



PROJECT ID:

P-414RBPI

THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF PUBLIC BUILDINGS

30-30 THOMSON AVENUE  
LONG ISLAND CITY, NEW YORK 11101-3045  
TELEPHONE (718) 391-1000  
WEBSITE [www.nyc.gov/buildnyc](http://www.nyc.gov/buildnyc)

**LAW**

VOLUME 1 OF 3

# BID BOOKLET

FOR FURNISHING ALL LABOR AND MATERIALS  
NECESSARY AND REQUIRED FOR:

## Phase 3 Beachfront Restoration Project: Removal of Timber Groins at Rockaway Beach

LOCATION:  
BOROUGH:  
CITY OF NEW YORK

Beach 88th Street through Beach 92nd Street  
Queens 11693

CONTRACT NO. 1

GENERAL CONSTRUCTION WORK

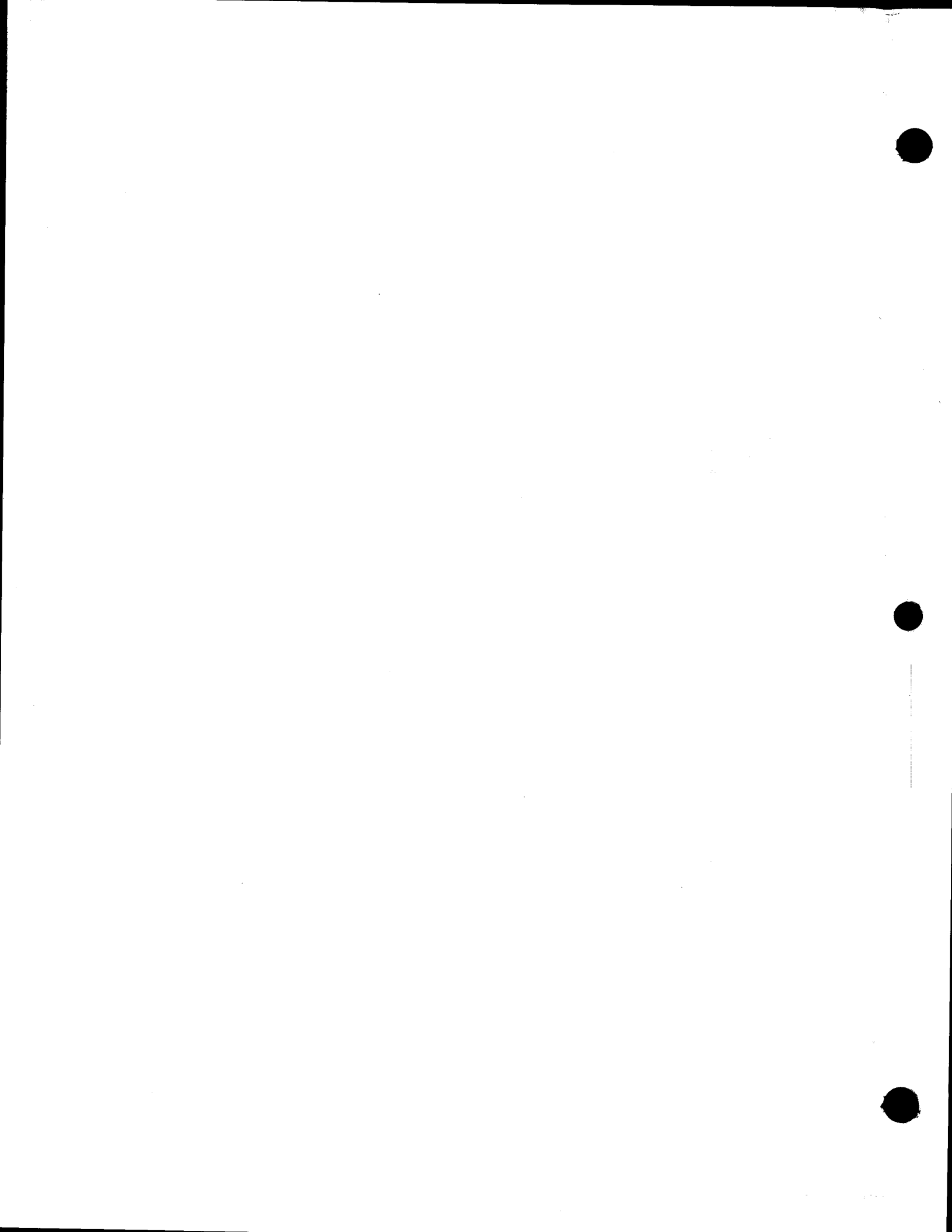
Department of Parks and Recreation

HAKS Engineers, Architects & Land Surveyors, P.C.



