



**Department of
Design and
Construction**

**CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF PUBLIC BUILDINGS
VOLUME 1 – BID BOOKLET
SINGLE PLA CONTRACT VERSION**

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Introduction

This Bid Booklet is intended to provide general information necessary for bidding on a DDC public works project and is part of the Contract Documents, as per Article 1.1 of the Standard Construction Contract.

As this contract is solicited via the PASSPort system, the bidder will be required to complete all of the PASSPort forms and questionnaires. These forms and questionnaires, along with the bidder's responses, will become part of the Bid Booklet.

Additional information on the PASSPort system can be found at the following website:

<https://www1.nyc.gov/site/mocs/systems/passport-user-materials.page>

Bid Submission Requirements

THE FOLLOWING MUST BE COMPLETED AND SUBMITTED FOR THE BID TO BE CONSIDERED RESPONSIVE:

1. Completed electronic bid submission in PASSPort;
 - a. All required fields in PASSPort must be completed.
2. One-page signed Bid Submission Form delivered in person to DDC before the bid due date; and
3. Bid security, if required.
 - a. If Bid security is in a form of a bid bond, bidders must include it with their electronic PASSPort submission.
 - b. If Bid security is in a form of a certified check, bidders must deliver the certified check with the signed Bid Submission Form.

BIDDERS ARE ADVISED THAT PAPER BID SUBMISSIONS WILL BE DEEMED NON-RESPONSIVE. BIDDERS MUST SUBMIT THEIR BIDS ELECTRONICALLY IN PASSPORT, PROVIDE THE BID SECURITY, AND DELIVER TO DDC THE ONE-PAGE SIGNED BID SUBMISSION FOR THE BID TO BE CONSIDERED RESPONSIVE.

THE FOLLOWING MAY RESULT IN THE BID BEING FOUND NON-RESPONSIVE:

1. Any discrepancy between the total bid price listed on the Bid Submission Form and the bid information submitted in PASSPort.
2. Failure to upload required files or documents as part of a mandatory PASSPort Questionnaire response.
3. Uploading an incorrect file as part of a mandatory PASSPort Questionnaire response.
 - a. For clarity, this includes uploading the bid breakdown on a form other than the Excel file provided in the PASSPort Questionnaire.

Notices to Bidders

Project Labor Agreement & Single Contract

PROJECT LABOR AGREEMENT: This contract is subject to a Project Labor Agreement (“PLA”) entered into between the City and the Building and Construction Trades Council of Greater New York (“BCTC”) affiliated Local Unions. By submitting a bid, the Contractor agrees that the PLA is binding on the Contractor and all subcontractors of all tiers. The bidder to be awarded the contract will be required to execute a “Letter of Assent” prior to award.

The Bidder is advised to review the following: (1) Notice regarding the PLA, (2) the PLA, and (3) the Letter of Assent, all of which are set forth at the beginning of Volume 2 of the Contract Documents.

SINGLE CONTRACT: As stated above, this contract is subject to a PLA. The requirements of the Wicks Law for separate prime contractors DO NOT APPLY to any project that is covered by a PLA. Accordingly, the requirements of the Wicks Law for separate prime contractors do not apply to this Project. The Project consists of a single contract.

The Bidder is advised to review the Notice set forth at the beginning of Volume 2 of the Contract Documents. The Notice specifies revisions to the Contract Documents to provide that the Project consists of a single contract and to delete any and all references to separate prime contractors.

Pre Bid Questions (PBQs)

Please be advised that PBQs should be submitted to the Agency Contact Person (CSB_projectinquiries@ddc.nyc.gov) at least five (5) business days (by 5:00 PM EST) prior to the bid opening date as indicated in the PASSPort procurement.

All PBQs must reference the Project ID. If a bidder has multiple PBQs for the same Project ID, the PBQs must be numbered sequentially, even if they are submitted separately.

While the PASSPort system has a facility for submitting inquiries, bidders are directed to send PBQs as directed above instead of using the PASSPort inquiry system.

Inquiries sent using the PASSPort inquiry system will not be considered PBQs.

NYC Contract Financing Loan Fund

If your business is working as a prime or subcontractor on a project with a City agency or City-funded entity, you may be eligible for a Contract Financing Loan from a participating lender coordinated with the NYC Department of Small Business Services (SBS). Loan repayment terms align with the contract payment schedule.

For more information: Call 311 or visit <https://www1.nyc.gov/nycbusiness/article/contract-financing-loan-fund>

M/WBE Notice to Prospective Contractors

PARTICIPATION BY MINORITY-OWNED AND WOMEN-OWNED BUSINESS ENTERPRISES IN CITY PROCUREMENT (9/2020 version)

ARTICLE I. M/WBE PROGRAM

Section 6-129 of the Administrative Code of the City of New York (“Section 6-129”) establishes the program for participation in City procurement (“M/WBE Program”) by minority-owned business enterprises (“MBEs”) and women-owned business enterprises (“WBEs”), certified in accordance with Section 1304 of the New York City Charter. As stated in Section 6-129, the intent of the program is to address the impact of discrimination on the City’s procurement process, and to promote the public interest in avoiding fraud and favoritism in the procurement process, increasing competition for City business, and lowering contract costs. The contract provisions contained herein are pursuant to Section 6-129, and the rules of the Department of Small Business Services (“DSBS”) promulgated thereunder.

If this Contract is subject to the M/WBE Program established by Section 6-129, the specific requirements of MBE and/or WBE participation for this Contract are set forth in Schedule B of the Contract (entitled the “M/WBE Utilization Plan”) and are detailed below. Contracts solicited through the Procurement and Sourcing Solutions Portal (PASSPort) will contain a Schedule B in the format outlined in the Schedule B – M/WBE Utilization Plan & PASSPort rider. The provisions of this notice will apply to contracts subject to the M/WBE Program established by Section 6-129 regardless of solicitation source.

The Contractor must comply with all applicable MBE and WBE requirements for this Contract.

All provisions of Section 6-129 are hereby incorporated in the Contract by reference and all terms used herein that are not defined herein shall have the meanings given such terms in Section 6-129.

References to MBEs or WBEs shall also include such businesses certified pursuant to the executive law where credit is required by section 311 of the New York City Charter or other provision of law.

Article I, Part A, below, sets forth provisions related to the participation goals for construction, standard and professional services contracts.

Article I, Part B, below, sets forth miscellaneous provisions related to the M/WBE Program.

PART A

PARTICIPATION GOALS FOR CONSTRUCTION, STANDARD

AND PROFESSIONAL SERVICES CONTRACTS OR TASK ORDERS

1. The **MBE and/or WBE Participation Goals** established for this Contract or Task Orders issued pursuant to this Contract, (“**Participation Goals**”), as applicable, are set forth on Schedule B, Part 1 to this Contract (see Page 1, Line 1 Total Participation Goals) or will be set forth on Schedule B, Part 1 to Task Orders issued pursuant to this Contract, as applicable.

The **Participation Goals** represent a percentage of the total dollar value of the Contract or Task Order, as applicable, that may be achieved by awarding subcontracts to firms certified with DSBS as MBEs and/or WBEs, and/or by crediting the participation of prime contractors and/or qualified joint ventures as provided in Section 3 below, unless the goals have been waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

2. If **Participation Goals** have been established for this Contract or Task Orders issued pursuant to this Contract, Contractor agrees or shall agree as a material term of the Contract that Contractor shall be subject to the **Participation Goals**, unless the goals are waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

3. If **Participation Goals** have been established for this Contract or Task Order issued pursuant to this Contract, a Contractor that is an MBE and/or WBE shall be permitted to count its own participation toward fulfillment of the relevant **Participation Goal**, provided that in accordance with Section 6-129 the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that the Contractor pays to direct subcontractors (as defined in Section 6-129(c)(13)), and provided further that a Contractor that is certified as both an MBE and a WBE may count its own participation either toward the goal for MBEs or the goal for WBEs, but not both.

A Contractor that is a qualified joint venture (as defined in Section 6-129(c)(30)) shall be permitted to count a percentage of its own participation toward fulfillment of the relevant **Participation Goal**. In accordance with Section 6-129, the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that Contractor pays to direct subcontractors, and then multiplying the remainder by the percentage to be applied to total profit to determine the amount to which an MBE or WBE is entitled pursuant to the joint venture agreement, provided that where a participant in a joint venture is certified as both an MBE and a WBE, such amount shall be counted either toward the goal for MBEs or the goal for WBEs, but not both.

4. A. If **Participation Goals** have been established for this Contract, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Utilization Plan, Part 2 (see Pages 1-2) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end; as well as the name, addresses, and telephone numbers of the M/WBE subcontractors if required by the solicitation; and (d) the prospective contractor's required certification and affirmations. In the event that this M/WBE Utilization Plan indicates that the bidder or proposer, as applicable, does not intend to meet the **Participation Goals**, the bid or proposal, as applicable, shall be deemed non-responsive, unless Agency has granted the bidder or proposer, as applicable, a pre-award waiver of the **Participation Goals** in accordance with Section 6-129 and Part A, Section 10 below.

B. (i) If this Contract is for a master services agreement or other requirements type contract that will result in the issuance of Task Orders that will be individually registered ("Master Services Agreement") and is subject to M/WBE **Participation Goals**, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Participation Requirements for Master Services Agreements That Will Require Individually Registered Task Orders, Part 2 (page 2) indicating the prospective contractor's certification and required affirmations to make all reasonable good faith efforts to meet participation goals established on each individual Task Order issued pursuant to this Contract, or if a partial waiver is obtained or such goals are modified by the Agency, to meet the modified **Participation Goals** by soliciting and obtaining the participation of certified MBE and/or WBE firms. In the event that the Schedule B indicates that the bidder or proposer, as applicable, does not intend to meet the **Participation Goals** that may be established on Task Orders issued pursuant to this Contract, the bid or proposal, as applicable, shall be deemed non-responsive.

(ii) **Participation Goals** on a Master Services Agreement will be established for individual Task Orders issued after the Master Services Agreement is awarded. If **Participation Goals** have been established on a Task Order, a contractor shall be required to submit a Schedule B – M/WBE Utilization Plan For Independently Registered Task Orders That Are Issued Pursuant to Master Services Agreements, Part 2 (see Pages 1-2) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end; as well as the name, addresses, and telephone numbers of the M/WBE subcontractors if required by the solicitation; and (d) the prospective contractor’s required certification and affirmations. The contractor must engage in good faith efforts to meet the **Participation Goals** as established for the Task Order unless Agency has granted the contractor a pre-award waiver of the **Participation Goals** in accordance with Section 6-129 and Part A, Section 10 below.

C. THE BIDDER/PROPOSER MUST COMPLETE THE SCHEDULE B INCLUDED HEREIN (SCHEDULE B, PART 2). A SCHEDULE B SUBMITTED BY THE BIDDER/PROPOSER WHICH DOES NOT INCLUDE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS WILL BE DEEMED TO BE NON-RESPONSIVE, UNLESS A FULL WAIVER OF THE PARTICIPATION GOALS IS GRANTED (SCHEDULE B, PART 3). IN THE EVENT THAT THE CITY DETERMINES THAT THE BIDDER/PROPOSER HAS SUBMITTED A SCHEDULE B WHERE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS ARE COMPLETED BUT OTHER ASPECTS OF THE SCHEDULE B ARE NOT COMPLETE, OR CONTAIN A COPY OR COMPUTATION ERROR THAT IS AT ODDS WITH THE VENDOR CERTIFICATION AND AFFIRMATIONS, THE BIDDER/PROPOSER WILL BE NOTIFIED BY THE AGENCY AND WILL BE GIVEN FOUR (4) CALENDAR DAYS FROM RECEIPT OF NOTIFICATION TO CURE THE SPECIFIED DEFICIENCIES AND RETURN A COMPLETED SCHEDULE B TO THE AGENCY. FAILURE TO DO SO WILL RESULT IN A DETERMINATION THAT THE BID/PROPOSAL IS NON-RESPONSIVE. RECEIPT OF NOTIFICATION IS DEFINED AS THE DATE NOTICE IS E-MAILED OR FAXED (IF THE BIDDER/PROPOSER HAS PROVIDED AN E-MAIL ADDRESS OR FAX NUMBER), OR NO LATER THAN FIVE (5) CALENDAR DAYS FROM THE DATE OF MAILING OR UPON DELIVERY, IF DELIVERED.

5. Where an **M/WBE** Utilization Plan has been submitted, the Contractor shall, within 30 days of issuance by Agency of a notice to proceed, submit a list of proposed persons or entities to which it intends to award subcontracts within the subsequent 12 months. In the case of multi-year contracts, such list shall also be submitted every year thereafter. The Agency may also require the Contractor to report periodically about the contracts awarded by its direct subcontractors to indirect subcontractors (as defined in Section 6-129(c)(22)). **PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor must identify all those to which it intends to award construction subcontracts for any portion of the Wicks trade work at the time of bid submission, regardless of what point in the life of the contract such subcontracts will occur. In identifying intended subcontractors in the bid submission, bidders may satisfy any Participation Goals established for this Contract by proposing one or more subcontractors that are MBEs and/or WBEs for any portion of the Wicks trade work.** In the event that the Contractor’s selection of a subcontractor is disapproved, the Contractor shall have a reasonable time to propose alternate subcontractors.

6. MBE and WBE firms must be certified by DSBS in order for the Contractor to credit such firms’ participation toward the attainment of the **Participation Goals**. Such certification must occur prior to the

firms' commencement of work. A list of city-certified MBE and WBE firms may be obtained from the DSBS website at www.nyc.gov/buycertified, by emailing DSBS at buyer@sbs.nyc.gov, by calling (212) 513-6451, or by visiting or writing DSBS at One Liberty Plaza, New York, New York, 10006, 11th floor. Eligible firms that have not yet been certified may contact DSBS in order to seek certification by visiting www.nyc.gov/getcertified, emailing MWBE@sbs.nyc.gov, or calling the DSBS certification helpline at (212) 513-6311. A firm that is certified as both an MBE and a WBE may be counted either toward the goal for MBEs or the goal for WBEs, but not both. No credit shall be given for participation by a graduate MBE or graduate WBE, as defined in Section 6-129(c)(20).

7. Where an **M/WBE** Utilization Plan has been submitted, the Contractor shall, with each voucher for payment, and/or periodically as Agency may require, submit statements, certified under penalty of perjury, which shall include, but not be limited to, the total amount the Contractor paid to its direct subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount direct subcontractors paid to indirect subcontractors; the names, addresses and contact numbers of each MBE or WBE hired as a subcontractor by the Contractor, and, where applicable, hired by any of the Contractor's direct subcontractors; and the dates and amounts paid to each MBE or WBE. The Contractor shall also submit, along with its voucher for final payment: the total amount it paid to subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount its direct subcontractors paid directly to their indirect subcontractors; and a final list, certified under penalty of perjury, which shall include the name, address and contact information of each subcontractor that is an MBE or WBE, the work performed by, and the dates and amounts paid to each.

8. If payments made to, or work performed by, MBEs or WBEs are less than the amount specified in the Contractor's **M/WBE** Utilization Plan, Agency shall take appropriate action, in accordance with Section 6-129 and Article II below, unless the Contractor has obtained a modification of its **M/WBE** Utilization Plan in accordance with Section 6-129 and Part A, Section 11 below.

9. Where an **M/WBE** Utilization Plan has been submitted, and the Contractor requests a change order the value of which exceeds the greater of 10 percent of the Contract or Task Order, as applicable, or \$500,000, Agency shall review the scope of work for the Contract or Task Order, as applicable, and the scale and types of work involved in the change order, and determine whether the **Participation Goals** should be modified.

10. Pre-award waiver of the **Participation Goals**.

(a) A bidder or proposer, or contractor with respect to a Task Order, may seek a pre-award full or partial waiver of the Participation Goals in accordance with Section 6-129, which requests that Agency change one or more **Participation Goals** on the grounds that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, or by demonstrating that it has legitimate business reasons for proposing a lower level of subcontracting in its M/WBE Utilization Plan.

(b) To apply for a full or partial waiver of the **Participation Goals**, a bidder, proposer, or contractor, as applicable, must complete Part 3 of Schedule B and submit such request no later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due, in writing to the Agency by email at MWBEModification@ddc.nyc.gov. Full or partial waiver requests that are received later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due may be rejected as untimely. Bidders, proposers, or contractors, as applicable, who have submitted timely requests will receive an Agency response by no later than two (2) calendar days prior to the due date for bids, proposals, or Task Orders; provided, however, that if that date would fall on a weekend or holiday, an

Agency response will be provided by close-of-business on the business day before such weekend or holiday date.

(c) If the Agency determines that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, it shall revise the solicitation and extend the deadline for bids and proposals, or revise the Task Order, as applicable.

(d) Agency may grant a full or partial waiver of the **Participation Goals** to a bidder, proposer or contractor, as applicable, who demonstrates—before submission of the bid, proposal or Task Order, as applicable—that it has legitimate business reasons for proposing the level of

subcontracting in its **M/WBE** Utilization Plan. In making its determination, Agency shall consider factors that shall include, but not be limited to, whether the bidder, proposer or contractor, as applicable, has the capacity and the bona fide intention to perform the Contract without any subcontracting, or to perform the Contract without awarding the amount of subcontracts represented by the **Participation Goals**. In making such determination, Agency may consider whether the **M/WBE** Utilization Plan is consistent with past subcontracting practices of the bidder, proposer or contractor, as applicable, whether the bidder, proposer or contractor, as applicable, has made efforts to form a joint venture with a certified firm, and whether the bidder, proposer, or contractor, as applicable, has made good faith efforts to identify other portions of the Contract that it intends to subcontract.

11. Modification of **M/WBE** Utilization Plan. (a) A Contractor may request a modification of its **M/WBE** Utilization Plan after award of this Contract. **PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor may request a Modification of its M/WBE Utilization Plan as part of its bid submission.** The Agency may grant a request for Modification of a Contractor's **M/WBE** Utilization Plan if it determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts to meet the **Participation Goals**. In making such determination, Agency shall consider evidence of the following efforts, as applicable, along with any other relevant factors:

(i) The Contractor advertised opportunities to participate in the Contract, where appropriate, in general circulation media, trade and professional association publications and small business media, and publications of minority and women's business organizations;

(ii) The Contractor provided notice of specific opportunities to participate in the Contract, in a timely manner, to minority and women's business organizations;

(iii) The Contractor sent written notices, by certified mail or facsimile, in a timely manner, to advise MBEs or WBEs that their interest in the Contract was solicited;

(iv) The Contractor made efforts to identify portions of the work that could be substituted for portions originally designated for participation by MBEs and/or WBEs in the **M/WBE** Utilization Plan, and for which the Contractor claims an inability to retain MBEs or WBEs;

(v) The Contractor held meetings with MBEs and/or WBEs prior to the date their bids or proposals were due, for the purpose of explaining in detail the scope and requirements of the work for which their bids or proposals were solicited;

(vi) The Contractor made efforts to negotiate with MBEs and/or WBEs as relevant to perform specific subcontracts, or act as suppliers or service providers;

(vii) Timely written requests for assistance made by the Contractor to Agency's M/WBE liaison officer and to DSBS;

(viii) Description of how recommendations made by DSBS and Agency were acted upon and an explanation of why action upon such recommendations did not lead to the desired level of participation of MBEs and/or WBEs.

Agency's M/WBE officer shall provide written notice to the Contractor of the determination.

(b) The Agency may modify the **Participation Goals** when the scope of the work has been changed by the Agency in a manner that affects the scale and types of work that the Contractor indicated in its **M/WBE Utilization Plan** would be awarded to subcontractors.

12. If the Contractor was required to identify in its bid or proposal the MBEs and/or WBEs they intended to use in connection with the performance of the Contract or Task Order, substitutions to the identified firms may only be made with the approval of the Agency, which shall only be given when the Contractor has proposed to use a firm that would satisfy the **Participation Goals** to the same extent as the firm previously identified, unless the Agency determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts. In making such determination, the Agency shall require evidence of the efforts listed in Section 11(a) above, as applicable, along with any other relevant factors.

13. If this Contract is for an indefinite quantity of construction, standard or professional services or is a requirements type contract and the Contractor has submitted an **M/WBE Utilization Plan** and has committed to subcontract work to MBEs and/or WBEs in order to meet the **Participation Goals**, the Contractor will not be deemed in violation of the M/WBE Program requirements for this Contract with regard to any work which was intended to be subcontracted to an MBE and/or WBE to the extent that the Agency has determined that such work is not needed.

14. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, at least once annually during the term of the Contract or Task Order, as applicable, Agency shall review the Contractor's progress toward attainment of its **M/WBE Utilization Plan**, including but not limited to, by reviewing the percentage of work the Contractor has actually awarded to MBE and/or WBE subcontractors and the payments the Contractor made to such subcontractors.

15. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, Agency shall evaluate and assess the Contractor's performance in meeting those goals, and such evaluation and assessment shall become part of the Contractor's overall contract performance evaluation.

PART B

MISCELLANEOUS

1. The Contractor shall take notice that, if this solicitation requires the establishment of a **M/WBE Utilization Plan**, the resulting contract may be audited by DSBS to determine compliance with Section 6-129. See §6-129(e)(10). Furthermore, such resulting contract may also be examined by the City's Comptroller to assess compliance with the **M/WBE Utilization Plan**.

2. Pursuant to DSBS rules, construction contracts that include a requirement for a **M/WBE** Utilization Plan shall not be subject to the law governing Locally Based Enterprises set forth in Section 6-108.1 of the Administrative Code of the City of New York.
3. DSBS is available to assist contractors and potential contractors in determining the availability of MBEs and/or WBEs to participate as subcontractors, and in identifying opportunities that are appropriate for participation by MBEs and/or WBEs in contracts.
4. Prospective contractors are encouraged to enter into qualified joint venture agreements with MBEs and/or WBEs as defined by Section 6-129(c)(30).
5. By submitting a bid or proposal the Contractor hereby acknowledges its understanding of the M/WBE Program requirements set forth herein and the pertinent provisions of Section 6-129, and any rules promulgated thereunder, and if awarded this Contract, the Contractor hereby agrees to comply with the M/WBE Program requirements of this Contract and pertinent provisions of Section 6-129, and any rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract. The Contractor hereby agrees to make all reasonable, good faith efforts to solicit and obtain the participation of MBEs and/or WBEs to meet the required **Participation Goals**.

ARTICLE II. ENFORCEMENT

1. If Agency determines that a bidder or proposer, as applicable, has, in relation to this procurement, violated Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, Agency may disqualify such bidder or proposer, as applicable, from competing for this Contract and the Agency may revoke such bidder's or proposer's prequalification status, if applicable.
2. Whenever Agency believes that the Contractor or a subcontractor is not in compliance with Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to any **M/WBE** Utilization Plan, Agency shall send a written notice to the Contractor describing the alleged noncompliance and offering the Contractor an opportunity to be heard. Agency shall then conduct an investigation to determine whether such Contractor or subcontractor is in compliance.
3. In the event that the Contractor has been found to have violated Section 6-129, the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to, any **M/WBE** Utilization Plan, Agency may determine that one of the following actions should be taken:
 - (a) entering into an agreement with the Contractor allowing the Contractor to cure the violation;
 - (b) revoking the Contractor's pre-qualification to bid or make proposals for future contracts;
 - (c) making a finding that the Contractor is in default of the Contract;
 - (d) terminating the Contract;
 - (e) declaring the Contractor to be in breach of Contract;
 - (f) withholding payment or reimbursement;
 - (g) determining not to renew the Contract;
 - (h) assessing actual and consequential damages;

(i) assessing liquidated damages or reducing fees, provided that liquidated damages may be based on amounts representing costs of delays in carrying out the purposes of the M/WBE Program, or in meeting the purposes of the Contract, the costs of meeting utilization goals through additional procurements, the administrative costs of investigation and enforcement, or other factors set forth in the Contract;

(j) exercising rights under the Contract to procure goods, services or construction from another contractor and charge the cost of such contract to the Contractor that has been found to be in noncompliance; or

(k) taking any other appropriate remedy.

4. If an **M/WBE** Utilization Plan has been submitted, and pursuant to this Article II, Section 3, the Contractor has been found to have failed to fulfill its **Participation Goals** contained in its **M/WBE** Utilization Plan or the **Participation Goals** as modified by Agency pursuant to Article I, Part A, Section 11, Agency may assess liquidated damages in the amount of ten percent (10%) of the difference between the dollar amount of work required to be awarded to MBE and/or WBE firms to meet the **Participation Goals** and the dollar amount the Contractor actually awarded and paid, and/or credited, to MBE and/or WBE firms. In view of the difficulty of accurately ascertaining the loss which the City will suffer by reason of Contractor's failure to meet the **Participation Goals**, the foregoing amount is hereby fixed and agreed as the liquidated damages that the City will suffer by reason of such failure, and not as a penalty. Agency may deduct and retain out of any monies which may become due under this Contract the amount of any such liquidated damages; and in case the amount which may become due under this Contract shall be less than the amount of liquidated damages suffered by the City, the Contractor shall be liable to pay the difference.

5. Whenever Agency has reason to believe that an MBE and/or WBE is not qualified for certification, or is participating in a contract in a manner that does not serve a commercially useful function (as defined in Section 6-129(c)(8)), or has violated any provision of Section 6-129, Agency shall notify the Commissioner of DSBS who shall determine whether the certification of such business enterprise should be revoked.

6. Statements made in any instrument submitted to Agency pursuant to Section 6-129 shall be submitted under penalty of perjury and any false or misleading statement or omission shall be grounds for the application of any applicable criminal and/or civil penalties for perjury. The making of a false or fraudulent statement by an MBE and/or WBE in any instrument submitted pursuant to Section 6-129 shall, in addition, be grounds for revocation of its certification.

7. The Contractor's record in implementing its **M/WBE** Utilization Plan shall be a factor in the evaluation of its performance. Whenever Agency determines that a Contractor's compliance with an **M/WBE** Utilization Plan has been unsatisfactory, Agency shall, after consultation with the City Chief Procurement Officer, file an advice of caution form for inclusion in PASSPort as caution data.

Affirmation

The Bidder affirms and declares:

1. The said bidder is of lawful age and the only one interested in this bid; and no person, firm or corporation other than hereinbefore named has any interest in this bid, or in the Contract proposed to be taken.
2. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief: (1) the prices in this bid have been arrived at independently without collusion, consultation, communication or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor; (2) unless otherwise required by law, the prices quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and (3) no attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.
3. No councilman or other officer or employee or person whose salary is payable in whole or in part from the City Treasury is directly or indirectly interested in this bid, or in the supplies, materials, equipment, work or labor to which it relates, or in any of the profits thereof.
4. The bidder is not in arrears to the City of New York upon debt or contract or taxes, and is not a defaulter, as surety or otherwise, upon any obligation of the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York or State of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except as disclosed in PASSPort.
5. The bidder hereby affirms that it has paid all applicable City income, excise and other taxes for all it has conducted business activities in New York City.
6. The bidder, as an individual, or as a member, partner, director or officer of the bidder, if the same be a firm, partnership or corporation, executes this document expressly warranting and representing that should this bid be accepted by the City and the Contract awarded to him, he and his subcontractors engaged in the performance:

(1) will comply with the provisions of Section 6-108 of the Administrative Code of the City of New York and the non-discrimination provisions of Section 220a of the New York State Labor Law, as more expressly and in detail set forth in the Agreement; (2) will comply with Section 6-109 of the Administrative Code of the City of New York in relation to minimum wages and other stipulations as more expressly and in detail set forth in the Agreement; (3) have complied with the provisions of the aforesaid laws since their respective effective dates, and (4) will post notices to be furnished by the City, setting forth the requirements of the aforesaid laws in prominent and conspicuous places in each and every plant, factory, building and structure where employees engaged in the performance of the Contract can readily view it, and will continue to keep such notices posted until the supplies, materials and equipment, or work labor and services required to be furnished or rendered by the Contractor have been finally accepted by the City. In the event of any breach or violation of the foregoing, the Contractor may be subject to damages, liquidated or otherwise, cancellation of the Contract and suspension as a

bidder for a period of three years. (The words, "the bidder", "he", "his", and "him" where used shall mean the individual bidder, firm, partnership or corporation executing this bid).

7. Compliance Report

The bidder, as an individual, or as a member, partner, director, or officer of the bidder, if the same be a firm, partnership, or corporation, (1) represents that his attention has been specifically drawn to Executive Order No. 50, dated April 25, 1980, on Equal Employment Compliance of the contract, and (2) warrants that he will comply with the provisions of Executive Order No. 50. The Employment Report must be submitted as part of the bid.

8. The bidder, as an individual, or as a member, partner, director, or officer of the bidder, if the same be a firm, partnership, or corporation, executes this document expressly warranting that he will comply with: (1) the provision of the contract on providing records, Chapter 8.
9. By submission of this bid, the bidder certifies that it now has and will continue to have the financial capability to fully perform the work required for this contract. Any award of this contract will be made in reliance upon such certification. Upon request therefor, the bidder will submit written verification of such financial capability in a form that is acceptable to the department.
10. In accordance with Section 165 of the State Finance Law, the bidder agrees that tropical hardwoods, as defined in Section 165 of the State Finance Law, shall not be utilized in the performance of this Contract, except as the same are permitted by the foregoing provision of law.
11. The bidder has visited and examined the site of the work and has carefully examined the Contract in the form approved by the Corporation Counsel, and will execute the Contract and perform all its items, covenants and conditions, and will provide, furnish and deliver all the work, materials, supplies, tools and appliances for all labor and materials necessary or required for the hereinafter named work, all in strict conformity with the Contract, for the prices set forth in the Bid Schedule.
12. M/WBE UTILIZATION PLAN: By signing its bid, the bidder agrees to the M/WBE Vendor Certification and Required Affirmations set forth below, unless a full waiver of the Participation Goals is granted.

I hereby:

- 1) acknowledge my understanding of the M/WBE participation requirements as set forth in this Contract and the pertinent provisions of Section 6-129 of the Administrative Code of the City of New York and the rules promulgated thereunder;
- 2) affirm that the information supplied in support of the M/WBE Utilization Plan is true and correct;
- 3) agree, if awarded this Contract, to comply with the M/WBE participation requirements of this Contract, the pertinent provisions of Section 6-129, and the rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract;
- 4) agree and affirm that it is a material term of this Contract that the Vendor will award the total dollar value of the M/WBE Participation Goals to certified MBEs and/or WBEs, unless a full waiver is obtained or such goals are modified by the Agency; and

agree and affirm, if awarded this Contract, to make all reasonable, good faith efforts to meet the M/WBE Participation Goals, or if a partial waiver is obtained or such goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms.

Pre-Award Process

The bidder is advised that as part of the pre-award review of its bid, it may be required to submit the information described in Sections (A) through (D) below. If required, the bidder must submit such information within five (5) business days following receipt of notification from DDC that it is among the low bidders. Such notification from DDC will be by email and will specify the types of information which must be submitted directly to DDC.

In the event the bidder fails to submit the required information within the specified time frame, its bid may be rejected as nonresponsive.

- (A) **Project Reference Form:** If required, the bidder must complete and submit the Project Reference Form set forth in this Bid Booklet. The Project Reference Form consists of 3 parts: (1) Contracts Completed by the Bidder, (2) Contracts Currently Under Construction by the Bidder, and (3) Pending Contracts Not Yet Started by the Bidder.
- (B) **Copy of License:** If required, the bidder must submit a copy of the license under which the bidder will be performing the work. Such license must clearly show the following: (1) Name of the Licensee, (2) License Number, and (3) Expiration date of the License. A copy of the license will be required from bidders for the following contracts: Plumbing Work, Electrical Work and Asbestos Abatement.
- (C) **Financial Information:** If required, the bidder must submit the financial information described below:
 - (1) **Audited Financial Statements:** Financial statements (Balance Sheet and Income Statement) of the entity submitting the bid, as audited by an independent auditor licensed to practice as a certified public accountant (CPA). Audited financial statements for the three most recent fiscal years must be submitted. Each such financial statement must include the auditor's standard report.

If the bidder does not have audited financial statements, it must submit an affidavit attesting to the fact that the bidder does not have such statements. In addition, the bidder must submit the following documentation covering the three most recent fiscal years: signed federal tax returns, unaudited financial statements, and a "certified review letter" from a certified public accountant (CPA) verifying the unaudited financial statements.

Unless the most recent audited or unaudited financial statement was issued within ninety (90) days, the bidder must submit interim financial information that includes data on financial position and results of operation (income data) for the current fiscal year. Such information may be summarized on a monthly or quarterly basis or at other intervals.

- (2) **Schedule of Aged Accounts Receivable,** including portion due within ninety (90) days.

(D) **Project Specific Information:** If required, the bidder must submit the project specific information described below:

- (1) Statement indicating the number of years of experience the bidder has had and in what type of construction.
- (2) Resumes of all key personnel to be involved in the project, including the proposed project superintendent.
- (3) List of significant pieces of equipment expected to be used for the contract, and whether such equipment is owned or leased.
- (4) Description of work expected to be subcontracted, and to what firms, if known.
- (5) List of key material suppliers.
- (6) Preliminary bar chart time schedule
- (7) Contractor's expected means of financing the project. This should be based on the assumption that the contractor is required to finance 2X average monthly billings throughout the contract period.
- (8) Any other issues the contractor sees as impacting his ability to complete the project according to the contract.

In addition to the information described in Sections (A) through (D) above, the bidder must submit such additional information as the Commissioner may require, including without limitation, an additional bid breakdown file which is detailed to the CSI Section level, coordinated with the Contract specifications, as well as an explanation or justification for specific unit price items.

The bidder is further advised that it may be required to attend a pre-award meeting with DDC representatives. If such a meeting is convened, the bidder will be advised as to any additional material to be provided.

CONTRACTOR'S SUMMARY OF BID BREAKDOWN FORM

Project ID: LQD122HO1
Project Name: HOLLIS LIBRARY INTERIOR RENOVATION
Name of the Bidder: STALCO CONSTRUCTION, INC.

CSI Division:	Total Cost
DIVISION 01 - GENERAL REQUIREMENTS	\$ 1,280,000.00
DIVISION 02 - EXISTING CONDITIONS	\$ 375,000.00
DIVISION 03 - CONCRETE	\$ 150,000.00
DIVISION 04 - MASONRY	\$ 10,000.00
DIVISION 05 - METALS	\$ 126,000.00
DIVISION 06 - WOOD, PLASTICS, COMPOSITES	\$ 484,000.00
DIVISION 07 - THERMAL AND MOISTURE PROTECTION	\$ 80,000.00
DIVISION 08 - OPENINGS	\$ 294,000.00
DIVISION 09 - FINISHES	\$ 822,000.00
DIVISION 10 - SPECIALTIES	\$ 92,000.00
DIVISION 11 - EQUIPMENT	\$ 78,000.00
DIVISION 12 - FURNISHINGS	\$ 12,000.00
DIVISION 13 - SPECIAL CONSTRUCTION	N/A
DIVISION 14 - CONVEYING EQUIPMENT	N/A
DIVISION 21 - FIRE SUPPRESSION	N/A
DIVISION 22 - PLUMBING	\$ 102,000.00
DIVISION 23 - HEATING, VENTILATING, AND AIR CONDITIONING (HVAC)	\$ 870,000.00
DIVISION 25 - INTEGRATED AUTOMATION	N/A
DIVISION 26 - ELECTRICAL	\$ 745,000.00
DIVISION 27 - COMMUNICATIONS	\$ 145,000.00
DIVISION 28 - ELECTRONIC SAFETY AND SECURITY	\$ 430,000.00
DIVISION 31 - EARTHWORK	\$ 5,000.00
DIVISION 32 - EXTERIOR IMPROVEMENTS	N/A
DIVISION 33 - UTILITIES	N/A
DIVISION 34 - TRANSPORTATION	N/A
DIVISION 35 - WATERWAY AND MARINE CONSTRUCTION	N/A
DIVISION 40 - PROCESS INTEGRATION	N/A
DIVISION 41 - MATERIAL PROCESSING AND HANDLING EQUIPMENT	N/A
DIVISION 42 - PROCESS HEATING, COOLING, AND DRYING EQUIPMENT	N/A
DIVISION 43 - PROCESS GAS AND LIQUID HANDLING, PURIFICATION AND STORAGE EQUIPMENT	N/A
DIVISION 44 - POLLUTION AND WASTE CONTROL EQUIPMENT	N/A
DIVISION 45 - INDUSTRY-SPECIFIC MANUFACTURING EQUIPMENT	N/A
DIVISION 46 - WATER AND WASTEWATER EQUIPMENT	N/A
DIVISION 48 - ELECTRICAL POWER GENERATION	N/A
Total Cost Summary (Including General Requirements):	\$ 6,100,000.00

Note : Ignore CSI divisions that do not apply to this project.

BID SUBMISSION FORM

Bidder Name: Stalco Construction, Inc.
Procurement Title: 85023B0067-LQD122HO1 Hollis Library Interior
Renovation (Medium GC PQL)
RFx Name: 85023B0067-LQD122HO1 Hollis Library Interior
Renovation (Medium GC PQL)

The above-named bidder affirms and declares:

1. The bidder has completed and submitted all required information for the above procurement in the PASSPort system;
2. Any discrepancy between the bid price listed on this Bid Submission Form and the bid information submitted in PASSPort may result in the agency finding the bid non-responsive; and
3. This bid is being submitted in accordance with New York State General Municipal Law § 103.

Lump Sum Bid Amount
(Bid Price Item Grid) \$ 5,782,000
+ All Allowances
(Allowances Item Grid) \$ 318,000

= Total Bid Price:
(a/k/a Total Amount) \$ 6,100,000

Bidder Signature

EIN (if applicable): 11-3149290
(EIN must match the EIN of the entity that submitted bid information in PASSPort)

Bidder Name: Stalco Construction, Inc.

By: Alan Nahmias - President
(Name of Partner or Corporate Officer)

Signature: 
(Signature of Partner or Corporate Officer)

SCHEDULE B – M/WBE Utilization Plan

Part 1: M/WBE Participation Goals

Contract Overview (To be completed by contracting agency)

APT E-Pin# _____ FMS Project ID# LQD122HO1
 Project Title LQD122HO1 Agency PIN# _____
 Contracting Agency Department of Design and Construction Bid/Proposal Response Date 9/14/23
 Agency Address 30-30 Thomson Avenue City Long Island City State NY ZIP 11101
 Contact Person Maria Johnston Title MWBE Compliance Analyst
 Telephone 718-391-1234 Email Latorrema@ddc.nyc.gov

Project Description (attach additional pages if necessary)
Hollis Library Renovation

Bidder or proposer is required OR is not required to specifically identify the contact information of all M/WBE firms they intend to use as a subcontractor on this contract, including the M/WBE vendor name, address and telephone number in the space provided below in Part 2 Section 4.

M/WBE Participation Goals for Services

Enter the percentage amount for each category or for an unspecified Goal.

Prime Contract Industry: Construction

Category and Breakdown:

Unspecified	<u>0.00</u>	%
Black American	<u>15.00</u>	%
Hispanic American	<u>15.00</u>	%
Asian American	<u>0.00</u>	%
Women	<u>0.00</u>	%

Total Participation Goals 30.00 %
 Line 1

Part 2: M/WBE Participation Plan

(To be completed by the bidder/proposer unless granted a full waiver, which must be submitted with the bid/proposal in lieu of this form)

Section 1: Prime Contractor Contact Information

Tax ID# 11-3149290 FMS Vendor ID# _____
 Business Name Stalco Construction, Inc. Contact Person Alan Nahmias - President
 Business Address 1316 Motor Pkwy. City Islandia State NY ZIP 11749
 Telephone (631) 254-6767 Email estimating@stalcoconstruction.com

Section 3: Contractor M/WBE Utilization Plan

Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation. Check applicable box. The Proposer or Bidder will fulfill the M/WBE Participation Goals:

- As an M/WBE Prime Contractor that will self-perform and/or subcontract to other M/WBE firms a portion of the contract the value of which is at least the amount located on Lines 2 or 3 in the panels in Section 2, as applicable. The value of any work subcontracted to non-M/WBE firms will not be credited towards fulfillment of M/WBE Participation Goals. Please check all that apply to Prime Contractor: MBE WBE
- As a Qualified Joint Venture with an M/WBE partner, in which the value of the M/WBE partner's participation and/or the value of any work subcontracted to other M/WBE firms is at least the amount located on Lines 2 or 3 in the panels in Section 2, as applicable. The value of any work subcontracted to non-M/WBE firms will not be credited towards fulfillment of M/WBE Participation Goals.
- As a non-M/WBE Prime Contractor that will enter into subcontracts with M/WBE firms the value of which is at least the amount located on Lines 2 or 3 in the panels in Section 2, as applicable.

Section 2: M/WBE Utilization Goal Calculation

Prime Contractor Adopting Agency Participation Goals

For Prime Contractors (including Qualified Joint Ventures and M/WBE firms) adopting Agency M/WBE Participation Goals.

Total Bid/Proposal Value \$ 6,100,000.00
 multiplied by x
 Total Participation Goals 30.00 %
 (Line 1 above)

Calculated M/WBE Participation Amount \$ 1,830,000.00
 Line 2

OR

Prime Contractor With Partial Waiver Approval Adopting Revised Participation Goals

For Prime Contractors (including Qualified Joint Ventures and M/WBE firms) adopting Revised M/WBE Participation Goals.

Total Bid/Proposal Value \$ _____
 multiplied by _____ x
 Total Revised Participation Goals _____ %

Calculated M/WBE Participation Amount \$ _____
 Line 3

Section 4: General Contract Information

What is the expected percentage of the total contract dollar value that you expect to award in subcontracts for services, regardless of M/WBE status?

65.00 %

Enter a brief description of the type(s) and dollar value of subcontracts for all services you plan to subcontract if awarded this contract, along with the anticipated start and end dates for such subcontracts. For each item, indicate whether the work is designated for participation by an M/WBE. Where the contracting agency's solicitation has indicated a requirement that the bidder or proposer specifically identify the contact information of all M/WBEs they intend to use on this contract, vendors must also include the M/WBE vendor name, address and telephone number in the space provided below. Use additional sheets if necessary.

Description of Work	Start Date (MM/YY)	End Date (MM/YY)	Planned \$ Amount	Designated for M/WBE		M/WBE Vendor Name	M/WBE Address	M/WBE Telephone
				Y	N			
B 1. Electrical	TBD / TBD	TBD / TBD	\$ 1,102,600.00	<input checked="" type="checkbox"/>	<input type="checkbox"/>	OM Electrical Contracting Svcs.	144-34 Jamaica Ave., Jamaica, NY 11435	(646) 643 - 7741
B 2. Demolition/Abatement	TBD / TBD	TBD / TBD	\$ 249,000.00	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Carved In Stone	613 Linwood St., Brooklyn, NY 11208	(718) 415 - 8474
H 3. Security	TBD / TBD	TBD / TBD	\$ 252,000.00	<input checked="" type="checkbox"/>	<input type="checkbox"/>	K&H Security Solutions	116 Radio Circle Dr., Mt. Kisco, NY 10549	(212) 390 - 0011
H 4. Casework/Mill Work	TBD / TBD	TBD / TBD	\$ 325,000.00	<input checked="" type="checkbox"/>	<input type="checkbox"/>	W. Designe, Inc.	3867 Danbery Rd., Brewster, NY 10509	(914) 736 - 1058
H 5. Painting	TBD / TBD	TBD / TBD	\$ 48,000.00	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Champion Painting Specialties Svcs. Corp.	20 Brandywine Dr., Deer Park, NY 11729	(845) 444 - 5260
H 6. Storefront/Windows	TBD / TBD	TBD / TBD	\$ 155,755.00	<input checked="" type="checkbox"/>	<input type="checkbox"/>	R. Acevedo	608 Castle Hill Ave., Bronx, NY 10475	(718) 824 - 5972
H 7. Structural Steel	TBD / TBD	TBD / TBD	\$ 105,000.00	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Roma Iron Workers, Inc.	965 Stanley Ave., Brooklyn, NY 11208	(718) 972 - 4188
8. Plumbing	TBD / TBD	TBD / TBD	\$ 81,750.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Maccarone Plumbing, Inc.	10 Sea Cliff Ave., Glen Cove, NY 11542	(516) 671 - 3232
9. Carpentry	TBD / TBD	TBD / TBD	\$ 492,450.00	<input checked="" type="checkbox"/>	<input type="checkbox"/>	PK Interiors, Inc.	2000 Maple Hill St., Suite 5 Yorktown Heights, NY 10598	(914) 293 - 0304
10. Earthwork/Concrete	TBD / TBD	TBD / TBD	\$ 127,300.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Eastport Excavation, Inc.	774 Marconi Ave., Ronkonkoma, NY 11779	(631) 981 - 2108

Section 5: Vendor Certification and Required Affirmations

H - Hispanic

B - Black

I hereby:

1. acknowledge my understanding of the M/WBE participation requirements as set forth herein and the pertinent provisions of Section 6-129 of the Administrative Code of the City of New York ("Section 6-129"), and the rules promulgated thereunder;
2. affirm that the information supplied in support of this M/WBE Utilization Plan is true and correct;
3. agree, if awarded this Contract, to comply with the M/WBE participation requirements of this Contract, the pertinent provisions of Section 6-129, and the rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract;
4. agree and affirm that it is a material term of this Contract that the Vendor will award the total dollar value of the M/WBE Participation Goals to certified MBEs and/or WBEs, unless a full waiver is obtained or such Goals are modified by the Agency; and
5. agree and affirm, if awarded this Contract, to make all reasonable, good faith efforts to meet the M/WBE Participation Goals, or If a partial waiver is obtained or such Goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms.

Signature _____

Date 10/25/23

Print Name Alan Nahmias

Title President

Section 4: General Contract Information

What is the expected percentage of the total contract dollar value that you expect to award in subcontracts for services, regardless of M/WBE status? 65.00 %

Enter a brief description of the type(s) and dollar value of subcontracts for all services you plan to subcontract if awarded this contract, along with the anticipated start and end dates for such subcontracts. For each item, indicate whether the work is designated for participation by an M/WBE. Where the contracting agency's solicitation has indicated a requirement that the bidder or proposer specifically identify the contact information of all M/WBEs they intend to use on this contract, vendors must also include the M/WBE vendor name, address and telephone number in the space provided below. Use additional sheets if necessary.

Description of Work	Start Date (MM/YY)	End Date (MM/YY)	Planned \$ Amount	Designated for M/WBE		M/WBE Vendor Name	M/WBE Address	M/WBE Telephone
				Y	N			
1. Abatement	TBD / TBD	TBD / TBD	\$ 78,500.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>	MAB Renovation Group, Corp.	207 W. 102nd St. Apt. 5D, New York, NY 10025	(917) 716 - 5424
2. Roofing	TBD / TBD	TBD / TBD	\$ 49,500.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Tuttle Roofing Co., Inc.	71 Cleveland Ave., Bay Shore, NY 11706	(718) 392 - 6832
3. Ceramic Tile	TBD / TBD	TBD / TBD	\$ 46,000.00	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Amadeus Marble & Granite Corp.	1169 E. 156th St., Bronx, NY 10474	(718) 542 - 4300
4. Flooring	TBD / TBD	TBD / TBD	\$ 56,650.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Sherland & Farrington, Inc.	353 W. 39th St. 3rd Floor, New York NY 10018	(212) 206 - 7500
5. Epoxy Flooring	TBD / TBD	TBD / TBD	\$ 12,375.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Nationwide Commercial & Industrial Surfaces	1640 New Highway, Farmingdale, NY 11735	(631) 563 - 9000
6. Folding Partitions	TBD / TBD	TBD / TBD	\$ 36,225.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Contemporary Wall Systems	567 Commerce St., Franklin Lakes, NJ 07417	(973) 884 - 0474
7. Signage	TBD / TBD	TBD / TBD	\$ 19,668.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Sign Design Group of NY	395 Moreland Rd., Hauppauge, NY 11788	(718) 392 - 0779
8. HVAC	TBD / TBD	TBD / TBD	\$ 725,000.00	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Shona Mechanical, Inc.	420 Peconic St., Ronkonkoma, NY 11779	(631) 675 - 0609
H 9. General Labor	TBD / TBD	TBD / TBD	\$ 75,000.00	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Classico Building Maintenance	2580 Boston Rd., Bronx, NY 10467	(718) 881 - 5551
10.	/	/	\$	<input type="checkbox"/>	<input type="checkbox"/>			() -

Section 5: Vendor Certification and Required Affirmations

H - Hispanic

B - Black

I hereby:

1. acknowledge my understanding of the M/WBE participation requirements as set forth herein and the pertinent provisions of Section 6-129 of the Administrative Code of the City of New York ("Section 6-129"), and the rules promulgated thereunder;
2. affirm that the information supplied in support of this M/WBE Utilization Plan is true and correct;
3. agree, if awarded this Contract, to comply with the M/WBE participation requirements of this Contract, the pertinent provisions of Section 6-129, and the rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract;
4. agree and affirm that it is a material term of this Contract that the Vendor will award the total dollar value of the M/WBE Participation Goals to certified MBEs and/or WBEs, unless a full waiver is obtained or such Goals are modified by the Agency; and
5. agree and affirm, if awarded this Contract, to make all reasonable, good faith efforts to meet the M/WBE Participation Goals, or if a partial waiver is obtained or such Goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms.

Signature _____ Date 10/25/23
 Print Name Alan Nahmias Title President

The City of New York Department of Small Business Services
Division of Labor Services Contract Compliance Unit
1 Liberty Plaza, New York, New York 10006
Phone: (212) 513 - 6323
Fax: (212) 618-8879

CONSTRUCTION EMPLOYMENT REPORT

GENERAL INFORMATION

1. Your contractual relationship in this contract is: Prime contractor Subcontractor
- 1a. Are M/WBE goals attached to this project? Yes No
2. Please check one of the following if your firm would like information on how to certify with the City of New York as a: N/A
- Minority Owned Business Enterprise Locally Based Business Enterprise
 Women Owned Business Enterprise Emerging Business Enterprise
 Disadvantaged Business Enterprise
- 2a. If you are certified as an **MBE, WBE, LBE, EBE** or **DBE**, what city/state agency are you certified with? _____ Are you DBE certified? Yes No
3. Please indicate if you would like assistance from SBS in identifying certified M/WBEs for contracting opportunities: Yes No N/A
4. Is this project subject to a project labor agreement? Yes No
5. Are you a Union contractor? Yes No If yes, please list which local(s) you affiliated with _____
6. Are you a Veteran owned company? Yes No

PART I: CONTRACTOR/SUBCONTRACTOR INFORMATION

7. 11-3149290 estimating@stalcoconstruction.com
Employer Identification Number or Federal Tax I.D. Email Address
8. Stalco Construction, Inc.
Company Name
9. 1316 Motor Pkwy., Islandia, NY 11749
Company Address and Zip Code
10. Alan Nahmias - President (631) 254-6767
Chief Operating Officer Telephone Number
11. Same
Designated Equal Opportunity Compliance Officer Telephone Number
(If same as Item #10, write "same")
12. Same
Name of Prime Contractor and Contact Person
(If same as Item #8, write "same")

13. Number of employees in your company: ~38

14. Contract information:

(a) Department of Desing & Construction (b) \$6,100,000.00
Contracting Agency (City Agency) Contract Amount

(c) LQD122HO1 (d) _____
Procurement Identification Number (PIN) Contract Registration Number (CT#)

(e) NTP (f) NTP - 540 ccd's
Projected Commencement Date Projected Completion Date

(g) Description and location of proposed contract:

Hollis Library Interior Renovation

15. Has your firm been reviewed by the Division of Labor Services (DLS) within the past 36 months and issued a Certificate of Approval? Yes ___ No X

If yes, attach a copy of certificate.

16. Has DLS within the past month reviewed an Employment Report submission for your company and issued a Conditional Certificate of Approval? Yes ___ No X

If yes, attach a copy of certificate.

NOTE: DLS WILL NOT ISSUE A CONTINUED CERTIFICATE OF APPROVAL IN CONNECTION WITH THIS CONTRACT UNLESS THE REQUIRED CORRECTIVE ACTIONS IN PRIOR CONDITIONAL CERTIFICATES OF APPROVAL HAVE BEEN TAKEN.

17. Has an Employment Report already been submitted for a different contract (not covered by this Employment Report) for which you have not yet received compliance certificate? Yes ___ No X If yes,

Date submitted: _____
Agency to which submitted: _____
Name of Agency Person: _____
Contract No: _____
Telephone: _____

18. Has your company in the past 36 months been audited by the United States Department of Labor, Office of Federal Contract Compliance Programs (OFCCP)? Yes ___ No X

If yes,

(a) Name and address of OFCCP office.

(b) Was a Certificate of Equal Employment Compliance issued within the past 36 months?
Yes___ No X

If yes, attach a copy of such certificate.

(c) Were any corrective actions required or agreed to? Yes___ No___

If yes, attach a copy of such requirements or agreements.

(d) Were any deficiencies found? Yes___ No___

If yes, attach a copy of such findings.

19. Is your company or its affiliates a member or members of an employers' trade association which is responsible for negotiating collective bargaining agreements (CBA) which affect construction site hiring? Yes___ No X

If yes, attach a list of such associations and all applicable CBA's.

PART II: DOCUMENTS REQUIRED

20. For the following policies or practices, attach the relevant documents (e.g., printed booklets, brochures, manuals, memoranda, etc.). If the policy(ies) are unwritten, attach a full explanation of the practices. See instructions.

- X (a) Health benefit coverage/description(s) for all management, nonunion and union employees (whether company or union administered)
- ___ (b) Disability, life, other insurance coverage/description
- ___ (c) Employee Policy/Handbook
- ___ (d) Personnel Policy/Manual
- ___ (e) Supervisor's Policy/Manual
- X (f) Pension plan or 401k coverage/description for all management, nonunion and union employees, whether company or union administered
- ___ (g) Collective bargaining agreement(s).
- ___ (h) Employment Application(s)
- ___ (i) Employee evaluation policy/form(s).
- ___ (j) Does your firm have medical and/or non-medical (i.e. education, military, personal, pregnancy, child care) leave policy?
- ___ (k) Sexual Harassment Policy

21. To comply with the Immigration Reform and Control Act of 1986 when and of whom does your firm require the completion of an I-9 Form?

- (a) Prior to job offer Yes___ No___
- (b) After a conditional job offer Yes___ No___
- (c) After a job offer Yes X No___
- (d) Within the first three days on the job Yes___ No___
- (e) To some applicants Yes___ No___
- (f) To all applicants Yes___ No___
- (g) To some employees Yes___ No___
- (h) To all employees Yes___ No___

22. Explain where and how completed I-9 Forms, with their supportive documentation, are maintained and made accessible.

23. Does your firm or any of its collective bargaining agreements require job applicants to take a medical examination? Yes___ No X

If yes, is the medical examination given:

- (a) Prior to a job offer Yes___ No___
- (b) After a conditional job offer Yes___ No___
- (c) After a job offer Yes___ No___
- (d) To all applicants Yes___ No___
- (e) Only to some applicants Yes___ No___

If yes, list for which applicants below and attach copies of all medical examination or questionnaire forms and instructions utilized for these examinations.

24. Do you have a written equal employment opportunity (EEO) policy? Yes___ No X

If yes, list the document(s) and page number(s) where these written policies are located.

25. Does the company have a current affirmative action plan(s) (AAP)

___ Minorities and Women

___ Individuals with handicaps

X Other. Please specify We are any equal opportunity company.

26. Does your firm or collective bargaining agreement(s) have an internal grievance procedure with respect to EEO complaints? Yes___ No X

If yes, please attach a copy of this policy.

If no, attach a report detailing your firm's unwritten procedure for handling EEO complaints.

27. Has any employee, within the past three years, filed a complaint pursuant to an internal grievance procedure or with any official of your firm with respect to equal employment opportunity? Yes___ No X

If yes, attach an internal complaint log. See instructions.

28. Has your firm, within the past three years, been named as a defendant (or respondent) in any administrative or judicial action where the complainant (plaintiff) alleged violation of any anti-discrimination or affirmative action laws? Yes___ No X

If yes, attach a log. See instructions.

29. Are there any jobs for which there are physical qualifications? Yes___ No X

If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

30. Are there any jobs for which there are age, race, color, national origin, sex, creed, disability, marital status, sexual orientation, or citizenship qualifications? Yes___ No X

If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

SIGNATURE PAGE

I, (print name of authorized official signing) Alan Nahmias - President hereby certify that the information submitted herewith is true and complete to the best of my knowledge and belief and submitted with the understanding that compliance with New York City's equal employment requirements, as contained in Chapter 56 of the City Charter, Executive Order No. 50 (1980), as amended, and the implementing Rules and Regulations, is a contractual obligation. I also agree on behalf of the company to submit a certified copy of payroll records to the Division of Labor Services on a monthly basis.

Stalco Construction, Inc.
Contractor's Name

Patricia A. Nevin Estimating Coordinator
Name of person who prepared this Employment Report Title

Alan Nahmias President
Name of official authorized to sign on behalf of the contractor Title

(631) 254-6767
Telephone Number

 09/28/23
Signature of authorized official Date

If contractors are found to be underutilizing minorities and females in any given trade based on Chapter 56 Section 3H, the Division of Labor Services reserves the right to request the contractor's workforce data and to implement an employment program.

Contractors who fail to comply with the above mentioned requirements or are found to be in noncompliance may be subject to the withholding of final payment.

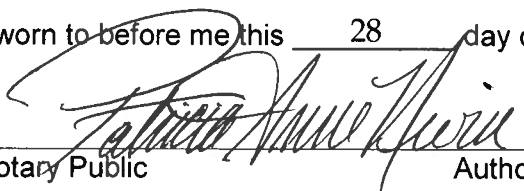
Willful or fraudulent falsifications of any data or information submitted herewith may result in the termination of the contract between the City and the bidder or contractor and in disapproval of future contracts for a period of up to five years. Further, such falsification may result in civil and/or criminal prosecution.

To the extent permitted by law and consistent with the proper discharge of DLS' responsibilities under Charter Chapter 56 of the City Charter and Executive Order No. 50 (1980) and the implementing Rules and Regulations, all information provided by a contractor to DLS shall be confidential.

Only original signatures accepted.

PATRICIA ANNE NEVIN
Notary Public - State of New York
No. 01NE6392027
Qualified in Suffolk County
My Commission Expires May 20, 2027

Sworn to before me this 28 day of September 20 23

 09/28/23
Notary Public Authorized Signature Date



3. PROJECT SPECIFIC INFORMATION

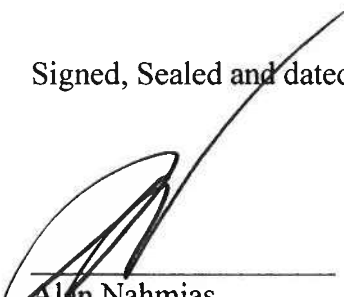


General Contractors • Construction Management

September 27, 2023

This document certifies that Stalco Construction, Inc. has been in business under the same name for 30 years. Stalco Construction, Inc. has an office located at 1316 Motor Parkway Islandia, NY 11749. Stalco Construction, Inc. is not currently disbarred from bidding or working on public works projects by the New York State Department of Labor.

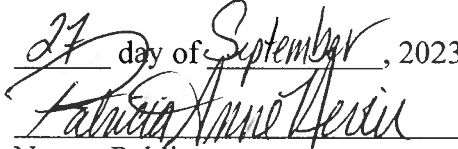
Signed, Sealed and dated this 27th day of September 2023.



Alan Nahmias
President
Stalco Construction, Inc.



Sworn to before me this
27 day of September, 2023



Notary Public

PATRICIA ANNE NEVIN
Notary Public - State of New York
No. 01NE6392027
Qualified in Suffolk County
My Commission Expires May 20, 2027



General Contractors • Construction Management

Alan Nahmias
President, Stalco Construction, Inc.

22 Beach Hill Drive
Fort Salonga, NY 11768
(516) 382-7573

Stalco Construction's co-founder and President Alan Nahmias has managed the firm's operations since 1992. He is in charge of all field operations and construction personnel, and ensures that Stalco provides the highest level of professionalism and quality. He also maintains relationships with clients, architects, and other consultants.

During his career, Nahmias has served as project executive for commercial, institutional, educational and transportation construction projects valued in excess of \$600 million. His portfolio includes the \$30-million expansion and capital improvement program for the Three Village Central School District; the \$24-million renovation of the Vaughn College of Aeronautics and Technology in Queens, NY; the \$8.4-million Asphalt Green Battery Park City recreational center and the \$15-million restoration of the landmark Pier A in Manhattan; the \$24-million Emergency Department at the Lincoln Medical and Mental Health Center in the Bronx, NY; the \$12-million Flushing Bus Terminal; the \$6-million Kings County Hospital renovations; and the \$11-million West Islip School District renovations.

Nahmias is a co-founder of Contractors For Kids, a non-profit focused on supporting seriously ill children and their families. To date, Contractors for Kids has raised over \$3 million and assisted nearly 400 families with payments for medical procedures, transportation costs, mortgages, and funerals.



Joseph Serpe

Vice President, Stalco Construction, Inc.

745 Durham Rd, Sayville, NY 11782 • 631.256.6549

Joseph Serpe has served as Stalco's Project Manager since early 2008. He specializes in ground-up, renovation, and Green and LEED-certified projects. Joseph's recent assignments include the \$30-million expansion and renovation program at Three Village School District in East Setauket, NY, and the extensive restoration and renovation of the landmark Pier A building in Manhattan's Battery Park area. Built in 1886, Pier A is the oldest surviving pier in New York City. It is listed on the National Register of Historic Places and the Historic American Engineering Record. The project aims at LEED Silver certification upon completion.

Joseph's portfolio of commercial and public work features facilities located throughout Long Island and New York City. He is a member of the USGBC United States Green Building Council Long Island chapter and is currently pursuing a Bachelor's degree in Construction Management from SUNY Farmingdale State College.

Education

Villanova University March 2008
Project Management Professional
Certificate of Achievement

Villanova University March 2008
Essentials of Project Management
Certificate of Achievement

Professional Experience

STALCO CONSTRUCTION, INC. (2008-Present)
Vice President
Islandia, NY

RADON CONSTRUCTION CORPORATION (2000-2008)
Project Manager
Ronkonkoma, NY

NEW YORK STATE DEPT. OF TRANSPORTATION (1998-2000)
Senior Engineering Technician/Construction Inspector
Hauppauge, NY

Certifications

OSHA Training Certification
Butler Master Order Writer Certification
Energy Conservation Construction Code of New York State Certification

Joseph Fitzpatrick

Superintendent



Professional Experience

- 2006-present** **Stalco Construction**
Construction Superintendent
- 1996-2006** **Cromwell & Tish**
Construction Engineer
- 1990-1996** **Caldwell & Walsh Building Construction**
Owner/President

FEATURED PROJECTS

Three Village School District – Setauket, NY	\$30,000,000
Pier A – Battery Park, NY	\$15,000,000
Dental Hygiene Laboratory – Farmingdale, NY	\$1,200,000
SUNY Optometry Lecture Halls – New York, NY	\$1,863,000
Malone-Mulhall Recreation Center – Lido Beach, NY	\$4,200,000
NUMC Cardiac Cath Lab – East Meadow, NY	\$815,000
NUMC Medical Library – East Meadow, NY	\$835,268
Equine MRI – Elmont, NY	\$250,000
NUMC Admitting Are – East Meadow, NY	\$166,212

CERTIFICATIONS AND MEMBERSHIPS

OSHA 10 and 30 hour Safety Course
Emergency First Aid Responder
Hilti Power Actuated Tool Qualified Operator
7 Hour Site Safety Manager Certificate
NYC DOB 4hr Scaffold User

PHILOSOPHY

Joe has served as Stalco's Superintendent since 2006. He has been responsible for managing field operations for some of Stalco's most prominent projects, including the \$30 million Three Village School District improvement program, the \$815,000 cardiac cath lab at the Nassau University Medical Center, and the \$1.2 million Dental Hygiene Laboratory at the Farmingdale State College.

Joe's experience spans nearly 30 years in the New York City and Long Island construction industry. He previously operated his own contracting firm, Cromwell & Tish, and worked for Caldwell & Walsh Building Construction. Joe is a supporter of Contractors For Kids, a charity established by Stalco.

Klaus Horatschek

Project Superintendent



EDUCATION

- 2007** **General Society Mechanics Institute**
Construction Management
- 1992** **NYC College of Technology**
Architecture
Associates in Architecture Technology

Professional Experience

- 2013-present** **Stalco Construction / Islandia, NY**
Construction Superintendent
- 2006-2013** **J Kokolakis Contracting**
Construction Superintendent
- 2004-2006** **Pheonix Environmental**
Construction Superintendent
- 2002-2004** **Mid Atlantic Construction Group**
Construction Superintendent
- 1999-2002** **Grasso Organization**
Construction Superintendent

FEATURED PROJECTS

United States Mission to the UN – New York, NY	\$100,000,000
Bronx Mental Health redevelopment – Bronx, NY	\$85,000,000
Vaughn College of Aeronautics and Technology – Flushing, NY	\$24,000,000
Service Masters Corp. – Alexandria, NVA	
Stony Brook University Hospital MRI Suite – Stony Brook, NY	\$2,502,200

CERTIFICATIONS AND MEMEBERSHIPS

OSHA 40hrs CFR 1910-120 Certified
OSHA Management and Supervision Training
Competent person excavation
Competent person Scaffolding
DOT Hazardous waste transportation Certif.
NYC site safety manager 8hr
Scaffolding 4hrs NYC DOB
OSHA 10hr safety
Mold awareness

PHILOSOPHY

Klaus brings over 22 years of onsite construction supervision with a strong attention to detail and organized execution of work. Klaus has a strong background in building envelope systems and was the lead superintendent for the \$100 million exterior facade at the US mission to the UN.



Michael Marchese

Project Superintendant, Stalco Construction, Inc.

Profile

As one of Stalco Construction's leading field superintendants, Michael Marchese is a diverse professional who brings more than 15 years experience in commercial and residential construction to the team. Michael is experienced in full, on-site construction management/supervision, to include effectively scheduling, monitoring, and inspecting all work for contract compliance. His services extend from project start-up through completion and client orientation. He holds certification in First Aid and as a First Responder, OSHA 10-hour and OSHA 30-hour courses.

Education

Associates Degree, Liberal Arts (1980-1983)
Suffolk Community College Selden, NY

Professional Experience

STALCO CONSTRUCTION, INC. (2001-Present)
Project Superintendant Islandia, NY

QUAD CONSTRUCTION CORPORATION (1998-2001)
Field Supervisor New York, NY

ABCON ASSOCIATES (1995-1998)
Field Supervisor Plainview, NY

MURRAY MILLER CONSTRUCTION CORPORATION (1991-1995)
Field Supervisor Great Neck, NY

MAYBETT CARPENTRY CORPORATION (1983-1991)
Union Carpenter/Foreman Bohemia, NY

Notable Projects

Manorhaven Beach Pool Complex
Macy's
Saks Fifth Avenue



Kevin M. Strebel

Site Safety Manager | Project Manager | Superintendent

Field Superintendent/Site Safety Manager having experience with and overseeing construction projects of Schools, Firehouses, government facilities as well as private Investors/Realtors building high rise condominiums and hotels. Possess experience with Wicks Law, SCA, DDC, LIRR, OCIP and government contracts. Involved in all aspects of construction process from bid preparation through final inspection and completion. Proven ability to exceed client expectations while maintaining Quality and protecting margins. Successfully executed construction projects as large as 135 unit ground up towers.

PROFESSIONAL EXPERIENCE

Site Safety Manager

3/2015 - present

LICENSE #2257.

Stalco Construction - Site Safety Compliance officer

Visit Project job sites to identify and document all hazards or potential Safety issues that require immediate correction. Implement daily safety checks and reports on multiple levels as each project requires, Inclusive of Tool Box Safety Talks, Job Hazard Analysis, Safe Work Plans, Daily Scaffold checklist and all associated logs to insure a Safe job site to all workers and Civilians /Property alike. Oversee the implementation of all OSHA standards, Chapter 33 of NYC DOB and all Governing Local Labor Laws. Work side by side with Travelers Insurance Risk Control consultants to provide Presentations/ Webinars and the latest training sessions available for all aspects of Construction. Confirm Sub-Contractors Insurance and approval by NYSIF or governing agency. Provide guidance to all employees to address all safety concerns and stress Prevention.

Stalco General Construction

2011 - present

General Superintendent - Supervise and coordinate all aspects of building demolition and ground up construction of 31,000 Sq. ft. modern visionary state of the art Queens Public Library. The second busiest library in the nation. This project includes every aspect of new construction with special attention to enforcement of OSHA, DOT and DOB rules and regulations. Set up new project sites within our organization for other supers to run and operate as set forth. Oversee and troubleshoot a variety of start-up projects.

Pav-lak General Contracting/Construction Management

2003-2011

Field Superintendent/Assistant Project Manager – Supervise and coordinate all aspects of several multi-million dollar commercial projects including 25 floor condominium, 10 floor condominium, multiple 5 story townhouses. Projects include renovations of occupied structures as well as new construction. Produce schedule of values, requisitions and job schedule with duration and milestones. Monitor and enforce all aspects of contract documents, construction schedules and issue regular status updates to senior management and client.

Kevin M. Strebel

Site Safety Manager | Project Manager | Site Superintendent

North Peters General Construction Inc.

2000-2003

Job Supervisor/Operations Manager – Oversaw project from inception to completion including producing project scope and budget. Executed the project utilizing and coordinating in house staff as well as multiple sub-contractors. Estimate and survey potential projects, execute the scope of work according to the plans with quality, precision and professionalism. Handle all correspondents with customer/client. Monitor and evaluate the finances of each project to insure optimum profitability. Schedule and coordinate maintenance work contracts and emergency response with in house personal.

SJS Construction Co., Inc.

1998-2000

Carpenter/Supervisor – Supervise the activity of numerous sub-contactors and in-house personnel. Experienced in framing, gypsum, acoustical ceilings, and all aspects of commercial construction. Proven ability to troubleshoot and resolve issues as they arise.

PROJECTS

New Wyandanch Train Station

Site Safety Manager and Project Superintendent, Implement Plans and Specifications for the Construction of a ground up state of the Art New Train Station House \$ 6,500,000

Roslyn High School

Site Safety Compliance officer and Project Superintendent. Existing abatement and renovation work with multiple new construction additions (Full size Gymnasium and Library). Extensive underground contaminated fill Remediation sites and New Capillary network Nyoplast and self-supported Sanitary systems installed. \$14,000,000

Elmhurst Queens Public Library:

Abate/Demo existing structure. Clear land for survey and begin new construction. Full site dewater sheeting/shoring/piles and neighboring under-pinning. All MEP services and steel deck structure through full completion. Ultra-modern state of the art design build flagship for future library construction. 37,000 sq. ft. 4 story. \$28,000,000

303 East 33rd 12 Story Condominium:

New construction, 12 story condominium. Complete interior fitting. All mechanical roughing frame/rock and all finishes. \$42,000,000

830 Hunts Point Ave. Hyde Leadership:

Demolition of existing property. New construction. Charter school. 4 story ground up school. All aspects of new construction. Underpinning, sheeting/shoring, foundation pours, steel erection through frame rock and finishes. \$ 9,000,000

Kevin M. Strebel

Site Safety Manager | Project Manager | Site Superintendent

250 West 49th Street:

Construction Management consulting project for the owner/client. Building consists of twenty-five floors with 88 condominium units, with top two floors being penthouse. New construction designed as concrete super structure. Tower from the 6th floor to the 23rd floor is a radius glass curtain wall design for 180 Degree views of the East River. Ornamental steel supports glass rail system including exterior curtain wall Maintenance rig.

\$65,000,000

22-26 Downing Street New Construction:

3 Connected 5-story, high-end luxury town houses located in the residential area of Greenwich Village. Scope of work included all excavation, under pinning of adjacent structures, Shoring/lagging the site in preparation of foundation work and services. Layout and form mat-slab and foundation walls. Steel/super structure.

\$12,000,000

236 West 17th Street:

10 story, 42 Unit luxury condominium project involved structural reno. of an existing 3 story warehouse to accommodate the addition of a 7-story reinforced concrete superstructure above. Building's exterior was largely comprised of masonry and glass curtain walls. Existing cellar with structural and elevation modifications was utilized as a parking garage. Upper floors consisted of residential condominiums including custom kitchens and baths, hardwood flooring, and stonework. Project scope includes Architectural, all MEP and CCTV Security system.

\$15,500,000

NYC Dept. of Design and Construction Fire House Rehabilitation of Engine Company 277 & Ladder Company 112. (House of Pain) New construction:

Demolition of existing building, excavation for new construction, shoring/lagging for new construction. Supply and install new steel 3 story firehouse of new 21st century radius design. Cast stone hang in place exterior. SS curtain wall front and rear. Installation of concrete walkways, curbs and pavements. Other work included metal frame rough and finish carpentry, ceilings, baths, kitchens, etc.

\$ 7,337,700

USPS - Great Neck Post Office Exterior Restoration:

Demolition/restoration, including roof and façade. Work included but was not limited to selective demolition, repointing, caulking sealant, masonry, water repellent, sidewalk replacement, and all related work.

\$ 6,000,000

Kevin M. Strebel

Site Safety Manager | Project Manager | Site Superintendent

CERTIFICATIONS

2019 Injury Claim and Risk Control – Travelers Safety
2019 SSM DOB Refresher Course
2019 Accident Prevention and Awareness Seminar – Travelers Safety

2018 30 Hour OSHA Course
2018 United Equipment Multiple Lifts Operator Safety Familiarization courses
2017 LIRR Long Island Railroad RWP Certification
2017 Crane Safety – Travelers Safety
2016 Site Safety Manager Refresher Course DOB
2015 Near Miss Risk Control – Travelers Safety
2013 NYC DOB Site Safety Managers License issued # 2257
2012 OSHA 4 hr. Supported Scaffolding User Certification
2012 40 Hour OSHA course
2011 NYC DOB Site Safety Manager course completion.
Qualified for major buildings. License issued April
2013 AED/First Responder/First Aid course

2007 – 2010 NYC DOB Construction Superintendent License
Qualified Safety Coordinator up to 14 stories #20319

2008 Accident Investigation Techniques Training 5/2008
Crane Awareness and Safety Training 11/2008

2003 - 2006 OSHA ID#600013440
40 Hr. OSHA Construction Course

1995 - 1996 Certificates for General/Intermediate Electronics
BOCES Technical Institute

1991- 2002 Brentwood Volunteer Fire Fighter
OSHA approved Haz/Mat. Awareness I & II
Yaphank Fire Academy cert. Firefighter 1 status. Rescue breathing, CPR,
Emergency Injury Response, Incident Command and Mass Casualty Control,
Blood Borne Pathogens, etc.

EDUCATION

1995 - 1998 Suffolk Community College – Suffolk, NY
Business Administration - Management Major

1988 Brentwood High School - Regents Diploma



General Contractors • Construction Management

REFERENCES

School Construction Consultants

190 Motor Parkway
Hauppauge, NY 11788
516-790-0594 *Nick Amoruso*

Battery Park City Authority

200 Liberty St, 24th Floor
New York, NY 10281
212-417-4304 *Gwen Dawson*

Campbell Cassetta Architects, P.C.

384 West Main St.
Babylon, NY 11702
631-587-1984 *Ralph Cassetta*

Savin Engineering

3 Campus Dr.
Pleasantville, NY 10570
914-490-0952 *Bob Firneis*

H2M Group

538 Broad Hollow Rd, 4th Fl. E
Melville, NY 11747
631-756-8000

Michael J. Guido Architect

20 Polk St.
Rocky Point, NY 11778
631-849-5852 *Mike Guido*

Triton Construction

303 5th Ave. Ste 1807
New York, NY 10016
212-388-5700 *Frank Reich*

Frank G. Relf Architect, P.C.

35 Pinelawn Rd, Ste 207W
Melville, NY 11747
631-271-4432 *Frank Relf*

Diocese of Rockville Centre

50 North Park Ave.
Rockville Centre, NY 11571
516-678-5800 *Dirk Wojtczazk*

John A. Grillo, AIA

1213 Main St.
Port Jefferson, NY 11777
631-476-2161 *John Grillo*

BBS Architects & Engineers

224 East Main St.
Patchogue, NY 11772
631-475-0349 *Roger Smith*

Beatty, Harvey, Coco Architects, LLP

325 Wireless Blvd.
Hauppauge, NY 11788
631-300-1010 *Dan Melucci*



General Contractors • Construction Management

REFERENCES

LIRR - NEW WYANDANCH STATION BUILDING CONSTRUCTION	\$6,093,923.82
Customer: Long Island Rail Road	Award Date: October 2017
Contact: Jigna Pate(347) 494-6112	Completed: December 2020
BRONX COMMUNITY COLLEGE - CAMPUS WIDE UTILITY UPGRADE	\$20,833,527.37
Customer: The New York Power Authority / CUNY Bronx Community College	Award Date: January 2016
Construction Manager: The Liro Group	Ongoing: 98% Complete
Contact: James Gaspari (516) 938-5476	
WESTBURY UNION FREE SCHOOL DISTRICT ADDITIONS AND ALTERATIONS TO VARIOUS SCHOOLS - MIDDLE SCHOOL	\$13,871,025.88
Customer: Westbury Union Free School District	Award Date: November 2019
Construction Manager: Elite Construction Company of NY, LLC.	Ongoing: 30% Complete
Contact: Mike Reed (516) 512-8983	

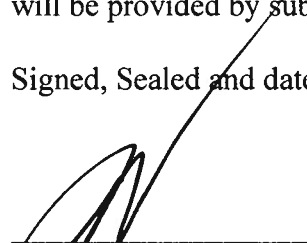


General Contractors • Construction Management

September 27, 2023

This document certifies that all equipment which shall be used to execute the completion of
PROJECT ID#: LQD122HO1
PIN #: 85023B0067
(MEDIUM GC PQL) HOLLIS LIBRARY INTERIOR RENOVATION
will be provided by subcontractors.

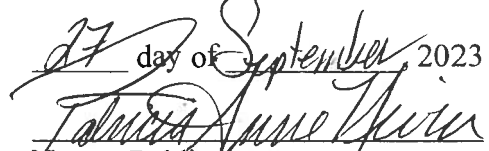
Signed, Sealed and dated this 27th day of September 2023.



Alan Nahmias
President
Stalco Construction, Inc.



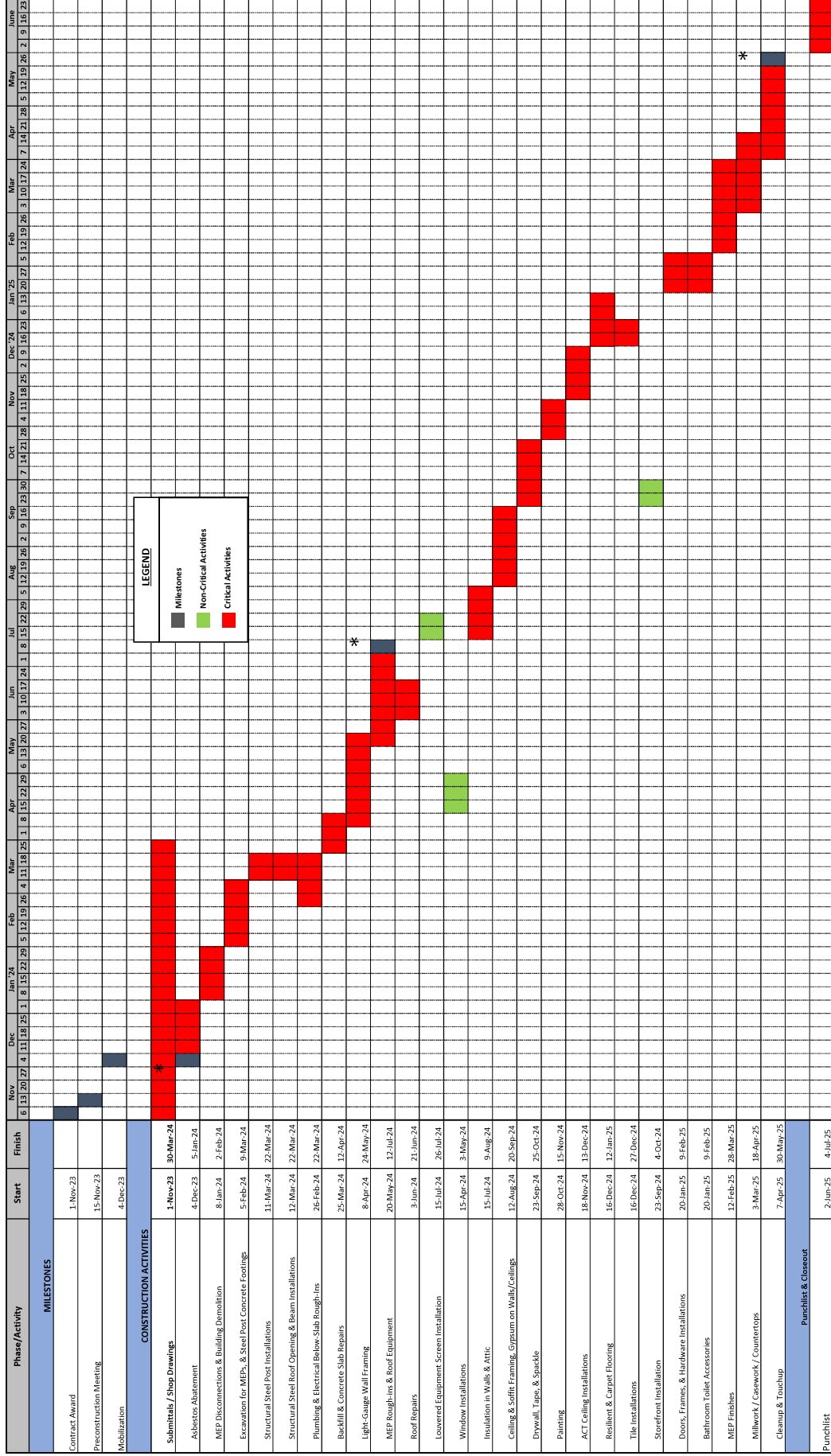
Sworn to before me this

27 day of September, 2023


Notary Public

PATRICIA ANNE NEVIN
Notary Public - State of New York
No. 01NE6392027
Qualified in Suffolk County
My Commission Expires May 20, 2027

DDC Hollis Library Preliminary Bid Schedule



LEGEND

- Milestones (Grey)
- Non-Critical Activities (Green)
- Critical Activities (Red)



1. PROJECT REFERENCE FORMS

***SEE "WORK COMPLETED" ***

Project References

A. Contracts completed by the bidder

List all contracts substantially completed within the last 4 years, up to a maximum of 10, in descending order of date of substantial completion.

Project & Location	Contract Type	Contract Amount (\$000)	Date Completed	Owner Reference & Tel. No.	Architect/Engineer Reference & Tel. No. (if different from owner)



General Contractors • Construction Management

WORK COMPLETED

Suffolk County DPW - Fire Rescue Building Improvements - Phase 2	\$290,300.00
102 East Ave., Yaphank, NY 11980	
Owner: Suffolk County DPW	Contract #: 22/0124LPWI
335 Yaphank Ave., Yaphank, NY 11980	Stalco #: 2209
Contact: Kenneth Phalen (631) 852-4222	
Construction Manager:	
Contact:	
Architect: Nelson & Pope	Award Date: May 2022
Contact:	Completed:
Plainedge UFSD - Plainedge Middle School Freestanding Courtyard Greenhouse	
\$361,000.00	
200 Stewart Ave., Bethpage, NY 11714	
Owner: Plainedge UFSD	Contract #: 20-008
241 Wyngate Dr., N. Massapequa, NY 11758	Stalco #: 2121
Contact: (516) 992) 7458	
Construction Manager: N/A	
Contact:	
Architect: MDS	Award Date: July 2021
Contact: Michael Mark	Completed: August 2021
Locust Valley CSD - Elevator Addition at Bayville Primary School	
\$564,000.00	
50 Godfrey Ave., Bayville, NY 11790	
Owner: Locust Valley CSD	Contract #: SED # 28-05-003-06-0-009-012
22 Horse Hollow Rd., Locust Valley, NY 11560	Stalco #: 2120
Contact:	
Construction Manager: N/A	
Contact:	
Architect: Campbell Cassetta Architects PC	Award Date: July 2021
Contact: Robert Brown (631) 587-1984	Completed: May 2022
Harborfields CSD - Library Renovations at Harborfields High School	
\$357,000.00	
98 Taylor Ave., Greenlawn, NY 11740	
Owner: Harborfields CSD	Contract #: SED# 58-04-06-06-0-001-030
2 Oldfield Rd., Greenlawn, NY 11740	Stalco #: 2118
Contact:	
Construction Manager: Triton Construction Co.	
Contact: George Leeman	
Architect: BBS	Award Date: June 2021
Contact: Steve Walsh (631) 475-0349	Completed: December 2021

Elwood UFSD - Bond Phase 2 & 3 Building Improvements at Various Schools Base Bid GC-1		\$2,002,000.00
Various		
Owner: Elwood UFSD	Contract #:	BBS Project Nos. 19-111 (GIS Ph. 3), 1-9-113 (BIS Ph. 3), 1-9-114 (HS Ph.3), and 1-8-178 (EMS Ph. 2), 18-180 (HS Ph.2)
100 Kenneth Ave., Greenlawn, NY 11740	Stalco #:	2114
Contact:		
Construction Manager: Park East		
Contact: Christine DaSilva		
Architect: BBS Architects	Award Date:	May 2021
Contact: Peter Dawkins	Completed:	February 2022
Hauppauge UFSD - Middle School & High School Site & Bathroom Renovations		\$1,128,000.00
Various		
Owner: Hauppauge UFSD	Contract #:	SED# 58-05-06-03-0-008-049 SED# 58-05-06-03-0-002-031
495 Hoffman Lane, Hauppauge, NY 11788	Stalco #:	2111
Contact: Jacaueline Pirro (631) 761-8211		
Construction Manager: Triton		
Contact: George Leeman		
Architect: BBS Architects	Award Date:	May 2021
Contact: Steve Walsh (631) 475-0349	Completed:	June 2022
Carl Place UFSD - Cherry Lane Elementary School Exterior Wall & Classroom Renovations		\$983,300.00
475 Roslyn Ave., Carle Place, NY 11514		
Owner: Carle Place UFSD	Contract #:	SED# 28-0-11-03-0-003-024
168 Cherry Lane, Carle Place, NY 11514	Stalco #:	2110
Contact: Kevin Coffey		
Construction Manager: Advanced Construction Group		
Contact: Frank Marino		
Architect: H2M Architects + Engineers	Award Date:	March 2020
Contact: Jason Smith (631) 756-8000	Completed:	September 2021
Oceanside UFSD - 2020-2021 CIP - Oceanside High School		\$981,437.00
145 Merle Ave., Oceanside, NY 11572		
Owner: Oceanside UFSD	Contract #:	SED#: 28-02-11-03-0-008-038
145 Merle Ave., Oceanside, NY 11572	Stalco #:	2108
Contact:		
Construction Manager: N/A		
Contact:		
Architect: JAG	Award Date:	April 2021
Contact: Robert Cascone	Completed:	March 2022
Nassau BOCES - Exterior Upgrades at George Farber Administrative Center		\$628,000.00
71 Clinton Rd., Garden City, NY 11530		
Owner: Nassau BOCES	Contract #:	SED# 28-90-00-00-1-137-007 SED# 28-90-00-00-1-137-008
71 Clinton Rd., Garden City, NY 11530	Stalco #:	2105
Contact: (516) 396-2500		
Construction Manager: N/A		
Contact:		
Architect: H2M Architects + Engineers	Award Date:	April 2021
Contact: David Sherland	Completed:	March 2022

West Hempstead UFSD - Rebid - Various Locations **\$658,000.00**
 Various

Owner: West Hempstead UFSD Contract #: SED #28-02-27-03-0-004-013
 252 Chestnut St., West Hempstead, NY 11552 Contract #: SED #28-02-27-03-0-001-022
 Contact: Stalco #: SED #28-02-27-03-0-005-033
 2103
Construction Manager: SCC
 Contact: Vincent Pacheco
Architect: Tetra Tech Award Date: March 2021
 Contact: Ervin Hall Completed: May 2022

Baldwin UFSD - Elevator Addition at Plaza Elementary School **\$967,300.00**
 501 Seaman Ave., Baldwin, NY 11510

Owner: Baldwin UFSD Contract #: SED #28-02-10-03-0-007-024
 960 Hastings St., Baldwin, NY 11510 Stalco #: 2102
 Contact: Jason Smith (631) 756-8000
Construction Manager: H2M
 Contact: Stefan D. Reiss
Architect: H2M Architects + Engineers Award Date: March 2021
 Contact: Jason Smith (631) 756-8000 Completed: May 2022

Connetquot CSD - Phase 3 - Cherokee-Slocum- Press Box **\$886,000.00**
 Various

Owner: Connetquot CSD Contract #: SED#58-05-07-06-0-004-032
 780 Ocean Ave., Bohemia, NY 11716 Contract #: SED#58-05-07-06-0-012-029
 Contact: Stalco #: SED#58-05-07-06-7-025-001
 2101
Construction Manager: N/A
 Contact:
Architect: JAG Award Date: March 2021
 Contact: John Grillo (631) 476-2161 Completed: September 2022

SUCF - SUNY Downstate Medical Center - Construct Infrastructure Riser BSB **\$3,924,400.00**
 450 Clarkson Ave., Brooklyn, NY

Owner: State University Construction Fund Contract #: 141056-00
 State University Plaza, 353 Broadway, Albany, NY 12246 Stalco #: 2013
 Contact: Magaret McSorley (518) 320-1710
Construction Manager: Ellana
 Contact: Steve Berezniysky
Architect: Lothrop Associates Award Date: September 2020
 Contact: Thomas McDermott Completed: March 2022

DASNY - Broad Channel Athletic Club Storage Building **\$2,339,534.00**
 125 Crossbay Blvd., Broad Channel, NY 11693

Owner: DASNY Contract #: 3277109999
 515 Broadway, Albany, NY 12207 Stalco #: 2001
 Contact: Malik Dokku
Construction Manager: N/A
 Contact:
Architect: H2M Architects + Engineers Award Date: April 2020
 Contact: Completed: August 2020

Syosset CSD - Fitness Center Addition at Syosset High School		\$3,000,036.00
70 South Woods Rd., Syosset, NY 11791		
Owner: Syosset CSD	Contract #:	SED# 28-05-02-06-0-010-049
99 Pell Lane, Syosset, NY 11791	Stalco #:	1921
Contact: Greg Hamilton (516) 364-5600		
Construction Manager: Park East Construction Corp.		
Contact:		
Architect: H2M	Award Date:	July 2019
Contact: (631) 756-8000	Completed:	
West Babylon UFSD - Phase 4 & 5 Bond Projects		\$1,723,010.00
Various		
		SED# 58-01-02-03-0-003-020
		SED# 58-01-02-03-0-001-032
Owner: West Babylon UFSD	Contract #:	SED# 58-01-02-03-0-001-033
		SED# 58-01-02-03-0-005-022
		SED# 58-01-02-03-0-008-025
200 Old Farmingdale Rd., West Babylon, NY 11704	Stalco #:	1917
Contact: (631) 3746-7701		
Construction Manager: SCC		
Contact:		
Architect: BBS	Award Date:	May 2019
Contact: Karalisa	Completed:	December 2019
Hicksville UFSD - 2017/2018 Capital Improvement Program - East St. ES		\$326,195.82
50 East Street, Hicksville, NY 1181		
Owner: Hicksville UFSD	Contract #:	28-05-17-03-0-003-030
200 Division Ave., Hicksville, NY 11801	Stalco #:	1911
Contact:		
Construction Manager: Park East Construction		
Contact:		
Architect: JAG	Award Date:	April 2019
Contact: John Grillo 631-476-2161 jmgrillo@jagarchitect.com	Completed:	September 2019
Island Park UFSD - Structural Slab Repairs at Francis X. Hegarty ES		\$789,429.94
100 Radcliffe Road, Island Park, NY 11558		
Owner: Island Park UFSD	Contract #:	28-02-31-02-0-001-012
99 Radcliffe Road, Island Park, NY 11558	Stalco #:	1906
Contact:		
Construction Manager: N/A		
Contact:		
Architect: BBS Architects	Award Date:	March 2019
Contact: Steve Walsh (631) 475-0349 swalsh@bbsarch.com	Completed:	September 2019
Merrick UFSD - Districtwide A/C Upgrades & Classroom Toilet Room Renovations		\$1,920,000.00
Various		
Owner: Merrick UFSD	Contract #:	CB-1
21 Babylon Rd., Merrick, NY 11566	Stalco #:	1904
Contact: (516) 992-7260		
Construction Manager: N/A		
Contact:		

Architect: BBS
Contact: (631) 475-0349

Award Date: February 2019
Completed: March 2020

Port Washington UFSD - Security Entry Vestibules & Interior Reconstruction at Various Schools **\$1,388,500.00**
Port Washington, NY 11050

Port Washington UFSD

90 Avenue C, Port Washington, NY 11050

Contact:

Construction Manager: School Construction Constultants

Contact: (631) 567-0200

Architect: BBS

Contact: (631) 475-0349

S.E.D. NO. 28-04-04-03-0-010-028, 28-04-04-03-0-005-026, 28-04-04-03-0-003-027, 28-04-04-03-0-008-006, 28-04-04-03-0-001-038, 28-04-04-03-0-007-026
Contract #:
Stalco #: 1902

Award Date: March 2019
Completed: August 2020

Northport-East Northport UFSD - Bond Issue Related Capital Improvement Program Phase 2 - Rebid **\$220,674.00**
Northport, NY 11768

Owner: Northport-East Northport UFSD

158 Laurel Ave., Northport, NY 11768

Contact:

Construction Manager: N/A

Contact:

Architect: JAG

Contact: John Grillo (631) 476-2161

Contract #: SED 58-04-04-03-0-002-017, 58-04-04-03-0-004-021
Stalco #: 1901

Award Date: January 2019
Completed: November 2020

Bridgehampton UFSD - Bond Issue Related Capital Improvement Program at Elementary & High School **\$18,540,300.00**
2685 Montauk Hwy., Bridgehampton, NY 11932

Owner: Bridgehampton UFSD

2685 Montauk Hwy., Bridgehampton, NY 11932

Contact:

Construction Manager: N/A

Contact:

Architect: JAG

Contact: John Grillo (631) 476-2161

Contract #: SED 58-09-09-02-0-001-016
Stalco #: 1824

Award Date: October 2018
Completed: June 2021

Suffolk County Community College - Kreiling Hall Renovation **\$7,161,550.00**
533 College Rd., Selden, NY 11784

Owner: Suffolk County Community College

533 College Rd., Selden, NY 11784

Contact: (631) 451-4112

Construction Manager: N/A

Contact:

Architect: WFC

Contact: Bill Brady (631) 689-8450

Contract #: 19-CC-024
Stalco #: 1821

Award Date: October 2018
Completed: September 2019

Bayport-Blue Point UFSD - District Wide Security Vestibules **\$297,524.00**
Bayport-Blue Point, NY

Owner: Bayport-Blue Point UFSD

189 Academy St., Bayport, NY 11705

58-05-05-02-0-004-021, 58-05-05-02-0-011-014, 58-05-05-02-0-002-016, 58-05-05-02-0-001-016, 58-05-05-02-0-006-012
Contract #:
Stalco #: 1810

Contact: (631) 472-7860
Construction Manager: Park East Construction
Contact: (631) 549-9800
Architect: BBS
Contact: (631) 475-0349

Award Date: March 2018
Completed: October 2019

Three Village CSD - 2016/17 & 2017/18 Capital Improvement Program at Various Schools **\$2,265,000.00**
Stony Brook, NY 11790

Owner: Three Village CSD

100 Suffolk Ave., Stony Brook, NY 11790

Contact:

Construction Manager: N/A

Contact:

Architect: JAG

Contact:(631) 476-2161

Contract #: SED 58-02-01-06-0-002-031, 58-02-01-06-0-006-031, 58-02-01-06-0-007-030, 58-02-01-06-0-008-039, 58-02-01-06-0-008-040, 58-02-01-06-0-014-030, 58-02-01-06-0-015-036
Stalco #: 1812

Award Date: March 2018
Completed: October 2018

Bayport-Blue Point UFSD - Bond Phase 3 - Bldg. & Site Improvements at Various Schools **\$561,782.00**
Bayport, NY 11705

Owner: Bayport-Blue Point UFSD

189 Academy St., Bayport, NY 11705

Contact:

Construction Manager: Park East Construction

Contact: (631) 549-9800

Architect: BBS

Contact: (631) 475-0349

Contract #: SED 58-05-05-02-0-002-017, 58-05-05-02-0-001-019, 58-05-05-02-0-006-014
Stalco #: 1818

Award Date: August 2018
Completed: November 2019

East Rockaway UFSD - FEMA Grant Rebid **\$2,218,675.00**
East Rockaway, NY 11518

Owner: East Rockaway UFSD

443 Ocean Ave., East Rockaway, NY 11518

Contact:

Construction Manager: N/A

Contact:

Architect: JAG

Contact: John Grillio (631) 476-2161

Contract #: SED 28-02-19-03-0-001-037, 28-02-19-03-0-002-014, 28-02-19-03-0-004-002
Stalco #: 1805

Award Date: February 2018
Completed: May 2020

Bronx Community College - Campus Wide Utility Upgrade Phase IV **\$19,290,708.00**
Project Address

Owner: Bronx Community College

Address

Contact:

Construction Manager: N/A

Contact:

Architect: RCM Technologies

Contact: Bill White (516) 404-5517

Contract #: ES-GSN-0728
Stalco #: 1519

Award Date: January 2016
Completed: May 2019

SUNY Farmingdale - Whitman Hall Lower Level Renovations **\$2,376,217.00**

1316 Motor Parkway Islandia, NY 11749
Phone: (631) 254-6767 ~ Fax (631) 254-8015
estimating@stalcoconstruction.com

2350 Broadhollow Rd., Farmingdale, NY 11735			
Owner: SUNY Farmingdale		Contract #:	T000458
2350 Broadhollow Rd., Farmingdale, NY 11735		Stalco #:	1802
Contact: Erika Murray (631) 420-2017			
Construction Manager: N/A			
Contact:			
Architect: H2M		Award Date:	January 2018
Contact: Timothy Schultz (631) 756-8000		Completed:	November 2019
Farmingville Fire District - Station #2 Concrete Apron & Snow Melt System			\$376,717.71
1080 Portion Road, Farmingville, NY 11738			
Owner: Farmingdale Fire District		Contract #:	1
780 Horseblock Road, Farmingville, NY 11738		Stalco #:	1823
Contact:			
Construction Manager: N/A			
Contact:			
Architect: MJG Architecture		Award Date:	October 2018
Contact: Tom Zarcone (631) 849-5852		Completed:	June 2019
Great Neck Digester - Pre-Engineered Building			\$301,929.00
236 East Shore Road, Great Neck, NY 11023			
Great Neck Water Pollution Control District		Contract #:	18022-13120
6 H Enterprise Drive, East Setauket, NY 11733		Stalco #:	1822
Contact: John Martirano - WHM (631) 473-3568			
Construction Manager: N/A			
Contact:			
Architect: N/A		Award Date:	October 2018
Contact:		Completed:	April 2020
Middle Country CSD - Dawnwood Middle School Renovations Phase 2			\$2,483,009.11
10 43rd Street, Centereach, NY 11720			
Owner: Middle Country School District		Contract #:	58-02-11-06-0-008-023
8-43rd Street, Centereach, NY 11720		Stalco #:	1817
Contact:			
Construction Manager: Park East Construction			
Contact: Derrick Knatz 631-549-9800 dknatz@parkeastconstruct.com			
Architect: H2M		Award Date:	June 2018
Contact: Joseph Mile 631-756-8000 jmile@h2m.com		Completed:	May 2019
Connetquot CSD - 2018/2018 Capital Improvement Program at Connetquot HS			\$198,949.00
190 7th Street, Bohemia, NY 11716			
Owner: Connetquot CSD		Contract #:	58-05-07-06-0-007-037
780 Ocean Ave., Bohemia, NY 11716		Stalco #:	1820
Contact:			
Construction Manager: N/A			
Contact:			
Architect: JAG		Award Date:	September 2018
Contact: John Grillo 631-476-2161 jmgrillo@jagarchitect.com		Completed:	August 2019
Island Park UFSD - Localized Mitigation Improvements at Lincoln Orens MS			\$968,200.00
150 Trafalgar Blvd., Island Park, NY 1158			

Owner: Island Park UFSD
99 Radcliffe Road, Island Park, NY 11558
Contact: Marie Donnelly, Business Official
Construction Manager: N/A
Contact:

Contract #: 28-02-31-02-0-002-010
Stalco #: 1813

Architect: BBS Architects
Contact: Steve Walsh (631) 475-0349 swalsh@bbsarch.com

Award Date: March 2018
Completed: August 2019

Herricks UFSD - Cafeteria & Kitchen Renovations and New Comfort Station at Herricks' High School **\$2,557,811.00**
New Hyde Park, NY 11040

Herricks UFSD
999-B Herricks Rd., New Hyde Park, NY 11040
Contact: (516) 305-8903
Construction Manager: Park East Construction
Contact: (631) 549-9800

Contract #: SED 28-04-09-03-0-008-034,
28-04-09-03-7-018-001
Stalco #: 1814

Architect: BBS
Contact: (631) 475-0349

Award Date: April 2018
Completed: February 2019

Rocky Point UFSD - Bond Issue Related Capital Improvements at Various Schools **\$2,041,331.00**
Rocky Point, NY

Rocky Point UFSD
90 Rocky Point Rd., Rocky Point, NY 11778
Contact:
Construction Manager: N/A
Contact:

Contract #: SED 58-02-09-02-0-001-015,
58-02-09-02-0-005-034,
58-02-09-02-0-006-019
Stalco #: 1815

Architect: JAG
Contact: John Grillo (631) 476-2161

Award Date: May 2018
Completed: September 2018

Southold UFSD - Library & Computer Lab Renovations at Southold Elementary School **\$456,964.00**
Oaklawn Ave., Southold, NY 11971

Owner: Southold UFSD
420 Oaklawn Ave., Southold, NY 11971
Contact: (631) 765-5400
Construction Manager: School Construction Consultants
Contact:

Contract #: SED 58-10-05-02-0-014-005
Stalco #: 1816

Architect: BBS
Contact: (631) 475-0349

Award Date: June 2017
Completed: February 2018

SUNY Stony Brook - Keller College Stair Renovation **\$821,164.29**
Roosevelt Drive, Stony Brook, NY 11794

Owner: SUNY Stony Brook
1500 Stony Brook Road, Stony Brook, NY 11794
Contact: Marie Titone, Contract Officer (631) 632-6066
Construction Manager: N/A
Contact:

Contract #: 18/19-051MC
Stalco #: 1825

Architect: D&B
Contact: Chris Desmond (914) 467-5300 cdesmond@db-eng.com

Award Date: November 2018
Completed: September 2019

North Merrick UFSD - Capital Improvement Project Phase 1A at Various Schools **\$1,318,000.00**

1316 Motor Parkway Islandia, NY 11749
Phone: (631) 254-6767 ~ Fax (631) 254-8015
estimating@stalcoconstruction.com

Fayette Elementary School & Old Mill Elementary School - North Merrick, NY		
Owner: North Merrick UFSD 1057 Merrick Ave, North Merrick, NY 11566 Contact: Construction Manager: N/A Contact: Architect: JAG Contact: John Grillo 631-476-2161 jmgrillo@jagarchitect.com	Contract #: Stalco #:	28-02-29-02-0-001-027 28-02-29-02-0-002-024 1706
	Award Date: Completed:	April 2017 November 2018
MTA Long Island Railroad - New Wyandanch Station Building Construction		\$6,101,423.82
Corner of Straight Path & Long Island Ave., Wyandanch, NY		
Owner: MTA Long Island Railroad 144-41 94th Ave., 3rd Floor, MC 0335, Jamaica, NY 11435 Contact: Jigna Patel (347) 494-6112 Construction Manager: N/A Contact: Architect: Keller Sandgren Associates Contact:	Contract #: Stalco #:	6268 1717
	Award Date: Completed:	October 2017 June 2020
South Huntington Public Library - Interior Renovations		\$332,972.00
145 Pidgeon Hill Rd, South Huntington, NY 11746		
Owner: South Huntington Public Library 145 Pidgeon Hill Rd, South Huntington, NY 11746 Contact: Construction Manager: Park East Construction Corp. Contact: Kevin Castrova 516-644-1472 kcastrova@parkeastconstruction.com Architect: Beatty Harvey Coco Contact: Christopher Sepp 631-300-1010 x127 christopher@bhc-architects.com	Contract #: Stalco #:	1 1720
	Award Date: Completed:	December 2017 November 2018
Bellmore UFSD - Reinhard Early Childhood Center - Alterations to Existing Buildings		\$765,000.00
2750 South St. Marks Ave, Bellmore, NY 11710		
Owner: Bellmore UFSD 580 Winthrop Ave, Bellmore, NY 11710 Contact: Construction Manager: Park East Construction Corp. Contact: Kevin Castrova 516-644-1472 kcastrova@parkeastconstruction.com Architect: Wiedersum Associates Contact: 631-434-7900	Contract #: Stalco #:	28-02-07-02-0-003-021 1806
	Award Date: Completed:	February 2018 September 2018
Mastic Beach Ambulance Headquarters - New Construction		\$5,217,600.00
343 Neighborhood Rd, Mastic Beach, NY		
Owner: Town of Brookhaven One Independence Hill, Farmingville, NY 11738 Contact: Geri Manzilillo 631-451-6252 Construction Manager: L.K. McLean Associates, P.C. Contact: Chris Dwyer 631-286-8668 cdwyer@lkma.com Architect: L.K. McLean Associates, P.C. Contact: Chris Dwyer 631-286-8668 cdwyer@lkma.com	Contract #: Stalco #:	20170059 1713
	Award Date: Completed:	August 2017 September 2018

Deer Park UFSD - 2015 Bond Issue Related Capital Improvement Program Phase 2 @ Various Schools		\$1,655,144.00
May Moore ES, John Q. Adams ES, Robert Frost MS - Deer Park, NY		
Owner: Deer Park UFSD	Contract #:	58-01-07-03-0-004-034 58-01-07-03-0-007-027 58-01-07-03-0-008-033
1881 Deer Park Ave, Deer Park, NY 11729	Stalco #:	1804
Contact:		
Construction Manager: SCC (School Construction Consultants)		
Contact: Steve Gianniotis 347-680-6879		
Architect: JAG	Award Date:	January 2018
Contact: John Grillo 631-476-2161 jmgrillo@jagarchitect.com	Completed:	September 2018
Rocky Point UFSD - Bond Issue Related Capital Improvement Program Phase 2 at Various Schools		\$904,796.00
Joseph A. Edgar Intermediate School, Rocky Point High School - Rocky Point, NY		
Owner: Rocky Point UFSD	Contract #:	58-02-09-02-0-001-014 58-02-09-02-0-005-032
90 Rocky Point - Yaphank Rd, Rocky Point, NY 11778	Stalco #:	1719
Contact:		
Construction Manager: Park East Construction Corp.		
Contact: Derrick Knatz 631-549-9800 dknatz@parkeastconstruct.com		
Architect: JAG	Award Date:	November 2017
Contact: John Grillo 631-476-2161 jmgrillo@jagarchitect.com	Completed:	September 2018
Middle Country CSD - Stagecoach Elementary School Interior & Exterior Renovations		\$350,000.00
205 Dare Rd, Selden, NY 11784		
Owner: Middle Country CSD	Contract #:	58-02-11-06-0-012-013
8 43rd St, Centereach, NY 11720	Stalco #:	1715
Contact:		
Construction Manager: Park East Construction		
Contact: Sean Corrigan 631-549-9800		
Architect: H2M	Award Date:	June 2017
Contact: Joseph Mile 631-756-8000	Completed:	September 2018
Wantagh UFSD - 2016/2017 Capital Improvement Program - Middle School Cafeteria		\$144,855.00
3299 Beltagh Ave., Wantagh, NY 11793		
Owner: Wantagh UFSD	Contract #:	28-02-23-03-0-006-023
3301 Beltagh Ave., Wantagh, NY 11793	Stalco #:	1808
Contact:		
Construction Manager: N/A		
Contact:		
Architect: JAG	Award Date:	February 2018
Contact: John Grillo 631-476-2161 jmgrillo@jagarchitect.com	Completed:	August 2018
Mattituck-Cutchoque UFSD - Pole Barn at Jr/Sr HS		\$117,787.00
15125 Main Road, Mattituck, NY 11952		
Owner: Mattituck-Cutchoque UFSD	Contract #:	58-10-12-02-0-021-001
385 Depot Lane, Cutchoque, NY 11935	Stalco #:	1807
Contact:		
Construction Manager: N/A		
Contact:		
Architect: JAG	Award Date:	February 2018
Contact: John Grillo 631-476-2161 jmgrillo@jagarchitect.com	Completed:	July 24, 2018

Stony Brook Radiology Exterior Stairwell Renovation		\$880,000.00
Stony Brook Medicine		
Owner: SUNY at Stony Brook	Contract #:	T20071
101 Nicholls Rd, Stony Brook, NY 11794	Stalco #:	1707
Contact: Ed Mercurio 631-444-6638		
Construction Manager: N/A		
Contact:		
Architect: Stantech	Award Date:	April 2017
Contact: 631-424-8600	Completed:	May 2018
Babylon UFSD - Kitchen & Service Line Reconstruction		\$438,700.00
50 Railroad Avenue, Babylon, NY 11702		
Owner: Babylon UFSD	Contract #:	58-01-01-03-0-001-020
50 Railroad Avenue, Babylon, NY 11702	Stalco #:	1704
Contact: Peter R. Daly 631-893-7914		
Construction Manager: School Construction Consultants		
Contact: Tony Campinella 631-848-0714		
Architect: BBS	Award Date:	March 2017
Contact: 631-475-0349	Completed:	October 2017
North Shore CSD - Bond Referendum Phase III		\$376,000.00
Sea Cliff ES/Glenwood Landing ES/North Shore HS/High School Maintenance Garage		
Owner: North Shore CSD	Contract #:	28-05-01-06-0-005-018 28-05-01-06-0-003-023 28-05-01-06-0-004-032 28-05-01-06-2-012-031
112 Franklin Avenue, Sea Cliff, New York 11579	Stalco #:	1703
Contact: John Hall 516-277-7800		
Construction Manager: Savin Engineer, P.C.		
Contact: Frank Szatkowski 914-769-3200		
Architect: BBS	Award Date:	March 2017
Contact: 631-475-0349	Completed:	October 2017
Southampton Elementary School - Kindergarten Bathroom Renovation		\$135,502.00
30 Pine St, Southampton, NY 11968		
Owner: Southampton UFSD	Contract #:	58-09-06-03-0-001-030
70 Leland Lane, Southampton, New York 11968	Stalco #:	1701
Contact: 631-591-4700		
Construction Manager: N/A		
Contact:		
Architect: BBS	Award Date:	March 2017
Contact: Jim Wegdig 631-475-0349	Completed:	October 2017
Southampton Intermediate School - Locker Room Renovation & Café Ceiling Replacement		\$695,194.00
70 Leland Lane, Southampton, NY 11968		
Owner: Southampton UFSD	Contract #:	58-09-06-03-0-003-024
70 Leland Lane, Southampton, New York 11968	Stalco #:	1702
Contact: 631-591-4700		
Construction Manager: N/A		
Contact:		
Architect: BBS	Award Date:	March 2017
Contact: Jim Wegdig 631-475-0349	Completed:	October 2017
BMCC - Pool Deck Restoration		\$5,397,000.00

199 Chambers Street, New York, New York 10007	
Owner: DASNY 1 Penn Plaza, New York, New York 10119-0098 Contact: Peter Jackson 212-273-5000 Construction Manager: N/A Contact: N/A Architect: N/A Contact: N/A	Contract #: 3128509999 Stalco #: 1507 Award Date: March 2015 Completed: October 2017
Massapequa UFSD - Berner Middle School Science Lab Upgrades & Masonry Restoration \$740,490.00	
4925 Merrick Road, Massapequa, NY 11758	
Owner: Massapequa UFSD 4925 Merrick Road, Massapequa, NY 11758 Contact: Construction Manager: School Construction Consultants, Inc. Contact: Mike Sweeney 631-495-5261 Architect: H2M Contact: 631-756-8000	Contract #: 28-05-23-03-0-011-028 Stalco #: 1711 Award Date: June 2017 Completed: September 2017
Roslyn UFSD - Bus Garage Demo \$32,438.00	
475 Round Hill Road, Roslyn Heights, NY	
Owner: Roslyn UFSD P.O. Box 367, Roslyn Heights, NY 11577 Contact: Kevin Carpenter 516-801-5450 kcarpenter@roslynschools.org Construction Manager: Contact: Architect: Kaeyer, Garment & Davidson Architects, PC Contact: Kevin Carpenter 516-801-5450	Contract #: 28-04-03-03-5-004-006 Stalco #: 1613 Award Date: July 2016 Completed: August 2017
SUNY Optometry - Lobby Renovation \$4,495,000.00	
33 West 42nd Street, New York, New York	
Owner: State University Construction Fund State University Plaza, 353 Broadway, Albany, New York 12246 Contact: Simon Stein 518-320-3230 Simon.Stein@suny.edu Construction Manager: Ellana Inc. Construction Consultants Contact: Steve Beriznitsky 347-371-2137 Architect: Kliment Halsband Architects Contact: Ms. Nathalie Westervelt 212-243-7400	Contract #: 41050 Stalco #: 1430 Award Date: January 2015 Completed: March 2017
SUNY at Stony Brook - Benedict Quad Renovations \$3,138,980.00	
Stony Brook, New York 11790	
Owner: State University of New York State University Plaza, Albany, New York 12246 Contact: Peter Gerace 631-632-4772 peter.gerace@stonybrook.edu Construction Manager: Contact: John Sparano 631-254-6767 Architect: WCF Architects Contact: Neil Macdonald 631-689-845	Contract #: T001961 Stalco #: 1608 Award Date: April 2016 Completed: January 2017
SUNY Stony Brook - Starbucks at Melville Library \$1,231,200.00	
100 Nicolls Road, Stony Brook, New York 11794	
Owner: State University of New York	Contract #: T001983

State University Plaza, Albany, New York 12246 800-342-3811
 Contact: SBU Alumni Association John Fogarty 631-632-3077 john.fogarty@stonybrook.edu
Construction Manager: Stony Brook Alumni Association
 Contact: John Fogarty 631-632-3077
Architect: iKon Architects
 Contact: Charlie Maira 609-919-0099

Stalco #: 1615

Award Date: September 2016
 Completed: January 2017

Farmingdale State College - SUNY School of Business \$19,417,864.00

2350 Broad Hollow Rd, Farmingdale, NY
 Owner: **State University Construction Fund**
 State University Plaza, 353 Broadway, Albany, NY 12246
 Contact: 518-320-3200
 Construction Manager: N/A
 Contact:
 Architect: **Urbahn Architects**
 Contact: 212-239-0220

Contract #: T005998
 Stalco #: 1214

Award Date: September 2014
 Completed: January 2017

Elmhurst New Branch Library \$23,288,312.00

86-01 Broadway, Elmhurst, NY
 Owner: **Queens Public Library**
 Customer Address: 89-11 Merrick Rd, Jamaica, NY 11432
 Contact Name / Number: Zia Dawood 917-346-8865
Construction Manager: DDC
 Contact: Mario Siriban 347-203-1255
Architect: Marpillero Pollak Architects
 Contact: Sandiz Marpillero 212-619-5560

Contract #: 20101421440
 Stalco #: 1011

Award Date: May 2010
 Completed: December 2016

CA - Driven Local \$291,303.00

1 Computer Associates Plaza, Ste. #105, Islandia, NY 11749
 Owner: **CA Technologies**
 1 Computer Associates Plaza, Islandia, NY 11749
 Contact: Dave Mastrella 631-342-3563
Construction Manager: N/A
 Contact:
Architect: BLD
 Contact: (631) 580-2100

Contract #: 3000279523/1616
 Stalco #: 1614

Award Date: July 2016
 Completed: November 2016

Bellmore-Merrick CSD - Bond Referendum Projects Phase III \$918,000.00

W.C. Mephram HS/S.H.Calhon HS/JFK HS/Grand Avenue MS/ Merrick Avenue MS
 Owner: **Bellmore - Merrick CSD**
 1260 Meadowbrook Road, North Merrick, New York 11566
 Contact: 516-992-1000
Construction Manager: School Construction Consultants
 Contact: 631-567-0200
Architect: BBS
 Contact: Roger Smith 631-475-0349

Contract #: 28-02-53-07-007-037
 Stalco #: 1602

Award Date: January 2016
 Completed: September 2016

H.O. Penn - Holtsville Parts Department Renovation \$108,850.00

660 Union Avenue, Holtsville, New York 11742
 Owner: **H.O. Penn Machinery Co., Inc.**
 15 Middle Avenue, Holtsville, New York 11742

Contract #: W0000/ELIHV2TS527696-1
 Stalco #: 1606

Contact: Tristen Schaper 631-654-4454 tschaper@hopenn.com

Construction Manager: N/A

Contact: N/A

Architect: Roseberry Architectural Studio

Contact: 631-730-1262

Award Date: June 2016

Completed: September 2016

East Hampton UFSD - Capital Improvements \$518,972.00
John Marshal Elementary/ East Hampton MS/ East Hampton HS

Owner: Board of Education, East Hampton UFSD

East Hampton, New York 11749

Contact: 631-329-4100

Construction Manager: N/A

Contact: N/A

Architect: MJG Architecture

Contact: Mike Guido 631-849-5852

Contract #: 58-0301-02-0-004-018 58-0301-02-0-003-021 58-0301-02-0-006-027
Stalco #: 1605

Award Date: April 2016

Completed: August 2016

Freeport UFSD Auditorium Upgrade at FHS \$634,539.00
50 South Brookside Avenue, Freeport, New York 11520

Owner: Freeport UFSD

235 North Ocean Avenue, Freeport, New York 11520

Contact: Thomas Fucci

Construction Manager: N/A

Contact: N/A

Architect: BJLJ Engineers & Architects, P.C.

Contact: Thomas Fucci

Contract #: 28-02-09-3-0-001-030
Stalco #: 1512

Award Date: June 2016

Completed: August 2016

Mount Sinai Hospital - Parking Lot \$2,546,211.00
23-11 30th Road, Queens, New York

Owner: Mount Sinai Hospital

23-11 30th Road, Queens, New York

Contact: 718-932-1000

Construction Manager: Mount Sinai Medical Center

Contact: Jeremiah Laureano 646-5920816

Architect: Custodio Consulting LLC

Contact: 212-683-6170

Contract #: X772.20
Stalco #: 1411

Award Date: August 2014

Completed: August 2016

Oceanside UFSD - Capital Improvements Program - High School \$112,000.00
145 Merle Avenue, Oceanside, New York 11572

Owner: Oceanside UFSD

145 Merle Avenue, Oceanside, New York 11572

Contact: 516-678-1200

Construction Manager: N/A

Contact: N/A

Architect: JAG

Contact: John Grillo 631-476-2161

Contract #: 28-02-11-03-0-008-029
Stalco #: 1609

Award Date: May 2016

Completed: August 2016

Oysterponds Elementary School - Window Replacement Phase III \$535,000.00
23405 Main Rd, Orient, NY, 11957

Owner: Oysterpond Elementary School

23405 Main Rd, Orient, NY, 11957

Contract #: GC-1
Stalco #: 1610

Contact: 631-323-2410

Construction Manager: N/A

Contact: N/A

Architect: BHC, LLP

Contact: Richard Malone 631-323-2410

Award Date:

June 2016

Completed:

August 2016

Nassau University Medical Center - Primary Care **\$3,064,000.00**

2201 Hempstead Turnpike, East Meadow, New York 11564

Owner: Nassau University Medical Center

Contract #: MC98-12173-5147

2201 Hempstead Turnpike, East Meadow, New York 11564

Stalco #: 1319

Contact: Fara Tabaei

Construction Manager: SCC Construction Management Group

Contact: Nick Amoruso 516-790-0594

Award Date:

January 2014

Architect: Fletcher Thompson

Completed:

February 2016

Contact: 212-695-4767

Nassau BOCES - Rosemary Kennedy Center - Pool Area **\$65,800.00**

2850 North Jerusalem Road, Wauntagh, New York 11793

Owner: Nassau BOCES - Board of Cooperative Educational Services

Contract #: 14/15-058

71 Clinton Road, Garden City, New York

Stalco #: 1432

Contact: Christopher Graham

Construction Manager:

Contact:

Architect: H2M

Award Date:

2014

Contact: Andrew Martin 631-756-8000 Ext. 1339

Completed:

November 2015

Pier A - Phase III Core & Shell General Contracting **\$17,167,950.00**

22 Battery Place, New York, NY 10004

Owner: Battery Park City Authority

Contract #: 10-2037

200 Liberty St, 24th Floor, New York, NY 10281

Stalco #: 1022

Contact: Gwen Dawson 212-417-4304

Construction Manager: The Liro Group

Contact: Jaio Maraj 212-248-0481 marajj@liro.com

Award Date:

August 2010

Architect: H3 Hardy Collaboration Architecture

Completed:

December 2014

Contact: Ivy Zoretic 212-677-6030

Battery Park Community Center **\$10,816,859.00**

345 Chambers St., Battery Park City

Owner: Battery Park City Authority

Contract #: 10-1996

200 Liberty St, 24th Floor, New York, NY 10281

Stalco #: 1003

Contact: Gwen Dawson 212-417-4304

Construction Manager: Liro Program & Construction Management, P.C.

Contact: Anthony Buquicchio 212-786-1090

Award Date:

April 2010

Architect: Liro Architects

Completed:

2013

Contact: Anthony Buquicchio 212-786-1090

Erasmus Hall High School **\$11,400,000.00**

911 Flatbush Ave, Brooklyn, NY

Owner: NYC School Construction Authority

Contract #: C000010679

Address: 30-30 Thomson Ave, Long Island City, NY 11101

Stalco #: 0741

Contact Name / Number: Jacobie Ricard 718-472-8000

Construction Manager: NYC School Construction Authority

Contact: Jacobie Ricard 718-472-8000

Architect: BJLJ Engineers & Architects, P.C.

Contact: Jugal Pandya 516-741-2222

Award Date: January 2008

Completed: 2012

Manorhaven Beach Pool Complex \$12,176,251.00

Manorhaven Blvd, Port Washington, NY

Owner: Town of North Hempstead - Dept. of Public Works

285 Denton Ave, New Hyde Park, NY 11040

Contact: 516-739-6710

Construction Manager: Cameron Engineering & Associates

Contact: Kevin McAndrew 516-827-4900

Architect: Notaro Grupp Architects

Contact: John Notaro, Scott Grupp 516-609-8700

Contract #: 07-11R

Stalco #: 1018

Award Date: July 2010

Completed: December 2010

Three Village CSD - Bond Issue Related Capital Improvement Phase III \$30,000,000.00

Nassakeag ES, Arrowhead ES, Ward Melville HS

Owner: Three Village Central School District

100 Suffolk Ave, Stony Brook, NY 11790

Contact Name / Number: 631-730-4010

Construction Manager: School Construction Consultants

Contact: Paul Adamo 631-567-0200

Architect: John A. Grillo Architects

Contact: John M. Grillo 631-476-2161 jmgrillo@jagarchitect.com

58-02-01-06-0-002-022 58-02-01-

Contract #: 06-0-007-021 58-02-01-06-0-009

032

Stalco #: 903

Award Date: January 2009

Completed: September 2010

CA - Lewis Johs \$295,000

Baldassano Architecture

Alew Bakdamenti 631.830.0282

Completed in 2016

SUNY Farmingdale School of Business \$18,900,000

Urbahn Architects

Deborah Verne 212.239.0220

Completed in 2016

White Top Mountain Medical and Research Building \$5,000,000

NF Architectural Designs

516.627.3300

Completed in 2016

Brooklyn Bridge Park Management and Community Building \$3,600,000

Architecture Research Office

Megumi Tamanaha 212.675.1870

Completed in 2015

Liebeskind USA, Inc. \$350,000

L2 Studios, Inc.

Boyd Joyner 407.648.8888

Completed in 2015

Riverhead Charter School \$14,100,000

BBS Architects, Landscape Architects and Engineers

1316 Motor Parkway Islandia, NY 11749

Phone: (631) 254-6767 ~ Fax (631) 254-8015

estimating@stalcoconstruction.com

Karlisa Grundner 631.475.0349 Completed in 2015	
Catheterization Laboratory at Nassau University Medical Center	\$815,000
DiGroup Architecture Robert Ryan 646.584.8419 Completed in 2014	
Lincoln Medical and Mental Health Center Emergency Department	\$5,200,000
Perkins Eastman Architects Kenneth Easton 646.225.6227 Completed in 2014	
Long Beach High School	\$16,500,000
CS Arch Dana Hochberg 518.463.8068 Completed in 2014	
SUNY Stony Brook MRI Suite	\$5,800,000
Aecom Jongmin Lee 212.366.6200 Completed in 2014	
Vaughn College of Aeronautics and Technology	\$24,000,000
John Ciardullo Associates, P.C. Richard Piacentini 212.245.0010 Completed in 2014	
Health Sciences Library at Nassau University Medical Center	\$700,000
Ehasz Giacalone Architects Louis Giacalone 516.420.8383 Completed in 2013	
SUNY Optometry	\$1,858,780
Perkins Eastman Richard Rappaport 646.225.6275 Completed in 2013	
SUNY Stony Brook University Computing Center	\$2,500,000
Newport Engineering Samantha Spano 516.922.2672 Completed in 2013	
Computer Associates	\$592,000
BLD Architecture Tom Murzawski 631.580.2100 Completed in 2012 (We have done various projects at CA over the past few years)	
Deer Park Fire District	\$150,000
Cashin Associates Joe Iannucci 631.348.7600 Completed in 2012	
Manorhaven Beach Pool Complex	\$12,000,000
Cameron Engineering & Associates Joyce He 516.827.4900	

Completed in 2012

Miller Place Union Free School District

\$2,000,000

Michael J. Guido Architect

Mike Guido 631.617.1301

Completed in 2012

Patchogue - Medford Library

\$537,562

H2M Architects

Joseph Mile 631.694.4122

Completed in 2011

East Quogue Fire District

\$360,000

Frank G. Relf Architects

William Quintanilla 631.271.4432

Completed in 2009

Holy Sepluchre Cemetery Building

\$2,700,000

Burton, Behrendt, Smith Architecture

Karalisa Grundner 631.475.0349

Completed in 2009

Scully Estates Suffolk County Environmental Education & Interpretive Center

\$723,000

Peter Caradonna Architecture

Christine Caruso 631.689.1120

Completed in 2009

Western Suffolk BOCES

\$159,627

James M. Cambell Architects

Ralph Cassetta 631.587.1984

Completed in 2009

Springs Youth Center

\$1,300,000

L.K. McLean Associates, P.C.

Matthew Jedlicka 631.286.8668

Completed in 2008

Tanner Park Beach Complex

\$1,000,000

Sidney B. Bowne & Son, LLP

Joseph Todaro 516.746.2350

Completed in 2008

Kings Park High School

\$10,100,000

H2M Architects

Joseph Mile 631.694.4122

Completed in 2007

**NEW YORK STATE
VENDOR RESPONSIBILITY QUESTIONNAIRE
ATTACHMENT B - UNCOMPLETED CONSTRUCTION CONTRACTS**

Vendor Name: Stalco Construction, Inc.

As of 02/20/23

NYS Vendor ID: 1000038099

Question 3.1: List all current uncompleted construction contracts.

1. Agency/Owner NY S Office of General Services - Sagamore Children's Psychiatric Ctr. Bldg. 80 Induction Units Replacement (2302)		Award Date	Completion Date
Contact Person Catherine Skaczkowski	Telephone No. 518-474-5528	02/16/23	
Contract No. 47045C	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A	
Prime or Sub Prime	Total Contract Amount \$764,000.00	Amount Sublet to Others	Uncompleted Amount \$764,000.00
2. Agency/Owner North Babylon UFSD - CIP Bond Phase 4 at N. Babylon High School & Robert Moses Middle School (2228)		Award Date	Completion Date
Contact Person James Weydig	Telephone No. 631-475-0349	01/20/23	
Contract No. SED#: 58-01-03-03-0-002-045 SED#: 58-01-03-03-0-011-028	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A	
Prime or Sub Prime	Total Contract Amount \$1,494,951.00	Amount Sublet to Others	Uncompleted Amount \$1,494,951.00
3. Agency/Owner SCWA - Furnish & Install Pre-Engineered Metal Warehouse (2227)		Award Date	Completion Date
Contact Person Dane Jones	Telephone No. 631-563-0334	01/03/23	
Contract No. 7904	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A	
Prime or Sub Prime	Total Contract Amount \$780,100.00	Amount Sublet to Others	Uncompleted Amount \$780,100.00
4. Agency/Owner Inc. Village of Sands Point - Administration Building Renovations at Governors Lane (2226)		Award Date	Completion Date
Contact Person Kurt Durr	Telephone No. 516-364-9890 ext. 3421	12/28/22	
Contract No. D&B No. 5696	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A	
Prime or Sub Prime	Total Contract Amount \$737,299.80	Amount Sublet to Others	Uncompleted Amount \$737,299.80

5. Agency/Owner NYS OPRHP - Aquatics Locker Room Rehab at Denny Farrell Riverbank State Park (2225)	Telephone No. 212-866-3554 Designer Architect and/or Design Engineer NYS OPRHP	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A	Award Date TBD	Completion Date
Contact Person Syed Shukat	Total Contract Amount \$4,342,000.00	Amount Sublet to Others	Uncompleted Amount \$4,342,000.00	Completion Date		
6. Agency/Owner Town of Islip - Long Island MacArthur Airport - Main Terminal Building MEP Upgrades Phase II (2224)	Telephone No. 631-467-3300 Designer Architect and/or Design Engineer C& S Companies	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A	Award Date 11/15/22	Completion Date
Contact Person Steven Siniski	Total Contract Amount \$1,192,000.00	Amount Sublet to Others	Uncompleted Amount \$1,192,000.00	Completion Date		
7. Agency/Owner Pt. Washington Water District - 1,4 Dioxane, Nitrate, PFOA & PFOS Treatment at Stonytown Well 10 Station (2222)	Telephone No. 516-364-9890 Designer Architect and/or Design Engineer D& B Engineers & Architects	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A	Award Date 02/14/23	Completion Date
Contact Person Andrew Marrandino & Stephen Laun	Total Contract Amount \$4,557,000.00	Amount Sublet to Others \$1,947,23.00 to date	Uncompleted Amount \$4,557,000.00	Completion Date		
8. Agency/Owner City of Glen Cove - construction of Municipal Golf Course Clubhouse (2221)	Telephone No. 516-676-2000 Designer Architect and/or Design Engineer Cashin Associates PC	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A	Award Date 10/26/22	Completion Date
Contact Person City of Glen Cove	Total Contract Amount \$792,000.00	Amount Sublet to Others \$497,205.00 to date	Uncompleted Amount \$714,084.50	Completion Date		
Contract No. 2022-017	Award Date 09/21/22	Completion Date				
9. Agency/Owner Port Washington UFSD - Cafeteria Air Conditioning at South Salem Elementary School (2220)	Telephone No. 516-767-5010 Designer Architect and/or Design Engineer BBS Architects & Engineers	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A	Award Date 09/21/22	Completion Date
Contact Person Kathleen O'Hara	Total Contract Amount \$76,000.00	Amount Sublet to Others	Uncompleted Amount \$72,960.00	Completion Date		
Contract No. SED# 28-04-04-03-0-008-011	Award Date	Completion Date				
10. Agency/Owner	Award Date	Award Date				

Longwood CSD - Air Conditioning & Electrical Upgrades at Various Buildings (2219)		10/31/22
Contact Person Richard Wiedersum	Telephone No. 631-756-8000	Designer Architect and/or Design Engineer H2M Architects + Engineers
Contract No.	Prime or Sub	Joint Venture (JV) Name, if applicable
SED#: 58-02-12-06-0009-020; SED#: 58-02-12-06-0007-019; SED#: 58-02-12-06-0004-021	Prime	N/A
Total Contract Amount \$1,354,000.00		Amount Sublet to Others \$838,676.00 to date
Uncompleted Amount \$1,119,995.60		Completion Date 09/13/22
11. Agency/Owner Town of Islip - Byron Lake Pool Reconstruction (2218)		
Contact Person Town of Islip	Telephone No. 631-224-5411	Designer Architect and/or Design Engineer de Bruin Engineering
Contract No. DPW3-22G	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A
Total Contract Amount \$16,929,800.00		Amount Sublet to Others \$10,904,659.92 to date
Uncompleted Amount \$13,477,877.11		Completion Date 08/02/22
12. Agency/Owner Stony Brook Eastern Long Island Hospital - Pharmacy Relocation (2217)		
Contact Person John Pluchino	Telephone No. 631-632-6010	Designer Architect and/or Design Engineer H2M Architects + Engineers
Contract No. 752943	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A
Total Contract Amount \$960,000.00		Amount Sublet to Others \$960,000.00
Uncompleted Amount \$960,000.00		Completion Date 07/22/22
13. Agency/Owner Gold Coast Public Library - New Library Building (2216)		
Contact Person Joseph Mottola	Telephone No. 631-756-8000 ext 1325	Designer Architect and/or Design Engineer H2M Architects + Engineers
Contract No. H2M Project No GCLB-2101	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A
Total Contract Amount \$8,086,000.00		Amount Sublet to Others \$4,316,773.54 to date
Uncompleted Amount \$7,767,000.00		Completion Date 06/16/22
15. Agency/Owner Harborfields CSD - Addition & Interior Alterations at Thomas J. Lahey Elementary School (2213)		
Contact Person George Leeman	Telephone No. 516-506-3353	Designer Architect and/or Design Engineer BBS Architects & Engineers
Contract No. SED#: 58-04-06-06-0-011-017	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A
Total Contract Amount \$1,987,000.00		Amount Sublet to Others \$1,879,454.91
Uncompleted Amount \$817,823.68		Completion Date N/A

16. Agency/Owner North Shore CSD - 2019 Capital Project Phase 2 Rebid - Contract GC-1 at High School (2207)	Award Date 04/08/22	Completion Date
Contact Person Rober Firmeis	Designer Architect and/or Design Engineer MEMASI	
Telephone No. 631-780-7017		EIN of JV, if applicable N/A
Joint Venture (JV) Name, if applicable N/A		
Prime or Sub Prime	Amount Sublet to Others \$4,915,296.20	Uncompleted Amount \$3,959,263.12
SED#: 28-05-01-06-0-004-039	Total Contract Amount \$6,278,000.00	Award Date 05/06/22
17 Agency/Owner US Army Engineer District, Louisville - Fort Totten Army Reserve Center Restoration		
Contact Person - Prime Ameresco, Inc.	Designer Architect and/or Design Engineer US Army Corps of Engineers	
Telephone No. 508-661-2200		
Joint Venture (JV) Name, if applicable N/A		EIN of JV, if applicable N/A
Project ID: 521282000	Amount Sublet to Others \$7,527,327.65	Uncompleted Amount \$7,962,293.23
Award Date 02/16/22		
18. Agency/Owner Jericho Water District - 1,4 Dioxane & PFOA Treatment at Kirby Lane - GC (2204)		
Contact Person Jennifer Gienau	Designer Architect and/or Design Engineer D & B Engineers & Architects	
Telephone No. 516-364-9890		
Joint Venture (JV) Name, if applicable N/A		EIN of JV, if applicable N/A
Prime or Sub Prime	Amount Sublet to Others \$3,432,293.01 to date	Uncompleted Amount \$2,071,767.80
Total Contract Amount \$4,600,000.15	Award Date 01/31/22	
19. Agency/Owner Suffolk County Water Authority - New Pre-Engineered Metal Building (2203)		
Contact Person SCWA	Designer Architect and/or Design Engineer SCWA	
Telephone No. 631-563-0334		
Joint Venture (JV) Name, if applicable N/A		EIN of JV, if applicable N/A
Prime or Sub Prime	Amount Sublet to Others \$684,523.64 to date	Uncompleted Amount \$662,118.72
Total Contract Amount \$898,050.00	Award Date 02/07/22	
20. Agency/Owner NYC Parks & Recreation - St. Mary's Recreation Center Reconstruction (2202)		
Contact Person Lorenzo Calabrese	Designer Architect and/or Design Engineer Sage and Coombe Architects	
Telephone No. 718-760-6866		
Joint Venture (JV) Name, if applicable N/A		EIN of JV, if applicable N/A
Prime or Sub Prime	Amount Sublet to Others \$4,633,470.17 to date	Uncompleted Amount \$6,148,514.04
Total Contract Amount \$6,362,014.04	Award Date	
21. Agency/Owner		

Carle Place UFSD - Exterior Wall & Classroom Renovation Phase 3 at Cherry Lane Elementary School (2201)		02/04/22
Contact Person Jason Smith	Telephone No. 631-756-8000 ext. 1011	Designer Architect and/or Design Engineer H2M Architects + Engineers
Contract No. SED#: 28-04-11-03-0-003-024	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A
	Total Contract Amount \$992,200.00	Amount Sublet to Others \$890,627.26
		Uncompleted Amount \$54,850.00
		EIN of JV, if applicable N/A
22.	Agency/Owner NYCDDC - Kings County Criminal Court Public Restroom Reno-Holding Pens ADA Upgrades Rebid (2128)	Completion Date 730 cccds
Contact Person Travis Letbetter - Contract Mgr.	Telephone No. 718-391-1158	Designer Architect and/or Design Engineer NYC DDC
Contract No. CO281TOIL	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A
	Total Contract Amount \$9,385,100.00	Amount Sublet to Others \$6,178,841.43 to date
		Uncompleted Amount \$8,275,064.48
		EIN of JV, if applicable N/A
23.	Agency/Owner Town of Southampton - Volunteer Ambulance Facility (2127)	Completion Date 335 cccds
Contact Person Thomas Houghton Jr. PE - Acting Town Engineer	Telephone No. 631-702-1750	Designer Architect and/or Design Engineer AVA Design
Contract No. Construction Work	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A
	Total Contract Amount \$3,448,830.00	Amount Sublet to Others \$2,685,309.79 to date
		Uncompleted Amount \$2,252,765.60
		EIN of JV, if applicable N/A
24.	Agency/Owner NYC DDC - Countee Cullen Library Exterior Rehabilitation Rebid (2126)	Completion Date 720 cccds
Contact Person Travis Letbetter - Contract Mgr.	Telephone No. 718-391-1158	Designer Architect and/or Design Engineer NYC DDC
Contract No. LNCAL15CC	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A
	Total Contract Amount \$5,840,000.00	Amount Sublet to Others \$2,654,089.00
		Uncompleted Amount \$5,840,000.00
		EIN of JV, if applicable N/A
25.	Agency/Owner Suffolk County Community College - New Plant Operations Building on Michael J. Grant Campus (2124)	Completion Date 01/01/23
Contact Person Chris McDonald	Telephone No. 631-847-7762	Designer Architect and/or Design Engineer Tetra Tech Architects & Engineers
Contract No. 23-CC-153	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A
	Total Contract Amount \$3,769,050.00	Amount Sublet to Others \$3,109,912.50
		Uncompleted Amount \$2,190,868.83
		EIN of JV, if applicable N/A
26.	Agency/Owner West Islip Fire District - New Vehicle Storage Building (2119)	Completion Date 05/13/22
	Total Contract Amount \$3,109,912.50	Amount Sublet to Others \$3,109,912.50
		Uncompleted Amount \$2,190,868.83
		EIN of JV, if applicable N/A
		Completion Date 05/13/22

Contact Person Fire District	Telephone No. 631-669-1939	Designer Architect and/or Design Engineer	
Contract No. WE-16-009	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A
	Total Contract Amount \$2,118,900.00	Amount Sublet to Others \$1,727,971.67	Uncompleted Amount \$45,996.91
27. Agency/Owner Uniondale UFSD - Walnut Elementary School Addition & Renovation (2115)		Award Date 06/23/21	Completion Date 08/07/23
Contact Person Jason Smith	Telephone No. 631-756-8000	Designer Architect and/or Design Engineer H2M Architects + Engineers	
Contract No. SED # 28-02-02-03-0-007-036	Prime or Sub Prime	Joint Venture (JV) Name, if applicable N/A	EIN of JV, if applicable N/A
	Total Contract Amount \$5,876,000.00	Amount Sublet to Others \$5,001,773.57	Uncompleted Amount \$2,197,814.08

28.	Agency/Owner Inc. Village of Mineola - New Fire Headquarters (2112)	Award Date 05/11/21		Completion Date 07/25/22
	Contact Person Patrick Stone	Telephone No. 631-756-8000	Designer Architect and/or Design Engineer H2M Architects + Engineers	
	Contract No. IVOM 1901	Prime or Sub Prime	EIN of JV, if applicable N/A	
	Total Contract Amount \$8,886,000.00		Amount Sublet to Others \$7,968,580.16	Uncompleted Amount \$1,295,132.54
29.	Agency/Owner North Shore School District - Addition & Interior/Exterior Renovation at Various Schools - Contract 1 (2104)	Award Date 03/26/21		Completion Date 08/19/22
	Contact Person Savin Engineers PC	Telephone No. 914-490-0269	Designer Architect and/or Design Engineer MEMASI	
	Contract No. 103-2001 Contract 1	Prime or Sub Prime	EIN of JV, if applicable N/A	
	Total Contract Amount \$5,956,000.00		Amount Sublet to Others \$5,156,082.10	Uncompleted Amount \$4,747.80
30.	Agency/Owner East Hampton UFSD - New Bus Garage (2015)	Award Date 12/11/20		Completion Date 01/07/22
	Contact Person Michael J. Guido	Telephone No. 631-849-5852	Designer Architect and/or Design Engineer MJG Architects	
	Contract No. SED # 58-03-01-02-5-034-001	Prime or Sub Prime	EIN of JV, if applicable N/A	
	Total Contract Amount \$2,671,100.00		Amount Sublet to Others \$2,026,657.79	Uncompleted Amount \$1,334,097.83
31.	Agency/Owner Massapequa Fire District - Addition & Renovation to Park House (2014)	Award Date 12/01/20		Completion Date 03/31/22
	Contact Person Patrick Stone	Telephone No. 631-756-8000	Designer Architect and/or Design Engineer H2M Architects + Engineers	
	Contract No. MIPFD1902	Prime or Sub Prime	EIN of JV, if applicable N/A	
	Total Contract Amount \$7,081,000.00		Amount Sublet to Others \$5,386,903.63	Uncompleted Amount \$5,430,825.57

C. Pending contracts not yet started by the bidder

List all contracts awarded to or won by the bidder but not yet started.

Project & Location	Contract Type	Contract Amount (\$000)	Date Scheduled to Start	Owner Reference & Tel. No.	Architect/Engineer Reference & Tel. No. (if different from owner)



**Department of
Design and
Construction**

PROJECT ID: LQD122HO1

**THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF PUBLIC BUILDINGS**

30-30 THOMSON AVENUE
LONG ISLAND CITY, NEW YORK 11101-3045
TELEPHONE (718) 391-1000
WEBSITE www.nyc.gov/buildnyc

VOLUME 2 OF 3

**PROJECT LABOR AGREEMENT
INFORMATION FOR BIDDERS
CONTRACT
PERFORMANCE AND PAYMENT BONDS
SCHEDULE OF PREVAILING WAGES
GENERAL CONDITIONS**

FOR FURNISHING ALL LABOR AND MATERIALS
NECESSARY AND REQUIRED FOR THE PROJECT

Hollis Library Interior Renovation

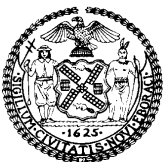
**LOCATION: 202-05 Hillside Avenue
BOROUGH: Queens, NY 11423
CITY OF NEW YORK**

CONTRACT NO. 1 GENERAL CONSTRUCTION WORK

Queens Borough Public Library

DDC In House Design Studio

Date: March 13, 2023





**Department of
Design and
Construction**

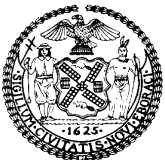
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NOTICE TO BIDDERS

This contract is subject to a new 2020 Project Labor Agreement

This contract is subject to the attached Project Labor Agreement (“PLA”) entered into between the City and the Building and Construction Trades Council of Greater New York (“BCTC”) affiliated Local Unions. By submitting a bid, the Contractor agrees that if awarded the Contract the PLA is binding on the Contractor and all subcontractors of all tiers.

The bidder to be awarded the contract will be required to execute a Letter of Assent prior to award. The Contractor shall include in any subcontract a requirement that the subcontractor, and sub-subcontractors of all tiers, become signatory to and bound to the PLA with respect to the subcontracted work. The Contractor will also be required to have all subcontractors of all tiers execute a Letter of Assent prior to such subcontractors performing any Program Work.

Bidders are advised that the City of New York and City agencies have entered into multiple PLAs. The terms of each PLA, while similar, are not identical. Please also note that there are revisions between the 2020 Citywide Renovation PLA attached to this bid and the prior 2015 Citywide Renovation PLA.

All bidders are urged to review the entire 2020 Citywide Renovation PLA prior to submitting a bid.

To the extent that the terms of the PLA conflict with any other terms of the invitation for bids, including the Standard Construction Contract, the terms of the PLA shall govern. For example, the PLA section that authorizes the scheduling of a four-day week, ten hours per day on straight time at the commencement of the job, PLA Article 12, Section 1(A), overrides the Standard Construction Contract’s provision concerning a five-day work week with a maximum of eight hours in a day, Standard

Construction Contract Article 37.2.1. Where, however, the invitation for bids, including the Standard Construction Contract, requires the approval of the City/Department, the PLA does not supersede or eliminate that requirement.

This Contract is subject to the apprenticeship requirements of Labor Law § 222 and to apprenticeship requirements established by the Department pursuant to Labor Law § 816-b. Please be advised that the involved trades have apprenticeship programs that meet the statutory requirements of Labor Law § 222(e) and the requirements set by the Department pursuant to Labor Law § 816-b, Contractors and subcontractors who agree to perform the Work pursuant to the PLA are participating in such apprenticeship programs within the meaning of Labor Law § 222(e) and the Department's directive.

If this Contract is subject to the Minority-Owned and Women-Owned Business Enterprise ("M/WBE") program implemented pursuant to New York City Administrative Code § 6-129, the specific requirements of M/WBE participation for this Contract are set forth elsewhere in this bid package. If such requirements are included with this Contract, the City strongly advises Contractors to read those provisions, as well as PLA Article 4, Section 4. A list of certified M/WBE firms may be obtained from the Department of Small Business Services (DSBS) website at <http://mtprawvwsbwtp1-1.nyc.gov/>, emailing MWBE@sbs.nyc.gov, or by calling the DSBS certification hotline at (212) 513-6311, or by visiting or writing the DSBS at One Liberty Plaza, 11th Floor, New York, New York, 10006.

The local collective bargaining agreements (CBAs) that are incorporated into the PLA as PLA Schedule A Agreements are available from the Department's Agency Chief Contract Officer upon the request of any prospective bidder.

Please note that the "PLA Schedule A" is distinct from the Department's Schedule A that is a part of this invitation for bids.

2020 Citywide Renovation Project Labor Agreement Frequently Asked Questions

1. **Q.** Does a Contractor need to be signatory with the unions in the NYC Building and Construction Trades Council (“BCTC”) in order to bid on projects under the PLA?

A. No, any contractor may bid by signing and agreeing to the terms of the PLA. The contractor need not be signatory with these unions by any other labor agreement or for any other project.

2. **Q.** Does a Contractor agreeing to the PLA and signing the Letter of Assent create a labor agreement with these unions outside of the project covered by the PLA?

A. No, the PLA applies only to those projects that the Contractor agrees to perform under the PLA and makes no labor agreement beyond those projects. Contractors do not need to sign any additional agreements (*e.g.*, a collective bargaining agreement) with a union aside from the Letter of Assent to work on a PLA project.

3. **Q.** Do the provisions of the PLA apply equally to subcontractors as well as contractors and how does the PLA affect the subcontractors that a bidder may utilize on the project?

A. Yes, the PLA applies to subcontractors and all subcontractors performing Program Work must agree to become party to the PLA. Subject to the Agency’s approval of subcontractors pursuant to Article 17 of the Standard Construction Contract, a Contractor may use any subcontractor, union or non-union, as long as the subcontractor signs the Letter of Assent. See PLA Article 2, Section 8.

4. **Q.** Are bidders required to submit Letters of Assent signed by proposed subcontractors with their bid in order to be found responsive?

A. No, bidders do not have to submit signed Letters of Assent from their subcontractors with their bid. However, subcontractors performing Program Work will be required to sign the Letter of Assent prior to being approved by the Agency.

5. **Q.** May a Contractor or subcontractor use any of its existing employees to perform this work?

A. Generally, labor will be referred to the Contractor from the respective signatory local unions. However, Contractors and subcontractors may use up to 12% of their existing, qualifying labor force for this work. Certified M/WBEs for which participation goals are set pursuant to NYC Administrative Code § 6-129 that are not signatory to any Schedule A collective bargaining agreements (“CBAs”) may use their existing employees for the 2nd, 4th, 6th and 8th employee (per trade) needed on the job if their contracts are valued at or under \$2,000,000. Any additional workers will be referred to the Contractor in accordance with the 12% referral requirements set forth in the PLA. See PLA Article 4, Section 2.

6. **Q.** Must the City set M/WBE participation goals for the particular project or contract in order for a certified M/WBE to utilize the provisions of PLA Article 4, Section 2(C)?

A. No. PLA Article 4, Section 2(C) specifies what categories of M/WBEs are eligible to take advantage of this provision (i.e., those M/WBEs for which the City is authorized to set participation goals under § 6-129). For purposes of Article 4, Section 2(C), it is not necessary for the project to be subject to § 6-129 or for the City to have actually set participation goals for the particular contract or project. The result is the same where a project receives State funding and therefore is subject to the requirements of Article 15-A of the Executive Law.

7. **Q.** May a Contractor bring in union members from locals that are not signatory unions?

A. Referrals will be from the respective signatory locals and/or locals listed in Schedule A of the PLA. Contractors may utilize ‘traveler provisions’ contained in the local CBAs where such provisions exist and/or in accordance with the provisions of PLA Article 4, Section 2.

8. **Q.** Does a non-union employee working under the PLA automatically become a union member?

A. No, the non-union employee does not automatically become a union member by working on a project covered by the PLA and nothing in the PLA requires employees to join a union or pay dues or fees to a union as a condition of working on the covered project. This Agreement is not, however, intended to supersede independent requirements in applicable local union agreements as to contractors that are otherwise signatory to those agreements and as to employees of such employers performing covered work. Non-union employees will be enrolled in the appropriate benefit plans and earn credit toward various union benefit programs except in certain circumstances as set forth in the PLA. See PLA Article 4, Section 6 and Article 11.

9. **Q.** Are all Contractors and subcontractors working under the PLA, including non-union Contractors and Contractors signatory to CBAs with locals other than those that are signatories to the PLA, required to make contributions to designated employee benefit funds?

A. Except in certain circumstances, as described in the following paragraph, Contractors and subcontractors working under the PLA will be required to contribute on behalf of all employees covered by the PLA to established jointly trustee employee benefit funds designated in the Schedule A CBAs and required to be paid on public works under any applicable prevailing wage law. The Agency may withhold from amounts due the Contractor any amounts required to be paid, but not actually paid into any such fund by the Contractor or a subcontractor. See PLA Article 11, Section 2.

Non-union Contractors with bona fide private benefit plans that satisfy the requirements of Labor Law 220 will not be required to pay into union benefit funds for their employees working pursuant to Article 4, Section 2 (B) and (C) (“Core Employees”) who are already covered under their bona fide private benefit plans. Supplemental

benefit funds in excess of the annualized value of the private benefit plans will be paid directly to workers as additional wages in compliance with Labor Law § 220. At the time of contract award, the Contractor shall make available to the contracting Agency a complete set of plan documents for each private benefit plan into which contributions will be made and/or coverage provided. The Contractor shall also provide certification from a certified public accountant as to the annualized hourly value of such benefits consistent with the requirements of Labor Law § 220. See PLA Article 11, Section 2.

10. **Q.** When do Core Employees become eligible for union benefits?

A. Union benefit plans have their own plan documents that determine eligibility and workers will become eligible for certain benefits at different points in time. Contractors who will have Core Employees should speak with the respective union(s) as to benefit eligibility thresholds. Employees that may remain unaffiliated with any local union at the completion of their employment may apply for any distributions to which they may be entitled from the funds in accordance with the applicable rules and governing documents of the unions and the employee benefit funds.

11. **Q.** What happens if a Contractor or subcontractor fails to make a required payment to a designated employee benefit fund?

A. The PLA sets forth a process for unions to address a Contractor or a subcontractor's failure to make required payments. The process includes potentially the direct payment by the City to the benefit fund of monies owed and the corresponding withholding of payments to the Contractor. See PLA Article 11, Section 2.

Upon notification by a union or fringe benefit fund that a Contractor is delinquent in its payment of benefits and a determination by the Agency that the union or fund has submitted appropriate documentation of such delinquency, the Agency will thereafter require the Contractor to submit cancelled checks or other equivalent proof of payment of benefit contributions with certified payroll reports for work covered by this PLA on which the Contractor is engaged.

The City strongly advises Contractors to read these provisions carefully and to include appropriate provisions in subcontracts addressing these possibilities.

12. **Q.** Does signing on to the PLA satisfy the Apprenticeship Requirements established for this bid?

A. Yes. By agreeing to perform the Work subject to the PLA, the bidder demonstrates compliance with the apprenticeship requirements imposed by this Invitation for Bids.

13. **Q.** Who decides on the number of workers needed?

A. Except as expressly limited by a specific provision of the PLA, a Contractor retains full and exclusive authority for the management of their operations, including the determination as to the number of employees to be hired and the qualifications therefore and the promotion, transfer, and layoff of its employees. See PLA Article 6, Section 1.

14. **Q.** What happens if a union does not provide a worker within 48 hours from the request (Saturdays, Sundays, and holidays excepted)?
- A.** In the event that a Local Union does not fill any request for qualified employees within a 48-hour period after such requisition is made by a Contractor (Saturdays, Sundays and holidays excepted), a Contractor may employ qualified applicants from any other available source.
15. **Q.** May a Contractor discharge a union referral for lack of productivity?
- A.** Except as expressly limited by a specific provision of the PLA, a Contractor retains full and exclusive authority for the management of their operations, including the right to discipline or discharge for just cause its employees. See PLA Article 6, Section 1.
16. **Q.** May a contractor assign a management person to site?
- A.** Yes. Managers are not subject to the provisions of the PLA, so there is no restriction on management and/or other non-trade personnel, as long as such personnel do not perform trade functions. See Article 3, Section 1.
17. **Q.** What type of work can Stewards perform?
- A.** All Stewards must be working Stewards (*i.e.*, they must be performing Program Work). In addition, Stewards may perform other tasks such as receiving complaints or grievances from other employees of the Steward's trade. Stewards may not determine when overtime is worked. Stewards are entitled to the same wages as other employees of that trade. See PLA Article 5, Sections 2 and 3.
18. **Q.** Can a Contractor utilize apprentices?
- A.** Contractors are permitted to utilize apprentices so long as the ratios between journeyman and apprentice do not exceed the allowable ratios set by the New York State Department of Labor ("NYSDOL"). Should a Contractor request that apprentices be provided for Program Work, the referring Local Union shall comply with that request so long as it is consistent with the maximum ratios permitted by NYSDOL.
19. **Q.** What is HireNYC Construction Careers?
- A.** HireNYC Construction Careers is an initiative to advance career opportunities within the construction industry. The initiative has a target goal of 30% of all hours worked on PLA projects are performed by workers who reside in NYCHA housing or zip codes where 15% or more of the residences are below poverty. When a Contractor requests employees, the trades will take into account the target goals when they refer additional workers.

20. **Q.** Does the PLA provide a standard work day across all the signatory trades?
- A.** Yes, all signatory trades will work an eight (8) hour day, Monday through Friday with a day shift at straight time as the standard work week. The PLA also permits a Contractor to schedule a four-day (within Monday through Friday) work week, ten (10) hours per day at straight time if announced at the commencement of the project. See PLA Article 12, Section 1. This is an example where the terms of the PLA override provisions of the Standard Construction Contract (compare with section 37.2 of the Standard Construction Contract). The standard work week may be reduced to 35 or 37 ½ hours of work in those limited circumstances where the City states in the bid documents that the Contractor will not be given access to the site to accommodate an 8-hour day. The 8 hour, 7 ½ hour or 7-hour work day must be established at the commencement of the project by the Agency and may not be altered by the Contractor.
21. **Q.** Does the PLA create a common holiday schedule for all the signatory trades?
- A.** Yes, the PLA recognizes nine common holidays. See PLA Article 12, Section 4.
22. **Q.** Are workers entitled to holiday pay if they do not work on the holiday?
- A.** No. Workers are only entitled to pay if they work on the holiday. See PLA Article 12, Section 4.
23. **Q.** Does the PLA provide for a standard policy for ‘shift work’ across all signatory trades?
- A.** Yes, second and third shifts may be worked with a standard 5% premium pay. In addition, a day shift does not have to be scheduled in order to work the second and third shifts at the 1.05 hourly pay rate. See PLA Article 12, Section 3.
24. **Q.** May the Contractor schedule overtime work, including work on a weekend?
- A.** Yes, the PLA permits the Contractor to schedule overtime work, including work on weekends. See PLA Article 12, Sections 2, 3, and 5. To the extent that the Agency’s approval is required before a Contractor may schedule or be paid for overtime, that approval is still required notwithstanding the PLA language.
25. **Q.** Are overtime payments affected by the PLA?
- A.** Yes, all overtime pay incurred Monday through Saturday will be at time and one half (1 ½). There will be no stacking or pyramiding of overtime pay under any circumstances. See PLA Article 12, Section 2. Sunday and holiday overtime will be paid according to each trade’s CBA.
26. **Q.** Are there special provisions for Saturday work when a day is ‘lost’ during the week due to weather, power failure or other emergency?
- A.** Yes, when this occurs the Contractor may schedule Saturday work at weekday rates. See PLA Article 12, Section 5.

27. **Q.** Does the PLA contain special provisions for the staffing of temporary services?
- A.** Yes. Where temporary services are required by specific request of the Agency or construction manager, they shall be provided by the Contractor's existing employees during working hours in which a shift is scheduled for employees of the Contractor. The need for temporary services during non-working hours will be determined by the Agency or construction manager. There will be no stacking of trades on temporary services. See PLA Article 15.
28. **Q.** What do the workers get paid when work is terminated early in a day due to inclement weather or otherwise cut short of 8 hours?
- A.** The PLA provides that employees who report to work pursuant to regular schedule and not given work will be paid two hours of straight time. Work terminated early for severe weather or emergency conditions will be paid only for time actually worked. In other instances where work is terminated early, the worker will be paid for a full day. See PLA Article 12, Sections 6 and 8. The usual reporting pay requirement of two hours for employees who report to their work location pursuant to their regular schedule does not apply when the National Weather Service issues a Weather Advisory and the Contractor speaks to the employee at least four hours before their shift starting time. See PLA Article 12, Section 6.
29. **Q.** Should a local collective bargaining agreement of a signatory union expire during the project will a work stoppage occur on a project subject to the PLA?
- A.** No. All the signatory unions are bound by the 'no strike' agreement as to the PLA work. Work will continue under the PLA and the otherwise expired local CBA(s) until the new local CBA(s) are negotiated and in effect. See PLA Articles 7 and 19.
30. **Q.** May a Contractor working under the PLA be subject to a strike or other boycott activity by a signatory union at another site while the Contractor is a signatory to the PLA?
- A.** Yes. The PLA applies ONLY to work under the PLA and does not regulate labor relations at other sites even if those sites are in close proximity to PLA work.
31. **Q.** If a Contractor has worked under other PLAs in the New York City area, are the provisions in this PLA generally the same as the others?
- A.** While PLAs often look similar to each other, and particular clauses are often used in multiple agreements, each PLA is a unique document and should be examined accordingly.
32. **Q.** What happens if a dispute occurs between the Contractor and an employee during the project?
- A.** The PLA contains a grievance and arbitration process to resolve disputes between the Contractor and the employees. See PLA Article 9.

33. **Q.** What happens if there is a dispute between locals as to which local gets to provide employees for a particular project or a particular aspect of a project?

A. The PLA provides for jurisdictional disputes to be resolved in accordance with the NY Plan. A copy of the NY Plan is available upon request from the Agency. The PLA provides that work is not to be disrupted or interrupted pending the resolution of any jurisdictional dispute. The work proceeds as assigned by the Contractor until the dispute is resolved. See PLA Article 10.

34. **Q.** Does the PLA contain special provisions for JOCS or task order-based Contracts?

A. The PLA does not apply to Task Orders or Work Orders that do not exceed \$250,000 issued under JOCS or Requirements Contracts. See PLA Article 3, Section 1.

35. **Q.** How do the referral rules work for Operating Engineers Locals 14 and 15?

A. If there is Program Work within the jurisdiction of Operating Engineers Locals 14 or 15, the contractor shall request labor from the appropriate local union. If the locals provide labor consistent with the referral provisions outlined in Article 4, Section 2, the terms of the Local 14 CBA or Local 15 CBA will apply to that work. However, if the locals do not provide labor for that work, the terms of the PLA will apply to such work.

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District Councils & Affiliates Contact Information

Bricklayers & Allied Craftworkers Local 1
 4 Court Square
 Long Island City, NY 11101
 Business Manager: Jack Argila
 P: (718) 392-0525
 email: jargila@bac1ny.com

BoilerMakers Local 5
 24 Van Siclen Avenue
 Floral Park, NY 11001
 Business Manager: Steve Ludwigson
 P: 516-326-2500
 email: boilermakerslocal5@verizon.net

Building Concrete & Excavating Laborers Local 731
 34-11 35th Avenue
 Astoria, NY 11106
 Business Manager: Joseph D'Amato
 P: 718-706-0720
 email: joed731bm@gmail.com

***NYC & Vicinity District Council of Carpenters**
 395 Hudson Street, 9th Fl
 New York, NY 10014
 Business Manager: Joe Geiger
 P: 212-366-7500
 email: jgeiger@nycdistrictcouncil.org

***Concrete Workers District Council No. 16**
 30-56 Whitestone Expressway Suite 320
 Flushing, NY 11354
 Business Manager: Angelo Angelone
 P: 718-886-0516
 email: ccwdc16@yahoo.com

Cement Masons Local #780
 150-50 14th Rd Suite 4
 Whitestone, NY 11357
 Business Manager: Gino Castingnoli
 P: 718-357-3750
 email: gcastignoli@noedc.org

Electrical Local 3
 158-11 Harry Van Arsdale Jr. Avenue
 Flushing, NY 11365
 Business Manager: Chris Erikson
 P: 718-591-4000
 email: cerikson@local3ibew.org

Roofers & Waterproofers Local 8
 12-11 43rd Avenue
 LIC, NY 11101
 Business Manager: Nick Siciliano
 P: 718-361-1169
 email: nick@fundsforlocal8roofers.org

SheetMetal Workers Local 28
 500 Greenwich Street
 New York, NY 10013
 Business Manager: Eric Meslin
 P: 212-941-7700
 email: emeslin@local28union.com

SheetMetal Workers Local 137
 21-42 44th Drive
 LIC, NY 11101
 Business Manager: Dante Dano
 P: 718-937-4514
 email: dante@local137.com

Elevator Constructors Local 1
 47-24 27th Avenue
 LIC, NY 11101
 Business Manager: Lenny Legotte
 P: 718-767-7004
 email: llegotte@localoneiuec.com

Engineers Local 14
 141-57 Northern Boulevard
 Flushing, NY 11354
 Business Manager: Edwin Christian
 P: 718-939-0600
 email: lynnd@iuoelocal14.com

Engineers Local 15, 15A, 15B, 15C & 15D
 44-40 11th Street
 Long Island City, 11101
 Business Manager: Tom Callahan
 P: 212-929-5327
 email: love015@aol.com

Engineers Local 30
 16-16 Whitestone Expressway
 Whitestone, NY 11357
 Business Manager: William Lynn
 P: 718-847-8484
 email: williamlynn@iuoelocal30.org

Engineers Local 94
 331-337 West 44th Street
 New York, NY 10036
 Business Manager: Kuba Brown
 P: 212-245-7040
 email: kubabrown@local94.com

Heat & Frost Insulators Local 12
 35-53 24th Street
 LIC, NY 11101
 Business Manager: John Jovic
 P: 718-784-3456
 email: john@insulatorslocal12.com

Heat & Frost Insulators Local 12A
 1536 127th Street
 College Point, NY 11356
 Business Manager: Jamie Soto
 P: 718-886-7226
 email: jsoto.12a@aol.com

Steamfitters Local 638
 32-32 48th Avenue
 LIC, NY 11101
 Business Manager: Scott Roche
 P: 718-392-3420
 email: popparoch@gmail.com

Teamsters Local 282
 2500 Marcus Avenue
 Lake Success, NY 11042
 Business Manager: Tom Gesauldi
 P: 516-488-2822 #141
 email: tgesualdi282@yahoo.com

Teamsters Local 814
 21-42 44th Drive
 LIC, NY 11101
 Business Manager: Jason Ide
 P: 718-609-6407
 email: jasoni@ibt814.com

***Iron Workers District Council**
 227 E 56th Street Suite 300A
 New York, NY 10022
 Business Manager: James Mahoney
 P: 212-302-1868
 email: jmahoney@iwintl.org

***Mason Tenders District Council**
 520 8th Avenue
 New York NY 10018
 Business Manager: Robert Bonanza
 P: 212-452-9400
 email: RBonanza@MasonTenders.org

***Painters District Council No. 9**
 45 West 14th Street
 New York, NY 10011
 Business Manager: Joe Azzopardi
 P: 212-255-2950
 email: joeazzo1281@yahoo.com

Pavers & Roadbuilders DC No.1
 136-25 37th Avenue, Suite 502
 Flushing NY 11354
 Business Manager: Keith Lozcalzo
 P: 718-886-3310
 email: klozcalzo@aol.com

Plasterers Local 262
 2241 Conner Street
 Bronx, NY 10466
 Business Manager: Dale Alleyne
 P: 718-547-5440
 email: dalleyne@noedc.org

Plumbers Local 1
 50-02 5th Street
 Long Island City, NY 11101
 Business Manager: Michael Apuzzo
 P: 718-738-7500 #5904
 email: mapuzzo@ualocal1.org

Private Sanitation Local 813
 45-18 Court Square, Suite 600
 LIC, NY 11101
 Business Manager: Sean Campbell
 P: 718-937-7010 ext 244
 email: orodriguez@teamsters813.org

Tile Marble & Terrazzo Local 7
 45-34 Court Square
 LIC, NY 11101
 Business Manager: William Hill
 P: 718-786-7648
 email: whill@baclocal7.com

Window Cleaners No. 2 SEIU 32BJ
 101 Avenue of the Americas
 New York, NY 10013
 Business Manager: Gerard McEneaney
 P: 212-539-2904
 email: gmceneaney@seiu32bj.org

Carpenters District Council

NYC & Vicinity District Council of Carpenters

395 Hudson Street, 9th Fl

New York, NY 10014

Business Manager: Joe Geiger

P: 212-366-7500

Carpenters Local 20
900 South Avenue
Suite 53
Staten Island, NY 10310

Carpenters Local 926
373 96th Street
Brooklyn, NY 11209
P: 718-491-0926

Carpenters Local 45
214-38 Hillside Avenue
Queens Village, NY 11427
P: 718-464-6016

Dockbuilders/Timberman Local 1556
395 Hudson Street 1st Floor
New York, NY 10014

Carpenters Local 157
395 Hudson Street 1st Fl
New York, NY 10014
P: 212-685-0567

Millwright & Machinery Erectors Local 740
89-07 Atlantic Avenue
Woodhaven, NY 11412
P: 718-849-3636

Concrete Workers District Council No. 16

*Concrete Workers District Council No. 16
30-56 Whitestone Expressway Suite 320
Flushing, NY 11354
Business Manager: Angelo Angelone
P: 718-886-36432*

Cement & Concrete Workers Local 6A
30-56 Whitestone Expressway
Suite 310
Flushing, NY 11354
Business Manager: Anthony Amella Jr
P: 718-888-9383
email: ccwl6a@aol.com

Cement & Concrete Workers Local 20
36-36 33rd Street
Suite 302
LIC, NY 11106
Business Manager: John Peters
P: 718-361-8131
email: local20@laborerslocal20.org

Cement & Concrete Workers Local 18A
4235 Katonah Avenue
Bronx, NY 10470
Business Manager: Kieran O'Sullivan
P: 718-798-9035
email: local18a@yahoo.com

Iron Workers District Council

****Iron Workers District Council***

227 E 56th Street Suite 300A

New York, NY 10022

Business Manager: James Mahoney

P: 212-302-1868

email: jmahoney@iwintl.org

IronWorkers Local 361

89-19 97th Avenue

Ozone Park, NY 11416

Business Manager: Matthew Chartrand

P: 718-322-1016/17

email: mchartrand@local361.com

Metal Lathers Local 46

1332 Third Avenue

New York, NY 10021

Business Manager:

P: 212-737-0500

email:

Ironworkers Local 40

451 Park Avenue South

New York, NY 10016

Business Manager: Bob Walsh

P: 212-889-1320

email: bobwalsh@ironworkers.net

Derrickmen & Riggers Local 197

35-53 24th Street

LIC, NY 11106

Business Manager: William Hayes

P: 718-361-6534

email: billhayes197@yahoo.com

Ornamental IronWorkers Local 580

501 West 42nd Street

New York, NY 10036

Business Manager: Pete Myers

p: 212-594-1662

email: pmyers@Local-580.com

Mason Tenders District Council

****Mason Tenders District Council***

520 8th Avenue

New York NY 10018

Business Manager: Robert Bonanza

P: 212-452-9400

email: RBonanza@MasonTenders.org

Construction & General Laborers Local 79

520 8th Avenue

New York, NY 10018

Business Manager: Michael Prohaska

P: 212-465-7900

email: mpro@laborerslocal79.org

Asbestos Lead & Hazardous Waste Laborers Local 78

30 Cliff Street

New York, NY 10038

Business Manager: Pawell Gruchacz

P: 212-227-4803

email: pgruchacz@local78.org

Painters District Council # 9

**Painters District Council No. 9*

45 West 14th Street

New York, NY 10011

Business Manager: Joseph Azzopardi

P: 212-255-2950

Drywall Tapers Local 1974

265 West 14th Street

New York, NY 10011

Business Manager: Sal Marsala

P: 212-242-8500

email:

Painters Structural Steel Local 806

40 West 27th Street

New York, NY 10001

Business Manager: Brian Casey

P: 212-447-1838/0149

email: bcasey6009@gmail.com

Glaziers Local 1087

45 West 14th Street

New York, NY 10011

Business Manager: Steve Birmingham

P: 212-924-5200

email: bermo1087@gmail.com

Metal Polishers Local 8A-28A

36-18 33rd Street 2nd Floor

LIC, NY 11106

Business Manager:

P: 718-361-1770

email:

2020 NYC AGENCY RENOVATION PROJECT LABOR AGREEMENT

**PROJECT LABOR AGREEMENT
COVERING SPECIFIED
RENOVATION & REHABILITATION
OF CITY OWNED BUILDINGS AND STRUCTURES**

2020 – 2024

2020 NYC AGENCY RENOVATION PROJECT LABOR AGREEMENT

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2020 NYC AGENCY RENOVATION PROJECT LABOR AGREEMENT

**PROJECT LABOR AGREEMENT COVERING SPECIFIED
RENOVATION & REHABILITATION OF NEW YORK CITY OWNED
BUILDINGS & STRUCTURES**

ARTICLE 1 - PREAMBLE

WHEREAS, the City of New York desires to provide for the cost efficient, safe, quality, and timely completion of certain rehabilitation and renovation work (“Program Work,” as defined in Article 3) in a manner designed to afford the lowest costs to the Agencies covered by this Agreement, and the public it represents, and the advancement of permissible statutory objectives;

WHEREAS, this Project Labor Agreement will foster the achievement of these goals, inter alia, by:

(1) providing a mechanism for responding to the unique construction needs associated with this Program Work and achieving the most cost-effective means of construction, including direct labor cost savings, by the Building and Construction Trades Council of Greater New York and Vicinity and the signatory Local Unions and their members waiving various shift and other hourly premiums and other work and pay practices which would otherwise apply to Program Work;

(2) expediting the construction process and otherwise minimizing the disruption to the covered Agencies’ ongoing operations at the facilities that are the subject of the Agreement;

(3) avoiding the costly delays of potential strikes, slowdowns, walkouts, picketing and other disruptions arising from work disputes, reducing jobsite friction on common situs worksites, and promoting labor harmony and peace for the duration of the Program Work;

(4) standardizing the terms and conditions governing the employment of labor on Program Work;

(5) permitting wide flexibility in work scheduling and shift hours and times to allow maximum work to be done during off hours yet at affordable pay rates;

(6) permitting adjustments to work rules and staffing requirements from those which otherwise might obtain;

(7) providing comprehensive and standardized mechanisms for the settlement of work disputes, including those relating to jurisdiction;

(8) fostering increased participation by Minority and Women-owned Business Enterprises (“MWBEs”);

(9) encouraging the development of pathways to construction careers;

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- (10) ensuring a reliable source of skilled and experienced labor; and
- (11) securing applicable New York State Labor Law exemptions.

WHEREAS, the Building and Construction Trades Council of Greater New York and Vicinity, its participating affiliated Local Unions and their members, desire to assist the City in meeting these operational needs and objectives as well as to provide for stability, security and work opportunities which are afforded by this Project Labor Agreement; and

WHEREAS, the Parties desire to maximize Program Work safety conditions for both workers and the community in the project area.

NOW, THEREFORE, the Parties enter into this Agreement:

SECTION 1. PARTIES TO THE AGREEMENT

This is a Project Labor Agreement (“Agreement”) entered into by the City of New York (“City”), on behalf of itself and the Agencies covered herein, including in their capacity as construction manager of covered projects and/or on behalf of any third party construction manager which may be utilized, and the Building and Construction Trades Council of Greater New York and Vicinity (“Council” or “BCTC”) (on behalf of itself) and the signatory affiliated Local Unions (“Unions” or “Local Unions”). The Council and each signatory Local Union hereby warrant and represents that it has been duly authorized to enter into this Agreement.

ARTICLE 2 - GENERAL CONDITIONS

SECTION 1. DEFINITIONS

A. The term “Agency” means the following New York City agencies: the Department for the Aging (“DFTA”), Administration for Children’s Services (“ACS”), Department of Citywide Administrative Services (“DCAS”), Department of Correction (“DOC”), Department of Design and Construction (“DDC”), Fire Department (“FDNY”), Department of Homeless Services (“DHS”), Human Resources Administration (“HRA”), Department of Health and Mental Hygiene (“DOHMH”), Department of Parks and Recreation (“DPR”), Police Department (“NYPD”),

2020 NYC AGENCY RENOVATION PROJECT LABOR AGREEMENT

Department of Sanitation (“DSNY”); Department of Transportation (“DOT”), Department of Buildings (“DOB”); with respect to Program Work as defined in Article 3, the New York City Agency that awards a particular contract subject to this Agreement may be referred to hereafter as the “Agency”;

B. The term “Agreement” means this project labor agreement (“PLA”), the applicable Schedule “A” Collective Bargaining Agreements (each a “CBA”) identified in Schedule “A”, and each Exhibit hereto;

C. The term “BCTC” refers to the Building and Construction Trades Council of Greater New York and Vicinity. The terms “BCTC” and “Council” are used interchangeably;

D. The term “Contractor(s)” shall include any Construction Manager, General Contractor and all other contractors, and subcontractors of all tiers engaged in Program Work within the scope of this Agreement as defined in Article 3. When an Agency acts as Construction Manager, unless otherwise provided, it has the rights and obligations of a “Construction Manager” in addition to the rights and obligations of an Agency;

E. The term “Core Employee” means an employee that has been on a contractor’s payroll consistent with Article 4, Section 2(B) and (C);

F. The term “Minor Repair” means routine repair, service, or maintenance that is recurrent, day to day, periodic scheduled or routine work required to preserve or restore a building, facility or system to working order;

G. The term “HireNYC Construction Careers” refers to the PLA initiative to advance career opportunities for Program Hires;

H. The term “Program Work” is the work covered by this Agreement as defined in Article 3;

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I. The term “Program Hire” means an individual that resides in a zip code where at least 15% of the individuals residing in such zip code are below the federal poverty rate and residents of NYCHA housing regardless of zip codes; and

J. The term “Union(s)” or “Local Union(s)” refers to the various participating unions affiliated with the BCTC, singularly and collectively.

SECTION 2. CONDITIONS FOR AGREEMENT TO BECOME EFFECTIVE

This Agreement shall not become effective unless each of the following conditions are met: the Agreement is executed by (1) the Council, on behalf of itself, (2) the participating affiliated Local Unions; and (3) the mayor of the City of New York or their designee.

SECTION 3. ENTITIES BOUND & ADMINISTRATION OF AGREEMENT

This Agreement shall be binding on all participating Unions and their affiliates, the Construction Manager (in its capacity as such) and all Contractors of all tiers performing Program Work, as defined in Article 3. The Contractors shall include in any subcontract that they let for performance during the term of this Agreement a requirement that their subcontractors, of all tiers, become signatory and bound by this Agreement with respect to that subcontracted work falling within the scope of Article 3 and all Contractors (including subcontractors) performing Program Work shall be required to sign a “Letter of Assent” in the form annexed hereto as Exhibit “A”. This Agreement shall be administered by the applicable Agency or a Construction Manager or such other designee as may be named by the Agency or Construction Manager, on behalf of all Contractors.

SECTION 4. SUPREMACY CLAUSE

This Agreement, together with the local Collective Bargaining Agreements (each a “CBA”) appended hereto as Schedule “A”, represents the complete understanding of all signatories and supersedes any national agreement, local agreement or other CBA of any type which would otherwise apply to this Program Work, in whole or in part, except for Program Work which falls

2020 NYC AGENCY RENOVATION PROJECT LABOR AGREEMENT

within the jurisdiction of the Operating Engineers Locals 14 and 15. If Program Work falling within the jurisdiction of Operating Engineers Locals 14 and 15 is accepted by and performed by said locals, only then will such work be performed under the terms and conditions set out in the Schedule “A” agreements of Operating Engineers Locals 14 and 15. The CBAs of the affiliated local unions that cover the particular type of construction work to be performed by the contractor, and as set forth in the Schedule “A” list of agreements, shall be deemed the Schedule “A” Collective Bargaining Agreements (“Schedule “A” CBA”) under this Agreement. Where association and independent CBAs for a particular type of construction work are both set forth in Schedule “A”, association members shall treat the applicable association agreement as the Schedule “A” CBA and independent contractors shall treat the applicable independent agreement as the Schedule “A” CBA. Subject to the foregoing, where a subject covered by the provisions of this project labor agreement is also covered by a Schedule “A” CBA, the provisions of this project labor agreement shall prevail. It is further understood that no Contractor shall be required to sign any other agreement as a condition of performing Program Work. No practice, understanding or agreement between a Contractor and a Local Union which is not set forth in this Agreement shall be binding with respect to Program Work unless endorsed in writing by the Construction Manager or such other designee as may be designated by the Agency. Nothing in this Agreement requires employees to join a union or pay dues or fees to a union as a condition of working on the covered project. This Agreement is not, however, intended to supersede independent requirements in applicable local union agreements as to contractors that are otherwise signatory to those agreements and as to employees of such employers performing covered work.

SECTION 5. LIABILITY

The liability of any Contractor and the liability of any Union under this Agreement shall be several and not joint. The Construction Manager and any Contractor shall not be liable for any

2020 NYC AGENCY RENOVATION PROJECT LABOR AGREEMENT

violations of this Agreement by any other Contractor; and the Council and Local Unions shall not be liable for any violations of this Agreement by any other Union.

SECTION 6. THE AGENCY

The Agency (or Construction Manager where applicable) shall require in its bid specifications for all Program Work within the scope of Article 3 that all successful bidders, and their subcontractors of all tiers, become bound by, and signatory to, this Agreement. The Agency (or Construction Manager) shall not be liable for any violation of this Agreement by any Contractor. It is understood that nothing in this Agreement shall be construed as limiting the sole discretion of the Agency or Construction Manager in determining which Contractors shall be awarded contracts for Program Work. It is further understood that the Agency or Construction Manager has sole discretion at any time to terminate, delay or suspend the Program Work, in whole or part, on any project.

SECTION 7. AVAILABILITY AND APPLICABILITY TO ALL SUCCESSFUL BIDDERS

The Unions agree that this Agreement will be made available to, and will fully apply to, any successful bidder for (or subcontractor of) Program Work who becomes signatory thereto, without regard to whether that successful bidder (or subcontractor) performs work at other sites on either a union or non-union basis and without regard to whether employees of such successful bidder (or subcontractor) are, or are not, members of any unions. This Agreement shall not apply to the work of any Contractor which is performed at any location other than the site of Program Work.

SECTION 8. SUBCONTRACTING

Contractors will subcontract Program Work only to a person, firm or corporation who is or agrees to become party to this Agreement.

2020 NYC AGENCY RENOVATION PROJECT LABOR AGREEMENT

ARTICLE 3 - SCOPE OF THE AGREEMENT

SECTION 1. WORK COVERED

A. Program Work shall be limited to designated rehabilitation and renovation construction contracts bid and let by an Agency (or its Construction Manager where applicable) after the effective date of this Agreement with respect to rehabilitation and renovation work performed for an Agency on City-owned property under contracts advertised for public solicitation prior to December 31, 2024. Subject to the foregoing, and the exclusions below, such Program Work shall mean any and all contracts that predominantly involve the renovation, alteration, repair, rehabilitation or expansion of an existing City-owned building or structure within the five boroughs of New York City. Examples of Program Work include, but are not limited to, the renovation, repair, alteration and rehabilitation of an existing temporary or permanent structure, or an expansion of above ground structures located in the City on a City-owned building. Program Work shall also include job order contracts (“JOCS”), demolition work, painting services. Low voltage work, site work, elevator work, mold, asbestos and lead abatement, carpentry services, and carpet removal and installation shall be included as Program Work only when incidental to such building renovation and/or rehabilitation of City-owned buildings or structures and included in a contract that predominantly involves such renovation and/or rehabilitation.

B. It is understood that, except where the City specifically applies this Agreement to such work in its bid documents, Program Work does not include, and this Agreement shall not apply to, any other work, including:

1. Contracts that are let under a different project labor agreement with one of the defined City Agencies, and/or other Agencies and Authorities that have entered separate PLAs, such as DEP, NYCHA, H+H and SCA;

2. Contracts let and work performed in connection with projects carried over,

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recycled from, or performed under bids or rebids relating to work that were bid prior to the effective date of this Agreement or after December 31, 2024;

3. Contracts procured on an emergency basis;
4. Prime contracts that do not exceed \$3,000,000;
5. Contracts for work on streets and bridges and for the closing or environmental remediation of landfills;
6. Contracts with not-for-profit corporations where the City is not awarding or performing the work performed for that entity;
7. Contracts with governmental entities where the City is not awarding or performing the work performed for that entity;
8. Contracts with electric utilities, gas utilities, telephone companies, and railroads, except that it is understood and agreed that these entities may only install their work to a demarcation point, *e.g.*, a telephone closet or utility vault, the location of which is determined prior to construction and employees of such entities shall not be used to replace employees performing Program Work pursuant to this Agreement;
9. Contracts for installation of information technology that are not otherwise Program Work;
10. Task Orders or Work Orders issued under JOCS or Requirements Contracts that do not exceed \$250,000, and JOCS or Requirements Contracts where the monetary value of such contracts predominantly involves such Task Orders or Work Orders;
11. Contracts that predominantly involve Minor Repair work, as defined in Article 2, Section 1(F) above. Such work is to be paid under the applicable prevailing wage law for service or maintenance work;
12. Up to five percent (5%) of work performed by certified MWBE

2020 NYC AGENCY RENOVATION PROJECT LABOR AGREEMENT

subcontractors on prime contracts that are valued at \$25,000,000 or more and for which participation goals are set forth in the contract and where such MWBE subcontractor is not signatory to any Schedule "A" agreement ("Exempt Work"). Exempt Work shall be no more than \$500,000 or 15% (whichever is greater) of the value of the subcontracts for work in any particular union's jurisdiction under any prime contract; and

13. On-site work performed on purchased equipment, which is required by the manufacturer to be performed by its staff or by its selected contractors as a condition of the continued effectiveness of the equipment warranty.

SECTION 2. TIME LIMITATIONS

In addition to falling within the scope of Article 3, Section 1, to be covered by this Agreement, Program Work must be (1) advertised and let for bid after the effective date of this Agreement, and (2) let for bid prior to December 31, 2024, the expiration date of this Agreement. It is understood that this Agreement, together with all of its provisions, shall remain in effect for all such Program Work until completion, even if not completed by the expiration date of the Agreement. If Program Work otherwise falling within the scope of Article 3, Section 1 is not let for bid by the expiration date of this Agreement, this Agreement may be extended to that work by mutual agreement of the parties.

SECTION 3. EXCLUDED EMPLOYEES

The following persons are not subject to the provisions of this Agreement, even though performing Program Work:

A. Superintendents, supervisors (except field surveyors on construction contracts, general and forepersons specifically covered by a craft's Schedule "A" agreement are included), engineers, professional engineers and/or licensed architects engaged in inspection and testing, quality control/assurance personnel, timekeepers, mail carriers, clerks, office workers, messengers,

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guards, technicians, non-manual employees, and all professional, engineering, administrative and management persons;

B. Employees of the Agency, New York City, or any other municipal or State agency, authority or entity, or employees of any other public employer, even though working on the project site while covered Program Work is underway;

C. Employees and entities engaged in off-site manufacture, modifications, repair, maintenance, assembly, painting, handling or fabrication of project components, materials, equipment or machinery, or involved in deliveries to and from the Program site, except to the extent they are lawfully included in the bargaining unit of a Schedule "A" agreement;

D. Employees of the Construction Manager (except that in the event the Agency engages a Contractor to serve as Construction Manager, then those employees of the Construction Manager performing manual, on site construction labor will be covered by this Agreement);

E. Employees engaged in on-site equipment warranty work including installation, repair or maintenance unless employees are already working on the site and are certified to perform warranty work;

F. Employees engaged in geophysical testing other than boring for core samples;

G. Employees engaged in laboratory, specialty testing, or inspections, pursuant to a professional services agreement between the Agency, or any of the Agency's other professional consultants, and such laboratory, testing, inspection or surveying firms;

H. Employees engaged in on-site maintenance of installed equipment or systems which maintenance is awarded as part of a contract that includes Program Work, but which maintenance occurs after installation of such equipment or system and is not directly related to construction services; and

2020 NYC AGENCY RENOVATION PROJECT LABOR AGREEMENT

I. Employees who perform work classified as Minor Repairs, and routine service and/or maintenance work.

SECTION 4. NON-APPLICATION TO CERTAIN ENTITIES

This Agreement shall not apply to those parents, affiliates, subsidiaries, or other joint or sole ventures of any Contractor which do not perform Program Work. It is agreed that this Agreement does not have the effect of creating any joint employment, single employer or alter ego status among the Agency (including in its capacity as Construction Manager) or any Contractor. The Agreement shall further not apply to any New York City or other municipal or State agency, authority, or entity other than a listed Agency and nothing contained herein shall be construed to prohibit or restrict the Agency or its employees, or any State, New York City or other municipal or State authority, agency or entity and its employees, from performing on or off-site work related to Program Work.

As the contracts involving Program Work are completed and accepted, the Agreement shall not have further force or effect on such items or areas except where inspections, additions, repairs, modifications, check-out and/or warranty work are assigned in writing (copy to Local Union involved) by the Agency (or Construction Manager) for performance under the terms of this Agreement.

ARTICLE 4 - UNION RECOGNITION AND EMPLOYMENT

SECTION 1. PRE-HIRE RECOGNITION

The Contractors recognize the signatory Unions as the sole and exclusive bargaining representatives of all employees who are performing on-site Program Work, with respect to that work.

SECTION 2. UNION REFERRAL

A. The Contractors agree to request, employ and hire craft employees, including

2020 NYC AGENCY RENOVATION PROJECT LABOR AGREEMENT

Program Hires as defined in Article 2, Section 1(I), for Program Work covered by this Agreement through the job referral systems and hiring halls established in the Local Unions' area CBAs set forth in Schedule "A". Notwithstanding this, Contractors shall have sole right to determine the competency of all referrals; to determine the number of employees required; to select employees for layoff (subject to Article 5, Section 3); and the sole right to reject any applicant referred by a Local Union, subject to the show-up payments. In the event that a Local Union does not fill any request for qualified employees within a 48-hour period after such requisition is made by a Contractor (Saturdays, Sundays and holidays excepted), a Contractor may employ qualified applicants from any other available source. In the event that the Local Union does not have a job referral system, the Contractor shall give the Local Union first preference to refer applicants, subject to the other provisions of this Article. The Contractor shall notify the Local Union of craft employees hired for Program Work within its jurisdiction from any source other than referral by the Union. Any employee hired by a Contractor because a Local Union does not fill a request for qualified employees within a 48 hour period (Saturdays, Sundays and holidays excepted) are not covered by this Agreement for purposes of Article 11, Section 2, unless they are or become a member or agency shop fee payor of an affiliated Union.

B. A Contractor may request by name, and the Local will honor, referral of persons who have applied to the Local for Program Work ("Core Employees") and who meet the following qualifications:

- (1) possess any license required by New York State law for the Program Work to be performed;
- (2) have worked a total of at least 1000 hours in the Construction field during the prior 3 years; and
- (3) were on the Contractor's active payroll for at least 60 out of the 180 calendar days prior to the contract award.

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No more than twelve per centum (12%) of the employees covered by this Agreement, per Contractor by craft, shall be hired through the special provisions above. Under this provision, name referrals begin with the eighth employee needed and continue on that same basis.

C. Notwithstanding Section 2(B), above, certified MWBE contractors for which participation goals are set forth in New York City Administrative Code §6-129, that are not signatory to any Schedule "A" CBAs, with subcontracts valued at or under two-million dollars (\$2,000,000), may request by name, and the Local will honor, referral of the second (2nd), fourth (4th), sixth (6th), and eighth (8th) Core Employee, who have applied to the Local for Program Work and who meet the following qualifications:

- (1) possess any license required by New York State law for the Program Work to be performed;
- (2) have worked a total of at least 1000 hours in the Construction field during the prior 3 years; and
- (3) were on the Contractor's active payroll for at least 60 out of the 365 calendar days prior to the contract award.

D. Where a certified MWBE Contractor voluntarily enters into a CBA with a BCTC Union, the employees of such Contractor at the time the CBA is executed shall be allowed to join the Union for the applicable trade subject to satisfying the Union's basic standards of proficiency for admission.

SECTION 3. NON-DISCRIMINATION IN REFERRALS

The Council represents that each Local Union hiring hall and referral system will be operated in a non-discriminatory manner and in full compliance with all applicable federal, state and local laws and regulations which require equal employment opportunities. Referrals shall not be affected in any way by the rules, regulations, bylaws, constitutional provisions or any other aspects or obligations of union membership, policies or requirements and shall be subject to such other conditions as are established in this Article. No employment applicant shall be discriminated

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against by any referral system or hiring hall because of the applicant's union membership, or lack thereof.

SECTION 4. MINORITY, FEMALE, LOCAL AND SECTION 3 REFERRALS

In the event a Local Union either fails, or is unable to refer qualified minority or female applicants in percentages equaling the workforce participation goals adopted by the City and set forth in the Agency's (or, if applicable, Construction Manager's) bid specifications, within 48 hours of the request for same, the Contractor may employ qualified minority or female applicants from any other available source.

The Local Unions agree to prioritize the referral of Program Hires in accordance with Article 13 and to the extent consistent with the law, rules applicable to the union referral systems and joint apprentice programs. Those unions that do not currently provide for zip code preferences in their referral systems will undertake to implement such preferences consistent with this Agreement and their governing documents. Please see Exhibit "C" for a non-exhaustive list of eligible zip codes. Employees from these zip codes that are already on a contractor's workforce, including Core Employees, and referral of apprentices, in accordance with Article 13, Section 1(A) below, shall count towards the referral goals of this Section.

For any Program Work that may become subject to requirements under Section 3 of the Housing and Urban Development Act of 1968, as amended by the Housing and Community Development Act of 1992, and any rules, including new or revised rules, that may be published thereunder, the Local Unions acknowledge the Section 3 obligations of the Construction Manager or Contractor, as applicable, and agree to the zip code and NYCHA preferences described above to help implement this Article in a manner that would allow the Construction Manager or Contractor to meet its Section 3 obligations to the greatest extent feasible, and to post any required notices in the manner required by Section 3. The parties also acknowledge that the Construction Manager

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and Contractor may also fulfill its Section 3 requirements on Program Work by promoting opportunities for excluded employees, as defined by Article 3, Section 3 of this Agreement, on Program Work and, to the extent permitted by Section 3, by promoting opportunities for craft and other employees on non-Program Work.

SECTION 5. CROSS AND QUALIFIED REFERRALS

The Local Unions shall not knowingly refer to a Contractor an employee then employed by another Contractor working under this Agreement. The Local Unions will exert their utmost efforts to recruit sufficient numbers of skilled and qualified crafts employees to fulfill the requirements of the Contractor.

SECTION 6. CRAFT FOREPERSONS AND GENERAL FOREPERSONS

The selection of craft forepersons and/or general forepersons and the number of forepersons required shall be solely the responsibility of the Contractor except where otherwise provided by specific provisions of an applicable Schedule "A" CBA, and provided that all craft forepersons shall be experienced and qualified journeypersons in their trade as determined by the appropriate Local Union. All forepersons shall take orders exclusively from the designated Contractor representatives. Craft forepersons shall be designated as working forepersons at the request of the Contractor, except when an existing local CBA prohibits a foreperson from working when the craft persons, they are leading exceed a specified number.

SECTION 7. ON CALL REPAIR REFERRALS

A. When an Agency awards a contract under this Agreement that requires the Contractor to have employees available on short notice to make time-sensitive repairs with such contract requiring the Contractor to respond within as little as two hours from the time the Contractor is contacted by the Agency ("On Call, Repair Contract"), the Contractor will, within ten (10) days of being awarded an On Call, Repair Contract subject to this Agreement, notify the

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appropriate affiliated Union that would perform the work for a contractor that the Contractor has been awarded such a contract and immediately enter into good faith negotiations with such relevant affiliated Union to establish a procedure to receive time sensitive referrals from such affiliated Union(s).

B. In the event the Contractor and the relevant affiliated Union(s) are unable to negotiate a specific, mutually agreeable procedure for on call repair referral procedure within twenty (20) days of commencement of negotiations or prior to commencement of performance of the contract, whichever is earlier, the Contractor and the relevant affiliated Unions will follow the following procedure:

1. Upon notification by a Contractor that it has been awarded an On Call, Repair Contract pursuant to paragraph A above, each relevant affiliate Union shall provide the Contractor with the name and twenty-four (24) hour contact information of an On Call, Repair Contract contact person for urgent on call repair referrals.

2. The relevant affiliated Unions shall prepare a list of individuals eligible and prepared for referral on an immediate basis to respond to the on call repair contractor, which may include the affiliated Unions' service, repair and maintenance division workers where appropriate for repairs that can be made within 24 to 48 hours and paid at the appropriate prevailing wage rates for service and repair or maintenance work. Such list shall be provided to and in the possession of the designated-on call repair contact person for the affiliated Union and available for immediate reference.

3. Individuals on such list must be able to comply with the Contractor's response time pursuant to contract requirements.

4. The Union's On Call, Repair Contract contact person shall respond to a contractor's request for referrals within a reasonable time of the request so that compliance with

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the contract shall be possible.

C. In the event that the Contractor makes a request for an on call referral that is compliant with this procedure and a Union is not able to respond to the request, that Union will be deemed to have waived the forty-eight (48) hour referral rule contained in Section 2 above and the Contractor may employ qualified applicants from any other available source that can meet contract requirements for that time-sensitive on call repair work only; provided, however, that any work related to the repair work that is not of a time sensitive nature under the contract shall comply with Section 2. If a Union fails to timely refer a worker and the Contractor employs other workers, the Contractor will e-mail the Agency within 72 hours and the Agency will forward that e-mail to the designated Labor Management Committee contacts.

ARTICLE 5 - UNION REPRESENTATION

SECTION 1. LOCAL UNION REPRESENTATIVE

Each Local Union representing on-site employees shall be entitled to designate in writing (copy to Contractor involved and Construction Manager) one representative, and/or the Business Manager, who shall be afforded access to the Program Worksite during such time as bargaining unit work is occurring and subject to otherwise applicable policies pertaining to visitors to the site.

SECTION 2. STEWARDS

A. Each affiliated Union shall have the sole discretion to designate any journey person as a Steward and an alternate Steward. The Union shall notify the Owner and/or Construction Manager as well as the Contractor of the identity of the designated Steward (and alternate) prior to the assumption of such duties. Stewards shall not exercise supervisory functions and will receive the regular rate of pay for their craft classifications. All Stewards shall be working Stewards.

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B. In addition to their work as an employee, the Steward shall have the right to receive complaints or grievances and to discuss and assist in their adjustment with the Contractor's appropriate supervisor. Each Steward shall be concerned with the employees of the Steward's trade and, if applicable, subcontractors of their Contractor, but not with the employees of any other trade Contractor. No Contractor shall discriminate against the Steward in the proper performance of Union duties.

C. The Stewards shall not have the right to determine when overtime shall be worked, or who shall work overtime except pursuant to a Schedule "A" CBA provision providing procedures for the equitable distribution of overtime.

SECTION 3. LAYOFF OF A STEWARD

Contractors agree to notify the appropriate Union 24 hours prior to the layoff of a Steward, except in cases of discipline or discharge for just cause. If a Steward is protected against layoff by a Schedule "A" provision, such provision shall be recognized to the extent the Steward possesses the necessary qualifications to perform the work required, except in cases of discipline or discharge for just cause. In any case in which a Steward is discharged or disciplined for just cause, the Local Union involved shall be notified immediately by the Contractor.

ARTICLE 6 - MANAGEMENT'S RIGHTS

SECTION 1. RESERVATION OF RIGHTS

Except as expressly limited by a specific provision of this Agreement, Contractors retain full and exclusive authority for the management of their operations including, but not limited to, the right to: direct the work force, including determination as to the number of employees to be hired and the qualifications therefore; the promotion, transfer, layoff of its employees; require compliance with the directives of the Agency including standard restrictions related to security and access to the site that are equally applicable to Agency employees, guests, or vendors; or the discipline or discharge for just cause of its employees; assign and schedule work; promulgate

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reasonable Program Work rules that are not inconsistent with this Agreement or rules common in the industry and are reasonably related to the nature of work; and, the requirement, timing and number of employees to be utilized for overtime work. No rules, customs, or practices which limit or restrict productivity or efficiency of the individual, as determined by the Contractor, Agency and/or Construction Manager and/or joint working efforts with other employees shall be permitted or observed.

SECTION 2. MATERIALS, METHODS & EQUIPMENT

There shall be no limitation or restriction upon the Contractor's choice of materials, techniques, methods, technology or design, or, regardless of source or location, upon the use and installation of equipment, machinery, package units, pre-cast, pre-fabricated, pre-finished, or pre-assembled materials or products, tools, or other labor-saving devices. Contractors may, without restriction, install or use materials, supplies or equipment regardless of their source; provided, however, that where there is a Schedule "A" that includes a lawful union standards and practices clauses, then such clause as set forth in Schedule "A" agreements will be complied with, unless there is a lawful Agency specification (or specification issued by a Construction Manager which would be lawful if issued by the Agency directly) that would specifically limit or restrict the Contractor's choice of materials, techniques, methods, technology or design, or, regardless of source or location, upon the use and installation of equipment, machinery, package units, pre-cast, pre-fabricated, pre-finished, or pre-assembled materials or products, tools, or other labor-saving devices, and which would prevent compliance with such Schedule "A" clause. The on-site installation or application of such items shall be performed by the craft having jurisdiction over such work; provided, however, it is recognized that other personnel having special qualifications may participate, in a supervisory capacity, in the installation, check-off or testing of specialized or

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unusual equipment or facilities as designated by the Contractor. There shall be no restrictions as to work which is performed off-site for Program Work.

ARTICLE 7 - WORK STOPPAGES AND LOCKOUTS

SECTION 1. NO STRIKES-NO LOCK OUT

There shall be no strikes, sympathy strikes, picketing, work stoppages, slowdowns, hand billing, demonstrations or other similar disruptive activity at the Program Work site for any reason by any Union or employee against any Contractor or employer. There shall be no other Union or concerted or employee activity which disrupts or interferes with the operation of the Program Work or the objectives of the Agency at any Program Work site. In addition, failure of any Union or employee to cross any picket line established by any Union, signatory or non-signatory to this Agreement, or the picket or demonstration line of any other organization, at or in proximity to a Program Work site where the failure to cross disrupts or interferes with the operation of Program Work is a violation of this Article. Should any employees breach this provision, the Unions will use their best efforts to try to immediately end that breach and return all employees to work. There shall be no lockout at a Program Work site by any signatory Contractor, Agency or Construction Manager.

SECTION 2. DISCHARGE FOR VIOLATION

A Contractor may discharge any employee violating Section 1, above, and any such employee will not be eligible thereafter for referral under this Agreement for a period of 100 days.

SECTION 3. NOTIFICATION

If a Contractor contends that any Union has violated this Article, it will notify the Local Union involved advising of such fact, with copies of the notification to the Council. The Local Union shall instruct and order, the Council shall request, and each shall otherwise use their best efforts to cause, the employees (and where necessary the Council shall use its best efforts to cause the Local Union), to immediately cease and desist from any violation of this Article. If the Council

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complies with these obligations, it shall not be liable for the unauthorized acts of a Local Union or its members. Similarly, a Local Union and its members will not be liable for any unauthorized acts of the Council. Failure of a Contractor or the Construction Manager to give any notification set forth in this Article shall not excuse any violation of Section 1 of this Article.

SECTION 4. EXPEDITED ARBITRATION

Any Contractor or Union alleging a violation of Section 1 of this Article may utilize the expedited procedure set forth below (in lieu of, or in addition to, any actions at law or equity that may be brought).

A. A party invoking this procedure shall notify J.J. Pierson or Richard Adelman; who shall alternate (beginning with Arbitrator J.J. Pierson) as Arbitrator under this expedited arbitration procedure. If the Arbitrator next on the list is not available to hear the matter within 24 hours of notice, the next Arbitrator on the list shall be called. Copies of such notification will be simultaneously sent to the alleged violator and Council.

B. The Arbitrator shall thereupon, after notice as to time and place to the Contractor, the Local Union involved, the Council and the Construction Manager, hold a hearing within 48 hours of receipt of the notice invoking the procedure if it is contended that the violation still exists. The hearing will not, however, be scheduled for less than 24 hours after the notice required by Section 3, above.

C. All notices pursuant to this Article may be provided by telephone, telegraph, hand delivery, or fax, confirmed by overnight delivery, to the Arbitrator, Contractor, Construction Manager and Local Union involved. The hearing may be held on any day including Saturdays or Sundays. The hearing shall be completed in one session, which shall not exceed 8 hours duration (no more than 4 hours being allowed to either side to present their case and conduct their cross examination) unless otherwise agreed. A failure of any Union or Contractor to attend the hearing

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shall not delay the hearing of evidence by those present or the issuance of an award by the Arbitrator.

D. The sole issue at the hearing shall be whether a violation of Section 1, above, occurred. If a violation is found to have occurred, the Arbitrator shall issue a Cease and Desist Award restraining such violation and serve copies on the Contractor and Union involved. The Arbitrator shall have no authority to consider any matter in justification, explanation or mitigation of such violation or to award damages (any damages issue is reserved solely for court proceedings, if any). The Award shall be issued in writing within 3 hours after the close of the hearing and may be issued without an Opinion. If any involved party desires an Opinion, one shall be issued within 15 calendar days, but its issuance shall not delay compliance with, or enforcement of, the Award.

E. The Agency and Construction Manager (or such other designee of the Agency) may participate in full in all proceedings under this Article.

F. An Award issued under this procedure may be enforced by any court of competent jurisdiction upon the filing of this Agreement together with the Award. Notice of the filing of such enforcement proceedings shall be given to the Union or Contractor involved, and the Construction Manager.

G. Any rights created by statute or law governing arbitration proceedings which are inconsistent with the procedure set forth in this Article, or which interfere with compliance thereto, are hereby waived by the Contractors and Unions to whom they accrue.

H. The fees and expenses of the Arbitrator shall be equally divided between the involved Contractor and Union.

SECTION 5. ARBITRATION OF DISCHARGES FOR VIOLATION

Procedures contained in Article 9 shall not be applicable to any alleged violation of this Article, with the single exception that an employee discharged for violation of Section 1, above,

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may have recourse to the procedures of Article 9 to determine only if the employee did, in fact, violate the provisions of Section 1 of this Article; but not for the purpose of modifying the discipline imposed where a violation is found to have occurred.

ARTICLE 8 - LABOR MANAGEMENT COMMITTEE

SECTION 1. SUBJECTS

The Program Labor Management Committee (the “LMC”) will meet on a regular basis to:

- 1) promote harmonious relations among the Contractors and Unions;
- 2) enhance safety awareness, cost effectiveness and productivity of construction operations;
- 3) protect the public interests;
- 4) discuss matters relating to staffing and scheduling with safety and productivity as considerations;
- and 5) review efforts to meet applicable participation goals for MWBEs and workforce participation goals for Program Hires, minority and female employees.

SECTION 2. COMPOSITION

The LMC shall be jointly chaired by a designee of the Agency and the President of the Council. It may include representatives of the Local Unions and Contractors involved in the issues being discussed. The parties shall mutually designate an MWBE representative to participate in appropriate Committee discussions. The Committee may conduct business through mutually agreed upon sub-committees.

ARTICLE 9 - GRIEVANCE & ARBITRATION PROCEDURE

SECTION 1. PROCEDURE FOR RESOLUTION OF GRIEVANCES

Any question, dispute or claim arising out of, or involving the interpretation or application of this Agreement (other than jurisdictional disputes or alleged violations of Article 7, Section 1) shall be considered a grievance and shall be resolved pursuant to the exclusive procedure of the steps described below, provided, in all cases, that the question, dispute or claim arose during the term of this Agreement. Grievances shall include the City contract number and the Program Work

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address; such information is posted at the work site if already commenced and is available in the City Record and Notice to Proceed for projects not already commenced.

Local Union grievances as to whether a scope of work is included or excluded from this Agreement shall be submitted to the LMC in the first instance rather than Step 1 below. To be timely, such notice must be given no later than five days prior to the bid opening date advertised in the City Record and bid documents for that contract, or any adjourned date publicly noticed if the grievance is challenging a determination by an Agency that the contract is not subject to this Agreement. Compliance with this limit shall operate as a statute of limitations and shall be a condition precedent to arbitration. For other grievances as to contractor and/or subcontractor scope of work issues, notice of such challenges shall be submitted to the LMC within 7 calendar days after the act, occurrence or event giving rise to the grievance. If the scope of work grievance is not resolved within 21 days of its submission to the LMC, then the grievance may proceed directly to Step 3 below.

Step 1:

(a) When any employee covered by this Agreement feels aggrieved by a claimed violation of this Agreement, the employee shall, through the Local Union business representative or job steward give notice of the claimed violation to the work site representative of the involved Contractor and the Construction Manager. To be timely, such notice of the grievance must be given within 7 calendar days after the act, occurrence or event giving rise to the grievance. The business representative of the Local Union or the job steward and the work site representative of the involved Contractor shall meet and endeavor to adjust the matter within 7 calendar days after timely notice has been given. If they fail to resolve the matter within the prescribed period, the grieving party, may, within 7 calendar days thereafter, pursue Step 2 of the grievance procedure by serving the involved Contractor with written copies of the grievance setting forth a description of the claimed

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violation, the date on which the grievance occurred, and the provisions of the Agreement alleged to have been violated. Grievances and disputes settled at Step 1 are non-precedential except as to the specific Local Union, employee and Contractor directly involved unless the settlement is accepted in writing by the Construction Manager (or designee) as creating a precedent.

(b) Should any signatory to this Agreement have a dispute (excepting jurisdictional disputes or alleged violations of Article 7, Section 1) with any other signatory to this Agreement and, if after conferring, a settlement is not reached within 7 calendar days, the dispute shall be reduced to writing and proceed to Step 2 in the same manner as outlined in subparagraph (a) for the adjustment of employee grievances.

Step 2:

A Step 2 grievance shall be filed with the Agency, the BCTC, the Contractor, and, if the grievance is against a subcontractor, the subcontractor. The Business Manager or designee of the involved Local Union, together with representatives of the involved Contractor and/or a contractor association representative where appropriate, Council, the Construction Manager (or designee), and, if the grievance is against a subcontractor, the subcontractor, shall meet in Step 2 within 7 calendar days of service of the written grievance to arrive at a satisfactory settlement. The BCTC shall schedule the Step 2 meeting.

Step 3:

(a) If the grievance shall have been submitted but not resolved in Step 2, any of the participating Step 2 entities may, within 21 calendar days after the initial Step 2 meeting, submit the grievance in writing (copies to other participants, including the Construction Manager or designee) to the BCTC. In the event the matter is not resolved at Step 2, either J.J. Pierson or Richard Adelman, who shall act, alternately (beginning with Arbitrator J.J. Pierson), as the Arbitrator under this procedure, shall be designated at the Step 2 hearing and the BCTC will notify

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the arbitrator of his designation. After such notification by the BCTC, the local demanding arbitration shall within a reasonable time request the arbitrator to schedule the matter for an arbitration hearing date. The Labor Arbitration Rules of the American Arbitration Association shall govern the conduct of the arbitration hearing, at which all Step 2 participants shall be parties. The decision of the Arbitrator shall be final and binding on the involved Contractor, Local Union and employees and the fees and expenses of such arbitrations shall be borne equally by the involved Contractor and Local Union.

(b) Failure of the grieving party to adhere to the time limits set forth in this Article shall render the grievance null and void. These time limits may be extended only by written consent of the Construction Manager (or designee), involved Contractor and involved Local Union at the particular step where the extension is agreed upon. The Arbitrator shall have authority to make decisions only on the issues presented to him and shall not have the authority to change, add to, delete or modify any provision of this Agreement.

SECTION 2. LIMITATION AS TO RETROACTIVITY

No arbitration decision or award, with the exception of those related to compliance with requirements to pay prevailing wages and supplements in accordance with federal or State law, may provide retroactivity of any kind exceeding 60 calendar days prior to the date of service of the written grievance on the Construction Manager and the involved Contractor or Local Union.

SECTION 3. PARTICIPATION BY AGENCY AND/OR CONSTRUCTION MANAGER

The Agency and Construction Manager (or such other designee of the Agency) shall be notified by the involved Contractor of all actions at Steps 2 and 3 and, at its election, may participate in full in all proceedings at these Steps, including Step 3 arbitration.

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ARTICLE 10 - JURISDICTIONAL DISPUTES

SECTION 1. NO DISRUPTIONS

There will be no strikes, sympathy strikes, work stoppages, slowdowns, picketing or other disruptive activity of any kind arising out of any jurisdictional dispute. Pending the resolution of the dispute, the work shall continue uninterrupted and as assigned by the Contractor. No jurisdictional dispute shall excuse a violation of Article 7.

SECTION 2. ASSIGNMENT

All Program Work assignments shall be made by the Contractor to unions affiliated with the BCTC consistent with the New York Plan for the Settlement of Jurisdictional Disputes (“New York Plan”) and its Greenbook decisions, if any. Where there are no applicable Greenbook decisions, assignments shall be made in accordance with the provisions of the New York Plan and local industry practice.

SECTION 3. NO INTERFERENCE WITH WORK

There shall be no interference or interruption of any kind with the Program Work while any jurisdictional dispute is being resolved. The work shall proceed as assigned by the Contractor until finally resolved under the applicable procedure of this Article. The award shall be confirmed in writing to the involved parties. There shall be no strike, work stoppage or interruption in protest of any such award.

ARTICLE 11 - WAGES AND BENEFITS

SECTION 1. CLASSIFICATION AND BASE HOURLY RATE

All employees covered by this Agreement shall be classified in accordance with the work performed and paid the hourly wage rates applicable for those classifications as required by the applicable prevailing wage laws.

SECTION 2. EMPLOYEE BENEFITS

A. The Contractors agree to pay on a timely basis contributions on behalf of all employees covered by this Agreement to those established jointly trustee employee benefit funds designated in the applicable CBA in Schedule "A" (in the appropriate Schedule "A" amounts), provided that such benefits are required to be paid on public works under any applicable prevailing wage law. Bona fide jointly trustee fringe benefit plans established or negotiated through collective bargaining during the life of this Agreement may be added if similarly required under applicable prevailing wage law. Contractors, not otherwise contractually bound to do so, shall not be required to contribute to benefits, trusts or plans of any kind which are not required by the prevailing wage law provided, however, that this provision does not relieve Contractors signatory to local collective bargaining agreement with any affiliated union from complying with the fringe benefit requirements for all funds contained in the CBA. Furthermore, employees that may remain unaffiliated with any local union at the completion of their employment under the terms of this Agreement may apply for any distributions to which they may be entitled from the funds in accordance with the applicable rules and governing documents of the unions and the employee benefit funds that they have participated in under the terms of this Agreement.

B. 1. Notwithstanding Section 2 (A) above, and subject to 2 (B)(2) below, Contractors who designate Core Employees pursuant to Article 4, Section 2 (B) and (C) that are not signatory to a Schedule "A" agreement and who maintain bona fide private benefit plans that satisfy the requirements of Section 220 of the New York State Labor Law, may satisfy the above benefit obligation with respect to those employees by providing those employees with coverage under their private benefit plans (to the extent consistent with Section 220). The total benefit payments to be made on behalf of each such employee must be equal to the total Section 220 supplement amount and any shortfall must be paid by cash supplement to the employee.

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2. A contractor that will satisfy its Section 220 obligations in accordance with subsection 2(B)(1) above shall make available to the Agency at the time of contract award a complete set of plan documents for each non-Schedule “A” benefit plan into which contributions will be made and/or coverage provided pursuant to the provisions of Section 2(B)(1) above. The Contractor shall also provide certification from a certified public accountant as to the annualized hourly value of such benefits consistent with the requirements of Section 220.

3. The City shall verify that the alternate benefit plan(s), together with any cash supplement to the employee, is compliant with Section 220 prior to awarding the Contractor a contract covered by this Agreement. In the event the Contractor’s alternate benefit plan(s), together with any cash supplement to the employee, is determined to be compliant with Section 220 and will be utilized by the Contractor on behalf of Article 4, Section 2(B) and (C) Core Employees, the Local Unions have no duty to enforce the Contractor’s obligations on the alternate benefit plan(s) as they are not party to the alternate plan(s) or privy to the terms and conditions of the plan obligations. In the event the City determines the alternate benefit plan(s), together with any cash supplement to the employee, is not compliant with Section 220, the Contractor may, upon executing a Letter of Assent, satisfy its obligations for all employees, including Core Employees, by contributing to the Schedule “A” benefit plans in accordance with the terms of the Schedule “A” agreements.

C. The Contractors agree to be bound by the written terms of the legally established jointly trusted Trust Agreements specifying the detailed basis on which payments are to be paid into, and benefits paid out of, such Trust Funds but only with regard to Program Work done under this Agreement and only for those employees to whom this Agreement requires such benefit payments.

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D. 1. To the extent consistent with New York City's Procurement Policy Board Rules with respect to prompt payment, as published at www.nyc.gov/ppb, §4-06(e), and in consideration of the unions' waiver of their rights to withhold labor from a contractor or subcontractor delinquent in the payment of fringe benefits contributions ("Delinquent Contractor"); the Agency agrees that where any such union and/or fringe benefit fund shall notify the Agency, the General Contractor, and the Delinquent Contractor in writing with back-up documentation that the Delinquent Contractor has failed to make fringe benefit contributions to it as provided herein and the Delinquent Contractor shall fail, within ten (10) calendar days after receipt of such notice, to furnish either proof of such payment or notice that the amount claimed by the union and/or fringe benefit fund is in dispute, the Agency shall withhold from amounts then or thereafter becoming due and payable to the General Contractor an amount equal to that portion of such payment due to the General Contractor that relates solely to the work performed by the Delinquent Contractor which the union or fringe benefit fund claims to be due it, and shall remit the amount when and so withheld to the fringe benefit fund and deduct such payment from the amounts then otherwise due and payable to the General Contractor, which payment shall, as between the General Contractor and the Agency, be deemed a payment by the Agency to the General Contractor; provided however, that in any month, such withholding shall not exceed the amount contained in the General Contractor's monthly invoice for work performed by the Delinquent Contractor. The union or its employee benefit funds shall include in its notification of delinquent payment of fringe benefits only such amount it asserts the Delinquent Contractor failed to pay on the specific project against which the claim is made and the union or its employee benefit funds may not include in such notification any amount such Delinquent Contractor may have failed to pay on any other City or non-City project.

2. In addition, where a union or employee benefit fund gives notice to the City that a Contractor is Delinquent as defined in subsection 2(D)(1) above and the City determines that the

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notice includes appropriate back-up documentation that the Contractor is delinquent, the City will promptly, but not later than twenty (20) days after receipt of the notice, provide a copy of said notice to City Agencies. In the event the City determines there is insufficient back-up documentation, it will notify the appropriate union and/or fringe benefit fund promptly, but not later than twenty (20) days after receipt of the Delinquency Notice, and shall include notice of what additional documentation is requested. Any determination by the City that there is insufficient back-up must be reasonable. This provision is intended to enhance compliance with the prevailing wage law and this Agreement with respect to the payment of fringe benefits and is not intended as a substitute for the resolution of a disputed claim pursuant to any applicable law or agreement.

The City and the relevant Agency(s) will thereafter require the Delinquent Contractor to provide cancelled checks or other equivalent proof of payment of benefit contributions that have come due, to be submitted with certified payroll reports for all Program Work covered by this Agreement on which the Delinquent Contractor is engaged, for at least a one-year period or such earlier period if the Contractor is ultimately determined not to be a Delinquent Contractor. Such proof of payment when required is a condition of payment of the Delinquent Contractor's invoices by any entity, including, but not limited to, the City, the relevant Agency(s), Construction Manager, General Contractor, the prime or higher level subcontractor, as is appropriate under the Delinquent Contractor's engagement. The union and the funds shall upon request receive copies of the certified payrolls, cancelled checks, or other proof of payment from the City and/or the relevant Agency(s).

E. In the event the General Contractor or Delinquent Contractor shall notify the Agency as above provided that the claim of the union or fringe benefit fund is in dispute, the Agency shall withhold from amounts then or thereafter becoming due and payable to the General Contractor an amount equal to that portion of such payment due to the General Contractor that relates solely to the work performed by the Delinquent Contractor that the union and/or fringe benefit fund claims

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to be due it, pending resolution of the dispute pursuant to the union's Schedule "A" agreement, and the amount shall be paid to the party or parties ultimately determined to be entitled thereto, or held until the Delinquent Contractor and union or employee benefit fund shall otherwise agree as to the disposition thereof; provided however, that such withholding shall not exceed the amount contained in the General Contractor's monthly invoice for work performed by the Delinquent Contractor. In the event the Agency shall be required to withhold amounts from a General Contractor for the benefit of more than one fringe benefit fund, the amounts so withheld in the manner and amount prescribed above shall be applied to or for such fund in the order in which the written notices of nonpayment have been received by the Agency, and if more than one such notice was received on the same day, proportionately based upon the amount of the union and/or fringe benefit fund claims received on such day. Nothing herein contained shall prevent the Agency from commencing an interpleader action to determine entitlement to a disputed payment in accordance with section one thousand six of the civil practice law and rules or any successor provision thereto.

F. Payment to a fringe benefit fund under this provision shall not relieve the General Contractor or Delinquent Contractor from responsibility for the work covered by the payment. Except as otherwise provided, nothing contained herein shall create any obligation on the part of the Agency to pay any union or fringe benefit fund, nor shall anything provided herein serve to create any relationship in contract or otherwise, implied or expressed, between the union/fund and/or fringe benefit and the Agency.

ARTICLE 12 - HOURS OF WORK, PREMIUM PAYMENTS, SHIFTS AND HOLIDAYS

SECTION 1. WORK WEEK AND WORKDAY

A. The standard work week shall consist of 40 hours of work at straight time rates, Monday through Friday, 8 hours per day, plus ½ hour unpaid lunch period. The standard work week may be reduced to 35 or 37 ½ hours of work at straight time rates, Monday to Friday, 7 or 7

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½ hours per day, plus ½ hour unpaid lunch period in those limited circumstances where the City states in the bid documents that the Contractor will not be given access to the site to accommodate an 8 hour day. The 8 hour, 7 ½ hour or 7-hour workday must be established at the commencement of the project and may not be altered by the Contractor.

B. In accordance with project needs, there shall be flexible start times with advance notice from Contractor to the Union. The Day Shift shall commence between the hours of 6:00 a.m. and 9:00 a.m. and shall end between the hours of 2:30 p.m. and 5:30 p.m., for an 8-hour day, and up to 7:30 p.m. for a 10-hour day. The Evening Shift shall commence between the hours of 3:00 p.m. and 6:00 p.m., unless different times are necessitated by the Agency's phasing plans on specific projects. The Night Shift shall commence between the hours of 11:00 p.m. and 2:00 a.m., unless different times are necessitated by the Agency's phasing plans on specific projects. Subject to the foregoing, starting and quitting times shall occur at the Program Work site designated by the Contractor.

C. Scheduling - Except as provided above, Monday through Friday is the standard work week; 8 hours of work plus ½ hour unpaid lunch. Notwithstanding any other provision of this Agreement, a Contractor may schedule a four-day work week, 10 hours per day ("4/10") at straight time rates, plus a ½ hour unpaid lunch, at the commencement of the job.

D. Notice - Contractors shall provide not less than 5 days prior notice to the Local Union involved as to the work week and work hour schedules to be worked or such lesser notice as may be mutually agreed upon.

SECTION 2. OVERTIME

Overtime shall be paid for any work (i) over an employee's regularly scheduled work day, i.e., work over eight (8) hours in a day where 5/8s is scheduled, work over ten (10) hours in a day where 4/10s is scheduled, or work over seven (7) or seven and one half (7½) hours where such

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hours are scheduled pursuant to Article 12, section 1(A) and (ii) over forty (40) hours in a week, or over thirty five (35) or thirty seven and one-half (37 ½) where such hours are scheduled pursuant to Article 12, section 1(A). Overtime shall be paid at time and one half (1½) Monday through Saturday. All overtime work performed on Sunday and Holidays will be paid pursuant to the applicable Schedule "A". There shall be no stacking or pyramiding of overtime pay under any circumstances. There will be no restriction upon the Contractor's scheduling of overtime or the nondiscriminatory designation of employees who shall be worked, including the use of employees, other than those who have worked the regular or scheduled work week, at straight time rates. The Contractor shall have the right to schedule work so as to minimize overtime or schedule overtime as to some, but not all, of the crafts and whether or not of a continuous nature.

SECTION 3. SHIFTS

A. Flexible Schedules - Scheduling of shift work, including Saturday and Sunday work, shall be within the discretion of the Contractor in order to meet Program Work schedules and existing Program Work conditions including the minimization of interference with the mission of the Agency. It is not necessary to work a day shift in order to schedule a second or third shift, or a second shift in order to schedule a third shift, or to schedule all of the crafts when only certain crafts or employees are needed. Shifts must have prior approval of the Agency or Construction Manager and must be scheduled with not less than five workdays' notice to the Local Union or such lesser notice as may be mutually agreed upon.

B. Second and/or Third Shifts - The second shift shall start between 3 p.m. and 6 p.m. and the third shift shall start between 10 p.m. and 2 a.m., subject to different times necessitated by the Agency phasing plans on specific projects. There shall be no reduction in shift hour work. With respect to second and third shift work there shall be a 5% shift premium, or the rate required by the applicable prevailing wage laws, whichever is less. No other premium or other payments for such

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work shall be required unless such work is in excess of the employee's regularly scheduled work week, i.e., forty (40) hours in the week or thirty five (35) or thirty seven and one half (37 ½) pursuant to Article 12, Section 1(A). All employees within the same classification performing Program Work will be paid at the same wage rate regardless of the shift or work, subject only to the foregoing provisions.

C. Flexible Starting Times - Shift starting times will be adjusted by the Contractor as necessary to fulfill Program Work requirements subject to the notice requirements of paragraph A.

SECTION 4. HOLIDAYS

A. Schedule - There shall be nine (9) recognized holidays on the project:

New Year's Day

Martin Luther King Day President's Day

Memorial Day Veteran's Day

Labor Day Thanksgiving Day

Independence Day Christmas Day

All said holidays shall be observed on the calendar date except those holidays which occur on Saturday shall be observed on the previous Friday and those that occur on Sunday shall be observed on the following Monday.

B. Payment - Regular holiday pay, if any, for work performed on such a PLA recognized holiday shall be in accordance with the applicable Schedule "A" for work performed on a holiday, even where the PLA holiday differs from the CBA holidays.

C. Exclusivity - No holidays other than those listed in Section 4(A) above shall be recognized or observed.

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SECTION 5. MAKE-UP DAYS

When severe weather, power failure, fire or natural disaster or other similar circumstances beyond the control of the Contractor prevent work from being performed on a regularly scheduled weekday, the Contractor may schedule a Saturday make-up day (or Friday make-up day in the case of a 4/10 schedule) and such time shall be scheduled and paid as if performed on a weekday. Any other Saturday work shall be paid at time and one-half (1½). The Contractor shall notify the Local Union on the missed day or as soon thereafter as practicable if such a make-up day is to be worked.

SECTION 6. REPORTING PAY

A. Employees who report to the work location pursuant to their regular schedule and who are not provided with work shall be paid two hours reporting pay at straight time rates. An employee whose work is terminated early by a Contractor due to severe weather, power failure, fire or natural disaster or for similar circumstances beyond the Contractor's control, shall receive pay only for such time as is actually worked. In other instances, in which an employee's work is terminated early (unless provided otherwise elsewhere in this Agreement), the employee shall be paid for their full shift. Contractors shall not be permitted to call, text or email or voicemail employees in advance of their regularly scheduled shift starting time to avoid reporting pay. Notwithstanding the above, in the event that the National Weather Service issues a weather advisory for the area in which the work location is situated, and the entire project is shut down as a result of the Weather Advisory, the Contractor shall be permitted to speak to employees no less than four (4) hours in advance of their shift starting time, unless the Local Union consents to a shorter notice in writing, to advise them not to report to work due to the National Weather Service advisory, and employees who are so notified shall not receive two (2) hours reporting pay if they report to the work location. The Contractor shall make every effort to notify each employee directly and confirm that notification has been received. Voice, text, and email messages left for employees without

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confirmation of delivery and receipt by employee do not constitute sufficient notice under this provision.

B. When an employee, who has completed their scheduled shift and left the Program Work site, is “called out” to perform special work of a casual, incidental or irregular nature, the employee shall receive overtime pay at the rate of time and one-half of the employee’s straight time rate for hours actually worked.

C. When an employee leaves the job or work location of their own volition or is discharged for cause or is not working as a result of the Contractor’s invocation of Section 7 below, they shall be paid only for the actual time worked.

D. Except as specifically set forth in this Article there shall be no premiums, bonuses, hazardous duty, high time or other special premium payments or reduction in shift hours of any kind.

E. There shall be no pay for time not actually worked except as specifically set forth in this Article and except where an applicable Schedule “A” requires a full weeks’ pay for forepersons.

SECTION 7. PAYMENT OF WAGES

A. Termination - Employees who are laid off or discharged for cause shall be paid in full for that which is due them at the time of termination. The Contractor shall also provide the employee with a written statement setting forth the date of lay off or discharge.

SECTION 8. EMERGENCY WORK SUSPENSION

A Contractor may, if considered necessary for the protection of life and/or safety of employees or others, suspend all or a portion of Program Work. In such instances, employees will be paid for actual time worked, except that when a Contractor requests that employees remain at the job site available for work, employees will be paid for that time at their hourly rate of pay.

SECTION 9. INJURY/DISABILITY

An employee who, after commencing work, suffers a work-related injury or disability while performing work duties, shall receive no less than a full day's pay in accordance with the employee's regularly scheduled workday under Article 12, Section (1)(A). Further, the employee shall be rehired at such time as able to return to duties provided there is still Program Work available for which the employee is qualified and able to perform.

SECTION 10. TIME KEEPING

A Contractor may utilize systems to check employees in and out. Each employee must check in and out and sign a daily sign-in sheet, or other attendance methodology approved in writing by the Agency(s). The Contractor will provide adequate facilities for checking in and out in an expeditious manner.

SECTION 11. MEAL PERIOD

A Contractor shall schedule an unpaid period of not more than 1/2-hour duration at the work location between the 3rd and 5th hour of the scheduled shift. A Contractor may, for efficiency of operation, establish a schedule which coordinates the meal periods of two or more crafts, or which provides for staggered lunch periods within a craft or trade. If an employee is required to work through the meal period, the employee shall be compensated in a manner established in the applicable Schedule "A".

SECTION 12. BREAK PERIODS

There will be no rest periods, organized coffee breaks or other non-working time established during working hours. Individual coffee containers will be permitted at the employee's work location. Where 4/10s are being worked there shall be a morning and an afternoon coffee break.

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ARTICLE 13 - APPRENTICES AND WORKFORCE DEVELOPMENT

SECTION 1. APPRENTICE RATIOS AND REFERRALS

A. Recognizing the need to maintain continuing supportive programs designed to develop adequate numbers of competent workers in the construction industry and to provide craft entry opportunities for minorities, women and economically disadvantaged non-minority males, Contractors will employ apprentices in their respective crafts to perform such work as is within their capabilities and which is customarily performed by the craft in which they are indentured. Contractors may utilize apprentices and such other appropriate classifications in the maximum ratio permitted by the New York State Department of Labor (“NYS DOL”) or the maximum allowed per trade. Apprentices and such other classifications as are appropriate shall be employed in a manner consistent with the provisions of the appropriate Schedule “A” agreement. The parties encourage, as an appropriate source of apprentice recruitment consistent with the rules and operations of the affiliated unions’ apprentice-programs, the use of the Edward J. Malloy Initiative for Construction Skills, Non-Traditional Employment for Women, New York Helmets to Hardhats, and Pathways to Apprenticeship (P2A). Should a Contractor request that apprentices be provided for Program Work, the referring Local Union shall comply with that request so long as it is consistent with the maximum ratios permitted by NYSDOL.

SECTION 2. WORKFORCE DEVELOPMENT

A. The parties to this Agreement recognize the mutual interest in increasing training and career opportunities for Program Hires. The parties are committed to (i) increasing opportunities for Program Hires in these zip codes in pre-apprenticeship and apprenticeship programs, and (ii) using the work opportunities provided by this Agreement to increase the career opportunities for qualified Program Hires, and (iii) to assure the continued availability of a skilled and qualified, readily available construction workforce for this program and future work. The parties agree to the Workforce Development Program set forth in Exhibit “D”.

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B. Specifically, the parties have established an initiative entitled HireNYC Construction Careers, which is an initiative to advance career opportunities for Program Hires.

C. The HireNYC Construction Careers initiative will work with the Mayor's Office of Workforce Development ("WKDEV") and its Workforce1 Centers to recruit Program Hires interested in employment in the construction industry.

D. HireNYC Construction Careers intends to capitalize on the work opportunities presented by this Agreement to create a pathway to career opportunities in the construction workforce. To this end the HireNYC Construction Careers initiative includes a workforce goal of at least 30% of all hours worked under this Agreement, including by subcontractors pursuant to Article 3, Section 1(B)(12), to be worked by workers residing within the specified zip codes or NYCHA housing. In order to encourage recruitment of new workers, HireNYC Construction Careers has established a goal that at least 30% of all of those hours are to be worked by apprentices from those zip codes or NYCHA housing.

E. The Contractors and Unions agree to cooperate and participate in the implementation of HireNYC Construction Careers to assist Program Hires with educational and training opportunities related to access to pre-apprenticeship, apprenticeship, and project work as set forth in this Agreement.

F. Reporting Requirements:

i. The Contractors shall report the residence zip code information on all certified payroll reports.

ii. The Local Unions, their referral systems, the affiliated pre-apprentice programs, and Contractors shall cooperate with any protocol developed for monitoring the HireNYC Construction Careers initiative.

iii. The Local Unions shall provide the WKDEV copies of the following

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reports when such reports are submitted to NYSDOL: *Apprentice Training Recruitment Notification and Minimum Qualifications (AT 505)*, *Apprentice Training Program Affirmative Action Plan (AT 603)*, *Apprenticeship Agreement (AT 401)*, or such alternate reporting system as the parties may negotiate during the term of this Agreement.

G. The City and BCTC agree that no less than annually, the LMC shall review the implementation of HireNYC Construction Careers, as well as Program Hire opportunities afforded as a result of the initiative. The City and BCTC will collaborate to develop monitoring protocol for the purpose of measuring the success of HireNYC Construction Careers. The City and BCTC may, on mutual consent, modify the goals, procedures and protocols, as necessary to afford continued opportunity to Program Hires.

H. To facilitate the commitments set forth in this Agreement, each Local Union shall designate a HireNYC Construction Careers lead representative to work in partnership with WKDEV to implement these workforce and apprenticeship provisions within the union and across City construction contracts.

ARTICLE 14 - SAFETY PROTECTION OF PERSON AND PROPERTY

SECTION 1. SAFETY REQUIREMENTS

Each Contractor will ensure that applicable OSHA and safety requirements are at all times maintained on the Program Work site and the employees and Unions agree to cooperate fully with these efforts to the extent consistent with their rights and obligations under the law. Employees will cooperate with employer safety policies and will perform their work at all times in a safe manner and protect themselves and the property of the Contractor and Agency from injury or harm, to the extent consistent with their rights and obligations under the law. Failure to do so will be grounds for discipline, including discharge. The Construction Manager and/or Contractor may

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adopt, and the Unions shall agree to, the Drug and Alcohol Testing Policy attached as Schedule “B”.

SECTION 2. CONTRACTOR RULES

Employees covered by this Agreement shall at all times be bound by the reasonable safety, security, and visitor rules as established by the Contractors and the Construction Manager for Program Work. Such rules will be published and posted in conspicuous places throughout the Program Work sites. Any site security and access policies established by the Construction Manager or General Contractor intended for specific application to the construction workforce for Program Work and that are not established pursuant to an Agency directive shall be implemented only after notice to the BCTC and its affiliates and an opportunity for negotiation and resolution by the Labor Management Committee.

SECTION 3. INSPECTIONS

The Contractors and Construction Manager retain the right to inspect incoming shipments of equipment, apparatus, machinery and construction materials of every kind.

ARTICLE 15 - TEMPORARY SERVICES

SECTION 1.

Temporary services, i.e. all temporary heat, climate control, water, power and light, shall only be required upon the determination of the Agency or Construction Manager, and when used shall be staffed and assigned to the appropriate trade(s) with jurisdiction. Temporary services shall be provided by the appropriate Contractors’ existing employees during working hours in which a shift is scheduled for employees of the Contractor. The Agency or Construction Manager may determine the need for temporary services requirements during non-working hours, and when used shall be staffed and assigned to the appropriate trades(s), and which may be limited to one person per applicable trade where practicable. There shall be no stacking of trades on temporary services,

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provided this does not constitute a waiver of primary trade jurisdiction. In the event a temporary system component is claimed by multiple trades, the matter shall be resolved through the New York Plan for Jurisdictional Disputes.

ARTICLE 16 - NO DISCRIMINATION

SECTION 1. COOPERATIVE EFFORTS

The Contractors and Unions agree that they will not discriminate against any employee or applicant for employment because of creed, race, color, religion, sex, sexual orientation, national origin, marital status, citizenship status, disability, gender identity, age or any other status provided by law, in any manner prohibited by law or regulation.

SECTION 2. LANGUAGE OF AGREEMENT

Any words signifying any gender shall be interpreted to mean any or all gender identities.

ARTICLE 17 - GENERAL TERMS

SECTION 1. PROJECT RULES

A. The Construction Manager and the Contractors shall establish such reasonable Program Work rules that are not inconsistent with this Agreement or rules common in the industry and are reasonably related to the nature of work. These rules will be explained at the pre-job conference and posted at the Program Work sites and may be amended thereafter as necessary. Notice of amendments will be provided to the appropriate Local Union. Failure of an employee to observe these rules and regulations shall be grounds for discipline, including discharge. The fact that no order was posted prohibiting a certain type of misconduct shall not be a defense to an employee disciplined or discharged for such misconduct when the action taken is for cause.

B. The parties adopt and incorporate the BCTC's Standards of Excellence as annexed hereto as Exhibit "B".

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SECTION 2. TOOLS OF THE TRADE

The welding/cutting torch and chain fall are tools of the trade having jurisdiction over the work performed. Employees using these tools shall perform any of the work of the trade. There shall be no restrictions on the emergency use of any tools or equipment by any qualified employee or on the use of any tools or equipment for the performance of work within the employee's jurisdiction.

SECTION 3. SUPERVISION

Employees shall work under the supervision of the craft foreperson or general foreperson.

SECTION 4. TRAVEL ALLOWANCES

There shall be no payments for travel expenses, travel time, subsistence allowance or other such reimbursements or special pay except as expressly set forth in this Agreement.

SECTION 5. FULL WORKDAY

Employees shall be at their work area at the starting time established by the Contractor, provided they are provided access to the work area. The signatories reaffirm their policy of a fair day's work for a fair day's wage.

SECTION 6. COOPERATION AND WAIVER

The Construction Manager, Contractors and the Unions will cooperate in seeking any NYSDOL, or any other government, approvals that may be needed for implementation of any terms of this Agreement. In addition, the Council, on their own behalf and on behalf of its participating affiliated Local Unions and their individual members, intend the provisions of this Agreement to control to the greatest extent permitted by law, notwithstanding contrary provisions of any applicable prevailing wage, or other, law and intend this Agreement to constitute a waiver of any such prevailing wage, or other, law to the greatest extent permissible only for work within the scope of this Agreement, including specifically, but not limited to those provisions relating to shift, night,

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and similar differentials and premiums. This Agreement does not, however, constitute a waiver or modification of the prevailing wage schedules applicable to work not covered by this Agreement.

ARTICLE 18 - SAVINGS AND SEPARABILITY

SECTION 1. THIS AGREEMENT

In the event that the application of any provision of this Agreement is enjoined, on either an interlocutory or permanent basis, or is otherwise determined to be in violation of law, or if such application may cause the loss of project funding or any New York State Labor Law exemption for all or any part of the Program Work, the provision or provisions involved (and/or its application to particular Program Work, as necessary) shall be rendered, temporarily or permanently, null and void, but where practicable the remainder of the Agreement shall remain in full force and effect to the extent allowed by law (and to the extent no funding or exemption is lost), unless the part or parts so found to be in violation of law or to cause such loss are wholly inseparable from the remaining portions of the Agreement and/or are material to the purposes of the Agreement. In the event a court of competent jurisdiction finds any portion of the Agreement to trigger the foregoing, the parties will immediately enter into negotiations concerning the substance affected by such decision for the purpose of achieving conformity with the court determination and the intent of the parties hereto for contracts to be let in the future.

SECTION 2. THE BID SPECIFICATIONS

In the event that the Agency's (or Construction Manager's) bid specifications, or other action, requiring that a successful bidder (and subcontractor) become signatory to this Agreement is enjoined, on either an interlocutory or permanent basis, or is otherwise determined to be in violation of law, or may cause the loss of project funding or any New York State Labor Law exemption for all or any part of the Program Work, such requirement (and/or its application to particular Program Work, as necessary) shall be rendered, temporarily or permanently, null and void, but where practicable the Agreement shall remain in full force and effect to the extent allowed

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by law and to the extent no funding or exemption is lost. In such event, the Agreement shall remain in effect for contracts already bid and awarded or in construction only where the Agency and Contractor voluntarily accepts the Agreement. The parties will enter into negotiations as to modifications to the Agreement to reflect the court or other action taken and the intent of the parties for contracts to be let in the future.

SECTION 3. NON-LIABILITY

In the event of an occurrence referenced in Section 1 or Section 2 of this Article, neither the Agency, the Construction Manager, any Contractor, nor any Union shall be liable, directly or indirectly, for any action taken, or not taken, to comply with any court order or injunction, other determination, or in order to maintain funding or a New York State Labor Law exemption for Program Work. Bid specifications will be issued in conformance with court orders then in effect and no retroactive payments or other action will be required if the original court determination is ultimately reversed.

SECTION 4. NON-WAIVER

Nothing in this Article shall be construed as waiving the prohibitions of Article 7 as to signatory Contractors and signatory Unions.

ARTICLE 19 - FUTURE CHANGES IN SCHEDULE "A" AREA CONTRACTS

SECTION 1. CHANGES TO AREA CONTRACTS

A. Schedule "A" to this Agreement shall continue in full force and effect until the Contractor and/or Union parties to the Area CBAs that are the basis for the Schedule "A" notify the Mayor's Office of Contract Services ("MOCS"), Agency and Construction Manager in writing by providing a copy of the updated CBA(s) incorporating the changes agreed to in that Area CBA which are applicable to work covered by this Agreement and their effective dates.

B. It is agreed that any provisions negotiated into Schedule "A" CBAs will not apply to work under this Agreement if such provisions are less favorable to those uniformly required of

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contractors for construction work normally covered by those agreements; nor shall any provision be recognized or applied on Program Work if it may be construed to apply exclusively, or predominantly, to work covered by this Agreement.

C. Any disagreement between signatories to this Agreement over the incorporation into Schedule “A” of provisions agreed upon in the renegotiation of Area CBAs shall be resolved in accordance with the procedure set forth in Article 9 of this Agreement.

SECTION 2. LABOR DISPUTES DURING AREA CONTRACT NEGOTIATIONS

The Unions agree that there will be no strikes, work stoppages, sympathy actions, picketing, slowdowns or other disruptive activity or other violations of Article 7 affecting the Program Work by any Local Union involved in the renegotiation of Area Local CBAs nor shall there be any lock-out on such Program Work affecting a Local Union during the course of such renegotiations.

ARTICLE 20 - WORKERS’ COMPENSATION ADR

SECTION 1.

An Alternative Dispute Resolution (“ADR”) program may be negotiated and participation in the ADR program will be optional by trade.

ARTICLE 21 - HELMETS TO HARDHATS

SECTION 1.

The Contractors and the Unions recognize a desire to facilitate the entry into the building and construction trades of veterans who are interested in careers in the building and construction industry. The Contractors and Unions agree to utilize the services of the New York City Helmets to Hardhats Program (“H2H”) to serve as a resource for preliminary orientation, assessment of construction aptitude, referral to apprenticeship programs or hiring halls, counseling and mentoring, support network, employment opportunities and other needs as identified by the parties.

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SECTION 2.

The Unions and Contractors agree to coordinate with H2H to create and maintain an integrated database of veterans interested in working on this project and of apprenticeship and employment opportunities for this project. To the extent permitted by law, the Unions will give credit to such veterans for bona fide, provable past experience.

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IN WITNESS WHEREOF the parties have caused this Agreement to be executed and effective as
of the ___ day of _____, _____.

FOR BUILDING AND CONSTRUCTION TRADES COUNCIL
OF GREATER NEW YORK AND VICINITY

BY: Gary LaBarbera
Gary LaBarbera
President

FOR NEW YORK CITY

BY: Dean Fuleihan
Dean Fuleihan
First Deputy Mayor

APPROVED AS TO FORM:

Steve Stein Cusler
ACTING CORPORATION COUNSEL
NEW YORK CITY

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LIST OF SIGNATORY UNIONS

International Brotherhood of Boilermakers, Iron Ship Builders, Blacksmiths, Forgers and Helpers, AFL-CIO, Local Lodge No.5
Bricklayers and Allied Craftworkers, Local Union No. 1
Building Concrete & Excavating Laborers, Local Union No. 731
N.Y.C. and Vicinity District Council of Carpenters
Cement Masons, Local Union No. 780
Concrete Workers District Council No. 16
Asbestos, Lead & Hazardous Waste, Laborers Local Union No. 78
Construction & General Building Laborers Local Union No. 79
Derrickmen and Riggers Local Union No. 197
International Brotherhood of Electrical Workers, Local Union No. 3
International Union of Elevator Constructors, Local Union No. 1
Heat & Frost Insulators & Allied Workers, Local Union No. 12
Heat & Frost Insulators & Allied Workers, Local Union No. 12A
Pavers & Road Builders, Laborers Local Union No. 1010
New York State Iron Workers District Council
Structural Iron Workers, Local Union No. 40
Structural Iron Workers, Local Union No. 361
Mason Tenders District Council
Metallic Lathers & Reinforcing Ironworkers, Local No. 46
Ornamental Iron Workers, Local Union No. 580
Glaziers No. 1087, District Council 9
Painters, District Council No. 9
Metal Polishers, Local Union No. 8A-28A; District Council No. 9
Drywall Tapers Local Union No 1974, District Council 9
Bridge & Structural Steel Painters, Local Union No. 806, District Council 9
Operative Plasterers Local Union No. 262
UA Plumbers Local Union No. 1
Private Sanitation, Teamsters Local Union No. 813
Roofers & Waterproofers, Local Union No. 8
Sheet Metal Workers, Local Union No. 28
Sheet Metal Workers, Local Union No. 137
UA Steamfitters, Local Union No. 638
Teamsters, Local Union No. 282
Tile, Marble & Terrazzo, B.A.C. Local Union No. 7

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SCHEDULE "A" - CBAs

Union	Current Agreement w/
Architectural and Ornamental Iron Workers Local Union 580, AFL-CIO	Allied Building Metal Industries, Inc.
Building, Concrete, Excavating & Common Laborers Local 731	Independent
Building, Concrete, Excavating & Common Laborers Local 731	Members of the General Contractors Association of New York, Inc.
Bricklayers Local 1 of the International Union of Bricklayers and Allied Craftworkers	Independent
District Council No. 9, I.U.P.A.T Glaziers Local 1087	Window and Plate Glass Dealers Association
Drywall Tapers and Painters Local 1974, affiliated with International Union of Painters & Allied Trades and Drywall Taping Contractor's Association & Association of Wall-Ceiling & Carpentry Industries NY, Inc.	Independent
Enterprise Association of Steamfitters and Apprentices Local 638	Mechanical Contractors Association of NY, Inc.
Enterprise Association of Steamfitters and Apprentices Local 638	Independent
Elevator Constructors Local 1 of NY and NJ	ThyssenKrupp Elevator Corporation
Elevator Constructors Local 1 of NY and NJ	Independent
Highway Road and Street Laborers Local Union 1010 of the District Council of Pavers and Road Builders of the Laborers' International Union of North America AFL-CIO	Independent
Highway Road and Street Laborers Local Union 1010 of the District Council of Pavers and Road Builders of the Laborers' International Union of North America AFL-CIO	Member of the General Contractors Association of New York, Inc.
International Association of Heat and Frost Insulators and Allied Workers Local No. 12 of New York City	Independent
International Association of Heat and Frost Insulators and Allied Workers Local No. 12 of New York City	The Insulation Contractors Association of New York City, Inc.
International Association of Heat and Frost Insulators and Allied Workers Local No. 12A of New York City	Independent

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International Association of Heat and Frost Insulators and Allied Workers Local No. 12A of New York City	Environmental Contractors Association, Inc.
International Brotherhood of Boilermakers, Iron Ship Builders, Blacksmiths, Forgers and Helpers, AFL-CIO, Local Lodge No. 5	Boilermakers Association of Greater New York
Local Union No. 3 International Brotherhood of Electrical Workers, AFL-CIO	New York Electrical Contractors Association
International Brotherhood of Teamsters, Local 282, High Rise Contract	Building Contractors Association & Independents
Local 46 Metallic Lathers Union and Reinforcing Iron Workers of NY and Vicinity of the International Association of Bridge, Structural, Ornamental and Reinforcing Iron Workers	Cement League
Local 46 Metallic Lathers Union and Reinforcing Iron Workers of NY and Vicinity of the International Association of Bridge, Structural, Ornamental and Reinforcing Iron Workers	Independent
Local 8 Roofers, Waterproofers & Allied Workers	Roofing and Waterproofing Contractors Association of New York and Vicinity
Local Union 1 of the United Association of Journeymen and Apprentices of the Pipe Fitting Industry of the United States and Canada	Association of Contracting Plumbers of the City of New York
Local Union Number 40 & 361 of Bridge, Structural Ornamental and Reinforcing Iron Workers AFL-CIO	Independent
Mason Tenders DC & Laborers' International Union – Local 78 & 79	Building Contractors Association
Mason Tenders DC & Laborers' International Union – Local 78 & 79	Interior Demolition Contractors Association
Mason Tenders DC & Laborers' International Union – Local 78 & 79	Independent
Mason Tenders DC & Laborers' International Union – Local 78 & 79	NYCDCA
Mason Tenders DC & Laborers' International Union – Local 78 & 79	Environmental Contractors Association
Mason Tenders DC & Laborers' International Union – Local 78 & 79	ABMC

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Operative Plasterers' and Cement Masons' International Association Local No. 262	Independent
Painters and Allied Trades AFL-CIO, District Council No. 9 (Painting and Protective Coatings CBA)	Independent
Painters and Allied Trades AFL-CIO, District Council No. 9 (Painting and Protective Coatings CBA)	The Association of Master Painters & Decorators of NY, Inc. and The Association of Wall, Ceiling & Carpentry Industries of NY, Inc. and The Window and Plate Glass Dealers Association
Sheet Metal Workers' International Association, Local 28	Sheet Metal & Air Conditioning Contractors Association of New York City, Inc.
Sheet Metal Workers' International Association, Local 137	The Greater New York Sign Association
Structural Steel and Bridge Painters Local 806, DC 9 International Union of Painters and Allied Trades, AFL-CIO	New York Structural Steel Painting Contractors Association
Teamsters Local 813	Independent
Teamsters Local 813	IESI NY Corporation
The Cement Masons' Union, Local 780	Cement League
The District Council of Cement and Concrete Workers (comprised of Local 6A; Local 18A and Local 20)	Cement League
The District Council of Cement and Concrete Workers (comprised of Local 6A; Local 18A and Local 20)	Independent
The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners of America for Heavy Carpenters	GCA
The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners of America for Dockbuilders Local No. 1556	Concrete Contractors of NY
The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners of America for Dockbuilders Local 1556	Independent
The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners of America for Millwright Local 740	Independent

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The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners of America for Timbermen Local 1556	Independent
The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners of America for Timbermen Local 1556	GCA
The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners of America for Heavy Carpenters	Independent
The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners of America for Carpenters	Manufacturing Woodworkers Association of Greater New York Incorporated
The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners of America	The Hoisting Trade Association of New York, Inc.
The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners of America	The Test Boring Association
The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners of America	Building Contractors Association
The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners of America	The Association of Wall-Ceiling & Carpentry Industries of New York, Incorporated
The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners	The Cement League
The District Council of NYC and Vicinity of the United Brotherhood of Carpenters and Joiners of America	New York City Millwright Association
The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners	Greater New York Floor Covering Association
The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners of America for Carpenters	Association of Architectural Metal & Glass

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The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners of America for Carpenters	Concrete Contractors of NY
The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners of America for Building Construction Carpenters	Independent
The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners of America for Local 2287	Independent
The District Council of New York City and Vicinity of the United Brotherhood of Carpenters and Joiners of America for Shop Carpenters	Independent
The Tile Setters and Tile Finishers Union of New York and New Jersey, Local 7 of the International Bricklayers and Allied Craftworkers	The Greater New York and New Jersey Contractors Association
United Derrickmen & Riggers Association, Local 197 of NY, LI, Westchester & Vicinity	Contracting Stonesetters Association Inc.
United Derrickmen & Riggers Association Local 197 of NY, LI, Westchester and Vicinity	Building Stone and Pre-cast Contractors Association

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Exhibit A

Project Labor Agreement - Letter of Assent

Dear: _____

The undersigned party confirms that it agrees to be a party to and be bound by the New York Agency, Project Labor Agreement as such Agreement may, from time to time, be amended by the parties or interpreted pursuant to its terms. The terms of the Project Labor Agreement, its Schedules, Addenda and Exhibits are hereby incorporated by reference herein.

The undersigned, as a Contractor or Subcontractor (hereinafter Contractor) on the Project known as the NYC Agency Renovation and located at _____ (hereinafter PROJECT), for and in consideration of the award to it of a contract to perform work on said PROJECT, and in further consideration of the mutual promises made in the Project Labor Agreement, a copy of which was received and is acknowledged, hereby:

- (1) Accepts and agrees to be bound by the terms and conditions of the Agreement, together with any and all schedules; amendments and supplements now existing or which are later made thereto:
- (2) Agrees to be bound by the legally established collective bargaining agreements; local trust agreements for employee benefit funds; and trust documents for joint apprentice programs as well as apprentice program rules and procedures but only to the extent of Program Work and as required by the PLA.
- (3) Authorizes the parties to such local trust agreements to appoint trustees and successor trustees to administer the trust funds and hereby ratifies and accepts the trustees so appointed as if made by the Contractor but only to the extent of Program Work as required by the PLA.
- (4) Certifies that it has no commitments or agreements that would preclude its full and complete compliance with the terms and conditions of said Agreement. The Contractor agrees to employ labor that can work in harmony with all other labor on the Project and shall require labor harmony from every lower tier subcontractor it has engaged or may engage to work on the Project. Labor harmony disputes/issues shall be subject to the Labor Management Committee provisions.
- (5) Agrees to secure from any Contractor(s) (as defined in said Agreement) which is or becomes a Subcontractor (of any tier), to it, a duly executed Agreement to be Bound in from identical to this document.

Provide description of the Work, identify craft jurisdiction(s) and all contract numbers below:

Local Union: _____

Description of Work: _____

Contract Number(s): _____

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Dated: _____

(Name of Contractor or subcontractor)

(Name of CM; GC; Contractor or
Higher Level Subcontractor)

(Authorized Officer & Title)

(Address)

(Signature)

(Phone) (Fax)

Contractor's State License

Sworn to before me this
____ day of _____,

Notary Public

Exhibit B

**NEW YORK CITY BUILDING AND CONSTRUCTION TRADES COUNCIL
STANDARDS OF EXCELLENCE**

The purpose of this Standard of Excellence is to reinforce the pride of every construction worker and the commitment to be the most skilled, most productive and safest workforce available to construction employers and users in the City of New York. It is the commitment of every affiliated local union to use our training and skills to produce the highest quality work and to exercise safe and productive work practices.

The rank and file members represented by the affiliated local unions acknowledge and adopt the following standards:

- *Provide a full day's work for a full days pay;*
- *Safely work towards the timely completion of the job;*
- *Arrive to work on time and work until the contractual quitting time;*
- *Adhere to contractual lunch and break times;*
- *Promote a drug and alcohol free work site;*
- *Work in accordance with all applicable safety rules and procedures;*
- *Allow union representatives to handle job site disputes and grievances without resort to slowdowns, or unlawful job disruptions;*
- *Respect management directives that are safe, reasonable and legitimate;*
- *Respect the rights of co-workers;*
- *Respect the property rights of the owner, management and contractors.*

The Unions affiliated with the New York City Building and Construction Trades Council will expect the signatory contractors to safely and efficiently manage their jobs and the unions see this as a corresponding obligation of the contractors under this Standard of Excellence. The affiliated unions will expect the following from its signatory contractors:

- *Management adherence to the collective bargaining agreements;*
- *Communication and cooperation with the trade foremen and stewards;*
- *Efficient, safe and sanitary management of the job site;*
- *Efficient job scheduling to mitigate and minimize unproductive time;*
- *Efficient and adequate staffing by properly trained employees by trade;*
- *Efficient delivery schedules and availability of equipment and tools to ensure efficient job progress;*
- *Ensure proper blueprints, specifications and layout instructions and material are available in a timely manner*
- *Promote job site dispute resolution and leadership skills to mitigate such disputes;*
- *Treatment of all employees in a respectful and dignified manner acknowledging their contributions to a successful project.*

The affiliated unions and their signatory contractors shall ensure that both the rank and file members and the management staff shall be properly trained in the obligations undertaken in the Standard of Excellence.

2020 NYC AGENCY RENOVATION PROJECT LABOR AGREEMENT

Exhibit “C” - HireNYC Construction Careers

(August 2020 version)

Non-exhaustive list of zip codes where at least 15% of the individuals are below the federal poverty rate
(Zip codes within ~100 mile radius of NYC)

Zip Code	Borough	Neighborhood
10001	Manhattan	Midtown South
10002	Manhattan	Chinatown
10009	Manhattan	East Village
10025	Manhattan	Manhattan Valley
10026	Manhattan	Central Harlem
10027	Manhattan	Manhattanville
10029	Manhattan	East Harlem
10030	Manhattan	Central Harlem
10031	Manhattan	Hamilton Heights
10032	Manhattan	Inwood and Washington Heights
10033	Manhattan	Washington Heights
10034	Manhattan	Inwood
10035	Manhattan	East Harlem
10037	Manhattan	Central Harlem
10038	Manhattan	Lower Manhattan
10039	Manhattan	Central Harlem
10040	Manhattan	Inwood and Washington Heights
10301	Staten Island	St. George
10302	Staten Island	Port Richmond
10303	Staten Island	Mariner's Harbor
10304	Staten Island	Stapleton
10310	Staten Island	West Brighton
10451	Bronx	Concourse Village
10452	Bronx	High Bridge
10453	Bronx	University Heights
10454	Bronx	Mott Haven
10455	Bronx	Longwood
10456	Bronx	Melrose
10457	Bronx	Central Bronx
10458	Bronx	Bedford Park
10459	Bronx	Morrisania
10460	Bronx	East Tremont
10462	Bronx	Parkchester
10463	Bronx	Kingsbridge
10466	Bronx	Wakefield
10467	Bronx	Norwood
10468	Bronx	Bronx Park and Fordham
10472	Bronx	Unionport
10473	Bronx	Soundview
10474	Bronx	Hunts Point

2020 NYC AGENCY RENOVATION PROJECT LABOR AGREEMENT

PLA Exhibit C - HireNYC Construction Careers

(August 2020 version)

Non-exhaustive list of zip codes where at least 15% of the individuals are below the federal poverty rate

(Zip codes within ~100 mile radius of NYC)

Zip Code	Borough	Neighborhood
11101	Queens	Long Island City
11102	Queens	Northwest Queens
11106	Queens	Ravenswood
11203	Brooklyn	East Flatbush
11204	Brooklyn	Borough Park
11205	Brooklyn	Fort Greene
11206	Brooklyn	East Williamsburg
11207	Brooklyn	East New York
11208	Brooklyn	East New York / Cypress Hills
11211	Brooklyn	Williamsburg
11212	Brooklyn	Brownsville
11213	Brooklyn	Crown Heights
11214	Brooklyn	Bensonhurst
11216	Brooklyn	Central Brooklyn
11218	Brooklyn	Kensington
11219	Brooklyn	Borough Park
11220	Brooklyn	Sunset Park
11221	Brooklyn	Bushwick
11223	Brooklyn	Gravesend
11224	Brooklyn	Coney Island
11225	Brooklyn	Prospect Lefferts Gardens
11226	Brooklyn	Prospect Park South
11230	Brooklyn	Midwood
11232	Brooklyn	Sunset Park
11233	Brooklyn	Ocean Hill
11235	Brooklyn	Brighton Beach
11237	Brooklyn	Bushwick and Williamsburg
11239	Brooklyn	Starrett City
11354	Queens	Downtown Flushing
11355	Queens	Queensboro Hill
11368	Queens	South Corona
11369	Queens	East Elmhurst
11373	Queens	Elmhurst
11416	Queens	Southwest Queens
11417	Queens	Ozone Park
11418	Queens	Richmond Hill
11430	Queens	Ozone Park
11432	Queens	Jamaica Center
11433	Queens	South Jamaica
11435	Queens	Briarwood
11691	Queens	Far Rockaway
11692	Queens	Arverne

Data Source: 2013-2017 American Community Survey 5-year estimates

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PLA Exhibit C - HireNYC Construction Careers

(August 2020 version)

Non-exhaustive list of zip codes where at least 15% of the individuals are below the federal poverty rate

(Zip codes within ~100 mile radius of NYC)

Zip Code	State	City or Town
06401	CT	Ansonia
06510	CT	New Haven
06511	CT	New Haven
06513	CT	New Haven
06515	CT	New Haven
06519	CT	New Haven
06604	CT	Bridgeport
06605	CT	Bridgeport
06607	CT	Bridgeport
06608	CT	Bridgeport
06610	CT	Bridgeport
06702	CT	Waterbury
06704	CT	Waterbury
06705	CT	Waterbury
06706	CT	Waterbury
06708	CT	Waterbury
06710	CT	Waterbury
06810	CT	Danbury
07002	NJ	Bayonne
07017	NJ	East Orange
07018	NJ	East Orange
07022	NJ	Fairview
07026	NJ	Garfield
07029	NJ	Harrison
07047	NJ	North Bergen
07050	NJ	Orange
07055	NJ	Passaic
07060	NJ	Plainfield
07062	NJ	Plainfield
07087	NJ	Union City
07093	NJ	West New York
07102	NJ	Newark
07103	NJ	Newark
07104	NJ	Newark
07105	NJ	Newark
07106	NJ	Newark
07107	NJ	Newark
07108	NJ	Newark
07111	NJ	Irvington
07112	NJ	Newark
07114	NJ	Newark
07201	NJ	Elizabeth
07202	NJ	Elizabeth
07206	NJ	Elizabethport
07208	NJ	Elizabeth
07304	NJ	Jersey City
07305	NJ	Jersey City
07306	NJ	Jersey City
07307	NJ	Jersey City
07310	NJ	Jersey City

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PLA Exhibit C - HireNYC Construction Careers

(August 2020 version)

Non-exhaustive list of zip codes where at least 15% of the individuals are below the federal poverty rate

(Zip codes within ~100 mile radius of NYC)

Zip Code	State	City or Town
07501	NJ	Paterson
07502	NJ	Paterson
07503	NJ	Paterson
07504	NJ	Paterson
07505	NJ	Paterson
07513	NJ	Paterson
07514	NJ	Paterson
07522	NJ	Paterson
07524	NJ	Paterson
07608	NJ	Teterboro
07703	NJ	Fort Monmouth
07712	NJ	Asbury Park
07727	NJ	Farmingdale
07734	NJ	Keansburg
07740	NJ	Long Branch
07820	NJ	Allamuchy
07939	NJ	Lyons
08031	NJ	Bellmawr
08045	NJ	Lawnside
08095	NJ	Winslow
08102	NJ	Camden
08103	NJ	Camden
08104	NJ	Camden
08105	NJ	Camden
08110	NJ	Pennsauken
08217	NJ	Elwood
08224	NJ	New Gretna
08608	NJ	Trenton
08609	NJ	Trenton
08611	NJ	Trenton
08618	NJ	Trenton
08638	NJ	Trenton
08701	NJ	Lakewood
08751	NJ	Seaside Heights
08808	NJ	Broadway
08861	NJ	Perth Amboy
08901	NJ	New Brunswick
10545	NY	Maryknoll
10550	NY	Mount Vernon
10601	NY	White Plains
10701	NY	Yonkers
10703	NY	Yonkers
10705	NY	Yonkers
10801	NY	New Rochelle
10927	NY	Haverstraw
10932	NY	Howells
10940	NY	Middletown
10950	NY	Monroe
10952	NY	Monsey
10963	NY	Otisville
10977	NY	Spring Valley

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PLA Exhibit C - HireNYC Construction Careers

(August 2020 version)

Non-exhaustive list of zip codes where at least 15% of the individuals are below the federal poverty rate
(Zip codes within ~100 mile radius of NYC)

Zip Code	State	City or Town
11096	NY	Inwood
11550	NY	Hempstead
11556	NY	Uniondale
11713	NY	Bellport
11798	NY	Wyandanch
11951	NY	Mastic Beach
11970	NY	South Jamesport
12401	NY	Kingston
12416	NY	Chichester
12419	NY	Cottkill
12427	NY	Elka Park
12428	NY	Ellenville
12432	NY	Glasco
12457	NY	Mount Tremper
12475	NY	Ruby
12489	NY	Wawarsing
12490	NY	West Camp
12491	NY	West Hurley
12516	NY	Copake
12550	NY	Newburgh
12561	NY	New Paltz
12583	NY	Tivoli
12589	NY	Wallkill
12594	NY	Wingdale
12601	NY	Poughkeepsie
12701	NY	Monticello
12725	NY	Claryville
12729	NY	Cuddebackville
12732	NY	Eldred
12733	NY	Fallsburg
12743	NY	Highland Lake
12747	NY	Hurleyville
12749	NY	Kauneonga Lake
12751	NY	Kiamesha Lake
12754	NY	Liberty
12758	NY	Livingston Manor
12759	NY	Loch Sheldrake
12762	NY	Mongaup Valley
12763	NY	Mountain Dale
12779	NY	South Fallsburg
12780	NY	Sparrow Bush
19007	PA	Bristol
19123	PA	Philadelphia
19125	PA	Philadelphia
19134	PA	Philadelphia
19135	PA	Philadelphia
19136	PA	Philadelphia
19137	PA	Philadelphia

Data Source: 2013-2017 American Community Survey 5-year estimates

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EXHIBIT "D"
MEMORANDUM OF UNDERSTANDING

MEMORANDUM OF UNDERSTANDING, entered into as of _____, between the City of New York ("City") with an office located at City Hall, New York, NY 10007, the Building and Construction Trades Council of Greater New York and Vicinity ("BCTC"), on its behalf and on behalf of its affiliated unions, with its principal place of business located at 350 West 31st Street, New York, NY 10001, and the Building Trade Employers' Association of New York City ("BTEA"), on its behalf and on behalf of its affiliated contractors, with its principal place of business located at 1325 Avenue of the Americas, New York, NY 10019.

WHEREAS, since 2009, the City, the BCTC, and the BTEA have entered into Memoranda of Understanding (each an "MOU"), contemporaneous to the City entering to Project Labor Agreements with the BCTC (each a "PLA"), setting goals on new apprenticeship opportunities for graduates of direct entry pre-apprenticeship programs for low-income New Yorkers, minorities, high school students, women, veterans, NYCHA residents, and qualified employees of Minority- and Women-Owned Business Enterprises ("M/WBEs") that become signatory to the union, and have provided increased opportunities for New Yorkers to have access to good union construction careers;

WHEREAS, in 2014, the City and the BCTC entered into an MOU related to the New York City Build It Back Program and committed to encourage contractors and subcontractors to employ Sandy-impacted residents and for the City and the BCTC to work together with community-based organizations to recruit and train New York City residents, with an emphasis on Sandy-impacted low income residents;

WHEREAS, the BCTC and the BTEA committed to: (i) promote the representation of veterans, women, high school graduates of the City's public schools, and New Yorkers in need of economic opportunity in apprenticeship programs jointly sponsored by BCTC unions and BTEA contractors, and (ii) improve workforce training and development for entrance into the construction industry;

WHEREAS, in 2014, the City of New York issued *Career Pathways: One City Working Together*, with a commitment to maximize local job opportunities through the City's contracts, and as such the City is committed to ensuring that low-income New Yorkers have access to the good jobs and careers that are created through the City's capital investments and through this MOU and contemporaneous PLA, the City the BCTC, and with the cooperation of the BTEA contractors can connect low-income New Yorkers to good prevailing wage construction careers;

WHEREAS, through this MOU and contemporaneous PLAs, the City, the BCTC, and the BTEA commit to recruiting in low-income communities, providing opportunities through pre-apprenticeship and apprenticeship programs for access to construction careers, and ensuring residents of low-income communities, including apprentices, are provided opportunities to work on publicly-funded and -assisted construction projects;

WHEREAS, pursuant to Local Law 1 of 2013, the City is also committed to its M/WBE program, and in partnership with the M/WBE Leadership Association seeks to encourage eligible companies to certify as M/WBEs, and provides a wide range of training and technical assistance to build the capacity of its certified companies to bid successfully for the City's contracts and subcontracts;

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WHEREAS, an important element in the success of pre-apprenticeship and apprenticeship programs, as well as in creating work opportunities for contractors and sub-contractors in New York City, is the availability of work on publicly funded and assisted projects; and

WHEREAS, the parties to this MOU desire to publicly state their intentions with respect to apprenticeship programs and the creation of contracting and other economic opportunities in the construction industry.

NOW, THEREFORE, the City, the BCTC, and the BTEA state as follows:

1. Scope. This MOU:

a. States the intentions of the City, the BCTC, and the BTEA regarding:

- a. the provision of opportunities in apprenticeship programs jointly sponsored by BCTC unions and BTEA contractors;
- b. the City's application of apprenticeship requirements in City construction contracts from the time of execution through December 31, 2024;
- c. the joint goal of the City, the BCTC, and the BTEA to create employment opportunities, including apprenticeships, in the construction industry; and

b. Shall terminate on December 31, 2024

2. To facilitate the commitments set forth in this MOU, each Local Union shall designate a HireNYC Construction Careers lead representative to work in partnership with the Mayor's Office of Workforce Development ("WKDEV") to implement these workforce and apprenticeship provisions within the union and across City construction contracts.

3. The BCTC and the BTEA shall work collaboratively with the City to reserve at least 500 new apprenticeship positions each calendar year through both the general recruitment and direct entry programs for New York City residents living in zip codes where at least 15% of the individuals in such zip code are below the federal poverty rate and NYCHA residents regardless of zip code.

4. The BCTC and BTEA shall work collaboratively with the City to reserve new apprenticeship positions each year for direct entry.

- a. New York State Department of Labor ("NYSDOL") approved Direct Entry programs may be used by sponsors of Registered Apprenticeship programs as another way to bring apprentices into their programs. It is a tool to help sponsors reach underrepresented populations. Direct Entry provides individuals who successfully complete an apprenticeship preparation program, and who meet the minimum requirements for a NYS Registered Apprenticeship program, with the direct opportunity for an interview with the**

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sponsor of a program bypassing the general recruitment scheduled for the Apprentices Programs.

5. Apprenticeship programs jointly sponsored by Local Unions and employers affiliated with the BTEA shall, subject to approval by the NYSDOL and to the extent consistent with applicable consent decrees, court orders or similar mandates, reserve up to the following percentages of their new apprenticeships (some apprentices may be counted in more than one category) for direct entry each year:
 - a. 20% for graduates of New York City public high school who have completed pre-apprenticeship training provided by The Edward J. Malloy Initiative for Construction Skills ("C-SKILLS");
 - b. 10% for veterans of the U.S. Armed Forces who are referred by New York City Helmets to Hardhats ("NYC H2H"), provided, however, that any veterans whose qualifications allow them to enter unions as journeypersons shall be counted toward the fulfillment of this percentage;
 - c. 15% for women who have completed pre-apprenticeship training provided by Nontraditional Employment for Women ("NEW");
 - d. 10% for NYCHA and Section 8 residents who have completed pre-apprenticeship training provided by C-SKILLS, NEW, the NYCHA Resident Training Academy ("NRTA"), or Pathways to Apprenticeships ("P2A");
 - e. 10% for justice-involved individuals who have completed pre-apprenticeship training provided by C-SKILLS, NEW, NRTA, or P2A; and
 - f. 5% for qualified employees of certified minority- and women-owned business enterprises and other employers not signatory to collective bargaining agreements of unions affiliated with the BCTC which become signatory to such collective bargaining agreements, provided, however, that any such employees whose qualifications allow them to enter unions as journeypersons shall be counted toward the fulfillment of this percentage.
6. To help reach the goals set forth in paragraph 3, 4, and 5, the City, the BCTC and the BTEA will work cooperatively to identify and pursue appropriate sources of public and private funds and resources, as needed, to provide pre-apprenticeship training scaled to support the goals targeting at least seven hundred (700) pre-apprenticeship positions cumulatively for all above named direct entry programs each year. The City will help coordinate recruitment within the zip codes and target populations identified in paragraphs 3, 4 and 5.
7. The goals in Paragraphs 3, 4, and 5 are aggregate goals for apprenticeship programs jointly sponsored by the Local Unions and BTEA contractors to achieve on an annual basis through their general recruitments and direct entry programs. The City recognizes that different apprenticeship programs face different circumstances and

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have varying capacities to meet the percentages set forth in each category; notwithstanding that, the BCTC and the BTEA agree to encourage and support meeting the goals in Paragraphs 3, 4, and 5, and to work with apprenticeship programs jointly sponsored by their affiliated unions and contractors to take affirmative steps to achieve that goal.

8. The City, BCTC, and BTEA acknowledge that on federally funded projects NYCHA, and the City on certain federally funded projects, must comply with Executive Order 11246 and federal regulations contained at 24 CFR Part 135 ("Section 3") regarding efforts to employ residents of NYCHA developments and other Section 3 populations.
9. The City, the BCTC, and the BTEA will jointly seek any necessary waivers from NYSDOL with respect to direct entry goals for the joint apprentice programs, as well as jointly support and encourage 100% participation of all affiliated joint apprentice programs.

10. Reporting.

- a. Each Local Union shall provide, or cause to be provided by their Apprentice Directors, copies of the following reports to WKDEV within thirty (30) days of the submission to NYSDOL:

- i. *Apprentice Training Recruitment Notification and Minimum Qualifications (AT 505)* submissions to NYSDOL;
- ii. *Apprentice Training Program Affirmative Action Plan (AT 603)* submissions to NYSDOL; and
- iii. *Apprenticeship Agreement (AT 401)* submissions to NYSDOL.

- b. Pre-apprenticeship programs funded in part by the City will provide quarterly reports, beginning at the end of the first quarter after the first class is held, to the WKDEV with detailed information as required by NYC's Workforce Common Metrics reporting for all individuals trained in all classes.

- c. On an annual basis, beginning on January 1, 2021, the City shall provide an electronic report to the BCTC that contains a list of contracts registered in the previous full fiscal year that were subject to either a City Project Labor Agreement or the Apprenticeship Directive. Such list shall contain the following for each contract:

- i. contracting agency
- ii. contract name;
- iii. prime contractor name;
- iv. registered dollar amount; and
- v. date of registration.

- d. Upon mutual agreement, the parties may modify these reporting requirements, as needed.

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11. **City of New York Apprenticeship Directive.** As a means of expanding the pool of work available to apprentices and graduates of state-approved apprenticeship programs providing opportunities to the groups of individuals designated in Paragraphs 3 and 5 above, the City states its intention to implement, as may be amended from time to time, the Directive, attached as Exhibit A. The Directive directs City agencies, for construction contracts where either (i) the cost estimate of the contract exceeds \$3 million, or (ii) the cost estimate of the contract exceeds \$2 million on a project with a cost estimate of at least \$5 million, and for such other contracts as the bidding agency determines to be appropriate, to require the contractor and any of its subcontractors with subcontracts worth at least \$2 million to have apprenticeship agreements appropriate for the type and scope of work to be performed that have been registered with, and approved by, the New York State Commissioner of Labor, and shall have passed any required probationary period and recertification established by the New York State DOL.

12. The City shall include a statement concerning the applicability of the Directive in every City Record notice of the solicitation or award of a contract for a public works project. Within five (5) days of the issuance of any waiver from the apprenticeship requirement, the City shall notify the BCTC and the BTEA, in writing or electronically, of the granting of such waiver and the reasons therefore.

13. The City, the BCTC, and the BTEA look forward to working together and with the contractor community in a spirit of cooperation and good will toward the goal that all New Yorkers from diverse backgrounds, particularly minorities, women, returning veterans, recent public high school graduates, NYCHA residents, individuals in need of economic opportunity, and justice-involved individuals, are well-prepared for participation in the workforce and can gain access to good careers in the construction industry, in both the private and public sectors.

For the City of New York

By: _____
First Deputy Mayor, Dean Fuleihan

For Building and Construction Trades Council of Greater New York and Vicinity

By: _____
Gary LaBarbera, President

For Building Trades Employers' Association of New York City

By: _____
Louis J. Coletti, President & CEO

SCHEDULE “B” - DRUG AND ALCOHOL POLICY

PREAMBLE

WHEREAS, [CONSTRUCTION MANAGER] (“Construction Manager”), for the construction project located at [PROJECT ADDRESS] (“Project”) desires to provide for a safe, drug and alcohol-free work site for the Project;

WHEREAS, the parties have entered into a separate Project Labor Agreement for the Project and have agreed to negotiate in good faith a Project Drug & Alcohol Testing Policy;

WHEREAS, this Testing Policy is collectively negotiated between the Construction Manager and the New York City Building and Construction Trades Council (“Council”) (the Construction Manager and BCTC are collectively referred to hereafter as the “Parties”);

WHEREAS, the Parties each currently have respective drug and alcohol policies, including the Projects' Zero-Tolerance policy;

WHEREAS, the Parties desire to maximize project safety conditions for the Project personnel and public, as well as deter violations of the Parties' respective drug and alcohol policies;

NOW, THEREFORE, the Parties agree to this Policy as of the date hereof,

ARTICLE 1 - PARTIES

This Drug & Alcohol Testing Policy (“Policy”) is hereby established by the Construction Manager and the Council, on behalf of itself and its affiliated local union members, and the signatory local unions on behalf of themselves and their members.

ARTICLE 2-GENERAL CONDITIONS

SECTION 2.1 - SUMMARY

In order to reinforce the Parties' respective drug and alcohol policies, including the Projects' zero tolerance policy regarding the prohibition of the use of drugs and alcohol, and to deter Project personnel from violating those policies, the Parties agree that all Project Personnel (defined later) will be required to submit to drug and/or alcohol testing randomly, post-accident, and for reasonable suspicion.

Any individual on site that violates this Policy is subject to disciplinary action, including, without limitation, loss of site access privileges.

SECTION 2.2 - REVOCATION OF PROJECT ACCESS PRIVILEGES

Any one of the following occurrences will result in the immediate revocation of a Project Personnel's project access privileges:

1. An individual is found selling or using drugs or alcohol, or otherwise is under the influence of drugs or alcohol, subject to the other terms of this Policy, on a Project Site;
2. An individual has been convicted under any criminal drug or alcohol

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statute for a violation occurring in the workplace within the past two years;

3. An individual who refuses to abide by the Projects' drug and alcohol policy, or refuses to submit to a test in accordance with this Policy;
4. An individual who switches, adulterates, or in any way tampers with a specimen required to be submitted in accordance with this Policy.

SECTION 2.3 - DEFINITIONS

Confirmed Positive Test: The presence of drugs, drug metabolites, or alcohol in a person's body that equals or exceeds the established cut off levels as defined in Exhibit 1. For drugs, the sample will have undergone Laboratory screening and confirmation testing and must have been verified as positive by a Medical Review Officer. A positive test result for alcohol obtained through Evidential Breath Testing is considered a Confirmed Positive Test.

Employee Assistance Program (EAP): An EAP is generally considered a workplace-based, confidential program designed to help employees deal effectively with a variety of personal problems, and, of relevance to this policy, substance abuse problems. The EAP promotes assessments and short-term counseling. An EAP shall also include any similar education or rehabilitation program provided by the Councilor its respective members. The Project Personnel that are required to participate in the EAP shall be responsible for the cost of their consultation with an EAP and/or participation in any education or rehabilitation program.

Evidential Breath Testing Device (EBT): A device that is used to measure alcohol in the breath and which meets National Highway Traffic Safety Administration's specifications for precision and accuracy.

Laboratory: A laboratory that is SAMHSA (Substance Abuse and Mental Health Services Administration) certified for the testing of drugs.

Medical Review Officer (MRO): A licensed physician responsible for receiving laboratory results generated by an employer's drug testing plan who has knowledge of substance abuse disorders and medical training to interpret and evaluate a donor's confirmed positive test result together with his/her medical history and all other relevant information.

Previous Worker: All individuals whose employment relationship with the contractor, company or organization no longer exists.

Project Site: The construction area for respective Project.

Reasonable Suspicion: When a qualified trade contractor, the Developer or Construction Manager as set forth in Section 3.7, reasonably believes that an individual has violated this Policy. Reasonable suspicion is based upon (1) specific, current, behavioral or performance indicators, (2) the possible manufacture, distribution, consumption or possession of unauthorized drugs, drug paraphernalia, or alcohol, or (3) documented investigation by an agency retained by, or otherwise independent from, the Developer or Construction Manager.

SECTION 2.4 - INCLUDED SUBJECTS

This Policy shall cover all employees of the Owner, Construction Manager and Project

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trade contractors, their subcontractors and any other of their respective personnel at any level that are performing any activity at a Project Site, inclusive of managers, superintendents and supervisors, except as specifically excluded by Section 2.5 of this Policy (collectively and singularly, "Project Personnel").

SECTION 2.5 - EXCLUDED SUBJECTS

The following persons are not subject to the provisions of this Policy:

- A. Employees and entities engaged in off-site manufacture, modifications, repair, maintenance, assembly, painting, handling or fabrication of components, materials, equipment or machinery;
- B. Vendors and employees of vendors engaged on a Project Site in equipment testing, inspection, training, warranty work, or engaged in corrections of defective or nonconforming work, unless such employees are expressly included in the bargaining unit of a local signatory to this Agreement;
- C. Employees engaged in ancillary work on a Project which is performed by third parties, such as electric utilities, gas utilities, telephone companies, and railroads, or any other work not constituting Project work;
- D. Employees of any governmental authority (state, local or otherwise);
- E. Employees and contractors engaged in work on the Project Site as part of due diligence or monitoring, which work is ancillary to Project work; and
- F. Emergency responders.

SECTION 2.6 - PRESCRIPTION AND NON-PRESCRIPTION DRUGS

The use of prescription drugs not prescribed directly to Project Personnel is prohibited, including the use of drugs prescribed to a spouse or domestic partner. The use of non-prescription drugs that are sold outside the United States and that contain substances that are illegal or require a prescription in the United States are prohibited, unless prescribed by a licensed physician.

SECTION 2.7 - SEARCHES

In order for the Construction Manager to ensure the safety of Project Personnel and for the Construction Manager to protect its assets, the Construction Manager shall have the right upon good cause (such as reasonable suspicion of a violation of this Policy) to conduct reasonable searches for alcohol, drugs and related paraphernalia anywhere within the boundaries of a Project Site. A search may include any assets owned or leased by any Project Personnel that is on a Project Site, including without limitation, vehicles, lockers, gang boxes, desks and personal property brought onto a Project Site, but excluding personal body searches or physical contact with employees.

ARTICLE 3 - DRUG & ALCOHOL TESTING

SECTION 3.1 - COLLECTION PROCESS

As of the execution date of this PLA, Project Personnel may be required to submit urine samples ("Preliminary Drug Screening") for the purpose of detecting the presence of drugs as part of the random, post-accident or reasonable suspicion testing, in accordance with

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chain of custody protocols as established by Substance Abuse and Mental Health Services Administration (SAMHSA), utilizing an instant result test cup for Preliminary Drug Screenings, such testing is to be performed on-site by an independent service provider. The results from the instant result test cup will be considered preliminary. The sample will be sent to a SAMHSA certified testing laboratory for confirmation.

As of the date hereof, all Project Personnel will be required to submit to an Evidential Breath Test (EBT) for the purpose of detecting the presence of alcohol when submitting to random, post-accident or reasonable suspicion testing. Alcohol testing will not be conducted for pre-access testing.

SECTION 3.2 - NEGATIVE PRELIMINARY DRUG SCREENING

Project Personnel with a negative Preliminary Drug Screening will be considered conditionally accepted for Project site access, pending confirming laboratory results. Site access privileges will be revoked if the subsequent laboratory results determine that the sample has tested positive for drugs or that the sample has been adulterated.

SECTION 3.3 POSITIVE PRELIMINARY DRUG SCREENING

If the Preliminary Drug Screening indicates a positive result, the individual will not be allowed access to the Project Site. The sample will be sent to the certified laboratory for analysis and, if applicable, reviewed by the Medical Review Officer (MRO). If the laboratory confirmation results are also positive, the individual will be considered in violation of this Policy and their site access will be revoked for at least 30 days. If the laboratory confirmation results are negative, the Project Personnel's site access will not be revoked.

SECTION 3.4 CONFIRMED POSITIVE TEST RESULTS

A. POSITIVE DRUG TEST

A drug test is considered positive if the test results exceed the limits shown in Exhibit 1, which is attached hereto and incorporated herein by reference. The test will be confirmed through a second analysis process and reviewed by an MRO before results are reported. Project Personnel with confirmed positive drug test results will have their site access revoked. In case of a "false positive" result, any such Personnel shall be entitled to the reimbursement of any wages lost during the suspension caused by any such false positive result.

B. POSITIVE EBT

An EBT is considered positive if the test results exceed .04 BrAC, or as otherwise set forth in Exhibit 1. Project Personnel with a positive alcohol test result will be subject to the remedies set forth in Exhibit 1.

C. REINSTATEMENT OF SITE ACCESS PRIVILEGES

(a) Subject to section 3.4(C)(a) immediately below, if the site access of a Project Personnel has been revoked pursuant to this Policy, then any such person may request that their site access be reinstated after 30 days, provided that all of the following conditions are met to the reasonable satisfaction of the Construction Manager. :

1. The individual has provided proof of wellness from an accredited rehabilitation

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facility or has provided proof that treatment isn't needed as attested to by a licensed health care provider specializing in the diagnosis and treatment of alcohol and drug abuse.

2. A current drug and alcohol test is obtained within three (3) days of the request for re-access to the site and proof of a negative test result has been received; and
3. The individual agrees to submit to multiple testing for two (2) full years from the date of gaining re-access to the project, the scheduling of which will be determined at the sole discretion of the Construction Manager. If all of these conditions have been met, the Construction Manager agrees that it will not unreasonably withhold their consent to any such request.

(b) Unlawful possession, concealment, use, purchase, sale, manufacture, dispensation or distribution of illegal drugs or un-prescribed controlled substances on the Project site will subject the Project Personnel Employee to immediate removal from the Project site and shall bar such Project Personnel Employee from returning for a minimum of three (3) months, which return shall, in any event, be subject to the reasonable approval by Construction Manager.

(c) All of the Parties agree that any such Project Personnel will only be entitled to any such reinstatement of site access privileges one time and that any subsequent violation of this Policy will result in the permanent termination of access to the Project Site.

SECTION 3.5 - RANDOM TESTING

A third-party provider designated by the Construction Manager will randomly select by an objective criteria a testing pool for random drug and/or alcohol testing from all Project Personnel with site access cards. Any individual selected for a random drug and/or alcohol test will be required to submit to an Evidential Breath Test (EBT) and/or drug test. Individuals may be tested more than once during any given time period. The Parties acknowledge and agree that an EBT may be required without a drug test and that a drug test may be required without an EBT, as solely determined by the Construction Manager.

If an individual is unable to attend the first scheduled random drug test as a result of being involved in a work-related task, such drug test will be rescheduled and will be completed at or before the conclusion of such employee's then current work shift. If the second drug test is missed for any reason, the incident will be reviewed by the Construction Manager, who shall have the right to terminate the site access privileges of any such Project Personnel until such time as that Project Personnel has complied with this Policy. If the individual refuses to take the test, their access privileges will be immediately terminated for cause.

SECTION 3.6 - POST ACCIDENT TESTING

After each work-related incident or injury requiring the services of a licensed health care provider, all Project Personnel involved with the incident will be required to submit to a drug and/or alcohol test immediately following the incident. In instances where emergency care is necessary, the drug and/or alcohol test shall be obtained by the care facility, if possible, within 24 hours after treatment is rendered. If more than 48 hours have passed before an injury is reported and treated by a licensed health care provider, an alcohol test will not be required.

In addition, any Project Personnel involved in a non-injury related incident at a Project Site

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with damages at or in excess of \$200 will be required to submit to a drug and/or alcohol test unless:

- A. It is determined, after conducting an investigation and interviewing all employees involved and any witnesses, that the employee's performance can be completely discounted as a contributing factor to the incident; or
- B. It is determined, after conducting an incident investigation and interviewing all employees and any witnesses that the incident was caused by inadequate equipment or system design, and/or premature failure of equipment or system components.

SECTION 3.7 - REASONABLE SUSPICION TESTING

All Project Personnel will be required to submit to a drug and/or alcohol test when there is reasonable suspicion the individual has violated this policy.

Reasonable suspicion includes, without limitation, the following:

- A. Violent or irrational behavior;
- B. Emotional or physical unsteadiness;
- C. Sensory or motor-skill malfunctions;
- D. Slurred speech;
- E. The odor of alcohol or drugs on clothing or breath in conjunction with other indicators;
- F. Possession of alcohol, unauthorized drugs or drug paraphernalia; or
- G. Documented evidence of an independent investigation regarding Project Personnel's consumption of what is reasonably believed to be an alcoholic beverage or drugs in violation of the Project's policies and/or this Policy.

Reasonable suspicion testing may only be ordered by supervisory personnel that: (a) have been trained to recognize the above referenced factors; or (b) have received credible documentary evidence from an independent investigator that a Project Personnel has violated a drug and/or alcohol policy. It is agreed that any certified training program shall satisfy the training requirement.

SECTION 3.8 - PRIVACY CONSIDERATIONS

The Parties agree to use reasonable efforts to conduct any testing pursuant to this Policy in accordance with the privacy concerns of Project Personnel. To address these concerns, the Parties agree that:

1. The testing station(s) shall be screened off, or otherwise closed off from public view.
2. All documents and information regarding the testing, including test results, shall be maintained by the respective custodian(s) of record in accordance with their respective privacy policies, which any Project Personnel shall be entitled to review upon timely request.

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- 3. The Parties agree to make a good faith effort to resolve any other privacy concern of Project Personnel regarding this Policy, provided that any such concerns do not interfere with the purpose of this Policy.

ARTICLE 4 – GRIEVANCE

SECTION 4.1 - REPRESENTED WORKERS

Nothing in this Policy shall restrict a member of a signatory local union from filing a grievance in accordance with the member's collective bargaining agreement or a Project Labor Agreement, provided that the grievance shall be limited to whether the removal of a member for violation of this Policy was conducted in compliance with the terms and conditions set forth herein.

SECTION 4.2 - HOLD HARMLESS

The Construction Manager agrees to hold harmless and indemnify the Union/Council and its representatives from any liability that may be incurred as a result of the Company’s Drug and Alcohol Policy to the extent caused by the negligence or intentional misconduct of the Construction Manager.

IN WITNESS WHEREOF the parties have agreed to this Policy as of _____, 20__.

FOR [CONSTRUCTION MANAGER]

By: _____

Name: [INSERT NAME]_____

Title: [INSERT TITLE]_____

FOR GREATER NEW YORK CITY BUILDING TRADES COUNCIL

By: _____

Name: Gary LaBarbera _____

Title: President

EXHIBIT 1

CLASS OF DRUGS TESTED AND THEIR RESPECTIVE CUT-OFF LIMITS

The cut-off limits established are those recommended by the U.S. Department of Health and Human Services in their mandatory Guidelines for Federal Workplace Drug Testing Programs.

<u>Drug Class</u>	<u>Screening Cut-Off Limit (ng/ml)</u>	<u>Confirmation Cut-off Limit (ng/ml)</u>
Amphetamines	1000	500
Benzoylcegonine (Cocaine Metabolite)	300	150
Cannabinoids (THC)	50	15
*Opiates	2000	10
Phencyclidine (PCP)	25	25

Confirmation screening is done by means of GC/MS analysis.

*The GC/MS confirmation for opiates will be for both codeine and morphine separately. If morphine is equal to or greater than 2,000ng/ml then the GC/MS confirmation analysis for 6- acetylmorphine (6-MAM) is at a cut-off level of 10ng/ml.

Alcohol Screening

All Project Personnel will be required to submit to an EBT under the random, post-accident, and reasonable suspicion test arenas, for the purpose of detecting presence of alcohol. If this test supports a positive result for presence of alcohol, the Project Personnel will be considered in violation of this Policy.

If the results of the EBT are:

1. Above 0.001 BrAC, but at or below 0.020 BrAC, a second test will be conducted within approximately 15 minutes.
 - If the second BrAC test is less than the first BrAC, the results will be deemed negative and the Project Personnel may return to work, if there are no other outstanding issues.
 - If the second BrAC is increasing, but below 0.04 BrAC, the results will be deemed negative, but the Project Personnel will be sent home for the day and the Construction Manager shall be notified. If a Project Personnel is sent home two times within a six-month period pursuant to this Section I, then any such Project Personnel shall be deemed to have tested positive and will be subject to the applicable remedies set forth in Section 2 below.
2. Above 0.02 BrAC, but below 0.06 BrAC, a second test will be conducted after approximately 15 minutes.

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- Notwithstanding anything set forth above to the contrary, a Project Personnel may elect to voluntarily go home for the day instead of taking a second test and the results will be deemed negative, provided that any such Project Personnel may not voluntarily go home more than once within a twelve month period.
 - If the second BrAC test is at or below 0.02 BrAC, the results will be deemed negative and the Project Personnel may return to work if there are no other outstanding issues.
 - If the second BrAC test is above 0.020, but below 0.06, the results will be deemed positive, the Project Personnel will be sent home for the day and their site access will be revoked for at least five [5] calendar days and until such time as the Project Personnel has been evaluated by an EAP professional skilled in substance abuse and confirmed fit for duty.
 - Any Project Personnel who is deemed positive two times within two years pursuant to this Section 2 will have their site access privileges terminated and will be entitled to the limited relief set forth in Section 3 .4(c) of the Policy.
3. At or above .06 BrAC, the Project Personnel will have their site access privileges terminated, after which they will be entitled to the limited relief set forth in Section 3.4(C) of the Policy.

CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
INFORMATION FOR BIDDERS
December 2021

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CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
INFORMATION FOR BIDDERS

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1. Description and Location of Work

The description and location of the work for which bids are requested are specified in the PASSPort RfX field "Description".

2. Time and Place for Receipt of Bids

Sealed bids shall be received on or before the date and hour specified in the PASSPort RfX, at which time they will be publicly opened and read aloud in the presence of the Commissioner or the Commissioner's or her representative, and any bidders who may desire to be present.

3. Definitions

The definitions set forth in the Procurement Policy Board Rules shall apply to this Invitation For Bids.

4. Invitation For Bids and Contract Documents

(A) Except for titles, sub-titles, headings, running headlines, tables of contents and indices (all of which are printed herein merely for convenience) the following, except for such portions thereof as may be specifically excluded, shall be deemed to be part of the Contract and the Invitation for Bids.

- (1) All provisions required by law to be inserted in this Contract, whether actually inserted or not
- (2) The Contract Drawings and Specifications
- (3) The General Conditions, the General Requirements and the Special Conditions, if any
- (4) The Contract
- (5) The Information for Bidders; Request for Proposals; Notice of Solicitation and Proposal For Bids; Bid or Proposal, and the Bid Booklet
- (6) The Budget Director's Certificate; all Addenda issued prior to the receipt of the bids; the Notice of Award; Performance and Payment Bonds, if required; and the Notice to Proceed with the Work.

(B) For particulars as to this procurement, including quantity and quality of the purchase, extent of the work or labor to be performed, delivery and performance schedule, and any other special instructions, prospective bidders are referred to the Invitation For Bids Documents. A copy of such documents can be obtained in the PASSPort RfX.

5. Pre-Bid Conference

A pre-bid conference shall be held as set forth in the PASSPort RfX. Nothing stated at the pre-bid conference shall change the terms or conditions of the Invitation For Bids Documents, unless a change is made by written amendment as provided in Section 9 below. Failure to attend a mandatory pre-bid conference shall constitute grounds for the rejection of the bid.

6. Agency Contact

Any questions or correspondence relating to this bid solicitation shall be addressed to the Agency Contact person specified in the PASSPort RfX.

7. Bidder's Oath

- (A) The bid shall be properly signed by an authorized representative of the bidder and the bid shall be verified by the written oath of the authorized representative who signed the bid, that the several matters stated and information furnished therein are in all aspects true.
- (B) A materially false statement willfully or fraudulently made in connection with the bid or any of the forms completed and submitted with the bid may result in the termination of any Contract between the City and the Bidder. As a result, the Bidder may be barred from participating in future City contracts as well as be subject to possible criminal prosecution.

8. Examination and Viewing of Site, Consideration of Other Sources of Information and Changed Conditions

- (A) Pre-Bidding (Investigation) Viewing of Site - Bidders must carefully view and examine the site of the proposed work, as well as its adjacent area, and seek other usual sources of information, for they will be conclusively presumed to have full knowledge of any and all conditions on, about or above the site relating to or affecting in any way the performance of the work to be done under the Contract which were or should have been indicated to a reasonably prudent bidder. To arrange a date for visiting the work site, bidders are to contact the Agency Contact person specified in The PASSPort RFx.
- (B) Should the contractor encounter during the progress of the work subsurface conditions at the site materially differing from any shown on the Contract Drawings or indicated in the Specifications or such subsurface conditions as could not reasonably have been anticipated by the contractor and were not anticipated by the City, which conditions will materially affect the cost of the work to be done under the Contract, the attention of the Commissioner must be called immediately to such conditions before they are disturbed. The Commissioner shall thereupon promptly investigate the conditions. If the Commissioner finds that they do so materially differ, or that they could not reasonably have been anticipated by the contractor and were not anticipated by the City, the Contract may be modified with the Commissioner's written approval.

9. Examination of Proposed Contract

- (A) Request for Interpretation or Correction: Prospective bidders must examine the Contract Documents carefully and before bidding must request the Commissioner in writing for an interpretation or correction of every patent ambiguity, inconsistency or error therein which should have been discovered by a reasonably prudent bidder. Such interpretation or correction, as well as any additional contract provisions the Commissioner may decide to include, will be issued in writing by the Commissioner as an addendum to the Contract, which will be transmitted to each person recorded as having received a copy of the Contract Documents from the Department. Transmission of such addendum will be by mail, e-mail, facsimile or hand delivery. Such addendum will also be posted at the place where the Contract Documents are available for the inspection of prospective bidders. Upon transmission as provided for herein, such addendum shall become a part of the Contract Documents, and binding on all bidders, whether or not actual notice of such addendum is shown.
- (B) Only Commissioner's Interpretation or Correction Binding: Only the written interpretation or correction so given by the Commissioner shall be binding, and prospective bidders are warned that no other officer, agent or employee of the City is authorized to give information concerning, or to explain or interpret, the Contract.
- (C) Documents given to a subcontractor for the purpose of soliciting the subcontractor's bid shall include either a copy of the bid cover sheet or a separate information sheet setting forth the project name, the Contract number (if available), the contracting agency and the Project's location.

10. Form of Bid

Each bid must be submitted upon the prescribed form and must contain: a) the name, residence and place of business of the person or persons making the same; b) the names of all persons interested therein, and if no other person is so interested, such fact must be distinctly stated; c) a statement to the effect that it is made without any connection with any other person making a bid for the same purpose and that it is in all respects fair and without collusion or fraud; d) a statement that no Council member or other officer or employee or person whose salary is payable in whole or part from the City Treasury is directly or indirectly interested therein or in the supplies, materials or equipment and work or labor to which it relates, or in any portion of the profits thereof; e) a statement that the bidder is not in arrears to the City or to any agency upon a debt or contract or taxes, and is not a defaulter as surety or otherwise upon any obligation to the City to any agency thereof, except as set forth in the bid.

THE BID SHALL BE TYPEWRITTEN OR WRITTEN LEGIBLY IN INK. THE BID SHALL BE SIGNED IN INK. ERASURES OR ALTERATIONS SHALL BE INITIALED BY THE SIGNER IN INK. FAILURE TO CONFORM TO THE REQUIREMENTS OF THIS SECTION 10 SHALL RESULT IN THE REJECTION OF THE BID.

11. Irrevocability of Bid

The prices set forth in the bid cannot be revoked and shall be effective until the award of the Contract, unless the bid is withdrawn as provided for in Sections 15 and 18 below.

12. Acknowledgment of Amendments

The receipt of any amendment to the Contract Documents shall be acknowledged by the bidder in its bid submission.

13. Bid Samples and Descriptive Literature

Bid samples and descriptive literature shall not be submitted by the bidder, unless expressly requested elsewhere in the Contract or Contract Documents. Any unsolicited bid samples or descriptive literature which are submitted shall not be examined or tested and shall not be deemed to vary any of the provisions of this Contract.

14. Proprietary Information/Trade Secrets

- (A) The bidder shall identify those portions of the bid which it deems to be confidential, proprietary information or trade secrets, and provide justification why such materials shall not be disclosed by the City. All such materials shall be clearly indicated by stamping the pages on which such information appears, at the top and bottom thereof with the word "Confidential". Such materials stamped "Confidential" must be easily separable from the non-confidential sections of the bid.
- (B) All such materials so indicated shall be reviewed by the Agency and any decision not to honor a request for confidentiality shall be communicated in writing to the bidder. For those bids which are unsuccessful, all such confidential materials shall be returned to the bidder. Prices, makes and model or catalog numbers of the items offered, deliveries, and terms of payment shall be publicly available after bid opening, regardless of any designation of confidentiality made by the bidder.

15. Pre-Opening Modification or Withdrawal of Bids

Bids may be modified or withdrawn by written notice received in the office designated in the PASSPort RFx, before the time and date set for the bid opening. If a bid is withdrawn in accordance with this Section, the bid security, if any, shall be returned to the bidder.

16. Bid Evaluation and Award

In accordance with the New York City Charter, the Procurement Policy Board Rules and the terms and conditions of this Invitation For Bids, this Contract shall be awarded, if at all, to the responsible bidder whose bid meets the requirements and evaluation criteria set forth in the Invitation For Bids, and whose bid price is either the most favorable bid price or, if the Invitation For Bids so states, the most favorable evaluated bid price. A bid may not be evaluated for any requirement or criterion that is not disclosed in the Invitation For Bids.

Restriction: No negotiations with any bidder shall be allowed to take place except under the circumstances and in the manner set forth in Section 21. Nothing in this Section shall be deemed to permit a contract award to a bidder submitting a higher quality item than that designated in the Invitation For Bids, if that bid is not also the most favorable bid.

17. Late Bids, Late Withdrawals and Late Modifications

Any bid received at the place designated in the solicitation after the time and date set for receipt of bids is late and shall not be considered. Any request for withdrawal or modification received at the place designated in the solicitation after the time and date set for receipt of bids is late and shall not be considered. The exception to this provision is that a late modification of a successful bid that makes the bid terms more favorable to the City shall be considered at any time it is received.

18. Withdrawal of Bids.

Except as provided for in Section 15, above, a bidder may not withdraw its bid before the expiration of forty-five (45) days after the date of the opening of bids; thereafter, a bidder may withdraw its bid only in writing and in advance of an actual award. If within sixty (60) days after the execution of the Contract, the Commissioner fails to fix the date

for commencement of work by written notice to the bidder, the bidder at the bidder's option, may ask to be relieved of the bidder's obligation to perform the work called for by written notice to the Commissioner. If such notice is given to the Commissioner, and the request to withdraw is granted, the bidder waives all claims in connection with this Contract.

19. Mistake in Bids

(A) Mistake Discovered Before Bid Opening: A bidder may correct mistakes discovered before the time and date set for bid opening by withdrawing or correcting the bid as provided in Section 15 above.

(B) Mistakes Discovered Before Award

(1) In accordance with General Municipal Law (Section 103, subdivision 11), where a unilateral error or mistake is discovered in a bid, such bid may be withdrawn upon written approval of the Agency Chief Contracting Officer if the following conditions are met:

(a) The mistake is known or made known to the agency prior to the awarding of the Contract or within 3 days after the opening of the bid, whichever period is shorter; and

(b) The price bid was based upon an error of such magnitude that enforcement would be unconscionable; and

(c) The bid was submitted in good faith and the bidder submits credible evidence that the mistake was a clerical error as opposed to a judgment error; and

(d) The error in the bid is actually due to an unintentional and substantial arithmetic error or an unintentional omission of a substantial quantity of work, labor, material or services made directly in the compilation of the bid, which unintentional arithmetic error or unintentional omission can be clearly shown by objective evidence drawn from inspection of the original work paper, documents, or materials used in the preparation of the bid sought to be withdrawn; and

(e) It is possible to place the agency in the same position as existed prior to the bid.

(2) Unless otherwise required by law, the sole remedy for a bid mistake in accordance with this Article shall be withdrawal of the bid, and the return of the bid bond or other security, if any, to the bidder. Thereafter, the agency may, in its discretion, award the Contract to the next lowest bidder or rebid the Contract. Any amendment to or reformation of a bid or a Contract to rectify such an error or mistake therein is strictly prohibited.

(3) If the mistake and the intended correct bid are clearly evident on the face of the bid document, the bid shall be corrected to the intended correct bid and may not be withdrawn. Examples of mistakes that may be corrected are typographical errors, errors in extending unit prices, transposition errors and arithmetical errors.

20. Low Tie Bids

(A) When two or more low responsive bids from responsible bidders are identical in price, meeting all the requirements and criteria set forth in the Invitation For Bids, the Agency Chief Contracting Officer will break the tie in the following manner and order of priority:

(1) Award to a certified New York City small, minority or woman-owned business entity bidder;

(2) Award to a New York City bidder;

(3) Award to a certified New York State small, minority or woman-owned business bidder;

(4) Award to a New York State bidder.

(B) If two or more bidders still remain equally eligible after application of paragraph (A) above, award shall be made by a drawing by lot limited to those bidders. The bidders involved shall be invited to attend the drawing. A witness shall be present to verify the drawing and shall certify the results on the bid tabulation sheet.

21. Rejection of Bids

- (A) **Rejection of Individual Bids**: The Agency may reject a bid if:
- (1) The bidder fails to furnish any of the information required pursuant to Section 24 or 28 hereof; or if
 - (2) The bidder is determined to be not responsible pursuant to the Procurement Policy Board Rules; or if
 - (3) The bid is determined to be non-responsive pursuant to the Procurement Policy Board Rules; or if
 - (4) The bid, in the opinion of the Agency Chief Contracting Officer, contains unbalanced bid prices and is thus non-responsive, unless the bidder can show that the prices are not unbalanced for the probable required quantity of items, or if the imbalance is corrected pursuant to Section 15.
- (B) **Rejection of All Bids**: The Agency, upon written approval by the Agency Chief Contracting Officer, may reject all bids and may elect to resolicit bids if in its sole opinion it shall deem it in the best interest of the City so to do.
- (C) **Rejection of All Bids and Negotiation With All Responsible Bidders**: The Agency Head may determine that it is appropriate to cancel the Invitation For Bids after bid opening and before award and to complete the acquisition by negotiation. This determination shall be based on one of the following reasons:
- (1) All otherwise acceptable bids received are at unreasonable prices, or only one bid is received and the Agency Chief Contracting Officer cannot determine the reasonableness of the bid price, or no responsive bid has been received from a responsible bidder; or
 - (2) In the judgment of the Agency Chief Contracting Officer, the bids were not independently arrived at in open competition, were collusive, or were submitted in bad faith.
- (B) When the Agency has determined that the Invitation for Bids is to be canceled and that use of negotiation is appropriate to complete the acquisition, the contracting officer may negotiate and award the Contract without issuing a new solicitation, subject to the following conditions:
- (1) prior notice of the intention to negotiate and a reasonable opportunity to negotiate have been given by the contracting officer to each responsible bidder that submitted a bid in response to the Invitation for Bids;
 - (2) the negotiated price is the lowest negotiated price offered by a responsible bidder; and
 - (3) the negotiated price is lower than the lowest rejected bid price of a responsible bidder that submitted a bid in response to the Invitation for Bids.

22. Right to Appeal Determinations of Non-Responsiveness or Non-Responsibility and Right to Protest Solicitations and Award

The bidder has the right to appeal a determination of non-responsiveness or non-responsibility and has the right to protest a solicitation and award. For further information concerning these rights, the bidder is directed to the Procurement Policy Board Rules.

23. Affirmative Action and Equal Employment Opportunity

This Invitation For Bids is subject to applicable provisions of Federal, State and Local Laws and executive orders requiring affirmative action and equal employment opportunity.

24. PASSPort COMPLIANCE

All vendors that intend to do business with the City of New York must complete a disclosure process in order to be considered for a contract. This disclosure process was formerly completed using Vendor Information Exchange System (VENDEX) paper-based forms. The City of New York has moved collection of vendor disclosure information online. In early August 2017, the New York City Mayor's Office of Contract Services (MOCS) launched the Procurement and Sourcing Solutions Portal (PASSPort), a new online procurement system that replaced the paper-VENDEX process. In anticipation of awards, all bidders must create online accounts in the new PASSPort system, and

file all disclosure information using PASSPort. Paper submissions, including certifications of no changes to existing VENDEX packages, will not be accepted in lieu of complete online filings using PASSPort.

All vendors that intend to do business with the City, but specifically those that fall into any of the following categories, are required to enroll:

- Have a pending award with a City Agency; or
- Hold a current contract with a City Agency and have either an expiring VENDEX or expiring Certificate of No Change.

The Department of Design and Construction (DDC) and MOCS hereby notifies all proposers that the PASSPort system is available, and that disclosure filing completion is required prior to any award through this competitive bid.

To enroll in PASSPort and to access the PASSPort website (including online training), please visit www.nyc.gov/passport. Contact MOCS at passport@mocs.nyc.gov for additional information and technical support.

25. Complaints About the Bid Process

The New York City Comptroller is charged with the audit of contracts in New York City. Any vendor who believes that there has been unfairness, favoritism or impropriety in the bid process should inform the Comptroller, Office of Contract Administration, One Centre Street, Room 835, New York, New York; telephone number (212)669-2323.

26. Bid, Performance and Payment Security

(A) Bid Security: Each bid must be accompanied by bid security in an amount and type specified in the PASSPort RFX questionnaire. The bid security shall assure the City of New York of the adherence of the bidder to its proposal, the execution of the Contract, and the furnishing of Performance and Payment Bonds by the bidder, if required in the PASSPort RFX. Bid security shall be returned to the bidder as follows:

- (1) Within ten (10) days after the bid opening, the Comptroller will be notified to return the deposits of all but the three (3) lowest bidders. Within five (5) days after the award, the Comptroller will be notified to return the deposits of the remaining two unsuccessful bidders.
- (2) Within five (5) days after the execution of the Contract and acceptance of the Contractor's bonds, the Comptroller will be notified to return the bid security of the successful bidder or, if performance and payment bonds are not required, only after the sum retained under Article 21 of the Contract equals the amount of the bid security.
- (3) Where all bids are rejected, the Comptroller will be notified to return the deposit of the three (3) lowest bidders at the time of rejection.

(B) Performance and Payment Security: Performance and Payment Security must be provided in an amount and type specified in the PASSPort RFX. The performance and payment security shall be delivered by the contractor prior to or at the time of execution of the Contract. If a contractor fails to deliver the required performance and payment security, its bid security shall be enforced, and an award of Contract may be made to the next lowest responsible and responsive bidder, or the contract may be rebid.

(C) Acceptable Types of Security: Acceptable types of security for bids, performance, and payment shall be limited to the following:

- (1) a one-time bond in a form satisfactory to the City;
- (2) a bank certified check or money order;
- (3) obligations of the City of New York; or
- (4) other financial instruments as determined by the Office of Construction in consultation with the Comptroller.

Whenever the successful bidder deposits obligations of the City of New York as performance and payment security, the Comptroller may sell and use the proceeds thereof for any purpose for which the principal or

surety on such bond would be liable under the terms of the Contract. If the money is deposited with the Comptroller, the successful bidder shall not be entitled to receive interest on such money from the City.

- (D) Form of Bonds: Security provided in the form of bonds must be prepared on the form of bonds authorized by the City of New York. Forms for bid, performance, and payment bonds are included in the Invitation for Bids Documents. Such bonds must have as surety thereunder such surety company or companies as are: (1) approved by the City of New York; (2) authorized to do business in the State of New York, and (3) approved by the Department of the Treasury of the United States. Premiums for any required bonds must be included in the base bid.

The bidder is advised that submission of a bid bond where the surety on such bond fails to meet the criteria set forth herein, shall result in the rejection of the bid as non-responsive.

The Department of the Treasury of the United States advises that information concerning approved surety companies may be obtained as follows: (1) from the Government Printing Office at 215-364-6465; (2) through the Internet at <https://www.fiscal.treasury.gov/surety-bonds/>.

- (E) Power of Attorney: Attorneys in fact who sign bid, performance, or payment bonds must file with each bond a certified copy of their power of attorney to sign said bonds.

27. Failure to Execute Contract

In the event of failure of the successful bidder to execute the Contract and furnish the required security within ten (10) days after notice of the award of the Contract, the deposit of the successful bidder or so much thereof as shall be applicable to the amount of the award made shall be retained by the City, and the successful bidder shall be liable for and hereby agrees to pay on demand the difference between the price bid and the price for which such Contract shall be subsequently awarded, including the cost of any required reletting and less the amount of such deposit. No plea of mistake in such accepted bid shall be available to the bidder for the recovery of the deposit or as a defense to any action based upon such accepted bid. Further, should the bidder's failure to comply with this Section cause any funding agency, body or group (Federal, State, City, Public, Private, etc.) to terminate, cancel or reduce the funding on this project, the bidder in such event shall be liable also to the City for the amount of actual funding withdrawn by such agency on this project, less the amount of the forfeited deposit.

28. Bidder Responsibilities and Qualifications

- (A) Bidders must include with their bids all information necessary for a determination of bidder responsibility, as set forth in the Specifications.
- (B) The Agency may require any bidder or prospective bidder to furnish all books of account, records, vouchers, statements or other information concerning the bidder's financial status for examination as may be required by the Agency to ascertain the bidder's responsibility and capability to perform the Contract. If required, a bidder must also submit a sworn statement setting forth such information as the Agency may require concerning present and proposed plant and equipment, the personnel and qualifications of the bidder's working organizations, prior experience and performance record.
- (C) Oral Examination on Qualifications: In addition thereto, and when directed by the Agency, the bidder, or a responsible officer, agent or employee of the bidder, must submit to an oral examination to be conducted by the Agency in relation to the bidder's proposed tentative plan and schedule of operations, and such other matters as the Agency may deem necessary in order to determine the bidder's ability and responsibility to perform the work in accordance with the Contract. Each person so examined must sign and verify a stenographic transcript of such examination noting thereon such corrections as such person may desire to make.
- (D) If the bidder fails or refuses to supply any of the documents or information set forth in paragraph (B) hereof or fails to comply with any of the requirements thereof, the Agency may reject the bid.

29. Employment Report

In accordance with Executive Order No. 50 (1980) as modified by Executive Order 108 (1986), the filing of a

completed Employment Report (ER) is a requirement of doing business with the City of New York for construction contractors with contracts of \$1,000,000 or more and subcontractors with construction subcontracts of \$750,000 or more. The required forms and information are included in the PASSPort Vendor Profile.

30. Labor Law Requirements

- (A) General: The successful bidder will be required to comply strictly with all Federal, State and local labor laws and regulations.
- (B) New York State Labor Law: This Contract is subject to New York State Labor Law Section 220, which requires that construction workers on the site be paid prevailing wages and supplements. The Contractor is reminded that all wage provisions of this Contract will be enforced strictly and failure to comply will be considered when evaluating performance. Noncompliance may result in the contractor being debarred by the City from future contracts. Complaints filed with the Comptroller may result in decisions which may debar a contractor from bidding contracts with any state governmental entity and other political subdivisions.
- (C) Records: The Contractor is expected to submit accurate payroll reports and other required documents and verify attendance and job classifications being utilized in compliance with the law, Contract provisions and agency procedures.

31. Insurance

- (A) Bidders are advised that the insurance requirements contained herein are regarded as material terms of the Contract. As required by Article 22 of the Contract, the contractor must effect and maintain with companies licensed and authorized to do business in the State of New York, the types of insurance set forth therein, when required by and in the amounts set forth in Schedule A of the General Conditions. Such required insurance must be provided from the date the contractor is ordered to commence work and up to the date of final acceptance of all required work.
- (B) The contractor must, within ten days of receipt of the notice of award, submit the following insurance documentation: (a) original certificate of insurance for general liability in the amount required by Schedule A of the General Conditions, and (b) original certificates of insurance or other proof of coverage for workers' compensation and disability benefits, as required by Section 57 of the New York State Workers' Compensation Law and Section 220 of the Disability Benefits Law.

32. Lump Sum Contracts

- (A) Comparison of Bids: Bids on Lump Sum Contracts will be compared on the basis of the lump sum price bid, adjusted for alternate prices bid, if any.
- (B) Lump Sum Bids for "General Construction Work" which include excavation shall include all necessary excavation work defined in the Specifications as being included in the lump sum bid. The bidder shall also bid a unit price for the additional cost of excavating material which is defined in the Specifications as excavation for which additional payment will be made. The total estimated additional cost of removing such material will be taken as the quantity set forth in the Engineer's Estimate multiplied by the unit price bid. This total estimated cost of additional excavation shall be added to the lump sum bid for the General Construction Work for the purpose of comparing bids to determine the low bidder.
- (C) Variations from Engineer's Estimate: The Engineer's Estimate of the quantity of excavation for which additional payment will be made is approximate only and is given solely to be used as a uniform basis for the comparison of bids and such estimate is not to be considered as part of this contract. The quantities actually required to complete the contract work may be more or less than the quantities in the Engineer's Estimate and, if so, no action for damages or for loss of profits shall accrue to the contractor by reason thereof.

33. Unit Price Contracts

- (A) Comparison of Bids: Bids on Unit Price Contracts will be compared on the basis of a total estimated price,

arrived at by taking the sum of the estimated quantities of such items, in accordance with the Engineer's Estimate of Quantities set forth in the Bid Schedule, multiplied by the corresponding unit prices, and including any lump sum bids on individual items.

(B) Variations from Engineer's Estimate: Bidders are warned that the Engineer's Estimate of Quantities on the various items of work and materials is approximate only, given solely to be used as a uniform basis for the comparison of bids, and is not be considered part of this contract. The quantities actually required to complete the contract work may be less or more than so estimated, and if so, no action for damages or for loss of profits shall accrue to the contractor by reason thereof.

(C) Overruns: The terms and conditions applicable to overruns of unit price items are set forth in Article 26 of the Contract.

34. Excise Tax

Bidders are referred to the Specifications for information on Federal Excise Tax exemptions.

35. Licenses and Permits

The successful bidder will be required to obtain all necessary licenses and permits necessary to perform the work.

36. Multiple Prime Contractors

If more than one prime contractor will be involved on this project, all contractors are required to examine the Invitation for Bid packages for all other parts of the project.

37. Locally Based Enterprise Requirements (LBE)

This Contract is subject to the requirements of Administrative Code, Section 6-108.1, and the regulations promulgated thereunder. No construction contract will be awarded unless and until these requirements have been complied with in their entirety. The bidder is advised of the provisions set forth below, as well as the provisions with respect to the Locally Based Enterprise Program contained in Article 67 of the Contract. The contractor is advised that:

(A) If any portion of the Contract is subcontracted, not less than ten percent of the total dollar amount of the contract shall be awarded to locally based enterprises ("LBEs"); except, where less than ten percent of the total dollar amount of the Contract is subcontracted, such lesser percentage shall be so awarded.

(B) No contractor shall require performance and payment bonds from LBE subcontractors.

(C) No Contract shall be awarded unless the contractor first identifies in its bid:

(1) the percentage, dollar amount and type of work to be subcontracted; and

(2) the percentage, dollar amount and type of work to be subcontracted to LBEs.

(D) Within ten calendar days after notification of low bid, the apparent low bidder shall submit an "LBE Participation Schedule" to the contracting agency. If such schedule does not identify sufficient LBE subcontractors to meet the requirements of Administrative Code Section 6-108.1, the apparent low bidder shall submit documentation of its good faith efforts to meet such requirements.

(1) The "LBE Participation Schedule" shall include:

(a) the name and address of each LBE that will be given a subcontract,

(b) the percentage, dollar amount and type of work to be subcontracted to the LBE, and

(c) the dates when the LBE subcontract work will commence and end.

(2) The following documents shall be attached to the "LBE Participation Schedule":

(a) verification letters from each subcontractor listed in the "LBE Participation Schedule" stating that the LBE will enter into a formal agreement for work,

- (b) certification documents of any proposed LBE subcontractor which is not on the LBE certified list, and
 - (c) copies of the certification letter of any proposed subcontractor which is an LBE.
- (3) Documentation of good faith efforts to achieve the required LBE percentage shall include as appropriate but not limited to the following:
- (a) attendance at prebid meetings, when scheduled by the agency, to advise bidders of contract requirements;
 - (b) advertisement where appropriate in general circulation media, trade association publications and small business media of the specific subcontracts that would be at least equal to the percentage goal for LBE utilization specified by the contractor;
 - (c) written notification to association of small, minority and women contractors soliciting specific subcontractors;
 - (d) written notification by certified mail to LBE firms that their interest in the contract is solicited for specific work items and their estimated values;
 - (e) demonstration of efforts made to select portions of the work for performance by LBE firms in order to increase the likelihood of achieving the stated goal;
 - (f) documented efforts to negotiate with LBE firms for specific subcontracts, including at a minimum:
 - (i) The names, address and telephone numbers of LBE firms that are contacted;
 - (ii) A description of the information provided to LBE firms regarding the plans and specifications for portions of the work to be performed;
 - (iii) Documentation showing that no reasonable price can be obtained from LBE firms;
 - (iv) A statement of why agreements with LBE firms were not reached;
 - (g) a statement of the reason for rejecting any LBE firm which the contractor deemed to be unqualified; and
 - (h) documentation of efforts made to assist the LBE firms contacted that needed assistance in obtaining required insurance.
- (E) Unless otherwise waived by the Commissioner with the approval of the Office of Economic and Financial Opportunity, failure of a proposed contractor to provide the information required by paragraphs (C) and (D) above may render the bid non-responsive and the Contract may not be awarded to the bidder. If the contractor states that it will subcontract a specific portion of the work, but can demonstrate despite good faith efforts it cannot achieve its required LBE percentage for subcontracted work until after award of Contract, the Contract may be awarded, subject to a letter of compliance from the contractor stating that it will comply with Administrative Code Section 6-108.1 and subject to approval by the Commissioner. If the contractor has not met its required LBE percentage prior to award, the contractor shall demonstrate that a good faith effort has been made subsequent to award to obtain LBEs on each subcontract until it meets the required percentage.
- (F) When a bidder indicates prior to award that no work will be subcontracted, no work may be subcontracted without the prior written approval of the Commissioner, which shall be granted only if the contractor in good faith seeks LBE subcontractors at least six weeks prior to the start of work.
- (G) The contractor may not substitute or change any LBE which was identified prior to award of the contract without the written permission of the Commissioner. The contractor shall make a written application to the Commissioner for permission to make such substitution or change, explaining why the contractor needs to change its LBE subcontractor and how the contractor will meet its LBE subcontracting requirement. Copies of such application must be served on the originally identified LBE by certified mail return receipt requested,

as well as the proposed substitute LBE. The Commissioner shall determine whether or not to grant the contractor's request for substitution.

38. Bid Submission Requirements

The Bid Submission Requirements are set forth in the PASSPort RFx.

39. Comptroller's Certificate

This Contract shall not be binding or of any force unless it is registered by the Comptroller in accordance with Section 328 of the City Charter and the Procurement Policy Board Rules. This Contract shall continue in force only after annual appropriation of funds by the City of New York and certification as hereinabove set forth.

40. Procurement Policy Board Rules

This Invitation For Bids is subject to the Rules of the Procurement Policy Board of the City of New York. In the event of a conflict between said Rules and a provision of this Invitation For Bids, the Rules shall take precedence.

41. DDC Safety Requirements

The DDC Safety Requirements apply to the work to be performed pursuant to the Contract. The DDC Safety Requirements are set forth on the following pages.

