Work shall be asserted within six (6) months of Final Acceptance of the Work;

56.2.2 Any claims for monies deducted, retained or withheld under the provisions of this Contract shall be asserted within six (6) months after the date when such monies becomes due and payable hereunder; and

56.2.3 If the Commissioner exercises his/her right to terminate the Contract pursuant to Article 64, any such lawsuit shall be commenced within six (6) months of the date the Commissioner exercises said right.

#### **ARTICLE 57. INFRINGEMENT**

57.1 The Contractor shall be solely responsible for and shall indemnify the City against any and all claims and judgments for damages for any infringement of copyright and patents or use of patented articles, tools, materials, equipment, appliances or processes in the performance or completion of the Work, including all costs and expenses which the City shall or may incur or be obligated to pay by reason thereof.

#### **ARTICLE 58. NO CLAIM AGAINST OFFICERS, AGENTS OR EMPLOYEES**

58.1 No claim whatsoever shall be made by the Contractor against any officer, agent or employee of the City for, or on account of, anything done or omitted to be done in connection with this Contract.

#### **ARTICLE 59. SERVICES OF NOTICES**

59.1 The Contractor hereby designates the business address specified in its bid, as the place where all notices, directions or other communications to the Contractor may be delivered, or to which they may be mailed. Actual delivery of any such notice, direction or communication to the aforesaid place, or depositing it in a postpaid wrapper addressed thereto in any post office box (P.O. Box) regularly maintained by the United States Postal Service, shall be conclusively deemed to be sufficient service thereof upon the Contractor as the date of such delivery or deposit.

59.2 Such address may be changed at any time by an instrument in writing, executed and acknowledged by the Contractor, and delivered to the Commissioner.

59.3 Nothing herein contained shall, however, be deemed to preclude or render inoperative the service of any notice, direction or other communication upon the Contractor personally, or, if the Contractor is a corporation, upon any officer thereof.

#### **ARTICLE 60. UNLAWFUL PROVISIONS DEEMED STRICKEN FROM CONTRACT**

60.1 If this Contract contains any unlawful provision not an essential part of the Contract and which shall not appear to have been a controlling or material inducement to the making thereof, the same shall be deemed of no effect and shall, upon notice by either party, be deemed stricken from the Contract without affecting the binding force of the remainder.

#### **ARTICLE 61. ALL LEGAL PROVISIONS DEEMED INCLUDED**

61.1 It is the intent and understanding of the parties to this Contract that each and every provision of Law required to be inserted in this Contract shall be and is inserted herein. Furthermore, it is hereby stipulated that every such provision is to be deemed to be inserted herein, and if, through mistake or otherwise, any such provision is not inserted, or is not inserted in correct form, then this Contract shall forthwith upon the application of either party be amended by such insertion so as to comply strictly with the Law and without prejudice to the rights of either party hereunder.

#### **ARTICLE 62. TAX EXEMPTION**

62.1 The City is exempt from payment of Federal, State, local taxes and Sales and Compensation Use Taxes of the State of New York and of cities and counties on all materials and supplies sold to the City pursuant to the provisions of this Contract. These taxes are not to be included in bids. However, this exemption does not apply to tools, machinery, equipment or other property leased by or to the Contractor or a Subcontractor, or to supplies and materials which even though they are consumed, are not incorporated into the completed Work (consumable supplies), and the Contractor and its Subcontractors shall be responsible for and pay any and all applicable taxes, including Sales and Compensation Use Taxes, on such leased tools, machinery, equipment or other property and upon all such unincorporated supplies and materials.

62.2 The Contractor agrees to sell and the City agrees to purchase all supplies and materials, other than consumable supplies, required, necessary or proper for or incidental to the construction of the Project covered by this Contract. The sum paid under this Contract for such supplies and materials shall be in full payment and consideration for the sale of such supplies and materials herein.

62.2.1 The Contractor agrees to construct the Project and to perform all Work, labor and services rendered, necessary, proper or incidental thereto for the sum shown in the bid for the performance of such Work, labor and services, and the sum so paid pursuant to this Contract for such Work, labor, etc., shall be in full consideration for the performance by the Contractor of all its duties and obligations under this Contract in connection with said Work and labor.

62.3 The purchase by the Contractor of the supplies and materials sold hereunder shall be a purchase or procurement for resale and therefore not subject to the New York State or City Sales or Compensation Use Taxes or any such taxes of cities or counties. The sale of such supplies and materials by the Contractor to the City is exempt from the aforesaid sales or compensating use taxes. With respect to such supplies and materials, the



**Contractor**, at the request of the **City**, shall furnish to the **City** such Bills of Sale and other instruments as may be required by the **City**, properly executed, acknowledged and delivered assuring to the **City** title to such supplies and materials, free of liens and/or encumbrances, and the **Contractor** shall mark or otherwise identify all such materials as the property of the **City**.

62.4 Title to all materials to be sold by the **Contractor** to the **City** pursuant to the provisions of the **Contract** shall immediately vest in and become the sole property of the **City** upon delivery of such supplies and materials to the **Site** and prior to its becoming a part of the permanent structure and/or construction. Notwithstanding such transfer of title, the **Contractor** shall have the full and continuing responsibility to install such materials and supplies in accordance with the provisions of this **Contract**, protect them, maintain them in a proper condition and forthwith repair, replace and make good any damage thereto, theft or disappearance thereof, and furnish additional materials in place of any that may be lost, stolen or rendered unusable, without cost to the **City**, until such time as the **Work** covered by the **Contract** is fully accepted by the **City**. Such transfer of title shall in no way affect any of the **Contractor's** obligations hereunder. In the event that, after title has passed to the **City**, any of such supplies and materials are rejected as being defective or otherwise unsatisfactory, title to all such supplies and materials shall be deemed to have been transferred back to the **Contractor**.

62.5 The purchase by **Subcontractors** of supplies and materials to be sold hereunder shall also be a purchase or procurement for resale to the **Contractor** (either directly or through other **Subcontractors**) and therefore not subject to the aforesaid Sales or Compensation Use Taxes, provided that the subcontract agreements provide for the resale of such supplies and materials prior to and separate and apart from the incorporation of such supplies and materials into the permanent structure and/or construction and that such subcontract agreements are in a form similar to this **Contract** with respect to the separation of the sale of materials from the **Work** and labor, services, consumable supplies and any other matters to be provided, and provided further that the subcontract agreements provide separate prices for materials and all other services and matters. Such separation shall actually be followed in practice, including the separation of payments for supplies and materials from the payments for other **Work** and labor and other things to be provided.

62.6 The **Contractor** and its **Subcontractors** and Materialmen shall obtain any and all necessary **Contractor Exempt Purchase Certificates** or **Resale Certificates** from the appropriate governmental **Agency** or **Agencies**, and furnish a **Contractor Exempt Purchase Certificate** or **Resale Certificate** to all persons, firms or corporations from which they purchase supplies and materials for the performance of the **Work** covered by this **Contract**.

62.7 In the event any of the provisions of this article shall be deemed to be in conflict with any other provisions of this **Contract** or create any ambiguity, then the provisions of this article shall control.

#### **ARTICLE 63. INVESTIGATION(S) CLAUSE**

63.1 The parties to this **Contract** agree to cooperate fully and faithfully with any investigation, audit or inquiry conducted by a United States, a State of New York (State) or a **City** governmental **Agency** or authority that is empowered directly or by designation to compel the attendance of witnesses and to examine witnesses under oath, or conducted by the Inspector General of a governmental **Agency** that is a party in interest to the transaction, submitted bid, submitted proposal, **Contract**, lease, permit or license that is the subject of the investigation, audit or inquiry.

63.2 If any person who has been advised that his/her statement, and any information from such statement, will not be used against him/her in any subsequent criminal proceeding refuses to testify before a grand jury or other governmental **Agency** or authority empowered directly or by designation to compel the attendance of witnesses and to examine witnesses under oath concerning the award of or performance under any transaction, agreement, lease, permit, **Contract**, or license entered into with the **City**, the State, or any political subdivision or

public authority thereof, or the Port Authority of New York and New Jersey, or any local development corporation within the City, or any public benefit corporation organized under the Laws of the State of New York, or;

63.3 If any person refuses to testify for a reason other than the assertion of his/her privilege against self incrimination in an investigation, audit or inquiry conducted by a City or State governmental Agency or authority empowered directly or by designation to compel the attendance of witnesses and to take testimony under oath, or by the Inspector General of the governmental Agency that is a party in interest in, and is seeking testimony concerning the award of, or performance under any transaction, agreement, lease, permit, Contract, or license entered into with the City, the State, or any political subdivision thereof or any local development corporation within the City, then;

63.4 The Commissioner whose Agency is a party in interest to the transaction, submitted bid, submitted proposal, Contract, lease, permit, or license shall convene a hearing, upon not less than five (5) days written notice to the parties involved to determine if any penalties should attach for the failure of a person to testify.

63.5 If any non-governmental party to the hearing requests an adjournment, the Commissioner who convened the hearing may, upon granting the adjournment, suspend any Contract, lease, permit, or license, pending the final determination pursuant to Article 63.7 without the City incurring any penalty or damages for delay or otherwise.

63.6 The penalties which may attach after a final determination by the Commissioner may include but shall not exceed:

63.6.1 The disqualification for a period not to exceed five (5) years from the date of an adverse determination for any person, or any entity of which such person was a member at the time the testimony was sought, from submitting bids for, or transacting business with, or entering into or obtaining any Contract, lease, permit or license with or from the City; and/or

63.6.2 The cancellation or termination of any and all such existing City Contracts, leases, permits or licenses that the refusal to testify concerns and that have not been assigned as permitted under this Agreement, nor the proceeds of which pledged, to an unaffiliated and unrelated institutional lender for fair value prior to the issuance of the notice scheduling the hearing, without the City incurring any penalty or damages on account of such cancellation or termination; monies lawfully due for goods delivered, Work done, rentals, or fees accrued prior to the cancellation or termination shall be paid by the City.

63.7 The Commissioner shall consider and address in reaching his/her determination and in assessing an appropriate penalty the factors in Articles 63.7.1 and 63.7.2. The Commissioner may also consider, if relevant and appropriate, the criteria established in Articles 63.7.3 and 63.7.4, in addition to any other information which may be relevant and appropriate:

63.7.1 The party's good faith endeavors or lack thereof to cooperate fully and faithfully with any governmental investigation or audit, including but not limited to the discipline, discharge, or disassociation of any person failing to testify, the production of accurate and complete books and records, and the forthcoming testimony of all other members, agents, assignees or fiduciaries whose testimony is sought.

63.7.2 The relationship of the person who refused to testify to any entity that is a party to the hearing, including but not limited to, whether the person whose testimony is sought has an ownership interest in the entity and/or the degree of authority and responsibility the person has within the entity.

63.7.3 The nexus of the testimony sought to the subject entity and its **Contracts**, leases, permits or licenses with the **City**.

63.7.4 The effect a penalty may have on an unaffiliated and unrelated party or entity that has a significant interest in an entity subject to penalties under Article 63.6, provided that the party or entity has given actual notice to the **Commissioner** upon the acquisition of the interest, or at the hearing called for in Article 63.4, gives notice and proves that such interest was previously acquired. Under either circumstance the party or entity shall present evidence at the hearing demonstrating the potential adverse impact a penalty will have on such person or entity.

63.8 Definitions:

63.8.1 The term "license" or "permit" as used herein shall be defined as a license, permit, franchise or concession not granted as a matter of right.

63.8.2 The term "person" as used herein shall be defined as any natural person doing business alone or associated with another person or entity as a partner, director, officer, principal or employee.

63.8.3 The term "entity" as used herein shall be defined as any firm, partnership, corporation, association, joint venture, or person that receives monies, benefits, licenses, leases, or permits from or through the **City** or otherwise transacts business with the **City**.

63.8.4 The term "member" as used herein shall be defined as any person associated with another person or entity as a partner, director, officer, principal or employee.

63.9 In addition to and notwithstanding any other provision of this **Contract**, the **Commissioner** may in his/her sole discretion terminate this **Contract** upon not less than three (3) **Days** written notice in the event the **Contractor** fails to promptly report in writing to the **Commissioner** of the Department of Investigations ("DOI") of the **City** any solicitation of money, goods, requests for future employment or other benefit or thing of value, by or on behalf of any employee of the **City** or other person, firm, corporation or entity for any purpose which may be related to the procurement or obtaining of this **Contract** by the **Contractor**, or affecting the performance of this **Contract**.

**ARTICLE 64. TERMINATION BY THE CITY**

64.1 In addition to termination pursuant to any other article of this **Contract**, the **Commissioner** may, at any time, terminate this **Contract** by written notice to the **Contractor**. In the event of termination, the **Contractor** shall, upon receipt of such notice, unless otherwise directed by the **Commissioner**:

64.1.1 Stop **Work** on the date specified in the notice;

64.1.2 Take such action as may be necessary for the protection and preservation of the **City's** materials and property;

64.1.3 Cancel all cancelable orders for material and equipment;

64.1.4 Assign to the **City** and deliver to the **Site** or another location designated by the **Commissioner**, any non-cancelable orders for material and equipment that is not capable of use except in the performance of this **Contract** and has been specifically fabricated for the sole purpose of this **Contract** and not incorporated in the **Work**;

64.1.5 Take no action which will increase the amounts payable by the City under this Contract.

64.2 In the event of termination by the City pursuant to this article, payment to the Contractor shall be in accordance with Articles 64.2.1, 64.2.2 or 64.2.3, to the extent that each respective article applies.

64.2.1 Lump Sum Contracts or Items: On all lump sum Contracts, or on lump sum items in a Contract, the City will pay the Contractor the sum of Articles 64.2.1(a) and 64.2.1(b), less all payments previously made pursuant to this Contract. On lump sum Contracts only, the City will also pay the Contractor an additional sum as provided in 64.2.1(c).

64.2.1(a) For Work completed prior to the notice of termination, the Contractor shall be paid a pro rata portion of the lump sum bid amount, plus approved change orders, based upon the percent completion of the Work, as determined by the Commissioner. For the purpose of determining the pro rata portion of the lump sum bid amount to which the Contractor is entitled, the Bid Breakdown submitted in accordance with Article 41 shall be considered, but shall not be dispositive. The Commissioner's determination hereunder shall be final, binding and conclusive.

64.2.1(b) For non-cancelable material and equipment, less salvage value, that is not capable of use except in the performance of this Contract and has been specifically fabricated for the sole purpose of this Contract, but not yet incorporated in the Work, the Contractor shall be paid the lesser of:

64.2.1(b)(i) The direct cost, as defined in Article 64.2.4; or

64.2.1(b)(ii) The fair and reasonable value, whichever is less, of such material and equipment, plus necessary and reasonable delivery costs.

64.2.1(b)(iii) In addition, the Contractor shall be paid five (5%) percent of Article 64.2.1(b)(i) or Article 64.2.1(b)(ii), whichever applies.

64.2.1(c) Except as otherwise provided in Article 64.2.1(d), on all lump sum Contracts, the Contractor shall be paid the percentage indicated below applied to the difference between the total lump sum bid amount and the total of all payments made prior to the notice of termination plus all payments allowed pursuant to Articles 64.2.1(a) and 64.2.1(b):

64.2.1(c)(i) Five (5%) percent of the first five million (\$5,000,000.) dollars; and

64.2.1(c)(ii) Three (3%) percent of any amount between five million (\$5,000,000.) dollars and fifteen million (\$15,000,000.) dollars; plus

64.2.1(c)(iii) One (1%) percent of any amount over fifteen million (\$15,000,000.) dollars.

64.2.1(d) In the event the City terminates a lump sum Contract pursuant to this article within ninety (90) days after registration of the Contract with the Comptroller, the Contractor shall be paid one (1%) percent of the difference between the lump sum bid amount and the total of all payments made pursuant to this article.

64.2.2 Unit Price Contracts or Items: On all unit price **Contracts**, or on unit price items in a **Contract**, the **City** will pay the **Contractor** the sum of Articles 64.2.2(a) and 64.2.2(b), less all payments previously made pursuant to this **Contract**:

64.2.2(a) For all completed units, the unit price stated in the **Contract**, and

64.2.2(b) For units that have been ordered but are only partially completed, the **Contractor** will be paid:

64.2.2(b)(i) A pro rata portion of the unit price stated in the **Contract** based upon the percent completion of the unit and

64.2.2(b)(ii) For non-cancelable material and equipment, payment will be made pursuant to Article 64.2.1(b).

64.2.3 Time and Material Contracts or Items: On all **Contracts** or items in a **Contract** where time and material records are specified as the basis for payment of the **Work**, the **Contractor** shall be paid in accordance with Article 26, less all payments previously made pursuant to this **Contract**.

64.2.4 Direct Costs: Direct Costs as used in this article shall mean:

64.2.4(a) The actual purchase price of material and equipment, plus necessary and reasonable delivery costs,

64.2.4(b) The actual cost of labor involved in construction and installation at the **Site**, and

64.2.4(c) The actual cost of necessary bonds and insurance purchased pursuant to requirements of this **Contract** less any amounts that have been or should be refunded by the **Contractor's** sureties or insurance carriers.

64.2.4(d) Direct Cost shall not include overhead.

64.3 In no event shall any payments under this article exceed the **Contract** price for such items.

64.4 All payments pursuant to this article shall be in the nature of liquidated damages and shall be accepted by the **Contractor** in full satisfaction of all claims against the **City**.

64.5 The **City** may deduct or set off against any sums due and payable pursuant to this article, any deductions authorized by this **Contract** or by **Law** (including but not limited to liquidated damages) and any claims it may have against the **Contractor**. The **City's** exercise of the right to terminate the **Contract** pursuant to this article shall not impair or otherwise effect the **City's** right to assert any claims it may have against the **Contractor** in a plenary action.

64.6 Where the **Work** covered by the **Contract** has been substantially completed, as determined in writing by the **Commissioner**, termination of the **Work** shall be handled as an omission of **Work** pursuant to Articles 29 and 33, in which case a Change Order will be issued to reflect an appropriate reduction in the **Contract** Sum, or if the amount is determined after final payment, such amount shall be paid by the **Contractor**.

## **ARTICLE 65. CHOICE OF LAW, CONSENT TO JURISDICTION AND VENUE**

65.1 This Contract shall be deemed to be executed in the City of New York, State of New York, regardless of the domicile of the Contractor, and shall be governed by and construed in accordance with the Laws of the State of New York and the Laws of the United States, where applicable.

65.2 The parties agree that any and all claims asserted against the City arising under this Contract or related thereto shall be heard and determined in the courts of the State of New York ("New York State Courts") located in the City and County of New York. To effect this Contract and intent, the Contractor agrees:

65.2.1 If the City initiates any action against the Contractor in Federal Court or in New York State Court, service of process may be made on the Contractor either in person, wherever such Contractor may be found, or by registered mail addressed to the Contractor at its address as set forth in this Contract, or to such other address as the Contractor may provide to the City in writing; and

65.2.2 With respect to any action between the City and the Contractor in New York State Court, the Contractor hereby expressly waives and relinquishes any rights it might otherwise have:

65.2.2(a) To move to dismiss on grounds of forum non conveniens;

65.2.2(b) To remove to Federal Court; and

65.2.2(c) To move for a change of venue to a New York State Court outside New York County.

65.2.3 With respect to any action brought by the City against the Contractor in Federal Court located in the City, the Contractor expressly waives and relinquishes any right it might otherwise have to move to transfer the action to a United States Court outside the City.

65.2.4 If the Contractor commences any action against the City in a Court located other than in the City and State of New York, upon request of the City, the Contractor shall either consent to a transfer of the action to a State Court of competent jurisdiction located in the City and State of New York or, if the Court where the action is initially brought will not or cannot transfer the action, the Contractor shall consent to dismiss such action without prejudice and may thereafter reinstate the action in a State Court of competent jurisdiction in the City.

65.3 If any provision(s) of this article is held unenforceable for any reason, each and all other provision(s) shall nevertheless remain in full force and effect.

## **ARTICLE 66. PARTICIPATION IN AN INTERNATIONAL BOYCOTT**

66.1 The Contractor agrees that neither the Contractor nor any substantially owned affiliated company is participating or shall participate in an international boycott in violation of the provisions of the Export Administration Act of 1979, as amended, or the regulations of the United States Department of Commerce promulgated thereunder.

66.2 Upon the final determination by the Commerce Department or any other Agency of the United States as to, or conviction of the Contractor or a substantially-owned affiliated company thereof, participation in an international boycott in violation of the provisions of the Export Administration Act of 1979, as amended, or the regulations promulgated thereunder, the Comptroller may, at his/her option, render forfeit and void this Contract.

66.3 The **Contractor** shall comply in all respects, with the provisions of Section 6-114 of the Administrative Code and the rules and regulations issued by the **Comptroller** thereunder.

#### **ARTICLE 67. LOCALLY BASED ENTERPRISE PROGRAM**

67.1 This **Contract** is subject to the requirements of Section 6-108.1 of the Administrative Code and regulations promulgated thereunder. No construction **Contract** shall be awarded unless and until these requirements have been complied with in their entirety.

67.2 Unless specifically waived by the **Commissioner** with the approval of the Division of Economic and Financial Opportunity of the Department of Business Services, if any portion of the **Contract** is subcontracted, not less than ten (10%) percent of the total dollar amount of the **Contract** shall be awarded to locally based enterprise ("LBEs"); except that where less than ten (10%) percent of the total dollar amount of the **Contract** is subcontracted, such lesser percentage shall be so awarded.

67.3 The prime **Contractor** shall not require performance and payment bonds from LBE **Subcontractors**.

67.4 If the **Contractor** has indicated prior to award that no **Work** will be subcontracted, no **Work** shall be subcontracted without the prior approval of the **Commissioner**, which shall be granted only if the **Contractor** makes a good faith effort beginning at least six (6) weeks before the **Work** is to be performed to obtain LBE **Subcontractors** to perform the **Work**.

67.5 If the **Contractor** has not identified sufficient LBE **Subcontractors** prior to award, it shall sign a letter of compliance stating that it complies with Section 6-108.1 of the Administrative Code, recognizes that achieving the LBE requirement is a condition of its **Contract**, and shall submit documentation demonstrating its good faith efforts to obtain LBEs. After award, the **Contractor** shall begin to solicit LBE's to perform subcontracted **Work** at least six (6) weeks before the date such **Work** is to be performed and shall demonstrate that a good faith effort has been made to obtain LBE's on each subcontract until it meets the required percentage.

67.6 Failure of the **Contractor** to comply with the requirements of Section 6-108.1 of the Administrative Code and the regulations promulgated thereunder shall constitute a material breach of **Contract**. Remedy for such breach of **Contract** may include the imposition of any or all of the following sanctions:

67.6.1 Reducing a **Contractor's** compensation by an amount equal to the dollar value of the percentage of the LBE subcontracting requirement not complied with;

67.6.2 Declaring the **Contractor** in default;

67.6.3 Where non-compliance is by an LBE, de-certifying and declaring the LBE ineligible to participate in the LBE program for a period of up to three (3) years.

#### **ARTICLE 68. ANTITRUST**

68.1 The **Contractor** hereby assigns, sells and transfers to the **City** all right, title and interest in and to any claims and causes of action arising under the antitrust Laws of New York State or of the United States relating to the particular goods or services purchased or procured by the **City** under this **Contract**.

## **ARTICLE 69. MacBRIDE PRINCIPLES PROVISIONS**

### **69.1 Notice To All Prospective Contractors:**

69.1.1 Local Law No. 34 of 1991 became effective on September 10, 1991 and added Section 6-115.1 of the Administrative Code. The local Law provides for certain restrictions on City Contracts to express the opposition of the people of the City to employment discrimination practices in Northern Ireland to promote freedom of work-place opportunity.

69.1.2 Pursuant to Section 6-115.1, prospective Contractors for Contracts to provide goods or services involving an expenditure of an amount greater than ten thousand (\$10,000.) dollars, or for construction involving an amount greater than fifteen thousand (\$15,000.) dollars, are asked to sign a rider in which they covenant and represent, as a material condition of their Contract, that any business operations in Northern Ireland conducted by the Contractor and any individual or legal entity in which the Contractor holds a ten (10%) percent or greater ownership interest in the Contractor will be conducted in accordance with the MacBride Principles of nondiscrimination in employment.

69.1.3 Prospective Contractors are not required to agree to these conditions. However, in the case of Contracts let by competitive sealed bidding, whenever the lowest responsible bidder has not agreed to stipulate to the conditions set forth in this notice and another bidder who has agreed to stipulate to such conditions has submitted a bid within five (5%) percent of the lowest responsible bid for a Contract to supply goods, services or construction of comparable quality, the Agency shall refer such bids to the Mayor, the Speaker or other officials, as appropriate, who may determine, in accordance with applicable Law and rules, that it is in the best interest of the City that the Contract be awarded to other than the lowest responsible pursuant to Section 313(b)(2) of the City Charter.

69.1.4 In the case of Contracts let by other than competitive sealed bidding, if a prospective Contractor does not agree to these conditions, no Agency, elected official or the City Council shall award the Contract to that bidder unless the Agency seeking to use the goods, services or construction certifies in writing that the Contract is necessary for the Agency to perform its functions and there is no other responsible Contractor who will supply goods, services or construction of comparable quality at a comparable price.

69.2 In accordance with Section 6-115.1 of the Administrative Code, the Contractor stipulates that such Contractor and any individual or legal entity in which the Contractor holds a ten (10%) percent or greater ownership interest in the Contractor either:

69.2.1 Have no business operations in Northern Ireland, or

69.2.2 Shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Principles, and shall permit independent monitoring of their compliance with such principles.

69.3 For purposes of this Article, the following terms shall have the following meanings:

69.3.1 "MacBride Principles" shall mean those principles relating to nondiscrimination in employment and freedom of work-place opportunity which require employers doing business in Northern Ireland to:



69.3.1(a) increase the representation of individuals from under-represented religious groups in the workforce, including managerial, supervisory, administrative, clerical and technical jobs;

69.3.1(b) take steps to promote adequate security for the protection of employees from under-represented religious groups both at the work-place and while traveling to and from Work;

69.3.1(c) ban provocative religious or political emblems from the workplace;

69.3.1(d) publicly advertise all job openings and make special recruitment efforts to attract applicants from under-represented religious groups;

69.3.1(e) establish layoff, recall and termination procedures which do not in practice favor a particular religious group;

69.3.1(f) abolish all job reservations, apprenticeship restrictions and different employment criteria which discriminate on the basis of religion;

69.3.1(g) develop training programs that will prepare substantial numbers of current employees from under-represented religious groups for skilled jobs, including the expansion of existing programs and the creation of new programs to train, upgrade and improve the skills of workers from under-represented religious groups;

69.3.1(h) establish procedures to assess, identify and actively recruit employees from under-represented religious groups with potential for further advancement; and

69.3.1(i) appoint a senior management staff member to oversee affirmative action efforts and develop a timetable to ensure their full implementation.

69.4 The Contractor agrees that the covenants and representations in Article 69.2 are material conditions to this Contract. In the event the Agency receives information that the Contractor who made the stipulation required by this Article is in violation thereof, the Agency shall review such information and give the Contractor an opportunity to respond. If the Agency finds that a violation has occurred, the Agency shall have the right to declare the Contractor in default and/or terminate this Contract for cause and procure supplies, services or Work from another source in the manner the Agency deems proper. In the event of such termination, the Contractor shall pay to the Agency, or the Agency in its sole discretion may withhold from any amounts otherwise payable to the Contractor, the difference between the Contract price for the uncompleted portion of this Contract and the cost to the Agency of completing performance of this Contract either itself or by engaging another Contractor or Contractors. In the case of a requirement Contract, the Contractor shall be liable for such difference in price for the entire amount of supplies required by the Agency for the uncompleted term of Contractor's Contract. In the case of a construction Contract, the Agency shall also have the right to hold the Contractor in partial or total default in accordance with the default provisions of this Contract, and/or may seek debarment or suspension of the Contractor. The rights and remedies of the Agency hereunder shall be in addition to, and not in lieu of, any rights and remedies the Agency has pursuant to this Contract or by operation of Law.

#### ARTICLE 70. HEALTH INSURANCE COVERAGE

70.1 If the price for which this Contract was awarded exceeds \$100,000, or if the price for which this Contract was awarded when combined with other construction or services contracts awarded the Contractor by the City in the year prior to award of this Contract exceeds \$100,000, the Contractor, following registration of the Contract, shall be required to submit responses to requests for information regarding the nature of any health

insurance provided by the Contractor to its employees and their spouses and domestic partners, upon request of the Agency or other designated City agency.

#### ARTICLE 71. PROHIBITION OF TROPICAL HARDWOODS

71.1 Tropical hardwoods, as defined in Section 165 of the New York State Finance Law ("Finance Law"), shall not be utilized in the performance of this Contract except as expressly permitted by Section 165 of the Finance Law.

#### ARTICLE 72. CONFLICTS OF INTEREST

72.1 Section 2604 of the City Charter and other related provisions of the City Charter, the Administrative Code and the Penal Law are applicable under the terms of this Contract in relation to Conflicts of Interest and shall be extended to Subcontractors authorized to perform Work, labor and services pursuant to this Contract and further, it shall be the duty and responsibility of the Contractor to so inform its respective Subcontractors. Notice is hereby given that, under certain circumstances, penalties may be invoked against the donor as well as the recipient of any form of valuable gift.

#### ARTICLE 73. MERGER CLAUSE

73.1 The Written Contract herein, contains all the terms and conditions agreed upon by the parties hereto, and no other agreement, oral or otherwise, regarding the subject matter of this Contract shall be deemed to exist or to bind any of the parties hereto, or to vary any of the terms contained herein.

#### ARTICLE 74. STATEMENT OF WORK

74.1 The Contractor shall furnish all labor and materials and perform all Work in strict accordance with the Specifications and Addenda thereto, numbered 6.

#### ARTICLE 75. COMPENSATION TO BE PAID TO CONTRACTOR

75.1 The City will pay and the Contractor will accept in full consideration for the performance of the Contract, subject to additions and deductions as provided herein, the total sum of: \_\_\_\_\_ Dollars, (~~\$56,226,907.59~~), this said sum being the Amount at which the Contract was awarded to the Contractor at a public letting thereof, based upon the Contractor's bid for the Contract.

*Fifty Six Million, Two Hundred Twenty Six Thousand, Nine Hundred Seven Dollars -*

#### ARTICLE 76. ELECTRONIC FUNDS TRANSFER

76.1 In accordance with Section 6-107.1 of the New York City Administrative Code, the Contractor agrees to accept payments under this Agreement from the City by electronic funds transfer. An electronic funds transfer is any transfer of funds, other than a transaction originated by check, draft or similar paper instrument, which is initiated through an electronic terminal, telephonic instrument or computer or magnetic tape so as to order, instruct or authorize a financial institution to debit or credit an account. Prior to the first payment made under this Agreement, Contractor shall designate one financial institution or other authorized payment agent and shall complete the "EFT Vendor Payment Enrollment Form" (available at <http://www.nyc.gov/dof>) in order to provide the Commissioner of Finance with information necessary for Contractor to receive electronic funds transfer payments through the designated financial institution or authorized payment agent. The crediting of the amount of a

payment to the appropriate account on the books of a financial institution or other authorized payment agent designated by the Contractor shall constitute full satisfaction by the City for the amount of the payment under this agreement. The account information supplied by the Contractor to facilitate the electronic funds transfer shall remain confidential to the fullest extent provided by law.

76.2 The agency head may waive the application of the requirements herein to payments on contracts entered into pursuant to §315 of the City Charter. In addition, the Commissioner of the Department of Finance and the Comptroller may jointly issue standards pursuant to which the contracting agency may waive the requirements hereunder for payments in the following circumstances: (i) for individuals or classes of individuals for whom compliance imposes a hardship; (ii) for classifications or types of checks; or (iii) in other circumstances as may be necessary in the interest of the City.

**ARTICLE 77 – PARTICIPATION BY MINORITY-OWNED AND WOMEN-OWNED BUSINESS ENTERPRISES IN CITY PROCUREMENT**

**NOTICE TO ALL PROSPECTIVE CONTRACTORS**

**ARTICLE I. M/WBE PROGRAM**

Local Law No. 129 of 2005 added Section 6-129 to the Administrative Code of the City of New York. The local law creates a program for participation by minority-owned and women-owned business enterprises (MBEs and WBEs) in City procurement. As stated in the Section 6-129, the intent of the program is to address the impact of discrimination on the City's procurement process, and to promote the public interest in avoiding fraud and favoritism in the procurement process, increasing competition for City business, and lowering contract costs. The contract provisions contained herein are made pursuant to Local Law 129, and the rules of the Department of Small Business Services ("DSBS") promulgated thereunder.

If this Contract is subject to the Minority-Owned and Women-Owned Business Enterprise ("M/WBE") program created by Local Law 129, the specific requirements of M/WBE participation for this Contract are set forth in Schedule B of the Contract (entitled the "Subcontractor Utilization Plan"), and are detailed below. The Contractor must comply with all applicable M/WBE requirements for this Contract. Schedule B of the Contract ("Subcontractor Utilization Plan") is included in the Bid Booklet.

Article I, Part A, below, sets forth provisions related to the participation goals for construction and professional services contracts. Article I, Part B, below, sets forth miscellaneous provisions related to the M/WBE program.

**PART A: PARTICIPATION GOALS FOR CONSTRUCTION AND PROFESSIONAL SERVICES CONTRACTS**

1. The Target Subcontracting Percentage applicable to this Contract is set forth on Schedule B, Part I to this Contract (see Page 1, line (1)). The "Target Subcontracting Percentage" is the percentage of the total Contract which Agency anticipates that the prime contractor for this Contract would in the normal course of business award to one or more subcontractors for amounts under \$1 million for construction and professional services.

A prospective contractor may seek a full or partial pre-award waiver of the Target Subcontracting Percentage in accordance with Local Law 129 and Part A, Section 10 below. To apply for the a full or partial waiver of the Target Subcontracting Percentage, a prospective contractor must complete Part III (Page 4) of Schedule B, and must submit such request no later than seven (7) days prior to the date and time the bids or proposals are due, in writing to the Agency by e-mail at [poped@ddc.nyc.gov](mailto:poped@ddc.nyc.gov) or via facsimile at (718) 391-1885. Bidders/proposers who have submitted requests will receive a response by no later than two (2) calendar days prior to the date bids or proposals are due, provided, however, that if that date would fall on a weekend or holiday, a response will be provided by close-of-business on the business day before such weekend or holiday date.

2. The **Subcontractor Participation Goals** established for this Contract are set forth on Schedule B, Part I to this Contract (see Page 1, line (2) and/or line (3)). The **Subcontractor Participation Goals** represent a percentage of the total dollar value of all construction and/or professional services subcontracts under this Agreement for amounts under \$1 million.

3. If **Subcontractor Participation Goals** have been established for this Contract, Contractor agrees or shall agree as a material term of the Agreement that, with respect to the total amount of the Agreement to be awarded to one or more subcontractors pursuant to subcontracts for amounts under \$1 million, Contractor shall be subject to the **Subcontractor Participation Goals**, unless the goals are modified by Agency in accordance with Local Law 129 and Part A, Section 11 below.

4. If **Subcontractor Participation Goals** have been established for this Contract, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, Part II Subcontractor Utilization Plan (see Page 2-3) indicating: (a) the percentage of work it intends to subcontract; (b) the percentage of work it intends to award to subcontractors for amounts under \$1 million; (c) in cases where the prospective contractor intends to award subcontracts for amounts under \$1 million, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs; and (d) the general time frames in which such work by MBEs and/or WBEs is scheduled to occur. In the event that this Subcontractor Utilization Plan indicates that the bidder or proposer, as applicable, does not intend to award the **Target Subcontracting Percentage**, the bid or proposal, as applicable, shall be deemed non-responsive, unless Agency has granted the bidder or proposer, as applicable, a pre-award waiver of the **Target Subcontracting Percentage** in accordance with Local Law 129 and Part A, Section 10 below.

**THE BIDDER/PROPOSER MUST COMPLETE THE SUBCONTRACTOR UTILIZATION PLAN INCLUDED HEREIN (SCHEDULE B, PART II). SUBCONTRACTOR UTILIZATION PLANS WHICH DO NOT INCLUDE THE REQUIRED AFFIRMATIONS WILL BE DEEMED TO BE NON-RESPONSIVE, UNLESS A FULL WAIVER OF THE TARGET SUBCONTRACTING PERCENTAGE IS GRANTED (SCHEDULE B PART III). IN THE EVENT THAT THE CITY DETERMINES THAT VENDOR HAS SUBMITTED A SUBCONTRACTOR UTILIZATION PLAN WHERE THE REQUIRED AFFIRMATIONS ARE COMPLETED BUT OTHER ASPECTS OF THE PLAN ARE NOT COMPLETE, OR CONTAIN A COPY OR COMPUTATION ERROR THAT IS AT ODDS WITH THE AFFIRMATION, THE VENDOR WILL BE NOTIFIED BY THE AGENCY AND WILL BE GIVEN FOUR (4) CALENDAR DAYS FROM RECEIPT OF NOTIFICATION TO CURE THE SPECIFIED DEFICIENCIES AND RETURN A COMPLETED PLAN TO THE AGENCY. FAILURE TO DO SO WILL RESULT IN A DETERMINATION THAT THE BID/PROPOSAL IS NON-RESPONSIVE. RECEIPT OF NOTIFICATION IS DEFINED AS THE DATE NOTICE IS EMAILED OR FAXED (IF THE VENDOR HAS PROVIDED AN EMAIL ADDRESS OR FAX NUMBER), OR NO LATER THAN FIVE (5) DAYS FROM THE DATE OF MAILING OR UPON DELIVERY, IF DELIVERED.**

5. Where a Subcontractor Utilization Plan has been submitted, the Contractor shall, within 30 days of issuance by Agency of a notice to proceed, submit a list of proposed persons or entities to which it intends to award subcontracts within the subsequent 12 months. In the case of multi-year contracts, such list shall also be submitted every year thereafter. **PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating; hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor must identify all those to which it intends to award construction subcontracts for any portion of the Wicks trade work at the time of bid submission, regardless of what point in the life of the contract such subcontracts will occur. In identifying intended subcontractors in the bid submission, bidders may satisfy any Subcontractor Participation Goals established for this Contract by proposing one or more subcontractors that are M/WBEs for any portion of the Wicks trade work if the amount to be awarded to such M/WBE subcontractor is under**

**\$1 million.** In the event that the Contractor's selection of a subcontractor is disapproved, the Contractor shall have a reasonable time to propose alternate subcontractors.

6. M/WBE firms must be certified by DSBS in order for the Contractor to credit such firms' participation toward the attainment of the M/WBE participation goals. Such certification must occur prior to the firms' commencement of work as subcontractors. A list of M/WBE firms may be obtained from the DSBS website at [www.nyc.gov/buycertified](http://www.nyc.gov/buycertified), by emailing DSBS at [buyer@sbs.nyc.gov](mailto:buyer@sbs.nyc.gov), by calling (212) 513-6356, or by visiting or writing DSBS at 110 William St., New York, New York, 10038, 7<sup>th</sup> floor. Eligible firms that have not yet been certified may contact DSBS in order to seek certification by visiting [www.nyc.gov/getcertified](http://www.nyc.gov/getcertified), emailing [MWBE@sbs.nyc.gov](mailto:MWBE@sbs.nyc.gov), or calling the DSBS certification helpline at (212) 513-6311.

7. Where a Subcontractor Utilization Plan has been submitted, the Contractor shall, with each voucher for payment, and/or periodically as Agency may require, submit statements, certified under penalty of perjury, which shall include, but not be limited to, the total amount paid to subcontractors (including subcontractors that are not MBEs or WBEs); the names, addresses and contact numbers of each MBE or WBE hired as a subcontractor pursuant to such plan as well as the dates and amounts paid to each MBE or WBE. The Contractor shall also submit, along with its voucher for final payment, the total amount paid to subcontractors (including subcontractors that are not MBEs or WBEs); and a final list, certified under penalty of perjury, which shall include the name, address and contact information of each subcontractor that is an MBE or WBE hired pursuant to such plan, the work performed by, and the dates and amounts paid to each.

8. If payments made to, or work performed by, MBEs or WBEs are less than the amount specified in the Contractor's Subcontractor Utilization Plan, Agency shall take appropriate action, in accordance with Local Law 129 and Article II below, unless the Contractor has obtained a modification of its Subcontractor Utilization Plan in accordance with Local Law 129 and Part A, Section 11 below.

9. Where a Subcontractor Utilization Plan has been submitted, and the Contractor requests a change order the value of which exceeds 10 percent of the Agreement, Agency shall establish participation goals for the work to be performed pursuant to the change order.

10. **Pre-award waiver of Target Subcontracting Percentage.** Agency may grant a full or partial waiver of the **Target Subcontracting Percentage** to a bidder or proposer, as applicable, who demonstrates—before submission of the bid or proposal—that it has legitimate business reasons for proposing the level of subcontracting in its Subcontractor Utilization Plan. In making its determination, Agency shall consider factors that shall include, but not be limited to, whether the bidder or proposer, as applicable, has the capacity and the bona fide intention to perform the Contract without any subcontracting, or to perform the Contract without awarding the amount of subcontracts for under one million dollars represented by the **Target Subcontracting Percentage**. In making such determination, Agency may consider whether the Subcontractor Utilization Plan is consistent with past subcontracting practices of the bidder or proposer, as applicable, and whether the bidder or proposer, as applicable, has made good faith efforts to identify portions of the Contract that it intends to subcontract.

11. **Modification of Subcontractor Utilization Plan.** A Contractor may request a modification of its Subcontractor Utilization Plan (**Subcontractor Participation Goals**) after award of this Contract. **PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor may request a Modification of its Subcontractor Utilization Plan as part of its bid submission.** The Agency may grant a request for Modification of a Contractor's Subcontractor Utilization Plan if it determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts to meet the **Subcontractor Participation Goals**. In making such determination, Agency shall consider evidence of the following efforts, as applicable, along with any other relevant factors:

- (a) The Contractor advertised opportunities to participate in the Contract, where appropriate, in general circulation media, trade and professional association publications and small business media, and publications of minority and women's business organizations;
- (b) The Contractor provided notice of specific opportunities to participate in the Contract, in a timely manner, to minority and women's business organizations;
- (c) The Contractor sent written notices, by certified mail or facsimile, in a timely manner, to advise MBEs and WBEs that their interest in the Contract was solicited;
- (d) The Contractor made efforts to identify portions of the work that could be substituted for portions originally designated for participation by MBEs and/or WBEs in the Subcontractor Utilization Plan, and for which the Contractor claims an inability to retain MBEs or WBEs;
- (e) The Contractor held meetings with MBEs and/or WBEs prior to the date their bids or proposals were due, for the purpose of explaining in detail the scope and requirements of the work for which their bids or proposals were solicited;
- (f) The Contractor made efforts to negotiate with MBEs and/or WBEs as relevant to perform specific subcontracts;
- (g) Timely written requests for assistance made by the Contractor to Agency's M/WBE liaison officer and to DSBS;
- (h) Description of how recommendations made by DSBS and Agency were acted upon and an explanation of why action upon such recommendations did not lead to the desired level of participation of MBEs and/or WBEs.

Agency's M/WBE officer shall provide written notice to the Contractor of the determination.

12. If this Contract is for an indefinite quantity of construction or professional services or is a requirements type contract and the Contractor has submitted a Subcontractor Utilization Plan and has committed to subcontract work to MBEs and/or WBEs in order to meet the **Subcontractor Participation Goals**, the Contractor will not be deemed in violation of the M/WBE requirements for this Contract with regard to any work which was intended to be subcontracted to an MBE and/or WBE to the extent that the Agency has determined that such work is not needed.

13. If **Subcontractor Participation Goals** have been established for this Contract, Agency shall evaluate and assess the Contractor's performance in meeting those goals, and such evaluation and assessment shall become part of the Contractor's overall contract performance evaluation.

### PART B: MISCELLANEOUS

1. The Contractor shall take notice that, if this solicitation requires the establishment of a Subcontractor Utilization Plan, the resulting contract may be audited by DSBS to determine compliance with Section 6-129. See 6-129(e)(10). Furthermore, such resulting contract may also be examined by the City's Comptroller to assess compliance with the Subcontractor Utilization Plan.
2. Pursuant to DSBS rules, construction contracts that include a requirement for a Subcontractor Utilization Plan shall not be subject to the law governing Locally Based Enterprises set forth in Administrative Code Section 6-108.1.
3. DSBS is available to assist contractors and potential contractors in determining the availability of MBEs and WBEs to participate as subcontractors, and in identifying opportunities that are appropriate for participation by MBEs and WBEs in contracts.
4. Prospective contractors are encouraged to enter into joint ventures with MBEs and WBEs.
5. By submitting a bid or proposal the Contractor hereby acknowledges its understanding of the M/WBE requirements set forth herein and the pertinent provisions of Local Law 129 of 2005, and any rules promulgated thereunder, and if awarded this Contract, the Contractor hereby agrees to comply with the M/WBE requirements of

this Contract and pertinent provisions of Local Law 129 of 2005, and any rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract. The Contractor hereby agrees to make all reasonable, good faith efforts to solicit and obtain the participation of M/WBE's to meet the required Subcontractor Participation Goals.

## ARTICLE II. ENFORCEMENT

1. If Agency determines that a bidder or proposer, as applicable, has, in relation to this procurement, violated Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, Agency may disqualify such bidder or proposer, as applicable, from competing for this Contract and the Agency may revoke such bidder's or proposer's prequalification status, if applicable.
2. Whenever Agency believes that the Contractor or a subcontractor is not in compliance with Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to any Subcontractor Utilization Plan, Agency shall send a written notice to the Contractor describing the alleged noncompliance and offering an opportunity to be heard. Agency shall then conduct an investigation to determine whether such Contractor or subcontractor is in compliance.
3. In the event that the Contractor has been found to have violated Section 6-129, the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements this Section 6-129, including, but not limited any Subcontractor Utilization Plan, Agency may determine that one of the following actions should be taken:
  - (a) entering into an agreement with the Contractor allowing the Contractor to cure the violation;
  - (b) revoking the Contractor's pre-qualification to bid or make proposals for future contracts;
  - (c) making a finding that the Contractor is in default of the Contract;
  - (d) terminating the Contract;
  - (e) declaring the Contractor to be in breach of Contract;
  - (f) withholding payment or reimbursement;
  - (g) determining not to renew the Contract;
  - (h) assessing actual and consequential damages;
  - (i) assess liquidated damages or reduction of fees, provided that liquidated damages may be based on amounts representing costs of delays in carrying out the purposes of the program established by Section 6-129, or in meeting the purposes of the Contract, the costs of meeting utilization goals through additional procurements, the administrative costs of investigation and enforcement, or other factors set forth in the Contract;
  - (j) exercise rights under the Contract to procure goods, services or construction from another contractor and charge the cost of such contract to the Contractor that has been found to be in noncompliance; or
  - (k) take any other appropriate remedy.
4. If a Subcontractor Utilization Plan has been submitted, and pursuant to this Article II, Section 3, the Contractor has been found to have failed to award subcontracts to MBEs and/or WBEs sufficient to meet the Subcontractor Participation Goals contained in its Subcontractor Utilization Plan or the Subcontractor Participation Goals as modified by Agency pursuant to Article I, Part A, Section 11, Agency may assess liquidated damages in the amount of ten percent (10%) of the difference between the dollar amount of subcontracts required to be awarded to MBE and/or WBE subcontractors to meet the Subcontractor Participation Goals and the dollar amount the Contractor actually awarded and paid to MBE and/or WBE subcontractors. In view of the difficulty of accurately ascertaining the loss which the City will suffer by reason of Contractor's failure to meet the Subcontractor Participation Goals, the foregoing amount is hereby fixed and agreed as the liquidated damages that the City will suffer by reason of such failure, and not as a penalty. Agency may deduct and retain out of any monies which may become due under this Contract the amount of any such liquidated damages; and in case the amount which may become due under this Contract shall be less than the amount of liquidated damages suffered by the City, the Contractor shall be liable to pay the difference.

5. Whenever Agency has reason to believe that an MBE or WBE is not qualified for certification, or is participating in a contract in a manner that does not serve a commercially useful function (as defined in Section 6-129), or has violated any provision of Section 6-129, Agency shall notify the commissioner of DSBS who shall determine whether the certification of such business enterprise should be revoked.

6. Statements made in any instrument submitted to Agency pursuant to Section 6-129 shall be submitted under penalty of perjury and any false or misleading statement or omission shall be grounds for the application of any applicable criminal and/or civil penalties for perjury. The making of a false or fraudulent statement by an MBE or WBE in any instrument submitted pursuant to Section 6-129 shall, in addition, be grounds for revocation of its certification.

7. The Contractor's record in implementing its Subcontractor Utilization Plan shall be a factor in the evaluation of its performance. Whenever a contracting agency determines that a contractor's compliance with a Subcontractor Utilization Plan has been unsatisfactory, the agency shall, after consultation with the city chief procurement officer, file an advice of caution form for inclusion in VENDEX as caution data.



Deputy

IN WITNESS WHEREOF, the Commissioner, on behalf of the City of New York, and the Contractor, have executed this agreement in quadruplicate, two parts of which are to remain with the Commissioner, another to be filed with the Comptroller of the City, and the fourth to be delivered to the Contractor.

THE CITY OF NEW YORK

By: *E. J. [Signature]*  
Deputy Commissioner

CONTRACTOR: *Delaney Associates LP*

By: *[Signature]*  
(Member of Firm or Officer of Corporation)

Title: *Partner*

(Where Contractor is a Corporation, add):  
Attest:

\_\_\_\_\_  
Secretary

(Seal)

ACKNOWLEDGEMENT OF PRINCIPAL, IF A CORPORATION

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally came \_\_\_\_\_ to me known who, being by me duly sworn did depose and say that he resides at \_\_\_\_\_ that he is the \_\_\_\_\_ of the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that one of the seals affixed to said instrument is such seal; that it was so affixed by order of the directors of said corporation, and that he signed his name thereto by like order.

\_\_\_\_\_  
Notary Public or Commissioner of Deeds

ACKNOWLEDGEMENT OF PRINCIPAL, IF A PARTNERSHIP

State of NY County of Queens ss:

On this 6 day of SEPT, 2011, before me personally appeared KAREN JARZYKA to me known, and known to me to be one of the members of the firm of DELANEY ASSOCIATES, LP described in and who executed the foregoing instrument; and he acknowledged to me that he executed the same as and for the act and deed of said firm.

**Kathleen A. Lane**  
Notary Public State of New York  
No. 01-LA5016554  
Qualified in Queens, New York  
Commission Expires  
August 16, 2013

Kathleen A. Lane  
Notary Public or Commissioner of Deeds

ACKNOWLEDGEMENT OF PRINCIPAL, IF AN INDIVIDUAL

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

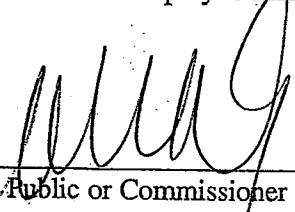
On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally appeared \_\_\_\_\_ to me known, and known to me to be the person described in and who executed the foregoing instrument; and acknowledged that he executed the same.

\_\_\_\_\_  
Notary Public or Commissioner of Deeds

ACKNOWLEDGEMENT BY COMMISSIONER

State of New York County of Queens ss:

On this 21<sup>st</sup> day of Sept, 2011, before me personally came Eric Macfarlane P.E. to me known, and known to be the Deputy Commissioner of the Department of Design and Construction of The City of New York, the person described as such in and who as such executed the foregoing instrument and acknowledged to me that he executed the same as Deputy Commissioner for the purposes therein mentioned.

  
\_\_\_\_\_  
Notary Public or Commissioner of Deeds

VICTORIA AYO-VAUGHAN  
Notary Public, State of New York  
No. 01AY5014042  
Qualified in Queens County  
Commission Expires July 15, 2015

AUTHORITY

MAYOR'S CERTIFICATE NO. CBX  
BUDGET DIRECTOR'S CERTIFICATE NO.

DATED  
DATED

APPROPRIATION  
COMMISSIONER'S CERTIFICATE

In conformity with the provisions of Section 6-101 of the Administrative Code of the City of New York, it is hereby certified that the estimated cost of the work, materials and supplies required by the within Contract, amounting to

Fifty Six Million, Two Hundred twenty Six thousand, -  
Nine Hundred Seven Dollars - x/50.

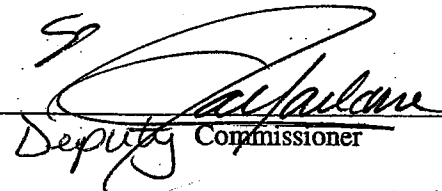
Dollars (\$ 56,226,907.50)

is chargeable to the fund of the Department of Design and Construction entitled Code

SE-809

Department of Design and Construction

I hereby certify that the specifications contained herein comply with the terms and conditions of the BUDGET.

  
Deputy Commissioner

COMPTROLLER'S CERTIFICATE

The City of New York \_\_\_\_\_

Pursuant to the provisions of Section 6-101 of the Administrative Code of the City of New York, I hereby certify that there remains unapplied and unexpended a balance of the above mentioned fund applicable to this Contract sufficient to pay the estimated expense of executing the same viz:

\$ \_\_\_\_\_

\_\_\_\_\_  
Comptroller

MAYOR'S CERTIFICATE OR  
CERTIFICATE OF THE DIRECTOR  
OF THE BUDGET

**Performance Bond #1 (Pages 78 to 81): Use if the total contract price is \$5 Million Or Less. Performance Bond #1 has been approved by the U.S. Small Business Administration ("SBA") for participation in its Bond Guarantee Program.**

PERFORMANCE BOND #1 (Page 1)

PERFORMANCE BOND #1

KNOW ALL PERSONS BY THESE PRESENTS, That we, \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

hereinafter referred to as the "Principal", and \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns, in the penal sum of

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(\$ \_\_\_\_\_) Dollars, lawful money of the United States, for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full;

\_\_\_\_\_

**Performance Bond #1 (Pages 78 to 81): Use if the total contract price is \$5 Million Or Less. Performance Bond #1 has been approved by the U.S. Small Business Administration ("SBA") for participation in its Bond Guarantee Program.**

PERFORMANCE BOND #1 (Page 2)

NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or its representatives or assigns, shall well and faithfully perform the said Contract and all modifications, amendments, additions and alterations thereto that may hereafter be made, according to its terms and its true intent and meaning, including repair and or replacement of defective work and guarantees of maintenance for the periods stated in the Contract, and shall fully indemnify and save harmless the City from all cost and damage which it may suffer by reason of the Principal's default of the Contract, and shall fully reimburse and repay the City for all outlay and expense which the City may incur in making good any such default and shall protect the said City of New York against, and pay any and all amounts, damages, cost and judgments which may or shall be recovered against said City or its officers or agents or which the said City of New York may be called upon to pay any person or corporation by reason of any damages arising or growing out of the Principal's default of the Contract, then this obligation shall be null and void, otherwise to remain in full force and effect.

The Surety (Sureties), for value received, hereby stipulates and agrees, upon written notice from the City that the City has determined that the Principal is in default of the Contract, to (1) pay the City the cost to complete the contract as determined by the City in excess of the balance of the Contract held by the City, plus any damages or costs to which the City is entitled, up to the full amount of the above penal sum, (2) fully perform and complete the Work to be performed under the Contract, pursuant to the terms, conditions, and covenants thereof, or (3) tender a completion Contractor that is acceptable to the City. The Surety (Sureties) further agrees, at its option, either to notify the City that it elects to pay the city the cost of completion plus any applicable damages and costs under option (1) above, or to commence and diligently perform the Work specified in the Contract, including physical site work, within twenty-five (25) business days after written notice thereof from the City and, if the Surety elects to fully perform and complete the Work, then to complete all Work within the time set forth in the Contract or such other time as agreed to between the City and Surety in accordance with the Contract. If the Surety elects to tender payment pursuant to (1) above, then the Surety shall tender such amount within fifteen (15) business days notification from the City of the cost of completion.

The Surety and the City reserve all rights and defenses each may have against the other; provided, however, that the Surety expressly agrees that its reservation of rights shall not provide a basis for non-performance of its obligation to pay the City the cost of completion, to commence and complete all Work as provided herein, or to tender a completion contractor.

The Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties) and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or to the said Contract or the Work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or any moneys due or to become due thereunder, and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, and waivers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to subcontractors shall have the same effect as to said Surety (Sureties) as though done or omitted to be done by or in relation to said Principal. Notwithstanding the above, if the City makes payments to the Principal before the time required by the contract that in the aggregate exceed \$100,000 or 10% of the Contract price, whichever is less, and that have not become earned prior to the Principal being found to be in default, then all payments made to the Principal before the time required by the Contract shall be added to the remaining contract value available to be paid for the completion of the Contract as if such sums had not been paid to the Principal, but shall not provide a basis for non-performance of its obligation to pay the City the cost of completion, to commence and to complete all Work as provided herein, or to tender a completion contractor.

**Performance Bond #1 (Pages 78 to 81): Use if the total contract price is \$5 Million Or Less. Performance Bond #1 has been approved by the U.S. Small Business Administration ("SBA") for participation in its Bond Guarantee Program.**

PERFORMANCE BOND #1 (Page 3)

IN WITNESS WHEREOF, the Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

(Seal)

\_\_\_\_\_  
Principal (L.S.)

By: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
Surety

By: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
Surety

By: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
Surety

By: \_\_\_\_\_

Bond Premium Rate \_\_\_\_\_

Bond Premium Cost \_\_\_\_\_

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by a duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.



**Performance Bond #1 (Pages 78 to 81): Use if the total contract price is \$5 Million Or Less. Performance Bond #1 has been approved by the U.S. Small Business Administration ("SBA") for participation in its Bond Guarantee Program.**

PERFORMANCE BOND #1 (Page 4)

**ACKNOWLEDGEMENT OF PRINCIPAL, IF A CORPORATION**

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally came \_\_\_\_\_ to me known, who, being by me duly sworn did depose and say that he resides at \_\_\_\_\_ that he is the \_\_\_\_\_ of the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that one of the seals affixed to said instrument is such seal; that it was so affixed by order of the directors of said corporation, and that he signed his name thereto by like order.

\_\_\_\_\_  
Notary Public or Commissioner of Deeds

**ACKNOWLEDGEMENT OF PRINCIPAL, IF A PARTNERSHIP**

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally appeared \_\_\_\_\_ to me known, and known to me to be one of the members of the firm of \_\_\_\_\_ described in and who executed the foregoing instrument; and he acknowledged to me that he executed the same as and for the act and deed of said firm.

\_\_\_\_\_  
Notary Public or Commissioner of Deeds

**ACKNOWLEDGEMENT OF PRINCIPAL, IF AN INDIVIDUAL**

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally appeared \_\_\_\_\_ to me known, and known to me to be the person described in and who executed the foregoing instrument; and acknowledged that he executed the same.

\_\_\_\_\_  
Notary Public or Commissioner of Deeds

Each executed bond should be accompanied by: (a) appropriate acknowledgments of the respective parties; (b) appropriate duly certified copy of Power of Attorney or other certificate of authority where bond is executed by agent, officer or other representative of Principal or Surety; (c) a duly certified extract from By-Laws or resolutions of Surety under which Power of Attorney or other certificate of authority of its agent, officer or representative was issued, and (d) certified copy of latest published financial statement of assets and liabilities of Surety.

\*\*\*\*\*

Affix Acknowledgments and Justification of Sureties.

**Performance Bond #2 (Pages 82 to 85): Use if the total contract price is more than \$5 Million.**

PERFORMANCE BOND #2 (Page 1)

PERFORMANCE BOND #2

KNOW ALL PERSONS BY THESE PRESENTS, That we, \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

hereinafter referred to as the "Principal", and \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns, in the penal sum of

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(\$ \_\_\_\_\_) Dollars, lawful money of the United States, for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full;

\_\_\_\_\_

**Performance Bond #2 (Pages 82 to 85): Use if the total contract price is more than \$5 Million.**

PERFORMANCE BOND #2 (Page 1)

PERFORMANCE BOND #2

Bond No: 015033890

KNOW ALL PERSONS BY THESE PRESENTS, That we, \_\_\_\_\_

Delaney Associates, LP

125-08 26th Ave

Flushing, NY 11354

hereinafter referred to as the "Principal", and \_\_\_\_\_

Liberty Mutual Insurance Company

114 West 47th St.

New York, NY 10036

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns, in the penal sum of

Fifty Six Million Two Hundred Twenty Six Thousand Nine Hundred Seven Dollars & 50/100.

(\$ 56,226,907.50-----) Dollars, lawful money of the United States, for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for

Project:SE809 PIN: 8502011SE0018C-Construction of Sanitary & Storm Sewers & Appurtenances in N.B.

Whitestone Expressway Service Road Between 25th Ave & Flushing River Etc., Including Water Main

Street Lighting & Traffic Signal Work, Together with all Work Incidental Thereto-Borough of Queens

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full;



**Performance Bond #2 (Pages 82 to 85): Use if the total contract price is more than \$5 Million.**

PERFORMANCE BOND #2 (Page 2)

NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or its representatives or assigns, shall well and faithfully perform the said Contract and all modifications, amendments, additions and alterations thereto that may hereafter be made, according to its terms and its true intent and meaning, including repair and/or replacement of defective work and guarantees of maintenance for the periods stated in the Contract, and shall fully indemnify and save harmless the City from all cost and damage which it may suffer by reason of failure so to do, and shall fully reimburse and repay the City for all outlay and expense which the City may incur in making good any such default, and shall protect the said City of New York against, and pay any and all amounts, damages, costs and judgments which may or shall be recovered against said City or its officers or agents of which the said City of New York may be called upon to pay any person or corporation by reason of any damages arising or growing out of the doing of said work, or the repair or maintenance thereof, or the manner of doing the same, or the neglect of the said PRINCIPAL, or his (their, its) agents or servants, or the improper performance of the said work by the said PRINCIPAL, or his (their, its) agents or servants, or the infringement of any patent or patent rights by reason of the use of any materials furnished or work done as aforesaid or otherwise, then this obligation shall be null and void, otherwise to remain in full force and effect.

The Surety (Sureties), for value received, hereby stipulates and agrees, if requested to do so by the City, to fully perform and complete the Work to be performed under the Contract, pursuant to the terms, conditions, and covenants thereof, if the City determines that the Principal, for any cause, has failed or neglected to fully perform and complete such Work. The Surety (Sureties) further agrees to commence and diligently perform the Work specified in the Contract, including physical site work, within twenty-five (25) business days after written notice thereof from the City and to complete all Work within such time as the City may fix. The Surety and the City reserve all rights and defenses each may have against the other, provided, however, that the Surety expressly agrees that its reservation of rights shall not provide a basis for non-performance of its obligation to commence and to complete all Work as provided herein.

The Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties) and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or to the said Contract or Work to be performed thereunder, or by any payment thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any Work to be performed or any moneys due or to become due thereunder; and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, subcontractors, and other transferees shall have the same effect as to said Surety (Sureties) as though done or omitted to be done or in relation to said Principal.



**Performance Bond #2 (Pages 82 to 85): Use if the total contract price is more than \$5 Million.**

PERFORMANCE BOND #2 (Page 3)

IN WITNESS WHEREOF, the Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this 31st day of August, 2011.

(Seal)

Delaney Associates, LP (L.S.)

Principal

By: [Signature]

PARTNER

(Seal)

Liberty Mutual Insurance Company

Surety

By: [Signature]

Patricia Von Posch, Attorney-in-Fact

(Seal)

Surety

By: \_\_\_\_\_

(Seal)

Surety

By: \_\_\_\_\_

Bond Premium Rate \$15.26 Slide

Bond Premium Cost \$380,292.00

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by a duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.





Performance Bond #2 (Pages 82 to 85): Use if the total contract price is more than \$5 Million.

PERFORMANCE BOND #2 (Page 4)

**ACKNOWLEDGEMENT OF PRINCIPAL, IF A CORPORATION**

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally came \_\_\_\_\_ to me known, who, being by me duly sworn did depose and say that he resides at \_\_\_\_\_ that he is the \_\_\_\_\_ of the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that one of the seals affixed to said instrument is such seal; that it was so affixed by order of the directors of said corporation, and that he signed his name thereto by like order.

\_\_\_\_\_  
Notary Public or Commissioner of Deeds

**ACKNOWLEDGEMENT OF PRINCIPAL, IF A PARTNERSHIP**

State of NY County of Queens ss:

On this 6 day of SEPT, 2011, before me personally appeared KAREN JARZYNSKA to me known, and known to me to be one of the members of the firm of DEANEY ASSOCIATES, PC described in and who executed the foregoing instrument; and he acknowledged to me that he executed the same as and for the act and deed of said firm.

*Kathleen A. Lane*  
\_\_\_\_\_  
Notary Public or Commissioner of Deeds

**Kathleen A. Lane**  
Notary Public State of New York  
No. 01-LA5016554  
Qualified in Queens, New York  
Commission Expires  
**August 16, 2013**

**ACKNOWLEDGEMENT OF PRINCIPAL, IF AN INDIVIDUAL**

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally appeared \_\_\_\_\_ to me known, and known to me to be the person described in and who executed the foregoing instrument; and acknowledged that he executed the same.

\_\_\_\_\_  
Notary Public or Commissioner of Deeds

Each executed bond should be accompanied by: (a) appropriate acknowledgments of the respective parties; (b) appropriate duly certified copy of Power of Attorney or other certificate of authority where bond is executed by agent, officer or other representative of Principal or Surety; (c) a duly certified extract from By-Laws or resolutions of Surety under which Power of Attorney or other certificate of authority of its agent, officer or representative was issued, and (d) certified copy of latest published financial statement of assets and liabilities of Surety.

\*\*\*\*\*

Affix Acknowledgments and Justification of Sureties.







**THIS POWER OF ATTORNEY IS NOT VALID UNLESS IT IS PRINTED ON RED BACKGROUND.**

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

**LIBERTY MUTUAL INSURANCE COMPANY  
BOSTON, MASSACHUSETTS  
POWER OF ATTORNEY**

**KNOW ALL PERSONS BY THESE PRESENTS:** That Liberty Mutual Insurance Company (the "Company"), a Massachusetts stock insurance company, pursuant to and by authority of the By-law and Authorization hereinafter set forth, does hereby name, constitute and appoint JOHN E. ROE, JR., PATRICIA VON POSCH, JOHN E. ROE, SR., EILEEN DUNHAM, E. TIMOTHY KENNEALLY, RICHARD K. KAINZ, ALL OF THE CITY OF LAKE SUCCESS, STATE OF NEW YORK....., each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations in the penal sum not exceeding **TWO HUNDRED FIFTY MILLION AND 00/100\*\*\*\*\* DOLLARS (\$ 250,000,000.00\*\*\*\*\* )** each, and the execution of such undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents, shall be as binding upon the Company as if they had been duly signed by the president and attested by the secretary of the Company in their own proper persons.

That this power is made and executed pursuant to and by authority of the following By-law and Authorization:

**ARTICLE XIII - Execution of Contracts: Section 5. Surety Bonds and Undertakings.**

Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

By the following instrument the chairman or the president has authorized the officer or other official named therein to appoint attorneys-in-fact:

Pursuant to Article XIII, Section 5 of the By-Laws, David M. Carey, Assistant Secretary of Liberty Mutual Insurance Company, is hereby authorized to appoint such attorneys-in-fact as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

That the By-law and the Authorization set forth above are true copies thereof and are now in full force and effect.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Company and the corporate seal of Liberty Mutual Insurance Company has been affixed thereto in Plymouth Meeting, Pennsylvania this day of 3rd day of June, 2011



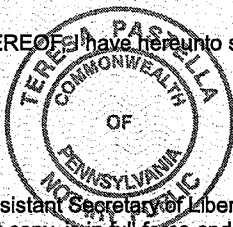
**LIBERTY MUTUAL INSURANCE COMPANY**

By David M. Carey  
David M. Carey, Assistant Secretary

COMMONWEALTH OF PENNSYLVANIA ss  
COUNTY OF MONTGOMERY

On this 3rd day of June, 2011, before me, a Notary Public, personally came David M. Carey, to me known, and acknowledged that he is an Assistant Secretary of Liberty Mutual Insurance Company; that he knows the seal of said corporation; and that he executed the above Power of Attorney and affixed the corporate seal of Liberty Mutual Insurance Company thereto with the authority and at the direction of said corporation.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at Plymouth Meeting, Pennsylvania, on the day and year first above written.



Notarial Seal  
Teresa Pastella, Notary Public  
Plymouth Twp., Montgomery County  
My Commission Expires Mar. 28, 2013  
Member, Pennsylvania Association of Notaries

By Teresa Pastella  
Teresa Pastella, Notary Public

**CERTIFICATE**

I, the undersigned, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy, is in full force and effect on the date of this certificate; and I do further certify that the officer or official who executed the said power of attorney is an Assistant Secretary specially authorized by the chairman or the president to appoint attorneys-in-fact as provided in Article XIII, Section 5 of the By-laws of Liberty Mutual Insurance Company.

This certificate and the above power of attorney may be signed by facsimile or mechanically reproduced signatures under and by authority of the following vote of the board of directors of Liberty Mutual Insurance Company at a meeting duly called and held on the 12th day of March, 1980.

VOTED that the facsimile or mechanically reproduced signature of any assistant secretary of the company, wherever appearing upon a certified copy of any power of attorney issued by the company in connection with surety bonds, shall be valid and binding upon the company with the same force and effect as though manually affixed.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seal of the said company, this 31st day of August, 2011



By Gregory W. Davenport  
Gregory W. Davenport, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, bank deposit, currency rate, interest rate or resale value guarantees.

To confirm the validity of this Power of Attorney call 1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.





LIBERTY MUTUAL INSURANCE COMPANY  
 FINANCIAL STATEMENT — DECEMBER 31, 2010

| Assets   |                                | Liabilities                                  |                                |
|--|--------------------------------|--|--------------------------------|
| Cash and Bank Deposits .....                   | \$ 795,278,733                 | Unearned Premiums .....                      | \$3,502,531,059                |
| *Bonds — U.S Government .....                  | 928,976,332                    | Reserve for Claims and Claims Expense.....   | 15,450,806,243                 |
| *Other Bonds .....                             | 12,269,586,768                 | Funds Held Under Reinsurance Treaties .....  | 1,764,193,716                  |
| *Stocks.....                                   | 8,410,330,089                  | Reserve for Dividends to Policyholders ..... | 4,776,435                      |
| Real Estate .....                              | 280,897,925                    | Additional Statutory Reserve .....           | 89,441,297                     |
| Agents' Balances or Uncollected Premiums ..... | 2,971,477,549                  | Reserve for Commissions, Taxes and           |                                |
| Accrued Interest and Rents .....               | 156,129,412                    | Other Liabilities.....                       | 2,126,508,564                  |
| Other Admitted Assets .....                    | <u>10,888,871,535</u>          | Total.....                                   | <u>\$22,938,257,314</u>        |
|  |                                | Special Surplus Funds .....                  | \$1,218,426,655                |
|  |                                | Capital Stock .....                          | 10,000,000                     |
|  |                                | Paid in Surplus.....                         | 7,731,965,815                  |
|  |                                | Unassigned Surplus .....                     | 4,802,898,559                  |
| <b>Total Admitted Assets.....</b>              | <b><u>\$36,701,548,343</u></b> | <b>Surplus to Policyholders .....</b>        | <b><u>13,763,291,029</u></b>   |
|  |                                | <b>Total Liabilities and Surplus .....</b>   | <b><u>\$36,701,548,343</u></b> |



\* Bonds are stated at amortized or investment value; Stocks at Association Market Values.  
 The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2010, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 29th day of March, 2011.

*T. Mikolajewski*

Assistant Secretary





**Payment Bond (Pages 86 to 89): Use for any contract for which a Payment Bond is required.**

PAYMENT BOND (Page 1)

PAYMENT BOND Bond No: 015033890

KNOW ALL PERSONS BY THESE PRESENTS, That we, \_\_\_\_\_

Delaney Associates, LP

125-08 26th Ave

Flushing, NY 11354

hereinafter referred to as the "Principal", and \_\_\_\_\_

Liberty Mutual Insurance company

114 Werst 47th St.

New York, NY 10036

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns, in the penal sum of

Fifty Six Million Two Hundred Twenty Six Thousand Nine Hundred Seven Dollars & 50/100.

(\$56,226,907.50) Dollars, lawful money of the United States, for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for

Project: SE809 PIN:8502011SE0018C-Construction of Sanitary & Storm Sewers & Appurtenances in

N.B. Whitestone Expressway Service Road Between 25th Ave & Flushing River Etc., Including Water Main

Street Lighting & Traffic Signal Work Together with all Work Incidental Thereto-Borough of Queens:

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full;

NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or its representatives or assigns and other Subcontractors to whom Work under this Contract is sublet and his or their successors and assigns shall promptly pay or cause to be paid all lawful claims for

(a) Wages and compensation for labor performed and services rendered by all persons engaged in the prosecution of the Work under said Contract, and any amendment or extension thereof or addition thereto, whether such persons be agents servants or employees of the Principal or any such Subcontractor, including all persons so engaged who perform the work of laborers or mechanics at or in the vicinity of the site



**Payment Bond (Pages 86 to 89): Use for any contract for which a Payment Bond is required.**

PAYMENT BOND (Page 2)

of the Project regardless of any contractual relationship between the Principal or such Subcontractors, or his or their successors or assigns, on the one hand and such laborers or mechanics on the other, but not including office employees not regularly stationed at the site of the project; and

(b) Materials and supplies (whether incorporated in the permanent structure or not), as well as teams, fuels, oils, implements or machinery furnished, used or consumed by said Principal or any subcontractor at or in the vicinity of the site of the Project in the prosecution of the Work under said Contract and any amendment or extension thereof or addition thereto; then this obligation shall be void, otherwise to remain in full force and effect.

This bond is subject to the following additional conditions, limitations and agreements:

(a) The Principal and Surety (Sureties) agree that this bond shall be for the benefit of any materialmen or laborer having a just claim, as well as the City itself.

(b) All persons who have performed labor, rendered services or furnished materials and supplies, as aforesaid, shall have a direct right of action against the Principal and his, its or their successors and assigns, and the Surety (Sureties) herein, or against either or both or any of them and their successors and assigns. Such persons may sue in their own name, and may prosecute the suit to judgment and execution without the necessity of joining with any other persons as party plaintiff.

(c) The Principal and Surety (Sureties) agree that neither of them will hold the City liable for any judgment for costs of otherwise, obtained by either or both of them against a laborer or materialman in a suit brought by either a laborer or materialman under this bond for moneys allegedly due for performing work or furnishing material.

(d) The Surety (Sureties) or its successors and assigns shall not be liable for any compensation recoverable by an employee or laborer under the Workmen's Compensation Law.

(e) In no event shall the Surety (Sureties), or its successors or assigns, be liable for a greater sum than the penalty of this bond or be subject to any suit, action or proceeding hereon that is instituted by any person, firm, or corporation hereunder later than two years after the complete performance of said Contract and final settlement thereof.

The Principal, for himself and his successors and assigns, and the Surety (Sureties), for itself and its successors and assigns, do hereby expressly waive any objection that might be interposed as to the right of the City to require a bond containing the foregoing provisions, and they do hereby further expressly waive any defense which they or either of them might interpose to an action brought hereon by any person, firm or corporation, including subcontractors, materialmen and third persons, for work, labor, services, supplies or material performed rendered, or furnished as aforesaid upon the ground that there is no law authorizing the City to require the foregoing provisions to be placed in this bond.

And the Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties), and its bonds shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or of the said Contract or the work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any part thereof, or of any Work to be performed, or any moneys due to become due thereunder and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, Subcontractors, and other transferees shall have the same effect as to said Surety (Sureties) as though done or omitted to be done or in relation to said Principal.



**Payment Bond (Pages 86 to 89): Use for any contract for which a Payment Bond is required.**

**PAYMENT BOND (Page 3)**

IN WITNESS WHEREOF, the Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this 31st day of August, 2011.

(Seal)

Delaney Associates, LP (L.S.)  
Principal  
By: *[Signature]* PARTNER

(Seal)

Liberty Mutual Insurance Company  
Surety  
By: *[Signature]*  
Patricia Von Posch, Attorney-in-Fact

(Seal)

\_\_\_\_\_  
Surety  
By: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
Surety  
By: \_\_\_\_\_

(Seal)

\_\_\_\_\_  
Surety  
By: \_\_\_\_\_

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by a duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.



Payment Bond (Pages 86 to 89): Use for any contract for which a Payment Bond is required.

PAYMENT BOND (Page 4)

**ACKNOWLEDGEMENT OF PRINCIPAL, IF A CORPORATION**

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally came \_\_\_\_\_ to me known, who, being by me duly sworn did depose and say that he resides at \_\_\_\_\_ that he is the \_\_\_\_\_ of the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that one of the seals affixed to said instrument is such seal; that it was so affixed by order of the directors of said corporation, and that he signed his name thereto by like order.

\_\_\_\_\_  
Notary Public or Commissioner of Deeds

**ACKNOWLEDGEMENT OF PRINCIPAL, IF A PARTNERSHIP**

State of NY County of Queens ss:

On this 6 day of Sept, 2011, before me personally appeared KAREN JARZYNSKA to me known, and known to me to be one of the members of the firm of DELANEY ASSOCIATES, P described in and who executed the foregoing instrument; and he acknowledged to me that he executed the same as and for the act and deed of said firm.

  
Notary Public or Commissioner of Deeds

**Kathleen A. Lane**  
Notary Public State of New York  
No. 01-LA5016554  
Qualified in Queens, New York  
Commission Expires  
August 16, 2013

**ACKNOWLEDGEMENT OF PRINCIPAL, IF AN INDIVIDUAL**

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally appeared \_\_\_\_\_ to me known, and known to me to be the person described in and who executed the foregoing instrument; and acknowledged that he executed the same.

\_\_\_\_\_  
Notary Public or Commissioner of Deeds

Each executed bond should be accompanied by: (a) appropriate acknowledgments of the respective parties; (b) appropriate duly certified copy of Power of Attorney or other certificate of authority where bond is executed by agent, officer or other representative of Principal or Surety; (c) a duly certified extract from By-Laws or resolutions of Surety under which Power of Attorney or other certificate of authority of its agent, officer or representative was issued, and (d) certified copy of latest published financial statement of assets and liabilities of Surety.

\*\*\*\*\*

Affix Acknowledgments and Justification of Sureties.





(NO TEXT ON THIS PAGE)







**THIS POWER OF ATTORNEY IS NOT VALID UNLESS IT IS PRINTED ON RED BACKGROUND.**

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

**LIBERTY MUTUAL INSURANCE COMPANY  
BOSTON, MASSACHUSETTS  
POWER OF ATTORNEY**

**KNOW ALL PERSONS BY THESE PRESENTS:** That Liberty Mutual Insurance Company (the "Company"), a Massachusetts stock insurance company, pursuant to and by authority of the By-law and Authorization hereinafter set forth, does hereby name, constitute and appoint JOHN E. ROE, JR., PATRICIA VON POSCH, JOHN E. ROE, SR., EILEEN DUNHAM, E. TIMOTHY KENNEALLY, RICHARD K. KAINZ, ALL OF THE CITY OF LAKE SUCCESS, STATE OF NEW YORK....., each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations in the penal sum not exceeding TWO HUNDRED FIFTY MILLION AND 00/100\*\*\*\*\* DOLLARS (\$ 250,000,000.00\*\*\*\*\* ) each, and the execution of such undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents, shall be as binding upon the Company as if they had been duly signed by the president and attested by the secretary of the Company in their own proper persons.

That this power is made and executed pursuant to and by authority of the following By-law and Authorization:

**ARTICLE XIII - Execution of Contracts: Section 5. Surety Bonds and Undertakings.**

Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

By the following instrument the chairman or the president has authorized the officer or other official named therein to appoint attorneys-in-fact:

Pursuant to Article XIII, Section 5 of the By-Laws, David M. Carey, Assistant Secretary of Liberty Mutual Insurance Company, is hereby authorized to appoint such attorneys-in-fact as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

That the By-law and the Authorization set forth above are true copies thereof and are now in full force and effect.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Company and the corporate seal of Liberty Mutual Insurance Company has been affixed thereto in Plymouth Meeting, Pennsylvania this day of 3rd day of June, 2011.



**LIBERTY MUTUAL INSURANCE COMPANY**

By David M. Carey  
David M. Carey, Assistant Secretary

COMMONWEALTH OF PENNSYLVANIA ss  
COUNTY OF MONTGOMERY

On this 3rd day of June, 2011, before me, a Notary Public, personally came David M. Carey, to me known, and acknowledged that he is an Assistant Secretary of Liberty Mutual Insurance Company; that he knows the seal of said corporation; and that he executed the above Power of Attorney and affixed the corporate seal of Liberty Mutual Insurance Company thereto with the authority and at the direction of said corporation.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at Plymouth Meeting, Pennsylvania, on the day and year first above written.



Notarial Seal  
Teresa Pastella, Notary Public  
Plymouth Twp., Montgomery County  
My Commission Expires Mar. 28, 2013  
Member, Pennsylvania Association of Notaries

By Teresa Pastella  
Teresa Pastella, Notary Public

**CERTIFICATE**

I, the undersigned, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy, is in full force and effect on the date of this certificate; and I do further certify that the officer or official who executed the said power of attorney is an Assistant Secretary specially authorized by the chairman or the president to appoint attorneys-in-fact as provided in Article XIII, Section 5 of the By-laws of Liberty Mutual Insurance Company.

This certificate and the above power of attorney may be signed by facsimile or mechanically reproduced signatures under and by authority of the following vote of the board of directors of Liberty Mutual Insurance Company at a meeting duly called and held on the 12th day of March, 1980.

VOTED that the facsimile or mechanically reproduced signature of any assistant secretary of the company, wherever appearing upon a certified copy of any power of attorney issued by the company in connection with surety bonds, shall be valid and binding upon the company with the same force and effect as though manually affixed.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seal of the said company, this 31st day of August, 2011.



By Gregory W. Davenport  
Gregory W. Davenport, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, bank deposit, currency rate, interest rate or real value guarantees.

To confirm the validity of this Power of Attorney call 1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.





LIBERTY MUTUAL INSURANCE COMPANY  
 FINANCIAL STATEMENT — DECEMBER 31, 2010

| Assets   | Liabilities  |
|--|--|
| Cash and Bank Deposits ..... \$ 795,278,733                  | Unearned Premiums ..... \$3,502,531,059                            |
| *Bonds — U.S Government ..... 928,976,332                    | Reserve for Claims and Claims Expense..... 15,450,806,243          |
| *Other Bonds ..... 12,269,586,768                            | Funds Held Under Reinsurance Treaties ..... 1,764,193,716          |
| *Stocks..... 8,410,330,089                                   | Reserve for Dividends to Policyholders ..... 4,776,435             |
| Real Estate ..... 280,897,925                                | Additional Statutory Reserve ..... 89,441,297                      |
| Agents' Balances or Uncollected Premiums ..... 2,971,477,549 | Reserve for Commissions, Taxes and                                 |
| Accrued Interest and Rents ..... 156,129,412                 | Other Liabilities..... <u>2,126,508,564</u>                        |
| Other Admitted Assets ..... <u>10,888,871,535</u>            | <b>Total..... \$22,938,257,314</b>                                 |
|  | Special Surplus Funds .....\$1,218,426,655                         |
|  | Capital Stock ..... 10,000,000                                     |
|  | Paid in Surplus..... 7,731,965,815                                 |
|  | Unassigned Surplus ..... 4,802,898,559                             |
| <b>Total Admitted Assets.....<u>\$36,701,548,343</u></b>     | <b>Surplus to Policyholders ..... <u>13,763,291,029</u></b>        |
|  | <b>Total Liabilities and Surplus ..... <u>\$36,701,548,343</u></b> |



\* Bonds are stated at amortized or investment value; Stocks at Association Market Values.  
 The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2010, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 29th day of March, 2011.

*TAMIKOLAJEWSKI*

Assistant Secretary







# CERTIFICATE OF LIABILITY INSURANCE

OP ID DO  
DELAN-2

DATE (MM/DD/YYYY)

08/25/11

|   |   |               |
|---|---|---------------|
| <b>PRODUCER</b><br>Regan Agency, Inc.<br>463 Deer Park Ave<br>Babylon NY 11702<br>Phone: 631-669-3434 Fax: 631-669-3035 | THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. |               |
|   | <b>INSURERS AFFORDING COVERAGE</b>  | <b>NAIC #</b> |
| <b>INSURED</b><br>Delaney Associates, LP<br>125-08 26th Avenue<br>College Point NY 11354                                | INSURER A: <b>Greenwich Insurance Co.</b>   |               |
|   | INSURER B: <b>CNA</b>   | <b>343</b>    |
|   | INSURER C: <b>Everest National Insurance Co.</b>  |               |
|   | INSURER D: <b>State Insurance Fund</b>  |               |
|   | INSURER E: <b>Starr Indemnity &amp;</b>   |               |

## COVERAGES

THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

| INSR ADD'L LTR | INSRC | TYPE OF INSURANCE   | POLICY NUMBER   | POLICY EFFECTIVE DATE (MM/DD/YYYY) | POLICY EXPIRATION DATE (MM/DD/YYYY) | LIMITS  |
|----------------|-------|---|-----------------|------------------------------------|-------------------------------------|---|
| A              | X     | <b>GENERAL LIABILITY</b><br><input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY<br><input type="checkbox"/> CLAIMS MADE <input checked="" type="checkbox"/> OCCUR<br><input checked="" type="checkbox"/> XCU Included<br><input checked="" type="checkbox"/> Per Project Agg<br>GENL AGGREGATE LIMIT APPLIES PER:<br><input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC | ESG0029404-101  | 11/01/10                           | 11/01/11                            | EACH OCCURRENCE \$ 1,000,000<br>DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 50,000<br>MED EXP (Any one person) \$ excluded<br>PERSONAL & ADV INJURY \$ 1,000,000<br>GENERAL AGGREGATE \$ 2,000,000<br>PRODUCTS - COMP/OP AGG \$ 2,000,000<br>EBL 1,000,000 |
|                |       | <b>AUTOMOBILE LIABILITY</b><br><input checked="" type="checkbox"/> ANY AUTO<br><input type="checkbox"/> ALL OWNED AUTOS<br><input type="checkbox"/> SCHEDULED AUTOS<br><input type="checkbox"/> HIRED AUTOS<br><input type="checkbox"/> NON-OWNED AUTOS   |                 |                                    |                                     | 2095942348<br>NAIC # 20478  |
|                |       | <b>GARAGE LIABILITY</b><br><input type="checkbox"/> ANY AUTO  |                 |                                    |                                     | AUTO ONLY - EA ACCIDENT \$<br>OTHER THAN AUTO ONLY: EA ACC \$<br>AGG \$   |
| C              | X     | <b>EXCESS / UMBRELLA LIABILITY</b><br><input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS MADE<br><input type="checkbox"/> DEDUCTIBLE<br><input checked="" type="checkbox"/> RETENTION \$10,000   | 71C4000249-091  | 11/01/10                           | 11/01/11                            | EACH OCCURRENCE \$ \$10,000,000<br>AGGREGATE \$ \$10,000,000<br>\$<br>\$<br>\$  |
|                |       | <b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b><br>ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input type="checkbox"/> Y/N<br>If yes, describe under SPECIAL PROVISIONS below<br>OTHER  |                 |                                    |                                     | G 2002 707 4  |
| B              |       | Equip Floater   | 2093673920      | 11/01/10                           | 11/01/11                            | Rented \$100,000  |
| E              |       | Excess Liability  | WCSIEXA40029410 | 11/01/10                           | 11/01/11                            | XXCessUB \$5,000,000  |

### DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES / EXCLUSIONS ADDED BY ENDORSEMENT / SPECIAL PROVISIONS

The City of New York, including its officials & Employees and Consolidated Edison Co of NY are all included as additional insureds.  
 RE: FMS ID :SE-809; DDC PIN: 8502011SE0018C  
 Construction of Sanitary & Storm Sewers & Appurtenances in N.B. Whitestone Expressway Service Rd, Phase 1-Borough of Queens

### CERTIFICATE HOLDER

DDC2006

New York City Department of  
 Design & Construction  
 30-30 Thomson Ave. - 4th Floor  
 Long Island City NY 11101

### CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL 30 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT ~~THE INSURER~~ SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.

AUTHORIZED REPRESENTATIVE





# New York State Insurance Fund

Workers' Compensation & Disability Benefits Specialists Since 1914

199 CHURCH STREET, NEW YORK, N.Y. 10007-1100

Phone: (888) 997-3863

## CERTIFICATE OF WORKERS' COMPENSATION INSURANCE

\*\*\*\*\* 10749525  
KEEVILY, SPERO-WHITELAW INC.  
500 MAMARONECK AVENUE  
HARRISON NY 10528

**POLICYHOLDER**  
DELANEY ASSOCIATES LP  
125-08 26TH AVENUE  
FLUSHING NY 11354

**CERTIFICATE HOLDER**  
NEW YORK CITY DEPARTMENT OF  
DESIGN & CONSTRUCTION  
30-30 THOMSON AVE, 4TH FLOOR  
LONG ISLAND CITY NY 11101

| POLICY NUMBER | CERTIFICATE NUMBER | PERIOD COVERED BY THIS CERTIFICATE | DATE      |
|---------------|--------------------|------------------------------------|-----------|
| G 2002 707-4  | 193019             | 05/01/2010 TO 05/01/2012           | 8/24/2011 |

THIS IS TO CERTIFY THAT THE POLICYHOLDER NAMED ABOVE IS INSURED WITH THE NEW YORK STATE INSURANCE FUND UNDER POLICY NO. 2002 707-4 UNTIL 05/01/2012, COVERING THE ENTIRE OBLIGATION OF THIS POLICYHOLDER FOR WORKERS' COMPENSATION UNDER THE NEW YORK WORKERS' COMPENSATION LAW WITH RESPECT TO ALL OPERATIONS IN THE STATE OF NEW YORK, EXCEPT AS INDICATED BELOW.

IF SAID POLICY IS CANCELLED, OR CHANGED PRIOR TO 05/01/2012 IN SUCH MANNER AS TO AFFECT THIS CERTIFICATE, 30 DAYS WRITTEN NOTICE OF SUCH CANCELLATION WILL BE GIVEN TO THE CERTIFICATE HOLDER ABOVE. NOTICE BY REGULAR MAIL SO ADDRESSED SHALL BE SUFFICIENT COMPLIANCE WITH THIS PROVISION.

THIS POLICY DOES NOT COVER THE SOLE PROPRIETOR, PARTNERS AND/OR MEMBERS OF A LIMITED LIABILITY COMPANY.

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS NOR INSURANCE COVERAGE UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICY.

NEW YORK STATE INSURANCE FUND

DIRECTOR, INSURANCE FUND UNDERWRITING

This certificate can be validated on our web site at <https://www.nysif.com/cert/certval.asp> or by calling (888) 875-5790  
VALIDATION NUMBER: 167104726



**SCHEDULE "A"**  
**(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)**  
**(GENERAL CONDITIONS RELATING TO ARTICLE 22 - INSURANCE)**

**PART III. ADDRESS OF COMMISSIONER**

Wherever reference is made in Article 7 or Article 22 to documents to be sent to the **Commissioner** (e.g., notices, filings, or submissions), such documents shall be sent to the address set forth below or, in the absence of such address, to the **Commissioner's** address as provided elsewhere in this **Contract**.

\_\_\_\_\_  
DDC Director, Insurance Risk Manager

\_\_\_\_\_  
30 - 30 Thomson Avenue, 4th Floor (IDCNY Building)

\_\_\_\_\_  
Long Island City, NY 11101

\_\_\_\_\_



**CERTIFICATION BY BROKER**

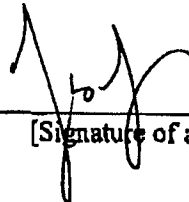
The undersigned insurance broker represents to the City of New York that the attached Certificate of Insurance, dated 8/25/11, concerning insurance policy number 71c4000249-091 is accurate in all material respects, and that the described insurance is effective as of the date of this Certification.

Regan Agency, Inc

[Name of broker (typewritten)]

463 Deer Park Ave, Babylon NY 11702

[Address of broker (typewritten)]



[Signature of authorized official or broker]

Brennan P. Regan, Vice-President

[Name and title of authorized official (typewritten)]

Sworn to before me this  
25<sup>th</sup> day of AUGUST, 2011

Sandra L. Twohill  
NOTARY PUBLIC

SANDRA L. TWOHILL  
Notary Public, State of New York  
No. 01TW6145401  
Qualified in Suffolk County  
Commission Expires May 8, 2014





SCHEDULE OF WORKMEN, MECHANICS AND LABORERS

The following is a list of classifications for workmen, mechanics and laborers which are anticipated to be employed in the performance of work under this contract, followed by a schedule of the prevailing wage rates and supplemental benefits for all classifications as established by the Comptroller of the City of New York.

Request for interpretation or correction under Subsection A of Section No. 3 in the Information for Bidders includes all requests for clarification of the classification of trades to be employed in the performance of the work under this contract.

In the event that a trade not listed in the classification of trades required to be used at the time of the award of the contract is in fact employed during the performance of this contract, the Contractor shall be required to obtain from the agency the prevailing wage rates and supplementary benefits for the trades used and to complete the performance of this contract at the price at which the contract was awarded.

| <u>CODE</u> | <u>CLASSIFICATION</u>                               |
|-------------|---|
| 15 42 001   | Rigger  |
| 15 42 002   | Sign Erector  |
| 16 11 001   | Gardener  |
| 16 11 002   | Tree Pruner   |
| 16 11 003   | Tree Remover  |
| 16 11 011   | Asphalt Raker (Highway & Paving)                    |
| 16 11 012   | Tamper (Highway & Paving)                           |
| 16 11 013   | Curbsetter (Highway & Paving)                       |
| 16 11 014   | Formsetter (Highway & Paving)                       |
| 16 11 015   | Rammerman (Highway & Paving)                        |
| 16 11 016   | Laborer (Highway & Paving)                          |
| 16 11 017   | ALL OTHER TITLES (Highway & Paving)                 |
| 16 23 001   | Laborer   |
| 16 23 002   | Operating Engineer (Heavy Construction-Maintenance) |
| 16 23 003   | Junior Operating Engineer                           |
| 16 23 004   | Junior Operating Engineer                           |
| 16 23 005   | Junior Operating Engineer                           |
| 16 23 006   | Fireman (Heavy Construction)                        |
| 16 23 007   | Oiler (Heavy Construction)                          |
| 16 23 051   | Surveyor-Heavy Construction                         |
| 16 23 052   | Surveyor-Heavy Construction-Instrument Man          |
| 16 23 053   | Surveyor-Heavy Construction-Rodman                  |
| 16 23 057   | Surveyor-Land Surveying-Party Chief                 |
| 16 23 058   | Surveyor-Land Surveying-Instrument Man              |
| 16 23 059   | Surveyor-Land Surveying-Rodman                      |

| <u>CODE</u> | <u>CLASSIFICATION</u>                        |
|-------------|--|
| 16 23 061   | Operating Engineer-Road & Heavy Construction |
| 16 23 062   | Operating Engineer-Paving                    |
| 16 23 063   | Operating Engineer-Concrete                  |
| 16 23 071   | Teamster-Heavy Equipment Trailer Driver      |
| 16 23 072   | Teamster-Dump Truck Driver                   |
| 16 23 073   | Teamster-Flat Bed Trailer Driver (3-Axle)    |
| 16 23 074   | Teamster-Redi-Mix (Sand and Gravel)          |
| 16 29 011   | Drill Runners                                |
| 17 11 001   | Plumbers                                     |
| 17 21 001   | Painter (Brush & Roller)                     |
| 17 31 001   | Electrician                                  |
| 17 41 001   | Bricklayer                                   |
| 17 41 002   | Mason Tender                                 |
| 17 41 004   | Cement Mason                                 |
| 17 42 002   | Metallic Lather                              |
| 17 51 001   | Carpenter                                    |
| 17 51 002   | Dock Builder                                 |
| 17 71 001   | Cement & Concrete Worker                     |
| 17 91 001   | Structural Iron Worker                       |
| 17 95 001   | Barman                                       |
| 17 96 021   | Derrickmen & Riggers                         |
| 17 99 001   | Ornamental Iron Worker                       |
| 17 99 002   | Sandblaster                                  |
| 17 99 005   | Pointers (Waterproofer)                      |
| 17 99 011   | Welders                                      |

Each classification may include trainees depending upon project staffing schedules and as required by the terms of this contract.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

LABOR LAW §220 PREVAILING WAGE SCHEDULE

Pursuant to Labor Law §220 (3) the Comptroller of the City of New York has promulgated this schedule solely for Workers, Laborers and Mechanics engaged by private contractors on New York City public work contracts. Contracting agencies anticipating doing work which requires the employment of a trade or classification not included in this schedule must request the Comptroller to establish a proper classification for the work pursuant to Labor Law §220 (3-a) (a). The prevailing rate schedule as promulgated by the Comptroller, must, in compliance with law, be annexed to and form part of the contract.

The appropriate schedule of prevailing wages and benefits must be posted at all public work sites pursuant to Labor Law §220 (3-a) (a).

This schedule is applicable for work performed during the effective period, unless otherwise noted. You will be notified of any changes to this schedule by addenda published on our web site at [www.comptroller.nyc.gov](http://www.comptroller.nyc.gov). The rate of wages and supplemental benefits to be paid or provided are those that prevail at the time the work is being performed. Preliminary schedules for future one-year periods are published annually in the City Record on or about June 1<sup>st</sup> of each succeeding year. Final schedules are published on or about July 1<sup>st</sup> in the City Record and on our web site at [www.comptroller.nyc.gov](http://www.comptroller.nyc.gov).

The Comptroller's Office has attempted to include all overtime, shift and night differential, Holiday, Saturday, Sunday or other premium time work. However, this schedule does not set forth every prevailing practice with respect to such rates with which employers must comply. All such practices are nevertheless part of the employer's prevailing wage obligation and contained in the collective bargaining agreements of the prevailing wage unions. These collective bargaining agreements are available for inspection by appointment. Requests for appointments may be made by calling (212) 669-4437, Monday through Friday between the hours of 9 a.m. and 5 p.m.

Answers to questions concerning prevailing trade practices may be obtained from the Classification Unit by calling (212) 669-4437. Please direct all other compliance issues to: Bureau of Labor Law, Attn: Wasyl Kinach, P.E., Office of the Comptroller, 1 Centre Street, Room 1122, New York, N.Y. 10007; Fax (212) 669-4002.

Prevailing rates and ratios for apprentices are attached to this schedule in the Appendix. Pursuant to Labor Law §220 (3-e), only apprentices who are individually registered in a bona fide program to which the employer contractor is a participant, registered with the New York State Department of Labor, may be employed on a public work project. Trainees, Assistants and Helpers who are not journey persons or not registered apprentices pursuant to Labor Law §220 (3-e) may not be substituted for apprentices and must be paid as journey persons.

Workers, Laborers and Mechanics employed on a public work project must receive not less than the prevailing rate of wage and benefits for the classification of work performed by each upon

**OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE**

such public work. Contractors are solely responsible for maintaining original payroll records which delineate, among other things, the hours each employee worked within a given classification. Contractors using rates and/or classifications not promulgated by the Comptroller do so at their own risk. Additionally, prior to bid, Agency Chief Contracting Officers must contact the Bureau of Labor Law when the need arises for a work classification not published in this schedule.

**Prevailing Rate Schedule Information:** The information below is intended to assist you in meeting your prevailing wage rate obligation.

**Covered Workers:** Any and all individuals who are engaged, employed or otherwise occupied as Workers, Laborers or Mechanics on the public work site.

**Supplemental Benefits:** Employers may meet supplemental benefits obligation by paying the hourly supplemental benefits rate to their employees in cash. Such cash payments are considered income to the employee. Employers who elect to provide bona fide supplemental benefits to their employees will be given hourly cash credit for such benefits up to the hourly benefits rate set forth in the applicable schedule for the relevant trade or occupation at issue.

Particular attention should be given to the supplemental benefits requirement. Although in most instances the payment or provision for supplemental benefits is for each hour worked, some classifications require the payment or provision of supplemental benefits for each hour paid. Consequently, some prevailing practices require benefits to be purchased at the overtime, shift differential, Holiday, Saturday, Sunday or other premium time rate.

Contractors are advised to review the applicable Collective Bargaining Agreements and the Comptroller's Prevailing Wage Schedule before bidding on Public Work. If there are any questions concerning prevailing wages, benefits, overtime, Holiday pay, shift differentials or any prevailing practice, please contact this office.

Public Work construction, reconstruction, demolition, excavation, rehabilitation, repair, renovation, alteration, or improvement contracts awarded pursuant to a Project Labor Agreement ("PLA") in accordance with Labor Law section 222 may have different labor standards for shift, premium and overtime work. Please refer to the PLA's pre-negotiated labor agreements for wage and benefit rates applicable to work performed outside of the regular workday. More information is available at the Mayor's Office of Contract Services (MOCS) web page at <http://www.nyc.gov/html/mocs/html/vendors/pla.shtml>.

All the provisions of Labor Law section 220 remain applicable to PLA work including, but not limited to, the enforcement of prevailing wage requirements by the Comptroller; however, we will enforce shift, premium, overtime and other non-standard rates as they appear in a project's pre-negotiated labor agreement.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Any error as to compensation under the prevailing wage law or other information as to trade classification, made by the contracting agency in the contract documents or in any other communication, will not preclude a finding against the contractor of prevailing wage violation.

Wasył Kinach, P.E.  
Director of Classifications  
Bureau of Labor Law

**NOTE:** Benefits are paid for EACH HOUR WORKED unless otherwise noted.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

§220 SCHEDULE OF PREVAILING WAGES AND SUPPLEMENTAL BENEFITS ADDENDUM

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List of Amended Classifications

1. ASBESTOS HANDLER
2. CARPENTER - BUILDING COMMERCIAL
3. CARPENTER - HEAVY CONSTRUCTION WORK
4. CEMENT & CONCRETE WORKER
5. CEMENT MASON
6. CORE DRILLER
7. DERRICKPERSON AND RIGGER
8. DIVER
9. DOCKBUILDER - PILE DRIVER
10. ELECTRICIAN
11. ELECTRICIAN - ALARM TECHNICIAN
12. ELECTRICIAN-STREET LIGHTING WORKER
13. ENGINEER
14. ENGINEER - CITY SURVEYOR AND CONSULTANT
15. ENGINEER - FIELD (HEAVY CONSTRUCTION)
16. ENGINEER - OPERATING
17. FLOOR COVERER
18. HEAT AND FROST INSULATOR
19. IRON WORKER - ORNAMENTAL

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

- 20. IRON WORKER – STRUCTURAL
- 21. MARBLE MECHANIC
- 22. MILLWRIGHT
- 23. PLASTERER
- 24. PLUMBER (MECHANICAL EQUIPMENT AND SERVICE)
- 25. PLUMBER: PUMP & TANK
- 26. ROOFER
- 27. SHEET METAL WORKER - SPECIALTY
- 28. STEAMFITTER
- 29. STEAMFITTER - REFRIGERATION AND AIR CONDITIONER
- 30. TAPER
- 31. TIMBERPERSON
- 32. TUNNEL WORKER

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
 §220 PREVAILING WAGE SCHEDULE

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**ASBESTOS HANDLER**

(Hazardous Material; Disturbs, removes, encapsulates, repairs, or encloses friable asbestos material)

**Asbestos Handler**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$31.50

Supplemental Benefit Rate per Hour: \$12.45

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$33.00

Supplemental Benefit Rate per Hour: \$13.95

**Overtime Description**

Overtime is paid for all hours worked in excess of eight hours per day or forty (40) hours per week at time and one half the wage rate per hour and straight time for supplemental benefits.

**Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Easter

**Paid Holidays**

None

(Local #78)

---

**BLASTER**

**Blaster**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$40.90

Supplemental Benefit Rate per Hour: \$34.14

**Blaster (Hydraulic)**

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Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$41.60

Supplemental Benefit Rate per Hour: \$34.14

**Blaster - Trac Drill Hydraulic**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$36.95

Supplemental Benefit Rate per Hour: \$31.14

**Blaster - Wagon: Air Trac: Quarry Bar: Drillrunners**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$36.28

Supplemental Benefit Rate per Hour: \$34.14

**Blaster - Operators of Jack Hammers**

Chippers: Spaders: Concrete Breakers: and all other pneumatic tools of like usage: Walk Behind Self Propelled Hydraulic Asphalt and Concrete Breakers: Hydro (Water) Demolition

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$35.39

Supplemental Benefit Rate per Hour: \$34.14

**Blaster - Powder Carriers**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$32.08

Supplemental Benefit Rate per Hour: \$34.14

**Blaster - Hydraulic Trac Drill Chuck Tender**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$30.99

Supplemental Benefit Rate per Hour: \$34.14

**Blaster - Chuck Tender & Nipper**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$30.35

Supplemental Benefit Rate per Hour: \$34.14

**Blaster - Magazine Keepers: (Watch Person)**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$18.59

Supplemental Benefit Rate per Hour: \$34.14

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

### Overtime Description

For Blaster - Magazine Keepers: (Watch Person) only - time and one half the regular rate for work after an 8 hour day, Saturday, Sunday and holidays listed below.

### Overtime

Double time the regular rate after an 8 hour day.  
Time and one half the regular rate for Saturday.  
Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Presidential Election Day  
Thanksgiving Day  
Christmas Day

### Paid Holidays

None

### Shift Rates

A single shift shall be 8 hours plus an unpaid lunch, starting at 8:00 A.M (or between 6:00 A.M. and 10:00 A.M. on weekdays). When two (2) shifts are employed, each shift shall be 8 hours plus ½ hour unpaid lunch. When three (3) shifts are employed, each shift will work seven and one-half (7 ½) hours, but will be paid for eight (8) hours, since only one-half (½) hour is allowed for mealtime. When two (2) or more shifts are employed, single time will be paid for each shift. The first 8 hours of any and all work performed Monday through Friday inclusive of any off-shift shall be at the single time rate.

(Local #29)

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## BOILERMAKER

### Boilermaker

Effective Period: 7/1/2010 - 12/31/2010

Wage Rate per Hour: \$46.66

Supplemental Benefit Rate per Hour: \$32.60

Supplemental Note: The above rate applies to repair or maintenance and new construction; For time and one half overtime - \$48.51; For double overtime - \$64.41.

Effective Period: 1/1/2011 - 6/30/2011

Wage Rate per Hour: \$47.32

Supplemental Benefit Rate per Hour: \$35.18

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Supplemental Note: The above rate applies to repair or maintenance and new construction; For time and one half overtime - \$52.38; For double overtime - \$69.57.