Date: February 4, 2013

13-021



**BID FORM  
THE CITY OF NEW YORK  
DEPARTMENT OF DESIGN AND CONSTRUCTION  
DIVISION OF STRUCTURES**

**BID FOR FURNISHING ALL LABOR AND  
MATERIAL NECESSARY AND REQUIRED FOR:**

**PROJECT ID: P-414RBPI**

**Phase 3 Beachfront Restoration Project: Removal of Timber Groins at  
Rockaway Beach  
Beach 88th Street through Beach 92nd Street  
Queens 11693**

Name of Bidder: UTB- UNITED TECHNOLOGY, INC.

Date of Bid Opening: 3/27/13

Bidder is: (Check one, whichever applies) Individual ( ) Partnership ( ) Corporation

Place of Business of Bidder: \_\_\_\_\_

Bidder's Telephone Number: 516-326-1160 Bidder's Fax Number: 516-326-1162

Bidder's Email Address: MSHARMA@UTB1.COM

Residence of Bidder (If Individual): \_\_\_\_\_

If Bidder is a Partnership, fill in the following blanks:

Names of Partners

Residence of Partners

|  |  |
|--|--|
|  |  |
|  |  |
|  |  |

If Bidder is a Corporation, fill in the following blanks:

Organized under the laws of the State of NEW YORK

Name and Home Address of President: MOHAN SHARMA  
1224 DREW AVENUE FRANKLIN SQUARE NY 11010

Name and Home Address of Secretary: \_\_\_\_\_  
\_\_\_\_\_

Name and Home Address of Treasurer: \_\_\_\_\_  
\_\_\_\_\_



# BID SCHEDULE

FMS ID: P-414RBPI

| Item # | Estimated Qty | Item Description                                       | Unit Price in Figures       | Total Bid Price Qty X Unit Price in Figures |
|--------|---------------|--|-----------------------------|---|
| 1      | --            | GENERAL CONDITINOS, ADDENDUM TO THE GENERAL CONDITIONS | <u>297,100</u><br>LUMP SUM  | 297,100                                     |
| 2      | --            | NOT USED   |                             | --  |
| 3      | 1,550         | CONSTRUCTION FENCE 8'-0" HT.                           | <u>90</u><br>LINEAR FOOT    | 139,500                                     |
| 4      | 741           | TEMPORARY SNOW FENCE BOUNDARY                          | <u>45</u><br>LINEAR FOOT    | 33,345                                      |
| 5      | 4960          | REMOVAL OF TIMBER PILES (CUSTOM)                       | <u>230</u><br>VERTICAL FOOT | 1,140,800                                   |
| 6      | 693           | REMOVAL OF TIMBER BRACING (CUSTOM)                     | <u>175</u><br>LINEAR FOOT   | 121,275                                     |
| 7      | 984           | UNCLASSIFIED EXCAVATION                                | <u>95</u><br>CUBIC YARD     | 93,480                                      |
| 8      | 1670          | TURBIDITY CURTAIN (CUSTOM)                             | <u>85</u><br>LINEAR FOOT    | 141,950                                     |
|        |               |  |                             |   |

**Total Price for all Work**

\$ 1,967,450\*

\* Insert Total amount on line A page 14, Bid Form



BID FORM

PROJECT ID: P-414RBPI

TOTAL BID PRICE: In the space provided below, the Bidder shall indicate the total bid price in figures.

A. Total Price for all work

\$ 1,967,450.00  
3/27/13  
P.S

BIDDER'S SIGNATURE AND AFFIDAVIT

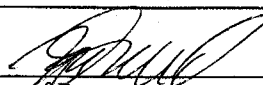
**WARNING!!** Failure to comply with items below will result in the rejection of your bid.