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CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
SAFETY REQUIREMENTS FOR CONSTRUCTION
CONTRACTS

January 2020

THE DDC SAFETY REQUIREMENTS FOR CONSTRUCTION CONTRACTS INCLUDE THE FOLLOWING SECTIONS:

- I. POLICY ON SITE SAFETY**
- II. PURPOSE**
- III. DEFINITIONS**
- IV. RESPONSIBILITIES**
- V. SAFETY QUESTIONNAIRE**
- VI. SITE SAFETY PLAN**
- VII. KICK-OFF/PRE-CONSTRUCTION MEETINGS AND SAFETY REVIEW**
- VIII. EVALUATION DURING WORK IN PROGRESS**
- IX. SAFETY PERFORMANCE EVALUATION**

I. POLICY ON SITE SAFETY

The City of New York Department of Design and Construction (DDC) is committed to a policy of injury and illness prevention and risk management for construction work that will ensure the safety and health of the workers engaged in the projects and the protection of the general public. Therefore, it is DDC's policy that work carried out by Contractors on DDC contracts must, at a minimum, comply with the most current versions of all applicable federal, state and city laws, rules, and regulations, including without limitation:

- ❑ Code of Federal Regulations, Title 29, Part 1926 (29 CFR 1926) and applicable Sub-parts of Part 1910 – U.S. Occupational Safety and Health Administration (OSHA);
- ❑ Federal Highway Administration – Manual on Uniform Traffic Control Devices (MUTCD);
- ❑ New York Codes, Rules and Regulations (NYCRR), Title 12, Part 23 – Protection in Construction, Demolition and Excavation Operations;
- ❑ New York Codes, Rules and Regulations (NYCRR), Title 16, Part 753 – Protection of Underground Facilities;
- ❑ New York City Administrative Code, Title 28 – New York City Construction Codes;
- ❑ Rules of the City of New York, Title 15, Chapter 13 – Rules Pertaining To the Prevention of the Emission of Dust from Construction Related Activities;
- ❑ Rules of the City of New York, Title 15, Chapter 28 – Citywide Construction Noise Mitigation;
- ❑ Rules of the City of New York, Title 34 Chapter 2 – NYCDOT Highway Rules.

The Contractor will be required to comply with all new and/or revised federal, state and city laws, rules, and regulations, issued during the course of the project, at the expense of the Contractor without any additional costs to the DDC.

II. PURPOSE

The purpose of this policy is to ensure that Contractors perform their work and supervise their employees in accordance with all applicable federal, state and city rules and regulations. Further, Contractors will be expected to minimize or eliminate jobsite and public hazards, through a planning, inspection, auditing and corrective action process. The goal is to control risks so that injuries, illnesses, and accidents to contractors' employees, DDC employees and the general public, as well as damage to city-owned and private property, are reduced to the lowest level feasible.

III. DEFINITIONS

Agency Chief Contracting Officer (ACCO): The ACCO will mean the person delegated authority by the Commissioner to organize and supervise the procurement activity of subordinate Agency staff in conjunction with the City Chief Procurement Officer (CCPO).

Competent Person: As defined by OSHA, an individual who is capable of identifying existing and predictable hazards in the surroundings or working conditions that are unsanitary, hazardous, or dangerous to employees or the general public, and who has authorization to take prompt corrective measures to eliminate them. This individual will have completed, at a minimum an authorized 30-hour OSHA Construction Safety Course. The Contractor may be required to provide more than one competent person due to construction operations and based on the number of active work sites.

Construction Safety Auditor: A representative of the Office of Construction Safety who provides inspection and assessment services to enhance health and safety on all DDC construction projects. The activities of the Construction Safety Auditor include performing site audits, reviewing safety plans, reviewing construction permits, drawings, verifying Contractor's compliance with applicable federal, state and city laws, rules, regulations, and DDC Contract Safety Requirements, etc. and rendering technical advice and assistance to DDC Resident Engineers and Project Managers.

Office of Construction Safety: A unit of DDC Safety and Site Support that assesses contractor’s safety on DDC jobsites and advises responsible parties of needed corrective actions.

Registered Construction Superintendent: For certain projects, as defined in New York City Construction Codes – Title 28, the contractor will provide a Construction Superintendent registered with the NYC Department of Buildings and responsible for all duties as defined in Chapter 33 of Title 1 of the Rules of the City of New York.

Contractor: For purposes of these Safety Requirements, the term “Contractor” will mean any person or entity that enters into a contract for the performance of construction work on a DDC project. The term “Contractor” will include any person or entity which enters into any of the following types of contracts: (1) a prime construction contract for a specific project, (2) a prime construction contract using the Job Order Contracting System (“JOCS Contract”), and (3) a subcontract with a CM/Builder (“First Tier Subcontract”).

Daily Safety Job Briefing: Daily jobsite safety briefings, given to all jobsite personnel at project site by the Contractor before work begins and/or if hazards or potential hazards are discovered while working, with the purpose of discussing the scheduled activities for the day, the hazards related to these activities, activity specific safety procedures, and Job Hazard Analysis associated with the scheduled construction work. Daily jobsite briefings will be documented, available at the jobsite, and will include at a minimum, topics, name and signature of the person conducting the briefing session, names and signatures of attendants, name of the designated competent person, contractor’s name, DDC Project ID, date, time, and location.

Director – Office of Construction Safety: Responsible for the operations of the Office of Construction Safety and the DDC Site Safety management programs.

Job Hazard Analysis (JHA): A process of identifying the major job tasks and any potential site-specific hazards that may be present during construction and establishing the means and methods to eliminate or control those hazards. A JHA will be documented, available at the jobsite and will include at a minimum work tasks, being performed, identified hazards, control methods for the identified hazards, contractor’s name, DDC Project ID, location, date, name and signature of certifying person. A JHA is a living document that will be re-evaluated and revised to address new hazards and tasks that may develop and will be present at the worksite and produced upon request.

Qualified Person: As defined by OSHA, an individual who, by possession of a recognized degree, certificate, license, or professional standing, or who by extensive knowledge, training, and experience, has successfully demonstrated his or her ability to solve problems relating to the subject matter, the work, or the project. Qualified Persons are required under regulation to address issues pertaining, but without limit, to fall protection, scaffold design, maintenance and protection of traffic, and excavation protective system, among others.

Project Site: Those areas indicated in the Contract Documents where the Work is to be performed.

Project Safety Representative: The designated Project Safety Representative will have at a minimum an OSHA 30-hour Construction Safety Course and other safety training applicable to Contractor’s/subcontractor’s project work. This individual will be responsible to oversee safety performance of the required construction work, conduct documented daily safety inspections, and implement corrective actions to maintain a safe work site. The Project Safety Representative must have sufficient experience and skills necessary to thoroughly understand the health and safety hazards and controls and must have authority to undertake corrective actions. A dedicated full-time Project Safety Representative may be required on large projects and projects deemed by DDC to be particularly high risk. DDC reserves the right to request a dedicated full-time Project Safety Representative for any reason at any time during the course of the project at the expense of the Contractor without any additional costs to the DDC. The full-time Project Safety Representative will be present at the site during all work activities.

Resident Engineer (“RE”): Representative of the Commissioner duly designated by the Commissioner to be his/her representative at the site of the work. The RE may be a consultant retained by DDC, including a Construction Management (CM) or Resident Engineer Inspection (REI) firm. If DDC has retained a CM, REI or other consultant firm to perform management and oversight for the Project (e.g., CM-Builder, CM-Design-Builder, Project Manager, Program Manager), that CM, REI or other consultant is the Resident Engineer for purposes of these Safety Requirements.

Safety Questionnaire: Used by DDC to evaluate Contractor’s current and past safety performance. It is required to be completed by all Contractors initially when submitting bids for Construction work, or when being pre-qualified and updated annually or as requested by the DDC.

Site Safety Manager: For certain projects, as defined in New York City Construction Codes – Title 28, the Contractor will provide a Site Safety Manager with a Site Safety Manager License issued by the New York City Department of Building.

Site Safety Plan: A site-specific safety plan developed by the Contractor for a DDC project. The Site Safety Plan will identify the project work scope, identify hazards associated with the project work and include project specific safety procedures and training appropriate and necessary to complete the work. The Site Safety Plan will be submitted within 30 days from the Award Date or as otherwise directed and is subject to review and acceptance by the Office of Construction Safety prior to the commencement of work at the site.

Unsafe or Unhealthy Condition: A condition that could be potentially hazardous to the health and safety of personnel or the public, and/or damaging to equipment, machinery, property, or the environment.

Weekly Safety Meetings: Weekly jobsite safety meetings, given to all jobsite personnel by Contractor, with the purpose of discussing general safety topics and job specific requirements encountered at the DDC work site. Weekly safety meetings will be documented and will include at a minimum, topics, name and signature of the person conducting the meeting, names and signatures of attendees, contractor’s name, DDC Project ID, date, and location.

Work: The construction required by the Contractor’s Contract Documents whether completed or partially completed, performed by the Contractor/ subcontractors. Work refers to the furnishing of labor, furnishing and incorporating materials and equipment into the construction and providing any service required by the Contract Documents to fulfill the Contractor’s obligation to complete the Project. For the purposes of these Safety Requirements, the term “Work” includes all Utility Interference work (commonly referred to as “Section U”, “EP-7”, and “Joint Bid” work) performed in association with this Contract.

IV. RESPONSIBILITIES

All persons who manage, perform, and provide support for construction projects will conduct operations in compliance with the requirements identified in this Policy and all applicable governing regulatory agency requirements and guidelines pertaining to safety in construction.

A. Resident Engineer

1. Review and facilitate Contractor(s) Site Safety Plan submittals to DDC for acceptability.
2. Notify the Office of Construction Safety of the commencement of construction work.
3. Develop and implement a training verification process to ensure that all CM/REI, consultant, Contractor, and subcontractor employees are properly trained. Maintain all applicable initial and refresher training records and assures documentation availability on site.
4. Maintain documentation of and attend weekly safety meetings and daily safety job briefings.
5. Assure that Contractor(s) JHA’s are current to reflect the work tasks being performed, hazards, and control methods to mitigate the identified hazards. Verify that all employees at the job site are trained on the JHAs and maintain supporting documentation on site.
6. Assure adequate planning for all critical construction activities (crane operation, excavation, confined space entry, etc.) including coordination between Contractor(s) /DDC/ other Agencies as required.
7. Maintain custody of all construction related permits, plans, approvals, drawings, etc., related to the project and assure their availability on site.
8. Recognize, minimize, or eliminate jobsite and public hazards, through required planning, inspection, verification, and corrective action process.
9. Monitor the conditions at the site for conformance with the Contractor’s Site Safety Plan, DDC policies, permits, and all applicable regulations and documentation that pertain to construction safety.
10. Notify the Contractor and DDC immediately upon determination of any condition or activity existing which is not in compliance with the Contractor’s Site Safety Plan, applicable federal, state or local codes or any

condition that presents a potential risk of injury to the public or workers or possible damage to property. Direct the Contractor to provide such labor, materials, equipment, and supervision to remedy such conditions.

11. Notify the Office of Construction Safety and the ACCO's Insurance and Risk Management Unit of project-related accidents, incidents, and near misses as per DDC's Construction Safety Emergency and Accident Notification and Response Procedure within two (2) hours.
12. In case of an accident, incident, or near miss, RE is responsible to protect the integrity of the accident site including but not limited to: the safeguarding of all evidence, documentation of all personnel on site at the time of the accident, gather facts related to all accidents, incidents, or near miss, and prepare required DDC Construction Accident Report as per DDC's Construction Safety Emergency and Accident Notification and Response Procedure. Maintain all records pertaining to accidents, incidents, and near miss and have them available upon request.
13. Notify the Office of Construction Safety within two (2) hours of the start of an inspection by any outside/regulatory agency personnel, including NYS, OSHA, NYC DOB or any other City/State/Federal oversight entity and forward a copy of the inspection report within one business day of its receipt.
14. Escort and assist Construction Safety Auditors during all field and record audits.
15. Report any emergency conditions to the Office of Construction Safety immediately.

Note: In addition to the responsibilities listed above, if the Resident Engineer is a CM/REI or other non-City party hired by the City to manage the Project, the Resident Engineer is also required to do the following:

16. Provide personnel who are certified and or trained appropriately for the requirements of the project.
17. Perform an investigation for any project-related accidents, incidents, and near misses. Within 24-hours of the time of the accident, incident, or near miss, the CM/REI will submit an investigation report to the Office of Construction Safety. Such report will include proposed remedial measures and implementation of corrective actions to prevent recurrence.

DDC reserves the right to request that the CM/REI replace any CM/REI personnel for any reason at any time during the project.

B. Construction Contractors

Note: For CM-Build and CM-Design-Build Projects, the CM will meet all requirements listed in this section, as well as the Resident Engineer section above.

1. Submit a completed Safety Questionnaire and other safety performance related documentation with its bid or as part of a pre-qualification package.
2. Submit a Site Safety Plan within 30 days from the Award Date or as otherwise directed. The Site Safety Plan is subject to review and acceptance by the Office of Construction Safety prior to the commencement of work at the site. The Site Safety Plan will be revised and updated as necessary during the course of the project. If requested by the Office of Construction Safety, the Site Safety Plan must be developed and submitted for approval using a web-based system, the Site Safety Plan Application (SSP App).
3. Designate and identify a Project Safety Representative in the Site Safety Plan. The Contractor will immediately notify the Office of Construction Safety, in a form and manner acceptable to the Office of Construction Safety, of any permanent change to the designated Project Safety Representative. In the event the primary designated Project Safety Representative is temporary unable to perform his or her duties, an alternate Project Safety Representative will be provided. Resumes, outlining the qualification and experience for the Project Safety Representative (s) will be included in the Site Safety Plan and available upon request. DDC reserves the right to request the Contractor to replace a Project Safety Representative for any reason at any time during the course of the project.
4. Designate and identify a Competent Person(s) in the Site Safety Plan. Contractor/subcontractor may be required to provide more than one competent person due to construction operations and based on a number of work tasks/areas. DDC reserves the right to request the Contractor to replace a Competent Person or provide additional Competent Person(s) for any reason at any time during the course of the project. The Competent Person will be present at the site during all work activities.
5. For certain projects, as defined in New York City Construction Codes – Title 28, designate and identify the Licensed Site Safety Manager or Registered Construction Superintendent. Resumes, outlining the qualification and experience for the Licensed Site Safety Manager or Registered Construction Superintendent will be included in the Site Safety Plan and available upon request. The Contractor will immediately notify the Office

of Construction Safety, in a form and manner acceptable to the Office of Construction Safety, of any permanent change to the designated Site Safety Manager and/or Construction Superintendent. In the event the primary designated Site Safety Manager or Construction Superintendent is temporarily unable to perform his or her duties, an alternate Licensed Site Safety Manager and/or Registered Construction Superintendent will be provided. The Office of Construction Safety must be informed of such change. DDC reserves the right to request the Contractor to replace Site Safety Manager or Construction Superintendent for any reason at any time during the course of the project.

6. Develop a written Job Hazard Analysis (JHA) that identifies safety hazards and control methods for project specific work tasks. A preliminary JHA will be included in the Site Safety Plan submitted by the Contractor. A JHA is a living document that will be re-evaluated and revised to address new hazards and tasks that may develop during the course of the project and will be present at the worksite and produced upon request.
7. Develop project specific safety procedures to protect employees, general public, and property during all construction activities for the duration of the project.
8. Ensure that all employees are aware of the hazards associated with the project through documented formal and informal training and/or other communications. Conduct and document new employee and site-specific safety orientation for all Contractor and subcontractor personnel to review the hazards associated with the project as identified in the Site Safety Plan and the specific safety procedures and controls that will be used to protect workers, the general public and property. The Project Safety Representative will conduct this training prior to mobilization and if necessary during the course of the project. Documentation will be provided to the RE.
9. Prior to performing any work on DDC projects all Contractor's and subcontractor's employees will, at a minimum, have successfully completed, within the previous five calendar years, an OSHA 10-hour construction safety course.
All training records (OSHA 10-hour, flagger, scaffold, fall protection, confined space, etc.) will be provided to the RE prior to mobilization, included in the Site Safety Plan, kept current during the course of the project, and available for review.
10. Conduct and document weekly safety meetings and daily job briefing sessions for the duration of the project. Attendance at weekly safety meetings and daily job briefing sessions is mandatory. A written record of weekly safety meetings will be available upon request and job briefing sessions will be available at the worksite.
11. As part of the Site Safety Plan, prepare site specific procedures, such as maintenance and protection of traffic plan, steel erection plan, confined space program, fall protection plan, demolition plan, site specific emergency evacuation plan, etc. (if not otherwise provided in the contract documents) and comply with all of its provisions.
12. Have immediately available for review at the project site where actual construction activities are being performed all applicable documentation, including but not limited to: JHAs for work tasks being performed, all required training records, MPT plan (where applicable), Noise and Dust Mitigation Plans, excavation protective system drawings (where applicable), Emergency Evacuation plan, fall protection program (where applicable), confined space program (where applicable), all required permits, daily job briefing records, all required documentation for crane operation (where applicable), daily inspection checklist, scaffold and sidewalk drawings (when applicable), safety data sheets for chemicals in use.
13. Comply with all federal, state and local safety and health rules, laws, and regulations.
14. Comply with all provisions of the Site Safety Plan.
15. Provide, replace, and adequately maintain at or around the project site, suitable and sufficient signage, lights, barricades and enclosures (fences, sidewalk sheds, netting, bracing, etc.). The project specific MPT plan will be developed, implemented, and reviewed during the course of the project.
16. The Project Safety Representative will conduct daily safety inspections, document the inspection results, implement corrective actions for the identified hazards. Maintain the inspection records and have them available upon request.
17. **Report unsafe or unhealthy conditions to the RE as soon as practical, but no more than 24 hours after discovery, and take prompt actions to remove or abate such conditions. Should an imminent dangerous condition be discovered, Contractor will stop all work in the area of danger until corrections are made.**
18. Report all accidents, incidents and near misses involving injuries to workers or the general public, as well as property damage, to the RE within one (1) hour.
19. Following an accident or incident, unless otherwise directed, the Contractor will not remove or alter any equipment, structure, material, or evidence related to the accident or incident. Exception: Immediate emergency procedures taken to secure structures, temporary construction, operations, or equipment that pose a continued imminent danger or facilitate assistance for persons who are trapped or who have sustained bodily injury. Take

additional measures as necessary to secure the accident or incident site and to protect against any further injury or property damage.

20. The Contractor will perform an investigation into the root cause of the accident, incident, or near miss. Within 24 hours of an accident, incident, or near miss, the Contractor will prepare and submit to the RE a written investigation report detailing findings, corrective actions, and hazard mitigation implementation to prevent recurrence.
21. Notify the RE within two (2) hours of the start of an inspection by any outside regulatory agency personnel, including OSHA, NYC DOB, or others.
22. Maintain all records pertaining to all required safety compliance documents, accidents and incidents reports. DDC reserves the right to request copy of any records pertaining to the safety of the project and required by DDC and other federal, state, and city agencies, including but not limited to permits, training records, safety inspection records, drawings, equipment records, etc.
23. Cooperate with DDC Office of Construction Safety/ RE and address DDC recommendations on safety, which will in no way relieve the Contractor of its responsibilities for safety on the project. The Contractor has sole responsibility for safety.

V. SAFETY QUESTIONNAIRE

DDC requires that all Contractors provide information regarding their current and past safety performance and programs. This will be accomplished by the use of the DDC Safety Questionnaire. As a part of the bid submittal package, the contractor will submit a completed DDC Safety Questionnaire listing company workers' compensation experience modification rating and OSHA Incident Rates for the three (3) years prior to the date of the bid opening. DDC may request a Contractor to update its Questionnaire at any time or to provide more detailed information. The Contractor will provide the requested information within 15 days.

The following criteria will be used by DDC in reviewing the Contractor's responsibility, which will be based on the information provided on the questionnaire:

- Criteria 1: OSHA Injury and Illness Rates (I&IR) are no greater than the average for the industry (based on the most current Bureau of Labor Statistics data for the Contractors SIC code); and
- Criteria 2: Insurance workers compensation Experience Modification Rate (EMR) equal to or less than 1.0; and
- Criteria 3: Any willful violations issued by OSHA or NYC DOB within the last three (3) years; and
- Criteria 4: A fatality (worker or member of public) and injuries, requiring OSHA notification, experienced on or near Contractor's worksite within the last three (3) years; and
- Criteria 5: Past safety performance on DDC projects (accidents; status of site safety plan submittals; etc.)
- Criteria 6: OSHA violation history for the last three (3) years;
- Criteria 7: Contractor will provide OSHA Injury and Illness Records (currently OSHA 300 and 300A Logs) for the last three (3) years.

If the Contractor fails to meet the basic criteria listed above, the Office of Construction Safety may request, through the ACCO, more details concerning the Contractor's safety experience. DDC may request the Contractor to provide copies of, among other things, accident investigation reports, OSHA records, OSHA and NYC DOB citations, EPA citations and written corrective action plan.

VI. SITE SAFETY PLAN

Within thirty (30) days from the Award Date or as otherwise directed, the Contractor will submit the Site Safety Plan. The Site Safety Plan will identify project work scope, safety hazards associated with the project tasks, and include specific safety procedures and training appropriate and necessary to complete the work. The Site Safety Plan is subject to review and acceptance by the Office of Construction Safety prior to the commencement of work at the site. Due to the project work scope and project duration, the Office of Construction Safety may grant a conditional acceptance for a Site Safety Plan without all sections being complete. In a case of a "Conditional Acceptance" of a Site Safety Plan,

the Contractor will provide the remaining sections previously incomplete and/or not submitted for review and acceptance by the Office of Construction Safety prior to the commencement of the construction activities. The Office of Construction Safety reserves the right to withdraw the initial “Conditional Acceptance” if the Contractor fails to provide the remaining sections of a Site Safety Plan. Failure by the Contractor to submit an acceptable Site Safety Plan will be grounds for default.

Site Safety Plan requirements: The Site Safety Plan will be a written document and will apply to all project specific Contractor and subcontractor operations, and will have at a minimum, the following elements with each described in a separate section (It may be necessary to modify the basic format for certain unique or high-risk projects, such as tunnels or high-rise construction). All Site Safety Plan sections will be numbered in the order listed below. For sections, which are not applicable for the type of the work being performed by the Contractor on DDC project, the Contractor will in writing indicate “Not applicable based on the project work scope.” The Site Safety Plan will include Contractor’s name, DDC project ID, project location (s), and development and revision dates. The Site Safety Plan will include the sections, attachments, and appendixes provided in the Site Safety Plan. All pages of the Site Safety Plan will be numbered. If requested by the Office of Construction Safety, the Site Safety Plan must be developed and submitted for approval using a web-based system, the Site Safety Plan Application (SSP App).

1. Project Work Scope – Detailed information regarding work tasks that will be performed by Contractor and subcontractors under the project.
2. Responsibility and Organization – Contractor’s organization chart with responsible personnel for the project, including titles, names, contact information, roles, and responsibilities. All Contractor’s personnel required by the DDC Safety Requirements will be identified.
3. Safety Training and Education – OSHA 10 Hours training, requirements for daily safety briefings and weekly safety meetings, any work task specific training, responsible staff for implementation of training program for the project.
4. Job Hazard Analysis (JHA) – Project specific Job Hazard Analysis including work tasks, identified hazards, hazard control methods (administrative, engineering, PPE) to protect workers, property and general public, Contractor’s name, project id, location, name and signature of a certifying person, hazard assessment date.
5. Protection of Public – Project specific procedures covering safety of the general public during all project construction activities.
6. Hazard Corrective Actions - Procedures for hazard identification, including responsible person(s), frequency of safety inspections, implementation of corrective actions, safety inspection checklist.
7. Accident/Exposure Investigation – Project specific procedures for accident/incident/near miss investigation and implementation of corrective actions. Accident/incident/near miss notification procedure of DDC project staff (timer frame and responsible personnel).
8. Recording and Reporting Injuries – Procedures to meet 29 CFR 1904 requirements.
9. First Aid and Medical Attention – Responsible staff, location and inspection of First Aid kit, directions to local hospitals; emergency telephone numbers.
10. Project Specific Fire Protection and Prevention Program – Project specific procedures, including responsible staff, fire alarm system/methods, hot work procedures, etc.
11. Housekeeping Procedure.
12. Project Specific Illumination Procedure.
13. Project Specific Sanitation Procedure.
14. Personal Protective Equipment (PPE), including Respiratory Protection Program and Hearing Conservation Program, if required.
15. Hazard Communication Program – Contractor’s Hazard Communication Program, responsible staff; training; SDS records, project specific list of chemicals; location of the program and SDS records.
16. Means of Egress – Information regarding free and unobstructed egress from all parts of the building or structure; exit marking; maintenance of means of egress, etc.
17. Employee Emergency Action Plan – Project specific: responsible staff, emergency alarm system/devices, evacuation procedure, procedure to account for employees after evacuation, etc.
18. Evacuation Plan – Project specific evacuation plan (drawing/scheme) with exists and evacuation routes.
19. Ionizing/Nonionizing Radiation – Competent person, license and qualification requirements, type of radiation, employee’s exposure and protection, safety procedures, etc.

20. Material Handling, Storage, Use and Disposal – Project specific information regarding material storage, disposal, and handling: procedures, plan/drawings, etc.
21. Signs, Signals, and Barricades – Use of danger/warning signs, safety instruction signs, sidewalk closure and pedestrian fencing and barricades (if not included in the MPT plan), etc.
22. Tools – Hand and Power – Safety procedures for the type of tools to be used.
23. Scaffold – Project specific scaffold types, procedures, training requirements, scaffold drawings, designed, sealed, and signed by NYS Licensed Professional Engineer, or as otherwise directed; competent person, criteria for project specific scaffold, falling object protection, procedures for aerial lifts/scissor lifts.
24. Welding and Cutting – Project specific procedure for welding and cutting, including all necessary safety requirements such as fire prevention, personal protective equipment, hot work permits (if not covered by Contractor’s Fire Prevention and Protection program, FDNY certificate requirements).
25. Electrical Safety – Project specific procedures, including lock out-tag out.
26. Fall Protection – Project specific information regarding selected fall protection systems, fall protection plan, responsible staff.
27. Cranes, Derrick, Hoists, Elevators, Conveyors – project specific equipment information including type, rated load capacity, manufacture specification requirements, competent person, exposure to falling load, inspection, recordkeeping, clearance requirements, communication procedure, ground lines, permits.
28. Excavation Safety – Competent person; excavation procedures; project specific protective system, including drawings, designed, sealed, and signed by NYS Licensed Professional Engineer, or as otherwise directed.
29. Protection of Underground Facilities and Utilities Procedure, including responsible staff and responsibilities.
30. Concrete and Masonry Construction Procedures
31. Maintenance and Protection of Traffic Plan – Project specific MPT plan, designed, sealed, and signed by NYS Licensed Professional Engineer, or as otherwise directed; flagmen training, public safety, etc.
32. Steel Erection – Site specific erection plan, requirements for applicable written notifications, competent person, fall protection plan, training requirements, etc.
33. Demolition – Engineering survey, including written evidence, disconnection of all effected utilities, identification of all hazardous chemicals, materials, gases, etc., floor openings, chutes, inspection and maintenance of all stairs/passageways, removal of materials/debris/structural elements, lock out/tag out, competent person.
34. Blasting and the Use of Explosives – Project specific safety procedures, warning signs, training/qualification, transportation, storage and use of explosives, inspection.
35. Stairways and Ladders – Types of stairs and ladders, safety procedures, training requirements.
36. Alcohol and Drug Abuse Policy
37. Rodents and Vermin Controls
38. Toxic and Hazardous Substances – Safety procedures for substances that Contractor’s and subcontractor’s employees can be exposed on project.
39. Noise Mitigation Plan – Completed project specific Noise Mitigation Plan, and noise mitigation procedures.
40. Confined Space Program – Project specific Confined Space Program, responsible staff, training records, equipment information, rescue procedure, list of project specific confined spaces, forms.
41. Construction Vehicles/Heavy Equipment – Type of construction vehicles/heavy equipment to be used on site, procedures
42. Dust Mitigation Plan – Completed project specific Dust Mitigation Plan, and dust mitigation procedures.
43. Working Over and Near Water. Diving Operations – safety procedures including personal protective equipment, fall protection, rescue services, etc.

The most critical component of the Site Safety Plan is the Job Hazard Analysis (JHA) section. The JHA form is a written document prepared by the Contractor. The Contractor will conduct a site and task assessment to identify the tasks and any potential safety or environmental hazards related to performance of the work, eliminate or implement controls for the potential hazards, and identify proper personal protective equipment for the task. The JHA will be communicated to all Contractor/subcontractor personnel on site. The JHA will include safety hazard identification and controls to protect employees, general public, and property.

The initial JHA will be included in the Contractor’s Site Safety Plan and the current JHA form will be available at the construction site for reference. A JHA is a living document that will be re-evaluated and revised to address new hazards and tasks that may develop and will be present at the worksite and produced upon request.

VII. KICK-OFF MEETINGS/PRE-CONSTRUCTION AND SAFETY REVIEW

Prior to the start of construction activities on all DDC projects, RE will invite the Office of Construction Safety to the construction kick-off meeting. The Office of Construction Safety representative(s) will participate in this meeting with the Contractor and RE for the purpose of:

- A. Reviewing DDC Contract Safety Requirements
- B. Reviewing site-specific safety issues based on a project work scope, location, and any other factors which may impact safety of workers and general public.
- C. Reviewing the Site Safety Plan and JHA requirements.
- D. Reviewing Accident/Incident reporting and investigation procedures.
- E. Reviewing designated safety contacts, roles, and responsibilities.
- F. Discussing planned inspections and audits of the site by the Office of Construction Safety personnel.

VIII. EVALUATION DURING WORK IN PROGRESS

The Contractor's adherence to these Safety Requirements will be monitored throughout the project. This will be accomplished by the following:

- A. Use of a safety checklist by a representative of the Office of Construction Safety (or other designated DDC representative) and the RE during regular inspections and comprehensive audits of the job site. Field Exit Conferences will be held with the RE and Contractor Project Safety Representatives.
- B. The RE will continually monitor the safety and environmental performance of the Contractor's employees and work methods. Deficiencies will be brought to the attention of the Contractor's Project Safety Representative on site for immediate correction. The RE will maintain a written record of these deficiencies and have these records available upon request. Any critical deficiencies will be immediately reported to the Office of Construction Safety via telephone (718)391-1911.
- C. If the Contractor's safety performance during the project is not up to DDC standards (safety performance measure, accident/incident rate, etc.) the Director – Office of Construction Safety, or his/her designee will meet with the Contractor's Project Safety Representative and other representatives, the RE, and the DDC Environmental Specialist (if environmental issues are involved). The purpose of this meeting is to 1) determine the level of non-compliance; 2) explain and clarify the safety/environmental provisions; 3) agree on a future course of action to correct the deficiencies.
- D. If the deficiencies continue, the Commissioner may, without limitation, declare the Contractor in default.
- E. The Contractor will within 1 hour inform the RE of all accidents/incidents/near misses including all fatalities, any injuries to employees or members of the general public, and property damage (e.g., structural damage, equipment rollovers, utility damage, loads dropped from crane). The RE will notify the Office of Construction Safety as per DDC's Construction Safety Emergency and Accident Notification and Response Procedure and will maintain a record of all Contractor accidents/incidents for the project.
- F. The Contractor and the RE will notify the Office of Construction Safety within two (2) hours of the start of any NYS-DOL/ NYC-COSH/ OSHA/ EPA inspections.

IX. SAFETY PERFORMANCE EVALUATION

The Contractor's safety record, including accident/incident history and DDC safety inspection results, will be considered as part of the Contractor's performance evaluation at the conclusion of the project. Poor safety performance during the course of the project will be a reason to rate a Contractor unsatisfactory which may be reflected in the City's PASSPort system and will be considered for future procurement actions as set forth in the City's Procurement Policy Board Rules.

NOTICE TO BIDDERS

Please be advised that the following Riders to the March 2017 New York City Standard Construction Contract have been attached and incorporated in this Invitation for Bid:

- Rider regarding Non-Compensable Delays and Grounds for Extension;
- Rider regarding NYC Earned Safe and Sick Time Act.

Other than provisions specifically delineated in the Riders, all other terms of the March 2017 New York City Standard Construction Contract continue to apply in full force and effect.

**RIDER TO NEW YORK CITY STANDARD CONSTRUCTION CONTRACT (MARCH
2017) REGARDING NON-COMPENSABLE DELAYS AND GROUNDS FOR
EXTENSION**

The following provisions supersede the corresponding provisions in the March 2017 version of the New York City Standard Construction Contract:

1. Section **11.5.1** provides as follows:

11.5.1 The acts or omissions of public or government bodies (other than **City** agencies) or of any third parties who are disclosed in the **Contract Documents**, or those third parties who are ordinarily encountered or who are generally recognized as related to the **Work**, including but not limited to, **Other Contractors**, utilities or private enterprises;

2. Section **11.5.6** provides as follows:

11.5.6 Climatic conditions, storms, floods, droughts, tidal waves, fires, hurricanes, earthquakes, landslides or other catastrophes or acts of God; acts of war or of the public enemy or terrorist acts; disruption, outage or power failure caused by a utility's inability or failure to provide service, pandemics, epidemics, outbreaks of infectious disease or any other public health emergency; other states of emergency declared by the City, State or Federal government, quarantine restrictions, and freight embargoes; including the **City's** reasonable responses to any of the above; and

3. Section **13.3** provides as follows:

13.3 Grounds for Extension: If such application is made, the **Contractor** shall be entitled to an extension of time for delay in completion of the **Work** caused solely:

13.3.1 By any of the acts or omissions of the **City**, its officials, agents or employees set forth in Articles **11.4.1.1** through **11.4.1.9**; or

13.3.2 By or attributable to any of the items set forth in Articles **11.5.1** through **11.5.7**.

13.3.3 The **Contractor** shall, however, be entitled to an extension of time for such causes only for the number of **Days** of delay which the **ACCO** or the Board may determine to be due solely to such causes, and then only if the **Contractor** shall have strictly complied with all of the requirements of Articles 9 and 10.

NYC EARNED SAFE AND SICK TIME ACT CONTRACT RIDER

(To supersede Section 4.06 of the January 2018 Appendix A and Section 35.5 of the March 2017 Standard Construction Contract and to be attached to other City contracts and solicitations)

A. Introduction and General Provisions.

1. The Earned Safe and Sick Time Act (“ESSTA”), codified at Title 20, Chapter 8 of the New York City Administrative Code, also known as the “Paid Safe and Sick Leave Law,” requires covered employees (as defined in Admin. Code § 20-912) in New York City (“City”) to be provided with paid safe and sick time. Contractors of the City or of other governmental entities may be required to provide safe and sick time pursuant to the ESSTA. The ESSTA is enforced by the City’s Department of Consumer and Worker Protection (“DCWP”), which has promulgated 6 RCNY §§ 7-101 and 201 *et seq.* (“DCWP Rules”).

2. The Contractor agrees to comply in all respects with the ESSTA and the DCWP Rules, and as amended, if applicable, in the performance of this agreement. The Contractor further acknowledges that such compliance is a material term of this agreement and that failure to comply with the ESSTA in performance of this agreement may result in its termination.

3. The Contractor must notify (with a copy to DCWP at ComplianceMonitoring@dcwp.nyc.gov) the Agency Chief Contracting Officer of the City Agency or other entity with whom it is contracting in writing within 10 days of receipt of a complaint (whether oral or written) or notice of investigation regarding the ESSTA involving the performance of this agreement. Additionally, the Contractor must cooperate with DCWP’s guidance and must comply with DCWP’s subpoenas, requests for information, and other document demands as set forth in the ESSTA and the DCWP Rules. More information is available at <https://www1.nyc.gov/site/dca/about/paid-sick-leave-what-employers-need-to-know.page>.

4. Upon conclusion of a DCWP investigation, Contractor will receive a findings letter detailing any employee relief and civil penalties owed. Pursuant to the findings, Contractor will have the opportunity to settle any violations and cure the breach of this agreement caused by failure to comply with the ESSTA either i) without a trial by entering into a consent order or ii) appearing before an impartial judge at the City’s administrative tribunal. In addition to and notwithstanding any other rights and remedies available to the City, non-payment of relief and penalties owed pursuant to a consent order or final adjudication within 30 days of such consent order or final adjudication may result in the termination of this agreement without further opportunity to settle or cure the violations.

5. The ESSTA is briefly summarized below for the convenience of the Contractor. The Contractor is advised to review the ESSTA and the DCWP Rules in their entirety. The Contractor may go to www.nyc.gov/PaidSickLeave for resources for employers, such as Frequently Asked Questions, timekeeping tools and model forms, and an event calendar of upcoming presentations and webinars at which the Contractor can get more information about how to comply with the ESSTA and the DCWP Rules. The Contractor acknowledges that it is responsible for compliance with the ESSTA and the DCWP Rules notwithstanding any inconsistent language contained herein.

B. *Pursuant to the ESSTA and DCWP Rules: Applicability, Accrual, and Use.*

1. An employee who works within the City must be provided paid safe and sick time.¹ Employers with one hundred or more employees are required to provide 56 hours of safe and sick time for an employee each calendar year. Employers with fewer than one hundred employees are required to provide 40 hours of sick leave each calendar year. Employers must provide a minimum of one hour of safe and sick time for every 30 hours worked by an employee and compensation for such safe and sick time must be provided at the greater of the employee's regular hourly rate or the minimum wage at the time the paid safe or sick time is taken. Employers are not discouraged or prohibited from providing more generous safe and sick time policies than what the ESSTA requires.

2. Employees have the right to determine how much safe and sick time they will use, provided that an employer may set a reasonable minimum increment for the use of safe and sick time not to exceed four hours per day. For the use of safe time or sick time beyond the set minimum increment, an employer may set fixed periods of up to thirty minutes beyond the minimum increment. In addition, an employee may carry over up to 40 or 56 hours of unused safe and sick time to the following calendar year, provided that no employer is required to carry over unused paid safe and sick time if the employee is paid for such unused safe and sick time and the employer provides the employee with at least the legally required amount of paid safe and sick time for such employee for the immediately subsequent calendar year on the first day of such calendar year.

3. An employee entitled to safe and sick time pursuant to the ESSTA may use safe and sick time for any of the following:

a. such employee's mental illness, physical illness, injury, or health condition or the care of such illness, injury, or condition or such employee's need for medical diagnosis or preventive medical care;

b. such employee's care of a family member (an employee's child, spouse, domestic partner, parent, sibling, grandchild, or grandparent, the child or parent of an employee's spouse or domestic partner, any other individual related by blood to the employee, and any other individual whose close association with the employee is the equivalent of a family relationship) who has a mental illness, physical illness, injury or health condition or who has a need for medical diagnosis or preventive medical care;

¹ Pursuant to the ESSTA, if fewer than five employees work for the same employer, and the employer had a net income of less than one million dollars during the previous tax year, such employer has the option of providing such employees uncompensated safe and sick time.

c. closure of such employee's place of business by order of a public official due to a public health emergency;

d. such employee's need to care for a child whose school or childcare provider has been closed due to a public health emergency; or

e. when the employee or a family member has been the victim of a family offense matter, sexual offense, stalking, or human trafficking:

1. to obtain services from a domestic violence shelter, rape crisis center, or other shelter or services program for relief from a family offense matter, sexual offense, stalking, or human trafficking;
2. to participate in safety planning, temporarily or permanently relocate, or take other actions to increase the safety of the employee or employee's family members from future family offense matters, sexual offenses, stalking, or human trafficking;
3. to meet with a civil attorney or other social service provider to obtain information and advice on, and prepare for or participate in any criminal or civil proceeding, including but not limited to, matters related to a family offense matter, sexual offense, stalking, human trafficking, custody, visitation, matrimonial issues, orders of protection, immigration, housing, discrimination in employment, housing or consumer credit;
4. to file a complaint or domestic incident report with law enforcement;
5. to meet with a district attorney's office;
6. to enroll children in a new school; or
7. to take other actions necessary to maintain, improve, or restore the physical, psychological, or economic, health or safety of the employee or the employee's family member or to protect those who associate or work with the employee.

4. An employer must not require an employee, as a condition of taking safe and sick time, to search for a replacement. However, where the employee's need for safe and sick time is foreseeable, an employer may require an employee to provide reasonable notice of the need to use safe and sick time. For an absence of more than three consecutive work days, an employer may require reasonable documentation that the use of safe and sick time was needed for a reason listed in Admin. Code § 20-914; and/or written confirmation that an employee used safe and sick time pursuant to the ESSTA. However, an employer may not require documentation specifying the nature of a medical condition, require disclosure of the details of a medical condition, or require disclosure of the details of a family offense matter, sexual offense, stalking, or human trafficking, as a condition of providing safe and sick time. Health information and information concerning family offenses, sexual offenses, stalking or human trafficking obtained solely due to an

employee's use of safe and sick time pursuant to the ESSTA must be treated by the employer as confidential. An employer must reimburse an employee for all reasonable costs or expenses incurred in obtaining such documentation for the employer.

5. An employer must provide to all employees a written policy explaining its method of calculating sick time, policies regarding the use of safe and sick time (including any permissible discretionary conditions on use), and policies regarding carry-over of unused time at the end of the year, among other topics. It must provide the policy to employees using a delivery method that reasonably ensures that employees receive the policy. If such employer has not provided its written policy, it may not deny safe and sick time to an employee because of non-compliance with such a policy.

6. An employer must provide a pay statement or other form of written documentation that informs the employee of the amount of safe/sick time accrued and used during the relevant pay period and the total balance of the employee's accrued safe/sick time available for use.

7. Safe and sick time to which an employee is entitled must be paid no later than the payday for the next regular payroll period beginning after the safe and sick time was used.

C. *Exemptions and Exceptions.* Notwithstanding the above, the ESSTA does not apply to any of the following:

1. an independent contractor who does not meet the definition of employee under N.Y. Labor Law § 190(2);

2. an employee covered by a valid collective bargaining agreement, if the provisions of the ESSTA are expressly waived in such agreement and such agreement provides a benefit comparable to that provided by the ESSTA for such employee;

3. an audiologist, occupational therapist, physical therapist, or speech language pathologist who is licensed by the New York State Department of Education and who calls in for work assignments at will, determines their own schedule, has the ability to reject or accept any assignment referred to them, and is paid an average hourly wage that is at least four times the federal minimum wage;

4. an employee in a work study program under Section 2753 of Chapter 42 of the United States Code;

5. an employee whose work is compensated by a qualified scholarship program as that term is defined in the Internal Revenue Code, Section 117 of Chapter 20 of the United States Code; or

6. a participant in a Work Experience Program (WEP) under N.Y. Social Services Law § 336-c.

D. *Retaliation Prohibited.* An employer shall not take any adverse action against an employee that penalizes the employee for, or is reasonably likely to deter the employee from or interfere with the employee exercising or attempting in good faith to exercise any right provided by the ESSTA. In addition, an employer shall not interfere with any investigation, proceeding, or hearing pursuant to the ESSTA.

E. *Notice of Rights.*

1. An employer must provide its employees with written notice of their rights pursuant to the ESSTA. Such notice must be in English and the primary language spoken by an employee, provided that DCWP has made available a translation into such language. Downloadable notices are available on DCWP's website at <https://www1.nyc.gov/site/dca/about/Paid-Safe-Sick-Leave-Notice-of-Employee-Rights.page>. The notice must be provided to the employees by a method that reasonably ensures personal receipt by the employee.

2. Any person or entity that willfully violates these notice requirements is subject to a civil penalty in an amount not to exceed \$50.00 for each employee who was not given appropriate notice.

F. *Records.* An employer must retain records documenting its compliance with the ESSTA for a period of at least three years, and must allow DCWP to access such records in furtherance of an investigation related to an alleged violation of the ESSTA.

G. *Enforcement and Penalties.*

1. Upon receiving a complaint alleging a violation of the ESSTA, DCWP must investigate such complaint. DCWP may also open an investigation to determine compliance with the ESSTA on its own initiative. Upon notification of a complaint or an investigation by DCWP, the employer must provide DCWP with a written response and any such other information as DCWP may request. If DCWP believes that a violation of the ESSTA has occurred, it has the right to issue a notice of violation to the employer .

2. DCWP has the power to grant an employee or former employee all appropriate relief as set forth in Admin. Code § 20-924(d). Such relief may include, but is not limited to, treble damages for the wages that should have been paid; statutory damages for unlawful retaliation; and damages, including statutory damages, full compensation for wages and benefits lost, and reinstatement, for unlawful discharge. In addition, DCWP may impose on an employer found to have violated the ESSTA civil penalties not to exceed \$500.00 for a first violation, \$750.00 for a second violation within two years of the first violation, and \$1,000.00 for each succeeding violation within two years of the previous violation. When an employer has a policy or practice of not providing or refusing to allow the use of safe and sick time to its employees, DCWP may seek penalties and relief on a per employee basis.

3. Pursuant to Admin. Code § 20-924.2, (a) where reasonable cause exists to believe that an employer is engaged in a pattern or practice of violations of the ESSTA, the Corporation Counsel may commence a civil action on behalf of the City in a court of competent jurisdiction by filing a complaint setting forth facts relating to such pattern or practice and requesting relief, which may include injunctive relief, civil penalties and any other appropriate relief. Nothing in § 20-924.2 prohibits DCWP from exercising its authority under section 20-924 or the Charter, provided that a civil action pursuant to § 20-924.2 shall not have previously been commenced.

H. *More Generous Policies and Other Legal Requirements.* Nothing in the ESSTA is intended to discourage, prohibit, diminish, or impair the adoption or retention of a more generous safe and sick time policy, or the obligation of an employer to comply with any contract, collective bargaining agreement, employment benefit plan or other agreement providing more generous safe and sick time. The ESSTA provides minimum requirements pertaining to safe and sick time and does not preempt, limit, or otherwise affect the applicability of any other law, regulation, rule, requirement, policy or standard that provides for greater accrual or use by employees of safe and sick leave or time, whether paid or unpaid, or that extends other protections to employees. The ESSTA may not be construed as creating or imposing any requirement in conflict with any federal or state law, rule or regulation.

CITY OF NEW YORK

STANDARD CONSTRUCTION CONTRACT

March 2017

(NO TEXT ON THIS PAGE)

CITY OF NEW YORK STANDARD CONSTRUCTION CONTRACT

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WITNESSETH:

The parties, in consideration of the mutual agreements contained herein, agree as follows:

CHAPTER I: THE CONTRACT AND DEFINITIONS

ARTICLE 1. THE CONTRACT

1.1 Except for titles, subtitles, headings, running headlines, tables of contents and indices (all of which are printed herein merely for convenience), the following, except for such portions thereof as maybe specifically excluded, shall be deemed to be part of this **Contract**:

1.1.1 All provisions required by law to be inserted in this **Contract**, whether actually inserted or not;

1.1.2 The Contract Drawings and Specifications;

1.1.3 The General Conditions and Special Conditions, if any;

1.1.4 The **Contract**;

1.1.5 The Information for Bidders; Request for Proposals; Notice of Solicitation and Proposal For Bids; Bid or Proposal, and, if used, the Bid Booklet;

1.1.6 All Addenda issued prior to the receipt of the bids; the Notice of Award; Performance and Payment Bonds, if required; and the Notice to Proceed or the Order to Work.

1.2 Should any conflict occur in or between the Drawings and Specifications, the **Contractor** shall be deemed to have estimated the most expensive way of doing the **Work**, unless the **Contractor** shall have asked for and obtained a decision in writing from the **Commissioner** of the **Agency** that is entering into this **Contract**, before the submission of its bid, as to what shall govern.

ARTICLE 2. DEFINITIONS

2.1 The following words and expressions, or pronouns used in their stead, shall, wherever they appear in this Contract, be construed as follows, unless a different meaning is clear from the context:

2.1.1 “**Addendum**” or “**Addenda**” shall mean the additional Contract provisions and/or technical clarifications issued in writing by the Commissioner prior to the receipt of bids.

2.1.2 “**Agency**” shall mean a city, county, borough or other office, position, department, division, bureau, board or commission, or a corporation, institution or agency of government, the expenses of which are paid in whole or in part from the City treasury.

2.1.3 “**Agency Chief Contracting Officer**” (**ACCO**) shall mean a person delegated authority by the Commissioner to organize and supervise the procurement activity of subordinate Agency staff in conjunction with the CCPO, or his/her duly authorized representative.

2.1.4 **“Allowance”** shall mean a sum of money which the Agency may include in the total amount of the Contract for such specific contingencies as the Agency believes may be necessary to complete the Work, *e.g.*, lead or asbestos remediation, and for which the Contractor will be paid on the basis of stipulated unit prices or a formula set forth in the Contract or negotiated between the parties provided, however, that if the Contractor is not directed to use the Allowance, the Contractor shall have no right to such money and it shall be deducted from the total amount of the Contract.

2.1.5 **“City”** shall mean the City of New York.

2.1.6 **“City Chief Procurement Officer” (CCPO)** shall mean a person delegated authority by the Mayor to coordinate and oversee the procurement activity of Mayoral agency staff, including the ACCO and any offices which have oversight responsibility for the procurement of construction, or his/her duly authorized representative.

2.1.7 **“Commissioner”** shall mean the head of the Agency that has entered into this Contract, or his/her duly authorized representative.

2.1.8 **“Comptroller”** shall mean the Comptroller of the City of New York.

2.1.9 **“Contract”** or **“Contract Documents”** shall mean each of the various parts of the contract referred to in Article 1 hereof, both as a whole and severally.

2.1.10 **“Contract Drawings”** shall mean only those drawings specifically entitled as such and listed in the Specifications or in any Addendum, or any drawings furnished by the Commissioner, pertaining or supplemental thereto.

2.1.11 **“Contract Work”** shall mean everything required to be furnished and done by the Contractor by any one or more of the parts of the Contract referred to in Article 1, except Extra Work as hereinafter defined.

2.1.12 **“Contractor”** shall mean the entity which executed this Contract, whether a corporation, firm, partnership, joint venture, individual, or any combination thereof, and its, their, his/her successors, personal representatives, executors, administrators, and assigns, and any person, firm, partnership, joint venture, individual, or corporation which shall at any time be substituted in the place of the Contractor under this Contract.

2.1.13 **“Days”** shall mean calendar days, except where otherwise specified.

2.1.14 **“Engineer”** or **“Architect”** or **“Project Manager”** shall mean the person so designated in writing by the Commissioner in the Notice to Proceed or the Order to Work to act as such in relation to this Contract, including a private Architect or Engineer or Project Manager, as the case may be. Subject to written approval by the Commissioner, the Engineer, Architect or Project Manager may designate an authorized representative.

2.1.15 **“Engineering Audit Officer” (EAO)** shall mean the person so designated by the Commissioner to perform responsible auditing functions hereunder.

2.1.16 **“Extra Work”** shall mean Work other than that required by the Contract at the time of award which is authorized by the Commissioner pursuant to Chapter VI of this Contract.

- 2.1.17 **“Federal-Aid Contract”** shall mean a contract in which the United States (federal) Government provides financial funding as so designated in the Information for Bidders.
- 2.1.18 **“Final Acceptance”** shall mean final written acceptance of all the Work by the Commissioner, a copy of which shall be sent to the Contractor.
- 2.1.19 **“Final Approved Punch List”** shall mean a list, approved pursuant to Article 14.2.2, specifying those items of Work to be completed by the Contractor after Substantial Completion and dates for the completion of each item of Work.
- 2.1.20 **“Law” or “Laws”** shall mean the Constitution of the State of New York, the New York City Charter, the New York City Administrative Code, a statute of the United States or of the State of New York, a local law of the City of New York, any ordinance, rule or regulation having the force of law, or common law.
- 2.1.21 **“Materialman”** shall mean any corporation, firm, partnership, joint venture, or individual, other than employees of the Contractor, who or which contracts with the Contractor or any Subcontractor, to fabricate or deliver, or who actually fabricates or delivers, plant, materials or equipment to be incorporated in the Work.
- 2.1.22 **“Means and Methods of Construction”** shall mean the labor, materials, temporary structures, tools, plant, and construction equipment, and the manner and time of their use, necessary to accomplish the result intended by this Contract.
- 2.1.23 **“Notice to Proceed” or “Order to Work”** shall mean the written notice issued by the Commissioner specifying the time for commencement of the Work and the Engineer, Architect or Project Manager.
- 2.1.24 **“Other Contractor(s)”** shall mean any contractor (other than the entity which executed this Contract or its Subcontractors) who or which has a contract with the City for work on or adjacent to the building or Site of the Work.
- 2.1.25 **“Payroll Taxes”** shall mean State Unemployment Insurance (SUI), Federal Unemployment Insurance (FUI), and payments pursuant to the Federal Insurance Contributions Act (FICA).
- 2.1.26 **“Project”** shall mean the public improvement to which this Contract relates.
- 2.1.27 **“Procurement Policy Board” (PPB)** shall mean the Agency of the City of New York whose function is to establish comprehensive and consistent procurement policies and rules which shall have broad application throughout the City.
- 2.1.28 **“Required Quantity”** in a unit price Contract shall mean the actual quantity of any item of Work or materials which is required to be performed or furnished in order to comply with the Contract.
- 2.1.29 **“Resident Engineer”** shall mean the representative of the Commissioner duly designated by the Commissioner to be his/her representative at the site of the Work.
- 2.1.30 **“Site”** shall mean the area upon or in which the Contractor’s operations are carried on, and such other areas adjacent thereto as may be designated as such by the Engineer.
- 2.1.31 **“Small Tools”** shall mean items that are ordinarily required for a worker’s job

function, including but not limited to, equipment that ordinarily has no licensing, insurance or substantive storage costs associated with it; such as circular and chain saws, impact drills, threaders, benders, wrenches, socket tools, etc.

2.1.32 “**Specifications**” shall mean all of the directions, requirements, and standards of performance applying to the Work as hereinafter detailed and designated under the Specifications.

2.1.33 “**Subcontractor**” shall mean any person, firm or corporation, other than employees of the Contractor, who or which contracts with the Contractor or with its subcontractors to furnish, or actually furnishes labor, or labor and materials, or labor and equipment, or superintendence, supervision and/or management at the Site. Wherever the word Subcontractor appears, it shall also mean sub-Subcontractor.

2.1.34 “**Substantial Completion**” shall mean the written determination by the Engineer that the Work required under this Contract is substantially, but not entirely, complete and the approval of the **Final Approved Punch List**.

2.1.35 “**Work**” shall mean all services required to complete the Project in accordance with the Contract Documents, including without limitation, labor, material, superintendence, management, administration, equipment, and incidentals, and obtaining any and all permits, certifications and licenses as may be necessary and required to complete the Work, and shall include both Contract Work and Extra Work.

CHAPTER II: THE WORK AND ITS PERFORMANCE

ARTICLE 3. CHARACTER OF THE WORK

3.1 Unless otherwise expressly provided in the **Contract Drawings, Specifications, and Addenda**, the **Work** shall be performed in accordance with the best modern practice, utilizing, unless otherwise specified in writing, new and unused materials of standard first grade quality and workmanship and design of the highest quality, to the satisfaction of the **Commissioner**.

ARTICLE 4. MEANS AND METHODS OF CONSTRUCTION

4.1 Unless otherwise expressly provided in the **Contract Drawings, Specifications, and Addenda**, the **Means and Methods of Construction** shall be such as the **Contractor** may choose; subject, however, to the **Engineer’s** right to reject the **Means and Methods of Construction** proposed by the **Contractor** which in the opinion of the **Engineer**:

4.1.1 Will constitute or create a hazard to the **Work**, or to persons or property; or

4.1.2 Will not produce finished **Work** in accordance with the terms of the **Contract**; or

4.1.3 Will be detrimental to the overall progress of the **Project**.

4.2 The **Engineer’s** approval of the **Contractor’s Means and Methods of Construction**, or his/her failure to exercise his/her right to reject such means or methods, shall not relieve the **Contractor** of its obligation to complete the **Work** as provided in this **Contract**; nor shall the exercise of such right to reject

create a cause of action for damages.

ARTICLE 5. COMPLIANCE WITH LAWS

5.1 The **Contractor** shall comply with all **Laws** applicable to this **Contract** and to the **Work** to be done hereunder.

5.2 Procurement Policy Board Rules: This **Contract** is subject to the Rules of the **PPB** (“**PPB Rules**”) in effect at the time of the bid opening for this **Contract**. In the event of a conflict between the **PPB Rules** and a provision of this **Contract**, the **PPB Rules** shall take precedence.

5.3 Noise Control Code provisions.

5.3.1 In accordance with the provisions of Section 24-216(b) of the Administrative Code of the **City** (“**Administrative Code**”), Noise Abatement Contract Compliance, devices and activities which will be operated, conducted, constructed or manufactured pursuant to this **Contract** and which are subject to the provisions of the **City** Noise Control Code shall be operated, conducted, constructed, or manufactured without causing a violation of the Administrative Code. Such devices and activities shall incorporate advances in the art of noise control development for the kind and level of noise emitted or produced by such devices and activities, in accordance with regulations issued by the **Commissioner** of the **City** Department of Environmental Protection.

5.3.2 The **Contractor** agrees to comply with Section 24-219 of the Administrative Code and implementing rules codified at 15 Rules of the City of New York (“**RCNY**”) Section 28-100 *et seq.* In accordance with such provisions, the **Contractor**, if the **Contractor** is the responsible party under such regulations, shall prepare and post a Construction Noise Mitigation Plan at each **Site**, in which the **Contractor** shall certify that all construction tools and equipment have been maintained so that they operate at normal manufacturers operating specifications. If the **Contractor** cannot make this certification, it must have in place an Alternative Noise Mitigation Plan approved by the **City** Department of Environmental Protection. In addition, the **Contractor**’s certified Construction Noise Mitigation Plan is subject inspection by the **City** Department of Environmental Protection in accordance with Section 28-101 of Title 15 of RCNY. No **Contract Work** may take place at a **Site** unless there is a Construction Noise Mitigation Plan or approved Alternative Noise Mitigation Plan in place. In addition, the **Contractor** shall create and implement a noise mitigation training program. Failure to comply with these requirements may result in fines and other penalties pursuant to the applicable provisions of the Administrative Code and RCNY.

5.4 Ultra Low Sulfur Diesel Fuel: In accordance with the provisions of Section 24-163.3 of the Administrative Code, the **Contractor** specifically agrees as follows:

5.4.1 Definitions. For purposes of this Article 5.4, the following definitions apply:

5.4.1(a) “**Contractor**” means any person or entity that enters into a Public Works Contract with a **City Agency**, or any person or entity that enters into an agreement with such person or entity, to perform work or provide labor or services related to such Public Works Contract.

5.4.1(b) “**Motor Vehicle**” means any self-propelled vehicle designed for transporting

persons or property on a street or highway.

5.4.1(c) “Nonroad Engine” means an internal combustion engine (including the fuel system) that is not used in a Motor Vehicle or a vehicle used solely for competition, or that is not subject to standards promulgated under Section 7411 or Section 7521 of Title 42 of the United States Code, except that this term shall apply to internal combustion engines used to power generators, compressors or similar equipment used in any construction program or project.

5.4.1(d) “Nonroad Vehicle” means a vehicle that is powered by a Nonroad Engine, fifty (50) horsepower and greater, and that is not a Motor Vehicle or a vehicle used solely for competition, which shall include, but not be limited to, excavators, backhoes, cranes, compressors, generators, bulldozers, and similar equipment, except that this term shall not apply to horticultural maintenance vehicles used for landscaping purposes that are powered by a Nonroad Engine of sixty-five (65) horsepower or less and that are not used in any construction program or project.

5.4.1(e) “Public Works Contract” means a contract with a **City Agency** for a construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge; a contract with a **City Agency** for the preparation for any construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge; or a contract with a **City Agency** for any final work involved in the completion of any construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge.

5.4.1(f) “Ultra Low Sulfur Diesel Fuel” means diesel fuel that has a sulfur content of no more than fifteen parts per million (15 ppm).

5.4.2 Ultra Low Sulfur Diesel Fuel

5.4.2(a) All **Contractors** shall use Ultra Low Sulfur Diesel Fuel in diesel-powered Nonroad Vehicles in the performance of this **Contract**.

5.4.2(b) Notwithstanding the requirements of Article 5.4.2(a), **Contractors** may use diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm) to fulfill the requirements of this Article 5.4.2, where the Commissioner of the **City** Department of Environmental Protection (“DEP Commissioner”) has issued a determination that a sufficient quantity of Ultra Low Sulfur Diesel Fuel is not available to meet the needs of **Agencies** and **Contractors**. Any such determination shall expire after six (6) months unless renewed.

5.4.2(c) **Contractors** shall not be required to comply with this Article 5.4.2 where the **City Agency** letting this **Contract** makes a written finding, which is approved, in writing, by the DEP Commissioner, that a sufficient quantity of Ultra Low Sulfur Diesel Fuel, or diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm) is not available to meet the requirements of Section 24-163.3 of the Administrative Code, provided that such **Contractor** in its fulfillment of the requirements of this **Contract**, to the extent practicable, shall use whatever quantity of Ultra Low Sulfur Diesel Fuel or diesel fuel that has a sulfur content of no more than thirty parts per

million (30 ppm) is available. Any finding made pursuant to this Article 5.4.2(c) shall expire after sixty (60) **Days**, at which time the requirements of this Article 5.4.2 shall be in full force and effect unless the **City Agency** renews the finding in writing and such renewal is approved by the DEP Commissioner.

5.4.2(d) **Contractors** may check on determinations and approvals issued by the DEP Commissioner pursuant to Section 24-163.3 of the Administrative Code, if any, at www.dep.nyc.gov or by contacting the **City Agency** letting this **Contract**.

5.4.2(e) The requirements of this Article 5.4.2 do not apply where they are precluded by federal or State funding requirements or where the **Contract** is an emergency procurement.

5.4.3 Best Available Technology

5.4.3(a) All **Contractors** shall utilize the best available technology for reducing the emission of pollutants for diesel-powered Nonroad Vehicles in the performance of this **Contract**. For determinations of best available technology for each type of diesel-powered Nonroad Vehicle, **Contractors** shall comply with the regulations of the **City Department of Environmental Protection**, as and when adopted, Chapter 14 of Title 15 of the Rules of the City of New York (RCNY). The **Contractor** shall fully document all steps in the best available technology selection process and shall furnish such documentation to the **City Agency** or the DEP Commissioner upon request. The **Contractor** shall retain all documentation generated in the best available technology selection process for as long as the selected best available technology is in use.

5.4.3(b) No **Contractor** shall be required to replace best available technology for reducing the emission of pollutants or other authorized technology utilized for a diesel-powered Nonroad Vehicle in accordance with the provisions of this Article 5.4.3 within three (3) years of having first utilized such technology for such vehicle.

5.4.3(c) This Article 5.4.3 shall not apply to any vehicle used to satisfy the requirements of a specific Public Works Contract for fewer than twenty (20) **Days**.

5.4.3(d) The **Contractor** shall not be required to comply with this Article 5.4.3 with respect to a diesel-powered Nonroad Vehicle under the following circumstances:

5.4.3(d)(i) Where the **City Agency** makes a written finding, which is approved, in writing, by the DEP Commissioner, that the best available technology for reducing the emission of pollutants as required by this Article 5.4.3 is unavailable for such vehicle, the **Contractor** shall use whatever technology for reducing the emission of pollutants, if any, is available and appropriate for such vehicle.

5.4.3(d)(ii) Where the DEP Commissioner has issued a written waiver based upon the Contractor having demonstrated to the DEP Commissioner that the use of the best available technology for reducing the emission of pollutants might endanger the operator of such vehicle or those working near such vehicle, due to engine malfunction, the **Contractor** shall use whatever technology for reducing the emission of pollutants, if any, is available and appropriate for such vehicle, which would not endanger the operator of such vehicle or those working near such vehicle.

5.4.3(d)(iii) In determining which technology to use for the purposes of Articles 5.4.3(d)(i) and 5.4.3(d)(ii) above, the **Contractor** shall primarily consider the reduction in emissions of particulate matter and secondarily consider the reduction in emissions of nitrogen oxides associated with the use of such technology, which shall in no event result in an increase in the emissions of either such pollutant.

5.4.3(d)(iv) The **Contractor** shall submit requests for a finding or a waiver pursuant to this Article 5.4.3(d) in writing to the DEP Commissioner, with a copy to the **ACCO** of the **City Agency** letting this **Contract**. Any finding or waiver made or issued pursuant to Articles 5.4.3(d)(i) and 5.4.3(d)(ii) above shall expire after one hundred eighty (180) **Days**, at which time the requirements of Article 5.4.3(a) shall be in full force and effect unless the **City Agency** renews the finding, in writing, and the DEP Commissioner approves such finding, in writing, or the DEP Commissioner renews the waiver, in writing.

5.4.3(e) The requirements of this Article 5.4.3 do not apply where they are precluded by federal or State funding requirements or where the **Contract** is an emergency procurement.

5.4.4 Section 24-163 of the Administrative Code. The **Contractor** shall comply with Section 24-163 of the Administrative Code related to the idling of the engines of motor vehicles while parking.

5.4.5 Compliance

5.4.5(a) The **Contractor's** compliance with Article 5.4 may be independently monitored. If it is determined that the **Contractor** has failed to comply with any provision of Article 5.4, any costs associated with any independent monitoring incurred by the **City** shall be reimbursed by the **Contractor**.

5.4.5(b) Any **Contractor** who violates any provision of Article 5.4, except as provided in Article 5.4.5(c) below, shall be liable for a civil penalty between the amounts of one thousand (\$1,000) and ten thousand (\$10,000) dollars, in addition to twice the amount of money saved by such **Contractor** for failure to comply with Article 5.4.

5.4.5(c) No **Contractor** shall make a false claim with respect to the provisions of Article 5.4 to a **City Agency**. Where a **Contractor** has been found to have done so, such **Contractor** shall be liable for a civil penalty of twenty thousand (\$20,000) dollars, in addition to twice the amount of money saved by such **Contractor** in association with having made such false claim.

5.4.6 Reporting

5.4.6(a) For all Public Works Contracts covered by this Article 5.4, the **Contractor** shall report to the **City Agency** the following information:

5.4.6(a)(i) The total number of diesel-powered Nonroad Vehicles used to fulfill the requirements of this Public Works Contract;

5.4.6(a)(ii) The number of such Nonroad Vehicles that were powered by Ultra Low Sulfur Diesel Fuel;

5.4.6(a)(iii) The number of such Nonroad Vehicles that utilized the best available technology for reducing the emission of pollutants, including a breakdown by vehicle model and the type of technology;

5.4.6(a)(iv) The number of such Nonroad Vehicles that utilized such other authorized technology in accordance with Article 5.4.3, including a breakdown by vehicle model and the type of technology used for each such vehicle;

5.4.6(a)(v) The locations where such Nonroad Vehicles were used; and

5.4.6(a)(vi) Where a determination is in effect pursuant to Article 5.4.2(b) or 5.4.2(c), detailed information concerning the **Contractor's** efforts to obtain Ultra Low Sulfur Diesel Fuel or diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm).

5.4.6(b) The **Contractor** shall submit the information required by Article 5.4.6(a) at the completion of **Work** under the Public Works Contract and on a yearly basis no later than August 1 throughout the term of the Public Works Contract. The yearly report shall cover **Work** performed during the preceding fiscal year (July 1 - June 30).

5.5 Ultra Low Sulfur Diesel Fuel. In accordance with the Coordinated Construction Act for Lower Manhattan, as amended:

5.5.1 Definitions. For purposes of this Article 5.5, the following definitions apply:

5.5.1(a) "Lower Manhattan" means the area to the south of and within the following lines: a line beginning at a point where the United States pierhead line in the Hudson River as it exists now or may be extended would intersect with the southerly line of West Houston Street in the Borough of Manhattan extended, thence easterly along the southerly side of West Houston Street to the southerly side of Houston Street, thence easterly along the southerly side of Houston Street to the southerly side of East Houston Street, thence northeasterly along the southerly side of East Houston Street to the point where it would intersect with the United States pierhead line in the East River as it exists now or may be extended, including tax lots within or immediately adjacent thereto.

5.5.1(b) "Lower Manhattan Redevelopment Project" means any project in Lower Manhattan that is funded in whole or in part with federal or State funding, or any project intended to improve transportation between Lower Manhattan and the two air terminals in the **City** known as LaGuardia Airport and John F. Kennedy International Airport, or between Lower Manhattan and the air terminal in Newark known as Newark Liberty International Airport, and that is funded in whole or in part with federal funding.

5.5.1(c) "Nonroad Engine" means an internal combustion engine (including the fuel system) that is not used in a Motor Vehicle or a vehicle used solely for competition, or that is not subject to standards promulgated under Section 7411 or Section 7521 of Title 42 of the United States Code, except that this term shall apply to internal combustion engines used to power generators, compressors or similar equipment used in any construction program or project.

5.5.1(d) "Nonroad Vehicle" means a vehicle that is powered by a Nonroad Engine, fifty (50) horsepower (HP) and greater, and that is not a Motor Vehicle or a vehicle used

solely for competition, which shall include, but not be limited to, excavators, backhoes, cranes, compressors, generators, bulldozers, and similar equipment, except that this terms shall not apply to horticultural maintenance vehicles used for landscaping purposes that are powered by a Nonroad Engine of sixty-five (65) HP or less and that are not used in any construction program or project.

5.5.1(e) "Ultra Low Sulfur Diesel Fuel" means diesel fuel that has a sulfur content of no more than fifteen parts per million (15 ppm).

5.5.2 Requirements. **Contractors** and **Subcontractors** are required to use only Ultra Low Sulfur Diesel Fuel to power the diesel-powered Nonroad Vehicles with engine HP rating of fifty (50) HP and above used on a Lower Manhattan Redevelopment Project and, where practicable, to reduce the emission of pollutants by retrofitting such Nonroad Vehicles with oxidation catalysts, particulate filters, or technology that achieves lowest particulate matter emissions.

5.6 Pesticides. In accordance with Section 17-1209 of the Administrative Code, to the extent that the **Contractor** or any **Subcontractor** applies pesticides to any property owned or leased by the **City**, the **Contractor**, and any **Subcontractor** shall comply with Chapter 12 of the Administrative Code.

5.7 Waste Treatment, Storage, and Disposal Facilities and Transporters. In connection with the **Work**, the **Contractor** and any **Subcontractor** shall use only those waste treatment, storage, and disposal facilities and waste transporters that possess the requisite license, permit or other governmental approval necessary to treat, store, dispose, or transport the waste, materials or hazardous substances.

5.8 Environmentally Preferable Purchasing. The **Contractor** shall ensure that products purchased or leased by the **Contractor** or any **Subcontractor** for the **Work** that are not specified by the **City** or are submitted as equivalents to a product specified by the **City** comply with the requirements of the New York City Environmentally Preferable Purchasing Program contained in Chapter 11 of Title 43 of the RCNY, pursuant to Chapter 3 of Title 6 of the Administrative Code.

ARTICLE 6. INSPECTION

6.1 During the progress of the **Work** and up to the date of **Final Acceptance**, the **Contractor** shall at all times afford the representatives of the **City** every reasonable, safe, and proper facility for inspecting all **Work** done or being done at the **Site** and also for inspecting the manufacture or preparation of materials and equipment at the place of such manufacture or preparation.

6.2 The **Contractor's** obligation hereunder shall include the uncovering or taking down of finished **Work** and its restoration thereafter; provided, however, that the order to uncover, take down and restore shall be in writing, and further provided that if **Work** thus exposed proves satisfactory, and if the **Contractor** has complied with Article 6.1, such uncovering or taking down and restoration shall be considered an item of **Extra Work** to be paid for in accordance with the provisions of Article 26. If the **Work** thus exposed proves unsatisfactory, the **City** has no obligation to compensate the **Contractor** for the uncovering, taking down or restoration.

6.3 Inspection and approval by the **Commissioner**, the **Engineer**, **Project Manager**, or **Resident Engineer**, of finished **Work** or of **Work** being performed, or of materials and equipment at the place of manufacture or preparation, shall not relieve the **Contractor** of its obligation to perform the **Work** in strict accordance with the **Contract**. Finished or unfinished **Work** not found to be in strict accordance with the

Contract shall be replaced as directed by the **Engineer**, even though such **Work** may have been previously approved and paid for. Such corrective **Work** is **Contract Work** and shall not be deemed **Extra Work**.

6.4 Rejected **Work** and materials shall be promptly taken down and removed from the **Site**, which must at all times be kept in a reasonably clean and neat condition.

ARTICLE 7. PROTECTION OF WORK AND OF PERSONS AND PROPERTY; NOTICES AND INDEMNIFICATION

7.1 During the performance of the **Work** and up to the date of **Final Acceptance**, the **Contractor** shall be under an absolute obligation to protect the finished and unfinished **Work** against any damage, loss, injury, theft and/or vandalism and in the event of such damage, loss, injury, theft and/or vandalism, it shall promptly replace and/or repair such **Work** at the **Contractor's** sole cost and expense, as directed by the **Resident Engineer**. The obligation to deliver finished **Work** in strict accordance with the **Contract** prior to **Final Acceptance** shall be absolute and shall not be affected by the **Resident Engineer's** approval of, or failure to prohibit, the **Means and Methods of Construction** used by the **Contractor**.

7.2 During the performance of the **Work** and up to the date of **Final Acceptance**, the **Contractor** shall take all reasonable precautions to protect all persons and the property of the **City** and of others from damage, loss or injury resulting from the **Contractor's**, and/or its **Subcontractors'** operations under this **Contract**. The **Contractor's** obligation to protect shall include the duty to provide, place or replace, and adequately maintain at or about the **Site** suitable and sufficient protection such as lights, barricades, and enclosures.

7.3 The **Contractor** shall comply with the notification requirements set forth below in the event of any loss, damage or injury to **Work**, persons or property, or any accidents arising out of the operations of the **Contractor** and/or its **Subcontractors** under this **Contract**.

7.3.1 The **Contractor** shall make a full and complete report in writing to the **Resident Engineer** within three (3) **Days** after the occurrence.

7.3.2 The **Contractor** shall also send written notice of any such event to all insurance carriers that issued potentially responsive policies (including commercial general liability insurance carriers for events relating to the **Contractor's** own employees) no later than twenty (20) days after such event and again no later than twenty (20) days after the initiation of any claim and/or action resulting therefrom. Such notice shall contain the following information: the number of the insurance policy, the name of the Named Insured, the date and location of the incident, and the identity of the persons injured or property damaged. For any policy on which the **City** and/or the **Engineer, Architect, or Project Manager** are Additional Insureds, such notice shall expressly specify that "this notice is being given on behalf of the City of New York as Additional Insured, such other Additional Insureds, as well as the Named Insured."

7.3.2(a) Whenever such notice is sent under a policy on which the **City** is an Additional Insured, the **Contractor** shall provide copies of the notice to the **Comptroller, the Commissioner** and the **City Corporation Counsel**. The copy to the **Comptroller** shall be sent to the Insurance Unit, NYC Comptroller's Office, 1 Centre Street – Room 1222, New York, New York, 10007. The copy to the **Commissioner** shall be sent to the address set forth in Schedule A of the General Conditions. The copy to the **City Corporation Counsel** shall be sent to Insurance Claims Specialist, Affirmative Litigation

Division, New York City Law Department, 100 Church Street, New York, New York 10007.

7.3.2(b) If the **Contractor** fails to provide any of the foregoing notices to any appropriate insurance carrier(s) in a timely and complete manner, the **Contractor** shall indemnify the **City** for all losses, judgments, settlements, and expenses, including reasonable attorneys' fees, arising from an insurer's disclaimer of coverage citing late notice by or on behalf of the **City**.

7.4 To the fullest extent permitted by law, the **Contractor** shall defend, indemnify, and hold the **City**, its employees, and officials (the "Indemnitees") harmless against any and all claims (including but not limited to claims asserted by any employee of the **Contractor** and/or its **Subcontractors**) and costs and expenses of whatever kind (including but not limited to payment or reimbursement of attorneys' fees and disbursements) allegedly arising out of or in any way related to the operations of the **Contractor** and/or its **Subcontractors** in the performance of this **Contract** or from the **Contractor's** and/or its **Subcontractors'** failure to comply with any of the provisions of this **Contract** or of the **Law**. Such costs and expenses shall include all those incurred in defending the underlying claim and those incurred in connection with the enforcement of this Article 7.4 by way of cross-claim, third-party claim, declaratory action or otherwise. The parties expressly agree that the indemnification obligation hereunder contemplates (1) full indemnity in the event of liability imposed against the Indemnitees without negligence and solely by reason of statute, operation of **Law** or otherwise; and (2) partial indemnity in the event of any actual negligence on the part of the Indemnitees either causing or contributing to the underlying claim (in which case, indemnification will be limited to any liability imposed over and above that percentage attributable to actual fault whether by statute, by operation of **Law**, or otherwise). Where partial indemnity is provided hereunder, all costs and expenses shall be indemnified on a pro rata basis.

7.4.1 Indemnification under Article 7.4 or any other provision of the **Contract** shall operate whether or not **Contractor** or its **Subcontractors** have placed and maintained the insurance specified under Article 22.

7.5 The provisions of this Article 7 shall not be deemed to create any new right of action in favor of third parties against the **Contractor** or the **City**.

CHAPTER III: TIME PROVISIONS

ARTICLE 8. COMMENCEMENT AND PROSECUTION OF THE WORK

8.1 The **Contractor** shall commence the **Work** on the date specified in the **Notice to Proceed** or the **Order to Work**. The time for performance of the **Work** under the **Contract** shall be computed from the date specified in the **Notice to Proceed** or the **Order to Work**. **TIME BEING OF THE ESSENCE** to the **City**, the **Contractor** shall thereafter prosecute the **Work** diligently, using such **Means and Methods of Construction** as are in accord with Article 4 herein and as will assure its completion not later than the date specified in this **Contract**, or on the date to which the time for completion may be extended.

ARTICLE 9. PROGRESS SCHEDULES

9.1 To enable the **Work** to be performed in an orderly and expeditious manner, the **Contractor**, within fifteen (15) **Days** after the **Notice to Proceed** or **Order to Work**, unless otherwise directed by the **Engineer**, shall submit to the **Engineer** a proposed progress schedule based on the Critical Path Method in the form of

a bar graph or in such other form as specified by the **Engineer**, and monthly cash flow requirements, showing:

9.1.1 The anticipated time of commencement and completion of each of the various operations to be performed under this **Contract**; and

9.1.2 The sequence and interrelation of each of these operations with the others and with those of other related contracts; and

9.1.3 The estimated time required for fabrication or delivery, or both, of all materials and equipment required for the **Work**, including the anticipated time for obtaining required approvals pursuant to Article 10; and

9.1.4 The estimated amount in dollars the **Contractor** will claim on a monthly basis.

9.2 The proposed schedule shall be revised as directed by the **Engineer**, until finally approved by the **Engineer**, and after such approval, subject to the provisions of Article 11, shall be strictly adhered to by the **Contractor**.

9.3 If the **Contractor** shall fail to adhere to the approved progress schedule, or to the schedule as revised pursuant to Article 11, it shall promptly adopt such other or additional **Means and Methods of Construction**, at its sole cost and expense, as will make up for the time lost and will assure completion in accordance with the approved progress schedule. The approval by the **City** of a progress schedule which is shorter than the time allotted under the **Contract** shall not create any liability for the **City** if the approved progress schedule is not met.

9.4 The **Contractor** will not receive any payments until the proposed progress schedule is submitted.

ARTICLE 10. REQUESTS FOR INFORMATION OR APPROVAL

10.1 From time to time as the **Work** progresses and in the sequence indicated by the approved progress schedule, the **Contractor** shall submit to the **Engineer** a specific request in writing for each item of information or approval required by the **Contractor**. These requests shall state the latest date upon which the information or approval is actually required by the **Contractor**, and shall be submitted in a reasonable time in advance thereof to provide the **Engineer** a sufficient time to act upon such submissions, or any necessary re-submissions thereof.

10.2 The **Contractor** shall not have any right to an extension of time on account of delays due to the **Contractor's** failure to submit requests for the required information or the required approval in accordance with the above requirements.

ARTICLE 11. NOTICE OF CONDITIONS CAUSING DELAY AND DOCUMENTATION OF DAMAGES CAUSED BY DELAY

11.1 After the commencement of any condition which is causing or may cause a delay in completion of the **Work**, including conditions for which the **Contractor** may be entitled to an extension of time, the following notifications and submittals are required:

11.1.1 Within fifteen (15) **Days** after the **Contractor** becomes aware or reasonably should be

aware of each such condition, the **Contractor** must notify the **Resident Engineer** or **Engineer**, as directed by the **Commissioner**, in writing of the existence, nature and effect of such condition upon the approved progress schedule and the **Work**, and must state why and in what respects, if any, the condition is causing or may cause a delay. Such notice shall include a description of the construction activities that are or could be affected by the condition and may include any recommendations the **Contractor** may have to address the delay condition and any activities the **Contractor** may take to avoid or minimize the delay.

11.1.2 If the **Contractor** shall claim to be sustaining damages for delay as provided for in this Article 11, within forty-five (45) **Days** from the time such damages are first incurred for each such condition, the **Contractor** shall submit to the **Commissioner** a verified written statement of the details and estimates of the amounts of such damages, including categories of expected damages and projected monthly costs, together with documentary evidence of such damages as the **Contractor** may have at the time of submission (“statement of delay damages”), as further detailed in Article 11.6. The **Contractor** may submit the above statement within such additional time as may be granted by the **Commissioner** in writing upon written request therefor.

11.1.3 Articles 11.1.1 and 11.1.2 do not relieve the **Contractor** of its obligation to comply with the provisions of Article 44.

11.2 Failure of the **Contractor** to strictly comply with the requirements of Article 11.1.1 may, in the discretion of the **Commissioner**, be deemed sufficient cause to deny any extension of time on account of delay arising out of such condition. Failure of the **Contractor** to strictly comply with the requirements of both Articles 11.1.1 and 11.1.2 shall be deemed a conclusive waiver by the **Contractor** of any and all claims for damages for delay arising from such condition and no right to recover on such claims shall exist.

11.3 When appropriate and directed by the **Engineer**, the progress schedule shall be revised by the **Contractor** until finally approved by the **Engineer**. The revised progress schedule must be strictly adhered to by the **Contractor**.

11.4 Compensable Delays

11.4.1 The **Contractor** agrees to make claim only for additional costs attributable to delay in the performance of this **Contract** necessarily extending the time for completion of the **Work** or resulting from acceleration directed by the **Commissioner** and required to maintain the progress schedule, occasioned solely by any act or omission to act of the **City** listed below. The **Contractor** also agrees that delay from any other cause shall be compensated, if at all, solely by an extension of time to complete the performance of the **Work**.

11.4.1.1 The failure of the **City** to take reasonable measures to coordinate and progress the **Work** to the extent required by the **Contract**, except that the **City** shall not be responsible for the **Contractor’s** obligation to coordinate and progress the **Work** of its **Subcontractors**.

11.4.1.2 Unreasonable delays attributable to the review of shop drawings, the issuance of change orders, or the cumulative impact of change orders that were not brought about by any act or omission of the **Contractor**.

11.4.1.3 The unavailability of the **Site** caused by acts or omissions of the **City**.

11.4.1.4 The issuance by the **Engineer** of a stop work order that was not brought about through any act or omission of the **Contractor**.

11.4.1.5 Differing site conditions or environmental hazards that were neither known nor reasonably ascertainable on a pre-bid inspection of the **Site** or review

of the bid documents or other publicly available sources, and that are not ordinarily encountered in the **Project's** geographical area or neighborhood or in the type of **Work** to be performed.

11.4.1.6 Delays caused by the **City's** bad faith or its willful, malicious, or grossly negligent conduct;

11.4.1.7 Delays not contemplated by the parties;

11.4.1.8 Delays so unreasonable that they constitute an intentional abandonment of the **Contract** by the **City**; and

11.4.1.9 Delays resulting from the **City's** breach of a fundamental obligation of the **Contract**.

11.4.2 No claim may be made for any alleged delay in **Substantial Completion** of the **Work** if the **Work** will be or is substantially completed by the date of **Substantial Completion** provided for in Schedule A unless acceleration has been directed by the **Commissioner** to meet the date of **Substantial Completion** set forth in Schedule A, or unless there is a provision in the **Contract** providing for additional compensation for early completion.

11.4.3 The provisions of this Article 11 apply only to claims for additional costs attributable to delay and do not preclude determinations by the **Commissioner** allowing reimbursements for additional costs for **Extra Work** pursuant to Articles 25 and 26 of this **Contract**. To the extent that any cost attributable to delay is reimbursed as part of a change order, no additional claim for compensation under this Article 11 shall be allowed.

11.5 Non-Compensable Delays. The **Contractor** agrees to make no claim for, and is deemed to have included in its bid prices for the various items of the **Contract**, the extra/additional costs attributable to any delays caused by or attributable to the items set forth below. For such items, the **Contractor** shall be compensated, if at all, solely by an extension of time to complete the performance of the **Work**, in accordance with the provisions of Article 13. Such extensions of time will be granted, if at all, pursuant to the grounds set forth in Article 13.3.

11.5.1 The acts or omissions of any third parties, including but not limited to **Other Contractors**, public/ governmental bodies (other than **City Agencies**), utilities or private enterprises, who are disclosed in the **Contract Documents** or are ordinarily encountered or generally recognized as related to the **Work**;

11.5.2 Any situation which was within the contemplation of the parties at the time of entering into the **Contract**, including any delay indicated or disclosed in the **Contract Documents** or that would be generally recognized by a reasonably prudent contractor as related to the nature of the **Work**, and/or the existence of any facility or appurtenance owned, operated or maintained by any third party, as indicated or disclosed in the **Contract Documents** or ordinarily encountered or generally recognized as related to the nature of the **Work**;

11.5.3 Restraining orders, injunctions or judgments issued by a court which were caused by a Contractor's submission, action or inaction or by a Contractor's **Means and Methods of Construction**, or by third parties, unless such order, injunction or judgment was the result of an act or omission by the **City**;

11.5.4 Any labor boycott, strike, picketing, lockout or similar situation;

11.5.5 Any shortages of supplies or materials, or unavailability of equipment, required by the **Contract Work**;

11.5.6 Climatic conditions, storms, floods, droughts, tidal waves, fires, hurricanes, earthquakes, landslides or other catastrophes or acts of God, or acts of war or of the public enemy or terrorist acts, including the **City's** reasonable responses thereto; and

11.5.7 **Extra Work** which does not significantly affect the overall completion of the **Contract**, reasonable delays in the review or issuance of change orders or field orders and/or in shop drawing reviews or approvals.

11.6 Required Content of Submission of Statement of Delay Damages

11.6.1 In the verified written statement of delay damages required by Article 11.1.2, the following information shall be provided by the **Contractor**:

11.6.1.1 For each delay, the start and end dates of the claimed periods of delay and, in addition, a description of the operations that were delayed, an explanation of how they were delayed, and the reasons for the delay, including identifying the applicable act or omission of the City listed in Article 11.4.

11.6.1.2 A detailed factual statement of the claim providing all necessary dates, locations and items of **Work** affected by the claim.

11.6.1.3 The estimated amount of additional compensation sought and a breakdown of that amount into categories as described in Article 11.7.

11.6.1.4 Any additional information requested by the **Commissioner**.

11.7 Recoverable Costs

11.7.1 Delay damages may be recoverable for the following costs actually and necessarily incurred in the performance of the **Work**:

11.7.1.1 Direct labor, including payroll taxes (subject to statutory wage caps) and supplemental benefits, based on time and materials records;

11.7.1.2 Necessary materials (including transportation to the **Site**), based on time and material records;

11.7.1.3 Reasonable rental value of necessary plant and equipment other than small tools, plus fuel/energy costs according to the applicable formula set forth in Articles 26.2.4 and/or 26.2.8, based on time and material records;

11.7.1.4 Additional insurance and bond costs;

11.7.1.5 Extended **Site** overhead, field office rental, salaries of field office staff, on-site project managers and superintendents, field office staff vehicles, **Project**-specific storage, field office utilities and telephone, and field office consumables;

11.7.1.6 Labor escalation costs based on actual costs;

11.7.1.7 Materials and equipment escalation costs based on applicable industry indices unless documentation of actual increased cost is provided;

11.7.1.8 Additional material and equipment storage costs based on actual documented costs and additional costs necessitated by extended manufacturer warranty periods; and

11.7.1.9 Extended home office overhead calculated based on the following formula:

(1) Subtract from the original **Contract** amount the amount earned by original contractual **Substantial Completion** date (not

- including change orders);
- (2) Remove 15% overhead and profit from the calculation in item (1) by dividing the results of item (1) by 1.15;
- (3) Multiply the result of item (2) by 7.25% for the total home office overhead;
- (4) Multiply the result of item (3) by 7.25% for the total profit; and
- (5) The total extended home office overhead will be the total of items (3) and (4).

11.7.2 Recoverable Subcontractor Costs. When the **Work** is performed by a **Subcontractor**, the **Contractor** may be paid the actual and necessary costs of such subcontracted **Work** as outlined above in Articles 11.7.1.1 through 11.7.1.8, and an additional overhead of 5% of the costs outlined in Articles 11.7.1.1 through 11.7.1.3.

11.7.3 Non-Recoverable Costs. The parties agree that the **City** will have no liability for the following items and the **Contractor** agrees it shall make no claim for the following items:

- 11.7.3.1 Profit, or loss of anticipated or unanticipated profit, except as provided in Article 11.7.1.9;
- 11.7.3.2 Consequential damages, including, but not limited to, construction or bridge loans or interest paid on such loans, loss of bonding capacity, bidding opportunities, or interest in investment, or any resulting insolvency;
- 11.7.3.3 Indirect costs or expenses of any nature except those included in Article 11.7.1;
- 11.7.3.4 Direct or indirect costs attributable to performance of **Work** where the **Contractor**, because of situations or conditions within its control, has not progressed the **Work** in a satisfactory manner; and
- 11.7.3.5 Attorneys' fees and dispute and claims preparation expenses.

11.8 Any claims for delay under this Article 11 are not subject to the jurisdiction of the Contract Dispute Resolution Board pursuant to the dispute resolution process set forth in Article 27.

11.9 Any compensation provided to the **Contractor** in accordance with this Article 11 will be made pursuant to a claim filed with the **Comptroller**. Nothing in this Article 11 extends the time for the **Contractor** to file an action with respect to a claim within six months after **Substantial Completion** pursuant to Article 56.

ARTICLE 12. COORDINATION WITH OTHER CONTRACTORS

12.1 During the progress of the **Work**, **Other Contractors** may be engaged in performing other work or may be awarded other contracts for additional work on this **Project**. In that event, the **Contractor** shall coordinate the **Work** to be done hereunder with the work of such **Other Contractors** and the **Contractor** shall fully cooperate with such **Other Contractors** and carefully fit its own **Work** to that provided under other contracts as may be directed by the **Engineer**. The **Contractor** shall not commit or permit any act which will interfere with the performance of work by any **Other Contractors**.

12.2 If the **Engineer** determines that the **Contractor** is failing to coordinate its **Work** with the work of **Other Contractors** as the **Engineer** has directed, then the **Commissioner** shall have the right to withhold any payments otherwise due hereunder until the **Contractor** completely complies with the **Engineer's** directions.

12.3 The **Contractor** shall notify the **Engineer** in writing if any **Other Contractor** on this **Project** is failing to coordinate its work with the **Work** of this **Contract**. If the **Engineer** finds such charges to be true, the **Engineer** shall promptly issue such directions to the **Other Contractor** with respect thereto as the situation may require. The **City** shall not, however, be liable for any damages suffered by any **Other Contractor's** failure to coordinate its work with the **Work** of this **Contract** or by reason of the **Other Contractor's** failure to promptly comply with the directions so issued by the **Engineer**, or by reason of any **Other Contractor's** default in performance, it being understood that the **City** does not guarantee the responsibility or continued efficiency of any contractor. The **Contractor** agrees to make no claim against the **City** for any damages relating to or arising out of any directions issued by the **Engineer** pursuant to this Article 12 (including but not limited to the failure of any **Other Contractor** to comply or promptly comply with such directions), or the failure of any **Other Contractor** to coordinate its work, or the default in performance of any **Other Contractor**.

12.4 The **Contractor** shall indemnify and hold the **City** harmless from any and all claims or judgments for damages and from costs and expenses to which the **City** may be subjected or which it may suffer or incur by reason of the **Contractor's** failure to comply with the **Engineer's** directions promptly; and the **Comptroller** shall have the right to exercise the powers reserved in Article 23 with respect to any claims which may be made for damages due to the **Contractor's** failure to comply with the **Engineer's** directions promptly. Insofar as the facts and **Law** relating to any claim would preclude the **City** from being completely indemnified by the **Contractor**, the **City** shall be partially indemnified by the **Contractor** to the fullest extent provided by **Law**.

12.5 Should the **Contractor** sustain any damage through any act or omission of any **Other Contractor** having a contract with the **City** for the performance of work upon the **Site** or of work which may be necessary to be performed for the proper prosecution of the **Work** to be performed hereunder, or through any act or omission of a subcontractor of such **Other Contractor**, the **Contractor** shall have no claim against the **City** for such damage, but shall have a right to recover such damage from the **Other**

12.5 **Contractor** under the provision similar to the following provisions which apply to this Contract and have been or will be inserted in the contracts with such Other Contractors:

12.5.1 Should any **Other Contractor** having or who shall hereafter have a contract with the **City** for the performance of work upon the **Site** sustain any damage through any act or omission of the **Contractor** hereunder or through any act or omission of any **Subcontractor** of the **Contractor**, the **Contractor** agrees to reimburse such **Other Contractor** for all such damages and to defend at its own expense any action based upon such claim and if any judgment or claim (even if the allegations of the action are without merit) against the **City** shall be allowed the **Contractor** shall pay or satisfy such judgment or claim and pay all costs and expenses in connection therewith and agrees to indemnify and hold the **City** harmless from all such claims. Insofar as the facts and **Law** relating to any claim would preclude the **City** from being completely indemnified by the **Contractor**, the **City** shall be partially indemnified by the **Contractor** to the fullest extent provided by **Law**.

12.6 The **City's** right to indemnification hereunder shall in no way be diminished, waived or discharged by its recourse to assessment of liquidated damages as provided in Article 15, or by the exercise of any other remedy provided for by **Contract** or by **Law**.

ARTICLE 13. EXTENSION OF TIME FOR PERFORMANCE

13.1 If performance by the **Contractor** is delayed for a reason set forth in Article 13.3, the **Contractor** may be allowed a reasonable extension of time in conformance with this Article 13 and the **PPB**

Rules.

13.2 Any extension of time may be granted only by the **ACCO** or by the Board for the Extension of Time (hereafter “Board”) (as set forth below) upon written application by the **Contractor**.

13.3 Grounds for Extension: If such application is made, the **Contractor** shall be entitled to an extension of time for delay in completion of the **Work** caused solely:

13.3.1 By the acts or omissions of the **City**, its officials, agents or employees; or

13.3.2 By the act or omissions of **Other Contractors** on this **Project**; or

13.3.3 By supervening conditions entirely beyond the control of either party hereto (such as, but not limited to, acts of God or the public enemy, excessive inclement weather, war or other national emergency making performance temporarily impossible or illegal, or strikes or labor disputes not brought about by any act or omission of the **Contractor**).

13.3.4 The **Contractor** shall, however, be entitled to an extension of time for such causes only for the number of **Days** of delay which the **ACCO** or the Board may determine to be due solely to such causes, and then only if the **Contractor** shall have strictly complied with all of the requirements of Articles 9 and 10.

13.4 The **Contractor** shall not be entitled to receive a separate extension of time for each of several causes of delay operating concurrently, but, if at all, only for the actual period of delay in completion of the **Work** as determined by the **ACCO** or the Board, irrespective of the number of causes contributing to produce such delay. If one of several causes of delay operating concurrently results from any act, fault or omission of the **Contractor** or of its **Subcontractors** or **Materialmen**, and would of itself (irrespective of the concurrent causes) have delayed the **Work**, no extension of time will be allowed for the period of delay resulting from such act, fault or omission.

13.5 The determination made by the **ACCO** or the Board on an application for an extension of time shall be binding and conclusive on the **Contractor**.

13.6 The **ACCO** or the Board acting entirely within their discretion may grant an application for an extension of time for causes of delay other than those herein referred.

13.7 Permitting the **Contractor** to continue with the **Work** after the time fixed for its completion has expired, or after the time to which such completion may have been extended has expired, or the making of any payment to the **Contractor** after such time, shall in no way operate as a waiver on the part of the **City** of any of its rights under this **Contract**.

13.8 Application for Extension of Time:

13.8.1 Before the **Contractor’s** time extension request will be considered, the **Contractor** shall notify the **ACCO** of the condition which allegedly has caused or is causing the delay, and shall submit a written application to the **ACCO** identifying:

13.8.1(a) The **Contractor**; the registration number; and **Project** description;

13.8.1(b) Liquidated damage assessment rate, as specified in the **Contract**;

13.8.1(c) Original total bid price;

13.8.1(d) The original **Contract** start date and completion date;

13.8.1(e) Any previous time extensions granted (number and duration); and

13.8.1(f) The extension of time requested.

13.8.2 In addition, the application for extension of time shall set forth in detail:

13.8.2(a) The nature of each alleged cause of delay in completing the **Work**;

13.8.2(b) The date upon which each such cause of delay began and ended and the number of **Days** attributable to each such cause;

13.8.2(c) A statement that the **Contractor** waives all claims except for those delineated in the application, and the particulars of any claims which the **Contractor** does not agree to waive. For time extensions for **Substantial Completion** and final completion payments, the application shall include a detailed statement of the dollar amounts of each element of claim item reserved; and

13.8.2(d) A statement indicating the **Contractor's** understanding that the time extension is granted only for purposes of permitting continuation of **Contract** performance and payment for **Work** performed and that the **City** retains its right to conduct an investigation and assess liquidated damages as appropriate in the future.

13.9 Analysis and Approval of Time Extensions:

13.9.1 For time extensions for partial payments, a written determination shall be made by the **ACCO** who may, for good and sufficient cause, extend the time for the performance of the **Contract** as follows:

13.9.1(a) If the **Work** is to be completed within six (6) months, the time for performance may be extended for sixty (60) **Days**;

13.9.1(b) If the **Work** is to be completed within less than one (1) year but more than six (6) months, an extension of ninety (90) **Days** may be granted;

13.9.1(c) If the **Contract** period exceeds one (1) year, besides the extension granted in Article 13.9.1(b), an additional thirty (30) **Days** may be granted for each multiple of six (6) months involved beyond the one (1) year period; or

13.9.1(d) If exceptional circumstances exist, the **ACCO** may extend the time for performance beyond the extensions in Articles 13.9.1(a), 13.9.1(b), and 13.9.1(c). In that event, the **ACCO** shall file with the Mayor's Office of Contract Services a written explanation of the exceptional circumstances.

13.9.2 For extensions of time for **Substantial Completion** and final completion payments, the **Engineer**, in consultation with the **ACCO**, shall prepare a written analysis of the delay (including a preliminary determination of the causes of delay, the beginning and end dates for each such cause of delay, and whether the delays are excusable under the terms of this **Contract**). The report shall be subject to review by and approval of the Board, which shall have authority to question its analysis and determinations and request additional facts or documentation. The report as reviewed and made final by the Board shall be made a part of the **Agency** contract file. Neither the report itself nor anything contained therein shall operate as a

waiver or release of any claim the **City** may have against the **Contractor** for either actual or liquidated damages.

13.9.3 Approval Mechanism for Time Extensions for **Substantial Completion** or Final Completion Payments: An extension shall be granted only with the approval of the Board which is comprised of the **ACCO** of the **Agency**, the **City** Corporation Counsel, and the **Comptroller**, or their authorized representatives.

13.9.4 Neither the granting of any application for an extension of time to the **Contractor** or any **Other Contractor** on this **Project** nor the papers, records or reports related to any application for or grant of an extension of time or determination related thereto shall be referred to or offered in evidence by the **Contractor** or its attorneys in any action or proceeding.

13.10 No Damage for Delay: The **Contractor** agrees to make no claim for damages for delay in the performance of this **Contract** occasioned by any act or omission to act of the **City** or any of its representatives, except as provided for in Article 11.

ARTICLE 14. COMPLETION AND FINAL ACCEPTANCE OF THE WORK

14.1 Date for **Substantial Completion**: The **Contractor** shall substantially complete the **Work** within the time fixed in Schedule A of the General Conditions, or within the time to which such **Substantial Completion** may be extended.

14.2 Determining the Date of **Substantial Completion**: The **Work** will be deemed to be substantially complete when the two conditions set forth below have been met.

14.2.1 Inspection: The **Engineer** or **Resident Engineer**, as applicable, has inspected the **Work** and has made a written determination that it is substantially complete.

14.2.2 Approval of **Final Approved Punch List** and Date for **Final Acceptance**: Following inspection of the **Work**, the **Engineer/Resident Engineer** shall furnish the **Contractor** with a final punch list, specifying all items of **Work** to be completed and proposing dates for the completion of each specified item of **Work**. The **Contractor** shall then submit in writing to the **Engineer/Resident Engineer** within ten (10) **Days** of the **Engineer/Resident Engineer** furnishing the final punch list either acceptance of the dates or proposed alternative dates for the completion of each specified item of **Work**. If the **Contractor** neither accepts the dates nor proposes alternative dates within ten (10) **Days**, the schedule proposed by the **Engineer/Resident Engineer** shall be deemed accepted. If the **Contractor** proposes alternative dates, then, within a reasonable time after receipt, the **Engineer/Resident Engineer**, in a written notification to the **Contractor**, shall approve the **Contractor's** completion dates or, if they are unable to agree, the **Engineer/Resident Engineer** shall establish dates for the completion of each item of **Work**. The latest completion date specified shall be the date for **Final Acceptance** of the **Work**.

14.3 Date of **Substantial Completion**. The date of approval of the **Final Approved Punch List**, shall be the date of **Substantial Completion**. The date of approval of the **Final Approved Punch List** shall be either (a) if the **Contractor** approves the final punch list and proposed dates for completion furnished by the **Engineer/Resident Engineer**, the date of the **Contractor's** approval; or (b) if the **Contractor** neither accepts the dates nor proposes alternative dates, ten (10) **Days** after the **Engineer/Resident Engineer** furnishes the **Contractor** with a final punch list and proposed dates for completion; or (c) if the **Contractor** proposes alternative dates, the date that the **Engineer/Resident Engineer** sends written notification to the

Contractor either approving the **Contractor's** proposed alternative dates or establishing dates for the completion for each item of **Work**.

14.4 Determining the Date of **Final Acceptance**: The **Work** will be accepted as final and complete as of the date of the **Engineer's/Resident Engineer's** inspection if, upon such inspection, the **Engineer/Resident Engineer** finds that all items on the **Final Approved Punch List** are complete and no further **Work** remains to be done. The **Commissioner** will then issue a written determination of **Final Acceptance**.

14.5 Request for Inspection: Inspection of the **Work** by the **Engineer/Resident Engineer** for the purpose of **Substantial Completion** or **Final Acceptance** shall be made within fourteen (14) **Days** after receipt of the **Contractor's** written request therefor.

14.6 Request for Re-inspection: If upon inspection for the purpose of **Substantial Completion** or **Final Acceptance**, the **Engineer/Resident Engineer** determines that there are items of **Work** still to be performed, the **Contractor** shall promptly perform them and then request a re-inspection. If upon re-inspection, the **Engineer/Resident Engineer** determines that the **Work** is substantially complete or finally accepted, the date of such re-inspection shall be the date of **Substantial Completion** or **Final Acceptance**. Re-inspection by the **Engineer/Resident Engineer** shall be made within ten (10) **Days** after receipt of the **Contractor's** written request therefor.

14.7 Initiation of Inspection by the **Engineer/Resident Engineer**: If the **Contractor** does not request inspection or re-inspection of the **Work** for the purpose of **Substantial Completion** or **Final Acceptance**, the **Engineer/Resident Engineer** may initiate such inspection or re-inspection.

ARTICLE 15. LIQUIDATED DAMAGES

15.1 In the event the **Contractor** fails to substantially complete the **Work** within the time fixed for such **Substantial Completion** in Schedule A of the General Conditions, plus authorized time extensions, or if the **Contractor**, in the sole determination of the **Commissioner**, has abandoned the **Work**, the **Contractor** shall pay to the **City** the sum fixed in Schedule A of the General Conditions, for each and every **Day** that the time consumed in substantially completing the **Work** exceeds the time allowed therefor; which said sum, in view of the difficulty of accurately ascertaining the loss which the **City** will suffer by reason of delay in the **Substantial Completion** of the **Work** hereunder, is hereby fixed and agreed as the liquidated damages that the **City** will suffer by reason of such delay, and not as a penalty. This Article 15 shall also apply to the **Contractor** whether or not the **Contractor** is defaulted pursuant to Chapter X of this **Contract**. Neither the failure to assess liquidated damages nor the granting of any time extension shall operate as a waiver or release of any claim the **City** may have against the **Contractor** for either actual or liquidated damages.

15.2 Liquidated damages received hereunder are not intended to be nor shall they be treated as either a partial or full waiver or discharge of the **City's** right to indemnification, or the **Contractor's** obligation to indemnify the **City**, or to any other remedy provided for in this **Contract** or by **Law**.

15.3 The **Commissioner** may deduct and retain out of the monies which may become due hereunder, the amount of any such liquidated damages; and in case the amount which may become due hereunder shall be less than the amount of liquidated damages suffered by the **City**, the **Contractor** shall be liable to pay the difference.

ARTICLE 16. OCCUPATION OR USE PRIOR TO COMPLETION

16.1 Unless otherwise provided for in the **Specifications**, the **Commissioner** may take over, use, occupy or operate any part of the **Work** at any time prior to **Final Acceptance**, upon written notification to the **Contractor**. The **Engineer** or **Resident Engineer**, as applicable, shall inspect the part of the **Work** to be taken over, used, occupied, or operated, and will furnish the **Contractor** with a written statement of the **Work**, if any, which remains to be performed on such part. The **Contractor** shall not object to, nor interfere with, the **Commissioner's** decision to exercise the rights granted by Article 16. In the event the **Commissioner** takes over, uses, occupies, or operates any part of the **Work**:

16.1.1 the **Engineer/Resident Engineer** shall issue a written determination of **Substantial Completion** with respect to such part of the **Work**;

16.1.2 the **Contractor** shall be relieved of its absolute obligation to protect such part of the unfinished **Work** in accordance with Article 7;

16.1.3 the **Contractor's** guarantee on such part of the **Work** shall begin on the date of such use by the **City**; and;

16.1.4 the **Contractor** shall be entitled to a return of so much of the amount retained in accordance with Article 21 as it relates to such part of the **Work**, except so much thereof as may be retained under Articles 24 and 44.

CHAPTER IV: SUBCONTRACTS AND ASSIGNMENTS

ARTICLE 17. SUBCONTRACTS

17.1 The **Contractor** shall not make subcontracts totaling an amount more than the percentage of the total **Contract** price fixed in Schedule A of the General Conditions, without prior written permission from the **Commissioner**. All subcontracts made by the **Contractor** shall be in writing. No **Work** may be performed by a **Subcontractor** prior to the **Contractor** entering into a written subcontract with the **Subcontractor** and complying with the provisions of this Article 17.

17.2 Before making any subcontracts, the **Contractor** shall submit a written statement to the **Commissioner** giving the name and address of the proposed **Subcontractor**; the portion of the **Work** and materials which it is to perform and furnish; the cost of the subcontract; the VENDEX questionnaire if required; the proposed subcontract if requested by the **Commissioner**; and any other information tending to prove that the proposed **Subcontractor** has the necessary facilities, skill, integrity, past experience, and financial resources to perform the **Work** in accordance with the terms and conditions of this **Contract**.

17.3 In addition to the requirements in Article 17.2, **Contractor** is required to list the **Subcontractor** in the web based Subcontractor Reporting System through the City's Payee Information Portal (PIP), available at www.nyc.gov/pip.¹ For each **Subcontractor** listed, **Contractor** is required to provide the following information: maximum contract value, description of **Subcontractor's** Work, start and end date of the subcontract and identification of the **Subcontractor's** industry. Thereafter, **Contractor** will be required to report in the system the payments made to each **Subcontractor** within 30 days of making the

¹ In order to use the new system, a PIP account will be required. Detailed instructions on creating a PIP account and using the new system are also available at www.nyc.gov/pip. Additional assistance with PIP may be obtained by emailing the Financial Information Services Agency Help Desk at pip@fisa.nyc.gov.

payment. If any of the required information changes throughout the Term of the **Contract**, **Contractor** will be required to revise the information in the system.

Failure of the **Contractor** to list a **Subcontractor** and/or to report **Subcontractor** payments in a timely fashion may result in the **Commissioner** declaring the **Contractor** in default of the **Contract** and will subject **Contractor** to liquidated damages in the amount of \$100 per day for each day that the **Contractor** fails to identify a **Subcontractor** along with the required information about the **Subcontractor** and/or fails to report payments to a **Subcontractor**, beyond the time frames set forth herein or in the notice from the **City**. Article 15 shall govern the issue of liquidated damages.

17.4 If an approved **Subcontractor** elects to subcontract any portion of its subcontract, the proposed sub-subcontract shall be submitted in the same manner as directed above.

17.5 The **Commissioner** will notify the **Contractor** in writing whether the proposed **Subcontractor** is approved. If the proposed **Subcontractor** is not approved, the **Contractor** may submit another proposed **Subcontractor** unless the **Contractor** decides to do the **Work**. No **Subcontractor** shall be permitted to enter or perform any work on the **Site** unless approved.

17.6 Before entering into any subcontract hereunder, the **Contractor** shall provide the proposed **Subcontractor** with a complete copy of this document and inform the proposed **Subcontractor** fully and completely of all provisions and requirements of this **Contract** relating either directly or indirectly to the **Work** to be performed and the materials to be furnished under such subcontract, and every such **Subcontractor** shall expressly stipulate that all labor performed and materials furnished by the **Subcontractor** shall strictly comply with the requirements of this **Contract**.

17.7 Documents given to a prospective **Subcontractor** for the purpose of soliciting the **Subcontractor's** bid shall include either a copy of the bid cover or a separate information sheet setting forth the **Project** name, the **Contract** number (if available), the **Agency** (as noted in Article 2.1.6), and the **Project's** location.

17.8 The **Commissioner's** approval of a **Subcontractor** shall not relieve the **Contractor** of any of its responsibilities, duties, and liabilities hereunder. The **Contractor** shall be solely responsible to the **City** for the acts or defaults of its **Subcontractor** and of such **Subcontractor's** officers, agents, and employees, each of whom shall, for this purpose, be deemed to be the agent or employee of the **Contractor** to the extent of its subcontract.

17.9 If the **Subcontractor** fails to maintain the necessary facilities, skill, integrity, past experience, and financial resources (other than due to the **Contractor's** failure to make payments where required) to perform the **Work** in accordance with the terms and conditions of this **Contract**, the **Contractor** shall promptly notify the **Commissioner** and replace such **Subcontractor** with a newly approved **Subcontractor** in accordance with this Article 17.

17.10 The **Contractor** shall be responsible for ensuring that all **Subcontractors** performing **Work** at the **Site** maintain all insurance required by **Law**.

17.11 The **Contractor** shall promptly, upon request, file with the **Engineer** a conformed copy of the subcontract and its cost. The subcontract shall provide the following:

17.11.1 Payment to **Subcontractors**: The agreement between the **Contractor** and its **Subcontractor** shall contain the same terms and conditions as to method of payment for **Work**, labor, and materials, and as to retained percentages, as are contained in this **Contract**.

17.11.2 Prevailing Rate of Wages: The agreement between the **Contractor** and its **Subcontractor** shall include the prevailing wage rates and supplemental benefits to be paid in accordance with Labor Law Section 220.

17.11.3 Section 6-123 of the Administrative Code: Pursuant to the requirements of Section 6-123 of the Administrative Code, every agreement between the **Contractor** and a **Subcontractor** in excess of fifty thousand (\$50,000) dollars shall include a provision that the **Subcontractor** shall not engage in any unlawful discriminatory practice as defined in Title VIII of the Administrative Code (Section 8-101 *et seq.*).

17.11.4 All requirements required pursuant to federal and/or state grant agreement(s), if applicable to the **Work**.

17.12 The **Commissioner** may deduct from the amounts certified under this **Contract** to be due to the **Contractor**, the sum or sums due and owing from the **Contractor** to the **Subcontractors** according to the terms of the said subcontracts, and in case of dispute between the **Contractor** and its **Subcontractor**, or **Subcontractors**, as to the amount due and owing, the **Commissioner** may deduct and withhold from the amounts certified under this **Contract** to be due to the **Contractor** such sum or sums as may be claimed by such **Subcontractor**, or **Subcontractors**, in a sworn affidavit, to be due and owing until such time as such claim or claims shall have been finally resolved.

17.13 On contracts where performance bonds and payment bonds are executed, the **Contractor** shall include on each requisition for payment the following data: **Subcontractor's** name, value of the subcontract, total amount previously paid to **Subcontractor** for **Work** previously requisitioned, and the amount, including retainage, to be paid to the **Subcontractor** for **Work** included in the requisition.

17.14 On **Contracts** where performance bonds and payment bonds are not executed, the **Contractor** shall include with each requisition for payment submitted hereunder, a signed statement from each and every **Subcontractor** and/or **Materialman** for whom payment is requested in such requisition. Such signed statement shall be on the letterhead of the **Subcontractor** and/or **Materialman** for whom payment is requested and shall (i) verify that such **Subcontractor** and/or **Materialman** has been paid in full for all **Work** performed and/or material supplied to date, exclusive of any amount retained and any amount included on the current requisition, and (ii) state the total amount of retainage to date, exclusive of any amount retained on the current requisition.

ARTICLE 18. ASSIGNMENTS

18.1 The **Contractor** shall not assign, transfer, convey or otherwise dispose of this **Contract**, or the right to execute it, or the right, title or interest in or to it or any part thereof, or assign, by power of attorney or otherwise any of the monies due or to become due under this **Contract**, unless the previous written consent of the **Commissioner** shall first be obtained thereto, and the giving of any such consent to a particular assignment shall not dispense with the necessity of such consent to any further or other assignments.

18.2 Such assignment, transfer, conveyance or other disposition of this **Contract** shall not be valid until filed in the office of the **Commissioner** and the **Comptroller**, with the written consent of the **Commissioner** endorsed thereon or attached thereto.

18.3 Failure to obtain the previous written consent of the **Commissioner** to such an assignment,

transfer, conveyance or other disposition, may result in the revocation and annulment of this **Contract**. The **City** shall thereupon be relieved and discharged from any further liability to the **Contractor**, its assignees, transferees or sublessees, who shall forfeit and lose all monies therefor earned under the **Contract**, except so much as may be required to pay the **Contractor's** employees.

18.4 The provisions of this clause shall not hinder, prevent, or affect an assignment by the **Contractor** for the benefit of its creditors made pursuant to the **Laws** of the State of New York.

18.5 This **Contract** may be assigned by the **City** to any corporation, agency or instrumentality having authority to accept such assignment.

CHAPTER V: CONTRACTOR'S SECURITY AND GUARANTEE

ARTICLE 19. SECURITY DEPOSIT

19.1 If performance and payment bonds are required, the **City** shall retain the bid security to ensure that the successful bidder executes the **Contract** and furnishes the required payment and performance security within ten (10) **Days** after notice of the award of the **Contract**. If the successful bidder fails to execute the **Contract** and furnish the required payment and performance security, the **City** shall retain such bid security as set forth in the Information for Bidders. If the successful bidder executes the **Contract** and furnishes the required payment and performance security, the **City** shall return the bid security within a reasonable time after the furnishing of such bonds and execution of the **Contract** by the **City**.

19.2 If performance and payment bonds are not required, the bid security shall be retained by the **City** as security for the **Contractor's** faithful performance of the **Contract**. If partial payments are provided, the bid security will be returned to the **Contractor** after the sum retained under Article 21 equals the amount of the bid security, subject to other provisions of this **Contract**. If partial payments are not provided, the bid security will be released when final payment is certified by the **City** for payment.

19.3 If the **Contractor** is declared in default under Article 48 prior to the return of the deposit, or if any claim is made such as referred to in Article 23, the amount of such deposit, or so much thereof as the **Comptroller** may deem necessary, may be retained and then applied by the **Comptroller**:

19.3.1 To compensate the **City** for any expense, loss or damage suffered or incurred by reason of or resulting from such default, including the cost of re-letting and liquidated damages; or

19.3.2 To indemnify the **City** against any and all claims.

ARTICLE 20. PAYMENT GUARANTEE

20.1 On **Contracts** where one hundred (100%) percent performance bonds and payment bonds are executed, this Article 20 does not apply.

20.2 In the event the terms of this **Contract** do not require the **Contractor** to provide a payment bond or where the **Contract** does not require a payment bond for one hundred (100%) percent of the **Contract** price, the **City** shall, in accordance with the terms of this Article 20, guarantee payment of all lawful claims for:

20.2.1 Wages and compensation for labor performed and/or services rendered; and

20.2.2 Materials, equipment, and supplies provided, whether incorporated into the **Work** or not, when demands have been filed with the **City** as provided hereinafter by any person, firm, or corporation which furnished labor, material, equipment, supplies, or any combination thereof, in connection with the **Work** performed hereunder (hereinafter referred to as the “beneficiary”) at the direction of the **City** or the **Contractor**.

20.3 The provisions of Article 20.2 are subject to the following limitations and conditions:

20.3.1 If the **Contractor** provides a payment bond for a value that is less than one hundred (100%) percent of the value of the **Contract Work**, the payment bond provided by the **Contractor** shall be primary (and non-contributing) to the payment guarantee provided under this Article 20.

20.3.2 The guarantee is made for the benefit of all beneficiaries as defined in Article 20.2 provided that those beneficiaries strictly adhere to the terms and conditions of Article 20.3.4 and 20.3.5.

20.3.3 Nothing in this Article 20 shall prevent a beneficiary providing labor, services or material for the **Work** from suing the **Contractor** for any amounts due and owing the beneficiary by the **Contractor**.

20.3.4 Every person who has furnished labor or material, to the **Contractor** or to a **Subcontractor** of the **Contractor**, in the prosecution of the **Work** and who has not been paid in full therefor before the expiration of a period of ninety (90) **Days** after the date on which the last of the labor was performed or material was furnished by him/her for which the claim is made, shall have the right to sue on this payment guarantee in his/her own name for the amount, or the balance thereof, unpaid at the time of commencement of the action; provided, however, that a person having a direct contractual relationship with a **Subcontractor** of the **Contractor** but no contractual relationship express or implied with the **Contractor** shall not have a right of action upon the guarantee unless he/she shall have given written notice to the **Contractor** within one hundred twenty (120) **Days** from the date on which the last of the labor was performed or the last of the material was furnished, for which his/her claim is made, stating with substantial accuracy the amount claimed and the name of the party to whom the material was furnished or for whom the labor was performed. The notice shall be served by delivering the same personally to the **Contractor** or by mailing the same by registered mail, postage prepaid, in an envelope addressed to the **Contractor** at any place where it maintains an office or conducts its business; provided, however, that where such notice is actually received by the **Contractor** by other means, such notice shall be deemed sufficient.

20.3.5 Except as provided in Labor Law Section 220-g, no action on this payment guarantee shall be commenced after the expiration of the one-year limitations period set forth in Section 137(4)(b) of the State Finance Law.

20.3.6 The **Contractor** shall promptly forward to the **City** any notice or demand received pursuant to Article 20.3.4. The **Contractor** shall inform the **City** of any defenses to the notice or demand and shall forward to the **City** any documents the **City** requests concerning the notice or demand.

20.3.7 All demands made against the **City** by a beneficiary of this payment guarantee shall be presented to the **Engineer** along with all written documentation concerning the demand which the **Engineer** deems reasonably appropriate or necessary, which may include, but shall not be

limited to: the subcontract; any invoices presented to the **Contractor** for payment; the notarized statement of the beneficiary that the demand is due and payable, that a request for payment has been made of the **Contractor** and that the demand has not been paid by the **Contractor** within the time allowed for such payment by the subcontract; and copies of any correspondence between the beneficiary and the **Contractor** concerning such demand. The **City** shall notify the **Contractor** that a demand has been made. The **Contractor** shall inform the **City** of any defenses to the demand and shall forward to the **City** any documents the **City** requests concerning the demand.

20.3.8 The **City** shall make payment only if, after considering all defenses presented by the **Contractor**, it determines that the payment is due and owing to the beneficiary making the demand.

20.3.9 No beneficiary shall be entitled to interest from the **City**, or to any other costs, including, but not limited to, attorneys' fees, except to the extent required by State Finance Law Section 137.

20.3.10

20.4 Upon the receipt by the **City** of a demand pursuant to this Article 20, the **City** may withhold from any payment otherwise due and owing to the **Contractor** under this **Contract** an amount sufficient to satisfy the demand.

20.4.1 In the event the **City** determines that the demand is valid, the **City** shall notify the **Contractor** of such determination and the amount thereof and direct the **Contractor** to immediately pay such amount to the beneficiary. In the event the **Contractor**, within seven (7) **Days** of receipt of such notification from the **City**, fails to pay the beneficiary, such failure shall constitute an automatic and irrevocable assignment of payment by the **Contractor** to the beneficiary for the amount of the demand determined by the **City** to be valid. The **Contractor**, without further notification or other process, hereby gives its unconditional consent to such assignment of payment to the beneficiary and authorizes the **City**, on its behalf, to take all necessary actions to implement such assignment of payment, including without limitation the execution of any instrument or documentation necessary to effectuate such assignment.

20.4.2 In the event that the amount otherwise due and owing to the **Contractor** by the **City** is insufficient to satisfy such demand, the **City** may, at its option, require payment from the **Contractor** of an amount sufficient to cover such demand and exercise any other right to require or recover payment which the **City** may have under **Law** or **Contract**.

20.4.3 In the event the **City** determines that the demand is invalid, any amount withheld pending the **City**'s review of such demand shall be paid to the **Contractor**; provided, however, no lien has been filed. In the event a claim or an action has been filed, the terms and conditions set forth in Article 23 shall apply. In the event a lien has been filed, the parties will be governed by the provisions of the Lien Law of the State of New York.

20.5 The provisions of this Article 20 shall not prevent the **City** and the **Contractor** from resolving disputes in accordance with the **PPB** Rules, where applicable.

20.6 In the event the **City** determines that the beneficiary is entitled to payment pursuant to this Article 20, such determination and any defenses and counterclaims raised by the **Contractor** shall be taken into account in evaluating the **Contractor**'s performance.

20.7 Nothing in this Article 20 shall relieve the **Contractor** of the obligation to pay the claims of all

persons with valid and lawful claims against the **Contractor** relating to the **Work**.

20.8 The **Contractor** shall not require any performance, payment or other bonds of any **Subcontractor** if this **Contract** does not require such bonds of the **Contractor**.

20.9 The payment guarantee made pursuant to this Article 20 shall be construed in a manner consistent with Section 137 of the State Finance Law and shall afford to persons furnishing labor or materials to the **Contractor** or its **Subcontractors** in the prosecution of the **Work** under this **Contract** all of the rights and remedies afforded to such persons by such section, including but not limited to, the right to commence an action against the **City** on the payment guarantee provided by this Article 20 within the one-year limitations period set forth in Section 137(4)(b).

ARTICLE 21. RETAINED PERCENTAGE

21.1 If this **Contract** requires one hundred (100%) percent performance and payment security, then as further security for the faithful performance of this **Contract**, the **Commissioner** shall deduct, and retain until the substantial completion of the **Work**, five (5%) percent of the value of **Work** certified for payment in each partial payment voucher.

21.2 If this **Contract** does not require one hundred (100%) percent performance and payment security and if the price for which this **Contract** was awarded does not exceed one million (\$1,000,000) dollars, then as further security for the faithful performance of this **Contract**, the **Commissioner** shall deduct, and retain until the substantial completion of the **Work**, five (5%) percent of the value of **Work** certified for payment in each partial payment voucher.

21.3 If this **Contract** does not require one hundred (100%) percent performance and payment security and if the price for which this **Contract** was awarded exceeds one million (\$1,000,000) dollars, then as further security for the faithful performance of this **Contract**, the **Commissioner** shall deduct, and retain until the substantial completion of the **Work**, up to ten (10%) percent of the value of **Work** certified for payment in each partial payment voucher. The percentage to be retained is set forth in Schedule A of the General Conditions.

ARTICLE 22. INSURANCE

22.1 Types of Insurance: The **Contractor** shall procure and maintain the following types of insurance if, and as indicated, in Schedule A of the General Conditions (with the minimum limits and special conditions specified in Schedule A). Such insurance shall be maintained from the date the **Contractor** is required to provide Proof of Insurance pursuant to Article 22.3.1 through the date of completion of all required **Work** (including punch list work as certified in writing by the **Resident Engineer**), except for insurance required pursuant to Article 22.1.4, which may terminate upon **Substantial Completion** of the **Contract**. All insurance shall meet the requirements set forth in this Article 22. Wherever this Article requires that insurance coverage be “at least as broad” as a specified form (including all ISO forms), there is no obligation that the form itself be used, provided that the **Contractor** can demonstrate that the alternative form or endorsement contained in its policy provides coverage at least as broad as the specified form.

22.1.1 Commercial General Liability Insurance: The **Contractor** shall provide Commercial General Liability Insurance covering claims for property damage and/or bodily injury, including death, which may arise from any of the operations under this **Contract**. Coverage under this insurance shall be at least as broad as that provided by the latest edition of Insurance

Services Office (“ISO”) Form CG 0001. Such insurance shall be “occurrence” based rather than “claims-made” and include, without limitation, the following types of coverage: premises operations; products and completed operations; contractual liability (including the tort liability of another assumed in a contract); broad form property damage; independent contractors; explosion, collapse and underground (XCU); construction means and methods; and incidental malpractice. Such insurance shall contain a “per project” aggregate limit, as specified in Schedule A, that applies separately to operations under this **Contract**.

22.1.1(a) Such Commercial General Liability Insurance shall name the **City** as an Additional Insured. Coverage for the City shall specifically include the **City’s** officials and employees, be at least as broad as the latest edition of ISO Form CG 20 10 and provide completed operations coverage at least as broad as the latest edition of ISO Form CG 20 37.

22.1.1(b) Such Commercial General Liability Insurance shall name all other entities designated as additional insureds in Schedule A but only for claims arising from the **Contractor’s** operations under this **Contract**, with coverage at least as broad as the latest edition of ISO Form CG 20 26.

22.1.1(c) If the **Work** requires a permit from the Department of Buildings pursuant to 1 RCNY Section 101-08, the **Contractor** shall provide Commercial General Liability Insurance with limits of at least those required by 1 RCNY section 101-08 or greater limits required by the Agency in accordance with Schedule A. If the **Work** does not require such a permit, the minimum limits shall be those provided for in Schedule A.

22.1.1(d) If any of the **Work** includes repair of a waterborne vessel owned by or to be delivered to the **City**, such Commercial General Liability shall include, or be endorsed to include, Ship Repairer’s Legal Liability Coverage to protect against, without limitation, liability arising from navigation of such vessels prior to delivery to and acceptance by the **City**.

22.1.2 Workers’ Compensation Insurance, Employers’ Liability Insurance, and Disability Benefits Insurance: The **Contractor** shall provide, and shall cause its **Subcontractors** to provide, Workers Compensation Insurance, Employers’ Liability Insurance, and Disability Benefits Insurance in accordance with the **Laws** of the State of New York on behalf of all employees providing services under this **Contract** (except for those employees, if any, for which the **Laws** require insurance only pursuant to Article 22.1.3).

22.1.3 United States Longshoremen’s and Harbor Workers Act and/or Jones Act Insurance: If specified in Schedule A of the General Conditions or if required by **Law**, the **Contractor** shall provide insurance in accordance with the United States Longshoremen’s and Harbor Workers Act and/or the Jones Act, on behalf of all qualifying employees providing services under this **Contract**.

22.1.4 Builders Risk Insurance: If specified in Schedule A of the General Conditions, the **Contractor** shall provide Builders Risk Insurance on a completed value form for the total value of the **Work** through **Substantial Completion** of the **Work** in its entirety. Such insurance shall be provided on an All Risk basis and include coverage, without limitation, for windstorm (including named windstorm), storm surge, flood and earth movement. Unless waived by the **Commissioner**, it shall include coverage for ordinance and law, demolition and increased costs of construction, debris removal, pollutant clean up and removal, and expediting costs. Such insurance shall cover, without limitation, (a) all buildings and/or structures involved in the

Work, as well as temporary structures at the **Site**, and (b) any property that is intended to become a permanent part of such building or structure, whether such property is on the **Site**, in transit or in temporary storage. Policies shall name the **Contractor** as Named Insured and list the **City** as both an Additional Insured and a Loss Payee as its interest may appear.

22.1.4(a) Policies of such insurance shall specify that, in the event a loss occurs at an occupied facility, occupancy of such facility is permitted without the consent of the issuing insurance company.

22.1.4(b) Such insurance may be provided through an Installation Floater, at the **Contractor's** option, if it otherwise conforms with the requirements of this Article 22.1.4.

22.1.5 Commercial Automobile Liability Insurance: The **Contractor** shall provide Commercial Automobile Liability Insurance for liability arising out of ownership, maintenance or use of any owned (if any), non-owned and hired vehicles to be used in connection with this **Contract**. Coverage shall be at least as broad as the latest edition of ISO Form CA0001. If vehicles are used for transporting hazardous materials, the Automobile Liability Insurance shall be endorsed to provide pollution liability broadened coverage for covered vehicles (endorsement CA 99 48) as well as proof of MCS 90.

22.1.6 Contractors Pollution Liability Insurance: If specified in Schedule A of the General Conditions, the **Contractor** shall maintain, or cause the **Subcontractor** doing such **Work** to maintain, Contractors Pollution Liability Insurance covering bodily injury and property damage. Such insurance shall provide coverage for actual, alleged or threatened emission, discharge, dispersal, seepage, release or escape of pollutants (including asbestos), including any loss, cost or expense incurred as a result of any cleanup of pollutants (including asbestos) or in the investigation, settlement or defense of any claim, action, or proceedings arising from the operations under this **Contract**. Such insurance shall be in the **Contractor's** name and list the **City** as an Additional Insured and any other entity specified in Schedule A. Coverage shall include, without limitation, (a) loss of use of damaged property or of property that has not been physically injured, (b) transportation, and (c) non-owned disposal sites.

22.1.6(a) Coverage for the **City** as Additional Insured shall specifically include the **City's** officials and employees and be at least as broad as provided to the **Contractor** for this **Project**.

22.1.6(b) If such insurance is written on a claims-made policy, such policy shall have a retroactive date on or before the effective date of this **Contract**, and continuous coverage shall be maintained, or an extended discovery period exercised, for a period of not less than three (3) years from the time the **Work** under this **Contract** is completed.

22.1.7 Marine Insurance:

22.1.7(a) Marine Protection and Indemnity Insurance: If specified in Schedule A of the General Conditions or if the **Contractor** engages in marine operations in the execution of any part of the **Work**, the **Contractor** shall maintain, or cause the **Subcontractor** doing such **Work** to maintain, Marine Protection and Indemnity Insurance with coverage at least as broad as Form SP-23. The insurance shall provide coverage for the **Contractor** or **Subcontractor** (whichever is doing this **Work**) and for the **City** (together with its officials and employees) and any other entity specified in Schedule A as an Additional Insured for bodily injury and property damage arising from marine operations under this

Contract. Coverage shall include, without limitation, injury or death of crew members (if not fully provided through other insurance), removal of wreck, damage to piers, wharves and other fixed or floating objects and loss of or damage to any other vessel or craft, or to property on such other vessel or craft.

22.1.7(b) Hull and Machinery Insurance: If specified in Schedule A of the General Conditions or if the **Contractor** engages in marine operations in the execution of any part of the **Work**, the **Contractor** shall maintain, or cause the **Subcontractor** doing such **Work** to maintain, Hull and Machinery Insurance with coverage for the **Contractor** or **Subcontractor** (whichever is doing this Work) and for the **City** (together with its officials and employees) as Additional Insured at least as broad as the latest edition of American Institute Tug Form for all tugs used under this **Contract** and Collision Liability at least as broad as the latest edition of American Institute Hull Clauses.

22.1.7(c) Marine Pollution Liability Insurance: If specified in Schedule A of the General Conditions or if the **Contractor** engages in marine operations in the execution of any part of the **Work**, the **Contractor** shall maintain, or cause the **Subcontractor** doing such Work to maintain, Marine Pollution Liability Insurance covering itself (or the Subcontractor doing such Work) as Named Insured and the **City** (together with its officials and employees) and any other entity specified in Schedule A as an Additional Insured. Coverage shall be at least as broad as that provided by the latest edition of Water Quality Insurance Syndicate Form and include, without limitation, liability arising from the discharge or substantial threat of a discharge of oil, or from the release or threatened release of a hazardous substance including injury to, or economic losses resulting from, the destruction of or damage to real property, personal property or natural resources.

22.1.8 The **Contractor** shall provide such other types of insurance, at such minimum limits and with such conditions, as are specified in Schedule A of the General Conditions.

22.2 General Requirements for Insurance Coverage and Policies:

22.2.1 All required insurance policies shall be maintained with companies that may lawfully issue the required policy and have an A.M. Best rating of at least A-/VII or a Standard and Poor's rating of at least A, unless prior written approval is obtained from the **City** Corporation Counsel.

22.2.2 The **Contractor** shall be solely responsible for the payment of all premiums for all required policies and all deductibles and self-insured retentions to which such policies are subject, whether or not the **City** is an insured under the policy.

22.2.3 In his/her sole discretion, the **Commissioner** may, subject to the approval of the **Comptroller** and the **City** Corporation Counsel, accept Letters of Credit and/or custodial accounts in lieu of required insurance.

22.2.4 The **City's** limits of coverage for all types of insurance required pursuant to Schedule A of the General Conditions shall be the greater of (i) the minimum limits set forth in Schedule A or (ii) the limits provided to the **Contractor** as Named Insured under all primary, excess, and umbrella policies of that type of coverage.

22.2.5 The **Contractor** may satisfy its insurance obligations under this Article 22 through primary policies or a combination of primary and excess/umbrella policies, so long as all policies provide the scope of coverage required herein.

22.2.6 Policies of insurance provided pursuant to this Article 22 shall be primary and non-contributing to any insurance or self-insurance maintained by the **City**.

22.3 Proof of Insurance:

22.3.1 For all types of insurance required by Article 22.1 and Schedule A, except for insurance required by Articles 22.1.4 and 22.1.7, the **Contractor** shall file proof of insurance in accordance with this Article 22.3 within ten (10) **Days** of award. For insurance provided pursuant to Articles 22.1.4 and 22.1.7, proof shall be filed by a date specified by the **Commissioner** or ten (10) **Days** prior to the commencement of the portion of the **Work** covered by such policy, whichever is earlier.

22.3.2 For Workers' Compensation Insurance provided pursuant to Article 22.1.2, the **Contractor** shall submit one of the following forms: C-105.2 Certificate of Workers' Compensation Insurance; U-26.3 - State Insurance Fund Certificate of Workers' Compensation Insurance; Request for WC/DB Exemption (Form CE-200); equivalent or successor forms used by the New York State Workers' Compensation Board; or other proof of insurance in a form acceptable to the **Commissioner**. For Disability Benefits Insurance provided pursuant to Article 22.1.2, the Contractor shall submit DB-120.1 - Certificate Of Insurance Coverage Under The NYS Disability Benefits Law, Request for WC/DB Exemption (Form CE-200); equivalent or successor forms used by the New York State Workers' Compensation Board; or other proof of insurance in a form acceptable to the **Commissioner**. ACORD forms are not acceptable.

22.3.3 For policies provided pursuant to all of Article 22.1 other than Article 22.1.2, the **Contractor** shall submit one or more Certificates of Insurance on forms acceptable to the **Commissioner**. All such Certificates of Insurance shall certify (a) the issuance and effectiveness of such policies of insurance, each with the specified minimum limits (b) for insurance secured pursuant to Article 22.1.1 that the **City** and any other entity specified in Schedule A is an Additional Insured thereunder; (c) in the event insurance is required pursuant to Article 22.1.6 and/or Article 22.1.7, that the City is an Additional Insured thereunder; (d) the company code issued to the insurance company by the National Association of Insurance Commissioners (the NAIC number); and (e) the number assigned to the **Contract** by the **City**. All such Certificates of Insurance shall be accompanied by either a duly executed "Certification by Insurance Broker or Agent" in the form contained in Part III of Schedule A or copies of all policies referenced in such Certificate of Insurance as certified by an authorized representative of the issuing insurance carrier. If any policy is not available at the time of submission, certified binders may be submitted until such time as the policy is available, at which time a certified copy of the policy shall be submitted.

22.3.4 Documentation confirming renewals of insurance shall be submitted to the **Commissioner** prior to the expiration date of coverage of policies required under this **Contract**. Such proofs of insurance shall comply with the requirements of Articles 22.3.2 and 22.3.3.

22.3.5 The **Contractor** shall be obligated to provide the **City** with a copy of any policy of insurance provided pursuant to this Article 22 upon the demand for such policy by the **Commissioner** or the **City** Corporation Counsel.

22.4 Operations of the **Contractor**:

22.4.1 The **Contractor** shall not commence the **Work** unless and until all required certificates have been submitted to and accepted by the **Commissioner**. Acceptance by the

Commissioner of a certificate does not excuse the **Contractor** from securing insurance consistent with all provisions of this Article 22 or of any liability arising from its failure to do so.

22.4.2 The **Contractor** shall be responsible for providing continuous insurance coverage in the manner, form, and limits required by this **Contract** and shall be authorized to perform **Work** only during the effective period of all required coverage.

22.4.3 In the event that any of the required insurance policies lapse, are revoked, suspended or otherwise terminated, for whatever cause, the **Contractor** shall immediately stop all **Work**, and shall not recommence **Work** until authorized in writing to do so by the **Commissioner**. Upon quitting the **Site**, except as otherwise directed by the **Commissioner**, the **Contractor** shall leave all plant, materials, equipment, tools, and supplies on the **Site**. **Contract** time shall continue to run during such periods and no extensions of time will be granted. The **Commissioner** may also declare the **Contractor** in default for failure to maintain required insurance.

22.4.4 In the event the **Contractor** receives notice, from an insurance company or other person, that any insurance policy required under this Article 22 shall be cancelled or terminated (or has been cancelled or terminated) for any reason, the **Contractor** shall immediately forward a copy of such notice to both the **Commissioner** and the New York City Comptroller, attn: Office of Contract Administration, Municipal Building, One Centre Street, room 1005, New York, New York 10007. Notwithstanding the foregoing, the **Contractor** shall ensure that there is no interruption in any of the insurance coverage required under this Article 22.

22.4.5 Where notice of loss, damage, occurrence, accident, claim or suit is required under an insurance policy maintained in accordance with this Article 22, the **Contractor** shall notify in writing all insurance carriers that issued potentially responsive policies of any such event relating to any operations under this **Contract** (including notice to Commercial General Liability insurance carriers for events relating to the **Contractor**'s own employees) no later than 20 days after such event. For any policy where the **City** is an Additional Insured, such notice shall expressly specify that "this notice is being given on behalf of the City of New York as Insured as well as the Named Insured." Such notice shall also contain the following information: the number of the insurance policy, the name of the named insured, the date and location of the damage, occurrence, or accident, and the identity of the persons or things injured, damaged or lost. The **Contractor** shall simultaneously send a copy of such notice to the City of New York c/o Insurance Claims Specialist, Affirmative Litigation Division, New York City Law Department, 100 Church Street, New York, New York 10007.

22.4.6 In the event of any loss, accident, claim, action, or other event that does or can give rise to a claim under any insurance policy required under this Article 22, the **Contractor** shall at all times fully cooperate with the **City** with regard to such potential or actual claim.

22.5 **Subcontractor Insurance**: In the event the **Contractor** requires any **Subcontractor** to procure insurance with regard to any operations under this **Contract** and requires such **Subcontractor** to name the **Contractor** as an **Additional Insured** thereunder, the **Contractor** shall ensure that the **Subcontractor** name the **City**, including its officials and employees, as an Additional Insured with coverage at least as broad as the most recent edition of ISO Form CG 20 26.

22.6 Wherever reference is made in Article 7 or this Article 22 to documents to be sent to the **Commissioner** (e.g., notices, filings, or submissions), such documents shall be sent to the address set forth in Schedule A of the General Conditions. In the event no address is set forth in Schedule A, such documents

are to be sent to the **Commissioner's** address as provided elsewhere in this **Contract**.

22.7 Apart from damages or losses covered by insurance provided pursuant to Articles 22.1.2, 22.1.3, or 22.1.5, the **Contractor** waives all rights against the **City**, including its officials and employees, for any damages or losses that are covered under any insurance required under this Article 22 (whether or not such insurance is actually procured or claims are paid thereunder) or any other insurance applicable to the operations of the **Contractor** and/or its employees, agents, or **Subcontractors**.

22.8 In the event the **Contractor** utilizes a self-insurance program to satisfy any of the requirements of this Article 22, the **Contractor** shall ensure that any such self-insurance program provides the **City** with all rights that would be provided by traditional insurance under this Article 22, including but not limited to the defense and indemnification obligations that insurers are required to undertake in liability policies.

22.9 Materiality/Non-Waiver: The **Contractor's** failure to secure policies in complete conformity with this Article 22, or to give an insurance company timely notice of any sort required in this **Contract** or to do anything else required by this Article 22 shall constitute a material breach of this **Contract**. Such breach shall not be waived or otherwise excused by any action or inaction by the **City** at any time.

22.10 Pursuant to General Municipal Law Section 108, this **Contract** shall be void and of no effect unless **Contractor** maintains Workers' Compensation Insurance for the term of this **Contract** to the extent required and in compliance with the New York State Workers' Compensation Law.

22.11 Other Remedies: Insurance coverage provided pursuant to this Article 22 or otherwise shall not relieve the **Contractor** of any liability under this **Contract**, nor shall it preclude the **City** from exercising any rights or taking such other actions available to it under any other provisions of this **Contract** or **Law**.

ARTICLE 23. MONEY RETAINED AGAINST CLAIMS

23.1 If any claim shall be made by any person or entity (including **Other Contractors** with the **City** on this **Project**) against the **City** or against the **Contractor** and the **City** for any of the following:

- (a) An alleged loss, damage, injury, theft or vandalism of any of the kinds referred to in Articles 7 and 12, plus the reasonable costs of defending the **City**, which in the opinion of the **Comptroller** may not be paid by an insurance company (for any reason whatsoever); or
- (b) An infringement of copyrights, patents or use of patented articles, tools, etc., as referred to in Article 57; or
- (c) Damage claimed to have been caused directly or indirectly by the failure of the **Contractor** to perform the **Work** in strict accordance with this **Contract**,

the amount of such claim, or so much thereof as the **Comptroller** may deem necessary, may be withheld by the **Comptroller**, as security against such claim, from any money due hereunder. The **Comptroller**, in his/her discretion, may permit the **Contractor** to substitute other satisfactory security in lieu of the monies so withheld.

23.2 If an action on such claim is timely commenced and the liability of the **City**, or the **Contractor**,

or both, shall have been established therein by a final judgment of a court of competent jurisdiction, or if such claim shall have been admitted by the **Contractor** to be valid, the **Comptroller** shall pay such judgment or admitted claim out of the monies retained by the **Comptroller** under the provisions of this Article 23, and return the balance, if any, without interest, to the **Contractor**.

ARTICLE 24. MAINTENANCE AND GUARANTY

24.1 The **Contractor** shall promptly repair, replace, restore or rebuild, as the **Commissioner** may determine, any finished **Work** in which defects of materials or workmanship may appear or to which damage may occur because of such defects, during the one (1) year period subsequent to the date of **Substantial Completion** (or use and occupancy in accordance with Article 16), except where other periods of maintenance and guaranty are provided for in Schedule A.

24.2 As security for the faithful performance of its obligations hereunder, the **Contractor**, upon filing its requisition for payment on **Substantial Completion**, shall deposit with the **Commissioner** a sum equal to one (1%) percent of the price (or the amount fixed in Schedule A of the General Conditions) in cash or certified check upon a state or national bank and trust company or a check of such bank and trust company signed by a duly authorized officer thereof and drawn to the order of the **Comptroller**, or obligations of the **City**, which the **Comptroller** may approve as of equal value with the sum so required.

24.3 In lieu of the above, the **Contractor** may make such security payment to the **City** by authorizing the **Commissioner** in writing to deduct the amount from the **Substantial Completion** payment which shall be deemed the deposit required above.

24.4 If the **Contractor** has faithfully performed all of its obligations hereunder the **Commissioner** shall so certify to the **Comptroller** within five (5) **Days** after the expiration of one (1) year from the date of **Substantial Completion** and acceptance of the **Work** or within thirty (30) **Days** after the expiration of the guarantee period fixed in the **Specifications**. The security payment shall be repaid to the **Contractor** without interest within thirty (30) **Days** after certification by the **Commissioner** to the **Comptroller** that the **Contractor** has faithfully performed all of its obligations hereunder.

24.5 Notice by the **Commissioner** to the **Contractor** to repair, replace, rebuild or restore such defective or damaged **Work** shall be timely, pursuant to this article, if given not later than ten (10) **Days** subsequent to the expiration of the one (1) year period or other periods provided for herein.

24.6 If the **Contractor** shall fail to repair, replace, rebuild or restore such defective or damaged **Work** promptly after receiving such notice, the **Commissioner** shall have the right to have the **Work** done by others in the same manner as provided for in the completion of a defaulted **Contract**, under Article 51.

24.7 If the security payment so deposited is insufficient to cover the cost of such **Work**, the **Contractor** shall be liable to pay such deficiency on demand by the **Commissioner**.

24.8 The **Engineer's** certificate setting forth the fair and reasonable cost of repairing, replacing, rebuilding or restoring any damaged or defective **Work** when performed by one other than the **Contractor**, shall be binding and conclusive upon the **Contractor** as to the amount thereof.

24.9 The **Contractor** shall obtain all manufacturers' warranties and guaranties of all equipment and materials required by this **Contract** in the name of the **City** and shall deliver same to the **Commissioner**. All of the **City's** rights and title and interest in and to said manufacturers' warranties and guaranties may be assigned by the **City** to any subsequent purchasers of such equipment and materials or lessees of the

premises into which the equipment and materials have been installed.

CHAPTER VI: CHANGES, EXTRA WORK, AND DOCUMENTATION OF CLAIM

ARTICLE 25. CHANGES

25.1 Changes may be made to this **Contract** only as duly authorized in writing by the **Commissioner** in accordance with the **Law** and this **Contract**. All such changes, modifications, and amendments will become a part of the **Contract**. **Work** so ordered shall be performed by the **Contractor**.

25.2 **Contract** changes will be made only for **Work** necessary to complete the **Work** included in the original scope of the **Contract** and/or for non-material changes to the scope of the **Contract**. Changes are not permitted for any material alteration in the scope of **Work** in the **Contract**.

25.3 The **Contractor** shall be entitled to a price adjustment for **Extra Work** performed pursuant to a written change order. Adjustments to price shall be computed in one or more of the following ways:

25.3.1 By applicable unit prices specified in the **Contract**; and/or

25.3.2 By agreement of a fixed price; and/or

25.3.3 By time and material records; and/or

25.3.4 In any other manner approved by the **CCPO**.

25.4 All payments for change orders are subject to pre-audit by the **Engineering Audit Officer** and may be post-audited by the **Comptroller** and/or the **Agency**.

ARTICLE 26. METHODS OF PAYMENT FOR OVERRUNS AND EXTRA WORK

26.1 **Overrun of Unit Price Item**: An overrun is any quantity of a unit price item which the **Contractor** is directed to provide which is in excess of one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule.

26.1.1 For any unit price item, the **Contractor** will be paid at the unit price bid for any quantity up to one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule. If during the progress of the **Work**, the actual quantity of any unit price item required to complete the **Work** approaches the estimated quantity for that item, and for any reason it appears that the actual quantity of any unit price item necessary to complete the **Work** will exceed the estimated quantity for that item by twenty-five (25%) percent, the **Contractor** shall immediately notify the **Engineer** of such anticipated overrun. The **Contractor** shall not be compensated for any quantity of a unit price item provided which is in excess of one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule without written authorization from the **Engineer**.

26.1.2 If the actual quantity of any unit price item necessary to complete the **Work** will exceed one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule, the **City** reserves the right and the **Contractor** agrees to negotiate a new unit price for such item. In no event shall such negotiated new unit price exceed the unit bid price. If the **City** and **Contractor** cannot agree on a new unit price, then the **City** shall order the **Contractor** and the **Contractor** agrees to provide additional quantities of the item on the

basis of time and material records for the actual and reasonable cost as determined under Article 26.2, but in no event at a unit price exceeding the unit price bid.

26.2 **Extra Work:** For **Extra Work** where payment is by agreement on a fixed price in accordance with Article 25.3.2, the price to be paid for such **Extra Work** shall be based on the fair and reasonable estimated cost of the items set forth below. For **Extra Work** where payment is based on time and material records in accordance with Article 25.3.3, the price to be paid for such **Extra Work** shall be the actual and reasonable cost of the items set forth below, calculated in accordance with the formula specified therein, if any.

26.2.1 Necessary materials (including transportation to the **Site**); plus

26.2.2 Necessary direct labor, including payroll taxes (subject to statutory wage caps) and supplemental benefits; plus

26.2.3 Sales and personal property taxes, if any, required to be paid on materials not incorporated into such **Extra Work**; plus

26.2.4 Reasonable rental value of **Contractor**-owned (or **Subcontractor**-owned, as applicable), necessary plant and equipment other than **Small Tools**, plus fuel/energy costs. Except for fuel costs for pick-up trucks which shall be reimbursed based on a consumption of five (5) gallons per shift, fuel costs shall be reimbursed based on actual costs or, in the absence of auditable documentation, the following fuel consumption formula per operating hour: $(.035) \times (\text{HP rating}) \times (\text{Fuel cost/gallon})$. Reasonable rental value is defined as the lower of either seventy-five percent of the monthly prorated rental rates established in "The AED Green Book, Rental Rates and Specifications for Construction Equipment" published by Equipment Watch (the "Green Book"), or seventy-five percent of the monthly prorated rental rates established in the "Rental Rate Blue Book for Construction Equipment" published by Equipment Watch (the "Blue Book") (the applicable Blue Book rate being for rental only without the addition of any operational costs listed in the Blue Book). The reasonable rental value is deemed to be inclusive of all operating costs except for fuel/energy consumption and equipment operator's wages/costs. For multiple shift utilization, reimbursement shall be calculated as follows: first shift shall be seventy-five (75%) percent of such rental rates; second shift shall be sixty (60%) percent of the first shift rate; and third shift shall be forty (40%) percent of the first shift rate. Equipment on standby shall be reimbursed at one-third (1/3) the prorated monthly rental rate. **Contractor**-owned (or **Subcontractor**-owned, as applicable) equipment includes equipment from rental companies affiliated with or controlled by the **Contractor** (or **Subcontractor**, as applicable), as determined by the **Commissioner**. In establishing cost reimbursement for non-operating **Contractor**-owned (or **Subcontractor**-owned, as applicable) equipment (scaffolding, sheeting systems, road plates, etc.), the **City** may restrict reimbursement to a purchase-salvage/life cycle basis if less than the computed rental costs; plus

26.2.5 Necessary installation and dismantling of such plant and equipment, including transportation to and from the **Site**, if any, provided that, in the case of non-**Contractor**-owned (or non-**Subcontractor**-owned, as applicable) equipment rented from a third party, the cost of installation and dismantling are not allowable if such costs are included in the rental rate; plus

26.2.6 Necessary fees charged by governmental entities; plus

26.2.7 Necessary construction-related service fees charged by non-governmental entities, such as landfill tipping fees; plus

26.2.8 Reasonable rental costs of non-**Contractor**-owned (or non-**Subcontractor**-owned, as applicable) necessary plant and equipment other than **Small Tools**, plus fuel/energy costs. Except for fuel costs for pick-up trucks which shall be reimbursed based on a consumption of five (5) gallons per shift, fuel costs shall be reimbursed based on actual costs or, in the absence of auditable documentation, the following fuel consumption formula per hour of operation: $(.035) \times (\text{HP rating}) \times (\text{Fuel cost/gallon})$. In lieu of renting, the **City** reserves the right to direct the purchase of non-operating equipment (scaffolding, sheeting systems, road plates, etc.), with payment on a purchase-salvage/life cycle basis, if less than the projected rental costs; plus

26.2.9 Workers' Compensation Insurance, and any insurance coverage expressly required by the **City** for the performance of the **Extra Work** which is different than the types of insurance required by Article 22 and Schedule A of the General Conditions. The cost of Workers' Compensation Insurance is subject to applicable payroll limitation caps and shall be based upon the carrier's Manual Rate for such insurance derived from the applicable class Loss Cost ("LC") and carrier's Lost Cost Multiplier ("LCM") approved by the New York State Department of Financial Services, and with the exception of experience rating, rate modifiers as promulgated by the New York Compensation Insurance Rating Board ("NYCIRB"); plus

26.2.10 Additional costs incurred as a result of the **Extra Work** for performance and payment bonds; plus

26.2.11 Twelve percent (12%) percent of the total of items in Articles 26.2.1 through 26.2.5 as compensation for overhead, except that no percentage for overhead will be allowed on **Payroll Taxes** or on the premium portion of overtime pay or on sales and personal property taxes. Overhead shall include without limitation, all costs and expenses in connection with administration, management superintendence, small tools, and insurance required by Schedule A of the General Conditions other than Workers' Compensation Insurance; plus

26.2.12 Ten (10%) percent of the total of items in Articles 26.2.1 through 26.2.5, plus the items in Article 26.2.11, as compensation for profit, except that no percentage for profit will be allowed on **Payroll Taxes** or on the premium portion of overtime pay or on sales and personal property taxes; plus

26.2.13 Five (5%) percent of the total of items in Articles 26.2.6 through 26.2.10 as compensation for overhead and profit.

26.3 Where the **Extra Work** is performed in whole or in part by other than the **Contractor's** own forces pursuant to Article 26.2, the **Contractor** shall be paid, subject to pre-audit by the **Engineering Audit Officer**, the cost of such **Work** computed in accordance with Article 26.2 above, plus an additional allowance of five (5%) percent to cover the **Contractor's** overhead and profit.

26.4 Where a change is ordered, involving both **Extra Work** and omitted or reduced **Contract Work**, the **Contract** price shall be adjusted, subject to pre-audit by the **EAO**, in an amount based on the difference between the cost of such **Extra Work** and of the omitted or reduced **Work**.

26.5 Where the **Contractor** and the **Commissioner** can agree upon a fixed price for **Extra Work** in accordance with Article 25.3.2 or another method of payment for **Extra Work** in accordance with Article 25.3.4, or for **Extra Work** ordered in connection with omitted **Work**, such method, subject to pre-audit by the **EAO**, may, at the option of the **Commissioner**, be substituted for the cost plus a percentage method provided in Article 26.2; provided, however, that if the **Extra Work** is performed by a **Subcontractor**, the **Contractor** shall not be entitled to receive more than an additional allowance of five (5%) percent for overhead and profit over

the cost of such **Subcontractor's Work** as computed in accordance with Article 26.2.

ARTICLE 27. RESOLUTION OF DISPUTES

27.1 All disputes between the **City** and the **Contractor** of the kind delineated in this Article 27.1 that arise under, or by virtue of, this **Contract** shall be finally resolved in accordance with the provisions of this Article 27 and the **PPB Rules**. This procedure for resolving all disputes of the kind delineated herein shall be the exclusive means of resolving any such disputes.

27.1.1 This Article 27 shall not apply to disputes concerning matters dealt with in other sections of the **PPB Rules**, or to disputes involving patents, copyrights, trademarks, or trade secrets (as interpreted by the courts of New York State) relating to proprietary rights in computer software.

27.1.2 This Article 27 shall apply only to disputes about the scope of **Work** delineated by the **Contract**, the interpretation of **Contract** documents, the amount to be paid for **Extra Work** or disputed work performed in connection with the **Contract**, the conformity of the **Contractor's Work** to the **Contract**, and the acceptability and quality of the **Contractor's Work**; such disputes arise when the **Engineer, Resident Engineer, Engineering Audit Officer**, or other designee of the **Commissioner** makes a determination with which the **Contractor** disagrees.

27.2 All determinations required by this Article 27 shall be made in writing clearly stated, with a reasoned explanation for the determination based on the information and evidence presented to the party making the determination. Failure to make such determination within the time required by this Article 27 shall be deemed a non-determination without prejudice that will allow application to the next level.

27.3 During such time as any dispute is being presented, heard, and considered pursuant to this Article 27, the **Contract** terms shall remain in force and the **Contractor** shall continue to perform **Work** as directed by the **ACCO** or the **Engineer**. Failure of the **Contractor** to continue **Work** as directed shall constitute a waiver by the **Contractor** of its claim.

27.4 Presentation of Disputes to **Commissioner**.

Notice of Dispute and Agency Response. The **Contractor** shall present its dispute in writing ("Notice of Dispute") to the **Commissioner** within thirty (30) Days of receiving written notice of the determination or action that is the subject of the dispute. This notice requirement shall not be read to replace any other notice requirements contained in the **Contract**. The Notice of Dispute shall include all the facts, evidence, documents, or other basis upon which the **Contractor** relies in support of its position, as well as a detailed computation demonstrating how any amount of money claimed by the **Contractor** in the dispute was arrived at. Within thirty (30) Days after receipt of the detailed written submission comprising the complete Notice of Dispute, the **Engineer, Resident Engineer, Engineering Audit Officer**, or other designee of the **Commissioner** shall submit to the **Commissioner** all materials he or she deems pertinent to the dispute. Following initial submissions to the **Commissioner**, either party may demand of the other the production of any document or other material the demanding party believes may be relevant to the dispute. The requested party shall produce all relevant materials that are not otherwise protected by a legal privilege recognized by the courts of New York State. Any question of relevancy shall be determined by the **Commissioner** whose decision shall be final. Willful failure of the **Contractor** to produce any requested material whose relevancy the **Contractor** has not disputed, or whose relevancy has been affirmatively determined, shall constitute a waiver by the **Contractor** of its claim.

27.4.1 **Commissioner Inquiry.** The **Commissioner** shall examine the material and may, in his or her discretion, convene an informal conference with the **Contractor**, the **ACCO**, and the **Engineer, Resident Engineer, Engineering Audit Officer**, or other designee of the **Commissioner** to resolve the issue by mutual consent prior to reaching a determination. The **Commissioner** may seek such technical or other expertise as he or she shall deem appropriate, including the use of neutral mediators, and require any such additional material from either or both parties as he or she deems fit. The **Commissioner's** ability to render, and the effect of, a decision hereunder shall not be impaired by any negotiations in connection with the dispute presented, whether or not the **Commissioner** participated therein. The **Commissioner** may or, at the request of any party to the dispute, shall compel the participation of any **Other Contractor** with a contract related to the **Work** of this **Contract**, and that **Contractor** shall be bound by the decision of the **Commissioner**. Any **Other Contractor** thus brought into the dispute resolution proceeding shall have the same rights and obligations under this Article 27 as the **Contractor** initiating the dispute.

27.4.2 **Commissioner Determination.** Within thirty (30) **Days** after the receipt of all materials and information, or such longer time as may be agreed to by the parties, the **Commissioner** shall make his or her determination and shall deliver or send a copy of such determination to the **Contractor**, the **ACCO**, and **Engineer, Resident Engineer, Engineering Audit Officer**, or other designee of the **Commissioner**, as applicable, together with a statement concerning how the decision may be appealed.

27.4.3 **Finality of Commissioner's Decision.** The **Commissioner's** decision shall be final and binding on all parties, unless presented to the Contract Dispute Resolution Board pursuant to this Article 27. The **City** may not take a petition to the Contract Dispute Resolution Board. However, should the **Contractor** take such a petition, the **City** may seek, and the Contract Dispute Resolution Board may render, a determination less favorable to the **Contractor** and more favorable to the **City** than the decision of the **Commissioner**.

27.5 **Presentation of Dispute to the Comptroller.** Before any dispute may be brought by the **Contractor** to the Contract Dispute Resolution Board, the **Contractor** must first present its claim to the **Comptroller** for his or her review, investigation, and possible adjustment.

27.5.1 **Time, Form, and Content of Notice.** Within thirty (30) **Days** of its receipt of a decision by the **Commissioner**, the **Contractor** shall submit to the **Comptroller** and to the **Commissioner** a Notice of Claim regarding its dispute with the **Agency**. The Notice of Claim shall consist of (i) a brief written statement of the substance of the dispute, the amount of money, if any, claimed and the reason(s) the **Contractor** contends the dispute was wrongly decided by the **Commissioner**; (ii) a copy of the written decision of the **Commissioner**; and (iii) a copy of all materials submitted by the **Contractor** to the **Agency**, including the Notice of Dispute. The **Contractor** may not present to the **Comptroller** any material not presented to the **Commissioner**, except at the request of the **Comptroller**.

27.5.2 Response. Within thirty (30) **Days** of receipt of the Notice of Claim, the **Agency** shall make available to the **Comptroller** a copy of all material submitted by the **Agency** to the **Commissioner** in connection with the dispute. The **Agency** may not present to the **Comptroller** any material not presented to the **Commissioner** except at the request of the **Comptroller**.

27.5.3 **Comptroller** Investigation. The **Comptroller** may investigate the claim in dispute and, in the course of such investigation, may exercise all powers provided in Sections 7-201 and 7-203 of the Administrative Code. In addition, the **Comptroller** may demand of either party, and such party shall provide, whatever additional material the **Comptroller** deems pertinent to the claim, including original business records of the **Contractor**. Willful failure of the **Contractor** to produce within fifteen (15) **Days** any material requested by the **Comptroller** shall constitute a waiver by the **Contractor** of its claim. The **Comptroller** may also schedule an informal conference to be attended by the **Contractor**, **Agency** representatives, and any other personnel desired by the **Comptroller**.

27.5.4 Opportunity of **Comptroller** to Compromise or Adjust Claim. The **Comptroller** shall have forty-five (45) **Days** from his or her receipt of all materials referred to in Article 27.5.3 to investigate the disputed claim. The period for investigation and compromise may be further extended by agreement between the **Contractor** and the **Comptroller**, to a maximum of ninety (90) **Days** from the **Comptroller's** receipt of all materials. The **Contractor** may not present its petition to the Contract Dispute Resolution Board until the period for investigation and compromise delineated in this Article 27.5.4 has expired. In compromising or adjusting any claim hereunder, the **Comptroller** may not revise or disregard the terms of the **Contract** between the parties.

27.6 Contract Dispute Resolution Board. There shall be a Contract Dispute Resolution Board composed of:

27.6.1 The chief administrative law judge of the Office of Administrative Trials and Hearings (OATH) or his/her designated OATH administrative law judge, who shall act as chairperson, and may adopt operational procedures and issue such orders consistent with this Article 27 as may be necessary in the execution of the Contract Dispute Resolution Board's functions, including, but not limited to, granting extensions of time to present or respond to submissions;

27.6.2 The **CCPO** or his/her designee; any designee shall have the requisite background to consider and resolve the merits of the dispute and shall not have participated personally and substantially in the particular matter that is the subject of the dispute or report to anyone who so participated; and

27.6.3 A person with appropriate expertise who is not an employee of the **City**. This person shall be selected by the presiding administrative law judge from a prequalified panel of individuals, established and administered by OATH with appropriate background to act as decision-makers in a dispute. Such individual may not have a contract or dispute with the **City** or be an officer or employee of any company or organization that does, or regularly represents persons, companies, or organizations having disputes with the **City**.

27.7 Petition to the Contract Dispute Resolution Board. In the event the claim has not been settled or adjusted by the **Comptroller** within the period provided in this Article 27, the **Contractor**, within thirty (30) **Days** thereafter, may petition the Contract Dispute Resolution Board to review the

Commissioner's determination.

27.7.1 Form and Content of Petition by **Contractor**. The **Contractor** shall present its dispute to the Contract Dispute Resolution Board in the form of a petition, which shall include (i) a brief written statement of the substance of the dispute, the amount of money, if any, claimed, and the reason(s) the **Contractor** contends the dispute was wrongly decided by the **Commissioner**; (ii) a copy of the written Decision of the **Commissioner**, (iii) copies of all materials submitted by the **Contractor** to the Agency; (iv) a copy of the written decision of the **Comptroller**, if any, and (v) copies of all correspondence with, or written material submitted by the **Contractor**, to the **Comptroller**. The **Contractor** shall concurrently submit four (4) complete sets of the Petition: one set to the **City** Corporation Counsel (Attn: Commercial and Real Estate Litigation Division) and three (3) sets to the Contract Dispute Resolution Board at OATH's offices with proof of service on the **City** Corporation Counsel. In addition, the **Contractor** shall submit a copy of the written statement of the substance of the dispute, cited in (i) above, to both the **Commissioner** and the **Comptroller**.

27.7.2 **Agency Response**. Within thirty (30) **Days** of its receipt of the Petition by the **City** Corporation Counsel, the **Agency** shall respond to the brief written statement of the **Contractor** and make available to the Contract Dispute Resolution Board all material it submitted to the **Commissioner** and **Comptroller**. Three (3) complete copies of the **Agency** response shall be provided to the Contract Dispute Resolution Board and one to the **Contractor**. Extensions of time for submittal of the **Agency** response shall be given as necessary upon a showing of good cause or, upon consent of the parties, for an initial period of up to thirty (30) **Days**.

27.7.3 Further Proceedings. The Contract Dispute Resolution Board shall permit the **Contractor** to present its case by submission of memoranda, briefs, and oral argument. The Contract Dispute Resolution Board shall also permit the **Agency** to present its case in response to the **Contractor** by submission of memoranda, briefs, and oral argument. If requested by the **City** Corporation Counsel, the **Comptroller** shall provide reasonable assistance in the preparation of the **Agency's** case. Neither the **Contractor** nor the **Agency** may support its case with any documentation or other material that was not considered by the **Comptroller**, unless requested by the Contract Dispute Resolution Board. The Contract Dispute Resolution Board, in its discretion, may seek such technical or other expert advice as it shall deem appropriate and may seek, on its own or upon application of a party, any such additional material from any party as it deems fit. The Contract Dispute Resolution Board, in its discretion, may combine more than one dispute between the parties for concurrent resolution.

27.7.4 Contract Dispute Resolution Board Determination. Within forty-five (45) **Days** of the conclusion of all written submissions and oral arguments, the Contract Dispute Resolution Board shall render a written decision resolving the dispute. In an unusually complex case, the Contract Dispute Resolution Board may render its decision in a longer period, not to exceed ninety (90) **Days**, and shall so advise the parties at the commencement of this period. The Contract Dispute Resolution Board's decision must be consistent with the terms of the **Contract**. Decisions of the Contract Dispute Resolution Board shall only resolve matters before the Contract Dispute Resolution Board and shall not have precedential effect with respect to matters not before the Contract Dispute Resolution Board.

27.7.5 Notification of Contract Dispute Resolution Board Decision. The Contract Dispute Resolution Board shall send a copy of its decision to the **Contractor**, the **ACCO**, the Engineer, the **Comptroller**, the **City** Corporation Counsel, the CCPO, and the **PPB**. A decision in favor of the **Contractor** shall be subject to the prompt payment provisions of the **PPB** Rules. The

Required Payment Date shall be thirty (30) Days after the date the parties are formally notified of the Contract Dispute Resolution Board's decision.

27.7.6 Finality of Contract Dispute Resolution Board Decision. The Contract Dispute Resolution Board's decision shall be final and binding on all parties. Any party may seek review of the Contract Dispute Resolution Board's decision solely in the form of a challenge, filed within four (4) months of the date of the Contract Dispute Resolution Board's decision, in a court of competent jurisdiction of the State of New York, County of New York pursuant to Article 78 of the Civil Practice Law and Rules. Such review by the court shall be limited to the question of whether or not the Contract Dispute Resolution Board's decision was made in violation of lawful procedure, was affected by an error of **Law**, or was arbitrary and capricious or an abuse of discretion. No evidence or information shall be introduced or relied upon in such proceeding that was not presented to the Contract Dispute Resolution Board in accordance with this Article 27.

27.8 Any termination, cancellation, or alleged breach of the **Contract** prior to or during the pendency of any proceedings pursuant to this Article 27 shall not affect or impair the ability of the **Commissioner** or Contract Dispute Resolution Board to make a binding and final decision pursuant to this Article 27.

ARTICLE 28. RECORD KEEPING FOR EXTRA OR DISPUTED WORK OR WORK ON A TIME & MATERIALS BASIS

28.1 While the **Contractor** or any of its **Subcontractors** is performing **Work** on a time and material basis or **Extra Work** on a time and material basis ordered by the **Commissioner** under Article 25, or where the **Contractor** believes that it or any of its **Subcontractors** is performing **Extra Work** but a final determination by **Agency** has not been made, or the **Contractor** or any of its **Subcontractors** is performing disputed **Work** (whether on or off the **Site**), or complying with a determination or order under protest in accordance with Articles 11, 27, and 30, in each such case the **Contractor** shall furnish the **Resident Engineer** daily with three (3) copies of written statements signed by the **Contractor's** representative at the **Site** showing:

28.1.1 The name, trade, and number of each worker employed on such **Work** or engaged in complying with such determination or order, the number of hours employed, and the character of the **Work** each is doing; and

28.1.2 The nature and quantity of any materials, plant and equipment furnished or used in connection with the performance of such **Work** or compliance with such determination or order, and from whom purchased or rented.

28.2 A copy of such statement will be countersigned by the **Resident Engineer**, noting thereon any items not agreed to or questioned, and will be returned to the **Contractor** within two (2) **Days** after submission.

28.3 The **Contractor** and its **Subcontractors**, when required by the **Commissioner**, or the **Comptroller**, shall also produce for inspection, at the office of the **Contractor** or **Subcontractor**, any and all of its books, bid documents, financial statements, vouchers, records, daily job diaries and reports, and cancelled checks, and any other documents relating to showing the nature and quantity of the labor, materials, plant and equipment actually used in the performance of such **Work**, or in complying with such determination or order, and the amounts expended therefor, and shall permit the **Commissioner** and the

Comptroller to make such extracts therefrom, or copies thereof, as they or either of them may desire.

28.4 In connection with the examination provided for herein, the **Commissioner**, upon demand therefor, will produce for inspection by the **Contractor** such records as the **Agency** may have with respect to such **Extra Work** or disputed **Work** performed under protest pursuant to order of the **Commissioner**, except those records and reports which may have been prepared for the purpose of determining the accuracy and validity of the **Contractor's** claim.

28.5 Failure to comply strictly with these requirements shall constitute a waiver of any claim for extra compensation or damages on account of the performance of such **Work** or compliance with such determination or order.

ARTICLE 29. OMITTED WORK

29.1 If any **Contract Work** in a lump sum **Contract**, or if any part of a lump sum item in a unit price, lump sum, or percentage-bid **Contract** is omitted by the **Commissioner** pursuant to Article 33, the **Contract** price, subject to audit by the EAO, shall be reduced by a pro rata portion of the lump sum bid amount based upon the percent of **Work** omitted subject to Article 29.4. For the purpose of determining the pro rata portion of the lump sum bid amount, the bid breakdown submitted in accordance with Article 41 shall be considered, but shall not be the determining factor.

29.2 If the whole of a lump sum item or units of any other item is so omitted by the **Commissioner** in a unit price, lump sum, or percentage-bid **Contract**, then no payment will be made therefor except as provided in Article 29.4.

29.3 For units that have been ordered but are only partially completed, the unit price shall be reduced by a pro rata portion of the unit price bid based upon the percentage of **Work** omitted subject to Article 29.4.

29.4 In the event the **Contractor**, with respect to any omitted **Work**, has purchased any non-cancelable material and/or equipment that is not capable of use except in the performance of this **Contract** and has been specifically fabricated for the sole purpose of this **Contract**, but not yet incorporated into the **Work**, the **Contractor** shall be paid for such material and/or equipment in accordance with Article 64.2.1(b); provided, however, such payment is contingent upon the **Contractor's** delivery of such material and/or equipment in acceptable condition to a location designated by the **City**.

29.5 The **Contractor** agrees to make no claim for damages or for loss of overhead and profit with regard to any omitted **Work**.

ARTICLE 30. NOTICE AND DOCUMENTATION OF COSTS AND DAMAGES; PRODUCTION OF FINANCIAL RECORDS

30.1 If the **Contractor** shall claim to be sustaining damages by reason of any act or omission of the **City** or its agents, it shall submit to the **Commissioner** within forty-five (45) **Days** from the time such damages are first incurred, and every thirty (30) **Days** thereafter to the extent additional damages are being incurred for the same condition, verified statements of the details and the amounts of such damages, together with documentary evidence of such damages. The **Contractor** may submit any of the above statements within such additional time as may be granted by the **Commissioner** in writing upon written request therefor. Failure of the **Commissioner** to respond in writing to a written request for additional time within thirty (30) **Days** shall be deemed a denial of the request. On failure of the **Contractor** to strictly comply with

the foregoing provisions, such claims shall be deemed waived and no right to recover on such claims shall exist. Damages that the **Contractor** may claim in any action or dispute resolution procedure arising under or by reason of this **Contract** shall not be different from or in excess of the statements and documentation made pursuant to this Article 30. This Article 30.1 does not apply to claims submitted to the **Commissioner** pursuant to Article 11 or to claims disputing a determination under Article 27.

30.2 In addition to the foregoing statements, the **Contractor** shall, upon notice from the **Commissioner**, produce for examination at the **Contractor's** office, by the **Engineer, Architect or Project Manager**, all of its books of account, bills, invoices, payrolls, subcontracts, time books, daily reports, bank deposit books, bank statements, check books, and cancelled checks, showing all of its acts and transactions in connection with or relating to or arising by reason of this **Contract**, and submit itself and persons in its employment, for examination under oath by any person designated by the **Commissioner** or **Comptroller** to investigate claims made or disputes against the **City** under this **Contract**. At such examination, a duly authorized representative of the **Contractor** may be present.

30.3 In addition to the statements required under Article 28 and this Article 30, the **Contractor** and/or its **Subcontractor** shall, within thirty (30) **Days** upon notice from the **Commissioner** or **Comptroller**, produce for examination at the **Contractor's** and/or **Subcontractor's** office, by a representative of either the **Commissioner** or **Comptroller**, all of its books of account, bid documents, financial statements, accountant workpapers, bills, invoices, payrolls, subcontracts, time books, daily reports, bank deposit books, bank statements, check books, and cancelled checks, showing all of its acts and transactions in connection with or relating to or arising by reason of this **Contract**. Further, the **Contractor** and/or its **Subcontractor** shall submit any person in its employment, for examination under oath by any person designated by the **Commissioner** or **Comptroller** to investigate claims made or disputes against the **City** under this **Contract**. At such examination, a duly authorized representative of the **Contractor** may be present.

30.4 Unless the information and examination required under Article 30.3 is provided by the **Contractor** and/or its **Subcontractor** upon thirty (30) **Days'** notice from the **Commissioner** or **Comptroller**, or upon the **Commissioner's** or **Comptroller's** written authorization to extend the time to comply, the **City** shall be released from all claims arising under, relating to or by reason of this **Contract**, except for sums certified by the **Commissioner** to be due under the provisions of this **Contract**. It is further stipulated and agreed that no person has the power to waive any of the foregoing provisions and that in any action or dispute resolution procedure against the **City** to recover any sum in excess of the sums certified by the **Commissioner** to be due under or by reason of this **Contract**, the **Contractor** must allege in its complaint and prove, at trial or during such dispute resolution procedure, compliance with the provisions of this Article 30.

30.5 In addition, after the commencement of any action or dispute resolution procedure by the **Contractor** arising under or by reason of this **Contract**, the **City** shall have the right to require the **Contractor** to produce for examination under oath, up until the trial of the action or hearing before the Contract Dispute Resolution Board, the books and documents described in Article 30.3 and submit itself and all persons in its employ for examination under oath. If this Article 30 is not complied with as required, then the **Contractor** hereby consents to the dismissal of the action or dispute resolution procedure.

CHAPTER VII: POWERS OF THE RESIDENT ENGINEER, THE ENGINEER OR ARCHITECT AND THE COMMISSIONER

ARTICLE 31. THE RESIDENT ENGINEER

31.1 The **Resident Engineer** shall have the power to inspect, supervise, and control the performance

of the **Work**, subject to review by the **Commissioner**. The **Resident Engineer** shall not, however, have the power to issue an **Extra Work** order, except as specifically designated in writing by the **Commissioner**.

ARTICLE 32. THE ENGINEER OR ARCHITECT OR PROJECT MANAGER

32.1 The **Engineer** or **Architect** or **Project Manager**, in addition to those matters elsewhere herein delegated to the **Engineer** and expressly made subject to his/her determination, direction or approval, shall have the power, subject to review by the **Commissioner**:

32.1.1 To determine the amount, quality, and location of the **Work** to be paid for hereunder; and

32.1.2 To determine all questions in relation to the **Work**, to interpret the **Contract Drawings, Specifications, and Addenda**, and to resolve all patent inconsistencies or ambiguities therein; and

32.1.3 To determine how the **Work** of this **Contract** shall be coordinated with **Work** of **Other Contractors** engaged simultaneously on this **Project**, including the power to suspend any part of the **Work**, but not the whole thereof; and

32.1.4 To make minor changes in the **Work** as he/she deems necessary, provided such changes do not result in a net change in the cost to the **City** or to the **Contractor** of the **Work** to be done under the **Contract**; and

32.1.5 To amplify the **Contract Drawings**, add explanatory information and furnish additional **Specifications** and drawings, consistent with this **Contract**.

32.2 The foregoing enumeration shall not imply any limitation upon the power of the **Engineer** or **Architect** or **Project Manager**, for it is the intent of this **Contract** that all of the **Work** shall generally be subject to his/her determination, direction, and approval, except where the determination, direction or approval of someone other than the **Engineer** or **Architect** or **Project Manager** is expressly called for herein.

32.3 The **Engineer** or **Architect** or **Project Manager** shall not, however, have the power to issue an **Extra Work** order, except as specifically designated in writing by the **Commissioner**.

ARTICLE 33. THE COMMISSIONER

33.1 The **Commissioner**, in addition to those matters elsewhere herein expressly made subject to his/her determination, direction or approval, shall have the power:

33.1.1 To review and make determinations on any and all questions in relation to this **Contract** and its performance; and

33.1.2 To modify or change this **Contract** so as to require the performance of **Extra Work** (subject, however, to the limitations specified in Article 25) or the omission of **Contract Work**; and

33.1.3 To suspend the whole or any part of the **Work** whenever in his/her judgment such suspension is required:

33.1.3(a) In the interest of the **City** generally; or

33.1.3(b) To coordinate the **Work** of the various contractors engaged on this **Project** pursuant to the provisions of Article 12; or

33.1.3(c) To expedite the completion of the entire **Project** even though the completion of this particular **Contract** may thereby be delayed.

ARTICLE 34. NO ESTOPPEL

34.1 Neither the **City** nor any **Agency**, official, agent or employee thereof, shall be bound, precluded or estopped by any determination, decision, approval, order, letter, payment or certificate made or given under or in connection with this **Contract** by the **City**, the **Commissioner**, the **Engineer**, the **Resident Engineer**, or any other official, agent or employee of the **City**, either before or after the final completion and acceptance of the **Work** and payment therefor:

34.1.1 From showing the true and correct classification, amount, quality or character of the **Work** actually done; or that any such determination, decision, order, letter, payment or certificate was untrue, incorrect or improperly made in any particular, or that the **Work**, or any part thereof, does not in fact conform to the requirements of this **Contract**; and

34.1.2 From demanding and recovering from the **Contractor** any overpayment made to it, or such damages as the **City** may sustain by reason of the **Contractor's** failure to perform each and every part of its **Contract**.

CHAPTER VIII: LABOR PROVISIONS

ARTICLE 35. EMPLOYEES

35.1 The **Contractor** and its **Subcontractors** shall not employ on the **Work**:

35.1.1 Anyone who is not competent, faithful and skilled in the **Work** for which he/she shall be employed; and whenever the **Commissioner** shall inform the **Contractor**, in writing, that any employee is, in his/her opinion, incompetent, unfaithful or disobedient, that employee shall be discharged from the **Work** forthwith, and shall not again be employed upon it; or

35.1.2 Any labor, materials or means whose employment, or utilization during the course of this **Contract**, may tend to or in any way cause or result in strikes, work stoppages, delays, suspension of **Work** or similar troubles by workers employed by the **Contractor** or its **Subcontractors**, or by any of the trades working in or about the buildings and premises where **Work** is being performed under this **Contract**, or by **Other Contractors** or their **Subcontractors** pursuant to other contracts, or on any other building or premises owned or operated by the **City**, its **Agencies**, departments, boards or authorities. Any violation by the **Contractor** of this requirement may, upon certification of the **Commissioner**, be considered as proper and sufficient cause for declaring the **Contractor** to be in default, and for the **City** to take action against it as set forth in Chapter X of this **Contract**, or such other article of this **Contract** as the Commissioner may deem proper; or

35.1.3 In accordance with Section 220.3-e of the Labor Law of the State of New York (hereinafter “Labor Law”), the **Contractor** and its **Subcontractors** shall not employ on the **Work** any apprentice, unless he/she is a registered individual, under a bona fide program registered with the New York State Department of Labor. The allowable ratio of apprentices to journey-level workers in any craft classification shall not be greater than the ratio permitted to the **Contractor** as to its work force on any job under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered as above, shall be paid the wage rate determined by the **Comptroller** of the **City** for the classification of **Work** actually performed. The **Contractor** or **Subcontractor** will be required to furnish written evidence of the registration of its program and apprentices as well as all the appropriate ratios and wage rates, for the area of the construction prior to using any apprentices on the **Contract Work**.

35.2 If the total cost of the **Work** under this **Contract** is at least two hundred fifty thousand (\$250,000) dollars, all laborers, workers, and mechanics employed in the performance of the **Contract** on the public work site, either by the **Contractor**, **Subcontractor** or other person doing or contracting to do the whole or a part of the **Work** contemplated by the **Contract**, shall be certified prior to performing any **Work** as having successfully completed a course in construction safety and health approved by the United States Department of Labor’s Occupational Safety and Health Administration that is at least ten (10) hours in duration.

35.3 In accordance with Local Law Nos. 30-2012 and 33-2012, codified at sections 6-132 and 12-113 of the Administrative Code, respectively,

35.3.1 The **Contractor** shall not take an adverse personnel action with respect to an officer or employee in retaliation for such officer or employee making a report of information concerning conduct which such officer or employee knows or reasonably believes to involve corruption, criminal activity, conflict of interest, gross mismanagement or abuse of authority by any officer or employee relating to this **Contract** to (a) the Commissioner of the Department of Investigation, (b) a member of the New York City Council, the Public Advocate, or the **Comptroller**, or (c) the **CCPO**, **ACCO**, **Agency** head, or **Commissioner**.

35.3.2 If any of the **Contractor**’s officers or employees believes that he or she has been the subject of an adverse personnel action in violation of Article 35.3.1, he or she shall be entitled to bring a cause of action against the **Contractor** to recover all relief necessary to make him or her whole. Such relief may include but is not limited to: (a) an injunction to restrain continued retaliation, (b) reinstatement to the position such employee would have had but for the retaliation or to an equivalent position, (c) reinstatement of full fringe benefits and seniority rights, (d) payment of two times back pay, plus interest, and (e) compensation for any special damages sustained as a result of the retaliation, including litigation costs and reasonable attorney’s fees.

35.3.3 The **Contractor** shall post a notice provided by the **City** in a prominent and accessible place on any site where work pursuant to the **Contract** is performed that contains information about:

35.3.3(a) how its employees can report to the New York City Department of Investigation allegations of fraud, false claims, criminality or corruption arising out of or in connection with the **Contract**; and

35.3.3(b) the rights and remedies afforded to its employees under Administrative Code sections 7-805 (the New York City False Claims Act) and 12-113 (the Whistleblower Protection Expansion Act) for lawful acts taken in connection with the

reporting of allegations of fraud, false claims, criminality or corruption in connection with the **Contract**.

35.3.4 For the purposes of this Article 35.3, “adverse personnel action” includes dismissal, demotion, suspension, disciplinary action, negative performance evaluation, any action resulting in loss of staff, office space, equipment or other benefit, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected officer or employee.

35.3.5 This Article 35.3 is applicable to all of the **Contractor’s Subcontractors** having subcontracts with a value in excess of \$100,000; accordingly, the **Contractor** shall include this rider in all subcontracts with a value a value in excess of \$100,000.

35.4 Article 35.3 is not applicable to this **Contract** if it is valued at \$100,000 or less. Articles 35.3.1, 35.3.2, 35.3.4, and 35.3.5 are not applicable to this **Contract** if it was solicited pursuant to a finding of an emergency.

35.5 Paid Sick Leave Law.

35.5.1 Introduction and General Provisions.

35.5.1(a) The Earned Sick Time Act, also known as the Paid Sick Leave Law (“PSLL”), requires covered employees who annually perform more than 80 hours of work in New York City to be provided with paid sick time². Contractors of the **City** or of other governmental entities may be required to provide sick time pursuant to the PSLL.

35.5.1(b) The PSLL became effective on April 1, 2014, and is codified at Title 20, Chapter 8, of the New York City Administrative Code. It is administered by the City’s Department of Consumer Affairs (“DCA”); DCA’s rules promulgated under the PSLL are codified at Chapter 7 of Title 6 of the Rules of the City of New York (“Rules”).

35.5.1(c) The **Contractor** agrees to comply in all respects with the PSLL and the Rules, and as amended, if applicable, in the performance of this **Contract**. The **Contractor** further acknowledges that such compliance is a material term of this **Contract** and that failure to comply with the PSLL in performance of this **Contract** may result in its termination.

35.5.1(d) The **Contractor** must notify the **Agency Chief Contracting Officer** of the **Agency** with whom it is contracting in writing within ten (10) days of receipt of a complaint (whether oral or written) regarding the PSLL involving the performance of this **Contract**. Additionally, the **Contractor** must cooperate with DCA’s education efforts and must comply with DCA’s subpoenas and other document demands as set forth in the PSLL and Rules.

35.5.1(e) The PSLL is summarized below for the convenience of the **Contractor**. The **Contractor** is advised to review the PSLL and Rules in their entirety. On the

² Pursuant to the PSLL, if fewer than five employees work for the same employer, as determined pursuant to New York City Administrative Code § 20-912(g), such employer has the option of providing such employees uncompensated sick time.

website www.nyc.gov/PaidSickLeave there are links to the PSL and the associated Rules as well as additional resources for employers, such as Frequently Asked Questions, timekeeping tools and model forms, and an event calendar of upcoming presentations and webinars at which the **Contractor** can get more information about how to comply with the PSL. The **Contractor** acknowledges that it is responsible for compliance with the PSL notwithstanding any inconsistent language contained herein.

35.5.2 Pursuant to the PSL and the Rules: Applicability, Accrual, and Use.

35.5.2(a) An employee who works within the City of New York for more than eighty hours in any consecutive 12-month period designated by the employer as its “calendar year” pursuant to the PSL (“Year”) must be provided sick time. Employers must provide a minimum of one hour of sick time for every 30 hours worked by an employee and compensation for such sick time must be provided at the greater of the employee’s regular hourly rate or the minimum wage. Employers are not required to provide more than 40 hours of sick time to an employee in any Year.

35.5.2(b) An employee has the right to determine how much sick time he or she will use, provided that employers may set a reasonable minimum increment for the use of sick time not to exceed four hours per **Day**. In addition, an employee may carry over up to 40 hours of unused sick time to the following Year, provided that no employer is required to allow the use of more than forty hours of sick time in a Year or carry over unused paid sick time if the employee is paid for such unused sick time and the employer provides the employee with at least the legally required amount of paid sick time for such employee for the immediately subsequent Year on the first **Day** of such Year.

35.5.2(c) An employee entitled to sick time pursuant to the PSL may use sick time for any of the following:

- i. such employee’s mental illness, physical illness, injury, or health condition or the care of such illness, injury, or condition or such employee’s need for medical diagnosis or preventive medical care;
- ii. such employee’s care of a family member (an employee’s child, spouse, domestic partner, parent, sibling, grandchild or grandparent, or the child or parent of an employee’s spouse or domestic partner) who has a mental illness, physical illness, injury or health condition or who has a need for medical diagnosis or preventive medical care;
- iii. closure of such employee’s place of business by order of a public official due to a public health emergency; or
- iv. such employee’s need to care for a child whose school or childcare provider has been closed due to a public health emergency.

35.5.2(d) An employer must not require an employee, as a condition of taking sick time, to search for a replacement. However, an employer may require an employee to provide: reasonable notice of the need to use sick time; reasonable documentation that the use of sick time was needed for a reason above if for an absence of more than three consecutive work days; and/or written confirmation that an employee used sick time pursuant to the PSL. However, an employer may not require documentation specifying the nature of a medical condition or otherwise require disclosure of the details of a medical condition as a condition of providing sick time and health information obtained solely due to an employee’s use of sick time pursuant to the PSL must be treated by the

employer as confidential.

35.5.2(e) If an employer chooses to impose any permissible discretionary requirement as a condition of using sick time, it must provide to all employees a written policy containing those requirements, using a delivery method that reasonably ensures that employees receive the policy. If such employer has not provided its written policy, it may not deny sick time to an employee because of non-compliance with such a policy.

35.5.2(f) Sick time to which an employee is entitled must be paid no later than the payday for the next regular payroll period beginning after the sick time was used.

35.5.3 Exemptions and Exceptions. Notwithstanding the above, the PSLL does not apply to any of the following:

35.5.3(a) an independent contractor who does not meet the definition of employee under section 190(2) of the New York State Labor Law;

35.5.3(b) an employee covered by a valid collective bargaining agreement in effect on April 1, 2014, until the termination of such agreement;

35.5.3(c) an employee in the construction or grocery industry covered by a valid collective bargaining agreement if the provisions of the PSLL are expressly waived in such collective bargaining agreement;

35.5.3(d) an employee covered by another valid collective bargaining agreement if such provisions are expressly waived in such agreement and such agreement provides a benefit comparable to that provided by the PSLL for such employee;

35.5.3(e) an audiologist, occupational therapist, physical therapist, or speech language pathologist who is licensed by the New York State Department of Education and who calls in for work assignments at will, determines his or her own schedule, has the ability to reject or accept any assignment referred to him or her, and is paid an average hourly wage that is at least four times the federal minimum wage;

35.5.3(f) an employee in a work study program under Section 2753 of Chapter 42 of the United States Code;

35.5.3(g) an employee whose work is compensated by a qualified scholarship program as that term is defined in the Internal Revenue Code, Section 117 of Chapter 20 of the United States Code; or

35.5.3(h) a participant in a Work Experience Program (WEP) under section 336-c of the New York State Social Services Law.

35.5.4 Retaliation Prohibited. An employer may not threaten or engage in retaliation against an employee for exercising or attempting in good faith to exercise any right provided by the PSLL. In addition, an employer may not interfere with any investigation, proceeding, or hearing pursuant to the PSLL.

35.5.5 Notice of Rights.

35.5.5(a) An employer must provide its employees with written notice of their rights pursuant to the PSLL. Such notice must be in English and the primary language spoken

by an employee, provided that DCA has made available a translation into such language. Downloadable notices are available on DCA's website at <http://www.nyc.gov/html/dca/html/law/PaidSickLeave.shtml>.

35.5.5(b) Any person or entity that willfully violates these notice requirements is subject to a civil penalty in an amount not to exceed fifty dollars for each employee who was not given appropriate notice.

35.5.6 Records. An employer must retain records documenting its compliance with the PSLL for a period of at least three years, and must allow DCA to access such records in furtherance of an investigation related to an alleged violation of the PSLL.

35.5.7 Enforcement and Penalties.

35.5.7(a) Upon receiving a complaint alleging a violation of the PSLL, DCA has the right to investigate such complaint and attempt to resolve it through mediation. Within **30 Days** of written notification of a complaint by DCA, or sooner in certain circumstances, the employer must provide DCA with a written response and such other information as DCA may request. If DCA believes that a violation of the PSLL has occurred, it has the right to issue a notice of violation to the employer.

35.5.7(b) DCA has the power to grant an employee or former employee all appropriate relief as set forth in New York City Administrative Code § 20-924(d). Such relief may include, among other remedies, treble damages for the wages that should have been paid, damages for unlawful retaliation, and damages and reinstatement for unlawful discharge. In addition, DCA may impose on an employer found to have violated the PSLL civil penalties not to exceed \$500 for a first violation, \$750 for a second violation within two years of the first violation, and \$1,000 for each succeeding violation within two years of the previous violation.

35.5.8 More Generous Policies and Other Legal Requirements. Nothing in the PSLL is intended to discourage, prohibit, diminish, or impair the adoption or retention of a more generous sick time policy, or the obligation of an employer to comply with any contract, collective bargaining agreement, employment benefit plan or other agreement providing more generous sick time. The PSLL provides minimum requirements pertaining to sick time and does not preempt, limit or otherwise affect the applicability of any other law, regulation, rule, requirement, policy or standard that provides for greater accrual or use by employees of sick leave or time, whether paid or unpaid, or that extends other protections to employees. The PSLL may not be construed as creating or imposing any requirement in conflict with any federal or state law, rule or regulation.

35.6 HireNYC: Hiring and Reporting Requirements. This Article 35.6 applies to construction contracts of \$1,000,000 or more. The **Contractor** shall comply with the requirements of Articles 35.6.1-35.6.5 for all non-trades jobs (e.g., for an administrative position arising out of **Work** and located in New York City). The **Contractor** shall reasonably cooperate with SBS and the **City** on specific outreach events, including "Hire-on-the-Spot" events, for the hiring of trades workers in connection with the **Work**. If provided elsewhere in this **Contract**, this **Contract** is subject to a project labor agreement.

35.6.1 Enrollment. The **Contractor** shall enroll with the HireNYC system, found at www.nyc.gov/sbs, within thirty (30) days after the registration of this **Contract** pursuant to Section 328 of the New York City Charter. The **Contractor** shall provide information about the business, designate a primary contact and say whether it intends to hire for any entry

to mid-level job opportunities arising from this **Contract** and located in New York City, and, if so, the approximate start date of the first hire.

35.6.2 Job Posting Requirements.

35.6.2(a) Once enrolled in HireNYC, the **Contractor** agrees to update the HireNYC portal with all entry to mid-level job opportunities arising from this **Contract** and located in New York City, if any, which shall be defined as jobs requiring no more than an associate degree, as provided by the New York State Department of Labor (see Column F of <https://labor.ny.gov/stats/2012-2022-NYS-Employment-Prospects.xls>). The information to be updated includes the types of entry and mid-level positions made available from the work arising from the **Contract** and located in New York City, the number of positions, the anticipated schedule of initiating the hiring process for these positions, and the contact information for the **Contractor's** representative charged with overseeing hiring. The **Contractor** must update the HireNYC portal with any hiring needs arising from the contract and located in New York City, and the requirements of the jobs to be filled, no less than three weeks prior to the intended first day of employment for each new position, except with the permission of SBS, not to be unreasonably withheld, and must also update the HireNYC portal as set forth below.

35.6.2(b) After enrollment through HireNYC and submission of relevant information, SBS will work with the **Contractor** to develop a recruitment plan which will outline the candidate screening process, and will provide clear instructions as to when, where, and how interviews will take place. HireNYC will screen applicants based on employer requirements and refer applicants whom it believes are qualified to the **Contractor** for interviews. The **Contractor** must interview referred applicants whom it believes are qualified.

35.6.2(c) After completing an interview of a candidate referred by HireNYC, the **Contractor** must provide feedback via the portal within twenty (20) business days to indicate which candidates were interviewed and hired, if any. In addition, the **Contractor** shall provide the start date of new hires, and additional information reasonably related to such hires, within twenty (20) business days after the start date. In the event the **Contractor** does not have any job openings covered by this Rider in any given year, the **Contractor** shall be required to provide an annual update to HireNYC to that effect. For this purpose, the reporting year shall run from the date of the registration of the **Contract** pursuant to Charter section 328 and each anniversary date.

35.6.2(d) These requirements do not limit the **Contractor's** ability to assess the qualifications of prospective workers, and to make final hiring and retention decisions. No provision of this Article 35.6 shall be interpreted so as to require the **Contractor** to employ any particular worker.

35.6.2(e) In addition, the provisions of this Article 35.6 shall not apply to positions that the **Contractor** intends to fill with employees employed pursuant to the job retention provision of Section 22-505 of the Administrative Code of the City of New York. The **Contractor** shall not be required to report such openings with HireNYC. However, the **Contractor** shall enroll with the HireNYC system pursuant to Article 35.6.1, above, and, if such positions subsequently become open, then the remaining provisions of this Article 35.6 will apply.

35.6.3 Breach and Liquidated Damages. If the **Contractor** fails to comply with the terms of the **Contract** and this Article 35.6 (1) by not enrolling its business with HireNYC; (2) by not informing HireNYC, as required, of open positions; or (3) by failing to interview a qualified candidate, the **Agency** may assess liquidated damages in the amount of two-thousand five hundred dollars (\$2,500) per breach. For all other events of noncompliance with the terms of this Article 35.6, the **Agency** may assess liquidated damages in the amount of five hundred dollars (\$500) per breach. Furthermore, in the event the **Contractor** breaches the requirements of this Article 35.6 during the term of the **Contract**, the **City** may hold the **Contractor** in default of this **Contract**.

35.6.4 Audit Compliance. In addition to the auditing requirements set forth in other parts of the **Contract**, the **Contractor** shall permit SBS and the **City** to inspect any and all records concerning or relating to job openings or the hiring of individuals for work arising from the **Contract** and located in New York City. The **Contractor** shall permit an inspection within seven (7) business days of the request.

35.6.5 Other Reporting Requirements. The **Contractor** shall report to the **City**, on a monthly basis, all information reasonably requested by the **City** that is necessary for the **City** to comply with any reporting requirements imposed by **Law**, including any requirement that the **City** maintain a publicly accessible database. In addition, the **Contractor** agrees to comply with all reporting requirements imposed by **Law**, or as otherwise requested by the **City**.

35.6.6 Federal Hiring Requirements. If this **Contract** is federally funded (as indicated elsewhere in this **Contract**), the **Contractor** shall comply with all federal hiring requirements as may be set forth in this **Contract**, including, as applicable: (a) Section 3 of the HUD Act of 1968, which requires, to the greatest extent feasible, economic opportunities for 30 percent of new hires be given to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing and Executive Order 11246, which prohibits discrimination in employment due to race, color, religion, sex or national origin, and requires the implementation of goals for minority and female participation for work involving any construction trade.

ARTICLE 36. NO DISCRIMINATION

36.1 The **Contractor** specifically agrees, as required by Labor Law Section 220-e, as amended, that:

36.1.1 In the hiring of employees for the performance of **Work** under this **Contract** or any subcontract hereunder, neither the **Contractor**, **Subcontractor**, nor any person acting on behalf of such **Contractor** or **Subcontractor**, shall by reason of race, creed, color or national origin discriminate against any citizen of the State of New York who is qualified and available to perform the **Work** to which the employment relates;

36.1.2 Neither the **Contractor**, **Subcontractor**, nor any person on its behalf shall, in any manner, discriminate against or intimidate any employee hired for the performance of **Work** under this **Contract** on account of race, creed, color or national origin;

36.1.3 There may be deducted from the amount payable to the **Contractor** by the **City** under this **Contract** a penalty of fifty (\$50.00) dollars for each person for each **Day** during which such person was discriminated against or intimidated in violation of the provisions of this

Contract; and

36.1.4 This **Contract** may be cancelled or terminated by the **City** and all moneys due or to become due hereunder may be forfeited, for a second or any subsequent violation of the terms or conditions of this Article 36.

36.1.5 This Article 36 covers all construction, alteration and repair of any public building or public work occurring in the State of New York and the manufacture, sale, and distribution of materials, equipment, and supplies to the extent that such operations are performed within the State of New York pursuant to this **Contract**.

36.2 The **Contractor** specifically agrees, as required by Section 6-108 of the Administrative Code, as amended, that:

36.2.1 It shall be unlawful for any person engaged in the construction, alteration or repair of buildings or engaged in the construction or repair of streets or highways pursuant to a **Contract** with the **City** or engaged in the manufacture, sale or distribution of materials, equipment or supplies pursuant to a **Contract** with the **City** to refuse to employ or to refuse to continue in any employment any person on account of the race, color or creed of such person.

36.2.2 It shall be unlawful for any person or any servant, agent or employee of any person, described in Article 36.1.2, to ask, indicate or transmit, orally or in writing, directly or indirectly, the race, color or creed or religious affiliation of any person employed or seeking employment from such person, firm or corporation.

36.2.3 Breach of the foregoing provisions shall be deemed a violation of a material provision of this **Contract**.

36.2.4 Any person, or the employee, manager or owner of or officer of such firm or corporation who shall violate any of the provisions of this Article 36.2 shall, upon conviction thereof, be punished by a fine of not more than one hundred (\$100.00) dollars or by imprisonment for not more than thirty (30) **Days**, or both.

36.3 This **Contract** is subject to the requirements of Executive Order No. 50 (1980) (“E.O. 50”), as revised, and the rules and regulations promulgated thereunder. No contract will be awarded unless and until these requirements have been complied with in their entirety. By signing this **Contract**, the **Contractor** agrees that it:

36.3.1 Will not engage in any unlawful discrimination against any employee or applicant for employment because of race, creed, color, national origin, sex, age, disability, marital status or sexual orientation with respect to all employment decisions including, but not limited to, recruitment, hiring, upgrading, demotion, downgrading, transfer, training, rates of pay or other forms of compensation, layoff, termination, and all other terms and conditions of employment; and

36.3.2 Will not engage in any unlawful discrimination in the selection of **Subcontractors** on the basis of the owner’s race, color, creed, national origin, sex, age, disability, marital status or sexual orientation; and

36.3.3 Will state in all solicitations or advertisements for employees placed by or on behalf of the **Contractor** that all qualified applicants will receive consideration for employment without unlawful discrimination based on race, creed, color, national origin, sex, age, citizens status,

disability, marital status, sexual orientation, or that it is an equal employment opportunity employer; and

36.3.4 Will send to each labor organization or representative of workers with which it has a collective bargaining agreement or other contract or memorandum of understanding, written notification of its equal employment opportunity commitments under E.O. 50 and the rules and regulations promulgated thereunder; and

36.3.5 Will furnish, before the award of the **Contract**, all information and reports, including an employment report, that are required by E.O. 50, the rules and regulations promulgated thereunder, and orders of the **City** Department of Business Services, Division of Labor Services (**DLS**) and will permit access to its books, records, and accounts by the **DLS** for the purposes of investigation to ascertain compliance with such rules, regulations, and orders.

36.4 The **Contractor** understands that in the event of its noncompliance with the nondiscrimination clauses of this **Contract** or with any of such rules, regulations, or orders, such noncompliance shall constitute a material breach of this **Contract** and noncompliance with E.O. 50 and the rules and regulations promulgated thereunder. After a hearing held pursuant to the rules of the **DLS**, the Director of the **DLS** may direct the **Commissioner** to impose any or all of the following sanctions:

36.4.1 Disapproval of the **Contractor**; and/or

36.4.2 Suspension or termination of the **Contract**; and/or

36.4.3 Declaring the **Contractor** in default; and/or

36.4.4 In lieu of any of the foregoing sanctions, the Director of the **DLS** may impose an employment program.

In addition to any actions taken under this **Contract**, failure to comply with E.O. 50 and the rules and regulations promulgated thereunder, in one or more instances, may result in a **City Agency** declaring the **Contractor** to be non-responsible in future procurements. The **Contractor** further agrees that it will refrain from entering into any **Contract** or **Contract** modification subject to E.O. 50 and the rules and regulations promulgated thereunder with a **Subcontractor** who is not in compliance with the requirements of E.O. 50 and the rules and regulations promulgated thereunder.

36.5 The **Contractor** specifically agrees, as required by Section 6-123 of the Administrative Code, that:

36.5.1 The **Contractor** will not engage in any unlawful discriminatory practice in violation of Title 8 of the Administrative Code; and

36.5.2 Any failure to comply with this Article 36.5 may subject the **Contractor** to the remedies set forth in Section 6-123 of the Administrative Code, including, where appropriate, sanctions such as withholding of payment, imposition of an employment program, finding the **Contractor** to be in default, cancellation of the **Contract**, or any other sanction or remedy provided by **Law** or **Contract**.

ARTICLE 37. LABOR LAW REQUIREMENTS

37.1 The **Contractor** shall strictly comply with all applicable provisions of the Labor Law, as

amended. Such compliance is a material term of this **Contract**.

37.2 The **Contractor** specifically agrees, as required by Labor Law Sections 220 and 220-d, as amended, that:

37.2.1 Hours of **Work**: No laborer, worker, or mechanic in the employ of the **Contractor**, **Subcontractor** or other person doing or contracting to do the whole or a part of the **Work** contemplated by this **Contract** shall be permitted or required to work more than eight (8) hours in any one (1) **Day**, or more than five (5) **Days** in any one (1) week, except as provided in the Labor Law and in cases of extraordinary emergency including fire, flood, or danger to life or property, or in the case of national emergency when so proclaimed by the President of the United States of America.

37.2.2 In situations in which there are not sufficient laborers, workers, and mechanics who may be employed to carry on expeditiously the **Work** contemplated by this **Contract** as a result of such restrictions upon the number of hours and **Days** of labor, and the immediate commencement or prosecution or completion without undue delay of the **Work** is necessary for the preservation of the **Site** and/or for the protection of the life and limb of the persons using the same, such laborers, workers, and mechanics shall be permitted or required to work more than eight (8) hours in any one (1) **Day**; or five (5) **Days** in any one (1) week; provided, however, that upon application of any **Contractor**, the **Commissioner** shall have first certified to the Commissioner of Labor of the State of New York (hereinafter "Commissioner of Labor") that such public **Work** is of an important nature and that a delay in carrying it to completion would result in serious disadvantage to the public; and provided, further, that such Commissioner of Labor shall have determined that such an emergency does in fact exist as provided in Labor Law Section 220.2.

37.2.3 Failure of the **Commissioner** to make such a certification to the Commissioner of Labor shall not entitle the **Contractor** to damages for delay or for any cause whatsoever.

37.2.4 Prevailing Rate of Wages: The wages to be paid for a legal day's **Work** to laborers, workers, or mechanics employed upon the **Work** contemplated by this **Contract** or upon any materials to be used thereon shall not be less than the "prevailing rate of wage" as defined in Labor Law Section 220, and as fixed by the **Comptroller** in the attached Schedule of Wage Rates and in updated schedules thereof. The prevailing wage rates and supplemental benefits to be paid are those in effect at the time the **Work** is being performed.

37.2.5 Requests for interpretation or correction in the Information for Bidders includes all requests for clarification of the classification of trades to be employed in the performance of the **Work** under this **Contract**. In the event that a trade not listed in the **Contract** is in fact employed during the performance of this **Contract**, the **Contractor** shall be required to obtain from the **Agency** the prevailing wage rates and supplementary benefits for the trades used and to complete the performance of this **Contract** at the price at which the **Contract** was awarded.

37.2.6 Minimum Wages: Except for employees whose wage is required to be fixed pursuant to Labor Law Section 220, all persons employed by the **Contractor** and any **Subcontractor** in the manufacture or furnishing of the supplies, materials, or equipment, or the furnishing of work, labor, or services, used in the performance of this **Contract**, shall be paid, without subsequent deduction or rebate unless expressly authorized by **Law**, not less than the sum mandated by **Law**.

37.3 Working Conditions: No part of the **Work**, labor or services shall be performed or rendered by

the **Contractor** in any plants, factories, buildings or surroundings or under working conditions which are unsanitary or hazardous or dangerous to the health and safety of employees engaged in the performance of this **Contract**. Compliance with the safety, sanitary, and factory inspection **Laws** of the state in which the **Work** is to be performed shall be prima facie evidence of compliance with this Article 37.3.

37.4 Prevailing Wage Enforcement: The **Contractor** agrees to pay for all costs incurred by the **City** in enforcing prevailing wage requirements, including the cost of any investigation conducted by or on behalf of the **Agency** or the **Comptroller**, where the **City** discovers a failure to comply with any of the requirements of this Article 37 by the **Contractor** or its **Subcontractor(s)**. The **Contractor** also agrees that, should it fail or refuse to pay for any such investigation, the **Agency** is hereby authorized to deduct from a **Contractor's** account an amount equal to the cost of such investigation.

37.4.1 The Labor Law Section 220 and Section 220-d, as amended, provide that this **Contract** shall be forfeited and no sum paid for any **Work** done hereunder on a second conviction for willfully paying less than:

37.4.1(a) The stipulated prevailing wage scale as provided in Labor Law section 220, as amended, or

37.4.1(b) The stipulated minimum hourly wage scale as provided in Labor Law section 220-d, as amended.

37.4.2 For any breach or violation of either working conditions (Article 37.3) or minimum wages (Article 37.2.6) provisions, the party responsible therefor shall be liable to the **City** for liquidated damages, which may be withheld from any amounts due on any contracts with the **City** of such party responsible, or may be recovered in actions brought by the **City** Corporation Counsel in the name of the **City**, in addition to damages for any other breach of this **Contract**, for a sum equal to the amount of any underpayment of wages due to any employee engaged in the performance of this **Contract**. In addition, the **Commissioner** shall have the right to cancel contracts and enter into other contracts for the completion of the original contract, with or without public letting, and the original **Contractor** shall be liable for any additional cost. All sums withheld or recovered as deductions, rebates, refunds, or underpayment of wages hereunder, shall be held in a special deposit account and shall be paid without interest, on order of the **Comptroller**, directly to the employees who have been paid less than minimum rates of pay as set forth herein and on whose account such sums were withheld or recovered, provided that no claims by employees for such payments shall be entertained unless made within two (2) years from the date of actual notice to the **Contractor** of the withholding or recovery of such sums by the **City**.

37.4.3 A determination by the **Comptroller** that a **Contractor** and/or its **Subcontractor** willfully violated Labor Law Section 220 will be forwarded to the **City's** five District Attorneys for review.

37.4.4 The **Contractor's** or **Subcontractor's** noncompliance with this Article 37.4 and Labor Law Section 220 may result in an unsatisfactory performance evaluation and the **Comptroller** may also find and determine that the **Contractor** or **Subcontractor** willfully violated the New York Labor **Law**.

37.4.4(a) An unsatisfactory performance evaluation for noncompliance with this Article 37.4 may result in a determination that the **Contractor** is a non-responsible bidder on subsequent procurements with the **City** and thus a rejection of a future award

of a contract with the **City**, as well as any other sanctions provided for by **Law**.

37.4.4(b) Labor Law Section 220-b, as amended, provides that when two (2) final determinations have been rendered against a **Contractor** or **Subcontractor** within any consecutive six (6) year period determining that such **Contractor** or **Subcontractor** has willfully failed to pay the prevailing rate of wages or to provide supplements in accordance with the Labor Law and this Article 37.4, whether such failures were concurrent or consecutive and whether or not such final determinations concerning separate public works projects are rendered simultaneously, such **Contractor** or **Subcontractor** shall be ineligible to submit a bid on or be awarded any public works contract with the **City** for a period of five (5) years from the second final determination. If the final determination involves the falsification of payroll records or the kickback of wages or supplements, the **Contractor** or **Subcontractor** shall be ineligible to submit a bid on or be awarded any public works contract with the **City** for a period of five (5) years from the first final determination.

37.4.4(c) Labor Law Section 220, as amended, provides that the **Contractor** or **Subcontractor** found to have violated this Article 37.4 may be directed to make payment of wages or supplements including interest found to be due, and the **Contractor** or **Subcontractor** may be directed to make payment of a further sum as a civil penalty in an amount not exceeding twenty-five (25%) percent of the total amount found to be due.

37.5 The **Contractor** and its **Subcontractors** shall within ten (10) **Days** after mailing of a Notice of Award or written order, post in prominent and conspicuous places in each and every plant, factory, building, and structure where employees of the **Contractor** and its **Subcontractors** engaged in the performance of this **Contract** are employed, notices furnished by the **City**, in relation to prevailing wages and supplements, minimum wages, and other stipulations contained in Sections 220 and 220-h of the Labor Law, and the **Contractor** and its **Subcontractors** shall continue to keep such notices posted in such prominent and conspicuous places until **Final Acceptance** of the supplies, materials, equipment, or **Work**, labor, or services required to be furnished or rendered under this **Contract**.

37.6 The **Contractor** shall strictly comply with all of the provisions of Articles 37.6.1 through 37.6.5, and provide for all workers, laborers or mechanics in its employ, the following:

37.6.1 Notices Posted At **Site**: Post, in a location designated by the **City**, schedules of prevailing wages and supplements for this **Project**, a copy of all re-determinations of such schedules for the **Project**, the Workers' Compensation **Law** Section 51 notice, all other notices required by **Law** to be posted at the **Site**, the **City** notice that this **Project** is a public works project on which each worker is entitled to receive the prevailing wages and supplements for the occupation at which he or she is working, and all other notices which the **City** directs the **Contractor** to post. The **Contractor** shall provide a surface for such notices which is satisfactory to the **City**. The **Contractor** shall maintain and keep current such notices in a legible manner and shall replace any notice or schedule which is damaged, defaced, illegible or removed for any reason. The **Contractor** shall post such notices before commencing any **Work** on the **Site** and shall maintain such notices until all **Work** on the **Site** is complete; and

37.6.2 Daily **Site** Sign-in Sheets: Maintain daily **Site** sign-in sheets, and require that **Subcontractors** maintain daily **Site** sign-in sheets for its employees, which include blank spaces for an employee's name to be both printed and signed, job title, date started and Social Security number, the time the employee began work and the time the employee left

work, until **Final Acceptance** of the supplies, materials, equipment, or **Work**, labor, or services to be furnished or rendered under this **Contract** unless exception is granted by the **Comptroller** upon application by the **Agency**. In the alternative, subject to the approval of the **CCPO**, the **Contractor** and **Subcontractor** may maintain an electronic or biometric sign-in system, which provides the information required by this Article 37.6.2; and

37.6.3 Individual Employee Information Notices: Distribute a notice to each worker, laborer or mechanic employed under this **Contract**, in a form provided by the **Agency**, that this **Project** is a public works project on which each worker, laborer or mechanic is entitled to receive the prevailing rate of wages and supplements for the occupation at which he or she is working. If the total cost of the **Work** under this **Contract** is at least two hundred fifty thousand (\$250,000) dollars, such notice shall also include a statement that each worker, laborer or mechanic must be certified prior to performing any **Work** as having successfully completed a course in construction safety and health approved by the United States Department of Labor's Occupational Safety and Health Administration that is at least ten (10) hours in duration. Such notice shall be distributed to each worker before he or she starts performing any **Work** of this **Contract** and with the first paycheck after July first of each year. "Worker, laborer or mechanic" includes employees of the **Contractor** and all **Subcontractors** and all employees of suppliers entering the **Site**. At the time of distribution, the **Contractor** shall have each worker, laborer or mechanic sign a statement, in a form provided by the **Agency**, certifying that the worker has received the notice required by this Article 37.6.3, which signed statement shall be maintained with the payroll records required by this **Contract**; and

37.6.3(a) The **Contractor** and each **Subcontractor** shall notify each worker, laborer or mechanic employed under this **Contract** in writing of the prevailing rate of wages for their particular job classification. Such notification shall be given to every worker, laborer, and mechanic on their first pay stub and with every pay stub thereafter; and

37.6.4 **Site Laminated Identification Badges**: The **Contractor** shall provide laminated identification badges which include a photograph of the worker's, laborer's or mechanic's face and indicate the worker's, laborer's or mechanic's name, trade, employer's name, and employment starting date (month/day/year). Further, the **Contractor** shall require as a condition of employment on the **Site**, that each and every worker, laborer or mechanic wear the laminated identification badge at all times and that it may be seen by any representative of the **City**. The **Commissioner** may grant a written waiver from the requirement that the laminated identification badge include a photograph if the **Contractor** demonstrates that the identity of an individual wearing a laminated identification badge can be easily verified by another method; and

37.6.5 **Language Other Than English Used On Site**: Provide the **ACCO** notice when three (3) or more employees (worker and/or laborer and/or mechanic) on the **Site**, at any time, speak a language other than English. The **ACCO** will then provide the **Contractor** the notices described in Article 37.6.1 in that language or languages as may be required. The **Contractor** is responsible for all distributions under this Article 37; and

37.6.6 **Provision of Records**: The **Contractor** and **Subcontractor(s)** shall produce within five (5) **Days** on the **Site** of the **Work** and upon a written order of the **Engineer**, the **Commissioner**, the **ACCO**, the **Agency EAO**, or the **Comptroller**, such records as are required to be kept by this Article 37.6; and

37.6.7 The **Contractor** and **Subcontractor(s)** shall pay employees by check or direct deposit. If this **Contract** is for an amount greater than one million (\$1,000,000) dollars, checks issued by the **Contractor** to covered employees shall be generated by a payroll service or automated payroll system (an in-house system may be used if approved by the **Agency**). For any subcontract for an amount greater than seven hundred fifty thousand (\$750,000) dollars, checks issued by a **Subcontractor** to covered employees shall be generated by a payroll service or automated payroll system (an in-house system may be used if approved by the **Agency**); and

37.6.8 The failure of the **Contractor** or **Subcontractor(s)** to comply with the provisions of Articles 37.6.1 through 37.6.7 may result in the **Commissioner** declaring the **Contractor** in default and/or the withholding of payments otherwise due under the **Contract**.

37.7 The **Contractor** and its **Subcontractors** shall keep such employment and payroll records as are required by Section 220 of the Labor Law. The failure of the **Contractor** or **Subcontractor(s)** to comply with the provisions of this Article 37.7 may result in the **Commissioner** declaring the **Contractor** in default and/or the withholding of payments otherwise due under the **Contract**.

37.8 At the time the **Contractor** makes application for each partial payment and for final payment, the **Contractor** shall submit to the **Commissioner** a written payroll certification, in the form provided by this **Contract**, of compliance with the prevailing wage, minimum wage, and other provisions and stipulations required by Labor Law Section 220 and of compliance with the training requirements of Labor Law Section 220-h set forth in Article 35.2. This certification of compliance shall be a condition precedent to payment and no payment shall be made to the **Contractor** unless and until each such certification shall have been submitted to and received by the **Commissioner**.

37.9 This **Contract** is executed by the **Contractor** with the express warranty and representation that the **Contractor** is not disqualified under the provisions of Section 220 of the Labor Law from the award of the **Contract**.

37.10 Any breach or violation of any of the foregoing shall be deemed a breach or violation of a material provision of this **Contract**, and grounds for cancellation thereof by the **City**.

ARTICLE 38. PAYROLL REPORTS

38.1 The **Contractor** and its **Subcontractor(s)** shall maintain on the **Site** during the performance of the **Work** the original payrolls or transcripts thereof which the **Contractor** and its **Subcontractor(s)** are required to maintain and shall submit such original payrolls or transcripts, subscribed and affirmed by it as true, within thirty (30) **Days** after issuance of its first payroll, and every thirty (30) **Days** thereafter, pursuant to Labor Law Section 220(3-a)(a)(iii). The **Contractor** and **Subcontractor(s)** shall submit such original payrolls or transcripts along with each and every payment requisition. If payment requisitions are not submitted at least once a month, the **Contractor** and its **Subcontractor(s)** shall submit original payrolls and transcripts both along with its payment requisitions and independently of its payment requisitions.

38.2 The **Contractor** shall maintain payrolls or transcripts thereof for six (6) years from the date of completion of the **Work** on this **Contract**. If such payrolls and transcripts are maintained outside of New York City after the completion of the **Work** and their production is required pursuant to this Article 38, the **Contractor** shall produce such records in New York City upon request by the **City**.

38.3 The **Contractor** and **Subcontractor(s)** shall comply with any written order, direction, or request made by the **Engineer**, the **Commissioner**, the **ACCO**, the **Agency EAO**, the **Agency Labor Law**

Investigator(s), or the **Comptroller**, to provide to the requesting party any of the following information and/or records within five (5) **Days** of such written order, direction, or request:

38.3.1 Such original payrolls or transcripts thereof subscribed and affirmed by it as true and the statements signed by each worker pursuant to this Chapter VIII; and/or

38.3.2 Attendance sheets for each **Day** on which any employee of the **Contractor** and/or any of the **Subcontractor(s)** performed **Work** on the **Site**, which attendance sheet shall be in a form acceptable to the **Agency** and shall provide information acceptable to the **Agency** to identify each such employee; and/or

38.3.3 Any other information to satisfy the **Engineer**, the **Commissioner**, the **ACCO**, the **Agency EAO**, the **Agency Labor Law Investigator(s)** or the **Comptroller**, that this Chapter VIII and the Labor Law, as to the hours of employment and prevailing rates of wages and/or supplemental benefits, are being observed.

38.4 The failure of the **Contractor** or **Subcontractor(s)** to comply with the provisions of Articles 38.1 and/or 38.2 may result in the **Commissioner** declaring the **Contractor** in default and/or the withholding of payments otherwise due under the **Contract**.

ARTICLE 39. DUST HAZARDS

39.1 Should a harmful dust hazard be created in performing the **Work** of this **Contract**, for the elimination of which appliances or methods have been approved by the Board of Standards and Appeals of the City of New York, such appliances and methods shall be installed, maintained, and effectively operated during the continuance of such harmful dust hazard. Failure to comply with this provision after notice shall make this **Contract** voidable at the sole discretion of the **City**.

CHAPTER IX: PARTIAL AND FINAL PAYMENTS

ARTICLE 40. CONTRACT PRICE

40.1 The **City** shall pay, and the **Contractor** agrees to accept, in full consideration for the **Contractor's** performance of the **Work** subject to the terms and conditions hereof, the lump sum price or unit prices for which this **Contract** was awarded, plus the amount required to be paid for any **Extra Work** ordered by the **Commissioner** under Article 25, less credit for any **Work** omitted pursuant to Article 29.

ARTICLE 41. BID BREAKDOWN ON LUMP SUM

41.1 Within fifteen (15) **Days** after the commencement date specified in the **Notice to Proceed** or **Order to Work**, unless otherwise directed by the **Resident Engineer**, the **Contractor** shall submit to the **Resident Engineer** a breakdown of its bid price, or of lump sums bid for items of the **Contract**, showing the various operations to be performed under the **Contract**, as directed in the progress schedule required under Article 9, and the value of each of such operations, the total of such items to equal the lump sum price bid. Said breakdown must be approved in writing by the **Resident Engineer**.

41.2 No partial payment will be approved until the **Contractor** submits a bid breakdown that is acceptable to the **Resident Engineer**.

41.3 The **Contractor** shall also submit such other information relating to the bid breakdown as directed by the **Resident Engineer**. Thereafter, the breakdown may be used only for checking the **Contractor's** applications for partial payments hereunder, but shall not be binding upon the **City**, the **Commissioner**, or the **Engineer** for any purpose whatsoever.

ARTICLE 42. PARTIAL PAYMENTS

42.1 From time to time as the **Work** progresses satisfactorily, but not more often than once each calendar month (except where the **Commissioner** approves in writing the submission of invoices on a more frequent basis and for invoices relating to **Work** performed pursuant to a change order), the **Contractor** may submit to the **Engineer** a requisition for a partial payment in the prescribed form, which shall contain an estimate of the quantity and the fair value of the **Work** done during the payment period.

42.2 Partial payments may be made for materials, fixtures, and equipment in advance of their actual incorporation in the **Work**, as the **Commissioner** may approve, and upon the terms and conditions set forth in the General Conditions.

42.3 The **Contractor** shall also submit to the **Commissioner** in connection with every application for partial payment a verified statement in the form prescribed by the **Comptroller** setting forth the information required under Labor Law Section 220-a.

42.4 Within thirty (30) **Days** after receipt of a satisfactory payment application, and within sixty (60) **Days** after receipt of a satisfactory payment application in relation to **Work** performed pursuant to a change order, the **Engineer** will prepare and certify, and the **Commissioner** will approve, a voucher for a partial payment in the amount of such approved estimate, less any and all deductions authorized to be made by the **Commissioner** under the terms of this **Contract** or by **Law**.

ARTICLE 43. PROMPT PAYMENT

43.1 The Prompt Payment provisions of the **PPB** Rules in effect at the time of the bid will be applicable to payments made under this **Contract**. The provisions require the payment to the **Contractor** of interest on payments made after the required payment date, except as set forth in the **PPB** Rules.

43.2 The **Contractor** shall submit a proper invoice to receive payment, except where the **Contract** provides that the **Contractor** will be paid at predetermined intervals without having to submit an invoice for each scheduled payment.

43.3 Determination of interest due will be made in accordance with the **PPB** Rules.

43.4 If the **Contractor** is paid interest, the proportionate share(s) of that interest shall be forwarded by the **Contractor** to its **Subcontractor(s)**.

43.5 The **Contractor** shall pay each **Subcontractor** or **Materialman** not later than seven (7) **Days** after receipt of payment out of amounts paid to the **Contractor** by the **City** for **Work** performed by the **Subcontractor** or **Materialman** under this **Contract**.

43.5.1 If **Contractor** fails to make any payment to any **Subcontractor** or **Materialman** within seven (7) **Days** after receipt of payment by the **City** pursuant to this Article 43.5,

then the **Contractor** shall pay interest on amounts due to such **Subcontractor** or **Materialman** at the rate of interest in effect on the date such payment is made by the **Contractor** computed in accordance with Section 756-b (1)(b) of the New York General Business Law. Accrual of interest shall commence on the **Day** immediately following the expiration of the seventh **Day** following receipt of payment by the **Contractor** from the **City** and shall end on the date on which payment is made.

43.6 The **Contractor** shall include in each of its subcontracts a provision requiring each **Subcontractor** to make payment to each of its **Subcontractors** or **Materialmen** for **Work** performed under this **Contract** in the same manner and within the same time period set forth above.

ARTICLE 44. SUBSTANTIAL COMPLETION PAYMENT

44.1 The **Contractor** shall submit with the **Substantial Completion** requisition:

44.1.1 A final verified statement of any pending Article 27 disputes in accordance with the **PPB** Rules and this **Contract** and any and all alleged claims against the **City**, in any way connected with or arising out of this **Contract** (including those as to which details may have been furnished pursuant to Articles 11, 27, 28, and 30) setting forth with respect to each such claim the total amount thereof, the various items of labor and materials included therein, and the alleged value of each item; and if the alleged claim be one for delay, the alleged cause of each such delay, the period or periods of time, giving the dates when the **Contractor** claims the performance of the **Work** or a particular part thereof was delayed, and an itemized statement and breakdown of the amount claimed for each such delay.

44.1.1(a) With respect to each such claim, the **Commissioner**, the **Comptroller** and, in the event of litigation, the **City** Corporation Counsel shall have the same right to inspect, and to make extracts or copies of, the **Contractor's** books, vouchers, records, etc., as is referred to in Articles 11, 27, 28, and 30. Nothing contained in this Article 44.1.1(a) is intended to or shall relieve the **Contractor** from the obligation of complying strictly with Articles 11, 27, 28, and 30. The **Contractor** is warned that unless such claims are completely set forth as herein required, the **Contractor** upon acceptance of the **Substantial Completion** payment pursuant to this Article 44, will have waived any such claims.

44.1.2 A **Final Approved Punch List**.

44.1.3 Where required, a request for an extension of time to achieve **Substantial Completion** or final extension of time.

44.2 The **Commissioner** shall issue a voucher calling for payment of any part or all of the balance due for **Work** performed under the **Contract**, including monies retained under Article 21, less any and all deductions authorized to be made by the **Commissioner**, under this **Contract** or by **Law**, and less twice the amount the **Commissioner** considers necessary to ensure the completion of the balance of the **Work** by the **Contractor**. Such a payment shall be considered a partial and not a final payment. No **Substantial Completion** payment shall be made under this Article 44 where the **Contractor** failed to complete the **Work** within the time fixed for such completion in the Schedule A of the General Conditions, or within the time to which completion may have been extended, until an extension or extensions of time for the completion of **Work** have been acted upon pursuant to Article 13.

44.3 No further partial payments shall be made to the **Contractor** after **Substantial Completion**, except the **Substantial Completion** payment and payment pursuant to any **Contractor's** requisition that were properly filed with the **Commissioner** prior to the date of **Substantial Completion**; however, the **Commissioner** may grant a waiver for further partial payments after the date of **Substantial Completion** to permit payments for change order **Work** and/or release of retainage and deposits pursuant to Articles 21 and 24. Such waiver shall be in writing.

44.4 The **Contractor** acknowledges that nothing contained in this Article 44 is intended to or shall in any way diminish the force and effect of Article 13.

ARTICLE 45. FINAL PAYMENT

45.1 After completion and **Final Acceptance** of the **Work**, the **Contractor** shall submit all required certificates and documents, together with a requisition for the balance claimed to be due under the **Contract**, less the amount authorized to be retained for maintenance under Article 24. Such submission shall be within 90 days of the date of the **Commissioner's** written determination of **Final Acceptance**, or within such additional time as may be granted by the **Commissioner** in writing. If the **Contractor** fails to submit all required certificates and documents within the time allowed, no payment of the balance claimed shall be made to the **Contractor** and the **Contractor** shall be deemed to have forfeited its right to payment of any balance claimed. A verified statement similar to that required in connection with applications for partial payments shall also be submitted to the **Commissioner**.

45.2 Amended Verified Statement of Claims: The **Contractor** shall also submit with the final requisition any amendments to the final verified statement of any pending dispute resolution procedures in accordance with the **PPB** Rules and this **Contract** and any and all alleged claims against the **City**, in any way connected with or arising out of this **Contract** (including those as to which details may have been furnished pursuant to Articles 11, 27, 28, and 30) that have occurred subsequent to **Substantial Completion**, setting forth with respect to each such claim the total amount thereof, the various items of labor and materials included therein, and the alleged value of each such item; and if the alleged claim be one for delay, the alleged cause of each such delay, the period or periods of time, giving the dates when the **Contractor** claims the performance of the **Work** or a particular part thereof was delayed, and an itemized statement and breakdown of the amount claimed for each such delay. With reference to each such claim, the **Commissioner**, the **Comptroller** and, in the event of litigation, the **City** Corporation Counsel shall have the same right to inspect, and to make extracts or copies of, the **Contractor's** books, vouchers, records, etc., as is referred to in Articles 11, 27, 28, and 30. Nothing contained in this Article 45.2, is intended to or shall relieve the **Contractor** from the obligation of complying strictly with Articles 11, 27, 28, and 30. The **Contractor** is warned that unless such claims are completely set forth as herein required, the **Contractor**, upon acceptance of the Final Payment pursuant to Article 46, will have waived any such claims.

45.3 Preparation of Final Voucher: Upon determining the balance due hereunder other than on account of claims, the **Engineer** will prepare and certify, for the **Commissioner's** approval, a voucher for final payment in that amount less any and all deductions authorized to be made by the **Commissioner** under this **Contract** or by **Law**. In the case of a lump sum **Contract**, the **Commissioner** shall certify the voucher for final payment within thirty (30) **Days** from the date of completion and acceptance of the **Work**, provided all requests for extensions of time have been acted upon.

45.3.1 All prior certificates and vouchers upon which partial payments were made, being merely estimates made to enable the **Contractor** to prosecute the **Work** more advantageously, shall be subject to correction in the final voucher, and the certification of the **Engineer**

thereon and the approval of the **Commissioner** thereof, shall be conditions precedent to the right of the **Contractor** to receive any money hereunder. Such final voucher shall be binding and conclusive upon the **Contractor**.

45.3.2 Payment pursuant to such final voucher, less any deductions authorized to be made by the **Commissioner** under this **Contract** or by **Law**, shall constitute the final payment, and shall be made by the **Comptroller** within thirty (30) **Days** after the filing of such voucher in his/her office.

45.4 The **Contractor** acknowledges that nothing contained in this Article 45 is intended to or shall in any way diminish the force and effect of Article 13.

ARTICLE 46. ACCEPTANCE OF FINAL PAYMENT

46.1 The acceptance by the **Contractor**, or by anyone claiming by or through it, of the final payment, whether such payment be made pursuant to any judgment of any court, or otherwise, shall constitute and operate as a release of the **City** from any and all claims of and liability to the **Contractor** for anything heretofore done or furnished for the **Contractor** relating to or arising out of this **Contract** and the **Work** done hereunder, and for any prior act, neglect or default on the part of the **City** or any of its officials, agents or employees, excepting only a claim against the **City** for the amounts deducted or retained in accordance with the terms and provisions of this **Contract** or by **Law**, and excepting any claims, not otherwise waived, or any pending dispute resolution procedures which are contained in the verified statement filed with the **Contractor's** substantial and final requisitions pursuant to Articles 44 and 45.

46.2 The **Contractor** is warned that the execution by it of a release, in connection with the acceptance of the final payment, containing language purporting to reserve claims other than those herein specifically excepted from the operation of this Article 46, or those for amounts deducted by the **Commissioner** from the final requisition or from the final payment as certified by the **Engineer** and approved by the **Commissioner**, shall not be effective to reserve such claims, anything stated to the **Contractor** orally or in writing by any official, agent or employee of the **City** to the contrary notwithstanding.

46.3 Should the **Contractor** refuse to accept the final payment as tendered by the **Comptroller**, it shall constitute a waiver of any right to interest thereon.

46.4 The **Contractor**, however, shall not be barred by this Article 46 from commencing an action for breach of **Contract** to the extent permitted by **Law** and by the terms of the **Contract** for any claims that are contained in the verified statement filed with the **Contractor's** substantial and final requisitions pursuant to Articles 44 and 45 or that arose after submission of the final payment requisition, provided that a detailed and verified statement of claim is served upon the contracting **Agency** and **Comptroller** not later than forty (40) **Days** after the making of such final payment by electronic funds transfer (EFT) or the mailing of such final payment. The statement shall specify the items upon which the claim will be based and any such claim shall be limited to such items.

ARTICLE 47. APPROVAL BY PUBLIC DESIGN COMMISSION

47.1 All works of art, including paintings, mural decorations, stained glass, statues, bas-reliefs, and other sculptures, monuments, fountains, arches, and other structures of a permanent character intended for ornament or commemoration, and every design of the same to be used in the performance of this **Contract**, and the design of all bridges, approaches, buildings, gates, fences, lamps, or structures to be erected, pursuant

to the terms of this **Contract**, shall be submitted to the Art Commission, d/b/a the Public Design Commission of the City of New York, and shall be approved by the Public Design Commission prior to the erection or placing in position of the same. The final payment shall not become due or payable under this **Contract** unless and until the Public Design Commission shall certify that the design for the **Work** herein contracted for has been approved by the said Public Design Commission, and that the same has been executed in substantial accordance with the design so approved, pursuant to the provisions of Chapter 37, Section 854 of the **City** Charter, as amended.

CHAPTER X: CONTRACTOR'S DEFAULT

ARTICLE 48. COMMISSIONER'S RIGHT TO DECLARE CONTRACTOR IN DEFAULT

48.1 In addition to those instances specifically referred to in other Articles herein, the **Commissioner** shall have the right to declare the **Contractor** in default of this **Contract** if:

48.1.1 The **Contractor** fails to commence **Work** when notified to do so by the **Commissioner**; or if

48.1.2 The **Contractor** shall abandon the **Work**; or if

48.1.3 The **Contractor** shall refuse to proceed with the **Work** when and as directed by the **Commissioner**; or if

48.1.4 The **Contractor** shall, without just cause, reduce its working force to a number which, if maintained, would be insufficient, in the opinion of the **Commissioner**, to complete the **Work** in accordance with the progress schedule; or if

48.1.5 The **Contractor** shall fail or refuse to increase sufficiently such working force when ordered to do so by the **Commissioner**; or if

48.1.6 The **Contractor** shall sublet, assign, transfer, convert or otherwise dispose of this **Contract** other than as herein specified; or sell or assign a majority interest in the **Contractor**; or if

48.1.7 The **Contractor** fails to secure and maintain all required insurance; or if

48.1.8 A receiver or receivers are appointed to take charge of the **Contractor's** property or affairs; or if

48.1.9 The **Commissioner** shall be of the opinion that the **Contractor** is or has been unnecessarily or unreasonably or willfully delaying the performance and completion of the **Work**, or the award of necessary subcontracts, or the placing of necessary material and equipment orders; or if

48.1.10 The **Commissioner** shall be of the opinion that the **Contractor** is or has been willfully or in bad faith violating any of the provisions of this **Contract**; or if

48.1.11 The **Commissioner** shall be of the opinion that the **Work** cannot be completed within the time herein provided therefor or within the time to which such completion may have been extended; provided, however, that the impossibility of timely completion is, in the

Commissioner's opinion, attributable to conditions within the **Contractor's** control; or if

48.1.12 The **Work** is not completed within the time herein provided therefor or within the time to which the **Contractor** may be entitled to have such completion extended; or if

48.1.13 Any statement or representation of the **Contractor** in the **Contract** or in any document submitted by the **Contractor** with respect to the **Work**, the **Project**, or the **Contract** (or for purposes of securing the **Contract**) was untrue or incorrect when made; or if

48.1.14 The **Contractor** or any of its officers, directors, partners, five (5%) percent shareholders, principals, or other persons substantially involved in its activities, commits any of the acts or omissions specified as the grounds for debarment in the **PPB** Rules.

48.2 Before the **Commissioner** shall exercise his/her right to declare the **Contractor** in default, the **Commissioner** shall give the **Contractor** an opportunity to be heard, upon not less than two (2) **Days'** notice.

ARTICLE 49. EXERCISE OF THE RIGHT TO DECLARE DEFAULT

49.1 The right to declare the **Contractor** in default for any of the grounds specified or referred to in Article 48 shall be exercised by sending the **Contractor** a notice, signed by the **Commissioner**, setting forth the ground or grounds upon which such default is declared (hereinafter referred to as a "Notice of Default").

49.2 The **Commissioner's** determination that the **Contractor** is in default shall be conclusive, final, and binding on the parties and such a finding shall preclude the **Contractor** from commencing a plenary action for any damages relating to the **Contract**. If the **Contractor** protests the determination of the **Commissioner**, the **Contractor** may commence an action in a court of competent jurisdiction of the State of New York under Article 78 of the New York Civil Practice Law and Rules.

ARTICLE 50. QUITTING THE SITE

50.1 Upon receipt of such notice the **Contractor** shall immediately discontinue all further operations under this **Contract** and shall immediately quit the **Site**, leaving untouched all plant, materials, equipment, tools, and supplies then on the **Site**.

ARTICLE 51. COMPLETION OF THE WORK

51.1 The **Commissioner**, after declaring the **Contractor** in default, may then have the **Work** completed by such means and in such manner, by contract with or without public letting, or otherwise, as he/she may deem advisable, utilizing for such purpose such of the **Contractor's** plant, materials, equipment, tools, and supplies remaining on the **Site**, and also such **Subcontractors**, as he/she may deem advisable.

51.2 After such completion, the **Commissioner** shall make a certificate stating the expense incurred in such completion, which shall include the cost of re-letting and also the total amount of liquidated damages (at the rate provided for in the **Contract**) from the date when the **Work** should have been completed by the **Contractor** in accordance with the terms hereof to the date of actual completion of the **Work**. Such certificate shall be binding and conclusive upon the **Contractor**, its sureties, and any person claiming under the **Contractor**, as to the amount thereof.

51.3 The expense of such completion, including any and all related and incidental costs, as so certified by the **Commissioner**, and any liquidated damages assessed against the **Contractor**, shall be charged against and deducted out of monies which are earned by the **Contractor** prior to the date of default. Should the expense of such completion, as certified by the **Commissioner**, exceed the total sum which would have been payable under the **Contract** if it had been completed by the **Contractor**, any excess shall be paid by the **Contractor**.

ARTICLE 52. PARTIAL DEFAULT

52.1 In case the **Commissioner** shall declare the **Contractor** in default as to a part of the **Work** only, the **Contractor** shall discontinue such part, shall continue performing the remainder of the **Work** in strict conformity with the terms of this **Contract**, and shall in no way hinder or interfere with any **Other Contractor(s)** or persons whom the **Commissioner** may engage to complete the **Work** as to which the **Contractor** was declared in default.

52.2 The provisions of this Chapter relating to declaring the **Contractor** in default as to the entire **Work** shall be equally applicable to a declaration of partial default, except that the **Commissioner** shall be entitled to utilize for completion of the part of the **Work** as to which the **Contractor** was declared in default only such plant, materials, equipment, tools, and supplies as had been previously used by the **Contractor** on such part.

ARTICLE 53. PERFORMANCE OF UNCOMPLETED WORK

53.1 In completing the whole or any part of the **Work** under the provisions of this Chapter X, the **Commissioner** shall have the power to depart from or change or vary the terms and provisions of this **Contract**, provided, however, that such departure, change or variation is made for the purpose of reducing the time or expense of such completion. Such departure, change or variation, even to the extent of accepting a lesser or different performance, shall not affect the conclusiveness of the **Commissioner's** certificate of the cost of completion referred to in Article 51, nor shall it constitute a defense to an action to recover the amount by which such certificate exceeds the amount which would have been payable to the **Contractor** hereunder but for its default.

ARTICLE 54. OTHER REMEDIES

54.1 In addition to the right to declare the **Contractor** in default pursuant to this Chapter X, the **Commissioner** shall have the absolute right, in his/her sole discretion and without a hearing, to complete or cause to be completed in the same manner as described in Articles 51 and 53, any or all unsatisfactory or uncompleted punch list **Work** that remains after the completion date specified in the **Final Approved Punch List**. A written notice of the exercise of this right shall be sent to the **Contractor** who shall immediately quit the **Site** in accordance with the provisions of Article 50.

54.2 The expense of completion permitted under Article 54.1, including any and all related and incidental costs, as so certified by the **Commissioner**, shall be charged against and deducted out of monies which have been earned by the **Contractor** prior to the date of the exercise of the right set forth in Article 54.1; the balance of such monies, if any, subject to the other provisions of this **Contract**, to be paid to the **Contractor** without interest after such completion. Should the expense of such completion, as certified by

the **Commissioner**, exceed the total sum which would have been payable under the **Contract** if it had been completed by the **Contractor**, any excess shall be paid by the **Contractor**.

54.3 The previous provisions of this Chapter X shall be in addition to any and all other remedies available under **Law** or in equity.

54.4 The exercise by the **City** of any remedy set forth herein shall not be deemed a waiver by the **City** of any other legal or equitable remedy contained in this **Contract** or provided under **Law**.

CHAPTER XI: MISCELLANEOUS PROVISIONS

ARTICLE 55. CONTRACTOR'S WARRANTIES

55.1 In consideration of, and to induce, the award of this **Contract** to the **Contractor**, the **Contractor** represents and warrants:

55.1.1 That it is financially solvent, sufficiently experienced and competent to perform the **Work**; and

55.1.2 That the facts stated in its bid and the information given by it pursuant to the Information for Bidders is true and correct in all respects; and

55.1.3 That it has read and complied with all requirements set forth in the **Contract**.

ARTICLE 56. CLAIMS AND ACTIONS THEREON

56.1 Any claim, that is not subject to dispute resolution under the **PPB** Rules or this **Contract**, against the **City** for damages for breach of **Contract** shall not be made or asserted in any action, unless the **Contractor** shall have strictly complied with all requirements relating to the giving of notice and of information with respect to such claims, as herein before provided.

56.2 Nor shall any action be instituted or maintained on any such claims unless such action is commenced within six (6) months after **Substantial Completion**; except that:

56.2.1 Any claims arising out of events occurring after **Substantial Completion** and before **Final Acceptance** of the **Work** shall be asserted within six (6) months of **Final Acceptance** of the **Work**;

56.2.2 If the **Commissioner** exercises his/her right to complete or cause to complete any or all unsatisfactory or uncompleted punch list **Work** that remains after the completion date specified in the **Final Approved Punch List** pursuant to Article 54, any such action shall be commenced within six (6) months from the date the **Commissioner** notifies the **Contractor** in writing that he/she has exercised such right. Any claims for monies deducted, retained or withheld under the provisions of this **Contract** shall be asserted within six (6) months after the date when such monies otherwise become due and payable hereunder; and

56.2.3 If the **Commissioner** exercises his/her right to terminate the **Contract** pursuant to Article 64, any such action shall be commenced within six (6) months of the date the **Commissioner** exercises said right.

ARTICLE 57. INFRINGEMENT

57.1 The **Contractor** shall be solely responsible for and shall defend, indemnify, and hold the **City** harmless from any and all claims (even if the allegations of the lawsuit are without merit) and judgments for damages and from costs and expenses to which the **City** may be subject to or which it may suffer or incur allegedly arising out of or in connection with any infringement by the **Contractor** of any copyright, trade secrets, trademark or patent rights or any other property or personal right of any third party by the **Contractor** and/or its **Subcontractors** in the performance or completion of the **Work**. Insofar as the facts or **Law** relating to any claim would preclude the **City** from being completely indemnified by the **Contractor**, the **City** shall be partially indemnified by the **Contractor** to the fullest extent permitted by **Law**.

ARTICLE 58. NO CLAIM AGAINST OFFICIALS, AGENTS OR EMPLOYEES

58.1 No claim whatsoever shall be made by the **Contractor** against any official, agent or employee of the **City** for, or on account of, anything done or omitted to be done in connection with this **Contract**.

ARTICLE 59. SERVICE OF NOTICES

59.1 The **Contractor** hereby designates the business address, fax number, and email address specified in its bid, as the place where all notices, directions or other communications to the **Contractor** may be delivered, or to which they may be mailed. Any notice, direction, or communication from either party to the other shall be in writing and shall be deemed to have been given when (i) delivered personally; (ii) sent by certified mail, return receipt requested; (iii) delivered by overnight or same day courier service in a properly addressed envelope with confirmation; or (iv) sent by fax or email and, unless receipt of the fax or e-mail is acknowledged by the recipient by fax or e-mail, deposited in a post office box regularly maintained by the United States Postal Service in a properly addressed, postage pre-paid envelope.

59.2 **Contractor's** notice address, email address, or fax number may be changed at any time by an instrument in writing, executed and acknowledged by the **Contractor**, and delivered to the **Commissioner**.

59.3 Nothing herein contained shall, however, be deemed to preclude or render inoperative the service of any notice, direction or other communication upon the **Contractor** personally, or, if the **Contractor** is a corporation, upon any officer thereof.

ARTICLE 60. UNLAWFUL PROVISIONS DEEMED STRICKEN FROM CONTRACT

60.1 If this **Contract** contains any unlawful provision not an essential part of the **Contract** and which shall not appear to have been a controlling or material inducement to the making thereof, the same shall be deemed of no effect and shall, upon notice by either party, be deemed stricken from the **Contract** without affecting the binding force of the remainder.

ARTICLE 61. ALL LEGAL PROVISIONS DEEMED INCLUDED

61.1 It is the intent and understanding of the parties to this **Contract** that each and every provision of **Law** required to be inserted in this **Contract** shall be and is inserted herein. Furthermore, it is hereby stipulated that every such provision is to be deemed to be inserted herein, and if, through mistake or otherwise, any such provision is not inserted, or is not inserted in correct form, then this **Contract** shall forthwith upon the application of either party be amended by such insertion so as to comply strictly with the **Law** and without prejudice to the rights of either party hereunder.

ARTICLE 62. TAX EXEMPTION

62.1 The **City** is exempt from payment of Federal, State, and local taxes, including sales and compensating use taxes of the State of New York and its cities and counties on all tangible personal property sold to the **City** pursuant to the provisions of this **Contract**. These taxes are not to be included in bids. However, this exemption does not apply to tools, machinery, equipment or other property leased by or to the **Contractor**, **Subcontractor** or **Materialman** or to tangible personal property which, even though it is consumed, is not incorporated into the completed **Work** (consumable supplies) and tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work**. The **Contractor** and its **Subcontractors** and **Materialmen** shall be responsible for and pay any and all applicable taxes, including sales and compensating use taxes, on such leased tools, machinery, equipment or other property and upon all such consumable supplies and tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work**.

62.2 The **Contractor** agrees to sell and the **City** agrees to purchase all tangible personal property, other than consumable supplies and other tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work**, that is required, necessary or proper for or incidental to the construction of the **Project** covered by this **Contract**. The sum paid under this **Contract** for such tangible personal property shall be in full payment and consideration for the sale of such tangible personal property.

62.2.1 The **Contractor** agrees to construct the **Project** and to perform all **Work**, labor and services rendered, necessary, proper or incidental thereto for the sum shown in the bid for the performance of such **Work**, labor, and services, and the sum so paid pursuant to this **Contract** for such **Work**, labor, and services, shall be in full consideration for the performance by the **Contractor** of all its duties and obligations under this **Contract** in connection with said **Work**, labor, and services.

62.3 20 NYCRR Section 541.3(d) provides that a **Contractor's** purchases of tangible personal property that is either incorporated into real property owned by a governmental entity or purchased for and sold to a governmental entity are exempt from sales and use tax. The **City** shall not pay sales tax for any such tangible personal property that it purchases from the **Contractor** pursuant to the **Contract**. With respect to such tangible personal property, the **Contractor**, at the request of the **City**, shall furnish to the **City** such bills of sale and other instruments as may be required by the **City**, properly executed, acknowledged and delivered assuring to the **City** title to such tangible personal property, free of liens and/or encumbrances, and the **Contractor** shall mark or otherwise identify all such tangible personal property as the property of the **City**.

62.4 Title to all tangible personal property to be sold by the **Contractor** to the **City** pursuant to the provisions of the **Contract** shall immediately vest in and become the sole property of the **City** upon delivery of such tangible personal property to the **Site**. Notwithstanding such transfer of title, the **Contractor** shall

have the full and continuing responsibility to install such tangible personal property in accordance with the provisions of this **Contract**, protect it, maintain it in a proper condition and forthwith repair, replace and make good any damage thereto, theft or disappearance thereof, and furnish additional tangible personal property in place of any that may be lost, stolen or rendered unusable, without cost to the **City**, until such time as the **Work** covered by the **Contract** is fully accepted by the **City**. Such transfer of title shall in no way affect any of the **Contractor's** obligations hereunder. In the event that, after title has passed to the **City**, any of the tangible personal property is rejected as being defective or otherwise unsatisfactory, title to all such tangible personal property shall be deemed to have been transferred back to the **Contractor**.

62.5 The purchase by **Subcontractors** or **Materialmen** of tangible personal property to be sold hereunder shall be a purchase or procurement for resale to the **Contractor** (either directly or through other **Subcontractors**) and therefore not subject to the aforesaid sales and compensating use taxes, provided that the subcontracts and purchase agreements provide for the resale of such tangible personal property and that such subcontracts and purchase agreements are in a form similar to this **Contract** with respect to the separation of the sale of consumable supplies and tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work** from the **Work** and labor, services, and any other matters to be provided, and provided further that the subcontracts and purchase agreements provide separate prices for tangible personal property and all other services and matters. Such separation shall actually be followed in practice, including the separation of payments for tangible personal property from the payments for other **Work** and labor and other things to be provided.

62.6 The **Contractor** and its **Subcontractors** and **Materialmen** shall furnish a **Contractor** Exempt Purchase Certificate to all persons, firms or corporations from which they purchase tangible personal property for the performance of the **Work** covered by this **Contract**.

62.7 In the event any of the provisions of this Article 62 shall be deemed to be in conflict with any other provisions of this **Contract** or create any ambiguity, then the provisions of this Article 62 shall control.

ARTICLE 63. INVESTIGATION(S) CLAUSE

63.1 The parties to this **Contract** agree to cooperate fully and faithfully with any investigation, audit or inquiry conducted by a United States, a State of New York (State) or a **City** governmental agency or authority that is empowered directly or by designation to compel the attendance of witnesses and to examine witnesses under oath, or conducted by the Inspector General of a governmental agency that is a party in interest to the transaction, submitted bid, submitted proposal, contract, lease, permit or license that is the subject of the investigation, audit or inquiry.

63.2 If any person who has been advised that his/her statement, and any information from such statement, will not be used against him/her in any subsequent criminal proceeding refuses to testify before a grand jury or other governmental agency or authority empowered directly or by designation to compel the attendance of witnesses and to examine witnesses under oath concerning the award of or performance under any transaction, agreement, lease, permit, contract, or license entered into with the **City**, the State, or any political subdivision or public authority thereof, or the Port Authority of New York and New Jersey, or any local development corporation within the **City**, or any public benefit corporation organized under the **Laws** of the State of New York, or;

63.3 If any person refuses to testify for a reason other than the assertion of his/her privilege against self incrimination in an investigation, audit or inquiry conducted by a **City** or State governmental agency or authority empowered directly or by designation to compel the attendance of witnesses and to take testimony under oath, or by the Inspector General of the governmental agency that is a party in interest in, and is

seeking testimony concerning the award of, or performance under any transaction, agreement, lease, permit, contract, or license entered into with the **City**, the State, or any political subdivision thereof or any local development corporation within the **City**, then;

63.4 The **Commissioner** whose **Agency** is a party in interest to the transaction, submitted bid, submitted proposal, contract, lease, permit, or license shall convene a hearing, upon not less than five (5) **Days**' written notice to the parties involved to determine if any penalties should attach for the failure of a person to testify.

63.5 If any non-governmental party to the hearing requests an adjournment, the **Commissioner** who convened the hearing may, upon granting the adjournment, suspend any contract, lease, permit, or license, pending the final determination pursuant to Article 63.7 without the **City** incurring any penalty or damages for delay or otherwise.

63.6 The penalties which may attach after a final determination by the **Commissioner** may include but shall not exceed:

63.6.1 The disqualification for a period not to exceed five (5) years from the date of an adverse determination for any person, or any entity of which such person was a member at the time the testimony was sought, from submitting bids for, or transacting business with, or entering into or obtaining any contract, lease, permit or license with or from the **City**; and/or

63.6.2 The cancellation or termination of any and all such existing **City** contracts, leases, permits or licenses that the refusal to testify concerns and that have not been assigned as permitted under this **Contract**, nor the proceeds of which pledged, to an unaffiliated and unrelated institutional lender for fair value prior to the issuance of the notice scheduling the hearing, without the **City** incurring any penalty or damages on account of such cancellation or termination; monies lawfully due for goods delivered, work done, rentals, or fees accrued prior to the cancellation or termination shall be paid by the **City**.

63.7 The **Commissioner** shall consider and address in reaching his/her determination and in assessing an appropriate penalty the factors in Articles 63.7.1 and 63.7.2. The **Commissioner** may also consider, if relevant and appropriate, the criteria established in Articles 63.7.3 and 63.7.4, in addition to any other information which may be relevant and appropriate:

63.7.1 The party's good faith endeavors or lack thereof to cooperate fully and faithfully with any governmental investigation or audit, including but not limited to the discipline, discharge, or disassociation of any person failing to testify, the production of accurate and complete books and records, and the forthcoming testimony of all other members, agents, assignees or fiduciaries whose testimony is sought.

63.7.2 The relationship of the person who refused to testify to any entity that is a party to the hearing, including but not limited to, whether the person whose testimony is sought has an ownership interest in the entity and/or the degree of authority and responsibility the person has within the entity.

63.7.3 The nexus of the testimony sought to the subject entity and its contracts, leases, permits or licenses with the **City**.

63.7.4 The effect a penalty may have on an unaffiliated and unrelated party or entity that has a significant interest in an entity subject to penalties under Article 63.6, provided that the party

or entity has given actual notice to the **Commissioner** upon the acquisition of the interest, or at the hearing called for in Article 63.4, gives notice and proves that such interest was previously acquired. Under either circumstance the party or entity shall present evidence at the hearing demonstrating the potential adverse impact a penalty will have on such person or entity.

63.8 Definitions:

63.8.1 The term “license” or “permit” as used in this Article 63 shall be defined as a license, permit, franchise or concession not granted as a matter of right.

63.8.2 The term “person” as used in this Article 63 shall be defined as any natural person doing business alone or associated with another person or entity as a partner, director, officer, principal or employee.

63.8.3 The term “entity” as used in this Article 63 shall be defined as any firm, partnership, corporation, association, joint venture, or person that receives monies, benefits, licenses, leases, or permits from or through the **City** or otherwise transacts business with the **City**.

63.8.4 The term “member” as used in this Article 63 shall be defined as any person associated with another person or entity as a partner, director, officer, principal or employee.

63.9 In addition to and notwithstanding any other provision of this **Contract**, the **Commissioner** may in his/her sole discretion terminate this **Contract** upon not less than three (3) **Days**’ written notice in the event the **Contractor** fails to promptly report in writing to the **Commissioner** of the Department of Investigations (“DOI”) of the **City** any solicitation of money, goods, requests for future employment or other benefit or thing of value, by or on behalf of any employee of the **City** or other person, firm, corporation or entity for any purpose which may be related to the procurement or obtaining of this **Contract** by the **Contractor**, or affecting the performance of this **Contract**.

ARTICLE 64. TERMINATION BY THE CITY

64.1 In addition to termination pursuant to any other article of this **Contract**, the **Commissioner** may, at any time, terminate this **Contract** by written notice to the **Contractor**. In the event of termination, the **Contractor** shall, upon receipt of such notice, unless otherwise directed by the **Commissioner**:

64.1.1 Stop **Work** on the date specified in the notice;

64.1.2 Take such action as may be necessary for the protection and preservation of the **City**’s materials and property;

64.1.3 Cancel all cancelable orders for material and equipment;

64.1.4 Assign to the **City** and deliver to the **Site** or another location designated by the **Commissioner**, any non-cancelable orders for material and equipment that is not capable of use except in the performance of this **Contract** and has been specifically fabricated for the sole purpose of this **Contract** and not incorporated in the **Work**;

64.1.5 Take no action which will increase the amounts payable by the **City** under this
64.1.5 **Contract**.

64.2 In the event of termination by the **City** pursuant to this Article 64, payment to the **Contractor** shall be in accordance with Articles 64.2.1, 64.2.2 or 64.2.3, to the extent that each respective article applies.

64.2.1 Lump Sum Contracts or Items: On all lump sum **Contracts**, or on lump sum items in a **Contract**, the **City** will pay the **Contractor** the sum of the amounts described in Articles 64.2.1(a) and 64.2.1(b), less all payments previously made pursuant to this **Contract**. On lump sum **Contracts** only, the **City** will also pay the **Contractor** an additional sum as provided in Article 64.2.1(c).

64.2.1(a) For **Work** completed prior to the notice of termination, the **Contractor** shall be paid a pro rata portion of the lump sum bid amount, plus approved change orders, based upon the percent completion of the **Work**, as determined by the **Commissioner**. For the purpose of determining the pro rata portion of the lump sum bid amount to which the **Contractor** is entitled, the bid breakdown submitted in accordance with Article 41 shall be considered, but shall not be dispositive. The **Commissioner's** determination hereunder shall be final, binding, and conclusive.

64.2.1(b) For non-cancelable material and equipment that is not capable of use except in the performance of this **Contract** and has been specifically fabricated for the sole purpose of this **Contract**, but not yet incorporated in the **Work**, the **Contractor** shall be paid the lesser of the following, less salvage value:

64.2.1(b)(i) The Direct Cost, as defined in Article 64.2.4; or

64.2.1(b)(ii) The fair and reasonable value, if less than Direct Cost, of such material and equipment, plus necessary and reasonable delivery costs.

64.2.1(b)(iii) In addition, the **Contractor** shall be paid five (5%) percent of the amount described in Article 64.2.1(b)(i) or Article 64.2.1(b)(ii), whichever applies.

64.2.1(c) Except as otherwise provided in Article 64.2.1(d), on all lump sum **Contracts**, the **Contractor** shall be paid the percentage indicated below applied to the difference between the total lump sum bid amount and the total of all payments made prior to the notice of termination plus all payments allowed pursuant to Articles 64.2.1(a) and 64.2.1(b):

64.2.1(c)(i) Five (5%) percent of the first five million (\$5,000,000) dollars; and

64.2.1(c)(ii) Three (3%) percent of any amount between five million (\$5,000,000) dollars and fifteen million (\$15,000,000) dollars; plus

64.2.1(c)(iii) One (1%) percent of any amount over fifteen million (\$15,000,000) dollars.

64.2.1(d) In the event the **City** terminates a lump sum **Contract** pursuant to this Article 64 within ninety (90) **Days** after registration of the **Contract** with the **Comptroller**, the **Contractor** shall be paid one (1%) percent of the difference between the lump sum bid amount and the total of all payments made pursuant to this Article 64.2.

64.2.2 Unit Price Contracts or Items: On all unit price **Contracts**, or on unit price items in a

Contract, the **City** will pay the **Contractor** the sum of the amounts described in Articles 64.2.2(a) and 64.2.2(b), less all payments previously made pursuant to this **Contract**:

64.2.2(a) For all completed units, the unit price stated in the **Contract**, and

64.2.2(b) For units that have been ordered but are only partially completed, the **Contractor** will be paid:

64.2.2(b)(i) A pro rata portion of the unit price stated in the **Contract** based upon the percent completion of the unit and

64.2.2(b)(ii) For non-cancelable material and equipment, payment will be made pursuant to Article 64.2.1(b).

64.2.3 Time and Materials Contracts or Items Based on Time and Material Records: On all **Contracts** or items in a **Contract** where payment for the **Work** is based on time and material records, the **Contractor** shall be paid in accordance with Article 26, less all payments previously made pursuant to this **Contract**.

64.2.4 Direct Costs: Direct Costs as used in this Article 64.2 shall mean:

64.2.4(a) The actual purchase price of material and equipment, plus necessary and reasonable delivery costs,

64.2.4(b) The actual cost of labor involved in construction and installation at the **Site**, and

64.2.4(c) The actual cost of necessary bonds and insurance purchased pursuant to requirements of this **Contract** less any amounts that have been or should be refunded by the **Contractor's** sureties or insurance carriers.

64.2.4(d) Direct Costs shall not include overhead.

64.3 In no event shall any payments under this Article 64 exceed the **Contract** price for such items.

64.4 All payments pursuant to Article 64 shall be in the nature of liquidated damages and shall be accepted by the **Contractor** in full satisfaction of all claims against the **City**.

64.5 The **City** may deduct or set off against any sums due and payable pursuant to this Article 64, any deductions authorized by this **Contract** or by **Law** (including but not limited to liquidated damages) and any claims it may have against the **Contractor**. The **City's** exercise of the right to terminate the **Contract** pursuant to this Article 64 shall not impair or otherwise effect the **City's** right to assert any claims it may have against the **Contractor** in a plenary action.

64.6 Where the **Work** covered by the **Contract** has been substantially completed, as determined in writing by the **Commissioner**, termination of the **Work** shall be handled as an omission of **Work** pursuant to Articles 29 and 33, in which case a change order will be issued to reflect an appropriate reduction in the **Contract** sum, or if the amount is determined after final payment, such amount shall be paid by the **Contractor**.

ARTICLE 65. CHOICE OF LAW, CONSENT TO JURISDICTION AND VENUE

65.1 This **Contract** shall be deemed to be executed in the **City** regardless of the domicile of the **Contractor**, and shall be governed by and construed in accordance with the **Laws** of the State of New York and the **Laws** of the United States, where applicable.

65.2 The parties agree that any and all claims asserted against the **City** arising under this **Contract** or related thereto shall be heard and determined in the courts of the State of New York (“New York State Courts”) located in the **City** and County of New York. To effect this **Contract** and intent, the **Contractor** agrees:

65.2.1 If the **City** initiates any action against the **Contractor** in Federal court or in a New York State Court, service of process may be made on the **Contractor** either in person, wherever such **Contractor** may be found, or by registered mail addressed to the **Contractor** at its address as set forth in this **Contract**, or to such other address as the **Contractor** may provide to the **City** in writing; and

65.2.2 With respect to any action between the **City** and the **Contractor** in a New York State Court, the **Contractor** hereby expressly waives and relinquishes any rights it might otherwise have:

65.2.2(a) To move to dismiss on grounds of forum non conveniens;

65.2.2(b) To remove to Federal Court; and

65.2.2(c) To move for a change of venue to a New York State Court outside New York County.

65.2.3 With respect to any action brought by the **City** against the **Contractor** in a Federal Court located in the **City**, the **Contractor** expressly waives and relinquishes any right it might otherwise have to move to transfer the action to a Federal Court outside the **City**.

65.2.4 If the **Contractor** commences any action against the **City** in a court located other than in the **City** and County of New York, upon request of the **City**, the **Contractor** shall either consent to a transfer of the action to a New York State Court of competent jurisdiction located in the **City** and County of New York or, if the Court where the action is initially brought will not or cannot transfer the action, the **Contractor** shall consent to dismiss such action without prejudice and may thereafter reinstate the action in a New York State Court of competent jurisdiction in New York County.

65.3 If any provision(s) of this Article 65 is held unenforceable for any reason, each and all other provision(s) shall nevertheless remain in full force and effect.

ARTICLE 66. PARTICIPATION IN AN INTERNATIONAL BOYCOTT

66.1 The **Contractor** agrees that neither the **Contractor** nor any substantially owned affiliated company is participating or shall participate in an international boycott in violation of the provisions of the Federal Export Administration Act of 1979, as amended, or the regulations of the United States Department of Commerce (Commerce Department) promulgated thereunder.

66.2 Upon the final determination by the Commerce Department or any other agency of the United States as to, or conviction of the **Contractor** or a substantially-owned affiliated company thereof for participation in an international boycott in violation of the provisions of the Export Administration Act of 1979, as amended, or the regulations promulgated thereunder, the **Comptroller** may, at his/her option, render forfeit and void this **Contract**.

66.3 The **Contractor** shall comply in all respects, with the provisions of Section 6-114 of the Administrative Code and the rules and regulations issued by the **Comptroller** thereunder.

ARTICLE 67. LOCALLY BASED ENTERPRISE PROGRAM

67.1 This **Contract** is subject to the requirements of Section 6-108.1 of the Administrative Code and regulations promulgated thereunder. No construction contract shall be awarded unless and until these requirements have been complied with in their entirety; however, compliance with this Article 67 is not required if the Agency sets Subcontractor Participation Goals for Minority- and Women-Owned Business Enterprises (M/WBEs).

67.2 Unless specifically waived by the **Commissioner** with the approval of the Division of Economic and Financial Opportunity of the **City** Department of Business Services, if any portion of the **Contract** is subcontracted, not less than ten (10%) percent of the total dollar amount of the **Contract** shall be awarded to locally based enterprises (LBEs); except that where less than ten (10%) percent of the total dollar amount of the **Contract** is subcontracted, such lesser percentage shall be so awarded.

67.3 The **Contractor** shall not require performance and payment bonds from LBE **Subcontractors**.

67.4 If the **Contractor** has indicated prior to award that no **Work** will be subcontracted, no **Work** shall be subcontracted without the prior approval of the **Commissioner**, which shall be granted only if the **Contractor** makes a good faith effort beginning at least six (6) weeks before the **Work** is to be performed to obtain LBE **Subcontractors** to perform the **Work**.

67.5 If the **Contractor** has not identified sufficient LBE **Subcontractors** prior to award, it shall sign a letter of compliance stating that it complies with Section 6-108.1 of the Administrative Code, recognizes that achieving the LBE requirement is a condition of its **Contract**, and shall submit documentation demonstrating its good faith efforts to obtain LBEs. After award, the **Contractor** shall begin to solicit LBE's to perform subcontracted **Work** at least six (6) weeks before the date such **Work** is to be performed and shall demonstrate that a good faith effort has been made to obtain LBEs on each subcontract until it meets the required percentage.

67.6 Failure of the **Contractor** to comply with the requirements of Section 6-108.1 of the Administrative Code and the regulations promulgated thereunder shall constitute a material breach of this **Contract**. Remedy for such breach may include the imposition of any or all of the following sanctions:

67.6.1 Reducing the **Contractor's** compensation by an amount equal to the dollar value of the percentage of the LBE subcontracting requirement not complied with;

67.6.2 Declaring the **Contractor** in default;

67.6.3 If the **Contractor** is an LBE, de-certifying and declaring the **Contractor** ineligible to participate in the LBE program for a period of up to three (3) years.

ARTICLE 68. ANTITRUST

68.1 The **Contractor** hereby assigns, sells, and transfers to the **City** all right, title, and interest in and to any claims and causes of action arising under the antitrust **Laws** of New York State or of the United States relating to the particular goods or services purchased or procured by the **City** under this **Contract**.

ARTICLE 69. MacBRIDE PRINCIPLES PROVISIONS

69.1 Notice To All Prospective **Contractors**:

69.1.1 Local Law No. 34 of 1991 became effective on September 10, 1991 and added Section 6-115.1 of the Administrative Code. The local **Law** provides for certain restrictions on **City Contracts** to express the opposition of the people of the **City** to employment discrimination practices in Northern Ireland to promote freedom of work-place opportunity.

69.1.2 Pursuant to Section 6-115.1, prospective **Contractors** for **Contracts** to provide goods or services involving an expenditure of an amount greater than ten thousand (\$10,000.) dollars, or for construction involving an amount greater than fifteen thousand (\$15,000.) dollars, are asked to sign a rider in which they covenant and represent, as a material condition of their **Contract**, that any business operations in Northern Ireland conducted by the **Contractor** and any individual or legal entity in which the **Contractor** holds a ten (10%) percent or greater ownership interest in the **Contractor** will be conducted in accordance with the MacBride Principles of nondiscrimination in employment.

69.1.3 Prospective **Contractors** are not required to agree to these conditions. However, in the case of **Contracts** let by competitive sealed bidding, whenever the lowest responsible bidder has not agreed to stipulate to the conditions set forth in this notice and another bidder who has agreed to stipulate to such conditions has submitted a bid within five (5%) percent of the lowest responsible bid for a **Contract** to supply goods, services or construction of comparable quality, the **Agency** shall refer such bids to the Mayor, the Speaker or other officials, as appropriate, who may determine, in accordance with applicable **Law**, that it is in the best interest of the **City** that the **Contract** be awarded to other than the lowest responsible pursuant to Section 313(b)(2) of the **City** Charter.

69.1.4 In the case of **Contracts** let by other than competitive sealed bidding, if a prospective **Contractor** does not agree to these conditions, no **Agency**, elected official or the **City** Council shall award the **Contract** to that bidder unless the **Agency** seeking to use the goods, services or construction certifies in writing that the **Contract** is necessary for the **Agency** to perform its functions and there is no other responsible **Contractor** who will supply goods, services or construction of comparable quality at a comparable price.

69.2 In accordance with Section 6-115.1 of the Administrative Code, the **Contractor** stipulates that such **Contractor** and any individual or legal entity in which the **Contractor** holds a ten (10%) percent or greater ownership interest in the **Contractor** either:

69.2.1 Have no business operations in Northern Ireland, or

69.2.2 Shall take lawful steps in good faith to conduct any business operations they have in

Northern Ireland in accordance with the MacBride Principles, and shall permit independent monitoring of their compliance with such principles.

69.3 For purposes of this Article, the following terms shall have the following meanings:

69.3.1 “MacBride Principles” shall mean those principles relating to nondiscrimination in employment and freedom of work-place opportunity which require employers doing business in Northern Ireland to:

69.3.1(a) increase the representation of individuals from under-represented religious groups in the workforce, including managerial, supervisory, administrative, clerical and technical jobs;

69.3.1(b) take steps to promote adequate security for the protection of employees from under-represented religious groups both at the work-place and while traveling to and from **Work**;

69.3.1(c) ban provocative religious or political emblems from the workplace;

69.3.1(d) publicly advertise all job openings and make special recruitment efforts to attract applicants from under-represented religious groups;

69.3.1(e) establish layoff, recall, and termination procedures which do not in practice favor a particular religious group;

69.3.1(f) abolish all job reservations, apprenticeship restrictions and different employment criteria which discriminate on the basis of religion;

69.3.1(g) develop training programs that will prepare substantial numbers of current employees from under-represented religious groups for skilled jobs, including the expansion of existing programs and the creation of new programs to train, upgrade, and improve the skills of workers from under-represented religious groups;

69.3.1(h) establish procedures to assess, identify, and actively recruit employees from under-represented religious groups with potential for further advancement; and

69.3.1(i) appoint a senior management staff member to oversee affirmative action efforts and develop a timetable to ensure their full implementation.

69.4 The **Contractor** agrees that the covenants and representations in Article 69.2 are material conditions to this **Contract**. In the event the **Agency** receives information that the **Contractor** who made the stipulation required by this Article 69 is in violation thereof, the **Agency** shall review such information and give the **Contractor** an opportunity to respond. If the **Agency** finds that a violation has occurred, the **Agency** shall have the right to declare the **Contractor** in default and/or terminate this **Contract** for cause and procure supplies, services or **Work** from another source in the manner the **Agency** deems proper. In the event of such termination, the **Contractor** shall pay to the **Agency**, or the **Agency** in its sole discretion may withhold from any amounts otherwise payable to the **Contractor**, the difference between the **Contract** price for the uncompleted portion of this **Contract** and the cost to the **Agency** of completing performance of this **Contract** either itself or by engaging another **Contractor** or **Contractors**. In the case of a requirement **Contract**, the **Contractor** shall be liable for such difference in price for the entire amount of supplies required by the **Agency** for the uncompleted term of **Contractor's Contract**. In the case of a construction **Contract**, the **Agency** shall also have the right to hold the **Contractor** in partial or total default in

accordance with the default provisions of this **Contract**, and/or may seek debarment or suspension of the **Contractor**. The rights and remedies of the **Agency** hereunder shall be in addition to, and not in lieu of, any rights and remedies the **Agency** has pursuant to this **Contract** or by operation of **Law**.

ARTICLE 70. ELECTRONIC FILING/NYC DEVELOPMENT HUB

70.1 The **Contractor** shall electronically file all alteration type-2 and alteration type-3 applications via the New York City Development Hub Web site, except applications for the following types of minor alterations: enlargements, curb cuts, legalizations, fire alarms, builders pavement plans, and jobs filed on Landmark Preservation Commission calendared properties. All such filings must be professionally certified. Information about electronic filing via the New York City Development Hub is available on the **City** Department of Buildings Web site at www.nyc.gov/buildings.

ARTICLE 71. PROHIBITION OF TROPICAL HARDWOODS

71.1 Tropical hardwoods, as defined in Section 165 of the New York State Finance Law (Finance Law), shall not be utilized in the performance of this **Contract** except as expressly permitted by Section 165 of the Finance Law.

ARTICLE 72. CONFLICTS OF INTEREST

72.1 Section 2604 of the **City** Charter and other related provisions of the **City** Charter, the Administrative Code, and the Penal Law are applicable under the terms of this **Contract** in relation to conflicts of interest and shall be extended to **Subcontractors** authorized to perform **Work**, labor and services pursuant to this **Contract** and further, it shall be the duty and responsibility of the **Contractor** to so inform its respective **Subcontractors**. Notice is hereby given that, under certain circumstances, penalties may be invoked against the donor as well as the recipient of any form of valuable gift.

ARTICLE 73. MERGER CLAUSE

73.1 The written **Contract** herein, contains all the terms and conditions agreed upon by the parties hereto, and no other agreement, oral or otherwise, regarding the subject matter of this **Contract** shall be deemed to exist or to bind any of the parties hereto, or to vary any of the terms contained herein.

ARTICLE 74. STATEMENT OF WORK

74.1 The **Contractor** shall furnish all labor and materials and perform all **Work** in strict accordance with the **Specifications** and **Addenda** thereto, numbered as shown in Schedule A.

ARTICLE 75. COMPENSATION TO BE PAID TO CONTRACTOR

75.1 The **City** will pay and the **Contractor** will accept in full consideration for the performance of the **Contract**, subject to additions and deductions as provided herein, the total sum shown in Schedule A, this said sum being the amount at which the **Contract** was awarded to the **Contractor** at a public letting thereof, based upon the **Contractor's** bid for the **Contract**.

ARTICLE 76. ELECTRONIC FUNDS TRANSFER

76.1 In accordance with Section 6-107.1 of the Administrative Code, the **Contractor** agrees to accept payments under this **Contract** from the **City** by electronic funds transfer (EFT). An EFT is any transfer of funds, other than a transaction originated by check, draft or similar paper instrument, which is initiated through an electronic terminal, telephonic instrument or computer or magnetic tape so as to order, instruct or authorize a financial institution to debit or credit an account. Prior to the first payment made under this **Contract**, the **Contractor** shall designate one financial institution or other authorized payment agent and shall complete the attached "EFT Vendor Payment Enrollment Form" in order to provide the Commissioner of the **City** Department of Finance with information necessary for the **Contractor** to receive electronic funds transfer payments through a designated financial institution or authorized payment agent. The crediting of the amount of a payment to the appropriate account on the books of a financial institution or other authorized payment agent designated by the **Contractor** shall constitute full satisfaction by the **City** for the amount of the payment under this **Contract**. The account information supplied by the **Contractor** to facilitate the electronic funds transfer shall remain confidential to the fullest extent provided by **Law**.

76.2 The **Commissioner** may waive the application of the requirements of this Article 76 to payments on contracts entered into pursuant to Section 315 of the **City** Charter. In addition, the Commissioner of the Department of Finance and the Comptroller may jointly issue standards pursuant to which the **Agency** may waive the requirements of this Article 76 for payments in the following circumstances: (i) for individuals or classes of individuals for whom compliance imposes a hardship; (ii) for classifications or types of checks; or (iii) in other circumstances as may be necessary in the interest of the **City**.

ARTICLE 77. RECORDS RETENTION

77.1 The **Contractor** agrees to retain all books, records, and other documents relevant to this **Contract** for six years after the final payment or termination of this **Contract**, whichever is later. **City**, state, and federal auditors and any other persons duly authorized by the **City** shall have full access to and the right to examine any such books, records, and other documents during the retention period.

ARTICLE 78. EXAMINATION AND VIEWING OF SITE, CONSIDERATION OF OTHER SOURCES OF INFORMATION AND CHANGED SITE CONDITIONS

78.1 Pre-Bidding (Investigation) Viewing of Site – Bidders must carefully view and examine the **Site** of the proposed **Work**, as well as its adjacent area, and seek other usual sources of information, for they will be conclusively presumed to have full knowledge of any and all conditions and hazards on, about or above the **Site** relating to or affecting in any way the performance of the **Work** to be done under the **Contract** that were or should have been known by a reasonably prudent bidder. To arrange a date for visiting the **Site**,

bidders are to contact the **Agency** contact person specified in the bid documents.

78.2 Should the **Contractor** encounter during the progress of the Work site conditions or environmental hazards at the **Site** materially differing from any shown on the **Contract Drawings** or indicated in the **Specifications** or such conditions or environmental hazards as could not reasonably have been anticipated by the **Contractor**, which conditions or hazards will materially affect the cost of the **Work** to be done under the **Contract**, the attention of the **Commissioner** must be called immediately to such conditions or hazards before they are disturbed. The **Commissioner** shall thereupon promptly investigate the conditions or hazards. If the **Commissioner** finds that they do so materially differ, and that they could not have been reasonably anticipated by the **Contractor**, the **Contract** may be modified with the **Commissioner's** written approval.

ARTICLE 79. PARTICIPATION BY MINORITY-OWNED AND WOMEN-OWNED BUSINESS ENTERPRISES IN CITY PROCUREMENT

ARTICLE I. M/WBE PROGRAM

Section 6-129 of the Administrative Code of the City of New York ("Section 6-129") establishes the program for participation in City procurement ("M/WBE Program") by minority-owned business enterprises ("MBEs") and women-owned business enterprises ("WBEs"), certified in accordance with Section 1304 of the New York City Charter. As stated in Section 6-129, the intent of the program is to address the impact of discrimination on the City's procurement process, and to promote the public interest in avoiding fraud and favoritism in the procurement process, increasing competition for City business, and lowering contract costs. The contract provisions contained herein are pursuant to Section 6-129, and the rules of the Department of Small Business Services ("DSBS") promulgated thereunder.

If this Contract is subject to the M/WBE Program established by Section 6-129, the specific requirements of MBE and/or WBE participation for this Contract are set forth in Schedule B of the Contract (entitled the "M/WBE Utilization Plan") and are detailed below.

The Contractor must comply with all applicable MBE and WBE requirements for this Contract.

All provisions of Section 6-129 are hereby incorporated in the Contract by reference and all terms used herein that are not defined herein shall have the meanings given such terms in Section 6-129.

References to MBEs or WBEs shall also include such businesses certified pursuant to the executive law where credit is required by section 311 of the New York City Charter or other provision of law.

Article I, Part A, below, sets forth provisions related to the participation goals for construction, standard and professional services contracts.

Article I, Part B, below, sets forth miscellaneous provisions related to the M/WBE Program.

PART A: PARTICIPATION GOALS FOR CONSTRUCTION, STANDARD AND PROFESSIONAL SERVICES CONTRACTS OR TASK ORDERS

1. The MBE and/or WBE Participation Goals established for this Contract or Task Orders issued pursuant to this Contract, ("Participation Goals"), as applicable, are set forth on Schedule B, Part 1 to this Contract (see Page 1, Line 1 Total Participation Goals) or will be set forth on Schedule B, Part 1 to Task Orders issued pursuant to this Contract, as applicable.

The Participation Goals represent a percentage of the total dollar value of the Contract or Task Order, as applicable, that may be achieved by awarding subcontracts to firms certified with DSBS as MBEs and/or WBEs, and/or by crediting the participation of prime contractors and/or qualified joint ventures as provided in Section 3 below, unless the goals have been waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

2. If Participation Goals have been established for this Contract or Task Orders issued pursuant to this Contract, Contractor agrees or shall agree as a material term of the Contract that Contractor shall be subject to the Participation Goals, unless the goals are waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

3. If Participation Goals have been established for this Contract or Task Order issued pursuant to this Contract, a Contractor that is an MBE and/or WBE shall be permitted to count its own participation toward fulfillment of the relevant Participation Goal, provided that in accordance with Section 6-129 the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that the Contractor pays to direct subcontractors (as defined in Section 6-129(c)(13)), and provided further that a Contractor that is certified as both an MBE and a WBE may count its own participation either toward the goal for MBEs or the goal for WBEs, but not both.

A Contractor that is a qualified joint venture (as defined in Section 6-129(c)(30)) shall be permitted to count a percentage of its own participation toward fulfillment of the relevant Participation Goal. In accordance with Section 6-129, the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that Contractor pays to direct subcontractors, and then multiplying the remainder by the percentage to be applied to total profit to determine the amount to which an MBE or WBE is entitled pursuant to the joint venture agreement, provided that where a participant in a joint venture is certified as both an MBE and a WBE, such amount shall be counted either toward the goal for MBEs or the goal for WBEs, but not both.

4. A. If Participation Goals have been established for this Contract, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Utilization Plan, Part 2 (see Pages 1-2) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end; as well as the name, addresses, and telephone numbers of the M/WBE subcontractors if required by the solicitation; and (d) the prospective contractor's required certification and affirmations. In the event that this M/WBE Utilization Plan indicates that the bidder or proposer, as applicable, does not intend to meet the Participation Goals, the bid or proposal, as applicable, shall be deemed non-responsive, unless Agency has granted the bidder or proposer, as applicable, a pre-award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.

B. (i) If this Contract is for a master services agreement or other requirements type contract that will result in the issuance of Task Orders that will be individually registered ("Master Services Agreement") and is subject to M/WBE Participation Goals, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Participation Requirements for Master Services Agreements That Will Require Individually Registered Task Orders, Part 2 (page 2) indicating the prospective contractor's certification and required affirmations to make all reasonable good faith efforts to meet participation goals established on each individual Task Order issued pursuant to this Contract, or if a partial waiver is obtained or such goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms. In the event that the Schedule B indicates that the bidder or proposer, as applicable, does not intend to meet the Participation Goals that may be established on Task Orders issued pursuant to this Contract, the bid or proposal, as applicable, shall be deemed non-responsive.

(ii) Participation Goals on a Master Services Agreement will be established for individual Task Orders issued after the Master Services Agreement is awarded. If Participation Goals have been established on a Task Order, a contractor shall be required to submit a Schedule B – M/WBE Utilization Plan For Independently Registered Task Orders That Are Issued Pursuant to Master Services Agreements, Part 2 (see Pages 1-2) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end; as well as the name, addresses, and telephone numbers of the M/WBE subcontractors if required by the solicitation; and (d) the prospective contractor's required certification and affirmations. The contractor must engage in good faith efforts to meet the Participation Goals as established for the Task Order unless Agency has granted the contractor a pre-award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.

C. THE BIDDER/PROPOSER MUST COMPLETE THE SCHEDULE B INCLUDED HEREIN (SCHEDULE B, PART 2). A SCHEDULE B SUBMITTED BY THE BIDDER/PROPOSER WHICH DOES NOT INCLUDE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS WILL BE DEEMED TO BE NON-RESPONSIVE, UNLESS A FULL WAIVER OF THE PARTICIPATION GOALS IS GRANTED (SCHEDULE B, PART 3). IN THE EVENT THAT THE CITY DETERMINES THAT THE BIDDER/PROPOSER HAS SUBMITTED A SCHEDULE B WHERE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS ARE COMPLETED BUT OTHER ASPECTS OF THE SCHEDULE B ARE NOT COMPLETE, OR CONTAIN A COPY OR COMPUTATION ERROR THAT IS AT ODDS WITH THE VENDOR CERTIFICATION AND AFFIRMATIONS, THE BIDDER/PROPOSER WILL BE NOTIFIED BY THE AGENCY AND WILL BE GIVEN FOUR (4) CALENDAR DAYS FROM RECEIPT OF NOTIFICATION TO CURE THE SPECIFIED DEFICIENCIES AND RETURN A COMPLETED SCHEDULE B TO THE AGENCY. FAILURE TO DO SO WILL RESULT IN A DETERMINATION THAT THE BID/PROPOSAL IS NON-RESPONSIVE. RECEIPT OF NOTIFICATION IS DEFINED AS THE DATE NOTICE IS E-MAILED OR FAXED (IF THE BIDDER/PROPOSER HAS PROVIDED AN E-MAIL ADDRESS OR FAX NUMBER), OR NO LATER THAN FIVE (5) CALENDAR DAYS FROM THE DATE OF MAILING OR UPON DELIVERY, IF DELIVERED.

5. Where an M/WBE Utilization Plan has been submitted, the Contractor shall, within 30 days of issuance by Agency of a notice to proceed, submit a list of proposed persons or entities to which it intends to award subcontracts within the subsequent 12 months. In the case of multi- year contracts, such list shall also be submitted every year thereafter. The Agency may also require the Contractor to report periodically about the contracts awarded by its direct subcontractors to indirect subcontractors (as defined in Section 6-129(c)(22)). PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor must identify all those to which it intends to award construction subcontracts for any portion of the Wicks trade work at the time of bid submission, regardless of what point in the life of the contract such subcontracts will occur. In identifying intended subcontractors in the bid submission, bidders may satisfy any Participation Goals established for this Contract by proposing one or more subcontractors that are MBEs and/or WBEs for any portion of the Wicks trade work. In the event that the Contractor's selection of a subcontractor is disapproved, the Contractor shall have a reasonable time to propose alternate subcontractors.

6. MBE and WBE firms must be certified by DSBS in order for the Contractor to credit such firms' participation toward the attainment of the Participation Goals. Such certification must occur prior to the firms' commencement of work. A list of city-certified MBE and WBE firms may be obtained from the DSBS website at www.nyc.gov/buycertified, by emailing DSBS at buyer@sbs.nyc.gov, by calling (212) 513-6451, or by visiting or writing DSBS at One Liberty Plaza ., New York, New York, 10006, 11th floor. Eligible firms that have not yet

been certified may contact DSBS in order to seek certification by visiting www.nyc.gov/getcertified, emailing MWBE@sbs.nyc.gov, or calling the DSBS certification helpline at (212) 513-6311. A firm that is certified as both an MBE and a WBE may be counted either toward the goal for MBEs or the goal for WBEs, but not both. No credit shall be given for participation by a graduate MBE or graduate WBE, as defined in Section 6-129(c)(20).

7. Where an M/WBE Utilization Plan has been submitted, the Contractor shall, with each voucher for payment, and/or periodically as Agency may require, submit statements, certified under penalty of perjury, which shall include, but not be limited to, the total amount the Contractor paid to its direct subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount direct subcontractors paid to indirect subcontractors; the names, addresses and contact numbers of each MBE or WBE hired as a subcontractor by the Contractor, and, where applicable, hired by any of the Contractor's direct subcontractors; and the dates and amounts paid to each MBE or WBE. The Contractor shall also submit, along with its voucher for final payment: the total amount it paid to subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount its direct subcontractors paid directly to their indirect subcontractors; and a final list, certified under penalty of perjury, which shall include the name, address and contact information of each subcontractor that is an MBE or WBE, the work performed by, and the dates and amounts paid to each.

8. If payments made to, or work performed by, MBEs or WBEs are less than the amount specified in the Contractor's M/WBE Utilization Plan, Agency shall take appropriate action, in accordance with Section 6-129 and Article II below, unless the Contractor has obtained a modification of its M/WBE Utilization Plan in accordance with Section 6-129 and Part A, Section 11 below.

9. Where an M/WBE Utilization Plan has been submitted, and the Contractor requests a change order the value of which exceeds the greater of 10 percent of the Contract or Task Order, as applicable, or \$500,000, Agency shall review the scope of work for the Contract or Task Order, as applicable, and the scale and types of work involved in the change order, and determine whether the Participation Goals should be modified.

10. Pre-award waiver of the Participation Goals. (a) A bidder or proposer, or contractor with respect to a Task Order, may seek a pre-award full or partial waiver of the Participation Goals in accordance with Section 6-129, which requests that Agency change one or more Participation Goals on the grounds that the Participation Goals are unreasonable in light of the availability of certified firms to perform the services required, or by demonstrating that it has legitimate business reasons for proposing a lower level of subcontracting in its M/WBE Utilization Plan.

(b) To apply for a full or partial waiver of the Participation Goals, a bidder, proposer, or contractor, as applicable, must complete Part 3 of Schedule B **and submit such request no later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due, in writing by email at MWBEModification@ddc.nyc.gov. Full or partial waiver requests that are received later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due may be rejected as untimely.** Bidders, proposers, or contractors, as applicable, who have submitted timely requests will receive an Agency response by no later than two (2) calendar days prior to the due date for bids, proposals, or Task Orders; provided, however, that if that date would fall on a weekend or holiday, an Agency response will be provided by close-of-business on the business day before such weekend or holiday date.

(c) If the Agency determines that the Participation Goals are unreasonable in light of the availability of certified firms to perform the services required, it shall revise the solicitation and extend the deadline for bids and proposals, or revise the Task Order, as applicable.

(d) Agency may grant a full or partial waiver of the Participation Goals to a bidder, proposer or contractor, as applicable, who demonstrates—before submission of the bid, proposal or Task Order, as applicable—that it has legitimate business reasons for proposing the level of subcontracting in its M/WBE Utilization Plan. In making its determination, Agency shall consider factors that shall include, but not be limited

to, whether the bidder, proposer or contractor, as applicable, has the capacity and the bona fide intention to perform the Contract without any subcontracting, or to perform the Contract without awarding the amount of subcontracts represented by the Participation Goals. In making such determination, Agency may consider whether the M/WBE Utilization Plan is consistent with past subcontracting practices of the bidder, proposer or contractor, as applicable, whether the bidder, proposer or contractor, as applicable, has made efforts to form a joint venture with a certified firm, and whether the bidder, proposer, or contractor, as applicable, has made good faith efforts to identify other portions of the Contract that it intends to subcontract.

11. Modification of M/WBE Utilization Plan. (a) A Contractor may request a modification of its M/WBE Utilization Plan after award of this Contract. PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor may request a Modification of its M/WBE Utilization Plan as part of its bid submission. The Agency may grant a request for Modification of a Contractor's M/WBE Utilization Plan if it determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts to meet the Participation Goals. In making such determination, Agency shall consider evidence of the following efforts, as applicable, along with any other relevant factors:

- (i) The Contractor advertised opportunities to participate in the Contract, where appropriate, in general circulation media, trade and professional association publications and small business media, and publications of minority and women's business organizations;
- (ii) The Contractor provided notice of specific opportunities to participate in the Contract, in a timely manner, to minority and women's business organizations;
- (iii) The Contractor sent written notices, by certified mail or facsimile, in a timely manner, to advise MBEs or WBEs that their interest in the Contract was solicited;
- (iv) The Contractor made efforts to identify portions of the work that could be substituted for portions originally designated for participation by MBEs and/or WBEs in the M/WBE Utilization Plan, and for which the Contractor claims an inability to retain MBEs or WBEs;
- (v) The Contractor held meetings with MBEs and/or WBEs prior to the date their bids or proposals were due, for the purpose of explaining in detail the scope and requirements of the work for which their bids or proposals were solicited;
- (vi) The Contractor made efforts to negotiate with MBEs and/or WBEs as relevant to perform specific subcontracts, or act as suppliers or service providers;
- (vii) Timely written requests for assistance made by the Contractor to Agency's M/WBE liaison officer and to DSBS;
- (viii) Description of how recommendations made by DSBS and Agency were acted upon and an explanation of why action upon such recommendations did not lead to the desired level of participation of MBEs and/or WBEs.

Agency's M/WBE officer shall provide written notice to the Contractor of the determination.

(b) The Agency may modify the Participation Goals when the scope of the work has been changed by the Agency in a manner that affects the scale and types of work that the Contractor indicated in its M/WBE Utilization Plan would be awarded to subcontractors.

12. If the Contractor was required to identify in its bid or proposal the MBEs and/or WBEs they intended to use in connection with the performance of the Contract or Task Order, substitutions to the identified firms may only be made with the approval of the Agency, which shall only be given when the Contractor has proposed to use a firm that would satisfy the Participation Goals to the same extent as the firm previously identified, unless the Agency determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts. In making such determination, the Agency shall require evidence of the

efforts listed in Section 11(a) above, as applicable, along with any other relevant factors.

13. If this Contract is for an indefinite quantity of construction, standard or professional services or is a requirements type contract and the Contractor has submitted an M/WBE Utilization Plan and has committed to subcontract work to MBEs and/or WBEs in order to meet the Participation Goals, the Contractor will not be deemed in violation of the M/WBE Program requirements for this Contract with regard to any work which was intended to be subcontracted to an MBE and/or WBE to the extent that the Agency has determined that such work is not needed.

14. If Participation Goals have been established for this Contract or a Task Order issued pursuant to this Contract, at least once annually during the term of the Contract or Task Order, as applicable, Agency shall review the Contractor's progress toward attainment of its M/WBE Utilization Plan, including but not limited to, by reviewing the percentage of work the Contractor has actually awarded to MBE and/or WBE subcontractors and the payments the Contractor made to such subcontractors.

15. If Participation Goals have been established for this Contract or a Task Order issued pursuant to this Contract, Agency shall evaluate and assess the Contractor's performance in meeting those goals, and such evaluation and assessment shall become part of the Contractor's overall contract performance evaluation.

PART B: MISCELLANEOUS

The Contractor shall take notice that, if this solicitation requires the establishment of a M/WBE Utilization Plan, the resulting contract may be audited by DSBS to determine compliance with Section 6-129. See §6-129(e)(10). Furthermore, such resulting contract may also be examined by the City's Comptroller to assess compliance with the M/WBE Utilization Plan.

1. Pursuant to DSBS rules, construction contracts that include a requirement for a M/WBE Utilization Plan shall not be subject to the law governing Locally Based Enterprises set forth in Section 6-108.1 of the Administrative Code of the City of New York.

2. DSBS is available to assist contractors and potential contractors in determining the availability of MBEs and/or WBEs to participate as subcontractors, and in identifying opportunities that are appropriate for participation by MBEs and/or WBEs in contracts.

3. Prospective contractors are encouraged to enter into qualified joint venture agreements with MBEs and/or WBEs as defined by Section 6-129(c)(30).

4. By submitting a bid or proposal the Contractor hereby acknowledges its understanding of the M/WBE Program requirements set forth herein and the pertinent provisions of Section 6-129, and any rules promulgated thereunder, and if awarded this Contract, the Contractor hereby agrees to comply with the M/WBE Program requirements of this Contract and pertinent provisions of Section 6-129, and any rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract. The Contractor hereby agrees to make all reasonable, good faith efforts to solicit and obtain the participation of MBEs and/or WBEs to meet the required Participation Goals.

ARTICLE II. ENFORCEMENT

1. If Agency determines that a bidder or proposer, as applicable, has, in relation to this procurement, violated Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, Agency may disqualify such bidder or proposer, as applicable, from competing for this Contract and the Agency may revoke such bidder's or proposer's prequalification status, if applicable.

2. Whenever Agency believes that the Contractor or a subcontractor is not in compliance with Section 6-129

or the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to any M/WBE Utilization Plan, Agency shall send a written notice to the Contractor describing the alleged noncompliance and offering the Contractor an opportunity to be heard. Agency shall then conduct an investigation to determine whether such Contractor or subcontractor is in compliance.

3. In the event that the Contractor has been found to have violated Section 6-129, the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to, any M/WBE Utilization Plan, Agency may determine that one of the following actions should be taken:

- (a) entering into an agreement with the Contractor allowing the Contractor to cure the violation;
- (b) revoking the Contractor's pre-qualification to bid or make proposals for future contracts;
- (c) making a finding that the Contractor is in default of the Contract;
- (d) terminating the Contract;
- (e) declaring the Contractor to be in breach of Contract;
- (f) withholding payment or reimbursement;
- (g) determining not to renew the Contract;
- (h) assessing actual and consequential damages;
- (i) assessing liquidated damages or reducing fees, provided that liquidated damages may be based on amounts representing costs of delays in carrying out the purposes of the M/WBE Program, or in meeting the purposes of the Contract, the costs of meeting utilization goals through additional procurements, the administrative costs of investigation and enforcement, or other factors set forth in the Contract;
- (j) exercising rights under the Contract to procure goods, services or construction from another contractor and charge the cost of such contract to the Contractor that has been found to be in noncompliance; or
- (k) taking any other appropriate remedy.

4. If an M/WBE Utilization Plan has been submitted, and pursuant to this Article II, Section 3, the Contractor has been found to have failed to fulfill its Participation Goals contained in its M/WBE Utilization Plan or the Participation Goals as modified by Agency pursuant to Article I, Part A, Section 11, Agency may assess liquidated damages in the amount of ten percent (10%) of the difference between the dollar amount of work required to be awarded to MBE and/or WBE firms to meet the Participation Goals and the dollar amount the Contractor actually awarded and paid, and/or credited, to MBE and/or WBE firms. In view of the difficulty of accurately ascertaining the loss which the City will suffer by reason of Contractor's failure to meet the Participation Goals, the foregoing amount is hereby fixed and agreed as the liquidated damages that the City will suffer by reason of such failure, and not as a penalty. Agency may deduct and retain out of any monies which may become due under this Contract the amount of any such liquidated damages; and in case the amount which may become due under this Contract shall be less than the amount of liquidated damages suffered by the City, the Contractor shall be liable to pay the difference.

5. Whenever Agency has reason to believe that an MBE and/or WBE is not qualified for certification, or is participating in a contract in a manner that does not serve a commercially useful function (as defined in Section 6-129(c)(8)), or has violated any provision of Section 6-129, Agency shall notify the Commissioner of DSBS who shall determine whether the certification of such business enterprise should be revoked.

6. Statements made in any instrument submitted to Agency pursuant to Section 6-129 shall be submitted under penalty of perjury and any false or misleading statement or omission shall be grounds for the application of any applicable criminal and/or civil penalties for perjury. The making of a false or fraudulent statement by an MBE and/or WBE in any instrument submitted pursuant to Section 6-129 shall, in addition, be grounds for revocation of its certification.

7. The Contractor's record in implementing its M/WBE Utilization Plan shall be a factor in the evaluation of

its performance. Whenever Agency determines that a Contractor's compliance with an M/WBE Utilization Plan has been unsatisfactory, Agency shall, after consultation with the City Chief Procurement Officer, file an advice of caution form for inclusion in PASSPort as caution data.

CONTRACT SIGNATURE PAGE

This Contract is entered by and between the City of New York (“City”), acting by and through the **DEPARTMENT OF DESIGN AND CONSTRUCTION**, and **STALCO CONSTRUCTION INC** (“Contractor”).

This Contract consists of this contract signature page as well as the following documents (“Contract Documents”) which are located in the Documents tab of the PASSPort record titled **85023B0067-LQD122HO1**.

1. (Bid) - Subcontractor_ID_Form..pdf - Jun 11 2024 5:20PM
2. (Question answer) - BID BOND.pdf - Jun 12 2024 2:10PM
3. (Question answer) - Section3.4BidBreakdownTemplateR10 (5).xlsx - Jun 11 2024 5:20PM
4. BID BREAKDOWN - Jun 12 2024 4:33PM
5. Brokers Certification - Jun 17 2024 2:47PM
6. Certificate of Liability Insurance - Jun 17 2024 2:46PM
7. CG 20 10 - Jun 17 2024 2:47PM
8. CG 20 37 - Jun 17 2024 2:47PM
9. DDC PASSPort Bid Information_Addendum5 - Jun 17 2024 3:37PM
10. Disability Insurance - Jun 17 2024 2:46PM
11. LQD122HO1_Addendum1 - Jun 11 2024 5:20PM
12. LQD122HO1_Addendum10 - Jun 11 2024 5:20PM
13. LQD122HO1_Addendum11 - Jun 11 2024 5:20PM
14. LQD122HO1_Addendum2 - Jun 11 2024 5:20PM
15. LQD122HO1_Addendum3 - Jun 11 2024 5:20PM
16. LQD122HO1_Addendum4 - Jun 11 2024 5:20PM
17. LQD122HO1_Addendum5 - Jun 11 2024 5:20PM
18. LQD122HO1_Addendum6 - Jun 11 2024 5:20PM
19. LQD122HO1_Addendum7 - Jun 11 2024 5:20PM
20. LQD122HO1_Addendum8 - Jun 11 2024 5:20PM
21. LQD122HO1_Addendum9 - Jun 11 2024 5:20PM
22. LQD122HO1_Bid Drawings_Addendum7 - Jun 11 2024 5:20PM
23. LQD122HO1_Proprietary Items_Addendum5 - Jun 11 2024 5:20PM
24. LQD122HO1_Volume2_Addendum5 - Jun 11 2024 5:20PM
25. LQD122HO1_Volume3_Addendum8 - Jun 11 2024 5:20PM
26. Payment Bond - Jun 17 2024 2:45PM


- 27. Performance Bond - Jun 17 2024 2:45PM
- 28. Proposal/Bid - Jun 11 2024 5:20PM
- 29. RFx Document - Jun 11 2024 5:20PM
- 30. Schedule B - MWBE Utilization + Waiver Instructions_Addendum5 - Jun 17 2024 3:35PM
- 31. Volume 1 (PLA) - Jun 11 2024 5:20PM
- 32. Workers Compensation - Jun 17 2024 2:46PM

The above order does not represent an order of precedence. The Contract shall be governed by the order of precedence, if any, in the Contract Documents or by ordinary contract principles if no such order of precedence exists.

Each party is signing this Contract electronically on the date stated in that party's electronic signature.

The City of New York

By: **DEPARTMENT OF DESIGN AND CONSTRUCTION**

DocuSigned by:

1A87ABA0188B41C...
(Signature)


Name: ERIC MACFARLANE

Title: 0First Deputy Commissioner

Date: 6/20/2024 | 08:59:15 PDT

Contractor

By: **STALCO CONSTRUCTION INC**

DocuSigned by:

C66DA36861464A7...
(Signature)

Name: Alan Nahmias

Title: President

Date: 6/20/2024 | 06:52:48 PDT

Signatures

Number of pages (including this one): 3

- ✓ Document signed electronically, the signatories agreeing that it is authentic between them.
- ✓ By signing this document, the signatories acknowledge and agree that they have carefully read this document and approve all its terms.

Nom: Nahmias Alan

Fonction: Principle/CFO

Place: Stalco Construction Inc.

Date: 6/20/2024 | 06:52:48 PDT

DocuSigned by:

Alan Nahmias

C66DA36861464A7...

Nom: Macfarlane Eric

Fonction:

Place: lic ny

Date: 6/20/2024 | 08:59:15 PDT

DocuSigned by:

ERIC MACFARLANE

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The logo for Stalco, featuring the word "stalco" in a white, lowercase, serif font on a dark blue square background.

stalco construction, inc.

4. PLA – LETTERS OF ASSENT

2020 NYC AGENCY NEW CONSTRUCTION PROJECT LABOR AGREEMENT

Exhibit A

Project Labor Agreement - Letter of Assent

Dear: _____

The undersigned party confirms that it agrees to be a party to and be bound by the New York Agency, Project Labor Agreement as such Agreement may, from time to time, be amended by the parties or interpreted pursuant to its terms. The terms of the Project Labor Agreement, its Schedules, Addenda and Exhibits are hereby incorporated by reference herein.

The undersigned, as a Contractor or Subcontractor (hereinafter Contractor) on the Project known as the NYC Agency Renovation and located at Hollis Library Interior Renovations (hereinafter PROJECT), for and in consideration of the award to it of a contract to perform work on said PROJECT, and in further consideration of the mutual promises made in the Project Labor Agreement, a copy of which was received and is acknowledged, hereby:

- (1) Accepts and agrees to be bound by the terms and conditions of the Agreement, together with any and all schedules; amendments and supplements now existing or which are later made thereto;
- (2) Agrees to be bound by the legally established collective bargaining agreements; local trust agreements for employee benefit funds; and trust documents for joint apprentice programs as well as apprentice program rules and procedures but only to the extent of Program Work and as required by the PLA.
- (3) Authorizes the parties to such local trust agreements to appoint trustees and successor trustees to administer the trust funds and hereby ratifies and accepts the trustees so appointed as if made by the Contractor but only to the extent of Program Work as required by the PLA.
- (4) Certifies that it has no commitments or agreements that would preclude its full and complete compliance with the terms and conditions of said Agreement. The Contractor agrees to employ labor that can work in harmony with all other labor on the Project and shall require labor harmony from every lower tier subcontractor it has engaged or may engage to work on the Project. Labor harmony disputes/issues shall be subject to the Labor Management Committee provisions.
- (5) Agrees to secure from any Contractor(s) (as defined in said Agreement) which is or becomes a Subcontractor (of any tier), to it, a duly executed Agreement to be Bound in from identical to this document.

Provide description of the Work, identify craft jurisdiction(s) and all contract numbers below:

Local Union: N/A

Description of Work: (MEDIUM GC PQL) HOLLIS LIBRARY INTERIOR RENOVATION

Contract Number(s): PROJECT ID#: LQD122HO1 PIN#: 85023B0067

2020 NYC AGENCY NEW CONSTRUCTION PROJECT LABOR AGREEMENT

Dated: 09/27/23

Stalco Construction, Inc.
(Name of Contractor or subcontractor)

Stalco Construction, Inc.
(Name of CM; GC; Contractor or
Higher Level Subcontractor)

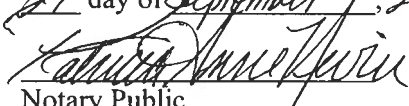
Alan Nahmias - President
(Authorized Officer & Title)

1316 Motor Pkwy., Islandia, NY 11749
(Address)


(Signature)

631-254-6767 / 631-254-8015
(Phone) (Fax)

Contractor's State License

Sworn to before me this
27 day of September, 2023

Notary Public

PATRICIA ANNE NEVIN
Notary Public - State of New York
No. 01NE6392027
Qualified in Suffolk County
My Commission Expires May 20, 27

2020 NYC AGENCY NEW CONSTRUCTION PROJECT LABOR AGREEMENT

Exhibit A

Project Labor Agreement - Letter of Assent

Dear: _____

The undersigned party confirms that it agrees to be a party to and be bound by the New York Agency, Project Labor Agreement as such Agreement may, from time to time, be amended by the parties or interpreted pursuant to its terms. The terms of the Project Labor Agreement, its Schedules, Addenda and Exhibits are hereby incorporated by reference herein.

The undersigned, as a Contractor or Subcontractor (hereinafter Contractor) on the Project known as the NYC Agency Renovation and located at Hollis Library Interior Renovations (hereinafter PROJECT), for and in consideration of the award to it of a contract to perform work on said PROJECT, and in further consideration of the mutual promises made in the Project Labor Agreement, a copy of which was received and is acknowledged, hereby:

- (1) Accepts and agrees to be bound by the terms and conditions of the Agreement, together with any and all schedules; amendments and supplements now existing or which are later made thereto:
- (2) Agrees to be bound by the legally established collective bargaining agreements; local trust agreements for employee benefit funds; and trust documents for joint apprentice programs as well as apprentice program rules and procedures but only to the extent of Program Work and as required by the PLA.
- (3) Authorizes the parties to such local trust agreements to appoint trustees and successor trustees to administer the trust funds and hereby ratifies and accepts the trustees so appointed as if made by the Contractor but only to the extent of Program Work as required by the PLA.
- (4) Certifies that it has no commitments or agreements that would preclude its full and complete compliance with the terms and conditions of said Agreement. The Contractor agrees to employ labor that can work in harmony with all other labor on the Project and shall require labor harmony from every lower tier subcontractor it has engaged or may engage to work on the Project. Labor harmony disputes/issues shall be subject to the Labor Management Committee provisions.
- (5) Agrees to secure from any Contractor(s) (as defined in said Agreement) which is or becomes a Subcontractor (of any tier), to it, a duly executed Agreement to be Bound in from identical to this document.

Provide description of the Work, identify craft jurisdiction(s) and all contract numbers below:

Local Union: Local 1

Description of Work: (MEDIUM GC PQL) HOLLIS LIBRARY INTERIOR RENOVATION

Contract Number(s): PROJECT ID#: LQD122HO1 PIN#: 85023B0067

2020 NYC AGENCY NEW CONSTRUCTION PROJECT LABOR AGREEMENT

Dated: 9/28/2023

Stalco Construction, Inc.
(Name of CM; GC; Contractor or
Higher Level Subcontractor)

Anthony Romano
(Signature)

Maccarone Plumbing Inc.
(Name of Contractor or subcontractor)

Anthony Romano - Chief Estimator
(Authorized Officer & Title)

10 Sea Cliff Ave., Glen Cove, NY 11542
(Address)

516-671-3232 / 516-671-3239
(Phone) (Fax)

Contractor's State License
1579

Sworn to before me this
28 day of Sept. 2023

[Signature]
Notary Public

MICHELLE A MATTIA
Notary Public, State of New York
No. 01MA6308321
Qualified in Suffolk County
Commission Expires 07-21-2026

2020 NYC AGENCY NEW CONSTRUCTION PROJECT LABOR AGREEMENT

Exhibit A

Project Labor Agreement - Letter of Assent

Dear: _____

The undersigned party confirms that it agrees to be a party to and be bound by the New York Agency, Project Labor Agreement as such Agreement may, from time to time, be amended by the parties or interpreted pursuant to its terms. The terms of the Project Labor Agreement, its Schedules, Addenda and Exhibits are hereby incorporated by reference herein.

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- (1) Accepts and agrees to be bound by the terms and conditions of the Agreement, together with any and all schedules; amendments and supplements now existing or which are later made thereto:
- (2) Agrees to be bound by the legally established collective bargaining agreements; local trust agreements for employee benefit funds; and trust documents for joint apprentice programs as well as apprentice program rules and procedures but only to the extent of Program Work and as required by the PLA.
- (3) Authorizes the parties to such local trust agreements to appoint trustees and successor trustees to administer the trust funds and hereby ratifies and accepts the trustees so appointed as if made by the Contractor but only to the extent of Program Work as required by the PLA.
- (4) Certifies that it has no commitments or agreements that would preclude its full and complete compliance with the terms and conditions of said Agreement. The Contractor agrees to employ labor that can work in harmony with all other labor on the Project and shall require labor harmony from every lower tier subcontractor it has engaged or may engage to work on the Project. Labor harmony disputes/issues shall be subject to the Labor Management Committee provisions.
- (5) Agrees to secure from any Contractor(s) (as defined in said Agreement) which is or becomes a Subcontractor (of any tier), to it, a duly executed Agreement to be Bound in from identical to this document.

Provide description of the Work, identify craft jurisdiction(s) and all contract numbers below:

Local Union: N/A

Description of Work: (MEDIUM GC PQL) HOLLIS LIBRARY INTERIOR RENOVATION

HVAC Scope of Work

Contract Number(s): PROJECT ID#: LQD122HO1 PIN#: 85023B0067

2020 NYC AGENCY NEW CONSTRUCTION PROJECT LABOR AGREEMENT

Dated: 9/27/23

Stalco Construction, Inc.
(Name of CM; GC; Contractor or
Higher Level Subcontractor)

[Signature]
(Signature)

Shona Mechanical Inc.
(Name of Contractor or subcontractor)

[Signature] Kevin John O'Reilly
(Authorized Officer & Title) President

420 Peconic St., Ronkonkoma, NY 11779
(Address)

631-675-0609 / 631-675-1658
(Phone) (Fax)

Contractor's State License
N/A

Sworn to before me this
27th day of September, 2023

[Signature]
Notary Public

EUNICE CALABIO
NOTARY PUBLIC-STATE OF NEW YORK
No. 01CA8377002
Qualified in Suffolk County
My Commission Expires 08-28-2026

2020 NYC AGENCY NEW CONSTRUCTION PROJECT LABOR AGREEMENT

Exhibit A

Project Labor Agreement - Letter of Assent

Dear: _____

The undersigned party confirms that it agrees to be a party to and be bound by the New York Agency, Project Labor Agreement as such Agreement may, from time to time, be amended by the parties or interpreted pursuant to its terms. The terms of the Project Labor Agreement, its Schedules, Addenda and Exhibits are hereby incorporated by reference herein.

The undersigned, as a Contractor or Subcontractor (hereinafter Contractor) on the Project known as the NYC Agency Renovation and located at Hollis Library Interior Renovations (hereinafter PROJECT), for and in consideration of the award to it of a contract to perform work on said PROJECT, and in further consideration of the mutual promises made in the Project Labor Agreement, a copy of which was received and is acknowledged, hereby:

- (1) Accepts and agrees to be bound by the terms and conditions of the Agreement, together with any and all schedules; amendments and supplements now existing or which are later made thereto;
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- (3) Authorizes the parties to such local trust agreements to appoint trustees and successor trustees to administer the trust funds and hereby ratifies and accepts the trustees so appointed as if made by the Contractor but only to the extent of Program Work as required by the PLA.
- (4) Certifies that it has no commitments or agreements that would preclude its full and complete compliance with the terms and conditions of said Agreement. The Contractor agrees to employ labor that can work in harmony with all other labor on the Project and shall require labor harmony from every lower tier subcontractor it has engaged or may engage to work on the Project. Labor harmony disputes/issues shall be subject to the Labor Management Committee provisions.
- (5) Agrees to secure from any Contractor(s) (as defined in said Agreement) which is or becomes a Subcontractor (of any tier), to it, a duly executed Agreement to be Bound in from identical to this document.

Provide description of the Work, identify craft jurisdiction(s) and all contract numbers below:

Local Union: 3

Description of Work: (MEDIUM GC PQL) HOLLIS LIBRARY INTERIOR RENOVATION

Contract Number(s): PROJECT ID#: LQD122HO1 PIN#: 85023B0067

2020 NYC AGENCY NEW CONSTRUCTION PROJECT LABOR AGREEMENT

Dated: 10-2-23

Stalco Construction, Inc.
(Name of CM; GC; Contractor or
Higher Level Subcontractor)

(Signature)

OM Electrical Contracting Services Corp.
(Name of Contractor or subcontractor)

AUS President AUS
(Authorized Officer & Title)

144-34 Jamaica Ave., Jamaica, NY 11435
(Address)

646-643-7741 /
(Phone) (Fax)

Contractor's State License
12905

Sworn to before me this
____ day of _____,

Notary Public

Subscribed and sworn to before me
this 2nd day of October 2023
Personally appeared before me
Andrew W. Vindas
bearing the following identification:
NEW YORK State Drivers License

Shawna E Hand





NEW YORK CONSTRUCTION CERTIFICATE OF LIABILITY INSURANCE ADDENDUM

DATE (MM/DD/YYYY) 02/01/2024

THIS ADDENDUM SUMMARIZES SOME OF THE POLICY PROVISIONS IN THE REFERENCED INSURANCE POLICIES AND IS ISSUED AS A MATTER OF INFORMATION ONLY; IT CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. ALL TERMS, EXCLUSIONS AND CONDITIONS IN THE ACTUAL POLICY SHOULD BE CONSULTED FOR A MORE DETAILED ANALYSIS OF COVERAGE, AS THIS ADDENDUM DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES.

AGENCY AssuredPartners Northeast, LLC.		NAMED INSURED(S) Stalco Construction Inc	
POLICY NUMBER 7015379257	EFFECTIVE DATE 02/01/2024	CARRIER National Fire Insurance Company of Hartford	NAIC CODE 20478

ADDENDUM INFORMATION CERTIFICATE NUMBER: REVISION NUMBER:

A. Insurer

- Admitted / authorized
- Excess line or free trade zone

B. General Liability (GL) policy form

- ISO / ISO modified
- Other

C. Specific operations excluded or restricted (GL policy)

- Location: _____
- Type of construction: Exterior Finish System Products/Completed Operations Exclusion Endorsement - New York
- Building height: _____
- Classifications [see attached declarations / endorsement]
- Designated work [see attached endorsement]

D. Additional insured endorsement (GL policy)

- CG 20 10 CG 20 26 CG 20 32 CG 20 33 CG 20 37 CG 20 38
- Other: # CNA75079XX Title: Blanket additional insured-Owners , Lessees or Contractors - with products completed operations

E. According to the terms of this GL policy, the additional insured has primary and noncontributory coverage

- Yes No and no other option is available with this insurer

F. Additional insured will receive advance notice if insurer cancels (GL policy)

- Yes No and no other option is available with this insurer

G. Blanket contractual liability located in the "insured contract" definition (Section V, Number 9, Item f. in the ISO CGL policy) is removed or restricted

- Yes and no other option is available with this insurer No changes made

H. "Insured contract" exception to the employers liability exclusion is removed or modified (GL policy)

- Yes and no other option is available with this insurer No changes made

I. GL policy (including endorsements) does not cover the additional insured for claims involving injury to employees of the named insured or subcontractors (not workers' compensation)

- Yes and no other option is available with this insurer No changes made

J. Earth movement, excavation or explosion / collapse / underground property damage is excluded or restricted (GL policy)

Yes and no other option is available with this insurer No changes made

K. Insured vs. insured suits (cross liability in the ISO CGL policy) are excluded or restricted (other than named insured vs. named insured)

Yes and no other option is available with this insurer No changes made

L. Property damage to work performed by subcontractors (exception to the "damage to your work" exclusion in the ISO CGL policy) is excluded or restricted

Yes and no other option is available with this insurer No changes made

M. Excess / umbrella policy is primary and non-contributory for additional insureds

Yes, by specific policy provision Yes, by endorsement No and no other option is available with this insurer



AUTHORIZED REPRESENTATIVE SIGNATURE

02/01/2024

DATE (MM/DD/YYYY)



CERTIFICATE OF NYS WORKERS' COMPENSATION INSURANCE COVERAGE

1a. Legal Name & Address of Insured (use street address only)
STALCO CONSTRUCTION INC
1316 MOTOR PARKWAY
ISLANDIA, NY 11749
1b. Business Telephone Number of Insured
6312546767
1c. NYS Unemployment Insurance Employer Registration Number of Insured
1d. Federal Employer Identification Number of Insured or Social Security Number
11-3149290
2. Name and Address of Entity Requesting Proof of Coverage
The City of New York
Department of Design and Construction
30-30 Thomson Avenue
Long Island City, New York 11101-3045
3a. Name of Insurance Carrier
American Cas. Co. of Reading
3b. Policy Number of Entity Listed in Box "1a"
7017815909
3c. Policy effective period
02/01/2024 to 02/01/2025
3d. The Proprietor, Partners or Executive Officers are
[X] all excluded or certain partners/officers excluded.

This certifies that the insurance carrier indicated above in box "3" insures the business referenced above in box "1a" for workers' compensation under the New York State Workers' Compensation Law. (To use this form, New York (NY) must be listed under Item 3A on the INFORMATION PAGE of the workers' compensation insurance policy). The Insurance Carrier or its licensed agent will send this Certificate of Insurance to the entity listed above as the certificate holder in box "2".

The insurance carrier must notify the above certificate holder and the Workers' Compensation Board within 10 days IF a policy is canceled due to nonpayment of premiums or within 30 days IF there are reasons other than nonpayment of premiums that cancel the policy or eliminate the insured from the coverage indicated on this Certificate. (These notices may be sent by regular mail.) Otherwise, this Certificate is valid for one year after this form is approved by the insurance carrier or its licensed agent, or until the policy expiration date listed in box "3c", whichever is earlier.

This certificate is issued as a matter of information only and confers no rights upon the certificate holder. This certificate does not amend, extend or alter the coverage afforded by the policy listed, nor does it confer any rights or responsibilities beyond those contained in the referenced policy.

This certificate may be used as evidence of a Workers' Compensation contract of insurance only while the underlying policy is in effect.

Please Note: Upon cancellation of the workers' compensation policy indicated on this form, if the business continues to be named on a permit, license or contract issued by a certificate holder, the business must provide that certificate holder with a new Certificate of Workers' Compensation Coverage or other authorized proof that the business is complying with the mandatory coverage requirements of the New York State Workers' Compensation Law.

Under penalty of perjury, I certify that I am an authorized representative or licensed agent of the insurance carrier referenced above and that the named insured has the coverage as depicted on this form.

Approved by: Phil Coletta
(Print name of authorized representative or licensed agent of insurance carrier)
Approved by: [Signature] 6/11/2024
(Signature) (Date)
Title: President

Telephone Number of authorized representative or licensed agent of insurance carrier: 631-465-4000

Please Note: Only insurance carriers and their licensed agents are authorized to issue Form C-105.2. Insurance brokers are NOT authorized to issue it.

Workers' Compensation Law

Section 57. Restriction on issue of permits and the entering into contracts unless compensation is secured.

1. The head of a state or municipal department, board, commission or office authorized or required by law to issue any permit for or in connection with any work involving the employment of employees in a hazardous employment defined by this chapter, and notwithstanding any general or special statute requiring or authorizing the issue of such permits, shall not issue such permit unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that compensation for all employees has been secured as provided by this chapter. Nothing herein, however, shall be construed as creating any liability on the part of such state or municipal department, board, commission or office to pay any compensation to any such employee if so employed.
2. The head of a state or municipal department, board, commission or office authorized or required by law to enter into any contract for or in connection with any work involving the employment of employees in a hazardous employment defined by this chapter, notwithstanding any general or special statute requiring or authorizing any such contract, shall not enter into any such contract unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that compensation for all employees has been secured as provided by this chapter.

CERTIFICATE OF INSURANCE COVERAGE

NYS DISABILITY AND PAID FAMILY LEAVE BENEFITS LAW

PART 1. To be completed by NYS disability and Paid Family Leave benefits carrier or licensed insurance agent of that carrier

<p>1a. Legal Name & Address of Insured (use street address only) STALCO CONSTRUCTION INC. 1316 MOTOR PARKWAY ISLANDIA, NY 11749</p> <p><small>Work Location of Insured (Only required if coverage is specifically limited to certain locations in New York State, i.e., Wrap-Up Policy)</small></p>	<p>1b. Business Telephone Number of Insured 6312546767</p> <p>1c. Federal Employer Identification Number of Insured or Social Security Number 11-3149290</p>
<p>2. Name and Address of Entity Requesting Proof of Coverage (Entity Being Listed as the Certificate Holder) The City of New York Department of Design & Construction 30-30 Thomson Avenue Long Island City, NY 11101-3045</p>	<p>3a. Name of Insurance Carrier Standard Security Life Insurance Company of New York</p> <p>3b. Policy Number of Entity Listed in Box 1a 74524-00</p> <p>3c. Policy Effective Period <u>4/1/2016</u> to <u>6/10/2025</u></p>

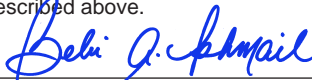
4. Policy provides the following benefits:

A. Both disability and Paid Family Leave benefits.
 B. Disability benefits only.
 C. Paid Family Leave benefits only.

5. Policy covers:

A. All of the employer's employees eligible under the NYS Disability and Paid Family Leave Benefits Law.
 B. Only the following class or classes of employer's employees:

Under penalty of perjury, I certify that I am an authorized representative or licensed agent of the insurance carrier referenced above and that the named insured has NYS disability and/or Paid Family Leave benefits insurance coverage as described above.