### Overtime Description

For Repair and Maintenance work:

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

For New Construction work:

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Columbus Day

Election Day

Veteran's Day

Thanksgiving Day

Christmas Day

Quadruple time the regular rate for work on the following holiday(s).

Labor Day

### Paid Holidays

Good Friday

Day after Thanksgiving

Day before Christmas

Day before New Year's Day

### Shift Rates

When shifts are required, the first shift shall work eight (8) hours at the regular straight-time hourly rate. The second shift shall work seven and one-half (7 ½) hours and receive eight hours at the regular straight time hourly rate plus twenty-five cents (\$0.25) per hour. The third shift shall work seven (7) hours and receive eight hours at the regular straight time hourly rate plus fifty cents (\$0.50) per hour. A thirty (30) minute lunch period shall not be considered as time worked. Work in excess of the above shall be paid overtime at the appropriate new construction work or repair work overtime wage and supplemental benefit hourly rate.

(Local #5)

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## BRICKLAYER

### Bricklayer

Effective Period: 7/1/2010 - 6/30/2011

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Wage Rate per Hour: \$45.98

Supplemental Benefit Rate per Hour: \$25.71

### Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

### Paid Holidays

None

### Shift Rates

Overtime rates to be paid outside the regular 8:00 A.M. to 4:00 P.M. work day.

(Bricklayer District Council)

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## CARPENTER - BUILDING COMMERCIAL

### Building Commercial

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$44.02

Supplemental Benefit Rate per Hour: \$38.50

Supplemental Note: Effective 7/1/10 \$2.13 to be allocated between the hourly wage and supplemental benefit.

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$46.15

Supplemental Benefit Rate per Hour: \$38.50

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

**Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day  
Washington's Birthday  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Presidential Election Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

**Paid Holidays**

None

**Shift Rates**

The second shift will receive one hour at the double time rate of pay for the last hour of the shift; eight hours pay for seven hours of work, nine hours pay for eight hours of work. There must be a first shift in order to work a second shift.

(Carpenters District Council)

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**CARPENTER - HEAVY CONSTRUCTION WORK**

(Construction of Engineering Structures and Building Foundations)

**Heavy Construction Work**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$44.61

Supplemental Benefit Rate per Hour: \$40.78

Supplemental Note: Effective 7/1/10 \$3.72 to be allocated between the hourly wage and supplemental benefit.

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$46.74

Supplemental Benefit Rate per Hour: \$42.37

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

**Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

President's Day  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Presidential Election Day  
Thanksgiving Day  
Christmas Day

### **Paid Holidays**

None

### **Shift Rates**

Off shift work, commencing between 5:00 P.M. and 10:00 P.M. shall work eight and one half hours allowing for one half hour for lunch, but will be paid for 9 hours including benefits at the straight time rate for 8 hours.

(Carpenters District Council)

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## **CEMENT & CONCRETE WORKER**

### **Cement & Concrete Worker**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: **\$34.90**

Supplemental Benefit Rate per Hour: **\$25.48**

Supplemental Note: \$28.23 on Saturdays; \$30.98 on Sundays & Holidays

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: **\$37.55**

Supplemental Benefit Rate per Hour: **\$25.61**

Supplemental Note: \$28.36 on Saturdays; \$31.11 on Sundays & Holidays

### **Overtime Description**

Time and one half the regular rate after 7 hour day (time and one half the regular rate after an 8 hour day when working with Dockbuilders on pile cap forms and for work below street level to the top of the foundation wall, not to exceed 2 feet or 3 feet above the sidewalk-brick shelf, when working on the foundation and structure.)

### **Overtime**

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day  
President's Day  
Good Friday  
Memorial Day  
Independence Day



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Labor Day  
Columbus Day  
Presidential Election Day  
Thanksgiving Day  
Christmas Day

### **Paid Holidays**

1/2 day before Christmas Day  
1/2 day before New Year's Day

### **Shift Rates**

On shift work extending over a twenty-four hour period, all shifts are paid at straight time.

(Cement Concrete Workers District Council)

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## **CEMENT MASON**

### **Cement Mason**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$40.50

Supplemental Benefit Rate per Hour: \$33.61

Supplemental Note: Overtime supplemental benefit rate per hour: \$50.61

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$42.50

Supplemental Benefit Rate per Hour: \$35.26

Supplemental Note: Overtime supplemental benefit rate per hour: \$52.26

### **Overtime**

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

### **aid Holidays**

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Any worker who reports to work on Christmas Eve or New Year's Eve pursuant to his employer's instruction shall be entitled to three (3) hours afternoon pay without working.

### Shift Rates

For an off shift day, (work at times other than the regular 8:00 A.M. to 3:30 P.M. work day) a cement mason shall be paid at the regular hourly rate plus a 25% per hour differential.

(Local #780)

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## CORE DRILLER

### Core Driller

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$33.25

Supplemental Benefit Rate per Hour: \$17.52

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$34.52

Supplemental Benefit Rate per Hour: \$18.46

### Core Driller Helper

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$26.95

Supplemental Benefit Rate per Hour: \$17.52

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$27.95

Supplemental Benefit Rate per Hour: \$18.46

### Core Driller Helper(Third year in the industry)

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$24.25

Supplemental Benefit Rate per Hour: \$17.52

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$25.15

Supplemental Benefit Rate per Hour: \$18.46

### Core Driller Helper (Second year in the industry)

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$21.56

Supplemental Benefit Rate per Hour: \$17.52

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$22.36

Supplemental Benefit Rate per Hour: \$18.46

**Core Driller Helper (First year in the industry)**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$18.86

Supplemental Benefit Rate per Hour: \$17.52

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$19.56

Supplemental Benefit Rate per Hour: \$18.46

**Overtime Description**

Time and one half the regular rate for work on a holiday plus Holiday pay when worked.

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Time and one half the regular rate for work on the following holiday(s).

**Paid Holidays**

New Year's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

**Shift Rates**

The shift day shall be the continuous eight and one-half (8½) hours from 6:00 A.M. to 2:30 P.M. and from 2:30 P.M. to 11:00 P.M., including one-half (½) hour of employees regular rate of pay for lunch. When two (2) or more shifts are employed, single time shall be paid for each shift, but those employees employed on a shift other than from 8:00 A.M. to 5:00 P.M. shall, in addition, receive seventy-five cents (\$0.75) per hour differential for each hour worked. When three (3) shifts are needed, each shift shall work seven and one-half (7 ½) hours paid for eight (8) hours of labor and be permitted one-half (½) hour for mealtime.

(Carpenters District Council)

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**DERRICKPERSON AND RIGGER**

**Derrick Person & Rigger**

Effective Period: 7/1/2010 - 1/9/2011

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Wage Rate per Hour: \$41.00

Supplemental Benefit Rate per Hour: \$40.38

Supplemental Note: The above supplemental rate applies for work performed in Manhattan, Bronx, Brooklyn and Queens. \$41.80 - For work performed in Staten Island.

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$40.50

Supplemental Benefit Rate per Hour: \$42.38

Supplemental Note: The above supplemental rate applies for work performed in Manhattan, Bronx, Brooklyn and Queens. \$43.80 - For work performed in Staten Island.

### Overtime Description

The first two hours of overtime on weekdays and the first seven hours of work on Saturdays are paid at time and one half for wages and supplemental benefits. All additional overtimes is paid at double time for wages and supplemental benefits. Deduct \$1.42 from the Staten Island hourly benefits rate before computing overtime.

### Overtime

Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Washington's Birthday

Good Friday

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

### Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

(Local #197)

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## DIVER

### Diver (Marine)

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$56.32

Supplemental Benefit Rate per Hour: \$40.78

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$58.95

Supplemental Benefit Rate per Hour: \$42.37

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**Diver Tender (Marine)**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$40.17

Supplemental Benefit Rate per Hour: \$40.78

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$42.10

Supplemental Benefit Rate per Hour: \$42.37

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

**Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Residential Election Day

Thanksgiving Day

Christmas Day

**Paid Holidays**

None

**Shift Rates**

When three shifts are utilized each shift shall work seven and one half-hours (7 1/2 hours) and paid for 8 hours, allowing for one half hour for lunch.

(Carpenters District Council)

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**DOCKBUILDER - PILE DRIVER**

**Dockbuilder - Pile Driver**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$44.61

Supplemental Benefit Rate per Hour: \$40.78

Supplemental Note: Effective 7/1/10 \$3.72 to be allocated between the hourly wage and supplemental benefit.

Effective Period: 1/10/2011 - 6/30/2011

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Wage Rate per Hour: \$46.74

Supplemental Benefit Rate per Hour: \$42.37

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

### Paid Holidays

None

### Shift Rates

Off shift work, commencing between 5:00 P.M. and 10:00 P.M., shall work eight and one half hours allowing for one half hour for lunch but will be paid the straight time hourly wage for 9 hours and the straight time supplemental benefits for 8 hours.

(Carpenters District Council)

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## DRIVER: TRUCK (TEAMSTER)

### Driver - Automobile Chauffeur (Dump Truck)

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$35.53

Supplemental Benefit Rate per Hour: \$34.36

### Driver - Heavy Equipment Trailer Driver

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$37.03

Supplemental Benefit Rate per Hour: \$34.36

Note: For time and one half overtime Wage Rate - \$53.30; for double time overtime Wage Rate - \$71.06

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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**Driver - Euclid & Turnapull Operator**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$36.10

Supplemental Benefit Rate per Hour: \$34.36

**Driver - Six Wheeler(3 Axle) Tractors & Trailers**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$36.53

Supplemental Benefit Rate per Hour: \$34.36

Note: For time and one half overtime Wage Rate - \$54.15; for double time overtime Wage Rate - \$72.20

**Driver - Boom Truck**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$36.78

Supplemental Benefit Rate per Hour: \$34.36

Note: For time and one half overtime Wage Rate - \$54.15; for double time overtime Wage Rate - \$72.20

**Overtime Description**

For Paid Holidays: Holiday pay for all holidays shall be prorated based two hours per day for each day worked in the holiday week, not to exceed 8 hours of holiday pay. For Thanksgiving week, the prorated share shall be 5 1/3 hours of holiday pay for each day worked in Thanksgiving week.

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

**Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

President's Day

Columbus Day

Veteran's Day

Day after Thanksgiving

Triple time the regular rate for work on the following holiday(s).

New Year's Day

Memorial Day

Independence Day

Labor Day

Presidential Election Day

Thanksgiving Day

Christmas Day

**Paid Holidays**

New Year's Day

President's Day

Memorial Day

Independence Day

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Labor Day  
Columbus Day  
Election Day  
Veteran's Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

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**Driver - Redi-Mix Driver (Sand & Gravel)**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$35.06

Supplemental Benefit Rate per Hour: \$31.80

**Overtime Description**

For Paid Holidays: Employees working two (2) days in the calendar week in which the holiday falls are to paid for these holidays, provided they shape each remaining workday during that calendar week.

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

**Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

President's Day

Columbus Day

Veteran's Day

Triple time the regular rate for work on the following holiday(s).

New Year's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

**Paid Holidays**

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Election Day

Veteran's Day

Thanksgiving Day

Christmas Day



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Local #282)

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**ELECTRICIAN**

(Including all low voltage cabling carrying data; video; and voice in combination with data and or video.)

**Electrician "A" (Regular Day)**

Effective Period: 7/1/2010 – 12/31/2010

Wage Rate per Hour: \$49.00

Supplemental Benefit Rate per Hour: \$40.40

Effective Period: 1/1/2011 - 5/10/2011

Wage Rate per Hour: \$49.00

Supplemental Benefit Rate per Hour: \$39.42

Effective Period: 5/11/2011 - 6/30/2011

Wage Rate per Hour: \$49.00

Supplemental Benefit Rate per Hour: \$40.16

**Electrician "A" (Regular Day Overtime)**

Effective Period: 7/1/2010 – 12/31/2010

Wage Rate per Hour: \$73.50

Supplemental Benefit Rate per Hour: \$43.48

Effective Period: 1/1/2011 - 5/10/2011

Wage Rate per Hour: \$73.50

Supplemental Benefit Rate per Hour: \$42.01

Effective Period: 5/11/2011 - 6/30/2011

Wage Rate per Hour: \$73.50

Supplemental Benefit Rate per Hour: \$42.74

**Electrician "A" (Day Shift)**

Effective Period: 7/1/2010 – 12/31/2010

Wage Rate per Hour: \$49.00

Supplemental Benefit Rate per Hour: \$40.40

Effective Period: 1/1/2011 - 5/10/2011

Wage Rate per Hour: \$49.00

Supplemental Benefit Rate per Hour: \$39.42

Effective Period: 5/11/2011 - 6/30/2011

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Wage Rate per Hour: \$49.00  
Supplemental Benefit Rate per Hour: \$40.16

**Electrician "A" (Day Shift Overtime After 8 hours)**

Effective Period: 7/1/2010 - 12/31/2010  
Wage Rate per Hour: \$73.50  
Supplemental Benefit Rate per Hour: \$43.48

Effective Period: 1/1/2011 - 5/10/2011  
Wage Rate per Hour: \$73.50  
Supplemental Benefit Rate per Hour: \$42.01

Effective Period: 5/11/2011 - 6/30/2011  
Wage Rate per Hour: \$73.50  
Supplemental Benefit Rate per Hour: \$42.74

**Electrician "A" (Swing Shift)**

Effective Period: 7/1/2010 - 12/31/2010  
Wage Rate per Hour: \$57.49  
Supplemental Benefit Rate per Hour: \$42.27

Effective Period: 1/1/2011 - 5/10/2011  
Wage Rate per Hour: \$57.49  
Supplemental Benefit Rate per Hour: \$44.71

Effective Period: 5/11/2011 - 6/30/2011  
Wage Rate per Hour: \$57.49  
Supplemental Benefit Rate per Hour: \$45.57

**Electrician "A" (Swing Shift Overtime After 7.5 hours)**

Effective Period: 7/1/2010 - 12/31/2010  
Wage Rate per Hour: \$86.24  
Supplemental Benefit Rate per Hour: \$45.07

Effective Period: 1/1/2011 - 5/10/2011  
Wage Rate per Hour: \$86.24  
Supplemental Benefit Rate per Hour: \$47.74

Effective Period: 5/11/2011 - 6/30/2011  
Wage Rate per Hour: \$86.24  
Supplemental Benefit Rate per Hour: \$48.60

**Electrician "A" (Graveyard Shift)**

Effective Period: 7/1/2010 - 12/31/2010  
Wage Rate per Hour: \$64.40

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Supplemental Benefit Rate per Hour: \$44.05

Effective Period: 1/1/2011 - 5/10/2011

Wage Rate per Hour: \$64.40

Supplemental Benefit Rate per Hour: \$49.19

Effective Period: 5/11/2011 - 6/30/2011

Wage Rate per Hour: \$64.40

Supplemental Benefit Rate per Hour: \$50.15

**Electrician "A" (Graveyard Shift Overtime After 7 hours)**

Effective Period: 7/1/2010 - 12/31/2010

Wage Rate per Hour: \$96.60

Supplemental Benefit Rate per Hour: \$46.37

Effective Period: 1/1/2011 - 5/10/2011

Wage Rate per Hour: \$96.60

Supplemental Benefit Rate per Hour: \$52.58

Effective Period: 5/11/2011 - 6/30/2011

Wage Rate per Hour: \$96.60

Supplemental Benefit Rate per Hour: \$53.55

**Overtime**

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

**Overtime Holidays**

Time and one half the regular rate for work on a holiday.

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

**Paid Holidays**

None

**Shift Rates**

When so elected by the Employer, one or more shifts of at least five days duration may be scheduled as follows:  
Day Shift: 8:00 am to 4:30 pm, Swing Shift 4:30 pm to 12:30 am, Graveyard Shift: 12:30 am to 8:00 am.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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For multiple shifts of temporary light and/or power, the temporary light and/or power employee shall be paid for hours at the straight time rate.

**Electrician "M" (First 8 hours)**

"M" rated work shall be defined as jobbing: electrical work of limited duration and scope, consisting of repairs and/or replacement of electrical and tele-data equipment. Includes all work necessary to retrofit, service, maintain and repair all kinds of lighting fixtures and local lighting controls and washing and cleaning of foregoing fixtures.

Effective Period: 7/1/2010 – 12/31/2010

Wage Rate per Hour: \$25.30

Supplemental Benefit Rate per Hour: \$15.77

Effective Period: 1/1/2011 - 5/10/2011

Wage Rate per Hour: \$25.30

Supplemental Benefit Rate per Hour: \$15.26

Effective Period: 5/11/2011 - 6/30/2011

Wage Rate per Hour: \$25.30

Supplemental Benefit Rate per Hour: \$16.26

**Electrician "M" (Overtime After First 8 hours)**

"M" rated work shall be defined as jobbing: electrical work of limited duration and scope, consisting of repairs and/or replacement of electrical and tele-data equipment. Includes all work necessary to retrofit, service, maintain and repair all kinds of lighting fixtures and local lighting controls and washing and cleaning of foregoing fixtures.

Effective Period: 7/1/2010 – 12/31/2010

Wage Rate per Hour: \$37.95

Supplemental Benefit Rate per Hour: \$17.35

Effective Period: 1/1/2011 - 5/10/2011

Wage Rate per Hour: \$37.95

Supplemental Benefit Rate per Hour: \$16.60

Effective Period: 5/11/2011 - 6/30/2011

Wage Rate per Hour: \$37.95

Supplemental Benefit Rate per Hour: \$17.60

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

**Overtime Holidays**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Time and one half the regular rate for work on the following holiday(s).

Jew Year's Day  
Martin Luther King Jr. Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

**Paid Holidays**

None

(Local #3)

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**ELECTRICIAN - ALARM TECHNICIAN**

(Scope of Work - Inspect, test, repair, and replace defective, malfunctioning, or broken devices, components and controls of Fire, Burglar and Security Systems)

**Alarm Technician**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$28.34

Supplemental Benefit Rate per Hour: \$12.76

Supplemental Note: \$16.88 on weekday overtime and Saturdays; \$22.51 on Sundays & Holidays

Effective Period: 1/10/2011 - 3/9/2011

Wage Rate per Hour: \$28.34

Supplemental Benefit Rate per Hour: \$12.76

Supplemental Note: \$11.26 only after 8 hours worked in a day

Effective Period: 3/10/2011 - 6/30/2011

Wage Rate per Hour: \$28.89

Supplemental Benefit Rate per Hour: \$13.29

Supplemental Note: \$11.79 only after 8 hours worked in a day

**Overtime Description**

Time and one half the regular rate for the first 8 hours and double time and one half the regular rate after 8 hours for work on the following holidays: Columbus Day, Election Day, Day after Thanksgiving.  
Double time the regular rate for work on the following holidays: New Year's day, Martin Luther King Jr. Day, President's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas Day.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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**Overtime**

Time and one half the regular rate after an 8 hour day.  
Time and one half the regular rate for Saturday.  
Double time the regular rate for Sunday.

**Paid Holidays**

New Year's Day  
Martin Luther King Jr. Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Election Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

**Shift Rates**

Night Differential is based upon a ten percent (10%) differential between the hours of 4:00 P.M. and 12:30 A.M. and a fifteen percent (15%) differential for the hours 12:00 A.M. to 8:00 A.M.

**Vacation**

At least 1 year of employment.....ten (10) days  
5 years or more of employment.....fifteen (15) days  
10 years of employment.....twenty (20) days  
Plus one Personal Day per year

Sick Days:  
One day per Year

(Local #3)

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**ELECTRICIAN-STREET LIGHTING WORKER**

**Electrician - Electro Pole Electrician**

Effective Period: 7/1/2010 – 12/31/2010  
Wage Rate per Hour: \$49.00  
Supplemental Benefit Rate per Hour: \$42.07

Effective Period: 1/1/2011 - 5/10/2011  
Wage Rate per Hour: \$49.00  
Supplemental Benefit Rate per Hour: \$41.09

Effective Period: 5/11/2011 - 6/30/2011  
Wage Rate per Hour: \$49.00  
Supplemental Benefit Rate per Hour: \$41.83

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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**Electrician - Electro Pole Foundation Installer**

Effective Period: 7/1/2010 – 12/31/2010

Wage Rate per Hour: \$37.17

Supplemental Benefit Rate per Hour: \$32.31

Effective Period: 1/1/2011 - 5/10/2011

Wage Rate per Hour: \$37.17

Supplemental Benefit Rate per Hour: \$31.69

Effective Period: 5/11/2011 - 6/30/2011

Wage Rate per Hour: \$37.17

Supplemental Benefit Rate per Hour: \$32.25

**Electrician - Electro Pole Maintainer**

Effective Period: 7/1/2010 – 12/31/2010

Wage Rate per Hour: \$31.83

Supplemental Benefit Rate per Hour: \$29.23

Effective Period: 1/1/2011 - 5/10/2011

Wage Rate per Hour: \$31.83

Supplemental Benefit Rate per Hour: \$28.70

Effective Period: 5/11/2011 - 6/30/2011

Wage Rate per Hour: \$31.83

Supplemental Benefit Rate per Hour: \$29.18

**Overtime Description**

Electrician - Electro Pole Electrician: Time and one half the regular rate after a 7 hour day and after 5 consecutive days worked per week.

Electrician - Electro Pole Foundation Installer: Time and one half the regular rate after 8 hours within a 24 hour period and Saturday and Sunday.

Electrician - Electro Pole Maintainer: Time and one half the regular rate after a 7 hour day and after 5 consecutive days worked per week. Saturdays and Sundays may be used as a make-up day at straight time when a day is lost during the week to inclement weather.

**Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

**Paid Holidays**

None

(Local #3)

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**ELEVATOR CONSTRUCTOR**

**Elevator Constructor**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$51.46

Supplemental Benefit Rate per Hour: \$29.87

**Overtime Description**

For New Construction: work performed after 7 or 8 hour day, Saturday, Sunday or between 4:30pm and 7:00am shall be paid at double time rate.

Existing buildings: work performed after an 8 hour day, Saturday, Sunday or between 5:30pm and 7:00 am shall be paid time and one half.

**Overtime**

Double time the regular rate for work on the following holiday(s).

**Paid Holidays**

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

**Vacation**

Employer contributes 8% of regular basic hourly rate as vacation pay for employees with more than 15 years of service, and 6% for employees with 5 to 15 years of service, and 4% for employees with less than 5 years of service.

(Local #1)

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**ELEVATOR REPAIR & MAINTENANCE**



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

**Elevator Service/Modernization Mechanic**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$40.93

Supplemental Benefit Rate per Hour: \$28.55

**Overtime Description**

For Service Work: Double time - all work performed on Sundays, Holidays, and between midnight and 7:00am.

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Time and one half the regular rate for work on a holiday plus the day's pay.

**Paid Holidays**

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

**Shift Rates**

For Modernization Work (4pm to 12:30am) - regularly hourly rate plus a (15%) fifteen percent differential.

**Vacation**

Employer contributes 8% of regular basic hourly rate as vacation pay for employees with more than 15 years of service, and 6% for employees with 5 to 15 years of service, and 4% for employees with less than 5 years of service.

(Local #1)

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**ENGINEER**

**Engineer - Heavy Construction Operating Engineer I**

Cherry-pickers 20 tons and over and Loaders (rubber tired and/or tractor type with a manufacturer's minimum rated capacity of six cubic yards and over).

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$53.35

Supplemental Benefit Rate per Hour: \$26.84

Supplemental Note: \$48.03 on overtime

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Shift Wage Rate: \$85.36

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$55.15

Supplemental Benefit Rate per Hour: \$28.25

Supplemental Note: \$50.60 on overtime

Shift Wage Rate: \$88.24

**Engineer - Heavy Construction Operating Engineer II**

Backhoes, Basin Machines, Groover, Mechanical Sweepers, Bobcat, Boom Truck, Barrier Transport (Barrier Mover) & machines of similar nature. Operation of Churn Drills and machines of a similar nature, Stetco Silent Hoist and machines of similar nature, Vac-Alls, Meyers Machines, John Beam and machines of a similar nature, Ross Carriers and Travel Lifts and machines of a similar nature, Bulldozers, Scrapers and Turn-a-Pulls: Tugger Hoists (Used exclusively for handling excavated material); Tractors with attachments, Hyster and Roustabout Cranes, Cherrypickers. Austin Western, Grove and machines of a similar nature, Scoopmobiles, Monorails, Conveyors, Trenchers: Loaders-Rubber Tired and Tractor: Barber Greene and Eimco Loaders and Eimco Backhoes; Mighty Midget and similar breakers and Tampers, Curb and Gutter Pavers and Motor Patrol, Motor Graders and all machines of a similar nature. Locomotives 10 Tons or under. Mini-Max, Break-Tech and machines of a similar nature; Milling machines, robotic and demolition machines and machines of a similar nature, shot blaster, skid steer machines and machines of a similar nature including bobcat, pile rig rubber-tired excavator (37,000 lbs. and under), 2 man auger.

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$51.78

Supplemental Benefit Rate per Hour: \$26.84

Supplemental Note: \$48.03 on overtime

Shift Wage Rate: \$82.85

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$53.52

Supplemental Benefit Rate per Hour: \$28.25

Supplemental Note: \$50.60 on overtime

Shift Wage Rate: \$85.63

**Engineer - Heavy Construction Maintenance Engineer I**

Installing, Repairing, Maintaining, Dismantling and Manning of all equipment including Steel Cutting, Bending and Heat Sealing Machines, Mechanical Heaters, Grout Pumps, Bentonite Pumps & Plants, Screening Machines, Fusion Coupling Machines, Tunnel Boring Machines Moles and Machines of a similar nature, Power Packs, Mechanical Hydraulic Jacks; all drill rigs including but not limited to Churn, Rotary Caisson, Raised Bore & Drills of a similar nature; Personnel, Inspection & Safety Boats or any boats used to perform functions of same, Mine Hoists, Whirlies, all Climbing Cranes, all Tower Cranes, including but not limited to Truck Mounted and Crawler Type and machines of similar nature; Maintaining Hydraulic Drills and machines of a similar nature; Well Point System-Installation and dismantling (Foreman plus crew of four men). After system has been installed operation on day shift only; Where ejector or recharge system is used with separate piece of equipment in conjunction with Well Point System, an additional Maintenance Engineer shall be employed on all shifts; Burning, Welding, all Pumps regardless of size and/or motor power, except River Cofferdam Pumps and Wells Point Pumps; When two or more Air Pumps are used, a Maintenance Engineer shall be employed; Operation of Accumulator for Shield-Driven Tunnels, Handling Installation, Jointing; Coupling of all permanent cast iron, steel and plastic piping; and all temporary Pipe Fitting and such other work as by custom has been performed by the Maintenance Engineer; Motorized Buggies (three or more); equipment used in the cleaning and televising of sewers, but not limited to jet-rodder/vacuum truck, vacall/vactor, closed circuit television inspection equipment; high powered water

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

pumps, jet pumps; screed machines and concrete finishing machines of a similar nature; vermeers. A Maintenance Engineer shall also be assigned to work on Overtime, Saturdays, Sundays and Holidays when necessary. A Maintenance Engineer shall be employed on Autogrades (C.M.I.), On-site Crushing Plants, On-Site Concrete Plants, Vermeers and machines of a similar nature. A Working Maintenance Foreman shall be employed on all jobs when required and any job where a Master Mechanic is employed. He shall also be employed and act as Assistant Master Mechanic on the second and third shifts.

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$51.55

Supplemental Benefit Rate per Hour: \$26.84

Supplemental Note: \$48.03 on overtime

Shift Wage Rate: \$82.48

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$53.28

Supplemental Benefit Rate per Hour: \$28.25

Supplemental Note: \$50.60 on overtime

Shift Wage Rate: \$85.25

**Engineer - Heavy Construction Maintenance Engineer II**

On Base Mounted Tower Cranes

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$67.36

Supplemental Benefit Rate per Hour: \$26.84

Supplemental Note: \$48.03 on overtime

Shift Wage Rate: \$107.78

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$69.72

Supplemental Benefit Rate per Hour: \$28.25

Supplemental Note: \$50.60 on overtime

Shift Wage Rate: \$111.55

**Engineer - Heavy Construction Maintenance Engineer III**

On Generators, Power Pack Light Towers

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$34.44

Supplemental Benefit Rate per Hour: \$26.84

Supplemental Note: \$48.03 on overtime

Shift Wage Rate: \$55.10

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$35.48

Supplemental Benefit Rate per Hour: \$28.25

Supplemental Note: \$50.60 on overtime

Shift Wage Rate: \$56.77

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

**Engineer - Heavy Construction Maintenance Engineer IV**

On Pumps and Mixers including mudsucking

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$35.29

Supplemental Benefit Rate per Hour: \$26.84

Supplemental Note: \$48.03 on overtime

Shift Wage Rate: \$56.46

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$36.37

Supplemental Benefit Rate per Hour: \$28.25

Supplemental Note: \$50.60 on overtime

Shift Wage Rate: \$58.19

**Engineer - Heavy Construction Operating Engineer III**

Minor Equipment such as Tractors, Post Hole Diggers, Ditch Witch (Walk Behind), Road Finishing Machines, Rollers five tons and under, Tugger Hoists, Dual Purpose Trucks, Fork Lifts, and Dempster Dumpers.

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$49.20

Supplemental Benefit Rate per Hour: \$26.84

Supplemental Note: \$48.03 on overtime

Shift Wage Rate: \$78.72

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$50.83

Supplemental Benefit Rate per Hour: \$28.25

Supplemental Note: \$50.60 on overtime

Shift Wage Rate: \$81.33

**Engineer - Heavy Construction Fireperson**

Steam operated Water Rigs, Steam Shovels and Cranes; Power Boilers; Pile Drivers; Derrick Boats: Plus one hour at Overtime Rate for Steam equipment. When one generator and console for Vibratory Hammer are mounted on Pile Driving Rig, one additional hour shall be paid to crew at the premium time rate. If Generator or Console for Vibratory Hammer is off machine and placed on the ground an additional crew of Local 14 and 15 shall be employed. If one compressor is used along with auxiliary equipment, Jet Pipe and Auger, the crew shall receive one additional hour at the premium time rate for mounting of such equipment. When two or more compressors are used along with auxiliary equipment, an additional two hours at the premium time rate will be paid.

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$49.20

Supplemental Benefit Rate per Hour: \$26.84

Supplemental Note: \$48.03 on overtime

Shift Wage Rate: \$78.72

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$50.83

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Supplemental Benefit Rate per Hour: \$28.25  
Supplemental Note: \$50.60 on overtime  
Shift Wage Rate: \$81.33

**Engineer - Heavy Construction Oilers I**

Gradalls, Cold Planer Grader, Concrete Pumps, and their duties shall be to assist the Engineers in Oiling, Greasing and Repairing of all machines, giving signals when necessary, Chaining Buckets and Scale Boxes, Driving Truck Cranes, Driving and Operating Fuel and Grease Trucks. Plus one-half hour at Overtime rate when ordered by Employer at starting time. When three to seven Compressors are utilized in Battery it requires an Oiler. When eight to 12 Compressors are utilized in Battery it requires two Oilers.

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$46.60  
Supplemental Benefit Rate per Hour: \$26.84  
Supplemental Note: \$48.03 on overtime  
Shift Wage Rate: \$74.56

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$48.13  
Supplemental Benefit Rate per Hour: \$28.25  
Supplemental Note: \$50.60 on overtime  
Shift Wage Rate: \$77.01

**Engineer - Heavy Construction Oilers II**

All gasoline, electric, diesel or air operated Shovels, Draglines, Backhoes, Keystones, Pavers, Gunite Machines, Battery of Compressors, Crawler Cranes, two-person Trenching Machines.

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$32.59  
Supplemental Benefit Rate per Hour: \$26.84  
Supplemental Note: \$48.03 on overtime  
Shift Wage Rate: \$52.14

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$33.56  
Supplemental Benefit Rate per Hour: \$28.25  
Supplemental Note: \$50.60 on overtime  
Shift Wage Rate: \$53.70

**Engineer - Steel Erection Maintenance Engineers**

Derrick, Travelers, Tower, Crawler Tower and Climbing Cranes

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$53.11  
Supplemental Benefit Rate per Hour: \$28.25  
Supplemental Note: \$50.60 on overtime  
Shift Wage Rate: \$84.98

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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**Engineer - Steel Erection Oiler I**

On a Truck Crane

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$49.80

Supplemental Benefit Rate per Hour: \$28.25

Supplemental Note: \$50.60 on overtime

Shift Wage Rate: \$79.68

**Engineer - Steel Erection Oiler II**

On a Crawler Crane

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$38.30

Supplemental Benefit Rate per Hour: \$28.25

Supplemental Note: \$50.60 on overtime

Shift Wage Rate: \$61.28

**Overtime**

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

**Paid Holidays**

New Year's Day

Lincoln's Birthday

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Election Day

Veteran's Day

Thanksgiving Day

Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

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**Engineer - Building Work Maintenance Engineers I**

Installing, repairing, maintaining, dismantling (of all equipment including: Steel Cutting and Bending Machines, Mechanical Heaters, Mine Hoists, Climbing Cranes, Tower Cranes, Linden Peine, Lorain, Liebherr, Mannes, or machines of a similar nature, Well Point Systems, Deep Well Pumps, Concrete Mixers with loading Device, Concrete Plants, Motor Generators when used for temporary power and lights)-driving maintenance trucks and truck-mounted welding machines-all pumps (regardless of size and motor power except River Cofferdam Pumps and Well Point Pumps)-when three or more motorized concrete buggies (ride type) are utilized on the Job sites they shall be serviced, maintained and repaired by the Maintenance Engineer, skid steer machines of a similar nature including bobcat.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$50.66

Supplemental Benefit Rate per Hour: \$28.25

Supplemental Note: \$50.60 on overtime

**Engineer - Building Work Maintenance Engineers II**

Maintenance Engineers on Pumps, Generators, Mixers and Heaters

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$39.71

Supplemental Benefit Rate per Hour: \$28.25

Supplemental Note: \$50.60 on overtime

**Engineer - Building Work Oilers I**

All gasoline, electric, diesel or air operated Gradealls: Concrete Pumps, Overhead Cranes in Power Houses: Their duties shall be to assist the Engineer in oiling, greasing and repairing of all machines; Driving Truck Cranes: Driving and Operating Fuel and Grease Trucks, Cherrypickers (hydraulic cranes) over 70,000 GW, and machines of a similar nature.

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$48.23

Supplemental Benefit Rate per Hour: \$28.25

Supplemental Note: \$50.60 on overtime

**Engineer - Building Work Oilers II**

Oilers on Crawler Cranes, Backhoes, Trenching Machines, Gunite Machines, Compressors (three or more in Battery).

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$36.22

Supplemental Benefit Rate per Hour: \$28.25

Supplemental Note: \$50.60 on overtime

**Overtime**

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

**Paid Holidays**

New Year's Day

Lincoln's Birthday

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Iran's Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Thanksgiving Day  
Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

### Shift Rates

Off Shift: double time the regular hourly rate.

(Local #15)

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## ENGINEER - CITY SURVEYOR AND CONSULTANT

### Party Chief

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$32.32

Supplemental Benefit Rate per Hour: \$14.70

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$32.86

Supplemental Benefit Rate per Hour: \$15.55

### Instrument Person

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$26.90

Supplemental Benefit Rate per Hour: \$14.70

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$27.28

Supplemental Benefit Rate per Hour: \$15.55

### Rodperson

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$23.47

Supplemental Benefit Rate per Hour: \$14.70

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$23.74

Supplemental Benefit Rate per Hour: \$15.55

### Overtime Description

Overtime Benefit Rate - \$25.50 per hour.

Effective 1/10/2011 - Overtime Benefit Rate - \$26.95 per hour.

### Overtime

Double time the regular rate after a 7 hour day.



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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- Double time the regular time rate for Saturday.
- Double time the regular rate for Sunday.
- Double time the regular rate for work on the following holiday(s).

**Paid Holidays**

- New Year's Day
- Lincoln's Birthday
- President's Day
- Memorial Day
- Independence Day
- Labor Day
- Columbus Day
- Election Day
- Veteran's Day
- Thanksgiving Day
- Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Local #15-D)

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**ENGINEER - FIELD (BUILDING CONSTRUCTION)**  
(Construction of Building Projects, Concrete Superstructures, etc.)

**Field Engineer - BC Party Chief**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$53.64

Supplemental Benefit Rate per Hour: \$26.95

Supplemental Note: Overtime Benefit Rate - \$37.48 per hour (time & one half) \$48.00 per hour (double time).

**Field Engineer - BC Instrument Person**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$41.94

Supplemental Benefit Rate per Hour: \$26.95

Supplemental Note: Overtime Benefit Rate - \$37.48 per hour (time & one half) \$48.00 per hour (double time).

**Field Engineer - BC Rodperson**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$27.52

Supplemental Benefit Rate per Hour: \$26.95

Supplemental Note: Overtime Benefit Rate - \$37.48 per hour (time & one half) \$48.00 per hour (double time).

**Overtime Description**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Time and one half the regular rate after a 7 hour work and time and one half the regular rate for Saturday for the first seven hours worked, Double time the regular time rate for Saturday for work performed in excess of seven hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

**Paid Holidays**

New Year's Day  
President's Day  
Good Friday  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day  
Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Local #15-D)

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**ENGINEER - FIELD (HEAVY CONSTRUCTION)**  
(Construction of Roads, Tunnels, Bridges, Sewers, Building Foundations, Engineering Structures etc.)

**Field Engineer - HC Party Chief**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$54.79

Supplemental Benefit Rate per Hour: \$26.84

Supplemental Note: Overtime benefit rate - \$37.44 per hour (time & one half), \$48.03 per hour (double time).

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$56.62

Supplemental Benefit Rate per Hour: \$26.95

Supplemental Note: Overtime benefit rate - \$37.48 per hour (time & one half), \$48.00 per hour (double time).

**Field Engineer - HC Instrument Person**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$39.62

Supplemental Benefit Rate per Hour: \$26.84

Supplemental Note: Overtime benefit rate - \$37.44 per hour (time & one half), \$48.03 per hour (double time).

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$41.84

Supplemental Benefit Rate per Hour: \$26.95

Supplemental Note: Overtime benefit rate - \$37.48 per hour (time & one half), \$48.00 per hour (double time).

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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**Field Engineer - HC Rodperson**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$34.11

Supplemental Benefit Rate per Hour: \$26.84

Supplemental Note: Overtime benefit rate - \$37.44 per hour (time & one half), \$48.03 per hour (double time).

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$35.11

Supplemental Benefit Rate per Hour: \$26.95

Supplemental Note: Overtime benefit rate - \$37.48 per hour (time & one half), \$48.00 per hour (double time).

**Overtime Description**

Time and one half the regular rate after an 8 hour day, Time and one half the regular rate for Saturday for the first eight hours worked, Double time the regular time rate for Saturday for work performed in excess of eight hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

**Paid Holidays**

New Year's Day

Lincoln's Birthday

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Local #15-D)

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**ENGINEER - FIELD (STEEL ERECTION)**

**Field Engineer - Steel Erection Party Chief**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$54.50

Supplemental Benefit Rate per Hour: \$26.95

Supplemental Note: Overtime benefit rate - \$37.48 per hour (time & one half), \$48.00 per hour (double time).

**Field Engineer - Steel Erection Instrument Person**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$42.63

Supplemental Benefit Rate per Hour: \$26.95

Supplemental Note: Overtime benefit rate - \$37.48 per hour (time & one half), \$48.00 per hour (double time).

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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**Field Engineer - Steel Erection Rodperson**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$28.84

Supplemental Benefit Rate per Hour: \$26.95

Supplemental Note: Overtime benefit rate - \$37.48 per hour (time & one half), \$48.00 per hour (double time).

**Overtime Description**

Time and one half the regular rate for Saturday for the first eight hours worked.

Double time the regular rate for Saturday for work performed in excess of eight hours.

**Overtime**

Time and one half the regular rate after an 8 hour day.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

**Paid Holidays**

New Year's Day

Lincoln's Birthday

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Local #15-D)

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**ENGINEER - OPERATING**

**Operating Engineer - Road & Heavy Construction I**

Back Filling Machines, Cranes (including but not limited to those utilizing scale boxes and mucking buckets), Mucking Machines, Dual Drum Paver.

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$55.69

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Shift Wage Rate: \$89.10

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$58.22

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Shift Wage Rate: \$93.15

**Operating Engineer - Road & Heavy Construction II**

Backhoes, Power Shovels, Hydraulic Clam Shells, Steel Erection, Moles and machines of a similar nature.

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$57.77

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Shift Wage Rate: \$92.43

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$60.38

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: 50.75 overtime hours

Shift Wage Rate: \$96.61

**Operating Engineer - Road & Heavy Construction III**

Mine Hoists, Cranes, etc. (Used as Mine Hoists)

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$59.69

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Shift Wage Rate: \$95.50

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$62.38

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

Shift Wage Rate: \$99.81

**Operating Engineer - Road & Heavy Construction IV**

Gradealls, Keystones, Cranes on land or water (with digging buckets), Bridge Cranes, Vermeer Cutter and machines of a similar nature, Trenching Machines.

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$58.22

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Shift Wage Rate: \$93.15

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$60.85

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

Shift Wage Rate: \$97.36

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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**Operating Engineer - Road & Heavy Construction V**

Pile Drivers & Rigs (employing Dock Builder foreperson): Derrick Boats, Tunnel Shovels.

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$57.02

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Shift Wage Rate: \$91.23

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$59.60

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

Shift Wage Rate: \$95.36

**Operating Engineer - Road & Heavy Construction VI**

Mixers (Concrete with loading attachment), Concrete Pavers, Cableways, Land Derricks, Power Houses (Low Air Pressure Units).

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$54.03

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Shift Wage Rate: \$86.45

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$56.49

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

Shift Wage Rate: \$90.38

**Operating Engineer - Road & Heavy Construction VII**

Barrier Movers , Barrier Transport and Machines of a Similar Nature.

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$43.11

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Shift Wage Rate: \$68.98

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$45.13

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

Shift Wage Rate: \$72.21

**Operating Engineer - Road & Heavy Construction VIII**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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**Utility Compressors**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$32.85

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Shift Wage Rate: \$42.12

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$34.46

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

Shift Wage Rate: \$44.10

**Operating Engineer - Road & Heavy Construction IX**

Horizontal Boring Rig

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$51.24

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Shift Wage Rate: \$81.98

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$53.59

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

Shift Wage Rate: \$85.74

**Operating Engineer - Road & Heavy Construction X**

Elevators (manually operated as personnel hoist).

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$46.89

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Shift Wage Rate: \$75.02

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$49.06

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

Shift Wage Rate: \$78.50

**Operating Engineer - Road & Heavy Construction XI**

Compressors (Portable 3 or more in battery), Driving of Truck Mounted Compressors, Well-point Pumps, Tugger Machines Well Point Pumps, Churn Drill.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$35.82  
Supplemental Benefit Rate per Hour: \$27.30  
Supplemental Note: \$49.15 overtime hours  
Shift Wage Rate: \$57.31

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$37.55  
Supplemental Benefit Rate per Hour: \$28.10  
Supplemental Note: \$50.75 overtime hours  
Shift Wage Rate: \$60.08

**Operating Engineer - Road & Heavy Construction XII**

All Drills, and Machines of a similar nature.

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$54.64  
Supplemental Benefit Rate per Hour: \$27.30  
Supplemental Note: \$49.15 overtime hours  
Shift Wage Rate: \$87.42

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$57.12  
Supplemental Benefit Rate per Hour: \$28.10  
Supplemental Note: \$50.75 overtime hours  
Shift Wage Rate: \$91.39

**Operating Engineer - Road & Heavy Construction XIII**

Concrete Pumps, Concrete Plant, Well Drilling Machines, Stone Crushers, Double Drum Hoist, Power Houses  
(other than above).

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$52.81  
Supplemental Benefit Rate per Hour: \$27.30  
Supplemental Note: \$49.15 overtime hours  
Shift Wage Rate: \$84.50

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$55.22  
Supplemental Benefit Rate per Hour: \$28.10  
Supplemental Note: \$50.75 overtime hours  
Shift Wage Rate: \$88.35

**Operating Engineer - Road & Heavy Construction XIV**

Concrete Mixer

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$50.40



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Supplemental Benefit Rate per Hour: \$27.30  
Supplemental Note: \$49.15 overtime hours  
Shift Wage Rate: \$80.64

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$52.71  
Supplemental Benefit Rate per Hour: \$28.10  
Supplemental Note: \$50.75 overtime hours  
Shift Wage Rate: \$84.34

**Operating Engineer - Road & Heavy Construction XV**

Boilers (High Pressure), Compressors (Portable Single or two in Battery, not over 100 feet apart, Pumps (River Cofferdam) and Welding Machines (except where Arc is operated by Members of Local #15), Push Button Machines, All Engines Irrespective of Power (Power-Pac) used to drive auxiliary equipment, Air, Hydraulic, etc.

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$33.07  
Supplemental Benefit Rate per Hour: \$27.30  
Supplemental Note: \$49.15 overtime hours  
Shift Wage Rate: \$52.91

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$34.69  
Supplemental Benefit Rate per Hour: \$28.10  
Supplemental Note: \$50.75 overtime hours  
Shift Wage Rate: \$55.50

**Operating Engineer - Road & Heavy Construction XVI**

Concrete Breaking Machines, Single Drum Hoists, Locomotives (over ten tons) and Dinkies over ten tons, Hydraulic Crane-Second Engineer.

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$47.97  
Supplemental Benefit Rate per Hour: \$27.30  
Supplemental Note: \$49.15 overtime hours  
Shift Wage Rate: \$76.75

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$50.19  
Supplemental Benefit Rate per Hour: \$28.10  
Supplemental Note: \$50.75 overtime hours  
Shift Wage Rate: \$80.30

**Operating Engineer - Road & Heavy Construction XVII**

On-Site concrete plant engineer, On-site Asphalt Plant Engineer, and Vibratory console.

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$48.40

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate per Hour: \$27.30  
Supplemental Note: \$49.15 overtime hours  
Shift Wage Rate: \$77.44

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$50.63  
Supplemental Benefit Rate per Hour: \$28.10  
Supplemental Note: \$50.75 overtime hours  
Shift Wage Rate: \$81.01

**Operating Engineer - Road & Heavy Construction XVIII**

Tower Crane

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$70.63  
Supplemental Benefit Rate per Hour: \$27.30  
Supplemental Note: \$49.15 overtime hours  
Shift Wage Rate: \$113.01

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$73.75  
Supplemental Benefit Rate per Hour: \$28.10  
Supplemental Note: \$50.75 overtime hours  
Shift Wage Rate: \$118.00

**Operating Engineer - Paving I**

Asphalt Spreaders, Autogrades (C.M.I.), Roto/Mil

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$54.03  
Supplemental Benefit Rate per Hour: \$27.30  
Supplemental Note: \$49.15 overtime hours  
Shift Wage Rate: \$86.45

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$56.49  
Supplemental Benefit Rate per Hour: \$28.10  
Supplemental Note: \$50.75 overtime hours  
Shift Wage Rate: \$90.38

**Operating Engineer - Paving II**

Asphalt Roller

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$52.55  
Supplemental Benefit Rate per Hour: \$27.30  
Supplemental Note: \$49.15 overtime hours  
Shift Wage Rate: \$84.08

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$54.95

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

Shift Wage Rate: \$87.92

**Operating Engineer - Paving III**

Asphalt Plants

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$44.04

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Shift Wage Rate: \$70.46

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$46.10

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

Shift Wage Rate: \$73.76

**Operating Engineer - Concrete I**

Cranes

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$57.95

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$60.57

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

**Operating Engineer - Concrete II**

Compressors

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$33.35

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$34.98

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
\$220 PREVAILING WAGE SCHEDULE

**Operating Engineer - Concrete III**

Micro-traps (Negative Air Machines), Vac-All Remediation System.

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$45.75

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$47.88

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

**Operating Engineer - Steel Erection I**

Three Drum Derricks

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$62.92

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Shift Wage Rate: \$100.67

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$65.12

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

Shift Wage Rate: \$104.19

**Operating Engineer - Steel Erection II**

Cranes, 2 Drum Derricks, Hydraulic Cranes and Fork Lifts.

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$60.37

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Shift Wage Rate: \$96.59

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$62.49

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

Shift Wage Rate: \$99.98

**Operating Engineer - Steel Erection III**

Compressors, Welding Machines.

Effective Period: 7/1/2010 - 1/9/2011

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Wage Rate per Hour: \$35.03  
Supplemental Benefit Rate per Hour: \$27.30  
Supplemental Note: \$49.15 overtime hours  
Shift Wage Rate: \$56.05

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$36.30  
Supplemental Benefit Rate per Hour: \$28.10  
Supplemental Note: \$50.75 overtime hours  
Shift Wage Rate: \$58.08

**Operating Engineer - Steel Erection IV**

Compressors - Public Works Only (Not Combined with Welding Machine).

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$33.28  
Supplemental Benefit Rate per Hour: \$27.30  
Supplemental Note: \$49.15 overtime hours  
Shift Wage Rate: \$53.25

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$34.49  
Supplemental Benefit Rate per Hour: \$28.10  
Supplemental Note: \$50.75 overtime hours  
Shift Wage Rate: \$55.18

**Operating Engineer - Building Work I**

Forklifts, House Cars, Rack a Pinion, Plaster (Platform machine), Plaster Bucket, Concrete Pump and all other equipment used for hoisting material.

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$49.47  
Supplemental Benefit Rate per Hour: \$27.30  
Supplemental Note: \$49.15 overtime hours

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$51.22  
Supplemental Benefit Rate per Hour: \$28.10  
Supplemental Note: \$50.75 overtime hours

**Operating Engineer - Building Work II**

Compressors, Welding Machines (Cutting Concrete-Tank Work), Paint Spraying, Sandblasting, Pumps (with the exclusion of Concrete Pumps), House Car (settlement basis only), All Engines irrespective of Power (Power-Pac) used to drive Auxiliary Equipment, Air, Hydraulic, etc. Boilers.

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$36.56  
Supplemental Benefit Rate per Hour: \$27.30

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Supplemental Note: \$49.15 overtime hours

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$37.88

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

**Operating Engineer - Building Work III**

Double Drum

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$56.58

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$58.57

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

**Operating Engineer - Building Work IV**

Stone Derrick, Cranes, Hydraulic Cranes Boom Trucks.

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$60.04

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$62.15

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

**Operating Engineer - Building Work V**

Dismantling and Erection of Cranes, Relief Engineer.

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$55.18

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$57.12

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

**Operating Engineer - Building Work VI**

4 Pole Hoist, Single Drum Hoists.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$54.58

Supplemental Benefit Rate per Hour: \$27.30

Supplemental Note: \$49.15 overtime hours

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$56.50

Supplemental Benefit Rate per Hour: \$28.10

Supplemental Note: \$50.75 overtime hours

### Overtime

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

### Paid Holidays

New Year's Day

Lincoln's Birthday

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

### Shift Rates

Shifts may be worked at the single time rate at other than the regular working hours (8:00 A.M. to 4:30 P.M.) on the following work ONLY: Heavy construction jobs on work below the street level, over railroad tracks and on building jobs.

(Local #14)

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### FLOOR COVERER

(Interior vinyl composition tile, sheath vinyl linoleum and wood parquet tile including site preparation and synthetic turf not including site preparation)

### Floor Coverer

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$44.02

Supplemental Benefit Rate per Hour: \$38.50

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Supplemental Note: Effective 7/1/10 \$2.13 to be allocated between the hourly wage and supplemental benefit.

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$46.15

Supplemental Benefit Rate per Hour: \$38.50

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

### Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

1/2 day on New Year's Eve if work is performed in the A.M.

### Shift Rates

Two shifts may be utilized with the first shift working 8:00 A.M. to the end of the shift at the straight time of pay. The second shift will receive one hour at double time rate for the last hour of the shift. (eight for seven, nine for eight).

(Carpenters District Council)

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## GLAZIER

(New Construction, Remodeling, and Alteration)

### Glazier

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$39.00

Supplemental Benefit Rate per Hour: \$31.94

Supplemental Note: Supplemental Benefit Overtime Rate: \$39.49

### Overtime Description



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An optional 8th hour can be worked at straight time rate. If 9th hour is worked, then both hours or more (8th & 9th or more) will be at the double time rate of pay.

### Overtime

Double time the regular rate after a 7 hour day.  
Double time the regular time rate for Saturday.  
Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

### Paid Holidays

None

### Shift Rates

Shifts shall be any 7 hours beyond 4:00 P.M. for which the glazier shall receive 8 hours pay for 7 hours worked.

Local #1281)

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## GLAZIER - REPAIR & MAINTENANCE

(For the Installation of Glass - All repair and maintenance work on a particular building, whenever performed, where the total cumulative contract value is under \$100,000. Except where enumerated (i.e. plate glass windows) does not apply to non-residential buildings.)

### Craft Jurisdiction for repair, maintenance and fabrication

Plate glass replacement, Residential glass replacement, Residential mirrors and shower doors, Storm windows and storm doors, Residential replacement windows, Herculite door repairs, Door closer repairs, Retrofit apartment house (non commercial buildings), Glass tinting.

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$23.30

Supplemental Benefit Rate per Hour: \$17.04

### Overtime

One and one half the regular rate after an 8 hour day.  
Double time the regular rate for Sunday.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
\$220 PREVAILING WAGE SCHEDULE

Time and one half the regular hourly rate after 40 hours in any work week.

### **Paid Holidays**

New Year's Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

(Local #1281)

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## **HEAT AND FROST INSULATOR**

### **Heat & Front Insulator**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: **\$51.38**

Supplemental Benefit Rate per Hour: **\$28.87**

Supplemental Note: Effective 7/1/10 - \$1.75 to be allocated between the hourly wage and supplemental benefit.

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: **\$53.28**

Supplemental Benefit Rate per Hour: **\$30.31**

### **Overtime Description**

Double time shall be paid for supplemental benefits during overtime work.  
8th hour paid at time and one half.

### **Overtime**

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day  
Martin Luther King Jr. Day  
President's Day  
Memorial Day  
Independence Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Triple time the regular rate for work on the following holiday(s).  
Labor Day

### **Paid Holidays**

None

### **Shift Rates**

The first shift shall work seven hours at the regular straight time rate. The second and third shift shall work seven hours the regular straight time hourly rate plus a fourteen percent wage and benefit premium. Off hour work in occupied or retail buildings may be worked on weekdays with an increment of \$1.00 per hour and eight hours pay for seven (7) hours worked. Double time will apply for over seven (7) hours worked on weekdays, weekends or holidays.

(Local #12)

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## **HOUSE WRECKER (TOTAL DEMOLITION)**

### **House Wrecker - Tier A**

On all work sites the first, second, eleventh and every third House Wrecker thereafter shall be Tier A House Wreckers (i.e. 1st, 2nd, 11th, 14th, 17th etc). Other House Wreckers shall be Tier B House Wreckers.

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$31.90

Supplemental Benefit Rate per Hour: \$21.75

### **House Wrecker - Tier B**

On all work sites the first, second, eleventh and every third House Wrecker thereafter shall be Tier A House Wreckers (i.e. 1st, 2nd, 11th, 14th, 17th etc). Other House Wreckers shall be Tier B House Wreckers.

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$22.56

Supplemental Benefit Rate per Hour: \$15.90

### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Independence Day  
Labor Day  
Thanksgiving Day  
Christmas Day

**Paid Holidays**  
None

(Mason Tender District Council)

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**IRON WORKER - ORNAMENTAL**

**Iron Worker - Ornamental**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: **\$39.85**

Supplemental Benefit Rate per Hour: **\$35.87**

Supplemental Note: Effective July 1, 2010 - \$1.30 to be allocated between the hourly wage and supplemental benefit.

Supplemental benefits are to be paid at the applicable overtime rate when overtime is in effect.

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: **\$40.60**

Supplemental Benefit Rate per Hour: **\$36.42**

Supplemental Note: Supplemental benefits are to be paid at the applicable overtime rate when overtime is in effect.

**Overtime Description**

Time and one half the regular rate after a 7 hour day for a maximum of two hours on any regular work day (the 8th and 9th hour) and double time shall be paid for all work on a regular work day thereafter, time and one half the regular rate for Saturday for the first seven hours of work and double time shall be paid for all work on a Saturday thereafter.

**Overtime**

Double time the regular rate for Sunday.

**Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

**Paid Holidays**

None

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

## Shift Rates

For off shift work - 8 hours pay for 7 hours of work. When two or three shifts are employed on a job, Monday through Friday, the workday for each shift shall be seven hours and paid for ten and one-half hours at the single time rate. When two or three shifts are worked on Saturday, Sunday or holidays, each shift shall be seven hours and paid fifteen and three-quarters hours.

(Local #580)

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## IRON WORKER - STRUCTURAL

### Iron Worker - Structural

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$41.80

Supplemental Benefit Rate per Hour: \$53.21

Supplemental Note: Effective 7/1/2010 - \$1.55 to be allocated between the hourly wage and supplemental benefit. Supplemental benefits are to be paid at the applicable overtime rate when overtime is in effect.

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$42.30

Supplemental Benefit Rate per Hour: \$54.26

Supplemental Note: Supplemental benefits are to be paid at the applicable overtime rate when overtime is in effect.

### Overtime Description

Monday through Friday- the first eight hours are paid at straight time, the 9th and 10th hours are paid at time and one-half the regular rate, all additional weekday overtime is paid at double the regular rate. Saturdays- the first eight hours are paid at time and one-half the regular rate, double time thereafter. Sunday-all shifts are paid at double time.

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

### Paid Holidays

2 day on Christmas Eve if work is performed in the A.M.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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1/2 day on New Year's Eve if work is performed in the A.M.

### Shift Rates

Monday through Friday - First Shift: First eight hours are paid at straight time, the 9th & 10th hours are paid at time and a half, double time paid thereafter. Second and third Shifts: First eight hours are paid at time and one-half, double time thereafter. Saturdays: All shifts, first eight hours paid at time and one-half, double time thereafter: Sunday all shifts are paid at double time.

(Local #40 & #361)

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### LABORER

(Foundation, Concrete, Excavating, Street Pipe Layer and common)

#### Laborer

Excavation and foundation work for buildings, heavy construction, engineering work, and hazardous waste removal in connection with the above work. Landscaping tasks in connection with heavy construction work, engineering work and building projects. Projects include, but are not limited to pollution plants, sewers, parks, subways, bridges, highways, etc.

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: **\$37.60**

Supplemental Benefit Rate per Hour: **\$28.09**

#### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

#### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

#### Paid Holidays

Labor Day

Thanksgiving Day

#### Shift Rates

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

When two shifts are employed, single time rate shall be paid for each shift. When three shifts are found necessary, each shift shall work seven and one half hours (7 ½), but shall be paid for eight (8) hours of labor, and be permitted one half hour for lunch.

(Local #731)

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## **LANDSCAPING**

(Landscaping tasks in connection with the planting of street trees and the planting of trees in parks, as well as tree pruning, tree removing, spraying and maintenance but not when such activities are performed as part of, or in connection with, other construction or reconstruction projects.)

### **Landscaper (Above 6 years experience)**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$22.00

Supplemental Benefit Rate per Hour: \$9.55

### **Landscaper (3 - 6 years experience)**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$21.00

Supplemental Benefit Rate per Hour: \$9.55

### **Landscaper (1-3 years experience)**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$18.50

Supplemental Benefit Rate per Hour: \$9.55

### **Groundperson**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$18.50

Supplemental Benefit Rate per Hour: \$9.55

### **Tree Remover / Pruner**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$27.00

Supplemental Benefit Rate per Hour: \$9.55

### **Landscaper Sprayer (Pesticide Applicator)**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$17.00  
Supplemental Benefit Rate per Hour: \$9.55

### Overtime Description

For all overtime work performed, supplemental benefits shall include an additional seventy-five (\$0.75) cents per hour.

### Overtime

Time and one half the regular rate after an 8 hour day.  
Time and one half the regular rate for Saturday.  
Double time the regular rate for Sunday.  
Time and one half the regular rate for work on a holiday plus the day's pay.

### Paid Holidays

New Year's Day  
Memorial Day  
Independence Day  
Labor Day  
Thanksgiving Day  
Christmas Day

### Shift Rates

Work performed on a 4pm to 12am shift has a 15% differential. Work performed on a 12am to 8am shift has a 20% differential.

(Local #175)

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## MARBLE MECHANIC

### Marble Setter

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$46.03  
Supplemental Benefit Rate per Hour: \$30.20

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$46.53  
Supplemental Benefit Rate per Hour: \$31.00

### Marble Finisher

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$37.20  
Supplemental Benefit Rate per Hour: \$29.28

Effective Period: 1/10/2011 - 6/30/2011



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Wage Rate per Hour: \$37.65

Supplemental Benefit Rate per Hour: \$29.83

**Marble Polisher**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$33.51

Supplemental Benefit Rate per Hour: \$23.18

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$33.76

Supplemental Benefit Rate per Hour: \$23.56

**Overtime Description**

Supplemental Benefit contributions are to be made at the applicable overtime rates. Time and one half the regular rate after a 7 hour day or time and one half the regular rate after an 8 hour day - chosen by Employer at the start of the project and then would last for the full duration of the project.

**Overtime**

Time and one half the regular rate for Saturday.  
Double time the regular rate for Sunday.

**Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

**Paid Holidays**

None

(Local #7)

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**MASON TENDER**

**Mason Tender**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$33.54

Supplemental Benefit Rate per Hour: \$22.85

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

### Paid Holidays

None

### Shift Rates

The Employer may work two (2) shifts with the first shift at the straight time wage rate and the second shift receiving eight (8) hours paid for seven (7) hours work at the straight time wage rate.

(Local #79)

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## MASON TENDER (INTERIOR DEMOLITION WORKER)

(The erection, building, moving, servicing and dismantling of enclosures, scaffolding, barricades, protection and site safety structures etc., on Interior Demolition jobs.)

### Mason Tender Tier A

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$32.05

Supplemental Benefit Rate per Hour: \$18.04

### Mason Tender Tier B

On Interior Demolition job sites 33 1/3 % of the employees shall be classified as Tier A Interior Demolition Workers and 66 2/3 % shall be classified as Tier B Interior Demolition Workers; provided that the employer may employ more than 33 1/3 % Tier A Interior Demolition Workers on the job site. Where the number of employees on a job site is not divisible by 3, the first additional employee (above the number of employees divisible by three) shall be a Tier B Interior Demolition Worker, and the second additional employee shall be a Tier A Interior Demolition Worker.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$21.35

Supplemental Benefit Rate per Hour: \$12.25

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

### Paid Holidays

None

(Local #79)

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## METALLIC LATHER

### Metallic Lather

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$40.52

Supplemental Benefit Rate per Hour: \$45.61

Supplemental Note: Supplemental benefits for overtime are paid at the appropriate overtime rate.

### Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Washington's Birthday

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Residential Election Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Thanksgiving Day  
Christmas Day

### **Paid Holidays**

1/2 day on Christmas Eve if work is performed in the A.M.  
1/2 day on New Year's Eve if work is performed in the A.M.

### **Shift Rates**

There shall be either two (2) or three (3) shifts, each shift shall be eight (8) hours with nine (9) hours pay, including one half (1/2) hour for lunch. Off-Hour Start shall commence after 3:30 P.M. and shall conclude by 6:00 A.M. The first consecutive seven (7) hours shall be at straight time with a differential of twelve dollars (\$12.00) per hour. Fringes shall be paid at the straight time rate.

(Local #46)

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## **MILLWRIGHT**

### **Millwright**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: **\$44.24**

Supplemental Benefit Rate per Hour: **\$44.67**

Supplemental Note: July 1, 2010 - \$3.62 to be allocated between the hourly wage and supplemental benefit.

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: **\$46.19**

Supplemental Benefit Rate per Hour: **\$45.67**

### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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**Paid Holidays**

1/2 day on Christmas Eve if work is performed in the A.M.  
1/2 day on New Year's Eve if work is performed in the A.M.

**Shift Rates**

The first shift shall receive the straight time rate of pay. The second shift receives the straight time rate of pay plus fifteen (15%) per cent. Members of the second shift shall be allowed one half hour to eat, with this time being included in the hours of the workday established. There must be a first shift to work a second shift. All additional hours worked shall be paid at the time and one-half rate of pay plus fifteen (15%) per cent for weekday hours.

(Local #740)

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**MOSAIC MECHANIC**

**Mosaic Mechanic - Mosaic & Terrazzo Mechanic**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$41.87

Supplemental Benefit Rate per Hour: \$31.93

Supplemental Note: Supplemental benefits for overtime to be paid at the rate of \$42.90 per hour.

**Mosaic Mechanic - Mosaic & Terrazzo Finisher**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$40.36

Supplemental Benefit Rate per Hour: \$31.93

Supplemental Note: Supplemental benefits for overtime to be paid at the rate of \$42.90 per hour.

**Mosaic Mechanic - Machine Operator Grinder**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$40.36

Supplemental Benefit Rate per Hour: \$31.93

Supplemental Note: Supplemental benefits for overtime to be paid at the rate of \$42.90 per hour.

**Overtime**

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

**Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

Washington's Birthday

Good Friday

Independence Day

Labor Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Columbus Day  
Veteran's Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

**Paid Holidays**

None

(Local #7)

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**PAINTER**

**Painter - Brush & Roller**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$35.00

Supplemental Benefit Rate per Hour: \$24.27

Supplemental Note: Supplemental benefits are to be paid at the appropriate straight time and overtime rate

**Spray & Scaffold / Decorative / Sandblast**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$38.00

Supplemental Benefit Rate per Hour: \$24.27

Supplemental Note: Supplemental benefits are to be paid at the appropriate straight time and overtime rate.

**Overtime**

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

**Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

**Paid Holidays**

None

(District Council of Painters #9)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

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**PAINTER - SIGN**

**Designer**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$36.15

Supplemental Benefit Rate per Hour: \$9.66

**Journey person**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$33.62

Supplemental Benefit Rate per Hour: \$9.66

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

**Paid Holidays**

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Election Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

**Shift Rates**

All work performed outside the regular 8 hour work day (either 7:00 A.M to 3:30 P.M or 8:00 A.M. to 4:30 P.M) shall be paid at time and one half the regular hourly rate.

(Local #8A-28A)

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**PAINTER - STRIPER**

**Striper (paint)**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$31.50

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Supplemental Benefit Rate per Hour: \$10.56  
Supplemental Note: Overtime Supplemental Benefit rate - \$6.46

**Lineperson (thermoplastic)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$35.50  
Supplemental Benefit Rate per Hour: \$10.56  
Supplemental Note: Overtime Supplemental Benefit rate - \$6.46

**Overtime**

Time and one half the regular rate after an 8 hour day.  
Time and one half the regular rate for Saturday.  
Double time the regular rate for Sunday.  
Time and one half the regular rate for work on the following holiday(s).

**Paid Holidays**

Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day

**Shift Rates**

15% night shift premium differential for all work performed after 9:00 P.M.

**Vacation**

Employees with one to three years service shall accrue vacation based on hours worked: 250 hours worked - 1 day vacation; 500 hours worked - 2 days vacation; 750 hours worked - 3 days vacation; 900 hours worked - 4 days vacation; 1,000 hours worked - 5 days vacation. Employees with three to ten years service receive two weeks vacation. Employees with ten or more years service receive three weeks vacation. Vacation must be taken during winter months.

(Local #917)

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**PAINTER - STRUCTURAL STEEL**

**Painters on Structural Steel**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$45.50  
Supplemental Benefit Rate per Hour: \$29.16  
Supplemental Note: Effective October 1, 2010 - \$1.50 to be allocated between the hourly wage and supplemental benefit.

**Painter - Power Tool**



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$51.50

Supplemental Benefit Rate per Hour: \$29.16

Supplemental Note: Effective October 1, 2010 - \$1.50 to be allocated between the hourly wage and supplemental benefit.

### Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

### Paid Holidays

None

### Shift Rates

Regular hourly rates plus a ten per cent (10%) differential

(Local #806)

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## PAPERHANGER

### Paperhanger

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$37.50

Supplemental Benefit Rate per Hour: \$28.43

Supplemental Note: Supplemental benefits are to be paid at the appropriate straight time and overtime rate.

### Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

### Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

**Paid Holidays**

None

**Shift Rates**

Evening shift - 4:30 P.M. to 12:00 Midnight (regular rate of pay); any work performed before 7:00 A.M. shall be at time and one half the regular base rate of pay.

(District Council of Painters #9)

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**PAVER AND ROADBUILDER**

**Paver & Roadbuilder - Formsetter**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$41.58

Supplemental Benefit Rate per Hour: \$28.70

**Paver & Roadbuilder - Laborer**

Paving and road construction work, regardless of material used, including but not limited to preparation of job sites, removal of old surfaces, asphalt and/or concrete, by whatever method, including but not limited to milling; laying of concrete; laying of asphalt for temporary, patchwork, and utility paving (but not production paving); site preparation and incidental work before the installation of rubberized materials and similar surfaces; installation and repair of temporary construction fencing; slurry seal coating, maintenance of safety surfaces; play equipment installation, and other related work.

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$37.71

Supplemental Benefit Rate per Hour: \$28.70

**Production Paver & Roadbuilder - Screed Person**

(Production paving is asphalt paving when using a paving machine or on a project where a paving machine is traditionally used)

Adjustment of paving machinery on production paving jobs.

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$44.23

Supplemental Benefit Rate per Hour: \$28.70

**Production Paver & Roadbuilder - Raker**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$43.74

Supplemental Benefit Rate per Hour: \$28.70

### Production Paver & Roadbuilder - Shoveler

General laborer (except removal of surfaces - see Paver and Roadbuilder-Laborer) including but not limited to tamper, AC paint and liquid tar work.

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$40.45

Supplemental Benefit Rate per Hour: \$28.70

### **Overtime Description**

Veteran's Day is a Paid Holiday for employees working on production paving.

If an employee works New Year's Day or Christmas Day, they receive the single time rate plus 15%, except if an employee works on production paving on New Year's Day or Christmas Day, they receive the single time rate plus one day's pay for the holiday worked.

Employees who work on a holiday listed below receive the straight time rate plus one day's pay for the holiday.

### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### **Paid Holidays**

Memorial Day

Independence Day

Labor Day

Columbus Day

Election Day

Thanksgiving Day

### **Shift Rates**

When two shifts are employed, the work period for each shift shall be a continuous eight (8) hours. When three shifts are employed, each shift will work seven and one half (7 ½) hours but will be paid for eight (8) hours since only one half (1/2) hour is allowed for meal time.

When two or more shifts are employed, single time will be paid for each shift.

Night Work - On night work, the first eight (8) hours of work will be paid for at fifteen percent (15%) over the single time rate, except that production paving work shall be paid at 25% over the single time rate. Hours worked over eight (8) hours during said shift shall be paid for at the time and one-half rate.

(Local #1010)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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## PLASTERER

### Plasterer

Effective Period: 7/1/2010 – 1/9/2011

Wage Rate per Hour: \$38.78

Supplemental Benefit Rate per Hour: \$25.30

Effective Period: 1/10/2011 – 6/30/2011

Wage Rate per Hour: \$39.53

Supplemental Benefit Rate per Hour: \$26.30

### Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

### Paid Holidays

None

### Shift Rates

When it is not possible to conduct alteration work during regular work hours, in a building occupied by tenants, said work shall proceed on a shift basis: however work over seven (7) hours in any twenty four (24) hour period, the time after seven (7) hours shall be considered overtime.

The second shift shall start at a time between 3:30 p.m. and 7:00 p.m. and shall consist of seven (7) working hours and shall receive eight (8) hours of wages and benefits at the straight time rate. The workers on the second shift shall be allowed one-half (½) hour to eat with this time being included in the seven (7) hours of work.

(Local #530)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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**PLASTERER - TENDER**

**Plasterer - Tender**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$33.54

Supplemental Benefit Rate per Hour: \$22.85

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

**Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

Washington's Birthday

Memorial Day

Independence Day

Labor Day

Presidential Election Day

Thanksgiving Day

Christmas Day

**Paid Holidays**

None

**Shift Rates**

When work commences outside regular work hours, workers receive an hour additional (differential) wage and supplement payment. Eight hours pay for seven hours work or nine hours pay for eight hours work.

(Mason Tender District Council)

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**PLUMBER**

**Plumber**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$50.11

Supplemental Benefit Rate per Hour: \$36.51

Supplemental Note: Double time shall be paid for supplemental benefits during overtime work.

**Overtime Description**

Double time the regular rate after a 7 hour day - unless for new construction site work where the plumbing contract price is \$1 million or less, and for public works jobs where the plumbing contract is \$1.5 million or less,

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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the hours of labor can be 8 hours per day at the employers option. On Alteration jobs when other mechanical trades at the site are working an eighth hour at straight time, then the plumber shall also work an eighth hour at straight time.

### Overtime

Double time the regular time rate for Saturday.  
Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

### Shift Rates

Shift work, when directly specified in public agency or authority documents where plumbing contract is \$8 million or less, will be permitted. 30% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shifts Monday to Friday. 50% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shift work performed on weekends. For shift work on holidays, double time wages and fringe benefits shall be paid.

(Plumbers Local #1)

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## PLUMBER (MECHANICAL EQUIPMENT AND SERVICE)

(Mechanical Equipment and Service work shall include any repair and/or replacement of the present plumbing system.)

### Plumber

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$31.76

Supplemental Benefit Rate per Hour: \$14.45

Supplemental Note: Effective October 1, 2010 - \$0.50 to be allocated between the hourly wage and supplemental benefit.

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$31.76

Supplemental Benefit Rate per Hour: \$14.95

### Overtime

Time and one half the regular rate after an 8 hour day.

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Time and one half the regular rate for Saturday.  
Time and one half the regular rate for Sunday.

### Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day  
President's Day  
Memorial Day  
Independence Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

### Paid Holidays

None

(Plumbers Local # 1)

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## PLUMBER (RESIDENTIAL RATES FOR 1, 2 AND 3 FAMILY HOME CONSTRUCTION)

### Plumber

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$35.54

Supplemental Benefit Rate per Hour: \$25.16

### Overtime

Double time the regular rate after an 8 hour day.  
Double time the regular time rate for Saturday.  
Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

### Paid Holidays

None

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

## Shift Rates

30% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shifts Monday to Friday.  
50% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shift work performed on weekends. For shift work on holidays, double time wages and fringe benefits shall be paid.

(Plumbers Local #1)

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## PLUMBER: PUMP & TANK (Installation and Maintenance)

### Plumber - Pump & Tank

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$49.56

Supplemental Benefit Rate per Hour: \$28.50

Supplemental Note: Effective July 1, 2010 - \$2.50 to be allocated between the hourly wage and supplemental benefit.

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$51.81

Supplemental Benefit Rate per Hour: \$31.29

## Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

## Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

## Paid Holidays

None

## Shift Rates

All work outside the regular workday (8:00 A.M. to 3:30 P.M.) is to be paid at time and one half the regular hourly rate



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

(Plumbers Local #1)

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**POINTER - WATERPROOFER, CAULKER MECHANIC (EXTERIOR BUILDING RENOVATION)**

**Pointer - Waterproofer, Caulker Mechanic**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$43.20

Supplemental Benefit Rate per Hour: \$21.75

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

**Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

**Paid Holidays**

None

**Shift Rates**

All work outside the regular work day (an eight hour workday between the hours of 6:00 A.M. and 4:30 P.M.) is to be paid at time and one half the regular rate.

(Bricklayer District Council)

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**ROOFER**

**Roofer**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$36.25

Supplemental Benefit Rate per Hour: \$26.32

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
\$220 PREVAILING WAGE SCHEDULE

Supplemental Note: Effective July 1, 2010 - \$1.50 to be allocated between the hourly wage and supplemental benefit.

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$37.50

Supplemental Benefit Rate per Hour: \$26.57

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

### Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Presidential Election Day

Thanksgiving Day

Christmas Day

### Paid Holidays

None

### Shift Rates

Second shift - Regular hourly rate plus a 10% differential. Third shift - Regular hourly rate plus a 15% differential.

(Local #8)

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## SANDBLASTER - STEAMBLASTER (Exterior Building Renovation)

### Sandblaster / Steamblaster

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$43.20

Supplemental Benefit Rate per Hour: \$21.75

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

**Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s).

New Year's Day  
Martin Luther King Jr. Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Thanksgiving Day  
Christmas Day

**Paid Holidays**

None

**Shift Rates**

All work outside the regular work day (an eight hour workday between the hours of 6:00 A.M. and 4:30 P.M.) is to be paid at time and one half the regular rate.

(Bricklayer District Council)

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**SHEET METAL WORKER**

**Sheet Metal Worker**

Effective Period: 7/1/2010 - 7/31/2010

Wage Rate per Hour: **\$45.40**

Supplemental Benefit Rate per Hour: **\$37.42**

Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

Effective Period: 8/1/2010 - 6/30/2011

Wage Rate per Hour: **\$44.90**

Supplemental Benefit Rate per Hour: **\$39.28**

Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

**Sheet Metal Worker - Fan Maintenance**

(The temporary operation of fans or blowers in new or existing buildings for heating and/or ventilation, and/or air conditioning prior to the completion of the project.)

Effective Period: 7/1/2010 - 7/31/2010

Wage Rate per Hour: **\$36.32**

Supplemental Benefit Rate per Hour: **\$37.42**

Effective Period: 8/1/2010 - 6/30/2011

Wage Rate per Hour: **\$35.92**

Supplemental Benefit Rate per Hour: **\$39.28**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

**Overtime**

Time and one half the regular rate after a 7 hour day.  
Time and one half the regular rate for Saturday.  
Double time the regular rate for Sunday.

**Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day  
Martin Luther King Jr. Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

**Paid Holidays**

None

**Shift Rates**

Work that can only be performed outside regular working hours (seven hours of work between 7:30 A.M. and 3:30 P.M.) - First shift (work between 3:30 P.M. and 11:30 P.M.) - 10% differential above the established hourly rate.  
Second shift (work between 11:30 P.M. and 7:30 A.M.) - 15% differential above the established hourly rate.

For Fan Maintenance: On all full shifts of fan maintenance work the straight time hourly rate of pay will be paid for each shift, including nights, Saturdays, Sundays, and holidays. No journeyman engaged in fan maintenance shall work in excess of forty (40) hours in any work week.

(Local #28)

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**SHEET METAL WORKER - SPECIALTY  
(Decking & Siding)**

**Sheet Metal Specialty Worker**

The first worker to perform this work must be paid at the rate of the Sheet Metal Worker. The second and third workers shall be paid the Specialty Worker Rate. The ratio of One Sheet Metal Worker, then Two Specialty Workers shall be utilized thereafter.

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$39.39

Supplemental Benefit Rate per Hour: \$20.42

Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$39.18

Supplemental Benefit Rate per Hour: \$21.58

Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

### Paid Holidays

None

(Local #28)

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## SIGN ERECTOR

(Sheet Metal, Plastic, Electric, and Neon)

### Sign Erector

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$39.30

Supplemental Benefit Rate per Hour: \$37.14

### Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Time and one half the regular rate for work on the following holiday(s).

### Paid Holidays

New Year's Day

Washington's Birthday

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Election Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

## Shift Rates

Time and one half the regular hourly rate is to be paid for all hours worked outside the regular workday either (7:00 A.M. through 2:30 P.M.) or (8:00 A.M. through 3:30 P.M.)

(Local #137)

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## STEAMFITTER

### Steamfitter I

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$50.00

Supplemental Benefit Rate per Hour: \$45.39

Supplemental Note: Supplemental Note: Overtime supplemental benefit rate: \$90.44

Effective 12/29/10 - \$3.00 to be allocated between the hourly wage and supplemental benefit.

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$50.50

Supplemental Benefit Rate per Hour: \$47.89

Supplemental Note: Supplemental Note: Overtime supplemental benefit rate: \$95.44

### Overtime

Double time the regular rate after a 7 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day

### Paid Holidays

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

None

### Shift Rates

Work performed between 3:30 P.M. and 7:00 A.M. and on Saturdays, Sundays and Holidays shall be at double time the regular hourly rate and paid at the overtime supplemental benefit rate above.

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### Steamfitter II

For heating, ventilation, air conditioner and mechanical public works contracts with a dollar value not to exceed \$15,000,000 and for fire protection/sprinkler public works contracts not to exceed \$1,500,000.

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$50.00

Supplemental Benefit Rate per Hour: \$45.39

Supplemental Note: Supplemental Note: Overtime supplemental benefit rate: \$90.44

Effective 12/29/10 - \$3.00 to be allocated between the hourly wage and supplemental benefit.

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$50.50

Supplemental Benefit Rate per Hour: \$47.89

Supplemental Note: Supplemental Note: Overtime supplemental benefit rate: \$95.44

### Overtime

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

### Paid Holidays

None

### Shift Rates

May be performed outside of the regular workday except Saturday, Sunday and Holidays. A shift shall consist of eight working hours. All work performed in excess of eight hours shall be paid at double time. No shift shall commence after 7:00 P.M. on Friday or 7:00 P.M. the day before holidays. All work performed after 12:01 A.M. Saturday or 12:01 A.M. the day before a Holiday will be paid at double time. When shift work is performed the wage rate for regular time worked is a thirty percent premium together with fringe benefits.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

On Transit Authority projects, where work is performed in the vicinity of tracks all shift work on weekends and holidays may be performed at the regular shift rates.

(Local #638)

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**STEAMFITTER - REFRIGERATION AND AIR CONDITIONER  
(Maintenance and Installation Service Person)**

**Journey person**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$35.05

Supplemental Benefit Rate per Hour: \$10.86

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$35.55

Supplemental Benefit Rate per Hour: \$11.01

**Fourth Year of Employment**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$28.79

Supplemental Benefit Rate per Hour: \$9.90

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$29.20

Supplemental Benefit Rate per Hour: \$10.05

**Third Year of Employment**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$23.86

Supplemental Benefit Rate per Hour: \$9.07

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$24.20

Supplemental Benefit Rate per Hour: \$9.22

**Second Year of Employment**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$20.48

Supplemental Benefit Rate per Hour: \$8.45



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$20.77

Supplemental Benefit Rate per Hour: \$8.60

**First Year (2nd six months of Employment)**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$17.00

Supplemental Benefit Rate per Hour: \$7.89

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$17.24

Supplemental Benefit Rate per Hour: \$8.04

**First Year (1st six months of Employment)**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$10.57

Supplemental Benefit Rate per Hour: \$7.33

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$10.72

Supplemental Benefit Rate per Hour: \$7.48

**Overtime Description**

1. No First or Second year serviceperson employed on service or repair work shall be allowed to do any work in the field unless the worker is accompanied and supervised by one or more journeypersons except in the event of emergency arising when the Employer must use his own discretion as to sending First or Second year men out to answer calls.
2. For every three (3) journeypersons steadily employed, the Employer may employ one (1) First year or Second year person, and if acceptable to the Employer, shall be continuously employed for one (1) year.
3. First and Second year persons shall be allowed to perform the following work:
  - Filter changing and maintenance thereof.
  - Oil and greasing.
  - Tower and coil cleaning, scraping and painting.
  - General housekeeping.
  - Delivery and truck driving of parts and/or equipment trucks.
  - Taking of water samples.

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

**Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

Independence Day

Labor Day

Veteran's Day

Thanksgiving Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Christmas Day

Double time and one half the regular rate for work on the following holiday(s).

Martin Luther King Jr. Day

President's Day

Memorial Day

Columbus Day

### **Paid Holidays**

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

(Local #638B)

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## **STONE MASON - SETTER**

### **Stone Mason - Setters**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$46.54

Supplemental Benefit Rate per Hour: \$33.58

### **Overtime**

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day

Washington's Birthday

Good Friday

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

### **Paid Holidays**

1/2 day on Christmas Eve if work is performed in the A.M.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

**Shift Rates**

For all work outside the regular workday (8:00 A.M. to 3:30 P.M. Monday through Friday), the pay shall be straight time plus a ten percent (10%) differential.

(Bricklayers District Council)

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**TAPER**

**Drywall Taper**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$41.32

Supplemental Benefit Rate per Hour: \$21.18

Supplemental Note: July 1, 2010 - \$2.87 to be allocated between the hourly wage and supplemental benefit.

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$41.32

Supplemental Benefit Rate per Hour: \$21.18

**Overtime**

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

**Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Columbus Day

Thanksgiving Day

Christmas Day

**Paid Holidays**

Any worker who reports to work on Christmas Eve or New Year's Eve pursuant to his employer's instruction shall be entitled to three (3) hours afternoon pay without working.

**Shift Rates**

Time and one half the regular rate outside the regular work hours (8:00 A.M. through 3:30 P.M.)

(Local #1974)

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OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

**TELECOMMUNICATION WORKER**  
**(Voice Installation Only)**

**Telecommunication Worker**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: **\$35.94**

Supplemental Benefit Rate per Hour: **\$13.19**

Supplemental Note: The above rate applies for Manhattan, Bronx, Brooklyn, Queens. \$12.64 for Staten Island only.

**Overtime**

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

**Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Lincoln's Birthday

Washington's Birthday

Memorial Day

Independence Day

Labor Day

Columbus Day

Election Day

Veteran's Day

Thanksgiving Day

Christmas Day

**Paid Holidays**

New Year's Day

Lincoln's Birthday

Washington's Birthday

Memorial Day

Independence Day

Labor Day

Columbus Day

Election Day

Veteran's Day

Thanksgiving Day

Christmas Day

Employees have the option of observing either Martin Luther King's Birthday or the day after Thanksgiving instead of Lincoln's Birthday

**Shift Rates**

For any workday that starts before 8A.M. or ends after 6P.M. there is a 10% differential for the applicable worker's hourly rate.

**Vacation**

After 6 months.....one week.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

After 12 months but less than 7 years.....two weeks.  
After 7 or more but less than 15 years.....three weeks.  
After 15 years or more but less than 25 years.....four weeks.

(C.W.A.)

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## TILE FINISHER

### Tile Finisher

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$36.20

Supplemental Benefit Rate per Hour: \$24.01

### Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

### Paid Holidays

None

### Shift Rates

Off shift work day (work performed outside the regular 8:00 A.M. to 3:30 P.M. workday): shift differential of one and one quarter (1¼) times the regular straight time rate of pay for the seven hours of actual off-shift work.

(Local #7)

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## TILE LAYER - SETTER

### Tile Layer - Setter

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$44.60

Supplemental Benefit Rate per Hour: \$28.26

### Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

### Shift Rates

Off shift work day (work performed outside the regular 8:00 A.M. to 3:30 P.M. workday): shift differential of one and one quarter (1¼) times the regular straight time rate of pay for the seven hours of actual off-shift work.

(Local #7)

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## TIMBERPERSON

### Timberperson

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$40.50

Supplemental Benefit Rate per Hour: \$40.59

Supplemental Note: Effective July, 1, 2010 - \$3.53 to be allocated between the hourly wage and supplemental benefit.

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$42.63

Supplemental Benefit Rate per Hour: \$41.99

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

### Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Presidential Election Day  
Thanksgiving Day  
Christmas Day

### Paid Holidays

None

### Shift Rates

Off shift work, commencing between 5:00 P.M. and 10:00 P.M., shall work eight and one half hours but will be paid for 9 hours, including benefits at the straight time rate for 8 hours.

(Local #1536)

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## TUNNEL WORKER

### Blasters, Mucking Machine Operators (Compressed Air Rates)

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$43.21

Supplemental Benefit Rate per Hour: \$41.28

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$47.63

Supplemental Benefit Rate per Hour: \$44.22

### Tunnel Workers (Compressed Air Rates)

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$41.70

Supplemental Benefit Rate per Hour: \$39.91

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$45.96

Supplemental Benefit Rate per Hour: \$42.76

### Top Nipper (Compressed Air Rates)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
\$220 PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$40.93  
Supplemental Benefit Rate per Hour: \$39.23

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$45.12  
Supplemental Benefit Rate per Hour: \$42.02

**Outside Lock Tender, Outside Gauge Tender, Muck Lock Tender (Compressed Air Rates)**

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$40.18  
Supplemental Benefit Rate per Hour: \$38.49

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$44.30  
Supplemental Benefit Rate per Hour: \$41.23

**Bottom Bell & Top Bell Signal Person: Shaft Person (Compressed Air Rates)**

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$40.18  
Supplemental Benefit Rate per Hour: \$38.49

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$44.30  
Supplemental Benefit Rate per Hour: \$41.23

**Changehouse Attendant: Powder Watchperson (Compressed Air Rates)**

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$34.73  
Supplemental Benefit Rate per Hour: \$36.64

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$38.39  
Supplemental Benefit Rate per Hour: \$39.21

**Blasters (Free Air Rates)**

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate per Hour: \$41.23  
Supplemental Benefit Rate per Hour: \$39.44

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate per Hour: \$45.45  
Supplemental Benefit Rate per Hour: \$42.25

**Tunnel Workers (Free Air Rates)**



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$39.44

Supplemental Benefit Rate per Hour: \$37.76

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$43.48

Supplemental Benefit Rate per Hour: \$40.45

**All Others (Free Air Rates)**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$36.44

Supplemental Benefit Rate per Hour: \$34.94

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$40.18

Supplemental Benefit Rate per Hour: \$37.42

**Microtunneling (Free Air Rates)**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$31.55

Supplemental Benefit Rate per Hour: \$30.20

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$34.78

Supplemental Benefit Rate per Hour: \$32.36

**Overtime Description**

For Repair-Maintenance Work on Existing Equipment and Facilities - Time and one half the regular rate after a 7 hour day, or for Saturday, or for Sunday. Double time the regular rate for work on a holiday.

**Overtime**

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

**Paid Holidays**

New Year's Day

Lincoln's Birthday

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Election Day

Veteran's Day

Thanksgiving Day

Christmas Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 PREVAILING WAGE SCHEDULE

(Local #147)

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**WELDER  
TO BE PAID AT THE RATE OF THE JOURNEYPERSON IN THE TRADE  
PERFORMING THE WORK.**

**OFFICE OF THE COMPTROLLER**

**CITY OF NEW YORK**

**220 APPRENTICESHIP PREVAILING WAGE SCHEDULE**

**APPENDIX**

Pursuant to Labor Law §220 (3-e), only apprentices who are individually registered in a bona fide program to which the employer contractor is a participant and registered with the New York State Department of Labor, may be employed on a public work project. Trainees, Assistants and Helpers who are not journey persons or not registered apprentices pursuant to Labor Law §220 (3-e) may not be substituted for apprentices and must be paid as journey persons.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

§220 APPRENTICESHIP SCHEDULE OF PREVAILING WAGES AND  
SUPPLEMENTAL BENEFITS ADDENDUM

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List of Amended Classifications

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5. ELECTRICIAN
6. ENGINEER
7. ENGINEER - OPERATING
8. IRON WORKER – ORNAMENTAL
9. IRON WORKER – STRUCTURAL
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## **ASBESTOS HANDLER**

(Ratio of Apprentice Journeyperson: 1 to 1, 1 to 3)

### **Asbestos Handler (First 1000 Hours)**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate Per Hour: 78% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$12.45

Effective 1/10/11: Supplemental Benefit Rate Per Hour: \$13.95

### **Asbestos Handler (Second 1000 Hours)**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate Per Hour: 80% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$12.45

Effective 1/10/11: Supplemental Benefit Rate Per Hour: \$13.95

### **Asbestos Handler (Third 1000 Hours)**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate Per Hour: 83% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$12.45

Effective 1/10/11: Supplemental Benefit Rate Per Hour: \$13.95

### **Asbestos Handler (Fourth 1000 Hours)**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate Per Hour: 89% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$12.45

Effective 1/10/11: Supplemental Benefit Rate Per Hour: \$13.95

(Local #78)

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## **BOILERMAKER**

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

### **Boilermaker (First Year)**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate Per Hour: 65% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$23.95

Supplemental Note: Effective 1/1/2011 \$25.62

### **Boilermaker (Second Year: 1st Six Months)**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 70% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$25.18  
Supplemental Note: Effective 1/1/2011 \$26.99

**Boilermaker (Second Year: 2nd Six Months)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 75% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$26.42  
Supplemental Note: Effective 1/1/2011 \$28.35

**Boilermaker (Third Year: 1st Six Months)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 80% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$27.66  
Supplemental Note: Effective 1/1/2011 \$29.73

**Boilermaker (Third Year: 2nd Six Months)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 85% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$28.90  
Supplemental Note: Effective 1/1/2011 \$31.08

**Boilermaker (Fourth Year: 1st Six Months)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 90% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$30.13  
Supplemental Note: Effective 1/1/2011 \$32.46

**Boilermaker (Fourth Year: 2nd Six Months)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 95% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$31.37  
Supplemental Note: Effective 1/1/2011 \$33.81

(Local #5)

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**BRICKLAYER**

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

**Bricklayer (First 750 Hours)**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 50% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$14.78

**Bricklayer (Second 750 Hours)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 60% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$14.78

**Bricklayer (Third 750 Hours)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 70% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$14.78

**Bricklayer (Fourth 750 Hours)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 80% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$14.78

**Bricklayer (Fifth 750 Hours)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 90% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$14.78

**Bricklayer (Sixth 750 Hours)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 95% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$14.78

(Bricklayer District Council)

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**CARPENTER**  
**(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)**

**Carpenter (First Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 40% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$26.89  
Effective 1/10/11: Supplemental Benefit Rate Per Hour: \$27.69



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

**Carpenter (Second Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 50% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$26.89  
Effective 1/10/11: Supplemental Benefit Rate Per Hour: \$27.69

**Carpenter (Third Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 65% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$26.89  
Effective 1/10/11: Supplemental Benefit Rate Per Hour: \$27.69

**Carpenter (Fourth Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 80% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$26.89  
Effective 1/10/11: Supplemental Benefit Rate Per Hour: \$27.69

(Carpenters District Council)

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**CEMENT AND CONCRETE WORKER**

**(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)**

**Cement & Concrete Worker (0 - 500 hours)**

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate Per Hour: 50% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$17.35

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate Per Hour: 50% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$17.48

**Cement & Concrete Worker (501 - 1000 hours)**

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate Per Hour: 65% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$18.18

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate Per Hour: 65% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$18.31

**Cement & Concrete Worker (1001 - 2000 hours)**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate Per Hour: 65% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$23.56

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate Per Hour: 65% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$23.69

**Cement & Concrete Worker (2001 - 4000 hours)**

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate Per Hour: 80% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$24.38

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate Per Hour: 80% of Journeyman's rate  
Supplemental Benefit Rate Per Hour: \$24.51

(Cement Concrete Workers District Council)

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**CEMENT MASON**  
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

**Cement Mason (First Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage and Supplemental Rate Per Hour: 50% of Journeyman's Rate

**Cement Mason (Second Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage and Supplemental Rate Per Hour: 60% of Journeyman's Rate

**Cement Mason (Third Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage and Supplemental Rate Per Hour: 70% of Journeyman's Rate

(Local #780)

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**DERRICKPERSON & RIGGER (STONE)**  
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 6)

**Derrickperson & Rigger (stone) - First Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 50% of Journeyperson's rate  
Supplemental Benefit Rate Per Hour: 50% of Journeyperson's rate

**Derrickperson & Rigger (stone) - Second Year: 1st Six Months**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 70% of Journeyperson's rate  
Supplemental Benefit Rate Per Hour: 75% of Journeyperson's rate

**Derrickperson & Rigger (stone) - Second Year: 2nd Six Months**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 80% of Journeyperson's rate  
Supplemental Benefit Rate Per Hour: 75% of Journeyperson's rate

**Derrickperson & Rigger (stone) - Third Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 90% of Journeyperson's rate  
Supplemental Benefit Rate Per Hour: 75% of Journeyperson's rate

(Local #197)

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**DOCKBUILDER/PILE DRIVER**  
(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 6)

**Dockbuilder/Pile Driver (First Year)**

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate Per Hour: 40% of Journeyperson's rate  
Supplemental Benefit Rate Per Hour: \$26.89

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate Per Hour: 40% of Journeyperson's rate  
Supplemental Benefit Rate Per Hour: \$27.69

**Dockbuilder/Pile Driver (Second Year)**

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate Per Hour: 50% of Journeyperson's rate  
Supplemental Benefit Rate Per Hour: \$26.28

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate Per Hour: 50% of Journeyperson's rate  
Supplemental Benefit Rate Per Hour: \$27.69

**Dockbuilder/Pile Driver (Third Year)**

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate Per Hour: 65% of Journeyperson's rate  
Supplemental Benefit Rate Per Hour: \$26.89

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate Per Hour: 65% of Journeyperson's rate  
Supplemental Benefit Rate Per Hour: \$27.69

**Dockbuilder/Pile Driver (Fourth Year)**

Effective Period: 7/1/2010 - 1/9/2011  
Wage Rate Per Hour: 80% of Journeyperson's rate  
Supplemental Benefit Rate Per Hour: \$26.89

Effective Period: 1/10/2011 - 6/30/2011  
Wage Rate Per Hour: 80% of Journeyperson's rate  
Supplemental Benefit Rate Per Hour: \$27.69

(Carpenters District Council)

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**ELECTRICIAN**

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

**Electrician (First Year - Hired before 5/10/07)**

Effective Period: 7/1/2010 - 12/31/2010  
Wage Rate per Hour: \$13.75  
Supplemental Benefit Rate per Hour: \$10.17  
For "A" rated Apprentices (work in excess of 7 hours per day)  
For "M" rated Apprentices (work in excess of 8 hours per day)  
Overtime Wage Rate Per Hour: \$20.63  
Overtime Supplemental Rate Per Hour: \$11.04

Effective Period: 1/1/2011 - 5/10/2011  
Wage Rate per Hour: \$13.75  
Supplemental Benefit Rate per Hour: \$9.95  
For "A" rated Apprentices (work in excess of 7 hours per day)  
For "M" rated Apprentices (work in excess of 8 hours per day)  
Overtime Wage Rate Per Hour: \$20.63  
Overtime Supplemental Rate Per Hour: \$10.69

Effective Period: 5/11/2011 - 6/30/2011

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Wage Rate per Hour: \$14.25

Supplemental Benefit Rate per Hour: \$10.19

For "A" rated Apprentices (work in excess of 7 hours per day)

For "M" rated Apprentices (work in excess of 8 hours per day)

Overtime Wage Rate Per Hour: \$21.38

Overtime Supplemental Rate Per Hour: \$10.96

**Electrician (First Year - Hired on or After 5/10/07)**

Effective Period: 7/1/2010 – 12/31/2010

Wage Rate per Hour: \$11.00

Supplemental Benefit Rate per Hour: \$8.80

For "A" rated Apprentices (work in excess of 7 hours per day)

For "M" rated Apprentices (work in excess of 8 hours per day)

Overtime Wage Rate Per Hour: \$16.50

Overtime Supplemental Rate Per Hour: \$9.49

Effective Period: 1/1/2011 - 5/10/2011

Wage Rate per Hour: \$11.00

Supplemental Benefit Rate per Hour: \$8.62

For "A" rated Apprentices (work in excess of 7 hours per day)

For "M" rated Apprentices (work in excess of 8 hours per day)

Overtime Wage Rate Per Hour: \$16.50

Overtime Supplemental Rate Per Hour: \$9.21

Effective Period: 5/11/2011 - 6/30/2011

Wage Rate per Hour: \$11.50

Supplemental Benefit Rate per Hour: \$8.86

For "A" rated Apprentices (work in excess of 7 hours per day)

For "M" rated Apprentices (work in excess of 8 hours per day)

Overtime Wage Rate Per Hour: \$17.25

Overtime Supplemental Rate Per Hour: \$9.48

**Electrician (Second Year - Hired before 5/10/07)**

Effective Period: 7/1/2010 – 12/31/2010

Wage Rate per Hour: \$16.55

Supplemental Benefit Rate per Hour: \$11.57

For "A" rated Apprentices (work in excess of 7 hours per day)

For "M" rated Apprentices (work in excess of 8 hours per day)

Overtime Wage Rate Per Hour: \$24.83

Overtime Supplemental Rate Per Hour: \$12.61

Effective Period: 1/1/2011 - 5/10/2011

Wage Rate per Hour: \$16.55

Supplemental Benefit Rate per Hour: \$11.30

For "A" rated Apprentices (work in excess of 7 hours per day)

For "M" rated Apprentices (work in excess of 8 hours per day)

Overtime Wage Rate Per Hour: \$24.83

Overtime Supplemental Rate Per Hour: \$12.20

Effective Period: 5/11/2011 - 6/30/2011

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Wage Rate per Hour: \$17.05  
Supplemental Benefit Rate per Hour: \$11.54  
For "A" rated Apprentices (work in excess of 7 hours per day)  
For "M" rated Apprentices (work in excess of 8 hours per day)  
Overtime Wage Rate Per Hour: \$25.58  
Overtime Supplemental Rate Per Hour: \$12.47

**Electrician (Second Year - Hired on or After 5/10/07)**

Effective Period: 7/1/2010 – 12/31/2010  
Wage Rate per Hour: \$13.00  
Supplemental Benefit Rate per Hour: \$9.80  
For "A" rated Apprentices (work in excess of 7 hours per day)  
For "M" rated Apprentices (work in excess of 8 hours per day)  
Overtime Wage Rate Per Hour: \$19.50  
Overtime Supplemental Rate Per Hour: \$10.61

Effective Period: 1/1/2011 - 5/10/2011  
Wage Rate per Hour: \$13.00  
Supplemental Benefit Rate per Hour: \$9.58  
For "A" rated Apprentices (work in excess of 7 hours per day)  
For "M" rated Apprentices (work in excess of 8 hours per day)  
Overtime Wage Rate Per Hour: \$19.50  
Overtime Supplemental Rate Per Hour: \$10.29

Effective Period: 5/11/2011 - 6/30/2011  
Wage Rate per Hour: \$13.50  
Supplemental Benefit Rate per Hour: \$9.83  
For "A" rated Apprentices (work in excess of 7 hours per day)  
For "M" rated Apprentices (work in excess of 8 hours per day)  
Overtime Wage Rate Per Hour: \$20.25  
Overtime Supplemental Rate Per Hour: \$10.56

**Electrician (Third Year - Hired before 5/10/07)**

Effective Period: 7/1/2010 – 12/31/2010  
Wage Rate per Hour: \$18.65  
Supplemental Benefit Rate per Hour: \$12.62  
For "A" rated Apprentices (work in excess of 7 hours per day)  
For "M" rated Apprentices (work in excess of 8 hours per day)  
Overtime Wage Rate Per Hour: \$27.98  
Overtime Supplemental Rate Per Hour: \$13.79

Effective Period: 1/1/2011 - 5/10/2011  
Wage Rate per Hour: \$18.65  
Supplemental Benefit Rate per Hour: \$12.31  
For "A" rated Apprentices (work in excess of 7 hours per day)  
For "M" rated Apprentices (work in excess of 8 hours per day)  
Overtime Wage Rate Per Hour: \$27.98  
Overtime Supplemental Rate Per Hour: \$13.33

Effective Period: 5/11/2011 - 6/30/2011

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Wage Rate per Hour: \$19.15

Supplemental Benefit Rate per Hour: \$12.56

For "A" rated Apprentices (work in excess of 7 hours per day)

For "M" rated Apprentices (work in excess of 8 hours per day)

Overtime Wage Rate Per Hour: \$28.73

Overtime Supplemental Rate Per Hour: \$13.60

**Electrician (Third Year - Hired on or After 5/10/07)**

Effective Period: 7/1/2010 – 12/31/2010

Wage Rate per Hour: \$15.00

Supplemental Benefit Rate per Hour: \$10.80

For "A" rated Apprentices (work in excess of 7 hours per day)

For "M" rated Apprentices (work in excess of 8 hours per day)

Overtime Wage Rate Per Hour: \$22.50

Overtime Supplemental Rate Per Hour: \$11.74

Effective Period: 1/1/2011 - 5/10/2011

Wage Rate per Hour: \$15.00

Supplemental Benefit Rate per Hour: \$10.55

For "A" rated Apprentices (work in excess of 7 hours per day)

For "M" rated Apprentices (work in excess of 8 hours per day)

Overtime Wage Rate Per Hour: \$22.50

Overtime Supplemental Rate Per Hour: \$11.37

Effective Period: 5/11/2011 - 6/30/2011

Wage Rate per Hour: \$15.50

Supplemental Benefit Rate per Hour: \$10.79

For "A" rated Apprentices (work in excess of 7 hours per day)

For "M" rated Apprentices (work in excess of 8 hours per day)

Overtime Wage Rate Per Hour: \$23.25

Overtime Supplemental Rate Per Hour: \$11.63

**Electrician (Fourth Year - Hired before 5/10/07)**

Effective Period: 7/1/2010 – 12/31/2010

Wage Rate per Hour: \$20.60

Supplemental Benefit Rate per Hour: \$13.60

For "A" rated Apprentices (work in excess of 7 hours per day)

For "M" rated Apprentices (work in excess of 8 hours per day)

Overtime Wage Rate Per Hour: \$30.90

Overtime Supplemental Rate Per Hour: \$14.89

Effective Period: 1/1/2011 - 5/10/2011

Wage Rate per Hour: \$20.60

Supplemental Benefit Rate per Hour: \$13.26

For "A" rated Apprentices (work in excess of 7 hours per day)

For "M" rated Apprentices (work in excess of 8 hours per day)

Overtime Wage Rate Per Hour: \$30.90

Overtime Supplemental Rate Per Hour: \$14.38

Effective Period: 5/11/2011 - 6/30/2011

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
\$220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Wage Rate per Hour: \$21.10  
Supplemental Benefit Rate per Hour: \$13.50  
For "A" rated Apprentices (work in excess of 7 hours per day)  
For "M" rated Apprentices (work in excess of 8 hours per day)  
Overtime Wage Rate Per Hour: \$31.65  
Overtime Supplemental Rate Per Hour: \$14.65

**Electrician (Fourth Year - Hired on or After 5/10/07)**

Effective Period: 7/1/2010 – 12/31/2010  
Wage Rate per Hour: \$17.00  
Supplemental Benefit Rate per Hour: \$11.80  
For "A" rated Apprentices (work in excess of 7 hours per day)  
For "M" rated Apprentices (work in excess of 8 hours per day)  
Overtime Wage Rate Per Hour: \$25.50  
Overtime Supplemental Rate Per Hour: \$12.86

Effective Period: 1/1/2011 - 5/10/2011  
Wage Rate per Hour: \$17.00  
Supplemental Benefit Rate per Hour: \$11.52  
For "A" rated Apprentices (work in excess of 7 hours per day)  
For "M" rated Apprentices (work in excess of 8 hours per day)  
Overtime Wage Rate Per Hour: \$25.50  
Overtime Supplemental Rate Per Hour: \$12.44 -

Effective Period: 5/11/2011 - 6/30/2011  
Wage Rate per Hour: \$17.50  
Supplemental Benefit Rate per Hour: \$11.76  
For "A" rated Apprentices (work in excess of 7 hours per day)  
For "M" rated Apprentices (work in excess of 8 hours per day)  
Overtime Wage Rate Per Hour: \$26.25  
Overtime Supplemental Rate Per Hour: \$12.71