\* **SUBCONTRACTORS:** You MUST complete and submit the form entitled "Bidder's Identification of Subcontractors" (See Page 18) at the time you submit your bid. You must submit this form in a separate, sealed envelope (BID ENVELOPE #2). In the event an award of contract is not made to the Bidder, the Bidder hereby authorizes the Agency to shred the form entitled "Bidder's Identification of Subcontractors".  Yes  
\_\_\_\_\_ No

\* **MWBE GOALS:** You MUST complete and submit the Affirmations contained in the Subcontractor Utilization Plan (See Page 7), or a pre-approved waiver (See Page 9), at the time you submit your bid. You must submit the Affirmations (or a pre-approved waiver) in BID ENVELOPE #1.

Bidder: UTB- UNITED TECHNOLOGY, INC.

By:

  
\_\_\_\_\_  
(Signature of Partner or corporate officer)

Attest:  
(Corporate Seal)

  
\_\_\_\_\_  
Secretary of Corporate Bidder

Affidavit on the following page should be subscribed and sworn to before a Notary Public





BID FORM (TO BE NOTARIZED)

\*\*\*\*\*

AFFIDAVIT WHERE BIDDERS IS AN INDIVIDUAL

STATE OF NEW YORK, COUNTY OF \_\_\_\_\_ ss:
being duly sworn says:
I am the person described in and who executed the foregoing bid, and the several matters therein stated are in all respects true.

(Signature of the person who signed the Bid)

Subscribed and sworn to before me this
day of \_\_\_\_\_

Notary Public

\*\*\*\*\*

AFFIDAVIT WHERE BIDDERS IS A PARTNERSHIP

STATE OF NEW YORK, COUNTY OF \_\_\_\_\_ ss:
being duly sworn says:
I am a member of \_\_\_\_\_ the firm described in and which executed the foregoing bid.
subscribed the name of the firm thereto on behalf of the firm, and the several matters therein stated are in all respects true.

(Signature of Partner who signed the Bid)

Subscribed and sworn to before me this
day of \_\_\_\_\_

Notary Public

\*\*\*\*\*

AFFIDAVIT WHERE BIDDERS IS A CORPORATION

STATE OF NEW YORK, COUNTY OF NASSAU ss:
MOHAN SHARMA being duly sworn says:
I am the PRESIDENT of the above named corporation whose name is subscribed to and which executed
the foregoing bid. I reside at 1224 DREW AVE, FRANKLIN SQUARE NY 11010
I have knowledge of the several matters therein stated, and they are in all respects true.

(Signature of Corporate Officer who signed the Bid)

Subscribed and sworn to before me this
27 day of MARCH, 2013

Notary Public

MAISA K CURTISS
Notary Public, State of New York
No. 01CU6104216
Qualified in O'Connell County
Commission Expires January 20, 2016



**AFFIRMATION**

The undersigned bidder affirms and declares that said bidder is not in arrears to the City of New York upon debt, contract or taxes and is not a defaulter, as surety or otherwise, upon obligation to the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except

(If none, the bidder shall insert the word "None" in the space provided above.)

Full Name of Bidder: UTB- UNITED TECHNOLOGY, INC.

Address: 141 NEW HYDE PARK ROAD

City: FRANKLIN SQUARE

State: NY

Zip Code: 11010

CHECK ONE BOX AND INCLUDE APPROPRIATE NUMBER:

A - Individual or Sole Proprietorship \*  
SOCIAL SECURITY NUMBER

B - Partnership, Joint Venture or other unincorporated organization  
EMPLOYER IDENTIFICATION NUMBER

C - Corporation  
EMPLOYER IDENTIFICATION NUMBER

11-3471044

By: \_\_\_\_\_

Signature:

Title: PRESIDENT

If a corporation, place seal here

This affirmation must be signed by an officer or duly authorized representative.

\* Under the Federal Privacy Act the furnishing of Social Security Numbers by bidders on City contracts is voluntary. Failure to provide a Social Security Number will not result in a bidder's disqualification. Social Security Numbers will be used to identify bidders, proposers or vendors to ensure their compliance with laws, to assist the City in enforcement of laws, as well as to provide the City a means of identifying of businesses which seek City contracts.