Date Signed 6/11/2024 By 
(Signature of insurance carrier's authorized representative or NYS licensed insurance agent of that insurance carrier)

Telephone Number (212) 355-4141 Name and Title SUPERVISOR-DBL/POLICY SERVICES

IMPORTANT: If Boxes 4A and 5A are checked, and this form is signed by the insurance carrier's authorized representative or NYS Licensed Insurance Agent of that carrier, this certificate is COMPLETE. Mail it directly to the certificate holder.

If Box 4B, 4C or 5B is checked, this certificate is NOT COMPLETE for purposes of Section 220, Subd. 8 of the NYS Disability and Paid Family Leave Benefits Law. It must be emailed to PAU@wcb.ny.gov or it can be mailed for completion to the Workers' Compensation Board, Plans Acceptance Unit, PO Box 5200, Binghamton, NY 13902-5200.

PART 2. To be completed by the NYS Workers' Compensation Board (Only if Box 4B, 4C or 5B of Part 1 has been checked)

State of New York Workers' Compensation Board

According to information maintained by the NYS Workers' Compensation Board, the above-named employer has complied with the NYS Disability and Paid Family Leave Benefits Law (Article 9 of the Workers' Compensation Law) with respect to all of their employees.

Date Signed _____ By _____
(Signature of Authorized NYS Workers' Compensation Board Employee)

Telephone Number _____ Name and Title _____

Please Note: Only insurance carriers licensed to write NYS disability and Paid Family Leave benefits insurance policies and NYS licensed insurance agents of those insurance carriers are authorized to issue Form DB-120.1. **Insurance brokers are NOT authorized to issue this form.**



Additional Instructions for Form DB-120.1

By signing this form, the insurance carrier identified in Box 3 on this form is certifying that it is insuring the business referenced in Box 1a for disability and/or Paid Family Leave benefits under the NYS Disability and Paid Family Leave Benefits Law. The insurance carrier or its licensed agent will send this Certificate of Insurance Coverage (Certificate) to the entity listed as the certificate holder in Box 2.

The insurance carrier must notify the above certificate holder and the Workers' Compensation Board within 10 days IF a policy is cancelled due to nonpayment of premiums or within 30 days IF there are reasons other than nonpayment of premiums that cancel the policy or eliminate the insured from coverage indicated on this Certificate. (These notices may be sent by regular mail.) Otherwise, this Certificate is valid for one year after this form is approved by the insurance carrier or its licensed agent, or until the policy expiration date listed in Box 3c, whichever is earlier.

This certificate is issued as a matter of information only and confers no rights upon the certificate holder. This certificate does not amend, extend or alter the coverage afforded by the policy listed, nor does it confer any rights or responsibilities beyond those contained in the referenced policy.

This Certificate may be used as evidence of a NYS disability and/or Paid Family Leave benefits contract of insurance only while the underlying policy is in effect.

Please Note: Upon the cancellation of the disability and/or Paid Family Leave benefits policy indicated on this form, if the business continues to be named on a permit, license or contract issued by a certificate holder, the business must provide that certificate holder with a new Certificate of Insurance Coverage for NYS disability and/or Paid Family Leave Benefits or other authorized proof that the business is complying with the mandatory coverage requirements of the NYS Disability and Paid Family Leave Benefits Law.

NYS DISABILITY AND PAID FAMILY LEAVE BENEFITS LAW

§220. Subd. 8

(a) The head of a state or municipal department, board, commission or office authorized or required by law to issue any permit for or in connection with any work involving the employment of employees in employment as defined in this article, and notwithstanding any general or special statute requiring or authorizing the issue of such permits, shall not issue such permit unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that the payment of disability benefits and after January first, two thousand and twenty-one, the payment of family leave benefits for all employees has been secured as provided by this article. Nothing herein, however, shall be construed as creating any liability on the part of such state or municipal department, board, commission or office to pay any disability benefits to any such employee if so employed.

(b) The head of a state or municipal department, board, commission or office authorized or required by law to enter into any contract for or in connection with any work involving the employment of employees in employment as defined in this article and notwithstanding any general or special statute requiring or authorizing any such contract, shall not enter into any such contract unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that the payment of disability benefits and after January first, two thousand eighteen, the payment of family leave benefits for all employees has been secured as provided by this article.



Additional Insured - Owners, Lessees or Contractors - Completed Operations Endorsement

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART
PRODUCTS/COMPLETED OPERATIONS LIABILITY COVERAGE PART

SCHEDULE
Name Of Additional Insured Person(s) Or Organization(s)
The City of New York Department of Design and Construction, NYC DDC In.House Design Studio, City of New York, including its officials and employees, The Queens Borough Library and the Library Board of Trustees
Location And Description Of Completed Operations

Information required to complete this Schedule, if not shown above, will be shown in the Declarations.

000022

0002009997073003026886892518





**Additional Insured - Owners, Lessees or Contractors -
Completed Operations Endorsement**

It is understood and agreed as follows:

A. Section II – Who Is An Insured is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for **bodily injury** or **property damage** caused, in whole or in part, by **your work** at the location designated and described in the Schedule of this endorsement performed for that additional insured and included in the **products-completed operations hazard**.

However:

1. The insurance afforded to such additional insured only applies to the extent permitted by law; and
2. If coverage provided to the additional insured is required by a contract or agreement, the insurance afforded to such additional insured will not be broader than that which you are required by the contract or agreement to provide for such additional insured.

B. With respect to the insurance afforded to these additional insureds, the following is added to Section III – Limits Of Insurance:

If coverage provided to the additional insured is required by a contract or agreement, the most we will pay on behalf of the additional insured is the amount of insurance:

1. Required by the contract or agreement; or
2. Available under the applicable Limits of Insurance shown in the Declarations;

whichever is less.

This endorsement shall not increase the applicable Limits of Insurance shown in the Declarations.



**Additional Insured - Owners, Lessees or Contractors -
Scheduled Person or Organization Endorsement**

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

SCHEDULE

Name Of Additional Insured Person(s) Or Organization(s)

The City of New York Department of Design and Construction, NYC DDC In.House Design Studio, City of New York, including its officials and employees, The Queens Borough Library and the Library Board of Trustees

Location(s) Of Covered Operations

Information required to complete this Schedule, if not shown above, will be shown in the Declarations.

It is understood and agreed as follows:

000022

000206987073003026886982511





**Additional Insured - Owners, Lessees or Contractors -
Scheduled Person or Organization Endorsement**

A. Section II – Who Is An Insured is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for **bodily injury, property damage or personal and advertising injury** caused, in whole or in part, by:

1. Your acts or omissions; or
2. The acts or omissions of those acting on your behalf;

in the performance of your ongoing operations for the additional insured(s) at the location(s) designated above.

However:

1. The insurance afforded to such additional insured only applies to the extent permitted by law; and
2. If coverage provided to the additional insured is required by a contract or agreement, the insurance afforded to such additional insured will not be broader than that which you are required by the contract or agreement to provide for such additional insured.

B. With respect to the insurance afforded to these additional insureds, the following additional exclusions apply:

This insurance does not apply to **bodily injury or property damage** occurring after:

1. All work, including materials, parts or equipment furnished in connection with such work, on the project (other than service, maintenance or repairs) to be performed by or on behalf of the additional insured(s) at the location of the covered operations has been completed; or
2. That portion of **your work** out of which the injury or damage arises has been put to its intended use by any person or organization other than another contractor or subcontractor engaged in performing operations for a principal as a part of the same project.

C. With respect to the insurance afforded to these additional insureds, the following is added to Section III – Limits Of Insurance:

If coverage provided to the additional insured is required by a contract or agreement, the most we will pay on behalf of the additional insured is the amount of insurance:

1. Required by the contract or agreement; or
2. Available under the applicable Limits of Insurance shown in the Declarations;

whichever is less.

This endorsement shall not increase the applicable Limits of Insurance shown in the Declarations.



ADDITIONAL REMARKS SCHEDULE

AGENCY AssuredPartners Northeast, LLC.		NAMED INSURED STALCO CONSTRUCTION INC.	
POLICY NUMBER		EFFECTIVE DATE:	
CARRIER	NAIC CODE		

ADDITIONAL REMARKS

THIS ADDITIONAL REMARKS FORM IS A SCHEDULE TO ACORD FORM,

FORM NUMBER: 25 **FORM TITLE:** Certificate of Liability Insurance

General Liability, auto, and umbrella coverage apply on a primary and non-contributory basis, with a waiver of subrogation in favor of additional insured's



AGENCY CUSTOMER ID: _____

LOC #: _____

ADDITIONAL REMARKS SCHEDULE

Page ____ of ____

AGENCY AssuredPartners Northeast, LLC.		NAMED INSURED STALCO CONSTRUCTION INC.	
POLICY NUMBER			
CARRIER	NAIC CODE	EFFECTIVE DATE:	

ADDITIONAL REMARKS

THIS ADDITIONAL REMARKS FORM IS A SCHEDULE TO ACORD FORM,

FORM NUMBER: 25 **FORM TITLE:** Certificate of Liability Insurance: Notes

Insurer (F) Westchester Surplus Lines Ins. NAIC #10172 Policy #G70969075 005 Practice Pollution Liability \$2,000,000 Occurrence/ \$2,000,000 Aggregate 10K Retention Effective 02/01/2024 to 02/01/2025
 Insurer (G) Hartford Fire Insurance Company NAIC #19682 Policy #12UUMBJ2176 Blanket Leased Equipment \$250,000 Effective 04/02/2023 to 04/02/2024
 Insurer (H) Admiral Insurance Company NAIC #24856
 Policy #DEP1639325P7 Employment Practices Liability limit \$1,000,000 effective 02/01/2024 to 02/01/2025

PERFORMANCE BOND #1

Performance Bond #1 (4 Pages): Use if the total contract price is \$5 Million Or Less. Performance Bond #1 has been approved by the U.S. Small Business Administration (“SBA”)for participation in its Bond Guarantee Program.

PERFORMANCE BOND #1 (Page 1)

KNOW ALL PERSONS BY THESE PRESENTS;

That we, _____

hereinafter referred to as the “Principal,”

and, _____

hereinafter referred to as the “Surety” (“Sureties”) are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the “City” or to its successors and assigns in the penal sum of _____

(\$ _____) Dollars, lawful money of the United States for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full; **NOW, THEREFORE**, the conditions of this obligation are such that if the Principal, his or its representatives or assigns, shall well and faithfully perform the said Contract and all modifications, amendments, additions and alterations thereto that may hereafter be made, according to its terms and its true intent and meaning, including repair and or replacement of defective work and guarantees of maintenance for the periods stated in the Contract, and shall fully indemnify and save harmless the City from all cost and damage which it may suffer by reason of the Principal’s default of the Contract, and shall fully reimburse and repay the City for all outlay and expense which the City may incur in making good any such default and shall protect the said City of New York against, and pay any and all amounts, damages, cost and judgments which may or shall be recovered against said City or its officers or agents or which the said City of New York may be called upon to pay any person or corporation by reason of any damages arising or growing out of the Principal’s default of the Contract, then this obligation shall be null and void, otherwise to remain in full force and effect.

PERFORMANCE BOND #1 (Page 2)

The Surety (Sureties), for value received, hereby stipulates and agrees, upon written notice from the City that the City has determined that the Principal is in default of the Contract, to (1) pay the City the cost to complete the contract as determined by the City in excess of the balance of the Contract held by the City, plus any damages or costs to which the City is entitled, up to the full amount of the above penal sum, (2) fully perform and complete the Work to be performed under the Contract, pursuant to the terms, conditions, and covenants thereof, or (3) tender a completion Contractor that is acceptable to the City. The Surety (Sureties) further agrees, at its option, either to notify the City that it elects to pay the city the cost of completion plus any applicable damages and costs under option (1) above, or to commence and diligently perform the Work specified in the Contract, including physical site work, within twenty-five (25) business days after written notice thereof from the City and, if the Surety elects to fully perform and complete the Work, then to complete all Work within the time set forth in the Contract or such other time as agreed to between the City and Surety in accordance with the Contract. If the Surety elects to tender payment pursuant to (1) above, then the Surety shall tender such amount within fifteen (15) business days notification from the City of the cost of completion. The Surety and the City reserve all rights and defenses each may have against the other; provided, however, that the Surety expressly agrees that its reservation of rights shall not provide a basis for non-performance of its obligation to pay the City the cost of completion, to commence and complete all Work as provided herein, or to tender a completion contractor.

The Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties) and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or to the said Contract or the Work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or any moneys due or to become due thereunder; and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, and waivers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to subcontractors shall have the same effect as to said Surety (Sureties) as though done or omitted to be done by or in relation to said Principal. Notwithstanding the above, if the City makes payments to the Principal before the time required by the contract that in the aggregate exceed \$100,000 or 10% of the Contract price, whichever is less, and that have not become earned prior to the Principal being found to be in default, then all payments made to the Principal before the time required by the Contract shall be added to the remaining contract value available to be paid for the completion of the Contract as if such sums had not been paid to the Principal, but shall not provide a basis for non-performance of its obligation to pay the City the cost of completion, to commence and to complete all Work as provided herein, or to tender a completion contractor.

PERFORMANCE BOND #1 (Page 3)

IN WITNESS WHEREOF, The Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this

_____ day of _____, 20_____(Seal)

_____(L.S.)
Principal

(Seal)

By: _____
Surety

By: _____

(Seal)

Surety

By: _____

(Seal)

Surety

By: _____

(Seal)

Surety

By: _____

(Seal)

Surety

By: _____

Bond Premium Rate _____

Bond Premium Cost _____

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.

ACKNOWLEDGMENT OF PRINCIPAL IF A CORPORATION

State of _____ County of _____ ss:

On this _____ day of _____, 20 _____ before me personally came _____, to me known, who, being by me duly sworn did depose and say that he/she resides at _____; that he/she is the _____ of the corporation described in and which executed the foregoing instrument; and that he/she signed his/her name to the foregoing instrument by order of the directors of said corporation as the duly authorized and binding act thereof.

Notary Public or Commissioner of Deeds.

ACKNOWLEDGMENT OF PRINCIPAL IF A PARTNERSHIP

State of _____ County of _____ ss:

On this _____ day of _____, 20 _____ before me personally came _____, to me known, who, being by me duly sworn did depose and say that he/she resides at _____ partner of _____, a limited/general partnership existing under the laws of the State of _____, the partnership described in and which executed the foregoing instrument; and that he/she signed his/her name to the foregoing instrument as the duly authorized and binding act of said partnership.

Notary Public or Commissioner of Deeds.

ACKNOWLEDGMENT OF PRINCIPAL IF AN INDIVIDUAL

State of _____ County of _____ ss:

On this _____ day of _____, 20 _____ before me personally came _____, to me known, who, being by me duly sworn did depose and say that he/she resides at _____, and that he/she is the individual whose name is subscribed to the within instrument and acknowledged to me that by his/her signature on the instrument, said individual executed the instrument.

Notary Public or Commissioner of Deeds

Each executed bond should be accompanied by: (a) appropriate acknowledgments of the respective parties; (b) appropriate duly certified copy of Power of Attorney or other certificate of authority where bond is executed by agent, officer or other representative of Principal or Surety; (c) a duly certified extract from By-Laws or resolutions of Surety under which Power of Attorney or other certificate of authority of its agent, officer or representative was issued, and (d) certified copy of latest published financial statement of assets and liabilities of Surety.

Affix Acknowledgments and Justification of Sureties.

PERFORMANCE BOND #2

Performance Bond #2 (4 pages): Use if the total contract price is more than \$5 Million.

PERFORMANCE BOND #2 (Page 1)

PERFORMANCE BOND #2KNOW ALL PERSONS BY THESE PRESENTS.,

That we, Stalco Construction, Inc.

hereinafter referred to as the "Principal,"
and, Endurance Assurance Corporation

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns in the penal sum of Six Million One Hundred Thousand and 00/100

(\$ 6,100,000.00) Dollars, lawful money of the United States for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for

Hollis Library Interior Renovation, 202-05 Hillside Avenue, Hollis, NY 11423 - Contract No. 1 - General Construction Work

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full;

NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or its representatives or assigns, shall well and faithfully perform the said Contract and all modifications, amendments, additions and alterations thereto that may hereafter be made, according to its terms and its true intent and meaning, including repair and or replacement of defective work and guarantees of maintenance for the periods stated in the Contract, and shall fully indemnify and save harmless the City from all cost and damage which it may suffer by reason of the Principal's default of the Contract, and shall fully reimburse and repay the City for all outlay and expense which the City may incur in making

good any such default and shall protect the said City of New York against, and pay any and all amounts, damages, cost and judgments which may or shall be recovered against said City or its officers or agents or which the said City of New York may be called upon to pay any person or corporation by reason of any damages arising or growing out of the Principal's default of the Contract, then this obligation shall be null and void, otherwise to remain in full force and effect.

PERFORMANCE BOND #2 (Page 2)

The Surety (Sureties), for value received, hereby stipulates and agrees, upon written notice from the City that the City has determined that the Principal is in default of the Contract, to either (1) pay the full amount of the above penal sum in complete discharge and exoneration of this bond and of all the liabilities of the Surety relating to this bond, or (2) fully perform and complete the Work to be performed under the Contract, pursuant to the terms, conditions, and covenants thereof. The Surety (Sureties) further agrees, at its option, either to tender the penal sum or to commence and diligently perform the Work specified in the Contract, including physical site work, within twenty-five (25) business days after written notice thereof from the City and to complete all Work within the time set forth in the Contract or such other time as agreed to between the City and Surety in accordance with the Contract. The Surety and the City reserve all rights and defenses each may have against the other; provided, however, that the Surety expressly agrees that its reservation of rights shall not provide a basis for non-performance of its obligation to commence and to complete all Work as provided herein.

The Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties) and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or to the said Contract or the Work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any Work to be performed or any moneys due or to become due thereunder; and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, subcontractors, and other transferees shall have the same effect as to said Surety (Sureties) as though done or omitted to be done by or in relation to said Principal.

PERFORMANCE BOND #2 (Page 3)

IN WITNESS WHEREOF, The Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this

12th day of June 2024


(Seal)

Stalco Construction, Inc. (L.S.)

Principal

(Seal)

By:



Surety
Endurance Assurance Corporation

By: Katherine Acosta
Katherine Acosta, Attorney-In-Fact

Surety

(Seal)

By: _____

Surety

(Seal)

By: _____

Surety

(Seal)

By: _____

Surety

(Seal)

By: _____

Bond Premium Rate \$14.40/M Sliding Scale Rate

Bond Premium Cost \$48,780.00

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.

ACKNOWLEDGMENT OF PRINCIPAL IF A CORPORATION

State of New York County of Suffolk ss:

On this 12th day of June, 20 2A before me personally came Joseph Serre,

to me known, who, being by me duly sworn did depose and say that he resides at Sayville, New York; that he/she is the Vice President of the corporation described in and which executed the foregoing instrument; that he/she signed his/her name to the foregoing instrument by order of the directors of said corporation as the duly authorized and binding act thereof.


Notary Public or Commissioner of Deeds.

KRYSTIE RUOTOLO
NOTARY PUBLIC, STATE OF NEW YORK
Registration No. 01RU6381903
Qualified in Suffolk County
Commission Expires Oct. 15, 2020

ACKNOWLEDGMENT OF PRINCIPAL IF A PARTNERSHIP

State of _____ County of _____ ss:

On this _____ day of _____, 20 _____ before me personally came _____,

to me known, who, being by me duly sworn did depose and say that he/she resides at _____; that he/she is _____ partner of _____, a limited/general partnership existing under the laws of the State of _____, the partnership described in and which executed the foregoing instrument; and that he/she signed his/her name to the foregoing instrument as the duly authorized and binding act of said partnership.

Notary Public or Commissioner of Deeds

ACKNOWLEDGMENT OF PRINCIPAL IF AN INDIVIDUAL

State of _____ County of _____ ss:

On this _____ day of _____, 20 _____ before me personally came _____,

to me known, who, being by me duly sworn did depose and say that he/she resides at _____, and that he/she is the individual whose name is subscribed to the within instrument and acknowledged to me that by his/her signature on the instrument, said individual executed the instrument.

Notary Public or Commissioner of Deeds

Each executed bond should be accompanied by: (a) appropriate acknowledgments of the respective parties; (b) appropriate duly certified copy of Power of Attorney or other certificate of authority where bond is executed by agent, officer or other representative of Principal or Surety; (c) a duly certified extract from By-Laws or resolutions of Surety under which Power of Attorney or other certificate of authority of its agent, officer or representative was issued, and (d) certified copy of latest published financial statement of assets and liabilities of Surety.

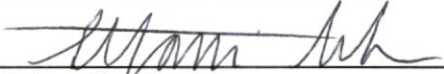
Affix Acknowledgments and Justification of Sureties.

ACKNOWLEDGMENT OF SURETY COMPANY

STATE OF New York }

COUNTY OF Nassau }

On this June 12, 2024, before me personally came Katherine Acosta to me known, who, being by me duly sworn, did depose and say; that he/she resides in Suffolk County, State of New York that he/she is the Attorney-In-Fact of the Endurance Assurance Corporation the corporation described in which executed the above instrument; that he/she knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the Board of Directors of said corporation; and that he/she signed his/her name thereto by like order; and the affiant did further depose and say that the Superintendent of Insurance of the State of New York, has pursuant to Section 1111 of the Insurance Law of the State of New York, issued to Endurance Assurance Corporation (Surety) his/her certificate of qualification evidencing the qualification of said Company and its sufficiency under any law of the State of New York as surety and guarantor, and the propriety of accepting and approving is as such; and that such certificate has not been revoked.



Notary Public

NY acknowledgement

STEFANIE WEBER
Notary Public, State of New York
Registration No. 01WE6432035
Qualified in Nassau County
Commission Expires April 25, 2026

KNOW ALL BY THESE PRESENTS, that **Endurance Assurance Corporation**, a Delaware corporation ('EAC'), **Endurance American Insurance Company**, a Delaware corporation ('EAIC'), **Lexon Insurance Company**, a Texas corporation ('LIC'), and/or **Bond Safeguard Insurance Company**, a South Dakota corporation ('BSIC'), each, a "Company" and collectively, "**Sompo International**," do hereby constitute and appoint: **Camille Maitland, Colette R. Chisholm, Dana Granice, Desiree Cardlin, George O. Brewster, Gerard S. Macholz, Karolynne Ramirez, Katherine Acosta, Lee Ferrucci, Michelle Wannamaker, Nelly Renchiwich, Robert T. Pearson, Susan Lupski, Thomas Bean, Vincent A. Walsh**

as true and lawful Attorney(s)-In-Fact to make, execute, seal, and deliver for, and on its behalf as surety or co-surety; bonds and undertakings given for any and all purposes, also to execute and deliver on its behalf as aforesaid renewals, extensions, agreements, waivers, consents or stipulations relating to such bonds or undertakings provided, however, that no single bond or undertaking so made, executed and delivered shall obligate the Company for any portion of the penal sum thereof in excess of the sum of **One Hundred Million (\$100,000,000.00)**

Such bonds and undertakings for said purposes, when duly executed by said attorney(s)-in-fact, shall be binding upon the Company as fully and to the same extent as if signed by the President of the Company under its corporate seal attested by its Corporate Secretary.

This appointment is made under and by authority of certain resolutions adopted by the board of directors of each Company by unanimous written consent effective the 30th day of March, 2023 for BSIC and LIC and the 17th day of May, 2023 for EAC and EAIC, a copy of which appears below under the heading entitled "Certificate".

This Power of Attorney is signed and sealed by facsimile under and by authority of the following resolution adopted by the board of directors of each Company by unanimous written consent effective the 30th day of March, 2023 for BSIC and LIC and the 17th day of May, 2023 for EAC and EAIC and said resolution has not since been revoked, amended or repealed:

RESOLVED, that the signature of an individual named above and the seal of the Company may be affixed to any such power of attorney or any certificate relating thereto by facsimile, and any such power of attorney or certificate bearing such facsimile signature or seal shall be valid and binding upon the Company in the future with respect to any bond or undertaking to which it is attached.

IN WITNESS WHEREOF, each Company has caused this instrument to be signed by the following officers, and its corporate seal to be affixed this 25th day of May, 2023.

Endurance Assurance Corporation

Endurance American Insurance Company

Lexon Insurance Company

Bond Safeguard Insurance Company

Richard M Appel

Richard M Appel

Richard M Appel

Richard M Appel

By:
Richard Appel; SVP & Senior Counsel

By:
Richard Appel; SVP & Senior Counsel

By:
Richard Appel; SVP & Senior Counsel

By:
Richard Appel; SVP & Senior Counsel



ACKNOWLEDGEMENT

On this 25th day of May, 2023, before me, personally came the above signatories known to me, who being duly sworn, did depose and say that he/she is an officer of each of the Companies; and that he executed said instrument on behalf of each Company by authority of his office under the by-laws of each Company.

Amy Taylor

By: Amy Taylor, Notary Public - My Commission Expires 3/9/27



CERTIFICATE

I, the undersigned Officer of each Company, DO HEREBY CERTIFY that:

1. That the original power of attorney of which the foregoing is a copy was duly executed on behalf of each Company and has not since been revoked, amended or modified; that the undersigned has compared the foregoing copy thereof with the original power of attorney, and that the same is a true and correct copy of the original power of attorney and of the whole thereof;
2. The following are resolutions which were adopted by the board of directors of each Company by unanimous written consent effective the 30th day of March, 2023 for BSIC and LIC and the 17th day of May, 2023 for EAC and EAIC and said resolutions have not since been revoked, amended or modified:
"RESOLVED, that each of the individuals named below is authorized to make, execute, seal and deliver for and on behalf of the Company any and all bonds, undertakings or obligations in surety or co-surety with others: RICHARD M. APPEL, MATTHEW E. CURRAN, MARGARET HYLAND, SHARON L. SIMS, CHRISTOPHER L. SPARRO,
and be it further
RESOLVED, that each of the individuals named above is authorized to appoint attorneys-in-fact for the purpose of making, executing, sealing and delivering bonds, undertakings or obligations in surety or co-surety for and on behalf of the Company."
3. The undersigned further certifies that the above resolutions are true and correct copies of the resolutions as so recorded and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal this

12th day of June, 2024

Daniel S. Lunde

By: Daniel S. Lunde, Secretary

NOTICE: U. S. TREASURY DEPARTMENT'S OFFICE OF FOREIGN ASSETS CONTROL (OFAC)

No coverage is provided by this Notice nor can it be construed to replace any provisions of any surety bond or other surety coverage provided. This Notice provides information concerning possible impact on your surety coverage due to directives issued by OFAC. **Please read this Notice carefully.**

The Office of Foreign Assets Control (OFAC) administers and enforces sanctions policy, based on Presidential declarations of "national emergency". OFAC has identified and listed numerous foreign agents, front organizations, terrorists, terrorist organizations, and narcotics traffickers as "Specially Designated Nationals and Blocked Persons". This list can be located on the United States Treasury's website - <https://www.treasury.gov/resource-center/sanctions/SDN-List>

In accordance with OFAC regulations, if it is determined that you or any other person or entity claiming the benefits of any coverage has violated U.S. sanctions law or is a Specially Designated National and Blocked Person, as identified by OFAC, any coverage will be considered a blocked or frozen contract and all provisions of any coverage provided are immediately subject to OFAC. When a surety bond or other form of surety coverage is considered to be such a blocked or frozen contract, no payments nor premium refunds may be made without authorization from OFAC. Other limitations on the premiums and payments may also apply.

Any reproductions are void.

Surety Claims Submission: LexonClaimAdministration@sompo-intl.com

Telephone: 615-553-9500 Mailing Address: Sompo International; 12890 Lebanon Road; Mount Juliet, TN 37122-2870

ENDURANCE ASSURANCE CORPORATION
Balance Sheet - Statutory - Basis
December 31, 2023

Assets:	
Bonds	\$ 8,840,516,134
Preferred stocks	14,559,098
Common stocks	2,502,912,644
Cash, cash equivalents, and short-term investments	547,214,855
Other invested assets	367,228,494
Receivables for securities	12,539,247
Total cash and invested assets	<u>12,284,970,472</u>
Agents' balances or uncollected premiums	3,639,295,463
Reinsurance recoverable on loss and loss adjustment expense payments	866,922,861
Funds held by or deposited with reinsured companies	116,173,785
Investment income due and accrued	59,715,007
Current federal and foreign income tax recoverable and interest thereon	765,086
Net deferred tax asset	194,151,100
Receivables from parent, subsidiaries and affiliates	36,069,122
Other assets	240,998,501
Total admitted assets	<u>\$ 17,439,061,397</u>
Liabilities:	
Loss and loss adjustment expenses	\$ 6,724,893,327
Reinsurance payable on paid loss and loss adjustment expenses	823,698,569
Commissions payable, contingent commissions and other similar charges	(1,662,639)
Other expenses	28,177,519
Taxes, licenses and fees (excluding federal and foreign income taxes)	27,009,410
Unearned premiums	2,749,272,278
Advanced Premium	10,285,830
Ceded reinsurance premiums payable	1,277,805,256
Funds held by company under reinsurance treaties	75,781,757
Amounts withheld or retained by company for account of others	732,081,177
Remittances and items not allocated	248,815,866
Provision for reinsurance	58,958,628
Payable to parent, subsidiaries and affiliates	172,805,784
Payable for securities	50,301,420
Other liabilities	70,939,621
Total liabilities	<u>13,049,163,803</u>
Capital and surplus:	
Common capital stock	5,000,000
Surplus notes	200,000,000
Gross paid in and contributed surplus	3,480,137,280
Unassigned funds (surplus)	703,291,886
Aggregate write-ins for special surplus funds	1,468,428
Total capital and surplus	<u>4,389,897,594</u>
Total liabilities and capital and surplus	<u>\$ 17,439,061,397</u>

I, Hana Entela, Treasurer of Endurance Assurance Corporation (the "Company") do hereby certify that to the best of my knowledge and belief, the foregoing is a full and true Statutory Statement of Admitted Assets, Liabilities, Capital and Surplus of the Company as of December 31, 2023 prepared in conformity with accounting practices prescribed or permitted by the State of Delaware Department of Insurance. The foregoing statement should not be taken as a complete statement of financial condition of the Company. Such a statement is available upon request at the Company's office located at 4 Manhattanville Road, Purchase, NY 10577.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Company at Purchase, New York.

Hana Entela

Subscribed and sworn to before me this 5th day of March, 2024

Fiona McNamara



PAYMENT BOND

Use for any contract for which a Payment Bond is required.

PAYMENT BOND (Page 1)

PAYMENT BOND

KNOW ALL PERSONS BY THESE PRESENTS, That we, Stalco Construction, Inc.

hereinafter referred to as the "Principal", and Endurance Assurance Corporation

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns, in the penal sum of

Six Million One Hundred Thousand and 00/100

(\$ 6,100,000.00) Dollars, lawful money of the United States, for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for

Hollis Library Interior Renovation, 202-05 Hillside Avenue, Hollis, NY 11423 - Contract No. 1 - General Construction Work

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full;

NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or its representatives or assigns and other Subcontractors to whom Work under this Contract is sublet and his or their successors and assigns shall promptly pay or cause to be paid all lawful claims for

(a) Wages and compensation for labor performed and services rendered by all persons engaged in the prosecution of the Work under said Contract, and any amendment or extension thereof or addition thereto, whether such persons be agents servants or employees of the Principal or any such Subcontractor, including all persons so engaged who perform the work of laborers or mechanics at or in the vicinity of the site of the Project regardless of any contractual relationship between the Principal or such Subcontractors, or his or their successors or assigns, on the one hand and such laborers or mechanics on the other, but not including office employees not regularly stationed at the site of the project; and

PAYMENT BOND (Page 2)

(b) Materials and supplies (whether incorporated in the permanent structure or not), as well as teams, fuels, oils, implements or machinery furnished, used or consumed by said Principal or any subcontractor at or in the vicinity of the site of the Project in the prosecution of the Work under said Contract and any amendment or extension thereof or addition thereto; then this obligation shall be void, otherwise to remain in full force and effect.

This bond is subject to the following additional conditions, limitations and agreements:

(a) The Principal and Surety (Sureties) agree that this bond shall be for the benefit of any materialmen or laborer having a just claim, as well as the City itself.

(b) All persons who have performed labor, rendered services or furnished materials and supplies, as aforesaid, shall have a direct right of action against the Principal and his, its or their successors and assigns, and the Surety (Sureties) herein, or against either or both or any of them and their successors and assigns. Such persons may sue in their own name, and may prosecute the suit to judgment and execution without the necessity of joining with any other persons as party plaintiff.

(c) The Principal and Surety (Sureties) agree that neither of them will hold the City liable for any judgment for costs of otherwise, obtained by either or both of them against a laborer or materialman in a suit brought by either a laborer or materialman under this bond for moneys allegedly due for performing work or furnishing material.

(d) The Surety (Sureties) or its successors and assigns shall not be liable for any compensation recoverable by an employee or laborer under the Workmen's Compensation Law.

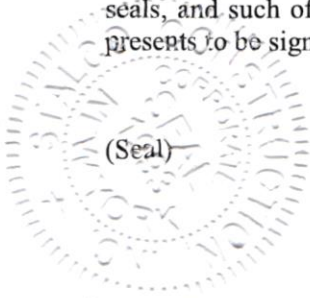
(e) In no event shall the Surety (Sureties), or its successors or assigns, be liable for a greater sum than the penalty of this bond or be subject to any suit, action or proceeding hereon that is instituted by any person, firm, or corporation hereunder later than two years after the complete performance of said Contract and final settlement thereof.

The Principal, for himself and his successors and assigns, and the Surety (Sureties), for itself and its successors and assigns, do hereby expressly waive any objection that might be interposed as to the right of the City to require a bond containing the foregoing provisions, and they do hereby further expressly waive any defense which they or either of them might interpose to an action brought hereon by any person, firm or corporation, including subcontractors, materialmen and third persons, for work, labor, services, supplies or material performed rendered, or furnished as aforesaid upon the ground that there is no law authorizing the City to require the foregoing provisions to be placed in this bond.

And the Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties), and its bonds shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or of the said Contract or the work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any part thereof, or of any Work to be performed, or any moneys due to become due thereunder and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, Subcontractors, and other transferees shall have the same effect as to said Surety (Sureties) as though done or omitted to be done or in relation to said Principal.

PAYMENT BOND (Page 3)

IN WITNESS WHEREOF, the Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this 12th day of June, 2024.



(Seal)

Stalco Construction, Inc. _____ (L.S.) Principal

By: _____

A handwritten signature in black ink, appearing to be "Stalco Construction, Inc.", written over a horizontal line.

Endurance Assurance Corporation _____ Surety

By: _____

A handwritten signature in blue ink, appearing to be "Katherine [unclear]", written over a horizontal line.

_____ Surety

By: _____

(Seal)

_____ Surety

By: _____

(Seal)

_____ Surety

By: _____

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.

ACKNOWLEDGMENT OF PRINCIPAL, IF A CORPORATION

State of New York County of Suffolk ss:

On this 12th day of June, 2024, before me personally came Josean Serpe to me known, who, being by me duly sworn did depose and say that he resides at Sayville, New York that he is the Vice President of the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that one of the seals affixed to said instrument is such seal; that it was so affixed by order of the directors of said corporation, and that he signed his name thereto by like order.

KRYSTIE RUOTOLO
NOTARY PUBLIC, STATE OF NEW YORK
Registration No. 01RU6381903
Qualified in Suffolk County
Commission Expires Oct. 15, 2026


Notary Public or Commissioner of Deeds

ACKNOWLEDGMENT OF PRINCIPAL, IF A PARTNERSHIP

State of _____ County of _____ ss:

On this _____ day of _____, _____, before me personally appeared _____ to me known, and known to me to be one of the members of the firm of _____ described in and who executed the foregoing instrument; and he acknowledged to me that he executed the same as and for the act and deed of said firm.

Notary Public or Commissioner of Deeds

ACKNOWLEDGMENT OF PRINCIPAL, IF AN INDIVIDUAL

State of _____ County of _____ ss:

On this _____ day of _____, _____, before me personally appeared _____ to me known, and known to me to be the person described in and who executed the foregoing instrument; and acknowledged that he executed the same.

Notary Public or Commissioner of Deeds

Each executed bond should be accompanied by: (a) appropriate acknowledgments of the respective parties; (b) appropriate duly certified copy of Power of Attorney or other certificate of authority where bond is executed by agent, officer or other representative of Principal or Surety; (c) a duly certified extract from By-Laws or resolutions of Surety under which Power of Attorney or other certificate of authority of its agent, officer or representative was issued, and (d) certified copy of latest published financial statement of assets and liabilities of Surety.

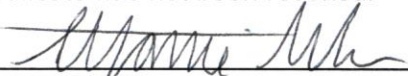
Affix Acknowledgments and Justification of Sureties.

ACKNOWLEDGMENT OF SURETY COMPANY

STATE OF New York }

COUNTY OF Nassau }

On this June 12, 2024, before me personally came Katherine Acosta to me known, who, being by me duly sworn, did depose and say; that he/she resides in Suffolk County, State of New York that he/she is the Attorney-In-Fact of the Endurance Assurance Corporation the corporation described in which executed the above instrument; that he/she knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the Board of Directors of said corporation; and that he/she signed his/her name thereto by like order; and the affiant did further depose and say that the Superintendent of Insurance of the State of New York, has pursuant to Section 1111 of the Insurance Law of the State of New York, issued to Endurance Assurance Corporation (Surety) his/her certificate of qualification evidencing the qualification of said Company and its sufficiency under any law of the State of New York as surety and guarantor, and the propriety of accepting and approving is as such; and that such certificate has not been revoked.



Notary Public

NY acknowledgement

STEFANIE WEBER
Notary Public, State of New York
Registration No. 01WE6432035
Qualified in Nassau County
Commission Expires April 25, 2028

KNOW ALL BY THESE PRESENTS, that **Endurance Assurance Corporation, a Delaware corporation ('EAC'), Endurance American Insurance Company, a Delaware corporation ('EAIC'), Lexon Insurance Company, a Texas corporation ('LIC'), and/or Bond Safeguard Insurance Company, a South Dakota corporation ('BSIC'), each, a "Company" and collectively, "Sompo International,"** do hereby constitute and appoint: **Camille Maitland, Colette R. Chisholm, Dana Granice, Desiree Cardlin, George O. Brewster, Gerard S. Macholz, Karolynne Ramirez, Katherine Acosta, Lee Ferrucci, Michelle Wannamaker, Nelly Renchiwich, Robert T. Pearson, Susan Lupski, Thomas Bean, Vincent A. Walsh** as true and lawful Attorney(s)-In-Fact to make, execute, seal, and deliver for, and on its behalf as surety or co-surety; bonds and undertakings given for any and all purposes, also to execute and deliver on its behalf as aforesaid renewals, extensions, agreements, waivers, consents or stipulations relating to such bonds or undertakings provided, however, that no single bond or undertaking so made, executed and delivered shall obligate the Company for any portion of the penal sum thereof in excess of the sum of **One Hundred Million (\$100,000,000.00)**

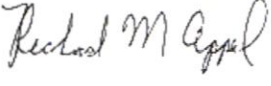
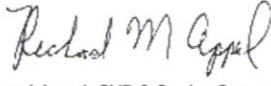
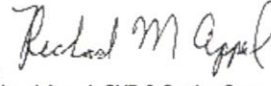
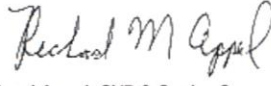
Such bonds and undertakings for said purposes, when duly executed by said attorney(s)-in-fact, shall be binding upon the Company as fully and to the same extent as if signed by the President of the Company under its corporate seal attested by its Corporate Secretary.

This appointment is made under and by authority of certain resolutions adopted by the board of directors of each Company by unanimous written consent effective the 30th day of March, 2023 for BSIC and LIC and the 17th day of May, 2023 for EAC and EAIC, a copy of which appears below under the heading entitled "Certificate".

This Power of Attorney is signed and sealed by facsimile under and by authority of the following resolution adopted by the board of directors of each Company by unanimous written consent effective the 30th day of March, 2023 for BSIC and LIC and the 17th day of May, 2023 for EAC and EAIC and said resolution has not since been revoked, amended or repealed:

RESOLVED, that the signature of an individual named above and the seal of the Company may be affixed to any such power of attorney or any certificate relating thereto by facsimile, and any such power of attorney or certificate bearing such facsimile signature or seal shall be valid and binding upon the Company in the future with respect to any bond or undertaking to which it is attached.

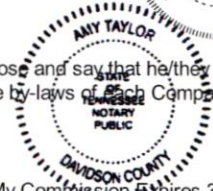
IN WITNESS WHEREOF, each Company has caused this instrument to be signed by the following officers, and its corporate seal to be affixed this 25th day of May, 2023.

<p>Endurance Assurance Corporation</p>  <p>By: Richard Appel; SVP & Senior Counsel</p>	<p>Endurance American Insurance Company</p>  <p>By: Richard Appel; SVP & Senior Counsel</p>	<p>Lexon Insurance Company</p>  <p>By: Richard Appel; SVP & Senior Counsel</p>	<p>Bond Safeguard Insurance Company</p>  <p>By: Richard Appel; SVP & Senior Counsel</p>
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On this 25th day of May, 2023, before me, personally came the above signatories known to me, who being duly sworn, did depose and say that he/they is an officer of each of the Companies; and that he executed said instrument on behalf of each Company by authority of his office under the by-laws of each Company.


By: 
Amy Taylor, Notary Public – My Commission Expires 3/9/27



CERTIFICATE

- I, the undersigned Officer of each Company, DO HEREBY CERTIFY that:
1. That the original power of attorney of which the foregoing is a copy was duly executed on behalf of each Company and has not since been revoked, amended or modified; that the undersigned has compared the foregoing copy thereof with the original power of attorney, and that the same is a true and correct copy of the original power of attorney and of the whole thereof;
 2. The following are resolutions which were adopted by the board of directors of each Company by unanimous written consent effective the 30th day of March, 2023 for BSIC and LIC and the 17th day of May, 2023 for EAC and EAIC and said resolutions have not since been revoked, amended or modified:
"RESOLVED, that each of the individuals named below is authorized to make, execute, seal and deliver for and on behalf of the Company any and all bonds, undertakings or obligations in surety or co-surety with others: RICHARD M. APPEL, MATTHEW E. CURRAN, MARGARET HYLAND, SHARON L. SIMS, CHRISTOPHER L. SPARRO, and be it further
RESOLVED, that each of the individuals named above is authorized to appoint attorneys-in-fact for the purpose of making, executing, sealing and delivering bonds, undertakings or obligations in surety or co-surety for and on behalf of the Company."
 3. The undersigned further certifies that the above resolutions are true and correct copies of the resolutions as so recorded and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal this 12th day of June, 2024

By: 
Daniel S. Lurie, Secretary

NOTICE: U. S. TREASURY DEPARTMENT'S OFFICE OF FOREIGN ASSETS CONTROL (OFAC)

No coverage is provided by this Notice nor can it be construed to replace any provisions of any surety bond or other surety coverage provided. This Notice provides information concerning possible impact on your surety coverage due to directives issued by OFAC. **Please read this Notice carefully.** The Office of Foreign Assets Control (OFAC) administers and enforces sanctions policy, based on Presidential declarations of "national emergency" - OFAC has identified and listed numerous foreign agents, front organizations, terrorists, terrorist organizations, and narcotics traffickers as "Specially Designated Nationals and Blocked Persons". This list can be located on the United States Treasury's website - <https://www.treasury.gov/resource-center/sanctions/SDN-List> In accordance with OFAC regulations, if it is determined that you or any other person or entity claiming the benefits of any coverage has violated U.S. sanctions law or is a Specially Designated National and Blocked Person, as identified by OFAC, any coverage will be considered a blocked or frozen contract and all provisions of any coverage provided are immediately subject to OFAC. When a surety bond or other form of surety coverage is considered to be such a blocked or frozen contract, no payments nor premium refunds may be made without authorization from OFAC. Other limitations on the premiums and payments may also apply.

Any reproductions are void.

ENDURANCE ASSURANCE CORPORATION
Balance Sheet - Statutory - Basis
December 31, 2023

Assets:	
Bonds	\$ 8,840,516,134
Preferred stocks	14,559,098
Common stocks	2,502,912,644
Cash, cash equivalents, and short-term investments	547,214,855
Other invested assets	367,228,494
Receivables for securities	12,539,247
Total cash and invested assets	<u>12,284,970,472</u>
Agents' balances or uncollected premiums	3,639,295,463
Reinsurance recoverable on loss and loss adjustment expense payments	866,922,861
Funds held by or deposited with reinsured companies	116,173,785
Investment income due and accrued	59,715,007
Current federal and foreign income tax recoverable and interest thereon	765,086
Net deferred tax asset	194,151,100
Receivables from parent, subsidiaries and affiliates	36,069,122
Other assets	240,998,501
Total admitted assets	<u>\$ 17,439,061,397</u>
Liabilities:	
Loss and loss adjustment expenses	\$ 6,724,893,327
Reinsurance payable on paid loss and loss adjustment expenses	823,698,569
Commissions payable, contingent commissions and other similar charges	(1,662,639)
Other expenses	28,177,519
Taxes, licenses and fees (excluding federal and foreign income taxes)	27,009,410
Unearned premiums	2,749,272,278
Advanced Premium	10,285,830
Ceded reinsurance premiums payable	1,277,805,256
Funds held by company under reinsurance treaties	75,781,757
Amounts withheld or retained by company for account of others	732,081,177
Remittances and items not allocated	248,815,866
Provision for reinsurance	58,958,628
Payable to parent, subsidiaries and affiliates	172,805,784
Payable for securities	50,301,420
Other liabilities	70,939,621
Total liabilities	<u>13,049,163,803</u>
Capital and surplus:	
Common capital stock	5,000,000
Surplus notes	200,000,000
Gross paid in and contributed surplus	3,480,137,280
Unassigned funds (surplus)	703,291,886
Aggregate write-ins for special surplus funds	1,468,428
Total capital and surplus	<u>4,389,897,594</u>
Total liabilities and capital and surplus	<u>\$ 17,439,061,397</u>

I, Hana Entela, Treasurer of Endurance Assurance Corporation (the "Company") do hereby certify that to the best of my knowledge and belief, the foregoing is a full and true Statutory Statement of Admitted Assets, Liabilities, Capital and Surplus of the Company as of December 31, 2023 prepared in conformity with accounting practices prescribed or permitted by the State of Delaware Department of Insurance. The foregoing statement should not be taken as a complete statement of financial condition of the Company. Such a statement is available upon request at the Company's office located at 4 Manhattanville Road, Purchase, NY 10577.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Company at Purchase, New York.



 Hana Entela

Subscribed and sworn to before me this 5th day of March, 2024





OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

LABOR LAW ARTICLE 8 - NYC PUBLIC WORKS

Workers, Laborers and Mechanics employed on a public work project must receive not less than the prevailing rate of wage and benefits for the classification of work performed by each upon such public work. Pursuant to New York Labor Law Article 8 the Comptroller of the City of New York has promulgated this schedule solely for Workers, Laborers and Mechanics engaged by private contractors on New York City public work projects. Prevailing rates are required to be annexed to and form part of the public work contract pursuant to Labor Law section 220 (3).

This schedule is a compilation of separate determinations of the prevailing rate of wage and supplements made by the Comptroller for each trade classification listed herein pursuant to Labor Law section 220 (5). The source of the wage and supplement rates, whether a collective bargaining agreement, survey data or other, is listed at the end of each classification.

Agency Chief Contracting Officers should contact the Bureau of Labor Law's Classification Unit with any questions concerning trade classifications, prevailing rates or prevailing practices with respect to procurement on New York City public work contracts. Contractors are advised to review the Comptroller's Prevailing Wage Schedule before bidding on public work contracts. Contractors with questions concerning trade classifications, prevailing rates or prevailing practices with respect to public work contracts in the procurement stage must contact the contracting agency responsible for the procurement.

Any error as to compensation under the prevailing wage law or other information as to trade classification, made by the contracting agency in the contract documents or in any other communication, will not preclude a finding against the contractor of prevailing wage violation.

Any questions concerning trade classifications, prevailing rates or prevailing practices on New York City public work contracts that have already been awarded may be directed to the Bureau of Labor Law's Classification Unit by calling (212) 669-4443. All callers must have the agency name and contract registration number available when calling with questions on public work contracts. Please direct all other compliance issues to: laborlaw@comptroller.nyc.gov or Bureau of Labor Law, Attn: Paul Brumlik, Office of the Comptroller, 1 Centre Street, Room 651, New York, N.Y. 10007.

Pursuant to Labor Law § 220 (3-a) (a), the appropriate schedule of prevailing wages and benefits must be posted in a prominent and accessible place at all public work sites along with the Construction Poster provided on our web site at comptroller.nyc.gov/wages. In addition, covered employees must be given the appropriate schedule of prevailing wages and benefits along with the Worker Notice provided on our web site at the time the public work project begins, and with the first paycheck to each such employee after July first of each year.

This schedule is applicable to work performed during the effective period, unless otherwise noted. Changes to this schedule are published on our web site comptroller.nyc.gov/wages. Contractors must pay the wages and supplements in effect when the worker, laborer, mechanic performs the work. Preliminary schedules for future one-year periods appear in the City Record on or about June 1 each succeeding year. Final schedules appear on or about July 1 in the City Record and on our web site comptroller.nyc.gov/wages.

Prevailing rates and ratios for apprentices are published in the Construction Apprentice Prevailing Wage Schedule. Pursuant to Labor Law § 220 (3-e), only apprentices who are individually registered in a bona fide program to which the employer contractor is a participant, registered with the

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

New York State Department of Labor, may be paid at the apprentice rates. Apprentices who are not so registered must be paid as journey persons.

New York City public work projects awarded pursuant to a Project Labor Agreement (“PLA”) in accordance with Labor Law section 222 may have different labor standards for shift, premium and overtime work. Please refer to the PLA’s pre-negotiated labor agreements for wage and benefit rates applicable to work performed outside of the regular workday. More information is available at the Mayor’s Office of Contract Services (MOCS) web page at:

<https://www1.nyc.gov/site/mocs/legal-forms/project-labor-agreements.page>

All the provisions of Labor Law Article 8 remain applicable to PLA work including, but not limited to, the enforcement of prevailing wage requirements by the Comptroller in accordance with the trade classifications in this schedule; however, we will enforce shift, premium, overtime and other non-standard rates as they appear in a project’s pre-negotiated labor agreement.

In order to meet their obligation to provide prevailing supplemental benefits to each covered employee, employers must either:

- 1) Provide bona fide fringe benefits which cost the employer no less than the prevailing supplemental benefits rate; or
- 2) Supplement the employee’s hourly wage by an amount no less than the prevailing supplemental benefits rate; or
- 3) Provide a combination of bona fide fringe benefits and wage supplements which cost the employer no less than the prevailing supplemental benefits rate in total.

Although prevailing wage laws do not require employers to provide bona fide fringe benefits (as opposed to wage supplements) to their employees, other laws may. For example, the Employee Retirement Income Security Act, 29 U.S.C. § 1001 et seq., the Patient Protection and Affordable Care Act, 42 U.S.C. § 18001 et seq., and the New York City Paid Sick Leave Law, N.Y.C. Admin. Code § 20-911 et seq., require certain employers to provide certain benefits to their employees. Labor agreements to which employers are a party may also require certain benefits. The Comptroller’s Office does not enforce these laws or agreements.

Employers must provide prevailing supplemental benefits at the straight time rate for each hour worked unless otherwise noted in the classification.

Paid Holidays, Vacation and Sick Leave when listed must be paid or provided in addition to the prevailing hourly supplemental benefit rate.

For more information, please refer to the Comptroller’s Prevailing Wage Law Regulations in Title 44 of the Rules of the City of New York, Chapter 2, available at comptroller.nyc.gov/wages.

Paul Brumlik
Director of Classifications
Bureau of Labor Law

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE**

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ASBESTOS HANDLER SEE HAZARDOUS MATERIAL HANDLER

BLASTER

Blaster

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$57.71**

Supplemental Benefit Rate per Hour: **\$52.23**

Blaster - Hydraulic Trac Drill

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$51.85**

Supplemental Benefit Rate per Hour: **\$52.23**

Blaster - Wagon: Air Trac: Quarry Bar: Drillrunners

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$51.02**

Supplemental Benefit Rate per Hour: **\$52.23**

Blaster - Journeyperson

(Laborer, Chipper/Jackhammer including Walk Behind Self Propelled Hydraulic Asphalt and Concrete Breakers and Hydro (Water) Demolition, Powder Carrier, Hydraulic Chuck Tender, Chuck Tender and Nipper)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$44.50**

Supplemental Benefit Rate per Hour: **\$52.23**

Blaster - Magazine Keepers: (Watch Person)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$22.25**

Supplemental Benefit Rate per Hour: **\$52.23**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Thanksgiving Day
Christmas Day

Paid Holidays

Labor Day
Thanksgiving Day

Shift Rates

When two shifts are employed, single time rate shall be paid for each shift. When three shifts are found necessary, each shift shall work seven and one half hours (7 ½), but shall be paid for eight (8) hours of labor, and be permitted one half hour for lunch.

(Local #731)

BOILERMAKER

Boilermaker

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$65.88**

Supplemental Benefit Rate per Hour: **\$48.47**

Supplemental Note: For time and one half overtime - \$72.13 For double overtime - \$95.79

Overtime Description

For Repair and Maintenance work:

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

For New Construction work:

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Memorial Day
Independence Day
Columbus Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Election Day
Veteran's Day
Thanksgiving Day
Christmas Day

Quadruple time the regular rate for work on the following holiday(s).
Labor Day

Paid Holidays

Good Friday
Day after Thanksgiving
Day before Christmas
Day before New Year's Day

Shift Rates

On jobs requiring two (2) or three (3) shifts, the first shift shall work eight (8) hours at the regular straight-time hourly rate. The second shift shall work eight (8) hours and receive eight hours at the regular straight time hourly rate plus two dollars (\$2.00) per hour. The third shift shall work eight (8) hours and receive eight hours at the regular straight time hourly rate plus two dollars and twenty-five cents (\$2.25) per hour.

(Local #5)

BRICKLAYER

Bricklayer

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$64.23**

Supplemental Benefit Rate per Hour: **\$31.75**

Overtime Description

Time and one half the regular rate after a 7 hour day. If working on a job that is predominately Pointer, Cleaner, Caulker work, then Time and one half the regular rate after an 8 hour day.

Overtime

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Thanksgiving Day
Christmas Day

Paid Holidays

None

Shift Rates

The second shift wage rate shall be a 15% wage premium with no premium for supplemental benefits. There must be a first shift in order to work a second shift. When it is not possible to conduct alteration or repair work during regular working hours in a building occupied by tenants, eight hours will be paid at straight time rate for seven hours of work.

(Bricklayer District Council)

CARPENTER - BUILDING COMMERCIAL

Building Commercial

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$55.05**

Supplemental Benefit Rate per Hour: **\$47.88**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

Shift Rates

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

The second shift will receive one hour at the double time rate of pay for the last hour of the shift; eight hours pay for seven hours of work, nine hours pay for eight hours of work. There must be a first shift in order to work a second shift. When it is not possible to conduct alteration or repair work during regular working hours in a building occupied by tenants, the rule for the second shift will apply.

(Carpenters District Council)

CARPENTER - HEAVY CONSTRUCTION WORK
(Construction of Engineered Structures and Building Foundations including all form work)

Heavy Construction Work

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$59.16**

Supplemental Benefit Rate per Hour: **\$55.31**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

Off shift work commencing between 5:00 P.M. and 11:00 P.M. shall work eight and one half hours allowing for one half hour for lunch. The wage rate shall be 113% of the straight time hourly wage rate and the supplemental benefits shall be paid at the straight time rate. When two (2) or more shifts of Carpenters are employed, single time will be paid for each shift.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

(Carpenters District Council)

CARPENTER - HIGH RISE CONCRETE FORMS
(Excludes Engineered Structures and Building Foundations)

Carpenter High Rise A

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$51.48**

Supplemental Benefit Rate per Hour: **\$44.74**

Carpenter High Rise B

Carpenter High Rise B worker is excluded from high risk operations such as erection decking, perimeter debris netting, leading edge work, self-climbing form systems, and the installation of cocoon systems unless directly supervised by a Carpenter High Rise A worker.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$40.89**

Supplemental Benefit Rate per Hour: **\$18.05**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

The second shift wage rate shall be 113% of the straight time hourly wage rate. However, any shift beginning after 5:00 P.M. shall be paid at time and one half the regular hourly rate. There must be a first shift in order to work a second shift. When it is not possible to conduct alteration or repair work during regular working hours in a building occupied by tenants, the rule for the second shift will apply.

(Carpenters District Council)

CARPENTER - SIDEWALK SHED, SCAFFOLD AND HOIST

Carpenter - Hod Hoist

(Assisted by Mason Tender)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$53.50**

Supplemental Benefit Rate per Hour: **\$48.45**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

Shift Rates

The second shift will receive 112% of the straight time hourly rate. Benefit fund contributions shall be paid at the straight time rate. There must be a first shift in order to work a second shift. When it is not possible to conduct alteration or repair work during regular working hours in a building occupied by tenants, the rule for the second shift will apply.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

(Carpenters District Council)

CARPENTER - WOOD WATER STORAGE TANK

Tank Mechanic

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$37.13**

Supplemental Benefit Rate per Hour: **\$24.18**

Tank Helper

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$29.23**

Supplemental Benefit Rate per Hour: **\$24.18**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Time and one half the regular rate for work on a holiday plus the day's pay.

Paid Holidays

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Thanksgiving Day

Day after Thanksgiving

1/2 day on Christmas Eve if work is performed in the A.M.

Christmas Day

1/2 day on New Year's Eve if work is performed in the A.M.

Vacation

Employed for one (1) year.....one (1) week vacation (40 hours)

Employed for three (3) years.....two (2) weeks vacation (80 hours)

Employed for more than twenty (20) years.....three (3) weeks vacation (120 hours)

SICK LEAVE:

Two (2) sick days after being employed for twenty (20) years.

(Carpenters District Council)

CEMENT & CONCRETE WORKER

Cement & Concrete Worker

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$47.28**

Supplemental Benefit Rate per Hour: **\$30.20**

Supplemental Note: **\$34.20** on Saturdays; **\$38.20** on Sundays & Holidays

Cement & Concrete Worker - (Hired after 2/6/2016)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$36.80**

Supplemental Benefit Rate per Hour: **\$22.20**

Supplemental Note: **\$24.20** on Saturdays; **\$26.20** on Sundays & Holidays

Overtime Description

Time and one half the regular rate after 7 hour day (time and one half the regular rate after an 8 hour day when working with Dockbuilders on pile cap forms and for work below street level to the top of the foundation wall, not to exceed 2 feet or 3 feet above the sidewalk-brick shelf, when working on the foundation and structure.)

Overtime

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

1/2 day before Christmas Day

1/2 day before New Year's Day

Shift Rates

On shift work extending over a twenty-four hour period, all shifts are paid at straight time.

(Cement & Concrete Workers District Council 16)

CEMENT MASON

Cement Mason

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$53.77**

Supplemental Benefit Rate per Hour: **\$34.01**

Supplemental Note: Supplemental benefit time and one half rate: \$61.47; Double time rate: double the base supplemental benefit rate.

Overtime Description

Time and one-half the regular rate after an 8 hour day, double time the regular rate after 10 hours. Time and one-half the regular rate on Saturday, double time the regular rate after 10 hours. Double time the regular rate on Sunday. Four Days a week at Ten (10) hours straight time is allowed.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

Any worker who reports to work on Christmas Eve or New Year's Eve pursuant to his employer's instruction shall be entitled to three (3) hours afternoon pay without working.

Shift Rates

For off shift work, (at times other than the regular 7:00 A.M. to 3:30 P.M. work day) a cement mason shall be paid at the regular hourly rate plus a 25% per hour differential.

(Local #780) (BCA)

CORE DRILLER

Core Driller

Effective Period: 7/1/2023 - 6/30/2024

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Wage Rate per Hour: **\$43.88**

Supplemental Benefit Rate per Hour: **\$31.35**

Core Driller Helper

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$34.47**

Supplemental Benefit Rate per Hour: **\$31.35**

Core Driller Helper(Third year in the industry)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$31.02**

Supplemental Benefit Rate per Hour: **\$31.35**

Core Driller Helper (Second year in the industry)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$27.58**

Supplemental Benefit Rate per Hour: **\$31.35**

Core Driller Helper (First year in the industry)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$24.13**

Supplemental Benefit Rate per Hour: **\$31.35**

Overtime Description

Time and one half the regular rate for work on a holiday plus Holiday pay when worked.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Time and one half the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Shift Rates

When two (2) or more shifts are employed, single time shall be paid for each shift, but those employees employed on a shift other than from 8:00 A.M. to 5:00 P.M. shall, in addition, receive two dollars (\$2.00) per hour differential for each hour worked. When three (3) shifts are needed, each shift shall work seven and one-half (7 ½) hours paid for eight (8) hours of labor and be permitted one-half (½) hour for mealtime.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

(Carpenters District Council)

DERRICKPERSON AND RIGGER

Derrick Person & Rigger

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$58.90**

Supplemental Benefit Rate per Hour: **\$58.37**

Derrick Person & Rigger - Site Work

Assists the Stone Mason-Setter in the setting of stone and paving stone.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$46.49**

Supplemental Benefit Rate per Hour: **\$46.47**

Overtime Description

The first two hours of overtime on weekdays and the first seven hours of work on Saturdays are paid at time and one half for wages and supplemental benefits. All additional overtimes is paid at double time for wages and supplemental benefits.

Overtime

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Washington's Birthday

Good Friday

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

(Local #197)

DIVER

Diver (Marine)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$74.03**

Supplemental Benefit Rate per Hour: **\$55.31**

Diver Tender (Marine)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$53.57**

Supplemental Benefit Rate per Hour: **\$55.31**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

When three shifts are utilized each shift shall work seven and one half-hours (7 1/2 hours) and paid for 8 hours, allowing for one half hour for lunch.

(Carpenters District Council)

DOCKBUILDER - PILE DRIVER

Dockbuilder - Pile Driver

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$59.16**

Supplemental Benefit Rate per Hour: **\$55.31**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

Off shift work commencing between 5:00 P.M. and 11:00 P.M. shall work eight and one half hours allowing for one half hour for lunch. The wage rate shall be 113% of the straight time hourly wage rate.

(Carpenters District Council)

DRIVER: TRUCK (TEAMSTER)

Driver - Dump Truck

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$44.17**

Supplemental Benefit Rate per Hour: **\$53.95**

Supplemental Note: Over 40 hours worked: at time and one half rate - \$24.00; at double time rate - \$32.00

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Driver - Tractor Trailer

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$47.32**

Supplemental Benefit Rate per Hour: **\$52.40**

Supplemental Note: Over 40 hours worked: at time and one half rate - \$23.25; at double time rate - \$31.00

Driver - Euclid & Turnapull Operator

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$47.88**

Supplemental Benefit Rate per Hour: **\$52.40**

Supplemental Note: Over 40 hours worked: at time and one half rate - \$23.25; at double time rate - \$31.00

Overtime Description

For Paid Holidays: Holiday pay for all holidays shall be prorated based two hours per day for each day worked in the holiday week, not to exceed 8 hours of holiday pay. For Thanksgiving week, the prorated share shall be 5 1/3 hours of holiday pay for each day worked in Thanksgiving week.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

Paid Holidays

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

Shift Rates

Off shift work commencing between 6:00 P.M. and 5:00 A.M. shall work eight and one half (8 1/2) hours allowing for one half hour for lunch

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Driver Redi-Mix (Sand & Gravel)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$40.89**

Supplemental Benefit Rate per Hour: **\$47.85**

Supplemental Note: Over 40 hours worked: time and one half rate \$18.68; double time rate \$24.90

Overtime Description

For Paid Holidays: Employees who do not work on a contractual holiday shall be compensated two (2) hours extra pay in straight time wages and benefits for every day on which the Employee does not pass up a day's work during the calendar week (Sunday through Saturday) of the holiday, up to a maximum of ten (10) hours in wages and eight (8) hours in benefit contributions for the holiday

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

Martin Luther King Jr. Day

President's Day

Columbus Day

Veteran's Day

Triple time the regular rate for work on the following holiday(s).

New Year's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Paid Holidays

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Election Day

Thanksgiving Day

Christmas Day

(Local #282)

ELECTRICIAN

(Including installation of low voltage cabling carrying data, video and/or voice on building construction/alteration/renovation projects.)

Electrician "A" (Regular Day / Day Shift)

Effective Period: 7/1/2023 - 4/12/2024

Wage Rate per Hour: **\$61.00**

Supplemental Benefit Rate per Hour: **\$60.06**

* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/13/2024 - 6/30/2024

Wage Rate per Hour: **\$62.00**

Supplemental Benefit Rate per Hour: **\$62.25**

* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Electrician "A" (Regular Day Overtime after 7 hrs / Day Shift Overtime after 8 hrs)

Effective Period: 7/1/2023 - 4/12/2024

Wage Rate per Hour: **\$91.50**

Supplemental Benefit Rate per Hour: **\$62.02**

* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/13/2024 - 6/30/2024

Wage Rate per Hour: **\$93.00**

Supplemental Benefit Rate per Hour: **\$64.24**

* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Electrician "A" (Swing Shift)

Effective Period: 7/1/2023 - 4/12/2024

Wage Rate per Hour: **\$71.57**

Supplemental Benefit Rate per Hour: **\$68.14**

* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/13/2024 - 6/30/2024

Wage Rate per Hour: **\$72.75**

Supplemental Benefit Rate per Hour: **\$70.56**

* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Electrician "A" (Swing Shift Overtime after 7.5 hours)

Effective Period: 7/1/2023 - 4/12/2024

Wage Rate per Hour: **\$107.36**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate per Hour: **\$70.45**

* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/13/2024 - 6/30/2024

Wage Rate per Hour: **\$109.13**

Supplemental Benefit Rate per Hour: **\$72.91**

* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Electrician "A" (Graveyard Shift)

Effective Period: 7/1/2023 - 4/12/2024

Wage Rate per Hour: **\$80.17**

Supplemental Benefit Rate per Hour: **\$74.99**

* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/13/2024 - 6/30/2024

Wage Rate per Hour: **\$81.49**

Supplemental Benefit Rate per Hour: **\$77.61**

* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Electrician "A" (Graveyard Shift Overtime after 7 hours)

Effective Period: 7/1/2023 - 4/12/2024

Wage Rate per Hour: **\$120.26**

Supplemental Benefit Rate per Hour: **\$77.57**

* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/13/2024 - 6/30/2024

Wage Rate per Hour: **\$122.24**

Supplemental Benefit Rate per Hour: **\$80.23**

* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

* Supplemental Benefit Rate per Hour Note

In addition to the Supplemental Benefit Rates per Hour listed above, the employer must provide an additional 6.2% of taxable gross pay earned on covered work only. This additional Supplemental Benefit Rate will terminate when the employee has contributed the maximum annual Social Security tax required by law, on all work performed.

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on a holiday.

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays
None

Shift Rates

For multiple shifts of temporary light and/or power, the temporary light and/or power employee shall be paid for 8 hours at the straight time rate. For three or less workers performing 8 hours temporary light and/or power the supplemental benefit rate is \$24.36, effective 04/13/2023 the supplemental benefit rate is \$24.78 - See * Supplemental Benefit Rate per Hour Note above.

Electrician "M" (First 8 hours)

"M" rated work shall be defined as jobbing: electrical work of limited duration and scope, also consisting of repairs and/or replacement of electrical and tele-data equipment. Includes all work necessary to retrofit, service, maintain and repair all kinds of lighting fixtures and local lighting controls and washing and cleaning of foregoing fixtures.

Effective Period: 7/1/2023 - 4/12/2024

Wage Rate per Hour: **\$31.25**

Supplemental Benefit Rate per Hour: **\$26.55**

First and Second Year "M" Wage Rate Per Hour: \$26.75

First and Second Year "M" Supplemental Rate: \$24.13

Effective Period: 4/13/2024 - 6/30/2024

Wage Rate per Hour: **\$32.00**

Supplemental Benefit Rate per Hour: **\$27.20**

First and Second Year "M" Wage Rate Per Hour: \$27.50

First and Second Year "M" Supplemental Rate: \$24.79

Electrician "M" (Overtime After First 8 hours)

"M" rated work shall be defined as jobbing: electrical work of limited duration and scope, also consisting of repairs and/or replacement of electrical and tele-data equipment. Includes all work necessary to retrofit, service, maintain and repair all kinds of lighting fixtures and local lighting controls and washing and cleaning of foregoing fixtures.

Effective Period: 7/1/2023 - 4/12/2024

Wage Rate per Hour: **\$46.88**

Supplemental Benefit Rate per Hour: **\$28.53**

First and Second Year "M" Wage Rate Per Hour: \$40.13

First and Second Year "M" Supplemental Rate: \$25.82

Effective Period: 4/13/2024 - 6/30/2024

Wage Rate per Hour: **\$48.00**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate per Hour: **\$29.23**
First and Second Year "M" Wage Rate Per Hour: **\$41.25**
First and Second Year "M" Supplemental Rate: **\$26.52**

Overtime

Time and one half the regular rate after an 8 hour day.
Time and one half the regular rate for Saturday.
Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).
New Year's Day
Martin Luther King Jr. Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

None

(Local #3)

ELECTRICIAN - ALARM TECHNICIAN

(Scope of Work - Inspect, test, repair, and replace defective, malfunctioning, or broken devices, components and controls of Fire, Burglar and Security Systems)

Alarm Technician

Effective Period: 7/1/2023 - 3/6/2024
Wage Rate per Hour: **\$36.40**
Supplemental Benefit Rate per Hour: **\$20.67**
Supplemental Note: \$18.80 only after 8 hours worked in a day

Effective Period: 3/7/2024 - 6/30/2024
Wage Rate per Hour: **\$37.40**
Supplemental Benefit Rate per Hour: **\$21.44**
Supplemental Note: \$19.31 only after 8 hours worked in a day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Overtime Description

Time and one half the regular rate for work on the following holidays: Columbus Day, Veterans Day, Day after Thanksgiving.

Double time the regular rate for work on the following holidays: New Year's day, Martin Luther King Jr. Day, President's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas Day.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Paid Holidays

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Shift Rates

Night Differential is based upon a ten percent (10%) differential between the hours of 4:00 P.M. and 12:30 A.M. and a fifteen percent (15%) differential for the hours 12:00 A.M. to 8:30 A.M.

Vacation

At least 1 year of employment.....ten (10) days

5 years or more of employment.....fifteen (15) days

10 years of employment.....twenty (20) days

Plus one Personal Day per year

Sick Days:

One day per Year. Up to 4 vacation days may be used as sick days.

(Local #3)

ELECTRICIAN-STREET LIGHTING WORKER

Electrician - Electro Pole Electrician

Effective Period: 7/1/2023 - 4/17/2024

Wage Rate per Hour: **\$61.00**

Supplemental Benefit Rate per Hour: **\$62.13**

* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Effective Period: 4/18/2024 - 6/30/2024

Wage Rate per Hour: **\$62.00**

Supplemental Benefit Rate per Hour: **\$62.85**

* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Electrician - Electro Pole Foundation Installer

Effective Period: 7/1/2023 - 4/17/2024

Wage Rate per Hour: **\$46.66**

Supplemental Benefit Rate per Hour: **\$47.16**

* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/18/2024 - 6/30/2024

Wage Rate per Hour: **\$47.66**

Supplemental Benefit Rate per Hour: **\$48.72**

* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Electrician - Electro Pole Maintainer

Effective Period: 7/1/2023 - 4/17/2024

Wage Rate per Hour: **\$40.61**

Supplemental Benefit Rate per Hour: **\$42.88**

* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/18/2024 - 6/30/2024

Wage Rate per Hour: **\$41.61**

Supplemental Benefit Rate per Hour: **\$44.45**

* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

* Supplemental Benefit Rate per Hour Note

In addition to the Supplemental Benefit Rates per Hour listed above, the employer must provide an additional 6.2% of taxable gross pay earned on covered work only. This additional Supplemental Benefit Rate will terminate when the employee has contributed the maximum annual Social Security tax required by law, on all work performed.

Overtime Description

Electrician - Electro Pole Electrician: Time and one half the regular rate after a 7 hour day and after 5 consecutive days worked per week.

Electrician - Electro Pole Foundation Installer: Time and one half the regular rate after 8 hours within a 24 hour period and Saturday and Sunday.

Electrician - Electro Pole Maintainer: Time and one half the regular rate after a 7 hour day and after 5 consecutive days worked per week. Saturdays and Sundays may be used as a make-up day at straight time when a day is lost during the week to inclement weather.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays
None

(Local #3)

ELEVATOR CONSTRUCTOR

Elevator Constructor

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$77.49**

Supplemental Benefit Rate per Hour: **\$40.28**

Overtime Description

For New Construction: work performed after an 8 hour day, Saturday, Sunday or between 4:30pm and 7:00am shall be paid at double time rate.

Existing buildings: work performed after an 8 hour day, Saturday, Sunday or between 5:30pm and 7:00 am shall be paid time and one half.

Overtime

Double time the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day
President's Day
Good Friday
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Vacation

Employer contributes 8% of regular basic hourly rate as vacation pay for employees with more than 15 years of service, and 6% for employees with 5 to 15 years of service, and 4% for employees with less than 5 years of service.

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

(Local #1)

ELEVATOR REPAIR & MAINTENANCE

Elevator Service/Modernization Mechanic

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$60.89**

Supplemental Benefit Rate per Hour: **\$40.18**

Overtime Description

For Scheduled Service Work: Double time - work scheduled in advance by two or more workers performed on Sundays, Holidays, and between midnight and 7:00am.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Time and one half the regular rate for work on a holiday plus the day's pay.

Paid Holidays

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Shift Rates

Afternoon shift - regularly hourly rate plus a (15%) fifteen percent differential. Graveyard shift - time and one half the regular rate.

Vacation

Employer contributes 8% of regular basic hourly rate as vacation pay for employees with more than 15 years of service, and 6% for employees with 5 to 15 years of service, and 4% for employees with less than 5 years of service.

(Local #1)

ENGINEER

Engineer - Heavy Construction Operating Engineer I

Cherry pickers 20 tons and over and Loaders (rubber tired and/or tractor type with a manufacturer's minimum rated capacity of six cubic yards and over).

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$75.82**

Supplemental Benefit Rate per Hour: **\$46.68**

Supplemental Note: \$85.96 on overtime

Shift Wage Rate: **\$121.31**

Engineer - Heavy Construction Operating Engineer II

Backhoes, Basin Machines, Groover, Mechanical Sweepers, Bobcat, Boom Truck, Barrier Transport (Barrier Mover) & machines of similar nature. Operation of Churn Drills and machines of a similar nature, Stetco Silent Hoist and machines of similar nature, Vac-Alls, Meyers Machines, John Beam and machines of a similar nature, Ross Carriers and Travel Lifts and machines of a similar nature, Bulldozers, Scrapers and Turn-a-Pulls: Tugger Hoists (Used exclusively for handling excavated material); Tractors with attachments, Hyster and Roustabout Cranes, Cherry pickers. Austin Western, Grove and machines of a similar nature, Scoopmobiles, Monorails, Conveyors, Trenchers: Loaders-Rubber Tired and Tractor: Barber Greene and Eimco Loaders and Eimco Backhoes; Mighty Midget and similar breakers and Tampers, Curb and Gutter Pavers and Motor Patrol, Motor Graders and all machines of a similar nature. Locomotives 10 Tons or under. Mini-Max, Break-Tech and machines of a similar nature; Milling machines, robotic and demolition machines and machines of a similar nature, shot blaster, skid steer machines and machines of a similar nature including bobcat, pile rig rubber-tired excavator (37,000 lbs. and under), 2 person auger.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$73.45**

Supplemental Benefit Rate per Hour: **\$46.68**

Supplemental Note: \$85.96 on overtime

Shift Wage Rate: **\$117.52**

Engineer - Heavy Construction Operating Engineer III

Minor Equipment such as Tractors, Post Hole Diggers, Ditch Witch (Walk Behind), Road Finishing Machines, Rollers five tons and under, Tugger Hoists, Dual Purpose Trucks, Fork Lifts, and Dempsey Dumpers, Fireperson.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$69.49**

Supplemental Benefit Rate per Hour: **\$46.68**

Supplemental Note: \$85.96 on overtime

Shift Wage Rate: **\$111.18**

Engineer - Heavy Construction Maintenance Engineer I

Installing, Repairing, Maintaining, Dismantling of all equipment including Steel Cutting, Bending and Heat Sealing Machines, Mechanical Heaters, Grout Pumps, Bentonite Pumps & Plants, Screening Machines, Fusion Coupling Machines, Tunnel Boring Machines Moles and Machines of a similar nature, Power Packs, Mechanical Hydraulic Jacks; all drill rigs including but not limited to Churn, Rotary Caisson, Raised Bore & Drills of a similar

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

nature; Personnel, Inspection & Safety Boats or any boats used to perform functions of same, Mine Hoists, Whirlies, all Climbing Cranes, all Tower Cranes, including but not limited to Truck Mounted and Crawler Type and machines of similar nature; Maintaining Hydraulic Drills and machines of a similar nature; Well Point System-Installation and dismantling; Burning, Welding, all Pumps regardless of size and/or motor power, except River Cofferdam Pumps and Wells Point Pumps; Motorized Buggies (three or more); equipment used in the cleaning and televising of sewers, but not limited to jet-rodder/vacuum truck, vacall/vactor, closed circuit television inspection equipment; high powered water pumps, jet pumps; screed machines and concrete finishing machines of a similar nature; vermeers.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$73.08**

Supplemental Benefit Rate per Hour: **\$46.68**

Supplemental Note: \$85.96 on overtime

Shift Wage Rate: **\$116.93**

Engineer - Heavy Construction Maintenance Engineer II

On Base Mounted Tower Cranes

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$97.21**

Supplemental Benefit Rate per Hour: **\$46.68**

Supplemental Note: \$85.96 on overtime

Shift Wage Rate: **\$155.54**

Engineer - Heavy Construction Maintenance Engineer III

On Generators, Light Towers

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$46.89**

Supplemental Benefit Rate per Hour: **\$46.68**

Supplemental Note: \$85.96 on overtime

Shift Wage Rate: **\$75.02**

Engineer - Heavy Construction Maintenance Engineer IV

On Pumps and Mixers including mud sucking

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$48.20**

Supplemental Benefit Rate per Hour: **\$46.68**

Supplemental Note: \$85.96 on overtime

Shift Wage Rate: **\$77.12**

Engineer - Heavy Construction Service Engineer

Gradalls: Concrete Pumps: Power Houses: Driving Truck Cranes: Driving and Operating Fuel and Grease Trucks.

Effective Period: 7/1/2023 - 6/30/2024

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Wage Rate per Hour: **\$65.49**
Supplemental Benefit Rate per Hour: **\$46.68**
Supplemental Note: \$85.96 on overtime
Shift Wage Rate: **\$104.78**

Engineer - Heavy Construction Service Mechanic

Shovels: Cranes: Draglines: Backhoes: Keystones: Pavers: Trenching Machines: Guniting Machines: Compressors (three (3) or more in Battery): Crawler Cranes- having a straight lattice boom with no attachment or luffing boom, no jib and no auxiliary attachment.

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$44.10**
Supplemental Benefit Rate per Hour: **\$46.68**
Supplemental Note: \$85.96 on overtime
Shift Wage Rate: **\$70.56**

Engineer - Steel Erection Maintenance Engineers

Derrick, Travelers, Tower, Crawler Tower and Climbing Cranes

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$70.20**
Supplemental Benefit Rate per Hour: **\$46.68**
Supplemental Note: \$85.96 on overtime
Shift Wage Rate: **\$112.32**

Engineer - Steel Erection Oiler I

On a Truck Crane

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$65.46**
Supplemental Benefit Rate per Hour: **\$46.68**
Supplemental Note: \$85.96 on overtime
Shift Wage Rate: **\$104.74**

Engineer - Steel Erection Oiler II

On a Crawler Crane

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$48.91**
Supplemental Benefit Rate per Hour: **\$46.68**
Supplemental Note: \$85.96 on overtime
Shift Wage Rate: **\$78.26**

Overtime Description

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE**

On jobs of more than one shift, if the next shift employee fails to report for work through any cause over which the employer has no control, the employee on duty who works the next shift continues to work at the single time rate.

Overtime

- Double time the regular rate after an 8 hour day.
- Double time the regular time rate for Saturday.
- Double time the regular rate for Sunday.
- Double time the regular rate for work on the following holiday(s).

Paid Holidays

- New Year's Day
- Martin Luther King Jr. Day
- President's Day
- Memorial Day
- Independence Day
- Labor Day
- Columbus Day
- Veteran's Day
- Thanksgiving Day
- Day after Thanksgiving
- Christmas Day

Engineer - Building Work Maintenance Engineers I

Installing, repairing, maintaining, dismantling (of all equipment including: Steel Cutting and Bending Machines, Mechanical Heaters, Mine Hoists, Climbing Cranes, Tower Cranes, Linden Peine, Lorain, Liebherr, Mannes, or machines of a similar nature, Well Point Systems, Deep Well Pumps, Concrete Mixers with loading Device, Concrete Plants, Motor Generators when used for temporary power and lights), skid steer machines of a similar nature including bobcat.

- Effective Period: 7/1/2023 - 6/30/2024
- Wage Rate per Hour: **\$63.51**
- Supplemental Benefit Rate per Hour: **\$45.77**
- Supplemental Note: \$84.14 on overtime

Engineer - Building Work Maintenance Engineers II

On Pumps, Generators, Mixers and Heaters

- Effective Period: 7/1/2023 - 6/30/2024
- Wage Rate per Hour: **\$48.46**
- Supplemental Benefit Rate per Hour: **\$45.77**
- Supplemental Note: \$84.14 on overtime

Engineer - Building Work Oilers I

All gasoline, electric, diesel or air operated Gradealls: Concrete Pumps, Overhead Cranes in Power Houses: Their duties shall be to assist the Engineer in oiling, greasing and repairing of all machines; Driving Truck

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Cranes: Driving and Operating Fuel and Grease Trucks, Cherrypickers (hydraulic cranes) over 70,000 GVW, and machines of a similar nature.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$60.19**

Supplemental Benefit Rate per Hour: **\$45.77**

Supplemental Note: \$84.14 on overtime

Engineer - Building Work Oilers II

Oilers on Crawler Cranes, Backhoes, Trenching Machines, Guniting Machines, Compressors (three or more in Battery).

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$44.93**

Supplemental Benefit Rate per Hour: **\$45.77**

Supplemental Note: \$84.14 on overtime

Overtime Description

On jobs of more than one shift, if an Employee fails to report for work through any cause over which the Employer has no control, the Employee on duty will continue to work at the rate of single time.

Overtime

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

Shift Rates

When two (2) or more shifts are employed, single time will be paid for each shift.

(Local #15)

ENGINEER - CITY SURVEYOR AND CONSULTANT

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Party Chief

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$42.78**

Supplemental Benefit Rate per Hour: **\$27.76**

Supplemental Note: Overtime Benefit Rate - \$33.27 per hour (time & one half) \$38.77 per hour (double time).

Instrument Person

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$34.64**

Supplemental Benefit Rate per Hour: **\$27.76**

Supplemental Note: Overtime Benefit Rate - \$33.27 per hour (time & one half) \$38.77 per hour (double time).

Rodperson

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$29.50**

Supplemental Benefit Rate per Hour: **\$27.76**

Supplemental Note: Overtime Benefit Rate - \$33.27 per hour (time & one half) \$38.77 per hour (double time).

Overtime Description

Time and one half the regular rate after an 8 hour day, Time and one half the regular rate for Saturday for the first eight hours worked, Double time the regular time rate for Saturday for work performed in excess of eight hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

Paid Holidays

New Year's Day

Lincoln's Birthday

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

ENGINEER - FIELD (BUILDING CONSTRUCTION)

(Construction of Building Projects, Concrete Superstructures, etc.)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Field Engineer - BC Party Chief

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$66.83**

Supplemental Benefit Rate per Hour: **\$42.39**

Supplemental Note: Overtime Benefit Rate - \$59.89 per hour (time & one half) \$77.38 per hour (double time).

Field Engineer - BC Instrument Person

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$49.67**

Supplemental Benefit Rate per Hour: **\$42.39**

Supplemental Note: Overtime Benefit Rate - \$59.89 per hour (time & one half) \$77.38 per hour (double time).

Field Engineer - BC Rodperson

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$30.60**

Supplemental Benefit Rate per Hour: **\$42.39**

Supplemental Note: Overtime Benefit Rate - \$59.89 per hour (time & one half) \$77.38 per hour (double time).

Overtime Description

Time and one half the regular rate after a 7 hour work and time and one half the regular rate for Saturday for the first seven hours worked, Double time the regular time rate for Saturday for work performed in excess of seven hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

Paid Holidays

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

ENGINEER - FIELD (HEAVY CONSTRUCTION)

(Construction of Roads, Tunnels, Bridges, Sewers, Building Foundations, Engineering Structures etc.)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Field Engineer - HC Party Chief

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$77.94**

Supplemental Benefit Rate per Hour: **\$44.82**

Supplemental Note: Overtime benefit rate - \$63.41 per hour (time & one half), \$82.00 per hour (double time).

Field Engineer - HC Instrument Person

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$56.07**

Supplemental Benefit Rate per Hour: **\$44.82**

Supplemental Note: Overtime benefit rate - \$63.41 per hour (time & one half), \$82.00 per hour (double time).

Field Engineer - HC Rodperson

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$46.34**

Supplemental Benefit Rate per Hour: **\$44.82**

Supplemental Note: Overtime benefit rate - \$63.41 per hour (time & one half), \$82.00 per hour (double time).

Overtime Description

Time and one half the regular rate after an 8 hour day, Time and one half the regular rate for Saturday for the first eight hours worked, Double time the regular time rate for Saturday for work performed in excess of eight hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

Paid Holidays

New Year's Day

Lincoln's Birthday

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

ENGINEER - FIELD (STEEL ERECTION)

Field Engineer - Steel Erection Party Chief

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$72.66**

Supplemental Benefit Rate per Hour: **\$44.37**

Supplemental Note: Overtime benefit rate - \$62.73 per hour (time & one half), \$81.09 per hour (double time).

Field Engineer - Steel Erection Instrument Person

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$55.67**

Supplemental Benefit Rate per Hour: **\$44.37**

Supplemental Note: Overtime benefit rate - \$62.73 per hour (time & one half), \$81.09 per hour (double time).

Field Engineer - Steel Erection Rodperson

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$35.79**

Supplemental Benefit Rate per Hour: **\$44.37**

Supplemental Note: Overtime benefit rate - \$62.73 per hour (time & one half), \$81.09 per hour (double time).

Overtime Description

Time and one half the regular rate for Saturday for the first eight hours worked.

Double time the regular rate for Saturday for work performed in excess of eight hours.

Overtime

Time and one half the regular rate after an 8 hour day.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day

Lincoln's Birthday

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

ENGINEER - OPERATING

Operating Engineer - Road & Heavy Construction I

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Back Filling Machines, Cranes, Mucking Machines and Dual Drum Paver.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$90.59**

Supplemental Benefit Rate per Hour: **\$36.05**

Supplemental Note: \$65.90 overtime hours

Shift Wage Rate: **\$144.94**

Operating Engineer - Road & Heavy Construction II

Backhoes, Power Shovels, Hydraulic Clam Shells, Steel Erection, Moles and machines of a similar nature.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$93.75**

Supplemental Benefit Rate per Hour: **\$36.05**

Supplemental Note: \$65.90 overtime hours

Shift Wage Rate: **\$150.00**

Operating Engineer - Road & Heavy Construction III

Mine Hoists (Cranes, etc. when used as Mine Hoists)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$96.73**

Supplemental Benefit Rate per Hour: **\$36.05**

Supplemental Note: \$65.90 overtime hours

Shift Wage Rate: **\$154.77**

Operating Engineer - Road & Heavy Construction IV

Gradealls, Keystones, Cranes on land or water (with digging buckets), Bridge Cranes, Vermeer Cutter and machines of a similar nature, Trenching Machines.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$94.42**

Supplemental Benefit Rate per Hour: **\$36.05**

Supplemental Note: \$65.90 overtime hours

Shift Wage Rate: **\$151.07**

Operating Engineer - Road & Heavy Construction V

Pile Drivers & Rigs (working alongside Dock Builder foreperson): Derrick Boats, Tunnel Shovels.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$92.58**

Supplemental Benefit Rate per Hour: **\$36.05**

Supplemental Note: \$65.90 overtime hours

Shift Wage Rate: **\$148.13**

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Operating Engineer - Road & Heavy Construction VI

Mixers (Concrete with loading attachment), Concrete Pavers, Cableways, Land Derricks, Power Houses (Low Air Pressure Units).

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$88.01**

Supplemental Benefit Rate per Hour: **\$36.05**

Supplemental Note: \$65.90 overtime hours

Shift Wage Rate: **\$140.82**

Operating Engineer - Road & Heavy Construction VII

Barrier Movers, Barrier Transport and Machines of a Similar Nature.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$71.33**

Supplemental Benefit Rate per Hour: **\$36.05**

Supplemental Note: \$65.90 overtime hours

Shift Wage Rate: **\$114.13**

Operating Engineer - Road & Heavy Construction VIII

Utility Compressors

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$55.65**

Supplemental Benefit Rate per Hour: **\$36.05**

Supplemental Note: \$65.90 overtime hours

Shift Wage Rate: **\$69.81**

Operating Engineer - Road & Heavy Construction IX

Horizontal Boring Rig

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$83.78**

Supplemental Benefit Rate per Hour: **\$36.05**

Supplemental Note: \$65.90 overtime hours

Shift Wage Rate: **\$134.05**

Operating Engineer - Road & Heavy Construction X

Elevators (manually operated as personnel hoist).

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$77.11**

Supplemental Benefit Rate per Hour: **\$36.05**

Supplemental Note: \$65.90 overtime hours

Shift Wage Rate: **\$123.38**

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Operating Engineer - Road & Heavy Construction XI

Compressors (Portable 3 or more in battery), Driving of Truck Mounted Compressors, Well-point Pumps, Tugger Machines Well Point Pumps, Churn Drill.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$60.16**

Supplemental Benefit Rate per Hour: **\$36.05**

Supplemental Note: **\$65.90** overtime hours

Shift Wage Rate: **\$96.26**

Operating Engineer - Road & Heavy Construction XII

All Drills and Machines of a similar nature.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$88.94**

Supplemental Benefit Rate per Hour: **\$36.05**

Supplemental Note: **\$65.90** overtime hours

Shift Wage Rate: **\$142.30**

Operating Engineer - Road & Heavy Construction XIII

Concrete Pumps, Concrete Plant, Stone Crushers, Double Drum Hoist, Power Houses (other than above).

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$86.19**

Supplemental Benefit Rate per Hour: **\$36.05**

Supplemental Note: **\$65.90** overtime hours

Shift Wage Rate: **\$137.90**

Operating Engineer - Road & Heavy Construction XIV

Concrete Mixer

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$82.44**

Supplemental Benefit Rate per Hour: **\$36.05**

Supplemental Note: **\$65.90** overtime hours

Shift Wage Rate: **\$131.90**

Operating Engineer - Road & Heavy Construction XV

Compressors (Portable Single or two in Battery, not over 100 feet apart), Pumps (River Cofferdam) and Welding Machines, Push Button Machines, All Engines Irrespective of Power (Power-Pac) used to drive auxiliary equipment, Air, Hydraulic, etc.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$56.01**

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Supplemental Benefit Rate per Hour: **\$36.05**
Supplemental Note: \$65.90 overtime hours
Shift Wage Rate: **\$89.62**

Operating Engineer - Road & Heavy Construction XVI

Concrete Breaking Machines, Hoists (Single Drum), Load Masters, Locomotives (over ten tons) and Dinkies over ten tons, Hydraulic Crane-Second Engineer.

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$78.79**
Supplemental Benefit Rate per Hour: **\$36.05**
Supplemental Note: \$65.90 overtime hours
Shift Wage Rate: **\$126.06**

Operating Engineer - Road & Heavy Construction XVII

On-Site concrete plant engineer, On-site Asphalt Plant Engineer, and Vibratory console.

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$79.36**
Supplemental Benefit Rate per Hour: **\$36.05**
Supplemental Note: \$65.90 overtime hours
Shift Wage Rate: **\$126.98**

Operating Engineer - Road & Heavy Construction XVIII

Tower Crane

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$113.37**
Supplemental Benefit Rate per Hour: **\$36.05**
Supplemental Note: \$65.90 overtime hours
Shift Wage Rate: **\$181.39**

Operating Engineer - Paving I

Asphalt Spreaders, Autogrades (C.M.I.), Roto/Mil

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$88.01**
Supplemental Benefit Rate per Hour: **\$36.05**
Supplemental Note: \$65.90 overtime hours
Shift Wage Rate: **\$140.82**

Operating Engineer - Paving II

Asphalt Roller

Effective Period: 7/1/2023 - 6/30/2024

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Wage Rate per Hour: **\$85.79**
Supplemental Benefit Rate per Hour: **\$36.05**
Supplemental Note: **\$65.90** overtime hours
Shift Wage Rate: **\$137.26**

Operating Engineer - Paving III

Asphalt Plants

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$72.72**
Supplemental Benefit Rate per Hour: **\$36.05**
Supplemental Note: **\$65.90** overtime hours
Shift Wage Rate: **\$116.35**

Operating Engineer - Concrete I

Cranes

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$94.01**
Supplemental Benefit Rate per Hour: **\$36.05**
Supplemental Note: **\$65.90** overtime hours

Operating Engineer - Concrete II

Compressors

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$56.43**
Supplemental Benefit Rate per Hour: **\$36.05**
Supplemental Note: **\$65.90** overtime hours

Operating Engineer - Concrete III

Micro-traps (Negative Air Machines), Vac-All Remediation System.

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$75.37**
Supplemental Benefit Rate per Hour: **\$36.05**
Supplemental Note: **\$65.90** overtime hours

Operating Engineer - Steel Erection I

Three Drum Derricks

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$97.68**
Supplemental Benefit Rate per Hour: **\$36.05**
Supplemental Note: **\$65.90** overtime hours

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Shift Wage Rate: **\$156.29**

Operating Engineer - Steel Erection II

Cranes, 2 Drum Derricks, Hydraulic Cranes, Fork Lifts and Boom Trucks.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$93.89**

Supplemental Benefit Rate per Hour: **\$36.05**

Supplemental Note: **\$65.90** overtime hours

Shift Wage Rate: **\$150.22**

Operating Engineer - Steel Erection III

Compressors, Welding Machines.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$56.29**

Supplemental Benefit Rate per Hour: **\$36.05**

Supplemental Note: **\$65.90** overtime hours

Shift Wage Rate: **\$90.06**

Operating Engineer - Steel Erection IV

Compressors - Not Combined with Welding Machine. (Public Works Only)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$53.64**

Supplemental Benefit Rate per Hour: **\$36.05**

Supplemental Note: **\$65.90** overtime hours

Shift Wage Rate: **\$85.82**

Operating Engineer - Building Work I

Forklifts, Plaster (Platform machine), Plaster Bucket, Concrete Pump and all other equipment used for hoisting material.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$73.47**

Supplemental Benefit Rate per Hour: **\$36.05**

Supplemental Note: **\$65.90** overtime hours

Operating Engineer - Building Work II

Compressors, Welding Machines (Cutting Concrete-Tank Work), Paint Spraying, Sandblasting, Pumps (with the exclusion of Concrete Pumps), All Engines irrespective of Power (Power-Pac) used to drive Auxiliary Equipment, Air, Hydraulic, Jacking System, etc.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$55.13**

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Supplemental Benefit Rate per Hour: **\$36.05**
Supplemental Note: \$65.90 overtime hours

Operating Engineer - Building Work III

Double Drum

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$89.09**
Supplemental Benefit Rate per Hour: **\$36.05**
Supplemental Note: \$65.90 overtime hours

Operating Engineer - Building Work IV

Stone Derrick, Cranes, Hydraulic Cranes Boom Trucks.

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$94.30**
Supplemental Benefit Rate per Hour: **\$36.05**
Supplemental Note: \$65.90 overtime hours

Operating Engineer - Building Work V

Dismantling and Erection of Cranes, Relief Engineer.

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$81.57**
Supplemental Benefit Rate per Hour: **\$36.05**
Supplemental Note: \$65.90 overtime hours

Operating Engineer - Building Work VI

4 Pole Hoist, Single Drum Hoists.

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$80.71**
Supplemental Benefit Rate per Hour: **\$36.05**
Supplemental Note: \$65.90 overtime hours

Operating Engineer - Building Work VII

Rack & Pinion and House Cars

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$64.28**
Supplemental Benefit Rate per Hour: **\$36.05**
Supplemental Note: \$65.90 overtime hours
For New House Car projects Wage Rate per Hour **\$51.40**
For New House Car projects: Supplemental Benefit overtime hours: **\$50.98**

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Overtime Description

On jobs of more than one shift, if an Employee fails to report for work through any cause over which the Employer has no control, the Employee on duty will continue to work at the rate of single time.

For House Cars and Rack & Pinion only: Overtime paid at time and one-half for all hours in excess of eight hours in a day, Saturday, Sunday and Holidays worked.

Overtime

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Shift Rates

When two (2) or more shifts are employed, single time will be paid for each shift.

For Steel Erection Only: Shifts may be worked at the single time rate at other than the regular working hours (8:00 A.M. to 4:30 P.M.) on the following work ONLY: Heavy construction jobs on work below the street level, over railroad tracks and on building jobs.

(Operating Engineer Local #14)

FLOOR COVERER

(Interior vinyl composition tile, sheath vinyl linoleum and wood parquet tile including site preparation and synthetic turf not including site preparation)

Floor Coverer

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$55.05**

Supplemental Benefit Rate per Hour: **\$47.88**

Overtime

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Day after Thanksgiving

Day before Christmas

Christmas Day

Day before New Year's Day

Shift Rates

Two shifts may be utilized with the first shift working 8 a.m. to the end of the shift at straight time rate of pay. The wage rate for the second shift consisting of 7 hours shall be paid at 114.29% of straight time wage rate. The wage rate for the second shift consisting of 8 hours shall be paid 112.5% of the straight time wage rate. When it is not possible to conduct alteration or repair work during regular working hours in a building occupied by tenants, the rule for the second shift will apply.

(Carpenters District Council)

GLAZIER

(New Construction, Remodeling, and Alteration)

Glazier

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$47.95**

Supplemental Benefit Rate per Hour: **\$53.34**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

New Year's Day
President's Day
Memorial Day
Independence Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

None

Shift Rates

Shifts shall be any 8 consecutive hours after the normal working day for which the Glazier shall receive 9 hours pay for 8 hours worked.

(Local #1281)

GLAZIER - REPAIR & MAINTENANCE

(For the Installation of Glass - All repair and maintenance work on a particular building.)

Craft Jurisdiction for repair, maintenance and fabrication

Plate glass replacement, Storm windows and storm doors, Herculite door repairs, Door closer repairs, Glass tinting.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$27.05**

Supplemental Benefit Rate per Hour: **\$26.50**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Sunday.

Time and one half the regular rate for work on the following holiday(s).

Time and one half the regular hourly rate after 40 straight time hours in any work week.

Paid Holidays

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Local #1281)

HAZARDOUS MATERIAL HANDLER

(Removal, abatement, encapsulation or decontamination of asbestos, lead, mold, or other toxic or hazardous waste/materials)

Handler

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$39.50**

Supplemental Benefit Rate per Hour: **\$20.60**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Sunday.

Time and one half the regular hourly rate after 40 straight time hours in any work week.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Easter

Paid Holidays

None

(Local #78 and Local #12A)

HEAT AND FROST INSULATOR

Heat & Frost Insulator

Effective Period: 7/1/2023 - 6/30/2024

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Wage Rate per Hour: **\$69.96**

Supplemental Benefit Rate per Hour: **\$35.76**

Overtime Description

Premium rate shall be paid for supplemental benefits during overtime work.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Triple time the regular rate for work on the following holiday(s).

Labor Day

Paid Holidays

None

Shift Rates

The first shift shall work seven hours at the regular straight time rate. The second and third shift shall work seven hours the regular straight time hourly rate plus a fourteen percent wage and benefit premium. There must be a first shift to work the second shift, and a second shift to work the third shift. Off-hour jobs in occupied buildings may be worked on weekdays with an increment of one-dollar (\$1.00) per hour and eight (8) hours pay for seven (7) hours worked.

(Local #12) (BCA)

HOUSE WRECKER (TOTAL DEMOLITION)

House Wrecker - Tier A

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

On all work sites the first, second, eleventh and every third House Wrecker thereafter will be Tier A House Wreckers (i.e. 1st, 2nd, 11th, 14th etc). Other House Wreckers may be Tier B House Wreckers.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$38.93**

Supplemental Benefit Rate per Hour: **\$31.27**

House Wrecker - Tier B

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$28.16**

Supplemental Benefit Rate per Hour: **\$23.68**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

(Mason Tenders District Council)

IRON WORKER - ORNAMENTAL

Iron Worker - Ornamental

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$47.15**

Supplemental Benefit Rate per Hour: **\$63.75**

Supplemental Note: Supplemental benefits are to be paid at the applicable overtime rate when overtime is in effect.

Overtime Description

Time and one half the regular rate after a 7 hour day for a maximum of two hours on any regular work day (the 8th and 9th hour) and double time shall be paid for all work on a regular work day thereafter. Time and one half

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the regular rate for Saturday for the first seven hours of work and double time should be paid for all work on a Saturday thereafter. Four (4), ten (10) hour days may be worked at straight time, Monday to Thursday.

Overtime

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

When two or three shifts are employed on a job, Monday through Friday, each shift will be paid eight (8) hours at the straight time rate for eight (8) hours of work; at time and one-half the regular straight time rate for the first two (2) hours of overtime worked beyond eight (8) hours; and at double time for all work thereafter. When it is not possible to conduct alteration or repair work during regular working hours in a building occupied by tenants, eight (8) hours will be paid at straight time rate for seven (7) hours of work, and all overtime shall be paid at time and one-half the regular straight time rates. On Saturday, Sundays and Holidays, time and one-half the regular straight time rate shall be paid for all work up to seven (7) hours and double time shall be paid for all work thereafter.

(Local #580)

IRON WORKER - STRUCTURAL

Iron Worker - Structural

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$57.20**

Supplemental Benefit Rate per Hour: **\$86.77**

Supplemental Note: Supplemental benefits are to be paid at the applicable overtime rate when overtime is in effect.

Overtime Description

Monday through Friday- the first eight hours are paid at straight time, the 9th and 10th hours are paid at time and one-half the regular rate, all additional weekday overtime is paid at double the regular rate. Saturdays- the first eight hours are paid at time and one-half the regular rate, double time thereafter. Sunday-all shifts are paid at double time. Four Days a week at Ten (10) hours straight time is allowed.

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Overtime

Time and one half the regular rate after an 8 hour day.
Time and one half the regular rate for Saturday.
Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Christmas Day

Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.
1/2 day on New Year's Eve if work is performed in the A.M.

Shift Rates

Monday through Friday - First Shift: First eight hours are paid at straight time, the 9th & 10th hours are paid at time and a half, double time paid thereafter. Second and third Shifts: First eight hours are paid at time and one-half, double time thereafter. Saturdays: All shifts, first eight hours paid at time and one-half, double time thereafter: Sunday all shifts are paid at double time.

Four (4), ten (10) hour days may be worked at straight time during a week, Monday thru Thursday.

(Local #40 & #361)

LABORER

(Foundation, Concrete, Excavating, Street Pipe Layer and Common)

Laborer

Excavation and foundation work for buildings, heavy construction, engineering work, and hazardous waste removal in connection with the above work. Landscaping tasks in connection with heavy construction work, engineering work and building projects. Projects include, but are not limited to pollution plants, sewers, parks, subways, bridges, highways, etc.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$44.50**

Supplemental Benefit Rate per Hour: **\$52.23**

Overtime

Time and one half the regular rate after an 8 hour day.

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Thanksgiving Day

Christmas Day

Paid Holidays

Labor Day

Thanksgiving Day

Shift Rates

When two shifts are employed, single time rate shall be paid for each shift. When three shifts are found necessary, each shift shall work seven and one half hours (7 ½), but shall be paid for eight (8) hours of labor, and be permitted one half hour for lunch.

(Local #731)

LANDSCAPING

(Landscaping tasks, such as tree pruning, tree removing and spraying in connection with Green Infrastructure maintenance and the planting of street trees and trees in City parks, but not when such activities are performed as part of construction or reconstruction projects.)

Landscaper (Year 6 and above)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$36.64**

Supplemental Benefit Rate per Hour: **\$17.55**

Landscaper (Year 3 - 5)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$35.47**

Supplemental Benefit Rate per Hour: **\$17.55**

Landscaper (up to 3 years)

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$32.55**

Supplemental Benefit Rate per Hour: **\$17.55**

Groundperson

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$32.55**

Supplemental Benefit Rate per Hour: **\$17.55**

Tree Remover / Pruner

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$42.51**

Supplemental Benefit Rate per Hour: **\$17.55**

Landscaper Sprayer (Pesticide Applicator)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$30.80**

Supplemental Benefit Rate per Hour: **\$17.55**

Watering - Plant Maintainer

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$24.92**

Supplemental Benefit Rate per Hour: **\$17.55**

Overtime Description

For all overtime work performed, supplemental benefits shall include an additional seventy-five (\$0.75) cents per hour.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Time and one half the regular rate for work on a holiday plus the day's pay.

Paid Holidays

New Year's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Shift Rates

Work performed on a 4pm to 12am shift has a 15% differential. Work performed on a 12am to 8am shift has a 20% differential.

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

(Local #175)

MARBLE MECHANIC

Marble Setter

Effective Period: 7/1/2023 - 7/2/2023

Wage Rate per Hour: **\$57.82**

Supplemental Benefit Rate per Hour: **\$42.86**

Effective Period: 7/3/2023 - 6/30/2024

Wage Rate per Hour: **\$58.12**

Supplemental Benefit Rate per Hour: **\$43.31**

Marble Finisher

Effective Period: 7/1/2023 - 7/2/2023

Wage Rate per Hour: **\$44.77**

Supplemental Benefit Rate per Hour: **\$40.16**

Effective Period: 7/3/2023 - 6/30/2024

Wage Rate per Hour: **\$45.10**

Supplemental Benefit Rate per Hour: **\$40.36**

Marble Polisher

Effective Period: 7/1/2023 - 7/2/2023

Wage Rate per Hour: **\$43.97**

Supplemental Benefit Rate per Hour: **\$32.76**

Effective Period: 7/3/2023 - 6/30/2024

Wage Rate per Hour: **\$44.19**

Supplemental Benefit Rate per Hour: **\$33.11**

Marble Maintenance Finisher

Effective Period: 7/1/2023 - 7/2/2023

Wage Rate per Hour: **\$27.26**

Supplemental Benefit Rate per Hour: **\$14.55**

Effective Period: 7/3/2023 - 6/30/2024

Wage Rate per Hour: **\$27.44**

Supplemental Benefit Rate per Hour: **\$14.77**

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Overtime Description

Supplemental Benefit contributions are to be made at the applicable overtime rates.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

(Local #7)

MASON TENDER

Mason Tender

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$43.80**

Supplemental Benefit Rate per Hour: **\$29.39**
before calculating premium wage deduct \$3.00

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Christmas Day

Paid Holidays

None

Shift Rates

The employer may work two (2) shifts with the first shift at the straight time wage rate and the second shift receiving eight (8) hours paid for seven (7) hours work at the straight time wage rate. When it is not possible to conduct alteration work during regular working hours in a building occupied by tenants, the rule for the second shift will apply.

(Local #79)

MASON TENDER (INTERIOR DEMOLITION WORKER)

Mason Tender Tier A

Tier A Interior Demolition Worker performs all burning, chopping, and other technically skilled tasks related to interior demolition work.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$39.19**

Supplemental Benefit Rate per Hour: **\$24.60**
before calculating premium wage deduct \$1.50

Mason Tender Tier B

Tier B Interior Demolition Worker performs manual work and work incidental to demolition work, such as loading and carting of debris from the work site to an area where it can be loaded in to bins/trucks for removal. Also performs clean-up of the site when demolition is completed.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$28.38**

Supplemental Benefit Rate per Hour: **\$18.92**
before calculating premium wage deduct \$1.50

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Sunday.

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Christmas Day

Paid Holidays

None

(Local #79)

METALLIC LATHER

Metallic Lather

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$46.45**

Supplemental Benefit Rate per Hour: **\$52.80**

Supplemental Note: For time and one half overtime - \$64.80 For double overtime - \$81.60

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Thanksgiving Day
Christmas Day

Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

1/2 day on New Year's Eve if work is performed in the A.M.

Shift Rates

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CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Off-shift work outside of normal working hours shall receive straight time rate plus \$12 per hour for the first eight (8) hours.

(Local #46)

MILLWRIGHT

Millwright

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$58.70**

Supplemental Benefit Rate per Hour: **\$57.11**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Veteran's Day

Thanksgiving Day

Christmas Day

Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

1/2 day on New Year's Eve if work is performed in the A.M.

Shift Rates

Second and third shifts receives the straight time rate of pay plus fifteen (15%) percent allowing for one half hour for a meal. There must be a first shift to work a second and third shift. All additional hours worked shall be paid at the time and one-half rate of pay plus fifteen (15%) percent for weekday hours.

(Local #740)

MOSAIC MECHANIC

Mosaic Mechanic - Mosaic & Terrazzo Mechanic

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$53.40**

Supplemental Benefit Rate per Hour: **\$45.67**

Mosaic Mechanic - Mosaic & Terrazzo Finisher

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$51.79**

Supplemental Benefit Rate per Hour: **\$45.67**

Mosaic Mechanic - Machine Operator Grinder

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$51.79**

Supplemental Benefit Rate per Hour: **\$45.67**

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Washington's Birthday

Good Friday

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

(Local #7)

PAINTER

Painter - Brush & Roller

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$43.00**

Supplemental Benefit Rate per Hour: **\$40.88**

Supplemental Note: \$46.62 on overtime

Spray & Scaffold / Decorative / Sandblast

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$46.00**

Supplemental Benefit Rate per Hour: **\$40.88**

Supplemental Note: \$46.62 on overtime

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

(District Council of Painters #9)

PAINTER - LINE STRIPING (ROADWAY)

see PAVER AND ROADBUILDER - LINE STRIPING (ROADWAY)

PAINTER - METAL POLISHER

METAL POLISHER

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$32.93**

Supplemental Benefit Rate per Hour: **\$11.99**

METAL POLISHER - NEW CONSTRUCTION

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$33.88**

Supplemental Benefit Rate per Hour: **\$11.99**

METAL POLISHER - SCAFFOLD OVER 34 FEET

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$36.43**

Supplemental Benefit Rate per Hour: **\$11.99**

ASSISTANT METAL POLISHER

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$25.71**

Supplemental Benefit Rate per Hour: **\$11.51**

ASSISTANT METAL POLISHER - NEW CONSTRUCTION

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$26.66**

Supplemental Benefit Rate per Hour: **\$11.51**

ASSISTANT METAL POLISHER - SCAFFOLD OVER 34 FEET

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$28.21**

Supplemental Benefit Rate per Hour: **\$11.51**

Overtime Description

All work performed on Saturdays shall be paid at time-in-a half. The exception being; for suspended scaffold work and work deemed as a construction project; an eight (8) hour shift lost during the week due to circumstances beyond the control of the employer, up to a maximum of eight (8) hours per week, may be worked on Saturday at the straight time rate.

Holiday Pay

Only employees who have completed one year of service, including any trial period shall be eligible for holiday pay.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Triple time the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Shift Rates

Four Days a week at Ten (10) hours straight a day.

Local 8A-28A

PAINTER - SIGN

Sign Painter

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$45.54**

Supplemental Benefit Rate per Hour: **\$22.29**

Assistant Sign Painter

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$38.70**

Supplemental Benefit Rate per Hour: **\$20.20**

Overtime Description

If any employee is required to work on any of the paid holidays then the employee shall receive double time rate of wages as well as the holiday pay for that day.

Overtime

Time and one half the regular rate after an 8 hour day.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Paid Holidays

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Election Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Vacation

At least 1 year of employment.....1 week

2 years or more of employment.....2 weeks

8 years or more of employment.....3 weeks

(Local #8A-28A)

PAINTER - STRUCTURAL STEEL

Painters on Structural Steel

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$54.50**

Supplemental Benefit Rate per Hour: **\$51.33**

Painter - Power Tool

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$61.00**

Supplemental Benefit Rate per Hour: **\$51.33**

Overtime Wage Rate: **\$6.50** above the "Painters on Structural Steel" overtime rate.

Overtime Description

Supplemental Benefits shall be paid for each hour worked, up to forty (40) hours per week for the period of May 1st to November 15th or up to fifty (50) hours per week for the period of November 16th to April 30th.

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Overtime Holidays

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Double time the regular rate for work on the following holiday(s).

New Year's Day
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Christmas Day

Paid Holidays

None

Shift Rates

Second shift is paid at regular hourly wage rates plus a ten percent (10%) differential. There must be a first shift in order to work a second shift.

(Local #806)

PAPERHANGER

Paperhanger

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$48.02**

Supplemental Benefit Rate per Hour: **\$40.51**

Supplemental Note: Supplemental benefits are to be paid at the appropriate straight time and overtime rate.

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

None

Shift Rates

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Evening shift - 4:30 P.M. to 12:00 Midnight (regular rate of pay); any work performed before 7:00 A.M. shall be at time and one half the regular base rate of pay.

(District Council of Painters #9)

PAVER AND ROADBUILDER

Paver & Roadbuilder - Formsetter

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$48.85**

Supplemental Benefit Rate per Hour: **\$51.87**

Supplemental Note: For time and one half overtime - \$56.37 For double overtime - \$60.87

Paver & Roadbuilder - Laborer

Paving and road construction work, regardless of material used, including but not limited to preparation of job sites, removal of old surfaces, asphalt and/or concrete, by whatever method, including but not limited to milling; laying of concrete; laying of asphalt for temporary, patchwork, and utility paving (but not production paving); site preparation and incidental work for installation of rubberized materials and similar surfaces; installation and repair of temporary construction fencing; slurry/seal coating, paving stones, maintenance of safety surfaces; play equipment installation, and other related work.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$44.98**

Supplemental Benefit Rate per Hour: **\$51.87**

Supplemental Note: For time and one half overtime - \$56.37 For double overtime - \$60.87

Production Paver & Roadbuilder - Screed Person

(Production paving is asphalt paving when using a paving machine or on a project where a paving machine is traditionally used)

Adjustment of paving machinery on production paving jobs.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$49.45**

Supplemental Benefit Rate per Hour: **\$51.87**

Supplemental Note: For time and one half overtime - \$56.37 For double overtime - \$60.87

Production Paver & Roadbuilder - Raker

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$48.85**

Supplemental Benefit Rate per Hour: **\$51.87**

Supplemental Note: For time and one half overtime - \$56.37 For double overtime - \$60.87

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Production Paver & Roadbuilder - Shoveler

General laborer (except removal of surfaces - see Paver and Roadbuilder-Laborer) including but not limited to tamper, AC paint and liquid tar work.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$44.98**

Supplemental Benefit Rate per Hour: **\$51.87**

Supplemental Note: For time and one half overtime - \$56.37 For double overtime - \$60.87

Overtime Description

If an employee works New Year's Day or Christmas Day, they receive the single time rate plus 25%.

For Paid Holidays: Holiday pay for all holidays shall be prorated based two hours per day for each day worked in the holiday week, not to exceed 8 hours of holiday pay.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

Memorial Day

Independence Day

Labor Day

Columbus Day

Thanksgiving Day

Paid Holidays

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Shift Rates

When two shifts are employed, the work period for each shift shall be a continuous eight (8) hours. When three shifts are employed, each shift will work seven and one half (7 ½) hours but will be paid for eight (8) hours at the straight time rate since only one half (1/2) hour is allowed for meal time.

When two or more shifts are employed, single time will be paid for each shift.

Night Work - On night work, the first eight (8) hours of work will be paid for at the single time rate, except that production paving work shall be paid at 10% over the single time rate for the screed person, rakers and shovelers directly involved only. This differential is to be paid when there is only one shift and the shift works at night. All other workers will be exempt. Hours worked over eight (8) hours during said shift shall be paid for at the time and one-half rate.

(Local #1010)

PAVER AND ROADBUILDER - LINE STRIPING (ROADWAY)

Striping - Machine Operator

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$40.00**

Supplemental Benefit Rate per Hour: **\$17.27**

Supplemental Note: For time and one half overtime - \$18.27 For double overtime - \$19.27

Lineperson (Thermoplastic)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$44.00**

Supplemental Benefit Rate per Hour: **\$17.27**

Supplemental Note: For time and one half overtime - \$18.27 For double overtime - \$19.27

Striping Assistant & Traffic Safety

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$38.00**

Supplemental Benefit Rate per Hour: **\$17.27**

Supplemental Note: For time and one half overtime - \$18.27 For double overtime - \$19.27

Overtime Description

For Paid Holidays: Employees will only receive Holiday Pay for holidays not worked if said employee worked both the regularly scheduled workday before and after the holiday.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Time and one half the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Vacation

Employees with one to two years service shall accrue vacation based on hours worked: 250 hours worked - 1 day vacation; 500 hours worked - 2 days vacation; 750 hours worked - 3 days vacation; 900 hours worked - 4 days vacation; 1,000 hours worked - 5 days vacation. Employees with two to five years service receive two weeks

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

vacation. Employees with five to twenty years service receive three weeks vacation. Employees with twenty to twenty-five years service receive four weeks vacation. Employees with 25 or more years service receive five weeks vacation.

(Local #1010)

PLASTERER

Plasterer

Effective Period: 7/1/2023 - 7/31/2023

Wage Rate per Hour: **\$52.08**

Supplemental Benefit Rate per Hour: **\$23.74**

Effective Period: 8/1/2023 - 6/30/2024

Wage Rate per Hour: **\$52.10**

Supplemental Benefit Rate per Hour: **\$25.35**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

When it is not possible to conduct work during regular working hours (between 6:30am and 4:30pm), a shift differential shall be paid at the regular hourly rate plus a twelve percent (12%) per hour differential. Workers on shift work shall be allowed a paid one-half hour meal break.

(Local #262)

PLASTERER - TENDER

Plasterer - Tender

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$39.95**

Supplemental Benefit Rate per Hour: **\$31.99**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Washington's Birthday

Memorial Day

Independence Day

Labor Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

When work commences outside regular work hours, workers receive an hour additional (differential) wage and supplement payment. Eight hours pay for seven hours work or nine hours pay for eight hours work.

(Mason Tenders District Council)

PLUMBER

Plumber

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$72.50**

Supplemental Benefit Rate per Hour: **\$41.45**

Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Plumber - Temporary Services

Temporary Services - When there are no Plumbers on the job site, there may be three shifts designed to cover the entire twenty-four hour period, including weekends if necessary, at the following rate straight time.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$58.08**

Supplemental Benefit Rate per Hour: **\$33.08**

Overtime

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Shift Rates

30% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shifts Monday to Friday.

50% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shift work performed on weekends. For shift work on holidays, double time wages and fringe benefits shall be paid.

(Plumbers Local #1)

PLUMBER (MECHANICAL EQUIPMENT AND SERVICE)

(Mechanical Equipment and Service work shall include any repair and/or replacement of the present plumbing system.)

Plumber

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$47.45**

Supplemental Benefit Rate per Hour: **\$20.51**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Overtime

Time and one half the regular rate after an 8 hour day.
Time and one half the regular rate for Saturday.
Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Memorial Day
Independence Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

None

(Plumbers Local # 1)

**PLUMBER (RESIDENTIAL RATES FOR 1, 2 AND 3 FAMILY HOME
CONSTRUCTION)**

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$50.35**

Supplemental Benefit Rate per Hour: **\$29.73**

Overtime

Double time the regular rate after an 8 hour day.
Double time the regular time rate for Saturday.
Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

None

Shift Rates

30% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shifts Monday to Friday.
50% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shift work performed on weekends. For shift work on holidays, double time wages and fringe benefits shall be paid.

(Plumbers Local #1)

PLUMBER: PUMP & TANK Oil Trades (Installation and Maintenance)

Plumber - Pump & Tank

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$69.73**

Supplemental Benefit Rate per Hour: **\$28.48**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

Shift Rates

All work outside the regular workday (8:00 A.M. to 3:30 P.M.) is to be paid at time and one half the regular hourly rate

(Plumbers Local #1)

**POINTER, WATERPROOFER, CAULKER, SANDBLASTER,
STEAMBLASTER**
(Exterior Building Renovation)

Journey person

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$61.93**

Supplemental Benefit Rate per Hour: **\$30.25**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

All work outside the regular work day (an eight hour workday between the hours of 6:00 A.M. and 4:00 P.M.) is to be paid at time and one half the regular rate. However, the employer may establish one (1) or two (2) shifts starting at or after 4:00 P.M. to be paid at the regular hourly rate plus a 10% differential. For projects bid and performed after July 1, 2023, the first shift shall be paid at the regular hourly rate plus a 5% differential.

(Bricklayer District Council)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

ROOFER

Roofer

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$46.50**

Supplemental Benefit Rate per Hour: **\$38.31**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

Second shift - Regular hourly rate plus a 10% differential. Third shift - Regular hourly rate plus a 15% differential. There must be a first shift to work the second shift, and a second shift to work the third shift. All other work outside the regular work day (an eight hour workday between the hours of 5:00 A.M. and 4:00 P.M.) is to be paid at time and one half the regular rate.

(Local #8)

SHEET METAL WORKER

Sheet Metal Worker

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$52.60**

Supplemental Benefit Rate per Hour: **\$56.93**

Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

Sheet Metal Worker - Fan Maintenance

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

(The temporary operation of fans or blowers in new or existing buildings for heating and/or ventilation, and/or air conditioning prior to the completion of the project.)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$42.08**

Supplemental Benefit Rate per Hour: **\$56.93**

Sheet Metal Worker - Duct Cleaner

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$19.30**

Supplemental Benefit Rate per Hour: **\$12.35**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

Shift Rates

Work that can only be performed outside regular working hours (eight hours of work between 7:30 A.M. and 3:30 P.M.) - First shift (work between 3:30 P.M. and 11:30 P.M.) - 10% differential above the established hourly rate.

Second shift (work between 11:30 P.M. and 7:30 A.M.) - 15% differential above the established hourly rate.

For Fan Maintenance: On all full shifts of fan maintenance work the straight time hourly rate of pay will be paid for each shift, including nights, Saturdays, Sundays, and holidays.

(Local #28)

SHEET METAL WORKER - SPECIALTY (Decking & Siding)

Sheet Metal Specialty Worker

The first worker to perform this work must be paid at the rate of the Sheet Metal Worker. The second and third workers shall be paid the Specialty Worker Rate. The ratio of One Sheet Metal Worker, then Two Specialty Workers shall be utilized thereafter.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$49.40**

Supplemental Benefit Rate per Hour: **\$28.99**

Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

(Local #28)

SHIPYARD WORKER

Shipyard Mechanic - First Class

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$30.26**

Supplemental Benefit Rate per Hour: **\$3.80**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Shipyard Mechanic - Second Class

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$21.63**

Supplemental Benefit Rate per Hour: **\$3.30**

Shipyard Laborer - First Class

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$23.59**

Supplemental Benefit Rate per Hour: **\$3.70**

Shipyard Laborer - Second Class

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$18.43**

Supplemental Benefit Rate per Hour: **\$3.43**

Shipyard Dockhand - First Class

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$25.82**

Supplemental Benefit Rate per Hour: **\$3.54**

Shipyard Dockhand - Second Class

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$18.83**

Supplemental Benefit Rate per Hour: **\$3.58**

Overtime Description

Work performed on holiday is paid double time the regular hourly wage rate plus holiday pay.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Time and one half the regular hourly rate after 40 straight time hours in any work week.

Paid Holidays

New Year's Day

Martin Luther King Jr. Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Based on Survey Data

SIGN ERECTOR

(Sheet Metal, Plastic, Electric, and Neon)

Sign Erector

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$56.00**

Supplemental Benefit Rate per Hour: **\$61.89**

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Time and one half the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Election Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Shift Rates

Time and one half the regular hourly rate is to be paid for all hours worked outside the regular workday either (7:00 A.M. through 2:30 P.M.) or (8:00 A.M. through 3:30 P.M.)

(Local #137)

STEAMFITTER

Steamfitter

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$69.05**

Supplemental Benefit Rate per Hour: **\$53.14**

Supplemental Note: Overtime supplemental benefit rate: \$105.54

Steamfitter -Temporary Services

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$52.48**

Supplemental Benefit Rate per Hour: **\$43.57**

Overtime Description

Double time after a 7 hour day except for Temporary Services.

Overtime

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

Shift Rates

May be performed outside of the regular workday except Saturday, Sunday and Holidays. When shift work is performed the wage rate for regular time worked is a 15% percent premium on wage and 15% percent premium on supplemental benefits.

Local 638

STEAMFITTER - REFRIGERATION AND AIR CONDITIONER (Maintenance and Installation Service Person)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Refrigeration and Air Conditioner Mechanic

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$44.85**

Supplemental Benefit Rate per Hour: **\$20.71**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Independence Day

Labor Day

Veteran's Day

Thanksgiving Day

Christmas Day

Double time and one half the regular rate for work on the following holiday(s).

Martin Luther King Jr. Day

President's Day

Memorial Day

Columbus Day

Paid Holidays

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

(Local #638-B)

STONE MASON - SETTER

Stone Mason - Setter

(Assisted by Derrickperson and Rigger)

Effective Period: 7/1/2023 - 6/30/2024

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Wage Rate per Hour: **\$56.15**

Supplemental Benefit Rate per Hour: **\$53.35**

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Washington's Birthday

Good Friday

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

Shift Rates

For all work outside the regular workday (8:00 A.M. to 3:30 P.M. Monday through Friday), the pay shall be straight time plus a ten percent (10%) differential.

(Bricklayers District Council)

TAPER

Drywall Taper

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$48.47**

Supplemental Benefit Rate per Hour: **\$30.01**

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Good Friday
Memorial Day
Independence Day
Labor Day
Columbus Day
Thanksgiving Day
Christmas Day

Paid Holidays

Any worker who reports to work on Christmas Eve or New Year's Eve pursuant to his employer's instruction shall be entitled to three (3) hours afternoon pay without working.

(Local #1974)

TELECOMMUNICATION WORKER

(Install/maintain/repair telecommunications cables carrying data, video, and/or voice except for installation on building construction/alteration/renovation projects.)

Telecommunication Worker

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$47.03**

Supplemental Benefit Rate per Hour: **\$23.15**

Supplemental Note: The above rate applies for Manhattan, Bronx, Brooklyn, Queens. \$22.84 for Staten Island only.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day
Lincoln's Birthday
Washington's Birthday
Memorial Day
Independence Day
Labor Day
Columbus Day
Election Day
Veteran's Day
Thanksgiving Day
Christmas Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Paid Holidays

- New Year's Day
- Lincoln's Birthday
- Washington's Birthday
- Memorial Day
- Independence Day
- Labor Day
- Columbus Day
- Election Day
- Veteran's Day
- Thanksgiving Day
- Christmas Day

Employees have the option of observing either Martin Luther King's Birthday or the day after Thanksgiving instead of Lincoln's Birthday

Shift Rates

For any workday that starts before 8A.M. or ends after 6P.M. there is a 10% differential for the applicable worker's hourly rate.

Vacation

- After 6 months.....one week.
- After 12 months but less than 7 years.....two weeks.
- After 7 or more but less than 15 years.....three weeks.
- After 15 years or more but less than 25 years.....four weeks.

(C.W.A.)

TILE FINISHER

Tile Finisher

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$48.78**
Supplemental Benefit Rate per Hour: **\$32.36**

Overtime

Time and one half the regular rate after a 7 hour day.
Time and one half the regular rate for Saturday.
Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

- New Year's Day
- President's Day
- Good Friday
- Memorial Day
- Independence Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

None

Shift Rates

Off shift work day (work performed outside the regular 8:00 A.M. to 3:30 P.M. workday): shift differential of one and one quarter (1¼) times the regular straight time rate of pay for the seven hours of actual off-shift work.

(Local #7)

TILE LAYER - SETTER

Tile Layer - Setter

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$63.46**

Supplemental Benefit Rate per Hour: **\$35.51**

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Shift Rates

Off shift work day (work performed outside the regular 8:00 A.M. to 3:30 P.M. workday): shift differential of one and one quarter (1¼) times the regular straight time rate of pay for the seven hours of actual off-shift work.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

(Local #7)

TIMBERPERSON

Timberperson

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$54.05**

Supplemental Benefit Rate per Hour: **\$54.99**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

Off shift work commencing between 5:00 P.M. and 11:00 P.M. shall work eight and one half hours allowing for one half hour for lunch. The wage rate shall be 113% of the straight time hourly wage rate. Benefits for off-shift work shall be paid at the straight time rate.

(Local #1556)

TUNNEL WORKER

Blasters, Mucking Machine Operators (Compressed Air Rates)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$71.86**
Supplemental Benefit Rate per Hour: **\$63.35**

Tunnel Workers (Compressed Air Rates)

Includes shield driven liner plate portions or solidification portions work (8 hour shift) during excavation phase.

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$69.30**
Supplemental Benefit Rate per Hour: **\$61.35**

Top Nipper (Compressed Air Rates)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$68.14**
Supplemental Benefit Rate per Hour: **\$60.14**

Outside Lock Tender, Outside Gauge Tender, Muck Lock Tender (Compressed Air Rates)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$66.78**
Supplemental Benefit Rate per Hour: **\$59.16**

Bottom Bell & Top Bell Signal Person: Shaft Person (Compressed Air Rates)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$66.78**
Supplemental Benefit Rate per Hour: **\$59.16**

Changehouse Attendant: Powder Watchperson (Compressed Air Rates)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$58.80**
Supplemental Benefit Rate per Hour: **\$55.51**

Blasters (Free Air Rates)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$68.55**
Supplemental Benefit Rate per Hour: **\$60.82**

Tunnel Workers (Free Air Rates)

Effective Period: 7/1/2023 - 6/30/2024

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Wage Rate per Hour: **\$65.58**

Supplemental Benefit Rate per Hour: **\$58.28**

All Others (Free Air Rates)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$60.62**

Supplemental Benefit Rate per Hour: **\$53.94**

Microtunneling (Free Air Rates)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$52.46**

Supplemental Benefit Rate per Hour: **\$46.62**

Overtime Description

For work performed during excavation and primary concrete tunnel lining phases - Double time the regular rate after an 8 hour day and Saturday, Sunday and on the following holiday(s) listed below.

For Repair-Maintenance Work on Existing Equipment and Facilities - Time and one half the regular rate after a 8 hour day, Saturday, Sunday and double time the regular rate for work on the following holiday(s) listed below.

For Small-Bore Micro Tunneling Machines - Time and one-half the regular rate shall be paid for all overtime.

For work not listed above - Time and one half the regular rate after an 8 hour day and Saturday and double time the regular rate on Sunday and on the following holiday(s) listed below.

Paid Holidays

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

(Local #147)

UTILITY LOCATOR

(Locate & mark underground utilities for street excavation.)

Utility Locator (Year 7 and above)

Effective Period: 7/1/2023 - 6/30/2024

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Wage Rate per Hour: **\$31.56**

Supplemental Benefit Rate per Hour: **\$1.43**

Utility Locator (Year 5 - 6)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$22.85**

Supplemental Benefit Rate per Hour: **\$1.43**

Utility Locator (Year 4)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$21.54**

Supplemental Benefit Rate per Hour: **\$1.43**

Utility Locator (Year 3)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$20.30**

Supplemental Benefit Rate per Hour: **\$1.43**

Utility Locator (Year 2)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$19.13**

Supplemental Benefit Rate per Hour: **\$1.43**

Utility Locator (Year 1)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$18.04**

Supplemental Benefit Rate per Hour: **\$1.43**

Utility Locator (Up to 1 year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$17.00**

Supplemental Benefit Rate per Hour: **\$1.43**

Supplemental Note: No benefits for the first 90 days of employment.

Overtime

Time and one half the regular rate for work on the following holiday(s).

Time and one half the regular hourly rate after 40 straight time hours in any work week.

Paid Holidays

New Year's Day

Memorial Day

Independence Day

Thanksgiving Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

Christmas Day

Shift Rates

10% shift differential to employees working any shift starting between noon and 5 AM.

Vacation

For up to 1 year 0 hours
For year 1 - 2 48 hours per year
For year 3 - 9 96 hours per year
For year 10 or more 144 hours per year

Sick Days:

For up to 1 year employee receives 40 hours paid sick leave.
For year 1 employee earns 2 hours of paid sick leave for every 100 overtime hours worked.
For year 2 - 9 years employee earns 4 hours of paid sick leave for every 100 overtime hours worked.
For year 10 or more employee earns 6 hours of paid sick leave for every 100 overtime hours worked.

(C.W.A.)

WELDER

**WELDER AND FIREWATCH TO BE PAID AT THE RATE OF THE
JOURNEYPERSON OR REGISTERED APPRENTICE IN THE TRADE
PERFORMING THE WORK.**

OFFICE OF THE COMPTROLLER

CITY OF NEW YORK

**CONSTRUCTION APPRENTICE
PREVAILING WAGE SCHEDULE**

Pursuant to Labor Law § 220 (3-e), only apprentices who are individually registered in a bona fide program to which the employer contractor is a participant and registered with the New York State Department of Labor, may be paid at the apprentice rates in this schedule. Apprentices who are not so registered must be paid as journey persons in accordance with the trade classification of the work they actually performed.

Apprentice ratios are established to ensure the proper safety, training and supervision of apprentices. A ratio establishes the number of journey workers required for each apprentice in a program and on a job site. Ratios are interpreted as follows: in the case of a 1:1, 1:4 ratio, there must be one journey worker for the first apprentice, and four additional journey workers for each subsequent apprentice.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

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BOILERMAKER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

Boilermaker (First Year)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 65% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$34.37

Boilermaker (Second Year: 1st Six Months)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 70% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$36.39

Boilermaker (Second Year: 2nd Six Months)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 75% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$38.41

Boilermaker (Third Year: 1st Six Months)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 80% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$40.40

Boilermaker (Third Year: 2nd Six Months)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 85% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$42.43

Boilermaker (Fourth Year: 1st Six Months)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 90% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$44.44

Boilermaker (Fourth Year: 2nd Six Months)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 95% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$46.46

(Local #5)

BRICKLAYER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

Bricklayer (First 750 Hours)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 50% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$22.60

Bricklayer (Second 750 Hours)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 60% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$22.60

Bricklayer (Third 750 Hours)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 70% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$22.60

Bricklayer (Fourth 750 Hours)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 80% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$22.60

Bricklayer (Fifth 750 Hours)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 90% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$22.60

Bricklayer (Sixth 750 Hours)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 95% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$22.60

(Bricklayer District Council)

CARPENTER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

Carpenter (First Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour For Building Apprentice: \$20.20

Supplemental Benefit Rate Per Hour For Building Apprentice: \$17.25

Wage Rate Per Hour For Heavy Apprentice: \$25.60

Supplemental Benefit Rate Per Hour For Heavy Apprentice: \$37.31

Carpenter (Second Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour For Building Apprentice: \$23.20

Supplemental Benefit Rate Per Hour For Building Apprentice: \$18.75

Wage Rate Per Hour For Heavy Apprentice: \$31.20

Supplemental Benefit Rate Per Hour For Heavy Apprentice: \$37.31

Carpenter (Third Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour For Building Apprentice: \$27.45

Supplemental Benefit Rate Per Hour For Building Apprentice: \$22.35

Wage Rate Per Hour For Heavy Apprentice: \$39.58

Supplemental Benefit Rate Per Hour For Heavy Apprentice: \$37.31

Carpenter (Fourth Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour For Building Apprentice: \$35.33

Supplemental Benefit Rate Per Hour For Building Apprentice: \$24.35

Wage Rate Per Hour For Heavy Apprentice: \$47.97

Supplemental Benefit Rate Per Hour For Heavy Apprentice: \$37.31

(Carpenters District Council)

CARPENTER - HIGH RISE CONCRETE FORMS

(Ratio of Apprentice to Journeyman: 1 to 1, 2 to 5)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Carpenter - High Rise (First Year)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$18.27**
Supplemental Benefit Rate per Hour: **\$17.55**

Carpenter - High Rise (Second Year)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$24.70**
Supplemental Benefit Rate per Hour: **\$17.68**

Carpenter - High Rise (Third Year)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$31.28**
Supplemental Benefit Rate per Hour: **\$17.81**

Carpenter - High Rise (Fourth Year)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$38.90**
Supplemental Benefit Rate per Hour: **\$17.96**

(Carpenters District Council)

CEMENT AND CONCRETE WORKER
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

Cement & Concrete Worker (First 1333 hours)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 53% of Journeyman's rate
Supplemental Benefit Rate Per Hour: **\$14.79**

Cement & Concrete Worker (Second 1333 hours)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 69% of Journeyman's rate
Supplemental Benefit Rate Per Hour: **\$19.72**

Cement & Concrete Worker (Last 1334 hours)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 85% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$21.30

(Cement Concrete Workers District Council)

CEMENT MASON
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

Cement Mason (First Year)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: \$19.92
Supplemental Benefit Rate per Hour: \$15.61

Cement Mason (Second Year)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: \$24.82
Supplemental Benefit Rate per Hour: \$15.91

Cement Mason (Third Year)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: \$30.22
Supplemental Benefit Rate per Hour: \$16.02

(Local #780)

DERRICKPERSON & RIGGER (STONE)
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

Derrickperson & Rigger (stone) - First Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 50% of Journeyman's rate
Supplemental Benefit Rate Per Hour: 50% of Journeyman's rate

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Derrickperson & Rigger (stone) - Second Year: 1st Six Months

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 70% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: 75% of Journeyperson's rate

Derrickperson & Rigger (stone) - Second Year: 2nd Six Months

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 80% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: 75% of Journeyperson's rate

Derrickperson & Rigger (stone) - Third Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 90% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: 75% of Journeyperson's rate

(Local #197)

DOCKBUILDER/PILE DRIVER
(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 6)

Dockbuilder/Pile Driver (First Year)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: \$25.60
Supplemental Benefit Rate Per Hour: \$37.31

Dockbuilder/Pile Driver (Second Year)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: \$31.20
Supplemental Benefit Rate Per Hour: \$37.31

Dockbuilder/Pile Driver (Third Year)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: \$39.58
Supplemental Benefit Rate Per Hour: \$37.31

Dockbuilder/Pile Driver (Fourth Year)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: \$47.97

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate Per Hour: \$37.31

(Carpenters District Council)

ELECTRICIAN

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Electrician (First Term: 0-6 Months)

Effective Period: 7/1/2023 - 4/12/2024

Wage Rate per Hour: **\$18.00**

Supplemental Benefit Rate per Hour: **\$16.43**

Overtime Supplemental Rate Per Hour: **\$17.63**

Effective Period: 4/13/2024 - 6/30/2024

Wage Rate per Hour: **\$18.00**

Supplemental Benefit Rate per Hour: **\$17.18**

Overtime Supplemental Rate Per Hour: **\$18.38**

Electrician (First Term: 7-12 Months)

Effective Period: 7/1/2023 - 4/12/2024

Wage Rate per Hour: **\$18.50**

Supplemental Benefit Rate per Hour: **\$16.69**

Overtime Supplemental Rate Per Hour: **\$17.92**

Effective Period: 4/13/2024 - 6/30/2024

Wage Rate per Hour: **\$18.50**

Supplemental Benefit Rate per Hour: **\$17.44**

Overtime Supplemental Rate Per Hour: **\$18.67**

Electrician (Second Term: 0-6 Months)

Effective Period: 7/1/2023 - 4/12/2024

Wage Rate per Hour: **\$19.50**

Supplemental Benefit Rate per Hour: **\$17.22**

Overtime Supplemental Rate Per Hour: **\$18.51**

Effective Period: 4/13/2024 - 6/30/2024

Wage Rate per Hour: **\$19.50**

Supplemental Benefit Rate per Hour: **\$17.97**

Overtime Supplemental Rate Per Hour: **\$19.26**

Electrician (Second Term: 7-12 Months)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2023 - 4/12/2024

Wage Rate per Hour: **\$20.50**

Supplemental Benefit Rate per Hour: **\$17.74**

Overtime Supplemental Rate Per Hour: **\$19.10**

Effective Period: 4/13/2024 - 6/30/2024

Wage Rate per Hour: **\$20.50**

Supplemental Benefit Rate per Hour: **\$18.49**

Overtime Supplemental Rate Per Hour: **\$19.85**

Electrician (Third Term: 0-6 Months)

Effective Period: 7/1/2023 - 4/12/2024

Wage Rate per Hour: **\$21.50**

Supplemental Benefit Rate per Hour: **\$18.27**

Overtime Supplemental Rate Per Hour: **\$19.69**

Effective Period: 4/13/2024 - 6/30/2024

Wage Rate per Hour: **\$21.50**

Supplemental Benefit Rate per Hour: **\$19.02**

Overtime Supplemental Rate Per Hour: **\$20.44**

Electrician (Third Term: 7-12 Months)

Effective Period: 7/1/2023 - 4/12/2024

Wage Rate per Hour: **\$22.50**

Supplemental Benefit Rate per Hour: **\$18.79**

Overtime Supplemental Rate Per Hour: **\$20.28**

Effective Period: 4/13/2024 - 6/30/2024

Wage Rate per Hour: **\$22.50**

Supplemental Benefit Rate per Hour: **\$19.54**

Overtime Supplemental Rate Per Hour: **\$21.03**

Electrician (Fourth Term: 0-6 Months)

Effective Period: 7/1/2023 - 4/12/2024

Wage Rate per Hour: **\$23.50**

Supplemental Benefit Rate per Hour: **\$19.31**

Overtime Supplemental Rate Per Hour: **\$20.87**

Effective Period: 4/13/2024 - 6/30/2024

Wage Rate per Hour: **\$23.50**

Supplemental Benefit Rate per Hour: **\$20.06**

Overtime Supplemental Rate Per Hour: **\$21.62**

Electrician (Fourth Term: 7-12 Months)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2023 - 4/12/2024

Wage Rate per Hour: **\$25.50**

Supplemental Benefit Rate per Hour: **\$20.36**

Overtime Supplemental Rate Per Hour: **\$22.05**

Effective Period: 4/13/2024 - 6/30/2024

Wage Rate per Hour: **\$25.50**

Supplemental Benefit Rate per Hour: **\$21.11**

Overtime Supplemental Rate Per Hour: **\$22.80**

Electrician (Fifth Term: 0-12 Months)

Effective Period: 7/1/2023 - 4/12/2024

Wage Rate per Hour: **\$26.75**

Supplemental Benefit Rate per Hour: **\$24.13**

Overtime Supplemental Rate Per Hour: **\$25.82**

Effective Period: 4/13/2024 - 6/30/2024

Wage Rate per Hour: **\$27.50**

Supplemental Benefit Rate per Hour: **\$24.79**

Overtime Supplemental Rate Per Hour: **\$26.52**

Electrician (Fifth Term: 13-18 Months)

Effective Period: 7/1/2023 - 4/12/2024

Wage Rate per Hour: **\$31.25**

Supplemental Benefit Rate per Hour: **\$26.55**

Overtime Supplemental Rate Per Hour: **\$28.53**

Effective Period: 4/13/2024 - 6/30/2024

Wage Rate per Hour: **\$32.00**

Supplemental Benefit Rate per Hour: **\$27.20**

Overtime Supplemental Rate Per Hour: **\$29.23**

Overtime Description

Overtime Wage paid at time and one half the regular rate

(Local #3)

ELEVATOR CONSTRUCTOR

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 2)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Elevator (Constructor) - First Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 50% of Journeyperson's rate
Supplemental Rate Per Hour: \$34.18

Elevator (Constructor) - Second Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 55% of Journeyperson's rate
Supplemental Rate Per Hour: \$34.79

Elevator (Constructor) - Third Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 65% of Journeyperson's rate
Supplemental Rate Per Hour: \$36.01

Elevator (Constructor) - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 75% of Journeyperson's rate
Supplemental Rate Per Hour: \$37.23

(Local #1)

ELEVATOR REPAIR & MAINTENANCE
(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 2)

Elevator Service/Modernization Mechanic (First Year)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 50% of Journeyperson's rate
Supplemental Benefit Per Hour: \$34.59

Elevator Service/Modernization Mechanic (Second Year)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 55% of Journeyperson's rate
Supplemental Benefit Per Hour: \$35.18

Elevator Service/Modernization Mechanic (Third Year)

Effective Period: 7/1/2023 - 6/30/2024

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Wage Rate Per Hour: 65% of Journeyperson's rate
Supplemental Benefit Per Hour: \$36.37

Elevator Service/Modernization Mechanic (Fourth Year)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 75% of Journeyperson's rate
Supplemental Benefit Per Hour: \$37.55

(Local #1)

ENGINEER
(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 5)

Engineer - First Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: \$27.47
Supplemental Benefit Rate per Hour: \$32.38

Engineer - Second Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: \$34.34
Supplemental Benefit Rate per Hour: \$32.38

Engineer - Third Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: \$37.77
Supplemental Benefit Rate per Hour: \$32.38

Engineer - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: \$41.21
Supplemental Benefit Rate per Hour: \$32.38

(Local #15)

ENGINEER - OPERATING

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 5)

Operating Engineer - First Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour: 40% of Operating Engineer - Road & Heavy Construction V's Rate

Supplemental Benefit Per Hour: \$25.55

Operating Engineer - Second Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour: 50% of Operating Engineer - Road & Heavy Construction V's Rate

Supplemental Benefit Per Hour: \$25.55

Operating Engineer - Third Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour: 60% of Operating Engineer - Road & Heavy Construction V's Rate

Supplemental Benefit Per Hour: \$25.55

(Local #14)

FLOOR COVERER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Floor Coverer (First Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$25.20**

Supplemental Benefit Rate per Hour: **\$17.25**

Floor Coverer (Second Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$28.20**

Supplemental Benefit Rate per Hour: **\$18.75**

Floor Coverer (Third Year)

Effective Period: 7/1/2023 - 6/30/2024

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Wage Rate per Hour: **\$32.45**

Supplemental Benefit Rate per Hour: **\$22.35**

Floor Coverer (Fourth Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$40.33**

Supplemental Benefit Rate per Hour: **\$24.35**

(Carpenters District Council)

GLAZIER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

Glazier (First Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 40% of Journeyman's rate

Glazier (Second Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 50% of Journeyman's rate

Glazier (Third Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 60% of Journeyman's rate

Glazier (Fourth Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 80% of Journeyman's rate

(Local #1281)

HAZARDOUS MATERIAL HANDLER

(Ratio of Apprentice Journeyman: 1 to 1, 1 to 3)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Handler (First 1000 Hours)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$20.00**

Supplemental Benefit Rate per Hour: **\$14.75**

Handler (Second 1000 Hours)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$21.00**

Supplemental Benefit Rate per Hour: **\$14.75**

Handler (Third 1000 Hours)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$24.00**

Supplemental Benefit Rate per Hour: **\$14.75**

Handler (Fourth 1000 Hours)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$26.00**

Supplemental Benefit Rate per Hour: **\$14.75**

(Local #78)

HEAT & FROST INSULATOR

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

Heat & Frost Insulator (First Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 40% of Journeyman's rate

Heat & Frost Insulator (Second Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 50% of Journeyman's rate

Heat & Frost Insulator (Third Year)

Effective Period: 7/1/2023 - 6/30/2024

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

Heat & Frost Insulator (Fourth Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 70% of Journeyperson's rate

(Local #12)

**HOUSE WRECKER
(TOTAL DEMOLITION)
(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)**

House Wrecker - First Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$21.30**

Supplemental Benefit Rate per Hour: **\$10.97**

House Wrecker - Second Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$23.05**

Supplemental Benefit Rate per Hour: **\$10.97**

House Wrecker - Third Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$24.55**

Supplemental Benefit Rate per Hour: **\$10.97**

House Wrecker - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$27.05**

Supplemental Benefit Rate per Hour: **\$10.97**

(Mason Tenders District Council)

IRON WORKER - ORNAMENTAL

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

Iron Worker (Ornamental) - First Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$25.98**

Supplemental Benefit Rate per Hour: **\$16.00**

Iron Worker (Ornamental) - Second Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$28.45**

Supplemental Benefit Rate per Hour: **\$18.00**

Iron Worker (Ornamental) - Third Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$30.80**

Supplemental Benefit Rate per Hour: **\$19.00**

Iron Worker (Ornamental) - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$34.39**

Supplemental Benefit Rate per Hour: **\$21.00**

(Local #580)

IRON WORKER - STRUCTURAL

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 6)

Iron Worker (Structural) - 1st Six Months

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$29.73**

Supplemental Benefit Rate per Hour: **\$60.12**

Iron Worker (Structural) - 7- 18 Months

Effective Period: 7/1/2023 - 6/30/2024

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Wage Rate per Hour: **\$30.33**

Supplemental Benefit Rate per Hour: **\$60.12**

Iron Worker (Structural) - 19 - 36 months

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$30.94**

Supplemental Benefit Rate per Hour: **\$60.12**

(Local #40 and #361)

**LABORER (FOUNDATION, CONCRETE, EXCAVATING, STREET PIPE
LAYER & COMMON)**

(Ratio Apprentice to Journeyman: 1 to 1, 1 to 3)

**Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) - First
1000 hours**

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour: 50% of Journeyman's rate

Supplemental Rate Per Hour: \$50.43

**Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) -
Second 1000 hours**

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour: 60% of Journeyman's rate

Supplemental Rate Per Hour: \$50.43

**Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) -
Third 1000 hours**

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour: 75% of Journeyman's rate

Supplemental Rate Per Hour: \$50.43

**Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) -
Fourth 1000 hours**

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour: 90% of Journeyman's rate

Supplemental Rate Per Hour: \$50.43

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

(Local #731)

MARBLE MECHANICS

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

Cutters & Setters - First 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 40% of Journeyman's rate

NO BENEFITS PAID DURING THE FIRST TWO MONTHS (PROBATIONARY PERIOD)

Cutters & Setters - Second 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 45% of Journeyman's rate

Cutters & Setters - Third 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 50% of Journeyman's rate

Cutters & Setters - Fourth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 55% of Journeyman's rate

Cutters & Setters - Fifth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 60% of Journeyman's rate

Cutters & Setters - Sixth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 65% of Journeyman's rate

Cutters & Setters - Seventh 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 70% of Journeyman's rate

Cutters & Setters - Eighth 750 Hours

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 75% of Journeyperson's rate

Cutters & Setters - Ninth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 85% of Journeyperson's rate

Cutters & Setters - Tenth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 95% of Journeyperson's rate

Polishers & Finishers - First 900 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 70% of Journeyperson's rate

NO BENEFITS PAID DURING THE FIRST TWO MONTHS (PROBATIONARY PERIOD)

Polishers & Finishers - Second 900 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 80% of Journeyperson's rate

Polishers & Finishers - Third 900 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 90% of Journeyperson's rate

(Local #7)

MASON TENDER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Mason Tender - First Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$21.80**

Supplemental Benefit Rate per Hour: **\$10.47**

Mason Tender - Second Year

Effective Period: 7/1/2023 - 6/30/2024

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Wage Rate per Hour: **\$23.55**
Supplemental Benefit Rate per Hour: **\$10.47**

Mason Tender - Third Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$25.05**
Supplemental Benefit Rate per Hour: **\$10.47**

Mason Tender - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$27.55**
Supplemental Benefit Rate per Hour: **\$10.47**

(Local #79)

MASON TENDER (INTERIOR DEMOLITION WORKER)
(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Mason Tender (Interior Demolition) - First Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$20.70**
Supplemental Benefit Rate per Hour: **\$10.82**

Mason Tender (Interior Demolition) - Second Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$22.65**
Supplemental Benefit Rate per Hour: **\$10.82**

Mason Tender (Interior Demolition) - Third Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$24.15**
Supplemental Benefit Rate per Hour: **\$10.82**

Mason Tender (Interior Demolition) - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$26.65**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate per Hour: **\$10.82**

(Local #79)

METALLIC LATHER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

Metallic Lather (First Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$22.55**

Supplemental Benefit Rate per Hour: **\$17.87**

Metallic Lather (Second Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$23.60**

Supplemental Benefit Rate per Hour: **\$16.87**

Metallic Lather (Third Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$24.60**

Supplemental Benefit Rate per Hour: **\$15.92**

Metallic Lather (Fourth Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$37.18**

Supplemental Benefit Rate per Hour: **\$21.82**

(Local #46)

MILLWRIGHT

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

Millwright (First Year)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$31.74**
Supplemental Benefit Rate per Hour: **\$36.74**

Millwright (Second Year)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$37.19**
Supplemental Benefit Rate per Hour: **\$40.44**

Millwright (Third Year)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$42.64**
Supplemental Benefit Rate per Hour: **\$44.79**

Millwright (Fourth Year)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$53.54**
Supplemental Benefit Rate per Hour: **\$51.55**

(Local #740)

PAINTER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

Painter - Brush & Roller - First Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$17.20**
Supplemental Benefit Rate per Hour: **\$18.26**

Painter - Brush & Roller - Second Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$21.50**
Supplemental Benefit Rate per Hour: **\$23.46**

Painter - Brush & Roller - Third Year

Effective Period: 7/1/2023 - 6/30/2024

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Wage Rate per Hour: **\$25.80**

Supplemental Benefit Rate per Hour: **\$27.72**

Painter - Brush & Roller - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$34.40**

Supplemental Benefit Rate per Hour: **\$35.83**

(District Council of Painters)

PAINTER - METAL POLISHER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Metal Polisher (First Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$16.00**

Supplemental Benefit Rate per Hour: **\$7.96**

New Construction - Wage Rate Per Hour: **\$16.39**

Scaffold Over 34 Feet - Wage Rate Per Hour: **\$18.50**

Metal Polisher (Second Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$17.00**

Supplemental Benefit Rate per Hour: **\$7.96**

New Construction - Wage Rate Per Hour: **\$17.44**

Scaffold Over 34 Feet - Wage Rate Per Hour: **\$19.50**

Metal Polisher (Third Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$18.00**

Supplemental Benefit Rate per Hour: **\$7.96**

New Construction - Wage Rate Per Hour: **\$18.54**

Scaffold Over 34 Feet - Wage Rate Per Hour: **\$20.50**

(Local 8A-28)

PAINTER - STRUCTURAL STEEL

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

Painters - Structural Steel (First Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 40% of Journeyman's rate

Painters - Structural Steel (Second Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 60% of Journeyman's rate

Painters - Structural Steel (Third Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 80% of Journeyman's rate

(Local #806)

PAVER AND ROADBUILDER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

Paver and Roadbuilder - First Year (Minimum 1000 hours)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$30.86**

Supplemental Benefit Rate per Hour: **\$25.54**

Paver and Roadbuilder - Second Year (Minimum 1000 hours)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$32.50**

Supplemental Benefit Rate per Hour: **\$25.54**

(Local #1010)

PAVER AND ROADBUILDER - LINE STRIPING (ROADWAY)
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

Paver and Roadbuilder - Line Striping (Roadway) - First Year (Minimum 1000 hours)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$30.86**

Supplemental Benefit Rate per Hour: **\$17.27**

Paver and Roadbuilder - Line Striping (Roadway) - Second Year (Minimum 1000 hours)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$32.50**

Supplemental Benefit Rate per Hour: **\$17.27**

(Local #1010)

PLASTERER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)
(Each Term is 800 Hours.)

Plasterer - First Term

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour: 55% of Journeyman's rate

Supplemental Rate Per Hour: **\$17.48**

Plasterer - Second Term

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour: 60% of Journeyman's rate

Supplemental Rate Per Hour: **\$18.63**

Plasterer - Third Term

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour: 70% of Journeyman's rate

Supplemental Rate Per Hour: **\$20.93**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Plasterer - Fourth Term

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 75% of Journeyperson's rate
Supplemental Rate Per Hour: \$22.10

(Local #262)

PLASTERER - TENDER
(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Plasterer Tender - First Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: \$21.45
Supplemental Benefit Rate per Hour: \$10.32

Plasterer Tender - Second Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: \$23.40
Supplemental Benefit Rate per Hour: \$10.32

Plasterer Tender - Third Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: \$24.90
Supplemental Benefit Rate per Hour: \$10.32

Plasterer Tender - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: \$27.40
Supplemental Benefit Rate per Hour: \$10.32

(Local #79)

PLUMBER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

Plumber - First Year: 1st Six Months

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$16.78**

Supplemental Benefit Rate per Hour: **\$5.43**

Plumber - First Year: 2nd Six Months

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$19.78**

Supplemental Benefit Rate per Hour: **\$6.43**

Plumber - Second Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$28.99**

Supplemental Benefit Rate per Hour: **\$21.95**

Plumber - Third Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$31.09**

Supplemental Benefit Rate per Hour: **\$21.95**

Plumber - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$33.94**

Supplemental Benefit Rate per Hour: **\$21.95**

Plumber - Fifth Year: 1st Six Months

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$35.34**

Supplemental Benefit Rate per Hour: **\$21.95**

Plumber - Fifth Year: 2nd Six Months

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$47.41**

Supplemental Benefit Rate per Hour: **\$21.95**

(Plumbers Local #1)

**POINTER, WATERPROOFER, CAULKER, SANDBLASTER,
STEAMBLASTER**

(Exterior Building Renovation)

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

Pointer, Waterproofer, Caulker, Sandblaster, Steamblaster - First Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$31.48**

Supplemental Benefit Rate per Hour: **\$15.00**

Pointer, Waterproofer, Caulker, Sandblaster, Steamblaster - Second Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$35.54**

Supplemental Benefit Rate per Hour: **\$20.20**

Pointer, Waterproofer, Caulker, Sandblaster, Steamblaster - Third Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$41.14**

Supplemental Benefit Rate per Hour: **\$23.95**

Pointer, Waterproofer, Caulker, Sandblaster, Steamblaster - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$49.50**

Supplemental Benefit Rate per Hour: **\$24.95**

(Bricklayer District Council)

ROOFER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 2)

Roofer - First Year

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 35% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$3.97

Roofer - Second Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 50% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$19.29

Roofer - Third Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 60% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$23.09

Roofer - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 75% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$28.81

(Local #8)

SHEET METAL WORKER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

Sheet Metal Worker (0-6 Months)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 25% of Journeyman's rate
Supplemental Rate Per Hour: \$7.19

Sheet Metal Worker (7-18 Months)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 35% of Journeyman's rate
Supplemental Rate Per Hour: \$20.98

Sheet Metal Worker (19-30 Months)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 45% of Journeyman's rate
Supplemental Rate Per Hour: \$28.41

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Sheet Metal Worker (31-36 Months)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 55% of Journeyperson's rate
Supplemental Rate Per Hour: \$33.59

Sheet Metal Worker (37-42 Months)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 55% of Journeyperson's rate
Supplemental Rate Per Hour: \$33.59

Sheet Metal Worker (43-48 Months)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 70% of Journeyperson's rate
Supplemental Rate Per Hour: \$41.37

Sheet Metal Worker (49-54 Months)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 70% of Journeyperson's rate
Supplemental Rate Per Hour: \$41.37

Sheet Metal Worker (55-60 Months)

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 80% of Journeyperson's rate
Supplemental Rate Per Hour: \$46.56

(Local #28)

SIGN ERECTOR

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Sign Erector - First Year: 1st Six Months

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 35% of Journeyperson's rate
Supplemental Rate Per Hour: \$17.84

Sign Erector - First Year: 2nd Six Months

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 40% of Journeyperson's rate

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Supplemental Rate Per Hour: \$20.25

Sign Erector - Second Year: 1st Six Months

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 45% of Journeyperson's rate
Supplemental Rate Per Hour: \$22.66

Sign Erector - Second Year: 2nd Six Months

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 50% of Journeyperson's rate
Supplemental Rate Per Hour: \$25.09

Sign Erector - Third Year: 1st Six Months

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 55% of Journeyperson's rate
Supplemental Rate Per Hour: \$33.83

Sign Erector - Third Year: 2nd Six Months

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 60% of Journeyperson's rate
Supplemental Rate Per Hour: \$36.81

Sign Erector - Fourth Year: 1st Six Months

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 65% of Journeyperson's rate
Supplemental Rate Per Hour: \$40.63

Sign Erector - Fourth Year: 2nd Six Months

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 70% of Journeyperson's rate
Supplemental Rate Per Hour: \$43.70

Sign Erector - Fifth Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 75% of Journeyperson's rate
Supplemental Rate Per Hour: \$46.76

Sign Erector - Sixth Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 80% of Journeyperson's rate
Supplemental Rate Per Hour: \$49.80

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

(Local #137)

STEAMFITTER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

Steamfitter - First Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate and Supplemental Per Hour: 40% of Journeyman's rate

Steamfitter - Second Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate and Supplemental Rate Per Hour: 50% of Journeyman's rate.

Steamfitter - Third Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate and Supplemental Rate per Hour: 60% of Journeyman's rate.

Steamfitter - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate and Supplemental Rate Per Hour: 70% of Journeyman's rate.

Steamfitter - Fifth Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate and Supplemental Rate Per Hour: 80% of Journeyman's rate.

(Local #638)

STEAMFITTER - REFRIGERATION & AIR CONDITIONER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

Refrigeration & Air Conditioner (First Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: \$21.71

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate per Hour: **\$13.75**

Refrigeration & Air Conditioner (Second Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$26.21**

Supplemental Benefit Rate per Hour: **\$15.09**

Refrigeration & Air Conditioner (Third Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$30.53**

Supplemental Benefit Rate per Hour: **\$16.49**

Refrigeration & Air Conditioner (Fourth Year)

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$36.87**

Supplemental Benefit Rate per Hour: **\$18.38**

(Local #638-B)

STONE MASON - SETTER

(Ratio Apprentice of Journeyman: 1 to 1, 1 to 2)

Stone Mason - Setters - First 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 50% of Journeyman's rate

Stone Mason - Setters - Second 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour: 60% of Journeyman's rate

Supplemental Rate Per Hour: 50% of Journeyman's rate

Stone Mason - Setters - Third 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour: 70% of Journeyman's rate

Supplemental Rate Per Hour: 50% of Journeyman's rate

Stone Mason - Setters - Fourth 750 Hours

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 80% of Journeyperson's rate
Supplemental Rate Per Hour: 50% of Journeyperson's rate

Stone Mason - Setters - Fifth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 90% of Journeyperson's rate
Supplemental Rate Per Hour: 50% of Journeyperson's rate

Stone Mason - Setters - Sixth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate Per Hour: 100% of Journeyperson's rate
Supplemental Rate Per Hour: 50% of Journeyperson's rate

(Bricklayers District Council)

TAPER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Drywall Taper - First Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$20.97**
Supplemental Benefit Rate per Hour: **\$14.25**

Drywall Taper - Second Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$24.24**
Supplemental Benefit Rate per Hour: **\$21.26**

Drywall Taper - Third Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$29.08**
Supplemental Benefit Rate per Hour: **\$23.01**

Drywall Taper - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024
Wage Rate per Hour: **\$38.78**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate per Hour: **\$26.51**

(Local #1974)

TILE LAYER - SETTER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

Tile Layer - Setter - First 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 35% of Journeyman's rate

Tile Layer - Setter - Second 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour 40% of Journeyman's rate

Tile Layer - Setter - Third 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 50% of Journeyman's rate

Tile Layer - Setter - Fourth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 55% of Journeyman's rate

Tile Layer - Setter - Fifth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 60% of Journeyman's rate

Tile Layer - Setter - Sixth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 65% of Journeyman's rate

Tile Layer - Setter - Seventh 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 70% of Journeyman's rate

Tile Layer - Setter - Eighth 750 Hours

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 75% of Journeyperson's rate

Tile Layer - Setter - Ninth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 80% of Journeyperson's rate

Tile Layer - Setter - Tenth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024

Wage and Supplemental Rate Per Hour: 90% of Journeyperson's rate

(Local #7)

TIMBERPERSON

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 6)

Timberperson - First Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour: \$23.42

Supplemental Rate Per Hour: \$37.27

Timberperson - Second Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour: \$28.53

Supplemental Rate Per Hour: \$37.27

Timberperson - Third Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour: \$36.18

Supplemental Rate Per Hour: \$37.27

Timberperson - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate Per Hour: \$43.84

Supplemental Rate Per Hour: \$37.27

(Local #1536)



Leonard A. Mancusi
SENIOR ASSISTANT COMPTROLLER

THE CITY OF NEW YORK
OFFICE OF THE COMPTROLLER
1 CENTRE STREET ROOM 1120
NEW YORK, N.Y. 10007-2341

TELEPHONE: (212) 669-3622
FAX NUMBER: (212) 669-8499

ALAN G. HEVESI
COMPTROLLER

MEMORANDUM

November 6, 2000

To Agency Chief Contracting Officers

From: Leonard A. Mancusi

Re: Security at Construction Sites

Prior to the enactment of Administrative Code §6-109, security guards on construction sites were not subject to prevailing wages. Security guards under the New York State labor law are covered under §230 which provides that prevailing wages are to be paid for security guards in existing buildings. §6-109 of the Administrative Code which was enacted in 1996 closed this loophole by including all security guards working pursuant to a city contract as a prevailing wage trade.

Although some construction contract boilerplate language has been amended to include §6-109, sub-contractors performing security services have advised us that they were not aware of this provision and, since traditionally, security guards were not a covered trade on construction sites, and they were not advised by a prime contractor that they would have to pay prevailing wages, they have not been doing so.

To avoid the possibility of issuing stop payments against prime contractors for the failure of their security service sub-contractors to pay

prevailing wages, we suggest that you write to all your existing security guard sub-contractors and their primes and in the future, upon approval of a security guard sub-contractor, advise the contractors of their obligation to pay prevailing wages under §6-109 of the Administrative Code.

As always, your cooperation is appreciated.

LAM:er
ACCO.SECURITY AT SITES



**Department of
Design and
Construction**

Issue Date: July 1, 2022

**DDC STANDARD GENERAL CONDITIONS
FOR SINGLE CONTRACT PROJECTS**



**Department of
Design and
Construction**

Issue Date: July 1, 2022

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Changes between the 1/1/2022 and 7/1/2022 Single Contract General Conditions

***NOTE:** The list below is intended as a guide and does not include minor editing.
The text of the General Conditions and the Addendum to the General Conditions govern.*

Section No. Change

01 10 00	1.10D: Update Mobilization Payment Add 1.13: Payments to M/WBE Subcontractors
01 22 00	New Section Added
01 40 00	1.7: update minimum and special experience qualifications
01 50 00	3.8B.3: Update DDC Field Office Trailer requirements 3.8D.3: Update Equipment for the DDC Field Office requirements
01 73 00	Add 3.25 Correction of the Work
01 77 00	Remove 3.2 Repair of the Work (moved into 017300, 3.25)



**Department of
Design and
Construction**

Issue Date: July 1, 2022

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**DIVISION 01 – DDC STANDARD GENERAL CONDITIONS – SINGLE CONTRACT PROJECTS
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**Department of
Design and
Construction**

Division 01 – DDC STANDARD GENERAL CONDITIONS
SINGLE CONTRACT PROJECTS
Issue Date: July 1, 2022

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**SECTION 01 10 00
SUMMARY**

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].
- B. Addendum to the General Conditions: These General Conditions include and are supplemented by the Addendum to the General Conditions (the “Addendum”). The Addendum includes the following: (1) schedules referred to in these General Conditions, (2) information regarding the applicability of various articles, and (3) amended articles, if any.

1.2 SUMMARY:

- A. This section includes the following:
 - 1. Scope and Intent
 - 2. Provisions Referenced in the Contract
 - 3. Performance of Work During Non-Regular Work Hours (Pursuant to a Change Order)
 - 4. Interruption of Services at Existing Facilities

1.3 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.
- B. Design Consultant: “Design Consultant” means the entity responsible for providing design services for the Project, including without limitation, preparing the construction documents (drawings and specifications) and providing services in connection with such documents during construction. The entity serving as the “Design Consultant” may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.

1.4 SCOPE AND INTENT:

- A. Description of Project: Refer to the Addendum for a description of the Project.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 1.4 B

- B. LEED: The City of New York will seek U.S. Green Building Council (USGBC) LEED (Leadership in Energy and Environmental Design) certification for this Project as specified in Section 01 81 13.03 "SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v3 BUILDINGS"; or Section 01 81 13.04 "SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v4 BUILDINGS", and the Addendum to the General Conditions.



REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 1.4 C

- C. COMMISSIONING: The Project will be commissioned by an independent third party under separate contract with the City of New York. Commissioning must be in accordance with ASHRAE and USGBC LEED-NC procedures, as described in Section 01 91 13 GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS, and/ or Section 01 91 15 GENERAL COMMISSIONING REQUIREMENTS FOR BUILDING ENCLOSURE and the Addendum to the General Conditions. The Contractor must cooperate with the commissioning agent and provide whatever assistance is required.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 1.4 D

- D. PROGRESS SCHEDULE: Refer to Section 01 32 16.1 PROGRESS SCHEDULES (METHOD A) or 01 32 16.2 PROGRESS SCHEDULES (METHOD B) or 01 32 16.3 PROGRESS SCHEDULES (METHOD C) and the Addendum to the General Conditions for requirements of the Project.
- E. COMPLETION OF WORK: Work to be done under the Contract is comprised of the furnishing of all labor, materials, equipment and other appurtenances, and obtaining all regulatory agency approvals necessary and required to complete the construction work in accordance with the Contract.
- F. OMISSION OF DETAILS: All work called for in the Specifications applicable to the Contract but not shown on the Contract Drawings in their present form, or vice versa, is required, and must be performed by the Contractor as though it were originally delineated or described. The cost of such work will be deemed included in the total Contract Price.
- G. WORK NOT IN SPECIFICATIONS OR CONTRACT DRAWINGS: Work not particularly specified in the Specifications nor detailed on the Contract Drawings but involved in carrying out their intent or in the complete and proper execution of the Work, is required, and must be performed by the Contractor. The cost of such work will be deemed included in the total Contract Price.
- H. SILENCE OF THE SPECIFICATIONS: The apparent silence of the Specifications as to any detail, or the apparent omission from them of a detailed description concerning any work to be done and materials to be furnished, will be regarded as meaning that only the best practice is to prevail and that only the best material and workmanship is to be used and interpretation of the Specifications will be made upon that basis.
- I. CONFLICT BETWEEN CONTRACT DRAWINGS AND SPECIFICATIONS: Should any conflict occur in or between the Drawings and Specifications, the Contractor will be deemed to have estimated the most expensive way of doing the Work unless the Contractor asked for and obtained a decision in writing from the Commissioner before the submission of the bid as to what must govern.

1.5 CONTRACT DRAWINGS AND SPECIFICATIONS:

- A. SCHEDULE C - The Contract Drawings are listed in Schedule C, which is set forth in the Addendum. Such drawings referred to in the Contract, and in the applicable Specifications for the Contract, bear the general title:

City of New York
Department of Design and Construction
Division of Public Buildings
- B. DOCUMENTS FURNISHED TO THE CONTRACTOR - After the award of the Contract, the Contractor will be furnished with five (5) complete sets of paper prints of all Contract Drawings mentioned in Paragraph A above, as well as a copy of the Specifications.
- C. ADDITIONAL COPIES of Drawings and Specifications, when requested, will be furnished to the Contractor if available.



- D. SUPPLEMENTARY DRAWINGS - When, in the opinion of the Commissioner, it becomes necessary to more fully explain the work to be done, or to illustrate the work further, or to show any changes which may be required, drawings known as Supplementary Drawings will be prepared by the Commissioner.
- E. COMPENSATION - Where Supplementary Drawings entail extra work, compensation therefore to the Contractor will be subject to the terms of the Contract. The Supplementary Drawings will be binding upon the Contractor with the same force as the Contract Drawings.
- F. SUPPLEMENTARY DRAWING PRINTS - Three (3) copies of prints of these Supplementary Drawings will be furnished to the Contractor.
- G. COPIES TO SUBCONTRACTORS - The Contractor must furnish each of its subcontractors and material suppliers such copies of Contract Drawings, Supplementary Drawings, or copies of the Specifications as may be required for its work.

1.6 COORDINATION:

- A. COORDINATION AND COOPERATION - The Contractor must consult and study the requirements of the Contract Drawings and Specifications for all required work, including all work to be performed by trade subcontractors, so that the Contractor may become acquainted with the work of the Project as a whole in order to achieve the proper coordination and cooperation necessary for the efficient and timely performance of the work.
- B. CONTRACTOR TO CHECK DRAWINGS: - The Contractor must verify all dimensions, quantities and details shown on the Contract Drawings, Schedules, or other data received from the Commissioner, and must notify the Commissioner of all errors, omissions, conflicts and discrepancies found therein. Notice of such errors will be given before the Contractor proceeds with any work. Figures must be used in preference to scale dimensions and large-scale drawings in preference to small-scale drawings.

1.7 SHOP DRAWINGS AND RECORD DRAWINGS:

- A. Refer to Section 01 33 00 SUBMITTAL PROCEDURES and Section 01 78 39 CONTRACT RECORD DOCUMENTS for requirements applicable to shop drawings and record drawings.

1.8 TEMPORARY FACILITIES, SERVICES AND CONTROLS:

- A. Refer to Section 01 50 00 TEMPORARY FACILITIES SERVICES AND CONTROLS for the responsibilities of the Contractor.

1.9 DUST CONTROL:

- A. The Contractor must prepare, execute and manage a “Dust Control Plan” for the prevention of the emission of dust from construction related activities in compliance with 15 RCNY 13-01 et. seq.

1.10 PROVISIONS REFERENCED IN THE CONTRACT:

- A. SCHEDULE A - Various Articles of the Contract refer to requirements set forth in Schedule A of the General Conditions. Schedule A, which is included in the Addendum, sets forth (1) the referenced Articles of the Contract, and (2) the specific requirements applicable to the Contract.
- B. EXTENSION OF TIME - Applications for Extensions of Time, as indicated in Article 13 of the Contract, must be made in accordance with the Rules of the Procurement Policy Board.



- C. PARTIAL PAYMENTS FOR MATERIALS IN ADVANCE OF THEIR INCORPORATION IN THE WORK PURSUANT TO ARTICLE 42 OF THE CONTRACT – In order to better ensure the availability of materials, fixtures and equipment when needed for the work, the Commissioner may authorize partial payment for certain materials, fixtures and equipment, prior to their incorporation in the work, but only in strict accordance with, and subject to, all the terms and conditions set forth in the Specifications, unless an alternate method of payment is elsewhere provided in the Specifications for specified materials, fixtures or equipment.
1. The Contractor must submit to the Commissioner a written request, in quadruplicate, for payment for materials purchased or to be purchased for which the Contractor needs to be paid prior to their actual incorporation in the work. The request must be accompanied by a schedule of the types and quantities of materials, and must state whether such materials are to be stored on or off the site.
 2. Where the materials are to be stored off the site, they must be stored at a place other than the Contractor's premises (except with the written consent of the Commissioner) and under the conditions prescribed or approved by the Commissioner. The Contractor must set apart and separately store at the place or places of storage all materials and must clearly mark same "PROPERTY OF THE CITY OF NEW YORK", and further, must not at any time move any of said materials to another off-site place of storage without the prior written consent of the Commissioner. Materials may be removed from their place of storage off the site for incorporation in the work upon approval of the Resident Engineer.
 3. Where the materials are to be stored at the site, they must be stored at such locations as will be designated by the Resident Engineer and only in such quantities as, in the opinion of the Resident Engineer, will not interfere with the proper performance of the Work by the Contractor or by other Contractors then engaged in performing work on the site. Such materials must not be removed from their place of storage on the site except for incorporation in the Work, without the approval of the Resident Engineer.
 4. INSURANCE
 - a. STORAGE OFF-SITE – Where the materials are stored off the site and until such time as they are incorporated in the Work, the Contractor must fully insure such materials against any and all risks of destruction, damage or loss including but not limited to fire, theft, and any other casualty or happening. The policy of insurance must be payable to the City of New York. It must be in such terms and amounts as must be approved by the Commissioner and must be placed with a company duly licensed to do business in the State of New York. The Contractor must deliver the original and one (1) copy of such policy or policies marked "Fully Paid" to the Commissioner.
 - b. STORAGE ON THE SITE – Where the materials are stored at the site, the Contractor must furnish satisfactory evidence to the Commissioner that they are properly insured against loss, by endorsements or otherwise, under the policy or policies of insurance obtained by the Contractor to cover losses to materials owned or installed by the Contractor. The policy of insurance must cover fire and extended coverage against windstorm, hail, explosion and riot attending a strike, civil commotion, aircraft, vehicles and smoke.
 5. All costs, charges and expenses arising out of the storage of such materials, must be paid by the Contractor and the City hereby reserves the right to retain out of any partial or final payment made under the Contract an amount sufficient to cover such costs, charges and expenses with the understanding that the City will have and may exercise any and all other remedies at law for the recovery of such cost, charges and expenses. There will be no increase in the Contract price for such costs, charges and expenses and the Contractor must not make any claim or demand for compensation therefore.



Department of Design and Construction

Division 01 – DDC STANDARD GENERAL CONDITIONS
SINGLE CONTRACT PROJECTS
Issue Date: July 1, 2022

6. The Contractor must pay any and all costs of handling and delivery of materials, to the place of storage and from the place of storage to the site of the Work; and the City will have the right to retain from any partial or final payment an amount sufficient to cover the cost of such handling and delivery.
7. In the event that the whole or any part of these materials are lost, damaged, or destroyed in advance of their satisfactory incorporation in the work, the Contractor, at the Contractor's own cost, must replace such lost, damaged or destroyed materials of the same character and quality. The City will reimburse the Contractor for the cost of the replaced materials to the extent, and only to the extent, of the funds actually received by the City under the policies of insurance hereinbefore referred to. Until such time as the materials are replaced, the City will deduct from the value of the stored materials or from any other money due under the Contract, the amount paid to the Contractor for such lost, damaged or destroyed materials.
8. Should any of the materials paid for the City hereunder be subsequently rejected or incorporated in the work in a manner or by a method not in accordance with the Contract Documents, the Contractor must remove and replace, at Contractor's own cost, such defective or improperly incorporated material with materials complying with the Contract Documents. Until such materials are replaced, the City will deduct from the value of the stored materials or from any other money due the Contractor, the amount paid by the City for such rejected or improperly incorporated materials.
9. Payments for the cost of materials made hereunder will not be deemed to be an acceptance of such materials as being in accordance with the Contract Documents, and the Contractor always retains and must comply with the Contractor's duty to deliver to the site and properly incorporate in the work only materials which comply with the Contract Documents.
10. The Contractor must retain any and all risks in connection with the damage, destruction, or loss of the materials paid for hereunder to the time of delivery of the same to the site of the Work and their proper incorporation in the work in accordance with the Contract Documents.
11. The Contractor must comply with all laws and the regulations of any governmental body or agency pertaining to the priority purchase, allocation, and use of the materials.
12. When requesting payment for such materials, the Contractor must submit with the partial estimate duly authenticated documents of title, such as bills of sale, invoices or warehouse receipts, all in quadruplicate. The executed bills of sale must transfer title to the materials from the Contractor to the City. (In the event that the invoices state that the material has been purchased by a subcontractor, bills of sale in quadruplicate will also be required transferring title to the materials from subcontractor to the Contractor).
13. Where the Contractor, with the approval of the Commissioner, has purchased unusually large quantities of materials in order to assure their availability for the work, the Commissioner, at the Commissioner's option, may waive the requirements of Paragraph 12 provided the Contractor furnishes evidence in the form of an affidavit from the Contractor in quadruplicate, and such other proof as the Commissioner may require, that the Contractor is the sole owner of such materials and has purchased them free and clear of all liens and other encumbrances. In such event, the Contractor will pay for such materials and submit proof thereof, in the same manner as provided in Paragraph 12 hereof, within seven (7) days after receipt of payment therefore from the Comptroller. Failure on the part of the Contractor to submit satisfactory evidence that all such materials have been paid for in full, will preclude the Contractor from payments under the Contract.
14. The Contractor must include in each succeeding partial estimate requisition a summary of materials stored which must set forth the quantity and value of materials in storage, on or off the site, at the end of each preceding estimate period; the amount removed for incorporation in the



Work; the quantity and value of materials delivered during the current period and the total value of materials on hand for which payment thereof will be included in the current payment estimate.

15. Upon proof to the satisfaction of the Commissioner of the actual cost of such materials and upon submission of proper proof of title as required under Paragraph 12 or Paragraph 13 hereof, payment will be made therefore to the extent of 85%, provided however, that the cost so verified, established and approved must not exceed the estimated cost of such materials included in the approved detailed breakdown estimate submitted in accordance with Article 41 of the Contract; if it does, the City will pay only 85% approved estimated cost.
 16. Upon the incorporation in the Work of any such materials, which have been paid for in advance of such incorporation in accordance with the foregoing provisions, payment will be made for such materials incorporated in the Work pursuant to Article 42 of the Contract, less any sums paid pursuant to Paragraph 15 herein.
- D. MOBILIZATION PAYMENT – A line item for mobilization must be allowed on the Contractor’s Detailed Bid Breakdown submitted in accordance with Article 41 of the Contract. The Mobilization Payment is intended to include the cost of required bonds, insurance coverage, and/or any other expenses required for the initiation of the Contract Work. All costs for mobilization will be deemed included in the total Contract Price. The Detailed Bid Breakdown must reflect, and the Mobilization Payment will be made, in accordance with the following schedule:

Contract Amount	Mobilization Amount
\$0 - \$10,000,000	8% of contract amount
\$10,000,001 - \$50,000,000	8% on the first \$10,000,000 plus 4% of contract amount greater than \$10,000,000
Over \$50,000,000	\$2,400,000

The Contractor may requisition for the Mobilization Payment upon satisfactory completion of the following:

1. DDC approval of the Detailed Bid Breakdown per Article 41 of the Contract;
 2. Selection and DDC approval of any required field office location(s);
 3. Submission of all required insurance certificates and bond;
 4. Approval of the Site Safety Plan per the Safety Requirements Section of the Information for Bidders;
 5. Approval of the Progress Schedule;
 6. Approval of the Schedule Submittal; and,
 7. Submission of the Pre-Construction Photographs.
- E. ULTRA LOW SULFUR DIESEL FUEL AND BEST AVAILABLE TECHNOLOGY REPORTING: The Contractor must submit reports to the Commissioner regarding the use of Ultra Low Sulfur Diesel Fuel in Non-Road Vehicles, and the implementation of Best Available Technology (BAT), as set forth in Article 5.4 of the Contract. Such reports must be submitted in accordance with the schedule, format, directions, and procedures established by the Commissioner.



1.11 PERFORMANCE OF WORK DURING NON-REGULAR WORK HOURS:

- A. **NON-REGULAR WORK HOURS:** The Commissioner may issue a change order in accordance with Article 25 of the Contract which, (1) directs the Contractor to perform the Work, or specific components thereof, during other than regular work hours (i.e., evenings, weekends and holidays), and (2) provides compensation to the Contractor for costs in connection with the performance of Work during other than regular work hours. The Commissioner may issue a change order if a delay has occurred and such delay is not the fault of the Contractor, or if the Work is of such an important nature that delay in completing such work would result in serious disadvantage to the public.
- B. **PROCEDURE:** The Contractor must: (1) obtain whatever permits may be required for performance of the Work during other than regular business hours, and (2) pay all necessary fees in connection with such permits. In addition, if directed by the Commissioner, the Contractor must make immediate application to the Commissioner of the Department of Labor, State of New York, for dispensation in accordance with Subdivision 2 of Section 220 of the Labor Law.

1.12 INTERRUPTION OF SERVICES AT EXISTING FACILITIES:

- A. **EVENING AND WEEKEND WORK -** Where performance of the Work requires the temporary shutdown(s) of services, such shutdown(s) must be made at night or on weekends or at such times that will cause no interference with the established routines and operations of the facility in question.
 - 1 Where weekend or evening work is required due to unavoidable service shutdowns, such work will be performed at no extra cost to the City. Components of the Work that must be performed during other than regular work hours are indicated in the Drawings and/or the Specifications.
- B. **INTERRUPTION OF EXISTING FACILITIES:**
 - 1 The Contractor must not interrupt any of the services of the facility nor interfere with such services in any way without the permission of the Commissioner. Such interruption or interferences must be made as brief as possible, and only at such time stated.
 - 2 Under no circumstances will the Contractor, its subcontractors, or its workers, be permitted to use any part of the project as a shop, without the permission of the Commissioner.
 - 3 Unnecessary noise must be avoided at all times and necessary noise must be reduced to a minimum.
 - 4 Toilet facilities, water, and electricity must be operational at all times (i.e. 24/7). No services of the facility can be interrupted in any way without the permission of the Commissioner. Careful coordination of all Work with the Resident Engineer must be done to maintain the operational level of the Project personnel at the facility.
 - 5 The Contractor must schedule the Work to avoid noise interference that will affect the normal functions of the facility. In particular, construction operations producing noises that are objectionable to the functions of the facility must be scheduled at times of day or night, day of the week, or weekend, which will not interfere with personnel at the facility. Any additional cost resulting from this scheduling will be borne by the Contractor.
 - 6 The Contractor must arrange to work continuously, including evening and weekend hours, if required, to assure that services will be shut down only during the time actually required to make the necessary connections to the existing facility.
 - 7 The Contractor must give ample written notice in advance to the Commissioner and personnel at the facility of any required shutdown.



1.13 PAYMENTS TO M/WBE SUBCONTRACTORS:

- A. The Department of Design and Construction (“DDC”) is committed to supporting the growth and success of Minority and Women-owned Business Enterprises (“M/WBE”). In furtherance of this goal, DDC complies with Local Law 1 / NYC Administrative Code section 6-129, as amended. In order to support the growth and success of M/WBEs on all DDC projects, it is important that M/WBE vendors that are sub-contractors (any tiers) are treated fairly at all times and that their payment requisitions / invoices are handled in accordance with the City’s Standard Construction Contract. Pursuant to the Standard Construction Contract, prime contractors are required to pay subcontractors within thirty (30) days of receipt of such funds from DDC. Failure to comply with the Standard Construction Contract and the goals established by DDC as it applies to M/WBEs, may result in financial sanctions and negative performance evaluations, which will be taken into consideration on future procurements.

PART II – PRODUCTS (Not Used)

PART III – EXECUTION (Not Used)

END OF SECTION 01 10 00



SECTION 01 22 00

EXPANDED WORK ALLOWANCE

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SECTION 01 22 00

PART I - GENERAL

1.1 PURPOSE

- A. An Allowance has been established for the items set forth in sub-section 1.3 below (“Expanded Work Allowance” or “EWA”). Payment for the items set forth in sub-section 1.3 (“Expanded Work Items”) may be made through the EWA, as directed by the Commissioner. “Extra Work”, “overrun”, and “Allowance” are defined by the Standard Construction Contract (see Articles 2.1.16, 26.1, and 2.1.4, respectively) and nothing in this Section alters, or will be deemed to alter the interpretation or application of, the Standard Construction Contract, including but not limited to Articles 25, 26, 28, and 78 of the Standard Construction Contract.

1.2 PROCESS

- A. If the Commissioner determines that use of the EWA is appropriate, in their sole discretion, the Commissioner will prepare a written scope document for the Expanded Work Items for the Contractor’s execution (“EWA Scope Memo”). The EWA Scope Memo will set forth the maximum amount payable from the EWA prior to the execution of a final cost memorandum (“Maximum Amount”), in accordance with this Section. The Maximum Amount may be increased from time to time by the Commissioner, in their sole discretion, except that the Maximum Amount may not exceed 80% of the Commissioner’s estimated total cost for such Work (the “Estimated Cost”) unless and until a final cost is determined and a final cost memorandum (“Final Cost Memo”) executed in accordance with this Section.
- B. Neither the Maximum Amount nor the Estimated Cost will be deemed to be the final cost of the Expanded Work Items. The final cost for the Expanded Work Items will be determined in accordance with Article 26 of the Standard Construction Contract. The Contractor must submit its detailed price proposal for the Expanded Work Items, calculated in accordance with the Contract, within the time period set forth in the EWA Scope Memo or within 90 Days after the executed EWA Scope Memo is issued to the Contractor, whichever is sooner.
- C. Once the EWA Scope Memo is executed and the Contractor is directed to proceed with the Work, DDC will make progress payments, as provided in the Contract, up to the Maximum Amount or until the submission period has expired, whichever occurs sooner.
- D. DDC will not make any progress payments for the performance of the Expanded Work Items beyond the submission period set forth in sub-Section C, above, unless and until a final cost has been determined and a Final Cost Memo executed in accordance with this Section. No amounts above the Maximum Amount set by the Commissioner will be payable from the EWA, unless and until a final cost has been determined and a Final Cost Memo executed in accordance with this Section. In all events, the Contractor shall promptly and diligently comply with the Commissioner’s direction and perform all Work required by the Contract and the EWA Scope Memo.
- E. Upon receipt of the Contractor’s cost detailed proposal, DDC will evaluate the proposal and initiate negotiations, as necessary, to determine the final cost of the Expanded Work Items in accordance with Article 26 of the Standard Construction Contract. The Contractor is responsible to furnish time and material records



in accordance with Article 28 of the Standard Construction Contract until a Final Cost Memo is executed. If the parties cannot agree on a unit price or fixed price, the Contractor will be paid on the basis of time and material records in accordance with Article 26 the Standard Construction Contract.

- F. A Final Cost Memo will be prepared by the Commissioner to be executed by the parties. The total net sum of the amounts added and/or credited under the EWA Scope Memo and payment of the finalized Final Cost Memo constitutes full accord and satisfaction for the costs resulting from the Expanded Work Items. In the event the EWA is insufficient to pay the full amount of the Final Cost Memo, the parties agree to execute change order documents for the remaining funds, subject to registration in accordance with the New York City Charter.

1.3 PRICE TO COVER

- A. Expanded Work Items are those items set forth below. The EWA may be used, in the Commissioner's discretion, for the following Expanded Work Items:
 - 1. Non-material changes in the Work necessary to complete Contract Work due to site conditions that differ from those included in the Contract Documents and that could not have been anticipated by the Contractor.
 - 2. Non-material changes in the Work directed by the Commissioner that result in a net change in the cost to the Contractor for the Work to be performed under this Contract, including but not limited to the following:
 - a. Overruns of unit price items and quantity increases in portions of work within a lump sum item.
 - b. NYCDOT traffic stipulations or permit requirements that significantly differ from those included in the Contract Documents and that could not have been anticipated by the Contractor.
 - c. Changes to the sizes of materials or changes to specifications of materials.
 - d. Materials/structures not included in the Contract Documents that are necessary to complete Contract Work and that could not have been anticipated by the Contractor.

1.4 BASIS OF PAYMENT

- A. The fixed sum must be considered the price bid for this item. The fixed sum is not to be altered in any manner by the bidder. Should the amount shown be altered, the new figures will be disregarded, and the original price will be used to determine the total amount bid for the contract.
- B. The payment(s) made under this item will be equal to the Final Cost Memo prepared by the Commissioner and executed by the parties in accordance with 1.2(F) above as proof of work performed for this item as approved by the Commissioner.
- C. The total estimated cost of this item is the "fixed sum" amount shown for this item in the Bid Submission Form and shall not be varied in the bid. The "fixed sum" amount is included in the bid solely to ensure that sufficient monies will be available to pay the Contractor for this work, which may be more or less than the fixed sum amount.
- D. The price will cover the cost of all labor, materials, equipment, insurance, and incidentals necessary to complete the work under this section in accordance with the Contract Drawings, the specifications, and the directions of the Commissioner.

PART II – PRODUCTS (Not Used)
PART III – EXECUTION (Not Used)

END OF SECTION 01 22 00

EXPANDED WORK ALLOWANCE
01 22 00 - 2



**SECTION 01 31 00
PROJECT MANAGEMENT AND COORDINATION**

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].
- B. LEED: Refer to the Addendum to identify whether this Project is designed to comply with a Certification Level according to the U.S. Green Building Council's Leadership in Energy & Environmental Design (LEED) Rating System, as specified in Section 01 81 13.03 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v3 BUILDINGS or Section 01 81 13.04 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v4 BUILDINGS.
- C. COMMISSIONING: Refer to the Addendum to identify whether this Project will be commissioned by an independent third party under separate contract with the City of New York (City). Commissioning will be in accordance with ASHRAE and USGBC LEED-NC procedures, as described in Section 01 91 13, GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS, and/ or Section 01 91 15 GENERAL COMMISSIONING REQUIREMENTS FOR BUILDING ENCLOSURE COMMISSIONING. The Contractor must cooperate with the commissioning agent and provide whatever assistance is required.

1.2 SUMMARY:

- A. This Section includes administrative provisions for coordinating construction operations on the Project, including:
 - 1. Coordination Drawings
 - 2. Administrative and supervisory personnel
 - 3. Project meetings
 - 4. Requests for Interpretation (RFIs)
- B. This Section includes the following:
 - 1. Definitions
 - 2. Coordination
 - 3. Submittals
 - 4. Administrative and Supervisory Personnel
 - 5. Project Meetings
 - 6. Requests for Interpretation (RFI's)
 - 7. Correspondence
 - 8. Contractor's Daily Reports
 - 9. Alternate and Substitute Equipment
- C. Related Sections:
 - 1. Section 01 10 00 SUMMARY
 - 2. Section 01 32 00 CONSTRUCTION PROGRESS DOCUMENTATION
 - 3. Section 01 33 00 SUBMITTALS
 - 4. Section 01 35 26 SAFETY REQUIREMENTS
 - 5. Section 01 73 00 EXECUTION REQUIREMENTS
 - 6. Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL



7. Section 01 77 00 CLOSEOUT PROCEDURES

1.3 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.
- B. Design Consultant: "Design Consultant" must mean the entity responsible for providing design services for the Project, including without limitation, preparing the construction documents (drawings and specifications) and providing services in connection with such documents during construction. The entity serving as the "Design Consultant" may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.

1.4 COORDINATION:

- A. Coordination: The Contractor must coordinate its construction operations, including those of its subcontractors, with other entities to ensure the efficient and orderly installation of each part of the Work. The Contractor must coordinate the various operations required by different Sections of the Specifications that depend on each other for proper installation, connection, and operation.
 - 1. Schedule construction operations in sequence in order to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
 - 2. Coordinate installation of different components to ensure maximum access for required maintenance, service, and repair.
 - 3. Make adequate provisions to accommodate items scheduled for later installation.
 - 4. Where availability of space is limited, coordinate installation of different components to ensure maximum performance and access for required maintenance, service, and repair of all components, including mechanical and electrical.
- B. The Contractor must prepare memoranda for distribution to its subcontractors and other involved entities, outlining special procedures required for coordination. Such memoranda must include required notices, reports, and meeting minutes as applicable.
- C. Administrative Procedures: The Contractor must coordinate scheduling and timing of required administrative procedures with other construction activities and activities of its subcontractors to avoid conflicts and to ensure orderly progress of the Work. Such administrative activities include without limitation the following:
 - 1. Preparation of Contractor's Construction Schedule.
 - 2. Installation and removal of temporary facilities and controls.
 - 3. Delivery and processing of submittals.
 - 4. Progress meetings.
 - 5. Pre-installation conferences.
 - 6. Startup and adjustment of systems.
 - 7. Project closeout activities.
- D. Conservation: The Contractor must coordinate construction activities to ensure that operations are carried out with consideration given to conservation of energy, water, and materials.
- E. Salvaged Items, Material and/or Equipment: The Specifications may identify certain items, materials or equipment which must be salvaged by the Contractor and handled or disposed of as directed. The



Contractor must comply with all directions in the Specifications regarding the salvaging and handling of identified items, material or equipment.

- F. Software: The Contractor may be required by the Commissioner to utilize a designated cloud-based Construction Management Tool to streamline and manage activities, including but not limited to the following:
1. Submittals;
 2. Drawings, Specifications, and Bulletins;
 3. RFI's;
 4. Progress Photographs;
 5. Letters and Correspondence;
 6. Punchlists and Closeout Management;
 7. Daily Logs;
 8. Meetings and Minutes; and/or,
 9. Change Order log memos.

1.5 SUBMITTALS:

- A. Submit shop drawings, product data, samples etc., in compliance with Section 01 33 00 SUBMITTAL PROCEDURES.
- B. Coordination Drawings: The Contractor must prepare applicable Coordination Drawings in compliance with the requirements for Coordination Drawings in Section 01 33 00 SUBMITTAL PROCEDURES.
- C. Safety Plan in compliance with Section 01 35 26 SAFETY REQUIREMENTS PROCEDURES.
- D. Waste Management Plan in compliance with Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL
- E. Key Personnel Names: Within fifteen (15) Days after the Notice to Proceed (NTP), the Contractor must submit a list of key personnel assignments of the Contractor and its subcontractors, including superintendent and other personnel in attendance at Project site. Identify individuals and their duties and responsibilities; list addresses and telephone numbers, including home and office telephone numbers. Provide names, addresses, and telephone numbers of individuals assigned as standbys in case of the absence of individuals assigned to Project.
1. Post copies of the list in Project meeting room, in temporary field office, and by each temporary telephone. Keep the list current at all times.
 2. In addition to Project superintendent, provide other administrative and supervisory personnel as required for proper performance of the Work. Include special personnel required for coordinating all operations by its subcontractors.

1.6 PROJECT MEETINGS:

- A. General: The Resident Engineer will hold regularly scheduled construction progress meetings at the site, at which time the Contractor and appropriate subcontractors must have their representatives present to discuss all details relative to the execution of the work. The Resident Engineer will preside over these meetings.
1. Agenda: Prior to each meeting, the Resident Engineer will consult with the Contractor and will prepare an agenda of items to be discussed. In general, after informal discussion of any item on the agenda, the Resident Engineer will summarize the discussion in a brief written statement, and the Contractor will then dictate a brief statement for the record.



2. Coordination: In addition to construction progress meetings called by the Resident Engineer, the Contractor must hold regularly scheduled meetings for the purpose of coordinating, expediting and scheduling the work in accordance with the master coordinated Job Progress Chart. The Contractor and its subcontractors, material suppliers or vendors whose presence is necessary, are required to attend. These meetings may, at the discretion of the Contractor, be held at the same place and immediately following the Project meetings held by the Resident Engineer. Minutes of these meetings must be recorded, typed and printed by the Contractor and distributed to all parties concerned.
- B. Preconstruction Kick-Off Meeting:
1. The Resident Engineer will schedule a preconstruction kick-off meeting either at DDC's main office or at the Project site to review responsibilities and personnel assignments and clarify the role of each participant. Unless otherwise directed, the Design Consultant will record and distribute meeting minutes.
 2. Attendees: Authorized representative of the Sponsor Agency; Design Consultant; the Contractor and its superintendents, subcontractor(s) and their superintendent(s); LEED sub-consultant and Commissioning Authority /Agent (CxA) as applicable and other concerned parties. All participants at the meeting must be familiar with the Project and authorized to conclude matters relating to the Contract Work.
 3. Agenda: Includes without limitation the following as applicable:
 - a. Establishing construction schedule;
 - b. Schedule for regular construction meetings;
 - c. Phasing;
 - d. Critical Work sequencing and long-lead items;
 - e. Designation of key personnel and their duties;
 - f. Reviewing application for payment and change order procedures;
 - g. Procedures for RFIs;
 - h. Review permits and approval requirements;
 - i. Review all recent administrative code reporting requirements relating to the Project, (i.e. LL 77, LL86 etc.);
 - j. Procedures for testing and inspecting;
 - k. Reviewing special conditions at the Project site;
 - l. Distribution of the Contract Documents;
 - m. Submittal procedures;
 - n. Safety procedures;
 - o. LEED requirements;
 - p. Commissioning requirements;
 - q. Preparation of record documents;
 - r. Historic Treatment requirements;
 - s. Use of the premises;
 - t. Work restrictions;
 - u. Sponsor Agency occupancy requirements;
 - v. Responsibility for temporary facilities, services, and controls;
 - w. Construction Waste Management and Disposal;
 - x. Indoor Air Quality Management Plan;



- y. Dust Mitigation Plan;
 - z. Office, work, and storage areas;
 - aa. Equipment deliveries and priorities;
 - bb. Security;
 - cc. Progress cleaning; and,
 - dd. Working hours;
- C. Construction Progress Meetings:
- 1. The Resident Engineer will schedule and conduct construction progress meetings at bi-weekly intervals or as otherwise determined. All participants at the meeting must be familiar with the Project and authorized to conclude matters relating to the Work. Unless otherwise directed, the Design Consultant will record and distribute meeting minutes.
 - 2. Attendees:
 - a. Design Consultant and applicable sub-consultants;
 - b. Sponsor Agency Representative;
 - c. Representatives from the Contractor, sub-contractor(s), suppliers or other entities involved in the current progress, planning, coordination or future activities of the Work; and,
 - d. Other appropriate DDC personnel, DDC consultants and concerned parties.
 - 3. Agenda: Includes without limitation the following:
 - a. Review the Construction Schedule and progress of the Work. Determine if the Work is on time, ahead of schedule or behind schedule. Determine actions to be taken to maintain or accelerate the schedule;
 - b. Review and approve prior meeting minutes and follow up open issues;
 - c. Coordinate work between each subcontractor;
 - d. Sequence of Operations;
 - e. Status of submittals, deliveries, and off-site fabrication;
 - f. Status of inspections and approvals by governing agencies;
 - g. Temporary facilities and controls;
 - h. Review Site Safety;
 - i. Quality and work standards;
 - j. Field observations;
 - k. Status of correction of deficient items;
 - l. RFI's;
 - m. Pending changes;
 - n. Status of outstanding payments and change orders;
 - o. LEED requirements including Construction Waste Management, Indoor Air Quality Plan, Dust Mitigation and Commissioning; and,
 - p. Status of Administrative Code reporting requirements related to the Project.
- D. Preinstallation Conferences:
- 1. The Contractor will conduct a preinstallation conference at project site before each construction activity when required by other specification Sections and when required for coordination with other construction.
 - 2. Attendees:



- a. Contractor and its superintendents
- b. Applicable subcontractor(s)
- c. Representatives of manufacturers and fabricators involved in or affected by the installation and its coordination or integration with other materials and installations that have preceded or will follow.
3. Advise the Commissioner of scheduled preinstallation conference meeting dates.
4. Agenda: Review progress of other construction activities and preparations for the particular activity under consideration, including requirements for the following:
 - a. Contract Documents
 - b. Related RFI's
 - c. Deliveries
 - d. Submittals
 - e. Review of mockups
 - f. Possible conflicts
 - g. Compatibility requirements
 - h. Time schedules
 - i. Weather limitations
 - j. Manufacturer's written instructions
 - k. Warranty requirements
 - l. Compatibility of materials
 - m. Acceptability of substrates
 - n. Temporary facilities and controls
 - o. Space and access limitations
 - p. Testing and inspecting requirements
 - q. Installation procedures
 - r. Coordination with other work
 - s. Required performance results
 - t. Protection of adjacent work

1.7 REQUESTS FOR INFORMATION (RFI):

- A. Procedure: Immediately on discovery of the need for information or interpretation of the Contract Documents, and if not possible to request interpretation at Project meeting, the Contractor must prepare and submit an RFI in the form specified by the Resident Engineer.
 1. RFI must originate with the Contractor. RFIs submitted by entities other than Contractor will be returned with no response.
 2. Coordinate and submit RFI in a prompt manner to the Resident Engineer so as to avoid delays in Contractor's Work or Work of its subcontractors.
 3. RFI Log: The Contractor must prepare, maintain, and submit a tabular log of RFIs organized by the RFI number monthly to the Resident Engineer, or more frequently if directed by the Resident Engineer.
 4. On receipt of responses and action to the RFI, the Contractor must update the RFI log and immediately distribute the RFI response to affected parties. Review response(s) and notify the Resident Engineer immediately if the Contractor disagrees with response(s).



1.8 CORRESPONDENCE:

- A. Copies of all correspondence to DDC must be sent directly to the Resident Engineer at the job site.

1.9 CONTRACTOR'S DAILY REPORTS:

- A. The Contractor must prepare and submit Daily Construction Progress Reports as outlined in Section 01 32 00 CONSTRUCTION PROGRESS DOCUMENTATION.

PART II – PRODUCTS (Not Used)

PART III – EXECUTION (Not Used)

END OF SECTION 01 31 00



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**SECTION 01 32 00
CONSTRUCTION PROGRESS DOCUMENTATION**

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required Work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY

- A. This Section includes administrative and procedural requirements for establishing an effective base line schedule for the Project and documenting the progress of construction during performance of the Work by developing and revising as necessary, various documents including but not limited to the following:
 - 1. Submittals schedule
 - 2. Daily construction reports
 - 3. Material location reports
 - 4. Field condition reports
 - 5. Special reports

- B. RELATED SECTIONS:

1. Section 01 10 00	SUMMARY
2. Section 01 32 22	PHOTOGRAPHIC DOCUMENTATION
3. Section 01 32 16.10	PROJECT SCHEDULES (METHOD A)
4. Section 01 32 16.20	PROJECT SCHEDULES (METHOD B)
5. Section 01 32 16.30	PROJECT SCHEDULES (METHOD C)
6. Section 01 33 00	SUBMITTAL PROCEDURES
7. Section 01 40 00	QUALITY REQUIREMENTS

1.3 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.

- B. Design Consultant: "Design Consultant" must mean the entity responsible for providing design services for the Project, including without limitation, preparing the construction documents (drawings and specifications) and providing services in connection with such documents during construction. The entity serving as the "Design Consultant" may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.



PART II – PRODUCTS

2.1 SUBMITTALS SCHEDULE:

- A. Preparation: The Contractor must submit a schedule of submittals, arranged in chronological order by dates required by the construction schedule. Include time required for review, re-submittal, ordering, manufacturing, fabrication, and delivery when establishing dates. The Submittals Schedule must show all of the following types of submittals:
1. Shop and Coordination Drawings
 2. Material Samples
 3. Catalog Cuts
 4. Test and Evaluation Reports
 5. Field Test Reports
 6. Sample Warranties
 7. Certificates
 8. Qualification Data
 9. Closeout Submittals
- B. Submittals: At the kick-off meeting, the Contractor must have a preliminary Submittals Schedule, and must review this Schedule with the Resident Engineer and the Design Consultant. Within ten (10) Days after the kick-off meeting, the Contractor must complete the Submittals Schedule, including all submission dates, required delivery dates, and fabrication times. The Contractor must include an updated Submittals Schedule with all Progress Payment applications.
- C. Review: The Resident Engineer will review the Submittals Schedule submitted by the Contractor. Upon acceptance, the Resident Engineer will date and sign the schedule as approved and transmit it to the Design Consultant, Contractor, and others within DDC as the Resident Engineer deems appropriate. If so directed by the Commissioner, the Contractor must revise the Submittals Schedule to indicate a submission date for specified shop drawings and/or material samples within sixty (60) Days after the kick-off meeting. The Contractor must resubmit the Submittals Schedule as necessary to include all review comments.

2.2 REPORTS:

- A. Daily Construction Reports: The Contractor must submit to the Resident Engineer written Daily Construction Reports at the end of each day that work was performed, recording basic information such as the date, day, weather conditions, and contract days passed, remaining contract duration/days and the following information concerning the Project.

Information: The reports must be prepared by the Contractor's Superintendent and must bear the Contractor's Superintendent's signature. Each report must contain the following information:

1. List name of Contractor, subcontractors, their work force in each category, and details of activities performed;
2. The type of materials and/or major equipment being installed by the Contractor and/or by each subcontractor;
3. The major construction equipment being used by the Contractor and/or subcontractors;
4. Material and Equipment deliveries;
5. High and low temperatures and general weather conditions;
6. Accidents;
7. Meetings and significant decisions;
8. Unusual events;
9. Stoppages, delays, shortages, and losses;
10. Meter readings and similar recordings;



11. Emergency procedures;
12. Orders and/or requests of authorities having jurisdiction;
13. Approved Change Orders received and implemented;
14. Field Orders and Directives received and implemented;
15. Services connected and disconnected;
16. Equipment or system tests and startups;
17. Partial Completion(s) and occupancies; and,
18. Substantial Completion(s) authorized;

NOTE: If there is NO ACTIVITY at site, a daily report indicating so and the reason for no activity at the site must be submitted.

- B. Material Location Reports: The Contractor must submit a Material Location Report at weekly OR monthly intervals as determined and established by the Resident Engineer. Such report must include a comprehensive list of materials delivered to and stored at Project site. List must be cumulative, showing materials previously reported plus items recently delivered. Include with list a statement of progress on and delivery dates for materials or items of equipment fabricated or stored away from Project site.
- C. Field Condition Reports: Immediately on discovery of a difference between field conditions and the Contract Documents, prepare and submit a detailed report. Submit a Request For Information (RFI) form with a detailed description of the differing conditions, together with recommendations for changing the Contract Documents.

2.3 SPECIAL REPORTS:

- A. Accident report, incident report, special condition report for the conditions out of control of any party involved with the Project effecting Project progress, explaining impact on the Project schedule and cost if any.

PART III – EXECUTION (Not Used)

END OF SECTION 01 32 00



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**SECTION 01 32 16.10
PROJECT SCHEDULES (METHOD A)**

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SECTION 01 32 16.10

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

- A. This section includes the following:
 - 1. Methods
 - 2. Definitions
 - 3. Preliminary, Baseline, and Project Schedule Preparation Timeline
 - 4. Preliminary Project Schedule Development
 - 5. Project Schedule
 - 6. Activity and Calendar Coding Structure
 - 7. Work Breakdown Structure (WBS)
 - 8. Major Milestones
 - 9. Short (Three-Week) Interval/Two-Week Look-Ahead
 - 10. Submittals
 - 11. Project Schedule Updating
 - 12. Time Impact Analysis

1.3 METHODS:

- A. The Contractor must comply with Project schedule development and updating requirements as specified herein.
 - 1. The Contractor must employ or retain the services of a Construction Scheduler with verifiable construction scheduling experience, subject to review and acceptance by the City. Upon request, the Contractor must provide the City with details of qualifications and experience of the proposed scheduling staff member(s).
 - 2. The Contractor must prepare, update, and maintain a detailed Project Schedule using a version of scheduling software that is compatible with the City's Oracle Primavera P6 Enterprise Project Portfolio Management (EPPM). All schedule submittals must be developed using Oracle's Primavera P6 EPPM software. Schedules must be developed using accepted CPM techniques using the precedence diagramming method (PDM). The Project Schedule must be developed following Defense Contract Management Agency (DCMA) and American Association of Cost Engineering International (AACE International) guidance. The Contractor will be required to use the Contractor's



own P6 license (whether single-user or Enterprise license), unless otherwise directed by the Commissioner. If directed by the Commissioner prior to the Notice to Proceed (NTP), the Contractor must use the Department’s P6 Enterprise license and develop the Progress Schedule within the Department’s Enterprise environment.

3. Once the Baseline Schedule is accepted by the City, progress updates to the Project Schedule must be submitted monthly, unless otherwise directed by the City, until Substantial Completion. The Data Date for the schedule updates must use the last Friday of the month, or as directed by the City.
4. The Contractor will be responsible for providing the monthly schedule updates once the Baseline Schedule is approved. Each monthly schedule update must be accompanied with a schedule narrative that explains the following:
 - a. The progress of work during that particular period of performance,
 - b. Any changes in schedule Logic,
 - c. The physical conditions that were used to update every Activities Percent Complete,
 - d. Any change in actual Start and Finish Dates,
 - e. Any Duration changes,
 - f. Any added and deleted Activities, and
 - g. Any added Extra Work (e.g. change orders).

1.4 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.

<u>Term</u>	<u>Definition</u>
Activity	A representation of a discrete portion of the overall scope of Work or an event through Duration and description in a CPM schedule.
Baseline Schedule	The planned and detailed CPM schedule of Activities, including all Logic, Durations, Resource and Cost Loading, and showing the entire scope of Work. The Baseline Schedule must be accepted by the City.
Critical Path	The longest sequence of Activities in a network which establishes the minimum length of time for accomplishment of the end event of the Project.
Critical Path Method (CPM)	A management technique used to plan and control a Project which combines all relevant information into a single plan defining the sequence and Duration of operations and depicting the interrelationship of the Work elements required to complete the Project.
Current Schedule	The most recently updated schedule that captures progress to date and forecasts the dates for each Activity.
Data Date	The date used as a starting point for scheduling calculations. The Data Date is changed to the current end of period date when a schedule is updated for progress.
Duration	The amount of time, in workdays, an Activity will take to perform.



<u>Term</u>	<u>Definition</u>
Finish Date	The earliest estimated date an Activity is calculated to be complete, based on the estimated performance of all prior Activities to which the Activity is logically connected in a progressive relationship.
Free Float	The calculated amount of time that the estimated start or finish of an Activity can be delayed without impacting the start or finish of other downstream Activities logically connected in a progressive relationship. (See Finish Date and Late Finish).
Fragnet	Fragmentary network: a portion of a schedule detailing impacts of an event on specific Activities in the broader schedule.
Inclement Weather	Any weather condition, the duration of which varies in excess of the 3-year average published by the National Oceanic and Atmospheric Administration (NOAA) information for the local area.
Integrated Project Schedule	The Commissioner's overall schedule covering design, procurement and construction. The Commissioner will use the Contractor's Project Schedule to update the Integrated Project Schedule.
Late Finish	An estimate of the latest plausible date an Activity's completion can be postponed without rendering as unachievable the required completion of any downstream Milestones to which the Activity is Logically connected to in a progressive relationship.
Late Start	An estimate of the latest plausible date an Activity's start can be postponed without rendering as unachievable the required completion of any downstream Milestones to which the Activity is Logically connected to in a progressive relationship.
Logic	A direct progressive relationship between Activities where one Activity's performance restricts the performance of another Activity.
Milestone	A key or critical point in time for reference or measurement.
Network Diagram	A graphic diagram of a network schedule, showing Activities and Activity relationships.
Original Duration	The estimated amount of time, in Work Days, an Activity is expected to take to complete at the beginning of a Project as anticipated by the Contractor based on its planned means and methods at time of bid and documented in the Baseline Schedule.
Percent Complete	The percentage of the scope of Work represented by an Activity completed as of the Data Date calculated as physical percent complete for payment purposes.
Project Schedule	The Contractor's schedule used to manage the orderly and expeditious completion of the Work. The Project Schedule is initially the accepted Baseline Schedule, and is updated throughout the Project.
Remaining Duration	The amount of time, in Work Days, the remaining scope of Work represented by an Activity is expected to take to complete, measured from the current Data Date.



<u>Term</u>	<u>Definition</u>
Resource and Cost Loading	Values assigned for estimated dollars, manpower, equipment and/or materials necessary to complete the scope of Work represented by a specific Activity.
Recovery Schedule	A Recovery Schedule outlining and incorporating extraordinary efforts required to recover lost time with the aim of achieving completion of the Project within the stipulated contract Duration, plus authorized time extensions. In such case, special attention must be given to minimize delays as much as possible and must establish the nature of efforts; for instance, resources and equipment required, extended hours of work, weekend work, accelerated fabrication, required action(s) or effort(s) by the Contractor, its subcontractors, consultants, clients, end users and/or other concerned parties to recover the schedule.
Revised and/or Updated Schedule	A Baseline Schedule, Progress Project Schedule, or Recovery Schedule for the Project that shows the actual Duration of all the completed Activities, including Duration of and the reasons for delays, if any has occurred, AND revisions to all remaining Activities of the Contractor and its subcontractors, including changes, if any, to logical ties, interrelations and the sequence of each of the outlined Activities. Any such revisions should be shown on the row just below the approved schedule of the respective Activity so that revisions can be compared. The Revised and/or updated Schedule must be reviewed and approved by the City.
Start Date	The earliest estimated date an Activity is calculated to begin, based on the estimated performance of all prior Activities to which the Activity is logically connected in a progressive relationship.
Time Impact Analysis	A forward looking (prospective) schedule analysis used to forecast the impact to the Critical Path and to Milestone Finish Dates caused by a single event or series of events. Time Impact Analysis is not a retrospective (forensic) schedule analysis or a what-if schedule analysis of a potential event.
Total Float	The amount of time the start or finish of an Activity can be delayed without affecting the Project completion date.
Work Breakdown Structure (WBS)	WBS is a deliverable-oriented decomposition of a Project into smaller components. A WBS provides the necessary framework for detailed cost estimating and control along with providing guidance for schedule development and control.
Work Days (WD)	Work Days are every consecutive day in the calendar, excluding weekends (Saturday and Sunday) and holidays.

1.5 PRELIMINARY, BASELINE, AND PROJECT SCHEDULE PREPARATION TIMELINE:

- A. Upon receipt of the NTP, the Contractor must promptly prepare a preliminary Project Schedule and subsequently a Baseline Schedule and must submit for the City’s acceptance as follows:
 1. The preliminary Project Schedule must be submitted no later than fifteen (15) Days after NTP.
 2. The initial submittal of the Baseline Schedule must be provided to the City for review no later than thirty (30) Days after NTP.



3. The Contractor must incorporate all corrections and revisions required by the City and provide an updated version of the Baseline Schedule for review and acceptance no later than sixty (60) Days after NTP to ensure that the Baseline Schedule is accepted. The sixty (60) Days must include fourteen (14) Days review times for each submittal of the Baseline Schedule.
4. Once accepted, the Baseline Schedule will be the basis of Project Schedule updates.

1.6 PRELIMINARY PROJECT SCHEDULE DEVELOPMENT:

- A. The preliminary Project Schedule must be a detailed plan (division level per Construction Specifications Institute (CSI) MasterFormat) of all operations, including submittals, permitting, testing, and construction Activities, for either the first ninety (90) Days after NTP or to the point where the Contractor plans to mobilize on site (whichever is greater). This submittal will also depict a summary level (section level per CSI MasterFormat) schedule of the major Activities for the remainder of the Work.
 1. All Activities for Contractor mobilization, procurement, and construction Activities within the first sixty (60) Days, including permits and submittals. All remaining work forecasted after the first sixty (60) Days must be summarized through the Contract's completion date.
 2. All submittal and procurement Activities for long lead items.
 3. The Project's Critical Path.
 4. An electronic copy of the schedule in either MS Project (.MPP) or Primavera P6 Professional Format (.XER).
- B. The preliminary Project Schedule will be reviewed by the City and returned with comments, as necessary, within fourteen (14) Days of submittal receipt. Information from the preliminary Project Schedule will be the general foundation for development of the Baseline Schedule.

1.7 PROJECT SCHEDULE:

- A. The Baseline Schedule must show the sequence in which the Contractor proposes to perform the Work, and account for all major and intermediate Milestone Activities, phasing, restrictions of access, availability of work areas and the availability and use of labor, materials, and equipment.
- B. After the Baseline Schedule is approved, the Project Schedule must be the Contractor's working schedule and must be used to plan, organize, execute, and track the Project. The Project Schedule is the primary vehicle used to report actual performance, progress, and convey the Contractor's execution plan to complete the Work.
- C. The Project Schedule must show the sequence in which the Contractor proposes to perform the Work, and account for all major and intermediate Milestone Activities, phasing, restrictions of access, availability of work areas and the availability and use of labor, materials, and equipment.
- D. The Project Schedule must be the Contractor's working schedule used to plan, organize, execute, and track the Project. The Project Schedule is the primary vehicle used to report actual performance, progress, and convey the Contractor's execution plan to complete all remaining Work.
- E. All delay claims must be based on the current approved updates of the Project Schedule.
- F. The Contractor must confirm in writing that all subcontractors performing any portion of the Work are in agreement with the accepted Baseline Schedule and the monthly updates.
- G. The amount of detail represented in the Baseline and Project Schedule and supporting documents submitted must, at a minimum, include the following items:



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1. Contract Milestones must be identified and included in the Baseline and Project Schedule.
 2. All submittal, owner review & approval, purchase, manufacture, and delivery Activities for all major materials and equipment.
 3. Deliveries of owner-furnished equipment and/or materials.
 4. Preparation, submittal, and approval of drawings, material samples, and safety plans.
 5. Preparation, submittal, review, and approval of permits required by all regulatory agencies and other third parties.
 6. Performance of tests, submission of test reports, and approval of test results.
 7. Commissioning Activities for all commissioned systems and equipment is to be clearly delineated and scheduled such that they will be completed prior to Substantial Completion. Such Activities must include, at a minimum, Pre-Functional testing and check sheets; Testing, Adjusting, and Balancing (TAB) verification; Functional Testing, including testing of all controls; and Owner's demonstration and orientation.
 8. Completion dates of all items required for phased completion (if applicable).
 9. Completion dates of all items required for Substantial Completion.
 10. Completion dates of all items required to obtain a Temporary Certificate of Occupancy (TCO) and Certificate of Occupancy (CO).
 11. Completion dates for close-out of regulatory and punch list items prior to Final Acceptance and transfer of the Project.
 12. Any additional detail requested by the Commissioner.
- H. Activities identified in the Baseline and Project Schedule must have the Duration in units of whole Work Days. Construction Activity Durations must not exceed twenty (20) Work Days unless specifically approved by the City. This is to ensure that Activities are not generalized and that each Activity and sub-Activity are defined as narrowly as reasonable to facilitate schedule tracking. Durations for non-construction Activities such as procurement of materials, delivery of equipment, concrete curing, etc., may exceed twenty (20) Work Days without prior approval; however, these are still subject to review by the City. Durations must be based on the available resources required for performing each Activity and must be the result of definitive labor hours using established production rates, and with consideration of on-site working conditions. If requested by the City, the Contractor must justify the reasonableness of a planned Duration.
- I. Activity descriptions must use plain language that clearly and uniquely defines each Activity. Each description must include a verb or work function (e.g. submit, form, pour, etc.), an object (e.g. slab, foundation, etc.) and, for any construction Activities, a specific location. The Work related to each Activity must be limited to one responsibility and one trade.
- J. Activity relationships must be assigned to clearly establish predecessor and successor relationships to each Activity. Open-ended Activities are not permitted with the exception of the first and last Activity in the network, the first Activity being NTP and the last being Final Acceptance. The use of relationship lag times is discouraged and only permitted with prior approval by the City. The use of negative lag is never permitted.
- K. Activity constraint dates are only to be used to reflect contractual constraints unless specifically authorized by the City.
- L. Float or slack, in any schedule, must not be for the exclusive use or benefit of either the City or the Contractor, but must be available for use by both the City and the Contractor.
- M. Each resubmittal after the Project Schedule is delivered for acceptance must comply with all requirements of this section. Review and response by the City will be given within fourteen (14) Days after resubmission. The Contractor's receipt of the comments within the time specified must not, in any way, affect the Contractor's responsibility to complete the Project within the time fixed in Schedule A.
- N. Failure by the City to return comments or indicate acceptance status will in no way relieve the Contractor's obligation to submit monthly schedule updates.



- O. At the request of the City, the Contractor must be required to make a presentation to explain or clarify the intended logical sequence of construction Activities depicted in the detailed Project Schedule. The Contractor and designated scheduler must discuss anticipated challenges and outline construction methodology and flow of work to show how and when major Milestones will be achieved. In addition, the Contractor may, at no cost to the City, be required to participate in additional Project meetings necessary to obtain acceptance of the above-noted submittals.

1.8 ACTIVITY AND CALENDAR CODING STRUCTURE:

- A. The Baseline and Project Schedules must contain a sufficient number of Activities to represent adequate planning and execution of the Work so that it shows an accurate flow of work and demonstrates an understanding of the Project by the Contractor.
- B. Activity ID and Calendar Coding
 - 1. The Contractor’s proposed Activity and calendar coding and must be submitted with the preliminary Project Schedule. A meeting may be requested by the City to discuss the scheme and other schedule information prior to the submittal of the Project Schedule. The accepted coding scheme and WBS Structure must be incorporated into the Project Schedule.
- C. Activity ID Coding
 - 1. All Activities/ Resources/ Calendars (Baseline and Project Schedules) must be coded inside the P6 Project Environment / Project Level (NOT the Global Environment/ Enterprise Level) to facilitate selection, sorting and preparation of reports.
 - 2. Activity coding must consist of the Project ID followed by a dash, followed by Activity coding (PROJECT ID-ACTIVITY CODE). Activity codes must be created at the Project level and must utilize the coding scheme outlined in the table below:

Activity Code	Meaning
RESP	<u>Responsibility</u> : Identify the party (e.g. Contractor, subcontractor, City, etc.) responsible for the Activity.
PHAS	<u>Phase</u> : Breakdown of Activities in Milestones, pre-construction, procurement, construction and close-out Activities.
LOCN	<u>Location</u> : Breakdown by floor or elevation.
AREA	<u>Area</u> : Breakdown by room, area, block or wing. May be used as a subdivision of PHAS to include Milestones, permits, subcontractor approvals, submittals, fabrication and delivery, and subdivision of the Site and buildings into Logical modules, such as by blocks, wings, etc.
TRAD	<u>Trade</u> : Breakdown by CSI Code or section number in the Specifications.

- a. Description of schedule Activities must include terminology that represents the scope of work associated with that particular Activity. Terminology used to describe similar actions must be consistent across all segments of work.
- b. Naming convention for schedule Activities must be descriptive and indicate the associated work covered by the Activity. Activities must use a verb, noun, and location of the work in the Activity name.



3. Project Calendar Coding
 - a. All calendars created and assigned to Activities must be Project-level calendars. The Calendar Name must consist of the Project ID number followed by a dash, followed by a descriptive Calendar Name (PROJECT ID-CALENDAR NAME).

1.9 WORK BREAKDOWN STRUCTURE:

- A. Structure must be submitted with the preliminary Project Schedule. The levels (nodes) must include, but not be limited to:
 1. LEVEL 01 – The Project Level.
 2. LEVEL 02 – Contains a minimum of four (4) nodes: Pre-Construction, Procurement, Construction or Phase of Construction, and Closeout.
 3. LEVEL 03 – Decomposition of each of the four (4) nodes in Level 02 into its constituent parts. This level must target specific, tangible, deliverable scopes of Project Work.
- B. The Contractor's proposed WBS must be submitted with the preliminary Project Schedule. The accepted WBS Structure must be incorporated into the Baseline and Project Schedule.

1.10 MAJOR MILESTONES:

- A. The schedule must include both contractual and non-contractual Milestones that are provided by the City. These Milestones must be properly associated with the related Work and maintained to represent the progress of the Project.

1.11 SHORT (THREE-WEEK) INTERVAL / TWO-WEEK LOOK-AHEAD:

- A. On a bi-weekly basis, the Contractor must provide a three (3) week short interval schedule in a format satisfactory to the City. The purpose of this schedule is to report the actual progress of the past week against the previous short interval look-ahead Activities and add any additional Activities planned for the next two (2) weeks. Electronic files and hard copies must be provided to the City on the first day of each work week with the prior week's actual progress included.
- B. Each task listed on the short interval schedule must be representative of the most current Project Schedule Update and include a reference to an Activity shown on the current update.

1.12 SUBMITTALS:

- A. General
 1. Development of the Baseline Schedule and updating of the Project Schedule must follow the DCMA and AACE International guidelines.
 2. Each electronic submission of the Project Schedule must be assigned a unique file name consisting of the Project ID (as noted on the NTP followed by a dash followed by a unique file name clearly marked (i.e. ProjID- B000 = B/L rev0, ProjID-B001 = B/L rev01 etc.) to indicate the specific submission. Similarly, update submittals must be named ProjID-Uxxx where xxx is a sequential number, starting with 001, indicating the revision or issue number.
 3. The Contractor must provide all submittals in electronic format and two hard copies.
- B. Preliminary Project Schedule



1. For acceptance of the preliminary Project Schedule, the Contractor must submit the following:
 - a. Two (2) 11" x 17" hard copies of the proposed preliminary Project Schedule, as well as the native electronic schedule data file, in .XER file format, per the direction of the City.
 - b. A Schedule Narrative Report detailing the Contractor's initial plan for executing the Contract work within the allotted Contract Duration, and include the following explanation of their provided preliminary schedule:
 - i. The proposed WBS;
 - ii. All proposed Project Calendars;
 - iii. All proposed Activity Codes, clearly defined;
 - iv. The proposed Activity ID format; and
 - v. Schedule basis narrative, which must memorialize assumptions made in the development of the schedule.

C. Baseline Schedule

1. The City will normally return comments within ten (10) Work Days after receipt of the initial Project Schedule Submission. If any of the required submissions are returned to the Contractor for corrections or revisions, they must be resubmitted within five (5) Work Days from receipt of comments. Each resubmittal must comply with the requirements enumerated above. Review and response by the City will be given within ten (10) Work Days after resubmission.
2. At the request of the City, the Contractor will be required to participate in Project meetings necessary to obtain an acceptance of the above noted submittals.
3. Baseline Schedule submittal must contain a Narrative Report. It must include the following, or as directed by the City:
 - a. A description of the Project scope and how the Work is represented in the schedule Activities;
 - b. A description of the overall sequence of major components of Work;
 - c. Planned work week for each definable feature of work;
 - d. Description of the Critical Path and near Critical Paths;
 - e. How weather will be accommodated in the schedule, including a description of the weather calendar and the Activities it is applied to, and the NOAA Incontinent Weather data that defined the number of non-work days;
 - f. How regulatory, operational or third-party constraints are accommodated in the schedule;
 - g. Description of key Project coordination points or events;
 - h. Discussion of long lead items and basis of time frames for submittals; and
 - i. Potential opportunities and risks, including quantification of the schedule reduction or expansion.

D. Project Schedule Updates

1. Every schedule submittal must be provided with a corresponding narrative. These schedule submittals and narratives are to be submitted in hard copy, as well as in the native electronic format, as attachments to emails or other media accepted by the City. When opened, the electronic format must provide flawless restoration of the native files (P6 (.XER) for Primavera and MS Word and/or Adobe Acrobat for Narrative and supporting document submittals).



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2. For each submittal of the updated Project Schedule, the following layouts, reports, and graphics are required in the specified formats, unless otherwise directed by the City:
 - a. The Contractor must furnish two (2) 11" x 17" color hard copies of the complete progress schedule with each initial schedule update and final update incorporating comments furnished by the City. Additionally, the Contractor must provide the native electronic schedule data file, in .XER file format with the initial and final schedule update submission.
 - b. An Activity bar chart Layout grouped by Activity Code and then sorted by Start Date, Finish Date, and then Total Float.
 - c. Each Activity line must display the Activity ID (Act ID), Description (Name), Original Duration (OD), Remaining Duration (RD), Start Date (ES), Finish Date (EF), and Total Float (TF), Baseline Original Duration (BL OD) Baseline Start (BL Start), Baseline Finish (BL Fin), Baseline Total Float (BL TF).
 - d. An Activities progress bar must show both current progress update ES and EF, and baseline ES and EF. The top line of the bar chart area must contain the updated ES and EF; the second line below must depict the accepted baseline ES and EF dates.
3. The City may request additional standard P6 reports from time to time at no additional cost.
4. The Monthly Update submittal must contain a Narrative Report. It must include the following, or as directed by the City:
 - a. Any changes to the schedule basis narrative;
 - b. Overall health of the Project;
 - c. Actual Activity Start Dates;
 - d. Actual Activity Finish Dates;
 - e. The physical conditions that were used to update Activities percent complete;
 - f. Percent of Work reported in place;
 - g. A description of the overall sequence of major components of Work;
 - h. Description of the Critical Path and near Critical Paths;
 - i. Description of key Project coordination points or events;
 - j. Discussion of long lead items and basis of time frames for submittals;
 - k. Potential opportunities and risks, including quantification of the schedule reduction or expansion;
 - l. Assumptions/exclusions made in the schedule;
 - m. Contract and Milestone completion date status:
 - i. Number of Days ahead or behind schedule and; and
 - ii. Days lost/gained compared with the previous update.
 - n. Lookahead report listing each Activity in the CPM schedule that is scheduled to be performed during the next reporting period;
 - o. Changes in Activity description, Logic, or Duration must be submitted as a separate Proposed Schedule and approved by the City prior to being submitted as an official update. Once allowed, said changes must be grouped and organized in the report in a manner that communicates in detail the rationale associated with each change and



the impact upon construction sequence, relationships and the Critical Path. A standard Digger Report is not sufficient to meet this requirement;

- p. Added/deleted Activities and the rationale associated with each action;
- q. Pending issues and status of other items;
- r. Permits;
- s. Contract modifications; and
- t. Extra Work, including change orders.

1.13 PROJECT SCHEDULE UPDATING:

- A. The initial updating must take place immediately after the City accepts the Contractor's Baseline Schedule. The Data Date for the first update must not exceed seven (7) Days from the date of receipt of the accepted Baseline Schedule, or as directed by the City.
- B. Subsequent updates of the Project Schedule must be submitted monthly until Substantial Completion. The schedule Data Date must be the last Work Day of the period unless otherwise directed by the City. Updates must be provided to the City no later than seven (7) Days after the 'schedule Data Date'.
- C. Updates must reflect actual or reasonably anticipated progress as of the last Work Day of the period.
- D. The City may request meetings with the Contractor to review the Project Schedule and narrative and jointly verify Project health and information.
- E. In addition, the City may request meetings with the Contractor's scheduling representative to:
 - 1. Resolve out-of-sequence Logic.
 - 2. Should out-of-sequence progress occur where Activities have reported progress without predecessor Activities being completed, the Contractor must obtain the City's approval in a Proposed Schedule before revising the Logic ties to reflect the way the Work is actually being performed. Use of progress override by default mechanisms that may be included in CPM scheduling software systems will not be allowed except on a case-by-case basis with the approval of the City. A written explanation for each instance must be included in the monthly submittal narrative.
 - 3. Assess the impact, if any, of any pending change orders.
 - 4. Incorporate accepted time extensions.
 - 5. Review revised Logic (as-built and projected) and changes in Activity Duration, cost, and labor hours assigned.
- F. Contractor's failure to provide required scheduling information within the required timeframe or to adhere to the currently accepted schedule may result in rejection of all or a portion of the progress payment until such time as the required schedule information is submitted and accepted by the City.
- G. Delays to the Critical Path – Whenever it becomes apparent from the monthly CPM schedule update that delays to the Critical Path have occurred due to action or inaction of the Contractor, and as a result the date for Substantial Completion will not be met, the Contractor must promptly take some or all of the following actions at no additional cost to the City, unless otherwise directed by the City:
 - 1. Increase construction manpower in such quantities and crafts as will substantially eliminate the backlog of Work.



2. Increase the number of working hours per shift, shifts per day, or Work Days per week; the amount of construction equipment; the forms for concrete work; etc., or any combination of the foregoing to substantially eliminate the backlog of Work.
 3. Reschedule Activities to achieve maximum practical concurrence of accomplishment of Activities and comply with the revised schedule.
 4. Submit to the City for review a written statement of the steps the Contractor intends to take to remove or arrest the delay to the schedule.
 5. Add to its equipment and materials or construction forces, as well as increase the working hours, if operations for critical, less critical or non-critical Activities fall behind the Contractor's Baseline Schedule at any time during the construction period.
- H. The City may, at any time during the Project and at no additional cost to the City, require the Contractor to develop a more detailed schedule/ Fragnet than depicted in the Baseline Schedule to provide a clearer understanding of the effort needed to complete an Activity or group of Activities.
- I. If the City determines that either the Critical Path is in the negative by four (4) weeks, or that the Project's date for completion may be affected, the Contractor may be required, at no additional cost to the City, to prepare a Recovery Schedule. Such Recovery Schedule is subject to review and acceptance by the City. The Recovery Schedule must propose alternative methods, overtime, and other means available to the Contractor to recover the delays incurred to date.
- J. The Contractor must submit an "As-Built Schedule", as the last schedule update showing all Activities, with the exception of punch list and closeout tasks, at Substantial Completion. This schedule must reflect the exact manner in which the Project was actually constructed.

1.14 TIME IMPACT ANALYSIS:

- A. In addition to the requirements of the Standard Construction Contract Article 11, the Contractor must submit a Time Impact Analysis to the Engineer with all requests for time extension.
- B. The Time Impact Analysis must include a written narrative and supporting impact schedule Fragnet detailing the Project delays resulting from the alleged delay. The impact schedule Fragnet, separate and distinct from the Progress Schedule update, must demonstrate that the changes or anticipated delays affect Activities of the current accepted Progress Schedule. The impact schedule will be incorporated into the Progress Schedule only after it is accepted by the Commissioner and a time extension is approved. The Fragnet submitted as part of the Time Impact Analysis must illustrate the impact of these changes or delays on the date for Substantial Completion.

PART II – PRODUCTS (Not Used)

PART III – EXECUTION (Not Used)

END OF SECTION 01 32 16.10



**SECTION 01 32 16.20
PROJECT SCHEDULES (METHOD B)**

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SECTION 01 32 16.20

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

- A. This section includes the following:
 - 1. Methods
 - 2. Definitions
 - 3. Preliminary, Baseline, and Project Schedule Preparation Timeline
 - 4. Preliminary Project Schedule Development
 - 5. Project Schedule
 - 6. Activity and Calendar Coding Structure
 - 7. Work Breakdown Structure (WBS)
 - 8. Major Milestones
 - 9. Short (Three-Week) Interval/Two-Week Look-Ahead
 - 10. Submittals
 - 11. Project Schedule Updating
 - 12. Time Impact Analysis

1.3 METHODS:

- A. The Contractor must comply with Project schedule development and updating requirements as specified herein.
 - 1. The Contractor must employ or retain the services of a Construction Scheduler with verifiable construction scheduling experience, subject to review and acceptance by the City. Upon request, the Contractor must provide the City with qualifications and experience of the proposed scheduling staff member(s).
 - 2. The Contractor must prepare, update, and maintain a detailed Project Schedule using a version of scheduling software that is compatible with the City's Oracle Primavera P6 Enterprise Project Portfolio Management (EPPM). All schedule submittals must be developed using Oracle's Primavera P6 EPPM software. Schedules must be developed using accepted CPM techniques using the Precedence Diagramming Method (PDM). The Project Schedule must be developed following Defense Contract Management Agency (DCMA) and American Association of Cost Engineering International (AACE International) guidance. The Contractor will be required to use



the Contractor’s own P6 license (whether single-user or Enterprise license), unless otherwise directed by the Commissioner. If directed by the Commissioner prior to the Notice to Proceed (NTP), the Contractor must use the Department’s P6 Enterprise license and develop the Progress Schedule within the Department’s Enterprise environment.

3. Once the Baseline Schedule is accepted by the City, progress updates to the Project Schedule must be submitted monthly, unless otherwise directed by the City, until Substantial Completion. The Data Date for the schedule updates must use the last Friday of the month, or as directed by the City.
4. The Contractor will be responsible for providing the monthly schedule updates once the Baseline Schedule is approved. Each monthly schedule update must be accompanied with a schedule narrative that explains the following:
 - a) The progress of work during that particular period of performance;
 - b) Any changes in schedule Logic;
 - c) The physical conditions that were used to update every Activities Percent Complete;
 - d) Any change in actual Start and Finish Dates;
 - e) Any Duration changes;
 - f) Any added and deleted Activities; and,
 - g) Any added Extra Work (e.g., change orders).

1.4 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.

<u>Term</u>	<u>Definition</u>
Activity	A representation of a discrete portion of the overall scope of Work or an event through Duration and description in a CPM schedule.
Baseline Schedule	The planned and detailed CPM schedule of Activities, including all Logic, Durations, Resource and Cost Loading, and showing the entire scope of Work. The Baseline Schedule must be accepted by the City.
Critical Path	The longest sequence of Activities in a network which establishes the minimum length of time for accomplishment of the end event of the Project.
Critical Path Method (CPM)	A management technique used to plan and control a Project which combines all relevant information into a single plan defining the sequence and Duration of operations and depicting the interrelationship of the Work elements required to complete the Project.
Current Schedule	The most recently updated schedule that captures progress to date and forecasts the dates for each Activity.
Data Date	The date used as a starting point for scheduling calculations. The Data Date is changed to the current end of period date when a schedule is updated for progress.
Duration	The amount of time, in workdays, an Activity will take to perform.



<u>Term</u>	<u>Definition</u>
Finish Date	The earliest estimated date an Activity is calculated to be complete, based on the estimated performance of all prior Activities to which the Activity is logically connected in a progressive relationship.
Free Float	The calculated amount of time that the estimated start or finish of an Activity can be delayed without impacting the start or finish of other downstream Activities logically connected in a progressive relationship. (See Finish Date and Late Finish).
Fragnet	Fragmentary network: a portion of a schedule detailing impacts of an event on specific Activities in the broader schedule.
Inclement Weather	Any weather condition, the duration of which varies in excess of the 3-year average published by the National Oceanic and Atmospheric Administration (NOAA) information for the local area.
Integrated Project Schedule	The Commissioner's overall schedule covering design, procurement, and construction. The Commissioner will use the Contractor's Project Schedule to update the Integrated Project Schedule.
Late Finish	An estimate of the latest plausible date an Activity's completion can be postponed without rendering as unachievable the required completion of any downstream Milestones to which the Activity is Logically connected to in a progressive relationship.
Late Start	An estimate of the latest plausible date an Activity's start can be postponed without rendering as unachievable the required completion of any downstream Milestones to which the Activity is Logically connected to in a progressive relationship.
Logic	A direct progressive relationship between Activities where one Activity's performance restricts the performance of another Activity.
Milestone	A key or critical point in time for reference or measurement.
Network Diagram	A graphic diagram of a network schedule, showing Activities and Activity relationships.
Original Duration	The estimated amount of time, in Work Days, an Activity is expected to take to complete at the beginning of a Project as anticipated by the Contractor based on its planned means and methods at time of bid and documented in the Baseline Schedule.
Percent Complete	The percentage of the scope of Work represented by an Activity completed as of the Data Date calculated as physical percent complete for payment purposes.
Project Schedule	The Contractor's schedule used to manage the orderly and expeditious completion of the Work. The Project Schedule is initially the accepted Baseline Schedule, and is updated throughout the Project.



<u>Term</u>	<u>Definition</u>
Remaining Duration	The amount of time, in Work Days, the remaining scope of Work represented by an Activity is expected to take to complete, measured from the current Data Date.
Resource and Cost Loading	Values assigned for estimated dollars, manpower, equipment and/or materials necessary to complete the scope of Work represented by a specific Activity.
Recovery Schedule	A Recovery Schedule outlining and incorporating extraordinary efforts required to recover lost time with the aim of achieving completion of the Project within the stipulated contract Duration, plus authorized time extensions. In such case, special attention must be given to minimize delays as much as possible and must establish the nature of efforts; for instance, resources and equipment required, extended hours of work, weekend work, accelerated fabrication, required action(s) or effort(s) by the Contractor, its subcontractors, consultants, clients, end users and/or other concerned parties to recover the schedule.
Revised and/or Updated Schedule	A Baseline Schedule, Project Schedule, or Recovery Schedule for the Project that shows the actual Duration of all the completed Activities, including Duration of and the reasons for delays, if any have occurred, AND revisions to all remaining Activities of the Contractor and its subcontractors, including changes, if any, to logical ties, interrelations and the sequence of each of the outlined Activities. Any such revisions should be shown on the row just below the approved schedule of the respective Activity so that revisions can be compared. The Revised and/or updated Schedule must be reviewed and approved by the City.
Start Date	The earliest estimated date an Activity is calculated to begin, based on the estimated performance of all prior Activities to which the Activity is logically connected in a progressive relationship.
Time Impact Analysis	A forward looking (prospective) schedule analysis used to forecast the impact to the Critical Path and to Milestone Finish Dates caused by a single event or series of events. Time Impact Analysis is not a retrospective (forensic) schedule analysis or a what-if schedule analysis of a potential event.
Total Float	The amount of time the start or finish of an Activity can be delayed without affecting the Project completion date.
Work Breakdown Structure (WBS)	WBS is a deliverable-oriented decomposition of a Project into smaller components. A WBS provides the necessary framework for detailed cost estimating and control along with providing guidance for schedule development and control.
Work Days (WD)	Work Days are every consecutive day on the calendar, excluding weekends (Saturday and Sunday) and holidays.

1.5 PRELIMINARY, BASELINE, AND PROJECT SCHEDULE PREPARATION TIMELINE:

- A. Upon receipt of the NTP, the Contractor must promptly prepare a preliminary Project Schedule and subsequently a Baseline Schedule and must submit for the City’s acceptance as follows:



1. Submit the Contractor's CPM Scheduler's qualifications to the City for approval within seven (7) Days after NTP. The City will respond to the submittal within seven (7) Days of the submittal receipt.
2. The preliminary Project Schedule must be submitted no later than twenty-one (21) Days after NTP.
3. The initial submittal of the Baseline Schedule must be provided to the City for review no later than forty-five (45) Days after NTP.
4. The Contractor must incorporate all corrections and revisions required by the City and provide an updated version of the Baseline Schedule for review and acceptance no later than seventy-five (75) Days after NTP to ensure that the Baseline Schedule is accepted no later than ninety (90) Days after the NTP. The ninety (90) Days must include fourteen (14) Days review time by the City for each submittal of the Baseline Schedule.
5. Once accepted, the Baseline Schedule will be the basis of Project Schedule updates.

B. Remedies

1. Preliminary Project Schedule: The City will take a credit of three thousand dollars (\$3,000) if the preliminary Project Schedule is not submitted within twenty-one (21) Days of the NTP.
2. Acceptable Baseline Schedule: The City will take a credit of five thousand dollars (\$5,000) if an acceptable Baseline Schedule is not submitted within ninety (90) Days of the NTP.
3. Monthly Progress Schedule updates: The City will take a credit of two thousand dollars (\$2,000) for each schedule update not submitted within the period it was due.
4. Scheduling Firm Services: If an acceptable Baseline Schedule is not provided by the Contractor within ninety (90) Days of the NTP or three (3) updates are not provided by the Contractor during the period they are due, the City may engage the services of a scheduling firm to develop a Project schedule or update an existing schedule. The total cost of such services will be deducted from the monies due to the Contractor.
 - a. Any schedules and updates developed by such scheduling firm are for the City's sole use and do not, in any way, represent an acceptance of responsibility by the City to schedule the Work or relieve the Contractor of the obligation to complete the Work within the Durations specified by the Contract.
5. The City will only accept the submitted information after all corrections have been made and all issues have been resolved. The City may find the Contractor in default if items required by this Section are incomplete.

1.6 PRELIMINARY PROJECT SCHEDULE DEVELOPMENT:

- A. The preliminary Project Schedule must be a detailed plan (division level per Construction Specifications Institute (CSI) MasterFormat) of all operations, including submittals, permitting, testing, and construction Activities, for either the first ninety (90) Days after NTP or to the point where the Contractor plans to mobilize on site (whichever is greater). This submittal will also depict a summary level (section level per CSI MasterFormat) schedule of the major Activities for the remainder of the Work.
- B. The preliminary Project Schedule will be reviewed by the City and returned with comments, as necessary, within fourteen (14) Days of submittal receipt. Information from the preliminary Project Schedule will be the general foundation for development of the Baseline Schedule.



1.7 PROJECT SCHEDULE:

- A. The Baseline Schedule must show the sequence in which the Contractor proposes to perform the Work, and account for all major and intermediate Milestone Activities, phasing, restrictions of access, availability of work areas and the availability and use of labor, materials, and equipment.
- B. After the Baseline Schedule is approved, the Project Schedule must be the Contractor's working schedule and must be used to plan, organize, execute, and track the Project. The Project Schedule is the primary vehicle used to report actual performance, progress, and convey the Contractor's execution plan to complete all of the Work.
- C. The Project Schedule must show the sequence in which the Contractor proposes to perform the Work, and account for all major and intermediate Milestone Activities, phasing, restrictions of access, availability of work areas and the availability and use of labor, materials, and equipment.
- D. The Project Schedule must be the Contractor's working schedule used to plan, organize, execute, and track the Project. The Project Schedule is the primary vehicle used to report actual performance, progress, and convey the Contractor's execution plan to complete all remaining Work.
- E. All delay claims must be based on the current approved updates of the Project Schedule.
- F. The Contractor must confirm in writing that all subcontractors performing any portion of the Work are in agreement with the accepted Baseline Schedule and the monthly updates.
- G. The amount of detail represented in the Baseline and Project Schedule and supporting documents submitted must, at a minimum, include the following items :
 - 1. Contract Milestones must be identified and included in the Baseline and Project Schedule.
 - 2. All submittal, owner review & approval, purchase, manufacture, and delivery Activities for all major materials and equipment.
 - 3. Deliveries of owner-furnished equipment and/or materials.
 - 4. Preparation, submittal, and approval of drawings, material samples, and safety plans.
 - 5. Preparation, submittal, review, and approval of permits required by all regulatory agencies and other third parties.
 - 6. Performance of tests, submission of test reports, and approval of test results.
 - 7. Commissioning Activities for all commissioned systems and equipment is to be clearly delineated and scheduled such that they will be completed prior to Substantial Completion. Such Activities must include, at a minimum, Pre-Functional testing and check sheets; Testing, Adjusting, and Balancing (TAB) verification; Functional Testing, including testing of all controls; and Owner's demonstration and orientation.
 - 8. Completion dates of all items required for phased completion (if applicable).
 - 9. Completion dates of all items required for Substantial Completion.
 - 10. Completion dates of all items required to obtain a Temporary Certificate of Occupancy (TCO) and Certificate of Occupancy (CO).
 - 11. Completion dates for close-out of regulatory and punch list items prior to Final Acceptance and transfer of the Project.
 - 12. Any additional detail requested by the Commissioner.



- H. Activities identified in the Baseline and Project Schedule must have the Duration in units of whole Work Days. Construction Activity Durations must not exceed twenty (20) work days unless specifically approved by the City. This is to ensure that Activities are not generalized and that each Activity and sub-Activity are defined as narrowly as reasonable to facilitate schedule tracking. Durations for non-construction Activities such as procurement of materials, delivery of equipment, concrete curing, etc., may exceed twenty (20) work days without prior approval; however, these are still subject to review by the City. Durations must be based on the available resources required for performing each Activity and must be the result of definitive labor hours using established production rates, and with consideration of on-site working conditions. If requested by the City, the Contractor must justify the reasonableness of a planned Duration.
- I. Activity descriptions must use plain language that clearly and uniquely define each Activity. Each description must include a verb or work function (e.g. submit, form, pour etc.) an object (e.g. slab, foundation, etc.) and, for any construction Activities, a specific location. The Work related to each Activity must be limited to one responsibility and one trade.
- J. Activity relationships must be assigned to clearly establish predecessor and successor relationships to each Activity. Open-ended Activities are not permitted with the exception of the first and last Activities in the network, the first Activity being NTP and the last being Final Acceptance. The use of relationship lag times is discouraged and only permitted with prior approval by the City. The use of negative lag is never permitted.
- K. Activity constraint dates are only to be used to reflect contractual constraints unless specifically authorized by the City.
- L. Float or slack in any schedule must not be for the exclusive use or benefit of either the City or the Contractor, but must be available for use by both the City and the Contractor.
- M. Each resubmittal after the Project Schedule is delivered for acceptance must comply with all requirements of this section. Review and response by the City will be given within fourteen (14) Days after resubmission. The Contractor's receipt of the comments within the time specified must not in any way affect the Contractor's responsibility to complete the Project within the time fixed in Schedule A.
- N. Failure by the City to return comments or indicate acceptance status will in no way relieve the Contractor's obligation to submit monthly schedule updates.
- O. At the request of the City, the Contractor must be required to make a presentation to explain or clarify the intended logical sequence of construction Activities depicted in the detailed Project Schedule. The Contractor and designated scheduler must discuss anticipated challenges and outline construction methodology and flow of work to show how and when major Milestones will be achieved. In addition, the Contractor may, at no cost to the City, be required to participate in additional Project meetings necessary to obtain acceptance of the above noted submittals.

1.8 ACTIVITY AND CALENDAR CODING STRUCTURE:

- A. The Baseline and Project Schedules must contain a sufficient number of Activities to represent adequate planning and execution of the Work so that it shows an accurate flow of work and demonstrates an understanding of the Project by the Contractor.
- B. Activity ID and Calendar Coding
 - 1. The Contractor's proposed Activity and calendar coding and must be submitted with the preliminary Project Schedule. A meeting may be requested by the City to discuss the scheme and other schedule information prior to the submittal of the Project Schedule. The accepted coding scheme and WBS Structure must be incorporated into the Project Schedule.



C. Activity ID Coding

1. All Activities/Resources/Calendars (Baseline and Project Schedules) must be coded inside the P6 Project Environment / Project Level (NOT the Global Environment/Enterprise Level) to facilitate selection, sorting and preparation of reports.
2. Activity coding must consist of the Project ID followed by a dash, followed by Activity coding (PROJECT ID-ACTIVITY CODE). Activity codes must be created at the Project level and must utilize the coding scheme outlined in the table below:

Activity Code	Meaning
RESP	<u>Responsibility</u> : Identify the party (e.g. Contractor, subcontractor, City, etc.) responsible for the Activity.
PHAS	<u>Phase</u> : Breakdown of Activities in Milestones, pre-construction, procurement, construction and close-out Activities.
LOCN	<u>Location</u> : Breakdown by floor or elevation.
AREA	<u>Area</u> : Breakdown by room, area, block or wing. May be used as a subdivision of PHAS to include Milestones, permits, subcontractor approvals, submittals, fabrication and delivery, and subdivision of the Site and buildings into Logical modules, such as by blocks, wings, etc.
TRAD	<u>Trade</u> : Breakdown by CSI Code or section number in the Specifications.

- a. Description of schedule Activities must include terminology that represents the scope of work associated with that particular Activity. Terminology used to describe similar actions must be consistent across all segments of work.
 - b. Naming convention for schedule Activities must be descriptive and indicate the associated work covered by the Activity. Activities must use a verb, noun, and location of the work in the Activity name.
3. Project Calendar Coding
- a. All calendars created and assigned to Activities must be Project-level calendars. The Calendar Name must consist of the Project ID number followed by a dash, followed by a descriptive Calendar Name (PROJECT ID-CALENDAR NAME).

1.9 WORK BREAKDOWN STRUCTURE:

- A. A multi-level hierarchal WBS must be incorporated in all P6 schedules. An initial, proposed WBS must be submitted with the preliminary Project Schedule. The levels (nodes) must include, but not be limited to:
1. LEVEL 01 – The Project Level.
 2. LEVEL 02 – Contains a minimum of four (4) nodes; Pre-Construction, Procurement, Construction or Phase of Construction, and Closeout.
 3. LEVEL 03 – Decomposition of each of the four (4) nodes in Level 02 into its constituent parts. This level must target specific, tangible, deliverable scopes of the Project Work.
- B. The Contractor's proposed WBS must be submitted with the preliminary Project Schedule. The accepted WBS must be incorporated into the Baseline and Project Schedule.



1.10 MAJOR MILESTONES:

- A. The schedule must include both contractual and non-contractual Milestones that are provided by the City. These Milestones must be properly associated with the related Work packages and maintained to represent the progress of the Project.

1.11 SHORT (THREE-WEEK) INTERVAL / TWO-WEEK LOOK-AHEAD:

- A. On a bi-weekly basis, the Contractor must provide a three (3) week short interval schedule in a format satisfactory to the City. The purpose of this schedule is to report the actual progress of the past week against the previous short interval look-ahead Activities and add any additional Activities planned for the next two (2) weeks. Electronic files and hard copies must be provided to the City on the first day of each work week with the prior week's actual progress included.
- B. Each Task listed on the short interval schedule must be representative of the most current Project Schedule Update and include a reference to an Activity shown on the current update.

1.12 SUBMITTALS:

- A. General
 - 1. Development of the Baseline Schedule and updating of the Project Schedule must follow the DCMA and AACE International guidelines.
 - 2. Each electronic submission of the Project Schedule must be assigned a unique file name consisting of the Project ID (as noted on the NTP followed by a dash followed by a unique file name clearly marked (i.e. ProjID- B000 = B/L rev0, ProjID-B001 = B/L rev01 etc.) to indicate the specific submission. Similarly, update submittals must be named ProjID-Uxxx where xxx is a sequential number, starting with 001, indicating the revision or issue number.
 - 3. The Contractor must provide all submittals in electronic format and two hard copies.
- B. Preliminary Project Schedule
 - 1. For acceptance of the preliminary Project Schedule the Contractor must submit the following:
 - a. Two (2) 11" x 17" hard copies of the proposed preliminary Project schedule, as well as the native electronic schedule data file, in .XER file format, per the direction of the City.
 - b. A Schedule Narrative Report detailing the Contractor's initial plan for executing the Contract work within the allotted Contract Duration, and include the following explanation of their provided preliminary schedule:
 - i. The proposed WBS;
 - ii. All proposed Project Calendars;
 - iii. All proposed Activity Codes, clearly defined;
 - iv. The proposed Activity ID format; and
 - v. Schedule basis narrative, which must memorialize assumptions made in the development of the schedule.
- C. Baseline Schedule
 - 1. The City will return comments within ten (10) Work Days after receipt of the initial Project Schedule Submission. If any of the required submissions are returned to the Contractor for corrections or revisions, they must be resubmitted within five (5) Work Days from receipt of



comments. Each resubmittal must comply with the requirements enumerated above. Review and response by the City will be given within ten (10) Work Days after resubmission.

2. At the request of the City, the Contractor will be required to participate in Project meetings necessary to obtain an acceptance of the above noted submittals.
3. Baseline Schedule submittal must contain a Narrative Report. It must include the following, or as directed by the City:
 - a. A description of the Project scope and how the Work is represented in the schedule Activities;
 - b. A description of the overall sequence of major components of Work;
 - c. Planned work week for each definable feature of work;
 - d. Description of the Critical Path and near Critical Paths;
 - e. Basis of Durations, described in terms of quantity and production rate;
 - f. How weather will be accommodated in the schedule, including a description of the weather calendar and the Activities it is applied to, and the NOAA Inclement Weather data that defined the number of non-Work Days;
 - g. How regulatory, operational or third-party constraints are accommodated in the schedule;
 - h. Description of key Project coordination points or events;
 - i. Discussion of long lead items and basis of time frames for submittals;
 - j. Description of anticipated means and methods for large quantity production Activities; and,
 - k. Potential opportunities and risks, including quantification of the schedule reduction or expansion.

D. Project Schedule Updates

1. Every schedule submittal must be provided with a corresponding narrative. These schedule submittals and narratives are to be submitted in hard copy, as well as in the native electronic format, as attachments to emails or other media accepted by the City. When opened, the electronic format must provide flawless restoration of the native files (P6 (.XER) for Primavera schedule files and MS Word and/or Adobe Acrobat for Narrative and supporting document submittals).
2. For each submittal of the updated Project Schedule, the following layouts, reports, and graphics are required in the specified formats, unless otherwise directed by the City:
 - a. The Contractor must furnish two (2) 11" x 17" hard copies of the complete progress schedule with each initial schedule update and final update incorporating comments furnished by the City. Additionally, the Contractor must provide the native electronic schedule data file, in .XER file format, with the initial and final schedule update submission.
 - b. An Activity bar chart layout grouped by Activity Code and then sorted by Start Date, Finish Date, and then Total Float.
 - c. Each Activity line must display the Activity ID (Act ID), Description (Name), Original Duration (OD), Remaining Duration (RD), Start Date (ES), Finish Date (EF), and Total Float (TF), Baseline Original Duration (BL OD) Baseline Start (BL Start), Baseline Finish (BL Fin), Baseline Total Float (BL TF).



- d. An Activities progress bar must show both current progress update ES and EF, and baseline ES and EF. The top line of the bar chart area must contain the updated ES and EF; the second line below must depict the accepted baseline ES and EF dates.
3. The City may request additional standard P6 reports from time to time at no additional cost.
4. The Monthly Update submittal must contain a Narrative Report. It must include the following, or as directed by the City:
 - a. Any changes to the schedule basis narrative
 - b. A discussion of progress through the update period and status of the Project with respect to completion of the schedule. The progress reporting must detail work Activities that relate to the Project's Critical Path and if these Activities are progressing as planned.
 - c. A discussion of changes, delays or other circumstances affecting Progress including identified risks and opportunities and the Contractor's strategy.
 - d. A listing and brief explanation of modifications to the previously submitted network including Logic changes and Activity additions, deletions or modifications.
 - e. An update on the status of long lead items and whether the item is on the Critical Path.
 - f. The Contractor must report on all out of sequence Activities, the cause of this deviation to plan, and the proposed resolution of this issue.
 - g. The Contractor must include an explanation of assumptions and exclusions made in developing the schedule update and narrative.
5. The Contractor must provide a copy of the computer file(s) in electronic format or other media accepted by the City. When opened, the electronic format must provide flawless restoration of the native files and an electronic copy of the Narrative Report.

1.13 PROJECT SCHEDULE UPDATING:

- A. The initial updating must take place immediately after the City accepts the Contractor's Baseline Schedule. The Data Date for the first update must not exceed seven (7) Days from the date of receipt of the accepted Baseline Schedule, or as directed by the City.
- B. Subsequent updates of the Project Schedule must be submitted monthly until Substantial Completion. The schedule data date must be the last Work Day of the period unless otherwise directed by the City. Updates must be provided to the City no later than seven (7) Days after the 'schedule Data Date'.
- C. Updates must reflect actual or reasonably anticipated progress as of the last Work Day of the period.
- D. The City may request meetings with the Contractor to review the Project Schedule and Narrative and jointly verify Project health and information.
- E. In addition, the City may request meetings with the Contractor's scheduling representative to:
 1. Resolve out-of-sequence Logic;
 2. Should out-of-sequence progress occur where Activities have reported progress without predecessor Activities being completed, the Contractor must obtain the City's approval in a Proposed Schedule before revising the Logic ties to reflect the way the Work is actually being performed. Use of progress override by default mechanisms that may be included in CPM scheduling software systems will not be allowed except on a case-by-case basis with the approval of the City. A written explanation for each instance must be included in the monthly submittal narrative.
 3. Assess the impact, if any, of any pending change orders.
 4. Incorporate accepted time extensions.



5. Review revised Logic (as-built and projected) and changes in Activity Duration, cost, and labor hours assigned.
- F. Contractor's failure to provide required scheduling information within the required timeframe or to adhere to the currently accepted schedule may result in rejection of all or a portion of the progress payment until such time as the required schedule information is submitted and accepted by the City.
- G. Delays to the Critical Path – Whenever it becomes apparent from the monthly CPM schedule update that delays to the Critical Path have occurred due to action or inaction of the Contractor and, as a result, the date for Substantial Completion will not be met, the Contractor must promptly take some or all of the following actions at no additional cost to the City, unless otherwise directed by the City:
 1. Increase construction manpower in such quantities and crafts as will substantially eliminate the backlog of Work.
 2. Increase the number of working hours per shift, shifts per day, or Work Days per week; the amount of construction equipment; the forms for concrete work; etc., or any combination of the foregoing to substantially eliminate the backlog of Work.
 3. Reschedule Activities to achieve maximum practical concurrence of accomplishment of Activities and comply with the revised schedule.
 4. Submit to the City for review a written statement of the steps the Contractor intends to take to remove or arrest the delay to the schedule.
 5. Add to its equipment and materials or construction forces, as well as increase the working hours, if operations for critical, less critical or non-critical Activities fall behind the Contractor's Baseline Schedule at any time during the construction period.
- H. The City may, at any time during the Project and at no additional cost to the City, require the Contractor to develop a more detailed schedule/ Fragnet than depicted in the Baseline Schedule to provide a clearer understanding of the effort needed to complete an Activity or group of Activities.
- I. If the City determines that either the Critical Path is in the negative by four (4) weeks, or that the Project's date for completion may be affected, the Contractor may be required, at no additional cost to the City, to prepare a Recovery Schedule. Such Recovery Schedule is subject to review and acceptance by the City.
 1. The recovery schedule must propose alternative methods, overtime, and other means available to the Contractor to recover the delays incurred to date.
 2. The Recovery Schedule must be resource-loaded with manpower and equipment required to bring the date for Substantial Completion back into compliance.
- J. The Contractor must submit an "As-Built Schedule", as the last schedule update showing all Activities, with the exception of punch list and closeout tasks, at Substantial Completion. This schedule must reflect the exact manner in which the Project was actually constructed.



1.14 TIME IMPACT ANALYSIS:

- A. In addition to the requirements of the Standard Construction Contract Article 11, the Contractor must submit a Time Impact Analysis to the Engineer with all requests for time extension.

- B. The Time Impact Analysis must include a written narrative and supporting impact schedule Fragnet detailing the Project delays resulting from the alleged delay. The impact schedule Fragnet, separate and distinct from the Progress Schedule update, must demonstrate that the changes or anticipated delays affect Activities of the current accepted Progress Schedule. The impact schedule will be incorporated into the Progress Schedule only after it is accepted by the Commissioner and a time extension is approved. The Fragnet submitted as part of the Time Impact Analysis must illustrate the impact of these changes or delays on the date for Substantial Completion.

PART II – PRODUCTS (Not Used)

PART III – EXECUTION (Not Used)

END OF SECTION 01 32 16.20



**Department of
Design and
Construction**

Division 01 – DDC STANDARD GENERAL CONDITIONS
SINGLE CONTRACT PROJECTS
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**SECTION 01 32 16.30
PROJECT SCHEDULES (METHOD C)**

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SECTION 01 32 16.30

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

- A. This section includes the following:
 - 1. Methods
 - 2. Definitions
 - 3. Preliminary, Baseline, and Project Schedule Preparation Timeline
 - 4. Preliminary Project Schedule Development
 - 5. Project Schedule
 - 6. Activity and Calendar Coding Structure
 - 7. Work Breakdown Structure (WBS)
 - 8. Major Milestones
 - 9. Short (Three-Week) Interval/Two-Week Look-Ahead
 - 10. Submittals
 - 11. Project Schedule Updating
 - 12. Time Impact Analysis

1.3 METHODS:

- A. The Contractor must comply with Project schedule development and updating requirements as specified herein.
 - 1. The Contractor must employ or retain the services of a Construction Scheduler with verifiable construction scheduling experience, subject to review and acceptance by the City. Upon request, the Contractor must provide the City with qualifications and experience of the proposed scheduling staff member(s).
 - 2. The Contractor must prepare, update, and maintain a detailed Project Schedule using a version of scheduling software that is compatible with the City's Oracle Primavera P6 Enterprise Project Portfolio Management (EPPM). All schedule submittals must be developed using Oracle's Primavera P6 EPPM software. Schedules must be developed using accepted CPM techniques using the Precedence Diagramming Method (PDM). The Project Schedule must be developed following Defense Contract Management Agency (DCMA), and American Association of Cost Engineering International (AACE International) guidance. The Contractor will be required to use the Contractor's own P6 license (whether single-user or Enterprise



license), unless otherwise directed by the Commissioner. If directed by the Commissioner prior to the Notice to Proceed (NTP), the Contractor must use the Department’s P6 Enterprise license and develop the Progress Schedule within the Department’s Enterprise environment.

3. Once the Baseline Schedule is accepted by the City, progress updates to the Project Schedule must be submitted monthly, unless otherwise directed by the City, until Substantial Completion. The Data Date for the schedule updates must use the last Friday of the month, or as directed by the City.
4. The Contractor must be responsible for providing the monthly schedule updates once the Baseline Schedule is approved. Each monthly schedule update must be accompanied with a schedule narrative that explains the following:
 - a) The progress of work during that particular period of performance;
 - b) Any changes in schedule Logic;
 - c) The physical conditions that were used to update every Activities Percent Complete;
 - d) Any change in actual Start and Finish Dates;
 - e) Any Duration changes;
 - f) Any added and deleted Activities; and
 - g) Any added Extra Work (e.g., change orders).

1.4 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.

<u>Term</u>	<u>Definition</u>
Activity	A representation of a discrete portion of the overall scope of Work or an event through Duration and description in a CPM schedule.
Baseline Schedule	The planned and detailed CPM schedule of Activities, including all Logic, Durations, Resource and Cost Loading, and showing the entire scope of Work. The Baseline Schedule must be accepted by the City.
Critical Path	The longest sequence of Activities in a network which establishes the minimum length of time for accomplishment of the end event of the Project.
Critical Path Method (CPM)	A management technique used to plan and control a project which combines all relevant information into a single plan defining the sequence and Duration of operations and depicting the interrelationship of the Work elements required to complete the Project.
Current Schedule	The most recently updated schedule that captures progress to date and forecasts the dates for each Activity.
Data Date	The date used as a starting point for scheduling calculations. The Data Date is changed to the current end of period date when a schedule is updated for progress.



<u>Term</u>	<u>Definition</u>
Duration	The amount of time, in workdays, an Activity will take to perform.
Finish Date	The earliest estimated date an Activity is calculated to be complete, based on the estimated performance of all prior Activities to which the Activity is logically connected in a progressive relationship.
Free Float	The calculated amount of time that the estimated start or finish of an Activity can be delayed without impacting the start or finish of other downstream Activities logically connected in a progressive relationship. (See Finish Date and Late Finish).
Fragnet	Fragmentary network: a portion of a schedule detailing impacts of an event on specific Activities in the broader schedule.
Inclement Weather	Any weather condition, the duration of which varies in excess of the 3-year average published by the National Oceanic and Atmospheric Administration (NOAA) information for the local area.
Integrated Project Schedule	The Commissioner's overall schedule covering design, procurement, and construction. The Commissioner will use the Contractor's Project Schedule to update the Integrated Project Schedule.
Late Finish	An estimate of the latest plausible date an Activity's completion can be postponed without rendering as unachievable the required completion of any downstream Milestones to which the Activity is Logically connected to in a progressive relationship.
Late Start	An estimate of the latest plausible date an Activity's start can be postponed without rendering as unachievable the required completion of any downstream Milestones to which the Activity is Logically connected to in a progressive relationship.
Logic	A direct progressive relationship between Activities where one Activity's performance restricts the performance of another Activity.
Milestone	A key or critical point in time for reference or measurement.
Network Diagram	A graphic diagram of a network schedule, showing Activities and Activity relationships.
Original Duration	The estimated amount of time, in Work Days, an Activity is expected to take to complete at the beginning of a project as anticipated by the Contractor based on its planned means and methods at time of bid and documented in the Baseline Schedule.
Percent Complete	The percentage of the scope of Work represented by an Activity completed as of the Data Date calculated as physical percent complete for payment purposes.



<u>Term</u>	<u>Definition</u>
Project Schedule	The Contractor’s schedule used to manage the orderly and expeditious completion of the Work. The Project Schedule is initially the accepted Baseline Schedule, and is updated throughout the Project.
Remaining Duration	The amount of time, in Work Days, the remaining scope of Work represented by an Activity is expected to take to complete, measured from the current Data Date.
Resource and Cost Loading	Values assigned for estimated dollars, manpower, equipment and/or materials necessary to complete the scope of Work represented by a specific Activity.
Recovery Schedule	A Recovery Schedule outlining and incorporating extraordinary efforts required to recover lost time with the aim of achieving completion of the Project within the stipulated contract Duration, plus authorized time extensions. In such case, special attention must be given to minimize delays and must establish the nature of efforts; for instance, resources and equipment required, extended hours of work, weekend work, accelerated fabrication, required action(s) or effort(s) by the Contractor, its subcontractors, consultants, clients, end users and/or other concerned parties to recover the schedule.
Revised and/or Updated Schedule	A Baseline Schedule, or Progress Project Schedule, or Recovery Schedule for the Project that shows the actual Duration of all the completed Activities, including Duration of and the reasons for delays, if any have occurred, AND revisions to all remaining Activities of the Contractor and its subcontractors, including changes, if any, to logical ties, interrelations and the sequence of each of the outlined Activities. Any such revisions should be shown on the row just below the approved schedule of the respective Activity so that revisions can be compared. The Revised and/or updated Schedule must be reviewed and approved by the City.
Start Date	The earliest estimated date an Activity is calculated to begin, based on the estimated performance of all prior Activities to which the Activity is logically connected in a progressive relationship.
Time Impact Analysis	A forward looking (prospective) schedule analysis used to forecast the impact to the Critical Path and to Milestone Finish Dates caused by a single event or series of events. Time Impact Analysis is not a retrospective (forensic) schedule analysis or a what-if schedule analysis of a potential event.
Total Float	The amount of time the start or finish of an Activity can be delayed without affecting the Project completion date.



<u>Term</u>	<u>Definition</u>
Work Breakdown Structure (WBS)	WBS is a deliverable-oriented decomposition of a Project into smaller components. A WBS provides the necessary framework for detailed cost estimating and control along with providing guidance for schedule development and control.
Work Days (WD)	Work Days are every consecutive day on the calendar, excluding weekends (Saturday and Sunday) and holidays.

1.5 PRELIMINARY, BASELINE, AND PROJECT SCHEDULE PREPARATION TIMELINE:

- A. Upon receipt of the NTP, the Contractor must promptly prepare a preliminary Project Schedule and subsequently a Baseline Schedule and must submit for the City’s acceptance as follows:
 - 1. Submit the Contractor’s CPM Scheduler’s qualifications to the City for approval within seven (7) Days after NTP. The City will respond to the submittal within seven (7) Days of the submittal receipt.
 - 2. The preliminary Project Schedule must be submitted no later than twenty-one (21) Days after NTP.
 - 3. The initial submittal of the Baseline Schedule must be provided to the City for review no later than forty-five (45) Days after NTP.
 - 4. The Contractor must incorporate all corrections and revisions required by the City and provide an updated version of the Baseline Schedule for review and acceptance no later than seventy-five (75) Days after NTP to ensure that the Baseline Schedule is accepted no later than ninety (90) Days after the NTP. The ninety (90) Days must include fourteen (14) Days review time by the City for each submittal of the Baseline Schedule.
 - 5. Once accepted, the Baseline Schedule will be the basis of Project Schedule updates.
- B. Remedies
 - 1. Preliminary Project Schedule: The City will take a credit of three thousand dollars (\$3,000) if the preliminary Project Schedule is not submitted within twenty-one (21) Days of the NTP.
 - 2. Acceptable Baseline Schedule: The City will take a credit of five thousand dollars (\$5,000) if an acceptable Baseline Schedule is not submitted within ninety (90) Days of the NTP.
 - 3. Monthly Progress Schedule updates: The City will take a credit of two thousand dollars (\$2,000) for each schedule update not submitted within the period it was due.
 - 4. Scheduling Firm Services: If an acceptable Baseline Schedule is not provided by the Contractor within ninety (90) Days of the NTP or three (3) updates are not provided by the Contractor during the period they are due, the City may engage the services of a scheduling firm to develop a Project schedule or update an existing schedule. The total costs of such services will be deducted from the monies due to the Contractor.
 - 5. Any schedules and updates developed by such scheduling firm are for the City’s sole use and do not, in any way, represent an acceptance of responsibility by the City to schedule the Work or relieve the Contractor of the obligation to complete the Work within the Durations specified by the Contract.



6. The City will only accept the submitted information after all corrections have been made and all issues have been resolved. The City may find the Contractor in default if items required by this Section are incomplete.

1.6 PRELIMINARY PROJECT SCHEDULE DEVELOPMENT:

- A. The preliminary Project Schedule must be a detailed plan (division level per Construction Specifications Institute (CSI) MasterFormat) of all operations, including submittals, permitting, testing, and construction Activities, for either the first ninety (90) Days after NTP or to the point where the Contractor plans to mobilize on site (whichever is greater). This submittal will also depict a summary level (section level per CSI MasterFormat) schedule of the major Activities for the remainder of the Work.
- B. The preliminary Project Schedule will be reviewed by the City and returned with comments, as necessary, within fourteen (14) Days of submittal receipt. Information from the preliminary Project Schedule will be the general foundation for development of the Baseline Schedule.

1.7 PROJECT SCHEDULE:

- A. The Baseline Schedule must show the sequence in which the Contractor proposes to perform the Work, and account for all major and intermediate Milestone Activities, phasing, restrictions of access, availability of work areas and the availability and use of labor, materials, and equipment.
- B. After the Baseline Schedule is approved, the Project Schedule must be the Contractor's working schedule and must be used to plan, organize, execute and track the Project. The Project Schedule is the primary vehicle used to report actual performance, progress, and convey the Contractor's execution plan to complete the Work.
- C. The Project Schedule must show the sequence in which the Contractor proposes to perform the Work, and account for all major and intermediate Milestone Activities, phasing, restrictions of access, availability of work areas and the availability and use of labor, materials, and equipment.
- D. The Project Schedule must be the Contractor's working schedule used to plan, organize, execute, and track the Project. The Project Schedule is the primary vehicle used to report actual performance, progress, and convey the Contractor's execution plan to complete all remaining Work.
- E. All delay claims must be based on the current approved updates of the Project Schedule.
- F. The Contractor must confirm in writing that all subcontractors performing any portion of the Work are in agreement with the accepted Baseline Schedule and the monthly updates.
- G. The amount of detail represented in the Baseline and Project Schedule and supporting documents submitted must, at a minimum, include the following, items:
 1. Contract Milestones must be identified and included in the Baseline and Project Schedule.
 2. All submittal, owner review & approval, purchase, manufacture, and delivery Activities for all major materials and equipment.
 3. Deliveries of owner-furnished equipment and/or materials.
 4. Preparation, submittal, and approval of drawings, material samples, and safety plans.
 5. Preparation, submittal, review, and approval of permits required by all regulatory agencies and other third parties.
 6. Performance of tests, submission of test reports, and approval of test results.



7. Commissioning Activities for all commissioned systems and equipment is to be clearly delineated and scheduled such that they will be completed prior to Substantial Completion. Such Activities must include, at a minimum, Pre-Functional testing and check sheets; Testing, Adjusting, and Balancing (TAB) verification; Functional Testing, including testing of all controls; and Owner's demonstration and orientation.
 8. Completion dates of all items required for phased completion (if applicable).
 9. Completion dates of all items required for Substantial Completion.
 10. Completion dates of all items required to obtain a Temporary Certificate of Occupancy (TCO) and Certificate of Occupancy (CO).
 11. Completion dates for close-out of regulatory and punch list items prior to Final Acceptance and transfer of the Project.
 12. Any additional detail requested by the Commissioner.
- H. Activities identified in the Baseline and Project Schedule must have the Duration in units of whole Work Days. Construction Activity Durations must not exceed twenty (20) Work Days unless specifically approved by the City. This is to ensure that Activities are not generalized and that each Activity and sub-Activity are defined as narrowly as reasonable to facilitate schedule tracking. Durations for non-construction Activities such as procurement of materials, delivery of equipment, concrete curing, etc. may exceed twenty (20) Work Days without prior approval; however, these are still subject to review by the City. Durations must be based on the available resources required for performing each Activity and must be the result of definitive labor hours using established production rates, and with consideration of on-site working conditions. If requested by the City, the Contractor must justify the reasonableness of a planned Duration.
- I. Activity descriptions must use plain language that clearly and uniquely defines each Activity. Each description must include a verb or work function (e.g. submit, form, pour etc.), an object (e.g. slab, foundation, etc.) and, for any construction Activities, a specific location. The Work related to each Activity must be limited to one responsibility and one trade.
- J. Activity relationships must be assigned to clearly establish predecessor and successor relationships to each Activity. Open-ended Activities are not permitted with the exception of the first and last Activities in the network, the first Activity being NTP and the last being Final Acceptance. The use of relationship lag times is discouraged and only permitted with prior approval by the City. The use of negative lag is never permitted.
- K. Activity constraint dates are only to be used to reflect contractual constraints unless specifically authorized by the City.
- L. Float or slack, in any schedule, must not be for the exclusive use or benefit of either the City or the Contractor, but must be available for use by both the City and the Contractor.
- M. Each resubmittal after the Project Schedule is delivered for acceptance must comply with all requirements of this section. Review and response by the City will be given within fourteen (14) Days after resubmission. The Contractor's receipt of the comments within the time specified must not, in any way, affect the Contractor's responsibility to complete the Project within the time fixed in Schedule A.
- N. Failure by the City to return comments or indicate acceptance status will in no way relieve the Contractor's obligation to submit monthly schedule updates.
- O. At the request of the City, the Contractor must be required to make a presentation to explain or clarify the intended logical sequence of construction Activities depicted in the detailed Project Schedule. The Contractor and designated scheduler must discuss anticipated challenges and outline construction methodology and flow of work to show how and when major Milestones will be achieved. In addition,



the Contractor may, at no cost to the City, be required to participate in additional Project meetings necessary to obtain acceptance of the above-noted submittals.

- P. The Contractor must provide a Cost Flow Projection (CFP) summary covering from NTP to Final Acceptance. The CFP summary must match the expected billings for each period of performance.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 1.7.Q

- Q. Schedule Cost and Resource Loading
 1. At the direction of the City, and at no additional cost to the City, a Project Schedule must be cost loaded within thirty (30) Days after acceptance of the Baseline Schedule.
 2. The Contractor must accurately load all Project Activities with direct field labor associated with the craft or trades required to complete that Activity. All labor must be noted in manhours required to complete the tasking. The Contractor must include in all Activities the hours required of for major pieces of equipment.
 3. All Resource ID's must have a unique identifier assigned by the Contractor, and approved by the City, so the Project-specific data can be separated from other data in the system.
 4. Cost loading must be accomplished by adding a single summary level cost loaded Activity in the Project Schedule. This Activity will allow initial generation and monthly updates of the planned value that is time-phased into monthly periods.
 5. The intent of the cost loading is to facilitate cost forecasting, tracking, and reporting of monthly cost projection. Every month, the cost loaded summary Activity must be updated with earned value for prior months and revised monthly forecast for future periods. If there is a significant difference between the actual cumulative monthly invoice and the cumulative planned value from the cost loaded Project Schedule for any reporting month, the Contractor must provide the City with the reason for variance in the schedule narrative.

1.8 ACTIVITY AND CALENDAR CODING STRUCTURE:

- A. The Baseline and Project Schedules must contain a sufficient number of Activities to represent adequate planning and execution of the Work so that it shows an accurate flow of work and demonstrates an understanding of the Project by the Contractor.
- B. Activity ID and Calendar Coding
 1. The Contractor's proposed Activity and calendar coding and must be submitted with the preliminary Project Schedule. A meeting may be requested by the City to discuss the scheme and other schedule information prior to the submittal of the Project Schedule. The accepted coding scheme and WBS Structure must be incorporated into the Project Schedule.
- C. Activity ID Coding
 1. All Activities/Resources/Calendars (Baseline and Project Schedules) must be coded inside the P6 Project Environment / Project Level (NOT the Global Environment/Enterprise Level) to facilitate selection, sorting and preparation of reports.
 2. Activity coding must consist of the Project ID followed by a dash, followed by Activity coding (PROJECT ID-ACTIVITY CODE). Activity codes must be created at the Project level and must utilize the coding scheme outlined in the table below:



Activity Code	Meaning
RESP	<u>Responsibility</u> : Identify the party (e.g. Contractor, subcontractor, City, etc.) responsible for the Activity.
PHAS	<u>Phase</u> : Breakdown of Activities in Milestones, pre-construction, procurement, construction and close-out Activities.
LOCN	<u>Location</u> : Breakdown by floor or elevation.
AREA	<u>Area</u> : Breakdown by room, area, block or wing. May be used as a subdivision of PHAS to include Milestones, permits, subcontractor approvals, submittals, fabrication and delivery, and subdivision of the Site and buildings into Logical modules, such as by blocks, wings, etc.
TRAD	<u>Trade</u> : Breakdown by CSI Code or section number in the Specifications.

- a. Description of schedule Activities must include terminology that represents the scope of work associated with that particular Activity. Terminology used to describe similar actions must be consistent across all segments of work.
 - b. Naming convention for schedule Activities must be descriptive and indicate the associated work covered by the Activity. Activities must use a verb, noun, and location of the work in the Activity name.
3. Project Calendar Coding
- a. All calendars created and assigned to Activities must be Project-level calendars. The Calendar Name must consist of the Project ID number followed by a dash, followed by a descriptive Calendar Name (PROJECT ID-CALENDAR NAME).

1.9 WORK BREAKDOWN STRUCTURE:

- A. A multi-level hierarchal WBS must be incorporated in all P6 schedules. An initial, proposed WBS must be submitted with the preliminary Project Schedule. The levels (nodes) must include, but not be limited to:
 1. LEVEL 01 – The Project Level.
 2. LEVEL 02 – Contains a minimum of four (4) nodes: Pre-Construction, Procurement, Construction or Phase of Construction, and Closeout.
 3. LEVEL 03 – Decomposition of each of the four (4) nodes in Level 02 into its constituent parts. This Level must target specific, tangible, scopes of the Project Work.
 4. LEVEL 04 – Decomposition of Level 03 Activities providing work package details that provide an understanding of the process to be used to execute the Project Work.
- B. The Contractor's proposed WBS must be submitted with the preliminary Project Schedule. The accepted WBS must be incorporated into the Baseline and Project Schedule.

1.10 MAJOR MILESTONES:

- A. The schedule must include both contractual and non-contractual Milestones that are provided by the City. These Milestones must be properly associated with the related Work and maintained to represent the progress of the Project.



1.11 SHORT (THREE-WEEK) INTERVAL / TWO-WEEK LOOK-AHEAD:

- A. On a weekly basis, the Contractor must provide a three (3) week short interval schedule in a format satisfactory to the City. The purpose of this schedule is to report the actual progress of the past week against the previous short interval look-ahead Activities and add any additional Activities planned for the next two (2) weeks. Electronic and hard copies must be provided to the City on the first day of each work week with the prior week's actual progress included.
- B. Each task listed on the short interval schedule must be representative of the most current Project Schedule Update and include a reference to an Activity shown on the current update.

1.12 SUBMITTALS:

- A. General
 - 1. Development of the Baseline Schedule and updating of the Project Schedule must follow the DCMA and AACE International guidelines.
 - 2. Each electronic submission of the Project Schedule must be assigned a unique file name consisting of the Project ID (as noted on the NTP), followed by a dash followed by a unique file name clearly marked (i.e. ProjID- B000 = B/L rev0, ProjID-B001 = B/L rev01 etc.) to indicate the specific submission. Similarly, update submittals must be named ProjID-Uxxx where xxx is a sequential number, starting with 001, indicating the revision or issue number.
 - 3. The Contractor must provide all submittals in electronic format and two hard copies.
- B. Preliminary Project Schedule
 - 1. For acceptance of the preliminary Project Schedule, the Contractor must submit the following:
 - a. Two (2) 11" x 17" hard copies of the proposed preliminary Project Schedule, as well as the native electronic schedule data file, in .XER file format, per the direction of the City.
 - b. A Schedule Narrative Report detailing the Contractor's initial plan for executing the Contract work within the allotted Contract Duration, and include the following explanation of their provided preliminary schedule:
 - i. The proposed (WBS);
 - ii. All proposed Project Calendars;
 - iii. All proposed Activity Codes, clearly defined;
 - iv. The proposed Activity ID format; and
 - v. Schedule basis narrative, which must memorialize the assumptions made in the development of the schedule.
- C. Baseline Schedule
 - 1. The City will return comments within ten (10) Work Days after receipt of the initial Project Schedule Submission. If any of the required submissions are returned to the Contractor for corrections or revisions, they must be resubmitted within five (5) Work Days from receipt of comments. Each resubmittal must comply with the requirements enumerated above. Review and response by the City will be given within ten (10) Work Days after resubmission.
 - 2. At the request of the City, the Contractor will be required to participate in Project meetings necessary to obtain an acceptance of the above noted submittals.
 - 3. Baseline Schedule submittal must contain a Narrative Report. It must include the following, or as directed by the City:



- a. A description of the Project scope and how the Work is represented in the schedule Activities;
 - b. A description of the overall sequence of major components of Work;
 - c. Planned work week for each definable feature of work.
 - d. Description of the Critical Path and near Critical Paths;
 - e. Basis of Durations, described in terms of quantity and production rate;
 - f. How weather will be accommodated in the schedule, including a description of the weather calendar and the Activities it is applied to, and the NOAA Inclement Weather data that defined the number of non-work days;
 - g. How regulatory, operational or third-party constraints are accommodated in the schedule;
 - h. Description of key Project coordination points or events;
 - i. Discussion of long lead items and basis of time frames for submittals;
 - j. Description of anticipated means and methods for large quantity production Activities;
 - k. Potential opportunities and risks, including quantification of the schedule reduction or expansion; and
 - l. Assumptions/exclusions made in the schedule.
- D. Project Schedule Updates
1. Every schedule submittal must be provided with a corresponding narrative. These schedule submittals and narratives must be submitted in hard copy and the native electronic format as attachments to emails or other media accepted by the City. When opened, the electronic format must provide flawless restoration of the native files (P6 (.XER) for Primavera schedule files and MS Word and/or Adobe Acrobat for narrative and supporting document submittals).
 2. For each submittal of the updated Project Schedule, the following layouts, reports, and graphics are required in the specified formats, unless otherwise directed by the City:
 - a. The Contractor must furnish two (2) 11" x 17" hard copies of the complete progress schedule with each initial schedule update and final update incorporating comments furnished by the City. Additionally, the Contractor must provide the native electronic schedule data file, in .XER file format with the initial and final schedule update submission.
 - b. An Activity bar chart Layout grouped by Activity Code and then sorted by Start Date, Finish Date, and Total Float.
 - c. Each Activity line must display the Activity ID (Act ID), Description (Name), Original Duration (OD), Remaining Duration (RD), Start Date (ES), Finish Date (EF), and Total Float (TF), Baseline Original Duration (BL OD), Baseline Start (BL Start), Baseline Finish (BL Fin), Baseline Total Float (BL TF).
 - d. An Activities progress bar must show both current progress update ES and EF, and baseline ES and EF. The top line of the bar chart area must contain the updated ES and EF; the second line below must depict the accepted baseline ES and EF dates.
 3. The City may request additional standard P6 reports from time to time at no additional cost.
 4. The Monthly Update submittal must contain a Narrative Report. It must include the following, or as directed by the City:



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- a. Any changes to the schedule basis narrative;
- b. Overall health of the Project;
- c. Actual Activity Start Dates;
- d. Actual Activity Finish Dates;
- e. The physical conditions that were used to update Activities percent complete
- f. Percent of Work reported in place;
- g. Contract and Milestone completion date status:
 - i. Number of Days ahead or behind schedule; and
 - ii. Days lost/gained compared with the previous update.
- h. Schedule change report organized by Milestone and area comparing the number of Activities that were planned to start and finish to the number that actually started and finished for the reporting period;
- i. Lookahead report listing each Activity in the CPM schedule that is scheduled to be performed during the next reporting period;
- j. Plans for executing scheduled Activities during the next reporting period;
- k. Analysis, organized by Milestone and area, of the Critical Path and near Critical Path(s) describing:
 - i. The nature of the Critical Path/near Critical Path;
 - ii. Impact on other Activities, Milestones and Finish dates; and
 - iii. Identify, or update, risks and opportunities that may impact the Critical Path/near Critical Paths.
- l. List of current and anticipated delays by Milestone:
 - i. Cause of the delay;
 - ii. Corrective actions and schedule adjustments to correct the delay;
 - iii. Impact of the delay on other Activities, Milestones and completion dates; and
 - iv. Weather delays, when applicable. The Contractor must describe how the impacts of weather conditions and constraints were absorbed and accounted for in the schedule.
- m. Changes in Activity description, Logic, or Duration must be submitted as a separate Proposed Schedule and approved by the City prior to being submitted as an official update. Once allowed, said changes must be grouped and organized in the report in a manner that communicates in detail the rationale associated with each change and the impact upon construction sequence, relationships and the Critical Path. A standard Digger Report is not sufficient to meet this requirement;
- n. Added/deleted Activities and the rationale associated with each action;
- o. Pending issues and status of other items;
- p. Permits;
- q. Contract modifications;
- r. Current and potential extra Work, including change orders;
- s. Status of long lead procurement items and whether the item is on the Critical Path;
- t. Status of Project submittals;



- u. Out of sequence report describing the necessity of each Activity relationship shown therein, as described within this Section;
- v. Illogical progress/restraint reports (if any);
- w. Other Project or scheduling concerns;
- x. Electronic copy of the latest CPM schedule update file in Primavera (.XER) format; and
- y. Primavera scheduling error report.

1.13 PROJECT SCHEDULE UPDATING:

- A. The initial updating must take place immediately after the City accepts the Contractor's Baseline Schedule. The Data Date for the first update must not exceed seven (7) Days from the date of receipt of the accepted Baseline Schedule, or as directed by the City.
- B. Subsequent updates to the Project Schedule must be submitted monthly until Substantial Completion is achieved. The schedule Data Date must be set to the last Work Day of the period unless otherwise directed by the City. Updates must be provided to the City no later than seven (7) Days after the 'schedule Data Date'.
- C. Updates must reflect actual or reasonably anticipated progress as of the last Work Day of the period.
- D. The City may request meetings with the Contractor to review the Project Schedule and narrative and jointly verify Project health and information.
- E. In addition, the City may request meetings with the Contractor's scheduling representative to:
 - 1. Resolve out-of-sequence Logic.
 - 2. Should out-of-sequence progress occur where Activities have reported progress without predecessor Activities being completed, the Contractor must obtain the City's approval in a Proposed Schedule before revising the Logic ties to reflect the way the Work is actually being performed. Use of progress override by default mechanisms that may be included in CPM scheduling software systems will not be allowed except on a case-by-case basis with the approval of the City. A written explanation for each instance must be included in the monthly submittal narrative.
 - 3. Assess the impact, if any, of any pending change orders.
 - 4. Incorporate accepted time extensions.
 - 5. Review revised Logic (as-built and projected) and changes in Duration, cost, and labor hours assigned.
- F. Contractor's failure to provide required scheduling information within the required timeframe or to adhere to the currently accepted schedule may result in rejection of all or a portion of the progress payment until such time as the required schedule information is submitted and accepted by the City.
- G. Delays to the Critical Path – Whenever it becomes apparent from the monthly CPM schedule update that delays to the Critical Path have occurred due to action or inaction of the Contractor, and as a result the date for Substantial Completion will not be met, the Contractor must promptly take some or all of the following actions at no additional cost to the City, unless otherwise directed by the City:
 - 1. Increase construction manpower in such quantities and crafts as will substantially eliminate the backlog of Work.



2. Increase the number of working hours per shift, shifts per day, or Work Days per week; the amount of construction equipment; the forms for concrete work; etc., or any combination of the foregoing to substantially eliminate the backlog of Work.
 3. Reschedule Activities to achieve maximum resource utilization across the Project and comply with the revised schedule.
 4. Submit to the City a written statement of the steps the Contractor intends to take to remove or arrest the delay to the schedule. The Contractor must promptly provide the necessary level of effort to bring the Work back on schedule.
 5. Add to its equipment and materials or construction forces, as well as increase the working hours, if operations for critical, less critical, or non-critical Activities fall behind the Contractor's Baseline Schedule at any time during the construction period.
- H. The City may, at any time during the Project and at no additional cost to the City, require the Contractor to develop a more detailed schedule/Fragnet than depicted in the Baseline Schedule to provide a clearer understanding of the effort needed to complete an Activity or group of Activities.
- I. If the City determines that either the Critical Path is in the negative by four (4) weeks, or that the Project's date for completion may be affected, the Contractor may be required, at no additional cost to the City, to prepare a Recovery Schedule. Such Recovery Schedule is subject to review and acceptance by the City. The Recovery Schedule must propose alternative methods, overtime, and other means available to the Contractor to recover the delays incurred to date.
- J. The Contractor must submit an "As-Built Schedule", as the last schedule update showing all Activities, with the exception of punch list and closeout tasks, at Substantial Completion. This schedule must reflect the exact manner in which the Project was actually constructed.

1.14 TIME IMPACT ANALYSIS:

- A. In addition to the requirements of the Standard Construction Contract Article 11, the Contractor must submit a Time Impact Analysis to the Engineer with all requests for time extension.
- B. The Time Impact Analysis must include a written narrative and supporting impact schedule Fragnet detailing the Project delays resulting from the alleged delay. The impact schedule Fragnet, separate and distinct from the Progress Schedule update, must demonstrate that the changes or anticipated delays affect Activities of the current accepted Progress Schedule. The impact schedule will be incorporated into the Progress Schedule only after it is accepted by the Commissioner and a time extension is approved. The Fragnet submitted as part of the Time Impact Analysis must illustrate the impact of these changes or delays on the date for Substantial Completion.

PART II – PRODUCTS (Not Used)

PART III – EXECUTION (Not Used)

END OF SECTION 01 32 16.30



**SECTION 01 32 33
PHOTOGRAPHIC DOCUMENTATION**

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SECTION 01 32 33

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

- A. This Section includes the following:
 - 1. Photographic Media
 - 2. Construction Photographs
 - 3. Pre-construction Photographs
 - 4. Periodic Construction Progress Photographs
 - 5. Special Photographs
 - 6. DVD Recordings
 - 7. Final Completion Construction Photographs
- B. RELATED SECTIONS: include without limitation the following:
 - 1. Section 01 10 00 SUMMARY
 - 2. Section 01 33 00 SUBMITTAL PROCEDURES
 - 3. Section 01 35 91 HISTORIC TREATMENT PROCEDURES
 - 4. Section 01 78 39 CONTRACT RECORD DOCUMENTS
 - 5. Section 01 81 19 INDOOR AIR QUALITY REQUIREMENTS FOR LEED BUILDINGS
- C. PHOTOGRAPHER - The Contractor must employ and pay for the services of a professional photographer who will take photographs showing the progress of the Work.

1.3 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.
- B. Design Consultant: "Design Consultant" must mean the entity responsible for providing design services for the Project, including without limitation, preparing the construction documents (drawings and specifications) and providing services in connection with such documents during construction. The entity serving as the "Design Consultant" may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.

1.4 SUBMITTALS:

- A. Qualification Data: For photographer.
- B. Key Plan: With each Progress Photograph Submittal include a key plan of Project site and building with notation of vantage points marked for location and direction of each image. Indicate location, elevation or story of construction. Include same label information as corresponding set of photographs.



- C. Construction Progress Photograph Prints: Take Progress Photographs bi-weekly and submit four (4) color prints of each photographic view for each trade to the Resident Engineer. Such Progress Photographs must be included in each monthly progress report or as otherwise directed by the Resident Engineer.
- D. Digital Files: Submit digital files in the format required.

1.5 QUALITY ASSURANCE:

- A. Photographer Qualifications: An individual who has been regularly engaged as a professional photographer of construction projects for not less than three (3) years.

1.6 COORDINATION:

- A. The Contractor and its subcontractor(s) must cooperate with the photographer and provide auxiliary services requested, including access to Project site and use of temporary facilities, such as temporary lighting required to produce clear and well-lit photographs without obscuring shadows.

1.7 COPYRIGHT:

- A. The Contractor must include the provisions of this Subsection 1.7 in the agreement between the Contractor and the Photographer who will provide the construction photographs described in this Section. The Contractor must submit to the Resident Engineer a copy of its agreement with the Photographer.
- B. Any photographs, images and/or other materials produced pursuant to this Agreement, and any and all drafts and/or other preliminary materials in any format related to such items produced pursuant to this Agreement, will, upon their creation, become the exclusive property of the City.
- C. Any photographs, images and/or other materials provided pursuant to this Agreement (“Copyrightable Materials”) will be considered “work-made-for-hire” within the meaning and purview of Section 101 of the United States Copyright Act, 17 U.S.C. § 101, and the City will be the copyright owner thereof and of all aspects, elements and components thereof in which copyright protection might exist. To the extent that the Copyrightable Materials do not qualify as “work-made-for-hire,” the Photographer hereby irrevocably transfers, assigns and conveys exclusive copyright ownership in and to the Copyrightable Materials to the City, free and clear of any liens, claims, or other encumbrances. The Photographer will retain no copyright or intellectual property interest in the Copyrightable Materials. The Copyrightable Materials must be used by the Photographer for no purpose other than in the performance of this Agreement without the prior written permission of the City. The Department may grant the Photographer a license to use the Copyrightable Materials on such terms as determined by the Department and set forth in the license.
- D. The Photographer acknowledges that the City may, in its sole discretion, register copyright in the Copyrightable Materials with the United States Copyright Office or any other government agency authorized to grant copyright registrations. The Photographer must fully cooperate in this effort and agrees to provide any and all documentation necessary to accomplish this.
- E. The Photographer represents and warrants that the Copyrightable Materials: (i) are wholly original material not published elsewhere (except for material that is in the public domain); (ii) do not violate any copyright Law; (iii) do not constitute defamation or invasion of the right of privacy or publicity; and (iv) are not an infringement, of any kind, of the rights of any third party. To the extent that the Copyrightable Materials incorporate any non-original material, the Photographer has obtained all necessary permissions and clearances, in writing, for the use of such non-original material under this Agreement, copies of which must be provided to the City.



PART II – PRODUCTS

2.1 PHOTOGRAPHIC MEDIA:

- A. Digital Images: Digital files must be captured as 7.2 megapixel files or greater, with a minimum pixel array of 2,400 pixels by 3,000 pixels. The camera used to capture the digital files must be a Digital SLR (Single Lens Reflex) camera or approved equal; “point and shoot” cameras or camera phones are not acceptable. Digital cameras must produce images using true optical resolution; “digital zoom” is not acceptable. Images must not be resized or interpolated. The file format for digital files must be Joint Photographic Experts Group format (“JPG”). The digital files must not be modified or processed in any way to alter the JPG file’s metadata, including the photograph’s original capture date.
- B. Digital Files: Digital files must be submitted on Digital Versatile Disk (“DVD”) or as specified by the Commissioner. DVDs must be inserted in standard weight Archival Quality clear poly sheet protectors and submitted in a hard cover three (3) ring binder. The information imprinted on each print must be provided on an Excel file included on the DVD. The DVD must be labeled with the Project ID and the Project description. Labeling using adhesive labels is not acceptable.
- C. Prints:
 - 1. Format: 8-by-10-inch (203-by-254-mm) smooth-surface matte color prints on single-weight commercial-grade stock paper, with 1-inch wide margins and punched for standard 3-ring binder.
 - 2. Identification: On the front of each photograph affix a label in the margin with Project name and date photograph was taken. On the back of each print, provide an applied label or rubber-stamped impression with the following information:
 - a. Project Contract I.D. Number.
 - b. Project Contract Name.
 - c. Name of Contractor. (and Subcontractor Trade Represented)
 - d. Subject of Image Taken.
 - e. Date and time photograph was taken if not date stamped by camera.
 - f. Description of vantage point, indicating location, direction and other pertinent information.
 - g. Unique sequential identifier.
 - h. Name and address of photographer.

PART III – EXECUTION

3.1 CONSTRUCTION PHOTOGRAPHS:

- A. General: Take photographs that provide the largest possible depth-of-field while still in focus, to clearly show the Work. Photographs with blurry or out-of-focus areas will not be accepted.
 - 1. Maintain key plan with each set of construction photographs that identifies each photographic location and direction of view.
- B. Digital Images: Submit digital images exactly as originally recorded in the digital camera, without alteration, manipulation, editing, or modifications using image-editing software.
 - 1. Date and Time: Include date and time in filename for each image.
 - 2. Field Office Images: Maintain one set of images on USB drive, or other electronic media requested by the Commissioner, in the field office at the Project site so that it is available at all times for reference. Ensure that the images are the same as for those submitted to Commissioner.

3.2 PRE-CONSTRUCTION & PRE-DEMOLITION PHOTOGRAPHS:

- A. Before commencement of Contract Work at the Project site, take color photographs of Project site and surrounding properties, including existing structures or items to remain during construction, from different vantage points, as directed by the Resident Engineer.
 - 1. Flag applicable excavation areas and construction limits before taking construction photographs.



2. Take photographs of minimum eight (8) views to show existing conditions adjacent to property before starting the Work.
 3. Take applicable photographs of minimum eight (8) views of existing buildings either on or adjoining property to accurately record physical conditions at start of construction.
 4. Take additional photographs as required or directed by the Resident Engineer to record settlement or cracking of adjacent structures, pavements, and improvements.
- B. Demolition Operations: Take photographs as directed by the Resident Engineer of minimum of eight (8) views each before commencement of demolition operations, at mid-point of operations and at completion of operations.
- C. Pre-Demolition Photographs: Take archival quality color photographs, to include all exterior building facades, of all structures at the Project site designated to be fully demolished or removed in compliance with New York City Building Code requirements. Submit four (4) complete sets of pre-demolition photographs, in the format specified herein, to the Resident Engineer for submission to the New York City Department of Buildings.

3.3 PERIODIC CONSTRUCTION PROGRESS PHOTOGRAPHS:

- A. Take photographs of minimum eight (8) views bi-weekly as directed by the Resident Engineer of construction progress for each contract trade. Select vantage points to show status of construction and progress since last photographs were taken.

3.4 SPECIAL PHOTOGRAPHS:

- A. The photographer must take special photographs of subject matter or events as specified in other sections of the Project Specifications from vantage points specified or as otherwise directed by the Resident Engineer.
- B. Historical Elements: As required in Section 01 35 91 HISTORIC TREATMENT PROCEDURES, for Contract Work at designated landmark structures or sites, the photographer, as specified and required by individual sections of the Contract documents or at the direction of the Commissioner, must take images of existing elements scheduled to be removed for replacement, repair or replication in quantities as directed, including post-construction photographs of completed Work as directed by the Commissioner.
1. Take Presentation Quality Photographs of designated landmark structures as directed by the Commissioner for submission to the New York City Landmarks Preservation Commission. Provide a minimum of four (4) color photographic prints of each view as directed.

3.5 VIDEO RECORDING:

- A. When Video Recording of Demonstration and Orientation sessions is required, the Contractor must provide the services of a Videographer as indicated in Section 01 79 00 DEMONSTRATION AND OWNER'S PRE-ACCEPTANCE ORIENTATION.

3.6 FINAL COMPLETION CONSTRUCTION PHOTOGRAPHS:

- A. For submission as Project Record Documents, take color photographs of minimum eight (8) unobstructed views of the completed Project and/or Project site, as directed by the Commissioner and after all scaffolding, hoists, shanties, field offices or other temporary work has been removed and final cleaning has been done after date of Substantial Completion. Submit four (4) sets of each view of Presentation Quality photographic prints, including negatives and/or digital images electronic file.

END OF SECTION 01 32 33



**SECTION 01 33 00
SUBMITTAL PROCEDURES**

PART I – GENERAL:

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

- A. This Section includes administrative and procedural requirements for submitting Shop Drawings, Coordination Drawings, Catalogue Cuts, Material Samples, and other Submittals required by the Contract Documents.
- B. Review of Submittals does not relieve the Contractor of responsibility for any Contractor’s errors or omissions in such Submittals, nor from responsibility for complying with the requirements of the Contract.
- C. Responsibility of the Contractor: The approval of Shop Drawings will be general and will not relieve the Contractor of the following responsibilities:
 - 1. Accuracy of such Shop Drawings;
 - 2. Proper fitting and construction of the Work
 - 3. Furnishing of materials or Work required by the Contract that may not be indicated on the Shop Drawings.
- D. Approval of Shop Drawings must not be construed as approving departures from the Contract Drawings, Supplementary Drawings, or Specifications.
- E. This Section includes the following:
 - 1. Definitions
 - 2. Submission Procedures
 - 3. Coordination Drawings
 - 4. LEED Submittals
 - 5. Ultra Low Sulfur Diesel Fuel Reporting
 - 6. Construction Photographs and Recordings
 - 7. As-Built Documents

1.3 RELATED SECTIONS: Include without limitation the following:

- A. Section 01 10 00 SUMMARY
- B. Section 01 31 00 PROJECT MANAGEMENT AND COORDINATION
- C. Section 01 32 00 CONSTRUCTION PROGRESS DOCUMENTATION
- D. Section 01 32 33 PHOTOGRAPHIC DOCUMENTATION
- E. Section 01 40 00 QUALITY REQUIREMENTS
- F. Section 01 77 00 CLOSEOUT PROCEDURES
- G. Section 01 78 39 CONTRACT RECORD DOCUMENTS
- H. Section 01 81 13.03 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v3 BUILDINGS
- I. Section 01 81 13.04 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v4 BUILDINGS
- J. Section 01 81 13.10 ENVIRONMENTALLY PREFERABLE PURCHASING (EPP) COMPLIANCE



1.4 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.
- B. Design Consultant: “Design Consultant” must mean the entity responsible for providing design services for the Project, including without limitation, preparing the construction documents (drawings and Specifications) and providing services in connection with such documents during construction. The entity serving as the “Design Consultant” may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.
- C. Action Submittals: Written and graphic information, or physical samples that require responsive actions and include, without limitation, all Shop Drawings, product data, letters of certification, tests and other information required for quality control and as required by the Contract Documents.
- D. Informational Submittals: Written and graphic information that does not require responsive action. Informational Submittals may be rejected for non-compliance with the Contract.
- E. Shop Drawings: Drawings, diagrams, illustrations, schedules, performance charts, brochures, and other data, except for coordination drawings, specifically prepared for the Project by the Contractor or any subcontractor, manufacturer, supplier or distributor, which illustrates how specific portions of the Work must be fabricated and/or installed.
- F. Coordination Drawings: As required in Section 01 31 00 PROJECT MANAGEMENT AND COORDINATION.
- G. Product Data and Quality Assurance Submittals: Includes manufacturer’s standard catalogs, pamphlets, and other printed materials including without limitation the following:
 - 1. Catalogue and Product specifications
 - 2. Installation instructions
 - 3. Color charts
 - 4. Catalog cuts
 - 5. Rough-in diagrams and templates
 - 6. Wiring diagrams
 - 7. Performance curves
 - 8. Operational range diagrams
 - 9. Mill reports
 - 10. Design data and calculations
 - 11. Certification of compliance or conformance
 - 12. Manufacturer’s instructions and field reports

1.5 COORDINATION DRAWINGS:

- A. Coordination Drawings, General: When coordination is required to facilitate integration of products and materials fabricated or installed by more than one entity, or where limited space availability necessitates coordination, prepare Coordination Drawings according to requirements in individual Sections as a prerequisite to submittal of Shop Drawings.



1. Content: Project-specific information, shown accurately to a scale large enough to indicate and resolve conflicts. Do not base Coordination Drawings on standard printed data. Include the following information, as applicable for the Project:
 - a. Use applicable background views as a basis for preparation of coordination layouts. Prepare sections, elevations, and details as needed to describe relationship of various systems and components.
 - b. Coordinate the addition of trade-specific information by multiple contractors in a sequence that best presents the information and resolution of conflicts between installed components, before submitting for review.
 - c. Indicate functional and spatial relationships of components of architectural, structural, civil, mechanical, plumbing, fire protection, and electrical systems.
 - d. Indicate space requirements for routine maintenance and for anticipated replacement of components during the life of the installation.
 - e. Show location and size of access doors required for access to concealed dampers, valves, and other controls.
 - f. Indicate required installation sequences.
 - g. Indicate dimensions shown on Drawings. Specifically note dimensions that appear to be in conflict with submitted equipment and minimum clearance requirements. Provide alternate sketches to Commissioner indicating proposed resolution of such conflicts.

- B. Coordination Drawing Organization: Organize Coordination Drawings as follows:
 1. Floor Plans and Reflected Ceiling Plans: Show architectural and structural elements, and mechanical, plumbing, fire-protection, fire-alarm, and electrical Work. Show locations of visible ceiling-mounted devices relative to acoustical ceiling grid. Supplement plan drawings with section drawings where required to adequately represent the Work.
 2. Plenum Space: Indicate subframing for support of ceiling raised access floor and wall systems, mechanical and electrical equipment, and related Work. Locate components within plenums to accommodate layout of light fixtures and other components indicated on Drawings. Indicate areas of conflict between light fixtures and other components.
 3. Mechanical Rooms: Provide Coordination Drawings for mechanical rooms showing plans and elevations of mechanical, plumbing, fire-protection, fire-alarm, and electrical equipment.
 4. Structural Penetrations: Indicate penetrations and openings required for all disciplines.
 5. Slab Edge and Embedded Items: Indicate slab edge locations and sizes and locations of embedded items for metal fabrications, sleeves, anchor bolts, bearing plates, angles, door floor closers, slab depressions for floor finishes, curbs and housekeeping pads, and similar items.
 6. Mechanical and Plumbing Work: Show the following:
 - a. Sizes and bottom elevations of ductwork, piping, and conduit runs, including insulation, bracing, flanges, and support systems.
 - b. Dimensions of major components, such as dampers, valves, diffusers, access doors, cleanouts and electrical distribution equipment.
 - c. Fire-rated enclosures around ductwork.
 - d. HVAC equipment
 7. Electrical Work: Show the following:
 - a. Runs of vertical and horizontal conduit 1-1/4 inches (32 mm) in diameter and larger.
 - b. Light fixture, exit light, emergency battery pack, smoke detector, and other fire-alarm locations.
 - c. Panel board, switch board, switchgear, transformer, busway, generator, and motor-control center locations.
 - d. Location of pull boxes and junction boxes, dimensioned from column center lines.



- e. Indicate runs and locations of Audio Visual and Information Technology, and security devices.
- 8. Fire-Protection System: Show the following:
 - a. Locations of standpipes, mains piping, branch lines, pipe drops, and sprinkler heads.
- C. The Contractor must issue the completed Coordination Drawing(s) to the Design Consultant for his/her review. The Design Consultant may call as many meetings as necessary with the Contractor, including attendance by applicable subcontractors, and may call on the services of the applicable sub consultant(s) where necessary, to resolve any conflicts that become apparent.
- D. Upon resolution of any conflicts, the Contractor must provide a final Coordination Drawing(s) which will become the Master Coordination Drawing(s). The Master Coordination Drawing(s) must be signed and dated by the Contractor to indicate acceptance of the arrangement of the Work.
- E. A reproducible copy of the Master Coordination Drawing(s) must be provided by the Contractor to each of the appropriate subcontractor(s), the Resident Engineer and the Design Consultant for information.
- F. Shop Drawings must not be submitted prior to acceptance of the final coordinated drawings and must be prepared in accordance with the Master Coordination Drawing(s). No work will be permitted without accepted Shop Drawings. It is therefore essential that this procedure be instituted as quickly as possible.
- G. Coordination Drawing Digital Data Files: Prepare coordination digital data files according to the following requirements:
 - 1. File Preparation Format: Same digital data software program, version, and operating system as original Design Drawings.
 - 2. File Submittal Format: Submit or post coordination drawing files using PDF format.
 - 3. BIM File Incorporation: Submit or post coordination drawing files using PDF format, unless otherwise directed by Commissioner.
 - 4. Commissioner will furnish Contractor one set of digital data files of Drawings for use in preparing coordination digital data files.
 - a. Contractor must execute Digital Data File Release and indemnification form provided by Commissioner.
 - b. Commissioner makes no representations as to the accuracy or completeness of digital data files as they relate to coordination drawings.

1.6 SUBMITTAL PROCEDURES:

- A. Refer to Section 01 35 03 GENERAL MECHANICAL REQUIREMENTS and Section 01 35 06 GENERAL ELECTRICAL REQUIREMENTS for additional Submittal requirements involving electrical and mechanical work or equipment of any nature called for in the Project.
- B. Coordination: Coordinate preparation and processing of Submittals with performance of construction activities.
 - 1. Coordinate each Submittal with fabrication, purchasing, testing, delivery, other Submittals, and related activities that require sequential activities, with the Submittal Schedule specified in Section 01 32 00 CONSTRUCTION PROGRESS DOCUMENTATION.
 - 2. Coordinate transmittal of different types of Submittals for related parts of the Work so processing will not be delayed because of need to review Submittals concurrently for coordination.
 - 3. The Commissioner reserves the right to withhold action on a Submittal requiring coordination with other Submittals until related Submittals are received.
- C. Identification: Place a permanent label or title block on each Submittal for identification.



1. Indicate name of firm or entity that prepared each Submittal on label or title block.
 2. Provide a space approximately 6 by 8 inches on label or beside title block to record Contractor's review and approval markings and action taken by Design Consultant.
 3. Include the following minimum information on label for processing and recording action taken:
 - a. Project name, DDC Project Number, and Contract Number
 - b. Date
 - c. Name and address of Design Consultant
 - d. Name and address of Contractor
 - e. Name and address of subcontractor
 - f. Name and address of supplier
 - g. Name of manufacturer
 - h. Submittal number or other unique identifier, including revision identifier
 - i. Number and title of appropriate Specification Section
 - j. Drawing number and detail references, as appropriate
 - k. Location(s) where product is to be installed, as appropriate
 - l. Other necessary identification
- D. PDF Submittals:
1. Prepare submittals as PDF package, incorporating complete information into each PDF file. Name PDF file with submittal number. Bind transmittal form with each submittal file package. Transmittal form must be the first page in the PDF file constituting the submittal.
 2. Submittal files received from sources other than the Contractor will be rejected without review. Re-submission of the same drawings or product data must bear the original number of the prior submission and the original titles.
- E. Web-Based Project Software Submittals: Prepare submittals as PDF files, or other format indicated by Project software website.
- F. Transmittal Form: Provide locations on form for the following information:
1. Project name, DDC Project number and Contract Number
 2. Date
 3. Destination (To:)
 4. Source (From:)
 5. Names of Contractor, subcontractor, manufacturer, and supplier
 6. Category and type of Submittal
 7. Submittal purpose and description
 8. Specification Section number and title
 9. Drawing number and detail references, as appropriate
 10. Transmittal number, numbered consecutively
 11. Submittal and transmittal distribution record
 12. Remarks
 13. Signature of transmitter
- G. Shop Drawings:
1. Procedures for Preparing, Forwarding, Checking, and Returning all Shop Drawings must be, generally, as follows:
 - a. The Contractor must make available to its subcontractors the necessary Contract Documents and must instruct such subcontractor to determine dimensions and conditions in the field, particularly in reference to coordination between the trade subcontractors. The Contractor must direct its subcontractors to prepare Shop Drawings for submission to the Design



Consultant in accordance with the requirements of these General Conditions. The Contractor must also direct its subcontractors to "Ring Up" corrections made on all re-submissions for approval, so as to be readily seen, and that the appropriate symbol per item 2 below (e.g., "GC") be used to identify the source of the correction or information that has been added.

The Contractor must:

1. Review and be responsible for information shown on its subcontractor's Shop and Installation Drawings and manufacturers' data, and conformity to Contract Documents.
 2. "Ring Up" corrections made on all submissions for approval, so as to be readily seen, and that the symbol "GC", "PL", "HVAC", or "EL" be used to indicate that the correction and/or information added was made by the Contractor and/or its subcontractor(s).
 3. Clearly designate which entity is to perform the Work when the term, "work by others" or other similar phrases are indicated on the Contract Drawings before submission to the Design Consultant.
 4. Stamp submissions "Recommended for Acceptance", date and forward to the Design Consultant.
2. The Contractor must promptly prepare and submit project specific layout detail and Shop Drawings of such parts of the Work as are indicated in the Specifications, or as required. These Shop Drawings must be made in accordance with the Contract Drawings, Specifications and Supplementary Drawings, if any. The Shop Drawings must be accurate and distinct and give all the dimensions required for the fabrication, erection, and installation of the Work.
 3. Size of Drawings: The Shop Drawings, unless otherwise directed, must be on sheets of the same size as the Contract Drawings, drawn accurately and of sufficient scale to be legible, with a one half (1/2) inch marginal space on each side and a two (2) inch marginal space for binding on the left side.
 4. Scope of Drawings: Shop Drawings must be numbered consecutively and must accurately and distinctly represent all aspects of the Work, including without limitation the following:
 - a. All working and erection dimensions
 - b. Arrangements and sectional views
 - c. Necessary details, including performance characteristics and complete information for making necessary connections with other Work
 - d. Kinds of materials including thickness and finishes
 - e. Identification of products
 - f. Fabrication and installation drawings
 - g. Roughing-in and setting diagrams
 - h. Wiring diagrams showing field-installed wiring, including power, signal, and control wiring
 - i. Shop work manufacturing instructions
 - j. Templates and patterns
 - k. Schedules
 - l. Design calculations
 - m. Compliance with specified standards
 - n. Notation of coordination requirements
 - o. Notation of dimensions established by field measurement
 - p. Relationship to adjoining construction clearly indicated
 - q. Seal and signature of professional engineer if specified
 - r. Wiring Diagrams: Differentiate between manufacturer-installed and field-installed wiring
 - s. All other information necessary for the Work and/or required by the Commissioner
 5. Titles and Reference: Shop Drawings must be dated and contain:
 - a. Name of the Project, DDC Project Number, and Contract Number
 - b. The descriptive names of equipment or materials covered by the Contract Drawings and the classified item number or numbers.



- c. The locations or points and sequence at which materials, or equipment, are to be installed in the Work
 - d. Cross references to the section number, detail number, and paragraph number of the Contract Specifications
 - e. Cross references to the sheet number, detail number, etc., of the Contract Drawings
6. Field Measurements: In addition to the above requirements, the Shop Drawings must be signed by the Contractor and, if applicable, the subcontractor responsible for preparation of the Shop Drawings. Each Shop Drawing must be stamped with the following wording:

FIELD MEASUREMENTS: The Contractor certifies that it has verified and supplemented the Contract Drawings by taking all required field measurements, which said measurements correctly reflect all field conditions and that this Shop Drawing incorporates said measurements.
7. Contractor's Statement with Submittal: Any Submittal by the Contractor for acceptance, including without limitation, all dimensional drawings of equipment, blueprints, catalogues, models, samples and other data relative to the equipment, the materials, the Work or any part thereof, must be accompanied by a statement that the Submittal has been examined by the Contractor and that everything shown in the Submittal is in accordance with the requirements of the Contract Drawings and Specifications. If there is any discrepancy between what is shown in the Submittal and the requirements of the Contract Drawings and Specifications, the Contractor must, in its statement, list and clearly describe each discrepancy.
8. Acceptance will be given based upon the Contractor's representation that what is shown in the Submittal is in accordance with the requirements of the Contract Drawings and Specifications. If the Contractor's statement indicates any discrepancy between what is shown in the Submittal and the requirements of the Contract Drawings and Specifications, such change is subject to review and prior written acceptance by the Design Consultant. In addition, such change may require a change order in accordance with Article 25 of the Contract. In the event any such change is approved, any additional expense or increased cost in connection with the change is the sole responsibility of the Contractor.
9. Submission of Shop Drawings:
 - a. Initial Submission: The Contractor must submit seven (7) copies, or as requested by the Resident Engineer, of each Shop Drawing to the Design Consultant for his/her review and acceptance. If PDF drawings are requested by the Resident Engineer, they must be provided in an original "printed from digital" format, and not scanned. The Design Consultant will transmit Shop Drawings to appropriate sub-consultants for review and acceptance, including Commissioning Authority/Agent as applicable. A satisfactory Shop Drawing will be digitally stamped "No Exceptions Taken", be dated and transmitted by the Design Consultant as follows:
 - 1) Addressed to the Contractor, with a cc to the following:
 - a) Design Consultant's sub consultant(s) as appropriate
 - b) DDC
 - 2) Should the Shop Drawing(s) be "Rejected" or noted "Revise and Resubmit" by the Design Consultant, the Design Consultant will transmit the Shop Drawings to the Contractor with the necessary corrections and changes to be made as indicated thereon.



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- b. Revisions: The Contractor must make such corrections and changes and again transmit each shop drawing to the Design Consultant. The Contractor must revise and resubmit the Shop Drawing as required by the Design Consultant until the Shop Drawings are stamped "No Exceptions Taken". However, Shop Drawings which have been stamped "Make Corrections Noted" will be considered an "Acceptable" Shop Drawing and NEED NOT be resubmitted.
- c. Commencement of Work: No Work or fabrication called for by the Shop Drawings must be done until the acceptance of the said drawings by the Design Consultant is given. In addition to the foregoing Shop Drawing transmissions, a copy of any Shop Drawing prepared by any of the Contractor's subcontractors which Shop Drawing indicated Work related to, adjacent to, impinging upon, or affecting Work to be done by other subcontractors must be transmitted to the subcontractors so affected. [These accepted Shop Drawings must be distributed to the affected subcontractors when required with a copy of the transmittal to the Resident Engineer.]
- d. Variations: If the Shop Drawings show variations from the Contract requirements because of standard shop practice or other reasons, the Contractor must make specific mention of such variations in its letter of Submittal. Acceptance of the Shop Drawings must constitute acceptance of the subject matter thereof only and not of any structural apparatus shown or indicated.