**Electrician (Fifth Year - Hired before 5/10/07)**

Effective Period: 7/1/2010 – 12/31/2010  
Wage Rate per Hour: \$25.30  
Supplemental Benefit Rate per Hour: \$15.77  
For "A" rated Apprentices (work in excess of 7 hours per day)  
For "M" rated Apprentices (work in excess of 8 hours per day)  
Overtime Wage Rate Per Hour: \$37.95  
Overtime Supplemental Rate Per Hour: \$17.35

Effective Period: 1/1/2011 - 5/10/2011  
Wage Rate per Hour: \$25.30  
Supplemental Benefit Rate per Hour: \$15.26  
For "A" rated Apprentices (work in excess of 7 hours per day)  
For "M" rated Apprentices (work in excess of 8 hours per day)  
Overtime Wage Rate Per Hour: \$37.95  
Overtime Supplemental Rate Per Hour: \$16.60

Effective Period: 5/11/2011 - 6/30/2011



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Wage Rate per Hour: \$25.30  
Supplemental Benefit Rate per Hour: \$16.26  
For "A" rated Apprentices (work in excess of 7 hours per day)  
For "M" rated Apprentices (work in excess of 8 hours per day)  
Overtime Wage Rate Per Hour: \$37.95  
Overtime Supplemental Rate Per Hour: \$17.60

**Electrician (Fifth Year - Hired on or After 5/10/07)**

Effective Period: 7/1/2010 - 12/31/2010  
Wage Rate per Hour: \$21.50  
Supplemental Benefit Rate per Hour: \$13.93  
For "A" rated Apprentices (work in excess of 7 hours per day)  
For "M" rated Apprentices (work in excess of 8 hours per day)  
Overtime Wage Rate Per Hour: \$32.25  
Overtime Supplemental Rate Per Hour: \$15.27

Effective Period: 1/1/2011 - 5/10/2011  
Wage Rate per Hour: \$21.50  
Supplemental Benefit Rate per Hour: \$13.50  
For "A" rated Apprentices (work in excess of 7 hours per day)  
For "M" rated Apprentices (work in excess of 8 hours per day)  
Overtime Wage Rate Per Hour: \$32.25  
Overtime Supplemental Rate Per Hour: \$14.63

Effective Period: 5/11/2011 - 6/30/2011  
Wage Rate per Hour: \$21.50  
Supplemental Benefit Rate per Hour: \$14.50  
For "A" rated Apprentices (work in excess of 7 hours per day)  
For "M" rated Apprentices (work in excess of 8 hours per day)  
Overtime Wage Rate Per Hour: \$32.25  
Overtime Supplemental Rate Per Hour: \$15.63

(Local #3)

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**ELEVATOR CONSTRUCTOR**  
**(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 2)**

**Elevator (Constructor) - First Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 50% of Journeyperson's rate  
Supplemental Rate Per Hour: \$22.97

**Elevator (Constructor) - Second Year**

Effective Period: 7/1/2010 - 6/30/2011

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Wage Rate Per Hour: 55% of Journeyman's rate  
Supplemental Rate Per Hour: \$23.92

**Elevator (Constructor) - Third Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 65% of Journeyman's rate  
Supplemental Rate Per Hour: \$25.24

**Elevator (Constructor) - Fourth Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 75% of Journeyman's rate  
Supplemental Rate Per Hour: \$26.57

(Local #1)

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**ELEVATOR REPAIR & MAINTENANCE  
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 2)**

**Elevator Service/Modernization Mechanic (First Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 50% of Journeyman's rate  
Supplemental Rate Per Hour: \$22.89

**Elevator Service/Modernization Mechanic (Second Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 55% of Journeyman's rate  
Supplemental Rate Per Hour: \$23.19

**Elevator Service/Modernization Mechanic (Third Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 65% of Journeyman's rate  
Supplemental Rate Per Hour: \$24.37

**Elevator Service/Modernization Mechanic (Fourth Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 75% of Journeyman's rate  
Supplemental Rate Per Hour: \$25.56

(Local #1)

## **ENGINEER**

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 5)

### **Engineer - First Year**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$19.68

Supplemental Benefit Rate per Hour: \$16.59

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$20.33

Supplemental Benefit Rate per Hour: \$17.75

### **Engineer - Second Year**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$24.60

Supplemental Benefit Rate per Hour: \$16.59

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$25.42

Supplemental Benefit Rate per Hour: \$17.75

### **Engineer - Third Year**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$27.06

Supplemental Benefit Rate per Hour: \$16.59

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$27.96

Supplemental Benefit Rate per Hour: \$17.75

### **Engineer - Fourth Year**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$29.52

Supplemental Benefit Rate per Hour: \$16.59

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$30.50

Supplemental Benefit Rate per Hour: \$17.75

(Local #15)

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**ENGINEER - OPERATING**  
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 5)

**Operating Engineer - First Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour 40% of Journeyman's Rate  
Supplemental Benefit Per Hour: \$17.55  
Effective 1/10/2011 - Supplemental Benefit Per Hour: \$18.10

**Operating Engineer - Second Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 50% of Journeyman's Rate  
Supplemental Benefit Per Hour: \$17.55  
Effective 1/10/2011 - Supplemental Benefit Per Hour: \$18.10

**Operating Engineer - Third Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 60% of Journeyman's Rate  
Supplemental Benefit Per Hour: \$17.55  
Effective 1/10/2011 - Supplemental Benefit Per Hour: \$18.10

(Local #14)

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**FLOOR COVERER**  
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

**Floor Coverer (First Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 40% of Journeyman's rate  
Supplemental Rate Per Hour: \$25.75

**Floor Coverer (Second Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 50% of Journeyman's rate  
Supplemental Rate Per Hour: \$25.75

**Floor Coverer (Third Year)**

Effective Period: 7/1/2010 - 6/30/2011

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
\$220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Wage Rate Per Hour: 65% of Journeyman's rate  
Supplemental Rate Per Hour: \$25.75

**Floor Coverer (Fourth Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 80% of Journeyman's rate  
Supplemental Rate Per Hour: \$25.75

(Carpenters District Council)

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**GLAZIER**

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

**Glazier (First Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 40% of Journeyman's rate  
Supplemental Rate Per Hour: \$10.58

**Glazier (Second Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 50% of Journeyman's rate  
Supplemental Rate Per Hour: \$19.65

**Glazier (Third Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 60% of Journeyman's rate  
Supplemental Rate Per Hour: \$21.92

**Glazier (Fourth Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 80% of Journeyman's rate  
Supplemental Rate Per Hour: \$26.45

(Local #1281)

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**HEAT & FROST INSULATOR**

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

**Heat & Frost Insulator (First Year)**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 40% of Journeyperson's rate

**Heat & Frost Insulator (Second Year)**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

**Heat & Frost Insulator (Third Year)**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 70% of Journeyperson's rate

**Heat & Frost Insulator (Fourth Year)**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 80% of Journeyperson's rate

(Local #12)

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**HOUSE WRECKER**

(Ratio of Apprentices to Journeypersons: 1 to 1, 1 to 3)

**House Wrecker - First Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$19.25

Supplemental Benefit Rate per Hour: \$14.32

**House Wrecker - Second Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$20.25

Supplemental Benefit Rate per Hour: \$14.32

**House Wrecker - Third Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$21.75

Supplemental Benefit Rate per Hour: \$14.32

**House Wrecker - Fourth Year**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
\$220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$24.25  
Supplemental Benefit Rate per Hour: \$14.32

(Local #79)

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**IRON WORKER - ORNAMENTAL**  
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

**Iron Worker (Ornamental) - 1st Four Months - Hired on or Before 8/1/08**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 60% of Journeyman's rate  
Supplemental Rate Per Hour: \$30.03  
Effective 1/10/11 - Supplemental Rate Per Hour: \$30.42

**Iron Worker (Ornamental) - 5 - 10 Months - Hired on or Before 8/1/08**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 65% of Journeyman's rate  
Supplemental Rate Per Hour: \$30.76  
Effective 1/10/11 - Supplemental Rate Per Hour: \$31.17

**Iron Worker (Ornamental) - 11 - 16 Months - Hired on or Before 8/1/08**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 70% of Journeyman's rate  
Supplemental Rate Per Hour: \$31.49  
Effective 1/10/11 - Supplemental Rate Per Hour: \$31.92

**Iron Worker (Ornamental) - 17 - 22 Months - Hired on or Before 8/1/08**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 80% of Journeyman's rate  
Supplemental Rate Per Hour: \$32.95  
Effective 1/10/11 - Supplemental Rate Per Hour: \$33.42

**Iron Worker (Ornamental) - 23 - 28 Months - Hired on or Before 8/1/08**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 85% of Journeyman's rate  
Supplemental Rate Per Hour: \$33.68  
Effective 1/10/11 - Supplemental Rate Per Hour: \$34.17

**Iron Worker (Ornamental) - 29 - 36 Months - Hired on or Before 8/1/08**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 95% of Journeyperson's rate  
Supplemental Rate Per Hour: \$35.14  
Effective 1/10/11 - Supplemental Rate Per Hour: \$35.67

**Iron Worker (Ornamental) - 1st Ten Months - Hired After 8/1/08**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 50% of Journeyperson's rate  
Supplemental Rate Per Hour: \$28.57  
Effective 1/10/11 - Supplemental Rate Per Hour: \$28.92

**Iron Worker (Ornamental) - 11 - 16 Months - Hired After 8/1/08**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 55% of Journeyperson's rate  
Supplemental Rate Per Hour: \$29.30  
Effective 1/10/11 - Supplemental Rate Per Hour: \$29.67

**Iron Worker (Ornamental) - 17 - 22 Months - Hired After 8/1/08**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 60% of Journeyperson's rate  
Supplemental Rate Per Hour: \$30.03  
Effective 1/10/11 - Supplemental Rate Per Hour: \$30.42

**Iron Worker (Ornamental) - 23 - 28 Months - Hired After 8/1/08**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 70% of Journeyperson's rate  
Supplemental Rate Per Hour: \$31.49  
Effective 1/10/11 - Supplemental Rate Per Hour: \$31.92

**Iron Worker (Ornamental) - 29 - 36 Months - Hired After 8/1/08**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 80% of Journeyperson's rate  
Supplemental Rate Per Hour: \$32.95  
Effective 1/10/11 - Supplemental Rate Per Hour: \$33.42

(Local #580)

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**IRON WORKER - STRUCTURAL**

**(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 6)**

**Iron Worker (Structural) - 1st Six Months**



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$22.02

Supplemental Benefit Rate per Hour: \$37.71

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$22.27

Supplemental Benefit Rate per Hour: \$38.76

**Iron Worker (Structural) - 7- 18 Months**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$22.62

Supplemental Benefit Rate per Hour: \$37.71

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$22.87

Supplemental Benefit Rate per Hour: \$38.76

**Iron Worker (Structural) - 19 - 36 months**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$23.22

Supplemental Benefit Rate per Hour: \$37.71

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$23.47

Supplemental Benefit Rate per Hour: \$38.76

(Local #40 and #361)

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**LABORER (FOUNDATION, CONCRETE, EXCAVATING, STREET PIPE LAYER & COMMON)**

(Ratio Apprentice to Journeyperson: 1 to 1, 1 to 3)

**Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) - First 1000 hours**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate Per Hour: 50% of Journeyperson's rate

Supplemental Rate Per Hour: \$28.09

**Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) - Second 1000 hours**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
\$220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 60% of Journeyman's rate  
Supplemental Rate Per Hour: \$28.09

**Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) -  
Third 1000 hours**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 75% of Journeyman's rate  
Supplemental Rate Per Hour: \$28.09

**Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) -  
Fourth 1000 hours**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 90% of Journeyman's rate  
Supplemental Rate Per Hour: \$28.09

(Local #731)

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**MARBLE MECHANICS  
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)**

**Cutters & Setters - First 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011  
Wage and Supplemental Rate Per Hour: 50% of Journeyman's rate

NO BENEFITS PAID DURING THE FIRST TWO MONTHS (PROBATIONARY PERIOD)

**Cutters & Setters - Second 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011  
Wage and Supplemental Rate Per Hour: 55% of Journeyman's rate

**Cutters & Setters - Third 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011  
Wage and Supplemental Rate Per Hour: 65% of Journeyman's rate

**Cutters & Setters - Fourth 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011  
Wage and Supplemental Rate Per Hour: 75% of Journeyman's rate

**Cutters & Setters - Fifth 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 85% of Journeyperson's rate

**Cutters & Setters - Sixth 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 95% of Journeyperson's rate

**Polishers & Finishers - First 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

NO BENEFITS PAID DURING THE FIRST TWO MONTHS (PROBATIONARY PERIOD)

**Polishers & Finishers - Second 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

**Polishers & Finishers - Third 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 75% of Journeyperson's rate

**Polishers & Finishers - Fourth 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 90% of Journeyperson's rate

(Local #7)

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**MASON TENDER**

(Ratio of Apprentices to Journeypersons: 1 to 1, 1 to 3)

**Mason Tender - First Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$19.78

Supplemental Benefit Rate per Hour: \$15.31

**Mason Tender - Second Year**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$20.78  
Supplemental Benefit Rate per Hour: \$15.31

**Mason Tender - Third Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$22.28  
Supplemental Benefit Rate per Hour: \$15.31

**Mason Tender - Fourth Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$24.78  
Supplemental Benefit Rate per Hour: \$15.31

(Local #79)

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**METALLIC LATHER**

(Ratio of Apprentices to Journeypersons: 1 to 1, 1 to 3)

**Metallic Lather (First Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: \$ 28.20  
Supplemental Rate Per Hour: \$26.86

**Metallic Lather (Second Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: \$32.80  
Supplemental Rate Per Hour: \$28.51

**Metallic Lather (Third Year)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: \$37.86  
Supplemental Rate Per Hour: \$29.66

(Local #46)

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**MILLWRIGHT**

(Ratio of Apprentices to Journeyperson: 1 to 1, 1 to 4)

**Millwright (First Year)**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$24.33

Supplemental Benefit Rate per Hour: \$28.11

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$25.40

Supplemental Benefit Rate per Hour: \$28.67

**Millwright (Second Year)**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$28.76

Supplemental Benefit Rate per Hour: \$31.21

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$30.02

Supplemental Benefit Rate per Hour: \$31.87

**Millwright (Third Year)**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$33.18

Supplemental Benefit Rate per Hour: \$35.43

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$34.64

Supplemental Benefit Rate per Hour: \$36.19

**Millwright (Fourth Year)**

Effective Period: 7/1/2010 - 1/9/2011

Wage Rate per Hour: \$42.02

Supplemental Benefit Rate per Hour: \$40.54

Effective Period: 1/10/2011 - 6/30/2011

Wage Rate per Hour: \$43.88

Supplemental Benefit Rate per Hour: \$41.50

(Local #740)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

**PAINTER**

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

**Painter - Brush & Roller - First Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$13.25

Supplemental Benefit Rate per Hour: \$9.84

**Painter - Brush & Roller - Second Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$18.25

Supplemental Benefit Rate per Hour: \$13.38

**Painter - Brush & Roller - Third Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$21.90

Supplemental Benefit Rate per Hour: \$16.27

**Painter - Brush & Roller - Fourth Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$29.20

Supplemental Benefit Rate per Hour: \$21.61

(District Council of Painters)

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**PAINTER - STRUCTURAL STEEL**

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

**Painters - Structural Steel (First Year)**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 40% of Journeyman's rate

**Painters - Structural Steel (Second Year)**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 60% of Journeyman's rate

**Painters - Structural Steel (Third Year)**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 80% of Journeyperson's rate

(Local #806)

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**PAVER AND ROADBUILDER**

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

**Paver and Roadbuilder - First Year (Minimum 1000 hours)**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$25.00

Supplemental Benefit Rate per Hour: \$14.60

**Paver and Roadbuilder - Second Year (Minimum 1000 hours)**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$26.50

Supplemental Benefit Rate per Hour: \$14.60

**Paver and Roadbuilder - Third Year (Minimum 1000 hours)**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$28.00

Supplemental Benefit Rate per Hour: \$14.60

(Local #1010)

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**PLASTERER**

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

**Plasterer - First Year: 1st Six Months**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate Per Hour: 40% of Journeyperson's rate

Supplemental Rate Per Hour: \$10.72

Effective 1/10/11 - Supplemental Rate Per Hour: \$11.51

**Plasterer - First Year: 2nd Six Months**

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§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 45% of Journeyman's rate  
Supplemental Rate Per Hour: \$11.93  
Effective 1/10/11 - Supplemental Rate Per Hour: \$12.74

**Plasterer - Second Year: 1st Six Months**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 55% of Journeyman's rate  
Supplemental Rate Per Hour: \$14.36  
Effective 1/10/11 - Supplemental Rate Per Hour: \$15.21

**Plasterer - Second Year: 2nd Six Months**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 60% of Journeyman's rate  
Supplemental Rate Per Hour: \$15.58  
Effective 1/10/11 - Supplemental Rate Per Hour: \$16.44

**Plasterer - Third Year: 1st Six Months**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 70% of Journeyman's rate  
Supplemental Rate Per Hour: \$18.01  
Effective 1/10/11 - Supplemental Rate Per Hour: \$18.91

**Plasterer - Third Year: 2nd Six Months**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 75% of Journeyman's rate  
Supplemental Rate Per Hour: \$19.22  
Effective 1/10/11 - Supplemental Rate Per Hour: \$20.14

(Local #530)

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**PLUMBER**

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

**Plumber - First Year: 1st Six Months**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$14.00  
Supplemental Benefit Rate per Hour: \$0.38

**Plumber - First Year: 2nd Six Months**

Effective Period: 7/1/2010 - 6/30/2011



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Wage Rate per Hour: \$14.00

Supplemental Benefit Rate per Hour: \$2.63

**Plumber - Second Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$17.14

Supplemental Benefit Rate per Hour: \$15.74

**Plumber - Third Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$19.24

Supplemental Benefit Rate per Hour: \$15.74

**Plumber - Fourth Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$22.09

Supplemental Benefit Rate per Hour: \$15.74

**Plumber - Fifth Year: 1st Six Months**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$23.49

Supplemental Benefit Rate per Hour: \$15.74

**Plumber - Fifth Year: 2nd Six Months**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$35.56

Supplemental Benefit Rate per Hour: \$15.74

(Plumbers Local #1)

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**POINTER - WATERPROOFER, CAULKER MECHANIC (EXTERIOR BUILDING  
RENOVATION)**

**(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)**

**Pointer - Waterproofer, Caulker Mechanic - First Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$23.60

Supplemental Benefit Rate per Hour: \$3.25

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**Pointer - Waterproofing, Caulker Mechanic - Second Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$26.90

Supplemental Benefit Rate per Hour: \$7.15

**Pointer - Waterproofing, Caulker Mechanic - Third Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$31.38

Supplemental Benefit Rate per Hour: \$10.40

**Pointer - Waterproofing, Caulker Mechanic - Fourth Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$37.81

Supplemental Benefit Rate per Hour: \$10.40

(Bricklayer District Council)

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**ROOFER**

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 2)

**Roofer - First Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 35% of Journeyman's Rate

**Roofer - Second Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 50% of Journeyman's Rate

**Roofer - Third Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 60% of Journeyman's Rate

**Roofer - Fourth Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 75% of Journeyman's Rate

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
\$220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

(Local #8)

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**SHEET METAL WORKER**  
**(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)**

**Sheet Metal Worker - First Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 30% of Journeyperson's rate  
Supplemental Rate Per Hour: \$14.69  
Effective 1/10/11 - Supplemental Rate Per Hour: \$15.10

**Sheet Metal Worker - Second Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 35% of Journeyperson's rate  
Supplemental Rate Per Hour: \$16.81  
Effective 1/10/11 - Supplemental Rate Per Hour: \$17.79

**Sheet Metal Worker - Third Year (1st Six Months)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 40% of Journeyperson's rate  
Supplemental Rate Per Hour: \$18.53  
Effective 1/10/11 - Supplemental Rate Per Hour: \$19.57

**Sheet Metal Worker - Third Year (2nd Six Months)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 45% of Journeyperson's rate  
Supplemental Rate Per Hour: \$20.25  
Effective 1/10/11 - Supplemental Rate Per Hour: \$21.36

**Sheet Metal Worker - Fourth Year (1st Six Months)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 50% of Journeyperson's rate  
Supplemental Rate Per Hour: \$21.95  
Effective 1/10/11 - Supplemental Rate Per Hour: \$23.14

**Sheet Metal Worker - Fourth Year (2nd Six Months)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 55% of Journeyperson's rate  
Supplemental Rate Per Hour: \$23.53  
Effective 1/10/11 - Supplemental Rate Per Hour: \$24.78

**Sheet Metal Worker - Fifth Year (1st Six Months)**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
\$220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 60% of Journeyman's rate  
Supplemental Rate Per Hour: \$25.58  
Effective 1/10/11 - Supplemental Rate Per Hour: \$26.90

**Sheet Metal Worker - Fifth Year(2nd Six Months)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 70% of Journeyman's rate  
Supplemental Rate Per Hour: \$29.62  
Effective 1/10/11 - Supplemental Rate Per Hour: \$31.07

(Local #28)

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**SIGN ERECTOR**  
**(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)**

**Sign Erector - First Year: 1st Six Months**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 35% of Journeyman's rate  
Supplemental Rate Per Hour: \$5.96

**Sign Erector - First Year: 2nd Six Months**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 40% of Journeyman's rate  
Supplemental Rate Per Hour: \$6.75

**Sign Erector - Second Year: 1st Six Months**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 45% of Journeyman's rate  
Supplemental Rate Per Hour: \$7.55

**Sign Erector - Second Year: 2nd Six Months**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 50% of Journeyman's rate  
Supplemental Rate Per Hour: \$8.34

**Sign Erector - Third Year: 1st Six Months**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 55% of Journeyman's rate  
Supplemental Rate Per Hour: \$9.13

**Sign Erector - Third Year: 2nd Six Months**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 60% of Journeyman's rate  
Supplemental Rate Per Hour: \$9.92

**Sign Erector - Fourth Year: 1st Six Months**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 65% of Journeyman's rate  
Supplemental Rate Per Hour: \$10.72

**Sign Erector - Fourth Year: 2nd Six Months**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 70% of Journeyman's rate  
Supplemental Rate Per Hour: \$11.51

**Sign Erector - Fifth Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 75% of Journeyman's rate  
Supplemental Rate Per Hour: \$12.30

**Sign Erector - Sixth Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 80% of Journeyman's rate  
Supplemental Rate Per Hour: \$12.30

(Local #137)

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**STEAMFITTER**

**(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)**

**Steamfitter - First Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate and Supplemental Per Hour: 40% of Journeyman's rate

**Steamfitter - Second Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate and Supplemental Rate Per Hour: 50% of Journeyman's rate.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

**Steamfitter - Third Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate and Supplemental Rate per Hour: 65% of Journeyman's rate.

**Steamfitter - Fourth Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate and Supplemental Rate Per Hour: 80% of Journeyman's rate.

**Steamfitter - Fifth Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate and Supplemental Rate Per Hour: 85% of Journeyman's rate.

(Local #638)

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**STONE MASON - SETTER**

(Ratio Apprentice of Journeyman: 1 to 1, 1 to 2)

**Stone Mason - Setters - First 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 50% of Journeyman's rate

**Stone Mason - Setters - Second 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate Per Hour: 60% of Journeyman's rate

Supplemental Rate Per Hour: 50% of Journeyman's rate

**Stone Mason - Setters - Third 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate Per Hour: 70% of Journeyman's rate

Supplemental Rate Per Hour: 50% of Journeyman's rate

**Stone Mason - Setters - Fourth 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate Per Hour: 80% of Journeyman's rate

Supplemental Rate Per Hour: 50% of Journeyman's rate

**Stone Mason - Setters - Fifth 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
\$220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Wage Rate Per Hour: 90% of Journeyman's rate  
Supplemental Rate Per Hour: 50% of Journeyman's rate

**Stone Mason - Setters - Sixth 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate Per Hour: 100% of Journeyman's rate  
Supplemental Rate Per Hour: 50% of Journeyman's rate

(Bricklayers District Council)

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**TAPER**

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

**Drywall Taper - First Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage and Supplemental Rate Per Hour: 40% of Journeyman's rate

**Drywall Taper - Second Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage and Supplemental Rate Per Hour: 60% of Journeyman's rate

**Drywall Taper - Third Year**

Effective Period: 7/1/2010 - 6/30/2011  
Wage and Supplemental Rate Per Hour: 80% of Journeyman's rate

(Local #1974)

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**TILE LAYER - SETTER**

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

**Tile Layer - Setter - First 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011  
Wage and Supplemental Rate Per Hour: 50% of Journeyman's rate

**Tile Layer - Setter - Second 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Wage and Supplemental Rate Per Hour: 55% of Journeyperson's rate

**Tile Layer - Setter - Third 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 65% of Journeyperson's rate

**Tile Layer - Setter - Fourth 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 75% of Journeyperson's rate

**Tile Layer - Setter - Fifth 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 85% of Journeyperson's rate

**Tile Layer - Setter - Sixth 750 Hours**

Effective Period: 7/1/2010 - 6/30/2011

Wage and Supplemental Rate Per Hour: 95% of Journeyperson's rate

(Local #7)

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**TIMBERPERSON**

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 6)

**Timberperson - First Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate Per Hour: 40% of Journeyperson's rate

Supplemental Rate Per Hour: \$26.79

Effective 1/10/11 - Supplemental Rate Per Hour: \$27.49

**Timberperson - Second Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate Per Hour: 50% of Journeyperson's rate

Supplemental Rate Per Hour: \$26.79

Effective 1/10/11 - Supplemental Rate Per Hour: \$27.49

**Timberperson - Third Year**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate Per Hour: 65% of Journeyperson's rate

Supplemental Rate Per Hour: \$26.79



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Effective 1/10/11 - Supplemental Rate Per Hour: \$27.49

Timberperson - Fourth Year

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate Per Hour: 80% of Journeyperson's rate

Supplemental Rate Per Hour: \$26.79

Effective 1/10/11 - Supplemental Rate Per Hour: \$27.49

(Local #1536)



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OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§6-109 LIVING WAGE SCHEDULE

**NYC ADMINISTRATIVE CODE § 6-109 SCHEDULE OF "LIVING WAGES"**

Contractors who provide the following services to the City of New York must post a copy of this Living Wage Schedule at their work site(s) as required by New York City Administrative Code § 6-109:

- Building Services,
- Day Care Services,
- Food Services,
- Head Start Services,
- Homecare Services,
- Services to Persons with Cerebral Palsy, and
- Temporary Services.

In accordance with NYC Administrative Code § 6-109, the Comptroller of the City of New York promulgated this schedule of living wages for the above services on contracts for non-emergency work in excess of the small purchase limit set by the procurement policy board; contracting agencies must annex this schedule to such contract.

A city service contractor or subcontractor that provides homecare services, day care services, head start services or services to persons with cerebral palsy must pay its covered employees that directly render such services in performance of the city service contract or subcontract no less than the living wage and must provide its employees health benefits\* or must supplement their hourly wage rate by an amount no less than the health benefits\* supplement rate. This requirement applies for each hour that the employee works performing the city service contract or subcontract.

A city service contractor or subcontractor that provides building services, food services or temporary services must pay its employees that are engaged in performing the city service contract or subcontract no less than the living wage or the prevailing wage, whichever is greater. Where the living wage is greater than the prevailing wage, the city service contractor or subcontractor must either provide its employees health benefits\* or must supplement their hourly wage rate by an amount no less than the health benefits\* supplement rate. Where the prevailing wage is greater than the living wage, the city service contractor or subcontractor must provide its employees the prevailing wage and supplements. These requirements apply for each hour that the employee works performing the city service contract or subcontract.

The appropriate schedule of living wages must be posted at all work sites pursuant to NYC Administrative Code 6-109.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§6-109 LIVING WAGE SCHEDULE

The schedule is applicable for work performed during the effective period, unless otherwise noted. You will be notified of any changes to this schedule by addenda published on our web site [www.comptroller.nyc.gov](http://www.comptroller.nyc.gov). Schedules for future one-year periods will be published annually in the City Record on or about July 1<sup>st</sup> of each succeeding year and on our web site [www.comptroller.nyc.gov](http://www.comptroller.nyc.gov).

The living wage rate and the health benefit\* supplement rate are known through June 30, therefore, those rates are listed in this schedule.

The living wage rates listed in this schedule may not include all hourly wage calculations for overtime, shift differential, Holiday, Saturday, Sunday or other premium time work. Similarly, this schedule does not set forth every living wage practice with which employers must comply.

Answers to questions concerning living wage practices may be obtained from the Classification Unit by calling (212) 669-4437. Please direct all other compliance issues to; Bureau of Labor Law, Attn: Wasyl Kinach, P.E., Office of the Comptroller, 1 Centre Street, Room 1122, New York, N.Y. 10007; Fax (212) 669-4002.

Contractors are solely responsible for maintaining original payroll records, which delineate, among other things, the hours each employee worked within a given classification. Contractors using rates and/or classifications not promulgated by the Comptroller do so at their own risk. Additionally, prior to bid, an agency's chief contracting officer must contact the Bureau of Labor Law to obtain a wage determination for a work classification not published in this schedule.

The information listed below is intended to assist you in meeting your living wage and prevailing wage obligation. Contractors are advised to review the Comptroller's Living Wage Schedule prior to submitting a bid for City work. Any wage rate error made by the contracting agency in the contract documents will not preclude a finding against the contractor for an underpayment of the applicable living wage or the applicable prevailing wage.

This schedule sets forth the living wage and benefit rates required to be annexed to and form part of the contract specifications for work covered by New York City Administrative Code § 6-109. Contractors performing such work are required to pay not less than the rates specified in this schedule for the applicable trade or occupation.

Wasyl Kinach, P.E.  
Director of Classifications  
Bureau of Labor Law

\*See Supplemental Benefit Rate in schedule

**NOTE:** Benefits are paid for **EACH HOUR WORKED** unless otherwise noted.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§6-109 LIVING WAGE SCHEDULE

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**CLEANER (OFFICE)**  
**(THIS CLASSIFICATION IS SUBJECT TO NEW YORK STATE LABOR LAW SECTION 230.)**

(These classifications include, but are not limited to, cleaning and disinfecting of curtains, rugs, and drapes, janitorial and custodial services (other than school custodian), washing and waxing floors.)

**Office Building Class "A" - Cleaner/Porter (Over 280,000 square feet gross area)**

Effective Period: 7/1/2010 - 12/31/2010

Wage Rate per Hour: \$21.80

Supplemental Benefit Rate per Hour: \$8.36

Supplemental Note: New employee supplemental benefit rate per hour: \$6.07

NEW HIRE: Porter/Cleaner, may be paid a starting rate of eighty percent (80%) of the hourly rate published above. Upon completion of thirty (30) months of employment, the new hire shall be paid the full wage rate. Upon completion of two years of employment the new hire receives the full supplemental benefit rate.

Effective Period: 1/1/2011 - 6/30/2011

Wage Rate per Hour: \$22.65

Supplemental Benefit Rate per Hour: \$8.76

Supplemental Note: New employee supplemental benefit rate per hour: \$6.37

NEW HIRE: Porter/Cleaner, may be paid a starting rate of eighty percent (80%) of the hourly rate published above. Upon completion of thirty (30) months of employment, the new hire shall be paid the full wage rate. Upon completion of two years of employment the new hire receives the full supplemental benefit rate.

**Office Building Class "B" - Cleaner/Porter (Between 120,000 and 280,000 square feet gross area)**

Effective Period: 7/1/2010 - 12/31/2010

Wage Rate per Hour: \$21.77

Supplemental Benefit Rate per Hour: \$8.36

Supplemental Note: New employee supplemental benefit rate per hour: \$6.07

NEW HIRE: Porter/Cleaner, may be paid a starting rate of eighty percent (80%) of the hourly rate published above. Upon completion of thirty (30) months of employment, the new hire shall be paid the full wage rate. Upon completion of two years of employment the new hire receives the full supplemental benefit rate.

Effective Period: 1/1/2011 - 6/30/2011

Wage Rate per Hour: \$22.62

Supplemental Benefit Rate per Hour: \$8.76

Supplemental Note: New employee supplemental benefit rate per hour: \$6.37

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§6-109 LIVING WAGE SCHEDULE

**NEW HIRE:** Porter/Cleaner, may be paid a starting rate of eighty percent (80%) of the hourly rate published above. Upon completion of thirty (30) months of employment, the new hire shall be paid the full wage rate. Upon completion of two years of employment the new hire receives the full supplemental benefit rate.

**Office Building Class "C" - Cleaner/Porter (Less than 120,000 square feet gross area)**

Effective Period: 7/1/2010 - 12/31/2010

Wage Rate per Hour: **\$21.72**

Supplemental Benefit Rate per Hour: **\$8.36**

Supplemental Note: New employee supplemental benefit rate per hour: **\$6.07**

**NEW HIRE:** Porter/Cleaner, may be paid a starting rate of eighty percent (80%) of the hourly rate published above. Upon completion of thirty (30) months of employment, the new hire shall be paid the full wage rate. Upon completion of two years of employment the new hire receives the full supplemental benefit rate.

Effective Period: 1/1/2011 - 6/30/2011

Wage Rate per Hour: **\$22.57**

Supplemental Benefit Rate per Hour: **\$8.76**

Supplemental Note: New employee supplemental benefit rate per hour: **\$6.37**

**NEW HIRE:** Porter/Cleaner, may be paid a starting rate of eighty percent (80%) of the hourly rate published above. Upon completion of thirty (30) months of employment, the new hire shall be paid the full wage rate. Upon completion of two years of employment the new hire receives the full supplemental benefit rate.

**Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for work on a holiday plus the day's pay.

Time and one half the regular hourly rate after 40 hours in any work week.

**Paid Holidays**

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

**Vacation**

Less than 6 months of work.....no vacation

6 months of work.....three (3) days

1 year of work.....ten (10) days

5 years of work.....fifteen (15) days

15 years of work.....twenty (20) days

21 years of work.....twenty-one (21) days

22 years of work.....twenty-two (22) days

23 years of work.....twenty-three (23) days

24 years of work.....twenty-four (24) days

25 years or more of work.....twenty-five (25) days

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Plus two Personal Days per year.

**Sick Leave:**

10 sick days per year.

Unused sick leave paid in the succeeding January, one full day pay for each unused sick day.

(Local #32 B/J)

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**CLEANER (PARKING LOT) - FLOOR PERSON  
(THIS CLASSIFICATION IS SUBJECT TO NEW YORK STATE LABOR LAW  
SECTION 230.)**

**"A" Level Worker**

Hired before February 6, 1989

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: **\$15.06**

Supplemental Benefit Rate per Hour: **\$2.17**

**"B" Level Worker**

Hired on or after February 6, 1989

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: **\$10.00**

Supplemental Benefit Rate per Hour: **\$1.50**

Supplemental Note: The above rate is for a "B" Level Worker that has less than 3 years employment.

For "B" worker after three years of employment: **\$2.17**

"B" Level Worker paid at least the Living Wage as per section 6-109 of the NYC Administrative Code.

**Overtime**

Time and one half the regular hourly rate after 40 hours in any work week.

**Vacation**

Full time employees shall be entitled to:

5 days per year during each of the first two (2) years of employment.

10 days per year during each of the third (3rd) through fifth (5th) years of employment.

15 days per year during each of the sixth (6th) through tenth (10th) years of employment.

20 days per year during each of the eleventh (11th) and each succeeding year of employment.

**BENEFIT DAYS:**

6 days during each of the first (1st) through fifth (5th) years of employment.

12 days during the sixth (6th) and each succeeding year of employment.

(Local #272)



**CLEANER (RESIDENTIAL)**  
**(THIS CLASSIFICATION IS SUBJECT TO NEW YORK STATE LABOR LAW SECTION 230.)**

**(These classifications include, but are not limited to, cleaning and disinfecting of curtains, rugs, and drapes, janitorial and custodial services (other than school custodian), washing and waxing floors.)**

**Residential Building Class "A" Cleaner/Porter**

**Residential Building Class "A": buildings where the assessed value of the land and building, based upon the 1935 assessment, divided by the number of rooms in the building, gives an assessed value of over \$4000.00 a room.**

**Effective Period: 7/1/2010 - 12/31/2010**  
**Wage Rate per Hour: \$19.84**  
**Supplemental Benefit Rate per Hour: \$8.28**

**Effective Period: 1/1/2011 - 4/20/2011**  
**Wage Rate per Hour: \$19.84**  
**Supplemental Benefit Rate per Hour: \$8.68**

**Effective Period: 4/21/2011 - 6/30/2011**  
**Wage Rate per Hour: \$20.22**  
**Supplemental Benefit Rate per Hour: \$8.68**

**Residential Building Class "B" Cleaner/Porter**

**Residential Building Class "B": buildings where the assessed value of the land and building, based upon the 1935 assessment, divided by the number of rooms in the building, gives an assessed value of over \$2000.00 a room and not over \$4000.00 a room.**

**Effective Period: 7/1/2010 - 12/31/2010**  
**Wage Rate per Hour: \$19.78**  
**Supplemental Benefit Rate per Hour: \$8.28**

**Effective Period: 1/1/2011 - 4/20/2011**  
**Wage Rate per Hour: \$19.78**  
**Supplemental Benefit Rate per Hour: \$8.68**

**Effective Period: 4/21/2011 - 6/30/2011**  
**Wage Rate per Hour: \$20.16**  
**Supplemental Benefit Rate per Hour: \$8.68**

**Residential Building Class "C" Cleaner/Porter**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Residential Building Class "C": buildings where the assessed value of the land and building, based upon the 1935 assessment, divided by the number of rooms in the building, gives An assessed value of \$2000.00 or less a room.

Effective Period: 7/1/2010 - 12/31/2010

Wage Rate per Hour: \$19.73

Supplemental Benefit Rate per Hour: \$8.28

Effective Period: 1/1/2011 - 4/20/2011

Wage Rate per Hour: \$19.73

Supplemental Benefit Rate per Hour: \$8.68

Effective Period: 4/21/2011 - 6/30/2011

Wage Rate per Hour: \$20.10

Supplemental Benefit Rate per Hour: \$8.68

### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for work on a holiday plus the day's pay.

Time and one half the regular hourly rate after 40 hours in any work week.

### Paid Holidays

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Election Day

Thanksgiving Day

Christmas Day

### Vacation

6 months.....three (3) days

1 year.....ten (10) days

5 years.....fifteen (15) days

15 years.....twenty (20) days

21 years.....twenty-one (21) days

22 years.....twenty-two (22) days

23 years.....twenty-three (23) days

24 years.....twenty-four (24) days

25 years.....twenty-five (25) days

Plus two Personal Days per year.

### SICK LEAVE

After 1 year of service.....ten (10) days per year

(Local #32B/J)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§6-109 LIVING WAGE SCHEDULE

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## DAY CARE SERVICES

### Day Care Services

'Day Care Services' means provision of day care services through the city's center-based day care program administered under contract with the city's Administration for Children's Services. No other day care programs shall be covered, including family-based day care programs administered by city-contracted day care centers.

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$10.00

Supplemental Benefit Rate per Hour: \$1.50

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## EXTERMINATOR

(THIS CLASSIFICATION IS SUBJECT TO NEW YORK STATE LABOR LAW SECTION 230.)

### Exterminator

Effective Period: 7/1/2010 - 12/31/2010

Wage Rate per Hour: \$22.72

Supplemental Benefit Rate per Hour: \$8.36

Effective Period: 1/1/2011 - 1/31/2011

Wage Rate per Hour: \$22.72

Supplemental Benefit Rate per Hour: \$8.76

Effective Period: 2/1/2011 - 6/30/2011

Wage Rate per Hour: \$23.82

Supplemental Benefit Rate per Hour: \$8.76

### Overtime Description

If an employee works six consecutive days, the sixth day is paid at time and one half. All work performed before regular starting time, or after eight hours on any day, or on the sixth day of the regular work week, shall be paid for at time one-half the regular rate of pay. All work performed on Sunday shall be paid for at double the rate of pay except that employees hired on or after September 5, 1993 can be assigned any five consecutive day work week without the requirement of double-time for Sunday.

### Overtime

Time and one half the regular rate after an 8 hour day.

### Paid Holidays

New Year's Day  
Washington's Birthday  
Memorial Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
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Independence Day  
Labor Day  
Columbus Day  
Thanksgiving Day  
Christmas Day  
Employee's Birthday

Two (2) additional holidays as floating holidays

One (1) additional day either for Martin Luther King's Birthday or Yom Kippur

All employees hired on or after February 1, 2001 shall not be entitled to floating holidays or a day off for their Birthday.

### Shift Rates

**Regular Work Day:** shall be any eight (8) consecutive hours from 6:00 A.M. - 8:00 P.M. with one hour off for lunch. On a second shift the employee is paid an additional sixteen dollars (\$16.00) per week.

The Regular Work Week of day workers shall consist of forty (40) hours in any five (5) consecutive days Monday through Saturday, with two (2) consecutive days off. If a day worker works a split week by working one (1) or more days and one (1) or more nights, time and one half shall be paid for each night's work. If a day worker splits a week by working one (1) day and one or more nights, time and one-half shall be paid for each nights work.

The regular workweek of night workers shall consist of five (5) consecutive nights totaling forty (40) hours. Any employee required to work between 5:00 P.M. and 7:00 A.M. for any given eight (8) hours consecutively shall receive an additional forty (\$0.40) cents per hour above the employees' regular straight time hourly rate. If a night worker works a split week by working one or more nights and one or more days, time and one-half the night rate shall be paid for each day worked. A night worker required to work on Saturday night shall be paid at time one half regardless of the number of nights the employee has worked during his regular workweek.

### Vacation

All employees hired before February 1, 2001 shall be entitled to paid vacations as follows;

During the employee's first 52 weeks of employment.....five (5) days  
During the employee's second 52 weeks of employment.....ten (10) days  
After 5 years employment.....fifteen (15) days  
After 15 years employment.....twenty (20) days  
After 21 years employment.....twenty-one (21) days  
After 22 years employment .....twenty-two (22) days  
After 23 years employment.....twenty-three (23) days  
After 24 years employment.....twenty-four (24) days  
After 25 years employment.....twenty-five (25) days

Employees hired on or after February 1, 2001 shall receive the following vacation benefits:

During the first 52 weeks of employment.....five (5) days  
During the second 52 weeks of employment and thereafter.....ten (10) days  
After 10 years of employment.....fifteen (15) days

### SICK LEAVE:

10 paid sick days in each calendar year after one (1) year of service.  
Unused sick leave paid in cash in January of each calendar year.

(Local #32 B/J)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§6-109 LIVING WAGE SCHEDULE

**FOOD SERVICE EMPLOYEES**

**Cook I**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$17.97  
Supplemental Benefit Rate per Hour: \$3.50

**Cook II**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$19.55  
Supplemental Benefit Rate per Hour: \$3.50

**Cafeteria / Counter Attendant**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$15.50  
Supplemental Benefit Rate per Hour: \$3.50

**Kitchen Helper**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$14.67  
Supplemental Benefit Rate per Hour: \$3.50

**Overtime Description**

Any work in excess of eight hours within any twenty four hour period and work in excess of forty hours in a week is overtime, and must be compensated at time and one half the hourly wage and straight time for the supplemental benefit rate.

**Paid Holidays**

New Year's Day  
Martin Luther King Jr. Day  
Washington's Birthday  
Memorial Day  
Independence Day  
Labor Day  
Columbus Day  
Veteran's Day  
Thanksgiving Day  
Christmas Day

**Vacation**

Two weeks paid vacation after one year of service with a contractor or successor; Three weeks after five years, four weeks after ten years and five weeks after twenty years. Length of service includes the whole span of continuous service with the present contractor or successor, wherever employed, and with the predecessor contractors in the performance of similar work at the same facility.

## **GARDENER**

**(THIS CLASSIFICATION IS SUBJECT TO NEW YORK STATE LABOR LAW SECTION 230.)**

### **Gardener (Above 6 years experience)**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$22.00

Supplemental Benefit Rate per Hour: \$9.55

### **Gardener (3 - 6 years experience)**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$21.00

Supplemental Benefit Rate per Hour: \$9.55

### **Gardener (1 - 3 years experience)**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$18.50

Supplemental Benefit Rate per Hour: \$9.55

## **Overtime Description**

Supplemental Benefits shall include an additional seventy-five cents (\$0.75) per hour for all overtime work performed.

## **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Time and one half the regular rate for work on a holiday plus the day's pay.

## **Paid Holidays**

New Year's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

## **Shift Rates**

Work performed on a 4pm to 12am shift has a fifteen percent (15%) differential. Work performed on a 12am to 8am shift has a twenty percent (20%) differential.

(Local #175)

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## HEAD START SERVICES

### Head Start Services

'Head Start Services' means provision of head start services through the city's center-based head start program administered under contract with the city's Administration for Children's Services. No other head start programs shall be covered.

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$10.00

Supplemental Benefit Rate per Hour: \$1.50

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## HEMOCARE SERVICES

### Home Care Services

'Homecare Services' means the provision of homecare services under the city's Medicaid Personal Care/Home Attendant or Housekeeping Programs, including but not limited to the In-Home Services for the Elderly Programs administered by the Department for the Aging.

For homecare services provided under the Personal Care Services program, the wage and supplemental benefit rate above shall apply only as long as the state and federal government maintain their combined aggregate proportionate share of funding and approved rates for homecare services in effect as of the date of the enactment of this section.

For contractors or subcontractors providing homecare services, the supplemental benefit rate may be waived by the terms of a bona fide collective bargaining agreement with respect to employees who have never worked a minimum of eighty (80) hours per month for two consecutive months for that covered employer, but such provision may not be waived for any employee once a minimum of eighty (80) hours for two consecutive months has been achieved.

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$10.00

Supplemental Benefit Rate per Hour: \$1.50

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## SECURITY GUARD (ARMED)

(THIS CLASSIFICATION IS SUBJECT TO NEW YORK STATE LABOR LAW SECTION 230.)

### Security Guard (Armed)

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$26.38

Supplemental Benefit Rate per Hour: \$4.46

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OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§6-109 LIVING WAGE SCHEDULE

**Overtime Description**

A guard who works a holiday is paid the regular rate plus receives the paid holiday.  
Supplemental Benefits shall be paid for each hour paid, up to forty (40) paid hours per week.

**Overtime**

Time and one half the regular rate after an 8 hour day.  
Time and one half the regular hourly rate after 40 hours in any work week.

**Paid Holidays**

New Year's Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Thanksgiving Day  
Christmas Day

**Vacation**

| Months on payroll | Vacation with Pay |
|-------------------|-------------------|
| 6                 | 3 days            |
| 12                | 5 days            |
| 24                | 10 days           |
| 60                | 15 days           |
| 180               | 20 days           |
| 300               | 25 days           |

**Sick Leave**

Employees accrue paid sick leave at the rate of one (1) sick day for every six (6) months worked, up to a maximum of six (6) days a year.

(Local #32B/J)

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**SECURITY GUARD (UNARMED)**  
**(THIS CLASSIFICATION IS SUBJECT TO NEW YORK STATE LABOR LAW SECTION 230.)**

**Security Guard (Unarmed) 0 - 6 months**

Effective Period: 7/1/2010 - 12/31/2010  
Wage Rate per Hour: \$11.75  
Supplemental Benefit Rate per Hour: \$4.46

Effective Period: 1/1/2011 - 6/30/2011  
Wage Rate per Hour: \$12.35  
Supplemental Benefit Rate per Hour: \$4.56

**Security Guard (Unarmed) 7 - 12 months**



OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§6-109 LIVING WAGE SCHEDULE

Effective Period: 7/1/2010 - 12/31/2010  
Wage Rate per Hour: \$12.25  
Supplemental Benefit Rate per Hour: \$4.46

Effective Period: 1/1/2011 - 6/30/2011  
Wage Rate per Hour: \$12.85  
Supplemental Benefit Rate per Hour: \$4.56

**Security Guard (Unarmed) 13 - 18 months**

Effective Period: 7/1/2010 - 12/31/2010  
Wage Rate per Hour: \$12.75  
Supplemental Benefit Rate per Hour: \$4.46

Effective Period: 1/1/2011 - 6/30/2011  
Wage Rate per Hour: \$13.35  
Supplemental Benefit Rate per Hour: \$4.56

**Security Guard (Unarmed) 19 - 24 months**

Effective Period: 7/1/2010 - 12/31/2010  
Wage Rate per Hour: \$13.25  
Supplemental Benefit Rate per Hour: \$4.46

Effective Period: 1/1/2011 - 6/30/2011  
Wage Rate per Hour: \$13.85  
Supplemental Benefit Rate per Hour: \$4.56

**Security Guard (Unarmed) more than 24 months**

Effective Period: 7/1/2010 - 12/31/2010  
Wage Rate per Hour: \$13.75  
Supplemental Benefit Rate per Hour: \$4.46

Effective Period: 1/1/2011 - 6/30/2011  
Wage Rate per Hour: \$14.35  
Supplemental Benefit Rate per Hour: \$4.56

Months of employment shall be defined as an Employee's length of service with the Employer or at the Facility, whichever is greater.

**Overtime Description**

A guard who works a holiday is paid the regular rate plus receives the paid holiday.  
Supplemental Benefits shall be paid for each hour paid, up to forty (40) paid hours per week.

**Overtime**

Time and one half the regular rate after an 8 hour day.  
Time and one half the regular hourly rate after 40 hours in any work week.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§6-109 LIVING WAGE SCHEDULE

**Paid Holidays**

New Year's Day  
President's Day  
Memorial Day  
Independence Day  
Labor Day  
Thanksgiving Day  
Christmas Day

**Vacation**

| Months on payroll | Vacation with Pay |
|-------------------|-------------------|
| 6                 | 3 days            |
| 12                | 5 days            |
| 24                | 10 days           |
| 60                | 15 days           |
| 180               | 20 days           |
| 300               | 25 days           |

**Sick Leave**

Employees accrue paid sick leave at the rate of one (1) sick day for every six (6) months worked, up to a maximum of six (6) days a year.

(Local #32B/J)

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**SERVICES TO PERSONS WITH CEREBRAL PALSY**

**Services To Person With Cerebral Palsy**

'Services to Persons with Cerebral Palsy' means provision of services which enable persons with cerebral palsy and related disabilities to lead independent and productive lives through an agency that provides health care, education, employment, housing and technology resources to such persons under contract with the city or the department of education.

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$10.00

Supplemental Benefit Rate per Hour: \$1.50

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**TEMPORARY OFFICE SERVICES**

**Administrative Assistant**

Effective Period: 7/1/2010 - 6/30/2011

Wage Rate per Hour: \$30.93

Supplemental Benefit Rate per Hour: None

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§6-109 LIVING WAGE SCHEDULE

**Cashier**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$11.50  
Supplemental Benefit Rate per Hour: None

**Clerk (various)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$14.82  
Supplemental Benefit Rate per Hour: None

**Computer Assistant**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$18.85  
Supplemental Benefit Rate per Hour: None

**Data Entry Operator**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$14.10  
Supplemental Benefit Rate per Hour: None

**Messenger**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$11.97  
Supplemental Benefit Rate per Hour: None

**Receptionist**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$15.67  
Supplemental Benefit Rate per Hour: None

**Secretary (various)**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$21.18  
Supplemental Benefit Rate per Hour: None

**Stenographer**

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$21.19  
Supplemental Benefit Rate per Hour: None

**Word Processor**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
\$6-109 LIVING WAGE SCHEDULE

Effective Period: 7/1/2010 - 6/30/2011  
Wage Rate per Hour: \$17.46  
Supplemental Benefit Rate per Hour: None

**Overtime**

Time and one half the regular rate after an 8 hour day.

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**WINDOW CLEANER**  
**(THIS CLASSIFICATION IS SUBJECT TO NEW YORK STATE LABOR LAW**  
**SECTION 230.)**

**Window Cleaner**

Effective Period: 7/1/2010 - 2/28/2011  
Wage Rate per Hour: \$25.22  
Supplemental Benefit Rate per Hour: \$8.28  
Supplemental Note: Effective 1/1/2011 - \$8.68

Effective Period: 3/1/2011 - 6/30/2011  
Wage Rate per Hour: \$26.12  
Supplemental Benefit Rate per Hour: \$8.68

**Power Operated Scaffolds, Manual Scaffolds, and Boatswain Chairs**

Effective Period: 7/1/2010 - 2/28/2011  
Wage Rate per Hour: \$27.22  
Supplemental Benefit Rate per Hour: \$8.28  
Supplemental Note: Effective 1/1/2011 - \$8.68

Effective Period: 3/1/2011 - 6/30/2011  
Wage Rate per Hour: \$28.37  
Supplemental Benefit Rate per Hour: \$8.68

**Overtime**

Time and one half the regular rate after an 8 hour day.  
Time and one half the regular rate for Saturday.  
Double time the regular rate for Sunday.  
Time and one half the regular rate for work on a holiday plus the day's pay.

**Paid Holidays**

New Year's Day  
Martin Luther King Jr. Day  
Washington's Birthday  
Good Friday  
Memorial Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK  
§6-109 LIVING WAGE SCHEDULE

Independence Day  
Labor Day  
Columbus Day  
Thanksgiving Day  
Day after Thanksgiving  
Christmas Day  
Personal Day

**Vacation**

After 7 months but less than 1 year of service.....five (5) days  
1 year but less than 5 years of service.....ten (10) days  
5 years of service but less than 15 years of service.....fifteen (15) days  
15 years of service but less than 21 years of service.....twenty (20) days  
21 years.....twenty-one (21) days  
22 years.....twenty-two (22) days  
23 years.....twenty-three (23) days  
24 years.....twenty-four (24) days  
25 years or more of service.....twenty-five (25) days  
Plus 1 day per year for medical visit

**SICK LEAVE:**

10 days after one year worked. Unused sick days to be paid in cash. An employee who is entitled to and receive a payment of ten days of unused sick days shall also receive a hundred-dollar (\$100) bonus.

(Local #32B/J)

**(NO TEXT ON THIS PAGE)**



Leonard A. Mancusi  
SENIOR ASSISTANT COMPTROLLER

THE CITY OF NEW YORK  
OFFICE OF THE COMPTROLLER  
1 CENTRE STREET ROOM 1120  
NEW YORK, N.Y. 10007-2341

TELEPHONE: (212) 669-31  
FAX NUMBER: (212) 669-8

ALAN G. HEVESI  
COMPTROLLER

**MEMORANDUM**

November 6, 2000

To Agency Chief Contracting Officers

From: Leonard A. Mancusi *(Signature)*

Re: Security at Construction Sites

Prior to the enactment of Administrative Code §6-109, security guards on construction sites were not subject to prevailing wages. Security guards under the New York State labor law are covered under §230 which provides that prevailing wages are to be paid for security guards in existing buildings. §6-109 of the Administrative Code which was enacted in 1996 closed this loophole by including all security guards working pursuant to a city contract as a prevailing wage trade.

Although some construction contract boilerplate language has been amended to include §6-109, sub-contractors performing security services have advised us that they were not aware of this provision and, since traditionally, security guards were not a covered trade on construction sites, and they were not advised by a prime contractor that they would have to pay prevailing wages, they have not been doing so.

To avoid the possibility of issuing stop payments against prime contractors for the failure of their security service sub-contractors to pay

*prevailing wages, we suggest that you write to all your existing security guard sub-contractors and their primes and in the future, upon approval of a security guard sub-contractor, advise the contractors of their obligation to pay prevailing wages under §6-109 of the Administrative Code.*

*As always, your cooperation is appreciated.*

**-LAM:er**  
**ACCO.SECURITY AT SITES**







NEW YORK CITY DEPARTMENT OF  
DESIGN + CONSTRUCTION

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**INFRASTRUCTURE DIVISION  
BUREAU OF DESIGN**

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**VOLUME 2 OF 3**

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*Contractor.*

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Dated \_\_\_\_\_, 20\_\_\_\_

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**APPROVED AS TO FORM  
CERTIFIED AS TO LEGAL AUTHORITY**

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*Acting Corporation Counsel*

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Dated \_\_\_\_\_, 20\_\_\_\_

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NEW YORK CITY DEPARTMENT OF  
DESIGN + CONSTRUCTION

**INFRASTRUCTURE DIVISION  
BUREAU OF DESIGN**

**VOLUME 2 OF 3**

PROJECT ID: SE809

CONSTRUCTION OF SANITARY AND STORM SEWERS AND APPURTENANCES  
IN N.B. WHITSTONE EXPRESSWAY SERVICE ROAD BETWEEN 25<sup>TH</sup> AVENUE  
AND FLUSHING RIVER; ETC.

INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC SIGNAL WORK

Together With All Work Incidental Thereto  
BOROUGH OF QUEENS  
CITY OF NEW YORK

Delaney Associates Lp.  
Reg# 20121412392 DCA# 1001271193 Contractor.  
Dated September 6<sup>th</sup>, 2011

APPROVED AS TO FORM  
CERTIFIED AS TO LEGAL AUTHORITY

[Signature]  
Acting Corporation Counsel

[Signature]  
4.8.11

Dated April 8, 2011

**THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF INFRASTRUCTURE**

30-30 THOMSON AVENUE  
LONG ISLAND CITY, NEW YORK 11101-3045  
TELEPHONE (718) 391-1000  
WEBSITE [www.nyc.gov/buildnyc](http://www.nyc.gov/buildnyc)

**VOLUME 3 OF 3**

**SCHEDULE A  
ADDENDA NOS. 1 TO 5**

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED  
FOR:

**PROJECT ID: SE809**

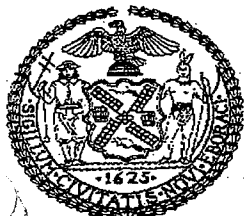
**CONSTRUCTION OF SANITARY AND STORM SEWERS AND  
APPURTENANCES IN N.B. WHITESTONE EXPRESSWAY SERVICE  
ROAD BETWEEN 25<sup>TH</sup> AVENUE AND FLUSHING RIVER; ETC.**

**INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC  
SIGNAL WORK**

Together With All Work Incidental Thereto  
**BOROUGH OF QUEENS  
CITY OF NEW YORK**

FOR THE DEPARTMENT OF ENVIRONMENTAL PROTECTION  
PREPARED BY  
IN-HOUSE DESIGN

**MARCH 25, 2011**



**114106**



## SPECIFICATIONS AND STANDARDS OF NEW YORK CITY

The following NYC Department of Transportation reference documents are available on-line at:  
[http://www.nyc.gov/html/ddc/html/pubs/pubs\\_infrastdts.shtml](http://www.nyc.gov/html/ddc/html/pubs/pubs_infrastdts.shtml) or for purchase between 9:00 A.M. and 3:00 P.M. at 55 Water St., Ground Floor, NYC, N.Y. 10041. Contact: Ms Vivian Cruz, Tel. (212) 839-9434

1. New York City Standard Highway Specifications, November 1, 2010.
2. New York City Standard Highway Details of Construction, July 1, 2010.
3. New York City Division of Street Lighting Specifications.
4. New York City Division of Street Lighting Standard Drawings.
5. New York City Standard Specifications for Traffic Signals.
6. New York City Standard Drawings for Traffic Signals.

The following reference documents for Sewer Work are available on-line at:  
[http://www.nyc.gov/html/ddc/html/pubs/pubs\\_infrastdts.shtml](http://www.nyc.gov/html/ddc/html/pubs/pubs_infrastdts.shtml) or for pick up between 8:00 A.M. and 4:00 P.M. at 30-30 Thomson Avenue, 3rd Floor, Division of Infrastructure, Long Island City, N.Y. 11101.  
Contact: Mr. William Patalano, Tel. (718) 391-2054

1. New York City DEP Standard Sewer Specifications, August 1, 2009.
2. New York City DEP Instructions for Concrete Specifications, Jan. 92.
3. New York City DEP General Specification 11-Concrete, November 1991.
4. New York City DEP Sewer Design Standards, (September 2007) Revised January 2009

The following reference documents for Water Mains Work are available on-line at: [http://www.nyc.gov/html/ddc/html/pubs/pubs\\_infrastdts.shtml](http://www.nyc.gov/html/ddc/html/pubs/pubs_infrastdts.shtml) or for pick up between 8:00 A.M. and 4:00 P.M. at 30-30 Thomson Avenue, 3rd Floor, Division of Infrastructure, Long Island City, N.Y. 11101.  
Contact: Mr. Robert Kuhlmann, Tel. (718) 391-2145

1. New York City Department of Environmental Protection, Standard Water Main Specifications, dated August 1, 2009.
2. New York City Department of Environmental Protection Water Main Standard Drawings.
3. Specifications for Trunk Main Work, dated February 2010.

The water main work material specifications are available at the Department of Environmental Protection, 59-17 Junction Boulevard, 3rd Floor Low-Rise Building, Flushing, N.Y. 11373-5108.  
Contact: Mr. Tarlock Sahansra, P.E., Tel. (718) 595-5302  
E-mail: TSAHANSRA@DEP.NYC.GOV

Standard Specifications and Drawings for Fire Department Communications facilities of New York City are available at 87 Union Street, Engineering Office, Brooklyn, NY 11231-1416.  
Contact: Mr. Ed Durkin, Tel. (718) 624-3752

Tree Planting Standards of the City of New York Parks & Recreation are available at the following Department of Parks & Recreation website:  
[http://www.nycgovparks.org/sub\\_permits\\_and\\_applications/images\\_and\\_pdfs/TreePlantingStandards.pdf](http://www.nycgovparks.org/sub_permits_and_applications/images_and_pdfs/TreePlantingStandards.pdf)

## SPECIFICATIONS AND STANDARDS OF PRIVATE UTILITIES

The Following reference documents for Private Utility Work are available for pick up between 8:30 A.M. and 4:00 P.M. at 30-30 Thomson Avenue, First Floor Bid Procurement Room, L.I.C., N.Y. 11101.

1. JOINT-BIDDING SPECIFICATIONS AND SKETCHES FOR LOWER MANHATTAN Issued: January 11, 2011.
2. CET SPECIFICATIONS AND SKETCHES Issued: November 2010

(NO TEXT ON THIS PAGE)



**SCHEDULE "A"**  
**(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)**  
**(GENERAL CONDITIONS OTHER THAN ARTICLE 22)**

| <u>REFERENCE</u>                                   | <u>ITEM</u>  | <u>REQUIREMENTS</u>   |
|--|--|---|
| Section 26<br>Information<br>For Bidders           | Bid Security   | Bid Deposit Not Less Than <u>\$100,000.00</u><br>or<br>Bid Bond Not Less Than <u>10%</u> of Proposal  |
|  | Performance Security   | <u>100%</u> of Contract Price   |
|  | Payment Security   | <u>100%</u> of Contract Price   |
| Article 14<br>Contract                             | Date for Substantial<br>Completion                                 | See Page SA-2   |
| Article 15<br>Contract                             | Liquidated Damages   | For Each Consecutive Calendar Day Over<br>Completion Time: <u>\$1,500.00</u>  |
| Article 17<br>Contract                             | Subcontracts   | Not to Exceed <u>35%</u> of Contract Price  |
| Article 24<br>Contract                             | Deposit as Guarantee   | Percent of Contract Price <u>1%</u>   |
|  | Maintenance Period   | Eighteen (18) Months, excluding Trees<br>Twenty-Four (24) Months for Tree Planting  |
| Article 77<br>Contract                             | MWBE Program   | See Subcontract Utilization Plan in the Bid Booklet   |
| Section 6.40<br>Standard Highway<br>Specifications | Liquidated Damages<br>For Engineer's Field Office                  | For Each Calendar Day of Deficiency <u>\$250.00</u>   |
|  |  | If the Contractor fails to satisfactorily provide the field office and all equipment specified in <b>Section 6.40 - Engineer's Field Office</b> , and/or if a cited deficiency exceed seventy-two (72) hours after notice from the Engineer in writing, or is permitted to recur, liquidated damages will be assessed in the amount specified in Schedule "A" for each subsequent calendar day or part thereof that a cited deficiency resulting in nonpayment, as described in <b>Section 6.40.5</b> , is not corrected. |
| Section 6.70<br>Standard Highway<br>Specifications | Liquidated Damages<br>For Maintenance And<br>Protection Of Traffic | For each instance of failure to comply with the<br>Maintenance and Protection of Traffic requirements<br>within three (3) hours after written notice from the<br>Engineer: <u>\$250.00</u>  |
|  |  | For each and every hour of failing to open the entire<br>width of roadway to traffic the morning following a<br>night/weekend work operation: <u>\$500.00</u>   |
| Section 7.13<br>Standard Highway<br>Specifications | Liquidated Damages<br>For Maintenance Of Site                      | For Each Calendar Day, For Each Occurrence<br><u>\$250.00</u>   |
|  |  | If the Contractor fails to comply, within three (3) consecutive hours after written notice from the Engineer, with the requirements of <b>Section 7.13 - Maintenance Of Site</b> , the Contractor shall pay to the City of New York, until such notice has been complied with or rescinded, the sum specified above per calendar day, for each instance of such failure, as liquidated damages and not as a penalty, for such default.  |

**SCHEDULE "A"**  
**(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)**  
**(GENERAL CONDITIONS OTHER THAN ARTICLE 22)**

(Continued)

**Date for Substantial Completion (Reference: Article 14)**

The Contractor shall substantially complete the Work within the Final Contract Duration determined in accordance with the terms and conditions set forth herein.

The Base Contract Duration for this project is 900 consecutive calendar days ("ccds").

The Final Contract Duration shall be the Base Contract Duration when a check mark is indicated before the word "NO", below, and shall be the Base Contract Duration adjusted by the table set forth below when a check mark is indicated before the word "YES", below.

YES  NO

When the Final Contract Duration is indicated above to be adjusted by the table below, the table may increase the Base Contract Duration depending on the date of scheduled substantial completion to avoid a scheduled substantial completion of the Work during the winter months. The date of the scheduled substantial completion shall be determined by adding the Base Contract Duration to the date specified to commence work in the written Notice To Proceed. The Final Contract Duration shall then be determined as follows:

- (a) Find the row that corresponds to the month of the substantial completion based on the Base Contract Duration added to the date specified to commence work in the written Notice To Proceed.
- (b) Find the number of days to be added to the Base Contract Duration in the table below. Add that number of days to the Base Contract Duration to obtain the Final Contract Duration in consecutive calendar days.

| Month Of Substantial Completion<br>Based On The Base Contract Duration | Number Of Days Of<br>Adjustment |
|--|---------------------------------|
| January  | 150                             |
| February   | 120                             |
| March  | 90                              |
| April  | 60                              |
| May  | 30                              |
| June   | 0                               |
| July   | 0                               |
| August   | 0                               |
| September  | 0                               |
| October  | 0                               |
| November - December 15   | 0                               |
| December 16 - December 31  | 180                             |

In addition, should Item No. 9.30 - STORM WATER POLLUTION PREVENTION exist in the Contract and the required Storm Water Pollution Prevention Plan (SWPPP) does not conform to NYSDEC's recommended Standards, an additional 60-ccds shall be added to the above Final Contract Duration.

**SCHEDULE "A"**  
**(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)**  
**(GENERAL CONDITIONS RELATING TO ARTICLE 22 - INSURANCE)**

**PART I. MINIMUM LIMITS AND SPECIAL CONDITIONS**

Insurance indicated by a blackened box (■) or by an X in a box (☒) to left  
will be required under this contract.

| TYPES OF INSURANCE<br>(per Article 22 in its entirety, including listed paragraph)                | MINIMUM LIMITS AND SPECIAL CONDITIONS   |
|---|---|
| <input checked="" type="checkbox"/> Commercial General Liability      Art. 22.1.1                 | <u>\$3,000,000</u> per Occurrence<br><u>\$6,000,000</u> Aggregate (applicable separately to this Project)<br>Additional Insureds:<br>(1) <u>City of New York, including its officials and employees.</u><br>(2) <u>Consolidated Edison Company of New York</u><br>(3) |
| <input checked="" type="checkbox"/> Workers' Compensation      Art. 22.1.2                        | Workers' Compensation: Statutory per New York State law without regard to jurisdiction.   |
| <input checked="" type="checkbox"/> Disability Benefits Insurance      Art. 22.1.2                | Disability Benefits Insurance: Statutory per New York State law without regard to jurisdiction.   |
| <input checked="" type="checkbox"/> Employers' Liability      Art. 22.1.3                         | Employers' Liability: <u>\$1,000,000</u> each Accident  |
| <input type="checkbox"/> Jones Act      Art. 22.1.4   |   |
| <input type="checkbox"/> U.S. Longshoremen's and Harbor Workers Compensation Act      Art. 22.1.4 | <input type="checkbox"/> Additional Requirements:<br>(1)<br>(2)   |

**SCHEDULE "A"**  
**(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)**  
**(GENERAL CONDITIONS RELATING TO ARTICLE 22 - INSURANCE)**  
**PART I (Continued)**

Insurance indicated by a blackened box (■) or by an X in a box (☒) to left will be required under this contract.

| TYPES OF INSURANCE<br>(per Article 22 in its entirety, including listed paragraph)   | MINIMUM LIMITS AND SPECIAL CONDITIONS   |
|--|---|
| <input type="checkbox"/> Builders' Risk Art. 22.1.5                                  | <u>        </u> % of Total Value of <b>Work</b><br>City of New York and the <b>Contractor</b> named as Loss Payee for the <b>Work</b> in order of precedence, as their interests may appear.  |
| <input checked="" type="checkbox"/> Comprehensive Business Auto Coverage Art. 22.1.6 | <u>\$1,000,000</u> per Accident<br>If vehicles are used for transporting hazardous materials, the <b>Contractor</b> shall provide pollution liability broadened coverage for covered autos (endorsement CA 99 48) as well as proof of MCS 90.<br><br>Additional Insureds:<br>(1) <u>City of New York, including its officials and employees.</u><br>(2) |
| <input type="checkbox"/> Pollution/Environmental Liability Art. 22.1.7               | <u>\$        </u> per Occurrence<br><u>\$        </u> Aggregate<br><br>Additional Insureds:<br>(1) <u>City of New York, including its officials and employees.</u><br>(2)   |

**SCHEDULE "A"**  
**(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)**  
**(GENERAL CONDITIONS RELATING TO ARTICLE 22 - INSURANCE)**

**PART I (Continued)**

Insurance indicated by a blackened box (■) or by an X in a box (☒) to left will be required under this contract.

| TYPES OF INSURANCE<br>(per Article 22 in its entirety, including listed paragraph) | MINIMUM LIMITS AND SPECIAL CONDITIONS   |
|--|---|
| <input type="checkbox"/> Marine Protection and Indemnity      Art. 22.1.8(a)       | \$ _____ per Occurrence<br>\$ _____ Aggregate<br>Additional Insureds:<br>(1) <u>City of New York, including its officials and employees.</u><br>(2) |
| <input type="checkbox"/> Ship Repairers Legal Liability      Art. 22.1.8(b)        | \$ _____ each Occurrence<br>[Contracting agency to fill in total value of City vessels involved]  |
| <input type="checkbox"/> Collision Liability/Towers Liability      Art. 22.1.8(c)  | \$ _____ per Occurrence<br>\$ _____ Aggregate<br>Additional Insureds:<br>(1) <u>City of New York, including its officials and employees.</u><br>(2) |
| <input type="checkbox"/> Marine Pollution Liability      Art. 22.1.8(d)            | \$ _____ each Occurrence<br>Additional Insureds:<br>(1) <u>City of New York, including its officials and employees.</u><br>(2)                      |

**SCHEDULE "A"**  
**(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)**  
**(GENERAL CONDITIONS RELATING TO ARTICLE 22 - INSURANCE)**

**PART I (Continued)**

Insurance indicated by a blackened box (■) or by an X in a box (☒) to left  
will be required under this contract.

| TYPES OF INSURANCE<br>(per Article 22 in its entirety, including listed paragraph)  | MINIMUM LIMITS AND SPECIAL CONDITIONS   |
|---|---|
| <p>[OTHER] Art. 22.1.9</p> <p><input type="checkbox"/> Railroad Protection Liability Policy</p> <p>(ISO-RIMA or equivalent form) approved by Permittor covering the work to be performed at the designated site and affording protection for damages arising out of bodily injury or death, physical damage to or destruction of property, including damage to the Insured's own property and conforming to the following.</p> <ul style="list-style-type: none"> <li>• Policy Endorsement CG 28 31 - Pollution Exclusion Amendment is required to be endorsed onto the policy when environmental-related work and/or exposures exist.</li> <li>• Indicate the Name and address of the Contractor to perform the work, the Contract No. and the name of the railroad property where the work is being performed and the Agency Permit.</li> <li>• Evidence of Railroad Protection Liability Insurance, must be provided in the form of the Original Policy. <u>A detailed Insurance Binder (ACORD or Manuscript Form) will be accepted pending issuance of the Original Policy, which must be provided within thirty (30) days of the Binder Approval.</u></li> </ul> | <p>\$2,000,000 per Occurrence</p> <p>\$6,000,000 Aggregate</p> <p>Named Insureds:</p> <p>(1)</p> <p>(2)</p> |

**SCHEDULE "A"**  
**(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)**  
**(GENERAL CONDITIONS RELATING TO ARTICLE 22 - INSURANCE)**

**PART I (Continued)**

Insurance indicated by a blackened box (■) or by an X in a box (☒) to left will be required under this contract.

|         |   |   |
|---------|---|---|
| [OTHER] | Art. 22.1.9   |   |
|         | ■ Professional Liability<br><br>(A) The Contractor's Professional Engineer shall maintain and submit evidence of Professional Liability Insurance in the minimum amount of \$1,000,000 per Claim. The policy or policies shall include an endorsement to cover the liability assumed by the Contractor under this Contract arising out of the negligent performance of professional services or caused by an error, omission or negligent act of the Contractor's Professional Engineer or anyone employed by the Contractor's Professional Engineer.<br><br>(B) Claims-made policies will be accepted for Professional Liability Insurance. All such policies shall have an extended reporting period option or automatic coverage of not less than two (2) years. If available as an option, the Contractor's Professional Engineer shall purchase extended reporting period coverage effective on cancellation or termination of such insurance unless a new policy is secured with a retroactive date, including at least the last policy year. |   |
| [OTHER] | Art. 22.1.9   | ■ Engineer's Field Office<br><br><b>Section 6.40, Standard Highway Specifications</b><br><br>Fire insurance, extended coverage and vandalism, malicious mischief and burglary, and theft insurance coverage in the amount of \$40,000.  |
| [OTHER] | Art. 22.1.9   | ■ The Following Additional Insurance Must Be Provided:<br><br><u><b>Umbrella/Excess Liability Insurance</b> - The Contractor shall provide Umbrella/Excess Liability Insurance in the minimum amount of \$10,000,000 per Occurrence and \$10,000,000 in Aggregate. The policy terms and condition should be at least as broad as the underlying policies. The underlying policies should comply with the insurance provision as outlined by the contract. Defense cost should be in addition to the limit of liability. The City of New York Department of Design and Construction should be included as additional insured as respects to the noted project.</u> |

**SCHEDULE "A"**  
**(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)**  
**(GENERAL CONDITIONS RELATING TO ARTICLE 22 - INSURANCE)**

**PART II. BROKER'S CERTIFICATION**

[Pursuant to Article 22.3.1(a) of the **Contract**, every Certificate Of Insurance must be accompanied by either the following certification by the broker setting forth the following text and required information and signatures or complete copies of all policies referenced in the Certificate Of Insurance. In the absence of completed policies, binders are acceptable.]

**CERTIFICATION BY BROKER**

The undersigned insurance broker represents to the City of New York that the attached Certificate Of Insurance is accurate in all material respects, and that the described insurance is effective as of the date of this Certification.

\_\_\_\_\_  
[Name Of Broker (Typewritten)]

\_\_\_\_\_  
[Address Of Broker (Typewritten)]

\_\_\_\_\_  
[Signature Of Authorized Official Or Broker]

\_\_\_\_\_  
[Name And Title Of Authorized Official (Typewritten)]

Sworn to before me this

\_\_\_\_\_ day of \_\_\_\_\_, 200\_\_\_\_\_

\_\_\_\_\_  
NOTARY PUBLIC



**SCHEDULE "A"**  
**(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)**  
**(GENERAL CONDITIONS RELATING TO ARTICLE 22 - INSURANCE)**

**PART III. ADDRESS OF COMMISSIONER**

Wherever reference is made in Article 7 or Article 22 to documents to be sent to the **Commissioner** (e.g., notices, filings, or submissions), such documents shall be sent to the address set forth below or, in the absence of such address, to the **Commissioner's** address as provided elsewhere in this **Contract**.

\_\_\_\_\_  
DDC Director, Insurance Risk Manager

\_\_\_\_\_  
30 - 30 Thomson Avenue, 4th Floor (IDCNY Building)

\_\_\_\_\_  
Long Island City, NY 11101

\_\_\_\_\_

**NO TEXT THIS PAGE**

ATTACH TO CONTRACT DOCUMENTS

THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
INFRASTRUCTURE DIVISION  
BUREAU OF DESIGN

PROJECT ID: SE809

CONSTRUCTION OF SANITARY AND STORM SEWERS AND APPURTENANCES IN N.B.  
WHITESTONE EXPRESSWAY SERVICE ROAD BETWEEN 25<sup>TH</sup> AVENUE AND FLUSHING  
RIVER; ETC.

INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC SIGNAL WORK

Together With All Work Incidental Thereto  
BOROUGH OF QUEENS

ADDENDUM NO. 1

DATED: February 09, 2011

THIS ADDENDUM IS HEREBY MADE A PART OF THE CONTRACT DOCUMENTS

The New York City Department of Transportation Standard Highway Specifications, dated November 1, 2010, (which include, but are not limited to, "General Conditions", "Basic Materials of Construction", "Combined Materials of Construction", "Construction Methods", "Inspection and Testing of Materials, Adjustments for Deficiencies, and Maintenance", and "Supplemental Construction Methods"), as modified by addenda issued prior to the opening of bids, shall apply to and become a part of the contract.

All references contained herein are to the New York City Department of Transportation, Standard Highway Specifications, dated November 1, 2010. The said Specifications are hereby revised. Included hereunder are the following REVISIONS:

1. Amendments to Standard Highway Specifications, Volume I
2. Amendments to Standard Highway Specifications, Volume II

1. AMENDMENTS TO STANDARD HIGHWAY SPECIFICATIONS, VOLUME I

The following amendments to the Contract Requirements shall become a part of and apply to the contract:

**[Added 12-09-2010]**

1. Refer to Page 15, Subsection 1.06.23.(C) CONFORMANCE WITH FEDERAL, STATE AND CITY AGENCIES;

Add the following new paragraphs:

"The Contractor is notified that all vehicles that are owned, leased or operated by the Contractor or its subcontractors and used in connection with the Project shall comply with the following requirement:

Every truck, tractor, and tractor-trailer or semitrailer combination, having a gross vehicle weight rating of twenty-six thousand pounds or more, and a conventional cab configuration in which more than half of the engine length is forward of the foremost point of the windshield base, and the steering wheel hub is in the forward quarter of the vehicle length shall be equipped with a convex mirror on the front of such vehicle or combination of vehicles. Such convex mirror shall be adjusted so as to enable the operator of such vehicle or combination of vehicles to see all points on an imaginary horizontal line which is three feet above the road, is one foot directly forward from the midpoint of the front of such vehicle or combination of vehicles, and extends the full width of the front of such vehicle or combination of vehicles.

Any vehicle that does not comply with this provision may be prohibited from entering the Project site and/or supplying equipment or materials to the Project. The Contractor shall not be entitled to any damages as a result of such prohibition."

**[Added 01-09-2011]**

2. Refer to Page 240, Subsection 4.16.5.(B) STUMP REMOVAL;  
Delete Subsection 4.16.5.(B) STUMP REMOVAL, in its entirety;  
Substitute the following revised Subsection 4.16.5.(B):

**"(B) STUMP REMOVAL**

1. Tree stumps designated to be removed and their roots shall be completely excavated to a minimum depth of three (3) feet below the existing grade. A portable stump cutter may be required in some locations. It may be necessary to remove concrete, asphalt, pavers, and/or other types of material surrounding the base of the stump. All excess debris, including chips from tree stumps, shall be removed and disposed of by the Contractor, away from the site prior to backfilling and the area shall be restored by completion of the workday, to the satisfaction of the Engineer. The disposal of tree stumps by burning in open fires will not be permitted.

2. All voids and excavations left after the removal of the stump and roots shall be backfilled to grade with clean earth fill. Fill shall be placed and compacted to a minimum of 95 percent of Standard Proctor Maximum Density by acceptable methods to the satisfaction of the Engineer. Where paving blocks exist, they are to be reset to the existing grade as directed.

3. Maximum safety and care must be used by Contractor during stump removal. The Contractor shall carefully protect against damage all existing trees, plants, curbs, sidewalks and utilities and other features to remain. The Contractor is responsible for locating and protecting underground utilities from damage during stump removal procedures. During stump grinding operations, plywood must be used to protect adjacent vehicles, real property, and pedestrians. If, when removing stumps, existing sidewalks or curbs are disturbed, the Contractor shall restore and/or reset these sidewalks and curbs, at no additional cost to the City. Restoration work shall be done to match the existing, to the satisfaction of the Engineer. All damaged trees, curbs, sidewalks, real property, vehicles and utilities must be addressed within three (3) days."

02/09/2011

2. AMENDMENTS TO STANDARD HIGHWAY SPECIFICATIONS, VOLUME II

(NO TEXT)

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**ATTACH TO CONTRACT DOCUMENTS**

**THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
INFRASTRUCTURE DIVISION  
BUREAU OF DESIGN**

**PROJECT ID: SE809**

**FOR THE CONSTRUCTION OF SANITARY AND STORM SEWERS AND APPURTENANCES IN N.B. WHITESTONE EXPRESSWAY SERVICE ROAD BETWEEN 25<sup>TH</sup> AVENUE AND FLUSHING RIVER; 144<sup>TH</sup> STREET BETWEEN 22<sup>ND</sup> AVENUE AND 25<sup>TH</sup> AVENUE; 25<sup>TH</sup> AVENUE BETWEEN PARSONS BOULEVARD AND N.B. WHITESTONE EXPRESSWAY SERVICE ROAD; UNION STREET BETWEEN 26<sup>TH</sup> AVENUE AND 25<sup>TH</sup> AVENUE; 25<sup>TH</sup> ROAD BETWEEN UNION STREET AND N.B. WHITESTONE EXPRESSWAY SERVICE ROAD; AND, WILLETS POINT BOULEVARD BETWEEN UNION STREET AND PARSONS BOULEVARD**

**INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC SIGNAL WORK**

**Together With All Work Incidental Thereto**

**BOROUGH OF QUEENS**

**ADDENDUM NO. 2**

**DATED: March 18, 2011**

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**This Addendum is issued for the purpose of amending the requirements of the contract documents and is hereby made part of said contract documents to the same extent as if it was originally included therein.**

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The Standard Sewer Specifications of the Department of Environmental Protection (dated August 1, 2009), Sewer Design Standards of the Department of Environmental Protection (dated (September 2007) Revised January 2009), Standard Water Main Specifications of the Department of Environmental Protection (dated August 1, 2009), Water Main Standard Drawings of the Department of Environmental Protection (latest revisions), and the Standard Highway Specifications (Volumes I and II) of the Department of Transportation (dated November 1, 2010) of The City of New York, shall be included as part of the contract documents. These said specifications and standard drawings are hereby revised under the following section headings:

- A. NOTICE TO BIDDERS
- B. AMENDMENTS TO THE STANDARD HIGHWAY SPECIFICATIONS
- C. AMENDMENTS TO THE STANDARD SEWER SPECIFICATIONS
- D. AMENDMENTS TO THE STANDARD WATER MAIN SPECIFICATIONS

**A. NOTICE TO BIDDERS**

- (1) (A) The Contractor is advised that copies of the Standard Sewer Specifications (dated August 1, 2009), Sewer Design Standards (dated (September 2007) Revised January 2009), Standard Water Main Specifications (dated August 1, 2009), Specifications For Trunk Main Work (dated February 2010) and Water Main Standard Drawings (latest revisions) are available to all prospective bidders at no cost upon presentation of receipt of purchase of Bid Package at the following location:

Department of Design and Construction  
Division of Infrastructure  
Design Services, Specifications, 3<sup>rd</sup> Floor  
30-30 Thomson Avenue  
Long Island City, NY 11101

(B) The Contractor is advised that copies of the Standard Highway Specifications (Volume I and II) (dated November 1, 2010), Standard Highway Details of Construction (latest revisions), Division of Street Lighting Specifications (latest revisions), Division of Street Lighting Standard Drawings (latest revisions), Standard Specifications for Traffic Signals (latest revisions), and Standard Drawings for Traffic Signals (latest revisions) are available to all prospective bidders for a fee at the following location:

Department of Transportation  
55 Water Street, Ground Floor  
New York City, NY 10041

- (2) The Contractor is notified that it is the intent of this Agency to commence work promptly after registration of the contract and to order the Contractor to commence work within two (2) months after registration.
- (3) The Contractor shall furnish, install, maintain and subsequently remove temporary Protective Tree Barriers. Protective Tree Barriers shall be Type B, unless otherwise directed by the Engineer, and shall be constructed and installed as shown on the Protective Tree Barrier sketch in Addendum No. 1, as directed by the Engineer, and in accordance with Department of Parks and Recreation requirements.
- (4) All utility locations and invert elevations are not guaranteed, nor is there any guarantee that all existing utilities, whether functional or abandoned within the project area are shown.
- (5) All existing house connections shall be maintained and supported during construction. The Contractor shall replace any existing house connection damaged as a result of the Contractor's construction operations as ordered by the Engineer at no cost to the City.
- (6) The Contractor is advised that any City owned light poles, traffic signals, traffic signs and encumbrances including but not limited to underground conduit displaced as the result of the installation of the new sewers, water mains, catch basins, catch basin connections and appurtenances shall be replaced in kind and as directed by the Engineer. The cost of such work shall be deemed included in the prices bid for all items of work under this contract.
- (7) The Contractor is responsible for any damage to the existing street and traffic signal equipment, including underground conduits and the safety of both pedestrian and vehicular traffic for the duration of the contract.

Should any conduits, cables or foundations need repair due to the Contractor's negligent operations during construction, all work shall be performed according to NYCDOT Bureau of Traffic's Standard Drawings and Specifications at the sole expense of the Contractor.



It is the Contractor's responsibility to secure an approved electrical contractor to perform all traffic signal work (if any). For list of approved electrical contractors, contact Mr. Michael R. LeFosse of New York City Department of Transportation at (718) 786-2236.

- (8) All existing House Connections shall be maintained and supported during construction. The Contractor shall replace any existing house connections damaged as a result of the Contractor's construction operations at no cost to the City. The cost to reconnect existing House Connection Drains shall include all excavation inside and outside of the trench. The cost shall be deemed included in the price bid for Item No. 52.41V06R - 6" E.S.V.P. HOUSE CONNECTION DRAIN ON CONCRETE CRADLE (RECONNECTION) and Item No. 52.41V08R - 8" E.S.V.P. HOUSE CONNECTION DRAIN ON CONCRETE CRADLE (RECONNECTION).
- (9) The Contractor is advised of an existing Green Street at the intersection of 137<sup>th</sup> Avenue, 29<sup>th</sup> Road and the N.B. Whitestone Expressway. Should access to this area be required, the Contractor must obtain a NYC Department of Parks and Recreation Construction Permit. Also, any work performed in or around trees located in the right-of-way or on park property will require a Forestry Permit. It shall be the Contractor's responsibility to obtain such permit(s) (if required). No work shall commence until such permit(s) has been obtained for this project. No additional or separate payment shall be made for any work required to obtain and update such permit(s) or complying with the requirements of such permit(s), the cost shall be deemed included in the prices bid for all contract items of work.
- (10) Prior to the start of construction the Contractor shall contact NYSDOT to obtain the necessary permits and as-built information. No additional or separate payment shall be made for any work required to obtain such information and obtain and update such permit(s) or complying with the requirements of such permit(s), the cost shall be deemed included in the prices bid for all contract items of work.
- (11) The Contractor is advised that the Department of Design and Construction has contacted The United States Army Corps of Engineers pertaining to required permits needed to perform the proposed modification work on the specified outfall. No work shall commence until the above-mentioned permits have been obtained for this project by the City. It is the Contractor's responsibility to comply with the permit requirements.
- (12) The Contractor is advised that the Department of Design and Construction is in the process of filing permit application with the New York State Department of Environmental Conservation (NYSDEC), under the Environmental Conservation Law, Article 25 Tidal Wetlands. No work shall commence until the above-mentioned permit has been obtained for this project by the NYCDDC.
- (13) The Contractor is advised that the Department of Design and Construction is in the process of filing permit application with the New York State Department of State (NYSDOS); Coastal Management Program Consistency Determination. No work shall commence until the above-mentioned permit has been obtained for this project by the City. It is the Contractor's responsibility to comply with the permit requirements.
- (14) The Contractor is advised that the Department of Design and Construction is in the process of filing permit application with the New York State Department of City Planning (NYSDCP); Water Revitalization Program, Consistency Determination. No work shall commence until the above-mentioned permit has been obtained for this project by the City. It is the Contractor's responsibility to comply with the permit requirements.

**B. AMENDMENTS TO THE STANDARD HIGHWAY SPECIFICATIONS**

- (1) Refer to Standard Highway Specifications Volume II (November 1, 2010), Page 360:  
Add the following new **Section 6.34 A**:

**SECTION 6.34 A - Temporary Chain Link Fence, 6'-0" High**

**6.34A.1. DESCRIPTION.** Under this section, the Contractor shall furnish, erect, maintain, and remove, when directed, each type of Temporary Chain Link Fence as shown on the contract drawings and directed by the Engineer.

**6.34A.2. MATERIALS AND METHODS.** All materials and methods shall be as specified in **Section 6.34** of the Standard Highway Specifications, with the following modifications and additions:

Temporary Chain Link Fence to be furnished under Item No. 6.34 ACT - TEMPORARY CHAIN LINK FENCE, 6'-0" HIGH, shall consist of chain link fence fabric, top and bottom tension wires, gates, posts to be embedded in the pavement, and all necessary incidentals in accordance with the contract drawings and the directions of the Engineer.

Temporary Chain Link Fence to be furnished under Item No. 6.34 ACTP - TEMPORARY CHAIN LINK FENCE, 6'-0" HIGH, (WITH TOP AND BOTTOM RAILS AND POSTS MOUNTED ON STEEL PLATES), shall consist of chain link fence fabric, top and bottom rails for mounting a decorative mesh (to be furnished under Item No. 9.06 HW), gates, and posts. Posts shall be mounted on two (2) feet square steel plates with a vertical pin not less than two (2) feet high welded to the center of the plate, all as approved by the Engineer. The Contractor shall also be required to secure the fence with sand bags to hold fence in place, and all necessary incidental in accordance with the contract drawings and the directions of the Engineer.

When directed by the Engineer, the Contractor shall remove and dispose of the temporary chain link fence to the satisfaction of the Engineer. The Contractor shall then fill any holes left in the pavement with compacted clean sand to grade.

**6.34A.3. MEASUREMENT.** The quantities of Temporary Chain Link Fence to be measured for payment shall be the number of linear feet of each type satisfactorily installed, complete, measured in place, from center to center of end posts.

Payment will be made for each type of Temporary Chain Link Fence only for the initial installation at any location. Whenever temporary chain link fence are moved to a new location, as required by the plans or directed by the Engineer, payment will be made in the same manner as if it were an initial installation. Minor movement of temporary chain link fence from one side of the roadway to the other side, or rearrangement within a work area will not be considered as a movement to a new location and will not entitle the Contractor to additional payment.

No payment will be made: for movements of each type of temporary chain link fence made for the Contractor's convenience; for movement of temporary chain link fence at a given location at the end of a work period and subsequent replacement at the same location at the beginning of the next work period; for movement of temporary chain link fence at a given location during a work period and subsequent replacement at the same location during the same work period; or for the interchanging of temporary chain link fence between initial installations.

**6.34A.4. PRICES TO COVER.** The contract prices bid per linear foot for each type of "TEMPORARY CHAIN LINK FENCE" shall be a unit price per linear foot and shall cover the cost of all labor, materials, plant, equipment, insurance, and necessary incidentals required to furnish, install, maintain, and remove temporary chain link fence; all in accordance with the contract drawings, the specifications and the directions of the Engineer. Temporary chain link fence shall also include, but not limited to, any gates as may be required.

Payment will be made under:

| Item No.  | Item Description  | Pay Unit |
|-----------|---|----------|
| 6.34 ACT  | TEMPORARY CHAIN LINK FENCE, 6'-0" HIGH  | L.F.     |
| 6.34 ACTP | TEMPORARY CHAIN LINK FENCE, 6'-0" HIGH, (WITH TOP AND BOTTOM RAILS AND POSTS MOUNTED ON STEEL PLATES) | L.F.     |

- (2) **Refer** to Standard Highway Specifications Volume II (November 1, 2010), Page 360:  
**Add** the following new **Section 6.34 CD**:

**SECTION 6.34 CD - Chain Link Fence With Tension Wires, 8'-0" High**

**6.34CD.1. DESCRIPTION.** Under this section, the Contractor shall furnish and erect new chain link fence, posts, gates, coil wire and all necessary incidentals in accordance with the contract drawings, the specifications and the directions of the Engineer.

**6.34CD.2. MATERIALS AND METHODS.** All materials and methods shall comply with the requirements of the New York City Department of Transportation's Standard Highway Specifications, **Subsections 6.34.2 and 6.34.3**, except that the chain link fence shall be 8'-0" high with top and bottom tension wires as per the Standard Details of Construction Standard Drawing No. H-1009.

**6.34CD.3. MEASUREMENT.** The quantities of Chain Link Fence with Tension Wires, 8'-0" High, to be measured for payment shall be the number of linear feet of fence satisfactorily installed, complete, measured in place, from center to center of end posts.

**6.34CD.4. PRICES TO COVER.** The price bid for Chain Link Fence with Tension Wires, 8'-0" High, shall be a unit price per linear foot of fence and shall cover the cost of all labor, materials, plant, equipment, insurance, and necessary incidentals required to furnish and install new posts, chain link fabric, top and bottom coil wires, and all fittings and hardware necessary to erect the chain link fence with a gate, where directed, complete in place, including excavation, backfilling and concrete footings where necessary; furnish samples for testing, as may be required; and completing the work; all in accordance with the contract drawings, the specifications and the directions of the Engineer.

Payment will be made under:

| Item No. | Item Description                                | Pay Unit |
|----------|---|----------|
| 6.34 CD  | CHAIN LINK FENCE WITH TENSION WIRES, 8'-0" HIGH | L.F.     |

- (3) **Refer** to Standard Highway Specifications Volume II (November 1, 2010), Page 370:  
**Add** the following new **Section 6.40 DC**:

**SECTION 6.40 DC - Engineer's Field Office With Conference Room**

**6.40DC.1. DESCRIPTION.** The Contractor shall provide, furnish and maintain a fully equipped field office (Type D) and conference room for the exclusive use of and occupancy by the Department's engineering personnel and/or Supervising Consultant (herein after called "City personnel"). The field office shall be at a location approved by the Engineer and shall be a commercial building, store front, or with the approval of both Office of Construction Mitigation and Coordination (OCMC) and the Community Board it may be a mobile trailer(s). If a trailer is used it shall be subject to approval by the Engineer, and all necessary permits shall be obtained by the Contractor. The Contractor may have facilities in an adjoining area separated by a lockable door, provided such facilities are in a location approved by the Engineer.

The field office structure and occupancy thereof shall conform to the requirements of all laws, rules, regulations and orders applicable to it.

The field office and all equipment, except as otherwise specified, may be new materials or may be used materials in good condition and satisfactory to the Engineer.

**6.40DC.2. MATERIALS AND METHODS.** All materials and methods shall comply with the requirements of **Subsections 6.40.2., 6.40.3. and 6.40.4.** of the Standard Highway Specifications, for a Type D Field Office, expect for the following modifications:

Minimum Floor space (Square Feet) shall be 2,320-square feet of which 320-square feet shall be a 20' x 16' conference room. The conference room shall be equipped with two 3' x 6-1/2' tables and 30 chairs.

The "One (1) 600 DPI HP Laser Jet Printer (twelve (12) pages per minute or faster) with one (1) Extra Paper Tray (Legal Size)" specified in **Subsection 6.40.2.** shall be changed to "One (1) 600 DPI HP Laser Jet all-in-one Printer/Scanner/Copier (twelve (12) pages per minute or faster) with one (1) Extra Paper Tray (Legal Size)"

**6.40DC.3. MEASUREMENT.** The quantity to be measured for payment under this item shall be the number of months that the Field Office is available for occupancy by the Field Engineers during the period of the contract. Payment will begin the first month that the office is fully equipped, serviced as specified, and made available for occupancy. Monthly payments will continue for the duration of the contract with the approval of the Assistant Commissioner of Construction. When directed in writing by the Assistant Commissioner of Construction, payment for each month's occupancy after the date of acceptance will be made as part of the final estimate. Monthly payments may be terminated on a specified date prior to acceptance of the contract by written notification by the Engineer that such office will no longer be required on the contract.

**6.40DC.4. PRICE TO COVER.** The unit price bid per month for Engineer's Field Office shall include the cost of furnishing all labor, materials, equipment, ground rental, fire and theft insurance, and utility charges necessary to complete the work of providing or constructing the field office; making all necessary electrical, water, sewer, and other connections required to make the above facilities operative; payment of all rental costs; furnishing and paying for heating fuel, as required; all electrical energy; private telephone services; staples, as specified; and all necessary incidentals to complete the work all in accordance with the specifications and the directions of the Engineer.

No payment will be made under Engineer's Field Office for each calendar day during which there are deficiencies in compliance with the requirements of any subsection of this specification. The first calendar day shall commence twenty-four (24) hours after notice to the Contractor of such a deficiency. This non-payment shall be deducted from the Contractor's next estimate as a charge to Contractor on the item. The amount of such calendar day non-payment will be determined by dividing the unit price bid per month by 30.

In addition, the Contractor may be subject to liquidated damages in accordance with Schedule "A".

*Payment will be made under:*

| Item No. | Item Description                             | Pay Unit |
|----------|--|----------|
| 6.40 DC  | ENGINEER'S FIELD OFFICE WITH CONFERENCE ROOM | MONTH    |

- (4) **Refer** to Standard Highway Specifications Volume II (November 1, 2010), Page 568:  
**Add** the following new **Section T-60000B:**

**SECTION T-60000B - Cable Specification For 120-Volt Power Supply**

**1. Cable Name**

This cable shall be called 2c-10B (breakdown 2c-10B = 2 number 10awg conductors with a third wire for grounding.)

**2. Intended Use**

This cable is to be used for 12- volt power systems in NYC.

The cable shall be rated for 600-volts and have a polyethylene - insulated, jacketed cable for use in under ground conduit or for aerial use (must have strain reliefs built in for aerial use without a messenger cable). The outer jacket shall be a black in color and be UV resistant and made to endure extreme outdoor usage unprotected without deterioration of insulation or outer jacket fading. This cable shall be rated for direct burial with out conduit covering or other protection.

**3. Construction**

The conductors shall be round annealed copper conforming to ASTM B3.

This cable shall be a 3-conductor type with two (2) number (10) ten AWG wire size conductors one white solid wire and one black solid wire with the third conductor number (8) eight AWG bare stranded wire.

**4. Insulation**

The insulation shall be a heat-stabilized polyethylene conforming to the requirements of ASTM D1248 for type 1, category 5, grade E4 materials. This insulation shall be concentrically applied about the conductor. This installation shall meet the following requirements when tested in accordance with the procedures of ASTM D2633 and D1351.

This cable shall meet all other requirements as listed in the NYCDOT traffic specifications latest edition.

*Payment will be made under:*

| Item No. | Item Description  | Pay Unit |
|----------|---|----------|
| T-60000B | FURNISH 2 c # 10B (SEE SPEC) (BREAKDOWN = 2#10 WITH 3RD WIRE FOR GROUNDING) | L.F.     |

### C. AMENDMENTS TO THE STANDARD SEWER SPECIFICATIONS

- (1) **Refer** to Subsection 1.06.14 - Notice To Utility Companies, Etc., To Remove Structures Occupying Place Of Sewers, Water Mains Or Appurtenances, Page I-10:

**Add** the following to Subsection 1.06.14:

- (1) CONSOLIDATED EDISON COMPANY OF NEW YORK (CON EDISON)

There are CON EDISON facilities in the area of construction. The Contractor shall notify CON EDISON at least seventy-two (72) hours prior to the start of construction by contacting Mr. Anthony Ianni at (718) 275-2435.

- (2) VERIZON

There are VERIZON facilities in the area of construction. The Contractor shall notify VERIZON at least seventy-two (72) hours prior to the start of construction by contacting Mr. Roberto Laborde at (718) 977-8136.

- (3) TIME WARNER CABLE OF NEW YORK CITY

There are TIME WARNER CABLE facilities in the area of construction. The Contractor shall notify TIME WARNER CABLE at least seventy-two (72) hours prior to the start of construction by contacting Mr. John Piazza at (718) 888-4261.

- (2) **Refer** to Subsection 1.06.20 - Contractor To Notify City Departments, Page I-12:

**Add** the following to Subsection 1.06.20:

- (1) N.Y.C. D.E.P., BUREAU OF WATER AND SEWERS OPERATIONS

The Contractor shall notify Mr. James Garin, P.E., Acting Director, Engineering at the Department of Environmental Protection, 59-17 Junction Blvd., 3rd floor low rise, Corona N.Y. 11368, at least thirty (30) days prior to the start of construction.

- (2) NEW YORK CITY FIRE DEPARTMENT

The Contractor shall notify the Bureau of Fire Communications at least thirty (30) days prior to the start of construction by contacting Mr. Edward Durkin or Mr. Nick Varone at (212) 374-8194.

- (3) N.Y.C. DEPARTMENT OF TRANSPORTATION

The Contractor shall notify Mr. Steve Galgano, P.E. Chief of Signal/Street Lighting Operations, 34-02 Queens Blvd., Long Island City, N.Y. 11101 at (718) 786-3550, at least seventy-two (72) hours prior to the start of construction.

- (4) N.Y.C. DEPARTMENT OF PARKS AND RECREATION

The Contractor shall notify the Parks Department at least seventy-two (72) hours prior to the start of construction by contacting Mr. James Cruickshank at (718) 965-7765.

- (5) N.Y.S. DEPARTMENT OF TRANSPORTATION

The Contractor shall notify the NYSDOT at least thirty (30) days prior to the start of construction by contacting Mr. Leonid Bruk at (718) 482-4516.

- (3) **Refer** to Subsection 1.06.29 - Contractor To Provide For Traffic, Page I-15:

**Add** the following to **Subsection 1.06.29**:

(1) **Traffic Stipulations**:

The Contractor shall refer to the Traffic Stipulations (three (3) pages) that are attached to the end of this addendum, and as directed by the Engineer.

(4) **Refer to Section 1.08 - Miscellaneous Provisions**, Page I-20:

**Add** the following new **Subsection 1.08.7**:

**1.08.7 SUBMITTAL OF SCHEDULE LOG**

The Contractor's attention is directed to **Article 9 - Progress Schedule** of the Contract. The Contractor shall submit along with the proposed progress schedule the following: A schedule log in Excel Format (tied to the proposed progress schedule) indicating a description of and the schedule submission dates for all required submittals, shop drawings, approval requests, design mixes, reports, samples, etc., as required by the specifications and the terms of the contract.

(5) **Refer to Section 2.05 - Precast Reinforced Concrete Pipe, Subsection 2.05.4 - Materials, Workmanship And Finish**, Page II-10:

**Delete** from **Subsection 2.05.4**, paragraph (A) CONCRETE in its entirety:

**Substitute** the following:

(A) CONCRETE - The Concrete shall comply with the requirements of **General Specification 11 - Concrete, as modified in Section 2.15**, and be a homogeneous mixture of such proportions and quality that the pipe will conform to the design and test requirements of these specifications.

(6) **Refer to Section 2.15 - Concrete, Subsection 2.15.3 - Modifications**, Page II-23:

**Delete** from **Subsection 2.15.3, Reference Number D 3.2.1** together with its paragraphs in their entirety:

**Substitute** the following:

D 3.2.1 **DELETE** 3.2.1 to 3.2.9 of GS11 and **SUBSTITUTE** the following:

All concrete mix designs shall be subject to approval by DDC's Quality Assurance and Construction Safety (QACS) Bureau and in accordance with their "MIX DESIGN, LABORATORY AND PLANT APPROVAL PROTOCOL". Copies of this protocol may be obtained at the preconstruction meeting or from the Engineer. Before the Contractor begins to manufacture concrete, the Contractor shall secure DDC's QACS approval of the mix design the Contractor proposes to use.

The Contractor shall submit for this purpose a statement, in writing, of the sources of all ingredient materials, the type and brand of the cement and the number of pounds of each of the materials in a saturated surface-dry condition making up one (1) cubic yard of concrete. The range of water-cement ratios within which the concrete will be manufactured and the method of mixing to be employed shall also be stated. The mix design submittal shall include gradation of aggregates, specific gravities of ingredients, unit weight, mix proportion for each batch (a minimum of four (4) batches except in case of precast plants where one specific mix may be proposed), compressive strength test results for each mix at 7-days, 28-days (high-early strength mixes may require 6-hours, 24-hours, 3-days and shrinkage test as per the requirements), and graphical representation of strength vs. W/C projected in hours/days.

The Contractor may submit for approval concrete mixes that (within one (1) year of the contract) have been previously approved and used on other jobs with any Bureau of the

Department of Environmental Protection or the Department of Design and Construction. Such submittals shall contain evidence that the concrete mix was approved within one (1) year of this contract and shall show that the concrete will be produced at the same mix plant, that the cement and admixtures are the same type (though not necessarily the same brand), that the water/cement ratio is the same and that adjustments have been made in the mix for air content, specific gravity and gradation of the aggregates.

If the Contractor elects to submit a concrete mix that was not previously approved, the Contractor shall submit the new concrete mix in accordance with Chapters 2 and 3 of General Specification 11 as modified herein.

- (7) **Refer to Section 2.15 - Concrete, Subsection 2.15.3 - Modifications, Page II-26;**  
**Add to Subsection 2.15.3, before Reference Number D 8.2 the following:**

D 7.3.3 **ADD** the following to Subsection 7.3.3 of GS11:

Each Portland cement concrete batching plant shall be subject to approval by DDC's Quality Assurance and Construction Safety (QACS) Bureau and in accordance with their "MIX DESIGN, LABORATORY AND PLANT APPROVAL PROTOCOL". Copies of this protocol may be obtained at the preconstruction meeting or from the Engineer. The minimum requirement for approval is that the proposed Portland cement concrete batching plant must be on the New York State Department of Transportation (NYSDOT) approved list for the current construction season.