**Qualification Form**

Project ID: P-414RBPI

List previous projects completed to meet the special experience requirements for this contract. Please photocopy this form for submission of all required projects.

Name of Contractor: UTB- UNITED TECHNOLOGY, INC.

Name of Project: NYC SCA BRONX HS OF SCIENCE

Location of Project: 75 WEST 205TH STREET, BRONX NY 10468

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: JASON DEJESUS

Title: PROJECT OFFICER Phone Number: 917-662-2899

Brief description of work completed: INTERIOR RENOVATION, ROOFING, MASONRY

Was the work performed as a prime or a subcontractor: PRIME

Amount of Contract: \$2,412,775.00

Date of Completion: 02/28/2011

\*\*\*\*\*

Name of Contractor: UTB- UNITED TECHNOLOGY, INC.

Name of Project: NYC SCA PS 174

Location of Project: 65-10 DIETERLE CRESCENT, REGO PARK NY

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: ALPHONSO ABRUZZESE

Title: PROJECT OFFICER Phone Number: 917-550-6287

Brief description of work completed: MASONRY, BATHROOM UPGRADE, METAL BUILDING UPGRADE, CONCRETE, INTERIOR RENOVATIONS

Was the work performed as a prime or a subcontractor: PRIME

Amount of Contract: \$2,302,445.00

Date of Completion: 05/01/2010



**Qualification Form**

Project ID: P-414RBPI

List previous projects completed to meet the special experience requirements for this contract. Please photocopy this form for submission of all required projects.

Name of Contractor: UTB- UNITED TECHNOLOGY, INC.

Name of Project: NYC DEP SYSOPS 13-G STRUCTURE & EQUIPMENT

Location of Project: 106-32 180TH STREET, JAMAICA NY 11433

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: DAN CHEN

Title: RESIDENT ENGINEER Phone Number: 609-588-6316

Brief description of work completed: GROUND WATER REMEDIATION SYSTEM, PIPING EXCAVATION, STEEL WORK, GAC UNITS, INSTALLATION OF VERTICAL TANKS AND PIPING CONTROL

Was the work performed as a prime or a subcontractor: PRIME

Amount of Contract: 5,897,533.00

Date of Completion: 05/25/2012

\*\*\*\*\*

Name of Contractor: UTB- UNITED TECHNOLOGY, INC.

Name of Project: NYCHA INDEPENDENCE HOUSES

Location of Project: 119 WILSON STREET, BROOKLYN NY

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: SERGEY ZABLUDOVSKY

Title: PROJECT MANAGER Phone Number: 212-747-1997/ 201-873-1192

Brief description of work completed: MASONRY REPAIR AND ROOF REPLACEMENT INSTALLATION ROOF WATER TANK AND CONTROLS

Was the work performed as a prime or a subcontractor: PRIME

Amount of Contract: \$6,138,683.00

Date of Completion: 11/30/2011





Qualification Form

Project ID: P-44RBPI

List previous projects completed to meet the special experience requirements for this contract. Please photocopy this form for submission of all required projects.

Name of Contractor: UTB- UNITED TECHNOLOGY, INC.

Name of Project: NYC SCA PS 225

Location of Project: 1075 OCEANVIEW AVE, BROOKLYN NY

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: MOHAMMED PARVEZ

Title: PROJECT OFFICER Phone Number: 347-672-4099

Brief description of work completed: MASONRY, STEEL FRAMING, ROOF, INTERIOR BATHROOM RENOVATION, ELECATOR INSTALLATION, CONCRETE RAMP AND PIPING CONTROL

Was the work performed as a prime or a subcontractor: PRIME

Amount of Contract: \$4,169,244.00

Date of Completion: 07/19/2012

\*\*\*\*\*

Name of Contractor: UTB- UNITED TECHNOLOGY, INC.

Name of Project: NYC SCA PS 215

Location of Project: 415 AVENUE S BROOKLYN NY

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: BRUNO GENARI

Title: PROJECT OFFICER Phone Number: 917-709-5634

Brief description of work completed: INTERIOR RENOVATION, INSTALLATION OF ELEVATOR, PAVING, MASONRY, ROOFING CONCRETE AND STEEL FRAMING

Was the work performed as a prime or a subcontractor: PRIME

Amount of Contract: \$3,564,444.00

Date of Completion: 05/30/2010



**Qualification Form**

Project ID: P-414RBPI

List previous projects completed to meet the special experience requirements for this contract. Please photocopy this form for submission of all required projects.