H. Product Data:

1. General: Except as otherwise prescribed herein, the submission, review, and acceptance of Product Data and Catalogue cuts must conform to the procedures specified in subsection 1.6 E, Shop Drawings.
2. If information must be specially prepared for the Submittal because standard printed data are not suitable for use, submit as Shop Drawings, not as Product Data.
3. Mark each copy of the Submittal to show which products and options are applicable.
4. Include the following information, as applicable:
 - a. Manufacturer's written recommendations.
 - b. Manufacturer's product specifications.
 - c. Manufacturer's installation instructions.
 - d. Standard color charts.
 - e. Manufacturer's catalog cuts.
 - f. Wiring diagrams showing factory-installed wiring.
 - g. Printed performance curves.
 - h. Operational range diagrams.
 - i. Mill reports.
 - j. Standard product operation and maintenance manuals.
 - k. Compliance with specified referenced standards.
 - l. Testing by recognized testing agency.
 - m. Application of testing agency labels and seals.
 - n. Notation of coordination requirements.
5. Submit Product Data before or concurrent with Samples.
6. Submission of Product Data:
 - a. Initial Submission: The Contractor must submit seven (7) sets of Product Data to the Design Consultant for his/her review and acceptance. The Design Consultant will transmit Product Data to appropriate sub-consultants for review and acceptance, including Commissioning Authority/Agent as applicable. A satisfactory catalogue cut will be digitally stamped "No Exception Taken", be dated and transmitted as follows:



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- 1) Addressed to the Contractor, with a cc to the following:
 - a) Design Consultant's sub consultant(s) as appropriate
 - b) DDC
- 2) Should the Product Data be "Rejected" or noted "Revise and Resubmit" by the Design Consultant, the Design Consultant will return one (1) set of such Product Data to the Contractor with the necessary corrections and changes to be made indicated and one (1) set to DDC.
7. Revisions: The Contractor must make such corrections and changes and again submit seven (7) copies of each Product Data for the review of the Design Consultant. The Contractor must revise and resubmit the Product Data as required by the Design Consultant until the submission is stamped "No Exceptions Taken" by the Design Consultant. However, Product Data which has been stamped "Make Corrections Noted" must be considered an "Accepted" Product Data and NEED NOT be resubmitted.
- I. Samples of Materials:
 1. For samples of materials involving electrical Work of any nature, refer to Section 01 35 06 GENERAL ELECTRICAL REQUIREMENTS.
 2. Samples must be in triplicate or as directed by the Resident Engineer, and of sufficient size to show the quality, type, range of color, finish and texture of the material.
 3. Each of the samples must be labeled as follows:
 - a. Name of the Project, DDC Project Number and Contract Number
 - b. Name and quality of the material
 - c. Date
 - d. Name of Contractor, subcontractor, manufacturer and supplier
 - e. Related Specification or Contract Drawing reference to the samples submitted
 4. A letter of transmittal, in triplicate, from the Contractor requesting acceptance must accompany all such samples.
 5. Transportation charges to the Design Consultant's office must be prepaid on all samples forwarded.
 6. Samples for testing purposes must be as required in the Specifications.
 7. Samples on Display: When samples are specified to be equal to approved product, they must be carefully examined by the Contractor and by those whom the Contractor expects to employ for the furnishing of such materials.
 8. Timely Submissions Log/Schedule: Samples must be submitted in accordance with approved Shop Drawing log so as to permit proper consideration without delaying any operation under the Project. Materials should not be ordered until acceptance is received, in writing, from the Design Consultant. All materials must be furnished equal in every respect to the accepted samples.
 9. The acceptance of any samples will be given as promptly as possible, and will be only for the characteristic color, texture, strength, or other feature of the material named in such acceptance, and no other. When this acceptance is issued by the Design Consultant, it is done with the distinct understanding that the materials to be furnished will fully and completely comply with the Specifications, the determination of which may be made at some later date by a laboratory test or by other procedure. Use of materials will be permitted only so long as the quality remains equal to the approved samples and complies in every respect with the Specifications, and the colors and textures of the samples on file in the office of the Design Consultant, for the Project.



10. Acceptability of test Data: The Commissioner will be the final judge as to acceptability of laboratory test data and performance in service of materials submitted.
 11. Valuable Samples: Valuable samples, such as hardware, plumbing and electrical fixtures, etc., not destroyed by inspection or test, will be returned to the Contractor and may be incorporated into the Work after all questions of acceptability have been settled, providing suitable permanent records are made as to the location of the samples, their properties, etc.
- J. Product Schedule: As required in individual Specification Sections, prepare a written summary indicating types of products required for the Work and their intended location. Include the following information in tabular form:
1. Type of product. Include unique identifier for each product indicated in the Contract Documents or assigned by Contractor if none is indicated.
 2. Manufacturer and product name, and model number if applicable.
 3. Number and name of room or space.
 4. Location within room or space.
- K. Supplementary Qualification Data: Prepare written information that demonstrates capabilities and experience of entity. Include lists of completed projects with project names and addresses, contact information of architects and owners, and other information specified.
- L. Design Data: Prepare and submit written and graphic information indicating compliance with indicated performance and design criteria in individual Specification Sections. Include list of assumptions and summary of loads. Include load diagrams if applicable. Provide name and version of software, if any, used for calculations. Number each page of submittal.
- M. Certificates:
1. Certificates and Certifications Submittals: Submit a statement that includes signature of entity responsible for preparing certification. Certificates and certifications shall be signed by an officer or other individual authorized to sign documents on behalf of that entity. Provide a notarized signature where indicated.
 2. Installer Certificates: Submit written statements on manufacturer's letterhead certifying that Installer complies with requirements in the Contract Documents and, where required, is authorized by manufacturer for this specific Project.
 3. Manufacturer Certificates: Submit written statements on manufacturer's letterhead certifying that manufacturer complies with requirements in the Contract Documents. Include evidence of manufacturing experience where required.
 4. Material Certificates: Submit written statements on manufacturer's letterhead certifying that material complies with requirements in the Contract Documents.
 5. Product Certificates: Submit written statements on manufacturer's letterhead certifying that product complies with requirements in the Contract Documents.
 6. Welding Certificates: Prepare written certification that welding procedures and personnel comply with requirements in the Contract Documents. Submit record of Welding Procedure Specification and Procedure Qualification Record on AWS formats. Include names of firms and personnel certified.
- N. Test and Research Reports:
1. Compatibility Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of compatibility tests performed before installation of product. Include written recommendations for primers and substrate preparation needed for adhesion.



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2. Field Test Reports: Submit written reports indicating and interpreting results of field tests performed either during installation of product or after product is installed in its final location, for compliance with requirements in the Contract Documents.
 3. Material Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting test results of material for compliance with requirements in the Contract Documents.
 4. Preconstruction Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of tests performed before installation of product, for compliance with performance requirements in the Contract Documents.
 5. Product Test Reports: Submit written reports indicating that current product produced by manufacturer complies with requirements in the Contract Documents. Base reports on evaluation of tests performed by manufacturer and witnessed by a qualified testing agency, or on comprehensive tests performed by a qualified testing agency.
 6. Research Reports: Submit written evidence, from a model code organization acceptable to authorities having jurisdiction, that product complies with building code in effect for Project. Include the following information:
 - a. Name of evaluation organization.
 - b. Date of evaluation.
 - c. Time period when report is in effect.
 - d. Product and manufacturers' names.
 - e. Description of product.
 - f. Test procedures and results.
 - g. Limitations of use.
- O. Equivalent Quality: Any material, article and/or equipment which is designated in the Drawings and/or Specifications by a number in the catalogue of any manufacturer or by a manufacturer's grade or trade name is designated for the purpose of describing the material, article and/or equipment and fixing the standard of performance and/or function, as well as the quality and/or finish. Any material, article and/or equipment which is other than what is specified in the Drawings and/or Specifications will only be accepted if the Commissioner makes a written determination that such material, article and/or equipment is equivalent to that which is specified in the Drawings and/or Specifications.
- P. The submission of any material, article and/or equipment as the equal of any material, article and/or equipment set forth in the Drawings and/or Specifications as a standard must be accompanied by any and all information essential for determining whether such proposed material, article and/or equipment is equivalent to that which is specified. Such information must include, without limitation, illustrations, drawings, descriptions, catalogues, records of tests, samples, as well as information regarding the finish, durability and satisfactory use of such proposed material, article and/or equipment under similar operating conditions.
- Q. Engineering Services Submittals:
1. Performance and Design Criteria: Refer to Section 01 40 00 QUALITY REQUIREMENTS, Article 1.5.
 2. Engineering Services Certification: In addition to Shop Drawings, Product Data, and other required submittals, submit digitally signed PDF file and three paper copies of certificate, signed and sealed by the responsible professional engineer, for each product and system specifically required of the Contractor to be designed or certified by a professional engineer.
 - a. Indicate that products and systems comply with performance and design criteria in the Contract Documents. Include list of codes, loads, and other factors used in performing these services.
 3. BIM Incorporation: Incorporate engineering services drawing and data files into BIM established for



Project.

- a. Prepare engineering services documents in the required formats, including BIM incorporation.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 1.7

1.7 LEED SUBMITTALS:

- A. Comply with Submittal requirements specified in the following sections:
 1. Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL;
 2. Section 01 81 13.03 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v3 BUILDINGS or
Section 01 81 13.04 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v4 BUILDINGS, as applicable;
 3. Section 01 81 13.13 VOLATILE ORGANIC COMPOUND (VOC) LIMITS FOR ADHESIVES, SEALANTS, PAINTS AND COATINGS FOR LEED v3 BUILDINGS;
 4. Section 01 81 19 INDOOR AIR QUALITY REQUIREMENTS FOR LEED BUILDINGS;
 5. Section 01 91 13 GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS; and/or,
 6. Section 01 91 15 BUILDING ENCLOSURE COMMISSIONING REQUIREMENTS.
- B. LEED Building Submittal information must be assembled into one package per each applicable Specification Section, separate from all other non-LEED Submittals. Each Submittal package must have a separate transmittal and identification as described in Subsection 1.5 herein.
- C. Number of Copies: Submit four (4) copies of LEED Submittals, in accordance with procedure described in Article 1.5 herein, unless otherwise indicated.
- D. Material Safety Data Sheets (MSDSs) for LEED Certification: Submit information necessary to show compliance with LEED certification requirements, which will be the limit of the Design Consultant's review for LEED compliance.
 1. Designated LEED Submittals that include non-LEED MSDS data will not be reviewed. The entire Submittal will be returned for re-submission.
- E. Product Cut Sheets and/or Shop Drawings for LEED Certification: Provide product cut sheets and/or shop drawings with the Contractor's or sub-contractor's stamp, confirming that the submitted products are the products installed in the Project. For detailed requirements refer to Subsection 1.6 of Section 01 81 13.03 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v3 PROJECTS, or Section 01 81 13.04 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v4 BUILDINGS.
 1. Provide the quantity, length, area, volume, weight, and/or cost of each product submitted as required to satisfy LEED documentation requirements. Refer to Subsection 1.6 of Section 01 81 13.03 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v3 PROJECTS.

1.8 ULTRA LOW SULFUR DIESEL FUEL AND BEST AVAILABLE TECHNOLOGY REPORTING:

- A. In accordance with Section 01 10 00 SUMMARY, Subsection 1.10 E, the Contractor must submit reports to the Commissioner regarding the use of Ultra Low Sulfur Diesel Fuel and Best Available Technology (BAT) in Non road Vehicles. Submission of such reports must be in accordance with the schedule, format, directions and procedures established by the Commissioner.



1.9 CONSTRUCTION PHOTOGRAPHS AND VIDEO RECORDINGS:

- A. Submit construction progress photographs and Video recordings in accordance with requirements of Section 01 32 33 PHOTOGRAPHIC DOCUMENTATION.

1.10 AS-BUILT DOCUMENTS:

- A. Submit all as-built documents in accordance with Section 01 78 39 CONTRACT RECORD DOCUMENTS.

PART II – PRODUCTS (Not Used)

PART III – EXECUTION (Not Used)

END OF SECTION 01 33 00



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**SECTION 01 35 03
GENERAL MECHANICAL REQUIREMENTS**

REFER TO THE ADDENDUM FOR APPLICABILITY OF THIS SECTION 01 35 03

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

- A. The General Mechanical Requirements contained herein must be followed by the Contractor, as well as its subcontractor for HVAC work. This Section sets forth the General Requirements applicable to mechanical work for the Project. Such requirements are intended to be read in conjunction with the Specifications and Contract Drawings for the Project. In the event of any conflict between the requirements set forth in this Section and the requirements of the Specifications and/or the Contract Drawings, whichever requirement is the most stringent must take precedence.

1.3 RELATED SECTIONS: Include without limitation the following:

- A. Section 01 10 00 SUMMARY
- B. Section 01 33 00 SUBMITTAL PROCEDURES
- C. Section 01 35 06 GENERAL ELECTRICAL REQUIREMENTS
- D. Section 01 42 00 REFERENCES
- E. Section 01 77 00 CLOSEOUT PROCEDURES
- F. Section 01 78 39 CONTRACT RECORD DOCUMENTS

1.4 DEFINITIONS:

- A. **CONCEALED PIPING AND DUCTS:** piping and ducts hidden from sight in masonry or other construction, in floor fill, trenches, partitions, hung ceilings, furred spaces, pipe shafts and in service tunnels not used for passage. Where piping and ducts run in areas that have hung ceilings, such piping and ducts must be installed in the hung ceilings. For Work on existing piping, any insulation on such existing piping is to be tested for asbestos and abated if found to be positive by a certified asbestos contractor. Such testing and abatement must occur prior to the performance of any Work on these pipes.

1.5 SUBMITTALS:

- A. **INTENT OF MECHANICAL CONTRACT DRAWINGS –** Mechanical Contract Drawings are, in part, diagrammatic and show the general arrangement of the equipment, ducts, and piping included in the Contract and the approximate size and location of the equipment.
- B. The Contractor must follow these Contract Drawings in laying out the Work and verify the spaces in which it will be installed. The Contractor must submit, as directed, Mechanical Shop Drawings, roughing drawings,



manufacturer's Shop Drawings, field drawings, cuts, bulletins, etc., of all materials, equipment and methods of installation shown or specified in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.

1. Submit sheet metal shop standards. Submit manufacturer's product data including gauges, materials, types of joints, scaling materials and installations for metal ductwork materials and products.
2. Submit scaled layout drawing (3/8"=1') of metal ductwork and fittings including, but not limited to, duct sizes, locations, elevations, slopes of horizontal runs, wall and floor penetrations and connections. Show modifications of indicated requirements made to conform to local shop practice and how those modifications ensure that free area, materials and rigidity are not reduced. Layouts should include all the room plans, mechanical equipment rooms and penthouses. Method of attachment of duct hangers to building construction all with the support details. Coordinate Shop Drawings with related trades prior to submission.
3. Indicate duct fittings, particulars such as gauges, sizes, welds and configuration prior to start of work for low-pressure systems.
4. Submit maintenance data and parts lists for metal ductwork materials and products. Include this data, product data and shop drawings in maintenance manual.

1.6 ACCESS:

- A. All Work must be installed by the Contractor to readily provide access for inspection, operation, maintenance and repair. Minor deviations from the arrangement indicated on the Contract Drawings may be made to accomplish this, but they must not be made without prior written approval by the Commissioner.

1.7 CHANGES IN PIPING, DUCTS, AND EQUIPMENT:

- A. Wherever field conditions are such that for proper execution of the Work, reasonable changes in location of piping, ducts, and equipment are necessary and required, the Contractor must make such changes as directed and approved, without extra cost to the City.

1.8 CLEANING OF PIPING, DUCTS, AND EQUIPMENT:

- A. Piping, ducts, and equipment must be thoroughly cleaned by the Contractor of all dirt, cuttings, and other foreign substances. Should any pipe, duct, or other part of the several systems be obstructed by any foreign matter, the Contractor will be required to pay for disconnecting, cleaning, and reconnecting wherever necessary for the purpose of locating and removing obstructions. The Contractor must pay for repairs to other work damaged in the course of removing obstructions. For work on existing piping, ducts, and equipment, the Contractor must pay special attention during this task so as not to disturb the insulation on such piping, ducts, or equipment.

1.9 STANDARDIZATION OF SIMILAR EQUIPMENT:

- A. Unless otherwise particularly specified, all equipment of the same kind, type, or classification, used for identical purposes, must be the product of one (1) manufacturer.

1.10 SUPPORTING STRUCTURES DESIGNED BY THE CONTRACTOR:

- A. Unless otherwise specified, supporting structures for equipment to be furnished by the Contractor must be designed by an Engineer licensed in New York State retained by the Contractor. Supporting structures must be built by the Contractor of sufficient strength to safely withstand all stresses to which they may be



subjected, within permissible deflections, and must meet the following standards:

1. Structural Steel - ASTM Standard Specifications, AISC and New York City Construction Codes.
2. Concrete for supports for equipment must conform to the Specifications for concrete herein, but in no case must be less than the requirements of the New York City Construction Codes for average concrete.
3. Steel reinforcement for concrete must be of intermediate grade and must meet the requirements of the Standard Specifications for Billet Steel-Concrete Reinforcement Bars, ASTM.
4. Drawings and calculations must be submitted for review and acceptance in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.

1.11 ELIMINATION OF NOISE:

- A. All systems and/or equipment provided under the Contract must operate without objectionable noise or vibration.
- B. Should operation of any one or more of the several systems produce noise or vibration which is, in the opinion of the Commissioner, objectionable, the Contractor must, at its own expense, make changes in piping, equipment, etc., and do all work necessary to eliminate objectionable noise or vibration.
- C. Should noise or vibration that is found objectionable by the Commissioner be transmitted by any pipe or portions of the structure from systems and/or equipment installed under the Contract, the Contractor must, at its own expense, install such insulators and make such changes in or additions to the installations as may be necessary to prevent transmission of this noise or vibration.

1.12 PRELIMINARY FIELD TEST:

- A. As soon as conditions permit, the Contractor must furnish all necessary labor and materials for, and must make preliminary field tests of the equipment to ascertain compliance with the requirements of the Contract. If the preliminary field tests disclose equipment that does not comply with the Contract, the Contractor must, prior to the acceptance test, make all changes, adjustments, and replacements as required.

1.13 INSTRUCTIONS ON OPERATION:

- A. At the time the equipment is placed in permanent operation by the City, the Contractor must make all adjustments and tests required by the Commissioner to prove that such equipment is in proper and satisfactory operating condition. The Contractor must instruct the City's operating personnel on the proper maintenance and operation of the equipment for the period of time called for in the Specifications.

1.14 CERTIFICATES:

- A. On completion of the Work, the Contractor must obtain certificates of inspection, approval, and acceptance, and be in compliance with all laws from all agencies and/or entities having jurisdiction over the Work and must deliver these certificates to the Commissioner in accordance with Section 01 77 00 CLOSEOUT PROCEDURES. The Work will not be deemed substantially complete until the certificates have been delivered.

PART II – PRODUCTS (Not Used)

PART III – EXECUTION (Not Used)

END OF SECTION 01 35 03



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**SECTION 01 35 06
GENERAL ELECTRICAL REQUIREMENTS**

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

- A. This Section sets forth the General Requirements applicable to electrical work for the Project. Such requirements are intended to be read in conjunction with the Specifications and Contract Drawings for the Project. In the event of any conflict between the requirements set forth in this Section and the requirements of the Project Specifications and/or the Contract Drawings, whichever requirement is the most stringent, as determined by the Commissioner, must take precedence.
- B. This Section includes the following:
 - 1. Related Sections
 - 2. Definitions
 - 3. Procedure for Electrical Approval
 - 4. Submittals
 - 5. Electrical Installation Procedures
 - 6. Electrical Conduit System Including Boxes (Pull, Junction and Outlet)
 - 7. Electrical Wiring Devices
 - 8. Electrical Conductors and Terminations
 - 9. Circuit Protective Devices
 - 10. Distribution Centers
 - 11. Motors
 - 12. Motor Control Equipment

1.3 RELATED SECTIONS: Include without limitation the following:

- A. Section 01 10 00 SUMMARY
- B. Section 01 33 00 SUBMITTAL PROCEDURES
- C. Section 01 35 03 GENERAL MECHANICAL REQUIREMENTS
- D. Section 01 42 00 REFERENCES
- E. Section 01 77 00 CLOSEOUT PROCEDURES
- F. Section 01 78 39 CONTRACT RECORD DOCUMENTS

1.4 DEFINITIONS:

- A. **WIRING:** contains wire and raceway (rigid steel, heavy wall conduit unless specifically indicated otherwise).
- B. **POWER WIRING:** wiring from a panel board or other specified source to a starter (if required), then to a disconnect (if required), then to the final point of usage such as a motor, unit, or device.



- C. CONTROL and/or INTERLOCK WIRING: wiring that signals the device to operate or shut down in response to a signal from a remote control device such as a temperature, smoke, pressure, float, etc. device (starters and disconnect switches are not included in this definition) regardless of the voltage required for the controlling device.
- D. RIGID STEEL CONDUIT: rigid steel heavy wall conduit that is hot-dip galvanized inside and outside. The conduit must meet the requirements of the latest edition, as amended, of the "Standard for Rigid Steel Conduit" of the Underwriters' Laboratories, Inc. Unless otherwise specified in the Specifications or indicated on the Contract Drawings, rigid steel conduit must be used for all exposed work, all underground conduits in contact with earth, and fire alarms systems, as required by the New York City Construction Codes.
- E. ELECTRICAL METALLIC TUBING (EMT): industry standard thin wall conduit of galvanized steel. All elbows, bends, couplings and similar fittings which are installed as a part of the conduit system must be compatible for use with electric metallic tubing. Couplings and terminating fittings must be of the pressure type as approved by the Commissioner. Set screw fittings will not be acceptable. EMT must meet the requirements of the latest edition, as amended, of the "Standard for Electrical Metallic Tubing" of the Underwriters Laboratories Inc. EMT may only be used where specifically indicated. In no case will EMT be permitted in spaces other than hung ceilings and dry wall partitions.
- F. FLEXIBLE METALLIC CONDUIT (FMC): a conduit made through the coiling of a self-interlocking ribbed strip of aluminum or steel, forming a hollow tube through which wires can be pulled. For final connections to motors and motorized equipment, not more than a 4' - 0" length of flexible conduit may be used. For watertight installations, this conduit must be of a watertight type, attached with watertight glands or fittings for final connections from outlet box to recessed lighting fixtures and in locations only where specifically permitted by the Specifications or Contract Drawings.

1.5 PROCEDURE FOR ELECTRICAL APPROVAL:

This Section sets forth General Electrical information, as well as required approvals for all electrical work required for the Project, including ancillary electrical work which may be included in the work of other trade subcontractors.

- A. ELECTRIC SERVICE: The electric service supply is subject to commercial and operating variation of the utility company. Proper provision must be made to have all apparatus operate normally under these conditions.
- B. ACCEPTANCE: Acceptance and approval of the Work will be contingent upon the inspection and test of the installation by the City regulatory agency.
- C. TESTS: The Contractor must notify the Commissioner when the Contractor has completed the work and is ready to have it inspected and tested. Upon completion of the Work, tests must be made as required by the Commissioner of all electrical materials, electrical and associated mechanical equipment, and of appliances installed hereunder. The Contractor must furnish all labor and material for such tests. Should the tests show that any of the material, appliances or workmanship is not first class or not in compliance with the Contract, on written notice the Contractor must remove and promptly replace the materials to be in conformity with the Contract.
- D. CERTIFICATE OF THE BUREAU OF ELECTRICAL CONTROL, OF THE DEPARTMENT OF BUILDINGS (B.E.C.): Prior to requesting a substantial completion inspection, the Contractor must file a Certificate of Inspection issued by B.E.C. On completion of the Work, the Contractor must obtain certificates of inspection, approval, acceptance and compliance from all agencies and/or entities having jurisdiction over the work and must deliver these certificates to the Commissioner in accordance with Section 01 77 00 CLOSEOUT PROCEDURES.



E. RESPONSIBILITY FOR CARE AND PROTECTION OF EQUIPMENT:

1. The Contractor furnishing any equipment must be responsible for the equipment until it has been inspected, tested and accepted, in accordance with the requirements of the Contract.
2. After delivery, before and after installation, the Contractor must protect all equipment against theft, injury or damage from all causes. The Contractor must carefully store all equipment received for work which is not immediately installed. If any equipment has been subject to possible injury by water, it must be thoroughly dried out and put through a special dielectric test as directed by the Commissioner, at the expense of the Contractor or replaced by the Contractor without additional cost to the City.

- F. UNIFORMITY OF EQUIPMENT:** Any two (2) or more pieces of equipment, apparatus or materials of the same kind, type, or classification, which are intended to be used for identical types of service, must be made by the same manufacturer.

1.6 SUBMITTALS:

A. CONTRACTOR'S ELECTRICAL DRAWINGS AND SAMPLES FOR APPROVAL:

1. The Contractor must submit to the Commissioner for approval, in accordance with Section 01 33 00 SUBMITTAL PROCEDURES, complete dimensional drawings of all equipment, wiring diagrams, motor test data, details of control, installation layouts showing all details and locations and including all schedules, and descriptions and supplementary data to comprise complete working drawings and instructions for the performance of the Work. A description of the operation of the equipment and controls must be included. A letter, in triplicate, must accompany each submittal.
2. The Contractor must submit in accordance with Section 01 33 00 SUBMITTAL PROCEDURES, duplicate samples of such materials and appliances as may be requested by the Commissioner for approval. These samples must be properly tagged for identification and submitted for examination and test. After the samples are approved, one (1) sample will be returned to the Contractor and the other sample will be filed in the office of the Commissioner's representative for inspection use. After the Contract is completed, the second set of samples will be returned to the Contractor.

- B. TIMELINESS:** All material must be submitted in accordance with the Submittal Schedule in sufficient time for the progress of construction. Failure to promptly submit acceptable samples and dimensional drawings of equipment will not be accepted as grounds for an extension of time. The Commissioner may decline to consider submittals unless all related items are submitted at the same time.

- C. CONTRACTOR'S STATEMENT WITH SUBMITTALS:** Contractor must submit a statement in accordance with Section 01 33 00, SUBMITTAL PROCEDURES.

- D. BULLETINS AND INSTRUCTIONS:** The Contractor must furnish and deliver to the Commissioner in accordance with Section 01 78 39 CONTRACT RECORD DOCUMENTS and Section 01 77 00 CLOSEOUT PROCEDURES, after acceptance of the work, four (4) complete sets of instructions, technical bulletins and any other printed matter (diagrams, prints, or drawings) required to provide complete information for the proper operation, maintenance and repair of the equipment and the ordering of spare parts.



PART II – PRODUCTS (Not Used)

PART III – EXECUTION

3.1 ELECTRICAL INSTALLATION PROCEDURES:

This Sub-Section sets forth the General Installation Procedure that must apply to all electrical work and electrical equipment appearing in the Contract.

(Refer to Sub-Section 1.4 DEFINITIONS for terms used in this section)

- A. **INTENT OF CONTRACT DOCUMENTS:** The Drawings and Specifications are to be interpreted as a means of conveying the scope and intent of the work without giving every minor electrical detail. It is intended, nevertheless, that the Contractor must provide whatever labor and materials are found necessary, within the scope of the Contract, for the successful operation of the installation. Specific details of individual installations are to be finally decided upon when the Contractor submits Working or Shop Drawings for approval to DDC. Whenever there are two (2) or more methods to complete Project work within the Contract scope, the Commissioner reserves the right to choose that method which, in the Commissioner's opinion, will afford the most satisfactory performance, lasting qualities, and access for repairs, even if this selection is the costliest.
- B. **SCHEMATIC PLANS – APPROXIMATE LOCATIONS:** Conduits and wiring are shown on the plans for diagrammatic purposes only. Therefore, conduit layouts may not necessarily give the actual physical route of the conduits. The Contractor who installs a conduit system will also be required, as part of the work, to furnish and install all hangers and pull-boxes, including any special pull-boxes found necessary to overcome interferences, and to facilitate the pulling of electrical cables. Similarly, the locations of equipment, appliances, outlets and other items shown on Contract Drawings are only approximate and are to be definitively established when equipment Shop Drawings are submitted and approved by DDC during construction.
- C. **SLEEVES:** required for conduits passing through walls or floors; must be furnished and set by the Contractor installing the conduits. Sleeves in waterproofed floors must be provided with flashing extending twelve (12) inches in all directions from sleeve and secured to waterproofing. Flashing must be turned down into space between pipe and sleeve and caulked watertight. Flashing must be twenty (20) ounces cold rolled copper. Sleeves must be supplied with welded flanges similar to those supplied by the subcontractor for Plumbing Work and must extend one (1) inch above finished floor.
- D. **COORDINATION:** The Contractor must keep in close touch with the construction progress and promptly obtain the necessary information for the accurate placement of its work well before Project construction operations obstruct its work. The Contractor is to consult all other Contract Drawings, as well as approved equipment Shop Drawings on file in the Resident Engineer's Field Office. This will aid in avoiding interferences, omissions, and errors in the electrical installation.
- E. **RESTORATION:** If drilling or cutting is done on finished surfaces of equipment or the structure, any marring of the surface must be repaired or replaced by the Contractor. The Contractor must be held responsible for corrective restoration due to its cutting or drilling, and for any damage to the Project or its contents caused by the Contractor or the Contractor's workers. If any piercing of waterproofing occurs because of the installation of the work, the Contractor must restore the waterproofing, at its own expense, to the satisfaction of the Commissioner.
- F. **ELECTRICAL WORK AT SITE:** The Contractor furnishing equipment consisting of a number of related electrical devices or appliances, mounted in a single enclosure, or on a common base, must furnish this unit, ready for connection and operation, complete with internal wiring, connections, terminal boxes with



copper connectors and/or lugs and ample electrical leads. The cost of any wiring, re-wiring, or other work required to be done on this unit in the field, must be borne by the Contractor, without additional cost to the City.

- G. **COOPERATION AMONG SUBCONTRACTORS:** Whenever an electrically operated unit or system involves the combined work of several subcontractors for its installation and successful operation, the Contractor must require each subcontractor to exercise the utmost diligence in cooperating with others to produce a complete, harmonious installation.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.2

3.2 ELECTRICAL CONDUIT SYSTEM INCLUDING BOXES (PULL, JUNCTION AND OUTLET):

This Sub-Section sets forth the requirements applying to the installation of electrical conduits, boxes or fittings. Rigid steel conduit must be used throughout, unless otherwise directed by the Commissioner. Where the word 'conduit' is used without a modifier such as, rigid steel, EMT, etc., must be interpreted to mean rigid steel, heavy wall, threaded conduit.

(Refer to Sub-Section 1.4 DEFINITIONS for terms used in this section)

A. **INSTALLATIONS AND APPLICATIONS:**

1. Unless otherwise specified or indicated on the Contract Drawings, conduit runs must be installed concealed in finished spaces.
2. **CONDUIT SIZES:** The sizes of conduits must be as indicated on the Contract Drawings. Wherever conduit sizes are not indicated, the conduit must meet the requirements of the New York City Electrical Code to accommodate the conductors to be installed therein.
3. Conduits must be reamed smooth after cutting. No running threads will be permitted. Universal type couplings must be used where required. Conduit joints must be screwed up to butt. Empty conduits after installation must have all open ends temporarily plugged to prevent the entrance of water or other foreign matter.
4. Conduits installed in concrete or masonry must be securely held in place during pouring and construction operations. A group of conduits terminating together must be held in place by a template.
5. **UNDERGROUND STEEL CONDUITS:** Unless otherwise specified, all underground steel conduits in contact with earth must be encased by the Contractor who installs them, in a covering of not less than two (2) inches of an approved concrete mixture. Concrete mix must be one (1) part cement to four and one-half (4 ½) parts of fine and coarse aggregate.
6. **EXCAVATION RESTORATION PERMITS:** When installing underground conduits, duct banks or manholes, the Contractor must perform the work of cutting pavement, excavation shoring, keeping trenches or holes pumped dry, backfilling, restoration of surfaces to original condition and removal of excess earth and rubbish from premises. During the work, the Contractor must provide adequate crossovers, protective barriers, lamps, flags, etc., to safeguard traffic and the public. When the work is in a public highway or street, the Contractor must secure and pay for all necessary permits, inspection fees, and the cost of repaving.
7. **EXPOSED CONDUIT SUPPORTS:** Exposed conduits must be supported by Galvanized hangers with necessary inserts, beam clamps of approved design, or attached to walls or ceilings by expansion bolts. Exposed conduits must be supported or fastened at intervals not more than five (5) feet.



8. Exposed conduits must be installed parallel or at right angles to ceilings, walls and partitions. Where direction changes of exposed conduit cannot be made with neat bends, as may be required around beams or columns, conduit-type fittings must be used.
9. Conduit must be installed with an expansion joint approved by the Commissioner in the following conditions:
 - a. Wherever the conduit crosses a building expansion joint, the Contractor will be held responsible for determining where the building expansion joints are located.
 - b. Every 200 feet, when in straight runs of 200 feet or longer.
10. Conduits may only enter and leave a floating slab in a vertical direction, and only in an approved manner. Horizontal entries into floating slabs are not permitted.
11. Conduits installed in pipe shafts must be properly supported to carry the total weight of the raceway system complete with cable. In addition, at least one (1) horizontal brace per 10 ft. section must be provided to assure stability of the raceway system.
12. BUSHINGS AND LOCKNUTS: Approved bushings and locknuts must be used wherever conduits enter outlet boxes, switch boxes, pull boxes, panel board cabinets, etc.
13. CONDUIT BENDS: must be made without kinking conduit or appreciably reducing the internal diameter. All bends in conduits of two (2) inch in diameter or larger must be made with a hydraulic or power pipe bender. The radius of the inner edge of any bend must not be less than six (6) times the internal diameter of the conduit where rubber covered conductors are to be installed, and not less than ten (10) times the internal diameter of the conduit where lead covered conductors are to be used. Long gradual sweeps will be required, rather than sharp bends, when changes of direction are necessary.
14. EMPTY CONDUITS
 - a. TESTS: All conduits and ducts required to be installed and left empty must be tested for clear bore and correct installation by the Contractor using a ball mandrel and a brush and snake before the installation will be accepted. The ball must be turned to approximately 85% of the internal diameter of the raceway to be tested. Two (2) short wire brushes must be included in the mandrel assembly. Snaking of conduits, ducts, etc., must be performed by the Contractor in the presence of the Resident Engineer. Any conduits or ducts which reject the mandrel must be cleared at once with the Contractor bearing all costs, such as chopping concrete, to replace the defective conduit and restore the surface to its original condition.
 - b. TAGS: Numbers or letters must be assigned to the various conduit runs, and as they test clear they must be identified by a fiber tag not less than 1-¼ inch width, attached by means of a nylon cord. All conduit terminations in panel, splice or pull boxes, as well as those out of the floor or ceiling, must be tagged.
 - c. TEST RECORDS: As the conduit runs clear, a record must be kept under the heading of "Empty Conduit Tested, Left Clear, Tagged and Capped" showing conduit designation, diameter, location, date tested and by whom. When complete, this record must be signed by the Resident Engineer and submitted in triplicate for approval. This record must be entered on the Contract Record Drawings under Section 01 78 39 CONTRACT RECORD DOCUMENTS.
 - d. CAPPING: After test, all empty conduit and duct openings, must be capped or plugged by the Contractor as directed.
 - e. DRAG LINES: A drag line must be left in all empty conduit.



B. BOXES:

1. The Contractor must furnish and erect all pull boxes indicated on the plans or where required. Sides, top and bottom of pull boxes must be Galvanized coated and must be built of No. 12 USSG steel reinforced at corners by substantial angle irons and riveted or welded to plates. Bottom or side of pull boxes must be removable and held in place by corrosion resistant machine screws. Pull boxes in damp locations must have threaded hubs and gaskets and be NEMA 4X. All pull boxes must be suspended from ceiling or walls in the most substantial manner.
2. In centering outlets, the Contractor is cautioned to allow for overhead pipes, ducts and other obstructions, and for variations in arrangement and thickness of fireproofing, soundproofing and plastering. Precaution should be exercised regarding the location of window and door trims, paneling, etc. Mistakes resulting from failure to exercise precaution must be corrected by the Contractor at no additional cost to the City. Outlets in hung ceilings must be supported from the black iron or structure.
3. The exact location of all outlets in finished rooms must be as directed by the Commissioner. When the interior finish has been applied, the Contractor must make any necessary adjustment of its work to properly center the outlets. All outlet boxes for local switches near doors must be located at the strike side of doors as finally hung, whether so indicated on the drawings or not.
4. Exposed wall outlet boxes must be securely anchored, erected neatly and tight against the walls.
5. All wall outlets of each type must be set accurately at the same level on each floor, except where otherwise specified or directed by the Commissioner. Where special conditions occur, outlets must be located as directed.
6. MOUNTING HEIGHTS: The following heights are standard heights and are subject to correction due to coordination with Contract Drawings. All such changes must be approved by the Resident Engineer. Heights given are from finished floor to center line of outlet or device on wall or partition, unless otherwise indicated.

a.	General Convenience Outlets (mount vertical)	1'-6"
b.	Clock Outlets	8'-6" or 1'-6" below ceiling
c.	Wall Lighting Switches	4'-0"
d.	Motor Controllers	5'-0"
e.	Motor Push-button	4'-2"
f.	Telephone Outlets	As Directed by the Commissioner
g.	Fire Alarm Bells	8'-6" or 1'-6" below ceiling
h.	Fire Alarm Stations	4'-0"
i.	Intercom Outlet	1'-6"
j.	Cooking and Refrigerator Unit	As Directed
7. Outlet boxes must be of a design and construction approved by the Commissioner. The type of box, including its form and dimensions, must be appropriate for: its specific location; the kind of fixture to be used; and, the conduits (both quantity and type) that will connect to it. All ferrous outlet boxes must meet the requirements for zinc coating as specified under Electrical Conduit Systems.
8. Knockouts will only be opened to insert conduit. Any outlet boxes with more openings than are necessary for conduit insertion must be sealed by the Contractor without additional charge.
9. All outlet boxes and junction boxes for exposed work must be galvanized cast iron or cast aluminum with threaded openings. Outlet boxes for exposed inside work in damp locations must be galvanized cast iron or cast aluminum with threaded hubs and neoprene gaskets.
10. Junction boxes must not be less than 4 11/16" square and must be equipped with zinc coated plates. Where plates are exposed they must be finished to match the room decor.



11. **FIXTURE SUPPORTS:** Outlet boxes supporting lighting fixtures must be equipped with fixture studs held by approved galvanized stove bolts or integral with the box. Cast iron or malleable boxes must have four (4) tapped holes for mounting required cover or fixtures.
12. Outlet boxes exposed to the weather or indicated W.P. must be cast iron or cast aluminum with the covers made watertight with neoprene gaskets. The boxes must have external lugs for mounting. Drilling of the body of the fitting for mounting will not be permitted. The cover screws must be appropriate in size, non-corrodible and not less than four (4) in number for each box opening.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.3

3.3 ELECTRICAL WIRING DEVICES:

- A. **WALL SWITCHES:** must be of the best specification grade, quiet type, and must have a rating of 20 Amperes at 277 volts, as manufactured by Bryant, Hubbell or approved equal. The mechanism must be equipped with arc snuffers. They must be of the tumbler type, single pole. Switches of the 3-way type must have a similar rating.
- B. **RECEPTACLES:**
 1. **CONVENIENCE OUTLETS:** must be of the best specification grade, duplex, two-pole, 3-wire, 20 Amperes at 125 volts. It must have a grounding pole that must be grounded to the conduit system. Receptacles must be capable of both back and side wiring and must have only one (1) grounding screw. Receptacles must be Hubbell Catalog #5262 or approved equal.
 2. **HEAVY DUTY RECEPTACLE OUTLETS:** must have the Ampere rating and the number of poles specified on the Contract Drawings and must be Hubbell, Russell-Stoll, Bryant, AH & H or approved equal. Each outlet must have a grounding pole, which must be grounded to the conduit system.
 3. **FLOOR RECEPTACLES:** must be Russell & Stoll #3040 or approved equal, to fit into floor box previously specified.
 4. **NAMEPLATES:** are required for all receptacles other than 120V.
- C. **CLOCK HANGERS:** Clock outlets for surface type clocks must be equipped with a supporting hook and recessed faceplate to conceal the electrical cord.
- D. **WATERTIGHT DEVICES:** For installations exposed to weather or in damp locations, the devices must be in a gasketed, cast iron enclosure.
- E. **PLATES:**
 1. Every convenience outlet and switch outlet must be covered by means of a stainless steel No. 302 - 0.4" antimagnetic plate with an approved finish, unless provided otherwise in the detailed Specifications.
 2. Where two (2) or three (3) switches are grouped together, a single faceplate must be used. Where more than three (3) switches are located at one (1) point, the faceplates may be made up in multiple units.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.4

3.4 ELECTRICAL CONDUCTORS AND TERMINATIONS:

- A. **CONDUCTORS FOR LIGHT AND POWER:** All wire and cable must be of annealed copper of 98% conductivity. Aluminum wire or cable will not be permitted. The insulation must be flame retardant, moisture and heat resistant, thermoplastic, type THW or THWN rated for 600 volts at 75 degrees Celsius (C.) for both wet and dry locations. Wires No. 8 or larger must be stranded. Wires and cables must also



be subject to the requirements of the NYCEC. Cables for incoming service, or wire in conduits contiguous with the earth, in concrete, or other damp or wet locations, must be synthetic rubber insulated with neoprene jacket, heat and moisture resistant and must be equal to UL Type USE and rated for 600 volts at 75 degrees C. for both wet and dry locations.

- B. **FIXTURE WIRE:** Lighting fixtures must be wired with No. 14 gauge wire designated as AWM and rated at 105 degrees C.
- C. **OTHER TYPES:** Cables and wires for interior communication systems are described in applicable detailed Specifications.
- D. **MINIMUM SIZE:** Conductors smaller than No. 12 AWG must not be used for light or power.
- E. **COLOR CODE:** Wires must have a phase color code, and multiple conductor cables must be color coded.
- F. **CABLE DATA:** The Contractor must submit for approval the following information for each size and type of cable to be furnished:
 - 1. **Manufacture of Cable - Location of Plant.**
 - 2. **Minimum insulation resistance at standard test temperature.**
 - 3. **Days required for delivery to site of work after order to proceed with manufacture.**
- G. **ORIGINAL REELS:** Cable and wire must be delivered to the site of the work on original sealed factory reels.
- H. **WIRE INSTALLATION:**
 - 1. **INSTALL WIRES AFTER PLASTERING:** Feeder and branch circuits wiring must not be installed into conduit before the rough plastering work is completed. No conductors must be pulled into floor conduits before floor is poured.
 - 2. **CONDUIT SECURED IN PLACE:** No conductor must be pulled into any conduit run before all joints are made up tightly and the entire run rigidly secured in place.
 - 3. **WIRE ENDS:** All wires must be left with sufficiently long ends for proper connection and stowing.
 - 4. **PULLING COMPOUNDS:** to ease the pulling-in of wires into the conduit, only approved compounds as recommended by cable manufacturers must be used.
 - 5. **PRESSURE CONNECTORS:** pressure connectors for wires must be of the cast copper or forged copper pressure plate type. Connectors must be O.Z., Burndy, National Electric Products or approved equal.
 - 6. **Splices and feeder taps in the gutters of panel boxes must be made by means of pressure plate-type connectors encased in composition covers as manufactured by O.Z., Burndy, National Electric Products or approved equal.**
 - 7. **Splices in branch wiring for sound systems and fire systems, must be first made mechanically secure, then soldered and taped.**
 - 8. **In lieu of soldered splices (except for sound and fire systems, which must have soldered splices) the following alternates are acceptable for operating temperatures up to 105 degrees C., for fluorescent fixtures and for the splicing of branch circuit wiring up to No. 8 AWG wire:**
 - a. **Mechanical splices made with mechanical connectors as manufactured by the Minnesota Manufacturing Company "Scotchlock" or approved equal. Mechanical connectors requiring a special tool (pressure connectors, insulators and locking rings) by Buchanan or approved equal. The tool used for connector application must be as approved by the connector manufacturer.**



- b. For branch circuit wire and cable No. 6 AWG and larger, the seamless tubular connector will only be accepted. Application of this connector must be with a tool recommended by the connector manufacturer.
- 9. TAGS: All feeders and risers must be tagged at both ends, and in all pull and junction boxes and gutter spaces through which they pass. Such tags must be of fiber and have the feeder designation and size stamped thereon.
- 10. BRANCH CIRCUIT WIRING:
 - a. The Contractor installing branch circuit wiring must test the work for correct connections and leave all loop splices in the fixture outlet boxes properly spliced and taped. The Contractor must provide wire ends long enough for convenient connection to device.
 - b. NEUTRALS: No common neutrals must be used except for lighting branch circuits. Each neutral wire must be terminated separately on a neutral busbar in the panelboard. No common neutrals will be permitted for convenience receptacle branch circuits.
- I. TERMINATIONS
 - 1. LUGS: All lugs for all devices and all cable terminations must be copper. AL/CU rated lugs will not be permitted. The only exception to this requirement is when the particular device is not manufactured with copper lugs by any manufacturer. Lugs for No. 6 AWG cable and larger must be cast copper or forged copper pressure plate type. Lugs for 1/0 and larger must be fastened with two (2) bolts.
 - 2. All lugs must be of the proper size to accept the cable connected to them. Any subcontractor furnishing a device containing lugs is to coordinate with the Contractor to ensure that the device terminations are adequate for the wire or cable (whose size may be larger than expected due to voltage drop considerations) connected to the device.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.5

3.5 CIRCUIT PROTECTIVE DEVICES:

This Section sets forth the circuit protective devices such as circuit breakers and safety switches, used in connection with Motor Control Equipment, Distribution Centers, Panel boards and Service Entrance.

- A. CIRCUIT BREAKERS:
 - 1. CIRCUIT BREAKERS: must be operable in any position and must be of the quick-make, quick-break type on manual operation. The handle must be trip free, preventing contacts from being held in closed position against abnormal overloads or short circuits. Positive visual indication of automatic tripped position of breaker must be provided, in addition to the "On" and "Off" indication. All circuit breakers must be of the bolted type.
 - 2. TRIP RATING: Circuit breakers must be provided with the required number of trip elements, calibrated at 40 degrees C., ambient temperature, in accordance with wire sizes or motor currents as shown on Contract Drawings or indicated in the Specifications.
 - 3. POLE BARRIER: Multipole pole breakers must be designed to break all poles simultaneously. They must be provided with barriers between poles and arc suppressing devices.
 - 4. ELEMENTS: Multipole circuit breakers must have frames of not less than a 100 Ampere rating. Multipole circuit breakers for 480 volts AC operation must have an NEMA interrupting rating of 18,000 Amperes, unless a higher rating is specified in the Specifications or indicated on the Contract Drawings.
 - 5. For circuit breakers with frame size up to and including 225 Amperes, the breakers may be



provided with non-interchangeable trip elements. For frame ratings above 225 Amperes, the breakers must be provided with interchangeable trip elements, which can be replaced readily.

6. Single pole circuit breakers for branch circuits must have a frame size of no less than 100 Amperes, and must be rated at 125 volt A.C. with a NEMA interrupting rating of 10,000 Amperes, unless a higher rating is specified in the Specifications or indicated on the Contract Drawings.
7. INVERSE TIME ACTION: The circuit breakers must be dual element type, one (1) element with time limit characteristics, so that tripping will be prevented on momentary overloads, but will occur before dangerous values are reached and the other with instantaneous trip action. Inverse time delay action must be effective between a minimum tripping point of 125% of rating of breaker and an instantaneous tripping point between 600% and 700% of rated current.
8. CONSTANCY OF CALIBRATION: The tripping elements must insure constant calibration and be capable of withstanding excessive short circuit conditions without injury.
9. CONTACTS: must be non-welding under operating conditions and of the silver to silver type.
10. TEMPERATURE RISE: Current carrying parts, except thermal elements, must not rise in temperature in excess of 30 degrees C. while carrying current at the part's rated current and frequency.
11. NUMBERING: Each circuit breaker must be distinctly numbered when installed in a group with other breakers. The calibration of trip element must be indicated on each breaker.

B. SAFETY SWITCHES:

NEMA TYPE HD: When safety switches are permitted to be used for service entrance, motor disconnecting means or to control other types of electrical equipment, they must be of the type HD of a rating not less than 30 Amperes. Enclosures must be provided with means for locking. For ratings above 60 Amperes terminals must have double studs.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.6

3.6 DISTRIBUTION CENTERS:

This Section sets forth the construction and installation procedure for Switchboards, Panel boards and Cabinets.

- A. PANEL BOARDS, GENERAL TYPE: The panel boards must be of the automatic circuit breaker type with individual breakers for each circuit, removable without disturbing the other units. Circuit breakers must be in accordance with the requirements outlined under Section 3.5, "Circuit Protective Devices."
- B. NUMBER AND RATING OF CIRCUIT BREAKERS: The Contract Drawings show a layout of each panel, giving the number, frame, size and trip setting of circuit breakers and number of branch circuits and spare breakers. Each branch circuit must be distinctly numbered.
- C. BUS BAR CONSTRUCTION AND SUPPORT: Panel Boards must be of the dead front type and must have bus bars and branch circuits designed to suit the system and voltage. Current carrying parts, exclusive of circuit breakers, must be copper and based on a maximum density of 1,000 Amperes per square inch. Bus bars for the main switchboard must be designed for the frame rating of the Service Breaker. Bus bars must run up the center of the panel, unless otherwise indicated, and must have connected thereto the various branch circuits. Unless otherwise specified, bus bars for each panel board must be equipped with main lugs only and capacity as required on Contract Drawings. Where main protection is required, automatic circuit breakers must be used. A neutral bus of at least the same capacity as a live bus bar must be provided for the connection of all neutral conductors. Each terminal must be identified. All current carrying parts, exclusive of circuit breakers, must be of copper with a minimum number of joints. The bus bar structure must be a self-supporting unit, firmly fastened to a ½



- inch plastic board, extending the full length and width of assembly which must serve to insulate the bus structure from the back of panel box. Other methods affording equally effective bus structure support and insulation will be given consideration. An insulating barrier must separate neutral bus from other parts of panel.
- D. **CIRCUIT BREAKER ASSEMBLY:** The entire circuit breaker and bus bar assembly must be mounted on an adjustable metal base or pan and secured to the back of the panel box. The panel must have edges flanged for rigidity.
- E. **PANEL MOUNTING:** The panel must be centered in the panel box, line up with the door openings, be set level and plumb, and no live parts may be exposed with the door open.
- F. **PANEL CABINET:**
1. **PANEL CABINET INSTALLATION:** When installed, surface mounted in panel closets must be mounted on Kindorf channel.
 2. Where cabinets cannot be set entirely flush due to masonry walls or partitions or where cabinet is extra deep, the protruding sides of cabinet must be trimmed with a metal or hardwood return molding of approved design and fastened to cabinet so as to conceal the intersection between the wall and cabinet.
- G. **NAMEPLATES:** Where required, nameplates must be made of engraved Lamicoide sheet, or approved equal. Letters and numbers must be engraved white on a black background (except for Firehouse projects which must have white letters on a red background). The Contractor must submit an engraved sample for approval as to design and style of lettering before proceeding with the manufacture of the nameplate. Nameplates must be of suitable size and must also be provided at the top of the switchboard or section thereof and on the trim at the top of all lighting and power panels. Similar nameplates must also be provided for each distribution circuit breaker giving the breaker number, the number of the feeder, and the name of the equipment fed.
- H. **SHOP DRAWINGS:** showing all details of boxes, panels, etc., must be submitted for approval.
- I. **DIRECTORIES:** A directory must be fastened with brass screws and consist of a noncorrosive metal frame with dimensions not less than five (5) inches x eight (8) inches and a transparent window of Plasticile, Plexiglass, Lucite, Polycarbonate or approved equal that is not less than 1/16 inch thick over cardboard or heavy paper. The directory must be typewritten and show the number and name of each circuit, and lighting or equipment supplied. The size of riser feeder must be as indicated on the directory. The dimensions of the directory must be submitted for approval for each size of panel.
- J. **CONSTRUCTION**
1. **FINISH:** Panel boxes, doors and trim for installation in dry locations, must be zinc coated after fabrication by the hot-dip galvanizing or electroplate process on inside and outside surfaces. In damp locations, panel boards must be enclosed and gasketed NEMA 3R type. Panel boards located outdoors or exposed to the weather must be NEMA 3X type.
 2. **PAINTING:** Panel boxes, doors and trim must receive a coat of approved priming paint and a second coat of approved paint in the field after installation. Paint must be applied to the inside and outside of boxes and on both sides of trim. Panel trims and doors must receive a third or finishing coat on the outside after installation. Approval as to texture and color must be obtained before the final coat is applied.



REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.7

3.7 MOTORS:

This Section sets forth the general design, construction and performance requirements, which must apply to all motors furnished in the Contract.

- A. **MOTOR DESIGN:** All motors must be designed to comply with the New York State Energy Conservation Construction Code and the New York City Energy Conservation Code. In the event of any conflict or inconsistency between such codes, the New York City Energy Conservation Code must prevail. Motors must have standard NEMA frames and must have nameplate ratings adequate to meet the specified conditions of operation. Motor performance under variable conditions of voltage and frequency must be within the limits set in NEMA standards, unless modified in the Specifications. Motors must be expressly designed for the hazard duty load, voltage and frequency as specified in the Contract. All motor windings must be copper. All motors intended to operate on a 208 volt system must be designed and rated for 200 volts.
- B. **STANDARDS OF COMPARISON:** In the absence of specific motor specifications, in general, the best standard products of the leading motor manufacturers must be considered as a standard for comparison. The requirements of the NEMA standards for motors and generators must be deemed to contain the minimum requirements of performance and design.
- C. **OBJECTIONABLE NOISES:** Objectionable noises will not be tolerated and exceptionally quiet motors may be required for certain specified locations. Noise control tests as per the New York City Construction Codes may be performed as directed by the Commissioner. Such motors must bear a nameplate lettered "Quiet Motor." Springs and slip rings must be of approved non-ferrous material.
- D. **BEARINGS:**
 - 1. Bearings, unless specified otherwise, must be of the ball or roller type. Motors one (1) horsepower and larger that are equipped with ball roller bearings must also have lubrication of the pressure-relief greasing type. The Contractor furnishing four (4) or more such motors must also furnish, as part of the Contract, a pressure grease gun of rugged design, of approximately ten (10) ounce capacity, complete with necessary adapters. The Contractor must also provide ten (10) pounds of approved gun grease.
 - 2. For any particular unit where sleeve bearings are deemed desirable, permission for their use may be granted by the Commissioner. Motors one (1) horsepower and larger that are equipped with sleeve type bearings must, in addition to having protected fittings easily accessed for oiling, be provided with visible means for determining normal oil level. Lubrication must be positive, automatic and continuous.
- E. **MOTOR TERMINALS AND BOXES:** Each motor must be furnished with flexible leads of sufficient length to extend for a distance of not less than three (3) inches beyond the face of the conduit terminal box. This box must be furnished of ample size to make and house motor connections. These requirements must be met irrespective of any other standards or practices. Size of cable terminals and conduit terminal box holes must be subject to approval. For motors five (5) horsepower or larger, each terminal must come with two (2) cast or forged copper pressure type connectors with bolts, nuts and washers. For motors of smaller ratings, connectors of other acceptable types may be furnished. For installations exposed to the weather or moist locations, terminal boxes must be of cast iron with threaded hubs and gasketed covers. Cover screws must be of non-corrosive material.
- F. **MOTOR TEMPERATURE RISES:** The motor nameplate temperature rises for the various types of motor enclosures must be as listed below:

1. Open Frame	40 degrees C.
2. Totally enclosed and enclosed fan cooled	55 degrees C.
3. Explosion proof and submersible	55 degrees C.



4. Partially enclosed and drip proof 40 degrees C.

The temperature of the various parts of a motor must meet the requirements of NEMA standards for the size and type of the motors. Tests for heating must be made by loading the motor to its rated horsepower and keeping it so loaded for the rated time interval or until the temperature becomes constant.

- G. SPECIAL CODE INSTALLATIONS: Electrical installations covered by special publications of NBFU and by special City rulings and regulations must comply in design and safety features with such applicable codes, regulations and rulings, and must be furnished and installed complete with all accessories and safety devices as therein specified.
- H. MOTORS ON LIGHTING PANELS: The largest A.C. motor permitted on branch circuits of lighting panels must not exceed 1/4 horsepower.
- I. MOTORS RATED: 1/2 horsepower and larger must be polyphase.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.8

3.8 MOTOR CONTROL EQUIPMENT:

This Section sets forth the requirements for motor controllers and associated devices. Such requirements are applicable to all motor control equipment furnished or installed.

- A. MANUFACTURER: All control equipment furnished under the Contract must be the product of a single manufacturer. Exceptions to this rule may be granted in the case of controllers for fractional horsepower motors driving special equipment, the various units of which have been engineered to obtain specific performance.
- B. CONTROL ITEMS REQUIRED: The Contractor furnishing motors must also furnish therewith complete disconnecting, starting and control equipment as required by the detailed Specifications, the various code authorities and for the successful operation of the driven equipment. These items include circuit breakers, magnetic starters with overload protection and low voltage release or protection, push button stations, pilot lights and alarms, float, pressure, temperature and limit switches, load transfer switches, devices for manual operation and speed controllers, etc. The Contractor must furnish as many of these items as required for the successful operation of the driven unit.
1. Where a motor is to be located out of sight of the controller, the Contractor must furnish an approved disconnecting means to be mounted near motor.
- C. TYPES OF STARTERS:
1. SQUIRREL CAGE: A.C. motors of the squirrel cage type, rated from one (1) to thirty (30) horsepower, must have magnetic across the line starters; motors rated above thirty (30) horsepower must be furnished with reduced voltage (autotransformer type) starter or part winding start with time delay to reduce inrush current. Size of starters must be based on 200V operation.
2. SLIP RING: A.C. motors of the slip-ring type must be furnished with primary across the line starters interlocked with secondary starting and regulating equipment. The interlocking feature must prevent starting of the motor when the secondary controller is off the initial starting point.
3. MAGNETIC: For fractional horsepower motors, magnetic type starters are not required unless the particular method of controlling the driven equipment makes them necessary. Where individual single phase fractional horsepower motors or the sum of fractional horsepower motors controlled by an automatic device are 1/2 horsepower or more, magnetic starters and circuit breakers must be used. Single phase A.C. motors smaller than 1/2 horsepower or three-phase A.C. motors smaller than one (1) horsepower where manual control is specified may be furnished with starters of toggle switch or push button type with inbuilt thermal protection. No additional disconnecting means is required to be furnished with this type of starter. This type of starter may also be used in series



with automatic control devices such as thermostats, float and pressure switches, provided the individual motor or the sum of fractional horsepower motors is less than ½ horsepower. Means for manual operation must be provided.

- D. **DISCONNECTING BREAKER:** All motor starters, unless otherwise specified, must be provided with a disconnecting means in the form of a circuit breaker of the type specified under Article 3.5 CIRCUIT PROTECTIVE DEVICES. This disconnecting means must be contained in the same housing with the starter and must be operable from outside. Means must be provided for locking the handle of the circuit breaker in the "OFF" position if it is desired to take the equipment out of service and prevent unauthorized starting.
- E. **CONTROL CABINET – DRY LOCATIONS:** All starters must be furnished with general purpose, NEMA Type 1, sheet metal enclosures with hinged covers and baked enamel finish.
- F. **CONTROL CABINET – WATERTIGHT:** In wet locations, cast iron watertight enclosures with threaded hubs, galvanized and gasketed hinged covers must be provided.
- G. **PANELS:** Motor control devices and appliances must be mounted on approved insulating slabs with all wiring and connections made on the back of the slabs.
- H. **WIRING AND TERMINALS:** Wiring connections for currents of one hundred (100) Amperes or less may be made with copper wire or cable with special flameproof insulating coverings. Such wires must be installed in a neat workmanlike manner, flat against the slab, and held in place by clips. Connections must be made with pressure connectors for No. 8 AWG and larger wires, and with grommets for small stranded wires. Except for incoming and outgoing main leads, all connections must terminate on approved connector blocks, which may be installed on the face of the slab. For small, across the line starters, the above requirements may be modified if satisfactory connections are provided.
- I. **COPPER BUS:** For currents exceeding one hundred (100) Amperes, copper bus must be used in place of wires. The bus must be constructed of copper rods, tubing or flat strap, bent and shaped properly and securely attached to the slab in a neat and workmanlike manner. The cross section of copper must provide sufficient areas to keep current density at not more than one thousand (1,000) Amperes per square inch.
- J. **COOPERATION:** The Contractor's subcontractor(s) who furnish electrically operated equipment must give to the Contractor and the Contractor's electrical subcontractor full information relative to sizes and locations of apparatus furnished by them which require electrical connections.

END OF SECTION 01 35 06



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**SECTION 01 35 26
SAFETY REQUIREMENTS PROCEDURES**

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].
- B. The Contractor shall comply with the requirements of “*The City of New York Department of Design and Construction Safety Requirements*”. This document is included in the Information for Bidders.

1.2 SUMMARY:

- A. This Section includes administrative and general procedural requirements for Safety and Health Requirements, including:
 - 1. Definitions
 - 2. Required Safety Meeting
 - 3. Compliance with Regulations
 - 4. Submittals
 - 5. Personnel Protective Equipment
 - 6. Hazardous and / or Contaminated Materials
 - 7. Emergency Suspension of Work
 - 8. Protection of Personnel
 - 9. Environmental Protection

1.3 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.
- B. Design Consultant: “Design Consultant” must mean the entity responsible for providing design services for the Project, including without limitation, preparing the construction documents (drawings and specifications) and providing services in connection with such documents during construction. The entity serving as the “Design Consultant” may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.

1.4 REQUIRED SAFETY MEETINGS:

- A. Prior to commencing construction, the Resident Engineer will schedule and hold a preconstruction kick-off meeting either at DDC’s main office or at the Project site with representatives of the Contractor, including the principal on-site project representative, one or more safety representatives, the Commissioner’s designated representatives and other concerned parties for the purpose of reviewing the Contract safety requirements. Additionally, implementing Work safety provisions must be discussed.
- B. The Contractor is responsible for conducting weekly documented jobsite safety meetings, given to all jobsite personnel including all subcontractors on the Project, with the purpose of discussing safety topics and job-specific requirements at the DDC worksite.



1.5 COMPLIANCE WITH REGULATIONS:

- A. The Work, including contact with or handling of hazardous materials, disturbance or dismantling of structures containing hazardous materials, and disposal of hazardous materials, shall comply with the applicable requirement for 29 CFR Parts 1910 and 1926, and 40 CFR, Parts 61, 261, 761 and 763.
- B. Work involving disturbance or dismantling of asbestos or asbestos-containing materials, demolition of structures containing asbestos and removal of asbestos, shall comply with 40 CFR Part 61, Subparts A and M, and 40 CFR Part 763, as applicable.
- C. Additionally, Work shall comply with all applicable federal, state, and local safety and health regulations.
- D. In case of a conflict between applicable regulations, the more stringent requirements shall apply.
- E. All workers working on the DDC Project site are required by NYC Local Law 41 to complete the OSHA 10-hour training course.

1.6 SUBMITTALS:

- A. The Contractor shall submit to the Resident Engineer, copies of the Safety Program, Site Safety Plan and other required documentation in accordance with the “*New York City Department of Design and Construction Safety Requirements*”.
- B. Permits: If hazardous materials are disposed of off-site, the Contractor must submit to the Resident Engineer copies of shipping manifests, permits from applicable federal, state, or local authorities and disposal facilities, and certificates that the material has been disposed of in accordance with regulations.
- C. Accident Reporting: Submit a copy of each accident report to the Resident Engineer in accordance with the “*New York City Department of Design and Construction Safety Requirements*”.
- D. All asbestos and lead project regulatory notifications are to be submitted to DDC’s Office of Environmental and HazMat Services (OEHS) through the Resident Engineer.
- E. Request for Subcontractor Approval: Any subcontractor performing environmental work must submit required documentation for approval to perform such work as required by DDC’s OEHS.

PART II – PRODUCTS

2.1 PERSONNEL PROTECTIVE EQUIPMENT:

- A. Special facilities, devices, equipment, and similar items used by the Contractor in execution of the Work shall comply with 29 CFR Part 1910, subpart I, Part 1926, subpart E, and other applicable regulations.

2.2 HAZARDOUS AND / OR CONTAMINATED MATERIALS:

- A. The Contractor shall bring to the attention of the Commissioner, any material encountered during execution of the Work that the Contractor suspects to be hazardous and / or contaminated.
- B. The Commissioner shall determine whether the Contractor shall perform tests to determine if the material is hazardous and / or contaminated. A change to the Contract price may be provided, subject to the applicable provisions of the Contract.
- C. If the material is found to be hazardous, the Commissioner may direct the Contractor to remediate the hazard and a change to the Contract price may be provided, subject to the applicable provisions of the Contract.



PART III – EXECUTION

3.1 EMERGENCY SUSPENSION OF WORK:

- A. When the Contractor is notified by the Commissioner of noncompliance with the safety provisions of the Contract, the Contractor shall immediately, unless otherwise instructed, correct the unsafe condition, at no additional cost to the City.
- B. If the Contractor fails to comply promptly, all or part of the Work may be stopped by notice from the Commissioner.
- C. When, in the opinion of the Commissioner, the Contractor has taken satisfactory corrective action, the Commissioner shall provide written notice to the Contractor that the Work may resume.
- D. The Contractor shall not be allowed any extension of time or compensation for damages in connection with a work stoppage for an unsafe condition.

3.2 PROTECTION OF PERSONNEL:

- A. The Contractor shall take all necessary precautions to prevent injury to the public, occupants, or damage to property of others. The public and occupants includes all persons not employed by the Contractor or a subcontractor.
- B. Whenever practical, the work area shall be fenced, barricaded, or otherwise blocked off from the public or occupants to prevent unauthorized entry into the work area, in compliance with the requirements of Section 01 50 00 TEMPORARY FACILITIES, SERVICES AND CONTROLS, and including without limitation, the following:
 - 1. Provide traffic barricades and traffic control signage where construction activities occur in vehicular areas.
 - 2. Corridors, aisles, stairways, doors, and exit ways shall not be obstructed or used in a manner to encroach upon routes of ingress or egress utilized by the public or occupants, or to present an unsafe condition to the public or occupants.
 - 3. Store, position and use equipment, tools, materials, scraps and trash in a manner that does not present a hazard to the public or occupant by accidental shifting, ignition, or other hazardous activity.
 - 4. Store and transport refuse and debris in a manner to prevent unsafe and unhealthy conditions for the public and occupants. Cover refuse containers and remove refuse on a frequent regular basis acceptable to the Resident Engineer. Use tarpaulins or other means to prevent loose transported materials from dropping from trucks or other vehicles.

3.3 ENVIRONMENTAL PROTECTION:

- A. Dispose of solid, liquid and gaseous contaminants in accordance with local codes, laws, ordinances and regulations.
- B. Comply with applicable federal, state, and local noise control laws, ordinances, and regulations, including but not limited to 29 CFR 1910.95, 29 CFR 1926.52 and NYC Administrative Code Chapter 28 of Title 15.

END OF SECTION 01 35 26



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**SECTION 01 35 91
HISTORIC TREATMENT PROCEDURES**

REFER TO THE ADDENDUM FOR APPLICABILITY OF THIS SECTION 01 35 91

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

- A. This Section includes administrative and procedural requirements for the treatment of Landmark Structures and Landmark Quality Structures, as identified in the Addendum. Specific requirements are indicated in other sections of the Specifications.
- B. This Section includes, without limitation, the following:
 - 1. Storage and protection of existing historic materials
 - 2. General Protection
 - 3. Protection during use of heat-generating equipment
 - 4. Photographic Documentation
 - 5. NYC Landmarks Preservation Commission Final Approval signoffs

1.3 RELATED SECTIONS: include without limitation the following:

- A. Section 01 10 00 SUMMARY
- B. Section 01 32 33 PHOTOGRAPHIC DOCUMENTATION
- C. Section 01 33 00 SUBMITTAL PROCEDURES
- D. Section 01 77 00 CLOSEOUT PROCEDURES
- E. Section 01 78 39 CONTRACT RECORD DOCUMENTS

1.4 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.
- B. Design Consultant: "Design Consultant" means the entity responsible for providing design services for the Project, including without limitation, preparing the construction documents (drawings and specifications) and providing services in connection with such documents during construction. The entity serving as the "Design Consultant" may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.
- C. Landmark Structure or Site: Any building or site which has been designated as a landmark, or any building or site within a landmark district, as designated by the New York City (NYC) Preservation Commission or the New York State Historic Preservation Office.
- D. Landmark Quality Structure: Any building which has been determined by the City to be of landmark quality and/or historical significance.



- E. Preservation: To apply measures necessary to sustain the existing form, integrity, and materials of a historic property. Work may include preliminary measures to protect and stabilize the property.
- F. Rehabilitation: To make possible a compatible use for a property through repair, alterations, and additions while preserving those portions or features that convey its historical, cultural, or architectural values.
- G. Restoration: To accurately depict the form, features, and character of a property as it appeared at a particular period of time by means of the removal of features from other periods in its history and the reconstruction of missing features from the restoration period.
- H. Reconstruction: To reproduce in the exact form and detail a building, structure, or artifact as it appeared at a specific period in time.
- I. Stabilize: To apply measures designed to reestablish a weather-resistant enclosure and the structural reinforcement of an item or portion of the building while maintaining the essential form as it exists at present.
- J. Protect and Maintain: To remove deteriorating corrosion, reapply protective coatings, and install protective measures such as temporary guards; to provide the least degree of intervention.
- K. Repair: To stabilize, consolidate, or conserve; to retain existing materials and features while employing as little new material as possible. Repair includes patching, piecing-in, splicing, consolidating, or otherwise reinforcing or upgrading materials. Within restoration, repair also includes limited replacement in kind, rehabilitation, and reconstruction, with compatible substitute materials for deteriorated or missing parts of features when there are surviving prototypes.
- L. Replace: To duplicate and replace entire features with new material in kind. Replacement includes the following conditions:
 - 1. Duplication: Includes replacing elements damaged beyond repair or missing. Original material is indicated as the pattern for creating new duplicated elements.
 - 2. Replacement with New Materials: Includes replacement with new material when original material is not available as patterns for creating new duplicated elements.
 - 3. Replacement with Substitute Materials: Includes replacement with compatible substitute materials. Substitute materials are not allowed, unless otherwise indicated.
- M. Remove: To detach items from existing construction and legally dispose of them off-site, unless indicated to be removed and salvaged or removed and reinstalled.
- N. Remove and Salvage: To detach items from existing construction and deliver them to the City ready for reuse.
- O. Remove and Reinstall: To detach items from existing construction, repair and clean them for reuse, and reinstall them where indicated.
- P. Existing to Remain or Retain: Existing items of construction that are not to be removed and that are not otherwise indicated to be removed and salvaged, or removed and reinstalled.
- Q. Material in Kind: Material that matches existing materials as much as possible, in species, cut, color, grain, and finish.

1.5 SUBMITTALS:

- A. Historic Treatment Program: Submit a written plan for each phase or process, including protection of surrounding materials during operations. Describe in detail materials, methods, and equipment to be used for each phase of the Work.
- B. Alternative Methods and Materials: If alternative methods and materials to those indicated are proposed for any phase of the Work, submit for the Commissioner's approval a written description, including



evidence of successful use on other comparable projects and provide a program of planned testing to demonstrate the effectiveness of the alternative methods and materials for use on this Project.

- C. Qualification Data: Submit qualification data for historic treatment specialists as specified and required by individual sections of the Project specifications.
- D. Photographs for Designated Landmark Structures: Submit photographs in accordance with Section 01 32 33 PHOTOGRAPHIC DOCUMENTATION and as described in this section.
- E. Record Documents: Include modifications to manufacturer's written instructions and procedures, as documented in the historic treatment preconstruction conference and as the Work progresses.

1.6 QUALITY ASSURANCE:

- A. Historic Treatment Specialist Qualifications: Refer to Section 01 40 00 QUALITY REQUIREMENTS for Qualifications for Historic Treatment Specialists.
- B. Historic Treatment Preconstruction Conference: The Resident Engineer will schedule and hold a preconstruction meeting at the site in accordance with Section 01 31 00 PROJECT MANAGEMENT AND COORDINATION.
 - 1. Review manufacturer's written instructions for precautions and effects of products and procedures on building materials, components, and vegetation.
 - a. Record procedures established as a result of the review and distribute to affected parties.

1.7 STORAGE AND PROTECTION OF HISTORIC MATERIALS:

- A. Removed and Salvaged Historic Materials: As specified and required by individual sections of the Project specifications.
- B. Removed and Reinstalled Historic Materials: As specified and required by individual sections of the Project specifications.
- C. Existing Historic Materials to Remain: Protect construction indicated to remain against damage and soiling during historic treatment. When permitted by the Commissioner, items may be removed to a suitable, protected storage location during historic treatment and reinstalled in their original locations after historic treatment operations are complete.
- D. Storage and Protection: When removed from their existing location, store historic materials, at a location acceptable to the Commissioner, within a weather tight enclosure where they are protected from wetting by rain, snow, or ground water, and temperature variations. Secure stored materials to protect from theft.
 - 1. Identify removed items with an inconspicuous mark indicating their original location.

PART II – PRODUCTS (Not Used)

PART III – EXECUTION

3.1 GENERAL PROTECTION:

- A. Comply with manufacturer's written precautions against harmful effects of products and procedures on adjacent building materials, components, and vegetation.
- B. Ensure that supervisory personnel are present when work begins and throughout its progress.
- C. Temporary Protection of Historic Materials during Construction:
 - 1. Protect existing materials during installation of temporary protections and construction. Do not deface or remove existing materials.



2. Attachments of temporary protection to existing construction must be approved by the Commissioner prior to installation.
- D. Protect landscape work adjacent to or within work areas as follows:
1. Provide barriers to protect tree trunks.
 2. Bind spreading shrubs.
 3. Use coverings that allow plants to breathe and remove coverings at the end of each day. Do not cover plant material with a waterproof membrane for more than eight (8) hours at a time.
 4. Set scaffolding and ladder legs away from plants.
- E. Existing Drains: Prior to the start of work or any cleaning operations, test drains and other water removal systems to ensure that drains and systems are functioning properly. Notify the Commissioner immediately of drains or systems that are stopped or blocked. Do not begin Work pertaining to this Section until the drains are in working order.
1. Provide a method to prevent solids, including stone or mortar residue, from entering the drains or drain lines. Clean out drains and drain lines that become blocked or filled by sand or any other solids because of the Work performed under this Contract.
 2. Protect storm drains from pollutants. Block drains or filter out sediments, allowing only clean water to pass.

3.2 PROTECTION DURING USE OF HEAT-GENERATING EQUIPMENT:

- A. No roofing work requiring the use of an open flame will be permitted on any Landmark Structure or any Landmark Quality Structure whose roof or wall structure is made of wood or primarily of wood.
- B. Comply with the following procedures while performing work with heat-generating equipment, including welding, cutting, soldering, brazing, paint removal with heat, and other operations where open flames or implements utilizing heat are used:
1. Obtain Commissioner's approval for operations involving use of open-flame or welding equipment. Notification must be given for each occurrence and location of work with heat-generating equipment.
 2. Where possible, use heat-generating equipment in shop areas or outside the building.
 3. Before work with heat-generating equipment commences, furnish personnel to serve as a fire watch (or watches) for location(s) where work is to be performed.
 4. Do not perform work with heat-generating equipment in or near rooms or in areas where flammable liquids or explosive vapors are present or thought to be present. Use a combustible gas indicator test to ensure that the area is safe.
 5. Remove and keep the area free of combustibles, including rubbish, paper, waste, etc., within the area of operations.
 6. If combustible material cannot be removed, provide fireproof blankets to cover such materials.
 7. Where possible, furnish and use baffles of metal or gypsum board to prevent the spraying of sparks or hot slag into surrounding combustible material.
 8. Prevent the extension of sparks and particles of hot metal through open windows, doors, holes, and cracks in floors, walls, ceilings, roofs, and other openings.
 9. Inspect each location of the day's work not sooner than thirty (30) minutes after completion of operations to detect hidden or smoldering fires and to ensure that proper housekeeping is maintained.



- C. Where sprinkler protection exists and is functional, maintain it without interruption while operations are being performed. If operations are performed close to automatic sprinkler heads, shield the individual heads temporarily with guards.

3.3 PHOTOGRAPHIC DOCUMENTATION:

- A. Photographs for Designated Landmark Structures: Show existing conditions prior to any historic treatments, including one overall photograph and two close-up photographs of all areas of work affected. Show one overall photograph and two close-up photographs of all areas of work after the successful execution of all historical treatments.

3.4 NEW YORK CITY LANDMARKS PRESERVATION COMMISSION FINAL APPROVALS SIGNOFF:

- A. For all projects involving a Landmark Structure or Site, the Contractor, at the completion of the Work, must submit to the Commissioner, in accordance with Section 01 78 39 CONTRACT RECORD DOCUMENTS, all documentation concerning the successful execution of all historic treatments. This must include, but not be limited to, copies of all before and after photographs of historic treatments, one copy of the Contractor's as-built drawings, copies of testing and analysis results, including cleaning, mortar analysis, pointing mortars and all other information pertaining to work performed under the NYC Landmarks Preservation Commission jurisdiction.

END OF SECTION 01 35 91



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**SECTION 01 40 00
QUALITY REQUIREMENTS**

PART I– GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

- A. This Section includes the following:
 - 1. Definitions
 - 2. Engineering Services
 - 3. Conflicting Requirements
 - 4. Quality Assurance
 - 5. Quality Control
 - 6. Approval of Materials
 - 7. Special Inspections (Controlled Inspection)
 - 8. Inspections by Other City Agencies
 - 9. Certificates of Approval
 - 10. Acceptance Tests
 - 11. Repair and Protection
- B. This section includes administrative and procedural requirements for quality control to assure compliance with quality requirements specified in the Contract Documents.
- C. Testing and inspecting services are required to verify compliance with requirements specified or indicated. These services do not relieve Contractor of responsibility for compliance with the Contract Documents.
- D. Specified tests, inspections, and related actions do not limit Contractor's other quality assurance and quality control procedures that facilitate compliance with the Contract Documents.
- E. Provisions of this section do not limit requirements for the Contractor to provide quality assurance and quality control services required by the Commissioner or authorities having jurisdiction.
- F. Specific test and inspection requirements are specified in the individual sections of the Specifications.
- G. LEED: Refer to the Addendum to identify whether the Project is designed to comply with a Certification Level according to the U.S. Green Building Council's Leadership in Energy & Environmental Design (LEED) Rating System, as specified in Section 01 81 13.03 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v3 BUILDINGS or Section 01 81 13.04 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v4 BUILDINGS.
- H. COMMISSIONING: Refer to the Addendum to identify whether the Project will be commissioned by an independent third party under separate contract with the City of New York. Commissioning must be in accordance with ASHRAE and USGBC LEED-NC procedures, as described in Section 01 91 13 GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS and/ or Section 01 91 15 GENERAL COMMISSIONING REQUIREMENTS FOR BUILDING ENCLOSURE. The Contractor must cooperate with the Commissioning Agent and provide whatever assistance is required.



1.3 RELATED SECTIONS: Include without limitation the following:

- A. Section 01 10 00 SUMMARY
- B. Section 01 31 00 PROJECT MANAGEMENT AND COORDINATION
- C. Section 01 32 00 CONSTRUCTION PROGRESS DOCUMENTATION
- D. Section 01 33 00 SUBMITTAL PROCEDURES
- E. Section 01 77 00 CLOSEOUT PROCEDURES
- F. Section 01 78 39 CONTRACT RECORD DOCUMENTS

1.4 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.
- B. Design Consultant: "Design Consultant" means the entity responsible for providing design services for the Project, including without limitation, preparing the construction documents (Drawings and Specifications) and providing services in connection with such documents during construction. The entity serving as the "Design Consultant" may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.
- C. Commissioning: A Total Quality Assurance process that includes checking the design and installation of equipment, as well as performing functional testing of the same to confirm that the installed equipment is operating and in conformance with the Contract Documents and the City's requirements.
- D. Installer/ Applicator/ Erector: Contractor or another entity engaged by Contractor as an employee or Subcontractor, to perform installation, erection, application, assembly and similar operations.
- E. Mockups: Full-size physical assemblies that are constructed on-site either as freestanding temporary built elements or as part of permanent construction. Mockups are constructed to verify selections made under sample Submittals; to demonstrate aesthetic effects and qualities of materials and execution; to review coordination, testing, or operation; to show interface between dissimilar materials; and to demonstrate compliance with specified installation tolerances. Mockups are not samples. Unless otherwise indicated, approved mockups establish the standard by which the Work will be judged.
- F. Preconstruction Testing: Tests and inspections performed specifically for Project before products and materials are incorporated into the Work, to verify performance or compliance with specified criteria.
- G. Product Tests: Tests and inspections that are performed by a Nationally Recognized Testing Laboratory (NRTL) according to 29 CFR 1910.7, by a testing agency accredited according to NIST's National Voluntary Laboratory Accreditation Program (NVLAP), or by a testing agency qualified to conduct product testing and acceptable to authorities having jurisdiction, to establish product performance and compliance with specified requirements.
- H. Source Quality-Control Tests: Tests and inspections that are performed at the source; for example, plant, mill, factory, or shop.
- I. Testing Agency: An entity engaged to perform specific tests, inspections, or both. Testing laboratory means the same as testing agency.



- J. Quality-Assurance Services: Activities, actions, and procedures performed before and during execution of the Work to guard against defects and deficiencies and substantiate that proposed construction will comply with requirements.
- K. Quality-Control Services: Tests, inspections, procedures, and related actions during and after execution of the Work to evaluate that actual products incorporated into the Work and completed construction comply with requirements.

1.5 ENGINEERING SERVICES

- A. Performance and Design Criteria: Where professional design services provided by a professional engineer are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
 - 1. If criteria indicated are insufficient to perform services or certification required, submit a written request for clarification to the Commissioner.

1.6 CONFLICTING REQUIREMENTS:

- A. General: If compliance with two or more standards is specified and the standards establish different or conflicting requirements for minimum quantities or quality levels, the Contractor must comply with the most stringent requirement. The Contractor must refer any uncertainties and/or conflicting requirements to the Commissioner for a decision before proceeding.
- B. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified must be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. The Contractor must refer any uncertainties to the Commissioner for a decision before proceeding.

1.7 QUALITY ASSURANCE:

- A. General: Qualifications paragraphs in this Article establish the minimum qualification levels required. Individual Specification Sections may specify supplementary qualification requirements.
 - 1. **Minimum Experience:** Minimum Experience qualification levels as described herein, apply to all entities indicated in the Specification Sections for the Project, unless such entity requires Special Experience requirements per Subsection 1.7 A.2. below. Individual Specification Sections may specify supplementary qualification requirements.
 - 2. **Special Experience:** Special Experience qualification levels as described herein, apply to all entities indicated in the "Special Experience Requirements" page of the PASSPort procurement. Individual Specification Sections may specify supplementary qualification requirements.
- B. **Minimum Experience qualification levels:**
 - 1. **Qualifications for Installer or Applicator or Erector:** An entity complying with the requirements of authorities having jurisdiction and having, prior to the bid opening, been regularly engaged for a minimum of three (3) consecutive years in installing, erecting, applying, or assembling work in a timely fashion similar in material, design, and extent to that indicated for the Project, and whose work has resulted in construction with a record of successful in-service performance.



2. **Qualifications for Installer or Applicator or Erector requiring approval or certification or authorization by Manufacturer:** An entity complying with the requirements of authorities having jurisdiction and having, prior to the bid opening, been regularly engaged for a minimum of three (3) consecutive years in installing, erecting, applying, or assembling work in a timely fashion similar in material, design, and extent to that indicated for the Project, and whose work has resulted in construction with a record of successful in-service performance. In addition, the entity must be approved, or certified, or authorized by the manufacturers listed in the Specification Section and must be eligible to receive manufacturers' warranty.
3. **Qualifications for Fabricator:** An entity complying with the requirements of authorities having jurisdiction; having, prior to the bid opening, been regularly engaged for a minimum of three (3) consecutive years in producing products similar to those indicated for the Project and having a record of successful in-service performance, as well as sufficient production capacity to produce required units.
4. **Qualifications for Manufacturer:** An entity complying with the requirements of authorities having jurisdiction; having, prior to the bid opening, been regularly engaged for a minimum of three (3) consecutive years in manufacturing products or systems similar to those indicated for the Project; having a record of successful in-service performance for not less than three (3) consecutive years and having sufficient production capacity to produce required units. Manufacturer must meet warranty requirements and technical or factory-authorized service representative requirements.
5. **Qualifications for Specialist:** An entity complying with the requirements of authorities having jurisdiction; satisfying qualification requirements indicated in the Specification Section and having, prior to the bid opening, a minimum of three (3) consecutive years successfully engaged in the activities indicated.

C. Special Experience Qualification Levels:

1. **Special Qualifications for Installer or Applicator or Erector:** An entity complying with the requirements of authorities having jurisdiction and having, prior to the bid opening, been regularly engaged for a minimum of five (5) consecutive years in successfully installing, erecting, applying, or assembling work similar in material and design to that indicated for the Project. Entity must provide documentation of having successfully completed a minimum of three (3) projects similar in scope, size and type as required for the Project.
2. **Special Qualifications for Fabricator:** An entity complying with the requirements of authorities having jurisdiction; having, prior to the bid opening, been regularly engaged for a minimum of five (5) consecutive years in producing products similar to those indicated for the Project; having a record of successful in-service performance, as well as sufficient production capacity to produce required units. Entity must provide documentation of having successfully completed a minimum of three (3) projects similar in nature, size, and extent, to the requirements of the project.
3. **Special Qualifications for Installer of a Manufacturer-Warranted Roof System:** An entity complying with the requirements of authorities having jurisdiction; regularly engaged in performing roofing projects with its own workforce; having successfully completed in a timely fashion within the last three (3) consecutive years prior to the bid opening, at least three (3) roofing projects similar in scope, size and type to the required Project, and having performed at least one (1) of those projects in the last twelve (12) months. The three (3) qualifying projects must have utilized one or more of the roofing systems specified for the project being bid herein, been installed by the entity utilizing its own workforce and must have qualified for, and have been issued, the warranty provided by the manufacturer of the roofing system. In addition, the entity



must be a certified or authorized installer for the manufacturer's roofing systems specified herein and must submit proof of same.

4. **Special Qualifications for Installer of Roof tie-in to maintain existing Roof System**
Warranty: An entity complying with the requirements of authorities having jurisdiction; regularly engaged in performing roofing projects with its own workforce; having successfully completed in a timely fashion within the last three (3) consecutive years prior to the bid opening, at least three (3) roofing projects similar in scope, size and type to the required Project, and having performed at least one (1) of those projects in the last twelve (12) months. The three (3) qualifying projects must have utilized the manufacturer and manufacturer's Product, been installed by the entity utilizing its own workforce and must have qualified for, and have been issued, the warranty provided by the manufacturer listed in the technical specification. In addition, the entity must be a certified or authorized installer for this manufacturer's specified roofing system specified herein and must submit proof of same.
 5. **Special Qualifications for Manufacturer:** An entity complying with the requirements of authorities having jurisdiction; having, prior to the bid opening, been regularly engaged for a minimum of five (5) consecutive years in manufacturing products or systems similar to those indicated for the Project; having completed a minimum of three (3) projects similar in nature, size, and extent, to the requirements of the project; having a record of successful in-service performance, as well as sufficient production capacity to produce required units. Manufacturer must meet warranty requirements, and technical or factory-authorized service representative requirements.
 6. **Special Qualifications for Historic Treatment Specialist:** An entity complying with the requirements of authorities having jurisdiction and having prior to the bid opening, been regularly engaged for a minimum of five (5) consecutive years in successfully completing in a timely fashion projects similar in scope, size, and type to the required work, based on architectural style, construction method and materials and age of building for the project. Entity must provide documentation of having successfully completed a minimum of three (3) projects similar in scope, size and type as required for the Project, and where at least one (1) such prior project of the three (3) must have involved a landmarked building, as officially designated by the City, State, or Federal government.
- D. **Professional Engineer Qualifications:** A professional engineer who is licensed and registered to practice in the State of New York and who is experienced in providing engineering services of the kind indicated. Engineering services are defined as those performed for installations of the system, assembly, or products that are similar to those indicated for the Project in material, design, and extent.
 - E. **Factory-Authorized Service Representative Qualifications:** An authorized representative of manufacturer who is trained and approved by manufacturer to observe and inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for the Project.
 - F. **Testing Agency Qualifications:** A Nationally Recognized Testing Laboratory (NRTL), a National Voluntary Laboratory Accreditation Program (NVLAP), or an independent agency with the experience and capability to conduct testing and inspection indicated, as documented according to ASTM E329 (Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection); and with additional qualifications specified in individual Specification Sections; and, where required by authorities having jurisdiction, that is acceptable to authorities.
 - G. **Preconstruction Testing:** Where testing agency is indicated to perform preconstruction testing for compliance with specified requirements for performance and test methods, comply with the following:
 1. Contractor responsibilities include the following:



- a. Provide test specimens representative of proposed products and construction.
 - b. Submit specimens in a timely manner with sufficient time for testing and analyzing results to prevent delaying the Work.
 - c. Provide sizes and configurations of test assemblies, mockups, and laboratory mockups to adequately demonstrate capability of products to comply with performance requirements.
 - d. Build site-assembled test assemblies and mockups using installers who will perform same tasks for Project.
 - e. Build laboratory mockups at testing facility using personnel, products, and methods of construction indicated for the completed Work.
 - f. When testing is complete, remove test specimens and test assemblies, and mockups, and laboratory mockups; do not reuse products on Project.
2. Testing Agency Responsibility: Submit a certified written report of each test, inspection, and similar quality-assurance service to Commissioner, with copy to Contractor. Interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from the Contract Documents.
- H. Mockups: Before installing portions of the Work requiring mockups, build mockups for each form of construction and finish required to comply with the following requirements, using materials indicated for the completed Work:
1. Build mockups in location and of size indicated or, if not indicated, as directed by the Commissioner.
 2. Notify Commissioner seven (7) days in advance of dates and times when mockups will be constructed.
 3. Demonstrate the proposed range of aesthetic effects and workmanship.
 4. Obtain Commissioner's approval of mockups before starting work, fabrication, or construction.
 5. Maintain mockups during construction in an undisturbed condition as a standard for judging the completed Work.
 6. Demolish and remove mockups when directed, unless otherwise directed or indicated.
- I. Integrated Exterior Mockups: Construct integrated exterior mockup according to approved Shop Drawings or as indicated on Drawings. Coordinate installation of exterior envelope materials and products for which mockups are required in individual Specification Sections, along with supporting materials. Comply with requirements in "Mockups" Paragraph in this Section.
- J. Room Mockups: Construct room mockups according to approved Shop Drawings or as indicated on Drawings, incorporating required materials and assemblies, finished according to requirements. Provide required lighting and additional lighting where required to enable Commissioner to evaluate quality of the Work. Comply with requirements in "Mockups" Paragraph in this Section.
- K. Laboratory Mockups: Comply with the requirements of preconstruction testing and those specified in individual Specification Sections.

1.8 QUALITY CONTROL:

- A. City's Responsibilities: Where quality-control services are indicated as the City's responsibility in the Specifications, the City will engage a qualified testing agency to perform these services. (Refer to Special Inspections Article 1.10.)
1. COST OF TESTS BORNE BY THE CITY: Where the City directs tests to be performed to determine compliance with the Specifications regarding materials or equipment, and where such compliance is ascertained as a result thereof, the City will bear the cost of such tests.
 2. The City will furnish the Contractor with names, addresses, and telephone numbers of testing entities engaged and a description of the types of testing and inspecting they are engaged to perform.



3. Costs for retesting and re-inspecting construction that replaces or is necessitated by work that failed to comply with the Contract Documents will be charged to the Contractor.
- B. Contractor's Responsibility: Tests and inspections not explicitly assigned to the City are the Contractor's responsibility. Unless otherwise indicated, the Contractor must provide quality control services as set forth in the Specifications and those required by authorities having jurisdiction, whether specified or not.
1. **COST OF TESTS BORNE BY CONTRACTOR** – In the case of tests which are specifically called for in the Specifications to be provided by the Contractor or tests which are required by any authority having jurisdiction, but are not indicated as the responsibility of the City, the cost thereof will be borne by the Contractor and will be deemed to be included in the Contract price. The Contractor must reimburse the City for expenditures incurred in providing tests on materials and equipment submitted by the Contractor as the equivalent of that specifically named in the Specifications and rejected for non-compliance.
 2. Where services are indicated as Contractor's responsibility, the Contractor must engage a qualified testing agency to perform these quality-control services. Any testing agency engaged by the Contractor to perform quality control services is subject to prior approval by the Commissioner.
 3. The Contractor must not employ same entity engaged by the City, unless agreed to in writing by the Commissioner.
 4. The Contractor must notify testing agencies and the Commissioner at least 72 hours in advance of the date and time for the performance of Work that requires testing or inspecting.
 5. Where quality control services are indicated as Contractor's responsibility, the Contractor must submit a certified written report of each quality-control service, in triplicate, to the Commissioner.
 6. Testing and inspecting requested by the Contractor and not required by the Contract Documents are Contractor's responsibility.
 7. The Contractor must submit additional copies of each written report directly to authorities having jurisdiction, when they so direct.
- C. Manufacturer's Field Services: Where indicated, the Contractor must engage a factory-authorized service representative to inspect field-assembled components and equipment installation, including service connections. Results must be submitted in writing as specified in Section 01 33 00 SUBMITTAL PROCEDURES. Manufacturer's field representative's services include participation in preinstallation conferences, examination of substrates and conditions, verification of materials, observation of Installer activities, inspection of completed portions of the Work, and submittal of written reports.
- D. Retesting/Re-inspecting: Regardless of whether the original tests or inspections were the Contractor's responsibility, the Contractor must provide quality control services, including retesting and re-inspecting, for construction that replaced Work that failed to comply with the Contract Documents.
- E. Testing Agency Responsibilities: Cooperate with Commissioner and Contractor in performance of duties. Provide qualified personnel to perform required tests and inspections.
1. Notify Commissioner and Contractor promptly of irregularities or deficiencies observed in the Work during performance of its services.
 2. Determine the locations from which test samples will be taken and in which in-situ tests are conducted.
 3. Conduct and interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from requirements.
 4. Submit a certified written report, in duplicate, of each test, inspection, and similar quality-control service through Contractor.
 5. Do not release, revoke, alter, or increase the Contract Document requirements or approve or accept any portion of the Work.
 6. Do not perform duties of Contractor.
- F. Associated Services: The Contractor must cooperate with entities performing required tests, inspections, and similar quality control services, and must provide reasonable auxiliary services as requested. The



Contractor must notify the testing agency sufficiently in advance of operations to permit assignment of personnel. Provide the following:

1. Access to the Work.
 2. Incidental labor and facilities necessary to facilitate tests and inspections.
 3. Adequate quantities of representative samples of materials that require testing and inspecting. Assist testing entity in obtaining samples.
 4. Facilities for storage and field curing of test samples.
 5. Delivery of samples to testing entities.
 6. Design mix proposed for use for material mixes that require control by the testing entity.
 7. Security and protection for samples and for testing and inspecting equipment at the Project site.
- G. Coordination: Coordinate sequence of activities to accommodate required quality assurance and quality control services with minimal delay and avoid necessity of removing and replacing construction to accommodate testing and inspecting.
1. Schedule times for tests, inspections, obtaining samples, and similar activities.
 2. Coordinate and cooperate with the Commissioning Authority/Agent as applicable for start-up, inspection and functional testing in the implementation of the Commissioning Plan.
- H. Manufacturer's Directions: Where the Specifications provide that the manufacturer's directions are to be used, such printed directions must be submitted to the Commissioner.
- I. Inspection of Material: In the event that the Specifications require the Contractor to engage the services of an entity to witness and inspect any material especially manufactured or prepared for use in or part of the permanent construction, such entity will be subject to prior written approval by the Commissioner.
1. NOTICE - The Contractor must give notice in writing to the Commissioner, sufficiently in advance of its intention to commence the manufacture or preparation of materials especially manufactured or prepared for use in or as part of the permanent construction. Such notice must contain a request for inspection, the date of commencement, and the expected date of completion of the manufacture or preparation of materials. Upon receipt of such notice, the Commissioner will arrange to have a representative present at such times during the manufacture as may be necessary to inspect the materials, or the Commissioner will notify the Contractor that the inspection will be made at a point other than the point of manufacture, or the Commissioner will notify the Contractor that inspection will be waived.
- J. No Shipping Before Inspection: The Contractor must comply with the foregoing before shipping any material.
- K. Certificate of Manufacture: When the Commissioner so requires, the Contractor must furnish to the Commissioner, authoritative evidence in the form of Certificates of Manufacture that the materials to be used in the Work have been manufactured and tested in conformity with the Specifications. These certificates must include copies of the results of physical tests and chemical analyses where necessary, that have been made directly on the product, or on similar products being fabricated by the manufacturer. This may include such approvals as the Bureau of Standards and Appeals (B.S.A.), the Materials and Equipment (M.E.A.) acceptance Index, the Bureau of Electrical Control (B.E.C.), etc.
- L. Acceptance: When materials or manufactured products comprise of such quantity that it is not practical to make physical tests or chemical analyses directly on the product furnished, a certificate stating the results of such tests or analyses of similar materials which were concurrently produced may, at the discretion of the Commissioner, be considered as the basis for the acceptance of such material or manufactured product.
- M. Testing Compliance: The testing personnel must make the necessary inspections and tests, and the reports thereof must be in such form as will facilitate checking to determine compliance with the Specifications, indicating thereon all analyses and/or test data and interpreted results thereof.



- N. Reports: Reports in duplicate must be submitted and authoritative certification thereof must be furnished to the Commissioner as a prerequisite for the acceptance of any material or equipment.
- O. Rejections: If, in making any test, it is ascertained by the Commissioner that the material or equipment does not comply with the Specifications, the Contractor will be notified thereof, and will be directed to refrain from delivering said materials or equipment, or to promptly remove it from the site or from the Work and replace it with acceptable material at no additional cost to the City.
- P. Furnish Designated Materials: Upon rejection of any material or equipment submitted as the equivalent of that specifically named in the Specifications, the Contractor must immediately proceed to furnish the designated material or equipment.

1.9 APPROVAL OF MATERIALS:

- A. Local Laws: All materials, appliances and types or methods of construction must be in accordance with the Specifications and must in no event be less than that necessary to conform to the requirements of the New York City (NYC) Construction Codes, Administrative Code and Charter of the City of New York.
- B. Approval of Manufacturer: The names of proposed manufacturers, material suppliers, and dealers who are to furnish materials, fixtures, equipment, appliances or other fittings must be submitted to the Commissioner for approval, as early as possible, to afford proper review and analysis. No manufacturer will be approved for any materials to be furnished under the Contract unless it has a plant of ample capacity and have successfully produced similar products. All approvals of materials or equipment that are legally required by the NYC Construction Codes and other governing authorities must be obtained prior to installation.
- C. All Materials: Fixtures, fittings, supplies and equipment furnished under the Contract must be new and unused, except as approved by the Commissioner, and of standard first-grade quality and of the best workmanship and design. The City of New York encourages the use of recycled products where practical.
- D. INFORMATION TO SUPPLIERS - In asking for prices on materials under any item of the Contract, the Contractor must provide the manufacturer or dealer with such complete information from the Specifications and Contract Drawings as may in any case be necessary, and in every case the Contractor must inform the manufacturer or dealer of all the General Conditions and requirements herein contained.

1.10 SPECIAL INSPECTIONS:

- A. SPECIAL INSPECTIONS:
 - 1. Inspection of selected materials, equipment, installation, fabrication, erection, or placement of components and connections made during the progress of the Work to ensure compliance with the Contract Documents and provisions of the NYC Construction Codes, will be made by a Special Inspector. The City of New York will retain the services of the Special Inspector and bear the costs for the performance of Special Inspections in compliance with NYC Construction Codes requirements or as additionally may be called for in the project specifications, except as noted below for Form TR-3: Technical Report for Concrete Design Mix. The Special Inspector will be an entity that is in compliance with the requirements of the NYC Construction Codes. The Contractor must notify the relevant Special Inspector in writing at least 72 hours before the commencement of any Work requiring special inspection.
 - 2. Form TR3: Technical Report Concrete Design Mix: The Contractor will be responsible for, and bear all costs associated with the filing and securing of approvals, if any, for Form TR3: Technical Report Concrete Design Mix, including, but not limited to, engaging the services of a New York City licensed Concrete Testing Lab for the review and approval of concrete design mix, testing, signatures and professional seals, etc., compliant with NYC Department of Buildings requirements, for each concrete design mix.



3. The Contractor must notify the relevant Special Inspector in writing at least 72 hours before the commencement of any Work requiring Special Inspection. The Contractor will be responsible for and bear related costs to assure that all construction or work has suitable access and remains exposed for inspection purposes until the required inspection is completed.
4. Inspections and tests performed under “Special Inspection” will not relieve the Contractor of the responsibility to comply with the Contract Documents, and that there is no warranty given to the Contractor by the City of New York in connection with such inspection and tests or certifications made under “Special Inspections”.
5. The Contractor must coordinate with the Resident Engineer or DDC Project Manager to provide access and schedule the Work for inspection by the Special Inspector.

1.11 INSPECTIONS BY OTHER CITY AGENCIES:

- A. Letter of Completion: Just prior to Substantial Completion of the Project, the Commissioner will file with the Department of Buildings, an application for a Letter of Completion or a Certificate of Occupancy for the structure.
- B. Final Inspections: In connection with the above-mentioned application for a Letter of Completion or a Certificate of Occupancy and before certificates of final payments are issued, the Contractor will be required to arrange for all final inspections by the inspection staff of the Department of Buildings, Fire Department, or other Governmental Agencies having jurisdiction, and secure all reports, sign offs, certificates, etc., by such inspection staff or other governmental agencies, in order that a Letter of Completion or Certificate of Occupancy can be issued promptly.

1.12 CERTIFICATES OF APPROVAL:

- A. Responsibility: The Contractor will be responsible for and must obtain all final approvals for the Work installed under the Contract in the form of such certificates that are required by all governmental agencies having jurisdiction over the Work of the Contract.
- B. Transmittal: All such certificates must be forwarded to the DDC.

1.13 ACCEPTANCE TESTS:

- A. Government Agencies: All equipment and appliances furnished and installed under the Contract must conform to the requirements of the Specifications and will in no event be less than that necessary to comply with the minimum requirements of the law and all of the governmental agencies having jurisdiction.
- B. Notice of Tests: Whenever the Specifications and/or any governmental agency having jurisdiction requires the acceptance test, the Contractor will give to all concerned, written notice of the time when these tests will be conducted.
- C. Energy: The City will furnish all energy, fuel, water, and light required for tests.
- D. Labor and Materials: The Contractor must furnish labor and all other material and instruments necessary to conduct the acceptance tests at no additional cost to the City.
- E. Certificates: The Final Acceptance by the Commissioner will be contingent upon the Contractor delivering to the Commissioner all necessary certificates evidencing compliance in every respect with the requirements of the regulatory agencies having jurisdiction.
- F. Results: If the results of tests and Special Inspections indicate that the material or procedures do not meet requirements as set forth on the Contract Drawings or in the Specifications or are otherwise unsatisfactory, the Contractor must only proceed as directed by the Commissioner. Additional costs resulting from retesting, re-inspecting, replacing of material and/or damage to the Work and any delay caused to the schedule will be borne by the Contractor.



PART II – PRODUCTS (Not Used)

PART III – EXECUTION

3.1 REPAIR AND PROTECTION

- A. General: On completion of testing, inspecting, sample taking, and similar services, the Contractor must repair damaged construction and restore substrates and finishes.
 - 1. Provide materials and comply with installation requirements specified in other Specification Sections. Restore patched areas and extend restoration into adjoining areas with durable seams that are as invisible as possible.

END OF SECTION 01 40 00



**Department of
Design and
Construction**

Division 01 – DDC STANDARD GENERAL CONDITIONS
SINGLE CONTRACT PROJECTS
Issue Date: July 1, 2022

(No Text on This Page)



**SECTION 01 42 00
REFERENCES**

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 DEFINITIONS:

REFER TO THE ADDENDUM, Article IX, FOR ADDITIONAL DEFINITIONS AND REVISIONS TO THE CONTRACT AND SPECIFICATIONS

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.
- B. "APPROVED," ETC. - "Approved," "acceptable," "satisfactory," and words of similar import will mean and intend approved, acceptable, or satisfactory to the Commissioner.
- C. Design Consultant: "Design Consultant" means the entity responsible for providing design services for the Project, including without limitation, preparing the construction documents (drawings and specifications) and providing services in connection with such documents during construction. The entity serving as the "Design Consultant" may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.
- D. "DIRECTED," "REQUIRED," ETC.- Wherever reference is made in the Contract to the Work or its performance, the terms "directed," "required," "permitted," "ordered," "designated," "prescribed," "determined," and words of similar import will, unless expressed otherwise, imply the direction, requirements, permission, order, designation or prescription of the Commissioner.
- E. "Indicated": Requirements expressed by graphic representations or in written form on Drawings, in Specifications, and in other Contract Documents. Other terms including "shown," "noted," "scheduled," and "specified" have the same meaning as "indicated."
- F. "Furnish": Supply and deliver to Project site, ready for unloading, unpacking, assembly, installation, and similar operations.
- G. "Install": Operations at Project site including unloading, temporarily storing, unpacking, assembling, erecting, placing, anchoring, applying, working to dimension, finishing, curing, protecting, cleaning, and similar operations.
- H. "Provide": Furnish and install, complete and ready for the intended use.
- I. "Project Site": Space available for performing construction activities. The extent of Project site is shown on Drawings.



1.3 CODES, AGENCIES AND REGULATIONS:

A.B.A	Architectural Barriers Act
A.D.A.A.G.	Americans with Disabilities Act (ADA) Accessibility Guidelines
B.G. & E.	Bureau of Gas and Electricity of the City of New York
B.S. A.	New York City Board of Standards and Appeals
DOE	Department of Energy
E.C.C.C.N.Y.S.	Energy Conservation Construction Code of New York State
EPA	Environmental Protection Administration
N.Y.C.C.C.	New York City Construction Codes
N.Y.C.P.C.	New York City Plumbing Code
N.Y.C.B.C.	New York City Building Code
N.Y.C.M.C.	New York City Mechanical Code New York
N.Y.C.F.G.C.	New York City Fuel Gas Code
N.Y.S. D.O.L	New York State Department of Labor
N.Y.C.D.O.B.	New York City Department of Buildings
N.Y.C.D.E.P.	New York City Department of Environmental Protection
N.Y.C.D.O.T.	New York City Department of Transportation
N.Y.C.E.C.	New York City Electrical Code
N.Y.C.E.C.C	New York City Energy Conservation Code
N.Y.C.F.C.	New York City Fire Code
N.Y.S...D.E.C.	New York State Department of Environmental Conservation
O.S.H.A.	Occupational Safety & Health Administration

1.4 INDUSTRY STANDARDS:

- A. STANDARD REFERENCES – Unless otherwise specifically indicated in the Contract Documents, whenever reference is made to the furnishing of materials or testing thereof that conforms to the standards of any technical society, organization or body, it must be construed to mean the latest standard, code, specification adopted and published by that technical society, organization or body, as of the date of the bid opening, unless the provisions of the N.Y.C.C.C. adopts a different or earlier dated version of such standard. All references to the ICC A117.1 are only to the 2009 version, whether or not a specific version is specified.
- B. APPLICABILITY OF STANDARDS: Unless the Contract Documents include more stringent requirements, applicable construction industry standards have the same force and effect, to the extent referenced, as if bound or copied directly into the Contract Documents. Such standards are made a part of the Contract Documents by reference.
- C. CONFLICTING REQUIREMENTS: Where compliance with two or more standards is specified and the standards establish different or conflicting requirements for minimum quantity or quality, comply with the most stringent requirements. Immediately refer uncertainties and requirements that are different but apparently equal, to the Commissioner in writing for a decision before proceeding.



- D. STANDARD SPECIFICATIONS - When no reference is made to a code, standard, or specification, the Standard Specifications of the ASTM or the AIEE, as the case may be, shall govern.
- E. REFERENCES - Reference to a technical society, organization, or body may be made in the Specifications by abbreviations. Abbreviations and acronyms used in the Specifications and other Contract Documents mean the associated name. The following names are subject to change and are believed, but are not assured, to be accurate and up-to-date as of the Issue Date of the Contract Documents.

AA	Aluminum Association, Inc. (The)
AAADM	American Association of Automatic Door Manufacturers
AABC	Associated Air Balance Council
AAMA	American Architectural Manufacturers Association
AAPFCO	Association of American Plant Food Control Officials
AASHTO	American Association of State Highway and Transportation Officials
AATCC	American Association of Textile Chemists and Colorists (The)
ABAA	Air Barrier Association of America
ABMA	American Bearing Manufacturers Association
ACI	ACI International (American Concrete Institute)
ACAC	American Council for Accredited Certification
ACPA	American Concrete Pipe Association
AEIC	Association of Edison Illuminating Companies, Inc. (The)
AF&PA	American Forest & Paper Association
AGA	American Gas Association
AGC	Associated General Contractors of America (The)
AGMA	American Gear Manufacturer Association
AHA	American Hardboard Association (Now part of CPA)
AHAM	Association of Home Appliance Manufacturers



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AI	Asphalt Institute
AIA	American Institute of Architects (The)
AIEE	American Institute of Electrical Engineers
AIHA	American Industrial Hygiene Association
AISC	American Institute of Steel Construction
AISI	American Iron and Steel Institute
AITC	American Institute of Timber Construction
ALCA	Associated Landscape Contractors of America (Now PLANET - Professional Landcare Network)
ALSC	American Lumber Standard Committee, Incorporated
ALI	Automotive Lift Institute
AMCA	Air Movement and Control Association International, Inc.
ANSI	American National Standards Institute
AOSA	Association of Official Seed Analysts, Inc.
APA	APA - The Engineered Wood Association
APA	Architectural Precast Association
API	American Petroleum Institute
ARI	Air-Conditioning & Refrigeration Institute
ARMA	Asphalt Roofing Manufacturers Association
ASA	American Standards Association
ASAE	American Society of Agricultural Engineers
ASCE/SEI	American Society of Civil Engineers, Structural Engineering Institute
ASHRAE	American Society of Heating, Refrigerating and Air-Conditioning Engineers
ASME	American Society of Mechanical Engineers
ASSE	American Society of Sanitary Engineering



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ASTM	ASTM International (Formerly: American Society for Testing and Materials)
AWCI	Association of the Wall and Ceiling Industry
AWCMA	American Window Covering Manufacturers Association (Now WCSC)
AWI	Architectural Woodwork Institute
AWPA	American Wood-Preservers' Association
AWSC	American Welding Society
AWWA	American Water Works Association
BHMA	Builders Hardware Manufacturers Association
BIA	Brick Industry Association (The)
BICSI	Building Industry Consulting Services International
BIFMA	BIFMA International (Business and Institutional Furniture Manufacturer's Association International)
BISSC	Baking Industry Sanitation Standards Committee
CIBSE	Chartered Institute of Building Services Engineers
CCC	Carpet Cushion Council
CDA	Copper Development Association
CEA	Consumer Electronics Association
CESB	Council of Engineering and Scientific Specialty Boards
CFFA	Chemical Fabrics & Film Association, Inc.
CFSEI	Cold-Formed Steel Engineers Institute
CGA	Compressed Gas Association
CGSB	Canadian General Standards Board
CIMA	Cellulose Insulation Manufacturers Association
CIPRA	Cast Iron Pipe Research Association



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CISCA	Ceilings & Interior Systems Construction Association
CISPI	Cast Iron Soil Pipe Institute
CLFMI	Chain Link Fence Manufacturers Institute
CPA	Composite Panel Association
CPPA	Corrugated Polyethylene Pipe Association
CPSC	Consumer Product Safety Commission
CRI	Carpet & Rug Institute (The)
CRSI	Concrete Reinforcing Steel Institute
CSA	Canadian Standards Association
CSI	Cast Stone Institute
CSI	Construction Specifications Institute (The)
CSSA	Certified Steel Stud Association
CSSB	Cedar Shake & Shingle Bureau
CTI	Cooling Technology Institute (Formerly: Cooling Tower Institute)
DASMA	Door and Access Systems Manufacturer's Association International
DHI	Door and Hardware Institute
DOC	U.S. Department of Commerce – National Institute of Standards and Technology
EIA	Electronic Industries Alliance
DOJ	U.S. department of Justice
EIMA	EIFS Industry Members Association
DOL	U.S. Department of labor
EJCDC	Engineers Joint Contract Documents Committee



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DOTn	U.S. Department of Transportation
EN	European Committee of Standards
EJMA	Expansion Joint Manufacturers Association, Inc.
ESD	ESD Association
EVO	Efficiency Valuation Organization
FEMA	Federal Emergency Management Agency
FIBA	Federation Internationale de Basketball Amateur (The International Basketball Federation)
FIVB	Federation Internationale de Volleyball (The International Volleyball Federation)
FMG	FM Global (Formerly: FM - Factory Mutual System)
FMRC	Factory Mutual Research (Now FMG)
FRSA	Florida Roofing, Sheet Metal & Air Conditioning Contractors Association, Inc.
FSA	Fluid Sealing Association
FSC	Forest Stewardship Council
GA	Gypsum Association
GANA	Glass Association of North America
GRI	(Now GSI)
GS	Green Seal
GSI	Geosynthetic Institute
HI	Hydraulic Institute
HI	Hydronics Institute
HMMA	Hollow Metal Manufacturers Association (Part of NAAMM)
HPVA	Hardwood Plywood & Veneer Association
HPW	H. P. White Laboratory, Inc.



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HUD	U.S. Department of Housing and Urban Development
IAPMO	International Association of Plumbing and Mechanical Officials
IAS	International Approval Services (Now CSA International)
IBF	International Badminton Federation
ICC	International Code Council, Inc.
ICEA	Insulated Cable Engineers Association, Inc.
ICRI	International Concrete Repair Institute, Inc.
IEC	International Electrotechnical Commission
IEEE	Institute of Electrical and Electronics Engineers, Inc. (The)
IESNA	Illuminating Engineering Society of North America
IEST	Institute of Environmental Sciences and Technology
IGCC	Insulating Glass Certification Council
IGMA	Insulating Glass Manufacturers Alliance
IICRC	Institute of Inspection, Cleaning, and Restoration
ILIA	Indiana Limestone Institute of America, Inc.
IPEMA	International Play Equipment Manufacturers Association
ISA	International Society of Arboriculture
ISO	International Organization for Standardization
ISSFA	International Solid Surface Fabricators Association
ITS	Intertek
ITU	International Telecommunication Union
KCMA	Kitchen Cabinet Manufacturers Association
LMA	Laminating Materials Association (Now part of CPA)



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LPI	Lightning Protection Institute
MBMA	Metal Building Manufacturers Association
MFMA	Maple Flooring Manufacturers Association, Inc.
MFMA	Metal Framing Manufacturers Association
MH	Material Handling (Now MHIA)
MHIA	Material Handling Industry of America
MIA	Marble Institute of America
MIL	Military Specification Standards of the US Dept of Defense
MPEG	Moving Picture Experts Group
MPI	Master Painters Institute
MSS	Manufacturers Standardization Society of The Valve and Fittings Industry Inc.
NAAMM	National Association of Architectural Metal Manufacturers
NACE	NACE International (National Association of Corrosion Engineers International)
NADCA	National Air Duct Cleaners Association
NAGWS	National Association for Girls and Women in Sport
NAIMA	North American Insulation Manufacturers Association
NBA	National Basketball Association
NBGQA	National Building Granite Quarries Association, Inc.
NCAA	National Collegiate Athletic Association (The)
NCMA	National Concrete Masonry Association
NCPI	National Clay Pipe Institute
NCTA	National Cable & Telecommunications Association
NEBB	National Environmental Balancing Bureau



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NECA	National Electrical Contractors Association
NeLMA	Northeastern Lumber Manufacturers' Association
NEMA	National Electrical Manufacturers Association
NETA	InterNational Electrical Testing Association
NFHS	National Federation of State High School Associations
NFPA	NFPA (National Fire Protection Association)
NFRC	National Fenestration Rating Council
NGA	National Glass Association
NHLA	National Hardwood Lumber Association
NICET	National Institute for Certification in Engineering Technologies
NLGA	National Lumber Grades Authority
NIS	National Institute of Standards and Technology
NOFMA	NOFMA: The Wood Flooring Manufacturers Association (Formerly: National Oak Flooring Manufacturers Association)
NRCA	National Roofing Contractors Association
NRDCA	National Roof Deck Association
NRMCA	National Ready Mixed Concrete Association
NSI	Natural Stone Institute
NSF	NSF International (National Sanitation Foundation International)
NSSGA	National Stone, Sand & Gravel Association
NTMA	National Terrazzo & Mosaic Association, Inc. (The)
NTRMA	National Tile Roofing Manufacturers Association (Now TRI)
NWWDA	National Wood Window and Door Association (Now WDMA)
OPL	Omega Point Laboratories, Inc. (Acquired by ITS - Intertek)



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PCI	Precast / Pre-stressed Concrete Institute
PDCA	Painting & Decorating Contractors of America
PDI	Plumbing & Drainage Institute
PGI	PVC Geomembrane Institute
PLANET	Professional Landcare Network (Formerly: ACLA - Associated Landscape Contractors of America)
PPS	Power Piping Society
PTI	Post-Tensioning Institute
RCSC	Research Council on Structural Connections
RFCI	Resilient Floor Covering Institute
RIS	Redwood Inspection Service
RMI	Rack Manufacturers Institute
RTI	(Formerly: NTRMA - National Tile Roofing Manufacturers Association) (Now TRI)
RUS	Rural Utilities Service, Department of Agriculture
SAE	SAE International
SCAQMD	South Coast Air Quality Management District
SCS	Scientific Certification System
SDI	Steel Deck Institute
SDI	Steel Door Institute
SEFA	Scientific Equipment and Furniture Association
SGCC	Safety Glazing Certification Council
SHBI	Steel Heating Boiler Institute
SIA	Security Industry Association
SIGMA	Sealed Insulating Glass Manufacturers Association (Now IGMA)



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SFIA	Steel Framing Industry Association
SJI	Steel Joist Institute
SMA	Screen Manufacturers Association
SMACNA	Sheet Metal and Air Conditioning Contractors' National Association
SMPTE	Society of Motion Picture and Television Engineers
SPFA	Spray Polyurethane Foam Alliance (Formerly: SPI/SPFD - The Society of the Plastics Industry, Inc.; Spray Polyurethane Foam Division)
SPIB	Southern Pine Inspection Bureau (The)
SPRI	Single Ply Roofing Industry
SSINA	Specialty Steel Industry of North America
SSMA	the Steel Stud Manufacturers Association
SSPC	SSPC: The Society for Protective Coatings
SSSA	Soil Science Society of America
STI	Steel Tank Institute
SWI	Steel Window Institute
SWRI	Sealant, Waterproofing, & Restoration Institute
TABB	Testing, Adjusting, and Balancing Bureau
TCA	Tile Council of America, Inc.
TIA/EIA	Telecommunications Industry Association/Electronic Industries Alliance
TMS	The Masonry Society
TPI	Truss Plate Institute, Inc.
TPI	Turfgrass Producers International
TRI	Tile Roofing Institute (Formerly: RTI - Roof Tile Institute)
UL	Underwriters Laboratories Inc.



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ULC	Underwriters Laboratories of Canada
UNI	Uni-Bell PVC Pipe Association
USAV	USA Volleyball
USC	United States Code
USGBC	U.S. Green Building Council
USITT	United States Institute for Theatre Technology, Inc.
WASTEC	Waste Equipment Technology Association
WCLIB	West Coast Lumber Inspection Bureau
WCMA	Window Covering Manufacturers Association (Now WCSC)
WCSC	Window Covering Safety Council (Formerly: WCMA - Window Covering Manufacturers Association)
WDMA	Window & Door Manufacturers Association (Formerly: NWWDA - National Wood Window and Door Association)
WNBA	Women's National Basketball Association
WI	Woodwork Institute (Formerly: WIC - Woodwork Institute of California)
WIC	Woodwork Institute of California (Now WI)
WMMPA	Wood Moulding & Millwork Producers Association
WRI	Wire Reinforcement Institute, Inc.
USEPA	United States Environmental Protection Agency
WSRCA	Western States Roofing Contractors Association
WWPA	Western Wood Products Association

PART II – PRODUCTS (Not Used)

PART III – EXECUTION (Not Used)

END OF SECTION 01 42 00



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**SECTION 01 50 00
TEMPORARY FACILITIES, SERVICES AND CONTROLS**

PART I– GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

- A. This section includes the following:
 - 1. Temporary Water System
 - 2. Temporary Sanitary Facilities
 - 3. Temporary Electric Power, Temporary Lighting System, and Site Security Lighting
 - 4. Temporary Heat
 - 5. Dewatering Facilities and Drains
 - 6. Temporary Field Office for Contractor
 - 7. DDC Field Office
 - 8. Material Sheds
 - 9. Temporary Enclosures
 - 10. Temporary Partitions
 - 11. Temporary Fire Protection
 - 12. Work Fence Enclosure
 - 13. Rodent and Insect Control
 - 14. Plant Pest Control Requirements
 - 15. Project Identification Signage
 - 16. Project Construction Sign and Rendering
 - 17. Security Guards/Fire Guards on Site
 - 18. Safety

1.3 RELATED SECTIONS: include without limitation the following:

- A. Section 01 10 00 SUMMARY
- B. Section 01 42 00 REFERENCES
- C. Section 01 54 11 TEMPORARY ELEVATORS AND HOISTS
- D. Section 01 54 23 TEMPORARY SCAFFOLDS AND SWING STAGING
- E. Section 01 77 00 CLOSE OUT PROCEDURES

1.4 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.



<u>Term</u>	<u>Definition</u>
Design Consultant	The entity responsible for providing design services for the Project, including without limitation, preparing the construction documents (drawings and specifications) and providing services in connection with such documents during construction. The entity serving as the Design Consultant may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.
Permanent Enclosure	As determined by the Commissioner, permanent or temporary roofing that is complete, insulated, and weather tight; exterior walls which are insulated and weather tight; and all openings that are closed with permanent construction or substantial temporary closures.

1.5 SUBMITTALS:

- A. Site Plan: Show temporary facilities, utility hookups, staging areas, and parking areas for construction personnel.
- B. Reports: Submit reports of tests, inspections, meter readings and similar procedures for temporary use.

1.6 PROJECT CONDITIONS:

- A. Temporary Use of Permanent Facilities and Services: The Contractor will be responsible for the operation, maintenance, and protection of each permanent facility and service during its use as a construction facility before Final Acceptance by the City, regardless of previously assigned responsibilities.
- B. The Contractor must install, operate, maintain and protect temporary facilities, services, and controls, including without limitation:
 - 1. Keep temporary services and facilities clean and neat in appearance;
 - 2. Operate temporary services in a safe and efficient manner;
 - 3. Relocate temporary services and facilities as needed as Work progresses;
 - 4. Do not overload temporary services and facilities or permit them to interfere with progress;
 - 5. Provide necessary fire prevention measures; and
 - 6. Do not allow hazardous, dangerous or unsanitary conditions, or public nuisances to develop or persist on-Site.

1.7 NON-REGULAR WORK HOURS (OVERTIME):

- A. The Contractor must provide the temporary services, facilities and controls set forth in this section during non-regular working hours if the Contract Drawings and/or the Specifications indicate that the Work, or specific components thereof, must be performed during non-regular working hours. In such case, all costs for the provision of temporary services, facilities and controls during non-regular working hours will be deemed included in the total Contract price.
- B. The Contractor must provide the temporary services, facilities and controls set forth in this section during non-regular working hours if a change order is issued directing the Contractor to perform the Work, or specific components thereof, during non-regular working hours. In such case, compensation for the provision of temporary services, facilities and controls during non-regular working hours will be provided



through the change order.

1.8 SERVICES BEYOND COMPLETION DATE:

- A. The Contractor must provide the temporary services, facilities and controls set forth in this section until the date on which it completes all required Work at the Site, including all Final Approved Punch List Work, as certified in writing by the Resident Engineer, or earlier if so directed in writing by the Commissioner. The Contractor must provide such temporary services, facilities and controls even if completion of all required Work at the Site occurs after the time fixed for such completion in Schedule A.

PART II – PRODUCTS

2.1 MATERIALS:

- A. The Contractor must provide undamaged materials in serviceable condition and suitable for use intended.
- B. Tarpaulins: Waterproof, fire-resistant UL labeled with flame spread rating of fifteen (15) or less. For temporary enclosures, provide translucent, nylon-reinforced, laminated polyethylene or polyvinyl chloride, fire-retardant tarpaulins.
- C. Water: Potable and in compliance with requirements of the New York City Department of Environmental Protection (DEP).

2.2 EQUIPMENT:

- A. The Contractor must provide undamaged equipment in serviceable condition and suitable for use intended.
- B. Water Hoses: Heavy-duty abrasive-resistant flexible rubber hoses, one hundred (100) feet (thirty (30) m) long with pressure rating greater than the maximum pressure of the water distribution system. Provide adjustable shutoff nozzles at hose discharge.
- C. Electric Power Cords: Grounded extension cords.
 - 1. Provide hard-service cords where exposed to abrasion or traffic.
 - 2. Provide waterproof connectors to connect separate lengths of electric cords where single lengths do not reach areas of construction Activity.
 - 3. Do not exceed safe length-voltage ratio.
- D. Fire Extinguishers: Portable, UL rated; with class and extinguishing agent as required by locations and classes of fire exposures.

PART III –EXECUTION:

3.1 INSTALLATION, GENERAL:

- A. The Contractor must locate facilities where they will serve the Project adequately and result in minimum interference with performance of the Work. Relocate and modify facilities as required by progress of the Work.
- B. The Contractor must provide each facility ready for use when needed to avoid delay. The Contractor must not remove until facilities are no longer needed or are replaced by authorized use of completed permanent facilities as approved by the Resident Engineer.



3.2 TEMPORARY WATER SYSTEM:

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.2 A

- A. **TEMPORARY WATER SYSTEM - NEW FACILITIES:** During construction, the Contractor must furnish a Temporary Water System as set forth below.
1. Immediately after the Commissioner has issued an order to start the Work, the Contractor must file an application with DEP for the schedule of charges for water use during construction. The Contractor will be responsible for payment of water charges.
 2. Immediately after the Commissioner has issued an order to start the Work, the Contractor must file an application with DEP's Bureau of Water Supply and obtain a permit to install the temporary water supply system. The system must be installed and maintained for the use of the Contractor and its subcontractors. A copy of the above-mentioned permit must be filed with the Commissioner. The Contractor must provide temporary water main, risers and waste stacks as directed and install on each floor, outlets with two (2) 3/4" hose valve connections over a barrel installed on a steel pan. The Contractor must provide drains from the pans to the stack and house sewer and hose bibs to drain the water supply risers and mains. During winter months, the Contractor must take the necessary precautions to prevent the temporary water system from freezing. The Contractor must provide repairs to the temporary water supply system for the duration of the Project until said temporary system is dismantled and removed.
 3. Disposition of Temporary Water System: The Contractor will be responsible for dismantling the temporary water system when no longer required for the construction operations, or when replaced by the permanent water system installed for the Project, or as otherwise directed by the Resident Engineer. All repair work resulting from the dismantling of the temporary water system will be the responsibility of the Contractor.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.2 B

- B. **TEMPORARY WATER SYSTEM – PROJECTS IN EXISTING FACILITIES:**
1. When approved by the Commissioner, use of existing water system will be permitted for temporary water service during construction, as long as the system is cleaned and maintained in a condition acceptable to the Commissioner. At Substantial Completion, the Contractor must restore the existing water system to conditions existing before initial use.
 2. The Contractor will be responsible for all repairs to the existing water system permitted to be used for temporary water service during construction. The Contractor will be responsible to maintain the existing system in a clean condition on a daily basis, acceptable to the Commissioner.
 3. The Contractor will be responsible for payment of water charges as directed by the Commissioner. Billing will be in accordance with the New York City Water Board Water and Wastewater Rate Schedule.
- C. **WASH FACILITIES:** The Contractor must install wash facilities supplied with potable water at convenient locations for personnel involved in handling materials that require wash-up for a healthy and sanitary condition, including without limitation:
1. Dispose of drainage properly;
 2. Supply cleaning compounds appropriate for each condition; and
 3. Include safety showers, eyewash fountains and similar facilities for the convenience, safety and sanitation of personnel.
- D. **DRINKING WATER FACILITIES:** The Contractor must provide drinking water fountains or containerized tap-dispenser bottled-drinking water units, complete with paper cup supplies. Where power is available, provide



electric water coolers to maintain dispensed water temperature at forty-five (45) to fifty-five (55) deg. F (7 to 13 deg. C).

3.3 TEMPORARY SANITARY FACILITIES:

- A. The Contractor must provide toilets, wash facilities, and drinking water fixtures in compliance with regulations and health codes for type, number, location, operation and maintenance of fixtures and facilities. Provide toilet tissue, paper towels, paper cups and similar disposable materials as appropriate for each facility, and provide covered waste containers for used materials.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.3 B

- B. SELF-CONTAINED TOILET UNITS:

- 1. The Contractor must provide temporary single-occupant toilet units of the chemical, aerated recirculation, or combustion type for use by all construction personnel. Units must be properly vented and fully enclosed with a glass-fiber-reinforced polyester shell or similar nonabsorbent material. Quantity of toilet units must comply with the latest Occupational Safety and Health Administration (OSHA) regulations.
- 2. Toilets: The Contractor must install separate, self-contained toilet units for male and female personnel. Shield toilets to ensure privacy.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.3 C

- C. EXISTING TOILETS:

- 1. TOILET FACILITIES: When approved by the Commissioner, the Contractor must arrange for the use of existing toilet facilities by all personnel during the execution of the Work. The Contractor will be responsible to clean and maintain facilities in a condition acceptable to the Resident Engineer and, at Substantial Completion, to restore facilities to the condition at the time of initial use.
- 2. MAINTENANCE - The Contractor must maintain the temporary toilet facilities in a clean and sanitary manner and make all necessary repairs.
- 3. NUISANCES - The Contractor must not cause any sanitary nuisance to be committed by its employees or the employees of its subcontractors in or about the Work and must enforce all sanitary regulations of the City and State Health Authorities.

3.4 TEMPORARY ELECTRIC POWER, TEMPORARY LIGHTING SYSTEM, AND SITE SECURITY LIGHTING:

- A. SCOPE: This section sets forth the General Conditions and procedures relating to Temporary Electric Power, Temporary Lighting System, and Site Security Lighting during the construction period.
- B. TEMPORARY ELECTRIC POWER: The Contractor must provide and maintain a temporary electric power service and distribution system of sufficient size, capacity and power characteristics required for construction operations for all required Work by the Contractor and its subcontractors, including but not limited to, power for the temporary lighting system, site security lighting, construction equipment, hoists, temporary elevators and all field offices. temporary electric power must be provided as follows:

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.4 B (1)

- 1. CONNECTION TO UTILITY LINES:

- a. Temporary electric power service for use during construction must be provided as follows: The Contractor must make all necessary arrangements with the public utility company and pay all charges for the Temporary Electric Power system. The Contractor must include in its total Contract price any charges for temporary electric power, including charges that may be made



- by the public utility company for extending its electrical facilities, and for making final connections. The Contractor will make payment directly to the public utility company.
- b. APPLICATIONS FOR METER: The Contractor must complete an application to the public utility company and sign all documents necessary for, and pay all charges incidental to, the installation of a watt hour meter or meters for Temporary Electric Power. The Contractor must pay to the public utility company all bills for temporary electric energy used throughout the Work as they become due.
 - c. SERVICE AND METERING EQUIPMENT: The Contractor must furnish and install, at a suitable location on the Site, approved service and metering equipment for the Temporary Electric Power System, ready for the installation of the public utility company's metering devices. The temporary service mains to and from the metering location must not be less than one hundred (100) Amperes, 3-phase, 4-wire and must be of sufficient capacity to take care of all demands for all construction operations and must meet all requirements of the New York City Electrical Code.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.4 B (2)

- 2. CONNECTION TO EXISTING ELECTRICAL POWER SERVICE:
 - a. When approved by the Commissioner, electrical power service for the temporary lighting system and for the operation of small tools and equipment less than ¼ horsepower may be taken from the existing electric distribution system if the existing system is of adequate capacity for the temporary power load. The Contractor must cooperate and coordinate with the facility custodian, so as not to interfere with the normal operation of the facility.
 - b. There will be no charge to the Contractor for the electrical energy consumed.
 - c. The Contractor must provide, maintain and pay all costs for separate temporary electric power for any temporary power for equipment larger than 1/4 horsepower. When directed by the Commissioner, the Contractor must remove its own temporary power system.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.4 B (3)

- 3. ELECTRICAL GENERATOR POWER SERVICE:
 - a. When connection to utility lines or existing facility electric service is not available or is not adequate to supply the electric power need for construction operations, the Contractor must provide self-contained generators to provide power beyond that available.
 - b. Pay for all energy consumed in the progress of the Work, exclusive of that available from the existing facility or utility company.
 - c. Provide for control of noise from the generators.
 - d. Comply with the Ultra Low Sulfur Fuel in Non-Road Vehicles requirements as set forth in Article 5.4 of the Contract.
- C. USE OF COMPLETED PORTIONS OF THE ELECTRICAL WORK:
 - 1. USE OF MAIN DISTRIBUTION PANEL: As soon as the permanent electric service feeders and equipment metering equipment and main distribution panel are installed and ready for operation, the Contractor must have the temporary lighting and power system changed over from the temporary service points to the main distribution panel.
 - 2. COST OF CHANGE OVER: The Contractor will be responsible for all costs due to this change over of service and it must also make application to the public utility company for a watt hour meter to be set on the permanent meter equipment.



3. The requirements for temporary electric power service specified herein must be adhered to after change over of service until Final Acceptance of the Project.
4. **NO EXTRA COST:** The operation of the service and switchboard equipment will be under the supervision of the Contractor, but this will in no way be interpreted to mean the acceptance of such part of the installation or relieve the Contractor from its responsibility for the complete Work or any part thereof. There will be no additional charge for supervision by the Contractor.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.4 D

D. TEMPORARY LIGHTING SYSTEM:

1. The Contractor must provide adequate service for the temporary lighting system, or a minimum of one hundred (100) Amperes, 3-phase, 4-wire service for the temporary lighting system, whichever is greater, and make all necessary arrangements with the public utility company and pay all charges by them for the Temporary Lighting System.
2. The Contractor must furnish and connect to the metered service point a Temporary Lighting System to illuminate the entire area where Work is being performed and points adjacent to the Work, with separately fused circuits for stairways and bridges. Control switches for stairway circuits must be located near entrance on ground floor.
3. **ITEMS:** The Temporary Lighting System provided by the Contractor must consist of wiring, fixtures, left-hand double sockets (one (1) double socket for every 400 square feet, with one (1) lamp and one (1) three-prong outlet), lamps, fuses, locked-type guards, pigtails and any other incidental material. Additional details may be outlined in the detailed Specifications for the electrical Work. Changes may be made, provided the full equivalent of those requirements is maintained.
4. The Temporary Lighting System will be progressively installed as required for the advancement of the Work under the Contract.
5. **RELOCATION:** The cost for the relocation or extension of the original Temporary Lighting System, as required by the Contractor or its subcontractors, that is not required due to the normal advancement of the Work, as determined by the Resident Engineer, will be borne by the Contractor.
6. **PIGTAILS:** The Contractor must furnish pigtails with left-hand sockets with locked-type guards and forty (40) feet of rubber covered cable. The Contractor must furnish and distribute a minimum of three (3) complete pigtails to each subcontractor. See the detailed Electrical Specifications for possible additional pigtails required.
7. **LAMPS:** The Contractor must furnish and install one (1) complete set of lamps, including those for the trailers. Broken and burned out lamps in the temporary lighting system, DDC field office, and construction trailers must be replaced by the Contractor. All lamps must be compact fluorescent.
8. **CIRCUIT PROTECTION:** The Contractor must furnish and install Ground Fault Interruption (GFI) protection for the temporary lighting and site security lighting systems.
9. **MAINTENANCE OF TEMPORARY LIGHTING SYSTEM:**
 - a. The Contractor must maintain the Temporary Lighting System in good working order during the scheduled hours established.
 - b. The Contractor must include in its total Contract price all costs in connection with the Temporary Lighting System, including all costs for installation, maintenance and electric power.
10. **REMOVAL OF TEMPORARY LIGHTING SYSTEM:** The temporary lighting system must be removed by the Contractor when authorized by the Commissioner.



11. **HAND TOOLS:** The temporary lighting system must not be used for power purposes, except that light hand tools not larger than 1/4 horsepower may be operated from such system by the Contractor and its subcontractors.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.4 E

E. SITE SECURITY LIGHTING (NEW CONSTRUCTION ONLY):

1. The Contractor must furnish, install and maintain a system of site security lighting, as herein specified, to illuminate the construction Site of the Project, with the system connected to and energized from the Temporary Lighting System. All costs in connection with site security lighting will be deemed included in the total Contract price.
2. It is essential that the site security lighting system be completely installed and operating at the earliest possible date. The Contractor must direct its subcontractors to cooperate, coordinate and exert every effort to accomplish an early complete installation of the site security lighting system. If, after the system is installed and in operation, a part of the system interferes with the Work of any trade, the Contractor will be completely responsible for the expense of removing, relocating, and replacing all equipment necessary to reinstate the system to proper operating conditions.
3. The system must consist of flood lighting by pole-mounted guarded sealed-beam units. Floodlight units must be mounted sixteen (16) feet above grade. Floodlights must be spaced around the perimeter of the Site to produce an illumination level of no less than one (1) foot candle around the perimeter of the Site, as well as in any potentially hazardous area or any other area within the Site that might be deemed by the Resident Engineer to require security illumination. The system must be installed in a manner acceptable to the Resident Engineer. The first lighting unit in each circuit must be provided with a photoelectric cell for automatic control. The photoelectric cell must be installed as per manufacturer's recommendations.
4. All necessary poles must be furnished and installed by the Contractor.
5. The site security lighting must be kept illuminated at all times during the hours of darkness. The Contractor must, at its own expense, keep the system in operation and must furnish and install all material necessary to replace all damaged or burned out parts.
6. The Contractor must be on telephone call alert for maintaining the system during the operating period stated above.
7. All materials and equipment furnished under this section will remain the property of the Contractor and must be removed and disposed of by the Contractor when authorized in writing by the Resident Engineer.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.5

3.5 TEMPORARY HEAT:

A. GENERAL:

1. **Definition:** The provision of Temporary Heat means the provision of heat in order to permit construction to be performed in accordance with the Progress Schedule during all seasons of the year and to protect the Work from the harmful effects of low temperature. In the event the building, or any portion thereof, is occupied during construction, the provision of Temporary Heat will include the provision of heat to permit normal operations in such occupied areas.
 - a. The provision of Temporary Heat must be in accordance with the temperature requirements set forth in sub-section 3.5 C herein.
 - b. The provision of Temporary Heat must include the provision of: 1) all fuel necessary and required, 2) all equipment necessary and required, and 3) all operating labor necessary and required.



- required. Operating labor must mean that minimum force required for the safe day-to-day operation of the system for the provision of Temporary Heat and must include, without limitation, heating maintenance labor and/or fire watch as required by New York City Fire Department (FDNY) regulations. Operating labor may be required seven (7) days per week and during non-regular working hours, for the period of time required by seasonal weather conditions.
- c. In the event the building, or any portion thereof, is occupied and the Project involves the replacement, modification, and/or shut down of the permanent heating system, or any key component thereof, and such system is a combined system which furnishes domestic hot water for the building occupants, the provision of Temporary Heat must include the provision of domestic hot water at the same temperature as the system which is being replaced. Domestic hot water must be provided in accordance with the phasing requirements set forth in the Contract Documents.
2. Responsibility: The Contractor's responsibility for the provision of Temporary Heat, including all expenses in connection therewith, is as set forth below:
- a. Projects involving enclosure of the building:
 - 1) Prior to Enclosure: Until the Commissioner determines that the building has been enclosed, as set forth in sub-section 3.5 B, the Contractor is responsible for the provision of Temporary Heat.
 - 2) Post Enclosure: Once the Commissioner determines that the building, or any portion thereof, has been enclosed, as set forth in sub-section 3.5 B, the Contractor is responsible for the provision of Temporary Heat by one or more of the following means: 1) by an existing heating system (if any), 2) by a permanent heating system which is being installed as part of the Project, or 3) by a temporary heating system(s).
 - 3) The Contractor must, within two (2) weeks of the kick-off meeting, submit to DDC for review its proposed plan to provide Temporary Heat. Such plan is subject to approval by the Resident Engineer. The Contractor must provide Temporary Heat in accordance with the approved plan until written acceptance by the Commissioner of the Work of all subcontractors, including punch list Work, unless directed otherwise in writing by the Commissioner. The responsibility of the Contractor provided for herein is subject to the exception set forth in sub-section 3.5 A.2 (b) herein.
 - b. Projects not involving enclosure of the building:
 - 1) If the Project involves the installation of a new permanent heating system if one did not exist previously, or the replacement, modification, and/or shut down of the existing permanent heating system, or any key component thereof, the Contractor will be responsible for the provision of Temporary Heat, except as otherwise provided in sub-section 3.5 H.3(b).2 herein.
 - 2) If the Project does not involve the installation of a new permanent heating system if one did not exist previously, or the replacement, modification, and/or shut down of the existing permanent heating system, or any key component thereof, there is no Contractor responsibility of the provision of Temporary Heat, unless otherwise specified in the Contract Documents. However, if the Commissioner, pursuant to sub-section 3.5 H.3 (b).1 herein, determines that the provision of Temporary Heat is necessary due to special and/or unforeseen circumstances, the Contractor will be responsible for the provision of Temporary Heat and must be paid for the same in accordance with sub-section 3.5 H.3 (b).1 herein.



B. ENCLOSURE OF STRUCTURES:

1. Notification: The Contractor must notify all its subcontractors and the Resident Engineer at least thirty (30) Days prior to the anticipated date that the building(s) will be enclosed.
2. Commissioner Determination: The Commissioner will determine whether the building, or any portion thereof, has been enclosed. As indicated in sub-section 3.5 A.2 above, once the building has been enclosed, the Contractor will be responsible for the provision of Temporary Heat. The Commissioner's determination with respect to building enclosure will be based upon all relevant facts and circumstances, including without limitation, 1) whether the building meets the criteria set forth in Paragraph 3 below, and 2) whether the openings in the building, such as doorways and windows, have been sufficiently covered so as to provide reasonable heat retention and protection from the elements.
3. Criteria for enclosure:
 - a. Roof Area:
 - 1) A building will be considered to be roofed when the area to be roofed is covered by a permanent structure and all openings through the permanent structure are covered and protected by temporary covers as described in Paragraph (c) below.
 - 2) Intermediate floor structures of multi-floor buildings will be considered to be roofed subject to the same requirements of the building roof.
 - 3) The final roofing system need not be in place for the building or structure to be determined to be enclosed, provided, however, all openings through the permanent structure covering the roof must be covered and protected by temporary covers, as described in Paragraph (c) below.
 - b. Walls: For the walls to be determined to be enclosed, permanent exterior wall elements or facing material must be in place and all openings must be covered and protected by temporary covers, as described in Paragraph (c) below.
 - c. Temporary Covers: In order to be acceptable, temporary covers must be securely fixed to prevent the entrance of rain, snow and direct wind. The minimum material requirements for temporary covers are as follows: 1) minimum ten (10) millimeter plastic, 2) minimum twelve (12) ounce waterproof canvas tarpaulins, or 3) a minimum three-eighths (3/8) inch thickness exterior grade plywood.
 - d. Temporary covers for openings will be the responsibility of the Contractor and such Work will be deemed included in the Contract price.

C. TEMPERATURE REQUIREMENTS:

1. Unoccupied Buildings: The temperature requirement for the provision of Temporary Heat in unoccupied buildings will be the GREATER of the following: 1) fifty (50) degrees Fahrenheit, or 2) the temperature requirement for the particular type of Work set forth in the Contract Documents.
2. Occupied Buildings: The temperature requirement for the provision of Temporary Heat in occupied buildings, or portions thereof, will be the GREATER of the following: 1) sixty-eight (68) degrees Fahrenheit, or 2) the temperature requirement for the particular type of Work set forth in the Contract Documents.

D. DURATION:

1. The Contractor must be required to provide Temporary Heat until Final Acceptance, including all punch list work, as certified in writing by the Resident Engineer, or earlier if so directed in writing by the Commissioner. The Contractor must be responsible for the provision of Temporary Heat for the time specified herein, regardless of any delays in completion of the Project, including delays that



result in the commencement of the provision of Temporary Heat during a season that is later than that which may have been originally anticipated. The Contractor must include in its total Contract price all expenses in connection with the provision of Temporary Heat in accordance with the requirements specified herein.

2. The total Contract duration is set forth in Schedule A of the Addendum. The table set forth below indicates the number of full heating seasons that are deemed included in various Contract durations, which are specified in CCDs. At a minimum, a full heating season must extend from October 15th to April 15th.

<u>Contract Duration</u>	<u>Full Heating Seasons Required</u>
up to 360 CCD	1 full heating season
360 to 720 CCD	2 full heating seasons
more than 720 CCD	3 full heating seasons

E. METHOD OF TEMPORARY HEAT:

1. The method of temporary heat must be in conformance with the New York City Fire Code and with all applicable laws, rules, and regulations. Prior to implementation, such method must be subject to the written approval of the Commissioner.
2. The method of temporary heat must:
 - a. Not cause the deposition of dirt or smudges upon any finished Work or cause any defacement or discoloration to the finished Work.
 - b. Not be injurious or harmful to people or materials.
 - c. Portable fueled heating devices or equipment will NOT be allowed for use as temporary heat other than construction-related curing or drying in conformance with the NYC Fire Code.
3. No open fires will be permitted.

F. TEMPORARY HEATING SYSTEM:

1. The temporary system for the provision of Temporary Heat provided by the Contractor following enclosure of the building must be complete, including, subject to provisions of paragraph E above, boilers pumps, radiators, space heaters, water and heating piping, insulation and controls. The temporary system for the provision of Temporary Heat must be capable of maintaining the minimum temperature requirements set forth in Paragraph C above.

G. COORDINATION:

1. The Contractor, in the provision of Temporary Heat, must coordinate its operations in order to insure sufficient and timely performance of all required Work, including Work performed by trade subcontractors. The Contractor must supply and pay for all water required and used in the building for the operation of the heating system(s) for the purpose of Temporary Heat. The Contractor must include all expenses in connection with the supply of water for Temporary Heat in its total Contract price. During the period in which Temporary Heat in an enclosed building is being furnished and maintained, the Contractor must provide proper ventilating and drying, open and close the windows and other openings when necessary for the proper execution of the Work and when directed by DDC. The Contractor must maintain all permanent or temporary enclosures at its own expense.

H. USE OF PERMANENT HEATING SYSTEMS:

1. Use of Permanent Heating System for Temporary Heat after Building Enclosure:



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- a. The Contractor must provide all labor and materials to promptly furnish and set all required equipment, convectors and/or radiators, piping, valves, fitting, etc., in ample time for their use for the provision of Temporary Heat after enclosure of the building.
 - b. New portions of the permanent heating system that are used for furnishing Temporary Heat must be left in near-perfect condition when delivered to the City for operation. Any repairs required, other than for ordinary wear and tear on the equipment, must be made by the Contractor at his/her expense. The starting date for the warranty or guarantee period for such equipment must be the date of Substantial Completion acceptance.
 - c. In the event that the Contractor does not advance the installation of the permanent heating system in sufficient time to permit its use for Temporary Heat as determined by DDC, the Contractor must furnish and install a separate system for the provision of Temporary Heat as required to maintain the minimum temperature requirements set forth in Paragraph C above.
2. All equipment for the system for the provision of Temporary Heat must be placed so as to comply with the requirements specified hereinbefore, and must be connected, disconnected and suitably supported and located so as to permit construction Work, including finish Work such as wall plastering and painting, to proceed. The installation of the system for the provision of Temporary Heat by the Contractor, including the placing of ancillary system equipment, must be coordinated with the operations of all trade subcontractors so as to insure sufficient and timely performance of the Work. Once the permanent heating system is operating properly, the Contractor must remove all portions of the system for Temporary Heat not part of the permanent heating system.
 3. Temporary Heat Allowance for Special Conditions or and/or Unforeseen Circumstances:
 - a. The City may establish an Allowance in the Contract for payment of costs and expenses in connection with the provision of Temporary Heat as set forth herein. If established, the City will include an amount for such Allowance on the Bid Form, and the Contractor must include such Allowance amount in its total Contract price. The Contractor will only be entitled to payment from this Allowance under the conditions and in accordance with the requirements set forth below. In the event this Allowance or any portion thereof remains unexpended at the conclusion of the Contract, such Allowance must remain the sole property of the City. Should the amount of the Allowance be insufficient to provide payment for the expenses specified below, the City will increase the amount of the Allowance.
 - b. The Allowance set forth herein may be utilized only under the conditions set forth below.
 1. In the event the Project does not involve the installation of a new permanent heating system if one did not exist previously, or the replacement, modification, and/or shut down of the existing permanent heating system, or any key component thereof, and the Commissioner determines that the provision of Temporary Heat is necessary due to special and/or unforeseen circumstances, the Contractor must be responsible for the provision of Temporary Heat, as directed by the Commissioner. The City must pay such Contractor for all costs for labor, material, and equipment necessary and required for the same. Payment must be made in accordance with Article 26 of the Contract, except that the cost of fuel must be as set forth in Paragraph (c) below.
 2. In the event the Commissioner determines that there is a need for maintenance of the permanent heating system by the Contractor after Final Acceptance by the Commissioner of the Work, and that the need for such maintenance is not the fault of the Contractor, the Contractor must provide the required maintenance of the permanent heating system for the period of time directed by the Commissioner. The City will pay the Contractor for the cost of direct labor and fuel necessary and required in connection with such maintenance, excluding the cost of any foremen or other supervision. Payment must be made in accordance with Article 26 of the Contract, except that the cost of fuel must be as set forth in Paragraph (c) below.



- c. Payment for Fuel Costs: Payment from the Allowance set forth herein for the cost of fuel necessary and required to operate the system for the provision of Temporary Heat, or to maintain the permanent heating system under the conditions set forth in Paragraph b above, must be limited to the direct cost of such fuel. The Contractor will not be entitled to any overhead and/or profit for such fuel costs. In order to receive payment for such fuel costs, the Contractor must present original invoices for the same. DDC reserves the right to furnish the required fuel.

I. RELATED ELECTRICAL WORK:

- 1. The Contractor must be responsible for providing the items set forth below and must include all expenses in connection with such items in its total Contract price. The Contractor must provide such items promptly when required and must in all respects coordinate its Work with the Work performed by trade subcontractors in order to facilitate the provision of Temporary Heat.
 - a. The Contractor must provide all labor, materials, equipment and power necessary and required to furnish and maintain any temporary or permanent electrical connections to all equipment specified to be connected as part of the work of the Contractor's Contract.
 - b. The Contractor must supply and pay for all power necessary and required for the operation of the system for the provision of Temporary Heat and/or the permanent heating system used for Temporary Heat. Such power must be provided by the Contractor for the duration the Contractor is required to provide Temporary Heat, as set forth in sub-section 3.5 D herein.
- 2. In providing the items set forth in Paragraph 1 above, the Contractor is advised that labor may be required seven (7) days a week and/or during non-regular working hours for the period of time required by seasonal weather conditions.

J. RELATED PLUMBING WORK:

- 1. The Contractor must be responsible for providing all labor, materials, and equipment necessary and required to furnish and maintain all temporary or permanent connections to all equipment or plumbing outlets specified to be provided as part of the Work of this Contract. The Contractor must include all expenses in connection with such items of Work in its total Contract price. The Contractor must provide such items of Work promptly when required and must in all respects coordinate its Work with the Work performed by trade subcontractors in order to facilitate the provision of Temporary Heat.
- 2. In the event portions of the permanent plumbing equipment furnished by the Contractor as part of the Work of this Contract are used for the provision of Temporary Heat either during construction or prior to acceptance by the City of the complete plumbing system, the Contractor will be responsible to provide such plumbing equipment to the City in near-perfect condition and must make any repairs required, other than for ordinary wear and tear on the equipment, at the Contractor's expense. The starting date for warranty and/or guarantee period for such plumbing equipment must be the date of Substantial Completion by the City.
- 3. For Projects requiring the installation of new and/or modified gas service, as well as associated meter installations, the Contractor must promptly perform all required filings and coordination with the utility companies in order to expedite the installation, testing, and approval of the gas service and associated meter(s).

3.6 STORM WATER CONTROL, DEWATERING FACILITIES AND DRAINS:

A. PUMPING:

- 1. Comply with requirements of authorities having jurisdiction. Maintain Project Site, excavations, and construction free of water. Provide barriers in and around excavations and subgrade construction to prevent flooding by runoff of storm water from heavy rainfall.



2. Contractor must furnish and install all necessary automatically operated pumps of adequate capacity with all required piping to run-off agencies, so as to maintain the excavation, cellar floor, pits and exterior depressions and excavations free from accumulated water during the entire period of construction and up to the date of Final Acceptance of Work of the Contract.
3. All pumps must be maintained at all times in proper working order.
4. Dispose of rainwater in a lawful manner that will not result in flooding the Project or adjoining properties nor endanger permanent Work or temporary facilities.
5. Remove snow and ice as required to minimize accumulations.

3.7 TEMPORARY FIELD OFFICE FOR CONTRACTOR:

- A. The Contractor must establish a temporary field office for its own use at the Site during the period of construction, at which readily available copies of all Contract Documents must be kept.
- B. The field office must be located where it will not interfere with the progress of any part of the Work or with visibility of traffic control devices.
- C. CONTRACTOR'S REPRESENTATIVE: There must be a responsible and competent representative of the Contractor in charge of the office who is duly authorized to receive orders and directions and to put them into effect.
- D. Arrangements must be made by the Contractor whereby its representative may be readily available by telephone.
- E. All temporary structures must be of substantial construction and neat appearance, and must be painted a uniform gray unless otherwise directed by the Commissioner.
- F. CONTRACTOR'S SIGN: The Contractor must post and keep posted on the outside of its field office, office, exterior fence, or wall at Site of Work, a legible sign giving the full name of the company, address of the company and telephone number(s) of responsible representative(s) of the firm who can be reached in the event of an emergency at any time.
- G. ADVERTISING PRIVILEGES: The City reserves the right to all advertising privileges. The Contractor must not cause any signs of any kind to be displayed at the Site unless specifically required herein or authorized by the Commissioner.

3.8 DDC FIELD OFFICE:

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.8 A

- A. OFFICE SPACE IN EXISTING BUILDING:
 1. The Resident Engineer will arrange for office space for sole use in the building where Work is in progress. The Contractor must provide and install a lockset for the door to secure the equipment in the room. The Contractor must provide two (2) keys to the Resident Engineer. After completion of the Project the Contractor must replace the original lockset on the door and ensure its proper operation.
 2. In addition to equipment specified in sub-section 3.8 D, the Contractor must provide, for exclusive use of the DDC Field Office, the following:
 - a. Two (2) single pedestal desks, 42" x 32"; two (2) swivel chairs with arms and three (3) side chairs without arms to match desk. Two metal (2) lockers, single units, 15" x 18" x 78" overall including 6" legs. Lockers to have flat key locks with two (2) keys each, General Steel products or approved equal. Two (2) full ball bearing suspension four (4) drawer vertical legal filing cabinets with locks, approximately 52"H x 28 ½"D x 18"W.



- b. One (1) 9000 B.T.U air conditioner or as directed by Commissioner. Wiring for the air conditioner must be minimum No. 12 AWG fed from individual circuits in the fuse box.
 - c. One (1) folding conference table, 96" x 30" and ten (10) folding chairs.
 - d. Two (2) metal wastebaskets.
 - e. One (1) fire extinguisher, one (1) quart vaporizing liquid type, brass, wall mounted by Pyrene No. C21 or approved equal.
 - f. One (1) Crystal Springs water cooler with bottled water, Model No. LP14058 or approved equal to be furnished for the duration of the Project as required.
- 3. The Contractor must provide one (1) telephone, where directed and must pay all costs for telephone service for calls within the New York City limits for the duration of the Project.
 - 4. All furniture and equipment, except computer equipment specified in sub-section 3.8 D.3, must remain the property of the Contractor.
 - 5. Computer workstation quantities must be provided as specified in sub-section 3.8 B 3-a for DDC Managed Projects, or sub-section 3.8 B 3-b for CM Managed Projects.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.8 B

B. DDC FIELD OFFICE TRAILER:

- 1. **GENERAL:** The Contractor must, for the time frame specified herein, provide and maintain at its own cost and expense a DDC Construction Field Office and all related items as specified herein [hereinafter collectively referred to as the "DDC Field Office"] for the exclusive use of the Resident Engineer. The DDC Field Office must be located at the Project Site and must be solely dedicated to the Project. Provision of the DDC Field Office must commence within thirty (30) Days from Notice to Proceed (NTP) and must continue through forty-five (45) Days after Substantial Completion of the required construction at the Project Site. The Contractor must remove the DDC Field Office forty-five (45) Days after Substantial Completion of the required construction, or as otherwise directed in writing by the Commissioner.
- 2. **TRAILER:** The Contractor must provide at its own cost and expense a mobile office trailer for use as the DDC Field Office. The Contractor must install and connect all utility services to the trailer within thirty (30) Days from NTP. The trailer must have equipment in compliance with the minimum requirements hereinafter specified. Any permits and fees required for the installation and use of said trailer must be borne by the Contractor. The trailer including furniture and equipment therein, except computer equipment specified in sub-section 3.8D.3 herein, must remain the property of the Contractor.
- 3. Trailer must be an office-type trailer of the size specified herein, with exterior stairs at entrance. Trailer construction must be minimum 2 x 4 wall construction fully insulated with paneled interior walls, pre-finished gypsum board ceilings and vinyl tile floors.



**REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.8.B.3a or
SUB-SECTION 3.8.B.3b.**

- a. DDC Managed Project Trailer: DDC Field Office Trailer Size, Layout and Computer Workstation:
 - 1) Overall length: 32 Feet
Overall width: 10 Feet
 - 2) Interior Layout:
Provide one (1) general office/conference room area and one (1) private office at one end of the trailer. Provide equipment and amenities as specified in sub-section 3.8.B herein.
 - 3) Computer Workstation: Provide one (1) complete computer workstation and one (1) tablet, as specified in sub-section 3.8.D herein, in the private office area as directed by the Resident Engineer.

- b. CM Managed Project Trailer: DDC Field Office Trailer Size, Layout and Computer Workstation:
 - 1) Overall length: 50 Feet
Overall width: 10 Feet
 - 2) Interior Layout:

Provide one (1) large general office/conference room in the center of the trailer and two (2) private offices, one (1) each at either end of the trailer. Provide equipment and amenities as specified in sub-section 3.8.B herein.
 - 3) Computer Workstation:

Provide three (3) complete computer workstations and two (2) tablets as specified in sub-section 3.8.D herein. Provide one (1) each complete computer workstation in each private office and one (1) complete computer workstation at the secretarial position as directed by the Resident Engineer.

- 4. The exterior of the trailer must be lettered with black block lettering of the following heights with white borders:

CITY OF NEW YORK	2-1/2"
DEPARTMENT OF DESIGN AND CONSTRUCTION	3-3/4"
DIVISION OF PUBLIC BUILDINGS	3-1/2"
DDC FIELD OFFICE	2-1/2"

NOTE: In lieu of painting letters on the trailer, the Contractor may substitute a sign constructed of a good quality weatherproof material with the same type and size of lettering above.

- 5. All windows and doors must have aluminum insect screens. Provide wire mesh protective guards at all windows.

- 6. The interior must be divided by partitions into general and private office areas as specified herein. Provide a washroom located adjacent to the private office and a built-in wardrobe closet opposite the washroom. Provide a built-in desk in the private office(s) with fixed overhead shelf and clearance below for two (2) file cabinets.

- 7. Provide a built-in drafting or reference table, located in the general office/conference room, at least sixty (60) inches long by thirty-six (36) inches wide with cabinet below and wall type plan rack at least forty-two (42) inches wide.



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8. The washroom must be equipped with a flush toilet, wash basin with two (2) faucets, medicine cabinet, complete with supplies and a toilet roll tissue holder. Plumbing and fixtures must be approved house type, with each appliance trapped and vented and a single discharge connection. Five (5) gallon capacity automatic electric heater for domestic hot water must be furnished.
9. HVAC: The trailer must be equipped with central heating and cooling adequate to maintain a temperature of seventy-two (72) degrees during the heating season and seventy-five (75) degrees during the cooling season when the outside temperature is five (5) degrees F. winter and eighty-nine (89) degrees F. summer.
10. Lighting must be provided via ceiling mounted fluorescent lighting fixtures to a minimum level of fifty (50) foot candles in the open and private office(s) along with sufficient lighting in the washroom. Broken and burned out lamps must be replaced by the Contractor. A minimum of four (4) duplex convenience outlets must be provided in the open office and two (2) each in the private office(s). These outlets must be in addition to special outlet requirements for computer stations, copiers, HVAC unit, etc.
11. Electrical service switch and panel must be adequately sized for the entire trailer load. Provide dedicated circuits for HVAC units, hot water heater, copiers and other equipment as required. All wiring and installation must conform to the New York City Electrical Code.
12. The following movable equipment must be furnished:
 - a. Two (2) single pedestal desks, 42" x 32"; two (2) swivel chairs with arms and three (3) side chairs without arms to match desk. Two (2) full ball bearing suspension four (4) drawer vertical legal filing cabinets with locks and two (2) full ball bearing two (2) drawer vertical legal filing cabinets in each private office located below built-in desk.
 - b. One (1) folding conference table, 96" x 30" and ten (10) folding chairs.
 - c. Three (3) metal wastebaskets.
 - d. One (1) fire extinguisher one (1) quart vaporizing liquid type, brass, wall mounted by Pyrene No. C21 or approved equal.
 - e. One (1) Crystal Springs water cooler with bottled water, Model No. LP14058 or approved equal to be furnished for the duration of the Contract as required.
13. TRAILER TEMPORARY SERVICE: Plumbing and electrical Work required for the trailer will be furnished and maintained as below.
 - a. PLUMBING WORK: The Contractor must provide temporary water and drainage service connections to the DDC Field Office trailer for a complete installation. Provide all necessary soil, waste, vent and drainage piping.

Contractor to frost-proof all water pipes to prevent freezing.

 - 1) REPAIRS, MAINTENANCE: The Contractor must provide repairs for the duration of the Project until the trailer is removed from the Site.
 - 2) DISPOSITION OF PLUMBING WORK: At the expiration of the time limit set forth in sub-section 3.8 B 1 herein, the temporary water and drainage connections and piping to the DDC Field Office trailer must be removed by the Contractor and must be plugged at the mains. All piping must become the property of the Contractor for plumbing Work and must be removed from the Site, all as directed. All repair Work due to these removals must be the responsibility of the Contractor.
 - b. ELECTRICAL WORK:
 - 1) The Contractor must furnish, install and maintain a temporary electric feeder to the



- DDC Field Office trailer immediately after it is placed at the job Site.
- 2) The temporary electrical feeder and service switch/fuse must be adequately sized based on the trailer load and installed per the New York City Electrical Code and complying with utility requirements.
 - 3) Make all arrangements and pay all costs to provide electric service.
 - 4) The Contractor must pay all costs for current consumed and for maintenance of the system in operating condition, including the furnishing of the necessary bulb replacements lamps, etc., for the duration of the Project and for a period of forty-five (45) Days after the date of Substantial Completion.
 - 5) Disposition of Electric Work: At the expiration of the time limit set forth, the temporary feeder, safety switch, etc., must be removed and disposed of as directed.
 - 6) All repair Work due to these removals must be the responsibility of the Contractor.
- c. MAINTENANCE:
- 1) The Contractor must provide and pay all costs for regular weekly janitor service and furnish toilet paper, sanitary seat covers, cloth towels and soap and maintain the DDC Field Office in first-class condition, including all repairs, until the trailer is removed from the Site.
 - 2) Supplies: The Contractor must be responsible for providing (1) all office supplies, including without limitation, pens, pencils, stationery, filtered drinking water and sanitary supplies, and (2) all supplies in connection with required computers and printers, including without limitation, an adequate supply of blank CD's/DVD's, storage boxes for blank CDs/DVDs, and paper and toner cartridges for the printer.
 - 3) Risk of Loss: The entire risk of loss with respect to the DDC Field Office and equipment must remain solely and completely with the Contractor. The Contractor must be responsible for the cost of any insurance coverage determined by the Contractor to be necessary for the field office.
 - 4) At forty-five (45) Days after the date of Substantial Completion, or sooner as directed by the Commissioner, the Contractor must have all services disconnected and capped to the satisfaction of the Commissioner. All repair Work due to these removals must be the responsibility of the Contractor.
- d. TELEPHONE SERVICE: The Contractor must provide and pay all costs for the following telephone services for the DDC Field Office trailer:
- 1) Separate telephone lines for one (1) desk phone in each private office.
 - 2) One (1) wall phone (with six (6) foot extension cord) at plan table.
 - 3) Separate telephone lines for the fax machine and internet access in each private office. Telephone service must include voice mail. All electronic voicemail messages must be automatically forwarded as email attachments, to allow for the voicemails to be played remotely.
 - 4) A remote bell located on outside of trailer
 - 5) The telephone service must continue until the trailer is removed from the Site.
- e. PERMITS: The Contractor must make the necessary arrangements and obtain all permits and pay all fees required for this Work.



- C. RENTED SPACE: The Contractor has the option of providing, at its cost and expense, rented office or store space in lieu of trailer. Said space must be in the immediate area of the Project and have adequate plumbing, heating and electrical facilities. Space chosen by the Contractor for the DDC Field Office must be approved by the Commissioner before the area is rented. All insurance, maintenance and equipment, including computer workstations specified in sub-section 3.8 D in quantities required as specified in sub-section 3.8 B 3 for the DDC Field Office trailer, must also apply to rented spaces.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.8 D

- D. ADDITIONAL EQUIPMENT FOR THE DDC FIELD OFFICE:
1. Photocopying Machine: Stand-alone, heavy duty, electric, dry-process color photocopying type with color scan and send capability via email, a minimum production rate of seventy (70) pages per minute and an adequate supply of copy paper, toner, etc. The machine must be capable of duplex copying paper sizes of 8-1/2 x 11 inches, 8-1/2 x 14 inches and 11 x 17 inches, and have separate trays for each paper size. It must have a document feeder, collator, stapler, and the capability to reduce/enlarge copies between each paper size. The supply of each size copy paper, toner, etc. must be replenished and the machines must be maintained for the duration of the Contract by the Contractor as required by the Resident Engineer. Make and model can be Minolta, Canon, IBM, Epson, or an approved equivalent, and must be networked to the office computers for printing capability. Copier must remain at job Site until the DDC Field office trailer is removed from the Site.
 2. The Contractor must furnish a fax machine and a telephone answering machine at commencement of the Project for the exclusive use of the DDC Field Office. All materials must be new, sealed in manufacturer's original packaging and must have manufacturers' warranties. All items must remain the property of the City of New York at the completion of the Project.
 3. COMPUTER WORKSTATION: The Contractor must provide one (1) complete computer workstation, in quantities specified in sub-section 3.8.B.3, as specified herein:
 - a. Hardware/Software Specification:
 - 1) Computer Equipment: Computers must be provided for all Contracts that have a total Consecutive Calendar Days (CCD) for construction duration, as set forth in Schedule "A", of 180 CCD's or greater. Contracts of lesser duration must not require computers.
 - 2) Computers furnished by the Contractor for use by City Personnel for the duration of the Contract must be in accordance with the Specific Requirements contained herein, must remain the property of the City of New York at the completion of the Project, and must meet the following minimum requirements:
 - 3) Personal Computers – Personal Computers must meet the requirements of the US General Services Administration (GSA) Government-Wide Strategic Solutions (GSS) Standard Laptop, Desktop, and Tablet Specifications, V7. (Available online at <https://hallways.cap.gsa.gov/>)
 - (a) Computer type for Personal Computers to be "Desktop Small Form Factor."
Computer type for tablet to be "Tablet"
 - (b) The following components listed as optional in the GSA specification must be provided with each personal computer: monitor, speakers, optical drive, smart card reader, webcam, and headset.
 - (c) The following additional software must be provided with licenses for each computer:
 1. Adobe Acrobat Pro DC or Bluebeam Revu
 2. Microsoft Office Professional
 3. Autodesk AutoCAD LT
 4. Anti-virus software



- 5. Microsoft Visio (only one license required per field office)
- 4) DDC Field Office Specs: DDC Field Offices requiring computers must be provided with the following:
 - a) One (1) broad-band internet service account. See table below for minimum required upload and download speeds. Telephone service should be bundled together with Internet connectivity. Because of throughput requirements Verizon FIOS is the preferred connectivity provider where available.

Office Personnel #	Download Speeds (<i>Minimum</i>)	Upload Speeds (<i>Minimum</i>)
1 – 5	10 Mbps	15 Mbps
6 – 10	20 Mbps	15 Mbps
11 – 15	25 Mbps	15 Mbps
16 – 20	50 Mbps	15 Mbps

This account will be active for the life of the Project. The e-mail name for the account must be the DDC Field Office/Project ID (preferably Gmail or Outlook e.g. ABC1234@gmail.com).

- b) One (1) 600 DPI HP Color Laser Jet Printer (twelve (12) pages per minute or faster) with one (1) Extra Paper (Legal Size) (Not required if photocopying machine prints in color).
- c) All necessary cabling for equipment specified herein
- d) Storage Boxes for Blank CD's
- e) Printer Table
- f) UPS/Surge Suppressor combo
- g) Ten (10) USB Thumb (or Flash) Drives – sixteen (16) GB each
- 5) All computers required for use in the DDC Field Office must be delivered, installed, and setup in the Field Office by the Contractor.
- 6) All Computer Hardware must come with a three (3) year warranty for on-site repair or replacement. Additionally, and notwithstanding any terms of the warranty to the contrary, the Contractor is responsible for rectifying all computer problems or equipment failures within one (1) business day.
- 7) An adequate supply of blank CDs/DVDs, and paper and toner cartridges for the printer must be provided by the Contractor and must be replenished by the Contractor as required by the Resident Engineer.
- 8) It is the Contractor's responsibility to ensure that electrical service and phone connections are also available at all times; that is, the Field Office Computer(s) is to be powered and turned on twenty-four (24) hours each Day.
- 9) Broadband connectivity is preferred at each field office location. Please take into consideration that an extra phone line dedicated to the modem must be ordered as part of the Contract unless Internet broadband connectivity, via Cable or DSL, is available at the planned field office location. Any questions regarding this policy should be directed



to the Assistant Commissioner of ITS at 718-391-1761.

E. HEAD PROTECTION (HARD HATS):

1. The Contractor must provide a minimum of ten (10) standard protective helmets for the exclusive use of DDC personnel and their visitors. Helmets must be turned over to the Resident Engineer and kept in the DDC Field Office.
2. Upon completion of the Project, the helmets must become the property of the Contractor.

3.9 MATERIAL SHEDS:

- A. Material sheds used by the Contractor for the storage of its materials must be kept at locations which will not interfere at any time with the progress of any part of the Work or with visibility of traffic control devices.
- B. The Contractor must store combustible materials apart from the facility.

3.10 TEMPORARY ENCLOSURES:

- A. The Contractor must provide temporary enclosures for protection of construction, in progress and completed, from exposure, foul weather, other construction operations, and similar activities. Provide temporary weather tight enclosure for building exterior.
- B. Where heating or cooling is needed and Permanent Enclosure is not complete, the Contractor must insulate temporary enclosures.

3.11 TEMPORARY PARTITIONS:

- A. The Contractor must provide floor-to-ceiling dustproof partitions to limit dust and dirt migration and to separate occupied tenant areas from fumes and noise, including, but without limitation:
 1. Construct dustproof partitions with gypsum wallboard with joints taped on occupied side, and fire-retardant plywood on construction operations side.
 2. Construct dustproof partitions with 2 layers of 3-mil (0.07-mm) polyethylene sheet on each side. Cover floor with two (2) layers of 3-mil (0.07-mm) polyethylene sheet, extending sheets eighteen (18) inches (460 mm) up the sidewalls. Overlap and tape full length of joints. Cover floor with fire-retardant plywood.
 - a. Construct vestibule and airlock at each entrance through temporary partition with not less than forty-eight (48) inches (1219 mm) between doors. Maintain water-dampened foot mats in vestibule.
 3. Insulate partitions to provide noise protection to occupied areas.
 4. Seal joints and perimeter. Equip partitions with dustproof doors and security locks.
 5. Protect air-handling equipment.
 6. Weather strip openings.
 7. Provide walk-off mats at each entrance through temporary partition.

3.12 TEMPORARY FIRE PROTECTION:

- A. The Contractor must install and maintain temporary fire-protection facilities of types needed to protect against reasonably predictable and controllable fire losses. Comply with National Fire Protection Association (NFPA) Standard 241.
- B. Smoking in all areas is prohibited.



- C. The Contractor must supervise welding operations, combustion-type temporary heating units, and similar sources of fire ignition according to requirements of authorities having jurisdiction.
- D. The Contractor must develop and supervise an overall fire-prevention and protection program for personnel at Project Site. Review needs with local fire department and establish procedures to be followed. Instruct personnel in methods and procedures. Post warnings and information.
- E. The Contractor must provide temporary standpipes and hoses for fire protection. Hang hoses with a warning sign stating that hoses are for fire-protection purposes only and are not to be removed. Match hose size with outlet size and equip with suitable nozzles.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.13

3.13 WORK FENCE ENCLOSURE:

- A. The Contractor must furnish, erect and maintain a wood construction or chain-link fence to the extent shown on the Contract Drawings or required by the Work enclosing the entire Project on all sides. All materials used must be new. Any permit required for the installation and use of said fence and costs must be borne by the Contractor.
- B. WOOD FENCE must be seven (7) feet high with framing construction of yellow pine, using 4" x 4" approved preservative-treated posts on not more than 6'-0" centers, with three (3) rails of at least 2" x 4" size to which must be secured minimum 1/2 inch thick exterior grade plywood. Posts must be firmly fixed in the ground at least 30" and thoroughly braced. Top edge of fence must be trimmed with a rabbeted edge mould. Provide on the street traffic sides of fence, observation openings as directed.
 - 1. GATES: The Contractor must provide an adequate number of double gates, complete with hardware, located as approved by the Resident Engineer. Double gates must have a total clear opening of 14'-0" with two (2) 7'-0" hinged swinging sections. Hanging posts must be 6" x 6" and must extend high enough to receive and be provided with tension or sag rods for the swinging sections.
 - 2. PAINTING: The fence and gates must be entirely painted on the street and public sides with one (1) coat of exterior primer and one (1) top coat of exterior grade acrylic-latex emulsion paint. Black stenciled signs reading "POST NO BILLS" must be painted on fence with three (3) inch high letters on twenty-five (25) foot spacing for the entire length of fence on street traffic sides. Signs must be stenciled five (5) feet above the sidewalk.
- C. CHAIN-LINK FENCING must be minimum two (2) inch thick, galvanized steel, chain-link fabric fencing; eight (8) feet high with galvanized steel pipe posts; minimum 2-3/8-inch Outside Diameter (OD) line posts and 2-7/8-inch OD corner and pull posts, with 1-5/8-inch OD top and bottom rails. Fence must be accurately aligned and plumb, adequately braced and complete with gates, locks and hardware as required. Under no condition must fencing be attached or anchored to existing construction or trees.
- D. ADDITIONAL REQUIREMENTS:
 - 1. It must be the obligation of the Contractor to remove all posters, advertising signs, and markings, etc., immediately.
 - 2. Should the fencing be required to be relocated during the course of the Contract, it must be done by the Contractor at no additional cost to the City.
 - 3. Where sidewalks are used for "drive over" purposes for Contractor vehicles, a suitable wood mat or pad must be provided for protection of sidewalks and curbs.
 - 4. Where required, make provision for fire hydrants, lampposts, etc.
- E. REMOVAL: When directed by the Resident Engineer, the fence must be removed.



3.14 RODENT AND INSECT CONTROL:

- A. DESCRIPTION: The Contractor must provide all labor, materials, plant and equipment, and incidentals required to survey and monitor rodent activity and to control any infestation or outbreak of rodents, rats, mice, water beetles, roaches and fleas within the Project area. Special attention should be paid to the following conditions or areas:
 - 1. Wet areas within the Project area, including all temporary structures.
 - 2. All exterior and interior temporary toilet structures within the Project area.
 - 3. All Field Offices and shanties within the Project area of all subcontractors and DDC.
 - 4. Wherever there is evidence of food waste and/or discarded food or drink containers, in quantity, that would cause breeding of rodents or the insects herein specified.
 - 5. Any other portion of the Site requiring such special attention.
- B. MATERIALS:
 - 1. All materials must be approved by the New York State Department of Environmental Conservation (DEC) and comply with the New York City Health Code, OSHA and the laws, ordinances and regulations of state and federal agencies pertaining to such chemical and/or materials.
- C. PERSONNEL:
 - 1. All pest control personnel must be supervised by an exterminator licensed in categories 7A and 8.
- D. METHODS:
 - 1. Application and dosage of all materials must be done in strict compliance with the manufacturer's recommendations.
 - 2. Any unsanitary conditions, such as uncollected garbage or debris, resulting from all Contractor's activities, which will provide food and shelter to the resident rodent population must be corrected by the Contractor immediately after notification of such condition by the Resident Engineer.
- E. RODENT CONTROL WORK:
 - 1. In wetlands, woodlands, and areas adjacent to a stream, special precautions must be taken to protect water quality and to ensure the safety of other wildlife. To prevent poisoned bait from entering streams, no poisoned bait must be used in areas within seventy-five (75) feet of all stream banks. Live traps must be used in these seventy-five (75) foot buffer zone areas and within wetland and woodland areas.
 - 2. In areas outside the seventy-five (75) foot zone of protection adjacent to streams, and in areas outside wetlands and woodlands, tamper proof bait stations with poisoned bait must be placed during the period of construction and any consumed or decomposed bait must be replenished as directed.
 - 3. At least one (1) month prior to initiation of the construction Work, and periodically thereafter, live traps and/or rodenticide bait in tamper proof bait stations, as directed above, must be placed at locations that do not allow access to pets, human beings, children and other non-target species, particularly wildlife (for example-birds) in the Project area.
 - 4. The Contractor must be responsible for collecting and disposing of all trapped and poisoned rodents found in live traps and tamper-proof bait stations. The Contractor must also be responsible for posting and maintaining signs announcing the baiting of each particular location.
 - 5. The Contractor must be responsible for the immediate collection and disposal of any visible rodent remains found on streets or sidewalks within the Project area.



6. It is anticipated that public complaints will be addressed to the Commissioner. The Contractor, where directed by the Commissioner, must take appropriate actions, like baiting, trapping, proofing, etc., to remedy the source of complaint within the next six (6) hours of normal working time which is defined herein for the purposes of this section as 7 A.M. to 6 P.M. on Mondays through Saturdays.
7. Emergency service during the regular workday hours (Monday through Friday) must be rendered within twenty-four (24) hours, if requested by the Commissioner, at no additional cost to the City.

F. EDUCATION & NOTICES:

1. The Contractor must post notices on all Construction Bulletin Boards advising workers, employees, and residents to call the DDC Field Office to report any infestation or outbreak of rodents, rats, mice, water beetles, roaches and fleas within the Project area. The Contractor must provide and distribute literature pertaining to Integrated Pest Management (IPM) techniques of rodent control to affected businesses and superintendents of nearby residential buildings to ensure their participation in maintaining their establishments free of unsanitary conditions, harborage removal and rodent proofing.
2. Prior to application of any chemicals, the Contractor must furnish to the Commissioner copies or sample labels for each pesticide, antidote information, and Material Data Safety Sheets (MSDS) for each chemical used.

G. RECORDS

1. The Contractor must keep a record of all rodent and waterbug infestation surveys conducted and make available, upon request, to the Commissioner. The findings of each survey must include, but not be limited to, recommended IPM techniques, like baiting, trapping, proofing, etc., proposed for rodent and waterbug pest control.
2. The Contractor must maintain records of all locations baited along with the type and quantity of rodenticide and insecticide bait used.

3.15 PLANT PEST CONTROL REQUIREMENTS AND TREE PROTECTION REQUIREMENTS:

- A. Plant Pest Control Requirements: The Contractor and its subcontractors, including the Certified Arborist described below, must comply with all federal and New York State laws and regulations concerning Asian Longhorned Beetle (ALB) management, including protocols for ALB eradication and containment promulgated by the New York State Department of Agriculture and Markets (NYSDAM). The Contractor is referred to: (1) Part 139 of Title 1 NYCRR, Agriculture and Markets Law, Sections 18, 164 and 167, as amended, and (2) State Administrative Procedure Act, Section 202, as amended.
1. All tree Work performed within the quarantine areas must be performed by NYSDAM certified entities. Transportation of all host material, living, dead, cut or fallen, inclusive of nursery stock, logs, green lumber, stumps, roots, branches and debris of a half inch or more in diameter from the quarantine areas is prohibited unless the Contractor or its subcontractor performing tree Work has entered into a compliance agreement with NYSDAM. The terms of said compliance agreement must be strictly complied with. Any host material so removed must be delivered to a facility approved by NYSDAM. For the purpose of this Contract, host material must be ALL species of trees.
 2. Any host material that is infested with the ALB must be immediately reported to NYSDAM for inspection and subsequent removal by either State or City contracts, at no cost to the Contractor.
 3. Prior to commencement of tree Work, the Contractor must submit to the Commissioner a copy of a valid ALB compliance agreement entered into with NYSDAM and the Contractor or its subcontractor performing tree Work. If any host material is transported from the quarantine area the Contractor must immediately provide the Commissioner with a copy of the New York State 'Statement of Origin and Disposition' and a copy of the receipt issued by the NYSDAM approved facility to which the host materials are transported.



4. Quarantine areas, for the purpose of this Contract, must be defined as all five boroughs of the City of New York. In addition, prior to the start of any tree Work, the Contractor must contact the NYC Department of Parks & Recreation's (DPR) Director of Landscape Management at (718) 699-6724, to determine the limits of any additional quarantine areas that may be in effect at the time when tree Work is to be performed. The quarantine area may be expanded by federal and state authorities at any time and the Contractor is required to abide by any revisions to the quarantine legislation while working on this Contract. For further information please contact: NYSDAM (631) 288-1751.
- B. Tree Protection Requirements: The Contractor must retain a Certified Arborist, as defined by DPR regulations, to provide the services described below.
1. Surveys and Reports: The Certified Arborist must, at the times indicated below, conduct a survey and prepare a plant material assessment report which includes: (1) identification, by species and pertinent measurements, of all plant material located on the Project Site, or in proximity to the Project Site, as described below, including all trees, significant shrubs and/or planting masses; (2) identification and plan for the containment of plant pests and pathogens, including the ALB, as described in paragraph A above; and (3) evaluation of the general health and condition of any infected plant material.
 2. Frequency of Reports: The Certified Arborist must conduct a survey and provide a plant material assessment report at two (2) points in time: (1) prior to the commencement of construction Work; and (2) at the time of Substantial Completion. In addition, for projects exceeding twenty-four (24) months in duration, the Certified Arborist must conduct a survey and prepare a report at the midpoint of construction. Copies of each plant material assessment report must be submitted to the Resident Engineer within two (2) weeks of the survey.
 3. Proximity to Project Site: Off-site trees, significant shrubs and/or planting masses must be considered to be located in proximity to the Project Site under the circumstances described below.
 - a. The tree trunk, significant shrub, or primary cluster of stems in a planting mass is within fifty (50) feet of the project's Contract Limit Lines (CLLs) or Property Lines (PLs).
 - b. Any part of the tree or shrub stands within fifty (50) feet of: (a) a path for Site access for vehicles and/or construction equipment; or (b) scaffolding to be erected for construction activity, including façade remediation projects.
 - c. The Certified Arborist determines that the critical root zone (CRZ) of an off-site tree, significant shrub, or primary cluster of stems in a planting mass extends into the Project Site, whether or not that plant material is located within the fifty (50) foot inclusionary perimeter as outlined above.
 4. Tree Protection Plan: The Certified Arborist must prepare, and the Contractor must implement, a Tree Protection Plan for all trees that may be affected by any construction Work, excavation or demolition activities, including without limitation: (1) on-site trees, (2) street trees, as defined below, (3) trees under DPR jurisdiction as determined by the NYC Department of Transportation, and (4) all trees that are located in proximity to the Project Site, as defined above. The Tree Protection Plan must comply with the DPR rules, regulations and specifications. The Contractor is referred to Chapter 5 of Title 56 of the Official Compilation of the Rules of the City of New York. Copies of the Tree Protection Plan must be submitted to the Resident Engineer prior to the commencement of construction. Implementation of the Tree Protection Plan for street trees and trees under DPR jurisdiction must be in addition to any tree protection requirements specified or required for the Project Site. For the purpose of this article, a "street tree" means the following: (1) a tree that stands in a sidewalk, whether paved or unpaved, between the curb lines or lateral lines of a roadway and the adjacent property lines of the Project Site, or (2) a tree that stands in a sidewalk and is located within fifty (50) feet of the intersection of the Project's Site's PL with the street frontage property line.



- C. No Separate Payment: No separate payment must be made for compliance with Plant Pest Control Requirements or Tree Protection Requirements. The cost of compliance with Plant Pest Control Requirements and Tree Protection Requirements must be deemed included in the Contractor’s bid for the Project.

3.16 PROJECT IDENTIFICATION SIGNAGE:

- A. The Contractor must provide, install and maintain Project identification and other signs where indicated to inform public and individuals seeking entrance to the Project.
- B. In order to properly convey notice to persons entering upon a City construction Site, the Contractor must furnish and install a sign at the entrance (gates) as follows:

**NO TRESPASSING
AUTHORIZED PERSONNEL ONLY**

- C. If no construction fence exists at the Site, this notice must be conveyed by incorporating the above language into safety materials (barriers, tape, and signs).
- D. Provide temporary, directional signs for construction personnel and visitors.
- E. Maintain and touch up signs so that they are legible at all times.

3.17 PROJECT CONSTRUCTION SIGN AND RENDERING:

- A. PROJECT SIGN:
 1. Responsibility: The Contractor must produce and install one (1) Project sign which must be posted and maintained upon the Project Site at a place and in a position directed by the Commissioner. The Contractor must protect the sign from damage during the continuance of Work under the Contract and must do all patching of lettering, painting and bracing thereof necessary to maintain the sign in first class condition and in proper position. Prior to fabrication, the Contractor must submit an 8-1/2” x 11” color match print proof from the sign manufacturer of the completed sign for approval by the Commissioner.
 2. Sign Quality: The Contractor must provide all materials required for the production of the sign as specified herein. Workmanship must be of the best quality, free from defects and must be produced in a timely manner.
 3. Schedule: Upon Project mobilization, the Contractor must commence production and installation of the sign.
 4. Removal: At the completion of all Work under the Contract, the Contractor must remove and dispose of the Project sign away from the Site.
 5. Sign construction:
 - a. Frame: The frame must be from quality dressed 2”x2” pine, fire retardant, pressure treated lumber, that surrounds the inside back edge of the sign. The sign must have one (1) intermediate vertical and two (2) diagonal supports, glued and screwed for rigidity. Frame must be painted white with two (2) coats of exterior enamel paint, prior to mounting of sign panel.
 - b. Edging: U-shaped, twenty-two (22) gauge aluminum edging, with a white enameled finish to match sign background, must run around entire edging of sign panel and frame. Corners must be mitered for a tight fit. Channel dimensions must be 1” inch (overlap to sign panel face) x 1



3/4" (or as required across frame depth) x 1" (back overlap).

- c. Sign Panel: 4' x 8' panel must be constructed in one (1) piece of fourteen (14) gauge (.0785") 6061-T6 aluminum. This panel must be pre-finished both sides with a glossy white baked-on enamel finish and be flush with edge of 2" x 2" wood frame. Samples must be submitted for approval.
- d. Fastening: Fasten sign panel to wood frame using cadmium plated no. 8 sheet metal screws at 1/2" below edge of panel and 8" on center. The U-shaped aluminum channel must be applied over the wood frame edge and fastened with cadmium plated no. 8 sheet metal screws at 12" on center around the entire perimeter.

6. Sign Graphics:

- a. A digital file of the Project sign will be provided to the Contractor by the Commissioner's representative for printing. The Commissioner's representative must insert the Project name and names and titles of personnel (three (3) or more) and any other required information associated with the Project. All signs may include a second panel for a Project rendering as described in sub-section 3.17.B herein.
- b. The digital file must be reproduced at the Sign Panel size of 4' x 8' on 3M High Performance Vinyl or approved equal. The 3M High Performance Vinyl or equivalent must be guaranteed for nine (9) years. Guarantee must cover fading, peeling, chipping or cracking. The sign manufacturer is required to maintain all specified Pantone Matching System (PMS) type and other composition elements represented in the digital file of the Project sign.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.17 B

B. PROJECT RENDERING:

- 1. Responsibility: In addition to the Project sign, the Contractor must furnish and install one (1) sign showing a rendering of the Project. A digital file of the Project rendering will be provided to the Contractor by the Commissioner's representative. From an approved image file provided by DDC, the Project rendering is to be sized, printed, and mounted in an identical manner as described in sub-section 3.17.A above for the Project sign. A color match print proof from the sign manufacturer of the rendering sign printed from the supplied file is to be submitted to DDC for approval before fabrication. The rendering sign is to be posted at the same height as the Project sign. Where possible, the rendering sign must be mounted with a perfect match of the short sides of the rectangle so that the rendering sign and the Project sign together will create one long rectangle.
- 2. Removal: At the completion of all Work under the Contract, the Contractor must remove and dispose of the Project rendering away from the Site.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.18

3.18 SECURITY GUARDS/FIRE GUARDS ON SITE:

A. SECURITY GUARDS (WATCHMEN):

- 1. The Contractor must provide a competent security guard service on the Site, beginning on the date on which the Contractor commences actual construction Work, or on such earlier date on which there is activity at the Site related to the Work, including without limitation, delivery of materials or construction set-up. The Contractor must continue to provide such security guard service until the date on which it completes all required Work at the Site, including all punch list Work, as certified in writing by the Resident Engineer, or earlier if so directed in writing by the Commissioner. Throughout the specified time period, there must be no less than one (1) security guard on duty every day, including Saturdays, Sunday and holidays, twenty-four (24) hours a day, except between the hours of 8:00 A.M. and 4:00 P.M. on any day which is a regular working day for a majority of the trade



subcontractors. This exception during the working day must not apply after the finishing painting of the plaster Work is commenced; thereafter, not less than one (1) security guard must be on duty continuously, twenty-four (24) hours a day.

2. Every security guard must be required to hold a "Certificate of Fitness" issued by FDNY. Every security guard must, during his/her tour of duty, perform the duties of fire guard in addition to his/her security obligations.
 3. Should the Commissioner find that any security guard is unsatisfactory, such guard must be replaced by the Contractor upon the written demand of the Commissioner.
 4. Each security guard furnished by the Contractor must be instructed by the Contractor to include in his/her duties the entire construction Site including the Field Office, temporary structures, and equipment, materials, etc.
 5. Should the Contractor or any other subcontractor consider the security requirements outlined above inadequate, the Contractor must provide such additional security as it thinks necessary, after obtaining the written consent of the Commissioner. The additional cost of such approved increased protection will be paid by the Contractor.
 6. Nothing contained in this sub-section must diminish in any way the responsibility of the Contractor and each subcontractor for its own Work, materials, tools, equipment, nor for any of the other risks and obligations outlined hereinbefore in this Article.
- B. **COSTS:** The Contractor must employ security guards/fire guards throughout the specified time period, except as otherwise modified by the detailed Specifications and as approved by the Commissioner, for the purpose of safeguarding and protecting the Site. All costs for security guards/fire guards must be borne by the Contractor.
- C. **RESPONSIBILITY:** The Contractor and its subcontractors will be responsible for safeguarding and protecting their own work, materials, tools and equipment.

3.19 SAFETY:

- A. The Contractor, in compliance with requirements of Section 01 35 26, SAFETY REQUIREMENTS PROCEDURES, must provide and maintain all necessary temporary closures, guard rails, and barricades to adequately protect all workers and the public from possible injury. Any removal of these items, during the progress of the Work, must be replaced by the Contractor at no additional cost to the City.

END OF SECTION 01 50 00



**SECTION 01 54 11
TEMPORARY ELEVATORS AND HOISTS**

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

- A. This section includes the following:
 - 1. Temporary Use, Operation and Maintenance of Elevators during Construction
 - a. For new buildings up to and including fifteen (15) stories
 - b. For new buildings over fifteen (15) stories
 - c. For existing buildings
 - 2. Temporary Construction Hoists and Hoistways (For Material and Personnel)

1.3 RELATED SECTIONS: include without limitation the following:

- A. Section 01 10 00 SUMMARY
- B. Section 01 42 00 REFERENCES
- C. Section 01 50 00 TEMPORARY FACILITIES AND CONTROLS
- D. Section 01 54 23 TEMPORARY SCAFFOLDS AND SWING STAGING
- E. Section 01 77 00 CLOSE OUT PROCEDURES

PART II – PRODUCTS (Not Used)

PART III – EXECUTION

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.1

3.1 TEMPORARY USE, OPERATION AND MAINTENANCE OF ELEVATORS DURING CONSTRUCTION FOR NEW BUILDINGS UP TO AND INCLUDING FIFTEEN (15) STORIES:

- A. **INSTALLATION:** The Contractor must install, complete, operate, and maintain in good working order, as indicated herein, one (1) selected main elevator for the transport of employees of the Contractor and/or its subcontractors, representatives of DDC, and other governmental agencies having jurisdiction of Work at the Project. The Contractor must furnish, install, and maintain such elevator in good working order, including all necessary hoisting ropes, governor cables, traveling conductor cables, operating devices, temporary hand reset target annunciators, temporary signal devices, and all other permanent or temporary parts. The installation, operation and maintenance of the temporary elevator and all equipment and/or parts utilized in connection therewith must be in accordance with the rules and regulations of all agencies and/or entities having jurisdiction over elevators in temporary use.
- B. **RESPONSIBILITY:** The Contractor must be responsible for any injury to persons or damage to property arising out of the temporary elevator and all equipment and/or parts utilized in connection therewith.
- C. **COSTS:** The Contractor must be responsible for all costs in connection with the temporary elevator, including without limitation:



1. Installing and operating the temporary elevator;
2. Maintaining the temporary elevator in clean and proper operating condition, including the cost of lubricants and/or parts for such maintenance;
3. Performing all Work in pits, shaft ways and machine rooms necessary for the operation of the temporary elevator;
4. Replacing the temporary elevator or any equipment or parts utilized in connection therewith, if required, due to damage, destruction, or excessive wear or corrosion, except for the replacement of hoisting ropes as set forth below;
5. Performing all required electrical Work in connection with the temporary elevator;
6. Providing all electric power required to operate the temporary elevator;
7. Providing all necessary conduit and wiring connections for the proper operation and signaling of the temporary elevator; and
8. Providing all labor for the operation and maintenance of the temporary elevator, including on an overtime basis if necessary.

The total Contract price must include all costs in connection with the temporary elevator, including without limitation, the costs specified herein.

- D. **COMMENCEMENT OF SERVICE:** The Contractor must begin to provide temporary elevator service using the selected main passenger elevator no later than eight (8) weeks (forty (40) Days) after the machine room roof slab, or that portion of it surrounding the elevator shaft, has been placed. No later than three (3) weeks (fifteen (15) Days) after the machine room roof slab has been placed, or that portion of it surrounding the elevator shaft, the following Work must be completed:
1. The shaft must be completely enclosed by either a permanent or temporary enclosure meeting all building code requirements.
 2. The machine room must be completely watertight either by permanent or temporary construction. Beams or other devices, either permanent or temporary, must be provided to enable the safe and practicable hoisting of the elevator machinery for installation.
 3. On all floors at the shaft way entrances to the elevator, the Contractor must install solid substantial frames, either sliding or swing doors with substantial hardware and door locks, and any necessary approved wire mesh barricades for adjacent shaft ways.
 4. The Contractor must furnish and install solid, substantial enclosures at front, back, sides and top of car platform enclosure, with an emergency exit at the top of car and a substantial temporary door or gate on the front of the elevator entrance.
- E. **ELECTRICAL INSTALLATION:** The Contractor, no later than twenty (20) Days after the machine room roof slab or that portion of it surrounding the elevator has been placed, must furnish and install temporary or permanent power and light feeders as required for the elevator used for temporary service. Additionally, the Contractor must connect such feeders to the terminals on the starter panels or controllers in the machine room to the low voltage transformers and car light outlets in the center of the shaft way and for the car control and signal traveling cables. The Contractor must make all these required connections as soon as the equipment is declared ready for such connections by the Resident Engineer.
- F. **REMOVAL:** As directed by the Commissioner and when elevators for permanent use have been installed and are in proper condition for service, the Contractor must remove the temporary enclosures and all temporary elevator equipment and promptly proceed with the installation of the permanent equipment as required under the Contract.



- G. **INSPECTION:** Before temporary elevator equipment is removed, a joint inspection of the equipment must be made by the Contractor and the Commissioner to determine the condition of this equipment upon the discontinuation of its temporary use. If this inspection deems it necessary, the Contractor must furnish and install new governor and compensating ropes, traveling cables, controller parts, etc. The car and counterweight safeties must be thoroughly cleaned of all dirt and all foreign matter, then properly lubricated and placed in good operating condition to the satisfaction of the Commissioner. If it is determined and ordered by the Commissioner that new hoist ropes are required, such ropes must be installed and payment will be made in accordance with Article 26 of the Contract.
- H. **REPLACEMENT:** The Contractor must furnish and install new equipment or parts for any equipment or parts of the temporary elevator installation that have been damaged, destroyed, or that indicate excessive wear or corrosion, except for the replacement of hoisting ropes. All shaft ways, pits, motor rooms and sheave spaces used for temporary operation of elevators must be thoroughly cleaned. Where lubricated rails are used they must be washed down. If roller guides are used, all rust, dirt, etc., must be moved from the rails. The full cost of parts replacement, cleaning, etc., must be borne by the Contractor except for the replacement of hoisting ropes.
- I. **LIMITATIONS ON USE:** The temporary elevator must not be used during its operation for the hoisting of materials or the removal of rubbish, but must be limited only to the transportation of employees of the Contractor and/or its subcontractors, representatives of DDC, and other governmental agencies having jurisdiction of work at the Project. However, the Resident Engineer may grant special permission at specified times to the Contractor and/or its subcontractors to hoist materials, which in the Resident Engineer's opinion will not overload or damage the elevator installation. In the event of any damage to the temporary elevator, the Contractor must notify the Resident Engineer within twenty-four (24) hours after such damage has occurred. As indicated above, the Contractor must be responsible for the replacement of any equipment or parts of the temporary elevator that have been damaged.
- J. **LIQUIDATED DAMAGES:** The Contractor will be charged at the rate of one hundred dollars (\$100) per Day for each Day it fails to provide the temporary elevator service described in this section beginning with the forty-first (41st) Day after the machine room roof slab, or that portion of it surrounding the elevator shaft, has been placed and stripped. This charge will be deducted from any amount due and owing to the Contractor.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.2

3.2 TEMPORARY USE, OPERATION AND MAINTENANCE OF ELEVATORS DURING CONSTRUCTION FOR NEW BUILDING OVER FIFTEEN (15) STORIES:

- A. **INSTALLATION:** The Contractor must install, complete, operate, and maintain in good working order, as indicated herein, two (2) selected main elevators for the transport of employees of the Contractor and/or its subcontractors, representatives of DDC, and other governmental agencies having jurisdiction of work at the Project. The Contractor must furnish, install, and maintain such elevators in good working order, including all necessary hoisting ropes, governor cables, traveling conductor cables, operating devices, temporary hand reset target annunciators, temporary signal devices, and all other permanent or temporary parts. The installation, operation, and maintenance of the temporary elevators and all equipment and/or parts utilized in connection therewith must be in accordance with the rules and regulations of all agencies and/or entities having jurisdiction over elevators in temporary use. The two (2) elevators must not be operated simultaneously.
- B. **RESPONSIBILITY:** The Contractor must be responsible for any injury to persons or damage to property arising out of the temporary elevators and all equipment and/or parts utilized in connection therewith.
- C. **COSTS:** The Contractor must be responsible for all costs in connection with the temporary elevators, including without limitation:
 - 1. Installing and operating the temporary elevators;



2. Maintaining the temporary elevators in clean, proper operating condition, including the cost of lubricants and/or parts for such maintenance;
3. Performing all Work in pits, shaft ways and machine rooms necessary for the operation of the temporary elevators;
4. Replacing the temporary elevators or any equipment or parts utilized in connection therewith, if required due to damage, destruction, or excessive wear or corrosion, except for the replacement of hoisting ropes as set forth below;
5. Performing all required electrical Work in connection with the temporary elevators;
6. Providing all electric power required to operate the temporary elevators;
7. Providing all necessary conduit and wiring connections for the proper operation and signaling of the temporary elevators; and
8. Providing all labor for the operation and maintenance of the temporary elevators, including on an overtime basis if necessary.

The total Contract price must include all costs in connection with the temporary elevators, including without limitation, the costs specified herein.

- D. **LOW RISE ELEVATOR:** The Contractor must begin to provide temporary elevator service using one (1) selected main passenger elevator no later than six (6) weeks (thirty (30) Days) after the twelfth (12th) floor slab, or that portion of it surrounding the elevator shaft, has been placed and stripped. No later than one (1) week, (five (5) Days), after the twelfth (12th) floor slab, or that portion of it surrounding the elevator shaft, has been placed and stripped, the following Work must have been completed:
1. The shaft must be completely enclosed up to the twelfth (12th) floor by either the permanent or a temporary enclosure meeting the requirements of the law.
 2. A temporary machine room enclosure must be provided at the eleventh (11th) floor and must be completely watertight either by permanent or temporary construction. Beams or other devices, either permanent or temporary, must be provided which will enable the safe and practicable hoisting of the elevator machinery for installation.
 3. The Contractor must install on all floors up to and including the ninth (9th) floor at the shaft entrances to the elevator, solid substantial wood frames, either sliding or swing doors with substantial hardware and door locks, and any necessary approved wire mesh barricades for adjacent shaft ways.
 4. The Contractor must furnish and install solid substantial enclosures at front, back, sides and top of car platform enclosure, with an emergency exit at top of car, except that the portion of the front at the elevator entrance must be provided with a substantial temporary door or gate.
- E. **ELECTRICAL INSTALLATION:** The Contractor must, no later than ten (10) Days after the twelfth (12th) floor slab or that portion of it surrounding the elevator has been poured and stripped, furnish and install temporary or permanent power and light feeders as required for the elevator used for temporary service. The Contractor must connect such feeders to the terminals on the starter panels or controllers in the temporary machine room to the low voltage transformers, car light outlets in the center of the shaftway, and for the car control and signal traveling cables. The Contractor must make all these required connections as soon as the equipment is declared ready for such connections by the Resident Engineer.
- F. **HIGH RISE ELEVATOR:** The Contractor must begin to provide temporary elevator service to all floors using a selected main passenger elevator no later than eight (8) weeks (forty (40) Days) after the machine room roof slab, or that portion of it surrounding the elevator shaft has been placed. No later than three (3) weeks (fifteen (15) Days) after the machine room roof slab, or that portion of it surrounding the elevator shaft has been placed, the following Work must have been completed:
1. The shaft must be completely enclosed by either the permanent or temporary enclosure, meeting the



- requirements of the law.
2. The machine room must be completely watertight either by permanent or temporary construction. Beams or other devices, either permanent or temporary, must be provided to enable the safe and practicable hoisting of the elevator machinery for installation.
 3. The Contractor must install on all floors at the shaft way entrances to the elevator solid substantial frames, either sliding or swing doors with substantial hardware and door locks, and any necessary approved wire mesh barricades for adjacent shaft ways.
 4. The Contractor must furnish and install solid substantial enclosures at front, back, sides and top of car platform enclosure, with an emergency exit at top of car, except that the portion of the front at the elevator entrance must be provided with a substantial temporary door or gate.
- G. **ELECTRICAL INSTALLATION:** The Contractor must, not later than twenty (20) Days after the machine room slab or that portion of it surrounding the elevator shaft has been placed, furnish and install temporary or permanent power and light feeders as required for the high-rise elevator to be used for temporary service. The Contractor must connect such feeders to the terminals on the motor-generator starter panels, or controllers in the machine room, to the signal circuits low voltage transformers for the annunciators and car light outlets in the center of shaft way. The Contractor must make all these required connections as soon as the equipment is declared ready for such connections by the Resident Engineer.
- H. When the high-rise elevator is completed and ready for temporary operation, the low-rise temporary elevator must be shut down.
- I. **REMOVAL:** When directed by the Commissioner and one (1) or more elevators for permanent use have been installed and are in condition for service, the Contractor must remove the temporary enclosures, all temporary elevator equipment, and promptly proceed with the installation of the permanent equipment as required under the Contract.
- J. **INSPECTION:** Before temporary elevator equipment is removed, a joint inspection of the equipment must be made by the Contractor and the Commissioner to determine the condition of this equipment upon the discontinuation of its temporary use. If this inspection determines it necessary, the Contractor must furnish and install new governor and compensating ropes, new traveling cables, new controller parts, etc. The car and counterweight safeties must be thoroughly cleaned of all dirt and all foreign matter, then properly lubricated and placed in good operating condition to the satisfaction of the Commissioner. If it is determined and ordered by the Commissioner that new hoist ropes are required, such ropes must be installed and payment will be made in accordance with Article 26 of the Contract.
- K. **REPLACEMENT:** The Contractor must furnish and install new equipment or parts for any equipment or parts of the temporary elevator installations that have been damaged, destroyed, or that indicate excessive wear or corrosion, except the replacement of hoisting ropes. All shaft ways, pits, motor rooms and sheaves spaces used for temporary operation of elevators must be thoroughly cleaned down. Where lubricated rails are used they must be washed down; if roller guides are used, all rust, dirt, etc., must be removed from the rails. The full cost of parts replacement cleaning, etc., must be borne by the Contractor except for the replacement of hoisting ropes.
- L. **LIMITATIONS ON USE:** The temporary elevators must not be used during their operation for the hoisting of materials or the removal of rubbish, but must be limited only to the transportation of employees of the Contractor and/or its subcontractors, representatives of DDC, and other governmental agencies having jurisdiction of Work at the Project. However, the Resident Engineer may grant special permission at specified times to the Contractor and/or its subcontractors to hoist materials, which in the Resident Engineer's opinion will not overload or damage the elevator installation, but only after such times as all plastering has been completed from the second floor up. In the event of any damage to the temporary elevator, the Contractor must notify the Resident Engineer within twenty-four (24) hours after such damage has occurred. As indicated above, the Contractor must be responsible for the replacement of any equipment or parts of the temporary elevator that have been damaged.



- M. LIQUIDATED DAMAGES: The Contractor will be charged at the rate of one hundred dollars (\$100) per Day for each Day it fails to provide the temporary elevator service described in this Section beginning with the thirty-first (31st) Day after the twelfth (12th) floor slab, or that portion of the twelfth (12th) floor slab surrounding the elevator shaft, has been placed and stripped. This charge will be deducted from any amount due and owing to the Contractor.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.3

3.3 TEMPORARY USE, OPERATION AND MAINTENANCE OF ELEVATORS DURING CONSTRUCTION FOR EXISTING BUILDINGS:

- A. The Contractor may use, at the Commissioner's discretion, one (1) selected elevator in the building for temporary operation by the Contractor for the transportation of employees of the Contractor and/or its subcontractors, representatives of DDC, and other governmental agencies having jurisdiction over the Work at the Project. The operation of the temporary elevator and all equipment and/or parts utilized in connection therewith must be in accordance with the rules and regulations of all agencies and/or entities having jurisdiction over elevators in temporary use.
- B. RESPONSIBILITY: The Contractor must be responsible for any injury to persons or damage to property arising out of the temporary elevator and all equipment and/or parts utilized in connection therewith.
- C. REPLACEMENT: The Contractor must furnish and install new equipment or parts for any equipment or parts of the elevator for temporary operation that have been damaged, destroyed, or that indicate excessive wear or corrosion, except the replacement of hoisting ropes. All shaft ways, pits, motor rooms and sheave spaces used for temporary operation of elevators must be thoroughly cleaned down. Where lubricated rails are used they must be washed down, if roller guides are used, all rust, dirt, etc., must be moved from the rails. The full cost of parts replacement, cleaning, etc., must be borne by the Contractor except for the replacement of hoisting ropes. If it is determined and ordered by the Commissioner that new hoist ropes are required, such ropes must be installed and payment will be made in accordance with Article 26 of the Contract.
- D. LIMITATIONS ON USE: The temporary elevator must not be used during its operation for the hoisting of materials or the removal of rubbish, but must be limited only to the transportation of employees of the Contractor and/or its subcontractors, representatives of DDC, and other governmental agencies having jurisdiction of Work at the Project. However, the Resident Engineer may grant special permission at specified times to the Contractor and/or its subcontractors to hoist materials, which in the Resident Engineer's opinion will not overload or damage the elevator installation. In the event of any damage to the temporary elevator, the Contractor must notify the Resident Engineer within twenty-four (24) hours after such damage has occurred. As indicated above, the Contractor must be responsible for the replacement of any equipment or parts of the temporary elevator that have been damaged.
- E. LIQUIDATED DAMAGES: The Contractor will be charged at the rate of one hundred dollars (\$100) per Day for each Day it fails to provide elevator services described in this section beginning with fifteen (15) Days from Notice to Proceed (NTP). This charge will be deducted from any amount due and owing to the Contractor.

3.4 TEMPORARY HOISTS AND HOISTWAYS (FOR MATERIAL AND PERSONNEL):

- A. RESPONSIBILITY: The Contractor must provide adequate numbers of material hoists for the most expeditious performance of all parts of the Work including the Work of all its subcontractors.
- B. LOCATIONS: No hoists must be constructed at such locations as to interfere with, or affect the construction of, floor arches or the Work of subcontractors. The hoists may be located at the exterior sides of the structure or in the courtyard and extend upward adjacent to the line of window openings. The hoists must be located a sufficient distance from the exterior walls and be so protected as to prevent any of the permanent Work from being damaged, stained or marred.



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- C. ELEVATOR SHAFT: Wherever possible, one or more of the permanent elevator shafts may be used as temporary hoistways, providing such use complies with the requirements of the Building Code of the City of New York, has been approved by the Commissioner, and does not interfere with the progress of the Work.
- D. PROTECTION FOR INTERIOR HOISTS: All interior material hoistways must be enclosed on each floor and must be adequately protected with appropriate safety guards. In no event must the protection be less than that required by law.

END OF SECTION 01 54 11



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**SECTION 01 54 23
TEMPORARY SCAFFOLDING AND PLATFORMS**

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].
- B. SECTION 01 35 26 SAFETY REQUIREMENTS PROCEDURES.
- C. The Contractor must comply with the requirements of “*The City of New York Department of Design and Construction Safety Requirements*”. This document is included in the Information for Bidders.

1.2 SUMMARY:

- A. This Section includes administrative and general procedural requirements for Temporary Scaffolding and Platforms, including:
 - 1. Conformance
 - 2. Responsibility
 - 3. Jobsite Documentation and Submittals
 - 4. Inspections
- B. This Section governs ALL scaffold used on DDC Project site(s), including but not limited to, Suspended Scaffold, Supported Scaffold, and Sidewalk Sheds.

1.3 CONFORMANCE:

- A. Unless otherwise indicated, the Contractor is responsible for providing, erecting, installing, and maintaining all temporary scaffolding and platforms which must comply with requirements of Chapter 33 (Safeguards During Construction or Demolition) of the New York City (NYC) Building Code, NYC Local Law 52 of 2005, OSHA Construction Standard 1926 Subpart L, and furnishing the items and personnel set forth in this Section.

1.4 RESPONSIBILITY:

- A. Jobsite Safety Coordinator: The Contractor must designate and employ a Jobsite Safety Coordinator, who must be a competent person, who must have a daily presence on the Project site during scaffold use. This designee must possess and maintain a valid New York City Department of Buildings (DOB) supported scaffold certificate of completion. An alternate must also be designated in the event that the Jobsite Safety Coordinator is absent. The Jobsite Safety Coordinator must:
 - 1. Verify completeness of documentation and submittals (as described below);
 - 2. Verify that inspections are performed, including pull tests (see below), reports are filed and reported deficiencies are corrected;
 - 3. Monitor trades using scaffold;
 - 4. Limit access to scaffold areas that are tagged for non-use;
 - 5. Inform trades of scaffold load limitations;
 - 6. Monitor loading of decks;
 - 7. Verify that any ties that are temporarily removed are properly restored in the same shift;
 - 8. Verify that outriggers and planks that are moved are properly set up and secured;
 - 9. Verify that all scaffold decks in use have proper access/egress;
 - 10. Verify that all open sides of decks in excess of 14 inches have proper guardrails and toe-boards;



11. Notify appropriate parties, including but not limited to the Resident Engineer, Site Safety Coordinator / Monitor, Site Safety consultant, scaffold users, Contractor and the Scaffold Engineer, of misuses, non-conformances, hazards and accidents; and,
 12. Keep a log of significant actions and events connected with the scaffolding.
- B. The Contractor will be responsible for erecting, maintaining, and dismantling the scaffolding and/or sidewalk shed in conformance with requirements of the NYC Building Code, OSHA and the Contract Documents, including the Specifications. The Contractor must also be guided by generally accepted standards of scaffold industry practice as promulgated by the Scaffold Industry Association.
- C. The Contractor must require the subcontractor responsible for erecting the scaffolding to engage a Scaffold Engineer, licensed as a professional engineer by the State of New York. The Scaffold Engineer will be responsible to ensure the following: (1) that the installation design is in compliance with requirements of the NYC Building Code and OSHA, (2) that the design comports with the capabilities of the components and the characteristics of the site, (3) that scaffold loads on the host building, including netting, have been properly considered, and (4) that the design documents provide accurate information for erectors and users.
- D. Scaffold users are trade contractors assigned to work on the scaffold. Training certificates from a DOB-approved training provider are mandatory. These users have a duty to become familiar with the NYC Building Code and OSHA requirements germane to users, to obey the instructions of the Jobsite Safety Coordinator, and to inform the Jobsite Safety Coordinator of known hazards, non-conformances, or violations.

1.5 JOBSITE DOCUMENTATION AND SUBMITTALS:

The Contractor must prepare, obtain, and submit the following to the Resident Engineer:

- A. NYC DOB permit(s) for scaffold and sidewalk sheds (as applicable) including filing applications signed and sealed by a Professional Engineer licensed in the State of New York;
- B. Site logistics plan / site safety plan;
- C. Installation drawing(s), design, and product data to be provided for **all** scaffold(s) and shed(s) must include, at a minimum:
 1. Plan(s);
 2. Elevation(s);
 3. Duty load designation: "standard" (150 psf live load) or "heavy duty" (300 psf live load);
 4. Details including base support, anchors and ties;
 5. Notes and specifications including load limits, number of planked levels, tie spacing, netting, and sequence of installation and removal;
 6. Anchorage into sound material;
 7. Load limits based on pull tests;
 8. Specifications for pull test(s), method, proof load and the number of trials;
 9. Elevations, levels or heights, where anchorage is made into masonry;
 10. Specifications for frames, planks, screw jacks, anchors, and any other ancillary hardware;
 11. Samples for anchors, ties and netting;
 12. Sequence of operations for erection and demolition;
 13. Location plan, heights, widths, "jumps" over doorways and driveways;
 14. Specify size, maximum span and maximum spacing of headers and stringers;
 15. Specify legs, girts, braces, nailing and connections; and,
 16. All sidewalk sheds must be designed, engineered, signed, and sealed by a Professional Engineer licensed in the State of New York;
 - a. Generic (not job-specific) engineering drawings are satisfactory for standard sheds and arrangements.



- b. Special engineering is required for custom sheds, site-specific problems or non-standard arrangements.

1.6 INSPECTIONS:

- A. Signed inspection reports must be issued for each inspection and pull-test below, and must be logged and maintained on site by the Jobsite Safety Coordinator for the duration of the Project.
- B. Pull testing will be required during design, and during or post erection, where anchorage is made into masonry. The Scaffold Engineer must specify the test method, proof load, and the number of trials.
- C. Sidewalk sheds must be inspected after initial installation, major modification, or damage and thence every three months. Inspections must be by a Scaffold Engineer for custom sheds and by a Competent Person employed by the Contractor for standard sheds.
- D. Scaffolds must be inspected by the Scaffold Engineer during erection, post-erection, and prior to use and thence every three (3) months. The Scaffold Engineer must repeat inspections after major alteration/ modification, and/or damage.
- E. A Qualified Person assigned by the Contractor must inspect: the progress of erection and dismantling; and, the condition and integrity of the sidewalk sheds after high winds, major storms, and at least once per month during usage.
- F. A Qualified Person assigned by the Contractor must inspect: the progress of erection and dismantling at least weekly; and, the condition and integrity of the scaffold after high winds, major storms, and at least once per month during usage.
- G. Scaffolds and Sidewalk Sheds must be inspected daily by the Jobsite Safety Coordinator or alternate, prior to use by scaffold users. The inspection results must be recorded in the maintenance log and must always be available on-site.
- H. At the completion of the Project, submit all inspection documents as Miscellaneous Record Documents in accordance with SECTION 01 78 39 CONTRACT RECORD DOCUMENTS.

1.7 LADDERS AND STAIRS:

- A. The Contractor must provide and maintain ladders or temporary stairs extending from the street to the first story, and to and from every floor and roof level of the Project.

1.8 ACCESS AND EXITS:

- A. The ladders or temporary stairs must be of acceptable size, number and location, so that proper and convenient access may be had by those required to proceed to and from all parts of the Project.

PART II – PRODUCTS (Not Used)

PART III – EXECUTION (Not Used)

END OF SECTION 01 54 23



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**SECTION 01 60 00
PRODUCT REQUIREMENTS**

PART I – GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for selection of products for use in Project; product delivery, storage, and handling; manufacturers' standard warranties on products; special warranties; and comparable products.

1.3 RELATED SECTIONS:

- A. Section 01 42 00 REFERENCES for applicable industry standards for products specified.

1.4 DEFINITIONS

- A. Products: Items obtained for incorporating into the Work, whether purchased for Project or taken from previously purchased stock. The term "product" includes the terms "material," "equipment," "system," and terms of similar intent.
 - 1. Named Products: Items identified by manufacturer's product name, including make or model number or other designation shown or listed in manufacturer's published product literature that is current as of date of the Contract Documents.
 - 2. New Products: Items that have not previously been incorporated into another project or facility. Products salvaged or recycled from other projects are not considered new products.
 - 3. Comparable Product: Product that is demonstrated and approved by Commissioner through submittal process to have the indicated qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics that equal or exceed those of specified product.
- B. Basis-of-Design Product Specification: A specification in which a single manufacturer's product is named and accompanied by the words "basis-of-design product," including make or model number or other designation. In addition to the basis-of-design product description, product attributes and characteristics are listed to establish the significant qualities related to type, function, in-service performance and physical properties, weight, dimension, durability, visual characteristics, and other special features and requirements for purposes of evaluating comparable products of additional manufacturers named in the specification.



- C. Subject to Compliance with Requirements: Where the phrase "Subject to compliance with requirements" introduces a product selection procedure in an individual Specification Section, provide products qualified under the specified product procedure.

1.5 ACTION SUBMITTALS

- A. Product Specification Submittals: Comply with requirements in Section 01 33 00 SUBMITTAL PROCEDURES. Show compliance with requirements.
- B. Comparable Product Request Submittal: Submit request for consideration of each comparable product. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
 - 1. Include data to indicate compliance with the requirements specified in "Comparable Products" Article.
 - 2. Review Action: If necessary, Commissioner will request additional information or documentation for evaluation and will notify Contractor of approval or rejection of proposed comparable product request.
 - a. Format of Approval of Submittal: Per Article 1.6 of Section 01 33 00 SUBMITTAL PROCEDURES.
 - b. Use product specified, or products by Manufacturers specified if Commissioner does not issue a decision on use of a comparable product request.

1.6 QUALITY ASSURANCE

- A. Compatibility of Options: If Contractor is given option of selecting between two or more products for use on Project, select product compatible with products previously selected, even if previously selected products were also options.
- B. Identification of Products: Except for required labels and operating data, do not attach or imprint manufacturer or product names or trademarks on exposed surfaces of products or equipment that will be exposed to view in occupied spaces or on the exterior.
 - 1. Labels: Locate required product labels and stamps on a concealed surface, or, where required for observation following installation, on a visually accessible surface that is not conspicuous.
 - 2. Equipment Nameplates: Provide a permanent nameplate on each item of service-connected or power-operated equipment. Locate on a visually accessible but inconspicuous surface. Include information essential for operation, including the following:
 - a. Name of product and manufacturer.
 - b. Model and serial number.
 - c. Capacity.
 - d. Speed.
 - e. Ratings.
 - 3. See individual identification sections in Divisions 21, 22, 23, and 26 for additional identification requirements.



1.7 PRODUCT DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, and handle products using means and methods that will prevent damage, deterioration, and loss, including theft and vandalism. Comply with manufacturer's written instructions.
- B. Delivery and Handling:
 - 1. Schedule delivery to minimize long-term storage at Project site and to prevent overcrowding of construction spaces.
 - 2. Coordinate delivery with installation time to ensure minimum holding time for items that are easily damaged, or sensitive to deterioration, theft, and other losses.
 - 3. Deliver products to Project site in an undamaged condition in manufacturer's original sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting, and installing.
 - 4. Inspect products on delivery to determine compliance with the Contract Documents and to determine that products are undamaged and properly protected.
- C. Storage:
 - 1. Store products to allow for inspection and measurement of quantity or counting of units.
 - 2. Store materials in a manner that will not endanger Project structure.
 - 3. Store products that are subject to damage by the elements, under cover in a weathertight enclosure above ground, with ventilation adequate to prevent condensation.
 - 4. Comply with product manufacturer's written instructions for temperature, humidity, ventilation, and weather-protection requirements for storage.
 - 5. Protect stored products from damage and liquids from freezing.

1.8 PRODUCT WARRANTIES

- A. Warranties specified in other Sections will be in addition to, and run concurrent with, other warranties required by the Contract Documents. Manufacturer's disclaimers and limitations on product warranties do not relieve Contractor of Guaranty obligations under requirements of the Contract Documents.
 - 1. **Manufacturer's Warranty:** Written warranty furnished by individual manufacturer for a particular product and specifically endorsed by manufacturer to the City of New York.
 - 2. **Special Warranty:** Written warranty required by the Contract Documents to provide specific rights for the City of New York.
- B. **Special Warranties:** Prepare a written document that contains appropriate terms and identification, ready for execution.
 - 1. **Manufacturer's Standard Form:** Modified to include Project-specific information and properly executed.
 - 2. **Specified Form:** When specified forms are included with the Specifications, prepare a written document using indicated form properly executed.
 - 3. See other Sections for specific content requirements and particular requirements for submitting special warranties.
- C. **Submittal Time:** Comply with requirements in Section 01 77 00 CLOSEOUT PROCEDURES.



PART II – PRODUCTS

2.1 PRODUCT SELECTION PROCEDURES

- A. General Product Requirements: Provide products that comply with the Contract Documents, are undamaged and, unless otherwise indicated, are new at time of installation.
 - 1. Descriptive, performance, and reference standard requirements in the Specifications establish required characteristics of products.
 - 2. Provide products complete with accessories, trim, finish, fasteners, and other items needed for a complete installation and indicated use and effect.
 - 3. Commissioner will review and approve products with warranties meeting the requirements of the Contract Documents.
 - 4. Where products are accompanied by the term "as selected," Commissioner will make selection.

- B. Or Approved Equal:
 - 1. Comply with requirements in "Comparable Products" Article to obtain approval for use of an unnamed product, or for use of a product by an unnamed Manufacturer, as designated by the term "Or approved equal".
 - 2. Submit additional documentation required by Commissioner, in order to establish equivalency of proposed products. Evaluation of "Or approved equal" product status is by the Commissioner, whose determination is final.

- C. Product Selection Procedures:
 - 1. Products: Where Specifications include a list of names of both manufacturers and products, provide one of the products listed that complies with requirements. Comparable products will be considered. Comply with requirements in "Comparable Products" Article for consideration of a product by an unnamed manufacturer. Products' listing is indicated by the following:
 - a. Products: Subject to compliance with requirements, provide one of the following:
 - 1) Manufacturer; Product designation
 - 2) Manufacturer; Product designation
 - 3) Manufacturer; Product designation
 - 4) Or approved equal

 - 2. Manufacturers: Where Specifications include a list of manufacturers' names, provide a product by one of the manufacturers listed. Comparable products from unnamed Manufacturers will be considered. Comply with requirements in "Comparable Products" Article for consideration of a product by an unnamed manufacturer. Manufacturer's listing is indicated by the following:
 - a. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1) Manufacturer
 - 2) Manufacturer
 - 3) Manufacturer
 - 4) Or approved equal



3. Basis-of-Design Product: Where Specifications name a basis-of-design product, provide the specified product, or a comparable product by one of the other named manufacturers. Drawings may indicate sizes, profiles, dimensions, and other characteristics that are based on the product named. Specifications indicate performance requirements and physical properties, durability and other special and required features that are based on the product named. Comply with requirements in "Comparable Products" Article for consideration of an unnamed product by one of the other named manufacturers. Basis-of-Design Product listing is indicated by the following:
 - a. Subject to compliance with requirements, provide [product indicated on Drawings] [manufacturer's name; product name or designation] or comparable product by one of the following:
 - 1) Manufacturer
 - 2) Manufacturer
 - 3) Or approved equal
 4. Sole Source Product (Single Proprietary): Where Specifications name a single manufacturer and product, provide the named product. A Sole Source Product selection requires prior request by the Design Consultant and approval by the Commissioner for its inclusion in specifications. Sole Source Product is indicated by the following phrase listing:
 - a. Sole Source Product: Manufacturer's name and Product designation.
 - 1) No substitutions Permitted.
- D. Visual Matching Specification: Where Specifications require "match Commissioner's sample," provide a product that complies with requirements and matches Commissioner's sample. Commissioner's decision will be final on whether a proposed product matches.
- E. Visual Selection Specification: Where Specifications include the phrase "as selected by Commissioner from manufacturer's full range" or similar phrase, select a product that complies with requirements. Commissioner will select color, gloss, pattern, density, or texture from manufacturer's product line that includes both standard and premium items.

2.2 COMPARABLE PRODUCTS

- A. Conditions for Consideration of Comparable Products (Or Approved Equal): Commissioner will consider Contractor's request for comparable product when the following conditions are satisfied. If the following conditions are not satisfied, Commissioner may return requests without action, except to record noncompliance with these requirements:
- B. Evidence that proposed product does not require revisions to the Contract Documents, is consistent with the Contract Documents, will produce the indicated results, and is compatible with other portions of the Work. Detailed comparison of significant qualities of proposed product with those named in the Specifications. Significant product qualities include attributes such as type, function, in-service performance and physical properties, weight, dimension, durability, visual characteristics, and other specific features and requirements.
- C. Evidence that proposed product provides specified warranty.
- D. List of similar installations for completed projects with project names and addresses and names and addresses of architects and Owners, if requested.
- E. Samples, if requested.



- F. Submittal Requirements: Approval by the Commissioner of Contractor's request for use of comparable product is not intended to satisfy other submittal requirements.
- G. Comply with all other specified product and submittal requirements.

PART III – EXECUTION (Not Used)

END OF SECTION 016000



**SECTION 01 60 00
PRODUCT REQUIREMENTS**

PART I – GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for selection of products for use in Project; product delivery, storage, and handling; manufacturers' standard warranties on products; special warranties; and comparable products.

1.3 RELATED SECTIONS:

- A. Section 01 42 00 REFERENCES for applicable industry standards for products specified.

1.4 DEFINITIONS

- A. Products: Items obtained for incorporating into the Work, whether purchased for Project or taken from previously purchased stock. The term "product" includes the terms "material," "equipment," "system," and terms of similar intent.
 - 1. Named Products: Items identified by manufacturer's product name, including make or model number or other designation shown or listed in manufacturer's published product literature that is current as of date of the Contract Documents.
 - 2. New Products: Items that have not previously been incorporated into another project or facility. Products salvaged or recycled from other projects are not considered new products.
 - 3. Comparable Product: Product that is demonstrated and approved by Commissioner through submittal process to have the indicated qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics that equal or exceed those of specified product.
- B. Basis-of-Design Product Specification: A specification in which a single manufacturer's product is named and accompanied by the words "basis-of-design product," including make or model number or other designation. In addition to the basis-of-design product description, product attributes and characteristics are listed to establish the significant qualities related to type, function, in-service performance and physical properties, weight, dimension, durability, visual characteristics, and other special features and requirements for purposes of evaluating comparable products of additional manufacturers named in the specification.



- C. Subject to Compliance with Requirements: Where the phrase "Subject to compliance with requirements" introduces a product selection procedure in an individual Specification Section, provide products qualified under the specified product procedure.

1.5 ACTION SUBMITTALS

- A. Product Specification Submittals: Comply with requirements in Section 01 33 00 SUBMITTAL PROCEDURES. Show compliance with requirements.
- B. Comparable Product Request Submittal: Submit request for consideration of each comparable product. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
 - 1. Include data to indicate compliance with the requirements specified in "Comparable Products" Article.
 - 2. Review Action: If necessary, Commissioner will request additional information or documentation for evaluation and will notify the applicable Contractor of approval or rejection of proposed comparable product request.
 - a. Format of Approval of Submittal: Per Article 1.6 of Section 01 33 00 SUBMITTAL PROCEDURES.
 - b. Use product specified, or products by Manufacturers specified if Commissioner does not issue a decision on use of a comparable product request.

1.6 QUALITY ASSURANCE

- A. Compatibility of Options: If the applicable Contractor is given option of selecting between two or more products for use on Project, select product compatible with products previously selected, even if previously selected products were also options.
- B. Identification of Products: Except for required labels and operating data, do not attach or imprint manufacturer or product names or trademarks on exposed surfaces of products or equipment that will be exposed to view in occupied spaces or on the exterior.
 - 1. Labels: Locate required product labels and stamps on a concealed surface, or, where required for observation following installation, on a visually accessible surface that is not conspicuous.
 - 2. Equipment Nameplates: Provide a permanent nameplate on each item of service-connected or power-operated equipment. Locate on a visually accessible but inconspicuous surface. Include information essential for operation, including the following:
 - a. Name of product and manufacturer.
 - b. Model and serial number.
 - c. Capacity.
 - d. Speed.
 - e. Ratings.
 - 3. See individual identification sections in Divisions 21, 22, 23, and 26 for additional identification requirements.



1.7 PRODUCT DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, and handle products using means and methods that will prevent damage, deterioration, and loss, including theft and vandalism. Comply with manufacturer's written instructions.
- B. Delivery and Handling:
 - 1. Schedule delivery to minimize long-term storage at Project site and to prevent overcrowding of construction spaces.
 - 2. Coordinate delivery with installation time to ensure minimum holding time for items that are easily damaged, or sensitive to deterioration, theft, and other losses.
 - 3. Deliver products to Project site in an undamaged condition in manufacturer's original sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting, and installing.
 - 4. Inspect products on delivery to determine compliance with the Contract Documents and to determine that products are undamaged and properly protected.
- C. Storage:
 - 1. Store products to allow for inspection and measurement of quantity or counting of units.
 - 2. Store materials in a manner that will not endanger Project structure.
 - 3. Store products that are subject to damage by the elements, under cover in a weathertight enclosure above ground, with ventilation adequate to prevent condensation.
 - 4. Comply with product manufacturer's written instructions for temperature, humidity, ventilation, and weather-protection requirements for storage.
 - 5. Protect stored products from damage and liquids from freezing.

1.8 PRODUCT WARRANTIES

- A. Warranties specified in other Sections will be in addition to, and run concurrent with, other warranties required by the Contract Documents. Manufacturer's disclaimers and limitations on product warranties do not relieve the applicable Contractor of Guaranty obligations under requirements of the Contract Documents.
 - 1. **Manufacturer's Warranty:** Written warranty furnished by individual manufacturer for a particular product and specifically endorsed by manufacturer to the City of New York.
 - 2. **Special Warranty:** Written warranty required by the Contract Documents to provide specific rights for the City of New York.
- B. **Special Warranties:** Prepare a written document that contains appropriate terms and identification, ready for execution.
 - 1. **Manufacturer's Standard Form:** Modified to include Project-specific information and properly executed.
 - 2. **Specified Form:** When specified forms are included with the Specifications, prepare a written document using indicated form properly executed.
 - 3. See other Sections for specific content requirements and particular requirements for submitting special warranties.
- C. **Submittal Time:** Comply with requirements in Section 01 77 00 CLOSEOUT PROCEDURES.



PART II – PRODUCTS

2.1 PRODUCT SELECTION PROCEDURES

- A. General Product Requirements: Provide products that comply with the Contract Documents, are undamaged and, unless otherwise indicated, are new at time of installation.
1. Descriptive, performance, and reference standard requirements in the Specifications establish required characteristics of products.
 2. Provide products complete with accessories, trim, finish, fasteners, and other items needed for a complete installation and indicated use and effect.
 3. Commissioner will review and approve products with warranties meeting the requirements of the Contract Documents.
 4. Where products are accompanied by the term "as selected," Commissioner will make selection.
- B. Or Approved Equal:
1. Comply with requirements in "Comparable Products" Article to obtain approval for use of an unnamed product, or for use of a product by an unnamed Manufacturer, as designated by the term "Or approved equal".
 2. Submit additional documentation required by Commissioner, in order to establish equivalency of proposed products. Evaluation of "Or approved equal" product status is by the Commissioner, whose determination is final.
- C. Product Selection Procedures:
1. Products: Where Specifications include a list of names of both manufacturers and products, provide one of the products listed that complies with requirements. Comparable products will be considered. Comply with requirements in "Comparable Products" Article for consideration of a product by an unnamed manufacturer. Products' listing is indicated by the following:
 - a. Products: Subject to compliance with requirements, provide one of the following:
 - 1) Manufacturer; Product designation
 - 2) Manufacturer; Product designation
 - 3) Manufacturer; Product designation
 - 4) Or approved equal
 2. Manufacturers: Where Specifications include a list of manufacturers' names, provide a product by one of the manufacturers listed. Comparable products from unnamed Manufacturers will be considered. Comply with requirements in "Comparable Products" Article for consideration of a product by an unnamed manufacturer. Manufacturer's listing is indicated by the following:
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 - 2) Manufacturer
 - 3) Manufacturer
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3. Basis-of-Design Product: Where Specifications name a basis-of-design product, provide the specified product, or a comparable product by one of the other named manufacturers. Drawings may indicate sizes, profiles, dimensions, and other characteristics that are based on the product named. Specifications indicate performance requirements and physical properties, durability and other special and required features that are based on the product named. Comply with requirements in "Comparable Products" Article for consideration of an unnamed product by one of the other named manufacturers. Basis-of-Design Product listing is indicated by the following:
 - a. Subject to compliance with requirements, provide [product indicated on Drawings] [manufacturer's name; product name or designation] or comparable product by one of the following:
 - 1) Manufacturer
 - 2) Manufacturer
 - 3) Or approved equal
 4. Sole Source Product (Single Proprietary): Where Specifications name a single manufacturer and product, provide the named product. A Sole Source Product selection requires prior request by the Design Consultant and approval by the Commissioner for its inclusion in specifications. Sole Source Product is indicated by the following phrase listing:
 - a. Sole Source Product: Manufacturer's name and Product designation.
 - 1) No substitutions Permitted.
- D. Visual Matching Specification: Where Specifications require "match Commissioner's sample," provide a product that complies with requirements and matches Commissioner's sample. Commissioner's decision will be final on whether a proposed product matches.
- E. Visual Selection Specification: Where Specifications include the phrase "as selected by Commissioner from manufacturer's full range" or similar phrase, select a product that complies with requirements. Commissioner will select color, gloss, pattern, density, or texture from manufacturer's product line that includes both standard and premium items.

2.2 COMPARABLE PRODUCTS

- A. Conditions for Consideration of Comparable Products (Or Approved Equal): Commissioner will consider the applicable Contractor's request for comparable product when the following conditions are satisfied. If the following conditions are not satisfied, Commissioner may return requests without action, except to record noncompliance with these requirements:
- B. Evidence that proposed product does not require revisions to the Contract Documents, is consistent with the Contract Documents, will produce the indicated results, and is compatible with other portions of the Work. Detailed comparison of significant qualities of proposed product with those named in the Specifications. Significant product qualities include attributes such as type, function, in-service performance and physical properties, weight, dimension, durability, visual characteristics, and other specific features and requirements.
- C. Evidence that proposed product provides specified warranty.
- D. List of similar installations for completed projects with project names and addresses and names and addresses of architects and Owners, if requested.
- E. Samples, if requested.



- F. Submittal Requirements: Approval by the Commissioner of the applicable Contractor's request for use of comparable product is not intended to satisfy other submittal requirements.
- G. Comply with all other specified product and submittal requirements.

PART III – EXECUTION (Not Used)

END OF SECTION 016000



**SECTION 01 73 00
EXECUTION**

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

- A. This Section includes general procedural requirements governing execution of the Work including without limitation the following:
 - 1. Delivery of Materials
 - 2. Contractor's Superintendent
 - 3. Surveys
 - 4. Borings
 - 5. Examination
 - 6. Environmental Assessment
 - 7. Preparation
 - 8. Deferred Construction
 - 9. Installation
 - 10. Permits
 - 11. Transportation
 - 12. Sleeves and Hangers
 - 13. Sleeve and Hanger Drawings
 - 14. Cutting and Patching
 - 15. Location of Partitions
 - 16. Furniture and Equipment
 - 17. Removal of Rubbish and Surplus Material
 - 18. Cleaning
 - 19. Security and Protection of Work Site
 - 20. Maintenance of Site and Adjoining Property
 - 21. Maintenance of Project Site
 - 22. Safety Precautions for Control Circuits
 - 23. Obstructions in Drainage Lines
 - 24. Payment for Allowances
 - 25. Correction of the Work

1.3 RELATED SECTIONS: Include without limitation the following:

- A. Section 01 10 00 SUMMARY
- B. Section 01 31 00 PROJECT MANAGEMENT AND COORDINATION
- C. Section 01 33 00 SUBMITTAL PROCEDURES
- D. Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT & DISPOSAL
- E. Section 01 77 00 CLOSEOUT PROCEDURES
- F. Section 01 78 39 CONTRACT RECORD DOCUMENTS



1.4 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.

<u>Term</u>	<u>Definition</u>
Design Consultant	The entity responsible for providing design services for the Project, including, without limitation, preparing the construction documents (drawings and specifications) and providing services in connection with such documents during construction. The entity serving as the "Design Consultant" may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.

1.5 QUALITY ASSURANCE:

- A. Land Surveyor Qualifications: A professional land surveyor who is licensed in the State of New York and who is experienced in providing land-surveying services of the kind indicated.

PART 1 - PRODUCTS (Not Used)

PART 2 - EXECUTION

3.1 DELIVERY OF MATERIALS:

- A. Material Orders: The Contractor must furnish to the Commissioner a copy of each material order, indicating date of order and quantity of material, and must also notify the Commissioner when materials have been delivered to the Site and in what quantities.
- B. Ample Quantities: The Contractor must deliver materials in ample quantities to ensure the most prompt and uninterrupted progress of the Work so as to complete the Work within the Contract time.
- C. Containers: The manufacturer's containers must be delivered with unbroken seals and must bear proper labels.
- D. Deliveries: The Contractor must coordinate deliveries in order to avoid delaying or impeding the progress of the Work.
- E. Handling: The Contractor must provide equipment and personnel to handle products by methods to prevent soiling or damage.
 - 1. Promptly inspect shipments to assure products comply with requirements, quantities are correct, and products are undamaged.
 - 2. Promptly return damaged shipments or incorrect orders to manufacturer.
 - 3. For materials or equipment to be reused or salvaged, use special care in removal, storage and reinstallation to insure proper function in completed Work.
- F. Storage: Store products in accordance with provisions of Article 3.1 of the Standard Construction Contract, and periodically inspect to assure that stored products are undamaged and are maintained under required conditions.
- G. Stacking: All materials must be properly stacked in convenient places adjacent to the Site, or where directed, and protected in a satisfactory manner. Stacked materials must be arranged so as to not interfere with visibility of traffic control devices.



- H. Overloading: If the Commissioner permits the storage of materials in any part of the Project area, they must be so stored as to cause no overloading.
- I. No Interference: If it becomes necessary to remove and restack materials to avoid impeding the progress of any part of the Work or interfering with the Work to be done by any trade subcontractor, the Contractor must remove and restack such materials at no additional cost to the City.

3.2 CONTRACTOR'S CONSTRUCTION SUPERINTENDENT:

- A. Contractor's Construction Superintendent: The Contractor must devote its time and personal attention to the Work and must employ and retain at the Project Site, from commencement until Final Acceptance, a Contractor's Construction Superintendent. The Contractor's Construction Superintendent must be registered with the New York City Department of Buildings (DOB) in compliance with the Construction Superintendent Rule of the City of New York, be competent and capable of maintaining proper supervision and care of the Work, and be acceptable to the Commissioner. The Construction Superintendent, in the absence of the Contractor, and irrespective of any superintendent or foreman employed by any subcontractor, must see that the instructions of the Commissioner are carried out.
- B. Replacement: The Contractor's Construction Superintendent on the job must not be changed or removed without the consent of the Commissioner.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.3

3.3 SURVEYS:

- A. Line and Grade: The City will establish a baseline and bench mark near the Site of the Work for use by the Contractor in connection with the performance of the Work.
- B. Responsibility: The Contractor must establish all other lines and elevations required for the Work and must be solely responsible for the accuracy thereof.
- C. Safeguard All Points: The Contractor must safeguard all points, stakes, grade marks and bench marks made or established by the Contractor on the Work. The Contractor must re-establish same if disturbed, and bear the entire expense of rectifying the Work if improperly installed due to not maintaining, protecting or removing without authorization from the Commissioner such established points, stakes, or marks.
- D. City Monuments and Markers: No Work must be performed near City monuments or markers so as to disturb them until the said monuments or markers have been referenced or reset or otherwise disposed of by the relevant Agency or party who installed them.
- E. Foundations: The Contractor must furnish certification from a licensed Surveyor that all portions of the foundation Work are located in accordance with the Contract Drawings and at the elevations required thereby. This certification must show the actual locations and the actual elevations of all the Work in relation to the locations and elevations shown on the Contract Drawings, including, but not restricted to the following:
 - 1. The locations and elevations of all piles, if any.
 - 2. Elevations of tops of all spread footings, tops of pile caps, and tops of all foundation walls, elevator pit walls and ramp walls.
 - 3. Location of all footing centers and pier centers including those for exterior wall columns.
 - 4. Location of all foundation walls including wall columns, elevator pit walls and ramp walls.
- F. Wall Lines: After the first courses of masonry or stone have been laid, the Contractor must establish the permanent lines of exterior walls. The Contractor must promptly furnish certification from a licensed Surveyor in the form of signed original drawings showing the exact location of such wall lines of all portions



of all structures. Except at its own risk, the Contractor must not proceed further with the erection of walls until the Surveyor's certification has been submitted and verified for correct location of wall lines.

- G. Surveyor: The Surveyor selected for any of the purposes mentioned in Paragraph E and Paragraph F above, and Paragraph I below, must be a land Surveyor licensed in the State of New York and must be subject to the approval of the Commissioner. The Surveyor must not be a regular employee of the Contractor, nor must the Surveyor have any interest in the Contract. The Surveyor's certification must represent an independent and disinterested verification of all layout. The Surveyor must report to the Department of Design and Construction's (DDC) Resident Engineer each time upon arrival to and departure from the Site and review with the Resident Engineer the data required for the Project.
- H. Final Certification: Final certification must be submitted upon completion of the Work or upon completion of any subdivision of the Work as directed by the Commissioner. Any exceptions or deviations from the Contract Drawings must be noted on the final certificate and must include any maps, plates, notes, pertinent documents and data necessary, in the opinion of the Commissioner, to constitute a full and complete report.
- I. Final Survey: The Contractor must submit to DDC for submission to DOB a final Survey by the licensed Surveyor showing the location of the new Work, before completion of the Work. This Survey must show the location of the first tier of beams or of the first floor; the finish grades of the open spaces on the plot; the established curb level and the location of all other Work on the plan, together with the location and boundaries of the lot or plot upon which the Work is constructed, curb cuts, all yard dimensions, etc.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.4

3.4 BORINGS:

- A. The work of this article must be the responsibility of the Contractor unless otherwise indicated.
- B. Reference Drawings: The boring drawings as listed on the title sheet are for information to the bidder and are to be used under the conditions as follows:
 - 1. Boring logs: shown on the boring drawings, record information obtained under engineering supervision in the course of exploration carried out by or under the direction of DDC at the Site.
 - 2. Soils and Rock Samples: All inferences are drawn from the indications observed as made by engineering and scientific personnel. All such inferences and all records of the Work, including soil samples and rock cores, if any, are available to bidders for inspection.
 - 3. Certification of Samples: The City certifies that the Work was carried out as stated, and that the soil samples and rock cores were actually taken from the site at the times, places, and in the manner indicated on the boring drawings. The samples are available for inspection in DDC's Subsurface Exploration Unit.
 - 4. Bidder's Responsibility: The bidder, however, is responsible for any conclusions to be drawn from the Work. If the bidder accepts those of the City, it must do so at its own risk. If the bidder prefers not to assume such risk, the bidder is under the obligation of employing its own experts to analyze the available information and must be responsible for any consequences of acting on their conclusions.
 - 5. Continuity Not Guarantee: The City does not guarantee continuity of conditions shown at actual boring locations over the entire Site. Where possible, borings are located to avoid all obstructions and previous construction which can be found by inspection of the surface. The bidder is required to estimate the influence of such features from its own inspection of the Site.



3.5 EXAMINATION:

- A. Existing Conditions: The existence and location of Site improvements, utilities, and other construction indicated as existing are not guaranteed. Before beginning the Work, the Contractor must investigate and verify the existence and location of mechanical and electrical systems and other construction affecting the Work.
 - 1. Before construction, the Contractor must verify the location and points of connection of utility services.
- B. Existing Utilities: The existence and location of underground utilities and other construction indicated as existing are not guaranteed. Before beginning Site Work, the Contractor must investigate and verify the existence and location of underground utilities and other construction affecting the Work.
 - 1. Before construction, the Contractor must verify the location and invert elevation at points of connection of sanitary sewer, storm sewer, water-service piping, and underground electrical services.
 - 2. The Contractor must furnish location data for Work related to the Project that must be performed by public utilities serving the Project Site.
- C. Acceptance of Conditions: Examine all existing substrates, areas, and conditions, with the subcontractor responsible for installation or application, for compliance with requirements for installation tolerances and other conditions affecting performance. The Contractor must record observations of these examinations:
 - 1. Verify compatibility with and suitability of substrates, including compatibility with existing finishes or primers.
 - 2. Examine roughing-in for mechanical and electrical systems to verify actual locations of connections before equipment and fixture installation.
 - 3. Examine walls, floors, and roofs for suitable conditions where products and systems are to be installed.Proceed with installation only after unsatisfactory conditions have been corrected. Proceeding with the Work indicates acceptance of surfaces and conditions.

3.6 ENVIRONMENTAL ASSESSMENTS:

- A. City Responsibilities: An Environmental Assessment and survey is performed by DDC and its findings are included in the Contract Documents. In accordance with the NYC Administrative Code Title 15 Chapter 1, an asbestos survey is required to be performed by an Asbestos Investigator certified by the NYC Department of Environmental Protection (DEP) to identify the presence of asbestos containing material (ACM) prior to any alteration, renovation, or demolition activity. The findings of such survey are required for the submission of approvals and permits issued by DOB. When the findings indicate that asbestos containing material is present and will be disturbed during the alteration, renovation, or demolition activity, then abatement design specifications will be incorporated into the Contract Documents. The Contractor must comply with all federal, state and local asbestos regulations affecting the work for this Contract.
- B. Contractor Responsibility: The Contractor must comply with all federal, state and local environmental regulations, including without limitation, United States Environmental Protection Agency (EPA) and Occupational Safety and Health Administration (OSHA) regulations, which require the Contractor to assess if lead-based paint will be disturbed during the Work in order to protect the Contractor's workers and the building occupants from migration of lead dust into the air. The Contractor must comply with all federal, state and local environmental waste disposal regulations which may be required during the Work. The Contractor is required to hire licensed abatement and disposal companies for the requisite Work.

3.7 PREPARATION:

- A. Field Measurements: The Contractor must verify all dimensions and conditions on the Site so that all Work will properly join the existing conditions.



- B. Before commencing the Work, the Contractor must examine all adjoining materials on which its Work is in any way dependent on good workmanship in accordance to the intent of the Specifications and the Contract Drawings. The Contractor must report to the Commissioner any condition that will prevent it from performing Work that conforms to the required Specifications.
- C. Existing Utility Information: The Contractor must furnish information to the Commissioner that is necessary to adjust, move, or relocate existing utility structures, utility poles, lines, services, or other utility appurtenances located in or affected by construction. Additionally, the Contractor must coordinate with authorities having jurisdiction.
- D. Space Requirements: The Contractor must verify space requirements and dimensions of items shown diagrammatically on the Contract Drawings.

3.8 DEFERRED CONSTRUCTION:

- A. In order to permit the installation of any item or items of equipment required to be furnished and installed within the time allowed for completing the Work of the Contract, the Contractor must defer construction Work limited to adequate areas as approved and certified by the Commissioner.
- B. The Contractor must confer with the affected trade subcontractors and ascertain arrangements, time, and facilities necessary to be made by the Contractor in order to execute the provisions specified herein.

3.9 INSTALLATION:

- A. General: The Contractor must locate the Work and components of the Work accurately, in correct alignment and elevation, as indicated.
 - 1. Make vertical Work plumb and make horizontal Work level.
 - 2. Where space is limited, install components to maximize space available for maintenance and ease of removal for replacement.
 - 3. Conceal pipes, ducts, and wiring in finished areas, unless otherwise indicated on the Contract Drawings.
- B. Comply with manufacturer's written instructions and recommendations for installing products in applications indicated.
- C. Install products at the time and under conditions that will ensure the best possible results. Maintain conditions required for product performance until Substantial Completion.
- D. Conduct construction operations so no part of the Work is subjected to damaging operations or loading in excess of that expected during normal conditions of occupancy.
- E. Tools and Equipment: Do not use tools or equipment that produce harmful noise levels.
- F. Templates: Obtain and distribute to the parties involved templates for Work specified to be factory-prepared and field-installed. Check shop drawings of other work and work of trade subcontractors to confirm that adequate provisions are made for locating and installing products to comply with indicated requirements.
- G. Anchors and Fasteners: Provide anchors and fasteners as required to anchor each component securely in place, accurately located and aligned with other portions of the Work.
 - 1. Mounting Heights: Where mounting heights are not indicated, mount components at heights directed by the Design Consultant.
 - 2. Allow for building movement, including thermal expansion and contraction.
 - 3. Coordinate installation of anchorages. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral



anchors that are to be embedded in concrete or masonry. Deliver such items to Project Site in time for installation.

- H. Joints: Make joints of uniform width. Where joint locations in exposed Work are not indicated, arrange joints for the best visual effect. Fit exposed connections together to form hairline joints.
- I. Hazardous Materials: Use products, cleaners, and installation materials that are not considered hazardous.

3.10 PERMITS:

- A. The Contractor must comply with all local, state and federal laws, rules, and regulations affecting the Work of this Project, including, without limitation, (1) obtaining all necessary permits for the performance of the Work prior to commencement thereof, and (2) complying with all requirements for the disposal of demolition and/or construction debris, waste, etc., including disposal in City landfills. The Contractor must be responsible for all costs in connection with such regulatory compliance, unless otherwise specified in the Contract.

3.11 TRANSPORTATION:

- A. Availability: The Contractor must determine the availability of transportation facilities and dockage for the use of its employees, equipment, and materials, and the conditions under which such use will be permitted.
- B. Costs: If transportation facilities and dockage are available and are permitted to be used by the governmental agency having jurisdiction, the Contractor must pay all necessary costs and expenses, and abide by all rules and regulations promulgated in connection therewith.
- C. Vehicles: With respect to the use of vehicles on highways and bridges, the Contractor's attention is directed to the limitations set forth in the Rules of the City of New York, Title 34, Chapter 4, Section 4-15.
- D. Continued Use: It is understood that the Commissioner makes no warranty as to the continued use by the Contractor of such facilities.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.12

3.12 SLEEVES AND HANGERS:

- A. Coordinate with Progress Schedule: The Contractor must promptly furnish and install conduits, outlets, piping sleeves, boxes, inserts and all other materials and equipment that is to be built into the Work in conformity with the requirements of the Project.
- B. Cooperation of Subcontractors: All subcontractors must fully cooperate with each other in connection with the performance of the above Work as "cutting in" new work is neither contemplated nor will it be tolerated.
- C. Timeliness: To avoid delay, in the event that timely delivery of sleeves and other materials cannot be made, the Contractor may arrange to have boxes or other forms set at the locations where the piping or other material is to pass through or into the slabs, walls or other Work. Upon the subsequent installation of the sleeves or other material, the Contractor must fill around them with materials as required by the Contract. The necessary expenditures incurred for the boxing out and filling in must be borne by the Contractor.
- D. Inserts: The Contractor is to install strip inserts four (4) foot on center and perpendicular to beams in ceiling slabs of boiler, machine, and mechanical equipment rooms. Inserts are to be installed for strippable concrete slabs only.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.13

3.13 SLEEVE AND PENETRATION DRAWINGS:

- A. As soon as practicable after the commencement of Work, and when the order in which concrete for the first slabs, walls, etc. to be poured is determined, the Contractor must submit to DDC a sketch indicating the location and size of all penetrations for sleeves, ducts, etc. which will be required to accommodate the mechanical trades in order to determine if such penetrations will materially weaken the Project's structure.



The sketch must be stamped and returned if approved and/or comments will be transmitted. The Contractor must continue to submit sketches as the pouring schedule and the concrete Work progresses and until approvals for the penetration sketches have been given. The Contractor must not predicate its layout Work on unapproved sketches.

3.14 CUTTING AND PATCHING:

- A. Responsibility: The Contractor must do all cutting, patching, and restoration required by its Work, unless otherwise particularly specified in the Specifications.
- B. Restore Work: The Contractor must restore any Work damaged during the performance of the Work.
- C. Competent Workers: All restoration Work must be done to the satisfaction of the Commissioner by competent workers skilled in the trade required by such restoration. If, in the judgment of the Commissioner, workers engaged in restoration Work are incompetent, they must be replaced immediately by competent workers.
- D. Structural Elements: Do not cut and patch structural elements without the prior approval, in writing, of the Resident Engineer.
- E. Operational Elements: Do not cut and patch operating elements and related components.
- F. Visual Requirements: Do not cut and patch construction in a manner that results in visual evidence of cutting and patching. Do not cut and patch construction exposed on the exterior or in occupied spaces in a manner that would, in the Commissioner's opinion, reduce the building's aesthetic qualities. The Contractor must remove and replace construction that has been cut and patched in a visually unsatisfactory manner.
- G. Existing Warranties: The Contractor must remove, replace, patch, and repair materials and surfaces cut or damaged during cutting and patching operations, by methods and with materials so as not to void existing warranties.
- H. Removals: The Contractor must remove from the premises all demolished materials of every nature or description resulting from cutting, patching, and restoration work, in accordance with the requirements hereinafter stipulated under Sub-Section 3.17 herein and as further required in Section 01 74 19, CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 3.15

3.15 LOCATION OF PARTITIONS:

- A. Within three (3) weeks after the concrete slabs have been poured on each floor level, the Contractor must immediately locate accurately all of the partitions, including the door openings, on the floor slabs in a manner approved by the Resident Engineer.

3.16 FURNITURE AND EQUIPMENT:

- A. Responsibility: The Contractor is responsible for moving all loose furniture and/or equipment in all areas where the location of such furniture and/or equipment interferes with the proper performance of its Work.
- B. Protection: All such furniture and/or equipment must be adequately protected with dust cloths and returned to their original locations when directed to do so by the Resident Engineer.

3.17 REMOVAL OF RUBBISH AND SURPLUS MATERIALS:

- A. Of the waste that is generated during demolition, as many of the waste materials as economically feasible must be reused, salvaged, or recycled. Waste disposal in landfills must be minimized. Comply with requirements of Section 01 74 19, CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL.



- B. Rubbish: Rubbish must not be thrown from the windows or other parts of the Project. Mason's rubbish, dirt and other dust-producing material must be wetted down periodically.
- C. Location: The Contractor must clean the Project Site and Work area daily, sweep up, and deposit at a location designated on each floor, all of its rubbish, debris, and waste materials as it accumulates or more frequently when directed by the Resident Engineer. Wood crating must be broken up, neatly bundled, tied, and stacked ready for removal and be deposited at a location designated on each floor.
 - 1. Comply with requirements in NYC Fire Department for removal of combustible waste materials and debris.
 - 2. Do not hold materials more than seven (7) Days during normal weather or three (3) Days if the temperature is expected to rise above 80 degrees F (27 degrees C).
 - 3. Containerize hazardous and unsanitary waste materials separately from other waste. Mark containers appropriately and dispose of legally, according to regulations.
- D. Laborers: Since the Contractor is responsible for the removal of all rubbish, etc., from the Site, the Contractor must employ and keep engaged for this purpose an adequate number of laborers.
- E. Surplus Materials: The Contractor must remove from the Site all surplus materials when there is no further use for same.
- F. Tools and Materials: At the conclusion of the Work, all erection plant, tools, temporary structures and materials belonging to the Contractor must be promptly removed.
- G. Waste Disposal: Burying or burning waste materials on-site will not be permitted. Washing waste materials down sewers or into waterways will not be permitted.

3.18 CLEANING:

- A. The Contractor must thoroughly clean all equipment and materials furnished and installed, and must deliver such materials and equipment undamaged in a clean and new appearing condition up to date of Final Acceptance.
- B. Site: Maintain Project Site free of waste materials and debris.
- C. Installed Work: Keep installed Work clean. Clean installed surfaces according to written instructions of manufacturer or fabricator of the product installed, using only cleaning materials specifically recommended. If specific cleaning materials are not recommended, use cleaning materials that are not hazardous to health or property and that will not damage exposed surfaces.
- D. Concealed Spaces: Remove debris from concealed spaces before enclosing the space.
- E. Exposed Surfaces in Finished Areas: Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration up to date of Final Acceptance.
- F. During handling and installation, clean and protect construction in progress and adjoining materials already in place. Apply protective covering where required to ensure protection from damage or deterioration up to date of Final Acceptance.

3.19 SECURITY AND PROTECTION OF WORK SITE:

- A. Provide protection of installed Work, including appropriate protective coverings, and maintain conditions that ensure installed Work is without damage or deterioration up to date of Final Acceptance.
- B. Comply with manufacturer's written instructions for temperature and relative humidity.
- C. Secure and protect Work and Work Site against damage, loss, injury, theft and/or vandalism.
- D. Maintain daily sign-in sheets of workers and visitors and make the sheets available to the Commissioner.



3.20 MAINTENANCE OF SITE AND ADJOINING PROPERTY:

- A. The Contractor must take over and maintain the Project Site, after order to start Work.
- B. The Contractor must be responsible for the safety of the adjoining property, including sidewalks, paving, fences, sewers, water, gas, electric and other mains, pipes and conduits etc. until the date of Final Acceptance. The Contractor must, at its own expense, except as otherwise specified, protect same and maintain them in at least as good a condition as that in which the Contractor finds them.
- C. All pavements, sidewalks, roads and approaches to fire hydrants must be kept clear at all times, maintained and repaired to serviceable condition with materials to match existing.
- D. Provide and keep in good repair all bridging and decking necessary to maintain vehicular and pedestrian traffic.
- E. The Contractor must also remove all snow and ice as it accumulates on the sidewalks within the Contract Limits Lines.

3.21 MAINTENANCE OF PROJECT SITE:

- A. The Contractor must take over and maintain all Project areas, after order to start Work.
- B. Until the date of Final Acceptance, the Contractor must be responsible for the safety of all Project areas, including water, gas, electric and other mains and pipes and conduits and must, at the Contractor's own expense, except as otherwise specified, protect same and maintain them in at least as good condition as that in which the Contractor finds them.
- C. All pavements, sidewalks, roads and approaches to fire hydrants must be kept clear at all times, maintained, and if damaged, repaired to serviceable conditions with materials to match existing.
- D. The Contractor must keep the space for the Resident Engineer in a clean condition.

3.22 SAFETY PRECAUTIONS FOR CONTROL CIRCUITS:

- A. Control circuits, the failure of which will cause a hazard to life and property, must comply with DOB Bureau of Electrical Control requirements.

3.23 OBSTRUCTIONS IN DRAINAGE LINES:

- A. The Contractor must be responsible for all obstructions occurring in all drainage lines, fittings, and fixtures after the installations and cleaning of these drainage lines, fittings, and fixtures, as certified by the Resident Engineer. Roof drains must be kept clear of any and all debris. Any stoppage must be repaired immediately at the expense of the Contractor.

3.24 PAYMENT OF ALLOWANCES:

- A. Unless otherwise called for in the Specifications, the following requirements apply to the payment and execution of Allowances established for the Contractor:
 - 1. Allowances are to be utilized when ordered and authorized in writing by the Commissioner.
 - 2. The Contractor will be paid on a time and materials (T&M) basis under the Allowance. Labor will be paid based on the Contractor's Certified Payrolls, all other expenses will be paid on an invoice basis. A markup of twelve percent (12%) for overhead and ten percent (10%) for profit will be allowed, except that no markup will be allowed on Payroll Taxes or on the premium portion of overtime pay or on sales and personal property taxes.



3.25 CORRECTION OF THE WORK

- A. Subject to the terms of the Contract, the Contractor must complete repair and restoration operations before requesting inspection for determination of Substantial Completion.
- B. Contractor must repair or remove and replace defective construction. Repairing includes replacing defective parts, refinishing damaged surfaces, touching up with matching materials, and properly adjusting operating equipment. Where damaged or worn items cannot be repaired or restored, provide replacements. Remove and replace operating components that cannot be repaired. Restore damaged construction and permanent facilities used during construction to specified condition.
 - 1. Remove and replace chipped, scratched, and broken glass, reflective surfaces, and other damaged transparent materials.
 - 2. Touch up and otherwise repair and restore marred or exposed finishes and surfaces. Replace finishes and surfaces that already show evidence of repair or restoration.
 - a. Do not paint over "UL" and other required labels and identification, including mechanical and electrical nameplates. Remove paint applied to required labels and identification.
 - 3. Replace parts subject to operating conditions during construction that may impede operation or reduce longevity.
 - 4. Replace burned-out bulbs, bulbs noticeably dimmed by hours of use, and defective and noisy starters in fluorescent and mercury vapor fixtures to comply with requirements for new fixtures.

END OF SECTION 01 73 00



**Department of
Design and
Construction**

Division 01 – DDC STANDARD GENERAL CONDITIONS
SINGLE CONTRACT PROJECTS
Issue Date: July 1, 2022

(No Text on This Page)



**SECTION 01 74 19
CONSTRUCTION WASTE MANAGEMENT AND
DISPOSAL**

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

- A. This section includes administrative and procedural requirements for the management and disposal of construction waste and includes the following requirements:
 - 1. Waste Management Goals
 - 2. Waste Management Plan
 - 3. Progress Reports
 - 4. Progress Meetings
 - 5. Management Plan Implementation
- B. This section includes:
 - 1. Definitions
 - 2. Waste Management Performance Requirements
 - 3. Reference Resources
 - 4. Submittals
 - 5. Quality Assurance
 - 6. Waste Plan Implementation
 - 7. Additional Demolition and Salvage Requirements
 - 8. Disposal

1.3 RELATED SECTIONS: Include without limitation the following:

- A. Section 01 10 00 SUMMARY
- B. Section 01 31 00 PROJECT MANAGEMENT AND COORDINATION
- C. Section 01 32 00 CONSTRUCTION PROGRESS DOCUMENTATION
- D. Section 01 73 00 EXECUTION
- E. Section 01 77 00 CLOSEOUT PROCEDURES
- F. Section 01 78 39 CONTRACT RECORD DOCUMENTS
- G. Refer to the Addendum to identify whether this Project is designed to comply with a Certification Level according to the U.S. Green Building Council's LEED Rating System, as specified in Section 01 81 13.03 "SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v3 BUILDINGS" or Section 01 81 13.04 "SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v4 BUILDINGS".

1.4 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.



<u>Term</u>	<u>Definition</u>
Alternative Daily Cover (ADC)	Material other than earthen material placed on the surface of the active face of a municipal solid Waste landfill at the end of each Work Day to control vectors, fires, odors, blowing litter and scavenging.
Design Consultant	The entity responsible for providing design services for the Project, including without limitation, preparing the construction documents (drawings and specifications) and providing services in connection with such documents during construction. The entity serving as the Design Consultant may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.
Clean	Untreated and unpainted; not contaminated with oils, solvents, caulk or the like.
Construction and Demolition (C&D) Waste	Solid Wastes typically including building materials, trash debris and rubble resulting from remodeling, repair and demolition operations. Hazardous materials and land clearing Waste are not included.
Diversion from Landfill	Material removal from the Site for Recycling, Reuse or Salvage that might otherwise be sent to a landfill.
Off-site Sorting	Material types that are combined on the project site and hauled away for sorting. Measured weights only. Approximations of weight or volume based on visual inspection are not acceptable. <ul style="list-style-type: none"> a. Off-site Sorting Method 1: Diversion Rate derived from the weight of the individual diverted material type divided by the weight of the commingled waste. Individual diverted material types handled through this sorting method are each counted as an individual diverted material type. b. Off-site Sorting Method 2: Diversion Rate derived from the waste sorting facility average diversion rate, multiplied by the weight of the commingled waste. All diverted materials handled through this sorting method are counted as a single diverted material type.
On-site Sorting	Material types that have been sorted in segregated containers or project areas for removal as segregated diverted material types. Measured weights only. Approximations of weight or volume based on visual inspection are not acceptable. <ul style="list-style-type: none"> a. On-site Sorting: Diversion Rate derived from the weight of the diverted material type. Material diverted through this sorting method are each counted as an individual diverted material type.
Recyclable	The ability of a product or material to be recovered at the end of its life cycle and remanufactured into a new product.
Recycle (recycling)	To sort, separate, process, treat or reconstitute solid Waste and other discarded materials for the purpose of redirecting such materials into the manufacture of useful products. Recycling does not include burning, incinerating or thermally destroying Waste.
Return	To give back Reusable items or unused products to vendors.



Reuse	To reuse excess or discarded construction material in some manner on the Project Site.
Salvage	To remove a Waste material from the Project Site for resale or reuse.
Waste	Extra material or material that has reached the end of its useful life in its intended use. Waste includes Salvageable, Returnable, Recyclable and Reusable material.
Waste Management Plan	A Project-related plan for the collection, transportation and disposal of Waste generated at the construction Site. The purpose of the plan is to ultimately reduce the amount of material becoming landfill.
Waste-to-Energy	The conversion of non-Recyclable Waste materials into usable heat, electricity or fuel through a variety of processes, including combustion, gasification, pyrolization, anaerobic digestion and landfill gas recovery.

1.5 WASTE MANAGEMENT PERFORMANCE REQUIREMENTS:

- A. The City of New York has established that this Project must generate the least amount of Waste possible and employ processes that ensure the generation of as little Waste as possible due to error, inaccurate planning, breakage, mishandling, contamination, or other factors.
- B. Of the Waste that is generated during demolition, as many of the Waste materials as economically feasible, and as stated here, must be Reused, Salvaged, or Recycled. Waste disposal in landfills must be minimized.

REFER TO THE ADDENDUM FOR THE APPLICABILITY OF SUB-SECTION 1.5 C

- C. LEED CERTIFICATION: The City of New York will seek Leadership in Energy and Environmental Design (LEED) certification for this Project as indicated in the Addendum to the General Conditions from the U.S. Green Building Council. The documentation required here will be used for this purpose. LEED awards points for a variety of sustainable design measures on a project, one of which is the Reuse and Recycling of project Waste.
- D. DIVERSION REQUIREMENTS. With the exception of LEED v4 projects with demolition ADC Waste, a minimum of seventy-five percent (75%) of total Project demolition and construction Waste (by weight) must be diverted from landfill through at least four (4) diverted material types. LEED v4 projects with demolition ADC Waste must divert a minimum of fifty percent (50%) of total Project demolition and construction Waste (by weight) from landfill through at least three (3) diverted material types. The following Waste categories are likely candidates to be included in the diversion plan as applicable for this Project:
 - 1. Concrete;
 - 2. Bricks;
 - 3. Concrete masonry units (CMU);
 - 4. Asphalt;
 - 5. Metals (e.g. banding, stud trim, ceiling grid, ductwork, piping, rebar, roofing, other trim, steel, iron, galvanized, stainless steel, aluminum, copper, zinc, brass, bronze);
 - 6. Clean dimensional wood;



7. Carpet and pad;
 8. Drywall;
 9. Ceiling tiles;
 10. Cardboard, paper and packaging; and
 11. Reuse items indicated on the Contract Drawings and/or elsewhere in the Specification.
- E. All fluorescent lamps, High Intensity Discharge lamps and mercury-containing thermostats removed from the Site must be Recycled. Do not use bulb crusher on Site.
- F. Recycling on the job, subject to the Commissioner's approval, is encouraged on the Site itself, such as the crushing and reuse of removed sound concrete and stone. Include these categories in the Waste Management Plan.
- G. Land-clearing debris is not considered construction, demolition or renovation Waste and is not to be included as contribution to Waste diversion.
- H. A minimum of five (5) material types, both structural and nonstructural, are to be identified in the Construction Waste Management Plan for diversion.
- I. For LEED v4 projects, material to be used as ADC does not qualify as material diverted from disposal.

1.6 REFERENCES, RESOURCES:

- A. DDC encourages its Contractors to seek information from websites and experts in Salvage or Recycling in order to minimize disposal costs. There are numerous opportunities to sell, Salvage, or to donate materials and accrue tax benefits (which would accrue to the Contractor responsible for removal); there are also outlets that will pick up, and in some cases, buy Recyclable materials. Examples of information resources are as follows:
1. A standard Construction and Demolition (C&D) Waste Management Log form is available through DDC's Sustainable Design website:
<https://www1.nyc.gov/assets/ddc/downloads/Sustainable/forms-local-law-86/waste-tracking-form.pdf>.
 2. Web Resources (information only; no warranty or endorsement is implied):
 - a. www1.nyc.gov/assets/donate/site/ – Website of donateNYC, a network of nonprofit organizations in New York City that accept and distribute second-hand and surplus goods.
 - b. www.bignyc.org – Website of Build It Green NYC, a non-profit outlet for Salvaged and surplus building materials.
 - c. www.usgbc.org – Website of the United States Green Building Council, with a description of the LEED certification process and requirements for C&D Waste Recycling.
 - d. www.epa.gov/smm/sustainable-management-construction-and-demolition-materials – Website of the U.S. Environmental Protection Agency (EPA) that discusses C&D Waste issues, and links to other resources.
 3. Waste-to-Energy Facilities that need to comply with European Standard (EN) for Waste management and emissions into air, soil, surface water and groundwater:
 - a. www.ec.europa.eu/environment/waste/framework/index.htm – European Commission Waste Framework Directive 2008/98/EC.
 - b. <https://eur-lex.europa.eu/homepage.html> - European Commission Waste



Incineration Directive 2000/76/EC.

- c. www.cen.eu/cen/Products – EN Standards 303-1, 303-2, 303-3, 303-4, 303-5, 303-6, 303-7.

1.7 SUBMITTALS:

- A. The Contractor must refer to Section 01 33 00 SUBMITTAL PROCEDURES for submittal requirements.
- B. The Contractor must be responsible for the development and implementation of a Waste Management Plan for the Project. The Contractor's subcontractors must assist in the development of that Plan, and collect and deposit their Waste and Recyclable materials in accordance with the approved Plan.
- C. Draft Waste Management Plan: Within fifteen (15) Days after receipt of the Notice to Proceed (NTP), or prior to any Waste removal, whichever occurs sooner, the Contractor must submit to the Commissioner a Draft Waste Management Plan. Include separate sections for C&D Waste. The Plan must demonstrate how the performance goals will be met, and contain the following:
1. List of material types targeted for Reuse, Salvage, or Recycling, and names, addresses, and phone numbers of receiving facilities/companies that will be purchasing or accepting each material. Each material listed is to include estimated amount in tons and percentage of overall construction waste of each of the material streams.
 2. Estimation of the percentage of overall construction waste that will be sent to landfill.
 3. Description of on-Site and/or off-Site sorting methods for all materials to be removed from Site. Off-site sorting methods must be categorized as Off-site Sorting Method 1 or Off-site Sorting Method 2.
 4. If mixed C&D Waste is to be sorted off-Site, provide a letter from the processor stating the average percentage of mixed C&D Waste they Recycle. Waste processor's average percentage of mixed C&D waste must not include Alternative Daily Cover as a recycled material for LEED v4 projects.
 5. Landfill information: Names of landfills where non-Recyclable/reusable/salvageable Waste will be disposed, and list of applicable tipping fees.
 6. Material handling procedures: Specify whether materials must be separated or commingled and describe the planned diversion strategies. Describe expected amount of each material type, where materials must be taken and how the Recycling facility must process the material. Provide a description of the means by which any Recyclable, Salvaged, or Reused materials will be protected from contamination and collected in a manner that will meet the requirements for acceptance by the designated Recycling processors.
 7. Transportation: A description of the means of transportation and destination for Recycled materials.
 8. Meetings: Regular meetings must be held monthly, or as directed by the Commissioner, and the Contractor must provide a description of these meetings to address Waste management.
 9. Sample spreadsheet and description of how the implementation of the Plan will be documented and submitted on a monthly basis.
- D. Final Waste Management Plan: Within fifteen (15) Days of Commissioner's approval of the Draft Waste Management Plan, the Contractor must submit a Final Waste Management Plan.
- E. Progress Reports: The Contractor must submit a monthly Waste Management Progress Report, containing the following information:



1. Project title, name of company completing report, and dates of period covered by the report.
 2. Report on the disposal of all Project Site Waste. A DDC C&D Waste Management Log form is included at the end of this section. For each shipment of material removed from the Site, provide the following:
 - a. Date and ticket number of removal;
 - b. Identity of material hauler;
 - c. Material type;
 - d. Waste sorting method;
 - e. Total quantity of Waste, in tons/cubic yards, by type;
 - f. Quantity of Waste Salvaged, Recycled and/or Reused, by type;
 - g. Total quantity of Waste diverted from landfill (Recycled, Salvaged, Reused) as a percentage of total Waste; and
 - h. Recipient of each material type.
 3. Provide monthly and cumulative Project totals of Waste, quantity diverted, and percentage diverted.
 4. Note that the unit of measurement may be either tons or cubic yards but must be consistent for all shipments and all materials throughout the Project. Reports with inconsistent or mixed units will not be reviewed and will be Returned for re-submission.
 5. Include legible copies of on-Site logs, weight tickets and receipts. Receipts must be from charitable organizations, Recycling and/or disposal site operators who can legally accept the materials for the purpose of reuse, Recycling or disposal. Contractor must save such original documents for the life of the Project plus seven (7) years.
- F. LEED Submittal: For LEED-designated projects, submit final LEED construction Waste report signed by the Contractor, tabulating total Waste material, quantities diverted and means by which it is diverted, and statement that requirements for the credit have been met. Waste report must include:
1. At least four (4) material streams for diverted materials;
 2. Documentation of Recycling rates for commingled facilities; and
 3. For Waste-to-Energy strategy, submit documentation of facility adherence to relevant EN standards, and justification for the strategy.
- G. Refrigerant Recovery: Where refrigerant is recovered, submit statement of refrigerant recovery, which must include:
1. Name, address, qualification data and signature of the refrigerant recovery technician responsible for recovering refrigerant;
 2. Statement that all refrigerant that was present was recovered and that recovery was performed according to EPA regulations; and
 3. Date refrigerant was recovered.



1.8 QUALITY ASSURANCE:

- A. The Contractor must designate a Construction Waste Management Representative to ensure compliance with this section. The Representative must be present at the Project Site full-time and for the duration of the Project.
- B. Refrigerant Recovery Technician Qualifications: Certified by EPA-approved certification program.
- C. Regulatory Requirements: Comply with hauling and disposal regulations of authorities having jurisdiction.
- D. Waste Management Plans, documentation, and implementation must be discussed at the following meetings:
 - 1. Pre-demolition kick-off meeting;
 - 2. Pre-construction kick-off meeting;
 - 3. Regular job-site meetings; and
 - 4. Contractor toolbox meetings.
- E. For LEED v4 projects, Waste-to-Energy Facilities: Comply with EN standards for Waste management and emissions into air, soil, surface water, and groundwater.

PART II – PRODUCTS (Not Used)

PART III – EXECUTION

3.1 WASTE PLAN IMPLEMENTATION:

- A. Prior to the demolition and construction start, the Contractor must implement the Waste Management Plan, coordinate the Plan with all affected trades, and designate one individual as the Construction Waste Management Representative. The Representative will be responsible for communicating the progress of the Plan with the Commissioner on a regular basis and for assembling the required LEED documentation.
- B. The Contractor must be responsible for the provision of containers and the removal of all Waste, non-Returned surplus materials and rubbish from the Site in accordance with the approved Waste Management Plan. The Contractor must oversee and document the results of the Plan. Monies received for Salvaged materials must remain with the Contractor, except the monies for those items specifically identified elsewhere in the specifications or indicated on the Contract Drawings as belonging to others.
- C. Responsibilities of subcontractors: Each subcontractor must be responsible for collecting its Waste, non-Returned surplus materials and rubbish, in accordance with the Waste Management Plan.
- D. Distribution: The Contractor must distribute copies of the Waste Management Plan to each subcontractor, Resident Engineer, Construction Manager, and the Commissioner.
- E. Instruction: The Contractor must provide on-Site instruction of proper Waste management procedures to be used by all parties at appropriate stages of the Project.
- F. Procedures: Conduct Waste management operations to ensure minimum interference with Site vegetation, roads, streets, walkways and other adjacent, occupied, and used facilities. The waste management operations include, but are not limited to:
 - 1. Collect commingled Waste and/or separate all Recyclable Waste in accordance with the Plan. Specific areas on the Project Site are to be designated, and appropriate containers and bins clearly marked with acceptable and unacceptable materials.
 - 2. Inspect containers and bins for contamination and remove contaminated materials if found. Comply with requirements in the following General Conditions sections for controlling dust



and dirt, environmental protection, and noise control: Section 01 81 19 - INDOOR AIR QUALITY REQUIREMENTS FOR LEED BUILDINGS, Section 01 81 13.03 - SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v3 BUILDINGS or Section 01 81 13.04 - SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v4 BUILDINGS, Section 01 10 00 – SUMMARY, Section 01 35 26 - SAFETY REQUIREMENTS PROCEDURES, Section 01 50 00 - TEMPORARY FACILITIES, SERVICES AND CONTROLS, and Section 01 73 00 – EXECUTION..

3.2 ADDITIONAL DEMOLITION AND SALVAGE REQUIREMENTS:

- A. Demolition and Salvage of additional items indicated in other sections of the Project Specifications require special attention as part of the overall seventy-five percent (75%) Diversion from Landfill. Specific requirements for special attention are designated in other sections of the Project Specifications.

3.3 DISPOSAL:

- A. General: Except for items or material to be Salvaged, Recycled, or otherwise Reused, remove Waste material from the Project Site and legally dispose of them in a manner acceptable to authorities having jurisdiction.
 - 1. Except as otherwise specified, do not allow Waste materials that are to be disposed of to accumulate on Site.
 - 2. Remove and transport debris in a manner that will prevent spillage on adjacent surfaces and areas.
- B. Burning: Do not burn Waste materials.
- C. Disposal: Transport Waste materials off Project Site and legally dispose of them.

END OF SECTION 01 74 19



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**SECTION 01 77 00
CLOSEOUT PROCEDURES**

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

- A. This section includes administrative and general procedural requirements for Closeout Procedures, including, without limitation, the following:
 - 1. Definitions
 - 2. Substantial Completion
 - 3. Final Acceptance
 - 4. Warranties
 - 5. Final Cleaning
- B. LEED: Refer to the Addendum to identify whether this Project is designed to comply with a Certification Level according to the U.S. Green Building Council's (USGBC) Leadership in Energy & Environmental Design (LEED) Rating System, as specified in Section 01 81 13.03 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v3 BUILDINGS or Section 01 81 13.04 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v4 BUILDINGS.
- C. COMMISSIONING: Refer to the Addendum to identify whether this Project will be commissioned by an independent third party under separate contract with the City of New York. Commissioning must be in accordance with ASHRAE and USGBC LEED- NC procedures, as described in Section 01 91 13 GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS and Section 01 91 15 GENERAL COMMISSIONING REQUIREMENTS FOR BUILDING ENCLOSURE. The Contractor must cooperate with the Commissioning Agent and provide whatever assistance is required.

1.3 RELATED SECTIONS: include without limitation the following:

- A. Section 01 10 00 SUMMARY
- B. Section 01 33 00 SUBMITTAL PROCEDURES
- C. Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL
- D. Section 01 78 39 CONTRACT RECORD DOCUMENTS
- E. Section 01 79 00 DEMONSTRATION AND OWNER'S PRE-ACCEPTANCE ORIENTATION

1.4 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.



<u>Term</u>	<u>Definition</u>
Design Consultant	The entity responsible for providing design services for the Project, including without limitation, preparing the construction documents (drawings and specifications) and providing services in connection with such documents during construction. The entity serving as the Design Consultant may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.

1.5 SUBSTANTIAL COMPLETION:

- A. Preliminary Procedures: Before requesting inspection to determine the date of Substantial Completion, the Contractor must complete and supply all items required by the Contract Specifications, General Conditions, Addendum to the General Conditions, change orders or other directives from the Commissioner’s representatives. The required items will include all Contract requirements for Substantial Completion, including, but not limited to, items related to releases, regulatory approvals, warranties and guarantees, record documents, testing, demonstration and orientation, final clean up and repairs, and all specific checklist of items by the Resident Engineer. (See Attachment “A” at the end of this section for sample requirements for Substantial Completion).
- B. The Contractor must prepare and submit a list to the Resident Engineer of incomplete items, the value of incomplete construction, and reasons the Work is not complete.
- C. Inspection: The Contractor must submit to the Resident Engineer a written request for inspection for Substantial Completion. Within ten (10) Days of receipt of the request, the Resident Engineer will either proceed with inspection or notify Contractor of unfulfilled requirements. The Resident Engineer may request the services, as required, of the Design Consultant, client agency representative and/or other entities having involvement with the Work to assist in the inspection of the Work. If the Resident Engineer makes a determination that the Work is Substantially Complete and approves the Final Approved Punch List and the date for Final Acceptance, he/she will so advise the Commissioner and recommend issuance of the Certificate of Substantial Completion. If the Resident Engineer determines that the Work is not substantially complete, he/she will notify the Contractor of those items that must be completed or corrected before the Certificate of Substantial Completion will be issued.
 - 1 Re-inspection: Contractor must request re-inspection when the Work identified in previous inspections as incomplete are completed or corrected.
 - 2 Results of completed inspection will form the basis of the requirements for Final Acceptance.

1.6 FINAL ACCEPTANCE:

- A. Preliminary Procedures: Before requesting final inspection for Final Acceptance of the Work, the Contractor must complete the following. (Note that the following are to be completed, submitted as appropriate, and approved by the Commissioner, as applicable, prior to the final inspection and are not to be submitted for approval or otherwise at the final inspection unless specifically indicated). List exceptions in the request.
 - 1. Verify that all required submittals have been provided to the Commissioner including, but not limited to, the following:
 - a. Manufacturer’s cleaning instructions;
 - b. Posted instructions;
 - c. As-built Contract Documents (Drawings, Specifications, and product data) as described in Section 01 78 39 CONTRACT RECORD DOCUMENTS, incorporating any changes required



- by the Commissioner as a result of the review of the submission prior to the pre-final inspection;
- d. Operation and maintenance manuals, including preventive maintenance, special tools, repair requirements, parts list, spare parts list, and operating instructions;
 - e. Completion of required demonstration and orientation, as applicable, of designated personnel in operation and maintenance of systems, sub-systems and equipment;
 - f. Applicable LEED Building submittals as described in Section 01 81 13.03 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v3 BUILDINGS or Section 01 81 13.04 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v4 BUILDINGS; and
 - g. Construction progress photographs as described in Section 01 32 33 PHOTOGRAPHIC DOCUMENTATION.
2. Submit a certified copy of the Final Approved Punch List of items to be completed or corrected. The certified copy of the Punch List must state that each item has been completed or otherwise resolved for acceptance, and must be endorsed and dated by the Contractor.
 3. Submit pest-control final inspection report and survey as required in Section 01 50 00 TEMPORARY FACILITIES AND CONTROLS.
 4. Submit record documents and similar final record information.
 5. Deliver tools and similar items.
 6. Complete final clean-up requirements including touch-up painting of marred surfaces.
 7. Submit final meter readings for utilities, as applicable, a measured record of stored fuel, and similar data as of the date when the City took possession of and assumed responsibility for corresponding elements of the Work.
- B. Final Inspection: The Contractor must submit to the Resident Engineer a written request for inspection for Final Acceptance of the Work. Within ten (10) Days of receipt of the request, the Resident Engineer will either proceed with inspection or notify the Contractor of unfulfilled requirements. The Resident Engineer may request the services, as required, of the Design Consultant, client agency representative and/or other entities having involvement with the Work to assist in the inspection of the Work. If the Resident Engineer finds that all items on the Final Approved Punch List are complete and no further Work remains to be done, he/she will so advise the Commissioner and recommend the issuance of the determination of Final Acceptance. If the Resident Engineer determines that the Work is not complete, he/she will notify the Contractor of those items that must be completed or corrected before the determination of Final Acceptance will be issued.
- C. Final Acceptance: The Work will be accepted as final and complete as of the date of the Resident Engineer's inspection if, upon such inspection, the Resident Engineer finds that all items on the Final Approved Punch List are complete and no further Work remains to be done. The Commissioner will then issue a written determination of Final Acceptance.

1.7 WARRANTIES:

- A. Schedule B of the Addendum lists the items of materials and/or equipment for which manufacturer warranties are required. For each item of material and/or equipment listed in Schedule B, the Contractor must obtain a written warranty from the manufacturer. Such warranty must provide that the material or equipment is free from defects for the period set forth in Schedule B and will be replaced or repaired within such specified period. The Contractor must deliver all required warranties to the Commissioner.
- B. Unless indicated otherwise, warranties are to take effect on the date of Substantial Completion.



- C. Submittal Time: Submit written warranties on request of the Commissioner for designated portions of the Work where commencement of warranties other than date of Substantial Completion is indicated.
- D. Partial Occupancy: Submit properly executed warranties to the Commissioner within fifteen (15) Days of completion of designated portions of the Work that are completed and occupied or used by the City.
- E. Organize the warranty documents into an orderly sequence based on the Project Specification Divisions and Section Numbers.
 - 1. Bind warranties in heavy-duty, 3-ring, vinyl-covered, loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2-by-11-inch paper.
 - 2. Identify each binder on the front and spine with the typed or printed title "WARRANTIES"; name and location of Project; Capitol Budget Project Number (FMS ID); and Contractor's and applicable subcontractor's name and address.
 - 3. Provide heavy paper dividers with plastic-covered tabs for each separate warranty. Mark tab to identify the product or installation.
 - 4. Provide a typed description of each product or installation being warranted, including the name of the product, and the name, address, and telephone number of the installer.
- F. When warranted materials and/or equipment require operation and maintenance manuals, provide additional copies of each required warranty in each required manual. Refer to Section 01 78 39 CONTRACT RECORD DOCUMENTS, for requirements of operation and maintenance manuals.

PART II – PRODUCTS

2.1 MATERIALS:

- A. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.

PART III – EXECUTION

3.1 FINAL CLEANING:

- A. General: Provide final cleaning. Conduct cleaning and waste-removal operations to comply with local laws and ordinances and federal and local environmental and antipollution regulations.
- B. Cleaning: Employ experienced workers or professional cleaners for final cleaning. Clean each surface or unit to condition expected in an average commercial building cleaning and maintenance program. Comply with manufacturer's written instructions.
 - 1. Complete the following cleaning operations, as applicable, before requesting inspection for Final Acceptance of the Work for the entire Project or for a portion of the Project:
 - a. Clean Project Site, yard, and grounds in areas disturbed by construction activities, including landscape development areas, of rubbish, waste material, litter, and other foreign substances.
 - b. Sweep paved areas broom clean. Remove petrochemical spills, stains, and other foreign deposits.
 - c. Rake grounds that are neither planted nor paved to a smooth, even-textured surface.
 - d. Remove tools, construction equipment, machinery, and surplus material from Project Site.
 - e. Remove snow and ice to provide safe access to building.



- f. Clean exposed exterior and interior hard-surfaced finishes to a dirt-free condition, free of stains, films, and similar foreign substances. Avoid disturbing natural weathering of exterior surfaces. Restore reflective surfaces to their original condition.
 - g. Remove debris and surface dust from limited access spaces, including roofs, plenums, shafts, trenches, equipment vaults, manholes, attics, and similar spaces.
 - h. Sweep concrete floors broom clean in unoccupied spaces.
 - i. Vacuum carpet and similar soft surfaces, removing debris and excess nap; shampoo if visible soil or stains remain.
 - j. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compounds and other noticeable, vision-obscuring materials. Replace chipped or broken glass and other damaged transparent materials. Polish mirrors and glass, taking care not to scratch surfaces.
 - k. Remove labels that are not permanent.
 - l. Touch up and otherwise repair and restore marred, exposed finishes and surfaces. Replace finishes and surfaces that cannot be satisfactorily repaired or restored or that already show evidence of repair or restoration.
 - 1) Do not paint over "UL" and similar labels, including mechanical and electrical nameplates.
 - m. Wipe surfaces of mechanical and electrical equipment and similar equipment. Remove excess lubrication, paint and mortar droppings, and other foreign substances.
 - n. Replace parts subject to unusual operating conditions.
 - o. Clean plumbing fixtures to a sanitary condition, free of stains, including stains resulting from water exposure.
 - p. Replace disposable air filters and clean permanent air filters. Clean exposed surfaces of diffusers, registers, and grills.
 - q. Clean ducts, blowers, and coils if units were operated without filters during construction.
 - r. Clean light fixtures, lamps, globes, and reflectors to function with full efficiency. Replace burned-out bulbs, and those noticeably dimmed by hours of use, and defective and noisy starters in fluorescent and mercury vapor fixtures to comply with requirements for new fixtures.
 - s. Leave Project clean and ready for occupancy.
 - t. Construction Waste Disposal: Comply with waste disposal requirements in Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL.
- C. Pest Control: Engage an experienced, licensed exterminator to make a final inspection and rid Project of rodents, insects, and other pests, as required in Section 01 50 00 TEMPORARY FACILITIES, SERVICES AND CONTROLS. Prepare and submit a pest control report to the Commissioner.
- D. Comply with all applicable safety standards for cleaning. Do not burn waste materials. Do not bury debris or excess materials on City's property. Do not discharge volatile, harmful, or dangerous materials into drainage systems. Remove waste materials from Project Site and dispose of lawfully.

END OF SECTION 01 77 00



SECTION 01 77 00

ATTACHMENT 'A'

The following list is a general sample of Substantial Completion requirements, including, but not limited to:

1. Prepare and submit a list to the Resident Engineer of incomplete items, the value of incomplete construction, and reasons the Work is not complete.
2. Obtain and submit any necessary releases enabling the City unrestricted use of the Project and access to services and utilities.
3. Regulatory Approvals: Submit all required documentation from applicable governing authorities, including, but not limited to, the New York City Department of Buildings (DOB); Department of Transportation (DOT); Department of Environmental Protection (DEP); Fire Department (FDNY); etc. Documentation includes, but is not limited to, the following:
 - a. Building permits, applications and sign-offs;
 - b. Permits and sign-off for construction fences; sidewalk bridges; scaffolds, cranes and derricks; utilities; etc.;
 - c. Certificates of inspections and sign-offs;
 - d. Required certificates and use permits; and
 - e. Certificate of Occupancy (C.O.), Temporary Certificate of Occupancy (T.C.O.) or Letter of Completion as applicable.
4. Submit specific warranties required by the Specifications, final certifications, and similar documents.
5. Prepare and submit Contract Documents as described in Section 01 78 39, CONTRACT RECORD DOCUMENTS, including but not limited to:
 - a. Approved documentation from governing authorities;
 - b. As-built record drawings and Specifications; product data; operation and maintenance manuals;
 - c. Final Completion construction photographs;
 - d. Damage or settlement surveys;
 - e. Final property surveys; and
 - f. Similar final record information.
 - g. The Resident Engineer will review the submission and provide appropriate comments. If comments are significant, the initial submission will be returned to the Contractor for correction and re-submission incorporating the comments prior to the Final Inspection.
6. Record Waste Management Progress Report: Submit Construction & Demolition (C&D) Waste Management logs, with legible copies of weight tickets and receipts required in accordance with Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL.
7. If applicable submit LEED letter template in accordance with the requirements of Section 01 81 13.03 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v3 BUILDINGS or Section 01 81 13.04 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v4 BUILDINGS.



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8. Schedule applicable demonstration and orientation required in other sections of the Project Specifications and as described in Section 01 79 00 DEMONSTRATION AND OWNER'S PRE-ACCEPTANCE ORIENTATION.
9. Deliver tools and similar items to location designated by Resident Engineer. Label with manufacturer's name and model number where applicable.
10. Make final changeover of permanent locks and deliver keys to the Resident Engineer. Advise Commissioner of changeover in security provisions.
11. Complete startup testing of systems as applicable.
12. Submit approved test/adjust/balance records.
13. Terminate and remove temporary facilities from Project Site, along with mockups, construction tools, and similar elements as directed by the Resident Engineer.
14. If applicable, complete Commissioning requirements as defined in Section 01 91 13 GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS and/ or Section 01 91 15 BUILDING ENCLOSURE COMMISSIONING REQUIREMENTS.
15. Complete final cleaning requirements, including touchup painting.
16. Touch up and otherwise repair and restore marred exposed finishes to eliminate visual defects.



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**SECTION 01 78 39
CONTRACT RECORD DOCUMENTS**

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

- A. This section includes administrative and general procedural requirements for Contract Record Documents, including:
1. Contract Record Drawings
 2. Record Specifications, Addenda and Change Orders
 3. Record Product Data
 4. Record Sample Submittal
 5. Construction Record Photographs
 6. Operating and Maintenance Manuals
 7. Final Site Survey
 8. Demonstration and Orientation DVD
 9. Guarantees and Warranties
 10. Waste Disposal Documentation
 11. LEED Materials and Matrix
 12. Miscellaneous Record Submittals
- B. The Department of Design and Construction (DDC), at the start of construction (kick-off meeting), will furnish to the Contractor, at no cost, a complete set of Contract Record Drawings (PDF set) pertaining to the Work to be performed under the Contract. It is the responsibility of the Contractor to modify the Contract Drawings to indicate all changes and corrections, if any, occurring in the Work as actually installed. The Contractor is required to furnish all other drawings, if necessary, such as Addenda Drawings and Supplementary Drawings as may be necessary to indicate all Work in detail as actually completed. All professional seals must be blocked out. Title box complete with Project title and Design Consultants' names will remain.
- C. Maintenance of Documents and Samples: The Contractor must maintain, during the progress of the Work, an accurate record of the Work as actually installed, on Contract Record Drawings (PDF set). Store Contract Record Documents and samples in the field office apart from the Contract Documents used for construction. Do not use Contract Record Documents for construction purposes. Maintain Contract Record Documents in good order and in a clean, dry, legible condition. Make documents and samples available at all times for the Resident Engineer's inspections.
1. The Contractor's attention is particularly directed to the necessity of keeping accurate records of all subsurface and concealed Work, so that the Contract Record Drawings contain this information in exact detail and location. Contract Record Drawings must also show all connections, valves, gates, switches, cut-outs and similar operating equipment.



2. For projects designated to achieve a Leadership in Energy and Environmental Design (LEED) rating, the Contractor will receive a copy of the Project’s LEED scorecard for the purpose of monitoring compliance with the target objectives and to facilitate coordination with the LEED Consultant. The Contractor will receive periodic updates of this scorecard and is required to submit the final version of the Scorecard at Substantial Completion with other Project Record Documents.

1.3 RELATED SECTIONS: include without limitation the following:

- | | | |
|----|------------------|-------------------------------------|
| A. | Section 01 10 00 | SUMMARY |
| B. | Section 01 32 00 | CONSTRUCTION PROGRESS DOCUMENTATION |
| C. | Section 01 32 33 | PHOTOGRAPHIC DOCUMENTATION |
| D. | Section 01 33 00 | SUBMITTAL PROCEDURES |
| E. | Section 01 77 00 | PROJECT CLOSEOUT PROCEDURES |

1.4 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.

<u>Term</u>	<u>Definition</u>
Commissioning Authority / Commissioning Agent (CxA)	The entity responsible for providing commissioning services for the Project. The entity serving as the CxA may be either an employee(s) of the City or an entity engaged by the City to provide such services.
Design Consultant	The entity responsible for providing design services for the Project, including without limitation, preparing the construction documents (drawings and specifications) and providing services in connection with such documents during construction. The entity serving as the Design Consultant may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.
LEED Consultant	The entity responsible for providing LEED sustainability services for the Project. The entity serving as the LEED Consultant may be either an employee(s) of the City or an entity engaged by the City to provide such services.

1.5 SUBMITTALS:

- A. As-Built Contract Record Drawings: The Contractor must comply with the following:
 1. Progress Submission: As directed by the Resident Engineer, submit progress as-built Contract Record Drawings at the fifty percent (50%) construction completion stage.
 2. Final Submission: Before Substantial Completion payment, the Contractor must furnish to the Commissioner one (1) complete set of marked-up as-built Contract Record Drawings, in PDF indicating all of the Work and locations as actually installed.
 3. As-built Contract Record Drawings must be of the same size as that of the Contract Drawings, with a one (1) inch margin on three (3) sides and a two (2) inch margin on the left side for binding.
 4. Each as-built Contract Record Drawing must bear the legend "AS-BUILT CONTRACT RECORD DRAWING" in heavy block lettering, one half (1/2) inch high, and contain the following data:



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AS-BUILT CONTRACT RECORD DRAWING

Contractor's Name _____
 Contractor's Address _____
 Subcontractor's Name (where applicable) _____
 Subcontractor's Address _____
 Made by: _____ Date _____
 Checked by: _____ Date _____

Commissioner's Representatives
 (Resident Engineer) DDC
 (Plumbing Inspector) DDC
 (Heating & Ventilating Inspector) DDC
 (Electrical Inspector) DDC

5. Contract Record Drawing Title Sheet: The Contractor must prepare a title sheet, the same size as the Contract Record Drawings, which must contain the following:
 - a. Heading:
 - The City of New York
 - Department of Design and Construction
 - Division of Public Buildings
 - b. Capital Budget Project Number (FMS ID)
 - c. Name and Location of Project
 - d. Contractor's Name and Address
 - e. Subcontractor's Name and Address (where applicable)
 - f. Record of changes (a caption description of work affected, and the date and number of change order or other authorization)
 - g. List of Record Drawings
- B. Record Specifications, Addenda and Change Order: Submit to the Commissioner two (2) copies each of marked-up Record Specifications, Addenda and change orders.
- C. Record Product Data: Submit to the Commissioner two (2) sets of Record Product Data.
- D. Record Construction Photographs: Submit to the Commissioner final as-built construction photographs and digital files of the completed Work as described in Section 01 32 33 PHOTOGRAPHIC DOCUMENTATION.
- E. Operating and Maintenance Manuals:
 1. Submit three (3) copies each of preliminary manuals to the Resident Engineer for review and approval. The Contractor must make such corrections, changes and/or additions to the manual until deemed satisfactory by the Resident Engineer. Deliver three (3) copies of the final approved manuals to the Resident Engineer for distribution.
 2. Commissioning: Comply with the requirements of Section 01 91 13 GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS and 01 91 15 GENERAL COMMISSIONING REQUIREMENTS FOR BUILDING ENCLOSURE, as well as the requirements set forth in sections of the Project Specifications, for projects designated for commissioning. Submit four (4) copies each of data designated to be included in the commissioning operation and maintenance manual to the Resident Engineer. The Resident Engineer will forward such data to the Commissioning Authority/Agent (CxA) for review and comment. The Contractor must make such corrections, changes and/or additions to the data until deemed satisfactory and deliver four (4) copies of the final data to the Resident Engineer for use by the CxA to prepare the commissioning operation and maintenance manual.



- a. Non-Commissioning Data: All remaining data not designated for commissioning and required as part of maintenance and operation manual must be prepared and assembled in accordance with the requirements of this section for operating and maintenance manuals.
- F. Final Site Survey: Submit Final Site survey as described in Section 01 73 00, EXECUTION, in quantities requested by the Commissioner, signed and sealed by a Land Surveyor licensed in the State of New York.
- G. Guarantees and Warranties.
- H. Waste Disposal Documents and Miscellaneous Record Documents.

PART II – PRODUCTS

2.1 CONTRACT RECORD DRAWINGS:

- A. Record Prints: The Contractor must maintain one (1) set of blue- or black-line white prints as applicable of the Contract Record Drawings and Shop Drawings. If applicable, the Contract Record Drawings and Shop Drawings must incorporate the arrangement of the Work based on the accepted master coordination drawing(s) as described in Section 01 33 00, SUBMITTAL PROCEDURES.
 - 1. Preparation: The Contractor must mark record drawings to show the actual installation where installation varies from that shown originally. Require individual or entity who obtained record data, whether individual or entity is installer, subcontractor, or similar entity, to prepare the marked-up Record Prints.
 - a. Give particular attention to information on concealed elements that would be difficult to identify or measure and record later.
 - b. Accurately record information in an understandable drawing technique.
 - c. Record data as soon as possible after obtaining it. Record and check the markup before enclosing concealed installations.
 - 2. Change Orders: All changes from Contract Drawings must be distinctly encircled and identified by change order number correlating to changes listed on the "Title Sheet." The Contractor must show within the encircled areas the work as actually installed.
- B. Content: Types of items requiring marking include, but are not limited to, the following:
 - 1. Dimensional changes to Contract Record Drawings;
 - 2. Revisions to details shown on Contract Record Drawings;
 - 3. Depths of foundations below first floor;
 - 4. Locations and depths of underground utilities;
 - 5. Revisions to routing of piping and conduits;
 - 6. Revisions to electrical circuitry;
 - 7. Actual equipment locations;
 - 8. Duct size and routing;
 - 9. Locations of concealed internal utilities;
 - 10. Changes made by change order;
 - 11. Changes made following Commissioner's written orders;
 - 12. Details not on the original Contract Drawings;
 - 13. Field records for variable and concealed conditions; and
 - 14. Record information on the Work that is shown only schematically.
- C. Progress Record Prints: As directed by the Resident Engineer, at fifty percent (50%) construction completion, review marked-up Record Prints with the Resident Engineer and the Design Consultant. When directed by the Resident Engineer, transfer progress mark-ups to a PDF set and submit to the Resident Engineer.



- D. Final Contract Record Prints: Immediately before final inspection for the Certificate of Substantial Completion, review marked-up record prints with the Resident Engineer and the Design Consultant. When authorized, complete mark-up of a full set of corrected PDF prints of the Contract Drawings.
1. Incorporate changes and additional information previously marked on Record Prints. Erase, redraw, and add details and notations where applicable.
 2. Refer instances of uncertainty to Resident Engineer for resolution.
 3. Submit the as-built Contract Record Drawings and Shop Drawings for use as record prints as described in Sub-Section 1.5.

2.2 RECORD SPECIFICATIONS, ADDENDA AND CHANGE ORDERS:

- A. Preparation: Mark Specifications to indicate the actual product installation where installation varies from that indicated in Specifications, Addenda, and Contract modifications.
1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
 2. Mark copy with the proprietary name and model number of products, materials, and equipment furnished, including substitutions and product options selected.
 3. Record the name of manufacturer, supplier, installer, and other information necessary to provide a record of selections made.
 4. For each principal product, indicate whether record product data has been submitted in operation and maintenance manuals instead of submitted as record product data.
 5. Note related change orders and Contract Record Drawings where applicable.
 6. Upon completion of mark-up, submit two (2) complete copies of the marked-up record Specifications to the Commissioner.

2.3 RECORD PRODUCT DATA:

- A. Preparation: Mark product data to indicate the actual product installation where installation varies substantially from that indicated in product data submittal.
1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
 2. Include significant changes in the product delivered to Project Site and changes in manufacturer's written instructions for installation.
 3. If possible, a change order proposal should include resubmitting updated product data. This eliminates the need to mark up the previous submittal.
 4. Note related change orders and Contract Record Drawings where applicable.
 5. Upon completion of mark-up, submit to the Commissioner two (2) sets of the marked-up record product data.
 6. Where record product data is required as part of maintenance manuals, submit marked-up product data as an insert in the manual instead of submittal as record product data.

2.4 RECORD SAMPLE SUBMITTAL:

- A. Prior to the date of Substantial Completion, the Contractor must meet with the Resident Engineer at the Site to determine which of the samples maintained during the construction period must be transmitted to the Commissioner for record purposes.



- B. Comply with the Resident Engineer's instructions for packaging, identification marking, and delivery to DDC. Dispose of other samples as specified for disposal of surplus and waste material.

2.5 CONSTRUCTION RECORD PHOTOGRAPHS:

- A. The Contractor must submit the final completion construction photographs, in compliance with Section 01 32 33 PHOTOGRAPHIC DOCUMENTATION.

2.6 OPERATING AND MAINTENANCE MANUALS:

- A. The Contractor must provide preliminary and final versions of operating and maintenance manuals required for those systems, equipment, and materials listed in other Sections of the Project Specifications.
- B. Format: Prepare and assemble operation and maintenance manuals in heavy-duty, 3-ring, hardback loose leaf binders in the form of an instructional manual. All binders for each discipline must be the same color. When multiple binders are used, correlate data into related consistent groupings. Binder front must contain permanently attached labels displaying the following:
 - 1. Heading:
The City of New York
Department of Design and Construction
Division of Public Buildings
 - 2. Capital Budget Project Number (FMS ID)
 - 3. Name and Location of Project
 - 4. Contractor's Name and Address
 - 5. Subcontractor's Name and Address (where applicable)
 - 6. Dates of the Work covered by the contents of the Project Manual.
 - 7. Binder spine must display Project Number (FMS ID) and date of completion.
- C. Organization: Include a section in the directory for each of the following:
 - 1. List of documents
 - 2. List of systems
 - 3. List of equipment
 - 4. Table of contents
- D. Each manual must contain the following materials, in the order listed:
 - 1. Title page
 - 2. Table of contents
 - 3. Manual contents
- E. Arrange contents alphabetically by system, subsystem, and equipment. Cross-reference Specification Section numbers. Provide tabbed flyleaf for each separate product, equipment and/or system/subsystem with typed description of product and major component parts of equipment.
- F. Safety warnings or cautions must be visibly highlighted within each maintenance procedure. Use of such highlights must be limited to only critical items and must not be used in an excessive manner which would reduce their effectiveness.
- G. For each product or system, list names, addresses and telephone numbers of subcontractors and suppliers, including local source of supplies and replacement parts. Vendors and supplier listings are to include names, addresses and telephone numbers, including nearest field service telephone numbers.
- H. Where contents of the manual include any manufacturer's catalog pages, clearly indicate the precise items and options included in the installation and delete all manufacturers' data regarding products not included in the installation.



- I. All material within manuals must be new. Copies used for prior submittals or used in construction must not be used.
- J. Submit preliminary and final manual editions to the Commissioner according to the approved progress schedule.
- K. Manuals must present all technical material to the greatest extent possible, with respect to text, tabular matter and illustrations. Illustrations must preferably consist of line drawings. All applicable drawings must be included. If available, color photograph prints may be included.
- L. Preliminary manual editions must be as technically complete as the final manual edition. All illustrations must be in final forms.
- M. Final manual editions must be technically accurate and complete and must represent all “as-built” systems, pieces of equipment, or materials, which have been accepted by the Commissioner. All illustrations, text and tabular material must be in final form. All shop drawings must be included as specified in individual Specification Sections.
- N. Building products, applied materials, and finishes: Include product data, with catalog number, size, composition, and color texture designations. Where applicable, provide information for re-ordering custom manufactured products.
- O. Instructions for care and maintenance: Include manufacturers’ recommendations for cleaning agents and methods, and recommended schedule for cleaning and maintenance.
- P. Moisture protection and weather exposed products: Include product data listing applicable reference standards, chemical compositions, and details of installation. Provide recommendations for inspections, maintenance, and repair.
- Q. Additional requirements: Specified in individual Specification Sections.

2.7 FINAL SITE SURVEY

- A. The Contractor must submit the final certification and final survey in compliance with Section 01 73 00 EXECUTION.

2.8 DEMONSTRATION AND ORIENTATION DVD:

- A. The Contractor must submit a final version of applicable demonstration and training electronic recordings in compliance with Section 01 79 00 DEMONSTRATION AND OWNER’S PRE-ACCEPTANCE ORIENTATION.

2.9 GUARANTEES AND WARRANTIES:

- B. SCHEDULE B: Requirements for guarantees and warranties for the Project are set forth in Schedule B, which is included as part of the Addendum.
- C. FORM: For all guaranty requirements set forth in Schedule B, the Contractor must provide a written guaranty, in the form set forth herein.
- D. Submit fully executed and signed manufacturers’ warranties as listed in the Project Specifications and outlined in Schedule B of the Addendum. Refer to Section 01 77 00, CLOSEOUT PROCEDURES for submittal requirements.



GUARANTY

DDC PROJECT # _____

PROJECT DESCRIPTION _____

CONTRACT # _____

SPECIFICATION SECTION # AND TITLE _____

GUARANTY TO BE IN EFFECT FROM _____

TO _____

The Contractor hereby guarantees that the Work specified under the above section of the aforesaid Contract will be free from defects of material and/or workmanship, for the period indicated above.

The Contractor also guarantees that it will promptly repair, restore, rebuild or replace whichever may be deemed necessary by the City, any or all defective material or workmanship of the aforementioned section, that may appear within the guaranty period and any finished Work to which damage may occur because of such defects, to the satisfaction of the City and without any cost or expense to the City.

The Contractor hereby agrees to pay to the City the cost of the repairs or replacements should the City make the same because of the failure of the Contractor to do so.

Contractor: _____

By: _____
Signature of Partner or Corporate Officer

Print Name: _____

Subscribed and sworn to before me this
day of _____, year _____

Notary Public



2.10 WASTE DISPOSAL DOCUMENTATION:

- A. Certify and deliver to the Commissioner all documentation including reports, receipts, certificates, records etc. for the collection, handling, storage, classification, testing, transportation, recycling and/or disposal of all Non-Hazardous Construction Waste as required by Section 01 74 19, CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL, and Hazardous Waste as required by other Project Specification Sections. Certify compliance with all applicable governing laws, codes, rules and regulations.

2.11 MISCELLANEOUS RECORD DOCUMENTS:

- A. Refer to other Project Specification Sections for miscellaneous record-keeping requirements and submittals in connection with various construction activities. Prior to Final Acceptance, complete miscellaneous records and place in good order, properly identified and bound or otherwise organized to allow for use and reference.
- B. Submit three (3) copies of each document to the Commissioner or as otherwise directed by the Commissioner.

PART III – EXECUTION

3.1 RECORDING AND MAINTENANCE:

- A. Recording: Maintain one (1) copy of each submittal during the construction period for Contract Record Document purposes. Post changes and modifications to Project Record Documents as they occur; do not wait until the end of the Project.
- B. Maintenance of Record Documents and Samples: Store Contract Record Documents and samples in the field office apart from the Contract Documents used for construction. Do not use Contract Record Documents for construction purposes. Maintain Contract Record Documents in good order and in a clean, dry, legible condition, protected from deterioration and loss. Provide access to the Contract Record Documents for the Resident Engineer's reference during normal working hours.

END OF SECTION 01 78 39



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**SECTION 01 79 00
DEMONSTRATION AND OWNER'S PRE-ACCEPTANCE ORIENTATION**

REFER TO THE ADDENDUM FOR APPLICABILITY OF THIS SECTION 01 79 00

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

- A. This section includes administrative and procedural requirements, when set forth in sections of the Project Specifications, for instructing the facility's personnel, including the following:
 - 1. Demonstration of operation of systems, subsystems, and equipment.
 - 2. Owner's pre-acceptance orientation in operation and maintenance of systems, subsystems, and equipment.
 - 3. Demonstration and orientation video recordings.
- B. The Contractor must provide the services of orientation specialists from the Contractor's equipment manufacturers. The specialists must be experienced in the type of equipment to be demonstrated.
- C. Separate orientation sessions must be conducted for mechanical operations and maintenance personnel and for electronic and electrical maintenance personnel.
- D. Commissioning: Refer to the Addendum to identify whether this project is to be commissioned. For commissioned projects, the Contractor must provide demonstration and orientation as described in this section and cooperate with the Commissioning Authority/Agent (CxA) to implement commissioning requirements as described in Section 01 91 13, GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS, and/ or Section 01 91 15 BUILDING ENCLOSURE COMMISSIONING REQUIREMENTS.

1.3 RELATED SECTIONS: include without limitation the following:

- A. Section 01 10 00 SUMMARY
- B. Section 01 33 00 SUBMITTAL PROCEDURES
- C. Section 01 77 00 CLOSEOUT PROCEDURES
- D. Section 01 78 39 CONTRACT RECORD DOCUMENTS
- E. Section 01 91 13 GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS
- F. Section 01 91 15 BUILDING ENCLOSURE COMMISSIONING REQUIREMENTS
- G. Specific requirements for demonstration and orientation indicated in other sections of the Project Specifications.



1.4 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.

<u>Term</u>	<u>Definition</u>
Commissioning Authority / Commissioning Agent (CxA)	The entity responsible for providing commissioning services for the Project. The entity serving as the CxA may be either an employee(s) of the City or an entity engaged by the City to provide such services.
Design Consultant	The entity responsible for providing design services for the Project, including without limitation, preparing the construction documents (drawings and specifications) and providing services in connection with such documents during construction. The entity serving as the Design Consultant may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.

1.5 SUBMITTALS:

- A. Instruction Program: Submit three (3) copies of an outline of the instructional program for demonstration and orientation, including a schedule of proposed dates, times, length of instruction time, and instructors' names for each orientation module to the Commissioner for approval no less than thirty (30) Days prior to the date the proposed orientation is to take place. Include learning objectives and outline for each orientation module.
 - 1. At completion of orientation, submit three (3) complete training manual(s) and three (3) applicable video recording(s) to the Commissioner for the facility's and City's use.
- B. Qualification Data: For facilitator, instructor and videographer.
- C. Attendance Record: For each orientation module, submit a list of participants and length of instruction time.
- D. Evaluations: For each participant and for each orientation module, submit results and documentation of performance-based test.
- E. Submit all final orientation materials to the Resident Engineer a minimum of fourteen (14) Days prior to the scheduled orientation.
- F. Demonstration and Orientation Recordings:
 - 1. All Projects:
 - a. The Contractor must submit to the Commissioner three (3) copies of demonstration and orientation video recordings within seven (7) Days of end of each orientation module.
 - b. Identification: On each copy, provide an applied label with the following information:
 - 1) Project Contract I.D. Number
 - 2) Project Contract Name
 - 3) Name of Contractor
 - 4) Name of Subcontractor as applicable
 - 5) Name of Design Consultant
 - 6) Name of Construction Manager as applicable
 - 7) Date recorded



- 8) Description of vantage point, indicating location, direction (by compass point), and elevation or story of construction.
 - 9) Table of Contents including list of systems covered.
- c. Transcript: Prepared on 8-1/2-by-11-inch paper, punched and bound in heavy-duty, 3-ring, vinyl-covered binders. Mark appropriate identification on front and spine of each binder. Include a cover sheet with same label information as the corresponding DVD recording. Include name of Project and date of recording on each page.
 - d. Commissioned Projects: The Contractor must submit one (1) additional copy of the demonstration and orientation video recording to the CxA through the Resident Engineer who will include the approved recording in the commissioning report.

1.6 QUALITY ASSURANCE:

- A. Facilitator Qualifications: A firm or individual experienced in orientation or educating maintenance personnel in an orientation program similar in content and extent to that indicated for this Project.
- B. Instructor Qualifications: A factory-authorized service representative, complying with requirements in Section 01 40 00 QUALITY REQUIREMENTS, experienced in operation and maintenance procedures and orientation.
- C. Videographer Qualifications: A professional videographer who has experience with orientation and construction projects.
- D. Pre-Instruction Conference: Schedule with the Resident Engineer a conference at Project Site in accordance with Section 01 31 00 PROJECT MANAGEMENT AND COORDINATION. Review methods and procedures related to demonstration and orientation including, but not limited to, the following:
 1. Inspect and discuss locations and other facilities required for instruction.
 2. Review and finalize instruction schedule and verify availability of educational materials, instructors' personnel, audiovisual equipment, and facilities needed to avoid delays.
 3. Review required content of instruction.
 4. For instruction that must occur outside, review weather and forecasted weather conditions and procedures to follow if conditions are unfavorable.

1.7 COORDINATION:

- A. Coordinate instruction schedule with the Resident Engineer and facility's operations. Adjust schedule as required to minimize disrupting facility's operations.
- B. Coordinate instructors, including providing notification of dates, times, length of instruction time, and course content.
- C. Coordinate content of orientation modules with content of approved emergency, operation, and maintenance manuals. Do not submit instruction program until operation and maintenance data has been reviewed and approved by the Commissioner.

PART II – PRODUCTS

2.1 INSTRUCTION PROGRAM:

- A. Program Structure: Develop an instruction program that includes individual orientation modules for each system and equipment not part of a system, as specified and required by individual Specification Sections.



- B. Orientation Modules: Develop a learning objective and teaching outline for each module. Include a description of specific skills and knowledge that participant is expected to master. For each module, include instruction for the following:
1. For basis of system design, operational requirements, and criteria, include the following:
 - a. System, subsystem, and equipment descriptions;
 - b. Performance and design criteria if Contractor is delegated design responsibility;
 - c. Operating standards;
 - d. Regulatory requirements;
 - e. Equipment function including auxiliary equipment and systems;
 - f. Operating characteristics;
 - g. Limiting conditions; and
 - h. Performance curves.
 2. For documentation, review the following items in detail:
 - a. Emergency manuals;
 - b. Operations manuals;
 - c. Maintenance manuals;
 - d. Project Record Documents;
 - e. Identification systems; and
 - f. Warranties.
 3. For emergencies, include the following, as applicable:
 - a. Instructions on meaning of warnings, trouble indications, and error messages;
 - b. Instructions on stopping;
 - c. Shutdown instructions for each type of emergency;
 - d. Operating instructions for conditions outside of normal operating limits;
 - e. Sequences for electric or electronic systems; and
 - f. Special operating instructions and procedures.
 4. For operations, include the following, as applicable:
 - a. Startup procedures;
 - b. Equipment or system break-in procedures;
 - c. Routine and normal operating instructions;
 - d. Regulation and control procedures;
 - e. Control sequences;
 - f. Safety procedures;
 - g. Instructions on stopping;
 - h. Normal shutdown instructions;
 - i. Operating procedures for emergencies;
 - j. Operating procedures for system, subsystem, or equipment failure;
 - k. Seasonal and weekend operating instructions;
 - l. Required sequences for electric or electronic systems; and
 - m. Special operating instructions and procedures.
 5. For adjustments, include the following:
 - a. Alignments;
 - b. Checking adjustments;
 - c. Noise and vibration adjustments; and
 - d. Economy and efficiency adjustments.
 6. For troubleshooting, include the following:



- a. Diagnostic instructions; and
 - b. Test and inspection procedures.
7. For maintenance, include the following:
- a. Inspection procedures;
 - b. Types of cleaning agents to be used and methods of cleaning;
 - c. List of cleaning agents and methods of cleaning detrimental to product;
 - d. Procedures for routine cleaning;
 - e. Procedures for preventive maintenance;
 - f. Procedures for routine maintenance;
 - g. Instruction on use of special tools; and
 - h. Housekeeping practices.
8. For repairs, include the following:
- a. Diagnosis instructions;
 - b. Repair instructions;
 - c. Disassembly, component removal, repair, and replacement; and reassembly instructions;
 - d. Instructions for identifying parts and components; and
 - e. Review of spare parts needed for operation and maintenance.

PART III – EXECUTION

3.1 INSTRUCTION:

- A. Facilitator: Engage a qualified facilitator to prepare the instruction program and orientation modules, to coordinate instructors, and to coordinate between Contractor and the Resident Engineer for the number of participants, instruction times, and location.
- B. The Contractor must engage qualified instructors to instruct the facility's personnel to adjust, operate, and maintain systems, subsystems, and equipment not part of a system.
- C. Scheduling: Schedule instruction with the Resident Engineer at mutually agreed upon times. For equipment that requires seasonal operation, provide similar instruction at the start of each season.
 1. Schedule orientation with the Resident Engineer with at least fourteen (14) Days advance notice.
- D. Evaluation: At the conclusion of each orientation module, assess and document each participant's mastery of module(s) by use of an oral or written demonstration performance-based test.
- E. Cleanup: Collect and remove used and leftover educational materials from Project Site. Remove instructional equipment. Restore systems and equipment to condition existing before initial orientation use.

3.2 DEMONSTRATION AND ORIENTATION VIDEO RECORDINGS:

- A. All projects:
 1. The Contractor must engage a qualified commercial videographer to video record demonstration and orientation sessions. Record each orientation module separately. Include classroom instructions and demonstrations, board diagrams, and other visual aids, but not student practice.
 2. At the beginning of each orientation module, record each chart containing learning objective and lesson outline.
 3. All recordings must be close-captioned.
 4. Recording Format: Provide high-quality video recording on USB drive or other electronic media as requested by the Commissioner.



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5. Recording: Mount camera on tripod before starting recording, unless otherwise necessary to show area of demonstration and orientation. Display continuous running time.
 6. Narration: Describe scenes on the recording by audio narration by microphone while recording or by dubbing audio narration off-site after. Include description of items being viewed. Describe vantage point, indicating location, direction (by compass point), and elevation or story of construction.
 7. Transcript: Provide a typewritten transcript of the narration. Display images and running time captured from opposite the corresponding narration segment.
- B. Commissioned Projects: Refer to the Addendum to determine if the project is to be commissioned.
1. The Commissioning Authority/Agent (CxA) under separate contract with the City of New York will assess and comment on the adequacy of the orientation instruction sessions by reviewing the orientation and instruction program and agenda provided by the Contractor. The provider of the orientation program will video record the sessions and provide a copy to the CxA for final review and comments. If necessary, Contractor must edit the recording per CxA comments.

END OF SECTION 01 79 00



**SECTION 01 81 13.03
SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v3 BUILDINGS**

REFER TO THE ADDENDUM FOR APPLICABILITY OF THIS SECTION 01 81 13.03

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

A. LEED BUILDING - GENERAL REQUIREMENTS:

The City of New York is committed to implementing good environmental practices and procedures which include achieving a LEED™ Green Building rating. Specific project requirements related to this goal are listed in the applicable paragraphs of this section of the General Conditions. The Contractor must ensure that these requirements, as defined in the sections below and in related sections of the Contract Documents, are implemented to the fullest extent. Substitutions, or other changes to the work proposed by the Contractor or their Subcontractors, will not be allowed if such changes compromise the stated LEED BUILDING criteria.