The minimum requirement for approval of a precast concrete plant is that the proposed plant must be on the NYSDOT approved list. A waiver for this requirement may be granted by the DDC's Quality Assurance and Construction Safety (QACS) Director for special products that no NYSDOT approved plant is capable of producing.

Each Portland cement concrete batching plant shall also be subject to auditing and approval of the DDC's Director of Quality Assurance and Construction Safety (QACS). The Director of QACS may at any time discontinue the use of any previously approved equipment if nonconformance with the specifications results during the progress of the work. When the Director of QACS discontinues the use of the plant, production will not be acceptable for Department work until corrective measures satisfactory to the Director are carried out.

- (8) **Refer to Section 2.15 - Concrete, Subsection 2.15.3 - Modifications, Page II-26;**  
**Delete from Subsection 2.15.3, Reference Number D 16.3 together with its paragraphs in their entirety;**  
**Substitute** the following:

D 16.3 Testing Service - **ADD** the following:

The Contractor shall retain the services of an independent testing laboratory to provide for the services outlined in 16.3.1.4 to 16.3.1.11 of GS11, with the exception of those tests specified herein to be performed by the Engineer and the City Retained Laboratory.

All laboratories shall be subject to approval by DDC's Quality Assurance and Construction Safety (QACS) Bureau and in accordance with their "MIX DESIGN, LABORATORY AND PLANT APPROVAL PROTOCOL". Copies of this protocol may be obtained at the preconstruction meeting or from the Engineer. The minimum requirement for approval is that the laboratory must have the current AMRL/AASHTO R-18 accreditation in the category of service proposed and must be currently licensed by the NYC Department of Buildings (DOB).



- (9) Refer to Section 2.15 - Concrete, Subsection 2.15.3 - Modifications, Page II-26:  
Delete from Subsection 2.15.3, Reference Number D 16.8 together with its paragraphs in their entirety:

Substitute the following:

- D 16.8 Responsibilities and Duties of Contractor - ADD the following:

The Contractor may, if the Contractor so desires, take cylinders corresponding to those taken by the Engineer for the City Retained Laboratory. However, determination of payment will be based solely on the cylinders taken by the Engineer for the City Retained Laboratory.

#### CONCRETE TEST CYLINDERS

The Contractor will be responsible for safe delivery of concrete cylinders to the Department of Design and Construction Laboratory, within two (2) days after molding, where they will be properly stored and cured until the date of test, and tested by others, upon removal from the curing room. The Department of Design and Construction testing laboratory will provide the services for the curing and breaking of the test cylinders.

The Contractor shall provide empty cylinder molds and facilities for the proper care of these cylinders while on the site, and shall safeguard them against injury and protect them from the elements.

The Engineer will be responsible for the preparation, documentation and labeling of the cylinders and for notifying the Contractor, at least twenty-four (24) hours in advance, when a shipment of cylinders is ready for delivery, so that cylinders can be tested for the standard twenty-eight (28) day and seven (7) day tests. Cylinders are to be delivered by the Contractor to a designated area near 30-30 Thomson Avenue, Long Island City, New York, or where otherwise directed within the City of New York.

The Contractor shall make arrangements to protect all cylinders from damage during loading, transport to, and unloading at a Department of Design and Construction designated testing laboratory, and shall obtain a receipt for delivered cylinders, which shall be submitted to the Engineer.

- (10) Refer to Subsection 4.02.15 - Disposal Of Water From Trenches, Page IV-8:

Add the following to Subsection 4.02.15:

(A) The Department of Design and Construction has not filed application for Dewatering Permit with the New York State Department of Conservation (NYSDEC), under the Environmental Conservation Law, Article 15, for a Temporary Well Point System Permit Application. However, it is anticipated that the criteria for rate of pumping specified herebefore in this section will be exceeded in areas of construction; the Contractor shall be responsible for applying and obtaining the necessary dewatering permit prior to the dewatering of trenches within the scope of this project.

As part of the permit application the Contractor will be required to comply with all the requirements of Section 4.14 of this addendum.

Copies of all materials submitted to NYSDEC shall be sent to the New York City Department of Design and Construction (NYCDDC), Infrastructure/Design.

The following minimum requirements set forth by the New York Department of Environmental Conservation shall be complied with prior to the start of work in areas of construction requiring dewatering permit:

- (1) An analysis must be made of water samples taken from the results are to be submitted to the Regional Permit Administrator. An analysis shall be made for BOD, salinity, oil, and grease. The samples shall be analyzed by a laboratory certified by the New York State Health Department and the results are to be submitted directed to the New York State Department of Environmental Conservation by the laboratory.
- (2) Prior to setting any wells, wellpoints or header pipes, the Contractor shall submit to the NYSDEC a layout of the complete dewatering system including the location of the discharge point. When permitted by the NYSDEC, discharge of groundwater on the beach areas shall be done in such a manner as to eliminate any erosion or siltation and will require the installation of splash blocks and/or settling basins.

The Contractor is advised that all work required in obtaining a permit, must be submitted to, and approved by the NYSDEC prior to the commencement of any work in areas of construction requiring dewatering permit. No payment for any item of work will be made, and no shop drawing shall be approved for the areas of construction until such time that a written approval is obtained from the NYSDEC.

(B) The Contractor is advised that all work shall be governed by the provisions and requirements of the obtained permit, and their said provisions and requirements shall be made a part of the contract and the Contractor shall be responsible for strict adherence thereto.

The cost of all work required for applying, complying and obtaining required dewatering permits including the cost for any required updating of permits shall be deemed included in the prices bid for all item of this contract. No additional or separate payment will be made for any work required in order to comply with these requirements.

(11) Refer to Section 4.06 - Backfilling, Subsection 4.06.3 - Method Of Depositing All Backfill, Page IV-18:

(A) Add the following paragraph to beginning of **Subsection 4.06.3:**

At the preconstruction meeting, the Contractor shall submit for approval a full description of the Contractor's proposed methods to be used for all backfilling operations including, but not limited to, equipment, backfill material, depth of compaction layers, and trench locations where each is to be employed. In the field, the Contractor shall be required to demonstrate that the Contractor's methods of backfilling and compaction shall obtain a minimum of ninety-five (95) percent of Standard Proctor Maximum Dry Density.

(B) Delete from **Subsection 4.06.3**, the fourth paragraph in its entirety:  
Substitute the following:

Unless otherwise approved in writing by the Engineer, backfilling of the remainder of the trenches and excavations from a point not less than two (2) feet above the top of the sewer conduit (i.e. sewer pipes on cradles or encasements, reinforced concrete sewers, basin and house connections, culverts, etc.) to the underside of the pavement shall be progressively deposited in uniform and successive horizontal layers not exceeding twelve (12) inches in depth for the entire width of the trench or excavation and each successive layer shall be solidly compacted by mechanical tamping or other approved means so as to achieve the required density. In deep trenches defined as those requiring sheeting, the Contractor may submit to the Engineer, for approval, an alternate backfill method (i.e. jetting, deeper deposited layers not exceeding twenty-four (24) inches, etc.) for depositing and compacting the backfill from two (2) feet above the top of the sewer conduit to a plane five (5) feet below final surface elevation. However, approval of any alternate backfill method shall not relieve the Contractor from obtaining a minimum of ninety-five (95) percent of Standard Proctor Maximum Dry Density. Should the Engineer determine that the specified density is not being obtained, the area must be re-excavated and backfilled at the Contractor's own cost until the required compaction density is achieved.

(C) Delete from **Subsection 4.06.3**, the seventh paragraph in its entirety.  
Substitute the following:

Backfill shall proceed simultaneously with the withdrawal of sheeting but at no time shall the withdrawal of sheeting exceed a height of six (6) inches above the deposited backfill. Withdrawal of sheeting below levels previously backfilled and compacted is prohibited.

(12) Refer to Page IV-31:

Add the following new **Section 4.14**:

#### SECTION 4.14 DEWATERING PERMITS

##### 4.14.1 DESCRIPTION

Under this contract, and at locations where groundwater will be present in the trench, the Contractor is required to install, maintain and operate a temporary dewatering system of sufficient size and capacity to control ground and surface water flow into the excavation and to allow all work to be accomplished in the "dry condition".

The Contractor shall be required to obtain the following permits in order to operate a temporary dewatering system.

- (A) A Dewatering/Discharge Permit from the New York City Department of Environmental Protection (NYCDEP);
- (B) A Long Island Well Permit from the New York State Department of Environmental Conservation (NYSDEC), under the Environmental Conservation Law (ECL), Title 15 of Article 15, implemented by 6NYCRR Parts 601 and 602. This permit is required only in the Boroughs of Brooklyn and Queens to withdraw water using a well point or deep well system where the total capacity of such well or wells is in excess of 45-gallons per minute (or 64,800-gallons per day); and,
- (C) An Industrial State Pollutant Discharge Elimination System (SPDES) or a Non-Jurisdictional Determination Letter in compliance with Title 8 and 7 of Article 17 of the Environmental Conservation Law of New York State, respectively.

The Department of Design and Construction has not filed application for (B) above. It shall be the Contractor's responsibility to obtain the said permit.

The Contractor is advised that the provisions and requirements of the aforementioned permits shall govern all work, and the said provisions and requirements are hereby made a part of the sewer contract and the Contractor shall be responsible for strict adherence thereto.

No dewatering work shall commence until the above-mentioned Permits have been obtained for this project.

The Contractor is advised that in order to comply with all the permits requirements, the Contractor will be required to submit maps, test data, etc. prior to the start of work. In order to expedite the processing of the permit and its requirements, the Contractor shall be required to obtain the services of an independent Environmental Scientist as herein described below in **Subsection 4.14.2** to perform this work and act as liaison with NYSDEC and NYCDEP.

##### 4.14.2 QUALIFICATIONS

The Environmental Scientist utilized to perform the work required under this section must have a minimum of three (3) years experience in work of this nature (obtaining Long Island Well Permit/Dewatering Permit) and must have previous experience in working with the NYSDEC and the NYCDEP, designing equivalent dewatering systems, and have successfully obtained the type of permits required under this contract. Prior to the start of work, the Contractor will be required to submit the name and resume of the Environmental Scientist for approval.

**4.14.3 NYSDEC DEWATERING PERMITS**

The dewatering system shall be designed by the Environmental Scientist using accepted and professional methods of design and engineering consistent with the best modern practices.

The material to be submitted shall include, but not be limited to the following:

- (1) Site Plan - Scaled, showing construction activity (e.g. excavation, pathway of the pipe, new outfalls, etc.) locations of well points, header pipes and pumps, and all staging and storage areas.

Also included herein shall be a layout of the complete dewatering system including the location of the discharge point. When permitted by the NYSDEC, discharge of groundwater on beach areas shall be done in such a manner as to prevent any erosion or siltation and will require the design and installation of splash blocks and/or settling basins.

- (2) Dewatering System Specifications:

- |                               |                             |
|-------------------------------|-----------------------------|
| (a) Number of Well Points     | (h) Total Volume Pumped     |
| (b) Diameter of Well Points   | (i) Number of Pumps         |
| (c) Spacing of Well Points    | (j) Capacity of Pumps       |
| (d) Length to Screen          | (k) Duration of Pumping     |
| (e) Depth to Bottom of Screen | (l) Initial and Average GPM |
| (f) Static Water Level        | (m) Estimated Daily Pumpage |
| (g) Drawdown Required         | (n) Flow Meter              |

- (3) Cross Section - Scaled, showing well points, riser, header, annular material (if used) and other equipment associated with each point. A typical construction style drawing may be utilized. Should the Contractor be permitted to use a deep well system, all information regarding it must be submitted.

- (4) Drawdown Contour Map - Based upon a review of the surrounding area affected by the dewatering and upon boring within the project area and characteristics of the soils, the depth and pumping rate of dewatering system and the duration of the pumping, the Environmental Scientist shall submit both a narrative and diagram showing the anticipated maximum cone of depression which shall be shown from both above and in cross section on scaled diagrams. Contour lines on diagrams shall be labeled to show depth from land surface.

- (5) Description of Site and Adjacent Areas - A short narrative shall be prepared describing the land use in the area paying attention to any potential sources of groundwater contamination that may migrate into the well's cone of depression, such as gas stations, chemical plants, wrecking yards, sanitary landfills, etc. Latest map of the area shall be included in the narrative.

- (6) Groundwater Analysis - The Environmental Scientist shall develop and submit a sampling and analysis program subject to NYSDEC Approval (a minimum of one groundwater sample from a site well shall be collected and analyzed). A laboratory certified by the New York State Health Department shall analyze the samples. The sampling and analysis program must include but is not limited to the following:

**NYSDEC REGION 2 - DEWATERING PROJECTS SAMPLING INFORMATION**

| NO. | PARAMETERS | TYPE | EPA METHOD | DETECTION |
|-----|------------|------|------------|-----------|
| 1   | pH         | Grab | 150.1      | EPA min   |

|    |                             |      |                     |              |
|----|-----------------------------|------|---------------------|--------------|
| 2  | Temperature                 | °F   | After Pumping       | EPA min      |
| 3  | Fecal Coliform              | Grab | 5-Tubes/3-Dilutions | 2-MPN/100-ml |
| 4  | Oil & Grease                | Grab | 413.1               | EPA min      |
| 5  | BOD <sub>5</sub>            | Grab | 405.1               | EPA min      |
| 6  | Total Suspended Solids      | Grab | 160.2               | EPA min      |
| 7  | Settleable Solids           | Grab | 160.5               | EPA min      |
| 8  | Chlorides                   | Grab | 325.1-325.3         | EPA min      |
| 9  | Benzene                     | Grab | 602                 | EPA min      |
| 10 | Toluene                     | Grab | 602                 | EPA min      |
| 11 | Xylenes                     | Grab | 602                 | EPA min      |
| 12 | Ethylbenzene                | Grab | 602                 | EPA min      |
| 13 | PCB's                       | Grab | 608                 | (See Note 1) |
| 14 | Pesticides                  | Grab | 608                 | EPA min      |
| 15 | 13 Priority Metals          | Grab | 200 series          | EPA min      |
| 16 | Acids Base/Neutrals         | Grab | 625-GC/MS           | EPA min      |
| 17 | Halogenated Volatiles       | Grab | 601-GC              | EPA min      |
| 18 | Nitrate/Nitrite             | Grab | 300 or 353.3        | EPA min      |
| 19 | Aromatic Volatiles          | Grab | 602-GC              | EPA min      |
| 20 | Cyanide (total or amenable) | Grab | 335.1/335.2         | EPA min      |

**NOTE:**

- (1) List each individual aroclor found and report the concentration of each aroclor tested. Use the N.Y.S. detection limit, which is 0.065-µg/l.

Small dewatering projects with a total estimated pumped volume up to 15-Million Gallons (MG) require sampling analysis for parameters No.'s 1 through 12.

Medium dewatering projects with a total estimated pumped volume between 15-MG and 60-MG require sampling analysis for parameters No.'s 1 through 14.

Large dewatering projects with a total estimated pumped volume greater than 60-MG require sampling analysis for parameters No.'s 1 through 20.

Samples are to be collected after development of the well by a licensed well driller.

A laboratory certified by the NYS Department of Health must conduct all testing.

Irrespective of the aforementioned sampling requirements based on total estimated pumped volumes, the Department may require sampling of additional parameters if the proposed dewatering site is suspected of being contaminated.

**4.14.4 SUBMISSION OF DEWATERING PLAN**

The Environmental Scientist will be required to submit two (2) copies of the Dewatering Plan (together with all reports, materials, designs, drawings, maps and plans) to the Infrastructure Engineering Support Unit for review and approval. Once approved the Environmental Scientist shall submit in triplicate the Final Dewatering Plan to both the NYSDEC and the NYCDEP. The Dewatering Plan should be bound and bear the name of the Contractor, NYSDEC Application Number and the Signature of the preparer. All drawings and maps shall be on sheets 27-inches by 40-inches and to scale not less than 1"=30'.

**4.14.5 DAMAGES**

The Contractor shall be responsible for and shall repair at no cost to the City any damage caused by inadequate or improper design and operation of the dewatering system, and any mechanical or electrical failure of the dewatering system.

#### 4.14.6 SYSTEM REMOVAL

The Contractor shall remove all dewatering equipment and temporary electrical service from the site. All wells shall be removed or cut off a minimum of three (3) feet below the final ground surface and capped. Holes left from pulling wells or wells that are capped shall be grouted in a manner approved by the Engineer.

#### 4.14.7 PAYMENTS

No additional or separate payment will be made for any work described herein. The costs for all labor, materials, equipment, permit fees, samples, tests, reports, services and insurance required or necessary to perform all the work described herein shall be deemed included in the price bid for all items of work.

(13) Refer to Section 5.01 - Reinforced Concrete Sewers, Subsection 5.01.4 - Precast Reinforced Concrete Sewer, Paragraph (C) - Details, second paragraph, first line, Page V-4:  
Change the words "C789 or C850 (as required)", to "C1433":

(14) Refer to Section 5.05C - Reconstruction Of Existing Sewers Using D.E.P. Approved Cured-In-Place-Pipe (CIPP) Lining Method, Subsection 5.05C.6 - Separate Payment, third paragraph, second line, Page V-49:  
- Change the word, "nine", to "eleven":

(15) Refer to Section 5.11 - Outfall Structures, Subsection 5.11.2 - Materials, Page V-95:  
Delete from Subsection 5.11.1, paragraph (A) in its entirety:  
Substitute the following:

(A) Concrete used for outfall structure (including headwalls, reinforced concrete sewer outfalls, cradles and encasements, chambers, manholes and catch basins) shall comply with the requirements of **General Specification 11 - Concrete, as modified in Section 2.15**; and, shall contain entrained air of six percent (6%), and a corrosion inhibitor. The corrosion inhibitor shall consist of a calcium nitrite solution, containing 30 ±2% calcium nitrite solids by weight and having a specific gravity of 1.27 ±0.02. The corrosion inhibitor when used in the manufacturing process shall not produce a significant amount of chloride ions in the final product (less than 1,000-ppm). The ph shall be greater than 8. The admixture shall not contain chemicals that produce a condition injurious to the quality and durability of the concrete or reinforcing steel. Calcium nitrite, which acts as an accelerator, may be used in conjunction with compatible retarding admixtures to control setting time and workability of the concrete, consult the manufacturer of the product. The corrosion inhibitor must be added to the mix immediately after air entraining and retarding admixtures have been introduced into the batch. Acceptance of calcium nitrite based corrosion inhibitor shall be based upon it being listed in the most current New York State Department of Transportation's "Approved List Of Calcium Nitrite Based Corrosion Inhibitors".

(16) Refer to Section 5.18A - Sewer Cleaning, Subsection 5.18A.3 - Disposal, Page V-124:  
Delete from Subsection 5.18A.3, the first paragraph in its entirety:  
Substitute the following:

All material removed from the sewers and sewer portions through the manholes under this contract shall become the property of the Contractor and shall be properly disposed of away from the site, at the Contractor's expense.

(17) Refer to Section 5.23 - Decking, Subsection 5.23.1 - Description, Page V-161:

Delete from Subsection 5.23.1, the third paragraph in its entirety:

Substitute the following:

Steel plates that are resting on pavement, that are not part of a decking system, and are used to temporarily span trenches and excavations for vehicular traffic and for pedestrian crossings and walkways shall not be included for payment under this decking section. The cost of all labor, materials, equipment, insurance and incidentals necessary to furnish, place, anchor and ramp these temporary steel plates, when and where directed, in order to comply with the requirements of the NYCDOT Office of Construction Mitigation and Coordination (OCMC) traffic stipulations, the directions of the Engineer, and the Contractor's construction operations shall be deemed included in the prices bid for all contract items of work.

(18) Refer to Section 5.23 - Decking, Subsection 5.23.4 - Design Criteria, Page V-162:

Add the following to Subsection 5.23.4:

(C) The Contractor may substitute skid resistant steel plates for timber mats, subject to approval in accordance with **Subsection 4.05.5**. These steel plates shall be placed flush with the existing roadway and shall be installed in compliance with the requirements of **Subsection 4.05.6(G)**.

(19) Refer to Subsection 5.32.4 - Specific Pavement Restoration Provisions, Page V-185:

Add the following to Subsection 5.32.4:

(E) Specific Pavement Restoration Provisions:

- (1) In College Point Boulevard as shown on Sheet Nos. 4 and 5 between the existing construction joints, and as indicated on Sheet No. 6 detail labeled, "CONCRETE PAVEMENT DETAIL FOR COLLEGE POINT BLVD", the roadway restoration within the limits shown shall be as follows:
  - (a) The permanent restoration over the **area specified above** shall consist of a full course of a minimum of six (6) inches of reinforced concrete pavement, to match the existing pavement as directed by the Engineer.
- (2) In all street areas requiring sewer and water main work the restoration shall be as follows:
  - (a) The permanent restoration over the **trench width and cutbacks only** shall consist of a top course of one and one-half (1-1/2) inches of binder mixture on a base course of a minimum of four and one-half (4-1/2) inches of binder mixture, or a top course of a minimum of three (3) inches of binder mixture on a base course of a minimum of six (6) inches of high-early strength concrete, to match the existing pavement as directed by the Engineer.
  - (b) Finally an overlay of two (2) inches of asphaltic concrete wearing course shall be installed over the entire width of the roadway from **curb to curb** or **edge to edge** of existing roadway.
- (3) In all street areas requiring water main work only the restoration shall be as follows:
  - (a) The permanent restoration over the **trench width and cutbacks only** shall consist of a top course of one and one-half (1-1/2) inches of asphaltic concrete wearing course on a base course of a minimum of four and one-half (4-1/2) inches of binder mixture, or a top course of one and one-half (1-1/2) inches of asphaltic concrete wearing course on a minimum of one and one-half (1-1/2)

inches of binder mixture on a base course of a minimum of six (6) inches of concrete, to match the existing pavement as directed by the Engineer.

(4) The following requirements shall apply:

- (a) Before the top course is installed, an additional width of asphalt beyond the edge of new base course shall be saw-cut and removed from all edges of trenches to a depth to accommodate the specified top course and the entire area restored. This additional removal shall be in accordance with paragraph (b) below.
- (b) Pavement excavation along with saw cutting of pavements for sewer and water main trenches shall be in accordance with **Section 5.30 - Pavement Excavation** of both the Standard Sewer Specifications and the Standard Water Main Specification.
- (c) At locations requiring the installation of a concrete base course, a reflective cracking membrane shall be installed over joints prior to restoration, the cost of which shall be deemed included in the prices bid for all pavement restoration items. Additionally, appropriate pavement keys as described below shall be used.
- (d) Pavement keys **Type B-1** shall be used to insure a desired four (4) inch curb reveal (two and one-half (2-1/2) inch absolute minimum). Pavement key **Type A** shall be used in all intersections. Both keys are to be per Bureau of Highways Operations Specifications and Standard Details of Construction.
- (e) Unless otherwise specified, the cost for tack coating, removal of pavement markings and replacement with thermoplastic reflectorized pavement markings (crosswalks and lane dividers), placement and eradication of temporary roadway markings, stripping or milling of pavement keys and adjustment of city-owned castings shall be deemed included in the prices bid for all pavement restoration items.
- (f) Payment for pavement restoration shall be made under the following items:

| <u>Item No.</u> | <u>Item</u>  | <u>Payment Description</u>  |
|-----------------|--|---|
| 4.02 AB-R       | Asphaltic Concrete Wearing Course, 1-1/2" Thick  | (For asphaltic concrete wearing course top course when <u>no</u> overlay is required.)  |
| 4.02 AF-R       | Asphaltic Concrete Wearing Course, 2" Thick  | (For 2" asphaltic concrete wearing course overlay from curb to curb or edge to edge.)   |
| 4.02 CA         | Binder Mixture   | (For binder mixture base course over trenches and cutbacks; binder mixture top filler course under asphaltic concrete wearing course when <u>no</u> overlay is required; binder mixture top course when overlay is required; binder mixture in Type A and B Keys; and binder mixture to fill in roadway depressions and to provide a leveling course prior to overlay where ordered.) |
| 4.04 H          | Concrete Base For Pavement, Variable Thickness For Trench Restoration, (High-Early Strength) | (For concrete base course over trenches and cutbacks.)  |
| 4.05 B          | Reinforced Concrete Pavement (Full Width Pavement)   | (For reinforced concrete pavement over area shown.)   |

(20) Refer to Section 5.36 - Additional Earth Excavation Including Test Pits, Subsection 5.36.4 - Price To Cover, Paragraph (3), fifth line, Page V-195:



Change 16", to 16'.

(21) Refer to Section 5.43A - Monitoring And Post-Construction Report, Subsection 5.43A.1 - Intent, Page V-203:

Delete from first paragraph of Subsection 5.43A.1, the second and third sentences in their entirety:

Substitute the following:

The Contractor will be required to adhere to all criteria, requirements and recommendations of the Preconstruction Report. As part of this contract a Preconstruction Report (Preconstruction Condition Survey, Vibration Monitoring, And Geotechnical Evaluations For Construction Of Storm And Sanitary Sewers, Water Mains And Appurtenances In Whitestone Expressway North Service Road In Borough Of Queens, New York) has been prepared by the firm of URS Corporation, noting the maximum vibration limits for structures within the project area. An executive summary of this report consisting of five (5) pages has been included in the contract documents. The full report is available for review at the offices of the Department of Design and Construction (DDC) located at 30-30 Thomson Avenue, 3<sup>rd</sup> Floor, Long Island City, New York. (Contact Mr. Robert Castelli at 718-391-2195 to set up an appointment for review.)

The Contractor shall pay special attention to the effects of construction on the existing highway piers and support structures adjacent to the proposed box storm sewer culvert. Additional monitoring shall be required at these locations.

## D. AMENDMENTS TO THE STANDARD WATER MAIN SPECIFICATIONS

- (1) Refer to Standard Water Main Specifications (August 1, 2009), **Subsection 1.06.29 - Contractor To Provide For Traffic**, Page I-15:  
Add the following to **Subsection 1.06.29**:

See amended Standard Sewer Specifications (August 1, 2009) **Subsection 1.06.29 - Contractor To Provide For Traffic** of this addendum.

- (2) Refer to **Section 1.08 - Miscellaneous Provisions**, Page I-20:  
Add the following new **Subsection 1.08.7**:

### 1.08.7 SUBMITTAL OF SCHEDULE LOG

The Contractor's attention is directed to **Article 9 - Progress Schedule** of the Contract. The Contractor shall submit along with the proposed progress schedule the following: A schedule log in Excel Format (tied to the proposed progress schedule) indicating a description of and the schedule submission dates for all required submittals, shop drawings, approval requests, design mixes, reports, samples, etc., as required by the specifications and the terms of the contract.

- (3) Refer to **Section 2.15 - Concrete, Subsection 2.15.3 - Modifications**, Page II-11:  
Delete from **Subsection 2.15.3, Reference Number D 3.2.1** together with its paragraphs in their entirety:  
Substitute the following:

D 3.2.1 DELETE 3.2.1 to 3.2.9 of GS11 and SUBSTITUTE the following:

All concrete mix designs shall be subject to approval by DDC's Quality Assurance and Construction Safety (QACS) Bureau and in accordance with their "MIX DESIGN, LABORATORY AND PLANT APPROVAL PROTOCOL". Copies of this protocol may be obtained at the preconstruction meeting or from the Engineer. Before the Contractor begins to manufacture concrete, the Contractor shall secure DDC's QACS approval of the mix design the Contractor proposes to use.

The Contractor shall submit for this purpose a statement, in writing, of the sources of all ingredient materials, the type and brand of the cement and the number of pounds of each of the materials in a saturated surface-dry condition making up one (1) cubic yard of concrete. The range of water-cement ratios within which the concrete will be manufactured and the method of mixing to be employed shall also be stated. The mix design submittal shall include gradation of aggregates, specific gravities of ingredients, unit weight, mix proportion for each batch (a minimum of four (4) batches except in case of precast plants where one specific mix may be proposed), compressive strength test results for each mix at 7-days, 28-days (high-early strength mixes may require 6-hours, 24-hours, 3-days and shrinkage test as per the requirements), and graphical representation of strength vs. W/C projected in hours/days.

The Contractor may submit for approval concrete mixes that (within one (1) year of the contract) have been previously approved and used on other jobs with any Bureau of the Department of Environmental Protection or the Department of Design and Construction. Such submittals shall contain evidence that the concrete mix was approved within one (1) year of this contract and shall show that the concrete will be produced at the same mix plant, that the cement and admixtures are the same type (though not necessarily the same brand), that the water/cement ratio is the same and that adjustments have been made in the mix for air content, specific gravity and gradation of the aggregates.

If the Contractor elects to submit a concrete mix that was not previously approved, the Contractor shall submit the new concrete mix in accordance with Chapters 2 and 3 of General Specification 11 as modified herein.

- (4) **Refer** to Section 2.15 - Concrete, Subsection 2.15.3 - Modifications, Page II-13:  
**Add** to Subsection 2.15.3, before Reference Number D 8.2 the following:

D 7.3.3 **ADD** the following to Subsection 7.3.3 of GS11:

Each Portland cement concrete batching plant shall be subject to approval by DDC's Quality Assurance and Construction Safety (QACS) Bureau and in accordance with their "MIX DESIGN, LABORATORY AND PLANT APPROVAL PROTOCOL". Copies of this protocol may be obtained at the preconstruction meeting or from the Engineer. The minimum requirement for approval is that the proposed Portland cement concrete batching plant must be on the New York State Department of Transportation (NYSDOT) approved list for the current construction season.

The minimum requirement for approval of a precast concrete plant is that the proposed plant must be on the NYSDOT approved list. A waiver for this requirement may be granted by the DDC's Quality Assurance and Construction Safety (QACS) Director for special products that no NYSDOT approved plant is capable of producing.

Each Portland cement concrete batching plant shall also be subject to auditing and approval of the DDC's Director of Quality Assurance and Construction Safety (QACS). The Director of QACS may at any time discontinue the use of any previously approved equipment if nonconformance with the specifications results during the progress of the work. When the Director of QACS discontinues the use of the plant, production will not be acceptable for Department work until corrective measures satisfactory to the Director are carried out.

- (5) **Refer** to Section 2.15 - Concrete, Subsection 2.15.3 - Modifications, Page II-14:  
**Delete** from Subsection 2.15.3, Reference Number D 16.3 together with its paragraphs in their entirety:  
**Substitute** the following:

D 16.3 Testing Service - **ADD** the following:

The Contractor shall retain the services of an independent testing laboratory to provide for the services outlined in 16.3.1.4 to 16.3.1.11 of GS11, with the exception of those tests specified herein to be performed by the Engineer and the City Retained Laboratory.

All laboratories shall be subject to approval by DDC's Quality Assurance and Construction Safety (QACS) Bureau and in accordance with their "MIX DESIGN, LABORATORY AND PLANT APPROVAL PROTOCOL". Copies of this protocol may be obtained at the preconstruction meeting or from the Engineer. The minimum requirement for approval is that the laboratory must have the current AMRL/AASHTO R-18 accreditation in the category of service proposed and must be currently licensed by the NYC Department of Buildings (DOB).

- (6) **Refer** to Section 2.15 - Concrete, Subsection 2.15.3 - Modifications, Page II-14:  
**Delete** from Subsection 2.15.3, Reference Number D 16.8 together with its paragraphs in their entirety:  
**Substitute** the following:

D 16.8 Responsibilities and Duties of Contractor - **ADD** the following:

The Contractor may, if the Contractor so desires, take cylinders corresponding to those taken by the Engineer for the City Retained Laboratory. However, determination of payment will be based solely on the cylinders taken by the Engineer for the City Retained Laboratory.

#### CONCRETE TEST CYLINDERS

The Contractor will be responsible for safe delivery of concrete cylinders to the Department of Design and Construction Laboratory, within two (2) days after molding, where they will be properly stored and cured until the date of test, and tested by others, upon removal from the curing room. The Department of Design and Construction testing laboratory will provide the services for the curing and breaking of the test cylinders.

The Contractor shall provide empty cylinder molds and facilities for the proper care of these cylinders while on the site, and shall safeguard them against injury and protect them from the elements.

The Engineer will be responsible for the preparation, documentation and labeling of the cylinders and for notifying the Contractor, at least twenty-four (24) hours in advance, when a shipment of cylinders is ready for delivery, so that cylinders can be tested for the standard twenty-eight (28) day and seven (7) day tests. Cylinders are to be delivered by the Contractor to a designated area near 30-30 Thomson Avenue, Long Island City, New York, or where otherwise directed within the City of New York.

The Contractor shall make arrangements to protect all cylinders from damage during loading, transport to, and unloading at a Department of Design and Construction designated testing laboratory, and shall obtain a receipt for delivered cylinders, which shall be submitted to the Engineer.

- (7) Refer to Section 4.06 - Backfilling, Subsection 4.06.3 - Method Of Depositing All Backfill, Page IV-18:

(A) Add the following paragraph to beginning of Subsection 4.06.3:

At the preconstruction meeting, the Contractor shall submit for approval a full description of the Contractor's proposed methods to be used for all backfilling operations including, but not limited to, equipment, backfill material, depth of compaction layers, and trench locations where each is to be employed. In the field, the Contractor shall be required to demonstrate that the Contractor's methods of backfilling and compaction shall obtain a minimum of ninety-five (95) percent of Standard Proctor Maximum Dry Density.

(B) Delete from Subsection 4.06.3, the fourth paragraph in its entirety:  
Substitute the following:

Unless otherwise approved in writing by the Engineer, backfilling of the remainder of the trenches and excavations from a point not less than twelve (12) inches above the top of the barrel of the water main pipe to the underside of the pavement shall be progressively deposited in uniform and successive horizontal layers not exceeding twelve (12) inches in depth for the entire width of the trench or excavation and each successive layer shall be solidly compacted by mechanical tamping or other approved means so as to achieve the required density. In deep trenches defined as those requiring sheeting, the Contractor may submit to the Engineer, for approval, an alternate backfill method (i.e. jetting, deeper deposited layers not exceeding twenty-four (24) inches, etc.) for depositing and compacting the backfill from twelve (12) inches above the top of the barrel of the water main pipe to a plane five (5) feet below final surface elevation. However, approval of any alternate backfill method shall not relieve the Contractor from obtaining a minimum of ninety-five (95) percent of Standard Proctor Maximum Dry Density. Should the Engineer determine that the specified density is not being

obtained, the area must be re-excavated and backfilled at the Contractor's own cost until the required compaction density is achieved.

(C) **Delete** from **Subsection 4.06.3**, the seventh paragraph in its entirety:  
**Substitute** the following:

Backfill shall proceed simultaneously with the withdrawal of sheeting but at no time shall the withdrawal of sheeting exceed a height of six (6) inches above the deposited backfill. Withdrawal of sheeting below levels previously backfilled and compacted is prohibited.

(8) **Refer** to **Section 5.02 - Laying Ductile Iron Pipe And Fittings, Subsection 5.02.3(F) - Bedding And Foundation Of Pipes**, Page V-8:

**Delete** from **Subsection 5.02.3(F)**, Paragraph (5) - Pier And Plate, in its entirety:

**Substitute** the following new Paragraph (5):

(5) **Shallow Cover**: Where mains 24-inches and smaller are laid with covers of 2'-0" or less, the Contractor shall provide protection in accordance with **Standard Drawing No. 42063-Y** or as directed by the Engineer.

Where mains 24-inches and smaller are laid with covers between 2'-6" and 2'-0", the Contractor shall provide steel plates only over the main with dimensions as shown on **Standard Drawing No. 46464-Z** or as directed by the Engineer.

Where mains 30-inches and larger are laid with covers of 2'-6" or less, the Contractor shall provide protection in accordance with **Standard Drawing No. 46464-Z** or as directed by the Engineer.

Covers over the new mains shall not be less than 1'-6".

(9) **Refer** to **Subsection 5.04.4 - Furnishing, Delivering And Installing Steel Tee**, Paragraph (5), Item Numbers list, Page V-23:

**Delete** Item No. "60.23ST20T48", together with Description "FURNISHING, DELIVERING AND INSTALLING 48-INCH X 20-INCH STEEL TEE", and Pay Unit "EACH":

(10) **Refer** to **Section 5.23 - Decking, Subsection 5.23.1 - Description**, Page V-73:

**Delete** from **Subsection 5.23.1**, the third paragraph in its entirety:

**Substitute** the following:

Steel plates that are resting on pavement, that are not part of a decking system, and are used to temporarily span trenches and excavations for vehicular traffic and for pedestrian crossings and walkways shall not be included for payment under this decking section. The cost of all labor, materials, equipment, insurance and incidentals necessary to furnish, place, anchor and ramp these temporary steel plates, when and where directed, in order to comply with the requirements of the NYCDOT Office of Construction Mitigation and Coordination (OCMC) traffic stipulations, the directions of the Engineer, and the Contractor's construction operations shall be deemed included in the prices bid for all contract items of work.

(11) **Refer** to **Section 5.23 - Decking, Subsection 5.23.4 - Design Criteria**, Page V-74:

**Add** the following to **Subsection 5.23.4**:

(C) The Contractor may substitute skid resistant steel plates for timber mats, subject to approval in accordance with **Subsection 4.05.5**. These steel plates shall be placed flush with the existing roadway and shall be installed in compliance with the requirements of **Subsection 4.05.6(G)**.

(12) Refer to Standard Water Main Specifications (August 1, 2009), Section 5.32 - Final Restoration Of Pavements, Page V-99:

Add the following to **Subsection 5.32.4 - Specific Pavement Restoration Provisions**:

See amended Standard Sewer Specifications (August 1, 2009) **Subsection 5.32.4 - Specific Pavement Restoration Provisions** of this addendum.

(13) Refer to Section 5.36 - Additional Earth Excavation Including Test Pits, Subsection 5.36.4 - Price To Cover, Paragraph (3), fifth line, Page V-114:

Change 16", to 16'.

**END OF ADDENDUM NO. 2**

**This Addendum consists of twenty-four (24) pages plus eight (8) pages of attachments.**



## Department of Transportation

JANETTE SADIK-KHAN, Commissioner

### OCMC TRAFFIC STIPULATIONS

DEC 01, 2010

NYCDOT-OCMC FILE NO. QEC-10-241

CONTRACT NO. NYCDCC SE-809

PROJECT: STORM AND SANITARY SEWERS IN WHITESTONE EXPRESWAY N.B. SERVICE ROAD

#### LOCATIONS:

1. COLLEGE POINT BLVD FROM S.B WHITESTONE EXPRESSWAY TO N.B. WHITESTONE EXPRESSWAY
2. WHITESTONE EXPY N.B. SERVICE ROAD FROM COLLEGE POINT BOULEVARD TO LINDEN PLACE
3. WHITESTONE EXPY N.B. SERVICE ROAD FROM LINDEN PLACE TO WHITESTONE EXPY ENTRANCE RAMP
4. WHITESTONE EXPY N.B. SERVICE ROAD FROM WHITESTONE EXPY ENTRANCE RAMP TO 25<sup>TH</sup> AVENUE

PERMISSION IS HEREBY GRANTED TO NEW YORK CITY DEPARTMENT OF DESIGN & CONSTRUCTION AND ITS DULY AUTHORIZED AGENTS, TO ENTER UPON AND RESTRICT THE FLOW OF TRAFFIC AT THE ABOVE LOCATIONS AND ITS LOCAL ADJACENT STREETS FOR THE PURPOSE OF CARRYING OUT THE ABOVE NOTED PROJECT. SUBJECT TO THE STIPULATIONS, AS NOTED BELOW:

#### SPECIAL STIPULATIONS:

1. BUS STOPS— THE CONTRACTOR SHALL PROVIDE WRITTEN NOTICE TO NYCDOT OCMC AND NEW YORK CITY TRANSIT (NYCT) MINIMUM OF FIVE WEEKS IN ADVANCE FOR LANE/ STREET CLOSURES THAT AFFECT BUS ROUTES/BUS STOPS.
2. METERS — THE CONTRACTOR MAY NOT REMOVE OR RELOCATE PARKING METERS WITHOUT FIRST OBTAINING APPROVAL FROM NYCDOT PARKING METER DIVISION AT 718-894-8651.
3. ACCESS TO ADJACENT PROPERTIES — THE CONTRACTOR SHALL COORDINATE ALL ACTIVITIES WITH ADJACENT PROPERTY OWNERS TO ENSURE ACCESS PROVIDED TO/FROM ENTRANCE DRIVEWAYS AT ALL TIMES
4. NOTIFICATION—THE CONTRACTOR MUST AT LEAST TWO WORKING DAYS BEFORE THE START OF CONSTRUCTION NOTIFY NYC FIRE DEPARTMENT, NYC POLICE DEPARTMENT, NYCEMS, LOCAL COMMUNITY BOARD, BOROUGH PRESIDENTS' CHIEF OFFICE ENGINEER, NYCDOT OCMC OFFICE, AND ALL ADJACENT PROPERTY OWNERS.
5. ENHANCED MITIGATION FOR PEDESTRIAN FLOW, INCLUDING METAL FENCING, SHALL BE PROVIDED TO ENSURE PEDESTRIANS STAY WITHIN THEIR DESIGNATED PATH/ROUTE. FLAGGERS SHALL BE PROVIDED TO ASSIST WITH PEDESTRIANS AT THE DESIGNATED CROSSWALK AREAS. THESE FLAGGERS SHALL BE ASSIGNED TO THIS FUNCTION ONLY.
6. VARIABLE MESSAGE SIGNS (VMS) — SHALL BE PROVIDED FOR THIS PROJECT. THE LOCATION AND THE MESSAGES SHALL BE RECOMMENDED BY NYCDCC AND THEIR CONTRACTORS A MINIMUM OF TWO (2) WEEKS PRIOR TO WORK COMMENCING, FOR OCMC REVIEW AND APPROVAL
7. NO STANDING ANYTIME TEMPORARY CONSTRUCTION SIGNS AND TEMPORARY PAVEMENT MARKINGS SHALL BE INSTALLED AS WARRANTED BY THE MAINTENANCE AND PROTECTION OF TRAFFIC (MPT) REQUIRED TO FACILITATE TRAFFIC MOVEMENTS THROUGH THE WORK ZONE. ALL TEMPORARY SIGNS AND PAVEMENT MARKINGS SHALL BE REMOVED UPON COMPLETION OF THE PROJECT.
8. COMMUNITY OUTREACH SHALL BE PROVIDED FOR THE DURATION OF THE PROJECT.
9. WRITTEN APPROVAL OF NYSDOT MUST BE OBTAINED BEFORE UNDERTAKING CONSTRUCTION
10. SEPARATE PERMIT MUST BE OBTAINED FOR THE CLOSURE OF THE EXIT RAMP FROM NYCDOT-HIGHWAYS

#### MAINTENANCE AND PROTECTION OF TRAFFIC:

##### COLLEGE POINT BLVD FROM S.B WHITESTONE EXPY TO N.B. Whitestone EXPY Service Road:

- The working hours shall be: from 9:00 PM to 6:00 AM nightly
- The contractor shall maintain 2-12 foot lanes, 1-12 foot lane for each direction during working hours
- The contractor shall restore the full width of the roadway to traffic after working hours.
- The contractor shall maintain 5-foot clear sidewalk for pedestrians during working hours.
- The contractor shall restore the full width of the sidewalk after working hours

##### WHITESTONE EXPY N.B. SERVICE ROAD FROM COLLEGE POINT BLVD to ULMER STREET:

- The working hours shall be: from 9:00 PM to 6:00 AM nightly
- The contractor shall close Whitestone N/B Service Road at 32nd Avenue during working hours
- The contractor shall maintain 5-foot clear sidewalk for pedestrian traffic.
- The contractor shall divert the N/B traffic from College Point Blvd to 32nd Avenue to Linden Place turning north to access Whitestone N/B Service Road. NOTE: This work shall only proceed if there is no construction on the detour route.
- The contractor shall maintain 2-way traffic on 32nd Avenue during working hours
- The contractor shall open Whitestone Expressway N/B Service Road after working hours and restore the full width of the Service Road to traffic

DECEMBER 01, 2010

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NYCDDC CONTRACT NO. SE-809

NYCDOT OCMC FILE NO QEC-10-241

STORM AND SANITARY SEWERS IN WHITESTONE EXPRESSWAY N.B SERVICE ROAD

**WHITESTONE EXPY N.B. SERVICE ROAD & WHITESTONE EXPY EXIT RAMP INTERSECTION:  
WHITESTONE EXPRESSWAY N.B. SERVICE ROAD FROM ULMER STREET TO LINDEN PLACE**

- The working hours shall be: 9:00 PM to 6:00 AM, nightly. NOTE: Ramp closures will be overnight 10pm to 5am as per OCMC Highways Letter Permit which must be obtained prior to work.
- The Whitestone EXPY Exit Ramp or the Van Wyck EXPY Exit Ramp shall be fully closed one at a time overnight based on the OCMC Highways Permit hours granted. The detour ramp traffic will proceed to the 20<sup>th</sup> Avenue exit Ramp and follow posted detour
- The Whitestone EXPY N/B Service road shall be closed at 32<sup>nd</sup> Avenue and traffic detoured to 32<sup>nd</sup> Avenue Linden Place turning north to access Whitestone N/B Service Road. NOTE: No construction will be permitted on the detour route.
- The contractor shall close the Whitestone N/B Service Road to Whitestone S/B Service Road TURN AROUND LANE. The traffic can make the left turn on Linden Place during working hours. The TURN AROUND LANE will be opened at the end of the working hours.
- The contractor shall maintain 1-12 foot lane on N/B Whitestone Service Road, between Farrington Street and Linden Place during working hours. Full width of the roadway must be restored after working hours.
- The contractor shall maintain 5-foot clear sidewalk for pedestrian traffic on Whitestone N/B Service Road.
- The contractor shall detour the N/B traffic from College Point Blvd to 32<sup>nd</sup> Avenue to Linden Place turning north to access Whitestone N/B Service Road. NOTE: No construction may occur on the detour route.
- The contractor shall maintain 2-way traffic on 32<sup>nd</sup> Avenue during working hours
- The contractor shall open Whitestone Expressway N/B Service Road after working hours and restore the full width of the Service Road to traffic
- The contractor shall restore Whitestone Exit Ramp to traffic after working hours.

**INTERSECTION OF WHITESTONE EXPY N.B. SERVICE ROAD AND LINDEN PLACE:**

- The working hours shall be: 10pm to 5am as per OCMC Highways Letter Permit which must be obtained prior to work.
- The contractor shall close half the width of the intersection and maintain 1-11 foot N.B. and 1-11 foot S.B. lane on Linden Place during working hours
- The Van Wyck Exit Ramp shall be fully closed overnight during the hours permitted by NYCDOT-Highway Permit. The traffic will be allowed to exit at 20<sup>th</sup> Avenue Exit Ramp. The ramp will be opened to traffic at the end of working hours.
- The contractor shall close the Whitestone N/B Service Road to Whitestone S/B Service Road TURN AROUND LANE working hours and reopen to full width non-working hours.
- The contractor shall restore the full width off the intersection to traffic after the end of the working hours
- The contractor shall maintain 5-foot clear sidewalk for pedestrian traffic on Whitestone N/B Service Road and Linden Place during working hours and restore the full width of the sidewalk after working hours

**WHITESTONE EXPY N.B. SERVICE RD FROM LINDEN PLACE TO N.B. ENTRANCE WHITESTONE EXPY (opposite 28<sup>th</sup> Road):**

- The working hours shall be: 7:00 AM TO 6:00 PM, Monday thru Friday 8:00 AM TO 6:00 PM Saturday and 9:00 AM TO 6:00 PM Sunday.
- The contractor shall maintain 2-11 foot lanes for traffic N/B as well as 1-15 foot lane for access to N.B. entrance ramp to Expy at all times.
- The contractor shall maintain 5-foot clear sidewalk for pedestrian traffic on Whitestone N/B Service Road.

**WHITESTONE EXPY N.B. SERVICE ROAD FROM WHITESTONE EXPY N.B. ENTRANCE TO 25<sup>TH</sup> AVENUE:**

- The working hours shall be: 7:00 AM TO 6:00 PM, Monday thru Friday 8:00 AM TO 6:00 PM Saturday and 9:00 AM TO 6:00 PM Sunday.
- The contractor shall maintain 1-11 foot lane for traffic N/B as well as 1- 15 foot lane for access to N.B. entrance ramp to Expy at all times.
- The contractor shall maintain 5-foot clear sidewalk for pedestrian traffic on Whitestone N/B Service Road.

**25<sup>TH</sup> AVENUE between Whitestone EXPY N.B. Service and 144<sup>th</sup> Street/Willets Point Blvd.:****149<sup>th</sup> Street between Union Street and 25<sup>th</sup> Avenue:****144<sup>th</sup> Street between 25<sup>th</sup> Avenue and 22<sup>nd</sup> Road:**

- The working hours shall be: 7:00 AM TO 6:00 PM, Monday thru Friday 8:00 AM TO 6:00 PM Saturday and 9:00 AM TO 6:00 PM Sunday.
- The contractor shall maintain 1-11 foot lane for local and emergency traffic.
- The contractor shall maintain 5-foot clear sidewalk for pedestrian traffic.

**UNION STREET BETWEEN 26<sup>TH</sup> AVENUE AND WILLETS POINT BLVD:****25<sup>TH</sup> ROAD BETWEEN WHITESTONE EXPY N.B. SERVICE ROAD AND UNION STREET/WILLETS POINT BLVD:****WILLETS POINT BLVD BETWEEN 25<sup>TH</sup> ROAD/UNION STREET AND PARSONS BLVD:**

- The working hours shall be: 7:00 AM TO 6:00 PM, Monday thru Friday 8:00 AM TO 6:00 PM Saturday and 9:00 AM TO 6:00 PM Sunday.
- The contractor shall maintain 1-11 foot lane for traffic in each direction.
- The contractor shall maintain 5-foot clear sidewalk for pedestrian traffic.

**GENERAL NOTES:**

1. **THIS IS NOT A PERMIT.** THIS STIPULATION SHEET MUST BE SUBMITTED WITH ALL REQUESTS FOR PERMITS PERTAINING TO THE ABOVE CONTRACT AND PRESENT AT THE WORK SITE ALONG WITH ALL ACTIVE CONSTRUCTION PERMITS WHEN THE APPROVED WORK IS BEING PERFORMED.



DECEMBER 01, 2010

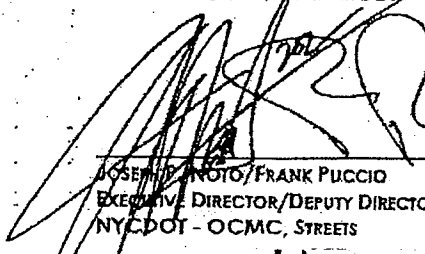
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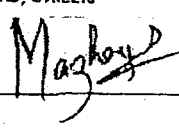
NYCDDC CONTRACT NO. SE-809

NYCDOT OCMC FILE NO GEC-10-241

STORM AND SANITARY SEWERS IN WHITESTONE EXPRESSWAY N.B SERVICE ROAD

2. ALL RELOCATION WORK BY THE UTILITIES SUCH AS; CON EDISON, TELEPHONE, GAS AND CABLE COMPANIES SHALL PRECEDE THE CONTRACTORS' START OF WORK ON ALL AFFECTED ROADWAYS IN THE IMPACTED CONTRACT AREA.
3. THE CONTRACTOR IS ADVISED THAT OTHER CONTRACTORS MAY BE WORKING IN THE GENERAL AREA DURING THE TERM OF THIS STIPULATION. IN WHICH EVENT, THE CONTRACTOR MAY REQUIRE MODIFICATIONS BY THE OCMC-STREETS.
4. THE PERMITEE IS NOT AUTHORIZED TO ENTER, OCCUPY OR USE ANY PUBLICLY-OWNED OR PRIVATELY OWNED, NON-PAVED, LANDSCAPE OR NON-LANDSCAPED LOCATION WITHOUT SPECIFIC WRITTEN PERMISSION. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF A LIMITED-ACCESS ARTERIAL HIGHWAY, WRITTEN APPROVAL FROM THE NYCDOT OCMC-HIGHWAYS IS REQUIRED. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF A PUBLIC STREET OR PUBLIC PARK, WRITTEN APPROVAL FROM THE NEW YORK CITY DEPARTMENT OF TRANSPORTATION OR NEW YORK CITY DEPARTMENT OF PARKS AND RECREATION IS REQUIRED. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF ANY OTHER JURISDICTION SUCH AS PRIVATE PROPERTY, STATE, FEDERAL ETC., IT IS THE PERMITEE'S RESPONSIBILITY TO DETERMINE THE PROPERTY OWNER AND OBTAIN THE WRITTEN APPROVAL.
5. THE PERMITEE SHALL ADHERE TO THE NYCDOT BUREAU OF BRIDGES' SPECIAL PROVISIONS FOR LANDSCAPE PROTECTION, MAINTENANCE AND RESTORATION, ITEMS 1.18.15 THROUGH 1.18.19, WHENEVER AND WHEREVER ANY OF THE PERMITEE'S ACTIVITIES OCCUR WITHIN A LIMITED ACCESS ARTERIAL HIGHWAY RIGHT-OF-WAY.
6. NO DEVIATION OR DEPARTURE FROM THESE STIPULATIONS WILL BE PERMITTED WITHOUT THE PRIOR WRITTEN APPROVAL FROM THE OCMC-STREETS. REQUEST FOR SUCH MODIFICATIONS SHALL BE SUBMITTED TO THE OFFICE OF THE OCMC-STREETS, NEW YORK CITY DEPARTMENT OF TRANSPORTATION, A MINIMUM OF TWENTY (20) DAYS IN ADVANCE FOR CONSIDERATION.
7. FOR THIS PROJECT THE CONTRACTOR SHALL FURNISH, INSTALL AND MAINTAIN ALL NECESSARY ADVANCE WARNING AND DETOUR SIGNS, TEMPORARY CONTROL DEVICES, BARRICADES, LIGHTS AND FLASHING ARROW BOARDS IN ACCORDANCE WITH THE "MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES," THE TYPICAL SCHEMES INCLUDED IN THIS SPECIFICATION; AND AS ORDERED BY THE ENGINEER-IN-CHARGE AND THE OCMC-STREETS.
8. THE CONTRACTOR SHALL BE RESPONSIBLE FOR IDENTIFYING HIS CONSTRUCTION SIGNAGE. THE IDENTIFICATION SHALL INCLUDE THE CONTRACTOR'S NAME, SPONSORING AGENCY NAME AND THE CONTRACT NUMBER. THE IDENTIFICATION SHALL BE PLACED ON THE BACK OF THE SIGN. THE LETTERING SHALL BE THREE (3) INCHES HIGH.
9. THE OCMC-STREETS RESERVES THE RIGHT TO VOID OR MODIFY THESE STIPULATIONS SHOULD CONSTRUCTION FAIL TO COMMENCE WITHIN TWO (2) YEARS OF THE SIGNED DATE OF THESE STIPULATIONS.
10. THE CONTRACTOR MUST COMPLY WITH ALL CONSTRUCTION EMBARGOS ISSUED BY THE NYCDOT INCLUDING THE HOLIDAY EMBARGO.

  
JOSEPH PUCCIO / FRANK PUCCIO  
EXECUTIVE DIRECTOR / DEPUTY DIRECTOR  
NYCDOT - OCMC, STREETS

  
MAZHAR JAMIL  
PROJECT MANAGER  
NYCDOT - OCMC, STREETS



## EXECUTIVE SUMMARY

On behalf of the New York City Department of Design and Construction (NYCDDC), Bureau of Environmental and Geotechnical Services (BEGS), URS Corporation (URS) performed ambient vibration monitoring and geotechnical evaluations for the proposed construction of a new storm and sanitary sewer extension and water main replacement in the area of Flushing adjacent to the Whitestone Expressway in the Borough of Queens, New York. The proposed project area consists of the following segments: North Bound (NB) Whitestone Expressway Service Road between 25<sup>th</sup> Avenue and the Flushing River; 144<sup>th</sup> Street between 22<sup>nd</sup> Road and 25<sup>th</sup> Avenue; 25<sup>th</sup> Avenue between Parsons Blvd and NB Whitestone Expressway Service Road; Union Street between 26<sup>th</sup> Avenue and Willets Point Boulevard (Blvd.); 25<sup>th</sup> Road between Union Street and NB Whitestone Expressway Service Road, Farrington Street between NB Whitestone Expressway Service Road and 31<sup>st</sup> Road; 31<sup>st</sup> Road between Linden Place and Ulmer Street; 31<sup>st</sup> Drive between Farrington Street and Ulmer Street; Ulmer Street between 31<sup>st</sup> Drive and NB Whitestone Expressway Service Road; and Higgins Street between 32<sup>nd</sup> Avenue and NB Whitestone Expressway Service Road.

The objectives of this study were to measure and record the peak particle velocities (PPV) in inches per second (in/s) and frequencies in Hertz (Hz) of ambient ground vibrations, and to provide geotechnical recommendations for the installation of the proposed sewers and water mains.

Based on a review of the existing boring and laboratory test results, there are generally five (5) strata with different geotechnical characteristics underlying the ground surface within the project site: Stratum 1 – Fill, consisting of a five (5) to 20 ft thick layer of very loose to medium dense, gray to brown coarse to fine sand with varying amounts of silt and gravel (Soil Class 7). Stratum 2 – consisting of a zero (0) to 30 ft thick layer of very soft to soft, gray organic silt with varying amounts of clay and peat. This stratum was generally encountered in borings that were drilled to the south of the intersection of NB Whitestone Expressway Service Road and 25<sup>th</sup> Road beneath Stratum 1 (Soil Class 6). Stratum 3 –consisting of a 30 to 50 ft thick layer of medium dense to very dense brown medium to fine sand with varying amounts of silt and gravel (Soil Class 3a,b). Stratum 4 –consisting of a stiff to hard brown clay with a trace of silt. This stratum was encountered beneath Stratum 3 at depths of 70 to 83 feet below the existing grades to 92 ft below ground, or to the termination depth of the deepest borings at 102 ft below ground (Soil Class 4a,b). Stratum 5- consisting of a very dense, brown, coarse to fine sand with varying amounts of silt. This stratum was encountered beneath Stratum 4 at depths of 92 to 97 ft below the ground surface to the termination depth of the deepest borings at 102 ft below the ground surface (Soil Class 3a). The depth to groundwater in the seventeen (17) well points installed at the project site varied from less than one (<1) to sixteen (16) feet below existing grade. These depths correspond to elevations between -1.7 feet and +21.0 feet based on Queens Highway Datum.



URS performed vibration monitoring using seismographs at twelve (12) locations within the project site. This work was conducted during the period from May 13 to June 7, 2010. The vibration monitoring locations were selected to provide representative coverage of the project site. Seismograph locations S-1, S-2, and S-3 were in an area that is occupied predominantly by industrial and commercial properties; while the remaining seismographs (locations S-4 through S-12) were surrounded by residential and commercial properties.

The typical background peak particle velocity (PPV) level (defined as median of the measured PPV levels at each location) in the industrial and commercial portion of the site (i.e. the project site to the south of Downing Street) was 0.008 to 0.023 in/s, with the maximum background levels (defined as 99th percentile of the measured PPV levels at each location) of between 0.015 and 0.048 in/s, excluding isolated infrequent high values due most probably to human interference. The typical background PPV level in the residential and commercial portion of the site (i.e. the project site to the north of Downing Street) was 0.003 to 0.013 in/s, with the maximum background levels between 0.005 and 0.035 in/s, excluding isolated infrequent high values due most probably to human interference.

The maximum background levels were typically observed at seismographs closest to the industrial portion of the site and to the Whitestone Expressway, especially the entrance and exits ramps. This is attributed heavy truck traffic in these areas. Considering this, the ambient (background) PPV level for this project is considered to be 0.040 in/s in the industrial the portion of the project area to the south of Downing Street and 0.020 in/s in the portion of the site to the north of Downing Street.

The measured vibration frequencies were generally less than 20 Hz in the industrial portion of the site, and greater than 40 Hz in the residential portion of the site. However, some low frequency vibrations (i.e. 10 percent or more) were also recorded in the residential portion of the site.

For the purposes of this pre-construction study, the design assumed that construction activity would consist of excavating to depths between five (5) and twenty (20) feet, pile driving, backfilling of the utility trenches, and sheeting and shoring for temporary support of excavations. It is expected that these construction activities will involve the use of vibration-generating equipment that may impact the existing structures surrounding the project area, both above and below grade. Compaction of the loose granular soils might occur when the peak particle velocities exceed 0.3 in/s. This could cause the adjacent structures to settle if they are supported on shallow foundations bearing in these soils and if the vibration levels are sufficiently high at these locations. Based on the results of this study, we recommend the maximum allowable PPV level of 0.3 in/s for this project.



Current design plans (NYCDDC, January 9, 2009) indicate that standoff distances of the surrounding buildings from the proposed utilities generally range from 20 feet to over 100 feet. The majority of standoff distances are about 30 to 60 feet. The contractor must install vibration monitoring units and measure the PPV during construction to verify that the vibration levels remain below the action level of 0.3 in/s recommended as the highest allowable PPV for this project. Construction methods using clam shovels, and vibratory rollers may only be appropriate for this project where buildings have standoff distances greater than 25 feet as they most probably will produce PPV greater than the PPV selected for this project (i.e., 0.3 in/s) at smaller standoff distances. Where deep foundations are required within 40 ft of the adjacent buildings, and if the selected PPV of 0.3 in/s cannot be maintained near the existing structures by pre-augering or reducing the hammer energy, we recommend that drilled piles be used. In addition, the contractor must be prepared to take added precautionary and corrective measures, as appropriate, since the standard values cannot guarantee that damage will not occur even below the set limits of vibration velocity.

Construction of a majority of the proposed sewers operating under full service conditions will result in no net increase in pressure at foundation subgrade level. However, heavy storm sewers exert additional stresses. The construction of a 48 inch reinforced concrete pipe (RCP) sewer is estimated to result in less than one inch settlements. Due to the heterogeneous nature and liquefaction potential of the fill material, and compressibility of the organic soils, these soils are not acceptable as subgrade materials (Strata 1 and 2). As such, many areas will either require modification of the existing soil subgrade or installation of deep foundations. Project areas that can be supported on shallow foundations include the portion of the job site that is to the north of the intersection of NB Whitestone Expressway Service Road and 25<sup>th</sup> Road. In areas where the proposed inverts are above the fill materials, the fill materials should be excavated and replaced with compacted structural fill. Consideration should be given to the thickness and quantity of the fill that would need to be excavated, as well as difficulties that would arise from the presence of groundwater. The remaining portions of the site generally include fill materials below the water table, overlying soft organic soils.

The recommended foundations for these portions of the site include timber piles and mini-piles as follows:

Timber Piles: 20-ton installed service capacity (allowable) tapered timber piles with eight (8) inch tip and twelve (12) inch butt diameters, made of Southern Pine or Douglas Fir, conforming to the NYCDDC Standard Sewer Specifications could be used. The required minimum penetration in Stratum 3 for a 20 ton service load is estimated to be a minimum of 25 ft for timber piles, after allowing a negative skin friction (i.e. pile drag-down) due to soft organic clay and silt layers. This calculation is based on a profile consisting of 10 ft fill and 10 ft organic soils. The capacity of the timber piles strongly depend on the thickness of the fill and organic clay layers due to the negative skin friction. The estimated allowable uplift capacity of



a 50 ft long pile, 25 ft into Stratum 3 is about ten (10) tons. Actual pile lengths should be determined by the contractor during the installation of test piles based on the number of blow counts required to advance the pile in the soils underlying the organic layer in accordance with the Engineering New Record pile driving formula. The negative skin friction must be subtracted from the capacity obtained from this formula. If the required timber pile lengths are longer than the available timber piles in industry, mini-piles could be used.

Mini-piles: The installation of a mini-pile consists of drilling a steel casing to the final pile depth, removing the material from inside the casing, installing the necessary reinforcing steel, and grouting the entire length under pressure while removing the casing over the bond length. Based on subsurface conditions, to achieve a 20-ton service load after allowing a negative skin friction (i.e. pile drag- down) due to soft organic clay and silt layers, 4 inch, 6 inch and 8 inch mini-piles should have estimated bond lengths (in Stratum 3) of 35, 24 and 18 ft, respectively. These capacities are based on a profile consisting of 10 ft fill and 10 ft organic soils. The capacity of the mini-piles depends on the thickness of the fill and organic clay layers due to the negative skin friction. Based on a profile with 10 ft fill and 30 ft organic soils, to achieve a 20 ton service load, the 4 inch, 6 inch and 8 inch mini-piles should have estimated bond lengths (in Stratum 3) of 38, 27 and 21 ft, respectively. The allowable lateral force is 1 tons per pile without the performance of a load test.

The installation of shoring and earthwork using non-vibratory or impact equipment, use of jack hammers, heavy dump trucks, or other construction activities would generate vibrations which are generally expected to remain below the threshold values selected for this project in the building areas. During the construction, the maximum allowable PPV action level of 0.3 in/s is to be adopted and maintained.

Contract documents prepared for the project should state that the contractor should prepare a construction sequence and construction noise and vibration monitoring plan which includes a complete equipment list, proposed method and sequence of construction and existing condition surveys of adjacent buildings and utilities. The maximum vibration levels presented in this report should be adjusted, if necessary, to account for the buildings/ utilities in poor condition. The contractor must install and monitor vibrations as a proactive measure to minimize the adverse effects of construction during the entire phases of installation of piles, sheeting and shoring, excavation, asphalt cutting/removal, backfill, compaction, and repair/improvement of the pavements and sidewalks.

Backfill and subgrade preparations may require fill and subgrade compaction as per the standard construction specifications. Based on the anticipated standoff distances, the use of heavy vibratory compactors should be limited to areas at least 20 feet away from any structure. In areas within 20 feet or close to sensitive buildings, hand vibratory equipment along with maximum lift thicknesses of six (6) inches should be used to limit vibration. Alternatively,



poured-in-place concrete could be used as fill to virtually eliminate vibrations caused by compaction.

Sheeting, shoring and construction dewatering may need to be used for excavations. The contractor is required to employ a New York State licensed Professional Engineer to design and submit a detailed plan for sheeting, shoring and construction dewatering. Uncontrolled, unmonitored lowering of the groundwater table (dewatering) during excavation and pipe placement would very likely to result in settlements in the vicinity of the excavation and subsequently could result in structural and/ or pavement settlement and damage unless precautionary measures are taken.

**ATTACH TO CONTRACT DOCUMENTS**

**THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
INFRASTRUCTURE DIVISION  
BUREAU OF DESIGN**

**PROJECT ID: SE809**

**CONSTRUCTION OF SANITARY AND STORM SEWERS AND APPURTENANCES IN N.B.  
WHITESTONE EXPRESSWAY SERVICE ROAD BETWEEN 25<sup>TH</sup> AVENUE AND FLUSHING RIVER;  
ETC.**

**INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC SIGNAL WORK**

**Together With All Work Incidental Thereto  
BOROUGH OF QUEENS**

**ADDENDUM NO. 3**

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**DATED: March 17, 2011**

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**This Addendum is issued for the purpose of amending the requirements of the Contract Documents and is hereby made part of said Contract Documents to the same extent as if it was originally included therein.**

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**GAS COST SHARING (EP-7) STANDARD SPECIFICATIONS**

**EP-7 GAS COST SHARING  
STANDARD SPECIFICATIONS**

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## I - NOTICE TO ALL BIDDERS; GAS COST SHARING WORK

All prospective bidders are hereby advised that, pursuant to the "Gas Facility Cost Allocation Act", ("the Act"), the City of New York has entered into an agreement ("the Agreement") with the gas companies (Con Edison or National Grid (formerly KeySpan Energy Delivery)) operating in their respective areas of the City to "share" the cost of facility relocation and/or support and protection of facilities disturbed by proposed water and/or sewer and related City work specified in this contract. Therefore, bid items, specifications and estimated quantities for the incremental costs of support and protection of certain gas facilities have been included in this contract. The low bid for this contract shall be determined by examining each bid for all work to be performed under this contract including any work of support and protection of gas facilities to be performed. The Contractor shall not seek additional compensation from gas companies except as specifically set forth in its contract.

## II - GENERAL PROVISIONS; GAS COST SHARING WORK

### 1. General:

The Contractor shall perform City work with interferences from existing live and abandoned gas facilities. This shall be defined as utility work. Therefore, this contract include bid items, specifications and estimated quantities designed to fully compensate him/her for the incremental costs of supporting, protecting, provide accommodations and, avoid disturbing gas facilities located in the streets shown on the contract drawings. In the event that any other provisions of this contract related to gas facilities (or private utilities) conflict with these provisions, these provisions shall supersede and govern all work related to gas facilities owned by the companies operating in the project area. All utility work, as defined in these specifications, including changes and additions thereto shall be paid solely by the City except when specified otherwise in this contract. Contractor hereby agrees that the facility operator shall not be liable to pay him/her for any work performed including extra utility work. Contractor agrees that its bid prices include all compensation for loss of productivity and efficiency, idle time, delays (including any delays occasioned by negotiation of a contract change), change in operations, mobilization, demobilization, remobilization, added cost or expense, lost of profit, other damages or impact costs that may be suffered by or because of utility work, or the presence of gas facilities in the proximity of City work and that it will not seek additional compensation for these items. All disputes shall be resolved as specified in the contract.

Pursuant to the Act, Agreement, and the New York City Administrative Code, the gas company(ies) has been directed by the Commissioner and is required to perform all maintenance, repairs, replacement, shifting, alteration, relocation, and/or removal work that are not part of this contract. By having bid on this contract, the Contractor understands and agrees that the Commissioner has preasserted any right the City has to require, including the issuance of any directives or so called "order outs" under the New York City Administrative Code, any or all gas companies to maintain, repair, replace, protect, support, shift, alter, relocate, and/or remove all gas facilities that are about to be disturbed by the City contract work. The issuance of additional such directives during the performance of the contract work, where necessary in the sole judgment of the Commissioner, shall be initiated by such Commissioner as set forth in the relevant sections of the Act and Agreement. Contractor further agrees to insert such requirements as set forth herein above into any contracts with its approved subcontractors so that its subcontractors also understand and agree to such contract requirements.

### 2. Gas Interferences And Accommodations:

During the performance of sewer and water main work funded by the New York City Department of Environmental Protection (NYCDEP), as instructed by the Engineer, the use of any applicable contract bid item is allowed in order to resolve and accommodate all gas facilities interferences with such City work, including the removal of contaminated soil in associated trench excavation. This is in addition to the specified EP-7 bid items in the contract. Payment for such accommodation shall be funded by EP-7 bid item "UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS" (F.S. Fixed Sum). The value of such accommodation shall be computed by multiplying the appropriate unit prices bid to the quantity of work performed, as determined by the Engineer, and applying the total amount thus to be paid

to EP-7 bid item "UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS". When EP-7 bid item "UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS" does not exist, such additional accommodation work shall be at no cost to the City but shall be a matter of adjustment between gas facility operator and Contractor. Private facilities, other than gas, that become in interference due to gas interferences accommodations shall also be accommodated, if so directed by the Resident Engineer, at no additional cost to the City and, provided that its owner agrees to be responsible for all additional costs to Contractor, otherwise, such facility shall be ordered by the City to be maintained, shifted, relocated or replaced by its owner at his/her expenses.

#### **2a. Water Main Accommodations:**

When water main construction is to be performed in this contract, Contractor shall be required, if warranted by field conditions, and at locations designated by the Resident or Borough Engineer, to change the vertical or horizontal alignment of water mains including but not limited to all additional labor, material, work method accommodations, furnishing, delivering and laying offset fittings and pipes, etc., necessary in order to complete water main installation and, avoid gas interferences in the project area, including street intersections. Typical work method accommodations shall include, but not be limited to, pier and plate, installation of filter fabric and select fill, etc. Such work shall be performed as directed by the Engineer and in accordance with contract specifications and latest edition of water mains standards and specifications.

#### **2b. Sewer Accommodations:**

When sewer construction is to be performed in this contract, Contractor shall be required, if warranted by field conditions, and at locations designated by the Resident or Borough Engineer, to change the horizontal alignment of sewer facilities (if possible) including but not limited to all additional labor, material, work method accommodations, furnishing, delivering and construction of additional manholes or modification of manholes/catch basins, extending chute connections, house connections, using alternate materials and methods, poured-in-place structures, etc., necessary in order to complete sewer installation and, avoid gas interferences in the project area, including street intersections. The term sewer facility shall include, but not be limited to, all sewer pipe and appurtenances, manholes, catch basins, catch basin chutes, etc. Such work shall be performed as directed by the Engineer and in accordance with contract specifications and latest edition of sewer standards and specifications.

#### **3. Quantity Overruns, EP-7 Funded Bid Items:**

No quantity overrun, in excess of one hundred twenty five (125) percent, shall be permitted for EP-7 funded bid items (gas) included in this contract, except when Resident Engineer determines that such overruns are caused by field modifications to planned City work, or approved construction methods, or contract scope changes. Overruns not paid by City shall be negotiated and paid to Contractor by gas facility operator who then shall be entitled to reimbursement by NYCDEP under established cost sharing procedures.

#### **4. Changes And Extra Work:**

This section is not applicable to work defined under "Emergency Reconstruction Contracts" or so-called "Where and When Contracts" since these projects, by definition, inherently encounter unanticipated gas facilities and cannot be pre-engineered. In all other cases, any contract changes proposed for City work shall also cover and include all associated changes to support and protection of gas facilities affected by such changes to City work. In all other cases where the Contractor finds that City work cannot be performed as planned and specified and/or, as approved because of a need to support, protect and/or alleviate interferences from gas facilities that were not listed and/or shown, or incorrectly shown in contract plans and specifications, he shall immediately notify the Resident Engineer and the facility operators' representative of his findings. Resident Engineer shall promptly examine such claims and determine whether or not such work is covered by contract bid items and /or specifications (contract bid items and specifications shall include city contract items as well as EP-7 items). The Resident Engineer shall also examine the claim to determine if the application of EP-7 bid item "UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS" is appropriate to resolve the claim. If upon examination, the Engineer determines that such field conditions were unanticipated (not shown and/or listed, or incorrectly shown in contract documents) and are not covered by bid items and contract specifications, he shall then direct the Contractor and the affected facility operator to negotiate the cost of supporting and

protecting, and/or alleviating the impact on City work caused by such unanticipated gas facilities with each other with the understanding that the performance of City work shall continue during negotiations. If a cost agreement is reached, the Contractor and facility operator shall adjust such costs between themselves at no additional costs to the City contract. If the Contractor and affected facility operator do not reach an agreement concerning the price to be paid for the extra work within five (5) business days of the Engineer's directive to engage into such negotiations and, after considering: public safety and inconvenience, requirements of laws and regulations applicable to private utilities, integrity of all utility systems, including but not limited to sewer and water, gas, electric, telephone and, cable TV facilities, sound engineering practices, cost (long and short term) to all affected parties, and potential City work delays, then the Resident Engineer, depending on nature and severity of interferences with City work, shall either, direct the facility operator to relocate or replace its facilities at its own discretion and cost, reimbursable by NYCDEP under established gas cost sharing procedures or, direct the Contractor to perform the utility work on actual time, material and equipment costs basis pursuant to relevant contract requirements and amendments. Contract bid prices for any applicable items of work involved shall be applied, or converted to an allowance for time and material charges. Changes shall be for affected portions of utility work and, shall be processed with EP-7 funds.

#### **5. Excavation:**

All excavators shall notify the NYC/LI One Call Center at 1-800-272-4480 at least two (2) working days, not including the day of the call, but not more than ten (10) working days in advance of the start of any excavation work. The gas company(ies) will mark out its facilities within the project limits and provide Construction Inspector(s) during all excavation work in close proximity (within twelve (12) inches) to gas facilities. The Contractor shall exercise extreme caution when excavating in the vicinity of any gas facilities. Hand excavation shall be performed within twelve (12) inches of gas facilities. The Contractor prior to excavating underneath these facilities shall adequately support all gas facilities. Standard support details for gas facilities have been included in the specifications. Any damage to gas facilities shall be reported immediately to the gas company(ies). The Contractor shall be responsible for all cost associated with repairs made necessary by damages caused by his operations.

#### **6. Backfilling And Street Restoration:**

Backfilling operations and street restorations shall be in accordance with contract requirements.

#### **7. Non-Responsive Bids:**

Every gas (EP-7) bid item has a suggested "Not less than" value per unit indicated on contract bid sheet. Bids resulting in cost of less than suggested for EP-7 items are hereby prohibited and if submitted shall be considered NON-RESPONSIVE.

#### **8. Minimum Clearances:**

Clearance requirements for City work shall govern and supersede any clearance requirement of gas facility operator. Therefore, a minimum of twelve (12) inches clearance between private utilities and City water mains, sewers or related structures to be installed in this contract shall be maintained. When this clearance is not attainable, the Resident Engineer may allow a minimum of four (4) inches clearance. With less than twelve (12) inches clearance a neoprene/polyethylene shield (to be provided by facility operator) shall be installed as part of all work item specifications. However, if Resident Engineer determines that City work cannot be performed within allowable clearance and no reasonable City accommodation (no-cost change to City work) is possible, the City shall direct the facility operator to remove, relocate, shift, or alter their facility(ies) pursuant to the New York City Administrative Code.

#### **9. Work By Facility Operator:**

The facility operator may find it necessary to perform the following types of work during performance of City work: accommodating a contractor's request for gas facilities modifications (in order to facilitate City contractor's proposed construction method) or, remedial and emergency work on gas facilities proper with their own resources and materials if an approved method of construction for City work causes unanticipated disturbances to gas facilities or, replacing defective gas facilities when they are exposed by the Contractor and their actual conditions are observable by the facility operator. Also included in the above category of defective gas facilities are: the presence of environmental contaminants attributable to

the gas facility in or around gas facilities. If such work is deemed required by the facility operator or if facility operator is directed by the City to address such deficiencies at any time during the course of construction, the Contractor shall modify the construction schedule at no cost to the City and allow the facility operator five (5) business days to perform such work without interferences. Additional costs to the facility operator (in cases of accommodations) or, Contractor (in cases of defective gas facilities) due to such gas work, if any, shall be the responsibility of the parties involved and not of the City. Such costs shall be a matter of adjustment between the Contractor and the facility operator.

#### **10. Materials Furnished By Facility Operator:**

It shall be the Contractor's responsibility to inspect material to be installed by him immediately upon delivery and advise the facility operator through its authorized representative, of all damaged materials. The Contractor at no additional costs to the City or the facility operator shall replace any material that is damaged or lost after the Contractor's inspection.

#### **11. Liability And Insurance:**

Notwithstanding the provisions of this contract, the existing division of liabilities to third parties shall remain the same as between the City and the company. Therefore, it is specifically agreed by the City, company and Contractor (by bidding on this contract) that for the purpose of any liabilities to third parties, that the City contractor performing work directly and physically relating to gas company facilities in this project, shall be deemed an agent of the company and not an agent of the City, the New York City Municipal Water Finance Authority, or the New York City Water Board. Contractor shall include the company as an additional insured on all insurance policies maintained to comply with the City's insurance requirements.

#### **12. Width And Depth Of Excavation:**

Contractor shall not be authorized to deliberately change trench or excavation widths and/or depth specified without Engineer's approval. Enlargement of any side of excavation up to eighteen (18) inches beyond pay limits (or inside face of sheeting) requested by the Contractor for the installation of certain types of sheeting may be granted. However, such enlargements or those greater than allowable shall not be approved when, in the sole judgment of the City, field conditions allow the water mains and sewer work to be performed within the limits specified and, the sole purpose of such enlargement request is to impact adjacent utilities (public or private) whose support and protection are part of this contract. Any approval shall be given at no additional cost to the City contract, including EP-7 funding, and all costs associated with unauthorized enlargements shall be the sole responsibility of the Contractor.

#### **13. Depth And Crossing Angles Of Gas Facilities:**

Where gas facilities are shown (or specified as) crossing proposed alignment of sewers, water mains, catch basins and chute connections or any other proposed excavations at specific angles (as measured off plans or sketches or specified in contract), it shall be understood that actual field measurements may deviate (plus or minus) forty-five (45) degrees from those shown or specified. The cover, or depth from street surface to top of facilities, shall be as shown or specified in contract documents, no deviation is to be assumed. Where gas facilities are not shown on contract documents, but their support and protection are otherwise included in this contract then, all references to facilities crossing at "various angles and depth" in the gas sections shall mean that such facilities are crossing sewer, water, catch basin and, catch basin chute, and other excavations at a ninety (90) degree angle to the proposed sheeting line or side of excavation (for unsheeted trenches) with an allowable deviation of forty-five (45) degrees in any direction, except for catch basin chute excavation where the allowable deviation shall be sixty (60) degrees. Where the cover is not noted or specified, the bottom face of such facilities shall be assumed to be crossing catch basin chutes at a depth of three (3) foot eight (8) inches or less from the street surface. Paragraph No. 2 above shall apply in cases of distribution water main construction. Appropriate bid items and specifications are provided for cases where angle and depth are greater than stated above. This section also applies to work defined in "Emergency Reconstruction Contracts" or so-called "Where and When Contracts". These contracts are not pre-engineered and consequently have no drawings, sketches or determined locations and so, gas facilities encountered will be crossing existing and proposed sewer, water, catch basin/catch basin chutes and all appurtenances at various angles and depths.

#### **14. Maintenance Of Traffic For Gas Work:**

All work pertaining to gas bid items and specifications shall be performed within the contract maintenance of traffic plan as specified in the contract document. The bid price for the Maintenance and Protection of Traffic shall cover all work pertaining to gas items. The City shall make compensation for additional maintenance and protection of traffic items in connection with gas item of work only when such additional work is deemed reasonable and necessary by the Resident Engineer and is approved by him prior to its performance.

#### **15. Relocated Gas And Temporary Systems Installation:**

In cases where the Contractor is allowed to select the location for temporary construction such as, installation of dewatering headers, wells, well points, etc., he shall not disturb any gas facilities shown on sketches provided in this section. The only exception shall be, if the affected gas company agrees to such relocation and provided that the cost of such relocation is a matter of adjustment between the company and Contractor, and at no cost to the City.

#### **16. Role Of Company Inspector:**

In any case in which the City elects to perform some or all support and protection work with its own employees, personnel or contractors, the facility operator shall provide onsite inspectors to approve and certify such support and protection work (exclusive of City accommodations) performed by the City's own employees, personnel, and contractors. Facility operator's inspectors are not authorized to direct City contractor during the performance of contract work. They shall act through the City Resident Engineer and provide him/her required approvals and certifications, prior to preparing partial payments of EP-7 items, in a format and frequency to be prescribed by the appropriate City Head of Construction.

#### **17. Coordination With Gas Company:**

The Contractor shall be required to notify the gas company(ies), in writing, at least two (2) weeks prior to the start of final paving in order to allow companies to complete any unfinished gas work located within the area to be paved. Every effort shall be made to maintain gas service with minimum inconvenience to the public.

### **III - TECHNICAL SECTION**

#### **SECTION 6.01 - Trench Crossings; Support And Protection Of Gas Facilities And Services.**

##### **1. Description:**

Under this section, the Contractor shall provide all labor, materials, equipment, and incidentals required to support and/or protect the integrity of gas mains, services and appurtenances of any sizes, configurations, and operating pressures crossing trench excavations above subgrade for planned construction of sewers and water mains facilities. A gas service shall be defined as a gas pipe of three (3) inches in diameter or less branching from the main to a customer pick up point or property valve box. A gas main may be any size pipe that is part of a distribution or transmission network other than services described above. Crossings shall be defined as gas facilities spanning the width of excavation (one side to the other side). These crossings may be at various angles and depth as shown on "Gas Cost Sharing Work Standard Sketches Nos. 1 and 1A", and as specified in "General Provisions; Gas Cost Sharing Paragraph No. 13" and, at the locations shown or listed in contract documents. The gas company operating in the area, (facility operator), owns these facilities. The work shall be performed in accordance with contract specifications, plans, and at the directions of the Resident Engineer in consultation with the authorized representatives of the facility operator.

2. Method Of Construction:

- A. Protection: In general, the gas facilities shall be protected as required by New York State Industrial Code 753. In particular, the Contractor shall use hand excavation methods (pick and shovel or hand held power tools) directly below the pavement base to expose the gas facilities (marked out by facility operators) and to ascertain the clearances and cover of the facilities with respect to the proposed excavation. Upon exposing the affected facilities sufficiently, at the discretion of the Resident Engineer, to ascertain the foregoing, Contractor shall be permitted to proceed with a combination of hand and machine excavation, as appropriate, outside a zone of protection whose limit shall be defined as a perimeter located twelve (12) inches from the outside face of each gas facility crossings (See "Gas Cost Sharing Work Standard Sketch No. 2"). If the facilities are in direct interference with City work, meaning that "Minimum Clearances" described in "General Provisions; Gas Cost Sharing Work Paragraph No. 8" cannot be maintained, and excavation has to be temporarily or permanently abandoned then this particular location shall become a test pit and dealt with as specified in Section 6.07, and "General Provisions; Gas Cost Sharing Work Paragraphs Nos. 2 and 8".
- B. Support: Gas mains or services crossing excavations equal or less than four (4) feet wide are generally self supporting, unless field conditions as determined by the Resident Engineer require otherwise. The support requirements for gas mains and services crossing excavations greater than four (4) feet wide shall be as shown on the attached "Gas Cost Sharing Work Standard Sketch No. 1" and Contractor shall use sheeting methods that permit the maintenance of gas facilities in their existing locations and configurations. Alternate methods equivalent to those shown on the sketch or accommodations by the facility operator proposed by the Contractor in order to facilitate the execution of the specified work shall be allowable, provided that prior approval is obtained by the Contractor from the Engineer and the facility operator. The support and protection of gas facilities crossings shown on plans, drawings, listings or otherwise identified in this contract shall not be circumvented with the issuance of so called "order outs".

3. Method Of Measurement:

The Contractor shall be paid for supporting and/or protecting gas facilities crossing trench excavations under the appropriate bid items covered by this section. The Contractor shall be directly responsible to the facility operator for the total cost of using any alternate method requiring the use of resources owned by the facility operator. Regardless of the method used, the City shall pay the bid price for the appropriate support and/or protect item of work. The average rate charged by the facility operator for alternate support and protection work such as, disconnecting and reconnecting gas services is listed in attached "Schedule GCS-A".

4. Payment Restrictions:

This item shall not be paid for: gas services crossing unsheeted water main trench excavation; abandoned gas main/services identified by facility operator; gas mains/services crossing trench excavations for fire hydrant branch connections pipes, catch basins and/or chutes (sewer drain pipe), house sewer and/or water services; gas facilities encroaching any face of excavation for sewer and/or water construction, all of which are covered under other contract sections. Also this item shall not be paid for new gas mains and services crossing water trenches when trenching for such new facilities has been performed by the Contractor in common with trench excavation for City work (overlapping trench limits). The cost of supporting and protecting such gas facilities crossings shall be deemed included in the cost of trench excavation for the new gas facilities. This payment restriction shall apply even if such common trench gas excavation is not part of the contract. The prices bid for items covered by this section represent full compensation to Contractor to completely perform the work described. No other bid items shall be combined with these items in order to pay for gas main and/or services crossing excavations specified herein.

5. Method Of Payment:

Each (Ea.) gas facility crossing trench excavation as described in these specifications shall be counted for payment.

6. Price To Cover:

The cost of timber/steel supports installed for gas facilities shall be included in the bid price. The bid price for each crossing shall also cover all additional supervision, labor, material (except those provided by the facility operator), equipment and insurance necessary to completely maintain the gas facilities without disruption of service to the customers and in accordance with contract plans, specifications and facility operator standards. The price shall also include: changes of method of operations; sheeting modifications where necessary to accommodate the gas facilities crossings; installation and removal of water pipe under gas facilities (so called "snaking"); extra care during excavation (including hand excavation under existing single and multiple gas facilities); extra backfilling and compaction around, over and under gas facilities; installation and removal of sheeting around gas facilities; associated maintenance and protection of traffic; barricades; and traffic plates that may be required to temporarily close and/or complete the work.

**SECTION 6.02 - Extra Excavation For The Installation Of Catch Basin Sewer Drain Pipes With Gas Interferences.**

1. Description:

Under this item, the Contractor shall provide all labor, materials, equipment, insurance, and incidentals for the extra excavation associated with the installation of catch basin sewer drain pipes (chute) under gas facilities of various sizes crossing the trench excavation at various angles and depth at the locations shown in the contract documents and also, for the support and protection of these facilities during associated excavation and backfill operations. The gas company operating in the area, (facility operator), owns these facilities.

2. Method Of Measurement:

The bid price shall be per location (Each) where extra excavation is required when catch basin sewer drain pipes are installed at an upstream invert depth lower than four (4) feet (up to a maximum of six (6) feet) from the proposed pavement grade because the bottom faces of interfering gas mains and appurtenances are located at a depth greater than three (3) foot eight (8) inches from proposed pavement surface (See "Gas Cost Sharing Work Standard Sketch No. 4").

3. Method Of Construction:

Incremental cost responsibility for chute excavation is determined by the first private facility encountered starting from catch basin structure proper and that prevents the installation of the chute connection at an upstream cover less than or equal to three (3) feet or any other minimum cover required to avoid City facilities (e.g. water, sewer, etc.) as directed by the Resident Engineer.

4. Payment Restrictions:

This item shall not apply and related bid item shall not be paid in cases where:

- A. Upstream invert chute is more than six (6) feet deep because of gas facilities.
- B. Chute cannot be installed above existing gas facilities because of interferences with other private facilities that are not otherwise covered under this contract, regardless of upstream invert depth.

The above cases shall be at no cost to the City, but shall be a matter of adjustment between the Contractor and the facility operator(s).

5. Price To Cover:

The bid price shall cover the additional cost of all additional supervision; labor, materials; equipment and insurance, to complete the installation of catch basins and associated sewer connections in accordance with the contract plans and specifications. The price shall include: excavation by hand around and under single and multiple gas facilities; locating, supporting and protecting gas facilities; backfilling and all other items necessary to perform all work incidental thereto including: installation and removal of drain pipe under gas facilities ("snaking"); widening of trenches to facilitate the above work; subsequent additional

backfill and pavement restoration; modifying precast catch basin window to accommodate connection; changing sheeting method and configuration to accommodate gas facility crossings; maintenance and protection of traffic; barricades; and installation of traffic plates that may be required to temporarily close and/or complete the work. The price shall not include removal of ledge rock and/or excavation of boulders in open cut.

### **SECTION 6.02.1 - Extra Excavation For The Installation Of Catch Basin Sewer Drain Pipes With Upstream Inverts Greater Than Six (6) Feet.**

#### **1. Description:**

Under this item, the Contractor shall provide all labor, materials, equipment, insurance and incidentals for the extra excavation of catch basin chutes where the upstream invert is greater than six (6) feet under gas facilities of various sizes crossing the trench excavation at various angles and depth at the locations shown in the contract documents or as determined by field conditions and also, for the support and protection of these facilities during the associated excavation, sheeting and backfilling operations.

#### **2. Method Of Measurement:**

The bid price shall be per location (Each) where extra excavation and sheeting is required when the catch basin chute installed at an upstream invert depth lower than six (6) feet from the proposed pavement grade because the bottom faces of the interfering gas mains and appurtenances are located at a greater depth than three foot eight inches from the proposed pavement surface only.

#### **3. Method Of Construction:**

Incremental cost responsibility for chute excavation is determined by the first private facility encountered during such excavation when initiated from catch basin structure and that prevents the installation of the chute at an upstream cover less than or equal to three (3) feet or any other cover required to avoid City facilities as directed by the Resident Engineer.

#### **4. Payment Restriction:**

This item shall not apply and related bid item shall not be paid in cases where:

Upstream invert chute is less than or equal to six (6) feet deep because of gas facilities. Section 6.02 shall be paid.

#### **5. Price To Cover:**

The bid price shall cover the additional cost of all supervision, labor, materials, equipment and insurance to complete the installation of catch basin and associated sewer connections in accordance with the contract plans and specifications. The price shall include: excavation by hand around and under single and multiple gas facilities; locating, supporting and protecting gas facilities incidental thereto; widening of trenches to facilitate the above work; subsequent additional backfilling and pavement restoration; modifying pre-cast basin window to accommodate connection; the installation of catch basin with deeper sumps as specified; additional sheeting and changes in sheeting method and configuration to accommodate gas facility crossings; maintenance and protection of traffic; barricades; and installation of traffic plates that may be required to Temporarily close and/or complete the work.

### **SECTION 6.03 - Removal Of Abandoned Gas Facilities. All Sizes.**

#### **1. Description:**

Under this section the Contractor shall provide all labor, materials, equipment, insurance and incidentals required for the removal of abandoned gas mains, services, or appurtenances thereof, located within the street shown on the contract plans, owned by gas company operating in the project area (facility operator), used or to be used for or in connection with or to facilitate the conveying, transportation, distribution or



furnishing of gas (natural or manufactured or mixture of both) for light, heat, or power, but does not include property used solely for or in connection with business of selling, distributing or furnishing of gas in enclosed containers. Such removal shall include only abandoned gas facilities that interfere with (i.e. cause additional work) City work.

## 2. Determination Of Operating Status Of Gas Facilities:

The Contractor shall notify facility operator, as required by New York State Industrial Code 753. Gas facilities shall not be removed without the approval of the facility operator whose authorized representative shall certify in writing (specific facility or area wide facilities certification) and in a timely manner acceptable to the Resident Engineer that abandoned facilities are free of combustible gas and any other environmental contaminants prior to removal. The Resident Engineer shall rely on facility operator's certification. The facility operator may request the excavation of test pits (See Section 6.07) for this determination ahead of City work and, Contractor shall provide safe access, facilitate and permit facility operator to enter test pit excavations for the purpose of testing gas facilities to be removed by the Contractor. However, facility operator may prefer to make this test during performance of City work, in order to issue the above certification. This shall be permitted provided that it is agreed that additional costs, if any resulting from this choice shall be a matter of adjustment between the Contractor and facility operator only, and at no cost to the City.

## 3. Restrictions:

The facility operator shall be solely responsible for its contaminated gas facilities, surrounding contaminated soil and their disposal and abatement procedures, unless contract bid items are applicable and provided for such work. In such cases, the quantity removed shall be charged to EP-7 bid item "UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS" at the City bid prices.

## 4. Method Of Measurement:

Abandoned gas pipeline removal shall be measured for payment per linear foot of pipe and appurtenances removed.

## 5. Price To Cover:

The price shall cover all additional cost of supervision, labor, materials, equipment, and insurance necessary to complete this work in accordance with the contract plans and specifications, including excavation by hand around and under other City and facility operator owned properties and, where necessary, support and protection of such properties. The price shall also cover breaking, cutting, and/or burning of abandoned gas pipes and their disposal from the site; sealing open ends remaining in the excavation with concrete or caps (caps to be provided by the facility operator) and backfilling of the area where the pipeline has been removed with clean backfill. The price shall also include any required dump charges. This item does not include any type of extra excavation, backfilling, compaction, pavement removal and restoration associated with abandoned gas facilities removal, all of which are covered under Section 6.06.

### **SECTION 6.03.1 - Removal Of Abandoned Gas Facilities With Possible Coal Tar Wrap. All Sizes. (For National Grid Work Only)**

#### 1. Description:

Under this section the Contractor shall provide all labor, materials, equipment, insurance and, incidentals required for the removal of abandoned gas mains, services or appurtenances thereof, located within the street shown on the contract plans, owned by the gas company operating in the project area (facility operator), used or to be used for or in connection with or to facilitate the conveying, transportation, distribution or furnishing of gas (natural or manufactured or mixture of both) for light, heat, or power, but does not include property used solely for or in connection with business of selling, distributing or furnishing of gas in enclosed containers. Such removal shall include only abandoned gas facilities that interfere with (i.e. cause additional work) City work. These gas facilities may be coated with Coal Tar Wrap and so, may require special handling and disposal methods as specified in National Grid Standard Operating Procedure 12-2, Coal Tar Wrap Handling and 12NYCRR56.

## 2. Determination Of Operating Status Of Gas Facilities:

The Contractor shall notify facility operator, as required by New York State Industrial Code 753. Gas facilities shall not be removed without the approval of the facility operator whose authorized representative shall certify in writing (specific facility or area wide facilities certification) and in a timely manner acceptable to the Resident Engineer that abandoned facilities are free of combustible gas and any other environmental contaminants prior to removal. The Resident Engineer shall rely on the facility operator's certification. The facility operator may request the excavation of test pits (See Section 6.07) for this determination ahead of City work and, the Contractor shall provide safe access, facilitate and permit facility operator to enter test pit excavations for the purpose of testing gas facilities to be removed by the Contractor. However, the facility operator may prefer to make this test during performance of City work, in order to issue the above certification. This shall be permitted provided that it is agreed that additional costs, if any, resulting from this choice shall be a matter of adjustment between the Contractor and the facility operator only, and at no cost to the City contract. Should such investigation result in the determination that the abandoned gas facilities do not contain Coal Tar Wrap then the removal of said facilities shall be covered under separate item (See Section 6.03).

## 3. Requirements:

The City Contractor shall excavate abandoned gas facility sufficiently, either in its entirety, or at locations determined by Contractor to allow the removal of Coal Tar Wrap (if present on the abandoned gas facility) and to facilitate the safe extraction of manageable lengths of abandoned pipe without damage to adjacent facilities, utilities or City structures either parallel to or crossing above or below abandoned gas facility. The Contractor is to allow access to the designated cutting points within the Contractor's trench by authorized National Grid personnel who will remove the Coal Tar Wrap as per National Grid procedures. This work by National Grid personnel shall be performed in a timely fashion and shall not unduly impede the Contractor's progress and/or productivity. Upon completion of the coating removal, the Contractor shall be allowed to cut, burn or grind the gas facility and remove the section of abandoned pipe. The Contractor at a site designated by the Contractor shall stockpile the removed pipe. The facility operator will be responsible to provide trucking and disposal services with its own personnel and shall remove the stockpiled pipes during off hours or during such time as agreed to by the Contractor. Since the pipe removed will remain the property of the facility operator and is to be disposed of by the facility operator, the facility operator shall be responsible for any required notifications, filings, dump charges and incidentals associated with the disposal of abandoned gas facilities found to contain Coal Tar Wrap.

## 4. Method Of Measurement:

Abandoned gas pipeline removal shall be measured for payment per linear foot of pipe and appurtenances removed.

## 5. Price To Cover:

The price shall cover all additional cost of supervision, labor, materials, equipment and insurance necessary to complete this work in accordance with the contract plans and specifications, including excavation by hand around and under other City and facility operator owned properties and, where necessary, the support and protection of such properties. The cost shall also include hand excavation in the area(s) of proposed abandoned pipe cut(s), cutting and/or burning of abandoned gas pipes and stockpile of removed sections of abandoned pipe and associated maintenance and protection of traffic, blocking and temporary fencing if required. The unit price shall also cover sealing open ends remaining in the excavation with concrete or end caps (end caps to be provided by the facility operator) and backfilling of the area where the abandoned pipeline has been removed with clean backfill material. This item does not include any type of extra excavation, backfilling, compaction, pavement removal and/or restoration (temporary and permanent) associated with abandoned pipe removal ("lost trench"), all of which are covered under separate Section 6.06. The price shall also include allowance for any loss of productivity by the Contractor due to required facility operator work to remove pipe coating and prepare pipe for cutting as well as any change in Contractor's excavation method, additional trucking and/or stockpiling costs.

**SECTION 6.03.1a - Removal Of Abandoned Gas Facilities With Possible Coal Tar Wrap.  
All Sizes. (For Con Edison Work Only)**

**1. Description:**

Under this section the Contractor shall provide all labor, material, equipment, insurance and, incidentals required to prepare abandoned gas mains, services and appurtenances thereof located within the street shown on contract plans, owned by the gas company operating in the project area (facility operator), for removal due to interference with proposed City work. These abandoned gas facilities were, at one time, used for or in connection with or to facilitate the conveying, transportation, distribution or furnishing of gas (natural, manufactured or a combination of both) for light, heat, or power, but does not include property used solely for or in connection with business of selling, distribution or furnishing of gas in enclosed containers. Such preparation for removal shall include only abandoned gas facilities that interfere with (i.e. cause additional work) City work. These gas facilities may be coated with Coal Tar Wrap which may contain asbestos or PCB's and so, may require special handling and disposal methods as specified in Con Edison - ASBESTOS MANAGEMENT MANUAL, CHAPTER 6 - ASBESTOS WORK PROCEDURES, SECTION 06.04 - COAL TAR WRAP REMOVAL. For under 25' (feet) in length and an approved NYC-DEP variance for over 25' (feet).

**2. Determination Of Operating Status Of Gas Facilities:**

The Contractor shall notify facility operator, as required by New York State Industrial Code 753. Gas Facilities shall not be removed without the approval of the facility operator whose authorized representative shall certify in writing (specific facility or area wide facilities certification) and in a timely manner acceptable to the Resident Engineer that abandoned facilities are free of combustible gas and any other environmental contaminants prior to removal. The Resident Engineer shall rely on the facility operator's certification. The facility operator may request the excavation of test pits (See Section 6.07) for this determination ahead of City work and Contractor shall provide safe access, facilitate and permit facility operator to enter test pit excavations for the purpose of testing gas facilities. However, the facility operator may prefer to make this test during performance of City work in order to issue the above certification. This shall be permitted provided that it is agreed that additional costs, if any, resulting from this choice shall be a matter of adjustment between the Contractor and the facility operator only, and at no cost the City contract. Should such investigation result in the determination that the abandoned gas facilities do not contain Coal Tar Warp then the removal of said facilities shall be covered under separate item (See Section 6.03).

**3. Requirements:**

The Contractor shall excavate abandoned gas facility sufficiently, either in it's entirety, or at locations determined by Contractor to allow the removal of Coal Tar Wrap (if present on the abandoned gas facility) and to facilitate the safe extraction of manageable lengths of abandoned pipe without damage to adjacent facilities, utilities or city structures either parallel to or crossing above or below abandoned gas facility. The Contractor is to allow access to the designated cutting points within the Contractors trench by authorized Con Edison personnel who will remove the Coal Tar Wrap as per Con Edison and/or NYC-DEP approved procedures. This access shall conform to all applicable codes, rules & regulations. This work by Con Edison personnel shall be performed in a timely fashion and shall not unduly impede the Contractors progress and/or productivity. Upon completion of the coating removal, the Contractor shall be allowed to cut, burn or grind the gas facility and remove the section of abandoned pipe. Contractor shall designate a specific site to stockpile those removed pipes. The facility operator will be responsible to provide trucking and disposal services with its own personnel and shall remove the stockpiled pipes during off hours or during such time as agreed to by the Contractor. Since the pipe removed will remain the property of the facility operator and is to be disposed of by the facility operator, the facility operator shall be responsible for any required notifications, filings, dump charges and incidentals associated with the disposal of abandoned gas facilities found to contain Coal Tar Wrap.

**4. Method Of Measurement:**

Abandoned gas facility removal shall be measured for payment per linear foot of pipe and appurtenances removed.

5. Price To Cover:

The price shall cover all additional cost of supervision, labor, materials, equipment and insurance necessary to complete this work in accordance with the plans and specifications, including, but not limited to, excavation by hand around and under other City and facility operator owned properties and, where necessary, the support and protection of such properties. The cost shall also include hand excavation in the area(s) of proposed abandoned pipe cut(s), cutting and/or burning of abandoned gas pipes and stockpile of removed sections of abandoned pipe and associated maintenance of traffic, blocking and temporary fencing if required. The unit price shall also cover sealing open ends remaining in the excavation with concrete or end caps (end caps to be supplied by facility operator) and backfilling of the area where the abandoned pipeline has been removed with clean backfill material. This item does not include any type of extra excavation, backfilling, compaction, pavement removal and/or restoration (temporary and permanent) associated with abandoned pipe removal ("lost trench"), all of which are covered under separate Section 6.06. The price shall also include allowance for any loss of productivity by the Contractor due to required facility operator work to remove pipe coating and prepare pipe for cutting as well as any change in Contractor excavation method, additional trucking and/or stockpiling costs.

**SECTION 6.04 - Adjust Hardware To Grade Using Spacer Rings/Adaptors. (Street Repaving.)**

1. Description:

Under this section, the Contractor shall provide all labor, supervision, materials, equipment, insurance and incidentals required to adjust to final grade gas street surface hardware located within the contract area boundaries shown on the plans. The gas company operating in the area, (facility operator), owns these facilities. The work shall be performed in accordance with the contract plans, specifications and at the directions of the Resident Engineer in concurrence with authorized representative of the facility operator.

2. Materials:

The facility operator shall furnish and deliver all prefabricated hardware parts required. These include adaptors for the grade adjustment proper and new street hardware if existing ones are found to be defective, all in accordance with the facility operator standards and City rules and regulations. The Contractor shall notify the facility operator of the installation schedule at least three (3) business days before materials are required on the site. Should the facility operator fail to deliver the necessary material according to any schedule mutually agreed upon by the Contractor and facility operator, the City shall not be responsible for any delays attributable thereto, nor for the failure of delivery of such materials. On project where material storage is not permitted on site, the facility operator shall deliver the required material to the Contractor's yard and it shall be the Contractor's responsibility to transport the material to the work site when needed for installation. It shall also be the Contractor's responsibility to inspect the materials to be installed by him immediately upon delivery and advise the facility operator through its authorized representative, of all damaged materials. The Contractor at no additional expense to the City or the facility operator shall replace any material that is damaged or lost after the Contractor's inspection.

3. Method Of Measurement:

The Contractor shall be paid for each six (6) inch round box and/or nine (9) inch square box adjusted to grade regardless of adjustment height requirements.

4. Price To Cover:

The unit price bid for this item shall include all additional labor, supervision, insurance, equipment and material (except those to be provided by the facility operator), required to adjust each box to grade as required in the contract plans and specifications. The bid price shall also include the removal of existing frames and covers from existing facilities to be salvaged and returned to the facility operator and, all material transportation from the Contractor's material storage yard to the work site. In addition the bid price shall include "chipping" around existing box using appropriate means and methods where grinding is required.

## SECTION 6.05 - Adjust Hardware To Grade By Resetting. (Road Reconstruction.)

### 1. Description:

Under this item, the Contractor shall provide all labor, supervision, materials, equipment, insurance and incidentals required to adjust to the proposed grade gas street surface hardware located within the contract area boundaries shown on the plans. The gas company operating in the area, (facility operator), owns these facilities. The work shall consist of either building up or lowering or resetting the casting by removing the existing frame and cover building up or decreasing the existing installation, replacing the frame and/or cover if damaged or worn out, as determined by the Resident Engineer, with a new frame and/or cover furnished by the owner, and setting the frame and cover to new elevation. The work shall be performed in accordance with the contract plans, specifications and at the directions of the Resident Engineer.