Name of Contractor: UTB- UNITED TECHNOLOGY, INC.

Name of Project: NYCHA- JOHNSON HOUSES

Location of Project: 1844 LEXINGTON AVENUE, NEW YORK NY 10029

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: MUKESH VYAS

Title: DEPUTY DIRECTOR Phone Number: 212-306-2966

Brief description of work completed: REMOVAL OF EXISTING FUEL OIL TANKS  
CONTAMINATED SOIL, SOIL REMEDIATION, INSTALLATION OF NEW TANKS,  
PIPING, ELECTRICAL & COMPLETE RESORTATION

Was the work performed as a prime or a subcontractor: PRIME

Amount of Contract: \$922,490.00

Date of Completion: 08/01/2008

\*\*\*\*\*

Name of Contractor: UTB- UNITED TECHNOLOGY, INC.

Name of Project: NYCHA DYCMAN HOUSES

Location of Project: 215 NAGLE AVE, NEW YORK NY

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: NANDA VITTAL

Title: DESIGN ENGINEER Phone Number: 212-306-6997

Brief description of work completed: INSTALLATION OF VACCUUM PUMPS,  
CONDENSATE PUMPS, & ZONE VALVES

Was the work performed as a prime or a subcontractor: PRIME

Amount of Contract: \$441,188,00

Date of Completion: 12/31/2012



**A. PROJECT REFERENCES - SIMILAR CONTRACTS COMPLETED BY THE BIDDER**

List all contracts substantially completed within the last 4 years similar to the contract being awarded, up to a maximum of 10, in descending order of date of substantial completion.

| Project & Location                   | Contract Type                                     | Contract Amount (\$000) | Date Completed | Owner Reference & Tel. No.                    | Architect/Engineer Reference & Tel. No. if different from owner |
|--------------------------------------|---|-------------------------|----------------|---|---|
| DEP STATION 24 JAMAICA QUEENS        | GROUND WATER REMEDIATION/<br>GENERAL CONSTRUCTION | \$5,879,533.00          | 05/25/2012     | NICHOLAS<br>CHOLEWKA/DAN CHEN<br>718-595-6067 | SHAW ENGINEERING<br>609-588-6316                                |
| NYCHA INDEPENDENCE HOUSES, BROOKLYN  | ROOFING &<br>MASONRY                              | \$6,138,683.00          | 11/30/2011     | SERGEY ZABLUDOVSKY<br>201-873-1192            | HAKS ENGINEERING<br>212-747-1997 EXT 719                        |
| SCA- PS 215, ACCESSIBILITY, BROOKLYN | GENERAL CONSTRUCTION                              | \$3,564,444.00          | 05/30/2010     | BRUNO GENARI<br>917-709-5634                  | IN HOUSE  |
| SCA- BRONX HS OF SCIENCE, BRONX      | GENERAL CONSTRUCTION                              | \$2,412,775.00          | 02/28/2011     | JASON DEJESUS<br>917-662-2899                 | IN HOUSE  |
| SCA- FRANKLIN K LANE, QUEENS NY      | GENERAL CONSTRUCTION                              | \$4,366,462.00          | 06/30/2010     | ALPHONSO ABRUZZESE<br>317-559-9287            | IN HOUSE  |
| SCA- PS 174 BROOKLYN, NY             | GENERAL CONSTRUCTION                              | \$2,302,445.00          | 05/01/2010     | ALPHONSO ABRUZZESE<br>917-559-9287            | IN HOUSE  |
| SCA PS 225, BROOKLYN, NY             | GENERAL CONSTRUCTION                              | \$4,169,244.00          | 07/19/2012     | MOHAMMED PARVEZ<br>347-672-4099               | IN HOUSE  |



**B. PROJECT REFERENCES - CONTRACTS CURRENTLY UNDER CONSTRUCTION BY THE BIDDER**

List all contracts currently under construction even if they are not similar to the contract being awarded.