B. This Section includes:

1. Definitions
2. LEED Provisions
3. LEED Building Submittals
4. LEED Building Submittal Requirements
5. LEED Action Plan

1.3 RELATED SECTIONS: Include without limitation the following:

- | | | |
|----|---------------------|---|
| A. | Section 01 74 19 | CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL |
| B. | Section 01 81 13.13 | VOLATILE ORGANIC COMPOUND (VOC) LIMITS FOR ADHESIVES, SEALANTS, PAINTS AND COATINGS FOR LEED v3 BUILDINGS |
| C. | Section 01 81 19 | INDOOR AIR QUALITY REQUIREMENTS FOR LEED BUILDINGS |
| D. | Section 01 91 13 | GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS |
| E. | Section 01 91 15 | GENERAL COMMISSIONING REQUIREMENTS FOR BUILDING ENCLOSURE |

1.4 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.



Agrifiber Products	Means products derived from recovered agricultural waste fiber from sources such as cereal straw, sugarcane bagasse, sunflower husk, walnut shells, coconut husks, and agricultural prunings, processed and mixed with resins to produce panels with characteristics similar to composite wood.
Composite Wood	Means products composed of wood or plant particles or fibers bonded by a synthetic resin or binder to produce panels such as plywood, particleboard, and medium density fiberboard (MDF). Does not include hardboard, structural panels, glued laminated timber, prefabricated wood I-joists, or finger-jointed lumber.
Design Consultant	Means the entity responsible for providing design services for the Project, including without limitation, preparing the construction documents (drawings and specifications) and providing services in connection with such documents during construction. The entity serving as the “Design Consultant” may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.
Forest Stewardship Council (FSC) Certified Wood	Means wood-based materials and products certified in accordance with the Forest Stewardship Council’s principles and criteria.
LEED	Means the Leadership in Energy & Environmental Design rating system developed by the United States Green Building Council.
Rapidly Renewable Materials	Means materials made from agricultural products that are typically harvested within a ten-year or shorter cycle. Rapidly renewable materials include products made from bamboo, cotton, flax, jute, straw, sunflower seed hulls, vegetable oils, or wool.
Regionally Manufactured Materials	Means materials that are manufactured within a radius of 500 miles from the Project location. Manufacturing refers to the final assembly of components into the building product that is installed at the Project site.
Regionally Extracted, Harvested, or Recovered Materials	Means materials which are extracted, harvested, or recovered and manufactured within a radius of 500 miles from the Project site.
Recycled Content	Means The percentage by weight of constituents that have been recovered or otherwise diverted from the solid waste stream, either during the manufacturing process (pre-consumer), or after consumer use (post-consumer). Spills and scraps from the original manufacturing process that are combined with other constituents after a minimal amount of reprocessing for use in further production of the same product are not recycled materials. Discarded materials from one manufacturing process that are used as constituents in another manufacturing process are pre-consumer recycled materials. “Pre-consumer” may also be referred to as “post-industrial”.
Solar Reflectance Index (SRI)	A measure of a material’s ability to reflect solar heat, as shown by a small temperature rise. It is defined so that a standard black (reflectance 0.05, emittance 0.90) is equal to 0, and a standard white (reflectance 0.80, emittance of 0.90) is equal to 100.



Volatile Organic Compound (VOC)	Any compound of carbon (excluding carbon monoxide, carbon dioxide, carbonic acid, metallic carbides or carbonates, and ammonium carbonate) which vaporizes (becomes a gas) and participates in atmospheric photochemical reactions, as specified in Part 51.00 of Chapter 40 of the U.S. Code of Federal Regulations, at normal room temperatures. For the purposes of this specification, formaldehyde and acetaldehyde are considered to be VOCs.
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1.5 LEED PROVISIONS:

- A. Refer to the Addendum for the LEED rating to be achieved for this project. The provisions to achieve this LEED rating are integrated within the project construction documents and specifications. The Contractor is specifically directed to the “LEED BUILDING Performance Criteria” and “LEED BUILDING Submittals” sections within the contract specification. Additional LEED requirements are met through aspects of the project design, including material and equipment selections, which may not be specifically identified as LEED BUILDING requirements. Compliance with the requirements needed to obtain LEED prerequisites and credits will be used as one criterion to evaluate substitution requests.

1.6 LEED BUILDING SUBMITTALS:

- A. Scope: LEED BUILDING submittals are required for all installed materials included in General Construction work. LEED BUILDING Submittals are only required for field-applied adhesives, sealants, paints and coatings included in Plumbing, Mechanical and Electrical work. Submit all required LEED BUILDING submittals in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.
- B. Applicability: The extent of the LEED BUILDING Submittals varies depending on the specification section. Applicable LEED BUILDING Submittals are listed under the “LEED BUILDING Submittals” heading in each specification section. The detailed requirements for the LEED BUILDING Submittals are defined in Item C below.
- C. Detailed Requirements: Sub-Sections 1.6 C.1 through 1.6 C.3 below defines the information and documents to be provided for each type of LEED BUILDING Submittal as identified in the LEED Submittal Requirements of each specification section:
 - 1. ENVIRONMENTAL BUILDING MATERIALS CERTIFICATION FORM (EBMCF)[GHI]: Information to be supplied for this form (blank sample copy attached at end of this Section to be modified as appropriate to the project) must include some or all of the following items, as identified in the LEED Submittal Requirements of each specification section:
 - a. Cost breakdowns for the materials included in the contractor or sub-contractor’s scope of work. Cost reporting must include itemized material costs (excluding the contractor’s labor, equipment, overhead and profit).
 - b. The percentages (by weight) of post-consumer and/or post-industrial recycled content in the supplied product(s).
 - 1) For each product with recycled content, also indicate the total recycled content value ($1/2 \times \text{pre-consumer percentage} \times \text{product value} + 1 \times \text{post-consumer percentage} \times \text{product value} = \text{total recycled content value}$).
 - 2) See additional requirements for concrete below.
 - c. Identification (Yes/No) of materials manufactured within 500 miles of the project site AND containing raw materials harvested or extracted within 500 miles of the project site.
 - 1) Indicate the percentage by weight, relative to the total weight of the product that meets these criteria.
 - 2) Indicate the point of harvest/extraction/recovery of regional raw materials, the point of final assembly of regional manufactured products, and the distance from each point to the project site.



- d. Volatile Organic Compound (VOC) content of all field-applied adhesives, sealants, paints, and coatings, listed in grams/liter or lbs./gallon, less water.
 - 1) For detailed requirements refer to Section 01 81 13.13 VOC LIMITS FOR ADHESIVES, SEALANTS, PAINTS AND COATINGS.
 - e. The amount of “Forest Stewardship Council (FSC) Certified” wood products if used in the Project.
 - 1) Record only new FSC-certified wood products. Do not record reclaimed, salvaged, or recycled FSC-certified wood products.
 - 2) Reclaimed, salvaged, or recycled FSC-certified wood may be recorded as post-consumer recycled content.
 - f. The amount of Rapidly Renewable materials if used in the Project.
 - 1) Indicate the type of rapidly renewable material used, and the percentage by weight, relative to the total weight of the product, that consists of rapidly renewable material.
 - g. The percentage (by weight), relative to the total weight of cementitious materials, of supplementary cementitious materials or pozzolans such as fly ash used in each concrete mix used in the Project.
 - 1) For each concrete mix, provide a complete breakdown of all components, by weight and by cost.
 - h. Identification (Yes/No) of composite wood or agrifiber products used in the project that are free of added urea-added formaldehyde resins.
 - i. Identification (Yes/No) of flooring products used in the project that have Carpet and Rug Institute (CRI) Green Label or Green Label Plus certification, or Resilient Floor Covering Institute FloorScore certification.
 - 1) Untreated solid wood flooring, and mineral-based flooring products such as tile, masonry, terrazzo, and cut stone that have no organic-based coatings or sealants, are excluded from this requirement.
 - j. The EBMCF must record the above information only for those materials or products permanently installed in the project. The EBMCF must record VOC content, composite and agrifiber products, and CRI or FloorScore ratings only for those materials or products permanently installed within the weather barrier of the LEED building.
2. EBMCF BACK-UP DOCUMENTATION: These documents are used to validate the information provided on the EBMCF (except cost data). For each material listed on the EBMCF, provide documentation to certify the material’s LEED BUILDING attributes, as applicable:
- a. RECYCLED CONTENT: Provide published product literature or letter of certification on the manufacturer’s letterhead certifying the amounts of post-consumer and/or post-industrial content.
 - b. REGIONAL MANUFACTURING AND REGIONAL RAW MATERIALS (WITHIN 500 MILES): Provide published product literature or letter of certification on the manufacturer’s letterhead indicating the city/state where the manufacturing plant is located, where each of the raw materials in the product were extracted, harvested or recovered and the distance in miles from the project site.
 - 1) If only some of the raw materials for a particular product or assembly originate within 500 miles of the project site, provide the percentage (by weight) that these materials comprise in the complete product.



- c. **VOC CONTENT:** Provide Material Safety Data Sheets (MSDS) certifying the Volatile Organic Compound (VOC) content of the adhesive, sealant, paint, or coating products. VOC content is to be reported in grams/liter or lbs./gallon, less water. If the MSDS does not show the product's VOC content, this information must be provided through other published product literature from the manufacturer, or stated in a letter of certification from the product manufacturer on the manufacturer's letterhead.
 - d. **RAPIDLY RENEWABLE MATERIALS:** If used in the project, provide published literature or letter of certification on the manufacturer's letterhead certifying the percentage of each product that is rapidly renewable (by weight).
 3. **PRODUCT CUT SHEETS:** Provide product cut sheets with the Contractor's or sub-contractor's stamp, confirming that the submitted products are the products installed in the Project.
 4. **CRI GREEN LABEL PLUS CERTIFICATION:** For carpets and carpet cushions, provide published product literature or letter from the manufacturer (on the manufacturer's letterhead) verifying that the products comply with the "Green Label Plus" IAQ testing program of the Carpet and Rug Institute of Dalton, GA.
 5. **CERTIFICATION OF COMPOSITE WOOD OR AGRIFIBER RESINS:** For all composite wood, engineered wood and agrifiber products (including plywood, particleboard, and medium density fiberboard), provide published product literature or letter from the manufacturer (on the manufacturer's letterhead) verifying that that the products do not contain added urea-formaldehyde resins.
 6. **CERTIFICATION OF COMPOSITE WOOD OR AGRIFIBER LAMINATING ADHESIVES:** For all laminating adhesives used with composite wood, engineered wood and agrifiber products (e.g., adhesives used to laminate wood veneers to an engineered wood substrate), provide published product literature or letter from the manufacturer (on the manufacturer's letterhead) verifying that the adhesive products do not contain urea-formaldehyde.
 7. **FSC-CERTIFIED WOOD:**
 - a. If used in the project, provide chain of custody documents and copies of invoices regarding wood products, including whether or not such wood product is FSC-certified.
 - b. If used in the project, for assemblies, provide the percentage (by cost and by weight) of the assembly that is FSC-certified wood.
 - c. If used in the project, for assemblies, provide published product literature or letter from the manufacturer (on the manufacturer's letterhead) verifying the percentage that is FSC-certified wood.
 8. **GREEN SEAL COMPLIANCE:** Provide published product literature or letter from the manufacturer (on the manufacturer's letterhead) verifying that the following product types comply with the VOC limits and chemical component restrictions developed by the Green Seal organization of Washington, DC:
 - a. Interior Architectural Paints and Coatings: refer to Green Seal standard GS-11 (1st edition, May 1993)
 - b. Anti-corrosive and Anti-rust paints: refer to Green Seal standard GC-03 (2nd Edition, January 1997)
 - c. Aerosol Adhesives: refer to Green Seal standard GS-36 (1st edition, October 2000)
 9. **HIGH ALBEDO PAVING AND WALKWAY MATERIALS:** For paving and walkway materials made from concrete or brick provide published product literature or letter from the manufacturer (on the manufacturer's letterhead) verifying a minimum Solar Reflectance Index (SRI) value of 29. SRI



values will be calculated according to ASTM E 1980. Reflectance will be measured according to ASTM E 903, ASTM E 1918, or ASTM C 1549. Emittance will be measured according to ASTM E 408 or ASTM C 1371.

10. **HIGH ALBEDO ROOFING MATERIALS:** For exposed roofing membranes, pavers, and ballast products, provide published product literature or letter from the manufacturer (on the manufacturer's letterhead) verifying the following minimum Solar Reflectance Index (SRI) values:

- a. 78 for low-sloped roofing applications (slope \leq 2:12)
- b. 29 for steep-sloped roofing applications (slope $>$ 2:12)

SRI values will be calculated according to ASTM E 1980. Reflectance will be measured according to ASTM E 903, ASTM E 1918, or ASTM C 1549. Emittance will be measured according to ASTM E 408 or ASTM C 1371.

Vegetated roof surfaces are exempt from the SRI criteria.

11. **LOW MERCURY LAMPS:** For all fluorescent, compact fluorescent, and HID lamps installed in the project, provide published product literature or letter from the manufacturer (on the manufacturer's letterhead) verifying:

- a. The mercury content or content range per lamp in milligrams or picograms;
- b. The design light output per lamp (light at 40% of a lamp's useful life) in lumens; and
- c. The rated average life of the lamp in hours.

In addition, provide the total number of each lamp type installed in the project.

12. **FLOORSCORE CERTIFICATION:** For all hard surface flooring, including vinyl, linoleum, laminate flooring, wood flooring, ceramic flooring, rubber flooring, and wall base, provide published product literature or letter from the manufacturer (on the manufacturer's letterhead) verifying that the products comply with the current FloorScore standard requirements.
13. **CONCRETE:** Provide concrete mix design for each mix, designated by a distinct identifying code or number and signed by a Professional Engineer licensed in the state in which the concrete manufacturer or supplier is located.
14. **INTERIOR LIGHTING FIXTURES:** For each lighting fixture type installed within the building's weather barrier, provide manufacturer's cut sheets indicating the following:
- a. Fixture power in watts.
 - b. Initial lamp lumens.
 - c. Photometric distribution data.
 - d. Dimming capability, in range of percentages.
15. **EXTERIOR LIGHTING FIXTURES:** For each lighting fixture type installed on site, provide manufacturer's cut sheets indicating the following:
- a. Fixture power in watts.
 - b. Initial lamp lumens.
 - c. Photometric distribution data.
 - d. Range of field adjustability, if any.
 - e. Warranty of suitability for exterior use.



16. **ALTERNATIVE TRANSPORTATION:** Provide manufacturer's cut sheets and/or shop drawings for the following items installed on site:
 - a. Bike racks, including total number of bicycle slots provided.
 - b. Signage indicating parking spaces reserved for electric or low-emitting vehicles and for carpools/vanpools, including total number of signs.
17. **WATER CONSERVING FIXTURES:** For all water consuming plumbing fixtures and fittings, provide manufacturer's cut sheets showing maximum flow rates and/or flush rates.
18. **ENERGY SAVING APPLIANCES:** Provide manufacturer's cut sheets and published product literature or letter from the manufacturer (on the manufacturer's letterhead) verifying the product's rating under the U.S. EPA/DOE Energy Star program, for all of the following:
 - a. Appliances (i.e., refrigerators, dishwashers, microwave ovens, televisions, clothes washers, clothes dryers, chilled water dispensers).
 - b. Office equipment (i.e., copy machines, fax machines, plotters/printers, scanners, binding and publishing equipment).
 - c. Electronics (i.e., servers, desktop computers, computer monitor displays, laptop computers, network equipment).
 - d. Commercial food service equipment
19. **GLAZING:** For glazing in any windows, doors, storefront and window wall systems, curtainwall systems, skylights, and partitions, provide manufacturer's cut sheets indicating the following:
 - a. Glazed area.
 - b. Visible light transmittance.
 - c. Solar heat gain coefficient.
 - d. Fenestration assembly u-factor.
20. **VENTILATION:** Provide manufacturer's cut sheets for the following:
 - a. Carbon dioxide monitoring systems, if any, installed to measure outside air delivery.
 - b. Air filters: for detailed requirements refer to Section 01 81 19 INDOOR AIR QUALITY REQUIREMENTS.
21. **REFRIGERATION:** For all refrigeration equipment, provide manufacturer's cut sheets indicating the following:
 - a. Equipment type.
 - b. Equipment life. Default values specified by the 2007 ASHRAE Applications Handbook will be used unless otherwise demonstrated by the manufacturer's guarantee and an equivalent long-term service contract.
 - c. Refrigerant type.
 - d. Refrigerant charge in pounds of refrigerant per ton of gross cooling capacity.
 - e. Tested refrigerant leakage rate, in percent per year. A default rate of 2% will be used unless otherwise demonstrated by test data.
 - f. Tested end-of-life refrigerant loss, in percent. A default rate of 10% will be used unless otherwise demonstrated by test data.



1.7 LEED BUILDING SUBMITTAL REQUIREMENTS:

- A. The LEED BUILDING Submittal information must be assembled into one package per contract specification section(s) (or per subcontractor), and submitted in accordance with Section 01 33 00 SUBMITTAL PROCEDURES. Incomplete or inaccurate LEED BUILDING submittals may be used as the basis for the rejection of products or assemblies. Incomplete or inaccurate LEED BUILDING Submittals may be used as the basis for rejecting the submitted products or assemblies.

1.8 LEED ACTION PLANS:

- A. Construction Waste Management Plan- Refer to Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL for detailed submittal requirements.
- B. Construction IAQ Management Plan- Refer to Section 01 81 19 INDOOR AIR QUALITY REQUIREMENTS FOR LEED BUILDINGS for detailed submittal requirements.
- C. Erosion and Sedimentation Control Plan:
 - 1. The Plan must be in accordance with the New York State Department of Environmental Conservation (NYSDEC) or the 2003 EPA Construction General Permit, whichever is more stringent.
 - 2. The Plan must be submitted in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.
 - 3. Detailed requirements: ESC Plan
 - a. Include the Stormwater Pollution Prevention Plan, if required.
 - b. Identify the party responsible for Plan monitoring and documentation. The party must be regularly on site.
 - c. Describe all site work that will be implemented on the project.
 - d. Provide site plan with location of ESC measures, including, but not limited to, stormwater quantity controls, stormwater quality controls, stabilized construction entrances, washdown areas, and inlet/catch basin protection.
 - e. Describe the inspection and maintenance of the ESC measures. Provide a construction schedule indicating weekly site review.
 - f. Describe reporting and documentation measures.
 - 4. Detailed requirements: ESC Measures
 - 5. Submittal requirements: ESC Tracking Log
 - a. Note date of major rain events, describe damage, describe any repairs or maintenance performed, and note responsible party.
 - b. Note date and findings of weekly site review, describe any repairs or maintenance performed, and note responsible party.
 - c. Submit monthly.
 - 6. Implementation
 - a. The Contractor must implement the ESC Plan, coordinate the Plan with all affected trades, and designate one individual as the Erosion and Sedimentation Control Representative, who will be responsible for communicating the progress of the Plan with the Commissioner on a regular basis, and for assembling the required LEED documentation.



- b. The Contractor must be responsible for the provision, maintenance, and repair of all ESC measures.
- c. Demonstration. The Contractor must provide on-site instruction of proper construction practices required to prevent erosion and sedimentation.
- d. Meetings. Urgent or ongoing ESC issues will be discussed at weekly on-site job meetings.

1.9 QUALITY ASSURANCE:

- A. The Contractor must implement all LEED Action Plans, coordinate the Plans and LEED Building Submittals with all affected trades, and designate one individual as the Sustainable Construction Representative at no additional cost to the City of New York, who will be responsible for communicating the progress of LEED activities with the Commissioner on a regular basis, and for assembling the required LEED documentation.
- B. Responsibilities of Contractor's Subcontractors: The Contractor is responsible for his/her subcontractors complying with the LEED Action Plans and for providing required LEED documentation as required for the project.
- C. Distribution and Compilation: The Contractor is responsible for distributing the EBMCF and any other forms or templates required for the subcontractors to record LEED documentation. The Contractor also be responsible for collecting and compiling EBMCF information into packages as described in Section 01 33 00 SUBMITTAL PROCEDURES.
- D. Meetings: Sustainable design and construction issues must be discussed at the following meetings:
 - 1. Demolition kick-off meeting
 - 2. Construction kick-off meeting
 - 3. Construction kick-off meeting for LEED (independent meeting)
 - 4. Weekly job-site progress and coordination meetings
 - 5. Closeout meeting

PART II – PRODUCTS (Not Used)

PART III – EXECUTION (Not Used)

END OF SECTION 01 81 13.03



ENVIRONMENTAL BUILDING MATERIALS CERTIFICATION FORM

Contractor Name: _____
Contractor Contact: _____
Telephone Number: _____

Project Name: _____
Project I.D.: _____

Product/Manufacturer	Material Cost ¹	Recycled Content			Regional ⁴			Rapidly Renewable ⁷		VOC content ⁸		Flooring ⁹	Wood	
		Pre-Consumer (% by wt) ²	Post-Consumer (% by wt) ³	Total % (½ Pre + Post)	Location & Distance to Extraction ⁵	Location & Distance to Manufacture ⁶	Extracted & Manuf. (% by wt)	Material	% by wt	*VOC content listed	*VOC content allowed	*Green Label or FloorScore	*Added urea formaldehyde (Yes/No) ¹⁰	FSC Certified ¹¹ (% by wt)

¹ **Material Cost:** As it appears on the manufacturer's or distributor's invoice to the contractor or subcontractor. Does not include labor or equipment costs associated with installation.

² **Pre-Consumer Recycled Content:** Industrial/manufacturing waste material (e.g., fly-ash and synthetic gypsum, both waste products from coal burning electricity plants) diverted from landfill and incorporated into a finished product. Scrap raw materials that can be reused in the same manufacturing process from which they are recovered are not considered Pre-Consumer Recycled Content.

³ **Post-Consumer Recycled Content:** Material or product that has served its intended consumer use (e.g., an empty plastic bottle) and has been diverted from landfill and incorporated into a finished product.

⁴ **Regional:** Refers to a material/product that is BOTH extracted AND manufactured within 500 miles of the Project site. Record this information ONLY for materials/products meeting BOTH of these criteria.

⁵ **Extraction:** Refers to the location from which the raw resources used in a building product are extracted, harvested, or recovered.

⁶ **Manufacture:** Refers to the location of the final assembly of components into a building product that is furnished and installed by the Contractor.

⁷ **Rapidly Renewable:** Refers to materials/products derived from agricultural products that are typically harvested within a ten-year or shorter cycle.

⁸ **VOC Content:** The quantity of volatile organic compounds contained in adhesives, sealants, paints and architectural coatings. Reported in grams/liter or lbs/gallon, less water.

⁹ **Flooring:** For carpet, indicate Carpet and Rug Institute (CRI) Green Label Plus certification. For carpet cushion, indicate CRI Green Label certification. For all flooring except unfinished/untreated wood and mineral-based flooring (tile, masonry, terrazzo, cut stone) without organic-based coatings or sealants, indicate Resilient Floor Covering Institute FloorScore rating. VOC limits for adhesives, sealants, etc. still apply.

¹⁰ **Added Urea Formaldehyde:** Applies to composite wood and agrifiber products only (plywood, particleboard, MDF, OSB, wheatboard, strawboard). Resins or binders with added urea formaldehyde are prohibited.

¹¹ **FSC Certified:** Certification from the Forest Stewardship Council. This column is only applicable to wood products.

* Applies only to materials/products installed within the weather barrier.

Contractor Certification:
I, _____ a duly authorized representative of _____ (the Contractor) hereby certify that the material information contained herein is an accurate representation of the material qualifications to be provided by the Contractor as components of the final building construction. Furthermore, I understand that any change in such qualifications during the purchasing period will require prior written approval from the Commissioner.

Signature of Authorized Representative: _____ Date: _____



**SECTION 01 81 13.04
SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v4 BUILDINGS**

REFER TO THE ADDENDUM FOR APPLICABILITY OF THIS SECTION 01 81 13.04

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

A. LEED BUILDING - GENERAL REQUIREMENTS:

The City of New York is committed to implementing good environmental practices and procedures which include achieving a LEED™ Green Building rating. Specific Project requirements related to this goal are listed in the applicable paragraphs of this section of the General Conditions. The Contractor must ensure that these requirements as defined in the sections below and in related sections of the Contract Documents, are implemented to the fullest extent. Substitutions, or other changes to the work proposed by the Contractor or their Subcontractors, will not be allowed if such changes compromise the stated LEED BUILDING criteria.

B. This Section includes:

1. Definitions
2. LEED Provisions
3. LEED Building Submittals
4. LEED Building Submittal Requirements
5. LEED Action Plan
6. VOC Requirements for Interior Adhesives and Sealants
7. VOC Requirements for Interior Paints and Coatings
8. Low-Emitting Materials, Flooring
9. Low-Emitting Materials, Composite Wood
10. Low-Emitting Materials, Ceilings, Walls, Thermals and Acoustic Insulation
11. Low-Emitting Materials, Furniture
12. Low-Emitting Materials, Exterior Applied Products
13. Low-Emitting Materials, Additional Low-Emitting Requirements

C. This Section includes requirements for Volatile Organic Compound (VOC) emissions and content in specific materials used within the Project.

D. All sections in the Project Specifications with adhesives, sealant or sealant primer applications, paints, coatings, flooring, composite wood, ceilings, walls, thermal and acoustic insulation, furniture, and for healthcare and schools, exterior applied products, must follow all requirements of this section. In the event of any conflict or inconsistency between this section and the Specifications regarding adhesives, sealant or sealant applications, paints, coatings, flooring, composite wood, ceilings, walls, thermal and acoustic insulation, furniture, and for healthcare and schools, exterior applied products, the requirements set forth in this Section will prevail.



1.3 RELATED SECTIONS: Include without limitation the following:

- A. Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL
- B. Section 01 81 19 INDOOR AIR QUALITY REQUIREMENTS FOR LEED BUILDINGS
- C. Section 01 91 13 GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS
- D. Section 01 91 15 GENERAL COMMISSIONING REQUIREMENTS FOR BUILDING ENCLOSURE

1.4 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.

Adhesive	Any substance used to bond one surface to another by attachment. Includes adhesive primers and adhesive bonding primers.
Aerosol Adhesive	Any adhesive packaged as an aerosol with a spray mechanism permanently housed in a non-refillable can designed for hand-held application without the need for ancillary equipment
Agrifiber Products	Products derived from recovered agricultural waste fiber from sources such as cereal straw, sugarcane bagasse, sunflower husk, walnut shells, coconut husks and agricultural prunings, processed and mixed with resins to produce panels with characteristics similar to composite wood.
Bio-based materials	Composed in whole or in significant part of biological products, renewable agricultural materials or forestry materials, and must meet the Sustainable Agriculture Network’s Sustainable Agriculture Standard. Bio-based raw materials must be tested using ASTM Test Method D6866 and be legally harvested, as defined by the exporting and receiving country. Exclude hide products, such as leather and other animal skin material.
Building Exterior	A structure’s primary and secondary weatherproofing system, including waterproofing membranes and air- and water-resistant barrier materials, and all building elements outside that system.
Building Interior	Everything inside a structure’s weatherproofing membrane.
Carcinogen	A chemical listed as a known, probable, reasonably anticipated, or possible human carcinogen by the International Agency for Research on Cancer



	(IARC) (Groups 1, 2A, and 2B), the National Toxicology Program (NTP) (Groups 1 and 2), the U.S. Environmental Protection Agency (EPA) Integrated Risk Information System (IRIS) (weight-of-evidence classifications A, B1, B2, and C, carcinogenic, likely to be carcinogenic, and suggestive evidence of carcinogenicity or carcinogen potential), or the Occupational Safety and Health Administration (OSHA).
Certified Wood	See Forest Stewardship Council (FSC) Certified Wood.
Clear Wood Finish	Clear/semi-transparent coating applied to wood substrates to provide a transparent or translucent solid film.
Coating	Liquid, liquefiable or mastic composition that is converted to a solid adherent film after application to a substrate as a thin layer; and is used for decorating, protecting, identifying or to serve some functional purpose such as the filling or concealing of surface irregularities or the modification of light and heat radiation characteristics; and is intended for on-site application to interior or exterior surfaces of buildings. Does not include stains, clear finishes, recycled latex paint, specialty (industrial, marine or automotive) coatings or paint sold in aerosol cans.
Composite Wood	Products composed of wood or plant particles or fibers bonded by a synthetic resin or binder to produce panels such as plywood, particleboard, and medium density fiberboard (MDF). Does not include hardboard, structural panels, glued laminated timber, prefabricated wood I-joists or finger-jointed lumber.
Cradle-to-Gate Assessment	Analysis of a product’s partial life cycle, from resource extraction to the factory gate, before it is transported for distribution and sale.
Design Consultant	The entity responsible for providing design services for the Project, including without limitation, preparing the construction documents (drawings and specifications) and providing services in connection with such documents during construction. The entity serving as the “Design Consultant” may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.



Enclosure	The exterior plus semi-exterior portions of the building. Exterior consists of the elements of a building that separate conditioned spaces from the outside (i.e., the wall assembly). Semi-exterior consists of the elements of a building that separate conditioned space from unconditioned space or that encloses semi-heated space through which thermal energy may be transferred to or from the exterior or conditioned or unconditioned spaces (e.g., attic, crawl space, basement).
Environmental Product Declaration (EPD)	A statement that the item meets the environmental requirements of, ISO 14025, 14040 and EN 15804, or ISO 21930 and have at least a cradle-to-gate scope.
Extended Producer Responsibility	A waste management strategy, also known as closed-loop program or product take-back, where the manufacturer's responsibility for a product is extended to the post-consumer stage of the product's life-cycle.
Floor Coating	Opaque coating applied to flooring. Excludes industrial maintenance coatings.
Forest Stewardship Council (FSC) Certified Wood	Wood-based materials and products certified in accordance with the Forest Stewardship Council's principles and criteria.
Hazardous Air Pollutant	Any compound listed by the U.S. EPA in the Clean Air Act Section 112(b)(1) as a hazardous air pollutant.
Inherently Non-Emitting Materials	Products that are inherently non-emitting sources of VOCs, including stone, ceramic, powder-coated metals, plated or anodized metals, lass, concrete, clay brick, unfinished solid wood, untreated solid wood. These materials are considered compliant without VOC testing if they do not include integral organic-based surface coatings, binders or sealants.
Lacquer	Clear/semi-transparent coating formulated with cellulosic or synthetic resins to dry by evaporation without chemical reaction and provide a solid, protective film.



LEED	The Leadership in Energy & Environmental Design rating system developed by the United States Green Building Council (USGBC).
Life-Cycle Assessment	An evaluation of the environmental effects of a product from cradle to grave, as defined by ISO 14040-2006 and ISO 14044-2006.
Mutagen	A chemical that meets the criteria for category 1, chemicals known to induce heritable mutations or to be regarded as if they induce heritable mutations in the germ cells of humans, under the Harmonized System for the Classification of Chemicals Which Cause Mutations in Germ Cells (United Nations Economic Commission for Europe, Globally Harmonized System of Classification and Labeling of Chemicals).
Ozone-Depleting Compounds	A compound with an ozone-depletion potential greater than 0.1 (CFC 11=1) according to the U.S. EPA list of Class I and Class II Ozone-Depleting Substances.
Paint	<p>A pigmented coating. For the purposes of this specification, paint primers are considered to be paints.</p> <p>A. Flat Coating or Paint: Has a gloss of less than 15 (using an 85-degree meter) or less than 5 (using a 60-degree meter).</p> <p>B. Non-Flat Coating or Paint: Has a gloss of greater than or equal to 15 (using an 85-degree meter) or greater than or equal to 5 (using a 60-degree meter).</p> <p>C. Non-Flat High-Gloss Coating or Paint: Has a gloss of greater than or equal to 70 (using a 60-degree meter).</p> <p>Anti-Corrosive / Rust Preventative Paint: Coating formulated and recommended for use in preventing the corrosion of ferrous metal substrates.</p>
Permanently Installed Building Product	See Product.
Primer	Coating that is formulated and recommended for one or more of the following purposes: to provide a firm bond between the substrate and a subsequent coating; to prevent a subsequent coating from being absorbed into the substrate; to prevent harm to a subsequent coating from materials in the



	<p>substrate; or to provide a smooth surface for application of a subsequent coating.</p>
<p>Product</p>	<p>An item that arrives on the Project site either as a finished element ready for installation or as a component to another item assembled on-site. The product unit is defined by the functional requirement for use in the Project; this includes the physical components and services needed to serve the intended function of the permanently installed building product. Similar products within a specification will each contribute as a separate product.</p>
<p>Product-Specific Declaration</p>	<p>Products with a publicly available, critically reviewed life-cycle assessment conforming to ISO 14044 that have at least a cradle-to-gate scope.</p>
<p>Recycled Content</p>	<p>The percentage by weight of constituents that have been recovered or otherwise diverted from the solid waste stream, either during the manufacturing process (pre-consumer) or after consumer use (post-consumer). Recycled content claims for products must conform to the definition in ISO 14021-1999, Environmental Labels and Declarations, Self-Declared Environmental Claims (Type II Environmental Labeling).</p> <p>Spills and scraps from the original manufacturing process that are combined with other constituents after a minimal amount of reprocessing for use in further production of the same product are not recycled materials.</p> <p>Discarded materials from one manufacturing process that are used as constituents in another manufacturing process are pre-consumer recycled materials.</p> <p>“Pre-consumer” may also be referred to as “post-industrial”.</p>



Regionally Manufactured Materials	Materials that are manufactured, distributed and purchased within a radius of 100 miles from the Project location. Manufacturing refers to all points of manufacture for an assembly of components.
Regionally Extracted, Harvested, or Recovered Materials	Materials which are extracted, harvested or recovered, manufactured, distributed and purchased within a radius of 100 miles from the Project site.
Reproductive Toxin	A chemical listed as a reproductive toxin (including developmental, female, and male toxins) by the State of California under the Safe Drinking Water and Toxic Enforcement Act of 1986 (California Code of Regulations, Title 22, Division 2, Subdivision 1, Chapter 3, Sections 1200, et. Seq.).
Sanding Sealer	Clear/semi-transparent coating formulated to seal bare wood. Can be abraded to create a smooth surface for subsequent coatings. Does not include sanding sealers that are lacquers (see Clear Wood Finish above).
Sealant	Any material with adhesive properties, formulated primarily to fill, seal, or waterproof gaps or joints between surfaces. Includes sealant primers and caulks.
Shellac	Clear or pigmented coating formulated solely with the resinous secretions of the lac beetle, thinned with alcohol and formulated to dry by evaporation without chemical reaction. Excludes floor applications.
Solar Reflectance Index (SRI)	A measure of a material's ability to reflect solar heat, as shown by a small temperature rise. It is defined so that a standard black (reflectance 0.05, emittance 0.90) is equal to 0, and a standard white (reflectance 0.80, emittance of 0.90) is equal to 100.
Stain	Clear semi-transparent/opaque coating formulated to change the color but not conceal the grain pattern or texture of the substrate.
Varnish	Clear/semi-transparent coating, excluding lacquers and shellacs, formulated to dry by chemical reaction on exposure to air. May contain small amounts of pigment.



Volatile Aromatic Compound	Any hydrocarbon compound containing one or more 6-carbone benzene rings, and having an initial boiling point less than or equal to 280 degrees Celsius measured at standard conditions of temperature and pressure.
Volatile Organic Compound (VOC)	Any compound of carbon (excluding carbon monoxide, carbon dioxide, carbonic acid, metallic carbides or carbonates and ammonium carbonate) which vaporizes (becomes a gas) and participates in atmospheric photochemical reactions, as specified in Part 51.00 of Chapter 40 of the U.S. Code of Federal Regulations, at normal room temperatures. For the purposes of this specification, formaldehyde and acetaldehyde are considered to be VOCs. Waterproofing Sealer: A coating that prevents the penetration of water into porous substrates.

1.5 LEED PROVISIONS:

- A. Refer to the Addendum for the LEED rating to be achieved for this Project. The provisions to achieve this LEED rating are integrated within the Project construction documents and specifications. Additional LEED requirements are met through aspects of the Project design, including material and equipment selections, which may not be specifically identified as LEED Building requirements. Compliance with the requirements needed to obtain LEED prerequisites and credits will be used as one criterion to evaluate substitution requests.

1.6 LEED BUILDING SUBMITTALS:

- A. Scope: LEED Building Submittals are required for all permanently installed materials included in General Construction work. For Plumbing, Mechanical and Electrical work, LEED Building Submittals are only required for field-applied adhesives, sealants, paints and coatings. Voluntary inclusion of system components such as piping, pipe insulation, ducts, conduits, plumbing fixtures, faucets and lamp housings must be consistently applied to the Project’s LEED credits. Submit all required LEED Building Submittals in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.
- B. Applicability: The extent of the LEED Building Submittals varies depending on the specification section. Applicable LEED Building Submittals are listed under the “LEED Building Submittals” heading in each specification section. The detailed requirements for the LEED Building Submittals are defined in Sub-Section 1.6 C below.
- C. Detailed Requirements: Sub-Sections 1.6 C.1 through 1.6 C.18 below define the information and documents to be submitted for each type of LEED Building Submittal as identified in the LEED Building Submittals heading in each specification section:
 - 1. LEED v4 Material and Resources (MR) Credits Calculator for Building Product Disclosure and Optimization (Disclosure and Optimization Calculator): With each submittal of a product permanently installed in the Project, the Contractor is responsible for the completion of the



Disclosure and Optimization Calculator, which can be found on USGBC's website. The Contractor must maintain an updated Disclosure and Optimization Calculator for all applicable products throughout the Project duration and submit the updated calculator on a monthly basis.

- a. The Disclosure and Optimization Calculator will record the information outlined in Items b.-c. below for all permanently installed products, the information outlined in Item d. below for all permanently installed concrete mixes, and the information outlined in Items e.-i. below for all permanently installed products that have the content, disclosure or optimization characteristics described herein:
- b. Cost breakdowns for the materials included in the Contractor or subcontractor's scope of work. Cost reporting must include itemized material costs (excluding the Contractor's labor, equipment, overhead and profit).
- c. The percentages (by weight) of post-consumer and/or post-industrial recycled content in the supplied product(s).
 - 1) For each product with recycled content, also indicate the total recycled content value ($1/2 \times \text{pre-consumer percentage} \times \text{product value} + 1 \times \text{post-consumer percentage} \times \text{product value} = \text{total recycled content value}$).
 - 2) See additional requirements for concrete in section 1.6.C.1.d below.
- d. The percentage (by weight), relative to the total weight of cementitious materials, of supplementary cementitious materials or pozzolans such as fly ash used in each concrete mix used in the Project.
 - 1) For each concrete mix, submit a complete breakdown of all components, by weight and by cost.
- e. Identification (Yes/No) of materials manufactured, distributed and purchased within 100 miles of the Project site AND containing raw materials harvested or extracted within 100 miles of the Project site, if used in the Project, as well as the following information:
 - 1) Indicate the percentage by weight, relative to the total weight of the product that meets these criteria.
 - 2) Indicate the point of harvest/extraction/recovery of regional raw materials, the point of final assembly of regional manufactured products, and the distance from each point to the Project site.
- f. The percentage (by cost) of "Forest Stewardship Council (FSC) Certified" wood products, if used in the Project.
 - 1) Record all new wood products, indicating which are FSC-certified. Do not record reclaimed, salvaged, or recycled FSC-certified wood products.
 - 2) Reclaimed, salvaged, or recycled FSC-certified wood may be recorded as post-consumer recycled content.
- g. The number or percentage of products with Environmental Product Declarations (EPD), with fractional or multiplied values as indicated below. If a product used in the Project has an EPD Declaration, submit one of the following:
 - 1) EPD:
 - i. Product-Specific Declaration: Valued as one quarter (1/4) of a product
 - ii. Industry-Wide (Generic) EPD: Valued as one half (1/2) of a product
 - iii. Product-Specific Type III EPD: Valued as one whole product
 - 2) Documentation of third-party certification of impact reduction below industry average for at least three of the following categories, valued at 100%:
 - i. Global warming potential (greenhouse gases), in CO₂e;
 - ii. Depletion of the stratospheric ozone layer, in kg CFC-11;
 - iii. Acidification of land and water sources, in moles H⁺ or kg SO₂;
 - iv. Eutrophication, in kg nitrogen or kg phosphate;
 - v. Formation of tropospheric ozone, in kg NO_x or kg ethene; and depletion of nonrenewable energy resources, in MJ.



- 3) For 1) and 2) above, if a product is also sourced (extracted, manufactured, purchased) within 100 miles of the site, it is valued as two times the whole product.
 - 4) For 1) and 2) above, structure and enclosure materials may not constitute more than 30% of the value of compliant building products.
- h. The number or percentage of products for which Sourcing of Raw Materials has been documented, with fractional or multiplied values as indicated below. If a product used in the Project has documented Sourcing of Raw Materials, submit one of the following:
- 1) Corporate sustainability report (CSR). Submit one of the following:
 - i. Manufacturer's self-declared report: valued as half of a product
 - ii. Third-party verified CSR which include environmental impacts of extraction operations and activities associated with the manufacturer's product and the product's supply chain: valued as one whole product:
 1. Global Reporting Initiative (GRI) Sustainability Report
 2. Organisation for Economic Co-operation and Development (OECD) Guidelines for Multinational Enterprises
 3. U.N. Global Compact: Communication of Progress
 4. ISO 26000: 2010 Guidance on Social Responsibility
 5. Other USGBC approved programs meeting the CSR criteria
 - 2) Documentation of at least one of the responsible extraction criteria below:
 - i. Extended producer responsibility program, valued as half of a product
 - ii. Bio-based materials, valued as one whole product
 - iii. Certified Wood: Wood-based materials include all materials made from wood, including engineered wood products and wood-based panel products, valued as one whole product
 - iv. Material Reuse: Materials may be salvaged, refurbished, or reused, valued as one whole product.
 - v. Recycled content. The sum of post-consumer recycled content plus one-half the pre-consumer recycled content, based on cost, valued as one whole product.
 - vi. Other USGBC approved programs meeting leadership extraction criteria
 - 3) For 1) and 2) above, if a product is also sourced (extracted, manufactured, purchased) within 100 miles of the site: valued as two times the whole product.
 - 4) For 1) and 2) above, structure and enclosure materials may not constitute more than 30% of the value of compliant building products. Products meeting multiple criteria may only be counted once.
- i. The number or percentage of products for which Material Ingredients have been disclosed, with fractional or multiplied values as indicated below. If a product used in the Project discloses its Material Ingredients, submit one of the following:
- 1) Chemical inventory of the product to at least 0.1% (1000 ppm), documented by one of the following:
 - i. Manufacturer Inventory
 - ii. Health Product Declarations (HPDs)
 - iii. Cradle to Cradle (C2C) certifications
 - iv. Declare product labels
 - v. ANSI/BIFMA e3 Furniture Sustainability Standard (Furniture may be included, providing it is included consistently in all MR Credits.)



- 2) Documentation of compliance with one of the following material ingredient optimization criteria programs:
 - i. GreenScreen benchmarks
 - ii. Cradle to Cradle certifications
 - iii. REACH optimizations
 - iv. Other USGBC approved programs meeting building product optimization criteria
 - 3) Documentation that the product is sourced from a manufacturer that meets all of the below supply chain optimization criteria:
 - i. Manufacturer engages in validated and robust safety, health, hazard and risk programs which at a minimum document at least 99% (by weight) of the ingredients used to make the building product or building material
 - ii. Manufacturer provides independent third party verification of the following conditions for their supply chain, at a minimum:
 1. Processes are in place to communicate and transparently prioritize chemical ingredients along the supply chain according to available hazard, exposure and use information to identify those that require more detailed evaluation
 2. Processes are in place to identify, document, and communicate information on health, safety and environmental characteristics of chemical ingredients
 3. Processes are in place to implement measures to manage the health, safety and environmental hazard and risk of chemical ingredients
 4. Processes are in place to optimize health, safety and environmental impacts when designing and improving chemical ingredients
 5. Processes are in place to communicate, receive and evaluate chemical ingredient safety and stewardship information along the supply chain
 6. Safety and stewardship information about the chemical ingredients is publicly available from all points along the supply chain
 - 4) For 2) and 3) above, if a product is also sourced (extracted, manufactured, purchased) within 100 miles of the site: valued as two times the whole product. Products compliant with both 2) and 3) may only be counted once.
 - 5) For 1), 2), and 3) above, structure and enclosure materials may not constitute more than 30% of the value of compliant building products.
2. LEED v4 Indoor Environmental Quality Credit Low-Emitting Materials Calculator (EQ Calculator). With each relevant product submittal, the Contractor is responsible for the completion of the EQ Calculator, which can be found on USGBC's website. The Contractor must maintain an updated EQ Calculator throughout the Project duration for all applicable products and submit the updated calculator on a monthly basis.
- a. The EQ Calculator must record information for all relevant products as outlined below. Include the following documentation. Detailed requirements are listed in b. – j. below.
 - 1) Volume used of all field applied interior adhesives, sealants, paints & coatings.
 - 2) VOC content of all field-applied interior adhesives, sealants, paints, and coatings, listed in grams/liter or lbs./gallon, less water.
 - 3) General Emissions Evaluation for more than 90 percent of all field-applied interior paints, coatings, adhesives, and sealants, by volume, and for 100 percent of all flooring, ceilings, walls, and thermal and acoustic insulation.
 - 4) Composite Wood Evaluation for all composite wood not covered by other categories.
 - 5) Furniture Evaluation for 90% of all furniture, by cost.



- 6) For schools/healthcare only: Exterior-Applied Products Evaluation for 90% of all exterior applied materials, measured by volume. All batt insulation products must contain no added formaldehyde.
- b. VOC REQUIREMENTS, GENERAL: The following materials must meet the listed compliance requirements for emissions and content standards, for all applicable categories. All products must comply with each applicable threshold requirement. Refer to LEED BD+C Reference Guide, EQ Credit Low-Emitting Materials for additional guidance.
- 1) General Emissions Requirements: Products must demonstrate they have been tested and determined compliant in accordance with California Department of Public Health (CDPH), Standard Method v1.1-2010 or v1.2-2017, using the applicable exposure scenario, and stating the range of total VOCs (TVOC) after 14 days measured as specified in the CDPH Standard Method v1.1 as follows:
 - i. 0.5mg/m³ or less;
 - ii. between 0.5 and 5.0 mg/m³; or,
 - iii. 0.50 mg/m³ or more
 - 2) No product may contain any ingredients that are carcinogens, mutagens, reproductive toxins, persistent bioaccumulative compounds, hazardous air pollutants, or ozone-depleting compounds. An exception will be made for titanium dioxide and, for products that are pre-tinted by the manufacturer, carbon black, which must be less than or equal to 1% by weight of the product.
 - 3) No product may contain the following:
 - i. methylene chloride
 - ii. 1,1,1-trichloroethane
 - iii. benzene
 - iv. toluene
 - v. ethylbenzene
 - vi. vinyl chloride
 - vii. naphthalene
 - viii. 1,2-dichlorobenzene
 - ix. di (2-ethylhexyl) phthalate
 - x. butyl benzyl phthalate
 - xi. di-n-butyl phthalate
 - xii. di-n-octyl phthalate
 - xiii. diethyl phthalate
 - xiv. dimethyl phthalate
 - xv. isophorone
 - xvi. antimony
 - xvii. cadmium
 - xviii. hexavalent chromium
 - xix. lead
 - xx. mercury
 - xxi. formaldehyde
 - xxii. methyl ethyl ketone
 - xxiii. methyl isobutyl ketone
 - xxiv. acrolein
 - xxv. acrylonitrile
 - 4) No product may contain more than 1.0% by weight of sum total of volatile aromatic compounds.
- c. VOC REQUIREMENTS FOR INTERIOR ADHESIVES AND SEALANTS:
- 1) For field applications that are inside the weatherproofing system, use adhesives and sealants that comply with the following limits for VOC content when calculated



according to South Coast Air Quality Management District (SCAQMD) Rule #1168 requirements in effect on July 1, 2005, and rule amendment date January 7, 2005:

	Allowable VOC Content (g/L):
Architectural Applications:	
Indoor carpet adhesives	50
Carpet pad adhesives	50
Outdoor carpet adhesives	150
Wood flooring adhesives	100
Rubber floor adhesives	60
Subfloor adhesives	50
Ceramic tile adhesives	65
VCT and asphalt tile adhesives	50
Dry wall and panel adhesives	50
Cove base adhesives	50
Multipurpose construction adhesives	70
Structural glazing adhesives	100
Single ply roof membrane adhesives	250
Specialty Applications:	
PVC welding	510
CPVC welding	490
ABS welding	325
Plastic cement welding	250
Adhesive primer for plastic	550
Computer diskette manufacturing	350
Contact adhesive	80
Special purpose contact adhesive	250
Tire retread	100
Adhesive primer for traffic marking tape	150
Structural wood member adhesive	140
Sheet applied rubber lining operations specialty	850
Top and Trim adhesive	250
Substrate Specific Applications:	
Metal to metal substrate specific adhesives	30
Plastic foam substrate specific adhesives	50
Porous material (except wood) substrate specific adhesives	50
Wood substrate specific adhesives	30
Fiberglass substrate specific adhesives	80
Sealants:	
Architectural sealant	250
Marine deck sealant	760
Nonmember roof sealant	300
Roadway sealant	250
Single-ply roof membrane sealant	450
Other sealant	420
Sealant Primers:	
Architectural non-porous sealant primer	250
Architectural porous sealant primer	775



Modified bituminous sealant primer	500
Marine deck sealant primer	760
Other sealant primer	750
Other	
Other adhesives, adhesive bonding primers, adhesive primers or any other primers	250

- 2) For field applications that are inside the weatherproofing system, a minimum of 90 percent of adhesives and sealants, by volume, must comply with the requirements of the CDPH "Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers."
- d. VOC REQUIREMENTS FOR INTERIOR PAINTS AND COATINGS:
- 1) For field applications that are inside the weatherproofing system, use paints and coatings that comply with the following limits for VOC content when calculated according to the California Air Resources Board (CARB) 2007, Suggested Control Measure (SCM) for Architectural Coatings, or the SCAQMD Rule #1113, effective June 3, 2011.

Product Type:	Allowable VOC Content (g/L):
Bond Breaker	350
Clear wood finishes - Varnish	275
Clear wood finishes – Sanding Sealer	275
Clear wood finishes - Lacquer	275
Colorant – Architectural Coatings, excluding IM coatings	50
Colorant – Solvent Based IM	600
Colorant - Waterborne IM	50
Concrete – Curing compounds	100
Concrete – Curing compounds for roadways & bridges	350
Concrete surface retarder	50
Driveway Sealer	50
Dry-fog coatings	50
Faux finishing coatings - Clear topcoat	100
Faux finishing coatings – Decorative Coatings	350
Faux finishing coatings - Glazes	350
Faux finishing coatings - Japan	350
Faux finishing coatings – Trowel applied coatings	50
Fire-proof coatings	150
Flats	50
Floor coatings	50
Form release compounds	100
Graphic arts (sign) coatings	150
Industrial maintenance coatings	100
Industrial maintenance coatings – High temperature IM coatings	420
Industrial maintenance coatings – Non-sacrificial anti-graffiti coatings	100
Industrial maintenance coatings – Zinc rich IM primers	100



Magnesite cement coatings	450
Mastic coatings	100
Metallic pigmented coatings	150
Multi-color coatings	250
Non-flat coatings	50
Pre-treatment wash primers	420
Primers, sealers and undercoaters	100
Reactive penetrating sealers	350
Recycled coatings	250
Roof coatings	50
Roof coatings, aluminum	100
Roof primers, bituminous	350
Rust preventative coatings	100
Stone consolidant	450
Sacrificial anti-graffiti coatings	50
Shellac- Clear	730
Shellac – Pigmented	550
Specialty primers	100
Stains	100
Stains, interior	250
Swimming pool coatings – repair	340
Swimming pool coatings – other	340
Traffic Coatings	100
Waterproofing sealers	100
Waterproofing concrete/masonry sealers	100
Wood preservatives	350
Low solids coatings	120

- 2) For field applications that are inside the weatherproofing system, 90 percent of paints and coatings must comply with the requirements of the CDPH's "Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers."
 - e. LOW-EMITTING MATERIALS, FLOORING: Flooring must comply with the requirements of the CDPH's "Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers."
 - f. LOW-EMITTING MATERIALS, COMPOSITE WOOD: Composite wood, agrifiber products, and adhesives must be made using ultra-low-emitting formaldehyde (ULEF) resins as defined in the CARB's "Airborne Toxic Control Measure to Reduce Formaldehyde Emissions from Composite Wood Products" or must be made with no added formaldehyde.
 - g. LOW-EMITTING MATERIALS, CEILINGS, WALLS, THERMAL, AND ACOUSTIC INSULATION: Ceilings, walls, and thermal and acoustic insulation must comply with the requirements of the CDPH's "Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers."
 - h. LOW-EMITTING MATERIALS, FURNITURE: At least 90 percent of furniture, measured by cost, will be tested in accordance with ANSI/BIFMA Standard Method M7.1-2011; comply with ANSI/BIFMA e3-2011 Furniture Sustainability Standard, Sections 7.6.1 and 7.6.2, using either the concentration modeling approach or the emissions factor approach; and model the test results using the open plan, private office, or seating scenario in ANSI/BIFMA M7.1, as appropriate.
 - i. LOW-EMITTING MATERIALS, EXTERIOR APPLIED MATERIALS (HEALTHCARE/ SCHOOLS ONLY): At least 90 percent of exterior applied materials, measured by volume,



must comply with the requirements of the CDPH's "Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers."

- 1) The following materials are prohibited and do not count toward total percentage compliance:
 - a) Hot-mopped asphalt for roofing.
 - b) Coal tar sealants for parking lots and other paved surfaces.
 - j. **LOW-EMITTING MATERIALS, ADDITIONAL LOW-EMITTING REQUIREMENTS:** If the applicable regulation requires subtraction of exempt compounds, any content of intentionally added exempt compounds larger than 1% weight by mass (total exempt compounds) must be disclosed.
 - 1) If a product cannot reasonably be tested as specified above, testing of VOC content must comply with ASTM D2369-10; ISO 11890, part 1; ASTM D6886-03; or ISO 11890-2.
 - 2) Methylene chloride and perchloroethylene may not be intentionally added in adhesives, sealants, paints or coatings.
3. **BACK-UP DOCUMENTATION:** For each material listed in the Disclosure and Optimization Calculator or the EQ Calculator, provide and submit in accordance with Section 01 33 00 SUBMITTAL PROCEDURES, including but not limited to the documentation to certify the material's LEED Building attributes, as applicable:
- a. **INSTALLATION ON LOCATION:** Submit indication of the installation location of products other than adhesives, sealants, paints and coatings. Installation locations should be categorized as one of the following:
 - 1) Ceiling
 - 2) Wall
 - 3) Floor
 - 4) Subfloor
 - 5) Built-In Cabinetry
 - 6) Free-Standing Cabinetry
 - 7) Vertical Structural Elements
 - 8) Overhead Structural Elements
 - b. **RECYCLED CONTENT:** Submit published product literature or letter of certification on the manufacturer's letterhead certifying the amounts of post-consumer and/or post-industrial content.
 - c. **REGIONAL SOURCING (WITHIN 100 MILES):** Submit published product literature or letter of certification on the manufacturer's letterhead indicating the city/state where the manufacturing plant is located, where each of the raw materials in the product were extracted, harvested or recovered, manufactured, distributed and the distance in miles from the Project site.
 - 1) If only some of the raw materials for a particular product or assembly originate within 100 miles of the Project site, provide the percentage (by weight) that these materials comprise in the complete product.
 - d. **BUILDING PRODUCT DISCLOSURE AND OPTIMIZATION:** Submit published third-party or manufacturer's product literature or letter of certification, on the third-party or manufacturer's letterhead, certifying the documented disclosure and optimization information.
 - e. **VOC EMISSIONS AND CONTENT:** Submit Material Safety Data Sheets (MSDS), for all applicable products. Applicable products include, but are not limited to adhesives, sealants, carpets, paints and coatings, flooring, composite wood, ceilings, walls, thermal and acoustic insulation, furniture, and for healthcare and schools, exterior applied products. MSDS must



indicate the VOC emissions and content of products submitted. (If an MSDS does not include a product's VOC emissions and content, then product data sheets, manufacturer literature, or a letter of certification from the manufacturer must be submitted in addition to the MSDS to indicate the VOC emissions and content). Submit product third-party certificates and test reports, stating the testing methodology and the model, to include units that are consistent with those required. For wet-applied products, the manufacturer's documentation must state each product's classification and application according to the referenced standard's definition.

4. **PRODUCT CUT SHEETS:** Submit product cut sheets with the Contractor's or sub-contractor's stamp, confirming that the submitted products are the products installed in the Project.
5. **FSC-CERTIFIED WOOD:** If FSC-Certified Wood is used in the Project, submit:
 - a. Copies of vendor's invoices itemizing all new wood purchases, showing the cost for each line item.
 - b. For FSC-certified products, the vendor invoice must list product's FSC content percent and its Chain-of-Custody (CoC) certification number.
 - c. For FSC-certified products, submit the product and producer's CoC certificates.
 - d. For FSC-certified products modified on-site, submit on-site installer's CoC certification.
 - e. For assemblies, submit the percentage (by cost and by weight) of the assembly that is FSC-certified wood and published product literature or letter from the manufacturer (on the manufacturer's letterhead) verifying the percentage that is FSC-certified wood.
6. **HIGH ALBEDO PAVING AND WALKWAY MATERIALS:** For paving and walkway materials made from concrete or brick, submit published product literature or letter from the manufacturer (on the manufacturer's letterhead) verifying a minimum 3-year aged Solar Reflectance (SR) value of 0.28. If 3-year aged value information is not available, submit published product literature or letter verifying an initial SR value of at least 0.33 at installation.
7. **HIGH ALBEDO ROOFING MATERIALS:** For exposed roofing membranes, pavers, and ballast products, submit published product literature or letter from the manufacturer (on the manufacturer's letterhead) verifying the following minimum Solar Reflectance Index (SRI) values, calculated according to ASTM E 1980. Reflectance will be measured according to ASTM E 903, ASTM E 1918, or ASTM C 1549. Emittance will be measured according to ASTM E 408 or ASTM C 1371. Vegetated roof surfaces are exempt from the SRI criteria.
 - a. 82 for initial SRI, or 64 for 3-year aged SRI for low-sloped roofing applications (slope \leq 2:12)
 - b. 39 for initial SRI or 32 for 3-year aged SRI for steep-sloped roofing applications (slope $>$ 2:12)
8. **LOW MERCURY LAMPS:** For all fluorescent, compact fluorescent and HID lamps installed in the Project, submit the total number of each lamp type and submit published product literature or letter from the manufacturer (on the manufacturer's letterhead) verifying the following information. Preheat, T-9, T-10 and T-12 fluorescents or mercury vapor high-intensity discharge (HID) lamps must not be installed in the Project. For healthcare projects only, probe-start metal halide HID lamps must not be installed in any interior spaces.
 - a. The mercury content or content range per lamp in milligrams or picograms, meeting the following criteria;

Lamp	Maximum Mercury Content (milligram)
T-8 fluorescent, eight-foot	10 mg
T-8 fluorescent, four-foot	3.5 mg
T-8 fluorescent, U-bent	6 mg
T-5 fluorescent, linear	2.5 mg
T-5 fluorescent, circular	9 mg
Compact fluorescent, nonintegral ballast	3.5 mg
Compact fluorescent, integral ballast	3.5 mg, ENERGY STAR qualified



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High-pressure sodium, up to 400 watts	10 mg
High-pressure sodium, above 400 watts	32 mg

- b. The design light output per lamp (light at 40% of a lamp’s useful life) in lumens; and
 - c. The rated average life of the lamp in hours.
- 9. EXIT SIGNS: Illuminated exit signs must not contain mercury, and must use less than 5 watts of electricity.
- 10. CONCRETE: Submit concrete mix design for each mix, designated by a distinct identifying code or number and signed by a Professional Engineer licensed in the state of New York.
- 11. INTERIOR LIGHTING FIXTURES: For each lighting fixture type installed within the building’s weather barrier, submit manufacturer’s cut sheets indicating the following:
 - a. Fixture power in watts.
 - b. Initial lamp lumens.
 - c. Photometric distribution data.
 - d. Dimming capability, in range of percentages.
- 12. EXTERIOR LIGHTING FIXTURES: For each lighting fixture type installed on site, submit manufacturer’s cut sheets indicating the following:
 - a. Fixture power in watts.
 - b. Initial lamp lumens.
 - c. Photometric distribution data.
 - d. Range of field adjustability, if any.
 - e. Warranty of suitability for exterior use.
- 13. ALTERNATIVE TRANSPORTATION: Submit manufacturer’s cut sheets and/or shop drawings for the following items installed on site:
 - a. Bike racks, including total number of bicycle slots provided.
 - b. Signage indicating parking spaces reserved for electric or low-emitting vehicles and for carpools/vanpools, including total number of signs.
- 14. WATER CONSERVING FIXTURES: For all water consuming plumbing fixtures and fittings, submit manufacturer’s cut sheets showing maximum flow rates and/or flush rates.
- 15. ENERGY SAVING APPLIANCES: Submit manufacturer’s cut sheets and published product literature or letter from the manufacturer (on the manufacturer’s letterhead) verifying the product’s rating under the U.S. EPA/DOE Energy Star program, for all of the following:
 - a. Appliances (i.e., refrigerators, dishwashers, microwave ovens, televisions, clothes washers, clothes dryers, chilled water dispensers).
 - b. Office equipment (i.e., copy machines, fax machines, plotters/printers, scanners, binding and publishing equipment).
 - c. Electronics (i.e., servers, desktop computers, computer monitor displays, laptop computers, network equipment).
 - d. Commercial food service equipment.
- 16. GLAZING: For glazing in any windows, doors, storefront and window wall systems, curtainwall systems, skylights, and partitions, submit manufacturer’s cut sheets indicating the following:
 - a. Glazed area.
 - b. Visible light transmittance.
 - c. Solar heat gain coefficient.
 - d. Fenestration assembly u-factor.



17. VENTILATION: Submit manufacturer's cut sheets for the following:
 - a. Carbon dioxide monitoring systems, if any, installed to measure outside air delivery.
 - b. Air filters: for detailed requirements refer to Section 01 81 19 INDOOR AIR QUALITY REQUIREMENTS FOR LEED BUILDINGS.
18. REFRIGERATION: For all refrigeration equipment, submit manufacturer's cut sheets indicating the following:
 - a. Equipment type.
 - b. Equipment life. Default values specified by the 2007 ASHRAE Applications Handbook will be used unless otherwise demonstrated by the manufacturer's guarantee and an equivalent long-term service contract.
 - c. Refrigerant type.
 - d. Refrigerant charge in pounds of refrigerant per ton of gross cooling capacity.
 - e. Tested refrigerant leakage rate, in percent per year. A default rate of 2% will be used unless otherwise demonstrated by test data.
 - f. Tested end-of-life refrigerant loss, in percent. A default rate of 10% will be used unless otherwise demonstrated by test data.

1.7 LEED BUILDING SUBMITTAL REQUIREMENTS:

- A. The LEED Building Submittal information must be assembled into one package per contract specification section(s) (or per subcontractor), and submitted in accordance with Section 01 33 00 SUBMITTAL PROCEDURES. Incomplete or inaccurate LEED Building Submittals may be used as the basis for the rejection of products or assemblies.
- B. All final LEED Building Submittal information with back-up documentation must be submitted within two (2) months of the Project's substantial completion. If in the Project's LEED review, the USGBC or their third party reviewer requires additional documentation as it relates to the LEED Building Submittals, the Contractor must provide the requested documentation within two (2) weeks.

1.8 LEED ACTION PLANS:

- A. Construction Waste Management Plan- Refer to Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL for detailed requirements.
- B. Construction IAQ Management Plan- Refer to Section 01 81 19 INDOOR AIR QUALITY REQUIREMENTS FOR LEED BUILDINGS for detailed requirements.
- C. Erosion and Sedimentation Control (ESC) Plan:
 1. The Plan must be in accordance with the New York State Department of Environmental Conservation (NYSDEC)'s New York State Standards and Specifications for Erosion and Sediment Control (Blue Book) or the 2012 EPA Construction General Permit, whichever is more stringent.
 2. The Plan must be submitted in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.
 3. Detailed requirements: ESC Plan
 - a. Include the Stormwater Pollution Prevention Plan, if required.
 - b. Identify the party responsible for Plan monitoring and documentation. The party must be regularly on site.
 - c. Describe all site work that will be implemented on the Project and include timing of implementation.



- d. Submit site plan with location of ESC measures, including, but not limited to, stormwater quantity controls, stormwater quality controls, stabilized construction entrances, washdown areas, inlet/catch basin protection and perimeter controls.
 - e. Establish and clearly delineate construction buffer zones to avoid soil compaction and other construction damage to greenfields.
 - f. Describe the inspection and maintenance protocols of the ESC measures. Submit a construction schedule indicating weekly site review.
 - g. Describe reporting and documentation measures.
4. Detailed requirements: ESC Tracking Log
- a. Note date of major rain events, describe damage, describe any repairs or maintenance of specific control measures performed, and note responsible party.
 - b. Note date and findings of weekly site review, describe any repairs or maintenance performed, and note responsible party. Submit date-stamped photographs, inspection reports or other recording processes.
 - c. Submit monthly.
5. Implementation
- a. Before Demolition and/or Construction begins, the Contractor will implement the ESC Plan, coordinate the Plan with all affected trades, and designate one individual as the Erosion and Sedimentation Control Representative, who will be responsible for communicating the progress of the Plan with the Commissioner monthly, and for assembling the required LEED documentation.
 - b. The Contractor is responsible for the provision, maintenance, and repair of all ESC measures. Any problems identified in site inspections must be resolved in a timely manner.
 - c. Demonstration. The Contractor must provide on-site instruction of proper construction practices required to prevent erosion and sedimentation.
 - d. All subcontractors must promptly notify the ESC Representative if damage to an ESC measure is observed.
 - e. Meetings. Urgent or ongoing ESC issues must be discussed at weekly on-site job meetings.
6. All projects, including zero lot line buildings and projects that cause minimal or even no exterior site disturbance, must have ESC Plan that meets requirements.
7. Contractor must save such original documents for the life of the Project plus seven (7) years.

1.9 QUALITY ASSURANCE:

- A. The Contractor must implement all LEED Action Plans, coordinate the Plans and LEED Building Submittals with all affected trades, and designate one individual as the Sustainable Construction Representative at no additional cost to the City of New York, who will be responsible for communicating the progress of LEED activities with the Commissioner monthly, and for assembling the required LEED documentation. The Contractor must facilitate measurements taken by authorized parties on site for LEED compliance verification purposes.
- B. Responsibilities of Contractor's Subcontractors: The Contractor is responsible for his/her subcontractors complying with the LEED Action Plans and for providing required LEED documentation as required for the Project.
- C. Distribution and Compilation: The Contractor is responsible for distributing the LEED v4 MR Credits Calculator for Building Product Disclosure and Optimization, the LEED v4 EQ Credit Low-Emitting Materials Calculator, and any other forms or templates required for the subcontractors to record LEED documentation. The Contractor is also responsible for collecting and compiling Building Product Disclosure and Optimization and Low-Emitting Materials information into packages as described in Section 01 33 00 SUBMITTAL PROCEDURES.
- D. Meetings: Sustainable design and construction issues must be discussed at the following meetings in accordance with Section 01 31 00 PROJECT MANAGEMENT AND COORDINATION:
 1. Demolition kick-off meeting



2. Construction kick-off meeting
3. Construction kick-off meeting for LEED (independent meeting)
4. Weekly job-site progress and coordination meetings
5. Closeout meeting

1.10 REFERENCES:

- A. New York State Standards and Specifications for Erosion and Sediment Control, amended November 2016: http://www.dec.ny.gov/docs/water_pdf/2016nysstanec.pdf
- B. 2012 EPA Construction General Permit: <https://www.epa.gov/npdes/epas-2012-construction-general-permit-cgp-and-related-documents>
- C. South Coast Air Quality Management District (SCAQMD), Rule 1168: www.aqmd.gov
- D. South Coast Air Quality Management District (SCAQMD), Rule 1113: www.aqmd.gov
- E. CDPH Standard Method v1.1-2010: www.cal-iaq.org
- F. ISO 17025: www.iso.org
- G. ISO Guide 65: www.iso.org
- H. CARB 93120 ATCM: arb.ca.gov/toxics/compwood/compwood.htm
- I. ANSI/BIFMA M7.1 Standard Test Method for Determining VOC Emissions from Office Furniture Systems, Components and Seating: bifma.org
- J. ANSI/BIFMA e3-2011 Furniture Sustainability Standard: bifma.org
- K. ISO 14021–1999, Environmental labels and declarations—Self Declared Claims (Type II Environmental Labeling): www.iso.org
- L. ISO 14025–2006, Environmental labels and declarations (Type III Environmental Labeling): www.iso.org
- M. ISO 14040–2006, Environmental management, Life cycle assessment principles, and frameworks: www.iso.org
- N. ISO 14044–2006, Environmental management, Life cycle assessment requirements, and guidelines: www.iso.org
- O. International Standard ISO 21930–2007 Sustainability in building construction—Environmental declaration of building products: www.iso.org
- P. Federal Trade Commission, Guides for the Use of Environmental Marketing Claims, 16 CFR 260.7 (e): www.ftc.gov/bcp/gnrule/guides980427.htm
- Q. Global Reporting Initiative (GRI) Sustainability Report: www.globalreporting.org/
- R. Organisation for Economic Co-operation and Development (OECD) Guidelines for Multinational Enterprises: www.oecd.org/daf/internationalinvestment/guidelinesformultinationalenterprises/
- S. U.N. Global Compact, Communication on Progress: www.unglobalcompact.org/participation/report/cop
- T. ISO 26000—2010 Guidance on Social Responsibility: www.iso.org/iso/home/standards/iso26000.htm
- U. Forest Stewardship Council: www.ic.fsc.org
- V. Sustainable Agriculture Network: www.sanstandards.org
- W. The Rainforest Alliance: www.rainforest-alliance.org/
- X. ASTM Test Method D6866: www.astm.org/Standards/D6866.htm



**Department of
Design and
Construction**

Division 01 – DDC STANDARD GENERAL CONDITIONS
SINGLE CONTRACT PROJECTS
Issue Date: July 1, 2022

- Y. Chemical Abstracts Service: www.cas.org/
- Z. Health Product Declaration: www.hpd-collaborative.org/
- AA. Cradle-to-Cradle CertifiedCM Product Standard: www.c2ccertified.org/product_certification
- BB. Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH):
www.echa.europa.eu/support/guidance-on-reach-and-clp-implementation
- CC. GreenScreen: www.greenscreenchemicals.org/method/greenscreen-list-translator

PART II – PRODUCTS (Not Used)

PART III – EXECUTION (Not Used)

END OF SECTION 01 81 13.04



**SECTION 01 81 13.10
ENVIRONMENTALLY PREFERABLE PURCHASING (EPP) COMPLIANCE**

REFER TO THE ADDENDUM FOR APPLICABILITY OF THIS SECTION 01 81 13.10

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

- A. This Section includes administrative and procedural requirements for all equipment, material and product purchasing to comply with the requirements of New York City Environmentally Preferable Purchasing (EPP) “Minimum Standards for Construction Products”, as established by the Mayor’s Office of Contract Services (MOCS). Refer to their website for further guidance.
- B. All sections in the Project Specifications with applicable equipment, materials and products will follow all requirements of this section. In the event of any conflict or inconsistency between this section and the Specifications, the more stringent requirements will prevail.
- C. This Section includes:
 - 1. Definitions
 - 2. Administrative Requirements
 - 3. Action Submittals
 - 4. Informational Submittals
 - 5. Products, Materials

1.3 RELATED SECTIONS: Include without limitation the following:

- A. Section 01 10 00 SUMMARY
- B. Section 01 33 00 SUBMITTAL PROCEDURES
- C. Section 01 78 39 CONTRACT RECORD DOCUMENTS

1.4 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.

<u>Term</u>	<u>Definition</u>
Environmentally Preferable Purchasing (EPP) Minimum Standards for Construction Products	The standard that refers to a list of equipment, materials and products that may be specified in construction contracts covered by the EPP laws and provides the applicable minimum standards referenced in the laws. See EPP Minimum Standards for Constructions Products available on MOCS’ website for a comprehensive list of all applicable definitions.



1.5 ADMINISTRATIVE REQUIREMENTS:

- A. At no additional cost to the City of New York, designate an individual who will be responsible for the communication of progress of EPP activities with the Commissioner on a regular basis and for the quality of all EPP-related materials and preparation, coordination and assembly of the supporting documentation.
- B. Scope and Applicability: Action submittals and informational submittals are required for all installed equipment, materials and products that require EPP compliance. Provide all required submittals in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.
- C. Distribution and Compilation: The Contractor must coordinate with all affected trades and is responsible for his/her subcontractors complying with the EPP requirements and for providing required EPP documentation as required for the project. The Contractor is responsible for distributing the forms or templates required for the subcontractors to record EPP documentation. The Contractor is also responsible for collecting and compiling information into packages as described in Section 01 33 00 SUBMITTAL PROCEDURES.
- D. The Contractor must respond in a timely manner to questions and requests from the Commissioner, Design Consultant and MOCS regarding EPP requirements that are the responsibility of the Contractor. Document responses as informational submittals.

1.6 ACTION SUBMITTALS:

- A. General Requirements:
 - 1. EPP Documentation Submittals for applicable and compliant product data, as stated in the EPP Minimum Standards for Construction Products, is to be documented in the form of a Vendor Survey and supporting manufacturer's data sheets highlighting EPP compliance-related data. Include in the Vendor Survey the anticipated quantity of product purchased and cost per unit data. See attached sample Vendor Survey form.
 - 2. Compliance with EPP requirements will be used as one criterion to evaluate product selection. Assemble EPP Documentation Submittal information into one package per contract specification section(s) (or per subcontractor). Incomplete or inaccurate EPP Documentation submittals may be used as the basis for the rejection of products or assemblies.
 - 3. Update the quantities and costs in the Vendor Survey once products are approved and purchased and document as information submittal.

1.7 INFORMATIONAL SUBMITTALS

- A. For each registered contract, the Contractor must maintain a Master Vendor Survey, an updated tracking log of all equipment, materials and products purchased on a contract that are required to comply with EPP. Submit the Master Vendor Survey on a monthly basis and update the costs once products are purchased.
 - 1. Upon request by MOCS, submit the Master Vendor Survey and supporting documents.
- B. EPP Progress Reports: Concurrent with each Application for Payment, submit reports of purchasing activities for each of the EPP-applicable equipment, materials and products listed in Sub-section C below.
- C. Project Materials Cost Data: For Vendor Survey and EPP Progress Reports, include breakout of costs for the following categories of items:



1. Appliances.
2. Architectural Coatings.
3. HVAC Equipment.
4. Lighting Products.
5. Miscellaneous Products – Construction.
6. Plumbing Fixtures.

PART II – PRODUCTS

2.1 MATERIALS:

A. Detailed Requirements. This sub-section defines the information and documents to be provided for each EPP-applicable equipment, material and product type, as identified in each specification section:

1. Appliances – Residential:

All energy-using products for which the United States Environmental Protection Agency and the United States Department of Energy have developed energy efficiency standards for compliance with the Energy Star program shall be ENERGY STAR labeled. The following residential appliances shall comply with this requirement:

- a. Clothes Washers
- b. Dehumidifiers
- c. Dishwashers, Standard-Sized
- d. Freezers, Upright, Chest and Compact
- e. Refrigerators and Refrigerator-Freezers, Standard-Sized and Compact

Microwave Ovens shall comply with the following requirements:

- a. Recommended Standby Levels: 2 watts or less
- b. Best Available Standby Level: 2 watts or less

2. Architectural Coatings:

a. For the products listed below, the maximum content of Volatile Organic Compounds (VOCs) shall be determined according to the American Society for Testing and Materials test method D 5116 (Guide for Small-Scale Environmental Chamber Determinations of Organic Emissions from Indoor Materials/Products).

Architectural Coating	Maximum Concentration of VOC in Grams per Liter
Clear Wood Coating – Clear-Brushing lacquers	275
Clear Wood Coating – Sanding Sealers (Other than Lacquers)	275
Clear Wood Coating –Varnishes	275
Floor Coatings	100
Lacquers - Pigmented	275
Primers for Flat Paint	100
Primers for Non-Flat Paint	150
Rust Preventative/Anti-Corrosive Paint	250



b. Any product listed below that is compliant with Part 205 of Title Six of the New York Codes, Rules and Regulations meets the standard required under EPP Minimum Standards for Construction Products. The maximum content of VOCs for these products shall be determined according to the test method required under part 205.6 of such part.

Architectural Coating	Maximum Concentration of VOC in Grams per Liter
Clear Wood Coating – Conversion Varnishes	725
Clear Wood Coating – Lacquers (Including Lacquer Sanding Sealers)	550
Concrete Bond Breakers	350
Concrete Curing Compounds	350
Concrete Surface Retarders	780
Dry Fog Coatings	400
Faux Finishing Coatings	350
Fire-Resistive Coatings	350
Fire-Retardant Coatings	650
Fire-Retardant Coatings - Opaque	350
Flat Paint	100
Form Release Compounds	250
Graphic Arts Coatings (Sign Paints)	500
High Temperature Coatings	420
Industrial Maintenance (IM) Coatings	340
Low Solids Coatings	120
Magnesite Cement Coatings	450
Mastic Texture Coatings	300
Metallic Pigmented Coatings	500
Multi-Color Coatings	500
Nonflat High-Gloss Coatings	250
Nonflat Paint	150
Pre-Treatment Wash Primers	420
Primers, Sealers, and Undercoaters	200
Quick-Dry Enamels	250
Quick-Dry Primers, Sealers, and Undercoaters	200
Recycled Coatings	250
Roof Coatings	250
Roof Coatings (Bituminous)	300
Roof Primers (Bituminous)	350
Shellacs – Clear	730
Shellacs – Opaque	550
Specialty Primers, Sealers and Undercoaters	350
Stains	250
Swimming Pool Coatings and Swimming Pool Repair and Maintenance Coatings	340
Thermoplastic Rubber Coatings and Mastics	550
Waterproofing Concrete / Masonry Sealers	400
Waterproofing Sealers	250
Wood Preservatives	350



c. The products listed below shall be recovered material and comply with the Post-consumer Content and Total Recovered Materials Content requirements.

Architectural Coating	Post-consumer Content (%)	Total Recovered Materials Content (%)
Latex Paint – Consolidated	100	100
Latex Paint – Reprocessed White, Off-White and Pastel Colors	20	20
Latex Paint – Reprocessed Grey, Brown, Earthtones and Other Dark Colors	50-99	50-99

3. HVAC Equipment: Commercial and Residential

a. Commercial

All energy-using products for which the United States Environmental Protection Agency and the United States Department of Energy have developed energy efficiency standards for compliance with the Energy Star program shall be ENERGY STAR labeled. The following Commercial HVAC Equipment shall comply with this requirement:

1. Air Conditioners, Air-Cooled
2. Air Conditioners, Gas/Electric Package Units
3. Heat Pumps, Air Source

Chillers shall comply with the following Part Load Optimized Chillers IPLV and Full Load Optimized Chillers IPLV requirements:

Type	Compressor Type and Capacity	Part Load Optimized Chillers IPLV (kW/ton) Required	Full Load Optimized Chillers IPLV (kW/ton) Required
Air-Cooled	Scroll (30 – 60 tons)	0.86 or less	1.23 or less 1.1
Air-Cooled	Reciprocating (30 – 150 tons)	0.90 or less	1.23 or less 1
Air-Cooled	Screw (70 – 200 tons)	0.98 or less	1.23 or less 0.94
Water-Cooled	Centrifugal (150 – 299 tons)	0.52 or less	0.59 or less
Water-Cooled	Centrifugal (300 – 2,000 tons)	0.45 or less	0.56 or less
Water-Cooled	Rotary Screw (>150 tons)	0.49 or less	0.64 or less

b. Residential

All energy-using products for which the United States Environmental Protection Agency and the United States Department of Energy have developed energy efficiency standards for compliance with the Energy Star program shall be ENERGY STAR labeled. The following Residential HVAC Equipment shall comply with this requirement:

1. Air Conditioners, Central (<65,000 Btu/h)



2. Air Conditioners, Central, Gas/Electric Package Units (<65,000 Btu/h)
3. Air Source Heat Pumps (<65,000 Btu/h)
4. Boilers and Boiler/Hot Water Heaters (<300,000 Btu/h)
5. Ceiling Fans
6. Furnaces and Furnace/Hot Water Heaters (<340,000 Btu/h)
7. Ground Source Heat Pumps (Geothermal)
8. In-Line Ventilating Fan
9. Programmable Thermostats
10. Range Hood and Bathroom /Utility Room Ventilating Fans
11. Room Air Cleaners
12. Room Air Conditioners

4. Lighting Products

a. The following lighting products shall comply with the corresponding BEF requirement:

Product Type	Number of Lamps	Required BEF
Ballast, Fluorescent, Four-Foot, Linear T12, 34-Watts	1	2.64 or higher
Ballast, Fluorescent, Four-Foot, Linear T12, 34-Watts	2	1.41 or higher
Ballast, Fluorescent, Four-Foot, Linear T12, 34-Watts	3	0.93 or higher
Ballast, Fluorescent, Eight-Foot, Linear T12, 60-Watts	2	0.80 or higher
Ballast, Fluorescent, Four-Foot, Linear T8, 32-Watts	1	2.54 or higher
Ballast, Fluorescent, Four-Foot, Linear T8, 32-Watts	2	1.44 or higher
Ballast, Fluorescent, Four-Foot, Linear T8, 32-Watts	3	1.44 or higher
Ballast, Fluorescent, Four-Foot, Linear T8, 32-Watts	4	0.73 or higher
Ballast, Fluorescent, Eight-Foot, Linear T8, 59-Watts	2	0.80 or higher
Ballast, Fluorescent, Four-Foot, U-Bent T12, 34-Watts	1	2.64 or higher
Ballast, Fluorescent, Four-Foot, U-Bent T12, 34-Watts	2	1.41 or higher
Ballast, Fluorescent, Four-Foot, U-Bent T12, 34-Watts	3	0.93 or higher
Ballast, Fluorescent, U-Tube, U-Bent T8, 32-Watts	1	2.54 or higher
Ballast, Fluorescent, U-Tube, U-Bent T8, 32-Watts	2	1.44 or higher
Ballast, Fluorescent, U-Tube, U-Bent T8, 32-Watts	3	0.93 or higher
Ballast, Fluorescent, U-Tube, U-Bent T8, 32-Watts	4	0.73 or higher

b. All energy-using products for which the United States Environmental Protection Agency and the United States Department of Energy have developed energy efficiency standards for compliance with the Energy Star program shall be ENERGY STAR labeled. The following Lighting Products shall comply with this requirement:

1. Exit Signs
2. Luminaires, Residential



c. Luminaires, Downlight, With Compact Fluorescent Lamps (13-32 Lamp Wattage) shall comply with the following LER requirements:

Luminaire Type (NEMA Designation)	Required LER
Open Optics	29 or higher
Baffled Optics	21 or higher
Lensed Optics	24 or higher

d. Luminaires, Downlight, With Metal Halide Lamps (<150 Watts) shall comply with the following LER requirements:

Luminaire Type (NEMA Designation)	Required LER
Open Optics	35 or higher
Lensed Optics	30 or higher

e. Luminaires, Fluorescent shall comply with the following LER requirements:

Luminaire Type (NEMA Designation)	Number of Lamps	Required LER
Lensed (FL)	2	62 or higher
Lensed (FL)	3	61 or higher
Lensed (FL)	4	61 or higher
VDT-Preferred Louvered (FP)	2	50 or higher
VDT-Preferred Louvered (FP)	3	51 or higher
VDT-Preferred Louvered (FP)	4	54 or higher
Four-Foot (FW)	2	63 or higher
Four-Foot (FW)	4	62 or higher
Four-Foot (FS)	1	70 or higher
Four-Foot (FS)	2	70 or higher
Four-Foot (FI)	1	67 or higher
Eight-Foot (FI)	2	68 or higher

f. Luminaires, Industrial HID, With High Pressure Sodium Lamps (<150 Lamp Wattage) shall comply with the following LER requirements:

Upward Efficiency	Lamp Wattage	Closed Fixture (HR) LER Required	Open Fixture (HR) LER Required
0%	150-399	58 or higher	68 or higher
0%	400-999	63 or higher	84 or higher
0%	>1000	N/A	N/A
1%-10%	150-399	64 or higher	63 or higher
1%-10%	400-999	82 or higher	89 or higher
1%-10%	>1000	N/A	109 or higher
11%-20%	150-399	N/A	78 or higher



11%-20%	400-999	N/A	94 or higher
11%-20%	>1000	N/A	N/A
>20%	150-399	75 or higher	77 or higher
>20%	400-999	N/A	N/A
>20%	>1000	N/A	N/A

5. Miscellaneous Products – Construction

- a. For the products listed below, the maximum content of Volatile Organic Compounds (VOCs) shall be determined according to the American Society for Testing and Materials test method D 5116 (Guide for Small-Scale Environmental Chamber Determinations of Organic Emissions from Indoor Materials/Products). The products may not contain any volatile organic compound in any concentration exceeding that specified below. Products that are compliant with the Green Label Plus program of the Carpet and Rug Institute are also compliant with this standard.

Carpet Adhesives		
Volatile Organic Compound	24-Hour Testing Maximum Emission Factor (µg/m²•hr)	14-Day Testing Maximum Emission Factor (µg/m²•hr)
Formaldehyde	50	31
2-ethyl-1-hexanol	300	300
Total Volatile Organic Compounds	800	N/A
Carpet Cushions		
Volatile Organic Compound	24-Hour Testing Maximum Emission Factor (µg/m²•hr)	14-Day Testing Maximum Emission Factor (µg/m²•hr)
Butylated Hydroxytoluene	300	N/A
Formaldehyde	50	N/A
4-Phenylcyclohexene (4PCH)	50	N/A
Total Volatile Organic Compounds	1000	N/A
Carpets		
Volatile Organic Compound	24-Hour Testing Maximum Emission Factor (µg/m²•hr)	14-Day Testing Maximum Emission Factor (µg/m²•hr)
Formaldehyde	50	30
4-Phenylcyclohexene	50	17
Styrene	410	410
Total Volatile Organic Compounds	500	N/A



- b. The products listed below shall comply with the Recycled Post-consumer Content and Total Recovered Materials Content requirements.

Carpet Cushion – Bonded Polyurethane		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Old Carpet Cushion	15-50	15-50
Carpet Cushion – Jute		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Burlap	40	40
Carpet Cushion – Rubber		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Tire Rubber	60-90	60-90
Carpet Cushion – Synthetic Fibers		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Carpet Fabrication Scrape	No Range Recommended	100
Cement and Concrete		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Cenospheres	No Range Recommended	Minimum 10% (by volume)
Coal fly Ash	No Range Recommended	No Range Recommended
GGBF Slag	No Range Recommended	No Range Recommended
Silica Fume	No Range Recommended	5-10% of cementitious material (dry weight basis)
Channelizers		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Plastic	25-90	No Range Recommended
Rubber (base only)	100	No Range Recommended
Delineators – Fixed		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Plastic	25-90	No Range Recommended
Rubber (base only)	100	No Range Recommended
Steel (BOF, base only)	16	25-30
Steel (BOF, base only)	67	100
Delineators – Flexible		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Plastic PET	25-85	No Range Recommended
Floor Tiles		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Rubber	90-100	No Range Recommended
Plastic	No Range Recommended	90-100
Insulation - Cellulose		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)



Post-consumer Paper	75	75
Insulation - Foam-In-Place		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Recovered Material	No Range Recommended	5
Insulation - Glass Fiber Reinforced		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Recovered Material	No Range Recommended	6
Insulation - Laminated Paperboard		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Post-consumer Paper	100	100
Insulation - Perlite Composition Board		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Post-consumer Paper	23	23
Insulation - Phenolic Rigid Foam	Insulation - Phenolic Rigid Foam	Insulation - Phenolic Rigid Foam
Material	Material	Material
Recovered Material	Recovered Material	Recovered Material
Insulation - Plastic, Non-woven Batt	Insulation - Plastic, Non-woven Batt	Insulation - Plastic, Non-woven Batt
Material	Material	Material
Recovered and/or Post-consumer Plastic	Recovered and/or Post-consumer Plastic	Recovered and/or Post-consumer Plastic
Insulation - Plastic Rigid Foam, Polyisocyanurate/Polyurethane: Rigid Foam	Insulation - Plastic Rigid Foam, Polyisocyanurate/Polyurethane: Rigid Foam	Insulation - Plastic Rigid Foam, Polyisocyanurate/Polyurethane: Rigid Foam
Material	Material	Material
Recovered Material	Recovered Material	Recovered Material
Insulation - Structural Fiberboard	Insulation - Structural Fiberboard	Insulation - Structural Fiberboard
Material	Material	Material
Recovered Material	Recovered Material	Recovered Material
Modular Threshold Ramps	Modular Threshold Ramps	Modular Threshold Ramps
Material	Material	Material
Steel (BOF)	Steel (BOF)	Steel (BOF)
Steel (EAF)	Steel (EAF)	Steel (EAF)
Aluminum	Aluminum	Aluminum
Rubber	Rubber	Rubber



Nonpressure Pipe		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Steel (BOF)	16	25-30
Steel (EAF)	67	100
Plastic (HDPE)	100	100
Plastic (PVC)	5-15	25-100
Cement	No Range Recommended	No Range Recommended
Playground Equipment		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Plastic	90-100	100
Plastic Composite	50-75	95-100
Steel (BOF)	16	95
Steel (EAF)	50-100	95-100
Restroom Dividers/Partitions, Steel		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Steel (from BOF)	16	25-30
Steel (from EAF)	67	100
Roofing Materials		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Steel (BOF)	16	25-30
Steel (EAF)	67	100
Aluminum	20-95	20-95
Fiber (felt) or Fiber Composite	50-100	50-100
Rubber	12-100	100
Plastic or Plastic/Rubber Composite	100	100
Wood/Plastic Composite	No Range Recommended	100
Cement	No Range Recommended	No Range Recommended
Shower Dividers/Partitions, Steel		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Steel (from BOF)	16	25-30
Steel (from EAF)	67	100
Traffic Barricades		
Material	Recovered Post-consumer Content (%)	Total Recovered Materials Content (%)
Plastic (High Density Polyethylene [HDPE], Low-Density Polyethylene [LDPE], Polyethylene terephthalate [PET])	80-100	100
Steel (BOF)	16	25-30
Steel (EAF)	67	100
Fiberglass	No Range Recommended	No Range Recommended

c. All energy-using products for which the United States Environmental Protection Agency and the United States Department of Energy have developed energy efficiency standards for compliance with the Energy Star program shall be ENERGY STAR labeled. The following Construction Products shall comply with this requirement:

ENVIRONMENTALLY PREFERABLE PURCHASING (EPP) COMPLIANCE



1. Entry or Patio Doors, Residential
 2. Residential Skylights
 3. Residential Windows & Tubular Daylighting Devices
 4. Roof Products
- d. Electric Motors shall comply with the following Nominal Efficiencies requirements:

Nominal Efficiencies for Induction Motors Rated 600 Volts or Less (Random Wound)						
Motor Size (HP)		Open Drip-Proof (ODP)		Totally Enclosed Fan-Cooled (TEFC)		
6-pole (1200 rpm)	4-pole (1200 rpm)	2-pole (1200 rpm)	6-pole (1200 rpm)	4-pole (1200 rpm)	2-pole (1200 rpm)	
1	82.5	85.5	77.0	82.5	85.5	77.0
1.5	86.5	86.5	84.0	87.5	86.5	84.0
2	87.5	86.5	85.5	88.5	86.5	85.5
3	88.5	89.5	85.5	89.5	89.5	86.5
5	89.5	89.5	86.5	89.5	89.5	88.5
7.5	90.2	91.0	88.5	91.0	91.7	89.5
10	91.7	91.7	89.5	91.0	91.7	90.2
15	91.7	93.0	90.2	91.7	92.4	91.0
20	92.4	93.0	91.0	91.7	93.0	91.0
25	93.0	93.6	91.7	93.0	93.6	91.7
30	93.6	94.1	91.7	93.0	93.6	91.7
40	94.1	94.1	92.4	94.1	94.1	92.4
50	94.1	94.5	93.0	94.1	94.5	93.0
60	94.5	95.0	93.6	94.5	95.0	93.6
75	94.5	95.0	93.6	94.5	95.4	93.6
100	95.0	95.4	93.6	95.0	95.4	94.1
125	95.0	95.4	94.1	95.0	95.4	95.0
150	95.4	95.8	94.1	95.8	95.8	95.0
200	95.4	95.8	95.0	95.8	96.2	95.4
250	95.4	95.8	95.0	95.8	96.2	95.8
300	95.4	95.8	95.4	95.8	96.2	95.8
350	95.4	95.8	95.4	95.8	96.2	95.8
400	95.8	95.8	95.8	95.8	96.2	95.8
450	96.2	96.2	95.8	95.8	96.2	95.8
500	96.2	96.2	95.8	95.8	96.2	95.8



Nominal Efficiencies for Induction Motors Rated Medium Voltage or Less (Form Wound)					
Motor Size (HP)		Open Drip-Proof (ODP)		Totally Enclosed Fan-Cooled (TEFC)	
6-pole (1200 rpm)	4-pole (1200 rpm)	2-pole (1200 rpm)	6-pole (1200 rpm)	4-pole (1200 rpm)	2-pole (1200 rpm)
250-500	95.0	95.0	94.5	95.0	95.0

6. Plumbing Fixtures.

The plumbing fixtures shall comply with the following Water Efficiency requirements:

Plumbing Fixture	Water Efficiency Requirement
Lavatory Faucets	< 2.0 gallons per minute
Showerheads, Residential and Commercial	< 2.2 gallons per minute
Toilets, Residential and Commercial	< 1.6 gallons per flush
Urinals, Residential and Commercial	< 1.0 gallons per flush

PART III – EXECUTION (Not Used)

END OF SECTION 018113.10



EPP VENDOR SURVEY FORM

Instructions: In the space provided, indicate the following: (1.) Choose Construction for the EPP Book Used (2.) Choose the product type from the drop-down menu; (3.) Choose the product detail from the drop-down menu; (4.) Identify the specific item under Product Description; (5.) Enter the number of products per unit; (6.) Enter the cost per unit; (7.) Enter the units purchased; (8.) Enter the total cost.

Return completed spreadsheet to the contracting agency in the accompanying letter. Thank you.

Agency Acronym	Environmental Preferable Purchasing Information				Quantity and Cost Information				Comments
	EPP Book Used	Product Type	Product Details	Product Description	Products Per Unit	Cost Per Unit	Units Purchased	Total Cost	
DDC								\$0.00	
DDC								\$0.00	
DDC								\$0.00	
DDC								\$0.00	
DDC								\$0.00	
DDC								\$0.00	
DDC								\$0.00	
DDC								\$0.00	
DDC								\$0.00	
DDC								\$0.00	
DDC								\$0.00	
DDC								\$0.00	
TOTAL					0.00	\$0.00	0.00	\$0.00	



SECTION 01 81 13.13

VOLATILE ORGANIC COMPOUND (VOC) LIMITS FOR ADHESIVES, SEALANTS, PAINTS AND COATINGS FOR LEED v3 BUILDINGS

REFER TO THE ADDENDUM FOR APPLICABILITY OF THIS SECTION 01 81 13.13

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY:

- A. This Section includes requirements for volatile organic compound (VOC) content in adhesives, sealants, paints and coatings used for the project.
- B. All sections in the Project Specifications with adhesives, sealant or sealant primer applications, paints and coatings will follow all requirements of this section. In the event of any conflict or inconsistency between this section and the Specifications regarding adhesives, sealant or sealant applications, paints and coatings, the requirements set forth in this Section will prevail.
- C. This Section includes:
 - 1. General Requirements
 - 2. References
 - 3. VOC Requirements for Interior Adhesives
 - 4. VOC Requirements for Interior Sealants
 - 5. VOC requirements for Interior Paints
 - 6. VOC requirements for Interior Coatings
 - 7. Submittals

1.3 RELATED SECTIONS: include without limitation the following:

- | | |
|--|---|
| <ul style="list-style-type: none"> A. Section 01 10 00 B. Section 01 31 00 C. Section 01 32 00 D. Section 01 33 00 E. Section 01 73 00 F. Section 01 77 00 G. Section 01 78 39 H. Section 01 81 13.03 I. Section 01 81 13.04 J. Section 01 81 19 | <ul style="list-style-type: none"> SUMMARY PROJECT MANAGEMENT AND COORDINATION CONSTRUCTION PROGRESS DOCUMENTATION SUBMITTAL PROCEDURES EXECUTION CLOSEOUT PROCEDURES CONTRACT RECORD DOCUMENTS SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v3 BUILDINGS SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v4 BUILDINGS INDOOR AIR QUALITY FOR LEED BUILDINGS |
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1.4 DEFINITIONS:

A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.

ADHESIVE	Any substance used to bond one surface to another by attachment. Includes adhesive primers and adhesive bonding primers. A. Aerosol Adhesive: Any adhesive packaged as an aerosol with a spray mechanism permanently housed in a non-refillable can designed for hand-held application without the need for ancillary equipment.
CARCINOGEN	A chemical listed as a known, probable, reasonably anticipated, or possible human carcinogen by the International Agency for Research on Cancer (IARC) (Groups 1, 2A, and 2B), the National Toxicology Program (NTP) (Groups 1 and 2), the U.S. Environmental Protection Agency (EPA) Integrated Risk Information System (IRIS) (weight-of-evidence classifications A, B1, B2, and C, carcinogenic, likely to be carcinogenic, and suggestive evidence of carcinogenicity or carcinogen potential), or the Occupational Safety and Health Administration (OSHA).
CLEAR WOOD FINISH	Clear/semi-transparent coating applied to wood substrates to provide a transparent or translucent solid film. 1. Lacquer: Clear/semi-transparent coating formulated with cellulosic or synthetic resins to dry by evaporation without chemical reaction and provide a solid, protective film. 2. Sanding Sealer: A sanding sealer that also meets the definition of a lacquer. 3. Varnish: Clear/semi-transparent coating, excluding lacquers and shellacs, formulated to dry by chemical reaction on exposure to air. May contain small amounts of pigment.
COATING	Liquid, liquefiable, or mastic composition that is converted to a solid adherent film after application to a substrate as a thin layer; and is used for decorating, protecting, identifying or to serve some functional purpose such as the filling or concealing of surface irregularities or the modification of light and heat radiation characteristics; and is intended for on-site application to interior or exterior surfaces of buildings. Does not include stains, clear finishes, recycled latex paint, specialty (industrial, marine or automotive) coatings or paint sold in aerosol cans.
FLOOR COATING	Opaque coating applied to flooring. Excludes industrial maintenance coatings.
HAZARDOUS AIR POLLUTANT	Any compound listed by the U.S. EPA in the Clean Air Act, Section 112(b)(1) as a hazardous air pollutant.



MUTAGEN	A chemical that meets the criteria for category 1, chemicals known to induce heritable mutations or to be regarded as if they induce heritable mutations in the germ cells of humans, under the Harmonized System for the Classification of Chemicals Which Cause Mutations in Germ Cells (United Nations Economic Commission for Europe, Globally Harmonized System of Classification and Labeling of Chemicals).
OZONE-DEPLETING COMPOUNDS	A compound with an ozone-depletion potential greater than 0.1 (CFC 11=1) according to the U.S. EPA list of Class I and Class II Ozone-Depleting Substances.
PAINT	A pigmented coating. For the purposes of this specification, paint primers are considered to be paints. <ol style="list-style-type: none"> 1. Flat Coating or Paint: Has a gloss of less than 15 (using an 85-degree meter) or less than 5 (using a 60-degree meter). 2. Non-Flat Coating or Paint: Has a gloss of greater than or equal to 15 (using an 85-degree meter) or greater than or equal to 5 (using a 60-degree meter). 3. Non-Flat High-Gloss Coating or Paint: Has a gloss of greater than or equal to 70 (using a 60-degree meter). 4. Anti-Corrosive / Rust Preventative Paint: Coating formulated and recommended for use in preventing the corrosion of ferrous metal substrates.
PRIMER	Coating that is formulated and recommended for one or more of the following purposes: to provide a firm bond between the substrate and a subsequent coating; to prevent a subsequent coating from being absorbed into the substrate; to prevent harm to a subsequent coating from materials in the substrate; or to provide a smooth surface for application of a subsequent coating.
REPRODUCTIVE TOXIN	A chemical listed as a reproductive toxin (including developmental, female, and male toxins) by the State of California under the Safe Drinking Water and Toxic Enforcement Act of 1986 (California Code of Regulations, Title 22, Division 2, Subdivision 1, Chapter 3, Sections 1200, et. Seq.).
SANDING SEALER	Clear/semi-transparent coating formulated to seal bare wood. Can be abraded to create a smooth surface for subsequent coatings. Does not include sanding sealers that are lacquers (see Clear Wood Finish above).
SEALANT	Any material with adhesive properties, formulated primarily to fill, seal, or waterproof gaps or joints between surfaces. Includes sealant primers and caulks.



SHELLAC	Clear or pigmented coating formulated solely with the resinous secretions of the lac beetle, thinned with alcohol and formulated to dry by evaporation without chemical reaction. Excludes floor applications.
STAIN	Clear semi-transparent/opaque coating formulated to change the color but not conceal the grain pattern or texture of the substrate.
VOLATILE AROMATIC COMPOUND	Any hydrocarbon compound containing one or more 6-carbone benzene rings, and having an initial boiling point less than or equal to 280 degrees Celsius measured at standard conditions of temperature and pressure.
VOLATILE ORGANIC COMPOUND	Any compound of carbon (excluding carbon monoxide, carbon dioxide, carbonic acid, metallic carbides or carbonates, and ammonium carbonate) which vaporizes (becomes a gas) and participates in atmospheric photochemical reactions, as specified in Part 51.00 of Chapter 40 of the U.S. Code of Federal Regulations, at normal room temperatures. For the purposes of this specification, formaldehyde and acetaldehyde are considered to be VOCs.
WATERPROOFING SEALER	A coating that prevents the penetration of water into porous substrates.

1.5 GENERAL REQUIREMENTS:

- A. The City of New York is committed to implementing good environmental practices and procedures which include achieving a LEED Green building rating. Specific project requirements related to this goal which may impact this area of work are listed in the applicable paragraphs of this specification section. The Contractor must ensure that the requirements as defined in the sections below and in related sections of the Contract Documents, are implemented to the fullest extent. Substitutions, or other changes to the work proposed by the Contractor or their Subcontractors, must not be allowed if such changes compromise the stated environmental goals.

1.6 REFERENCES:

- A. Rule 1168 – “Adhesive and Sealant Applications”, amended 7 January 2005): South Coast Air Quality Management District (SCAQMD), State of California, www.aqmd.gov
- B. Rule 1113 - “Architectural Coatings”, amended 9 July 2004: South Coast Air Quality Management District (SCAQMD), State of California, www.aqmd.gov
- C. Green Seal Standard GS-11- “Paints”, of Green Seal, Inc., Washington, DC, www.greenseal.org
- D. Green Seal Standard GC-03- “Anti-Corrosive Paints”, of Green Seal, Inc., Washington, DC, www.greenseal.org

1.7 VOC REQUIREMENTS FOR INTERIOR ADHESIVES, SEALANTS, PAINTS AND COATINGS:

- A. GENERAL: Unless otherwise specified herein, the VOC content of all interior adhesives, sealants, paints and coatings (herein referred to as “products”) must not be in excess of **250 grams per liter**.
- B. No product may contain any ingredients that are carcinogens, mutagens, reproductive toxins, persistent bioaccumulative compounds, hazardous air pollutants, or ozone-depleting compounds. An exception must be made for titanium dioxide and, for products that are pre-tinted by the manufacturer, carbon black, which must be less than or equal to 1% by weight of the product.

VOLATILE ORGANIC COMPOUND (VOC) LIMITS FOR ADHESIVES,
SEALANTS, PAINTS AND COATINGS FOR LEED v3 BUILDINGS



- C. No product will contain the following:
 - 1. methylene chloride
 - 2. 1,1,1-trichloroethane
 - 3. benzene
 - 4. toluene
 - 5. ethylbenzene
 - 6. vinyl chloride
 - 7. naphthalene
 - 8. 1,2-dichlorobenzene
 - 9. di (2-ethylhexyl) phthalate
 - 10. butyl benzyl phthalate
 - 11. di-n-butyl phthalate
 - 12. di-n-octyl phthalate
 - 13. diethyl phthalate
 - 14. dimethyl phthalate
 - 15. isophorone
 - 16. antimony
 - 17. cadmium
 - 18. hexavalent chromium
 - 19. lead
 - 20. mercury
 - 21. formaldehyde
 - 22. methyl ethyl ketone
 - 23. methyl isobutyl ketone
 - 24. acrolein
 - 25. acrylonitrile

- D. No product will contain more than 1.0% by weight of sum total of volatile aromatic compounds.

1.8 VOC REQUIREMENTS FOR INTERIOR ADHESIVES:

- A. The volatile organic compound (VOC) content of adhesives, adhesive bonding primers, or adhesive primers used in this project must not exceed the limits defined in Rule 1168 – “Adhesive and Sealant Applications” of the South Coast Air Quality Management District (SCAQMD), of the State of California.
- B. The VOC limits defined by SCAQMD are as follows. All VOC limits are defined in grams per liter, less water and less exempt compounds.
- C. For specified building construction related applications, the allowable VOC content is as follows:
 - 1. Architectural Applications:

a. Indoor carpet adhesive	50
b. Carpet pad adhesive	50
c. Wood flooring adhesive	100
d. Rubber floor adhesive	60
e. Subfloor adhesive	50
f. Ceramic tile adhesive	65
g. VCT and asphalt tile adhesive	50
h. Drywall and panel adhesive	50
i. Cove base adhesive	50
j. Multipurpose construction adhesive	70
k. Structural glazing adhesive	100
 - 2. Specialty Applications:

a. PVC welding	510
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- b. CPVC welding 490
 - c. ABS welding 325
 - d. Plastic cement welding 250
 - e. Adhesive primer for plastic 550
 - f. Contact Adhesive 80
 - g. Special Purpose Contact Adhesive 250
 - h. Structural Wood Member Adhesive 140
 - i. Sheet Applied Rubber Lining Operations 850
 - j. Top and Trim Adhesive 250
3. Substrate Specific Applications:
- a. Metal to metal 30
 - b. Plastic foams 50
 - c. Porous material (except wood) 50
 - d. Wood 30
 - e. Fiberglass 80
4. Aerosol Adhesives:
- a. General purpose mist spray 65% VOC's by weight
 - b. General purpose web spray 55% VOC's by weight
 - c. Special purpose aerosol adhesives (all types) 70% VOC's by weight

1.9 VOC REQUIREMENTS FOR INTERIOR SEALANTS:

- A. The volatile organic compound (VOC) content of sealants, or sealant primers used in this project must not exceed the limits defined in Rule 1168 – “Adhesive and Sealant Applications” of the South Coast Air Quality Management District (SCAQMD), of the State of California.
- B. The VOC limits defined by SCAQMD are as follows. All VOC limits are defined in grams per liter, less water and less exempt compounds.
 - 1. Sealants:
 - a. Architectural 250
 - b. Non-membrane roof 300
 - c. Roadway 250
 - d. Single-ply roof membrane 450
 - e. Other 420
 - 2. Sealant Primer:
 - a. Architectural – Nonporous 250
 - b. Architectural – Porous 775
 - c. Other 750

1.10 VOC REQUIREMENTS FOR INTERIOR PAINTS:

- A. Paints and Primers: Paints and primers used in non-specialized interior applications (i.e., for wallboard, plaster, wood, metal doors and frames, etc.) must meet the VOC limitations of the Green Seal Paint Standard GS-11, of Green Seal, Inc., Washington, DC. Product-specific environmental requirements are as follows:
 - 1. Volatile Organic Compounds:
 - a. The VOC concentrations (in grams per liter) of the product must not exceed those listed below as determined by U. S. Environmental Protection Agency (EPA) Reference Test Method 24.

Interior Paints and Primers:
Non-flat: 150 g/l



Flat: 50 g/l

The calculation of VOC must exclude water and tinting color added at the point of sale.

- B. Anti-Corrosive and Anti-Rust Paints: Anti-corrosive and anti-rust paints applied to interior ferrous metal substrates must meet the VOC limitations of the Green Seal Paint Standard GC-03, of Green Seal, Inc., Washington, DC. Product-specific environmental requirements are as follows:

1. Volatile Organic Compounds:

- a. The VOC concentrations (in grams per liter) of the product must not exceed those listed below as determined by U. S. Environmental Protection Agency (EPA) Reference Test Method 24.

Anti-Corrosive and Anti-Rust Paints: 250 g/l

The calculation of VOC must exclude water and tinting color added at the point of sale.

1.11 VOC REQUIREMENTS FOR INTERIOR COATINGS:

- A. Clear wood finishes, floor coatings, stains, sealers, and shellacs applied to the interior must meet the VOC limitations defined in Rule 1113, "Architectural Coatings" of SCAQMD, of the State of California. The VOC limits defined by SCAQMD, based on 7/9/04 amendments, are as follows. VOC limits are defined in grams per liter, less water and less exempt compounds.

- | | |
|--------------------------|-----|
| 1. Clear Wood Finishes: | |
| a. Varnish | 350 |
| b. Sanding Sealers | 350 |
| c. Lacquer | 550 |
| 2. Shellac: | |
| a. Clear | 730 |
| b. Pigmented | 550 |
| 3. Stains | 250 |
| 4. Floor Coatings | 100 |
| 5. Waterproofing Sealers | 250 |
| 6. Sanding Sealers | 275 |
| 7. Other Sealers | 200 |

The calculation of VOC must exclude water and tinting color added at the point of sale.

1.12 SUBMITTALS:

- A. Submit Material Safety Data Sheets, for all applicable products in accordance with Section 01 33 00 SUBMITTAL PROCEDURES. Applicable products include, but are not limited to adhesives, sealants, carpets, paints and coatings. Material Safety Data Sheets must indicate the Volatile Organic Compound (VOC) limits of products submitted. (If an MSDS does not include a product's VOC limits, then product data sheets, manufacturer literature, or a letter of certification from the manufacturer can be submitted in addition to the MSDS to indicate the VOC limits).
- B. Submit Environmental Building Materials Certification Form (EBMCF) as referenced in Section 01 81 13.03 SUSTAINABLE REQUIREMENTS FOR LEED v3 BUILDINGS: For each field-applied adhesive, sealant, paint, and coating product, provide the VOC requirement, as provided in this Specification, for the relevant material category indicated on the documentation noted above.

PART II – PRODUCTS (Not Used)

PART III – EXECUTION (Not Used)

END OF SECTION 01 81 13.13



**Department of
Design and
Construction**

Division 01 – DDC STANDARD GENERAL CONDITIONS
SINGLE CONTRACT PROJECTS
Issue Date: July 1, 2022

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**SECTION 01 81 19
INDOOR AIR QUALITY REQUIREMENTS FOR LEED BUILDINGS**

REFER TO THE ADDENDUM FOR APPLICABILITY OF THIS SECTION 01 81 19

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].

1.2 CONSTRUCTION IAQ MANAGEMENT GOALS FOR THE PROJECT:

- A. The City of New York has determined that this Project must minimize the detrimental impacts on Indoor Air Quality (IAQ) resulting from construction activities. Factors that contaminate indoor air, such as dust entering HVAC systems and ductwork, improper storage of materials on-site, and poor housekeeping, must be minimized.

1.3 RELATED SECTIONS:

- A. All sections of the Specifications related to interior construction, MEP systems and items affecting indoor air quality.
- B. Division 9 (of the Specifications): Finishes.
- C. Refer to the Addendum to identify whether this project is designed to comply with a Certification Level according to the U.S. Green Building Council’s LEED Rating System, as specified in Section 01 81 13.03 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v3 BUILDINGS or Section 01 81 13.04 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v4 BUILDINGS.
- D. Refer to the Addendum to identify whether this project is designed to comply with Section 01 81 13.13 VOLATILE ORGANIC COMPOUND (VOC) LIMITS FOR ADHESIVES, SEALANTS, PAINTS AND COATINGS FOR LEED v3 BUILDINGS.
- E. Section 01 91 13 GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS.

1.4 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.

Design Consultant	The entity responsible for providing design services for the Project, including without limitation, preparing the construction documents (drawings and specifications) and providing services in connection with such documents during construction. The entity serving as the “Design Consultant” may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.
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Volatile Organic Compounds (VOCs)	Chemical compounds common in and emitted by many building products, including solvents in paints, coatings, adhesives and sealants, wood preservatives, composite wood binder, and foam insulations. Not all VOCs are harmful, but many of those contained within building products contribute to the formation of smog and may irritate building occupants by their smell or health impact.
Materials that act as “sinks” for VOC contamination	Absorptive materials, typically dry and soft materials (such as textiles, carpeting, acoustical ceiling tiles and gypsum board) that readily absorb VOCs emitted by “source” materials and release them over a prolonged period of time.
Materials that act as “sources” for VOC contamination	Products with high VOC contents that emit VOCs either rapidly during application and curing (typically “wet” products, such as paints, sealants, adhesives, caulks and sealers) or over a prolonged period (typically “dry” products such as flooring coverings with plasticizers and engineered wood with formaldehyde).

1.5 REFERENCES, RESOURCES:

- A. “IAQ Guidelines for Occupied Buildings Under Construction”, Second Edition, 2007, The Sheet Metal and Air Conditioner Contractors National Association (SMACNA). (703) 803-2980, www.smacna.org.
- B. ANSI/ASHRAE 52.2-2007, “Method of Testing General Ventilation Air-Cleaning Devices for Removal Efficiency by Particle Size”, www.ashrae.org.

1.6 LEED BUILDING GENERAL REQUIREMENTS:

- A. Implement practices and procedures as necessary to meet the Project’s environmental performance goals as set forth in the specific requirements of this section. Specific Project goals that may impact this area of work include: use of recycled-content materials; use of low-emitting materials; construction waste recycling; and the implementation of a construction indoor air quality management plan. Ensure that the requirements related to these goals, as defined in this section, are implemented to the fullest extent. Substitutions or other changes to the work will not be allowed if such changes compromise the stated LEED building performance criteria.

1.7 CONSTRUCTION IAQ MANAGEMENT PLAN:

- A. The Contractor must prepare a Construction IAQ Management Plan in coordination with each Subcontractor and submit the Construction IAQ Management Plan to the Commissioner for approval in accordance with Section 01 33 00 SUBMITTAL PROCEDURES. The Construction IAQ Management Plan must meet the following criteria:
 1. Construction activities must be planned to meet or exceed the minimum requirements of SMACNA’s “IAQ Guidelines for Occupied Buildings under Construction”, Second Edition, 2007.
 2. Absorptive materials must be protected from moisture damage when stored on-site and after installation.
 3. The planned operation of air handlers during construction must be described. If air handlers are to be used during construction, filtration media with a Minimum Efficiency Reporting Value (MERV) of 8 must be used at each return air grille and return or transfer duct inlet opening, such that there is no bypass around the filtration media, as determined by ASHRAE 52.2-2007.
 4. Filtration media must be replaced immediately prior to occupancy. Filtration media must have a MERV of 13 as determined by ASHRAE 52.2-2007.
 5. A sequence of finish installation plan “Plan” must be developed, highlighting measures to reduce the absorption of VOCs by materials that act as “sinks”.



6. The use of tobacco products is prohibited inside the building and within 25 feet of the building entrance during construction.
 7. A flush-out or air testing must be performed.
 8. Upon approval of the finish installation plan by the Commissioner, it must be implemented by the Contractor through the duration of the construction process, and documented in accordance with the Submittal Requirements of Sub-Section 1.8 herein.
- B. Detailed requirements of the Construction IAQ Management Plan are as follows:
1. SMACNA Guidelines: Chapter 3 of the referenced “IAQ Guidelines for Occupied Buildings Under Construction”, outline IAQ measures in five categories as listed below. The Construction IAQ Management Plan must be organized in accordance with the SMACNA format, and must address measures to be implemented in each of the five categories (including subsections). All subsections must be listed in the Plan; items that are not applicable for this Project should be listed as such.
 - a. HVAC Protection
 - 1) Protect air handling, distribution equipment and air supply, and return ducting during construction.
 - 2) All ductwork arriving on site will be sealed with plastic sheeting and stored on pallets or dunnage until installed.
 - 3) Cover and protect all exposed air inlets and outlets, openings, grilles, ducts, plenums, etc. to prevent water, moisture, dust and other contaminant intrusion.
 - 4) Apply protection immediately after ducting.
 - 5) Protect ducting runs at the end of day’s work.
 - 6) Inspect temporary filtration weekly and replace as required to maintain the proper ventilation rates in the building.
 - 7) To reduce debris and contamination to mechanical systems, do not store materials in mechanical rooms.
 - b. Source Control
 - 1) Protect stored on-site or installed absorptive or porous materials. Store materials in dry conditions indoors, under cover, and off the ground or floor.
 - 2) Do not use wet or damaged porous materials in the building. Materials which become contaminated through direct exposure to moisture from precipitation, plumbing leaks, or condensation must be replaced by the Contractor, at no additional cost to the City of New York.
 - 3) Use low-toxicity and low-VOC materials to the greatest extent possible.
 - 4) Recover, isolate, and ventilate containers housing toxic materials and materials with VOC levels above the limits for interior adhesives, sealants, paints, and coatings described in these Specifications.
 - 5) Prevent exhaust fumes from idling vehicles, equipment and fossil-fueled tools from entering the building.
 - 6) Containers housing toxic materials and materials with VOC levels above the limits for interior adhesives, sealants, paints, and coatings described in these Specifications, must be closed when not in use.
 - 7) Enforce the no-smoking job site policy.



- c. Pathway Interruption
 - 1) Depressurize work areas which contain dust and odors.
 - 2) Pressurize occupied spaces to prevent intrusion of dust and odors.
 - 3) Erect barriers to contain construction areas.
 - 4) Relocate pollutant sources.
 - 5) Temporarily seal the building and provide 100% outside air for ventilation.
 - 6) Provide walk-off mats at entryways to reduce introduced dirt and pollutants.
 - 7) Use dust guards and collectors on saws and other tools.
 - d. Housekeeping
 - 1) Store materials on elevated platforms under cover, in a designated dry, clean location, prior to unpacking for installation.
 - 2) If materials are not stored in an enclosed location, cover tops and sides of material with waterproof sheeting, securely tied.
 - 3) Institute cleaning activities to remove contaminants from the building prior to occupancy. Clean all coils, air filters and ductwork prior to performing testing, adjusting and balancing of HVAC systems.
 - 4) Sweep the work area on a daily basis. Use an efficient and effective dust collecting method such as damp cloth, wet mop, or vacuum with high-efficiency particulate filters. Activities which produce high levels of dust must be cleaned up immediately upon completion.
 - 5) Spills or excess applications of products containing solvents, or with VOC levels above the limits for interior adhesives, sealants, paints and coatings described in these Specifications, must be removed immediately.
 - 6) Dust all walls prior to application of finishes.
 - 7) Vacuum all stud tracks prior to application of insulation.
 - 8) Keep materials organized to improve job safety as well as indoor air quality.
 - e. Scheduling
 - 1) Phase construction such that absorptive materials are installed only in areas that are weathertight.
 - 2) Schedule activities that utilize “sources” of VOC contamination to take place prior to installing high absorbent materials that will act as “sinks” for contaminants.
 - 3) Review of the appropriate components of the Construction IAQ Management Plan must be a regular action topic at weekly site coordination meetings. Implementation of the Plan must be documented in the meeting minutes.
- 2. Protection of Materials from Moisture Damage: As part of the “Source Control” section of the Construction IAQ Management Plan, measures to prevent installed materials or material stored on-site from moisture damage must be described. This section must also describe corrective measures to be taken if moisture damage does occur to absorptive materials during the course of construction (see Section 1.7 B.1.b).
 - 3. Replacement of Filtration Media: Under the “HVAC Protection” section of the Construction IAQ Management Plan, a description of the filtration media in all ventilation equipment must be provided.



The description must include replacement criteria for filtration media during construction, and confirmation of filtration media replacement for all equipment immediately prior to occupancy.

4. Sequence of Finish Installation for Materials: Where feasible, absorptive materials must be installed after the installation of materials or finishes which have high short-term emissions of VOCs, formaldehyde, particulates, or other air-borne compounds. Absorptive materials include, but are not limited to: carpets; acoustical ceiling panels; fabric wall coverings; insulations (exposed to the airstream); upholstered furnishings; and other woven, fibrous or porous materials. Materials with high short-term emissions include, but are not limited to: adhesives, sealants and glazing compounds (specifically those with petrochemical vehicles or carriers); paints, wood preservatives and finishes; control and/or expansion joint fillers; hard finishes requiring adhesive installation; gypsum board (with associated finish processes and products); and composite or engineered wood products with formaldehyde binders.
5. Pre-Occupancy Phase: Perform either a flush-out or air sample testing (Options 1 or 2, respectively), as follows:
 - a. OPTION 1 — Flush-Out
 - 1) Perform flush-out using either Path 1 or Path 2.
 - i. Path 1: After construction ends, prior to occupancy and with all interior finishes installed, install new filtration media and perform a building flush-out by supplying a total air volume of 14,000 cu.ft. of outdoor air per sq.ft. of floor area while maintaining an internal temperature of at least 60 degrees F and no higher than 80 degrees F and relative humidity no higher than 60%.
 - ii. Path 2: If occupancy is desired prior to completion of the flush-out, the space may be occupied following delivery of a minimum of 3,500 cu.ft. of outdoor air per sq.ft. of floor area to the space. Once a space is occupied, it must be ventilated at a minimum rate of 0.30 cfm/sq.ft. of outside air or the design minimum outside air rate determined in IEQ Prerequisite: Minimum Indoor Air Quality Performance, whichever is greater. During each day of the flush-out period, ventilation must begin a minimum of three hours prior to occupancy and continue during occupancy. These conditions must be maintained until a total of 14,000 cu.ft./sq.ft. of outside air has been delivered to the space.
 - 2) Commissioning can occur during flush-out, at the discretion of the Commissioner, provided none of the commissioning procedures introduce contaminants into the space and none of the flush-out procedures circumvent the commissioning process. Complete testing and balancing of the HVAC system after the flush-out is complete. Refer to Section 01 91 13 GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS.
 - 3) If even partial construction work occurs during the flush-out, the flush-out must be started again from the beginning for that space. If multiple, discrete HVAC systems operate independently, flush-out may be completed in portions of the building as work is completed in each area served by a given system.

OR

- b. OPTION 2 — Air Testing
 - 1) Conduct baseline IAQ testing, after construction ends and prior to occupancy, using testing protocols consistent with current versions of the United States Environmental Protection Agency “Compendium of Methods for the Determination of Air Pollutants in Indoor Air” or ISO methods, as additionally detailed in the USGBC “LEED BD+C Reference Guide.”



- 2) Demonstrate that the contaminant maximum concentrations listed below are not exceeded.

CONTAMINANT	MAXIMUM CONCENTRATION
Formaldehyde	27 parts per billion
Particulates (PM10 for all buildings; PM25 for buildings in EPA nonattainment areas, or local equivalent)	PM10: 50 micrograms per cubic meter PM25: 15 micrograms per cubic meter
Ozone (for buildings in EPA nonattainment areas)	0.075 parts per million
Total Volatile Organic Compounds (TVOC)	500 micrograms per cubic meter
Target chemicals listed in the California Department of Public Health (CDPH) Standard Method c1.1, Table 4-1, except formaldehyde	CDPH Standard Method v1.1-2010, Allowable Concentrations, Table 4-1
Carbon Monoxide (CO)	9 part per million and no greater than 2 parts per million above outdoor levels

- 3) The air sample testing must be conducted as follows:
- i. All measurements must be conducted prior to occupancy, but during normal occupied hours and with the building ventilation system starting at the normal daily start time and operated at the minimum outside air flow rate for the occupied mode throughout the duration of the air testing.
 - ii. The building must have all interior finishes installed, including but not limited to millwork, doors, paint, carpet and acoustic tiles. Non-fixed furnishings such as workstations and partitions are required to be in place for the testing.
 - iii. Prior to air sample testing, all punch-list items that would generate VOCs or other contaminants, the testing and balancing of the HVAC system and finalization of all cleaning must be completed. Use low-emitting cleaning products and vacuum cleaners with HEPA filtration.
 - iv. The number of sampling locations will vary depending upon the size of the building and number of ventilation systems. For each portion of the building served by a separate ventilation system, the number of sampling points must not be less than one per 25,000 sq.ft., or for each contiguous floor area, whichever is larger, and include areas with the least ventilation and greatest presumed source strength.
 - v. Air samples must be collected between 3 feet and 6 feet from the floor to represent the breathing zone of occupants, and over a minimum 4-hour period.
 - vi. For each sampling point where the maximum concentration limits are exceeded, conduct additional flush-out with outside air and retest the specific parameter(s) exceeded to indicate the requirements are achieved. Repeat procedure until all requirements have been met. When retesting non-complying building areas, take samples from the same locations as in the first test.
6. Implementation and Coordination: Before Demolition and/or Construction begins, the Contractor must implement the Construction IAQ Management Plan, coordinate the Construction IAQ Management Plan with all affected trades, and designate one individual as the Construction IAQ Representative at no additional cost to the City of New York, who will be responsible for communicating the progress of the Construction IAQ Management Plan with the Commissioner monthly and for assembling the required LEED documentation. Include provisions in the Construction



IAQ Management Plan for addressing conditions in the field that do not adhere to the Plan, including provisions to implement a stop work order or to rectify non-compliant conditions.

- a. Distribution: The Contractor must distribute copies of the Construction IAQ Management Plan in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.
- b. Instruction: The Contractor must provide on-site instruction of appropriate site management to all Contractor's Subcontractors.
- c. Monitoring: The Construction IAQ Representative must monitor the implementation of the Construction IAQ Management Plan.

1.8 SUBMITTALS:

- A. Submit the following LEED-required records and documents in accordance with Section 01 33 00 SUBMITTAL PROCEDURES and, as applicable, Section 01 81 13.03 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v3 BUILDINGS or Section 01 81 13.04 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v4 BUILDINGS.
- B. A copy of the Construction IAQ Management Plan as defined in Sub-Section 1.7 herein.
- C. IAQ Tracking Log
 1. Note date of observed major Construction IAQ issues, describe any damage, describe any repairs or maintenance of specific control measures performed and note responsible party.
 2. Note date and findings of weekly site review, describe any repairs or maintenance performed, and note responsible party. Provide date-stamped photographs, inspection reports or other recording processes.
 3. Submit log monthly.
- D. Product cut-sheets for all filtration media used during construction and installed immediately prior to occupancy, with MERV values highlighted. Cut sheets must be submitted with the Contractor's or Subcontractor's "approved" stamp as confirmation that the products are the products installed on the Project.
- E. PHOTOGRAPHS: Submit to the Commissioner a minimum of 18 photographs as required under the provision for special photographs, in accordance with Section 01 32 33 PHOTOGRAPHIC DOCUMENTATION, comprised of at least six photographs taken on three different occasions during construction of each IAQ measure. The photographs must document the implementation of the Construction IAQ Management Plan throughout the course of the Project construction. Examples include photographs of ductwork sealing and protection, temporary ventilation measures, and conditions of on-site materials storage (to prevent moisture damage). Photographs must include integral date stamping, and must be submitted with brief descriptions of the Construction IAQ Management Plan measure documented, or be referenced to Project meeting minutes or similar Project documents which reference to the Construction IAQ Management Plan measure documented.
- F. A copy of the Project's "Testing, Adjusting and Balancing" (TAB) report, if applicable.

1.9 QUALITY ASSURANCE:

- A. The Contractor will be responsible for preparing and implementing the Construction IAQ Management Plan and must coordinate and incorporate the work of its Subcontractors in the IAQ Management Plan. Include the Construction IAQ Management Plan requirements in contract agreements with Subcontractors. Familiarize Subcontractors with the Construction IAQ Management Plan and how the Construction IAQ Management Plan will affect their daily activities. Hold a Subcontractors' orientation meeting to review the Construction IAQ Management Plan requirements.
- B. Responsibility of Subcontractors: Subcontractors for this Project will be responsible for cooperating with the Contractor in the preparation and implementation of the Construction IAQ Management Plan.



**Department of
Design and
Construction**

Division 01 – DDC STANDARD GENERAL CONDITIONS
SINGLE CONTRACT PROJECTS
Issue Date: July 1, 2022

- C. Include construction IAQ progress check-ins as a regular item in weekly Subcontractor meetings and safety meetings. Provide a copy of the plan on site, posted in an easily accessible area.

PART II – PRODUCTS (Not Used)

PART III – EXECUTION (Not Used)

END OF SECTION 01 81 19



**SECTION 01 91 13
GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS**

REFER TO THE ADDENDUM FOR APPLICABILITY OF THIS SECTION 01 91 13

PART I – GENERAL

1.1 RELATED DOCUMENTS:

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].
- B. The OPR and BOD documents are included by reference for information only.
- C. The Commissioning Plan, prepared by the Commissioning Agent (CxA) under separate contract with the City of New York, contains requirements that apply to this section.

1.2 SUMMARY:

- A. This section includes general requirements that apply to implementation of Commissioning without regard to systems, subsystems and equipment being commissioned. General Requirements for building enclosure commissioning are addressed in a separate specification.
- B. This Section includes:
 - 1. Definitions
 - 2. Commissioning Team
 - 3. City’s Responsibilities
 - 4. Contractor’s Responsibilities
 - 5. CxA Responsibilities
 - 6. Commissioning Documentation
 - 7. Submittals
 - 8. Coordination
 - 9. Execution

1.3 RELATED SECTIONS:

- A. System-Specific Commissioning requirements indicated in other sections of the Project Specifications for specific requirements for commissioning systems.
- B. This Project will be commissioned by an independent third party under separate contract with the City of New York. Commissioning must be in accordance with ASHRAE and USGBC LEED procedures, and specific commissioning requirements of the Project Specifications, whichever is more stringent. The Contractor must cooperate with the CxA and provide whatever assistance is required.
- C. Related sections include, without limitation, the following:
 - 1. Section 01 10 00 SUMMARY
 - 2. Section 01 31 00 PROJECT MANAGEMENT AND COORDINATION
 - 3. Section 01 32 00 CONSTRUCTION PROGRESS DOCUMENTATION
 - 4. Section 01 78 39 CONTRACT RECORD DOCUMENTS
 - 5. Section 01 79 00 DEMONSTRATION AND OWNER’S PRE-ACCEPTANCE ORIENTATION
 - 6. Section 01 81 13.03 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v3 BUILDINGS
 - 7. Section 01 81 13.04 SUSTAINABLE DESIGN REQUIREMENTS FOR LEED v4 BUILDINGS
 - 8. Section 01 91 15 GENERAL COMMISSIONING REQUIREMENTS FOR BUILDING ENCLOSURE



1.4 DEFINITIONS:

- A. Refer to Article 2 of the Contract for definition of terms, words and expressions used in the General Conditions not otherwise defined herein.

Basis of Design (BOD)	A document, prepared by the Design Consultant, that records concepts, calculations, decisions, and product selections used to meet the OPR and to satisfy applicable regulatory requirements, standards, and guidelines. The document includes both narrative descriptions and lists of individual items that support the design process.
Checklists	Forms that outline the step-by-step process that must be executed to fulfill the test requirements and to verify that materials, equipment, assemblies and systems are installed in accordance with the Contract Documents. The CxA must develop the checklists; the Contractor must complete them.
Commissioning	Commissioning is a systematic process of ensuring and documenting that the building systems have been installed in the prescribed manner, are functionally checked and capable of being operated and maintained to perform with the design intent and have documentation to support proper installation and operation. The process does not eliminate or reduce the responsibility of the installing subcontractors to provide a finished product.
Commissioning Agent (Aka Commissioning Authority) (CxA)	Consultant under separate contract with the City of New York to provide Commissioning services for this Project. The CxA must not be an employee of the Contractor, nor will the CxA have any interest in the Contract.
Commissioning Plan	A document developed by the CxA that outlines the organization, schedule, roles and responsibilities, allocation of resources, and documentation requirements of the commissioning process.
Deferred Performance Tests	Performance tests that are performed, at the discretion of the CxA, after substantial completion, due to partial occupancy, equipment, seasonal requirements, design, or other site conditions that disallow the test from being performed.
Design Consultant	The entity responsible for providing design services for the Project, including without limitation, preparing the construction documents (drawings and Specifications) and providing services in connection with such documents during construction. The entity serving as the “Design Consultant” may be a corporation, firm, partnership, joint venture, individual or combination thereof. Such entity may be either an employee(s) of the City or an entity engaged by the City to provide such services.
Factory Testing	Testing of equipment on-site or at the factory, by factory personnel, with or without the City’s representative.
Functional Performance Test (FPT)	Functional performance testing includes the dynamic functions and operations of equipment and systems using manual or monitoring methods under various levels of operation. Systems are tested under various modes, such as during low cooling loads, high loads, component failures, unoccupied, varying outside air temperatures, fire alarms, power failure, etc. The systems are run through all the control system’s sequences of operation and components are verified to respond as the sequences state. Such tests must be performed as per the protocol written by the CxA which defines the methods, personnel and expectations.
Issue (or Deficiency)	A condition in the installation or function of a component, piece of equipment, or system that is not in compliance with the Contract Documents.



Issues Log	A formal and ongoing record of problems, deficiencies or concerns that have been raised by members of the Commissioning Team during the course of commissioning. The Issues Log is the primary tracking tool to address all Commissioning Issues by concerned parties. All Issues must be addressed and resolved by the concerned parties before the closeout of the Project. This log tracks the resolution performed and date of closure of each Issue.
Master Equipment List (MEL)	A complete listing of all commissioned building equipment, including details such as make, model, location, ID Tag number, etc. that is taken from submittals and is the basis from which checklists will be generated. The MEL is a spreadsheet which is also used as a tracking tool for all milestones of the commissioning process, such as the creation and performance of checklists, startup of equipment, TAB work, etc.
Monitoring	The recording of parameters (flow, current, status, pressure, etc.) of equipment operation using data loggers or the trending capabilities of control systems.
Owner (City of New York) Contracted Tests	Tests paid for by the City of New York outside of the Contractor's Contract and for which the CxA does not provide oversight. These tests will not be repeated during functional testing if properly documented.
Owner's Project Requirements (OPR)	A document, prepared by the Design Consultant that details the functional requirements of a Project and the expectations of how it will be used and operated. These include Project goals, measurable performance criteria, cost considerations, benchmarks, success criteria, and supporting information.
Pre-functional (Installation) Checklists	A list of items to inspect and elementary component tests to conduct to verify proper installation of equipment, provided by the CxA to the Contractor. Installation checklists are primarily static inspections and procedures to prepare equipment or systems for initial operation. Pre-functional (Installation) checklists augment, and are combined with, the manufacturer's startup checklist. The Checklists are filled out by the Contractor and reviewed by the CxA.
Sampling	Functional testing for a percentage of the total number of identical or near-identical pieces of equipment.
Seasonal Performance Tests	Functional tests that are deferred until, or performed again when, the system(s) will experience climate conditions close to their design conditions.
Startup	The initial starting or activating of equipment, including executing construction checklists.
Systems, Subsystems, Equipment, and Components	Where these terms are used together or separately, they mean "as-built" systems, subsystems, equipment, and components.
Systems Manual	A system-focused composite document that includes the Operation and Maintenance Manual, and additional information of use to the owner during the occupancy and operations phase.
Testing, Adjusting and Balancing (TAB)	Testing, adjusting, and balancing of the Heating Hot Water (HHW), Chilled Water (CHW) and Heating, Cooling, and Ventilation Airflow distribution system flows and pressures as specified in Contract Documents by a subcontractor certified to perform such work.
Test Requirements	Requirements specifying what modes and functions, etc. must be tested on any given piece of equipment or any given system (integrated or standalone). The test requirements are not the detailed test procedures. The test requirements for each system are specified in the respective Contract Documents.



Trending	Monitoring using the building controls system, and analysis of the data gathered over a period of time.
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1.5 COMMISSIONING TEAM:

- A. Members Appointed by the Contractor and its Subcontractors: Individuals, each having authority to act on behalf of the entity he or she represents, explicitly organized to implement the Commissioning process through coordinated actions. The Commissioning Team will consist of, but not be limited to, representatives of the Contractor, including Project superintendent and Subcontractors, installers, suppliers and specialists deemed appropriate by the CxA.
- B. Members Appointed by the City:
 - 1. Commissioning Authority/Agent (CxA): The designated person, company, or entity under separate Contract with the City that plans, schedules and coordinates the Commissioning Team to implement the commissioning process.
 - 2. Representatives of the facility user and operation and maintenance personnel.
 - 3. Design Consultant and other concerned entities.

1.6 CITY’S RESPONSIBILITIES:

- A. Provide the OPR and BOD documentation to the CxA for use in developing the Commissioning Plan; systems manual; operation and maintenance orientation plan; and testing plans and checklists.
- B. Assign operation and maintenance personnel to participate in Commissioning Team activities.
- C. Provide full details and results of any Owner- contracted tests relevant to the current Project.

1.7 CONTRACTOR’S RESPONSIBILITIES:

- A. The Contractor must provide utility services required for the commissioning process.
- B. As a member of the Commissioning Team, the Contractor and Subcontractors must assign representatives with expertise and authority to act on behalf of the Contractor and its Subcontractor and schedule them to participate in and perform Commissioning Team activities including, but not limited to, the following:
 - 1. Participate in scheduled construction-phase coordination and Commissioning Team meetings.
 - 2. Integrate and coordinate commissioning process activities with the construction schedule.
 - 3. Provide all factory acceptance test reports to the CxA through the Commissioner.
 - 4. Respond to any additional specific information requests from the CxA. CxA may request additional documentation necessary for the commissioning process. Requests by CxA may precede, be concurrent with, or follow normal submittals.
 - 5. Ensure the cooperation and participation of all Subcontractors and manufacturers of equipment to be commissioned.
 - 6. Verify and confirm that components, equipment, and system are functioning as per design prior to CxA witnessing testing.
 - 7. Perform testing required in the Commissioning schedule as per the Commissioning process test procedures provided by the CxA, providing no less than 48 hours’ notice to the CxA through the Commissioner.
 - 8. Complete installation checklists as Work is completed and return to CxA through the Commissioner.



9. Provide written responses to the CxA through the Commissioner for resolution of Issues recorded in the Issues Log within five (5) business days.
10. Evaluate performance deficiencies identified in test reports and, in collaboration with entity responsible for system and equipment installation, recommend corrective action.
11. Submit operation and maintenance manuals for systems and subsystems, and equipment in accordance with Section 01 78 39 CONTRACT RECORD DOCUMENTS. Such documents must be submitted prior to functional testing.
12. Submit As-Built documents in accordance with Section 01 78 39 CONTRACT RECORD DOCUMENTS.
13. Provide orientation sessions for operations and maintenance personnel (sessions will be witnessed by the CxA) in accordance with Section 01 79 00 DEMONSTRATION AND OWNER'S PRE-ACCEPTANCE ORIENTATION. Provide no less than 48 hours' notice to the CxA, through the Commissioner. Video record and edit orientation sessions and provide an electronic recording to the CxA and Commissioner no later than two (2) weeks after the orientation session occurs. Edit as requested by the Commissioner.

1.8 COMMISSIONING AGENT'S (CxA) RESPONSIBILITIES:

- A. Organize and lead the Commissioning Team.
- B. Prepare a construction-phase Commissioning Plan. Collaborate through the Commissioner with each Contractor and with Subcontractors to develop test and inspection procedures. Include design changes and coordinate Commissioning activities with the overall Project schedule. Identify Commissioning Team member responsibilities, by name, firm, and trade specialty, for performance of each commissioning task. Update the Commissioning Plan during construction as required.
- C. Review and comment in accordance with Section 01 33 00 SUBMITTAL PROCEDURES, on submittals from the Contractor for compliance with the OPR, BOD, Contract Documents, and construction-phase Commissioning Plan. Review and comment on performance expectations of systems and equipment and interface between systems relating to the OPR and BOD.
- D. Coordinate with the Commissioner, in accordance with Section 01 31 00 PROJECT MANAGEMENT AND COORDINATION, to convene Commissioning Team meetings for the purpose of coordination, communication and conflict resolution; discuss progress of the commissioning processes.
- E. At the beginning of the construction phase, coordinate with the Commissioner's kick-off meeting schedule to conduct an initial construction-phase coordination meeting for the purpose of reviewing the Commissioning activities and establishing tentative schedules for operation and maintenance submittals, operation and maintenance orientation sessions, TAB Work, testing, and Project completion.
- F. Perform site visits to observe and inspect construction as described in the Commissioning Plan. Report progress and deficiencies to the Commissioner. In addition to compliance with the OPR, BOD, and Contract Documents, inspect systems and equipment installation for adequate accessibility required for component maintenance replacement and repair.
- G. Prepare and distribute project-specific test and inspection procedures and checklists and maintain MEL.
- H. Verify air and water systems balancing by sampling, reviewing completed reports and selected site observation. Coordinate submittal reviews with the Commissioner so that the comments are combined into a single review and submitted to the Contractor.
- I. Coordinate with the Commissioner to witness and document tests, inspections and systems startup, as per the Commissioning Plan.



- J. Maintain an Issues Log and a record of functional testing. Report all Issues as they occur to the Commissioner.
- K. Compile test data, inspection reports and certificates, and include them in the systems manual and Commissioning Report.
- L. Certify date of acceptance and startup for each item of equipment for start of warranty periods.
- M. Review and comment on operation and maintenance documentation and systems manual outline for compliance with the OPR, BOD, and Contract Documents. Operation and maintenance documentation requirements are specified in other sections of the Project Specifications and described in Section 01 78 39 CONTRACT RECORD DOCUMENTS.
- N. Review agenda for orientation; witness and confirm orientation session conforms with agenda and Contract Documents; review recording of demonstration and orientation sessions provided by the Contractor on USB drive or other electronic media as requested by the Commissioner and provide appropriate comments for editing.
- O. Return to the site ten (10) months into the twelve (12)-month guaranty period, to review with facility staff the current building operation and the condition of outstanding Issues related to the original and seasonal commissioning. Interview facility staff and identify problems or concerns they have with operating the building as originally intended.
- P. Prepare Commissioning Reports.
- Q. Assemble the final commissioning documentation, including the Commissioning Report and Systems Manual.
- R. Perform all CxA tasks as defined by LEED and the NYC Energy Conservation Code; prepare LEED submittal documents and preliminary and final Commissioning Reports as required by the NYC Energy Conservation Code.

1.9 COMMISSIONING DOCUMENTATION:

The Contractor must assist the CxA in the development and compiling of the following Commissioning Documentation:

- A. Index of Commissioning Documents: The CxA will prepare an index including the storage location of each document.
- B. Commissioning Plan: A document prepared by the CxA that outlines the schedule, allocation of resources, roles and responsibilities, and documentation requirements of the Commissioning process.
- C. Test Checklists: The CxA will develop test checklists for each system, subsystem, or equipment including interfaces and interlocks, and include a separate entry, with space for comments, for each item to be tested. The CxA will prepare separate checklists for each mode of operation and provide space to indicate whether the mode under test responded as required. Space will be provided for testing personnel to sign off on each checklist. Specific checklist content requirements are specified in other sections of the Project Specifications, but must include without limitation:
 - 1. Identification of tested item
 - 2. Date of test
 - 3. Indication of whether the record is for a first test or retest following correction of a problem or Issue
 - 4. Dated signatures of the person performing the test and of the witness if applicable
 - 5. Deficiencies and Issues, if any, generated as a result of the test



- D. Inspection Checklists will be signed by the Contractor, Subcontractor(s), Installer(s), and CxA certifying that systems, subsystems, equipment, and associated controls are ready for testing.
- E. Test and Inspection Reports: The CxA will record test data, observations, and measurements on test checklists. Photographs, forms, and other means appropriate for the application will be included with data. CxA must compile test and inspection reports and test and inspection certificates and include them in systems manual and Commissioning Report.
- F. Corrective Action Documents: The CxA will document corrective action taken for systems and equipment that fail tests and include required modifications to systems and equipment and revisions to test procedures, if any. The Contractor must retest systems and equipment requiring corrective action. The CxA will document retest results.
- G. Issues Log: The CxA will prepare and maintain an Issues Log that describes design, installation, and performance Issues that are at variance with the OPR, BOD, and Contract Documents. The log will identify and track Issues as they are encountered, documenting the status of unresolved and resolved Issues. The Issues Log will identify, at a minimum:
 - 1. The party responsible for correcting the Issue,
 - 2. The person documenting the Issue resolution,
 - 3. The exact location of the Issue (floor and room),
 - 4. The applicable system component,
 - 5. A detailed description of the Issue,
 - 6. The Issue status, and
 - 7. The date the Issue was discovered and the date the Issue was resolved.
- H. Commissioning Report: The CxA will document results of the commissioning process including unresolved Issues and performance of systems, subsystems, and equipment. The Commissioning Report will indicate whether systems, subsystems, and equipment have been completed and are performing according to the OPR, BOD, and Contract Documents. The Commissioning Report must include:
 - 1. An executive summary, including participants and their roles, a brief building description, an overview of the commissioning and testing scope, and a general description of testing and verification methods,
 - 2. Installation/Pre-Functional Checklists,
 - 3. Start-up reports,
 - 4. Functional Test documentation,
 - 5. Trend Log Analysis,
 - 6. The final Issues Log, with all Issues identified through the commissioning process, identifying which, if any, Issues remain unresolved,
 - 7. The Commissioning Plan,
 - 8. Commissioning progress and field reports,
 - 9. Commissioning review documents, and
 - 10. Record of owner's orientation.
- I. Systems Manual: The CxA will gather required information and compile systems manual as specified in other sections of the Project Specifications and described in Section 01 78 39 CONTRACT RECORD DOCUMENTS.



1.10 SUBMITTALS:

- A. Submittal of shop drawings, product data, samples, etc., relevant to commissioning must be provided to the CxA as requested. Such submittals must be in compliance with Section 01 33 00 SUBMITTAL PROCEDURES.
- B. As-Built Contract Record Drawings and Operating and Maintenance Manuals relevant to commissioning must be provided to the CxA as requested. Such submittals must be in compliance with Section 01 78 39 CONTRACT RECORD DOCUMENTS.
- C. All demonstration and orientation submittals relevant to commissioning must be provided to the CxA as requested. Such submittals must be in compliance with Section 01 79 00 DEMONSTRATION AND OWNER'S PREACCEPTANCE ORIENTATION.
- D. Completed Prefunctional (Installation) Checklists must be provided to the CxA prior to equipment startup.

1.11 COORDINATION:

- A. Coordination of Commissioning is the responsibility of all Commissioning Team members.
- B. Coordinating Meetings: The CxA will coordinate with the Commissioner's regularly scheduled construction progress meetings to conduct coordination meetings of the Commissioning Team to review progress on the Commissioning Plan, to discuss scheduling conflicts, and to discuss upcoming commissioning process activities. Commissioner and Contractor must ensure that all required Commissioning Team members attend.
- C. Construction Documents: The Contractor, through the Commissioner, will furnish copies of all construction documents, addenda, change orders and appropriate submittals and shop drawings to the CxA.
- D. Pre-testing Meetings: The CxA will coordinate with the Commissioner to conduct pretest meetings of the Commissioning Team to review startup reports, pretest inspection results, testing procedures, testing personnel and instrumentation requirements, and manufacturers' authorized service representative services for each system, subsystem, equipment, and component to be tested. Commissioner and Contractor must ensure that all required Commissioning Team members attend.
- E. Testing Coordination: Contractor must coordinate schedule times with the Commissioning Team, through the Commissioner, for tests, inspections, obtaining samples, and similar activities. The CxA will advise the Commissioning Team as to the sequence of testing activities to accommodate required quality-assurance and -control services with a minimum of delay and to avoid necessity of removing and replacing construction to accommodate testing and inspecting.
- F. Manufacturers' Field Services: The Contractor must coordinate manufacturers' field services, as per the Commissioning Plan.
- G. The CxA will regularly apprise the Commissioner of progress, pending problems and/or disputes, as well as provide regular status reports on progress with each system.

PART II – PRODUCTS

2.1 TEST EQUIPMENT

- A. All industry standard test equipment required for performing the specific tests must be provided by the Contractor responsible for testing. Any proprietary Vendor-specific test equipment must be provided by that Vendor or Manufacturer.
- B. Special equipment, tools, instruments, software, and equipment communication network access hardware and software (only available from Vendor, specific to the piece of equipment) required for testing equipment according to the Contract Documents must be included at no extra cost to the City and must be turned over



to the City at Project close-out, except for stand-alone data logging equipment that may be used by the CxA.

- C. Any portable or handheld setup and/or calibration devices required to initialize the control system must be made available by the control vendor for use by the CxA at no additional cost to the City.
- D. The instrumentation used in the commissioning process must comply with the following:
 - 1. Be of sufficient quality and accuracy to test and/or measure system performance within the tolerances required
 - 2. Be calibrated at the manufacturer's recommended intervals with calibration tags permanently affixed to the instrument
 - 3. Be maintained in good repair and operating condition throughout use duration on this Project
 - 4. Be immediately recalibrated or repaired if dropped and/or damaged in any way during this Project.

PART III – EXECUTION

3.1 COMMISSIONING PROCESS

- A. The following provides an overview of the Commissioning tasks during Project construction and the general order in which they occur.
 - 1. Construction-phase Commissioning begins with a Commissioning Kickoff Meeting, conducted by the CxA through the Commissioner in accordance with section 01 31 00 PROJECT MANAGEMENT AND COORDINATION, where the Commissioning process is reviewed with all the Commissioning Team Members.
 - 2. Additional meetings may be required throughout construction, scheduled by the CxA through the Commissioner in accordance with 01 31 00 PROJECT MANAGEMENT AND COORDINATION with necessary parties attending, to plan, scope, coordinate and schedule future activities and resolve open Issues.
 - 3. The CxA will review the Contractor submittals concurrent with the Commissioner and provide comments to the Commissioner for inclusion in their review. The reviewed submittals will include all commissioned equipment information, including detailed startup procedures, and coordination drawings that include commissioned equipment and systems, control drawings and sequences, and interfaces and interlocks between systems.
 - 4. The CxA works with the Commissioner and Contractor in developing Pre-functional and Functional Test documentation formats.
 - 5. Periodically throughout the construction process, the CxA will perform site visits to observe component and system installations.
 - 6. The checkout and performance verification generally proceeds from component level to equipment to systems and intersystem levels. Pre-functional (Installation) Checklists are to be completed before equipment startup. Equipment startup must be completed before TAB. TAB must be completed before the Functional Performance Checklists.
 - 7. The Contractor must, with guidance from the CxA, execute and document the Pre-Functional (Installation) Checklists and perform startup and initial checkout of equipment and systems. The CxA documents that the checklists and startup are completed according to the approved plans. This will include the CxA witnessing selected assembly markups, portions of the startup of selected equipment, and spot checking the Pre-Functional (Installation) Checklists.
 - 8. The CxA develops specific equipment and system Functional Checklists. The Contractor receives a copy of the procedure through the Commissioner. The CxA may request additional design



narrative from the Commissioner and Controls Contractor, depending on the completeness of the Basis of Design and sequences provided within the design documents.

9. The Functional Checklists are executed by the Contractor and witnessed and documented by the CxA.
10. Items of non-compliance in material, installation startup, and operation are corrected and the equipment or system is rechecked. The CxA will maintain an Issues Log to track Issues and Issue resolution.
11. The CxA will review the Operation & Maintenance documentation for completeness.
12. Commissioning, excluding the Warranty Walkthrough and any seasonal testing at the written direction of the Commissioner, must be completed prior to Substantial Completion.
13. The CxA reviews the orientation documentation. The orientation schedules and agenda are provided by the subcontractors. The CxA verifies that orientation is completed, attended by the appropriate City of New York personnel, is thorough and provides all necessary information required to operate and service the equipment or system.
14. Deferred testing/checkouts are conducted, as specified or required in the Contract Documents.

3.2 COMMISSIONING PLAN AND SCHEDULE

- A. Commissioning Plan: The Commissioning Plan provides guidance in the execution of the commissioning process. After the initial construction phase Commissioning kickoff meeting, the CxA will update the plan. This plan is a living document that must evolve and expand as the Project progresses. The Commissioning Plan must include:
 1. Description of the facility and Project.
 2. Description of the commissioning process and associated deliverable documents.
 3. Description of equipment and systems to be commissioned.
 4. Description of schedules for testing procedures along with identification of parties involved in performing and verifying tests.
 5. Sample rates for equipment to be tested.
 6. Identification of task items that must be completed before the next operation can proceed.
 7. Description of responsibilities of Commissioning Team members.
 8. Description of observations to be made and reported on during testing and witnessing of testing by all parties involved in the Project.
- B. Commissioning Schedule: Contractor must provide construction schedules to the CxA, in accordance with Section 01 31 00 PROJECT MANAGEMENT AND COORDINATION. The CxA will develop and submit a schedule identifying the commissioning process and provide commissioning scheduling information to the Commissioner and Contractor for review and planning activities. The Contractor must incorporate the CxA's activities into the Project schedule.

3.3 TESTING PROCEDURES

- A. The CxA will determine and document the acceptance procedures for each system within disciplines. The acceptance procedures must incorporate the commissioning standards and successful testing results as referred to throughout the Specifications.



- B. The CxA will provide performance checklists and performance checkout data sheets for each system based on actual system configuration. Special emphasis must be placed on checkout procedures that must conclusively determine actual system performance and compliance with the OPR and BoD.
- C. The Contractor and appropriate Vendor(s) must be informed of what tests are to be performed and the expected results. The Commissioning Plan must address the test requirements and be distributed to all parties involved with that system.
- D. Prior to Functional Testing, the Contractor must provide the following:
 - 1. Contractor must certify in writing that commissioned systems, subsystems, and equipment have been installed, calibrated and started, and are operating according to the Contract Documents.
 - 2. Contractor must certify in writing that all relevant instrumentation and control systems have been completed and calibrated; are operating according to the Contract Documents; and that pretest set points have been recorded.
 - 3. Contractor must certify in writing that TAB procedures have been completed, and that the TAB report has been submitted, discrepancies corrected, and corrective work approved.
 - 4. Contractor must perform tests for system and intersystem performance only after CxA and Commissioner have approved the completed testing checklists for systems, subsystems, and equipment.
- E. The Functional Performance tests must be performed by the Contractor and Vendor(s) with oversight by the CxA. The CxA must witness, verify, and document these tests.
 - 1. Functional Performance Tests must include operating the systems and components through each of the written sequences of operation, other significant modes of miscellaneous alarms, power failure, and security alarm when impacted by and interlocked with commissioned equipment, as detailed in the Commissioning Plan.
 - 2. Checklists must be completed comprehensively and to the extent necessary to enable the CxA to assure the Commissioner that the systems perform as per the OPR, BOD, and Contract Documents.
 - 3. If a test is failed for any reason and retesting is required, the Contractor must provide retesting at no additional cost to the City.
 - 4. If a test must be witnessed more than twice by the Commissioning Agent due to repeated failure to perform as per the design documents, the Contractor must be responsible for the Commissioning Agent's fee for witnessing repeated tests beyond the second incidence. Such fee will be negotiated between the Commissioning Agent and the Commissioner.
 - 5. After testing, Contractor must return settings to normal operating conditions.

3.4 OPERATION & MAINTENANCE MANUALS

- A. General
 - 1. The CxA must review the Operation & Maintenance manuals provided by the Contractor for completeness of the document. The review process will verify that Operation & Maintenance instructions meet Specifications and are included for all commissioned equipment furnished by the Contractor.
 - 2. Published literature will be specifically oriented to the provided equipment, indicating required operation and maintenance procedures, parts lists, assembly / disassembly diagrams and related information.



3. The Contractor must incorporate the standard technical literature into system-specific formats for this facility as designed and as actually installed. The resulting Operation & Maintenance information must be system-specific, concise, to the point and tailored specifically to this facility. The CxA must review these documents as necessary for final corrections by the Contractor.
 4. Contractor must submit Operations & Maintenance Manuals for each piece of equipment for review no later than 45 days after submittal approval.
- B. The Operation & Maintenance Manual review and coordination efforts must be completed prior to Owner orientation sessions, as these documents are to be utilized in the orientation sessions.
- C. System Operations Manual
1. The CxA must prepare and deliver these documents with inputs from the Contractor. The Contractor must provide all required documents to the CxA, through the Commissioner. The required documents must be described in the Commissioning Plan and Contract Documents. Typically, the manual includes the following:
 - a. System, subsystem, and equipment descriptions
 - b. Commissioned systems single line diagrams (to be provided by Mechanical, Electrical, Plumbing, and Building Management System (BMS) subcontractors).
 - c. As built sequences of operations, control drawings and original set points (to be provided by Design Consultant and BMS subcontractor).
 - d. Operating instructions for integrated building systems (to be provided by Mechanical and BMS subcontractors).
 - e. Recommended schedule of maintenance requirements and frequency (to be provided by subcontractors).
 - f. Recommended schedule for calibrating sensors and actuators (to be provided by BMS subcontractor).

3.5 DEMONSTRATION AND INSTRUCTION

- A. The Contractor must schedule and coordinate instruction sessions for the facility's staff for each commissioned system. Demonstrations must be held per Contract Documents, along with the appropriate schematics, handouts and visual / audio orientation aids onsite with equipment.
- B. The equipment vendors must provide instruction on the specifics of each major equipment item including philosophy, troubleshooting and repair techniques.
- C. The Contractor must record and edit demonstration and orientation sessions, and provide these records to the CxA, through the Commissioner.
- D. For additional direction pertinent to instruction, refer to other specific divisions for demonstration and instruction requirements.

3.6 WARRANTY REVIEW / SEASONAL TESTING

- A. The CxA will return upon the start of the new season (cooling or heating) after Project completion to conduct performance tests that could not be performed due to ambient conditions. The seasonal testing will only be performed if suitable loads / conditions were unavailable during the performance testing stages (in other words; the requirement for testing is warranted), and at the written direction of the Commissioner.
- B. The CxA will return to the site approximately ten (10) months into the twelve (12)-month guaranty period and interview the occupants and maintenance staff, review the operation of the building, provide recommendations for installation and operational problems and document warranty and operational Issues in the Issues database.



3.7 RECORD DRAWINGS

- A. The CxA must review the as built Contract Documents to verify incorporation of both design changes and as-built construction details. Discrepancies noted must be corrected by the appropriate party.

END OF SECTION 01 91 13



**Department of
Design and
Construction**

Division 01 – DDC STANDARD GENERAL CONDITIONS
SINGLE CONTRACT PROJECTS
Issue Date: July 1, 2022

(No Text on This Page)



**SECTION 01 91 15
GENERAL COMMISSIONING REQUIREMENTS FOR BUILDING ENCLOSURE**

REFER TO THE ADDENDUM FOR APPLICABILITY OF THIS SECTION 01 91 15

PART I – GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum, and (5) the Contract [City of New York Standard Construction Contract].
- B. The Owner's Project Requirements (OPR) and Basis of Design (BOD) documents are included by reference for information only.
- C. The Commissioning Plan, prepared by the Commissioning Agent (CxA) under separate contract with the City of New York, contains requirements that apply to this section.

1.2 SECTION INCLUDES

- A. This section includes the commissioning requirements for the Building Enclosure systems. Refer to "Exterior Enclosure Commissioning" in other sections of the Project Specifications for specific requirements regarding Building Enclosure Commissioning.
 - 1. The commissioning requirements for the Building Enclosure systems given in this section are entirely separate from, and in addition to, the Section 01 91 13 GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS for this Project. The Contractor, and his/her Suppliers, Subcontractors, Vendors, etc., are required to participate in both commissioning processes as required.

1.3 DESCRIPTION

- A. Building Enclosure Commissioning (BECx) is a systematic process of ensuring all building enclosure systems responsible for environmental separation perform as per the OPR and BOD. The BECx process is intended to verify and document proper installation and performance of building enclosure materials and systems in accordance with the Contract Documents.
- B. Commissioning does not take away from, or reduce, the Contractor's responsibility to provide a finished and fully functioning product and installation.
- C. This section will in no way diminish the responsibility of the Contractor in performing all aspects of work and testing as outlined in the Contract Documents. Any requirements outlined in this section are in addition to requirements outlined in the Contract Drawings and Specifications.

1.4 RELATED WORK

- A. Specific BECx requirements are given in this Section. The following Project Specification sections are related to the commissioning work specified in this section:
 - 1. Basic Concrete Requirements: Refer to Division 03
 - 2. Basic Metal Requirements: Refer to Division 05
 - 3. Basic Waterproofing, Roofing, Air Barrier and Insulation Requirements: Refer to Division 07
 - 4. Basic Fenestrations Requirements: Refer to Division 08
 - 5. Basic Finishing Requirements: Refer to Division 09



1.5 DEFINITIONS AND ABBREVIATIONS

A. Refer to Article 2 of the Contract and Section 01 91 13 GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS for terms, words, and expressions not otherwise defined herein.

Approval	Acceptance that a material or system has been properly installed and is functioning in tested modes according to the Contract Documents.
Building Enclosure Commissioning Agent (BECA)	BECA directs and coordinates day-to-day BECx commissioning activities.
Building Enclosure Testing Agency (BETA)	Building Enclosure Testing Agency whom is an independent agency retained by the Contractor and approved by the Commissioner, fully accredited by the appropriate governing body for each of the materials, components or systems to be tested or evaluated for compliance with requirements of the Contract Documents and as directed by the BECA. Documentation of such certification must be submitted to and approved by the Commissioner prior to the start of any work by the BETA.
Commissioning	Commissioning is a systematic process of ensuring and documenting that the building systems have been installed in the prescribed manner, are functionally checked and capable of being operated and maintained to perform with the design intent, and have documentation to support proper installation and operation. The process does not eliminate or reduce the responsibility of the installing subcontractors to provide a finished product.
Commissioning Agent (CxA)	Refer to Section 01 91 13 GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS for Definition.
Commissioning Plan	Refer to Section 01 91 13 GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS for Definition.
Deficiency	Condition of a building enclosure material or system that is not in compliance with Contract Documents (that is, does not perform properly or does not comply with design intent).
Design Consultant	Refer to Section 01 91 13 GENERAL COMMISSIONING REQUIREMENTS FOR MEP SYSTEMS for Definition.
Simulated Condition	Condition created for testing component or system (e.g., applying pressure differential across the building enclosure concurrent with water spray to simulate a wind driven rain).
Mock-up	The activities where systems or materials are initially constructed and tested.

1.6 COORDINATION

A. Building Enclosure Commissioning Team: Members of the Building Enclosure Commissioning Team will consist of:

1. CxA
2. BECA
3. BETA
4. Commissioner
5. Contractor, and all Building Enclosure Subcontractors
6. Design Consultant

B. Management: City of New York will contract services of the BECA through a separate contract. The BECA will direct and coordinate commissioning activities and report to the Commissioner. All members of the



Building Enclosure Commissioning Team must cooperate to fulfill contracted responsibilities and objectives of the Contract Documents.

- C. Scheduling: BECA must work with the Building Enclosure Commissioning Team to establish required commissioning activities to incorporate into the preliminary commissioning schedule. The Contractor must integrate commissioning activities into master construction schedule, in accordance with Section 01 32 00 CONSTRUCTION PROGRESS DOCUMENTATION. Necessary notifications are to be made in a timely manner in order to expedite commissioning.

1.7 SUBMITTALS

- A. Contractor must provide documentation required for commissioning work in accordance with Section 01 33 00 SUBMITTAL PROCEDURES. At minimum, documentation must include, but not be limited to:
 - 1. Submittal of shop drawings, product data, samples, etc., relevant to BECx and as requested by the BECA. Such submittals must be in compliance with Section 01 78 39 CONTRACT RECORD DOCUMENTS.
 - 2. As-Built Record Drawings and Operation and Maintenance Information relevant to BECx and as required by the BECA. Such submittals must be in compliance with Section 01 78 39 CONTRACT RECORD DOCUMENTS.
 - 3. All demonstration and orientation submittals relevant to BECx and as requested by the BECA. Such submittals must be in compliance with Section 01 79 00 DEMONSTRATION AND OWNER'S PREACCEPTANCE ORIENTATION.
 - 4. Performance data, any performance test procedures, and installation and checkout materials.
- B. The Contractor must provide all submittals to the Design Consultant, as per Section 01 33 00 SUBMITTAL PROCEDURES. The Design Consultant will transmit all building enclosure related submittals to the BECA for concurrent review.

PART II – PRODUCTS (Not Used)

PART III – EXECUTION

3.1 SYSTEMS TO BE COMMISSIONED

- A. Building Enclosure systems to be commissioned may include, but are not limited to, Roof waterproofing, including garden roof systems, all penetrations, and transitions; skylights and other sloped glazing; exterior walls, including the air barrier system, water management systems, and thermal insulation; punched windows, window walls, curtain walls, storefronts, glazed entries, doors, and louvers; sealants, expansion joints, and control joints; flashings, including all transitions and end-dams; terrace, balcony, and deck waterproofing; below-grade waterproofing, including drainage, waterproofing and damp proofing; below slab floor barriers; interface and transition conditions between exterior enclosure components and systems; smoke controls and fire separation and stopping; and any other special building enclosure systems, equipment, and controls. Refer to the Contract Documents for clarity.

3.2 RESPONSIBILITIES OF COMMISSIONING TEAM MEMBERS DURING CONSTRUCTION PHASE

- A. Responsibilities of the Design Consultant include without limitation the following:
 - 1. Review BECA comments on construction documents and shop drawings.
 - 2. Assist in dispute resolution regarding building enclosure items.
 - 3. Review BECA reports.
 - 4. Incorporate BECA Submittal Review Comments into response on submittals.
- B. Responsibilities of the BECA include the following without limitation:



1. Review and comment on Mock-up construction and testing plan as provided by Contractor.
 2. Development of BECx Plan.
 3. Review of building enclosure shop drawings and submittals, including “approved equal” requests, through the Commissioner in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.
 4. Attend combined pre-construction and BECx kick-off meeting.
 5. Develop construction checklists for the building enclosure for the Contractor’s use.
 6. Observe the construction of a building enclosure Mock-up.
 7. Witness the testing of a building enclosure Mock-up.
 8. Project meetings / conference calls / coordination.
 9. Field monitor installation of exterior enclosure components.
 10. Update field report log.
 11. Update BECx Plan.
 12. Advise on Requests for Information.
 13. Assist with the preparation of LEED paperwork.
 14. Prepare systems manual, with required inputs and documentation from the Contractor in accordance with Section 01 78 39 CONTRACT RECORD DOCUMENTS.
 15. Complete Maintenance Plan, with required inputs and documentation from the Contractor in accordance with Section 01 78 39 CONTRACT RECORD DOCUMENTS.
 16. Prepare training manual, with required inputs and documentation from the Contractor in accordance with Section 01 78 39 CONTRACT RECORD DOCUMENTS.
 17. Prepare final BECx record and enclosure commissioning close-out documents.
 18. Develop on-going BECx Plan.
- C. Responsibilities of the Contractor and Building Enclosure Subcontractors include without limitation the following:
1. Review BECx Plan and FPT specification.
 2. Attend commissioning kick-off meeting and other Building Enclosure Commissioning Team meetings.
 3. Incorporate commissioning activities into the construction schedule.
 4. Periodically update Commissioning activities in the construction schedule.
 5. Notify Commissioner and BECA of work completion.
 6. Verify building enclosure materials and assemblies are ready for functional testing.
 7. Retain the services of an approved independent BETA; submit qualifications of independent BETA to Commissioner for approval; coordinate all activities and deliverables of this BETA; ensure all BETA deliverables are provided to the Building Enclosure Commissioning Team.
 8. Attend all required material and systems testing.
 9. Execute all periodic maintenance or repairs required on started systems from initial Mock-up of equipment to Final Acceptance by Commissioner to prevent material warranties from being voided.
 10. Submit maintenance logs of all interim maintenance or repair tasks performed by Contractor.



11. Ensure installation work is complete, is in compliance with Contract Documents, and is ready for Functional Performance Testing. FPT test results will be documented by BECA.
 12. Ensure resolution of non-compliance and deficiencies in construction or test results. Obtain written documentation of completion from the appropriate subcontractors.
 13. Provide letters of compatibility for adjacent building enclosure materials and assemblies.
 14. Facilitate all repairs and retesting of failed condition at no additional cost to the City of New York.
 15. Provide all warranty information to BECA.
- D. Responsibilities of the BETA include without limitation the following:
1. Attend Commissioning kick-off meeting and other Building Enclosure Commissioning Team meetings.
 2. Provide on-site technician and equipment to complete Mock-up and field Functional Performance Testing.
 3. Prepare and submit reports to the Commissioner at the conclusion of all testing.
 4. Perform retesting and prepare corresponding reports.

3.3 BUILDING ENCLOSURE COMMISSIONING TEAM (BECx) MEETINGS

- A. BECx meetings will be held periodically, as determined by the Commissioner and recommended by BECA.
- B. Discussions held in BECx meetings must include, but not be limited to: system/materials, mock-up/field, progress, scheduling, testing, documentation, deficiencies, and problem resolution.
- C. The Contractor must attend BECx meetings, and must ensure the attendance of required subcontractors, as requested.

3.4 REPORTING

- A. BECA will provide status reports to the Commissioner. The Commissioner will provide such status reports to the Contactor, CxA, Design Consultant, and other entities as needed.
- B. BECA will submit non-compliance and deficiency reports to Commissioner. The Commissioner will provide such reports to the Contractor, CxA, Design Consultant, and other entities as needed.
- C. BECA will provide a final summary report to Commissioner and CxA.

3.5 MOCK-UP AND FINAL CONSTRUCTION

- A. Prior to Functional Performance Testing or concealment of functional performance layers within the building enclosure, the Contractor must verify that all assemblies are complete, including deficiency long items, and all Contract requirements are met.

3.6 FUNCTIONAL PERFORMANCE TESTING

- A. Objectives and Scope
 1. The objective of Functional Performance Testing is to demonstrate that the building enclosure is performing according to documented design intent and Contract Documents. Functional Performance Testing ensures and documents that the building enclosure systems are fully operational. Additionally, during Functional Performance Testing, areas of deficient performance are identified and corrected, improving building enclosure system performance.
- B. Development of Test Procedures



1. The purpose of a specific test is to verify and document compliance of the installed enclosure systems with the OPR. Building Enclosure Functional Performance Test Protocols are provided in other sections of the Project Specifications for specific requirements regarding BECx.

C. Coordination and Scheduling

1. Contractor must provide sufficient notice to BECA, through the Commissioner, regarding completion schedule for materials and systems. Testing to be performed in conjunction with site visits. Contractor must schedule Functional Performance Tests with Commissioning Team. BECA must witness and document functional testing of equipment and systems. BETA, as retained by the Contractor, must execute tests under direction of BECA.
2. Successful completion of Mock-up functional performance testing must occur prior to full production installation of building enclosure materials and systems.

3.7 DOCUMENTATION, NON-CONFORMANCE, AND APPROVAL OF TESTS

A. Documentation

1. BECA must witness and document results of FPT.

B. Non-Conformance

1. BECA must record results of functional testing. Deficiency or non-conformance issues must be noted and reported to the Commissioner. The Commissioner must provide such non-conformance reports to the CxA, Design Consultant, Contractor, and other entities, as needed.
2. Corrections of minor deficiencies identified may be made during tests at the discretion of the Commissioner and as recommended by the BECA. In such cases, deficiency and resolution must be documented.
3. Every effort must be made to expedite testing and minimize unnecessary delays, while not compromising integrity of tests.
4. Deficiencies are handled in the following manner:
 - a) BECA documents deficiencies and notes Contractor's response and intentions. A finding of deficiency will not end the testing process.
 - b) BECA submits deficiency report to the Commissioner. The Commissioner will provide such deficiency report to the CxA, Contractor, Design Consultant, and other entities as required.
 - c) Contractor corrects deficiency and certifies that material or assembly is ready to be retested.
 - d) Contractor informs Commissioner of retesting schedule for coordination with the BECA.
 - e) Contractor reschedules test with the Commissioner and BETA at no additional cost to the City of New York.
 - f) If a test must be witnessed more than twice by the BECA due to repeated failure to perform as per the design documents, the Contractor must be responsible for the BECA's fee for witnessing repeated tests beyond the second incidence. Such fee will be negotiated between the BECA and the Commissioner.

C. Testing

1. Costs for all testing and retesting required for the Project will be the responsibility of the Contractor. The Contractor is to provide access to the test specimens to the Commissioning Team, through the Commissioner.



3.8 COMMISSIONING DOCUMENTATION

A. Final Report Details

1. Final BECx Report must include an executive summary, list of participants and roles, brief building description, overview of Commissioning and testing scope, and general description of testing and verification methods. Report must contain evaluation regarding:
 - a) Conformance to Specifications and design intent.
 - b) Material/system installation.
 - c) Functional performance.
2. All outstanding non-compliance items must be specifically listed.
3. Recommendations for improvement to system or operations, future actions, etc. must also be listed.

END OF SECTION 01 91 15



**Department of
Design and
Construction**

Division 01 – DDC STANDARD GENERAL CONDITIONS
SINGLE CONTRACT PROJECTS
Issue Date: July 1, 2022

(No Text on This Page)

**THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF PUBLIC BUILDINGS**

30-30 THOMSON AVENUE LONG ISLAND CITY, NEW YORK 11101-3045
TELEPHONE (718) 391-1000 WEBSITE www.nyc.gov/buildnyc



**Department of
Design and
Construction**

Contract for Furnishing all Labor and Material Necessary

Contractor

Dated _____, 20____

Approved as to Form
Certified as to Legal Authority

Acting Corporation Counsel

Dated _____, 20____

Entered in the Comptroller's Office

First Assistant Bookkeeper

Dated _____, 20____



FMS ID: LQD122H01



Department of Design and Construction

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF PUBLIC BUILDINGS

30-30 THOMSON AVENUE LONG ISLAND CITY, NEW YORK 11101-3045
TELEPHONE (718) 391-1000 WEBSITE www.nyc.gov/buildnyc

Contract for Furnishing all Labor and Material Necessary and Required for:

CONTRACT NO. 1 GENERAL CONSTRUCTION WORK

Hollis Library Interior Renovation

LOCATION: 202-05 Hillside Avenue
BOROUGH: Queens, NY 11423
CITY OF NEW YORK

Contractor

Dated _____, 20____

Approved as to Form
Certified as to Legal Authority

Acting Corporation Counsel

Dated _____, 20____

Entered in the Comptroller's Office

First Assistant Bookkeeper

Dated _____, 20____





**Department of
Design and
Construction**

PROJECT ID:

LQD122HO1

**THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF PUBLIC BUILDINGS**

30-30 THOMSON AVENUE
LONG ISLAND CITY, NEW YORK 11101-3045
TELEPHONE (718) 391-1000
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VOLUME 3 OF 3

**ADDENDUM TO THE GENERAL
CONDITIONS**

SPECIFICATIONS

FOR FURNISHING ALL LABOR AND MATERIALS
NECESSARY AND REQUIRED FOR:

Hollis Library Interior Renovation

**LOCATION:
BOROUGH:
CITY OF NEW YORK**

**202-05 Hillside Avenue
Queens, NY 11423**

CONTRACT NO. 1

GENERAL CONSTRUCTION WORK

Queens Borough Public Library

DDC In House Design Studio

Date:

March 13, 2023



THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF PUBLIC BUILDINGS

April 21, 2023

ADDENDUM No. # 1

FOR FURNISHING ALL LABOR AND MATERIAL NECESSARY AND REQUIRED FOR:

85023B0067 – LQD122HO1

Hollis Library Interior Renovation

This addendum is issued for the purpose of amending the requirements of the Bid and Contract Documents and is hereby made a part of said Bid and Contract Documents to the same extent as though it were originally included therein.

The bidder is advised that the items listed below apply to the project:

1. **The Bid Opening for the contract described below scheduled for May 11, 2023, at 2:30 pm is rescheduled to June 15, 2023 at 2:30 pm.**

In addition, the Pre-Bid Conference for the contract described below scheduled for April 25, 2023, at 2:30 pm is rescheduled to June 1, 2023 at 2:30 pm.

Contract #1 – General Construction Work

2. **Bidders Questions and Responses to Questions:**
See Attachment A (Not Used).
3. **Revisions to Documents:**
See Attachment B (Not Used).
4. **Revisions to PASSPort forms:**
See Attachment C.

Transferring Data Between Rounds of an RFX: A new document titled “Transferring Data Between Rounds of an RFX” has been added to the Documents section of the View RFX tab. Please refer to this document when an addendum has been issued. Note: Whenever an addendum is issued, the RFX item grid will be cleared. You can import the work you have already done by following the steps on this document.

DDC strongly advises vendors to finalize and submit bids 48 hours prior to due date and time. The City is not responsible for technical issues (e.g. internet connection, power outages, technology malfunction, computer errors, etc.) related to bid submissions.

If additional information is required, please contact the Department of Design and Construction, Contract Section at (718) 391-1041 or by email at CSB_projectinquiries@ddc.nyc.gov.

Richard Jones, PE CWI
Executive Director, Specifications

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT A - BIDDERS QUESTIONS AND DDC RESPONSES

NOT USED

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT B – REVISIONS TO THE DOCUMENTS

NOT USED

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT C – REVISIONS TO PASSPORT FORMS

This Addendum initiates Round 2 of the procurement.

Please note that numbering of addenda is independent of rounds.

Bid Opening Date Changes:

The Bid Opening scheduled for May 11, 2023 at 2:30pm is rescheduled for June 15, 2023 at 2:30pm.

Pre-Bid Conference Date Changes:

The Bid Opening scheduled for April 25, 2023 at 10:00am is rescheduled for June 1, 2023 at 10:00am.

Questionnaire Changes:

None

Item Grid Changes:

None

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF PUBLIC BUILDINGS

June 1, 2023

ADDENDUM No. # 2

FOR FURNISHING ALL LABOR AND MATERIAL NECESSARY AND REQUIRED FOR:

85023B0067 – LQD122HO1

Hollis Library Interior Renovation

This addendum is issued for the purpose of amending the requirements of the Bid and Contract Documents and is hereby made a part of said Bid and Contract Documents to the same extent as though it were originally included therein.

The bidder is advised that the items listed below apply to the project:

1. **The Bid Opening for the contract described below scheduled for June 15, 2023, at 2:30 pm is rescheduled to July 11, 2023 at 2:30 pm.**

In addition, the Pre-Bid Conference for the contract described below scheduled for June 1, 2023, at 10:00am is rescheduled to June 22, 2023 at 10:00am.

Contract #1 – General Construction Work

2. **Bidders Questions and Responses to Questions:**
See Attachment A (Not Used).
3. **Revisions to Documents:**
See Attachment B (Not Used).
4. **Revisions to PASSPort forms:**
See Attachment C.

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Richard Jones, PE CWI
Executive Director, Specifications

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT A - BIDDERS QUESTIONS AND DDC RESPONSES

NOT USED

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT B – REVISIONS TO THE DOCUMENTS

NOT USED

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT C – REVISIONS TO PASSPORT FORMS

This Addendum initiates Round 3 of the procurement.

Please note that numbering of addenda is independent of rounds.

Bid Opening Date Changes:

The Bid Opening scheduled for June 15, 2023 at 2:30pm is rescheduled for July 11, 2023 at 2:30pm.

Pre-Bid Conference Date Changes:

The Bid Opening scheduled for June 1, 2023 at 10:00am is rescheduled for June 22, 2023 at 10:00am.

Questionnaire Changes:

None

Item Grid Changes:

None

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF PUBLIC BUILDINGS

June 20, 2023

ADDENDUM No. # 3

FOR FURNISHING ALL LABOR AND MATERIAL NECESSARY AND REQUIRED FOR:

85023B0067 – LQD122HO1

Hollis Library Interior Renovation

This addendum is issued for the purpose of amending the requirements of the Bid and Contract Documents and is hereby made a part of said Bid and Contract Documents to the same extent as though it were originally included therein.

The bidder is advised that the items listed below apply to the project:

1. **The Bid Opening for the contract described below scheduled for July 11, 2023, at 2:30 pm is rescheduled to July 31, 2023 at 2:30 pm.**

In addition, the Pre-Bid Conference for the contract described below scheduled for June 22, 2023, at 10:00am is rescheduled to July 17, 2023 at 10:00am.

Contract #1 – General Construction Work

2. **Bidders Questions and Responses to Questions:**
See Attachment A (Not Used).
3. **Revisions to Documents:**
See Attachment B (Not Used).
4. **Revisions to PASSPort forms:**
See Attachment C.

Transferring Data Between Rounds of an RFX: A new document titled “Transferring Data Between Rounds of an RFX” has been added to the Documents section of the View RFX tab. Please refer to this document when an addendum has been issued. Note: Whenever an addendum is issued, the RFX item grid will be cleared. You can import the work you have already done by following the steps on this document.

DDC strongly advises vendors to finalize and submit bids 48 hours prior to due date and time. The City is not responsible for technical issues (e.g. internet connection, power outages, technology malfunction, computer errors, etc.) related to bid submissions.

If additional information is required, please contact the Department of Design and Construction, Contract Section at (718) 391-1041 or by email at CSB_projectinquiries@ddc.nyc.gov.

Richard Jones, PE CWI
Executive Director, Specifications

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT A - BIDDERS QUESTIONS AND DDC RESPONSES

NOT USED

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT B – REVISIONS TO THE DOCUMENTS

NOT USED

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT C – REVISIONS TO PASSPORT FORMS

This Addendum initiates Round 4 of the procurement.

Please note that numbering of addenda is independent of rounds.

Bid Opening Date Changes:

The Bid Opening scheduled for July 11, 2023 at 2:30pm is rescheduled for July 31, 2023 at 2:30pm.

Pre-Bid Conference Date Changes:

The Bid Opening scheduled for June 22, 2023 at 10:00am is rescheduled for July 17, 2023 at 10:00am.

Questionnaire Changes:

None

Item Grid Changes:

None

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF PUBLIC BUILDINGS

July 14, 2023

ADDENDUM No. # 4

FOR FURNISHING ALL LABOR AND MATERIAL NECESSARY AND REQUIRED FOR:

85023B0067 – LQD122HO1

Hollis Library Interior Renovation

This addendum is issued for the purpose of amending the requirements of the Bid and Contract Documents and is hereby made a part of said Bid and Contract Documents to the same extent as though it were originally included therein.

The bidder is advised that the items listed below apply to the project:

1. **The Bid Opening for the contract described below scheduled for July 31, 2023, at 2:30 pm is rescheduled to August 14, 2023 at 2:30 pm.**

In addition, the Pre-Bid Conference for the contract described below scheduled for July 17, 2023, at 10:00am is rescheduled to July 31, 2023 at 10:00am.

Contract #1 – General Construction Work

2. **Bidders Questions and Responses to Questions:**
See Attachment A (Not Used).
3. **Revisions to Documents:**
See Attachment B (Not Used).
4. **Revisions to PASSPort forms:**
See Attachment C.

Transferring Data Between Rounds of an RFX: A new document titled “Transferring Data Between Rounds of an RFX” has been added to the Documents section of the View RFX tab. Please refer to this document when an addendum has been issued. Note: Whenever an addendum is issued, the RFX item grid will be cleared. You can import the work you have already done by following the steps on this document.

DDC strongly advises vendors to finalize and submit bids 48 hours prior to due date and time. The City is not responsible for technical issues (e.g. internet connection, power outages, technology malfunction, computer errors, etc.) related to bid submissions.

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Richard Jones, PE CWI
Executive Director, Specifications

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT A - BIDDERS QUESTIONS AND DDC RESPONSES

NOT USED

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT B – REVISIONS TO THE DOCUMENTS

NOT USED

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT C – REVISIONS TO PASSPORT FORMS

This Addendum initiates Round 5 of the procurement.

Please note that numbering of addenda is independent of rounds.

Bid Opening Date Changes:

The Bid Opening scheduled for July 31, 2023 at 2:30pm is rescheduled for August 14, 2023 at 2:30pm.

Pre-Bid Conference Date Changes:

The Pre-Bid Conference scheduled for July 17, 2023 at 10:00am is rescheduled for July 31, 2023 at 10:00am.

Questionnaire Changes:

None

Item Grid Changes:

None

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF PUBLIC BUILDINGS

July 28, 2023

ADDENDUM No. # 5

FOR FURNISHING ALL LABOR AND MATERIAL NECESSARY AND REQUIRED FOR:

85023B0067 – LQD122HO1

Hollis Library Interior Renovavtion

This addendum is issued for the purpose of amending the requirements of the Bid and Contract Documents and is hereby made a part of said Bid and Contract Documents to the same extent as though it were originally included therein.

The bidder is advised that the items listed below apply to the project:

- 1. Bidders Questions and Responses to Questions:**
See Attachment A.
- 2. Revisions to Documents:**
See Attachment B.
- 3. Revisions to PASSPort forms:**
See Attachment C.

Transferring Data Between Rounds of an RFX: A new document titled “Transferring Data Between Rounds of an RFX” has been added to the Documents section of the View RFX tab. Please refer to this document when an addendum has been issued. Note: Whenever an addendum is issued, the RFX item grid will be cleared. You can import the work you have already done by following the steps on this document.

DDC strongly advises vendors to finalize and submit bids 48 hours prior to due date and time. The City is not responsible for technical issues (e.g. internet connection, power outages, technology malfunction, computer errors, etc.) related to bid submissions.

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Richard Jones, PE CWI
Executive Director, Specifications

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT A - BIDDERS QUESTIONS AND DDC RESPONSES

No.	Bidders Questions	DDC Responses
1	Page 4 of 26 in the Addendum to the General Conditions indicates that section 015000 3.18 (A-C) Security Guards/Fire Guards on Site "Applies As Amended." Please clarify how article 015000 3.18 (A-C) of the General Conditions is amended to apply to this procurement.	Refer to page 5 of the Addendum to the General Conditions for this information.
2	Schedule A in Volume 3 indicates the subcontractor limit to be 60%. The 60% subcontractor limit is not sufficient for the different trades that are required to perform work on this contract. In order to meet the 30% MBE goals, we respectfully request that the subcontractor limits be increased to 90%.	The percentage set forth in Schedule A has been revised to 70%. Refer to Attachment B for further information.
3	Please confirm the correct pre-bid meeting time.	Pre-Bid Conference was held on July 31, 2023 at 10:00am.

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT B – REVISIONS TO THE DOCUMENTS

Schedule B- MWBE Utilization + Waiver Instructions: fixed broken links

DDC PASSPort Bid Information: updated information dated May 30, 2023.

Proprietary Items List: This document has been updated with additional items.

Revisions to Volume 2: Prevailing Wage Schedules have been updated for July 1, 2023 – June 30, 2024.

Revisions to Volume 3:

- Addendum to General Conditions:
 - o Schedule A: Article 17, Subcontracts, Not to exceed Percent of Contract Price has been revised to 70%
 - o Schedule B: additional manufacturer warranties listed
- Table of Contents: new sections added
- Specifications:
 - o Revised Specification Sections:
 - 230913
 - 230923
 - o New Specification Section:
 - 10 14 00 Signage
 - 27 05 36 Cable trays for Communications Systems
 - 27 05 44 Sleeves and Sleeve Seals for Communication Raceways and Cabling
 - 27 11 00 Communications Equipment Room Fittings
 - 27 11 16 Communications Racks, Frames and Enclosures
 - 27 13 23 Communications Optical Fiber Backbone Cabling
 - 27 15 13 Communications Copper Horizontal Cabling
 - 27 51 16 Public Address System
 - 27 51 17 Audio Frequency Induction Loop System
 - 28 00 10 Supplemental Requirements for Electronic Safety and Security
 - 28 15 00 Integrated Access Control Hardware Devices
 - 28 20 00 Video Surveillance
 - 28 31 00 Intrusion Detection

Revisions to the Bid Drawings:

- Revised Drawing Sheets:
 - o G-001.00 Drawing Index and Abbreviations
 - o G-002.00 General Information
- New Drawing Sheets:
 - o GR-100.00 General Notes, Sign Type & Quantity, Typography, Materials, & Color

- GR-101.00 Interior Renderings, Sign Location Plans, Family of Signs
- GR-102.00 Configuration Drawings
- GR-103.00 Configuration Drawings
- GR-104.00 Configuration Drawings
- GR-105.00 Configuration Drawings
- GR-106.00 Configuration Drawings, Nomenclature/Wayfinding Plan
- GR-107.00 Sign Message Schedule
- AV/IT/SE-00 General Notes, Abbreviations, & Electrical Symbols List
- AV-201.00 First Floor Audio Visual Systems Plan
- AV-601.00 Audio Visual/PA Systems Riser and Diagram
- SE-101.00 First Floor Security Plan
- SE-201.00 First Floor IPDVS Security Plan
- SE-501.00 Security Details – 1
- SE-502.00 Security Details – 2
- SE-601.00 IPDVS and Intrusion System Riser Diagram
- TT-101.00 First Floor Telecommunication System Plan
- TT-501.00 Telecommunications Riser Diagram and Details

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT C – REVISIONS TO PASSPORT FORMS

This Addendum initiates Round 6 of the procurement.

Please note that numbering of addenda is independent of rounds.

Questionnaire Changes:

None

Item Grid Changes:

None

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF PUBLIC BUILDINGS

August 10, 2023

ADDENDUM No. # 6

FOR FURNISHING ALL LABOR AND MATERIAL NECESSARY AND REQUIRED FOR:

85023B0067 – LQD122HO1

Hollis Library Interior Renovation

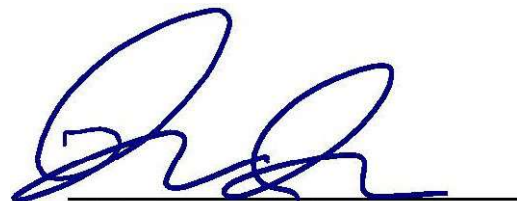
This addendum is issued for the purpose of amending the requirements of the Bid and Contract Documents and is hereby made a part of said Bid and Contract Documents to the same extent as though it were originally included therein.

The bidder is advised that the items listed below apply to the project:

1. **The Bid Opening for the contract described below scheduled for August 14, 2023, at 2:30 pm is rescheduled to August 30, 2023 at 2:30 pm.**
Contract #1 – General Construction Work
2. **Bidders Questions and Responses to Questions:**
See Attachment A (Not Used).
3. **Revisions to Documents:**
See Attachment B (Not Used).
4. **Revisions to PASSPort forms:**
See Attachment C.

DDC strongly advises vendors to finalize and submit bids 48 hours prior to due date and time. The City is not responsible for technical issues (e.g. internet connection, power outages, technology malfunction, computer errors, etc.) related to bid submissions.

If additional information is required, please contact the Department of Design and Construction, Contract Section at (718) 391-1041 or by email at CSB_projectinquiries@ddc.nyc.gov.



Richard Jones, PE CWI
Executive Director, Specifications

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT A - BIDDERS QUESTIONS AND DDC RESPONSES

NOT USED

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT B – REVISIONS TO THE DOCUMENTS

NOT USED

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT C – REVISIONS TO PASSPORT FORMS

This Addendum is included within Round 6 of the procurement.

Please note that numbering of addenda is independent of rounds.

Bid Opening Date Changes:

The Bid Opening scheduled for August 14, 2023 at 2:30pm is rescheduled for August 30, 2023 at 2:30pm.

Questionnaire Changes:

None

Item Grid Changes:

None

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF PUBLIC BUILDINGS

August 21, 2023

ADDENDUM No. # 7

FOR FURNISHING ALL LABOR AND MATERIAL NECESSARY AND REQUIRED FOR:

85023B0067 – LQD122HO1

Hollis Library Interior Renovation

This addendum is issued for the purpose of amending the requirements of the Bid and Contract Documents and is hereby made a part of said Bid and Contract Documents to the same extent as though it were originally included therein.

The bidder is advised that the items listed below apply to the project:

1. **Bidders Questions and Responses to Questions:**
See Attachment A.
2. **Revisions to Documents:**
See Attachment B.
3. **Revisions to PASSPort forms:**
See Attachment C.

Transferring Data Between Rounds of an RFX: A new document titled “Transferring Data Between Rounds of an RFX” has been added to the Documents section of the View RFX tab. Please refer to this document when an addendum has been issued. Note: Whenever an addendum is issued, the RFX item grid will be cleared. You can import the work you have already done by following the steps on this document.

DDC strongly advises vendors to finalize and submit bids 48 hours prior to due date and time. The City is not responsible for technical issues (e.g. internet connection, power outages, technology malfunction, computer errors, etc.) related to bid submissions.

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Richard Jones, PE CWI
Executive Director, Specifications

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT A - BIDDERS QUESTIONS AND DDC RESPONSES

No.	Bidders Questions	DDC Responses
1	Please provide building BMS/Direct Digital Control Vendor contact information if required.	There is no BMS system at Hollis. The system was controlled by thermostats and serviced by QPL's existing HVAC subcontractor, Kelair.
2	Please confirm that Contractors are responsible for backboxes, stub-ups and raceways as shown for all wall mounted devices (telecom, security & A/V), and that a full conduit system is not required.	Backboxes and empty conduits are also required for control devices and BMS low voltage wiring behind walls. Contractor is responsible for providing all backboxes, stub-ups and raceways for all the wall mounted devices as shown on the AV, TT, and SE drawings and as indicated in specification 260533.13, 260533.16, 260533.23 and 271513.
3	For ceiling mounted devices, we assume backboxes will be provided and installed with the corresponding system, especially the custom backboxes for cameras, WAPs, etc. Please confirm.	As indicated in the specifications, Contractor is responsible for providing the backboxes, a sole-source product. Contractor is also responsible for providing all mounting hardware required for a complete installation of the cameras as provided in specification section 282000. Further, as indicated on note#4 on drawing TT-501, Contractor is responsible for the "Installation of all field devices and equipment back-boxes."
4	For exterior camera stub-outs, we are providing standard 4" round WP boxes. Please confirm.	Confirmed. Contractor is responsible for providing 4" WP boxes by Axis as indicated in the specification section 282000, and to install as indicated in the exterior camera details on drawing SE-501.
5	For interior security cameras, we are providing wall stub-ups as shown on the TT series. The actual security schedule on SE-601 shows Ceiling mounts, however the security floor plans show wall mounted locations. Please confirm.	The camera schedule as shown on drawing SE-601 does not indicate the mounting type of the camera. Contractor must provide all cameras, locations, and mounting type as per the floor plan shown on drawing SE-201.
6	TT-401 Detail #5 indicates there are 4" conduit raceways, but drawings do not show pathways. Please advise.	In TT-401, Detail #2 shows the 4" conduits RCP layout in the server room.
7	Exterior walls show two layers of insulation at the exterior walls. Is the "mineral board insulation" new?	Mineral wool board insulation at exterior walls is new. See Type X on A-912 for typical exterior wall furring.
8	What brand is the Main Distribution Panel? It does not specify in the specifications.	The existing Main Distribution Panel is by Empire Switchboard Co, Inc.

9	Drawing P-101 is missing all the overhead vent piping. Please provide a drawing.	Revised Drawing P-103.00, issued with Addendum #5, indicates vent piping along with sizes (sanitary Riser Diagram, Plumbing Fixture Connection Schedule).
10	Since the new layout of the bathroom is different from the existing, please provide a drawing showing all the new underground sanitary piping for the bathroom. Drawing P-101 is very incomplete.	Revised Drawing P-101.00, issued with Addendum #5, shows underground sanitary piping along with different pipe sizes.
11	Please confirm that the break room sink will receive a point of use water heater as opposed to being fed from the new 10-gallon electric water heater.	Revised Drawing P-101.00, issued with Addendum #5, shows connection of 'Point of Use' Electric hot water heater (including Model number) to the Sink located at Staff Lounge.
12	Drawing P-103 shows all the floor drains being vented in the mechanical room, but that's not shown on drawing P-101. Please confirm which drawing is correct.	Both drawings are correct. Follow vent connection in Sanitary Riser Diagram as they are shown in P-103.00. Drawing P-101.00 doesn't show any connection to vent line.
13	Does the 10-gallon water heater require a drip pan along with drain piping? Where does the blow off from the T&P valve drain go to? Please advise.	Requirement & installation of Drain Pans, Drain Piping are described in specification section 223300 Article 2.3 C - Drain Pans and 3.2 D - Domestic Water Heater Installation.
14	The Addendum to the General Conditions states that Security Guards/ Fire Guards on Site Applies as Amended. The amendment states "In addition, any day that the Contractor and/or its subcontractors do not work on the site during the day, a security guard must be provided during the hours of 8:00 A.M. to 4:00 P.M." Please confirm that any day that the Contractor or its subcontractors do not work on the site, will require 24 hours of security guard service.	Confirmed.
15	Please refer to the Responsibility Matrix on drawing G-005. There are numerous items that indicate to be supplied/installed/power & data by GC, however, the notes state "NIC, TO BE ADDED AT A LATER DATE". Please clarify.	Revised Drawing G-005.00, issued with Addendum #5, removed this note.
16	Detail 3/A-711 indicates "VAPOR RETARDER / AIR BARRIER" on the masonry wall and "Vapor Retarder" on the mineral board insulation. Please confirm that we are required to install two layers of vapor retarder on the exterior walls.	Install fluid-applied membrane air barrier to masonry, and a vapor retarder over the mineral wool board insulation. See Type X on A-912 for typical exterior furring.

17	<p>Mechanical Demolition Note 2, A on drawing M-201 states: "ALL EXISTING WORK REQUIRED TO REMAIN BUT INTERFERING WITH PROPOSED NEW MECHANICAL (AS WELL AS ELECTRICAL AND GENERAL CONSTRUCTION WORK) SHALL BE RELOCATED AND RECONNECTED USING MATERIALS CONFORMING TO STANDARDS OF THIS CONTRACT." Please confirm that this note only applies to existing work that is indicated on the contract documents to be relocated.</p>	<p>This note refers to existing devices, hangers, kindorffs, conduits, etc. above the new hung ceiling that requires relocation as it interferes with new duct or pipe routes.</p>
18	<p>Electrical General Note 15 on drawing E-001 states: "ALL CORING, CHOPPING, CHASING OF CONCRETE AND WORK WHICH RESULTS IN NOISE SHALL BE ACCOMPLISHED BEFORE 8:00 A.M. OR AFTER 6:00 P.M., DURING NORMAL WORKING DAYS OR ON WEEKENDS..." If the library will be closed, will this be required?</p>	<p>No, it will not be required if the library is closed. Refer to updated Drawing E-001, included with this Addendum.</p>
19	<p>Electrical General No 26 on drawing E-001 states: "ELECTRICAL CONTRACTOR SHALL COORDINATE WITH QUEENS PUBLIC LIBRARY IT/COMMUNICATIONS CONTRACTOR AND INCLUDE IN BID ALL WORK ASSOCIATED WITH PULLING NEW DATA AND TELEPHONE CABLES TO EQUIPMENT LOCATIONS FROM NEW I.T. ROOM. ELECTRICAL CONTRACTOR TO PROVIDE EMPTY CONDUIT STUB-UPS AS REQUIRED TO ROUTE CABINETS CONCEALED IN WALLS OR FLOOR." Please clarify the scope of work with relation to the Responsibility Matrix provided on drawing G-005 and what should be included in this contract.</p>	<p>The Responsibility Matrix outlines the work and materials to be supplied and installed by the Contractor, including all TT wiring and conduit. Please refer to additional scope items and materials in the Responsibility Matrix on E-001.</p>
20	<p>Demolition Note 1 on drawing P-001 states: "THIS DRAWING SHALL BE USED IN CONJUNCTION WITH ALL CONTRACT DOCUMENTS. THE CONTRACTOR SHALL REMOVE AND/OR RELOCATE ALL EXISTING PLUMBING WORK WHICH INTERFERES WITH THE NEW ARCHITECTURAL LAYOUTS, ALL SYSTEMS WHICH ARE NO LONGER REQUIRED TO FUNCTION SHALL BE REMOVED BACK TO ACTIVE LINES." Please confirm that this note only applies to existing work that is indicated on the contract documents to be removed and/or relocated.</p>	<p>Confirmed. Demolition Note #1, drawing P-001 only applies to the existing work on the contract documents to be removed and/or relocated.</p>

21	The AV, SE, and TT drawings indicate "NOT IN CONTRACT FOR REFERENCE ONLY". Please clarify the scope of work with relation to the Responsibility Matrix provided on drawing G-005 and what should be included in this contract.	The AV, SE, and IT drawings are part of the Contract Documents per Addendum #5.
22	Please advise if the existing roof system is under warranty.	Yes, the existing roof is under warranty. See specification section 024119 Article 1.8.A.1.a.
23	Please advise - will there be an extension on this due date?	Bid opening date is set for August 30.
24	Addendum No. 5 was just received for the LQD122HO1 Hollis Library Interior Renovation (Medium GC PQL) project that contains major revisions to the security and telecommunications scope. I do not believe less than a week is sufficient time to obtain competitive pricing for this scope. Please consider extending the bid due date, thank you.	Bid opening date is set for August 30.
25	Due to the new signage package, as well as the revised AV/TT/SE system drawings & specifications that have been sent only 4 business days prior to the bid opening date, we respectfully request a bid extension to be able to review and price everything properly and accurately.	Bid opening date is set for August 30.
26	There are a number of new drawings and scope added for IT, Security & telecom work. Our electrical subs will not be able to price all these changes in the next 3 days. Can the bid date be extended?	Bid opening date is set for August 30.

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT B – REVISIONS TO THE DOCUMENTS

Revisions to the Drawings:

- Updated Drawing E-001

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT C – REVISIONS TO PASSPORT FORMS

This Addendum initiates Round 7 of the procurement.

Please note that numbering of addenda is independent of rounds.

Questionnaire Changes:

None

Item Grid Changes:

None

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF PUBLIC BUILDINGS

August 28, 2023

ADDENDUM No. # 8

FOR FURNISHING ALL LABOR AND MATERIAL NECESSARY AND REQUIRED FOR:

85023B0067 – LQD122HO1

Hollis Library Interior Renovation

This addendum is issued for the purpose of amending the requirements of the Bid and Contract Documents and is hereby made a part of said Bid and Contract Documents to the same extent as though it were originally included therein.

The bidder is advised that the items listed below apply to the project:

1. **The Bid Opening for the contract described below scheduled for August 30, 2023, at 2:30 pm is rescheduled to September 14, 2023, at 2:30 pm.**
Contract #1 – General Construction Work
2. **Bidders Questions and Responses to Questions:**
See Attachment A.
3. **Revisions to Documents:**
See Attachment B.
4. **Revisions to PASSPort forms:**
See Attachment C.

Transferring Data Between Rounds of an RFX: A new document titled “Transferring Data Between Rounds of an RFX” has been added to the Documents section of the View RFX tab. Please refer to this document when an addendum has been issued. Note: Whenever an addendum is issued, the RFX item grid will be cleared. You can import the work you have already done by following the steps on this document.

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If additional information is required, please contact the Department of Design and Construction, Contract Section at (718) 391-1041 or by email at CSB_projectinquiries@ddc.nyc.gov.

Richard Jones, PE CWI
Executive Director, Specifications

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT A - BIDDERS QUESTIONS AND DDC RESPONSES

No.	Bidders Questions	DDC Responses
1	Are there LEED requirements on this contract? If Yes, what are the requirements on the GC? And will DDC hire a LEED consultant to put all the paperwork together?	The project is required to achieve LEED Silver certification. Refer to Volume 3, Addendum to the General Conditions, as well as Volume 2, DDC General Conditions for LEED related contract requirements. DDC will retain a LEED Consultant; however, the Contractor will be required to coordinate with the LEED Consultant as needed. The Contractor is required to submit all LEED information with the product information for review as part of shop drawing submittal procedures.
2	Please confirm that Distech Controls and N4 Software furnished and installed by Control Technologies Inc. is an accepted installer/ manufacturer for the BMS scope of work.	Please refer to the latest specifications revision Addendum # 5 (spec sections 230913 and 230923) allowing only Honeywell WEBs-N4 ACI direct controls. Specific vendors may be submitted for approval after award.
3	Per FA-001 Fire Alarm Note 10, who was the previous Fire Alarm vendor for this building?	The fire alarm system at Hollis is an internal alarm system only. The existing vendor is ADT.
4	Please advise on the working hours and whether the library will be closed during construction.	The library will be closed during construction. The contractor can work regular work hours.
5	General conditions Volume 3, page 4, section 015000, sub section 3.18, indicates to provide "Security Guard/Fire Guards on site." Can you please clarify when this is required? If needed for the entire 540 CCD for 24 hours/day of the project, it would be a significant project cost to consider.	Contractor must provide security guards 24 hours a day, 7 days a week, except for when the Contractor is on site.

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT B – REVISIONS TO THE DOCUMENTS

Revisions to Volume 3:

- Addendum to the General Conditions, p.4: Section 018113.10 is revised to “Does Not Apply.”

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT C – REVISIONS TO PASSPORT FORMS

This Addendum initiates Round 8 of the procurement.

Please note that numbering of addenda is independent of rounds.

Bid Opening Date Changes:

The Bid Opening scheduled for August 30, 2023 at 2:30pm is rescheduled for September 14, 2023 at 2:30pm.

Questionnaire Changes:

None

Item Grid Changes:

None

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF PUBLIC BUILDINGS

September 11, 2023

ADDENDUM No. # 9

FOR FURNISHING ALL LABOR AND MATERIAL NECESSARY AND REQUIRED FOR:

85023B0067 – LQD122HO1

Hollis Library Interior Renovation

This addendum is issued for the purpose of amending the requirements of the Bid and Contract Documents and is hereby made a part of said Bid and Contract Documents to the same extent as though it were originally included therein.

The bidder is advised that the items listed below apply to the project:

1. **The Bid Opening for the contract described below scheduled for September 14, 2023, at 2:30 pm is rescheduled to September 21, 2023, at 2:30 pm.**
Contract #1 – General Construction Work
2. **Bidders Questions and Responses to Questions:**
See Attachment A. (Not Used)
3. **Revisions to Documents:**
See Attachment B. (Not Used)
4. **Revisions to PASSPort forms:**
See Attachment C.

Transferring Data Between Rounds of an RFX: A new document titled “Transferring Data Between Rounds of an RFX” has been added to the Documents section of the View RFX tab. Please refer to this document when an addendum has been issued. Note: Whenever an addendum is issued, the RFX item grid will be cleared. You can import the work you have already done by following the steps on this document.

DDC strongly advises vendors to finalize and submit bids 48 hours prior to due date and time. The City is not responsible for technical issues (e.g. internet connection, power outages, technology malfunction, computer errors, etc.) related to bid submissions.

If additional information is required, please contact the Department of Design and Construction, Contract Section at (718) 391-1041 or by email at CSB_projectinquiries@ddc.nyc.gov.

Richard Jones, PE CWI
Executive Director, Specifications

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT A - BIDDERS QUESTIONS AND DDC RESPONSES

NOT USED

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT B – REVISIONS TO THE DOCUMENTS

NOT USED

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT C – REVISIONS TO PASSPORT FORMS

This Addendum is included within Round 8 of the procurement.

Please note that numbering of addenda is independent of rounds.

Bid Opening Date Changes:

The Bid Opening scheduled for September 14, 2023 at 2:30pm is rescheduled for September 21, 2023 at 2:30pm.

Questionnaire Changes:

None

Item Grid Changes:

None

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF PUBLIC BUILDINGS

September 12, 2023

ADDENDUM No. # 10

FOR FURNISHING ALL LABOR AND MATERIAL NECESSARY AND REQUIRED FOR:

85023B0067 – LQD122HO1

Hollis Library Interior Renovation

This addendum is issued for the purpose of amending the requirements of the Bid and Contract Documents and is hereby made a part of said Bid and Contract Documents to the same extent as though it were originally included therein.

The bidder is advised that the items listed below apply to the project:

1. **Bidders Questions and Responses to Questions:**
See Attachment A.
2. **Revisions to Documents:**
See Attachment B.
3. **Revisions to PASSPort forms:**
See Attachment C.

Transferring Data Between Rounds of an RFX: A new document titled "Transferring Data Between Rounds of an RFX" has been added to the Documents section of the View RFX tab. Please refer to this document when an addendum has been issued. Note: Whenever an addendum is issued, the RFX item grid will be cleared. You can import the work you have already done by following the steps on this document.

DDC strongly advises vendors to finalize and submit bids 48 hours prior to due date and time. The City is not responsible for technical issues (e.g. internet connection, power outages, technology malfunction, computer errors, etc.) related to bid submissions.

If additional information is required, please contact the Department of Design and Construction, Contract Section at (718) 391-1041 or by email at CSB_projectinquiries@ddc.nyc.gov.

Richard Jones, PE CWI
Executive Director, Specifications

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT A - BIDDERS QUESTIONS AND DDC RESPONSES

No.	Bidders Questions	DDC Responses
1	The DDC response to question #9 indicates that revised drawing P-103.00 was issued with Addendum #5, however, a revised drawing was not provided. Please provide revised drawing P-103.00.	P-103 was inadvertently noted as "Revised," However, this Drawing sheet has not been revised.
2	The DDC response to questions #10 and #11 indicate that revised drawing P-101.00 was issued with Addendum #5, however, a revised drawing was not provided. Please provide revised drawing P-101.00	P-101 was inadvertently noted as "Revised," However, this Drawing sheet has not been revised.
3	The DDC response to question #15 indicates that revised drawing G-005.00 was issued with Addendum #5. While, we were able to locate revised drawing G-005.00, "Attachment B – Revisions To The Documents" provided with Addendum #5 did not list drawing G-005.00 as being revised. If revised drawings are issued and not mentioned in Attachment B, this creates confusion and contractors may not include the revisions in their bid. Addendum #5 appears to have also revised drawings A-103.00, E-201.00, and E-901.00, which were not listed on Attachment B. Please provide official notice that G-005.00, A-103.00, E-201.00, and E-901.00 have been revised.	The drawings noted as revised in Addendum #5 is correct. Refer to Attachment B for clarification.
4	Please confirm that the only revised/new drawings issued to date through Addendum #7 include: G-001.00, G-002.00, G-005.00, A-103.00, GR-100.00, GR-101.00, GR-102.00, GR-103.00, GR-104.00, GR-105.00, GR-106.00, GR-107.00, E-001.00, E-201.00, E-901.00, AV/IT/SE-00, AV-201.00, AV-601.00, SE-101.00, SE-201.00, SE-501.00, SE-502.00, SE-601.00, TT-101.00, and TT-501.00.	All noted here are included in Addendum #5, except for E-001 which is included in Addendum #7. Refer to Attachment B for clarification.
5	The DDC response to question #17 indicates that Mechanical Demolition Note 2 on drawing M-201 refers to existing devices, hangers, kindorffs, conduits, etc. above the new hung ceiling that requires relocation as it interferes with new duct or pipe routes. Please confirm that if the existing devices, etc. are not shown on the contract	EWA are for change order work arising from field conditions.

	documents, the allowance for Expanded Work can be used to pay for the relocation of such	
6	Please confirm that Addendum #5 has deleted "FOR REFERENCE DRAWINGS": AV-101.00, SE-401.00, TT-201.00, TT-401.00, and TT-502.00.	Yes, the drawings noted for reference have been deleted. All drawings that were noted for reference have been replaced by AV/IT/SE-00, AV-201.00, AV-601.00, SE-101.00, SE-201.00, SE-501.00, SE-502.00, SE-601.00, TT-101.00, and TT-501.00.
7	Bidder Question/DDC Response #6 on Addendum #7 refers to drawing TT-401.00 which appears to be deleted by Addendum #5. Please clarify.	For clarification, TT-401.00 was labeled "For Reference Only" and was deleted along with all AV, SE, & TT drawings that were included in the set issued on 3/31/2023. Question #6 from Addendum #7 refers to Detail #5 of TT-401; the detail is now Detail #6 on Drawing TT-501.00.

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT B – REVISIONS TO THE DOCUMENTS

Revised Bid Drawings:

See below for a list of all added and revised drawings from Addenda #5 and #7:

Added drawings:

GR-100.00	General Notes, Sign Type & Quantity, Typography, Materials, & Color
GR-101.00	Interior Renderings, Sign Location Plans, Family of Signs
GR-102.00	Configuration Drawings
GR-103.00	Configuration Drawings
GR-104.00	Configuration Drawings
GR-105.00	Configuration Drawings
GR-106.00	Configuration Drawings, Nomenclature/Wayfinding Plan
GR-107.00	Sign Message Schedule
AV/IT/SE-00	General Notes, Abbreviations, & Electrical Symbols List
AV-201.00	First Floor Audio Visual Systems Plan
AV-601.00	Audio Visual/PA Systems Riser and Diagram
SE-101.00	First Floor Security Plan
SE-201.00	First Floor IPDVS Security Plan
SE-501.00	Security Details – 1
SE-502.00	Security Details – 2
SE-601.00	IPDVS and Intrusion System Riser Diagram
TT-101.00	First Floor Telecommunication System Plan
TT-501.00	Telecommunications Riser Diagram and Details

Revised drawings:

G-001.00	Drawing Index and Abbreviations
G-002.00	General Information
G-005.00	Schedules
A-103.00	Reflected Ceiling Plan
E-001.00	Electrical Notes, Symbols, and Abbreviations
E-201.00	First Floor Electric Power Plan
E-901.00	Electric Schedules and Risers

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT C – REVISIONS TO PASSPORT FORMS

This Addendum is included within Round 8 of the procurement.

Please note that numbering of addenda is independent of rounds.

Questionnaire Changes:

None

Item Grid Changes:

None

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF PUBLIC BUILDINGS

September 19, 2023

ADDENDUM No. # 11

FOR FURNISHING ALL LABOR AND MATERIAL NECESSARY AND REQUIRED FOR:

85023B0067 – LQD122HO1

Hollis Library Interior Renovation

This addendum is issued for the purpose of amending the requirements of the Bid and Contract Documents and is hereby made a part of said Bid and Contract Documents to the same extent as though it were originally included therein.

The bidder is advised that the items listed below apply to the project:

- 1. The Bid Opening for the contract described below scheduled for September 21, 2023, at 2:30 pm is rescheduled to September 26, 2023, at 2:30 pm.**
Contract #1 – General Construction Work
- 2. Bidders Questions and Responses to Questions:**
See Attachment A.
- 3. Revisions to Documents:**
See Attachment B. (Not Used)
- 4. Revisions to PASSPort forms:**
See Attachment C.

Transferring Data Between Rounds of an RFX: A new document titled “Transferring Data Between Rounds of an RFX” has been added to the Documents section of the View RFX tab. Please refer to this document when an addendum has been issued. Note: Whenever an addendum is issued, the RFX item grid will be cleared. You can import the work you have already done by following the steps on this document.

DDC strongly advises vendors to finalize and submit bids 48 hours prior to due date and time. The City is not responsible for technical issues (e.g. internet connection, power outages, technology malfunction, computer errors, etc.) related to bid submissions.

If additional information is required, please contact the Department of Design and Construction, Contract Section at (718) 391-1041 or by email at CSB_projectinquiries@ddc.nyc.gov.

Richard Jones, PE CWI
Executive Director, Specifications

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT A - BIDDERS QUESTIONS AND DDC RESPONSES

No.	Bidders Questions	DDC Responses
1	<p>Please refer to detail 6/TT-501. Riser Note 1 indicates "POINT OF ENTRY, TWO (2) 4" CONDUITS FOR INCOMING SERVICE PROVIDE FROM NEAREST TELECOMMUNICATIONS MANHOLE TO IT ROOM." Please provide the location of the telecommunications manhole. Please clarify the extent of the scope required to install the two (2) 4" conduits from the manhole to the IT room. Will we have to excavate on the street and sidewalk in order to install the conduits? Please provide details or confirm that this work, if required, will be paid for through the expanded work allowance.</p>	<p>The telecom service at this location is an overhead service located on the corner of Hillside Ave and 202nd Street. Contractor must provide (1) 4" conduit from the telecom room to the exterior of the building terminating in a weatherhead on the southside of the building (202nd St.) for telecom service provider to run service wiring. This conduit must be run above the ceiling. Contractor is to coordinate exact point of entry with Verizon prior to installation of the telecom service conduit. No excavation is required.</p>
2	<p>Please clarify the response to Addendum #8, question 5 with respect to the commencement of security guard services. Section 01 50 00, paragraph 3.18, A, 1. states "The Contractor must provide a competent security guard service on the Site, beginning on the date on which the Contractor commences actual construction Work, or on such earlier date on which there is activity at the Site related to the Work, including without limitation, delivery of materials or construction set-up." Please confirm that security guard services will be required starting when the contractor mobilizes and not at NTP date.</p>	<p>Security guard services will commence on the date that Queens Public Library and DDC turnover the building and the keys to the Contractor, and the Contractor takes possession of the site.</p>

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT B – REVISIONS TO THE DOCUMENTS

NOT USED

DDC PROJECT #: LQD122HO1

PROJECT NAME: Hollis Library Interior Renovation

ATTACHMENT C – REVISIONS TO PASSPORT FORMS

This Addendum is included within Round 8 of the procurement.

Please note that numbering of addenda is independent of rounds.

Bid Opening Date Changes:

The Bid Opening scheduled for September 21, 2023 at 2:30pm is rescheduled for September 26, 2023 at 2:30pm.

Questionnaire Changes:

None

Item Grid Changes:

None



THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF PUBLIC BUILDINGS

ADDENDUM TO THE GENERAL CONDITIONS
FOR SINGLE CONTRACT PROJECTS

The General Conditions are hereby amended in accordance
with the terms and conditions set forth in this Addendum.

I. PROJECT DESCRIPTION

FMS #: **LQD122HO1**
PROJECT NAME: **Hollis Library Interior Renovation**

PROJECT DESCRIPTION: This project consists of a complete interior renovation of the existing library including new partitions, restrooms, ceilings, and finishes. The HVAC, plumbing, and electrical systems will be replaced. The exterior doors and windows will be replaced, and the exterior walls will be insulated. At the roof, new mechanical equipment will be installed on new steel dunnage and screened by a louvered visual barrier.

PROJECT LOCATION: **202-05 Hillside Avenue**
BOROUGH: **Queens**
CITY OF NEW YORK
ZIP CODE: **11423**
COMMUNITY BOARD #: **Queens 8**

LANDMARK STATUS:

DESIGNATED LANDMARK STRUCTURE OR SITE: **NO**
If this is a Designated Landmark Structure or Site, Section 01 3591, Historic Treatment Procedures applies to this project.
LANDMARK QUALITY STRUCTURE: **NO**
If this is a Landmark Quality Structure, Section 01 3591, Historic Treatment Procedures applies to this project.

II. LEED GREEN BUILDING REQUIREMENTS

This project must achieve a **Silver** LEED Green Building Rating. A certain number of credits are required for this rating and are detailed in the Addendum.

Sections 01 7419 Sub-Section 1.5(C) Waste Management Performance Requirements / LEED Certification, 01 8113.03 Sustainable Design Requirements for LEED v3 Buildings, 01 8113.13 VOC Limits for Adhesives, Sealants, Paints and Coatings for LEED v3 Buildings, and 01 8119 Indoor Air Quality Requirements for LEED Buildings of the DDC Standard General Conditions shall apply to this project.

III. COMMISSIONING REQUIREMENTS

This project includes MEP Commissioning Requirements.

The General Commissioning Requirements for MEP Systems are found in Section 01 9113 of the DDC Standard General Conditions.

Other specific Commissioning Requirements can be found in the Project Specification Sections.

IV. PROJECT MANAGEMENT

- DDC shall publicly bid and enter into all contracts for the Project. DDC shall manage the Project using its own personnel.
- DDC shall publicly bid and enter into all contracts for the Project. A Construction Management firm (the "CM") hired by DDC shall manage the Project. The Contractor is advised that the CM shall serve as the representative of the Commissioner at the site and shall, subject to review by the Commissioner, be responsible for the inspection, management, coordination and administration of the required construction work, as delineated in the article of the Standard Construction Contract entitled "The Resident Engineer".

V. CONTRACTS FOR THE PROJECT

The Project consists of a single contract, the Contract for General Construction Work. The Contractor for General Construction Work is responsible for the performance of all required work for the Project as set forth in the Contract Documents (General Conditions, Drawings and Specifications), including all responsibilities and obligations assigned to separate Contractors for the following subdivisions of the work: Plumbing Work, HVAC Work, and Electrical Work. All responsibilities and obligations in the Contract Documents assigned to separate Contractors for such subdivisions of the work are the responsibility of the Contractor for General Construction Work.

VI. SCHEDULES

The Contractor is advised that Schedules A through E are attached to, and incorporated as part of, this Addendum to the General Conditions. These schedules contain important information that is specific to this Project. The Contractor is advised to carefully review these schedules.

VII. APPLICABILITY OF SECTIONS/SUB-SECTIONS AND AMENDED SUB-SECTIONS

The Contractor is advised that various Sections/Sub-Sections in the General Conditions may not apply to this Project or may apply as amended. Such Sections/Sub-Sections advise the Contractor to "Refer to the Addendum for the applicability of this Section/Sub-Section." Such Sections/Sub-Sections are set forth below. A check mark indicates whether the Section/Sub-Section (1) applies to the Project, (2) does not apply to the Project, or (3) applies to the Project as amended. If no box is checked, the Section/Sub-Section, as set forth in the General Conditions, applies to the Project. Amended Sections/Sub-Sections, if any, are set forth following this list of Sections.

<u>Section</u>	<u>Sub-Section</u>	<u>Sub-Section</u>	<u>Applies</u>	<u>Does not Apply</u>	<u>Applies as Amended</u>
01 1000	1.4 (B)	Scope and Intent / LEED	X		
	1.4(C)	Scope and Intent / Commissioning	X		
01 22 00		Expanded Work Allowance	X		
01 3216.10		Project Schedules (Method A)		X	
01 3216.20		Project Schedules (Method B)	X		
01 3216.30		Project Schedules (Method C)		X	
	1.7 Q	Cost Loaded Schedule		X	
01 3233		Photographic Documentation	X		
01 3300	1.7 (A-D)	LEED Submittals	X		
01 3503		General Mechanical Requirements	X		
01 3506	3.2 (A-B)	Electrical Conduit System Including Boxes (Pull, Junction and Outlet)	X		
	3.3 (A-E)	Electrical Wiring Devices	X		
	3.4 (A-I)	Electrical Conductors and Terminations	X		
	3.5 (A-B)	Circuit Protective Devices	X		
	3.6 (A-J)	Distribution Centers	X		
	3.7 (A-I)	Motors	X		
	3.8 (A-I)	Motor Control Equipment	X		
01 3591		Historic Treatment Procedures		X	
01 5000	3.2 (A)	Temporary Water Facilities / Temporary Water		X	
	3.2 (B)	Temporary Water Facilities / Temporary Water – Work in Existing Facilities	X		
	3.3 (B)	Temporary Sanitary Facilities / Self-Contained Toilet Units	X		
	3.3 (C)	Temporary Sanitary Facilities / Existing Toilets		X	
	3.4 (B) 1	Temporary Power, Lighting, and Site Lighting / Connection to Utility Lines		X	
	3.4 (B) 2	Temporary Power, Lighting, and Site Lighting / Connection to Existing Electrical Power Service	X		
	3.4 (B) 3	Temporary Power, Lighting, and Site Lighting / Electrical Generator Power Service		X	
	3.4 (D)	Temporary Power, Lighting, and Site Lighting / Temporary Lighting		X	
	3.4 (E)	Temporary Power, Lighting, and Site Lighting / Site Security Lighting (for New Construction Only)		X	
	3.5 (A-J)	Temporary Heat	X		
	3.8 (A)	DDC Field Office / Office Space in Existing Building		X	

<u>Section</u>	<u>Sub-Section</u>	<u>Sub-Section</u>	<u>Applies</u>	<u>Does not Apply</u>	<u>Applies as Amended</u>
01 5000	3.8 (B)	DDC Field Office / DDC Field Office Trailer	X		
	3.8 (B-3a)	DDC Field Office / DDC Managed Field Office Trailer	X		
	3.8 (B-3b)	DDC Field Office / CM Managed Field Office Trailer		X	
	3.8 (D)	DDC Field Office / Additional Equipment for the DDC Field Office	X		
	3.13(A-D)	Work Fence Enclosure	X		
	3.17(B)	Project Rendering		X	
	3.18 (A-C)	Security Guards / Fire Guards on Site			X
01 5411	3.1 (A-J)	Temporary Use, Operation and Maintenance of Elevators During Construction for New Buildings Up To and Including 15 Stories		X	
	3.2 (A-M)	Temporary Use, Operation and Maintenance of Elevators During Construction for New Buildings Over 15 Stories		X	
	3.3 (A-E)	Temporary Use, Operation and Maintenance of Elevators During Construction for Existing Buildings		X	
01 7300	3.3 (A-I)	Surveys		X	
	3.4 (A-B)	Borings		X	
	3.12 (A-D)	Sleeves and Hangers	X		
	3.13 (A)	Sleeve and Penetration Drawings	X		
	3.15 (A)	Location of Partitions	X		
01 7419	1.5 (C)	Waste Management Performance Requirements / LEED Certification	X		
01 7900		Demonstration and Owner's Pre-Acceptance Orientation	X		
01 8113.03		Sustainable Design Requirements for LEED v3 Buildings	X		
01 8113.04		Sustainable Design Requirements for LEED v4 Buildings		X	
01 81 13.10		Environmentally Preferable Purchasing (EPP) Compliance	X		
01 8113.13		VOC Limits for Adhesives, Sealants, Paints and Coatings for LEED v3 Buildings	X		
01 8119		Indoor Air Quality Requirements for LEED Buildings	X		
01 9113		General Commissioning Requirements for MEP Systems	X		
01 9115		General Commissioning Requirements for Building Enclosure		X	

AMENDED SECTIONS/SUB-SECTIONS

The Contractor is advised that the amended Sub-Sections set forth below are included in the General Conditions and apply to the Project.

01 5000/3.18(A-C) Security Guards on Site

Include the following text in 3.18A1:

In addition, any day that the Contractor and/or its subcontractors do not work on the site during the day, a security guard must be provided during the hours of 8:00 A.M. to 4:00 P.M.

VIII. SPECIAL EXPERIENCE REQUIREMENTS FOR THE PROJECT

Refer to the PASSPort Questionnaire for Special Experience Requirements.

IX. REVISIONS: SPECIFICATIONS AND CONTRACT DRAWINGS

The Specifications and the Contract Drawings for the Project are revised in accordance with the provisions set forth below.

- (1) Owner: Wherever the term "Owner" is used in the Specifications and/or the Contract Drawings, such term shall mean the City of New York.
- (2) Other Entities: In the event any entity other than the City of New York is referred to or named as the "Owner" in the Specifications and/or the Contract Drawings, the name of such other entity is deemed deleted and replaced with the "City of New York".
- (3) Architect / Engineer: Wherever the words "Architect", "Engineer", "Architect / Engineer" or "Architect and/or Engineer" are used in the Specifications and/or the Contract Drawings, such words are deemed deleted and replaced with the word "Commissioner".
- (4) Products / Manufacturers: Wherever the Specifications and/or the Contract Drawings require the Contractor to provide a particular product (i.e., material and/or equipment) from a designated manufacturer and/or vendor, the term "or approved equal" is deemed inserted, even if only one product and/or manufacturer is specified, except as otherwise provided below.
 - (a) Proprietary Items: If the Documents section in PASSPort contains a Notice which identifies a particular product from a designated manufacturer as a "Sole Source Product, the Contractor shall be required to provide such specified product. In such case, no substitution or "approved equal" will be permitted.
- (5) Special Experience Requirements: Special Experience Requirements for the Project, if any, are set forth in the PASSPort Questionnaire. Special Experience Requirements may apply to Contractors, subcontractors, installers, fabricators, applicators, erectors, specialists, manufacturers and/or suppliers. Refer to DDC General Conditions Section 014000 Article 1.7.C for applicable Special Experience qualification levels. If the Specifications and/or the Contract Drawings contain any Special Experience Requirement that is not set forth in the PASSPort Questionnaire, such Special Experience Requirement is deemed deleted, except as otherwise provided below.
 - (a) Any Special Experience Requirement that provides that the entity performing the work or supplying the material must have more than three (3) years of experience, is revised to provide that the entity performing the work or supplying the material must have three (3) years of experience as noted in DDC General Conditions Section 014000 Quality Requirements, Article 1.7.B, except as described in paragraph (b) below.
 - (b) Any Special Experience Requirement that pertains to the abatement of hazardous materials must not be subject to the deletion and/or revision set forth above. Such Special Experience Requirement will remain in full force and effect.
 - (c) Any Special Experience Requirement that provides that the individual workers performing the work must be licensed, authorized, certified, approved by or acceptable to the manufacturer, is deemed deleted and replaced with the requirement that such individual workers must be properly trained for the specified work.
- (6) Alternate Bids: If the agency is requesting the submission of Alternate Bids, a Notice regarding such Alternate Bids is set forth in the Documents section in PASSPort. In the event of any conflict or inconsistency between (1) the Notice regarding Alternate Bids set forth in the Documents section in PASSPort and (2) a provision in the Specifications and/or the Contract Drawings regarding Alternate Bids, the Notice set forth in the Documents section in shall prevail. If the agency is not requesting the submission of Alternate Bids, as indicated by the absence of a Notice in the Documents section in PASSPort, and the Specifications and/or the Contract Drawings contain any provision regarding Alternate Bids, such provision is deemed deleted.
- (7) Contractor Retained Engineer: If the Specifications and/or the Contract Drawings require the Contractor to retain an Engineer to provide engineering services for the Project, the following sentence is deemed inserted: "Such Engineer must be a Professional Engineer, licensed in the State of New York."
- (8) LEED Related Provisions: If the Specifications and/or the Contract Drawings require the Contractor to purchase FSC certified wood, rapidly renewable materials, or materials within 500 miles (LEED v3) or 100 miles (LEED v4), such provisions are deemed deleted and replaced with the requirement that if the Contractor has purchased

FSC certified wood, rapidly renewable materials, or materials within 500 miles (LEED v3) or 100 miles (LEED v4), the Contractor shall submit such forms or documentation as may be required by the City in order for the USGBC to certify that the Project qualifies for the related LEED credit(s).

- (9) Guarantees: Requirements for Guarantees and Maintenance are set forth in Schedule B, which is included in the Addendum to the General Conditions. In the event of any conflict or inconsistency between (1) a guarantee and/or maintenance requirement set forth in the Specifications and/or the Contract Drawings and (2) a guarantee and/or maintenance requirement set forth in Schedule B, the guarantee and/or maintenance requirement set forth in Schedule B shall prevail.
- (10) Warranties: Requirements for Warranties are set forth in Schedule B, which is included in the Addendum to the General Conditions.
- (a) The term “manufacturer’s warranty” as described in this article encompasses the following terms as indicated in the Specifications: “Manufacturer’s Warranty”, “Manufacturer’s Special Warranty”, “Special Warranty”, “Special Finish Warranty”, “Manufacturer’s Special Warranty for a (product, assembly).”
 - (b) In the event of any conflict or inconsistency between (1) a warranty requirement set forth in the Specifications and/or the Contract Drawings and (2) a warranty requirement set forth in Schedule B, the warranty requirement set forth in Schedule B shall prevail.
 - (c) In the event a warranty requirement set forth in the Specifications and/or the Contract Drawings is omitted from Schedule B, such omission from Schedule B shall have no effect and the Contractor’s obligation to provide the manufacturer’s warranty, as set forth in the Specifications and/or the Contract Drawings, shall remain in full force and effect.
 - (d) In the event a warranty requirement for a particular item of material or equipment is omitted from Schedule B, as well as from the Specifications or the Contract Drawings, and the manufacturer of such item actually provides a warranty, the Contractor shall be obligated to obtain and deliver to the Commissioner the highest level of warranty actually provided by that manufacturer.
- (11) Exculpatory Provisions: In the event the Specifications and/or the Contract Drawings contain any provision whereby the consultant and/or any of its officers, employees or agents, including subconsultants, is absolved of responsibility for any act or omission, such provision is deemed deleted.
- (12) Insurance: Provisions regarding insurance coverage the Contractor is required to provide are set forth in Article 22 of the City of New York Standard Construction Contract and Schedule A, which is included in the Addendum to the General Conditions. In the event the Specifications and/or the Contract Drawings contain any provision regarding insurance requirements, such provision is deemed deleted.
- (13) Indemnification: Provisions regarding indemnification are set forth in Articles 7, 12, 22 and 57 of the City of New York Standard Construction Contract. In the event the Specifications and/or the Contract Drawings contain any provision regarding indemnification, such provision is deemed deleted.
- (14) Dispute Resolution: Provisions regarding dispute resolution are set forth in Article 27 of the City of New York Standard Construction Contract. In the event the Specifications and/or the Contract Drawings contain any provision regarding dispute resolution, such provision is deemed deleted.
- (15) Payment to Other Entities: In the event the Specifications and/or the Contract Drawings contain any provision which requires the Contractor to make payments to an entity other than a subcontractor and/or supplier providing services and/or material for the project, such provision is deemed deleted.
- (16) General Conditions: In the event of any conflict or inconsistency between (1) the Specifications and/or the Contract Drawings and (2) the General Conditions, the General Conditions shall prevail.
- (17) Standard Construction Contract: In the event of any conflict or inconsistency between (1) the Specifications and/or the Contract Drawings and (2) the City of New York Standard Construction Contract, the City of New York Standard Construction Contract shall prevail.
- (18) Shall: Wherever the word “shall” is used in the Specifications and/or the Contract Drawings with respect to the Contractor’s or Subcontractor’s responsibilities or Project Requirements, the term is intended to convey a contractual mandate, such as the terms “must,” “will,” or “be obliged to” (and not “may”).

SCHEDULE A (FOR PUBLICLY BID PROJECTS)
PART I - Contract Requirements

Various Articles of the Contract refer to requirements which are set forth in Schedule A of the General Conditions. The Schedule set forth below specifies the following: (1) the referenced Articles of the Contract, and (2) the specific requirements applicable to the contract.

REFERENCE	ITEM	REQUIREMENTS	CONTRACT #1
Information For Bidders	Bid Security	The Contractor must obtain a bid security in the amount indicated to the right.	Required provided the TOTAL BID PRICE set forth on the Bid Form is \$1,000,000. or more. Certified Check: 2% of Bid Amount or Bond: 10% of Bid Amount
Information For Bidders	Performance and Payment Bonds		For Contracts in the amount of \$1,000,000.00 or more, Performance and Payment Bonds must each be in amount equal to 100% of the Contract Price.
Information For Bidders	Department of Design and Construction Safety Requirements	The Contractor must provide the safety personnel as indicated to the right	<input checked="" type="checkbox"/> Project Safety Representative <input type="checkbox"/> Dedicated, full-time Project Safety Representative
Article 14 Contract	Time of Substantial Completion	Consecutive Calendar Days	540
Article 15 Contract	Liquidated Damages	For each consecutive calendar day over completion time	\$600
Article 17 Contract	Sub-Contracts	Not to exceed Percent of Contract Price	70%
Article 21 Contract	Retainage	Percent of Voucher	If 100% bonds are required 5% If 100% bonds are not required, and Contract Price is \$1,000,000 or less 5% If 100% bonds are not required, and Contract Price is more than \$1,000,000 10%
Article 24 Contract	Deposit Guarantee	Percent of Contract Price	1%
Article 24 Contract	Period of Guarantee		See Schedule B of the Addendum to the General Conditions
Article 75 Contract	Compensation to be Paid to Contractor		Amount for which the Contract was Awarded: _____ Dollars (\$ _____)
Article 79 Contract	MWBE Program		See M/WBE Utilization Plan in the PASSPort Procurement M/WBE Considerations Section.

SCHEDULE A (FOR PUBLICLY BID PROJECTS)

Relating to Article 22 - Insurance

PART II. Types of Insurance, Minimum Limits and Special Conditions

Note: All certificate(s) of insurance submitted pursuant to Contract Article 22.3. 3 must be accompanied by a Certification by Broker consistent with Part III below and include the following information:

- For each insurance policy, the name and NAIC number of issuing company, number of policy, and effective dates;
- Policy limits consistent with the requirements listed below;
- Additional insureds or loss payees consistent with the requirements listed below; and
- The number assigned to the Contract by the City (in the “Description of Operations” field).

Insurance indicated by a blackened box (■) or by (X) in the to left will be required under this contract.

Types of Insurance (per Article 22 in its entirety, including listed paragraph)	Minimum Limits and Special Conditions
<p>■ Commercial General Liability Art. 22.1.1</p>	<p>This Contract requires Commercial General Liability Insurance (CGL) that is at least as broad as ISO Form CG 00 01 (see Section 22.1.1 of the New York City Standard Construction Contract).</p> <p>The minimum limits shall be <u>\$1,000,000.00</u> per occurrence and <u>\$2,000,000.00</u> per project aggregate applicable to this Contract unless the Work requires a permit from the Department of Buildings and greater limits of Commercial General Liability Insurances are required pursuant to 1 RCNY section 101-08.</p> <p>Additional Insureds:</p> <ol style="list-style-type: none"> 1. City of New York, including its officials and employees, with coverage at least as broad as ISO Forms CG 20 10 and CG 20 37, and 2. All person(s) or organization(s), if any, that Article 22.1.1(b) of the Contract requires to be named as Additional Insured(s), with coverage at least as broad as ISO Form CG 20 26. The Additional Insured endorsement shall either specify the entity's name, if known, or the entity's title (e.g., Project Manager). 3. The Queens Borough Library and the Library Board of Trustees

SCHEDULE A (FOR PUBLICLY BID PROJECTS)

Relating to Article 22 - Insurance

PART II. Types of Insurance, Minimum Limits and Special Conditions

Insurance indicated by a blackened box (■) or by (X) in the to left will be required under this contract.

Types of Insurance (per Article 22 in its entirety, including listed paragraph)	Minimum Limits and Special Conditions
<ul style="list-style-type: none"> ■ Workers' Compensation Art. 22.1.2 ■ Disability Benefits Insurance Art. 22.1.2 ■ Employers' Liability Art. 22.1.2 <input type="checkbox"/> Jones Act Art. 22.1.3 <input type="checkbox"/> U.S. Longshoremen's and Harbor Workers Compensation Act Art. 22.1.3 	<p>Workers' Compensation, Employers' Liability, and Disability Benefits Insurance: Statutory per New York State law without regard to jurisdiction.</p> <p>Note: The following forms are acceptable: (1) New York State Workers' Compensation Board Form No. C-105.2, (2) State Insurance Fund Form No. U-26.3, (3) New York State Workers' Compensation Board Form No. DB-120.1 and (3) Request for WC/DB Exemption Form No. CE-200. The City will not accept an ACORD form as proof of Workers' Compensation or Disability Insurance.</p> <p>Jones Act and U.S. Longshoremen's and Harbor Workers' Compensation Act: Statutory per U.S. law.</p>
<ul style="list-style-type: none"> ■ Builders' Risk Art. 22.1.4 	<p>100 % of total value of Work</p> <p>Contractor the Named Insured; the City both an Additional Insured and one of the loss payees as its interests may appear.</p> <p>If the Work does not involve construction of a new building or gut renovation work, the Contractor may provide an installation floater in lieu of Builders Risk insurance.</p> <p>Note: Builders Risk Insurance may terminate upon Substantial Completion of the Work in its entirety.</p>
<ul style="list-style-type: none"> ■ Commercial Auto Liability Art. 22.1.5 	<p>\$1,000,000.00 per accident combined single limit</p> <p>If vehicles are used for transporting hazardous materials, the Contractor shall provide pollution liability broadened coverage for covered vehicles (endorsement CA 99 48) as well as proof of MCS 90</p>

SCHEDULE A (FOR PUBLICLY BID PROJECTS)

Relating to Article 22 - Insurance

PART II. Types of Insurance, Minimum Limits and Special Conditions

Insurance indicated by a blackened box (■) or by (X) in the to left will be required under this contract.

Types of Insurance (per Article 22 in its entirety, including listed paragraph)	Minimum Limits and Special Conditions
<input type="checkbox"/> Contractor's Pollution Liability Art. 22.1.6	\$ _____ per occurrence \$ _____ aggregate Additional Insureds: 1. City of New York, including its officials and employees, and 2. _____ 3. _____
<input type="checkbox"/> Marine Protection and Indemnity Art. 22.1.7(a)	\$ _____ per occurrence \$ _____ aggregate Additional Insureds: 1. City of New York, including its officials and employees, and 2. _____ 3. _____
<input type="checkbox"/> Hull and Machinery Insurance Art. 22.1.7(b)	\$ _____ per occurrence \$ _____ aggregate Additional Insureds: 1. City of New York, including its officials and employees, and 2. _____ 3. _____
<input type="checkbox"/> Marine Pollution Liability Art. 22.1.7(c)	\$ _____ each occurrence Additional Insureds: 1. City of New York, including its officials and employees, and 2. _____ 3. _____
[OTHER] Art. 22.1.8 <input type="checkbox"/> Ship Repairers Legal Liability	\$ _____ each occurrence

SCHEDULE A (FOR PUBLICLY BID PROJECTS)

Relating to Article 22 - Insurance

PART II. Types of Insurance, Minimum Limits and Special Conditions (Continued)

Insurance indicated by a blackened box (■) or by (X) in the to left will be required under this contract.

Types of Insurance (per Article 22 in its entirety, including listed paragraph)	Minimum Limits and Special Conditions
<p>[OTHER] Art. 22.1.8</p> <p><input type="checkbox"/> Collision Liability/Towers Liability</p>	<p>\$ _____ per occurrence</p> <p>\$ _____ aggregate</p> <p>Additional Insureds: 1. City of New York, including its officials and employees, and 2. _____ 3. _____</p>
<p>[OTHER] Art. 22.1.8</p> <p><input type="checkbox"/> Railroad Protective Liability _____</p>	<p>\$ _____ per occurrence</p> <p>\$ _____ aggregate</p> <p>Additional Insureds: 1. City of New York, including its officials and employees, and 2. _____ 3. _____</p>
<p>[OTHER] Art. 22.1.8</p> <p>■ Asbestos Liability _____</p>	<p>Only required of the Contractor or Subcontractor performing any required asbestos removal.</p> <p>\$1,000,000 each occurrence, \$2,000,000 aggregate (Combined Single Limit); only required of the Contractor or Subcontractor performing any required asbestos removal.</p> <p>Additional Insureds: 1. City of New York, including its officials and employees, and 2. The Queens Borough Library and the Library Board of Trustees</p>

SCHEDULE A (FOR PUBLICLY BID PROJECTS)

Relating to Article 22 - Insurance

PART II. Types of Insurance, Minimum Limits and Special Conditions (Continued)

Insurance indicated by a blackened box (■) or by (X) in the to left will be required under this contract.

<p>[OTHER] Art. 22.1.8</p> <p>■ Boiler Insurance _____</p>	<p>\$200,000</p>
<p>[OTHER] Art. 22.1.8</p> <p>■ Professional Liability</p> <p>In the event any section of the Specifications requires the Contractor to engage a Professional Engineer to provide design and/or engineering services, the Engineer engaged by the Contractor, as well as any sub consultant(s) performing professional services, shall provide Professional Liability Insurance.</p>	<p>\$1,000,000 per occurrence</p> <p>The Contractor's Professional Engineer shall maintain and submit evidence of Professional Liability Insurance in the minimum amount of \$1,000,000 per claim. The policy or policies shall include an endorsement to cover the liability assumed by the Contractor under this Agreement arising out of the negligent performance of professional services or caused by an error, omission or negligent act of the Contractor's Professional Engineer or anyone employed by the Contractor's Professional Engineer.</p> <p>Claims-made policies will be accepted for Professional Liability Insurance. All such policies shall have an extended reporting period option or automatic coverage of not less than two (2) years. If available as an option, the Contractor's Professional Engineer shall purchase extended reporting period coverage effective on cancellation or termination of such insurance unless a new policy is secured with a retroactive date, including at least the last policy year.</p>
<p>OTHER] Art. 22.1.8</p> <p><input type="checkbox"/> Umbrella/Excess Liability Insurance</p> <p>The Contractor shall provide Umbrella/Excess Liability Insurance in the minimum amounts shown to the right. The policy terms and condition should be at least as broad as the underlying policies. The underlying policies should comply with the insurance provision as outlined by the contract. Defense cost should be in addition to the limit of liability. The City of New York, including its officials and employees, should be included as additional insured as respects to the noted project.</p>	<p>\$10,000,000 per Occurrence and \$10,000,000 in Aggregate</p>

SCHEDULE A (FOR PUBLICLY BID PROJECTS)

Relating to Article 22 - Insurance

PART III. Certificates of Insurance

All certificates of insurance (except certificates of insurance solely evidencing Workers' Compensation Insurance, Employer's Liability Insurance, and/or Disability Benefits Insurance) must be accompanied by one of the following:

- (1) the Certification by Insurance Broker or Agent on the following page setting forth the required information and signatures;

-- OR --

- (2) copies of all policies as certified by an authorized representative of the issuing insurance carrier that are referenced in such certificate of insurance. If any policy is not available at the time of submission, certified binders may be submitted until such time as the policy is available, at which time a certified copy of the policy shall be submitted.

SCHEDULE A (FOR PUBLICLY BID PROJECTS)

Relating to Article 22 - Insurance

PART III. Certification by Insurance Broker or Agent

The undersigned insurance broker or agent represents to the City of New York that the attached Certificate of Insurance is accurate in all material respects.

[Name of broker or agent (typewritten)]

[Address of broker or agent (typewritten)]

[Email address of broker or agent (typewritten)]

[Phone number/Fax number of broker or agent (typewritten)]

[Signature of authorized official or broker or agent]

[Name and title of authorized official, broker or agent (typewritten)]

State of)
) ss:
County of)

Sworn to before me this
____ day of _____, 20__

NOTARY PUBLIC FOR THE STATE OF _____

SCHEDULE A (FOR PUBLICLY BID PROJECTS)

Relating to Article 22 - Insurance

PART IV. Address of Commissioner

Wherever reference is made in Article 7 or Article 22 to documents to be sent to the **Commissioner** (e.g., notices, filings, or submissions), such documents must be sent via email to insurance@ddc.nyc.gov. Hard copies of such documents will no longer be required or accepted.

SCHEDULE B

Guarantees and Warranties

(Reference: Section 01 7839, Article 2.7 of the DDC Standard General Conditions)

GUARANTY FROM CONTRACTOR

(1) Contractor’s Guaranty Obligation: The Contractor shall promptly repair, replace, restore or rebuild, as the Commissioner may determine, any finished Work in which defects of materials or workmanship may appear or to which damage may occur because of such defects, during the one (1) year period subsequent to the date of Substantial Completion (or use and occupancy in accordance with the Contract), except for the areas of Work set forth below:

- Roofing, Waterproofing, and Joint Sealant Work. For these types of work, the guarantee period shall be (2) two years.
- Trees and/or Plant Material. For trees and/or plant material furnished and installed, the guarantee period shall be (2) two years. During the guarantee period, the Contractor shall provide all maintenance services set forth in the Specifications.

(2) Guaranty Period: The obligation of the Contractor, and its Surety under the Performance Bond, is limited to the period(s) of time specified above.

(3) Other Provisions Deemed Deleted: In the event the Specifications and/or the Contract Drawings contain any provisions regarding guaranty requirements, such provisions are deemed deleted and replaced with the guaranty requirements set forth in this Schedule B.

WARRANTY FROM MANUFACTURER

(1) Contractor’s Obligation to Provide Warranties: The items of material and/or equipment for which manufacturer warranties are required are listed below. For each item of material and/or equipment listed below, the Contractor shall obtain a written warranty from the manufacturer. Such warranty shall provide that the material or equipment is free from defects for the period set forth below and will be replaced or repaired within such specified period. The Contractor shall deliver all required warranties to the Commissioner.

(2) Required Warranties:

Specification Number	Material or Equipment	Warranty Period
07 52 16	Roof System (tie-in to existing)	20 years
07 72 00	Roof Hatch	5 years
08 14 16	Solid-Core Interior Doors	Lifetime
08 41 13	Aluminum-Framed Entrances and Storefronts	2 years
08 41 13.13	Fire Rated Aluminum Storefront	5 years
08 71 00	Surface Closers	25 years
	Locksets	2 years
	Balance of Hardware	2 years
08 80 00	Coated Glass	10 years
	Laminated Glass	10 years
	Insulating Glass	10 years
08 87 26	Bird Control Glass Markers	6 years
08 92 00	Louvered Equipment Screens	20 years

Specification Number	Material or Equipment	Warranty Period
08 92 00	Louvered Equipment Screens (finish)	5 years
09 67 23	Resinous Flooring	20 years
09 68 13	Tile Carpeting	15 years
10 22 39	Operable Partitions	3 years
	Partition Suspension System	5 years
10 28 00	Mirrors	15 years
	Hand Dryers	10 years
	Hand Dryer Motors	5 years
10 44 16	Fire Extinguishers	5 years
11 30 13	Refrigerator	1 year
22 33 00	Hot Water Heater Storage Tank	5 years
	Controls and Other Components	5 years
22 47 13	Bottle Filling Station	5 years
23 09 13	Building Management System	1 year
23 09 23	Direct Digital Control System for HVAC	1 year
23 72 19	Fixed Plate Air-to-Air Energy Recovery Units	10 years
23 81 29	Variable-Refrigerant-Flow HVAC Systems- Compressor	7 years
	Variable-Refrigerant-Flow HVAC Systems- Parts and Controls	5 years
	Systems- Compressor	
26 09 23	Lighting Control Devices	2 years
26 24 16	Panelboards	3 years
26 28 16	Enclosed Switches and Circuit Breakers	3 years
26 43 13	Surge Protective Devices	5 years
	Surge Protective Devices (Extended follow-on Materials only)	10 years
26 51 19	LED Interior Light Fixtures	5 years
26 52 13	Emergency and Exit Light Batteries	5 years
26 56 19	LED Exterior Light Fixtures	2 years
27 51 17	Audio Frequency Induction Loop System	5 years
28 00 10	Security Access Control System Board	2 years
28 15 00	Integrated Credential Readers and Entry Management Devices	5 years
28 15 00	Electrified Locking Devices and Accessories	5 years
28 46 21.11	Addressable Fire Alarm System	5 years

(3) Application: The obligations under the warranty for the periods specified above shall apply only to the manufacturer of the material or equipment, and not to the Contractor or its Surety; provided, however, the Contractor retains responsibility for obtaining all required warranties from the manufacturers and delivering the same to the Commissioner.

(4) Other Provisions: The warranty requirements set forth in this Schedule B are also included in the Specifications.

(a) In the event of any conflict between a warranty requirement set forth in the Specifications and a warranty requirement set forth in Schedule B, the warranty requirement set forth in Schedule B shall take precedence.

- (b) In the event a warranty requirement set forth in the Specifications is omitted from Schedule B, such omission from Schedule B shall have no effect and the Contractor's obligation to provide the manufacturer's warranty, as set forth in the Specifications, shall remain in full force and effect.
- (c) In the event a warranty requirement for a particular item of material or equipment is omitted from both Schedule B and the Specifications, and the manufacturer of such item actually provides a warranty, the Contractor shall be obligated to obtain and deliver to the Commissioner the highest level of warranty actually provided by that manufacturer.
- (d) In the event a warranty requirement is provided for a particular item of material or equipment, and such requirement specifies a warranty period that is longer than that which is actually provided by any of the specified manufacturers, the Contractor shall be obligated to obtain and deliver to the Commissioner the highest level of warranty actually provided by any of the specified manufacturers, unless otherwise directed in writing by the Commissioner.
- (e) Unless indicated otherwise Warranties are to take effect on the date of Substantial Completion.

SCHEDULE C

Contract Drawings

(Reference: Section 01 1000, Article 1.5 (A) of the DDC Standard General Conditions)

The Schedule set forth below lists all Contract Drawings for the Project.

G-000 COVER SHEET

G-001 DRAWING INDEX AND ABBREVIATIONS

G-002 GENERAL INFORMATION

G-003 FEMA MAPS AND ZONING ANALYSIS

G-004 ADA COMPLIANCE AND MOUNTING HEIGHTS

G-005 SCHEDULES

G-011 SITE SURVEY

G-021 FIRST FLOOR LIFE SAFETY PLAN

H001 ASBESTOS ABATEMENT – GENERAL NOTES

H002 ASBESTOS ABATEMENT – ROOF PLAN

H003 ASBESTOS ABATEMENT – FIRST FLOOR PLAN

DM-101 FIRST FLOOR REMOVALS PLAN

DM-111 FIRST FLOOR REFLECTED CEILING REMOVALS PLAN

DM-201 ROOF REMOVALS PLAN

A-101 FIRST FLOOR CONSTRUCTION PLAN

A-102 ROOF PLAN

A-103 FIRST FLOOR REFLECTED CEILING PLAN

A-104 FIRST FLOOR POWER AND SIGNAL PLAN

A-105 FIRST FLOOR FINISH PLAN

A-106 FIRST FLOOR FURNITURE PLAN

A-107 FIRST FLOOR LAYOUT PLAN

A-201 EXTERIOR ELEVATIONS

A-301 EAST-WEST BUILDING SECTIONS

A-311 BUILDING SECTIONS - MECHANICAL AREA

A-501 MAIN READING ROOM ELEVATIONS

A-502 CHILDREN'S AREA AND MULTIPURPOSE ROOM ELEVATIONS

A-511 STAFF WORKROOM ELEVATIONS

A-512 STAFF LOUNGE PLANS AND ELEVATIONS

A-521 RESTROOMS PLANS AND ELEVATIONS

A-531 VESTIBULE PLANS AND ELEVATIONS

A-711 EXTERIOR DETAILS

A-721 ROOF DETAILS

A-722 ROOF DETAILS

A-731 WINDOW SCHEDULE & ELEVATIONS

A-901 DOOR SCHEDULE & DETAILS

A-902 MOVABLE PARTITION

A-903 GLASS DOOR DETAILS

A-904 EXTERIOR DOOR DETAILS

A-910 INTERIOR PARTITION TYPES

A-911 INTERIOR PARTITION TYPES

A-912 INTERIOR PARTITION TYPES

A-913 TYPICAL INTERIOR GWB PARTITION DETAILS

A-941 CEILING DETAILS

A-951 CASEWORK - CUSTOMER SERVICE DESK (M1)

A-952 CASEWORK - LIBRARIANS' DESKS (M2, M3, M4 & M5)

A-953 CASEWORK - MULTIFUNCTION DEVICE AND TEEN AREA (M6 & M7)

A-954 CASEWORK - EARLY CHILDHOOD (M8 & M9)
A-955 CASEWORK - MPR, RECYCLING AND PANTRY (M10, M11, M12 & M13)
A-956 CASEWORK DETAILS - CUSTOMER SERVICE
A-961 FLOOR AND WALL DETAILS

GR-100 GENERAL SIGNAGE NOTES
GR-101 SIGNAGE LOCATION PLANS
GR-102 CONFIGURATION DRAWINGS
GR-103 CONFIGURATION DRAWINGS
GR-104 CONFIGURATION DRAWINGS
GR-105 CONFIGURATION DRAWINGS
GR-106 CONFIGURATION DRAWINGS, WAYFINDING PLANS
GR-107 SIGN MESSAGE SCHEDULE

EN-001 ENERGY COMPLIANCE
EN-101 ENERGY COMPLIANCE SHEET - MECHANICAL 1
EN-102 ENERGY COMPLIANCE SHEET - MECHANICAL 2
EN-103 ENERGY COMPLIANCE SHEET - LIGHTING
EN-201 ENERGY COMPLIANCE SHEET - PLUMBING

S-001 GENERAL NOTES AND SYMBOLS
FO-101 PARTIAL FOUNDATION PLAN
FO-201 FOUNDATION SECTIONS AND DETAILS (FUTURE)
S-201 PARTIAL ROOF FRAMING PLAN
S-301 STEEL SECTIONS AND DETAILS

M-101 MECHANICAL LEGEND AND SYMBOL LIST
M-201 MECHANICAL FIRST FLOOR DEMOLITION PLAN
M-202 MECHANICAL ROOF DEMOLITION PLAN
M-301 MECHANICAL FIRST FLOOR DUCTWORK PLAN
M-302 MECHANICAL ROOF PLAN
M-303 MECHANICAL SECTIONS AND PART PLANS
M-304 MECHANICAL FIRST FLOOR PIPING PLAN
M-401 MECHANICAL DETAIL SHEET - 1
M-402 MECHANICAL DETAIL SHEET - 2
M-403 MECHANICAL DETAIL SHEET - 3
M-501 MECHANICAL VRF PIPING DIAGRAM
M-502 MECHANICAL VRF WIRING DIAGRAM
M-503 MECHANICAL CONTROLS DIAGRAM
M-504 MECHANICAL DUCTWORK RISER DIAGRAM
M-601 MECHANICAL SCHEDULE SHEET - 1
M-602 MECHANICAL SCHEDULE SHEET - 2
M-603 MECHANICAL SCHEDULE SHEET - 3

E-001 ELECTRICAL NOTES, SYMBOLS, AND ABBREVIATIONS
E-002 GENERAL NOTES, ABBREVIATIONS, AND ELECTRICAL SYMBOLS LIST
ED-201 FIRST FLOOR ELECTRICAL REMOVALS PLAN
ED-301 FIRST FLOOR ELECTRICAL REMOVALS REFLECTED CEILING PLAN
E-201 FIRST FLOOR ELECTRICAL POWER PLAN
E-202 ROOF FLOOR ELECTRICAL POWER PLAN
E-301 FIRST FLOOR ELECTRICAL REFLECTED CEILING PLAN
E-901 ELECTRICAL SCHEDULES AND RISER
E-921 ELECTRICAL DETAILS
E-922 ELECTRICAL DETAILS 2

FA-001 FIRE ALARMS NOTES, SYMBOLS, AND ABBREVIATIONS
FAD-301 FIRST FLOOR FIRE ALARM REMOVALS PLAN

FA-301 FIRST FLOOR FIRE ALARM PLAN
FA-901 FIRE ALARM RISER DIAGRAMS
FA-911 FIRE ALARM DETAILS

P-001 PLUMBING NOTES, SYMBOLS, AND ABBREVIATIONS
PD-201 FIRST FLOOR PLUMBING REMOVALS PLAN
PD-202 ROOF PLUMBING REMOVAL PLAN
P-101 PLUMBING FLOOR PLAN
P-102 ROOF PLUMBING PLAN
P-103 PLUMBING DETAILS, SYMBOLS & RISER DIAGRAM

AV/IT/SE-001 GENERAL NOTES, SYMBOLS, & ABBREVIATIONS

AV-201 FIRST FLOOR AUDIOVISUAL RCP
AV-601 AUDIOVISUAL/PA SYSTEMS DIAGRAM

SE-101 FIRST FLOOR SECURITY PLAN
SE-201 FIRST FLOOR SECURITY RCP
SE-501 SECURITY DETAILS
SE-502 SECURITY DETAILS 2
SE-601 SECURITY WIRING DIAGRAMS, RISER AND SCHEDULES

TT-101 FIRST FLOOR TELECOMMUNICATION PLAN
TT-501 TELECOMMUNICATIONS RISER DIAGRAM & DETAILS

SCHEDULE D

Electrical Motor Control Equipment

(Reference: 01 3506, Article 3.8 of the DDC Standard General Conditions)

Requirements for electrical motor equipment may be included in one or more sections of the Specifications for the Contract for the Project. Schedule D set forth below delineates specific information for electrical motor control equipment. In the event of any conflict between the Specifications and this Schedule D, Schedule D shall take precedence; provided, however, in the event of an omission from Schedule D (i.e., Schedule D omits either a reference to or information concerning electrical motor equipment which is set forth in the Specifications), such omission from Schedule D shall have no effect and the Contractor's obligation with respect to the electrical motor control equipment, as set forth in the Specifications, shall remain in full force and effect.

DB Disconnect Circuit Breaker (Switch) **P** Pilot Light **BG** Break Glass Station
TS Thermal Switch **F** Firestat **HOA** Hand-Off Auto.
MS Magnetic Starter **T** Thermostat **PB** Push Button Station
CMS Comb. Mag. Starter **AL** Alternator **RO** Remote "off"

Equip. Ident.	Location	# of Units	HP or KW	Volts and Phase	Control Type: See legend above	Remarks:
ERV-1	Mechanical Room	1	(2) 2.37 HP	208V/ 3Ph	DB, RO	
ACCU-1A	Roof	1	7.7 KW	208V/ 3Ph	DB, RO	
ACCU-1B	Roof	1	9.9 KW	208V/ 3Ph	DB, RO	
ACCU-2	Roof	1	86W	208V/ 1Ph	DB, RO	
EH-1	Vestibule	1	4 KW	208V/ 1Ph	CMS, T, RO	
EH-4	Mechanical room	1	3 KW	208V/ 1Ph	CMS, T, RO	
EH-5	Custodial Storage	1	1.25 KW	120V/ 1Ph	CMS, T, RO	
TF-1	Employee Lounge	1	1/3 HP	115V/ 1Ph	CMS, RO	
TF-2	Electric Closet	1	50 KW	115V/ 1Ph	CMS, T	
TX-1	Toiler	1	1/15 HP	115V/ 1Ph	CMS, RO	
AC-1	Mechanical Room	1	0.48 KW	208V/ 1Ph	DB, T, RO	

AC-2	Staff work	1	0.056 KW	208V/ 1Ph	DB, T, RO	
AC-3	Mechanical Room	1	0.48 KW	208V/ 1Ph	DB, T, RO	
AC-4	Mechanical Room	1	0.48 KW	208V/ 1Ph	DB, T, RO	
AC-5	Mechanical Room	1	0.42 KW	208V/ 1Ph	DB, T, RO	
AC-6	Mechanical Room	1	0.42 KW	208V/ 1Ph	DB, T, RO	
AC-7	Employee Lounge	1	0.03 KW	208V/ 1Ph	DB, T, RO	

SCHEDULE E
Separation of Trades

NOT USED FOR SINGLE CONTRACTS

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TABLE OF CONTENTS

CONTRACT NO. 1 GENERAL CONSTRUCTION WORK

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Asbestos Report

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CONTRACT # 1
GENERAL CONSTRUCTION WORK

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SECTION 01 21 13.10

Price Adjustment Allowance

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) City of New York Standard Construction Contract.

1.2 SUMMARY

- A. This Section includes:
 - 1. Asphalt Price Adjustment
 - 2. Fuel Price Adjustment
 - 3. Steel Price Adjustment

1.3 SCOPE AND INTENT

- A. This section will provide for additional compensation to the Contractor for increases, or repayment by the Contractor for decreases, in the price of asphalt, fuel, or steel products.
- B. Price Adjustments will be made only for eligible work as defined below. With respect to asphalt and steel eligible work items, price adjustment will be paid, if eligible, only after the items have been permanently incorporated into the Work and accepted by the Commissioner. With respect to fuel, price adjustment will be paid, if eligible, only after fuel has been delivered to the Project site.
- C. No adjustment will be provided for any extra work paid by fixed price in accordance with the Standard Construction Contract Article 25.3.2 or paid for on a time and material basis per Standard Construction Contract Article 26. Additional quantities of existing Contract pay items at original bid prices will be considered eligible for asphalt, fuel, and steel price adjustments.
- D. Temporary work performed by the Contractor at its own expense will not be eligible for price adjustment. Notwithstanding the foregoing, temporary asphalt will be eligible if shown on the Contract Drawings or required to complete the Work and must be approved in advance by the Engineer.
- E. The Contractor, its Subcontractor(s) and/or Materialmen, must, when directed by the Commissioner, provide any and all Project documents and/or records the Commissioner deems pertinent to his/her determination with respect to the price adjustment. If requested by the



Commissioner, the Contractor, its Subcontractor(s) and/or Materialmen, must provide copies of Project documents and/or records.

- F. Failure by the Contractor, its Subcontractor(s) and/or Materialmen, to comply strictly with the requirement to provide Project records will constitute a waiver of any claim for additional compensation the Contractor may have in connection with the price adjustment request.
- G. Project documents and/or records include, without limitation, Bid and Contract Documents, shop drawings, manufacturing and/or shipping data, as-built drawings, books of account, financial statements, invoices, vouchers, records, daily job diaries and reports.
- H. If the Contractor is paid additional compensation in accordance with this Section, the Contractor must pay a properly allocated share of such additional compensation to the applicable Subcontractor(s) and/or Materialmen.

1.4 PRICE ADJUSTMENT VALUES

- A. The monthly average asphalt prices, monthly average fuel prices, steel cost basis and steel index values will be posted on the NYS Department of Transportation (NYSDOT) website:
<https://www.dot.ny.gov/main/business-center/contractors/construction-division/fuel-asphalt-steel-price-adjustments>
- B. Historical index values are available as issued Engineering Bulletins on the NYSDOT website:
<https://www.dot.ny.gov/eieb>

1.5 ASPHALT PRICE ADJUSTMENT

- A. **Price Changes.** The asphalt price adjustment will be based solely on the price changes for asphalt as determined by the formulas below. No adjustment will be made if the monthly average posted price is within \$15.00 of the asphalt index price. No consideration will be given to the situation where the price paid by the Contractor, its Subcontractors, or the Contractor's or Subcontractor's supplier(s) exceeds the monthly average posted price.
- B. **Applicability.** The asphalt price adjustment will apply to all permanent asphalt pavement items. The asphalt price adjustment will apply to temporary asphalt pavement if the temporary asphalt is shown on the Contract Drawings or approved in advance by the Commissioner. No price adjustment will be made for tack coat or pothole cold patch.
- C. **Prices.** The asphalt index price and the monthly average posted price are defined as follows:
 - 1. Asphalt Index Price. The asphalt index price is a price per ton of binder (also referred to as liquid bitumen or asphaltic cement) used solely as a basis from which to compute asphalt price adjustments. The asphalt index price will be the monthly average posted price for the month and year the bid opening for the Project.
 - 2. Monthly Average Posted Price. The monthly asphalt index prices will be determined by NYSDOT using the methods set forth in NYSDOT Standard Specification Section 698.



- D. **Quantity.** The quantity of asphalt in tons considered for adjustment will be determined by the tons of asphalt actually placed. This will be calculated using the measured volume of asphalt placed, and the asphalt's in-place density, as measured in the field. Quantities of asphalt will be measured to the nearest 0.1 ton.
- E. **Adjustment.** Asphalt price adjustment will be based on the following formulas:
1. When price increases: $\text{Price Adjustment} = (\text{Quantity of Asphalt}) \times (\text{Monthly Average Posted Price} - \text{Asphalt Index Price} - \$15.00)$
 2. When price decreases: $\text{Price Adjustment} = (\text{Quantity of Asphalt}) \times (\text{Monthly Average Posted Price} - \text{Asphalt Index Price} + \$15.00)$
- F. **Payment of the Price Adjustment.** The Contractor is required to keep a log of all asphalt incorporated into the Project that is eligible for the price adjustment. The log must keep track of the date when the asphalt was purchased, the quantity of the asphalt, the Asphalt Index Price and the Monthly Average Posted Price, as determined in accordance with 1.5.C.

When the adjustment amount, calculated in accordance with 1.5.E, exceeds \$10,000.00 for all eligible asphalt incorporated into the Project, the Contractor must submit with its monthly payment requisition, the request for payment of the asphalt price adjustment.

1.6 FUEL PRICE ADJUSTMENT

- A. **Price Changes.** The fuel price adjustment will be based solely on the price changes for fuel as determined by the formulas below. No adjustment will be made if the monthly average posted price is within \$0.10 per gallon of the fuel index price. No consideration will be given to the situation where the price paid by the Contractor, its Subcontractors, or the Contractor's or Subcontractor's supplier(s) exceeds the monthly average posted price.
- B. **Applicability.** The intent of the fuel price adjustment is to cover on-site equipment and vehicles only as delineated below.
1. The fuel price adjustment will apply for fuel used in:
 - a. Diesel equipment used on site, such as backhoes, excavators, cranes.
 - b. Stationary equipment used on site, such as trailer or skid mounted compressors, generators, or light towers.
 - c. Gasoline or diesel trucks and vans that are assigned to the site full-time, which may be used for off-site pickups and deliveries.
 - d. Equipment used for temporary heating.
 2. The fuel price adjustment will not apply to:
 - a. On-site gasoline powered hand tools, such as chainsaws, cut-off saws, pressure washers, small generators, etc.
 - b. Vehicles (cars, pickup trucks) that are also used for commuting.
 - c. Delivery vehicles.
 - d. Any equipment at the Contractor's shop, manufacturer's shop, or other off-site facility.
- C. **Prices.** The fuel index price and the monthly average posted price are defined as follows:



1. Fuel Index Price. A price per gallon of fuel used solely as a basis from which to compute fuel price adjustments. The fuel index price will be the monthly average posted price for the month of the bid letting.
 2. Monthly Average Posted Price. The monthly fuel index prices will be determined by NYSDOT using the methods set forth in NYSDOT Standard Specification Section 698.
- D. **Quantity.** The quantity of fuel in gallons considered for adjustment will be determined by invoices for fuel delivered to the Project site. Quantities of fuel will be measured to the nearest 0.01 gallon.
- E. **Adjustment.** Fuel price adjustment will be based on the following formulas:
1. When price increases: $\text{Price Adjustment} = (\text{Quantity of Fuel}) \times (\text{Monthly Average Posted Price} - \text{Fuel Index Price} - \$0.10)$
 2. When price decreases: $\text{Price Adjustment} = (\text{Quantity of Fuel}) \times (\text{Monthly Average Posted Price} - \text{Fuel Index Price} + \$0.10)$
- F. **Payment of the Price Adjustment.** The Contractor is required to keep a log of all fuel incorporated into the Project that is eligible for the price adjustment. The log must keep track of the date when the fuel was purchased, the quantity of the fuel, the Fuel Index Price and the Monthly Average Posted Price, as determined in accordance with 1.6.C.

When the adjustment amount, calculated in accordance with 1.6.E, exceeds \$10,000.00 for all eligible fuel delivered to the Project site, the Contractor must submit with its monthly payment requisition, the request for payment of the fuel price adjustment.

1.7 STEEL PRICE ADJUSTMENT

- A. **Applicability.** The intent of the steel price adjustment is to cover steel materials as follows. For the purposes of this section, steel includes all steel alloys, stainless steel alloys, iron, and ductile iron.
1. Steel price adjustment will apply to groups of similar material content (“Material Groups”) within a specification section (e.g., structural steel shapes under 05 21 00 – Structural Steel Framing or reinforcing bars under 03 20 00 – Concrete Reinforcing).
 2. The steel price adjustment will apply to the following Material Groups:
 - a. Structural steel and joists
 - b. Reinforcing bars
 - c. Steel deck pans
 - d. Cold formed steel framing and trusses
 - e. Stair stringers and pans
 - f. Steel dunnage for equipment
 - g. Steel piling
 - h. Drainage, water, and electrical piping and tubing
 - i. Steel doors
 - j. Municipal steel and iron castings (manhole covers, sewer grates, etc.)



3. The steel price adjustment will not apply to the following:
 - a. Steel in fabricated elements, such as HVAC chillers or electrical fixtures and boxes
 - b. Handrails, access ladders, and other miscellaneous metals
 - c. Anchor bolts and fasteners

- B. For each Material Group listed, the Contractor must also identify the parties whose relationship establishes the invoice date. If the parties are known, they must be identified by name. If the two parties are not known, they must be identified by role (Contractor, Subcontractor, Materialman, fabricator, etc.). Different parties may be identified for scopes within a Material Group for the purposes of establishing an invoice date. If the Contractor does not provide a list of materials to which to apply the steel price adjustment, no steel price adjustment will be made.

- C. If the percentage change for a given month does not exceed 5% plus or minus, from the benchmark steel index, no adjustments will be made for materials invoiced that month.

- D. The percentage change for each material group identified in Article 1.7.A.2 above will be determined using the month that the largest value of materials were invoiced.

- E. The weight of the steel must exclude minor appurtenances individually weighing less than 5 lbs (i.e., nuts, bolts, washers, etc.) and non-steel components, such as door insulation or glazing. Precast or prestressed concrete items must have total reinforcing steel weight listed on the approved shop drawings. The following sources must be used, in declining order of precedence, to determine the weight of steel: approved shop drawings; verified shipping documents; Contract Documents; industry standards (i.e., AISC Manual of Steel Construction, AWWA Standards, etc.); and manufacturer's data.

1. **Indexes and Prices.** Adjustments are based on the Producer Price Index (PPI) for Semifinished Steel Mill Products (WPU 101702). PPI values are published by the US Department of Labor, Bureau of Labor Statistics (BLS). Recent PPI values are posted on the NYSDOT website linked above. The Cost Basis, Benchmark Steel Index, Monthly Steel Index, and the Percentage Change are defined as follows:
 - a. **Cost Basis (CB).** An average price of steel products in dollars per ton used solely as a cost basis from which to compute steel price adjustments. The cost basis for original Contract bid price items and additional work at the original Contract bid price will be the cost basis listed for the month of the bid letting. The cost basis for additional work at agreed price will be the value of the cost basis for the month the agreed price was submitted to the Commissioner.
 - b. **Benchmark Steel Index (BI).** The benchmark steel index for original Contract bid price items and additional work at the original Contract bid price will be the value of the preliminary PPI for the month of the bid letting. The benchmark steel index for additional work at agreed price will be the value of the preliminary PPI for the month the agreed price was submitted to the Commissioner.
 - c. **Monthly Steel Index (MI).** Value of the final PPI for the month the material is invoiced.
 - d. **Percent Change.** The percent change in any given month will be determined as follows:



$$Percentage\ Change = \left(\frac{MI - BI}{BI} \right) \times 100$$

- F. The quantity of steel for adjustment of each Material Group will be measured to the nearest 0.1 tons.
1. **Percent Change Greater Than +5%.** If the Percentage Change is greater than +5% from the benchmark steel index, Price Adjustments will be made for materials invoiced that month. The Contractor must provide the Commissioner a detailed list of the weight of eligible materials within 60 calendar days after installation, including: the Contract pay item, the weight of steel, the month(s) of invoice, the source used to determine the weight, and if requested by the Engineer, copies of invoices to verify the month of invoice.
 2. **Percent Change -5% to +5%.** If the Percentage Change is between -5% and +5%, inclusive, from the benchmark steel index, no adjustments will be made for materials invoiced that month.
 3. **Percent Change Lower Than -5%.** If the Percentage Change is lower than -5% from the benchmark steel index, a Price Adjustment will be charged to the Contractor for materials invoiced that month. The Contractor must provide the Commissioner a detailed list of the weight of eligible materials within 60 calendar days after installation, including: the Contract pay item, the weight of steel, the month(s) of invoice, the source used to determine the weight, and copies of invoices to verify the month of invoice.
- G. **Adjustment.** Steel price adjustment will be made for all the materials which the Contractor opted to apply the steel price adjustment, based on the following formulas:

1. When price increases:

$$Price\ Adjustment = \left[\left(\frac{MI - BI}{BI} \right) - 0.05 \right] (CB) Qty$$

When price decreases:

$$Price\ Adjustment = - \left[\left(\frac{MI - BI}{BI} \right) + 0.05 \right] (CB) Qty$$

- H. **Payment of the Price Adjustment.** Steel Price Adjustment will be paid once during the Project duration for each eligible Material Group after the final PPI is available to set the Monthly Steel Index for the invoice month determined in Article 1.7.D above.

1.8 MEASUREMENT AND PAYMENT

- A. The fixed sum shown in the Bid Breakdown or Bid Schedule for Price Adjustments Allowance will be considered the price bid for this item. The fixed sum is not to be altered in any manner by the bidder. Should the amount shown be altered, the new figures will be disregarded and the original price will be used to determine the total amount bid for the Contract. The fixed sum payment made



under this item will be equal to the sum of payments and credits for price adjustments, as approved by the Commissioner, with no markup for overhead, profit, or other fees allowed. The fixed sum amount is included in the bid solely to ensure that sufficient monies will be available to pay the Contractor for the price escalation adjustment payments as delineated herein, which may be more or less than the fixed sum amount.

PART 2 - PRODUCTS

NOT USED

PART 3 - EXECUTION

NOT USED.

END OF SECTION 01 21 13.10

SECTION 024119 - SELECTIVE DEMOLITION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Demolition and removal of selected portions of existing building.

1.3 MATERIALS OWNERSHIP

- A. Unless otherwise indicated, demolition waste becomes property of Contractor.
- B. Historic items, relics, antiques, and similar objects including, but not limited to, cornerstones and their contents, commemorative plaques and tablets, and other items of interest or value to City of New York that may be uncovered during demolition remain the property of City of New York.
 - 1. Carefully salvage in a manner to prevent damage and promptly return to City of New York.

1.4 PREINSTALLATION MEETINGS

- A. Predemolition Conference: Conduct conference at Project site.
 - 1. Inspect and discuss condition of construction to be selectively demolished.
 - 2. Review structural load limitations of existing structure.
 - 3. Review and finalize selective demolition schedule and verify availability of materials, demolition personnel, equipment, and facilities needed to make progress and avoid delays.
 - 4. Review requirements of work performed by other trades that rely on substrates exposed by selective demolition operations.
 - 5. Review areas where existing construction is to remain and requires protection.

1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For refrigerant recovery technician.
- B. Engineering Survey: Submit engineering survey of condition of building.

- C. Proposed Protection Measures: Submit report, including Drawings, that indicates the measures proposed for protecting individuals and property, for environmental protection, for dust control and, for noise control. Indicate proposed locations and construction of barriers.
- D. Schedule of Selective Demolition Activities: Indicate the following:
 - 1. Detailed sequence of selective demolition and removal work, with starting and ending dates for each activity. Ensure City of New York's on-site operations are uninterrupted.
 - 2. Interruption of utility services. Indicate how long utility services will be interrupted.
 - 3. Coordination for shutoff, capping, and continuation of utility services.
 - 4. Use of existing steps and gates.
- E. Predemolition Photographs or Video: Show existing conditions of adjoining construction, including finish surfaces, that might be misconstrued as damage caused by salvage and demolition operations. Comply with DDC General Conditions. Submit before Work begins.
- F. Statement of Refrigerant Recovery: Signed by refrigerant recovery technician responsible for recovering refrigerant, stating that all refrigerant that was present was recovered and that recovery was performed according to EPA regulations. Include name and address of technician and date refrigerant was recovered.
- G. Warranties: Documentation indicating that existing warranties are still in effect after completion of selective demolition.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Refrigerant Recovery Technician Qualifications: Certified by an EPA-approved certification program.

1.7 FIELD CONDITIONS

- A. Notify Commissioner of discrepancies between existing conditions and Drawings before proceeding with selective demolition.
- B. Hazardous Materials: Present in buildings and structures to be selectively demolished. A report on the presence of hazardous materials is on file for review and use. Examine report to become aware of locations where hazardous materials are present.
 - 1. Hazardous material remediation is specified elsewhere in the Contract Documents.
- C. Storage or sale of removed items or materials on-site is not permitted.
- D. Utility Service: Maintain existing utilities indicated to remain in service and protect them against damage during selective demolition operations.
 - 1. Maintain fire-protection facilities in service during selective demolition operations.

1.8 WARRANTY

- A. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during selective demolition, by methods and with materials and using approved subcontractors so as not to void existing warranties. Notify warrantor before proceeding. Existing warranties include the following:
 - 1. Roof System, 20 year warranty for the following: Siplast; Paradiene 20/Paradiene 30 FR BW.
 - a. Manufacturers' warranty number: 48897, dated October 28, 2014.
- B. Notify warrantor on completion of selective demolition, and obtain documentation verifying that existing system has been inspected and warranty remains in effect. Submit documentation at Project closeout.

1.9 COORDINATION

- A. Arrange selective demolition schedule so as not to interfere with City of New York's operations.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Regulatory Requirements: Comply with governing EPA notification regulations before beginning selective demolition.
- B. Standards: Comply with ANSI/ASSP A10.6 and NFPA 241.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Verify that utilities have been disconnected and capped before starting selective demolition operations.
- B. Review Project Record Documents of existing construction or other existing condition and hazardous material information provided by City of New York. City of New York does not guarantee that existing conditions are same as those indicated in Project Record Documents.
- C. Perform an engineering survey of condition of building to determine whether removing any element might result in structural deficiency or unplanned collapse of any portion of structure or adjacent structures during selective building demolition operations.

1. Perform surveys as the Work progresses to detect hazards resulting from selective demolition activities.

D. Steel Tendons: Locate tensioned steel tendons and include recommendations for de-tensioning.

E. Verify that hazardous materials have been remediated before proceeding with building demolition operations.

3.3 PREPARATION

A. Refrigerant: Before starting demolition, remove refrigerant from mechanical equipment according to 40 CFR 82.

3.4 UTILITY SERVICES AND MECHANICAL/ELECTRICAL SYSTEMS

A. Existing Services/Systems to Be Removed, Relocated, or Abandoned: Locate, identify, disconnect, and seal or cap off utility services and mechanical/electrical systems serving areas to be selectively demolished.

1. Arrange to shut off utilities with utility companies.
2. If services/systems are required to be removed, relocated, or abandoned, provide temporary services/systems that bypass area of selective demolition and that maintain continuity of services/systems to other parts of building.
3. Disconnect, demolish, and remove fire-suppression systems, plumbing, and HVAC systems, equipment, and components indicated on Drawings to be removed.

a. Ducts to Be Removed: All ductwork to be removed, as indicated on the drawings.

3.5 PROTECTION

A. Temporary Protection: Provide temporary barricades and other protection required to prevent injury to people and damage to adjacent buildings and facilities to remain.

1. Provide protection to ensure safe passage of people around selective demolition area and to and from occupied portions of building.
2. Provide temporary weather protection, during interval between selective demolition of existing construction on exterior surfaces and new construction, to prevent water leakage and damage to structure and interior areas.
3. Protect walls, ceilings, floors, and other existing finish work that are to remain or that are exposed during selective demolition operations.
4. Cover and protect furniture, furnishings, and equipment that have not been removed.
5. Comply with DDC General Conditions for requirements of temporary enclosures, dust control, heating, and cooling.

B. Remove temporary barricades and protections where hazards no longer exist.

3.6 SELECTIVE DEMOLITION, GENERAL

- A. General: Demolish and remove existing construction only to the extent required by new construction and as indicated. Use methods required to complete the Work within limitations of governing regulations and as follows:
1. Proceed with selective demolition systematically, from higher to lower level. Complete selective demolition operations above each floor or tier before disturbing supporting members on the next lower level.
 2. Neatly cut openings and holes plumb, square, and true to dimensions required. Use cutting methods least likely to damage construction to remain or adjoining construction. Use hand tools or small power tools designed for sawing or grinding, not hammering and chopping. Temporarily cover openings to remain.
 3. Cut or drill from the exposed or finished side into concealed surfaces to avoid marring existing finished surfaces.
 4. Do not use cutting torches until work area is cleared of flammable materials. At concealed spaces, such as duct and pipe interiors, verify condition and contents of hidden space before starting flame-cutting operations. Maintain portable fire-suppression devices during flame-cutting operations.
 5. Maintain fire watch during and for at least 4 hours after flame-cutting operations.
 6. Maintain adequate ventilation when using cutting torches.
 7. Remove decayed, vermin-infested, or otherwise dangerous or unsuitable materials and promptly dispose of off-site.
 8. Remove structural framing members and lower to ground by method suitable to avoid free fall and to prevent ground impact or dust generation.
 9. Locate selective demolition equipment and remove debris and materials so as not to impose excessive loads on supporting walls, floors, or framing.
 10. Dispose of demolished items and materials promptly. Comply with DDC General Conditions.
- B. Site Access and Temporary Controls: Conduct selective demolition and debris-removal operations to ensure minimum interference with roads, streets, walks, walkways, and other adjacent occupied and used facilities.
- C. Refer to the drawings for scope of demolition, salvaging of items, and storage and reinstallation of items.
- D. Refer to the drawings for items to be removed and relocated by the City of New York.

3.7 SELECTIVE DEMOLITION PROCEDURES FOR SPECIFIC MATERIALS

- A. Concrete: Demolish in sections. Cut concrete full depth at junctures with construction to remain and at regular intervals using power-driven saw, and then remove concrete between saw cuts.
- B. Masonry: Demolish in small sections. Cut masonry at junctures with construction to remain, using power-driven saw, and then remove masonry between saw cuts.
- C. Concrete Slabs-on-Grade: Saw-cut perimeter of area to be demolished, and then break up and remove.

- D. Resilient Floor Coverings: Remove floor coverings and adhesive according to recommendations in RFCI's "Recommended Work Practices for the Removal of Resilient Floor Coverings." Do not use methods requiring solvent-based adhesive strippers.
- E. Roofing: Remove no more existing roofing than that required by the new Work, and maintain watertight and weathertight interior conditions. See Section 075216 "SBS Modified Bituminous Roofing" for new roofing requirements.

3.8 DISPOSAL OF DEMOLISHED MATERIALS

- A. Remove demolition waste materials from Project site and dispose of them in an EPA-approved construction and demolition waste landfill.
 - 1. Do not allow demolished materials to accumulate on-site.
 - 2. Remove and transport debris in a manner that will prevent spillage on adjacent surfaces and areas.
 - 3. Remove debris from elevated portions of building by chute, hoist, or other device that will convey debris to grade level in a controlled descent.
 - 4. Comply with DDC General Conditions.
- B. Burning: Do not burn demolished materials.

3.9 CLEANING

- A. Clean adjacent structures and improvements of dust, dirt, and debris caused by selective demolition operations. Return adjacent areas to condition existing before selective demolition operations began.

END OF SECTION 024119



**SECTION 02 80 13 – GENERAL CONTRACTOR WORK
NOVEMBER 2017 VERSION**

ALLOWANCE FOR INCIDENTAL ASBESTOS ABATEMENT

1.01 SCOPE FOR ASBESTOS ABATEMENT WORK

- A. The "General Conditions" apply to the work of this Section.
- B. The asbestos abatement contractor shall remove asbestos containing materials as needed to perform the other work of this Contract when discovered during the course of work. When required, the asbestos abatement contractor shall replace the ACM with non-asbestos containing materials. An allowance of **\$15,000.00** for the **General Contractor** is herein established for this incidental work when so ordered and authorized by the Commissioner.
- C. All work shall be done in accordance with the applicable provisions of the rules and regulations of the asbestos control program as promulgated by Title 15 Chapter I of RCNY and New York State Department of Labor Industrial Code Rule 56 cited as 12 NYCRR Part 56, whichever is more stringent as per latest amendments to these laws and as modified herein by these specifications.
- D. All disposal of asbestos contaminated material shall be per Local Law 70/85.
- E. The asbestos abatement contractor's attention is directed to the fact that certain methods of asbestos abatement are protected by patents. To date, patents have been issued with respect to "negative pressure enclosure" or "negative-air" or "reduced pressure" and "glove bag".
- F. The asbestos abatement contractor shall be solely responsible for and shall hold the Department of Design and Construction and the City harmless from any and all damages, losses and expenses resulting from any infringement by the asbestos abatement contractor of any patent, including but not limited to the patents described above, used by the asbestos abatement contractor during performance of this agreement.
- G. "Asbestos" shall mean any hydrated mineral silicate separable into commercially usable fibers, including but not limited to chrysotile (serpentine), amosite (cumingtonite-grunerite), crocidolite (riebeckite), tremolite, anthrophyllite and actinolite.
- H. Prior to starting, the asbestos abatement contractor must notify the Commissioner of the Department of Design and Construction if he/she anticipates any difficulty in performing the Work as required by these Specifications. The asbestos



abatement contractor is responsible to prepare and submit all filings, notifications, etc. required by all City, State and Federal regulatory agencies having jurisdiction.

The asbestos abatement contractor is responsible for submitting the Asbestos Project Notification Form (ACP-7 Form) to the Department of Environmental Protection, Asbestos Control Program, as per Title 15, Chapter I of RCNY and to the NYSDOL as per Industrial Code Rule 56.

The asbestos abatement contractor is responsible for preparing, and submitting Asbestos Variance Application (ACP-9). If a Variance is required, the asbestos abatement contractor is responsible to retain a NYSDOL Asbestos Project Designer, as defined in Title 15, Chapter 1 of the RCNY to prepare and submit the required variance.

The general contractor is responsible for preparing and submitting an Asbestos Abatement Permit and/or Work Place Safety Plans (WPSP) that may be required for the completion of the Contract or incidental work. If such plans are required, the general contractor is responsible for retaining a registered design professional as defined in Title 15, Chapter 1 of the RCNY to prepare and submit the required plans.

The asbestos abatement contractor is responsible for the submission of all required documents to the NYCDEP to acquire the appropriate Asbestos Project Conditional Closeout (ACP-20) and/or Asbestos Project Completion Forms (ACP-21) on a timely basis for the completion of the incidental work encountered under this contract.

The asbestos abatement contractor will be required to attend an on-site job meeting with the Construction Project Manager prior to the start of work to examine conditions and plan the sequence of operations, etc.

The asbestos abatement contractor shall have a NYSDOL/NYCDEP Asbestos Supervisor onsite to oversee the work and conduct a final visual inspection as required by both Title 15, Chapter 1 of the RCNY and NYSDOL Industrial Code Rule 56.

- I. All work shall be done during regular working hours unless the asbestos abatement contractor requests authorization to work in other than regular working hours and such authorization is granted by the Commissioner. (Regular work hours are those hours during which any given facility, in which work is to be done, is customarily open and functioning, normally between the hours of 8:00 A.M. and 4:00 P.M. Monday - Friday.) If such work schedule is authorized by the Commissioner, the work shall be done at no additional cost to the City.
- J. The Commissioner may order that work be done in other than regular working hours as herein by defined and this order may require the asbestos abatement



contractor to pay premium or overtime wages to complete the work. If the Commissioner orders work in other than regular working hours, the asbestos abatement contractor shall multiply the unit price for that portion of the work requiring premium wages by 1.50 when computing payment in accordance with Paragraph 1.09. All requests for premium payment must be supported by certified payroll sheets and field sheets approved by the Construction Project Manager.

1.02 QUALIFICATIONS OF ASBESTOS ABATEMENT CONTRACTOR

- A. Requirements: The asbestos abatement contractor must be approved through the Department's Request for Subcontractor Approval, administered by the Agency Chief Contracting Office (ACCO), Vendor Integrity Unit. The asbestos abatement contractor must demonstrate compliance with the special experience requirements set forth in subparagraphs (1) through (6) below. Such documentation shall include without limitation, all required licenses, certificates, and documentation.
1. The asbestos abatement contractor must, whether an individual, corporation, partnership, joint venture or other legal entity, demonstrate for the three year period prior to the work that it has been licensed by the New York State Department of Labor (NYSDOL), as an "Asbestos Abatement Contractor". The asbestos abatement contractor shall submit copies of the asbestos abatement contractors NYSDOL License for the past three years
 2. The asbestos abatement contractor must, for the three-year period prior to the work, have been in the business of providing asbestos abatement services as a routine part of its daily operations.
 3. The asbestos abatement contractor proposing to do asbestos abatement work must be thoroughly experienced in such work and must submit a list of five (5) asbestos abatement projects of similar size and complexity. The aggregate cost of these projects must be at least \$1,000,000 in each of the three years.
 4. For each project submitted to meet the experience requirements set forth above, the asbestos abatement contractor must submit the following information for the project; name and location of the project; name title and telephone number and email address of the owner or the owner's representative who is familiar with the asbestos abatement contractor's work; brief description of the scope of work completed as a prime or sub-asbestos abatement contractor; amount of contract or subcontract and the date of completion.
 5. The asbestos abatement contractor must demonstrate that it has the financial resources, certified supervisory personnel and equipment



necessary to carry out the work and to comply with the required performance schedule, taking into consideration other business commitments. The asbestos abatement contractor must submit such documentation as may be required by the Department of Design and Construction to demonstrate that it has the requisite capacity to perform the required services of this contract. The Department may also conduct an inspection of the asbestos abatement contractor's facility to verify if the contractor has equipment and staffing to perform the work.

6. The asbestos abatement contractor must submit a copy of their Corporate Health and Safety Plan for review and acceptance. A Job Hazard Analysis (JHA) for the specific work conducted must be included.
- B. Throughout the specifications, reference is made to codes and standards which establish qualities and types of workmanship and materials, and which establish methods for testing and reporting on the pertinent characteristics thereof. Provide materials or workmanship that meet or exceed the specifically named codes or standards where required by these specifications.
- C. Site Investigation: Asbestos abatement contractor shall inspect all the specifications and related drawings, and will investigate and confirm the site conditions affecting the work, including, but not limited to (1) through (5) below. The asbestos abatement contractor will attend a walkthrough site inspection with the department's Project Manager and the Third-Party Air Monitor prior to the work. Such walkthrough will be scheduled at the Department's convenience.
1. Physical considerations and conditions of both the material and structure. These considerations include any obstacles or obstructions encountered in accessing or removing the material.
 2. Handling, storage, transportation and disposal of the material.
 3. Availability of qualified and skilled labor.
 4. Availability of utilities.
 5. Exact quantities of all materials to be disturbed and/or removed

1.03 ASBESTOS ABATEMENT CONTRACTOR RESPONSIBILITIES

The asbestos abatement contractor will visit the subject location within one (1) working day of notification to ascertain actual work required. If the project is identified as being "urgent", then work shall commence no later than 48 hours from the time of notification. In this event, the asbestos abatement contractor shall immediately notify when applicable EPA NESHAPS Coordinator, NYSDOL Asbestos Control Bureau and NYCDEP



Asbestos Control Program of start of the work and file the necessary Asbestos Notifications and any applicable Variance Applications with the regulatory agencies cited above.

In the event that the project is not classified as "urgent" the asbestos abatement contractor shall notify the EPA NESHAPS Coordinator, NYSDOL and NYCDEP by submitting the requisite asbestos project notification forms, postmarked 10 days before activity begins if 260 linear feet or more and/or 160 square feet or more of asbestos containing material will be disturbed.

The following information must be included in the notification:

- A. Name and address of building City or operator;
- B. Project description:
 - 1. Size - square feet, number of linear feet, etc;
 - 2. Age - date of construction and renovations (if known);
 - 3. Use - i.e., office, school, industrial, etc.
 - 4. Scope - repair, demolition, cleaning, etc.
- C. Amount of asbestos involved in work and an explanation of techniques used to determine the amount;
- D. Building location/address, including Block and Lot numbers;
- E. Work schedule including the starting and completion dates;
- F. Abatement methods to be employed;
- G. Procedures for removal of asbestos-containing material;
- H. Name, title and authority of governmental representative sponsoring project.

1.04 WORK INCLUDED IN UNIT PRICE

The asbestos abatement contractor will be paid a basic unit price of **\$25.00** per square feet for the removal and disposal of asbestos containing material and replacement of the same with non-asbestos containing materials.

Unit price shall include all costs necessary to do the work of this Contract, including but not limited to: labor, materials, equipment, utilities, disposal, insurance, overhead and profit.



1.05 AIR MONITORING – ASBESTOS ABATEMENT CONTRACTOR

- A. “Air Sampling” shall mean the process of measuring the fiber content of a known volume of air collected during a specific period of time. The procedure utilized for asbestos follows the NIOSH Standard Analytical Method 7400 or the provisional transmission electron microscopy methods developed by the USEPA and/or National Institute of Standard and Technology which are utilized for lower detectability and specific fiber identification.
- B. Air monitoring of asbestos abatement contractor’s personnel will be performed in conformance with OSHA requirements, (All costs associated with this work are deemed included in the unit price.).
- C. Qualifications of Testing Laboratory:

The industrial hygiene laboratory shall be a current proficient participant in the American Industrial Hygiene Association (AIHA) PAT Program. The laboratory identification number shall be submitted and approved by the City. The laboratory shall be accredited by the AIHA and New York State Department of Health Environmental Laboratory Approval Program (ELAP).

Note: Work area air testing and analysis before, during and upon completion of work (clearance testing) will be performed by a Third Party Air Monitor under separate Contract with the City.

1.06 THIRD PARTY MONITORING AND LABORATORY

- A. The NYCDDC, at its own expense, will employ the services of an independent Third Party Air Monitoring Firm and Laboratory. The Third Party Air Monitor will perform air sampling activities and project monitoring at the Work Site.
- B. The Laboratory will perform analysis of air samples utilizing Phase Contrast Microscopy (PCM) and/or Transmission Electron Microscopy (TEM).
- C. The Third Party Air Monitoring Firm and the designated Project Monitor shall have access to all areas of the asbestos removal project at all times and shall continuously inspect and monitor the performance of the asbestos abatement contractor to verify that said performance complies with this Specification. The Third-Party Air Monitor shall be on site throughout the entire abatement operation.
- D. The NYCDDC will be responsible for costs incurred with the Third Party Air Monitoring Firm and laboratory work. Any subsequent additional testing required due to limits exceeded during initial testing shall be paid for by the asbestos abatement contractor.



1.07 PAYMENT REQUEST DOCUMENTATION

- B. The following information shall be included for each payment request:
1. Description of work performed.
 2. Linear footage and pipe sizes involved.
 3. Square footage for boiler & breaching insulation removed.
 4. Square footage of non pipe and boiler areas removed, patched, enclosed, sealed, or painted.
 5. Square footage of encapsulation, sealing, patching, and painting involved.
 6. Total cost associated with compliance with the assigned task.
 7. Architectural, Electrical, HVAC, Plumbing, etc. work incidental to the Asbestos Abatement Work.
 8. A certified copy (in form 4312-39) to the Comptroller or Financial Officer of the New York City to the effect that the financial statement is true.
 9. A signed copy (in form 6506q-6) of certificate of compliance with non-discriminatory provisions of the Contract.
 10. Attach a copy of valid workmen compensation insurance.
 11. Valid asbestos insurance per occurrence.
 12. General liability insurance when required.
- C. Each payment request shall include a grand total for all work completed that billing period, the landfill waste manifests and a copy of waste transporter permit. The Department of Design and Construction will inspect the work performed, review the cost and approve or disapprove requests for payment.
- D. EXPOSURE LOG: With this final payment, the asbestos abatement contractor shall submit a listing of the names and social security numbers of all employees actively engaged in the abatement work of this Contract. This list shall include a summary showing each part of the abatement work in which the employee was engaged and the dates thereof.

1.08 QUANTITY CALCULATIONS

In order to determine the square footage involved for the various pipe sizes of pipe insulation that might be encountered, the following table is to be used.



PIPE INSULATION SIZE O.D.	PIPE SIZE O.D.	SQUARE FOOTAGE PER LINEAR FOOT
2-1/2"	1/2"	0.65
2-3/4"	3/4"	0.72
3"	1"	0.79
3-1/4"	1-1/4"	0.85
3-1/2"	1-1/2"	0.92
4"	2"	1.05
4-1/2"	2-1/2"	1.18
5"	3"	1.31
6"	3-1/4"	1.57
7"	3-1/2"	1.83
8"	4"	2.09
9"	5"	2.36
10"	6"	2.62
12"	8"	3.14
14"	10"	3.67
16"	12"	4.19
18"	14"	4.71

1.09 METHOD OF PAYMENT

Payment shall be made in accordance with Items A through R below. Payment shall be calculated based on the actual quantity of the item performed by the asbestos abatement contractor, times the unit price specified below. Credits may apply to certain times, as specified below.

A. REMOVAL, DISPOSAL AND REPLACEMENT OF ASBESTOS CONTAINING PIPE INSULATION: Actual linear footage, multiplied by the square footage factor listed for the respective pipe size in Section 1.08, multiplied by the unit price in Section 1.04.

EXAMPLE: 100 lin.ft. of 1/2" pipe and 100 lin.ft. of 6" pipe, including elbows, tees. Flanges, etc.

$$100 \times 0.65 = 65 \text{ sq.ft.} \quad 65 \times \text{unit price} = \text{Payment}$$

$$100 \times 2.62 = 262 \text{ sq.ft.} \quad 262 \times \text{unit price} = \text{Payment}$$

B. REMOVAL, DISPOSAL AND REPLACEMENT OF BOILER INSULATION: (all types including Silicate Block and including the removal/replacement of metal jacketing) Payment shall be made at 1.5 times the unit price per square foot.

EXAMPLE: Item B. removal and replacement of 1000 S.F. of boiler insulation (incl. Silicate block)



1000 S.F. X (1.5) X the Unit Price = Payment

- C. **REMOVAL, DISPOSAL AND REPLACEMENT OF TANK INSULATION:** (all types including removal/replacement of metal jacketing) Payment shall be made at 1.5 times the unit price per square foot.
- D. **REMOVAL, DISPOSAL AND REPLACEMENT OF BOILER UPTAKE, & BREACHING INSULATION:** (all types including stiffening angles and wire lath) Payment shall be made at 2.0 times the unit price per square foot.
- E. **REMOVAL, DISPOSAL AND REPLACEMENT OF DUCT INSULATION:** Payment shall be made at 1.0 times the unit price per square foot.
- F. **REMOVAL, DISPOSAL AND REPLACEMENT OF SOFT ASBESTOS CONTAINING MATERIAL:** (Including sprayed-on fire proofing and sound proofing) Payment shall be made at 1.0 times the unit price per square foot of surface area. Area of irregular surfaces must be calculated and confirmed with DDC representative.
- G. **ACOUSTIC PLASTER REPAIR AND/OR ENCAPSULATION:** Payment shall be made at 0.5 times the unit price per square foot.
- H. **PATCHING OR REPAIR** of items listed in A through F will be paid at 0.33 times the unit price per square foot.
- I. **REMOVAL, DISPOSAL AND REPLACEMENT OF WATERPROOFING ASBESTOS CONTAINING MATERIAL:** (including friable and non-friable waterproofing material from interior and exterior walls, floors, foundations, penetrations, louvers, vents and openings other than windows, doors and skylights) Payment shall be made at 0.5 times the unit price per square foot.
- J. **REMOVAL, DISPOSAL AND REPLACEMENT OF ASBESTOS CONTAINING ELECTRICAL WIRING INSULATION:** (including friable and non-friable wiring insulation) Payment shall be made at 0.33 times the unit price per square foot.
- K. **PAINTING:** Payment shall be made at 0.05 times the unit price per square foot.
- L. **REMOVAL AND DISPOSAL OF ASBESTOS-CONTAINING PLASTER:** from ceilings and walls, including any wire lath and disposal as asbestos containing waste. Payment shall be made at 0.80 times the unit price per square foot.
- M. **REMOVAL AND DISPOSAL OF ASBESTOS-CONTAINING FLOOR TILES, CEILING TILES, TRANSITE PANELS:** (including any adhesive, glue, mastic and/or underlayment) and disposal as asbestos containing waste. Payment shall be made at 0.40 times the unit price per square foot. If multiple



layers are discovered, each additional layer shall be paid at 0.20 times the unit price per square foot.

- N. **ADDITIONAL CLEAN UP/HOUSEKEEPING OF WORK AREA:** (excluding pre-cleaning of work area required by regulations) HEPA vacuuming and wet cleaning of asbestos contaminated surface. Payment shall be made at 0.20 times the unit price per square foot. When GLOVE BAG is employed to remove ACM, cost of HEPA vacuuming and wet cleaning of floor area up to 3 feet on each side of glove-bag shall be included in unit price and no extra payment will be made.
- O. **REMOVAL, DISPOSAL OF ASBESTOS-CONTAINING ROOFING MATERIAL:** including mastic, flashing and sealant compound and provide temporary asbestos-free roof covering consisting of one layer of rolled roofing paper sealed with asphaltic roofing compound. Payment shall be made at 0.8 times the unit price per square foot. Credit at a rate of 0.33 times the unit price will be taken for each square foot of temporary roof covering which the asbestos abatement contractor is directed not to install.
- P. **PICK-UP AND DISPOSAL OF GROSS DEBRIS:** (excluding any waste generated from abatement under Item A-R) at a rate of \$150 per cubic yard for asbestos contaminated waste and \$75 per cubic yard for non-asbestos contaminated waste. This cost includes all labor and material cost associated with work.
- Q. **REMOVAL OF ASBESTOS-CONTAINING BRICK, BLOCK, MORTAR, CEMENT OR CONCRETE:** along with all surfacing materials including wire lath and/or other supporting structures and disposal as ACM waste. Payment shall be made at a rate of \$25.00 per cubic foot of material removed.
- R. **REMOVAL AND DISPOSAL OF ASBESTOS CONTAINING WINDOW/DOOR CAULKING:** including friable and non-friable caulking, weather-stripping, glazing, sealants or other waterproofing materials applied to windows, doors, skylights, etc. Payment shall be made at the rate of \$400.00 per opening regardless of size or configuration. This cost includes labor, consumable materials, set-up/breakdown, removal and disposal, as required.

Note 1: CREDIT: For items listed in A through F, a credit at a rate of 0.33 times the unit price, times the respective multiplier (for each item) will be taken for each square foot of insulation which the asbestos abatement contractor is not directed to reapply.

Note 2: MINIMUM PAYMENT: The minimum payment per call at any individual job sites or various job sites during the same day will be eight hundred dollars (\$800.00).

Note 3: All payments shall be made as described in paragraph 1.09 herein.

Note 4: WORKING HIGHER THAN 12 FEET ABOVE FLOOR LEVEL OR WORK REQUIRING COMPLEX SCAFFOLDING OR CONSTRUCTION WORK



PLATFORMS: Provisions are made in this Contract to compensate the asbestos abatement contractor for work performed in locations that are difficult to access due to work at elevations that are significantly higher than the normal work level. The unit price for these items will be paid at 1.20 times the unit price described in Paragraphs 1.09, A through R for those portions of the work that are more than twelve (12) feet above the grade for that would be judged as the normal working level.

1.10 GUARANTEE

- A. Work performed in compliance with each task shall be guaranteed for a period of one year from the date the completed work is accepted by the Department of Design and Construction.
- B. The Commissioner of The Department of Design and Construction will notify the asbestos abatement contractor in writing regarding defects in work under the guarantee.

1.11 OCCUPANCY OF SITE NOT EXCLUSIVE

Attention is specifically drawn to the fact that contractors, performing the work of other Contracts, may be brought upon any of the work sites of this Contract. Therefore, the asbestos abatement contractor shall not have exclusive rights to any site of his work and shall fully cooperate and coordinate his work with the work of other contractors who may be brought upon any site of the work of this Contract. This paragraph applies to those areas outside the regulated Work Area as defined by Title 15, Chapter I of RCNY.

1.12 SUBMITTALS

- A. Pre-Construction Submittals:
 - 1. Attend a pre-construction meeting scheduled by the City of New York Department of Design and Construction. This meeting shall also be attended by a designated representative of the City of New York third party air monitoring firm, facility manager and the Construction Project Manager. At this meeting, the asbestos abatement contractor shall present three copies of the following items:
 - a. asbestos abatement contractor's scope of work, work plan and schedule.
 - b. Asbestos project notifications, approved variances and plans to Government Agencies.
 - c. Copies of Permits, clearance and licenses if required.
 - d. Schedules: the asbestos abatement contractor shall provide to the Construction Project Manager a copy of the following schedules for



approval. Once approved, schedules shall be maintained and updated as received. asbestos abatement contractor shall post a copy of all schedules at the site:

- (1) A construction schedule stating critical dates of the project including, but not limited to, mobilization, Work Area preparation, demolition, gross removal, fine cleaning, encapsulation, inspections, clearance monitoring, and phase of refinishing and final inspections. The schedule shall be updated biweekly, at a minimum.
 - (2) A schedule of staffing stating number of workers per shift per activity, name and number of supervisor(s) per shift, shifts per day, and total days to be worked.
 - (3) Submit all changes in schedule or staffing to the Construction Project Manager prior to implementation.
- e. Written description of emergency procedures to be followed in case of injury or fire. This section must include evacuation procedures, source of medical assistance (name and telephone number to nearest hospital) and procedures to be used for access by medical personnel (examples: first aid squad and physician). NOTE: Necessary Emergency Procedures Shall Take Priority Over All Other Requirements of These Specifications.
- f. Safety Data Sheets (SDS) for encapsulants, sealants, firestopping foam, cleaners/disinfectants, spray adhesive and any and all potentially hazardous materials that may be employed on the project. No work involving the aforementioned will be allowed to proceed until SDS are reviewed.
- g. Worker Training and Medical Surveillance: The asbestos abatement contractor shall submit a list of the persons who will be employed by him /her to perform the removal work. Present evidence that workers have received proper training required by the regulations and the medical examinations required by OSHA 29 CFR 1926.1101.
- h. Logs: Specimen copies of daily progress log, visitor's log, and disposal log.
- (1) The asbestos abatement contractor shall provide a permanently bound log book of minimum 8-1/2" x 11" size at the entrance to the Worker and Waste Decontamination enclosure system as hereinafter specified. Log book shall



contain on title page the project name, name, address and phone number of the asbestos abatement contractor; name, address and phone number of asbestos abatement contractor and City's third party air monitoring firm; emergency numbers including, but not limited to local Fire/Rescue Department. Log book shall contain a list of personnel approved for entry into the Work Area.

- (2) All entries into the log shall be made in non-washable, permanent ink and such pen shall be strung to or otherwise attached to the log to prevent removal from the log-in area. Under no circumstances shall pencil entries be permitted. Any significant events occurring during the abatement project shall be entered into the log. Upon completion of the job, the asbestos abatement contractor shall submit the logbook containing a day-to-day record of personnel log entries countersigned by the Construction Project Manager every day.

- i. **Worker's Acknowledgments:** Submit statements signed by each employee that the employee has received training in the proper handling of ACM, understands the health implications and risks involved; and understands the use and limitations of the respiratory equipment to be used.

B. During Construction Submittals:

1. Security and safety logs showing names of person entering workspace, date and time of entry and exit, record of any accident, emergency evacuation, and any other safety and/or health incident.
2. Progress logs showing the number of workers, supervisors, hours of work and tasks completed shall be submitted daily to the Construction Project Manager.
3. Floor plans indicating asbestos abatement contractor's current work progress shall be submitted for review by the Construction Project Manager.
4. All asbestos abatement contractors' air monitoring and inspection results.

C. Project Closeout Submittals:

Upon completion of the project and as a condition of acceptance, the asbestos abatement contractor shall present two copies of the following items, bound and indexed:



1. Lien Waivers from asbestos abatement contractor, sub-asbestos abatement contractors and Suppliers,
2. Daily OSHA air monitoring results,
3. All Waste Manifests (Asbestos and Construction Debris), seals and disposal logs,
4. Field Sign-In/Sign-Out Logs for every shift,
5. Copies of all Building Department Forms and Permits,
6. A Letter of Compliance stating that all the work on this project was performed in accordance with the Specifications and all applicable Federal, State and Local regulations,
7. All Warranties as stated in the Specifications,
 - a. Fully executed disposal certificates and transportation manifest.
8. Project Record: The asbestos abatement contractor shall maintain a project record for all small and large asbestos projects. During the project, the project record shall be kept on site at all times. Upon completion of the project, the project record shall be maintained by the building owner. The project record shall be submitted to DDC as part of the close out documents. The project record shall consist of:
 - a. Copies of licenses of all asbestos abatement contractors involved in the project;
 - b. Copies of NYCDEP and NYSDOL supervisor and handler certificates for all workers engaged in the project;
 - c. Copies of all project notifications and reports filed with NYCDEP, NYSDOL and USEPA for the project, with any amendments or variances;
 - d. Copies of all asbestos abatement permits, including associated approved plans and work place safety plan;
 - e. A copy of the air sampling log and all air sampling results;
 - f. A copy of the abatement asbestos abatement contractor's daily log book;



- g. Copies of all asbestos waste manifests;
- h. A copy of all Project Monitor's Reports (ACP-15).
- i. A copy of each ATR-1 Form completed for the asbestos project (if required).
- j. A copy of each Asbestos Project Conditional Closeout Report (ACP-20) if required.
- k. A copy of the Asbestos Project Completion Form (ACP-21).

1.13 PROTECTION OF FURNITURE AND EQUIPMENT

Cover all furniture and equipment that cannot be removed from Work Areas. Movable furniture and equipment will be removed from Work Areas by the asbestos abatement contractor prior to start of work. At the conclusion of the work (after final air testing), the asbestos abatement contractor will remove all plastic covering on walls, floors, furniture, equipment and reinstall furniture and equipment. He shall remove and store all sheaths, curtains and drapes, and reinstall same following final clean up.

1.14 UTILITIES

A. General:

All temporary facilities shall be subject to the approval of the Commissioner. Prior to starting work at any site, locations and/or sketches (if required) of temporary facilities must be submitted to the Construction Project Manager for the required approval.

B. Water:

The Department of Design and Construction will furnish all water needed for construction, at no cost to the asbestos abatement contractor in buildings under their jurisdiction. However, it is the responsibility of the asbestos abatement contractor to ensure that hot water is provided for showering in the decontamination unit. The asbestos abatement contractor shall furnish, install and maintain any needed equipment to meet these requirements at his own expense.

C. Electricity:

The Department of Design and Construction will furnish all electricity needed for construction, at no cost to the asbestos abatement contractor in a building, under their jurisdiction. The asbestos abatement contractor is responsible for routing the electric power to the abatement Work Area.



All temporary lighting and temporary electrical service for Work Area shall be in weatherproof enclosures and be ground fault protected.

- D. In leased spaces, arrangements for water supplies and electricity must be made with the landlord. However, all such arrangements must be made through and are subject to approval of the Department of Design and Construction. Utilities will be provided at no cost to the asbestos abatement contractor. However, it is the asbestos abatement contractor's (or the general contractor's) responsibility to furnish and install a suitable distribution system to the Work Area. This system will be provided at no cost to the City.

1.15 FEES

The asbestos abatement contractor shall be responsible for any and all fees or charges imposed by Local, State or Federal Law, Rule and Regulation applicable to the work specified herein, including fees or charges which may be imposed subsequent to the date of the Bid opening.

END OF SECTION



ASBESTOS ABATEMENT

PART 1 – GENERAL

1.01 DESCRIPTION

- A. The Contract Documents are as defined in the “Agreement”. The General Conditions shall apply to all Work of this Section.
- B. Work specified herein shall be the removal and disposal of Asbestos-Containing Materials (ACM) and asbestos-contaminated materials from designated areas of the Hollis Community Library 202-05 Hillside Avenue, Queens, NY 11423.
- C. The following documents were reviewed and utilized to generate this abatement design specification which serves to locate and quantify the amount of ACM, and asbestos contaminated material, to be abated in support of this project.
 - 1. Set of Issued for Pricing Drawing title “Hollis Library Community Interior Renovation dated 09/30/22 generated by NYC Department of Design and Construction In-House Design Studio (NYCDDC IDS).
 - 2. Asbestos Survey Report performed by LiRo titled “Hollis Library Interior Renovation dated 01/18/23.
- D. The phasing and scheduling of work for this project shall be coordinated with and approved by the Construction Project Manager and Facility Manager. The Construction Project Manager and Facility Manager will make the final determination on all issues under this Contract covered by this Specification.

1.02 SCOPE OF WORK

- A. The asbestos abatement contractor is to provide all labor, materials, equipment, services, testing, appurtenances, permits and agreements necessary to perform the work required for the abatement of ACM as required by these contract documents. All work shall be performed in accordance with this Specification, EPA regulations, OSHA regulations, New York City Local Law 70, Title 15, Chapter 1 RCNY, New York State Industrial Code 56, NIOSH recommendations, and any other applicable federal, state or local government regulations. Whenever there is a conflict or overlap of the above references, the most stringent provisions are applicable.
- B. The intent of this Specification section is to ensure that the asbestos abatement contractor is responsible for the following:
 - 1. Abatement of all ACM.



2. Cleaning and decontamination of the entire affected area.
 3. Demolition that may be required to access ACM in each area, Asbestos abatement contractor shall dispose of all debris associated with demolition activities as ACM waste.
 4. Removal and disposal of all ACM found within these areas such as penthouse flashing (silver/black), vinyl floor tile (grey) and associated mastic (black), and light green floor tile mastic (black) and contaminated vinyl floor tile (light green) etc.
 5. Provide all scaffolding, platform installation, equipment, tools, transportation and any other equipment required and/or necessary to complete all work described in the Contract Documents.
 6. The asbestos abatement contractor shall be responsible for and shall include any and all fees or changes imposed by Local, State or Federal Law, Rule or Regulation applicable to the work specified herein, including fees or charges which may be imposed subsequent to the work.
 7. Prior to destructive demolition activities, the DDC may elect to collect bulk samples of assumed asbestos-containing materials and analyze the bulk samples for asbestos content.
- C. The asbestos abatement contractor shall perform the following work as described below and indicated on the drawings. The drawings are only a diagrammatic representation of the Work Areas and do not constitute the actual quantities of material. Asbestos abatement contractor is responsible for the confirmation of the actual total quantities of the Work.

1. Drawing H002.00: Roof Plan

- a. Remove and dispose of asbestos-containing penthouse flashing (silver/black) within **Work Area 1**. Asbestos-containing Penthouse Flashing (Silver/Black) shall be removed utilizing NYCDEP Title 15, Chapter 1, § 107 Foam Procedure for Roof Removal Procedure.

Work Area	Removal Procedure	Approximate Square Feet (Sq. Ft.)	Approximate Linear Feet (Ln. Ft.)
1	NYCDEP Title 15, Chapter 1, § 107 Foam Procedure for Roof Removal.	64 Sq. Ft. of Penthouse Flashing (Silver/Black)	—



2. Drawing H002.00: First Floor Plan

- b. Remove and dispose of asbestos-containing vinyl floor tile (grey) and associated mastic (black) and light green floor tile mastic (black) and contaminated vinyl floor tile (light green) within **Work Area 2**. Asbestos-containing 12”X12” Vinyl Floor Tile (Grey) and Associated Mastic (Black) and 12”X12” Light Green Floor Tile Mastic (Black) and Contaminated Vinyl Floor Tile (Light Green) shall be removed utilizing NYCDEP Title 15, Chapter 1, § 108 Foam/Viscous Liquid Use in Flooring Removal Procedure.

Work Area	Removal Procedure	Approximate Square Feet (Sq. Ft.)	Approximate Linear Feet (Ln. Ft.)
2	NYCDEP Title 15, Chapter 1, § 108 Foam/Viscous Liquid Use in Flooring Removal.	6,078 Sq. Ft. of 12”X12” Vinyl Floor Tile (Grey) and Associated Mastic (Black)	–
		130 Sq. Ft. of 12”X12” Light Green Floor Tile Mastic (Black) and Contaminated Vinyl Floor Tile (Light Green) *	–

Note: * This material must be treated as contaminated due to its association with ACM.

- D. The facility is under the jurisdiction of the Queens Public Library. The asbestos abatement contractor shall perform the work of this contract in a manner that will be least disruptive to the normal use of the building.
- E. Asbestos abatement contractor's attention is directed to the fact that patents cover certain methods of asbestos abatement indicated in the specifications. To date, patents have been issued with regard to negative pressure enclosures or negative or reduced pressure and glove-bag.
- F. Asbestos abatement contractor shall be solely responsible for and shall hold the City of New York Department of Design and Construction and the City harmless from, any and all damages, losses and expenses resulting from any infringement by Asbestos abatement contractor of any patent, including but not limited to the patents described above, used by Asbestos abatement contractor during performance of this agreement.
- G. Prior to starting, the asbestos abatement contractor must notify the Commissioner of the City of New York Department of Design and Construction if he anticipates any difficulty in performing the work as directed and required by these Specifications. Asbestos abatement contractor shall be required to attend an on-site job meeting with the Construction Project Manager prior to start of work to examine conditions of the site for removal and plan the sequence for removal operations.



- H. The asbestos abatement contractor shall retain a certified Project Designer for the preparation of an Asbestos Variance Application (ACP-9), if required.
- I. The asbestos abatement contractor shall be responsible for preparing and submitting all filings, notifications, amendments and variances, etc. required by all City, State and Federal regulatory agencies having jurisdiction, at no additional cost to the NYC DDC.
- J. The general contractor shall retain a Registered Design Professional (person licensed and registered to practice the professions of architecture or engineering under the Education Law of the State of New York) to prepare a Work Place Safety Plan (WPSP), if required.
- K. The general contractor shall retain a Registered Design Professional (person licensed and registered to practice the professions of architecture or engineering under the Education Law of the State of New York) to perform final inspections required pursuant to Title 28 of the Administrative Code, including but not limited to special inspections required under Chapter 17 of the Building Code. Such special inspections and A-TR1 forms shall be completed by the Registered Design professional.
- L. For coordination with other Asbestos abatement contractors, see the General Conditions governing all Contracts.
- M. Related Asbestos Removal Work Under Other Contracts:
 - 1. Each asbestos abatement contractor shall be responsible for the removal of incidental asbestos not identified in this section and found prior to or during the Work.
 - 2. Incidental asbestos is defined as ACM that is discovered during the course of their work that must be abated to enable them to perform the work of their Contract.
- N. Work Hours:
 - 1. The asbestos abatement contractor shall establish his work schedule in a way that avoids interference or conflict with the normal functioning of the facility. Work in the evenings shall be done at no additional cost to the City.
 - 2. All work shall be done during regular working hours unless the Asbestos abatement contractor requests authorization to work other than regular working hours and such authorization is granted by the Commissioner (Regular working hours are those during which any given facility in which work is to be done is customarily open and functioning). If such work



schedule is authorized by the Commissioner the work shall be done at no additional cost to the City.

3. The order of phases and start dates associated with each will be determined by the Construction Project Manager.
 4. Asbestos abatement contractor shall be required to schedule waste transfer during evening hours, when activity within the facility is at a minimum. Evening hours are defined as 6:00 p.m. to 6:00 a.m. Waste transfer must be approved by the Construction Project Manager and Facility Manager.
- O. The following conditions shall apply to all temporary shutdowns of existing services:
1. All temporary lighting and temporary electrical services for use in the Work Area shall be in weather proof enclosures and be ground fault protected and:
 - a. Shall be performed at no additional charge to the City.
 2. Shall be performed at times not interfering with the other activities in the building.
 3. Shall be performed only with written consent from the Commissioner and the Facility Manager.
 4. Shall be made through written request to the Commissioner at least 10 days in advance with complete written description of the work to be performed.
- P. Stages of Asbestos Removal Work:
1. The asbestos abatement contractor will be required to perform the work and it is the intent of this Specification to remove all asbestos containing and asbestos contaminated materials from the Work Area. The asbestos abatement contractor is responsible for verifying all quantities of materials listed.
- Q. Certain equipment in the Work Area may need to remain operational during removal. Therefore, the removal of ACM from this equipment shall be performed as the last removal activities within the Work Area. The Asbestos abatement contractor shall coordinate the scheduling for the removal of ACM on functioning equipment with the Construction Project Manager.

1.03 QUALIFICATIONS OF ASBESTOS ABATEMENT CONTRACTOR

- A. Requirements: The asbestos abatement contractor must be approved through the Department's Request for Subcontractor Approval, administered by the Agency



Chief Contracting Office (ACCO), Vendor Integrity Unit. The asbestos abatement contractor must demonstrate compliance with the special experience requirements set forth in subparagraphs (1) through (6) below. Such documentation shall include without limitation, all required licenses, certificates, and documentation.

1. The asbestos abatement contractor must, whether an individual, corporation, partnership, joint venture or other legal entity, demonstrate for the three year period prior to the work that it has been licensed by the New York State Department of Labor (NYSDOL), as an “Asbestos Abatement Contractor”. The asbestos abatement contractor shall submit copies of the asbestos abatement contractors NYSDOL License for the past three years
 2. The asbestos abatement contractor must, for the three-year period prior to the work, have been in the business of providing asbestos abatement services as a routine part of its daily operations.
 3. The asbestos abatement contractor proposing to do asbestos abatement work must be thoroughly experienced in such work and must submit a list of five (5) asbestos abatement projects of similar size and complexity. The aggregate cost of these projects must be at least \$1,000,000 in each of the three years.
 4. For each project submitted to meet the experience requirements set forth above, the asbestos abatement contractor must submit the following information for the project; name and location of the project; name title and telephone number and email address of the owner or the owner’s representative who is familiar with the asbestos abatement contractor’s work; brief description of the scope of work completed as a prime or sub-asbestos abatement contractor; amount of contract or subcontract and the date of completion.
 5. The asbestos abatement contractor must demonstrate that it has the financial resources, certified supervisory personnel and equipment necessary to carry out the work and to comply with the required performance schedule, taking into consideration other business commitments. The asbestos abatement contractor must submit such documentation as may be required by the Department of Design and Construction to demonstrate that it has the requisite capacity to perform the required services of this contract. The Department may also conduct an inspection of the asbestos abatement contractor’s facility to verify if the contractor has equipment and staffing to perform the work.
 6. The asbestos abatement contractor must submit a copy of their Corporate Health and Safety Plan for review and acceptance. A Job Hazard Analysis (JHA) for the specific work conducted must be included.
- B. Throughout the specifications, reference is made to codes and standards which establish qualities and types of workmanship and materials, and which establish methods for testing and reporting on the pertinent characteristics thereof. Provide



materials or workmanship that meet or exceed the specifically named codes or standards where required by these specifications.