### 2. Materials:

The facility operator shall furnish and deliver all new hardware parts required. The Contractor shall furnish materials such as mortar, bricks and concrete in compliance with contract requirements. At locations where high-early strength concrete is required under this contract to be placed adjacent to gas facilities, then the requirement for concrete shall be high-early strength complying with the current New York State Department of Transportation, Standard Specifications for Class F concrete. Existing castings may be replaced as required and deemed necessary by the Engineer and by City rules and regulations. The Contractor shall install the new castings of various sizes furnished by the facility operator. The Contractor shall notify the facility operator of the installation schedule at least three (3) business days before materials are required on the site and shall provide off-loading services to the facility operator. Should the facility operator fail to deliver the necessary material according to any schedule mutually agreed upon by the Contractor and facility operator, the City shall not be responsible for any delays attributable thereto, nor for the failure of delivery of such materials. Such delays shall be a matter of adjustment between the Contractor and the facility operator. On project where material storage is not permitted on site, the facility operator shall deliver the required material to the Contractor's yard and it shall be the Contractor's responsibility to transport the material to the work site when needed for installation. It shall also be the Contractor's responsibility to inspect the materials to be installed by him, immediately upon delivery and advise the facility operator through its authorized representative, of all damaged materials. The Contractor at no additional expense to the City or the facility operator shall replace any material that is damaged or lost after the Contractor's inspection.

### 3. Methods Of Construction:

The Contractor shall remove and reinstall existing castings or install new castings to the proposed grade. Setting and resetting the castings shall be done with mortar and brick according to the standards of the facility operator. Work shall be performed in a workmanlike manner. Castings that are deemed unacceptable for resetting shall remain the property of the facility operator and he shall be responsible for their removal and proper disposal from site. No traffic shall be allowed on adjusted street hardware until permitted by the Engineer.

### 4. Method Of Measurement:

The Contractor shall be paid for each gas hardware adjusted to grade regardless of size or adjustment height requirements (up or down).

### 5. Price To Cover:

The unit price bid for this item shall include all additional labor, supervision, insurance, equipment and material (except those to be provided by the facility operator), required to adjust each gas hardware to grade as required in the contract plans and specifications. The bid price shall also include the removal of existing frames and covers from existing facilities; building up the existing installations with bricks and mortar, or lowering the existing installation by removing bricks and mortar; replacing damaged frames and/or covers with new frames and/or covers furnished by the facility operator; setting the frames and covers to the new elevations; protect existing installations; repair minor structural damages to existing installations prior to resetting frames; unloading of furnished castings at the Contractor's yard and transporting castings from the Contractor's yard to the job site as required; completing the work in

accordance with the contract plans, specifications and, at the directions of the Engineer. In addition the bid price shall include "chipping" around existing gas facilities using appropriate means and methods where grinding is required.

## **SECTION 6.06 - Special Care Excavation And Backfilling.**

### **1. Description:**

Under this section, the Contractor shall provide all labor, materials, equipment, insurance and incidentals required to support and protect the integrity of live gas facilities including mains, services, related structures and appurtenances during excavations. The gas company operating in the area, (facility operator), owns these facilities. The work shall be performed in accordance with the contract plans, specifications and at the directions of the Resident Engineer in consultation with authorized representatives of the facility operator.

### **2. Applicability Of Section:**

This section shall apply to live gas facilities of various sizes located within two (2) feet of any face of unsheeted excavation, (unsheeted excavation refers to any excavation performed for city work and includes excavations performed that are to be subsequently sheeted using approved methods) and paralleling or, encroaching any face of excavation. Also, for crossings greater than forty-five (45) degrees and/or located at a cover depth greater than five (5) feet from existing street surface. Parallel facilities are not exposed at any time during excavation (See "Gas Cost Sharing Work Standard Sketch No. 5"). Encroaching facilities are partially exposed inside the limit of excavation (See "Gas Cost Sharing Work Standard Sketch No. 5"). This section shall also apply to gas facilities crossing catch basins excavation, and catch basins sewer connections (chutes) trench excavation only when extra depth (covered in other section), is not required for chutes installations because of such utilities interferences (See "Gas Cost Sharing Work Standard Sketch No. 3"). This section shall also apply to gas services (if shown or otherwise listed in contract documents) crossing unsheeted excavations for water mains, gas facilities crossing fire hydrant branch connections, house sewer and/or water service connections excavations. This section shall also apply for so called "loss trench", as described further, and for additional excavation (pavement and/or soil), backfilling, compaction, roadway base and pavement restoration due to abandoned gas facilities, only if removed by Contractor. If operating status of gas facilities cannot be determined prior to excavation then such facilities shall be considered live and this section shall fully apply. The excavation around fully exposed live gas facilities along and within limits of excavation (not crossings) shall be covered by this section also (not shown on "Gas Cost Sharing Work Standard Sketch No. 5"), however the support requirement, if any is required, of such facilities is beyond the scope of these specifications and therefore shall be the responsibility of facility operator to determine and prescribe, at no cost to the City contract, but shall be a matter of adjustment between the Contractor and facility operator.

### **3. Payment Restriction:**

No special care excavation shall be paid for abandoned gas facilities paralleling and/or encroaching excavation and therefore are not in direct interference with City work. Except as allowed in this section, the bid item specified under this section shall not be used in combination with items covered under other sections for work done due to a particular gas facility. This item shall not be paid for new gas facilities when trenching for such new facilities has been performed by the Contractor of record in common with trench excavation for City Work (overlapping trench limits). The cost of excavating with care as defined in this section shall be deemed included in the cost of trench excavation for the new gas facilities. This restriction shall apply even if such gas common trench excavation is not part of the contract. If facilities are in direct interference with City work, meaning that "Minimum Clearances" described in "General Provisions; Gas Cost Sharing Work Paragraph No. 8" cannot be maintained and excavation has to be temporarily or permanently abandoned then this particular location shall become a test pit and dealt with as specified in Section 6.07 and "General Provisions; Gas Cost Sharing Work Paragraphs Nos. 2 and 8".

### **4. Method Of Construction:**

All excavation in the vicinity of gas facilities shall be as required by NYS Industrial Code 753. Where these facilities are paralleling and located two (2) feet or less from the limits of the proposed excavation, the Contractor shall use hand excavation methods (pick and shovel or hand held power tools) to ascertain

the clearances of these facilities with respect to the proposed excavation. Once the location of these facilities with respect to the proposed excavation is verified to the satisfaction of the Resident Engineer, the Contractor shall then proceed with a combination of hand and machine excavation as required preserving the integrity of the facilities. The installation of timber supports or underpinning, when soil foundation cannot fully support partially exposed pipes, may be required to prevent pipe movement as directed by the Resident Engineer.

5. Method Of Payment:

The unit price for this work item shall be based on cubic yard (CY) of average excavation with care and, is to be considered as an incremental cost for performing City work with gas facilities interferences.

6. Method Of Measurement:

- A. For Paralleling Facilities: Volume calculated as: Depth as measured from existing street surface to the bottom of unsheeted trench excavation allowable by OSHA regulations, multiplied by, the width measured as one (1) foot from the face of excavation toward the center of excavation, multiplied by the length of parallel facility, divided by twenty-seven (27) cubic feet per cubic yard (See "Gas Cost Sharing Work Standard Sketch No. 5"). The gas facility is no longer considered to be in interference once sheeting has been installed, therefore no further compensation for paralleling facilities as described above will be made.
- B. For Encroaching Facilities: Volume calculated as: Depth of trench excavation multiplied by, the width of partially exposed pipe plus one (1) foot, multiplied by the length of facility encroachment, divided by twenty-seven (27) cubic feet per cubic yard (See "Gas Cost Sharing Work Standard Sketch No. 5").
- C. Fully Exposed Gas Facilities: (Not shown on "Gas Cost Sharing Work Standard Sketch No. 5") along and inside trench and/or crossing trench at an angle greater than forty-five (45) degrees and/or a cover depth greater than five (5) feet from the existing street surface. The volume shall be measured as the depth of trench excavation multiplied by the distance measured along the sheeting line between two (2) points of intersections of the gas facilities and the sides of trench excavation, multiplied by the width of trench excavation.
- D. For Additional Excavation And Restoration Due To So Called "Loss Trench", When The Integrity Of Pavement And Soil Above And Around Existing Live Gas Facilities Cannot Be Maintained Due To Its Lack Of Cohesiveness: Volume shall be calculated as: Depth of unsheeted trench excavation multiplied by width measured as distance of facility from closest edge of unsheeted excavation plus, width of facility proper plus, one (1) foot or a maximum width of three (3) feet multiplied by length of facility fully exposed divided by, twenty-seven (27) cubic feet per cubic yard (not shown on "Gas Cost Sharing Work Standard Sketch No. 5").
- E. For Facilities Crossing Excavation For Catch Basins, Or Chutes Installations (When NYCDEP Funded) Or Fire Hydrant Branch Connections, Or Unsheeted Water Main Trench, Or House Sewer And/Or Water Services: Volume calculated as: Depth as defined above multiplied by, the width taken as the outside diameter of pipe or the width of structure plus one (1) foot on either side (two (2) feet), multiplied by, the length of exposed facility crossing the trench, divided by twenty-seven (27) cubic feet per cubic yard (not shown on "Gas Cost Sharing Work Standard Sketch No. 5").

Overlapping volume dimensions measured as described above may occur when multiple facilities are paralleling excavations, encroaching excavations or crossing catch basins and catch basin chute installations. In such cases, all such facilities shall be counted as one limited by the extreme pipes, faces (See "Gas Cost Sharing Work Standard Sketch No. 2"). The volume shall then be calculated as described above.

7. Price To Cover:

The bid price shall also cover all additional supervision, labor, material, equipment and insurance necessary to excavate while protecting and maintaining (excluding supports for fully exposed live gas) gas facilities without disruption of service to the public and in accordance with contract specifications. The price shall also include, changes of sheeting method and excavation width configuration where necessary to accommodate gas facilities in their existing locations; difficulties during the installation of catch basins,

chute connections, hydrant branch, and house sewer and water connections under or over gas facilities; loss of productivity due to slower rate of excavation (special care) during excavation, including the use of such methods as: hand excavation around existing single and multiple facilities, extra excavation and backfilling due to lost trench because of existing and adjacent gas facilities, compaction, removal of sheeting from the facilities, extra roadway base restoration and temporary pavement, associated maintenance and protection of traffic, barricades, and traffic plates that may be required to temporarily close and/or complete the work.

## **SECTION 6.07 - Test Pits For Gas Facilities.**

### **1. Description:**

Under this section, the Contractor shall furnish all labor, materials, insurance, equipment and appliances necessary to excavate, sheet and, maintain test pits at locations approved by the Resident Engineer in consultation with the facility operator. Test pits shall be dug in order to ascertain exact locations, cover and invert elevations, clearances, alignment and operating status (live or dead) of existing gas facilities. The Contractor shall inspect jointly with the Resident Engineer and facility operator, gas facilities and other structures uncovered, take all relevant measurements and elevations as directed by the Resident Engineer. Tests to determine operating status of gas facilities shall be performed by facility operator. The pits shall be covered with steel plates during daytime nonworking hours, and uncovered, as required, until the inspection work is completed. Testing of gas facilities may require a maximum of four (4) hours. Then, the pits shall be backfilled with clean fill, and resurfaced with temporary pavement. All traffic shall be maintained and all safety measures as stipulated shall be complied with.

### **2. Methods Of Construction:**

A. **Excavation:** Existing pavement to be removed shall be neatly cut along lines of removal with a saw or other approved equipment which leaves a neat straight joint line along the juncture with subsequently replaced pavement. Excavation in the vicinity of utilities and other structures shall be performed using hand tools. Use of hand operated pneumatic and electric jackhammers will be permitted only for breaking pavement and removal of masonry, concrete and boulders, or as otherwise directed by the Resident Engineer. The Contractor shall properly dispose of all materials excavated from test pits away from site. Test pits shall be excavated at locations shown on the contract drawings or as directed by the Resident Engineer. Additional test pits may be required and shall be excavated where required, as ordered by the Resident Engineer. All test pits shall be excavated to a depth and size necessary to locate the existing facilities. Shoring shall be used when depth of excavation exceeds five (5) feet. The shoring required shall be furnished and installed in full compliance with the State of New York and Federal Safety Codes requirements and as specified in contract, whichever is more stringent. Care shall be taken that no existing gas facilities or other structures are broken or damaged. All broken or damaged facilities shall be reported immediately to facility operator who shall decide whether such facilities shall be repaired or replaced by company forces or by City contractor and in conformance with "General Provisions; Gas Cost Sharing Work Paragraph No. 9". Contractor shall excavate all material encountered, including large masses of concrete, cemented masonry and boulders, as directed by the Resident Engineer. Any type of excavation protection used, shall satisfy the following:

- (a) Industrial Code Rule 753.
- (b) Prevent injury to workers and the public, and avoid damage to existing water, sewer, and gas pipes or other structures, and to pavements and their foundations, through caving or sliding of the banks of the excavation.

Should it become necessary, as determined by the Resident Engineer, to enlarge any test pit in any dimension after sheeting has been placed, the Contractor shall remove portions of the sheeting, as necessary, enlarge the test pits as directed, and replace the sheeting without additional compensation for this work other than for the additional volume of material excavated.

B. **Maintenance Of Test Pits:** Excavated test pits shall be maintained free of debris and kept dry by the Contractor in order to permit the inspection and measurements and to determine the locations of facilities. In order to accomplish this, Contractor shall, upon completion of excavation and placement of sheeting (if depth greater than five (5) feet), furnish and install adequate steel plates and posting



over the excavated pits and shall temporarily remove all equipment debris and workers, and relocate barricades in order to open the full width of street to traffic during nonworking hours. The Contractor shall then, at no additional cost, relocate such barricades, barrels, cones and other warning devices and remove steel plates, as and when directed by the Resident Engineer to facilitate the inspection of exposed facilities. When work is being performed and the pits are not covered with steel plates, the Contractor shall provide complete and safe access to the test pits as may be required, and he shall provide construction barricades and maintain traffic at all times as shown or as directed by the Resident Engineer. Upon completion of test pit inspection by the Resident Engineer, the pit shall be backfilled by the Contractor as specified in contract, except that backfill material shall conform to contract specifications for such purpose.

- C. Pavement And Sidewalk Restoration: After backfilling is completed, the Contractor shall construct a temporary pavement consisting of a minimum of four (4) inches thick asphaltic concrete mixture in roadway areas or a two (2) inches thick asphaltic concrete mixture in sidewalk areas in order to maintain existing pedestrian and vehicular traffic. This temporary pavement shall be maintained until permanent pavement and sidewalk replacement is constructed as specified in contract.

3. Measurements:

The quantity to be measured for payment shall be the number of cubic yards of material removed from within the limits of the pit dimensions as directed by the Resident Engineer. The volume occupied by existing pipes or other structures remaining within the maximum payment lines will not be deducted from the total volume measured except, where the cross sectional area of these facilities exceeds four (4) square feet. As determined by the Resident Engineer, the quantity measured for payment may be proportionate to a fair and reasonable estimate of gas responsibility in the total volume excavated.

4. Price To Cover:

The contract price bid per cubic yard for test pits shall cover all additional costs of labor, material, insurance, equipment, appliances and incidentals required to excavate test pits, including removal and disposal of excavated materials, sheeting, steel plating, backfill, compaction and temporary pavement and sidewalk restoration all in accordance with the specifications and as direction of the Resident Engineer. The price shall also include the cost of providing safe access to the excavation by facility operator for the performance of certain test to determine operating status of gas facilities prior to City work. The price shall also include support and protection of all gas facilities crossing excavation, paralleling and/or encroaching any face of excavation.

**GAS COST SHARING STANDARD SPECIFICATIONS**  
**SCHEDULE GCS-A**

**Average rate charged by utility companies to Disconnect and Reconnect Gas Services:**

- 1. National Grid - \$586.90 per Service/and Visit
- 2. Con Edison - \$524.00 per Service/and Visit

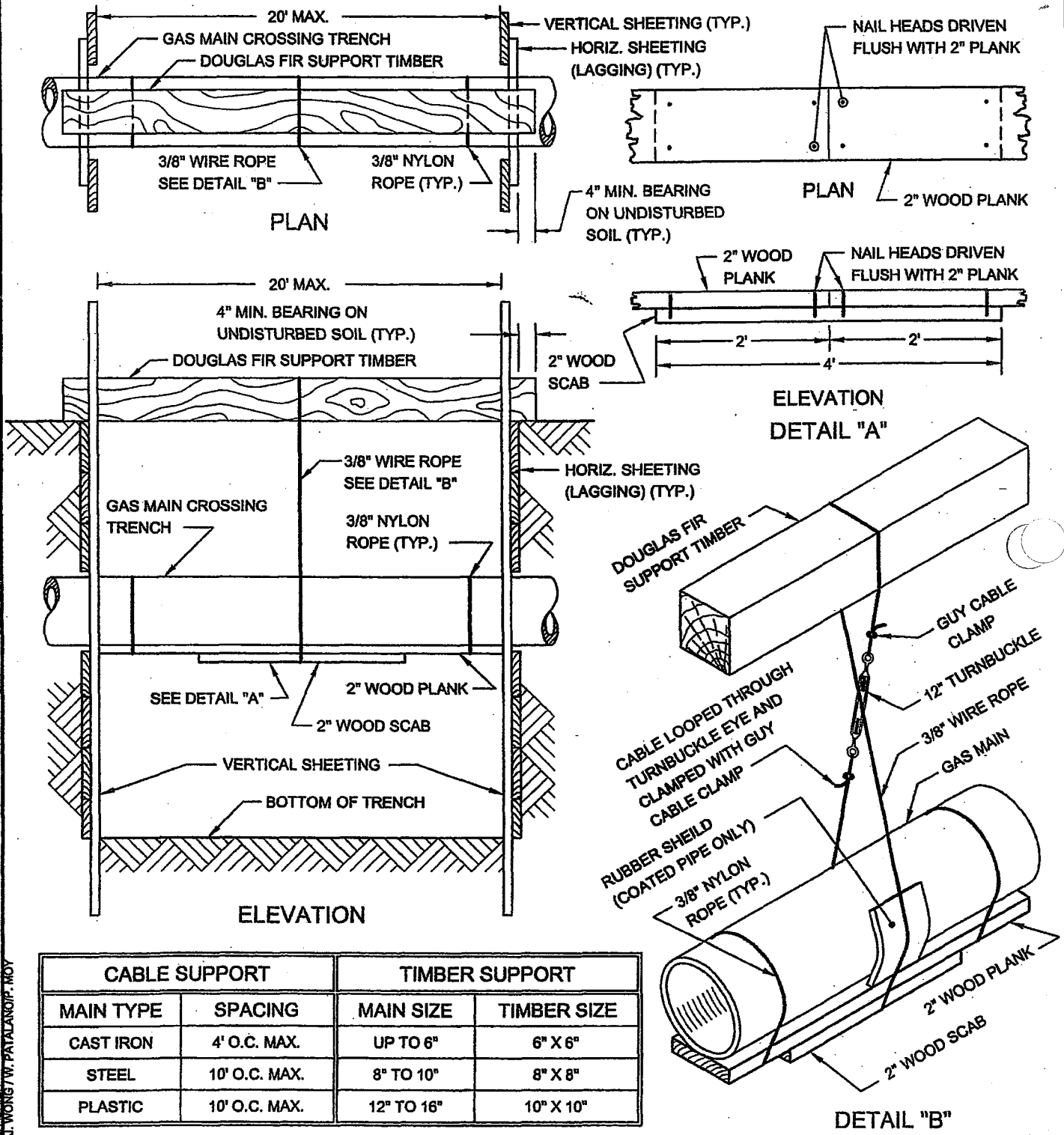
## IV - STANDARD SKETCHES; GAS COST SHARING WORK

Hereinafter attached are the following Standard Sketches for Gas Cost Sharing Work:

- Sketch No. 1 - Support Requirements For Gas Mains And Services Crossing Excavation Greater Than 4' - 0" Wide At Any Angle
- Sketch No. 1A - Support Requirements For Gas Mains Over 16" Diameter Up To And Including 48" Diameter Crossing Excavation At Any Angle
- Sketch No. 2 - Typical Methods Of Measurement For Gas Crossings
- Sketch No. 3 - Utility Crossings During Catch Basin Chute Connection Pipe Installation
- Sketch No. 4 - Utility Crossings During Catch Basin Chute Connection Pipe Installation (Extra Depth)
- Sketch No. 5 - Gas Main Encroachment On And/Or Parallel To Excavation Of Unsheeted Trench

# GAS COST SHARING WORK (SKETCH NO. 1)

## SUPPORT REQUIREMENTS FOR GAS MAINS AND SERVICES CROSSING EXCAVATION GREATER THAN 4'-0" WIDE AT ANY ANGLE

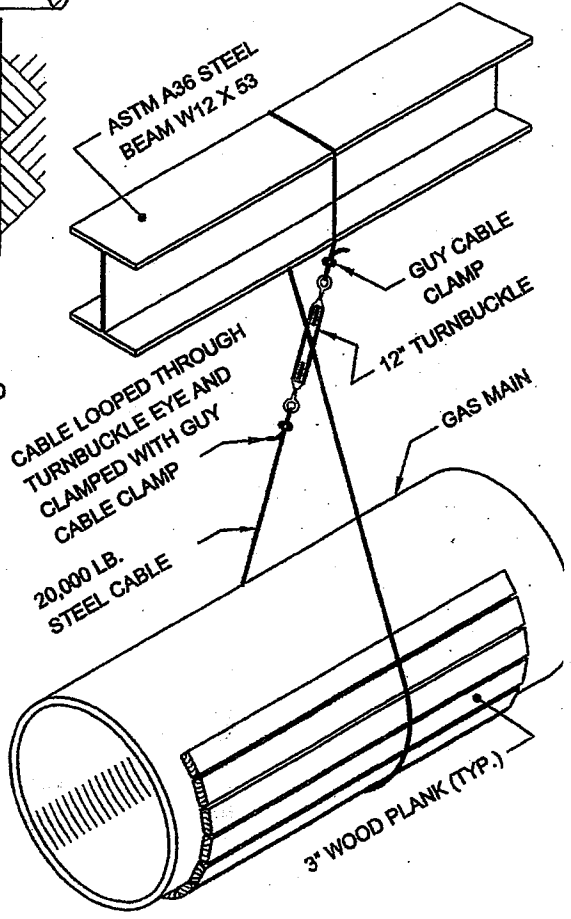
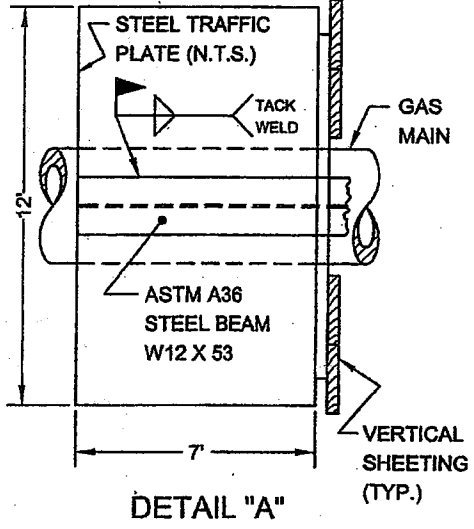
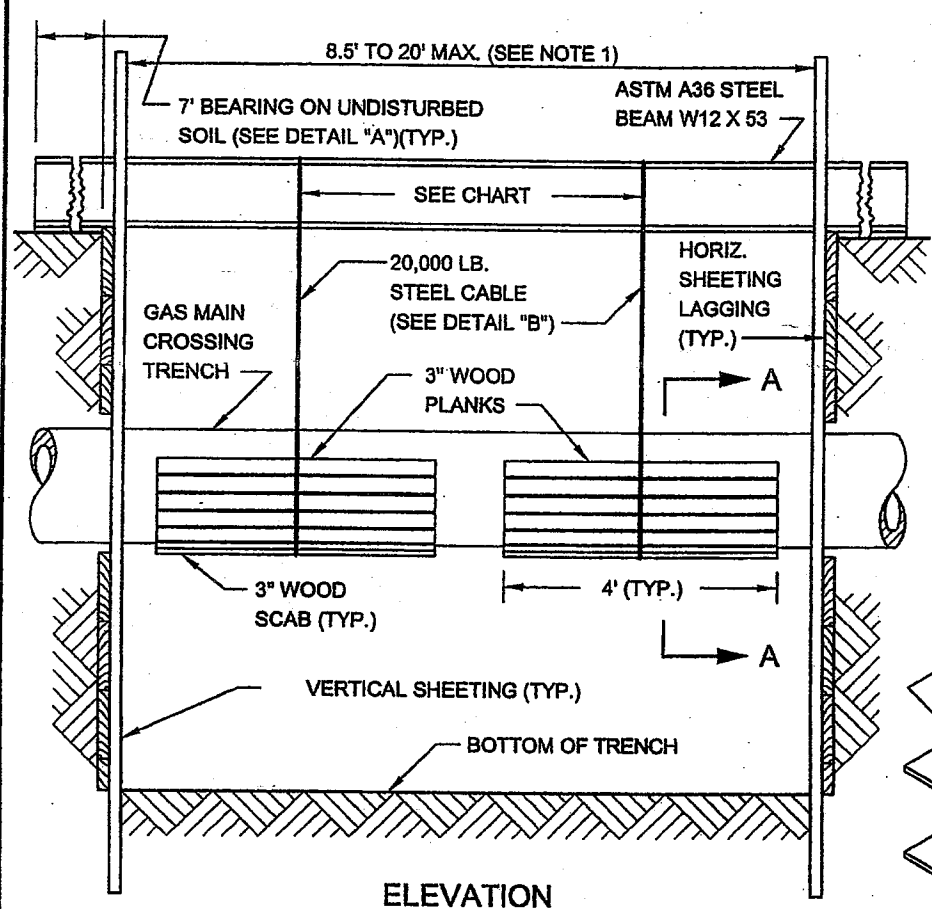


| CABLE SUPPORT |               | TIMBER SUPPORT |             |
|---------------|---------------|----------------|-------------|
| MAIN TYPE     | SPACING       | MAIN SIZE      | TIMBER SIZE |
| CAST IRON     | 4' O.C. MAX.  | UP TO 6"       | 6" X 6"     |
| STEEL         | 10' O.C. MAX. | 8" TO 10"      | 8" X 8"     |
| PLASTIC       | 10' O.C. MAX. | 12" TO 16"     | 10" X 10"   |

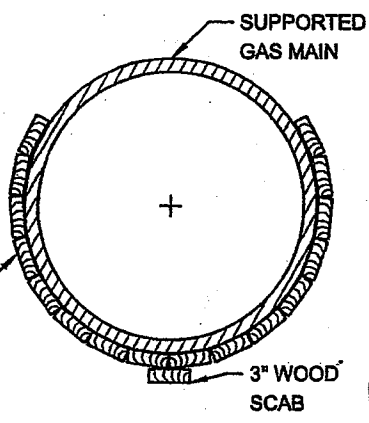
REVISED OCT. 2004 - L. ADRIEN  
REVISED JUNE 1988 - J. WONG / W. PATALANOP, MDY

# GAS COST SHARING WORK (SKETCH NO. 1A)

## SUPPORT REQUIREMENTS FOR GAS MAINS OVER 16" DIAMETER UP TO AND INCLUDING 48" DIAMETER CROSSING EXCAVATION AT ANY ANGLE



| CABLE SUPPORT |               |
|---------------|---------------|
| MAIN TYPE     | SPACING       |
| CAST IRON     | 4' O.C. MAX.  |
| STEEL         | 10' O.C. MAX. |

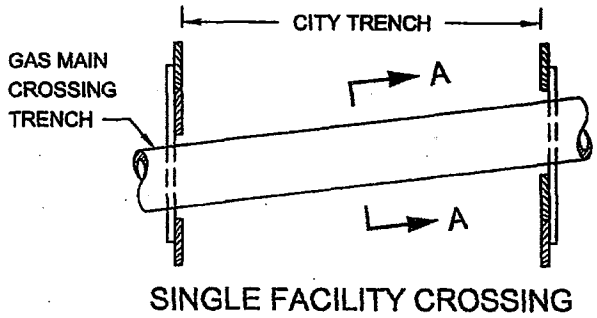


- NOTES:**
- (1) NO SUPPORT IS REQUIRED FOR GAS MAINS OVER 16" DIA. UP TO AND INCLUDING 48" DIA. CROSSING TRENCHES LESS THAN 8.5' WIDE.
  - (2) UNDERMINE A MAXIMUM OF 8.5 L.F. OF CAST IRON GAS MAIN AT A TIME.
  - (3) SET STEEL CABLE OVER 3" WOOD PROTECTIVE PLANKS AND PLACE AN ADDITIONAL 3" SCAB ON THE BOTTOM OF THE GAS MAIN.
  - (4) ADJUST STEEL CABLE UNTIL DEAD WEIGHT OF THE UNDERMINED GAS MAIN HAS BEEN TAKEN UP BY THE OVERHEAD STEEL BEAM SUPPORT.
  - (5) ALL SUPPORTS AND STEEL CABLES CAN BE REMOVED ONLY AFTER THE REQUIRED BACKFILL (AROUND AND BELOW GAS MAIN) HAS BEEN COMPACTED IN ACCORDANCE WITH NEW YORK CITY STANDARDS AND AT THE DIRECTIONS OF THE ENGINEER.

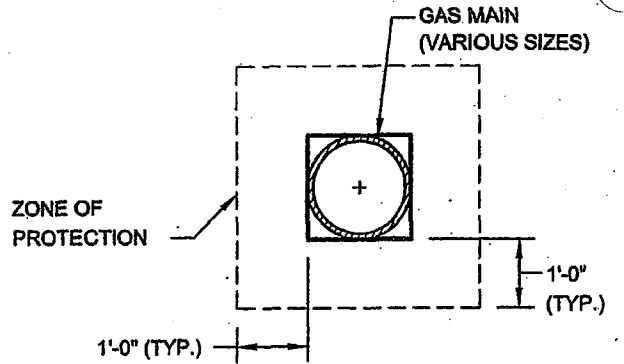
REVISION OCT. 2004 - L. ADRIEN  
 REVISED JUNE 1988 - J. WONG / W. PATALANOF, MOY

# GAS COST SHARING WORK (SKETCH NO. 2)

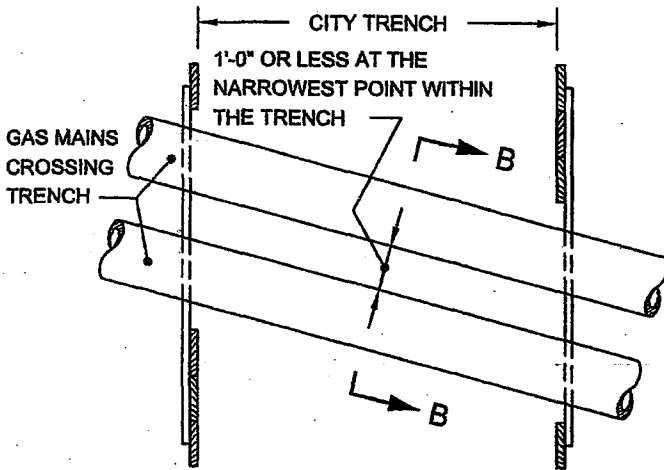
## TYPICAL METHODS OF MEASUREMENT FOR GAS CROSSINGS



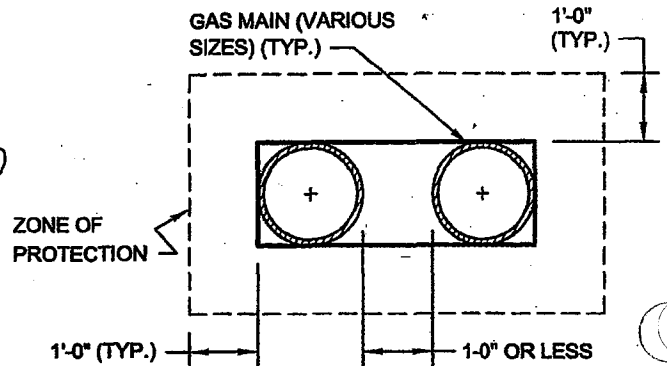
**SINGLE FACILITY CROSSING**



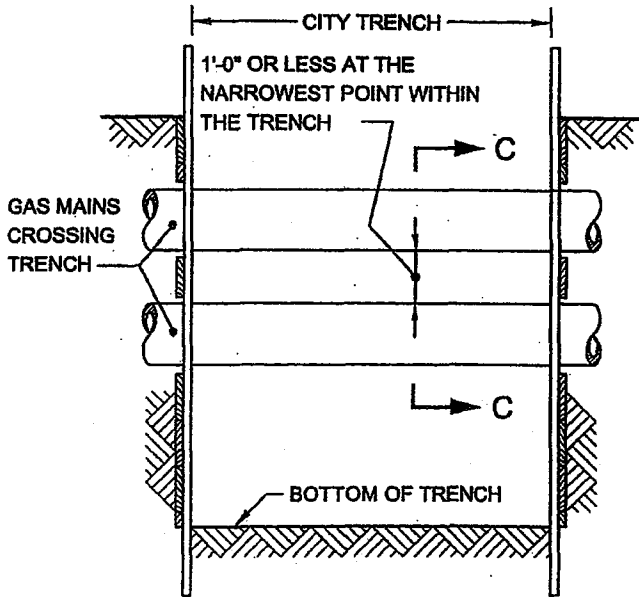
**SECTION A-A**



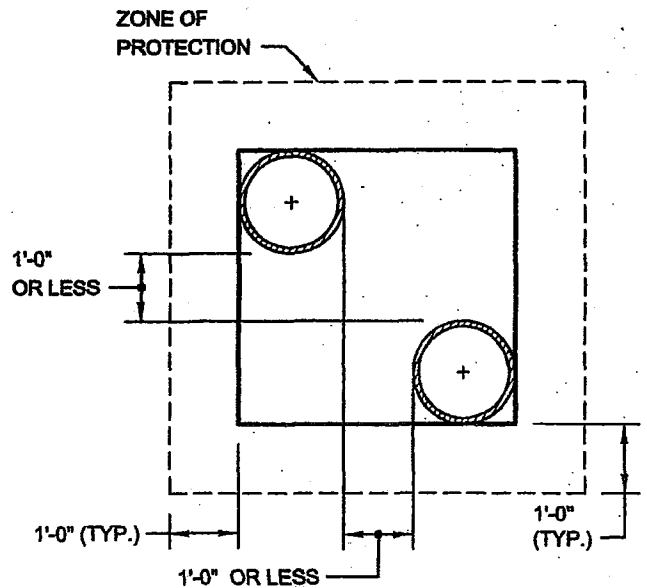
**MULTIPLE FACILITIES  
(GAS MAINS AT SAME ELEVATION)**



**SECTION B-B**



**MULTIPLE FACILITIES  
(ONE CROSSING AT DIFFERENT ELEVATIONS)**



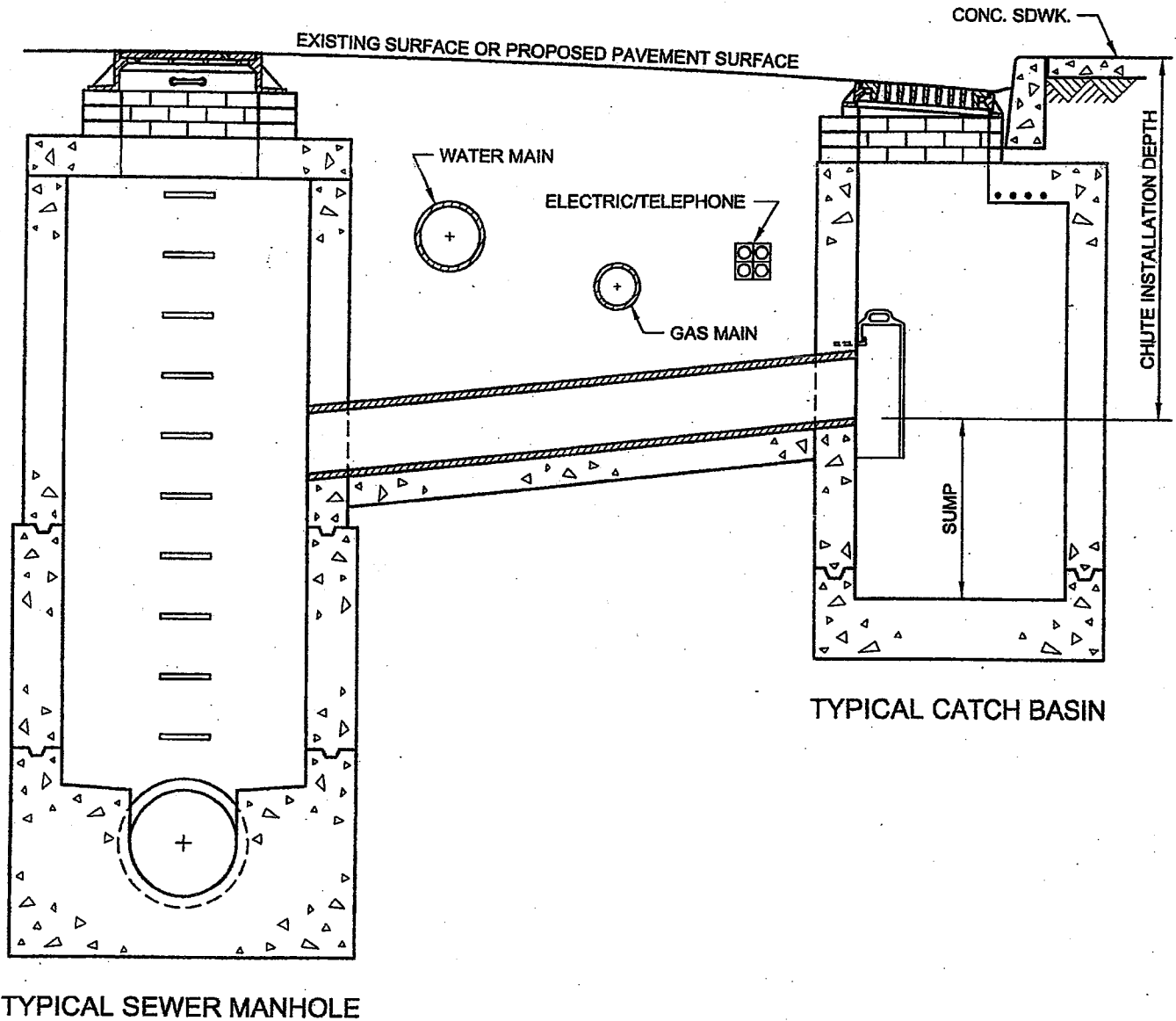
**SECTION C-C**

**NOTE:**

GAS MAINS MAY OR MAY NOT BE PARALLEL TO EACH OTHER.

REVISED SEPT. 2004 - L. ADRIEN  
 REVISED SEPT. 2004 - J. WONG/M. PATALANOF, MOY

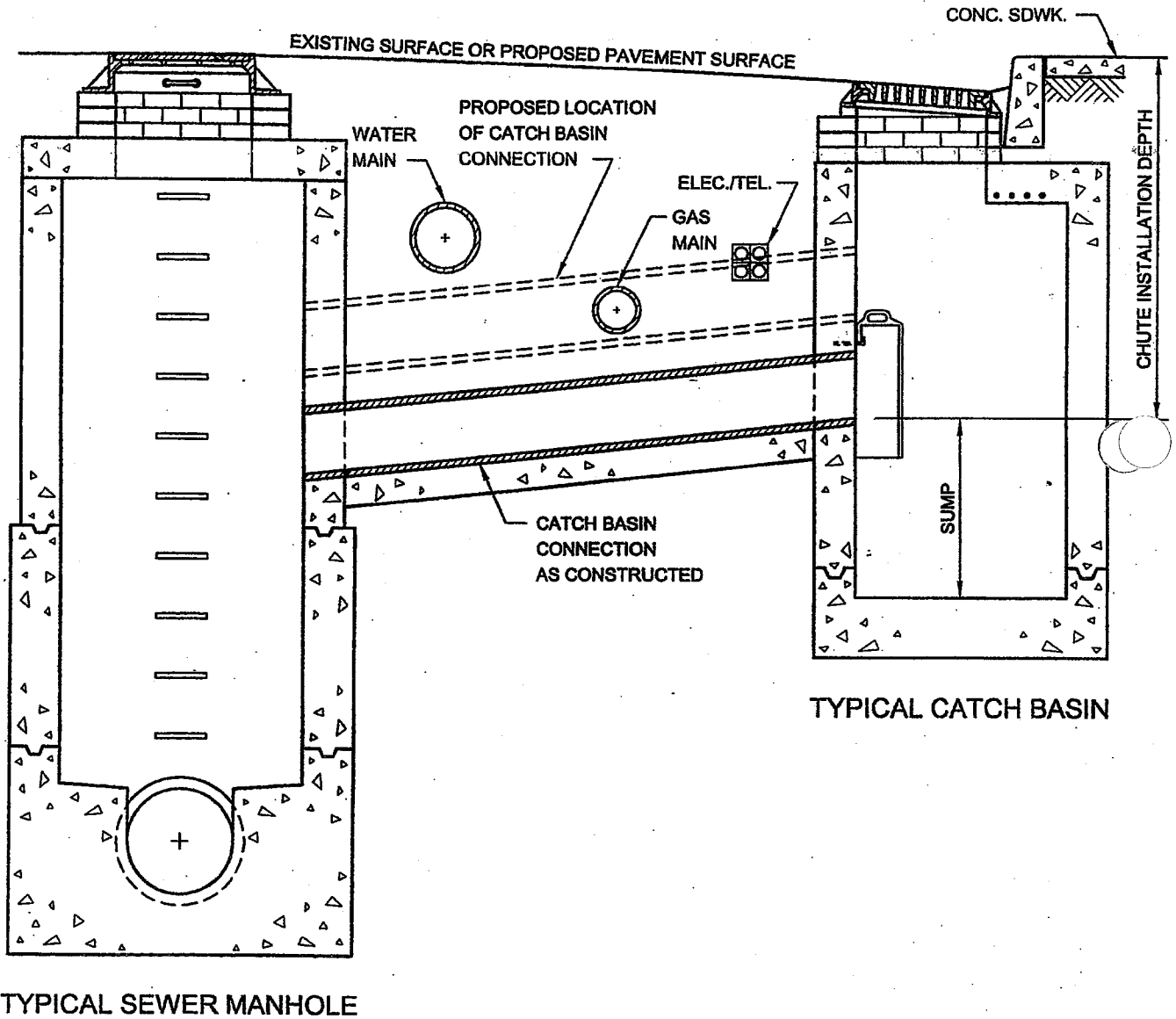
GAS COST SHARING WORK (SKETCH NO. 3)  
UTILITY CROSSINGS DURING CATCH BASIN CHUTE  
CONNECTION PIPE INSTALLATION



REVISED OCT. 2004 - I. ADRIEN  
REVISED OCT. 1986 - J. WONG/W. PATALANO/P. MOY

# GAS COST SHARING WORK (SKETCH NO. 4)

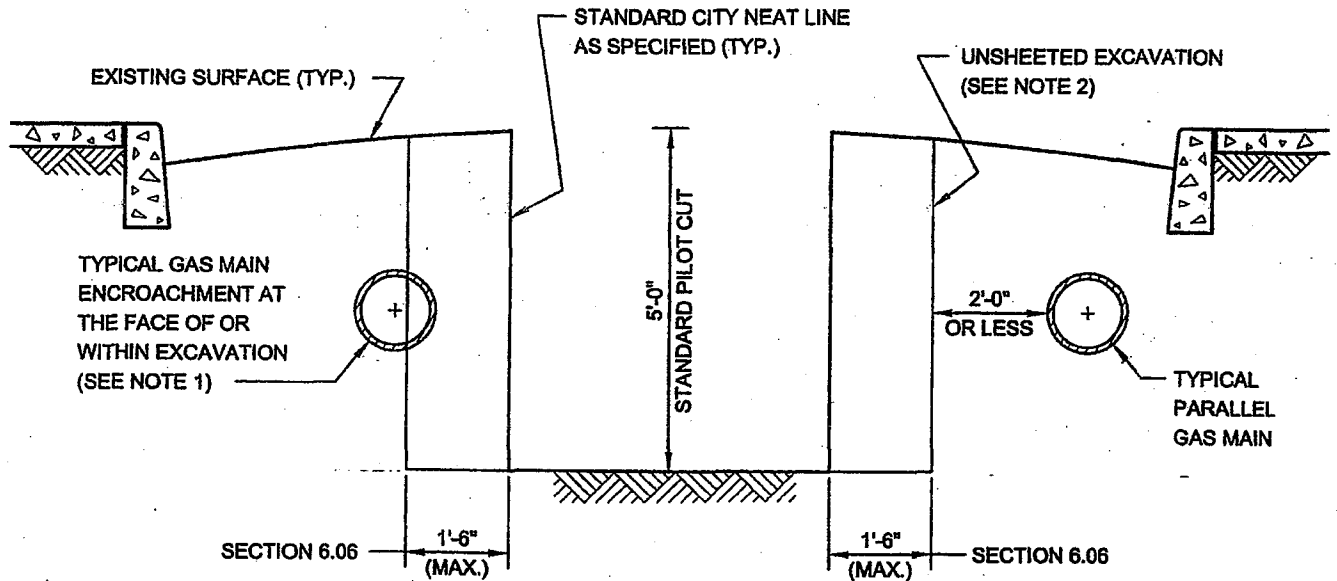
## UTILITY CROSSINGS DURING CATCH BASIN CHUTE CONNECTION PIPE INSTALLATION (EXTRA DEPTH)



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REVISED OCT. 1988 - J. WONGW. PATALANOP. MOY



**GAS COST SHARING WORK (SKETCH NO. 5)**  
**GAS MAIN ENCROACHMENT ON AND/OR PARALLEL**  
**TO EXCAVATION OF UNSHEETED TRENCH**



**NOTES:**

- (1) GAS MAIN LOCATED AS SHOWN MAY HAVE TO BE REMOVED BY THE FACILITY OPERATOR PRIOR TO THE START OF CITY EXCAVATION, OTHERWISE, THE CONTRACTOR WILL BE PAID UNDER SECTION 6.06 FOR THE SAID WORK. IF GAS MAIN IS ABANDONED THEN SECTION 6.03 SHALL APPLY.
- (2) EIGHTEEN (18) INCHES FROM STANDARD NEAT LINE IS THE MAXIMUM ALLOWABLE WIDTH, OF AREA THAT MAY BE DISTURBED OR EXCAVATED DURING INSTALLATION OF CERTAIN TYPES OF SHEETING SYSTEMS THAT MEET THE REQUIREMENTS OF THE STANDARD SPECIFICATIONS OF THE DEPARTMENT OF DESIGN AND CONSTRUCTION OF THE CITY OF NEW YORK.

REVISED OCT. 2004 - L. ADRIEN  
 REVISED OCT. 1996 - J. WONG/W. PATAJANO/P. MOY

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**V - PRELIMINARY GAS WORK TO BE PERFORMED BY  
FACILITY OPERATOR.**

**APPLICABLE TO ALL GAS DRAWINGS:**

- ALL RELOCATION WORK SHOWN IN THIS ADDENDUM IS TO BE PERFORMED BY FACILITY OPERATOR.
- ALL SUPPORT AND PROTECTION WORK TO BE PERFORMED BY CITY CONTRACTOR
- IF ADDITIONAL INFORMATION IS NEEDED REGARDING THE FACILITY OPERATOR'S RELOCATION WORK, THE CONTRACTOR IS ADVISED TO CONTACT THE GAS COMPANY REPRESENTATIVE:

Roberto Mata  
Consolidated Edison Company  
118-29 Queens Blvd Rm. B-206  
Forest Hill, NY 11375  
(718) 275-3935

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| PUBLIC IMPROVEMENT ENGINEERING - QUEENS |        |             |              |              |                         |                     |                |         |                         |                             |
|---|--------|-------------|--------------|--------------|-------------------------|---------------------|----------------|---------|-------------------------|-----------------------------|
| REMAINING LIFE CALCULATION (WEIGHTED)   |        |             |              |              |                         |                     |                |         |                         |                             |
| PROJECT NO. SE-809                      |        | 08-Nov 2010 |              |              |                         |                     |                |         |                         |                             |
| MAIN DESC                               | SIZE   | KIND        | REIMB LENGTH | INSTALL DATE | BASELIFE 70 OR 90 YEARS | AGE TO CURRENT DATE | REMAINING LIFE |         | PERCENT TO TOTAL LENGTH | REMAINING LIFE % (WEIGHTED) |
|   |        |             |              |              |                         |                     | YEARS          | PERCENT |                         |                             |
| A-B                                     | 12"    | PE          | 20           | 2000         | 70                      | 10                  | 60             | 85.71   | 1.54                    | 1.32                        |
| A-B                                     | 12"    | PE          | 30           | 2003         | 70                      | 7                   | 63             | 90.00   | 2.31                    | 2.08                        |
| E-F                                     | 6"     | STL         | 100          | 1997         | 70                      | 13                  | 57             | 81.43   | 7.72                    | 6.28                        |
| C-D                                     | 12"    | STL         | 460          | 1947         | 70                      | 63                  | 7              | 10.00   | 35.49                   | 3.55                        |
| M-N                                     | 4"     | STL         | 27           | 1959         | 70                      | 51                  | 19             | 27.14   | 2.08                    | 0.57                        |
| M-N                                     | 4"     | STL         | 275          | 1925         | 70                      | 85                  | 0              | 0.00    | 21.22                   | 0.00                        |
| M-N                                     | 4"     | STL         | 126          | 1972         | 70                      | 38                  | 32             | 45.71   | 9.72                    | 4.44                        |
| M-N                                     | 4"     | PE          | 9            | 1979         | 70                      | 31                  | 39             | 55.71   | 0.69                    | 0.39                        |
| L-M                                     | 6"     | STL         | 169          | 1969         | 70                      | 41                  | 29             | 41.43   | 13.04                   | 5.40                        |
| J-K                                     | 1 1/4" | PE          | 30           | 1983         | 70                      | 27                  | 43             | 61.43   | 2.31                    | 1.42                        |
| G-H                                     | 2"     | STL         | 50           | 1957         | 70                      | 53                  | 17             | 24.29   | 3.86                    | 0.94                        |
| TOTALS                                  |        |             | 1296         |              |                         |                     |                |         | 100.00                  | 26.40                       |

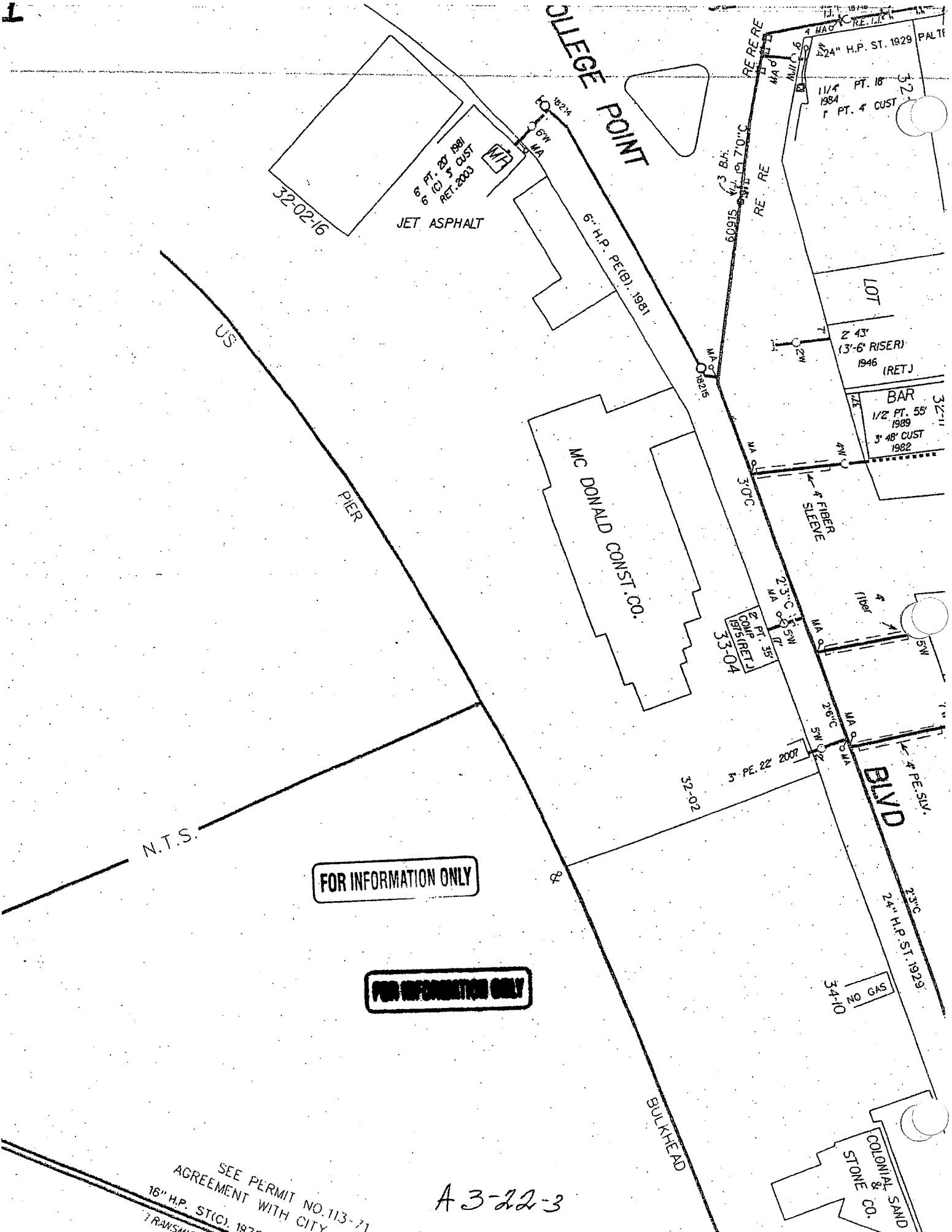
A3-22-1

GAS FACILITY COST ALLOCATION AGREEMENT  
 PROJECT NO. SE-809  
 CAPITAL GAS MAIN INSTALLATION

| SHEET # | LOC. | ON STREET                          | FROM         | TO                  | ITEM  | SIZE   | TYPE | LENGTH | REIMB LENGTH | REMARKS                   |
|---------|------|------------------------------------|--------------|---------------------|-------|--------|------|--------|--------------|---------------------------|
| 12      | A-B  | Whitestone Expressway East Serv Rd | Linden Place | Intersection        | 67.2h | 12"    | PE   | 50     |              | Retire 12" HP PE          |
| 12      | A-B  | Whitestone Expressway East Serv Rd | Linden Place | Intersection        | 83k   | 12"    | PE   | 50     |              | Install 12" HP PE         |
| 12 & 13 | C-D  | Whitestone Expressway East Serv Rd | Linden Place | Intersection        | 81i   | 6"     | ST   | 100    |              | Install 6" Trans ST       |
| 12 & 13 | C-D  | Whitestone Expressway East Serv Rd | Linden Place | Intersection        | 67.2f | 6"     | ST   | 100    |              | Retire 6" Trans ST        |
| 22 & 23 | E-F  | Union St                           | 26th Ave     | 25th Rd             | 67.2h | 12"    | ST   | 480    |              | Retire 12" HP ST          |
| 22 & 23 | E-F  | Union St                           | 26th Ave     | 25th Rd             | 83k   | 12"    | ST   | 480    |              | Install 12" HP PE         |
| 24      | G-H  | Whitestone Expressway East Serv Rd | 25th ave     | Intersection        | 83e   | 2"     | PE   | 50     |              | Install 2" Hp PE          |
| 24      | G-H  | Whitestone Expressway East Serv Rd | 25th ave     | Intersection        | 67.2e | 2"     | ST   | 50     |              | Retire 2" HP ST           |
| 26      | J-K  | 144th St                           | 25th Ave     | Williets Point Blvd | 67.2e | 1 1/4" | PE   | 30     |              | Retire 1 1/4" HP PE       |
| 26      | J-K  | 144th St                           | 25th Ave     | Williets Point Blvd | 83e   | 2"     | PE   | 50     |              | Install 2" HP PE          |
| 26      | L-M  | 144th St                           | 25th Ave     | 22nd Rd             | 67.2b | 6"     | ST   | 169    |              | Retire 6" LP ST           |
| 26 & 27 | M-N  | 144th St                           | 25th Ave     | 22nd Rd             | 67.2a | 4"     | ST   | 458    |              | Retire 4" LP ST           |
| 26 & 27 | L-P  | 144th St                           | 25th Ave     | 22nd Rd             | 83e   | 2"     | PE   | 720    |              | Install & Insert 2" HP PE |
| 26      | Q    | 144th St                           | 25th Ave     | 22nd Rd             | 67.2a | 4"     | PE   | 9      |              | Retire 4" LP PE           |

A3-22-2

1



FOR INFORMATION ONLY

FOR INFORMATION ONLY

SEE PERMIT NO. 113-71  
 AGREEMENT WITH CITY  
 16" H.P. ST(C). 1978  
 17 RANSMIC

A3-22-3

COLONIA SAND  
 STONE & CO.

College Point 81-1

1 FT. 12" 1995

69-19

WEST

Service Rd

RD

4" PER 10'

WHITESTONE

FOR INFORMATION ONLY

EAST SERVICE RD.

PKW.

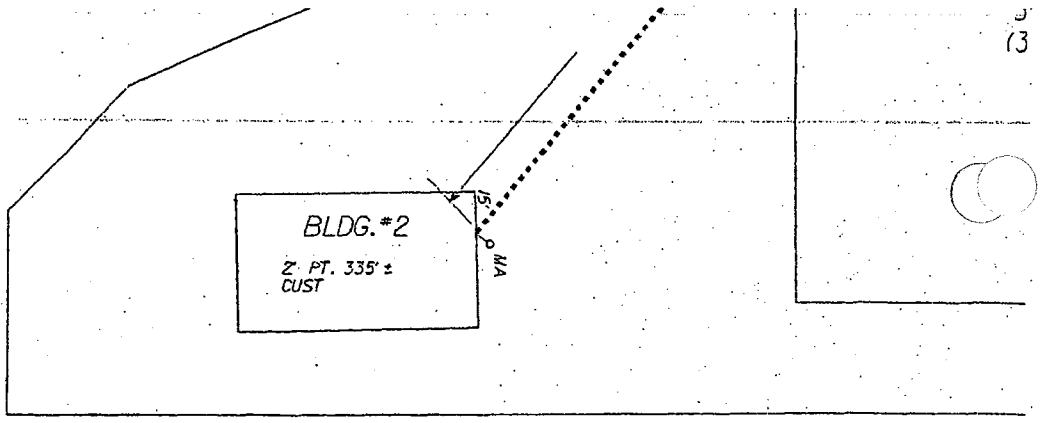
A3-22-4

31-85

M

3

73



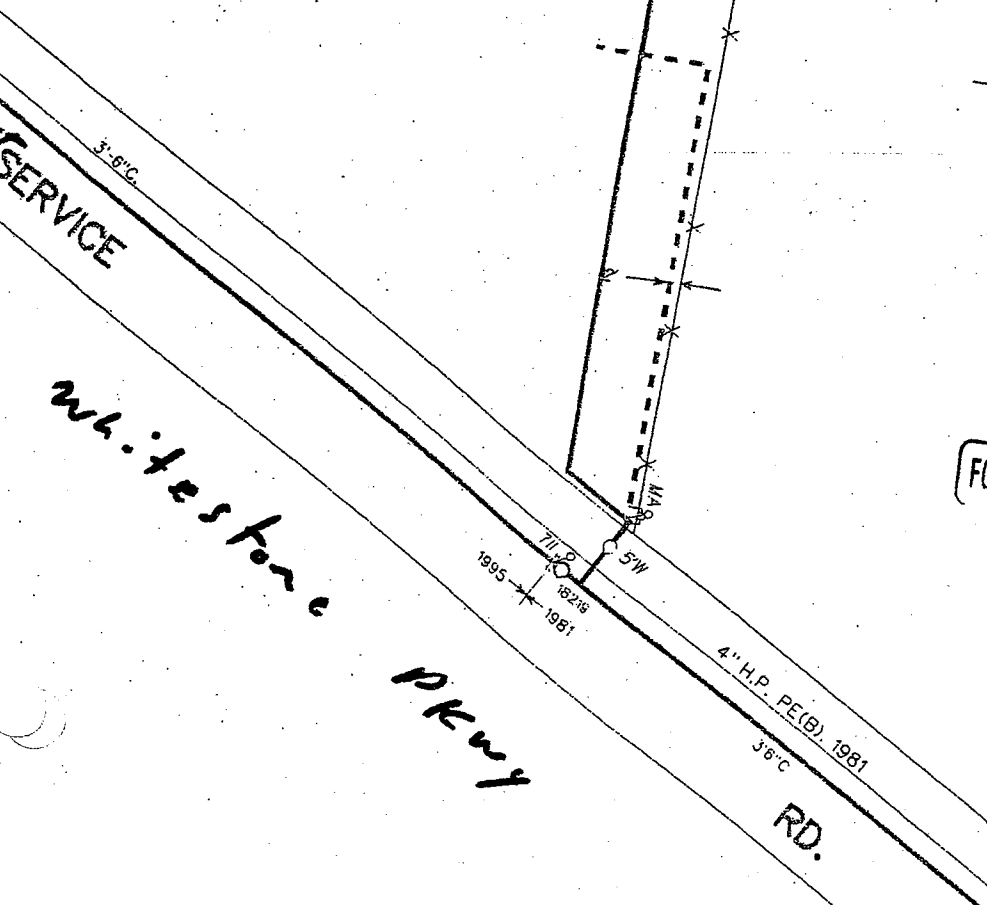
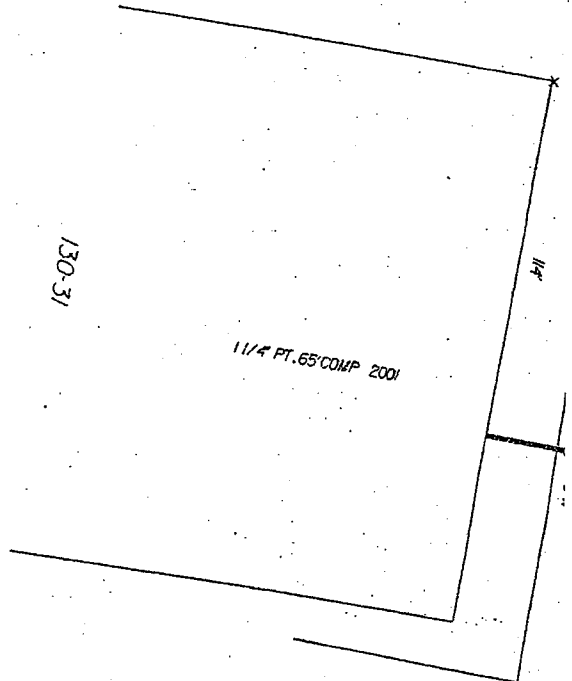
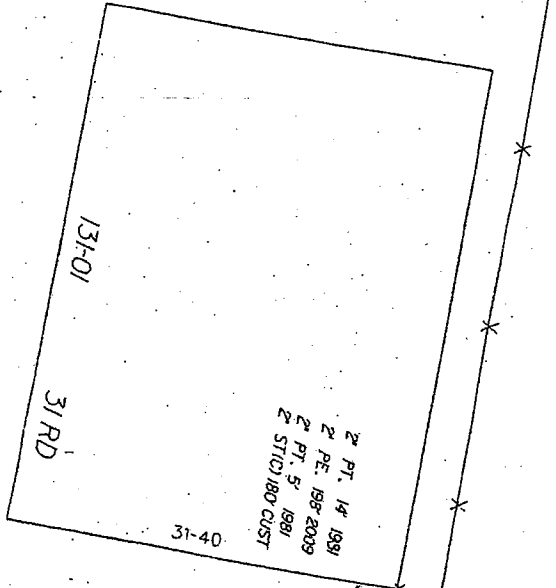
FOR INFORMATION ONLY

A 3-22-5

College Point Blvd



4



FOR INFORMATION ONLY

A 3-22-6

4" H.P. STIC(1), 1963  
 MAG. ANODES  
 TEST STATION  
 1982



BLDG. 5

5

1985  
1985  
54' ± CUST

M.A.  
9'

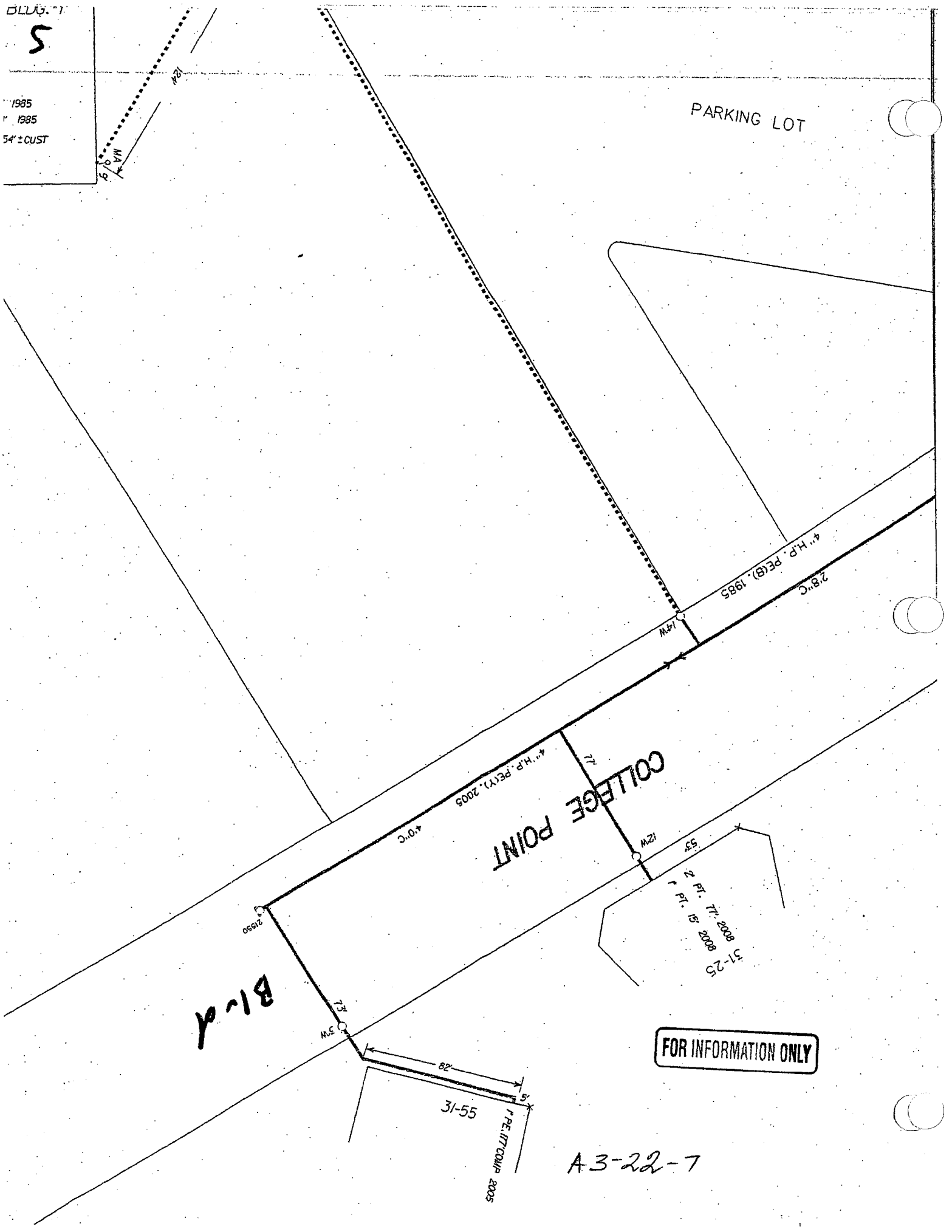
PARKING LOT

COLLEGE POINT

Bldg

FOR INFORMATION ONLY

A3-22-7





WHITESTONE

WEST

SERVICE

RD.

PKWY

EAST

EGGSAI

005 3'2" C

4" H.P. PE(Y). 2005 3'2" C

SERVICE

4" H.P. ST. 1960

ST

1960

60382

2" H.P. PE(B). 1998 3'0" C  
FOUND IN FIELD TO BE 2" PE

DOWNING

6" W 109'

ENTIRE AREA  
NORTH OF

2" H.P. PE. 1998

31-35

PROP. 31 RD  
(NOT OPENED)

31-07

31-11

133-36

133-50

RD.

RD.

ST

FOR INFORMATION ONLY

(PRIVATE PROPERTY NOT IN USE AS ST.)

ULMER

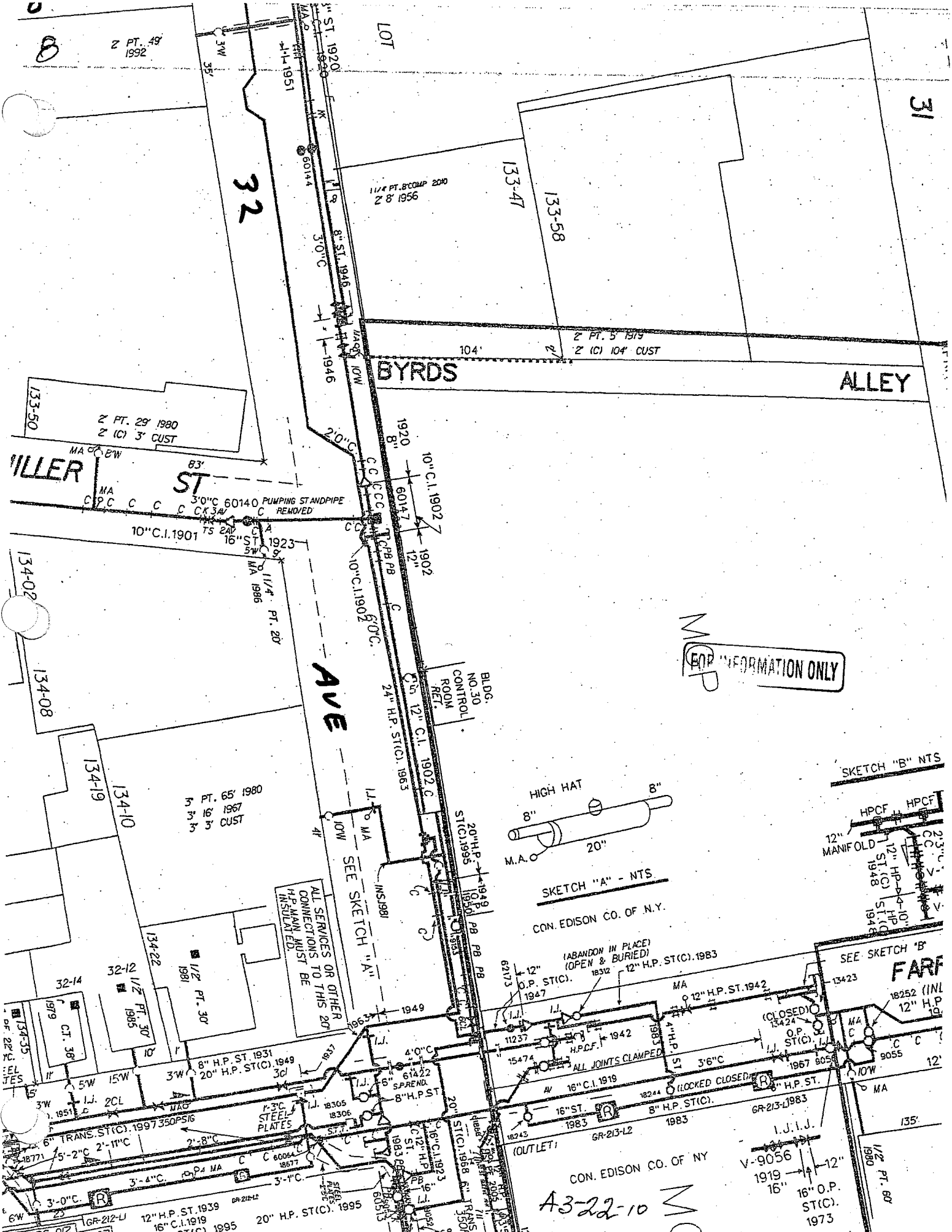
A3-22-9

PE(B). 1981  
3'6" C  
MA 9'4" H.P. ST(C). 1963  
LL 1732A 5'0" C T.F.F. 1963  
10' P.P. T.F.F. H.P.C.F.  
PE(B). 1989  
1963

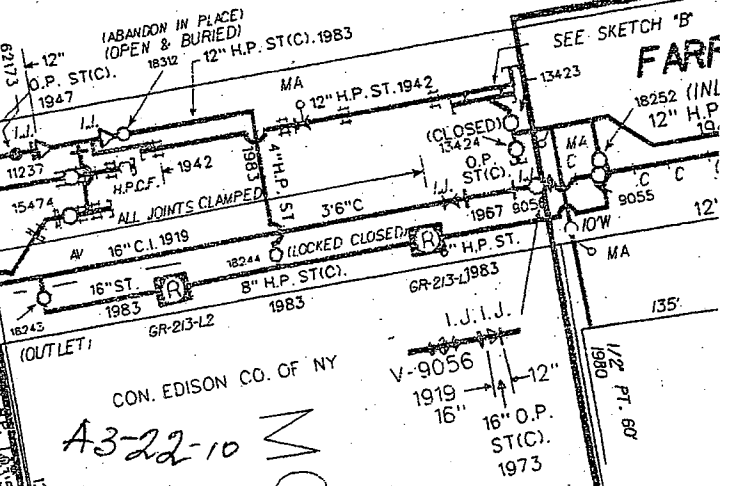
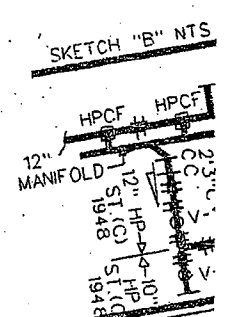
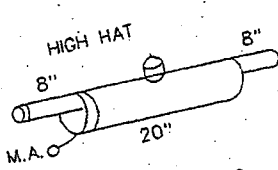
P. ST(C). 1963

4" H.P. ST. 1963

RD.



FOR INFORMATION ONLY



ALL SERVICES OR OTHER CONNECTIONS TO THIS 20\"/>

CON. EDISON CO. OF NY

A3-22-10

MC

9

FARRINGTON ST.  
SUPERMARKET

31-06

31-10

1 1/2" (C) 4" COMP. 1972  
1 1/2" ST(C) 57" CUST 1972

31  
(PRIVATE PROPERTY NOT IN USE AS STREET)  
RD

STRATTON  
(STREET CLOSED)

ST

SHOPPING CENTER

PARKING  
LOT

MGP

RD

FOR INFORMATION ONLY

F. PT. 22  
COMP. 1974

METER  
ROOM

2" H.P. PE(T). 1974 108'

DR  
(USE AS STREET)

DR

NOTE-  
COLLEGE POINT MAIN NOT  
TO BE TIED TO ANY OTHER  
MAIN

12" H.P. ST(C). 1948  
4" ST. 1919

TEST STATION

17870

ST

ON

LOT

Queens Board of Ed Dist. Office

30-48.

A3-22-11

1 1/4" PT. 31'  
1994 RET. 1998

F. PT. 25'  
1992 RET. 2000

1 1/4" PT. 41'  
1989

1 1/2" PT. 42' COMP  
1975

F. PT. 53' 1996

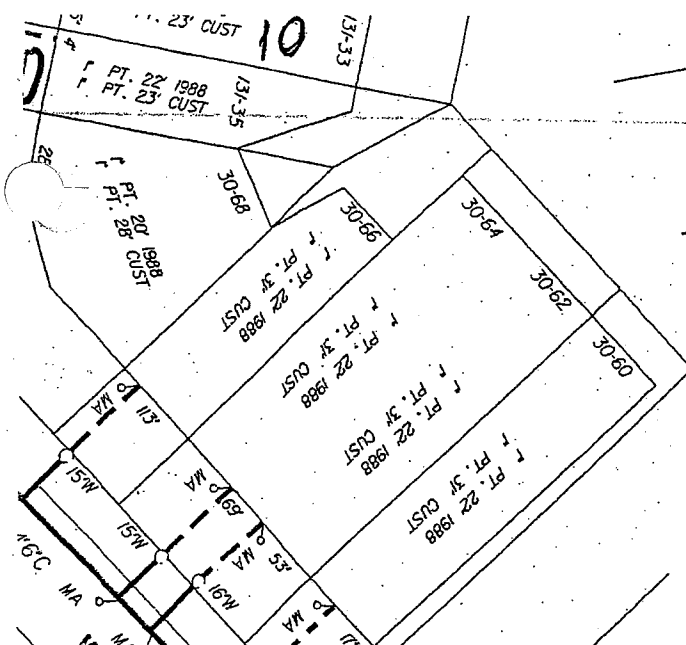
31-07

12" H.P. ST(C). 1948

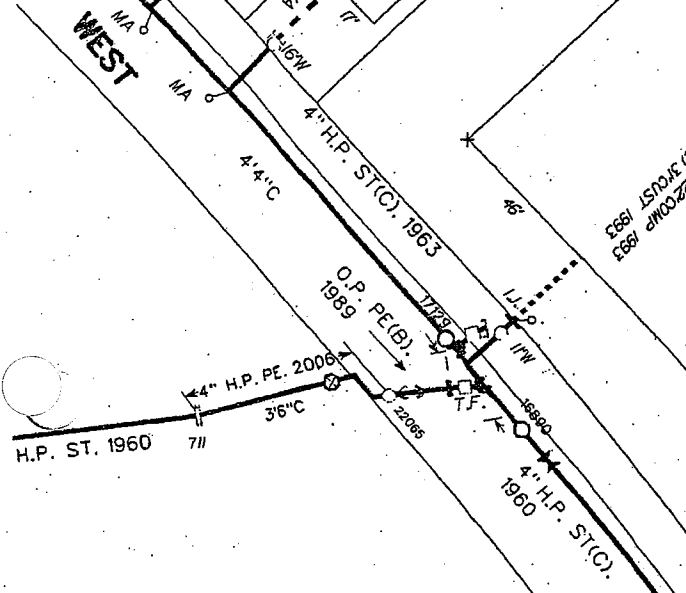
3 CL  
2 CL 2 CL  
2 CL 3 MA

31-11

HIGGINS



DEPT. OF MOTOR VEH.  
30-56



WHITESTONE  
PKWY

SERVICE  
RD

FOR INFORMATION ONLY

PT. 32 1988  
PT. 72 CUST

4" H.P. ST(C). 1962

O.P. PE. 1988  
H.P.C.F.  
5'0" C.T.

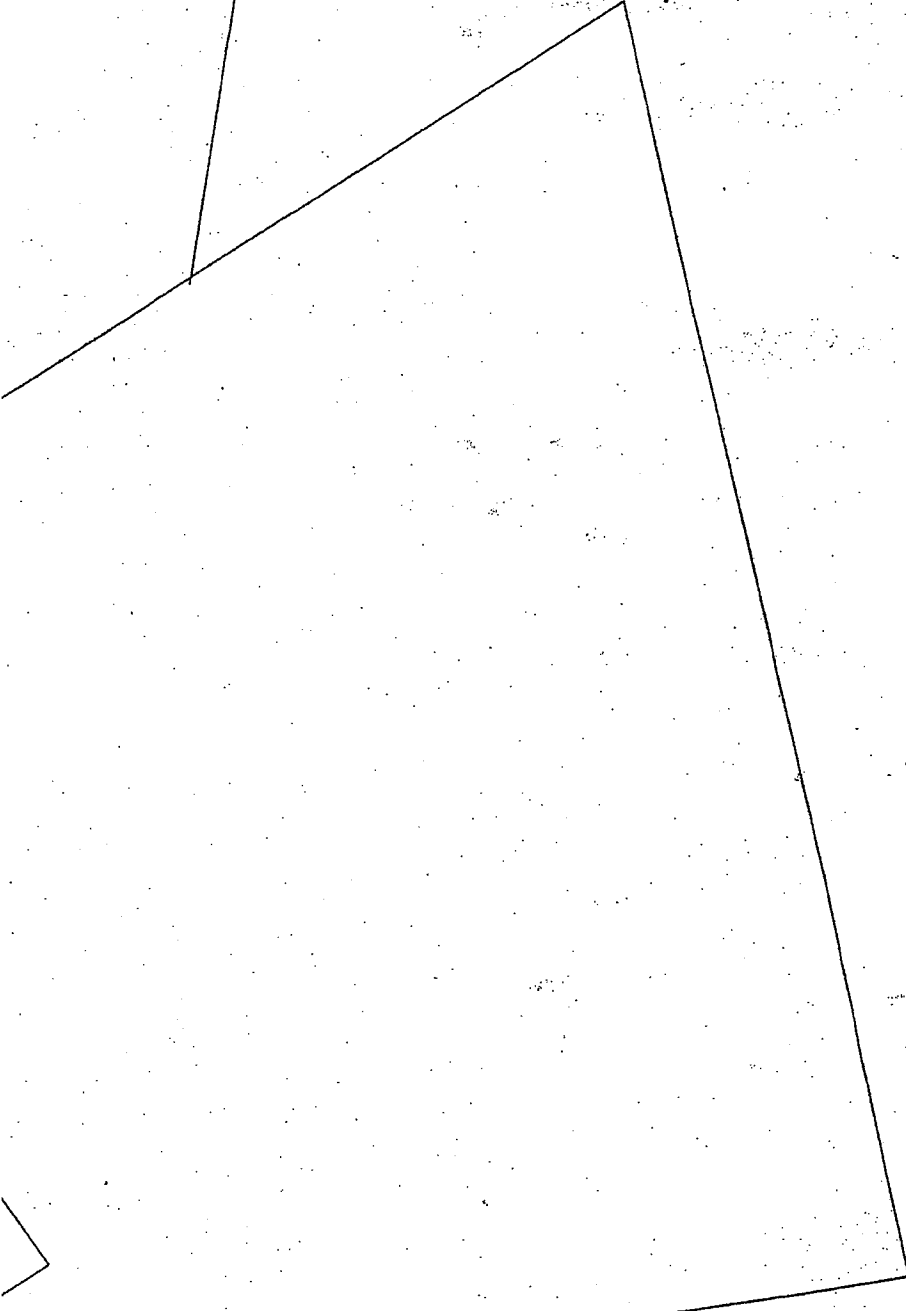
A3-22-12

Source

11

30

HIGGINS ST



ULMER

ST

AV

FOR INFORMATION ONLY

A3-22-13



WEST SERVICE RD

WHITESTONE PKWY

EAST SERVICE ST

FARRINGTON ST

SHOPPING CENTER

BOWLING ALLEY

RD.

30-05

1987 COMP PT. 2000

VAULT

9 WWC Linden Pl  
117 SSC Service Rd

12" H.P. PE(B). 2000  
17-320

12" H.P. PE(B). 2000  
6" T.P. ST(C). 1997

A3-22-14

6" TRANS. ST(C). 1997 350PSIG

VAULT

3'5" C

3'0" C

LINDEN

1960 MAG. ANODE TEST STATION  
MA 2000

H.P.C.F.

STUB

4 MA 4 MA

4'-0" C

4'-6" C

4'-0" C

3'-0" C

PL

12

1962  
1963  
1111

1989  
CUST

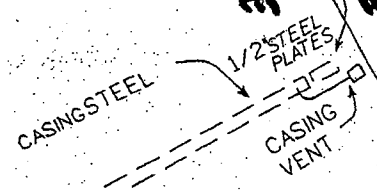
13

ITIRE PLATE

2" PE-46 COMP 1999  
2" PE-287 CUST 1999

WEST OF  
WHITE STONE  
PKWY

SERVE



4" H.P. ST(C) 1963  
PURGE PIPE

1864

12" H.P. ST(C) 1962 4.0'C

12" H.P. PE 2003

RD.

LINDEN PL

TELL TALE

6" TRANS. ST(C) 1997 350PS  
3.5"C

148'-6" N.N.C.  
WEST SERV. RD.

5 MA I.J.

1732V

ST.T.

12" H.P. ST(C) 9B7

6" TRANS. ST(C) 1997 350PSIG  
3'-2"C

3'-3"C

4" H.P. ST(C) 1962  
4" H.P. PE 2003

STEEL PLATES

STEEL PLATES

A3-22-15

8" WWC Linden Pl  
135' SSC 28 Ave

14

RD

4" H.P. PE (B). 1996  
3'-0" C

SERVICE

PKWY

WEST

WHITESTONE

SERVICE RD

EAST

29 - 10

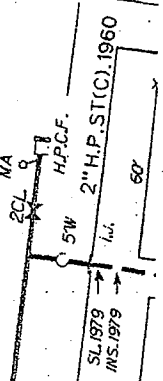
FOR INFORMATION ONLY

SUPPLIED FROM  
29-30 137 ST.

A3-22-16

137

ST



959

57.

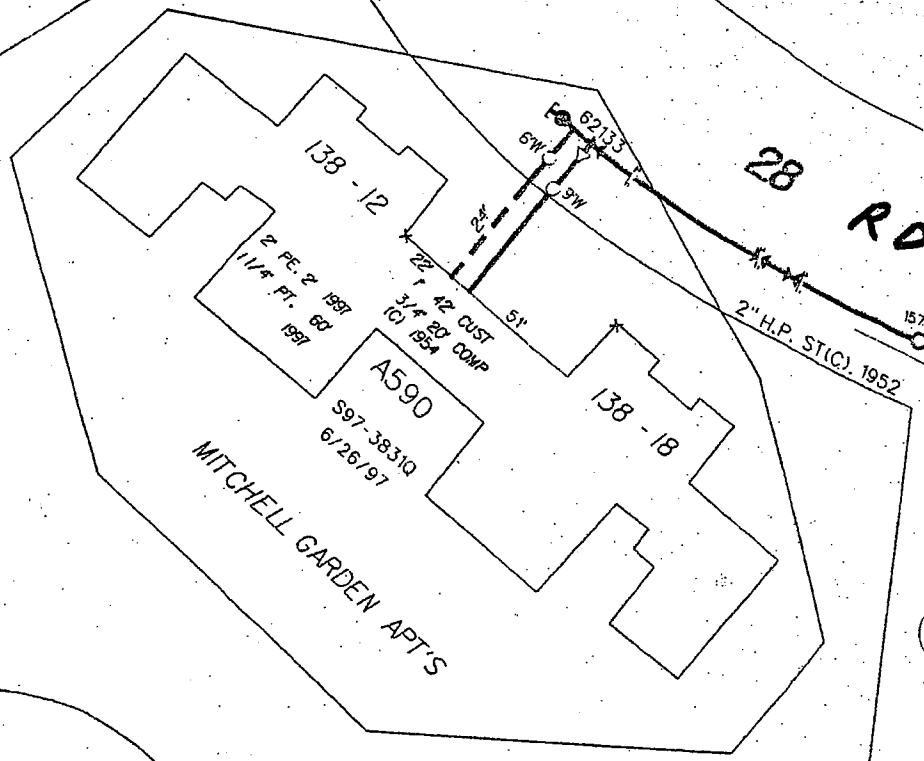
13  
15

EAST SERVICE

RD

28 RD

2" H.P. ST(C). 1952

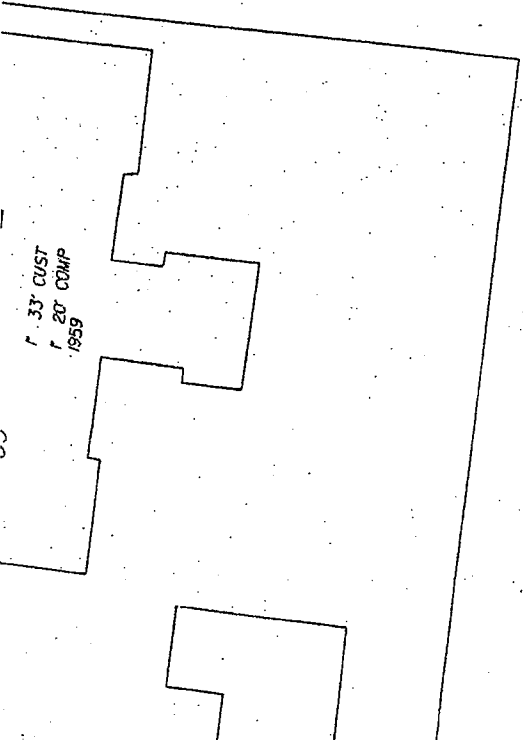


29

RD

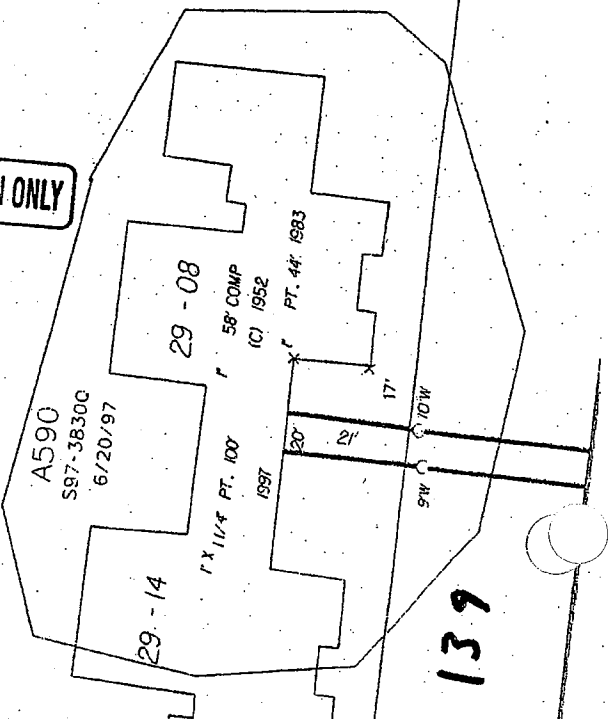
ST

FOR INFORMATION ONLY



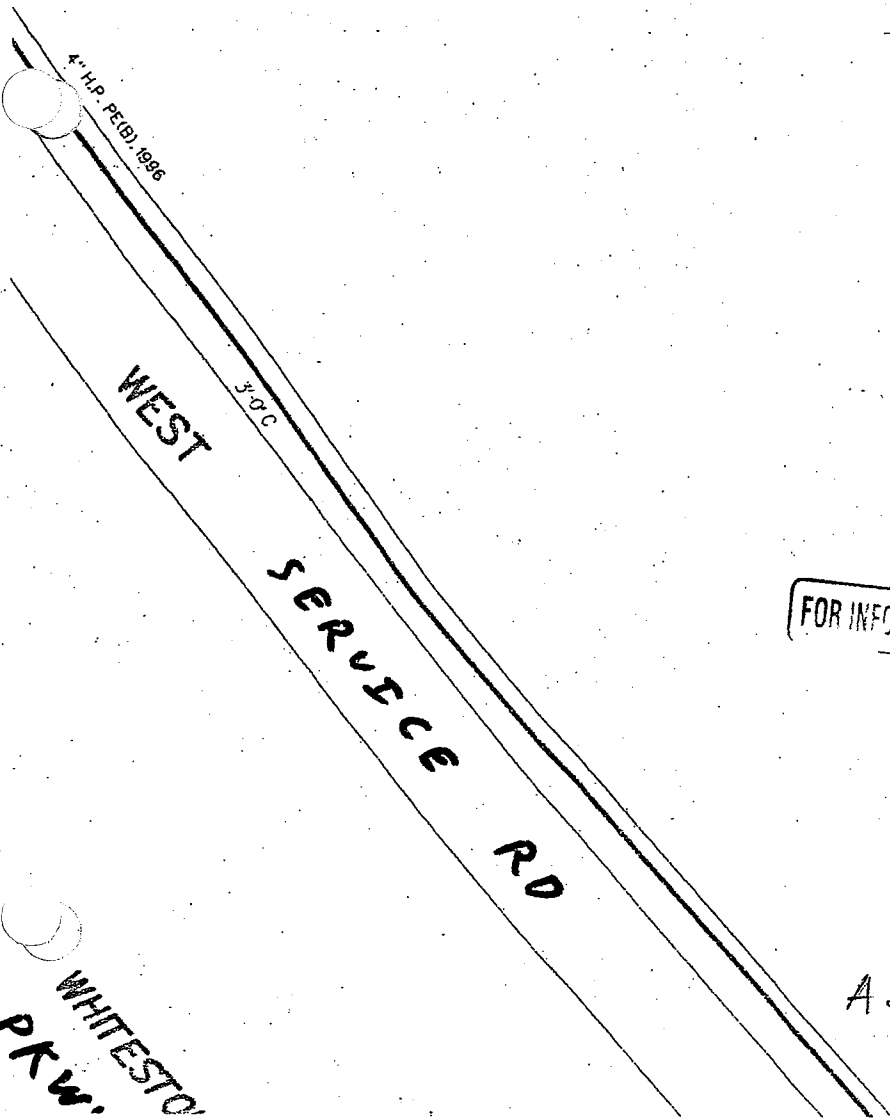
138

A3-22-17



139

16



FOR INFORMATION ONLY

A 3-22-18



FOR INFORMATION ONLY

25-70  
THE NEW YORK TIMES  
BUILDING

A3-22-19



18

WEST

SERVICE

RD

ROAD CLOSED

PKWY

EAST

SERVICE

FOR INFORMATION ONLY

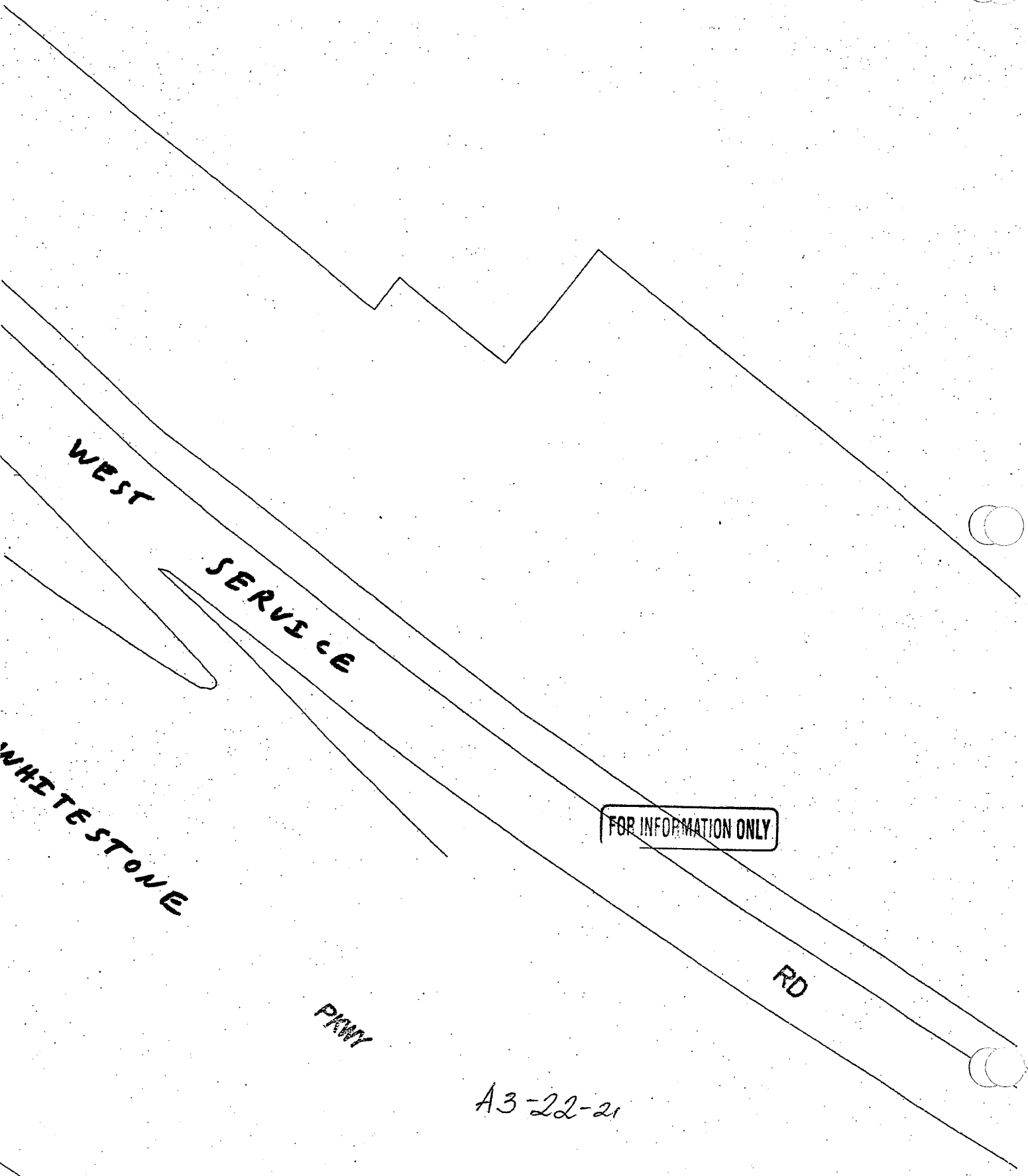
RD

A3-22-20

19

201

J. P. E. 354  
1986



WEST

SERVICE

FOR INFORMATION ONLY

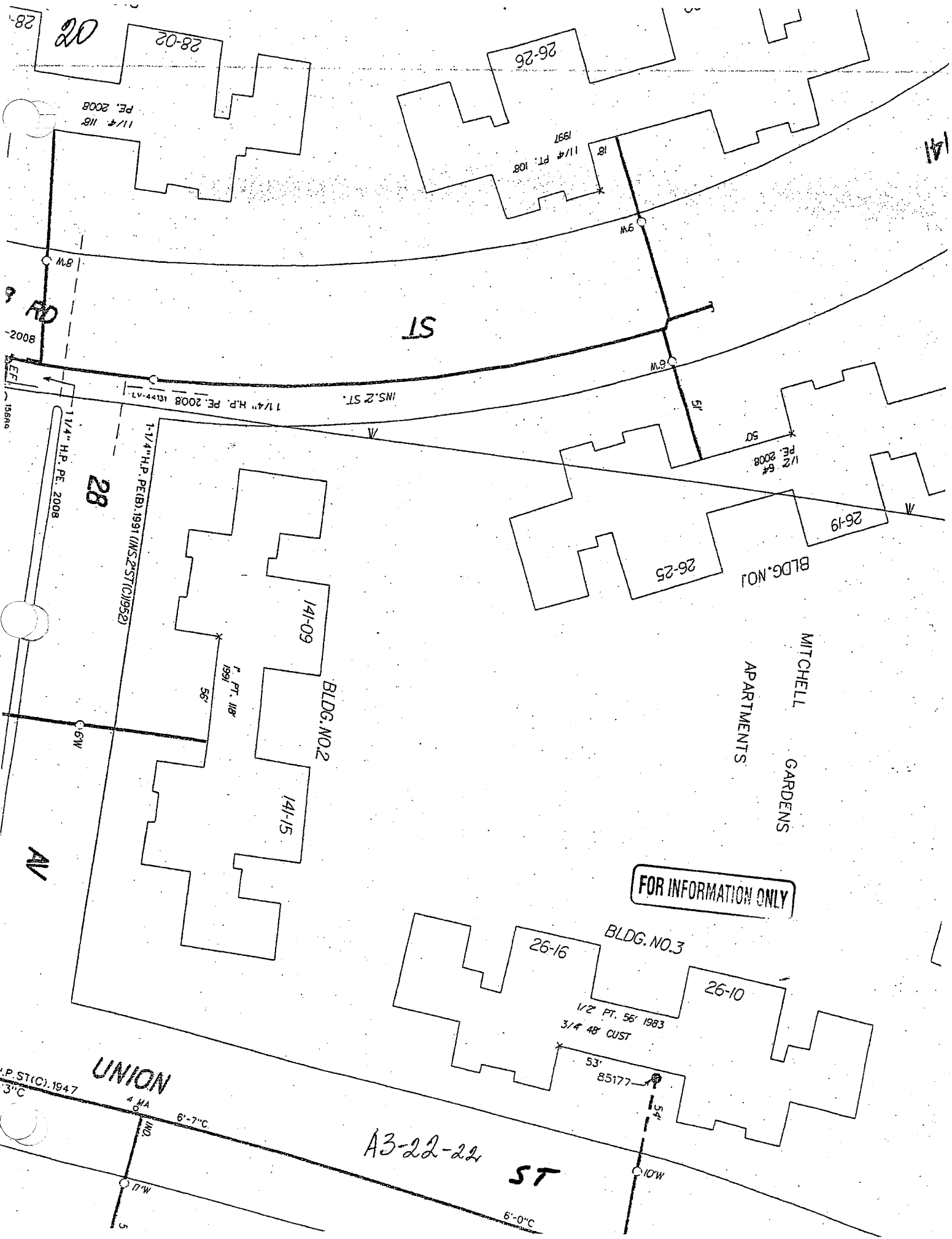
RD

WHITESTONE

PRIVY

A3-22-21





FOR INFORMATION ONLY

A3-22-22

UNION

1/2\"/>

4\"/>

6'-7\"/>

17\"/>

6'-0\"/>

ST

10\"/>

85177

1/2\"/>

3/4\"/>

BLDG. NO.3

26-16

26-10

GARDENS

APARTMENTS

MITCHELL

BLDG. NO.1

26-25

26-19

BLDG. NO.2

141-09

141-15

28

1-1/4\"/>

58'

1\"/>

1991

118'

11\"/>

118'

PE. 2008

11\"/>

108'

1997

PT.

18'

9W

8W

11\"/>

108'

1997

PT.

18'

9W

8W

11\"/>

108'

1997

PT.

18'

9W

8W

11\"/>

108'

1997

PT.

18'

9W

8W

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108'

1997

PT.

18'

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108'

1997

PT.

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9W

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108'

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108'

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9W

8W

11\"/>

108'

1997

PT.

18'

9W

8W

21

141 ST

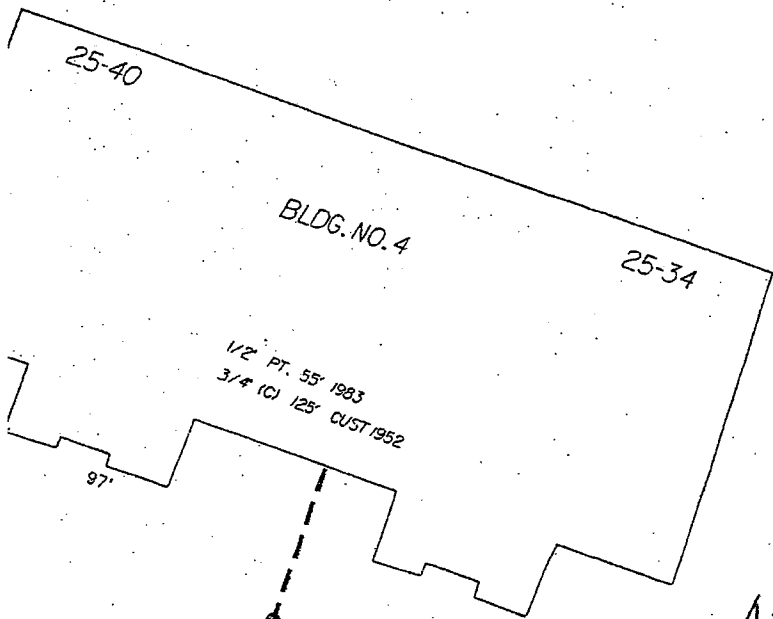
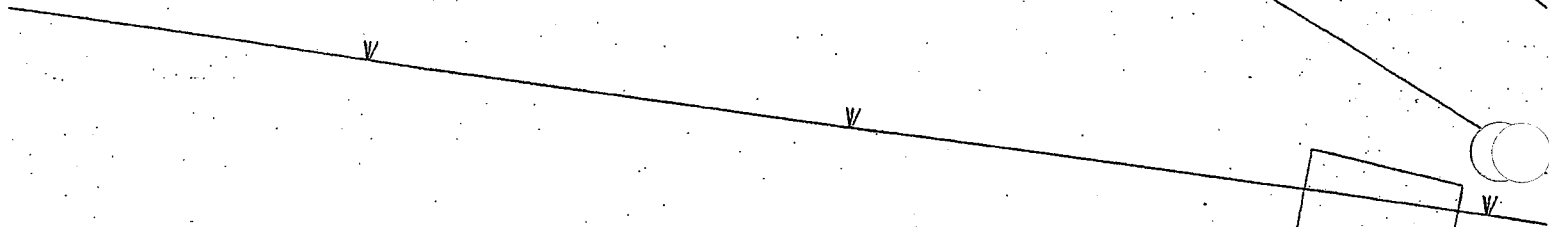
WHITESTONE

EAST

PKWY

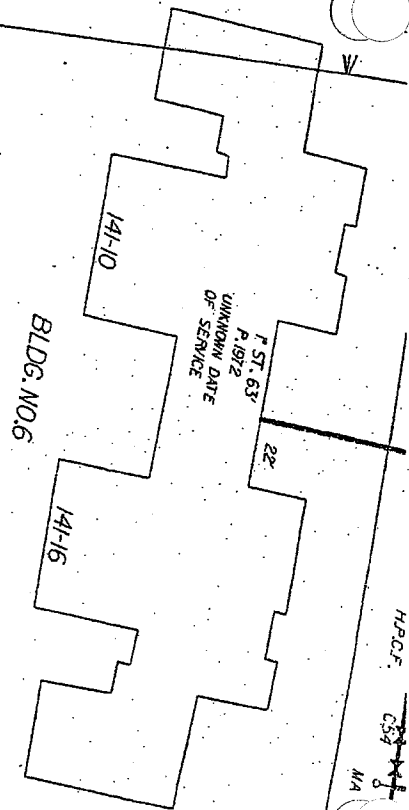
SERVICE

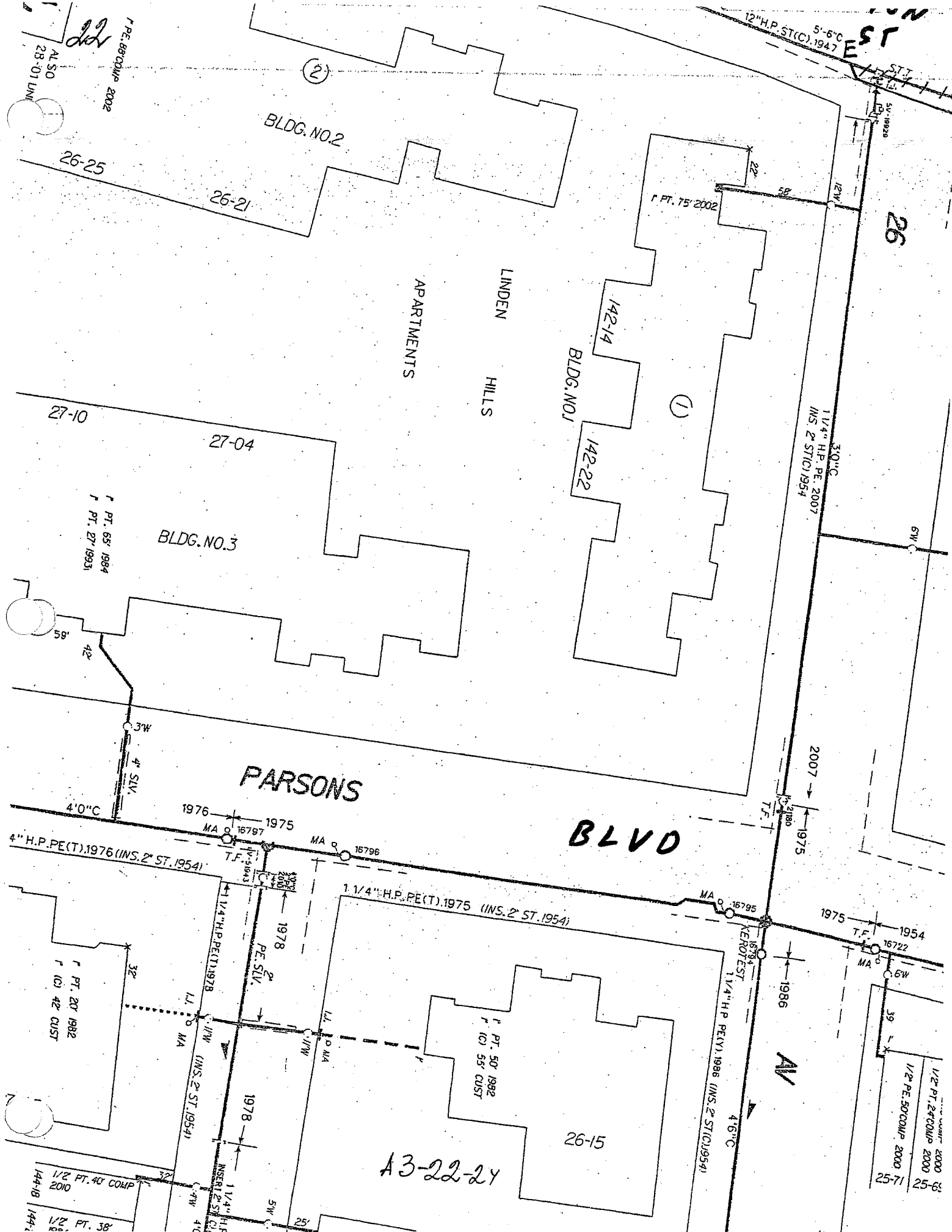
RD.