| Project & Location              | Contract Type        | Contract Amount (\$000) | Subcontracted to Others (\$000) | Uncompleted Portion (\$000) | Date Scheduled to Complete | Owner Reference & Tel. No.         | Architect/Engineer Reference & Tel. No. if different from owner |
|---------------------------------|----------------------|-------------------------|---------------------------------|-----------------------------|----------------------------|------------------------------------|---|
| LOCAL LAW 11, QUEENS & BRONX    | MASONRY WORK         | \$3,488,593.00          | \$1,400,000.00                  | \$900,000.00                | 7/27/13                    | DAVID WONG<br>347-415-5301         | IN HOUSE  |
| JOC 2010                        | GENERAL CONSTRUCTION | \$12,000,000.00         | \$4,000,000.00                  | \$500,000.00                | 9/1/13                     | DEP KAVITA SAZAWAL<br>718-595-5538 | IN HOUSE  |
| DDC- BROOKLYN CHILDREN'S MUSEUM | ROOF TOP RENOVATIONS | \$5,975,450.00          | \$3,500,000.00                  | \$2,295,450.00              | 8/23/14                    | AMJAD DUTT<br>718 221 5260         | TOSHIKO MORI<br>David Jaubert<br>212.337.9644                   |
|                                 |                      |                         |                                 |                             |                            |                                    |   |
|                                 |                      |                         |                                 |                             |                            |                                    |   |
|                                 |                      |                         |                                 |                             |                            |                                    |   |





**C. PROJECT REFERENCES - PENDING CONTRACTS NOT YET STARTED BY THE BIDDER**

List all contracts awarded to or won by the bidder but not yet started.

| Project & Location  | Contract Type | Contract Amount (\$000) | Date Scheduled to Start | Owner Reference & Tel. No. | Architect/Engineer Reference & Tel. No. if different from owner |
|---|---------------|-------------------------|-------------------------|----------------------------|---|
| <b>THERE ARE NO PENDING CONTRACTS OR PENDING PROJECTS</b> |               |                         |                         |                            |   |
|   |               |                         |                         |                            |   |
|   |               |                         |                         |                            |   |
|   |               |                         |                         |                            |   |
|   |               |                         |                         |                            |   |
|   |               |                         |                         |                            |   |
|   |               |                         |                         |                            |   |



# Certificate of No Change Form

- Please submit two completed forms. Copies will not be accepted.
- Please send both copies to the agency that requested it, unless you are advised to send it directly to the Mayor's Office of Contract Services (MOCS).
- A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.
- A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges

I, MOHAN SHARMA, being duly sworn, state that I have read  
*Enter Your Name*

and understand all the items contained in the vendor questionnaire and any submission of change as identified on page one of this form and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) and any submission of change identified on page two of this form have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that the City of New York will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

## Vendor Questionnaire *This section is required.*

*This refers to the vendor questionnaire(s) submitted for the vendor doing business with the City.*

Name of Submitting Entity: UTB- UNITED TECHNOLOGY, INC.

Vendor's Address: 141 NEW HYDE PARK ROAD, FRANKLIN SQUARE NY 11010

Vendor's EIN or TIN: 11-3471044 Requesting Agency: DDC

Are you submitting this Certification as a parent? (Please circle one)  Yes  No

Signature date on the last full vendor questionnaire signed for the submitting vendor: 01/05/2011

Signature date on change submission for the submitting vendor: 01/05/2011



# Principal Questionnaire

This section refers to the most recent principal questionnaire submissions.

|   | Principal Name | Date of signature<br>on last full Principal<br>Questionnaire | Date(s) of signature on<br>submission of change |
|---|----------------|--|---|
| 1 | MOHAN SHARMA   | 01/05/2011   | 01/05/2011                                      |
| 2 |                |  |   |
| 3 |                |  |   |
| 4 |                |  |   |
| 5 |                |  |   |
| 6 |                |  |   |

Check if additional changes were submitted and attach a document with the date of additional submissions.

## Certification *This section is required.*

*This form must be signed and notarized. Please complete this twice. Copies will not be accepted.*

### Certified By:

MOHAN SHARMA

Name (Print)

PRESIDENT

Title

UTB- UNITED TECHNOLOGY, INC.