- C. Site Investigation: Asbestos abatement contractor shall inspect all the specifications and related drawings, and will investigate and confirm the site conditions affecting the work, including, but not limited to (1) through (5) below.
- The asbestos abatement contractor will attend a walkthrough site inspection with the department's Project Manager and the Third-Party Air Monitor prior to the work. Such walkthrough will be scheduled at the Department's convenience.
1. Physical considerations and conditions of both the material and structure. These considerations include any obstacles or obstructions encountered in accessing or removing the material.
 2. Handling, storage, transportation and disposal of the material.
 3. Availability of qualified and skilled labor.
 4. Availability of utilities.
 5. Exact quantities of all materials to be disturbed and/or removed.

1.04 WORK BY OTHERS

The City reserves the right during the term of this Contract to have work performed on asbestos abatement projects by other asbestos abatement contractors as the situation warrants.

1.05 DEFINITIONS

- A. General Explanation: Certain terms used in this Specification Section are defined below. Definitions and explanations of this Specification Section are not necessarily complete or exclusive, but are general for the Work to the extent they are not stated more explicitly in another element of the Contract Documents.
- B. Definitions in General Use:
1. Approve: Where used in conjunction with Engineer's response to submittals, requests, applications, inquiries, reports and claims by Asbestos abatement contractor, the meaning of term "approved" will be held to limitations of Engineer's responsibilities and duties as specified in Contract Documents. In no case will "approval" by Engineer be interpreted as a release of Asbestos abatement contractor from responsibilities to fulfill requirements of Contract Documents.



2. Directed, Requested, etc.: Where not otherwise explained, terms such as "directed," "requested," "authorized," "selected," "approved," "required," "accepted," and "permitted" mean "directed by Engineer," "requested by Engineer," and similar phrases. However, no such implied meaning will be interpreted to extend Engineer's responsibility into Asbestos abatement contractor's responsibility for construction supervision.
3. Furnish: Except as otherwise defined in greater detail, term "furnish" is used to mean supply and deliver to project site, ready for unloading, unpacking, assembly, installation, etc., as applicable in each instance.
4. Indicated: The term "indicated" is a cross-reference to graphic representations, notes or schedules on Drawings, to other paragraphs or schedules in the Specifications, and to similar means of recording requirements in Contract Documents. Where terms such as "shown," "noted," "scheduled," and "specified" are used in lieu of "indicated," it is for purpose of helping reader locate cross-reference, and no limitation of location is intended except as specifically noted.
5. Install: Except as otherwise defined in greater detail, term "install" is used to describe operations at Project site including unloading, unpacking, assembly, erection, placing, anchoring, applying, working to dimension, finishing, curing, protecting, cleaning and similar operations, as applicable in each instance.
6. Installer: The term "installer" is defined as the entity (person or firm) engaged by the asbestos abatement contractor, or its sub-asbestos abatement contractor for performance of a particular unit of work at Project site, including installation, erection, application and similar required operations. It is a general requirement that such entities (installers) be expert in operations they are engaged to perform.
7. Provide: Except as otherwise defined in greater detail, term "provide" means furnish and install, complete and ready for intended use, as applicable in each instance.
8. Third-Party Air Monitor: The term "Third-Party Air Monitor" is defined as an entity engaged by City and Construction Project Manager to perform specific inspections or tests of the work, either at Project site or elsewhere; and to report and (if required) interpret results of those inspections or tests.

C. Definitions Relative to Asbestos Abatement:



1. **Abatement:** Any and all procedures physically taken to control fiber release from asbestos-containing materials. This includes removal, encapsulation, enclosure, cleanup and repair.
2. **Adequately Wet:** The complete penetration of a material with amended water to prevent the release of particulates. If visible emissions are observed coming from asbestos-containing material, then the material has not been adequately wetted. However, the absence of visible emissions is not evidence of being adequately wet. ACM must be fully penetrated with the wetting agent in order to be considered adequately wet. If the ACM being abated is resistant to amended water penetration, wetting agent shall be applied to the material prior to and during removal as necessary to minimize fiber release.
3. **Aggressive Sampling:** Method of sampling in which the individual collecting the air sample creates activity by the use of mechanical equipment during the sampling period to stir up settled dust and simulate activity in that area of the building.
4. **AHERA:** Asbestos Hazard Emergency Response Act of 1986
5. **AIHA:** American Industrial Hygiene Association.
6. **Airlock:** System for permitting entrance and exit while restricting air movement between a contaminated area and an uncontaminated area. It consists of two curtained doorways separated by a distance of at least three feet such that one passes through one doorway into the airlock, allowing the doorway sheeting to overlap and close off the opening before proceeding through the second doorway, thereby preventing flow-through contamination.
7. **Air Sampling:** Process of measuring the fiber content of a known volume of air collected during a specific period. The procedure utilized for asbestos follows the NIOSH Standard Analytical Method 7400, or the provisional transmission electron microscopy methods developed by the US EPA which is utilized for lower detection levels and specific fiber identification.
8. **Ambient Air Monitoring:** “Ambient air monitoring” shall mean measurement or determination of airborne asbestos fiber concentrations outside but in the general vicinity of the worksite.
9. **Amended Water:** Water to which a surfactant has been added.
10. **ANSI:** American National Standards Institute



11. Area Air Sampling: Any form of air sampling or monitoring where the sampling device is placed at some stationary location.
12. Asbestos: Any hydrated mineral silicate separable into commercially usable fibers, including but not limited to chrysotile (serpentine), amosite (cumingtonite-grunerite), crocidolite (riebeckite), tremolite, anthophyllite and actinolite.
13. Asbestos-Containing Material (ACM): Asbestos or any material containing more than one-percent asbestos.
14. Asbestos-Containing Waste Material: ACM, asbestos-contaminated objects or debris associated with asbestos abatement requiring disposal.
15. Asbestos-Contaminated Objects: Any objects which have been contaminated by asbestos or asbestos-containing material.
16. Asbestos Assessment Report: "Asbestos Assessment Report" shall mean the "Form ACP-5" form, as approved by NYCDEP, by which a NYCDEP-certified asbestos investigator certifies that a building or structure (or portion thereof) is free of ACM or the amount of ACM to be abated constitutes a minor project.
17. Asbestos Handler: Individual who disturbs, removes, repairs, or encloses asbestos material. This individual shall have completed approved training course(s) and be in possession of certification issued by NYCDEP and NYSDOL.
18. Asbestos Handler Supervisor: Individual who supervises the handlers during an asbestos project and ensures that proper asbestos abatement procedures as well as individual safety procedures are being adhered to. This individual shall have completed approved training course(s) and be in possession of certification issued by NYCDEP and NYSDOL.
19. Asbestos Investigator: An individual certified by NYCDEP as having successfully demonstrated his or her ability to identify the presence of and evaluate the condition of asbestos in a building or structure.
20. Asbestos Project: Any form of work performed in a building or structure which will disturb (e.g., remove, enclose, encapsulate) asbestos-containing material.
21. ASTM: American Society for Testing and Materials.



22. Asbestos Project Notification: The “Form ACP-7” asbestos project notification form as approved by DEP.
23. Authorized Visitor: Authorized visitor shall mean the building owner and his/her representative, and any representative of a regulatory or other agency having jurisdiction over the project.
24. Building Owner: Person in whom legal title to the premises is vested unless the premises are held in land trust, in which instance Building Owner means the person in whom beneficial title is vested.
25. Building Materials: Any and all manmade materials, including but not limited to interior and exterior finishes, equipment, bricks, mortar, concrete, plaster, roofing, flooring, caulking, sealants, tiles, insulation, and outdoor paving such as sidewalks, paving tiles and asphalt.
26. Certified Industrial Hygienist (CIH): Individual with a minimum of five years experience as an industrial hygienist and who has successfully completed both levels of the examination administered by the American Board of Industrial Hygiene and who is currently certified by that board.
27. Certified Safety Professional (CSP): Individual having a bachelor's degree from an accredited college or university and a minimum of four years experience as a safety professional and who has successfully completed both levels of the examination administered by the Board of Certified Safety Professionals and who is currently certified by that board.
28. Chain of Custody: “Chain of Custody” shall mean the form or set of forms that document the collection and transfer of a sample.
29. City: City of New York
30. Clean Room: An uncontaminated area or room that is part of worker decontamination enclosure system with provisions for storage of workers' street clothes and protective equipment.
31. Clearance Air Monitoring: Employment of aggressive sampling techniques with a volume of air collected to determine the airborne concentration of residual fibers and shall be performed as the final abatement activity.
32. Commissioner: shall mean the head of the Agency that has entered into this contract or his/her duly authorized representative.
33. Competent Person: Shall mean the designated person as defined by OSHA in 29 CFR1926.1101.



34. **Curtained Doorway:** Device that consists of at least three overlapping sheets of fire retardant plastic over an existing or temporarily framed doorway. One sheet shall be secured at the top and left side, the second sheet at the top and right side, and the third sheet at the top and left side. All sheets shall have weights attached to the bottom to ensure that the sheets hang straight and maintain a seal over the doorway when not in use.
35. **Decontamination Enclosure System:** Series of connected rooms, separated from the Work Area and from each other by air locks, for the decontamination of workers, materials, waste containers, and equipment.
36. **Demolition:** The dismantling or razing of a building, including all operations incidental thereto (except for asbestos abatement activities), for which a demolition permit from the New York City Department of Buildings is required.
37. **Department:** shall mean the New York City Department of Design and Construction (DDC).
38. **NYCDEP or DEP:** The New York City Department of Environmental Protection.
39. **Disturb:** Any action taken which may alter, change, or stir, such as but not limited to the removal, encapsulation, enclosure or repair of asbestos-containing material.
40. **DOB:** The New York City Department of Buildings.
41. **Egress:** A continuous and unobstructed path of vertical and horizontal egress travel from any occupied portion of a building or structure to a public way. A means of egress consists of three separate and distinct parts: the exit access, the exit and the exit discharge.
42. **ELAP:** Environmental Laboratory Approval Program administered by the New York State Department of Health.
43. **Encapsulant (sealant) or Encapsulating Agent:** Liquid material which can be applied to ACM and which temporarily controls the possible release of asbestos fibers from the material either by creating a membrane over the surface (bridging encapsulant) or by penetrating into the material and binding its components together (penetrating encapsulant). A thin coat of lockdown encapsulant shall be applied to all surfaces in the work area which were not the subject of removal or abatement, including the cleaned layer of



the surface barriers, but excepting sprinklers, standpipes, and other active elements of the fire suppression system.

44. Encapsulation: The coating or spraying of asbestos-containing material encapsulant. A thin coat of lockdown encapsulant shall be applied to all surfaces in the work area which were not the subject of removal or abatement, including the cleaned layer of the surface barriers, but excepting sprinklers, standpipes, and other active elements of the fire suppression system.
45. Enclosure: Construction of airtight walls and/or ceilings between ACM and the facility environment, or around surfaces coated with ACM, or any other appropriate procedure as determined by the NYCDEP which prevents the release of asbestos fibers.
46. EPA or USEPA: United States Environmental Protection Agency.
47. Equipment Room: Contaminated area or room that is part of the worker decontamination enclosure system with provisions for the storage of contaminated clothing and equipment.
48. Exit: That portion of a means of egress system which is separated from other interior spaces of a building or structure by fire-resistance-rated construction to provide a protected path of egress travel between the exit access and the exit discharge.
49. FDNY: The Fire Department of the City of New York.
50. Fiber: An acicular single crystal or a similarity elongated polycrystalline aggregate which displays some resemblance to organic fibers by having such properties as flexibility, high aspect ratio, silky luster, axial lineation, and others, and which has attained its shape primarily through growth rather than cleavage.
51. Fixed Object: A unit of equipment, furniture, or other item in the work area which cannot be removed from the work area. Fixed objects shall include equipment, furniture, or other items that are attached, in whole or in part, to a floor, ceiling, wall, or other building structure or system or to another fixed object and cannot be reasonably removed from the work area. Fixed objects shall also include pipes and other equipment inside the work area which are not the subject of the asbestos project. Active fire suppression system components shall not be considered fixed objects.
52. Glovebag technique: shall mean a method for removing asbestos-containing material from heating, ventilation and air conditioning (HVAC) ducts, short



pipings runs, valves, joints, elbows, and other nonplanar surfaces. The glovebag assembly is a manufactured device consisting of a large bag (constructed of at least 6-mil transparent plastic), two inward-projecting long sleeve gloves, one inward-projecting waterwand sleeve, an internal tool pouch, and an attached, labeled receptacle for asbestos waste. The glovebag is constructed and installed in such a manner that it surrounds the object or area to be decontaminated and contains all asbestos fibers released during the removal process.

53. HEPA-Filter: High efficiency particulate air filter capable of trapping and retaining 99.97 percent of particles (asbestos fibers) greater than 0.3 micrometers mass median aerodynamic equivalent diameter.
54. HEPA vacuum equipment: "HEPA vacuum equipment" shall mean vacuuming equipment with a HEPA filter.
55. Holding Area: Chamber in the equipment decontamination enclosure located between the washroom and an uncontaminated area.
56. Homogeneous Work Area: Portion of the Work Area that contains one type of ACM and/or where one type of abatement is used.
57. Industrial Hygiene: Science and art devoted to the recognition, evaluation, and control of those environmental factors or stresses, arising in or from the work place, which may cause sickness, impaired health and well being, or significant discomfort and inefficiency among worker or among the citizens of the community.
58. Industrial Hygienist: Individual having a college or university degree or degrees in Engineering, Chemistry, Physics or Medicine, or related Biological Sciences who, by virtue of special studies and training, has acquired competence in industrial hygiene. Such special studies and training must have been sufficient in all of the above cognate sciences to provide the abilities:
 - a. To recognize the environmental factors and to understand their effect on people and their well being; and
 - b. To evaluate, on the basis of experience and with the aid of quantitative measurement techniques, the magnitude of these stresses in terms of ability to impair people's health and well being; and
 - c. To prescribe methods to eliminate, control, or reduce such stresses when necessary to alleviate their efforts.



59. Isolation Barrier: The construction of partitions, the placement of solid materials, and the plasticizing of apertures to seal off the work place from surrounding areas and to contain asbestos fibers in the work area.
60. Large Asbestos Project: Asbestos project involving the disturbances (e.g., removal, enclosure, encapsulation) of 260 linear feet or more of ACM or 160 square feet or more of ACM.
61. Log: An official record of all activities that occurred during the project. At a minimum, the log shall identify the building owner, agent, asbestos abatement contractor, and workers, and other pertinent information including daily activities, cleanings and waste transfers, names and certificate numbers of asbestos handler supervisors and asbestos handlers; results of inspections of decontamination systems, barriers, and negative pressure ventilation equipment; summary of corrective actions and repairs; work stoppages with reason for stoppage; manometer readings at least twice per work shift; daily checks of emergency and fire exits and any unusual events.
62. Minor Project: A project involving the disturbance (e.g., removal, enclosure, encapsulation, repair) of 25 linear feet or less of asbestos containing material or 10 square feet or less of asbestos containing material.
63. Movable Object: Unit of equipment or furniture in the Work Area that can be removed from the Work Area.
64. Negative Air Pressure Equipment: Portable local exhaust system equipped with HEPA filtration. The system shall be capable of creating a negative pressure differential between the outside and inside of the Work Area.
65. NESHAPS: National Emission Standards for Hazardous Air Pollutants.
66. NFPA: The National Fire Protection Association.
67. NIOSH: National Institute for Occupational Safety and Health.
68. DEP or NYCDEP: New York City Department of Environmental Protection
69. NYSDOL: New York State Department of Labor.
70. NYSDOL ICR 56: "NYSDOL ICR 56" shall mean Part 56 of the Official Compilation of Codes, Rules and Regulations of the State of New York or 12 NYCRR Part 56.
71. NYSDOH: The New York State Department of Health.



72. **Obstruction:** The blocking of a means of egress with any temporary structure or barrier. A double layer of fire-retardant 6-mil polyethylene sheeting shall not be considered an obstruction when it is prominently marked as an exit with photo luminescent signage or paint and cutting tools (knife, razor) are attached to the work area side of the sheeting for use in the event that the sheeting must be cut to permit egress. A corridor shall not be considered obstructed when there is a clear path measuring at least three (3) feet wide.
73. **Occupied Area:** Area of the work site where abatement is not taking place and where personnel or occupants normally function or where workers are not required to use personal protective equipment.
74. **OSHA:** Occupational Safety and Health Administration.
75. **Outside air:** "Outside air" shall mean the air outside the work place.
76. **Person:** Individual, partnership, company, corporation, association, firm, organization, governmental agency, administration, or department, or any other group of individuals, or any officer or employee thereof.
77. **Personal Air Monitoring:** Method used to determine employees' exposure to airborne asbestos fibers. The sample is collected outside the respirator in the worker's breathing zone.
78. **Personal Protective Equipment (PPE):** Appropriate protective clothing, gloves, eye protection, footwear, and head gear.
79. **Phase Contrast Microscopy (PCM):** The measurement protocol for the assessment of the fiber content of air. (NIOSH Method 7400).
80. **Physician:** Person licensed or otherwise authorized under Article 131 Section 65.22 of the New York State Education Law.
81. **Plasticize:** To cover floors and walls with fire retardant plastic sheeting as herein specified or by using spray plastics as acceptable to the Department.
82. **Polarized Light Microscopy (PLM):** The measurement protocol for the assessment of the asbestos content of bulk materials. (Interim Method for the Determination of Asbestiform Materials in Bulk Insulation Samples- 40 CFR Part 763, Subpart F, Appendix A as amended on September 1, 1982)
83. **Project Designer:** A person who holds a valid Project Designer Certificate issued by the New York State Department of Labor.



84. **Project Monitor:** A person who holds a valid Project Monitor Certificate issued by the New York State Department of Labor.
85. **Qualitative Fit Test:** Individual test subject's responding (either voluntarily or involuntarily) to a chemical challenge outside the respirator face-piece. Acceptable methods include irritant smoke test, odorous vapor test, and taste test.
86. **Quantitative Fit Test:** Exposing the respiratory wearer to a test atmosphere containing an easily detectable, nontoxic aerosol, vapor or gas as the test agent. Instrumentation, which samples the test atmosphere and the air inside the face-piece of the respirator, is used to measure quantitatively the leakage into the respirator. There are a number of test atmospheres, test agents, and exercises to perform during the test.
87. **Registered Design Professional:** A person licensed and registered to practice the professions of architecture or engineering under the Education Law of the State of New York.
88. **Removal:** Stripping of any asbestos- containing materials from surfaces or components of a facility or taking out structural components in accordance with 40 CFR 61 Subparts A and M.
89. **Renovation:** An addition or alteration or change or modification of a building or the service equipment thereof, that is not classified as an ordinary repair as defined in §27-125 of the Administrative Code of the City of New York.
90. **Repair:** Corrective action using specified work practices (e.g., glovebag, plastic tent procedures, etc.) to minimize the likelihood of fiber release from minimally damaged areas of ACM.
91. **Replacement material:** Any material used to replace ACM that contains less than .01 percent asbestos.
92. **Shift:** A worker's, or simultaneous group of workers', complete daily term of work.
93. **Shower Room:** Room between the clean room and the equipment room in the worker decontamination enclosure with hot and cold running water controllable at the tap and arranged for complete showering during decontamination.
94. **Small Asbestos Project:** Asbestos project involving the disturbance (e.g., removal, enclosure, encapsulation) of more than 25 and less than 260 linear feet of ACM or more than ten and less than 160 square feet of ACM.



95. Staging Area: Work Area near the waste transfer airlock where containerized asbestos waste has been placed prior to removal from the Work Area.
96. Strip: To remove asbestos materials from any part of the facility.
97. Structural Member: Load-supporting member of a facility, such as beams and load-supporting walls, or any non-load-supporting member, such as ceiling and non-load-supporting walls.
98. Surface barriers: The plasticizing of walls, floors, and fixed objects within the work area to prevent contamination from subsequent work.
99. Surfactant: Chemical wetting agent added to water to improve penetration.
100. Transmission Electron Microscopy (TEM): The measurement protocol for the assessment of the asbestos fiber content of air. Interim Transmission Electron Microscopy Analytical Methods-40 CFR Part 763, Subpart E, Appendix A.
101. Visible Emissions: Emissions containing particulate material that are visually detectable without the aid of instruments.
102. Washroom: Room between the Work Area and the holding area in the equipment decontamination enclosure system where equipment and waste containers are wet cleaned and/or HEPA-vacuumed prior to disposal.
103. Waste decontamination enclosure system: “Waste decontamination enclosure system” shall mean the decontamination enclosure system designated for the controlled transfer of materials and equipment, consisting of a washroom and a holding area.
104. Wet Cleaning: “Wet cleaning” shall mean the removal of asbestos fibers from building surfaces and objects by using cloths, mops, or other cleaning tools which have been dampened with water.
105. Wet methods: “Wet methods” shall mean the use of amended water or removal encapsulants to minimize the generation of fibers during ACM disturbance.
106. Work Area: Designated rooms, spaces, or areas of the building or structure where asbestos abatement activities take(s) place.



107. Worker Decontamination Enclosure System: Portion of a decontamination enclosure system designed for controlled passage of workers and authorized visitors, consisting of a clean room, a shower room, and an equipment room separated from each other and from the Work Area by airlocks and curtained doorways.
108. Work Place: The work area and the decontamination enclosure system(s).
109. Work Place Safety Plan: Construction documents prepared by a registered design professional and submitted for review by DEP in order to obtain an asbestos abatement permit. Such plan shall include, but not be limited to, plans, sections, and details of the work area clearly showing the extent, sequence, and means and methods by which the work is to be performed.
110. Work Site: Premises where abatement activity is being performed. May be composed of one or more Work Areas.

1.06 STANDARD OPERATING PROCEDURES

- A. Develop and implement a written standard procedure for abatement work to ensure maximum protection and safeguard from asbestos exposure of the workers, visitors, employees, public, and environment.
- B. TELEPHONE DEVICE

The asbestos abatement contractor or his authorized representative shall, at all times during the normal workday or during periods of overtime work under this Contract, carry a mobile cellular telephone capable of transmitting photographs and data. He/she shall supply the Department of Design and Construction with the phone number for the device and he/she is liable to respond back to the calls from DDC within the next one (1) hour period after he/she receives calls from DDC. The cost to the asbestos abatement contractor for this device and all charges accruing thereto is deemed included in the work.

- C. The standard operating procedure shall ensure:
 1. Tight security from unauthorized entry into the workspace.
 2. Restriction of asbestos abatement contractor's personnel to the immediate Work Area and access/egress routes.
 3. Donning of proper protective clothing and respiratory protection prior to entering the Work Area.



4. Safe work practices in the work place, including provisions for inter-room communications, exclusion of eating, drinking, smoking, or in any way breaking the respiratory protection.
 5. Proper exit practices from the work space to the outside through the showering and decontamination facilities.
 6. Removing asbestos in a way that minimizes release of fibers.
 7. Packing, labeling, loading, transporting, and disposing of contaminated material in a way that minimizes exposure and contamination.
 8. Emergency evacuation procedures, for medical or safety situations, to minimize the potential exposure to airborne asbestos fibers for emergency personnel, building occupants, and building environment.
 9. Safety from accidents in the workspace, especially from electrical shocks, fall hazards associated with scaffolding, slippery surfaces, and entanglements in loose hoses and equipment.
 10. Provisions for effective supervision, air monitoring and personnel monitoring for exposure during the work.
 11. Engineering controls that minimize exposure to fibers within the workspace.
 12. The asbestos abatement contractor shall provide a 24-hour fire watch throughout the entire term of the project, to protect against fire and unauthorized entry into the workspace when required by the NYCDEP. Fire watch shall be performed by an individual who is a certified asbestos worker capable of entering the Work Area for regular inspections.
- D. Provide an Asbestos Handler Supervisor to provide continuous supervision of all work, and to be responsible for the following:
1. Ensure that individuals are using proper personal protective equipment, are trained in its use and hold valid NYCDEP and NYSDOL Asbestos Handler certificates.
 2. Maintain entry log records and ensure that they are recorded in accordance with the provisions of Title 15, Chapter 1 of RCNY and NYSDOL ICR 56.
 3. Surveillance of the Work Areas at a minimum of once per work shift or as required by Title 15, Chapter 1 of RCNY and NYSDOL ICR 56 -7.3, to ensure the integrity of work place isolation, negative pressure equipment



and workers personal protective equipment is not torn or ripped and that respiratory protection is worn at all times.

4. Ensure that sufficient personal protective equipment is stored in the clean room.
5. Take precautions to prevent heat stress. Precautions include, but are not limited to, selecting lightweight protective clothing, reducing the work rate, and providing adequate fluid breaks.
6. Perform work area inspection with project monitor prior to the commencement of final clearance air monitoring.
7. The asbestos abatement contractor shall retain the asbestos handler supervisor to perform a visual inspection prior to the post-abatement clearance air monitoring to confirm that all containerized waste has been removed from work and holding areas and there is no visible ACM debris or residue on or about all abated surfaces.

E. ENGINEERING CONTROLS

1. All asbestos projects shall utilize negative pressure ventilation equipment.
 - a. The asbestos abatement contractor shall use a manometer to document the pressure differential. The asbestos abatement contractor shall install and make the manometer operational once the negative pressure has been established in the work area. Magnahelic manometers shall be calibrated at least every six months and a copy of the current calibration certification shall be available at the work site.
2. Negative pressure ventilation equipment shall be installed and operated to provide at least one air change in the work area every 15 minutes. Where there are no floor or wall barriers because floor or wall material is being abated, there shall be at least one air change in the work area every ten minutes.
3. The negative pressure ventilation equipment shall operate continuously, 24 hours a day, from the establishment of isolation barriers through successful clearance air monitoring. If such equipment shuts off, adjacent areas shall be monitored for asbestos fibers.



4. A static negative air pressure of 0.02 inches (minimum) water column shall be maintained at all times in the work place during abatement to ensure that contaminated air in the Work Area does not filter back to uncontaminated areas.
5. If the contaminated area of an asbestos project covers the entire floor of the affected building, or an area greater than 15,000 square feet on any given floor, the installation of a negative air cut off switch or switches shall be required at a single location outside the work place, such as inside a stairwell, or at a secured location in the ground floor lobby when conditions warrant. The required switch or switches shall be installed by a licensed electrician pursuant to a permit issued by the Department of Buildings. If negative pressure ventilation equipment is used on multiple floors, the cut off switch shall be able to turn off the equipment on all floors.
6. On loss of negative pressure or electric power to the negative pressure ventilating units, abatement shall stop immediately and shall not resume until power is restored and negative pressure ventilation equipment is operating again.
7. Negative pressure ventilation equipment shall be exhausted to the outside of the building away from occupied areas.
 - a. All openings (including but not limited to operable windows, doors, vents, air intakes or exhausts of any mechanical devices) less than 15 feet from the exterior exhaust duct termination location shall be plasticized with two layers of fire retardant 6-mil polyethylene sheeting, or a second negative pressure ventilation unit with the primary unit's capacity shall be connected in series prior to exhausting to the outside.
 - b. Negative pressure ventilation equipment shall exhaust away from areas accessible to the public.
 - c. All ducting shall be sealed and braced or supported to maintain airtight joints. Ducts shall be reinforced and shall be installed so as to prevent breakage. Damage to ducts must be repaired immediately.
8. Where ducting to the outside is not possible, a second negative pressure ventilation unit compatible with the primary unit's capacity shall be connected in series. The area receiving the exhaust shall have sufficient, non-recycling exhaust capacity to the outside of the structure.



9. In the event that there is a failure of the containment system or a breach in the Isolation Barriers, all abatement work will cease and the asbestos abatement contractor will immediately correct the condition. Abatement work will not resume until the Work Area has been smoke tested by the third party laboratory and approved by the Construction Project Manager.

F. LOCKDOWN ENCAPSULATION PROCEDURES

1. The following procedures shall be followed to seal in non-visible residue while conducting lockdown encapsulation on all surfaces from which ACM has not been removed:
 - a. Only encapsulants rated as acceptable or marginally acceptable on the basis of Battelle Columbus Laboratory test procedures and rating requirements developed under the 1978 USEPA Contract shall be used for lockdown encapsulation.
 - b. The encapsulant solvent or vehicle shall not contain a volatile hydrocarbon unless reviewed and approved by DEP.
 - c. Latex paint with solids content greater than 15 percent shall be considered a lockdown sealant for coating all non-metallic surfaces.
 - d. Encapsulants shall be applied using airless spray equipment. Spraying is to occur at the lowest pressure range possible to minimize fiber release from encapsulant impact at the surface. It shall be applied with a consistent horizontal or vertical motion.
 - e. The cleaned layer of the surface barriers shall be removed from walls and floors.

The isolation barriers shall remain in place throughout cleanup. Decontamination enclosure systems shall remain in place and be utilized. A thin coat of lockdown encapsulant shall be applied to all surfaces in the work area which were not the subject of removal or abatement, including the cleaned layer of the surface barriers, but excepting sprinklers, standpipes, and other active elements of the fire suppression system.

1.07 NOTIFICATIONS, PERMITS, WARNING SIGNS, LABELS, AND POSTERS

- A. The asbestos abatement contractor shall submit an Asbestos Project Notification (ACP-7) to the NYCDEP listing each work area within the building separately one week in advance of the start of work.



- B. The registered design professional shall obtain an asbestos abatement permit authorizing the performance of construction work as required for asbestos projects involving one or more of the following activities:
1. Obstruction of an exit door leading to an exit stair or the exterior of the building;
 2. Obstruction of an exterior fire escape or access to that fire escape;
 3. Obstruction of a fire-rated corridor leading to an exit door;
 4. Removal of handrails in an exit stair or ramp;
 5. Removal or dismantling of any fire alarm system component including any fire alarm-initiating device (e.g., smoke detectors, manual pull station);
 6. Removal or dismantling of any exit sign or any component of the exit lighting system, including photo luminescent exit path markings;
 7. Removal or dismantling of any part of a sprinkler system including piping or sprinkler heads;
 8. Removal or dismantling of any part of a standpipe system including fire pumps or valves;
 9. Removal of any non-load bearing / non-fire-rated wall (greater than 45 square feet or 50 percent of a given wall);
 10. Any plumbing work other than the repair or replacement of plumbing fixtures;
 11. Removal of any fire-resistance rated portions of a wall, ceiling, floor, door, corridor, partition, or structural element enclosure including spray-on fire resistance rated materials;
 12. Removal of any fire damper, smoke damper, fire stopping material, fire blocking, or draft stopping within fire-resistance rated assemblies or within concealed spaces;
 13. Any work that otherwise requires a permit from the DOB (full demolitions, alterations, renovations, modifications or plumbing work).
- C. The asbestos abatement contractor shall provide a floor plan showing the areas of the building under abatement and the location of all fire exits in said areas. It shall be prominently posted in the building lobby or comparable location, along with a



notice stating the location within the building of the negative air cutoff switch, if applicable.

- D. When one or more of the activities listed in 1.07 (B) (1-8) and (B)(13) of this specification an asbestos abatement permit is required by DEP. The general contractor is responsible for submitting, a work place safety plan (WPSP) and any other applicable construction documents. These documents must be prepared and sealed by a registered design professional.
- E. A WPSP is not required for projects requiring an asbestos abatement permit due to one or more of the activities listed in 1.07 (B) (9-12) of this specification. The asbestos abatement contractor shall submit, together with the asbestos project notification, all applicable asbestos abatement permit construction documents.
- F. The general contractor shall retain a Registered Design Professional to perform the inspections required pursuant to Title 28 of the Administrative Code, including but not limited to special inspections required by Chapter 17 of the Building Code, as follows:
 - 1. A final inspection shall be performed by a registered design professional retained by the general contractor after all work authorized by the asbestos abatement permit is completed. The person performing the inspection shall note all failures to comply with the provisions of the Building Code or approved asbestos abatement permit and shall promptly notify the owner in writing. All defects noted in such inspection shall be corrected. The final inspection report shall either:
 - a. Confirm:
 - (1) That the construction work is complete, including the reinstallation or reactivation of any building fire safety or life safety component.
 - (2) That any defects previously noted have been corrected.
 - (3) That all required inspections were performed.
 - (4) That the work is in substantial compliance with the approved asbestos abatement permit construction documents, the Building Code, and other applicable laws and rules.
 - b. Confirm:
 - (1) That the construction work does not return the building (or portion thereof) affected by the abatement project to a condition



compliant with the building code and other applicable laws and rules, but that the registered design professional has reviewed an application for asbestos abatement permit construction documents approval that has been approved by the department of buildings, and the subsequent scope of work as approved will, upon completion, render all areas affected by the asbestos project in full compliance with the building code and all applicable laws and rules.

- (2) That any defects previously noted that are not addressed by the subsequent scope of work as approved by the department of buildings, have been corrected.
 - (3) That all required inspections that are not addressed by the subsequent scope of work as approved by the department of buildings were performed.
 - (4) That all completed work pursuant to an asbestos abatement permit is in substantial compliance with the approved asbestos abatement permit construction documents.
- G. The Registered Design Professional shall provide the final inspection reports to be filed with DEP on A-TR1 form. Records of final inspections made by registered design professionals shall be submitted to DDC as part of the close out document package.
- H. Erect bilingual (English-Spanish) warning signs around the work space and at every point of potential entry from the outside and at main entrance to building which can be viewed by the public without obstruction, in accordance with OSHA 29 CFR 1926.1101 (K) (Sign Specifications) and Title 15, Chapter 1 of RCNY. The warning signs shall be a bright color so that they will be easily noticeable. The size of the sign and the size of the lettering shall be no less than OSHA requirements.
- I. Provide the required labels for all polyethylene bags and all drums utilized to transport contaminated material to the landfill in accordance with OSHA 29 CFR 1926.1101 (K)(2) and by 49 CFR Parts 171 and 172 of the Department of Transportation regulations.
- J. Provide any other signs, labels, warnings, and posted instructions that are necessary to protect, inform and warn people of the hazard from asbestos exposure. Post in a prominent and convenient place for the workers a copy of the latest applicable regulations from OSHA, EPA, NIOSH, State of New York and New York City and any additional items mandated for posting by the aforementioned regulations.
- K. Furnish all permits, variances and notices required to perform the Work.



1.08 EMERGENCY PRECAUTIONS

- A. Establish emergency and fire exits from the Work Area. The clean side of all emergency exits shall be equipped with two full sets of protective clothing and respirators at all times.
- B. Notify local medical emergency personnel, both ambulance crews and hospital emergency room staff prior to commencement of abatement operations as to the possibility of having to handle contaminated or injured workmen.
- C. Prepare to administer first aid to injured personnel after decontamination. Seriously injured personnel shall be treated immediately or evacuated immediately for decontamination. When an injury occurs, precautions shall be taken to reduce airborne fiber concentrations (i.e., misting of the air with water) until the injured person has been removed from the Work Area.
- D. Notify, before actual removal of the asbestos material, the local police and fire departments to the danger of entering the Work Area. Asbestos abatement contractor shall make every effort to help these agencies form plans of action should their personnel need to enter the contaminated area.

1.09 SUBMITTALS

- A. Pre-Construction Submittals:
 - 1. Attend a pre-construction meeting scheduled by the Department. This meeting shall also be attended by a designated representative of the City of New York third party air monitoring firm, facility manager and the Construction Project Manager. At this meeting, the asbestos abatement contractor shall present three copies of the following items, bound and indexed. The detailed plan of action must be submitted at least five (5) days prior to the pre-construction meeting.
 - a. Asbestos abatement contractor's scope of work, work plan and schedule.
 - b. Asbestos project notifications, approved variances and plans to Government Agencies.
 - c. Copies of Permits, clearance and licenses if required.
 - d. Schedules: the asbestos abatement contractor shall provide to the Construction Project Manager a copy of the following schedules for



approval. Once approved, schedules shall be maintained and updated as received. Asbestos abatement contractor shall post a copy of all schedules at the site:

- (1) A construction schedule stating critical dates of the project including, but not limited to, mobilization, Work Area preparation, demolition, gross removal, fine cleaning, encapsulation, inspections, clearance monitoring, and phase of refinishing and final inspections. The schedule shall be updated biweekly, at a minimum.
 - (2) A schedule of staffing stating number of workers per shift per activity, name and number of supervisor(s) per shift, shifts per day, and total days to be worked.
 - (3) Submit all changes in schedule or staffing to the Construction Project Manager prior to implementation.
 - (4) A schedule of equipment to be used including numbers and types of all major equipment such as HEPA Air Filtration Units, HEPA-vacuums, airless sprayers, Water Atomizing Devices and Type "C" compressors.
- e. A written plan and shop drawings for preparation of work site and decontamination chamber.
 - f. Description of protective clothing and approved respirator to be used, make, model, NIOSH approval numbers.
 - g. Delineation of responsibility of work site supervision, including competent person, with names, resumes, and home telephone numbers.
 - h. Explanation of decontamination sequence and isolation techniques.
 - i. Description of specific equipment to be utilized, including make and model number of air filtration devices, vacuums, sprayers, etc.
 - j. Description of any prepared methods, procedures, techniques, or equipment other than those specified in the Contract Documents.
 - k. Explanation of the handling of asbestos contaminated wastes including EPA and NYCDEC identification numbers of Waste Hauler.



- l. Description of the final clean-up procedures to be used.
- m. Name and qualifications of asbestos abatement contractor's Air Monitor including AIHA accreditation, and proof of NIOSH PAT and NIST/NVLAP Bulk Quality Assurance Proficiency of OSHA samples for approval by the City of New York Department of Design and Construction.
- n. Written description of emergency procedures to be followed in case of injury or fire. This section must include evacuation procedures, source of medical assistance (name and telephone number) and procedures to be used for access by medical personnel (examples: first aid squad and physician). NOTE: Necessary Emergency Procedures Shall Take Priority Over All Other Requirements of These Specifications.
- o. Safety Data Sheets (SDS) for encapsulants, sealants, firestopping foam, cleaners/disinfectants, spray adhesive and any and all potentially hazardous materials that may be employed on the project. No work involving the aforementioned will be allowed to proceed until SDS are reviewed.
- p. Worker Training and Medical Surveillance: Asbestos abatement contractor shall submit a list of the NYSDOL and NYCDEP Asbestos supervisors and handlers who will work on this project. Present evidence that workers have received proper training required by the regulations and required by OSHA 29 CFR 1926.1101 (Asbestos Standard) and 1926.1200 (HAZCOM standard) and any other standards applicable to the work.
- q. Logs: Specimen copies of daily progress log, visitor's log, and disposal log.
 - (1) The asbestos abatement contractor shall provide a permanently bound log book of minimum 8-1/2" x 11" size at the entrance to the Worker and Waste Decontamination enclosure system as hereinafter specified. Log book shall contain all information specified in ICR56-3.4 (a)(2)(i).
 - (2) All entries into the log shall be made in non-washable, permanent ink and such pen shall be strung to or otherwise attached to the log to prevent removal from the log-in area. Under no circumstances shall pencil entries be permitted. Any significant events occurring during the abatement project shall be entered into the log. Upon completion of the job, the Asbestos abatement contractor shall submit a copy of the



logbook containing a day-to-day record of personnel log entries countersigned by the Construction Project Manager every day.

(3) **Worker's Acknowledgments:** Submit statements signed by each employee that the employee has received training in the proper handling of ACM, understands the health implications and risks involved; and understands the use and limitations of the respiratory equipment to be used.

B. During Construction Submittals:

Submit copies of the following items to the Construction Project Manager during the work:

1. Security and safety logs showing names of person entering workspace, date and time of entry and exit, record of any accident, emergency evacuation, and any other safety and/or health incident.
2. Progress logs showing the number of workers, supervisors, hours of work and tasks completed shall be submitted daily to the Construction Project Manager.
3. Floor plans indicating asbestos abatement contractor's current work progress shall be submitted for review by the Construction Project Manager at weekly progress meetings.
4. All asbestos abatement contractors' air monitoring and inspection results.

C. Project Closeout Submittals:

Upon completion of the project and as a condition of acceptance, the asbestos abatement contractor shall present two copies of the following items, bound and indexed:

1. Lien Waivers from asbestos abatement contractor, Sub-asbestos abatement contractors and Suppliers,
2. Daily OSHA air monitoring results,
3. All Waste Manifests (Asbestos and Construction Debris), seals and disposal logs,
4. Field Sign-In/Sign-Out Logs for every shift,
5. Copies of all Building Department Forms and Permits,



6. A Letter of Compliance stating that all the work on this project was performed in accordance with the Specifications and all applicable Federal, State and Local regulations,
7. All Warranties as stated in the Specifications,
 - a. Fully executed disposal certificates and transportation manifest.
8. Project Record: The asbestos abatement contractor shall maintain a project record for all small and large asbestos projects. During the project, the project record shall be kept on site at all times. Upon completion of the project, the project record shall be maintained by the building owner. The project record shall consist of:
 - a. Copies of licenses of all asbestos abatement contractors involved in the project;
 - b. Copies of DEP and NYSDOL supervisor and handler certificates for all workers engaged in the project;
 - c. Copies of all project notifications and reports filed with DEP, NYSDOL and EPA for the project, with any amendments or variances;
 - d. Copies of all asbestos abatement permits, including associated approved plans and work place safety plan;
 - e. A copy of the air sampling log and all air sampling results;
 - f. A copy of the abatement asbestos abatement contractor's daily log book;
 - g. All data related to bulk sampling including the results of any asbestos surveys performed by an asbestos investigator;
 - h. Copies of all asbestos waste manifests;
 - i. A copy of all Project Monitor's Reports (ACP-15).
 - j. A copy of each ATR-1 Form completed for the asbestos project (if required).
 - k. A copy of each Asbestos Project Conditional Closeout Report (ACP-20).



- l. A copy of the Asbestos Project Completion Form (ACP-21).
 - m. A copy of the project record shall be submitted to DDC and its Third Party Air Monitor within 48 hours of the Issuance of the ACP-21 form, as part of the close out documents.
9. The asbestos abatement contractor shall submit one of the following certifications to the general contractor, with a copy provided to DDC:
- a. Asbestos Project Completion Form. If an asbestos project has been performed, a copy of the asbestos project completion form issued by DEP shall be submitted to DOB, with a copy being provided to DDC, prior to the issuance of a DOB permit and to any amendment of the underlying construction document approval which increases the scope of the project to include (a) work area(s) not previously covered.
 - b. An Asbestos Project Conditional Close-out Form. If an asbestos project has been performed a copy of the asbestos project conditional close-out form issued by DEP shall be submitted to DOB, with a copy being provided to DDC, prior to the issuance of a DOB permit and to any amendment of the underlying construction document approval which increases the scope of the project to include (a) work area(s) not previously covered.

1.10 QUALITY ASSURANCE

- A. All work required for the completion of this project or called for in this Specification must be executed in a workmanlike manner by using the appropriate methods established by regulatory requirements and/or industrial standards. All workmanship or work methods are subject to review and acceptance by the Construction Project Manager. Throughout the Specification, reference is made to codes and standards which establish qualities, levels or types of workmanship which will be considered acceptable. It is the asbestos abatement contractor's responsibility to comply with these codes and standards during the execution of this work.
- B. All materials and equipment required or consumed during the work of this Contract must meet the minimum acceptable criteria established by codes and standards referenced elsewhere in this Specification. Materials and equipment must be submitted for prior approval to the DDC project manager as part of the asbestos abatement contractor's "Shop Drawings".



- C. It is the asbestos abatement contractor's responsibility, when so required by the Specification or upon written request from the Commissioner or his representative to furnish all required proof that workmanship, materials and/or equipment meet or exceed the codes and standards referenced. Such proof shall be in the form requested, typically a certified report or test conducted by a testing entity approved for that purpose by DDC.
- D. The asbestos abatement contractor shall furnish proof that employees working under his supervision have had instruction on the dangers of asbestos exposure, on respirator use, decontamination, and OSHA regulations. This proof shall be in the form of a notarized affidavit to the effect that the above requirements have been satisfied and a copy of the Job Hazard Analysis (JHA) with tool box meeting executed meeting sign in sheet.
- E. The asbestos abatement contractor will have posted and in view at the job site the OSHA regulations 29 CFR 1910.1001, and 1926.1101 Asbestos Standard, and 29 CFR 1926.59 Hazard Communication Standard Environmental Protection Agency 40 CFR, Part 61, subpart B: National Emission Standard for asbestos, asbestos stripping, work practices and disposal of asbestos waste. One copy of NYC Title 15, Chapter 1 of RCNY and NYS DOL ICR 56 at the job site at all times.
- F. Familiarity with Pertinent Codes and Standards: In procuring all items used in this work, it is the asbestos abatement contractor's responsibility to verify the detailed requirements of the specifically named codes and standards and to verify that the items procured for use in this work meet or exceed the specified requirements, and are suitable for their intended use.
- G. Rejection of Non-Complying Items: The Commissioner reserves the right to reject items incorporated into the work that fail to meet the specified minimum requirements. The Commissioner further reserves the right, and without prejudice to other recourse that maybe taken, to accept non-complying items subject to an adjustment in the Contract amount as approved by the City.
- H. Applicable Regulations, Codes and Standards: Applicable standards listed in these Specifications include, but are not necessarily limited to, standards promulgated by the following agencies and organizations:
 - 1. American National Standards Institute (ANSI)
(Successor to USASI and ASA)
25 West 43rd Street (between 5th and 6th Avenue) 4th Floor
New York, NY 10036
212-642-4900
 - 2. American Society for Testing and Materials (ASTM)
100 Bar Harbor Drive



West Conshohocken, PA 19428-2959
610-832-9500

3. National Institute for Occupational Safety and Health (NIOSH)
Robert A. Taft Laboratory
4676 Columbia Pkwy
Mailstop R12 Cincinnati, Ohio 45226
513-841-4428
4. National Electrical Code (NEC)
See NFPA
5. National Fire Protection Association (NFPA)
1 Batterymarch Park
Quincy, Massachusetts 02169-7471
617-770-3000
6. New York City Fire Department (FDNY)
9 Metrotech Center
Brooklyn, NY 11201-5431
718-999-2117
7. New York City Department of Buildings (NYC DOB)
Enforcement Division
280 Broadway, New York, New York 10007
212- 566-2850
8. New York City Department of Environmental Protection (NYCDEP)
Bureau of Environmental Compliance
Asbestos Control Program
59-17 Junction Boulevard, 8th Floor
Corona, New York 11368
718-595-3682
9. New York City Department of Health and Mental Hygiene (NYC DOHMH)
Environmental Investigation
125 Worth Street
New York, New York 10013
212-442-3372
10. New York State Department of Labor (NYSDOL)
Division of Safety and Health, Engineering Services Unit
State Office Building Campus
Albany, New York 12240-0010



11. New York City Department of Sanitation
125 Worth Street, Room 714
New York, New York 10013
212-566-1066

 12. Occupational Safety and Health Administration (OSHA)
Region II - Regional Office
201 Varick Street, Room 908
New York, New York 10014
212-337-2378

 13. United States Environmental Protection Agency (EPA or USEPA)
Region II
Asbestos NESHAPS Contact
Air and Waste Management Division
(Air Compliance Branch) – USEPA
290 Broadway, 21st Floor
New York, New York 10007-1866
212-637-3660
- I. Post all applicable regulations in a conspicuous place at the job site. Assure that the regulations are not altered, defaced or covered by other materials. One copy of each regulation must also be kept at the Asbestos abatement contractor's office.



1.11 CITY/ASBESTOS ABATEMENT CONTRACTOR RESPONSIBILITIES

- A. The normal occupants of the Work Areas will be relocated by the City prior to the performance of the abatement work and returned there to at the conclusion of the abatement work, at no cost to the asbestos abatement contractor. However, the asbestos abatement contractor shall protect all furniture and equipment in the Work Areas in a manner as hereinafter specified. In addition, the asbestos abatement contractor shall perform the work of this Contract in a manner that will be least disruptive to the normal use of the non-Work Areas in the building.
- B. Asbestos abatement contractor shall be responsible for cleaning all portable items not specifically addressed by the Facility, in the Work Areas, or dispose of same as asbestos contaminated waste.
- C. Facility to provide asbestos abatement contractor with a list of items that cannot be removed and need special attention.
- D. Facility to stop all deliveries that may be scheduled to the Work Area while work is in progress.
- E. Facilities to have authorized personnel on site at all times or supply the asbestos abatement contractor with means of contacting such personnel without unreasonable delay. Such personnel shall have access to all areas, have knowledge of electrical, and air handling equipment. Such personnel shall assist the asbestos abatement contractor in case of any power failure or breakdown to shut down air supply systems, to reset and control all protective systems such as alarms, sprinklers, locks, etc. The Facility shall ensure no active air handling systems are operating within the Work Area.
- F. City will not occupy the portions of the building, in which work is being performed during the entire asbestos removal operation, including completion of clean up.
- G. Asbestos abatement contractor shall provide a plan for 24 hour job security both for prevention of theft and for barring entry of curious but unprotected personnel into Work Areas, as required by the Department.
- H. Asbestos abatement contractor shall provide surveillance by a fire watch and set forth procedures to be taken for the safety of building occupants in the event of an emergency, in accordance with the WSPS and DEP regulations.
- I. Should the failure of any utility occur, the City will not be responsible to the asbestos abatement contractor for loss of time or any other expense incurred.



- J. Facility will be responsible to notify the asbestos abatement contractor of any planned electrical power shutdowns in order to ensure that there are no power interruptions in the negative air pressure systems.
- K. Asbestos abatement contractor shall remove all flammable materials from the work area and all sources of ignition (including but not limited to pilot lights) shall be extinguished.
- L. Asbestos abatement contractor shall require a competent person (as defined in OSHA 1926.1101) to perform the following functions and to be on-site continuously for the duration of the project:
 - 1. Monitor the set up of the Work Area enclosure and ensure its integrity.
 - 2. Control entry and exit into the work enclosure.
 - 3. Ensure that employees are adequately trained in the use of engineering controls, proper work practices, proper personal protective equipment and in decontamination procedures.
 - 4. Ensure that employees use proper engineering controls, proper work practices, proper personal protective equipment and proper decontamination procedures.
 - 5. The competent person (as defined in OSHA1926.1101) shall check for rips and tears in work suits, and ensure that they are mended immediately or replaced.

1.12 USE OF BUILDING FACILITIES

- A. City shall make available to the asbestos abatement contractor, from existing outlets and supplies, all reasonably required amounts of water and electric power at no charge.
- B. Electric power to all Work Areas shall be shut down and locked out except for electrical equipment that must remain in service. Safe temporary power and lighting shall be provided by asbestos abatement contractor in accordance with applicable codes. All power to Work Areas shall be brought in from outside the area through ground-fault interrupter circuits installed at the source. Stationary electrical equipment within the Work Area, which must remain in service, shall be adequately protected, enclosed and ventilated. The Facility will identify all electric lines that must remain in service. Asbestos abatement contractor shall protect all lines.



- C. Asbestos abatement contractor shall provide, at his own expense, all electrical, water, and waste connections, tie-ins, extensions, and construction materials, supplies, etc. All water tie-ins shall be hard piped with polyethylene or copper piping. At the end of each shift, asbestos abatement contractor shall disconnect all hoses within the work zone and place in equipment room of the worker decontamination unit. Asbestos abatement contractor shall ensure positive shutoff of all water to Work Area during non-working hours.
- D. Utilities:
1. General:
All temporary facilities required to be installed, shall be subject to the approval of the Commissioner. Prior to starting the work at any site; specify clearly the temporary locations of facilities preferably with sketches and submit the same to the Construction Project Manager for approval.
 2. Water:
The Department of Design and Construction will furnish all water needed for construction, at no cost to the asbestos abatement contractor in buildings under their jurisdiction. All temporary plumbing or adaptations to supply the needs of the Work Area shall be installed and removed by the asbestos abatement contractor and the cost thereof included in the Lump Sum price for abatement work. Shower water for the decontamination unit shall be provided hot. Heating of water, if necessary, shall be provided by the asbestos abatement contractor.
 3. Electricity:
The Department of Design and Construction will furnish all electricity needed for construction, at no cost to the asbestos abatement contractor in buildings under their jurisdiction. All temporary electrical work or adaptations to supply the needs of the Work Area shall be installed and removed by the asbestos abatement contractor and the cost thereof included in the Lump Sum price for abatement work.

In leased spaces, arrangements for water supplies and electricity must be made with the landlord. However, all such arrangements must be made through and are subject to approval of the Department of Design and Construction. Utilities will be provided at no cost to the asbestos abatement contractor. However, it is the asbestos abatement contractor's (or the General contractor's) responsibility to furnish and install a suitable distribution system to the Work Area. This system will be provided at no cost to the City.

A dedicated power supply for the negative pressure ventilating units shall be utilized. The negative air equipment shall be on a ground fault circuit



interrupter (GFCI) protected circuit separate from the remainder of the work area temporary power circuits.

- E. Asbestos abatement contractor shall shut down and lock out all electric power to all work areas except for electrical equipment that must remain in service. Safe temporary power and lighting shall be provided in accordance with all applicable codes. Existing light sources (e.g., house lights) shall not be utilized. All power to work areas shall be brought in from outside the area through ground-fault circuit interrupter at the source.
1. If electrical circuits, machinery, and other electrical systems in or passing through the work area must stay in operation due to health and safety requirements, the following precautions must be taken:
 - a. All unprotected cables, except low-voltage (less than 24 volts) communication and control system cables, panel boxes of cables and joints in live conduit that run through the work area shall be covered with three (3) independent layers of six (6) mil fire retardant polyethylene. Each layer shall be individually duct taped and sealed. All three (3) layers of polyethylene sheeting shall be left in place until satisfactory clearance air sampling results have been obtained.
 - b. Any energized circuits remaining in the work area shall be posted with a minimum two (2) inch high lettering warning sign which reads: **DANGER LIVE ELECTRICAL - KEEP CLEAR**. A sign shall be placed on all live covered barriers at a maximum of ten (10) foot intervals. These signs shall be posted in sufficient numbers to warn all persons authorized to enter the work area of the existence of the energized circuits.
 2. Any source of emergency lighting which is temporarily blocked as a result of work place preparation shall be replaced for the duration of the project by battery operated or temporary exit signs, exit lights, or photo luminescent path markings.
- F. Asbestos abatement contractor shall provide a separate temporary electric panel board to power asbestos abatement contractor's equipment. The Facility will designate an existing electrical source in proximity to the Work Area. Asbestos abatement contractor's licensed electrician shall provide temporary tie-in via cable, outlet boxes, junction boxes, receptacles and lights, all with ground fault interruption. At no time shall extension cords greater than 50-feet in length be allowed. All temporary electrical installation shall be in accordance with OSHA regulations. The electric shut down for power panel tie-in will be on off-hours and must be coordinated with the Facility. Asbestos abatement contractor shall provide



to the City a specification and drawing outlining his power requirements at the pre-construction meeting.

- G. Additional electrical equipment (i.e., transformers, etc.), which is necessary due to the lack of existing power on the floor, shall be at the asbestos abatement contractor's expense.
- H. Asbestos abatement contractor shall provide fire protection in accordance with all State and Local fire codes.
- I. Sprinklers, standpipes, and other fire suppression systems shall remain in service and shall not be plasticized.
- J. When temporary service lines are no longer required, they shall be removed by the asbestos abatement contractor. Any parts of the permanent service lines, grounds and buildings, disturbed or damaged by the installation and/or removal of the temporary service lines, shall be restored to their original condition by asbestos abatement contractor. Senior Stationary Engineer will inspect and test all switches, controls, gauges, etc. and shall submit a list to the Construction Project Manager of any equipment damaged by the asbestos abatement contractor.
- K. Asbestos abatement contractor shall supply hot shower water necessary for use in the decontamination unit.

1.13 USE OF THE PREMISES

- A. Asbestos abatement contractor shall confine his apparatus, the storage of materials, and supplies, and the operation of his workmen to limits established by law, ordinances, and the directions of the Construction Project Manager and the Facility. All flammable or combustible materials shall be properly stored to obviate fire and in areas approved by the Facility.
- B. Asbestos abatement contractor shall assure that no exits from the building are obstructed, that appropriate safety barriers are established to prevent access, and that Work Areas are kept neat, clean, and safe.
- C. Asbestos abatement contractor shall maintain exits from the work area or alternative exits shall be established, in accordance with section 1027 of the New York City Fire Code. Exits shall be checked at the beginning and end of each work shift against blockage or impediments to exiting.
- D. If the openings of temporary structural partitions related to abatement work areas block egress, the partition shall consist of two sheets of fire retardant 6-mil plastic, prominently marked as an exit with photo luminescent paint or signage. Cutting



tools (e.g., knife, razor) shall be attached to the work area side of the sheeting for use in the event that the barrier must be cut open to allow egress.

- E. All surrounding work, fixtures, soil lines, drains, water lines, gas pipes, electrical conduit, wires, utilities, duct work railings, shrubbery, landscaping, etc. which are to remain in place shall be carefully protected and, if disturbed or damaged, shall be repaired or replaced as directed by the City, at no additional cost.
- F. All routes through the building to be used by the asbestos abatement contractor shall first be approved by the Construction Project Manager and the Facility.
- G. Attention is specifically drawn to the fact that other asbestos abatement contractors, performing the work of other Contracts, may be (or are) brought upon any of the work sites of this Contract. Therefore, the asbestos abatement contractor shall not have exclusive rights to any site of his work and shall fully cooperate and coordinate his work with the work of other asbestos abatement contractors who may be on (or are on) any site of the work of this Contract. Regulated area exempted.
- H. Temporary toilet facilities must be provided by the asbestos abatement contractor on the site. Coordinate location of facilities with Construction Project Manager. No toilet facilities will be allowed in the Work Area.

1.14 PROTECTION AND DAMAGE

- A. The asbestos abatement contractor is responsible to cover all furniture and equipment that cannot be removed from Work Areas. Moveable furniture and equipment will be removed from Work Areas by asbestos abatement contractor prior to start of work and returned upon successful completion of the final air testing. At the conclusion of the work (after clearance level of air testing reaches the acceptable limit), the asbestos abatement contractor will remove all plastic covering from the walls, floors, furniture, equipment and reinstall furniture and equipment in the cleaned Work Area. The asbestos abatement contractor shall remove all shades, curtains and drapes from the Work Area, and reinstall the same following the final clean up.
- B. Prior to plasticizing, the proposed work areas shall be pre-cleaned using HEPA filtered vacuum equipment and/or wet cleaning methods. Methods that raise dust, such as sweeping or vacuuming with equipment not equipped with HEPA filters, are prohibited.
- C. Use rubber tired vehicles that use non-volatile fuels for conveying material inside building and provide temporary covering, as necessary, to protect floors.



- D. No materials or debris shall be thrown from windows or doors of the building. Building waste management system shall NOT be used to remove any asbestos waste from the building.
- E. Debris shall be removed from the work site daily. Premises shall be left neat and clean after each work shift, so that work may proceed the next regular workday without interruption. Limited bag storage may take place within the Work Area when approved by the Construction Project Manager.
- F. Protect floors and walls along removal routes from damage, wear and staining with contamination control flooring. All finished surfaces to be protected with Masonite or other rigid sheathing material.
- G. A preliminary inspection for pre-existing damage shall be conducted by asbestos abatement contractor and representative of the City before commencement of the project.

1.15 RESPIRATORY PROTECTION REQUIREMENTS

- A. Respiratory protection shall be worn by all individuals who may be exposed to asbestos fibers from the initiation of the asbestos project until all areas have successfully passed clearance air monitoring in accordance with Regulations and these Specifications.
- B. Asbestos abatement contractor shall develop and implement a written respiratory protection program with required site-specific procedures and elements. The program shall be administered by a properly trained individual. The written respiratory protection program shall include the requirements set forth in OSHA Standard 29 CFR 1910.134, at a minimum.
- C. The Asbestos abatement contractor shall provide workers with individually issued and marked respiratory equipment. Respiratory equipment shall be suitable for the asbestos exposure level(s) in the Work Area(s), as specified in OSHA Standards 29 CFR 1910.134 and 29 CFR 1926.1101, NIOSH Standard 42 CFR 84, or as more stringently specified otherwise, herein.
- D. Where respirators with disposable filter parts are employed, the asbestos abatement contractor will provide sufficient filter parts for replacement as necessary or as required by the applicable regulation.
- E. All respiratory protection shall be NIOSH approved. All respiratory protection shall be provided by asbestos abatement contractor, and used by workers in conjunction with the written respiratory protection program.



- F. Asbestos abatement contractor shall provide respirators selected by an Industrial Hygienist that meet the following requirements:

Table 1. -- Assigned Protection Factors⁵

Type of Respirator ^{1,2}	Half mask	Full facepiece	Helmet/hood
1. Air-Purifying Respirator	³ 10	50
2. Powered Air-Purifying Respirator (PAPR)	50	1,000	⁴ 25/1,000
3. Supplied-Air Respirator (SAR) or Airline Respirator			
• Demand mode	10	50
• Continuous flow mode	50	1,000	⁴ 25/1,000
• Pressure-demand or other positive-pressure mode	50	1,000
4. Self-Contained Breathing Apparatus (SCBA)			
• Demand mode	10	50	50
• Pressure-demand or other positive-pressure mode (e.g., open/closed circuit)	10,000	10,000

¹Employers may select respirators assigned for use in higher workplace concentrations of a hazardous substance for use at lower concentrations of that substance, or when required respirator use is independent of concentration.

²The assigned protection factors in Table 1 are only effective when the employer implements a continuing, effective respirator program as required by this section (29 CFR 1910.134), including training, fit testing, maintenance, and use requirements.

³This APF category includes filtering facepieces, and half masks with elastomeric facepieces.

⁴The employer must have evidence provided by the respirator manufacturer that testing of these respirators demonstrates performance at a level of protection of 1,000 or greater to receive an APF of 1,000. This level of performance can best be demonstrated by performing a WPF or SWPF study or equivalent testing. Absent such testing, all other PAPRs and SARs with helmets/hoods are to be treated as loose-fitting facepiece respirators, and receive an APF of 25.

⁵These APFs do not apply to respirators used solely for escape. For escape respirators used in association with specific substances covered by 29 CFR 1910 subpart Z, employers must refer to the appropriate substance-specific standards in that subpart. Escape respirators for other IDLH atmospheres are specified by 29 CFR 1910.134 (d)(2)(ii).

G. Selection of high efficiency filters:

1. All high efficiency filters shall have a nominal efficiency rating of 100 (99.97-percent effective) when tested against 0.3-micrometer monodisperse diethyl-hexyl phthalate (DOP) particles.
2. Choose N-, R-, or P-series filters based upon the presence or absence of oil particles.
 - a. N-series filters shall only be used for non-oil solid and water based aerosols or fumes.
 - b. R- and P-series filters shall be used when oil aerosols or fumes (i.e., lubricants, cutting fluids, glycerin, etc.) are present. The R-series filters are oil resistant and the P-series filters are oil proof.
 - c. Follow filter manufacture recommendations.



3. If a vapor hazard exists, use an organic vapor cartridge in combination with the high efficiency filter.
- H. Historical airborne fiber level data may serve as the basis for selection of the level of respiratory protection to be used for an abatement task. Historical data provided by the asbestos abatement contractor shall be based on personal air monitoring performed during work operations closely resembling the processes, type of material, control methods, work practices, and environmental conditions present at the site. Documentation of aforementioned results may be requested by the City and/or Third-Party Air Monitor for review. This will not relieve the asbestos abatement contractor from providing personal air monitoring to determine the time-weighted average (TWA) for the work under contract. The TWA shall be determined in accordance with 29 CFR 1926.1101.
- I. At no time during actual removal operations shall half-mask air purifying respirators be allowed unless a full 8-hour TWA and excursion limit have been conducted, and reviewed by the Construction Project Manager. If the TWA and excursion limit have not been conducted, a Supplied-Air Respirator (SAR) or Airline Respirator or Self-Contained Breathing Apparatus (SCBA) must be used. Use of single use dust respirators is prohibited for the above respiratory protection.
- J. Workers shall be provided with personally issued and individually marked respirators. Respirators shall not be marked with any equipment that will alter the fit of the respirator in any way. Only waterproof identification markers shall be used.
- K. Asbestos abatement contractor shall ensure that the workers are qualitatively or quantitatively fit tested by an Industrial Hygienist initially and every 12 months thereafter with the type of respirator he/she will be using.
- L. Whenever the respirator design permits, workers shall perform the positive and negative air pressure fit test each time a respirator is worn. Powered air-purifying respirators shall be tested for adequate flow as specified by the manufacturer.
- M. No facial hairs (beards) shall be permitted to be worn when wearing respiratory protection that requires a mask-to-face seal.
- N. If a worker wears glasses, a spectacle kit to fit their respirator shall be provided by the asbestos abatement contractor at the asbestos abatement contractor's expense.
- O. Respiratory protection maintenance and decontamination procedures shall meet the following requirements:
 1. Respiratory protection shall be inspected and decontaminated on a daily basis in accordance with OSHA 29 CFR 1910.134 (b); and



2. High efficiency filters for negative pressure respirators shall be changed after each shower; and
 3. Respiratory protection shall be the last piece of worker protection equipment to be removed. Workers must wear respirators in the shower when going through decontamination procedures as stated in Section 3.03 and/or 3.04.
 4. Airline respirators with high efficiency filtered disconnect shall be disconnected in the equipment room and worn into the shower. Powered air-purifying respirator face pieces shall be worn into the shower. Filtered/power pack assemblies shall be decontaminated in accordance with manufacturers recommendations; and
 5. Respirators shall be stored in a dry place and in such a manner that the face-piece and exhalation valves are not distorted; and
 6. Organic solvents shall not be used for washing of respirators.
- P. Authorized visitors shall be provided with suitable respirators and instruction on the proper use of respirators whenever entering the Work Area. Qualitative fit test shall be done to ensure proper fit of respirator.

1.16 PROTECTIVE CLOTHING

- A. Provide worker protection as required by the most stringent OSHA and/or EPA standards applicable to the work. Provide to all workers, foremen, superintendents, authorized visitors and inspectors, protective disposable clothing consisting of full body coveralls, head covers, gloves and 18-inch high boot type covers or reusable footwear.
- B. In addition to personal protective equipment for workers, the asbestos abatement contractor shall make available at each worksite at least four (4) additional uniforms and required respiratory equipment each day for personnel who are authorized to inspect the work site. He/she shall also provide, for the duration of the work at any site involving a decontamination unit for worksite access, a lockable storage locker for use by the Construction Project Manager. In addition to respiratory masks for workers, the asbestos abatement contractor must have on hand at the beginning of each work day, at least four (4) masks each with three sets of fresh filters, for use by personnel who are authorized to inspect the worksite and are medically qualified to don a respirator. The asbestos abatement contractor shall check for proper fit of the respirators of all City personnel authorized to enter the Work Area.



- C. Asbestos handlers involved in tent procedures shall wear two (2) disposable suits, including gloves, hood and footwear, and appropriate respiratory equipment. All street clothes shall be removed and stored in a clean room within the work site. The double layer personal protective equipment shall be used for installation of the tent and throughout the procedure, if a decontamination unit (with shower and clean room) is contiguous to the Work Area, only one (1) layer of disposable personal protective equipment shall be required; in this case, prior to exiting the tent the worker shall HEPA vacuum and wet clean the disposable suit.
- D. The outer disposable suit (if 2 suits are worn) shall be removed and remain in the tent upon exiting. Following the tent disposal and work site clean up the workers shall immediately proceed to a shower at the work site. The inner disposal unit and respirator shall be removed in the shower after appropriate wetting. The disposal clothing shall be disposed of as asbestos-containing waste material. The workers shall then fully and vigorously shower with supplied liquid bath soap, shampoo, and clean dry towels.
- E. Coveralls: provide disposable full-body coveralls and disposable head covers. Require that they be worn by all workers in the Work Area. Provide a sufficient number for all required changes for all workers in the Work Area.
- F. Boots: provide work boots with non-skid soles, and where required by OSHA, foot protection, for all workers. Provide boots at no cost to workers. Paint uppers of all boots yellow with waterproof enamel. Do not allow boots to be removed from the Work Area for any reason after being contaminated with ACM and/or dust.
- G. Hard Hats: provide hard hats as required by OSHA for all workers, and provide a minimum of four spares for Inspectors, visitors, etc. Label all hats with same warning label as used on disposal bags. Require hard hats to be worn at all times that work is in progress that may cause potential head injury. Provide hard hats of the type with polyethylene strap suspension. Require hats to remain in the Work Area throughout the work. Thoroughly clean and decontaminate and bag hard hats prior to removing them from the Work Area at the end of the work.
- H. Goggles: provide eye protection (goggles) as required by OSHA for all workers involved in any activity that may potentially cause eye injury. Require them to be worn at all times during these activities. Thoroughly clean and decontaminate goggles before removing them from the Work Area.
- I. Gloves: provide work gloves to all workers, of the type dictated by the Work and OSHA Standards. Do not remove gloves from the Work Area. Dispose of as asbestos contaminated waste at the end of the work. Gloves shall be worn at all times, except during Work Area Preparation activities that do not disturb ACM.



- J. Reusable footwear, hard hats and eye protection devices shall be left in the contaminated Equipment Room until the end of the Asbestos Abatement Work.
- K. Disposable protective clothing shall be discarded and disposed of as asbestos waste every time the wearer exits from the workspace to the outside through the decontamination facility.
- L. Adequate supplies of disposable coveralls, head covers and foot covers shall be maintained by the asbestos abatement contractor for authorized representatives who may inspect the Work Area.

1.17 AIR MONITORING - ASBESTOS ABATEMENT CONTRACTOR

- A. Asbestos abatement contractor shall employ a qualified industrial hygiene firm to conduct OSHA personal exposure monitoring air samples in accordance with OSHA Regulations, 1926.1101 (Asbestos Standards for Construction) to establish representative full shift monitoring data, per task, to determine respiratory protection. The asbestos abatement contractor may submit representative Personal exposure monitoring data for a project of similar size and complexity in lieu of performing monitoring in accordance with OSHA 29CFR 1926.1101.
- B. The asbestos abatement contractor shall ensure that a qualified industrial hygiene laboratory for OSHA personal exposure monitoring is utilized. Such laboratory shall be a current proficient participant in the American Industrial Hygiene Association (AIHA) PAT Program. The laboratory shall be accredited by the AIHA and New York State Department of Health Environmental Laboratory Approval Program (ELAP).
- C. Sampling and analysis methods shall be per NIOSH 7400A.
- D. Test Reports:
 - 1. Promptly process and distribute one copy of the test results, to the Commissioner via email.
 - 2. Prompt reports are necessary so that if required, modifications to work methods and/or practices may be implemented as soon as possible.
 - 3. Asbestos abatement contractor shall post the personal exposure monitoring results at the jobsite within 24 hours of receipt of the results.



- E. Competent person shall conduct inspections and provide written reports daily. Inspections will include checking the standard operating procedures, engineering control systems, respiratory protection and decontamination systems, packaging and disposal of asbestos waste, and any other aspects of the project which may affect the health and safety of the people and environment.
- F. All costs for required the asbestos abatement contractor's air monitoring shall be borne by the asbestos abatement contractor.
- G. The City reserves the right to conduct air and surface dust sampling in conjunction with and separate from the Third-Party Air Monitor for the purposes of Quality Assurance.

1.18 THIRD PARTY MONITORING AND LABORATORY

- A. The NYCDDC, at its own expense, will employ the services of an independent Third Party Air Monitoring Firm and Laboratory. The Third Party Air Monitor will perform air sampling activities and project monitoring at the Work Site.
- B. The Laboratory will perform analysis of air samples utilizing Phase Contrast Microscopy (PCM) and/or Transmission Electron Microscopy (TEM). This laboratory shall meet the standards stated in Paragraph 1.17. B.
- C. Observations will include, but not be limited to, checking the standard operating procedures, engineering control systems, respiratory protection, decontamination systems, packaging and disposal of asbestos waste, and any other aspects of the project that may affect the health and safety of the environment, Asbestos abatement contractor, and/or facility occupants.
- D. The Third Party Air Monitoring Firm and the designated Project Monitor shall have access to all areas of the asbestos removal project at all times and shall continuously inspect and monitor the performance of the asbestos abatement contractor to verify that said performance complies with this Specification. The Third-Party Air Monitor shall be on site throughout the entire abatement operation.
- E. The NYCDDC will be responsible for costs incurred with the Third Party Air Monitoring Firm and laboratory work. Any subsequent additional testing required due to limits exceeded during initial testing shall be paid for by the Asbestos abatement contractor.
- F. At a minimum, air sampling shall be conducted in accordance with the following schedule:



Abatement Activity	Pre-Abatement	During Abatement	Post- Abatement
Equal to or greater than 10,000 square feet or 10,000 linear feet of ACM	PCM	PCM	TEM
Less than 10,000 square feet or 10,000 linear feet of ACM	PCM	PCM	PCM

Note: TEM is acceptable wherever PCM is required.

G. The number of air samples required per stage of abatement and size of abatement project is listed in the table below:

		Pre-Abatement	During Abatement	Post Abatement
Large Asbestos Projects				
1.	Full Containment	10	5	10
2.	Glovebag inside Tent	5 ^a	5 ^a	5 ^a
3.	Exterior Foam and Vertical Surfaces	-	5 ^c	5 ^d
4.	Interior Foam	10	5 ^c	10 ^d
Small Asbestos Projects				
1.	Full Containment	6	3	6
2.	Glovebag inside Tent	3 ^b	3 ^b	3 ^b
3.	Tent	3 ^b	3 ^b	3 ^b
4.	Exterior Foam and Vertical Surfaces	-	3 ^c	3 ^d
5.	Interior Foam	6	3 ^c	6 ^d
Minor Projects				
1.	Glovebag inside Tent	-	-	1 ^d
2.	Tent	-	-	1 ^d
3.	Exterior Foam and Vertical Surfaces	-	-	1 ^d
4.	Interior Foam	-	-	1 ^d

^aif more than three (3) tents then two (2) samples required per enclosure.

^bif more than three (3) tents then one (1) sample required per enclosure.

^csamples shall be taken within the work area(s).

^darea sampling is required only if:

- visible emissions are detected during the project
- during-abatement area sampling results exceeded 0.01 f/cc or the pre-abatement area sampling result(s) for interior projects where applicable.
- work area to be reoccupied is an interior space at a school, healthcare, or daycare facility.

H. Prior to commencement of abatement activities, the Third Party Air Monitoring Firm will collect a minimum number of area samples inside each homogeneous work area.

1. Samples will be taken during normal occupancy activities and circumstances at the work site.



2. Samplers shall be located within the proposed work area and at all proposed isolation barrier locations.
 3. Samples shall be analyzed using PCM.
 4. The number of samples to be collected will be determined by the size of the project and the abatement methods to be utilized.
- I. Frequency and duration of the air sampling during abatement shall be representative of the actual conditions during the abatement. The size of the asbestos project will be a factor in the number of samples required to monitor the abatement activities. The following minimum schedule of samples shall be required daily.
1. For large asbestos projects employing full containment, area air sampling shall be performed at the following locations:
 - a. Two area samples outside the work area in uncontaminated areas of the building, remote from the decontamination facilities.
 - (1) Primary location selection shall be within 10 feet of isolation barriers.
 - (2) Where negative ventilation exhaust runs through uncontaminated building areas, one of the area samples will be required in these areas to monitor any potential fiber release.
 - (3) Where exhaust tubes have been grouped together in banks of up to five (5) tubes, with each tube exhausting separately and the bank of tubes terminating together at the same controlled area, one area air sample shall be taken.
 - b. One area sample within the uncontaminated entrance to each decontamination enclosure system.
 - c. Where adjacent non-work areas do not exist, an exterior area sample shall be taken.
 - d. One area sample within 5 feet of the unobstructed exhaust from a negative pressure ventilation system exhausting indoors but not within a duct.
 - e. One area sample outside, but within 25 feet of, the building or structure, if the entire building or structure is the work area.



2. For large asbestos projects involving interior foam method, area air sampling shall be performed at the following sampling locations:
 - a. One area sample taken outside the work area within 10 feet of isolation barriers.
 - b. One area sample taken within the uncontaminated entrance to each worker decontamination and waste decontamination enclosure system.
 - c. One area sample within 5 feet of the unobstructed exhaust from a negative pressure ventilation system exhausting indoors but not within a duct, if applicable.
 - d. Three area samples inside the work area.
 - e. One area sample where the negative ventilation exhaust ducting runs through uncontaminated building areas, if applicable.
3. For large asbestos projects employing the glovebag procedure within a tent, a minimum of five continuous air samples shall be taken concurrently with the abatement for each work area, unless there are more than three enclosures, in which case two area samples per enclosure are required.
 - a. Four area samples taken outside the work area within ten feet of tent enclosure(s).
 - b. One area sample taken within the uncontaminated entrance to each worker and waste decontamination enclosure system.
 - c. One area sample within five feet of the unobstructed exhaust from a negative pressure ventilation system exhausting indoors, but not within a duct, if applicable.
 - d. One area sample where negative ventilation exhaust ducting runs through uncontaminated building areas, if applicable.
4. For large asbestos projects involving exterior foam method or removal of ACM from vertical surfaces, a minimum of five continuous area samples shall be taken concurrently with the abatement for each work area using the following minimum requirements:
 - a. Three area samples inside the work area and remote from the decontamination systems.



- b. One area sample within the uncontaminated entrance to each worker and waste decontamination enclosure system.
 - c. One area sample outside the work area within 25 feet of the building or structure, if the entire building or structure is the work area.
 - d. One area sample inside the building or structure at the egress point to the work area, if applicable.
 5. For small asbestos projects employing full containment, a minimum of three continuous area samples shall be taken concurrently with the abatement for each work area at the following locations:
 - a. Two area samples taken outside the work area within ten feet of the isolation barriers.
 - b. One area sample within the uncontaminated entrance to each worker or waste decontamination enclosure system.
 - c. One area sample within five feet of the unobstructed exhaust from a negative pressure ventilation system exhausting indoors, but not within a duct, if applicable.
 - d. One area sample where negative ventilation exhaust ducting runs through an uncontaminated building area, if applicable.
 6. Tent Procedures:

For projects involving more than 25 linear feet or 10 square feet, a minimum of three continuous samples shall be taken concurrently throughout abatement.
- J. Post-abatement clearance air monitoring for projects not solely employing glove-bag procedures shall include a minimum number of area samples inside each homogeneous work area and outside each homogeneous work area (five samples inside/five samples outside for Large Projects and three samples inside/three samples outside for Small Projects). In addition to the five sample inside/five sample outside minimum for Large Projects, one additional representative area sample shall be collected inside and outside the work area for every 5,000 square feet above 25,000 square feet of floor space where ACM has been abated.
- K. Post-abatement clearance air monitoring for Small Projects solely employing glove-bag procedures is not required unless one or more of the following events occurs. In such cases, post-abatement clearance air monitoring procedures shall be followed. The events requiring post-abatement clearance air monitoring are:



1. The integrity of the glove-bag was compromised,
 2. Visible emissions are detected outside the glove-bag, and/or
 3. Ambient levels exceed 0.01 f/cc during abatement.
- L. Monitoring requirements for other than post-abatement clearance air monitoring are as follows:
1. The sampling zone for indoor air samples shall be representative of the building occupants' breathing zone.
 2. If possible, outdoor ambient and baseline samplers should be placed about 6 feet above the ground surface in reasonable proximity to the building and away from obstructions and drafts that may unduly affect airflow.
 3. For outdoor samples, if access to electricity and concerns about security dictate a rooftop site, locations near vents and other structures on the roof that would unduly affect airflow shall be avoided.
 4. Air sampling equipment shall not be placed in corners of rooms or near obstructions such as furniture.
 5. Samples shall have a chain of custody record.
- M. Post-abatement clearance air monitoring requirements are as follows:
1. Sampling shall not begin until at least one hour after wet cleaning has been completed and no visible pools of water or condensation remain.
 2. Samplers shall be placed at random around the work area. If the work area contains the number of rooms equivalent to the number of required samples based on floor area, a sampler shall be placed in each room. When the number of rooms is greater than the required number of samples, a representative sample of rooms shall be selected.
 3. The representative samplers placed outside the work area but within the building shall be located to avoid any air that might escape through the isolation barriers and shall be approximately 50 feet from the entrance to the work area, and 25 feet from the isolation barriers.
- N. The following aggressive sampling procedures shall be used within the work area during all clearance air monitoring:
1. Before starting the sampling pumps, use forced air equipment (such as a one



horsepower leaf blower) to direct exhaust air against all walls, ceilings, floors, ledges and other surfaces in the work area. This pre-sampling procedure shall take at least five minutes per 1,000 square feet of floor area; then

2. Place a 20-inch diameter fan in the center of the room. Use one fan per 10,000 cubic feet of room space. Place the fan on slow speed and point it toward the ceiling.
3. Start the sampling pumps and sample for the required time or volume.
4. Turn off the pump and then the fan(s) when sampling is completed.
5. Collect a minimum number of area samples inside and outside each homogeneous work area (five inside/five outside samples for Large Projects and three inside/three outside samples for Small Projects). In addition to the minimum for Large Projects, one representative area samples shall be collected inside and outside the work area for every 5,000 square feet above 25,000 square feet of floor space where ACM has been abated.

O. For post-abatement monitoring, area samples shall conform to the following schedule:

Area Samples for Analysis by	Minimum Volume	Flow Rate
PCM	1,800 liters	5 to 15 liters/minute
TEM	1,250 liters	1 to 10 liters/minute

1. Each homogeneous work area that does not meet the clearance criteria shall be thoroughly re-cleaned using wet methods, with the negative pressure ventilation system in operation. New samples shall be collected in the work area as described above. The process shall be repeated until the work site meets the clearance criteria.
2. For an asbestos project with more than one homogeneous work area, the release criterion shall be applied independently to each work area.
3. Should airborne fiber concentrations exceed the clearance criteria, the asbestos abatement contractor shall re-clean the work area utilizing wet wiping and HEPA-vacuumping techniques. Following completion of re-cleaning activities, the Third-Party Air Monitor will perform an observation of the Work Area. If the Third-Party Air Monitor determines that the work was performed in accordance with the specifications, the appropriate settling period will be observed and additional air sampling will be performed.
4. All costs resulting from additional air tests and observations shall be borne



by the asbestos abatement contractor. These costs may include, but are not limited to, labor, analysis fees, materials, and expenses.

5. After the area has been found to be in compliance, the asbestos abatement contractor may remove Isolation Barriers and perform final cleaning as specified.

P. Clearance and/or Re-occupancy Criteria:

1. The clearance criteria shall be applied to each homogeneous work area independently.
2. For PCM analysis, the clearance air monitoring shall be considered satisfactory when each of the 5 inside/5 outside samples for Large Projects and/or 3 inside/3 outside samples for Small Projects is less than or equal to 0.01 f/cc or the background concentrations, whichever is greater.
3. For TEM analysis, the clearance air monitoring shall be considered satisfactory when the requirements stated in 40 CFR Part 763, Subpart E, Appendix A, Section IV are met.
4. As soon as the air monitoring tests are completed and analyzed, the Third-Party Air Monitor will send the results of such tests to the City and notify the Asbestos abatement contractor.
5. The asbestos abatement contractor shall initiate the appropriate closeout process in DEP ARTS within 24 hours of the Re-occupancy letter being issued by the Third-Party Air Monitoring Firm. This will allow the Third-Party Air Monitoring Firm to complete and submit the ACP-15 forms for each specific work area.
6. The asbestos abatement contractor shall provide the ACP-20 and ACP-21 forms to the general contractor within 48 hours of receipt by DEP.

1.19 TAMPERING WITH TEST EQUIPMENT

All parties to this Contract are hereby notified that any tampering with testing equipment will be considered an attempt at falsifying reports and records to federal and state agencies and each offense will be prosecuted under applicable state and federal criminal codes to the fullest extent possible.

1.20 GUARANTEE

- A. Work performed in compliance with this Contract shall be guaranteed for a period of one year from the date the completed work is accepted by the City.



- B. The asbestos abatement contractor shall not be held liable for the guarantee where the repair required under the guarantee is a result of obvious abuse or vandalism, as determined by the Commissioner.
- C. The City will notify the asbestos abatement contractor in writing regarding defects in work under the guarantee.

PART 2 – PRODUCTS

2.01 MATERIAL HANDLING

- A. Deliver all materials to the job site in their manufacturer's original container, with the manufacturer's label intact and legible.
 - 1. Maintain packaged materials with seals unbroken and labels intact until time of use.
 - 2. Store all materials on pallets, away from any damp and/or wet surface. Cover materials in order to prevent damage and/or contamination.
 - 3. Promptly remove damaged materials and unsuitable items from the job site, and promptly replace with material meeting the specified requirements, at no additional cost to the City.
- B. The Construction Project Manager may reject as non-complying such material and products that do not bear identification satisfactory to the Construction Project Manager as to manufacturer, grade, quality and other pertinent information.

2.02 MATERIALS

- A. Wetting agents: (Surfactant) shall consist of resin materials in a water base, which have been tested to ensure materials are non-toxic and non-hazardous. Surfactants shall be installed according to the manufacturer's written instructions.
- B. Encapsulants: Liquid material which can be applied to asbestos-containing material which temporarily controls the possible release of asbestos fibers from the material or surface either by creating a membrane over the surface (bridging encapsulant) or by penetrating into the material and binding its components together (penetrating encapsulant). A thin coat of lockdown encapsulant shall be applied to all surfaces in the work area which were not the subject of removal or abatement, including the cleaned layer of the surface barriers, but excepting sprinklers, standpipes, and other active elements of the fire suppression system.



- C. During abatement activities, replacement materials shall be stored outside the work area in a manner to prevent contamination. Materials required for the asbestos project (i.e., plastic sheeting, replacement filters, duct tape, etc.) shall be stored to prevent damage or contamination.
- D. Framing Materials and Doors: As required to construct temporary decontamination facilities and isolation barriers. Lumber shall be high grade, new, finished one side and fire retardant.
- E. Fire Retardant Polyethylene Sheeting: minimum uniform thickness of 6-mil. Provide largest size possible to minimize seams. All materials used in the construction of temporary enclosures shall be noncombustible or fire-retardant in accordance with NFPA 701 and 255.
- F. Fire Retardant Reinforced Polyethylene Sheeting: For covering floor of decontamination units, provide translucent, nylon reinforced or woven polyethylene laminated, fire retardant polyethylene sheeting. Provide largest size possible to minimize seams, minimum uniform thickness 6-mil. All materials used in the construction of temporary enclosures shall be noncombustible or fire-retardant in accordance with NFPA 701 and 255.
- G. Drums: Asbestos-transporting drums, sealable and clearly marked with warning labels as required by OSHA and EPA.
- H. Polyethylene Disposal Bags: Asbestos disposal bags, minimum of fire retardant 6-mil thick. Bags shall be clearly marked with warning labels as required by OSHA and EPA.
- I. Signs: Asbestos warning signs for posting at perimeter of Work Area, as required by OSHA and EPA.
- J. Waste Container Bag Liners and Flexible Trailer Trays: One piece leak-resistant flexible tray with absorbent pad.
- K. Tape: Provide tape which is of high quality with an adhesive that is formulated to aggressively stick to sheet polyethylene.
- L. Spray Adhesive: Provide spray adhesive in aerosol cans which is specifically formulated to stick tenaciously to sheet polyethylene.
- M. Flexible Duct: Spiral reinforced flex duct for air filtration devices.
- N. Protective Clothing: Workers shall be provided with sufficient sets of properly fitting, full-body, disposable coveralls, head covers, gloves, and 18-inch high



boot-type foot covers. Protective clothing shall conform to OSHA Standard 29 CFR 1926.1101.

- O. Surfactants, strippers, sealers, or any other chemicals used shall be non-carcinogenic and non-toxic.
- P. Materials used in the construction of temporary enclosures shall be noncombustible or fire-retardant in accordance with NFPA 701 and 255.

2.03 TOOLS AND EQUIPMENT

- A. Air Filtration Device (AFD): AFDs shall be equipped with High Efficiency Particulate Air (HEPA) filtration systems and shall be approved by and listed with Underwriter's Laboratory.
- B. Scaffolding: All scaffolding shall be designed and constructed in accordance with OSHA (29 CFR 1926/1910), New York City Building Code, and any other applicable federal, state and local government regulations. Whenever there is a conflict or overlap of the above references the most stringent provisions are applicable. All scaffolding and components shall be capable of supporting without failure a minimum of four times the maximum intended load, plus an allowance for impact. All scaffolding and staging must be certified in writing by a Professional Engineer licensed to practice in the State of New York.
 - 1. Equip rungs of all metal ladders, etc., with an abrasive, non-slip surface.
 - 2. Provide non-skid surface on all scaffold surfaces subject to foot traffic. Scaffold ends and joints shall be sealed with tape to prevent penetration of asbestos fibers.
- C. Transportation Equipment: Transportation Equipment, as required, shall be suitable for loading, temporary storage, transit and unloading of asbestos contaminated waste without exposure to persons or property. Any temporary storage containers positioned outside the building for temporary storage shall be metal, closed and locked.
- D. Vacuum Equipment: All vacuum equipment utilized in the Work Area shall utilize HEPA filtration systems.
- E. Vacuum Attachments: Soft Brush Attachment, Asbestos Scraper Tool, Drill Dust Control Kit.
- F. Electric Sprayer: An electric airless sprayer suitable for application of encapsulating material and shall be approved by and listed with Underwriters Laboratory.



- G. Water Sprayer: The water sprayer shall be an airless or other low-pressure sprayer for amended water application.
- H. Water Atomizer: Powered air-misting device equipped with a ground fault interrupter and equipped to operate continuously.
- I. Brushes: All brushes shall have nylon bristles. Wire brushes are excluded from use due to their potential to shred asbestos fibers into small, fine fibers.
- J. Power tools used to drill, cut into, or otherwise disturb ACM shall be manufacturer-equipped with HEPA filtered local exhaust ventilation. Abrasive removal methods, including the use of beadblasters, are prohibited.
- K. Other Tools and Equipment: Asbestos abatement contractor shall provide other suitable tools for the stripping, removal, encapsulation, and disposal activities including but not limited to: hand-held scrapers, sponges, rounded-edge shovels, brooms, and carts.
- L. Fans and Leaf Blower: Provide Leaf Blower (one leaf blower per floor) and one 20-inch diameter fans for each 10,000 cubic feet of Work Area volume to be used for aggressive sampling technique for clearance air testing.
- M. Fire Extinguishers: At least one fire extinguisher with a minimum rating 2-A:10-B:C shall be required for each work place. In the case of large asbestos projects, at least two such fire extinguishers shall be required.
- N. First Aid Kits: Asbestos abatement contractor shall maintain adequately stocked first aid kits in the clean rooms of the decontamination units and within Work Areas. The first aid kit shall be approved by a licensed physician for the work to be performed under this Contract.
- O. Water Service:
 - 1. Temporary Water Service Connection: All connections to the Facilities water system shall include back flow protection. Valves shall be temperature and pressure rated for operation of the temperature and pressures encountered. After completion of use, connections and fittings shall be removed without damage or alteration to existing water piping, and equipment. Leaking or dripping fittings/valves shall be repaired and or replaced as required.
 - 2. Water Hoses: Employ new heavy-duty abrasion-resistant hoses with a pressure rating greater than the maximum pressure of the water distribution system to provide water into each Work Area and to each Decontamination



Enclosure Unit. Provide fittings as required for connection to existing wall hydrants or spouts, as well as temporary water heating equipment, branch piping, showers, shut-off nozzles and equipment.

3. **Water Heater:** Provide UL rated 40-gallon electric water heaters to supply hot water for Personal Decontamination Enclosure System Shower. Activate from 30 Amp Circuit breakers located within the Decontamination Enclosure sub panel. Provide relief valve compatible with water heater operations, pipe relief valve down to drip pan at floor level with type 'L' copper piping. Drip pans shall be 6-inch deep and securely fastened to water heater. Wiring of the water heater shall comply with NEMA, NECA, and UL standards.

P. **Electrical Service:**

1. **General:** Comply with applicable NEMA, NEC and UL standards and governing regulations for materials and layout of temporary electric service.
2. **Temporary Power:** Provide service to decontamination unit sub panel with minimum 60 AMP, two pole circuit breaker or fused disconnect connected to the building's main distribution panel. Sub panel and disconnect shall be sized and equipped to accommodate all electrical equipment required for completion of the work.
3. **Voltage Differences:** Provide identification warning signs at power outlets that are other than 110-120 volt power. Provide polarized outlets for plug-in type outlets, to prevent insertion of 110-120 volt plugs into higher voltage outlets. Dry type transformers shall be provided where required to provide voltages necessary for work operations.
4. **Ground Fault Protection:** Equip all circuits for any purpose entering Work Area with ground fault circuit interrupters (GFCI). Locate the GFCIs outside the Work Area so that all circuits are protected prior to entry to Work Area. Provide circuit breaker type ground fault circuit interrupters (GFCI) equipped with test button and reset switch for all circuits to be used for any purpose in Work Area, decontamination units, exterior, or as otherwise required by NECA, OSHA or other authority.
5. **Power Distribution System:** Provide circuits of adequate size and proper characteristics for each use. In general run wiring overhead, and rise vertically where wiring will be least subject to damage from operations.
6. **Temporary Wiring:** In the Work Area shall be type UF non-metallic sheathed cable located overhead and exposed for surveillance. Provide liquid tight enclosures or boxes for all wiring devices. Do not wire temporary lighting with plain, exposed (insulated) electrical conductors.



7. Electrical Power Cords: Use only grounded extension cords; use hard service cords where exposed to traffic and abrasion. Use single lengths of cords only.
8. Temporary Lighting: All lighting within the Work Area shall be liquid and moisture proof and designed for the use intended.
 - a. Provide sufficient temporary lighting to ensure proper workmanship everywhere; by combined use of daylight, general lighting, and portable plug-in task lighting.
 - b. Provide lighting in the Decontamination Unit as required to supply a minimum 50-foot candle light level.
9. If electrical circuits, machinery, and other electrical systems in or passing through the work area must stay in operation due to health and safety requirements, the following precautions must be taken:
 - a. All unprotected cables, except low-voltage (less than 24 volts) communication and control system cables, panel boxes of cables and joints in live conduit that run through the work area shall be covered with three (3) independent layers of six (6) mil fire retardant polyethylene. Each layer shall be individually duct taped and sealed. All three (3) layers of polyethylene sheeting shall be left in place until satisfactory clearance air sampling results have been obtained.

2.04 CLEANING

- A. Throughout the construction period, the asbestos abatement contractor shall maintain the building as described in this Section.
 1. The asbestos abatement contractor shall prevent building areas other than the Work Area from becoming contaminated with asbestos-containing dust or debris. Should areas outside the Work Area become contaminated with asbestos-containing dust or debris as a consequence of the asbestos abatement contractor's work practices, the asbestos abatement contractor shall be responsible for cleaning these areas in accordance with the procedures appended in Title 15, Chapter 1 of RCNY and NYS DOL ICR56. All costs incurred in cleaning or otherwise decontaminating non-Work Areas and the contents thereof shall be borne by the asbestos abatement contractor at no additional cost to the City.
 2. The asbestos abatement contractor shall provide to all personnel and laborers the required equipment and materials needed to maintain the specified standard of cleanliness.



B. General

1. Waste water from asbestos removal operations, including shower water, may be discharged into the public sewer system only after approved filtration is on operation to remove asbestos fibers.
2. Asbestos wastes shall be double bagged in six mil fire retardant polyethylene bags approved for ACM disposal and shall be properly labeled and handled before disposal.
3. All waste generated shall be bagged, wrapped or containerized immediately upon removal. The personal and waste decontamination enclosure systems and floor and scaffold surfaces shall be HEPA vacuumed and wet cleaned at the end of each work shift at a minimum.
4. The asbestos abatement contractor shall use corrugated cartons or drums for disposal of asbestos-containing waste having sharp edged components (e.g., nails, screws, metal lathe and tin sheeting) that may tear polyethylene bags and sheeting. The waste within the drums or cartons must be double bagged.
5. The asbestos abatement contractor shall transport all bags of waste to disposal site in thirty gallon capacity metal or fiber drums with tight lids, or in locked steel dumpster.
6. Dumping of debris, waste or bagged waste will not be permitted.
7. The waste decontamination enclosure system shall be wet cleaned twice using wet cleaning methods upon completion of waste removal. When the worker decontamination enclosure shower room alternates as a waste container wash room, the shower room shall be washed immediately with cloths or mops saturated with a detergent solution prior to wet cleaning.
8. Excessive water accumulation or flooding in the work area shall require work to stop until the water is collected and disposed of properly.
9. ACM shall be collected utilizing rubber dust pans and rubber squeegees.
10. HEPA vacuums shall not be used on wet materials unless specifically designed for that purpose.
11. Metal shovels shall not be used within the work area.



12. Mastic solvent when used will be applied in moderation (e.g., by airless sprayer). Saturation of the concrete floor with mastic solvent must be avoided.
13. The asbestos abatement contractor shall retain all items in the storage area in an orderly arrangement allowing maximum access, not impeding traffic, and providing the required protection of all materials.
14. The asbestos abatement contractor shall not allow accumulation of scrap, debris, waste material, and other items not required for use in this work. When asbestos contaminated waste must be kept on the work site overnight or longer, it shall be double bagged and stored in accordance with New York City Department of Sanitation (DSNY) regulation Title 16 Chapter 8, and Federal, State and City laws.
15. At least twice a week (more if necessary), the asbestos abatement contractor shall completely remove all scrap, debris and waste material from the job site.
16. The asbestos abatement contractor shall provide adequate storage space for all items awaiting removal from the job site, observing all requirements for fire protection and concerns for the environment.
17. All respiratory protection equipment shall be selected from the latest NIOSH Certified Equipment list.
18. Daily and more often, if necessary, the asbestos abatement contractor shall inspect the Work Areas and adjoining spaces, and pick up all scrap, debris, and waste material. All such items shall be removed to the place designated for their storage.
19. Weekly, and more often, if necessary, the asbestos abatement contractor shall inspect all arrangements of materials stored on the site; re-stack and tidy them or otherwise service them to meet the requirements of these Specifications.
20. The asbestos abatement contractor shall maintain the site in a neat and orderly condition at all times.



PART 3 – EXECUTION

3.01 WORKER DECONTAMINATION FACILITY

A. Large Asbestos Projects:

1. Provide a worker decontamination facility in accordance with, Title 15, Chapter 1, OSHA Standard 29 CFR 1926.1101, 12NYCRR Part 56 and as specified herein. Unless approved by NYCDEP and the City, worker decontamination facilities shall be attached to the Work Areas

a. Structure:

- (1) Use modular systems or build using wood or metal frame studs, joists, and rafters placed at a maximum of 16 inches on-center.
- (2) When worker decontamination unit is located outdoors, in areas with public access, or in correctional facilities, frame work shall be lined with minimum 3/8" thickness fire rated plywood sheathing. Sheathing shall be caulked or taped airtight at all joints and seams.
- (3) Interior shall be covered with two layers of fire retardant 6-mil polyethylene sheeting, with a minimum overlap of 12 inches at seams. Seal seams airtight using tape and adhesive. The interior floor shall be covered with two (2) layers of reinforced fire-retardant polyethylene sheeting with a minimum overlap on the walls of 12 inches.
- (4) Entrances to the decontamination unit shall be secured with lockable hinged doors. Doors shall be open at all times when abatement operations are in progress. Doors shall be louvered to allow for air movement through the decontamination units into Work Area.

- b. **Curtained Doorways:** A device to allow ingress or egress from one room to another while permitting minimal air movement between the rooms.

- c. **Air Locks:** Air locks shall consist of two curtained doorways placed a minimum of three feet apart. The curtained doorways shall consist of 3 overlapping sheets of fire retardant 6-mil polyethylene sheeting, with alternating entrances and weighted at the bottom.



- d. Decontamination Enclosure System shall be placed adjacent to the Work Area and shall consist of three totally enclosed chambers, separated from Work Area and each other by airlocks, as follows:
- (1) **Equipment Room:** The equipment room shall have a curtain doorway to separate it from the Work Area, and share a common airlock with the shower room. The equipment room shall be large enough to accommodate at least one worker (allowing them enough room to remove their protective clothing and footwear), and a fire retardant 6-mil disposal bag for collection of discarded clothing and equipment. The equipment room shall be utilized for the storage of equipment and tools after decontamination using a HEPA-vacuum and/or wet cleaning. A one-day supply of replacement filters, in sealed containers, for HEPA-vacuums and negative air machines, extra tools, containers of surfactant, and other materials and equipment required for the project shall be stored here. A walk-off pan filled with water shall be placed in the Work Area just outside the equipment room for persons to clean foot coverings when leaving the Work Area. Contaminated footwear and reusable work clothing shall be stored in this room.
 - (2) **Shower Room:** The shower room shall have two airlocks (one that separates it from the equipment room and one that separates it from the clean room). The shower room shall contain at least one shower, with hot and cold water adjustable at the tap, per six workers. Careful attention shall be given to the shower to ensure against leaking of any kind and shall contain a rigid catch basin at least six inches deep. Asbestos abatement contractor shall supply towels, shampoo and liquid soap in the shower room at all times. Shower water shall be continuously drained, collected, and filtered through a system with at least a 5-micron particle size collection capacity. A system containing a series of several filters with progressively smaller pore sizes shall be used to avoid rapid clogging of the filters by large particles. Pumps shall be installed, maintained and utilized in accordance with manufacturer's recommendations. Filtered water shall be discharged in accordance with applicable codes. Contaminated filters shall be disposed of as asbestos waste.
 - (3) **Clean Room:** The clean room shall share a common airlock with the shower room and shall have a curtained doorway to separate it from outside non-contaminated areas. Lockers, for



storage of workers' street clothing, and shelves, for storing respirators, shall be provided in this area. Clean disposable clothing, replacement filters for respirators, and clean dry towels shall be provided in the clean room. The clean room shall not be used for the storage of tools, equipment or other materials.

B. Small Asbestos Projects:

1. Provide a worker decontamination facility in accordance with, Title 15, Chapter 1, OSHA Standard 29 CFR 1926.1101, 12NYCRR Part 56 and as specified herein. Unless approved by NYCDEP and the City, worker decontamination facilities shall be attached to the Work Areas.
2. The worker decontamination enclosure system shall consist of, at a minimum, an equipment room, a shower room, and a clean room separated from each other and from the work area by curtained doorways. The equipment storage, personnel gross decontamination and removal of disposal clothing shall occur in the equipment room prior to entering the shower. All other requirements shall be the same as described above for a large asbestos project.
3. For small asbestos projects with only one exit from the work area, the shower room may be used as a waste washroom. The clean room shall not be used for waste storage. All other requirements shall be the same as described above for a large asbestos project.

C. Decontamination Enclosure System Utilities: Lighting, heat, and electricity shall be provided as necessary by the Asbestos abatement contractor, and as specified herein.

3.02 WASTE DECONTAMINATION FACILITY

A. Large Asbestos Project (Small Project Option)

1. Provide a worker decontamination facility in accordance with, Title 15, Chapter 1, OSHA Standard 29 CFR 1926.1101, 12NYCRR Part 56 and as specified herein. Unless approved by NYCDEP and the City, worker decontamination facilities shall be attached to the Work Areas.
 - a. Structure:
 - (1) Use modular systems or build using wood or metal frame studs, joists, and rafters placed at a maximum of 16 inches on-center.



- (2) When worker decontamination unit is located outdoors, in areas with public access, or in correctional facilities, frame work shall be lined with minimum 3/8" thickness fire rated plywood sheathing. Sheathing shall be caulked or taped airtight at all joints and seams.
 - (3) Interior walls shall be covered with two layers of fire retardant 6-mil polyethylene sheeting, with a minimum overlap of 12 inches at seams. Seal seams airtight using tape and adhesive. The interior floor shall be covered with two (2) layers of reinforced fire-retardant polyethylene sheeting with a minimum overlap on the walls of 12 inches.
 - (4) Entrances to the decontamination unit shall be secured with lockable hinged doors. Doors shall be open at all times when abatement operations are in progress. Doors shall be louvered to allow for air movement through the decontamination units into the Work Area.
- b. **Curtained Doorways:** A device to allow ingress or egress from one room to another while permitting minimal air movement between the rooms.
 - c. **Air Locks:** Air locks shall consist of two curtained doorways placed a minimum of three feet apart. The curtained doorways shall consist of 3 overlapping sheets of fire retardant 6-mil polyethylene sheeting, with alternating entrances and weighted at the bottom.
 - d. **Decontamination Enclosure System** shall be located outside the work area and attached to all locations through which ACM waste will be removed from the work area and shall consist of two totally enclosed chambers, separated from the Work Area and each other by airlocks, as follows:
 - (1) **Washroom:** An equipment washroom shall have two air locks (one separating the unit from the Work Area and one common air lock that separates it from the holding area). The washroom shall have facilities for washing material containers and equipment. Gross removal of dust and debris from contaminated material containers and equipment shall be accomplished in the Work Area, prior to moving to the washroom.
- B. **Holding Area:** A holding area shall share a common air lock with the equipment washroom and shall have a curtained doorway to outside areas. A hinged, lockable door



shall be placed at the holding area entrance to prevent unauthorized access into the Work Area.

C. Small Asbestos Project:

1. The worker decontamination enclosure system shall consist of, as a minimum, an equipment room, a shower room, and a clean room separated from each other and from the work area by curtained doorways. The equipment storage, personnel gross decontamination and removal of disposal clothing shall occur in the equipment room prior to entering the shower. All other requirements shall be the same as described above for a large asbestos project.
2. For small asbestos projects with only one exit from the work area, the shower room may be used as a waste washroom. The clean room shall not be used for waste storage. All other requirements shall be the same as described above for a large asbestos project.

D. Decontamination Enclosure System Utilities: Lighting, heat, and electricity shall be provided as necessary by the Asbestos abatement contractor, and as specified herein.

3.03 PERSONNEL ENTRANCE AND DECONTAMINATION PROCEDURES FOR REMOVAL OPERATIONS UTILIZING REMOTE DECONTAMINATION FACILITIES

- A. All individuals who enter the Work Area shall sign the entry log, located in the clean room, upon each entry and exit. The log shall be permanently bound and shall fully identify the facility, agents, asbestos abatement contractor(s), the project, each Work Area, and worker respiratory protection employed. The asbestos handler supervisor shall be responsible for the maintenance of the log during the abatement activity. The log shall be submitted to the NYC DDC within 48 hours of request.
- B. Each worker shall remove street clothes in the clean room; wear two disposable suits, including gloves, hoods and non-skid footwear; and put on a clean respirator (with new filters) before entering the Work Area.
- C. Each worker shall, before leaving the Work Area or tent, clean the outside of the respirators and outer layer of protective clothing by wet cleaning and/or HEPA-vacuuming. The outer disposable suit shall be removed in the airlock prior to proceeding to the Worker Decontamination Unit. The inner disposable suit and respirator shall be wet wiped and HEPA vacuumed thoroughly before removing and prior to aggressive shower.



- D. Following showering and drying off, each worker or authorized visitor shall proceed directly to the clean room, dress in street clothes, and exit the decontamination enclosure system immediately.

3.04 PERSONNEL ENTRANCE AND DECONTAMINATION PROCEDURES FOR REMOVAL OPERATIONS UTILIZING ATTACHED DECONTAMINATION FACILITIES

- A. All workers and authorized visitors shall enter the Work Area through the worker decontamination facility.
- B. All individuals who enter the Work Area shall sign the entry log, located in the clean room, upon each entry and exit. The log shall be permanently bound and shall identify fully the facility, agents, asbestos abatement contractor(s), the project, each Work Area and worker respiratory protection employed. The site supervisor shall be responsible for the maintenance of the log during the abatement activity. The log shall be submitted to the NYC DDC within 48 hours of request.
- C. Each worker or authorized visitor shall, upon entering the job site, remove street clothes in the clean room and put on a clean respirator with filters, and clean protective clothing before entering the Work Area through the shower room and equipment room.
- D. Each worker or authorized visitor shall, each time he leaves the Work Area, remove gross contamination from clothing before leaving the Work Area; proceed to the equipment room and remove clothing except the respirator; still wearing the respirator, proceed to the shower room; clean the outside of the respirator with soap and water while showering; remove filters, wet them, and dispose of them in the container provided for that purpose; wash and rinse the inside of the respirator; and thoroughly shampoo and wash himself/herself.
- E. Following showering and drying off, each worker or authorized visitor shall proceed directly to the clean room, dress in street clothes, and exit the decontamination enclosure system immediately. Disposable clothing of the type worn inside the Work Area is not permitted outside the Work Area.

3.05 MAINTENANCE OF DECONTAMINATION ENCLOSURE FACILITIES AND BARRIERS

The following procedures shall be followed during abatement activities.

- A. All polyethylene barriers inside the work place and partitions constructed to isolate the Work Area from occupied areas shall be inspected by the asbestos handler supervisor at least twice per shift.



- B. Smoke tubes shall be used to test the integrity of the Work Area barriers and the decontamination enclosure systems daily before abatement activity begins and at the end of each shift.
- C. Damage and defects in the decontamination enclosure system shall be repaired immediately upon discovery. The decontamination enclosure system shall be maintained in a clean and sanitary condition at all times.
- D. At any time during the abatement activity, if visible emissions are observed, or elevated asbestos fiber counts outside the Work Area are measured, or if damage occurs to barriers, abatement shall stop. The source of the contamination shall be located, the integrity of the barriers shall be restored and extended to include the contaminated area, and visible residue shall be cleaned up using appropriate HEPA-vacuuuming and wet cleaning.
- E. Inspections and observations shall be documented in the daily project log by the asbestos handler supervisor.
- F. The daily inspection to ensure that exits have been checked against exterior blockage or impediments to exiting shall be documented in the log book. If exits are found to be blocked, abatement activities shall stop until the blockage is cleared.

3.06 MODIFICATIONS TO HVAC SYSTEMS

- A. Shut down, isolate or seal, all existing HVAC units, fans, exhaust fans, perimeter convection air units, supply and/or return air ducts, etc., situated in, traversing or servicing the work zone.
- B. Seal all seams with duct tape. Wrap entire duct with a minimum of two layers of fire retardant 6-mil polyethylene sheeting. All shutdowns are to be coordinated with the Facility. Where systems must be maintained, i.e., traversing Work Areas to non-Work Areas, only supply ducts will be maintained, protect as described above. All returns must be blanked off in Work Area and adjacent areas, including floor above and below Work Area. When required Asbestos abatement contractor shall apply for a clarification from NYCDEP. The Asbestos abatement contractor shall implement the following engineering procedures:
 - 1. Maintenance of a positive pressure within the HVAC system of 0.01 inch water gauge (or greater) with respect to the ambient pressure outside the Work Area. The conditions for this system shall be maintained and be operational 24 hours per day from the initiation of Work Area preparation until successful final air clearance. Positive pressurization of HVAC system shall be applied only under the direction and control of professional engineer, or other knowledgeable licensed professional;



2. The positive pressurization of the duct shall be tested, inspected and recorded both at the beginning and at the end of each shift;
 3. The positive pressurization shall be monitored using instrumentation which will provide a written record of pressurization and that will trigger an audible alarm, if the static pressure falls below the set value;
 4. The supply air fan and the supply air damper for the active positive-pressurized duct shall be placed in the manual “on” positions to prevent shutdown by fail-safe mechanisms;
 5. The return air fan and the return air dampers shall be shut down and locked-out;
 6. All the seams of the HVAC ducts that pass through the Work Area shall be sealed;
 7. The HVAC ducts that pass through the Work Area shall be covered with two (2) layers of fire retardant 6-mil polyethylene sheeting, and all seams and edges of both layers shall be sealed airtight;
 8. The supply air fans, return air fans, and all dampers servicing the Work Area itself shall be shut down and locked-out. All openings within the Work Area of supply and return air ducts shall be sealed with 3/8-inch fire rated plywood and two layers of fire retardant 6-mil polyethylene;
 9. When abatement occurs during periods while the HVAC system is shut down an alternative method of pressurization of the duct passing through the Work Area should be employed (e.g., by low-pressure “blowers”, etc., directly coupled into the duct). Item #4 above shall be deleted and shall be replaced by the requirement to set the dampers of the HVAC duct in the manual closed positions, in order to effect pressurization.
- C. Asbestos abatement contractor to coordinate this item with the Facility and Construction Project Manager at the commencement of work. Where present HVAC systems (ducts) service an area and that air system cannot be shut down, asbestos abatement contractor shall isolate and seal the ducts, both supply and return, at the boundary of that zone.
1. To isolate, cap, or seal a duct, the asbestos abatement contractor shall remove insulation from duct (if necessary), then disconnect linkage to fold shut all fire dampers. Asbestos abatement contractor shall seal all edges and seams with caulk and duct-tape.



2. Asbestos abatement contractor shall then cut existing duct and fold metal in and secure with approved fasteners. Asbestos abatement contractor shall caulk and duct-tape all seams and edges.
 3. All ducts shall then be completely wrapped and sealed with duct-tape and three (3) layers of reinforced polyethylene sheeting.
 4. All ducts shall be restored to original working order at the end of the project.
- D. Where present HVAC systems (ducts) service occupied areas (non-Work Areas), the Asbestos abatement contractor shall blank off the ducts.
1. To isolate or seal the return duct, the asbestos abatement contractor shall remove any insulation (if necessary) from the duct. Then disconnect linkage to fold shut all fire dampers and insert a fiberglass board within the duct. Asbestos abatement contractor shall seal all edges and seams with caulk, duct-tape and three (3) layers of reinforced polyethylene sheeting.
 2. All isolation of return ducts and any other activity that requires removal of ceiling by the asbestos abatement contractor shall be conducted under controls. Work is to be coordinated with the Construction Project Manager and the Facility and is described as follows:
 - a. Work shall occur as scheduled.
 - b. Horizontal surfaces near the blanking operations shall be protected with fire retardant 6-mil polyethylene sheeting.
 - c. Plastic drapes shall be used to enclose the immediate area.
 - d. Asbestos abatement contractor to position and operate air filtration devices and HEPA-vacuums in the area to clean space after blanking operations.
 - e. All personnel involved with this work shall receive personal protection (i.e., respirators and disposable suits).
- E. Upon loss of negative pressure or electric power, all work activities in an area shall cease immediately and shall not resume until negative pressure and/or electric power has been fully restored. When a power failure or loss of negative pressure lasts, or is expected to last, longer than thirty (30) minutes, the following sequence of events shall occur.
1. All make up air inlets shall be sealed airtight.



2. All decontamination facilities shall be sealed airtight after evacuation of all personnel from the Work Area.
3. All adjacent areas shall be monitored for potential fiber release upon discovery of and subsequently throughout, power failure.

3.07 LOCKOUT OF HVAC SYSTEMS, ELECTRIC POWER, AND ACTIVE BOILERS

Prior to the start of any prep work, the asbestos abatement contractor shall employ skilled tradesmen with limited asbestos licenses for the following work:

- A. Disable all ventilating systems or other systems bringing air into or exhausting air out of the Work Area. Disable system by disconnecting wires removing circuit breakers, by lockable switch or other positive means to ensure against accidental re-starting of equipment.
- B. Lock out power to the Work Area by switching off all breakers and removing them from panels or by switching and locking entire panel. Label panel with following notation: "DANGER CIRCUIT BEING WORKED ON". Give all keys to Facility.
- C. Lock out power to circuits running through Work Area whenever possible by switching off and removing breakers from panel. If circuits must remain live, the Facility shall notify asbestos abatement contractor in order that he may secure a variance from NYCDEP. The asbestos abatement contractor shall protect all conduit and wires to remain and label all active circuits at intervals not to exceed 3 feet with tags having the following notation: "DANGER LIVE ELECTROCUTION HAZARD". The asbestos abatement contractor shall label all circuits in all locations including hidden locations that may be affected by the work in a similar manner.
- D. All boilers and other equipment within the work area shall be shut down, locked out, tagged out and the burner/boiler/equipment accesses and openings shall be sealed until abatement activities are complete. If the boiler or other exhausted equipment will be subject to abatement, all breeching, stacks, columns, flues, shafts, and double-walled enclosures serving as exhausts or vents shall be segregated from the affected boiler or equipment and sealed airtight to eliminate potential chimney effects within the work area.

PART 4 – PREPARATION OF WORK AREA AND REMOVAL PROCEDURES

4.01 REMOVAL OF ASBESTOS-CONTAINING MATERIAL

- A. Asbestos abatement contractor Responsibility

Asbestos abatement contractor shall be responsible for the proper removal of ACM from



the Work Area using standard industry techniques. The Third-Party Air Monitor representative shall observe the Work.

1. General Requirements:

- a. Removal of ACM shall be performed using wet methods. Dry removal of ACM is prohibited.
- b. Spray ACM with amended water with sufficient frequency and quantity to enhance penetration. Sufficient time shall be allowed for amended water to penetrate the material to the substrate prior to removal. All ACM shall be thoroughly wetted while work is being conducted.
- c. Accumulation of standing water on the floor of the Work Area is prohibited.
- d. Apply removal encapsulants, when used, in accordance with the manufacturer's recommendations and guidelines.
- e. Containerize ACM immediately upon detachment from the substrate. Alternately, ACM may be dropped in to a flexible catch basin and promptly bagged. Detached ACM is not permitted to lie on the floor for any period of time. Excess air within the bag shall be removed before sealing. ACM shall not be dropped from a height of greater than 10 feet. Above 10 feet, dust free inclined chutes may be used. Maximum inclination from horizontal shall be 60-degrees for all chutes.
- f. Exits from the work area shall be maintained, or alternative exits shall be established, in accordance with section 1027 of the New York City Fire Code. Exits shall be checked at the beginning and end of each work shift against blockage or impediments to exiting.
- g. Signs clearly indicating the direction of exits shall be maintained and prominently displayed within the work area.
- h. No smoking signs shall be maintained and prominently displayed within the work place.
- i. At least one fire extinguisher with a minimum rating 2-A:10-B:C shall be required for each work place. In the case of large asbestos projects, at least two such fire extinguishers shall be required.



- j. If the containment area of an asbestos project covers the entire floor of the affected building, or an area greater than 15,000 square feet on any given floor, the installation of a negative air cut off switch or switches shall be required at a single location outside the work place, such as inside a stairwell, or at a secured location in the ground floor lobby when conditions warrant. The required switch or switches shall be installed by a licensed electrician pursuant to a permit issued by the Department of Buildings. If negative pressure ventilation equipment is used on multiple floors the cut off switch shall be able to turn off the equipment on all floors.
- B. Removal of ACM Utilizing Full Containment Procedures shall be as follows:
1. Preparation Procedures:
 - a. Ensure that the Third-Party Air Monitor has performed area monitoring and established a background count prior to the preparatory operations for each removal area, as applicable.
 - b. Shut down, isolate, and lock out or tag heating, ventilating, and air conditioning (HVAC) systems which serve or which pass through the Work Area. Vents within the Work Area and seams in HVAC components shall be sealed with tape and two layers of fire retardant polyethylene sheeting. Filters in HVAC systems shall be removed and treated as asbestos contaminated waste.
 - c. Shut down, disconnect, and lock out or tag all electric power to the Work Area so that there is no possibility of its reactivation until after clearance testing of the Work Area.
 - d. Provide and install decontamination enclosure systems in accordance with Sections 3.01 and 3.02 of this Section.
 - e. Remove ACM that may be disturbed by the erection of partitions using tent procedures and wet removal methods. Removal shall be limited to a one-foot wide strip running the length/height of the partition.
 - f. Pre-clean and remove moveable objects from the Work Area. Pre-cleaning shall be accomplished using HEPA-vacuum and wet-cleaning techniques. Store moveable objects at a location determined by the City.
 - g. Protect carpeting that will remain in the Work Area.



- (1) Pre-clean carpeting utilizing wet-cleaning techniques.
 - (2) Install a minimum of two layers of fire retardant 6-mil reinforced polyethylene sheeting over carpeting.
 - (3) Place a rigid flooring material, minimum thickness of 3/8-inch, over polyethylene sheeting.
- h. Pre-clean all fixed objects to remain within the Work Area using HEPA-vacuum and wet-cleaning techniques.
- i. Seal fixed objects with two individual layers, minimum, of 6-mil fire retardant polyethylene sheeting.
- j. Pre-clean entire Work Area utilizing HEPA-vacuum and wet-cleaning techniques. Methods of cleaning that raise dust; such as dry sweeping or use of vacuum equipment not equipped with HEPA-filters, is prohibited.
- k. Install isolation barriers (i.e., sealing of all openings, including but not limited to windows, corridors, doorways, skylights, ducts, grills, diffusers, and other penetrations within the Work Area) using two layers of 6-mil fire retardant polyethylene sheeting and duct-tape.
- l. Construct rigid framework to support Work Area barriers.
- (1) Framework shall be constructed using 2-inch by 4-inch wooden or metal studs placed 16 inch on center when existing walls and/or ceiling do not exist for all openings greater than 32 square feet. Framework is not required except where one dimension is one foot or less or the opening will be used as an emergency exit.
 - (2) Apply a solid construction material, minimum thickness of 3/8-inch to the Work Area side of the framing. In secure interior areas, not subject to access from the public or building occupants, an additional layer of 6-mil fire retardant polyethylene sheeting may be substituted for the rigid construction material.
 - (3) Caulk all wall, floor, ceiling, and fixture joints to form a leak tight seal.
- m. Seal floor drains, sumps, shower tubs, and other collection devices with two layers of 6-mil fire retardant plastic and fire rated plywood,



as necessary, and provide a system to collect all water used by the asbestos abatement contractor. Collected water shall be passed through a water filtration system prior to being discharged into the sanitary sewer.

- n. Remove ceiling mounted objects not previously sealed that will interfere with removal operations. Mist object and surrounding ACM with amended water prior to removal to minimize fiber dispersal. Clean all moveable objects using HEPA-vacuum and wet-cleaning techniques prior to removal from the Work Area.
- o. Fiberglass insulation with intact coverings shall be protected in place during abatement activities. These materials shall be protected with two layers of 6-mil fire retardant polyethylene sheeting as isolation barriers and two additional layers of 6-mil fire retardant polyethylene sheeting serving as primary and secondary surface barriers.
- p. Install and initiate operation of Air Filtration Devices (AFD)s to provide a negative pressure and a minimum of four air changes per hour within the Work Area relative to surrounding non-Work Areas. Do not shut down AFDs until the Work Area is released to the City following final clearance procedures. The use of HEPA-filtered vacuum to produce a negative air pressure inside the enclosure is prohibited.
- q. Maintain emergency and fire exits from the Work Area or establish alternative exits satisfactory to the local fire officials. Emergency exits and routes shall be established and clearly marked with florescent paint or other effective designations to permit easy location from anywhere within the Work Area. Cutting tools (e.g., knife, razor) shall be attached to the work area side of the sheeting for use in the event that the barrier must be cut open to allow egress. Emergency exits shall be secured to prevent access from uncontaminated areas and yet permit emergency exiting. Exits shall be checked daily against exterior blockage or impediments to exiting.
- r. Temporary lighting within the Work Area and decontamination system shall be provided as required to achieve minimum illumination levels.
- s. Hand power tools used to drill, cut into, or otherwise disturb ACM shall be manufacturer-equipped with HEPA filtered local exhaust ventilation.
- t. Prior to being plasticized, the Work Areas shall be cleaned using



HEPA vacuum equipment and/or wet cleaning methods as appropriate. Methods that raise dust, such as dry sweeping or vacuuming with equipment not equipped with HEPA filters, shall not be used.

- u. Plasticize the area after pre-cleaning, using the following procedures.
 - (1) Cover floors with one layer of 6-mil fire retardant polyethylene sheeting, turning layer a minimum of 6 inches up wall, and seal layer to wall.
 - (2) Cover walls with one layer of 6-mil fire retardant polyethylene sheeting, overlapping wall layer a minimum of 6 inches, and seal layer to floor layer.
 - (3) Cover floors with a second layer of 6-mil fire retardant polyethylene sheeting, turning layer a minimum of 12 inches up wall, and seal layer to wall.
 - (4) Cover walls with a second layer of fire retardant 6-mil polyethylene sheeting, overlapping wall layer a minimum of 12 inches, and seal layer to floor layer.
 - (5) In areas where demolition is required to access ACM, a layer of fire retardant 6-mil reinforced polyethylene sheeting shall be placed on the floor of the enclosure.
 - (6) Perform demolition required to access ACM. Debris resulting from demolition activities shall be disposed of as ACM waste as described in this Specification.
 - (7) Repeat preparation of areas accessed by demolition activities as described above.
- v. Suspended ceiling tiles and T-grid components shall remain in place until the preparation of the Work Area below the ceiling tiles are completed and personnel and equipment decontamination enclosures have been constructed.
- w. Scaffolds shall be provided for workers engaged in work that cannot safely be performed from the ground or other solid Work Area surface.
- x. Means of egress shall not be obstructed by hardwall barriers.
- y. Pre-Removal Inspections.



- (1) Prior to removal of any ACM, the asbestos abatement contractor shall notify the Third-Party Air Monitor and request a pre-removal inspection. Posting of warning signs, building of decontamination enclosure systems, and all other preparatory steps have been taken prior to notification of the Third-Party Air Monitor.
 - (2) Asbestos abatement contractor shall correct any deficiencies observed by Third-Party Air Monitor at no additional cost to City.
 - (3) Following the Third-Party Air Monitor's approval of the Work Area preparations, removal of ACM may commence.
2. Removal of ACM Within Full Containment:
- a. Mist material with amended water. Allow sufficient time for the amended water to penetrate the material to be removed.
 - b. Remove the material using hand tools such as scrapers or putty knives. Wire-mesh or wood lathe reinforcing, when present, shall be cut into manageable pieces and disposed of as ACM.
 - c. Remove any residual material from the substrate using wet cleaning methods and nylon-bristled hand brushes.
 - d. Place the removal material immediately into a properly labeled fire retardant 6-mil polyethylene bag. All material shall be properly containerized and decontaminated prior to removal from the Work Area.
 - e. Following the completion of removal of insulation, all visible residue shall be removed from the substrate.
3. Following Removal of ACM utilizing Full Containment Procedures:
- a. First Cleaning:
 - (1) Remove any visible accumulation of asbestos material and debris. HEPA-vacuuming and wet cleaning shall be performed on all surfaces inside the Work Area. All sealed drums, plastic bags, and equipment used in the Work Area shall be removed from the Work Area.



- (2) Upon request of the asbestos abatement contractor, the Third-Party Air Monitor will perform a visual inspection. Evidence of asbestos contamination identified during the inspection will necessitate further cleaning as heretofore specified.
 - (3) Remove first layer of plastic sheathing inside the Work Area. The isolation barriers and decontamination facility shall remain in place and be utilized.
- b. Second Cleaning:
- (1) After the first cleaning, the Work Area shall be vacated for twelve hours to allow fibers to settle.
 - (2) All objects and surfaces in the Work Area shall be HEPA - vacuumed and wet cleaned for a second cleaning.
 - (3) A thin coat of lockdown encapsulant shall be applied to all plastic covered surfaces in the Work Area.
 - (4) When the encapsulant is dry, second layer of polyethylene sheeting on the walls, ceiling and floors shall be removed. Do not remove seals from doors, windows, Isolation Barriers or disconnect the negative pressure equipment.
- c. Third Cleaning:
- (1) A minimum of four hours after the second cleaning, all the surfaces in the Work Area shall be HEPA-vacuumed and wet cleaned for a third cleaning.
 - (2) Upon the request of the asbestos abatement contractor, the Third-Party Air Monitor will do final visual inspection for re-occupancy. Evidence of asbestos contamination identified during the inspection will necessitate further cleaning as heretofore specified.
 - (3) When the Work Area passes the Third-Party Air Monitor's visual re-occupancy inspection, air sampling shall not begin until at least one hour after the completion of the third cleaning. The Third-Party Air Monitor shall perform air monitoring using aggressive testing techniques. The Third-Party Air Monitor will approve re-occupancy if the specified fiber count in the Work Area is achieved according to the Third-Party Air Monitor.



- (4) When the Work Area passes the re-occupancy test, all controls and seals established shall be removed.
 - (5) The cleaned layer of the surface barriers shall be removed from walls and floors.
 - (6) The isolation barriers shall remain in place throughout cleanup. Decontamination enclosure systems shall remain in place and be utilized. A thin coat of lockdown encapsulant shall be applied to all surfaces in the work area which were not the subject of removal or abatement, including the cleaned layer of the surface barriers, but excepting sprinklers, standpipes, and other active elements of the fire suppression system.
- d. Final Barrier Removal:
- (1) Upon receipt of acceptable clearance testing results, polyethylene sheeting and Isolation Barriers shall be removed and disposed accordingly as asbestos-containing material.
 - (2) The area surrounding the abatement work place shall be cleaned of any visible debris utilizing HEPA vacuum and wet methods.
- e. The Third-Party Air Monitor will conduct a final visual observation. Approval must be granted prior to break down of decontamination facility and asbestos abatement contractor demobilization.
- C. Removal of ACM Roofing and Flashing Materials utilizing NYC DEP § 1-107 Foam Procedure for Roof Removal shall be as follows:
1. Preparation procedures:
 - a. These procedures apply only to the removal of asbestos-containing roofing material (ACRM) from exterior roof surfaces. The work area on the roof shall be cordoned off with clearly visible barriers such as caution tape, and only authorized persons shall have access.
 - b. The foam or viscous liquid shall be non-toxic, shall not require special respiratory protection for handling, and shall not affect the handling and disposal of the waste.
 - c. The foam or viscous liquid shall coat and maintain a stable blanket (minimum 1” thickness) for the duration of the removal process and shall leave an identifiable colored residue when it dissipates.



- d. The foam or viscous liquid shall wet the ACRM. The ACRM shall be kept wet through the bagging process.
- e. Persons entering the work area shall wear correctly-fitting, good traction rubber boots.
- f. Abatement shall not be carried out during adverse weather conditions (e.g., precipitation, high winds, ambient temperature below 32 degrees Fahrenheit, etc.).
- g. The worker decontamination unit may be attached to each work area at an entry/exit from each work area, or may be remote, in which case it shall be equipped with an airlock at the entrance. In addition to the shower head(s), the shower room shall be equipped with a flexible hose for waste decontamination for removal of less than 1,000 square feet of ACRM. For 1,000 square feet or more of ACRM removal, a separate waste decontamination facility shall be located at an entry/exit from each work area. Remote holding areas for the asbestos containing waste shall comply with Title 16, Chapter 8, Rules of the City of New York (16 RCNY 8 et. seq.).
- h. Movable objects shall be removed from the work area, or kept in place and wrapped in one sheet of fire retardant 6 mil plastic sheeting.
- i. Provisions shall be made to ensure a safe and adequate air supply to affected building(s). All vents, skylights, air intakes, windows and doors opening onto the roof, and all other openings shall be sealed with 2 layers of fire retardant 6 mil plastic or fitting with HEPA filters when appropriate. Temporary extensions may be installed to a height of 10 feet to ensure adequate air exchange instead of sealing vents, air intakes, etc., with 2 layers of plastic or HEPA filters. Drains may be equipped with 5 micron filtering system in lieu of being sealed.
- j. Fixed objects including perimeter walls, bulkheads, cooling towers, ducts and other rooftop appurtenances shall be covered in one sheet of fire retardant 6 mil plastic up to a height of at least six feet.
- k. **THE ASBESTOS ABATEMENT CONTRACTOR SHALL BE RESPONSIBLE FOR PROTECTION OF THE INTERIOR SPACES BENEATH THE ROOF.**
- l. All office equipment and furniture, including but not limited to desks, chairs, computers, printers, cabinets, etc., carpeted and wooden floors shall be covered with one layer of 6- mil plastic sheeting.



- m. THE ASBESTOS ABATEMENT CONTRACTOR SHALL BE RESPONSIBLE FOR ANY DAMAGE THAT MAY OCCUR IN THE INTERIOR SPACES, INCLUDING BUT NOT LIMITED TO OFFICE EQUIPMENT, FURNITURE, FLOORS, ETC., BENEATH THE ROOF DURING ALL PHASES OF THE ROOF ABATEMENT.
- n. The asbestos abatement contractor shall provide temporary roof protection consisting of 10-mil polyethylene sheeting following abatement over the open roof areas. Strict coordination with the General Asbestos abatement contractor, Construction Project Manager and/or Architect is required and necessary during this phase of abatement.
- o. Preliminary examination shall be conducted and precautions shall be taken to prevent damage to the interior of the building, including but not limited to office equipment, furniture, carpeted and wooden floors, etc., and to ensure no adverse effect on the structural stability of the roof due to the abatement activity.
- p. Abatement activities shall not be carried out during adverse weather conditions (e.g., precipitation, heavy winds, etc.).
- q. The floor area between the remote decontamination facility and the Work Area must be protected with 2 layers of 6-mil. polyethylene sheeting suitably anchored.
- r. Provisions shall be made to ensure a safe and adequate air supply to affected building(s). All vents, skylights, air intakes, windows and doors opening onto the roof, and all other openings are to be sealed with two layers of 6-mil plastic or fitted with HEPA-filters where appropriate. In lieu of sealing vents, air intakes, etc., with two layers of plastic or HEPA-filters, temporary extensions may be installed to a height of 10 feet to ensure adequate air exchange. Drains may be equipped with 5 micron filtering systems in lieu of being sealed.
- s. Pre-Removal Inspections:
 - (1) Prior to removal of any ACM, the Asbestos abatement contractor shall notify the Third-Party Air Monitor and request a pre-removal inspection. Posting of warning signs, building of decontamination enclosure systems, and all other preparatory steps have been taken prior to notification of the Third-Party Air Monitor.



- (2) Asbestos abatement contractor shall correct any deficiencies observed by Third-Party Air Monitor at no additional cost to City.
 - (3) Following the Third-Party Air Monitor's approval of the Work Area preparations, removal of ACM may commence.
2. Removal of ACM Roofing and Flashing Materials:
- a. The asbestos abatement contractor shall be responsible for the removal of all roofing components, including multiple layers of built-up membrane, tar, vapor barrier and/or flashing down to the substrate/deck.
 - b. Prior to actual removal, the built-up roofing shall be blanketed and wetted with a minimum 1" coating of the acceptable foam or viscous liquid which shall be maintained for the duration of the removal until the material is bagged. The foam or viscous liquid shall be confined to the work area.
 - c. Hand-held power tools used to drill, cut into, or otherwise disturb the ACRM shall be equipped with the HEPA-filtered local exhaust ventilation and operated to prevent potential fiber release.
 - d. Abatement shall not be performed in adverse weather conditions (e.g., precipitation, heavy winds, etc.). Asbestos abatement contractor shall protect all exposed roof during adverse weather conditions.
 - e. Portable HEPA-vacuum machines shall be available during abatement.
 - f. After the ACM removal and bagging, the bagged waste shall be HEPA-vacuumed, and then wet-cleaned and transferred into the shower room for double bagging. The double-bagged waste shall be transferred outside the clean room for its final transfer for storage in an enclosed waste container.
3. Following Removal of ACM Roofing and/or Flashing:
- a. Upon completion of the abatement in roof work area, clean-up procedures shall involve removal and bagging of:
 - b. The asbestos containing roofing material (ACRM)



- c. Visible accumulations of asbestos containing waste
 - d. All excess foam or similar viscous liquid
 - e. All debris, and shall be followed by a thorough wet cleaning.
 - f. All tools shall be wet cleaned and HEPA-vacuumed, and then removed from the work area upon completion.
 - g. Following the removal of all debris, the work area shall be thoroughly wet cleaned. The work area shall be allowed to dry completely before the visual inspection is conducted. The inspection shall confirm the absence in the work area of:
 - (1) ACM, debris, bagged ACM waste,
 - (2) Excess foam or other viscous liquid.
 - h. If the work area fails visual inspection, it shall undergo another wet cleaning and/or HEPA vacuuming until it passes the visual inspection.
 - i. When the visual inspection and clearance testing is successful, all plastic may be removed.
 - j. Air monitoring shall be conducted in accordance with the relevant provisions of Air sampling shall be conducted in compliance with NYC DEP Title 15 Chapter 1, §1-41 Air Sampling Schedule.
- D. Removal of Floor Tile and Mastic utilizing NYCDEP Title 15, Chapter 1 §1-108 Foam/Viscous Liquid Use in Flooring Removal procedures shall be as follows:
- 1. Preparation of the Work Area:
 - a. These procedures only apply to the removal of vinyl asbestos floor tiles (VAT), ACM floor coverings and associated mastics and adhesives, where only the ACM being abated in the work area is flooring material.
 - b. Request that the Third-Party Air Monitor perform area monitoring and establish a background count prior to the preparatory operations for each removal area.
 - c. Provide and install decontamination enclosure systems in accordance with PART 3 - EXECUTION, Sections 3.01 and 3.02 of these Specifications and NYCDEP Title 15, Chapter 1. Decontamination



facilities may be remote from the Work Areas upon approval from NYCDEP.

- d. Shut down, isolate, and lock out or tag heating, ventilating, and air conditioning (HVAC) systems which serve or which pass through the Work Area. Vents within the Work Area and seams in HVAC components shall be sealed with tape and two layers of polyethylene sheeting. Filters in HVAC systems shall be removed and treated as asbestos contaminated waste.
- e. Shut down, disconnect, and lock out or tag all electric power to the Work Area so that there is no possibility of its reactivation until after clearance testing of the Work Area.
- f. Seal floor drains, sumps and other collection devices with two layers of fire retardant 6-mil plastic and fire rated plywood, as necessary, and provide a system to collect all water used by the Asbestos abatement contractor. Collected water shall be passed through a water filtration system prior to being discharged into the sanitary sewer.
- g. Separate by means of airtight barriers (isolation barriers) parts of the building that are not included in the Work Area(s) from parts of the building that will undergo asbestos abatement.
- h. Seal with isolation barriers: open doorways, cased openings, and corridors that will not be used for passage during work.
- i. Isolation barriers shall extend from the floor to the ceiling and form an airtight seal. They shall be built using 2-inch by 4-inch wood or metal framing placed 16 inch on center and shall be braced as necessary. Cover the work sides of the studding with two layers of 6-mil fire retardant, reinforced polyethylene sheeting. Install barriers to form a leaktight seal between the Work Area and adjacent areas. Install isolation barriers in a manner to endure “negative air pressure” within the Work Area.
- j. Completely seal airtight and isolate the Work Area. All openings, including but not limited to doorways, tunnels, ducts, grilles, cracks, diffusers, openings through which pipe conduit passes, and any other penetrations of the Work Area, shall be covered with polyethylene sheeting taped or caulked airtight.
- k. Maintain emergency and fire exits from the Work Areas or establish alternative exits satisfactory to the local fire officials. Emergency exits and routes shall be established and clearly marked with fluorescent



paint or other effective designations to permit easy location from anywhere within the Work Area. Emergency exits shall be secured to prevent access from uncontaminated areas and yet permit emergency exiting. Exits shall be checked daily against exterior blockage or impediments to exiting.

- l. Temporary lighting within the Work Area and decontamination system shall be provided as required to achieve minimum illumination levels.
- m. After isolating the area, install and initiate operation of air filtration devices (AFDs) to provide a negative pressure of at least -0.02 inches of water and four air changes per hour within the Work Area relative to surrounding non-Work Areas. In areas where negative air units cannot be exhausted to the exterior of the station, units shall be installed in series. When installing units in series, the exhaust from an AFD shall be exhausted into the intake of a second AFD of equal or greater capacity. The exhaust from the second unit shall be directed to the exterior of the Work Area in an area that is not accessible to the public. Both units shall be located inside the Work Area. Exhaust and connect AFD using spiral-reinforced tubing manufactured for this purpose. Do not shut down AFDs until the Work Area is released to the City following final clearance procedures.
- n. Hand power tools used to drill, cut into, or otherwise disturb ACM shall be manufacturer-equipped with HEPA filtered local exhaust ventilation.
- o. Scaffolds shall be provided for workers engaged in work that cannot safely be performed from the ground or other solid Work Area surface.
- p. Work Area Pre-cleaning Procedures: After establishing the decontamination enclosure systems, prepare and pre-clean the Work Area as specified below:
 - (1) Movable and loose items not removed by the City shall be cleaned using HEPA vacuum equipment and/or wet cleaning methods as appropriate and shall be removed from the Work Area and stored at the City's direction.
 - (2) Movable and loose items contaminated with asbestos shall be removed from the Work Areas and properly discarded as asbestos contaminated waste.
 - (3) Fixed objects within the Work Area shall be pre-cleaned using



HEPA-vacuum equipment and/or wet cleaning methods as appropriate. Joints of covers or casings shall be sealed with tape and fixed objects enclosed with a minimum of two layers of 6-mil fire retardant polyethylene sheeting sealed airtight with tape. Disassembly of these fixed objects is not required unless otherwise noted. Fixed objects shall include, but not be limited to, light fixtures, junction boxes, hangers and black carrying channels.

- (4) Prior to being plasticized, the Work Areas shall be cleaned using HEPA-vacuum equipment and/or wet cleaning methods as appropriate. Methods that raise dust, such as dry sweeping or vacuuming with equipment not equipped with HEPA-filters, shall not be used.
- q. Plasticize the area after pre-cleaning, using the following procedure:
- (1) Floor surfaces shall be sealed with a minimum of two layers of fire retardant 6-mil plastic sheeting, except where the only ACM being abated in the project is vinyl asbestos floor tile or other flooring material, in which case the floor need not be sealed;
 - (2) Baseboards and wall surfaces shall be sealed with a minimum of two layers of fire retardant 6-mil plastic sheeting up to a minimum height of four feet above the floor. If hand power tools are used during abatement, wall surfaces shall be covered with a layer of fire retardant 6-mil polyethylene sheeting to minimum height of six feet.
- r. Pre-Removal Inspections
- (1) Prior to removal of any ACM, the asbestos abatement contractor shall notify the Third-Party Air Monitor and request a pre-removal inspection. Posting of warning signs, building of decontamination enclosure systems, and all other preparatory steps have been taken prior to notification of the Third-Party Air Monitor.
 - (2) Asbestos abatement contractor shall correct any deficiencies observed by Third-Party Air Monitor at no additional cost to City.
 - (3) Following the Third-Party Air Monitor's approval of the Work Area preparations, removal of ACM may commence.



2. Removal of ACM Floor Tile and Mastic:
 - a. Prior to actual removal, the floor tiles and associated mastic shall be blanketed and wetted with a minimum 1-inch to 3-inch coating of the acceptable foam or viscous liquid that shall leave an identifiable colored residue when it dissipates and shall be maintained for the duration of the removal until the material is bagged.
 - b. The foam or viscous liquid shall be non-toxic, shall not require special respiratory protection from handling, and shall not affect the handling and disposal of the waste.
 - c. The foam or viscous liquid shall coat and wet the ACM. The ACM shall be kept wet through the bagging process.
 - d. Persons entering the work area shall wear correctly-fitting, good-traction rubber boots.
 - e. Remove floor tile and all underlying layers using a flat hoe or scraper. Remove adhesive backing using approved mastic removal solvent. Do not grind or sand floor.
 - f. Completely remove floor tile and adhesive backing using appropriate tools and materials. As material is removed, wrap it in two layers of plastic and place it in labeled containers for transport.
 - g. Completely remove bulk mastic using an approved mastic solvent. Product application shall be in accordance with the manufacturer's instructions and the Safety Data Sheet (SDS) for the product. Do not allow solvent to stand or to be absorbed by sub-floor. Use diatomaceous earth to prevent the flow of solvent under walls or into other areas from which it would be difficult to recover. Absorb spent solvent and associated mastic immediately after use with diatomaceous earth and place in drums dedicated for the disposal of floor tile mastic waste.
 - h. After completion of mastic removal, thoroughly wash the floor with detergent and rinse clean. Use sufficient quantities of diatomaceous earth to soak up water and detergent so that the waste is completely solid. Place waste in sealed drums dedicated for the disposal of floor tile mastic waste. No bulk mastic residue and traces of foam/viscous liquid shall remain on the floor surface following removal and cleaning. It is not necessary to remove stain from pores of concrete.
 - i. Spent mastic removal agents must be properly stored, categorized and



disposed. Refer to “ACM Waste Packing and Load Out Procedures”.

- j. On completion of floor mastic removal, the floor shall be smooth, free from ridges and bumps, and suitable to receive replacement flooring.
3. **Additional Removal Requirements:** The Third-Party Air Monitor shall issue a stop work order if visible emissions are detected outside the Work Areas and/or should the airborne fiber concentrations meet or exceed 0.01 f/cc of air or the background count (use the greater of these two values as the reference). Work shall not resume until the condition(s) causing the increase are corrected, surfaces are decontaminated using HEPA vacuums or wet cleaning techniques and the Asbestos abatement contractor receives notice from the Third-Party Air Monitor.
 4. **Following Removal of ACM Floor Tile and Mastic:**
 - a. All surfaces shall be wet cleaned.
 - b. HEPA-vacuum all surfaces.
 - c. Conduct the following activities in accordance with the contract and all applicable laws, codes, rules and regulations.
 - (1) All waste shall be removed from the Work Area and holding areas.
 - (2) All tools and equipment are to be removed and decontaminated in the decontamination enclosure system.
 - d. The Third-Party Air Monitor will conduct a visual observation of the Work Area to verify the absence of asbestos-containing waste materials.
 - e. If the Work is not approved, the Third-Party Air Monitor will inform asbestos abatement contractor who will then wet-clean and HEPA-vacuum the Work Area. The Third-Party Air Monitor will then perform a subsequent visual observation. This process will continue until the Third-Party Air Monitor accepts the Work Area as clean.
 - f. Remove polyethylene barriers from the walls of the Work Area. Isolation barriers shall remain in place.
 - g. Perform a thorough HEPA-vacuuming of the Work Area.
 - h. The Third-Party Air Monitor will conduct a visual observation of the Work Area to verify the absence of asbestos-containing waste materials.



- i. If the Work is not approved, the Third-Party Air Monitor will inform asbestos abatement contractor who will then HEPA-vacuum the Work Area. The Third-Party Air Monitor will then perform a subsequent visual observation. This process will continue until the Third-Party Air Monitor accepts the Work Area as clean.
- j. If results of air sampling performed during abatement activities indicate airborne fiber concentrations of less than 0.01 fibers per cubic centimeter, or the background level, whichever is greater, final clearance air sampling is not required. The abatement action may be considered complete.
- k. Isolation Barrier Removal
 - (1) Upon receipt of acceptable observation results, polyethylene sheeting and barrier tape shall be removed and disposed accordingly as ACM.
 - (2) The area surrounding the abatement work place shall be cleaned of any visible debris utilizing HEPA vacuum and wet methods.

The Third-Party Air Monitor will conduct final visual inspection. Approval must be granted prior to break down of decontamination facility and asbestos abatement contractor demobilization. Other Information: Extra time required to clean Work Areas in order to achieve clearance criteria shall not be considered grounds for an extension of time for contract completion.

4.02 MAINTENANCE OF CONTAINED WORK AREA AND DECONTAMINATION ENCLOSURE SYSTEMS

- A. Ensure that barriers are installed in a manner appropriate to the expected weather conditions during the project and for its duration. Repair damaged barriers and remedy defects immediately upon their discovery. Visually inspect barriers at the beginning and end of each work period.
- B. Visually inspect non-Work Areas and the decontamination enclosure system for water leakage. Check the floor below, ceiling and walls, and view beneath/or around the decontamination enclosure system, for signs of leakage. Perform the visual inspection a minimum of two times for each 8-hour work shift.



PART 5 – ASBESTOS WASTE MANAGEMENT

5.01 ACM WASTE REQUIREMENTS

- A. The asbestos abatement contractor and all sub-asbestos abatement contractors are specifically alerted to the illegal practice of combining asbestos-containing waste (ACW) from one project with the ACW of other projects without using the services of a permitted waste transfer station as defined by 6 NYCRR Part 360 and 364. As part of the shop drawing submittals, the asbestos abatement contractor must submit for approval the proposed method of transportation and disposal that will be utilized to manage the ACW of this Contract. If a permitted transfer station is to be used, the cost shall be included in the work. The asbestos abatement contractor must submit a waste manifest consistent with whatever approved method is utilized as part of the invoicing and payment procedures.
- B. The asbestos abatement contractor shall maintain compliance with the strictest set of regulations of Title 15, Chapter 1 of RCNY, NYC LL 70/85, NYS DOL ICR 56, USEPA, Asbestos Regulation 40 CFR Section 61.152, 29 CFR 1926.1101, 29 CFR 1910.1200 (F) of OSHA’s Hazard Communication Standards, and other applicable standards.

NOTE: Any penalties incurred for failure to comply with any of the above regulations will be the sole responsibility for fines imposed due to negligence of the Asbestos abatement contractor.

- C. When presenting ACW for storage at the generation site, the asbestos abatement contractor shall:
 - 1. Wet down ACW in a manner sufficient to prevent all visible emissions of dust into the air.
 - 2. Seal material in a leak tight container while wet.
 - 3. Keep ACW separate from any other waste.
- D. When presenting ACW for storage away from the site of generation, the Asbestos abatement contractor shall:
 - 1. Ensure that ACW has been properly packaged as per requirements above.
 - 2. Examine the containers of ACW to ensure that there are no breaks in the containers and that no visible dust is being released into the air.



3. If examination reveals damage to a container of ACW the Asbestos abatement contractor or person accepting the waste shall immediately wet down the ACW and repackage it into a clean leak tight container. The subsequent repackaging shall be the financial responsibility of the Asbestos abatement contractor and occur at no extra cost to the City.
 4. Keep ACW separate from any other waste.
- E. When storing ACW – The Asbestos abatement contractor shall:
1. Ensure that the ACW has been sufficiently wetted down in tight containers.
 2. Re-wet and repackage any damaged containers.
 3. Maintain at storage site an adequate supply of spare leak tight containers.
 4. Maintain at storage site an adequate supply of amended water.
 5. Keep ACW separate from any other waste.
 6. Keep ACW in a secured, enclosed, and locked container.
 7. If the asbestos abatement contractor has intention of sorting a quantity of ACW greater than or equal to 50 cubic yards, the Asbestos abatement contractor shall:
 - a. Submit a written request and receive written approval from the City.
- F. When presenting for transport, the asbestos abatement contractor shall:
1. Ensure that ACW has been sufficiently wetted down.
 2. Examine the integrity of the container's airtight seal.
 3. Re-wet and repackage any damaged containers.
 4. Keep ACW separate from all other waste.
 5. Ensure that a person transporting asbestos waste holds a valid permit issued pursuant to law.
 6. Frequency of Waste Removal:
 - a. Properly packaged and labeled asbestos waste shall be removed from the site on a daily basis. Under no circumstance shall asbestos waste be stored on site without written approval from the City. The Waste



Hauler and landfill shall be as indicated on the notifications to regulatory agencies.

- G. Waste Load-out Through Equipment Decontamination Enclosure (Full Decontamination Facility): Place asbestos waste in disposal bags. Large items not able to fit into disposal bags shall be wrapped in one layer of 6-mil thick polyethylene sheeting. Clean outer covering of asbestos waste package by wet cleaning and/or HEPA-vacuuuming in a designated part of the Work Area. Move wrapped asbestos waste to the equipment washroom, wet clean each bag or object and place it inside a second disposal bag, or a second layer of 6-mil polyethylene sheeting, as the item's physical characteristics demand. Air volume shall be minimized, and the bags or sheeting shall be sealed airtight with tape.
1. The clean containerized items shall be moved to the equipment decontamination enclosure holding area pending load-out to storage or disposal facilities.
 2. Workers who have entered the equipment decontamination enclosure system from the uncontaminated non-Work Area shall perform load-out of containers from the decontamination enclosure holding area. Dress workers moving asbestos waste to storage or disposal facilities in clean overalls of a color different than from that of coveralls used in the Work Area. Ensure that workers do not enter from uncontaminated areas into the equipment washroom or the Work Area. Ensure that contaminated workers do not exit the Work Area through the equipment decontamination enclosure system.
 3. Thoroughly clean the equipment decontamination enclosure system immediately upon completion of the waste load-out activities, and at the completion of each work shift.
 4. Labeled ACM waste containers or bags shall not be used for non-ACM debris or trash. Any materials placed in labeled containers or bags, including those turned "inside-out", shall be handled and disposed of as ACM waste.
- H. All asbestos materials, wastes, shower water, polyethylene, disposable equipment and supplies shall be disposed of as asbestos contaminated waste, in accordance with the EPA regulation (40 CFR, Section 61.150) and those requirements of the New York Department of Environmental Conservation and New York City Department of Sanitation.
- I. All asbestos materials shall be prepared for transportation in accordance with this specification and all applicable Federal, State, County and City Regulations. asbestos abatement contractor shall submit the following documentation:
1. Where applicable, an EPA Generator's identification number which has been



- obtained from the EPA for all asbestos waste generated from the project.
2. Applicable State Waste Hauler license and registration numbers.
 3. Federal Hazardous Materials Waste Hauler number.
 4. Designated landfill EPA Permit numbers.
- J. Prior to loading asbestos waste the enclosed cargo areas (dumpster) shall be prepared as follows:
1. Clean via HEPA-vacuum and wet wipe techniques the enclosed cargo areas of all visible debris prior to preparing with polyethylene.
 2. Line the cargo area with two layers of 6-mil polyethylene sheeting to prevent contamination from damaged or leaking containers. Floor sheeting shall be installed first and extend up the walls a minimum of 24-inches. Wall sheeting shall be overlapped and taped securely into place.
- K. Asbestos-containing waste shall be placed on level surfaces in the cargo area of the dumpster and shall be packed tightly to prevent any shifting or tipping of the waste during transportation.
- L. Asbestos-containing waste shall not be thrown into or dropped from the dumpster. All material shall be handled carefully to prevent rupture of the containers.
- M. All personnel engaged in handling and loading of asbestos contaminated waste outside of the Work Area shall wear protective clothing. The disposable clothing shall include head, body and foot protection and color of clothing shall be different from abatement personnel in the Work Area. Minimum respiratory protection shall be half face, dual cartridge, air purifying respirators with HEPA-filters.
- N. Asbestos abatement contractor shall immediately clean debris or residue observed on containers or surfaces outside of the Work Area. Cleaning shall be via HEPA equipped wet/dry vacuums only.
- O. All asbestos-containing waste shall be transported from the abatement site to the landfill by a registered Waste Hauler. When transporting ACW:
1. Ensure that the ACW has been sufficiently wetted down in a leak tight container.
 2. Re-wet and repackage any damaged containers.
 3. Maintain at storage site an adequate supply of spare leak tight containers.



4. Maintain at storage site an adequate supply of amended water.
 5. Keep ACW separate from any other waste.
- P. Keep ACW in a secured, enclosed, and locked container.
- Q. Waste transport documents shall conform to the requirements of the U.S. Department of Transportation, Hazardous Materials Transportation Regulation, 49 CFR Part 173 and EPA 40 CFR 61.150 (d)(1)(2). Shipping documents shall be clearly marked with the required designation "RQ Asbestos". Asbestos abatement contractor shall provide a copy of this document to the City.
- R. A uniform hazardous waste manifest shall be prepared by the asbestos abatement contractor and signed by the asbestos abatement contractor each time the asbestos abatement contractor ships a dumpster load of Asbestos-Containing Waste Material. The uniform hazardous waste manifest shall include the site of waste generation, the names and addresses of the Transporter, the asbestos abatement contractor, and the landfill operator with information on the type and number of asbestos-waste containers, time and date. Asbestos abatement contractor shall provide the Construction Project Manager, Third-Party Air Monitor or authorized designated representative with signed copies of the waste manifest before each departure.
- S. Asbestos abatement contractor or his/her Waste Hauler shall transport asbestos-containing waste material from the abatement site directly to the specified disposal site. Asbestos abatement contractor or their Waste Hauler shall not accept material from any other site when transporting asbestos-containing waste material from the abatement site. The authorized DDC representative or Construction Project Manager reserves the right to travel with asbestos abatement contractor's Waste Hauler to the waste disposal site. No intermediate storage of waste material (i.e., asbestos abatement contractor's warehouse) shall be permitted.
- T. Final or progress application for payments will not be processed unless all hazardous waste manifests generated to date have been received and reviewed by the Construction Project Manager.
- U. All asbestos materials, wastes, shower water, polyethylene disposable equipment and supplies shall be disposed of as asbestos contaminated waste, in accordance with the EPA regulation (40 CFR, Section 61.150) and those requirements of the New York State Department of Environmental Conservation and the New York Department of Sanitation.



- V. Asbestos abatement contractor shall transport all sealed drums to a landfill disposal site approved by the Department of Environmental Conservation and the EPA. Transportation shall be performed by a New York State registered Waste Hauler, where required. When presenting the ACW for disposal the Asbestos abatement contractor or sub Asbestos abatement contractor shall:
 - 1. Ensure that waste container is properly labeled according to the National Emission Standard for Hazardous Air Pollutants (NESHAP); Asbestos Revision, 40 CFR, Part 61, Subpart M. The labels shall include the name of the waste generator and the location where the waste was generated.
 - 2. Comply with all applicable orders issued pursuant to asbestos disposal.
 - 3. Ensure that ACW has been sufficiently wetted down.
 - 4. Re-wet and repackage any damaged containers.
 - 5. Keep ACW separate from all other wastes.
- W. Asbestos abatement contractor shall notify the waste disposal site, at least 24 hours prior to transportation of asbestos contaminated waste to be delivered. Asbestos abatement contractor shall determine if a larger notification period is required.
- X. At the site asbestos abatement contractors or Waste Hauler trucks shall approach the dump location as close as possible for unloading asbestos waste. Containers shall be carefully placed in the ground. Do not throw containers from truck.
- Y. Asbestos abatement contractor or Waste Hauler shall inspect containers as they are unloaded at the disposal site. Material in damaged containers shall be repacked in empty containers, as necessary.
- Z. Asbestos abatement contractor or Waste Hauler shall not remove asbestos-containing waste Material from drums unless required to do so by the disposal site City. Used drums shall be disposed of as asbestos-asbestos contaminated waste.
- AA. All personnel engaged in unloading of the containers at the waste site shall wear protective clothing. The disposable clothing shall include head, body and foot protection. Minimum respiratory protection shall be half face, dual cartridge, air purifying respirators with HEPA-filters. Workers shall remove their protective clothing at the disposal site, place it in labeled disposal bags and leave them with the deposited waste shipment.
- BB. For the compaction operation, the asbestos abatement contractor shall ensure that disposal sites personnel have been provided with personal protective equipment by the disposal operator. If the disposal site City has not provided this protective



equipment, the asbestos abatement contractor shall supply protective clothing and respiratory protection for the duration of this operation (PAPR respirators are mandatory).

- CC. If containers are broken or damaged, the asbestos abatement contractor or Waste Hauler shall, using personnel who are properly trained and wearing proper protective equipment, shall repackage the waste in properly labeled containers. Asbestos abatement contractor shall then clean the entire truck and its contents using HEPA-vacuums and wet cleaning techniques until no visible residue is observed.
- DD. Following the removal of all containerized waste, the asbestos abatement contractor shall decontaminate the truck cargo area using HEPA-vacuums and/or wet cleaning techniques until no residue is observed. All 6-mil polyethylene sheeting shall be removed and discarded as asbestos-containing waste material along with contaminated cleaning material and protective clothing, in containers at the disposal site.
- EE. The transporter(s) of all asbestos waste shall not back-haul any items on his return from landfill/disposal site.
- FF. All asbestos waste shall be disposed of in an approved Asbestos Landfill site only.
 - 1. NO PERSON UNDER ANY CIRCUMSTANCES SHALL ABANDON ACW. The same shall be disposed of only by certified persons in approved landfills.
 - 2. A manifest form will be signed by the Landfill documenting receipt and acceptance of the asbestos-containing waste. This manifest will be furnished to the City of New York within thirty calendar days from the project completion date.
 - 3. It is the responsibility of the Asbestos abatement contractor to determine current waste handling, transportation and disposal regulations for the work site and for each waste disposal landfill. The Asbestos abatement contractor must comply fully with these regulations and all appropriate U.S. Department of Transportation, EPA and other Federal, State and Local entities' regulations and all other current legal requirements.
 - 4. The asbestos abatement contractor shall obtain an agreement from the transporter (s) that the practice of "Back-Hauling" will not be engaged in, with respect to any and all waste loads taken from this site during the work.



5. The asbestos abatement contractor will document actual disposal of the waste at the designated landfill by having completed a Disposal Certificate and will provide a copy of the same to the Department of Design and Construction.

PART 6 – ACCEPTANCE

6.01 ACCEPTANCE

Upon satisfactory completion of all decontamination procedures, a certificate will be issued by the Construction Project Manager with copies to all parties.

- A. A letter of Compliance stating that all the work on the project was performed in accordance with the Specifications and all applicable Federal, State and Local regulations.
- B. All warranties as stated in the Specifications.

END OF SECTION 028213

SECTION 031000 - CONCRETE FORMING AND ACCESSORIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Form-facing material for cast-in-place concrete.

1.3 DEFINITIONS

- A. Form-Facing Material: Temporary structure or mold for the support of concrete while the concrete is setting and gaining sufficient strength to be self-supporting.
- B. Formwork: The total system of support of freshly placed concrete, including the mold or sheathing that contacts the concrete, as well as supporting members, hardware, and necessary bracing.

1.4 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.
 - 1. Review the following:
 - a. Special inspection and testing and inspecting agency procedures for field quality control.
 - b. Construction, movement, contraction, and isolation joints.
 - c. Forms and form-removal limitations.
 - d. Anchor rod and anchorage device installation tolerances.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures".

1.6 ACTION SUBMITTALS

- A. Product Data: For each of the following:
 - 1. Exposed surface form-facing material.

2. Concealed surface form-facing material.
3. Pan-type forms.
4. Form ties.
5. Waterstops.

B. Sustainable Design Submittals:

1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
2. Product Certificates: For regional materials, indicating location of material manufacturer and point of extraction, harvest, or recovery for each raw material. Include distance to Project and cost for each regional material.

C. Shop Drawings: Prepared by, and signed and sealed by, a qualified New York State licensed professional engineer, responsible for their preparation, detailing fabrication, assembly, and support of forms.

1. For exposed vertical concrete walls, indicate dimensions and form tie locations.
2. Indicate dimension and locations of construction and movement joints required to construct the structure in accordance with ACI 301.
 - a. Location of construction joints is subject to approval of the Commissioner.
3. Indicate location of waterstops.
4. Indicate form liner layout and form line termination details.
5. Indicate proposed schedule and sequence of stripping of forms, shoring removal, and reshoring installation and removal.
6. Indicate layout of insulating concrete forms, dimensions, course heights, form types, and details.

1.7 INFORMATIONAL SUBMITTALS

- A. Minutes of preinstallation conference.**

1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."**

1.9 DELIVERY, STORAGE, AND HANDLING

- A. Waterstops: Store waterstops under cover to protect from moisture, sunlight, dirt, oil, and other contaminants.**

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Concrete Formwork: Design, engineer, erect, shore, brace, and maintain formwork, shores, and reshores in accordance with ACI 301, to support vertical, lateral, static, and dynamic loads, and construction loads that might be applied, until structure can support such loads, so that resulting concrete conforms to the required shapes, lines, and dimensions.
1. Design wood panel forms in accordance with APA's "Concrete Forming Design/Construction Guide."
 2. Design formwork to limit deflection of form-facing material to 1/240 of center-to-center spacing of supports.

2.2 FORM-FACING MATERIALS

- A. As-Cast Surface Form-Facing Material:
1. Provide continuous, true, and smooth concrete surfaces.
 2. Furnish in largest practicable sizes to minimize number of joints.
 3. Acceptable Materials: As required to comply with Surface Finish designations specified in Section 033000 "Cast-In-Place Concrete, and as follows:
 - a. Plywood, metal, or other approved panel materials.
 - b. Exterior-grade plywood panels, suitable for concrete forms, complying with DOC PS 1, and as follows:
 - 1) APA Structural 1 Plyform, B-B or better; mill oiled and edge sealed, or
 - 2) APA Plyform Class I, B-B or better; mill oiled and edge sealed.
- B. Concealed Surface Form-Facing Material: Lumber, plywood, metal, plastic, or another approved material.
1. Provide lumber dressed on at least two edges and one side for tight fit.
- C. Pan-Type Forms: Glass-fiber-reinforced plastic or formed steel, stiffened to resist plastic concrete loads without detrimental deformation, with straight or tapered end forms.

2.3 WATERSTOPS

- A. Flexible Rubber Waterstops: U.S. Army Corps of Engineers CRD-C 513, with factory-installed metal eyelets, for embedding in concrete to prevent passage of fluids through joints with factory fabricated corners, intersections, and directional changes.
1. Profile: Flat dumbbell with center bulb.
 2. Dimensions: 4 inches by 3/16 inch thick; nontapered.

- B. Self-Expanding Butyl Strip Waterstops: Manufactured rectangular or trapezoidal strip, butyl rubber with sodium bentonite or other hydrophilic polymers, for adhesive bonding to concrete, 3/4 by 1 inch.
- C. Self-Expanding Rubber Strip Waterstops: Manufactured rectangular or trapezoidal strip, bentonite-free hydrophilic polymer-modified chloroprene rubber, for adhesive bonding to concrete, 3/8 by 3/4 inch.

2.4 RELATED MATERIALS

- A. Reglets: Fabricate reglets of not less than 0.022-inch- thick, galvanized-steel sheet. Temporarily fill or cover face opening of reglet to prevent intrusion of concrete or debris.
- B. Chamfer Strips: Wood, metal, PVC, or rubber strips, 3/4 by 3/4 inch, minimum.
- C. Form Ties: Factory-fabricated, removable or snap-off, glass-fiber-reinforced plastic or metal form ties designed to resist lateral pressure of fresh concrete on forms and to prevent spalling of concrete on removal.
 - 1. Furnish units that leave no corrodible metal closer than 1 inch to the plane of exposed concrete surface.
 - 2. Furnish ties that, when removed, leave holes no larger than 1 inch in diameter in concrete surface.
 - 3. Furnish ties with integral water-barrier plates to walls indicated to receive dampproofing or waterproofing.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION OF FORMWORK

- A. Comply with ACI 301.
- B. Construct formwork, so concrete members and structures are of size, shape, alignment, elevation, and position indicated, within tolerance limits of ACI 117 and to comply with the Surface Finish designations specified in Section 033000 "Cast-In-Place Concrete" for as-cast finishes.
- C. Limit concrete surface irregularities as follows:
 - 1. Surface Finish-2.0: ACI 117 Class B, 1/4 inch.
 - 2. Surface Finish-3.0: ACI 117 Class A, 1/8 inch.
- D. Construct forms tight enough to prevent loss of concrete mortar.
 - 1. Minimize joints.
 - 2. Exposed Concrete: Symmetrically align joints in forms.

- E. Construct removable forms for easy removal without hammering or prying against concrete surfaces.
 - 1. Provide crush or wrecking plates where stripping may damage cast-concrete surfaces.
 - 2. Provide top forms for inclined surfaces steeper than 1.5 horizontal to 1 vertical.
 - 3. Install keyways, reglets, recesses, and other accessories, for easy removal.
- F. Do not use rust-stained, steel, form-facing material.
- G. Set edge forms, bulkheads, and intermediate screed strips for slabs to achieve required elevations and slopes in finished concrete surfaces.
 - 1. Provide and secure units to support screed strips
 - 2. Use strike-off templates or compacting-type screeds.
- H. Provide temporary openings for cleanouts and inspection ports where interior area of formwork is inaccessible.
 - 1. Close openings with panels tightly fitted to forms and securely braced to prevent loss of concrete mortar.
 - 2. Locate temporary openings in forms at inconspicuous locations.
- I. Chamfer exterior corners and edges of permanently exposed concrete.
- J. At construction joints, overlap forms onto previously placed concrete not less than 12 inches.
- K. Form openings, chases, offsets, sinkages, keyways, reglets, blocking, screeds, and bulkheads required in the Work.
 - 1. Determine sizes and locations from trades providing such items.
 - 2. Obtain written approval of Commissioner prior to forming openings not indicated on Drawings.
- L. Construction and Movement Joints:
 - 1. Construct joints true to line with faces perpendicular to surface plane of concrete.
 - 2. Install so strength and appearance of concrete are not impaired, at locations indicated or as approved by Commissioner.
 - 3. Place joints perpendicular to main reinforcement.
 - 4. Locate joints for beams, slabs, joists, and girders in the middle third of spans.
 - a. Offset joints in girders a minimum distance of twice the beam width from a beam-girder intersection.
 - 5. Locate horizontal joints in walls and columns at underside of floors, slabs, beams, and girders and at the top of footings or floor slabs.
- M. Provide temporary ports or openings in formwork where required to facilitate cleaning and inspection.
 - 1. Locate ports and openings in bottom of vertical forms, in inconspicuous location, to allow flushing water to drain.

2. Close temporary ports and openings with tight-fitting panels, flush with inside face of form, and neatly fitted, so joints will not be apparent in exposed concrete surfaces.
- N. Clean forms and adjacent surfaces to receive concrete. Remove chips, wood, sawdust, dirt, and other debris just before placing concrete.
- O. Retighten forms and bracing before placing concrete, as required, to prevent mortar leaks and maintain proper alignment.
- P. Coat contact surfaces of forms with form-release agent, according to manufacturer's written instructions, before placing reinforcement.

3.3 INSTALLATION OF EMBEDDED ITEMS

- A. Place and secure anchorage devices and other embedded items required for adjoining work that is attached to or supported by cast-in-place concrete.
1. Use setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
 2. Install anchor rods, accurately located, to elevations required and complying with tolerances in Section 7.5 of AISC 303.
 3. Install reglets to receive waterproofing and to receive through-wall flashings in outer face of concrete frame at exterior walls, where flashing is shown at lintels, shelf angles, and other conditions.
 4. Install dovetail anchor slots in concrete structures, as indicated on Drawings.
 5. Clean embedded items immediately prior to concrete placement.

3.4 INSTALLATION OF WATERSTOPS

- A. Flexible Waterstops: Install in construction joints and at other joints indicated to form a continuous diaphragm.
1. Install in longest lengths practicable.
 2. Locate waterstops in center of joint unless otherwise indicated on Drawings.
 3. Allow clearance between waterstop and reinforcing steel of not less than 2 times the largest concrete aggregate size specified in Section 033000 "Cast-In-Place Concrete."
 4. Secure waterstops in correct position at 12 inches on center.
 5. Field fabricate joints in accordance with manufacturer's instructions using heat welding.
 - a. Miter corners, intersections, and directional changes in waterstops.
 - b. Align center bulbs.
 6. Clean waterstops immediately prior to placement of concrete.
 7. Support and protect exposed waterstops during progress of the Work.

3.5 REMOVING AND REUSING FORMS

- A. Formwork for sides of beams, walls, columns, and similar parts of the Work that does not support weight of concrete may be removed after cumulatively curing at not less than 50 deg F for 24 hours after placing concrete. Concrete has to be hard enough to not be damaged by form-removal operations, and curing and protection operations need to be maintained.
 - 1. Leave formwork for beam soffits, joists, slabs, and other structural elements that support weight of concrete in place until concrete has achieved at least 70 percent of its 28-day design compressive strength.
- B. Clean and repair surfaces of forms to be reused in the Work.
 - 1. Split, frayed, delaminated, or otherwise damaged form-facing material are unacceptable for exposed surfaces.
 - 2. Apply new form-release agent.
- C. When forms are reused, clean surfaces, remove fins and laitance, and tighten to close joints.
 - 1. Align and secure joints to avoid offsets.
 - 2. Do not use patched forms for exposed concrete surfaces unless approved by Commissioner.

3.6 FIELD QUALITY CONTROL

- A. Special Inspections: City of New York will engage a special inspector to perform field tests and inspections and prepare test reports per the requirements of Chapter 17 of the NYCBC.

END OF SECTION 031000

SECTION 032000 - CONCRETE REINFORCING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:

- 1. Steel reinforcement bars.

- B. Related Requirements:

- 1. Section 033000 "Cast-in-Place Concrete" for synthetic macro-fiber reinforcement.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

- 1. Review the following:

- a. Special inspection and testing and inspecting agency procedures for field quality control.
- b. Construction contraction and isolation joints.
- c. Steel-reinforcement installation.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For the following:

- 1. Each type of steel reinforcement.
- 2. Epoxy repair coating.
- 3. Zinc repair material.
- 4. Bar supports.

- B. Sustainable Design Submittals:

1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
2. Product Certificates: For regional materials, indicating location of material manufacturer and point of extraction, harvest, or recovery for each raw material. Include distance to Project and cost for each regional material.

C. Shop Drawings: Comply with ACI SP-066:

1. Include placing drawings that detail fabrication, bending, and placement.
2. Include bar sizes, lengths, materials, grades, bar schedules, stirrup spacing, bent bar diagrams, bar arrangement, location of splices, lengths of lap splices, details of mechanical splice couplers, details of welding splices, tie spacing, hoop spacing, and supports for concrete reinforcement.

D. Construction Joint Layout: Indicate proposed construction joints required to build the structure.

1. Location of construction joints is subject to approval of the Commissioner.

1.6 INFORMATIONAL SUBMITTALS

A. Welding certificates.

1. Reinforcement To Be Welded: Welding procedure specification in accordance with AWS D1.4/D1.4M

B. Material Certificates: For each of the following, signed by manufacturers:

1. Epoxy-Coated Reinforcement: CRSI's "Epoxy Coating Plant Certification."

C. Material Test Reports: For the following, from a qualified testing agency:

1. Steel Reinforcement:
 - a. For reinforcement to be welded, mill test analysis for chemical composition and carbon equivalent of the steel in accordance with ASTM A706/A706M.

D. Minutes of preinstallation conference.

1.7 QUALITY ASSURANCE

A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

B. Welding Qualifications: Qualify procedures and personnel in accordance with AWS D1.4/D 1.4M.

1.8 DELIVERY, STORAGE, AND HANDLING

A. Steel Reinforcement: Deliver, store, and handle steel reinforcement to prevent bending and damage. and to avoid damaging coatings on steel reinforcement.

1. Store reinforcement to avoid contact with earth.
2. Do not allow epoxy-coated reinforcement to be stored outdoors for more than 60 days without being stored under an opaque covering.

PART 2 - PRODUCTS

2.1 STEEL REINFORCEMENT

- A. Reinforcing Bars: ASTM A615/A615M, Grade 60, deformed.
- B. Low-Alloy Steel Reinforcing Bars: ASTM A706/A706M, deformed.
- C. Epoxy-Coated Reinforcing Bars:
 1. Steel Bars: ASTM A615/A615M, Grade 60, deformed bars.
 2. Epoxy Coating: ASTM A775/A775M with less than 2 percent damaged coating in each 12-inch bar length.

2.2 REINFORCEMENT ACCESSORIES

- A. Joint Dowel Bars: ASTM A615/A615M, Grade 60, plain-steel bars, cut true to length with ends square and free of burrs.
- B. Epoxy-Coated Joint Dowel Bars: ASTM A615/A615M, Grade 60, plain-steel bars, ASTM A775/A775M epoxy coated.
- C. Bar Supports: Bolsters, chairs, spacers, and other devices for spacing, supporting, and fastening reinforcing bars in place.
 1. Manufacture bar supports from steel wire, plastic, or precast concrete in accordance with CRSI's "Manual of Standard Practice," of greater compressive strength than concrete and as follows:
 - a. For concrete surfaces exposed to view, where legs of wire bar supports contact forms, use CRSI Class 1 plastic-protected steel wire, all-plastic bar supports, or CRSI Class 2 stainless steel bar supports.
 - b. For epoxy-coated reinforcement, use CRSI Class 1A epoxy-coated or other dielectric-polymer-coated wire bar supports.
- D. Epoxy Repair Coating: Liquid, two-part, epoxy repair coating; compatible with epoxy coating on reinforcement and complying with ASTM A775/A775M.
- E. Zinc Repair Material: ASTM A780/A780M.

2.3 FABRICATING REINFORCEMENT

- A. Fabricate steel reinforcement according to CRSI's "Manual of Standard Practice."

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 PREPARATION

- A. Protection of In-Place Conditions:
 - 1. Do not cut or puncture vapor retarder.
 - 2. Repair damage and reseal vapor retarder before placing concrete.
- B. Clean reinforcement of loose rust and mill scale, earth, ice, and other foreign materials that reduce bond to concrete.

3.3 INSTALLATION OF STEEL REINFORCEMENT

- A. Comply with CRSI's "Manual of Standard Practice" for placing and supporting reinforcement.
- B. Accurately position, support, and secure reinforcement against displacement.
 - 1. Locate and support reinforcement with bar supports to maintain minimum concrete cover.
 - 2. Do not tack weld crossing reinforcing bars.
- C. Preserve clearance between bars of not less than 1 inch, not less than one bar diameter, or not less than 1-1/3 times size of large aggregate, whichever is greater.
- D. Provide concrete coverage in accordance with ACI 318.
- E. Set wire ties with ends directed into concrete, not toward exposed concrete surfaces.
- F. Splices: Lap splices as indicated on Drawings.
 - 1. Bars indicated to be continuous, and all vertical bars shall be lapped not less than 36 bar diameters at splices, or 24 inches, whichever is greater.
 - 2. Stagger splices in accordance with ACI 318.
- G. Epoxy-Coated Reinforcement: Repair cut and damaged epoxy coatings with epoxy repair coating in accordance with ASTM D3963/D3963M.

3.4 JOINTS

- A. Construction Joints: Install so strength and appearance of concrete are not impaired, at locations indicated or as approved by Commissioner.

1. Place joints perpendicular to main reinforcement.
2. Continue reinforcement across construction joints unless otherwise indicated.
3. Do not continue reinforcement through sides of strip placements of floors and slabs.

B. Doweled Joints: Install dowel bars and support assemblies at joints where indicated. Lubricate or asphalt coat one-half of dowel length, to prevent concrete bonding to one side of joint.

3.5 INSTALLATION TOLERANCES

A. Comply with ACI 117.

3.6 FIELD QUALITY CONTROL

A. Special Inspections: City of New York will engage a special inspector to perform field tests and inspections and prepare test reports as per the requirements of Chapter 17 of the NYCBC.

END OF SECTION 032000

SECTION 033000 - CAST-IN-PLACE CONCRETE

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Cast-in-place concrete, including concrete materials, mixture design, placement procedures, and finishes.

B. Related Requirements:

1. Section 031000 "Concrete Forming and Accessories" for form-facing materials, and waterstops.
2. Section 032000 "Concrete Reinforcing" for steel reinforcing bars.

1.3 DEFINITIONS

- A. Cementitious Materials: Portland cement alone or in combination with one or more of the following: blended hydraulic cement, fly ash, slag cement, other pozzolans, and silica fume; materials subject to compliance with requirements.
- B. Water/Cement Ratio (w/cm): The ratio by weight of water to cementitious materials.

1.4 PREINSTALLATION MEETINGS

A. Preinstallation Conference: Conduct conference at Project site.

1. Require representatives of each entity directly concerned with cast-in-place concrete to attend, including the following:
 - a. Contractor's superintendent.
 - b. Independent testing agency responsible for concrete design mixtures.
 - c. Ready-mix concrete manufacturer.
 - d. Concrete Subcontractor.
2. Review the following:
 - a. Special inspection and testing and inspecting agency procedures for field quality control.
 - b. Construction joints, control joints, isolation joints, and joint-filler strips.

- c. Semirigid joint fillers.
- d. Anchor rod and anchorage device installation tolerances.
- e. Cold and hot weather concreting procedures.
- f. Concrete finishes and finishing.
- g. Curing procedures.
- h. Forms and form-removal limitations.
- i. Methods for achieving specified floor and slab flatness and levelness.
- j. Floor and slab flatness and levelness measurements.
- k. Concrete repair procedures.
- l. Concrete protection.
- m. Initial curing and field curing of field test cylinders (ASTM C31/C31M.)
- n. Protection of field cured field test cylinders.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.6 ACTION SUBMITTALS

- A. Product Data: For each of the following.

- 1. Portland cement.
- 2. Fly ash.
- 3. Slag cement.
- 4. Blended hydraulic cement.
- 5. Silica fume.
- 6. Aggregates.
- 7. Admixtures:

- a. Include limitations of use, including restrictions on cementitious materials, supplementary cementitious materials, air entrainment, aggregates, temperature at time of concrete placement, relative humidity at time of concrete placement, curing conditions, and use of other admixtures.

- 8. Fiber reinforcement.
- 9. Curing materials.

- a. Include documentation from color pigment manufacturer, indicating that proposed methods of curing are recommended by color pigment manufacturer.

- 10. Joint fillers.
- 11. Corrective work materials.

- B. Sustainable Design Submittals:

- 1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.

2. **Product Certificates:** For regional materials, indicating location of material manufacturer and point of extraction, harvest, or recovery for each raw material. Include distance to Project and cost for each regional material.

C. **Design Mixtures:** For each concrete mixture.

1. Indicate amounts of mixing water to be withheld for later addition at Project site if permitted.
2. Intended placement method.
3. Submit alternate design mixtures when characteristics of materials, Project conditions, weather, test results, or other circumstances warrant adjustments.

D. **Shop Drawings:**

1. **Construction Joint Layout:** Indicate proposed construction joints required to construct the structure.
 - a. Location of construction joints is subject to approval of the Commissioner.

1.7 **INFORMATIONAL SUBMITTALS**

A. **Qualification Data:** For the following:

1. **Installer:** Include copies of applicable ACI certificates.
2. **Ready-mixed concrete manufacturer.**

B. **Material Certificates:** For each of the following, signed by manufacturers:

1. Cementitious materials.
2. Admixtures.
3. Fiber reinforcement.
4. Curing compounds.
5. Bonding agents.
6. Adhesives.
7. Semirigid joint filler.
8. Joint-filler strips.
9. Corrective work materials.

C. **Material Test Reports:** For the following, from a qualified testing agency:

1. Portland cement.
2. Fly ash.
3. Slag cement.
4. Blended hydraulic cement.
5. Silica fume.
6. Aggregates.
7. Admixtures:
 - a. **Permeability-Reducing Admixture:** Include independent test reports, indicating compliance with specified requirements, including dosage rate used in test.

- D. Preconstruction Test Reports: For each mix design.
- E. Minutes of preinstallation conference.

1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Installer Qualifications: A qualified installer who employs Project personnel qualified as an ACI-certified Flatwork Technician and Finisher and a supervisor who is a certified ACI Flatwork Concrete Finisher/Technician or an ACI Concrete Flatwork Technician.
- C. Ready-Mixed Concrete Manufacturer Qualifications: A firm experienced in manufacturing ready-mixed concrete products and that complies with ASTM C94/C94M requirements for production facilities and equipment.

1.9 PRECONSTRUCTION TESTING

- A. TR3 (Technical Report for Concrete Design Mix): Contractor shall be responsible for, and bear all costs associated with the filing and securing of approvals, if any, for Form TR3: Technical Report Concrete Design Mix, including, but not limited to, engaging the services of a New York City licensed Concrete Testing Lab for the review and approval of concrete design mix, testing, signatures and professional seals, etc., compliant with NYC Department of Buildings requirements, for each concrete design mix.
 - 1. Include the following information in each technical report:
 - a. Admixture dosage rates.
 - b. Slump.
 - c. Air content.
 - d. Seven-day compressive strength.
 - e. 28-day compressive strength.
 - f. Permeability.

1.10 DELIVERY, STORAGE, AND HANDLING

- A. Comply with ASTM C94/C94M and ACI 301.

1.11 FIELD CONDITIONS

- A. Cold-Weather Placement: Comply with ACI 301 and ACI 306.1 and as follows.
 - 1. Protect concrete work from physical damage or reduced strength that could be caused by frost, freezing actions, or low temperatures.
 - 2. When average high and low temperature is expected to fall below 40 deg F for three successive days, maintain delivered concrete mixture temperature within the temperature range required by ACI 301.
 - 3. Do not use frozen materials or materials containing ice or snow.

4. Do not place concrete in contact with surfaces less than 35 deg F, other than reinforcing steel.
5. Do not use calcium chloride, salt, or other materials containing antifreeze agents or chemical accelerators unless otherwise specified and approved in mixture designs.

B. Hot-Weather Placement: Comply with ACI 301 and ACI 305.1, and as follows:

1. Maintain concrete temperature at time of discharge to not exceed 95 deg F.
2. Fog-spray forms, steel reinforcement, and subgrade just before placing concrete. Keep subgrade uniformly moist without standing water, soft spots, or dry areas.

PART 2 - PRODUCTS

2.1 CONCRETE, GENERAL

A. ACI Publications: Comply with ACI 301 unless modified by requirements in the Contract Documents.

2.2 CONCRETE MATERIALS

A. Source Limitations:

1. Obtain all concrete mixtures from a single ready-mixed concrete manufacturer for entire Project.
2. Obtain each type or class of cementitious material of the same brand from the same manufacturer's plant.
3. Obtain aggregate from single source.
4. Obtain each type of admixture from single source from single manufacturer.

B. Cementitious Materials:

1. Portland Cement: ASTM C150/C150M, Type I, gray.
2. Fly Ash: ASTM C618, Class C or F.
3. Slag Cement: ASTM C989/C989M, Grade 100 or 120.
4. Blended Hydraulic Cement: ASTM C595/C595M, Type IP, portland-pozzolan cement.
5. Silica Fume: ASTM C1240 amorphous silica.

C. Normal-Weight Aggregates: ASTM C33/C33M, Class 4S coarse aggregate or better, graded. Provide aggregates from a single source.

1. Maximum Coarse-Aggregate Size: 1 inch nominal.
2. Fine Aggregate: Free of materials with deleterious reactivity to alkali in cement.

D. Chemical Admixtures: Certified by manufacturer to be compatible with other admixtures that do not contribute water-soluble chloride ions exceeding those permitted in hardened concrete. Do not use calcium chloride or admixtures containing calcium chloride.

1. Water-Reducing Admixture: ASTM C494/C494M, Type A.

2. Non-Set-Accelerating Corrosion-Inhibiting Admixture: Commercially formulated, non-set-accelerating, anodic inhibitor or mixed cathodic and anodic inhibitor; capable of forming a protective barrier and minimizing chloride reactions with steel reinforcement in concrete.

E. Water and Water Used to Make Ice: ASTM C94/C94M, potable.

2.3 FIBER REINFORCEMENT

A. Synthetic Macro-Fiber: Synthetic macro-fibers engineered and designed for use in concrete, complying with ASTM C1116/C1116M, Type III, 1 to 2-1/4 inches long.

1. Synthetic macrofiber to be tested in concrete to meet the requirements of ICC-AC308.

2.4 CURING MATERIALS

A. Evaporation Retarder: Waterborne, monomolecular film forming, manufactured for application to fresh concrete.

B. Absorptive Cover: AASHTO M 182, Class 2, burlap cloth made from jute or kenaf, weighing approximately 9 oz./sq. yd. when dry.

C. Moisture-Retaining Cover: ASTM C171, polyethylene film burlap-polyethylene sheet.

D. Water: Potable or complying with ASTM C1602/C1602M.

2.5 RELATED MATERIALS

A. Expansion- and Isolation-Joint-Filler Strips: ASTM D1751, asphalt-saturated cellulosic fiber or ASTM D1752, cork or self-expanding cork.

B. Semirigid Joint Filler: Two-component, semirigid, 100 percent solids, epoxy resin with a Type A shore durometer hardness of 80 in accordance with ASTM D2240.

C. Bonding Agent: ASTM C1059/C1059M, Type II, nonredispersible, acrylic emulsion or styrene butadiene.

D. Epoxy Bonding Adhesive: ASTM C881, two-component epoxy resin, capable of humid curing and bonding to damp surfaces, of class suitable for application temperature and of grade and class to suit requirements, and as follows:

1. Types IV and V, load bearing, for bonding hardened or freshly mixed concrete to hardened concrete.

2.6 CORRECTIVE WORK MATERIALS

A. Repair Underlayment: Cement-based, polymer-modified, self-leveling product that can be applied in thicknesses from 1/8 inch and that can be feathered at edges to match adjacent floor elevations.

1. Cement Binder: ASTM C150/C150M portland cement or hydraulic or blended hydraulic cement, as defined in ASTM C219.
 2. Primer: Product of underlayment manufacturer recommended for substrate, conditions, and application.
 3. Aggregate: Well-graded, washed gravel, 1/8 to 1/4 inch or coarse sand, as recommended by underlayment manufacturer.
 4. Compressive Strength: Not less than 5000 psi at 28 days when tested in accordance with ASTM C109/C109M.
- B. Repair Overlayment: Cement-based, polymer-modified, self-leveling product that can be applied in thicknesses from 1/4 inch and that can be filled in over a scarified surface to match adjacent floor elevations.
1. Cement Binder: ASTM C150/C150M portland cement or hydraulic or blended hydraulic cement, as defined in ASTM C219.
 2. Primer: Product of topping manufacturer recommended for substrate, conditions, and application.
 3. Aggregate: Well-graded, washed gravel, 1/8 to 1/4 inch or coarse sand as recommended by topping manufacturer.
 4. Compressive Strength: Not less than 5000 psi at 28 days when tested in accordance with ASTM C109/C109M.

2.7 CONCRETE MIXTURES, GENERAL

- A. Prepare design mixtures for each type and strength of concrete, proportioned on the basis of laboratory trial mixture or field test data, or both, in accordance with ACI 301.
1. Use a qualified testing agency for preparing and reporting proposed mixture designs, based on laboratory trial mixtures.
- B. Cementitious Materials: Limit percentage, by weight, of cementitious materials other than portland cement in concrete as follows:
1. Fly Ash or Other Pozzolans: 25 percent by mass.
 2. Slag Cement: 50 percent by mass.
 3. Silica Fume: 10 percent by mass.
 4. Total of Fly Ash or Other Pozzolans, Slag Cement, and Silica Fume: 50 percent by mass, with fly ash or pozzolans not exceeding 25 percent by mass and silica fume not exceeding 10 percent by mass.
 5. Total of Fly Ash or Other Pozzolans and Silica Fume: 35 percent by mass with fly ash or pozzolans not exceeding 25 percent by mass and silica fume not exceeding 10 percent by mass.
- C. Admixtures: Use admixtures in accordance with manufacturer's written instructions.
1. Use water-reducing admixture in concrete, as required, for placement and workability.
 2. Use water-reducing admixture in pumped concrete.
 3. Use corrosion-inhibiting admixture in concrete mixtures where indicated.

2.8 CONCRETE MIXTURES

- A. Class A: Normal-weight concrete used for footings, grade beams, and tie beams.
 - 1. Minimum Compressive Strength: 5000 psi at 28 days.
 - 2. Maximum w/cm: 0.40.
 - 3. Slump Limit: 4 inches, plus or minus 1 inch for concrete with verified slump of 3 inches plus or minus 1 inch before adding high-range water-reducing admixture at Project site.
 - 4. Air Content:
 - a. 6 percent, plus or minus 1.5 percent at point of delivery for concrete containing 3/4-inch nominal maximum aggregate size.
- B. Class C: Normal-weight concrete used for interior slabs-on-ground.
 - 1. Minimum Compressive Strength: 5000 psi at 28 days.
 - 2. Maximum w/cm: 0.40.
 - 3. Minimum Cementitious Materials Content: 540 lb/cu. yd..
 - 4. Slump Limit: 4 inches, plus or minus 1 inch.
 - 5. Air Content:
 - a. Do not use an air-entraining admixture or allow total air content to exceed 3 percent for concrete used in trowel-finished floors.
 - 6. Synthetic Macro-Fiber: Uniformly disperse in concrete mixture at manufacturer's recommended rate, but not less than a rate of 4.0 lb/cu. yd.

2.9 CONCRETE MIXING

- A. Ready-Mixed Concrete: Measure, batch, mix, and deliver concrete in accordance with ASTM C94/C94M and ASTM C1116/C1116M, and furnish batch ticket information.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Verification of Conditions:
 - 1. Before placing concrete, verify that installation of concrete forms, accessories, and reinforcement, and embedded items is complete and that required inspections have been performed.
 - 2. Do not proceed until unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. Provide reasonable auxiliary services to accommodate field testing and inspections, acceptable to testing agency, including the following:
 - 1. Daily access to the Work.
 - 2. Incidental labor and facilities necessary to facilitate tests and inspections.
 - 3. Secure space for storage, initial curing, and field curing of test samples, including source of water and continuous electrical power at Project site during site curing period for test samples.
 - 4. Security and protection for test samples and for testing and inspection equipment at Project site.

3.4 INSTALLATION OF EMBEDDED ITEMS

- A. Place and secure anchorage devices and other embedded items required for adjoining Work that is attached to or supported by cast-in-place concrete.
 - 1. Use setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
 - 2. Install anchor rods, accurately located, to elevations required and complying with tolerances in Section 7.5 of ANSI/AISC 303.
 - 3. Install reglets to receive waterproofing and to receive through-wall flashings in outer face of concrete frame at exterior walls, where flashing is shown at lintels, shelf angles, and other conditions.

3.5 JOINTS

- A. Construct joints true to line, with faces perpendicular to surface plane of concrete.
- B. Construction Joints: Coordinate with floor slab pattern and concrete placement sequence.
 - 1. Install so strength and appearance of concrete are not impaired, at locations indicated on Drawings or as approved by Commissioner.
 - 2. Place joints perpendicular to main reinforcement.
 - a. Continue reinforcement across construction joints unless otherwise indicated.
 - b. Do not continue reinforcement through sides of strip placements of floors and slabs.
 - 3. Form keyed joints as indicated. Embed keys at least 1-1/2 inches into concrete.
 - 4. Locate joints for beams, slabs, joists, and girders at third points of spans. Offset joints in girders a minimum distance of twice the beam width from a beam-girder intersection.
 - 5. Locate horizontal joints in walls and columns at underside of floors, slabs, beams, and girders and at the top of footings or floor slabs.
 - 6. Space vertical joints in walls as indicated on Drawings. Unless otherwise indicated on Drawings, locate vertical joints beside piers integral with walls, near corners, and in concealed locations where possible.
 - 7. Use a bonding agent at locations where fresh concrete is placed against hardened or partially hardened concrete surfaces.

8. Use epoxy-bonding adhesive at locations where fresh concrete is placed against hardened or partially hardened concrete surfaces.
- C. Control Joints in Slabs-on-Ground: Form weakened-plane control joints, sectioning concrete into areas as indicated. Construct control joints for a depth equal to at least one-fourth of concrete thickness as follows:
1. Grooved Joints: Form control joints after initial floating by grooving and finishing each edge of joint to a radius of 1/8 inch. Repeat grooving of control joints after applying surface finishes. Eliminate groover tool marks on concrete surfaces.
 2. Sawed Joints: Form control joints with power saws equipped with shatterproof abrasive or diamond-rimmed blades. Cut 1/8-inch- wide joints into concrete when cutting action does not tear, abrade, or otherwise damage surface and before concrete develops random cracks.
- D. Isolation Joints in Slabs-on-Ground: After removing formwork, install joint-filler strips at slab junctions with vertical surfaces, such as column pedestals, foundation walls, grade beams, and other locations, as indicated.
1. Extend joint-filler strips full width and depth of joint, terminating flush with finished concrete surface unless otherwise indicated on Drawings.
 2. Terminate full-width joint-filler strips not less than 1/2 inch or more than 1 inch below finished concrete surface, where joint sealants, specified in Section 079200 "Joint Sealants," are indicated.
 3. Install joint-filler strips in lengths as long as practicable. Where more than one length is required, lace or clip sections together.
- E. Doweled Joints:
1. Install dowel bars and support assemblies at joints where indicated on Drawings.
 2. Lubricate or asphalt coat one-half of dowel bar length to prevent concrete bonding to one side of joint.
- F. Dowel Plates: Install dowel plates at joints where indicated on Drawings.

3.6 CONCRETE PLACEMENT

- A. Before placing concrete, verify that installation of formwork, reinforcement, embedded items, and vapor retarder is complete and that required inspections are completed.
1. Immediately prior to concrete placement, inspect vapor retarder for damage and deficient installation, and repair defective areas.
 2. Provide continuous inspection of vapor retarder during concrete placement and make necessary repairs to damaged areas as Work progresses.
- B. Notify Commissioner and testing and inspection agencies 24 hours prior to commencement of concrete placement.
- C. Do not add water to concrete during delivery, at Project site, or during placement unless approved by Commissioner in writing, but not to exceed the amount indicated on the concrete delivery ticket.
1. Do not add water to concrete after adding high-range water-reducing admixtures to mixture.

- D. Before test sampling and placing concrete, water may be added at Project site, subject to limitations of ACI 301, but not to exceed the amount indicated on the concrete delivery ticket.
 - 1. Do not add water to concrete after adding high-range water-reducing admixtures to mixture.
- E. Deposit concrete continuously in one layer or in horizontal layers of such thickness that no new concrete is placed on concrete that has hardened enough to cause seams or planes of weakness.
 - 1. If a section cannot be placed continuously, provide construction joints as indicated.
 - 2. Deposit concrete to avoid segregation.
 - 3. Deposit concrete in horizontal layers of depth not to exceed formwork design pressures and in a manner to avoid inclined construction joints.
 - 4. Consolidate placed concrete with mechanical vibrating equipment in accordance with ACI 301.
 - a. Do not use vibrators to transport concrete inside forms.
 - b. Insert and withdraw vibrators vertically at uniformly spaced locations to rapidly penetrate placed layer and at least 6 inches into preceding layer.
 - c. Do not insert vibrators into lower layers of concrete that have begun to lose plasticity.
 - d. At each insertion, limit duration of vibration to time necessary to consolidate concrete, and complete embedment of reinforcement and other embedded items without causing mixture constituents to segregate.
- F. Deposit and consolidate concrete for floors and slabs in a continuous operation, within limits of construction joints, until placement of a panel or section is complete.
 - 1. Do not place concrete floors and slabs in a checkerboard sequence.
 - 2. Consolidate concrete during placement operations, so concrete is thoroughly worked around reinforcement and other embedded items and into corners.
 - 3. Maintain reinforcement in position on chairs during concrete placement.
 - 4. Screed slab surfaces with a straightedge and strike off to correct elevations.
 - 5. Level concrete, cut high areas, and fill low areas.
 - 6. Slope surfaces uniformly to drains where required.
 - 7. Begin initial floating using bull floats or darbies to form a uniform and open-textured surface plane, before excess bleedwater appears on the surface.
 - 8. Do not further disturb slab surfaces before starting finishing operations.

3.7 FINISHING FORMED SURFACES

- A. As-Cast Surface Finishes:
 - 1. ACI 301 Surface Finish SF-1.0: As-cast concrete texture imparted by form-facing material.
 - a. Patch voids larger than 1-1/2 inches wide or 1/2 inch deep.
 - b. Remove projections larger than 1 inch.
 - c. Tie holes do not require patching.
 - d. Surface Tolerance: ACI 117 Class D.
 - e. Apply to concrete surfaces not exposed to public view.

2. ACI 301 Surface Finish SF-3.0:
 - a. Patch voids larger than 3/4 inch wide or 1/2 inch deep.
 - b. Remove projections larger than 1/8 inch.
 - c. Patch tie holes.
 - d. Surface Tolerance: ACI 117 Class A.
 - e. Locations: Apply to concrete surfaces exposed to public view, to receive a rubbed finish, or to be covered with a coating or covering material applied directly to concrete.

- B. Rubbed Finish: Apply the following to as cast surface finishes where indicated on Drawings:
 1. Smooth-Rubbed Finish:
 - a. Perform no later than one day after form removal.
 - b. Moisten concrete surfaces and rub with carborundum brick or another abrasive until producing a uniform color and texture.
 - c. If sufficient cement paste cannot be drawn from the concrete by the rubbing process, use a grout made from the same cementitious materials used in the in-place concrete.

 2. Grout-Cleaned Rubbed Finish:
 - a. Clean concrete surfaces after contiguous surfaces are completed and accessible.
 - b. Do not clean concrete surfaces as Work progresses.
 - c. Mix 1 part portland cement to 1-1/2 parts fine sand, complying with ASTM C144 or ASTM C404, by volume, with sufficient water to produce a mixture with the consistency of thick paint. Add white portland cement in amounts determined by trial patches, so color of dry grout matches adjacent surfaces.
 - d. Wet concrete surfaces.
 - e. Scrub grout into voids and remove excess grout. When grout whitens, rub surface with clean burlap, and keep surface damp by fog spray for at least 36 hours.

 3. Cork-Floated Finish:
 - a. Mix 1 part portland cement to 1 part fine sand, complying with ASTM C144 or ASTM C404, by volume, with sufficient water to produce a mixture with the consistency of thick paint.
 - b. Mix 1 part portland cement and 1 part fine sand with sufficient water to produce a mixture of stiff grout. Add white portland cement in amounts determined by trial patches, so color of dry grout matches adjacent surfaces.
 - c. Wet concrete surfaces.
 - d. Compress grout into voids by grinding surface.
 - e. In a swirling motion, finish surface with a cork float.

- C. Related Unformed Surfaces:
 1. At tops of walls, horizontal offsets, and similar unformed surfaces adjacent to formed surfaces, strike off smooth and finish with a color and texture matching adjacent formed surfaces.
 2. Continue final surface treatment of formed surfaces uniformly across adjacent unformed surfaces unless otherwise indicated.

3.8 FINISHING FLOORS AND SLABS

- A. Comply with ACI 302.1R recommendations for screeding, restraightening, and finishing operations for concrete surfaces. Do not wet concrete surfaces.
- B. Scratch Finish:
 - 1. While still plastic, texture concrete surface that has been screeded and bull-floated or darbied.
 - 2. Use stiff brushes, brooms, or rakes to produce a profile depth of 1/4 inch in one direction.
 - 3. Apply scratch finish to surfaces to receive concrete floor toppings.
- C. Trowel Finish:
 - 1. After applying float finish, apply first troweling and consolidate concrete by hand or power-driven trowel.
 - 2. Continue troweling passes and restraighten until surface is free of trowel marks and uniform in texture and appearance.
 - 3. Grind smooth any surface defects that would telegraph through applied coatings or floor coverings.
 - 4. Do not add water to concrete surface.
 - 5. Do not apply hard-troweled finish to concrete, which has a total air content greater than 3 percent.
 - 6. Apply a trowel finish to surfaces exposed to view or to be covered with resilient flooring, or carpeting.
- D. Trowel and Fine-Broom Finish: Apply a first trowel finish to surfaces where ceramic or quarry tile is to be installed by either thickset or thinset method. While concrete is still plastic, slightly scarify surface with a fine broom perpendicular to main traffic route.
 - 1. Coordinate required final finish with Commissioner before application.
 - 2. Comply with flatness and levelness tolerances for trowel-finished floor surfaces.

3.9 INSTALLATION OF MISCELLANEOUS CONCRETE ITEMS

- A. Filling In:
 - 1. Fill in holes and openings left in concrete structures after Work of other trades is in place unless otherwise indicated.
 - 2. Mix, place, and cure concrete, as specified, to blend with in-place construction.
 - 3. Provide other miscellaneous concrete filling indicated or required to complete the Work.
- B. Equipment Bases and Foundations:
 - 1. Coordinate sizes and locations of concrete bases with actual equipment provided.
 - 2. Construct concrete bases 4 inches high unless otherwise indicated on Drawings, and extend base not less than 6 inches in each direction beyond the maximum dimensions of supported equipment unless otherwise indicated on Drawings.
 - 3. Minimum Compressive Strength: 5000 psi at 28 days.
 - 4. Install dowel rods to connect concrete base to concrete floor. Unless otherwise indicated, install dowel rods on 18-inch centers around the full perimeter of concrete base.

5. For supported equipment, install epoxy-coated anchor bolts that extend through concrete base and anchor into structural concrete substrate.
6. Prior to pouring concrete, place and secure anchorage devices.
 - a. Use setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
 - b. Cast anchor-bolt insert into bases.
 - c. Install anchor bolts to elevations required for proper attachment to supported equipment.

3.10 CONCRETE CURING

- A. Protect freshly placed concrete from premature drying and excessive cold or hot temperatures.
 1. Comply with ACI 301 and ACI 306.1 for cold weather protection during curing.
 2. Comply with ACI 301 and ACI 305.1 for hot-weather protection during curing.
 3. Maintain moisture loss no more than 0.2 lb/sq. ft. x h before and during finishing operations.
- B. Curing Formed Surfaces: Comply with ACI 308.1 as follows:
 1. Cure formed concrete surfaces, including underside of beams, supported slabs, and other similar surfaces.
 2. Cure concrete containing color pigments in accordance with color pigment manufacturer's instructions.
 3. If forms remain during curing period, moist cure after loosening forms.
 4. If removing forms before end of curing period, continue curing for remainder of curing period, as follows:
 - a. Continuous Fogging: Maintain standing water on concrete surface until final setting of concrete.
 - b. Continuous Sprinkling: Maintain concrete surface continuously wet.
 - c. Absorptive Cover: Pre-dampen absorptive material before application; apply additional water to absorptive material to maintain concrete surface continuously wet.
 - d. Water-Retention Sheeting Materials: Cover exposed concrete surfaces with sheeting material, taping, or lapping seams.
- C. Curing Unformed Surfaces: Comply with ACI 308.1 as follows:
 1. Begin curing immediately after finishing concrete.
 2. Interior Concrete Floors:
 - a. Floors to Receive Floor Coverings Specified in Other Sections: Contractor has option of the following:
 - 1) Absorptive Cover: As soon as concrete has sufficient set to permit application without marring concrete surface, install prewetted absorptive cover over entire area of floor.
 - a) Lap edges and ends of absorptive cover not less than 12-inches.
 - b) Maintain absorptive cover water saturated, and in place, for duration of curing period, but not less than seven days.

- 2) **Moisture-Retaining-Cover Curing:** Cover concrete surfaces with moisture-retaining cover for curing concrete, placed in widest practicable width, with sides and ends lapped at least 12 inches, and sealed by waterproof tape or adhesive.
 - a) Immediately repair any holes or tears during curing period, using cover material and waterproof tape.
 - b) Cure for not less than seven days.
- 3) **Ponding or Continuous Sprinkling of Water:** Maintain concrete surfaces continuously wet for not less than seven days, utilizing one, or a combination of, the following:
 - a) Water.
 - b) Continuous water-fog spray.

b. Floors to Receive Curing and Sealing Compound:

- 1) Apply uniformly to floors and slabs indicated in a continuous operation by power spray or roller in accordance with manufacturer's written instructions.
- 2) Recoat areas subjected to heavy rainfall within three hours after initial application.
- 3) Repeat process 24 hours later, and apply a second coat. Maintain continuity of coating, and repair damage during curing period.

3.11 TOLERANCES

- A. Conform to ACI 117.

3.12 JOINT FILLING

- A. Prepare, clean, and install joint filler in accordance with manufacturer's written instructions.
1. Defer joint filling until concrete has aged at least one month.
 2. Do not fill joints until construction traffic has permanently ceased.
- B. Remove dirt, debris, saw cuttings, curing compounds, and sealers from joints; leave contact faces of joints clean and dry.
- C. Install semirigid joint filler full depth in saw-cut joints and at least 2 inches deep in formed joints.
- D. Overfill joint, and trim joint filler flush with top of joint after hardening.

3.13 CONCRETE SURFACE REPAIRS

- A. Defective Concrete:
1. Repair and patch defective areas when approved by Commissioner.
 2. Remove and replace concrete that cannot be repaired and patched to Commissioner's approval.

- B. Patching Mortar: Mix dry-pack patching mortar, consisting of 1 part portland cement to 2-1/2 parts fine aggregate passing a No. 16 sieve, using only enough water for handling and placing.
- C. Repairing Formed Surfaces: Surface defects include color and texture irregularities, cracks, spalls, air bubbles, honeycombs, rock pockets, fins and other projections on the surface, and stains and other discolorations that cannot be removed by cleaning.
1. Immediately after form removal, cut out honeycombs, rock pockets, and voids more than 1/2 inch in any dimension to solid concrete.
 - a. Limit cut depth to 3/4 inch.
 - b. Make edges of cuts perpendicular to concrete surface.
 - c. Clean, dampen with water, and brush-coat holes and voids with bonding agent.
 - d. Fill and compact with patching mortar before bonding agent has dried.
 - e. Fill form-tie voids with patching mortar or cone plugs secured in place with bonding agent.
 2. Repair defects on surfaces exposed to view by blending white portland cement and standard portland cement, so that, when dry, patching mortar matches surrounding color.
 - a. Patch a test area at inconspicuous locations to verify mixture and color match before proceeding with patching.
 - b. Compact mortar in place and strike off slightly higher than surrounding surface.
 3. Repair defects on concealed formed surfaces that will affect concrete's durability and structural performance as determined by Commissioner.
- D. Repairing Unformed Surfaces:
1. Test unformed surfaces, such as floors and slabs, for finish, and verify surface tolerances specified for each surface.
 - a. Correct low and high areas.
 - b. Test surfaces sloped to drain for trueness of slope and smoothness; use a sloped template.
 2. Repair finished surfaces containing surface defects, including spalls, popouts, honeycombs, rock pockets, crazing, and cracks in excess of 0.01 inch wide or that penetrate to reinforcement or completely through unreinforced sections regardless of width, and other objectionable conditions.
 3. After concrete has cured at least 14 days, correct high areas by grinding.
 4. Correct localized low areas during, or immediately after, completing surface-finishing operations by cutting out low areas and replacing with patching mortar.
 - a. Finish repaired areas to blend into adjacent concrete.
 5. Correct other low areas scheduled to receive floor coverings with a repair underlayment.
 - a. Prepare, mix, and apply repair underlayment and primer in accordance with manufacturer's written instructions to produce a smooth, uniform, plane, and level surface.
 - b. Feather edges to match adjacent floor elevations.

6. Correct other low areas scheduled to remain exposed with repair topping.
 - a. Cut out low areas to ensure a minimum repair topping depth of 1/4 inch to match adjacent floor elevations.
 - b. Prepare, mix, and apply repair topping and primer in accordance with manufacturer's written instructions to produce a smooth, uniform, plane, and level surface.
 7. Repair defective areas, except random cracks and single holes 1 inch or less in diameter, by cutting out and replacing with fresh concrete.
 - a. Remove defective areas with clean, square cuts, and expose steel reinforcement with at least a 3/4-inch clearance all around.
 - b. Dampen concrete surfaces in contact with patching concrete and apply bonding agent.
 - c. Mix patching concrete of same materials and mixture as original concrete, except without coarse aggregate.
 - d. Place, compact, and finish to blend with adjacent finished concrete.
 - e. Cure in same manner as adjacent concrete.
 8. Repair random cracks and single holes 1 inch or less in diameter with patching mortar.
 - a. Groove top of cracks and cut out holes to sound concrete, and clean off dust, dirt, and loose particles.
 - b. Dampen cleaned concrete surfaces and apply bonding agent.
 - c. Place patching mortar before bonding agent has dried.
 - d. Compact patching mortar and finish to match adjacent concrete.
 - e. Keep patched area continuously moist for at least 72 hours.
- E. Perform structural repairs of concrete, subject to Commissioner's approval, using epoxy adhesive and patching mortar.
- F. Repair materials and installation not specified above may be used, subject to Commissioner's approval.

3.14 FIELD QUALITY CONTROL

- A. Special Inspections: City of New York will engage a special inspector to perform field tests and inspections and prepare testing and inspection reports per requirements of Chapter 17 of the NYC Building Code.
- B. Concrete Tests: Testing of composite samples of fresh concrete obtained in accordance with ASTM C 172/C 172M to be performed in accordance with the requirements of the NYC Building Code:
 1. Additional testing and inspecting, at Contractor's expense, will be performed to determine compliance of replaced or additional work with specified requirements.
 2. Correct deficiencies in the Work that test reports and inspections indicate do not comply with the Contract Documents.
- C. Measure floor and slab flatness and levelness in accordance with ASTM E1155 within 48 hours of completion of floor finishing and promptly report test results to Commissioner.

3.15 PROTECTION

A. Protect concrete surfaces as follows:

1. Protect from petroleum stains.
2. Diaper hydraulic equipment used over concrete surfaces.
3. Prohibit vehicles from interior concrete slabs.
4. Prohibit use of pipe-cutting machinery over concrete surfaces.
5. Prohibit placement of steel items on concrete surfaces.
6. Prohibit use of acids or acidic detergents over concrete surfaces.
7. Protect liquid floor treatment from damage and wear during the remainder of construction period. Use protective methods and materials, including temporary covering, recommended in writing by liquid floor treatments installer.
8. Protect concrete surfaces scheduled to receive surface hardener or polished concrete finish using Floor Slab Protective Covering.

END OF SECTION 033000

SECTION 042000 - UNIT MASONRY

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Concrete masonry units for exterior cavity infill wall.
2. Brick for exterior cavity infill wall.
3. Mortar and grout materials.
4. Reinforcement.
5. Ties and anchors.
6. Mortar and grout mixes.

B. Related Requirements:

1. Section 099113 "Exterior Painting" for paint at exterior infill brick work.

1.3 DEFINITIONS

- A. CMU(s): Concrete masonry unit(s).
- B. Reinforced Masonry: Masonry containing reinforcing steel in grouted cells.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: For the following:
1. Masonry Units: Indicate sizes, profiles, coursing, and locations of special shapes.
 2. Reinforcing Steel: Indicate bending, lap lengths, and placement of unit masonry reinforcing bars. Comply with ACI 315R.

C. Samples for Initial Selection:

1. Clay face brick.

D. Samples for Verification: For each type and color of the following:

1. Clay face brick.
2. Mortar. Make Samples using same sand and mortar ingredients to be used on Project.
3. Accessories embedded in masonry.

1.6 INFORMATIONAL SUBMITTALS

A. Material Certificates: For each type of the following:

1. Masonry units.
 - a. Include data on material properties.
 - b. For brick, include size-variation data verifying that actual range of sizes falls within specified tolerances.
 - c. For exposed brick, include test report for efflorescence in accordance with ASTM C67/C67M.
2. Cementitious materials. Include name of manufacturer, brand name, and type.
3. Mortar admixtures.
4. Preblended, dry mortar mixes. Include description of type and proportions of ingredients.
5. Grout mixes. Include description of type and proportions of ingredients.
6. Reinforcing bars.
7. Joint reinforcement.
8. Anchors, ties, and metal accessories.

B. Mix Designs: For each type of mortar and grout. Include description of type and proportions of ingredients.

1. Include test reports for mortar mixes required to comply with property specification. Test in accordance with ASTM C109/C109M for compressive strength, ASTM C1506 for water retention, and ASTM C91/C91M for air content.
2. Include test reports, in accordance with ASTM C1019, for grout mixes required to comply with compressive strength requirement.

C. Cold-Weather and Hot-Weather Procedures: Detailed description of methods, materials, and equipment to be used to comply with requirements.

1.7 QUALITY ASSURANCE

A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.8 DELIVERY, STORAGE, AND HANDLING

- A. Store masonry units on elevated platforms in a dry location. If units are not stored in an enclosed location, cover tops and sides of stacks with waterproof sheeting, securely tied. If units become wet, do not install until they are dry.
- B. Store cementitious materials on elevated platforms, under cover, and in a dry location. Do not use cementitious materials that have become damp.
- C. Store aggregates where grading and other required characteristics can be maintained and contamination avoided.
- D. Deliver preblended, dry mortar mix in moisture-resistant containers. Store preblended, dry mortar mix in delivery containers on elevated platforms in a dry location or in covered weatherproof dispensing silos.

1.9 FIELD CONDITIONS

- A. Protection of Masonry: During construction, cover tops of walls, projections, and sills with waterproof sheeting at end of each day's work. Cover partially completed masonry when construction is not in progress.
- B. Stain Prevention: Prevent grout, mortar, and soil from staining the face of masonry to be left exposed or painted. Immediately remove grout, mortar, and soil that come in contact with such masonry.
 - 1. Protect base of walls from rain-splashed mud and from mortar splatter by spreading coverings on ground and over wall surface.
 - 2. Protect sills, ledges, and projections from mortar droppings.
- C. Cold-Weather Requirements: Do not use frozen materials or materials mixed or coated with ice or frost. Do not build on frozen substrates. Remove and replace unit masonry damaged by frost or by freezing conditions. Comply with cold-weather construction requirements contained in TMS 602.
 - 1. Cold-Weather Cleaning: Use liquid cleaning methods only when air temperature is 40 deg F and higher and will remain so until masonry has dried, but not less than seven days after completing cleaning.
- D. Hot-Weather Requirements: Comply with hot-weather construction requirements contained in TMS 602.

PART 2 - PRODUCTS

2.1 SOURCE LIMITATIONS

- A. Obtain exposed masonry units cementitious mortar components and mortar aggregate from single source, producer or manufacturer.
- B. For exposed masonry units and cementitious mortar components, obtain each color and grade from single source with resources to provide materials of consistent quality in appearance and physical properties.

2.2 PERFORMANCE REQUIREMENTS

- A. Provide unit masonry that develops indicated net-area compressive strengths at 28 days.
 - 1. Determine net-area compressive strength of masonry by testing masonry prisms in accordance with ASTM C1314.

2.3 UNIT MASONRY, GENERAL

- A. Masonry Standard: Comply with TMS 602, except as modified by requirements in the Contract Documents.

2.4 CONCRETE MASONRY UNITS

- A. Shapes: Provide shapes indicated and as follows, with exposed surfaces matching exposed faces of adjacent units unless otherwise indicated.
- B. CMUs: ASTM C90, normal weight unless otherwise indicated.
 - 1. Size (Width): Manufactured to dimensions 3/8 inch less than nominal dimensions.

2.5 BRICK

- A. General: Provide shapes indicated and as follows, with exposed surfaces matching finish and color of exposed faces of adjacent units:
 - 1. For ends of sills and caps and for similar applications that would otherwise expose unfinished brick surfaces, provide units without cores or frogs and with exposed surfaces finished.
- B. Clay Face Brick: Facing brick complying with ASTM C216, Grade SW, Type FBS.
 - 1. Initial Rate of Absorption: Less than 30 g/30 sq. in. per minute when tested in accordance with ASTM C67/C67M.
 - 2. Efflorescence: Provide brick that has been tested in accordance with ASTM C67/C67M and is rated "not effloresced."
 - 3. Application: Use where brick is exposed unless otherwise indicated.
 - 4. Where shown to "match existing," provide face beige-colored brick matching color range, texture, and size of existing adjacent brickwork.

2.6 MORTAR AND GROUT MATERIALS

- A. Portland Cement: ASTM C150/C150M, Type I or II, except Type III may be used for cold-weather construction. Provide natural color or white cement as required to produce mortar color indicated.
 - 1. Alkali content will not be more than 0.1 percent when tested in accordance with ASTM C114.
- B. Hydrated Lime: ASTM C207, Type S.

- C. Portland Cement-Lime Mix: Packaged blend of portland cement and hydrated lime containing no other ingredients.
- D. Mortar Cement: ASTM C1329/C1329M.
- E. Preblended Dry Mortar Mix: Packaged blend made from portland cement and hydrated lime, sand, and admixtures and complying with ASTM C1714/C1714M.
 - 1. Preblended Dry Portland Cement Mortar Mix:
 - a. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1) Quikrete.
 - 2) Sakrete of North America LLC.
 - 3) Spec Mix, LLC.
 - 4) Or approved equal.
- F. Aggregate for Mortar: ASTM C144.
 - 1. For mortar that is exposed to view, use washed aggregate consisting of natural sand or crushed stone.
 - 2. For joints less than 1/4 inch thick, use aggregate graded with 100 percent passing the No. 16 sieve.
 - 3. White-Mortar Aggregates: Natural white sand or crushed white stone.
- G. Aggregate for Grout: ASTM C404.
- H. Water: Potable.

2.7 REINFORCEMENT

- A. Uncoated-Steel Reinforcing Bars: ASTM A615/A615M or ASTM A996/A996M, Grade 60.
- B. Reinforcing Bar Positioners: Wire units designed to fit into mortar bed joints spanning masonry unit cells and to hold reinforcing bars in center of cells. Units are formed from 0.148-inch steel wire, hot-dip galvanized after fabrication. Provide units designed for number of bars indicated.
- C. Masonry-Joint Reinforcement, General: ASTM A951/A951M.
 - 1. Interior Walls: Hot-dip galvanized carbon steel.
 - 2. Exterior Walls: Hot-dip galvanized carbon steel.
 - 3. Wire Size for Side Rods: 0.148-inch diameter.
 - 4. Wire Size for Cross Rods: 0.148-inch diameter.
 - 5. Wire Size for Veneer Ties: 0.187-inch diameter.
 - 6. Spacing of Cross Rods, Tabs, and Cross Ties: Not more than 16 inches o.c.
- D. Masonry-Joint Reinforcement for Multiwythe Masonry:

1. Ladder type with one side rod at each face shell of hollow masonry units more than 4 inches wide, plus one side rod at each wythe of masonry 4 inches wide or less.
2. Tab type, either ladder or truss design, with one side rod at each face shell of backing wythe and with rectangular tabs sized to extend at least halfway through facing wythe, but with at least 5/8-inch cover on outside face.

2.8 TIES AND ANCHORS

- A. General: Ties and anchors extend at least 1-1/2 inches into veneer but with at least a 5/8-inch cover on outside face.
- B. Materials: Provide ties and anchors specified in this article that are made from materials that comply with the following unless otherwise indicated:
 1. Hot-Dip Galvanized, Carbon-Steel Wire: ASTM A1064/A1064M, with ASTM A153/A153M, Class B-2 coating.
- C. Individual Wire Ties: Rectangular units with closed ends and not less than 4 inches wide.
 1. Z-shaped ties with ends bent 90 degrees to provide hooks not less than 2 inches long for masonry constructed from solid units.
 2. Where wythes are of different materials, use adjustable ties with pintle-and-eye connections having a maximum adjustment of 1-1/4 inches.
 3. Wire: Fabricate from 3/16-inch- diameter, hot-dip galvanized steel wire.
- D. Adjustable Masonry-Veneer Anchors:
 1. General: Provide anchors that allow vertical adjustment but resist a 100 lbf load in both tension and compression perpendicular to plane of wall without deforming or developing play in excess of 1/16 inch.
 2. Fabricate sheet metal anchor sections and other sheet metal parts from 0.0785-inch- thick steel sheet, galvanized after fabrication.
 3. Fabricate wire ties from 0.187-inch- diameter, hot-dip galvanized-steel wire unless otherwise indicated.
 4. Unless otherwise indicated, provide any of the adjustable masonry-veneer anchors specified.
 5. Masonry-Veneer Anchors; Vertical Slotted L-Plate: Rib-stiffened, sheet metal anchor section with screw holes at top and bottom, projecting vertical leg with slotted hole for wire tie.
 6. Masonry-Veneer Anchors; Double-Pintle Plate: Rib-stiffened, sheet metal anchor section with screw holes at top and bottom, projecting horizontal leg with slots for vertical legs of double pintle wire tie.
 7. Masonry-Veneer Anchors; Slotted Plate: Sheet metal anchor section, with screw holes at top and bottom; and raised rib-stiffened strap, stamped into center to provide a slot between strap and base for wire tie. Use self-adhering tape to seal penetration behind anchor plate.

8. Masonry-Veneer Anchors; Slotted Plate with Prongs: Sheet metal anchor section, with screw holes at top and bottom; top and bottom ends bent to form pronged legs of length to match thickness of insulation; and raised rib-stiffened strap, stamped into center to provide a slot between strap and base for wire tie. Use self-adhering tape to seal penetration behind anchor plate.

2.9 MORTAR AND GROUT MIXES

- A. General: Do not use admixtures, including pigments, air-entraining agents, accelerators, retarders, water-repellent agents, antifreeze compounds, or other admixtures unless otherwise indicated.
 1. Do not use calcium chloride in mortar or grout.
 2. Use portland cement-lime mortar unless otherwise indicated.
 3. Add cold-weather admixture (if used) at same rate for all mortar that will be exposed to view, regardless of weather conditions, to ensure that mortar color is consistent.
- B. Preblended, Dry Mortar Mix: Furnish dry mortar ingredients in form of a preblended mix. Measure quantities by weight to ensure accurate proportions, and thoroughly blend ingredients before delivering to Project site.
- C. Mortar for Unit Masonry: Comply with ASTM C270, Proportion Specification. Provide the following types of mortar for applications stated unless another type is indicated or needed to provide required compressive strength of masonry.
 1. For reinforced masonry, use Type N.
 2. For exterior, above-grade, load-bearing, nonload-bearing walls, and parapet walls; for interior load-bearing walls; for interior nonload-bearing partitions; and for other applications where another type is not indicated, use Type N.
- D. Grout for Unit Masonry: Comply with ASTM C476.
 1. Use grout of type indicated or, if not otherwise indicated, of type (fine or coarse) that will comply with TMS 602 for dimensions of grout spaces and pour height.
 2. Proportion grout in accordance with ASTM C476, Table 1 or paragraph 4.2.1.2 for specified 28-day compressive strength indicated, but not less than 2000 psi.
 3. Provide grout with a slump of 8 to 11 inches as measured in accordance with ASTM C143/C143M.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.

1. For the record, prepare written report, endorsed by Installer, listing conditions detrimental to performance of the Work.
2. Verify that foundations are within tolerances specified.
3. Verify that reinforcing dowels are properly placed.
4. Verify that substrates are free of substances that impair mortar bond.

B. Before installation, examine rough-in and built-in construction for piping systems to verify actual locations of piping connections.

C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION, GENERAL

A. Thickness: Build cavity walls to full thickness shown, and using units of widths indicated.

B. Use full-size units without cutting if possible. If cutting is required to provide a continuous pattern or to fit adjoining construction, cut units with motor-driven saws; provide clean, sharp, unchipped edges. Allow units to dry before laying unless wetting of units is specified. Install cut units with cut surfaces and, where possible, cut edges concealed.

C. Select and arrange units for exposed unit masonry to produce a uniform blend of colors and textures. Mix units from several pallets or cubes as they are placed.

D. Matching Existing Masonry: Match coursing, bonding, color, and texture of existing masonry.

E. Wetting of Brick: Wet brick before laying if initial rate of absorption exceeds 30 g/30 sq. in. per minute when tested in accordance with ASTM C67/C67M. Allow units to absorb water so they are damp but not wet at time of laying.

3.4 TOLERANCES

A. Dimensions and Locations of Elements:

1. For dimensions in cross section or elevation, do not vary by more than plus 1/2 inch or minus 1/4 inch.
2. For location of elements in plan, do not vary from that indicated by more than plus or minus 1/2 inch.
3. For location of elements in elevation, do not vary from that indicated by more than plus or minus 1/4 inch in a story height or 1/2 inch total.

B. Lines and Levels:

1. For bed joints and top surfaces of bearing walls, do not vary from level by more than 1/4 inch in 10 ft., or 1/2-inch maximum.
2. For conspicuous horizontal lines, such as lintels, sills, parapets, and reveals, do not vary from level by more than 1/8 inch in 10 ft., 1/4 inch in 20 ft., or 1/2-inch maximum.
3. For vertical lines and surfaces, do not vary from plumb by more than 1/4 inch in 10 ft., 3/8 inch in 20 ft., or 1/2-inch maximum.

4. For conspicuous vertical lines, such as external corners, door jambs, reveals, and expansion and control joints, do not vary from plumb by more than 1/8 inch in 10 ft., 1/4 inch in 20 ft., or 1/2-inch maximum.
5. For lines and surfaces, do not vary from straight by more than 1/4 inch in 10 ft., 3/8 inch in 20 ft., or 1/2-inch maximum.
6. For vertical alignment of exposed head joints, do not vary from plumb by more than 1/4 inch in 10 ft., or 1/2-inch maximum.
7. For faces of adjacent exposed masonry units, do not vary from flush alignment by more than 1/16 inch except due to warpage of masonry units within tolerances specified for warpage of units.

C. Joints:

1. For bed joints, do not vary from thickness indicated by more than plus or minus 1/8 inch, with a maximum thickness limited to 1/2 inch.
2. For exposed bed joints, do not vary from bed-joint thickness of adjacent courses by more than 1/8 inch.
3. For head and collar joints, do not vary from thickness indicated by more than plus 3/8 inch or minus 1/4 inch.
4. For exposed head joints, do not vary from thickness indicated by more than plus or minus 1/8 inch.

3.5 LAYING MASONRY WALLS

- A. Lay out walls in advance for accurate spacing of surface bond patterns with uniform joint thicknesses and for accurate location of openings, movement-type joints, returns, and offsets. Avoid using less-than-half-size units, particularly at corners, jambs, and, where possible, at other locations.
- B. Bond Pattern for Exposed Masonry: Unless otherwise indicated, lay exposed masonry in running bond, toothed to existing bond; do not use units with less-than-nominal 4-inch horizontal face dimensions at corners or jambs.
- C. Lay concealed masonry with all units in a wythe in running bond or bonded by lapping not less than 4 inches. Bond and interlock each course of each wythe at corners. Do not use units with less-than-nominal 4-inch horizontal face dimensions at corners or jambs.
- D. Stopping and Resuming Work: Stop work by stepping back units in each course from those in course below; When resuming work, clean masonry surfaces that are to receive mortar, remove loose masonry units and mortar, and wet brick if required before laying fresh masonry.
- E. Fill cores in hollow CMUs with grout 24 inches under bearing plates, beams, lintels, posts, and similar items unless otherwise indicated.

3.6 MORTAR BEDDING AND JOINTING

- A. Lay CMUs as follows:
 1. Bed face shells in mortar and make head joints of depth equal to bed joints.
 2. Bed webs in mortar in all courses of piers, columns, and pilasters.
 3. Bed webs in mortar in grouted masonry, including starting course on footings.

4. Fully bed entire units, including areas under cells, at starting course on footings where cells are not grouted.
 5. Fully bed units and fill cells with mortar at anchors and ties as needed to fully embed anchors and ties in mortar.
- B. Lay solid masonry units with completely filled bed and head joints; butter ends with sufficient mortar to fill head joints and shove into place. Do not deeply furrow bed joints or slush head joints.
- C. Rake out mortar joints at to a uniform depth of 1/4 inch and point with epoxy mortar to comply with epoxy-mortar manufacturer's written instructions.
- D. Tool exposed joints slightly concave when thumbprint hard, using a jointer larger than joint thickness unless otherwise indicated.
1. For glazed masonry units, use a nonmetallic jointer 3/4 inch or more in width.
- E. Cut joints flush for masonry walls to receive plaster or other direct-applied finishes (other than paint) unless otherwise indicated.

3.7 CAVITY WALLS

- A. Bond wythes of cavity walls together using one of the following methods:
1. Individual Metal Ties: Provide ties as indicated installed in horizontal joints, but not less than one metal tie for 2.67 sq. ft. of wall area spaced not to exceed 24 inches o.c. horizontally and 16 inches o.c. vertically. Stagger ties in alternate courses. Provide additional ties within 12 inches of openings and space not more than 36 inches apart around perimeter of openings. At intersecting and abutting walls, provide ties at no more than 24 inches o.c. vertically.
 - a. Where bed joints of wythes do not align, use adjustable-type (two-piece-type) ties.
 - b. Where one wythe is of clay masonry and the other of concrete masonry, use adjustable-type (two-piece-type) ties to allow for differential movement regardless of whether bed joints align.
 2. Masonry-Joint Reinforcement: Installed in horizontal mortar joints.
 - a. Where bed joints of both wythes align, use ladder-type reinforcement extending across both wythes.
 - b. Where bed joints of wythes do not align, use adjustable-type (two-piece-type) reinforcement with continuous horizontal wire in facing wythe attached to ties.
 - c. Where one wythe is of clay masonry and the other of concrete masonry, use adjustable-type (two-piece-type) reinforcement with continuous horizontal wire in facing wythe attached to ties to allow for differential movement regardless of whether bed joints align.
 3. Masonry-Veneer Anchors: Comply with requirements for anchoring masonry veneers.
- B. Bond wythes of cavity walls together using bonding system indicated on Drawings.

- C. Keep cavities clean of mortar droppings and other materials during construction. Bevel beds away from cavity, to minimize mortar protrusions into cavity. Do not attempt to trowel or remove mortar fins protruding into cavity.
- D. Parge cavity face of backup wythe in a single coat approximately 3/8 inch thick. Trowel face of parge coat smooth.

3.8 MASONRY-JOINT REINFORCEMENT

- A. General: Install entire length of longitudinal side rods in mortar with a minimum cover of 5/8 inch on exterior side of walls, 1/2 inch elsewhere. Lap reinforcement a minimum of 6 inches.
 - 1. Space reinforcement not more than 16 inches o.c.
 - 2. Space reinforcement not more than 8 inches o.c. in foundation walls and parapet walls.
 - 3. Provide reinforcement not more than 8 inches above and below wall openings and extending 12 inches beyond openings.
- B. Interrupt joint reinforcement at control and expansion joints unless otherwise indicated.
- C. Provide continuity at wall intersections by using prefabricated T-shaped units.
- D. Provide continuity at corners by using prefabricated L-shaped units.
- E. Cut and bend reinforcing units as directed by manufacturer for continuity at returns, offsets, column fireproofing, pipe enclosures, and other special conditions.

3.9 CORRECTIVE WORK, POINTING, AND CLEANING

- A. Remove and replace masonry units that are loose, chipped, broken, stained, or otherwise damaged or that do not match adjoining units. Install new units to match adjoining units; install in fresh mortar, pointed to eliminate evidence of replacement.
- B. Pointing: During the tooling of joints, enlarge voids and holes, except weep holes, and completely fill with mortar. Point up joints, including corners, openings, and adjacent construction, to provide a neat, uniform appearance. Prepare joints for sealant application, where indicated.
- C. Final Cleaning: After mortar is thoroughly set and cured, clean exposed masonry as follows:
 - 1. Remove large mortar particles by hand with wooden paddles and nonmetallic scrape hoes or chisels.
 - 2. Wet wall surfaces with water before applying cleaners; remove cleaners promptly by rinsing surfaces thoroughly with clear water.
 - 3. Clean brick by bucket-and-brush hand-cleaning method described in BIA Technical Notes 20.
 - 4. Clean masonry with an acidic masonry cleaner applied according to manufacturer's written instructions.

3.10 MASONRY WASTE DISPOSAL

- A. Salvageable Materials: Unless otherwise indicated, excess masonry materials are Contractor's property. At completion of unit masonry work, remove from Project site.
- B. Waste Disposal as Fill Material: Dispose of clean masonry waste, including excess or soil-contaminated sand, waste mortar, and broken masonry units, by crushing and mixing with fill material as fill is placed.
- C. Masonry Waste Recycling: Return broken CMUs not used as fill to manufacturer for recycling.
- D. Excess Masonry Waste: Remove excess clean masonry waste that cannot be used as fill, as described above or recycled, and other masonry waste, and legally dispose of off City of New York's property.

END OF SECTION 042000

SECTION 051200 - STRUCTURAL STEEL FRAMING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Structural steel materials.
- B. Related Requirements:
 - 1. Section 055000 "Metal Fabrications" for and other steel items not defined as structural steel.

1.3 DEFINITIONS

- A. Structural Steel: Elements of the structural frame indicated on Drawings and as described in ANSI/AISC 303.
- B. Seismic-Load-Resisting System: Elements of structural-steel frame designated as "SLRS" or along grid lines designated as "SLRS" on Drawings, including columns, beams, and braces and their connections.
- C. Heavy Sections: Rolled and built-up sections as follows:
 - 1. Shapes included in ASTM A6/A6M with flanges thicker than 1-1/2 inches.
 - 2. Welded built-up members with plates thicker than 2 inches.
 - 3. Column base plates thicker than 2 inches.
- D. Protected Zone: Structural members or portions of structural members indicated as "protected zone" on Drawings. Connections of structural and nonstructural elements to protected zones are limited.
- E. Demand-Critical Welds: Those welds, the failure of which would result in significant degradation of the strength and stiffness of the seismic-load-resisting system and which are indicated as "demand critical" or "seismic critical" on Drawings.

1.4 COORDINATION

- A. Coordinate selection of shop primers with topcoats to be applied over them. Comply with paint and coating manufacturers' written recommendations to ensure that shop primers and topcoats are compatible with one another.

- B. Coordinate installation of anchorage items to be embedded in or attached to other construction without delaying the Work. Provide setting diagrams, sheet metal templates, instructions, and directions for installation.

1.5 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1.6 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.7 ACTION SUBMITTALS

- A. Product Data:

1. Structural-steel materials.
2. High-strength, bolt-nut-washer assemblies.
3. Shop primer.
4. Galvanized-steel primer.
5. Etching cleaner.
6. Galvanized repair paint.

- B. Sustainable Design Submittals:

1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.

- C. Shop Drawings: Show fabrication of structural-steel components.

1. Include details of cuts, connections, splices, camber, holes, and other pertinent data.
2. Include embedment Drawings.
3. Indicate welds by standard AWS symbols, distinguishing between shop and field welds, and show size, length, and type of each weld. Show backing bars that are to be removed and supplemental fillet welds where backing bars are to remain.
4. Indicate type, size, and length of bolts, distinguishing between shop and field bolts. Identify pretensioned and slip-critical, high-strength bolted connections.
5. Identify members and connections of the seismic-load-resisting system.
6. Indicate locations and dimensions of protected zones.
7. Identify demand-critical welds.
8. Identify members not to be shop primed.

- D. Engineering Services Submittal: For structural-steel connections indicated on Drawings to comply with design loads, include analysis data signed and sealed by the qualified New York State licensed professional engineer responsible for their preparation.

1.8 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer and fabricator.
- B. Welding certificates.
- C. Mill test reports for structural-steel materials, including chemical and physical properties.

1.9 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Fabricator Qualifications: A qualified fabricator that participates in the AISC Quality Certification Program and is designated an AISC-Certified Plant, Category BU or is accredited by the IAS Fabricator Inspection Program for Structural Steel (Acceptance Criteria 172).
- C. Installer Qualifications: A qualified Installer who participates in the AISC Quality Certification Program and is designated an AISC-Certified Erector, Category CSE.
- D. Welding Qualifications: Qualify procedures and personnel in accordance with AWS D1.1/D1.1M.

1.10 DELIVERY, STORAGE, AND HANDLING

- A. Store materials to permit easy access for inspection and identification. Keep steel members off ground and spaced by using pallets, dunnage, or other supports and spacers. Protect steel members and packaged materials from corrosion and deterioration.
 - 1. Do not store materials on structure in a manner that might cause distortion, damage, or overload to members or supporting structures. Repair or replace damaged materials or structures as directed.
- B. Store fasteners in a protected place in sealed containers with manufacturer's labels intact.
 - 1. Fasteners may be repackaged provided the City of New York's testing and inspecting agency observes repackaging and seals containers.
 - 2. Clean and relubricate bolts and nuts that become dry or rusty before use.
 - 3. Comply with manufacturers' written recommendations for cleaning and lubricating ASTM F3125/F3125M, Grade F1852 bolt assemblies and for retesting bolt assemblies after lubrication.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Comply with applicable provisions of the following specifications and documents:
 - 1. ANSI/AISC 303.
 - 2. ANSI/AISC 360.

3. RCSC's "Specification for Structural Joints Using High-Strength Bolts."

B. Connection Design Information:

1. Option 2: Fabricator's experienced steel detailer shall select or complete connections in accordance with ANSI/AISC 303.
 - a. Select and complete connections using schematic details indicated and ANSI/AISC 360.
 - b. Use Load and Resistance Factor Design; data are given at factored-load level.

C. Moment Connections: Type PR, partially restrained.

D. Construction: Combined system of moment frame and braced frame.

2.2 STRUCTURAL-STEEL MATERIALS

- A. Recycled Content of Steel Products: Postconsumer recycled content plus one-half of preconsumer recycled content not less than 85 percent.
- B. W-Shapes: ASTM A992/A992M.
- C. Channels, Angles, M-Shapes: ASTM A36/A36M.
- D. Channels, Angles, S-Shapes: ASTM A36/A36M.
- E. Plate and Bar: ASTM A36/A36M.
- F. Cold-Formed Hollow Structural Sections: ASTM A500/A500M, Grade B structural tubing.
- G. Steel Pipe: ASTM A53/A53M, Type E or Type S, Grade B.
- H. Welding Electrodes: Comply with AWS requirements.

2.3 BOLTS AND CONNECTORS

- A. High-Strength A325 Bolts, Nuts, and Washers: ASTM F3125/F3125M, Grade A325, Type 1, heavy-hex steel structural bolts; ASTM A563, Grade DH, heavy-hex carbon-steel nuts; and ASTM F436/F436M, Type 1, hardened carbon-steel washers; all with plain finish.
 1. Direct-Tension Indicators: ASTM F959/F959M, Type 325-1, compressible-washer type with plain finish.
- B. High-Strength A490 Bolts, Nuts, and Washers: ASTM F3125/F3125M, Grade A490, Type 1, heavy-hex steel structural bolts or Grade F2280 tension-control, bolt-nut-washer assemblies with splined ends; ASTM A563, Grade DH, heavy-hex carbon-steel nuts; and ASTM F436/F436M, Type 1, hardened carbon-steel washers; all with plain finish.
 1. Direct-Tension Indicators: ASTM F959/F959M, Type 490-1, compressible-washer type with plain finish.

- C. Zinc-Coated High-Strength A325 Bolts, Nuts, and Washers: ASTM F3125/F3125M, Grade A325, Type 1, heavy-hex steel structural bolts; ASTM A563, Grade DH, heavy-hex carbon-steel nuts; and ASTM F436/F436M, Type 1, hardened carbon-steel washers.

- 1. Finish: Hot-dip or mechanically deposited zinc coating.

2.4 PRIMER

- A. Steel Primer for interior steel:

- 1. Fabricator's standard lead- and chromate-free, nonasphaltic, rust-inhibiting primer complying with MPI#79 and compatible with topcoat.

- B. Galvanized-Steel Primer for exterior hot-dip galvanized steel to be field painted: Comply with Section 099113 "Exterior Painting."

- 1. Etching Cleaner: MPI#25, for galvanized steel.
 - 2. Galvanizing Repair Paint: MPI#18, or SSPC-Paint 20.

2.5 FABRICATION

- A. Structural Steel: Fabricate and assemble in shop to greatest extent possible. Fabricate in accordance with ANSI/AISC 303 and to ANSI/AISC 360.

- 1. Camber structural-steel members where indicated.
 - 2. Mark and match-mark materials for field assembly.
 - 3. Complete structural-steel assemblies, including welding of units, before starting shop-priming operations.

- B. Bolt Holes: Cut, drill, or punch standard bolt holes perpendicular to metal surfaces.

- C. Finishing: Accurately finish ends of columns and other members transmitting bearing loads.

- D. Holes: Provide holes required for securing other work to structural steel and for other work to pass through steel members.

- 1. Cut, drill, or punch holes perpendicular to steel surfaces. Do not thermally cut bolt holes or enlarge holes by burning.
 - 2. Baseplate Holes: Cut, drill, mechanically thermal cut, or punch holes perpendicular to steel surfaces.
 - 3. Weld threaded nuts to framing and other specialty items indicated to receive other work.

2.6 SHOP CONNECTIONS

- A. High-Strength Bolts: Shop install high-strength bolts in accordance with RCSC's "Specification for Structural Joints Using High-Strength Bolts" for type of bolt and type of joint specified.

- 1. Joint Type: Snug tightened Slip critical.

B. Weld Connections: Comply with AWS D1.1/D1.1M for tolerances, appearances, welding procedure specifications, weld quality, and methods used in correcting welding work.

1. Assemble and weld built-up sections by methods that maintain true alignment of axes without exceeding tolerances in ANSI/AISC 303 for mill material.

2.7 GALVANIZING

A. Hot-Dip Galvanized Finish: Apply zinc coating by the hot-dip process to structural steel in accordance with ASTM A123/A123M.

1. Fill vent and drain holes that are exposed in the finished Work unless they function as weep holes, by plugging with zinc solder and filing off smooth.

2.8 SHOP PRIMING

A. Shop prime steel surfaces, except the following:

1. Surfaces embedded in concrete or mortar. Extend priming of partially embedded members to a depth of 2 inches.
2. Surfaces to be field welded.
3. Surfaces of high-strength bolted, slip-critical connections.
4. Surfaces to receive sprayed fire-resistive materials (applied fireproofing).
5. Galvanized surfaces unless indicated to be painted.
6. Corrosion-resisting (weathering) steel surfaces.
7. Surfaces enclosed in interior construction.

B. Surface Preparation of Steel: Clean surfaces to be painted. Remove loose rust and mill scale and spatter, slag, or flux deposits. Prepare surfaces in accordance with the following specifications and standards:

1. SSPC-SP 2.

C. Surface Preparation of Galvanized Steel: Prepare galvanized-steel surfaces for shop priming by thoroughly cleaning steel of grease, dirt, oil, flux, and other foreign matter, and treating with etching cleaner or in accordance with SSPC-SP 16.

D. Priming: Immediately after surface preparation, apply primer in accordance with manufacturer's written instructions and at rate recommended by SSPC to provide a minimum dry film thickness of 1.5 mils. Use priming methods that result in full coverage of joints, corners, edges, and exposed surfaces.

1. Stripe paint corners, crevices, bolts, welds, and sharp edges.
2. Apply two coats of shop paint to surfaces that are inaccessible after assembly or erection. Change color of second coat to distinguish it from first.

2.9 SOURCE QUALITY CONTROL

- A. Special Inspections: City of New York will engage a qualified testing agency to perform shop tests and inspections.
 - 1. Allow testing agency access to places where structural-steel work is being fabricated or produced to perform tests and inspections.
 - 2. Bolted Connections: To be inspected and tested in accordance with RCSC's "Specification for Structural Joints Using High-Strength Bolts."
 - 3. Testing agency to prepare test and inspection reports.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Verify, with certified steel erector present, elevations of concrete- and masonry-bearing surfaces and locations of anchor rods, bearing plates, and other embedments for compliance with requirements.
 - 1. Prepare a certified survey of existing conditions. Include bearing surfaces, anchor rods, bearing plates, and other embedments showing dimensions, locations, angles, and elevations.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 ERECTION

- A. Set structural steel accurately in locations and to elevations indicated and in accordance with ANSI/AISC 303 and ANSI/AISC 360.
- B. Baseplates Bearing Plates and Leveling Plates: Clean concrete- and masonry-bearing surfaces of bond-reducing materials, and roughen surfaces prior to setting plates. Clean bottom surface of plates.
 - 1. Set plates for structural members on wedges, shims, or setting nuts as required.
 - 2. Weld plate washers to top of baseplate.
 - 3. Snug-tighten anchor rods after supported members have been positioned and plumbed. Do not remove wedges or shims but, if protruding, cut off flush with edge of plate before packing with grout.
 - 4. Promptly pack shrinkage-resistant grout solidly between bearing surfaces and plates, so no voids remain. Neatly finish exposed surfaces; protect grout and allow to cure. Comply with manufacturer's written installation instructions for grouting.
- C. Maintain erection tolerances of structural steel within ANSI/AISC 303.

- D. Align and adjust various members that form part of complete frame or structure before permanently fastening. Before assembly, clean bearing surfaces and other surfaces that are in permanent contact with members. Perform necessary adjustments to compensate for discrepancies in elevations and alignment.
 - 1. Level and plumb individual members of structure. Slope roof framing members to slopes indicated on Drawings.
 - 2. Make allowances for difference between temperature at time of erection and mean temperature when structure is completed and in service.
- E. Splice members only where indicated.
- F. Do not use thermal cutting during erection.
- G. Do not enlarge unfair holes in members by burning or using drift pins. Ream holes that must be enlarged to admit bolts.

3.4 FIELD CONNECTIONS

- A. High-Strength Bolts: Install high-strength bolts in accordance with RCSC's "Specification for Structural Joints Using High-Strength Bolts" for bolt and joint type specified.
 - 1. Joint Type: Snug tightened or Slip critical.
- B. Weld Connections: Comply with AWS D1.1/D1.1M for tolerances, appearances, welding procedure specifications, weld quality, and methods used in correcting welding work.
 - 1. Comply with ANSI/AISC 303 and ANSI/AISC 360 for bearing, alignment, adequacy of temporary connections, and removal of paint on surfaces adjacent to field welds.
 - 2. Remove backing bars or runoff tabs, back gouge, and grind steel smooth.
 - 3. Assemble and weld built-up sections by methods that maintain true alignment of axes without exceeding tolerances in ANSI/AISC 303 for mill material.

3.5 CORRECTIVE WORK

- A. Galvanized Surfaces: Clean areas where galvanizing is damaged or missing, and repair galvanizing to comply with ASTM A780/A780M.
- B. Touchup Painting:
 - 1. Immediately after erection, clean exposed areas where primer is damaged or missing, and paint with the same material as used for shop painting to comply with SSPC-PA 1 for touching up shop-painted surfaces.
 - a. Clean and prepare surfaces by SSPC-SP 2 hand-tool cleaning or SSPC-SP 3 power-tool cleaning.

3.6 FIELD QUALITY CONTROL

- A. Special Inspections: City of New York will engage a special inspector to perform special inspections per requirements of chapter 17 of the NYC Building Code.

END OF SECTION 051200

SECTION 053100 - STEEL DECKING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Roof deck at infill areas where indicated on drawings.
- B. Related Requirements:
 - 1. Section 051200 "Structural Steel Framing" for shop- and field-welded shear connectors.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data:
 - 1. Roof deck.
- B. Shop Drawings:
 - 1. Include layout and types of deck panels, anchorage details, reinforcing channels, cut deck openings, special jointing, accessories, and attachments to other construction.

1.5 INFORMATIONAL SUBMITTALS

- A. Welding certificates.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

B. Welding Qualifications: Qualify procedures and personnel in accordance with SDI QA/QC and the following welding codes:

1. AWS D1.1/D1.1M.
2. AWS D1.3/D1.3M.

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Protect steel deck from corrosion, deformation, and other damage during delivery, storage, and handling.
- B. Store products in accordance with SDI MOC3. Stack steel deck on platforms or pallets and slope to provide drainage. Protect with a waterproof covering and ventilate to avoid condensation.
1. Protect and ventilate acoustical cellular roof deck with factory-installed insulation to maintain insulation free of moisture.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. AISI Specifications: Comply with calculated structural characteristics of steel deck in accordance with AISI S100.
- B. Fire-Resistance Ratings: Comply with ASTM E119; testing by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.
1. Indicate design designations from UL's "Fire Resistance Directory" or from listings of another qualified testing agency.

2.2 ROOF DECK

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. Canam Steel Corporation; Canam Group, Inc.
 2. Nucor Corp.
 3. Vulcraft; Nucor Vulcraft Group.
 4. Or approved equal.
- B. Roof Deck: Fabricate panels, without top-flange stiffening grooves, to comply with SDI RD and with the following:
1. Galvanized-Steel Sheet: ASTM A653/A653M, Structural Steel (SS), grade, and zinc coating as indicated on the drawings.
 2. Deck Profile: As indicated.
 3. Span Condition: As indicated.

2.3 ACCESSORIES

- A. Provide manufacturer's standard accessory materials for deck that comply with requirements indicated.
- B. Mechanical Fasteners: Corrosion-resistant, low-velocity, power-actuated or pneumatically driven carbon-steel fasteners; or self-drilling, self-threading screws.
- C. Side-Lap Fasteners: Corrosion-resistant, hexagonal washer head; self-drilling, carbon-steel screws, No. 10 minimum diameter.
- D. Flexible Closure Strips: Vulcanized, closed-cell, synthetic rubber.
- E. Miscellaneous Sheet Metal Deck Accessories: Steel sheet, minimum yield strength of 33,000 psi, not less than 0.0359-inch design uncoated thickness, of same material and finish as deck; of profile indicated or required for application.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine supporting frame and field conditions for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION, GENERAL

- A. Install deck panels and accessories in accordance with SDI C, SDI NC, and SDI RD, as applicable; manufacturer's written instructions; and requirements in this Section.
- B. Install temporary shoring before placing deck panels if required to meet deflection limitations.
- C. Locate deck bundles to prevent overloading of supporting members.
- D. Place deck panels on supporting frame and adjust to final position with ends accurately aligned and bearing on supporting frame before being permanently fastened. Do not stretch or contract side-lap interlocks.
- E. Place deck panels flat and square and fasten to supporting frame without warp or deflection.
- F. Cut and neatly fit deck panels and accessories around openings and other work projecting through or adjacent to deck.

- G. Provide additional reinforcement and closure pieces at openings as required for strength, continuity of deck, and support of other work.
- H. Comply with AWS requirements and procedures for manual shielded metal arc welding, appearance and quality of welds, and methods used for correcting welding work.
- I. Mechanical fasteners may be used in lieu of welding to fasten deck. Locate mechanical fasteners and install in accordance with deck manufacturer's written instructions.

3.4 INSTALLATION OF ROOF DECK

- A. Fasten roof-deck panels to steel supporting members by arc spot (puddle) welds of the surface diameter indicated or arc seam welds with an equal perimeter that is not less than 1-1/2 inches long, and as follows:
 - 1. Weld Diameter: 5/8 inch, nominal.
 - 2. Weld Spacing: Weld edge and interior ribs of deck units with a minimum of two welds per deck unit at each support. Space welds as indicated.
- B. End Bearing: Install deck ends over supporting frame with a minimum end bearing of 1-1/2 inches, with end joints as follows:
 - 1. End Joints: Lapped 2 inches minimum.
- C. Flexible Closure Strips: Install flexible closure strips over partitions, walls, and where indicated. Install with adhesive in accordance with manufacturer's written instructions to ensure complete closure.

3.5 CORRECTIVE WORK

- A. Galvanizing Repairs: Prepare and repair damaged galvanized coatings on both surfaces of deck with galvanized repair paint in accordance with ASTM A780/A780M and manufacturer's written instructions.

3.6 FIELD QUALITY CONTROL

- A. Special Inspections: City of New York will engage a qualified testing agency to perform tests and inspections.
- B. Tests and Inspections:
 - 1. Special inspections and qualification of welding special inspectors for cold-formed steel floor and roof deck in accordance with quality-assurance inspection requirements of SDI QA/QC.
 - a. Field welds will be subject to inspection.
 - 2. Steel decking will be considered defective if it does not pass tests and inspections.
- C. Testing agency to prepare test and inspection reports.

END OF SECTION 053100

SECTION 055000 - METAL FABRICATIONS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:

- 1. Miscellaneous framing and supports.
- 2. Metal ladders.

- B. Products furnished, but not installed, under this Section include the following:

- 1. Steel weld plates and angles for casting into concrete for applications where they are not specified in other Sections.
- 2. Anchor bolts, steel pipe sleeves, slotted-channel inserts, and wedge-type inserts indicated to be cast into concrete or built into unit masonry.

1.3 COORDINATION

- A. Coordinate selection of shop primers with topcoats to be applied over them. Comply with paint and coating manufacturers' written instructions to ensure that shop primers and topcoats are compatible with one another.
- B. Coordinate installation of metal fabrications that are anchored to or that receive other work. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Shop Drawings: Show fabrication and installation details. Include plans, elevations, sections, and details of metal fabrications and their connections. Show anchorage and accessory items. Provide Shop Drawings for the following:

1. Miscellaneous framing and supports for applications where framing and supports are not specified in other Sections.
2. Metal ladders.

B. Sustainable Design Submittals:

1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
2. Environmental product declaration.
3. Product Certificates: For regional materials, indicating location of material manufacturer and point of extraction, harvest, or recovery for each raw material. Include distance to Project and cost for each regional material.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Welding Qualifications: Qualify procedures and personnel in accordance with the following welding codes:
 1. AWS D1.1/D1.1M, "Structural Welding Code - Steel."
 2. AWS D1.2/D1.2M, "Structural Welding Code - Aluminum."
 3. AWS D1.6/D1.6M, "Structural Welding Code - Stainless Steel."

1.7 FIELD CONDITIONS

- A. Field Measurements: Verify actual locations of walls, floor slabs, decks, and other construction contiguous with metal fabrications by field measurements before fabrication.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Thermal Movements: Allow for thermal movements from ambient and surface temperature changes.
 1. Temperature Change: 120 deg F, ambient; 180 deg F, material surfaces.

2.2 METALS

- A. Metal Surfaces, General: Provide materials with smooth, flat surfaces unless otherwise indicated. For metal fabrications exposed to view in the completed Work, provide materials without seam marks, roller marks, rolled trade names, or blemishes.
- B. Steel Plates, Shapes, and Bars: ASTM A36/A36M.
- C. Steel Tubing: ASTM A500/A500M, cold-formed steel tubing.

- D. Steel Pipe: ASTM A53/A53M, Standard Weight unless otherwise indicated.
- E. Slotted Channel Framing where indicated: Cold-formed metal box channels (struts) complying with MFMA-4.
 - 1. Size of Channels: As indicated.
 - 2. Galvanized Steel: ASTM A653/A653M, commercial steel, Type B, with G90 coating; 0.079-inch nominal thickness.

2.3 FASTENERS

- A. General: Unless otherwise indicated, provide Type 304 stainless steel fasteners for exterior use and zinc-plated fasteners with coating complying with ASTM B633 or ASTM F1941/F1941M, Class Fe/Zn 5, at exterior walls. Select fasteners for type, grade, and class required.
 - 1. Provide stainless steel fasteners for fastening .
 - 2. Provide bronze fasteners for fastening bronze.
- B. Steel Bolts and Nuts: Regular hexagon-head bolts, ASTM A307, Grade A; with hex nuts, ASTM A563; and, where indicated, flat washers.
- C. Anchor Bolts: ASTM F1554, Grade 36, of dimensions indicated; with nuts, ASTM A563; and, where indicated, flat washers.
 - 1. Hot-dip galvanize or provide mechanically deposited, zinc coating where item being fastened is indicated to be galvanized.
- D. Anchors, General: Capable of sustaining, without failure, a load equal to six times the load imposed when installed in unit masonry and four times the load imposed when installed in concrete, as determined by testing in accordance with ASTM E488/E488M, conducted by a qualified independent testing agency.
- E. Cast-in-Place Anchors in Concrete: Either threaded or wedge type unless otherwise indicated; galvanized ferrous castings, either ASTM A47/A47M malleable iron or ASTM A27/A27M cast steel. Provide bolts, washers, and shims as needed, all hot-dip galvanized per ASTM F2329/F2329M.
- F. Post-Installed Anchors: Torque-controlled expansion anchors.

2.4 MISCELLANEOUS MATERIALS

- A. Shop Primer for Galvanized Steel: Primer formulated for exterior use over zinc-coated metal and compatible with finish paint systems indicated.
- B. Galvanizing Repair Paint: High-zinc-dust-content paint complying with SSPC-Paint 20 and compatible with paints specified to be used over it.
- C. Bituminous Paint: Cold-applied asphalt emulsion complying with ASTM D1187/D1187M.

- D. Shrinkage-Resistant Grout: Factory-packaged, nonmetallic, nonstaining, noncorrosive, nongaseous grout complying with ASTM C1107/C1107M. Provide grout specifically recommended by manufacturer for interior and exterior applications.
- E. Concrete: Comply with requirements in Section 033000 "Cast-in-Place Concrete" for normal-weight, air-entrained concrete with a minimum 28-day compressive strength of 3000 psi.

2.5 FABRICATION, GENERAL

- A. Shop Assembly: Preassemble items in the shop to greatest extent possible. Disassemble units only as necessary for shipping and handling limitations. Use connections that maintain structural value of joined pieces. Clearly mark units for reassembly and coordinated installation.
- B. Cut, drill, and punch metals cleanly and accurately. Remove burrs and ease edges to a radius of approximately 1/32 inch unless otherwise indicated. Remove sharp or rough areas on exposed surfaces.
- C. Form bent-metal corners to smallest radius possible without causing grain separation or otherwise impairing work.
- D. Form exposed work with accurate angles and surfaces and straight edges.
- E. Weld corners and seams continuously to comply with the following:
 - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
 - 2. Obtain fusion without undercut or overlap.
 - 3. Remove welding flux immediately.
 - 4. At exposed connections, finish exposed welds and surfaces smooth and blended so no roughness shows after finishing and contour of welded surface matches that of adjacent surface.
- F. Form exposed connections with hairline joints, flush and smooth, using concealed fasteners or welds where possible. Where exposed fasteners are required, use Phillips flat-head (countersunk) fasteners unless otherwise indicated. Locate joints where least conspicuous.
- G. Fabricate seams and other connections that are exposed to weather in a manner to exclude water. Provide weep holes where water may accumulate.
- H. Cut, reinforce, drill, and tap metal fabrications as indicated to receive finish hardware, screws, and similar items.
- I. Provide for anchorage of type indicated; coordinate with supporting structure. Space anchoring devices to secure metal fabrications rigidly in place and to support indicated loads.
- J. Where units are indicated to be cast into concrete or built into masonry, equip with integrally welded steel strap anchors, 1/8 by 1-1/2 inches, with a minimum 6-inch embedment and 2-inch hook, not less than 8 inches from ends and corners of units and 24 inches o.c., unless otherwise indicated.

2.6 MISCELLANEOUS FRAMING AND SUPPORTS

- A. General: Provide steel framing and supports not specified in other Sections as needed to complete the Work.
- B. Fabricate units from steel shapes, plates, and bars of welded construction unless otherwise indicated. Fabricate to sizes, shapes, and profiles indicated and as necessary to receive adjacent construction.
 - 1. Fabricate units from slotted channel framing where indicated.
 - 2. Furnish inserts for units installed after concrete is placed.

2.7 METAL LADDERS

- A. General:
 - 1. Comply with ANSI A14.3.
- B. Steel Ladders, where indicated on the drawings:
 - 1. Space siderails 16 inches apart unless otherwise indicated.
 - 2. Siderails: Continuous, 3/8-by-2-1/2-inch steel flat bars, with eased edges.
 - 3. Rungs: 3/4-inch- square, steel bars.
 - 4. Fit rungs in centerline of siderails; plug-weld and grind smooth on outer rail faces.
 - 5. Provide nonslip surfaces on top of each rung, either by coating rung with aluminum-oxide granules set in epoxy-resin adhesive or by using a type of manufactured rung filled with aluminum-oxide grout.
 - 6. Source Limitations: Obtain nonslip surfaces from single source from single manufacturer.
 - 7. Support each ladder at top and bottom and not more than 60 inches o.c. with welded or bolted steel brackets.
 - 8. Galvanize ladders, including brackets.

2.8 GENERAL FINISH REQUIREMENTS

- A. Finish metal fabrications after assembly.
- B. Finish exposed surfaces to remove tool and die marks and stretch lines, and to blend into surrounding surface.

2.9 STEEL AND IRON FINISHES

- A. Galvanizing: Hot-dip galvanize items as indicated to comply with ASTM A153/A153M for steel and iron hardware and with ASTM A123/A123M for other steel and iron products.
- B. Shop prime iron and steel items not indicated to be galvanized unless they are to be embedded in concrete, sprayed-on fireproofing, or masonry, or unless otherwise indicated.

1. Shop prime with universal shop primer unless zinc-rich primer is indicated.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION OF MISCELLANEOUS FRAMING AND SUPPORTS

- A. General: Install framing and supports to comply with requirements of items being supported, including manufacturers' written instructions and requirements indicated on Shop Drawings.
- B. Anchor supports for securely to, and rigidly brace from, building structure.
- C. Support steel girders on solid grouted masonry, concrete, or steel pipe columns. Secure girders with anchor bolts embedded in grouted masonry or concrete or with bolts through top plates of pipe columns.
 1. Where grout space under bearing plates is indicated for girders supported on concrete or masonry, install as specified in "Installing Bearing and Leveling Plates" Article.
- D. Install pipe columns on concrete footings with grouted baseplates. Position and grout column baseplates as specified in "Installation of Bearing and Leveling Plates" Article.
 1. Grout baseplates of columns supporting steel girders after girders are installed and leveled.

3.3 INSTALLATION OF METAL LADDERS

- A. Secure ladders to adjacent construction with the clip angles attached to the stringer.
- B. Install brackets as required for securing of ladders welded or bolted to structural steel or built into masonry or concrete.

3.4 CORRECTIVE WORK

- A. Touchup Painting:
 1. Immediately after erection, clean field welds, bolted connections, and abraded areas. Paint uncoated and abraded areas with same material as used for shop painting to comply with SSPC-PA 1 for touching up shop-painted surfaces.
 - a. Apply by brush or spray to provide a minimum 2.0-mil dry film thickness.
 2. Cleaning and touchup painting of field welds, bolted connections, and abraded areas of shop paint are specified in the exterior and interior painting sections.

END OF SECTION 055000

SECTION 055313 - BAR GRATINGS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Metal bar gratings.
- B. Related Requirements:
 - 1. Section 051200 "Structural Steel Framing" for structural-steel framing system components.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data:
 - 1. Clips and anchorage devices for gratings.
 - 2. Paint products.
- B. Shop Drawings:
 - 1. Include plans, sections, and attachment details.
 - 2. Signed and sealed by the qualified professional engineer responsible for their preparation.
- C. Sustainable Design Submittals:
 - 1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
 - 2. Product Certificates: For regional materials, indicating location of material manufacturer and point of extraction, harvest, or recovery for each raw material. Include distance to Project and cost for each regional material.

1.5 INFORMATIONAL SUBMITTALS

- A. Mill Certificates: Signed by manufacturers of stainless steel certifying that products furnished comply with requirements.
- B. Welding certificates.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Welding Qualifications: Qualify procedures and personnel in accordance with the following welding codes:
 - 1. AWS D1.1/D1.1M.
 - 2. AWS D1.2/D1.2M.
 - 3. AWS D1.3/D1.3M.
 - 4. AWS D1.6/D1.6M.

1.7 FIELD CONDITIONS

- A. Field Measurements: Verify actual locations of walls and other construction contiguous with gratings by field measurements before fabrication.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Structural Performance: Gratings to withstand the effects of gravity loads and the following loads and stresses within limits and under conditions indicated:
 - 1. Walkways and Elevated Platforms Other Than Exits: Uniform load of 60 lbf/sq. ft..
 - 2. Limit deflection to L/360 or 1/4 inch, whichever is less.
 - 3. Seismic Performance: Gratings to withstand the effects of earthquake motions determined in accordance with ASCE/SEI 7.
 - 4. Component Importance Factor: 1.5.

2.2 METAL BAR GRATINGS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. All American Grating.
 - 2. Fisher & Ludlow; a NUCOR Company.
 - 3. McNichols.
 - 4. Or approved equal.

- B. Metal Bar Grating Standards: Comply with NAAMM MBG 531.
- C. Welded Steel Grating: MBG-#531
 - 1. Grating Mark W-11-4 (1 x 3/16) STEEL: 1-by-3/16-inch bearing bars at 11/16 inch o.c., and crossbars at 4 inches o.c.
 - 2. Steel Finish: Hot-dip galvanized with a coating weight of not less than 1.8 oz./sq. ft. of coated surface.

2.3 FASTENERS

- A. General: Unless otherwise indicated, provide Type 304 stainless steel fasteners for exterior use and zinc-plated fasteners with coating complying with ASTM B633 or ASTM F1941/F1941M, Class Fe/Zn 5, at exterior walls. Select fasteners for type, grade, and class required.
 - 1. Provide stainless steel fasteners for fastening aluminum.
 - 2. Provide stainless steel fasteners for fastening stainless steel.
- B. Stainless Steel Bolts and Nuts: Regular hexagon-head annealed stainless steel bolts, nuts, and, where indicated, flat washers; ASTM F593 for bolts and ASTM F594 for nuts, Alloy Group 1.
- C. Anchor Bolts: ASTM F1554, Grade 36, of dimensions indicated; with nuts, ASTM A563, and, where indicated, flat washers.
 - 1. Hot-dip galvanize or provide mechanically deposited, zinc coating where item being fastened is indicated to be galvanized.

2.4 MISCELLANEOUS MATERIALS

- A. Galvanizing Repair Paint: High-zinc-dust-content paint complying with SSPC-Paint 20 and compatible with paints specified to be used over it.

2.5 FERROUS METALS

- A. Recycled Content of Steel Products: Postconsumer recycled content plus one-half of preconsumer recycled content not less than 65 percent.
- B. Steel Plates, Shapes, and Bars: ASTM A36/A36M.
- C. Steel Bars for Bar Gratings: ASTM A36/A36M or steel strip, ASTM A1011/A1011M or ASTM A1018/A1018M.
- D. Wire Rod for Bar Grating Crossbars: ASTM A510/A510M.
- E. Galvanized-Steel Sheet: ASTM A653/A653M, structural quality, Grade 33, with G90 coating.

2.6 FABRICATION

- A. Shop Assembly: Fabricate grating sections in shop to greatest extent possible to minimize field splicing and assembly. Disassemble units only as necessary for shipping and handling limitations. Use connections that maintain structural value of joined pieces. Clearly mark units for reassembly and coordinated installation.
 - 1. All bar gratings to be fabricated and field installed to be removable.
- B. Cut, drill, and punch material cleanly and accurately. Remove burrs and ease edges to a radius of approximately 1/32 inch unless otherwise indicated. Remove sharp or rough areas on exposed surfaces.
- C. Form from materials of size, thickness, and shapes indicated, but not less than that needed to support indicated loads.
- D. Fit exposed connections accurately together to form hairline joints.
- E. Welding: Comply with AWS recommendations and the following:
 - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
 - 2. Obtain fusion without undercut or overlap.
 - 3. Remove welding flux immediately.
- F. Provide for anchorage of type indicated; coordinate with supporting structure. Fabricate and space the anchoring devices to secure gratings, frames, and supports rigidly in place and to support indicated loads.
- G. Do not notch bearing bars at supports to maintain elevation.

2.7 STEEL FINISHES

- A. Finish gratings, frames, and supports after assembly.
- B. Galvanizing: Hot-dip galvanize items as indicated to comply with ASTM A153/A153M for steel and iron hardware and with ASTM A123/A123M for other steel and iron products.
 - 1. Do not quench or apply post galvanizing treatments that might interfere with paint adhesion.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION, GENERAL

- A. Fastening to In-Place Construction: Provide anchorage devices and fasteners where necessary for securing gratings to in-place construction. Include threaded fasteners for concrete and masonry inserts, through-bolts, lag bolts, and other connectors.
- B. Cutting, Fitting, and Placement: Perform cutting, drilling, and fitting required for installing gratings. Set units accurately in location, alignment, and elevation; measured from established lines and levels and free of rack.
- C. Provide temporary bracing or anchors in formwork for items that are to be built into concrete or masonry.
- D. Fit exposed connections accurately together to form hairline joints.
 - 1. Weld connections that are not to be left as exposed joints but cannot be shop welded because of shipping size limitations. Do not weld, cut, or abrade the surfaces of exterior units that have been hot-dip galvanized after fabrication and are for bolted or screwed field connections.
- E. Attach toeplates to gratings by welding at locations indicated.
- F. Field Welding: Comply with AWS recommendations and the following:
 - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
 - 2. Obtain fusion without undercut or overlap.
 - 3. Remove welding flux immediately.

3.3 INSTALLATION OF METAL BAR GRATINGS

- A. Install gratings to comply with recommendations of referenced metal bar grating standards that apply to grating types and bar sizes indicated, including installation clearances and standard anchoring details.
- B. Attach removable units to supporting members with type and size of clips and fasteners indicated or, if not indicated, as recommended by grating manufacturer for type of installation conditions shown.
- C. Attach nonremovable units to supporting members by welding where both materials are same; otherwise, fasten by bolting as indicated above.

3.4 CORRECTIVE WORK

- A. Repair of Galvanized Surfaces: Clean field welds, bolted connections, and abraded areas and repair galvanizing to comply with ASTM A780/A780M.

END OF SECTION 055313

SECTION 061000 - ROUGH CARPENTRY

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
1. Wood products.
 2. Wood-preservative-treated lumber.
 3. Fire-retardant-treated lumber.
 4. Miscellaneous lumber.
 5. Plywood backing panels.

1.3 DEFINITIONS

- A. Boards or Strips: Lumber of less than 2 inches nominal size in least dimension.
- B. Dimension Lumber: Lumber of 2 inches nominal size or greater but less than 5 inches nominal size in least dimension.
- C. Exposed Framing: Framing not concealed by other construction.
- D. Lumber grading agencies, and abbreviations used to reference them, include the following:
1. NeLMA: Northeastern Lumber Manufacturers' Association.
 2. NLGA: National Lumber Grades Authority.
 3. SPIB: The Southern Pine Inspection Bureau.
 4. WCLIB: West Coast Lumber Inspection Bureau.
 5. WWPA: Western Wood Products Association.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of process and factory-fabricated product. Indicate component materials and dimensions and include construction and application details.

1. Include data for wood-preservative treatment from chemical treatment manufacturer and certification by treating plant that treated materials comply with requirements. Indicate type of preservative used and net amount of preservative retained.
2. Include data for fire-retardant treatment from chemical treatment manufacturer and certification by treating plant that treated materials comply with requirements. Include physical properties of treated materials based on testing by a qualified independent testing agency.
3. For fire-retardant treatments, include physical properties of treated lumber both before and after exposure to elevated temperatures, based on testing by a qualified independent testing agency in accordance with ASTM D5664.

B. Sustainable Design Submittals:

1. **Product Certificates:** For regional materials, indicating location of material manufacturer and point of extraction, harvest, or recovery for each raw material. Include distance to Project and cost for each regional material.
2. **Product Data:** For composite wood products, indicating that product contains no urea formaldehyde.
3. **Laboratory Test Reports:** For composite wood products, indicating compliance with requirements for low-emitting materials.
4. **Product Data:** For installation adhesives, indicating VOC content.

1.6 INFORMATIONAL SUBMITTALS

A. Material Certificates:

1. For dimension lumber specified to comply with minimum allowable unit stresses. Indicate species and grade selected for each use and design values approved by the ALSC Board of Review.
2. For preservative-treated wood products. Indicate type of preservative used and net amount of preservative retained.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."**

1.8 DELIVERY, STORAGE, AND HANDLING

- A. Stack wood products flat with spacers beneath and between each bundle to provide air circulation. Protect wood products from weather by covering with waterproof sheeting, securely anchored. Provide for air circulation around stacks and under coverings.**

PART 2 - PRODUCTS

2.1 WOOD PRODUCTS

- A. Maximum Moisture Content:**

1. Boards: 15 percent.
2. Dimension Lumber: 15 percent for 2-inch nominal thickness or less; 19 percent for more than 2-inch nominal thickness unless otherwise indicated.

2.2 WOOD-PRESERVATIVE-TREATED LUMBER

A. Preservative Treatment by Pressure Process: AWWA U1, Use categories as follows:

1. UC1: Interior construction not in contact with ground or subject to moisture. Include the following items:
 - a. Wood sills, sleepers, blocking, furring, stripping, and similar concealed members in contact with masonry or concrete.
 - b. Wood framing and furring attached directly to the interior of below-grade exterior masonry or concrete walls.
 - c. Wood floor plates that are installed over concrete slabs-on-grade.
2. UC3A (Commodity Specification A): Coated sawn products in exterior construction not in contact with ground but exposed to all weather cycles including intermittent wetting. Include the following items:
 - a. All wood cants, nailers, curbs, equipment support bases, blocking, stripping, sheathing, and similar members in connection with all roofing, flashing, vapor barriers, and waterproofing.
3. Preservative Chemicals: Containing no arsenic or chromium. Do not use inorganic boron (SBX) for sill plates.
4. After treatment, redry lumber to 19 percent maximum moisture content.

B. Application: Treat items indicated on Drawings, and the following:

1. Wood cants, nailers, curbs, equipment support bases, blocking, stripping, and similar members in connection with roofing, flashing, vapor barriers, and waterproofing.
2. Wood framing members that are less than 18 inches above the ground in crawlspaces or unexcavated areas.
3. Wood floor plates that are installed over concrete slabs-on-grade.

2.3 FIRE-RETARDANT-TREATED LUMBER

A. General: Where fire-retardant-treated materials are indicated, materials are to comply with requirements in this article, that are acceptable to Commissioner and with fire-test-response characteristics specified as determined by testing identical products per test method indicated by a qualified testing agency.

B. Fire-Retardant-Treated Lumber and Plywood by Pressure Process: Products with a flame-spread index of 25 or less when tested in accordance with ASTM E84, and with no evidence of significant progressive combustion when the test is extended an additional 20 minutes, and with the flame front not extending more than 10.5 feet beyond the centerline of the burners at any time during the test.

1. Treatment is not to promote corrosion of metal fasteners.

- C. Kiln-dry lumber after treatment to maximum moisture content of 19 percent. Kiln-dry plywood after treatment to maximum moisture content of 15 percent.
- D. Identify fire-retardant-treated wood with appropriate classification marking of qualified testing agency.
- E. Application: Treat items where indicated on Drawings, and the following:
 - 1. Concealed blocking in fire rated partitions.

2.4 MISCELLANEOUS LUMBER

- A. Provide miscellaneous lumber indicated and lumber for support or attachment of other construction, including the following:
 - 1. Blocking.
 - 2. Nailers.
 - 3. Rooftop equipment bases and support curbs.
 - 4. Cants.
 - 5. Furring.
 - 6. Grounds.
 - 7. Utility shelving.
- B. Dimension Lumber Items: Construction or No. 2 Standard, Stud, or No. 3 grade lumber of any species. any of the following species: the following species:
 - 1. Hem-fir (north); NLGA.
 - 2. Mixed southern pine or southern pine; SPIB.
 - 3. Spruce-pine-fir; NLGA.
 - 4. Hem-fir; WCLIB or WWPA.
 - 5. Spruce-pine-fir (south); NeLMA, WCLIB, or WWPA.
- C. For blocking not used for attachment of other construction, Utility, Stud, or No. 3 grade lumber of any species may be used provided that it is cut and selected to eliminate defects that will interfere with its attachment and purpose.
- D. For furring strips for installing plywood or hardboard paneling, select boards with no knots capable of producing bent-over nails and damage to paneling.

2.5 PLYWOOD BACKING PANELS

- A. Equipment Backing Panels: Plywood, DOC PS 1, Exposure 1, C-D Plugged, fire-retardant treated, in thickness indicated or, if not indicated, not less than 1/2-inch nominal thickness.

2.6 FASTENERS

- A. General: Fasteners are to be of size and type indicated and comply with requirements specified in this article for material and manufacture. Provide nails or screws, in sufficient length, to penetrate not less than 1-1/2 inches into wood substrate.
 - 1. Where rough carpentry is exposed to weather, in ground contact, pressure-preservative treated, or in area of high relative humidity, provide fasteners of Type 304 stainless steel.
 - 2. For redwood, use stainless steel fasteners.
- B. Nails, Brads, and Staples: ASTM F1667.
- C. Power-Driven Fasteners: Fastener systems with an evaluation report based on ICC-ES AC70.
- D. Post-Installed Anchors: Fastener systems with an evaluation report based on ICC-ES AC01, ICC-ES AC58, ICC-ES AC193, or ICC-ES AC308 as appropriate for the substrate.

2.7 MISCELLANEOUS MATERIALS

- A. Adhesives for Gluing Furring and Sleepers to Concrete or Masonry: Formulation complying with ASTM D3498 that is approved for use indicated by adhesive manufacturer.
 - 1. Adhesives shall have a VOC content of 70 g/L or less.
 - 2. Adhesive shall comply with the testing and product requirements of the California Department of Public Health's "Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources Using Environmental Chambers."

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION

- A. Framing Standard: Comply with AF&PA's WCD 1, "Details for Conventional Wood Frame Construction," unless otherwise indicated.
- B. Set work to required levels and lines, with members plumb, true to line, cut, and fitted. Fit rough carpentry accurately to other construction. Locate furring, nailers, blocking, grounds, and similar supports to comply with requirements for attaching other construction.
- C. Install plywood backing panels by fastening to studs; coordinate locations with utilities requiring backing panels. Install fire-retardant-treated plywood backing panels with classification marking of testing agency exposed to view.

- D. Install metal framing anchors to comply with manufacturer's written instructions. Install fasteners through each fastener hole.
- E. Install sill sealer gasket to form continuous seal between sill plates and foundation walls.
- F. Install sill sealer gasket/termite barrier in accordance with manufacturer's written instructions at the underside of wall bottom track or rim track and at the top of foundation wall or slab at stud or joist locations.
- G. Do not splice structural members between supports unless otherwise indicated.
- H. Provide blocking and framing as indicated and as required to support facing materials, fixtures, specialty items, and trim.
 - 1. Provide metal clips for fastening gypsum board or lath at corners and intersections where framing or blocking does not provide a surface for fastening edges of panels. Space clips not more than 16 inches o.c.
- I. Provide fire blocking in furred spaces, stud spaces, and other concealed cavities as indicated and as follows:
 - 1. Fire block furred spaces of walls, at each floor level, at ceiling, and at not more than 96 inches o.c. with solid wood blocking or noncombustible materials accurately fitted to close furred spaces.
 - 2. Fire block concealed spaces of wood-framed walls and partitions at each floor level, at ceiling line of top story, and at not more than 96 inches o.c. Where fire blocking is not inherent in framing system used, provide closely fitted solid wood blocks of same width as framing members and 2-inch nominal thickness.
 - 3. Fire block concealed spaces between floor sleepers with same material as sleepers to limit concealed spaces to not more than 100 sq. ft. and to solidly fill space below partitions.
 - 4. Fire block concealed spaces behind combustible cornices and exterior trim at not more than 20 feet o.c.
- J. Sort and select lumber so that natural characteristics do not interfere with installation or with fastening other materials to lumber. Do not use materials with defects that interfere with function of member or pieces that are too small to use with minimum number of joints or optimum joint arrangement.
- K. Comply with AWPA M4 for applying field treatment to cut surfaces of preservative-treated lumber.
 - 1. Use inorganic boron for items that are continuously protected from liquid water.
 - 2. Use copper naphthenate for items not continuously protected from liquid water.
- L. Where wood-preservative-treated lumber is installed adjacent to metal decking, install continuous flexible flashing separator between wood and metal decking.
- M. Securely attach rough carpentry work to substrate by anchoring and fastening as indicated, complying with the following:
 - 1. Table 2304.9.1, "Fastening Schedule," in Chapter 23 of the New York City Building Code.
 - 2. ICC-ES evaluation report for fastener.

- N. Securely attach roofing nailers to substrates by anchoring and fastening to withstand bending, shear, or other stresses imparted by Project wind loads and fastener-resistance loads as designed in accordance with ASCE/SEI 7.
- O. Use steel common nails unless otherwise indicated. Select fasteners of size that will not fully penetrate members where opposite side will be exposed to view or will receive finish materials. Make tight connections between members. Install fasteners without splitting wood. Drive nails snug but do not countersink nail heads unless otherwise indicated.

3.3 INSTALLATION OF WOOD BLOCKING AND NAILERS

- A. Install where indicated and where required for screeding or attaching other work. Form to shapes indicated and cut as required for true line and level of attached work. Coordinate locations with other work involved.
- B. Attach wood blocking to substrates to support applied loading. Recess bolts and nuts flush with surfaces unless otherwise indicated.
- C. Attach wood roofing nailers securely to substrate to resist the designed outward and upward wind loads indicated on Drawings and in accordance with ANSI/SPRI ED-1, Tables A6 and A7.
- D. Provide permanent grounds of dressed, pressure-preservative-treated, key-beveled lumber not less than 1-1/2 inches wide and of thickness required to bring face of ground to exact thickness of finish material. Remove temporary grounds when no longer required.

3.4 INSTALLATION OF WOOD FURRING

- A. Install level and plumb with closure strips at edges and openings. Shim with wood as required for tolerance of finish work.
- B. Furring to Receive Plywood or Hardboard Paneling: Install 1-by-3-inch nominal- size furring horizontally at 24 inches o.c.
- C. Furring to Receive Gypsum Board or Plaster Lath: Install 1-by-2-inch nominal- size furring vertically at 16 inches o.c.

3.5 PROTECTION

- A. Protect wood that has been treated with inorganic boron (SBX) from weather. If, despite protection, inorganic boron-treated wood becomes wet, apply EPA-registered borate treatment. Apply borate solution by spraying to comply with EPA-registered label.

END OF SECTION 061000

SECTION 062023 - INTERIOR FINISH CARPENTRY

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Interior trim including wood frames and jambs for interior doors.
2. Shelving.

B. Related Requirements:

1. Section 061000 "Rough Carpentry" for furring, blocking, and other carpentry work not exposed to view.
2. Section 099124 "Interior Painting (MPI Standards)" for priming and backpriming of interior finish carpentry.

1.3 DEFINITIONS

- A. MDF: Medium-density fiberboard.
- B. MDO: Plywood with a medium-density overlay on the face.
- C. PVC: Polyvinyl chloride.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

A. Product Data:

1. Interior trim.
2. Shelving components including brackets and standards.

- B. Product Data Submittals: For each type of process and factory-fabricated product. Indicate component materials, dimensions, profiles, textures, and colors and include construction and application details.

1. Include data for wood-preservative treatment from chemical-treatment manufacturer and certification by treating plant that treated materials comply with requirements. Indicate type of preservative used and net amount of preservative retained. Include chemical-treatment manufacturer's written instructions for finishing treated material.
2. For products receiving a waterborne treatment, include statement that moisture content of treated materials was reduced before shipment to Project site to levels specified.

C. Sustainable Design Submittals:

1. Product Certificates: For regional materials, indicating location of material manufacturer and point of extraction, harvest, or recovery for each raw material. Include distance to Project and cost for each regional material.
2. Product Data: For composite wood products, indicating that product contains no urea formaldehyde.
3. Product Data: For installation adhesives, indicating VOC content.

D. Samples: For each exposed product and for each color and texture specified.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Stack lumber, plywood, and other panels flat with spacers between each bundle to provide air circulation.
1. Protect materials from weather by covering with waterproof sheeting, securely anchored.
 2. Provide for air circulation around stacks and under coverings.
- B. Deliver interior finish carpentry materials only when environmental conditions comply with requirements specified for installation areas. If interior finish carpentry materials must be stored in other than installation areas, store only where environmental conditions comply with requirements specified for installation areas.

1.8 FIELD CONDITIONS

- A. Environmental Limitations: Do not deliver or install interior finish carpentry materials until building is enclosed and weatherproof, wet-work in space is completed and nominally dry, and HVAC system is operating and maintaining temperature and relative humidity at occupancy levels during the remainder of the construction period.
- B. Do not install finish carpentry materials that are wet, moisture damaged, or mold damaged.
1. Indications that materials are wet or moisture damaged include, but are not limited to, discoloration, sagging, or irregular shape.
 2. Indications that materials are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

PART 2 - PRODUCTS

2.1 MATERIALS, GENERAL

- A. Composite Wood Products: Products shall be made without urea formaldehyde.
- B. Lumber: DOC PS 20 and applicable rules of grading agencies indicated. If no grading agency is indicated, comply with applicable rules of any rules-writing agency certified by the American Lumber Standard Committee's (ALSC) Board of Review. Grade lumber by an agency certified by the ALSC's Board of Review to inspect and grade lumber under the rules indicated.
 - 1. Factory mark each piece of lumber with grade stamp of grading agency.
 - 2. For exposed lumber, mark grade stamp on end or back of each piece.
- C. Softwood Plywood: DOC PS 1.
- D. Hardboard: ANSI A135.4.
- E. MDF: ANSI A208.2, Grade 130.
- F. Particleboard: ANSI A208.1, Grade M-2.
- G. Melamine-Faced Particleboard: Particleboard complying with ANSI A208.1, Grade M-2, finished on both faces with thermally fused, melamine-impregnated decorative paper and complying with ISO 4586-3, Grade VGS.
 - 1. Color: White.

2.2 WOOD-PRESERVATIVE-TREATED MATERIALS

- A. Preservative Treatment by Pressure Process: AWWA U1; Use Category UC1.
 - 1. Kiln dry lumber and plywood after treatment to a maximum moisture content of 19 and 18 percent, respectively.
 - 2. Preservative Chemicals: Containing no arsenic or chromium.
 - 3. For exposed items indicated to receive transparent finish, do not use chemical formulations that contain colorants or that bleed through or otherwise adversely affect finishes.
 - 4. Do not use material that is warped or does not comply with requirements for untreated material.
 - 5. Mark lumber with treatment-quality mark of an inspection agency approved by the ALSC's Board of Review.
 - a. For exposed lumber indicated to receive a stained or natural finish, mark end or back of each piece.
 - 6. Mark plywood with appropriate classification marking of an inspection agency.
 - a. For exposed plywood indicated to receive a stained or natural finish, mark back of each piece.

7. Application: Where indicated on Drawings.

2.3 INTERIOR TRIM

- A. Lumber Trim for Opaque Finish (Painted Finish), including frames and jambs for interior wood doors:
 1. Species and Grade: Yellow poplar; NHLA A Finish.
 2. Maximum Moisture Content: 10 percent.
 3. Finger Jointing: Not allowed.
 4. Face Surface: Surfaced (smooth).

2.4 SHELVING

- A. Shelving: Exposed shelving made from one of the following materials, 3/4 inch thick:
 1. MDO softwood plywood with solid-wood edge.
 2. Wood boards as specified above for lumber trim for opaque finish.
- B. Standards for Adjustable Shelf Brackets: BHMA A156.9, B04102; zinc-plated steel.
- C. Adjustable Shelf Brackets: BHMA A156.9, B04112; zinc-plated steel.
- D. Standards for Adjustable Shelf Supports: BHMA A156.9, B04071; zinc-plated steel.
- E. Adjustable Shelf Supports: BHMA A156.9, B04081 or B04091; zinc-plated steel.

2.5 MISCELLANEOUS MATERIALS

- A. Fasteners for Interior Finish Carpentry: Nails, screws, and other anchoring devices of type, size, material, and finish required for application indicated to provide secure attachment, concealed where possible.
- B. Glue: Aliphatic-resin, polyurethane, or resorcinol wood glue recommended by manufacturer for general carpentry use.
 1. Adhesives shall have a VOC content of 30 g/L or less.
- C. Multipurpose Construction Adhesive: Formulation, complying with ASTM D 3498, that is recommended for indicated use by adhesive manufacturer.
 1. Adhesives shall have a VOC content of 70 g/L or less.

2.6 FABRICATION

- A. Back out or kerf backs of the following members, except those with ends exposed in finished work:
 1. Interior standing and running trim, except shoe and crown molds.
 2. Wood-board paneling.

- B. Ease edges of lumber less than 1 inch in nominal thickness to 1/16-inch radius and edges of lumber 1 inch or more in nominal thickness to 1/8-inch radius.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine finish carpentry materials before installation. Reject materials that are wet, moisture damaged, and mold damaged.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. Clean substrates of projections and substances detrimental to application.
- B. Before installing interior finish carpentry, condition materials to average prevailing humidity in installation areas for a minimum of 24 hours unless longer conditioning is recommended by manufacturer.

3.4 INSTALLATION, GENERAL

- A. Do not use materials that are unsound; warped; improperly treated or finished; inadequately seasoned; too small to fabricate with proper jointing arrangements; or with defective surfaces, sizes, or patterns.
- B. Install interior finish carpentry level, plumb, true, and aligned with adjacent materials.
 - 1. Use concealed shims where necessary for alignment.
 - 2. Scribe and cut interior finish carpentry to fit adjoining work. Refinish and seal cuts as recommended by manufacturer.
 - 3. Where face fastening is unavoidable, countersink fasteners, fill surface flush, and sand unless otherwise indicated.
 - 4. Install to tolerance of 1/8 inch in 96 inches for level and plumb. Install adjoining interior finish carpentry with 1/32-inch maximum offset for flush installation and 1/16-inch maximum offset for reveal installation.
 - 5. Coordinate interior finish carpentry with materials and systems in or adjacent to it. Provide cutouts for mechanical and electrical items that penetrate interior finish carpentry.

3.5 INSTALLATION OF TRIM

- A. Install trim with minimum number of joints as is practical, using full-length pieces from maximum lengths of lumber available.
 - 1. Do not use pieces less than 24 inches long, except where necessary.
 - 2. Stagger joints in adjacent and related standing and running trim.
 - 3. At returns, miter at outside corners, and cope at inside corners to produce tight-fitting joints with full-surface contact throughout length of joint.
 - 4. Use scarf joints for end-to-end joints.
 - 5. Plane backs of casings to provide uniform thickness across joints where necessary for alignment.
 - 6. Match color and grain pattern of trim for transparent finish (stain or clear finish) across joints.
 - 7. Install trim after gypsum-board joint finishing operations are completed.
 - 8. Install without splitting; drill pilot holes before fastening where necessary to prevent splitting.
 - 9. Fasten to prevent movement or warping.
 - 10. Countersink fastener heads on exposed carpentry work and fill holes.

3.6 INSTALLATION OF SHELVING

- A. Cut shelf cleats at ends of shelves about 1/2 inch less than width of shelves and sand exposed ends smooth.
 - 1. Install shelf cleats by fastening to framing or backing with finish nails or trim screws, set below face and filled.
 - 2. Space fasteners not more than 16 inches o.c. Use two fasteners at each framing member or fastener location for cleats 4 inches nominal in width and wider.
 - 3. Apply a bead of multipurpose construction adhesive to back of shelf cleats before installing.
 - 4. Remove adhesive that is squeezed out after fastening shelf cleats in place.
- B. Install shelf brackets according to manufacturer's written instructions, spaced not more than 32 inches o.c. Fasten to framing members, blocking, or metal backing, or use toggle bolts or hollow wall anchors.
- C. Install standards for adjustable shelf supports according to manufacturer's written instructions. Fasten to framing members, blocking, or metal backing, or use toggle bolts or hollow wall anchors. Space fasteners not more than 12 inches o.c.
- D. Install standards for adjustable shelf brackets according to manufacturer's written instructions, spaced not more than 36 inches o.c. and within 6 inches of ends of shelves. Fasten to framing members, blocking, or metal backing, or use toggle bolts or hollow wall anchors.
- E. Cut shelves to neatly fit openings with only enough gap to allow shelves to be removed and reinstalled.
 - 1. Install shelves, fully seated on cleats, brackets, and supports.
 - 2. Fasten shelves to cleats with finish nails or trim screws, set flush.
 - 3. Fasten shelves to brackets to comply with bracket manufacturer's written instructions.
- F. Install rod flanges for rods as indicated.

1. Fasten to shelf cleats, framing members, blocking, or metal backing, or use toggle bolts or hollow wall anchors.
2. Install rods in rod flanges.

3.7 ADJUSTING

- A. Replace interior finish carpentry that is damaged or does not comply with requirements.
 1. Interior finish carpentry may be repaired or refinished if work complies with requirements and shows no evidence of repair or refinishing.
- B. Adjust joinery for uniform appearance.

3.8 CLEANING

- A. Clean interior finish carpentry on exposed and semiexposed surfaces.
- B. Restore damaged or soiled areas and touch up factory-applied finishes if any.

3.9 PROTECTION

- A. Protect installed products from damage from weather and other causes during construction.
- B. Remove and replace finish carpentry materials that are wet, moisture damaged, and mold damaged.
 1. Indications that materials are wet or moisture damaged include, but are not limited to, discoloration, sagging, or irregular shape.
 2. Indications that materials are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

END OF SECTION 062023

SECTION 064116 - PLASTIC-LAMINATE-CLAD ARCHITECTURAL CABINETS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Plastic-laminate-clad architectural cabinets.
2. Cabinet hardware and accessories.
3. Wood furring, blocking, shims, and hanging strips for installing plastic-laminate-clad architectural cabinets that are not concealed within other construction.

B. Related Requirements:

1. Section 061000 "Rough Carpentry" for wood furring, blocking, shims, and hanging strips required for installing cabinets that are concealed within other construction before cabinet installation.
2. Section 066116 "Solid Surfacing Fabrications" for solid surface counters and fabrications at plastic-laminate-clad cabinetry.
3. Section 064123 "Architectural Bamboo Plywood Casework" for plastic laminate applied to notched bamboo casework.

1.3 COORDINATION

- A. Coordinate sizes and locations of framing, blocking, furring, reinforcements, and other related units of Work specified in other Sections to support loads imposed by installed and fully loaded cabinets.

1.4 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.6 ACTION SUBMITTALS

- A. Product Data: For each type of product.

1. Include data for fire-retardant treatment from chemical-treatment manufacturer and certification by treating plant that treated materials comply with requirements.

B. Sustainable Design Submittals:

1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
2. Product Certificates: For regional materials, indicating location of material manufacturer and point of extraction, harvest, or recovery for each raw material. Include distance to Project and cost for each regional material.
3. Product Data: For adhesives, indicating that product contains no urea formaldehyde.
4. Product Data: For composite wood products, indicating that product contains no urea formaldehyde.

C. Shop Drawings:

1. Include plans, elevations, sections, and attachment details.
2. Show large-scale details.
3. Show locations and sizes of furring, blocking, and hanging strips, including concealed blocking and reinforcement specified in other Sections.
4. Show locations and sizes of cutouts and holes for items installed in plastic-laminate architectural cabinets.

D. Samples for Initial Selection: For each type of exposed finish.

E. Samples for Verification: For the following:

1. Plastic Laminates: 8 by 10 inches, for each type, color, pattern, and surface finish required.
 - a. Provide one sample applied to core material with specified edge material applied to one edge.
2. Thermally Fused Laminate (TFL) Panels: 8 by 10 inches, for each color, pattern, and surface finish.
 - a. Provide edge banding on one edge.
3. Corner Pieces:
 - a. Cabinet-front frame joints between stiles and rails and at exposed end pieces, 18 inches high by 18 inches wide by 6 inches deep.
 - b. Miter joints for standing trim.
4. Exposed Cabinet Hardware and Accessories: One full-size unit for each type and finish.

1.7 INFORMATIONAL SUBMITTALS

A. Product Certificates: For each type of product.

1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.9 DELIVERY, STORAGE, AND HANDLING

- A. Do not deliver cabinets until painting and similar finish operations that might damage architectural cabinets have been completed in installation areas. Store cabinets in installation areas or in areas where environmental conditions comply with requirements specified in "Field Conditions" Article.

1.10 FIELD CONDITIONS

- A. Environmental Limitations without Humidity Control: Do not deliver or install cabinets until building is enclosed, wet-work is complete, and HVAC system is operating and maintaining temperature and relative humidity at levels planned for building occupants during the remainder of the construction period.
- B. Environmental Limitations with Humidity Control: Do not deliver or install cabinets until building is enclosed, wet-work is complete, and HVAC system is operating and maintaining temperature between 60 and 90 deg F and relative humidity between 25 and 55 percent during the remainder of the construction period.
- C. Field Measurements: Where cabinets are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication, and indicate measurements on Shop Drawings. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
 - 1. Locate concealed framing, blocking, and reinforcements that support cabinets by field measurements before being enclosed/concealed by construction, and indicate measurements on Shop Drawings.

PART 2 - PRODUCTS

2.1 PLASTIC-LAMINATE-CLAD ARCHITECTURAL CABINETS

- A. Quality Standard: Unless otherwise indicated, comply with the Architectural Woodwork Standards for grades of cabinets indicated for construction, finishes, installation, and other requirements.
 - 1. The Contract Documents contain requirements that are more stringent than the referenced quality standard. Comply with requirements of Contract Documents in addition to those of the referenced quality standard.
- B. Architectural Woodwork Standards Grade: Custom.
- C. Type of Construction: Frameless.
- D. Door and Drawer-Front Style: Flush overlay.

- E. High-Pressure Decorative Laminate: NEMA LD 3, grades as indicated or if not indicated, as required by quality standard.
 - 1. Basis-of-Design Product: Subject to compliance with requirements, provide plastic laminate from Formica Corporation, of comparable products by one of the following:
 - a. Abet Laminati Inc.
 - b. Wilsonart LLC.
 - c. Or approved equal.
- F. Laminate Cladding for Exposed Surfaces:
 - 1. Horizontal Surfaces: Grade HGS.
 - 2. Postformed Surfaces: Grade HGP.
 - 3. Vertical Surfaces: Grade VGS.
 - 4. Edges: Grade HGS.
 - 5. Pattern Direction: As indicated.
- G. Materials for Semiexposed Surfaces:
 - 1. Surfaces Other Than Drawer Bodies: High-pressure decorative laminate, NEMA LD 3, Grade VGS.
 - a. Edges of Plastic-Laminate Shelves: PVC tape, 0.018-inch minimum thickness, matching laminate in color, pattern, and finish.
 - b. For semiexposed backs of panels with exposed plastic-laminate surfaces, provide surface of high-pressure decorative laminate, NEMA LD 3, Grade VGS.
 - 2. Drawer Sides and Backs: Thermally fused laminate panels with PVC or polyester edge banding.
 - 3. Drawer Bottoms: Thermally fused laminate panels.
- H. Dust Panels: 1/4-inch plywood or tempered hardboard above compartments and drawers unless located directly under tops.
- I. Concealed Backs of Panels with Exposed Plastic-Laminate Surfaces: High-pressure decorative laminate, NEMA LD 3, Grade BKL.
- J. Drawer Construction: Fabricate with exposed fronts fastened to subfront with mounting screws from interior of body.
 - 1. Join subfronts, backs, and sides with glued rabbeted joints supplemented by mechanical fasteners or glued dovetail joints.
- K. Colors, Patterns and Finishes: PL1, PL2 and PL11, as indicated on the drawings.

2.2 WOOD MATERIALS

- A. Wood Products: Provide materials that comply with requirements of referenced quality standard for each type of architectural cabinet and quality grade specified unless otherwise indicated.

1. Wood Moisture Content: 5 to 10 percent.

2.3 CABINET HARDWARE AND ACCESSORIES

- A. General: Provide cabinet hardware and accessory materials associated with architectural cabinets as described in this article.
- B. Frameless Concealed Hinges (European Type): ANSI/BHMA A156.9, B01602, 100 degrees of opening.
- C. Catches: Magnetic catches, ANSI/BHMA A156.9, B03141.
- D. Adjustable Shelf Standards and Supports: ANSI/BHMA A156.9, B04071; with shelf rests, B04081.
- E. Shelf Rests: ANSI/BHMA A156.9, B04013; metal.
- F. Drawer Slides: ANSI/BHMA A156.9.
 1. Heavy-Duty (Grade 1HD-100 and Grade 1HD-200): Side mount.
 - a. Type: Full extension.
 - b. Material: Galvanized steel ball bearing slides.
- G. Door Locks: ANSI/BHMA A156.11, E07121.
- H. Drawer Locks: ANSI/BHMA A156.11, E07041.
- I. Door and Drawer Silencers: ANSI/BHMA A156.16, L03011.
- J. Grommets for Cable Passage: 2 3/8-inch OD, with lockable lid, or flip-top cap with slot for wire passage.
 1. Basis-of-Design Product: Subject to compliance with requirements, provide Hafele; Model 631.31.012, or comparable product by one of the following:
 - a. Mockett.
 - b. Richelieu.
 - c. Or approved equal.
 2. Acceptable finishes for Grommets: Stainless-Steel effect, or Satin Chrome.
- K. Exposed Hardware Finishes: For all other exposed hardware, provide finish that complies with ANSI/BHMA A156.18 for ANSI/BHMA finish number indicated.
 1. Satin Stainless Steel: ANSI/BHMA 630.
- L. For concealed hardware, provide manufacturer's standard finish that complies with product class requirements in ANSI/BHMA A156.9.

2.4 MISCELLANEOUS MATERIALS

- A. Furring, Blocking, Shims, and Hanging Strips: , kiln-dried to less than 15 percent moisture content.
- B. Anchors: Select material, type, size, and finish required for each substrate for secure anchorage. Provide metal expansion sleeves or expansion bolts for post-installed anchors. Use nonferrous-metal or hot-dip galvanized anchors and inserts at inside face of exterior walls and at floors.
- C. Adhesives: Do not use adhesives that contain urea formaldehyde.

2.5 FABRICATION

- A. Fabricate architectural cabinets to dimensions, profiles, and details indicated.
- B. Complete fabrication, including assembly and hardware application, to maximum extent possible before shipment to Project site. Disassemble components only as necessary for shipment and installation. Where necessary for fitting at site, provide ample allowance for scribing, trimming, and fitting.
 - 1. Notify Commissioner seven days in advance of the dates and times architectural cabinet fabrication will be complete.
 - 2. Trial fit assemblies at manufacturer's shop that cannot be shipped completely assembled. Install dowels, screws, bolted connectors, and other fastening devices that can be removed after trial fitting. Verify that various parts fit as intended and check measurements of assemblies against field measurements before disassembling for shipment.
- C. Shop-cut openings to maximum extent possible to receive hardware, appliances, electrical work, and similar items. Locate openings accurately and use templates or roughing-in diagrams to produce accurately sized and shaped openings. Sand edges of cutouts to remove splinters and burrs.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 PREPARATION

- A. Before installation, condition cabinets to humidity conditions in installation areas for not less than 72 hours.

3.3 INSTALLATION

- A. Architectural Woodwork Standards Grade: Install cabinets to comply with quality standard grade of item to be installed.
- B. Assemble cabinets and complete fabrication at Project site to extent that it was not completed in the shop.

- C. Anchor cabinets to anchors or blocking built in or directly attached to substrates. Secure with wafer-head cabinet installation screws.
- D. Install cabinets level, plumb, and true in line to a tolerance of 1/8 inch in 96 inches using concealed shims.
 - 1. Scribe and cut cabinets to fit adjoining work, refinish cut surfaces, and repair damaged finish at cuts.
 - 2. Install cabinets without distortion so doors and drawers fit openings and are accurately aligned. Adjust hardware to center doors and drawers in openings and to provide unencumbered operation. Complete installation of hardware and accessory items as indicated.
 - 3. Fasten wall cabinets through back, near top and bottom, and at ends not more than 16 inches o.c. with No. 10 wafer-head screws sized for not less than 1-1/2-inch penetration into wood framing, blocking, or hanging strips or with No. 10 wafer-head sheet metal screws through metal backing or metal framing behind wall finish.

3.4 ADJUSTING AND CLEANING

- A. Repair damaged and defective cabinets, where possible, to eliminate functional and visual defects. Where not possible to repair, replace architectural cabinets. Adjust joinery for uniform appearance.
- B. Clean, lubricate, and adjust hardware.
- C. Clean cabinets on exposed and semiexposed surfaces.

END OF SECTION 064116

SECTION 064123 - ARCHITECTURAL BAMBOO PLYWOOD CASEWORK

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. This Section includes:

1. Architectural Bamboo Plywood Casework.
2. Casework hardware and accessories.
3. Miscellaneous materials.
4. Casework Staining and Finishing.

- B. Related Requirements:

1. Section 061000 "Rough Carpentry" for wood furring, blocking, shims, and hanging strips required for installing cabinets that are concealed within other construction before cabinet installation.
2. Section 096513 "Resilient Base and Accessories" for inset rubber bases at notched panels.
3. Section 066116 "Solid Surfacing Fabrications" for countertops and side panels.

1.3 COORDINATION

- A. Coordinate sizes and locations of framing, blocking, furring, reinforcements, and other related units of Work specified in other Sections to support loads imposed by installed and fully loaded casework.

1.4 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.6 ACTION SUBMITTALS

- A. Product Data:

1. Architectural bamboo plywood.

2. Cabinet hardware and accessories.
3. Miscellaneous materials.
4. Staining and Finishing:
 - a. Provide Process descriptions and product data for each component used for staining and finishing of casework.

B. Sustainable Design Submittals:

1. Product Data: For adhesives, indicating that product contains no urea formaldehyde.

C. Shop Drawings: For architectural bamboo plywood casework.

1. Include plans, elevations, sections, and attachments to other Work.
2. Show large scale details.
3. Show locations and sizes of furring, blocking, and hanging strips, including concealed blocking and reinforcement specified in other Sections.
4. Show locations and sizes of cutouts and holes for items installed in architectural cabinets.
5. Show direction of grain.

D. Samples for Initial Selection: For each type of exposed finish.

E. Samples for Verification: For the following:

1. Architectural bamboo plywood: Not less than 6 inches by 6 inches, with manufacturer label, and with each specified finish and stain on one side.
2. Exposed Cabinet Hardware and Accessories: One full-size unit for each type of finish.

1.7 QUALITY ASSURANCE

A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

B. Mockups: Build mockups to verify selections made under Sample submittals, to demonstrate aesthetic effects, and to set quality standards for materials and execution.

1. Build casework mockups as identified on the drawings.
2. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.8 DELIVERY, STORAGE, AND HANDLING

A. Do not deliver casework until painting and similar finish operations that might damage architectural casework have been completed in installation areas. Store casework as recommended by manufacturer, and in areas where environmental conditions comply with requirements specified in "Field Conditions" Article.

1.9 FIELD CONDITIONS

- A. Environmental Limitations with Humidity Control: Do not deliver or install casework until building is enclosed, wet-work is complete, and HVAC system is operating for at least 7 days to allow materials to acclimate to project conditions, and maintaining temperature and humidity between 50 and 80 deg F (16 and 32 deg C) and relative humidity between 25 and 50 percent during the remainder of the construction period.
 - 1. Do not install casework under environmental conditions outside manufacturer's recommended limits.
- B. Field Measurements: Where cabinets are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication, and indicate measurements on Shop Drawings. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
 - 1. Locate concealed framing, blocking, and reinforcements that support cabinets by field measurements before being enclosed/concealed by construction, and indicate measurements on Shop Drawings.

PART 2 - PRODUCTS

2.1 SOURCE LIMITATIONS

- A. Architectural bamboo plywood products: Provide architectural bamboo plywood products from single manufacturer to ensure uniformity.
- B. Woodworking firm: Engage a qualified woodworking firm to assume responsibility for production of all architectural bamboo plywood casework and cabinets.

2.2 CABINETS, GENERAL

- A. Quality Standard: Unless otherwise indicated, comply with the Architectural Woodwork Standards for grades of architectural cabinets indicated for construction, finishes, installation, and other requirements.
 - 1. Unless otherwise indicated, comply with the Architectural Woodwork Standards for grades of architectural cabinets indicated for construction, finishes, installation, and other requirements.
- B. Architectural Woodwork Standards Grade: Custom.
- C. Type of construction: Frameless.
- D. Door and Drawer-Front Style: Flush overlay.
- E. Bamboo plywood grain direction: As indicated.

2.3 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide architectural bamboo plywood products by one of the following:
1. Cali.
 2. Lamboo.
 3. Smith & Fong Co.
 4. Or approved equal.

2.4 MATERIALS

- A. Architectural Bamboo Plywood:
1. Species: Moso (*Phyllostachys Pubescens*) bamboo.
 2. Plywood grade: AA.
 3. Surface: Sanded to 180 grit.
 4. Thicknesses as follows, and at locations indicated on the drawings:
 - a. 3/4 inch thick, 3-ply cross lamination.
 - b. 1/2 inch thick, 3-ply cross lamination.
 - c. 1/4 inch thick, 1-ply solid lamination.
 5. Grain: Natural edge grain.
 6. Finish: Unfinished on both sides from manufacturer.
 - a. Shop stained and finished as described in "Staining and Finishing" Article.
 7. Class E84: Surface burning, Class C.
 8. Panel dimensions: 48 inch by 96 inch.

2.5 HARDWARE AND ACCESSORIES

- A. Frameless Concealed Hinges (European Type): ANSI/BHMA A156.9, B01602, 100 degree of opening.
- B. Back-Mounted Pulls: ANSI/BHMA A156.9, B02011.
- C. Wire Pulls: Back mounted solid metal 5 inches long, 2 1/2 inches deep, and 5/16 inch in diameter.
- D. Catches: Magnetic catches, ANSI/BHMA A156.9, B03141
- E. Adjustable Shelf Standards and Supports: ANSI/BHMA A156.9, B04071; with shelf rests, B04081.
- F. Shelf Rests: ANSI/BHMA A156.9, B04013, metal.
- G. Drawer Slides: ANSI/BHMA A156.9.

1. Heavy-Duty (Grade 1HD-100 and Grade 1HD-200): side mount.
 - a. Type: Full extension.
 - b. Material: Galvanized steel ball bearing.
2. Pencil drawers not more than 3 inches (75 mm) high and not more than 24 inches (600 mm) wide, provide 50 lb (22.7 kg) load capacity.
3. General purpose drawers more than 3 inches (75 mm) high, but not more than 6 inches (150 mm) high and not more than 24 inches (600 mm) wide, provide 75 lb (34 kg) load capacity.
4. File drawers more than 6 inches (150 mm) high or more than 24 inches (600 mm) wide, provide 100 lb (45 kg) load capacity.
5. File drawers more than 6 inches (150 mm) high or more than 24 inches (600 mm) wide, provide 100 lb (45 kg) load capacity.
6. Lateral file drawers more than 6 inches (150 mm) high and more than 30 inches (762 mm) wide, provide 200 lb (90.7 kg) load capacity.

H. Door Locks: ANSI/BHMA A156.11, E07121.

I. Drawer Locks: ANSI/BHMA A156.11, E07041.

J. Door and Drawer Silencers: ANSI/BHMA A156.16, L03011.

K. Grommets for Cable Passage: 2-3/8 inch OD, with lockable lid, or flip-top cap with slot for wire passage.

1. Basis-of-Design Product: Subject to compliance with requirements, provide Hafele; Model 631.31.012, or comparable product by one of the following:
 - a. Mockett.
 - b. Richelieu.
 - c. Or approved equal.
2. Acceptable finishes for Grommets: Stainless-steel effect, or Satin Chrome.

L. Exposed Hardware Finishes: For all other exposed hardware, provide finish that complies with ANSI/BHMA A156.18 for BHMA finish number indicated.

1. Satin Stainless Steel: ANSI/BHMA 630.

M. For concealed hardware, provide manufacturer's standard finish that complies with product class requirements in ANSI/BHMA A156.9.

N. U brackets: Continuous brackets for bottom panel support; type 304 stainless steel, 8 gauge min. size as indicated.

2.6 MISCELLANEOUS MATERIALS

A. Furring, Blocking, Shims, and Hanging Strips: Softwood or hardwood lumber, kiln-dried to less than 15 percent moisture content.

- B. Anchors: Select material, type, size, and finish required for each substrate for secure anchorage. Provide metal expansion sleeves or expansion bolts for post-installed anchors. Use nonferrous-metal or hot-dip galvanized anchors and inserts at inside face of exterior walls and at floors.
- C. Adhesives: Do not use adhesives that contain urea formaldehyde.

2.7 STAINING AND FINISHING

- A. Stain and finish architectural bamboo plywood casework at woodworking firm's shop as specified in this Section. Defer only final touchup, cleaning, and polishing until after installation.
- B. Staining: Architectural bamboo plywood casework to be stained to match the following:
 - 1. WD1 - Stain to match Artek -Birch Natural sample chip.
 - 2. WD2 - Stain to match TMC - Finish 116 sample chip.
- C. Finishing:
 - 1. High performance, low VOC, low-sheen finish, suitable to meet environmental requirements for a high-use interior public space, and providing high durability and scratch resistance.
- D. Commissioner to review stain and finish process and materials and approve on submitted samples and mockups before stain and finish is applied on casework.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 PREPARATION

- A. Before installation, condition cabinets to humidity conditions in installation areas for not less than 72 hours.

3.3 INSTALLATION

- A. Architectural Woodwork Standards Grade: Install cabinets to comply with quality standard grade of item to be installed.
- B. Assemble cabinets and complete fabrication at Project site to extent that it was not completed in the shop.
- C. Anchor cabinets to anchors or blocking built in or directly attached to substrates. Secure with countersunk, concealed fasteners and blind nailing. Use fine finishing nails or finishing screws for exposed fastening, countersunk and filled flush with cabinet surface.
 - 1. For shop-finished items, use filler matching finish of items being installed.

- D. Install cabinets level, plumb, and true in line to a tolerance of 1/8 inch in 96 inches (3 mm in 2400 mm) using concealed shims.
 - 1. Scribe and cut cabinets to fit adjoining work, refinish cut surfaces, and repair damaged finish at cuts.
 - 2. Install cabinets without distortion so doors and drawers fit openings and are accurately aligned. Adjust hardware to center doors and drawers in openings and to provide unencumbered operation. Complete installation of hardware and accessory items as indicated.
 - 3. Fasten wall cabinets through back, near top and bottom, and at ends not more than 16 inches (400 mm) o.c. with No. 10 wafer-head screws sized for not less than 1-1/2-inch (38-mm) penetration into wood framing, blocking, or hanging strips or No. 10 wafer-head sheet metal screws through metal backing or metal framing behind wall finish.
 - 4. Shop Finishes: Touch up finishing after installation of architectural casework. Fill nail holes with matching filler.

3.4 ADJUSTING AND CLEANING

- A. Repair damaged and defective casework, where possible, to eliminate functional and visual defects. Where not possible to repair, replace casework. Adjust joinery for uniform appearance.
- B. Clean, lubricate, and adjust hardware.
- C. Clean cabinets on exposed and semiexposed surfaces. Touch up finishes to restore damaged or soiled areas.

3.5 PROTECTION

- A. Cover casework with material as approved by architectural bamboo plywood manufacturer.
 - 1. Do not cover with non-breathable sheet or film.
- B. Maintain covering throughout remainder of construction.

END OF SECTION 064123

SECTION 066116 - SOLID SURFACING FABRICATIONS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Solid surface material countertops, side panels, and casework surrounds as indicated on the drawings.
2. Solid surface material apron fronts.
3. Solid surface interior sills at storefront windows.

B. Related Requirements:

1. Section 064123 "Architectural Bamboo Plywood Casework" and for related bamboo casework.
2. Section 064116 "Plastic-Laminate-Clad Architectural Cabinets" for related plastic laminate-clad casework.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For solid surface materials.

B. Sustainable Design Submittals:

1. Product Data: For adhesives, indicating VOC content.
2. Product Data: For composite wood products, indicating that product contains no urea formaldehyde.

- C. Shop Drawings: For solid surface fabrications. Show materials, finishes, edge and backsplash profiles, methods of joining, and cutouts for plumbing fixtures.

1. Show locations and details of joints.
2. Show direction of directional pattern, if any.

- D. Samples for Initial Selection: For each type of material exposed to view.

- E. Samples for Verification: For the following products:

1. Solid Surface material, 6 inches square in each specified color.
2. One full-size solid surface material countertop, with front edge, 8 by 10 inches , of construction and in configuration specified.

1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For fabricator.

1.6 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For solid surface material countertops to include in maintenance manuals. Include Product Data for care products used or recommended by Installer and names, addresses, and telephone numbers of local sources for products.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Fabricator Qualifications: Shop that employs skilled workers who custom-fabricate solid surface fabrications similar to that required for this Project, and whose products have a record of successful in-service performance.
- C. Installer Qualifications: Fabricator of fabrications.
- D. Mockups: Build mockups to demonstrate aesthetic effects and to set quality standards for fabrication and execution.
 1. Build mockup as indicated on Drawings.
 2. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.8 FIELD CONDITIONS

- A. Field Measurements: Verify dimensions of countertops by field measurements after base cabinets are installed but before countertop fabrication is complete.

1.9 COORDINATION

- A. Coordinate locations of utilities that will penetrate countertops or backsplashes.

PART 2 - PRODUCTS

2.1 SOLID SURFACE MATERIALS (SS1, SS2, AND SS3)

- A. Solid Surface Material: Homogeneous-filled plastic resin complying with ISFA 2-01.
1. Manufacturers: Subject to compliance with requirements, provide E. I. du Pont de Nemours and Company; Corian, or comparable products by one of the following:
 - a. Avonite Surfaces.
 - b. Formica Corporation.
 - c. Wilsonart LLC.
 - d. Or approved equal.
 2. Type: Provide Standard type unless Special Purpose type is indicated.
 3. Colors and Patterns: As indicated on the drawings.
- B. Composite Wood Products: Products shall be made without urea formaldehyde.

2.2 FABRICATION

- A. Fabricate countertops and other surrounds as shown on the drawings according to solid surface material manufacturer's written instructions and to the AWI/AWMAC/WI's "Architectural Woodwork Standards."
1. Grade: Custom.
- B. Configuration:
1. Front: Profiles vary, as indicated on the drawings. Straight, slightly eased at top.
- C. Countertops:
1. 1/2-inch- thick, solid surface material with front edge built up with same material.
- D. Fabricate tops with shop-applied edges unless otherwise indicated. Comply with solid surface material manufacturer's written instructions for adhesives, sealers, fabrication, and finishing.
1. Fabricate with loose backsplashes for field assembly.
- E. Joints:
1. Fabricate countertops in sections for joining in field.
- F. Cutouts and Holes:
1. Prepare countertops in shop for field cutting openings as indicated. Mark tops for cutouts and drill holes at corners of cutout locations. Make corner holes of largest radius practical.

2.3 INSTALLATION MATERIALS

- A. Adhesive: Product recommended by solid surface material manufacturer.
 - 1. Adhesives shall have a VOC content of 70 g/L or less.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates to receive solid surface material countertops, side panels, and window sills and conditions under which fabrications will be installed, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of fabrications.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Install countertops level to a tolerance of 1/8 inch in 8 feet, 1/4 inch maximum. Do not exceed 1/64-inch difference between planes of adjacent units.
- B. Fasten countertops by screwing through corner blocks of base units into underside of countertop. Predrill holes for screws as recommended by manufacturer. Align adjacent surfaces and, using adhesive in color to match countertop, form seams to comply with manufacturer's written instructions. Carefully dress joints smooth, remove surface scratches, and clean entire surface.
- C. Bond joints with adhesive and draw tight as countertops are set. Mask areas of countertops adjacent to joints to prevent adhesive smears.
 - 1. Clamp units to temporary bracing, supports, or each other to ensure that countertops are properly aligned and joints are of specified width.
- D. Install backsplashes and end splashes by adhering to wall and countertops with adhesive. Mask areas of countertops and splashes adjacent to joints to prevent adhesive smears.
- E. Install aprons to backing and countertops with adhesive. Mask areas of countertops and splashes adjacent to joints to prevent adhesive smears. Fasten by screwing through backing. Predrill holes for screws as recommended by manufacturer.
- F. Complete cutouts not finished in shop. Mask areas of countertops adjacent to cutouts to prevent damage while cutting. Make cutouts to accurately fit items to be installed, and at right angles to finished surfaces unless beveling is required for clearance. Ease edges slightly to prevent snipping.

- G. Apply sealant to gaps at walls; use non-staining sealant type as recommended by solid surface manufacturer.

END OF SECTION 066116

SECTION 066400 - PLASTIC PANELING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Plastic sheet paneling.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Sustainable Design Submittals:
 - 1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
 - 2. Product Data: For adhesives, indicating VOC content.
 - 3. Product Data: For sealants, indicating VOC content.
- C. Samples: For plastic paneling, in manufacturer's standard sizes.

1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.6 PROJECT CONDITIONS

- A. Environmental Limitations: Do not deliver or install plastic paneling until spaces are enclosed and weathertight and temporary HVAC system is operating and maintaining ambient temperature and humidity conditions at occupancy levels during the remainder of the construction period.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Source Limitations: Obtain plastic paneling and trim accessories from single manufacturer.

2.2 SOURCE LIMITATIONS

- A. Obtain plastic paneling and trim accessories from single manufacturer.

2.3 PLASTIC SHEET PANELING

- A. Glass-Fiber-Reinforced Plastic Paneling: Gelcoat-finished, glass-fiber-reinforced plastic panels complying with ASTM D 5319.
 - 1. Basis-of-Design Product: Subject to compliance with requirements, provide Panolam Industries Inc.; FRP Panels or comparable product by one of the following:
 - a. Crane Composites, Inc.
 - b. Marlite.
 - c. Or approved equal.
 - 2. Surface-Burning Characteristics: As follows when tested by a qualified testing agency in accordance with ASTM E 84. Identify products with appropriate markings of applicable testing agency.
 - a. Flame-Spread Index: 25 or less.
 - b. Smoke-Developed Index: 450 or less.
 - 3. Nominal Thickness: Not less than 0.09 inch.
 - 4. Surface Finish: Molded pebble texture .
 - 5. Color: White.

2.4 ACCESSORIES

- A. Trim Accessories: Manufacturer's standard one-piece vinyl extrusions designed to retain and cover edges of panels. Provide division bars, inside corners, and caps as needed to conceal edges.
 - 1. Color: Match panels.
- B. Exposed Fasteners: Nylon drive rivets recommended by panel manufacturer.
- C. Concealed Mounting Splines: Continuous, H-shaped aluminum extrusions designed to fit into grooves routed in edges of factory-laminated panels and to be fastened to substrate.
- D. Adhesive: As recommended by plastic paneling manufacturer.