FOR INFORMATION ONLY

A3-22-23





5'-6" EST  
12" H.P. ST(C). 1947

26

LINDEN HILLS APARTMENTS

BLDG. NO. 2

BLDG. NO. 1

BLDG. NO. 3

PARSONS

BLVD

AV

1 P.E. 88(C)CMP 2002  
ALSO 28-01 LMI

1 PT. 75' 2002

1 1/4" H.P. PE. 2007  
(INS. 2' ST(C) 1954)

1 PT. 65' 1984  
1 PT. 27' 1993

1976 MA 16797  
1975 MA 16796

4" H.P. PE(T). 1976 (INS. 2' ST. 1954)

1 1/4" H.P. PE(T). 1975 (INS. 2' ST. 1954)

1 1/4" H.P. PE(T). 1986 (INS. 2' ST(C) 1954)

1975 MA 16795  
1954 MA 16722

1 PT. 20' 1982  
1 (C) 42' CUST

1 PT. 50' 1992  
1 (C) 55' CUST

1/2" P.E. 50(C)CMP 2000  
25-71  
1/2" P.E. 24(C)CMP 2000  
25-63

A3-22-24

1/2 FT. 40' COMP 2000  
144-18  
1/2 PT. 38'



dl

WEST SERVICE RD

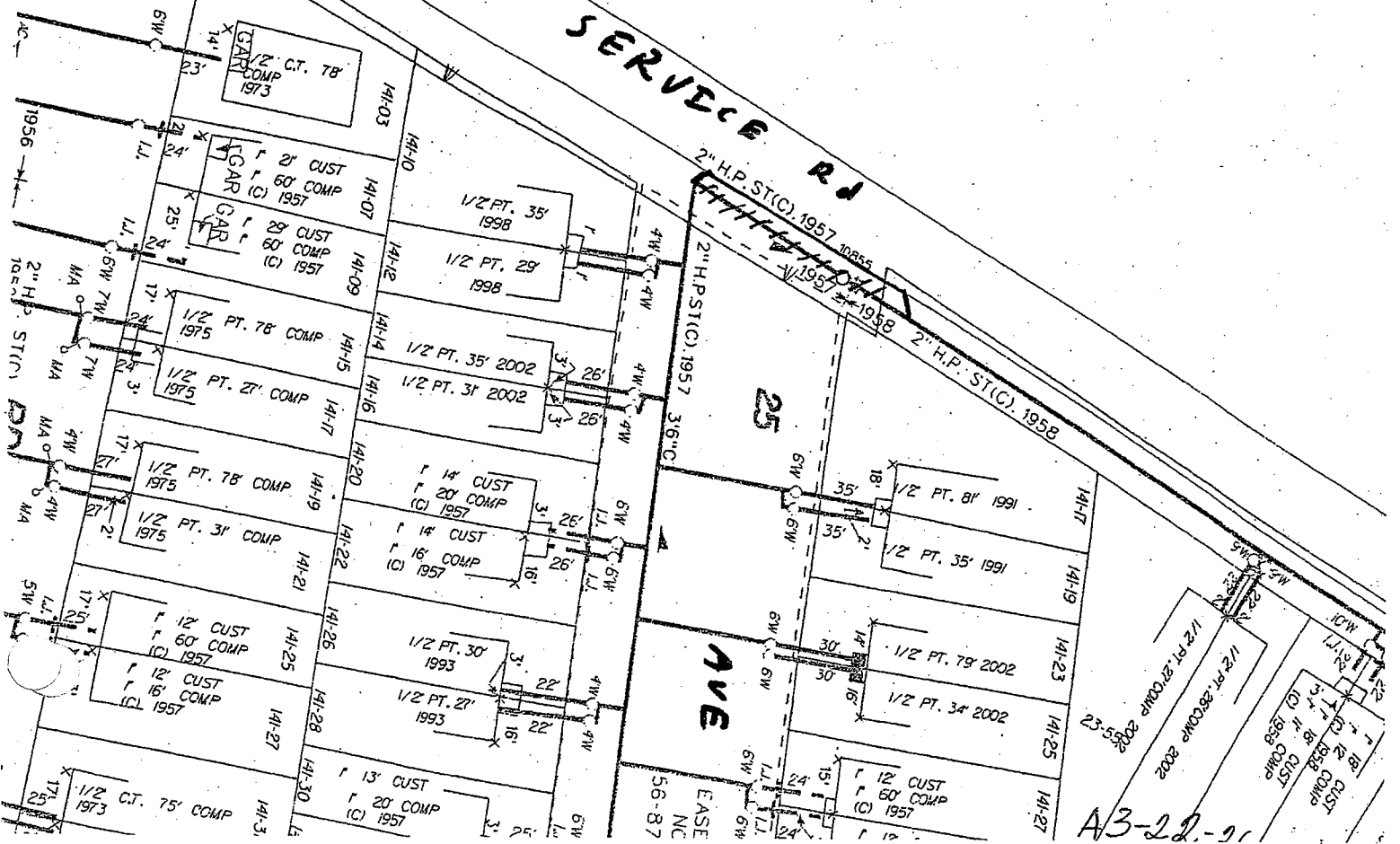
WHITESTONE

PKWY

EAST

SERVICE RD

2" H.P. ST(C) 1962  
25  
20



27

25

LOT

WEST  
SERVICE

WHITESTONE

PKWY

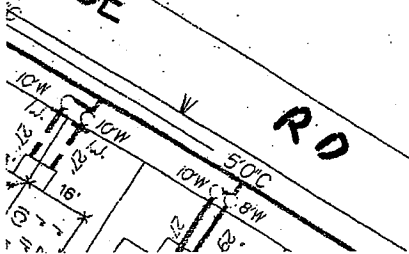
ROAD

FOR INFORMATION ONLY

EAST  
SERVICE

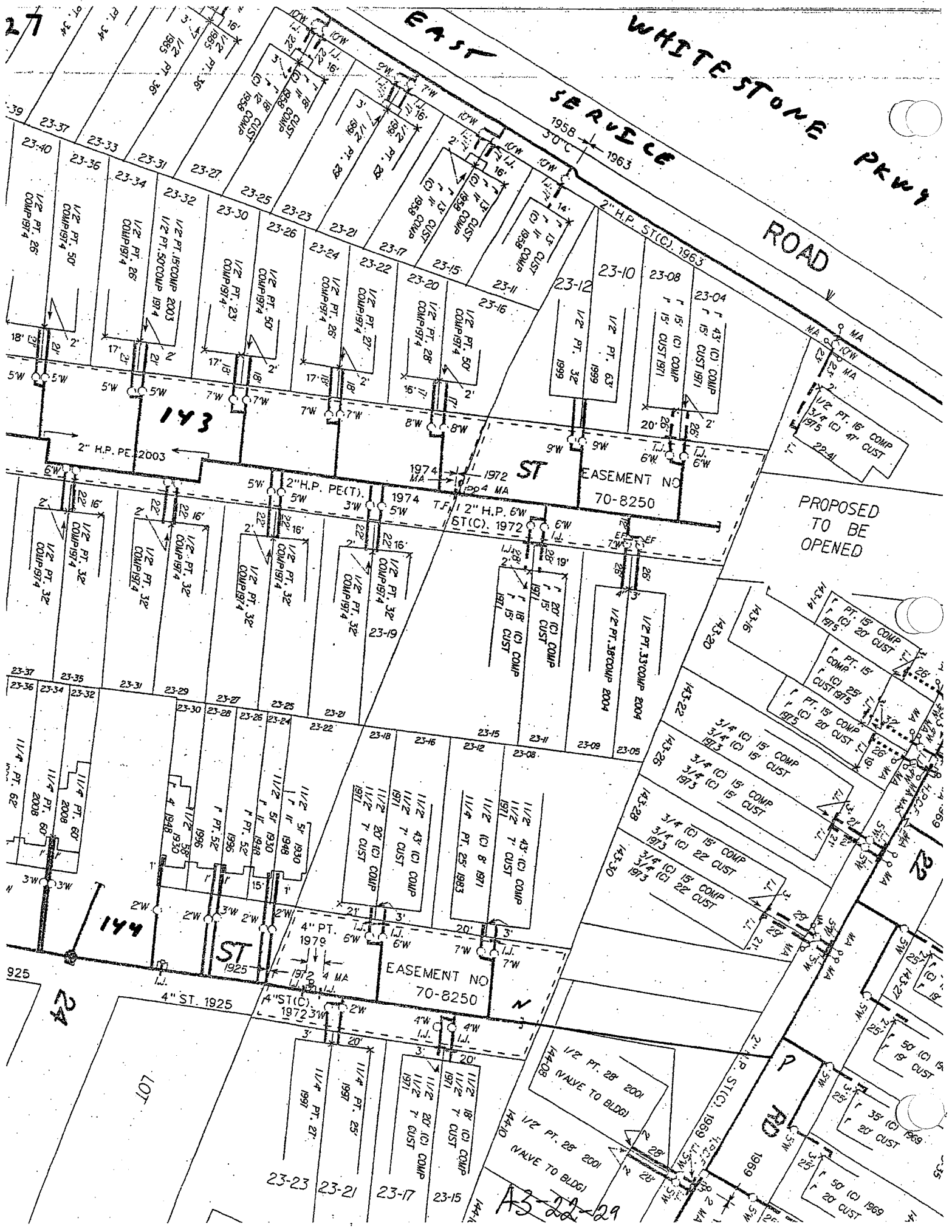
RD

A3-22-27





# EAST WHITESTONE SERVICE ROAD



143

ST

PROPOSED TO BE OPENED

EASEMENT NO 70-8250

144

ST

A3-22-29

27



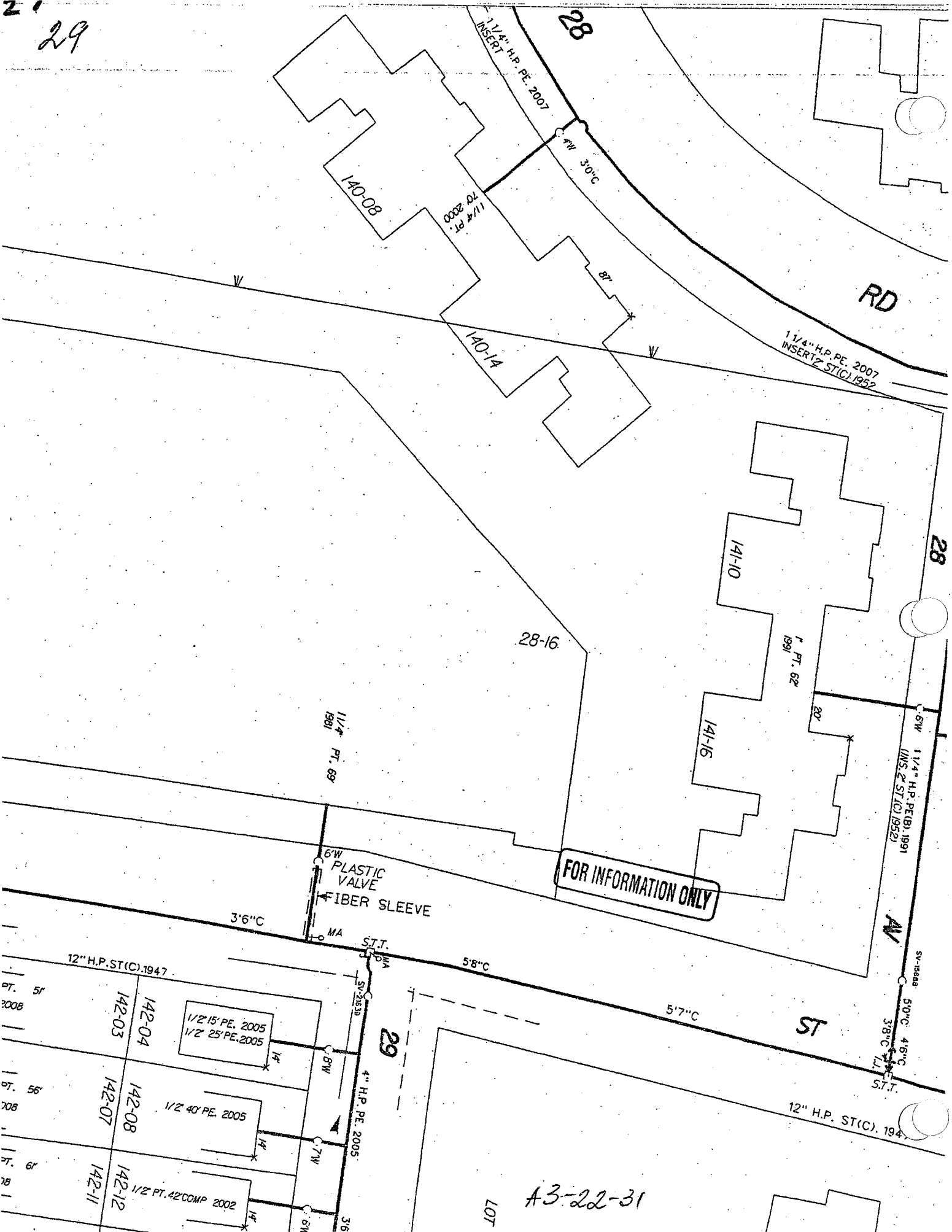
22





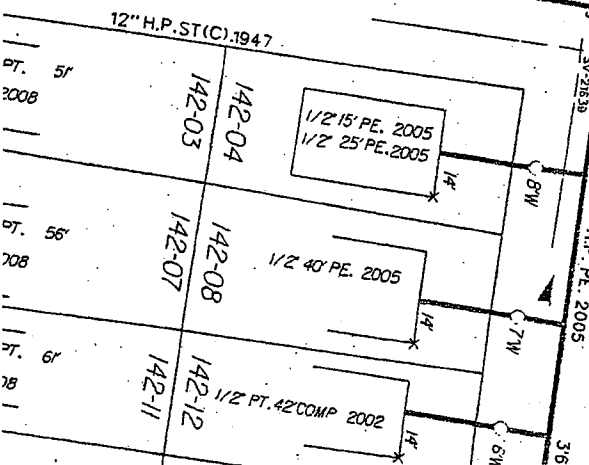


2' 29



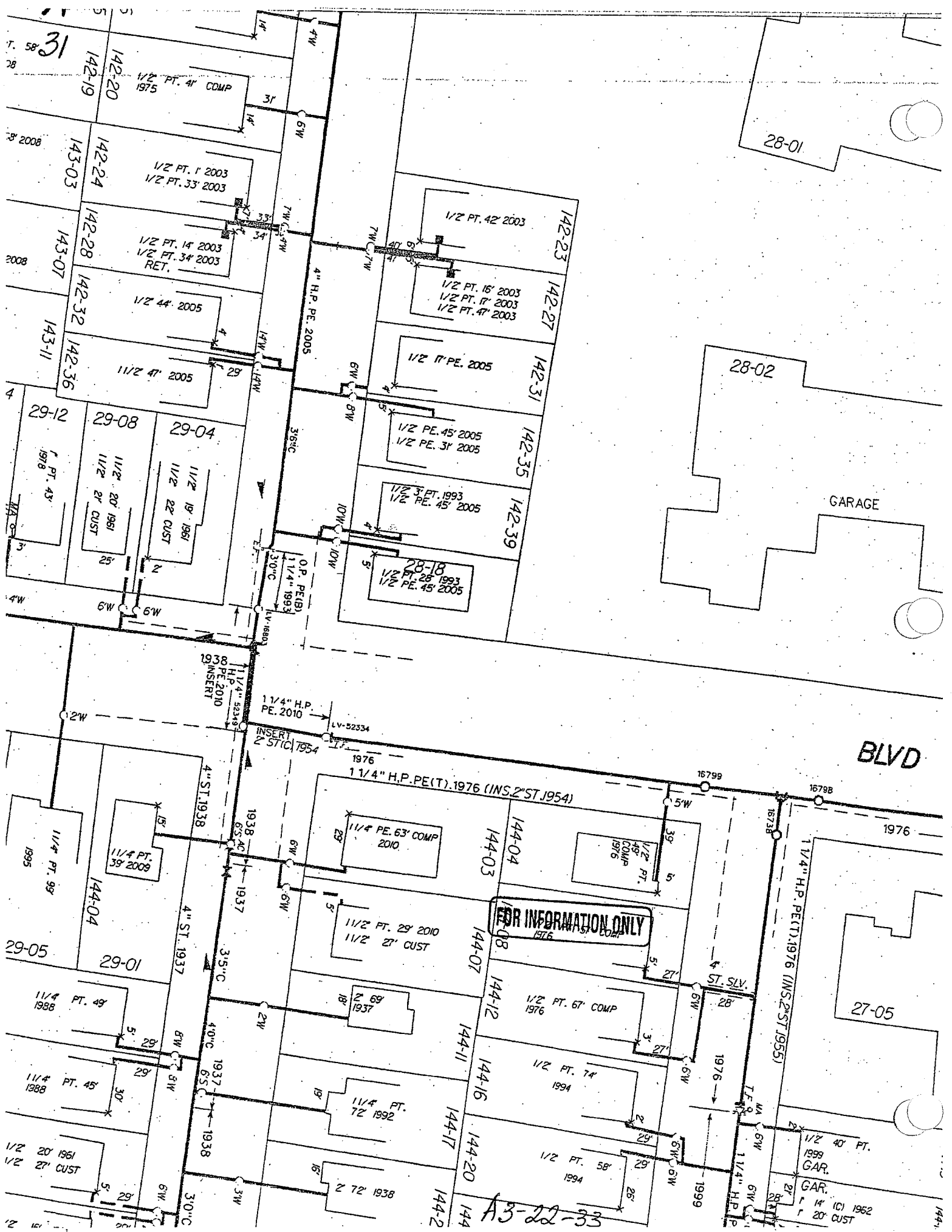
FOR INFORMATION ONLY

LOT A3-22-31



|                |        |        |                                      |
|----------------|--------|--------|--------------------------------------|
| PT. 51<br>2008 | 142-03 | 142-04 | 1/2 15' PE. 2005<br>1/2 25' PE. 2005 |
| PT. 56<br>708  | 142-07 | 142-08 | 1/2 40' PE. 2005                     |
| PT. 61<br>78   | 142-11 | 142-12 | 1/2 PT. 42' COMP 2002                |





31

28-01

28-02

GARAGE

BLVD

1976

27-05

FOR INFORMATION ONLY

A3-22-33

1/2 PT. 41' COMP 1975

1/2 PT. 1' 2003  
1/2 PT. 33' 2003

1/2 PT. 14' 2003  
1/2 PT. 34' 2003  
RET.

1/2 44' 2005

1 1/2 47' 2005

1/2 20' 1961  
1/2 27' CUST

1/2 19' 1961  
1/2 22' CUST

1/2 PT. 42' 2003

1/2 PT. 16' 2003  
1/2 PT. 17' 2003  
1/2 PT. 47' 2003

1/2 17' PE. 2005

1/2 PE. 45' 2005  
1/2 PE. 37' 2005

1/2 3' PT. 1993  
1/2 PE. 45' 2005

1/2 PT. 28' 1993  
1/2 PE. 45' 2005

1 1/4" H.P. PE. 2010

1 1/4" H.P. PE (T) 1976 (INS. 2" ST 1954)

1 1/4 PE. 63' COMP 2010

1 1/2 PT. 29' 2010  
1 1/2 27' CUST

1/2 PT. 67' COMP 1976

1/2 PT. 74' 1994

1/2 PT. 58' 1994

1/2 40' PT. 1999  
GAR.

1 1/4' (C) 1962  
1 20' CUST

142-19

142-20

142-24

142-28

142-32

142-36

143-11

29-12

29-08

29-04

142-23

142-27

142-31

142-35

142-39

144-03

144-07

144-11

144-16

144-17

144-20

144-2

16799

16798

1976

1 1/4" H.P. PE (T) 1976 (INS. 2" ST 1955)

ST. SLV.

1976

1999

144-1

**VI - LISTING OF APPROXIMATE LOCATIONS OF EP-7 BID  
ITEMS QUANTITIES.**

(NO TEXT IN THIS AREA, TURN PAGE)

**SCOPE OF WORK  
SUPPORT AND PROTECTION  
FOR CONTRACT NUMBER SE-809**

The City of New York Department of Design and Construction is planning to install sewers and/or water mains and all appurtenances in various locations in The City of New York along with all work incidental thereto.

- 6.01.1 Support & Protect Gas Main Crossing Sewer Up To 24" In Diameter (Ea.)**
- 1 Intersection of Whitestone Expressway East Service Rd & 25<sup>th</sup> Ave
  - 1 NEC 25<sup>th</sup> Ave & 143 St
  - 1 Intersection of 25<sup>th</sup> Ave & 144<sup>th</sup> St
  - 2 Intersection of Union St & 25<sup>th</sup> Rd
  - 1 NEC 143<sup>rd</sup> St & 25<sup>th</sup> Rd
- 6.01.6 Support & Protect Gas Main Crossing Sewer 66" Thru 72" In Diameter (Ea.)**
- 1 Intersection of Whitestone Expressway East Service Rd & 25<sup>th</sup> Ave
  - 1 Intersection of 25<sup>th</sup> Ave & 143<sup>rd</sup> St
- 6.01.7Y Support & Protect Gas Main Crossing Sewer 9'-6"W x 9'00"H F.T.R.C. (Ea.)**
- 1 Intersection of Whitestone Expressway East Service Rd & Downing St
  - 2 Intersection of Whitestone Expressway East Service Rd & Linden Pl
- 6.01.8 Support & Protect Gas Services Crossing Trenches and/or Excavations (Ea.)**
- 12 in 25<sup>th</sup> Avenue
  - 6 in Union Street
  - 4 in 25<sup>th</sup> Road
  - 15 in 144<sup>th</sup> Street
- 6.01.9 Support & Protect Gas Main Crossing Water Main Up To 20" In Diameter (Ea.)**
- 2 Intersection of 25<sup>th</sup> Ave & 143 St
  - 2 Intersection of 25 Ave & Willets Point Blvd
  - 1 Intersection 25 Ave & 144 Street
  - 1 Intersection of Union St & 25 Rd
  - 1 Intersection of Union St & 143<sup>rd</sup> St
- 6.02 Extra Excavation For the Installation of Catch Basin Sewer Drain Pipes with gas interferences (Ea.)**
- 15 in Various Locations As Required
- 6.03 Removal Of Abandoned Gas Facilities. All Sizes (L.F.)**
- 895 l.f. in Various Locations As Required

**SCOPE OF WORK  
SUPPORT AND PROTECTION  
FOR CONTRACT NUMBER SE-809**

The City of New York Department of Design and Construction is planning to install sewers and/or water mains and all appurtenances in various locations in The City of New York along with all work incidental thereto.

**6.03.1A - Removal Of Abandoned Gas facilities with Possible Coal Tar Wrap. All sizes. (L.F.)  
(For ConEd work only)**

200 in Various Locations As Required

**6.04 - Adjust Hardware to Grade Using Spacer Rings/Adaptors (Street repaving) (Ea.)**

10 in Various Locations As Required

**6.05 - Adjust Hardware to Grade by Resetting (Road Reconstruction) (Ea.)**

10 in Various Locations As Required

**6.06 - Special Care Excavation & Backfilling (C.Y.)**

300 in various locations, as required, including but not limited to all gas services crossing unsheeted water main trenches.

**6.07 - Test Pits For Gas Facilities (C.Y.)**

100 in Various Locations As Required

END OF ADDENDUM NO. 3  
This Addendum consists of sixty-five (65) Pages





**ATTACH TO CONTRACT DOCUMENTS**

**THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
INFRASTRUCTURE DIVISION  
BUREAU OF DESIGN**

**PROJECT ID: SE809**

**CONSTRUCTION OF SANITARY AND STORM SEWERS AND APPURTENANCES IN N.B.  
WHITESTONE EXPRESSWAY SERVICE ROAD BETWEEN 25<sup>TH</sup> AVENUE AND FLUSHING RIVER;  
ETC.**

**INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC SIGNAL WORK**

**Together With All Work Incidental Thereto  
BOROUGH OF QUEENS**

**ADDENDUM NO. 4**

**DATED: March 17, 2011**

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**This Addendum is issued for the purpose of amending the requirements of the Contract Documents and is hereby made part of said Contract Documents to the same extent as if it was originally included therein.**

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**SPECIFICATIONS FOR  
HANDLING, TRANSPORTATION AND DISPOSAL  
OF NONHAZARDOUS AND POTENTIALLY HAZARDOUS  
CONTAMINATED MATERIALS**

(NO TEXT ON THIS PAGE)

**SPECIFICATIONS FOR  
HANDLING, TRANSPORTATION AND DISPOSAL  
OF NON-HAZARDOUS AND POTENTIALLY HAZARDOUS  
CONTAMINATED MATERIALS**

**Whitestone Expressway Service Road Area**

**Queens**

**Capital Project No. SE-809**

**Prepared By:**



**NEW YORK CITY DEPARTMENT OF  
DESIGN + CONSTRUCTION**

**30-30 Thomson Avenue, 3<sup>rd</sup> Floor  
Long Island City, New York 11101**

**Date: 4/8/2011**

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- Attachments**
1. New York City Department of Environmental Protection Limitations for Effluent to Storm-Sanitary or Combined Sewers Parameters
  2. Applicable Regulations
  3. Definitions
  4. Limited Subsurface Corridor Investigation Report – Final

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## ITEM 8.01 C1 HANDLING, TRANSPORTING AND DISPOSAL OF NON-HAZARDOUS CONTAMINATED SOILS

### 8.01 C1.1 WORK TO INCLUDE

General: This work shall consist of the handling, transportation and disposal of non-hazardous contaminated soils. The materials covered by this specification are soils that are contaminated with petroleum or chemical products but cannot be classified as hazardous waste. For the purpose of this specification, soil shall be defined as any material excavated below the pavement and base for pavement.

Non-hazardous contaminated soils are defined as soils exhibiting one or more of the following characteristics:

- ◆ Elevated Photo-Ionization Detector (PID) readings, subsequently confirmed by lab analysis
- ◆ Visual evidence of contamination
- ◆ Petroleum and/or chemical odors
- ◆ Soils that have been documented as contaminated in previous environmental reports included in Attachment 4.

Non-hazardous contaminated soils must be stockpiled at an off-site approved location or secured on-site by the Contractor, meeting all required Federal, State and Local stipulations. Sampling and laboratory analysis must be conducted to determine if the soils are hazardous, unless the alternative procedure as defined under subsection 8.01 C1.1 A.5 has been agreed upon by treatment facilities. Contaminated soils determined to be non-hazardous shall be handled in accordance with the specifications herein for Item 8.01 C1. Contaminated soils determined to be hazardous shall be handled in accordance with the specifications for Item 8.01 H – Handling, Transporting and Disposal of Hazardous Soils.

The Contractor shall retain the services of an independent Environmental Consultant, as specified under Item 8.01 S – Health and Safety, to oversee the work required under this Item.

Non-hazardous soils shall be delivered to the disposal or treatment facility within thirty (30) calendar days after excavation.

The Contractor shall conduct sampling and analysis of the impacted soils as specified under Item 8.01 C2. The laboratory results shall be forwarded to DDC Program Administration, Engineering Support Services (ESS) for review to determine if the soils will be handled and disposed of as contaminated regulated soils or hazardous waste. No other soils shall be sampled or tested without the DDC's approval or direction.

The Contractor shall ensure that all operations associated with the handling, sampling, loading, transportation, and disposal of non-hazardous contaminated soils are in compliance with all applicable Federal, State, and City statutes and regulations listed in Attachment 2.

The Contractor shall document the excavation, handling, transportation and disposal of non-hazardous contaminated soils. The Contractor shall supply all equipment, material and labor required to conduct the specified work of this Item.

A. Material Handling Plan: Within forty-five (45) calendar days after award of Contract, the Contractor shall submit to the Program Administration, ESS for review, a Material Handling Plan (MHP). The MHP must be approved by the Program Administration, ESS, prior to the Contractor's commencement of work. The MHP shall, at a minimum, consist of:

1. The Contractor's procedures for identifying non-hazardous contaminated soils during excavation, including the specific model and manufacturer of intended organic vapor

- monitoring equipment and calibration procedures to be used. It should also include the training and experience of the personnel who will operate the equipment.
2. The Contractor's procedures for safely handling non-hazardous contaminated soils. The procedures must include personnel safety and health as well as environmental protection considerations.
  3. Name, address, New York State Department of Health's (DOH) Environmental Laboratories Accreditation Program (ELAP) status and telephone number of the proposed laboratory for analysis of representative soil samples. The ELAP for the intended analysis must approve the laboratory.
  4. Identification of the Contractor's proposed waste transporter(s). This information shall include:
    - a. Name and Waste Transporter Permit Number
    - b. Address
    - c. Name of responsible contact for the hauler
    - d. Telephone number for the contact
    - e. Any and all necessary permit authorizations for each type of waste transported
    - f. Previous experience in performing the type of work specified herein
  5. All staging/stockpiling areas (if stockpiling areas are intended and available), or alternate procedures that will be used. Alternate procedures may include, but are not limited to, agreements from the intended disposal or treatment facilities to accept boring data and/or analytical data previously obtained during the site characterization so that materials may be directly loaded into vehicles for shipment to the disposal facility.
  6. A backup facility should the staging/stockpile areas become unavailable, insufficient in area or not be present by some other unforeseen difficulty.
  7. Identification of the Contractor's two proposed Treatment Storage or Disposal (TSD) facilities for non-hazardous contaminated soils (primary and back-up) for final disposal of the soils. The primary TSD shall be an approved soil recycling/treatment facility. The backup facility may be a recycling/treatment facility or a New York State Department of Environmental Conservation (DEC) approved lined landfill or other facility approved by DEC to accept this material. The information required for each facility shall include:
    - a. Facility name and the State identification number
      - (1) Facility location
      - (2) Name of responsible contact for the facility
      - (3) Telephone number for contact
      - (4) Signed letter of agreement to accept waste as specified in this contract
      - (5) Unit of measure utilized at facility for costing purposes
    - b. A listing of all permits, licenses, letters of approval, and other authorizations to operate, which are currently held and valid for the proposed facility.
    - c. A listing of all permits, licenses, letters of approval, and other authorizations to operate which have been applied for by the proposed facility but not yet granted or issued.

- d. The Contractor shall specify and describe the disposal/containment unit(s) that the proposed facility will use to manage the waste. The Contractor shall identify the capacity available in the units and the capacity reserved for the subject waste.
  - e. The Contractor shall provide the date of the proposed facility's last compliance inspection.
  - f. A list of all active (unresolved) compliance orders (or agreements), enforcement notices, or notices of violations issued to the proposed facility shall be provided. The source and nature of the cause of violation shall be stated, if known.
8. Description of all sampling and field/laboratory analyses that will be needed to obtain disposal facility approval.

### 8.01 C1.2 MATERIALS

- A. Containers shall be as required in the United State Department of Transportation (DOT) regulations.
- B. Polyethylene to be placed under (20 mil. thickness minimum) and over (10 mil. thickness minimum) soil piles.
- C. The Contractor shall assure that the waste hauler's appropriate choice of vehicles and operating practices shall prevent spillage or leakage of contaminated material from occurring en route.
- D. The Contractor shall provide, install and maintain any temporary loading facilities on site as required until completion of material handling activities. The location and design of any facilities shall be included in the MHP and be approved by the Program Administration, ESS.

### 8.01 C1.3 CONSTRUCTION DETAILS

#### A. Material Handling

1. Immediately after excavation of non-hazardous contaminated soil the Contractor shall:
  - a. Load material directly onto trucks/tankers/roll offs for disposal off site; or
  - b. If interim stockpiling is required, place on a minimum of 20 mil. or equivalent plastic ground cloth and cover by minimum of 10 mil. polyethylene sheeting or equivalent to protect against leaching or runoff of contaminants into groundwater or stormwater. Weight or secure the sheeting by appropriate means and seal seams as approved by the DDC to prevent tearing or removal by weather. Grade surrounding surface to provide for positive drainage away from pile. Stockpile shall not exceed 100 cubic yards.
2. Institute appropriate procedures and security measures to ensure the protection of site personnel and the public from contaminated materials as described in the approved MHP and Item 8.01 S - Health and Safety.
3. Any soil encountered that appears to contain unknown contaminants (based on visual, odor, or other observation), or that vary substantially from the material originally identified must be segregated in stockpiles and the independent Environmental Consultant promptly notified. Construct stockpiles to the same requirements as stated in subsection A.1.b above.
4. Provide any dewatering that is necessary to complete the work. Contaminated water shall be disposed of in accordance with Item 8.01 W1 - Removal, Treatment and Discharge/Disposal of Contaminated Water.

5. Provide and operate field organic vapor test equipment, a PID or a flame ionization detector (FID), to detect general organic vapor levels at intervals of approximately fifty (50) cubic yards of soil excavated, when visual or odor observations indicate the material may substantially differ from the soil previously excavated and/or as directed by the independent Environmental Consultant.

B. Off-Site Transportation to Disposal or Treatment Facility

1. General

- a. The Contractor shall furnish all labor, equipment, supplies and incidental costs required to transport contaminated material from the work area to the off-site disposal or treatment facility, and any other items and services required for transporting contaminated material for disposal at an off-site facility.
- b. The Contractor shall submit the name and location of the facility where an off-site scale is located. The Contractor shall also submit a plan to the DDC for review outlining procedures on controlling trucks leaving the work site and en-route to the off-site scale. The Contractor shall be responsible for tracking all material/vehicles from the site to the off-site scale.
- c. The Contractor shall provide to the DDC certified tare and gross weight slips for each load received at the accepted facility which shall be attached to each returned manifest.
- d. The Contractor shall coordinate the schedule for truck arrival and material deliveries at the job site to meet the approved project schedule.
- e. The Contractor shall inspect all vehicles leaving the project site to ensure that contaminated soils adhering to the wheels or undercarriage are removed prior to the vehicle leaving the site.
- f. The Contractor shall obtain letters of commitment from the waste haulers and the treatment, disposal or recovery facility to haul and accept shipments. The letter shall indicate agreement to handle and accept the specified estimated quantities and types of material during the time period specified in the project schedule and any time extension as deemed necessary.
- g. The Program Administration, ESS shall review and approve waste profiles before transportation to the TSD facility.

2. Hauling

- a. The Contractor shall coordinate manifesting, placarding of shipments, and vehicle decontamination. All quantities shall also be measured and recorded upon arrival at the disposal or treatment facility. If any deviation between the two records occurs, the matter is to be reported immediately to the DDC and to be resolved by the Contractor to the satisfaction of the DDC.
- b. The Contractor shall be held responsible, at its own cost for any and all actions necessary to remedy situations involving material spilled in transit or mud and dust tracked off-site.
- c. The Contractor shall ensure that trucks are protected against contamination by properly covering and lining them with compatible material (such as polyethylene) or by decontaminating them prior to and between acceptances of loads.



- d. The Contractor shall be responsible for inspecting the access routes for road conditions, overhead clearance, and weight restrictions.
  - e. The Contractor shall only use the transporter(s) identified in the MHP for the performance of work. Any use of substitute or additional transporters must have previous written approval from the Program Administration, ESS at no additional cost to the City.
  - f. The Contractor shall develop, document, and implement a policy for accident prevention.
  - g. The Contractor shall not combine contaminated materials from other projects with material from this project.
  - h. No material shall be transported until approved by the DDC.
3. Off-Site Disposal
- a. The Contractor shall use only the facility(ies) identified in the MPH for the performance of the work. Substitutions or additions shall not be permitted without prior written approval from the Program Administration, ESS, and if approved shall be at no extra cost to the City.
  - b. The Contractor shall be responsible for acceptance of the materials at an approved facility, for ensuring that the facility is properly permitted to accept the stated materials, and that the facility provides the stated treatment and/or disposal services.
  - c. The DDC reserves the right to contact and visit the disposal or treatment facility and regulatory agencies to verify the agreement to accept the stated materials and to verify any other information provided.
  - d. In the event that the identified and approved facility ceases to accept the stated materials or the facility ceases operations, it is the Contractor's responsibility to locate an alternate approved and permitted facility(ies) for accepting materials. The alternate facility(ies) must be approved in writing by the DDC in the same manner and with the same requirements as for the original facility(ies). This shall be done at no extra cost or delay to the City.
  - e. The Contractor shall obtain manifest forms, and complete the shipment manifest records required by the appropriate regulatory agencies for verifying the material and quantity of each load in unit of volume and weight. Copies of each manifest shall be submitted to the DDC within four (4) business days following shipment, and within three (3) business days after notification of receipt of the facility. Any manifest discrepancies shall be reported immediately to the DDC and be resolved by the Contractor to the satisfaction of the DDC.
4. Equipment and Vehicle Decontamination
- a. The Contractor shall design and construct a portable decontamination station to be used to decontaminate equipment and vehicles exiting from the exclusion zone. The cost for this work will be paid under Item 8.01 S - Health and Safety.
  - b. Water generated during the decontamination process shall be disposed of in accordance with Item 8.01 W1 - Removal, Treatment and Discharge/Disposal of Contaminated Water.

**8.01 C1.4 METHOD OF MEASUREMENT**

Quantities for non-hazardous contaminated soils shall be measured in tons. The tonnage will be determined by off-site truck scales, as per Subsection 8.01 C1.3.B1, that are capable of generating load tickets.

**8.01 C1.5 PRICE TO COVER**

- A. The unit bid price bid per ton for Item 8.01 C1 shall include the cost of furnishing all labor, materials, plant, equipment, and insurance for excavation, handling, transportation, disposal, documentation, fees, permits, loading, stockpiling, hauling, and any other incidentals necessary to complete all the work as specified herein for handling, transporting, and disposal of non-hazardous contaminated soil.
- B. Final disposal of hazardous soil shall be paid for under Item 8.01 H – Handling, Transporting and Disposal of Hazardous Soils. Disposal of decontamination water shall be paid for under Item 8.01 W1 – Removal, Treatment and Discharge/Disposal of Contaminated Water.
- C. Backfill will be paid for under its respective item as specified in the contract document.
- D. The independent Environmental Consultant shall be paid under Item 8.01 S – Health and Safety.

*Payment will be made under:*

| <u>ITEM NUMBER</u> | <u>ITEM</u>   | <u>PAYMENT UNIT</u> |
|--------------------|---|---------------------|
| 8.01 C1            | Handling, Transporting, and Disposal of Non-Hazardous Contaminated Soil | Tons                |

**ITEM 8.01 C2 SAMPLING AND TESTING OF CONTAMINATED/ POTENTIALLY HAZARDOUS SOIL FOR DISPOSAL PARAMETERS**

**8.01 C2.1 WORK TO INCLUDE**

A. Description

The work shall consist of collecting and analyzing representative soil samples for parameters typically requested by the disposal facilities.

B. Sampling and Laboratory Analysis

1. At least thirty (30) days prior to the commencement of work, the Contractor's independent Environmental Consultant must submit a Soil Sampling Plan/Field Sampling Plan (SSP/FSP) to the Program Administration, Engineering Support Services (ESS) for review and approval. The plan shall include the name, address, DOH's ELAP status, and telephone numbers of the proposed laboratory. The plan shall also include training and experience of the personnel who will collect the samples.
2. The Contractor shall sample and analyze representative samples of the contaminated/potentially hazardous soils. For stockpiled soils, the Contractor shall collect and analyze one (1) composite sample per 100 cubic yards or fraction thereof. Each composite sample shall consist of a minimum of five (5) grab samples collected from greater than two (2) feet below the soil surface. For drummed soil, the Contractor shall collect one (1) composite sample per (ten) 10 drums or fraction thereof. Each composite sample shall consist of a grab sample from each of the ten (10) drums or fraction thereof. Each composite sample shall be analyzed for Resource Conservation and Recovery Act (RCRA) hazardous waste characteristics (Ignitability, Reactivity, Corrosivity), Full Toxicity Characteristic Leaching Procedure (TCLP) (including RCRA metals, volatile Organic Compounds (VOCs), Semi-Volatile Organic Compounds (SVOCs), pesticides, herbicides), Total Petroleum Hydrocarbons (TPH) and Polychlorinated Biphenyls (PCBs). All samples collected should be analyzed on a rush four (4) calendar days turn around time and analytical results must be submitted to Program Administration, ESS within five (5) calendar days after sample collection.
3. All sampling shall be conducted by a person trained in sampling protocols using standard accepted practices for obtaining representative samples.
4. The Contractor must also contact the disposal facility where the waste will be sent for permanent disposal, and arrange to collect any additional samples required by the facility. The cost associated with additional sampling and testing shall be included in the bid price of this Item.
5. The quality of the data from the sampling program is the Contractor's responsibility. The Contractor must furnish all qualified personnel, equipment and instruments necessary to carry out the sampling. Unless directed otherwise, all sampling procedures must follow the DEC sampling guidelines and protocols.
6. All sample containers shall be marked and identified with legible sample labels which shall indicate the project name, sample location and/or container, the sample number, the date and time of sampling, preservatives utilized and other information that may be useful in determining the character of the sample. Chain-of-custody shall be tracked from laboratory issuance of sample containers through laboratory receipt of the samples.

7. The Contractor shall maintain a bound sample logbook. The Contractor shall provide DDC access to it at all times and shall turn it over to the DDC in good condition at the completion of the work. The following information, as a minimum shall be recorded to the log:
  1. Sample identification number
  2. Sample location
  3. Field observation
  4. Sample type
  5. Analyses
  6. Date/time of collection
  7. Collector's name
  8. Sample procedures and equipment utilized
  9. Date sent to laboratory and name of laboratory
8. The City reserves the right to direct the Contractor to conduct alternative sampling in lieu of the parameters described in subsection B2, if the situation warrants. The substitute sampling parameters shall be of equal or lesser monetary value than those described in subsection B2, as determined by industry laboratory pricing standards.
9. Only dedicated sampling equipment may be used to collect these samples. All equipment involved in field sampling must be decontaminated before being brought to the sampling location, and must be properly disposed after use.
10. Soils exceeding any of the hazardous characteristic criteria meet the legal definition of hazardous soils (rather than non-hazardous contaminated soils) and shall be transported or disposed of under Item 8.01 H – Handling, Transporting and Disposal of Hazardous Soils. All analyses must be done by a laboratory that has received approval from the ELAP for the methods to be used. The Contractor must specify the laboratory in the MHP.

**8.01 C2.2 METHOD OF MEASUREMENT**

Quantities for samples shall be measured as the number of sets of samples that are tested. A set shall be defined as one (1) composite sample analyzed for the full range of parameters as specified in subsection B2.

**8.01 C2.3 PRICE TO COVER**

The unit price bid per set for Item 8.01 C2 shall include the cost of furnishing all labor materials, plant, equipment, and insurance necessary for sampling, handling, transporting, testing, documentation, fees, permits and any other incidentals necessary to complete the work as specified herein for sampling and testing of contaminated/potentially hazardous soil.

*Payment will be made under:*

| ITEM NUMBER | ITEM  | PAYMENT UNIT |
|-------------|---|--------------|
| 8.01 C2     | Sampling and Testing of Contaminated/<br>Potentially Hazardous Soil for Disposal Parameters | Set          |

## ITEM 8.01 H HANDLING, TRANSPORTING, AND DISPOSAL OF HAZARDOUS SOILS

### 8.01 H.1 WORK TO INCLUDE

**General:** This work shall consist of the handling, transportation and disposal of soils or materials that are listed as hazardous wastes or exhibit any of the characteristics of a hazardous waste, namely ignitability, corrosivity, reactivity, and toxicity, as defined in 6 NYCRR Part 371, Section 371.3 and 40 CFR Section 261. For the purpose of this specification, soils shall be defined as any materials excavated below the pavement and base for pavement.

Contaminated soils determined to be hazardous under Item 8.01 C2 shall be handled, transported, and disposed of under Item 8.01 H in accordance with the specifications herein.

The independent Environmental Consultant retained by the Contractor, as specified under Item 8.01 S – Health and Safety, shall conduct sampling and analysis of above soils to determine which soils are hazardous.

All work under Item 8.01 H shall be performed under the direct supervision of the Contractor's Environmental Consultant, as approved by the Program Administration, Engineering Support Services (ESS).

The Contractor shall ensure that all operations associated with the handling, sampling, loading, transportation, and disposal of hazardous materials are in compliance with the applicable Federal, State, and Local statutes and regulations included in Attachment 2.

The Contractor shall document the excavation, handling, sampling, and testing, transportation and disposal of hazardous soils. The City shall be listed in the disposal documents as the waste generator.

The Contractor shall supply all equipment, material and labor required to conduct the specified work of this section.

The Contractor shall ensure that all operations associated with the handling, sampling, loading, transportation and disposal of hazardous soils are conducted in a manner to protect site personnel, the public and the environment, in accordance with all applicable Federal, State, and Local laws and regulations.

The Contractor shall decontaminate all equipment prior to its removal from the exclusion zone and/or following contact with hazardous materials, as detailed in Item 8.01 S - Health and Safety. Water generated during the decontamination process shall be disposed of under Item 8.01 W1 – Removal, Treatment and Discharge/Disposal of Contaminated Water.

A. **Material Handling Plan:** Within forty-five (45) calendar days after award of Contract, the Contractor shall submit to the Program Administration, ESS for review, a Material Handling Plan (MHP). The MHP must be approved by the Program Administration, ESS, prior to the Contractor's commencement of work. The MHP shall, at a minimum, consist of:

1. The Contractor's procedures for identifying contaminated/potentially hazardous soils during excavation, including instrumentation and calibration procedures to be used.
2. The Contractor's procedures for safely handling hazardous soils or soils which have not yet been tested but are believed to be potentially hazardous.
3. Identification of the Contractor's proposed waste transporter(s). This information shall include:
  - a. Name and waste transporter permit number
  - b. Address

- c. Name of responsible contact for the hauler
  - d. Telephone number for the contact
  - e. Any and all necessary permit authorizations for each type of waste transported
  - f. Previous experience in performing the type of work specified herein
4. All staging/stockpiling areas (if stockpiling areas are intended and available), or alternate procedures that will be used. Alternate procedures could include, but are not limited to, agreements from the intended disposal or treatment facilities to accept boring data and/or analytical data previously obtained during the site characterization so that materials may be directly loaded into vehicles for shipment to the disposal facility or the use of off-site stockpiling locations approved by the DEC.
  5. A backup facility, should the staging/stockpile areas become unavailable, insufficient in area or not be present by some other unforeseen difficulty.
  6. Identification of the Contractor's two proposed United State Environmental Protection Agency (EPA) or DEC approved RCRA TSD facilities for hazardous soils.
  7. The Contractor shall submit the following information prior to any transportation of soils regarding the temporary and final off-site TSD or facilities where it is proposing to take hazardous soils. The expense of furnishing all information will be included in the Contractor's bid price:
    - a. General Information
      - (1) Facility name and the EPA identification number
      - (2) Facility location
      - (3) Name of responsible contact for the facility
      - (4) Telephone number for contact
      - (5) Signed letter of agreement to accept waste as specified in this contract
      - (6) Signed letter of agreement with a TSD for disposal of waste that may not be land-disposed
      - (7) Unit of measure utilized at each facility for costing purposes
    - b. A listing of all permits, licenses, letters of approval, and other authorizations to operate, which are currently held and valid for the proposed facility as they pertain to receipt and management of wastes derived from this Contract.
    - c. A listing of all permits, licenses, letters of approval, and other authorizations to operate which have been applied for by the proposed facility.
    - d. The Contractor shall specify and describe the disposal/containment unit(s) that the proposed facility will use to manage the waste. The Contractor shall identify the capacity available in the units and the capacity reserved for the subject waste.
    - e. The Contractor shall provide the date of the proposed facility(ies) last compliance inspection under RCRA.
    - f. A list of all active (unresolved) compliance orders, agreements, enforcement notices or notices of violations issued to the proposed facility shall be approved. The source and nature of the cause of violation shall be stated, if known.

8. Description of all sampling and analyses that will be needed to obtain disposal facility approval.

#### 8.01 H.2 MATERIALS

- A. Containers shall be watertight as required in the DOT regulations and must meet all applicable regulations including but not limited to those in Attachment 2.
- B. Polyethylene (20 mil. thickness minimum) to be placed under and (10 mil. thickness minimum) over soil piles. If soils are placed in drums, polyethylene must be placed over the drums.

#### 8.01 H1.3 CONSTRUCTION DETAILS

##### A. Material Handling

1. The Contractor shall institute procedures to protect site personnel and the public from the non-hazardous and hazardous materials as described in Section 8.01 S - Health and Safety.
2. The Contractor shall handle hazardous soil as approved in the MHP.
3. Stockpiled materials at the temporary TSD facility shall be handled according to the facility requirements but at a minimum: shall be drummed or placed on and covered with polyethylene to protect against erosion and leaching into surrounding soils, the stockpile area shall be graded for positive drainage away from the pile, and shall be labeled while being held for sampling prior to permanent disposal.
4. Provide any dewatering that is necessary to complete the work. Water shall be disposed of in accordance with Item 8.01 W1 - Removal, Treatment and Discharge/Disposal of Contaminated Water.

##### B. Off-Site Transportation and Disposal

1. The Contractor shall furnish all labor, equipment and supplies required to transport hazardous materials from the work area to the off-site TSD facility(ies) and to acquire any other items and services required for transporting hazardous materials for storage and/or disposal at an approved off-site facility.
2. Weight Measurement
  - a. The Contractor shall submit the name and location of the facility where an off-site scale is located. The Contractor shall also submit a plan to the DDC for review outlining procedures on controlling trucks leaving the work site and on-route to the off-site scale. The Contractor shall be responsible for tracking all materials/vehicles from the site to the off-site scale.
  - b. The Contractor shall provide to the DDC certified tare and gross weight slips for each load received at the accepted facility which shall be attached to each returned manifest.
3. General
  - a. Manifests: The Contractor shall organize and maintain the material shipment records/manifests required by law.
  - b. The Contractor shall coordinate the schedule for truck arrival and material deliveries at the job site to meet the approved project schedule. The schedule shall be compatible with the availability of equipment and personnel for material handling at the job site.

- c. The Contractor shall inspect all vehicles leaving the project site to ensure that hazardous soils adhering to the wheels or under carriage are removed prior to the vehicle leaving the site.
- d. The Contractor shall obtain letters of commitment from the waste haulers and the TSD facility to haul and accept shipments. The letter shall indicate agreement to handle and accept the specified estimated quantities and types of material during the time period specified in the project schedule and any time extension as deemed as necessary.

4. Hauling

- a. The Contractor shall not deliver waste to any facility other than the TSD facility(ies) listed on the shipping manifest.
- b. The Contractor shall coordinate manifesting, placarding, of shipments, and vehicle decontamination. All quantities shall also be measured and recorded upon arrival at the TSD facility. If any deviation between the two records occurs, the matter is to be reported immediately to the DDC and to be resolved by the Contractor to the satisfaction of the DDC.
- c. The Contractor shall be held responsible, at its own expense, for any and all actions necessary to remedy situations involving material spilled in transit or mud and dust tracked off-site.
- d. The Contractor shall ensure that trucks are protected against contamination by properly covering and lining them with compatible material (such as polyethylene) or by decontaminating them prior to any use other than hauling hazardous materials.
- e. The Contractor shall be responsible for inspecting the access routes for road conditions, overhead clearance, and weight restrictions.
- f. The Contractor shall only use the transporter(s) identified in the MHP for the performance of work. Only a transporter with a current Part 364 Waste Transporter Permit from the DEC may transport this material. Any use of substitute or additional transporters must have previous written approval from the DDC at no additional cost to the City.
- g. The Contractor shall develop, document, and implement a policy for accident prevention.
- h. The Contractor shall not combine hazardous materials from other projects with material from this project.
- i. The Contractor shall obtain for the City an EPA hazardous waste generator identification number and a representative of Program Administration, ESS will review and sign the manifest as the generator.
- j. No materials shall be transported until approved by the DDC.

5. Off-Site Disposal

- a. The Contractor shall be responsible for acceptance of the materials at an approved TSD facility, for ensuring that the facility is properly permitted to accept the stated materials, and that the facility provides the stated storage and/or disposal services.
- b. In the event that the identified and approved facility ceases to accept the stated materials or the facility ceases operations, it is the Contractor's responsibility to locate an alternate approved and permitted facility(ies) for accepting materials. The



Contractor is responsible for making the necessary arrangements to utilize the facility(ies), and the alternate facility(ies) must be approved in writing by the DDC in the same manner and with the same requirements as for the original facility(ies). This shall be done with no extra cost or delay to the City.

- c. The Contractor shall submit all results and weights to the DDC.
- d. The Contractor is responsible to pay all fees associated with the generation of all excavated hazardous waste. This includes, but is not limited to, the New York State Department of Finance and Taxation (DFT) fees for hazardous waste. The Contractor is responsible to complete the DFT Form TP-550 and submit fees within twenty (20) days of the end of the quarterly period from which the waste was generated (disposed). The Contractor shall submit a copy of proof of payment to the DDC. No payment for disposal of such wastes will be issued without receipt for payment to NYS for said waste.

6. Equipment and Vehicle Decontamination

The Contractor shall design and construct a portable decontamination station to be used to decontaminate equipment and vehicles exiting from the exclusion zone. The cost for this work shall be paid under Item 8.01 S - Health and Safety. Disposal of decontamination liquids is described under Item 8.01 W1 - Removal, Treatment and Discharge/Disposal of Contaminated Water.

7. Record Keeping

The Contractor shall obtain manifest forms, and complete the shipment manifest records required by the appropriate regulatory agencies for verifying the material and quantity of each load in unit of volume and weight. Copies of each manifest shall be submitted to the DDC within four (4) business days following shipment, and within three (3) business days after notification of receipt of the facility. Any manifest discrepancies shall be reported immediately to the DDC and be resolved by the Contractor to the satisfaction of the DDC.

**8.01 H.4 METHOD MEASUREMENT**

Quantities for hazardous soil shall be measured in tons satisfactorily delivered to the treatment, storage or disposal facility. The tonnage will be determined by off-site truck scales, as per subsection 8.01 H1.3.B.2, that are capable of generating load tickets.

**8.01 H.5 PRICE TO COVER**

- A. The unit price bid per ton for Item 8.01 H shall include the cost of furnishing all labor, materials, plant, equipment, and insurance for excavation, handling, transportation, disposal, documentation, permits, fees, taxes, stockpiling, hauling, and any other incidentals necessary to complete the work as specified herein for handling, transporting and disposal of hazardous soils.
- B. Final disposal of non-hazardous materials shall be paid for under Item 8.01 C1 - Handling, Transporting and Disposal of Non-Hazardous Soils. Disposal of decontamination water shall be paid under Item 8.01 W1 - Removal, Treatment and Discharge/Disposal of Contaminated Water.
- C. The independent Environmental Consultant shall be paid under Item 8.01 S - Health and Safety.
- D. Backfill will be paid for under its respective item.

*Payment will be made under:*

| <u>ITEM NUMBER</u> | <u>ITEM</u>  | <u>PAYMENT UNIT</u> |
|--------------------|--|---------------------|
| 8.01 H             | Handling, Transporting, and Disposal of Hazardous Soil | Tons                |

## ITEM 8.01 S HEALTH AND SAFETY

### 8.01 S.1 WORK TO INCLUDE

#### Health and Safety Requirements

##### A. Scope of Work

It is the Contractor's responsibility to stage and conduct his work in a safe manner. The Contractor shall implement a Health and Safety Plan (HASP) for contaminated/hazardous soil intrusive activities as set forth in Occupational Safety and Health Administration (OSHA) Standards 1910.120 and 1926.650-652. The Contractor shall ensure that all workers have at a minimum hazard awareness training. The Contractor shall segregate contaminated work area in secured exclusion zones. These zones shall limit access to Contractor personnel specifically trained to enter the work area. The exclusion zone shall be set up to secure the area from the public and untrained personnel. The project health and safety program shall apply to all construction personnel including persons entering the work area. In addition, the Contractor shall protect the public from on-site hazards, including subsurface contaminants associated with on-site activities. The HASP shall be signed off by a Certified Industrial Hygienist and reviewed by Program Administration, Engineering Support Services (ESS).

Work shall include, but not be limited to:

1. Implementation of a baseline medical program.
2. Providing safety equipment and protective clothing for site personnel, including maintenance of equipment on a daily basis; replacement of disposable equipment as required; decontamination of clothing, equipment and personnel; and providing all other health and safety measures.
3. Providing, installing, operating and maintaining on-site emergency medical first aid equipment as specified in this section for which payment is not provided under other pay items in this Contract.
4. Providing, installing, operating, maintaining and decommissioning all equipment and personnel decontamination facilities specified within this section, including, but not limited to, the decontamination pad, decontamination water supply, decontamination water collection equipment and all other items and services required for the implementation of the health and safety requirements for which pay items are not provided elsewhere in this Contract.
5. Provide the minimum health and safety requirements for excavation activities within the limits of this Contract.
6. Implement and enforce a HASP: The HASP as presented in these specifications is dynamic with provisions for change to reflect new information, new practices or procedures, changing site environmental conditions or other situations which may affect site workers and the public. The HASP will also address measures for community protection, accident prevention, personnel protection, emergency response/contingency planning, air monitoring, odor control and hazardous chemicals expected on site. Providing a Confined Space Entry Program as defined in the Occupational Safety and Health Act, Confined Space Entry Standard, 29 CFR 1910.146.

B. Environmental Consulting Services

The Contractor shall retain an independent Environmental Consultant to obtain all permits and perform all field screening, air monitoring, community air monitoring, soil sampling, and health and safety services. The independent Environmental Consultant shall at a minimum provide documentation to the Program Administration, ESS demonstrating the minimum requirements as set forth below:

1. The independent Environmental Consultant project supervisor on site and other designated key personnel shall have a minimum of five (5) years experience in the environmental field dealing with issues associated with contaminated soils. Such experience shall include oversight on environmental, specifically volatile organic compound and dust monitoring services as a routine part of its daily operations.
2. The independent Environmental Consultant must be experienced in work of this nature, size, and complexity and must have previous experience in working with the DEC.
3. The independent Environmental Consultant shall furnish a project listing identifying the location, nature of services provided, owner, owner's contact, contact's telephone number, project duration and value for at least five (5) projects.
4. The independent Environmental Consultant shall furnish to the DDC a staffing plan which identifies the Environmental Consultant's key personnel and resume documenting their experience, training, licenses, and education to justify their roles in the project. A Health and Safety Officer must be designated.
5. The independent Environmental Consultant shall demonstrate that these key project personnel have received an approved 40-hour OSHA Hazardous Waste Operations and Emergency Response (Hazwoper) Training including most recent 8-hour refresher training.
6. If conditions within the exclusion zone are deemed hazardous, then the Contractor and its independent Environmental Consultant shall ensure that all personnel working within identified exclusion zones and/or involved (direct contact) with the handling, storage or transport of hazardous and contaminated materials shall have completed a minimum of forty (40) hours of Health and Safety Training on Hazardous Waste Sites in accordance with 29 CFR 1910.120(e). The training program shall be conducted by a qualified safety instructor. If conditions in the exclusion zone are deemed to be non-hazardous, the independent Environmental Consultant shall provide site specific training.
7. The Contractor shall ensure that on-site management and supervisors directly responsible for or who supervise employees engaged in hazardous waste operations shall receive the training specified in above and at least eight (8) additional hours of specialized training on managing such operations at the time of job assignment.

C. Submittals

1. The Contractor shall submit, within forty-five (45) calendar days after the contract award, a written HASP as specified herein, to Program Administration, ESS for review and comment. The Contractor shall make all necessary revisions required by Program Administration, ESS and resubmit the HASP to the Program Administration, ESS for acceptance. Start-up work for the project will not be permitted until written acceptance has been issued by the Program Administration, ESS.
2. Daily safety logs shall be maintained by the Contractor and shall be submitted to the DDC either on request or on completion of the work. Training logs shall be maintained by the Contractor and submitted to the DDC either on request or on completion of the work. Daily

logs on air monitoring during excavation activities shall be prepared and maintained by the Contractor and submitted to the DDC either on request or upon completion of the work.

3. A closeout report shall be submitted by the Contractor to the DDC upon completion of the work within the defined exclusion zones. This report shall summarize the daily safety and monitoring logs and provides an overview of the Contractor's performance regarding environmental and safety issues. The report shall carefully document all areas where contamination has been found including pictures, addresses of locations, and potential sources.
4. Medical Surveillance Examinations: The Contractor shall submit to the DDC the name, office address and telephone number of the medical consultant utilized. Evidence of baseline medical examinations together with the evidence of the ability to wear National Institute for Occupational Safety and Health (NIOSH) approved respirators (as specified in American National Standards Institute (ANSI) Z88.6) shall be provided to the DDC for all construction personnel who are to enter the exclusion zones.
5. Accident Reports: All accidents, spills, or other health and safety incidents shall be reported to the DDC.

D. Health and Safety Plan

The HASP shall comply with OSHA regulations 29 CFR 1910.120/1926.65. This document shall at a minimum contain the following:

1. Description of work to be performed
2. Site description
3. Key personnel
4. Worker training procedures
5. Work practices and segregation of work area
6. Hazardous substance evaluation
7. Hazard assessment
8. Personal and community air monitoring procedures and action levels
9. Personal protective equipment
10. Decontamination procedures
11. Safety rules
12. Emergency procedures
13. Spill control, dust control, vapor/odor suppression procedures
14. Identification of the nearest hospital and route
15. Confined space procedures
16. Excavation safety procedures

**8.01 S.2 MEASUREMENT**

Health and Safety Requirements

- A. 25% of the lump sum price will be paid when the following items are implemented or mobilized:

- Medical surveillance program
- Health and safety training
- Health and safety plan
- Environmental and personnel monitoring
- Instrumentation
- Spill control
- Dust control

Personnel and equipment decontamination facilities  
Personnel protective clothing  
Communications  
Mobilization

- B. 50% will be paid in proportional monthly amounts over the period of work.
- C. 25% will be paid when the operation is demobilized and removed from the project site.

### 8.01 S.3 PRICE TO COVER

#### Health and Safety Requirements

The lump sum price bid for the health and safety requirements shall include all labor, materials, equipment, and insurance necessary to complete the work in accordance with these specifications. The price bid shall include, but not be limited to, the following:

- A. Providing training, safety personnel, air monitoring and medical examinations as specified.
- B. Providing safety equipment and protective clothing for site personnel, including maintenance of equipment on a daily basis; replacement of disposable equipment as required; decontamination of clothing, equipment and personnel; and all other health and safety activities or costs not paid for under other pay items in this Contract.
- C. Providing, installing, operating and maintaining on-site emergency medical and first aid equipment. This includes all furnishings, equipment, supplies and maintenance of all medical equipment, and all other health and safety items and services for which payment is not provided under other pay items in this Contract.
- D. Providing, installing, operating, maintaining, and decommissioning all personnel and equipment decontamination facilities, including decontamination pad, decontamination water supply, and all other items and services required for the implementation of the health and safety requirements for which pay items are not provided elsewhere in this Contract. Vehicle decontamination pads shall be included in the price of this item. Disposal of decontamination fluid shall be paid for under Item 8.01 W1 – Removal, Treatment and Discharge/Disposal of Contaminated Water.
- E. Spill Control
  - 1. Payment shall account for furnishing, installing, and maintaining all spill control equipment and facilities. Payment will include equipment and personnel to perform emergency measures required to contain any spillage and to remove spilled materials and soils or liquids that become contaminated due to spillage during work within the exclusion zones and handling of excavated soils and liquids from these areas. This collected spill material will be properly disposed of.
  - 2. Payment under this item shall not include testing, handling, transportation or disposal of petroleum-contaminated/potentially hazardous soils excavated during construction. The price for this work will be paid for under Items 8.01 C1 – Handling, Transporting and Disposal of Non-Hazardous Contaminated Soils, 8.01 C2 – Sampling and Testing of Contaminated/Potentially Hazardous Soil for Disposal Parameters or 8.01 H – Handling, Transporting and Disposal of Hazardous Soils, as appropriate.

#### F. Dust Control

Payment shall account for furnishing, installing, and maintaining dust control equipment and facilities to be used whenever applicable dust levels are exceeded. Payment will include all

necessary labor, equipment, clean water, foam, and all other materials required by the Dust Control Plan. The DOH Community Air Monitoring Plan (CAMP) may be used as guidance.

G. Vapor/Odor Suppression

Payment shall account for furnishing, installing and maintaining vapor/odor control equipment and facilities to be used whenever organic vapor monitoring or the presence of odors indicates that vapor suppression is required to protect workers or the public. Payment will include all necessary labor, equipment, clean water, foam and all other materials required by the Vapor/Odor Suppression Plan.

H. Mobilization/Demobilization

1. Mobilization

Payment shall include but not be limited to:

- a. All work required to furnish, install and maintain all signs, fencing, support zone facilities, parking areas and all temporary utilities;
- b. All work required to furnish, install, and maintain an office space with phone and utilities for health and safety personnel;
- c. All work required for complete preparation of lay down area for roll-off containers, including sampling, and any required fencing;
- d. All direct invoiced cost from bonding companies and government agencies for permits and costs of insurance; and
- e. All other items and services required for mobilization and site preparation.

2. Demobilization

Payment shall include but not be limited to: All work required to sample the area; remove from the site all equipment, temporary utilities and supporting facilities; performance of necessary decontamination and repairs; disposal of disposable equipment and protective gear and other items and services required for complete demobilization.

*Payment will be made under:*

| <u>ITEM NUMBER</u> | <u>ITEM</u>       | <u>PAYMENT UNIT</u> |
|--------------------|-------------------|---------------------|
| 8.01 S             | Health and Safety | Lump Sum            |

## ITEM 8.01 W1 REMOVAL, TREATMENT AND DISCHARGE/DISPOSAL OF CONTAMINATED WATER

### 8.01 W1.1 WORK TO INCLUDE

General: This work shall consist of the proper removal and disposal of all contaminated groundwater and decontamination water generated during construction operations. The Contractor shall be solely responsible for the proper disposal or discharge of all contaminated water generated at the job site. The Contractor will have the option of treating water on-site for discharge to the combined sanitary/storm sewer system or removing contaminated water for off-site disposal. The Contractor shall be responsible to choose a method compatible to the construction work and shall be compensated on a per day basis regardless of method employed. The Contractor will be compensated for only those days where the system is in full operation.

The Contractor shall retain a dewatering/water treatment Specialist (hereinafter the "Specialist") and laboratory as specified under Item 8.01 W2 – Sampling and Testing of Contaminated Water, to conduct any testing that may be required for disposal of impacted water.

The dewatering/water treatment Specialist is responsible to obtain all permits; perform all water sampling, testing; and provide ancillary services related to dewatering and water treatment. The Specialist shall at a minimum provide documentation to the Program Administration, Engineering Support Services (ESS) demonstrating the minimum requirements as set forth below:

1. The Specialist shall demonstrate that it has, at a minimum, five (5) years corporate experience in the dewatering. The Specialist should demonstrate expertise dealing with issues associated with contaminated water. During that five (5) year period, the Specialist shall demonstrate that it provided dewatering and water treatment systems a routine part of its daily operations.
2. During the five (5) year period, the Specialist shall demonstrate that it has provided these services with an aggregate cost of \$1,000,000 in each of the five (5) years.
3. The Specialist must be experienced in work of this nature, size, and complexity and must have previous experience in working with the DEC.
4. The Specialist shall furnish a project listing identifying the location, nature of services provided, owner, owner's contact, contact's telephone number, project duration and value for at least five (5) projects in the last five (5) years of a similar nature, size, and complexity to this one.
5. The Specialist shall furnish to the DDC a staffing plan which identifies the Specialist key personnel and resume documenting their experience, training, licenses, and education to justify their roles in the project. A Health and Safety Officer must be designated.
6. If conditions within the exclusion zone are deemed hazardous, then the Contractor and its independent Environmental Consultant shall ensure that all personnel working within identified exclusion zones and/or involved (direct contact) with the handling, storage or transport of hazardous and contaminated material shall have completed a minimum of forty (40) hours of Health and Safety Training on Hazardous Waste Sites in accordance with 29 CFR 1910.120(e). The training program shall be conducted by a qualified safety instructor. If conditions in the exclusion zone are deemed to be non-hazardous, the Specialist shall be responsible to provide site-specific training to its employees and other affected personnel.



7. The Contractor shall ensure that on-site management and supervisors directly responsible for or who supervise employees engaged in hazardous waste operations shall receive the training specified in above and at least eight (8) additional hours of specialized training on managing such operations at the time of job assignment.

The Contractor shall document all operations associated with the handling, sampling and disposal of contaminated water, and ensure that they are in compliance with applicable Federal, State and Local statutes and regulations as listed in Attachment 2.

The Contractor shall supply all labor, equipment, transport, plant, material, treatment, and other incidentals required to conduct the specified work of this section.

If water will be disposed of into the combined sanitary/storm sewer system, the Contractor shall ensure the Specialist treats the water to comply with the New York City Department of Environmental Protection (DEP) Sanitary/Combined and Storm Sewer Effluent Limit concentrations prior to discharge. The Contractor is responsible for providing settling or filtering tanks and any other apparatus required by DEP. Alternatively, the Contractor can provide a plan for transport and disposal at an off-site waste disposal facility.

Within forty-five (45) calendar days after award of Contract, the Contractor shall submit to the Program Administration, ESS for review, a Water Handling Plan (WHP). The WHP must be approved by the Program Administration, ESS, prior to the Contractor's commencement of work. The minimum requirements for the WHP are specified herein Item 8.01W 1.2, for each type of disposal (disposal into the combined sanitary/storm sewer or off-site disposal). The Contractor shall maintain a complete, up to date copy of the WHP on the job site at all times.

#### **8.01 W1.2 CONSTRUCTION DETAILS**

For each disposal method the Contractor proposes to utilize (disposal to combined sanitary/storm sewer or off-site disposal), the WHP shall include the information required in paragraphs A and B below, as appropriate.

##### **A. On-site treatment and discharge into New York City combined sanitary/storm sewers.**

1. Regulations: The Contractor shall comply with all applicable regulations. This includes but may not be limited to:  
Title 15-New DEP Sewer Use Regulations.
2. Permits: The Contractor is solely responsible to obtain all necessary and appropriate Federal, State and Local permits and approvals. The Contractor will be responsible for performing all and any system pilot tests required for permit approval. This includes but may not be limited to:
  - a. Industrial waste approval for the New York City sewer system.
  - b. Groundwater discharge permit for the New York City sewer system (DEP Division of Sewer Regulation and Control), if discharge to sewer exceeds 10,000 gallons per day.
  - c. The Contractor shall comply with DEC State Pollutant Discharge Elimination System (SPDES) Permit Number GP-92-01, General Permit for Stormwater Discharges.
  - d. Long Island well point permit for Brooklyn and Queens sites, if well points are used for dewatering.
  - e. Wastewater quality control application, DEP.

3. The WHP for this portion of the work shall include at a minimum:
- a. Identification and design of Contractor's proposed treatment to assure that the water meets the DEP sewer use guidelines prior to discharge to the sewer, including identification of all materials, procedures, settling or filtering tanks, filters and other appurtenances proposed for treatment and disposal of contaminated water.
  - b. The name, address and telephone number of the contact for the Contractor's proposed chemical laboratory, as well as the laboratory's certifications under Federal, State or non-governmental bodies.
  - c. The name, address and telephone number of the contact for the Contractor's proposed independent Environmental Consultant.
  - d. Copies of all submitted permit applications and approved permits the Contractor have received.

4. Materials

The Contractor shall supply all settling or filtering tanks, pumps, filters, treatment devices and other appurtenances for treatment, temporary storage and disposal of contaminated water. All equipment shall be suitable for the work described herein.

5. Execution

- a. The Contractor is solely responsible for disposal of all water, in accordance with all Federal, State and Local regulations.
- b. The Contractor is solely responsible for any treatment required to assure that water discharged into the sewer is in compliance with all permits and Federal, State and Local statutes and regulations.
- c. The Contractor is solely responsible for the quality of the water disposed of into the sewers.
- d. The Contractor is responsible for sampling and testing of water for the DEP Sanitary/Combined and Storm sewer Effluent Limit concentrations. The quality of the data is the Contractor's responsibility. Any sampling and testing shall be conducted and paid in accordance with Item 8.01 W2 - Sampling and Testing of Contaminated Water.
- e. The Contractor shall be responsible to maintain the discharge rate to the sewer such that all permit requirements are met, the capacity of the sewer is not exceeded and no surcharging occurs downstream due to the Contractor's actions. Dewatering by means of well points or deep wells will not be allowed in the Boroughs of Brooklyn or Queens where the rate of pumping exceeds forty-five (45) gallons per minute unless the appropriate permit has been secured from the DEC.
- f. Disposal of Treatment Media
  - (1) The Contractor shall be responsible for disposal or recycling of treatment media in accordance with all Federal, State and Local regulations.
  - (2) The Contractor shall provide the DDC with all relevant documentation concerning the disposal of treatment media, including manifests, bills of lading, certificates of recycling or destruction and other applicable documentation.

- (3) Disposal of treatment media shall not be considered as a separate pay item; instead it shall be considered as incidental work thereto and included in the unit price bid.

B. Off-Site Disposal

1. Regulations: The Contractor shall conform to all applicable Federal, State and Local regulations pertaining to the transportation, storage and disposal of any hazardous and/or non-hazardous materials as listed in Attachment 2.
2. The following shall be submitted to the DDC prior to initiating any off-site disposal:
  - a.
    - (1) Name and waste transporter permit number
    - (2) Address
    - (3) Name of responsible contact for the hauler
    - (4) Any and all necessary permit authorizations for each type of waste transported
    - (5) Previous experience in performing the type of work specified herein
  - b. General information for each proposed treatment/disposal facility and at least one backup treatment/disposal facility
    - (1) Facility name and EPA identification number
    - (2) Facility location
    - (3) Name of responsible contact for the facility
    - (4) Telephone number for contact
    - (5) Unit of measure utilized at facility for costing purposes
  - c. A listing of all permits, licenses, letters of approval and other authorizations to operate, which are currently held and valid for the proposed facility as they pertain to receipt and management of the wastes derived from this Contract.
  - d. A listing of all permits, licenses, letters of approval and other authorizations to operate which have been applied for by the proposed facility but not yet granted or issued. Provide dates of application(s) submitted. Planned submittals shall also be noted.
  - e. The Contractor shall specify and describe the disposal/containment unit(s) that the proposed facility will use to manage the waste and provide dates of construction and beginning of use, if applicable. Drawings may be provided. The Contractor shall identify the capacity available in the units and the capacity reserved for the subject waste.
  - f. The Contractor shall provide the date of the proposed facility's last compliance inspection.
  - g. A list of all active (unresolved) compliance orders, agreements, enforcement notices or notices of violations issued to the proposed facility shall be submitted. The source and nature of the cause of violation shall be stated, if known. If groundwater contamination is noted, details of the facility's groundwater monitoring program shall be provided.

- h. Description of all sampling and field/laboratory analyses that will be needed to obtain disposal facility approval.

3. Materials

All vessels for temporary storage and transport to an off-site disposal facility shall be as required in DOT regulations.

4. Execution

a. General

- (1) The Contractor shall organize and maintain the material shipment records/manifests required by Federal, State and Local law. The Contractor shall include all bills of lading, certificates of destruction, recycling or treatment and other applicable documents.
- (2) The Contractor shall coordinate the schedule for truck arrival and material deliveries at the job site to meet the approved project schedule. The schedule shall be compatible with the availability of equipment and personnel for material handling at the job site.
- (3) The Contractor shall inspect all vehicles leaving the project site to ensure that contaminated liquids are not spilling and are contained for transport.
- (4) The Contractor shall obtain letters of commitment from the waste haulers and the treatment, disposal or recovery facility to haul and accept shipment. The letter shall indicate agreement to handle and accept the specified estimated quantities and types of material during the time period specified in the project schedule and any time extension as deemed as necessary.
- (5) The Contractor shall verify the volume of each shipment of water from the site.
- (6) The Contractor is responsible for sampling and testing of water for off-site disposal. The quality of the data is the Contractor's responsibility. Any sampling and testing shall be conducted and paid in accordance with Item 8.01 W2 - Sampling and Testing of Contaminated Water.
- (7) The Contractor shall be responsible for any additional analyses required by the TSD facility, and for the acceptance of the water at an approved TSD facility.

b. Hauling

- (1) The Contractor shall not deliver waste to any facility other than the TSD facility(ies) listed on the shipping manifest.
- (2) The Contractor shall coordinate manifesting, placarding of shipments, and vehicle decontamination. All quantities shall also be measured and recorded upon arrival at the TSD facility. If any deviation between the two records occurs, the matter is to be reported immediately to the DDC and shall be resolved by the Contractor to the satisfaction of the DDC.
- (3) The Contractor shall be held responsible for any and all actions necessary to remedy situations involving material spilled in transit or mud and dust tracked off-site. This cleanup shall be accomplished at the Contractor's expense.

- (4) The Contractor shall be responsible for inspecting the access routes for road conditions, overhead clearance and weight restrictions.
- (5) The Contractor shall only use the transporter(s) identified in the WHP for the performance of work. Only a transporter with a current Part 364 Waste Transporter Permit from DEC may transport this material. Any use of substitute or additional transporters must have previous written approval from the DDC at no additional cost to the City.
- (6) The Contractor shall develop, document, and implement a policy for accident prevention.
- (7) The Contractor shall not combine waste materials from other projects with material from this project.
- (9) The Contractor shall obtain for the City a hazardous waste generator identification number and will sign the manifest as the generator, if necessary.
- (10) No material shall be transported until approved by the DDC.

c. Disposal Facilities

- (1) The Contractor shall use only the TSD facility(ies) identified in the WHP for the performance of the work. Substitutions or additions shall not be permitted without prior written approval from the Program Administration, ESS, and, if approved, shall be at no extra cost to the City.
- (2) The Contractor shall be responsible for acceptance of the material at an approved TSD facility, for ensuring that the facility is properly permitted to accept the stated material, and that the facility provides the stated storage and/or disposal services.
- (3) The DDC reserves the right to contact and visit the disposal facility and regulatory agencies to verify the agreement to accept the stated material and to verify any other information provided. This does not in any way relieve the Contractor of his responsibilities under this Contract.
- (4) In the event that the identified and approved facility ceases to accept the stated materials or the facility ceases operations, it is the Contractor's responsibility to locate an alternate approved and permitted facility(ies) for accepting materials. The Contractor is responsible for making the necessary arrangements to utilize the facility(ies), and the alternate facility(ies) must be approved in writing by the DDC in the same manner and with the same requirements as for the original facility(ies). This shall be done with no extra cost or delay to the City.

d. Equipment and Vehicle Decontamination

- (1) The Contractor shall design and construct a portable decontamination station to be used to decontaminate equipment and vehicles exiting the exclusion zone. The cost for this work shall be paid under Item 8.01 S – Health and Safety.

### 8.01 W1.3 METHOD OF MEASUREMENT

The quantity for on-site treatment and discharge or off-site disposal shall be on a per day basis.

**8.01 W1.4 PRICE TO COVER**

- A. The per day price bid for Item 8.01 W1 shall include the cost of furnishing all labor, materials, plant, equipment, and insurance for handling, transportation, disposal, documentation, permits, hauling, mobilization and demobilization, and any other incidentals thereto to complete the work.
- B. The Contractor will not be paid for water that is within the DEP Sewer Discharge Limits.

*Payment will be made under:*

| <u>ITEM NUMBER</u> | <u>ITEM</u>   | <u>PAYMENT UNIT</u> |
|--------------------|---|---------------------|
| 8.01 W1            | Removal, Treatment and Disposal/Discharge of Contaminated Water | Day                 |

## ITEM 8.01 W2 SAMPLING AND TESTING OF CONTAMINATED WATER

### 8.01 W2.1 WORK TO INCLUDE

#### A. Description

The work shall consist of sampling and testing of potentially contaminated groundwater, surface runoff within the excavated area and all contaminated water generated during the decontamination process.

#### B. Sampling and Testing

1. The Contractor is responsible, at a minimum, for sampling and testing of contaminated water for the DEP Sanitary/Combined and Storm Sewer Effluent Limit concentrations as listed in Attachment 1. The quality of the data is the Contractor's responsibility. Any additional testing required by the Federal, State and/or disposal facilities shall be included in the bid price of this Item.
2. All sampling and testing shall be conducted by a person trained in sampling protocols using accepted standard practices and/or the DEC sampling guidelines and protocols.
3. All sample containers shall be marked with legible sample labels which shall indicate the project name, sample location and/or container, the sample number, the date and time of sampling, preservatives utilized, how the sample was chilled to 4 degrees Celsius, and other information that may be useful in determining the character of the sample.
4. Chain-of-custody shall be tracked from laboratory issuance of sample containers through receipt of the samples.
5. The Contractor shall maintain a bound sample log book. The Contractor shall provide the DDC access to it at all times and shall turn it over to the DDC in good condition at the completion of the work. The following information, as a minimum, shall be recorded to the log:
  - a. Sample identification number
  - b. Sample location
  - c. Field observation
  - d. Sample type
  - e. Analyses
  - f. Date/time of collection
  - g. Collector's name
  - h. Sample procedures and equipment used
  - i. Date sent to laboratory/name of laboratory
6. Only dedicated sampling equipment may be used to collect these samples. All equipment involved in field sampling must be decontaminated before being brought to the site, and must be properly disposed of after use.
7. Samples shall be submitted to the Contractor's laboratory within the holding times for the parameters analyzed.

8. All analyses must be done by a laboratory that has received approval from the DOH's ELAP for the methods to be done. The Contractor must specify the laboratory in the WHP.
9. Analytical results for water discharged to the sewer and for off-site disposal must be submitted to the DDC no later than five (5) days after sample collection.
10. The City reserves the right to direct the Contractor to conduct alternative sampling in lieu of the parameters described above, if the situation warrants. The substitute sampling parameters shall be of equal or lesser monetary value than those described above, as determined by industry laboratory pricing standards.

**8.01 W2.2 METHOD OF MEASUREMENT**

Quantities for samples shall be measured as the number of sets of samples that are tested for the DEP Sanitary/Combined and Storm Sewer Effluent Limit concentrations. A set shall be defined as one (1) representative sample analyzed for the full range of DEP parameters as specified in attachment 1.

**8.01 W2.3 PRICE TO COVER**

The unit price bid per set for Item 8.01 W2 shall include the cost of furnishing all labor, materials, plant, equipment, and insurance for handling, transport, sampling, testing, documentation, permits, other incidentals necessary to complete the work of sampling and testing of contaminated water. Any additional costs incurred by the Contractor for sampling and testing of contaminated water shall be included in the bid price of this Item.

*Payment will be made under:*

| <u>ITEM NUMBER</u> | <u>ITEM</u>                                | <u>PAYMENT UNIT</u> |
|--------------------|--|---------------------|
| 8.01 W2            | Sampling and Testing of Contaminated Water | Set                 |



**ATTACHMENT 1**

**New York City Department of Environmental Protection  
Limitations for Discharge To Storm, Sanitary/Combined Sewer**

**NEW YORK CITY DEPARTMENT OF ENVIRONMENTAL PROTECTION  
BUREAU OF WASTEWATER TREATMENT**

**Limitations for effluent to storm, sanitary or combined sewers**

| Parameter                      | Daily Limit | Units | Sample Type   | Monthly Limit |
|--------------------------------|-------------|-------|---------------|---------------|
| Oil & Grease                   | 15          | mg/l  | Instantaneous |               |
| Total Petroleum Hydrocarbons   | 50          | mg/l  | Instantaneous |               |
| pH Range (Storm sewer)         | 6.5-8.5     | SU's  | Instantaneous |               |
| (Sanitary sewer)               | 5-11        |       |               |               |
| Benzene                        | 134         | ppb   | Instantaneous | 57            |
| Ethyl benzene                  | 380         | ppb   | Instantaneous | 142           |
| Toluene                        | 74          | ppb   | Instantaneous | 28            |
| Xylenes Total                  | 74          | ppb   | Instantaneous | 28            |
| Temperature                    | < 150       | °F    | Instantaneous |               |
| Cadmium                        | 2           | mg/l  | Instantaneous |               |
|                                | 0.69        | mg/l  | Composite     |               |
| Chromium VI                    | 5           | mg/l  | Instantaneous |               |
| Copper                         | 5           | mg/l  | Instantaneous |               |
| Lead                           | 2           | mg/l  | Instantaneous |               |
| Mercury                        | 0.05        | mg/l  | Instantaneous |               |
| Nickel                         | 3           | mg/l  | Instantaneous |               |
| Zinc                           | 5           | mg/l  | Instantaneous |               |
| Flash Point                    | > 140       | °F    | Instantaneous |               |
| Total Suspended Solids         | 350         | ppm   | Instantaneous |               |
| PCB's Total*                   | 1           | ppb   | Composite     |               |
| Perc (Tetrachloroethylene)     | 20          | ppb   | Instantaneous |               |
| MTBE (Methyl-Tert-Butyl-Ether) | 10          | ppb   | Instantaneous | 10            |
| Naphthalene                    | 47          | ppb   | Instantaneous | 19            |

\* Analysis for PCB's are requested only if both conditions listed below are met:

- 1) If proposed discharge > 10,000 gpd
- 2) If duration of discharge > 10 days

Analysis for PCB's must be conducted by USEPA Method 608 only with MDL = 65ppt

**ATTACHMENT 2**  
**Applicable Regulations**

Applicable regulations include, but are not limited to:

1. 49 CFR 100 to 179 - DOT Hazardous Materials Transport and Manifest System Requirements
2. New York State Department of Environmental Conservation (DEC), Spills Technology and Remediation Series (STARS) Memo #1
3. 6 NYCRR 360-1 DEC Solid Waste Management Facilities
4. 6 NYCRR 364- Waste Transporter permits
5. Local restrictions on transportation of waste/debris
7. 40 CFR 260 to 272 - Hazardous Waste Management (RCRA)
8. 6 NYCRR 371 - Identification and Listing of Hazardous Wastes
9. 6 NYCRR 372 - Hazardous Waste Manifest System and Related Standards for Generators, Transporters and Facilities
10. 6 NYCRR 373-1 - Hazardous Waste Treatment, Storage and Disposal Facility Permitting Requirements
11. 6 NYCRR 376 - Land Disposal Restrictions
12. Posted weight limitations on roads or bridges
13. Transportation Skills Programs, Inc. 1985 - Hazardous Materials and Waste Shipping Papers and Manifests
14. Other local restrictions on transportation of waste/debris
15. Occupational Safety and Health Administration (OSHA), Standards and Regulations, 29 CFR 1910 (General Industry)
16. OSHA 29 CFR 1910.120 Hazardous Waste Operations and Emergency Response
17. OSHA Safety and Health Standards 29 CFR 1926 (Construction Industry)
18. OSHA 29 CFR 1910.146 Confined Space Entry Standard
19. Standard Operating Safety Guidelines, EPA Office of Emergency and Remedial Response Publication, 9285.1-03
20. NIOSH / OSHA / USCG / EPA Occupational Safety and Health Guidance Manual for Hazardous Waste Site Activities (1986)
21. U.S. Department of Health and Human Services (DHHS) "NIOSH Sampling and Analytical Methods," DHHS (NIOSH) Publication 84-100
22. ANSI, Practice for Respiratory Protection, Z88.2 (1980)
23. ANSI, Emergency Eyewash and Shower Equipment, Z41.1 (1983)
24. ANSI, Protective Footwear, Z358.1 (1981)
25. ANSI, Physical Qualifications for Respirator Use, Z88.6 (1984)
26. ANSI, Practice for Occupational and Educational Eye and Face Protection, Z87.1 (1968)
27. Water Pollution Control Federation "Manual of Practice No. 1, Safety in Wastewater Works"
28. NFPA No. 327 "Standard Procedures for Cleaning and Safeguarding Small Tanks and Containers"
29. Occupational Safety and Health Act Confined Space Entry Standard 29 CFR 1910.146.87

30. Department of Transportation 49 CFR 100 through 179
31. Department of Transportation 49 CFR 387 (46 FR 30974, 47073)
32. Environmental Protection Agency 40 CFR 136 (41 FR 52779)
33. Environmental Protection Agency 40 CFR 262 and 761
34. Resource Conservation and Recovery Act (RCRA)
35. Any transporter of hazardous or non-hazardous materials shall be licensed in the State of New York and all other states traversed in accordance with all applicable regulations.

# ATTACHMENT 3

## Definitions

**Non-Hazardous Contaminated Soils:** Soils which exhibit a distinct chemical or petroleum odor, or exhibit elevated photoionization detector readings but are not classified as hazardous waste under 6 NYCRR Part 371, Section 371.3 and 40 CFR Section 261.

**Hazardous Soils:** Soils that exhibit any of the characteristics of a hazardous waste, namely ignitability, corrosivity, reactivity, and toxicity, as defined in 6 NYCRR Part 371, Section 371.3 and 40 CFR Section 261.

**Photoionization Detector:** A hand held instrument used to measure volatile organic compounds in air. The instrument ionizes the organic molecules through the use of an ultraviolet lamp.

**Disposal or Treatment Facility:** A facility licensed to accept either non-hazardous regulated waste or hazardous waste for either treatment or disposal.

**Material Handling Plan:** A plan outlining the methods that will be employed to handle, transport and dispose of contaminated materials.

**New York State Health Department's Environmental Laboratory Approval Program:** A program by which the state of New York approves and accredits environmental testing laboratories.

**RCRA Hazardous Waste Characteristics:** Characteristics of a material which may indicate the material is hazardous. These include: ignitability corrosivity, reactivity, and toxicity.

**Toxicity Characteristic Leaching Procedure:** A laboratory testing method used to determine the concentrations of certain substances in a material that has the ability to leach from the material. These concentrations are used to determine if the material exhibits toxicity levels characteristic of a hazardous waste.

**Total Petroleum Hydrocarbons:** An analytical procedure used to determine the total amount of petroleum compounds in a material.

**PCBs:** Polychlorinated biphenyls are a group of toxic compounds commonly used as a coolant in transformers and other electrical components.

**Health and Safety Plan:** A plan employed at a work site that describes all the measures that will be taken to assure that all work is conducted in a safe manner, and that the health of the workers and the public will be insured.

**Hazardous Substance Evaluation:** An evaluation of the possible or known presence of any hazardous substances that may be encountered on a job site. This evaluation is included in the Health and Safety Plan and will include the identification and description of any hazardous substances expected to be encountered. Material Safety Data Sheets (MSDS) will be included for each substance.

**Hazard Assessment:** An assessment of any physical hazards that may be encountered on a work site.

**Contaminated Groundwater and Decontamination Fluids:** Groundwater within the excavation trench or decontamination water that contains regulated compounds above the DEP Discharge to Sanitary/Combined Sewer Effluent limits.

**Exclusion Zone:** Work area that will be limited to access by Contractor personnel specifically trained to enter the work area only. The exclusion zone will be set up to secure the area from the public and untrained personnel. The project health and safety program will apply to all construction personnel including persons entering the work area.

**ATTACHMENT 4**

**Limited Subsurface Corridor Investigation Report**



Limited Subsurface Corridor Investigation Report  
For  
Whitestone Expressway Sewers Outlet Work  
Queens, NY

DDC ID NO. SE-809  
WORK ORDER NO. 5197-LIRO-1R-5023  
CONTRACT REGISTRATION NO. 20070020687

Prepared for:



Bureau of Environmental and Geotechnical Services  
30-30 Thomson Avenue  
Long Island City, New York 11101

Prepared by:



LiRo Engineers, Inc.  
15-09 132nd Street, 2nd Floor  
College Point, NY 11356

FINAL

January 10, 2008

A4-41



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## EXECUTIVE SUMMARY

On behalf of the City of New York Department of Design and Construction (DDC) Bureau of Environmental and Geotechnical Services (BEGS), LiRo Engineers, Inc. (LiRo) has conducted this Limited Subsurface Corridor Investigation (LSCI). The LSCI has been designed to reasonably determine the potential for environmental concern or possible contamination posed by properties within a storm sewer outlet project in Flushing, Queens, New York. For purposes of this report, the Corridor is approximately 10,020 feet (1.9 miles) in length consisting of Right-of-Way (ROW) along the following streets:

- Whitestone Expressway Service Road East (WESRE) from 25<sup>th</sup> Avenue to College Point Boulevard;
- College Point Boulevard from WESRE to Whitestone Expressway westbound overpass;
- Parcel of land between College Point Boulevard and Flushing Creek at the Whitestone Expressway westbound overpass;
- 144<sup>th</sup> Street from approximately 100 feet south of 22<sup>nd</sup> Road to 25<sup>th</sup> Avenue;
- 25<sup>th</sup> Avenue from Parsons Boulevard to WESRE;
- Union Street from Parsons Boulevard to 26<sup>th</sup> Avenue;
- 25<sup>th</sup> Road from Union Street to WESRE;
- Farrington Street from WESRE to 31<sup>st</sup> Road;
- 31<sup>st</sup> Road from Ulmer Street to Linden Place;
- 31<sup>st</sup> Drive from approximately 320 feet west of Farrington Street to Ulmer Street;
- Ulmer Street from WESRE to 31<sup>st</sup> Drive;
- Higgins Street from WESRE to 32<sup>nd</sup> Avenue.

The Corridor location includes Whitestone Expressway Service Road East and connecting roads. Property use on the Corridor includes mostly commercial properties in the southwest portion of the corridor and single/multiple family residential properties in the northeast portion. The southwest end of the Corridor is off-street adjacent to Flushing Creek.



This investigation was conducted to determine if the area's environmental conditions have impacted the subsurface soil and/or groundwater. LiRo performed the investigation, which consisted of:

- Review of the construction drawings and the Whitestone Expressway Corridor Assessment Report (CAR) for scoping the Subsurface Investigation and preparation of a Work Plan.
- Sixteen (16) soil borings (SBs) and seven (7) temporary well points (TWP) were completed using direct-push *Geoprobe* drilling equipment, to a maximum depth of 17 feet below ground surface (ft bgs).
- Collection of a boring grab and boring composite soil sample from each boring location.
- Collection of seven (7) groundwater samples from TWPs fitted with a schedule 40 PVC riser and a number 20-slot screen measuring ten (10) feet.
- Field screening, consisting of visual and olfactory indicators, Photo-Ionization Detector (PID) readings and selection of soil samples for laboratory analysis based on contaminant indicators.
- Laboratory analysis of the grab soil samples for volatile organic compounds (VOCs).
- Laboratory analysis of the boring composite samples for semi-volatile organic compounds (SVOCs), Polychlorinated Biphenyls (PCBs) at PCB target locations, and Target Analyte List (TAL) metals.
- Laboratory analysis of one oil sample for PCBs and Total Petroleum Hydrocarbon (TPH) fingerprint.
- Laboratory analysis of seven (7) groundwater samples for New York City Department of Environmental Protection (NYCDEP) water discharge permit parameters which include non-polar material, pH, Temperature, Flash Point, Cadmium, Chromium (VI), Copper, Lead, Mercury, Nickel, Zinc, VOCs [including Benzene, Carbon tetrachloride, Chloroform, 1,4 Dichlorobenzene, Ethylbenzene, MTBE (Methyl-Tert-Butyl-Ether), Naphthalene, Phenol, Tetrachloroethylene (Perc), Toluene, 1,2,4 Trichlorobenzene, 1,1,1 Trichloroethane, Xylenes (Total)], PCB's (Total), Total Suspended Solids (TSS), CBOD, Chloride, Total Nitrogen, and Total Solids.



- The presentation of a written report with schematic drawings depicting the boring locations and significant site features.

In order to evaluate the subsurface soil and groundwater quality, laboratory analytical results were compared with New York State Department of Environmental Conservation (NYSDEC), NYCDEP, and Resource Conservation and Recovery Act (RCRA) regulatory standards identified in:

- Technical and Administrative Guidance Memorandum 4046 (TAGM 4046), Recommended Soil Cleanup Objectives;
- NYCDEP Bureau of Wastewater Treatment (BWT) Limitations for Effluent to Sanitary or Combined Sewer; and
- Code of Federal Regulation (CFR) Identification of Hazardous Wastes identified in 40 CFR Part 261.24.

The scope of work detailed on page ES-1 included 16 soil samples from 16 borings and 7 groundwater samples from 7 TWP's at 10 high risk and 5 moderate risk properties. The following is a summary of the investigation results:

- At SB-7, SB-8, and SB-10, an hydrocarbon odor was present and PID readings of 78 ppm, 93 ppm, and 91 ppm, respectively. There were no olfactory indications (i.e., odors) of contamination in any other borings (SB-1 through SB-6, SB-9, and SB-11 through SB-16) and all of the remaining PID readings were 0.0 ppm. Visual evidence of ash was observed in the fill material at nearly all locations. Table 1 summarizes the field investigation observations.
- VOCs (Table 2) were detected in each of the 16 soil samples collected. There were two exceedances of TAGM 4046 Recommended Soil Cleanup Objective (RSCO) concentrations: naphthalene in SB-8 and acetone in SB-16. The VOCs detected included common laboratory contaminants, as well as petroleum-related compounds and chlorinated solvents that are likely a result of historical spills.
- SVOCs (Table 3) were detected in 14 of the 16 soil samples collected. There were exceedances of RSCO concentrations at 11 of the 16 sample locations. The chemicals for



which there were exceedances included the following polycyclic aromatic hydrocarbons (PAHs): benzo(a)anthracene, benzo(a)pyrene, benzo(b)fluoranthene, benzo(k)fluoranthene, chrysene, dibenzo(a,h)anthracene, dibenzo(a,h)anthracene, and naphthalene. The area-wide presence of elevated SVOC levels is attributable to historic fill and various spills such as the Con Edison Spill # 9507318. An exceedance for phenol was observed at boring SB-3 adjacent to an historic manufactured gas plant (MGP) - the likely source for the phenol.

- PCBs were not detected in any of the five soil samples (SB-1, SB-2, SB-5, SB-6, and SB-7) that were analyzed for PCBs.
- Table 4 summarizes the results of the metals detected in the soil samples. Arsenic, barium, cadmium, chromium, copper, iron, nickel, selenium, zinc and mercury were detected above the TAGM 4046 RSCO concentrations in one or more of the soil samples. Every soil sample (SB-1 through SB-16) had at least one metals exceedance. The observed concentrations for arsenic, barium, cadmium, calcium, chromium, cobalt, copper, iron, magnesium, manganese, nickel, selenium, vanadium zinc, and mercury exceeded the range of Eastern USA background concentrations in one or more samples. Table 4 summarizes the results of the metals detected in the soil samples. The lead concentration at SB-10 exceeded the 20X Toxicity Characteristic Leaching Procedure (TCLP) principal value by over an order of magnitude.
- A dark brown, viscous oil sample was collected from SB-7 and analyzed for PCBs and TPH fingerprint. This boring location is approximately 15 feet from a Con Edison manhole located at the intersection of College Point Blvd and 32<sup>nd</sup> Avenue with an open spill number (9507318) that was reported on September 14, 1995. The collected oil sample appeared weathered. No PCBs were detected in the oil or in the soil collected at SB-7. The sample had a characteristic chromatogram associated with (extremely weathered) fuel oil petroleum hydrocarbons, such as #2 Diesel fuel and #5 Home Heating Fuel Oil.

Based on the above results, the soils show characteristics of contaminated historic fill with SVOCs and metals on a Corridor-wide basis. Visual evidence of ash was observed in the fill material at nearly all locations and based on historic filling of the entire area, contaminated fill is inferred to be present in all portions of the corridor. Field evidence (odors, PID readings and oily



water) of residual petroleum contamination related to spills (such as Con Edison Spill #9507318) was evident at SB-7, SB-8 and SB-10. There was also evidence of contamination related to the historic MGP located between Farrington Street and Ulmer Street.

- Groundwater samples were analyzed for parameters included in the NYCDEP BWT Limitations for Effluent to Sanitary or Combined Sewers guidance document. Total Suspended Solids (TSS) levels were detected in SB-2/TWP-2, SB-3/TWP-3, SB-12/TWP-5, and SB-15/TWP-6 above the NYCDEP Criteria for effluent to Sanitary/Combined Sewers Daily Limit of 350 mg/l. MTBE was detected above NYCDEP criteria (0.05 mg/l) in SB-15/TWP-6. PCBs and Non-polar material were detected at SB-3/TWP-3 above the NYCDEP Criteria (0.001 mg/l and 50 mg/l, respectively). Lead and zinc were detected in SB-12/TWP-5 above criteria (2 mg/l and 5 mg/l, respectively). There were no exceedances in samples from SB-1/TWP-1, SB-5/TWP-4 and SB-16/TWP-7. High suspended solids concentrations are typical in undeveloped wells and are routinely addressed, along with metals, by using settling tanks or filters during dewatering projects. The organic contaminants may require treatment using carbon. The results of this analysis are summarized in Table 5.
- NYCDEP no longer regulates storm sewer discharge throughout NYC. Therefore, if discharge into storm sewers is required during dewatering, it must be done under the appropriate NYSDEC Industrial State Pollutant Discharge Elimination System (SPDES) permit. Additional sampling and laboratory analysis will be required to satisfy NYSDEC requirements prior to discharge into storm sewers.

Therefore, based on the results of the field investigation and the analytical testing, LiRo recommends:

- Based on the length and historical use of the Corridor, the Contract documents should identify provisions and a contingency for managing, handling, transporting and disposing of potentially non-hazardous petroleum, VOC, SVOC and metals-impacted soil and potentially hazardous lead-impacted soil along the Whitestone Expressway Sewer Outlet Corridor. The Contractor should be required to submit a Material Handling Plan, to identify the specific protocols and procedures that will be utilized to manage the soil in accordance with applicable regulations. The Material Handling Plan should also include protocols and



procedures for managing free product and characteristic hazardous waste which may potentially be encountered.

- Before beginning any excavation activity, the Contractor shall submit a site-specific health and safety plan (HASP) addressing the concerns identified that will meet the requirements set forth by the Occupational Safety and Health Administration (OSHA), the New York State Department of Health (NYSDOH) and any other applicable regulations. The HASP should identify the possible locations and risks associated with the potential contaminants that may be encountered during the excavation activities, and the administrative and engineering controls that will be utilized to mitigate concerns.
- Based on the groundwater analysis, groundwater may contain organics, metals, and high levels of suspended solids. Therefore the water should not be discharged to sanitary or combined sewers without additional characterization and/or treatment prior to discharge. If discharge into storm sewers is required during dewatering, it must be done under the appropriate NYSDEC Industrial State Pollutant Discharge Elimination System (SPDES) permit. Additional sampling and laboratory analysis will be required to satisfy NYSDEC requirements prior to discharge into storm sewers.
- If free product of any petroleum-based oil is encountered, a vacuum recovery truck should be utilized to collect residual oil for off-site disposal in accordance with NYSDEC transport and disposal regulations.





## 1.0 INTRODUCTION

### 1.1 Background Information

On behalf of the City of New York Department of Design and Construction (DDC) Bureau of Environmental and Geotechnical Services (BEGS), LiRo Engineers, Inc. (LiRo) has conducted this Limited Subsurface Corridor Investigation (LSCI). The LSCI has been designed to reasonably determine the potential for environmental concern or possible contamination posed by properties within a storm sewer outlet project in Flushing, Queens, New York. For purposes of this report, the Corridor is approximately 10,020 feet (1.9 miles) in length consisting of Right of Way (ROW) along the following streets:

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- 25<sup>th</sup> Road from Union Street to WESRE;
- Farrington Street from WESRE to 31<sup>st</sup> Road;
- 31<sup>st</sup> Road from Ulmer Street to Linden Place;
- 31<sup>st</sup> Drive from approximately 320 feet west of Farrington Street to Ulmer Street;
- Ulmer Street from WESRE to 31<sup>st</sup> Drive;
- Higgins Street from WESRE to 32<sup>nd</sup> Avenue.

The Corridor location includes Whitestone Expressway Service Road East and connecting roads. Property use on the Corridor includes mostly commercial properties in the southwest portion of the corridor and single/multiple family residential properties in the northeast portion. The southwest end of the Corridor is off-street adjacent to Flushing Creek.