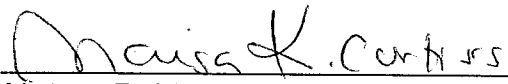
Name of Submitting Entity

  
Signature

3/27/13

Date

### Notarized By:

  
Notary Public

NASSAU

County License Issued

01CU6104216

License Number

Sworn to before me on: 3/27/13  
Date

MAISA K CURTISS  
Notary Public, State of New York  
No. 01CU6104216  
Qualified in O'Connell County  
Commission Expires January 20, 20 16



## CONFIRMATION OF VENDEX COMPLIANCE

The Bidder shall submit this Confirmation of Vendex Compliance to the Department of Design and Construction, Contracts Section, 30-30 Thomson Avenue – First Floor, Long Island City, NY 11101.

**Bid Information:** The Bidder shall complete the bid information set forth below.

Name of Bidder: UTB- UNITED TECHNOLOGY, INC.

Bidder's Address: 141 NEW HYDE PARK ROAD, FRANKLIN SQUARE NY 11010

Bidder's Telephone Number: 516-326-1160

Bidder's Fax Number: 516-326-1162

Date of Bid Opening: 3/27/13

Project ID: P-414RBP1

**Vendex Compliance:** To demonstrate compliance with Vendex requirements, the Bidder shall complete either Section (1) or Section (2) below, whichever applies.

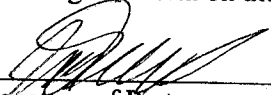
- (1) **Submission of Vendex Questionnaires to MOCS:** By signing in the space provided below, the Bidder certifies that as of the date specified below, the Bidder has submitted Vendex Questionnaires to the Mayor's Office of Contract Services, Attn: VENDEX, 253 Broadway, 9<sup>th</sup> Floor, New York, New York 10007.

Date of Submission: 1/5/11

By:   
(Signature of Partner or corporate officer)

Print Name: MOHAN SHARMA

- (2) **Submission of Certification of No Change to DDC:** By signing in the space provided below, the Bidder certifies that it has read the instructions in a "Vendor's Guide to Vendex" and that such instructions do not require the Bidder to submit Vendex Questionnaires. The Bidder has completed **TWO ORIGINALS** of the Certification of No Change set forth on the next page of this Bid Booklet.

By:   
(Signature of Partner or corporate officer)

Print Name: MOHAN SHARMA





Tax ID #: 11-3471044

PIN#: 8502013PV0011C



Contract # 1 - General Construction Work

The City of New York

**SCHEDULE B: Subcontractor Utilization Plan -Part I: Agency's Target**

This page to be completed by contracting agency

**Contract Overview**

Pin # 8502013PV0011C FMS Project ID#: P-414RBPI

Project Title Phase 3 Beachfront Restoration Project: Removal of Timber Groins at Rockaway Beach

Contracting Agency Department of Design and Construction

Agency Address 30-30 Thomson Avenue City Long Island City State NY Zip Code 11101

Contact Person James A. Cerasoli Title Deputy Director

Telephone # (718) 391-1549 Email cerasoli@ddc.nyc.gov

**Project Description and Additional Project Information**

This Contract provides for timber groins at Rockaway Beach in depleted condition with major portions of these groins not visible during high tide. US Army corps has indicated that these groins are not useful for erosion control. Due to recent fatal accidents involving the groins around Beach 88th St., removal is necessary for the safety of the beach users. The work includes the removal of timber groins located between Beach 88th and Beach 92nd Streets at Rockaway Beach.

(1)  **Target Subcontracting Percentage**

Percentage of total contract dollar value that agency estimates will be awarded to subcontractors in amounts under \$1 million for construction and professional services.

5 %

**Subcontractor Participation Goals**

Complete and enter data for each construction or professional services goal, if applicable.

| Group                            | Construction     | Professional Services |
|----------------------------------|------------------|-----------------------|
| Black American                   | UNSPECIFIED %    | %                     |
| Hispanic American                | UNSPECIFIED %    | %                     |
| Asian American                   | UNSPECIFIED %    | No Goal               |
| Caucasian Female                 | No Goal          | %                     |
| <b>Total Participation Goals</b> | <b>(2) 100 %</b> | <b>(3) %</b>          |

\* Note: For this procurement, individual ethnicity and gender goals are not specified. The Total Participation Goals for construction subcontracts may be met by using Black American, Hispanic American or Asian American firms or any combination of such firms.