1. Adhesives shall have a VOC content of 50 g/L or less.
- E. Sealant: Mildew-resistant, single-component, neutral-curing silicone sealant recommended by plastic paneling manufacturer and complying with requirements in Section 079200 "Joint Sealants."
 1. Sealant shall have a VOC content of 250 g/L or less.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. Remove wallpaper, vinyl wall covering, loose or soluble paint, and other materials that might interfere with adhesive bond.
- B. Prepare substrate by sanding high spots and filling low spots as needed to provide flat, even surface for panel installation.
- C. Clean substrates of substances that could impair adhesive bond, including oil, grease, dirt, and dust.
- D. Condition panels by unpacking and placing in installation space before installation according to manufacturer's written recommendations.
- E. Lay out paneling before installing. Locate panel joints so that trimmed panels at corners are not less than 12 inches wide.
 1. Mark plumb lines on substrate at panel joint locations for accurate installation.
 2. Locate panel joints to allow clearance at panel edges according to manufacturer's written instructions.

3.4 INSTALLATION

- A. Install plastic paneling according to manufacturer's written instructions.
- B. Install panels in a full spread of adhesive.

- C. Install panels with fasteners. Layout fastener locations and mark on face of panels so that fasteners are accurately aligned.
 - 1. Drill oversized fastener holes in panels and center fasteners in holes.
 - 2. Apply sealant to fastener holes before installing fasteners.
- D. Install trim accessories with adhesive and nails or staples.
- E. Fill grooves in trim accessories with sealant before installing panels, and bed inside corner trim in a bead of sealant.
- F. Maintain uniform space between panels and wall fixtures. Fill space with sealant.
- G. Maintain uniform space between adjacent panels and between panels and floors, ceilings, and fixtures. Fill space with sealant.
- H. Remove excess sealant and smears as paneling is installed. Clean with solvent recommended by sealant manufacturer and then wipe with clean dry cloths until no residue remains.

END OF SECTION 066400

SECTION 068313 - RESIN COMPOSITE PANELS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. This Section includes: Resin Composite Panels.
- B. Related Requirements:
 - 1. Section 092900 "Gypsum Board" for gypsum backing board on which resin composite panels are adhered to.
 - 2. Section 099124 "Interior Painting (MPI Standards)" for paint at exposed face of gypsum board backup wall.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data, for the following:
 - 1. Each type of resin composite panel product.
 - 2. Adhesive tape materials.
 - 3. Metal edge strips.
- B. Sustainable Design Submittals:
 - 1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
- C. Shop Drawings: Show installation details of resin panel products.
 - 1. Include plans, elevations, sections, and details drawn to scale, and attachments to other Work.
- D. Samples:
 - 1. For each resin composite panel product, 4 inch x 4 inch minimum, in each specified color, texture, thickness and finish.

2. Edge strips. Minimum 4 inch length, in specified finish.
3. Adhesive tape, minimum 6 inch length.

1.5 CLOSEOUT SUBMITTALS

- A. Maintenance Data: Manufacturer's instructions on cleaning panels, to be included in maintenance manuals.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Mockup: Build mockup to verify selections made under Sample submittals and to demonstrate aesthetic effects and set quality standards for materials and execution.
 1. Mockup area to be selected by Commissioner and to incorporate painted gypsum board, a corner condition, and metal edge strip.
 2. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

PART 2 - PRODUCTS

2.1 RESINOUS WALL PANELS (WP1 and WP2)

- A. Interlayer resinous panel system formulated from co-polyester resin, and incorporating a minimum of 40 percent pre-consumer recycled content; Panels are directly adhered to gypsum board substrate; Available in a variety of standard interlayer color, pattern, texture and surface finishes, for interior applications.

2.2 MANUFACTURERS

- A. Basis-of-Design Product: Subject to compliance with requirements, provide 3Form; Varia Panels, or comparable product by one of the following:
 1. Lumicor.
 2. Nova Display.
 3. Or approved equal.
- B. Panel Description:
 1. Thickness: 1/4 inch nominal. (Minimum allowance gauge- 0.196 inch, maximum allowance range: 0.306 inch.)
 2. Finish: As indicated on the drawings.
 3. Color: As indicated on the drawings.
 4. Panel dimensions: As indicated on the drawings.
 5. Panel edge treatment: Mitered edges, as indicated on the drawings.
 6. Recycled content: Minimum 40 percent pre-consumer recycled content.

2.3 ACCESSORIES

- A. Metal Edge Strips: Angle or L-shaped, Profile as indicated on the drawings, metallic or combination of metal and PVC or neoprene base, designed specifically for vertical and horizontal edge applications; exposed-edge material in finishes as indicated on the drawings.
- B. Adhesive Tape: High-strength double acrylic foam tape resistant to cold, UV light, temperature cycling, moisture and solvents.
 - 1. Tape thickness: As recommended in writing by resin panel manufacturer.
 - 2. Tape width: As recommended in writing by resin panel manufacturer.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Coordinate locations of exposed gypsum board to be painted at gaps between edges of resin panels.
- C. Proceed with installation only after unsatisfactory conditions have been corrected, and paint applied at gypsum board.

3.3 INSTALLATION

- A. Install resin composite panels using adhesive materials and methods as approved in writing by resin panel manufacturer.

3.4 CLEANING

- A. Replace scratched, chipped or otherwise damaged panels.

END OF SECTION 068313

SECTION 072100 - THERMAL INSULATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Mineral-wool blanket insulation.
2. Mineral-wool board insulation.

B. Related Requirements:

1. Section 072600 "Vapor Retarders" for vapor retarder installed between mineral-wool blanket and mineral-wool wool board insulation.
2. Section 072726 "Fluid-Applied Membrane Air Barriers" for air barrier installed between mineral-wool board insulation and existing masonry perimeter wall.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

A. Product Data: For the following:

1. Mineral-wool blanket insulation.
2. Mineral-wool board insulation.

B. Sustainable Design Submittals:

1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
2. Product Data: For adhesives, indicating VOC content.

1.5 INFORMATIONAL SUBMITTALS

- A. Product Test Reports: For each product, for tests performed by a qualified testing agency.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Protect insulation materials from physical damage and from deterioration due to moisture, soiling, and other sources. Store inside and in a dry location. Comply with manufacturer's written instructions for handling, storing, and protecting during installation.

PART 2 - PRODUCTS

2.1 MINERAL-WOOL BLANKET INSULATION

- A. Recycled Content: Postconsumer recycled content plus one-half of preconsumer recycled content not less than 35 percent.
- B. Mineral-Wool Blanket Insulation, Unfaced: ASTM C665, Type I (blankets without membrane facing); consisting of fibers; passing ASTM E136 for combustion characteristics.
1. Basis-of-Design Product: Subject to compliance with requirements, provide ComfortBatt, as manufactured by Rockwool or comparable product by one of the following:
 - a. Johns Manville; a Berkshire Hathaway company.
 - b. Thermafiber, Inc.; an Owens Corning company.
 - c. Or approved equal.
 2. Flame-Spread Index: Not more than zero when tested in accordance with ASTM E84.
 3. Smoke-Developed Index: Not more than zero when tested in accordance with ASTM E84.
 4. Density: 2 lb/cu. ft in accordance with ASTM C167.
 5. R Value: per 1 inch at 75 deg F: 4.0 h cu. ft./Btu in accordance with ASTM C518..
 6. Labeling: Provide identification of mark indicating R-value of each piece of insulation 12 inches and wider in width.

2.2 MINERAL-WOOL BOARD INSULATION

- A. Recycled Content: Postconsumer recycled content plus one-half of preconsumer recycled content not less than 35 percent.
- B. Mineral-Wool Board Insulation, Type IVB, Unfaced: ASTM C612, Type IVB; Non-combustible, lightweight, water repellent, rigid insulation board with rigid upper surface.
1. Basis-of-Design Product: Subject to compliance with requirements, provide CavityRock, as manufactured by Rockwool or comparable product by one of the following:

- a. Johns Manville; a Berkshire Hathaway company.
 - b. Thermafiber, Inc.; an Owens Corning company.
 - c. Or approved equal.
2. Density for 2" thickness: 4.4 lb/cu. ft in accordance with ASTM C303. (128 kg/cu. m)
 3. Density for 2.5" and above thickness: 4.4 lb/cu. ft in accordance with ASTM C303.
 4. Flame-Spread Index: Not more than zero when tested in accordance with ASTM E84.
 5. Smoke-Developed Index: Not more than zero when tested in accordance with ASTM E84.
 6. Thermal Resistance: R value/1 inch at 75 degrees F: 4.3 h sq. ft/Btu in accordance to ASTM C518.
 7. Water vapor permeance: 27.2 Perm min.
 8. Moisture Sorption: 1% max. in accordance with ASTM C1104/1104M.
 9. Fungi resistance: Zero mould growth in accordance with ASTM C1338.
 10. Labeling: Provide identification of mark indicating R-value of each piece of insulation 12 inches (305 mm) and wider in width.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 PREPARATION

- A. Clean substrates of substances that are harmful to insulation, including removing projections capable of puncturing insulation or vapor retarders, or that interfere with insulation attachment.

3.3 INSTALLATION, GENERAL

- A. Comply with insulation manufacturer's written instructions applicable to products and applications.
- B. Install insulation that is undamaged, dry, and unsoiled and that has not been left exposed to ice, rain, or snow at any time.
- C. Install insulation with manufacturer's R-value label exposed after insulation is installed.
- D. Extend insulation to envelop entire area to be insulated. Fit tightly around obstructions and fill voids with insulation. Remove projections that interfere with placement.
- E. Provide sizes to fit applications and selected from manufacturer's standard thicknesses, widths, and lengths. Apply single layer of insulation units unless multiple layers are otherwise shown or required to make up total thickness or to achieve R-value.

3.4 INSTALLATION AT FACE OF EXISTING CAVITY-WALL INSULATION

- A. Foam-Plastic Board Insulation: Install pads of adhesive on face of substrate and as recommended by manufacturer.
 - 1. Fit courses of insulation between wall ties and other obstructions, with edges butted tightly in both directions, and with faces flush.
 - 2. Press units firmly against existing substrates.
 - 3. Supplement adhesive attachment of insulation by securing boards with two-piece wall ties designed for this purpose.
- B. Mineral-Wool Board Insulation: Install insulation fasteners 4 inches from each corner of board insulation, at center of board, and as recommended by manufacturer.
 - 1. Fit courses of insulation between masonry wall ties and other obstructions, with edges butted tightly in both directions, and with faces flush.
 - 2. Press units firmly against inside substrates.

3.5 INSTALLATION OF INSULATION IN FRAMED CONSTRUCTION

- A. Blanket Insulation: Install in cavities formed by framing members according to the following requirements:
 - 1. Use insulation widths and lengths that fill the cavities formed by framing members. If more than one length is required to fill the cavities, provide lengths that will produce a snug fit between ends.
 - 2. Place insulation in cavities formed by framing members to produce a friction fit between edges of insulation and adjoining framing members.
 - 3. Maintain 3-inch clearance of insulation around recessed lighting fixtures not rated for or protected from contact with insulation.
 - 4. For metal-framed wall cavities where cavity heights exceed 96 inches, support unfaced blankets mechanically and support faced blankets by taping flanges of insulation to flanges of metal studs.

3.6 INSTALLATION AT UNDERSIDE OF ROOF DECK

- A. Blanket Insulation: Friction-fit in place the blanket insulation between existing open-web steel joists and provide metal insulation strapping for additional support as recommended by insulation manufacturer.

3.7 PROTECTION

- A. Protect installed insulation from damage due to harmful weather exposures, physical abuse, and other causes.

END OF SECTION 072100

SECTION 072600 - VAPOR RETARDERS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Fire-retardant, reinforced-polyethylene vapor retarders.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data:
 - 1. Reinforced-polyethylene vapor retarders.

1.5 INFORMATIONAL SUBMITTALS

- A. Product Test Reports: For each product, for tests performed by a qualified testing agency.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Installer Qualifications: An entity thoroughly familiar with specified product and vapor retarder manufacturer's installation methods and requirements.
- C. Mockups: Provide a mock-up for evaluation of installation techniques and application workmanship, at location selected by Commissioner.
 - 1. Prior to installation of vapor retarder, mock up vapor barrier as follows to verify details and to demonstrate connections to adjoining construction elements, and other termination conditions.
 - 2. Do not proceed with remaining work until workmanship and application technique are approved by Commissioner.

3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

PART 2 - PRODUCTS

2.1 FIRE-RETARDANT, REINFORCED-POLYETHYLENE VAPOR RETARDERS

- A. Reinforced-Polyethylene Vapor Retarders: Polypropylene microfiber fleece cover with vapor-variable polyethylene copolymer membrane, and a reinforcing of polypropylene non-woven fabric, with adjustable permeance based on humidity level.

1. Basis-of-Design Product: Subject to compliance with requirements, provide Proclima; Intello Plus or comparable product by one of the following:
 - a. CertainTeed.
 - b. Siga.
 - c. Or approved equal.
2. Vapor Barrier properties:
 - a. Weight: 0.36 oz/sf.
 - b. Thickness: 16 mils.
 - c. Air Permeance: 0.00005 cfm/2 sf, per ASTM E2178
 - d. Airtightness: Less than 0.008 cfm/2 sf. at 75 Pa.
 - e. Vapor Variability:
 - 1) 0.2 perm per ASTM E96 Procedure A (dry cup).
 - 2) 6 perm per ASTM E96 Procedure B (wet cup).
 - f. Surface Burning Characteristics: Class A per ASTM E84.
 - 1) Flamespread: Zero.
 - 2) Smoke Development: 35.

2.2 ACCESSORIES

- A. Vapor-Retarder Tape: Pressure-sensitive tape of type recommended by vapor-retarder manufacturer for sealing joints and penetrations in vapor retarder.
- B. Adhesive for Vapor Retarders: Product recommended by vapor-retarder manufacturer and has demonstrated capability to bond vapor retarders securely to substrates indicated.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 PREPARATION

- A. Clean substrates of substances that are harmful to vapor retarders, including removing projections capable of puncturing vapor retarders.

3.3 INSTALLATION OF VAPOR RETARDERS ON FRAMING

- A. Place vapor retarders on side of construction indicated on Drawings, and coordinate placement with wall insulation specified in section 072100 "Thermal Insulation".
- B. Extend vapor retarders to extremities of areas to protect from vapor transmission. Secure vapor retarders in place with adhesives, vapor retarder fasteners, or other anchorage system as recommended by manufacturer. Extend vapor retarders to cover miscellaneous voids in insulated substrates, including those filled with loose-fiber insulation.
- C. Seal vertical joints in vapor retarders over framing by lapping no fewer than two studs and sealing with vapor-retarder tape according to vapor-retarder manufacturer's written instructions. Locate all joints over framing members or other solid substrates.
- D. Seal joints caused by pipes, conduits, electrical boxes, and similar items penetrating vapor retarders with vapor-retarder tape to create an airtight seal between penetrating objects and vapor retarders.
- E. Repair tears or punctures in vapor retarders immediately before concealment by work of other trades. Cover with vapor-retarder tape or another layer of vapor retarders.

3.4 PROTECTION

- A. Protect vapor retarders from damage until concealed by permanent construction.

END OF SECTION 072600

SECTION 072726 - FLUID-APPLIED MEMBRANE AIR BARRIERS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Medium-build air barriers, vapor permeable.

1.3 DEFINITIONS

- A. Air-Barrier Accessory: A transitional component of the air barrier that provides continuity.
- B. Air-Barrier Assembly: The collection of air-barrier materials and accessories applied to an opaque wall, including joints and junctions to abutting construction, to control air movement through the wall.
- C. Air-Barrier Material: A primary element that provides a continuous barrier to the movement of air.

1.4 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.
 - 1. Review air-barrier requirements and installation, special details, mockups, air-leakage and bond testing, air-barrier protection, and work scheduling that covers air barriers.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.6 ACTION SUBMITTALS

- A. Product Data: Include manufacturer's written instructions for evaluating, preparing, and treating each substrate; technical data; dry film thickness; and tested physical and performance properties of products.
 - 1. Medium-build air barriers, vapor permeable.
- B. Shop Drawings: For air-barrier assemblies.

1. Show locations and extent of air-barrier materials, accessories, and assemblies specific to Project conditions.
2. Include details for substrate joints and cracks, counterflashing strips, penetrations, inside and outside corners, terminations, and tie-ins with adjoining construction.
3. Include details of interfaces with other materials that form part of air barrier.

1.7 INFORMATIONAL SUBMITTALS

- A. Product Certificates: From air-barrier manufacturer, certifying compatibility of air barriers and accessory materials with Project materials that connect to or that come in contact with the barrier.
- B. Product Test Reports: For each air-barrier assembly, for tests performed by a qualified testing agency.

1.8 QUALITY ASSURANCE

- A. Installer Qualifications: An entity that employs installers and supervisors who are trained and approved by manufacturer.
- B. Mockups: Build mockups to set quality standards for materials and execution.
 1. Build mockup at location and of size as determined by Commissioner.
 2. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Commissioner specifically approves such deviations in writing.
 3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.9 DELIVERY, STORAGE, AND HANDLING

- A. Remove and replace liquid materials that cannot be applied within their stated shelf life.
- B. Protect stored materials from direct sunlight.

1.10 FIELD CONDITIONS

- A. Environmental Limitations: Apply air barrier within the range of ambient and substrate temperatures recommended in writing by air-barrier manufacturer.
 1. Protect substrates from environmental conditions that affect air-barrier performance.
 2. Do not apply air barrier to a damp or wet substrate or during snow, rain, fog, or mist.

PART 2 - PRODUCTS

2.1 SOURCE LIMITATIONS

- A. Obtain primary air-barrier materials and air-barrier accessories from single manufacturer.

2.2 PERFORMANCE REQUIREMENTS

- A. Air-Barrier Performance: Air-barrier assembly and seals with adjacent construction to be capable of performing as a continuous air barrier. Air-barrier assemblies to be capable of accommodating substrate movement and of sealing substrate expansion and control joints, construction material changes, penetrations, and transitions at perimeter conditions without deterioration and air leakage exceeding specified limits.
- B. Air Permeance: Maximum 0.004 cfm/sq. ft. of surface area at 1.57 lbf/sq. ft. pressure difference; ASTM E2178.
- C. Ultimate Elongation: Minimum 350 percent; ASTM D412, Die C.
- D. Adhesion to Substrate: Minimum 30 lbf/sq. in. when tested in accordance with ASTM D4541.
- E. Fire Propagation Characteristics: Passes NFPA 285 testing as part of an approved assembly.

2.3 MEDIUM-BUILD AIR BARRIERS, VAPOR PERMEABLE

- A. Medium-Build, Vapor-Permeable Air Barrier: Synthetic polymer material with an installed dry film thickness, according to manufacturer's written instructions, of 16 to 34 mils over smooth, void-free substrates.
 - 1. Basis-of-Design Product: Subject to compliance with requirements, provide Henry; Air-Bloc All Weather STPE, or comparable product by one of the following:
 - a. Carlisle Coatings & Waterproofing Inc.
 - b. W. R. Meadows, Inc.
 - c. Or approved equal.
 - 2. Vapor Permeance: Minimum 19 perms; ASTM E96/E96M, Procedure B, Water Method.

2.4 ACCESSORY MATERIALS

- A. Provide primers, transition strips, termination strips, joint reinforcing fabric and strips, joint sealants, counterflashing strips, flashing sheets and metal termination bars, termination mastic, substrate patching materials, adhesives, tapes, foam sealants, lap sealants, and other accessory materials that are recommended in writing by air-barrier manufacturer to produce a complete air-barrier assembly and that are compatible with primary air-barrier material and adjacent construction to which they may seal.
- B. Primer: Liquid waterborne primer recommended for substrate by air-barrier material manufacturer.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements and other conditions affecting performance of the Work.
 - 1. Verify that substrates are sound and free of oil, grease, dirt, excess mortar, or other contaminants.
 - 2. Verify that substrates have cured and aged for minimum time recommended in writing by air-barrier manufacturer.
 - 3. Verify that substrates are visibly dry and free of moisture. Test concrete substrates for capillary moisture by plastic sheet method in accordance with ASTM D4263.
 - 4. Verify that masonry joints are flush and completely filled with mortar.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 SURFACE PREPARATION

- A. Clean, prepare, treat, fill, and seal substrate and joints and cracks in substrate in accordance with manufacturer's written instructions and details. Provide clean, dust-free, and dry substrate for air-barrier application.
- B. Mask off adjoining surfaces not covered by air barrier to prevent spillage and overspray affecting other construction.
- C. Remove grease, oil, bitumen, form-release agents, paints, curing compounds, and other penetrating contaminants or film-forming coatings from concrete.
- D. Remove fins, ridges, mortar, and other projections and fill honeycomb, aggregate pockets, holes, and other voids in concrete with substrate-patching material.
- E. Remove excess mortar from masonry ties, shelf angles, and other obstructions.
- F. At changes in substrate plane, apply sealant or termination mastic beads at sharp corners and edges to form a smooth transition from one plane to another.
- G. Cover gaps in substrate plane and form a smooth transition from one substrate plane to another with stainless steel sheet mechanically fastened to structural framing to provide continuous support for air barrier.
- H. Bridge discontinuous wall-to-wall, deck-to-wall, and deck-to-deck joints with air-barrier accessory material that accommodates joint movement in accordance with manufacturer's written instructions and details.

3.4 INSTALLATION OF ACCESSORIES

- A. Install accessory materials in accordance with air-barrier manufacturer's written instructions and details to form a seal with adjacent construction and ensure continuity of air and water barrier.
 - 1. Coordinate the installation of air barrier with installation of roofing membrane and base flashing to ensure continuity of air barrier with roofing membrane.
 - 2. Install transition strip on roofing membrane or base flashing so that a minimum of 3 inches of coverage is achieved over each substrate.
 - 3. Unless manufacturer recommends in writing against priming, apply primer to substrates at required rate and allow it to dry.
 - 4. Apply primer to substrates at required rate and allow it to dry. Limit priming to areas that will be covered by air-barrier material on same day. Reprime areas exposed for more than 24 hours.
- B. Connect and seal exterior wall air-barrier material continuously to roofing-membrane air barrier, concrete below-grade structures, floor-to-floor construction, exterior glazing and window systems, glazed curtain-wall systems, storefront systems, exterior louvers, exterior door framing, and other construction used in exterior wall openings, using accessory materials.
- C. At end of each working day, seal top edge of strips and transition strips to substrate with termination mastic.
- D. Apply joint sealants forming part of air-barrier assembly within manufacturer's recommended application temperature ranges. Consult manufacturer when sealant cannot be applied within these temperature ranges.
- E. Wall Openings: Prime concealed, perimeter frame surfaces of windows, curtain walls, storefronts, and doors. Apply so that a minimum of 3 inches of coverage is achieved over each substrate. Maintain 3 inches of full contact over firm bearing to perimeter frames, with not less than 1 inch of full contact.
 - 1. Transition Strip: Roll firmly to enhance adhesion.
- F. Fill gaps in perimeter frame surfaces of windows, curtain walls, storefronts, and doors, and miscellaneous penetrations of air-barrier material with foam sealant.
- G. Seal strips and transition strips around masonry reinforcing or ties and penetrations with termination mastic.
- H. Seal top of through-wall flashings to air barrier with an additional 6-inch- wide, transition strip.
- I. Seal exposed edges of strips at seams, cuts, penetrations, and terminations not concealed by metal counterflashings or ending in reglets with termination mastic.
- J. Repair punctures, voids, and deficient lapped seams in strips and transition strips. Slit and flatten fishmouths and blisters. Patch with transition strips extending 6 inches beyond repaired areas in strip direction.

3.5 INSTALLATION OF PRIMARY AIR-BARRIER MATERIAL

- A. Apply air-barrier material to form a seal with strips and transition strips and to achieve a continuous air barrier in accordance with air-barrier manufacturer's written instructions and details. Apply air-barrier material within manufacturer's recommended application temperature ranges.
 - 1. Unless manufacturer recommends in writing against priming, apply primer to substrates at required rate and allow it to dry.
 - 2. Limit priming to areas that will be covered by air-barrier material on same day. Reprime areas exposed for more than 24 hours.
 - 3. Where multiple prime coats are needed to achieve required bond, allow adequate drying time between coats.
- B. Medium-Build Air Barriers: Apply continuous unbroken air-barrier material to substrates according to the following thickness. Apply an increased thickness of air-barrier material in full contact around protrusions such as masonry ties.
 - 1. Vapor-Permeable, Medium-Build Air Barrier: Total dry film thickness as recommended in writing by manufacturer to comply with performance requirements, applied in one coat. Apply additional material as needed to achieve void- and pinhole-free surface, but do not exceed thickness on which required vapor permeability is based.
- C. Do not cover air barrier until it has been tested and inspected by testing agency.
- D. Correct deficiencies in or remove air barrier that does not comply with requirements; repair substrates and reapply air-barrier components.

3.6 CLEANING AND PROTECTION

- A. Protect air-barrier system from damage during application and remainder of construction period, in accordance with manufacturer's written instructions.
 - 1. Protect air barrier from exposure to UV light and harmful weather exposure as recommended in writing by manufacturer. If exposed to these conditions for longer than recommended, remove and replace air barrier or install additional, full-thickness, air-barrier application after repairing and preparing the overexposed materials in accordance with air-barrier manufacturer's written instructions.
 - 2. Protect air barrier from contact with incompatible materials and sealants not approved by air-barrier manufacturer.
- B. Clean spills, stains, and soiling from construction that would be exposed in the completed work using cleaning agents and procedures recommended in writing by manufacturer of affected construction.
- C. Remove masking materials after installation.

END OF SECTION 072726

SECTION 074213.19 – INSULATED METAL-FACED WALL PANELS

PART 1 - GENERAL

1.1 RELATED REQUIREMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Insulated metal-faced wall panels and mounting accessories.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.
 - 1. Review conditions of existing perforated brick wall, and behind clearstory window muntin where panels are to be installed.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes.
- B. Shop Drawings: For panels installed at perforated masonry wall, and for infill panel at clearstory window: Include plans, elevations, sections, full-size details, moldings, and attachment to other work.
- C. Samples:
 - 1. For metal-faced insulated panels-with factory-applied color finishes and texture, 4 inch by 4 inch sample.
 - 2. For a typical molding profile: 6 inch long sample.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 INSULATED PANELS

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Mapes Industries; Mapes-R panels, or comparable products by one of the following:

1. Citadel.
2. Thermalite.
3. Or approved equal.

- B. Insulated Panels:

1. Laminated, metal-faced flat panels with no deviations in plane exceeding 0.8 percent of panel dimension in width or length.
 - a. Overall Panel Thickness: 1 inch nominal.
 - b. Edge: Square.
 - c. Exterior Skin: Aluminum.
 - 1) Thickness: Manufacturer's standard for finish and texture indicated.
 - 2) Finish: Standard two-coat.
 - a) Color - Standard PVDF: As selected by Commissioner from manufacturer's full range.
 - 3) Texture: Smooth.
 - 4) Backing Sheet: 0.125-inch nominal thickness, tempered hardboard
 - d. Interior Skin: Aluminum.
 - 1) Thickness: Manufacturer's standard for finish and texture indicated.
 - 2) Finish: Standard two-coat PVDF.
 - a) Color - Standard PVDF: To match exterior skin color.
 - 3) Texture: Smooth.
 - 4) Backing Sheet: 0.125-inch nominal thickness, tempered hardboard.
 - e. Thermal Insulation Core: Manufacturer's standard extruded-polystyrene board, 2.5 lbf density.
 - f. Surface-Burning Characteristics: Comply with ASTM E84; testing by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.

- 1) Flame-Spread Index: 25 or less.
 - 2) Smoke-Developed Index: 250 or less.
- g. R value: 4.68
- h. U factor: 0.21

2.2 MOUNTING ACCESSORIES

- A. Mill finish aluminum moldings including caps, one-piece and two-piece dividers for installing panels at masonry wall as indicated on the drawings.
- B. Fasteners: Manufacturer's standard corrosion-resistant, nonstaining, nonbleeding fasteners and accessories compatible with adjacent materials.
- C. Joint Sealants: Polyurethane or Silicone-based sealants as recommended by panel manufacturer for application and substrates indicated.
 1. Joint Sealant Colors: To be selected by Commissioner from manufacturer's full range.
- D. Bituminous Paint: As recommended by manufacturer for protecting aluminum against corrosion.

2.3 ALUMINUM FINISHES FOR METAL-FACED PANELS

- A. High-Performance Organic Finish, Two-Coat PVDF: Fluoropolymer finish complying with AAMA 2605 and containing not less than 70 percent PVDF resin by weight in color coat.
 1. Prepare, pretreat, and apply coating to exposed metal surfaces to comply with coating and resin manufacturers' written instructions.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine areas, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Panel surfaces to be free from defects prior to installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PANEL INSTALLATION

- A. Comply with manufacturer's written instructions.
- B. Do not install damaged components.
- C. Install panels securely and in accordance with approved shop drawings and manufacturer's instructions to allow for thermal movement and support.
- D. Seal perimeter and other joints watertight.
- E. Metal Protection:
 - 1. Where aluminum is in contact with dissimilar metals, protect against galvanic action by painting contact surfaces with materials recommended by manufacturer for this purpose or by installing nonconductive spacers.
 - 2. Where aluminum is in contact with concrete or masonry, protect against corrosion by painting contact surfaces with bituminous paint.
- F. Install components plumb and true in alignment with established lines and grades.

3.4 CLEANING

- A. Remove masking film covering panels after installation.

END OF SECTION 074213.19

SECTION 075216 - SBS MODIFIED BITUMINOUS ROOFING SYSTEM

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. This Section includes:
1. Roof flashing, membrane and all accessories to tie into existing SBS modified bituminous roof system.
 2. Walkway strips.
- B. Related Requirements:
1. Section 061000 "Rough Carpentry" for wood nailers, curbs, and blocking.

1.3 DEFINITIONS

- A. Roofing Terminology: Definitions in ASTM D1079 and glossary of NRCA's "The NRCA Roofing Manual: Membrane Roof Systems" apply to Work of this Section.

1.4 PREINSTALLATION MEETINGS

- A. Preinstallation Roofing Work Conference: Before starting the work, conduct conference at Project site:
1. Meet with Commissioner and roofing system manufacturer's representative, tie-in roof work installer and all other installers whose work interfaces with or affects the existing roof system.
 2. Review methods and procedures related to installation, including manufacturer's written instructions to maintain existing roofing warranty.
 3. Review roof observation and repair procedures after roofing work installation.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.6 ACTION SUBMITTALS

- A. Product Data: For each type of product and each type of accessory.

- B. Shop Drawings: Include plans, sections, details, and attachments to other work, including the following:
 - 1. Base flashings and membrane terminations.
 - 2. Flashing details at penetrations.

1.7 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer.

1.8 CLOSEOUT SUBMITTALS

- A. Certified statement from existing roof membrane manufacturer, stating that existing special roof system warranty has not been affected by work performed under this Section.

1.9 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Installer's qualifications: An entity meeting requirements of DDC General Conditions Section 014000 Article 1.7/C/4.

1.10 DELIVERY, STORAGE, AND HANDLING

- A. Delivery: Deliver materials in the manufacturer's original sealed and labeled containers and in quantities required to allow continuity of application.
- B. Storage: Store materials out of direct exposure to the elements. Store roll goods on a clean, flat and dry surface. All material stored on the roof overnight shall be stored on pallets. Rolls of roofing must be stored on ends. Store materials on the roof in a manner so as to preclude overloading of deck and building structure. Store materials such as solvents, adhesives and asphalt cutback products away from open flames, sparks or excessive heat. Cover all material using a breathable cover such as a canvas.
- C. Handling: Handle all materials in such a manner as to preclude damage and contamination with moisture or foreign matter. Handle rolled goods to prevent damage to edges or ends.
- D. Damaged Material: Materials damaged or stored in any manner other than stated above will be automatically rejected, and will require removal and replacement at the Contractor's expense.

1.11 FIELD CONDITIONS

- A. Weather Limitations: Proceed with installation only when existing and forecasted weather conditions permit roofing system to be installed according to manufacturer's written instructions and warranty requirements.

1.12 WARRANTY

- A. Flashing and roof tie-in work of this section must maintain existing roof system special warranty issued by manufacturer indicated in Part 2 and as indicated in Section 024119 "Selective Demolition".

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Sole-Source Product: Paradene 20/Paradene 30 FR BW roof system with Parapro 123 Flashing system, as manufactured by Siplast (to match existing roof and flashing system).
 - 1. No substitutions permitted.
- B. All components to be obtained from roof system manufacturer.

2.2 ROOF SYSTEM COMPONENTS

- A. Base and Stripping Ply:
 - 1. Where required, provide fiberglass reinforced SBS-modified sheet to match existing roof system base sheet.
- B. Finish Ply:
 - 1. Where required, provide lightweight random fibrous glass mat impregnated and coated with high quality styrene-butadiene-styrene (SBS) modified bitumen, and surfaced with ceramic granules, to match existing finish ply.
- C. Roof Insulation:
 - 1. Where required, insulation to be a closed cell, rigid polyisocyanurate foam core material, integrally laminated between glass fiber reinforced organic facers in full compliance with ASTM C 1289, Type II, Class 1, Grade 2, to match existing insulation.
- D. Gypsum Sheathing Panel:
 - 1. Where required, panel to be gypsum based, non-structural water resistant core material integrally bonded with fiberglass mats on both sides. Panel surface to be factory primed with a non-asphaltic primer.
- E. Flashing System:
 - 1. Catalyzed Acrylic Resin Flashing System: A flashing system consisting of a PMMA-based, fully reinforced membrane installed over a prepared or primed substrate. Consists of a catalyzed acrylic resin primer, basecoat and topcoat, combined with a non-woven polyester fleece.

- a. The use of the flashing system must be specifically approved in advance by roof membrane manufacturer for each application.

2.3 ACCESSORIES

- A. Membrane adhesives, flashing adhesives, primers, sealants, and fasteners as approved by roof membrane manufacturer.

2.4 WALKWAY STRIPS:

- A. Walkway Cap-Sheet Strips: Membrane roof manufacturer's proprietary protective course, composed of SBS-modified asphalt sheet reinforced with polyester fabric; granule surfaced; and as follows:
 - 1. Size: 30 inch roll.
 - 2. Layout: As indicated.
 - 3. Top Surface Granule Color: Stone Gray #701.
 - 4. Back Surface: Perforated plastic film.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements and other conditions affecting performance of the Work.

3.3 PREPARATION

- A. Clean substrate of dust, debris, moisture, and other substances detrimental to roofing installation according to roofing system manufacturer's written instructions.

3.4 INSTALLATION

- A. Install any required roof membrane and auxiliary materials to tie in to existing roofing to maintain weathertightness of transition and to not void warranty for existing roofing system.
- B. Catalyzed Acrylic Resin Flashing System: Install the liquid-applied primer and flashing system in accordance with the warranted roof membrane system manufacturer's printed installer's guidelines and other applicable written recommendations as provided by the manufacturer.

- C. Walkway strips: Install walkway cap-sheet strips over roofing membrane, in accordance with roof membrane manufacturer's written instructions, and as required by roof membrane manufacturer's warranty requirements.

3.5 FIELD QUALITY CONTROL

- A. Arrange for roofing system manufacturer's technical personnel to inspect the work on completion, in presence of Commissioner, and to prepare inspection report.

3.6 PROTECTING AND CLEANING

- A. Protect work from damage and wear during remainder of construction period.
 - 1. When remaining construction does not affect or endanger the work, inspect roofing system for deterioration and damage, describing its nature and extent in a written report, with copies to Commissioner and manufacturer.
- B. Correct deficiencies in or remove work that does not comply with requirements, repair substrates, and repair or reinstall work to a condition free of damage and deterioration at time of Substantial Completion and according to warranty requirements.

END OF SECTION 075216

SECTION 077200 - ROOF ACCESSORIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Roof hatches.
- B. Related Requirements:
 - 1. Section 055000 "Metal Fabrications" for metal vertical ladders for access to roof hatches.

1.3 COORDINATION

- A. Coordinate layout and installation of roof accessories with roofing membrane and base flashing and interfacing and adjoining construction to provide a leakproof, weathertight, secure, and noncorrosive installation.
- B. Field verify existing framed opening for new roof hatch after removal of existing roof hatch and document dimensions on Shop Drawing information.
- C. Coordinate dimensions with rough-in information or Shop Drawings of equipment to be supported.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of roof accessory.
 - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes.
- B. Shop Drawings: For roof accessories.

1. Include plans, elevations, keyed details, and attachments to other work. Indicate dimensions, including field verified dimensions, loadings, and special conditions. Distinguish between plant- and field-assembled work.

C. Samples: For each exposed product and for each color and texture specified, prepared on Samples of size to adequately show color.

D. Coordination Drawings: Roof plans, drawn to scale, and coordinating penetrations and roof-mounted items. Show the following:

1. Size and location of roof accessories specified in this Section.
2. Method of attaching roof accessories to roof or building structure.
3. Other roof-mounted items including mechanical and electrical equipment, ductwork, piping, and conduit.
4. Required clearances.

1.6 INFORMATIONAL SUBMITTALS

A. Sample Warranties: For manufacturer's special warranties.

1.7 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For roof accessories to include in operation and maintenance manuals.

1.8 QUALITY ASSURANCE

A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.9 WARRANTY

A. Special Warranty for Roof Hatch: Manufacturer's standard form in which manufacturer agrees to repair or replace components of roof hatch that fail in function within specified warranty period.

1. Warranty Period: Five (5) years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

A. General Performance: Roof accessories to withstand exposure to weather and resist thermally induced movement without failure, rattling, leaking, or fastener disengagement due to defective manufacture, fabrication, installation, or other defects in construction.

2.2 ROOF HATCHES

- A. Roof Hatches: Metal roof-hatch units with lids and insulated -walled curbs, welded or mechanically fastened and sealed corner joints, continuous lid-to-curb counterflashing and weathertight perimeter gasketing, and integrally formed deck-mounting flange at perimeter bottom.
 - 1. Basis-of-Design Product: Subject to compliance with requirements, provide Bilco; Type E-40 or comparable product by one of the following:
 - a. Acudor Products, Inc.
 - b. Nystrom.
 - c. Or approved equal.
- B. Type and Size:
 - 1. Single-leaf lid, 36 by 36 inches.
- C. Loads: Minimum 40-lbf/sq. ft. external live load and internal uplift load.
- D. Hatch Frame Material, Steel: Zinc-coated (galvanized) steel sheet.
 - 1. Thickness: 0.079 inch.
 - 2. Finish: Factory prime coating.
- E. Hatch Cover Material: Aluminum sheet.
 - 1. Thickness: 0.125 inch.
 - 2. Finish: Mill finish.
- F. Hatch Cover Inner Liner: Aluminum sheet.
 - 1. Thickness: 0.05 inches.
 - 2. Finish: Mill finish.
- G. Construction:
 - 1. Insulation: 1-inch- thick, glass-fiber board.
 - 2. Nailer: Factory-installed wood nailer continuous around hatch perimeter.
 - 3. Hatch Lid: Opaque, insulated, and double walled, with manufacturer's standard metal liner of same material and finish as outer metal lid.
 - 4. On ribbed or fluted metal roofs, form flange at perimeter bottom to conform to roof profile.
 - 5. Fabricate curbs to minimum height of 12 inches above roofing surface unless otherwise indicated.
- H. Hardware: Spring operators, hold-open arm, galvanized-steel spring latch with turn handles, galvanized-steel butt- or pintle-type hinge system, and padlock hasps inside and outside.
- I. Ladder-Assist Post: Roof-hatch manufacturer's standard device for attachment to roof-access ladder.

1. Operation: Post locks in place on full extension; release mechanism returns post to closed position.
2. Height: 42 inches above finished roof deck.
3. Material: Steel tube.
4. Finish: Manufacturer's standard baked enamel or powder coat.
 - a. Color: Yellow.

2.3 GENERAL FINISH REQUIREMENTS

- A. Comply with NAAMM's "Metal Finishes Manual for Architectural and Metal Products" for recommendations for applying and designating finishes.
- B. Appearance of Finished Work: Noticeable variations in same piece are not acceptable. Variations in appearance of adjoining components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, to verify actual locations, dimensions, and other conditions affecting performance of the Work.
- B. Verify that substrate is sound, dry, smooth, clean, sloped for drainage, and securely anchored.
- C. Verify dimensions of roof openings for roof accessories.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Install roof accessories according to manufacturer's written instructions.
 1. Install roof accessories level; plumb; true to line and elevation; and without warping, jogs in alignment, buckling, or tool marks.
 2. Anchor roof accessories securely in place so they are capable of resisting indicated loads.
 3. Use fasteners, separators, sealants, and other miscellaneous items as required to complete installation of roof accessories and fit them to substrates.
 4. Install roof accessories to resist exposure to weather without failing, rattling, leaking, or loosening of fasteners and seals.
- B. Equipment Support Installation: Install equipment supports so top surfaces are level with each other.

- C. Roof-Hatch Installation:
 - 1. Attach safety railing system to roof-hatch curb.
- D. Pipe Support Installation: Comply with MSS SP-58 and MSS SP-89. Install supports and attachments as required to properly support piping. Arrange for grouping of parallel runs of horizontal piping, and support together.
 - 1. Pipes of Various Sizes: Space supports for smallest pipe size or install intermediate supports for smaller diameter pipes as specified for individual pipe hangers.
- E. Preformed Flashing-Sleeve and Flashing Pipe Portal Installation: Secure flashing sleeve to roof membrane according to flashing-sleeve manufacturer's written instructions; flash sleeve flange to surrounding roof membrane according to roof membrane manufacturer's instructions.

END OF SECTION 077200

SECTION 078413 - PENETRATION FIRESTOPPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Penetration firestopping systems for the following applications:
 - a. Penetrations in fire-resistance-rated walls.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Sustainable Design Submittals:
 - 1. Product Data: For sealants, indicating VOC content.
- C. Product Schedule: For each penetration firestopping system. Include location, illustration of firestopping system, and design designation of qualified testing and inspecting agency.
 - 1. Engineering Judgments: Where Project conditions require modification to a qualified testing and inspecting agency's illustration for a particular penetration firestopping system, submit illustration, with modifications marked, approved by penetration firestopping system manufacturer's fire-protection engineer as an engineering judgment or equivalent fire-resistance-rated assembly.
 - a. Obtain approval of Commissioner prior to submittal.

1.6 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer.
- B. Product Test Reports: For each penetration firestopping system, for tests performed by a qualified testing agency.

1.7 CLOSEOUT SUBMITTALS

- A. Installer Certificates: From Installer indicating that penetration firestopping systems have been installed in compliance with requirements and manufacturer's written instructions.

1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Installer Qualifications: A qualified installer, properly trained by manufacturer, with sufficient trained staff to install products according to specified requirements.

1.9 PROJECT CONDITIONS

- A. Environmental Limitations: Do not install penetration firestopping system when ambient or substrate temperatures are outside limits permitted by penetration firestopping system manufacturers or when substrates are wet because of rain, frost, condensation, or other causes.
- B. Install and cure penetration firestopping materials per manufacturer's written instructions using natural means of ventilations or, where this is inadequate, forced-air circulation.

1.10 COORDINATION

- A. Coordinate construction of openings and penetrating items to ensure that penetration firestopping systems can be installed according to specified firestopping system design.
- B. Coordinate sizing of sleeves, openings, core-drilled holes, or cut openings to accommodate penetration firestopping systems.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Test-Response Characteristics:
 - 1. Perform penetration firestopping system tests by a qualified testing agency acceptable to Commissioner.

2. Test per testing standards referenced in "Penetration Firestopping Systems" Article. Provide rated systems complying with the following requirements:
 - a. Penetration firestopping systems shall bear classification marking of a qualified testing agency.
 - 1) UL in its "Fire Resistance Directory."

2.2 PENETRATION FIRESTOPPING SYSTEMS

- A. Penetration Firestopping Systems: Systems that resist spread of fire, passage of smoke and other gases, and maintain original fire-resistance rating of construction penetrated. Penetration firestopping systems shall be compatible with one another, with the substrates forming openings, and with penetrating items if any.
 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. 3M Fire Protection Products.
 - b. Hilti, Inc.
 - c. Specified Technologies, Inc.
 - d. Tremco, Inc.
 - e. Or approved equal.
- B. Penetrations in Fire-Resistance-Rated Walls: Penetration firestopping systems with ratings determined per ASTM E814 or UL 1479, based on testing at a positive pressure differential of 0.01-inch wg.
 1. F-Rating: Not less than the fire-resistance rating of constructions penetrated.
- C. Exposed Penetration Firestopping Systems: Flame-spread and smoke-developed indexes of less than 25 and 450, respectively, per ASTM E84.
 1. Sealant shall have a VOC content of 250 g/L or less.

2.3 FILL MATERIALS

- A. Latex Sealants: Single-component latex formulations that do not re-emulsify after cure during exposure to moisture.
- B. Firestop Devices: Factory-assembled collars formed from galvanized steel and lined with intumescent material sized to fit specific diameter of penetrant.
- C. Intumescent Composite Sheets: Rigid panels consisting of aluminum-foil-faced intumescent elastomeric sheet bonded to galvanized-steel sheet.
- D. Intumescent Putties: Nonhardening, water-resistant, intumescent putties containing no solvents or inorganic fibers.
- E. Intumescent Wrap Strips: Single-component intumescent elastomeric sheets with aluminum foil on one side.

- F. Mortars: Prepackaged dry mixes consisting of a blend of inorganic binders, hydraulic cement, fillers and lightweight aggregate formulated for mixing with water at Project site to form a nonshrinking, homogeneous mortar.
- G. Pillows/Bags: Reusable heat-expanding pillows/bags consisting of glass-fiber cloth cases filled with a combination of mineral-fiber, water-insoluble expansion agents, and fire-retardant additives. Where exposed, cover openings with steel-reinforcing wire mesh to protect pillows/bags from being easily removed.
- H. Silicone Foams: Multicomponent, silicone-based liquid elastomers that, when mixed, expand and cure in place to produce a flexible, nonshrinking foam.
- I. Silicone Sealants: Single-component, silicone-based, neutral-curing elastomeric sealants.

2.4 MIXING

- A. Penetration Firestopping Materials: For those products requiring mixing before application, comply with penetration firestopping system manufacturer's written instructions for accurate proportioning of materials, water (if required), type of mixing equipment, selection of mixer speeds, mixing containers, mixing time, and other items or procedures needed to produce products of uniform quality with optimum performance characteristics for application indicated.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates and conditions, with Installer present, for compliance with requirements for opening configurations, penetrating items, substrates, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. Surface Cleaning: Before installing penetration firestopping systems, clean out openings immediately to comply with manufacturer's written instructions and with the following requirements:
 - 1. Remove from surfaces of opening substrates and from penetrating items foreign materials that could interfere with adhesion of penetration firestopping materials.
 - 2. Clean opening substrates and penetrating items to produce clean, sound surfaces capable of developing optimum bond with penetration firestopping materials. Remove loose particles remaining from cleaning operation.

3. Remove laitance and form-release agents from concrete.

B. Prime substrates where recommended in writing by manufacturer using that manufacturer's recommended products and methods. Confine primers to areas of bond; do not allow spillage and migration onto exposed surfaces.

3.4 INSTALLATION

A. General: Install penetration firestopping systems to comply with manufacturer's written installation instructions and published drawings for products and applications.

B. Install forming materials and other accessories of types required to support fill materials during their application and in the position needed to produce cross-sectional shapes and depths required to achieve fire ratings.

1. After installing fill materials and allowing them to fully cure, remove combustible forming materials and other accessories not forming permanent components of firestopping.

C. Install fill materials by proven techniques to produce the following results:

1. Fill voids and cavities formed by openings, forming materials, accessories and penetrating items to achieve required fire-resistance ratings.

2. Apply materials so they contact and adhere to substrates formed by openings and penetrating items.

3. For fill materials that will remain exposed after completing the Work, finish to produce smooth, uniform surfaces that are flush with adjoining finishes.

3.5 IDENTIFICATION

A. Wall Identification: Permanently label walls containing penetration firestopping systems with the words "FIRE AND/OR SMOKE BARRIER - PROTECT ALL OPENINGS," using lettering not less than 3 inches high and with minimum 0.375-inch strokes.

1. Locate in accessible concealed floor, floor-ceiling, or attic space at 15 feet from end of wall and at intervals not exceeding 30 feet.

B. Penetration Identification: Identify each penetration firestopping system with legible metal or plastic labels. Attach labels permanently to surfaces adjacent to and within 6 inches of penetration firestopping system edge so labels are visible to anyone seeking to remove penetrating items or firestopping systems. Use mechanical fasteners or self-adhering-type labels with adhesives capable of permanently bonding labels to surfaces on which labels are placed. Include the following information on labels:

1. The words "Warning - Penetration Firestopping - Do Not Disturb. Notify Building Management of Any Damage."

2. Contractor's name, address, and phone number.

3. Designation of applicable testing and inspecting agency.

4. Date of installation.

5. Manufacturer's name.

6. Installer's name.

3.6 FIELD QUALITY CONTROL

- A. Special Inspections: The City of New York will engage a qualified testing agency to perform tests and inspections according to ASTM E2174, and as per the requirements of Chapter 17 of the New York City Construction Code.
- B. Where deficiencies are found or penetration firestopping system is damaged or removed because of testing, repair or replace penetration firestopping system to comply with requirements.
- C. Proceed with enclosing penetration firestopping systems with other construction only after inspection reports are issued and installations comply with requirements.

3.7 CLEANING AND PROTECTION

- A. Clean off excess fill materials adjacent to openings as the Work progresses by methods and with cleaning materials that are approved in writing by penetration firestopping system manufacturers and that do not damage materials in which openings occur.
- B. Provide final protection and maintain conditions during and after installation that ensure that penetration firestopping systems are without damage or deterioration at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, immediately cut out and remove damaged or deteriorated penetration firestopping material and install new materials to produce systems complying with specified requirements.

END OF SECTION 078413

SECTION 079200 - JOINT SEALANTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Nonstaining silicone joint sealants.
2. Urethane joint sealants.
3. Mildew-resistant joint sealants.
4. Butyl joint sealants.
5. Latex joint sealants.

B. Related Requirements:

1. Section 079219 "Acoustical Joint Sealants" for sealing joints in sound-rated construction.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

A. Product Data:

1. Joint-sealants.
2. Joint sealant backing materials.

- B. Samples for Initial Selection: Manufacturer's standard color charts consisting of strips of cured sealants showing the full range of colors available for each product exposed to view.

- C. Samples for Verification: For each type and color of joint sealant required, provide Samples with joint sealants in 1/2-inch- wide joints formed between two 6-inch- long strips of material matching the appearance of exposed surfaces adjacent to joint sealants.

D. Sustainable Design Submittals:

1. Product Data: For sealants, indicating VOC content.

1.5 INFORMATIONAL SUBMITTALS

- A. Preconstruction Laboratory Test Reports: For each joint sealant and substrate material to be tested from sealant manufacturer, indicating the following:
 - 1. Materials forming joint substrates and joint-sealant backings have been tested for compatibility and adhesion with joint sealants.
 - 2. Interpretation of test results and written recommendations for primers and substrate preparation are needed for adhesion.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.7 MOCKUPS

- A. Install sealant in mockups of assemblies specified in other Sections that are indicated to receive joint sealants specified in this Section. Use materials and installation methods specified in this Section.

1.8 PRECONSTRUCTION TESTING

- A. Preconstruction Laboratory Testing: Submit to joint-sealant manufacturers, for testing indicated below, samples of materials that will contact or affect joint sealants.
 - 1. Adhesion Testing: Use ASTM C794 to determine whether priming and other specific joint preparation techniques are required to obtain rapid, optimum adhesion of joint sealants to joint substrates.
 - 2. Compatibility Testing: Use ASTM C1087 to determine sealant compatibility when in contact with glazing and gasket materials.
 - 3. Stain Testing: Use ASTM C1248 to determine stain potential of sealant when in contact with Insert porcelain and ceramic tile substrates.
 - 4. Submit manufacturer's recommended number of pieces of each type of material, including joint substrates, joint-sealant backings, and miscellaneous materials.
 - 5. Schedule sufficient time for testing and analyzing results to prevent delaying the Work.
 - 6. For materials failing tests, obtain joint-sealant manufacturer's written instructions for corrective measures, including use of specially formulated primers.
 - 7. Testing will not be required if joint-sealant manufacturers submit data that are based on previous testing, not older than 24 months, of sealant products for adhesion to, staining of, and compatibility with joint substrates and other materials matching those submitted.

1.9 FIELD CONDITIONS

- A. Do not proceed with installation of joint sealants under the following conditions:
 - 1. When ambient and substrate temperature conditions are outside limits permitted by joint-sealant manufacturer or are below 40 deg F.

2. When joint substrates are wet.
3. Where joint widths are less than those allowed by joint-sealant manufacturer for applications indicated.
4. Where contaminants capable of interfering with adhesion have not yet been removed from joint substrates.

PART 2 - PRODUCTS

2.1 SOURCE LIMITATIONS

- A. Obtain joint sealants from single manufacturer for each sealant type.

2.2 JOINT SEALANTS, GENERAL

- A. Compatibility: Provide joint sealants, backings, and other related materials that are compatible with one another and with joint substrates under conditions of service and application, as demonstrated by joint-sealant manufacturer, based on testing and field experience.
- B. VOC Content: Sealants and sealant primers shall comply with the following:
 1. Architectural sealants shall have a VOC content of 250 g/L or less.
 2. Sealants and sealant primers for nonporous substrates shall have a VOC content of 250 g/L or less.
 3. Sealants and sealant primers for porous substrates shall have a VOC content of 775 g/L or less.
- C. Colors of Exposed Joint Sealants: As selected by Commissioner from manufacturer's full range.

2.3 NONSTAINING SILICONE JOINT SEALANTS

- A. Nonstaining Joint Sealants: No staining of substrates when tested in accordance with ASTM C1248.
- B. Silicone, Nonstaining, S, NS, 100/50, NT: Nonstaining, single-component, nonsag, plus 100 percent and minus 50 percent movement capability, nontraffic-use, neutral-curing silicone joint sealant; ASTM C920, Type S, Grade NS, Class 100/50, Use NT.
 1. Applications: Exterior Joints between aluminum framing, louvers, hollow metal frames and masonry openings, and between metal flashing terminations and masonry, and for masonry control and expansion joints.

2.4 URETHANE JOINT SEALANTS

- A. Urethane, S, P, 25, T, NT: Single-component, pourable, plus 25 percent and minus 25 percent movement capability, traffic- and nontraffic-use, urethane joint sealant; ASTM C920, Type S, Grade P, Class 25, Uses T and NT.
 1. Applications: Control and expansion joints in tiled flooring.

2.5 MILDEW-RESISTANT JOINT SEALANTS

- A. Mildew-Resistant Joint Sealants: Formulated for prolonged exposure to humidity with fungicide to prevent mold and mildew growth.
- B. Silicone, Mildew Resistant, Acid Curing, S, NS, 25, NT: Mildew-resistant, single-component, nonsag, plus 25 percent and minus 25 percent movement capability, nontraffic-use, acid-curing silicone joint sealant; ASTM C920, Type S, Grade NS, Class 25, Use NT.
 - 1. Applications: Joints between plumbing fixtures and accessories and tiled walls; Between solid surface counters and backsplashes and tiled walls, and between hollow metal frames, and adjoining tiled walls.

2.6 BUTYL JOINT SEALANTS

- A. Butyl-Rubber-Based Joint Sealants: ASTM C1311.
 - 1. Applications: Concealed sealant bead at aluminum door thresholds.

2.7 LATEX JOINT SEALANTS

- A. Acrylic Latex: Acrylic latex or siliconized acrylic latex, ASTM C834, Type OP, Grade NF.
 - 1. Applications: At interior wall and ceiling control joints; At joints between interior door/window frames and sills and interior wall surfaces; At all other interior joints where no other type of sealant is indicated.

2.8 JOINT-SEALANT BACKING

- A. Sealant Backing Material, General: Nonstaining; compatible with joint substrates, sealants, primers, and other joint fillers; and approved for applications indicated by sealant manufacturer based on field experience and laboratory testing.
- B. Cylindrical Sealant Backings: ASTM C1330, Type C (closed-cell material with a surface skin) Type B (bicellular material with a surface skin) or any of the preceding types, as approved in writing by joint-sealant manufacturer for joint application indicated, and of size and density to control sealant depth and otherwise contribute to producing optimum sealant performance.
- C. Bond-Breaker Tape: Polyethylene tape or other plastic tape recommended by sealant manufacturer for preventing sealant from adhering to rigid, inflexible joint-filler materials or joint surfaces at back of joint. Provide self-adhesive tape where applicable.

2.9 MISCELLANEOUS MATERIALS

- A. Primer: Material recommended by joint-sealant manufacturer where required for adhesion of sealant to joint substrates indicated, as determined from preconstruction joint-sealant-substrate tests and field tests.

- B. Cleaners for Nonporous Surfaces: Chemical cleaners acceptable to manufacturers of sealants and sealant backing materials, free of oily residues or other substances capable of staining or harming joint substrates and adjacent nonporous surfaces in any way, and formulated to promote optimum adhesion of sealants to joint substrates.
- C. Masking Tape: Nonstaining, nonabsorbent material compatible with joint sealants and surfaces adjacent to joints.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS'

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine joints indicated to receive joint sealants, with Installer present, for compliance with requirements for joint configuration, installation tolerances, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. Surface Cleaning of Joints: Clean out joints immediately before installing joint sealants to comply with joint-sealant manufacturer's written instructions and the following requirements:
 - 1. Remove all foreign material from joint substrates that could interfere with adhesion of joint sealant, including dust, paints (except for permanent, protective coatings tested and approved for sealant adhesion and compatibility by sealant manufacturer), old joint sealants, oil, grease, waterproofing, water repellents, water, surface dirt, and frost.
 - 2. Clean porous joint substrate surfaces by brushing, grinding, mechanical abrading, or a combination of these methods to produce a clean, sound substrate capable of developing optimum bond with joint sealants. Remove loose particles remaining after cleaning operations above by vacuuming or blowing out joints with oil-free compressed air. Porous joint substrates include the following:
 - a. Concrete.
 - b. Masonry.
 - c. Unglazed surfaces of ceramic tile.
 - 3. Remove laitance and form-release agents from concrete.
 - 4. Clean nonporous joint substrate surfaces with chemical cleaners or other means that do not stain, harm substrates, or leave residues capable of interfering with adhesion of joint sealants. Nonporous joint substrates include the following:
 - a. Metal.
 - b. Glass.

- c. Porcelain enamel.
 - d. Glazed surfaces of ceramic tile.
- B. **Joint Priming:** Prime joint substrates where recommended by joint-sealant manufacturer or as indicated by preconstruction joint-sealant-substrate tests or prior experience. Apply primer to comply with joint-sealant manufacturer's written instructions. Confine primers to areas of joint-sealant bond; do not allow spillage or migration onto adjoining surfaces.
- C. **Masking Tape:** Use masking tape where required to prevent contact of sealant or primer with adjoining surfaces that otherwise would be permanently stained or damaged by such contact or by cleaning methods required to remove sealant smears. Remove tape immediately after tooling without disturbing joint seal.

3.4 INSTALLATION OF JOINT SEALANTS

- A. **General:** Comply with joint-sealant manufacturer's written installation instructions for products and applications indicated, unless more stringent requirements apply.
- B. **Sealant Installation Standard:** Comply with recommendations in ASTM C1193 for use of joint sealants as applicable to materials, applications, and conditions indicated.
- C. **Install sealant backings** of type indicated to support sealants during application and at position required to produce cross-sectional shapes and depths of installed sealants relative to joint widths that allow optimum sealant movement capability.
- 1. Do not leave gaps between ends of sealant backings.
 - 2. Do not stretch, twist, puncture, or tear sealant backings.
 - 3. Remove absorbent sealant backings that have become wet before sealant application, and replace them with dry materials.
- D. **Install bond-breaker tape** behind sealants where sealant backings are not used between sealants and backs of joints.
- E. **Install sealants** using proven techniques that comply with the following and at the same time backings are installed:
- 1. Place sealants so they directly contact and fully wet joint substrates.
 - 2. Completely fill recesses in each joint configuration.
 - 3. Produce uniform, cross-sectional shapes and depths relative to joint widths that allow optimum sealant movement capability.
- F. **Tooling of Nonsag Sealants:** Immediately after sealant application and before skinning or curing begins, tool sealants according to requirements specified in subparagraphs below to form smooth, uniform beads of configuration indicated; to eliminate air pockets; and to ensure contact and adhesion of sealant with sides of joint.
- 1. Remove excess sealant from surfaces adjacent to joints.
 - 2. Use tooling agents that are approved in writing by sealant manufacturer and that do not discolor sealants or adjacent surfaces.

3. Provide concave joint profile in accordance with Figure 8A in ASTM C1193 unless otherwise indicated.

3.5 CLEANING

- A. Clean off excess sealant or sealant smears adjacent to joints as the Work progresses by methods and with cleaning materials approved in writing by manufacturers of joint sealants and of products in which joints occur.

3.6 PROTECTION

- A. Protect joint sealants during and after curing period from contact with contaminating substances and from damage resulting from construction operations or other causes so sealants are without deterioration or damage at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, cut out, remove, and repair damaged or deteriorated joint sealants immediately so installations with repaired areas are indistinguishable from original work.

END OF SECTION 079200

SECTION 079219 - ACOUSTICAL JOINT SEALANTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Acoustical joint sealants.
- B. Related Requirements:
 - 1. Section 079200 "Joint Sealants" for elastomeric, latex, and butyl-rubber-based joint sealants for nonacoustical applications.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data:
 - 1. Acoustical joint sealants.
- B. Sustainable Design Submittals:
 - 1. Product Data: For sealants, indicating VOC content.

1.5 INFORMATIONAL SUBMITTALS

- A. Test and Evaluation Reports:
 - 1. Product Test Reports: For each type of acoustical joint sealant, for tests performed by qualified testing agency or by manufacturer and witnessed by a qualified testing agency.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 ACOUSTICAL JOINT SEALANTS

- A. Acoustical joint-sealant products that effectively reduce airborne sound transmission through perimeter joints and openings in building construction, as demonstrated by testing representative assemblies in accordance with ASTM E90.
1. Sealant shall have a VOC content of 250 g/L or less.
- B. Acoustical Sealant for Exposed and Concealed Joints: Manufacturer's standard nonsag, paintable, nonstaining latex acoustical sealant complying with ASTM C834.
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Pecora Corporation.
 - b. Tremco Incorporated.
 - c. USG Corporation.
 - d. Or approved equal.
 2. Colors of Exposed Acoustical Joint Sealants: As selected by Commissioner from manufacturer's full range of colors.

2.2 MISCELLANEOUS MATERIALS

- A. Primer: Material recommended by acoustical joint-sealant manufacturer where required for adhesion of sealant to joint substrates.
- B. Cleaners for Nonporous Surfaces: Chemical cleaners acceptable to manufacturers of sealants and sealant backing materials, free of oily residues or other substances capable of staining or harming joint substrates and adjacent nonporous surfaces in any way, and formulated to promote optimum adhesion of sealants to joint substrates.
- C. Masking Tape: Nonstaining, nonabsorbent material compatible with joint sealants and surfaces adjacent to joints.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine joints indicated to receive acoustical joint sealants, with Installer present, for compliance with requirements for joint configuration, installation tolerances, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. **Surface Cleaning of Joints:** Clean out joints immediately before installing acoustical joint sealants to comply with joint-sealant manufacturer's written instructions.
- B. **Joint Priming:** Prime joint substrates where recommended by acoustical joint-sealant manufacturer. Apply primer to comply with joint-sealant manufacturer's written instructions. Confine primers to areas of joint-sealant bond; do not allow spillage or migration onto adjoining surfaces.
- C. **Masking Tape:** Use masking tape where required to prevent contact of sealant or primer with adjoining surfaces that otherwise would be permanently stained or damaged by such contact or by cleaning methods required to remove sealant smears. Remove tape immediately after tooling without disturbing joint seal.

3.4 INSTALLATION OF ACOUSTICAL JOINT SEALANTS

- A. Comply with acoustical joint-sealant manufacturer's written installation instructions unless more stringent requirements apply.
- B. **STC-Rated Assemblies:** Seal construction at perimeters, behind control joints, and at openings and penetrations with a continuous bead of acoustical joint sealant. Install acoustical joint sealants at both faces of partitions, at perimeters, and through penetrations. Comply with ASTM C919, ASTM C1193, and manufacturer's written instructions for closing off sound-flanking paths around or through assemblies, including sealing partitions to underside of floor slabs above acoustical ceilings.
- C. **Acoustical Ceiling Areas:** Apply acoustical joint sealant at perimeter edge moldings of acoustical ceiling areas in a continuous ribbon concealed on back of vertical legs of moldings before they are installed.

3.5 CLEANING

- A. Clean off excess sealant or sealant smears adjacent to joints as the Work progresses by methods and with cleaning materials approved in writing by manufacturers of acoustical joint sealants and of products in which joints occur.

3.6 PROTECTION

- A. Protect acoustical joint sealants during and after curing period from contact with contaminating substances and from damage resulting from construction operations or other causes so sealants are without deterioration or damage at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, cut out, remove, and repair damaged or deteriorated acoustical joint sealants immediately so installations with repaired areas are indistinguishable from original work.

END OF SECTION 079219

SECTION 081113 - HOLLOW METAL DOORS AND FRAMES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section includes:
 - 1. Interior standard steel doors and frames.
 - 2. Exterior standard steel doors and frames.
- B. Related Requirements:
 - 1. Section 087100 "Door Hardware" for door hardware for hollow-metal doors.
 - 2. Section 088000 "Glazing" for sidelite glazing.

1.3 DEFINITIONS

- A. Minimum Thickness: Minimum thickness of base metal without coatings in accordance with NAAMM-HMMA 803 or ANSI/SDI A250.8.

1.4 COORDINATION

- A. Coordinate anchorage installation for hollow-metal frames. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors. Deliver such items to Project site in time for installation.
- B. Coordinate requirements for installation of door hardware, electrified door hardware, and access control and security systems.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.6 ACTION SUBMITTALS

- A. Product Data: For each type of product.

1. Include construction details, material descriptions, core descriptions, fire-resistance ratings, and finishes.

B. Sustainable Design Submittals:

1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.

C. Shop Drawings: Include the following:

1. Elevations of each door type.
2. Details of doors, including vertical- and horizontal-edge details and metal thicknesses.
3. Frame details for each frame type, including dimensioned profiles and metal thicknesses.
4. Locations of reinforcement and preparations for hardware.
5. Details of each different wall opening condition.
6. Details of electrical raceway and preparation for electrified hardware, access control systems, and security systems.
7. Details of anchorages, joints, field splices, and connections.
8. Details of accessories.
9. Details of moldings, removable stops, and glazing.

D. Product Schedule: For hollow-metal doors and frames, prepared by or under the supervision of supplier, using same reference numbers for details and openings as those on Drawings. Coordinate with final door hardware schedule.

1.7 INFORMATIONAL SUBMITTALS

- A. Field quality control reports.**

1.8 CLOSEOUT SUBMITTALS

- A. Record Documents: For fire-rated doors, list of door numbers and applicable room name and number to which door accesses.**

1.9 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."**

1.10 DELIVERY, STORAGE, AND HANDLING

- A. Deliver hollow-metal doors and frames palletized, packaged, or crated to provide protection during transit and Project-site storage. Do not use nonvented plastic.**

1. Provide additional protection to prevent damage to factory-finished units.

- B. Deliver welded frames with two removable spreader bars across bottom of frames, tack welded to jambs and mullions.
- C. Store hollow-metal doors and frames vertically under cover at Project site with head up. Place on minimum 4-inch- high wood blocking. Provide minimum 1/4-inch space between each stacked door to permit air circulation.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Ceco Door; ASSA ABLOY.
 - 2. Curries Company; ASSA ABLOY.
 - 3. Mesker Door Inc.
 - 4. Pioneer Industries.
 - 5. Or approved equal.

2.2 PERFORMANCE REQUIREMENTS

- A. Fire-Rated Door Assemblies: Assemblies complying with NFPA 80 that are listed and labeled by a qualified testing agency for fire-protection ratings indicated on Drawings, based on testing at positive pressure in accordance with NFPA 252 or UL 10C.
- B. Fire-Rated, Borrowed-Lite Assemblies: Assemblies complying with NFPA 80 and listed and labeled by a qualified testing agency, for fire-protection ratings indicated, based on testing in accordance with NFPA 257 or UL 9.
- C. Thermally Rated Door Assemblies: Provide door assemblies with U-factor of not more than 0.50 deg Btu/F x h x sq. ft. when tested in accordance with ASTM C518.

2.3 INTERIOR STANDARD STEEL DOORS AND FRAMES

- A. Construct hollow-metal doors and frames to comply with standards indicated for materials, fabrication, hardware locations, hardware reinforcement, tolerances, and clearances, and as specified.
- B. Heavy-Duty Doors and Frames: ANSI/SDI A250.8, Level 2; ANSI/SDI A250.4, Level B. At all interior door locations as indicated in the Door and Frame Schedule .
 - 1. Doors:
 - a. Type: As indicated in the Door and Frame Schedule.
 - b. Thickness: 1-3/4 inches.
 - c. Face: Uncoated steel sheet, minimum thickness of 0.042 inch.
 - d. Edge Construction: Model 2, Seamless.

- e. Edge Bevel: Provide manufacturer's standard beveled or square edges.
 - f. Core: Manufacturer's standard.
 - g. Fire-Rated Core: Manufacturer's standard vertical steel stiffener laminated mineral board core for fire-rated doors.
2. Frames:
- a. Materials: Uncoated steel sheet, minimum thickness of 0.053 inch.
 - b. Sidelite Frames: Fabricated from same thickness material as adjacent door frame.
 - c. Construction: Full profile welded.
3. Exposed Finish: Prime.

2.4 EXTERIOR STANDARD STEEL DOORS AND FRAMES

- A. Construct hollow-metal doors and frames to comply with standards indicated for materials, fabrication, hardware locations, hardware reinforcement, tolerances, and clearances, and as specified.
- B. Extra-Heavy-Duty Doors and Frames: ANSI/SDI A250.8, Level 3; ANSI/SDI A250.4, Level A. At all exterior door locations indicated in the Door and Frame Schedule.

1. Doors:
- a. Type: As indicated in the Door and Frame Schedule.
 - b. Thickness: 1-3/4 inches.
 - c. Face: Metallic-coated steel sheet, minimum thickness of 0.053 inch, with minimum A60 coating.
 - d. Edge Construction: Model 2, Seamless.
 - e. Edge Bevel: Provide manufacturer's standard beveled or square edges.
 - f. Top Edge Closures: Close top edges of doors with flush closures of same material as face sheets. Seal joints against water penetration.
 - g. Bottom Edges: Close bottom edges of doors where required for attachment of weather stripping with end closures or channels of same material as face sheets.
 - h. Core: Manufacturer's standard.
 - i. Fire-Rated Core: Manufacturer's standard vertical steel stiffener with insulation core for fire-rated doors.
2. Frames:
- a. Materials: Metallic-coated steel sheet, minimum thickness of 0.053 inch, with minimum A60 coating.
 - b. Construction: Full profile welded.
 - 1) Provide thermally broken frame profiles as indicated on the drawings.
3. Exposed Finish: Prime.

2.5 FRAME ANCHORS

A. Jamb Anchors:

1. Type: Anchors of minimum size and type required by applicable door and frame standard, and suitable for performance level indicated.
2. Quantity: Minimum of three anchors per jamb, with one additional anchor for frames with no floor anchor. Provide one additional anchor for each 24 inches of frame height above 7 feet.
3. Postinstalled Expansion Anchor: Minimum 3/8-inch- diameter bolts with expansion shields or inserts, with manufacturer's standard pipe spacer.

B. Floor Anchors: Provide floor anchors for each jamb and mullion that extends to floor.

C. Material: ASTM A879/A879M, Commercial Steel (CS), 04Z coating designation; mill phosphatized.

1. For anchors built into exterior walls, steel sheet complying with ASTM A1008/A1008M or ASTM A1011/A1011M; hot-dip galvanized in accordance with ASTM A153/A153M, Class B.

2.6 MATERIALS

A. Recycled Content of Steel Products: Postconsumer recycled content plus one-half of preconsumer recycled content not less than 25 percent.

B. Cold-Rolled Steel Sheet: ASTM A1008/A1008M, Commercial Steel (CS), Type B; suitable for exposed applications.

C. Hot-Rolled Steel Sheet: ASTM A1011/A1011M, Commercial Steel (CS), Type B; free of scale, pitting, or surface defects; pickled and oiled.

D. Metallic-Coated Steel Sheet: ASTM A653/A653M, Commercial Steel (CS), Type B.

E. Inserts, Bolts, and Fasteners: Hot-dip galvanized in accordance with ASTM A153/A153M.

F. Power-Actuated Fasteners in Concrete: Fastener system of type suitable for application indicated, fabricated from corrosion-resistant materials, with clips or other accessory devices for attaching hollow-metal frames of type indicated.

G. Mineral-Fiber Insulation: ASTM C665, Type I (blankets without membrane facing); consisting of fibers manufactured from slag or rock wool; with maximum flame-spread and smoke-developed indexes of 25 and 50, respectively; passing ASTM E136 for combustion characteristics.

H. Glazing: Comply with requirements in Section 088000 "Glazing."

2.7 FABRICATION

- A. Hollow-Metal Frames: Fabricate in one piece except where handling and shipping limitations require multiple sections. Where frames are fabricated in sections, provide alignment plates or angles at each joint, fabricated of metal of same or greater thickness as frames.
1. Sidelite Frames: Provide closed tubular members with no visible face seams or joints, fabricated from same material as door frame. Fasten members at crossings and to jambs by welding, or by rigid mechanical anchors.
 2. Provide countersunk, flat- or oval-head exposed screws and bolts for exposed fasteners unless otherwise indicated.
 3. Door Silencers: Except on weather-stripped frames, drill stops to receive door silencers as follows. Keep holes clear during construction.
 - a. Single-Door Frames: Drill stop in strike jamb to receive three door silencers.
- B. Hardware Preparation: Factory prepare hollow-metal doors and frames to receive templated mortised hardware, and electrical wiring; include cutouts, reinforcement, mortising, drilling, and tapping in accordance with ANSI/SDI A250.6, the Door Hardware Schedule, and templates.
1. Reinforce doors and frames to receive nontemplated, mortised, and surface-mounted door hardware.
 2. Comply with BHMA A156.115 for preparing hollow-metal doors and frames for hardware.
- C. Glazed Lites: Provide stops and moldings around glazed lites where indicated. Form corners of stops and moldings with butted or mitered hairline joints.
1. Provide stops and moldings flush with face of door, and with square stops unless otherwise indicated.
 2. Provide fixed frame moldings on outside of exterior and on secure side of interior doors and frames. Provide loose stops and moldings on inside of hollow-metal doors and frames.
 3. Coordinate rabbet width between fixed and removable stops with glazing and installation types indicated.
 4. Provide stops for installation with countersunk flat- or oval-head machine screws spaced uniformly not more than 9 inches o.c. and not more than 2 inches o.c. from each corner.

2.8 STEEL FINISHES

- A. Prime Finish: Clean, pretreat, and apply manufacturer's standard primer.
1. Shop Primer: Manufacturer's standard, fast-curing, lead- and chromate-free primer complying with ANSI/SDI A250.10; recommended by primer manufacturer for substrate; compatible with substrate and field-applied coatings despite prolonged exposure.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 PREPARATION

- A. Remove welded-in shipping spreaders installed at factory. Restore exposed finish by grinding, filling, and dressing, as required to make repaired area smooth, flush, and invisible on exposed faces. Touch up factory-applied finishes where spreaders are removed.
- B. Drill and tap doors and frames to receive nontemplated, mortised, and surface-mounted door hardware.

3.3 INSTALLATION

- A. Install hollow-metal doors and frames plumb, rigid, properly aligned, and securely fastened in place. Comply with approved Shop Drawings and with manufacturer's written instructions.
- B. Hollow-Metal Frames: Comply with ANSI/SDI A250.11.
 - 1. Set frames accurately in position; plumbed, aligned, and braced securely until permanent anchors are set. After wall construction is complete, remove temporary braces without damage to completed Work.
 - a. Where frames are fabricated in sections, field splice at approved locations by welding face joint continuously; grind, fill, dress, and make splice smooth, flush, and invisible on exposed faces. Touch-up finishes.
 - b. Install frames with removable stops located on secure side of opening.
 - 2. Fire-Rated Openings: Install frames in accordance with NFPA 80.
 - 3. Floor Anchors: Secure with postinstalled expansion anchors.
 - a. Floor anchors may be set with power-actuated fasteners instead of postinstalled expansion anchors if so indicated and approved on Shop Drawings.
 - 4. Solidly pack mineral-fiber insulation inside frames.
 - 5. Masonry Walls: Coordinate installation of frames to allow for solidly filling space between frames and masonry with grout or mortar.
 - 6. In-Place Concrete or Masonry Construction: Secure frames in place with postinstalled expansion anchors. Countersink anchors, and fill and make smooth, flush, and invisible on exposed faces.
 - 7. Installation Tolerances: Adjust hollow-metal frames to the following tolerances:
 - a. Squareness: Plus or minus 1/16 inch, measured at door rabbet on a line 90 degrees from jamb perpendicular to frame head.

- b. Alignment: Plus or minus 1/16 inch, measured at jambs on a horizontal line parallel to plane of wall.
 - c. Twist: Plus or minus 1/16 inch, measured at opposite face corners of jambs on parallel lines, and perpendicular to plane of wall.
 - d. Plumbness: Plus or minus 1/16 inch, measured at jambs at floor.
- C. Hollow-Metal Doors: Fit and adjust hollow-metal doors accurately in frames, within clearances specified below.
- 1. Non-Fire-Rated Steel Doors: Comply with ANSI/SDI A250.8.
 - 2. Fire-Rated Doors: Install doors with clearances in accordance with NFPA 80.
- D. Glazing: Comply with installation requirements in Section 088000 "Glazing" and with hollow-metal manufacturer's written instructions.

3.4 FIELD QUALITY CONTROL

- A. Inspection Agency: Engage a qualified door inspector to perform inspections and to furnish reports to Commissioner.
- B. Inspections:
- 1. Fire-Rated Door Inspections: Inspect each fire-rated door in accordance with NFPA 80, Section 5.2.
 - 2. Egress Door Inspections: Inspect each door equipped with panic hardware, each door equipped with fire exit hardware, each door located in an exit enclosure, each electrically controlled egress door, and each door equipped with special locking arrangements in accordance with NFPA 101, Section 7.2.1.15.
- C. Repair or remove and replace installations where inspections indicate that they do not comply with specified requirements.
- D. Reinspect repaired or replaced installations to determine if replaced or repaired door assembly installations comply with specified requirements.
- E. Prepare and submit separate inspection report for each fire-rated door assembly indicating compliance with each item listed in NFPA 80 and NFPA 101.

3.5 CORRECTIVE WORK

- A. Touchup Painting: Cleaning and touchup painting of abraded areas of paint are specified in painting Sections.

END OF SECTION 081113

SECTION 081416 - FLUSH WOOD DOORS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Five-ply flush wood doors for opaque finish.
 - 2. Factory priming flush wood doors.
- B. Related Requirements:
 - 1. Section 062023 "Interior Finish Carpentry" for wood door frames.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product, including the following:
 - 1. Door core materials and construction.
 - 2. Door edge construction
 - 3. Door face type and characteristics.
 - 4. Door trim for openings.
 - 5. Door frame construction.
 - 6. Factory-machining criteria.
 - 7. Factory-priming specifications.

- B. Sustainable Design Submittals:

1. Product Certificates: For regional materials, indicating location of material manufacturer and point of extraction, harvest, or recovery for each raw material. Include distance to Project and cost for each regional material.
 2. Product Data: For adhesives, indicating that product contains no urea formaldehyde.
 3. Product Data: For composite wood products, indicating that product contains no urea formaldehyde.
- C. Shop Drawings: Indicate location, size, and hand of each door; elevation of each type of door; construction details not covered in Product Data; and the following:
1. Door schedule indicating door and frame location, type, size, fire protection rating, and swing.
 2. Door elevations, dimension and locations of hardware.
 3. Details of frame for each frame type, including dimensions and profile.
 4. Dimensions and locations of blocking for hardware attachment.
 5. Dimensions and locations of mortises and holes for hardware.
 6. Clearances and undercuts.
 7. Doors to be factory primed and application requirements.
- D. Samples for Verification:
1. Corner sections of doors, approximately 8 by 10 inches, with door faces and edges representing actual materials to be used.

1.6 INFORMATIONAL SUBMITTALS

- A. Sample Warranty: For special warranty.

1.7 CLOSEOUT SUBMITTALS

- A. Special warranties.

1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.9 DELIVERY, STORAGE, AND HANDLING

- A. Comply with requirements of referenced standard and manufacturer's written instructions.
- B. Package doors individually in plastic bags or cardboard cartons.
- C. Mark each door on bottom rail with opening number used on Shop Drawings.

1.10 FIELD CONDITIONS

- A. Environmental Limitations:

1. Do not deliver or install doors until spaces are enclosed and weathertight, wet-work in spaces is complete and dry, and HVAC system is operating and maintaining temperature and relative humidity at levels designed for building occupants for the remainder of construction period.
2. Do not deliver or install doors until building is enclosed and weathertight, wet work is complete, and HVAC system is operating and maintaining temperature between 60 and 90 deg F and relative humidity between 25 and 55 percent during remainder of construction period.

1.11 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace doors that fail in materials or workmanship within specified warranty period.
 1. Failures include, but are not limited to, the following:
 - a. Delamination of veneer.
 - b. Warping (bow, cup, or twist) more than 1/4 inch in a 42-by-84-inch section.
 - c. Telegraphing of core construction in face veneers exceeding 0.01 inch in a 3-inch span.
 2. Warranty Period for Solid-Core Interior Doors: Life of installation.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Source Limitations: Obtain flush wood doors from single manufacturer.

2.2 FLUSH WOOD DOORS, GENERAL

- A. Quality Standard: In addition to requirements specified, comply with AWI/AWMAC/WI's "Architectural Woodwork Standards."
 1. The Contract Documents contain requirements that are more stringent than the referenced quality standard. Comply with the Contract Documents in addition to those of the referenced quality standard.
- B. Adhesives: Do not use adhesives that contain urea formaldehyde.
- C. Composite Wood Products: Products shall be made without urea formaldehyde.

2.3 SOLID-CORE FIVE-PLY FLUSH WOOD DOORS FOR OPAQUE FINISH

- A. Interior Doors, Solid-Core Five-Ply (Doors 05.2, 05.3, 06.1, 06.2, 07.1):
 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Lambton Doors.

- b. Masonite Architectural.
 - c. Oshkosh Door Company.
 - d. VT Industries Inc.
 - e. Or approved equal.
2. Performance Grade: ANSI/WDMA I.S. 1A Standard Duty.
 3. Grade: Custom.
 4. Faces: Any closed-grain hardwood of mill option.
 5. Exposed Vertical Edges: Any closed-grain hardwood.
 6. Core for Non-Fire-Rated Doors:
 - a. ANSI A208.1, Grade LD-1 particleboard.
 7. Construction: Five plies, hot-pressed bonded (vertical and horizontal edging is bonded to core), with entire unit abrasive planed before veneering.

2.4 FABRICATION

- A. Factory fit doors to suit frame-opening sizes indicated.
 1. Comply with clearance requirements of referenced quality standard for fitting unless otherwise indicated.

2.5 FACTORY PRIMING

- A. Doors for Opaque Finish: Factory prime faces, all four edges, edges of cutouts, and mortises with one coat of wood primer specified in Section 099124 "Interior Painting (MPI Standards)."

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine doors and installed door frames, with Installer present, before hanging doors.
 1. Verify that installed frames comply with indicated requirements for type, size, location, and swing characteristics and have been installed with level heads and plumb jambs.
 2. Reject doors with defects.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Hardware: For installation, see Section 087100 "Door Hardware."
- B. Install doors to comply with manufacturer's written instructions and referenced quality standard, and as indicated.
- C. Install frames level, plumb, true, and straight.
 - 1. Shim as required with concealed shims. Install level and plumb to a tolerance of 1/8 inch in 96 inches.
 - 2. Anchor frames to anchors or blocking built in or directly attached to substrates.
 - a. Secure with countersunk, concealed fasteners and blind nailing.
 - b. Use fine finishing nails or finishing screws for exposed fastening, countersunk and filled flush with woodwork.
- D. Job-Fitted Doors:
 - 1. Align and fit doors in frames with uniform clearances and bevels as indicated below.
 - a. Do not trim stiles and rails in excess of limits set by manufacturer or permitted for fire-rated doors.
 - 2. Machine doors for hardware.
 - 3. Seal edges of doors, edges of cutouts, and mortises after fitting and machining.
 - 4. Clearances:
 - a. Provide 1/8 inch at heads, jambs, and between pairs of doors.
 - b. Provide 1/8 inch from bottom of door to top of decorative floor finish or covering unless otherwise indicated on Drawings.
 - c. Where threshold is shown or scheduled, provide 1/4 inch from bottom of door to top of threshold unless otherwise indicated.
 - 5. Bevel non-fire-rated doors 1/8 inch in 2 inches at lock and hinge edges.
- E. Factory-Fitted Doors: Align in frames for uniform clearance at each edge.
- F. Factory-Finished Doors: Restore finish before installation if fitting or machining is required at Project site.

3.4 ADJUSTING

- A. Operation: Rehang or replace doors that do not swing or operate freely.
- B. Finished Doors: Replace doors that are damaged or that do not comply with requirements. Doors may be repaired or refinished if Work complies with requirements and shows no evidence of repair or refinishing.

END OF SECTION 081416

SECTION 083113 - ACCESS DOORS AND FRAMES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Access doors and frames.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Include construction details material descriptions, dimensions of individual components and profiles, and finishes.
- B. Product Schedule: For access doors and frames. Use same designations indicated on the Drawings.

1.5 INFORMATIONAL SUBMITTALS

- A. Field quality control reports.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 ACCESS DOORS AND FRAMES

- A. Flush Access Doors with Exposed Flanges (AD3):

1. Basis-of-Design Product: Subject to compliance with requirements, provide Acudor; UF-5000 universal Flush series, or comparable product by one of the following:
 - a. Babcock-Davis.
 - b. Karp Associates, Inc.
 - c. Nystrom.
 - d. Or approved equal.
 2. Description: Face of door flush with frame, with exposed flange and concealed hinge.
 3. Locations: Porcelain ceramic-tiled walls.
 4. Door Size: Refer to drawings.
 5. Metallic-Coated Steel Sheet for Door: Nominal 0.064 inch, 16 gage, factory primed. To be field painted to match adjacent wall or ceiling paint color.
 6. Frame Material: Same material, thickness, and finish as door.
 7. Latch and Lock: Cam latch, screwdriver operated.
- B. Flush Access Doors with Concealed Flanges (AD1, AD2, AD4):**
1. Manufacturers: Subject to compliance with requirements, provide Acudor; DW-5040 series, or comparable product by one of the following:
 - a. Babcock-Davis.
 - b. Karp Associates, Inc.
 - c. Nystrom.
 - d. Or approved equal.
 2. Description: Face of door flush with frame; with concealed flange for gypsum board installation and concealed hinge.
 3. Locations: Gypsum board walls and ceilings.
 4. Door Size: Refer to drawings.
 5. Metallic-Coated Steel Sheet for Door: 20 gauge factory primed. To be field painted to match adjacent walls and ceiling colors.
 6. Frame Material: Same material and thickness as door.
 7. Latch and Lock: Cam latch, screwdriver operated.
- C. Concealed Tile-Ready Access Door with Concealed Flanges to be used with Resin Panel infill (AD5):**
1. Basis-of-Design Product: Subject to compliance with requirements, provide Babcock-Davis; B-RUWK series, or a comparable product by one of the following:
 - a. Acudor Products, Inc.
 - b. Karp Associates, Inc.
 - c. Nystrom.
 - d. Or approved equal.
 2. Description: Door face recessed for resin panel infill as indicated on drawings, with concealed hinge.
 3. Locations: At Resin Panel Walls.
 4. Door Size: As indicated on the drawings.
 5. Stainless Steel Sheet for Door: 14 gauge, type 304 finish.

6. Latch and Lock: Cam latch, key operated.

2.2 MATERIALS

- A. Steel Plates, Shapes, and Bars: ASTM A36/A36M.
- B. Metallic-Coated Steel Sheet: ASTM A653/A653M, Commercial Steel (CS), Type B; with minimum G60 or A60 metallic coating.
- C. Stainless Steel Plate, Sheet, and Strip: ASTM A240/A240M or ASTM A666, Type 304. Remove tool and die marks and stretch lines, or blend into finish.
- D. Frame Anchors: Same material as door face.
- E. Inserts, Bolts, and Anchor Fasteners: Hot-dip galvanized steel according to ASTM A153/A153M or ASTM F2329.

2.3 FABRICATION

- A. General: Provide access door and frame assemblies manufactured as integral units ready for installation.
- B. Metal Surfaces: For metal surfaces exposed to view in the completed Work, provide materials with smooth, flat surfaces without blemishes. Do not use materials with exposed pitting, seam marks, roller marks, rolled trade names, or roughness.
- C. Doors and Frames: Grind exposed welds smooth and flush with adjacent surfaces. Furnish mounting holes, attachment devices and fasteners of type required to secure access doors to types of supports indicated.
 1. For concealed flanges with drywall bead, provide edge trim for gypsum panels securely attached to perimeter of frames.
 2. For concealed flanges with plaster bead for full-bed plaster applications, provide zinc-coated expanded-metal lath and exposed casing bead welded to perimeter of frames.
- D. Recessed Access Doors: Form face of panel to provide recess for application of applied finish. Reinforce panel as required to prevent buckling. Provide access sleeves for each latch operator and install in holes cut through finish.
- E. Latch and Lock Hardware:
 1. Quantity: Furnish number of latches and locks required to hold doors tightly closed.
 2. Keys: Furnish two keys per lock and key all locks alike.

2.4 FINISHES

- A. Comply with NAAMM's "Metal Finishes Manual for Architectural and Metal Products" for recommendations for applying and designating finishes.

- B. Protect mechanical finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
- C. Appearance of Finished Work: Noticeable variations in same piece are not acceptable. Variations in appearance of adjoining components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.
- D. Painted Finishes: Comply with coating manufacturer's written instructions for cleaning, conversion coating, and applying and baking finish.
 - 1. Factory Primed: Apply manufacturer's standard, lead- and chromate-free, universal primer immediately after surface preparation and pretreatment.
- E. Stainless Steel Finishes:
 - 1. Surface Preparation: Remove tool and die marks and stretch lines, or blend into finish.
 - 2. Polished Finish: ASTM A480/A480M No. 4 finish. Grind and polish surfaces to produce uniform finish, free of cross scratches.
 - a. Run grain of directional finishes with long dimension of each piece.
 - b. When polishing is completed, passivate and rinse surfaces. Remove embedded foreign matter and leave surfaces chemically clean.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Comply with manufacturer's written instructions for installing access doors and frames.

3.4 FIELD QUALITY CONTROL

- A. Repair or remove and replace installations where inspections indicate that they do not comply with specified requirements.

- B. Reinspect repaired or replaced installations to determine if replaced or repaired door assembly installations comply with specified requirements.

3.5 ADJUSTING

- A. Adjust doors and hardware, after installation, for proper operation.

END OF SECTION 083113

SECTION 084113 - ALUMINUM-FRAMED ENTRANCES AND STOREFRONTS

PART 1 - GENERAL

1.1 RELATED REQUIREMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Aluminum-framed storefront systems.
 - 2. Aluminum-framed entrance door systems.
- B. Related Requirements:
 - 1. Section 087100 "Door Hardware" for hardware at aluminum-framed entrance door.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes.
- B. Sustainable Design Submittals:
 - 1. Product Data: For sealants, indicating VOC content.
 - 2. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
 - 3. Product Certificates: For regional materials, indicating location of material manufacturer and point of extraction, harvest, or recovery for each raw material. Include distance to Project and cost for each regional material.

- C. Shop Drawings: For aluminum-framed entrances and storefronts. Include plans, elevations, sections, full-size details, and attachments to other work.
 - 1. Include details of provisions for assembly expansion and contraction and for draining moisture occurring within the assembly to the exterior.
 - 2. Include full-size isometric details of each type of vertical-to-horizontal intersection of aluminum-framed entrances and storefronts, showing the following:
 - a. Joinery, including concealed welds.
 - b. Anchorage.
 - c. Expansion provisions.
 - d. Glazing.
 - e. Flashing and drainage.
 - 3. Show connection to and continuity with adjacent thermal, weather, air, and vapor barriers.
 - 4. Include point-to-point wiring diagrams showing the following:
 - a. Power requirements for each electrically operated door hardware.
 - b. Location and types of switches, signal device, conduit sizes, and number and size of wires.
- D. Samples for Initial Selection: For units with factory-applied color finishes.
- E. Samples for Verification: For each type of exposed finish required, in manufacturer's standard sizes.
- F. Entrance Door Hardware Schedule: Prepared by or under supervision of supplier, detailing fabrication and assembly of entrance door hardware, as well as procedures and diagrams. Coordinate final entrance door hardware schedule with doors, frames, and related work to ensure proper size, thickness, hand, function, and finish of entrance door hardware.
- G. Engineering Services Submittal: For aluminum-framed entrances and storefronts including analysis data signed and sealed by the qualified, New York State licensed professional engineer responsible for their preparation.

1.6 INFORMATIONAL SUBMITTALS

- A. Test and Evaluation Reports:
 - 1. Product Test Reports: For aluminum-framed entrances and storefronts, for tests performed by manufacturer and witnessed by a qualified testing agency.
- B. Sample Warranties: For special warranties.

1.7 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For aluminum-framed entrances and storefronts to include in maintenance manuals.

1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Qualifications:
 - 1. Installer Qualifications: An installer with successful experience in installation of units similar in type and function to those specified in this section.
 - 2. Egress Door Inspector: Inspector for field quality-control inspections of egress door assemblies shall have a DHI Fire and Egress Door Assembly Inspector (FDAI) certificate, or complies with qualifications set forth in NFPA 101, Section 7.2.1.15.4.
- C. Aesthetic Effects: Information on Drawings and in Specifications establishes requirements for aesthetic effects and performance characteristics of assemblies. Aesthetic effects are indicated by dimensions, arrangements, alignment, and profiles of components and assemblies as they relate to sightlines, to one another, and to adjoining construction.
 - 1. Do not change intended aesthetic effects, as judged solely by Commissioner, except with Commissioner's approval. If changes are proposed, submit comprehensive explanatory data to Commissioner for review.

1.9 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace components of aluminum-framed entrances and storefronts that do not comply with requirements or that fail in materials or workmanship within specified warranty period.
 - 1. Failures include, but are not limited to, the following:
 - a. Structural failures, including, but not limited to, excessive deflection.
 - b. Noise or vibration created by wind and thermal and structural movements.
 - c. Deterioration of metals, metal finishes, and other materials beyond normal weathering.
 - d. Water penetration through fixed glazing and framing areas.
 - e. Failure of operating components.
 - 2. Warranty Period: Two years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Source Limitations: Obtain all components of aluminum-framed entrance and storefront system, including framing and accessories, from single manufacturer.

2.2 PERFORMANCE REQUIREMENTS

- A. General Performance: Comply with performance requirements specified, as determined by testing of aluminum-framed entrances and storefronts representing those indicated for this Project without failure due to defective manufacture, fabrication, installation, or other defects in construction.
1. Aluminum-framed entrances and storefronts shall withstand movements of supporting structure, including, but not limited to, twist, column shortening, long-term creep, and deflection from uniformly distributed and concentrated live loads.
 2. Failure also includes the following:
 - a. Thermal stresses transferring to building structure.
 - b. Glass breakage.
 - c. Noise or vibration created by wind and thermal and structural movements.
 - d. Loosening or weakening of fasteners, attachments, and other components.
 - e. Failure of operating units.
- B. Structural Loads:
1. Wind Loads: As indicated on Drawings.
 2. Other Design Loads: As indicated on Drawings.
- C. Deflection of Framing Members Supporting Glass: At design wind load, as follows:
1. Deflection Normal to Wall Plane: Limited to 1/175 of clear span for spans of up to 13 feet 6 inches and to 1/240 of clear span plus 1/4 inch for spans greater than 13 feet 6 inches.
 2. Deflection Parallel to Glazing Plane: Limited to amount not exceeding that which reduces glazing bite to less than 75 percent of design dimension and that which reduces edge clearance between framing members and glazing or other fixed components to less than 1/8 inch.
 - a. Operable Units: Provide a minimum 1/16-inch clearance between framing members and operable units.
- D. Structural: Test in accordance with ASTM E330/E330M as follows:
1. When tested at positive and negative wind-load design pressures, storefront assemblies, including entrance doors, do not evidence deflection exceeding specified limits.
 2. When tested at 150 percent of positive and negative wind-load design pressures, storefront assemblies, including entrance doors and anchorage, do not evidence material failures, structural distress, or permanent deformation of main framing members exceeding 0.2 percent of span.
 3. Test Durations: As required by design wind velocity, but not less than 10 seconds.
- E. Water Penetration under Static Pressure: Test in accordance with ASTM E331 as follows:
1. No evidence of water penetration through fixed glazing and framing areas, including entrance doors, when tested in accordance with a minimum static-air-pressure differential of 20 percent of positive wind-load design pressure, but not less than 10 lbf/sq. ft..

- F. Energy Performance: Certified and labeled by manufacturer for energy performance in compliance with the NYC Energy Conservation Code, and as follows:
1. Thermal Transmittance (U-factor):
 - a. Fixed Glazing and Framing Areas: U-factor for the system of not more than 0.36 Btu/sq. ft. x h x deg F (2.55 W/sq. m x K) as determined in accordance with NFRC 100.
 - b. Entrance Doors: U-factor for the doors of not more than 0.77 Btu/sq. ft. x h x deg F as determined in accordance with NFRC 100.
 2. Solar Heat-Gain Coefficient (SHGC):
 - a. Fixed Glazing and Framing Areas: SHGC for the system of not more than 0.36 as determined in accordance with NFRC 200.
 3. Air Leakage:
 - a. Fixed Glazing and Framing Areas: Air leakage for the system of not more than 0.06 cfm/sq. ft. at a static-air-pressure differential of 6.24 lbf/sq. ft. (with interior seal), and 1.57 lbf/sq. ft. (300 Pa) (without an interior seal) when tested in accordance with ASTM E283.
 - b. Entrance Doors: Air leakage of not more than 1.0 cfm/sq. ft. at a static-air-pressure differential of 1.57 lbf/sq. ft.
 4. Condensation Resistance Factor (CRF):
 - a. Fixed Glazing and Framing Areas: CRF for the system of not less than 68 as determined in accordance with AAMA 1503.
 - b. Entrance Doors: CRF of not less than 68 as determined in accordance with AAMA 1503.
- G. Noise Reduction: Test in accordance with ASTM E90, with ratings determined by ASTM E1332, as follows.
1. Outdoor-Indoor Transmission Class: Minimum 30.
- H. Thermal Movements: Allow for thermal movements resulting from ambient and surface temperature changes.
1. Temperature Change: 120 deg F, ambient; 180 deg F, material surfaces.
 2. Thermal Cycling: No buckling; stress on glass; sealant failure; excess stress on framing, anchors, and fasteners; or reduction of performance when tested in accordance with AAMA 501.5.
 - a. High Exterior Ambient-Air Temperature: That which produces an exterior metal-surface temperature of 180 deg F.
 - b. Low Exterior Ambient-Air Temperature: 0 deg F.
 - c. Interior Ambient-Air Temperature: 75 deg F.

2.3 STOREFRONT SYSTEMS (W01, W02, and framing for entrance door 01.1 and 01.2)

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Kawneer; Trifab 451UT or comparable product by one of the following:
1. EFCO Corporation.
 2. YKK AP America Inc.
 3. Or approved equal.
- B. Framing Members: Manufacturer's extruded- or formed-aluminum framing members of thickness required and reinforced as required to support imposed loads.
1. Exterior Framing Construction: Thermally broken.
 2. Interior Vestibule Framing Construction: Thermal doors with framing as indicated on the drawings.
 3. Glazing Plane: Center.
 4. Finish: Color anodic finish.
 5. Fabrication Method: Screw-spline system.
 6. Aluminum: Alloy and temper recommended by manufacturer for type of use and finish indicated.
 7. Steel Reinforcement: As required by manufacturer.
- C. Backer Plates: Manufacturer's standard, continuous backer plates for framing members, if not integral, where framing abuts adjacent construction.
- D. Brackets and Reinforcements: Manufacturer's standard high-strength aluminum with nonstaining, nonferrous shims for aligning system components.

2.4 ENTRANCE DOOR SYSTEMS (Doors 01.1, 01.2)

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Kawneer; 350T Insulpour Thermal Entrances or comparable product by one of the following:
1. EFCO Corporation.
 2. YKK AP America Inc.
 3. Or approved equal.
- B. Entrance Doors: Manufacturer's standard glazed entrance doors for manual-swing or automatic operation.
1. Door Construction: 2-1/4-inch overall thickness, with minimum 0.125-inch- thick, extruded-aluminum tubular rail and stile members. Mechanically fasten corners with reinforcing brackets that are deeply penetrated and fillet welded or that incorporate concealed tie rods.
 - a. Thermal Construction: High-performance plastic connectors separate aluminum members exposed to the exterior from members exposed to the interior. Pour and debridge polymer isolation for thermally broken system.
 2. Door Design: Medium stile; 3-1/2-inch nominal width with 10 inch high bottom rail.
 3. Glazing Stops and Gaskets: Square, snap-on, extruded-aluminum stops and preformed gaskets.

- a. Provide nonremovable glazing stops on outside of door.
- 4. Finish: Match adjacent storefront framing finish.

2.5 GLAZING

- A. Glazing: Comply with Section 088000 "Glazing."
- B. Glazing Gaskets: Manufacturer's standard sealed-corner pressure-glazing system of black, resilient elastomeric glazing gaskets, setting blocks, and shims or spacers. Comply with Section 088000 "Glazing."
- C. Glazing Sealants: As recommended by manufacturer. Comply with Section 088000 "Glazing."
 - 1. Sealant shall have a VOC content of 250 g/L or less.

2.6 MATERIALS

- A. Sheet and Plate: ASTM B209.
- B. Extruded Bars, Rods, Profiles, and Tubes: ASTM B221.
- C. Structural Profiles: ASTM B308/B308M.
- D. Steel Reinforcement:
 - 1. Structural Shapes, Plates, and Bars: ASTM A36/A36M.
 - 2. Cold-Rolled Sheet and Strip: ASTM A1008/A1008M.
 - 3. Hot-Rolled Sheet and Strip: ASTM A1011/A1011M.
- E. Steel Reinforcement Primer: Manufacturer's standard zinc-rich, corrosion-resistant primer complying with SSPC-PS Guide No. 12.00; applied immediately after surface preparation and pretreatment. Select surface preparation methods in accordance with recommendations in SSPC-SP COM, and prepare surfaces in accordance with applicable SSPC standard.
- F. Recycled Content of Steel Products: Postconsumer recycled content plus one-half of preconsumer recycled content not less than 25 percent.
- G. Recycled Content of Aluminum Components: Postconsumer recycled content plus one-half of preconsumer recycled content not less than 25 percent.

2.7 ACCESSORIES

- A. Automatic Door Operators: Refer to section 087100 "Door Hardware".
- B. Fasteners and Accessories: Manufacturer's standard corrosion-resistant, nonstaining, nonbleeding fasteners and accessories compatible with adjacent materials.

1. Use self-locking devices where fasteners are subject to loosening or turning out from thermal and structural movements, wind loads, or vibration.
 2. Reinforce members as required to receive fastener threads.
- C. Anchors: Three-way adjustable anchors with minimum adjustment of 1 inch that accommodate fabrication and installation tolerances in material and finish compatible with adjoining materials and recommended by manufacturer.
1. Concrete and Masonry Inserts: Hot-dip galvanized cast-iron, malleable-iron, or steel inserts complying with ASTM A123/A123M or ASTM A153/A153M requirements.
- D. Concealed Flashing: Manufacturer's standard corrosion-resistant, nonstaining, nonbleeding flashing compatible with adjacent materials.
- E. Bituminous Paint: Cold-applied asphalt-mastic paint containing no asbestos, formulated for 30-mil thickness per coat.
- F. Rigid PVC filler.

2.8 FABRICATION

- A. Form or extrude aluminum shapes before finishing.
- B. Weld in concealed locations to greatest extent possible to minimize distortion or discoloration of finish. Remove weld spatter and welding oxides from exposed surfaces by descaling or grinding.
- C. Fabricate components that, when assembled, have the following characteristics:
1. Profiles that are sharp, straight, and free of defects or deformations.
 2. Accurately fitted joints with ends coped or mitered.
 3. Physical and thermal isolation of glazing from framing members.
 4. Accommodations for thermal and mechanical movements of glazing and framing to maintain required glazing edge clearances.
 5. Provisions for field replacement of glazing from exterior.
 6. Fasteners, anchors, and connection devices that are concealed from view to greatest extent possible.
- D. Mechanically Glazed Framing Members: Fabricate for flush glazing without projecting stops.
- E. Storefront Framing: Fabricate components for assembly using shear-block system, or screw-spline system as required by project conditions.
- F. Entrance Door Frames: Reinforce as required to support loads imposed by door operation and for installing entrance door hardware.
1. At doors, provide compression weather stripping at fixed stops.
- G. Entrance Doors: Reinforce doors as required for installing entrance door hardware.

- H. Entrance Door Hardware Installation: Factory install entrance door hardware to the greatest extent possible. Cut, drill, and tap for factory-installed entrance door hardware before applying finishes.
- I. After fabrication, clearly mark components to identify their locations in Project in accordance with Shop Drawings.

2.9 ALUMINUM FINISHES

- A. Color Anodic Finish: AAMA 611, AA-M12C22A42/A44, Class I, 0.018 mm or thicker.
 - 1. Color: Medium bronze.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine areas, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION, GENERAL

- A. Comply with manufacturer's written instructions.
- B. Do not install damaged components.
- C. Fit joints to produce hairline joints free of burrs and distortion.
- D. Rigidly secure nonmovement joints.
- E. Install anchors with separators and isolators to prevent metal corrosion and electrolytic deterioration and to prevent impeding movement of moving joints.
- F. Seal perimeter and other joints watertight unless otherwise indicated.
- G. Metal Protection:
 - 1. Where aluminum is in contact with dissimilar metals, protect against galvanic action by painting contact surfaces with materials recommended by manufacturer for this purpose or by installing nonconductive spacers.

2. Where aluminum is in contact with concrete or masonry, protect against corrosion by painting contact surfaces with bituminous paint.

H. Set continuous sill members and flashing in full sealant bed, as specified in Section 079200 "Joint Sealants," to produce weathertight installation.

I. Install joint filler behind sealant as recommended by sealant manufacturer.

J. Install components plumb and true in alignment with established lines and grades.

3.4 INSTALLATION OF GLAZING

A. Install glazing as specified in Section 088000 "Glazing."

3.5 INSTALLATION OF ALUMINUM-FRAMED ENTRANCE DOORS

A. Install entrance doors to produce smooth operation and tight fit at contact points.

1. Field-Installed Entrance Door Hardware: Install surface-mounted entrance door hardware in accordance with entrance door hardware manufacturers' written instructions using concealed fasteners to greatest extent possible.

3.6 ERECTION TOLERANCES

A. Install aluminum-framed entrances and storefronts to comply with the following maximum tolerances:

1. Plumb: 1/8 inch in 10 feet; 1/4 inch in 40 feet.

2. Level: 1/8 inch in 20 feet; 1/4 inch in 40 feet.

3. Alignment:

a. Where surfaces abut in line or are separated by reveal or protruding element up to 1/2 inch wide, limit offset from true alignment to 1/16 inch.

b. Where surfaces are separated by reveal or protruding element from 1/2 to 1 inch wide, limit offset from true alignment to 1/8 inch.

c. Where surfaces are separated by reveal or protruding element of 1 inch wide or more, limit offset from true alignment to 1/4 inch.

4. Location: Limit variation from plane to 1/8 inch in 12 feet; 1/2 inch over total length.

3.7 FIELD QUALITY CONTROL

A. Egress Door Inspections: Inspect each aluminum-framed entrance door equipped with panic hardware, each aluminum-framed entrance door located in an exit enclosure, each electrically controlled aluminum-framed egress door, and each aluminum-framed entrance door equipped with special locking arrangements, in accordance with NFPA 101, Section 7.2.1.15.

1. Aluminum-framed entrances and storefronts will be considered defective if they do not pass tests and inspections.
2. Prepare test and inspection reports.

END OF SECTION 084113

SECTION 084113.13 - FIRE-RATED ALUMINUM-FRAMED STOREFRONTS

PART 1 - GENERAL

1.1 RELATED REQUIREMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Fire-resistive aluminum-clad framing and glazing system.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes.
- B. Sustainable Design Submittals:
 - 1. Product Data: For recycled content, indicating postconsumer and pre-consumer recycled content and cost.
- C. Shop Drawings: For fire-resistive framing and glazing system assembly. Include plans, elevations, sections, full-size details, and attachments to other work.
 - 1. Include details of vertical-to-horizontal intersections showing the following:
 - a. Joinery.
 - b. Anchorage.
 - c. Expansion provisions.
 - d. Glazing.

- e. Flashing.
- f. Sealants.

- D. Thermal Modeling and Calculations: Provide a finite element computer thermal modeling and calculations report per NFRC's component modeling approach software tool that system assemblies meet energy performance requirements as indicated in this section.
- E. Samples for Initial Selection: For units with factory-applied color finishes.
- F. Samples for Verification: For each type of exposed finish required, in manufacturer's standard sizes.

1.6 INFORMATIONAL SUBMITTALS

- A. Sample Warranties: For special warranties.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Qualifications:
 - 1. Installer Qualifications: An installer with successful experience in installation of similar fire-resistive framed systems as required for this project.
- C. Aesthetic Effects: Information on Drawings and in Specifications establishes requirements for aesthetic effects and performance characteristics of assemblies. Aesthetic effects are indicated by dimensions, arrangements, alignment, and profiles of components and assemblies as they relate to sightlines, to one another, and to adjoining construction.
 - 1. Do not change intended aesthetic effects, as judged solely by Commissioner, except with Commissioner's approval. If changes are proposed, submit comprehensive explanatory data to Commissioner for review.

1.8 DELIVERY, STORAGE, AND HANDLING

- A. Deliver materials in manufacturer's packaging undamaged, complete, and with manufacturer's installation instructions.
- B. Store materials off ground, under cover, protected from the elements and construction activities, at temperature conditions recommended by manufacturer.

1.9 FIELD CONDITIONS

- A. Verify existing dimensions for openings by field measurements before fabrication, and indicate recorded measurements on shop drawings.

1.10 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace components of fire-resistive framed and glazed assemblies that do not comply with requirements or that fail in materials or workmanship within specified warranty period.
1. Failures include, but are not limited to, the following:
 - a. Structural failures, including, but not limited to, excessive deflection.
 - b. Noise or vibration created by wind and thermal and structural movements.
 - c. Deterioration of metals, metal finishes, and other materials beyond normal weathering.
 - d. Water penetration through fixed glazing and framing areas.
 - e. Failure of operating components.
 2. Warranty Period: Five years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Source Limitations: Obtain all components of aluminum framing and fire rated glazing from single manufacturer.

2.2 PERFORMANCE REQUIREMENTS

- A. Fire Rating: 45 minutes fire protective as indicated on the drawings.
- B. Assembly Certifications: Tested in accordance with NFPA 80, NFPA 257, ASTM E163, ASTM E2010 and UL9, and meets 45 minute fire protective window assembly certification.
- C. Air Infiltration: Not less than 0.01 cfm/sq. ft per ASTM E283.
- D. Water Resistance Test Pressure: Up to 20.0 lbs/sq. ft.
- E. Forced Entry Resistance: Meets ASTM F588, Type D.
- F. Listings and Labels: Fire resistive framing assemblies to be listed and labeled by UL, Intertek or other nationally recognized independent testing laboratory.
- G. Energy Performance: Certified and labeled by manufacturer for energy performance in compliance with the NYC Energy Conservation Code, and as follows:
1. Thermal Transmittance (U-factor):
 - a. Fixed Glazing and Framing Areas: U-factor for the system of not more than 0.36 Btu/sq. ft. x h x deg F (2.55 W/sq. m x K) as determined in accordance with NFRC 100.

2. Solar Heat-Gain Coefficient (SHGC):

- a. Fixed Glazing and Framing Areas: SHGC for the system of not more than 0.36 as determined in accordance with NFRC 200.

2.3 FIRE-RESISTIVE FRAMING AND GLAZING SYSTEM (W03)

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Safti First; GPX Architectural Series Faming with SuperClear 45-HS Fire Protective glazing and insulated glazing unit, or comparable system by one of the following:

1. TGP Architectural.
2. Alufam.
3. Or approved equal.

2.4 FRAMING MATERIALS

- A. Fire-resistive, temperature rise framing system rated for 45 minutes.
- B. Frame Profile: Profile dimensions as indicated on the drawings.
- C. Structural Profiles:
 1. Internal tube steel framing conforming with ASTM A501.
 2. Galvanized formed steel retainers conforming with ASTM A527.
- D. Insulation: Framing system to insulate against the effects of fire, smoke and heat transfer from either side of assembly. The space between perimeter of the framing system and the rough opening to be firmly packed with mineral wool firestop insulation or intumescent sealant as recommended by the assembly manufacturer.
- E. Fasteners: No exposed fasteners allowed.
- F. Glazing Accessories: The glazing material perimeter to be separated from the perimeter framing system with manufacturer approved flame retardant glazing tape. The fire protected glazing panel to be continuously caulked around the edge of the tube steel frame with neutral cure silicone sealant. Provide silicone setting blocks.
- G. Recycled Content of Steel Products: Postconsumer recycled content plus one-half of preconsumer recycled content not less than 30 percent.
- H. Recycled Content of Aluminum Components: Postconsumer recycled content plus one-half of preconsumer recycled content not less than 25 percent.

2.5 GLASS MATERIALS

- A. Clear Annealed Float Glass: ASTM C1036, Type I, Class 1 (clear), Quality-Q3.

- B. Fire Protection Rated Glass: Listed and labeled by a qualified testing agency for fire-protection ratings indicated, based on positive-pressure testing in accordance with NFPA 257 or UL 9, including hose-stream test, and shall comply with NFPA 80
 - 1. Fire-Protection-Rated Glazing Labeling: Permanently mark fire-protection-rated glazing with certification label of a qualified testing agency. Label to indicate manufacturer's name; test standard; whether glazing is permitted to be used in doors or openings; if permitted in openings, whether glazing has passed hose-stream test; whether glazing meets 450 deg F (250 deg C) temperature-rise limitation; and fire-resistance rating in minutes.
 - 2. Fire-Protection-Rated Monolithic Glass for Protected Openings: 19-mm thickness; fire-protection-rated glass; complying with 16 CFR 1201, Category II. UL listed and tested in accordance with NFPA 252 for fire-rated doors and NFPA 257 for protected openings with hose-stream testing.

2.6 GLAZING ASSEMBLY

- A. Insulating glass unit with fire-protection-rated monolithic glass (GL5): Assembly to comply with maximum U-factor and SHGC values listed in "Performance Requirements" Article, and to consist of the following:
 - 1. Overall Thickness: 2 inches.
 - 2. Outer lite of insulated glass unit: 6 mm min. clear annealed float glass with clear sputtered low-e coating on surface #2.
 - 3. Inner lite of insulated glass unit: 6 mm min. clear annealed float glass.
 - 4. Interspace content of insulated glass assembly: Argon.
 - 5. Spacer: As required for fitting insulating glass unit and fire-protection-rated monolithic glass.
 - 6. Fire-Protection-Rated Monolithic Glass: 19 mm.

2.7 FABRICATION

- A. Comply with manufacturer's recommendations for furnishing units as knocked down for field assembly and field glazing or pre-assembled based on existing wall opening conditions.
- B. Form or extrude exterior aluminum cladding shapes before finishing.
- C. Weld in concealed locations to greatest extent possible to minimize distortion or discoloration of finish. Remove weld spatter and welding oxides from exposed surfaces by descaling or grinding.
- D. Fabricate components that, when assembled, have the following characteristics:
 - 1. Profiles that are sharp, straight, and free of defects or deformations.
 - 2. Accurately fitted joints with ends coped or mitered.
 - 3. Physical and thermal isolation of glazing from framing members.
 - 4. Accommodations for thermal and mechanical movements of glazing and framing to maintain required glazing edge clearances.
 - 5. Fasteners, anchors, and connection devices that are concealed from view to greatest extent possible.

- E. Mechanically Glazed Framing Members: Fabricate for flush glazing without projecting stops.
- F. After fabrication, clearly mark components to identify their locations in Project in accordance with Shop Drawings.

2.8 ALUMINUM FINISHES

- A. Color Anodic Finish: AAMA 611, AA-M12C22A42/A44, Class I, 0.018 mm or thicker.
 - 1. Color: Bronze.
- B. Protect finishes on exposed surfaces from damage by applying strippable temporary protective coverings before shipping units.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine areas, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION, GENERAL

- A. Comply with manufacturer's written instructions, and install in strict accordance with approved shop drawings.
- B. Do not install damaged components.
- C. Fit joints to produce hairline joints free of burrs and distortion.
- D. Rigidly secure nonmovement joints.
- E. Install anchors with separators and isolators to prevent metal corrosion and electrolytic deterioration and to prevent impeding movement of moving joints.
- F. Seal perimeter and other joints watertight unless otherwise indicated.
- G. Metal Protection:

1. Where aluminum is in contact with dissimilar metals, protect against galvanic action by painting contact surfaces with materials recommended by manufacturer for this purpose or by installing nonconductive spacers.
2. Where aluminum is in contact with concrete or masonry, protect against corrosion by painting contact surfaces with bituminous paint.

H. Set continuous sill members and flashing in full sealant bed, as specified in Section 079200 "Joint Sealants," to produce weathertight installation.

I. Install joint filler behind sealant as recommended by sealant manufacturer.

J. Install components plumb and true in alignment with established lines and grades.

3.4 ERECTION TOLERANCES

A. Install fire-resistive assemblies to comply with the following maximum tolerances:

1. Plumb: 1/8 inch in 10 feet; 1/4 inch in 40 feet.
2. Level: 1/8 inch in 20 feet; 1/4 inch in 40 feet.
3. Alignment:
 - a. Where surfaces abut in line or are separated by reveal or protruding element up to 1/2 inch wide, limit offset from true alignment to 1/16 inch.
 - b. Where surfaces are separated by reveal or protruding element from 1/2 to 1 inch wide, limit offset from true alignment to 1/8 inch.
 - c. Where surfaces are separated by reveal or protruding element of 1 inch wide or more, limit offset from true alignment to 1/4 inch.
4. Location: Limit variation from plane to 1/8 inch in 12 feet; 1/2 inch over total length.

3.5 CLEANING AND PROTECTION

A. Protect glass from contact with contaminating substances resulting from construction operations. remove substances by methods approved by glass manufacturer.

B. Wash glass on both faces not more than four days prior to inspections intended to establish Date of Substantial Completion. Wash glass by method recommended by glass manufacturer.

C. Remove temporary coverings and protection of adjacent work areas.

END OF SECTION 084113

SECTION 087100 – DOOR HARDWARE

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract (City of New York Standard Construction Contract).

1.2 SUMMARY

- A. Section includes:
 - 1. The required hardware items for doors are indicated in hardware sets shown herein. Should any opening be omitted, hardware shall be provided as specified for other doors of similar locations, function, quality and design
- B. Related Sections
 - 1. Section 081113 Hollow Metal Doors and Frames Section
 - 2. Section 081416 Flush Wood Doors
 - 3. Section 084113 Aluminum-Framed Entrances and Storefronts

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 “Submittal Procedures”.

1.4 SUBMITTALS

- A. Product Data: Manufacturer’s data for each item of hardware. Include all necessary information to show compliance with requirements.
- B. Hardware Schedule: Follow Door and Hardware Institute (DHI) guidelines for scheduling. At the end of the schedule list each door number with appropriate heading number and hardware set number.
- C. Furnish initial draft of schedule at the earliest possible date, in order to facilitate the fabrication of other work. Furnish final schedule after samples, manufacturer's data sheets have been approved.
- D. Keying Schedule: A key schedule showing all key numbers and spaces to which each permits entry, shall be provided. Consult with Commissioner before submitting final key schedule. After final approval has been received, the schedule along with the key gathering envelopes containing keys for each lock endorsed with lock number and space designation shall be turned over to the Commissioner

- E. Samples: Prior to submittal of the final hardware schedule and prior to delivery of hardware, submit one (1) sample of each exposed hardware unit. Sample will be reviewed by the Commissioner for design, color and texture only. Compliance with other requirements is the responsibility of the contractor.

1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 “Quality Requirements”.
- B. Standards: All finish hardware shall conform to one or all the following standards:
 - 1. Testing Laboratories: Underwriters Laboratory (UL) and or Warnock Hersey Fire Laboratories Division: All fire rated doors shall have hardware assemblies approved by one of the listed laboratories.
 - 2. National Fire Protection Association: NFPA 80 and NFPA 101.
 - 3. Builders Hardware Manufacturers Association (BHMA).
 - 4. American National Standards Institute (ANSI).
 - 5. New York City Building Code.
 - 6. ICC A117.1-2009.
- C. Supplier: Finish hardware shall be furnished by an entity that has in its employ at least one certified Architectural Hardware Consultants (AHC) to correctly interpret the plans, detailed drawings and specifications. All Finish Hardware items is to be furnished by a factory authorized contract hardware distributor for each of the specified products.

1.6 PRODUCT HANDLING

- A. Handle, store, distribute, protect and install in accordance with the manufacturer’s instructions. Deliver packaged material in original containers with seals unbroken and labels intact. Deliver assemblies completely identified and with adequate protection for storage, handling and installation.
- B. Provide secure lock-up for hardware delivered to the project, but not yet installed. Control the handling and installation of hardware which are not immediately replaceable, so that completion of the work will not be delayed by hardware losses; both before and after installation.

1.7 PROJECT CONDITIONS

- A. Coordinate hardware with other work. Tag each item or package separately, with identification related to the final hardware schedule, and include basic installation instructions in the package. Furnish hardware items of proper design for use on doors and frames of the thickness, profile, swing, security and similar requirements indicated and as necessary for proper installation and function. Deliver packaged hardware items to the proper locations for installation.
- B. Furnish hardware templates to each fabricator of doors, frames and other work to be factory prepared for the installation of hardware.

1.8 WARRANTIES

- A. The hardware manufacturers shall provide full material replacement warranty as listed below.
1. Surface Closers. 25 years from date of substantial completion.
 2. Locksets. 2 years from date of substantial completion.
 3. Balance of Hardware. 2 years from date of substantial completion.

PART 2 - PRODUCTS

2.1 MATERIALS AND FABRICATION

- A. Hand of Door: The drawings show the swing or hand of each door leaf. Furnish each item of hardware for proper installation and operation of the door swing shown.
- B. Base Metals: Produce hardware units of the basic metal and forming method indicated, using manufacturer's standard metal alloy, composition, temper and hardness but in no case of lesser quality material.
- C. Fasteners: Manufacture hardware to conform to published templates, generally prepared for machine screw installation. Do not provide hardware, which has been prepared for self- tapping sheet metal screws.
- D. Screws: Furnish screws for installation, with each hardware item. Finish exposed screws to match the hardware finish.
- E. Tools for Maintenance: Furnish a complete set of specialized tools as needed, for the Commissioner's continued maintenance, removal and replacement of hardware.
- F. Concealed Fasteners: Provide concealed fasteners for hardware units which are exposed when the door is closed except to the extent no standard manufacturer's units are available with concealed fasteners. Use thru bolts only where necessary to adequately fasten hardware to the door.

2.2 HINGES

- A. All hinges shall be full mortise five knuckle ball bearing type, template, with nonrising loose pins. All outswing doors shall be furnished with non-removable pins (NRP).
- B. All hinges for 1-3/4" thick doors shall be 4-1/2" wide in the open position. For other thickness doors, hinges shall be of a width to permit unobstructed swing of the doors.
- C. Size and weight of hinges shall conform to the following:
1. Up to 36": 4-1/2" heavy weight
 2. Over 36" to 44": 5" heavy weight
 3. Over 44": Continuous hinges
- D. Quantity of hinges shall be provided to conform to the following:
1. Doors up to 60" in heights: 2 hinges

2. Doors 60" to 90" in height: 3 hinges
3. Doors 90" and over: 1 hinge every 30" in height

E. All hinges shall be the products of one manufacturer.

2.3 LOCKSETS, LATCHSETS ETC

A. Unless otherwise noted, all locksets and latchsets shall conform to ANSI A156.13 Series 1000 Grade 1. Furnish wrought steel box strikes and curved lip strikes with proper lip lengths as required.

2.4 KEYS, KEYING, AND CYLINDERS

A. Keys: shall be nickel silver. Furnish a quantity of keys as follows.

1. Master Keys 5
2. Change Keys 3 each per cylinder
3. Control Keys 5
4. Construction Keys 5

B. Keying: All locks shall be construction keyed and great grand master keyed to the existing Best keying system. Hardware supplier to meet with the Commissioner to establish keying requirements.

C. Cylinders: All cylinders shall be removable core with visual key control. Furnish brass construction cores. Plastic cores will not be accepted.

2.5 DOOR CLOSING DEVICES

A. All surface closers shall meet ANSI A156.4 Grade 1 requirements, barrier free.

B. All closers shall be installed so that closer bodies are positioned on room side of doors to and from corridors, i.e., in-swing doors shall be regular arm. Out-swing doors shall have a parallel arm. Regular arm shall be used in connecting doors between rooms.

C. Furnish all required brackets, filler plates and any other items required to ensure proper installation and operation. All hinges shall be full mortise five knuckle ball bearing type, template, with nonrising loose pins. All outswing doors shall be furnished with non-removable pins (NRP).

2.6 MANUFACTURERS

A. Hinges:

1. PBB
2. Stanley
3. McKinney
4. Or approved equal.

B. Locksets and Latchsets:

1. Best
 2. Dorma
 3. Schlage
 4. Or approved equal.
- C. Cylindrical Locksets and Levers:
1. Sole Source Product: Best
 - a. No substitutions permitted.
- D. Closers:
1. Dorma
 2. LCN
 3. Norton.
 4. Or approved equal
- E. Exit Devices and Electric Strikes:
1. Sole Source Product: Von Duprin
 - a. No substitutions permitted.
- F. Gasketing and Saddles:
1. Legacy
 2. Zero
 3. NGP.
 4. Or approved equal
- G. Door Stops, Flush Bolts, Push and Pulls:
1. Trimco
 2. Rockwood
 3. Ives
 4. Or approved equal.
- H. Automatic Operators:
1. Dorma
 2. LCN
 3. Stanley
 4. Or approved equal.
- I. Wall Actuators:
1. Deltrex
 2. Dorma
 3. BEA
 4. Or approved equal.

J. Door Stops:

1. Provide the following wall mounted door stops for all doors unless otherwise noted:
 - a. Basis of Design product: Subject to compliance with requirements, provide Trimco; 1270WV, or comparable wall mounted doors stop by one of the following:
 - 1) Rockwood
 - 2) Ives
 - 3) Or approved equal.
2. Where a wall stop will not function properly, provide the following floor mounted door stops:
 - a. Basis-of-Design product: Subject to compliance with requirements, provide Trimco; W1211, or comparable wall mounted doors stop by one of the following:
 - 1) Rockwood
 - 2) Ives
 - 3) Or approved equal.

K. Flush Bolts:

1. Provide the following flush bolts, with proper extensions to allow for easy operation:
 - a. Basis-of-Design product: Subject to compliance with requirements, provide Trimco W3917/3913 series with 3910 dustproof strikes or comparable products by one of the following:
 - 1) Rockwood
 - 2) Ives
 - 3) Or approved equal.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 GENERAL

- A. Approval: As soon as practical after award of Contract and before a hardware schedule is prepared, and before any hardware is ordered or delivered to the project, the Contractor shall submit to the Commissioner for their written approval, copies of sample list, listing each of the different items of builder's hardware and catalog cuts of each item.
- B. Templates: As soon as the hardware schedule is approved by the Commissioner, the hardware supplier shall furnish to the various fabricators, required templates for fabrication purposes. Templates shall be made available not more than (10) days after receipt of the approved hardware schedule.

- C. **Packaging and Marking:** All hardware shall be shipped with proper fastenings for secure application. Each package of hardware shall be legibly marked indicating the part of the work for which it is intended. Markings shall correspond with the item numbers shown on the approved hardware schedule. Keys shall be tagged within each package set and plainly marked on the face of the envelope with the key control number, door designation and all identification as necessary.
- D. **Delivery:** Delivery shall be made to the project site to the attention of the Contractor. Where delivery of special hardware is required at any fabricators plant, the hardware supplier shall make such delivery.

3.3 INSTALLATIONS

- A. Mount hardware units at heights recommended in "Recommended Locations for Builders Hardware" by BHMA, unless otherwise noted or directed by the Commissioner.
- B. Install each hardware unit in compliance with the manufacturer's recommendations.

3.4 ADJUST AND CLEAN

- A. Adjust and check each operating item of hardware and each door to ensure proper operation or function of every unit. Lubricate moving parts with type lubrication recommended by manufacturer. Replace units that cannot be adjusted.
- B. Wherever hardware installation is made more than one (1) month prior to substantial completion or occupancy of a space or area, return to the work during the week prior to acceptance make a final check, and adjust all hardware items in such space or area. Adjust door control devices and compensate for final operation of heating and ventilating equipment.
- C. Instruct City of New York personnel in proper adjustment and operation of hardware and hardware, during the final adjustment of hardware.

3.5 HARDWARE SETS

- A. The following is a general listing of hardware requirements. Any items of hardware required by established standards or practices, or to meet state or proper door operation shall be furnished specifically called out in the following listed groups.
- B. The following hardware sets represent Basis-Of-Design products. Refer to Article 2.8 for additional manufacturers offering comparable products.

1. **HARDWARE SET #1:**
Each To Have:

- | | | |
|----|---------------------------|-----------------------------------|
| a. | Hinges | Stanley (see description) x US26D |
| b. | 1 Office Lock | Best 93K-7-AB-14C x US26D |
| c. | 1 Permanent Core | Best (to suit) x US26D |
| d. | 1 Concealed Overhead Stop | Dorma 710S series x US26D |
| e. | 1 Kick Plate | Trimco K0050 10" x 2" LDW x US26D |
| f. | 3 Silencers | Trimco 1229A |



2. **HARDWARE SET #1A:**
Each To Have:
 - a. Hinges Stanley (see description) x US26D
 - b. 1 Office Lock Best 93K-7-AB-14C x US26D
 - c. 1 Permanent Core Best (to suit) x US26D
 - d. 1 Wall Stop Trimco 1270WV x US32D
 - e. 1 Kick Plate Trimco K0050 10" x 2" LDW x US26D
 - f. 3 Silencers Trimco 1229A

3. **HARDWARE SET #2:**
Each To Have:
 - a. 1 set Pivots Dorma OP440 x US26D
 - b. 1 Intermediate Pivot Dorma 75220 x US26D
 - c. 1 Classroom Lock Best 93K-7-R-14C x US26D
 - d. 1 Permanent Core Best (to suit) x US26D
 - e. 1 Surface Closer/Hold Open Dorma 8616-FHP-FC x 689
 - f. 1 Closer Mtg. Bracket Legacy Mfg. 5574SPB x CA
 - g. 1 Wall Stop Trimco 1270WV x US26D
 - h. 1 set Sound Seals Legacy Mfg. 5078CA jams/head
 - i. 1 Door Bottom Legacy Mfg. 7553MA

4. **HARDWARE SET #3:**
Each To Have:
 - a. Hinges Stanley (see description) x US26D
 - b. 1 Privacy Set Best 45H-0-L-14D-VIB x ADA Turn piece x US26D
 - c. 1 Surface Closer Dorma 8616-AF-FC x 689
 - d. 1 Wall Stop Trimco 1270WV x US26D
 - e. 1 Kick Plate Trimco K0050 10" x 2" LDW x US26D
 - f. 3 Silencers Trimco 1229A

5. **HARDWARE SET #4:**
Each To Have:
 - a. 2 sets Pivots Dorma OP440 x US26D
 - b. 2 Dummy Levers Best 9K-0-1DT x US26D
 - c. 2 Roller Latches Trimco 1559 x B x US26D
 - d. 2 Surface Overh'd Stops Dorma 700S series x US32D
 - e. 2 Silencers Trimco 1229A

6. **HARDWARE SET #4A:**
Each To Have:
 - a. 2 sets Pivots Dorma OP440 x US26D
 - b. 2 Flush Bolts Trimco W3917 x US26D
 - c. 1 Dustproof Strike Trimco 3910 x US26D
 - d. 1 Deadlock Best 48H-7-R x US26D
 - e. 1 Permanent Core Best (to suit) x US26D



- f. 2 Dummy Levers Trimco 1060 x US26D
 - g. 2 Surface Overh'd Stops Dorma 700S series x US32D
 - h. 2 Silencers Trimco 1229A
7. **HARDWARE SET #4B:**
Each To Have:
- a. Hinges Stanley (see description) x US26D
 - b. 2 Flush Bolts Trimco W3917 x US26D
 - c. 1 Dustproof Strike Trimco 3910 x US26D
 - d. 1 Storeroom Lock Best 93K-7-D-14D x US26D
 - e. 1 Permanent Core Best (to suit) x US26D
 - f. 1 Surface Closer Dorma 8616-AF-FC x 689
 - g. 2 Astragal Seals Legacy 7584MA
 - h. 1 set Gasketing Legacy 5884S-BK-3M jambs & head
8. **HARDWARE SET #4C:**
Each To Have:
- a. Hinges Stanley (see description) x US26D
 - b. 2 Flush Bolts Trimco W3917 x US26D
 - c. 1 Dustproof Strike Trimco 3910 x US26D
 - d. 1 Deadlock Best 48H-7-R x US26D
 - e. 1 Permanent Core Best (to suit) x US26D
 - f. 2 Recessed Pulls Trimco 1060 x US26D
 - g. 2 Silencers Trimco 1229A
9. **HARDWARE SET #5:**
Each To Have:
- a. Hinges Stanley (see description) x US26D
 - b. 1 Exit Device Von Duprin 98L-BE x 17 x US26D
 - c. 1 Surface Closer/Stop Dorma 8616-IS-FC x 689
 - d. 1 Kick Plate Trimco K0050 10" x 2" LDW x US26D
 - e. 1 set Gasketing Legacy 5924MA @ jambs & head
 - f. 1 Door Bottom Legacy 78918MA
 - g. 1 Saddle Legacy (as detailed)
10. **HARDWARE SET #6:**
Each To Have:
- a. 1 sets Pivots Dorma OP440 x US26D
 - b. 1 Deadlock Best 48H-7-R x US26D
 - c. 1 Permanent Core Best (to suit) x US26D
 - d. 1 Dummy Lever Trimco 1060 x US26D
 - e. 1 Surface Overh'd Stops Dorma 700S series x US32D
 - f. 3 Silencers Trimco 1229A

C. The following hardware sets contain electrical components requiring coordination with the electrical and security drawings.



1. HARDWARE SET #E1:

Each To Have:

- | | | |
|----|------------------------|------------------------------|
| a. | 1 Continuous Hinge | Legacy Mfg. 1019-LPT-CA |
| b. | 1 Electric Exit Device | Von Duprin QELRX98NL x US26D |
| c. | 1 Cylinder | Best (to suit) x US26D |
| d. | 1 Temporary Core | Best (to suit) x US26D |
| e. | 1 Permanent Core | Best (to suit) x US26D |
| f. | 1 Surface Closer/Stop | Dorma 8916-SDS x 689 |
| g. | 1 Set Gasketing | Legacy 5924MA @ jambs & head |
| h. | 1 Door Bottom | Legacy 78918MA |
| i. | 1 Saddle | Legacy (as detailed) |
| j. | 1 Power Supply | Von Duprin PS902-2RS |
| k. | 1 Door Contact | (see security drawings) |
| l. | 1 Card Reader | (see security drawings) |

- 1) Operation: Card reader on non-secure side of door unlocks electric exit device. Free egress on secure side of door. LS switch in electric lock shunts alarm

2. HARDWARE SET #E1A:

Each to Have:

- | | | |
|----|------------------------|-----------------------------------|
| a. | 1 Continuous Hinge | Legacy Mfg. 1019-LPT-CA |
| b. | 1 Electric Exit Device | Von Duprin E9875L-FSE-ALK x US26D |
| c. | 2 Cylinder | Best (to suit) x US26D |
| d. | 2 Temporary Core | Best (to suit) x US26D |
| e. | 2 Permanent Core | Best (to suit) x US26D |
| f. | 1 Surface Closer/Stop | Dorma 8916-SDS x 689 |
| g. | 1 Set Gasketing | Legacy 5924MA @ jambs & head |
| h. | 1 Door Bottom | Legacy 78918MA |
| i. | 1 Saddle | Legacy (as detailed) |
| j. | 1 Power Supply | Von Duprin PS902-2RS |
| k. | 1 Door Contact | (see security drawings) |
| l. | 1 Card Reader | (see security drawings) |

- 1) Operation: Card reader on non-secure side of door unlocks electric exit device. Free egress on secure side of door. Pushing on exit device sounds alarm (ALK). Cylinder on inside of door shunts alarm.

3. HARDWARE SET #E2:

Each To Have:

- | | | |
|----|-----------------------|-----------------------------------|
| a. | 1 Continuous Hinge | Legacy Mfg. 1019-LPT-CA |
| b. | 1 Electric Lock | Best 93K-7-DEU-RX x US26D |
| c. | 1 Permanent Core | Best (to suit) x US26D |
| d. | 1 Surface Closer/Stop | Dorma 8916-SDS x 689 |
| e. | 1 Wall Stop | Trimco 1270WV x US26D |
| f. | 1 Kick Plate | Trimco K0050 10" x 2" LDW x US26D |



- g. 1 Set Gasketing Legacy 5924MA @ jambs & head
- h. 1 Door Bottom Legacy 78918MA
- i. 1 Saddle Legacy (as detailed)
- j. 1 Power Supply (see security drawings)
- k. 1 Door Contact (see security drawings)
- l. 1 Card Reader (see security drawings)

- 1) Operation: Card reader on non-secure side of door unlocks electric exit device.
- 2) Free egress on secure side of door. LS switch in electric lock shunts alarm.

4. **HARDWARE SET #E2A:**

Each To Have:

- a. Hinges Stanley (see description) x US26D
- b. 1 Electric Hinge Stanley 6 wire to match x US26D
- c. 1 Electric Lock Best 93K-7-DEU-RX x US26D
- d. 1 Permanent Core Best (to suit) x US26D
- e. 1 Surface Closer Dorma 8616-AF-FC x 689
- f. 1 Wall Stop Trimco 1270WV x US26D
- g. 1 Kick Plate Trimco K0050 10” x 2” LDW x US26D
- h. 1 set Gasketing Legacy 5883S-BK-3M jambs & head
- i. 1 Power Supply (see security drawings)
- j. 1 Door Contact (see security drawings)
- k. 1 Card Reader (see security drawings)

- 1) Operation: Card reader on non-secure side of door unlocks electric exit device.
- 2) Free egress on secure side of door. LS switch in electric lock shunts alarm.

5. **HARDWARE SET #E2B:**

Each To Have:

- a. Hinges Stanley (see description) x US26D
- b. 1 Electric Hinge Stanley 6 wire to match x US26D
- c. 1 Electric Lock Best 93K-7-DEU-RX x US26D
- d. 1 Permanent Core Best (to suit) x US26D
- e. 1 Surface Closer Dorma 8616-AF-FC x 689
- f. 1 Wall Stop Trimco 1270WV x US26D
- g. 1 Kick Plate Trimco K0050 10” x 2” LDW x US26D
- h. 3 Silencers Trimco 1229A
- i. 1 Power Supply (see security drawings)
- j. 1 Door Contact (see security drawings)
- k. 1 Card Reader (see security drawings)

- 1) Operation: Card reader on non-secure side of door unlocks electric exit device. Free egress on secure side of door. LS switch in electric lock shunts alarm.

6. **HARDWARE SET #E3:**

Each To Have:

- a. 2 Elec. Continuous Hinges Legacy Mfg. 1019-LPT x DB
- b. 1 Electric Exit Device Von Duprin QELRX3547EO x 313AN



- c. 1 Electric Exit Device Von Duprin QELRX3547TL x 313AN
- d. 1 Cylinder Best (to suit) x US10B
- e. 1 Temporary Core Best (to suit) x US10B
- f. 1 Permanent Core Best (to suit) x US10B
- g. 2 Full Height Pulls Trimco AP413N-8478-4 x 630
- h. 1 Automatic Operator/Slave Dorma ED100-DS4523-04B x 690
- i. 2 Wall Actuators Deltrex USA F106-E24
- j. 1 set Weatherstripping (by door manufacturer)
- k. 2 Door Bottoms Legacy Mfg. 73918DB
- l. 1 Saddle Legacy Mfg. (as detailed)
- m. 1 Power Supply Von Duprin PS902-2RS
- n. 2 Door Contact (see security drawings)
- o. 1 Card Reader (see security drawings)

1) Operation: During normal operation, electric exit devices are electrically dogged thru the security system. Pushing on wall actuator signals automatic operator to open door. After hours, card reader on non-secure side of door unlocks electric exit device and outside wall actuator, pushing on wall actuator signals automatic operator to open doors. Automatic operator is sequenced with automatic operator in set #E4. Free egress on secure side of door. RX switch in electric lock shunts alarm.

7. **HARDWARE SET #E4:**
Each To Have:

- a. 2 Electric Continuous Hinges Legacy Mfg. 1019-LPT x DB
- b. 1 Electric Exit Device Von Duprin QELRX3547EO x 313AN
- c. 1 Electric Exit Device Von Duprin QELRX3547TL x 313AN
- d. 1 Cylinder Best (to suit) x US10B
- e. 1 Temporary Core Best (to suit) x US10B
- f. 1 Permanent Core Best (to suit) x US10B
- g. 2 Full Height Pulls Trimco AP413N-8478-4 x 630
- h. 1 Automatic Operator/Slave Dorma ED100-DS4523-04B x 690
- i. 2 Wall Actuators Deltrex USA F106-E24
- j. 1 Saddle Legacy Mfg. (as detailed)
- k. 1 Power Supply Von Duprin PS902-2RS
- l. 2 Door Contact (see security drawings)

1) Operation: During normal operation, electric exit devices are electrically dogged thru the security system. Pushing on wall actuator signals automatic operator to open door. After hours doors are locked thru the security system, automatic operator must be shut off thru the security system. Automatic operator is sequenced with automatic operator in set #E3. Free egress on secure side of door.

END OF SECTION 087100

SECTION 088000 - GLAZING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Glass products.
2. Laminated glass.
3. Insulating glass.
4. Glazing sealants.
5. Glazing tapes.
6. Miscellaneous glazing materials.

B. Related Requirements:

1. Section 084113.13 "Fire-Rated Aluminum Framed Storefronts." for glazing assembly GL5 at fire-protected opening.

1.3 DEFINITIONS

- A. Glass Manufacturers: Firms that produce primary glass, fabricated glass, or both, as defined in referenced glazing publications.
- B. Glass Thicknesses: Indicated by thickness designations in millimeters in accordance with ASTM C1036.
- C. Interspace: Space between lites of an insulating-glass unit.

1.4 COORDINATION

- A. Coordinate glazing channel dimensions to provide necessary bite on glass, minimum edge and face clearances, and adequate sealant thicknesses, with reasonable tolerances to achieve proper safety margins for glazing retention under each design load case, load case combination, and service condition.

1.5 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1. Review and finalize construction schedule and verify availability of materials, Installer's personnel, equipment, and facilities needed to make progress and avoid delays.
2. Review temporary protection requirements for glazing during and after installation.

1.6 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.7 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Sustainable Design Submittals:
 1. Product Data: For sealants, indicating VOC content.
- C. Glass Samples: For each type of glass product other than clear monolithic vision glass; 12 inches square.
- D. Glazing Schedule: List glass types and thicknesses for each size opening and location. Use same designations indicated on Drawings.

1.8 INFORMATIONAL SUBMITTALS

- A. Product Test Reports: For glazing sealants, for tests performed by a qualified testing agency.
 1. For glazing sealants, provide test reports based on testing current sealant formulations within previous 36-month period.
- B. Sample Warranties: For special warranties.

1.9 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.10 DELIVERY, STORAGE, AND HANDLING

- A. Protect glazing materials in accordance with manufacturer's written instructions. Prevent damage to glass and glazing materials from condensation, temperature changes, direct exposure to sun, or other causes.
- B. Comply with insulating-glass manufacturer's written instructions for venting and sealing units to avoid hermetic seal ruptures due to altitude change.

1.11 FIELD CONDITIONS

- A. Environmental Limitations: Do not proceed with glazing when ambient and substrate temperature conditions are outside limits permitted by glazing material manufacturers and when glazing channel substrates are wet from rain, frost, condensation, or other causes.
1. Do not install glazing sealants when ambient and substrate temperature conditions are outside limits permitted by sealant manufacturer or are below 40 deg F.

1.12 WARRANTY

- A. Manufacturer's Special Warranty for Coated-Glass Products: Manufacturer agrees to replace coated-glass units that deteriorate within specified warranty period. Deterioration of coated glass is defined as defects developed from normal use that are not attributed to glass breakage or to maintaining and cleaning coated glass contrary to manufacturer's written instructions. Defects include peeling, cracking, and other indications of deterioration in coating.
1. Warranty Period: 10 years from date of Substantial Completion.
- B. Manufacturer's Special Warranty for Laminated Glass: Manufacturer agrees to replace laminated-glass units that deteriorate within specified warranty period. Deterioration of laminated glass is defined as defects developed from normal use that are not attributed to glass breakage or to maintaining and cleaning laminated glass contrary to manufacturer's written instructions. Defects include edge separation, delamination materially obstructing vision through glass, and blemishes exceeding those allowed by referenced laminated-glass standard.
1. Warranty Period: 10 years from date of Substantial Completion.
- C. Manufacturer's Special Warranty for Insulating Glass: Manufacturer agrees to replace insulating-glass units that deteriorate within specified warranty period. Deterioration of insulating glass is defined as failure of hermetic seal under normal use that is not attributed to glass breakage or to maintaining and cleaning insulating glass contrary to manufacturer's written instructions. Evidence of failure is obstruction of vision by dust, moisture, or film on interior surfaces of glass.
1. Warranty Period: 10 years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Source Limitations for Glass: Obtain tinted and coated glass from single source from single manufacturer.
- B. Source Limitations for Glazing Accessories: For each product and installation method, obtain from single source from single manufacturer.

2.2 PERFORMANCE REQUIREMENTS

- A. General: Installed glazing systems shall withstand normal thermal movement and wind and impact loads (where applicable) without failure, including loss or glass breakage attributable to defective manufacture, fabrication, or installation; failure of sealants or gaskets to remain watertight and airtight; deterioration of glazing materials; or other defects in construction.
- B. Structural Performance: Glazing shall withstand the following design loads within limits and under conditions indicated, and determined in accordance with the IBC and ASTM E1300:
 - 1. Design Wind Pressures: As indicated on Drawings.
 - a. Wind Design Data: As indicated on Drawings.
 - 2. Thermal Loads: Design glazing to resist thermal stress breakage induced by differential temperature conditions and limited air circulation within individual glass lites and insulated glazing units.
- C. Safety Glazing: Where safety glazing is indicated, provide glazing that complies with 16 CFR 1201, Category II.
- D. Thermal and Optical Performance Properties: Provide glass with performance properties specified, as indicated in manufacturer's published test data, based on procedures indicated below:
 - 1. For monolithic-glass lites, properties are based on units with lites 6 mm thick.
 - 2. For laminated-glass lites, properties are based on products of construction indicated.
 - 3. For insulating-glass units, properties are based on units of thickness indicated for overall unit and for each lite.
 - 4. U-Factors: Center-of-glazing values, in accordance with NFRC 100 and based on most current non-beta version of LBL's WINDOW computer program, expressed as Btu/sq. ft. x h x deg F.
 - 5. SHGC and Visible Transmittance: Center-of-glazing values, in accordance with NFRC 200 and based on most current non-beta version of LBL's WINDOW computer program.
 - 6. Visible Reflectance: Center-of-glazing values, in accordance with NFRC 300.

2.3 GLASS PRODUCTS, GENERAL

- A. Glazing Publications: Comply with published recommendations of glass product manufacturers and organizations below unless more stringent requirements are indicated. See these publications for glazing terms not otherwise defined in this Section or in referenced standards.
 - 1. NGA Publications: "Laminated Glazing Reference Manual" and "Glazing Manual."
- B. Safety Glazing Labeling: Where safety glazing is indicated, permanently mark glazing with certification label of the SGCC or another qualified agency or manufacturer. Label shall indicate manufacturer's name, type of glass, thickness, and safety glazing standard with which glass complies.
- C. Thickness: Where glass thickness is indicated, it is a minimum. Provide glass that complies with performance requirements and is not less than thickness indicated.

1. Minimum Glass Thickness for Exterior Lites: 6 mm.
2. Thickness of Tinted Glass: Provide same thickness for each tint color indicated throughout Project.

D. Strength: Where annealed float glass is indicated, provide annealed float glass, heat-strengthened float glass, or fully tempered float glass as needed to comply with "Performance Requirements" Article. Where heat-strengthened float glass is indicated, provide heat-strengthened float glass or fully tempered float glass. Where fully tempered float glass is indicated, provide fully tempered float glass.

2.4 GLASS PRODUCTS

- A. Clear Annealed Float Glass: ASTM C1036, Type I, Class 1 (clear), Quality-Q3.
- B. Tinted Annealed Float Glass: ASTM C1036, Type I, Class 2 (tinted), Quality-Q3.
- C. Fully Tempered Float Glass: ASTM C1048, Kind FT (fully tempered), Condition A (uncoated) unless otherwise indicated, Type I, Class 1 (clear) or Class 2 (tinted) as indicated, Quality-Q3.
- D. Heat-Strengthened Float Glass: ASTM C1048, Kind HS (heat strengthened), Type I, Condition A (uncoated) unless otherwise indicated, Type I, Class 1 (clear) or Class 2 (tinted) as indicated, Quality-Q3.
- E. Reflective- and Low-E-Coated Vision Glass: ASTM C1376.
 1. Basis-of-Design Product: Subject to compliance with requirements, provide Vitro; Solarban 70 with Solarbronze, or comparable product by one of the following:
 - a. Guardian Glass; SunGuard.
 - b. Viracon, Inc.
 - c. Or approved equal.

2.5 LAMINATED GLASS

- A. Laminated Glass: ASTM C1172. Use materials that have a proven record of no tendency to bubble, discolor, or lose physical and mechanical properties after fabrication and installation.
 1. Construction: Laminate glass with polyvinyl butyral interlayer or cast-in-place and cured-transparent-resin interlayer to comply with interlayer manufacturer's written instructions.
 2. Interlayer Thickness: Provide thickness not less than that indicated and as needed to comply with requirements.
 3. Interlayer Color: Clear unless otherwise indicated.

2.6 INSULATING GLASS

- A. Insulating-Glass Units: Factory-assembled units consisting of sealed lites of glass separated by a dehydrated interspace, qualified in accordance with ASTM E2190.
 1. Sealing System: Dual seal, with manufacturer's standard primary and secondary sealants.
 2. Perimeter Spacer: Manufacturer's standard spacer material and construction.

3. Desiccant: Molecular sieve or silica gel, or a blend of both.

2.7 GLAZING SEALANTS

A. General:

1. **Compatibility:** Compatible with one another and with other materials they contact, including glass products, seals of insulating-glass units, and glazing channel substrates, under conditions of service and application, as demonstrated by sealant manufacturer based on testing and field experience.
2. **Suitability:** Comply with sealant and glass manufacturers' written instructions for selecting glazing sealants suitable for applications indicated and for conditions existing at time of installation.
3. **Colors of Exposed Glazing Sealants:** As selected by Commissioner from manufacturer's full range of industry colors.

- ### B. Neutral-Curing Silicone Glazing Sealant, Class 50: Complying with ASTM C920, Type S, Grade NS, Use NT.

2.8 GLAZING TAPES

- ### A. Back-Bedding Mastic Glazing Tapes: Preformed, butyl-based, 100 percent solids elastomeric tape; nonstaining and nonmigrating in contact with nonporous surfaces; with or without spacer rod as recommended in writing by tape and glass manufacturers for application indicated; and complying with ASTM C1281 and AAMA 800 for products indicated below:

1. AAMA 806.3 tape, for glazing applications in which tape is subject to continuous pressure.

- ### B. Expanded Cellular Glazing Tapes: Closed-cell, PVC foam tapes; factory coated with adhesive on both surfaces; and complying with AAMA 800 for the following types:

1. AAMA 810.1, Type 1, for glazing applications in which tape acts as primary sealant.
2. AAMA 810.1, Type 2, for glazing applications in which tape is used in combination with a full bead of liquid sealant.

2.9 MISCELLANEOUS GLAZING MATERIALS

- ### A. General: Provide products of material, size, and shape complying with referenced glazing standard, recommended in writing by manufacturers of glass and other glazing materials for application indicated, and with a proven record of compatibility with surfaces contacted in installation.

- ### B. Cleaners, Primers, and Sealers: Types recommended by sealant or gasket manufacturer.

C. Setting Blocks:

1. EPDM with Shore A durometer hardness of 85, plus or minus 5.
2. Type recommended in writing by sealant or glass manufacturer.

D. Spacers:

1. Neoprene blocks or continuous extrusions of hardness required by glass manufacturer to maintain glass lites in place for installation indicated.
2. Type recommended in writing by sealant or glass manufacturer.

E. Edge Blocks:

1. EPDM with Shore A durometer hardness per manufacturer's written instructions.
2. Type recommended in writing by sealant or glass manufacturer.

F. Cylindrical Glazing Sealant Backing: ASTM C1330, Type O (open-cell material), of size and density to control glazing sealant depth and otherwise produce optimum glazing sealant performance.

2.10 FABRICATION OF GLAZING UNITS

A. Fabricate glazing units in sizes required to fit openings indicated for Project, with edge and face clearances, edge and surface conditions, and bite complying with written instructions of product manufacturer and referenced glazing publications, to comply with system performance requirements.

1. Allow for thermal movements from ambient and surface temperature changes acting on glass framing members and glazing components.
 - a. Temperature Change: 120 deg F, ambient; 180 deg F, material surfaces.

B. Clean-cut or flat-grind vertical edges of butt-glazed monolithic lites to produce square edges with slight chamfers at junctions of edges and faces.

C. Grind smooth and polish exposed glass edges and corners.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

A. Examine framing, glazing channels, and stops, with Installer present, for compliance with the following:

1. Manufacturing and installation tolerances, including those for size, squareness, and offsets at corners.
2. Presence and functioning of weep systems.
3. Minimum required face and edge clearances.
4. Effective sealing between joints of glass-framing members.

B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. Clean glazing channels and other framing members receiving glass immediately before glazing. Remove coatings not firmly bonded to substrates.
- B. Examine glazing units to locate exterior and interior surfaces. Label or mark units as needed so that exterior and interior surfaces are readily identifiable. Do not use materials that leave visible marks in the completed Work.

3.4 GLAZING, GENERAL

- A. Comply with combined written instructions of manufacturers of glass, sealants, gaskets, and other glazing materials, unless more stringent requirements are indicated, including those in referenced glazing publications.
- B. Protect glass edges from damage during handling and installation. Remove damaged glass from Project site and legally dispose of off Project site. Damaged glass includes glass with edge damage or other imperfections that, when installed, could weaken glass, impair performance, or impair appearance.
- C. Apply primers to joint surfaces where required for adhesion of sealants, as determined by preconstruction testing.
- D. Install setting blocks in sill rabbets, sized and located to comply with referenced glazing publications, unless otherwise required by glass manufacturer. Set blocks in thin course of compatible sealant suitable for heel bead.
- E. Do not exceed edge pressures stipulated by glass manufacturers for installing glass lites.
- F. Provide spacers for glass lites where length plus width is larger than 50 inches.
 - 1. Locate spacers directly opposite each other on both inside and outside faces of glass. Install correct size and spacing to preserve required face clearances, unless gaskets and glazing tapes are used that have demonstrated ability to maintain required face clearances and to comply with system performance requirements.
 - 2. Provide 1/8-inch- minimum bite of spacers on glass and use thickness equal to sealant width. With glazing tape, use thickness slightly less than final compressed thickness of tape.
- G. Provide edge blocking where indicated or needed to prevent glass lites from moving sideways in glazing channel, as recommended in writing by glass manufacturer and in accordance with requirements in referenced glazing publications.
- H. Set glass lites in each series with uniform pattern, draw, bow, and similar characteristics.
- I. Set glass lites with proper orientation so that coatings face exterior or interior as specified.
- J. Where wedge-shaped gaskets are driven into one side of channel to pressurize sealant or gasket on opposite side, provide adequate anchorage so gasket cannot walk out when installation is subjected to movement.

- K. Square cut wedge-shaped gaskets at corners and install gaskets in a manner recommended by gasket manufacturer to prevent corners from pulling away; seal corner joints and butt joints with sealant recommended in writing by gasket manufacturer.

3.5 TAPE GLAZING

- A. Position tapes on fixed stops so that, when compressed by glass, their exposed edges are flush with or protrude slightly above sightline of stops.
- B. Install tapes continuously, but not necessarily in one continuous length. Do not stretch tapes to make them fit opening.
- C. Cover vertical framing joints by applying tapes to heads and sills first, then to jambs. Cover horizontal framing joints by applying tapes to jambs, then to heads and sills.
- D. Place joints in tapes at corners of opening with adjoining lengths butted together, not lapped. Seal joints in tapes with compatible sealant approved by tape manufacturer.
- E. Do not remove release paper from tape until right before each glazing unit is installed.
- F. Apply heel bead of elastomeric sealant.
- G. Center glass lites in openings on setting blocks, and press firmly against tape by inserting dense compression gaskets formed and installed to lock in place against faces of removable stops. Start gasket applications at corners and work toward centers of openings.
- H. Apply cap bead of elastomeric sealant over exposed edge of tape.

3.6 GASKET GLAZING (DRY)

- A. Cut compression gaskets to lengths recommended by gasket manufacturer to fit openings exactly, with allowance for stretch during installation.
- B. Insert soft compression gasket between glass and frame or fixed stop so it is securely in place with joints miter cut and bonded together at corners.
- C. Installation with Drive-in Wedge Gaskets: Center glass lites in openings on setting blocks, and press firmly against soft compression gasket by inserting dense compression gaskets formed and installed to lock in place against faces of removable stops. Start gasket applications at corners and work toward centers of openings. Compress gaskets to produce a weathertight seal without developing bending stresses in glass. Seal gasket joints with sealant recommended in writing by gasket manufacturer.
- D. Installation with Pressure-Glazing Stops: Center glass lites in openings on setting blocks, and press firmly against soft compression gasket. Install dense compression gaskets and pressure-glazing stops, applying pressure uniformly to compression gaskets. Compress gaskets to produce a weathertight seal without developing bending stresses in glass. Seal gasket joints with sealant recommended in writing by gasket manufacturer.

- E. Install gaskets so they protrude past face of glazing stops.

3.7 SEALANT GLAZING (WET)

- A. Install continuous spacers, or spacers combined with cylindrical sealant backing, between glass lites and glazing stops to maintain glass face clearances and to prevent sealant from extruding into glass channel and blocking weep systems until sealants cure. Secure spacers or spacers and backings in place and in position to control depth of installed sealant relative to edge clearance for optimum sealant performance.
- B. Force sealants into glazing channels to eliminate voids and to ensure complete wetting or bond of sealant to glass and channel surfaces.
- C. Tool exposed surfaces of sealants to provide a substantial wash away from glass.

3.8 CLEANING AND PROTECTION

- A. Immediately after installation, remove nonpermanent labels and clean surfaces.
- B. Protect glass from contact with contaminating substances resulting from construction operations. Examine glass surfaces adjacent to or below exterior concrete and other masonry surfaces at frequent intervals during construction, but not less than once a month, for buildup of dirt, scum, alkaline deposits, or stains.
 - 1. If, despite such protection, contaminating substances do contact with glass, remove substances immediately as recommended in writing by glass manufacturer. Remove and replace glass that cannot be cleaned without damage to coatings.
- C. Remove and replace glass that is damaged during construction period.
- D. Wash glass on both exposed surfaces not more than four days before date scheduled for inspections that establish date of Substantial Completion. Wash glass as recommended in writing by glass manufacturer.

3.9 LAMINATED GLASS SCHEDULE

- A. Clear Laminated Glass Type (GL11): Two plies of annealed float glass.
 - 1. Minimum Thickness of Each Glass Ply: 5 mm.
 - 2. Interlayer Thickness: 0.060 inch.
 - 3. Safety glazing required.

3.10 INSULATING GLASS SCHEDULE

- A. Low-E-Coated, Tinted Insulating Glass Type (GL1 and GL3):
 - 1. Overall Unit Thickness: 1 inch.
 - 2. Minimum Thickness of Each Glass Lite: 6 mm.
 - 3. Outdoor Lite:

- a. Tinted fully tempered float glass at GL1
 - b. Tinted annealed float glass at GL3
4. Tint Color: Bronze.
 5. Interspace Content: Argon.
 6. Indoor Lite:
 - a. Clear fully tempered float glass at GL1.
 - b. Clear annealed float glass at GL3.
 7. Low-E Coating: Sputtered on second surface.
 8. Winter Nighttime U-Factor: 0.28 maximum.
 9. Winter with Argon U-Factor: 0.24 maximum
 10. Visible Light Transmittance: 39 percent minimum.
 11. SGHC: 0.20 maximum.
 12. Safety glazing required at GL1.
- B. Clear Insulating Glass Type (GL2 and GL4):
1. Overall Unit Thickness: 1 inch.
 2. Minimum Thickness of Each Glass Lite: 6 mm.
 3. Outdoor Lite:
 - a. Fully tempered float glass at GL2.
 - b. Annealed float glass at GL4.
 4. Interspace Content: Air.
 5. Indoor Lite:
 - a. Fully tempered float glass at GL2.
 - b. Annealed float glass at GL4.
 6. Safety glazing required at GL2.

END OF SECTION 088000

SECTION 088726 – BIRD CONTROL GLASS TREATMENT

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. This Section includes requirements for the supply and installation of visible markers onto exterior glazed surfaces for reducing incidence of bird collision.
- B. Related Requirements:
 - 1. Section 088000 "Glazing" for glazing assemblies on which visible markers will be installed.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site to in conjunction with installation of mockup before installation of markers.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For Markers.
- B. Samples for verification: Submit two (2) 12 inch by 12 inch samples for each type of marker specified in specified pattern.
- C. Sample Warranty: For special warranty.

1.6 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For installer.

1.7 CLOSEOUT SUBMITTALS

- A. Submit manufacturer's written instructions for cleaning solutions, materials, and procedures.

1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Installer's Qualifications: An authorized representative who is trained and approved by manufacturer for installation of markers required for this Project.
- C. Mockup: Build mockup to verify selections made under Sample submittals, to demonstrate aesthetic effects, and to set quality standards for fabrication and installation of markers.
 - 1. Mockup area to be a minimum of nine square feet. Location to be selected by Commissioner.
 - 2. Approval of mockup does not constitute approval of deviations from the Contract Documents contained in mockups unless Commissioner specifically approves such deviations in writing.
 - 3. Subject to compliance with requirements, approved mockup may become part of the completed Work if undisturbed at time of Substantial Completion.

1.9 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace markers that fail in materials and workmanship within specified warranty period.
 - 1. Warranty Period: 6 years from Date of Substantial Completion.

1.10 DELIVERY, STORAGE, AND HANDLING

- A. Deliver and store packaged materials in their original containers with manufacturer's labels and seals intact.
- B. Store flat at room temperature and avoid humidity. Keep out of sunlight in a clean, dry area.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Markers to be manufactured from exterior grade film with permanent exterior grade adhesive.
- B. Sole Source Product: FeatherFriendly, as manufactured by Feather Friendly Technologies Inc.
 - 1. No Substitutions Permitted.
- C. Pattern: Symmetrical dots 1/4 inch in diameter and spaced 2 inches o.c. horizontally and 2 inches o.c. vertically, as shown on the drawings.
- D. Color: Manufacturer's standard white.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for substrate construction and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Install in accordance with manufacturer's instructions and the contract documents, plumb, true and level over clean glazing.
 - 1. Applied on first surface of glazing.
 - 2. Even placement of markers per specified pattern.
 - 3. Consistent spacing of markers per specified distance.
- B. Markers to align horizontally on adjoining windows or storefronts.

3.4 CLEANING AND PROTECTION

- A. Progress Cleaning: Work area to be cleaned at end of each work day.
- B. Final Cleaning: At completion of installation, ensure all treated glazing and frames are thoroughly clean.

END OF SECTION 088726

SECTION 089200 - LOUVERED EQUIPMENT SCREENS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. This Section includes: Aluminum louvered equipment screens as shown on the Drawings and as specified herein, including the following:
 - 1. Continuous blade type, architectural vision louvers.
 - 2. Concealed snap-in support clips and accessories.
 - 3. Factory-applied finish system to louver blades.
 - 4. Field measurements and verification of all openings and all conditions of the louver installations.
- B. Related Requirements:
 - 1. Section 051200 "Structural Steel" for structural steel support of louver, clips and accessories.

1.3 REFERENCES

- A. AAMA 2605 - Voluntary Specification, Performance Requirements and Test Procedures for Superior Performing Organic Coatings on Aluminum Extrusions and Panels.
- B. ASCE 7 - Minimum Design Loads for Buildings and Other Structures.
- C. ASTM B 221 - Standard Specification for Aluminum and Aluminum-Alloy Extruded Bars, Rods, Wire, Profiles, and Tubes.
- D. ASTM B 449 - Standard Specification for Chromates on Aluminum.
- E. ASTM D 1730 - Standard Practices for Preparation of Aluminum and Aluminum-Alloy Surfaces for Painting.
- F. ASTM D 2244 - Standard Practice for Calculation of Color Tolerances and Color Differences from Instrumentally Measured Color Coordinates.
- G. ASTM D 4214 - Standard Test Methods for Evaluating the Degree of Chalking of Exterior Paint Films.

1.4 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at project site at least seven calendar days prior to scheduled beginning of construction activities of this section.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.6 ACTION SUBMITTALS

- A. Product Data: Manufacturer's data sheets on each product to be used, including:
 - 1. Preparation instructions and recommendations.
 - 2. Load tables showing louver span capacities.
 - 3. Storage and handling requirements and recommendations.
 - 4. Installation methods.
- B. Shop Drawings:
 - 1. Layout and erection drawings showing typical cross sections and dimensioned locations of all louver blades, vertical supports, splices and corners. Include erection drawings, elevations, and details where applicable.
 - a. Include layout and elevations of louver blades, vertical supports and clips mounted on inswing steel gate furnished by others.
- C. Engineering Calculations: As described in the Performance Requirements article and prepared by a professional engineer licensed in the State of New York.
- D. Selection Samples: For each finish product specified, two complete sets of color chips representing manufacturer's full range of available colors and patterns.
- E. Verification Samples: For each product specified, two samples, minimum 12 inches (305 mm) long, representing actual product shape and dimensions.

1.7 INFORMATIONAL SUBMITTALS

- A. Manufacturer's Certificates: Certify products meet or exceed specified requirements.
- B. Sample Warranties: For manufacturer's special warranties.

1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

- B. Provide aluminum equipment louvered screens in strict accordance with the NYC Construction Codes and conforming to applicable wind load factors relative to framing and anchorage.

1.9 DELIVERY, STORAGE AND HANDLING

- A. Deliver equipment louver screen components, to the project site clearly marked for proper identification.
- B. Receive, handle and store materials in conformance with the manufacturers printed instructions.
- C. Store louver components in accordance with manufacturer's instructions, above ground, in dunnage and protected from weather, construction activities and other causes of damage or loss.
- D. Handling: Use a forklift or crane to move material as recommended by manufacturer. Do not lift the bundles by the metal bands.
- E. Roof Placement: Spread the bundles and crates out as much as possible to avoid overloading the roof structure. Place the material directly over major supports such as beams or trusses.

1.10 FIELD CONDITIONS

- A. Field Measurements: Verify louver openings by field measurements before fabrication and indicate measurements on Shop Drawings. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
- B. Coordination: Coordinate Work with other operations and installation of roofing materials to avoid damage to installed insulation and membrane materials.

1.11 WARRANTY

- A. Special Warranty: Provide written warranty, stating that the louvered equipment screens and supports, exclusive of paint finish, will be free of faults and defects.
 - 1. Warranty Period: Twenty (20) years from date of Substantial Completion.
- B. Special Finish Warranty, Factory-Applied Finishes: Provide written warranty stating that the paint finish applied on all louver components will retain its film integrity, color and chalk as defined by AAMA 2605.
 - 1. Warranty Period: Five (5) years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Design Loads: Comply with the requirements of the NYC Construction Codes.

1. Design to resist ASCE 7 - Minimum Design Loads for Buildings and Other Structures, using the latest published ASCE version.
 2. Design all materials, assembly and attachments to resist snow, wind, suction and uplift loading at any point without damage or permanent set.
- B. Engineering Calculations: Prepare structural design calculations for louver assemblies including blades, clips, trees, fasteners and attachment to structure showing compliance with design loads.
1. Design and provide louvers to withstand inward and outward windloads as indicated on the drawings with a deflection in both vertical and horizontal members not to exceed $L/180$.
- C. Thermal Movement: Normal thermal movement is defined as that resulting from a 120 degrees F maximum change (range) in ambient temperature. Base design calculations on actual surface temperatures of metals due to both solar heat gain and night time sky heat loss.
- D. Anchors and Connections:
1. Anchors, connections and assemblies connecting the screening louvers and associated fabrications to the supporting construction are shown on the Drawings as suggested locations for the louver manufacturer's information. The louver manufacturer is responsible for the structural design and placement of the connections and anchors, including all connecting hardware, accessories and reinforcing necessary for fabrication, and installation of the louvers and associated fabrications.
 2. The Contractor is to notify the Commissioner in writing prior to the submittal of shop drawings of any changes in the proposed locations of connections and anchors.
 3. The Commissioner's review of shop drawings is not to be construed as removing responsibility from the Contractor for structural failures related to design, fabrication, installation, and fabrication services.

2.2 MANUFACTURERS

- A. Source Limitations: Obtain louvered equipment screens and supports from single source from a single manufacturer.
- B. Basis-of-Design Product: Subject to compliance with requirements, provide RoofScreen; VisionGuard 110 Angled Louver System, or comparable product by one of the following:
1. Architectural Louvers.
 2. Construction Specialties.
 3. Or approved equal.

2.3 MATERIALS

- A. Aluminum Extrusions: ASTM B 221, 6063-T6 alloy and temper.
- B. Fasteners:
1. Provide exposed fasteners of stainless steel.
 2. Provide fasteners not exposed to view of stainless steel.

2.4 FABRICATION

- A. Fabricate louvers with close-fitting, field-made splice joints in blades designed to permit expansion and contraction without deforming blades or framework and with supporting members and hardware concealed from front edges of blades so blades have continuous appearance.
- B. General:
 - 1. Fabricate all units to produce uniform sight lines and to be level, plumb and in same plane as adjacent panels.
 - 2. Fabricate all joints for proper fit.
 - 3. Protect exposed surfaces against damage from scratches and discoloration.
- C. Louvers:
 - 1. Fabricate continuous blade louvers from minimum 0.1 inch thick extruded aluminum to shapes and configurations shown on the Drawings.
 - 2. Provide support clips from minimum 0.125 inch thick extruded aluminum to comply with specified performance criteria and manufacturer's fabrication procedures and standards.
 - 3. Provide vertical supports (“trees”) from minimum 3 inch by 3 inch by 0.188 inch thick extruded aluminum angles to comply with specified performance criteria and manufacturer's fabrication procedures and standards, at spacings not further apart than recommended by manufacturer.
 - a. Provide louver blades and vertical supports to be mounted on face of gate framing as indicated on the drawings, to align vertically and horizontally with adjacent louver blades, for a uniform appearance.
 - 4. Corners:
 - a. Provide outside mitered louver blades as indicated on the drawings, to be installed butted together in field to form louver corners.
- D. Provide all accessories and materials for fabrication, assembly and installation required to provide a complete and warranted system installation.

2.5 FINISHES

- A. All components and accessories to be free of scratches and serious blemishes affecting the finish system.
- B. Fluoropolymer Paint Finish: Factory finish all louver members, trims and mitered corners with thermoset fluoropolymer paint system in accordance with the manufacturer’s printed requirements and performance specifications and the AAMA specification Ref. AAMA 2605 for Superior Performance Organic Coatings on Aluminum Extrusions and Panels.
 - 1. Color: As selected by Commissioner from manufacturer's full range of standard colors.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine all surfaces to receive parts of the work specified herein. Verify all dimensions of in-place and subsequent construction. Installation of louvered equipment screen system constitutes acceptance of the existing conditions.

3.3 INSTALLATION

- A. Set all items in their correct locations as shown on the final reviewed shop drawings, level, square, plumb and at proper elevations and in alignment with other work.
- B. Assemble and anchor the various components to allow for expansion and contraction, maintaining a watertight installation.

3.4 CLEANING AND PROTECTION

- A. After erection, protect exposed portions of the louvers and supports from damage.
- B. Just prior to final acceptance, remove protective coverings and clean surfaces with plain water or if required, with a solution as recommended by manufacturer of finish coating system.
- C. Touch up finish coat system of all imperfections as recommended by manufacturer of finish coating system.

END OF SECTION 089200

SECTION 092216 - NON-STRUCTURAL METAL FRAMING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Non-load-bearing steel framing systems for interior partitions.
 - 2. Suspension systems for interior ceilings and soffits.
 - 3. Perimeter trim for interior gypsum board ceilings.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product and accessory material.
- B. Sustainable Design Submittals:
 - 1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
- C. Samples: For aluminum perimeter trim in finish indicated, in 6 inch long sample.
- D. Shop Drawings: For aluminum perimeter trim, drawn to scale, indicating methods of attachment to gypsum board ceilings and suspension systems.

1.5 INFORMATIONAL SUBMITTALS

- A. Product Certificates: For each type of code-compliance certification for studs and tracks.
- B. Evaluation Reports: For firestop tracks, from ICC-ES or other qualified testing agency.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Code-Compliance Certification of Studs and Tracks: Provide documentation that framing members are certified according to the product-certification program of the Certified Steel Stud Association the Steel Framing Industry Association the Steel Stud Manufacturers Association or the Supreme Steel Framing System Association.

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Notify manufacturer of damaged materials received prior to installation.
- B. Deliver materials in manufacturer's original, unopened, undamaged containers with identification labels intact.
- C. Protect cold-formed metal framing from corrosion, deformation, and other damage during delivery, storage, and handling as required by AISI S202, "Code of Standard Practice for Cold-Formed Steel Structural Framing."

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Fire-Test-Response Characteristics: For fire-resistance-rated assemblies that incorporate non-load-bearing steel framing, provide materials and construction identical to those tested in assembly indicated, according to ASTM E119 by an independent testing agency.
- B. STC-Rated Assemblies: For STC-rated assemblies, provide materials and construction identical to those tested in assembly indicated on Drawings, according to ASTM E90 and classified according to ASTM E413 by an independent testing agency.
- C. Horizontal Deflection: For non-composite wall assemblies, limited to 1/240 of the wall height based on horizontal loading of 5 lbf/sq. ft..
- D. Design framing systems in accordance with AISI S220, "North American Specification for the Design of Cold-Formed Steel Framing - Nonstructural Members," unless otherwise indicated.
- E. Design Loads: As indicated on architectural Drawings or 5 lbf/sq. ft. minimum as required by the NYC Building Code.

2.2 FRAMING SYSTEMS

- A. Recycled Content of Steel Products: Postconsumer recycled content plus one-half of preconsumer recycled content not less than 25 percent.

- B. Framing Members, General: Comply with AISI S220 for conditions indicated.
 - 1. Steel Sheet Components: Comply with AISI S220 requirements for metal unless otherwise indicated
 - 2. Protective Coating: Comply with AISI S220; ASTM A653/A653M, G40; or coating with equivalent corrosion resistance. Galvannealed products are unacceptable.
- C. Studs and Track: AISI S220.
 - 1. Minimum Base-Steel Thickness: As required by performance requirements for horizontal deflection.
 - 2. Depth: As indicated on Drawings.
- D. Slip-Type Head Joints: Where indicated, provide one of the following:
 - 1. Clip System: Clips designed for use in head-of-wall deflection conditions that provide a positive attachment of studs to tracks while allowing 2-inch minimum vertical movement.
 - 2. Single Long-Leg Track System: ASTM C645 top track with 2-inch- deep flanges in thickness not less than indicated for studs, installed with studs friction fit into top track and with continuous bridging located within 12 inches of the top of studs to provide lateral bracing.
 - 3. Double-Track System: ASTM C645 top outer tracks, inside track with 2-inch- deep flanges in thickness not less than indicated for studs and fastened to studs, and outer track sized to friction-fit over inner track.
 - 4. Deflection Track: Steel sheet top track manufactured to prevent cracking of finishes applied to interior partition framing resulting from deflection of structure above; in thickness not less than indicated for studs and in width to accommodate depth of studs.
- E. Firestop Tracks: Top track manufactured to allow partition heads to expand and contract with movement of structure while maintaining continuity of fire-resistance-rated assembly indicated; in thickness not less than indicated for studs and in width to accommodate depth of studs.
- F. Flat Strap and Backing Plate: Steel sheet for blocking and bracing in length and width indicated.
 - 1. Minimum Base-Steel Thickness: 0.0296 inch.
- G. Hat-Shaped, Rigid Furring Channels: ASTM C645.
 - 1. Minimum Base-Steel Thickness: 0.0296 inch.
 - 2. Depth: As indicated on Drawings.

2.3 SUSPENSION SYSTEMS

- A. Hanger Attachments to Concrete:
 - 1. Power-Actuated Anchors: Fastener systems with an evaluation report based on ICC-ES AC70.
- B. Flat Hangers: Steel sheet, 1 by 3/16 inch by length indicated.

C. Carrying Channels (Main Runners): Cold-rolled, commercial-steel sheet with a base-steel thickness of 0.0538 inch and minimum 1/2-inch- wide flanges.

1. Depth: 1-1/2 inches.

D. Furring Channels (Furring Members):

1. Cold-Rolled Channels: 0.0538-inch uncoated-steel thickness, with minimum 1/2-inch- wide flanges, 3/4 inch deep.

2. Steel Studs and Tracks: ASTM C645.

a. Minimum Base-Steel Thickness: 0.0329 inch.

b. Depth: As indicated on Drawings.

3. Hat-Shaped, Rigid Furring Channels: ASTM C645, 7/8 inch deep.

a. Minimum Base-Steel Thickness: 0.0329 inch.

2.4 PERIMETER TRIM

A. Extruded-Aluminum Trim for gypsum board ceilings: Where indicated, provide extruded-aluminum vertical edge moldings and trim of height and profile indicated, including splice plates, attachments and other clips, as required for complete trim installation.

1. Baked-Enamel or Powder-Coat Finish: Minimum dry film thickness of 1.5 mils (0.04 mm). Comply with ASTM C635/C635M and coating manufacturer's written instructions for cleaning, conversion coating, and applying and baking finish.

B. Basis-of-Design Product: Subject to requirements, provide Armstrong Ceiling & Wall Solutions; Axiom Classic Trim, or comparable product from one of the following:

1. Certainteed SAINT-GOBAIN: Terminus Straight Perimeter Trim.

2. USG Corp; Compasso Elite for Drywall Perimeter Trim.

3. Or approved equal.

2.5 AUXILIARY MATERIALS

A. General: Provide auxiliary materials that comply with referenced installation standards.

1. Fasteners for Steel Framing: Of type, material, size, corrosion resistance, holding power, and other properties required to fasten steel members to substrates.

B. Isolation Strip at Exterior Walls: Provide one of the following:

1. Asphalt-Saturated Organic Felt: ASTM D226/D226M, Type I (No. 15 asphalt felt), nonperforated.

2. Foam Gasket: Adhesive-backed, closed-cell vinyl foam strips that allow fastener penetration without foam displacement, 1/8 inch thick, in width to suit steel stud size.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine areas and substrates, with Installer present, and including welded hollow-metal frames, cast-in anchors, and structural framing, for compliance with requirements and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. Suspended Assemblies: Coordinate installation of suspension systems with installation of overhead structure to ensure that inserts and other provisions for anchorages to building structure have been installed to receive hangers at spacing required to support the Work and that hangers will develop their full strength.
 - 1. Furnish concrete inserts and other devices indicated to other trades for installation in advance of time needed for coordination and construction.
- B. Coordination with Sprayed Fire-Resistive Materials:
 - 1. Before sprayed fire-resistive materials are applied, attach offset anchor plates or ceiling tracks to surfaces indicated to receive sprayed fire-resistive materials. Where offset anchor plates are required, provide continuous plates fastened to building structure not more than 24 inches o.c.
 - 2. After sprayed fire-resistive materials are applied, remove them only to extent necessary for installation of non-load-bearing steel framing. Do not reduce thickness of fire-resistive materials below that are required for fire-resistance ratings indicated. Protect adjacent fire-resistive materials from damage.

3.4 INSTALLATION, GENERAL

- A. Installation Standard: ASTM C754.
 - 1. Gypsum Plaster Assemblies: Also comply with requirements in ASTM C841 that apply to framing installation.
 - 2. Portland Cement Plaster Assemblies: Also comply with requirements in ASTM C1063 that apply to framing installation.
 - 3. Gypsum Veneer Plaster Assemblies: Also comply with requirements in ASTM C844 that apply to framing installation.
 - 4. Gypsum Board Assemblies: Also comply with requirements in ASTM C840 that apply to framing installation.

- B. Install framing and accessories plumb, square, and true to line, with connections securely fastened.
- C. Install supplementary framing, and blocking to support fixtures, equipment services, heavy trim, grab bars, toilet accessories, furnishings, or similar construction.
- D. Install bracing at terminations in assemblies.
- E. Do not bridge building control and expansion joints with non-load-bearing steel framing members. Frame both sides of joints independently.

3.5 INSTALLING FRAMED ASSEMBLIES

- A. Install framing system components according to spacings indicated, but not greater than spacings required by referenced installation standards for assembly types.
- B. Where studs are installed directly against exterior masonry walls or dissimilar metals at exterior walls, install isolation strip between studs and exterior wall.
- C. Install studs so flanges within framing system point in same direction.
- D. Install tracks at floors and overhead supports. Extend framing full height to structural supports or substrates above suspended ceilings except where partitions are indicated to terminate at suspended ceilings. Continue framing around ducts that penetrate partitions above ceiling.
 - 1. Slip-Type Head Joints: Where framing extends to overhead structural supports, install to produce joints at tops of framing systems that prevent axial loading of finished assemblies.
 - 2. Door Openings: Screw vertical studs at jambs to jamb anchor clips on door frames; install track section (for cripple studs) at head and secure to jamb studs.
 - a. Install two studs at each jamb unless otherwise indicated.
 - b. Install cripple studs at head adjacent to each jamb stud, with a minimum 1/2-inch clearance from jamb stud to allow for installation of control joint in finished assembly.
 - c. Extend jamb studs through suspended ceilings and attach to underside of overhead structure.
 - 3. Other Framed Openings: Frame openings other than door openings the same as required for door openings unless otherwise indicated. Install framing below sills of openings to match framing required above door heads.
 - 4. Fire-Resistance-Rated Partitions: Install framing to comply with fire-resistance-rated assembly indicated and support closures and to make partitions continuous from floor to underside of solid structure.
 - a. Firestop Track: Where indicated, install to maintain continuity of fire-resistance-rated assembly indicated.
 - 5. Sound-Rated Partitions: Install framing to comply with sound-rated assembly indicated.
 - 6. Curved Partitions:
 - a. Bend track to uniform curve and locate straight lengths so they are tangent to arcs.

- b. Begin and end each arc with a stud, and space intermediate studs equally along arcs. On straight lengths of no fewer than two studs at ends of arcs, place studs 6 inches o.c.

E. Direct Furring:

- 1. Screw to wood framing.
- 2. Attach to concrete or masonry with stub nails, screws designed for masonry attachment, or powder-driven fasteners spaced 24 inches o.c.

F. Installation Tolerance: Install each framing member so fastening surfaces vary not more than 1/8 inch from the plane formed by faces of adjacent framing.

3.6 INSTALLING CEILING SUSPENSION SYSTEMS

A. Install suspension system components according to spacings indicated, but not greater than spacings required by referenced installation standards for assembly types.

- 1. Hangers: 48 inches o.c.
- 2. Carrying Channels (Main Runners): 48 inches o.c.
- 3. Furring Channels (Furring Members): 16 inches o.c.

B. Isolate suspension systems from building structure where they abut or are penetrated by building structure to prevent transfer of loading imposed by structural movement.

C. Suspend hangers from building structure as follows:

- 1. Install hangers plumb and free from contact with insulation or other objects within ceiling plenum that are not part of supporting structural or suspension system.
 - a. Splay hangers only where required to miss obstructions and offset resulting horizontal forces by bracing, countersplaying, or other equally effective means.
- 2. Where width of ducts and other construction within ceiling plenum produces hanger spacings that interfere with locations of hangers required to support standard suspension system members, install supplemental suspension members and hangers in the form of trapezes or equivalent devices.
 - a. Size supplemental suspension members and hangers to support ceiling loads within performance limits established by referenced installation standards.
- 3. Flat Hangers: Secure to structure, including intermediate framing members, by attaching to inserts, eye screws, or other devices and fasteners that are secure and appropriate for structure and hanger, and in a manner that will not cause hangers to deteriorate or otherwise fail.
- 4. Do not attach hangers to steel roof deck.
- 5. Do not attach hangers to permanent metal forms. Furnish cast-in-place hanger inserts that extend through forms.
- 6. Do not attach hangers to rolled-in hanger tabs of composite steel floor deck.
- 7. Do not connect or suspend steel framing from ducts, pipes, or conduit.

D. Fire-Resistance-Rated Assemblies: Wire tie furring channels to supports.

- E. Installation Tolerances: Install suspension systems that are level to within 1/8 inch in 12 feet measured lengthwise on each member that will receive finishes and transversely between parallel members that will receive finishes.

END OF SECTION 092216

SECTION 092900 - GYPSUM BOARD

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Interior gypsum board.
2. Tile backing panels.

B. Related Requirements:

1. Section 079219 "Acoustical Joint Sealants" for acoustical joint sealants installed in gypsum board assemblies.
2. Section 092216 "Non-Structural Metal Framing" for non-structural steel framing and suspension systems that support gypsum board panels, and for aluminum perimeter trim at gypsum board ceilings.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

A. Product Data: For the following:

1. Gypsum board, Type X.
2. Gypsum ceiling board.
3. Abuse-resistant gypsum board.
4. Impact-resistant gypsum board.
5. Cementitious backer units.
6. Interior trim.
7. Joint treatment materials.
8. Laminating adhesive.
9. Sound-attenuation blankets.
10. Acoustical sealant.

B. Sustainable Design Submittals:

1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
2. Product Certificates: For regional materials, indicating location of material manufacturer and point of extraction, harvest, or recovery for each raw material. Include distance to Project and cost for each regional material.
3. Product Data: For adhesives and sealants, indicating VOC content.

1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.6 DELIVERY, STORAGE AND HANDLING

- A. Store materials inside under cover and keep them dry and protected against weather, condensation, direct sunlight, construction traffic, and other potential causes of damage. Stack panels flat and supported on risers on a flat platform to prevent sagging.

1.7 FIELD CONDITIONS

- A. Environmental Limitations: Comply with ASTM C840 requirements or gypsum board manufacturer's written instructions, whichever are more stringent.
- B. Do not install paper-faced gypsum panels until installation areas are enclosed and conditioned.
- C. Do not install panels that are wet, moisture damaged, and mold damaged.
 1. Indications that panels are wet or moisture damaged include, but are not limited to, discoloration, sagging, or irregular shape.
 2. Indications that panels are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

PART 2 - PRODUCTS

2.1 MANUFACTURERS:

- A. Subject to compliance with requirements, provide products by one of the following:
 1. CertainTeed Corp.
 2. Continental Building Products LLC.
 3. Georgia-Pacific Gypsum LLC.
 4. National Gypsum Company.
 5. USG Corporation.
 6. Or approved equal.

2.2 PERFORMANCE REQUIREMENTS

- A. Fire-Resistance-Rated Assemblies: For fire-resistance-rated assemblies, provide materials and construction identical to those tested in assembly indicated according to ASTM E119 by an independent testing agency.
- B. STC-Rated Assemblies: For STC-rated assemblies, provide materials and construction identical to those tested in assembly indicated according to ASTM E90 and classified according to ASTM E413 by an independent testing agency.

2.3 GYPSUM BOARD, GENERAL

- A. Recycled Content: Postconsumer recycled content plus one-half of preconsumer recycled content not less than 5 percent.
- B. Size: Provide maximum lengths and widths available that will minimize joints in each area and that correspond with support system indicated.

2.4 INTERIOR GYPSUM BOARD

- A. Gypsum Board, Type X: ASTM C1396/C1396M.
 - 1. Thickness: 5/8 inch.
 - 2. Long Edges: Tapered.
- B. Gypsum Ceiling Board: ASTM C1396/C1396M.
 - 1. Thickness: 1/2 inch.
 - 2. Long Edges: Tapered.
- C. Abuse-Resistant Gypsum Board: ASTM C1396/C1396M gypsum board, tested according to ASTM C1629/C1629M.
 - 1. Long Edges: Tapered.
 - 2. Mold Resistance: ASTM D3273, score of 10 as rated according to ASTM D3274.
- D. Impact-Resistant Gypsum Board: ASTM C1396/C1396M gypsum board, tested according to ASTM C1629/C1629M.
 - 1. Long Edges: Tapered.
 - 2. Mold Resistance: ASTM D3273, score of 10 as rated according to ASTM D3274.

2.5 TILE BACKING PANELS

- A. Cementitious Backer Units: ANSI A118.9 and ASTM C1288 or ASTM C1325, with manufacturer's standard edges.
 - 1. Thickness: 5/8 inch.

2. Mold Resistance: ASTM D3273, score of 10 as rated according to ASTM D3274.

2.6 TRIM ACCESSORIES

- A. Interior Trim: ASTM C1047.

1. Material: Galvanized or aluminum-coated steel sheet, rolled zinc, plastic, or paper-faced galvanized-steel sheet. Shapes as indicated on the drawings.

2.7 JOINT TREATMENT MATERIALS

- A. General: Comply with ASTM C475/C475M.

- B. Joint Tape:

1. Interior Gypsum Board: Paper.
2. Tile Backing Panels: As recommended by panel manufacturer.

- C. Joint Compound for Interior Gypsum Board: For each coat, use formulation that is compatible with other compounds applied on previous or for successive coats.

1. Prefilling: At open joints, rounded or beveled panel edges, and damaged surface areas, use setting-type taping compound.
2. Embedding and First Coat: For embedding tape and first coat on joints, fasteners, and trim flanges, use drying-type, all-purpose compound.
 - a. Use setting-type compound for installing paper-faced metal trim accessories.
3. Fill Coat: For second coat, use drying-type, all-purpose compound.
4. Finish Coat: For third coat, use drying-type, all-purpose compound.
5. Skim Coat: For final coat of Level 5 finish, use drying-type, all-purpose compound.

- D. Joint Compound for Tile Backing Panels:

1. Cementitious Backer Units: As recommended by backer unit manufacturer.

2.8 AUXILIARY MATERIALS

- A. Provide auxiliary materials that comply with referenced installation standards and manufacturer's written instructions.

- B. Laminating Adhesive: Adhesive or joint compound recommended for directly adhering gypsum panels to continuous substrate.

1. Adhesives shall have a VOC content of 50 g/L or less.

- C. Steel Drill Screws: ASTM C1002 unless otherwise indicated.

1. Use screws complying with ASTM C954 for fastening panels to steel members from 0.033 to 0.112 inch thick.
 2. For fastening cementitious backer units, use screws of type and size recommended by panel manufacturer.
- D. Sound-Attenuation Blankets: ASTM C665, Type I (blankets without membrane facing) produced by combining thermosetting resins with mineral fibers manufactured from glass, slag wool, or rock wool.
1. Fire-Resistance-Rated Assemblies: Comply with mineral-fiber requirements of assembly.
 2. Recycled Content: Postconsumer recycled content plus one-half of preconsumer recycled content not less than 35 percent.
- E. Acoustical Sealant: As specified in Section 079219 "Acoustical Joint Sealants."
1. Sealant shall have a VOC content of 250 g/L or less.
- F. Thermal Insulation: As specified in Section 072100 "Thermal Insulation."

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine areas and substrates including welded hollow-metal frames and support framing, with Installer present, for compliance with requirements and other conditions affecting performance of the Work.
- B. Examine panels before installation. Reject panels that are wet, moisture damaged, and mold damaged.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION AND FINISHING OF PANELS, GENERAL

- A. Comply with ASTM C840.
- B. Install ceiling panels across framing to minimize the number of abutting end joints and to avoid abutting end joints in central area of each ceiling. Stagger abutting end joints of adjacent panels not less than one framing member.
- C. Install panels with face side out. Butt panels together for a light contact at edges and ends with not more than 1/16 inch of open space between panels. Do not force into place.

- D. Locate edge and end joints over supports, except in ceiling applications where intermediate supports or gypsum board back-blocking is provided behind end joints. Do not place tapered edges against cut edges or ends. Stagger vertical joints on opposite sides of partitions. Do not make joints other than control joints at corners of framed openings.
- E. Form control and expansion joints with space between edges of adjoining gypsum panels.
- F. Cover both faces of support framing with gypsum panels in concealed spaces (above ceilings, etc.), except in chases braced internally.
 - 1. Unless concealed application is indicated or required for sound, fire, air, or smoke ratings, coverage may be accomplished with scraps of not less than 8 sq. ft. in area.
 - 2. Fit gypsum panels around ducts, pipes, and conduits.
 - 3. Where partitions intersect structural members projecting below underside of floor/roof slabs and decks, cut gypsum panels to fit profile formed by structural members; allow 1/4- to 3/8-inch- wide joints to install sealant.
- G. Isolate perimeter of gypsum board applied to non-load-bearing partitions at structural abutments. Provide 1/4- to 1/2-inch- wide spaces at these locations and trim edges with edge trim where edges of panels are exposed. Seal joints between edges and abutting structural surfaces with acoustical sealant.
- H. Attachment to Steel Framing: Attach panels so leading edge or end of each panel is attached to open (unsupported) edges of stud flanges first.
- I. Wood Framing: Install gypsum panels over wood framing, with floating internal corner construction. Do not attach gypsum panels across the flat grain of wide-dimension lumber, including floor joists and headers. Float gypsum panels over these members or provide control joints to counteract wood shrinkage.
- J. STC-Rated Assemblies: Seal construction at perimeters, behind control joints, and at openings and penetrations with a continuous bead of acoustical sealant. Install acoustical sealant at both faces of partitions at perimeters and through penetrations. Comply with ASTM C919 and with manufacturer's written instructions for locating edge trim and closing off sound-flanking paths around or through assemblies, including sealing partitions above acoustical ceilings.
- K. Install sound attenuation blankets before installing gypsum panels unless blankets are readily installed after panels have been installed on one side.

3.4 INSTALLATION OF INTERIOR GYPSUM BOARD

- A. Install interior gypsum board as follows:
 - 1. Type X: As indicated on Drawings.
 - 2. Ceiling Type: As indicated on Drawings.
 - 3. Abuse-Resistant Type: As indicated on Drawings.
 - 4. Impact-Resistant Type: As indicated on Drawings.
 - 5. Skim-Coated Type: As indicated on Drawings.
- B. Single-Layer Application:

1. On ceilings, apply gypsum panels before wall/partition board application to greatest extent possible and at right angles to framing unless otherwise indicated.
2. On partitions/walls, apply gypsum panels vertically (parallel to framing) unless otherwise indicated or required by fire-resistance-rated assembly, and minimize end joints.
 - a. Stagger abutting end joints not less than one framing member in alternate courses of panels.
 - b. At stairwells and other high walls, install panels horizontally unless otherwise indicated or required by fire-resistance-rated assembly.
3. On Z-shaped furring members, apply gypsum panels vertically (parallel to framing) with no end joints. Locate edge joints over furring members.
4. Fastening Methods: Apply gypsum panels to supports with steel drill screws.

C. Multilayer Application:

1. On ceilings, apply gypsum board indicated for base layers before applying base layers on walls/partitions; apply face layers in same sequence. Apply base layers at right angles to framing members and offset face-layer joints one framing member, 16 inches minimum, from parallel base-layer joints, unless otherwise indicated or required by fire-resistance-rated assembly.
2. On partitions/walls, apply gypsum board indicated for base layers and face layers vertically (parallel to framing) with joints of base layers located over stud or furring member and face-layer joints offset at least one stud or furring member with base-layer joints unless otherwise indicated or required by fire-resistance-rated assembly. Stagger joints on opposite sides of partitions.
3. On Z-shaped furring members, apply base layer vertically (parallel to framing) and face layer either vertically (parallel to framing) or horizontally (perpendicular to framing) with vertical joints offset at least one furring member. Locate edge joints of base layer over furring members.
4. Fastening Methods: Fasten base layers and face layers separately to supports with screws.

D. Laminating to Substrate: Where gypsum panels are indicated as directly adhered to a substrate (other than studs, joists, furring members, or base layer of gypsum board), comply with gypsum board manufacturer's written instructions and temporarily brace or fasten gypsum panels until fastening adhesive has set.

E. Curved Surfaces:

1. Install panels horizontally (perpendicular to supports) and unbroken, to extent possible, across curved surface plus 12-inch- long straight sections at ends of curves and tangent to them.
2. For double-layer construction, fasten base layer to studs with screws 16 inches o.c. Center gypsum board face layer over joints in base layer, and fasten to studs with screws spaced 12 inches o.c.

3.5 INSTALLATION OF TILE BACKING PANELS

- A. Cementitious Backer Units: ANSI A108.11, at locations indicated to receive tile.
- B. Where tile backing panels abut other types of panels in same plane, shim surfaces to produce a uniform plane across panel surfaces.

3.6 INSTALLATION OF TRIM ACCESSORIES

- A. General: For trim with back flanges intended for fasteners, attach to framing with same fasteners used for panels. Otherwise, attach trim according to manufacturer's written instructions.
- B. Control Joints: Install control joints at locations indicated on Drawings.

3.7 FINISHING GYPSUM BOARD

- A. General: Treat gypsum board joints, interior angles, edge trim, control joints, penetrations, fastener heads, surface defects, and elsewhere as required to prepare gypsum board surfaces for decoration. Promptly remove residual joint compound from adjacent surfaces.
- B. Prefill open joints, rounded or beveled edges, and damaged surface areas.
- C. Apply joint tape over gypsum board joints, except for trim products specifically indicated as not intended to receive tape.
- D. Gypsum Board Finish Levels: Finish panels to levels indicated below and according to ASTM C840:
 - 1. Level 1: Ceiling plenum areas, concealed areas, and where indicated.
 - 2. Level 2: Panels that are substrate for tile.
 - 3. Level 4: At panel surfaces that will be exposed to view unless otherwise indicated.
- E. Cementitious Backer Units: Finish according to manufacturer's written instructions.

3.8 PROTECTION

- A. Protect adjacent surfaces from drywall compound and promptly remove from floors and other non-drywall surfaces. Repair surfaces stained, marred, or otherwise damaged during drywall application.
- B. Protect installed products from damage from weather, condensation, direct sunlight, construction, and other causes during remainder of the construction period.
- C. Remove and replace panels that are wet, moisture damaged, and mold damaged.
 - 1. Indications that panels are wet or moisture damaged include, but are not limited to, discoloration, sagging, or irregular shape.
 - 2. Indications that panels are mold damaged include, but are not limited to, fuzzy or splotchy surface contamination and discoloration.

END OF SECTION 092900

SECTION 093013 - CERAMIC TILING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:

- 1. Porcelain tile.
- 2. Thresholds.

- B. Related Requirements:

- 1. Section 079200 "Joint Sealants" for sealing of expansion, contraction, control, and isolation joints in tile surfaces.
- 2. Section 092900 "Gypsum Board" for cementitious backer units.

1.3 DEFINITIONS

- A. General: Definitions in the ANSI A108 series of tile installation standards and in ANSI A137.1 apply to Work of this Section unless otherwise specified.
- B. Face Size: Actual tile size, excluding spacer lugs.
- C. Module Size: Actual tile size plus joint width indicated.

1.4 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.
 - 1. Review requirements in ANSI A108.01 for substrates and for preparation by other trades.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.6 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Sustainable Design Submittals:
 - 1. Product Data: For adhesives, indicating VOC content.
- C. Shop Drawings: Show locations of each type of tile and tile pattern. Show widths, details, and locations of expansion, contraction, control, and isolation joints in tile substrates and finished tile surfaces.
- D. Samples for Initial Selection: For tile, grout, and accessories involving color selection.
- E. Samples for Verification:
 - 1. Minimum 3-inch by 3-inch sample units of each type and composition of tile and for each color and finish required.
 - 2. Stone thresholds in 6-inch lengths.
 - 3. Metal edge strips in 6-inch lengths.

1.7 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer.
- B. Master Grade Certificates: For each shipment, type, and composition of tile, signed by tile manufacturer and Installer.
- C. Product Certificates: For each type of product.

1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Installer Qualifications:
 - 1. An entity meeting the requirements of DDC General Conditions Section 014000 "Quality Requirements" Article 1.7/C/1.
- C. Mockups: Build mockups to verify selections made under Sample submittals and to demonstrate aesthetic effects and set quality standards for materials and execution.
 - 1. Build mockup of floor tile installation as indicated.
 - 2. Build mockup of wall tile installation as indicated.
 - 3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.9 DELIVERY, STORAGE, AND HANDLING

- A. Deliver and store packaged materials in original containers with seals unbroken and labels intact until time of use. Comply with requirements in ANSI A137.1 for labeling tile packages.
- B. Store tile and cementitious materials on elevated platforms, under cover, and in a dry location.
- C. Store aggregates where grading and other required characteristics can be maintained and contamination can be avoided.
- D. Store liquid materials in unopened containers and protected from freezing.

1.10 FIELD CONDITIONS

- A. Environmental Limitations: Do not install tile until construction in spaces is complete and ambient temperature and humidity conditions are maintained at the levels indicated in referenced standards and manufacturer's written instructions.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Source Limitations for Tile: Obtain tile of each type and color or finish from single source or producer.
 - 1. Obtain tile of each type and color or finish from same production run and of consistent quality in appearance and physical properties for each contiguous area.
- B. Source Limitations for Setting and Grouting Materials: Obtain ingredients of a uniform quality for each mortar, adhesive, and grout component from single manufacturer and each aggregate from single source or producer.
 - 1. Obtain setting and grouting materials, except for unmodified Portland cement and aggregate, from single manufacturer.

2.2 PRODUCTS, GENERAL

- A. ANSI Ceramic Tile Standard: Provide tile that complies with ANSI A137.1 for types, compositions, and other characteristics indicated.
 - 1. Provide tile complying with Standard grade requirements.
- B. ANSI Standards for Tile Installation Materials: Provide materials complying with ANSI A108.02, ANSI standards referenced in other Part 2 articles, ANSI standards referenced by TCNA installation methods specified in tile installation schedules, and other requirements specified.

2.3 TILE PRODUCTS

A. Porcelain Tile Type at Floors (PT1): Glazed

1. Basis-of-Design Product: Subject to compliance with requirements, provide Daltile; "Industrial Park" porcelain, or comparable product by one of the following:
 - a. American Marazzi Tile, Inc.
 - b. Crossville, Inc.
 - c. Or approved equal.
2. Certification: Tile certified by the Porcelain Tile Certification Agency.
3. Face Size: 11-13/16 by 11-13/16 inches.
4. Thickness: 3/8 inch.
5. Face: color-body, matte finish with pillowed edges.
6. Dynamic Coefficient of Friction: Not less than 0.42.
7. Tile Color and Pattern: As indicated on the drawings.
8. Grout Color: As selected by Commissioner from manufacturer's full range.

B. Porcelain Tile Type at Walls (PT2, PT3, PT4, PT5, PT6):

1. Basis-of-Design Product: Subject to compliance with requirements, provide Crossville; "Argent Porcelain stone", or comparable product by one of the following:
 - a. American Marazzi Tile, Inc.
 - b. Daltile.
 - c. Or approved equal.
2. Certification: Tile certified by the Porcelain Tile Certification Agency.
3. Face Size: 11 3/4 by 23 3/4 inches.
4. Thickness: 3/8 inch.
5. Face: color-body, matte finish with pillowed edges.
6. Tile Color and Pattern: As indicated on the drawings.
7. Grout Color: As selected by Commissioner from manufacturer's full range.

2.4 THRESHOLDS

A. General: Fabricate to sizes and profiles indicated or required to provide transition between adjacent floor finishes.

1. Bevel edges at 1:2 slope, with lower edge of bevel aligned with or up to 1/16 inch above adjacent floor surface. Finish bevel to match top surface of threshold. Limit height of threshold to 1/2 inch or less above adjacent floor surface.

B. Granite Thresholds: ASTM C615/C615M, with polished finish.

1. Description:

- a. Uniform, medium-grained, gray stone without veining.

2.5 SETTING MATERIALS

A. Modified Dry-Set Mortar (Thinset): ANSI A118.4.

1. Basis-of-Design Product: Subject to compliance with requirements, provide Mapei; Keraflex Plus, or comparable product by one of the following:
 - a. C-Cure.
 - b. Laticrete International, Inc.
 - c. Or approved equal.
2. For wall applications, provide mortar that complies with requirements for nonsagging mortar in addition to the other requirements in ANSI A118.4.

2.6 GROUT MATERIALS

A. Ready-to-use specialty tile grout with non-porous color coated quartz aggregate, meeting requirements of ANSI 118.3 and A118.6.

1. Basis-of-Design Product: Subject to compliance with requirements, provide Mapei; Flexcolor CQ, or comparable product by one of the following:
 - a. C-Cure.
 - b. Laticrete International, Inc.
 - c. Or approved equal.

B. Sealant at movement and perimeter joints, as recommended by grout manufacturer and with the following properties:

1. Silicone, S, NS, 25, NT: Single-component, nonsag, plus 25 percent and minus 25 percent movement capability, traffic-use, neutral-curing silicone joint sealant; ASTM C 920, Type S, Grade NS, Class 25, Use T1, T2, NT, I, M, G, A, O, and conforms to C794 adhesion properties.
 - a. Color: To match adjacent grout.

2.7 MISCELLANEOUS MATERIALS

A. Trowelable Underlayments and Patching Compounds: Latex-modified, portland cement-based formulation provided or approved by manufacturer of tile-setting materials for installations indicated.

B. Metal Edge Strips: Shaped as described herein, with heights to match tile and setting bed thickness, designed specifically for ceramic tiled flooring and wall applications.

1. Cove profile for inside corners: Aluminum, cove-shaped profile with single anchoring leg for inside ceramic tiled wall corners and ceramic tiled floor to wall transitions:

- a. Basis-of-Design Product: Subject to compliance with requirements, provide Schluter; "DILEX-AHK", or comparable product by one of the following:
 - 1) Blanke Corp.
 - 2) Ceramic Tool Company, Inc.
 - 3) Or approved equal.
 - b. Finish: Satin anodized.
2. Cove profile for ceramic tiled wall to resilient floor transition: Aluminum, cove-shaped profile for transitions between walls to be tiled and non-tiled floors:
- a. Basis-of-Design Product: Subject to compliance with requirements, provide Schluter; "DILEX-AHKA", or comparable product by one of the following:
 - 1) Blanke Corp.
 - 2) Ceramic Tool Company, Inc.
 - 3) Or approved equal.
 - b. Finish: Satin anodized.
3. Edge profile for outside corners: Aluminum, finishing and edge protection profile for ceramic tiled edges and outside corners of ceramic tiled surfaces
- a. Basis-of-Design Product: Subject to compliance with requirements, provide Schluter; "DILEX-QUADEC", or comparable product by one of the following:
 - 1) Blanke Corp.
 - 2) Ceramic Tool Company, Inc.
 - 3) Or approved equal.
 - b. Finish: Satin anodized.
- C. Tile Cleaner: A neutral cleaner capable of removing soil and residue without harming tile and grout surfaces, specifically approved for materials and installations indicated by tile and grout manufacturers.

2.8 MIXING MORTARS AND GROUT

- A. Mix mortars and grouts to comply with referenced standards and mortar and grout manufacturers' written instructions.
- B. Add materials, water, and additives in accurate proportions.
- C. Obtain and use type of mixing equipment, mixer speeds, mixing containers, mixing time, and other procedures to produce mortars and grouts of uniform quality with optimum performance characteristics for installations indicated.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, areas, and conditions where tile will be installed, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
 - 1. Verify that substrates for setting tile are firm; dry; clean; free of coatings that are incompatible with tile-setting materials, including curing compounds and other substances that contain soap, wax, oil, or silicone; and comply with flatness tolerances required by ANSI A108.01 for installations indicated.
 - 2. Verify that concrete substrates for tile floors installed with bonded mortar bed or thinset mortar comply with surface finish requirements in ANSI A108.01 for installations indicated.
 - a. Verify that surfaces that received a steel trowel finish have been mechanically scarified.
 - b. Verify that protrusions, bumps, and ridges have been removed by sanding or grinding.
 - 3. Verify that installation of grounds, anchors, recessed frames, electrical and mechanical units of work, and similar items located in or behind tile has been completed.
 - 4. Verify that joints and cracks in tile substrates are coordinated with tile joint locations; if not coordinated, adjust joint locations in consultation with Commissioner.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. Fill cracks, holes, and depressions in concrete substrates for tile floors installed with thinset mortar with trowelable leveling and patching compound specifically recommended by tile-setting material manufacturer.
- B. Where indicated, prepare substrates to receive waterproof membrane by applying a reinforced mortar bed that complies with ANSI A108.1A and is sloped 1/4 inch per foot toward drains.
- C. Blending: For tile exhibiting color variations, verify that tile has been factory blended and packaged so tile units taken from one package show same range of colors as those taken from other packages and match approved Samples. If not factory blended, either return to manufacturer or blend tiles at Project site before installing.

3.4 INSTALLATION OF CERAMIC TILE

- A. Comply with TCNA's "Handbook for Ceramic, Glass, and Stone Tile Installation" for TCNA installation methods specified in tile installation schedules. Comply with parts of the ANSI A108 series "Specifications for Installation of Ceramic Tile" that are referenced in TCNA installation methods, specified in tile installation schedules, and apply to types of setting and grouting materials used.
 - 1. For the following installations, follow procedures in the ANSI A108 series of tile installation standards for providing 95 percent mortar coverage:
 - a. Tile floors in wet areas.
 - b. Tile floors consisting of tiles 8 by 8 inches or larger.
- B. Extend tile work into recesses and under or behind equipment and fixtures to form complete covering without interruptions unless otherwise indicated. Terminate work neatly at obstructions, edges, and corners without disrupting pattern or joint alignments.
- C. Accurately form intersections and returns. Perform cutting and drilling of tile without marring visible surfaces. Carefully grind cut edges of tile abutting trim, finish, or built-in items for straight aligned joints. Fit tile closely to electrical outlets, piping, fixtures, and other penetrations so plates, collars, or covers overlap tile.
- D. Jointing Pattern: Lay tile in grid pattern unless otherwise indicated. Lay out tile work and center tile fields in both directions in each space or on each wall area. Lay out tile work to minimize the use of pieces that are less than half of a tile. Provide uniform joint widths unless otherwise indicated.
 - 1. For tile mounted in sheets, make joints between tile sheets same width as joints within tile sheets so joints between sheets are not apparent in finished work.
 - 2. Where adjoining tiles on floor, base, walls, or trim are specified or indicated to be same size, align joints.
 - 3. Where tiles are specified or indicated to be whole integer multiples of adjoining tiles on floor, base, walls, or trim, align joints unless otherwise indicated.
- E. Joint Widths: Unless otherwise indicated, install tile with the following joint widths:
 - 1. Porcelain Tile: 1/4 inch.
- F. Expansion Joints: Provide expansion joints and other sealant-filled joints, including control, contraction, and isolation joints, where indicated. Form joints during installation of setting materials, mortar beds, and tile. Do not saw-cut joints after installing tiles.
 - 1. Where joints occur in concrete substrates, locate joints in tile surfaces directly above them.
- G. Stone Thresholds: Install stone thresholds in same type of setting bed as adjacent floor unless otherwise indicated.
- H. Metal Edge Strips: Install at locations indicated.

3.5 ADJUSTING AND CLEANING

- A. Remove and replace tile that is damaged or that does not match adjoining tile. Provide new matching units, installed as specified and in a manner to eliminate evidence of replacement.
- B. Cleaning: On completion of placement and grouting, clean all ceramic tile surfaces so they are free of foreign matter.
 - 1. Remove grout residue from tile as soon as possible.
 - 2. Clean grout smears and haze from tile according to tile and grout manufacturer's written instructions but no sooner than 10 days after installation. Use only cleaners recommended by tile and grout manufacturers and only after determining that cleaners are safe to use by testing on samples of tile and other surfaces to be cleaned. Protect metal surfaces and plumbing fixtures from effects of cleaning. Flush surfaces with clean water before and after cleaning.

3.6 PROTECTION

- A. Protect installed tile work with kraft paper or other heavy covering during construction period to prevent staining, damage, and wear. If recommended by tile manufacturer, apply coat of neutral protective cleaner to completed tile walls and floors.
- B. Prohibit foot and wheel traffic from tiled floors for at least seven days after grouting is completed.
- C. Before final inspection, remove protective coverings and rinse neutral protective cleaner from tile surfaces.

3.7 INTERIOR CERAMIC TILE INSTALLATION SCHEDULE

- A. Interior Floor Installations, Concrete Subfloor:
 - 1. TCNA F113: Thinset mortar.
 - a. Ceramic Tile Type: (PT1).
 - b. Thinset Mortar: Modified dry-set mortar.
 - c. Grout: Ready-to-use specialty tile grout with quartz aggregate.
- B. Interior Wall Installations, Wood or Metal Studs or Furring:
 - 1. TCNA W244C or TCNA W244F: Thinset mortar on cementitious backer units.
 - a. Ceramic Tile Type: (PT2, PT3, PT4, PT5, PT6).
 - b. Thinset Mortar: Modified dry-set mortar.
 - c. Grout: Ready-to-use specialty tile grout with quartz aggregate.

END OF SECTION 093013

SECTION 095113 - ACOUSTICAL PANEL CEILINGS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section includes acoustical panels and exposed suspension systems for interior ceilings.
- B. Products furnished, but not installed under this Section, include anchors, clips, and other ceiling attachment devices to be cast in concrete.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Sustainable Design Submittals:
 - 1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
- C. Samples: For each exposed product and for each color and texture specified, 6 inches in size.
- D. Samples for Initial Selection: For components with factory-applied finishes.
- E. Samples for Verification: For each component indicated and for each exposed finish required, prepared on Samples of sizes indicated below:
 - 1. Acoustical Panels: Set of 6-inch- square Samples of each type, color, pattern, and texture.
 - 2. Exposed Suspension-System Members, and Trim: Set of 6-inch- long Samples of each type, finish, and color.

- F. Coordination Drawings: Reflected ceiling plans, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
1. Ceiling suspension-system members.
 2. Structural members, including open web steel joists to which suspension systems will be attached.
 3. Method of attaching hangers to existing open web joists.
 - a. Furnish layouts for cast-in-place anchors, clips, and other ceiling attachment devices whose installation is specified in other Sections.
 4. Carrying channels or other supplemental support for hanger attachment where conditions do not permit installation of hangers at required spacing.
 5. Size and location of initial access modules for acoustical panels.
 6. Items penetrating finished ceiling and ceiling-mounted items including the following:
 - a. Lighting fixtures.
 - b. Diffusers.
 - c. Grilles.
 - d. Speakers.
 - e. Sprinklers.
 - f. Access panels.
 7. Show operation of hinged and sliding components covered by or adjacent to acoustical panels.
 8. Minimum Drawing Scale: 1/4 inch = 1 foot.

1.6 INFORMATIONAL SUBMITTALS

- A. Product Test Reports: For each acoustical panel ceiling, for tests performed by manufacturer and witnessed by a qualified testing agency.

1.7 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For finishes to include in maintenance manuals.

1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Mockups: Build mockups to verify selections made under Sample submittals, to demonstrate aesthetic effects, and to set quality standards for materials, installation of specified ceiling system and integration of light fixtures.
1. Build mockup of ceiling area integrating troth light fixture LF3 as shown on Drawings.
 2. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Commissioner specifically approves such deviations in writing.
 3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.9 DELIVERY, STORAGE, AND HANDLING

- A. Deliver acoustical panels, suspension-system components, and accessories to Project site and store them in a fully enclosed, conditioned space where they will be protected against damage from moisture, humidity, temperature extremes, direct sunlight, surface contamination, and other causes.
- B. Before installing acoustical panels, permit them to reach room temperature and a stabilized moisture content.

1.10 FIELD CONDITIONS

- A. Environmental Limitations: Do not install acoustical panel ceilings until spaces are enclosed and weathertight, wet-work in spaces is complete and dry, work above ceilings is complete, and ambient temperature and humidity conditions are maintained at the levels indicated for Project when occupied for its intended use.
 - 1. Pressurized Plenums: Operate ventilation system for not less than 48 hours before beginning acoustical panel ceiling installation.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Source Limitations: Obtain each type of acoustical ceiling panel and its supporting suspension system from single source from single manufacturer.

2.2 PERFORMANCE REQUIREMENTS

- A. Surface-Burning Characteristics: Comply with ASTM E 84; testing by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.
 - 1. Flame-Spread Index: Class A according to ASTM E 1264.
 - 2. Smoke-Developed Index: 50 or less.
- B. Fire-Resistance Ratings: Comply with ASTM E 119; testing by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.
 - 1. Indicate design designations from UL or from the listings of another qualified testing agency.

2.3 ACOUSTICAL PANELS (CP2)

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Armstrong; "Techzone System with Optima Panels" or comparable system by one of the following:
 - 1. CertainTeed Corporation.

2. United States Gypsum Company.
 3. Or approved equal.
- B. Acoustical Panel Standard: Provide manufacturer's standard panels according to ASTM E 1264 and designated by type, form, pattern, acoustical rating, and light reflectance unless otherwise indicated.
- C. Recycled Content: Postconsumer recycled content plus one-half of preconsumer recycled content not less than 35 percent.
- D. Classification: Provide panels as follows:
1. Type and Form: Type XII, glass-fiber base with membrane-faced overlay; Form 2, cloth. Binder does not contain urea formaldehyde.
 2. Pattern: E (lightly textured).
 3. Color: White.
 4. Light Reflectance (LR): Not less than 0.88.
 5. Noise Reduction Coefficient (NRC): Not less than 0.90 .
 6. Articulation Class (AC): Not less than 180.
 7. Edge/Joint Detail: Square.
 8. Thickness: 3/4 inch.
 9. Modular Size: 24 by 24 inches, and 4 inches by 24 inches as indicated on the drawings.
- E. Antimicrobial Treatment: Manufacturer's standard broad spectrum, antimicrobial formulation that inhibits fungus, mold, mildew, and gram-positive and gram-negative bacteria and showing no mold, mildew, or bacterial growth when tested according to ASTM D 3273, ASTM D 3274, or ASTM G 21 and evaluated according to ASTM D 3274 or ASTM G 21.

2.4 ACOUSTICAL PANELS (CP1)

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Armstrong; "Ultima HRC" High Recycled Content panels, or comparable panels by one of the following:
- a. CertainTeed Corp.
 - b. United States Gypsum Co.
 - c. Or approved equal.
- B. Acoustical Panel Standard: Provide manufacturer's standard panels according to ASTM E 1264 and designated by type, form, pattern, acoustical rating, and light reflectance unless otherwise indicated.
- C. Recycled Content: Postconsumer recycled content plus one-half of preconsumer recycled content not less than 50 percent.
1. Classification: Provide panels as follows:
 - a. Type and Form: Type IV, Mineral base with membrane-faced overlay; Form 2, cloth. Binder does not contain urea formaldehyde.
 - b. Pattern: E (lightly textured).
 2. Color: White.

3. Light Reflectance (LR): Not less than 0.88.
4. Ceiling Attenuation Class (CAC): Not less than 35.
5. Noise Reduction Coefficient (NRC): Not less than 0.75.
6. Edge/Joint Detail: Square.
7. Thickness: 3/4 inch.
8. Modular Size: 24 inch by 24 inch.

2.5 METAL SUSPENSION SYSTEM (for CP1 and CP2 panels)

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Armstrong; "Prelude" 15/16 inch suspension system or comparable product by one of the following:
 1. CertainTeed Corporation.
 2. United States Gypsum Company.
 3. Or approved equal.
- B. Metal Suspension-System Standard: Provide manufacturer's standard, metal suspension system and accessories according to ASTM C 635/C 635M and designated by type, structural classification, and finish indicated.
- C. Recycled Content: Postconsumer recycled content plus one-half of preconsumer recycled content not less than 25 percent.
- D. Wide-Face, Capped, Double-Web, Steel Suspension System: Main and cross runners roll formed from cold-rolled steel sheet; prepainted, electrolytically zinc coated, or hot-dip galvanized, G30 coating designation; with prefinished 15/16-inch- wide metal caps on flanges.
 1. Structural Classification: Intermediate-duty system.
 2. End Condition of Cross Runners: Override (stepped) type.
 3. Face Design: Flat, flush.
 4. Cap Material: Cold-rolled steel.
 5. Cap Finish: Painted to match color of acoustical unit.

2.6 ACCESSORIES

- A. Attachment Devices: Size for five times the design load indicated in ASTM C 635/C 635M, Table 1, "Indirect Hung." unless otherwise indicated. Comply with NYC Building Code requirements.
- B. Hanger Rods: Galvanized steel.
- C. Flat Hangers: Galvanized steel.
- D. Angle Hangers: Angles with legs not less than 7/8 inch wide; formed with 0.04-inch- thick, galvanized-steel sheet complying with ASTM A 653/A 653M, G90 coating designation; with bolted connections and 5/16-inch- diameter bolts.
- E. Hanger for suspension system to be 1" x 3/16", galvanized steel flats or 1/4" diameter galvanized pencil rods spaced 4'-0" o.c. conforming to NYC Building Code requirements.

- F. Main carrying channels, to which suspension systems shall be fastened, shall be 1-1/2" cold rolled galvanized steel channel; spaced 4'-0" o.c. conforming to NYC Building Code requirements.

2.7 METAL EDGE MOLDINGS AND TRIM

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Armstrong; Lay-in Shadow Molding No. 7897 or comparable product by one of the following:
 - 1. CertainTeed Corporation.
 - 2. United States Gypsum Company.
 - 3. Or approved equal.
- B. Roll-Formed, Sheet-Metal Edge Moldings and Trim: Type and profile indicated or, if not indicated, manufacturer's standard moldings for edges and penetrations that comply with seismic design requirements; formed from sheet metal of same material, finish, and color as that used for exposed flanges of suspension-system runners.
 - 1. Edge moldings are to fit acoustical panel edge details and suspension systems indicated and match width and configuration of exposed runners unless otherwise indicated.
 - 2. For lay-in panels with reveal edge details, provide stepped edge molding that forms reveal of same depth and width as that formed between edge of panel and flange at exposed suspension member.
 - 3. For circular penetrations of ceiling, provide edge moldings fabricated to diameter required to fit penetration exactly.

2.8 ACOUSTICAL SEALANT

- A. Acoustical Sealant: As specified in Section 079219 "Acoustical Joint Sealants."

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, areas, and conditions, including structural framing to which acoustical panel ceilings attach or abut, with Installer present, for compliance with requirements specified in this and other Sections that affect ceiling installation and anchorage and with requirements for installation tolerances and other conditions affecting performance of acoustical panel ceilings.
- B. Examine acoustical panels before installation. Reject acoustical panels that are wet, moisture damaged, or mold damaged.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. Measure each ceiling area and establish layout of acoustical panels to balance border widths at opposite edges of each ceiling. Avoid using less-than-half-width panels at borders unless otherwise indicated, and comply with layout shown on reflected ceiling plans.
- B. Layout openings for penetrations centered on the penetrating items.

3.4 INSTALLATION

- A. Install acoustical panel ceilings according to ASTM C 636/C 636M and manufacturer's written instructions.
- B. Suspend ceiling hangers from building's structural members and as follows:
 - 1. Install hangers plumb and free from contact with insulation or other objects within ceiling plenum that are not part of supporting structure or of ceiling suspension system.
 - 2. Splay hangers only where required to miss obstructions; offset resulting horizontal forces by bracing, countersplaying, or other equally effective means.
 - 3. Where width of ducts and other construction within ceiling plenum produces hanger spacings that interfere with location of hangers at spacings required to support standard suspension-system members, install supplemental suspension members and hangers in form of trapezes or equivalent devices.
 - 4. Secure wire hangers to ceiling-suspension members and to supports above with a minimum of three tight turns. Connect hangers directly to structure or to inserts, eye screws, or other devices that are secure and appropriate for substrate and that will not deteriorate or otherwise fail due to age, corrosion, or elevated temperatures.
 - 5. Secure flat, angle, channel, and rod hangers to structure, including intermediate framing members, by attaching to inserts, eye screws, or other devices that are secure and appropriate for both the structure to which hangers are attached and the type of hanger involved. Install hangers in a manner that will not cause them to deteriorate or fail due to age, corrosion, or elevated temperatures.
 - 6. Do not support ceilings directly from permanent metal forms or floor deck. Fasten hangers to cast-in-place hanger inserts, postinstalled mechanical or adhesive anchors, or power-actuated fasteners that extend through forms into concrete.
 - 7. When steel framing does not permit installation of hanger wires at spacing required, install carrying channels or other supplemental support for attachment of hanger wires.
 - 8. Do not attach hangers to steel deck tabs.
 - 9. Do not attach hangers to steel roof deck. Attach hangers to structural members.
 - 10. Space hangers not more than 48 inches o.c. along each member supported directly from hangers unless otherwise indicated; provide hangers not more than 8 inches from ends of each member.
 - 11. Size supplemental suspension members and hangers to support ceiling loads within performance limits established by referenced standards and per the requirements of the NYC Building Code.
- C. Secure bracing wires to ceiling suspension members and to supports with a minimum of four tight turns. Suspend bracing from building's structural members as required for hangers, without attaching to permanent metal forms, steel deck, or steel deck tabs. Fasten bracing wires into concrete with cast-in-place or postinstalled anchors.

- D. Install edge moldings and trim of type indicated at perimeter of acoustical ceiling area and where necessary to conceal edges of acoustical panels.
 - 1. Apply acoustical sealant in a continuous ribbon concealed on back of vertical legs of moldings before they are installed.
 - 2. Screw attach moldings to substrate at intervals not more than 16 inches o.c. and not more than 3 inches from ends. Miter corners accurately and connect securely.
 - 3. Do not use exposed fasteners, including pop rivets, on moldings and trim.
- E. Install suspension-system runners so they are square and securely interlocked with one another. Remove and replace dented, bent, or kinked members.
- F. Install acoustical panels with undamaged edges and fit accurately into suspension-system runners and edge moldings. Scribe and cut panels at borders and penetrations to provide precise fit.
 - 1. Arrange directionally patterned acoustical panels as follows:
 - a. As indicated on reflected ceiling plans.
 - 2. Paint cut edges of panel remaining exposed after installation; match color of exposed panel surfaces using coating recommended in writing for this purpose by acoustical panel manufacturer.
 - 3. Protect lighting fixtures and air ducts according to requirements indicated for fire-resistance-rated assembly.

3.5 ERECTION TOLERANCES

- A. Suspended Ceilings: Install main and cross runners level to a tolerance of 1/8 inch in 12 feet, non-cumulative.
- B. Moldings and Trim: Install moldings and trim to substrate and level with ceiling suspension system to a tolerance of 1/8 inch in 12 feet, non-cumulative.

3.6 CLEANING

- A. Clean exposed surfaces of acoustical panel ceilings, including trim, edge moldings, and suspension-system members. Comply with manufacturer's written instructions for cleaning and touchup of minor finish damage.
- B. Remove and replace ceiling components that cannot be successfully cleaned and repaired to permanently eliminate evidence of damage.

END OF SECTION 095113

SECTION 096513 - RESILIENT BASE AND ACCESSORIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Thermoset-rubber base.
 - 2. Molding accessories.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Sustainable Design Submittals:
 - 1. Product Data: For adhesives, indicating VOC content.
 - 2. Product Data: For sealants, indicating VOC content.
- C. Samples for Initial Selection: For each type of product indicated.
- D. Samples for Verification: For each type of product indicated and for each color, texture, and pattern required in manufacturer's standard-size Samples, but not less than 12 inches long.
- E. Product Schedule: For resilient base and accessory products. Use same designations indicated on Drawings.

1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Store resilient products and installation materials in dry spaces protected from the weather, with ambient temperatures maintained within range recommended by manufacturer, but not less than 50 deg F or more than 90 deg F.

1.7 FIELD CONDITIONS

- A. Maintain ambient temperatures within range recommended by manufacturer, but not less than 70 deg F or more than 95 deg F, in spaces to receive resilient products during the following periods:
 - 1. 48 hours before installation.
 - 2. During installation.
 - 3. 48 hours after installation.
- B. After installation and until Substantial Completion, maintain ambient temperatures within range recommended by manufacturer, but not less than 55 deg F or more than 95 deg F.
- C. Install resilient products after other finishing operations, including painting, have been completed.

PART 2 - PRODUCTS

2.1 THERMOSET-RUBBER BASE (WB1)

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Roppe Corp.; Pinnacles or comparable product by one of the following:
 - 1. Burke Mercer Flooring Products; a division of Burke Industries Inc.
 - 2. Flexco.
 - 3. Johnsonite; A Tarkett Company.
 - 4. Or approved equal.
- B. Product Standard: ASTM F 1861, Type TS (rubber, vulcanized thermoset), Group I (solid, homogeneous).
 - 1. Style and Location:
 - a. Style B, Cove: At all thermoset-rubber base locations.
- C. Thickness: 0.125 inch.
- D. Height: 4 inches.
- E. Lengths: Coils in manufacturer's standard length.
- F. Outside Corners: Job formed.

- G. Inside Corners: Job formed.
- H. Colors: As scheduled on the drawings.

2.2 MOLDING ACCESSORIES

- A. Carpet Joiner at walk-off mat: Rubber, non-porous, PVC-free, ADA compliant carpet joiner, for 7/32 inch high material.
 - 1. Basis-of-Design Product: Subject to compliance with requirements, provide Roppe Corp; #50 Tile/Carpet Joiner, or comparable product by one of the following:
 - a. Flexco.
 - b. Johnsonite; A Tarkett Company.
 - c. Or approved equal.
 - 2. Color: To be selected by Commissioner from manufacturer's full range.
- B. Carpet to Rubber Flooring Transition: Vinyl, non-porous, ADA compliant, tile carpet joiner.
 - 1. Basis-of-Design Product: Subject to compliance with requirements, provide Roppe Corp; #177 Tile Carpet Joiner, or comparable product by one of the following:
 - a. Flexco.
 - b. Johnsonite; A Tarkett Company.
 - c. Or approved equal.
 - 2. Color: To be selected by Commissioner from manufacturer's full range.
- C. Tile to Carpet Transition: Anozized aluminum, ADA compliant, 1/2 inch high, designed to protect tile edges and provide smooth transition from tile coverage to carpeting.
 - 1. Basis-of-Design Product: Subject to compliance with requirements, provide Schluter; Reno-TK ATK 125ABGB, or comparable product by one of the following:
 - a. Blanke Co.
 - b. Ceramic Toole Co.
 - c. Or approved equal.
 - 2. Finish: Brushed antique.

2.3 INSTALLATION MATERIALS

- A. Trowelable Leveling and Patching Compounds: Latex-modified, portland-cement-based or blended hydraulic-cement-based formulation provided or approved by resilient-product manufacturer for applications indicated.

- B. Adhesives: Water-resistant type recommended by resilient-product manufacturer for resilient products and substrate conditions indicated.
 - 1. Adhesives shall have a VOC content of 50 g/L or less.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, with Installer present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work.
 - 1. Verify that finishes of substrates comply with tolerances and other requirements specified in other Sections and that substrates are free of cracks, ridges, depressions, scale, and foreign deposits that might interfere with adhesion of resilient products.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.
 - 1. Installation of resilient products indicates acceptance of surfaces and conditions.

3.3 PREPARATION

- A. Prepare substrates according to manufacturer's written instructions to ensure adhesion of resilient products.
- B. Concrete Substrates for Resilient Stair Accessories: Prepare horizontal surfaces according to ASTM F 710.
 - 1. Verify that substrates are dry and free of curing compounds, sealers, and hardeners.
 - 2. Remove substrate coatings and other substances that are incompatible with adhesives and that contain soap, wax, oil, or silicone, using mechanical methods recommended by manufacturer. Do not use solvents.
 - 3. Alkalinity and Adhesion Testing: Perform tests recommended by manufacturer. Proceed with installation only after substrate alkalinity falls within range on pH scale recommended by manufacturer in writing, but not less than 5 or more than 10 pH.
 - 4. Moisture Testing: Perform tests so that each test area does not exceed 200 sq. ft., and perform no fewer than three tests in each installation area and with test areas evenly spaced in installation areas.
 - a. Anhydrous Calcium Chloride Test: ASTM F 1869. Proceed with installation only after substrates have maximum moisture-vapor-emission rate of 3 lb of water/1000 sq. ft. in 24 hours.
 - b. Relative Humidity Test: Using in-situ probes, ASTM F 2170. Proceed with installation only after substrates have a maximum 75 percent relative humidity level measurement.

- C. Fill cracks, holes, and depressions in substrates with trowelable leveling and patching compound; remove bumps and ridges to produce a uniform and smooth substrate.
- D. Do not install resilient products until materials are the same temperature as space where they are to be installed.
 - 1. At least 48 hours in advance of installation, move resilient products and installation materials into spaces where they will be installed.
- E. Immediately before installation, sweep and vacuum clean substrates to be covered by resilient products.

3.4 RESILIENT BASE INSTALLATION

- A. Comply with manufacturer's written instructions for installing resilient base.
- B. Apply resilient base to walls, columns, pilasters, casework and cabinets in toe spaces, and other permanent fixtures in rooms and areas where base is required.
- C. Install resilient base in lengths as long as practical without gaps at seams and with tops of adjacent pieces aligned.
- D. Tightly adhere resilient base to substrate throughout length of each piece, with base in continuous contact with horizontal and vertical substrates.
- E. Do not stretch resilient base during installation.
- F. On masonry surfaces or other similar irregular substrates, fill voids along top edge of resilient base with manufacturer's recommended adhesive filler material.
- G. Job-Formed Corners:
 - 1. Outside Corners: Use straight pieces of maximum lengths possible and form with returns not less than 3 inches in length.
 - a. Form without producing discoloration (whitening) at bends.
 - 2. Inside Corners: Use straight pieces of maximum lengths possible and form with returns not less than 3 inches in length.
 - a. Miter or cope corners to minimize open joints.

3.5 RESILIENT ACCESSORY INSTALLATION

- A. Comply with manufacturer's written instructions for installing resilient accessories.
- B. Resilient Molding Accessories: Butt to adjacent materials and tightly adhere to substrates throughout length of each piece. Install reducer strips at edges of floor covering that would otherwise be exposed.

3.6 CLEANING AND PROTECTION

- A. Comply with manufacturer's written instructions for cleaning and protecting resilient products.
- B. Perform the following operations immediately after completing resilient-product installation:
 - 1. Remove adhesive and other blemishes from surfaces.
 - 2. Sweep and vacuum horizontal surfaces thoroughly.
 - 3. Damp-mop horizontal surfaces to remove marks and soil.
- C. Protect resilient products from mars, marks, indentations, and other damage from construction operations and placement of equipment and fixtures during remainder of construction period.
- D. Cover resilient products subject to wear and foot traffic until Substantial Completion.

END OF SECTION 096513

SECTION 096536 - STATIC-CONTROL RESILIENT FLOORING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:

- 1. Static-control, solid vinyl floor tile.

- B. Related Requirements:

- 1. Section 096513 "Resilient Base and Accessories" for resilient base, reducer strips, and other accessories installed with static-control resilient flooring.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

- 1. Review methods and procedures related to static-control resilient flooring including, but not limited to, the following:

- a. Examination and preparation of substrates to receive static-control resilient flooring.
 - b. Installation techniques required for specified products.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.

- B. Sustainable Design Submittals:

- 1. Product Data: For adhesives, indicating VOC content.
 - 2. Product Data: For chemical-bonding compounds, indicating VOC content.
 - 3. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.

- C. Shop Drawings: For each type of static-control resilient flooring. Include floor-covering layouts, edges, columns, doorways, enclosing partitions, built-in furniture, cabinets, and cutouts.
 - 1. Show details of special patterns.
 - 2. Show locations of inscribed maintenance floor tiles in conductive, solid vinyl floor tile installation areas.
 - 3. Show grounding locations of grounding strips and connections.
- D. Samples for Initial Selection: For each exposed static-control resilient flooring product, in manufacturer's standard size.
- E. Samples for Verification: For each type of static-control resilient flooring and in each color, pattern, and texture required, of size indicated below:
 - 1. Floor Tile: Full-size units.

1.6 INFORMATIONAL SUBMITTALS

- A. Product Test Reports: For static-control resilient flooring, for tests performed by a qualified testing agency.

1.7 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For each type of static-control resilient flooring to include in maintenance manuals.

1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Installer Qualifications: A qualified installer who employs workers for this Project who are competent in installation techniques required by manufacturer for specified static-control resilient flooring.

1.9 DELIVERY, STORAGE, AND HANDLING

- A. Store static-control resilient flooring and installation materials in dry spaces protected from the weather, with ambient temperatures maintained within range recommended in writing by manufacturer, but not less than 50 deg F or more than 90 deg F.
 - 1. Floor Tile: Store on flat surfaces.

1.10 PROJECT CONDITIONS

- A. Maintain ambient temperatures in spaces to receive static-control resilient flooring within range recommended by manufacturer, but not less than 70 deg F or more than 85 deg F, during the following time periods:
 - 1. Period recommended in writing by manufacturer before installation.

2. During installation.
 3. Period recommended in writing by manufacturer after installation.
- B. Until Substantial Completion, maintain ambient temperatures in installation areas within range recommended by manufacturer, but not less than 55 deg F or more than 95 deg F.
- C. Close spaces to traffic during static-control resilient flooring installation.
- D. Close spaces to traffic for period recommended in writing by manufacturer after static-control resilient flooring installation.
- E. Install static-control resilient flooring after other finishing operations, including painting, have been completed.

PART 2 - PRODUCTS

2.1 STATIC-CONTROL, SOLID VINYL FLOOR TILE (RF01)

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Forbo Industries Inc.; Colorex SD or comparable product by one of the following:
1. Johnsonite; a Tarkett company.
 2. Roppe Corporation, USA.
 3. Staticworx.
 4. Or approved equal.
- B. Source Limitations: Obtain floor tile from single source from single manufacturer.
- C. Static-Control Properties: As determined by testing identical products in accordance with test method indicated by an independent testing and inspecting agency.
1. Electrical Resistance:
 - a. Material: Point-to-point and point-to-ground resistances between 1×10^5 ohms and 1×10^8 ohms when tested in accordance with ESD STM7.1.
 - b. Material in Combination with a Person: Maximum resistance of 1×10^9 ohms when tested in accordance with ESD STM97.1.
 2. Static Generation:
 - a. ESD STM97.2: Less than 100 V when tested at 12 percent relative humidity with static-control footwear.
- D. Critical Radiant Flux: 0.22 W/sq. cm or greater when tested in accordance with ASTM E648 or NFPA 253.
- E. Thickness: Manufacturer's standard, but not less than 0.08 inch.
- F. Size: 24.4 by 24.4 inches.

- G. Seaming Method: Manufacturer's standard.
- H. Recycled percentage: No less than 15 percent pre-consumer recycled content.
- I. Colors and Patterns: As scheduled on the drawings.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, with Installer and manufacturer's representative present, for compliance with requirements for conditions affecting performance of the Work.
- B. Verify that finishes of substrates comply with tolerances and other requirements specified in other Sections and that substrates are free of cracks, ridges, depressions, scale, and foreign deposits that might interfere with installation or static-control characteristics of floor coverings.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. Prepare substrates in accordance with manufacturer's written instructions and with oversight by manufacturer's representative to ensure successful installation of static-control resilient flooring and electrical continuity of floor-covering systems.
- B. Concrete Substrates: Prepare in accordance with ASTM F710.
 - 1. Verify that substrates are dry and free of curing compounds, sealers, and hardeners.
 - 2. Remove substrate coatings and other substances that are incompatible with floor-covering adhesives and that contain soap, wax, oil, or silicone, using mechanical methods recommended by manufacturer. Do not use solvents.
 - 3. Alkalinity and Adhesion Testing: Perform tests recommended in writing by manufacturer. Proceed with installation only after substrate alkalinity is not less than 6 or more than 8 pH unless otherwise recommended in writing by flooring manufacturer.
 - 4. Moisture Testing: Perform tests so that each test area does not exceed 1000 sq. ft., and perform no fewer than three tests in each installation area and with test areas evenly spaced in installation areas.
 - a. Anhydrous Calcium Chloride Test: ASTM F1869. Proceed with installation only after substrates have maximum moisture-vapor-emission rate of 3 lb of water/1000 sq. ft. in 24 hours.
 - b. Relative Humidity Test: Using in-situ probes, ASTM F2170. Proceed with installation only after substrates have a maximum 75 percent relative humidity level measurement.

- C. Access Flooring Panels: Remove protective film of oil or other coating using method recommended by access flooring manufacturer.
- D. Fill cracks, holes, and depressions in substrates with trowelable leveling and patching compound and remove bumps and ridges to produce a uniform and smooth substrate.
- E. Do not install static-control resilient flooring until it is same temperature as space where it is to be installed.
 - 1. Move static-control resilient flooring and installation materials into spaces where they will be installed at least 48 hours in advance of installation.
- F. Sweep and vacuum substrates to be covered by static-control resilient flooring immediately before installation.

3.4 INSTALLATION, GENERAL

- A. Install static-control resilient flooring in accordance with manufacturer's written instructions and with oversight by manufacturer's representative.
- B. Extend grounding strips beyond perimeter of static-control resilient floor-covering surfaces to ground connections.
 - 1. For adhesively installed flooring, embed grounding strips in static-control adhesive.
- C. Scribe, cut, and fit static-control resilient flooring to butt neatly and tightly to vertical surfaces and permanent fixtures including built-in furniture, cabinets, pipes, outlets, and door frames.
 - 1. Extend static-control resilient flooring below built-in items and permanent, but movable, items that allow for a flexible layout where indicated on Drawings.
- D. Extend static-control resilient flooring into toe spaces, door reveals, closets, and similar openings.
- E. Extend static-control resilient flooring to center of door openings where flooring or color transitions occur.
- F. Maintain reference markers, holes, and openings that are in place or marked for future cutting by repeating on static-control resilient flooring as marked on substrates. Use chalk or other nonpermanent, nonstaining marking device.
- G. Install static-control resilient flooring on covers for telephone and electrical ducts, and similar items in installation areas. Maintain overall continuity of color and pattern with pieces of static-control resilient flooring installed on covers. Tightly adhere static-control resilient flooring edges to substrates that abut covers and to cover perimeters.
- H. Adhesive Installation: Adhere static-control resilient flooring to substrates using a full spread of static-control adhesive applied to substrate to produce a completed installation without open cracks, voids, raising and puckering at joints, telegraphing of adhesive spreader marks, and other surface imperfections.

3.5 INSTALLATION OF FLOOR TILE

- A. Lay out floor tiles from center marks established with principal walls, discounting minor offsets, so floor tiles at opposite edges of room are of equal width. Adjust as necessary to avoid using cut widths that equal less than one-half floor tile at perimeter.
 - 1. Lay floor tiles in pattern indicated on Drawings.
- B. Match floor tiles for color and pattern by selecting floor tiles from cartons in same sequence as manufactured and packaged if so numbered. Discard broken, cracked, chipped, or deformed floor tiles.
- C. In each space where conductive, solid vinyl floor tile is installed, install maintenance floor tile identifying conductive floor tile in locations approved by Commissioner.

3.6 CLEANING AND PROTECTION

- A. Comply with manufacturer's written instructions for cleaning and protection of static-control resilient flooring.
- B. Perform the following operations immediately after completing static-control resilient flooring:
 - 1. Remove static-control adhesive from exposed surfaces.
 - 2. Remove dirt and blemishes from exposed surfaces.
 - 3. Sweep and vacuum surfaces thoroughly.
 - 4. Damp-mop surfaces to remove marks and soil.
- C. Protect static-control resilient flooring from mars, marks, indentations, and other damage from construction operations and placement of equipment and fixtures during remainder of construction period.
 - 1. Do not wax static-control resilient flooring.
 - 2. If recommended in writing by manufacturer, apply protective static-control floor polish formulated to maintain or enhance floor covering's electrical properties. Before polishing, do the following:
 - a. Ensure that static-control resilient flooring surfaces are free from soil, static-control adhesive, and surface blemishes.
 - b. Verify that both floor polish and its application method are approved by manufacturer and that floor polish will not leave an insulating film that reduces static-control resilient flooring's effectiveness for static control.
- D. Cover static-control resilient flooring and protect from rolling loads until Substantial Completion.

END OF SECTION 096536

SECTION 096543 - LINOLEUM FLOORING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Linoleum floor tile.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Sustainable Design Submittals:
 - 1. Product Data: For adhesives, indicating VOC content.
- C. Shop Drawings: For each type of linoleum flooring.
 - 1. Include flooring layouts, locations of seams, edges, columns, doorways, enclosing partitions, built-in furniture, cabinets, and cutouts.
 - 2. Show details of special patterns.
- D. Samples for Initial Selection: For each type of linoleum flooring indicated.
- E. Samples for Verification: For each type of linoleum flooring, in manufacturer's standard size, but not less than 6-by-9-inch sections of each different color and pattern required.
- F. Product Schedule: For linoleum flooring. Use same designations indicated on Drawings.

1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer.

1.6 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For each type of linoleum flooring to include in maintenance manuals.
 - 1. Include manufacturer's recommended cleaning methods and materials.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Installer Qualifications: An entity that employs installers and supervisors who are competent in techniques required by manufacturer for flooring installation methods indicated.

1.8 DELIVERY, STORAGE, AND HANDLING

- A. Store flooring and installation materials in dry spaces protected from the weather, with ambient temperatures maintained within range recommended by manufacturer, but not less than 65 deg F or more than 90 deg F.
 - 1. Floor Tile: Store on flat surfaces.
 - 2. Sheet Flooring: Store rolls upright.

1.9 FIELD CONDITIONS

- A. Maintain ambient temperatures within range recommended by manufacturer, but not less than 70 deg F or more than 95 deg F, in spaces to receive flooring during the following periods:
 - 1. 72 hours before installation.
 - 2. During installation.
 - 3. 72 hours after installation.
- B. After installation and until Substantial Completion, maintain ambient temperatures within range recommended by manufacturer, but not less than 55 deg F or more than 95 deg F.
- C. Close spaces to traffic during flooring installation.
- D. Close spaces to traffic for 72 hours after flooring installation.
- E. Install flooring after other finishing operations, including painting, have been completed.

PART 2 - PRODUCTS

2.1 LINOLEUM FLOOR TILE (RF1, RF2, RF3)

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Forbo Industries, Inc.; Marmoleum MCT Essentials, or comparable product by one of the following:
 - 1. Armstrong World Industries, Inc.
 - 2. Johnsonite; A Tarkett Company.
 - 3. Or approved equal.
- B. Linoleum Floor Tile: ASTM F 2195, Type I, linoleum floor tile with fibrous backing.
 - 1. Nominal Floor Tile Size: 13.11 by 13.11 inches.
- C. Thickness: 0.08 inch.
- D. Colors and Patterns: As scheduled on the drawings.

2.2 INSTALLATION MATERIALS

- A. Trowelable Leveling and Patching Compounds: Latex-modified, portland-cement-based or blended hydraulic-cement-based formulation provided or approved by linoleum flooring manufacturer for applications indicated.
- B. Adhesives: Water-resistant type recommended by flooring and adhesive manufacturers to suit products and substrate conditions indicated.
 - 1. Adhesives shall have a VOC content of 50 g/L or less.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, with Installer present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work.
 - 1. Verify that finishes of substrates comply with tolerances and other requirements specified in other Sections and that substrates are free of cracks, ridges, depressions, scale, and foreign deposits that might interfere with adhesion of flooring.

- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. Prepare substrates according to linoleum flooring manufacturer's written instructions to ensure adhesion of flooring.
- B. Concrete Substrates: Prepare according to ASTM F 710.
 - 1. Verify that substrates are dry and free of curing compounds, sealers, and hardeners.
 - 2. Remove substrate coatings and other substances that are incompatible with flooring adhesives and that contain soap, wax, oil, or silicone, using mechanical methods recommended by linoleum flooring manufacturer. Do not use solvents.
 - 3. Alkalinity and Adhesion Testing: Perform tests recommended by linoleum flooring manufacturer. Proceed with installation only after substrate alkalinity falls within range on pH scale recommended by manufacturer in writing, but not less than 5 or more than 10 pH.
 - 4. Moisture Testing: Perform tests so that each test area does not exceed 1000 sq. ft., and perform no fewer than three tests in each installation area and with test areas evenly spaced in installation areas.
 - a. Anhydrous Calcium Chloride Test: ASTM F 1869. Proceed with installation only after substrates have maximum moisture-vapor-emission rate of 3 lb of water/1000 sq. ft. in 24 hours.
 - b. Relative Humidity Test: Using in-situ probes, ASTM F 2170. Proceed with installation only after substrates have a maximum 75 percent relative humidity level measurement.
- C. Fill cracks, holes, and depressions in substrates with trowelable leveling and patching compound; remove bumps and ridges to produce a uniform and smooth substrate.
- D. Do not install flooring until materials are the same temperature as space where they are to be installed.
 - 1. At least 72 hours in advance of installation, move flooring and installation materials into spaces where they will be installed.
- E. Immediately before installation, sweep and vacuum clean substrates to be covered by flooring.

3.4 INSTALLATION, GENERAL

- A. Comply with manufacturer's written instructions for installing flooring.
- B. Scribe and cut flooring to butt neatly and tightly to vertical surfaces and permanent fixtures, including built-in furniture, cabinets, pipes, outlets, edgings, thresholds, door frames, and nosings.
- C. Extend flooring into toe spaces, door reveals, closets, and similar openings.
- D. Maintain reference markers, holes, and openings that are in place or marked for future cutting by repeating on flooring as marked on substrates. Use chalk or other nonpermanent marking device.

- E. Install flooring on covers for telephone and electrical ducts and similar items in installation areas. Maintain overall continuity of color and pattern between pieces of flooring installed on covers and adjoining flooring. Tightly adhere flooring edges to substrates that abut covers and to cover perimeters.
- F. Adhere flooring to substrates using a full spread of adhesive applied to substrate to produce a completed installation without open cracks, voids, raising and puckering at joints, telegraphing of adhesive spreader marks, and other surface imperfections.

3.5 LINOLEUM FLOOR TILE INSTALLATION

- A. Lay out linoleum floor tiles from center marks established with principal walls, discounting minor offsets, so floor tiles at opposite edges of room are of equal width. Adjust as necessary to avoid using cut widths that equal less than one-half tile at perimeter.
 - 1. Lay floor tiles in pattern indicated.
- B. Match linoleum floor tiles for color and pattern by selecting tiles from cartons in same sequence as manufactured and packaged, if so numbered. Discard broken, cracked, chipped, or deformed floor tiles.
 - 1. Lay floor tiles in pattern of colors and sizes indicated.

3.6 CLEANING AND PROTECTION

- A. Comply with manufacturer's written instructions for cleaning and protecting linoleum flooring.
- B. Perform the following operations immediately after completing linoleum flooring installation:
 - 1. Remove adhesive and other blemishes from surfaces.
 - 2. Sweep and vacuum surfaces thoroughly.
 - 3. Damp-mop surfaces to remove marks and soil.
 - a. Do not wax linoleum flooring.
- C. Protect linoleum flooring from mars, marks, indentations, and other damage from construction operations and placement of equipment and fixtures during remainder of construction period.
- D. After allowing drying room film (yellow film caused by linseed oil oxidation) to disappear, cover linoleum flooring until Substantial Completion.

3.7 DEMONSTRATION

- A. Engage a manufacturer's service representative to demonstrate to City of New York's personnel recommended methods to clean linoleum flooring using neutral pH cleaning solution and low speed buffer.

END OF SECTION 096543

SECTION 096723 - RESINOUS FLOORING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Fluid applied epoxy recycled glass chip resinous flooring and cove base.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.
 - 1. Review manufacturer's written instructions for substrate preparation and environmental conditions affecting resinous flooring installation.
 - 2. Review manufacturer's written instructions for installing resinous flooring systems.
 - 3. Review protection measures for adjacent construction and installed flooring and base details.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Include manufacturer's technical data, installation instructions, and recommendations for each resinous flooring component required.
- B. Sustainable Design Submittals:
 - 1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
 - 2. Product Data: For adhesives, indicating that product contains no urea formaldehyde.
- C. Samples: For each resinous floor system required and for each color and texture specified, 6 inches square in size, applied to a rigid backing by Installer for this Project.

- D. Samples for Initial Selection: For each type of exposed finish required.
- E. Samples for Verification: For each resinous flooring system required and for each color and texture specified, 6 inches square, applied to a rigid backing by Installer for this Project.
- F. Sample Warranty: For manufacturer's special warranty.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Installer Qualifications: An installer who is properly trained to install products and materials specified in this section.
- C. Mockups: Build mockups to verify selections made under Sample submittals, to demonstrate aesthetic effects, and to set quality standards for materials and execution.
 - 1. Apply full-thickness mockups on 96-inch- square floor area selected by Commissioner.
 - a. Include integral cove base with inside corner.
 - 2. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Commissioner specifically approves such deviations in writing.
 - 3. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.7 WARRANTY

- A. Manufacturer's Special Warranty: Manufacturer agrees to repair or replace resinous floor and cove base materials for delamination (separating of layers) and degradation of surface finish.
 - 1. Warranty Period: 20 years from date of Substantial Completion.

1.8 DELIVERY, STORAGE, AND HANDLING

- A. Deliver materials in original packages and containers, with seals unbroken, bearing manufacturer's labels indicating brand name and directions for storage and mixing with other components.

1.9 FIELD CONDITIONS

- A. Environmental Limitations: Comply with resinous flooring manufacturer's written instructions for substrate temperature, ambient temperature, moisture, ventilation, and other conditions affecting resinous flooring installation.
- B. Lighting: Provide permanent lighting or, if permanent lighting is not in place, simulate permanent lighting conditions during resinous flooring installation.

- C. Close spaces to traffic during resinous flooring installation and for 24 hours after installation unless manufacturer recommends a longer period.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Flammability: Self-extinguishing in accordance with ASTM D635.

2.2 RESINOUS FLOORING (EP1)

- A. Resinous Flooring System: Abrasion-, impact-, and chemical-resistant, recycled glass chip-filled, resin-based monolithic floor surfacing designed to produce a seamless floor and integral cove base.
 - 1. Basis-of-Design Product: Subject to compliance with requirements, provide Everlast Epoxy Systems Inc; "Everlast Eco-Friendly Floor" or comparable product by one of the following:
 - a. Duraflex, Inc.
 - b. Stonhard, Inc.
 - c. Or approved equal.
- B. Source Limitations: Obtain primary resinous flooring materials, including primers, resins, hardening agents, grouting coats, and topcoats, from single source from single manufacturer. Obtain secondary materials, including patching and fill material, joint sealant, and repair materials, of type and from manufacturer recommended in writing by manufacturer of primary materials.
- C. System Characteristics:
 - 1. Color and Pattern: As scheduled on the drawings.
 - 2. Wearing Surface: Manufacturer's standard wearing surface.
 - 3. Overall System Thickness: 3/16 inch.
- D. System Physical Properties: Provide resinous flooring system with the following minimum physical property requirements when tested in accordance with test methods indicated:
 - 1. Compressive Strength: 17,000 psi minimum in accordance with ASTM C579.
 - 2. Tensile Strength: 7,100 psi minimum in accordance with ASTM C307.
 - 3. Flexural Modulus of Elasticity: 10,000 psi minimum in accordance with ASTM C580.
 - 4. Water Absorption: Less than 0.2 percent maximum in accordance with ASTM C413.
 - 5. Indentation: 0.8 percent maximum in accordance with ASTM D-2794.
 - 6. Impact Resistance: Less than 24,000 psi in accordance with ASTM D-4226.
 - 7. Abrasion Resistance: 0.018 gm maximum weight loss in accordance with ASTM D4060.
 - 8. Critical Radiant Flux: 0.22 W/sq. cm or greater in accordance with NFPA 253.
 - 9. Smoke Density: Less than 3 per ASTM E-662.
 - 10. VOC Content: No VOC.

- E. Primer for application of resinous wall base: Type recommended in writing by resinous flooring manufacturer for substrate and resinous flooring system indicated.
- F. Topcoats: Sealing or finish coats.
 - 1. Formulation Description: Manufacturer's high performance chemical resistant formula.
 - 2. Type: Clear.
 - 3. Number of Coats: Two.
 - 4. Finish: To be selected by Commissioner.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, with Installer present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work.
 - 1. Verify that finishes of substrates comply with tolerances and other requirements specified in other Sections and that substrates are free of cracks, ridges, depressions, scale, and foreign deposits that might interfere with adhesion of resinous flooring systems.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. Prepare and clean substrates in accordance with resinous flooring manufacturer's written instructions for substrate indicated to ensure adhesion.
- B. Concrete Substrates: Provide sound concrete surfaces free of laitance, glaze, efflorescence, curing compounds, form-release agents, dust, dirt, grease, oil, and other contaminants incompatible with resinous flooring.
 - 1. Roughen concrete substrates as follows:
 - a. Comply with requirements in SSPC-SP 13/NACE No. 6, with a Concrete Surface Profile of 3 or greater in accordance with ICRI Technical Guideline No. 310.2R, unless manufacturer's written instructions are more stringent.
 - 2. Repair damaged and deteriorated concrete in accordance with resinous flooring manufacturer's written instructions.
 - 3. Moisture Testing: Perform tests so that each test area does not exceed 1000 sq. ft., and perform no fewer than three tests in each installation area and with test areas evenly spaced in installation areas.

- a. Anhydrous Calcium Chloride Test: ASTM F1869. Proceed with installation only after substrates have maximum moisture-vapor-emission rate of 3 lb of water/1000 sq. ft. in 24 hours.
 - b. Relative Humidity Test: Using in-situ probes, ASTM F2170. Proceed with installation only after substrates have a maximum 75 percent relative humidity level measurement.
4. Alkalinity and Adhesion Testing: Perform tests recommended in writing by resinous flooring manufacturer. Proceed with installation only after substrate alkalinity is not less than 6 or more than 8 pH unless otherwise recommended in writing by flooring manufacturer,
- C. Patching and Filling: Use patching and fill material to fill holes and depressions in substrates in accordance with manufacturer's written instructions.
1. Control Joint Treatment: Treat control joints and other nonmoving substrate cracks to prevent cracks from reflecting through resinous flooring in accordance with manufacturer's written instructions.
- D. Resinous Materials: Mix components and prepare materials in accordance with resinous flooring manufacturer's written instructions.

3.4 INSTALLATION

- A. Apply components of resinous flooring system in accordance with manufacturer's written instructions to produce a uniform, monolithic wearing surface of thickness specified.
1. Coordinate installation of components to provide optimum adhesion of resinous flooring system to substrate, and optimum intercoat adhesion.
 2. Cure resinous flooring components in accordance with manufacturer's written instructions. Prevent contamination during installation and curing processes.
 3. Expansion and Isolation Joint Treatment: At substrate expansion and isolation joints, comply with resinous flooring manufacturer's written instructions.
- B. Topcoats: Apply topcoats in number indicated for flooring system specified, at spreading rates recommended in writing by manufacturer, and to produce wearing surface specified.

3.5 PROTECTION

- A. Protect resinous flooring from damage and wear during the remainder of construction period. Use protective methods and materials, including temporary covering, recommended in writing by resinous flooring manufacturer.

END OF SECTION 096723

SECTION 096813 - TILE CARPETING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:

1. Modular carpet tile.

- B. Related Requirements:

1. Section 024119 "Selective Demolition" for removing existing floor coverings.
2. Section 096513 "Resilient Base and Accessories" for resilient wall base and accessories installed with carpet tile.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1. Review methods and procedures related to carpet tile installation including, but not limited to, the following:
 - a. Review delivery, storage, and handling procedures.
 - b. Review ambient conditions and ventilation procedures.
 - c. Review subfloor preparation procedures.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.

1. Include manufacturer's written data on physical characteristics, durability, and fade resistance.
2. Include manufacturer's written installation recommendations for each type of substrate.

- B. Sustainable Design Submittals:

1. Product Data: For adhesives, indicating VOC content.
2. Laboratory Test Reports: For flooring products, indicating compliance with requirements for testing and product requirements of CRI's "Green Label Plus" testing program.

C. Shop Drawings: For carpet tile installation, plans showing the following:

1. Columns, doorways, enclosing walls or partitions, built-in cabinets, and locations where cutouts are required in carpet tiles.
2. Carpet tile type, color, and dye lot.
3. Type of subfloor.
4. Type of installation.
5. Pattern of installation.
6. Pattern type, location, and direction.
7. Pile direction.
8. Type, color, and location of insets and borders.
9. Type, color, and location of edge, transition, and other accessory strips.
10. Transition details to other flooring materials.

D. Samples for Initial Selection: For each type of carpet tile.

1. Include Samples of exposed edge, transition, and other accessory stripping involving color or finish selection.

E. Samples for Verification: For each of the following products and for each color and texture required. Label each Sample with manufacturer's name, material description, color, pattern, and designation indicated on Drawings and in schedules.

1. Carpet Tile: Full-size Sample.
2. Exposed Edge, Transition, and Other Accessory Stripping: 12-inch- long Samples.

F. Product Schedule: For carpet tile. Use same designations indicated on Drawings.

1.6 INFORMATIONAL SUBMITTALS

A. Product Test Reports: For carpet tile, for tests performed by a qualified testing agency.

1.7 CLOSEOUT SUBMITTALS

A. Maintenance Data: For carpet tiles to include in maintenance manuals. Include the following:

1. Methods for maintaining carpet tile, including cleaning and stain-removal products and procedures and manufacturer's recommended maintenance schedule.
2. Precautions for cleaning materials and methods that could be detrimental to carpet tile.

1.8 QUALITY ASSURANCE

A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.9 DELIVERY, STORAGE, AND HANDLING

- A. Comply with the Carpet and Rug Institute's CRI 104.

1.10 FIELD CONDITIONS

- A. Comply with the Carpet and Rug Institute's CRI 104 for temperature, humidity, and ventilation limitations.
- B. Environmental Limitations: Do not deliver or install carpet tiles until spaces are enclosed and weathertight, wet-work in spaces is complete and dry, and ambient temperature and humidity conditions are maintained at levels planned for building occupants during the remainder of the construction period.
- C. Do not install carpet tiles over concrete slabs until slabs have cured and are sufficiently dry to bond with adhesive and concrete slabs have pH range recommended by carpet tile manufacturer.
- D. Where demountable partitions or other items are indicated for installation on top of carpet tiles, install carpet tiles before installing these items.

1.11 WARRANTY

- A. Special Warranty for Carpet Tiles: Manufacturer agrees to repair or replace components of carpet tile installation that fail in materials or workmanship within specified warranty period.
 - 1. Warranty does not include deterioration or failure of carpet tile due to unusual traffic, failure of substrate, vandalism, or abuse.
 - 2. Failures include, but are not limited to, the following:
 - a. More than 10 percent edge raveling, snags, and runs.
 - b. Dimensional instability.
 - c. Excess static discharge.
 - d. Loss of tuft-bind strength.
 - e. Loss of face fiber.
 - f. Delamination.
 - 3. Warranty Period: 15 years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 CARPET TILE (CPT1, CPT2, CPT3, CPT5)

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Interface LLC; Open Air 404 series or comparable product by one of the following:
 - 1. Bentley Prince Street, Inc.
 - 2. Milliken & Company.

3. Mohawk Group (The); Mohawk Carpet, LLC.
4. Shaw Contract Group; a Berkshire Hathaway company.
5. Or approved equal.

B. Color and Pattern: As indicated on the drawings.

C. Fiber Content: 100 percent recycled nylon.

D. Pile Characteristic: Cut Tufted textured loop pile.

E. Density: 6,980 oz./cu. yd.

F. Stitches: 9 per inch.

G. Machine Gauge: 1/2 inch.

H. Tufted Yarn Weight: 19 oz./sq. yd..

I. Primary Backing/Backcoating: Manufacturer's net carbon negative backings composed of composite, recycled and bio-based materials.

J. Size: 19.69 inches x 19.69 inches.

K. Sustainable Design Requirements:

1. Carpet and cushion shall comply with testing and product requirements of CRI's "Green Label Plus" testing program.

L. Performance Characteristics:

1. Appearance Retention Rating: Heavy traffic, 3.0 minimum according to ASTM D7330.
2. Smoke Density: Less than 450, per ASTM E662.
3. Flammability: Passes Methenamine Pill Test (DOC-FF1-70).
4. Lightfastness: Lees or equal to 4.0 @ 60 AFU's.
5. Static: Less than 3 per AATCC - 134.
6. Flooring Radiant Panel: Passes, per ASTM E-648
7. Dry Breaking Strength: Not less than 100 lbf according to ASTM D2646.
8. Dimensional Stability: 0.2 percent or less according to ISO 2551 (Aachen Test).
9. Fiber Modification Ratio: 1.9 to 2.2

2.2 INSTALLATION ACCESSORIES

A. Trowelable Leveling and Patching Compounds: Latex-modified, hydraulic-cement-based formulation provided or recommended by carpet tile manufacturer.

B. Adhesives: Water-resistant, mildew-resistant, nonstaining, pressure-sensitive type to suit products and subfloor conditions indicated, that comply with flammability requirements for installed carpet tile, and are recommended by carpet tile manufacturer for releasable installation.

1. Adhesives shall have a VOC content of 50 g/L or less.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for maximum moisture content, alkalinity range, installation tolerances, and other conditions affecting carpet tile performance.
- B. Examine carpet tile for type, color, pattern, and potential defects.
- C. Concrete Slabs: Verify that finishes comply with requirements specified in Section 033000 "Cast-in-Place Concrete" and that surfaces are free of cracks, ridges, depressions, scale, and foreign deposits.
 1. Moisture Testing: Perform tests so that each test area does not exceed 1000 sq. ft., and perform no fewer than three tests in each installation area and with test areas evenly spaced in installation areas.
 - a. Anhydrous Calcium Chloride Test: ASTM F1869. Proceed with installation only after substrates have maximum moisture-vapor-emission rate of 3 lb of water/1000 sq. ft. in 24 hours.
 - b. Relative Humidity Test: Using in situ probes, ASTM F2170. Proceed with installation only after substrates have a maximum 75 percent relative humidity level measurement.
 - c. Perform additional moisture tests recommended in writing by adhesive and carpet tile manufacturers. Proceed with installation only after substrates pass testing.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. General: Comply with the Carpet and Rug Institute's CRI 104 and with carpet tile manufacturer's written installation instructions for preparing substrates indicated to receive carpet tile.
- B. Use trowelable leveling and patching compounds, according to manufacturer's written instructions, to fill cracks, holes, depressions, and protrusions in substrates. Fill or level cracks, holes and depressions 1/8 inch wide or wider, and protrusions more than 1/32 inch unless more stringent requirements are required by manufacturer's written instructions.
- C. Concrete Substrates: Remove coatings, including curing compounds, and other substances that are incompatible with adhesives and that contain soap, wax, oil, or silicone, without using solvents. Use mechanical methods recommended in writing by adhesive and carpet tile manufacturers.

- D. Broom and vacuum clean substrates to be covered immediately before installing carpet tile.

3.4 INSTALLATION

- A. General: Comply with the Carpet and Rug Institute's CRI 104, Section 10, "Carpet Tile," and with carpet tile manufacturer's written installation instructions.
- B. Installation Method: As recommended in writing by carpet tile manufacturer.
- C. Maintain dye-lot integrity. Do not mix dye lots in same area.
- D. Maintain pile-direction patterns recommended in writing by carpet tile manufacturer.
- E. Cut and fit carpet tile to butt tightly to vertical surfaces, permanent fixtures, and built-in furniture including cabinets, pipes, outlets, edgings, thresholds, and nosings. Bind or seal cut edges as recommended by carpet tile manufacturer.
- F. Extend carpet tile into toe spaces, door reveals, closets, open-bottomed obstructions, removable flanges, alcoves, and similar openings.
- G. Maintain reference markers, holes, and openings that are in place or marked for future cutting by repeating on carpet tile as marked on subfloor. Use nonpermanent, nonstaining marking device.
- H. Install pattern parallel to walls and borders.

3.5 CLEANING AND PROTECTION

- A. Perform the following operations immediately after installing carpet tile:
 - 1. Remove excess adhesive and other surface blemishes using cleaner recommended by carpet tile manufacturer.
 - 2. Remove yarns that protrude from carpet tile surface.
 - 3. Vacuum carpet tile using commercial machine with face-beater element.
- B. Protect installed carpet tile to comply with the Carpet and Rug Institute's CRI 104, Section 13.7.
- C. Protect carpet tile against damage from construction operations and placement of equipment and fixtures during the remainder of construction period. Use protection methods indicated or recommended in writing by carpet tile manufacturer.

END OF SECTION 096813

SECTION 096816 - SHEET CARPETING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Textile Entrance Floor Mat.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Include construction details, material descriptions and profiles, and finishes for entrance floor mats.
- B. Sustainable Design Submittals:
 - 1. Product Data: For adhesives, indicating VOC content.
 - 2. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
- C. Shop Drawings:
 - 1. Floor plan layout of entrance floor mats, including layout of entrance doors and adjacent walls.
- D. Samples: For the following products:
 - 1. Entrance Floor Mat, minimum 6 inch by 6 inch sample.

1.5 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For entrance floor mats to include in maintenance manuals.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 Quality Requirements."

PART 2 - PRODUCTS

2.1 TEXTILE ENTRANCE FLOORING MATS

- A. Entrance Floor Mat Description: Mat composed of a combination of alternating strips of brush yarns and moisture absorbing solution dyed yarns and reinforced with resilient monofilament fibers, for trapping and retaining soil and moisture within building entrance.
- B. Performance requirements:
1. Mat Material: Regenerated polyamide yarns.
 2. Mat Backing: Vinyl.
 3. Construction: Ribbed.
 4. Mat gauge: 0.354 inches.
 5. Roll width: 79 inches plus edging.
 6. Overall length: As indicated on drawings.
 7. Wear classification: 33.
 8. Electrostatic propensity: 0.00 Kv.
 9. Smoke Density: 450 or less, per ASTM E 662.
 10. Critical Radiant Flux: Class 1.
 11. Surface Flammability (Pill test): Pass, per ASTM D 2859.
 12. Recycled content: Not more than 14 percent total recycled content by product weight.
 13. Color: As indicated on the drawings.
- C. Accessories:
1. Adhesive: Two-part urethane, non-flammable.
 - a. Adhesives shall have a VOC content of 50 g/L or less.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates and floor conditions for compliance with requirements for location, sizes, and other conditions affecting installation of entrance floor mats.

- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Adhere entrance floor mat to substrate using adhesive materials approved in writing by floor mat manufacturer.
- B. Install entrance floor mat according to the written requirements of floor mat manufacturer.
 - 1. Install seam locations in accordance with Commissioner approved shop drawings.

3.4 PROTECTION AND CLEANING

- A. After installation of entrance floor mat is complete, cover mat with protective covering to ensure protection from damage and debris.
- B. Conduct initial cleaning after minimum period post installation is achieved in accordance with manufacturer's written recommendations
- C. Reapply protection of entrance floor mat until construction traffic has ended and Project is near Substantial Completion.

END OF SECTION 096816

SECTION 099113 - EXTERIOR PAINTING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Primers.
 - 2. Finish coatings.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Include preparation requirements and application instructions.
 - 2. Indicate VOC content.
- B. Samples for Initial Selection: For each type of topcoat product.
- C. Samples for Verification: For each type of paint system and each color and gloss of topcoat.
 - 1. Submit Samples on rigid backing, 8 inches square.
 - 2. Apply coats on Samples in steps to show each coat required for system.
 - 3. Label each coat of each Sample.
 - 4. Label each Sample for location and application area.
- D. Product Schedule: Use same designations indicated on Drawings and in the Exterior Painting Schedule to cross-reference paint systems specified in this Section. Include color designations.

1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

- B. Mockups: Apply mockups of each paint system indicated and each color and finish selected to verify selections made under Sample submittals, to demonstrate aesthetic effects, and to set quality standards for materials and execution.
 - 1. Commissioner will select one surface to represent surfaces and conditions for application of each paint system.
 - a. Vertical and Horizontal Surfaces: Provide samples of at least 100 sq. ft..
 - b. Other Items: Commissioner will designate items or areas required.
 - 2. Final approval of color selections will be based on mockups.
 - a. If preliminary color selections are not approved, apply additional mockups of additional colors selected by Commissioner at no added cost to City of New York.
 - 3. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Commissioner specifically approves such deviations in writing.
 - 4. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Store materials not in use in tightly covered containers in well-ventilated areas with ambient temperatures continuously maintained at not less than 45 deg F.
 - 1. Maintain containers in clean condition, free of foreign materials and residue.
 - 2. Remove rags and waste from storage areas daily.

1.7 FIELD CONDITIONS

- A. Apply paints only when temperature of surfaces to be painted and ambient air temperatures are between 50 and 95 deg F.
- B. Do not apply paints in snow, rain, fog, or mist; when relative humidity exceeds 85 percent; at temperatures less than 5 deg F above the dew point; or to damp or wet surfaces.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Source Limitations: Obtain each paint product from single source from single manufacturer.

2.2 PAINT PRODUCTS, GENERAL

- A. Material Compatibility:

1. Provide materials for use within each paint system that are compatible with one another and substrates indicated, under conditions of service and application as demonstrated by manufacturer based on testing and field experience.
2. For each coat in a paint system, provide products recommended in writing by topcoat manufacturer for use in paint system and on substrate indicated.

B. Colors: As indicated in a color schedule on the drawings.

2.3 PRIMERS

A. Exterior/Interior, two-component polyamide epoxy, high solids, high build, low gloss coating.

1. Basis-of-Design product: Subject to compliance with requirements, provide Sherwin Williams; Macropoxy 646 Fast Cure Epoxy Mastic, or a comparable product from one of the following:
 - a. Benjamin Moore & Co.
 - b. PPG Paints.
 - c. Or approved equal.

B. Exterior, Alkali-Resistant, Water-Based Primer and Sealer: Pigmented, water-based primer formulated for use on alkaline surfaces, such as exterior masonry:

1. Basis-of-Design product: Subject to compliance with requirements, provide Sherwin Williams; Loxon Concrete and Masonry Primer Sealer LX02W50, or a comparable product from one of the following:
 - a. Benjamin Moore & Co.
 - b. PPG Paints.
 - c. Or approved equal.

C. Exterior, Waterborne, Urethane-Modified Acrylic Primer: Formulated for coating hard, slick, glossy surfaces with minimal surface preparation.

1. Basis-of-Design product: Subject to compliance with requirements, provide Sherwin Williams; Extreme Bond Exterior-Interior Bonding Primer B51W01150, or a comparable product from one of the following:
 - a. Benjamin Moore & Co.
 - b. PPG Paints.
 - c. Or approved equal.

D. High-Alkali and Efflorescence-Resistant Acrylic Primer Sealer: for surfaces requiring high-performance primer.

1. Basis-of-Design product: Subject to compliance with requirements, provide Sherwin Williams; Loxon Primer-Sealer LX02W0050, or a comparable product from one of the following:
 - a. Benjamin Moore & Co.

- b. PPG Paints.
- c. Or approved equal.

2.4 FINISH COATINGS

A. Two-Component, Aliphatic, Acrylic Polyurethane Resin Coating, Semi-Gloss.

- 1. Basis-of-Design product: Subject to compliance with requirements, provide Sherwin Williams; Hi-Solids Polyurethane 250, or a comparable product from one of the following:
 - a. Benjamin Moore & Co.
 - b. PPG Paints.
 - c. Or approved equal.

B. Exterior Latex Paint, Low Sheen: Water-based, pigmented coating; formulated for alkali, mold, microbial, and water resistance and for use on exterior surfaces, such as concrete.

- 1. Basis-of-Design product: Subject to compliance with requirements, provide Sherwin Williams; A-100 Exterior Latex Satin, A82 Series or a comparable product from one of the following:
 - a. Benjamin Moore & Co.
 - b. PPG Paints.
 - c. Or approved equal.

C. Waterbased Alkyd Urethane Enamel: Formulated with a urethane modified alkyd resin system for high performance. Semi-Gloss.

- 1. Basis-of-Design product: Subject to compliance with requirements, provide Sherwin Williams; Pro Industrial 100 B53-1150/2150 Series, or a comparable product from one of the following:
 - a. Benjamin Moore & Co.
 - b. PPG Paints.
 - c. Or approved equal.

D. Exterior Latex: Flat.

- 1. Basis-of-Design product: Subject to compliance with requirements, provide Sherwin Williams; A06-Series, or a comparable product from one of the following
 - a. Benjamin Moore & Co.
 - b. PPG Paints.
 - c. Or approved equal.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates and conditions, with Applicator present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work.
- B. Maximum Moisture Content of Substrates: When measured with an electronic moisture meter as follows:
 - 1. Masonry (Clay and Concrete Masonry Units): 12 percent.
 - 2. Portland Cement Plaster: 12 percent.
- C. Portland Cement Plaster Substrates: Verify that plaster is fully cured.
- D. Verify suitability of substrates, including surface conditions and compatibility, with finishes and primers.
- E. Proceed with coating application only after unsatisfactory conditions have been corrected.
 - 1. Application of coating indicates acceptance of surfaces and conditions.

3.3 PREPARATION

- A. Comply with manufacturer's written instructions applicable to substrates and paint systems indicated.
- B. Remove hardware, covers, plates, and similar items already in place that are removable and are not to be painted. If removal is impractical or impossible because of size or weight of item, provide surface-applied protection before surface preparation and painting.
 - 1. After completing painting operations, use workers skilled in the trades involved to reinstall items that were removed. Remove surface-applied protection.
- C. Clean substrates of substances that could impair bond of paints, including dust, dirt, oil, grease, and incompatible paints and encapsulants.
 - 1. Remove incompatible primers and reprime substrate with compatible primers or apply tie coat as required to produce paint systems specified in this Section.
- D. Masonry Substrates: Remove efflorescence and chalk. Do not paint surfaces if moisture content or alkalinity of surfaces or mortar joints exceeds that permitted in manufacturer's written instructions.
- E. Galvanized-Metal Substrates: Remove grease and oil residue from galvanized sheet metal by mechanical methods to produce clean, lightly etched surfaces that promote adhesion of subsequently applied paints.
- F. Aluminum Substrates: Remove loose surface oxidation.

3.4 INSTALLATION

- A. Apply paints in accordance with manufacturer's written instructions.

1. Use applicators and techniques suited for paint and substrate indicated.
 2. Paint surfaces behind movable items same as similar exposed surfaces. Before final installation, paint surfaces behind permanently fixed items with prime coat only.
 3. Paint both sides and edges of exterior doors and entire exposed surface of exterior door frames.
 4. Paint entire exposed surface of window frames and sashes.
 5. Do not paint over labels of independent testing agencies or equipment name, identification, performance rating, or nomenclature plates.
 6. Primers specified in the Exterior Painting Schedule may be omitted on items that are factory primed or factory finished if compatible with intermediate and topcoat coatings and acceptable to intermediate and topcoat paint manufacturers.
- B. Tint undercoats same color as topcoat, but tint each undercoat a lighter shade to facilitate identification of each coat if multiple coats of same material are to be applied. Provide sufficient difference in shade of undercoats to distinguish each separate coat.
- C. If undercoats or other conditions show through topcoat, apply additional coats until cured film has a uniform paint finish, color, and appearance.
- D. Apply paints to produce surface films without cloudiness, spotting, holidays, laps, brush marks, roller tracking, runs, sags, ropiness, or other surface imperfections. Cut in sharp lines and color breaks.

3.5 FIELD QUALITY CONTROL

- A. Dry Film Thickness Testing: City of New York may require the Contractor to engage the services of a qualified testing and inspecting agency to inspect and test paint for dry film thickness.
1. Contractor must touch up and restore painted surfaces damaged by testing.
 2. If test results show that dry film thickness of applied paint does not comply with paint manufacturer's written instructions, Contractor must apply additional coats as needed to provide dry film thickness that complies with paint manufacturer's written instructions.

3.6 CLEANING AND PROTECTION

- A. At end of each workday, remove rubbish, empty cans, rags, and other discarded materials from Project site.
1. Do not clean equipment with free-draining water and prevent solvents, thinners, cleaners, and other contaminants from entering into waterways, sanitary and storm drain systems, and ground.
 2. Dispose of contaminants in accordance with requirements in DDC General Conditions.
 3. Allow empty paint cans to dry before disposal.
 4. Collect waste paint by type and deliver to recycling or collection facility.
- B. After completing paint application, clean spattered surfaces. Remove spattered paints by washing, scraping, or other methods. Do not scratch or damage adjacent finished surfaces.
- C. At completion of construction activities of other trades, touch up and restore damaged or defaced painted surfaces.

3.7 EXTERIOR PAINTING SCHEDULE

- A. Galvanized-Metal Substrates, including exposed steel roof structural steel framing:
 - 1. Pigmented Polyurethane over Epoxy Primer System:
 - a. Prime Coat: Two-component polyamide epoxy, high solids, high build, low gloss coating.
 - b. Intermediate Coat: Matching topcoat.
 - c. Topcoat: Two-component, aliphatic, acrylic polyurethane resin coating, semi-gloss.
- B. Exterior Brick Substrates:
 - 1. Latex System, Satin Finish.
 - a. Prime Coat: Exterior, Alkali-Resistant, Water-Based Primer and Sealer.
 - b. Intermediate Coat: Matching topcoat.
 - c. Topcoat: Exterior Latex Paint, Low Sheen.
- C. Aluminum Substrates (Exterior Aluminum Panels):
 - 1. Water-Based Alkyd Urethane Enamel System:
 - a. Prime Coat: Exterior, Waterborne, Urethane-modified Acrylic Primer.
 - b. Intermediate Coat: Matching topcoat.
 - c. Topcoat: Water-Based Alkyd Urethane Enamel.
- D. Portland Cement Plaster Substrates (Exterior entry soffit):
 - 1. Latex System:
 - a. Prime Coat: High-Alkali and Efflorescence-Resistant Acrylic Primer Sealer.
 - b. Intermediate Coat: Matching topcoat.
 - c. Topcoat: Exterior latex flat paint.

END OF SECTION 099113

SECTION 099124 - INTERIOR PAINTING (MPI STANDARDS)

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section includes surface preparation and the application of paint systems on the following interior substrates:
1. Concrete masonry units (CMUs).
 2. Steel and iron.
 3. Wood.
 4. Gypsum board.
- B. Related Requirements:
1. Section 051200 "Structural Steel Framing" for shop priming structural steel.
 2. Section 096723 "Resinous Flooring" for resinous flooring at concrete.

1.3 DEFINITIONS

- A. MPI Gloss Level 1: Not more than five units at 60 degrees and 10 units at 85 degrees, according to ASTM D523.
- B. MPI Gloss Level 2: Not more than 10 units at 60 degrees and 10 to 35 units at 85 degrees, according to ASTM D523.
- C. MPI Gloss Level 3: 10 to 25 units at 60 degrees and 10 to 35 units at 85 degrees, according to ASTM D523.
- D. MPI Gloss Level 4: 20 to 35 units at 60 degrees and not less than 35 units at 85 degrees, according to ASTM D523.
- E. MPI Gloss Level 5: 35 to 70 units at 60 degrees, according to ASTM D523.
- F. MPI Gloss Level 6: 70 to 85 units at 60 degrees, according to ASTM D523.
- G. MPI Gloss Level 7: More than 85 units at 60 degrees, according to ASTM D523.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product. Include preparation requirements and application instructions.
1. Include printout of current "MPI Approved Products List" for each product category specified, with the proposed product highlighted.
 2. Indicate VOC content.
- B. Sustainable Design Submittals:
1. Product Data: For paints and coatings, indicating VOC content.
- C. Samples for Initial Selection: For each type of topcoat product.
- D. Samples for Verification: For each type of paint system and in each color and gloss of topcoat.
1. Submit Samples on rigid backing, 8 inches square.
 2. Apply coats on Samples in steps to show each coat required for system.
 3. Label each coat of each Sample.
 4. Label each Sample for location and application area.
- E. Product List: Use same designations indicated on Drawings and in the Interior Painting Schedule to cross-reference paint systems specified in this Section. Include color designations.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Mockups: Apply mockups of each paint system indicated and each color and finish selected to verify preliminary selections made under Sample submittals and to demonstrate aesthetic effects and set quality standards for materials and execution.
1. Commissioner will select one surface to represent surfaces and conditions for application of each paint system.
 - a. Vertical and Horizontal Surfaces: Provide samples of at least 100 sq. ft..
 - b. Other Items: Commissioner will designate items or areas required.
 2. Final approval of color selections will be based on mockups.
 - a. If preliminary color selections are not approved, apply additional mockups of additional colors selected by Commissioner at no added cost to City of New York.

3. Approval of mockups does not constitute approval of deviations from the Contract Documents contained in mockups unless Commissioner specifically approves such deviations in writing.
4. Subject to compliance with requirements, approved mockups may become part of the completed Work if undisturbed at time of Substantial Completion.

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Store materials not in use in tightly covered containers in well-ventilated areas with ambient temperatures continuously maintained at not less than 45 deg F.
 1. Maintain containers in clean condition, free of foreign materials and residue.
 2. Remove rags and waste from storage areas daily.

1.8 FIELD CONDITIONS

- A. Apply paints only when temperature of surfaces to be painted and ambient air temperatures are between 50 and 95 deg F.
- B. Do not apply paints when relative humidity exceeds 85 percent; at temperatures of less than 5 deg F above the dew point; or to damp or wet surfaces.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 1. Benjamin Moore & Co.
 2. PPG Paints.
 3. Sherwin-Williams Company (The).
 4. Or approved equal.

2.2 PAINT, GENERAL

- A. MPI Standards: Products shall comply with MPI standards indicated and shall be listed in its "MPI Approved Products List."
- B. Material Compatibility:
 1. Materials for use within each paint system shall be compatible with one another and substrates indicated, under conditions of service and application as demonstrated by manufacturer, based on testing and field experience.
 2. For each coat in a paint system, products shall be recommended in writing by topcoat manufacturers for use in paint system and on substrate indicated.

- C. VOC Content: For field applications that are inside the weatherproofing system, paints and coatings shall comply with the following VOC content limits:
1. Flat Paints and Coatings: 50 g/L.
 2. Nonflat Paints and Coatings: 150 g/L.
 3. Dry-Fog Coatings: 400 g/L.
 4. Primers, Sealers, and Undercoaters: 200 g/L.
 5. Anticorrosive and Antirust Paints Applied to Ferrous Metals: 250 g/L.
 6. Zinc-Rich Industrial Maintenance Primers: 340 g/L.
 7. Pretreatment Wash Primers: 420 g/L.
 8. Shellacs, Clear: 730 g/L.
 9. Shellacs, Pigmented: 550 g/L.
- D. Colors: As indicated in schedule on the drawings.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates and conditions, with Applicator present, for compliance with requirements for maximum moisture content and other conditions affecting performance of the Work.
- B. Maximum Moisture Content of Substrates: When measured with an electronic moisture meter as follows:
1. Masonry (Clay and CMUs): 12 percent.
 2. Wood: 15 percent.
 3. Gypsum Board: 12 percent.
- C. Gypsum Board Substrates: Verify that finishing compound is sanded smooth.
- D. Verify suitability of substrates, including surface conditions and compatibility, with existing finishes and primers.
- E. Proceed with coating application only after unsatisfactory conditions have been corrected.
1. Application of coating indicates acceptance of surfaces and conditions.

3.3 PREPARATION

- A. Comply with manufacturer's written instructions and recommendations in "MPI Architectural Painting Specification Manual" applicable to substrates and paint systems indicated.

- B. Remove hardware, covers, plates, and similar items already in place that are removable and are not to be painted. If removal is impractical or impossible because of size or weight of item, provide surface-applied protection before surface preparation and painting.
 - 1. After completing painting operations, use workers skilled in the trades involved to reinstall items that were removed. Remove surface-applied protection if any.
- C. Clean substrates of substances that could impair bond of paints, including dust, dirt, oil, grease, and incompatible paints and encapsulants.
 - 1. Remove incompatible primers and reprime substrate with compatible primers or apply tie coat as required to produce paint systems indicated.
- D. Concrete Substrates: Remove release agents, curing compounds, efflorescence, and chalk. Do not paint surfaces if moisture content or alkalinity of surfaces to be painted exceeds that permitted in manufacturer's written instructions.
- E. Steel Substrates: Remove rust, loose mill scale, and shop primer, if any. Clean using methods recommended in writing by paint manufacturer but not less than the following:
 - 1. SSPC-SP 11.
- F. Shop-Primed Steel Substrates: Clean field welds, bolted connections, and areas where shop paint is abraded. Paint exposed areas with the same material as used for shop priming to comply with SSPC-PA 1 for touching up shop-primed surfaces.
- G. Wood Substrates:
 - 1. Scrape and clean knots, and apply coat of knot sealer before applying primer.
 - 2. Sand surfaces that will be exposed to view, and dust off.
 - 3. Prime edges, ends, faces, undersides, and backsides of wood.
 - 4. After priming, fill holes and imperfections in the finish surfaces with putty or plastic wood filler. Sand smooth when dried.

3.4 INSTALLATION

- A. Apply paints according to manufacturer's written instructions and to recommendations in "MPI Manual."
 - 1. Use applicators and techniques suited for paint and substrate indicated.
 - 2. Paint surfaces behind movable equipment and furniture same as similar exposed surfaces. Before final installation, paint surfaces behind permanently fixed equipment or furniture with prime coat only.
 - 3. Paint front and backsides of access panels, removable or hinged covers, and similar hinged items to match exposed surfaces.
 - 4. Do not paint over labels of independent testing agencies or equipment name, identification, performance rating, or nomenclature plates.
 - 5. Primers specified in painting schedules may be omitted on items that are factory primed or factory finished if acceptable to topcoat manufacturers.

- B. Tint each undercoat a lighter shade to facilitate identification of each coat if multiple coats of same material are to be applied. Tint undercoats to match color of topcoat, but provide sufficient difference in shade of undercoats to distinguish each separate coat.
- C. If undercoats or other conditions show through topcoat, apply additional coats until cured film has a uniform paint finish, color, and appearance.
- D. Apply paints to produce surface films without cloudiness, spotting, holidays, laps, brush marks, roller tracking, runs, sags, ropiness, or other surface imperfections. Cut in sharp lines and color breaks.
- E. Painting Fire-Suppression, Plumbing, HVAC, Electrical, Communication, and Electronic Safety and Security Work:
 - 1. Paint the following work where exposed in occupied spaces:
 - a. Equipment, including panelboards.
 - 1) Paint exterior of electrical panels over panel's factory paint with color to match adjacent surface.
 - b. Pipe hangers and supports.
 - 1) Paint with color to match adjacent surface.
 - c. Duct, equipment, and pipe insulation having cotton or canvas insulation covering or other paintable jacket material.
 - 1) Paint with color to match adjacent surface.
 - 2. Paint portions of internal surfaces of metal ducts, without liner behind air inlets and outlets that are visible from occupied spaces, to reduce reflectivity of galvanized sheetmetal.

3.5 FIELD QUALITY CONTROL

- A. Dry-Film Thickness Testing: City of New York may direct Contractor to engage the services of a qualified testing and inspecting agency to inspect and test paint for dry-film thickness.
 - 1. Contractor must touch up and restore painted surfaces damaged by testing.
 - 2. If test results show that dry-film thickness of applied paint does not comply with paint manufacturer's written recommendations, Contractor must apply additional coats as needed to provide dry-film thickness that complies with paint manufacturer's written recommendations.

3.6 CLEANING AND PROTECTION

- A. At end of each workday, remove rubbish, empty cans, rags, and other discarded materials from Project site.
- B. After completing paint application, clean spattered surfaces. Remove spattered paints by washing, scraping, or other methods. Do not scratch or damage adjacent finished surfaces.

- C. Protect work of other trades against damage from paint application. Correct damage to work of other trades by cleaning, repairing, replacing, and refinishing, as approved by Commissioner, and leave in an undamaged condition.
- D. At completion of construction activities of other trades, touch up and restore damaged or defaced painted surfaces.

3.7 INTERIOR PAINTING SCHEDULE

A. CMU Substrates (Existing and Infill CMU wall areas where indicated for new paint):

- 1. High-Performance Architectural Latex System, MPI INT 4.2D:
 - a. Block Filler: Latex, interior/exterior, MPI #4.
 - b. Prime Coat: Primer, alkali resistant, water based[, MPI #3].
 - 1) <Insert manufacturer's name; product name or designation>.
 - c. Intermediate Coat: Latex, interior, high performance architectural, matching topcoat.
 - d. Topcoat: Latex, interior, high performance architectural, semigloss (MPI Gloss Level 5), MPI #141.

B. Steel Substrates including Hollow Metal Doors and Frames:

- 1. High-Performance Architectural Latex System, MPI INT 5.1R:
 - a. Prime Coat: Primer, rust-inhibitive, water-based, MPI #107.
 - b. Intermediate Coat: Latex, interior, high performance architectural, matching topcoat.
 - c. Topcoat
 - 1) At interior sides of hollow metal doors and frames: Latex, interior, high performance architectural, semigloss (MPI Gloss Level 5), MPI #141.
 - 2) At exterior sides of hollow metal doors and frames: Light industrial coating, exterior, water based, semigloss (MPI Gloss Level 5), MPI #163.

C. Wood Substrates: Wood Doors and Frames.

- 1. High-Performance Architectural Latex System, MPI INT 6.3A:
 - a. Prime Coat: Primer, latex, for interior wood, MPI #39.
 - b. Intermediate Coat: Latex, interior, high performance architectural, matching topcoat.
 - c. Topcoat: Latex, interior, high performance architectural, semigloss (MPI Gloss Level 5), MPI #141.

D. Gypsum Board Substrates:

1. High-Performance Architectural Latex System, MPI INT 9.2B:
 - a. Prime Coat: Primer sealer, latex, interior, MPI #50.
 - b. Intermediate Coat: Latex, interior, high performance architectural, matching topcoat.
 - c. Topcoat:
 - 1) At Ceilings: Latex, interior, institutional low odor/VOC, flat (MPI Gloss Level 1), MPI #143.
 - 2) At Walls: Latex, interior, high performance architectural (MPI Gloss Level 3), MPI #139.

END OF SECTION 099124



SECTION 10 14 00 - SIGNAGE

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract (City of New York Standard Construction Contract).

1.2 SUMMARY

- A. Work of this Section includes all labor, materials, equipment, and services necessary to complete the signage as shown on the drawings and/or specified herein, including the following:
 - 1. Exterior panel signs for code signage
 - 2. Exterior Dimensional Address Numbers, pin mounted
 - 3. Surface applied vinyl lettering and graphics
 - 4. Interior panel signs for code signage
 - 5. Surface-applied dimensional lettering
 - 6. Hanging dimensional lettering

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 01 33 00 "Submittal Procedures".

1.4 SUBMITTALS

- A. Product Data: Submit manufacturer's technical data and installation instructions for each type of sign required
- B. Samples: Submit samples of each sign showing finishes, color, surface textures, and qualities of manufacture and design of each sign component, including graphics
- C. Shop Drawings: Submit shop drawings for fabrication and erection of signage. Include plans, elevations, and large scale details of sign wording and lettering layout. Show mounting and accessory items. Furnish location template drawings for items supported or anchored to permanent construction

1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 01 40 00 "Quality Requirements".



- B. For installation of the signage, use only personnel who are thoroughly familiar with the manufacturer's recommended methods of installation and who are completely trained in the required skills.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Fire egress, floor, elevator and other signs required by New York City Building Code to be made of material as selected by the Commissioner.
- B. All other signs to be made of material matching specification, size and finish as detailed in drawings.

2.2 SIGN STANDARDS

A. Typography

1. Type Style: Copy shall be a true, clean, accurate reproduction of typeface selected by the Commissioner. Upper and lower case or all caps and bold face as indicated in the drawings. Letter spacing to be normal and interline spacing shall be set by manufacturer.
2. Arrows, Symbols and Logo Art: To be provided in style, sizes, colors and spacing as shown in drawings.
3. Braille: Grade 2.
4. Translations: Grade 2 Braille copy and any second language text, as noted in the drawings, is responsibility of the sign manufacturer.
5. All letter forms shall be aligned to maintain a base line parallel to the sign format.

B. Project Colors and Finishes

1. Typography: Must conform with typeface shown in the drawings. Alternate type will not be accepted.
2. Colors: Must conform with colors shown in the drawings.
3. Finishes Must confirm with finishes detailed in the drawings.

2.3 SIGNS, GENERAL

A. Provide products produced by one of the following signage vendors:

1. American Sign Crafters - 171 Freeman Ave, Islip, NY 11751. Tel (631) 273-4800 x 130
2. MS Signs. - 280 N Midland Ave bldg c-1, Saddle Brook, NJ 07663. Tel (201) 403-6929
3. Precision Signs - 243 Dixon Ave. Amityville, NY 11701. Tel (631) 841-7502
4. The Signworks - 150 Clearbrook Rd., Elmsford, NY 10523. Tel (914) 592-0700 x 29
5. Or approved equal.



PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.
- B. Verify all dimensions and conditions shown on the Bid drawings. Written dimensions shall take precedence over scaled dimensions.
- C. The Commissioner is to be notified of any discrepancies in the drawings and/or graphic schedule, in field dimensions or conditions, and/or changes required in construction details.
- D. Examine the areas and conditions where signage is to be installed and correct any conditions detrimental to the proper and timely completion of the work. Do not proceed with the work until unsatisfactory conditions are corrected to permit proper installation of the work.

3.2 INSTALLATION

- A. Install units and components at the locations directed by the Commissioner, securely mounted with concealed theft-resistant fasteners. Attach to substrates in accordance with the manufacturer's instructions.
- B. Install level, plumb, and at the proper height. Cooperate with other trades for installation of sign units to finish surfaces. Repair or replace damaged units as directed by the Commissioner.

3.3 PROTECTION

- A. Protect the work and adjacent work and materials from damage during progress of the work until completion. Finished work shall be wrapped with paper, polyethylene film, or strippable waterproof tape for shipment and storage and protection for damage during installation.

END OF SECTION 10 14 00

SECTION 102239 - FOLDING PANEL PARTITIONS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Manually operated, acoustical panel partitions.

1.3 DEFINITIONS

- A. STC: Sound Transmission Class.

1.4 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.6 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Sustainable Design Submittals:
 - 1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
 - 2. Chain-of-Custody Qualification Data: For manufacturer and vendor.
 - 3. Product Data: For composite wood products, indicating that product contains no urea formaldehyde.
- C. Shop Drawings: For operable panel partitions.
 - 1. Include plans, elevations, sections, attachment details.
 - 2. Indicate stacking and operating clearances. Indicate location and installation requirements for hardware and track, blocking, and direction of travel.

3. Include diagrams for power, signal, and control wiring.
- D. Samples for Initial Selection: For each type of exposed material, finish, covering, or facing.
1. Include Samples of accessories involving color selection.
- E. Samples for Verification: For each type of exposed material, finish, covering, or facing, prepared on Samples of size indicated below:
1. Panel Facing Material: Manufacturer's standard-size unit, not less than 3 inches square.
 2. Panel Edge Material: Not less than 3 inches long.
 3. Chair Rail: Manufacturer's standard-size unit, 6 inches long.
 4. Hardware: One of each exposed door-operating device.
- F. Coordination Drawings: Reflected ceiling plans, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
1. Partition track, track supports and bracing, switches, turning space, and storage layout.
 2. Suspended ceiling components.
 3. Structural members to which suspension systems will be attached.
- G. Setting Drawings: For embedded items and cutouts required in other work, including support-beam, mounting-hole template.

1.7 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer.
- B. Product Test Reports: For each operable panel partition, for tests performed by a qualified testing agency.
- C. Sample Warranty: For manufacturer's special warranty.

1.8 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For operable panel partitions to include in maintenance manuals.
 1. In addition to closeout requirements in DDC General Conditions, include the following:
 - a. Panel finish facings and finishes for exposed trim and accessories. Include precautions for cleaning materials and methods that could be detrimental to finishes and performance.
 - b. Seals, hardware, track, track switches, carriers, and other operating components.

1.9 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Manufacturer Qualifications: A qualified manufacturer that is certified for chain of custody by an FSC-accredited certification body.

- C. Vendor Qualifications: A vendor that is certified for chain of custody by an FSC-accredited certification body.
- D. Installer Qualifications: An entity that employs installers and supervisors who are trained and approved by manufacturer.

1.10 DELIVERY, STORAGE, AND HANDLING

- A. Protectively package and sequence panels in order for installation. Clearly mark packages and panels with numbering system used on Shop Drawings. Do not use permanent markings on panels.

1.11 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace components of operable panel partitions that fail in materials or workmanship within specified warranty period.
 - 1. Failures include, but are not limited to, the following:
 - a. Faulty operation of operable panel partitions.
 - b. Deterioration of metals, metal finishes, and other materials beyond normal use.
 - 2. Warranty Period for Partitions: Three (3) years from date of Substantial Completion.
 - 3. Warranty Period for Suspension system: Five (5) years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Engineering Services: Engage a qualified professional engineer licensed in the State of New York, as defined in DDC General Conditions, to design bracing of tracks to structure above.
- B. Acoustical Performance: Provide operable panel partitions tested by a qualified testing agency for the following acoustical properties according to test methods indicated:
 - 1. Sound-Transmission Requirements: Operable panel partition assembly tested for laboratory sound-transmission loss performance according to ASTM E 90, determined by ASTM E 413, and rated for not less than the STC indicated.

2.2 OPERABLE ACOUSTICAL PANELS

- A. Operable Acoustical Panels: Partition system, including panels, seals, finish facing, suspension system, operators, and accessories.
 - 1. Basis-of-Design Product: Subject to compliance with requirements, provide Modernfold; "Acousti-Seal Encore" or comparable product by one of the following:

- a. Hufcor, Inc.
 - b. KWIK-WALL Company.
 - c. Or approved equal.
- B. Panel Operation: Manually operated, individual panels.
- C. Panel Construction: As required to support panel from suspension components and with reinforcement for hardware attachment. Fabricate panels with tight hairline joints and concealed fasteners. Fabricate panels so finished in-place partition is rigid; level; plumb; aligned, with tight joints and uniform appearance; and free of bow, warp, twist, deformation, and surface and finish irregularities.
- D. Dimensions: Fabricate operable acoustical panel partitions to form an assembled system of dimensions indicated and verified by field measurements.
1. Panel Width: As indicated.
- E. STC: Not less than 56.
- F. Panel Weight: 11.9 lbs/sq.ft. maximum.
- G. Panel Thickness: dimension of 4-1/4 inches.
- H. Panel Materials:
1. Adhesives: Do not use adhesives that contain urea formaldehyde.
 2. Composite Wood Products: Products shall be made without urea formaldehyde.
 3. Steel Frame: Steel sheet, 0.0598-inch nominal minimum thickness for uncoated steel.
 4. Steel Face/Liner Sheets: Tension-leveled steel sheet, 0.0598-inch minimum nominal thickness for uncoated steel.
- I. Panel Closure: Manufacturer's standard unless otherwise indicated.
1. Initial Closure: Resilient, bulb-shaped acoustical seal.
- J. Hardware: Manufacturer's standard as required to operate operable panel partition and accessories; with decorative, protective finish.
1. Hinges: Manufacturer's standard.
- K. Finish Facing: Vinyl-coated fabric wall covering.

2.3 SEALS

- A. Description: Seals that produce operable panel partitions complying with performance requirements and the following:
1. Manufacturer's standard seals unless otherwise indicated.
 2. Seals made from materials and in profiles that minimize sound leakage.

3. Seals fitting tight at contact surfaces and sealing continuously between adjacent panels and between operable panel partition perimeter and adjacent surfaces, when operable panel partition is extended and closed.
- B. Vertical Seals: Deep-nesting, interlocking steel astragals mounted on each edge of panel, with continuous, resilient acoustical seal.
 - C. Horizontal Top Seals: Continuous-contact, resilient seal exerting uniform constant pressure on track or resilient, mechanical, retractable, constant-force-contact seal exerting uniform constant pressure on track when extended.
 - D. Horizontal Bottom Seals:
 1. Manufacturer's standard continuous-contact seal exerting uniform constant pressure on floor.
 2. Resilient, mechanical, retractable, constant-force-contact seal exerting uniform constant pressure on floor when extended, ensuring horizontal and vertical sealing and resisting panel movement.
 3. Mechanically Operated for Acoustical Panels: Extension and retraction of bottom seal by operating handle or built-in operating mechanism, with operating range not less than 2 inches between retracted seal and floor finish.

2.4 PANEL FINISH FACINGS

- A. Description: Finish facings for panels that comply with indicated fire-test-response characteristics and that are factory applied to operable panel partitions with appropriate backing, using mildew-resistant nonstaining adhesive as recommended by facing manufacturer's written instructions.
 1. Apply one-piece, seamless facings free of air bubbles, wrinkles, blisters, and other defects, with edges tightly butted, and with invisible seams complying with Shop Drawings for location, and with no gaps or overlaps. Horizontal seams are not permitted. Tightly secure and conceal raw and selvage edges of facing for finished appearance.
- B. Vinyl-Coated Fabric Wall Covering (WP1, At Pocket Doors): Operable acoustical panel manufacturer's standard, mildew-resistant, washable, reinforced vinyl-coated fabric wall covering; complying with WA-101, Type II-Medium Duty; Class A.
 1. Total Weight: 21 ounces per linear yard.
 2. Color/Pattern: As selected by Commissioner from manufacturer's full range.
- C. Carpet Wall Covering (WP2, At Operable Acoustical Panels): Operable acoustical panel manufacturer's standard nonwoven, needle-punched carpet with fibers fused to backing, from same dye lot, treated to resist stains.
 1. Color/Pattern: As selected by Commissioner from manufacturer's full range.
- D. Trimless Edges: Fabricate exposed panel edges so finish facing wraps uninterrupted around panel, covering edge and resulting in an installed partition with facing visible on vertical panel edges, without trim, for minimal sightlines at panel-to-panel joints.

2.5 SUSPENSION SYSTEMS

- A. Tracks: Steel or aluminum mounted directly to overhead structural support, with adjustable steel hanger rods for overhead support as indicated on the drawings, designed for operation, size, and weight of operable panel partition indicated. Size track to support partition operation and storage without damage to suspension system, operable panel partitions, or adjacent construction. Limit track deflection to no more than 0.10 inch between bracket supports. Provide a continuous system of track sections and accessories to accommodate configuration and layout indicated for partition operation and storage.
 - 1. Head Closure Trim: As required for acoustical performance; with factory-applied, decorative, protective finish.
- B. Carriers: Trolley system as required for configuration type, size, and weight of partition and for easy operation; with ball-bearing wheels.

2.6 ACCESSORIES

- A. Storage Pocket Door: Full height at end of partition runs to conceal stacked partition; of same construction, thickness, and acoustical qualities as panels, with vinyl-coated facing; complete with operating hardware and acoustical seals at soffit, floor, and jambs. Hinges in finish to match other exposed hardware.
 - 1. Manufacturer's standard method to secure storage pocket door in closed position.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine flooring, floor levelness, structural support, and opening, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of operable panel partitions.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Install operable panel partitions and accessories after other finishing operations, including painting, have been completed in area of partition installation.
- B. Install panels in numbered sequence indicated on Shop Drawings.
- C. Broken, cracked, chipped, deformed, or unmatched panels are not acceptable.

- D. Broken, cracked, deformed, or unmatched gasketing or gasketing with gaps at butted ends is not acceptable.
- E. Light-Leakage Test: Illuminate one side of partition installation and observe vertical joints and top and bottom seals for voids. Adjust partitions for alignment and full closure of vertical joints and full closure along top and bottom seals.

3.4 ADJUSTING

- A. Adjust operable panel partitions, hardware, and other moving parts to function smoothly, and lubricate as recommended by manufacturer.
- B. Adjust pass doors to operate smoothly and easily, without binding or warping.
- C. Verify that safety devices are properly functioning.

3.5 DEMONSTRATION

- A. Engage a factory-authorized service representative to instruct City of New York's personnel to adjust and operate operable panel partitions.

END OF SECTION 102239

SECTION 102800 - TOILET, BATH, AND LAUNDRY ACCESSORIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Public-use washroom accessories.
 - 2. Hand dryers.
 - 3. Childcare accessories.

1.3 COORDINATION

- A. Coordinate accessory locations with other work to prevent interference with clearances required for access by people with disabilities, and for proper installation, adjustment, operation, cleaning, and servicing of accessories.
- B. Deliver inserts and anchoring devices set into concrete or masonry as required to prevent delaying the Work.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Include construction details, material descriptions, dimensions of components and profiles, and finishes.
 - 2. Include anchoring and mounting requirements, including requirements for cutouts in other work and substrate preparation.
 - 3. Include electrical characteristics.
- B. Samples: For each exposed product and for each finish specified, full size.
 - 1. Approved full-size Samples will be returned and may be used in the Work.

- C. Product Schedule: Indicating types, quantities, sizes, and installation locations by room of each accessory required.
 - 1. Identify locations using room designations indicated.
 - 2. Identify accessories using designations indicated.

1.6 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For accessories to include in maintenance manuals.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.8 WARRANTY

- A. Manufacturer's Special Warranty for Mirrors: Manufacturer agrees to repair or replace mirrors that fail in materials or workmanship within specified warranty period.
 - 1. Failures include, but are not limited to, visible silver spoilage defects.
 - 2. Warranty Period: 15 years from date of Substantial Completion.
- B. Manufacturer's Special Warranty for Hand Dryers: Manufacturer agrees to repair or replace hand dryers that fail in materials or workmanship within specified warranty periods.
 - 1. Warranty Period: 10 years from date of Substantial Completion.
 - 2. Warranty Period for Dryer Motors and Controls: 5 years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Structural Performance: Design accessories and fasteners to comply with the following requirements:
 - 1. Grab Bars: Installed units to resist 250 lbf concentrated load applied in any direction and at any point.

2.2 PUBLIC-USE WASHROOM ACCESSORIES

- A. Toilet Tissue (Jumbo-Roll) Dispenser (TA04):
 - 1. Sole-Source Product: Provide Kimberly-Clark; Professional 09551.
 - a. No substitutions permitted.

2. Description: Two-Jumbo roll unit with hinged front cover.
3. Mounting: Surface mounted.
4. Capacity: Two cored toilet paper rolls; Two rolls (two full 9.38 inch diameter), or one (13 inch diameter) roll and a stub roll.
5. Material and Finish: Plastic.
6. Lockset: Push button and common key lock.
7. Tear-off bars: On sides and front of dispenser opening.
8. Dispenser dimensions: 13 1/8 inches high x 20.5 inches wide x 5 3/4 inches deep.
9. Color: Black.

B. Paper Towel (Roll) Dispenser (TA12):

1. Sole-Source Product: Provide Kimberly-Clark Professional Sanitouch Hard Roll Towel Dispenser H-09990.
 - a. No substitutions permitted.
2. Description: Hands-free mechanism permitting controlled delivery of paper rolls in preset lengths.
3. Mounting: Surface mounted.
4. Minimum Capacity: Dispenses one 8 inch wide, 800 foot long roll. Compatible with rolls with 1 3/4 inch core and larger.
5. Operation: Choice of key-activated spring lock, or push button to be selected by Commissioner.
6. Material and Finish: ABS plastic.
7. Dispenser dimensions: 16 inches high x 13 inches wide x 10 inches deep.
8. Color: Selected by Commissioner from manufacturer's full range.
9. Lockset: Manufacturer's standard key.

C. Waste Receptacle (TA05):

1. Sole-Source Product: Subject to compliance with requirements, provide Bobrick; B-279.
 - a. No substitutions permitted.
2. Mounting: Surface mounted.
3. Minimum Capacity: 6.4-gal.
4. Material and Finish: Stainless steel, ASTM A480/A480M No. 4 finish (satin).
5. Liner: Reusable vinyl liner.

D. Soap Dispenser (TA03):

1. Basis-of-Design Product: Provide Scott; Model KCC 92145, or comparable product by one of the following:
 - a. Brey-Krause manufacturing.
 - b. Kimberley Clark.
 - c. Or approved equal.
2. Description: Designed for manual operation and dispensing soap in liquid form.
3. Mounting: Surface, wall mounted.

4. Capacity: 1,000 mL.
5. Materials: Plastic.
6. Color: Black.
7. Size: 8.38 inches High x 5.25 inches deep x 5 inches wide.

E. Grab Bars (TA07, TA08, TA09, TA10):

1. Sole-Source Product: Provide Bobrick; B-5806 series.
 - a. No substitutions permitted.
2. Mounting: Flanges with concealed fasteners.
3. Material: Stainless steel, 0.05 inch thick.
 - a. Finish: Smooth, ASTM A480/A480M No. 4 finish (satin) on ends and slip-resistant texture in grip area.
4. Outside Diameter: 1-1/4 inches.
5. Configuration and Length: Straight configuration in the following lengths:
 - a. TA07: 12 inches.
 - b. TA08: 24 inches.
 - c. TA09: 42 inches.
 - d. TA10: 18 inches.

F. Sanitary-Napkin and Tampon Vendor (TA13):

1. Sole-Source Product: Provide Bobrick; B-47069 series.
 - a. No substitutions permitted.
2. Mounting: Surface mounted.
3. Capacity: 20 (twenty) sanitary napkins, and 30 (thirty) tampons.
4. Operation: factory pre-set for free, 25 cent, or 50 cent operation, with wrong coin bypass mechanism and drop into product tray.
 - a. Operation mode to be selected by Commissioner.
5. Exposed Material and Finish: Stainless Steel.
6. Product tray: Impact-resistant polycarbonate/acrylonitriline butadene (PC-ABS).
7. Lockset: Tumbler type with separate lock and key for coin box.
8. Vendor dimensions: 28.5 inches high x 14.5 inches wide x 7.125 inches deep for main body and an additional 1.41 inches at pushbutton.

G. Sanitary-Napkin Receptacle (TA14):

1. Sole-Source Product: Provide Grainger; Tough Guy 1ECK9.
 - a. No substitutions permitted.

2. Free-standing or wall mounted with a hinged disposal-opening top cover.
3. Dimensions: 11 inches high x 9 inches wide x 4.25 inches deep.
4. Material and Finish: Stainless Steel.
5. Mounting hardware and key.

H. Seat-Cover Dispenser (TA15):

1. Sole-Source Product: Provide Kimberly Clark, Seat Cover Dispenser 09506.
 - a. No substitutions permitted.
2. Mounting: Surface mounted.
3. Design: Fully enclosed, with center dispensing lever.
4. Minimum Capacity: 250 seat covers.
5. Exposed Material and Finish: Plastic.
6. Lockset: Tumbler type.
7. Dispenser dimensions: 13.25 inches high x 17.5 inches wide x 2.25 inches deep.

I. Mirror Unit (TA02):

1. Sole-Source Product: Provide Bobrick; B-165 2436.
 - a. No substitutions permitted.
2. Description: One-piece type-430 stainless steel channel frame mirror; bright polished finish at exposed surfaces; full-size, shock-absorbing, water-resistant, nonabrasive polyethylene back padding; Galvanized-steel back with integral horizontal hanging brackets at top and bottom of mirror with locking devices for securing mirror to wall hanger.
3. Frame: Stainless steel channel.
 - a. Corners: Mitered.
4. Size: 24 inches wide, 36 inches high.
5. Hangers: Manufacturer's standard rigid, tamper and theft resistant.

J. Hook (TA06):

1. Basis-of-Design Product: Subject to compliance with requirements, provide Bobrick; B-6727 or comparable product by one of the following:
 - a. American Specialties, Inc.
 - b. Bradley Corporation.
 - c. Or approved equal.
2. Description: Surface-mounted double robe hook; 0.8 mm flange and support arm; 3.6 mm cap welded to the support arm.
3. Mounting: Concealed 1.6 mm mounting bracket secured to concealed 1.6 mm wall plate with stainless steel setscrew.
4. Material and Finish: Stainless steel, ASTM A480/A480M No. 4 finish (satin).

2.3 HAND DRYERS

A. High-Speed Air Dryer (TA11):

1. Sole-Source Product: Provide World Dryer Corp; Slimdri L-973.
 - a. No substitutions permitted.
2. Description: High-speed, warm-air hand dryer for rapid hand drying.
3. Mounting: Surface mounted.
 - a. Protrusion Limit: Installed unit protrudes maximum 4 inches from wall surface.
4. Operation: Infrared-sensor activated with timed power cut-off switch.
 - a. Automatic Shut Off: At 3 seconds after hands removed, and 60 seconds if not removed.
5. Maximum Sound Level: 69 dBA at 79 inches.
6. Cover Material and Finish: Brushed Stainless Steel.
7. Electrical Requirements: Universal voltage, 110-120/208/220 – 240 VAC, 60 Hz.
8. Motor: Universal brushed type, 5/8 HP, 13,000 RPM with replaceable motor brushes.

2.4 CHILDCARE ACCESSORIES

A. Source Limitations: Obtain each type of childcare accessory from single source from single manufacturer.

B. Diaper-Changing Station (TA01):

1. Sole-Source Product: Provide Koala Kare; KB200-01SS.
 - a. No substitutions permitted.
2. Description: Horizontal unit that opens by folding down from stored position and with child-protection strap.
 - a. Engineered to support minimum of 200 lb static load when opened.
3. Mounting: Surface mounted, with unit projecting not more than 4 inches from wall when closed.
4. Operation: By pneumatic shock-absorbing mechanism.
5. Material and Finish: Injection-molded polypropylene with antimicrobial embedded additive, and type 304 brushed stainless steel finish veneer.
6. Liner Dispenser: Provide built-in dispenser for disposable sanitary liners.
7. Braille instruction label.

2.5 FABRICATION

A. General: Fabricate units with tight seams and joints, and exposed edges rolled. Hang doors and access panels with full-length, continuous hinges. Equip units for concealed anchorage and with corrosion-resistant backing plates.

- B. Keys: Provide universal keys for internal access to accessories for servicing and resupplying. Provide minimum of six keys to City of New York.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION

- A. Install accessories in accordance with manufacturers' written instructions, using fasteners appropriate to substrate indicated and recommended by unit manufacturer. Install units level, plumb, and firmly anchored in locations and at heights indicated.
 - 1. Remove temporary labels and protective coatings.
- B. Grab Bars: Install to comply with specified structural-performance requirements.

3.3 ADJUSTING AND CLEANING

- A. Adjust accessories for unencumbered, smooth operation. Replace damaged or defective items.
- B. Clean and polish exposed surfaces in accordance with manufacturer's written instructions.

END OF SECTION 102800

SECTION 104413 - FIRE PROTECTION CABINETS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Fire-protection cabinets for the following:
 - a. Portable fire extinguishers.
- B. Related Requirements:
 - 1. Section 104416 "Fire Extinguishers" for portable, hand-carried fire extinguishers accommodated by fire-protection cabinets

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Show door hardware, cabinet type, trim style, and panel style. Include roughing-in dimensions and details showing recessed-, semirecessed-, or surface-mounting method and relationships of box and trim to surrounding construction.
- B. Shop Drawings: For fire-protection cabinets.
 - 1. Include plans, elevations, sections, details, and attachments to other work.
- C. Samples: For each type of exposed finish required.
- D. Product Schedule: For fire-protection cabinets. Indicate whether recessed, semirecessed, or surface mounted. Coordinate final fire-protection cabinet schedule with fire-extinguisher schedule to ensure proper fit and function. Use same designations indicated on Drawings.

1.5 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For fire-protection cabinets to include in maintenance manuals.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.7 COORDINATION

- A. Coordinate sizes and locations of fire-protection cabinets with wall depths.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Source Limitations: Obtain fire-protection cabinets, accessories, and fire extinguishers from single source from single manufacturer.

2.2 PERFORMANCE REQUIREMENTS

- A. Fire-Rated Fire-Protection Cabinets: Listed and labeled to comply with requirements in ASTM E814 for fire-resistance rating of walls where they are installed.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

2.3 SEMI-RECESSED FIRE-PROTECTION CABINET (E11 and E12)

- A. Cabinet Type: Suitable for fire extinguisher and hose valve.
 - 1. Basis-of-Design Product: Subject to compliance with requirements, provide Babcock Davis; BFC-7120 or comparable product by one of the following:
 - a. Activar Construction Products Group, Inc. - JL Industries.
 - b. Larsens Manufacturing Company.
 - c. Nystrom.
 - d. Or approved equal.
- B. Cabinet Construction:
 - 1. Nonrated at cabinets E11.
 - 2. One-hour fire rated at cabinet E12.

3. Fire-Rated Cabinets: Construct fire-rated cabinets with double walls fabricated from 0.043-inch- thick cold-rolled steel sheet lined with minimum 5/8-inch- thick fire-barrier material. Provide factory-drilled mounting holes.

C. Cabinet Material: Cold-rolled steel sheet.

D. Semirecessed Cabinet: One-piece combination trim and perimeter door frame overlapping surrounding wall surface, with exposed trim face and wall return at outer edge (backbend).

1. Square-Edge Trim: 1-1/4- to 1-1/2-inch backbend depth.

E. Cabinet Trim Material: Steel sheet.

F. Door Material: Steel sheet.

G. Door Style: Full acrylic bubble with frame.

H. Door Glazing: Acrylic sheet.

1. Acrylic Sheet Color:

- a. Clear transparent acrylic sheet.

I. Door Hardware: Manufacturer's standard door-operating hardware of proper type for cabinet type, trim style, and door material and style indicated.

1. Provide scored insert and manufacturer's standard cylinder lock..
2. Provide manufacturer's standard hinge, permitting door to open 180 degrees.

J. Accessories:

1. Mounting Bracket: Manufacturer's standard steel, designed to secure fire extinguisher to fire-protection cabinet, of sizes required for types and capacities of fire extinguishers indicated, with plated or baked-enamel finish.

2. Identification: Lettering complying with Chapter 9 of the NYC Building Code for letter style, size, spacing, and location. Locate as indicated.

- a. Identify fire extinguisher in fire-protection cabinet with the words "FIRE EXTINGUISHER."

- 1) Location: Applied to cabinet glazing.

- 2) Lettering Color: White.

- 3) Orientation: Vertical.