The field investigation was conducted by Mark Chin of LiRo to determine if the site environmental conditions might impact the proposed construction activities. The Corridor location is shown on Figure 1 and Figure 2.

In October 2007, LiRo prepared a Corridor Assessment Report (CAR) for the Whitestone Expressway Sewer Outlet. The CAR included an investigation of the area to document the current use, a review of fire insurance maps to document historical use, and a review of the state and federal government databases to identify sites on or adjoining the Whitestone Expressway Corridor that constitute a potential environmental concern.

Based on the Risk Criteria protocol established by DDC BEGS and the CAR, LiRo identified ten sites that were categorized as having a "High" risk and five sites that were categorized as having a "Moderate" risk. LiRo recommended that further investigations, including the advancement of soil borings and temporary well points and the collection of soil and groundwater for laboratory analysis, be conducted within the Whitestone Expressway Corridor. The high and moderate risk sites listed below were recommended for additional investigation consisting of site-specific subsurface testing under the direction of DDC.

#### High Risk Sites

- 1 32<sup>nd</sup> Avenue and Higgins Street
- 2 Farrington Street and 31<sup>st</sup> Road
- 3 Con Ed Manufactured Gas Plant - Farrington Street and 31<sup>st</sup> Drive
- 4 Con Ed manhole - 32-17 College Point Boulevard
- 5 Industrial Site - 32-02 College Point Boulevard
- 6 Con Ed Vault - College Point Boulevard and 32<sup>nd</sup> Avenue
- 7 133-15 32<sup>nd</sup> Avenue
- 8 Parcel between College Point Boulevard and Flushing Creek under Whitestone Expressway (former NYC Parks Department Service Garage)
- 9 132-02 32<sup>nd</sup> Road (former gasoline filling station)
- 10 132-03 32<sup>nd</sup> Avenue (former machine shop).



### Moderate Risk Sites

- 1 Flushing River Coking Station - at 32<sup>nd</sup> Street
- 2 31-25 Downing Street
- 3 133-05 32<sup>nd</sup> Avenue
- 4 31<sup>st</sup> Road between Farrington Street and Linden Place (former car sign manufacturer)
- 5 Corner of 31<sup>st</sup> Road and Linden Place (former paper container manufacturer).

### 1.2 Scope of Work

Based on the Corridor Assessment Report (CAR) and consistent with DDC requirements, LiRo outlined a scope of work in a Work Plan dated November 19, 2007 to include the advancement of sixteen (16) soil borings at high and moderate risk sites. All other locations evaluated were classified as low risk. Aquifer Drilling and Testing (ADT) performed the utility clearing and drilling activities for the LSCI fieldwork which was completed by Mark Chin of LiRo between December 5 and December 12, 2007. The investigation consisted of:

- Review of the construction drawings and the Whitestone Expressway Corridor Assessment Report (CAR) for scoping the Subsurface Investigation and preparation of a Work Plan.
- Sixteen (16) soil borings (SBs) and seven (7) temporary well points (TWPs) were completed using direct-push *Geoprobe* drilling equipment, to a maximum depth of 17 feet below ground surface (ft bgs).
- Collection of a boring grab and boring composite soil sample from each boring location.
- Collection of seven (7) groundwater samples from TWPs fitted with a schedule 40 PVC riser and a number 20-slot screen measuring ten (10) feet.
- Field screening, consisting of visual and olfactory indicators, Photo-Ionization Detector (PID) readings and selection of soil samples for laboratory analysis based on contaminant indicators.
- Laboratory analysis of the grab soil samples for volatile organic compounds (VOCs).



- Laboratory analysis of the boring composite samples for semi-volatile organic compounds (SVOCs), PCBs (at PCB target locations), and Target Analyte List (TAL) metals.
- Laboratory analysis of seven (7) groundwater samples for NYCDEP water discharge permit parameters which include: non-polar material, pH, Temperature, Flash Point, Cadmium, Chromium (VI), Copper, Lead, Mercury, Nickel, Zinc, VOCs [including Benzene, Carbon tetrachloride, Chloroform, 1,4-Dichlorobenzene, Ethylbenzene, MTBE (Methyl-Tert-Butyl-Ether), Naphthalene, Phenol, Tetrachloroethylene (Perc), Toluene, 1,2,4-Trichlorobenzene, 1,1,1-Trichloroethane, Xylenes (Total)], PCB's (Total), Total Suspended Solids (TSS), CBOD, Chloride, Total Nitrogen, and Total Solids.
- The presentation of a written report with schematic drawings depicting the boring locations and significant site features.

In order to evaluate the subsurface soil and groundwater quality, laboratory analytical results were compared with New York State Department of Environmental Conservation (NYSDEC), New York City Department of Environmental Protection (NYCDEP), and Resource Conservation and Recovery Act (RCRA) regulatory standards identified in:

- Technical and Administrative Guidance Memorandum 4046 (TAGM 4046), Recommended Soil Cleanup Objectives;
- NYCDEP BWT Limitations for Effluent to Sanitary or Combined Sewer; and
- Code of Federal Regulation (CFR) Identification of Hazardous Wastes identified in 40 CFR Part 261.24.

## 2.0 CORRIDOR INFORMATION

### 2.1 Corridor Location, Description and Use

The Whitestone Expressway Corridor, located in Flushing, Queens, New York is approximately 10,020 feet (1.9 miles) in length. The Corridor consists of Right-of-Way (ROW) along the following streets:



- Whitestone Expressway Service Road East (WESRE) from 25<sup>th</sup> Avenue to College Point Boulevard;
- College Point Boulevard from WESRE to Whitestone Expressway westbound overpass;
- Parcel of land between College Point Boulevard and Flushing Creek at the Whitestone Expressway westbound overpass;
- 144<sup>th</sup> Street from approximately 100 feet south of 22<sup>nd</sup> Road to 25<sup>th</sup> Avenue;
- 25<sup>th</sup> Avenue from Parsons Boulevard to WESRE;
- Union Street from Parsons Boulevard to 26<sup>th</sup> Avenue;
- 25<sup>th</sup> Road from Union Street to WESRE;
- Farrington Street from WESRE to 31<sup>st</sup> Road;
- 31<sup>st</sup> Road from Ulmer Street to Linden Place;
- 31<sup>st</sup> Drive from approximately 320 feet west of Farrington Street to Ulmer Street;
- Ulmer Street from WESRE to 31<sup>st</sup> Drive;
- Higgins Street from WESRE to 32<sup>nd</sup> Avenue.

The Corridor location is presented in Figure 2.

## 2.2 Description of Surrounding Properties

Property use on the Corridor includes mostly commercial properties in the southwest portion of the corridor and single/multiple family residential properties in the northeast portion. The southwest end of the Corridor is adjacent to Flushing Creek.

## 2.3 Site and Regional Topographic Setting

The Whitestone Expressway Corridor is located on the United States Geologic Survey (U.S.G.S.) 7.5-minute Flushing, New York Topographic Quadrangle (Quad) 1988 map. The elevation of the Corridor ranges from just above sea level to 40 feet above mean sea level (amsl) (Figure 1).

## 2.4 Site and Regional Geology

Physiographically, Kings and Queens Counties are part of the Long Island Hydrogeologic System. In a roughly north-south cross section, the geology can be characterized as a wedge-



shaped layer of Cretaceous and Pleistocene age unconsolidated sediments, thickening to the south-southeast. Several impermeable clay layers are found within these sediments, generally creating three distinct aquifers. Consolidated bedrock is of Precambrian and Paleozoic age. The thickness of the unconsolidated sequence ranges from zero to approximately 1,300 feet below ground surface from north to south. Outcrops of metamorphic bedrock can be found along the northwest portions of Queens County. The uppermost unconsolidated unit, consists of Pleistocene glacial till and moraine deposits in the northern portions of the Queens and Kings Counties and glaciofluvial sediments derived from melt-water of the retreating glaciers to the south. These deposits constitute the Upper Glacial Aquifer. The depth to the water table varies but generally follows topography with flow from higher to lower elevation. In areas of higher topography associated with glacial moraine deposits, the water table is as great as 100 feet below sea level (fbsl). Closer to sea level, groundwater can occur at depths of 5 to 10 feet below sea level. The terminal moraine deposits act as a groundwater divide with regional flow to the north, north of the moraine and to the south, south of the moraine

The overlying Cretaceous age sediments are characterized by three periods of deposition separated by periods of erosions. The lowermost unit, known as the Raritan Formation, was deposited by streams and coalescing delta deposits. The formation has been divided into two units, the Lloyd Sand Member and a conformable overlying clay unit (the Raritan Confining Unit).

After the period of erosion, the Magothy Formation was deposited in an environment dominated by streams and coalescing deltas. The coarse basal unit indicates an environment of high energy that decreases rapidly, resulting in deposition of finer sands and silts that make up the majority of the formation.

Several episodes of Pleistocene glaciations by a southward advance from New England and the Hudson River valley eroded the Cretaceous deposits. The unconformity that extends across most of Queens and Kings Counties between the Cretaceous deposits and the overlying sediment represents glacial scouring and glaciofluvial activity. Evidence of ice contact with the underlying Cretaceous deposits is absent in the southern portion of Queens and Kings Counties, indicating the southernmost limit of the advancing ice sheets.



The oldest Pleistocene deposit, represented only on western Long Island and Queens and Kings Counties is the Jameco Gravel (Jameco Aquifer). It is a channel filling of gravel and coarse sands which may represent a paleo Hudson River.

The terminal moraine of the last glacial advance is represented by the Harbor Hill Moraine. The Moraine trends southwest to northeast through central Kings and Queens Counties. The moraine deposits consist of poorly sorted silts, clays, sands and boulders and form the topographic highs in the area.

## 2.5 Local Geology and Hydrogeology

During the LSCI field work soils comprised primarily by sandy fill with ash and silt with clay were encountered to the depth of up to 17 feet below ground surface (ft bgs). Sampler refusal was encountered at four of the 16 boring locations at depths ranging from 8 ft bgs to 12 ft bgs. Groundwater was encountered at depths which ranged from 8 ft bgs to 10 ft bgs.



### 3.0 CORRIDOR EVALUATION

#### 3.1 Soil and Groundwater Quality Investigation

Proposed construction activities at this site will include soil excavation, which in-turn, will require site soil characterization to identify material handling requirements (i.e., use of protective equipment) and for material reuse, handling and/or waste disposal requirements. De-watering of excavations may also become necessary during the construction phase, which in-turn, will require groundwater characterization to identify handling and disposal requirements.

LiRo provided oversight for the advancement of 16 soil borings in the vicinity of the planned roadway improvement excavation area. The location of the Whitestone Expressway Corridor and soil boring locations are provided in Figure 2. Boring location details are also provided in Appendix A. A summary of the field investigation, including the location of the sites and the details of the soil borings, is provided in Table 1 at the end of this report.

The borings were advanced utilizing hydraulically driven, direct push equipment with a *Geoprobe* Macro Core subsurface sampling apparatus, using a 4-foot long sampler for continuous sampling of the subsurface soil.

Continuous soil samples were collected from each of the borings. Upon sampler retrieval, the soils were examined for visual evidence (i.e., staining, discoloration) and olfactory indications (i.e., odors) of contamination. Additionally, a photo-ionization detector (PID) was used to qualitatively screen the soil for VOCs. The PID screening procedure consisted of placing the soil sample in a plastic zip-lock bag, sealing the bag, and allowing volatile vapors to equalize into the empty spaces (headspace) within the bag. The PID probe point was then inserted into the bag to obtain a headspace reading. The sample that exhibited the highest PID reading was containerized and submitted as the boring grab soil sample. If no PID readings were detected, then the grab sample was collected from the depth chosen by the on-site geologist. A boring composite sample was prepared by mixing soil from the entire boring. Soil classification information is documented on the boring logs included as Appendix B of this report. All boring equipment was cleaned by being rinsed in tap water, then scrubbed with an Alconox wash and rinsed with tap water again between each sample interval.





The soil samples that were selected for laboratory analysis were transferred from the zip-lock bags into laboratory supplied sample jars and properly labeled. The samples were stored with ice in a cooler at 4° Celsius prior to and during shipment. A chain-of-custody was prepared prior to sample shipment. Following the completion of each boring, the boreholes were back-filled with drill cuttings, and then sealed with cement grout. The sample designations and intervals for the samples that were submitted to the laboratory are included in Table 1.

While drilling at SB-7, a dark brown, viscous oil product was observed in the boring. A sample of the oil was collected for TPH fingerprint analysis and PCBs.

Temporary well points (TWP) were installed at seven (7) of the boring locations. Groundwater was collected with a peristaltic pump and placed into laboratory supplied sample jars and properly labeled. The samples were stored with ice in a cooler at 4° Celsius prior to and during shipment. A chain-of-custody was prepared, prior to sample shipment. Following the completion of groundwater collection, the LiRo-installed well points were removed and the borehole was back-filled with drill cuttings, and then sealed with cement grout.

### 3.2 Laboratory Analytical Results for Soil and Groundwater Samples

The soil samples were submitted to EMSL Analytical, a NYS Department of Health (DOH) approved laboratory [ELAP No. 04653] for analysis. At the request of the DDC, field-derived Quality Assurance/Quality Control samples (i.e., field blank, trip blank, split sample) were neither collected nor analyzed for this project. Laboratory report forms are included as Appendix C of this report.

Grab soil samples were collected from each of the 16 borings and analyzed for VOCs by Method SW846-8260. Soil was composited from the entire length of the soil column from each of the 16 borings and analyzed for Target Compound List (TCL) Base Neutral Acid (BNA) SVOCs by Method 8270, and TAL Metals by Method 6010B. Samples from borings SB-1, SB-2, SB-5, SB-6, and SB-7 were also analyzed for PCBs by Method 8080.

One oil sample was collected from SB-7 for PCBs and TPH fingerprint analysis.

Groundwater samples were collected from each of the 7 TWP and analyzed for VOCs (Benzene, Carbon tetrachloride, Chloroform, 1,4-Dichlorobenzene, Ethylbenzene, Methyl-Tert-Butyl-Ether



(MTBE), Napthalene, Phenol, Tetrachloroethylene (Perc), Toluene, 1,2,4-Trichlorobenzene, 1,1,1-Trichloroethane, and Xylenes (Total)) by Method 8260B, Total PCBs by Method 608, Metals (Cadmium, Chromium VI, Copper, Lead, Mercury, Nickel and Zinc) by Methods 200.7, 245.1, and 3500Cr-D, Flashpoint by Method 1010, Non Polar Materials by Method 1664, Total Suspended Solids by Method 160.2, Carbonaceous Biochemical Oxygen Demand (CBOD) by Method 5210B, Chloride by Method 300.0, Total Nitrogen by Method 351.3, Total Solids by method 2540G and pH by Method 150.1.

### 3.2.1 Volatile Organic Compounds (VOCs) in Soil

Table 2 summarizes the results of the VOC analysis. VOCs were detected in each of the 16 soil samples collected. There were two exceedances of TAGM 4046 Recommended Soil Cleanup Objective (RSCO) concentrations: naphthalene in SB-8 and acetone in SB-16. The VOCs detected included petroleum-related compounds and chlorinated solvents that are likely a result of historical spills, and common laboratory contaminants.

### 3.2.2 Semi-Volatile Organic Compounds (SVOCs) in Soil

Table 3 summarizes the results of the SVOC analysis in the composite soil samples. SVOCs were detected in 14 of the 16 soil samples collected. There were exceedances of RSCO concentrations at 11 of the 16 sample locations. The chemicals for which there were exceedances included the following polycyclic aromatic hydrocarbons (PAHs): benzo(a)anthracene, benzo(a)pyrene, benzo(b)fluoranthene, benzo(k)fluoranthene, chrysene, dibenzo(a,h)anthracene, dibenzo(a,h)anthracene, and naphthalene. The PAH exceedances were observed at borings SB- 4, SB-4, SB-6, SB-7, SB-8, SB-9, SB-10, SB-11, SB-12, SB-13 and SB-15. An exceedance for phenol was observed at boring SB-3.

### 3.2.3 PCBs in Soil

PCBs were not detected in any of the five soil samples (SB-1, SB-2, SB-5, SB-6, and SB-7) that were analyzed for PCBs.

### 3.2.4 Metals in Soil

Table 4 summarizes the results of the metals detected in the soil samples. Arsenic, barium, cadmium, chromium, copper, iron, nickel, selenium, zinc and mercury were detected above the



TAGM 4046 RSCO concentration levels in one or more of the soil samples analyzed for metals. The observed concentrations for arsenic, barium, cadmium, calcium, chromium, cobalt, copper, iron, magnesium, manganese, nickel, selenium, vanadium zinc, and mercury exceeded the range of Eastern USA background concentrations in one or more samples.

To determine whether a material is a hazardous waste for toxicity, the toxicity characteristic leaching procedure (TCLP) is used to determine if the waste will be potentially hazardous to the environment via contaminant leaching. In the TCLP procedure, the laboratory dilutes the sample extract to 1/20<sup>th</sup> of its original concentration. The total concentration results can be divided by 20 and compared to the 40 CFR 261.24 TCLP Extraction Limit or the total concentration can be compared to 20 times the TCLP Extraction Limit. If the total concentration that is divided by 20 is less than the 40 CFR 261.24 limits, then the sample cannot leach enough of that constituent to exceed the maximum concentration of the contaminant for toxicity to the environment and, therefore, the material could be deemed not hazardous for toxicity.

Based on the Toxicity Characteristic Leaching Procedure (TCLP) 20X principal described above, seven of the eight (all except lead) Resource Conservation Recovery Act (RCRA) metals are below the TCLP Extraction Limit; therefore the soil is unlikely to be hazardous for toxicity for metals with the exception lead. Lead concentrations in four soil samples (SB-9, SB-11, SB-12 and SB-16) were at or marginally above 20X the TCLP extraction Limit. The lead concentration at SB-10 exceeded the 20X value by over an order of magnitude and may be characteristic of a hazardous waste. In removing soil from the area of SB-10 additional TCLP testing will be required to characterize the soil for disposal.

### 3.2.5 Oil Sample

A dark brown, viscous oil sample was collected from SB-7 and analyzed for PCBs and TPH fingerprint. This boring location is approximately 15 feet from a Con Edison manhole adjacent to the Con Edison industrial site at 32-02 College Point Boulevard with an open spill #9507318 that was reported on September 14, 1995. The collected oil sample appeared weathered suggesting that it had been present in the subsurface for an extended period. No PCBs were detected in samples from SB-7. The sample had a characteristic chromatogram associated with (extremely weathered) fuel oil petroleum hydrocarbons, such as #2 Diesel fuel and #5 Home



Heating Fuel Oil. Free product may be encountered in this area during the DDC construction project.

### 3.2.6 NYCDEP BWT Limitations for Effluent to Sanitary or Combined Sewers

Groundwater was observed at depths ranging from 8 ft bgs to 10 ft bgs and samples were collected at 7 locations for analysis. The results of this analysis are summarized in Table 5. The samples were analyzed for parameters included in the NYCDEP Limitations for Effluent to Sanitary or Combined Sewers guidance document. Total Suspended Solids (TSS) levels were detected in SB-2/TWP-2, SB-2/TWP-3, SB-12/TWP-5, and SB-15/TWP-6 above the NYCDEP Criteria for effluent to Sanitary/Combined Sewers Daily Limit of 350 mg/l. MTBE was detected above NYCDEP criteria (0.05 mg/l) in SB-15/TWP-6. PCBs and Non-polar material were detected at SB-3/TWP-3 above the NYCDEP Criteria (0.001 mg/l and 50 mg/l, respectively). Lead and zinc were detected in SB-12/TWP-5 above criteria (2 mg/l and 5 mg/l, respectively). There were no exceedances in samples from SB-1/TWP-1, SB-5/TWP-4 and SB-16/TWP-7. The remaining parameters were detected below the NYCDEP criteria. High suspended solids concentrations are typical in undeveloped wells and are routinely addressed, along with metals, by using settling tanks or filters during dewatering projects. The organic contaminants may require treatment using carbon.

NYCDEP no longer regulates storm sewer discharge throughout NYC. Therefore, if discharge into storm sewers is required during dewatering, it must be done under the appropriate NYSDEC Industrial State Pollutant Discharge Elimination System (SPDES) permit. Additional sampling and laboratory analysis will be required to satisfy NYSDEC requirements prior to discharge into storm sewers.

## 4.0 CONCLUSIONS

On behalf of the City of New York Department of Design and Construction (DDC) Bureau of Environmental and Geotechnical Services (BEGS), LiRo Engineers, Inc. (LiRo) has conducted this Limited Subsurface Corridor Investigation (LSCI). The LSCI has been designed to reasonably determine the potential for environmental concern or possible contamination posed by properties within a storm sewer outlet project in Flushing, Queens, New York. For purposes of



this report, the Corridor is approximately 10,020 feet (1.9 miles) in length consisting of Right-of-Way (ROW) along the following streets:

- Whitestone Expressway Service Road East (WESRE) from 25<sup>th</sup> Avenue to College Point Boulevard;
- College Point Boulevard from WESRE to Whitestone Expressway westbound overpass;
- Parcel of land between College Point Boulevard and Flushing Creek at the Whitestone Expressway westbound overpass;
- 144<sup>th</sup> Street from approximately 100 feet south of 22<sup>nd</sup> Road to 25<sup>th</sup> Avenue;
- 25<sup>th</sup> Avenue from Parsons Blvd to WESRE;
- Union Street from Parsons Boulevard to 26<sup>th</sup> Avenue;
- 25<sup>th</sup> Road from Union Street to WESRE;
- Farrington Street from WESRE to 31<sup>st</sup> Road;
- 31<sup>st</sup> Road from Ulmer Street to Linden Place;
- 31<sup>st</sup> Drive from approximately 320 feet west of Farrington Street to Ulmer Street;
- Ulmer Street from WESRE to 31<sup>st</sup> Drive;
- Higgins Street from WESRE to 32<sup>nd</sup> Avenue.

The Corridor location includes Whitestone Expressway Service Road East and connecting roads. Property use on the Corridor includes mostly commercial properties in the southwest portion of the corridor and single/multiple family residential properties in the northeast portion. The southwest end of the Corridor is off-street adjacent to Flushing Creek.

Based on the results of the field investigation and a review of the analytical results compared with NYSDEC regulatory standards identified in Technical and Administrative Guidance Memorandum 4046 (TAGM 4046), Recommended Soil Cleanup Objectives, RCRA Identification and Listing of Hazardous Waste (40 CFR 261.24) and NYCDEP BWT Limitations for Effluent to Sanitary or Combined Sewer, the following conclusions are presented:

- At SB-7, SB-8, and SB-10, an hydrocarbon odor was present and PID readings of 78 ppm, 93 ppm, and 91 ppm, respectively. There were no olfactory indications (i.e., odors) of contamination in any other borings and all of the remaining PID readings were 0.0 ppm.



Visual evidence of ash was observed in the fill material at nearly all locations. Table 1 summarizes the field investigation observations.

- At SB-7, SB-8, and SB-10, an hydrocarbon odor was present and PID readings of 78 ppm, 93 ppm, and 91 ppm, respectively. There were no olfactory indications (i.e., odors) of contamination in any other borings (SB-1 through SB-6, SB-9, and SB-11 through SB-16) and all of the remaining PID readings were 0.0 ppm. Visual evidence of ash was observed in the fill material at nearly all locations. Table 1 summarizes the field investigation observations.
- VOCs (Table 2) were detected in each of the 16 soil samples collected. There were two exceedances of TAGM 4046 Recommended Soil Cleanup Objective (RSCO) concentrations: naphthalene in SB-8 and acetone in SB-16. The VOCs detected included common laboratory contaminants, as well as petroleum-related compounds and chlorinated solvents that are likely a result of historical spills.
- SVOCs (Table 3) were detected in 14 of the 16 soil samples collected. There were exceedances of RSCO concentrations at 11 of the 16 sample locations: The chemicals for which there were exceedances included the following polycyclic aromatic hydrocarbons (PAHs): benzo(a)anthracene, benzo(a)pyrene, benzo(b)fluoranthene, benzo(k)fluoranthene, chrysene, dibenzo(a,h)anthracene, dibenzo(a,h)anthracene, and naphthalene. The area-wide presence of elevated SVOC levels is attributable to historic fill and various spills such as the Con Edison Spill # 9507318. An exceedance for phenol was observed at boring SB-3 adjacent to an historic manufactured gas plant (MGP) - the likely source for the phenol.
- PCBs were not detected in any of the five soil samples (SB-1, SB-2, SB-5, SB-6, and SB-7) that were analyzed for PCBs.
- Table 4 summarizes the results of the metals detected in the soil samples. Arsenic, barium, cadmium, chromium, copper, iron, nickel, selenium, zinc and mercury were detected above the TAGM 4046 RSCO concentrations in one or more of the soil samples. Every soil sample (SB-1 through SB-16) had at least one metals exceedance. The observed concentrations for arsenic, barium, cadmium, calcium, chromium, cobalt, copper, iron, magnesium, manganese, nickel, selenium, vanadium zinc, and mercury exceeded the range of Eastern USA



background concentrations in one or more samples. Table 4 summarizes the results of the metals detected in the soil samples. The lead concentration at SB-10 exceeded the 20X Toxicity Characteristic Leaching Procedure (TCLP) principal value by over an order of magnitude.

A dark brown, viscous oil sample was collected from SB-7 and analyzed for PCBs and TPH fingerprint. This boring location is approximately 15 feet from a Con Edison manhole located at the intersection of College Point Blvd and 32<sup>nd</sup> Avenue with an open spill number (9507318) that was reported on September 14, 1995. The collected oil sample appeared weathered. No PCBs were detected in the oil or in the soil collected at SB-7. The sample had a characteristic chromatogram associated with (extremely weathered) fuel oil petroleum hydrocarbons, such as #2 Diesel fuel and #5 Home Heating Fuel Oil.

Based on the above results, the soils show characteristics of contaminated historic fill with SVOCs and metals on a Corridor-wide basis. Visual evidence of ash was observed in the fill material at nearly all locations and based on historic filling of the entire area, contaminated fill is inferred to be present in all portions of the corridor. Field evidence (odors, PID readings and oily water) of residual petroleum contamination related to spills (such as Con Edison Spill #9507318) was evident at SB-7, SB-8 and SB-10. There was also evidence of contamination related to the historic MGP located between Farrington Street and Ulmer Street.

- Groundwater samples were analyzed for parameters included in the NYCDEP BWT Limitations for Effluent to Sanitary or Combined Sewers guidance document. Total Suspended Solids (TSS) levels were detected in SB-2/TWP-2, SB-3/TWP-3, SB-12/TWP-5, and SB-15/TWP-6 above the NYCDEP Criteria for effluent to Sanitary/Combined Sewers Daily Limit of 350 mg/l. MTBE was detected above NYCDEP criteria (0.05 mg/l) in SB-15/TWP-6. PCBs and Non-polar material were detected at SB-3/TWP-3 above the NYCDEP Criteria (0.001 mg/l and 50 mg/l, respectively). Lead and zinc were detected in SB-12/TWP-5 above criteria (2 mg/l and 5 mg/l, respectively). There were no exceedances in samples from SB-1/TWP-1, SB-5/TWP-4 and SB-16/TWP-7. High suspended solids concentrations are typical in undeveloped wells and are routinely addressed, along with metals, by using settling tanks or filters during dewatering projects. The organic contaminants may require treatment using carbon. The results of this analysis are summarized in Table 5.



- NYCDEP no longer regulates storm sewer discharge throughout NYC. Therefore, if discharge into storm sewers is required during dewatering, it must be done under the appropriate NYSDEC Industrial State Pollutant Discharge Elimination System (SPDES) permit. Additional sampling and laboratory analysis will be required to satisfy NYSDEC requirements prior to discharge into storm sewers.

Therefore, based on the results of the field investigation and the analytical testing, LiRo recommends:

- Based on the length and historical use of the Corridor, the Contract documents should identify provisions and a contingency for managing, handling, transporting and disposing of potentially non-hazardous petroleum, VOC, SVOC and metals-impacted soil, and potentially hazardous lead-impacted soil along the Whitestone Expressway Sewer Outlet Corridor. The Contractor should be required to submit a Material Handling Plan, to identify the specific protocols and procedures that will be utilized to manage the soil in accordance with applicable regulations. The Material Handling Plan should also include protocols and procedures for managing free product and characteristic hazardous waste which may potentially be encountered.
- Before beginning any excavation activity, the Contractor shall submit a site-specific health and safety plan (HASP) addressing the concerns identified that will meet the requirements set forth by the Occupational Safety and Health Administration (OSHA), the New York State Department of Health (NYSDOH) and any other applicable regulations. The HASP should identify the possible locations and risks associated with the potential contaminants that may be encountered during the excavation activities, and the administrative and engineering controls that will be utilized to mitigate concerns.
- Based on the groundwater analysis, groundwater may contain organics, metals, and high levels of suspended solids. Therefore the water should not be discharged to sanitary or combined sewers without additional characterization and/or treatment prior to discharge. If discharge into storm sewers is required during dewatering, it must be done under the appropriate NYSDEC Industrial State Pollutant Discharge Elimination System (SPDES)





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permit. Additional sampling and laboratory analysis will be required to satisfy NYSDEC requirements prior to discharge into storm sewers.

- If free product is encountered, a vacuum enhanced oil recovery truck should be utilized to collect residual oil for off-site disposal in accordance with NYSDEC transport and disposal regulations.



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## 5.0 STATEMENT OF LIMITATIONS

The data presented and the opinions expressed in this report are qualified as stated in the attachment to this section of the report. This report has been prepared by Geologists Stephen Frank and Mark Chin, and approved by Project Manager, Robert Kreuzer.

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Robert Kreuzer  
Senior Associate

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Stephen Frank  
Senior Geologist



## STATEMENT OF LIMITATIONS

The data presented and the opinions expressed in this report are qualified as follows:

1. The sole purpose of the investigation and of this report is to assess the physical characteristics of the Site with respect to the presence or absence in the environment of oil or hazardous materials and substances as defined in the applicable state and federal environmental laws and regulations and to gather information regarding current and past environmental conditions at the Site.
2. LiRo Engineers, Inc. (LiRo) derived the data in this report primarily from visual inspections, examination of records in the public domain, interviews with individuals with information about the Site, and a limited number of subsurface explorations made on the dates indicated. The passage of time, manifestation of latent conditions or occurrence of future events may require further exploration at the Site, analysis of the data, and reevaluation of the findings, observations, and conclusions expressed in the report.
3. In preparing this report, LiRo has relied upon and presumed accurate certain information (or the absence thereof) about the Site and adjacent properties provided by governmental officials and agencies, the Client, and others identified herein. Except as otherwise stated in the report, (LiRo) has not attempted to verify the accuracy or completeness of any such information.
4. The data reported and the findings, observations, and conclusions expressed in the report are limited by the Scope of Services, including the extent of subsurface exploration and other tests. The Scope of Services was defined by the requests of the Client, the time and budgetary constraints imposed by the Client, and the availability of access to the Site.
5. Because of the limitations stated above, the findings, observations, and conclusions expressed by LiRo in this report are not, and should not be considered, an opinion concerning the compliance of any past or present owner or operator of the site with any federal, state or local law or regulation. No warranty or guarantee, whether express or implied, is made with respect to the data reported or findings, observations, and conclusions expressed in this report. Further, such data, findings, observations, and conclusions are based solely upon site conditions in existence at the time of investigation.
6. This report has been prepared on behalf of and for the exclusive use of the Client, and is subject to and issued in connection with the Agreement and the provisions thereof.

**TABLES**

Table 2. Summary of TCL VOCs Detected in Soil (Page 1 of 2)

| VOC                  | Recommended TAGM #4046* Soil Clean-up Objective | Boring Number and Date Collected |                     |                     |                     |                      |                     |                       |                       |                      |                       |                          |
|----------------------|---|----------------------------------|---------------------|---------------------|---------------------|----------------------|---------------------|-----------------------|-----------------------|----------------------|-----------------------|--------------------------|
|                      |   | 12/10/07 (8-9) SB-1              | 12/10/07 (8-9) SB-2 | 12/10/07 (8-9) SB-3 | 12/12/07 (8-9) SB-4 | 12/15/07 (9-10) SB-5 | 12/15/07 (8-9) SB-6 | 12/17/07 (10-11) SB-7 | 12/10/07 (10-11) SB-8 | 12/10/07 (9-18) SB-9 | 12/10/07 (9-10) SB-10 | 12/12/07 (8.5-9.5) SB-11 |
| 124-Trimethylbenzene | 10,000  | ND                               | ND                  | 2.1                 | 29                  | ND                   | 3100 D              | 4700 D                | 6.9                   | 5.2                  | ND                    | ND                       |
| 135-Trimethylbenzene | 3,300   | ND                               | ND                  | 60                  | 1.8                 | ND                   | 36                  | 1100                  | 1.2                   | 2.2                  | ND                    | ND                       |
| 2,2-Dichloropropane  | NS  | ND                               | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    | ND                   | ND                    | ND                       |
| 2-Butanone           | 300   | ND                               | ND                  | 12                  | 11                  | ND                   | ND                  | 13                    | 2.5                   | 12                   | 37                    | 37                       |
| 2-Chlorotoluene      | NS  | ND                               | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    | ND                   | ND                    | ND                       |
| 4-Isopropyltoluene   | NS  | ND                               | ND                  | 2.4                 | 8.4                 | 130                  | 580 D               | 440                   | ND                    | ND                   | ND                    | ND                       |
| 4-Methyl-2-pentanone | 1000  | ND                               | ND                  | ND                  | ND                  | 250                  | ND                  | ND                    | ND                    | ND                   | ND                    | ND                       |
| Acrolein             | NS  | ND                               | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    | ND                   | ND                    | ND                       |
| Acetone              | 200   | 7.4                              | 5.4                 | 63                  | 34                  | 36                   | 5.3                 | 72                    | 18                    | 56                   | 95                    | 95                       |
| Benzene              | 60  | ND                               | ND                  | 8.5                 | ND                  | ND                   | 2.7                 | ND                    | ND                    | ND                   | ND                    | ND                       |
| Carbon Disulfide     | 2,700   | ND                               | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    | ND                   | ND                    | 28                       |
| Carbon Tetrachloride | 600   | ND                               | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    | ND                   | ND                    | ND                       |
| Chlorobenzene        | 1,700   | ND                               | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    | ND                   | ND                    | ND                       |
| Chloroform           | 300   | ND                               | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    | ND                   | ND                    | ND                       |
| Dibromochloropropane | NS  | ND                               | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    | ND                   | ND                    | ND                       |
| Dibromomethane       | NS  | ND                               | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    | ND                   | ND                    | ND                       |
| Ethyl Benzene        | 5,500   | ND                               | ND                  | 5.2                 | 1.2                 | 120                  | 88                  | 2000 D                | 2.4                   | ND                   | ND                    | ND                       |
| Hexachlorobutadiene  | NS  | ND                               | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    | ND                   | ND                    | ND                       |
| Isopropylbenzene     | 2,300   | ND                               | ND                  | 150                 | 6.8                 | 340                  | 160                 | 500                   | 1.2                   | ND                   | ND                    | ND                       |
| m + p Xylene         | 1,200   | ND                               | ND                  | 1.3                 | 1.9                 | ND                   | ND                  | 460                   | ND                    | ND                   | ND                    | ND                       |
| Methylene Chloride   | 100   | 1.8 B                            | 1.9 B               | 4.4 B               | 2.4 B               | ND                   | 1.7 B               | 7.8 B                 | 1.7 B                 | 3.3 B                | 15 B                  | 15 B                     |
| n-Butylbenzene       | 10,000  | ND                               | ND                  | 11                  | 23                  | 680                  | 1800 D              | 140                   | ND                    | ND                   | 3.7                   | 3.7                      |
| n-Propylbenzene      | 3,700   | ND                               | ND                  | 6.5                 | 12                  | 740 D                | 1200 D              | 300                   | ND                    | ND                   | ND                    | ND                       |
| Naphthalene(V)       | 13,000  | ND                               | ND                  | 16                  | 69                  | 140                  | 3700 D              | 46000 D               | 64                    | 59                   | ND                    | ND                       |
| o Xylene             | 1,200   | ND                               | ND                  | 4.7                 | 3.6                 | ND                   | ND                  | 250                   | ND                    | ND                   | ND                    | ND                       |
| sec-Butylbenzene     | 10,000  | ND                               | ND                  | 5                   | 17                  | 230                  | 1100 D              | 24                    | ND                    | ND                   | ND                    | ND                       |
| Styrene              | NS  | ND                               | ND                  | ND                  | ND                  | ND                   | ND                  | 5.9                   | ND                    | ND                   | ND                    | ND                       |
| t-1,2-Dichloroethene | NS  | ND                               | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    | ND                   | ND                    | ND                       |
| tert-Butylalcohol    | NS  | ND                               | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | 4.5 J                 | ND                   | ND                    | ND                       |
| tert-Butylbenzene    | 10,000  | ND                               | ND                  | ND                  | 1.8                 | ND                   | ND                  | ND                    | ND                    | ND                   | ND                    | ND                       |
| Tetrachloroethene    | 1,400   | ND                               | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    | ND                   | ND                    | ND                       |
| Trichloroethene      | 700   | ND                               | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    | ND                   | ND                    | ND                       |
| Toluene              | 1,500   | ND                               | ND                  | 7.7                 | ND                  | ND                   | ND                  | 6.5                   | ND                    | 4.4                  | ND                    | ND                       |
| Total VOCs           | 10000   | 9.2                              | 7.3                 | 360.3               | 222.9               | 2630                 | 11773.7             | 56019.2               | 102.4                 | 142.1                | 181.4                 | 181.4                    |

Notes:  
 All concentrations are reported in parts per billion (ppb or ug/kg)  
 ND = Compound not detected above method detection limit (see attached lab report for md/s)  
 NS = No Standard  
 SB = Site Background Concentration  
 J = Compound detected below quantitation limit  
 B = Compound detected in the method detection blank  
 \*BOLD = Concentration exceeds NYSDEC Technical and Administrative Guidance Memorandum (TAGM #4046)  
 Recommended Soil Cleanup Objectives (RSCO)

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Table 2. Summary of TCL VOCs Detected in Soil (Page 2 of 2)

| VOC                  | Recommended TAGM #4046* Soil Clean-up Objective | Boring Number and Date Collected |               |               |                 |                 |  |
|----------------------|---|----------------------------------|---------------|---------------|-----------------|-----------------|--|
|                      |   | 12/4/07 (7-8)                    | 12/7/07 (7-9) | 12/5/07 (7-8) | 12/7/07 (14-15) | 12/12/07 (9-10) |  |
|                      |   | SB-12                            | SB-13         | SB-14         | SB-15           | SB-16           |  |
| 1,1 Dichloroethane   | 200   | 1.5                              | ND            | ND            | ND              | ND              |  |
| 124-Trimethylbenzene | 10,000  | ND                               | ND            | ND            | 1.5             | ND              |  |
| 135-Trimethylbenzene | 3,300   | ND                               | ND            | ND            | ND              | ND              |  |
| 2,2-Dichloropropane  | NS  | ND                               | ND            | ND            | ND              | ND              |  |
| 2-Butanone           | 300   | ND                               | ND            | ND            | 2.5             | ND              |  |
| 4-Isopropyltoluene   | NS  | ND                               | ND            | ND            | ND              | ND              |  |
| 4-Methyl-2-pentanone | 1000  | ND                               | ND            | ND            | ND              | 350             |  |
| Acetone              | 200   | 12                               | ND            | ND            | ND              | ND              |  |
| Benzene              | 60  | ND                               | ND            | ND            | ND              | ND              |  |
| Bromobenzene         | NS  | ND                               | ND            | ND            | ND              | ND              |  |
| Bromodichloromethane | NS  | ND                               | ND            | ND            | ND              | ND              |  |
| Bromoform            | NS  | ND                               | ND            | ND            | ND              | ND              |  |
| Carbon Disulfide     | 2,700   | ND                               | ND            | ND            | ND              | 3.3             |  |
| Carbon Tetrachloride | 600   | ND                               | ND            | ND            | ND              | ND              |  |
| Chlorobenzene        | 1,700   | ND                               | ND            | ND            | ND              | ND              |  |
| Chloroform           | 300   | ND                               | ND            | ND            | ND              | ND              |  |
| Ethyl Benzene        | 5,500   | ND                               | ND            | ND            | ND              | ND              |  |
| Isopropylbenzene     | 2,300   | ND                               | 7.1           | ND            | ND              | ND              |  |
| m + p Xylene         | 1,200   | ND                               | ND            | ND            | ND              | ND              |  |
| Methylene Chloride   | 100   | 2.0 B                            | 5.2 B         | 1.9 B         | 2.2 B           | 5.5 B           |  |
| n-Butylbenzene       | 10,000  | ND                               | ND            | ND            | ND              | ND              |  |
| n-Propylbenzene      | 3,700   | ND                               | ND            | ND            | ND              | ND              |  |
| Naphthalene(V)       | 13,000  | ND                               | 24            | ND            | 4.5             | 4.7 J           |  |
| o Xylene             | 1,200   | ND                               | ND            | ND            | ND              | ND              |  |
| sec-Butylbenzene     | 10,000  | ND                               | 42            | ND            | ND              | ND              |  |
| Styrene              | NS  | ND                               | ND            | ND            | ND              | ND              |  |
| tert-Butylbenzene    | 10,000  | ND                               | ND            | ND            | ND              | ND              |  |
| Tetrachloroethene    | 1,400   | ND                               | 3.7           | ND            | ND              | ND              |  |
| Trichloroethane      | 700   | ND                               | ND            | ND            | ND              | ND              |  |
| Toluene              | 1,500   | ND                               | ND            | ND            | ND              | ND              |  |
| Total VOCs           | 10000   | 14                               | 83.5          | 5.2           | 13.7            | 363.2           |  |

Notes:  
 All concentrations are reported in parts per billion (ppb or ug/kg)  
 ND = Compound not detected above method detection limit (see attached lab report for mdl's)  
 NS = No Standard  
 SB = Site Background Concentration  
 J = Compound detected below quantitation limit  
 B = Compound detected in the method detection limit  
 \*BOLD = Concentration exceeds NYSDEC Technical and Administrative Guidance Memorandum (TAGM #4046)  
 Recommended Soil Cleanup Objectives (RSCO)

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Table 1. Environmental Boring Data (pg 1 of 2)

| Boring No. | Location  | High PID* | Grab Sample Interval** | Total VOCs* | Total SVOCs* | Metals Exceed** | Water Table+ | E.O.B. Depth + | Other Comments    |
|------------|---|-----------|------------------------|-------------|--------------|-----------------|--------------|----------------|-------------------|
| SB-1       | NE Corner of Higgins St. and 32nd Ave.            | 0         | 8-9                    | 9.2         | ND           | Yes             | 9            | 17             |                   |
| SB-2       | NE Corner of Farrington St. and 31st Road         | 0         | 8-9                    | 7.3         | 170          | Yes             | 10           | 17             |                   |
| SB-3       | 31st Drive  | 0         | 8-9                    | 360.3       | 10,578       | Yes             | 9            | 17             |                   |
| SB-4       | 31st Road   | 0         | 8-9                    | 229.9       | 36,910       | Yes             | 9            | 17             |                   |
| SB-5       | SE Corner College Point Blvd. and Whitestone SR E | 0         | 9-10                   | 2630        | ND           | Yes             | 9            | 17             |                   |
| SB-6       | SE Corner of 32-02 College Point Blvd.            | 0         | 8-9                    | 40.1        | 583          | Yes             | 9            | 17             |                   |
| SB-7       | NE Corner of 32-02 College Point Blvd.            | 78        | 10-11                  | 11,773.7    | 34,950       | Yes             | 9.5          | 12             | Refusal at 12 ft. |
| SB-8       | S on Ulmer St. at 31-25 Downing St.               | 93        | 10-11                  | 56,019.2    | 54,870       | Yes             | 9.5          | 17             |                   |
| SB-9       | N on Ulmer St. at 31-25 Downing St.               | 0         | 8-18                   | 102.4       | 15,740       | Yes             | ND           | 9              | Refusal at 9 Ft.  |

Notes:

1. Grab samples were subjected to VOC analysis. The whole column composite samples were subjected to SVOC and TAL Metals analyses.

NA - Not Applicable

ND - Not Detected

TWP - Temporary Well Point

\* In parts per million (ppm)

+ in feet below ground surface

\*\* Metal(s) exceeds NYSDEC TAGM 4046

E.O.B. = End of Boring

LIRO Engineers, Inc.

DDC Project Number SE-809

Final - January 2008

Work Order Letter No. 5197-LIRO-1R-5023

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Table 1. Environmental Boring Data (pg 2 of 2)

| Boring No. | Location   | High PID* | Grab Sample | Total VOCs* | Total SVOCs* | Metals Exceed++ | Water Table+ | E.O.B. Depth + | Other Comments           |
|------------|--|-----------|-------------|-------------|--------------|-----------------|--------------|----------------|--------------------------|
| SB-10      | E on Higgins St. at 133-15 32nd Ave.               | 91        | 9-10        | 142.1       | 47,536       | Yes             | 9.5          | 17             | High Lead in Soil        |
| SB-11      | NE on Higgins St. at 133-15 32nd Ave.              | 0         | 8.5-9.5     | 181.4       | 2,044        | Yes             | 9.5          | 17             |                          |
| SB-12      | under Whitestone Expressway W on College Pt. Blvd. | 0         | 7-8         | 14          | 5,854        | Yes             | 9.5          | 17             | Lead in H <sub>2</sub> O |
| SB-13      | under Whitestone Expressway W on College Pt. Blvd. | 0         | 7-9         | 242         | 6,110        | Yes             | ND           | 8              | Refusal at 8 Ft.         |
| SB-14      | SE Corner Whitestone SR E and 32nd Ave.            | 0         | 7-8         | 47.2        | 219          | Yes             | 8            | 12             | Refusal at 12 ft.        |
| SB15       | Whitestone SR E                                    | 0         | 14-15       | 32.7        | 6,104        | Yes             | 9            | 17             |                          |
| SB-16      | NW Corner Whitestone SR E and Downing St.          | 0         | 9-10        | 438.2       | 470          | Yes             | 10           | 17             |                          |

Notes:

- 1. Grab samples were subjected to VOC analysis. The whole column composite samples were subjected to SVOC and TAL Metals analyses.
- NA - Not Applicable
- ND - Not Detected
- TWP - Temporary Well Point
- \* In parts per million (ppb)
- + In feet below ground surface
- ++ Metal(s) exceeds NYSDEC TAGM 4046
- E.O.B. = End of Boring

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Table 3. Summary of TCL SVOCs Detected in Soil (Page 1 of 2)

| SVOC                       | Recommended TAGM #404g* Soil Clean-up Objective | Boring Number and Date Collected |                      |                      |                      |                     |                     |                     |                      |                     |                       |                       |  |
|----------------------------|---|----------------------------------|----------------------|----------------------|----------------------|---------------------|---------------------|---------------------|----------------------|---------------------|-----------------------|-----------------------|--|
|                            |   | 12/10/07 (0-17) SB-1             | 12/17/07 (0-17) SB-2 | 12/10/07 (0-17) SB-3 | 12/12/07 (0-17) SB-4 | 12/5/07 (0-18) SB-5 | 12/5/07 (0-16) SB-6 | 12/7/07 (0-12) SB-7 | 12/10/07 (0-13) SB-8 | 12/10/07 (0-9) SB-9 | 12/10/07 (0-17) SB-10 | 12/12/07 (0-17) SB-11 |  |
| 2-Methylnaphthalene        | 36,400  | ND                               | ND                   | ND                   | 230 D                | ND                  | ND                  | 21000 D             | 10000 D              | 2000 D              | 460 D                 | 87                    |  |
| Acenaphthene               | 50,000  | ND                               | ND                   | 160                  | 1000 D               | ND                  | 84                  | 1700 D              | 1500 D               | 800 D               | 1800 D                | ND                    |  |
| Acenaphthylene             | 41,000  | ND                               | ND                   | 300                  | ND                   | ND                  | ND                  | ND                  | 1100 D               | ND                  | 76 JD                 | ND                    |  |
| Anthracene                 | 50,000  | ND                               | ND                   | 470                  | 1200 D               | ND                  | 84                  | ND                  | 2400 D               | 550 D               | 2400 D                | 24 J                  |  |
| Benzo(a)anthracene         | 224   | ND                               | ND                   | 920                  | 3000 D               | ND                  | 16 J                | 210 D               | 1500 D               | 460 D               | 3100 D                | 120                   |  |
| Benzo(a)pyrene             | 61  | ND                               | ND                   | 700                  | 2500 D               | ND                  | ND                  | 120 JD              | 770 D                | 360 D               | 1800 D                | 140                   |  |
| Benzo(b)fluoranthene       | 1,100   | ND                               | ND                   | 420                  | 1800 D               | ND                  | ND                  | 130 JD              | 400 D                | 250 D               | 920 D                 | 120                   |  |
| Benzo(g,h)perylene         | 50,000  | ND                               | ND                   | 390                  | 1500 D               | ND                  | ND                  | ND                  | 450 D                | 210 D               | 820 D                 | 100                   |  |
| Benzo(k)fluoranthene       | 1,100   | ND                               | ND                   | 450                  | 1700 D               | ND                  | ND                  | ND                  | 470 D                | 190 D               | 1100 D                | 83                    |  |
| Benzoic Acid               | NS  | ND                               | ND                   | ND                   | ND                   | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    |  |
| Bis(2-ethylhexyl)phthalate | 50,000  | ND                               | 150 JB               | ND                   | ND                   | ND                  | 28 J                | ND                  | ND                   | 830 JBD             | ND                    | 290 JB                |  |
| Butylbenzylphthalate       | 50,000  | ND                               | ND                   | ND                   | ND                   | ND                  | ND                  | ND                  | ND                   | 720 JD              | ND                    | ND                    |  |
| Carbazole                  | NS  | ND                               | ND                   | ND                   | 520 JD               | ND                  | ND                  | 260 D               | 110 JD               | ND                  | 180 JD                | ND                    |  |
| Chrysene                   | 400   | ND                               | ND                   | 970                  | 3100 D               | ND                  | 31                  | ND                  | 1600 D               | 530 D               | 3600 D                | 140                   |  |
| Di-n-Butyl Phthalate       | 8,100   | ND                               | ND                   | ND                   | ND                   | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | 150 J                 |  |
| Di-n-octyl Phthalate       | 50,000  | ND                               | ND                   | ND                   | ND                   | ND                  | ND                  | ND                  | ND                   | 920 JD              | ND                    | ND                    |  |
| Dibenz(a,h)anthracene      | 14  | ND                               | ND                   | 280                  | 2000 D               | ND                  | ND                  | ND                  | 590 D                | 930 D               | 1200 D                | ND                    |  |
| Dibenzofuran               | 6,200   | ND                               | ND                   | ND                   | ND                   | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    |  |
| Diethylphthalate           | 7,100   | ND                               | ND                   | ND                   | ND                   | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    |  |
| Fluoranthene               | 50,000  | ND                               | ND                   | ND                   | ND                   | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    |  |
| Fluorene                   | 50,000  | ND                               | ND                   | 170                  | 690 D                | ND                  | 180                 | 2600 D              | 2700 D               | 410 D               | 1300 D                | ND                    |  |
| Indeno(1,2,3-cd)pyrene     | 3,200   | ND                               | ND                   | 490                  | 2800 D               | ND                  | ND                  | ND                  | 800 D                | 1000 D              | 1600 D                | 350                   |  |
| Isophorone                 | 4,400   | ND                               | ND                   | ND                   | ND                   | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    |  |
| N-Nitrosodipropylamine     | NS  | ND                               | ND                   | ND                   | ND                   | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    |  |
| N-Nitrosodiphenylamine     | NS  | ND                               | ND                   | ND                   | ND                   | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    |  |
| Naphthalene(sv)            | 13,000  | ND                               | ND                   | ND                   | ND                   | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    |  |
| Phenanthrene               | 50,000  | ND                               | ND                   | 1200                 | 4300 D               | ND                  | ND                  | 6000 D              | 8700 D               | 1700 D              | 14000 D               | 100                   |  |
| Phenol                     | 30  | ND                               | ND                   | 76                   | ND                   | ND                  | ND                  | 1200 D              | 4100 D               | 1000 D              | 8900 D                | 180                   |  |
| Pyrene                     | 50,000  | ND                               | ND                   | 1600                 | 5300 D               | ND                  | 160                 | ND                  | ND                   | ND                  | ND                    | ND                    |  |
| 3+4-Methylphenol           | NS  | ND                               | ND                   | ND                   | ND                   | ND                  | ND                  | ND                  | ND                   | ND                  | ND                    | ND                    |  |
| Total SVOCs                | 500,000   | ND                               | 170                  | 8,606                | 36,910               | ND                  | 583                 | 34,950              | 54,870               | 15,740              | 47,536                | 1,884                 |  |

Notes:  
Composite soil samples for SVOC analysis were prepared by mixing the soil from the entire column  
All concentrations are reported in parts per billion (ppb or ug/kg)  
ND = Compound not detected above method detection limit (see attached lab report for mdf's)  
NA = Not Applicable  
D = Dilution

SB = Site Background Concentration  
J = Compound detected below quantitation limit  
B = Analyte detected in the method detection blank  
\*BOLD = Concentration exceeds NYSEDEC Technical and Administrative Guidance Memorandum (TAGM #404g)  
Recommended Soil Cleanup Objectives (RSCO)

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Table 3. Summary of TCL SVOCs Detected in Soil (Page 2 of 2)

| SVOC                       | Recommended<br>TAGM #4046* Soil<br>Clean-up Objective | Boring Number and Date Collected |                 |                 |                 |                 |  |
|----------------------------|---|----------------------------------|-----------------|-----------------|-----------------|-----------------|--|
|                            |   | 12/4/07                          | 12/7/07         | 12/4/07         | 12/7/07         | 12/12/07        |  |
|                            |   | (0-12)<br>SB-12                  | (0-12)<br>SB-13 | (0-12)<br>SB-14 | (0-17)<br>SB-15 | (0-17)<br>SB-16 |  |
| 2-Methylnaphthalene        | 36,400  | 110                              | 1500 D          | ND              | 51              | ND              |  |
| Acenaphthene               | 50,000  | 52                               | 320 D           | ND              | 120             | ND              |  |
| Acenaphthylene             | 41,000  | 97                               | ND              | ND              | 180             | ND              |  |
| Anthracene                 | 50,000  | 190                              | ND              | ND              | 690             | ND              |  |
| Benzo(a)anthracene         | 224   | 470                              | ND              | 23              | 450             | ND              |  |
| Benzo(a)pyrene             | 61  | 420                              | 130 JD          | 30              | 460             | ND              |  |
| Benzo(b)fluoranthene       | 1,100   | 320                              | ND              | 23              | 310             | ND              |  |
| Benzo(ghi)perylene         | 50,000  | 400                              | 160 JD          | 37              | 480             | ND              |  |
| Benzo(k)fluoranthene       | 1,100   | 320                              | ND              | 10 J            | 250             | ND              |  |
| Benzoic Acid               | NS  | ND                               | ND              | ND              | ND              | ND              |  |
| Bis(2-ethylhexyl)phthalate | 50,000  | 54 J                             | ND              | ND              | 35 J            | ND              |  |
| Butylbenzylphthalate       | 50,000  | ND                               | ND              | ND              | ND              | ND              |  |
| Carbazole                  | NS  | 28 J                             | ND              | ND              | ND              | ND              |  |
| Chrysene                   | 400   | 510                              | 180 JD          | 24              | 570             | ND              |  |
| Di-n-Butyl Phthalate       | 8,100   | ND                               | ND              | ND              | 190 J           | ND              |  |
| Di-n-octyl Phthalate       | 50,000  | ND                               | ND              | ND              | ND              | ND              |  |
| Dibenz(a,h)anthracene      | 14  | ND                               | ND              | ND              | 120             | ND              |  |
| Dibenzofuran               | 8,200   | ND                               | ND              | ND              | ND              | ND              |  |
| Dibenzophthalate           | 7,100   | ND                               | ND              | ND              | ND              | ND              |  |
| Fluoranthene               | 50,000  | ND                               | 210 D           | 17 J            | 650             | 150 JD          |  |
| Fluorene                   | 50,000  | 82                               | 560 D           | ND              | 46              | ND              |  |
| Indeno(1,2,3-cd)pyrene     | 3,200   | 360                              | ND              | 28              | 360             | ND              |  |
| Isophorone                 | 4,400   | ND                               | ND              | ND              | ND              | ND              |  |
| N-Nitrosodi-n-propylamine  | NS  | ND                               | ND              | ND              | ND              | ND              |  |
| N-Nitrosodiphenylamine     | NS  | ND                               | ND              | ND              | ND              | ND              |  |
| Naphthalene(sv)            | 13,000  | ND                               | 1400 D          | ND              | 68              | ND              |  |
| Phenanthrene               | 50,000  | 570                              | 1300 D          | 10 J            | 230             | 190 JD          |  |
| Phenol                     | 30  | ND                               | ND              | ND              | ND              | ND              |  |
| Pyrene                     | 50,000  | 730                              | 340 D           | 17 J            | 810             | 130 JD          |  |
| 3+4-Methylphenol           | NS  | ND                               | ND              | ND              | 54 J            | ND              |  |
| Total SVOCs                | 500,000   | 4,754                            | 6,110           | 219             | 6,104           | 470             |  |

## Notes:

Composite soil samples for SVOC analysis were prepared by mixing the soil from the entire column

All concentrations are reported in parts per billion (ppb or ug/kg)

ND = Compound not detected above method detection limit (see attached lab report for md/s)

NA = Not Applicable

D = Dilution

SB = Site Background Concentration

J = Compound detected below quantitation limit

B = Analyte detected in the method detection blank

\*BOLD = Concentration exceeds NYSDC Technical and Administrative Guidance Memorandum (TAGM #4046)

Recommended Soil Cleanup Objectives (RSO)

LIRO Engineers, Inc.

DDC Project Number: SE-809

Work Order Letter No. 5197-LIRO-1R-5023

Final - January 2008

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LIRo Engineers, Inc.

New York City Department of Design and Construction  
Whitestone Expressway Sewer Outlet LSCI, Queens, New York

Table 4. Summary of TAL Metals Detected in Soil (Page 2 of 2)

| Target Analyte List Metals | Recommended TAGM #4046* Soil Clean-up Objective | Eastern USA Soil Background | 20X RCRA TCLP Extraction Limit | Boring Number and Date Collected |       |         |        |         |        |          |        |  |  |
|----------------------------|---|-----------------------------|--------------------------------|----------------------------------|-------|---------|--------|---------|--------|----------|--------|--|--|
|                            |   |                             |                                | 12/5/07                          |       | 12/7/07 |        | 12/4/07 |        | 12/12/07 |        |  |  |
|                            |   |                             |                                | (0-12)                           | (0-8) | (0-8)   | (0-12) | (0-12)  | (0-17) | (0-17)   | (0-17) |  |  |
| Aluminum                   | SB  | 33000                       | NS                             | 5900                             | 3800  | 9400    | 7400   | 6000    | 6000   |          |        |  |  |
| Antimony                   | SB  | NS                          | NS                             | <2.2                             | <2.2  | <2.3    | <2.2   | 4.1     |        |          |        |  |  |
| Arsenic                    | 7.5 or SB                                       | 3 - 12                      | 100                            | 4.4                              | 4     | 5.6     | 3.7    | 4.2     |        |          |        |  |  |
| Barium                     | 300 or SB                                       | 15 - 600                    | 2000                           | 42                               | 38    | 38      | 56     | 120     |        |          |        |  |  |
| Beryllium                  | 0.16  | 0-1.75                      | NS                             | <0.44                            | <0.44 | <0.47   | <0.45  | <0.48   |        |          |        |  |  |
| Cadmium                    | 1   | 0.1-1                       | 20                             | <0.44                            | <0.44 | <0.47   | 0.73   | <0.48   |        |          |        |  |  |
| Calcium                    | SB  | 130 - 35,000                | NS                             | 6700                             | 29000 | 3900    | 5000   | 1800    |        |          |        |  |  |
| Chromium                   | 10 or SB  | 1.5 - 40                    | 100                            | 12                               | 22    | 18      | 14     | 19      |        |          |        |  |  |
| Cobalt                     | 30 or SB  | 2.5 - 60                    | NS                             | 5.6                              | 6.3   | 5.1     | 5.1    | 8.7     |        |          |        |  |  |
| Copper                     | 25 or SB  | 1 - 50                      | NS                             | 35                               | 26    | 20      | 28     | 50      |        |          |        |  |  |
| Iron                       | 2,000 or SB                                     | 2,000 - 550,000             | NS                             | 14000                            | 15000 | 20000   | 14000  | 20000   |        |          |        |  |  |
| Lead                       | SB  | 500**                       | 100                            | 120                              | 61    | 38      | 98     | 120     |        |          |        |  |  |
| Magnesium                  | SB  | 100 - 5,000                 | NS                             | 2500                             | 5000  | 3200    | 2500   | 2300    |        |          |        |  |  |
| Manganese                  | SB  | 50 - 5,000                  | NS                             | 240                              | 140   | 200     | 140    | 180     |        |          |        |  |  |
| Nickel                     | 13 or SB  | 5 - 25                      | NS                             | 10                               | 14    | 11      | 11     | 17      |        |          |        |  |  |
| Potassium                  | SB  | 8,500 - 45,000              | ND                             | 750                              | 480   | 670     | 1100   | 950     |        |          |        |  |  |
| Selenium                   | 2   | 0.1-3.9                     | ND                             | <2.2                             | <2.2  | <2.3    | <2.2   | <2.3    |        |          |        |  |  |
| Silver                     | SB  | NS                          | ND                             | <1.1                             | 2.5   | <1.2    | 3.7    | <1.2    |        |          |        |  |  |
| Sodium                     | SB  | 6000-8000                   | ND                             | 600                              | 390   | 410     | 600    | 320     |        |          |        |  |  |
| Thallium                   | SB  | NS                          | ND                             | <1.1                             | <1.0  | <1.2    | <1.2   | <1.2    |        |          |        |  |  |
| Vanadium                   | 150 or SB                                       | 1 - 300                     | NS                             | 20                               | 14    | 23      | 18     | 19      |        |          |        |  |  |
| Zinc                       | 20 or SB  | 9 - 50                      | NS                             | 210                              | 87    | 25      | 220    | 110     |        |          |        |  |  |
| Mercury                    | 0.1   | 0.001 - 0.2                 | 4                              | 0.077                            | 0.12  | 0.092   | 0.15   | 0.066   |        |          |        |  |  |

Notes:

Composite soil samples for TAL Metals analysis were prepared

All concentrations are reported in parts per million (ppm or mg/kg)

NA = Not analyzed

ND = Compound not detected above method detection limit (see attached lab report for mdls)

NS = No Standard

\*BOLD = Concentration exceeds NYSDEC Technical and Administrative Guidance Memorandum (TAGM #4046)

SB = Site Background Concentration

\*BOLD = Concentration exceeds RSCCO

Recommended Soil Cleanup Objectives (RSCCO)

UNDERLINE = Detected concentration exceeds Eastern USA Soil Background

\*\*Background levels for lead vary widely. Average levels in undeveloped, rural areas may range from 4-61 ppm. Average background levels in metropolitan or suburban areas or near highways are much higher and typically range from 200 - 500 ppm.

LIRo Engineers, Inc.

DDC Project Number: SER-809, Task 1

Work Order Letter No. 5197-LJRO-1R-5023

Final - January 2008

A4-76



Table 5. Summary of NYCDEP Parameters Analyzed in Groundwater Samples

| Parameters <sup>1</sup>           | NYCDEP Limitations for Effluent to Sanitary or Combined Sewers |                        | Boring Number and Date Collected |                       |                         |                        |                        |  |  |
|-----------------------------------|--|------------------------|----------------------------------|-----------------------|-------------------------|------------------------|------------------------|--|--|
|                                   | SB1/TWP1<br>12/10/2007   | SB2/TWP2<br>12/10/2007 | SB3/TWP3<br>12/10/2007           | SB5/TWP4<br>12/7/2007 | SB12/TWP5<br>12/10/2007 | SB15/TWP6<br>12/7/2007 | SB16/TWP7<br>12/7/2007 |  |  |
| Non-Polar Material <sup>2</sup>   | 7.4  | <2.2                   | 92                               | <2.5                  | 27.8                    | <2.5                   | <2.1                   |  |  |
| pH                                | 8.2  | 7.03                   | 7.22                             | 7.19                  | 7.43                    | 7.34                   | 7.57                   |  |  |
| Flash Point - Liquid/Solid        | >180   | >180                   | >180                             | >180                  | >180                    | >180                   | >180                   |  |  |
| Cadmium (Instantaneous/Composite) | <0.0040  | <0.0040                | 0.0043                           | <0.0040               | 0.023                   | <0.0040                | <0.0040                |  |  |
| Chromium (VI)                     | <0.10  | <0.10                  | <0.10                            | <0.10                 | <0.10                   | <0.10                  | <0.10                  |  |  |
| Copper                            | <0.020   | 0.13                   | 0.51                             | <0.020                | 0.42                    | <0.020                 | <0.020                 |  |  |
| Lead                              | 0.019  | 0.14                   | 1.4                              | <0.010                | 4.1                     | <0.010                 | 0.018                  |  |  |
| Mercury                           | <0.00020   | <0.00020               | 0.0015                           | <0.00020              | 0.0033                  | <0.00020               | <0.00020               |  |  |
| Nickel                            | <0.020   | 0.17                   | 0.12                             | <0.020                | 0.16                    | <0.020                 | <0.020                 |  |  |
| Zinc                              | 0.072  | 0.57                   | 1.7                              | <0.020                | 20                      | <0.020                 | 0.12                   |  |  |
| Benzene                           | 0.0012   | ND                     | 0.0098                           | ND                    | ND                      | ND                     | ND                     |  |  |
| Ethylbenzene                      | ND   | ND                     | 0.0046                           | ND                    | ND                      | ND                     | ND                     |  |  |
| MTBE (Methyl-Tert-Butyl-Ether)    | ND   | ND                     | ND                               | ND                    | ND                      | 0.07                   | ND                     |  |  |
| Naphthalene                       | ND   | 0.0057                 | ND                               | ND                    | ND                      | ND                     | ND                     |  |  |
| Tetrachloroethene                 | ND   | ND                     | ND                               | ND                    | ND                      | ND                     | ND                     |  |  |
| Toluene                           | ND   | ND                     | 0.0068                           | ND                    | ND                      | ND                     | ND                     |  |  |
| o-Xylene                          | ND   | ND                     | 0.0026                           | ND                    | ND                      | ND                     | ND                     |  |  |
| m & p Xylenes                     | ND   | ND                     | ND                               | ND                    | ND                      | ND                     | ND                     |  |  |
| PCBs (Total) <sup>3</sup>         | NA   | 0.00009                | 0.0011                           | ND                    | ND                      | ND                     | ND                     |  |  |
| Total Suspended Solids            | 260  | >20000                 | 840                              | 56                    | >20000                  | 4900                   | 90                     |  |  |
| CBOD <sup>6</sup>                 | NA   | 5.8                    | 26                               | <2.0                  | 18                      | <2.0                   | 3.6                    |  |  |
| Chloride <sup>5</sup>             | 750  | 63                     | 360                              | 2500                  | 670                     | 1900                   | 3900                   |  |  |
| Total Nitrogen <sup>5</sup>       | 19   | 2.5                    | 4.1                              | 1.6                   | 5.4                     | 32                     | 1.6                    |  |  |

## Notes:

All concentrations are reported in milligrams per liter (mg/L)

ND = Compound not detected above method detection limit (see attached lab report for md's)

NA = Not Analyzed

J = Compound detected below quantitation limit

BOLD = Concentration exceeds NYCDEP Limitations for Effluent to Sanata

- no limitation value provided in the NYCDEP Limitation for Effluent to Sanitary or Combined Sewer (daily limit)

\* Refers to NYCDEP Daily Limit for Total Xylenes (o, m&amp;p isomers)

1 For discharge &gt;= 10,000 gpd, the TSS limit is 350 mg/l. For discharge &lt; 10,000 gpd, the limit is determined

on a case by case basis

1 All handling and preservation of collected samples and laboratory analyses of samples was performed in accordance

with 40 CFR Part 136.

2 Analysis for non-polar materials was performed by EPA method 1664.

3 Analysis for PCBs was performed according to EPA method 608 with method detection limit = &lt;65 parts per trillion

Analysis for PCBs is required if discharge &gt;= 10,000 gallons per day (gpd) and duration of discharge &gt; 10 days.

4 For discharge &gt;= 10,000 gpd, the TSS limit is 350 mg/l. For discharge &lt; 10,000 gpd, the limit is determined

on a case by case basis

5 Analysis for Carbonaceous Biochemical Oxygen Demand (CBOD), Chloride, Total Solids, and Total Nitrogen are required

if proposed discharge &gt;= 10,000 gpd

LIRO Engineers, Inc.

DDC Project Number: SE-809

Final - January 2008

Work Order Letter No. 5197-LIRO-1R-5023

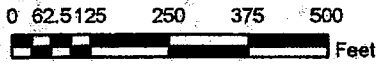
A4-77

FIGURES

A4-78



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**DDC** NEW YORK CITY DEPARTMENT OF DESIGN + CONSTRUCTION

**LiRo Engineers, Inc.**  
15-09 132nd Street, College Point, New York

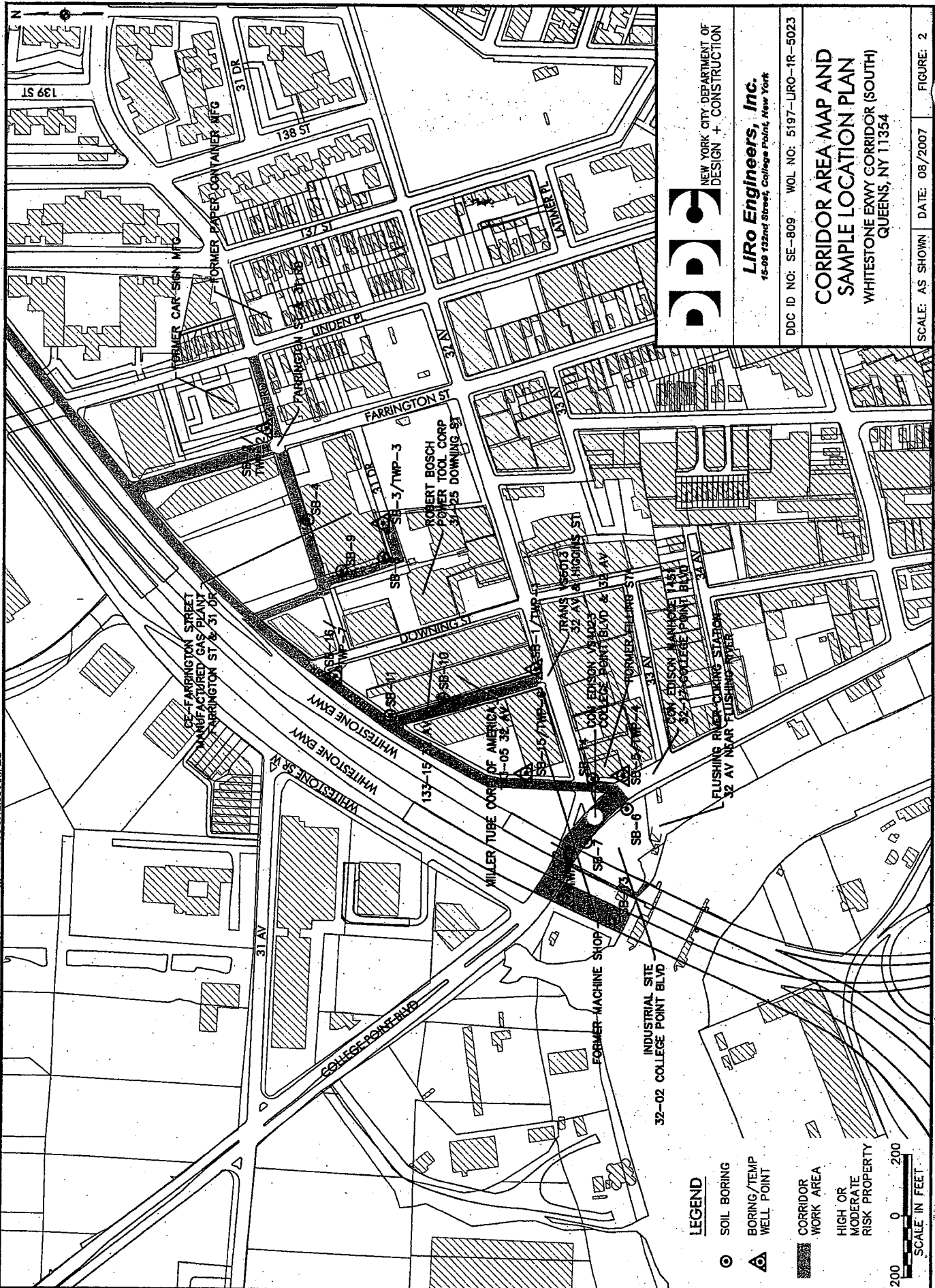
DDC ID NO: SE-809 WOL NO: 5197-LIRO-1R-5023

**SITE LOCATION MAP**  
WHITESTONE EXWY CORRIDOR  
QUEENS, NY 11354

SCALE: AS SHOWN DATE: 08/2007 FIGURE: 1

A4-79

J:\0716205 DDC\Whitestone\_queens\CAD\Corridor Area Map And Sample Loc.dwg, 1/10/2008 8:12:03 AM, ES



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DESIGN + CONSTRUCTION

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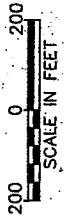
DDC ID NO: SE-809 WOL NO: 5197-JRO-1R-5023

**CORRIDOR AREA MAP AND  
SAMPLE LOCATION PLAN**  
WHITESTONE EWAY CORRIDOR (SOUTH)  
QUEENS, NY 11354

SCALE: AS SHOWN DATE: 08/2007 FIGURE: 2

**LEGEND**

- ⊙ SOIL BORING
- ⊕ BORING/TEMP WELL POINT
- ▬ CORRIDOR WORK AREA
- ▨ HIGH OR MODERATE RISK PROPERTY



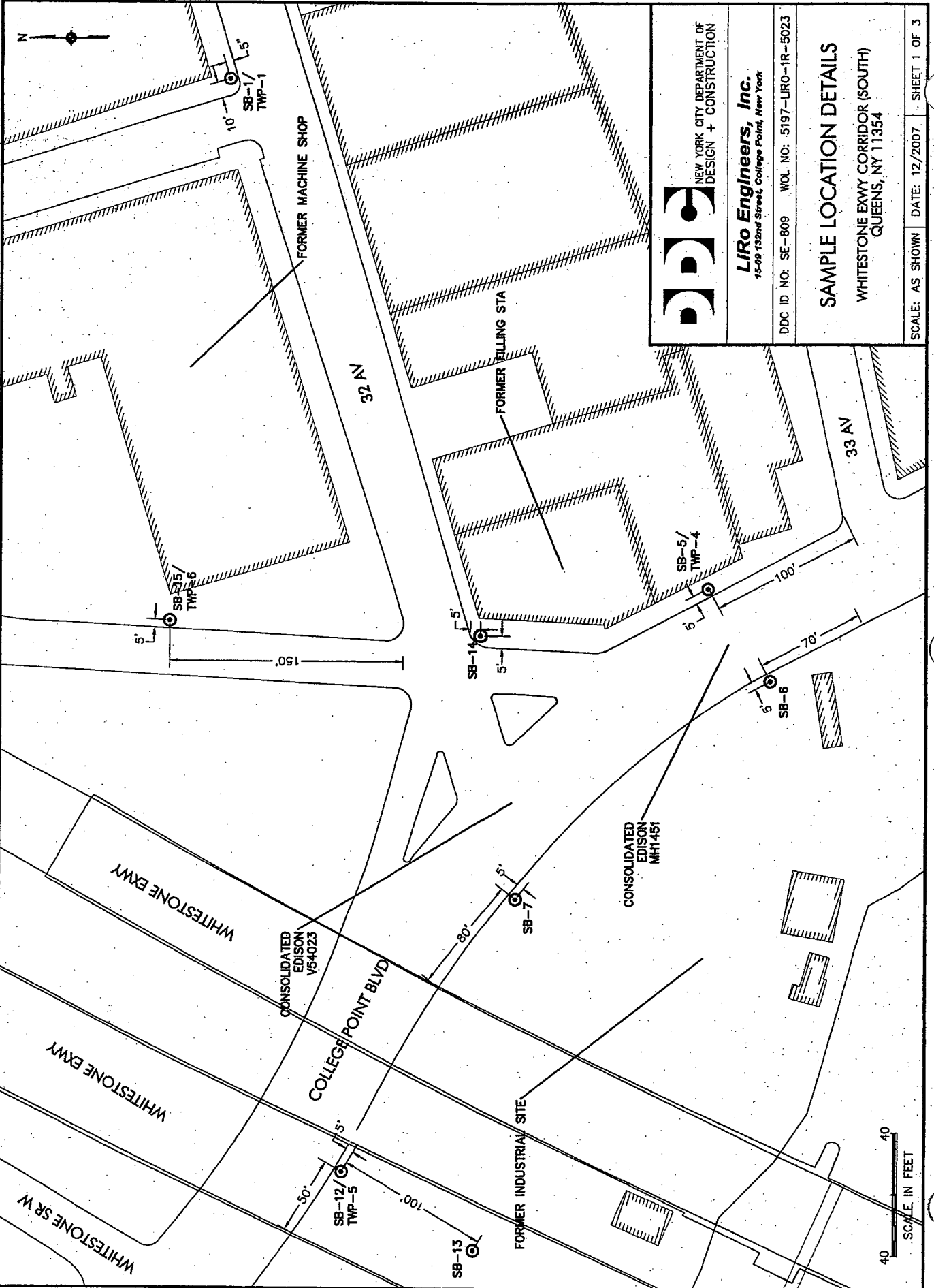
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APPENDIX A

BORING LOCATION DETAILS

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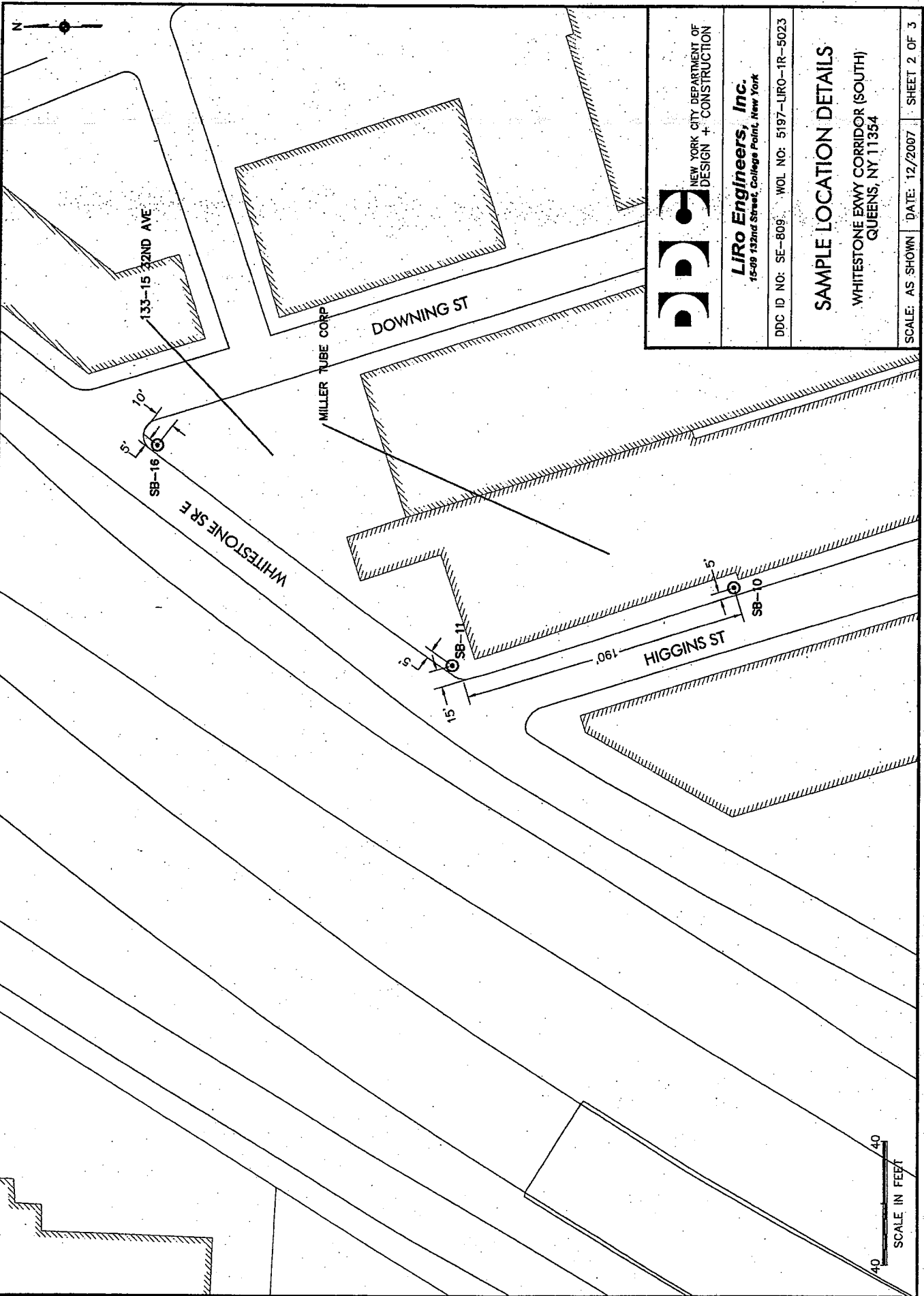
DDC ID NO: SE-809 WOL. NO: 5197-JIRO-1R-5023

**SAMPLE LOCATION DETAILS**  
WHITESTONE EXWY CORRIDOR (SOUTH)  
QUEENS, NY 11354

SCALE: AS SHOWN DATE: 12/2007 SHEET 1 OF 3

A4-81

J:\0716205\pjc\whitestone\_queens\CAD\WHITESTONE SAMPLE LOC DETAILS.dwg, 1/10/2008 10:14:20 AM, ES



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**Liro Engineers, Inc.**  
15-09 132nd Street, College Point, New York

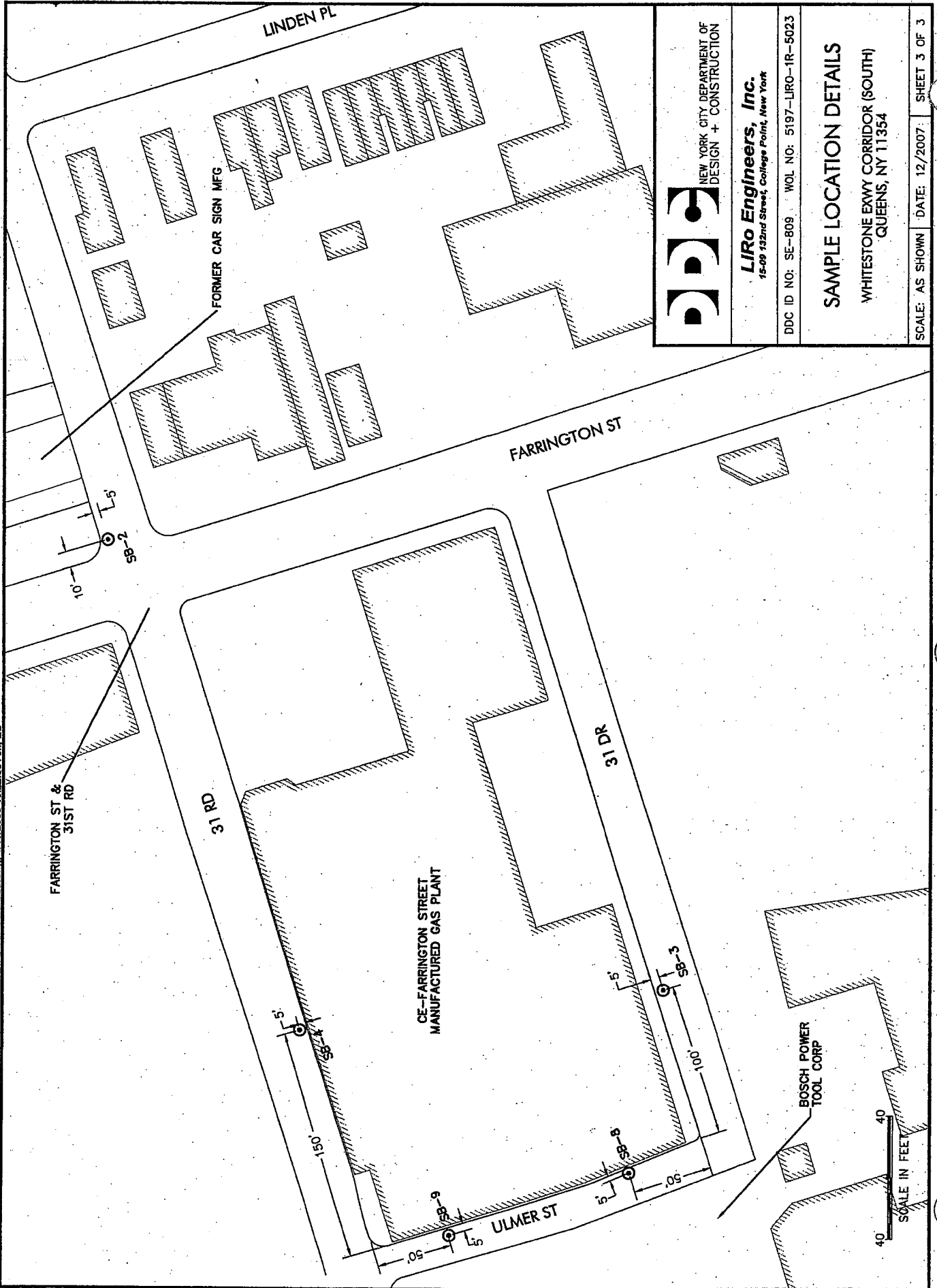
DDC ID NO: SE-809; WOL NO: 5197-LIRO-1R-5023

**SAMPLE LOCATION DETAILS**  
WHITESTONE EXWY CORRIDOR (SOUTH)  
QUEENS, NY 11354

SCALE: AS SHOWN DATE: 12/2007 SHEET 2 OF 3

A4-82

J:\0718205 DDC\Whitestone, queens\CAD\WHITESTONE SAMPLE LOC DETAILS.dwg, 1/10/2008 10:22:09 AM, ES



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DESIGN + CONSTRUCTION

**Liro Engineers, Inc.**  
15-09 132nd Street, College Point, New York

DDC ID NO: SE-809 WOL NO: 5197-LRO-1R-5023

**SAMPLE LOCATION DETAILS**

WHITESTONE EXWY CORRIDOR (SOUTH)  
QUEENS, NY 11354

SCALE: AS SHOWN DATE: 12/2007 SHEET 3 OF 3

A4-83

APPENDIX B  
GEOLOGIC BORING LOGS

# LiRo Engineers, Inc.

**BORING NO.** SB-1/TWP-1

**PROJECT** Whitestone Expressway Sewer Outlet

**SHEET:** 1 of 1

**CLIENT** New York City Dept of Design and Construction and Design

**JOB NO:**

**BORING CONTRACTOR** Aquifer Drilling and Testing

**BORING LOCATION:**

**GROUNDWATER** Encountered at 9 ft

**GROUND ELEVATION:**

| DATE | TIME | LEVEL | TYPE | TYPE | CAS. | SAMPLER | CORE | TUBE |
|------|------|-------|------|------|------|---------|------|------|
|      |      |       |      | DIA. |      |         |      |      |
|      |      |       |      | WT.  |      |         |      |      |
|      |      |       |      | FALL |      |         |      |      |

**DATE STARTED:** 12/10/07

**DATE FINISHED:** 12/10/07

**DRILLER:** Jamey D

**GEOLOGIST:** Mark Chin

\* POCKET PENETROMETER READING

**REVIEWED BY:**

| DEPTH | STRATA | SAMPLE |      |                 |                   | DESCRIPTION |                         |   |     | CLASS<br>USCS | REMARKS  |  |
|-------|--------|--------|------|-----------------|-------------------|-------------|-------------------------|---|-----|---------------|----------|--|
|       |        | NO.    | TYPE | BLOWS<br>PER 4" | RECOVERY<br>RQD % | COLOR       | CONSISTENCY<br>HARDNESS | MATERIAL<br>DESCRIPTION                   | PID |               | moisture |  |
| 4     |        |        |      |                 |                   |             |                         | Air Knife                                 |     |               |          |  |
| 10    |        | 1      | MC   |                 | 65%               | Grey        |                         | Fine - Medium Silty Clay<br>Some Gravel   |     |               | 0 PID    |  |
| 15    |        | 2      | MC   |                 | 70%               | Grey        |                         | Fine - Medium Silty Clay<br>Little Gravel |     |               | 0 PID    |  |
| 17    |        | 3      | MC   |                 | 70%               | Grey        |                         | Fine - Medium Clay,<br>Some Silt          |     |               | 0 PID    |  |
| 20    |        |        |      |                 |                   |             |                         | EOB @ 17                                  |     |               |          |  |
| 25    |        |        |      |                 |                   |             |                         |   |     |               |          |  |
| 30    |        |        |      |                 |                   |             |                         |   |     |               |          |  |
| 35    |        |        |      |                 |                   |             |                         |   |     |               |          |  |

**COMMENTS:** Boring advance with a Geo Probe

**PROJECT NO.:** Whitestone Expressway Sewer Outlet

**BORING NO.:** SB-1/TWP-01

DDC ID # SE-809

A4-85

# LiRo Engineers, Inc.

**BORING NO.** SB-2/TWP-2

|  |             |              |             |             |                |                             |                                |
|--|-------------|--------------|-------------|-------------|----------------|-----------------------------|--------------------------------|
| <b>PROJECT</b> Whitestone Expressway Sewer Outlet                      |             |              |             |             |                | <b>SHEET:</b> 1 of 1        |                                |
| <b>CLIENT</b> New York City Dept of Design and Construction and Design |             |              |             |             |                | <b>JOB NO:</b>              |                                |
| <b>BORING CONTRACTOR</b> Aquifer Drilling and Testing                  |             |              |             |             |                | <b>BORING LOCATION:</b>     |                                |
| <b>GROUNDWATER</b> Encountered at 10 ft                                |             |              |             | <b>CAS.</b> | <b>SAMPLER</b> | <b>CORE</b>                 | <b>TUBE</b>                    |
| <b>DATE</b>  | <b>TIME</b> | <b>LEVEL</b> | <b>TYPE</b> | <b>TYPE</b> |                |                             | <b>GROUND ELEVATION:</b>       |
|  |             |              |             | <b>DIA.</b> |                |                             | <b>DATE STARTED:</b> 12/10/07  |
|  |             |              |             | <b>WT.</b>  |                |                             | <b>DATE FINISHED:</b> 12/10/07 |
|  |             |              |             | <b>FALL</b> |                |                             | <b>DRILLER:</b> Jamey D        |
| <b>* POCKET PENETROMETER READING</b>                                   |             |              |             |             |                | <b>GEOLOGIST:</b> Mark Chin |                                |
|  |             |              |             |             |                | <b>REVIEWED BY:</b>         |                                |

| DEPTH | STRATA | SAMPLE |      |             |                | DESCRIPTION |                      |   |            | REMARKS |
|-------|--------|--------|------|-------------|----------------|-------------|----------------------|---|------------|---------|
|       |        | NO.    | TYPE | BLOW PER 4" | RECOVERY RQD % | COLOR       | CONSISTENCY HARDNESS | MATERIAL DESCRIPTION                      | CLASS USCS |         |
|       |        |        |      |             |                |             |                      |   |            |         |
| 5     |        |        |      |             |                |             |                      | Air Knife                                 |            |         |
| 10    |        | 1      | MC   |             | 65%            | Grey        |                      | Fine -Medium Silty Sand                   |            | 0 PID   |
| 15    |        | 2      | MC   |             | 70%            | Grey        |                      | Fine -Medium Silty Sand                   |            | 0 PID   |
| 20    |        | 3      | MC   |             | 60%            | Grey        |                      | Fine -Medium Silty Sand,<br>Little Gravel |            | 0 PID   |
| 25    |        |        |      |             |                |             |                      |   |            |         |
| 30    |        |        |      |             |                |             |                      |   |            |         |
| 35    |        |        |      |             |                |             |                      |   |            |         |
|       |        |        |      |             |                |             |                      | EOB @ 17                                  |            |         |

**COMMENTS:** Boring advance with a Geo Probe

**PROJECT NO.:** Whitestone Expressway Sewer Outlet      **BORING NO.:** SB-2/TWP-2

DDC ID # SE-809

A4-86

# LiRo Engineers, Inc.

**BORING NO.** SB-3/TWP3

**PROJECT** Whitestone Expressway Sewer Outlet

**SHEET:** 1 of 1

**CLIENT** New York City Dept of Design and Construction and Design

**JOB NO:**

**BORING CONTRACTOR** Aquifer Drilling and Testing

**BORING LOCATION:**

**GROUNDWATER** Encountered at 9 ft

**CAS. SAMPLER CORE TUBE**

**GROUND ELEVATION:**

| DATE | TIME | LEVEL | TYPE | TYPE |  |  |  |
|------|------|-------|------|------|--|--|--|
|      |      |       |      | DIA. |  |  |  |
|      |      |       |      | WT.  |  |  |  |
|      |      |       |      | FALL |  |  |  |

**DATE STARTED:** 12/10/07

**DATE FINISHED:** 12/10/07

**DRILLER:** Jamey D

**GEOLOGIST:** Mark Chin

\* POCKET PENETROMETER READING

**REVIEWED BY:**

| DEPTH | STRATA | SAMPLE |      |              |       | RECOVERY | COLOR | CONSISTENCY | DESCRIPTION                             | CLASS | REMARKS |
|-------|--------|--------|------|--------------|-------|----------|-------|-------------|---|-------|---------|
|       |        | NO.    | TYPE | BLOWS PER 6" | RQD % |          |       |             |   |       |         |
| 5     |        |        |      |              |       |          |       |             |   |       |         |
|       |        | 1      | MC   |              | 75%   | Grey     |       |             | Air Knife                               |       |         |
| 10    |        | 2      | MC   |              | 80%   | Grey     |       |             | Fine - Medium Silty Sand<br>Little Clay |       | 0 PID   |
| 15    |        | 3      | MC   |              | 60%   | Grey     |       |             | Fine - Medium Silty Clay,<br>Some Sand  |       | 0 PID   |
| 20    |        |        |      |              |       |          |       |             | EOB @ 17                                |       |         |
| 25    |        |        |      |              |       |          |       |             |   |       |         |
| 30    |        |        |      |              |       |          |       |             |   |       |         |
| 35    |        |        |      |              |       |          |       |             |   |       |         |

**COMMENTS:** Boring advance with a Geo Probe

**PROJECT NO.:** Whitestone Expressway Sewer Outlet

**BORING NO.:** SB-3/TWP3

DDC ID # SE-809

A4-87



# LiRo Engineers, Inc.

BORING NO. SB-4

|   |      |       |      |      |         |                         |      |
|---|------|-------|------|------|---------|-------------------------|------|
| PROJECT Whitestone Expressway Sewer Outlet                      |      |       |      |      |         | SHEET: 1 of 1           |      |
| CLIENT New York City Dept of Design and Construction and Design |      |       |      |      |         | JOB NO:                 |      |
| BORING CONTRACTOR: Aquifer Drilling and Testing                 |      |       |      |      |         | BORING LOCATION:        |      |
| GROUNDWATER Encountered at 9 ft.                                |      |       |      | CAS. | SAMPLER | CORE                    | TUBE |
| DATE  | TIME | LEVEL | TYPE | TYPE |         |                         |      |
|   |      |       |      | DIA. |         |                         |      |
|   |      |       |      | WT.  |         |                         |      |
|   |      |       |      | FALL |         |                         |      |
| * POCKET PENETROMETER READING                                   |      |       |      |      |         | DATE STARTED: 12/10/07  |      |
|   |      |       |      |      |         | DATE FINISHED: 12/10/07 |      |
|   |      |       |      |      |         | DRILLER: Jamey D        |      |
|   |      |       |      |      |         | GEOLOGIST: Mark Chin    |      |
|   |      |       |      |      |         | REVIEWED BY:            |      |

| DEPTH | STRATA | SAMPLE |      |              |                | DESCRIPTION |                      |                                |     | CLASS USES | REMARKS  |     |
|-------|--------|--------|------|--------------|----------------|-------------|----------------------|--------------------------------|-----|------------|----------|-----|
|       |        | NO.    | TYPE | BLOWS PER 6" | RECOVERY ROD % | COLOR       | CONSISTENCY HARDNESS | MATERIAL DESCRIPTION           | PID |            | moisture |     |
|       |        |        |      |              |                |             |                      | Air Knife                      |     |            |          |     |
| 5     |        | 1      | MC   |              | 70%            | Grey        |                      | Fill Material Ash, Sand Gravel |     |            | 0        | PID |
| 10    |        | 2      | MC   |              | 70%            | Grey        |                      | Fill Material Ash, Sand Gravel |     |            | 0        | PID |
| 15    |        | 3      | MC   |              | 0%             |             |                      | No Recovery                    |     |            | 0        | PID |
| 20    |        |        |      |              |                |             |                      | EOB @ 17                       |     |            |          |     |
| 25    |        |        |      |              |                |             |                      |                                |     |            |          |     |
| 30    |        |        |      |              |                |             |                      |                                |     |            |          |     |
| 35    |        |        |      |              |                |             |                      |                                |     |            |          |     |

COMMENTS: Boring advance with a Geo Probe

PROJECT NO.: Whitestone Expressway Sewer Outlet

BORING NO.: SB-4

DPC ID # SE-809

A4-88

# LiRo Engineers, Inc.

BORING NO. SB-5

|  |      |       |      |      |                               |         |      |      |                        |
|--|------|-------|------|------|-------------------------------|---------|------|------|------------------------|
| PROJECT: Whitestone Expressway Sewer Outlet                      |      |       |      |      | SHEET: 1 of 1                 |         |      |      |                        |
| CLIENT: New York City Dept of Design and Construction and Design |      |       |      |      | JOB NO:                       |         |      |      |                        |
| BORING CONTRACTOR: Aquifer Drilling and Testing                  |      |       |      |      | BORING LOCATION:              |         |      |      |                        |
| GROUNDWATER: Encountered at 9 ft                                 |      |       |      |      | CAS.                          | SAMPLER | CORE | TUBE | GROUND ELEVATION:      |
| DATE   | TIME | LEVEL | TYPE | TYPE |                               |         |      |      | DATE STARTED: 12/5/07  |
|  |      |       |      | DIA. |                               |         |      |      | DATE FINISHED: 12/5/07 |
|  |      |       |      | WT.  |                               |         |      |      | DRILLER: Jamey D       |
|  |      |       |      | FALL |                               |         |      |      | GEOLOGIST: Mark Chin   |
|  |      |       |      |      | * POCKET PENETROMETER READING |         |      |      |                        |
|  |      |       |      |      | REVIEWED BY:                  |         |      |      |                        |

| DEPTH | STRATA | SAMPLE |      |              |                | DESCRIPTION |                      |                                      |      | CLASS USES | REMARKS |  |
|-------|--------|--------|------|--------------|----------------|-------------|----------------------|--------------------------------------|------|------------|---------|--|
|       |        | NO.    | TYPE | BLOWS PER 6" | RECOVERY RQD % | COLOR       | CONSISTENCY HARDNESS | MATERIAL DESCRIPTION                 | FEET |            |         |  |
| 5     |        |        |      |              |                |             |                      |                                      |      |            |         |  |
| 10    |        | 1      | MC   |              | 65%            | Brown       |                      | Fine -Medium Silty Sand              |      |            | 0 PID   |  |
| 15    |        | 2      | MC   |              | 75%            | Grey        |                      | Fine -Medium Silty Clay, Little Sand |      |            | 0 PID   |  |
| 20    |        | 3      | MC   |              | 80%            | Grey        |                      | Fine -Medium Silty Clay, Little Sand |      |            | 0 PID   |  |
| 25    |        |        |      |              |                |             |                      |                                      |      |            |         |  |
| 30    |        |        |      |              |                |             |                      |                                      |      |            |         |  |
| 35    |        |        |      |              |                |             |                      |                                      |      |            |         |  |
|       |        |        |      |              |                |             |                      | EOB @ 17                             |      |            |         |  |

COMMENTS: Boring advance with a Geo Probe

PROJECT NO.: Whitestone Expressway Sewer Outlet      BORING NO.: SB-5

DDC ID # SE-809

4-89

# LiRo Engineers, Inc.

**BORING NO.** SB-6

**PROJECT** Whitestone Expressway Sewer Outlet

**SHEET:** 1 of 1

**CLIENT** New York City Dept of Design and Construction and Design

**JOB NO:**

**BORING CONTRACTOR** Aquifer Drilling and Testing

**BORING LOCATION:**

**GROUNDWATER** Encountered at 9 ft

**CAS: SAMPLER CORE TUBE**

**GROUND ELEVATION:**

| DATE | TIME | LEVEL | TYPE | TYPE | CAS | SAMPLER | CORE | TUBE |
|------|------|-------|------|------|-----|---------|------|------|
|      |      |       |      | DIA. |     |         |      |      |
|      |      |       |      | WT.  |     |         |      |      |
|      |      |       |      | FALL |     |         |      |      |

**DATE STARTED:** 12/5/07

**DATE FINISHED:** 12/5/07

**DRILLER:** Jamey D

**GEOLOGIST:** Mark Chin

\* POCKET PENETROMETER READING

**REVIEWED BY:**

| DEPTH | STRATA | SAMPLE |      |              |                | DESCRIPTION |                      |  |    | CLASS USES | REMARKS |     |
|-------|--------|--------|------|--------------|----------------|-------------|----------------------|--|----|------------|---------|-----|
|       |        | NO.    | TYPE | BLOWS PER 6" | RECOVERY RQD % | COLOR       | CONSISTENCY HARDNESS | MATERIAL DESCRIPTION                   | YD |            | notes   |     |
| 5     |        |        |      |              |                |             |                      |  |    |            |         |     |
| 10    |        | 1      | MC   |              | 75%            | Grey        |                      | Fine -Medium Silty Sand                |    |            | 0       | PID |
| 15    |        | 2      | MC   |              | 80%            | Grey        |                      | Fine -Medium Silty Sand                |    |            | 0       | PID |
| 18    |        | 3      | MC   |              | 80%            | Grey        |                      | Fine -Medium Silty Sand, Little Gravel |    |            | 0       | PID |
| 20    |        |        |      |              |                |             |                      | EOB @ 17                               |    |            |         |     |
| 25    |        |        |      |              |                |             |                      |  |    |            |         |     |
| 30    |        |        |      |              |                |             |                      |  |    |            |         |     |
| 35    |        |        |      |              |                |             |                      |  |    |            |         |     |

**COMMENTS:** Boring advance with a Geo Probe

**PROJECT NO.:** Whitestone Expressway Sewer Outlet

**BORING NO.:** SB-6

DDC ID# SE-804

A9-90

# LiRo Engineers, Inc.

**BORING NO.** SB-7

**PROJECT** Whitestone Expressway Sewer Outlet

**SHEET:** 1 of 1

**CLIENT** New York City Dept of Design and Construction and Design

**JOB NO:**

**BORING CONTRACTOR** Aquifer Drilling and Testing

**BORING LOCATION:**

**GROUNDWATER** Encountered at 9.5 ft

**CAS. SAMPLER CORE TUBE**

**GROUND ELEVATION:**

**DATE**

**TIME**

**LEVEL**

**TYPE**

**TYPE**

**DIA.**

**WT.**

**FALL**

**DATE STARTED:** 12/10/07

**DATE FINISHED:** 12/10/07

**DRILLER:** Jamey D

**GEOLOGIST:** Mark Chin

**\* POCKET PENETROMETER READING**

**REVIEWED BY:**

| DEPTH | STRATA | SAMPLE |      |              |       | RECOVERY | COLOR | CONSISTENCY | HARDNESS                                 | DESCRIPTION | CLASS | REMARKS |     |
|-------|--------|--------|------|--------------|-------|----------|-------|-------------|--|-------------|-------|---------|-----|
|       |        | NO.    | TYPE | BLOWS PER 6" | REQ % |          |       |             |  |             |       | UCCS    | PIV |
| 5     |        |        |      |              |       |          |       |             |  |             |       |         |     |
| 10    |        | 1      | MC   |              | 78%   | Grey     |       |             | Fine to Medium Silty Sand, some Gravel   |             |       | 0 PID   |     |
| 15    |        |        |      |              |       |          |       |             |  |             |       |         |     |
| 20    |        | 2      | MC   |              | 80%   | Grey     |       |             | Fine to Medium Silty Sand, little Gravel |             |       | 78 PID  |     |
| 25    |        |        |      |              |       |          |       |             |  |             |       |         |     |
| 30    |        |        |      |              |       |          |       |             |  |             |       |         |     |
| 35    |        |        |      |              |       |          |       |             |  |             |       |         |     |
|       |        |        |      |              |       |          |       |             | EOB @ 12                                 |             |       |         |     |

**COMMENTS:** Boring advance with a Geo Probe  
Refusal at 12 feet

**PROJECT NO.:** Whitestone Expressway Sewer Outlet

**BORING NO.:** SB-7

DDC ID # SE-809

AM-91

# LiRo Engineers, Inc.

**BORING NO.** SB-8

**PROJECT** Whitestone Expressway Sewer Outlet

**SHEET:** 1 of 1

**CLIENT** New York City Dept of Design and Construction and Design

**JOB NO:**

**BORING CONTRACTOR** Aquifer Drilling and Testing

**BORING LOCATION:**

**GROUNDWATER** Encountered at 9.5 ft

**CAS. SAMPLER CORE TUBE**

**GROUND ELEVATION:**

| DATE | TIME | LEVEL | TYPE | TYPE |  |  |  |
|------|------|-------|------|------|--|--|--|
|      |      |       |      | DIA. |  |  |  |
|      |      |       |      | WT.  |  |  |  |
|      |      |       |      | FALL |  |  |  |