Tax ID #: 11-3471044

PIN#: 8502013PV0011C

**SCHEDULE B - Subcontractor Utilization Plan – Part II: Bidder/Proposer Subcontracting Plan**

This page and the next (Part II herein) are to be completed by the bidder/proposer. **AFFIRMATIONS; Bidder/proposer must check the applicable boxes below, affirming compliance with M/WBE requirements.**

Bidder/proposer  AFFIRMS or  DOES NOT AFFIRM [statement below]

It is a material term of the contract to be awarded that, with respect to the total amount of the contract to be awarded, bidder/proposer will award one or more subcontracts for amounts under one million dollars, sufficient to meet or exceed the Target Subcontracting Percentage (as set forth in Part I) unless it obtains a full or partial waiver thereof, and it will award subcontracts sufficient to meet or exceed the Total Participation Goals (as set forth in Part I) unless such goals are modified by the Agency.

Bidder/proposer  AFFIRMS that it intends to meet or exceed the Target Subcontracting Percentage (as set forth in Part 1); or  
 AFFIRMS that it has obtained a full/partial pre-award waiver of the Target Subcontracting Percentage (as set forth in Part I) and intends to award the modified Target Subcontracting Percentage, if any; or  
 DOES NOT AFFIRM

**Section I: Prime Contractor Contact Information**

Tax ID # 11-3471044 FMS Vendor ID # \_\_\_\_\_  
Business Name UTB- UNITED TECHNOLOGY, INC. Contact Person MOHAN SHARMA  
Address 141 NEW HYSE PARK ROAD, FRANKLIN SQUARE NY 11010  
Telephone # 516-326-1160 Email MSHARMA@UTB1.COM

**Section II: General Contract Information**

1. Define the industry in which work is to be performed.

- Construction includes all contracts for the construction, rehabilitation, and/or renovation of physical structures. This category does include CM Build as well as other construction related services such as: demolition, asbestos and lead abatement, and painting services, carpentry services, carpet installation and removal, where related to new construction and not maintenance.
- Professional Services are a class of services that typically require the provider to have some specialized field or advanced degree. Services of this type include: legal, management consulting, information technology, accounting, auditing, actuarial, advertising, health services, pure construction management, environmental analysis, scientific testing, architecture and engineering, and traffic studies, and similar services.

a. Type of work on Prime Contract (Check one):

b. Type of work on Subcontract (Check all that apply):

Construction  Professional Services  Construction  Professional Services  Other

2. What is the expected percentage of the total contract dollar value that you expect to award to all subcontracts? 5 %

3. Will you award subcontract(s) in amounts below \$ 1 million for construction and/or professional services contracts within the first 12 months of the notice to proceed on the contract?  Yes  No

**Section III: Subcontractor Utilization Summary**

**IMPORTANT: If you do not anticipate that you will subcontract at the target level the agency has specified, because you will perform more of the work yourself, you must seek a waiver of the Target Subcontracting Percentage by completing p. 9).**

| Step 1:   | Subcontracts under \$1M (4)<br>(construction/professional services) | Total Bid/Proposal<br>Value | Calculated Target<br>Subcontracting Percentage |
|---|---|-----------------------------|--|
| Calculate the percentage (of your total bid) that will go towards subcontracts under \$1M for construction and/or professional services | <u>98,372</u>   | <u>\$1,967,450</u>          | <u>5</u> %                                     |

- Subcontracts under \$1M (construction/professional services): Enter the value you expect to award to subcontractors in dollars for amounts under \$1 million for construction and/or professional services. This value defines the amount that participation goals apply to, and will be entered into the first line of Step 2.
- Total Bid/Proposal Value: Provide the dollar amount of the bid/proposal.
- Calculated Target Subcontracting Percentage: The percentage of the total contract dollar value that will be awarded to one or more subcontractors for amounts under \$1 million for construction and/or professional services. This percentage must equal or exceed the percentage listed by the agency on page 1, at line (1).

**E: The "Calculated Target Subcontracting Percentage" MUST equal or exceed the Target Subcontracting Percentage listed by agency on Page 6, Line (1).**