**DATE STARTED:** 12/10/07

**DATE FINISHED:** 12/10/07

**DRILLER:** Jamey D

**GEOLOGIST:** Mark Chin

\* POCKET PENETROMETER READING

**REVIEWED BY:**

| DEPTH | STRATA | SAMPLE |      |                |                   | DESCRIPTION |                         |                         |     | CLASS<br>USCS | REMARKS |  |
|-------|--------|--------|------|----------------|-------------------|-------------|-------------------------|-------------------------|-----|---------------|---------|--|
|       |        | NO.    | TYPE | BLOW<br>PER 4" | RECOVERY<br>RQD % | COLOR       | CONSISTENCY<br>HARDNESS | MATERIAL<br>DESCRIPTION | YTD |               | note    |  |
|       |        |        |      |                |                   |             |                         | Air Knife               |     |               |         |  |
| 5     |        |        |      |                |                   |             |                         |                         |     |               |         |  |
|       |        | 1      | MC   |                | 65%               | Grey        |                         | Fine -Medium Silty Sand |     |               | 40 PID  |  |
| 10    |        |        |      |                |                   |             |                         |                         |     |               |         |  |
|       |        | 2      | MC   |                | 60%               | Grey        |                         | Fine -Medium Silty Sand |     |               | 93 PID  |  |
| 15    |        |        |      |                |                   |             |                         |                         |     |               |         |  |
|       |        | 3      | MC   |                | 0%                |             |                         | No Recovery             |     |               | 0 PID   |  |
| 20    |        |        |      |                |                   |             |                         |                         |     |               |         |  |
|       |        |        |      |                |                   |             |                         | EOB @ 17                |     |               |         |  |
| 25    |        |        |      |                |                   |             |                         |                         |     |               |         |  |
| 30    |        |        |      |                |                   |             |                         |                         |     |               |         |  |
| 35    |        |        |      |                |                   |             |                         |                         |     |               |         |  |

**COMMENTS:** Boring advanced with a Geo Probe

**PROJECT NO.:** Whitestone Expressway Sewer Outlet

**BORING NO.:** SB-3

DDC ID# SE-809

AY-92

# LiRo Engineers, Inc.

BORING NO. SB-9

PROJECT Whitestone Expressway Sewer Outlet

SHEET: 1 of 1

CLIENT New York City Dept of Design and Construction and Design

JOB NO:

BORING CONTRACTOR Aquifer Drilling and Testing

BORING LOCATION:

GROUNDWATER

CAS. SAMPLER CORE TUBE

GROUND ELEVATION:

| DATE | TIME | LEVEL | TYPE | TYPE |  |  |  |
|------|------|-------|------|------|--|--|--|
|      |      |       |      | DIA. |  |  |  |
|      |      |       |      | WT.  |  |  |  |
|      |      |       |      | FALL |  |  |  |

DATE STARTED: 12/10/07

DATE FINISHED: 12/10/07

DRILLER: Jamey D

GEOLOGIST: Mark Chin

\* POCKET PENETROMETER READING

REVIEWED BY:

| DEPTH | STRATA | SAMPLE |      |                 |                   | DESCRIPTION |                         |                          |  | CLASS<br>USCS | REMARKS<br>PID moisture |
|-------|--------|--------|------|-----------------|-------------------|-------------|-------------------------|--------------------------|--|---------------|-------------------------|
|       |        | NO.    | TYPE | BLOWS<br>PER 4" | RECOVERY<br>ROD % | COLOR       | CONSISTENCY<br>HARDNESS | MATERIAL<br>DESCRIPTION  |  |               |                         |
| 5     |        |        |      |                 |                   |             |                         | Air Knife                |  |               |                         |
| 7     |        | 1      | MC   |                 | 85%               | Grey        |                         | Fine - Medium Silty Sand |  | 0 PID         |                         |
| 10    |        |        |      |                 |                   |             |                         | EOB @ 9                  |  |               |                         |
| 15    |        |        |      |                 |                   |             |                         |                          |  |               |                         |
| 20    |        |        |      |                 |                   |             |                         |                          |  |               |                         |
| 25    |        |        |      |                 |                   |             |                         |                          |  |               |                         |
| 30    |        |        |      |                 |                   |             |                         |                          |  |               |                         |
| 35    |        |        |      |                 |                   |             |                         |                          |  |               |                         |

COMMENTS: Boring advanced with a Geo Probe  
Refusal at 9 ft

PROJECT NO.: Whitestone Expressway Sewer Outlet

BORING NO.: SB-9

DDC ID # SE-809

AY-93

# LiRo Engineers, Inc.

BORING NO. SB-10

|   |      |       |      |      |         |                      |                         |
|---|------|-------|------|------|---------|----------------------|-------------------------|
| PROJECT Whitestone Expressway Sewer Outlet                      |      |       |      |      |         | SHEET: 1 of 1        |                         |
| CLIENT New York City Dept of Design and Construction and Design |      |       |      |      |         | JOB NO:              |                         |
| BORING CONTRACTOR Aquifer Drilling and Testing                  |      |       |      |      |         | BORING LOCATION:     |                         |
| GROUNDWATER Encountered at 9.5 ft                               |      |       |      | CAS. | SAMPLER | CORE                 | TUBE                    |
| DATE  | TIME | LEVEL | TYPE | TYPE |         |                      |                         |
|   |      |       |      | DIA. |         |                      | DATE STARTED: 12/10/07  |
|   |      |       |      | WT.  |         |                      | DATE FINISHED: 12/10/07 |
|   |      |       |      | FALL |         |                      | DRILLER: Jamey D        |
| * POCKET PENETROMETER READING                                   |      |       |      |      |         | GEOLOGIST: Mark Chin |                         |
|   |      |       |      |      |         | REVIEWED BY:         |                         |

| DEPTH | STRATA | SAMPLE |      |              |                | DESCRIPTION |                      |  |  | CLASS USCS | REMARKS<br>FID meters |
|-------|--------|--------|------|--------------|----------------|-------------|----------------------|--|--|------------|-----------------------|
|       |        | NO.    | TYPE | BLOWS PER FT | RECOVERY ROD % | COLOR       | CONSISTENCY HARDNESS | MATERIAL DESCRIPTION                   |  |            |                       |
|       |        |        |      |              |                |             |                      |  |  |            |                       |
| 5     |        |        |      |              |                |             |                      | Air Knife                              |  |            |                       |
|       |        | 1      | MC   |              | 80%            | Grey        |                      | Fine -Medium Silty Clay<br>Some Gravel |  |            | 52 PID                |
| 10    |        | 2      | MC   |              | 70%            | Grey        |                      | Fine -Medium Silty Clay                |  |            | 91 PID                |
| 15    |        | 3      | MC   |              | 80%            | Grey        |                      | Fine -Medium Silty Clay                |  |            | 47 PID                |
| 20    |        |        |      |              |                |             |                      | EOB @ 17                               |  |            |                       |
| 25    |        |        |      |              |                |             |                      |  |  |            |                       |
| 30    |        |        |      |              |                |             |                      |  |  |            |                       |
| 35    |        |        |      |              |                |             |                      |  |  |            |                       |

COMMENTS: Boring advance with a Geo Probe

PROJECT NO.: Whitestone Expressway Sewer Outlet      BORING NO.: SB-10

DDC ID# SE-809

A4-94

# LiRo Engineers, Inc.

**BORING NO.** SB-11

**PROJECT** Whitestone Expressway Sewer Outlet

**SHEET:** 1 of 1

**CLIENT** New York City Dept of Design and Construction and Design

**JOB NO:**

**BORING CONTRACTOR** Aquifer Drilling and Testing

**BORING LOCATION:**

**GROUNDWATER** Encountered at 9.5 ft

**CAS. SAMPLER CORE TUBE**

**GROUND ELEVATION:**

| DATE | TIME | LEVEL | TYPE | TYPE |  |  |  |  |
|------|------|-------|------|------|--|--|--|--|
|      |      |       |      | DIA. |  |  |  |  |
|      |      |       |      | WT.  |  |  |  |  |
|      |      |       |      | FALL |  |  |  |  |

**DATE STARTED:** 12/7/07

**DATE FINISHED:** 12/7/07

**DRILLER:** Jamey D

**GEOLOGIST:** Mark Chin

\* POCKET PENETROMETER READING

**REVIEWED BY:**

| DEPTH | STRATA | SAMPLE |      |              |                | DESCRIPTION |                      |                                  |      | CLASS USES | REMARKS  |     |
|-------|--------|--------|------|--------------|----------------|-------------|----------------------|----------------------------------|------|------------|----------|-----|
|       |        | NO.    | TYPE | BLOWS PER FT | RECOVERY ROD % | COLOR       | CONSISTENCY HARDNESS | MATERIAL DESCRIPTION             | FEET |            | MOISTURE |     |
| 5     |        |        |      |              |                |             |                      | Air Knife                        |      |            |          |     |
|       |        | 1      | MC   |              | 0%             |             |                      | No Recovery                      |      |            | 0        | PID |
| 10    |        | 2      | MC   |              | 85%            | Grey        |                      | Fill Material, Ash, Sand, Gravel |      |            | 0        | PID |
| 15    |        | 3      | MC   |              | 70%            | Grey        |                      | Fill Material, Ash, Sand, Gravel |      |            | 0        | PID |
| 20    |        |        |      |              |                |             |                      | EOB @ 17                         |      |            |          |     |
| 25    |        |        |      |              |                |             |                      |                                  |      |            |          |     |
| 30    |        |        |      |              |                |             |                      |                                  |      |            |          |     |
| 35    |        |        |      |              |                |             |                      |                                  |      |            |          |     |

**COMMENTS:** Boring advance with a Geo Probe

**PROJECT NO.:** Whitestone Expressway Sewer Outlet

**BORING NO.:** SB-11

DDCED # SE-809

A4-95



# LiRo Engineers, Inc.

BORING NO. SB-12/TWP-5

|   |      |       |      |      |         |                      |                         |
|---|------|-------|------|------|---------|----------------------|-------------------------|
| PROJECT Whitestone Expressway Sewer Outlet                      |      |       |      |      |         | SHEET: 1 of 1        |                         |
| CLIENT New York City Dept of Design and Construction and Design |      |       |      |      |         | JOB NO:              |                         |
| BORING CONTRACTOR Aquifer Drilling and Testing                  |      |       |      |      |         | BORING LOCATION:     |                         |
| GROUNDWATER Encountered at 9.5 ft                               |      |       |      | CAS. | SAMPLER | CORE                 | TUBE                    |
| DATE  | TIME | LEVEL | TYPE | TYPE |         |                      |                         |
|   |      |       |      | DIA. |         |                      | DATE STARTED: 12/10/07  |
|   |      |       |      | WT.  |         |                      | DATE FINISHED: 12/10/07 |
|   |      |       |      | FALL |         |                      | DRILLER: Jamey D        |
| * POCKET PENETROMETER READING                                   |      |       |      |      |         | GEOLOGIST: Mark Chin |                         |
|   |      |       |      |      |         | REVIEWED BY:         |                         |

| DEPTH | STRATA | SAMPLE |      |                 |                   | DESCRIPTION |                         |  |     | CLASB<br>USCS | REMARKS  |     |
|-------|--------|--------|------|-----------------|-------------------|-------------|-------------------------|--|-----|---------------|----------|-----|
|       |        | NO.    | TYPE | BLOWS<br>PER 4" | RECOVERY<br>ROD % | COLOR       | CONSISTENCY<br>HARDNESS | MATERIAL<br>DESCRIPTION                    | YTD |               | moisture |     |
| 5     |        |        |      |                 |                   |             |                         | Air Knife                                  |     |               |          |     |
| 10    |        | 1      | MC   |                 | 70%               | Brown       |                         | Fine - Medium Silty Sand                   |     |               | 0        | PID |
| 15    |        | 2      | MC   |                 | 70%               | Grey        |                         | Fine - Medium Silty Sand<br>Little Gravel  |     |               | 0        | PID |
| 20    |        | 3      | MC   |                 | 60%               | Grey        |                         | Fine - Medium Silty Clay,<br>Little Gravel |     |               | 0        | PID |
| 25    |        |        |      |                 |                   |             |                         |  |     |               |          |     |
| 30    |        |        |      |                 |                   |             |                         |  |     |               |          |     |
| 35    |        |        |      |                 |                   |             |                         |  |     |               |          |     |
|       |        |        |      |                 |                   |             |                         | EOB @ 17                                   |     |               |          |     |

COMMENTS: Boring advance with a Geo Probe

PROJECT NO.: Whitestone Expressway Sewer Outlet      BORING NO.: SB-12/TWP-5

DDC ID# SE-809

A4-9.6

# LiRo Engineers, Inc.

**BORING NO.** SB-13

**PROJECT** Whitestone Expressway Sewer Outlet  
**CLIENT** New York City Dept of Design and Construction and Design  
**BORING CONTRACTOR** Aquifer Drilling and Testing

**SHEET:** 1 of 1  
**JOB NO.:**  
**BORING LOCATION:**

| GROUNDWATER |      |       |      | CAS. | SAMPLER | CORE | TUBE |
|-------------|------|-------|------|------|---------|------|------|
| DATE        | TIME | LEVEL | TYPE | TYPE |         |      |      |
|             |      |       |      | DIA. |         |      |      |
|             |      |       |      | WT.  |         |      |      |
|             |      |       |      | FALL |         |      |      |

**GROUND ELEVATION:**  
**DATE STARTED:** 12/7/07  
**DATE FINISHED:** 12/7/07  
**DRILLER:** Jamey D  
**GEOLOGIST:** Mark Chin

\* POCKET PENETROMETER READING  
**REVIEWED BY:**

| DEPTH | MTHATA | SAMPLE |      |              |                | DESCRIPTION |                      |                          |  | CLASS USEC | REMARKS<br>PID moisture |
|-------|--------|--------|------|--------------|----------------|-------------|----------------------|--------------------------|--|------------|-------------------------|
|       |        | NO.    | TYPE | BLOWS PER 6" | RECOVERY ROD % | COLOR       | CONSISTENCY HARDNESS | MATERIAL DESCRIPTION     |  |            |                         |
| 5     |        |        |      |              |                |             |                      | Air Knife                |  |            |                         |
|       |        | 1      | MC   |              | 75%            | Grey        |                      | Fine - Medium Silty Sand |  | 0 PID      |                         |
| 10    |        |        |      |              |                |             |                      |                          |  |            |                         |
| 15    |        |        |      |              |                |             |                      |                          |  |            |                         |
| 20    |        |        |      |              |                |             |                      |                          |  |            |                         |
| 25    |        |        |      |              |                |             |                      |                          |  |            |                         |
| 30    |        |        |      |              |                |             |                      |                          |  |            |                         |
| 35    |        |        |      |              |                |             |                      |                          |  |            |                         |
|       |        |        |      |              |                |             |                      | EOB @ 8                  |  |            |                         |

**COMMENTS:** Boring advance with a Geo Probe  
 Refusal at 8 ft

**PROJECT NO.:** Whitestone Expressway Sewer Outlet

**BORING NO.:** SB-13

DOC ID # SE-809

A4-97

# LiRo Engineers, Inc.

BORING NO. SB-14

|                   |  |                  |                               |
|-------------------|--|------------------|-------------------------------|
| PROJECT           | Whitestone Expressway Sewer Outlet                       | SHEET:           | 1 of 1                        |
| CLIENT            | New York City Dept of Design and Construction and Design | JOB NO:          |                               |
| BORING CONTRACTOR | Aquifer Drilling and Testing                             | BORING LOCATION: |                               |
| GROUNDWATER       | Encountered at 8 ft                                      | CAS.             | SAMPLER                       |
| DATE              | TIME   | LEVEL            | TYPE                          |
|                   |  |                  | DIA.                          |
|                   |  |                  | WT.                           |
|                   |  |                  | FALL                          |
|                   |  |                  | * POCKET PENETROMETER READING |
|                   |  |                  | GROUND ELEVATION:             |
|                   |  |                  | DATE STARTED: 12/5/07         |
|                   |  |                  | DATE FINISHED: 12/5/07        |
|                   |  |                  | DRILLER: Jamey D              |
|                   |  |                  | GEOLOGIST: Mark Chin          |
|                   |  |                  | REVIEWED BY:                  |

| DEPTH | STRATA | SAMPLE |      |              |                | DESCRIPTION |             |                          |      | CLASS | REMARKS |  |
|-------|--------|--------|------|--------------|----------------|-------------|-------------|--------------------------|------|-------|---------|--|
|       |        | NO.    | TYPE | BLOWS PER FT | RECOVERY ROB % | COLOR       | CONSISTENCY | MATERIAL DESCRIPTION     | USCS |       |         |  |
| 5     |        |        |      |              |                |             |             |                          |      |       |         |  |
|       |        | 1      | MC   |              | 75%            | Brown       |             | Fine - Medium Silty Sand |      |       | 0 PID   |  |
| 10    |        | 2      | MC   |              | 85%            | Brown       |             | Fine - Medium Silty Sand |      |       | 0 PID   |  |
| 15    |        |        |      |              |                |             |             | EOB @ 12                 |      |       |         |  |
| 20    |        |        |      |              |                |             |             |                          |      |       |         |  |
| 25    |        |        |      |              |                |             |             |                          |      |       |         |  |
| 30    |        |        |      |              |                |             |             |                          |      |       |         |  |
| 35    |        |        |      |              |                |             |             |                          |      |       |         |  |

COMMENTS: Boring advance with a Geo Probe  
Refusal at 12 ft

PROJECT NO.: Whitestone Expressway Sewer Outlet      BORING NO.: SB-14

DDC JD # SE-809      AY-98

# LiRo Engineers, Inc.

**BORING NO.** SB-15/TWP-6

**PROJECT** Whitestone Expressway Sewer Outlet

**SHEET:** 1 of 1

**CLIENT** New York City Dept of Design and Construction and Design

**JOB NO:**

**BORING CONTRACTOR** Aquifer Drilling and Testing

**BORING LOCATION:**

**GROUNDWATER** Encountered at 9 ft

**CAS. SAMPLER CORE TUBE**

**GROUND ELEVATION:**

| DATE | TIME | LEVEL | TYPE | TYPE |  |  |  |
|------|------|-------|------|------|--|--|--|
|      |      |       |      | DIA. |  |  |  |
|      |      |       |      | WT.  |  |  |  |
|      |      |       |      | FALL |  |  |  |

**DATE STARTED:** 12/7/07

**DATE FINISHED:** 12/7/07

**DRILLER:** Jamey D

**GEOLOGIST:** Mark Chin

\* POCKET PENETROMETER READING

**REVIEWED BY:**

| DEPTH | STRATA | SAMPLE |      |                 |     | RECOVERY<br>ROD % | COLOR | CONSISTENCY<br>HARDNESS      | DESCRIPTION | CLASS<br>USCS | REMARKS |       |
|-------|--------|--------|------|-----------------|-----|-------------------|-------|------------------------------|-------------|---------------|---------|-------|
|       |        | NO.    | TYPE | BLOWS<br>PER FT |     |                   |       |                              |             |               | FEET    | NOTES |
|       |        |        |      |                 |     |                   |       | Air Knife                    |             |               |         |       |
| 5     |        | 1      | MC   |                 | 60% | Grey              |       | Grey Silty Sand, Some Clay   |             |               | 0 PID   |       |
| 10    |        | 2      | MC   |                 | 75% | Grey              |       | Grey Silty Sand, Some Clay   |             |               | 0 PID   |       |
| 15    |        | 3      | MC   |                 | 75% | Grey              |       | Grey Silty Sand, Little Clay |             |               | 0 PID   |       |
| 20    |        |        |      |                 |     |                   |       | EOB @ 17                     |             |               |         |       |
| 25    |        |        |      |                 |     |                   |       |                              |             |               |         |       |
| 30    |        |        |      |                 |     |                   |       |                              |             |               |         |       |
| 35    |        |        |      |                 |     |                   |       |                              |             |               |         |       |

**COMMENTS:** Boring advanced with a Geo Probe

**PROJECT NO.:** Whitestone Expressway Sewer Outlet

**BORING NO.:** SB-15/TWP-6

DDCID# SE-809

A4-99

# LiRo Engineers, Inc.

**BORING NO.** SB-16/TWP-7

|  |             |              |             |             |                |                             |                               |
|--|-------------|--------------|-------------|-------------|----------------|-----------------------------|-------------------------------|
| <b>PROJECT</b> Whitestone Expressway Sewer Outlet                      |             |              |             |             |                | <b>SHEET:</b> 1 of 1        |                               |
| <b>CLIENT</b> New York City Dept of Design and Construction and Design |             |              |             |             |                | <b>JOB NO:</b>              |                               |
| <b>BORING CONTRACTOR</b> Aquifer Drilling and Testing                  |             |              |             |             |                | <b>BORING LOCATION:</b>     |                               |
| <b>GROUNDWATER</b> Encountered at 10ft                                 |             |              |             | <b>CAS.</b> | <b>SAMPLER</b> | <b>CORE</b>                 | <b>TUBE</b>                   |
| <b>DATE</b>  | <b>TIME</b> | <b>LEVEL</b> | <b>TYPE</b> | <b>TYPE</b> |                |                             | <b>GROUND ELEVATION:</b>      |
|  |             |              |             | <b>DIA.</b> |                |                             | <b>DATE STARTED:</b> 12/7/07  |
|  |             |              |             | <b>WT.</b>  |                |                             | <b>DATE FINISHED:</b> 12/7/07 |
|  |             |              |             | <b>FALL</b> |                |                             | <b>DRILLER:</b> Jamey D       |
| * POCKET PENETROMETER READING  |             |              |             |             |                | <b>GEOLOGIST:</b> Mark Chin |                               |
|  |             |              |             |             |                | <b>REVIEWED BY:</b>         |                               |

| DEPTH | STRATA | SAMPLE |      |              |                | DESCRIPTION |                      |                                     |     | CLASS USCS | REMARKS  |  |
|-------|--------|--------|------|--------------|----------------|-------------|----------------------|-------------------------------------|-----|------------|----------|--|
|       |        | NO.    | TYPE | BLOWS PER 6" | RECOVERY ROD % | COLOR       | CONSISTENCY HARDNESS | MATERIAL DESCRIPTION                | PID |            | moisture |  |
| 5     |        |        |      |              |                |             |                      | Air Knife                           |     |            |          |  |
| 10    |        | 1      | MC   |              | 60%            | Grey        |                      | Fill Material, Ash, Sand and Gravel |     |            | 0 PID    |  |
| 15    |        | 2      | MC   |              | 85%            | Grey        |                      | Grey Silty Sand, Some Gravel        |     |            | 0 PID    |  |
| 20    |        | 3      | MC   |              | 70%            | Grey        |                      | Grey Silty Sand, Some Gravel        |     |            | 0 PID    |  |
| 25    |        |        |      |              |                |             |                      | EOB @ 17                            |     |            |          |  |
| 30    |        |        |      |              |                |             |                      |                                     |     |            |          |  |
| 35    |        |        |      |              |                |             |                      |                                     |     |            |          |  |

**COMMENTS:** Boring advance with a Geo Probe

**PROJECT NO.:** Whitestone Expressway Sewer Outlet

**BORING NO.:** SB-16/TWP-7

DDC ID# SE-809

A4-100

APPENDIX C  
LABORATORY ANALYTICAL RESULTS

A4-101

Contractors/Consultants can obtain laboratory analytical results from New York City Department of Design and Construction Infrastructure Division, Program Administration – Engineering Support Services.

A4-102

APPENDIX D  
SUMMARY OF FIELDWORK

A4-103



The LSCI drilling work at the Whitestone Expressway Sewer Outlet Corridor has been completed: Here are the details:

DDC ID No. – SE-809, Task 5197

Environmental Consultant – LiRo Engineers

Drilling Subcontractor – Aquifer Drilling and Testing

Actual Start Date of Drilling – December 5, 2007

Date of Drilling Completion – December 10, 2007

Dates of Sample Collection – December 5 – 10, 2007

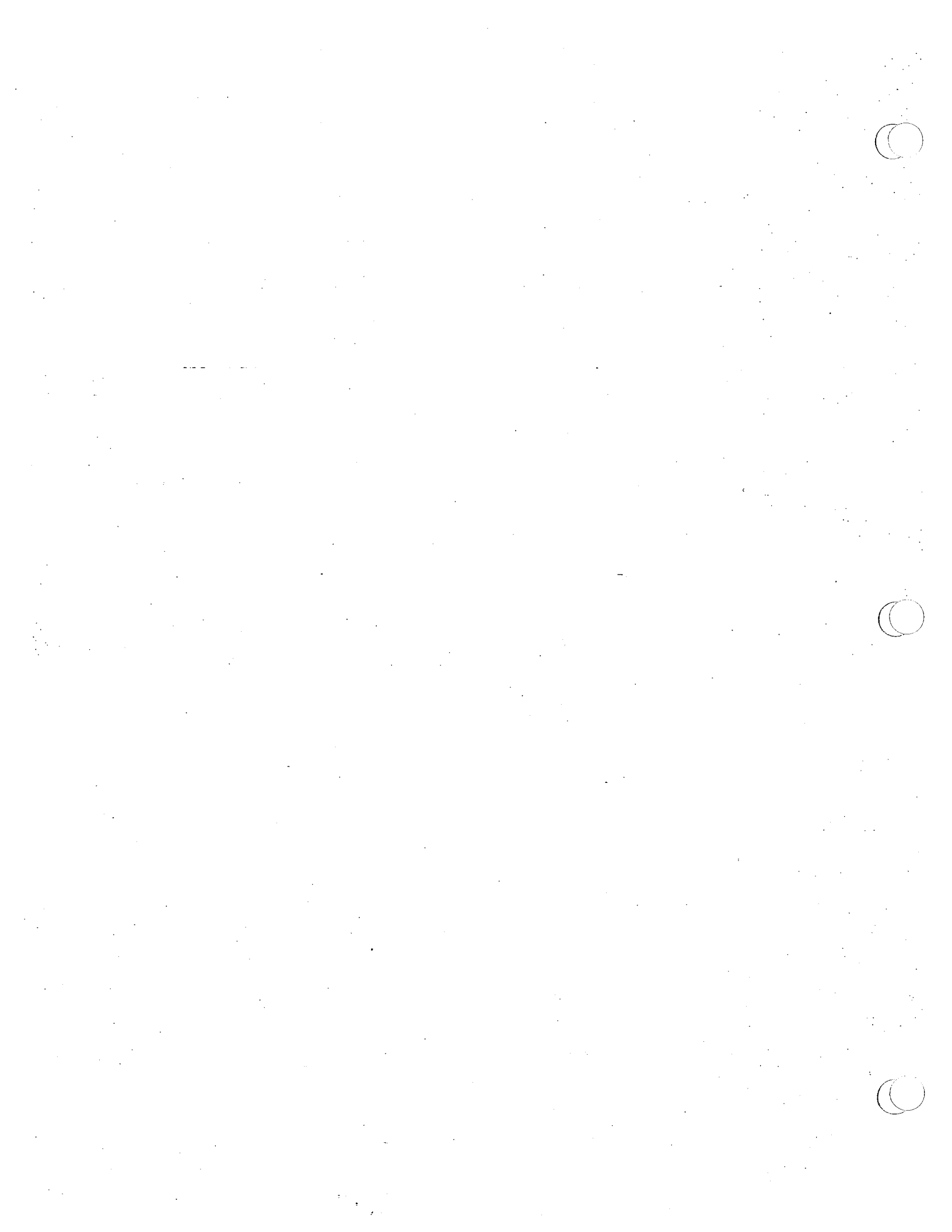
Total Number of Borings Completed – 16

Total Footage Drilled – 245 feet

Total Number of TWP's Sampled – 7

END OF ADDENDUM NO. 4  
This Addendum consists of one hundred five (105) Pages

A4-104



THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
INFRASTRUCTURE DIVISION  
BUREAU OF DESIGN

PROJECT ID. SE-809

FOR THE CONSTRUCTION OF STORM SEWER AND APPURTENANCES IN:

N.B. WHITESTONE EXPWY SERVICE ROAD BETWEEN 25TH AVENUE AND FLUSHING RIVER  
144th STREET BETWEEN 22nd ROAD AND 25th AVENUE

25th AVENUE BETWEEN PARSONS BLVD AND N.B. WHITESTONE EXPWY SERVICE ROAD  
UNION STREET BETWEEN 26th AVENUE AND 25th AVENUE

25th ROAD BETWEEN UNION STREET AND N.B. WHITESTONE EXPWY SERVICE ROAD  
HIGGINS STREET BETWEEN 32nd AVENUE AND N.B. WHITESTONE EXPWY SERVICE ROAD

FOR INSTALLATION OF SANITARY SEWER AND APPURTENANCES IN:

25th AVENUE BETWEEN 144th STREET AND N.B. WHITESTONE EXPWY SERVICE ROAD  
UNION STREET BETWEEN 25th ROAD AND 25th AVENUE

UNION STREET BETWEEN 26th AVENUE AND 25th ROAD

N.B. WHITESTONE EXPWY SERVICE ROAD BETWEEN 28th ROAD AND 29th ROAD  
25th ROAD BETWEEN UNION STREET AND N.B. WHITESTONE EXPWY SERVICE ROAD

CAPITAL PROJECT WM-1

FOR INSTALLATION OF WATER MAINS AND APPURTENANCES IN:  
UNION STREET BETWEEN 26 AVENUE AND WILLETS POINTS

CAPITAL PROJECT EP-7

FOR THE RELOCATION, SUPPORT AND PROTECTION OF GAS MAINS  
(ASSOCIATED WITH THE ABOVE PROJECT)

INCLUDING STREET LIGHTING,  
PEDESTRIAN RAMPS AND TRAFFIC WORK  
Together With All Work Incidental Thereto  
BOROUGH OF QUEENS  
CITY OF NEW YORK

ADDENDUM NO. 5

DATED: November 1, 2010

THIS ADDENDUM IS HEREBY MADE A PART OF THE CONTRACT DOCUMENTS

1. The Contractor shall be responsible for compliance with all the provisions of the following Sections and Schedules, which are hereby made a part of the original contract documents:

A. "SECTION U: Additional Contract Requirements Applying to Work Performed in the Presence of Privately Owned Utility Facilities" (Pages A5-4 through A5-14)

B. Schedule U-1 (Page A5-15)

- C. Schedules U-2 (one for each Utility Company) (Pages A5-16 through A5-40);
  - D. Section U-3 (as per the Private Utilities reference document for SECTION U called "CET SPECIFICATIONS AND SKETCHES", dated November 2010, and pages A5-41 through A5-44 in this Addendum); and,
  - E. Utility drawings (Sheet Nos.1 of 8 through 8 of 8) and Con Edison Electrical Condition report (Sheet 1 of 2 through 2 of 2) attached to the Plans.
2. Each facility operator shall provide inspectors at the work site to inspect methods of interference work, verify quantities and items of Utility Work, and coordinate all phases of the facility operator operations.
  3. In addition, the following statements are made to provide clarification of various paragraphs under Section U:
    - A. Section U, ¶4, requires the Contractor to immediately commence negotiations with each Company for an Interference Agreement under which the Company will compensate the Contractor for any Interference Work which the Company does not elect to perform with its own forces or by specialty contractors retained by the Company. Thus the Contractor is on notice that its work under the Contract may be affected by Interference Work performed by (a) the Contractor pursuant to a separate Interference Agreement with the Company, (b) the Company, or (c) partly by each.
    - B. Section U, ¶2, informs the Contractor that the duration of the Contract as shown in Schedule A includes the time which may be necessary for the Contractor to perform the necessary Interference Work.
    - C. The Contractor is hereby informed that the duration of the Contract as shown in Schedule A includes the time which may be necessary for the Company to perform whatever portion of the Interference Work which the Company elects to perform with its own forces or by specialty contractors retained by the Company.
    - D. Section U informs the Contractor that the City has entered into a Utility Agreement with the Companies regarding interferences to the City work in this Contract created by the facilities owned and/or operated by such Companies. Pursuant to this Addendum, a sample of the Utility Agreement letter as executed by the Companies is annexed on page A5-14, as an Exhibit to the Contract. Signed copies of those Utility Agreement letters are on file with NYCDDC.
    - E. The City has no contract with any of the Companies for work on or adjacent to the site of work under this Contract, and the Companies are not "Other Contractors" as defined for the purposes of this Contract. The Contractor is reminded, however, that pursuant to Section U, ¶4, the City will not

compensate the Contractor for any direct and/or indirect costs related to Interference Work, regardless of whether such Interference Work is covered by an Interference Agreement between the Contractor and the Company or is performed by the Company using its own forces or by specialty contractors retained by the Company.

- F. Section U, ¶14, provides that the provisions of Section U are material provisions of the Contract and that the Contractor's failure to comply with the procedures set forth in Section U are sufficient for the Commissioner to declare the Contractor in default pursuant to Article 48 of the Contract. Pursuant to this Addendum, the Contractor is informed that the Performance Bond required of the Contractor pursuant to the Contract is not deemed to guarantee performance of any of the Interference Work.

**Section U: Additional Contract Requirements Applicable to Work Performed in the Presence of Privately Owned Utility Facilities**

The Contractor is hereby notified that pursuant to the law and franchise agreements issued by the City, certain private utility and public service companies named in Schedule U-1 ("the Companies") own and/or operate surface and/or subsurface facilities within the limits of this contract. The existence of these facilities impacts the productivity of the City work called for in the contract. In order to improve coordination of the City construction with the private utility facilities owned and/or operated by the Companies named in Schedule U-1, Article 1.06.30 of the Standard Highway Specifications of the New York City Department of Transportation, Dated November 1, 2010; Articles 1.06.14 through 1.06.17 of the General Provisions of the Standard Water Main Specifications of the New York City Department of Environmental Protection, dated August 1, 2009; and/or Articles 1.06.14 through 1.06.17 of the Standard Sewer Specifications of the New York City Department of Environmental Protection, Dated August 1, 2009; as applicable, are amended and will be implemented as follows:

**1. Pre-engineering:**

The anticipated scopes of private utility facilities interferences and anticipated work items and specifications are included in this contract. The locations of these interferences are indicated on the plans and/or listed in the specifications for this contract, and a schedule of estimated quantities by type of interference expected to be encountered within the limits of this project area have been listed on Schedule U-2. In addition, in Section U-3 the Companies have provided standard details and methods for supporting, protecting, relocating, and/or working around their facilities when they are in interference with City contract work.

**2. Means and methods for City work:**

- a) The Contractor is hereby notified that the utility interferences identified on the plans and/or listed in the Specifications to be known conditions which may impact the performance of, and/or interferes with, City work. The contractor will be required to perform such utility work as directed by the Resident Engineer in order to clear all utility interferences from the project site as required for satisfactory completion of City work within specified contract schedule.
- b) In areas serviced by overhead electric system, the contractor understands and by bidding for this contract agrees that he/she has reviewed the section 'U' package and

that he/she will be required to perform the public work in the presence of energized electrical overhead lines and appurtenances located in areas adjacent and/or within the project area. As a consequence he/she will select means and method of construction appropriate to maintain the safety clearances required or as permitted by contract specifications (e.g. "CET 350 - Overhead Accommodation Protection of Overhead Facilities, Poles, and Appurtenances") in order to avoid damaging the insulation or shielding of these lines and also to prevent knocking them down. The duration of the contract as shown in Schedule A thus includes the time which may be necessary for the Contractor to remove, repair, protect, support, shift, relocate, temporarily remove and replace, work around and/or work in the presence of the Companies' facilities ("Interference Work") as described on the plans and/or specifications of the contract during the progress of the City work.

**3. *Field inspection prior to construction:***

Prior to the start of any contract work in areas serviced by overhead electric lines, and after the award to the apparent low bidder for this contract, the contractor must request a field walk of the project area along with the operator of the overhead electrical facilities and the DDC Engineer-In-Charge. At that time the facility operator, pursuant to contract specification (e.g. "CET 350 - Overhead Accommodation Protection of Overhead Facilities, Poles, and Appurtenances") will confirm the type and condition of the overhead electrical lines and the sufficiency of their insulating properties with respect to the means and methods proposed by the contractor. The contractor must be prepared to describe in enough details his/her proposed means and methods of construction operations in order to anticipate the likelihood that electric lines insulation would be cut or otherwise compromised. Also such details will allow the facility operator to anticipate the need for added insulation and/or shielding of non-insulated lines.

**4. *Compensation for interference work:***

Compensation for Interference Work is a matter of adjustment between the Contractor and each private utility company located within the limits of the project area and whose utility facilities are affected by City contract work. In particular, the City will not compensate the Contractor for any direct and/or indirect costs related to Interference Work, including, but not limited to, lost profit, increased overhead, or any other impact costs. Upon receipt of a Notice of Award from the City, the Contractor shall immediately commence negotiations with each of the Companies concerning the manner in which and the price for which the Contractor,

through its own forces or by others hired by it, will perform and be paid by the Company for all necessary Interference Work as defined above that the Company(ies) choose(s) not to perform with its(their) own forces or by specialty Contractors hired by it (them) (as per "Interference Agreement"). (Specialty contractors' work is limited to (i) insulation installation and removal, (ii) live gas and steam work, (iii) cleanup and disposal of hazardous materials, (iv) splicing live electrical and telecommunications facilities, and (v) work not traditionally performed by general construction contractors.

**5. Interference Agreement:**

1. The Companies have provided estimate of the quantity of each of the types of interferences expected to be encountered in the contract in Schedule U-2. Although the parties may negotiate an Interference Agreement in any format or manner they deem fit, the Contractor is hereby advised that the Companies have indicated to the City that they will agree to compensate the Contractor on a unit price basis according to the Quantity and Types of Interferences expected to be encountered on this Contract as stated in Schedule U-2.
2. Furthermore, in Section U-3 standard unit work measurement and payment provisions are specified and shall apply only if the Contractor and affected Utility companies enters into a unit price based Interference Agreement, otherwise the unit of work measurement, and payment provisions set forth in Section U-3 shall not apply. The Contractor shall notify the City upon concluding an Interference Agreement with each of the Companies, which shall be binding and final once concluded.

**6. City contract work to continue without Interference Agreement :**

If, prior to the start of construction, as directed by the City's Order to work / Notice To Proceed (OTW/ NTP) date any of the Companies and the Contractor have not concluded an Interference Agreement as described above, then the City construction will proceed as ordered and the Contractor will be directed by the Resident Engineer to perform the City work on Time, Material and Equipment basis (T&M) as specified in standard City contract agreement Article 26.2. T&M records will include identification of types of utility facilities interfering with City work, utility facility owners, specifying the nature and quantity of any materials, plant and equipment furnished or used in connection with the performance of such work and crew size, such as: name and number of each worker employed on such work. T&M records will also indicate the hours of, active time, standby time and idle time. The Company (ies) and the Contractor will maintain separate records of the actual quantity and cost of labor, materials,



and equipment expended, and will provide copies of this information to the other party on a daily basis for reconciliation. These T&M records along with cost evaluations will be submitted daily to the Resident Engineer for review and approval. The total cost of City work will be based on quantity of work performed multiplied by unit price contract bid items. The total interference cost will be calculated as the difference between the total T&M cost and total cost for City work. The Resident Engineer will conduct a monthly reconciliation session of the daily T&M records with the affected Company (ies) and contractor. If the contractor and affected utility companies cannot reconcile their T&M records, by the last day of each month, then the Resident Engineer will submit the approved City's T&M records along with total cost evaluations to the DDC Director of Construction who will review these records and recommend approval and validity certification by the DDC Deputy Commissioner.

1. Copies of the DDC approved and certified T&M records will then be transmitted by the DDC to the contractor and the utility companies. These certified records may be used by the contractor for compensation claims against the responsible private facility owners, or may be used by any party as supporting documentation in dispute regarding compensation for performing Interference Work as identified in Schedule U-2. The contractor will be required to perform City work while invoices are submitted by the contractor to the Utility companies for payment within 30 days, or while compensation disputes between contractor and affected company (ies) are submitted to Binding Arbitration process described in Paragraph 10.
2. All issues related to utility work and/ or delays due to compensation disputes or claims against utility companies are not allowable as justification for granting contract time extensions. The City may assess liquidated damages specified in the contract for net overall delays suffered by City contract work as a result of utility issues, disputes and claims.
3. The standard City contract dispute resolution process specified in Article 27 "Presentation of disputes to Commissioner", of the standard City contract agreement is not applicable to any disputes related to utility work and/ or compensation for such work or claim against utility companies. Utility work issues, disputes and claims may only be submitted to Binding Arbitration process described in Paragraph 10.
4. The contractor will notify the Resident Engineer when utility capital work not specified in Schedule U2 and/ or for utility work that require the intervention of company utility specialty crews causes excessive contractor's labor and equipment standby or idleness

and, thereby jeopardizing the City project schedule. The Resident Engineer will submit the facts to the DDC Director of construction who will recommend to the Deputy Commissioner regarding the issuance of a "48 hours notice" to the concerned utility company as authorized by the New York City administrative Code Section 19-143 and/or Section 24-521 as applicable.

5. Utility delays caused by utility capital work not listed in Schedule U2 and/ or by unavailability of utility specialty crews cannot be discounted for earning any contractual bonus when such bonus clause is included in a contract. However, if such specified bonus is not earned or disallowed by the City or if the City assesses specified liquidated damages as a result of such excessive delays, the contractor may seek damages from the responsible utility company (ies).

**7. *Extra utility work with Utility Agreement:***

If during construction the Contractor encounters utility facilities interferences or utility scope of work that it believes is not covered by the Interference Agreement as described above, then the Contractor shall immediately notify the Company in writing, with a copy to the City, describing the nature and location of the extra work in question. The Company then has five (5) business days to investigate the conditions and then:

1. Advise the Contractor and the City in writing that no interference with its facilities exists at the location in question, and hence that the Contractor may proceed with City work without providing for any impact from Company facilities;
2. Advise the Contractor and the City in writing that the Interference Agreement negotiated pursuant to Paragraph (6), above, provides for the scope of work encountered, specifying the exact unit items and/or terms of the agreement that cover the work;
3. Advise the Contractor and the City in writing that it intends to perform the necessary utility Work with company forces or with its own contractor including, but not limited to, relocating its facility out of the way of the proposed City work. In this case, the Company shall provide a written schedule for the performance of the utility work it proposes to perform, which shall be subject to approval by the City based on its impact to the Contractor's currently approved progress schedule. Upon approval of the Company's schedule by the City, the Contractor shall provide access to the worksite to the Company and/or any contractors hired by it to perform this utility work. If necessary, the City may

grant a contract time extension for delays caused by the performance of such utility work by the company.

4. Reasonably specify in writing the scope of work to be performed by the Contractor on behalf of the Company that is not covered under the Interference Agreement negotiated pursuant to Paragraph (6), including, but not limited to, relocating, supporting, and/or protecting the Company's facilities, and/or shifting the City facility if approved by the Resident Engineer, and/or otherwise changing its operations to work in the presence of the Company's facilities. Should the Company elect this option, it must adequately define and provide an initial price offer for the work required to be performed.

**8. *Means and Methods for utility work:***

Upon receipt of the Company's determination pursuant to paragraphs 7.2, or 7.4, above, the Contractor shall determine reasonable means and methods of performing the work defined by the Company. These means and methods are subject to approval of the Company, which shall not be unreasonably withheld. If, however, the Company objects to the Contractor's proposed means and methods then it shall define an alternate method of construction. Upon receipt of the Company's approval or its proposed alternate method of construction, the Contractor shall commence performance of the work defined by the Company as soon as possible, and shall perform the work in a good, workmanlike, and efficient manner, using the means and methods approved by the Company, in order to permit the City work to proceed in the most expeditious manner possible, but without imposing unreasonable and/or unnecessary costs on the Company. It is expressly understood by all parties that the City's rights pursuant to Article 4 of the Contract apply to Utility Work performed pursuant to this section.

**9. *Disputed utility work covered by a utility agreement:***

The City Work will continue as described in paragraph 6 above. In the event of any dispute between the Company (ies) and the Contractor regarding any issue related to the performance of, or payment for, utility work, including, but not limited to, any indirect or impact costs incurred by the Contractor due to the Utility Work and/or to the existence of facilities owned or operated by the Company (ies) on the line of the work. The Company (ies) and the Contractor hereby agree to submit to each other a "Final Offer," in writing, by certified mail. Each party shall then have three business days to consider each other's Final Offer. In the event that neither party accepts the other's Final Offer within those three days, the Company (ies) and the Contractor agree to immediately submit the dispute to binding arbitration as described in Paragraph 10. During the pendency of any arbitration, the Company (ies) and the Contractor

shall maintain separate records of the actual quantity and cost of labor, materials, and equipment expended, and to provide copies of this information to the other party on a daily basis for reconciliation. Any and all disagreement with the records maintained and provided by the other, must be documented in writing to all parties. However, these records are solely for the benefit of presentation to the arbitrator, whose decision may not necessarily be based on these records and in any event is final. Both parties should be aware that the City will not confirm or deny the accuracy of any records maintained by either party for Utility work performed pursuant to a Utility Agreement. While the arbitration is pending, the Company shall pay the Contractor on a monthly basis, based on the price offered by the Company to the Contractor for the performance of the work.

**10. Arbitration of utility work:**

The arbitration of the issues described above shall be conducted pursuant to the Construction Industry Arbitration Rules of the American Arbitration Association (hereinafter "the Rules" and "AAA") in effect on the date the arbitration is initiated except as set forth herein. The arbitration award shall be final and binding upon the parties to the arbitration and judgment upon the award may be entered in a court having jurisdiction.

- (a) Once an arbitrator(s) has been appointed by the AAA, the arbitration shall be scheduled as promptly as possible given the arbitrator(s) and the parties' schedules.
- (b) No later than seven days prior to the first arbitration hearing, Company and Contractor shall submit to the arbitrator(s), and to each other, a summary of each party's respective position and such other information as is deemed appropriate, along with a copy of each party's Final Offer as specified in paragraph 9.
- (c) The arbitration shall be conducted and concluded in two days.
- (d) On the morning of the first day of the arbitration, Contractor and/or representatives shall have 3 ½ hrs to make a presentation of its claim to the arbitrator. During its presentation, Contractor shall not be permitted to produce any documents or cost records which have not already been provided to the Company. Contractor shall be permitted to produce any analysis or description of its claim which has been prepared for the purpose of its presentation.
- (e) After lunch, Company and/or its representatives shall have two hours to ask Contractor questions about its claim and its presentation. Thereafter the arbitrator(s) shall have two hours to ask Contractor questions about its claim and its presentation.

- (f) On the morning of the second day of the arbitration, Company and/or its representatives shall have 3 ½ hours to make a presentation of its claim to the arbitrator. During its presentation, the Company shall not be permitted to produce any documents or cost records which have not already been provided to the Contractor. The Company shall be permitted to produce any analysis or description of its claim which has been prepared for the purpose of its presentation.
- (g) After lunch, Contractor and/or its representatives shall have two hours to ask Company questions about its claim and its presentation. Thereafter the arbitrator(s) shall have two hours to ask Company questions about its claim and its presentation.
- (h) Subject to the above time limitations, the arbitrator(s) may conduct the arbitration in such manner as the arbitrator(s) deems reasonable.
- (i) The arbitrator(s) shall then have one week to select in writing, as the arbitrator ('s) award, that party's Final Offer which appears to be more reasonable, based on the presentations at the arbitration hearings.
- (j) The arbitrator shall have no discretion to grant an award other than one of the two Final Offers submitted by the parties.
- (k) Any award for work that has already been performed shall be paid on the 7<sup>th</sup> day after receipt of the arbitrator's decision, or on the 30<sup>th</sup> day after completion of the work, whichever is later. Payment for work not yet completed at the time of the arbitrator's decision shall be paid within 30 days of completion of work. Interest shall accrue from the date payment is due at the rate of 9% per annum. Either party may cause judgment to be entered in accordance with the arbitrator(s) decision in a court in the State of New York, County of New York.
- (l) The arbitrator's fees and any other costs of the arbitration shall be initially shared equally by Company and Contractor. The non-prevailing party shall then pay all arbitrator's fees and costs of the arbitration and shall reimburse the prevailing party for its share of such fees and costs theretofore paid.
- (m) The parties may, at any time, settle any matter submitted to arbitration.

**11. Order-out waiver:**

The Contractor and all subcontractors hired by it, if an Interference Agreement is executed as specified between the concerned parties, agree to waive any rights they may have, if any, under law, contract or otherwise to compel the City to assert any right the City may have, including the issuance of any directives required under the New York City Administrative Code, Section 19-143 and Section 24-521, to require any or all of the Companies to maintain, repair, replace, protect, support, shift, alter, relocate, and/or remove utility facilities in connection with the work to be performed under this contract. However, nothing in this section shall preclude the City from exercising its rights under the Law to issue such a directive to the Company.

**12. Cost of insurance:**

Each of the named Companies, at their option and if an Interference Agreement is executed as specified between the concerned parties, may be named as an additional insured on all insurance policies required to be maintained under this contract. In the event that a Company opts to be so named as an additional insured, the actual incremental cost, if any, to the Contractor of providing such insurance coverage shall be borne by that Company. The Contractor shall provide a written statement from its insurance provider documenting the actual cost of this added coverage to the Company. Under no circumstances shall the cost of insurance coverage on behalf of any Company be borne by the City. Nothing in this paragraph shall be interpreted to imply the City's acceptance of any additional responsibility or liability for any matter related to the performance of Utility Work. In particular, the Company and the Contractor bear joint and full responsibility to ensure that any Utility Work performed by the Contractor is in compliance with all applicable government and Company regulations.

**13. Cost of utility interference work:**

The Companies, by virtue of a prior agreement with the City, have agreed to perform their obligations described in this section. It is expressly understood that the cost of Utility Work shall not be a charge against the City, but shall be a matter for adjustment between the Contractor and the Company or Companies concerned. The City and the Contractor agree that the Companies are third party beneficiaries of this section of the contract, if a Utility Agreement is executed between the contractor and utility company (ies). The provisions of this section shall govern in all cases where Company property interferes with or is about to be disturbed by the City work, notwithstanding any other provision of the Contract, except for Natural Gas transmission/distribution facilities covered subject to the Gas Facility Cost Allocation Act (GFCAA) and covered separately in this contract.

**14. Default declaration:**

The Contractor agrees that the provisions of this section are material provisions of the contract, and that the Contractor's failure to comply with the procedures set forth above are sufficient for the Commissioner to declare the Contractor in default pursuant to Article 48 of the Contract.

**15. NYS Labor Law:**

The Contractor is hereby advised that New York State Labor Law applies to public work. The work described in this Section U of the contract performed by utility company (ies) with their own forces or vendors hired by such company (ies) is not public work.

**16. Facility operators:**

The insurance requirements in Paragraph 12 of this Section U apply to: (i) additional Companies, if any, who were not named in Schedule "A" but which have executed a Utility Agreement with the contractor for utility work; and (ii) additional coverage, if any, paid for by Utility Companies whose utility facilities are located within the project limits, that they may require for the utility work pursuant to an utility agreement between the contractor and such utility companies.

[End]

"STANDARD UTILITY LETTER OF AGREEMENT"

(Name)

Deputy Commissioner, Infrastructure Division  
Department of Design and Construction  
30-30 Thomson Avenue  
Long Island City, NY 11101

RE: City Work Performed in the Presence of Private Utility Facilities  
Project No: \_\_\_\_\_

Dear (Name):

This letter is to certify that \_\_\_\_\_, has requested the inclusion of the attached "Section U: Additional contract requirements applying to work performed in the presence of privately owned utility." The company agrees to abide by the terms of this Section U and to submit a schedule listing the scope of work, including the items and estimated quantities, and types of utility facilities to be supported and protected at the company's own expenses due to interferences with the Public work.

Sincerely,

\_\_\_\_\_  
By: Authorized Company Representative

\_\_\_\_\_  
Title

NOTARY PUBLIC

CERTIFIED AS TO FORM  
AND LEGAL AUTHORITY:

By: \_\_\_\_\_



SCHEDULE U-1

SCHEDULE U-1

LISTING OF COMPANIES NAMED FOR THIS CONTRACT

| <u>COMPANY NAME</u> | <u>CONTACT NAME</u> | <u>CONTACT TELEPHONE</u> |
|---------------------|---------------------|--------------------------|
| CON EDISON          | ROBERTO MATA        | 718-275-6763             |
| VERIZON             | JOHN CURLEY         | 718-977-8135             |
| TIME WARNER         | JOHN PIAZZA         | 718-888-4261             |

**SCHEDULE U-2  
FOR INFORMATION ONLY  
ENGINEER'S ESTIMATE OF QUANTITY AND TYPES OF INTERFERENCE  
FOR CONSOLIDATED EDISON**

**SE-809**

Whitestone Expressway East Service Rd between college point blvd & 25th Ave

| CET ITEM  | DESCRIPTION   | UNITS | ESTIMATED QUANTITY |
|-----------|---|-------|--------------------|
| CET 100.1 | UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECT. AND/OR TESTPIT (TYPE .1) | EA    | 10                 |
| CET 100.2 | UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECT. AND/OR TESTPIT (TYPE .2) | EA    | 9                  |
| CET 100.3 | UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECT. AND/OR TESTPIT (TYPE .3) | EA    | 2                  |
| CET 100.5 | UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECT. AND/OR TESTPIT (TYPE .5) | EA    | 1                  |
| CET 101.1 | UTILITIES CROSSING TRENCH FOR SEWERS UP TO AND INCL. 24" DIAMETER (TYPE .1)       | EA    | 19                 |
| CET 101.2 | UTILITIES CROSSING TRENCH FOR SEWERS UP TO AND INCL. 24" DIAMETER (TYPE .2)       | EA    | 3                  |
| CET 101.3 | UTILITIES CROSSING TRENCH FOR SEWERS UP TO AND INCL. 24" DIAMETER (TYPE .3)       | EA    | 1                  |
| CET 106.1 | UTILITIES CROSSING TRENCH FOR SEWERS OVER 60" TO 72" DIAMETER (TYPE .1)           | EA    | 2                  |
| CET 106.2 | UTILITIES CROSSING TRENCH FOR SEWERS OVER 60" TO 72" DIAMETER (TYPE .2)           | EA    | 1                  |
| CET 107.1 | UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .1)           | EA    | 9                  |
| CET 107.2 | UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .2)           | EA    | 4                  |
| CET 107.3 | UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .3)           | EA    | 1                  |
| CET 107.5 | UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .5)           | EA    | 1                  |
| CET 108.1 | UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL. 12" DIAMETER (TYPE .1)    | EA    | 2                  |
| CET 108.2 | UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL. 12" DIAMETER (TYPE .2)    | EA    | 1                  |
| CET 109.1 | UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" (TYPE .1)          | EA    | 11                 |
| CET 109.2 | UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" (TYPE .2)          | EA    | 2                  |

SCHEDULE U-2  
FOR INFORMATION ONLY  
ENGINEER'S ESTIMATE OF QUANTITY AND TYPES OF INTERFERENCE  
FOR CONSOLIDATED EDISON

SE-809

Whitestone Expressway East Service Rd between college point blvd & 25th Ave

| CET ITEM     | DESCRIPTION  | UNITS | ESTIMATED QUANTITY |
|--------------|--|-------|--------------------|
| CET 109.3    | UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24"<br>(TYPE 3)   | EA    | 2                  |
| CET 200.1    | EXTRA DEPTH EXCAVATION OF CATCH BASIN CHUTE CONNECTIONSS<br>(INVERT DEPTH 4'-6" TO 5' FOR TYPE 2 OR UP TO 5'-6 FOR TYPE 3)           | L.F.  | 42                 |
| CET 225.1A   | INSTALLATION AND REMOVAL OF CATCH BASINS WITH UTILITY<br>INTERFERENCES   | EA    | 3                  |
| CET 225.1B   | INSTALLATION OF CATCH BASINS WITH UTILITY INTERFERENCES  | EA    | 5                  |
| CET 300      | SPECIAL CARE EXCAVATION AND BACKFILING   | C.Y.  | 81                 |
| CET 304 A    | FURNISH, DELIVER AND INSTALL CONCRETE ROAD BASE  | C.Y.  | 11                 |
| CET 305      | FURNISH AND INSTALL ASPHALT PAVING MIXTURE   | TONS  | 12                 |
| CET 330E-A.1 | SUPPORT & PROTECT ELEC, GAS & STEAM FAC. DURING EXCAVATION OF<br>CITY TRENCH WHEN FAC. LIE W/IN TRENCH LIMITS W/O SHEETING (TYPE .1) | L.F.  | 7                  |
| CET 330E-A.2 | SUPPORT & PROTECT ELEC, GAS & STEAM FAC. DURING EXCAVATION OF<br>CITY TRENCH WHEN FAC. LIE W/IN TRENCH LIMITS W/O SHEETING(TYPE .2)  | L.F.  | 33                 |
| CET 330E-A.3 | SUPPORT & PROTECT ELEC, GAS & STEAM FAC. DURING EXCAVATION OF<br>CITY TRENCH WHEN FAC. LIE W/IN TRENCH LIMITS W/O SHEETING (TYPE .3) | L.F.  | 10                 |
| CET 330E-B.2 | SUPPORT & PROTECT ELEC, GAS & STEAM FAC. DURING EXCAVATION OF<br>CITY TRENCH WHEN FAC. LIE W/IN TRENCH LIMITS W/ SHEETING (TYPE .2)  | L.F.  | 122                |
| CET 330E-B.3 | SUPPORT & PROTECT ELEC, GAS & STEAM FAC. DURING EXCAVATION OF<br>CITY TRENCH WHEN FAC. LIE W/IN TRENCH LIMITS W/ SHEETING (TYPE .3)  | L.F.  | 120                |
| CET 350      | OVERHEAD ACCOMMODATION, PROTECTION OF OVERHEAD FACILITIES AND<br>APPURTENANCES   | L.S.  | 1                  |
| CET 351      | INSTALL AND REMOVE "A" FRAME ON UTILITY POLES  | EA    | 2                  |
| CET 353E     | SPECIAL CARE OPERATION - TREE PRUNING  | EA    | 1                  |
| CET 400      | TEST PITS FOR UTILITY FACILITIES   | C.Y.  | 20                 |
| CET 401      | TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITIES  | C.Y.  | 55                 |

**SCHEDULE U-2  
FOR INFORMATION ONLY  
ENGINEER'S ESTIMATE OF QUANTITY AND TYPES OF INTERFERENCE  
FOR CONSOLIDATED EDISON**

**SE-809**

Whitestone Expressway East Service Rd between college point blvd & 25th Ave

| CET ITEM   | DESCRIPTION  | UNITS | ESTIMATED QUANTITY |
|------------|--|-------|--------------------|
| CET 402.1  | EXISTING OCCUPIED CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION W/O CONCRETE ENCASEMENT     | L.F.  | 80                 |
| CET 402.2  | EXISTING OCCUPIED NON-CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION W/O CONCRETE ENCASEMENT | L.F.  | 480                |
| CET 403    | PLACING STEEL PROTECTION PLATES FOR UTILITY FACILITIES   | S.F.  | 3                  |
| CET 500    | REMOVAL OF ABANDONED UTILITY CONDUITS (NON-CONCRETE ENCASED)                                     | L.F.  | 6,235              |
| CET 501    | REMOVAL OF ABANDONED MASONRY FOR ELEC. AND TEL. FACILITIES                                       | C.Y.  | 160                |
| CET 603E.2 | INSTALL UTILITY CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT                       | L.F.  | 15                 |
| CET 1008V  | 8" VERTICAL OR ROLLED WATER MAIN OFFSET  | EA    | 1                  |
| CET 1012V  | 12" VERTICAL OR ROLLED WATER MAIN OFFSET   | EA    | 1                  |
| CET 1020V  | 20" VERTICAL OR ROLLED WATER MAIN OFFSET   | EA    | 2                  |

CON EDISON SCOPE OF WORK  
SUPPORT AND PROTECTION

SE-809

Whitestone Expressway East Service Rd between college point blvd & 25th Ave

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CET 100.1 UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECT. AND/OR TESTPIT (TYPE 1) EA

*At the following locations:*

S/E/C Whitestone Expressway East Service Rd & 141 St  
N/E/C 25th Rd & Whitestone Expressway East Service Rd  
S/E/C 25th Rd & Whitestone Expressway East Service Rd  
25th Rd F/O 141-17  
25th Ave F/O 141-10  
Whitestone Expressway East Service Rd 120' North Of 26th Ave  
Whitestone Expressway East Service Rd F/O 25-15  
Whitestone Expressway East Service Rd F/O 25-11  
144th St Opp 23-22  
Higgins St 30' East Of Whitestone Expressway East Service Road

**Total quantity for CET 100.1 = 10**

CET 100.2 UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECT. AND/OR TESTPIT (TYPE 2) EA

*At the following locations:*

NW/C Whitestone Expressway East Service Rd & 32nd Ave  
Intersection Of Whitestone Expressway East Service Rd & Linden St  
S/E/C Whitestone Expressway East Service Rd & 25th Rd  
25th Rd F/O 141-16  
Whitestone Expressway East Service Rd F/O 25-15  
Willets Point Blvd 18' South Of 144th St  
Intersection Of Willets Point Blvd & 144th St  
Intersection Of Willets Point Blvd & Parsons Blvd  
Higgins St 32' East Of Whitestone Expressway East Service Road

**Total quantity for CET 100.2 = 9**

CET 100.3 UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECT. AND/OR TESTPIT (TYPE 3) EA

*At the following locations:*

S/E/C Whitestone Expressway East Service Rd & 26th Ave  
Whitestone Expressway East Service Rd F/O 25-11

**Total quantity for CET 100.3 = 2**

CON EDISON SCOPE OF WORK  
SUPPORT AND PROTECTION

SE-809

Whitestone Expressway East Service Rd between college point blvd & 25th Ave

CET 100.5 UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECT. AND/OR TESTPIT (TYPE .5) EA

*At the following locations:*

N/E/C Whitestone Expressway East Service Rd & 32nd Ave

Total quantity for CET 100.5 = 1

CET 101.1 UTILITIES CROSSING TRENCH FOR SEWERS UP TO AND INCL. 24" DIAMETER (TYPE .1) EA

*At the following locations:*

25th Rd 35' East Of Whitestone Expressway East Service Rd

25th Rd F/O 141-10

25th Rd F/O 141-16

25th Rd F/O 141-16

Whitestone Expressway East Service Rd 24' North Of 26th Ave

Whitestone Expressway East Service Rd 15' North Of 26th Ave

Whitestone Expressway East Service Rd 115' North Of 26th Ave

Whitestone Expressway East Service Rd 110' North Of 26th Ave

Whitestone Expressway East Service Rd F/O 25-15

Whitestone Expressway East Service Rd F/O 25-15

Whitestone Expressway East Service Rd F/O 25-15

Whitestone Expressway East Service Rd F/O 25-15

Whitestone Expressway East Service Rd F/O 25-15

Whitestone Expressway East Service Rd F/O 25-15

144th St F/O 23-50

144th St F/O 23-50

College Point Blvd Btwn East & West Whitestone Expressway Service Rd

Higgins St & Whitestone Expressway East Service Rd

N/E/C 25th Ave & Whitestone Expressway East Service Rd

N/E/C 25th Ave & Whitestone Expressway East Service Rd

Total quantity for CET 101.1 = 19

CET 101.2 UTILITIES CROSSING TRENCH FOR SEWERS UP TO AND INCL. 24" DIAMETER (TYPE .2) EA

*At the following locations:*

Intersection Of Whitestone Expressway East Service Rd & 25th Rd

Intersection Of Willets Point Blvd & 144th St

Intersection Of Willets Point Blvd & Parsons Blvd

Total quantity for CET 101.2 = 3

CON EDISON SCOPE OF WORK  
SUPPORT AND PROTECTION

SE-809

Whitestone Expressway East Service Rd between college point blvd & 25th Ave

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CET 101.3 UTILITIES CROSSING TRENCH FOR SEWERS UP TO AND INCL. 24" DIAMETER (TYPE .3) EA

*At the following locations:*

Intersection Of Whitestone Expressway East Service Rd & Farrington St

**Total quantity for CET 101.3 = 1**

CET 106.1 UTILITIES CROSSING TRENCH FOR SEWERS OVER 60" TO 72" DIAMETER (TYPE .1) EA

*At the following locations:*

25th Ave F/O 141-17

25th Ave F/O 141-17

**Total quantity for CET 106.1 = 2**

CET 106.2 UTILITIES CROSSING TRENCH FOR SEWERS OVER 60" TO 72" DIAMETER (TYPE .2) EA

*At the following locations:*

Intersection Of Whitestone Expressway East Service Rd & 25th Rd

**Total quantity for CET 106.2 = 1**

CET 107.1 UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .1) EA

*At the following locations:*

College Point Blvd Btwn East & West Whitestone Expressway Service Rd

Whitestone Expressway East Service Rd 118' South Of Higgins St

Whitestone Expressway East Service Rd 104' South Of Downing St

Whitestone Expressway East Service Rd 116' North Of Downing St

Whitestone Expressway East Service Rd 134' North Of 141 St

Whitestone Expressway East Service Rd 228' South Of 25th Rd

Whitestone Expressway East Service Rd 109' South Of 25th Rd

Intersection Of Whitestone Expressway East Service Rd & 25th Rd

Whitestone Expressway East Service Rd F/O 25-15

**Total quantity for CET 107.1 = 9**

CON EDISON SCOPE OF WORK  
SUPPORT AND PROTECTION

SE-809

Whitestone Expressway East Service Rd between college point blvd & 25th Ave

- 
- CET 107.2** UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .2) EA
- At the following locations:*
- College Point Blvd 45' East Of Whitestone Expressway West Service Road
  - Whitestone Expressway East Service Rd 90' South Of Higgins St
  - Intersection Of Whitestone Expressway East Service Rd & Linden St
  - Intersection Of Whitestone Expressway East Service Rd & 25th Rd
- Total quantity for CET 107.2 = 4**
- CET 107.3** UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .3) EA
- At the following locations:*
- Whitestone Expressway East Service Rd & Stratton St
- Total quantity for CET 107.3 = 1**
- CET 107.5** UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .5) EA
- At the following locations:*
- Whitestone Expressway East Service Rd & Farrington St
- Total quantity for CET 107.5 = 1**
- CET 108.1** UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL. 12" DIAMETER (TYPE .1) EA
- At the following locations:*
- N/E/C 25th Ave & Whitestone Expressway East Service Rd
  - N/E/C 25th Ave & Whitestone Expressway East Service Rd
- Total quantity for CET 108.1 = 2**
- CET 108.2** UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL. 12" DIAMETER (TYPE .2) EA
- At the following locations:*
- Intersection Of Willets Point Blvd & 144th St
- Total quantity for CET 108.2 = 1**
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CON EDISON SCOPE OF WORK  
SUPPORT AND PROTECTION

SE-809

Whitestone Expressway East Service Rd between college point blvd & 25th Ave

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CET 109.1 UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" (TYPE .1) EA

*At the following locations:*

College Point Blvd Btwn East & West Whitestone Expressway Service Rd

College Point Blvd Btwn East & West Whitestone Expressway Service Rd

Whitestone Expressway East Service Rd 25' South Of Higgins St

Higgins St 6' East Of Whitestone Expressway East Service Road

N/W/C Whitestone Expressway East Service Rd & 26th Ave

Intersection Of Whitestone Expressway East Service Rd & 26th Ave

Intersection Of Whitestone Expressway East Service Rd & 26th Ave

Whitestone Expressway East Service Rd 110' North Of 26th Ave

Whitestone Expressway East Service Rd 130' North Of 26th Ave

Whitestone Expressway East Service Rd F/O 25-15

Whitestone Expressway East Service Rd F/O 25-15

**Total quantity for CET 109.1 = 11**

CET 109.2 UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" (TYPE .2) EA

*At the following locations:*

Whitestone Expressway East Service Rd 62' South Of Higgins St

Whitestone Expressway East Service Rd 50' North Of 26th Ave

**Total quantity for CET 109.2 = 2**

CET 109.3 UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" (TYPE .3) EA

*At the following locations:*

Intersection Of Whitestone Expressway East Service Rd & 26th Ave

N/W/C Whitestone Expressway East Service Rd & 26th Ave

**Total quantity for CET 109.3 = 2**

CET 200.1 EXTRA DEPTH EXCAVATION OF CATCH BASIN CHUTE CONNECTIONS (INVERT DEPTH 4'-6" TO 5' FOR TYPE 2 OR UP TO 5'-6 FOR TYPE 3) L.F.

*At the following locations:*

Whitestone Expressway East Service Rd F/O 25-11

**Total quantity for CET 200.1 = 42**

CON EDISON SCOPE OF WORK  
SUPPORT AND PROTECTION

SE-809

Whitestone Expressway East Service Rd between college point blvd & 25th Ave

CET 225.1A INSTALLATION AND REMOVAL OF CATCH BASINS WITH UTILITY INTERFERENCES

EA

*At the following locations:*

S/E/C 25th Rd & Whitestone Expressway East Service Rd  
Willets Point Blvd 18' South Of 144th St  
N/E/C Whitestone Expressway East Service Rd & 32nd Ave

Total quantity for CET 225.1A = 3

CET 225.1B INSTALLATION OF CATCH BASINS WITH UTILITY INTERFERENCES

EA

*At the following locations:*

S/E/C Whitestone Expressway East Service Rd & 25th Rd  
25th Rd F/O 141-16  
25th Ave F/O 141-10  
Whitestone Expressway East Service Rd 80' South Of Higgins St  
25th Road F/O #141.17

Total quantity for CET 225.1B = 5

CET 300 SPECIAL CARE EXCAVATION AND BACKFILING

CJ

*At the following locations:*

College Point Blvd 40' East Of Whitestone Expressway West Service Road  
College Point Blvd 140' East Of Whitestone Expressway West Service Rd  
College Point Blvd 120' East Of Whitestone Expressway West Service Rd  
Whitestone Expressway East Service From Higgins St To Ulmer St  
Whitestone Expressway East Service Rd 100' South Of Farrington St  
Whitestone Expressway East Service Rd & Stratton St  
Whitestone Expressway East Service Rd 30' South Of 26th Ave.  
Intersection Of Whitestone Expressway East Service Rd & 26th Ave  
Whitestone Expressway East Service Rd F/O 25-15  
Willets Point Blvd 30' South Of 144th St  
Union St 100' South Of 25th Rd

Total quantity for CET 300 = 81

CON EDISON SCOPE OF WORK  
SUPPORT AND PROTECTION

SE-809

Whitestone Expressway East Service Rd between college point blvd & 25th Ave

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CET 304 A FURNISH, DELIVER AND INSTALL CONCRETE ROAD BASE C.Y.

*At the following locations:*

Intersection Of Willets Point Blvd & Parsons Blvd  
144th St Opp 23-22  
N/E/C Higgins St & Whitestone Expressway East Service Rd  
S/E/C-Whitestone Expressway East Service Rd & 25th Ave

Total quantity for CET 304 A = 11

CET 305 FURNISH AND INSTALL ASPHALT PAVING MIXTURE TONS

*At the following locations:*

Intersection Of Willets Point Blvd & Parsons Blvd  
144th St Opp 23-22  
N/E/C Higgins St & Whitestone Expressway East Service Rd  
S/E/C Whitestone Expressway East Service Rd & 25th Ave

Total quantity for CET 305 = 12

CET 330E-A.1 SUPPORT & PROTECT ELEC, GAS & STEAM FAC. DURING EXCAVATION OF CITY TRENCH WHEN FAC. LIE W/IN TRENCH LIMITS W/O SHEETING (TYPE .1) L.F.

*At the following locations:*

N/E/C 25th Ave & Whitestone Expressway East Service Rd

Total quantity for CET 330E-A.1 = 7

CET 330E-A.2 SUPPORT & PROTECT ELEC, GAS & STEAM FAC. DURING EXCAVATION OF CITY TRENCH WHEN FAC. LIE W/IN TRENCH LIMITS W/O SHEETING(TYPE-2) L.F.

*At the following locations:*

Whitestone Expressway East Service Rd 62' South Of Higgins St  
Willets Point Blvd 60' South Of 144th St.

Total quantity for CET 330E-A.2 = 33

CET 330E-A.3 SUPPORT & PROTECT ELEC, GAS & STEAM FAC. DURING EXCAVATION OF CITY TRENCH WHEN FAC. LIE W/IN TRENCH LIMITS W/O SHEETING (TYPE .3) L.F.

*At the following locations:*

Whitestone Expressway East Service Rd F/O 25-11

Total quantity for CET 330E-A.3 = 10

CON EDISON SCOPE OF WORK  
SUPPORT AND PROTECTION

SE-809

Whitestone Expressway East Service Rd between college point blvd & 25th Ave

CET 330E-B.2 SUPPORT & PROTECT ELEC, GAS & STEAM FAC. DURING EXCAVATION OF CITY TRENCH WHEN FAC. LIE W/IN TRENCH LIMITS W/ SHEETING (TYPE .2) L.F.

*At the following locations:*

College Point Blvd 30' East Of Whitestone Expressway West Service Road

College Point Blvd Btwn East & West Whitestone Expressway Service Rd

Intersection Of Union St & 25th Rd

Total quantity for CET 330E-B.2 = 122

CET 330E-B.3 SUPPORT & PROTECT ELEC, GAS & STEAM FAC. DURING EXCAVATION OF CITY TRENCH WHEN FAC. LIE W/IN TRENCH LIMITS W/ SHEETING (TYPE 3) L.F.

*At the following locations:*

Whitestone Expressway East Service Rd & Stratton St

Whitestone Expressway East Service Rd & Stratton St

Whitestone Expressway East Service Rd & Farrington St

Total quantity for CET 330E-B.3 = 120

CET 350 OVERHEAD ACCOMMODATION, PROTECTION OF OVERHEAD FACILITIES AND APPURTENANCES L.F.

*At the following locations:*

AS SHOWN ON CONTRACT DOCUMENTS

Total quantity for CET 350 = 1

CET 351 INSTALL AND REMOVE "A" FRAME ON UTILITY POLES EA

*At the following locations:*

25th Ave F/O 141-17

25th Ave F/O 141-45

Total quantity for CET 351 = 2

CET 353E SPECIAL CARE OPERATION - TREE PRUNING EA

*At the following locations:*

25th Ave F/O 141-37

Total quantity for CET 353E = 1

CON EDISON SCOPE OF WORK  
SUPPORT AND PROTECTION

SE-809

Whitestone Expressway East Service Rd between college point blvd & 25th Ave

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**CET 400**      **TEST PITS FOR UTILITY FACILITIES**      **C.Y.**  
*At the following locations:*  
    Various Locations  
**Total quantity for CET 400**      =      **20**

**CET 401**      **TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITIES**      **C.Y.**  
*At the following locations:*  
    Intersection Of Willets Point Blvd & Parsons Blvd  
    144th St Opp 23-22  
    N/E/C Higgins St & Whitestone Expressway East Service Rd  
    S/E/C Whitestone Expressway East Service Rd & 25th Ave  
    AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE  
**Total quantity for CET 401**      =      **55**

**CET 402.1**      **EXISTING OCCUPIED CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION W/O CONCRETE ENCASEMENT**      **L.F.**  
*At the following locations:*  
    N/E/C Higgins St & Whitestone Expressway East Service Rd  
    AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE  
**Total quantity for CET 402.1**      =      **80**

**CET 402.2**      **EXISTING OCCUPIED NON-CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION W/O CONCRETE ENCASEMENT**      **L.F.**  
*At the following locations:*  
    Intersection Of Willets Point Blvd & Parsons Blvd  
    144th St Opp 23-22  
    S/E/C Whitestone Expressway East Service Rd & 25th Ave  
    AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE  
**Total quantity for CET 402.2**      =      **480**

**CET 403**      **PLACING STEEL PROTECTION PLATES FOR UTILITY FACILITIES**      **S.F.**  
*At the following locations:*  
    Various Locations  
    AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE  
**Total quantity for CET 403**      =      **3**

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CON EDISON SCOPE OF WORK  
SUPPORT AND PROTECTION

SE-809

Whitestone Expressway East Service Rd between college point blvd & 25th Ave

CET 500

REMOVAL OF ABANDONED UTILITY CONDUITS (NON-CONCRETE ENCASED)

L.F.

*At the following locations:*

- Whitestone Expressway East Service Rd & Higgins St
  - Intersection Whitestone Expressway East Service Rd & Higgins St
  - Whitestone Expressway East Service Rd & Higgins St
  - Whitestone Expressway East Service Rd & Higgins St
  - Intersection Of Whitestone Expressway East Service Rd & Farrington St
  - Whitestone Expressway East Service Rd Between 137 St & 28th Rd
  - Whitestone Expressway East Service Rd Between 137 St & 28th Rd
  - Whitestone Expressway East Service Rd Between 137 St & 28th Rd
  - Whitestone Expressway East Service Rd Between 137 St & 28th Rd
  - Intersection Of Whitestone Expressway East Service Rd & 28th Rd
  - Intersection Of Whitestone Expressway East Service Rd & 141 St
  - Whitestone Expressway East Service Rd From 141 St To Manhole M-22375a
  - Intersection Of Union St & 25th Rd
  - Intersection Of Union St & 25th Rd
  - Willets Point Blvd Between 143rd St & 25th Ave
  - 143rd St Bwtween Willets Point Blvd & 25th Ave
  - Willets Point Blvd Between 143rd St & 25th Ave
  - Intersection Of Whitestone Expressway East Service Rd & Higgins St
  - Whitestone Expressway East Service Rd By Stratton St
  - Whitestone Expressway East Service Rd & Stratton St
- AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE

Total quantity for CET 500 = 6,235

CON EDISON SCOPE OF WORK  
SUPPORT AND PROTECTION

SE-809

Whitestone Expressway East Service Rd between college point blvd & 25th Ave

CET 501                      REMOVAL OF ABANDONED MASONRY FOR ELEC. AND TEL. FACILITIES                      C.Y.

*At the following locations:*

Intersection Of Whitestone Expressway East Service Rd & Higgins St  
Whitestone Expressway East Service Rd Between 137 St & 28th Rd  
#38974  
Whitestone Expressway East Service Rd Between 137 St & 28th Rd M-  
13648  
S/E/C Whitestone Expressway East Service Rd & 28th Rd #56529  
Whitestone Expressway East Service Rd 134' North Of 141 St M-22375  
Intersection Of Union St & 25th Rd #55852  
143rd St F/O 25-07  
Willetts Point Blvd Between 143rd St & 25th Ave #64345  
Willetts Point Blvd F/O 143-21 M-10188  
Whitestone Expressway East Service Rd 90' South Of Stratton St  
Intersection Whitestone Expressway East Service Rd & Stratton St  
Whitestone Expressway East Service Rd 30' North Of Stratton St  
Whitestone Expressway East Service Rd 65' South Of Stratton St  
AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE

**Total quantity for CET 501                      =    160**

CET 603E.2                      INSTALL UTILITY CONDUITS PLACED IN FINAL POSITION WITH CONCRETE ENCASEMENT                      L.F.

*At the following locations:*

Intersection Of Whitestone Expressway East Service Rd & Farrington  
St  
AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE

**Total quantity for CET 603E.2                      =    15**

CET 1008V                      8" VERTICAL OR ROLLED WATER MAIN OFFSET                      EA

*At the following locations:*

Various Locations  
AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE

**Total quantity for CET 1008V                      =    1**

CON EDISON SCOPE OF WORK  
SUPPORT AND PROTECTION

SE-809

Whitestone Expressway East Service Rd between college point blvd & 25th Ave

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CET 1012V      12" VERTICAL OR ROLLED WATER MAIN OFFSET      EA

*At the following locations:*

Various Locations

AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE

**Total quantity for CET 1012V      =      1**

CET 1020V      20" VERTICAL OR ROLLED WATER MAIN OFFSET      EA

*At the following locations:*

N/W/C Whitestone Expressway East Service Rd & 26th Ave

Whitestone Expressway East Service Rd 50' North Of 26th Ave

AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE

**Total quantity for CET 1020V      =      2**



**SCHEDULE U-2**  
**FOR INFORMATION ONLY**  
**ENGINEER'S ESTIMATE OF QUANTITY AND TYPES OF INTERFERENCE**  
**FOR EMPIRE CITY SUBWAY CO., LTD.**  
**SE 809**  
**CONSTRUCTION OF STORM SEWER AND WATER MAIN**  
**BOROUGH OF QUEENS**

| CET ITEM<br>NUMBER | DESCRIPTION  | UNITS | ESTIMATED<br>QUANTITY |
|--------------------|--|-------|-----------------------|
| CET 100.1          | UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECT. AND/OR TEST PIT (TYPE .1)   | EA.   | 3                     |
| CET 100.2          | UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECT. AND/OR TEST PIT (TYPE .2)   | EA.   | 5                     |
| CET 101.1          | UTILITIES CROSSING TRENCH FOR SEWERS OVER 12" TO 24" DIAMETER (TYPE .1)  | EA.   | 4                     |
| CET 101.2          | UTILITIES CROSSING TRENCH FOR SEWERS OVER 12" TO 24" DIAMETER (TYPE .2)  | EA.   | 4                     |
| CET 106.1          | UTILITIES CROSSING TRENCH FOR SEWERS OVER 60" TO 72" DIAMETER (TYPE .1)  | EA.   | 1                     |
| CET 107.1          | UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .1)  | EA.   | 2                     |
| CET 107.2          | UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .2)  | EA.   | 2                     |
| CET 108.1          | UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL. 12" DIAMETER (TYPE .1)   | EA.   | 2                     |
| CET 109.1          | UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .1)  | EA.   | 1                     |
| CET 200.0          | EXTRA DEPTH EXCAVATION OF CATCH BASIN CHUTE CONNECTION PIPES   | L.F.  | 190                   |
| CET 300            | SPECIAL CARE EXCAVATION & BACKFILLING  | C.Y.  | 62                    |
| CET 330T           | SUPPORT & PROTECTION OF COMMUNICATION UTILITY FACILITIES DURING EXCAVATION OF CITY TRENCH WHEN FACILITIES LIE IN OR CLOSE PROXIMITY TO TRENCH LIMITS | L.F.  | 440                   |
| CET 350            | OVERHEAD ACCOMMODATION, PROTECTION OF OH FACILITIES & APPURTENANCES  | LS    | 1                     |
| CET 400            | TEST PITS FOR UTILITY FACILITIES   | C.Y.  | 12                    |
| CET 401            | TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITY FACILITIES   | C.Y.  | 340                   |
| CET 402.2A         | EXIST. NON-CONCR. ENCASED CONDUITS PLCD. IN FINAL POS. WITH CONCR. ENCSMNT.  | L.F.  | 1380                  |
| CET 402.2          | EXIST. NON-CONCR. ENCASED CONDUITS PLCD. IN FINAL POS. WITHOUT CONCR. ENCSMNT.   | L.F.  | 160                   |
| CET 403            | PLACING STEEL PROTECTION PLATES FOR UTILITIES  | S.F.  | 750                   |
| CET 500            | RMVL. OF ABNDND MASONRY FOR UTILITY CONDUITS (NON-CONC. ENCSMNT.)  | L.F.  | 21                    |

**SCHEDULE U-2**  
**FOR INFORMATION ONLY**  
**ENGINEER'S ESTIMATE OF QUANTITY AND TYPES OF INTERFERENCE**  
**FOR EMPIRE CITY SUBWAY CO., LTD.**  
**SE 809**  
**CONSTRUCTION OF STORM SEWER AND WATER MAIN**  
**BOROUGH OF QUEENS**

| CET ITEM<br>NUMBER | DESCRIPTION  | UNITS | ESTIMATED<br>QUANTITY |
|--------------------|--|-------|-----------------------|
| CET 636 ED         | ADJUSTMENT OF UTILITY HARWARE ( 30" TO UNDER 34" WIDTH )                 | EA.   | 4                     |
| CET 636RM          | REBUILDING AND MODIFICATIONS TO UTILITY STRUCTURES                       | C.Y.  | 3                     |
| CET 700            | SPECIAL MOD. OF WORK MTHDS TO ACCOM./PROTECT U.G.<br>FACIL. W. LMTD CVR. | C.Y.  | 90                    |
| CET 711            | USE SHEETING LINE AS FORM  | L.F.  | 15                    |
| CET 1008V          | 8" VERTICAL OR ROLLED WATERMAIN OFFSET                                   | EA.   | 2                     |

EMPIRE CITY SUBWAY CO., LTD CET SCOPE OF WORK

SUPPORT & PROTECTION

SE 809

CONSTRUCTION OF STORM SEWER AND WATER MAIN

BOROUGH OF QUEENS

**CET 100.1 UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECT. AND/OR TEST PIT (TYPE .1)** 3

At the following locations:

| Sheet # |  |   |
|---------|--|---|
| 13      | Intersection of 25th. Avenue and 144th. Street (Willets Point Boulevard) | 1 |
| 13      | Intersection of 25th. Avenue and 143rd. Street                           | 1 |
| 14      | Intersection of Union Street and 25th. Road                              | 1 |

Total quantity for CET 100.1 = 3 EA.

**CET 100.2 UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECT. AND/OR TEST PIT (TYPE .2)** 5

At the following locations:

| Sheet # |   |   |
|---------|---|---|
| 8       | Intersection of Whitestone Expressway (North Service Road) and Linden Place | 1 |
| 13      | Intersection of 25th. Avenue and 144th. Street (Willets Point Boulevard)    | 1 |
| 14      | Intersection of Union Street and 25th. Road                                 | 1 |
| 14      | M/B Union Street between 25th. Road and 26th. Avenue                        | 2 |

Total quantity for CET 100.2 = 5 EA.

**CET 101.1 UTILITIES CROSSING TRENCH FOR SEWERS OVER 12" TO 24" DIAMETER (TYPE .1)** 4

At the following locations:

| Sheet # |  |   |
|---------|--|---|
| 13      | Intersection of 25th. Avenue and 143rd. Street | 1 |
| 14      | Intersection of Union Street and 25th. Road    | 3 |

Total quantity for CET 101.1 = 4 EA.

**CET 101.2 UTILITIES CROSSING TRENCH FOR SEWERS OVER 12" TO 24" DIAMETER (TYPE .2)** 4

At the following locations:

| Sheet # |  |   |
|---------|--|---|
| 4       | Intersection of College Point Boulevard and Whitestone Expressway (North Service Road) | 1 |
| 13      | Intersection of 25th. Avenue and 144th. Street (Willets Point Boulevard)               | 1 |
| 14      | Intersection of Union Street and 25th. Road  | 2 |

Total quantity for CET 101.2 = 4 EA.

EMPIRE CITY SUBWAY CO., LTD CET SCOPE OF WORK  
SUPPORT & PROTECTION  
SE 809  
CONSTRUCTION OF STORM SEWER AND WATER MAIN  
BOROUGH OF QUEENS

CET 106.1 UTILITIES CROSSING TRENCH FOR SEWERS OVER 60" TO 72" DIAMETER (TYPE .1) 1

At the following locations:

Sheet #

13

Intersection of 25th. Avenue and 143rd. Street

1

Total quantity for CET 106.1 = 1 EA.

CET 107.1 UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .1) 2

At the following locations:

Sheet #

4

Intersection of College Point Boulevard and Whitestone Expressway (North Service Road)

2

Total quantity for CET 107.1 = 2 EA.

CET 107.2 UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" TO 84" DIAMETER (TYPE .2) 2

At the following locations:

Sheet #

4

Intersection of College Point Boulevard and Whitestone Expressway (North Service Road)

1

8

Intersection of Whitestone Expressway (North Service Road) and Linden Place

1

Total quantity for CET 107.2 = 2 EA.

CET 108.1 UTILITIES CROSSING TRENCH FOR WATERMAIN UP TO AND INCL. 12" DIAMETER (TYPE .1) 2

At the following locations:

Sheet #

13

Intersection of 25th. Avenue and 143rd. Street

2

Total quantity for CET 108.1 = 2 EA.

**EMPIRE CITY SUBWAY CO., LTD CET SCOPE OF WORK**  
**SUPPORT & PROTECTION**  
**SE 809**  
**CONSTRUCTION OF STORM SEWER AND WATER MAIN**  
**BOROUGH OF QUEENS**

**CET 109.1 UTILITIES CROSSING TRENCH FOR WATERMAIN OVER 12" AND UP TO 24" DIAMETER (TYPE .1)** 1

At the following locations:

Sheet #  
 14 Intersection of Union Street and 25th. Road

Total quantity for CET 109.1 = 1 EA.

**CET 200.0 EXTRA DEPTH EXCAVATION OF CATCH BASIN CHUTE CONNECTION PIPES** 190

At the following locations:

|         |   |    |
|---------|---|----|
| Sheet # |   |    |
| 13      | Intersection of 25th. Avenue and 144th. Street (Willetts Point Boulevard) | 40 |
| 13      | Intersection of 25th. Avenue and 144th. Street (Willetts Point Boulevard) | 30 |
| 13      | Intersection of 25th. Avenue and 143rd. Street                            | 20 |
| 14      | Intersection of Union Street and 25th. Road                               | 40 |
| 14      | M/B Union Street between 25th. Road and 26th. Avenue                      | 60 |

Total quantity for CET 200.0 = 190 L.F.

**CET 300 SPECIAL CARE EXCAVATION & BACKFILLING** 62

At the following locations:

|         |   |     |
|---------|---|-----|
| Sheet # |   |     |
| 8       | Intersection of Whitestone Expressway (North Service Road) and Linden Place | 2   |
| 13      | Intersection of 25th. Avenue and 144th. Street (Willetts Point Boulevard)   | 1.5 |
| 14      | Intersection of Union Street and 25th. Road                                 | 7.5 |
| 14      | M/B Union Street between 25th. Road and 26th. Avenue                        | 35  |
| 14      | Intersection of Union Street and 26th. Avenue                               | 16  |

Total quantity for CET 300 = 62 C.Y.

**CET 330T SUPPORT & PROTECTION OF COMMUNICATION UTILITY FACILITIES DURING EXCAVATION OF CITY TRENCH WHEN FACILITIES LIE IN OR CLOSE PROXIMITY TO TRENCH LIMITS** 440

At the following locations:

|         |   |     |
|---------|---|-----|
| Sheet # |   |     |
| 13      | Intersection of 25th. Avenue and 143rd. Street        | 20  |
| 14      | Intersection of Union Street and 25th. Road           | 60  |
| 14      | M/B Union Street between 25th. Road and 26th. Avenue  | 225 |
| 14      | M/B 143rd. Street between 25th. Road and 25th. Avenue | 135 |

Total quantity for CET 330T = 440 L.F.

**EMPIRE CITY SUBWAY CO., LTD CET SCOPE OF WORK**  
**SUPPORT & PROTECTION**  
**SE 809**  
**CONSTRUCTION OF STORM SEWER AND WATER MAIN**  
**BOROUGH OF QUEENS**

**CET 350 OVERHEAD ACCOMMODATION, PROTECTION OF OH FACILITIES & APPURTENANCES** 1

At the following locations:

Sheet #

AS DIRECTED BY VERIZON REPRESENTATIVE 1

Total quantity for CET 350 = 1 L.S.

**CET 400 TEST PITS FOR UTILITY FACILITIES** 12

At the following locations:

Sheet #

ALL AS DIRECTED BY VERIZON REPRESENTATIVE 12

Total quantity for CET 400 = 12 C.Y.

**CET 401 TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITY FACILITIES** 340

At the following locations:

Sheet #

|    |  |     |
|----|--|-----|
| 4  | Intersection of College Point Boulevard and Whitestone Expressway (North Service Road) | 167 |
| 8  | Intersection of Whitestone Expressway (North Service Road) and Linden Place            | 56  |
| 13 | Intersection of 25th. Avenue and 143rd. Street   | 52  |
| 14 | Intersection of Union Street and 25th. Road  | 65  |

Total quantity for CET 401 = 340 C.Y.

**CET 402.2A EXIST. NON-CONCR. ENCASED CONDUITS PLCD. IN FINAL POS. WITH CONCR. ENCSMNT.** 1380

At the following locations:

Sheet #

|    |  |     |
|----|--|-----|
| 4  | Intersection of College Point Boulevard and Whitestone Expressway (North Service Road) | 650 |
| 8  | Intersection of Whitestone Expressway (North Service Road) and Linden Place            | 200 |
| 13 | Intersection of 25th. Avenue and 143rd. Street   | 80  |
| 14 | Intersection of Union Street and 25th. Road  | 450 |

Total quantity for CET 402.2A = 1380 L.F.

**CET 402.2 EXIST. NON-CONCR. ENCASED CONDUITS PLCD. IN FINAL POS. WITHOUT CONCR. ENCSMNT.** 160

At the following locations:

Sheet #

|   |  |     |
|---|--|-----|
| 4 | Intersection of College Point Boulevard and Whitestone Expressway (North Service Road) | 100 |
|   | 58th. Road between 146th. Street and 148th. Street                                     | 60  |

Total quantity for CET 402.2 = 160 L.F.

EMPIRE CITY SUBWAY CO., LTD CET SCOPE OF WORK  
SUPPORT & PROTECTION  
SE 809  
CONSTRUCTION OF STORM SEWER AND WATER MAIN  
BOROUGH OF QUEENS

**CET 403 PLACING STEEL PROTECTION PLATES FOR UTILITIES**

750

At the following locations:

| Sheet # |  |     |
|---------|--|-----|
| 4       | Intersection of College Point Boulevard and Whitestone Expressway (North Service Road) | 450 |
| 8       | Intersection of Whitestone Expressway (North Service Road) and Linden Place            | 150 |
| 14      | Intersection of Union Street and 25th. Road  | 150 |

Total quantity for CET 403 = 750 S.F.

**CET 500 RMVL. OF ABNDND MASONRY FOR UTILITY CONDUITS (NON-CONC. ENCS.D.)**

21

At the following locations:

| Sheet # |  |    |
|---------|--|----|
| 4       | Intersection of College Point Boulevard and Whitestone Expressway (North Service Road) | 6  |
| 8       | Intersection of Whitestone Expressway (North Service Road) and Linden Place            | 15 |
| 13      | Intersection of 25th. Avenue and 144th. Street (Willetts Point Boulevard)              | 20 |

Total quantity for CET 500 = 21 L.F.

**CET 636 ED ADJUSTMENT OF UTILITY HARWARE ( 30" TO UNDER 34" WIDTH )**

4

At the following locations:

| Sheet # |  |   |
|---------|--|---|
| 4       | Intersection of College Point Boulevard and Whitestone Expressway (North Service Road) | 2 |
| 13      | Intersection of 25th. Avenue and 144th. Street (Willetts Point Boulevard)              | 1 |
| 14      | M/B Union Street between 25th. Road and 26th. Avenue                                   | 1 |

Total quantity for CET 636 ED = 4 EA.

**CET 636RM REBUILDING AND MODIFICATIONS TO UTILITY STRUCTURES**

3

At the following locations:

| Sheet # |  |   |
|---------|--|---|
| 4       | Intersection of College Point Boulevard and Whitestone Expressway (North Service Road) | 3 |

Total quantity for CET 636RM = 3 C.Y.

**CET 700 SPECIAL MOD. OF WORK MTHDS TO ACCOM./PROTECT U.G. FACIL. W. LMTD CVR.**

90

At the following locations:

| Sheet # |  |     |
|---------|--|-----|
| 4       | Intersection of College Point Boulevard and Whitestone Expressway (North Service Road) | 76  |
| 8       | Intersection of Whitestone Expressway (North Service Road) and Linden Place            | 14  |
| 13      | Intersection of 25th. Avenue and 143rd. Street   | 24  |
| 14      | Intersection of Union Street and 25th. Road  | 70  |
| 14      | M/B Union Street between 25th. Road and 26th. Avenue                                   | 212 |

Total quantity for CET 700 = 90 C.Y.

EMPIRE CITY SUBWAY CO., LTD CET SCOPE OF WORK  
SUPPORT & PROTECTION  
SE 809  
CONSTRUCTION OF STORM SEWER AND WATER MAIN  
BOROUGH OF QUEENS

CET 711 USE SHEETING LINE AS FORM

15

At the following locations:

Sheet #

ALL

AS DIRECTED BY VERIZON REPRESENTATIVE

15

Total quantity for CET-711 = 15 L.F.

CET 1008V 8" VERTICAL OR ROLLED WATERMAIN OFFSET

2

At the following locations:

Sheet #

ALL

AS DIRECTED BY VERIZON REPRESENTATIVE

2

Total quantity for CET 1008V = 2 EA.



**FOR INFORMATION ONLY**  
**ENGINEER'S ESTIMATE OF QUANTITIES AND TYPES OF INTERFERENCE**  
**TIME WARNER CABLE OF NEW YORK CITY**  
**SE-809**  
**Storm & Sanitary Sewers In Northbound Whitestone Expressway, etc.**  
**Borough of Queens**

| <b>CET ITEM</b> | <b>DESCRIPTION</b>   | <b>UNITS</b> | <b>ESTIMATED QUANTITY</b> |
|-----------------|--|--------------|---------------------------|
| 107.1           | UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" to 84" DIAMETER                    | EA           | 2                         |
| 108.1           | UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO & INCLUDING 12" DIAMETER          | EA           | 1                         |
| 330             | SUPPORT AND PROTECTION OF UTILITY IN CITY TRENCH                                 | LF           | 20                        |
| 350             | OVERHEAD ACCOMMODATION, PROTECTION OF OVERHEAD FACILITIES, POLES & APPURTENANCES | LS           | 1                         |

**TIME WARNER CABLE  
SUPPORT & PROTECTION  
SE-809  
Storm & Sanitary Sewers in Northbound Whitestone Expressway, etc.  
Borough of Queens**

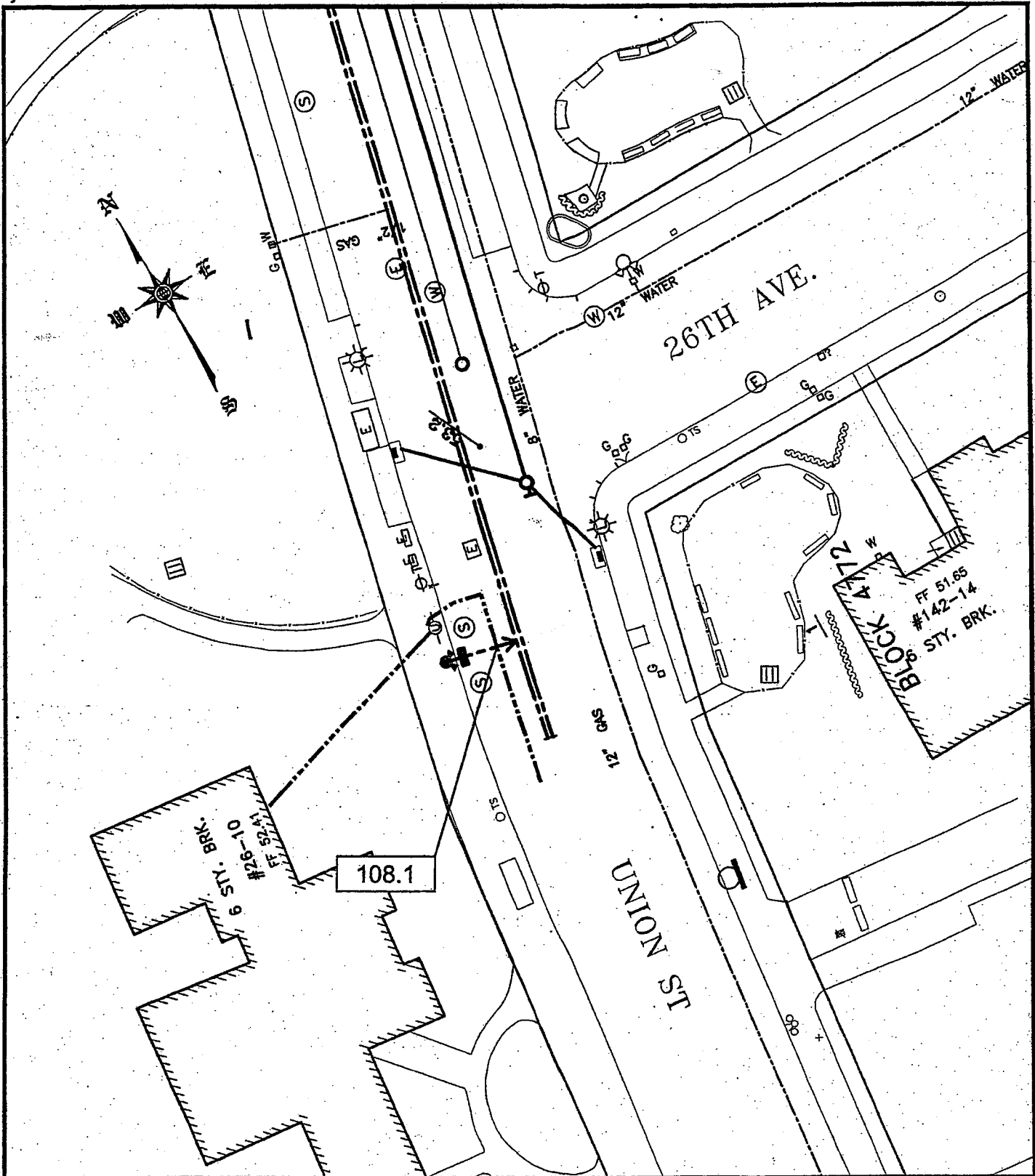
|   |           |
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| <b>CET 107.1 UTILITIES CROSSING TRENCH FOR SEWERS OVER 72" to 84" DIAMETER</b>                      | <b>EA</b> |
| At the following location:<br>W/S College Point Blvd. Between 32nd Ave. & Whitestone Expressway     | 2         |
| Total quantity for CET 107.1  | 2         |
| <b>CET 108.1 UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO &amp; INCLUDING 12" DIAMETER</b>        | <b>EA</b> |
| At the following location:<br>W/S Union Street S/O 26th Ave.  | 1         |
| Total quantity for CET 108.1  | 1         |
| <b>CET 330 SUPPORT AND PROTECTION OF UTILITY IN CITY TRENCH</b>                                     | <b>LF</b> |
| At the following location:<br>W/S College Point Blvd. Between 32nd Ave. & Whitestone Expressway     | 20        |
| Total quantity for CET 330  | 20        |
| <b>CET 350 OVERHEAD ACCOMMODATION, PROTECTION OF OVERHEAD FACILITIES, POLES &amp; APPURTENANCES</b> | <b>LS</b> |
| At the following locations:<br>AS ENCOUNTERED   | 1         |
| Total quantity for CET 350  | 1         |


**SECTION U-3**

**(NO TEXT IN THIS AREA)**

# SKETCHES

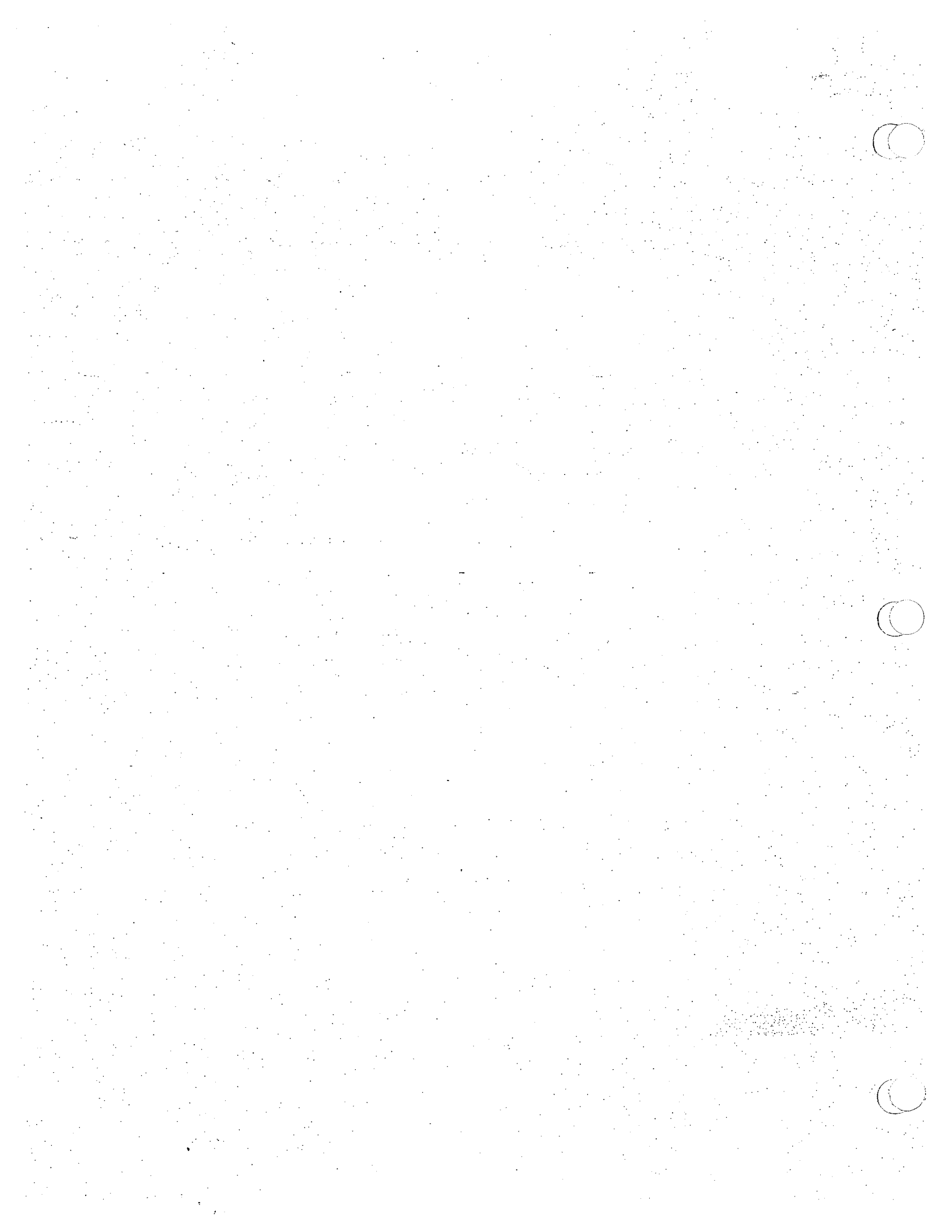




|   |      |  |
|---|------|--|
|  <b>TIME WARNER</b><br><b>CABLE OF NEW YORK CITY</b> |      |  |
|   |      |  |
| DATE  | PAGE |  |
| 11/09/10  |      |  |

SE-809

**END OF ADDENDUM No.5**  
**This Addendum consists of Forty-Five (45 pages)**  
**And Ten (10) sheets of Contract Drawings**









NEW YORK CITY DEPARTMENT OF  
DESIGN + CONSTRUCTION

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**INFRASTRUCTURE DIVISION  
BUREAU OF DESIGN**

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**VOLUME 3 OF 3**

PROJECT ID: SE809

CONSTRUCTION OF SANITARY AND STORM SEWERS AND APPURTENANCES  
IN N.B. WHITESTONE EXPRESSWAY SERVICE ROAD BETWEEN 25<sup>TH</sup> AVENUE  
AND FLUSHING RIVER; ETC.

INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC SIGNAL WORK

Together With All Work Incidental Thereto  
BOROUGH OF QUEENS  
CITY OF NEW YORK

---

*Contractor.*

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Dated \_\_\_\_\_, 20\_\_\_\_

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