2.4 SURFACE MOUNTED FIRE-PROTECTION CABINET (E13)

A. Cabinet Type: Suitable for fire extinguisher.

1. Basis-of-Design Product: Subject to compliance with requirements, provide Babcock Davis; BFC-7164, or comparable product from one of the following:

- a. Activar Construction Products Group, Inc. - JL Industries.
 - b. Larsens Manufacturing Company.
 - c. Nystrom.
 - d. Or approved equal.
- B. Cabinet Construction: Nonrated.
- C. Cabinet Material: Cold-rolled steel sheet.
- D. Surface-Mounted Cabinet: Cabinet box fully exposed and mounted directly on wall with no trim.
- E. Cabinet Trim Material: Sheet steel.
- F. Door Material: Sheet steel.
- G. Door Style: Full acrylic bubble with frame.
- H. Door Glazing: To match door glazing of recessed cabinet.
- I. Door Hardware: Manufacturer's standard door-operating hardware of proper type for cabinet type, trim style, and door material and style indicated.
1. Provide scored insert and manufacturer's standard cylinder lock.
 2. Provide manufacturer's standard hinge, permitting door to open 180 degrees.
- J. Accessories:
1. Mounting Bracket: Manufacturer's standard steel, designed to secure fire extinguisher to fire-protection cabinet, of sizes required for types and capacities of fire extinguishers indicated, with plated or baked-enamel finish.
 2. Identification: Lettering complying with Chapter 9 of the NYC Building Code for letter style, size, spacing, and location. Locate as indicated.
 - a. Match identification requirements of recessed cabinets.
- 2.5 Materials:
- A. Cold-Rolled Steel: ASTM A1008/A1008M, Commercial Steel (CS), Type B.
1. Finish: Baked enamel, TGIC polyester powder coat, HAA polyester powder coat, epoxy powder coat, or polyester/epoxy hybrid powder coat, complying with AAMA 2603.
 2. Prepare, pretreat, and apply coating to exposed metal surfaces to comply with coating and resin manufacturers' written instructions.
 3. Color: White.
- B. Transparent Acrylic Sheet: ASTM D4802, Category A-1 (cell-cast sheet), 3 mm thick, with Finish 1 (smooth or polished).

2.6 FABRICATION

- A. Fire-Protection Cabinets: Provide manufacturer's standard box (tub) with trim, frame, door, and hardware to suit cabinet type, trim style, and door style indicated.
 - 1. Weld joints and grind smooth.
 - 2. Miter corners and grind smooth.
 - 3. Provide factory-drilled mounting holes.
 - 4. Prepare doors and frames to receive locks.
 - 5. Install door locks at factory.
- B. Cabinet Doors: Fabricate doors according to manufacturer's standards, from materials indicated and coordinated with cabinet types and trim styles.
 - 1. Fabricate door frames with tubular stiles and rails and hollow-metal design, minimum 1/2 inch thick.
 - 2. Fabricate door frames of one-piece construction with edges flanged.
 - 3. Miter and weld perimeter door frames and grind smooth.
- C. Cabinet Trim: Fabricate cabinet trim in one piece with corners mitered, welded, and ground smooth.

2.7 GENERAL FINISH REQUIREMENTS

- A. Comply with NAAMM's AMP 500, "Metal Finishes Manual for Architectural and Metal Products," for recommendations for applying and designating finishes.
- B. Protect mechanical finishes on exposed surfaces of fire-protection cabinets from damage by applying a strippable, temporary protective covering before shipping.
- C. Finish fire-protection cabinets after assembly.
- D. Appearance of Finished Work: Noticeable variations in same piece are unacceptable. Variations in appearance of adjoining components are acceptable if they are within the range of approved Samples and are assembled or installed to minimize contrast.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine roughing-in for hose and cabinets to verify actual locations of piping connections before cabinet installation.
- B. Examine partitions for suitable framing depth and blocking where semirecessed cabinets will be installed.

- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. Prepare recesses for semirecessed fire-protection cabinets as required by type and size of cabinet and trim.

3.4 INSTALLATION

- A. General: Install fire-protection cabinets in locations and at mounting heights indicated or, if not indicated, at heights approved by Commissioner.
- B. Fire-Protection Cabinets: Fasten cabinets to structure, square and plumb.
 - 1. Unless otherwise indicated, provide recessed fire-protection cabinets. If wall thickness is inadequate for recessed cabinets, provide semirecessed fire-protection cabinets.
 - 2. Provide inside latch and lock for break-glass panels.
 - 3. Fasten mounting brackets to inside surface of fire-protection cabinets, square and plumb.
 - 4. Fire-Rated Cabinets:
 - a. Install cabinet with not more than 1/16-inch tolerance between pipe OD and knockout OD. Center pipe within knockout.
 - b. Seal through penetrations with firestopping sealant as specified in Section 078413 "Penetration Firestopping."

3.5 ADJUSTING AND CLEANING

- A. Remove temporary protective coverings and strippable films, if any, as fire-protection cabinets are installed unless otherwise indicated in manufacturer's written installation instructions.
- B. Adjust fire-protection cabinet doors to operate easily without binding. Verify that integral locking devices operate properly.
- C. On completion of fire-protection cabinet installation, clean interior and exterior surfaces as recommended by manufacturer.
- D. Touch up marred finishes, or replace fire-protection cabinets that cannot be restored to factory-finished appearance. Use only materials and procedures recommended or furnished by fire-protection cabinet and mounting bracket manufacturers.
- E. Replace fire-protection cabinets that have been damaged or have deteriorated beyond successful repair by finish touchup or similar minor repair procedures.

END OF SECTION 104413

SECTION 104416 - FIRE EXTINGUISHERS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section includes portable, hand-carried fire extinguishers.
- B. Related Requirements:
 - 1. Section 104413 "Fire Protection Cabinets."

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product. Include rating and classification, material descriptions, dimensions of individual components and profiles, and finishes for fire extinguisher.
- B. Product Schedule: For fire extinguishers. Coordinate final fire-extinguisher schedule with fire-protection cabinet schedule to ensure proper fit and function. Use same designations indicated on Drawings.

1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For fire extinguishers to include in maintenance manuals.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.7 COORDINATION

- A. Coordinate type and capacity of fire extinguishers with fire-protection cabinets to ensure fit and function.

1.8 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace fire extinguishers that fail in materials or workmanship within specified warranty period.
 - 1. Failures include, but are not limited to, the following:
 - a. Faulty operation of valves or release levers.
 - 2. Warranty Period: 5 years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. NFPA Compliance: Fabricate and label fire extinguishers to comply with NFPA 10, "Portable Fire Extinguishers."
- B. Fire Extinguishers: Listed and labeled for type, rating, and classification by a qualified independent testing agency.

2.2 PORTABLE, HAND-CARRIED FIRE EXTINGUISHERS

- A. Fire Extinguishers: Type, size, and capacity for each fire-protection cabinet indicated.
 - 1. Basis-of-Design Product: Subject to compliance with requirements, provide IBabcock Davis; BEX-3006 or comparable product by one of the following:
 - a. Activar Construction Products Group, Inc. - JL Industries.
 - b. Larsens Manufacturing Company.
 - c. Nystrom.
 - d. Potter Roemer LLC; a Division of Morris Group International.
 - e. Or approved equal.
 - 2. Source Limitations: Obtain fire extinguishers, fire-protection cabinets, and accessories, from single source from single manufacturer.
 - 3. Valves: Manufacturer's standard.
 - 4. Handles and Levers: Manufacturer's standard.
 - 5. Instruction Labels: Include pictorial marking system complying with NFPA 10, Appendix B, and bar coding for documenting fire-extinguisher location, inspections, maintenance, and recharging.
- B. Multipurpose Dry-Chemical Type in Steel Container: UL-rated 3-A:40-B:C, 6-lb nominal capacity, with monoammonium phosphate-based dry chemical in enameled-steel container.
- C. Mounting bracket: As indicated in Section 104413 "Fire Protection Cabinets."

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine fire extinguishers for proper charging and tagging.
 - 1. Remove and replace damaged, defective, or undercharged fire extinguishers.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. General: Install fire extinguishers and mounting brackets in locations indicated and in compliance with requirements of the NYC Fire Code.
- B. Mounting Brackets: Fasten mounting brackets to surfaces, square and plumb, at locations indicated.
 - 1. Mounting Height: Top of fire extinguisher to be at 42 inches above finished floor.

END OF SECTION 104416

SECTION 105113 - METAL LOCKERS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Welded corridor lockers.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for each type of metal locker.
- B. Sustainable Design Submittals:
 - 1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
- C. Shop Drawings: For metal lockers.
 - 1. Include plans, elevations, sections, and attachment details.
 - 2. Show locker trim and accessories.
 - 3. Include locker identification system and numbering sequence.
- D. Samples for Initial Selection: Manufacturer's color charts showing the full range of colors available.
- E. Samples for Verification: For the following products, in manufacturer's standard size:
 - 1. Lockers and equipment.

1.5 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For adjusting, repairing, and replacing locker doors and latching mechanisms to include in maintenance manuals.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Do not deliver metal lockers until spaces to receive them are clean, dry, and ready for their installation.

1.8 FIELD CONDITIONS

- A. Field Measurements: Verify actual dimensions of recessed openings by field measurements before fabrication.

1.9 COORDINATION

- A. Coordinate sizes and locations of framing, blocking, furring, reinforcements, and other related units of work specified in other Sections to ensure that metal lockers can be supported and installed as indicated.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Source Limitations: Obtain metal lockers and accessories from single source from single locker manufacturer.

- 1. Obtain locks from single lock manufacturer.

2.2 PERFORMANCE REQUIREMENTS

- A. Accessibility Standard: For lockers indicated to be accessible, comply with applicable provisions in ICC A117.1-2009.

2.3 WELDED CORRIDOR LOCKERS

- A. Basis-of-Design: Subject to compliance with requirements, provide Tennsco; STS series Assembled Standard Welded Lockers, or comparable product by one of the following:

- 1. Penco Products, Inc.

2. Republic Storage Systems, LLC.
 3. Or approved equal.
- B. Doors: One piece; fabricated from 0.075-inch nominal-thickness steel sheet; formed into channel shape with double bend at vertical edges and with right-angle single bend at horizontal edges.
1. Reinforcement: Manufacturer's standard reinforcing angles, channels, or stiffeners for doors more than 15 inches wide; welded to inner face of doors.
- C. Body: Assembled by welding body components together. Fabricate from unperforated steel sheet with thicknesses as follows:
1. Tops, Bottoms, and Sides: 0.060-inch nominal thickness.
 2. Backs: 0.048-inch nominal thickness.
 3. Shelves: 0.060-inch nominal thickness, with double bend at front and single bend at sides and back.
- D. Frames: Channel formed; fabricated from 0.060-inch nominal-thickness steel sheet; lapped and factory welded at corners; with top and bottom main frames factory welded into vertical main frames. Form continuous, integral, full-height door strikes on vertical main frames.
- E. Hinges: Welded to door and attached to door frame with no fewer than two factory-installed rivets per hinge that are completely concealed and tamper resistant when door is closed; fabricated to swing 180 degrees.
1. Knuckle Hinges: Steel, full loop, five or seven knuckles, tight pin; minimum 2 inches high. Provide no fewer than three hinges for each door more than 42 inches high.
- F. Recessed Door Handle and Latch: Stainless steel cup with integral door pull, recessed so locking device does not protrude beyond door face, and provided with a padlock receiver; pry and vandal resistant.
1. Multipoint Latching: Finger-lift latch control designed for use with built-in combination locks or padlocks; positive automatic latching and prelocking.
 - a. Latch Hooks: Equip doors 48 inches and higher with three latch hooks and doors less than 48 inches high with two latch hooks; fabricated from 0.120-inch nominal-thickness steel sheet; welded to full-height door strikes; with resilient silencer on each latch hook.
 - b. Latching Mechanism: Manufacturer's standard, rattle-free latching mechanism and moving components isolated to prevent metal-to-metal contact, and incorporating a prelocking device that allows locker door to be locked while door is open and then closed without unlocking or damaging lock or latching mechanism.
- G. Identification Plates: Manufacturer's standard, etched, embossed, or stamped aluminum or plastic plates, with numbers and letters at least 3/8 inch high.
- H. Hooks: Manufacturer's standard ball-pointed, aluminum or steel; zinc plated.
- I. Bases: 6 inches high; four-sided closed bases fabricated from 0.075-inch nominal-thickness steel sheet; with leveling feet, and matching color of locker.
- J. Boxed End Panels: Fabricated from 0.048-inch nominal-thickness steel sheet.

K. Materials:

1. Cold-Rolled Steel Sheet: ASTM A1008/A1008M, Commercial Steel (CS), Type B, suitable for exposed applications.

L. Finish: Baked enamel or powder coat.

1. Color: As selected by Commissioner from manufacturer's full range.

M. Sizes and configurations: As indicated on the Drawings.

2.4 LOCKS

- A. Combination Padlock: Provided by City of New York.

2.5 FABRICATION

- A. Fabricate metal lockers square, rigid, without warp, and with metal faces flat and free of dents or distortion. Make exposed metal edges safe to touch and free of sharp edges and burrs.

1. Form body panels, doors, shelves, and accessories from one-piece steel sheet unless otherwise indicated.
2. Provide fasteners, filler plates, supports, clips, and closures as required for complete installation.

- B. Fabricate each metal locker with an individual door and frame; individual top, bottom, and back; and common intermediate uprights separating compartments.

- C. Equipment: Provide each locker with an identification plate and the following equipment:

1. Single-Tier Units: Shelf, one double-prong ceiling hook, and two single-prong wall hooks.
2. Double-Tier Units: One double-prong ceiling hook and two single-prong wall hooks.

- D. Welded Construction: Factory preassemble metal lockers by welding all joints, seams, and connections; with no bolts, nuts, screws, or rivets used in assembly of main locker groups. Factory weld main locker groups into one-piece structures. Grind exposed welds smooth and flush.

- E. Accessible Lockers: Fabricate as follows:

1. Locate bottom shelf no lower than 15 inches above the floor.
2. Where hooks, coat rods, or additional shelves are provided, locate no higher than 48 inches above the floor.

- F. Continuous Sloping Tops: Fabricated in lengths as long as practical, without visible fasteners at splice locations; finished to match lockers.

1. Sloping-top corner fillers, mitered.

- G. Individual Sloping Tops: Fabricated in width to fit one locker frame in lieu of flat locker tops; with integral back; finished to match lockers. Provide wedge-shaped divider panels between lockers.
- H. Filler Panels: Fabricated in an unequal leg angle shape; finished to match lockers. Provide slip-joint filler angle formed to receive filler panel.
- I. Boxed End Panels: Fabricated with 1-inch- wide edge dimension, and designed for concealing fasteners and holes at exposed ends of nonrecessed metal lockers; finished to match lockers.
- J. Center Dividers: Full-depth, vertical partitions between bottom and shelf; finished to match lockers.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine walls and floors or support bases, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Prepare written report, endorsed by Installer, listing conditions detrimental to performance of the Work.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Install lockers level, plumb, and true; shim as required, using concealed shims.
 - 1. Anchor locker runs at ends and at intervals recommended by manufacturer, but not more than 36 inches o.c. Using concealed fasteners, install anchors through backup reinforcing plates, channels, or blocking as required to prevent metal distortion.
 - 2. Anchor single rows of metal lockers to walls near top of lockers and to floor.
- B. Welded Lockers: Connect groups together with manufacturer's standard fasteners, with no exposed fasteners on face frames.
- C. Equipment:
 - 1. Attach hooks with at least two fasteners.
 - 2. Attach door locks on doors using security-type fasteners.
- D. Trim: Fit exposed connections of trim, fillers, and closures accurately together to form tight, hairline joints, with concealed fasteners and splice plates.

1. Attach recess trim to recessed metal lockers with concealed clips.
2. Attach filler panels with concealed fasteners. Locate filler panels where indicated on Drawings.
3. Attach sloping-top units to metal lockers, with closures at exposed ends.
4. Attach boxed end panels using concealed fasteners to conceal exposed ends of nonrecessed metal lockers.
5. Attach finished end panels using fasteners only at perimeter to conceal exposed ends of nonrecessed metal lockers.

3.4 ADJUSTING

- A. Clean, lubricate, and adjust hardware. Adjust doors and latches to operate easily without binding.

3.5 PROTECTION

- A. Protect metal lockers from damage, abuse, dust, dirt, stain, or paint. Do not permit use during construction.
- B. Touch up marred finishes, or replace metal lockers that cannot be restored to factory-finished appearance. Use only materials and procedures recommended or furnished by locker manufacturer.

END OF SECTION 105113

SECTION 113013 - RESIDENTIAL APPLIANCES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Refrigeration appliances.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Include installation details, material descriptions, dimensions of individual components, and finishes for each appliance.
 - 2. Include rated capacities, operating characteristics, electrical characteristics, and furnished accessories.
- B. Sustainable Design Submittals:
 - 1. Product Data: For indicated products, indicating compliance with requirements for ENERGY STAR product labeling.

1.5 INFORMATIONAL SUBMITTALS

- A. Sample Warranties: For manufacturers' special warranties.

1.6 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For each residential appliance to include in operation and maintenance manuals.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.8 WARRANTY

- A. Special Warranties: Manufacturer agrees to repair or replace refrigerator/freezer or components that fail in materials or workmanship within specified warranty period
 - 1. Warranty Period: One (1) year from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Source Limitations: Obtain residential appliances from single source.

2.2 PERFORMANCE REQUIREMENTS

- A. Electrical Appliances: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Gas-Fueled Appliances: Certified by a qualified testing agency for each type of gas-fueled appliance according to ANSI Z21 Series standards.
- C. Accessibility: Where residential appliances are indicated to comply with accessibility requirements, comply with applicable provisions in the USDOJ's 2010 ADA Standards for Accessible Design and ICC A117.1-2009.

2.3 REFRIGERATOR/FREEZERS

- A. Refrigerator/Freezer (REF1): Two-door refrigerator/freezer with freezer on top and complying with AHAM HRF-1.
 - 1. Basis-of-Design Product: Subject to compliance with requirements, provide GE; Model GIE19JSNSS or comparable product by one of the following:
 - a. Frigidaire.
 - b. Whirlpool Corporation.
 - c. Or approved equal.
 - 2. Type: Freestanding.
 - 3. Dimensions:
 - a. Width: 29 3/4 inches.

- b. Depth: 32 1/8 inches.
 - c. Depth with handle: 34 1/2 inches.
 - d. Height: 66 3/8 inches.
4. Storage Capacity:
 - a. Refrigeration Compartment Volume: 13.6 cu. ft.
 - b. Freezer Volume: 5.57 cu. ft.
 - c. Shelf Area: Two adjustable glass shelves.
 - d. Bins: Two fresh food door bins.
 - e. Adjustable wire freezer shelf.
5. General Features:
 - a. Dual refrigeration systems.
 - b. Factory-installed icemaker.
6. Refrigerator Features:
 - a. Interior light in refrigeration compartment.
 - b. Compartment Storage: vegetable crisper and dairy compartment.
 - c. Door Storage: Gallon- milk-container storage.
7. Freezer Features: One freezer compartment(s) with door.
 - a. Automatic defrost.
8. ENERGY STAR: Provide appliances that qualify for the EPA/DOE ENERGY STAR product-labeling program.
9. Front Panel(s): Stainless steel.
10. Appliance Color/Finish: Stainless steel.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances, power connections, and other conditions affecting installation and performance of residential appliances.
- B. Examine roughing-in for piping systems to verify actual locations of piping connections before appliance installation.
- C. Prepare written report, endorsed by Installer, listing conditions detrimental to performance of the Work.

- D. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Install appliances according to manufacturer's written instructions.
- B. Built-in Equipment: Securely anchor units to supporting cabinets or countertops with concealed fasteners. Verify that clearances are adequate for proper functioning and that rough openings are completely concealed.
- C. Freestanding Equipment: Place units in final locations after finishes have been completed in each area. Verify that clearances are adequate to properly operate equipment.

END OF SECTION 113013

SECTION 115100 – LIBRARY EQUIPMENT

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. This Section includes:
 - 1. Book Drop System.
 - 2. Desktop Book Checkout System.
 - 3. Kiosk Book Checkout System.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product specified.
- B. Shop Drawings:
 - 1. Indicate fabrication and installation details.
 - 2. Include plans, elevations, sections, details, and attachments to other Work.
- C. Samples: For each specified finish.
- D. Manufacturer's recommended installation procedures.

1.5 CLOSEOUT SUBMITTALS

- A. Furnish manufacturer's closeout documentation including operational and servicing instructions.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 BOOK DROP SYSTEM

A. Self-Return Kiosk with a Sorting Module: (LE1)

1. Sole Source Product: Provide the following:

- a. Library Mate 1200 Self-Return Kiosk with Sort Mate 2000 Module and Lyngsoe Ergo Trolley as manufactured by Lyngsoe Systems.

- 1) No substitutions permitted.

B. Self-Return Kiosk Description: Provides unattended and automatic return of library materials, with the following features:

1. Power: 100-240 V AC 50-60 Hz.
2. Network connection: Wired ethernet.
3. Capacity: 1,100 materials per hour.
4. Touch screen: 19 inch color touchscreen.
5. Material size capacity:
 - a. Min. 4 inch by 4 inch by 0.1 inch.
 - b. Max: 15.8 inch long by 11.8 inch wide by 4 inch high.
6. Material weight capacity:
 - a. Min: 1 oz.
 - b. Max: 11 lbs.
7. Color: Custom RAL color, to be selected by Commissioner from manufacturer's full range.
8. Shelf material: Solid surface to match SS1 as shown in section 066116 "Solid Surfacing Fabrications."
9. Mounting kit for gypsum board faced walls.
10. Printer for receipts.
11. LM Software v3.30 or newer.

C. Sorting Module Description: Three-bin sorter, provides high-speed and precise dedicated sorting of library materials, with the following features:

1. Power: 100-200 V AC 50-60 Hz.
2. Network connection: Wired ethernet to master module.
3. Capacity: 2,400 materials per hour.
4. Materials: Steel chassis.
5. Standard colors: corner, end plates and chute covers, Green.
6. Material size capacity:

- a. Min. 4 inch by 4 inch by 0.1 inch.
 - b. Max: 15.8 inch long by 11.8 inch wide by 4 inch high.
7. Material weight capacity:
- a. Min: 1 oz.
 - b. Max: 11 lbs.

D. Trolley Description: Container trolley fed by the Sorting module; black frame, grey sides; "Im full" capacity indicator.

1. Trolley Size: 36.8 inches Long x 20.9 inches Wide x 34 inches high.
2. Amount: 3 (three).

2.2 BOOK CHECKOUT SYSTEMS

A. Desktop Book Checkout System: (LE2)

1. Sole Source Product: Provide the following:
 - a. SelfCheck 1000 Desktop, as manufactured by Bibliotheca.
 - 1) No substitutions permitted.

B. Kiosk Book Checkout System: (LE3)

1. Sole Source Product: Provide the following:
 - a. SelfCheck 1000 Kiosk, as manufactured by Bibliotheca.
 - 1) No substitutions permitted.

C. Self-checkout system sits on desktop kiosk (LE2) and is freestanding on full height kiosk (LE3).

D. Book Checkout System Features (LE2 and LE3):

1. Dimensions:
 - a. Freestanding: 28.6 inches wide x 24.3 inches deep x 58.1 inches high.
 - b. Height adjustable: 27.6 inches wide x 27.0 inches deep x 58.1 inches high.
2. Power: Input C13 connector, 110 V AC input, 130 watts, 50 Hz.
3. Data: 10/10 ethernet.
4. Touchscreen: 22 inch portrait oriented.
5. Reporting: standard manufacturer's central management system made available in real time.
6. Software: Preloaded with manufacturer's self-service software configured for connection to the library's ILS/LMS network via SIP2.
7. Receipt printer for staff.

8. Mobile phone user scanner.
9. LED health status light.
10. Color: Custom color to be selected by Commissioner from manufacturer's full range.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine the areas and conditions where the library equipment are to be installed and correct any deficiencies and conditions detrimental to the proper installation of the equipment.
- B. Do not proceed with the installation until unsatisfactory conditions are corrected.

3.3 INSTALLATION

- A. Coordinate installation as required with other trades to ensure adequate provisions for interface with the specified equipment.
- B. Install equipment per approved shop drawings and per manufacturer's written instructions.

3.4 DEMONSTRATION

- A. Engage a factory-authorized field representative to instruct City of New York's personnel to operate book drop system and book checkout systems.

END OF SECTION 115100

SECTION 122413 - ROLLER WINDOW SHADES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:

- 1. Manually operated single-roller shades.
- 2. Motor-operated, single-roller shades.

- B. Related Requirements:

- 1. Section 061000 "Rough Carpentry" for wood blocking and grounds for mounting roller shades and accessories.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.

- 1. Include construction details, material descriptions, dimensions of individual components and profiles, features, finishes, and operating instructions for roller shades.

- B. Shop Drawings: Show fabrication and installation details for roller shades, including shadeband materials, their orientation to rollers, and their seam and batten locations.

- 1. Motor-Operated Shades: Include details of installation and diagrams for power, signal, and control wiring.

- C. Samples: For each exposed product and for each color and texture specified, 10 inches long.

- D. Product Schedule: For roller shades. Use same designations indicated on Drawings.

1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For roller shades to include in maintenance manuals.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Deliver roller shades in factory packages, marked with manufacturer, product name, and location of installation using same designations indicated on Drawings.

1.8 FIELD CONDITIONS

- A. Environmental Limitations: Do not install roller shades until construction and finish work in spaces, including painting, is complete and dry and ambient temperature and humidity conditions are maintained at the levels indicated for Project when occupied for its intended use.
- B. Field Measurements: Where roller shades are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication and indicate measurements on Shop Drawings. Allow clearances for operating hardware of operable glazed units through entire operating range. Notify Commissioner of installation conditions that vary from Drawings. Coordinate fabrication schedule with construction progress to avoid delaying the Work.

PART 2 - PRODUCTS

2.1 MANUALLY OPERATED, SINGLE-ROLLER SHADES (RSW-1)

- A. Basis-of-Design Product: Subject to compliance with requirements, provide DFB Sales Inc.; SOL-R Manual Shade or comparable product by one of the following:
 - 1. Draper Inc.
 - 2. MechoShade Systems, Inc.
 - 3. Or approved equal.
- B. Chain-and-Clutch Operating Mechanisms: With continuous-loop bead chain and clutch that stops shade movement when bead chain is released; permanently adjusted and lubricated.
 - 1. Bead Chains: Manufacturer's standard.
 - a. Loop Length: Full length of roller shade.
 - b. Chain-Retainer Type: Manufacturer's standard.

- C. Rollers: Corrosion-resistant steel or extruded-aluminum tubes of diameters and wall thicknesses required to accommodate operating mechanisms and weights and widths of shadebands indicated without deflection. Provide with permanently lubricated drive-end assemblies and idle-end assemblies designed to facilitate removal of shadebands for service.
 - 1. Roller Drive-End Location: Right side of interior face of shade.
 - 2. Direction of Shadeband Roll: Regular, from back (exterior face) of roller.
 - 3. Shadeband-to-Roller Attachment: Manufacturer's standard method.

- D. Mounting Hardware: Brackets or endcaps, corrosion resistant and compatible with roller assembly, operating mechanism, installation accessories, and mounting location and conditions indicated.

- E. Shadebands:
 - 1. Shadeband Material: Light-filtering fabric.
 - 2. Shadeband Bottom (Hem) Bar: Steel or extruded aluminum.
 - a. Type: Enclosed in sealed pocket of shadeband material.
 - b. Color and Finish: As selected by Commissioner from manufacturer's full range.

- F. Installation Accessories:
 - 1. Recessed Shade Pocket: Rectangular, extruded-aluminum enclosure for recessed ceiling installation; with front, top, and back formed as one piece, end plates, and removable bottom closure panel.
 - a. Height: Manufacturer's standard height required to enclose roller and shadeband assembly when shade is fully open, but not less than 4 inches .
 - 2. Closure Panel and Wall Clip: Removable aluminum panel designed for installation at bottom of site-constructed ceiling recess or pocket and for snap-in attachment to wall clip without fasteners.
 - a. Closure-Panel Width: 1 1/2 inches .
 - 3. Installation Accessories Color and Finish: As selected from manufacturer's full range.

2.2 MOTOR-OPERATED, SINGLE-ROLLER SHADES (RSW-2, and RSW-3)

- A. Basis-of-Design Product: Subject to compliance with requirements, provide DFB Sales Inc.; ST70 Line Voltage Shade or comparable product by one of the following:
 - 1. Draper Inc.
 - 2. MechoShade Systems, Inc.
 - 3. Or approved equal.

- B. Motorized Operating System: Provide factory-assembled, shade-operator system of size and capacity and with features, characteristics, and accessories suitable for conditions indicated, complete with electric motor and factory-prewired motor controls, power disconnect switch, enclosures protecting controls and operating parts, and accessories required for reliable operation without malfunction. Include wiring from motor controls to motors. Coordinate operator wiring requirements and electrical characteristics with building electrical system.
1. Electrical Components: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
 2. Electric Motor: Manufacturer's standard tubular, with quiet operation, enclosed in roller.
 - a. Electrical Characteristics: 20V-60 Hz, 1.7 Amp.
 - b. Maximum Total Shade Width: As required to operate roller shades indicated.
 - c. Maximum Shade Drop: As required to operate roller shades indicated.
 3. Remote Control: Electric controls with NEMA ICS 6, Type 1 enclosure for recessed or flush mounting. Provide the following for remote-control activation of shades:
 - a. Keyed Control Station: Keyed, maintained-contact, three-position, switch-operated control station with open, close, and off functions. Provide two keys per station.
 - b. Individual Switch Control Station: Maintained-contact, wall-switch-operated control station with open, close, and center off functions.
 - 1) Switch Style: Rocker.
 4. Color: As selected by Commissioner from manufacturer's full range.
- C. Rollers: Corrosion-resistant steel or extruded-aluminum tubes of diameters and wall thicknesses required to accommodate operating mechanisms and weights and widths of shadebands indicated without deflection. Provide with permanently lubricated drive-end assemblies and idle-end assemblies designed to facilitate removal of shadebands for service.
1. Roller Drive-End Location: Right side of interior face of shade.
 2. Direction of Shadeband Roll: Regular, from back (exterior face) of roller.
 3. Shadeband-to-Roller Attachment: Manufacturer's standard method.
- D. Mounting Hardware: Brackets or endcaps, corrosion resistant and compatible with roller assembly, operating mechanism, installation accessories, and mounting location and conditions indicated.
- E. Shadebands:
1. Shadeband Material: Light-blocking fabric.
 2. Shadeband Bottom (Hem) Bar: Steel or extruded aluminum.
 - a. Type: Enclosed in sealed pocket of shadeband material.
 - b. Color and Finish: As selected by Commissioner from manufacturer's full range.
- F. Installation Accessories:

1. Recessed Shade Pocket: Rectangular, extruded-aluminum enclosure for recessed ceiling installation; with front, top, and back formed as one piece, end plates, and removable bottom closure panel.
 - a. Height: Manufacturer's standard height required to enclose roller and shadeband assembly when shade is fully open, but not less than 5 inches.
 - b. Provide pocket with lip at lower edge to support acoustical ceiling panel.
2. Closure Panel and Wall Clip: Removable aluminum panel designed for installation at bottom of site-constructed ceiling recess or pocket and for snap-in attachment to wall clip without fasteners.
 - a. Closure-Panel Width: 1 1/2 inches.

2.3 SHADEBAND MATERIALS

- A. Light-Filtering Fabric (at RSW-1 and RSW-2 locations): Woven fabric, stain and fade resistant.
 1. Source: Roller shade manufacturer.
 2. Type: PVC free, G65 from recycled plastic bottles.
 3. Roll Width: As indicated.
 4. Openness Factor: 5 percent.
 5. Color: As selected by Commissioner from manufacturer's full range.
- B. Light-Blocking Fabric (at RSW-3 locations only): Opaque fabric, stain and fade resistant.
 1. Source: Roller shade manufacturer.
 2. Type: PVC free, G80.
 3. Roll Width: As indicated.
 4. Features: Smooth textured surface.
 5. Color: As selected by Commissioner from manufacturer's full range.

2.4 ROLLER SHADE FABRICATION

- A. Product Safety Standard: Fabricate roller shades to comply with WCMA A 100.1, including requirements for flexible, chain-loop devices; lead content of components; and warning labels.
- B. Unit Sizes: Fabricate units in sizes to fill window and other openings as follows, measured at 74 deg F:
 1. Outside of Jamb Installation: Width and length as indicated, with terminations between shades of end-to-end installations at centerlines of mullion or other defined vertical separations between openings.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances, operational clearances, locations of connections to building electrical system, and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 ROLLER SHADE INSTALLATION

- A. Install roller shades level, plumb, and aligned with adjacent units per manufacturer's written instructions.
 - 1. Opaque Shadebands: Located so shadeband is not closer than 2 inches to interior face of glass. Allow clearances for window operation hardware.
- B. Electrical Connections: Connect motor-operated roller shades to building electrical system.
- C. Roller Shade Locations: As indicated on Drawings.

3.4 ADJUSTING

- A. Adjust and balance roller shades to operate smoothly, easily, safely, and free from binding or malfunction throughout entire operational range.

3.5 CLEANING AND PROTECTION

- A. Clean roller shade surfaces, after installation, according to manufacturer's written instructions.
- B. Provide final protection and maintain conditions, in a manner acceptable to manufacturer and Installer, that ensure that roller shades are without damage or deterioration at time of Substantial Completion.
- C. Replace damaged roller shades that cannot be repaired, in a manner approved by Commissioner, before time of Substantial Completion.

3.6 DEMONSTRATION

- A. Engage a factory-authorized service representative to instruct City of New York's personnel to adjust and operate motor-operated roller shades.

END OF SECTION 122413

SECTION 220513 - COMMON MOTOR REQUIREMENTS FOR PLUMBING EQUIPMENT

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section includes general requirements for single-phase and polyphase, general-purpose, horizontal, small and medium, squirrel-cage induction motors for use on alternating-current power systems up to 600 V and installed at equipment manufacturer's factory or shipped separately by equipment manufacturer for field installation.

1.3 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 SINGLE-PHASE MOTORS

- A. Bearings: Prelubricated, antifriction ball bearings or sleeve bearings suitable for radial and thrust loading.
- B. Motors 1/20 HP and Smaller: Shaded-pole type.
- C. Thermal Protection: Internal protection to automatically open power supply circuit to motor when winding temperature exceeds a safe value calibrated to temperature rating of motor insulation. Thermal-protection device shall automatically reset when motor temperature returns to normal range.

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 220513

SECTION 220516 - EXPANSION FITTINGS AND LOOPS FOR PLUMBING PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Packless expansion joints.
 - 2. Grooved-joint expansion joints.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Engineering services Submittals: For each anchor and alignment guide, including analysis data, signed and sealed by the qualified professional engineer responsible for their preparation.
 - 1. Design Calculations: Calculate requirements for thermal expansion of piping systems and for selecting and designing expansion joints, loops, and swing connections.
 - 2. Anchor Details: Detail fabrication of each anchor indicated. Show dimensions and methods of assembly and attachment to building structure.
 - 3. Alignment Guide Details: Detail field assembly and attachment to building structure.
 - 4. Schedule: Indicate type, manufacturer's number, size, material, pressure rating, end connections, and location for each expansion joint.

1.5 INFORMATIONAL SUBMITTALS

- A. Welding certificates.

1.6 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For expansion joints.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Welding Qualifications: Qualify procedures and personnel in accordance with AWS D1.1/D1.1M.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Compatibility: Provide products suitable for piping service fluids, materials, working pressures, and temperatures.
- B. Capability: Provide products and installations to accommodate maximum axial movement as scheduled or indicated on Drawings.

2.2 PACKLESS EXPANSION JOINTS

A. Rubber Union Connector Expansion Joints:

- 1. Source Limitations: Obtain rubber union connector expansion joints from single manufacturer.
- 2. Material: Twin reinforced-rubber spheres.
- 3. Minimum Pressure Rating: 150 psig at 170 deg F unless otherwise indicated.
- 4. End Connections for NPS 2 and Smaller: Threaded.

B. Flexible-Hose Packless Expansion Joints:

- 1. Source Limitations: Obtain flexible-hose packless expansion joints from single manufacturer.
- 2. Description: Manufactured assembly with inlet and outlet elbow fittings and two flexible-metal-hose legs joined by long-radius, 180-degree return bend or center section of flexible hose.
- 3. Flexible Hose: Corrugated-metal inner hoses and braided outer sheaths.
- 4. Expansion Joints for Copper Tubing NPS 2 and Smaller: Copper-alloy fittings with solder-joint end connections.
 - a. Bronze hoses and single-braid bronze sheaths with 450 psig at 70 deg F and 340 psig at 450 deg F ratings.
 - b. Bronze hoses and double-braid bronze sheaths with 700 psig at 70 deg F and 500 psig at 450 deg F ratings.
- 5. Expansion Joints for Copper Tubing NPS 2-1/2 to NPS 4: Copper-alloy fittings with threaded end connections.
 - a. Stainless steel hoses and single-braid, stainless steel sheaths with 300 psig at 70 deg F and 225 psig at 450 deg F ratings.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION OF EXPANSION JOINTS - GENERAL

- A. Install expansion joints of sizes matching sizes of piping in which they are installed.

3.3 INSTALLATION OF PACKLESS EXPANSION JOINTS

- A. Install metal-bellows expansion joints in accordance with EJMA's "Standards of the Expansion Joint Manufacturers Association, Inc."
- B. Install rubber packless expansion joints in accordance with FSA-PSJ-703.

3.4 INSTALLATION OF PIPE LOOP AND SWING CONNECTIONS

- A. Install pipe loops cold-sprung in tension or compression as required to partly absorb tension or compression produced during anticipated change in temperature.
- B. Connect risers and branch connections to mains with at least five pipe fittings, including tee in main.
- C. Connect risers and branch connections to terminal units with at least four pipe fittings, including tee in riser.
- D. Connect mains and branch connections to terminal units with at least four pipe fittings, including tee in main.

END OF SECTION 220516

SECTION 220517 - SLEEVES AND SLEEVE SEALS FOR PLUMBING PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Sleeves without waterstop.
 - 2. Sleeves with waterstop.
 - 3. Stack-sleeve fittings.
 - 4. Sleeve-seal systems.
 - 5. Grout.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Sustainable Design Submittals:
 - 1. Product Data: For sealants, indicating VOC content.

1.5 INFORMATIONAL SUBMITTALS

- A. Field quality-control reports.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 SLEEVES WITHOUT WATERSTOP

- A. Cast-Iron Pipe Sleeves: Cast or fabricated of cast or ductile iron and equivalent to ductile-iron pressure pipe, with plain ends.
- B. Steel Pipe Sleeves: ASTM A53/A53M, Type E, Grade B, Schedule 40, hot-dip galvanized, with plain ends.
- C. Steel Sheet Sleeves: ASTM A653/A653M, 0.0239-inch minimum thickness; hot-dip galvanized, round tube closed with welded longitudinal joint.

2.2 SLEEVES WITH WATERSTOP

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Advance Products & Systems, LLC.
 - 2. CALPICO, Inc.
 - 3. GPT; an EnPro Industries company.
 - 4. Or approved equal.
- B. Description: Manufactured galvanized steel, sleeve-type, waterstop assembly made for imbedding in concrete slab or wall.

2.3 STACK-SLEEVE FITTINGS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Jay R. Smith Mfg Co.
 - 2. Wade; a subsidiary of McWane Inc.
 - 3. Zurn Industries, LLC.
 - 4. Or approved equal.
- B. Description: Manufactured, Dura-coated or Duco-coated cast-iron sleeve with integral clamping flange for use in waterproof floors and roofs. Include clamping ring, bolts, and nuts for membrane flashing.
 - 1. Underdeck Clamp: Clamping ring with setscrews.

2.4 SLEEVE-SEAL SYSTEMS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Advance Products & Systems, LLC.
 - 2. CALPICO, Inc.
 - 3. GPT; an EnPro Industries company.
 - 4. Or approved equal.

- B. Description: Modular sealing-element unit, designed for field assembly, for filling annular space between piping and sleeve.
 - 1. Designed to form a hydrostatic seal of 20 psig minimum.
 - 2. Sealing Elements: EPDM-rubber interlocking links shaped to fit surface of pipe. Include type and number required for pipe material and size of pipe.
 - 3. Pressure Plates: Stainless steel.
 - 4. Connecting Bolts and Nuts: Stainless steel of length required to secure pressure plates to sealing elements.

2.5 GROUT

- A. Description: Nonshrink, for interior and exterior sealing openings in non-fire-rated walls or floors.
- B. Standard: ASTM C1107/C1107M, Grade B, post-hardening and volume-adjusting, dry, hydraulic-cement grout.
- C. Design Mix: 5000 psi, 28-day compressive strength.
- D. Packaging: Premixed and factory packaged.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION OF SLEEVES - GENERAL

- A. Install sleeves for piping passing through penetrations in floors, partitions, roofs, and walls.
- B. For sleeves that will have sleeve-seal system installed, select sleeves of size large enough to provide 1-inch annular clear space between piping and concrete slabs and walls.
 - 1. Sleeves are not required for core-drilled holes.
- C. Install sleeves in concrete floors, concrete roof slabs, and concrete walls as new slabs and walls are constructed.
 - 1. Permanent sleeves are not required for holes in slabs formed by molded-PE or -PP sleeves.
 - 2. Cut sleeves to length for mounting flush with both surfaces.
 - a. Exception: Extend sleeves installed in floors of mechanical equipment areas or other wet areas 2 inches above finished floor level.
 - 3. Using grout, seal the space outside of sleeves in slabs and walls without sleeve-seal system.

- D. Install sleeves for pipes passing through interior partitions.
 - 1. Cut sleeves to length for mounting flush with both surfaces.
 - 2. Install sleeves that are large enough to provide 1/4-inch annular clear space between sleeve and pipe or pipe insulation.
 - 3. Seal annular space between sleeve and piping or piping insulation; use joint sealants appropriate for size, depth, and location of joint.

- E. Fire-Resistance-Rated Penetrations, Horizontal Assembly Penetrations, and Smoke Barrier Penetrations: Maintain indicated fire or smoke rating of walls, partitions, ceilings, and floors at pipe penetrations. Seal pipe penetrations with fire- and smoke-stop materials. Comply with requirements for firestopping and fill materials specified in Section 078413 "Penetration Firestopping."

3.3 INSTALLATION OF SLEEVES WITH WATERSTOP

- A. Install sleeve with waterstop as new walls and slabs are constructed.
- B. Assemble fitting components of length to be flush with both surfaces of concrete slabs and walls. Position waterstop flange to be centered in concrete slab or wall.
- C. Secure nailing flanges to concrete forms.
- D. Using grout, seal the space around outside of sleeves.

3.4 INSTALLATION OF STACK-SLEEVE FITTINGS

- A. Install stack-sleeve fittings in new slabs as slabs are constructed.
 - 1. Install fittings that are large enough to provide 1/4-inch annular clear space between sleeve and pipe or pipe insulation.
 - 2. Secure flashing between clamping flanges for pipes penetrating floors with membrane waterproofing.
 - 3. Install section of cast-iron soil pipe to extend sleeve to 2 inches above finished floor level.
 - 4. Extend cast-iron sleeve fittings below floor slab as required to secure clamping ring if ring is specified.
 - 5. Using waterproof silicone sealant, seal space between top hub of stack-sleeve fitting and pipe.
- B. Fire-Resistance-Rated Penetrations, Horizontal Assembly Penetrations, and Smoke Barrier Penetrations: Maintain indicated fire or smoke rating of floors at pipe penetrations. Seal pipe penetrations with fire- and smoke-stop materials. Comply with requirements for firestopping specified in Section 078413 "Penetration Firestopping."

3.5 INSTALLATION OF SLEEVE-SEAL SYSTEMS

- A. Install sleeve-seal systems in sleeves in exterior concrete walls and slabs-on-grade at service piping entries into building, and passing through exterior walls.

- B. Select type, size, and number of sealing elements required for piping material and size and for sleeve ID or hole size. Position piping in center of sleeve. Center piping in penetration, assemble sleeve-seal system components, and install in annular space between piping and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make a watertight seal.

3.6 SLEEVE SCHEDULE

- A. Use sleeves and sleeve seals for the following piping-penetration applications:
 - 1. Exterior Concrete Walls above and below Grade:
 - a. Sleeves with waterstops.
 - 1) Select sleeve size to allow for 1-inch annular clear space between piping and sleeve for installing sleeve-seal system.
 - 2. Concrete Slabs-on-Grade:
 - a. Sleeves with waterstops.
 - 1) Select sleeve size to allow for 1-inchannular clear space between piping and sleeve for installing sleeve-seal system.
 - 3. Concrete Slabs above Grade:
 - a. Sleeves with waterstops or stack-sleeve fittings.
 - 4. Interior Partitions:
 - a. Sleeves without waterstops.

END OF SECTION 220517

SECTION 220518 - ESCUTCHEONS FOR PLUMBING PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Escutcheons.
 - 2. Floor plates.

1.3 DEFINITIONS

- A. Existing Piping to Remain: Existing piping that is not to be removed and that is not otherwise indicated to be removed and salvaged, or removed and reinstalled.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. BrassCraft Manufacturing Co.; a Masco company.
 - 2. Dearborn Brass.
 - 3. Jones Stephens Corp.

4. Keeney Manufacturing Company (The).
5. Mid-America Fittings, Inc.
6. Or approved equal.

2.2 ESCUTCHEONS

- A. One-Piece, Steel Type: With polished, chrome-plated finish and setscrew fastener.
- B. One-Piece, Stainless-Steel Type: With polished stainless-steel finish.
- C. One-Piece, Cast-Brass Type: With polished, chrome-plated finish and setscrew fastener.
- D. One-Piece, Deep-Pattern Type: Deep-drawn, box-shaped steel with polished, chrome-plated finish and spring-clip fasteners.
- E. One-Piece, Stamped-Steel Type: With polished, chrome-plated finish and spring-clip fasteners.

2.3 FLOOR PLATES

- A. Split Floor Plates: Cast brass with concealed hinge.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION

- A. Install escutcheons for piping penetrations of walls, ceilings, and finished floors.
- B. Install escutcheons with ID to closely fit around pipe, tube, and insulation of insulated piping and with OD that completely covers opening.
 1. Escutcheons for New Piping:
 - a. Piping with Fitting or Sleeve Protruding from Wall: One-piece, deep pattern.
 - b. Insulated Piping: One-piece stamped steel with polished, chrome-plated finish.
 - c. Bare Piping at Wall and Floor Penetrations in Finished Spaces: One-piece cast brass with polished, chrome-plated finish.
 - d. Bare Piping at Ceiling Penetrations in Finished Spaces: One-piece cast brass with polished, chrome-plated finish.
 - e. Bare Piping in Unfinished Service Spaces: One-piece stamped with polished, chrome-plated finish.
 - f. Bare Piping in Equipment Rooms: One-piece stamped steel with polished, chrome-plated finish.

2. Escutcheons for Piping to Remain:
 - a. Chrome-Plated Piping: Split-casting, stamped steel with concealed hinge with polished, chrome-plated finish.
 - b. Insulated Piping: Split-plate, stamped steel with concealed hinge with polished, chrome-plated finish
 - c. Bare Piping at Wall and Floor Penetrations in Finished Spaces: Split-plate, stamped steel with concealed hinge with polished, chrome-plated finish.
 - d. Bare Piping at Ceiling Penetrations in Finished Spaces: Split-plate, stamped steel with concealed hinge with polished, chrome-plated finish.
 - e. Bare Piping in Unfinished Service Spaces: Split-plate, stamped steel with concealed hinge with polished, chrome-plated finish.
 - f. Bare Piping in Equipment Rooms: Split-plate, stamped steel with concealed hinge with polished, chrome-plated finish
- C. Install floor plates for piping penetrations of equipment-room floors.
- D. Install floor plates with ID to closely fit around pipe, tube, and insulation of piping and with OD that completely covers opening.
 1. New Piping: One-piece, floor plate.
 2. Existing Piping: Split floor plate.

3.3 FIELD QUALITY CONTROL

- A. Using new materials, replace broken and damaged escutcheons and floor plates.

END OF SECTION 220518

SECTION 220519 - METERS AND GAGES FOR PLUMBING PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:

1. Bimetallic-actuated thermometers.
2. Filled-system thermometers.
3. Liquid-in-glass thermometers.
4. Thermowells.
5. Pressure gages.
6. Gage attachments.
7. Test plugs.
8. Test-plug kits.

- B. Related Requirements:

1. Section 221119 "Domestic Water Piping Specialties" for water meters.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.

1.5 INFORMATIONAL SUBMITTALS

- A. Product Certificates: For each type of meter and gage.

1.6 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For meters and gages to include in operation and maintenance manuals.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 BIMETALLIC-ACTUATED THERMOMETERS

- A. Standard: ASME B40.200.
- B. Case: Liquid-filled and sealed types; stainless steel with 3-inch nominal diameter.
- C. Dial: Nonreflective aluminum with permanently etched scale markings and scales in deg F and deg C.
- D. Connector Type(s): Union joint, rigid, back, with unified-inch screw threads.
- E. Connector Size: 1/2 inch, with ASME B1.1 screw threads.
- F. Stem: 0.25 or 0.375 inch in diameter; stainless steel.
- G. Window: Plain glass.
- H. Ring: Stainless steel.
- I. Element: Bimetal coil.
- J. Pointer: Dark-colored metal.
- K. Accuracy: Plus or minus 1 percent of scale range.

2.2 FILLED-SYSTEM THERMOMETERS

- A. Direct-Mounted, Metal-Case, Vapor-Actuated Thermometers:
1. Standard: ASME B40.200.
 2. Case: Sealed type, cast aluminum or drawn steel; 5-inch nominal diameter.
 3. Element: Bourdon tube or other type of pressure element.
 4. Movement: Mechanical, dampening type, with link to pressure element and connection to pointer.
 5. Dial: Nonreflective aluminum with permanently etched scale markings graduated in deg F and deg C.
 6. Pointer: Dark-colored metal.
 7. Window: Glass.
 8. Ring: Stainless steel.
 9. Connector Type(s): Union joint, adjustable, 180 degrees in vertical plane, 360 degrees in horizontal plane, with locking device rigid, back; with ASME B1.1 screw threads.
 10. Thermal System: Liquid-filled bulb in copper-plated steel, aluminum, or brass stem and of length to suit installation.

- a. Design for Thermowell Installation: Bare stem.
11. Accuracy: Plus or minus 1 percent of scale range.
- B. Direct-Mounted, Plastic-Case, Vapor-Actuated Thermometers:
 1. Standard: ASME B40.200.
 2. Case: Sealed type, plastic; 5-inch nominal diameter.
 3. Element: Bourdon tube or other type of pressure element.
 4. Movement: Mechanical, with link to pressure element and connection to pointer.
 5. Dial: Nonreflective aluminum with permanently etched scale markings graduated in deg F and deg C.
 6. Pointer: Dark-colored metal.
 7. Window: Glass.
 8. Ring: Metal.
 9. Connector Type(s): Union joint, adjustable, 180 degrees in vertical plane, 360 degrees in horizontal plane, with locking device rigid, back; with ASME B1.1 screw threads.
 10. Thermal System: Liquid-filled bulb in copper-plated steel, aluminum, or brass stem and of length to suit installation.
 - a. Design for Thermowell Installation: Bare stem.
 11. Accuracy: Plus or minus 1 percent of scale range.

2.3 LIQUID-IN-GLASS THERMOMETERS

- A. Metal-Case, Compact-Style, Liquid-in-Glass Thermometers:
 1. Standard: ASME B40.200.
 2. Case: Cast aluminum; 6-inch nominal size.
 3. Case Form: Straight unless otherwise indicated.
 4. Tube: Glass with magnifying lens and blue organic liquid.
 5. Tube Background: Nonreflective aluminum with permanently etched scale markings graduated in deg F and deg C.
 6. Window: Glass or plastic.
 7. Stem: Aluminum or brass and of length to suit installation.
 - a. Design for Thermowell Installation: Bare stem.
 8. Connector: 3/4 inch, with ASME B1.1 screw threads.
 9. Accuracy: Plus or minus 1 percent of scale range or one scale division, to a maximum of 1.5 percent of scale range.
- B. Metal-Case, Industrial-Style, Liquid-in-Glass Thermometers:
 1. Standard: ASME B40.200.
 2. Case: Cast aluminum; 7-inch nominal size unless otherwise indicated.
 3. Case Form: Straight unless otherwise indicated.
 4. Tube: Glass with magnifying lens and blue organic liquid.

5. Tube Background: Nonreflective aluminum with permanently etched scale markings graduated in deg F and deg C.
6. Window: Glass.
7. Stem: Aluminum and of length to suit installation.
 - a. Design for Thermowell Installation: Bare stem.
8. Connector: 1-1/4 inches, with ASME B1.1 screw threads.
9. Accuracy: Plus or minus 1 percent of scale range or one scale division, to a maximum of 1.5 percent of scale range.

2.4 THERMOWELLS

A. Thermowells, Feed Free:

1. Standard: ASME B40.200.
2. Description: Pressure-tight, socket-type fitting made for insertion into piping tee fitting.
3. Material for Use with Copper Tubing: CNR.
4. Type: Stepped shank unless straight or tapered shank is indicated.
5. External Threads: NPS 1/2, NPS 3/4, or NPS 1, ASME B1.20.1 pipe threads.
6. Internal Threads: 1/2, 3/4, and 1 inch, with ASME B1.1 screw threads.
7. Bore: Diameter required to match thermometer bulb or stem.
8. Insertion Length: Length required to match thermometer bulb or stem.
9. Lagging Extension: Include on thermowells for insulated piping and tubing.
10. Bushings: For converting size of thermowell's internal screw thread to size of thermometer connection.

2.5 PRESSURE GAGES

A. Direct-Mounted, Metal-Case, Dial-Type Pressure Gages:

1. Standard: ASME B40.100.
2. Case: Liquid-filled type; cast aluminum or drawn steel; 6-inch nominal diameter.
3. Pressure-Element Assembly: Bourdon tube unless otherwise indicated.
4. Pressure Connection: Brass, with NPS 1/2, ASME B1.20.1 pipe threads and bottom-outlet type unless back-outlet type is indicated.
5. Movement: Mechanical, with link to pressure element and connection to pointer.
6. Dial: Nonreflective aluminum with permanently etched scale markings graduated in psi and kPa.
7. Pointer: Dark-colored metal.
8. Window: Glass.
9. Ring: Brass.
10. Accuracy: Grade A, plus or minus 1 percent of middle half of scale range.

B. Direct-Mounted, Plastic-Case, Dial-Type Pressure Gages:

1. Standard: ASME B40.100.
2. Case: Sealed type; plastic; 4-1/2-inch nominal diameter.
3. Pressure-Element Assembly: Bourdon tube unless otherwise indicated.

4. Pressure Connection: Brass, with NPS 1/4 or NPS 1/2, ASME B1.20.1 pipe threads and bottom-outlet type unless back-outlet type is indicated.
5. Movement: Mechanical, with link to pressure element and connection to pointer.
6. Dial: Nonreflective aluminum with permanently etched scale markings graduated in psi.
7. Pointer: Dark-colored metal.
8. Window: Glass or plastic.
9. Accuracy: Grade A, plus or minus 1 percent of middle half of scale range.

2.6 GAGE ATTACHMENTS

- A. Snubbers: ASME B40.100, brass; with NPS 1/2, ASME B1.20.1 pipe threads and piston-type surge-dampening device. Include extension for use on insulated piping.
- B. Valves: Brass ball, with NPS 1/2, ASME B1.20.1 pipe threads.

2.7 TEST PLUGS

- A. Description: Test-station fitting made for insertion into piping tee fitting.
- B. Body: Brass or stainless steel with core inserts and gasketed and threaded cap. Include extended stem on units to be installed in insulated piping.
- C. Thread Size: NPS 1/2, ASME B1.20.1 pipe thread.
- D. Minimum Pressure and Temperature Rating: 500 psig at 200 deg F.
- E. Core Inserts: EPDM self-sealing rubber.

2.8 TEST-PLUG KITS

- A. Furnish one test-plug kit(s) containing one thermometer(s), one pressure gage and adapter, and carrying case. Thermometer sensing elements, pressure gage, and adapter probes shall be of diameter to fit test plugs and of length to project into piping.
- B. Low-Range Thermometer: Small, bimetallic insertion type with 1- to 2-inch-diameter dial and tapered-end sensing element. Dial range shall be at least 25 to 125 deg F.
- C. Carrying Case: Metal or plastic, with formed instrument padding.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION

- A. Install thermowells with socket extending a minimum of 2 inches into fluid and in vertical position in piping tees.
- B. Install thermowells of sizes required to match thermometer connectors. Include bushings if required to match sizes.
- C. Install thermowells with extension on insulated piping.
- D. Fill thermowells with heat-transfer medium.
- E. Install direct-mounted thermometers in thermowells and adjust vertical and tilted positions.
- F. Install remote-mounted thermometer bulbs in thermowells and install cases on panels; connect cases with tubing and support tubing to prevent kinks. Use minimum tubing length.
- G. Install direct-mounted pressure gages in piping tees with pressure gage located on pipe at the most readable position.
- H. Install remote-mounted pressure gages on panel.
- I. Install valve and snubber in piping for each pressure gage for fluids.
- J. Install test plugs in piping tees.
- K. Install thermometers in the following locations:
 - 1. Inlet and outlet of each water heater.
 - 2. Inlet and outlet of each domestic hot-water storage tank.
- L. Install pressure gages in the following locations:
 - 1. Building water service entrance into building.

3.3 CONNECTIONS

- A. Install meters and gages adjacent to machines and equipment to allow service and maintenance of meters, gages, machines, and equipment.

3.4 ADJUSTING

- A. Adjust faces of meters and gages to proper angle for best visibility.

3.5 THERMOMETER SCHEDULE

- A. Thermometers at inlet and outlet of each domestic water heater shall be the following:

1. Liquid-filled, bimetallic-actuated type.
2. Test plug with EPDM self-sealing rubber inserts.

B. Thermometers at inlet and outlet of each domestic hot-water storage tank shall be the following:

1. Liquid-filled, bimetallic-actuated type.

C. Thermometer stems shall be of length to match thermowell insertion length.

3.6 THERMOMETER SCALE-RANGE SCHEDULE

A. Scale Range for Domestic Cold-Water Piping:

1. 0 to 100 deg F and minus 20 to plus 50 deg C.

B. Scale Range for Domestic Hot-Water Piping:

1. 0 to 250 deg F and 0 to 150 deg C.

3.7 PRESSURE-GAGE SCHEDULE

A. Pressure gages at discharge of each water service into building shall be the following:

1. Liquid-filled, direct-mounted, metal case.

B. Pressure gages at inlet and outlet of each water pressure-reducing valve shall be the following:

1. Liquid-filled Solid-front, pressure-relief, direct-mounted, metal case.

3.8 PRESSURE-GAGE SCALE-RANGE SCHEDULE

A. Scale Range for Water Service Piping:

1. 0 to 160 psi and 0 to 1100 kPa.

B. Scale Range for Domestic Water Piping:

1. 0 to 160 psi and 0 to 1100 kPa.

END OF SECTION 220519

SECTION 220523.12 - BALL VALVES FOR PLUMBING PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Brass ball valves.
 - 2. Bronze ball valves.

1.3 DEFINITIONS

- A. CWP: Cold working pressure.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of valve.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Prepare valves for shipping as follows:
 - 1. Protect internal parts against rust and corrosion.
 - 2. Protect threads, flange faces, and soldered ends.
 - 3. Set ball valves open to minimize exposure of functional surfaces.
- B. Use the following precautions during storage:
 - 1. Maintain valve end protection.

2. Store valves indoors and maintain at higher-than-ambient-dew-point temperature. If outdoor storage is necessary, store valves off the ground in watertight enclosures.
- C. Use sling to handle large valves; rig sling to avoid damage to exposed parts. Do not use operating handles or stems as lifting or rigging points.

PART 2 - PRODUCTS

2.1 SOURCE LIMITATIONS

- A. Obtain each type of valve from single source from single manufacturer.

2.2 PERFORMANCE REQUIREMENTS

- A. Standards:

1. Domestic water valves intended to convey or dispense water for human consumption must comply with the U.S. Safe Drinking Water Act (SDWA), NSF 61 and NSF 372, or must be certified to be in compliance with NSF 61 and NSF 372 (by an ANSI-accredited third-party certification body) that the weighted average lead content at wetted surfaces is less than or equal to 0.25 percent.

- B. ASME Compliance:

1. ASME B1.20.1 for threads for threaded end valves.
2. ASME B16.18 for cast copper solder-joint connections.
3. ASME B16.22 for wrought copper and copper alloy solder-joint connections.
4. ASME B16.34 for flanged and threaded end connections
5. ASME B31.9 for building services piping valves.

- C. Provide bronze valves made with dezincification-resistant materials. Bronze valves made with copper alloy (brass) containing more than 15 percent zinc are not permitted.

- D. Valve Pressure-Temperature Ratings: Not less than indicated and as required for system pressures and temperatures.

- E. Valve Sizes: Same as upstream piping unless otherwise indicated.

- F. Valves in Insulated Piping:

1. Provide 2-inch extended neck stems.
2. Extended operating handles with nonthermal-conductive covering material and protective sleeves that allow operation of valves without breaking vapor seals or disturbing insulation.
3. Memory stops that are fully adjustable after insulation is applied.

2.3 BRASS BALL VALVES

- A. Brass Ball Valves, One Piece, Threaded Ends:

1. Standard: MSS SP-110, MSS SP-145.
2. CWP Rating: 400 psig.
3. Body Design: One piece.
4. Body Material: Forged brass or bronze.
5. Ends: Threaded.
6. Seats: PTFE.
7. Stem: Brass or stainless steel.
8. Ball: Chrome-plated brass or stainless steel.
9. Port: Reduced.

B. Brass Ball Valves, Two Piece with Full Port and Brass Trim, Press Ends:

1. Standard: MSS SP-110; MSS SP-145; IAPMO/ANSI Z1157.
2. CWP Rating: Minimum 200 psig.
3. Body Design: Two piece.
4. Body Material: Forged brass.
5. Ends: Press.
6. Press-End Connections Rating: Minimum 200 psig.
7. Seats: PTFE or RPTFE.
8. Stem: Brass.
9. Ball: Chrome-plated brass.
10. Port: Full.
11. O-Ring Seal: Buna-N or EPDM.

2.4 BRONZE BALL VALVES

A. Bronze Ball Valves, One Piece with Bronze Trim, Threaded Ends:

1. Standard: MSS SP-110; MSS SP-145.
2. CWP Rating: 400 psig.
3. Body Design: One piece.
4. Body Material: Bronze.
5. Ends: Threaded.
6. Seats: PTFE.
7. Stem: Bronze.
8. Ball: Chrome-plated brass.
9. Port: Reduced.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.**

3.2 EXAMINATION

- A. Examine valve interior for cleanliness, freedom from foreign matter, and corrosion. Remove special packing materials, such as blocks, used to prevent disc movement during shipping and handling.
- B. Operate valves in positions from fully open to fully closed. Examine guides and seats made accessible by such operations.
- C. Examine threads on valve and mating pipe for form and cleanliness.
- D. Examine mating flange faces for conditions that might cause leakage. Check bolting for proper size, length, and material. Verify that gasket is of proper size, that its material composition is suitable for service, and that it is free from defects and damage.
- E. Do not attempt to repair defective valves; replace with new valves. Remove defective valves from site.

3.3 INSTALLATION OF VALVES

- A. Install valves with unions or flanges at each piece of equipment arranged to allow space for service, maintenance, and equipment removal without system shutdown.
- B. Provide support to piping adjacent to valves such that no force is imposed upon valves.
- C. Locate valves for easy access.
- D. For valves in horizontal piping, install valves with stem at or above center of pipe.
- E. Install valves in position to allow full valve actuation movement.
- F. Valve Tags: Comply with requirements in Section 220553 "Identification for Plumbing Piping and Equipment" for valve tags and schedules.
- G. Adhere to manufacturer's written installation instructions. When soldering or brazing valves, do not heat valves above maximum permitted temperature. Do not use solder with melting point temperature above valve manufacturer's recommended maximum.

3.4 ADJUSTING

- A. Adjust or replace valve packing after piping systems have been tested and put into service, but before final adjusting and balancing. Replace valves exhibiting leakage.

3.5 GENERAL REQUIREMENTS FOR VALVE APPLICATIONS

- A. If valves with specified CWP ratings are unavailable, provide the same types of valves with higher CWP ratings.
- B. Select valves with the following end connections:

1. For Copper Tubing, NPS 2 and Smaller: Threaded ends except where solder-joint valve-end option or press-end option is indicated in valve schedules below.
2. For Copper Tubing, NPS 2-1/2 to NPS 4: Flanged ends except where threaded valve-end option is indicated in valve schedules below.
3. For Copper Tubing, NPS 5 and Larger: Flanged ends.

3.6 DOMESTIC HOT- AND COLD-WATER VALVE SCHEDULE

A. Pipe NPS 2 and Smaller:

1. Brass ball valve, one piece. Provide with threaded or solder-joint ends.
2. Bronze ball valve, one piece with bronze trim. Provide with threaded or solder-joint ends.

B. Pipe NPS 2-1/2 and Larger:

1. Iron Valves, NPS 2-1/2 to NPS 4: May be provided with threaded ends instead of flanged ends.
2. Iron ball valves, Class 150.

END OF SECTION 220523.12

SECTION 220523.14 - CHECK VALVES FOR PLUMBING PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Bronze, swing check valves.

1.3 DEFINITIONS

- A. CWP: Cold working pressure.
- B. NBR: Nitrile butadiene rubber (also known as Buna-N).

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of valve.

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Prepare valves for shipping as follows:
 - 1. Protect internal parts against rust and corrosion.
 - 2. Protect threads, flange faces, grooves, press connections, and weld ends.
 - 3. Set check valves in either closed or open position.
- B. Use the following precautions during storage:
 - 1. Maintain valve end protection.
 - 2. Store valves indoors and maintain at higher-than-ambient-dew-point temperature. If outdoor storage is necessary, store valves off the ground in watertight enclosures.

- C. Use sling to handle large valves; rig sling to avoid damage to exposed parts. Do not use stems or other components as lifting or rigging points unless specifically indicated for this purpose in manufacturer's instructions.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 SOURCE LIMITATIONS

- A. Obtain each type of valve from single source from single manufacturer.

2.2 PERFORMANCE REQUIREMENTS

- A. Standards:

- 1. Domestic water piping check valves intended to convey or dispense water for human consumption are to comply with the U.S. Safe Drinking Water Act (SDWA), and NSF 61/NSF 372, or to be certified in compliance with NSF 61/NSF 372 by an American National Standards Institute (ANSI)-accredited third-party certification body that the weighted average lead content at wetted surfaces is less than or equal to 0.25 percent.

- B. ASME Compliance:

- 1. ASME B1.20.1 for threads for threaded end valves.
- 2. ASME B16.18 for cast-copper solder joint.
- 3. ASME B16.22 for wrought copper solder joint.
- 4. ASME B16.51 for press joint.
- 5. ASME B31.9 for building services piping valves.

- C. AWWA Compliance: Comply with AWWA C606 for groove-end connections.

- D. Provide bronze valves made with dezincification-resistant materials. Bronze valves made with copper alloy (brass) containing more than 15 percent zinc are unacceptable.

- E. Valve Pressure-Temperature Ratings: Not less than indicated and as required for system pressures and temperatures.

- F. Valve Sizes: Same as upstream piping unless otherwise indicated.

- G. Valve Bypass and Drain Connections: MSS SP-45.

2.3 BRONZE SWING CHECK VALVES

- A. Bronze, Swing Check Valves with Bronze Disc, Class 150:
 - 1. Description:
 - a. Standard: MSS SP-80, Type 3.
 - b. CWP Rating: 300 psig.
 - c. Body Design: Horizontal flow.
 - d. Body Material: ASTM B62, bronze.
 - e. Ends: Threaded or soldered. See valve schedule articles.
 - f. Disc: Bronze.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine valve interior for cleanliness, freedom from foreign matter, and corrosion. Remove special packing materials, such as blocks, used to prevent disc movement during shipping and handling.
- B. Operate valves in positions from fully open to fully closed. Examine guides and seats made accessible by such operations.
- C. Examine threads on valve and mating pipe for form and cleanliness.
- D. Examine mating flange faces for conditions that might cause leakage. Check bolting for proper size, length, and material. Verify that gasket is of proper size, that its material composition is suitable for service, and that it is free from defects and damage.
- E. Examine press fittings to verify they have been properly press.
- F. Do not attempt to repair defective valves; replace with new valves.

3.3 INSTALLATION OF VALVES

- A. Install valves with unions or flanges at each piece of equipment arranged to allow service, maintenance, and equipment removal without system shutdown.
- B. Provide support of piping adjacent to valves such that no force is imposed upon valves.
- C. Locate valves for easy access and where not blocked by equipment, other piping, or building components.

- D. Install valves so that stems are horizontal or slope upward from centerline of pipe.
- E. Install valves in position that does not project into aisles or block access to other equipment.
- F. Install valves in position to allow full stem and manual operator movement.
- G. Verify that joints of each valve have been properly installed and sealed to assure there is no leakage or damage.
- H. Check Valves: Install check valves for proper direction of flow.
 - 1. Swing Check Valves: In horizontal position with hinge pin level.
 - 2. Center-Guided Check Valves: In horizontal or vertical position, between flanges.
 - 3. Lift Check Valves: With stem upright and plumb.
- I. Install valve tags. Comply with requirements in Section 220553 "Identification for Plumbing Piping and Equipment" for valve tags and schedules.
- J. Adhere to manufacturer's installation instructions. When soldering or brazing valves, do not heat valves above maximum permitted temperature. Do not use solder with melting point temperature above valve manufacturer's recommended maximum.

3.4 ADJUSTING

- A. Adjust or replace valve packing after piping systems have been tested and put into service but before final adjusting and balancing. Replace valves if persistent leaking occurs.

3.5 GENERAL REQUIREMENTS FOR VALVE APPLICATIONS

- A. If valve applications are not indicated, use the following:
 - 1. Pump-Discharge Check Valves:
 - a. NPS 2 and Smaller: Bronze, swing check valves with bronze or nonmetallic disc.
 - b. NPS 2-1/2 and Larger for Domestic Water: Iron, swing check valves with lever and weight or spring; or iron, center-guided, metal-seat or resilient-seat check valves.
 - c. NPS 2-1/2 and Larger for Sanitary Waste and Storm Drainage: Iron, swing check valves with lever and weight or spring.
- B. If valves with specified CWP ratings are unavailable, the same types of valves with higher CWP ratings may be substituted.
- C. End Connections:
 - 1. For Copper Tubing, NPS 2 and Smaller: Threaded, soldered, or press-end connections.
 - 2. For Copper Tubing, NPS 2-1/2 to NPS 4: Flange or threaded.
 - 3. For Copper Tubing, NPS 5 and Larger: Flange.

3.6 DOMESTIC HOT- AND COLD-WATER VALVE SCHEDULE

A. Pipe NPS 2and Smaller:

1. Bronze, swing check valves with bronze disc, Class 150, with soldered or threaded end connections.

END OF SECTION 220523.14

SECTION 220523.15 - GATE VALVES FOR PLUMBING PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Bronze gate valves.

1.3 DEFINITIONS

- A. CWP: Cold working pressure.
- B. NRS: Nonrising stem.
- C. RS: Rising stem.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of valve.

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Prepare valves for shipping as follows:
 - 1. Protect internal parts against rust and corrosion.
- B. Use the following precautions during storage:
 - 1. Maintain valve end protection.
 - 2. Store valves indoors and maintain at higher-than-ambient-dew-point temperature. If outdoor storage is necessary, store valves off the ground in watertight enclosures.

- C. Use sling to handle large valves; rig sling to avoid damage to exposed parts. Do not use handwheels, stems, or other components as lifting or rigging points unless specifically indicated for this purpose in manufacturer's instructions.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 SOURCE LIMITATIONS

- A. Obtain each type of valve from single source from single manufacturer.

2.2 PERFORMANCE REQUIREMENTS

- A. Standards:

- 1. Domestic water piping check valves intended to convey or dispense water for human consumption are to comply with the U.S. Safe Drinking Water Act (SDWA), and NSF 61/NSF 372, or to be certified in compliance with NSF 61/NSF 372 by an American National Standards Institute (ANSI)-accredited third-party certification body that the weighted average lead content at wetted surfaces is less than or equal to 0.25 percent.

- B. ASME Compliance:

- 1. ASME B1.20.1 for threads for threaded end valves.
- 2. ASME B16.18 for cast-copper solder joint.
- 3. ASME B16.22 for wrought copper solder joint.
- 4. ASME B16.51 for press joint.
- 5. ASME B31.9 for building services piping valves.

- C. Valve Pressure-Temperature Ratings: Not less than indicated and as required for system pressures and temperatures.

- D. Valve Sizes: Same as upstream piping unless otherwise indicated.

- E. Valves in Insulated Piping: With 2-inch stem extensions.

- F. Valve Bypass and Drain Connections: MSS SP-45.

2.3 BRONZE GATE VALVES

- A. Bronze Gate Valves, NRS, Class 150:

- 1. Description:

- a. Standard: MSS SP-80, Type 1.
 - b. CWP Rating: 300 psig.
 - c. Body Material: Bronze with integral seat and union-ring bonnet.
 - d. Ends: Threaded.
 - e. Stem: Bronze.
 - f. Disc: Solid wedge; bronze.
 - g. Packing: Asbestos free.
 - h. Handwheel: Malleable iron, bronze, or aluminum.
- B. Bronze Gate Valves, RS, Class 150:
- 1. Description:
 - a. Standard: MSS SP-80, Type 2.
 - b. CWP Rating: 300 psig.
 - c. Body Material: Bronze with integral seat and union-ring bonnet.
 - d. Ends: Threaded.
 - e. Stem: Bronze.
 - f. Disc: Solid wedge; bronze.
 - g. Packing: Asbestos free.
 - h. Handwheel: Malleable iron, bronze, or aluminum.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine valve interior for cleanliness, freedom from foreign matter, and corrosion. Remove special packing materials, such as blocks, used to prevent disc movement during shipping and handling.
- B. Operate valves in positions from fully open to fully closed. Examine guides and seats made accessible by such operations.
- C. Examine threads on valve and mating pipe for form and cleanliness.
- D. Examine mating flange faces for conditions that might cause leakage. Check bolting for proper size, length, and material. Verify that gasket is of proper size, that its material composition is suitable for service, and that it is free from defects and damage.
- E. Examine press joint surfaces. Verify they are clean and free from dents and burrs, and that o-ring seals are in place and undamaged.
- F. Do not attempt to repair defective valves; replace with new valves.

3.3 INSTALLATION OF VALVES

- A. Install valves with unions or flanges at each piece of equipment arranged to allow service, maintenance, and equipment removal without system shutdown.
- B. Provide support of piping adjacent to valves such that no force is imposed upon valves.
- C. Locate valves for easy access and where not blocked by equipment, other piping, or building components.
- D. Install valves so that stems are horizontal or slope upward from centerline of pipe.
- E. Install valves in position that does not project into aisles or block access to other equipment.
- F. Install valves in position to allow full stem and manual operator movement.
- G. Verify that joints of each valve have been properly installed and sealed to assure there is no leakage or damage.
- H. Install valve tags. Comply with requirements in Section 220553 "Identification for Plumbing Piping and Equipment" for valve tags and schedules.
- I. Adhere to manufacturer's installation instructions. When soldering or brazing valves, do not heat valves above maximum permitted temperature. Do not use solder with melting point temperature above valve manufacturer's recommended maximum.

3.4 ADJUSTING

- A. Adjust or replace valve packing after piping systems have been tested and put into service but before final adjusting and balancing. Replace valves if persistent leaking occurs.

3.5 GENERAL REQUIREMENTS FOR VALVE APPLICATIONS

- A. Use gate valves for shutoff service only.
- B. If valves with specified CWP ratings are unavailable, the same types of valves with higher CWP ratings may be substituted.
- C. End Connections:
 - 1. For Copper Tubing, NPS 2 and Smaller: Threaded, soldered, or press-end connections.
 - 2. For Copper Tubing, NPS 2-1/2 to NPS 4: Flange or threaded.
 - 3. For Copper Tubing, NPS 5 and Larger: Flange.

3.6 DOMESTIC HOT- AND COLD-WATER VALVE SCHEDULE

- A. Pipe NPS 2 and Smaller:

1. Bronze gate valves, NRS, Class 150 with soldered or threaded ends.

END OF SECTION 220523.15

SECTION 220529 - HANGERS AND SUPPORTS FOR PLUMBING PIPING AND EQUIPMENT

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Metal pipe hangers and supports.
 - 2. Trapeze pipe hangers.
 - 3. Metal framing systems.
 - 4. Thermal hanger-shield inserts.
 - 5. Fastener systems.
 - 6. Pipe stands.
 - 7. Pipe-positioning systems.
 - 8. Equipment supports.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: Show fabrication and installation details and include calculations for the following:
 - 1. Trapeze pipe hangers.
 - 2. Metal framing systems.
 - 3. Thermal Hanger Shield Inserts.
 - 4. Pipe stands.
 - 5. Equipment supports.

1.5 INFORMATIONAL SUBMITTALS

- A. Welding certificates.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Structural-Steel Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M.
- C. Pipe Welding Qualifications: Qualify procedures and operators according to 2015 ASME Boiler and Pressure Vessel Code, Section IX.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Structural Performance: Hangers and supports for plumbing piping and equipment shall withstand the effects of gravity loads and stresses within limits and under conditions indicated according to ASCE/SEI 7.

2.2 METAL PIPE HANGERS AND SUPPORTS

A. Carbon-Steel Pipe Hangers and Supports:

- 1. Description: MSS SP-58, Types 1 through 58, factory-fabricated components.
- 2. Galvanized Metallic Coatings: Pregalvanized, hot-dip galvanized, or electro-galvanized.
- 3. Nonmetallic Coatings: Plastic coated or epoxy powder coated.
- 4. Hanger Rods: Continuous-thread rod, nuts, and washer made of stainless steel.

B. Copper Pipe and Tube Hangers:

- 1. Description: MSS SP-58, Types 1 through 58, copper-coated-steel, factory-fabricated components.
- 2. Hanger Rods: Continuous-thread rod, nuts, and washer made of copper-coated steel.

2.3 TRAPEZE PIPE HANGERS

- A. Description: MSS SP-58, Type 59, shop- or field-fabricated pipe-support assembly, made from structural-carbon-steel shapes, with MSS SP-58 carbon-steel hanger rods, nuts, saddles, and U-bolts.

2.4 METAL FRAMING SYSTEMS

A. MFMA Manufacturer Metal Framing Systems:

- 1. Description: Shop- or field-fabricated pipe-support assembly, made of steel channels, accessories, fittings, and other components for supporting multiple parallel pipes.
- 2. Standard: Comply with MFMA-4, factory-fabricated components for field assembly.
- 3. Channels: Continuous slotted stainless-steel, Type 304 channel with inturned lips.
- 4. Channel Width: Selected for applicable load criteria.

5. Channel Nuts: Formed or stamped nuts or other devices designed to fit into channel slot and, when tightened, prevent slipping along channel.
6. Hanger Rods: Continuous-thread rod, nuts, and washer made of stainless steel.
7. Metallic Coating: Plain.
8. Paint Coating: Green epoxy, acrylic, or urethane.

B. Non-MFMA Manufacturer Metal Framing Systems:

1. Description: Shop- or field-fabricated pipe-support assembly, made of steel channels, accessories, fittings, and other components for supporting multiple parallel pipes.
2. Standard: Comply with MFMA-4, factory-fabricated components for field assembly.
3. Channels: Continuous slotted stainless-steel channel with inturned lips.
4. Channel Width: Select for applicable load criteria.
5. Channel Nuts: Formed or stamped nuts or other devices designed to fit into channel slot and, when tightened, prevent slipping along channel.
6. Hanger Rods: Continuous-thread rod, nuts, and washer made of stainless steel.
7. Metallic Coating: Plain
8. Paint Coating: Green epoxy, acrylic, or urethane.

2.5 THERMAL HANGER-SHIELD INSERTS

- A. Insulation-Insert Material for Cold Piping: ASTM C 552, Type II cellular glass with 100-psi minimum compressive strength and vapor barrier.
- B. Insulation-Insert Material for Hot Piping: Water-repellent-treated, ASTM C 533, Type I calcium silicate with 100-psi minimum compressive strength.
- C. For Trapeze or Clamped Systems: Insert and shield shall cover entire circumference of pipe.
- D. For Clevis or Band Hangers: Insert and shield shall cover lower 180 degrees of pipe.
- E. Insert Length: Extend 2 inches beyond sheet metal shield for piping operating below ambient air temperature.

2.6 FASTENER SYSTEMS

- A. Powder-Actuated Fasteners: Threaded-steel stud, for use in hardened portland cement concrete, with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.
- B. Mechanical-Expansion Anchors: Insert-wedge-type anchors, for use in hardened portland cement concrete, with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.
 1. Indoor Applications: Zinc-coated or stainless steel.
 2. Outdoor Applications: Stainless steel.

2.7 PIPE STANDS

- A. General Requirements for Pipe Stands: Shop- or field-fabricated assemblies made of manufactured corrosion-resistant components to support roof-mounted piping.
- B. Compact Pipe Stand:
1. Description: Single base unit with integral-rod roller, pipe clamps, or V-shaped cradle to support pipe, for roof installation without membrane penetration.
 2. Base: Single, vulcanized rubber, molded polypropylene, or polycarbonate.
 3. Hardware: Galvanized steel or polycarbonate.
 4. Accessories: Protection pads.
- C. Low-Profile, Single-Base, Single-Pipe Stand:
1. Description: Single base with vertical and horizontal members, and pipe support, for roof installation without membrane protection.
 2. Base: Single, vulcanized rubber, molded polypropylene, or polycarbonate.
 3. Vertical Members: Two stainless-steel, continuous-thread, 1/2-inch rods.
 4. Horizontal Member: Adjustable horizontal, galvanized-steel pipe support channels.
 5. Pipe Supports: Strut clamps or Clevis hanger.
 6. Hardware: Galvanized steel.
 7. Accessories: Protection pads.
 8. Height: 12 inches above roof.
- D. High-Profile, Single-Base, Single-Pipe Stand:
1. Description: Single base, vertical and horizontal members, and pipe support, for roof installation without membrane penetration.
 2. Base: Single vulcanized rubber or molded polypropylene.
 3. Vertical Members: Two galvanized-steel, continuous-thread, 1/2-inch rods.
 4. Horizontal Member: One adjustable-height, galvanized--steel, pipe-support slotted channel or plate.
 5. Pipe Supports: Clevis hanger.
 6. Hardware: Galvanized steel.
 7. Accessories: Protection pads, 1/2-inch, continuous-thread, galvanized-steel rod.
 8. Height: 36 inches above roof.
- E. High-Profile, Multiple-Pipe Stand:
1. Description: Assembly of bases, vertical and horizontal members, and pipe supports, for roof installation without membrane penetration.
 2. Bases: Two or more; vulcanized rubber or molded polypropylene.
 3. Vertical Members: Two or more, galvanized-steel channels.
 4. Horizontal Members: One or more, adjustable-height, galvanized-steel pipe support.

5. Pipe Supports: Clevis hanger.
6. Hardware: Galvanized steel.
7. Accessories: Protection pads, 1/2-inch, continuous-thread rod.
8. Height: 36 inches above roof.

F. Curb-Mounted-Type Pipe Stands: Shop- or field-fabricated pipe supports made from structural-steel shapes, continuous-thread rods, and rollers, for mounting on permanent stationary roof curb.

2.8 PIPE-POSITIONING SYSTEMS

A. Description: IAPMO PS 42 positioning system composed of metal brackets, clips, and straps for positioning piping in pipe spaces; for plumbing fixtures in commercial applications.

2.9 EQUIPMENT SUPPORTS

A. Description: Welded, shop- or field-fabricated equipment support made from structural-carbon-steel shapes.

2.10 MATERIALS

- A. Aluminum: ASTM B 221.
- B. Carbon Steel: ASTM A 1011/A 1011M.
- C. Structural Steel: ASTM A 36/A 36M carbon-steel plates, shapes, and bars; black and galvanized.
- D. Stainless Steel: ASTM A 240/A 240M.
- E. Grout: ASTM C 1107/C 1107M, factory-mixed and -packaged, dry, hydraulic-cement, nonshrink and nonmetallic grout; suitable for interior and exterior applications.
 1. Properties: Nonstaining, noncorrosive, and nongaseous.
 2. Design Mix: 5000-psi, 28-day compressive strength.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

A. Refer to DDC General Conditions for execution requirements.

3.2 APPLICATION

A. Comply with requirements in Section 078413 "Penetration Firestopping" for firestopping materials and installation, for penetrations through fire-rated walls, ceilings, and assemblies.

- B. Strength of Support Assemblies: Where not indicated, select sizes of components, so strength will be adequate to carry present and future static loads within specified loading limits. Minimum static design load used for strength determination shall be weight of supported components plus 200 lb.

3.3 HANGER AND SUPPORT INSTALLATION

- A. Metal Pipe-Hanger Installation: Comply with MSS SP-58. Install hangers, supports, clamps, and attachments as required to properly support piping from building structure.
- B. Metal Trapeze Pipe-Hanger Installation: Comply with MSS SP-58. Arrange for grouping of parallel runs of horizontal piping, and support together on field-fabricated trapeze pipe hangers.
 - 1. Pipes of Various Sizes: Support together and space trapezes for smallest pipe size, or install intermediate supports for smaller-diameter pipes as specified for individual pipe hangers.
 - 2. Field fabricate from ASTM A 36/A 36M carbon-steel shapes selected for loads being supported. Weld steel according to AWS D1.1/D1.1M.
- C. Metal Framing System Installation: Arrange for grouping of parallel runs of piping, and support together on field-assembled metal framing systems.
- D. Thermal Hanger-Shield Installation: Install in pipe hanger or shield for insulated piping.
- E. Fastener System Installation:
 - 1. Install powder-actuated fasteners for use in lightweight concrete or concrete slabs less than 4 inches thick in concrete, after concrete is placed and completely cured. Use operators that are licensed by powder-actuated tool manufacturer. Install fasteners according to powder-actuated tool manufacturer's operating manual.
 - 2. Install mechanical-expansion anchors in concrete, after concrete is placed and completely cured. Install fasteners according to manufacturer's written instructions.
- F. Pipe Stand Installation:
 - 1. Pipe Stand Types, except Curb-Mounted Type: Assemble components and mount on smooth roof surface. Do not penetrate roof membrane.
- G. Pipe-Positioning-System Installation: Install support devices to make rigid supply and waste piping connections to each plumbing fixture.
- H. Install hangers and supports complete with necessary attachments, inserts, bolts, rods, nuts, washers, and other accessories.
- I. Equipment Support Installation: Fabricate from welded-structural-steel shapes.
- J. Install hangers and supports to allow controlled thermal movement of piping systems, to permit freedom of movement between pipe anchors, and to facilitate action of expansion joints, expansion loops, expansion bends, and similar units.

- K. Install lateral bracing with pipe hangers and supports to prevent swaying.
- L. Install building attachments within concrete slabs or attach to structural steel. Install additional attachments at concentrated loads, including valves, flanges, and strainers, NPS 2-1/2 and larger and at changes in direction of piping. Install concrete inserts before concrete is placed; fasten inserts to forms, and install reinforcing bars through openings at top of inserts.
- M. Load Distribution: Install hangers and supports, so that piping live and dead loads and stresses from movement will not be transmitted to connected equipment.
- N. Pipe Slopes: Install hangers and supports to provide indicated pipe slopes and to not exceed maximum pipe deflections allowed by ASME B31.9 for building services piping.
- O. Insulated Piping:
 - 1. Attach clamps and spacers to piping.
 - a. Piping Operating Above Ambient Air Temperature: Clamp may project through insulation.
 - b. Piping Operating Below Ambient Air Temperature: Use thermal hanger-shield insert with clamp sized to match OD of insert.
 - c. Do not exceed pipe stress limits allowed by ASME B31.9 for building services piping.
 - 2. Install MSS SP-58, Type 40 protective shields on cold piping with vapor barrier. Shields shall span an arc of 180 degrees.
 - a. Option: Thermal hanger-shield inserts may be used. Include steel weight-distribution plate for pipe NPS 4 and larger if pipe is installed on rollers.
 - 3. Shield Dimensions for Pipe: Not less than the following:
 - a. NPS 1/4 to NPS 3-1/2: 12 inches long and 0.048 inch thick.
 - 4. Thermal Hanger Shields: Install with insulation of same thickness as piping insulation.

3.4 EQUIPMENT SUPPORTS

- A. Fabricate structural-steel stands to suspend equipment from structure overhead or to support equipment above floor.
- B. Grouting: Place grout under supports for equipment, and make bearing surface smooth.
- C. Provide lateral bracing, to prevent swaying, for equipment supports.

3.5 METAL FABRICATIONS

- A. Cut, drill, and fit miscellaneous metal fabrications for trapeze pipe hangers and.

- B. Fit exposed connections together to form hairline joints. Field weld connections that cannot be shop welded because of shipping size limitations.
- C. Field Welding: Comply with AWS D1.1/D1.1M procedures for shielded, metal arc welding; appearance and quality of welds; and methods used in correcting welding work; and with the following:
 - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
 - 2. Obtain fusion without undercut or overlap.
 - 3. Remove welding flux immediately.
 - 4. Finish welds at exposed connections, so no roughness shows after finishing and so contours of welded surfaces match adjacent contours.

3.6 ADJUSTING

- A. Hanger Adjustments: Adjust hangers to distribute loads equally on attachments and to achieve indicated slope of pipe.
- B. Trim excess length of continuous-thread hanger and support rods to 1-1/2 inches.

3.7 PAINTING

- A. Touchup: Clean field welds and abraded, shop-painted areas. Paint exposed areas immediately after erecting hangers and supports. Use same materials as those used for shop painting. Comply with SSPC-PA 1 requirements for touching up field-painted surfaces.
 - 1. Apply paint by brush or spray to provide a minimum dry film thickness of 2.0 mils.
- B. Touchup: Cleaning and touchup painting of field welds, bolted connections, and abraded, shop-painted areas on miscellaneous metal are specified in Section 099124 "Interior Painting (MPI Standards)."
- C. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas, and apply galvanizing-repair paint to comply with ASTM A 780/A 780M.

3.8 HANGER AND SUPPORT APPLICATIONS

- A. Specific hanger and support requirements are in Sections specifying piping systems and equipment.
- B. Comply with MSS SP-58 for pipe-hanger selections and applications that are not specified in piping system Sections.
- C. Use hangers and supports with galvanized metallic coatings for piping and equipment that will not have field-applied finishes.
- D. Use nonmetallic coatings on attachments for electrolytic protection where attachments are in direct contact with copper tubing.

- E. Use carbon-steel pipe hangers and supports, and metal framing systems and attachments for general service applications.
- F. Use copper-plated pipe hangers and copper attachments for copper piping and tubing.
- G. Use padded hangers for piping that is subject to scratching.
- H. Use thermal hanger-shield inserts for insulated piping and tubing.
- I. Horizontal-Piping Hangers and Supports: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
 - 1. Adjustable, Steel Clevis Hangers (MSS Type 1): For suspension of noninsulated or insulated, stationary pipes NPS 1/2 to NPS 30.
 - 2. Carbon- or Alloy-Steel, Double-Bolt Pipe Clamps (MSS Type 3): For suspension of pipes NPS 3/4 to NPS 36, requiring clamp flexibility and up to 4 inches of insulation.
 - 3. Steel Pipe Clamps (MSS Type 4): For suspension of cold and hot pipes NPS 1/2 to NPS 24 if little or no insulation is required.
 - 4. Pipe Hangers (MSS Type 5): For suspension of pipes NPS 1/2 to NPS 4, to allow off-center closure for hanger installation before pipe erection.
 - 5. Adjustable, Swivel Split- or Solid-Ring Hangers (MSS Type 6): For suspension of noninsulated, stationary pipes NPS 3/4 to NPS 8.
 - 6. Adjustable, Steel Band Hangers (MSS Type 7): For suspension of noninsulated, stationary pipes NPS 1/2 to NPS 8.
 - 7. Adjustable Band Hangers (MSS Type 9): For suspension of noninsulated, stationary pipes NPS 1/2 to NPS 8.
 - 8. Adjustable, Swivel-Ring Band Hangers (MSS Type 10): For suspension of noninsulated, stationary pipes NPS 1/2 to NPS 8.
 - 9. Split Pipe Ring with or without Turnbuckle Hangers (MSS Type 11): For suspension of noninsulated, stationary pipes NPS 3/8 to NPS 8.
 - 10. Extension Hinged or Two-Bolt Split Pipe Clamps (MSS Type 12): For suspension of noninsulated, stationary pipes NPS 3/8 to NPS 3.
 - 11. U-Bolts (MSS Type 24): For support of heavy pipes NPS 1/2 to NPS 30.
 - 12. Clips (MSS Type 26): For support of insulated pipes not subject to expansion or contraction.
 - 13. Pipe Saddle Supports (MSS Type 36): For support of pipes NPS 4 to NPS 36, with steel-pipe base stanchion support and cast-iron floor flange or carbon-steel plate.
 - 14. Pipe Stanchion Saddles (MSS Type 37): For support of pipes NPS 4 to NPS 36, with steel-pipe base stanchion support and cast-iron floor flange or carbon-steel plate, and with U-bolt to retain pipe.
 - 15. Adjustable Pipe Saddle Supports (MSS Type 38): For stanchion-type support for pipes NPS 2-1/2 to NPS 36 if vertical adjustment is required, with steel-pipe base stanchion support and cast-iron floor flange.
 - 16. Single-Pipe Rolls (MSS Type 41): For suspension of pipes NPS 1 to NPS 30, from two rods if longitudinal movement caused by expansion and contraction occurs.
 - 17. Adjustable Roller Hangers (MSS Type 43): For suspension of pipes NPS 2-1/2 to NPS 24, from single rod if horizontal movement caused by expansion and contraction occurs.
 - 18. Complete Pipe Rolls (MSS Type 44): For support of pipes NPS 2 to NPS 42 if longitudinal movement caused by expansion and contraction occurs but vertical adjustment is unnecessary.

19. Pipe Roll and Plate Units (MSS Type 45): For support of pipes NPS 2 to NPS 24 if small horizontal movement caused by expansion and contraction occurs and vertical adjustment is unnecessary.
 20. Adjustable Pipe Roll and Base Units (MSS Type 46): For support of pipes NPS 2 to NPS 30 if vertical and lateral adjustment during installation, in addition to expansion and contraction, is required.
- J. Vertical-Piping Clamps: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Extension Pipe or Riser Clamps (MSS Type 8): For support of pipe risers NPS 3/4 to NPS 24.
 2. Carbon- or Alloy-Steel Riser Clamps (MSS Type 42): For support of pipe risers NPS 3/4 to NPS 24 if longer ends are required for riser clamps.
- K. Hanger-Rod Attachments: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Steel Turnbuckles (MSS Type 13): For adjustment of up to 6 inches for heavy loads.
 2. Steel Clevises (MSS Type 14): For 120 to 450 deg Fpiping installations.
 3. Swivel Turnbuckles (MSS Type 15): For use with MSS Type 11 split pipe rings.
 4. Malleable-Iron Sockets (MSS Type 16): For attaching hanger rods to various types of building attachments.
 5. Steel Weldless Eye Nuts (MSS Type 17): For 120 to 450 deg Fpiping installations.
- L. Building Attachments: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Steel or Malleable-Concrete Inserts (MSS Type 18): For upper attachment to suspend pipe hangers from concrete ceiling.
 2. Top-Beam C-Clamps (MSS Type 19): For use under roof installations with bar-joist construction, to attach to top flange of structural shape.
 3. Side-Beam or Channel Clamps (MSS Type 20): For attaching to bottom flange of beams, channels, or angles.
 4. Center-Beam Clamps (MSS Type 21): For attaching to center of bottom flange of beams.
 5. Welded Beam Attachments (MSS Type 22): For attaching to bottom of beams if loads are considerable and rod sizes are large.
 6. C-Clamps (MSS Type 23): For structural shapes.
 7. Top-Beam Clamps (MSS Type 25): For top of beams if hanger rod is required tangent to flange edge.
 8. Side-Beam Clamps (MSS Type 27): For bottom of steel I-beams.
 9. Steel-Beam Clamps with Eye Nuts (MSS Type 28): For attaching to bottom of steel I-beams for heavy loads.
 10. Linked-Steel Clamps with Eye Nuts (MSS Type 29): For attaching to bottom of steel I-beams for heavy loads, with link extensions.
 11. Malleable-Beam Clamps with Extension Pieces (MSS Type 30): For attaching to structural steel.
 12. Welded-Steel Brackets: For support of pipes from below or for suspending from above by using clip and rod. Use one of the following for indicated loads:
 - a. Light (MSS Type 31): 750 lb.
 - b. Medium (MSS Type 32): 1500 lb.
 - c. Heavy (MSS Type 33): 3000 lb.

13. Side-Beam Brackets (MSS Type 34): For sides of steel or wooden beams.
 14. Plate Lugs (MSS Type 57): For attaching to steel beams if flexibility at beam is required.
 15. Horizontal Travelers (MSS Type 58): For supporting piping systems subject to linear horizontal movement where headroom is limited.
- M. Saddles and Shields: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Steel-Pipe-Covering Protection Saddles (MSS Type 39): To fill interior voids with insulation that matches adjoining insulation.
 2. Protection Shields (MSS Type 40): Of length recommended in writing by manufacturer to prevent crushing insulation.
 3. Thermal Hanger-Shield Inserts: For supporting insulated pipe.
- N. Spring Hangers and Supports: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Restraint-Control Devices (MSS Type 47): Where indicated to control piping movement.
 2. Spring Cushions (MSS Type 48): For light loads if vertical movement does not exceed 1-1/4 inches.
 3. Spring-Cushion Roll Hangers (MSS Type 49): For equipping Type 41 roll hanger with springs.
 4. Spring Sway Braces (MSS Type 50): To retard sway, shock, vibration, or thermal expansion in piping systems.
 5. Variable-Spring Hangers (MSS Type 51): Preset to indicated load, and limit variability factor to 25 percent to allow expansion and contraction of piping system from hanger.
 6. Variable-Spring Base Supports (MSS Type 52): Preset to indicated load, and limit variability factor to 25 percent to allow expansion and contraction of piping system from base support.
 7. Variable-Spring Trapeze Hangers (MSS Type 53): Preset to indicated load, and limit variability factor to 25 percent to allow expansion and contraction of piping system from trapeze support.
 8. Constant Supports: For critical piping stress and if necessary to avoid transfer of stress from one support to another support, critical terminal, or connected equipment. Include auxiliary stops for erection, hydrostatic test, and load-adjustment capability. These supports include the following types:
 - a. Horizontal (MSS Type 54): Mounted horizontally.
 - b. Vertical (MSS Type 55): Mounted vertically.
 - c. Trapeze (MSS Type 56): Two vertical-type supports and one trapeze member.
- O. Comply with MSS SP-58 for trapeze pipe-hanger selections and applications that are not specified in piping system Sections.
- P. Comply with MFMA-103 for metal framing system selections and applications that are not specified in piping system Sections.
- Q. Use powder-actuated fasteners or mechanical-expansion anchors instead of building attachments where required in concrete construction.
- R. Use pipe-positioning systems in pipe spaces behind plumbing fixtures to support supply and waste piping for plumbing fixtures.

END OF SECTION 220529

SECTION 220533 - HEAT TRACING FOR PLUMBING PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section includes heat tracing of plumbing piping for domestic hot-water-temperature maintenance with self-regulating, parallel-resistance electric heating cables:

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Include rated capacities, operating characteristics, and furnished specialties and accessories.
 - 2. Schedule heating capacity, length of cable, spacing, and electrical power requirement for each electric heating cable required.
- B. Shop Drawings: For electric heating cable.
 - 1. Include plans, elevations, sections, and attachment details.
 - 2. Include diagrams for power, signal, and control wiring.

1.5 INFORMATIONAL SUBMITTALS

- A. Field quality-control reports.
- B. Sample warranties.

1.6 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For electric heating cables and controls to include in operation and maintenance manuals.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 SELF-REGULATING, PARALLEL-RESISTANCE HEATING CABLES

- A. Basis-of-Design product: Subject to compliance with requirements, provide Thremon; Model BSX 5-1, or comparable product by one of the following:
1. BriskHeat.
 2. Raychem; a brand of nVent.
 3. Or approved equal.
- B. Source Limitations: Obtain all heat tracing from one manufacturer.
- C. Standard: IEEE 515.1.
- D. Heating Element: Pair of parallel No. 16 AWG, nickel-coated, stranded copper bus wires embedded in crosslinked conductive polymer core, which varies heat output in response to temperature along its length.
- E. Electrical Insulating Jacket: Flame-retardant polyolefin.
- F. Grounding Cover: Tinned copper braid.
- G. Terminate cable with waterproof, factory-assembled, non-heating leads with connectors at one end, and seal the opposite end watertight. Cable is to be capable of crossing over itself once without overheating.
- H. Maximum Operating Temperature (Power On): 150 deg F.
- I. Maximum Exposure Temperature (Power Off): 185 deg F.
- J. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70 by a qualified testing agency, and marked for intended location and application.
- K. Capacities and Characteristics:
1. Minimum bend radius: 0.38 inch at 5 deg F, and 1.25 inch at minus 76 deg F.
 2. T-rating: 8 w/ft: T6 185 deg F.
 3. Electrical Characteristics for Single-Circuit Connection:
 - a. Volts: 120 V.
 - b. Phase: Single.
 - c. Hertz: 60 Hz.
 - d. Full-Load Amperes: .07 Amp/ft.
 - e. Maximum Overcurrent Protection: 20 A.

2.2 CONTROLS

- A. Programmable Timer for Domestic Hot-Water-Temperature Maintenance:
 - 1. Microprocessor based.
 - 2. Minimum of four separate schedules.
 - 3. Minimum 24-hour battery carryover.
 - 4. On-off-auto switch.
 - 5. 365-day calendar with 20 programmable holidays.
 - 6. Relays with contacts to indicate operational status, on or off, and for interface with central HVAC-control system.

2.3 ACCESSORIES

- A. Cable Installation Accessories: Fiberglass tape, heat-conductive putty, cable ties, silicone end seals and splice kits, and installation clips all furnished by manufacturer, or as recommended in writing by manufacturer.
- B. Warning Labels: See Section 220553 "Identification for Plumbing Piping and Equipment."
- C. Warning Tape: Continuously printed "Electrical Tracing"; vinyl, at least 3 mils thick, and with pressure-sensitive, permanent, waterproof, self-adhesive back.
 - 1. Width for Markers on Pipes with OD, Including Insulation, Less Than 6 Inches: 3/4 inch minimum.
 - 2. Width for Markers on Pipes with OD, Including Insulation, 6 Inches or Larger: 1-1/2 inches minimum.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine surfaces and substrates to receive electric heating cables for compliance with requirements for installation tolerances and other conditions affecting performance.
 - 1. Ensure surfaces and pipes in contact with electric heating cables are free of burrs and sharp protrusions.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Install electric heating cable at locations indicated and in accordance with NFPA 70.
- B. Install electric heating cable across expansion, construction, and control joints in accordance with manufacturer's written instructions; use cable-protection conduit and slack cable to allow movement without damage to cable.
- C. Install electric heating cables after piping has been tested and before insulation is installed.
- D. Install electric heating cables in accordance with IEEE 515.1.
- E. Install insulation over piping with electric cables in accordance with Section 220719 "Plumbing Piping Insulation."
- F. Install warning tape on piping insulation where piping is equipped with electric heating cables.
- G. Set field-adjustable switches and circuit-breaker trip ranges.
- H. Install temperature-control units in an accessible location and in accordance with manufacturer's written instructions. Locate sensing bulbs to sense outside air temperature in a location where it will not be affected by direct sunlight or other heat sources.
- I. Install control panels and distribution panels where indicated and in accordance with manufacturer's written instructions.
- J. Install and connect outside air and pipe temperature sensors.

3.4 ELECTRICAL CONNECTIONS

- A. Ground equipment in accordance with Section 260526 "Grounding and Bonding for Electrical Systems."
- B. Connect wiring in accordance with Section 260519 "Low-Voltage Electrical Power Conductors and Cables."
- C. Connect temperature-control unit for freeze protection to interrupt power supply to electric heating cable when outside air is above set point.
- D. Connect temperature-control unit for domestic hot-water-temperature maintenance to interrupt power supply to electric heating cable when hot water is above set point.
- E. Connect remote electronic temperature sensors.

3.5 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections with the assistance of a factory-authorized service representative:

1. Perform tests after cable installation but before application of coverings, such as insulation, wall or ceiling construction, or concrete.
2. Test cables for electrical continuity and insulation integrity before energizing.
3. Test cables to verify rating and power input. Energize and measure voltage and current simultaneously.

B. Repeat tests for continuity, insulation resistance, and input power after applying thermal insulation on pipe-mounted cables.

C. Cables will be considered defective if they do not pass tests and inspections.

D. Prepare test and inspection reports.

3.6 PROTECTION

A. Protect installed heating cables, including nonheating leads, from damage.

B. Remove and replace damaged heat-tracing cables.

END OF SECTION 220533

SECTION 220553 - IDENTIFICATION FOR PLUMBING PIPING AND EQUIPMENT

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Pipe labels.
 - 2. Valve tags.
 - 3. Warning tags.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Samples: For color, letter style, and graphic representation required for each identification material and device.
- C. Valve-numbering scheme.
- D. Valve Schedules: For each piping system. Include in operation and maintenance manuals.

1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 WARNING SIGNS AND LABELS

- A. Material and Thickness: Multilayer, multicolor, plastic labels for mechanical engraving, 1/8 inch thick, with predrilled holes for attachment hardware.

- B. Letter and Background Color: As indicated for specific application under Part 3.
- C. Maximum Temperature: Able to withstand temperatures of up to 160 deg F.
- D. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.
- E. Minimum Letter Size: 1/4 inch or name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances of up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-fourths the size of principal lettering.
- F. Fasteners: Stainless steel rivets or self-tapping screws.
- G. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.
- H. Label Content: Include caution and warning information plus emergency notification instructions.

2.2 PIPE LABELS

- A. General Requirements for Manufactured Pipe Labels: Preprinted, color coded, with lettering indicating service and showing flow direction in accordance with ASME A13.1.
- B. Letter and Background Color: As indicated for specific application under Part 3.
- C. Pretensioned Pipe Labels: Precoiled, semirigid plastic formed to partially cover circumference of pipe and to attach to pipe without fasteners or adhesive.
- D. Self-Adhesive Pipe Labels: Printed plastic with contact-type, permanent-adhesive backing.
- E. Pipe Label Contents: Include identification of piping service using same designations or abbreviations as used on Drawings. Also include:
 - 1. Pipe size.
 - 2. Flow-Direction Arrows: Include flow-direction arrows on distribution piping. Arrows may be either integral with label or applied separately.
 - 3. Lettering Size: Size letters in accordance with ASME A13.1 for piping.

2.3 VALVE TAGS

- A. Description: Stamped or engraved with 1/4-inch letters for piping system abbreviation and 1/2-inch numbers.
 - 1. Tag Material: Brass, 0.04-inch anodized aluminum, 0.031-inch minimum thickness, with predrilled or stamped holes for attachment hardware.
 - 2. Fasteners: Brass wire or link chain.
- B. Letter and Background Color: As indicated for specific application under Part 3.

C. Valve Schedules: For each piping system, on 8-1/2-by-11-inch bond paper. Tabulate valve number, piping system, system abbreviation (as shown on valve tag), location of valve (room or space), normal operating position (open, closed, or modulating), and variations for identification. Mark valves for emergency shutoff and similar special uses.

1. Include valve-tag schedule in operation and maintenance data.

2.4 WARNING TAGS

A. Description: Preprinted or partially preprinted accident-prevention tags of plasticized card stock with matte finish suitable for writing.

1. Size: 4 by 7 inches.
2. Fasteners: Brass grommet and wire.
3. Nomenclature: Large-size primary caption, such as "DANGER," "CAUTION," or "DO NOT OPERATE."
4. Letter and Background Color: As indicated for specific application under Part 3.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

A. Refer to DDC General Conditions for execution requirements.

3.2 PREPARATION

A. Clean piping and equipment surfaces of incompatible primers, paints, and encapsulants, as well as dirt, oil, grease, release agents, and other substances that could impair bond of identification devices.

3.3 INSTALLATION, GENERAL REQUIREMENTS

- A. Coordinate installation of identifying devices with completion of covering and painting of surfaces where devices are to be applied.
- B. Coordinate installation of identifying devices with locations of access panels and doors.
- C. Install identifying devices before installing acoustical ceilings and similar concealment.
- D. Locate identifying devices so that they are readily visible from the point of normal approach.

3.4 INSTALLATION OF PIPE LABELS

- A. Piping Color Coding: Painting of piping is specified in Section 099124 "Interior Painting (MPI Standards)."
- B. Install pipe labels showing service and flow direction with permanent adhesive on pipes.

- C. Stenciled Pipe Label Option: Stenciled labels showing service and flow direction may be provided instead of manufactured pipe labels, at Installer's option. Install stenciled pipe labels, complying with ASME A13.1, on each piping system.
 - 1. Identification Paint: Use for contrasting background.
 - 2. Stencil Paint: Use for pipe marking.
- D. Pipe-Label Locations: Locate pipe labels where piping is exposed or above accessible ceilings in finished spaces; machine rooms; accessible maintenance spaces such as shafts, tunnels, and plenums; and exterior exposed locations as follows:
 - 1. Within 3 ft. of each valve and control device.
 - 2. At access doors, manholes, and similar access points that permit view of concealed piping.
 - 3. Within 3 ft. of equipment items and other points of origination and termination.
 - 4. Spaced at maximum intervals of 25 ft. along each run. Reduce intervals to 10 ft. in areas of congested piping and equipment.
- E. Do not apply plastic pipe labels or plastic tapes directly to bare pipes conveying fluids at temperatures of 125 deg For higher. Where these pipes are to remain uninsulated, use a short section of insulation or use stenciled labels.
- F. Flow-Direction Flow Arrows: Use arrows, in compliance with ASME A13.1, to indicate direction of flow in pipes, including pipes where flow is allowed in both directions.
- G. Pipe-Label Color Schedule:
 - 1. Domestic Cold-Water Piping: White letters on an ANSI Z535.1 safety-green background.
 - 2. Domestic Hot-Water Piping: White letters on an ANSI Z535.1 safety-green background
 - 3. Domestic Hot-Water Return Piping White letters on an ANSI Z535.1 safety-green background.
 - 4. Sanitary Waste and Storm Drainage Piping: White letters on a black background.

3.5 INSTALLATION OF VALVE TAGS

- A. Install tags on valves and control devices in piping systems, except check valves, valves within factory-fabricated equipment units, shutoff valves, faucets, convenience and lawn-watering hose connections, and similar roughing-in connections of end-use fixtures and units. List tagged valves in a valve schedule in the operating and maintenance manual.
- B. Valve-Tag Application Schedule: Tag valves according to size, shape, and color scheme and with captions similar to those indicated in "Valve-Tag Size and Shape" Subparagraph below:
 - 1. Valve-Tag Size and Shape:
 - a. Domestic Cold Water: 1-1/2 inches, round.
 - b. Domestic Hot Water: 1-1/2 inches, round.
 - c. Domestic Hot-Water Return: 1-1/2 inches, round.
 - 2. Valve-Tag Colors:

- a. For each piping system, use the same lettering and background coloring system on valve tags as used in the piping system labels and background.

3.6 INSTALLATION OF WARNING TAGS

- A. Warning Tag Color: black letters on an ANSI Z535.1 safety-yellow background.
- B. Attach warning tags, with proper message, to equipment and other items where indicated on Drawings.

END OF SECTION 220553

SECTION 220593 - TESTING, ADJUSTING, AND BALANCING FOR PLUMBING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. TAB of domestic water system.
 - 2. TAB of plumbing equipment:
 - a. Domestic hot-water in-line circulation pumps.
 - 3. Pipe-leakage test verification.
 - 4. Testing, adjusting, and balancing of existing plumbing systems and equipment.

1.3 DEFINITIONS

- A. AABC: Associated Air Balance Council.
- B. NEBB: National Environmental Balancing Bureau.
- C. TAB: Testing, adjusting, and balancing.
- D. TABB: Testing, Adjusting, and Balancing Bureau.
- E. TAB Specialist: An independent entity meeting qualifications to perform TAB work.
- F. TDH: Total dynamic head.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 INFORMATIONAL SUBMITTALS

- A. Contract Documents Examination Report: Submit the Initial Construction-Phase Report as specified in "Progress Reporting" Article in Part 3, within 60 days from start of the work.

- B. Strategies and Procedures Plan: Submit TAB strategies and step-by-step procedures, as specified in "Preparation" Article in Part 3, within 60 days from start of the work.
- C. System Readiness Checklists: Submit system readiness checklists, as specified in "Preparation" Article in Part 3, within 60 days from start of the work.
- D. Examination Report: Submit a summary report of the examination review required in "Examination" Article.
- E. Certified TAB reports.
- F. Sample report forms.
- G. Instrument calibration reports, to include the following:
 - 1. Instrument type and make.
 - 2. Serial number.
 - 3. Application.
 - 4. Dates of use.
 - 5. Dates of calibration.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. TAB Specialists Qualifications, Certified by AABC, NEBB or TABB.
- C. Instrumentation Type, Quantity, Accuracy, and Calibration: Comply with requirements in ASHRAE 111, Section 4, "Instrumentation."
- D. ASHRAE 111 Compliance: Requirements in ASHRAE 111 applicable to analogous domestic water system and plumbing equipment balancing.
- E. ASHRAE 188 Compliance: Comply with balancing and report requirements, Section 8.3 "Balancing."

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine the Contract Documents to become familiar with Project requirements and to discover conditions in systems designs that may preclude proper TAB of systems and equipment.
- B. Examine installed systems for balancing devices, such as test ports, gauge cocks, thermometer wells, flow-control devices, and balancing valves and fittings. Verify that locations of these balancing devices are applicable for intended purpose and are accessible.
- C. Examine approved submittals for plumbing systems and equipment.
- D. Examine design data, including plumbing system descriptions, statements of design assumptions for environmental conditions and systems output, and statements of philosophies and assumptions about plumbing system and equipment controls.
- E. Examine equipment performance data, including pump curves.
 - 1. Relate performance data to Project conditions and requirements, including pump system effects that can create undesired or unpredicted conditions that cause reduced capacities in all or part of a system.
 - 2. Calculate pump system-effect factors to reduce performance ratings of plumbing equipment when installed under conditions different from the conditions used to rate equipment performance. Compare results with the design data and installed conditions.
- F. Examine system and equipment installations, and verify that field quality-control testing, cleaning, and adjusting specified in individual Sections have been performed.
- G. Examine test reports specified in individual system and equipment Sections.
- H. Examine plumbing equipment and verify that bearings are greased, belts are aligned and tight, filters are clean, and equipment with functioning controls is ready for operation.
- I. Examine temporary and permanent strainers. Verify that temporary strainer screens used during system cleaning and flushing have been removed and permanent strainers are installed and clean.
- J. Examine control valves for proper installation for their intended function of isolating, throttling, diverting, or mixing fluid flows.
- K. Examine system pumps to ensure absence of entrained air in the suction piping.
- L. Examine operating safety interlocks and controls on plumbing equipment.
- M. Report deficiencies discovered before and during performance of TAB procedures. Observe and record system reactions to changes in conditions. Record default set points if different from indicated values.

3.3 PREPARATION

- A. Prepare a TAB plan that includes the following:

1. Equipment and systems to be tested.
2. Strategies and step-by-step procedures for balancing the systems.
3. Instrumentation to be used.
4. Sample forms with specific identification for all equipment.

B. Perform system-readiness checks of plumbing systems and equipment to verify system readiness for TAB work. Include, at a minimum, the following:

1. Domestic Water System:

- a. Verify leakage and pressure tests on water distribution systems have been satisfactorily completed in accordance with the NYC Plumbing Code.
- b. Water heaters are installed and functioning.
- c. Piping is complete and all points of outlet are installed.
- d. Water treatment is complete.
- e. Systems are flushed, filled, and air purged.
- f. Strainers are clean.
- g. Control valves are functioning in accordance with the sequence of operation.
- h. Shutoff and balance valves are 100 percent open.
- i. Booster- and hot-water circulating pumps are operational and proper rotation is verified.
- j. Pump gauge connections are installed directly at pump inlet and outlet flanges or in discharge and suction pipe prior to valves or strainers.
- k. Variable-frequency controllers' startup is complete and safeties are verified.
- l. Suitable access to balancing devices and equipment is provided.

2. Sanitary Sewage/Drainage System:

- a. Leakage and pressure tests on sanitary sewage/drainage systems have been completed in accordance with the NYC Plumbing Code.
- b. Piping is complete.
- c. Sanitary sewage pumps/drainage pumps are operational.
- d. Control valves are functioning in accordance with the sequence of operation.
- e. Shutoff valves are 100 percent open.
- f. Suitable access to equipment is provided.

3.4 GENERAL PROCEDURES FOR TESTING AND BALANCING

A. Perform testing and balancing procedures on each system in accordance with the procedures contained in AABC's "National Standards for Total System Balance" and in this Section.

B. Cut insulation, pipes, and equipment casings for installation of test probes to the minimum extent necessary for TAB procedures.

1. Where holes for probes are required in piping or equipment, install pressure and temperature test plugs to seal systems.
2. Install and join new insulation that matches removed materials. Restore insulation, coverings, vapor barrier, and finish in accordance with Section 220716 "Plumbing Equipment Insulation" and Section 220719 "Plumbing Piping Insulation."

- C. Mark equipment and balancing devices, including valve position indicators and similar controls and devices, with paint or other suitable, permanent identification material to show final settings.
- D. Take and report testing and balancing measurements in inch-pound (IP) units.

3.5 GENERAL PROCEDURES FOR PLUMBING EQUIPMENT

- A. Test, adjust, and balance plumbing equipment indicated on Drawings, including, but not limited to, the following:
 - 1. Motors.

3.6 PROCEDURES FOR DOMESTIC WATER SYSTEMS

- A. Prepare test reports for pumps and other equipment. Obtain approved submittals and manufacturer-recommended testing procedures. Crosscheck the summation of required equipment flow rates with system design flow rates.
- B. Prepare schematic diagrams of systems' Record drawings piping layouts.
- C. In addition to requirements in "Preparation" Article, prepare domestic water systems for testing and balancing as follows:
 - 1. Check expansion tank for proper setting.
 - 2. Check water heater for proper discharge temperature setting.
 - 3. Check remotest point of outlet for adequate pressure.
 - 4. Check flow-control valves for proper position.
 - 5. Locate start-stop and disconnect switches, electrical interlocks, and motor controllers.
 - 6. Verify that motor controllers are equipped with properly sized thermal protection.
 - 7. Check that air has been purged from the system.

- D. Measure and record upstream and downstream pressure of each piece of equipment.
- E. Measure and record upstream and downstream pressure of pressure-reducing valves.
- F. Check settings and operation of automatic temperature-control valves, self-contained control valves, and pressure-reducing valves. Record final settings.
- G. Check settings and operation of each safety valve. Record settings.

3.7 PROCEDURES FOR DOMESTIC HOT-WATER CIRCULATING INLINE PUMP

- A. Balance system with manual or automatic balancing valves by setting at design flow.
 - 1. Measure flow in main and branch pipes.
 - 2. Adjust main and branch balance valves for design flow.
 - 3. Re-measure each main and branch after all have been adjusted.

B. Adjust pump to deliver total design flow.

1. Measure pump TDH as follows:

- a. Measure discharge pressure directly at the pump outlet flange or in discharge pipe prior to any valves.
- b. Measure inlet pressure directly at the pump inlet flange or in suction pipe prior to any valves or strainers.
- c. Convert pressure to head and correct for differences in gauge heights.
- d. Verify pump impeller size by measuring the TDH with the discharge valve closed. Note the point on manufacturer's pump curve at zero flow, and verify that the pump has the intended impeller size.

2. Monitor motor performance during procedures, and do not operate motor in an overloaded condition.

3. Mark final settings and verify that all memory stops have been set.

4. Verify final system conditions as follows:

- a. Re-measure and confirm that total flow is within design.
- b. Re-measure final pumps' operating data, TDH, volts, amps, speed, and static profile.
- c. Mark final settings.

3.8 PROCEDURES FOR WATER HEATERS

A. Electric Water Heaters:

1. Measure and record entering- and leaving-water temperatures.
2. Measure and record water flow.
3. Measure and record pressure drop.
4. Measure and Record relief valve(s) pressure setting.
5. Capacity: Calculate in Btu/hof heating output.
6. Efficiency: Calculate operating efficiency for comparison to submitted equipment.

3.9 PROCEDURES FOR TESTING, ADJUSTING, AND BALANCING EXISTING SYSTEMS

A. Perform a preconstruction inspection of existing equipment that is to remain and be reused.

1. Measure and record flows, temperatures, and pressures of each piece of equipment. Compare the values to design or nameplate information, where information is available.
2. Measure motor voltage and amperage. Compare the values to motor nameplate information.
3. Check the condition of filters.
4. Check bearings and other lubricated parts for proper lubrication.
5. Report on the operating condition of the equipment and the results of the measurements taken. Report deficiencies.

B. Perform testing and balancing of existing systems to the extent that existing systems are affected by the renovation work.

1. Compare the indicated system flows of the renovated work to the measured flows, and determine the new pump speed.
2. Verify that the indicated system flows of the renovated work result in velocities and pump speeds that are within the acceptable limits defined by equipment manufacturer.
3. If calculations increase or decrease the system flow rates by more than 5 percent, make equipment adjustments to achieve the calculated rates. If increase or decrease is 5 percent or less, equipment adjustments are not required.

3.10 TOLERANCES

A. Set plumbing system's flow rates within the following tolerances:

1. Domestic Water Flow Rate: Plus or minus 10 percent. If design value is less than 10 gpm, within 10 percent.

3.11 PROGRESS REPORTING

- A. Initial Construction-Phase Report: Based on examination of the Contract Documents as specified in "Examination" Article, prepare a report on the adequacy of design for system-balancing devices. Recommend changes and additions to system-balancing devices, to facilitate proper performance measuring and balancing. Recommend changes and additions to plumbing systems and general construction to allow access for performance-measuring and -balancing devices.
- B. Status Reports: Prepare monthly progress reports to describe completed procedures, procedures in progress, and scheduled procedures. Include a list of deficiencies and problems found in systems being tested and balanced.

3.12 FINAL REPORT

- A. General: Prepare a certified written report; tabulate and divide the report into separate sections for tested systems and balanced systems.
1. Include a certification sheet at the front of the report's binder, signed and sealed by the certified testing and balancing engineer.
 2. Include a list of instruments used for procedures, along with proof of calibration.
 3. Certify validity and accuracy of field data.
- B. Final Report Contents: In addition to certified field-report data, include the following:
1. Pump curves.
 2. Manufacturers' test data.
 3. Field test reports prepared by system and equipment installers.
 4. Other information relative to equipment performance; do not include Shop Drawings and Product Data.
- C. General Report Data: In addition to form titles and entries, include the following data:

1. Title page.
 2. Name and address of the TAB specialist.
 3. Project name.
 4. Project location.
 5. Architect's name and address.
 6. Engineer's name and address.
 7. Contractor's name and address.
 8. Report date.
 9. Signature of TAB supervisor who certifies the report.
 10. Table of Contents with the total number of pages defined for each section of the report. Number each page in the report.
 11. Summary of contents, including the following:
 - a. Indicated versus final performance.
 - b. Notable characteristics of systems.
 - c. Description of system operation sequence if it varies from the Contract Documents.
 12. Nomenclature sheets for each item of equipment.
 13. Notes to explain why certain final data in the body of reports vary from indicated values.
 14. Test conditions for pump performance forms, including the following:
 - a. Variable-frequency controller settings for variable-flow hydronic systems.
 - b. Settings for pressure controller(s).
 - c. Other system operating conditions that affect performance.
- D. System Diagrams: Include schematic layouts of distribution systems. Present each system with single-line diagram and include the following:
1. Flow rates.
 2. Pipe and valve sizes and locations.
 3. Balancing stations.
 4. Position of balancing devices.
- E. Electric Water Heater Test Reports: In addition to manufacturer's factory startup equipment reports, include the following:
1. Unit Data:
 - a. System identification.
 - b. Location.
 - c. Model number and unit size.
 - d. Manufacturer's serial number.
 - e. Output capacity in Btu/h.
 - f. Number of stages.
 - g. Connected volts, phase, and hertz.
 - h. Rated amperage.
 2. Test Data (Indicated and Actual Values):

- a. Heat output in Btu/h.
- b. Entering-water temperature in deg F.
- c. Leaving-water temperature in deg F.
- d. High-temperature-limit setting in deg F.
- e. Operating set point in deg F.
- f. Voltage at each connection.
- g. Amperage for each phase.

3.13 VERIFICATION OF TAB REPORT

- A. The TAB specialist shall conduct the inspection in the presence of Commissioner.
- B. Commissioner shall randomly select measurements, documented in the final report, to be rechecked. Rechecking shall be limited to the lesser of either 10 percent of the total measurements recorded or the extent of measurements that can be accomplished in a normal business day.
- C. If rechecks yield measurements that differ from the measurements documented in the final report by more than the tolerances allowed, the measurements shall be noted as "FAILED."
- D. If the number of "FAILED" measurements is greater than 10 percent of the total measurements checked during the final inspection, the TAB shall be considered incomplete and shall be rejected.
- E. If recheck measurements find the number of failed measurements noncompliant with requirements indicated, proceed as follows:
 1. TAB specialists shall recheck all measurements and make adjustments. Revise the final report and balancing device settings to include all changes; resubmit the final report and request a second final inspection. All changes shall be tracked to show changes made to previous report.
- F. Prepare test and inspection reports.

3.14 ADDITIONAL TESTS

- A. Within 90 days of completing TAB, perform additional TAB to verify that balanced conditions are being maintained throughout and to correct unusual conditions.

END OF SECTION 220593

SECTION 220716 - PLUMBING EQUIPMENT INSULATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section includes insulating the following plumbing equipment that is not factory insulated:
 - 1. Domestic water storage tanks.
- B. Related Sections:
 - 1. Section 220719 "Plumbing Piping Insulation."

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product. Include thermal conductivity, water-vapor permeance thickness, and jackets (both factory and field applied, if any).
- B. Sustainable Design Submittals:
 - 1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
 - 2. Product Data: For adhesives, mastics, and sealants, indicating VOC content.
- C. Shop Drawings: Include plans, elevations, sections, details, and attachments to other work.
 - 1. Detail removable insulation at equipment connections.
 - 2. Detail application of field-applied jackets.
 - 3. Detail application at linkages of control devices.
 - 4. Detail field application for each equipment type.
- D. Samples: For each type of insulation and jacket indicated. Identify each Sample, describing product and intended use.

1.5 INFORMATIONAL SUBMITTALS

- A. Material Test Reports: From a qualified testing agency indicating, interpreting, and certifying test results for compliance of insulation materials, sealers, attachments, cements, and jackets, with requirements indicated. Include dates of tests and test methods employed.
- B. Field quality-control reports.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Packaging: Insulation system materials are to be delivered to the Project site in unopened containers. The packaging is to include, the name of the manufacturer, fabricator, type, description, and size.

1.8 COORDINATION

- A. Coordinate sizes and locations of supports, hangers, and insulation shields specified in Section 220529 "Hangers and Supports for Plumbing Piping and Equipment."
- B. Coordinate clearance requirements with equipment Installer for equipment insulation application.
- C. Coordinate installation and testing of heat tracing.

1.9 SCHEDULING

- A. Schedule insulation application after pressure testing systems and, where required, after installing and testing heat tracing. Insulation application may begin on segments that have satisfactory test results.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Surface-Burning Characteristics: For insulation and related materials, as determined by testing identical products in accordance with ASTM E84, by a qualified testing agency. Factory label insulation, jacket materials, adhesive, mastic, tapes, and cement material containers with appropriate markings of applicable testing agency.
 - 1. All Insulation Installed Indoors: Flame-spread index of 25 or less, and smoke-developed index of 50 or less.

2.2 INSULATION MATERIALS

- A. Products do not contain asbestos, lead, mercury, or mercury compounds.
- B. Products that come into contact with stainless steel have a leachable chloride content of less than 50 ppm when tested in accordance with ASTM C871.
- C. Insulation materials for use on austenitic stainless steel are qualified as acceptable in accordance with ASTM C795.
- D. Foam insulation materials do not use CFC or HCFC blowing agents in the manufacturing process.
- E. Calcium Silicate: Flat-, curved-, and grooved-block sections of noncombustible, inorganic, hydrous calcium silicate with a non-asbestos fibrous reinforcement. Comply with ASTM C533, Type I or Type II.
 - 1. Prefabricated Fitting Covers: Comply with ASTM C450 and ASTM C585 for dimensions used in preforming insulation to cover valves, elbows, tees, and flanges.
- F. Cellular Glass: Inorganic, incombustible, foamed or cellulated glass with annealed, rigid, hermetically sealed cells. Comply with ASTM C552.
 - 1. Block Insulation: Type I.
 - 2. Special-Shaped Insulation: Type III.
 - 3. Board Insulation: Type IV.
 - 4. Factory fabricate shapes in accordance with ASTM C450 and ASTM C585.
 - 5. Factory-applied jacket requirements are specified in "Factory-Applied Jackets" Article.
- G. Flexible Elastomeric: Closed-cell or expanded-rubber materials; suitable for maximum use temperature between minus 70 deg F and 220 deg F. Comply with ASTM C534/C534M, Type II for sheet materials.
- H. Glass-Fiber Blanket: Glass fibers bonded with a thermosetting resin; suitable for maximum use temperature up to 450 deg F in accordance with ASTM C411). Comply with ASTM C553, Type II, and ASTM C1290, Type I, unfaced.
- I. High-Temperature, Glass-Fiber Blanket: Glass fibers bonded with a thermosetting resin, unfaced; suitable for maximum use temperature up to 1000 deg F. Comply with ASTM C553, Type V.
- J. Glass-Fiber Board: Glass fibers bonded with a thermosetting resin; suitable for maximum use temperature between 35 deg F and 250 deg F for jacketed and between 35 deg F and 450 deg F for unfaced in accordance with ASTM C411. Comply with ASTM C612, Type IA or Type IB. Provide insulation unfaced.

2.3 INSULATING CEMENTS

- A. Glass-Fiber and Mineral Wool Insulating Cement: Comply with ASTM C195.
- B. Expanded or Exfoliated Vermiculite Insulating Cement: Comply with ASTM C196.

- C. Glass-Fiber and Mineral Wool, Hydraulic-Setting Insulating and Finishing Cement: Comply with ASTM C449.

2.4 ADHESIVES

- A. Materials are compatible with insulation materials, jackets, and substrates and for bonding insulation to itself and to surfaces to be insulated unless otherwise indicated.
- B. Calcium Silicate Adhesive: Fibrous, sodium-silicate-based adhesive with a service temperature range of 50 to 800 deg F.
 - 1. Adhesive: As recommended by calcium silicate manufacturer and with a VOC content of 50 g/L or less.
- C. Cellular-Glass Adhesive: Two-component, thermosetting urethane adhesive containing no flammable solvents, with a service temperature range of minus 100 to plus 200 deg F.
 - 1. Adhesive: As recommended by cellular glass manufacturer and with a VOC content of 80 g/L or less.
- D. Glass-Fiber and Mineral Wool Adhesive: Comply with MIL-A-3316C, Class 2, Grade A.
 - 1. Adhesive: As recommended by mineral fiber manufacturer and with a VOC content of 80 g/L or less.
- E. PVC Jacket Adhesive: Compatible with PVC jacket.
 - 1. Adhesive: As recommended by Adhesive - PVC Jacket manufacturer and with a VOC content of 50 g/L or less.

2.5 MASTICS AND COATINGS

- A. Materials are compatible with insulation materials, jackets, and substrates.
 - 1. Mastics: As recommended by insulation manufacturer and with a VOC content of 50 g/L or less.
- B. Vapor-Retarder Mastic, Water Based: Suitable for indoor use on below-ambient services.
 - 1. Water-Vapor Permeance: Comply with ASTM E96/E96M or ASTM F1249.
 - 2. Service Temperature Range: 0 to plus 180 deg F.
 - 3. Comply with MIL-PRF-19565C, Type II, for permeance requirements.
 - 4. Color: White.
- C. Breather Mastic: Water based; suitable for indoor and outdoor use on above-ambient services.
 - 1. Water-Vapor Permeance: ASTM E96/E96M, greater than 1.0 permat manufacturer's recommended dry film thickness.
 - 2. Service Temperature Range: 0 to plus 180 deg F.
 - 3. Color: White.

2.6 LAGGING ADHESIVES

- A. Description: Comply with MIL-A-3316C, Class I, Grade A, and be compatible with insulation materials, jackets, and substrates.
 - 1. Adhesive shall be as recommended by insulation manufacturer and shall have a VOC content of 50 g/L or less.
 - 2. Service Temperature Range: 0 to plus 180 deg F.
 - 3. Color: White.

2.7 SEALANTS

- A. Materials are as recommended by the insulation manufacturer and are compatible with insulation materials, jackets, and substrates.
- B. Joint Sealants:
 - 1. Permanently flexible, elastomeric sealant.
 - 2. Service Temperature Range: Minus 150 to plus 250 deg F.
 - 3. Color: White or gray.
 - 4. Sealant shall have a VOC content of 420 g/L or less.
- C. ASJ Flashing Sealants and Vinyl, PVDC, and PVC Jacket Flashing Sealants:
 - 1. Fire- and water-resistant, flexible, elastomeric sealant.
 - 2. Service Temperature Range: Minus 40 to plus 250 deg F.
 - 3. Color: White.
 - 4. Sealant shall have a VOC content of 420 g/L or less.

2.8 FACTORY-APPLIED JACKETS

- A. Insulation system schedules indicate factory-applied jackets on various applications. When factory-applied jackets are indicated, comply with the following:
 - 1. ASJ: White, kraft-paper, fiberglass-reinforced scrim with aluminum-foil backing; complying with ASTM C1136, Type I.
 - 2. ASJ-SSL: ASJ with self-sealing, pressure-sensitive, acrylic-based adhesive covered by a removable protective strip; complying with ASTM C1136, Type I.

2.9 FIELD-APPLIED JACKETS

- A. Field-applied jackets comply with ASTM C1136, Type I, unless otherwise indicated.
- B. Metal Jacket:
 - 1. Aluminum Jacket: Comply with ASTM B209, Alloy 3003, 3005, 3105, or 5005, Temper H-14.

- a. Sheet and roll stock ready for shop or field sizing.
- b. Finish and thickness are indicated in field-applied jacket schedules.
- c. Moisture Barrier for Indoor Applications: 1-mil-thick, heat-bonded polyethylene and kraft paper.
- d. Factory-Fabricated Fitting Covers:
 - 1) Same material, finish, and thickness as jacket.
 - 2) Preformed two-piece or gore, 45- and 90-degree, short- and long-radius elbows.
 - 3) Tee covers.
 - 4) Flange and union covers.
 - 5) End caps.
 - 6) Beveled collars.
 - 7) Valve covers.
 - 8) Field fabricate fitting covers only if factory-fabricated fitting covers are not available.

- C. PVDC Jacket for Indoor Applications: 4-mil- thick, white PVDC biaxially oriented barrier film with a permeance at 0.02 perm when tested in accordance with ASTM E96/E96M and with a flame-spread index of 10 and a smoke-developed index of 20 when tested in accordance with ASTM E84.

2.10 FIELD-APPLIED FABRIC-REINFORCING MESH

- A. Woven Glass-Fiber Mesh: Approximately 4 oz./sq. yd. with a thread count of 5 strands by 5 strands/sq. in. for covering equipment.

2.11 FIELD-APPLIED CLOTHS

- A. Woven Glass-Fiber Cloth: Comply with MIL-C-20079H, Type I, plain weave, and presized a minimum of 8 oz./sq. yd.

2.12 TAPES

- A. ASJ Tape: White vapor-retarder tape matching factory-applied jacket with acrylic adhesive, complying with ASTM C1136.

- 1. Width: 3 inches.
- 2. Thickness: 11.5 mils.
- 3. Adhesion: 90 ounces force/inch in width.
- 4. Elongation: 2 percent.
- 5. ASJ Tape Disks and Squares: Precut disks or squares of ASJ tape.

- B. PVC Tape: White vapor-retarder tape matching field-applied PVC jacket with acrylic adhesive; suitable for indoor and outdoor applications.

- 1. Width 2 inches.
- 2. Thickness: 6 mils.
- 3. Adhesion: 64 ounces force/inch in width.
- 4. Elongation: 500 percent.

5. Tensile Strength: 18 lbf/inch in width.

C. Aluminum-Foil Tape: Vapor-retarder tape with acrylic adhesive.

1. Width: 2 inches.
2. Thickness: 3.7 mils.
3. Adhesion: 100 ounces force/inch in width.
4. Elongation: 5 percent.
5. Tensile Strength: 34 lbf/inch in width.

2.13 SECUREMENTS

A. Bands:

1. Aluminum: ASTM B209, Alloy 3003, 3005, 3105, or 5005; Temper H-14, 0.020 inch thick, 1/2 inch wide with wing seal or closed seal.

B. Insulation Pins and Hangers:

1. Cupped-Head, Capacitor-Discharge-Weld Pins: Copper- or zinc-coated steel pin, fully annealed for capacitor-discharge welding; 0.106-inch- diameter shank, length to suit depth of insulation indicated with integral 1-1/2-inch galvanized carbon-steel washer.
2. Metal, Adhesively Attached, Perforated-Base Insulation Hangers: Baseplate welded to projecting spindle that is capable of holding insulation, of thickness indicated, securely in position indicated when self-locking washer is in place.
 - a. Baseplate: Perforated, galvanized carbon-steel sheet, 0.030 inch thick by 2 inches square.
 - b. Spindle: Copper- or zinc-coated, low-carbon steel, fully annealed, 0.106-inch-diameter shank; length to suit depth of insulation indicated.
 - c. Adhesive: Recommended by hanger manufacturer. Use product with demonstrated capability to bond insulation hanger securely to substrates indicated without damaging insulation, hangers, and substrates.
3. Nonmetal, Adhesively Attached, Perforated-Base Insulation Hangers: Baseplate fastened to projecting spindle that is capable of holding insulation, of thickness indicated, securely in position indicated when self-locking washer is in place.
 - a. Baseplate: Perforated, nylon sheet, 0.030 inch thick by 1-1/2 inches in diameter.
 - b. Spindle: Nylon, 0.106-inch-diameter shank; length to suit depth of insulation indicated, up to 2-1/2 inches.
 - c. Adhesive: Recommended by hanger manufacturer. Use product with demonstrated capability to bond insulation hanger securely to substrates indicated without damaging insulation, hangers, and substrates.
4. Self-Sticking-Base Insulation Hangers: Baseplate welded to projecting spindle that is capable of holding insulation, of thickness indicated, securely in position indicated when self-locking washer is in place.

- a. Baseplate: Galvanized carbon-steel sheet, 0.030 inch thick by 2 inches square.
 - b. Spindle: Copper- or zinc-coated, low-carbon steel, fully annealed; 0.106-inch-diameter shank, length to suit depth of insulation indicated.
 - c. Adhesive-backed base with a peel-off protective cover.
5. Insulation-Retaining Washers: Self-locking washers formed from 0.016-inch-thick, galvanized-steel sheet, with beveled edge sized as required to hold insulation securely in place but not less than 1-1/2 inches in diameter.
- a. Protect ends with capped self-locking washers incorporating a spring steel insert to ensure permanent retention of cap in exposed locations.
6. Nonmetal Insulation-Retaining Washers: Self-locking washers formed from 0.016-inch-thick nylon sheet, with beveled edge sized as required to hold insulation securely in place but not less than 1-1/2 inches in diameter.
- C. Staples: Outward-clinching insulation staples, nominal 3/4-inch-wide, stainless steel or Monel.
- D. Wire: 0.080-inch nickel-copper alloy.

2.14 CORNER ANGLES

- A. Aluminum Corner Angles: 0.040-inch thick, minimum 1- by 1-inch, aluminum in accordance with ASTM B209, Alloy 3003, 3005, 3105, or 5005; Temper H-14.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates and conditions for compliance with requirements for installation tolerances and other conditions affecting performance of insulation application.
 1. Verify that systems and equipment to be insulated have been tested and are free of defects.
 2. Verify that surfaces to be insulated are clean and dry.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. Clean and dry surfaces to receive insulation. Remove materials that will adversely affect insulation application.

- B. Clean and prepare surfaces to be insulated. Before insulating, apply a corrosion coating to insulated surfaces as follows:
 - 1. Stainless Steel: Coat 300 series stainless steel with an epoxy primer 5 milsthick and an epoxy finish 5 mils thick if operating in a temperature range between 140 and 300 deg F. Consult coating manufacturer for appropriate coating materials and application methods for operating temperature range.
- C. Coordinate insulation installation with the tradesman installing heat tracing. Comply with requirements for heat tracing that apply to insulation.
- D. Mix insulating cements with clean potable water; if insulating cements are to be in contact with stainless steel surfaces, use demineralized water.

3.4 GENERAL INSTALLATION REQUIREMENTS

- A. Install insulation materials, accessories, and finishes with smooth, straight, and even surfaces; free of voids throughout the length of equipment.
- B. Install insulation materials, forms, vapor barriers or retarders, jackets, and of thicknesses required for each item of equipment, as specified in insulation system schedules.
- C. Install accessories compatible with insulation materials and suitable for the service. Install accessories that do not corrode, soften, or otherwise attack insulation or jacket in either wet or dry state.
- D. Install insulation with longitudinal seams at top and bottom of horizontal runs.
- E. Install multiple layers of insulation with longitudinal and end seams staggered.
- F. Keep insulation materials dry during storage, application, and finishing. Replace insulation materials that get wet during storage or in the installation process before being properly covered and sealed in accordance with the drawings.
- G. Install insulation with tight longitudinal seams and end joints. Bond seams and joints with adhesive recommended by insulation material manufacturer.
- H. Install insulation with least number of joints practical.
- I. Where vapor barrier is indicated, seal joints, seams, and penetrations in insulation at hangers, supports, anchors, and other projections with vapor-barrier mastic.
 - 1. Install insulation continuously through hangers and around anchor attachments.
 - 2. For insulation application where vapor barriers are indicated, extend insulation on anchor legs from point of attachment to supported item to point of attachment to structure. Taper and seal ends attached to structure with vapor-barrier mastic.
 - 3. Install insert materials and insulation to tightly join the insert. Seal insulation to insulation inserts with adhesive or sealing compound recommended by insulation material manufacturer.

4. Cover inserts with jacket material matching adjacent insulation. Install shields over jacket, arranged to protect jacket from tear or puncture by hanger, support, and shield.
- J. Apply adhesives, mastics, and sealants at manufacturer's recommended coverage rate and wet and dry film thicknesses.
- K. Cut insulation in a manner to avoid compressing insulation.
- L. Finish installation with systems at operating conditions. Repair joint separations and cracking due to thermal movement.
- M. Repair damaged insulation facings by applying same facing material over damaged areas. Extend patches at least 4 inches beyond damaged areas. Adhere, staple, and seal patches in similar fashion to butt joints.
- N. For above-ambient services, do not install insulation to the following:
 1. Vibration-control devices.
 2. Testing agency labels and stamps.
 3. Nameplates and data plates.
 4. Manholes.
 5. Handholes.
 6. Cleanouts.

3.5 INSTALLATION OF FLEXIBLE ELASTOMERIC INSULATION

- A. Install in accordance with manufacturer's written installation instructions and ASTM C1710.
- B. Seal longitudinal seams and end joints with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.

3.6 FIELD-APPLIED JACKET INSTALLATION

- A. Where metal jackets are indicated, install with 2-inch overlap at longitudinal seams and end joints. Overlap longitudinal seams arranged to shed water. Seal end joints with weatherproof sealant recommended by insulation manufacturer. Secure jacket with stainless steel bands 12 inches o.c. and at end joints.

3.7 FINISHES

- A. Flexible Elastomeric Thermal Insulation: After adhesive has fully cured, apply two coats of insulation manufacturer's recommended protective coating.
- B. Color: Final color as selected by Commissioner. Vary first and second coats to allow visual inspection of the completed Work.
- C. Do not field paint aluminum or stainless steel jackets.

3.8 INDOOR EQUIPMENT INSULATION SCHEDULE

- A. Insulate indoor and outdoor equipment that is not factory insulated.
- B. Domestic hot-water storage tank insulation is one of the following, of thickness to provide an R-value of 12.5:
 - 1. Cellular glass.
 - 2. Glass-Fiber Blanket: 3 lb/cu. ft. nominal density.
 - 3. Glass-Fiber Board: 3 lb/cu. ft. nominal density.

3.9 INDOOR, FIELD-APPLIED JACKET SCHEDULE

- A. Install jacket over insulation material. For insulation with factory-applied jacket, install the field-applied jacket over the factory-applied jacket.
- B. If more than one material is listed, selection from materials listed is Contractor's option.
- C. Equipment, Concealed:
 - 1. Aluminum, Smooth 0.020 thick.

END OF SECTION 220716

SECTION 220719 - PLUMBING PIPING INSULATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section includes insulating the following plumbing piping services:
 - 1. Domestic cold-water piping.
 - 2. Domestic hot-water piping.
 - 3. Domestic recirculating hot-water piping.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product. Include thermal conductivity, water-vapor permeance thickness, and jackets (both factory and field applied if any).
- B. Sustainable Design Submittals:
 - 1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
 - 2. Product Data: For adhesives, mastics, and sealants, indicating VOC content.
- C. Shop Drawings: Include plans, elevations, sections, details, and attachments to other work.
 - 1. Detail application of protective shields, saddles, and inserts at hangers for each type of insulation and hanger.
 - 2. Detail attachment and covering of heat tracing inside insulation.
 - 3. Detail insulation application at pipe expansion joints for each type of insulation.
 - 4. Detail insulation application at elbows, fittings, flanges, valves, and specialties for each type of insulation.
 - 5. Detail removable insulation at piping specialties, equipment connections, and access panels.
 - 6. Detail application of field-applied jackets.
 - 7. Detail application at linkages of control devices.

- D. Samples: For each type of insulation and jacket indicated. Identify each Sample, describing product and intended use.

1.5 INFORMATIONAL SUBMITTALS

- A. Material Test Reports: From a qualified testing agency indicating, interpreting, and certifying test results for compliance of insulation materials, sealers, attachments, cements, and jackets, with requirements indicated. Include dates of tests and test methods employed.
- B. Field quality-control reports.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Comply with the following applicable standards and other requirements specified for miscellaneous components:
 - 1. Supply and Drain Protective Shielding Guards: ICC A117.1-2009.

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Packaging: Insulation system materials are to be delivered to the Project site in unopened containers. The packaging is to include name of the manufacturer, fabricator, type, description, and size.

1.8 COORDINATION

- A. Coordinate sizes and locations of supports, hangers, and insulation shields specified in Section 220529 "Hangers and Supports for Plumbing Piping and Equipment."
- B. Coordinate clearance requirements with piping Installer for piping insulation application. Before preparing piping Shop Drawings, establish and maintain clearance requirements for installation of insulation and field-applied jackets and finishes and for space required for maintenance.
- C. Coordinate installation and testing of heat tracing.

1.9 SCHEDULING

- A. Schedule insulation application after pressure testing systems and, where required, after installing and testing heat tracing. Insulation application may begin on segments that have satisfactory test results.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Surface-Burning Characteristics: For insulation and related materials, as determined by testing identical products in accordance with ASTM E84, by a testing agency. Factory label insulation, jacket materials, adhesive, mastic, tapes, and cement material containers with appropriate markings of applicable testing agency.
 - 1. All Insulation Installed Indoors: Flame-spread index of 25 or less, and smoke-developed index of 50 or less.

2.2 INSULATION MATERIALS

- A. Comply with requirements in "Piping Insulation Schedule, General," "Indoor Piping Insulation Schedule," "Outdoor, Aboveground Piping Insulation Schedule," and "Outdoor, Underground Piping Insulation Schedule" articles for where insulating materials are applied.
- B. Products do not contain asbestos, lead, mercury, or mercury compounds.
- C. Products that come into contact with stainless steel have a leachable chloride content of less than 50 ppm when tested in accordance with ASTM C871.
- D. Insulation materials for use on austenitic stainless steel are qualified as acceptable in accordance with ASTM C795.
- E. Foam insulation materials do not use CFC or HCFC blowing agents in the manufacturing process.
- F. Cellular Glass: Inorganic, incombustible, foamed or cellulated glass with annealed, rigid, hermetically sealed cells. Comply with ASTM C552.
 - 1. Preformed Pipe Insulation, Type II, Class 1: Unfaced.
 - 2. Fabricated shapes in accordance with ASTM C450, ASTM C585, and ASTM C1639.
- G. Flexible Elastomeric: Closed-cell or expanded-rubber materials; suitable for maximum use temperature between minus 70 deg F and 220 deg F. Comply with ASTM C534/C534M, Type I for tubular materials.
- H. Glass-Fiber, Preformed Pipe: Glass fibers bonded with a thermosetting resin; suitable for maximum use temperature up to 850 deg F in accordance with ASTM C411 Comply with ASTM C547.
- I. Mineral Wool, Preformed Pipe: Mandrel-wound mineral wool fibers bonded with a thermosetting resin, unfaced; suitable for maximum use temperature up to 1200 deg F in accordance with ASTM C447. Comply with ASTM C547.
 - 1. Fabricated shapes in accordance with ASTM C450 and ASTM C585.

2.3 INSULATING CEMENTS

- A. Glass-Fiber and Mineral Wool Insulating Cement: Comply with ASTM C195.
- B. Expanded or Exfoliated Vermiculite Insulating Cement: Comply with ASTM C196.
- C. Glass-Fiber and Mineral Wool Hydraulic-Setting Insulating and Finishing Cement: Comply with ASTM C449.

2.4 ADHESIVES

- A. Materials are compatible with insulation materials, jackets, and substrates and for bonding insulation to itself and to surfaces to be insulated unless otherwise indicated.
- B. Cellular-Glass Adhesive: Two-component, thermosetting urethane adhesive containing no flammable solvents, with a service temperature range of minus 100 to plus 200 deg F.
 - 1. Adhesive: As recommended by cellular glass manufacturer and with a VOC content of 80 g/L or less.
- C. Flexible Elastomeric and Polyolefin Adhesive: Solvent-based adhesive.
 - 1. Adhesive: As recommended by flexible elastomeric and polyolefin manufacturer and with a VOC content of 80 g/L or less.
 - 2. Flame-spread index is 25 or less and smoke-developed index is 50 or less as tested in accordance with ASTM E84.
 - 3. Wet Flash Point: Below 0 deg F.
 - 4. Service Temperature Range: 40 to 200 deg F.
 - 5. Color: Black.
- D. Glass-Fiber and Mineral Wool Adhesive: Comply with MIL-A-3316C, Class 2, Grade A.
 - 1. Adhesive: As recommended by mineral fiber manufacturer and with a VOC content of 80 g/L or less.
- E. Phenolic Adhesive: Solvent-based resin adhesive, with a service temperature range of minus 75 to plus 300 deg F.
 - 1. Adhesive: As recommended by phenolic manufacturer and with a VOC content of 50 g/L or less.
- F. ASJ Adhesive: Comply with MIL-A-3316C, Class 2, Grade A, for bonding insulation jacket lap seams and joints.
 - 1. Adhesives shall have a VOC content of 80 g/L or less.

2.5 MASTICS AND COATINGS

- A. Materials are compatible with insulation materials, jackets, and substrates.

1. Mastics: As recommended by insulation manufacturer and with a VOC content of 50 g/L or less.

B. Vapor-Retarder Mastic, Water Based: Suitable for indoor use on below-ambient services.

1. Water-Vapor Permeance: Comply with ASTM E96/E96M or ASTM F1249.
2. Service Temperature Range: 0 to plus 180 deg F.
3. Comply with MIL-PRF-19565C, Type II, for permeance requirements.
4. Color: White.

2.6 LAGGING ADHESIVES

A. Adhesives comply with MIL-A-3316C, Class I, Grade A, and are compatible with insulation materials, jackets, and substrates.

1. Adhesive shall be as recommended by insulation manufacturer and shall have a VOC content of 50 g/L or less.
2. Service Temperature Range: 20 to plus 180 deg F.
3. Color: White.

2.7 SEALANTS

A. Materials are as recommended by the insulation manufacturer and are compatible with insulation materials, jackets, and substrates.

B. Joint Sealants:

1. Permanently flexible, elastomeric sealant.
2. Service Temperature Range: Minus 58 to plus 176 deg F.
3. Color: White or gray.
4. Sealant shall have a VOC content of 420 g/L or less.

C. ASJ Flashing Sealants and PVC Jacket Flashing Sealants:

1. Fire- and water-resistant, flexible, elastomeric sealant.
2. Service Temperature Range: Minus 40 to plus 250 deg F.
3. Color: White.
4. Sealant shall have a VOC content of 420 g/L or less.

2.8 FIELD-APPLIED FABRIC-REINFORCING MESH

A. Woven Glass-Fiber Mesh: Approximately 2 oz./sq. yd. with a thread count of 10 strands by 10 strands/sq. in. for covering pipe and pipe fittings.

B. Woven Polyester Mesh: Approximately 1 oz./sq. yd. with a thread count of 10 strands by 10 strands/sq. in., in a Leno weave, for pipe.

2.9 FIELD-APPLIED CLOTHS

- A. Woven Glass-Fiber Cloth: Comply with MIL-C-20079H, Type I, plain weave, and presized a minimum of 8 oz./sq. yd.

2.10 TAPES

- A. ASJ Tape: White vapor-retarder tape matching factory-applied jacket with acrylic adhesive, complying with ASTM C1136.

- 1. Width: 3 inches.
- 2. Thickness: 11.5 mils.
- 3. Adhesion: 90 ounces force/inch in width.
- 4. Elongation: 2 percent.
- 5. Tensile Strength: 40 lbf/inch in width.
- 6. ASJ Tape Disks and Squares: Precut disks or squares of ASJ tape.

- B. PVC Tape: White vapor-retarder tape matching field-applied PVC jacket with acrylic adhesive; suitable for indoor and outdoor applications.

- 1. Width: 2 inches.
- 2. Thickness: 6 mils.
- 3. Adhesion: 64 ounces force/inch in width.
- 4. Elongation: 500 percent.
- 5. Tensile Strength: 18 lbf/inch in width.

- C. Aluminum-Foil Tape: Vapor-retarder tape with acrylic adhesive.

- 1. Width: 2 inches.
- 2. Thickness: 3.7 mils.
- 3. Adhesion: 100 ounces force/inch in width.
- 4. Elongation: 5 percent.
- 5. Tensile Strength: 34 lbf/inch in width.

2.11 SECUREMENTS

- A. Bands:

- 1. Stainless Steel: ASTM A240/A240M, Type 304; 0.015 inch thick, 1/2 inch wide with closed seal.
- 2. Aluminum: ASTM B209, Alloy 3003, 3005, 3105, or 5005; Temper H-14, 0.020 inch thick, 1/2 inch wide with closed seal.

- B. Staples: Outward-clinching insulation staples, nominal 3/4-inch-wide, stainless steel or Monel.

- C. Wire: 0.080-inch nickel-copper alloy.

2.12 PROTECTIVE SHIELDING GUARDS

A. Protective Shielding Pipe Covers:

1. Description: Manufactured plastic wraps for covering plumbing fixture hot- and cold-water supplies and trap and drain piping.

B. Protective Shielding Piping Enclosures:

1. Description: Manufactured plastic enclosure for covering plumbing fixture hot- and cold-water supplies and trap and drain piping.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- #### A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- #### A. Examine substrates and conditions for compliance with requirements for installation tolerances and other conditions affecting performance of insulation application.

1. Verify that systems to be insulated have been tested and are free of defects.
2. Verify that surfaces to be insulated are clean and dry.

- #### B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- #### A. Clean and dry surfaces to receive insulation. Remove materials that will adversely affect insulation application.

- #### B. Clean and prepare surfaces to be insulated. Before insulating, apply a corrosion coating to insulated surfaces as follows:

1. **Stainless Steel:** Coat 300 series stainless steel with an epoxy primer 5 mils thick and an epoxy finish 5 mils thick if operating in a temperature range of between 140 and 300 deg F. Consult coating manufacturer for appropriate coating materials and application methods for operating temperature range.
2. **Carbon Steel:** Coat carbon steel operating at a service temperature of between 32 and 300 deg F with an epoxy coating. Consult coating manufacturer for appropriate coating materials and application methods for operating temperature range.

- #### C. Coordinate insulation installation with the tradesman installing heat tracing. Comply with requirements for heat tracing that apply to insulation.

- D. Mix insulating cements with clean potable water; if insulating cements are to be in contact with stainless steel surfaces, use demineralized water.

3.4 GENERAL INSTALLATION REQUIREMENTS

- A. Install insulation materials, accessories, and finishes with smooth, straight, and even surfaces; free of voids throughout the length of piping, including fittings, valves, and specialties.
- B. Install insulation materials, forms, vapor barriers or retarders, jackets, and of thicknesses required for each item of pipe system, as specified in insulation system schedules.
- C. Install accessories compatible with insulation materials and suitable for the service. Install accessories that do not corrode, compress, or otherwise damage insulation or jacket.
- D. Install insulation with longitudinal seams at top and bottom (12 o'clock and 6 o'clock positions) of horizontal runs.
- E. Install multiple layers of insulation with longitudinal and end seams staggered.
- F. Do not weld brackets, clips, or other attachment devices to piping, fittings, and specialties.
- G. Keep insulation materials dry during storage, application, and finishing. Replace insulation materials that get wet during storage or in the installation process before being properly covered and sealed in accordance with the drawings.
- H. Install insulation with tight longitudinal seams and end joints. Bond seams and joints with adhesive recommended by insulation material manufacturer.
- I. Install insulation with least number of joints practical.
- J. Where vapor barrier is indicated, seal joints, seams, and penetrations in insulation at hangers, supports, anchors, and other projections with vapor-barrier mastic.
 - 1. Install insulation continuously through hangers and around anchor attachments.
 - 2. For insulation application where vapor barriers are indicated, extend insulation on anchor legs from point of attachment to supported item to point of attachment to structure. Taper and seal ends attached to structure with vapor-barrier mastic.
 - 3. Install insert materials and insulation to tightly join the insert. Seal insulation to insulation inserts with adhesive or sealing compound recommended by insulation material manufacturer.
 - 4. Cover inserts with jacket material matching adjacent pipe insulation. Install shields over jacket, arranged to protect jacket from tear or puncture by hanger, support, and shield.
- K. Apply adhesives, mastics, and sealants at manufacturer's recommended coverage rate and wet and dry film thicknesses.
- L. Install insulation with factory-applied jackets as follows:

1. Draw jacket tight and smooth, but not to the extent of creating wrinkles or areas of compression in the insulation.
 2. Cover circumferential joints with 3-inch- wide strips, of same material as insulation jacket. Secure strips with adhesive and outward-clinching staples along both edges of strip, spaced 4 inches o.c.
 3. Overlap jacket longitudinal seams at least 1-1/2 inches. Install insulation with longitudinal seams at bottom of pipe. Clean and dry surface to receive self-sealing lap. Staple laps with outward-clinching staples along edge at 4 inches o.c.
 - a. For below-ambient services, apply vapor-barrier mastic over staples.
 4. Cover joints and seams with tape, in accordance with insulation material manufacturer's written instructions, to maintain vapor seal.
 5. Where vapor barriers are indicated, apply vapor-barrier mastic on seams and joints and at ends adjacent to pipe flanges and fittings.
- M. Cut insulation in a manner to avoid compressing insulation.
- N. Finish installation with systems at operating conditions. Repair joint separations and cracking due to thermal movement.
- O. Repair damaged insulation facings by applying same facing material over damaged areas. Extend patches at least 4 inches beyond damaged areas. Adhere, staple, and seal patches in similar fashion to butt joints.
- P. For above-ambient services, do not install insulation to the following:
1. Vibration-control devices.
 2. Testing agency labels and stamps.
 3. Nameplates and data plates.
 4. Cleanouts.

3.5 PENETRATIONS

- A. Insulation Installation at Roof Penetrations: Install insulation continuously through roof penetrations.
1. Seal penetrations with flashing sealant.
 2. For applications requiring only indoor insulation, terminate insulation above roof surface and seal with joint sealant. For applications requiring indoor and outdoor insulation, install insulation for outdoor applications tightly joined to indoor insulation ends. Seal joint with joint sealant.
 3. Extend jacket of outdoor insulation outside roof flashing at least 2 inches below top of roof flashing.
 4. Seal jacket to roof flashing with flashing sealant.
- B. Insulation Installation at Underground Exterior Wall Penetrations: Terminate insulation flush with sleeve seal. Seal terminations with flashing sealant.
- C. Insulation Installation at Interior Wall and Partition Penetrations (That Are Not Fire Rated): Install insulation continuously through walls and partitions.

D. Insulation Installation at Fire-Rated Wall and Partition Penetrations: Install insulation continuously through penetrations of fire-rated walls and partitions.

1. Comply with requirements in Section 078413 "Penetration Firestopping" for firestopping and fire-resistive joint sealers.

E. Insulation Installation at Floor Penetrations:

1. Pipe: Install insulation continuously through floor penetrations.
2. Seal penetrations through fire-rated assemblies. Comply with requirements in Section 078413 "Penetration Firestopping."

3.6 GENERAL PIPE INSULATION INSTALLATION

A. Requirements in this article generally apply to all insulation materials, except where more specific requirements are specified in various pipe insulation material installation articles below.

B. Insulation Installation on Fittings, Valves, Strainers, Flanges, Mechanical Couplings, and Unions:

1. Install insulation over fittings, valves, strainers, flanges, mechanical couplings, unions, and other specialties with continuous thermal and vapor-retarder integrity unless otherwise indicated.
2. Insulate pipe elbows using preformed fitting insulation made from same material and density as that of adjacent pipe insulation. Each piece is butted tightly against adjoining piece and bonded with adhesive. Fill joints, seams, voids, and irregular surfaces with insulating cement finished to a smooth, hard, and uniform contour that is uniform with adjoining pipe insulation.
3. Insulate tee fittings with preformed fitting insulation or sectional pipe insulation of same material and thickness as that used for adjacent pipe. Cut sectional pipe insulation to fit. Butt each section closely to the next and hold in place with tie wire. Bond pieces with adhesive.
4. Insulate valves using preformed fitting insulation of same material, density, and thickness as that used for adjacent pipe. Overlap adjoining pipe insulation by not less than 2 times the thickness of pipe insulation, or one pipe diameter, whichever is thicker. For valves, insulate up to and including the bonnets, valve stuffing-box studs, bolts, and nuts. Fill joints, seams, and irregular surfaces with insulating cement.
5. Insulate strainers using preformed fitting insulation of same material, density, and thickness as used for adjacent pipe. Overlap adjoining pipe insulation by not less than 2 times the thickness of pipe insulation, or one pipe diameter, whichever is thicker. Fill joints, seams, and irregular surfaces with insulating cement. Insulate strainers, so strainer basket flange or plug can be easily removed and replaced without damaging the insulation and jacket. Provide a removable reusable insulation cover. For below-ambient services, provide a design that maintains vapor barrier.
6. Insulate flanges, mechanical couplings, and unions, using a section of oversized preformed pipe insulation. Overlap adjoining pipe insulation by not less than 2 times the thickness of pipe insulation, or one pipe diameter, whichever is thicker. Stencil or label the outside insulation jacket of each union with the word "union" matching size and color of pipe labels.
7. Cover segmented insulated surfaces with a layer of finishing cement and coat with a mastic. Install vapor-barrier mastic for below-ambient services and a breather mastic for above-ambient services. Reinforce the mastic with fabric-reinforcing mesh. Trowel the mastic to a smooth and well-shaped contour.

8. For services not specified to receive a field-applied jacket, except for flexible elastomeric and polyolefin, install fitted PVC cover over elbows, tees, strainers, valves, flanges, and unions. Terminate ends with PVC end caps. Tape PVC covers to adjoining insulation facing, using PVC tape.

C. Insulate instrument connections for thermometers, pressure gages, pressure temperature taps, test connections, flow meters, sensors, switches, and transmitters on insulated pipes. Shape insulation at these connections by tapering it to and around the connection with insulating cement and finish with finishing cement, mastic, and flashing sealant.

3.7 INSTALLATION OF CELLULAR-GLASS INSULATION

A. Insulation Installation on Straight Pipes and Tubes:

1. Secure each layer of insulation to pipe with wire or bands, and tighten bands without deforming insulation materials.
2. Where vapor barriers are indicated, seal longitudinal seams, end joints, and protrusions with vapor-barrier mastic and joint sealant.
3. For insulation with jackets on above-ambient services, secure laps with outward-clinched staples at 6 inches o.c.
4. For insulation with jackets on below-ambient services, do not staple longitudinal tabs. Instead, secure tabs with additional adhesive, as recommended by insulation material manufacturer, and seal with vapor-barrier mastic and flashing sealant.

B. Insulation Installation on Pipe Fittings and Elbows:

1. Install prefabricated sections of same material as that of straight segments of pipe insulation when available. Secure according to manufacturer's written instructions.
2. When preformed sections of insulation are not available, install mitered or routed sections of cellular-glass insulation. Secure insulation materials with wire or bands.

C. Insulation Installation on Valves and Pipe Specialties:

1. Install prefabricated sections of cellular-glass insulation to valve body.
2. Arrange insulation to permit access to packing and to allow valve operation without disturbing insulation.
3. Install insulation to flanges as specified for flange insulation application.

3.8 INSTALLATION OF FLEXIBLE ELASTOMERIC INSULATION

A. Seal longitudinal seams and end joints with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.

B. Insulation Installation on Pipe Fittings and Elbows:

1. Install sections of pipe insulation and miter if required in accordance with manufacturer's written instructions.
2. Secure insulation materials and seal seams with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.

C. Insulation Installation on Valves and Pipe Specialties:

1. Install prefabricated valve covers manufactured of same material as that of pipe insulation when available.
2. When prefabricated valve covers are not available, install cut sections of pipe and sheet insulation to valve body. Arrange insulation to permit access to packing and to allow valve operation without disturbing insulation.
3. Install insulation to flanges as specified for flange insulation application.
4. Secure insulation to valves and specialties, and seal seams with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.

3.9 INSTALLATION OF GLASS-FIBER AND MINERAL WOOL INSULATION

A. Insulation Installation on Straight Pipes and Tubes:

1. Secure each layer of preformed pipe insulation to pipe with wire or bands, and tighten bands without deforming insulation materials.
2. Where vapor barriers are indicated, seal longitudinal seams, end joints, and protrusions with vapor-barrier mastic and joint sealant.
3. For insulation with jackets on above-ambient surfaces, secure laps with outward-clinched staples at 6 inches o.c.
4. For insulation with jackets on below-ambient surfaces, do not staple longitudinal tabs. Instead, secure tabs with additional adhesive, as recommended by insulation material manufacturer, and seal with vapor-barrier mastic and flashing sealant.

B. Insulation Installation on Pipe Fittings and Elbows:

1. Install prefabricated sections of same material as that of straight segments of pipe insulation when available.
2. When prefabricated insulation elbows and fittings are not available, install mitered sections of pipe insulation, to a thickness equal to adjoining pipe insulation. Secure insulation materials with wire or bands.

C. Insulation Installation on Valves and Pipe Specialties:

1. Install prefabricated sections of same material as that of straight segments of pipe insulation when available.
2. When prefabricated sections are not available, install fabricated sections of pipe insulation to valve body.
3. Arrange insulation to permit access to packing and to allow valve operation without disturbing insulation.
4. Install insulation to flanges as specified for flange insulation application.

3.10 FINISHES

- A. Insulation with ASJ, Glass-Cloth, or Other Paintable Jacket Material: Paint jacket with paint system identified below and as specified in Section 099124 "Interior Painting (MPI Standards)."

1. Flat Acrylic Finish: Two finish coats over a primer that is compatible with jacket material and finish coat paint. Add fungicidal agent to render fabric mildew proof.
 - a. Finish Coat Material: Interior, flat, latex-emulsion size.
- B. Flexible Elastomeric Thermal Insulation: After adhesive has fully cured, apply two coats of insulation manufacturer's recommended protective coating.
- C. Color: Final color as selected by Commissioner. Vary first and second coats to allow visual inspection of the completed Work.
- D. Do not field paint aluminum or stainless steel jackets.

3.11 FIELD QUALITY CONTROL

- A. Perform tests and inspections with the assistance of a factory-authorized service representative.
- B. Tests and Inspections: Inspect pipe, fittings, strainers, and valves, randomly selected by Commissioner, by removing field-applied jacket and insulation in layers in reverse order of their installation. Extent of inspection is limited to three locations of straight pipe, three locations of threaded fittings, three locations of welded fittings, two locations of threaded strainers, two locations of welded strainers, three locations of threaded valves, and three locations of flanged valves for each pipe service defined in the "Piping Insulation Schedule, General" Article.
- C. All insulation applications will be considered defective if they do not pass tests and inspections.
- D. Prepare test and inspection reports.

3.12 PIPING INSULATION SCHEDULE, GENERAL

- A. Acceptable preformed pipe and tubular insulation materials and thicknesses are identified for each piping system and pipe size range. If more than one material is listed for a piping system, selection from materials listed is Contractor's option.
- B. Items Not Insulated: Unless otherwise indicated, do not install insulation on the following:
 1. Drainage piping located in crawl spaces.
 2. Underground piping.
 3. Chrome-plated pipes and fittings unless there is a potential for personnel injury.

3.13 INDOOR PIPING INSULATION SCHEDULE

- A. Domestic Cold Water:
 1. NPS 1 and Smaller: Insulation is one of the following:
 - a. Cellular Glass: 1-1/2 inches thick.

- b. Flexible Elastomeric: 1 inch thick.
 - c. Glass-Fiber, Preformed Pipe Insulation, Type I: 1 inch thick.
 - d. Mineral Wool, Preformed Pipe Insulation, Type II: 1 inch thick.
2. NPS 1-1/4 and Larger: Insulation is one of the following:
- a. Cellular Glass: 1-1/2 inches thick.
 - b. Flexible Elastomeric: 1 inch thick.
 - c. Glass-Fiber, Preformed Pipe Insulation, Type I: 1 inch thick.
 - d. Mineral Wool, Preformed Pipe Insulation, Type II: 1 inch thick.
- B. Domestic Hot and Recirculated Hot Water:
1. NPS 1-1/4 and Smaller: Insulation is one of the following:
- a. Cellular Glass: 1-1/2 inches thick.
 - b. Flexible Elastomeric: 1 inch thick.
 - c. Glass-Fiber, Preformed Pipe Insulation, Type I: 1 inch thick.
 - d. Mineral Wool, Preformed Pipe Insulation, Type II: 1 inch thick.
2. NPS 1-1/2 and Larger: Insulation is one of the following:
- a. Cellular Glass: 1-1/2 inches thick.
 - b. Flexible Elastomeric: 1 inch thick.
 - c. Glass-Fiber, Preformed Pipe Insulation, Type I: 1 inch thick.
 - d. Mineral Wool, Preformed Pipe Insulation, Type II: 1 inch thick.

END OF SECTION 220719

SECTION 220800 - COMMISSIONING OF PLUMBING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. This Section includes commissioning process requirements for HVAC&R systems, assemblies, and equipment.:
- B. Related Requirements:
 - 1. DDC General Conditions Section 019113 "General Commissioning Requirements for MEP Systems" for general commissioning process requirements.

1.3 DESCRIPTION

- A. Commissioning is a systematic process of confirming that all building systems perform interactively according to the City of New York's Project Requirements and the Basis of Design and continuing through construction, acceptance and the warranty period with actual verification of performance.
- B. The Commissioning process does not take away from or reduce the responsibility of the Contractor to provide a finished and fully functioning product.
- C. The CxA directs and coordinates the commissioning activities and reports to the Commissioner. All members in the construction process work together to fulfill their contracted responsibilities and meet the objectives of the City of New York's Project Requirement's as detailed in the Contract Documents.

1.4 DEFINITIONS

- A. Refer to the DDC General Conditions for definitions.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

- B. The CxA will review and approve submittals related to the commissioned equipment for conformance to the Contract Documents as it relates to the commissioning process, to the functional performance of the equipment and adequacy for developing test procedures. This review is intended primarily to aid in the development of functional testing procedures and only secondarily to verify compliance with equipment specifications. The CxA will notify the Contractor, or Commissioner as requested, of items missing or areas that are not in conformance with Contract Documents and which require resubmission.
- C. The CxA will receive a copy of the final approved submittals.
- D. In addition, the Contractor is to provide the following:
 - 1. Certificates of readiness
 - 2. Certificates of completion of installation, prestart, and startup activities.
 - 3. O&M manuals
 - 4. Test reports

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Test Equipment Calibration Requirements: Contractor will comply with test manufacturer's calibration procedures and intervals. Recalibrate test instruments immediately after instruments have been repaired resulting from being dropped or damaged. Affix calibration tags to test instruments. Furnish calibration records to CxA upon request.

1.7 COORDINATION

- A. Commissioning Kick-Off Meeting - Construction Team: The Contractor will attend a meeting of the Commissioning Team, chaired by the CxA, to review the scope of commissioning process activities and the Commissioning Plan with discussions on milestones, activities, and assignments of responsibilities. The flow and type of documents and the amount of submittal data given to the CxA will be determined. Meeting minutes will then be distributed to all parties by the CxA.
- B. Commissioning Meetings: The Contractor will attend coordination meetings with the Commissioning Team, chaired by the CxA, to review progress on the Commissioning Plan, construction deficiencies, scheduling conflicts, and to discuss strategies and processes for upcoming commissioning process activities.
- C. Miscellaneous Construction Meetings: The CxA attends selected planning and job-site meetings in order to remain informed on construction progress and to update parties involved in the commissioning process. This will not include 100% meeting attendance, but the CxA shall be provided with the subsequent meeting minutes for review.

- D. Pre-testing Meetings: The Contractor will attend pretest meetings with the Commissioning Team, chaired by the CxA, to review startup reports, pre-test inspection results, testing procedures, testing personnel and instrumentation requirements, and manufacturers' authorized service representative services for each system, subsystem, equipment, and component to be tested.
- E. Testing: The Contractor will coordinate with testing personnel and agencies for timing and access for CxA to witness test.
- F. Manufacturers' Inspection and Startup Services: The Contractor will coordinate services of manufacturers' inspection and startup services.
- G. Testing, Adjusting and Balancing: The Contractor will coordinate with plan and schedule for testing, adjusting and balancing for timing and access for CxA to witness process.

PART 2 - PRODUCTS

2.1 TEST EQUIPMENT

- A. All standard testing equipment required to perform startup, initial checkout and functional performance testing shall be provided by the Contractor for the equipment being tested. The Contractor will require the Plumbing subcontractor to complete testing. For example, the Contractor shall ultimately be responsible for all standard testing equipment for the plumbing system in Division 22. A sufficient quantity of two-way radios shall be provided by the Contractor.
- B. Proprietary test equipment and software required by any equipment manufacturer for programming and/or start-up, whether specified or not, shall be provided by the manufacturer of the equipment. Manufacturer shall provide the test equipment, demonstrate its use, and assist in the commissioning process as needed. Proprietary test equipment (and software) shall become the property of the City of New York upon completion of the commissioning process.
- C. All testing equipment shall be of sufficient quality and accuracy to test and/or measure system performance with the tolerances specified in the Specifications. If not otherwise noted, the following minimum requirements apply: Temperature sensors and digital thermometers shall have a certified calibration within the past year to accuracy of $0.5\text{ }^{\circ}\text{F}$ and a resolution of + or - $0.1\text{ }^{\circ}\text{F}$. Pressure sensors shall have an accuracy of + or - 2.0% of the value range being measured (not full range of meter) and have been calibrated within the last year.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.
- B. With assistance from the Contractor and the CxA will prepare Pre-Functional Checklists for all commissioned components, equipment, and systems.

- C. **Red-lined Drawings (As-Builts):** The Contractor will verify all equipment, systems, instrumentation, wiring and components are shown correctly on red-lined drawings. Preliminary red-lined drawings must be made available to the Commissioning Team for use prior to the start of Functional Performance Testing. Changes, as a result of Functional Testing, must be incorporated into the final as-built drawings, which will be created from the red-lined drawings. The Contractor will create the as-built drawings.
- D. **Operation and Maintenance Data:** Contractor will provide a copy of O&M literature within 45 days of each submittal acceptance for use during the commissioning process for all commissioned equipment and systems. The CxA will review the O&M literature once for conformance to project requirements. The CxA will receive a copy of the final approved O&M literature once corrections have been made by the Contractor.
- E. **Demonstration and Orientation:** Contractor will provide demonstration and orientation as required by the specifications. A complete orientation plan and schedule must be submitted by the Contractor to the CxA four weeks (4) prior to any orientation. An orientation agenda for each orientation session must be submitted to the CxA one (1) week prior the orientation session.

3.2 CONTRACTOR'S RESPONSIBILITIES

- A. Refer to the DDC General Conditions Section 019113 "General Commissioning Requirements for MEP Systems" for Contractor's responsibilities.
- B. The Contractor shall ensure that the plumbing subcontractor attends construction phase controls coordination meetings.
- C. The Contractor shall ensure that the plumbing subcontractor attends domestic water balancing review and coordination meetings.
- D. The Contractor shall ensure that the plumbing subcontractor participates in plumbing systems, assemblies, equipment, and component maintenance orientation and inspection as directed by the CxA.
- E. Provide information requested by the CxA for final commissioning documentation.
- F. Prepare preliminary schedule for Plumbing system orientations and inspections, operation and maintenance manual submissions, orientation sessions, pipe and duct system testing, flushing and cleaning, equipment start-up, testing and balancing and task completion for City of New York. Distribute preliminary schedule to commissioning team members. Provide measuring instruments and logging devices to record test data, and provide data acquisition equipment to record data for the complete range of testing for the required test period.
- G. Provide detailed startup procedures.
- H. Provide a written list of all user adjustable set-points and reset schedules with a brief discussion of the purpose of each and the range of reasonable adjustments with energy implications.
- I. Provide a written schedule frequency to review the various set-points and reset schedules to ensure they are current relevant and efficient values.

- J. Respond to provided new deficiencies and/or responses within five (5) business days.
- K. Gather operation and maintenance literature on all equipment, and assemble in binders as required by the Contract Documents. Submit to CxA 45 days after submittal acceptance.
- L. Coordinate with the CxA to provide 48-hour advance notice so that the witnessing of equipment and system start-up and testing can begin.
- M. Notify the CxA a minimum of two weeks in advance of the time for start of the testing and balancing work. Attend the initial testing and balancing meeting for review of the official testing and balancing procedures.
- N. Provide written notification to the Commissioner and CxA that the following work has been completed in accordance with the Contract Documents, and that the equipment, systems, and sub-system are operating as required.
 - 1. Domestic Water piping.
 - 2. Sanitary waste and vent piping, storm drainage piping, and sump pumps
- O. The equipment supplier shall document the performance of their equipment.
- P. Provide a complete set of red-lined drawings to the CxA prior to the start of Functional Performance Testing.
- Q. Test, Adjust and Balance subcontractor, under the direction of the Contractor
 - 1. Attend initial commissioning coordination meeting scheduled by the CxA.
 - 2. Submit the site-specific testing and balancing plan to the CxA and Commissioner for review and acceptance.
 - 3. Attend the testing and balancing review meeting scheduled by the CxA. Be prepared to discuss the procedures that shall be followed in testing, adjusting, and balancing the HVAC&R system.
 - 4. At the completion of the testing and balancing work, and the submittal of the final testing and balancing report, notify the HVAC&R subcontractor and the Contractor.
 - 5. Participate in verification of the testing and balancing report, which will consist of repeating measurements contained in the testing and balancing reports. Assist in diagnostic purposes when directed.
 - 6. Provided recommended setpoints as determined by testing, adjusting, and balancing such as static pressure and differential pressure setpoints.
- R. Contractor responsibilities to be completed by Equipment Suppliers:
 - 1. Provide all requested submittal data, including detailed start-up procedures and specific responsibilities of the City of New York's personnel, to keep warranties in force.

2. Assist in equipment testing.
3. Provide information requested by CxA regarding equipment sequence of operation and testing procedures.

3.3 CxA'S RESPONSIBILITIES

A. Roles and Responsibilities

1. Refer to the DDC General Conditions Section 019113 "General Commissioning Requirements for MEP Systems" for general CxA responsibilities.

3.4 TESTING PREPARATION

- A. Certify in writing to the CxA that Plumbing systems, subsystems, and equipment have been installed, calibrated, and started and are operating according to the Contract Documents.
- B. Certify in writing to the CxA that Plumbing instrumentation and control systems have been completed and calibrated, that they are operating according to the Contract Documents, and that pretest set points have been recorded.
- C. Certify in writing that testing, adjusting, and balancing procedures have been completed and that testing, adjusting, and balancing reports have been submitted, discrepancies corrected, and corrective work approved.
- D. Place systems, subsystems, and equipment into operating mode to be tested (e.g., normal shutdown, normal auto position, normal manual position, unoccupied cycle, emergency power, and alarm conditions).
- E. Inspect and verify the position of each device and interlock identified on checklists.
- F. Check safety cutouts, alarms, and interlocks with smoke control and life-safety systems during each mode of operation.
- G. Testing Instrumentation: Install measuring instruments and logging devices to record test data as directed by the CxA.

3.5 TESTING, ADJUSTING AND BALANCING VERIFICATION

- A. Prior to performance of Testing, Adjusting, and Balancing work, provide copies of reports, sample forms, checklists, and certificates to the CxA.
- B. Notify the CxA at least ten (10) days in advance of testing and balancing Work, and provide access for the CxA to witness testing and balancing Work.
- C. Provide technicians, instrumentation, and tools to verify testing and balancing of HVAC&R systems at the direction of the CxA.

1. The CxA will notify the Contractor ten (10) days in advance of the date of field verification. Notice will not include data points to be verified.
2. The Contractor will ensure that the testing and balancing subcontractor shall use the same instruments (by model and serial number) that were used when original data were collected.
3. Failure of an item includes, other than sound, a deviation of more than 10 percent. Failure of more than 10 percent of selected items shall result in rejection of final testing, adjusting, and balancing report. For sound pressure readings, a deviation of 3 dB shall result in rejection of final testing. Variations in background noise must be considered.
4. Remedy the deficiency and notify the CxA so verification of failed portions can be performed.

3.6 GENERAL TESTING REQUIREMENTS

- A. Provide technicians, instrumentation, and tools to perform commissioning test at the direction of the CxA.
- B. Scope of Plumbing testing shall include entire Plumbing installation, from central equipment for heat generation and refrigeration through distribution systems to each conditioned space. Testing shall include measuring capacities and effectiveness of operational and control functions.
- C. Test all operating modes, interlocks, control responses, and responses to abnormal or emergency conditions, and verify proper response of building automation system controllers and sensors.
- D. The CxA along with the Contractor will ensure that the plumbing subcontractor, testing and balancing subcontractor, and plumbing Instrumentation and Control subcontractor shall prepare detailed testing plans, procedures, and checklists for plumbing systems, subsystems, and equipment.
- E. Tests will be performed using design conditions whenever possible.
- F. Simulated conditions may need to be imposed using an artificial load when it is not practical to test under design conditions. Before simulating conditions, calibrate testing instruments. Provide equipment to simulate loads. Set simulated conditions as directed by the CxA and document simulated conditions and methods of simulation. After tests, return settings to normal operating conditions.
- G. The CxA may direct that set points be altered when simulating conditions is not practical.
- H. The CxA may direct that sensor values be altered with a signal generator when design or simulating conditions and altering set points are not practical.
- I. If tests cannot be completed because of a deficiency outside the scope of the Plumbing system, document the deficiency and report it to the Commissioner. After deficiencies are resolved, reschedule tests.
- J. If the testing plan indicates specific seasonal testing, complete appropriate initial performance tests and documentation and schedule seasonal tests.

3.7 PLUMBING SYSTEMS, SUBSYSTEMS, AND EQUIPMENT TESTING PROCEDURES

- A. Equipment Testing and Acceptance Procedures: Testing requirements are specified in individual Division 22 sections. Provide submittals, test data, inspector record, and certifications to the CxA.
- B. Plumbing Instrumentation and Control System Testing: Field testing plans and testing requirements are specified in Section 230913 "Instrumentation and Control for HVAC Equipment" and Section 230993.11 "Sequence of Operations for HVAC Direct Digital Control." Assist the CxA with preparation of testing plans.
- C. Pipe system cleaning, flushing, hydrostatic tests, and chemical treatment: Test requirements are specified in Division 22 piping Sections. Plumbing subcontractor shall prepare a pipe system cleaning, flushing, and hydrostatic testing plan. Provide cleaning, flushing, testing, and treating plan and final reports to the CxA. Plan shall include the following:
 - 1. Sequence of testing and testing procedures for each section of pipe to be tested, identified by pipe zone or sector identification marker. Markers shall be keyed to Drawings for each pipe sector, showing the physical location of each designated pipe test section. Drawings keyed to pipe zones or sectors shall be formatted to allow each section of piping to be physically located and identified when referred to in pipe system cleaning, flushing, hydrostatic testing, and chemical treatment plan.
 - 2. Description of equipment for flushing operations.
 - 3. Minimum flushing water velocity.
 - 4. Tracking checklist for managing and ensuring that all pipe sections have been cleaned, flushed, hydrostatically tested, and chemically treated.
- D. Plumbing Distribution System Testing: Provide technicians, instrumentation, tools, and equipment to test performance of air, fuel gas, sanitary waste and vent piping, storm drainage piping, sprinkler and domestic water distribution systems. The CxA shall determine the sequence of testing and testing procedures for each equipment item and pipe section to be tested.
- E. Vibration and Sound Tests: Provide technicians, instrumentation, tools, and equipment to test performance of vibration isolation and seismic controls.
- F. The work included in the commissioning process involves a complete and thorough evaluation of the operation and performance of all components, systems and sub-systems. Commissioning shall be performed on equipment and systems including but not limited to the following:
 - 1. Domestic Water Heater

3.8 DEFICIENCIES/NON-CONFORMANCE, FAILURE DUE TO MANUFACTURER DEFECT

- A. Deficiencies/Non-Conformance
 - 1. The CxA will record the results of the functional test on the test form. All deficiencies or non-conformance items shall be noted and reported to the Commissioner and Contractor on a standardized form.

2. The Contractor shall respond to new deficiencies within five (5) business days. The response shall indicate the proposed means of correcting the issue and the anticipated date of correction. If further information is required to clarify the issue, the Contractor's response shall include a request such clarification. If the Contractor understands that the issue has been resolved or was noted in error, the Contractor's response shall provide an explanation of their reasoning, including reference to Contract Documents as necessary.
3. Corrections of minor deficiencies identified may be made during the tests at the discretion of the CxA.
4. Every effort will be made to expedite the testing process and minimize unnecessary delays, while not compromising the integrity of the procedures.
5. As tests progress and a deficiency is identified, the CxA discusses the issue with the Contractor.
6. When the issue does not require further clarification for the Contractor to resolve, the CxA documents the deficiency and the Contractor's response and corrections or plans for correction. The CxA and the Contractor then proceed to another test or sequence. Once the Contractor corrects the deficiency, the test is rescheduled and repeated to demonstrate correct operation or function.
7. When additional information is required about any deficiency, whether to clarify the issue or to clarify the means of resolution or acceptance, the CxA documents the deficiency and the Contractor's response. The CxA will send the deficiency to the Commissioner and the Contractor, who shall forward to any subcontractors required for the correction. Once all parties are in agreement as to the means of resolving the issue, the CxA will document the agreed-upon resolution process. The CxA will document the correction or resolution. If the correction requires work by the Contractor, the Contractor and CxA will reschedule the test to demonstrate correct operation and function.
8. Deficiencies that are not corrected at the time of documentation, shall be completed by the affected Contractor and photo evidence of the deficiency resolution shall be sent to both the Commissioner and the CxA.

B. Failure due to Manufacturer Defect

1. If 10% or three, whichever is greater, of identical pieces (size alone does not constitute a difference) of equipment fail to perform to the Contract Documents (mechanically or substantively) due to manufacturing defect, not allowing it to meet its submitted performance spec, all identical units may be considered unacceptable by the CxA and the Commissioner. In such case, the Contractor shall provide the Commissioner with the following.
 - a. Within one week of notification from the Contractor the manufacturer's representative shall examine all other identical units making a record of the findings. The findings shall be provided to the Commissioner within two weeks of the original notice.
 - b. Within two weeks of the original notification, the Contractor or manufacturer shall provide a signed and dated, written explanation of the problem, cause of failures, etc. and all proposed solutions which shall include full equipment submittals. The proposed solutions shall not significantly exceed the specification requirements of the original installation.
 - c. The Commissioner will determine whether a replacement of all identical units or a repair is acceptable.

- d. Two examples of the proposed solution will be installed by the Contractor and the Contractor will be allowed to test the installations for up to one week, upon which the Commissioner will decide whether to accept the solution.
- e. Upon acceptance, the Contractor and/or manufacturer shall replace or repair all identical items, at their expense and extend the warranty accordingly, if the original equipment warranty had begun. The replacement/repair work shall proceed with reasonable speed beginning within one week from when parts can be obtained.

3.9 APPROVAL

- A. The CxA notes each satisfactorily demonstrated function on the test form. Formal approval of the functional test is made later after review by the CxA. The CxA recommends acceptance of each test to the Commissioner using a standard form.

3.10 SEASONAL TESTING

- A. Seasonal Testing - During the warranty period, seasonal testing (tests delayed until weather conditions are closer to the system's design) shall be completed as part of this contract. The CxA shall coordinate this activity. Tests will be executed, documented and deficiencies corrected by the Contractor, with facilities staff and the CxA witnessing. Any final adjustments to the O&M manuals and record documents due to seasonal testing will be made by the Contractor.

3.11 OPERATION AND MAINTENANCE MANUALS

- A. The Operation and Maintenance Manuals shall conform to Contract Documents requirements as stated in the DDC General Conditions Section 017839 "Contract Record Documents and Section" 019113 "General Commissioning Requirements for MEP Systems."
- B. The specific content and format requirements for the standard O&M manuals are detailed in the DDC General Conditions Section 017839 "Contract Record Documents" and Section 019113 "General Commissioning Requirements for MEP Systems." Special requirements for the controls subcontractor and TAB subcontractor are found in Division 23.
- C. CxA Review and Approval - Prior to substantial completion, the CxA shall review the O&M manuals, documentation and record documents for systems that were commissioned to verify compliance with the Specifications. The CxA will communicate deficiencies in the manuals to the Contractor, or Commissioner, as requested. Upon a successful review of the corrections, the CxA recommends approval and acceptance of these sections of the O&M manuals to the Commissioner. The CxA also reviews each equipment warranty and verifies that all requirements to keep the warranty valid are clearly stated.

3.12 INSTRUCTION OF NEW YORK CITY PERSONNEL

- A. The Contractor shall be responsible for instruction coordination, scheduling, and ultimately for ensuring that instruction is completed.
- B. The CxA shall oversee the instruction of the City of New York's personnel for commissioned equipment and systems.

1. The CxA shall interview the City of New York's personnel to determine the special needs and areas where instruction will be most valuable. The Commissioner and CxA shall decide how rigorous the instruction should be for each piece of commissioned equipment. The CxA shall communicate the results to the Contractor who will ensure participation of the subcontractor.
2. In addition to these general requirements, the specific instruction requirements of the City of New York's personnel by the Contractor who will ensure the subcontractors and vendors are specified in the individual sections listed in DDC's General Conditions Section 017900 "Demonstration and Owners' Pre-Acceptance Orientation.
3. The Contractor shall ensure that each subcontractor and vendor responsible for instruction will submit a written instruction plan to the Contractor for review and approval prior to instruction. The Contractor will submit one comprehensive instruction plan to the CxA and the Commissioner.
4. The plan will be reviewed by the CxA and the Commissioner. Comments pertaining to its deficiencies will be forwarded to the Contractor. The instruction plan will be rewritten until approved by the CxA and the Commissioner. The final approved instruction plan will cover the following elements:
 - a. Equipment (included in instruction).
 - b. Intended audience.
 - c. Location of instruction.
 - d. Objectives.
 - e. Subjects covered (description, duration of discussion, special methods, etc.)
 - f. Duration of instruction on each subject.
 - g. Qualified instructor for each subject.
 - h. Instructor qualifications.
 - i. Methods (classroom lecture, video, site walk-through, actual operational demonstrations, written handouts, etc.)
5. For the primary equipment, the Contractor will ensure the controls subcontractor provides a discussion of the control of the equipment during the instruction conducted by each subcontractor or vendor.
6. Instruction documentation shall include the following items:
 - a. Copy of the instruction plan, including schedule, syllabus, and agenda.
 - b. Copy of the City of New York's Project Requirements.
 - c. Copy of the Basis of Design.
 - d. Compiled operations manuals.
 - e. Compiled maintenance manuals.
 - f. Completed manufacturer instruction manuals.
 - g. Red-lined drawings.
7. The CxA develops criteria for determining that the instruction was satisfactorily completed, including attending the instruction, etc. The CxA recommends approval of the instruction to the Commissioner using a standard form. The Commissioner signs the approval form/letter template.

8. At one of the instruction sessions, the CxA presents a presentation discussing the use of the blank functional test forms for re-commissioning equipment
9. Video recording of the instruction sessions will be verified by the CxA in electrical format, at the discretion of the Commissioner.

END OF SECTION 220800

SECTION 221116 - DOMESTIC WATER PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Copper tube and fittings.
 - 2. Piping joining materials.
 - 3. Transition fittings.
 - 4. Dielectric fittings.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data:
 - 1. Pipe and tube.
 - 2. Fittings.
 - 3. Joining materials.
 - 4. Transition fittings.
- B. Sustainable Design Submittals:
 - 1. Product Data: For adhesives, indicating VOC content.
- C. Coordination Drawings: Piping layout drawn to scale, showing the items described in this Section, and coordinated with all building trades.

1.5 INFORMATIONAL SUBMITTALS

- A. System purging and disinfecting activities report.
- B. Field quality-control reports.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.7 FIELD CONDITIONS

- A. Interruption of Existing Water Service: Do not interrupt water service to facilities occupied by City of New York unless permitted under the following conditions and then only after arranging to provide temporary water service according to requirements indicated:
1. Notify Commissioner no fewer than two days in advance of proposed interruption of water service.
 2. Do not interrupt water service without Commissioner's written permission.

PART 2 - PRODUCTS

2.1 PIPING MATERIALS

- A. Potable-water piping and components shall comply with NSF 14, NSF 61, and NSF 372. Include marking "NSF-pw" on piping.

2.2 COPPER TUBE AND FITTINGS

- A. Drawn-Temper Copper Tube: ASTM B88, Type K, ASTM B88, Type L and ASTM B88, Type M.
- B. Annealed-Temper Copper Tube: ASTM B88, Type K, ASTM B88, Type L and ASTM B88, Type M.
- C. Cast-Copper, Solder-Joint Fittings: ASME B16.18, pressure fittings.
- D. Wrought-Copper, Solder-Joint Fittings: ASME B16.22, pressure fittings.
- E. Bronze Flanges: ASME B16.24, Class 150, with solder-joint ends.
- F. Cast Copper Unions: MSS SP-123, cast-copper-alloy, hexagonal-stock body, with ball-and-socket, metal-to-metal seating surfaces and solder-joint or threaded ends.
- G. Wrought Copper Unions: ASME B16.22.
- H. Copper-Tube, Mechanically Formed Tee Fitting: For forming T-branch on copper water tube.
1. Description: Tee formed in copper tube in accordance with ASTM F2014.

2.3 PIPING JOINING MATERIALS

- A. Pipe-Flange Gasket Materials:

1. AWWA C110/A21.10, rubber, flat face, 1/8 inch thick or ASME B16.21, nonmetallic and asbestos free unless otherwise indicated.
 2. Full-face or ring type unless otherwise indicated.
- B. Metal, Pipe-Flange Bolts and Nuts: ASME B18.2.1, carbon steel unless otherwise indicated.
- C. Solder Filler Metals: ASTM B32, lead-free alloys.
- D. Flux: ASTM B813, water flushable.
- E. Brazing Filler Metals: AWS A5.8M/A5.8, BCuP Series, copper-phosphorus alloys for general-duty brazing unless otherwise indicated.
- F. Copper Unions:
1. MSS SP-123.
 2. Cast-copper-alloy, hexagonal-stock body.
 3. Ball-and-socket, metal-to-metal seating surfaces.
 4. Solder-joint or threaded ends.

2.4 TRANSITION FITTINGS

- A. General Requirements:
1. Same size as pipes to be joined.
 2. Pressure rating at least equal to pipes to be joined.
 3. End connections compatible with pipes to be joined.

2.5 DIELECTRIC FITTINGS

- A. General Requirements: Assembly of copper alloy and ferrous materials with separating nonconductive insulating material. Include end connections compatible with pipes to be joined.
- B. Dielectric Unions:
1. Standard: ASSE 1079.
 2. Pressure Rating: 150 psig.
 3. End Connections: Solder-joint copper alloy and threaded ferrous.
- C. Dielectric Flanges:
1. Standard: ASSE 1079.
 2. Factory-fabricated, bolted, companion-flange assembly.
 3. Pressure Rating: 150 psig.
 4. End Connections: Solder-joint copper alloy and threaded ferrous; threaded solder-joint copper alloy and threaded ferrous.
- D. Dielectric-Flange Insulating Kits:

1. Nonconducting materials for field assembly of companion flanges.
2. Pressure Rating: 150 psig.
3. Gasket: Neoprene or phenolic.
4. Bolt Sleeves: Phenolic or polyethylene.
5. Washers: Phenolic with steel backing washers.

E. Dielectric Nipples:

1. Standard: IAPMO PS 66.
2. Electroplated steel nipple complying with ASTM F1545.
3. Pressure Rating and Temperature: 300 psig at 225 deg F.
4. End Connections: Male threaded or grooved.
5. Lining: Inert and noncorrosive, propylene.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 PIPING APPLICATIONS

- A. Transition and special fittings with pressure ratings at least equal to piping rating may be used in applications below unless otherwise indicated.
- B. Flanges and unions may be used for aboveground piping joints unless otherwise indicated.
- C. Fitting Option: Extruded-tee connections and brazed joints may be used on aboveground copper tubing.
- D. Under-building-slab, domestic water, building-service piping, NPS 3 and smaller shall be the following:
1. Annealed-temper copper tube, ASTM B88, Type L; wrought-copper, solder-joint fittings; and brazed joints.
- E. Under-building-slab, domestic water piping, NPS 2 and smaller, shall be the following:
1. Annealed-temper copper tube, ASTM B88, Type L; wrought-copper, solder-joint fittings; and brazed joints.
- F. Aboveground domestic water piping, NPS 2 and smaller, shall be the following:
1. Drawn-temper copper tube, ASTM B88, Type L; wrought-copper, solder-joint fittings; and brazed joints.
- G. Aboveground domestic water piping, NPS 2-1/2 to NPS 4, shall be the following:

1. Drawn-temper copper tube, ASTM B88, Type L; wrought-copper, solder-joint fittings; and brazed joints.

3.3 EARTHWORK

- A. Comply with requirements in Section 312000 "Earth Moving" for excavating, trenching, and backfilling.

3.4 INSTALLATION OF PIPING

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of domestic water piping. Indicated locations and arrangements are used to size pipe and calculate friction loss, expansion, and other design considerations. Install piping as indicated unless deviations to layout are approved on coordination drawings.
- B. Install copper tubing under building slab according to CDA's "Copper Tube Handbook."
- C. Install ductile-iron piping under building slab with restrained joints according to AWWA C600 and AWWA M41.
- D. Install underground copper tube in PE encasement according to ASTM A674 or AWWA C105/A21.5.
- E. Install valves according to the following:
 1. Section 220523.12 "Ball Valves for Plumbing Piping."
 2. Section 220523.14 "Check Valves for Plumbing Piping."
 3. Section 220523.15 "Gate Valves for Plumbing Piping."
- F. Install domestic water piping level with 0.25 percent slope downward toward drain and plumb.
- G. Rough-in domestic water piping for water-meter installation according to utility company's requirements.
- H. Install piping concealed from view and protected from physical contact by building occupants unless otherwise indicated and except in equipment rooms and service areas.
- I. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- J. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal, and coordinate with other services occupying that space.
- K. Install piping to permit valve servicing.
- L. Install nipples, unions, special fittings, and valves with pressure ratings the same as or higher than the system pressure rating used in applications below unless otherwise indicated.
- M. Install piping free of sags and bends.
- N. Install fittings for changes in direction and branch connections.

- O. Install unions in copper tubing at final connection to each piece of equipment, machine, and specialty.
- P. Install pressure gauges on suction and discharge piping for each plumbing pump and packaged booster pump. Comply with requirements for pressure gauges in Section 220519 "Meters and Gages for Plumbing Piping."
- Q. Install thermometers on inlet and outlet piping from each water heater. Comply with requirements for thermometers in Section 220519 "Meters and Gages for Plumbing Piping."
- R. Install sleeves for piping penetrations of walls, ceilings, and floors. Comply with requirements for sleeves specified in Section 220517 "Sleeves and Sleeve Seals for Plumbing Piping."
- S. Install sleeve seals for piping penetrations of concrete walls and slabs. Comply with requirements for sleeve seals specified in Section 220517 "Sleeves and Sleeve Seals for Plumbing Piping."
- T. Install escutcheons for piping penetrations of walls, ceilings, and floors. Comply with requirements for escutcheons specified in Section 220518 "Escutcheons for Plumbing Piping."

3.5 JOINT CONSTRUCTION

- A. Ream ends of pipes and tubes and remove burrs. Bevel plain ends of steel pipe.
- B. Remove scale, slag, dirt, and debris from inside and outside of pipes, tubes, and fittings before assembly.
- C. Threaded Joints: Thread pipe with tapered pipe threads according to ASME B1.20.1. Cut threads full and clean using sharp dies. Ream threaded pipe ends to remove burrs and restore full ID. Join pipe fittings and valves as follows:
 - 1. Apply appropriate tape or thread compound to external pipe threads.
 - 2. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged.
- D. Brazed Joints for Copper Tubing: Comply with CDA's "Copper Tube Handbook," "Brazed Joints" chapter.
- E. Soldered Joints for Copper Tubing: Apply ASTM B813, water-flushable flux to end of tube. Join copper tube and fittings according to ASTM B828 or CDA's "Copper Tube Handbook."
- F. Pressure-Sealed Joints for Copper Tubing: Join copper tube and pressure-seal fittings with tools and procedure recommended by pressure-seal-fitting manufacturer. Leave insertion marks on pipe after assembly.

3.6 INSTALLATION OF TRANSITION FITTINGS

- A. Install transition couplings at joints of dissimilar piping.
- B. Transition Fittings in Underground Domestic Water Piping:
 - 1. Fittings for NPS 1-1/2 and Smaller: Fitting-type coupling.
 - 2. Fittings for NPS 2 and Larger: Sleeve-type coupling.

- C. Transition Fittings in Aboveground Domestic Water Piping NPS 2 and Smaller: Plastic-to-metal transition fittings.

3.7 INSTALLATION OF DIELECTRIC FITTINGS

- A. Install dielectric fittings in piping at connections of dissimilar metal piping and tubing.
- B. Dielectric Fittings for NPS 2 and Smaller: Use dielectric couplings or nipples.
- C. Dielectric Fittings for NPS 2-1/2 to NPS 4; Use dielectric flanges or flange kits.

3.8 INSTALLATION OF HANGERS AND SUPPORTS

- A. Comply with requirements for hangers, supports, and anchor devices in Section 220529 "Hangers and Supports for Plumbing Piping and Equipment."
- B. Install hangers for copper tubing and piping, with maximum horizontal spacing and minimum rod diameters, to comply with MSS SP-58.
- C. Support horizontal piping within 12 inches of each fitting.
- D. Support vertical runs of copper tubing and piping to comply with MSS-58.

3.9 CONNECTIONS

- A. Drawings indicate general arrangement of piping, fittings, and specialties.
- B. When installing piping adjacent to equipment and machines, allow space for service and maintenance.
- C. Connect domestic water piping to exterior water-service piping. Use transition fitting to join dissimilar piping materials.
- D. Connect domestic water piping to water-service piping with shutoff valve; extend and connect to the following:
 - 1. Domestic Water Booster Pumps: Cold-water suction and discharge piping.
 - 2. Water Heaters: Cold-water inlet and hot-water outlet piping in sizes indicated, but not smaller than sizes of water heater connections.
 - 3. Plumbing Fixtures: Cold- and hot-water-supply piping in sizes indicated, but not smaller than that required by NYC Plumbing Code.
 - 4. Equipment: Cold- and hot-water-supply piping as indicated, but not smaller than equipment connections. Provide shutoff valve and union for each connection. Use flanges instead of unions for NPS 2-1/2 and larger.

3.10 IDENTIFICATION

- A. Identify system components. Comply with requirements for identification materials and installation in Section 220553 "Identification for Plumbing Piping and Equipment."

3.11 ADJUSTING

- A. Perform the following adjustments before operation:
 - 1. Close drain valves, hydrants, and hose bibbs.
 - 2. Open shutoff valves to fully open position.
 - 3. Open throttling valves to proper setting.
 - 4. Adjust balancing valves in hot-water-circulation return piping to provide adequate flow.
 - a. Manually adjust ball-type balancing valves in hot-water-circulation return piping to provide hot-water flow in each branch.
 - b. Adjust calibrated balancing valves to flows indicated.
 - 5. Remove plugs used during testing of piping and for temporary sealing of piping during installation.
 - 6. Remove and clean strainer screens. Close drain valves and replace drain plugs.
 - 7. Remove filter cartridges from housings and verify that cartridges are as specified for application where used and are clean and ready for use.
 - 8. Check plumbing specialties and verify proper settings, adjustments, and operation.

3.12 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
 - 1. Piping Inspections:
 - a. Do not enclose, cover, or put piping into operation until it has been inspected and approved by NYC Department of Buildings inspector.
 - b. During installation, notify Commissioner and the NYC Building Department of Buildings at least 72 hours before inspection must be made. Perform tests specified below in presence of the Commissioner or representatives of the NYC Department of Building:
 - 1) Roughing-in Inspection: Arrange for inspection of piping before concealing or closing in after roughing in and before setting fixtures.
 - 2) Final Inspection: Arrange for the NYC Department of Buildings inspector and Commissioner to observe tests specified in "Piping Tests" Subparagraph below and to ensure compliance with requirements.
 - c. Reinspection: If the NYC Department of Buildings inspectors find that piping will not pass tests or inspections, make required corrections and arrange for reinspection.
 - d. Reports: Prepare inspection reports and have them signed by the NYC Department of Buildings inspectors.

2. Piping Tests:

- a. Fill domestic water piping. Check components to determine that they are not air bound and that piping is full of water.
 - b. Test for leaks and defects in new piping and parts of existing piping that have been altered, extended, or repaired. If testing is performed in segments, submit a separate report for each test, complete with diagram of portion of piping tested.
 - c. Leave new, altered, extended, or replaced domestic water piping uncovered and unconcealed until it has been tested and approved. Expose work that was covered or concealed before it was tested.
 - d. Cap and subject piping to static water pressure of 50 psig above operating pressure, without exceeding pressure rating of piping system materials. Isolate test source and allow it to stand for four hours. Leaks and loss in test pressure constitute defects that must be repaired.
 - e. Hydrostatic testing and documentation of test results for polypropylene piping to be in accordance with the manufacturer's instructions and submitted to the manufacturer upon successful completion per warranty requirements.
 - f. Repair leaks and defects with new materials, and retest piping or portion thereof until satisfactory results are obtained.
 - g. Prepare reports for tests and for corrective action required.
- B. Domestic water piping will be considered defective if it does not pass tests and inspections.
- C. Prepare test and inspection reports.

3.13 CLEANING

- A. Clean and disinfect potable domestic water piping as follows:
1. Purge new piping and parts of existing piping that have been altered, extended, or repaired before using.
 2. Use purging and disinfecting procedures prescribed by the NYC Plumbing Code; if methods are not prescribed, use procedures described in either AWWA C651 or AWWA C652 or follow procedures described below:
 - a. Flush piping system with clean, potable water until dirty water does not appear at outlets.
 - b. Fill and isolate system according to either of the following:
 - 1) Fill system or part thereof with water/chlorine solution with at least 50 pp of chlorine. Isolate with valves and allow to stand for 24 hours.
 - 2) Fill system or part thereof with water/chlorine solution with at least 200 ppm of chlorine. Isolate and allow to stand for three hours.
 - c. Flush system with clean, potable water until no chlorine is in water coming from system after the standing time.
 - d. Repeat procedures if biological examination shows contamination.
 - e. Submit water samples in sterile bottles to certified laboratory facility.
- B. Clean non-potable domestic water piping as follows:

1. Purge new piping and parts of existing piping that have been altered, extended, or repaired before using.
2. Use purging procedures prescribed by the NYC Plumbing Code or if methods are not prescribed, follow procedures described below:
 - a. Flush piping system with clean, potable water until dirty water does not appear at outlets.
 - b. Submit water samples in sterile bottles. Repeat procedures if biological examination shows contamination.
- C. Prepare and submit reports of purging and disinfecting activities.
- D. Clean interior of domestic water piping system. Remove dirt and debris as work progresses.

END OF SECTION 221116

SECTION 221119 - DOMESTIC WATER PIPING SPECIALTIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:

1. Vacuum breakers.
2. Backflow preventers.
3. Drain valves.
4. Water-hammer arresters.
5. Trap-seal primer device.

- B. Related Requirements:

1. Section 220519 "Meters and Gauges for Plumbing Piping" for thermometers, pressure gauges, and flow meters in domestic water piping.

1.3 DEFINITIONS

- A. AMI: Advanced Metering Infrastructure.
- B. AMR: Automatic Meter Reading.
- C. FKM: A family of fluoroelastomer materials defined by ASTM D1418.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: For domestic water piping specialties.
 1. Include diagrams for power, signal, and control wiring.

1.6 INFORMATIONAL SUBMITTALS

- A. Test and inspection reports.
- B. Field quality-control reports.

1.7 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For domestic water piping specialties to include in emergency, operation, and maintenance manuals.

1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 GENERAL REQUIREMENTS FOR PIPING SPECIALTIES

- A. Domestic water piping specialties intended to convey or dispense water for human consumption are to comply with the U.S. Safe Drinking Water Act (SDWA), NSF 61 and NSF 372, or to be certified in compliance with NSF 61 and NSF 372 by an American National Standards Institute (ANSI)-accredited third-party certification body that the weighted average lead content at wetted surfaces is less than or equal to 0.25 percent.

2.2 PERFORMANCE REQUIREMENTS

- A. Minimum Working Pressure for Domestic Water Piping Specialties: 125 psig unless otherwise indicated.

2.3 VACUUM BREAKERS

- A. Pipe-Applied, Atmospheric-Type Vacuum Breakers:
 - 1. Standard: ASSE 1001.
 - 2. Size: NPS 1/4 to NPS 3, as required to match connected piping.
 - 3. Body: Bronze.
 - 4. Inlet and Outlet Connections: Threaded.
 - 5. Finish: Rough bronze.
 - a. Valves: Ball type, on inlet and outlet.

2.4 BACKFLOW PREVENTERS

- A. Double-Check, Backflow-Prevention Assemblies:

1. Standard: ASSE 1015.
2. Operation: Continuous-pressure applications unless otherwise indicated.
3. Pressure Loss: 5 psig maximum, through middle third of flow range.
4. Size: 2 NPS.
5. Body: Bronze for NPS 2 and smaller.
6. End Connections: Threaded for NPS 2 and smaller.
7. Configuration: Designed for horizontal, straight-through configuration flow.
8. Accessories:
 - a. Valves NPS 2 and Smaller: Ball type with threaded ends on inlet and outlet.
 - b. Valves NPS 2-1/2 and Larger: Outside-screw and yoke-gate type with flanged ends on inlet and outlet.

2.5 DRAIN VALVES

A. Ball-Valve-Type, Hose-End Drain Valves:

1. Standard: MSS SP-110 for standard-port, two-piece ball valves.
2. Pressure Rating: 400-psig minimum CWP.
3. Size: NPS 3/4.
4. Body: Copper alloy.
5. Ball: Chrome-plated brass.
6. Seats and Seals: Replaceable.
7. Handle: Vinyl-covered steel.
8. Inlet: Threaded or solder joint.
9. Outlet: Threaded, short nipple with garden-hose thread complying with ASME B1.20.7 and cap with brass chain.

2.6 WATER-HAMMER ARRESTERS

A. Water-Hammer Arresters:

1. Standard: ASSE 1010 or PDI-WH 201.
2. Type: Metal bellows.
3. Size: ASSE 1010, Sizes AA and A through F, or PDI-WH 201, Sizes A through F.

2.7 TRAP-SEAL PRIMER DEVICE

A. Supply-Type, Trap-Seal Primer Device:

1. Standard: ASSE 1018.
2. Pressure Rating: 125 psig minimum.
3. Body: Bronze.
4. Inlet and Outlet Connections: NPS 1/2 threaded, union, or solder joint.
5. Gravity Drain Outlet Connection: NPS 1/2 threaded or solder joint.
6. Finish: Chrome plated, or rough bronze for units used with pipe or tube that is not chrome finished.

B. Drainage-Type, Trap-Seal Primer Device:

1. Standard: ASSE 1044, lavatory P-trap with NPS 3/8 minimum, trap makeup connection.
2. Size: NPS 1-1/4 minimum.
3. Material: Chrome-plated, cast brass.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION OF PIPING SPECIALTIES

- A. Backflow Preventers: Install in each water supply to mechanical equipment and systems and to other equipment and water systems that may be sources of contamination. Comply with the requirements of the NYC Plumbing Code and the NYC DEP.

1. Locate backflow preventers in same room as connected equipment or system.
2. Install drain for backflow preventers with atmospheric-vent drain connection with air-gap fitting, fixed air-gap fitting, or equivalent positive pipe separation of at least two pipe diameters in drain piping and pipe-to-floor drain. Locate air-gap device attached to or under backflow preventer. Simple air breaks are unacceptable for this application.
3. Do not install bypass piping around backflow preventers.

- B. Water Regulators: Install with inlet and outlet shutoff valves. Install pressure gauges on inlet and outlet.

- C. Water Control Valves: Install with inlet and outlet shutoff valves. Install pressure gauges on inlet and outlet.

- D. Automatic Water Shutoff Valves: Test for signal strength before valve installation. Install automatic shutoff valve downstream from main domestic water shutoff valve. Install valve controller in an accessible location with sensors in areas where water is likely to accumulate.

- E. Water-Hammer Arresters: Install in water piping in accordance with PDI-WH 201.

- F. Supply-Type, Trap-Seal Primer Device: Install with outlet piping pitched down toward drain trap a minimum of 1 percent, and connect to floor-drain body, trap, or inlet fitting. Adjust valve for proper flow.

- G. Drainage-Type, Trap-Seal Primer Device: Install as lavatory trap with outlet piping pitched down toward drain trap a minimum of 1 percent, and connect to floor-drain body, trap, or inlet fitting.

3.3 PIPING CONNECTIONS

- A. Drawings indicate general arrangement of piping, fittings, and specialties.

- B. When installing piping specialties adjacent to equipment and machines, allow space for service and maintenance.

3.4 ELECTRICAL CONNECTIONS

- A. Connect wiring in accordance with Section 260519 "Low-Voltage Electrical Power Conductors and Cables."
- B. Ground equipment in accordance with Section 260526 "Grounding and Bonding for Electrical Systems."
- C. Install electrical devices furnished by manufacturer, but not factory mounted, in accordance with NFPA 70 and NECA 1.

3.5 CONTROL CONNECTIONS

- A. Connect control wiring in accordance with Section 260523 "Control-Voltage Electrical Power Cables."

3.6 IDENTIFICATION

- A. Plastic Labels for Equipment: Install engraved plastic-laminate equipment nameplate or sign on or near each installed item.
- B. Distinguish among multiple units, inform operator of operational requirements, indicate safety and emergency precautions, and warn of hazards and improper operations, in addition to identifying unit. Nameplates and signs are specified in Section 220553 "Identification for Plumbing Piping and Equipment."

3.7 ADJUSTING

- A. Set field-adjustable pressure set points of water pressure-reducing valves.
- B. Set field-adjustable flow set points of balancing valves.
- C. Set field-adjustable temperature set points of temperature-actuated, water mixing valves.
- D. Adjust each vacuum breaker and backflow preventer in accordance with manufacturer's written instructions and the device's reference standard.

3.8 FIELD QUALITY CONTROL

- A. Perform tests and inspections with the assistance of a factory-authorized service representative.
- B. Tests and inspections:
 - 1. Test each double-check, backflow-prevention assembly according to the NYC Plumbing Code and the NYC DEP requirements and the device's reference standard.

2. Leak Test: After installation, charge system and test for leaks. Repair leaks and retest until no leaks exist.
 3. Operational Test: After electrical circuitry has been energized, start units to confirm unit operation.
 4. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- C. Domestic water piping specialties will be considered defective if they do not pass tests and inspections.
- D. Prepare test and inspection reports.

END OF SECTION 221119

SECTION 221316 - SANITARY WASTE AND VENT PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Hub-and-spigot, cast-iron soil pipe and fittings.
 - 2. Hubless, cast-iron soil pipe and fittings.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Sustainable Design Submittals:
 - 1. Product Data: For adhesives, indicating VOC content.
- C. Shop Drawings: For hubless, single-stack drainage system. Include plans, elevations, sections, and details.
- D. Coordination Drawings: Plans and elevations, drawn to scale, showing items described in this Section and coordinated with all building trades.

1.5 INFORMATIONAL SUBMITTALS

- A. Field quality-control reports.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.7 FIELD CONDITIONS

- A. Interruption of Existing Sanitary Waste Service: Do not interrupt service to facilities occupied by City of New York unless permitted under the following conditions and then only after arranging to provide temporary service in accordance with requirements indicated:
 - 1. Notify Commissioner no fewer than two days in advance of proposed interruption of sanitary waste service.
 - 2. Do not proceed with interruption of sanitary waste service without Commissioner's written permission.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Components and installation are capable of withstanding the following minimum working pressure unless otherwise indicated:
 - 1. Soil, Waste, and Vent Piping: 10 ft. head of water.

2.2 PIPING MATERIALS

- A. Piping materials to bear label, stamp, or other markings of specified testing agency.
- B. Comply with requirements in "Piping Schedule" Article for applications of pipe, tube, fitting materials, and joining methods for specific services, service locations, and pipe sizes.

2.3 HUB-AND-SPIGOT, CAST-IRON SOIL PIPE AND FITTINGS

- A. Pipe and Fittings:
 - 1. Marked with CISPI collective trademark.
 - 2. ASTM A74, service and extra-heavy cast iron.
- B. Gaskets: ASTM C564, rubber.
- C. Caulking Materials: ASTM B29, pure lead and oakum or hemp fiber.

2.4 HUBLESS, CAST-IRON SOIL PIPE AND FITTINGS

- A. Pipe and Fittings:
 - 1. Marked with CISPI collective trademark.
 - 2. ASTM A888 or CISPI 301.

- B. Single-Stack Aerator Fittings: ASME B16.45, hubless, cast-iron aerator and deaerator drainage fittings.
- C. CISPI, Hubless-Piping Couplings:
 - 1. Standards: ASTM C1277 and CISPI 310.
 - 2. Description: Stainless steel corrugated shield with stainless steel bands and tightening devices; and ASTM C564, rubber sleeve with integral, center pipe stop.
- D. Heavy-Duty, Hubless-Piping Couplings:
 - 1. Standards: ASTM C1277 and ASTM C1540.
 - 2. Description: Stainless steel shield with stainless steel bands and tightening devices; and ASTM C564, rubber sleeve with integral, center pipe stop.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EARTH MOVING

- A. Comply with requirements for excavating, trenching, and backfilling specified in Section 312000 "Earth Moving."

3.3 PIPING INSTALLATION

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems.
 - 1. Indicated locations and arrangements were used to size pipe and calculate friction loss, expansion, pump sizing, and other design considerations.
 - 2. Install piping as indicated unless deviations to layout are approved on coordination drawings.
- B. Install piping in concealed locations unless otherwise indicated and except in equipment rooms and service areas.
- C. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- D. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal.
- E. Install piping to permit valve servicing.
- F. Install piping at indicated slopes.
- G. Install piping free of sags and bends.

- H. Install fittings for changes in direction and branch connections.
- I. Install piping to allow application of insulation.
- J. Make changes in direction for soil and waste drainage and vent piping using appropriate branches, bends, and long-sweep bends.
 - 1. Sanitary tees and short-sweep 1/4 bends may be used on vertical stacks if change in direction of flow is from horizontal to vertical.
 - 2. Use long-turn, double Y-branch, and 1/8-bend fittings if two fixtures are installed back to back or side by side with common drain pipe.
 - a. Straight tees, elbows, and crosses may be used on vent lines.
 - 3. Do not change direction of flow more than 90 degrees.
 - 4. Use proper size of standard increasers and reducers if pipes of different sizes are connected.
 - a. Reducing size of waste piping in direction of flow is prohibited.
- K. Lay buried building waste piping beginning at low point of each system.
 - 1. Install true to grades and alignment indicated, with unbroken continuity of invert. Place hub ends of piping upstream.
 - 2. Install required gaskets according to manufacturer's written instructions for use of lubricants, cements, and other installation requirements.
 - 3. Maintain swab in piping and pull past each joint as completed.
- L. Install soil and waste and vent piping at the following minimum slopes unless otherwise indicated:
 - 1. Building Sanitary Waste: Two percent downward in direction of flow for piping NPS 3 and smaller; 1 percent downward in direction of flow for piping NPS 4 and larger.
 - 2. Horizontal Sanitary Waste Piping: Two percent downward in direction of flow.
 - 3. Vent Piping: One percent down toward vertical fixture vent or toward vent stack.
- M. Install cast-iron soil piping in accordance with CISPI's "Cast Iron Soil Pipe and Fittings Handbook," Chapter IV, "Installation of Cast Iron Soil Pipe and Fittings."
 - 1. Install encasement on underground piping in accordance with ASTM A674 or AWWA C105/A 21.5.
- N. Install steel piping in accordance with applicable plumbing code.
- O. Install stainless-steel piping in accordance with ASME A112.3.1 and applicable plumbing code.
- P. Install aboveground copper tubing in accordance with CDA's "Copper Tube Handbook."
- Q. Install engineered soil and waste and vent piping systems as follows:
 - 1. Combination Waste and Vent: Comply with standards of the New York City Plumbing Code.

2. Hubless, Single-Stack Drainage System: Comply with ASME B16.45 and hubless, single-stack aerator fitting manufacturer's written installation instructions.
 3. Reduced-Size Venting: Comply with standards of the New York City Plumbing Code.
- R. Install underground, ductile-iron, force-main piping according to AWWA C600.
1. Install buried piping inside building between wall and floor penetrations and connection to sanitary sewer piping outside building with restrained joints.
 2. Anchor pipe to wall or floor. Install thrust-block supports at vertical and horizontal offsets.
- S. Plumbing Specialties:
1. Install backwater valves in sanitary waster gravity-flow piping.
 - a. Comply with requirements for backwater valves specified in Section 221319 "Sanitary Waste Piping Specialties."
 2. Install cleanouts at grade and extend to where building sanitary drains connect to building sanitary sewers in sanitary waste gravity-flow piping.
 - a. Install cleanout fitting with closure plug inside the building in sanitary drainage force-main piping.
 - b. Comply with requirements for cleanouts specified in Section 221319 "Sanitary Waste Piping Specialties."
 3. Install drains in sanitary waste gravity-flow piping.
 - a. Comply with requirements for drains specified in Section 221319 "Sanitary Waste Piping Specialties."
- T. Do not enclose, cover, or put piping into operation until it is inspected and approved by inspectors of the NYC Department of Buildings.
- U. Install sleeves for piping penetrations of walls, ceilings, and floors.
1. Comply with requirements for sleeves specified in Section 220517 "Sleeves and Sleeve Seals for Plumbing Piping."
- V. Install sleeve seals for piping penetrations of concrete walls and slabs.
1. Comply with requirements for sleeve seals specified in Section 220517 "Sleeves and Sleeve Seals for Plumbing Piping."
- W. Install escutcheons for piping penetrations of walls, ceilings, and floors.
1. Comply with requirements for escutcheons specified in Section 220518 "Escutcheons for Plumbing Piping."

3.4 JOINT CONSTRUCTION

- A. Hub-and-Spigot, Cast-Iron Soil Piping Gasketed Joints: Join in accordance with CISPI's "Cast Iron Soil Pipe and Fittings Handbook" for compression joints.
- B. Hub-and-Spigot, Cast-Iron Soil Piping Caulked Joints: Join in accordance with CISPI's "Cast Iron Soil Pipe and Fittings Handbook" for lead-and-oakum caulked joints.
- C. Hubless, Cast-Iron Soil Piping Coupled Joints:
 - 1. Join hubless, cast-iron soil piping in accordance with CISPI 310 and CISPI's "Cast Iron Soil Pipe and Fittings Handbook" for hubless-piping coupling joints.
- D. Threaded Joints: Thread pipe with tapered pipe threads in accordance with ASME B1.20.1.
 - 1. Cut threads full and clean using sharp dies.
 - 2. Ream threaded pipe ends to remove burrs and restore full ID. Join pipe fittings and valves as follows:
 - a. Apply appropriate tape or thread compound to external pipe threads unless dry seal threading is specified.
 - b. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged.
 - c. Do not use pipe sections that have cracked or open welds.
- E. Join copper tube and fittings with soldered joints in accordance with ASTM B828. Use ASTM B813, water-flushable, lead-free flux and ASTM B32, lead-free-alloy solder.

3.5 VALVE INSTALLATION

- A. General valve installation requirements for general-duty valve installation are specified in the following Sections:
 - 1. Section 220523.12 "Ball Valves for Plumbing Piping."
 - 2. Section 220523.14 "Check Valves for Plumbing Piping."
 - 3. Section 220523.15 "Gate Valves for Plumbing Piping."
- B. Shutoff Valves:
 - 1. Install shutoff valve on each sewage pump discharge.
 - 2. Install gate valve for piping NPS 2 and smaller.
 - 3. Install gate valve for piping NPS 2-1/2 and larger.
- C. Check Valves: Install swing check valve, between pump and shutoff valve, on each sewage pump discharge.
- D. Backwater Valves: Install backwater valves in piping subject to backflow.
 - 1. Floor Drains: Drain outlet backwater valves unless drain has integral backwater valve.

3.6 INSTALLATION OF HANGERS AND SUPPORTS

- A. Comply with requirements for pipe hanger and support devices and installation specified in Section 220529 "Hangers and Supports for Plumbing Piping and Equipment".
 - 1. Install carbon-steel pipe hangers for horizontal piping in noncorrosive environments.
 - 2. Install stainless steel pipe hangers for horizontal piping in corrosive environments.
 - 3. Install carbon-steel pipe support clamps for vertical piping in noncorrosive environments.
 - 4. Vertical Piping: MSS Type 8 or Type 42 clamps.
 - 5. Install individual, straight, horizontal piping runs:
 - a. 100 Ft. and Less: MSS Type 1, adjustable, steel clevis hangers.
 - b. Longer Than 100 Ft.: MSS Type 43, adjustable roller hangers.
 - 6. Multiple, Straight, Horizontal Piping Runs 100 Ft. or Longer: MSS Type 44 pipe rolls. Support pipe rolls on trapeze.
 - 7. Base of Vertical Piping: MSS Type 52 spring hangers.
- B. Install hangers for cast-iron soil piping, with maximum horizontal spacing and minimum rod diameters, to comply with MSS-58 and the requirements of the NYC Building Code, whichever are most stringent.
- C. Support horizontal piping and tubing within 12 inches of each fitting, valve, and coupling.
- D. Support vertical runs of cast-iron soil piping to comply with MSS SP-58, and the requirements of the NYC Building Code, whichever are most stringent.

3.7 CONNECTIONS

- A. Drawings indicate general arrangement of piping, fittings, and specialties.
- B. Connect soil and waste piping to exterior sanitary sewerage piping. Use transition fitting to join dissimilar piping materials.
- C. Connect waste and vent piping to the following:
 - 1. Plumbing Fixtures: Connect waste piping in sizes indicated, but not smaller than required by NYC Plumbing code.
 - 2. Plumbing Fixtures and Equipment: Connect atmospheric vent piping in sizes indicated, but not smaller than required by the NYC Plumbing Code.
 - 3. Plumbing Specialties: Connect waste and vent piping in sizes indicated, but not smaller than required by NYC Plumbing code.
 - 4. Install test tees (wall cleanouts) in conductors near floor and floor cleanouts with cover flush with floor.
 - 5. Install horizontal backwater valves with cleanout cover flush with floor.
 - 6. Comply with requirements for backwater valves, cleanouts and drains specified in Section 221319 "Sanitary Waste Piping Specialties."
 - 7. Equipment: Connect waste piping as indicated.

- a. Provide shutoff valve if indicated and union for each connection.
- b. Use flanges instead of unions for connections NPS 2-1/2 and larger.

D. Connect force-main piping to the following:

1. Sanitary Sewer: To exterior force main.
2. Sewage Pump: To sewage pump discharge.

E. Where installing piping adjacent to equipment, allow space for service and maintenance of equipment.

F. Make connections in accordance with the following unless otherwise indicated:

1. Install unions, in piping NPS 2 and smaller, adjacent to each valve and at final connection to each piece of equipment.
2. Install flanges, in piping NPS 2-1/2 and larger, adjacent to flanged valves and at final connection to each piece of equipment.

3.8 IDENTIFICATION

- A. Identify exposed sanitary waste and vent piping.
- B. Comply with requirements for identification specified in Section 220553 "Identification for Plumbing Piping and Equipment."

3.9 FIELD QUALITY CONTROL

- A. During installation, notify the Commissioner and the NYC Department of Buildings at least 24 hours before inspection must be made. Perform tests specified below in presence of Commissioner or representatives of the NYC Department of Buildings.
 1. Roughing-in Inspection: Arrange for inspection of piping before concealing or closing-in after roughing-in and before setting fixtures.
 2. Final Inspection: Arrange for final inspection by the NYC Department of Buildings inspector and Commissioner to observe tests specified below and to ensure compliance with requirements.
- B. Reinspection: If the NYC Department of Buildings inspectors find that piping will not pass test or inspection, make required corrections and arrange for reinspection.
- C. Reports: Prepare inspection reports and have them signed by the NYC Department of Buildings inspectors.
- D. Test sanitary waste and vent piping in according to procedures of the New York City Plumbing Code or, in absence of published procedures, as follows:
 1. Test for leaks and defects in new piping and parts of existing piping that have been altered, extended, or repaired.
 - a. If testing is performed in segments, submit separate report for each test, complete with diagram of portion of piping tested.

2. Leave uncovered and unconcealed new, altered, extended, or replaced waste and vent piping until it has been tested and approved.
 - a. Expose work that was covered or concealed before it was tested.
 3. Roughing-in Plumbing Test Procedure: Test waste and vent piping except outside leaders on completion of roughing-in.
 - a. Close openings in piping system and fill with water to point of overflow, but not less than 10 ft. head of water.
 - b. From 15 minutes before inspection starts to completion of inspection, water level must not drop.
 - c. Inspect joints for leaks.
 4. Finished Plumbing Test Procedure: After plumbing fixtures have been set and traps filled with water, test connections and prove they are gastight and watertight.
 - a. Plug vent-stack openings on roof and building drains where they leave building. Introduce air into piping system equal to pressure of 1 inch wg.
 - b. Use U-tube or manometer inserted in trap of water closet to measure this pressure.
 - c. Air pressure must remain constant without introducing additional air throughout period of inspection.
 - d. Inspect plumbing fixture connections for gas and water leaks.
 5. Repair leaks and defects with new materials and retest piping, or portion thereof, until satisfactory results are obtained.
 6. Prepare reports for tests and required corrective action.
- E. Test force-main piping according to procedures of the New York City Plumbing Code or, in absence of published procedures, as follows:
1. Leave uncovered and unconcealed new, altered, extended, or replaced force-main piping until it has been tested and approved.
 - a. Expose work that was covered or concealed before it was tested.
 2. Cap and subject piping to static-water pressure of 50 psig above operating pressure, without exceeding pressure rating of piping system materials.
 - a. Isolate test source and allow to stand for four hours.
 - b. Leaks and loss in test pressure constitute defects that must be repaired.
 3. Repair leaks and defects with new materials and retest piping, or portion thereof, until satisfactory results are obtained.
 4. Prepare reports for tests and required corrective action.

3.10 CLEANING AND PROTECTION

- A. Clean interior of piping. Remove dirt and debris as work progresses.
- B. Protect sanitary waste and vent piping during remainder of construction period to avoid clogging with dirt and debris and to prevent damage from traffic and construction work.
- C. Place plugs in ends of uncompleted piping at end of day and when work stops.
- D. Repair damage to adjacent materials caused by waste and vent piping installation.

3.11 PIPING SCHEDULE

- A. Flanges and unions may be used on aboveground pressure piping unless otherwise indicated.
- B. Aboveground, soil and waste piping NPS 4 and smaller are to be any of the following:
 - 1. Service cast-iron soil pipe and fittings; gaskets; and gasketed joints.
 - 2. Hubless, cast-iron soil pipe and fittings heavy-duty hubless-piping couplings; and coupled joints.
- C. Aboveground, soil and waste piping NPS 5 and larger are to be any of the following:
 - 1. Service cast iron, cast-iron soil pipe and fittings; gaskets; and gasketed joints.
 - 2. Hubless, cast-iron soil pipe and fittings heavy-duty hubless-piping couplings; and coupled joints.
- D. Aboveground, vent piping NPS 4 and smaller is to be any of the following:
 - 1. Service cast iron, cast-iron soil pipe and fittings; gaskets; and gasketed joints.
 - 2. Hubless, cast-iron soil pipe and fittings; heavy-duty hubless-piping couplings; and coupled joints.
- E. Aboveground, vent piping NPS 5 and larger is to be any of the following:
 - 1. Service cast iron, cast-iron soil pipe and fittings; gaskets; and gasketed joints.
 - 2. Hubless, cast-iron soil pipe and fittings; heavy-duty hubless-piping couplings; and coupled joints.
- F. Underground, soil, waste, and vent piping NPS 4 and smaller are to be any of the following:
 - 1. Extra-heavy cast-iron soil piping; gaskets; and gasketed joints.
 - 2. Hubless, cast-iron soil pipe and fittings; heavy-duty hubless-piping couplings; and coupled joints.
- G. Underground, soil and waste piping NPS 5 and larger are to be any of the following:
 - 1. Extra-heavy, cast-iron soil piping; gaskets; and gasketed joints.
 - 2. Hubless, cast-iron soil pipe and fittings; heavy-duty hubless-piping couplings; coupled joints.
- H. Underground sanitary-sewage force mains NPS 4 and smaller are to be any of the following:
 - 1. Ductile-iron, mechanical-joint piping and mechanical joints.

END OF SECTION 221316

SECTION 221319 - SANITARY WASTE PIPING SPECIALTIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Cleanouts.
 - 2. Miscellaneous sanitary drainage piping specialties.
- B. Related Requirements:
 - 1. Section 078413 "Penetration Firestopping" for through-penetration firestop assemblies.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.

1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For sanitary waste piping specialties to include in emergency, operation, and maintenance manuals.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 ASSEMBLY DESCRIPTIONS

- A. Sanitary waste piping specialties shall bear label, stamp, or other markings of specified testing agency.

- B. Comply with NSF 14 for plastic sanitary waste piping specialty components.

2.2 CLEANOUTS

A. Cast-Iron Exposed Floor Cleanouts:

1. Standard: ASME A112.36.2M for threaded, adjustable housing cleanout.
2. Size: Same as connected branch.
3. Type: Threaded, adjustable housing.
4. Body or Ferrule: Cast iron.
5. Clamping Device: Required.
6. Outlet Connection: Inside caulk.
7. Closure: Brass plug with straight threads and gasket.
8. Adjustable Housing Material: Cast iron with threads.
9. Frame and Cover Material and Finish: Rough bronze.
10. Frame and Cover Shape: Square.
11. Top-Loading Classification: Heavy Duty.
12. Riser: ASTM A74, Extra-Heavy Class, cast-iron drainage pipe fitting and riser to cleanout.

2.3 MISCELLANEOUS SANITARY DRAINAGE PIPING SPECIALTIES

A. Floor-Drain, Trap-Seal Primer Fittings:

1. Description: Cast iron, with threaded inlet and threaded or spigot outlet, and trap-seal primer valve connection.
2. Size: Same as floor drain outlet with NPS 1/2 side inlet.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION

A. Install backwater valves in building drain piping.

1. For interior installation, provide cleanout deck plate flush with floor and centered over backwater valve cover, and of adequate size to remove valve cover for servicing.

B. Install cleanouts in aboveground piping and building drain piping according to the following, unless otherwise indicated:

1. Size same as drainage piping up to NPS 4. Use NPS 4 for larger drainage piping unless larger cleanout is indicated.

2. Locate at each change in direction of piping greater than 45 degrees.
 3. Locate at minimum intervals of 50 feet for piping NPS 4 and smaller and 100 feet for larger piping.
 4. Locate at base of each vertical soil and waste stack.
- C. For floor cleanouts for piping below floors, install cleanout deck plates with top flush with finished floor.
- D. For cleanouts located in concealed piping, install cleanout wall access covers, of types indicated, with frame and cover flush with finished wall.
- E. Assemble open drain fittings and install with top of hub 1 inch above floor.
- F. Install floor-drain, trap-seal primer fittings on inlet to floor drains that require trap-seal primer connection.
1. Exception: Fitting may be omitted if trap has trap-seal primer connection.
 2. Size: Same as floor drain inlet.
- G. Install air-gap fittings on draining-type backflow preventers and on indirect-waste piping discharge into sanitary drainage system.
- H. Install wood-blocking reinforcement for wall-mounting-type specialties.
- I. Install traps on plumbing specialty drain outlets. Omit traps on indirect wastes unless trap is indicated.

3.3 PIPING CONNECTIONS

- A. Comply with requirements in Section 221316 "Sanitary Waste and Vent Piping" for piping installation requirements. Drawings indicate general arrangement of piping, fittings, and specialties.
- B. Install piping adjacent to equipment, to allow service and maintenance.

3.4 LABELING AND IDENTIFYING

- A. Distinguish among multiple units, inform operator of operational requirements, indicate safety and emergency precautions, and warn of hazards and improper operations, in addition to identifying unit.
1. Nameplates and signs are specified in Section 220553 "Identification for Plumbing Piping and Equipment."

3.5 PROTECTION

- A. Protect drains during remainder of construction period to avoid clogging with dirt or debris and to prevent damage from traffic or construction work.
- B. Place plugs in ends of uncompleted piping at end of each day or when work stops.

END OF SECTION 221319

SECTION 221319.13 - SANITARY DRAINS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:

- 1. Floor drains.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.

1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 DRAIN ASSEMBLIES

- A. Sanitary drains shall bear label, stamp, or other markings of specified testing agency.

2.2 FLOOR DRAINS

- A. Cast-Iron Floor Drains (PF5):

- 1. Basis-of-Design Product: Subject to compliance with requirements, provide Zurn; Model ZS451-BZ1 -TSP-VP with "Type B" Strainer, or comparable product by one of the following:

- a. MIFAB, Inc.
- b. WATTS.

- c. Or approved equal.
- 2. Standard: ASME A112.6.3.
- 3. Floor Drain Description: Floor drain, dura-coated cast iron body with bottom outlet, combination invertible clamp and adjustable collar with seepage slots and top assembly; provides up to a one inch vertical post-pour adjustment, pre-packaged shims for tilt correction and integrated self-contained light duty heel-proof strainer with rough-in cover for protection during concrete pour.
- 4. Floor Drain features and options:
 - a. Body Material: Coated cast iron body.
 - b. Outlet: Bottom, Inside-Caulk.
 - c. Pipe size: 3 inches.
 - d. Top or Strainer Material: Polished Stainless steel.
 - e. Top Shape: Round, vandal-proof, secured top.
 - f. Dimensions of Top or Strainer: 5 Inches diameter.
 - g. Top Loading Classification: Light Duty, heel-proof.
 - h. Trap Features: Trap seal protection device with size to match drain outlet.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION

- A. Install floor drains at low points of surface areas to be drained. Set grates of drains flush with finished floor, unless otherwise indicated.
 - 1. Position floor drains for easy access and maintenance.
 - 2. Set floor drains below elevation of surrounding finished floor to allow floor drainage.
 - 3. Set with grates depressed according to the following drainage area radii:
 - a. Radius, 30 Inches or Less: Equivalent to 1 percent slope, but not less than 1/4-inch total depression.
 - b. Radius, 30 to 60 Inches: Equivalent to 1 percent slope.
 - c. Radius, 60 Inches or Larger: Equivalent to 1 percent slope, but not greater than 1-inch total depression.
 - 4. Install floor-drain flashing collar or flange, so no leakage occurs between drain and adjoining flooring.
 - a. Maintain integrity of waterproof membranes where penetrated.
 - 5. Install individual traps for floor drains connected to sanitary building drain, unless otherwise indicated.

6. Set grates of drains flush with finished surface, unless otherwise indicated.

3.3 CONNECTIONS

- A. Comply with requirements in Section 221316 "Sanitary Waste and Vent Piping" for piping installation requirements. Drawings indicate general arrangement of piping, fittings, and specialties.
- B. Comply with requirements in Section 221319 "Sanitary Waste Piping Specialties" for backwater valves, air admittance devices and miscellaneous sanitary drainage piping specialties.
- C. Install piping adjacent to equipment to allow service and maintenance.
- D. Ground equipment according to Section 260526 "Grounding and Bonding for Electrical Systems."
- E. Connect wiring according to Section 260519 "Low-Voltage Electrical Power Conductors and Cables."

3.4 LABELING AND IDENTIFYING

- A. Distinguish among multiple units, inform operator of operational requirements, indicate safety and emergency precautions, and warn of hazards and improper operations, in addition to identifying unit. Nameplates and signs are specified in Section 220553 "Identification for Plumbing Piping and Equipment."

3.5 PROTECTION

- A. Protect drains during remainder of construction period to avoid clogging with dirt or debris and to prevent damage from traffic or construction work.
- B. Place plugs in ends of uncompleted piping at end of each day or when work stops.

END OF SECTION 221319.13

SECTION 223300 - ELECTRIC, DOMESTIC-WATER HEATERS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Commercial, electric, storage, domestic-water heaters.
 - 2. Domestic-water heater accessories.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Include rated capacities, operating characteristics, electrical characteristics, and furnished specialties and accessories.
- B. Shop Drawings:
 - 1. Include diagrams for power, signal, and control wiring.
- C. Coordination Drawings: Equipment room drawing drawn to scale, on which the items described in this Section are shown and coordinated with all building trades.

1.5 INFORMATIONAL SUBMITTALS

- A. Product Certificates: For each type of commercial electric, domestic-water heater.
- B. Domestic-Water Heater Labeling: Certified and labeled by a qualified testing agency.
- C. Source quality-control reports.
- D. Field quality-control reports.

E. Sample Warranty: For special warranty.

1.6 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For electric, domestic-water heaters to include emergency, operation, and maintenance manuals.

1.7 COORDINATION

A. Coordinate sizes and locations of concrete bases with actual equipment provided.

1.8 QUALITY ASSURANCE

A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.9 WARRANTY

A. Special Warranty: Manufacturer agrees to repair or replace components of electric, domestic-water heaters that fail in materials or workmanship within specified warranty period.

1. Failures include, but are not limited to, the following:

- a. Structural failures including storage tank and supports.
- b. Faulty operation of controls.
- c. Deterioration of metals, metal finishes, and other materials beyond normal use.

2. Warranty Periods: From date of Substantial Completion.

a. Commercial, Electric, Storage, Domestic-Water Heaters:

- 1) Storage Tank: Five years.
- 2) Controls and Other Components: Five years.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by an NRTL, and marked for intended location and use.

B. ASHRAE/IES Compliance: Applicable requirements in ASHRAE/IES 90.1.

C. ASME Compliance: Where ASME-code construction is indicated, fabricate and label commercial, domestic-water heater storage tanks to comply with ASME Boiler and Pressure Vessel Code: Section VIII, Division 1.

- D. NSF Compliance: Fabricate and label equipment components that will be in contact with potable water to comply with NSF 61 and NSF 372.

2.2 COMMERCIAL, ELECTRIC, DOMESTIC-WATER HEATERS

A. Commercial, Electric, Storage, Domestic-Water Heaters:

1. Basis-of-Design Product: Subject to compliance with requirements, provide A.O. Smith; Model DSE-10 or comparable product by one of the following:
 - a. Hubbell Water Heaters.
 - b. Lochinvar, LLC.
 - c. Or approved equal.
2. Source Limitations: Obtain domestic-water heaters from single source from single manufacturer.
3. Standard: UL 1453.
 - a. Pressure rating of storage tank: 150 psig.
 - b. Interior Finish: Comply with NSF 61 and NSF 372 barrier materials for potable-water tank linings, including extending lining material into tappings.
4. Factory-Installed, Storage-Tank Appurtenances:
 - a. Anode Rod: Replaceable magnesium.
 - b. Drain Valve: Corrosion-resistant metal with hose-end connection.
 - c. Insulation: Comply with ASHRAE/IES 90.1.
 - d. Jacket: Steel with enameled finish or high-impact composite material.
 - e. Heating Elements: Electric, screw-in or bolt-on immersion type arranged in multiples of three.
 - f. Temperature Control: Adjustable thermostat.
 - g. Safety Controls: High-temperature-limit and low-water cutoff devices or systems.
 - h. Relief Valves: ASME rated and stamped for combination temperature-and-pressure relief valves. Include one or more relief valves with total relieving capacity at least as great as heat input, and include pressure setting less than working-pressure rating of domestic-water heater. Select one relief valve with sensing element that extends into storage tank.
5. Special Requirements: NSF 5 construction.

B. Capacity and Characteristics:

1. Capacity: 10 gal.
2. Recovery: 41 gphat 60 deg F temperature rise.
3. Temperature Setting: 120 deg F.
4. Power Demand: 6 kilowatts.
5. Heating Elements:
 - a. Number of Elements: One.
 - b. Kilowatts Each Element: 6 kilowatts.

6. Electrical Characteristics:
 - a. Volts: 208 V.
 - b. Phases: Single.
 - c. Hertz: 60 Hz.
 - d. Full-Load Amperes: 28.8 A.
 - e. Maximum Overcurrent Protection: 60 A.

2.3 DOMESTIC WATER HEATER ACCESSORIES

A. Domestic-Water Expansion Tanks:

B. Basis-of-Design Product: Subject to compliance with requirements, provide A.O.Smith; Model PMC-2, or comparable product by one of the following:

1. AMTROL, Inc.
2. TACO Comfort Solutions, Inc.
3. Or approved equal.
4. Source Limitations: Obtain domestic-water expansion tanks from single source from single manufacturer.
5. Description: Steel pressure-rated tank constructed with welded joints and factory-installed, butyl-rubber diaphragm. Include air precharge to minimum system-operating pressure at tank.
6. Construction:
 - a. Tappings: Factory-fabricated steel, welded to tank before testing and labeling. Include ASME B1.20.1 pipe thread.
 - b. Interior Finish: Comply with NSF 61 and NSF 372 barrier materials for potable-water tank linings, including extending finish into and through tank fittings and outlets.
 - c. Air-Charging Valve: Factory installed.
7. Capacity and Characteristics:
 - a. Working-Pressure Rating: 100 kPa.
 - b. Capacity Acceptable: 2 gal. minimum.

C. Drain Pans: Corrosion-resistant metal with raised edge. Include dimensions not less than base of domestic-water heater, and include drain outlet not less than NPS 3/4with ASME B1.20.1 pipe threads.

D. Piping-Type Heat Traps: Field-fabricated piping arrangement in accordance with ASHRAE/IES 90.1.

2.4 SOURCE QUALITY CONTROL

A. Factory Tests: Test and inspect domestic-water heaters specified to be ASME-code construction, in accordance with ASME Boiler and Pressure Vessel Code.

B. Hydrostatically test commercial domestic-water heaters to minimum of one and one-half times pressure rating before shipment.

- C. Electric, domestic-water heaters will be considered defective if they do not pass tests and inspections.
- D. Prepare test and inspection reports.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 DOMESTIC-WATER HEATER INSTALLATION

- A. Commercial, Electric, Domestic-Water Heater Mounting: Install commercial, electric, domestic-water heaters on wall.
 - 1. Maintain manufacturer's recommended clearances.
- B. Install combination temperature-and-pressure relief valves in top portion of storage tanks. Use relief valves with sensing elements that extend into tanks. Extend domestic-water heater relief-valve outlet, with drain piping same as domestic-water piping in continuous downward pitch, and discharge by positive air gap onto closest floor drain.
- C. Install combination temperature-and-pressure relief valves in water piping for electric, domestic-water heaters without storage. Extend domestic-water heater relief-valve outlet, with drain piping same as domestic-water piping in continuous downward pitch, and discharge by positive air gap onto closest floor drain.
- D. Install water-heater drain piping as indirect waste to spill by positive air gap into open drains or over floor drains. Install hose-end drain valves at low points in water piping for electric, domestic-water heaters that do not have tank drains.
- E. Install thermometers on outlet piping of electric, domestic-water heaters. Comply with requirements for thermometers specified in Section 220519 "Meters and Gages for Plumbing Piping."
- F. Install thermometers on inlet and outlet piping of residential, solar, electric, domestic-water heaters. Comply with requirements for thermometers specified in Section 220519 "Meters and Gages for Plumbing Piping."
- G. Assemble and install inlet and outlet piping manifold kits for multiple electric, domestic-water heaters. Fabricate, modify, or arrange manifolds for balanced water flow through each electric, domestic-water heater. Include shutoff valve and thermometer in each domestic-water heater inlet and outlet, and throttling valve in each electric, domestic-water heater outlet. Comply with requirements for valves specified in Section 220523.12 "Ball Valves for Plumbing Piping," and Section 220523.15 "Gate Valves for Plumbing Piping," and comply with requirements for thermometers specified in Section 220519 "Meters and Gages for Plumbing Piping."

- H. Install pressure-reducing valve with integral bypass relief valve in electric, domestic-water booster-heater inlet piping and water hammer arrester in booster-heater outlet piping. Set pressure-reducing valve for outlet pressure of 25 psig.
- I. Install piping-type heat traps on inlet and outlet piping of electric, domestic-water heater storage tanks without integral or fitting-type heat traps.
- J. Fill electric, domestic-water heaters with water.
- K. Charge domestic-water expansion tanks with air to required system pressure.
- L. Install dielectric fittings in all locations where piping of dissimilar metals is to be joined. The wetted surface of the dielectric fitting contacted by potable water shall contain less than 0.25 percent of lead by weight.

3.3 PIPING CONNECTIONS

- A. Comply with requirements for piping specified in Section 221116 "Domestic Water Piping." Drawings indicate general arrangement of piping, fittings, and specialties.
- B. Where installing piping adjacent to electric, domestic-water heaters, allow space for service and maintenance of water heaters. Arrange piping for easy removal of domestic-water heaters.

3.4 IDENTIFICATION

- A. Identify system components. Comply with requirements for identification specified in Section 220553 "Identification for Plumbing Piping and Equipment."

3.5 FIELD QUALITY CONTROL

- A. Perform tests and inspections with the assistance of a factory-authorized service representative.
- B. Tests and Inspections:
 - 1. Leak Test: After installation, charge system and test for leaks. Repair leaks and retest until no leaks exist.
 - 2. Operational Test: After electrical circuitry has been energized, start units to confirm proper operation.
 - 3. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- C. Electric, domestic-water heaters will be considered defective if they do not pass tests and inspections.
- D. Prepare test and inspection reports.

3.6 DEMONSTRATION

- A. Engage a factory-authorized service representative to instruct City of New York's personnel to adjust and operate commercial, electric, domestic-water heaters.

END OF SECTION 223300

SECTION 224213.13 - COMMERCIAL WATER CLOSETS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Floor-mounted, bottom-outlet water closets.
 - 2. Flushometer valves.
 - 3. Toilet seats.

1.3 DEFINITIONS

- A. WaterSense Fixture: Water closet and/or flushometer valve/tank certified by the EPA to meet the WaterSense performance criteria.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data:
 - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for water closets.
 - 2. Include rated capacities, operating characteristics, electrical characteristics, and furnished specialties and accessories.
- B. Sustainable Design Submittals:
 - 1. Product Data: For water consumption.

1.6 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For flushometer valves to include in operation and maintenance manuals.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Standards:

1. Comply with ASME A112.19.2/CSA B45.1 for water closets.
2. Comply with ASME A112.19.5/CSA B45.15 for flush valves and spuds for water closets and tanks.
3. Comply with ASSE 1037/ASME A112.1037/CSA B125.37 for flush valves.
4. Comply with IAMPO/ANSI Z124.5 for water-closet (toilet) seats.
5. Comply with ASME A112.6.1M for water-closet supports.
6. Comply with ICC A117.1-2009 for ADA-compliant water closets.
7. Comply with EPA WaterSense for water closets.
8. Comply with ASTM A1045 for flexible PVC gaskets used in connection of vitreous china water closets to sanitary drainage systems.
9. Comply with ASME A112.4.3 for plastic fittings used in connection of vitreous china water closets to sanitary drainage systems.

2.2 FLOOR-MOUNTED, BOTTOM-OUTLET WATER CLOSETS

- A. Water Closets - Floor Mounted, Bottom Outlet, One-Piece Elongated Chair Height Toilet: (PF4).

1. Basis-of-Design Product: Subject to compliance with requirements, provide Sloan; Model ST-2229 ADA Universal, or comparable product by one of the following:
 - a. American Standard.
 - b. Kohler Co.
 - c. Or approved equal.
2. Source Limitations: Obtain water closets from single source from single manufacturer.
3. Bowl:
 - a. Shape: Elongated.
 - b. Material: Vitreous china.
 - c. Flush Type: Siphon jet.
 - d. Style: Flushometer tank.
 - e. Height: ADA compliant.
 - f. Rim Contour: Elongated.
 - g. Water Consumption: 1.0 gal. to 1.6 gal, with flush volume determined by flushometer. per flush.
 - h. Outlet: 2 inches rear outlet trapway diameter.
 - i. Color: White.

4. Included components:
5. Accessories:
 - a. Furnish all manufactured-recommended accessories including bolt caps, wax rings, hardware kits and connector hoses for a complete watercloset installation.
6. Flushometer Valve: (PF11)
7. Toilet Seat: (PF12)

2.3 FLUSHOMETER VALVES

A. Flushometer Valves - Piston, Sensor Operated, Battery Powered, with ADA offset adapter: (PF11).

1. Basis-of-Design Product: Subject to compliance with requirements, provide Sloan; Ecos 8111-1.1-OR series or comparable product by one of the following:
 - a. Kohler Co.
 - b. Zurn Industries, LLC.
 - c. Or approved equal.
2. Source Limitations: Obtain flushometer valve from single source from single manufacturer.
3. Flushometer valve: To be in compliance with applicable sections of SSE 1037 and ANSI/ASME 112.19.2.
4. Valve Operating Pressure: 15-80 psi.
5. Features: Fixed metering bypass and no volume adjustment, "low battery" flashing LED, three second flush delay.
6. Material: Brass body with corrosion-resistant components.
7. Style: Exposed.
8. Exposed Flushometer-Valve Finish: Chrome-plated.
9. Trip Mechanism: Battery-powered electronic sensor; listed and labeled as defined in NFPA 70, by qualified testing agency, and marked for intended location and application.
10. Consumption: 1.1 gal per flush.
11. Minimum Inlet: NPS 1.
12. Minimum Outlet: NPS 1-1/4.
13. Offset adapter: Manufacturer's standard offset adapter for lowering flushometer height by 1.5 inches to avoid conflict with ADA mounted grab bar.
 - a. Finish: Chrome-plated, to match flushometer finish.

2.4 TOILET SEATS

A. Toilet Seats: (PF12).

1. Manufacturers: Subject to compliance with requirements, provide Bemis; 1955SSCT, or comparable product by one of the following:
 - a. American Standard.
 - b. Zurn Industries, LLC.

- c. Or approved equal.
- 2. Source Limitations: Obtain toilet seat from single source from single manufacturer.
- 3. Material: Plastic.
- 4. Type: Commercial (Heavy duty).
- 5. Shape: Elongated rim, open front.
- 6. Hinge: Self-sustaining, check.
- 7. Hinge Material: Noncorroding metal.
- 8. Color: White.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine roughing-in for water-supply piping and sanitary drainage and vent piping systems to verify actual locations of piping connections before water-closet installation.
- B. Examine walls and floors for suitable conditions where water closets will be installed.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION, GENERAL

A. Water-Closet Installation:

- 1. Install level and plumb.
- 2. Install floor-mounted water closets on bowl-to-drain connecting fitting attachments to piping or building substrate.
- 3. Install accessible, wall-mounted water closets at mounting height in accordance with ICC A117.1-2009.

B. Support Installation:

- 1. Install supports, affixed to building substrate, for floor-mounted, back-outlet water closets.
- 2. Use carrier supports with waste-fitting assembly and seal.
- 3. Install floor-mounted, back-outlet water closets attached to building floor substrate, onto waste-fitting seals; and attach to support.
- 4. Install wall-mounted, back-outlet water-closet supports with waste-fitting assembly and waste-fitting seals; and affix to building substrate.
- 5. Measure support height installation from finished floor, not structural floor.

- C. Install toilet seats on water closets.

D. Wall Flange and Escutcheon Installation:

1. Install wall flanges or escutcheons at piping wall penetrations in exposed, finished locations and within cabinets and millwork.
2. Install deep-pattern escutcheons if required to conceal protruding fittings.
3. Comply with escutcheon requirements specified in Section 220518 "Escutcheons for Plumbing Piping."

E. Joint Sealing:

1. Seal joints between water closets and walls and floors using sanitary-type, one-part, mildew-resistant silicone sealant.
2. Match sealant color to water-closet color.
3. Comply with sealant requirements specified in Section 079200 "Joint Sealants."

3.4 PIPING CONNECTIONS

- A. Connect water closets with water supplies and soil, waste, and vent piping. Use size fittings required to match water closets.
- B. Comply with water piping requirements specified in Section 221116 "Domestic Water Piping."
- C. Comply with soil and waste piping requirements specified in Section 221316 "Sanitary Waste and Vent Piping."
- D. Where installing piping adjacent to water closets, allow space for service and maintenance.

3.5 ELECTRICAL CONNECTIONS

- A. Connect wiring in accordance with Section 260519 "Low-Voltage Electrical Power Conductors and Cables."
- B. Ground equipment in accordance with Section 260526 "Grounding and Bonding for Electrical Systems."
- C. Install electrical devices furnished by manufacturer, but not factory mounted in accordance with NFPA 70 and NECA 1.
- D. Install nameplate for each electrical connection, indicating electrical equipment designation and circuit number feeding connection.
 1. Nameplate to be laminated acrylic or melamine plastic signs, as specified in Section 260553 "Identification for Electrical Systems."
 2. Nameplate to be laminated acrylic or melamine plastic signs with a black background and engraved white letters at least 1/2 inch high.

3.6 CONTROL CONNECTIONS

- A. Install control and electrical power wiring to field-mounted control devices.
- B. Connect control wiring in accordance with Section 260523 "Control-Voltage Electrical Power Cables."

3.7 ADJUSTING

- A. Operate and adjust water closets and controls. Replace damaged and malfunctioning water closets, fittings, and controls.
- B. Adjust water pressure at flushometer valves to produce proper flow.
- C. Install new batteries in battery-powered, electronic-sensor mechanisms.

3.8 CLEANING AND PROTECTION

- A. Clean water closets and fittings with manufacturers' recommended cleaning methods and materials.
- B. Install protective covering for installed water closets and fittings.
- C. Do not allow use of water closets for temporary facilities unless approved in writing by Commissioner.

END OF SECTION 224213.13

SECTION 224216.13 - COMMERCIAL LAVATORIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Enameled, cast-iron, counter-mounted lavatories.
 - 2. Vitreous-china, wall-mounted lavatories.
 - 3. Manually operated lavatory faucets.
 - 4. Waste fittings.
 - 5. Lavatory supports.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for lavatories.
 - 2. Include rated capacities, operating characteristics, electrical characteristics, and furnished specialties and accessories.
- B. Sustainable Design Submittals:
 - 1. Product Data: For water consumption.

1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For lavatories and faucets to include in operation and maintenance manuals.
 - 1. In addition to closeout requirements per DDC General Conditions, include the following:
 - a. Servicing and adjustments of faucets.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 VITREOUS-CHINA, WALL-MOUNTED LAVATORIES

- A. Lavatory - Wheelchair, Rectangular, Vitreous China, Wall Mounted with shroud (PF3):
1. Basis-of-Design product: Subject to compliance with requirements, provide Kohler; Brenham K-1999-SS1 series, or comparable product by one of the following:
 - a. American Standard.
 - b. Sloan Valve Company.
 - c. Or approved equal.
 2. Fixture:
 - a. Standard: ASME A112.19.2/CSA B45.1.
 - b. Type: wheelchair accessible, with manufacturer's standard vitreous china shroud.
 - c. Nominal Size: Rectangular, 22 wide by 19-3/4 inches deep.
 - d. Faucet-Hole Punching: One hole.
 - e. Faucet-Hole Location: Top.
 - f. Color: White.
 - g. Mounting: For concealed-arm carrier.
 - h. Trap: Manufacturer's standard P-Trap.
 3. Faucet: (PF2).
 4. Support: Type II, concealed-arm lavatory carrier.
 5. Lavatory Mounting Height: Accessible/elderly in accordance with ICC A117.1-2009.

2.2 MANUALLY OPERATED LAVATORY FAUCETS

- A. Lavatory faucets intended to convey or dispense water for human consumption are to comply with the U.S. Safe Drinking Water Act (SDWA) and with NSF 61/NSF 372, or be certified in compliance with NSF 61/NSF 372 by an American National Standards Institute (ANSI) accredited third-party certification body, that the weighted average lead content at wetted surfaces is less than or equal to 0.25 percent.
- B. Lavatory Faucets - Slow-closing metering faucet with internal shank, integral cast spout, and vandal-resistant color coded metal handle: (PF2):
1. Basis-of-Design Product: Subject to compliance with requirements, provide Zurn; AquaSpec Z863000-XL, or comparable product by one of the following:
 - a. Delta Faucet Company.

- b. Kohler Co.
 - c. Or approved equal.
- 2. Standard: ASME A112.18.1/CSA B125.1.
 - 3. Coordinate faucet inlets with supplies and fixture hole punchings; coordinate outlet with spout and fixture receptor.
 - 4. Body Type: Single hole.
 - 5. Body Material: Commercial, solid-brass, or die-cast housing with brazed copper and brass waterway.
 - 6. Finish: Polished chrome plate.
 - 7. Mounting Type: Deck, concealed.
 - 8. Valve Handle(s): Push button, with less than 5 pounds to activate.
 - 9. Spout: Rigid type.
 - 10. Spout Outlet: Aerator.
 - 11. Drain: Not part of faucet.
 - 12. Accessories:
 - a. Check stops.
 - b. 0.5 GPM vandal-resistant pressure compensating male spray outlet.

2.3 WASTE FITTINGS

- A. Standard: ASME A112.18.2/CSA B125.2.
- B. Drain: Grid type with NPS 1-1/4 offset and straight tailpiece.
- C. Trap:
 - 1. Size: NPS 2.
 - 2. Material:
 - a. Chrome-plated, two-piece, cast-brass trap and swivel elbow with 0.032-inch- thick brass tube to wall; and chrome-plated, brass or steel wall flange.

2.4 LAVATORY SUPPORTS

- A. Lavatory Carrier: Carrier with concealed arms and ADA rough-in. (PF10)
 - 1. Basis-of-Design Product: Subject to compliance with requirements, provide Zurn; Z1231-79 or a comparable product by one of the following:
 - a. Josam Company.
 - b. WATTS.
 - c. Or approved equal.
 - 2. Standard: ASME A112.6.1M.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine roughing-in of water supply and sanitary drainage and vent piping systems to verify actual locations of piping connections before lavatory installation.
- B. Examine counters and walls for suitable conditions where lavatories will be installed.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Install lavatories level and plumb in accordance with roughing-in drawings.
- B. Install supports, affixed to building substrate, for wall-mounted lavatories.
- C. Install accessible wall-mounted lavatories at accessible/elderly mounting height for people with disabilities or the elderly, in accordance with ICC A117.1-2009.
- D. Install wall flanges or escutcheons at piping wall penetrations in exposed, finished locations. Use deep-pattern escutcheons if required to conceal protruding fittings. Comply with escutcheon requirements specified in Section 220518 "Escutcheons for Plumbing Piping."
- E. Seal joints between lavatories, counters, and walls using sanitary-type, one-part, mildew-resistant silicone sealant. Match sealant color to fixture color. Comply with sealant requirements specified in Section 079200 "Joint Sealants."
- F. Install protective shielding pipe covers and enclosures on exposed supplies and waste piping of accessible lavatories. Comply with requirements in Section 220719 "Plumbing Piping Insulation."

3.4 PIPING CONNECTIONS

- A. Connect fixtures with water supplies, stops, and risers, and with traps, soil, waste, and vent piping. Use size fittings required to match fixtures.
- B. Comply with water piping requirements specified in Section 221116 "Domestic Water Piping."
- C. Comply with soil and waste piping requirements specified in Section 221316 "Sanitary Waste and Vent Piping."

3.5 ELECTRICAL CONNECTIONS

- A. Connect wiring according to Section 260519 "Low-Voltage Electrical Power Conductors and Cables."
- B. Ground equipment according to Section 260526 "Grounding and Bonding for Electrical Systems."
- C. Install electrical devices furnished by manufacturer, but not factory mounted in accordance with NFPA 70 and NECA 1.
- D. Install nameplate for each electrical connection, indicating electrical equipment designation and circuit number feeding connection.
 - 1. Nameplate to be laminated acrylic or melamine plastic signs, as specified in Section 260553 "Identification for Electrical Systems."
 - 2. Nameplate to be laminated acrylic or melamine plastic signs with a black background and engraved white letters at least 1/2 inch high.

3.6 ADJUSTING

- A. Operate and adjust lavatories and controls. Replace damaged and malfunctioning lavatories, fittings, and controls.
- B. Install new batteries in battery-powered, electronic-sensor mechanisms.

3.7 CLEANING AND PROTECTION

- A. After completing installation of lavatories, inspect and repair damaged finishes.
- B. Clean lavatories, faucets, and other fittings with manufacturers' recommended cleaning methods and materials.
- C. Provide protective covering for installed lavatories and fittings.
- D. Do not allow use of lavatories for temporary facilities unless approved in writing by Commissioner.

END OF SECTION 224216.13

SECTION 224216.16 - COMMERCIAL SINKS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Service sinks.
 - 2. Kitchen/utility sinks.
 - 3. Manually operated sink faucets.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for sinks.
 - 2. Include rated capacities, operating characteristics and furnished specialties and accessories.
- B. Sustainable Design Submittals:
 - 1. Product Data: For water consumption.
- C. Coordination Drawings: Counter cutout templates for mounting of counter-mounted sinks.

1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For sinks and faucets to include in operation and maintenance manuals.
 - 1. In addition to DDC General Conditions closeout requirements, include the following:
 - a. Servicing and adjustments for automatic faucets.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 SERVICE SINKS

- A. Service Sinks - Stainless Steel, Floor Mounted: (PF9).

1. Basis-of-Design Product: Subject to compliance with requirements, provide Elkay; Model EFS2523C or a comparable product by one of the following:
 - a. Kohler Co.
 - b. Zurn Industries, LLC.
 - c. Or approved equal.
2. Fixture:
 - a. Standard: ASME A112.19.1/CSA B45.2.
 - b. Type: Service sink with back.
 - c. Back: Plain.
 - d. Nominal Size: 25 by 23 by 8 inches.
 - e. Bowl dimensions: 20-1/16 by 17-15/16 by 8 inches.
 - f. Material: 304 Stainless Steel.
 - g. Finish: Buffed satin finish.
 - h. Number of Bowls: 1 (One).
 - i. Mounting: P-trap standard with grid strainer inlet, cleanout, and floor flange.
 - j. Sound Deadening: Bottom only pads.
 - k. Drain location: Center.
 - l. Drain: Sink package with Manufacturer's standard drain.

3. Faucet: (PF8).

2.2 KITCHEN/UTILITY SINKS

- A. Pantry Sinks - Stainless Steel, Counter Mounted: (PF7).

1. Basis-of-Design Product: Subject to compliance with requirements, provide Elkay; Model ELUHAD211545PD or a comparable product by one of the following:
 - a. Franke.
 - b. Just Manufacturing.
 - c. Or approved equal.
2. Source Limitations: Obtain sinks from single source from single manufacturer.

3. Fixture:
 - a. Standard: ASME A112.19.3/CSA B45.4.
 - b. Type: Stainless steel, undermounted, sound-deadened unitless ledge back.
 - c. Number of Compartments: One.
 - d. Overall Dimensions: 23 1/2 by 18 1/4 by 5 7/8 inches.
 - e. Material: 18 gauge, Type 304 stainless steel.
 - f. Finish: Lustrous satin.
 - g. Compartment:
 - 1) Dimensions: 21 by 15 3/4 by 4 3/8 inches.
 - 2) Drain: Manufacturer's seamlessly welded stainless steel collar with stainless steel strainer.
 - 3) Drain Location: Rear center.
 - 4) Drain size: 3 3/8 inches.
 - 5) Depth: Wheelchair accessible.
 - h. Pantry Sink Accessories:
 - 1) Chrome plated brass body strainer and tailpiece: 3 inch deep removable basket for catching food waste. 1-1/2 inch O.D. by 4 inch tailpiece.
4. Faucet: (PF6).
 - a. Number Required: One.
 - b. Mounting: On ledge.
5. Supply Fittings:
 - a. Standard: ASME A112.18.1/CSA B125.1.
 - b. Supplies: Chrome-plated brass compression stop with inlet connection matching water-supply piping type and size.
 - 1) Risers: NPS 1/2, ASME A112.18.6/CSA B125.6, braided or corrugated stainless steel flexible hose.
6. Waste Fittings:
 - a. Standard: ASME A112.18.2/CSA B125.2.
 - b. Trap(s):
 - 1) Size: NPS 1-1/2.
 - 2) Material:
 - a) Chrome-plated, two-piece, cast-brass trap and ground-joint swivel elbow with 17-gauge brass tube to wall; and chrome-plated brass or steel wall flange.
 - c. Continuous Waste:
 - 1) Size: NPS 1-1/2.

2) Material: Chrome-plated, 17-gauge brass tube.

7. Mounting: Undermount.

2.3 MANUALLY OPERATED SINK FAUCETS

A. Sink faucets intended to convey or dispense water for human consumption are to comply with the U.S. Safe Drinking Water Act (SDWA), and with NSF 61 and NSF 372, or be certified in compliance with NSF 61 and NSF 372 by an ANSI-accredited third-party certification body, in that the weighted average lead content at wetted surfaces is less than or equal to 0.25 percent.

B. Commercial Sink Faucets - Manual Type: Single-control mixing, (PF6) .

1. Basis-of-Design product: Subject to compliance with requirements, provide Kohler; Simplice K-596 or comparable product by one of the following:

- a. American Standard.
- b. Zurn Industries, LLC.
- c. Or approved equal.

2. Source Limitations: Obtain sink faucets from single source from single manufacturer.

3. Standard: ASME A112.18.1/CSA B125.1.

4. General: Include hot- and cold-water indicators; coordinate faucet inlets with supplies and fixture hole punchings; coordinate outlet with spout and sink receptor.

5. Body Type: Single hole.

6. Body Material: Commercial, solid brass, or die-cast housing with brazed copper and brass waterway.

7. Finish: Stainless Steel.

8. Maximum Flow Rate: 1.5 gpm.

9. Mounting Type: Deck, concealed.

10. Valve Handle: Lever.

11. Spout Type: Swivel gooseneck with pull-down head..

12. Vacuum Breaker: Not required for hose outlet.

13. Spout Outlet: Three function including aerator, stream and sweep spray.

C. Commercial Service Sink Faucets - Manual Type: (PF8).

1. Basis-of-Design Product: Subject to compliance with requirements, provide Zurn; Z843M4-XL-WHK-5H or comparable product by one of the following:

- a. American Standard.
- b. Kohler Co.
- c. Or approved equal.

2. Source Limitations: Obtain sink faucets from single source from single manufacturer.

3. Description: Wall/back mounted, brass body, with integral service stops, checks, spout with bucket/pail hook, 3/4-inch hose thread end, integral vacuum breaker, inlets 8 inches o.c., and two-handle mixing.

4. Faucet:

- a. Standards:
 - 1) ASME A112.18.1/CSA B125.1.
 - 2) NSF 61 and NSF 372.
 - 3) ICC A117.1.
 - 4) ASSE 1001 (VB).
- b. Finish: Polished chrome plated.
- c. Handles: Lever.
- d. Cartridges: Ceramic.
- e. Brace: Adjustable top brace.
- f. Accessories:
 - 1) Wall hook.
 - 2) 5 Inch vinyl hose.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine roughing-in for water-supply piping and sanitary drainage and vent piping systems to verify actual locations of piping connections before sink installation.
- B. Examine walls, floors, and counters for suitable conditions where sinks will be installed.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Install sinks level and plumb in accordance with rough-in drawings.
- B. Install supports, affixed to building substrate, for wall-hung sinks.
- C. Install wall-mounted sinks at accessible mounting height in accordance with ICC A117.1-2009.
- D. Set floor-mounted sinks in leveling bed of cement grout.
- E. Install water-supply piping with stop on each supply to each sink faucet.
 - 1. Exception: Use ball or gate valves if supply stops are not specified with sink. Comply with valve requirements specified in Section 220523.12 "Ball Valves for Plumbing Piping" and Section 220523.15 "Gate Valves for Plumbing Piping."

2. Install stops in locations where they can be easily reached for operation.

- F. Install wall flanges or escutcheons at piping wall penetrations in exposed, finished locations. Use deep-pattern escutcheons if required to conceal protruding fittings. Comply with escutcheon requirements specified in Section 220518 "Escutcheons for Plumbing Piping."
- G. Seal joints between sinks and counters, floors, and walls using sanitary-type, one-part, mildew-resistant silicone sealant. Match sealant color to fixture color. Comply with sealant requirements specified in Section 079200 "Joint Sealants."
- H. Install protective shielding pipe covers and enclosures on exposed supplies and waste piping of accessible sinks. Comply with requirements in Section 220719 "Plumbing Piping Insulation."

3.4 PIPING CONNECTIONS

- A. Connect fixtures with water supplies, stops, and risers, and with traps, soil, waste, and vent piping. Use size fittings required to match fixtures.
- B. Comply with water piping requirements specified in Section 221116 "Domestic Water Piping."
- C. Comply with soil and waste piping requirements specified in Section 221316 "Sanitary Waste and Vent Piping."

3.5 ELECTRICAL CONNECTIONS

- A. Connect wiring in accordance with Section 260519 "Low-Voltage Electrical Power Conductors and Cables."
- B. Ground equipment in accordance with Section 260526 "Grounding and Bonding for Electrical Systems."
- C. Install electrical devices furnished by manufacturer, but not factory mounted in accordance with NFPA 70 and NECA 1.
- D. Install nameplate for each electrical connection, indicating electrical equipment designation and circuit number feeding connection.
 - 1. Nameplate shall be laminated acrylic or melamine plastic signs, as specified in Section 260553 "Identification for Electrical Systems."
 - 2. Nameplate shall be laminated acrylic or melamine plastic signs with a black background and engraved white letters at least 1/2 inch high.

3.6 ADJUSTING

- A. Operate and adjust sinks and controls. Replace damaged and malfunctioning sinks, fittings, and controls.
- B. Install new batteries in battery-powered, electronic-sensor mechanisms.

3.7 CLEANING AND PROTECTION

- A. After completing installation of sinks, inspect and repair damaged finishes.
- B. Clean sinks, faucets, and other fittings with manufacturers' recommended cleaning methods and materials.
- C. Provide protective covering for installed sinks and fittings.
- D. Do not allow use of sinks for temporary facilities unless approved in writing by Commissioner.

END OF SECTION 224216.16

SECTION 224713 - DRINKING FOUNTAINS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Bottle filling stations.
 - 2. Supports.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of drinking fountain and bottle filling station.
 - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes.
 - 2. Include operating characteristics, and furnished specialties and accessories.
- B. Sustainable Design Submittals:
 - 1. Product Data: For water consumption.
- C. Shop Drawings:
 - 1. Include diagrams for power wiring.
- D. Sample Warranty: For special warranty.

1.5 CLOSEOUT SUBMITTALS

- A. Maintenance Data: For bottle filling stations to include in maintenance manuals.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.7 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace components of refrigeration system of the Bottle Filling Station that fail in materials or workmanship within specified warranty period.
1. Warranty Period: Five years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Standards:
1. Drinking fountains and bottle filling stations intended to convey or dispense water for human consumption are to comply with the U.S. Safe Drinking Water Act (SDWA), and with NSF 61 or NSF 372, or be certified in compliance with NSF 61 or NSF 372 by an ANSI-accredited third-party certification body, that the weighted average lead content at wetted surfaces is less than or equal to 0.25 percent.
 2. Comply with ASME A112.19.3/CSA B45.4 for stainless steel drinking fountains and bottle filling stations.
 3. Comply with NSF 42 and NSF 53 for water filters for drinking fountains and bottle filling stations.
 4. Comply with ICC A117.1-2009, for accessible drinking fountains and bottle filling stations.

2.2 BOTTLE FILLING STATIONS

- A. Bottle Filling Station - Surface Wall-Mounted, Bi-Level, Stainless Steel (PF1):
1. Basis-of-Design Product: Subject to compliance with requirements, provide Elkay; Model LVRCGRNTL8WSK or comparable product by one of the following:
 - a. Halsey Taylor.
 - b. Murdock Manufacturing; A Division of Morris Group International.
 - c. Or approved equal.
 2. Source Limitations: Obtain surface wall-mounted, stainless steel, bottle filling stations from single source from single manufacturer.
 3. Type: Vandal resistant.
 4. Stations served: Two.
 5. Cabinet: Stainless steel.
 6. Chilling capacity: 8.0 GPH of 50 deg. F drinking water.
 7. Bottle Filler: Electronic sensor with mechanical front bubbler activation.

8. Filter Monitor: LED Filter Status Indicator.
9. Drain: Grid type with NPS 1-1/4 tailpiece.
10. Supply: NPS 3/8 with shutoff valve.
11. Waste Fitting: ASME A112.18.2/CSA B125.2, NPS 1-1/4 brass P-trap.
12. Filter: One or more water filters complying with NSF 42 and NSF 53 and with capacity sized for peak flow rate.
13. Support: Provide manufacturer's mounting plate and drinking fountain carrier.
14. Bottle Filling Station Mounting Height: Accessible in accordance with ICC A117.1-2009.
15. Electrical Characteristics:
 - a. Volts: 115 V ac.
 - b. Phase: Single.
 - c. Hertz: 60 Hz.

2.3 SUPPORTS

A. Drinking Fountain Carrier:

1. Manufacturers: Subject to compliance with requirements, provide product by one of the following:
 - a. Josam Company.
 - b. WATTS.
 - c. Zurn Industries, LLC.
 - d. Or approved equal.
2. Standard: ASME A112.6.1M.
3. Verify compatibility of carrier with Bottle Filling Station.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine roughing-in for water-supply and sanitary drainage and vent piping systems to verify actual locations of piping connections before fixture installation.
- B. Examine walls and floors for suitable conditions where fixtures will be installed.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Install fixtures level and plumb according to roughing-in drawings. For fixtures indicated for children, install at height indicated on the drawings.
- B. Set pedestal drinking fountains and bottle filling stations on flat surface in accordance with manufacturer's written installation instructions.
- C. Install recessed, drinking fountains and bottle filling stations secured to wood blocking in wall construction.
- D. Install off-the-floor carrier supports, affixed to building substrate, for wall-mounted fixtures.
- E. Install water-supply piping with shutoff valve on supply to each fixture to be connected to domestic-water distribution piping. Use ball valve. Install valves in locations where they can be easily reached for operation. Valves are specified in Section 220523.12 "Ball Valves for Plumbing Piping" and Section 220523.15 "Gate Valves for Plumbing Piping."
- F. Install trap and waste piping on drain outlet of each fixture to be connected to sanitary drainage system.
- G. Install wall flanges or escutcheons at piping wall penetrations in exposed, finished locations. Use deep-pattern escutcheons where required to conceal protruding fittings. Comply with escutcheon requirements specified in Section 220518 "Escutcheons for Plumbing Piping."
- H. Seal joints between fixtures and walls using sanitary-type, one-part, mildew-resistant, silicone sealant. Match sealant color to fixture color. Comply with sealant requirements specified in Section 079200 "Joint Sealants."

3.4 PIPING CONNECTIONS

- A. Connect fixtures with water supplies, stops, and risers, and with traps, soil, waste, and vent piping. Use size fittings required to match fixtures.
- B. Comply with water piping requirements specified in Section 221116 "Domestic Water Piping."
- C. Install ball or gate shutoff valve on water supply to each fixture. Install valve upstream from filter for drinking fountain. Comply with valve requirements specified in Section 220523.12 "Ball Valves for Plumbing Piping" and Section 220523.15 "Gate Valves for Plumbing Piping."
- D. Comply with soil and waste piping requirements specified in Section 221316 "Sanitary Waste and Vent Piping."

3.5 ELECTRICAL CONNECTIONS

- A. Ground equipment according to Section 260526 "Grounding and Bonding for Electrical Systems."
- B. Install electrical devices furnished by manufacturer, but not factory mounted, according to NFPA 70 and NECA 1.

- C. Install nameplate for each electrical connection, indicating electrical equipment designation and circuit number feeding connection.
 - 1. Nameplates to be laminated acrylic or melamine plastic signs with a black background and engraved white letters at least 1/2 inch high.

3.6 ADJUSTING

- A. Adjust fixture flow regulators for proper flow and stream height.

3.7 CLEANING

- A. After installing fixtures, inspect unit. Remove paint splatters and other spots, dirt, and debris. Repair damaged finish to match original finish.
- B. Clean fixtures, on completion of installation, according to manufacturer's written instructions.
- C. Provide protective covering for installed fixtures.
- D. Do not allow use of fixtures for temporary facilities unless approved in writing by Commissioner.

END OF SECTION 224713

SECTION 230513 - COMMON MOTOR REQUIREMENTS FOR HVAC EQUIPMENT

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section includes general requirements for single-phase and polyphase, general-purpose, horizontal, small and medium, squirrel-cage induction motors for use on alternating-current power systems up to 600 V and installed at equipment manufacturer's factory or shipped separately by equipment manufacturer for field installation.

1.3 COORDINATION

- A. Coordinate features of motors, installed units, and accessory devices to be compatible with the following:
 - 1. Motor controllers.
 - 2. Torque, speed, and horsepower requirements of the load.
 - 3. Ratings and characteristics of supply circuit and required control sequence.
 - 4. Ambient and environmental conditions of installation location.

1.4 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 GENERAL MOTOR REQUIREMENTS

- A. Comply with NEMA MG 1 unless otherwise indicated.

2.2 MOTOR CHARACTERISTICS

- A. Duty: Continuous duty at ambient temperature of 104 deg F at sea level.
- B. Capacity and Torque Characteristics: Sufficient to start, accelerate, and operate connected loads at designated speeds, at installed altitude and environment, with indicated operating sequence, and without exceeding nameplate ratings or considering service factor.

2.3 POLYPHASE MOTORS

- A. Description: NEMA MG 1, Design B, medium induction motor.
- B. Efficiency: Premium efficient, as defined in NEMA MG 1.
- C. Service Factor: 1.15.
- D. Multispeed Motors: Variable torque.
 - 1. For motors with 2:1 speed ratio, consequent pole, single winding.
 - 2. For motors with other than 2:1 speed ratio, separate winding for each speed.
- E. Multispeed Motors: Separate winding for each speed.
- F. Rotor: Random-wound, squirrel cage.
- G. Bearings: Re-greasable, shielded, antifriction ball bearings suitable for radial and thrust loading.
- H. Temperature Rise: Match insulation rating.
- I. Insulation:
- J. Indoor application, Class A: maximum ambient temperature of 104 deg F; maximum temperature rise 140 deg F.
- K. Outdoor application, Class B: maximum ambient temperature of 104 deg F; maximum temperature rise 257 deg F.
- L. Locked Rotor Code Letter Designation:
 - 1. Motors 10 HP and Larger: NEMA Code G.
 - 2. Motors 1-1/2 to 7-1/2 HP: NEMA Code J
 - 3. Motors 1 to 1-1/2 HP: NEMA Code L.
 - 4. Motors less than 1 HP, Manufacturer's standard starting characteristic.
- M. Enclosure Material: Cast Iron Motor frame sizes 215T and larger: Cast Iron; Motor frame sizes 213T and smaller: rolled steel.

2.4 ADDITIONAL REQUIREMENTS FOR POLYPHASE MOTORS

- A. Motors Used with Reduced-Voltage and Multispeed Controllers: Match wiring connection requirements for controller with required motor leads. Provide terminals in motor terminal box, suited to control method.
- B. Motors Used with Variable-Frequency Drive (VFD) Controlled: Ratings, characteristics, and features coordinated with and approved by VFD control manufacturer and the following:

1. Windings: Copper magnet wire with moisture-resistant insulation varnish, designed and tested to resist transient spikes, high frequencies, and short time rise pulses produced by pulse-width-modulated inverters.
2. Premium-Efficient Motors: Class B temperature rise; Class F insulation.
3. Inverter-Duty Motors: Class F temperature rise; Class H insulation.
4. Thermal Protection: Comply with NEMA MG 1 requirements for thermally protected motors.

C. Severe-Duty Motors: Comply with IEEE 841, with 1.15 minimum service factor.

2.5 SINGLE-PHASE MOTORS

A. Motors larger than 1/20 hp shall be one of the following, to suit starting torque and requirements of specific motor application:

1. Permanent-split capacitor.
2. Split phase.
3. Capacitor start, inductor run.
4. Capacitor start, capacitor run.

B. Multispeed Motors: Variable-torque, permanent-split-capacitor type.

C. Bearings: Pre-lubricated, antifriction ball bearings or sleeve bearings suitable for radial and thrust loading.

D. Motors 1/20 HP and Smaller: Shaded-pole type.

E. Thermal Protection: Internal protection to automatically open power supply circuit to motor when winding temperature exceeds a safe value calibrated to temperature rating of motor insulation. Thermal-protection device shall automatically reset when motor temperature returns to normal range.

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 230513

SECTION 230517 - SLEEVES AND SLEEVE SEALS FOR HVAC PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Sleeves without waterstop.
 - 2. Sleeves with waterstop.
 - 3. Stack-sleeve fittings.
 - 4. Sleeve-seal systems.
 - 5. Grout.
 - 6. Silicone sealants.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Sustainable Design Submittals:
 - 1. Product Data: For sealants, indicating VOC content.

1.5 INFORMATIONAL SUBMITTALS

- A. Field quality-control reports.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 SLEEVES WITHOUT WATERSTOP

- A. Cast-Iron Pipe Sleeves: Cast or fabricated of cast or ductile iron and equivalent to ductile-iron pressure pipe, with plain ends.
- B. Steel Pipe Sleeves: ASTM A53/A53M, Type E, Grade B, Schedule 40, hot-dip galvanized, with plain ends.
- C. Steel Sheet Sleeves: ASTM A653/A653M, 0.0239-inch minimum thickness; hot-dip galvanized, round tube closed with welded longitudinal joint.
- D. Molded-PE or -PP Sleeves: Removable, tapered-cup shaped, and smooth outer surface with nailing flange for attaching to wooden forms.

2.2 SLEEVES WITH WATERSTOP

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Advance Products & Systems, LLC.
 - 2. CALPICO, Inc.
 - 3. GPT; an EnPro Industries company.
 - 4. Metraflex Company (The).
 - 5. Or approved equal.

2.3 STACK-SLEEVE FITTINGS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Jay R. Smith Mfg Co; a division of Morris Group International.
 - 2. Wade; a subsidiary of McWane Inc.
 - 3. Zurn Industries, LLC.
 - 4. Or approved equal.
- B. Description: Manufactured, cast-iron sleeve with integral cast flashing flange for use in waterproof floors and roofs. Include clamping ring, bolts, and nuts for membrane flashing.
 - 1. Underdeck Clamp: Clamping ring with setscrews.

2.4 SLEEVE-SEAL SYSTEMS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Advance Products & Systems, LLC.
 - 2. CALPICO, Inc.
 - 3. GPT; an EnPro Industries company.

4. Metraflex Company (The).
5. Proco Products, Inc.
6. Or approved equal.

B. Description: Modular sealing-element unit, designed for field assembly, for filling annular space between piping and sleeve.

1. Designed to form a hydrostatic seal of 20 psig.
2. Sealing Elements: EPDM-rubber, High-temperature-silicone, or Nitrile (Buna N) interlocking links shaped to fit surface of pipe. Include type and number required for pipe material and size.
3. Pressure Plates: Stainless steel, Type 316.
4. Connecting Bolts and Nuts: Stainless steel, Type 316, of length required to secure pressure plates to sealing elements.

2.5 GROUT

- A. Description: Non-shrink, for interior and exterior sealing openings in non-fire-rated walls or floors.
- B. Standard: ASTM C1107/C1107M, Grade B, post-hardening and volume-adjusting, dry, hydraulic-cement grout.
- C. Design Mix: 5000 psi, 28-day compressive strength.
- D. Packaging: Premixed and factory packaged.

2.6 SILICONE SEALANTS

- A. Silicone, S, NS, 25, NT: Single-component, non-sag, plus 25 percent and minus 25 percent movement capability, nontraffic-use, neutral-curing silicone joint sealant.
 1. Standard: ASTM C920, Type S, Grade NS, Class 25, Use NT.
 2. Sealant shall have a VOC content of 26 g/L or less.
- B. Silicone, S, P, T, NT: Single-component, 25, pourable, plus 25 percent and minus 25 percent movement capability, traffic- and nontraffic-use, neutral-curing silicone joint sealant.
 1. Standard: ASTM C920, Type S, Grade P, Class 25, Uses T and NT.
 2. Sealant shall have a VOC content of 26 g/L or less.
- C. Silicone Foam: Multicomponent, silicone-based liquid elastomers that, when mixed, expand and cure in place to produce a flexible, non-shrinking foam.
 1. Sealant shall have a VOC content of 26 g/L or less.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION OF SLEEVES - GENERAL

- A. Install sleeves for piping passing through penetrations in floors, partitions, roofs, and walls.
- B. For sleeves that will have sleeve-seal system installed, select sleeves of size large enough to provide 1-inch annular clear space between piping and concrete slabs and walls.
 - 1. Sleeves are not required for core-drilled holes.
- C. Install sleeves in concrete floors, concrete roof slabs, and concrete walls as new slabs and walls are constructed.
 - 1. Permanent sleeves are not required for holes in slabs formed by molded-PE or -PP sleeves.
 - 2. Cut sleeves to length for mounting flush with both surfaces.
 - a. Exception: Extend sleeves installed in floors of mechanical equipment areas or other wet areas 3 inches above finished floor level.
 - 3. Using grout or silicone sealant, seal space outside of sleeves in slabs and walls without sleeve-seal system.
- D. Install sleeves for pipes passing through interior partitions.
 - 1. Cut sleeves to length for mounting flush with both surfaces.
 - 2. Install sleeves that are large enough to provide 1/2-inch annular clear space between sleeve and pipe or pipe insulation.
 - 3. Seal annular space between sleeve and piping or piping insulation; use sealants appropriate for size, depth, and location of joint.
- E. Fire-Resistance-Rated Penetrations, Horizontal Assembly Penetrations, and Smoke-Barrier Penetrations: Maintain indicated fire or smoke rating of walls, partitions, ceilings, and floors at pipe penetrations. Seal pipe penetrations with fire- and smoke-stop materials. Comply with requirements for firestopping and fill materials specified in Section 078413 "Penetration Firestopping."

3.3 INSTALLATION OF SLEEVES WITH WATERSTOP

- A. Install sleeve with water-stop as new walls and slabs are constructed.
- B. Assemble fitting components of length to be flush with both surfaces of concrete slabs and walls. Position water-stop flange to be centered in concrete slab or wall.

- C. Secure nailing flanges to concrete forms.
- D. Using grout or silicone sealant, seal space around outside of sleeves.

3.4 INSTALLATION OF STACK-SLEEVE FITTINGS

- A. Install stack-sleeve fittings in new slabs as slabs are constructed.
 - 1. Install fittings that are large enough to provide 1/2-inch annular clear space between sleeve and pipe or pipe insulation.
 - 2. Secure flashing between clamping flanges for pipes penetrating floors with membrane waterproofing.
 - 3. Install section of cast-iron soil pipe to extend sleeve to 3 inches above finished floor level.
 - 4. Extend cast-iron sleeve fittings below floor slab as required to secure clamping ring if ring is specified.
 - 5. Using waterproof silicone sealant, seal space between top hub of stack-sleeve fitting and pipe.
- B. Fire-Resistance-Rated Penetrations, Horizontal Assembly Penetrations, and Smoke Barrier Penetrations: Maintain indicated fire or smoke rating of floors at pipe penetrations. Seal pipe penetrations with fire- and smoke-stop materials. Comply with requirements for firestopping specified in Section 078413 "Penetration Firestopping."

3.5 INSTALLATION OF SLEEVE-SEAL SYSTEMS

- A. Install sleeve-seal systems in sleeves in exterior concrete walls and slabs-on-grade at service piping entries into building, and passing through exterior walls.
- B. Select type, size, and number of sealing elements required for piping material and size and for sleeve ID or hole size. Position piping in center of sleeve. Center piping in penetration, assemble sleeve-seal-system components, and install in annular space between piping and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make a watertight seal.

3.6 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
 - 1. Leak Test: After allowing for a full cure, test sleeves and sleeve seals for leaks. Repair leaks and retest until no leaks exist.
 - 2. Sleeves and sleeve seals will be considered defective if they do not pass tests and inspections.
- B. Prepare test and inspection reports.

3.7 SLEEVE SCHEDULE

- A. Use sleeves and sleeve seals for the following piping-penetration applications:
 - 1. Exterior Concrete Walls above and below Grade:

- a. Sleeves with water-stops.
 - 1) Select sleeve size to allow for 1-inch annular clear space between piping and sleeve for installing sleeve-seal system.
2. Concrete Slabs-on-Grade:
 - a. Sleeves with water-stops.
 - 1) Select sleeve size to allow for 1-inch annular clear space between piping and sleeve for installing sleeve-seal system.
3. Concrete Slabs above Grade:
 - a. Sleeves with water-stops or stack-sleeve fittings.
4. Interior Walls and Partitions:
 - a. Sleeves without water-stops.

END OF SECTION 230517

SECTION 230519 - METERS AND GAGES FOR HVAC EQUIPMENT

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Bimetallic-actuated thermometers.
2. Light-activated thermometers.
3. Duct-thermometer mounting brackets.
4. Test-plug kits.

B. Related Requirements:

1. Section 238216.14 "Electric Resistance Air Coils." For controls of electric-resistance air coils.
2. Section 230923 "Direct Digital Control System for HVAC" for control equipment and software, relays, electrical power devices, uninterruptible power supply units, wire, and cable.
3. Section 230993.11 "Sequence of Operations for HVAC Direct Digital Control" for requirements that relate to this Section.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.

B. Shop Drawings:

1. Include diagrams for power, signal, and control wiring.

1.5 INFORMATIONAL SUBMITTALS

- A. Product Certificates: For each type of meter and gage.

1.6 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For meters and gages to include in operation and maintenance manuals.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 BIMETALLIC-ACTUATED THERMOMETERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Ashcroft Inc.
 - 2. Marsh Bellofram.
 - 3. REOTEMP Instrument Corporation.
 - 4. Or approved equal.
- B. Standard: ASME B40.200.
- C. Case: Liquid-filled and sealed type(s); stainless steel with 5-inch nominal diameter.
- D. Dial: Nonreflective aluminum with permanently etched scale markings and scales in deg F.
- E. Connector Type(s): Union joint, adjustable angle, with unified-inch screw threads.
- F. Connector Size: 1/2 inch, with ASME B1.1 screw threads.
- G. Stem: 0.25 in diameter; stainless steel.
- H. Window: Plain glass.
- I. Ring: Stainless steel.
- J. Element: Bimetal coil.
- K. Pointer: Dark-colored metal.
- L. Accuracy: +/- 1.0 percent of scale range.

2.2 LIGHT-ACTIVATED THERMOMETERS

- A. Direct-Mounted, Light-Activated Thermometers:
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

- a. Flo Fab inc.
 - b. REOTEMP Instrument Corporation.
 - c. Terrice, H. O. Co.
 - d. Or approved equal.
2. Case: Metal 7-inch nominal size unless otherwise indicated.
 3. Scale(s): Deg F.
 4. Case Form: Adjustable angle.
 5. Connector: 1-1/4 inches, with ASME B1.1 screw threads.
 6. Stem: Aluminum and of length to suit installation.
 - a. Design for Air-Duct Installation: With ventilated shroud.
 7. Display: Digital.
 8. Accuracy: Plus or minus 2 deg F.

2.3 DUCT-THERMOMETER MOUNTING BRACKETS

- A. Description: Flanged bracket with screw holes, for attachment to air duct and made to hold thermometer stem.

2.4 TEST-PLUG KITS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 1. Terrice, H. O. Co.
 2. Watts; a Watts Water Technologies company.
 3. Weiss Instruments, Inc.
 4. Or approved equal.
- B. Furnish three test-plug kits containing three thermometers and carrying cases. Thermometer sensing elements, pressure gage, and adapter probes shall be of diameter to fit test plugs and of length to project into piping.
- C. Low-Range Thermometer: Small, bimetallic insertion type with 1- to 2-inch-diameter dial and tapered-end sensing element. Dial range shall be at least 0 to 125 deg F.
- D. Carrying Case: Metal or plastic, with formed instrument padding.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION

- A. Install duct-thermometer mounting brackets in walls of ducts. Attach to duct with screws.
- B. Install permanent indicators on walls or brackets in accessible and readable positions.
- C. Install connection fittings in accessible locations for attachment to portable indicators.
- D. Install thermometers in the following locations:
 - 1. Outside-, return-, supply-, exhaust and mixed-air ducts.

3.3 CONNECTIONS

- A. Install meters and gages adjacent to machines and equipment to allow space for service and maintenance of meters, gages, machines, and equipment.

3.4 ADJUSTING

- A. After installation, calibrate meters and gauges according to manufacturer's written instructions.
- B. Adjust faces of meters and gages to proper angle for best visibility.

3.5 THERMOMETER SCHEDULE

- A. Thermometers at outside-, return-, supply-, exhaust and mixed-air ducts shall be one of the following:
 - 1. Liquid-filled and sealed bimetallic-actuated type.
 - 2. Direct-mounted, light-activated type.
- B. Thermometer stems shall be of length to match thermowell insertion length.

3.6 THERMOMETER SCALE-RANGE SCHEDULE

- A. Scale Range for Air Ducts: 0 to 125 deg F

END OF SECTION 230519

SECTION 230523.14 - CHECK VALVES FOR HVAC PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Bronze lift check valves.

1.3 DEFINITIONS

- A. CWP: Cold working pressure.
- B. SWP: Steam working pressure.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of valve.

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Prepare valves for shipping as follows:
 - 1. Protect internal parts against rust and corrosion.
 - 2. Protect threads, flange faces, grooves, press connections, and weld ends.
 - 3. Block check valves in either closed or open position.
- B. Use the following precautions during storage:
 - 1. Maintain valve end protection.
 - 2. Store valves indoors and maintain at higher than ambient dew point temperature. If outdoor storage is necessary, store valves off the ground in watertight enclosures.

- C. Use sling to handle large valves; rig sling to avoid damage to exposed parts. Do not use stems or other components as lifting or rigging points unless specifically indicated for this purpose in manufacturer's written instructions.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 SOURCE LIMITATIONS

- A. Obtain each type of valve from single source from single manufacturer.

2.2 PERFORMANCE REQUIREMENTS

- A. ASME Compliance:

1. ASME B1.20.1 for threads for threaded-end valves.
2. ASME B16.1 for flanges on iron valves.
3. ASME B16.5 for flanges for metric standard piping.
4. ASME B16.10 and ASME B16.34 for ferrous valve dimensions and design criteria.
5. ASME B16.18 for cast copper solder joint.
6. ASME B16.22 for wrought copper solder joint.

- B. AWWA Compliance: Comply with AWWA C606 for grooved-end connections.

- C. Provide bronze valves made with dezincification-resistant materials. Bronze valves made with copper alloy (brass) containing more than 15 percent zinc are unacceptable.

- D. Valve Pressure-Temperature Ratings: Not less than indicated and as required for system pressures and temperatures.

- E. Valve Sizes: Same as upstream piping unless otherwise indicated.

- F. Valve Bypass and Drain Connections: MSS SP-45.

2.3 BRONZE LIFT CHECK VALVES

- A. Bronze Lift Check Valves with Nonmetallic Disc, Class 125:

1. Description:
 - a. Standard: MSS SP-80, Type 2.
 - b. CWP Rating: 200 psig.
 - c. Body Design: Vertical flow.

- d. Body Material: ASTM B61 or ASTM B62, bronze.
- e. Ends: Threaded.
- f. Disc: NBR or PTFE.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine valve interior for cleanliness, freedom from foreign matter, and corrosion. Remove special packing materials, such as blocks, used to prevent disc movement during shipping and handling.
- B. Operate valves in positions from fully open to fully closed. Examine guides and seats made accessible by such operations.
- C. Examine threads on valve and mating pipe for form and cleanliness.
- D. Examine mating flange faces for conditions that might cause leakage. Check bolting for proper size, length, and material. Verify that gasket is of proper size, that its material composition is suitable for service, and that it is free from defects and damage.
- E. Examine press fittings to verify they have been properly pressed.
- F. Do not attempt to repair defective valves; replace with new valves.

3.3 INSTALLATION OF VALVES

- A. Install valves with unions or flanges at each piece of equipment arranged to allow service, maintenance, and equipment removal without system shutdown.
- B. Provide support of piping adjacent to valves such that no force is imposed upon valves.
- C. Locate valves for easy access and where not blocked by equipment, other piping, or building components.
- D. Install valves with stem at or above center of pipe.
- E. Install valves in position that does not project into aisles or block access to other equipment.
- F. Install valves in position to allow full stem and manual operator movement.
- G. Verify that joints of each valve have been properly installed and sealed to ensure that there is no leakage or damage.
- H. Install check valves for proper direction of flow and as follows:

1. Lift Check Valves: With stem upright and plumb.

- I. Install valve tags. Comply with requirements for valve tags and schedules in Section 230553 "Identification for HVAC Piping and Equipment."
- J. Adhere to manufacturer's written installation instructions. When soldering or brazing valves, do not heat valves above maximum permitted temperature. Do not use solder with melting point temperature above valve of manufacturer's recommended maximum.

3.4 ADJUSTING

- A. Adjust or replace valve packing after piping systems have been tested and put into service, but before final adjusting and balancing. Replace valves if persistent leaking occurs.

3.5 GENERAL REQUIREMENTS FOR VALVE APPLICATIONS

- A. If valve applications are not indicated, use the following:

1. Pump-Discharge Check Valves:

- a. NPS 2 and Smaller: Bronze swing check valves with disc.

- B. If valves with specified SWP classes or CWP ratings are unavailable, the same types of valves with higher SWP classes or CWP ratings may be substituted.

- C. End Connections:

- 1. For Copper Tubing, NPS 2 and Smaller: Threaded ends, except where solder-joint or press valve-end option is indicated in valve schedules.

END OF SECTION 230523.14

SECTION 230529 - HANGERS AND SUPPORTS FOR HVAC PIPING AND EQUIPMENT

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Metal pipe hangers and supports.
2. Trapeze pipe hangers.
3. Metal framing systems.
4. Thermal-hanger shield inserts.
5. Fastener systems.
6. Equipment stands.
7. Equipment supports.

B. Related Requirements:

1. Section 055000 "Metal Fabrications" for structural-steel shapes and plates for trapeze hangers for pipe and equipment supports.
2. Section 230548.13 "Vibration Controls for HVAC" for vibration isolation devices.

1.3 DEFINITIONS

- A. MSS: Manufacturers Standardization Society of The Valve and Fittings Industry Inc.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.

B. Sustainable Design Submittals:

1. Product Data: For recycled content, indicating post-consumer and pre-consumer recycled content and cost.

- C. Shop Drawings: Signed and sealed by a qualified professional engineer licensed in the State of New York. Include Product Data for components, and show fabrication and installation details and include calculations for the following:
 - 1. Trapeze pipe hangers.
 - 2. Fastener systems.
 - 3. Metal framing systems.
 - 4. Equipment stands.
 - 5. Equipment supports.

- D. Engineering Services Submittal: For piping and equipment hangers and support, indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer licensed in the State of New York responsible for their preparation.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Structural Performance: Hangers and supports for HVAC piping and equipment shall withstand the effects of gravity loads and stresses within limits and under conditions indicated according to NYC Building Code and ASCE/SEI 7.
 - 1. Design supports for multiple pipes, capable of supporting combined weight of supported systems, and piping service system contents.
 - 2. Design equipment supports capable of supporting combined operating weight of supported equipment and connected systems and components.

2.2 METAL PIPE HANGERS AND SUPPORTS

- A. Copper Pipe and Tube Hangers:
 - 1. Description: MSS SP-58, Types 1 through 58, copper-plated steel, factory-fabricated components.
 - 2. Hanger Rods: Continuous-thread rod, nuts, and washer made of copper-plated steel.

2.3 TRAPEZE PIPE HANGERS

- A. Description: MSS SP-58, Type 59, shop- or field-fabricated pipe-support assembly made from structural carbon-steel shapes with MSS SP-58 carbon-steel hanger rods, nuts, saddles, and U-bolts.

2.4 METAL FRAMING SYSTEMS

A. MFMA Manufacturer Metal Framing Systems:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. B-line, an Eaton business.
 - b. Flex-Strut Inc.
 - c. G-Strut.
 - d. Or approved equal.
2. Description: Shop- or field-fabricated, pipe-support assembly made of steel channels, accessories, fittings, and other components for supporting multiple parallel pipes.
3. Standard: Comply with MFMA-4 factory-fabricated components for field assembly.
4. Channels: Continuous slotted galvanized steel channel with in-turned lips.
5. Channel Width: Selected for applicable load criteria.
6. Channel Nuts: Formed or stamped nuts or other devices designed to fit into channel slot and, when tightened, prevent slipping along channel.
7. Hanger Rods: Continuous-thread rod, nuts, and washer made of galvanized steel.
8. Metallic Coating: Hot-dip galvanized.

B. Non-MFMA Manufacturer Metal Framing Systems:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Anvil International.
 - b. CADDY; a brand of nVent.
 - c. Carpenter & Paterson, Inc.
 - d. Or approved equal.
2. Description: Shop- or field-fabricated, pipe-support assembly made of steel channels, accessories, fittings, and other components for supporting multiple parallel pipes.
3. Standard: Comply with MFMA-4 factory-fabricated components for field assembly.
4. Channels: Continuous slotted galvanized steel channel with in-turned lips.
5. Channel Width: Select for applicable load criteria.
6. Channel Nuts: Formed or stamped nuts or other devices designed to fit into channel slot and, when tightened, prevent slipping along channel.
7. Hanger Rods: Continuous-thread rod, nuts, and washer made of galvanized steel.
8. Metallic Coating: Hot-dip galvanized.

2.5 THERMAL-HANGER SHIELD INSERTS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. CADDY; a brand of nVent.
2. National Pipe Hanger Corporation.
3. Pipe Shields Inc.

4. Or approved equal.

- B. Insulation-Insert Material for Cold Piping: ASTM C552, Type II cellular glass with 100-psi or ASTM C591, Type VI, Grade 1 polyisocyanurate with 125-psi minimum compressive strength and vapor barrier.
- C. Insulation-Insert Material for Hot Piping: ASTM C552, Type II cellular glass with 100-psi or ASTM C591, Type VI, Grade 1 polyisocyanurate with 125-psi minimum compressive strength.
- D. For Trapeze or Clamped Systems: Insert and shield shall cover entire circumference of pipe.
- E. For Clevis or Band Hangers: Insert and shield shall cover lower 180 degrees of pipe.
- F. Insert Length: Extend 2 inches beyond sheet metal shield for piping operating below ambient air temperature.

2.6 FASTENER SYSTEMS

- A. Powder-Actuated Fasteners: Threaded-steel stud, for use in hardened portland cement concrete with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Hilti, Inc.
 - b. ITW Ramset/Red Head; Illinois Tool Works, Inc.
 - c. MKT Fastening, LLC.
 - d. Or approved equal.
- B. Mechanical-Expansion Anchors: Insert-wedge-type anchors for use in hardened Portland cement concrete; with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. B-line, an Eaton business.
 - b. Empire Tool and Manufacturing Co., Inc.
 - c. Hilti, Inc.
 - d. Or approved equal.
 - 2. Indoor Applications: Zinc-coated.
 - 3. Outdoor Applications: Stainless steel.

2.7 EQUIPMENT SUPPORTS

- A. Description: Welded, shop- or field-fabricated equipment support made from structural carbon-steel shapes.

2.8 OUTDOOR EQUIPMENT STANDS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. MIRO Industries.
2. RectorSeal HVAC; a CSW Industrials Company.
3. Rooftop Support Systems, a division of Eberl Iron Works, Inc.
4. Or approved equal.

B. Description: Individual foot supports with elevated adjustable channel cross bars and clamps/fasteners/bolts for ground or roof supported outdoor equipment components, without roof membrane penetration, in a pre-fabricated system that can be modularly-assembled on site.

1. Foot Material: vulcanized rubber or polypropylene with UV inhibitor.
2. Rails Material: Hot dip galvanized carbon steel.
3. Wind/Sliding Load Resistance: Up to 100 mph minimum.

2.9 MATERIALS

- A. Aluminum: ASTM B221
- B. Carbon Steel: ASTM A1011/A1011M.
- C. Structural Steel: ASTM A36/A36M, carbon-steel plates, shapes, and bars; galvanized.
- D. Stainless Steel: ASTM A240/A240M.
- E. Threaded Rods: Continuously threaded. Zinc-plated or galvanized steel for indoor applications and stainless steel for outdoor applications. Mating nuts and washers of similar materials as rods.
- F. Grout: ASTM C1107/C1107M, factory-mixed and -packaged, dry, hydraulic-cement, non-shrink and nonmetallic grout; suitable for interior and exterior applications.
 1. Properties: Non-staining, noncorrosive, and nongaseous.
 2. Design Mix: 5000-psi, 28-day compressive strength.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 APPLICATION

- A. Comply with requirements in Section 078413 "Penetration Firestopping" for firestopping materials and installation for penetrations through fire-rated walls, ceilings, and assemblies.
- B. Strength of Support Assemblies: Where not indicated, select sizes of components so strength will be adequate to carry present and future static loads within specified loading limits. Minimum static design load used for strength determination shall be weight of supported components plus 200 lb.

3.3 HANGER AND SUPPORT INSTALLATION

- A. The use of powder-actuated fasteners or mechanical-expansion anchors instead of building attachments in concrete construction, must be reviewed by a qualified professional engineer licensed in the State of New York, as defined in DDC General Conditions, and approved by the Commissioner.
- B. Metal Pipe-Hanger Installation: Comply with MSS SP-58. Install hangers, supports, clamps, and attachments as required to properly support piping from the building structure.
- C. Metal Trapeze Pipe-Hanger Installation: Comply with MSS SP-58. Arrange for grouping of parallel runs of horizontal piping, and support together on field-fabricated trapeze pipe hangers.
 - 1. Pipes of Various Sizes: Support together and space trapezes for smallest pipe size or install intermediate supports for smaller diameter pipes as specified for individual pipe hangers.
 - 2. Field fabricate from ASTM A36/A36M, carbon-steel shapes selected for loads being supported. Weld steel according to AWS D1.1/D1.1M.
- D. Metal Framing System Installation: Arrange for grouping of parallel runs of piping, and support together on field-assembled strut systems.
- E. Thermal-Hanger Shield Installation: Install in pipe hanger or shield for insulated piping.
- F. Fastener System Installation:
 - 1. Install powder-actuated fasteners for use in lightweight concrete or concrete slabs less 4 inches thick or greater in concrete after concrete is placed and completely cured. Use operators that are licensed by powder-actuated tool manufacturer. Install fasteners according to powder-actuated tool manufacturer's operating manual.
 - 2. Install mechanical-expansion anchors in concrete after concrete is placed and completely cured. Install fasteners according to manufacturer's written instructions.
- G. Install hangers and supports complete with necessary attachments, inserts, bolts, rods, nuts, washers, and other accessories.
- H. Equipment Support Installation: Fabricate from welded-structural-steel shapes.
- I. Install hangers and supports to allow controlled thermal and seismic movement of piping systems, to permit freedom of movement between pipe anchors, and to facilitate action of expansion joints, expansion loops, expansion bends, and similar units.
- J. Install lateral bracing with pipe hangers and supports to prevent swaying.
- K. Install building attachments within concrete slabs or attach to structural steel. Install additional attachments at concentrated loads, including valves, flanges, and strainers, NPS 1-1/2 and larger and at changes in direction of piping. Install concrete inserts before concrete is placed; fasten inserts to forms and install reinforcing bars through openings at top of inserts.

- L. Load Distribution: Install hangers and supports so that piping live and dead loads and stresses from movement will not be transmitted to connected equipment.
- M. Pipe Slopes: Install hangers and supports to provide indicated pipe slopes and to not exceed maximum pipe deflections allowed by ASME B31.9 for building services piping.
- N. Insulated Piping:
 - 1. Attach clamps and spacers to piping.
 - a. Piping Operating above Ambient Air Temperature: Clamp may project through insulation.
 - b. Piping Operating below Ambient Air Temperature: Use thermal-hanger shield insert with clamp sized to match OD of insert.
 - c. Do not exceed pipe stress limits allowed by ASME B31.9 for building services piping.
 - 2. Install MSS SP-58, Type 39, protection saddles if insulation without vapor barrier is indicated. Fill interior voids with insulation that matches adjoining insulation.
 - a. Option: Thermal-hanger shield inserts may be used. Include steel weight-distribution plate for pipe NPS 2 and larger if pipe is installed on rollers.
 - 3. Install MSS SP-58, Type 40, protective shields on cold piping with vapor barrier. Shields shall span an arc of 180 degrees.
 - a. Option: Thermal-hanger shield inserts may be used. Include steel weight-distribution plate for pipe NPS 2 and larger if pipe is installed on rollers.
 - 4. Shield Dimensions for Pipe: Not less than the following:
 - a. NPS 1/4 to NPS 3-1/2: 12 inches long and 0.048 inch thick.
 - b. NPS 4: 12 inches long and 0.06 inch thick.
 - 5. Thermal-Hanger Shields: Install with insulation same thickness as piping insulation.

3.4 EQUIPMENT SUPPORTS

- A. Fabricate structural-steel stands to suspend equipment from structure overhead or to support equipment above floor.
- B. Grouting: Place grout under supports for equipment and make bearing surface smooth.
- C. Provide lateral bracing, to prevent swaying, for equipment supports.

3.5 METAL FABRICATIONS

- A. Cut, drill, and fit miscellaneous metal fabrications for trapeze pipe hangers and equipment supports.

- B. Fit exposed connections together to form hairline joints. Field weld connections that cannot be shop welded because of shipping size limitations.
- C. Field Welding: Comply with AWS D1.1/D1.1M procedures for shielded, metal arc welding; appearance and quality of welds; and methods used in correcting welding work; and with the following:
 - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
 - 2. Obtain fusion without undercut or overlap.
 - 3. Remove welding flux immediately.
 - 4. Finish welds at exposed connections so no roughness shows after finishing and so contours of welded surfaces match adjacent contours.

3.6 ADJUSTING

- A. Hanger Adjustments: Adjust hangers to distribute loads equally on attachments and to achieve indicated slope of pipe.
- B. Trim excess length of continuous-thread hanger and support rods to 1 inch.

3.7 PAINTING

- A. Touchup: Clean field welds and abraded areas of shop paint. Paint exposed areas immediately after erecting hangers and supports. Use same materials as used for shop painting. Comply with SSPC-PA 1 requirements for touching up field-painted surfaces.
 - 1. Apply paint by brush or spray to provide a minimum dry film thickness of 2.0 mils.
- B. Touchup: Comply with requirements in Section 099124 "Interior Painting (MPI Standards)" for cleaning and touchup painting of field welds, bolted connections, and abraded areas of shop paint on miscellaneous metal.
- C. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas and apply galvanizing-repair paint to comply with ASTM A780/A780M.

3.8 HANGER AND SUPPORT SCHEDULE

- A. Specific hanger and support requirements are in Sections specifying piping systems and equipment.
- B. Comply with MSS SP-58 for pipe-hanger selections and applications that are not specified in piping system Sections.
- C. Use hangers and supports with galvanized metallic coatings for piping and equipment that will not have field-applied finish.
- D. Use nonmetallic coatings on attachments for electrolytic protection where attachments are in direct contact with copper tubing.

- E. Use galvanized carbon-steel pipe hangers and supports, metal trapeze pipe hangers and metal framing systems and attachments for general service applications.
- F. Use copper-plated pipe hangers and copper attachments for copper piping and tubing.
- G. Use padded hangers for piping that is subject to scratching.
- H. Use thermal-hanger shield inserts for insulated piping and tubing.
- I. Horizontal-Piping Hangers and Supports: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
 - 1. Adjustable, Steel Clevis Hangers (MSS Type 1): For suspension of non-insulated or insulated, stationary pipes NPS 1/2 to NPS 4.
 - 2. Carbon- or Alloy-Steel, Double-Bolt Pipe Clamps (MSS Type 3): For suspension of pipes NPS 3/4 to NPS 3, requiring clamp flexibility and up to 4 inches of insulation.
 - 3. Steel Pipe Clamps (MSS Type 4): For suspension of cold and hot pipes NPS 1/2 to NPS 3 if little or no insulation is required.
 - 4. Pipe Hangers (MSS Type 5): For suspension of pipes NPS 1/2 to NPS 4, to allow off-center closure for hanger installation before pipe erection.
 - 5. Adjustable, Swivel Split- or Solid-Ring Hangers (MSS Type 6): For suspension of non-insulated, stationary pipes NPS 3/4 to NPS 3.
 - 6. Split Pipe Ring with or without Turnbuckle Hangers (MSS Type 11): For suspension of non-insulated, stationary pipes NPS 3/8 to NPS 3.
 - 7. U-Bolts (MSS Type 24): For support of heavy pipes NPS 1/2 to NPS 2.
 - 8. Adjustable Pipe Saddle Supports (MSS Type 38): For stanchion-type support for pipes NPS 2-1/2 to NPS 36 if vertical adjustment is required, with steel-pipe base stanchion support and cast-iron floor flange.
 - 9. Adjustable Roller Hangers (MSS Type 43): For suspension of pipes NPS 1-1/2 to NPS 3, from single rod if horizontal movement caused by expansion and contraction might occur.
 - 10. Adjustable Pipe Roll and Base Units (MSS Type 46): For support of pipes NPS 2 to NPS 4 if vertical and lateral adjustment during installation might be required in addition to expansion and contraction.
- J. Vertical-Piping Clamps: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
 - 1. Extension Pipe or Riser Clamps (MSS Type 8): For support of pipe risers NPS 3/4 to NPS 4.
 - 2. Carbon- or Alloy-Steel Riser Clamps (MSS Type 42): For support of pipe risers NPS 3/4 to NPS 4 if longer ends are required for riser clamps.
- K. Hanger-Rod Attachments: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
 - 1. Steel Turnbuckles (MSS Type 13): For adjustment up to 6 inches for heavy loads.
 - 2. Steel Clevises (MSS Type 14): For 120 to 450 deg F piping installations.
 - 3. Swivel Turnbuckles (MSS Type 15): For use with MSS Type 11, split pipe rings.
 - 4. Malleable-Iron Sockets (MSS Type 16): For attaching hanger rods to various types of building attachments.

5. Steel Weldless Eye Nuts (MSS Type 17): For 120 to 450 deg F piping installations.
- L. Building Attachments: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Steel or Malleable Concrete Inserts (MSS Type 18): For upper attachment to suspend pipe hangers from concrete ceiling.
 2. Top-Beam C-Clamps (MSS Type 19): For use under roof installations with bar-joist construction, to attach to top flange of structural shape.
 3. Side-Beam or Channel Clamps (MSS Type 20): For attaching to bottom flange of beams, channels, or angles.
 4. Center-Beam Clamps (MSS Type 21): For attaching to center of bottom flange of beams.
 5. Welded Beam Attachments (MSS Type 22): For attaching to bottom of beams if loads are considerable and rod sizes are large.
 6. C-Clamps (MSS Type 23): For structural shapes.
 7. Top-Beam Clamps (MSS Type 25): For top of beams if hanger rod is required tangent to flange edge.
 8. Side-Beam Clamps (MSS Type 27): For bottom of steel I-beams.
 9. Steel-Beam Clamps with Eye Nuts (MSS Type 28): For attaching to bottom of steel I-beams for heavy loads.
 10. Linked-Steel Clamps with Eye Nuts (MSS Type 29): For attaching to bottom of steel I-beams for heavy loads, with link extensions.
 11. Malleable-Beam Clamps with Extension Pieces (MSS Type 30): For attaching to structural steel.
 12. Welded-Steel Brackets: For support of pipes from below or for suspending from above by using clip and rod. Use one of the following for indicated loads:
 - a. Light (MSS Type 31): 750 lb.
 - b. Medium (MSS Type 32): 1500 lb.
 - c. Heavy (MSS Type 33): 3000 lb.
 13. Side-Beam Brackets (MSS Type 34): For sides of steel or wooden beams.
 14. Plate Lugs (MSS Type 57): For attaching to steel beams if flexibility at beam is required.
 15. Horizontal Travelers (MSS Type 58): For supporting piping systems subject to linear horizontal movement where headroom is limited.
- M. The application of building attachment systems must be reviewed by a qualified professional engineer licensed in the State of New York, as defined in DDC General Conditions, and approved by the Commissioner.
- N. Saddles and Shields: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
1. Steel-Pipe-Covering Protection Saddles (MSS Type 39): To fill interior voids with insulation that matches adjoining insulation.
 2. Protection Shields (MSS Type 40): Of length recommended in writing by manufacturer to prevent crushing insulation.
 3. Thermal-Hanger Shield Inserts: For supporting insulated pipe.
- O. Spring Hangers and Supports: Unless otherwise indicated and except as specified in piping system Sections, install the following types:

1. Restraint-Control Devices (MSS Type 47): Where indicated to control piping movement.
 2. Spring Cushions (MSS Type 48): For light loads if vertical movement does not exceed 1-1/4 inches.
 3. Spring-Cushion Roll Hangers (MSS Type 49): For equipping Type 41, roll hanger with springs.
 4. Spring Sway Braces (MSS Type 50): To retard sway, shock, vibration, or thermal expansion in piping systems.
 5. Variable-Spring Hangers (MSS Type 51): Preset to indicated load and limit variability factor to 25 percent to allow expansion and contraction of piping system from hanger.
 6. Variable-Spring Base Supports (MSS Type 52): Preset to indicated load and limit variability factor to 25 percent to allow expansion and contraction of piping system from base support.
 7. Variable-Spring Trapeze Hangers (MSS Type 53): Preset to indicated load and limit variability factor to 25 percent to allow expansion and contraction of piping system from trapeze support.
 8. Constant Supports: For critical piping stress and if necessary to avoid transfer of stress from one support to another support, critical terminal, or connected equipment. Include auxiliary stops for erection, hydrostatic test, and load-adjustment capability. These supports include the following types:
 - a. Horizontal (MSS Type 54): Mounted horizontally.
 - b. Vertical (MSS Type 55): Mounted vertically.
 - c. Trapeze (MSS Type 56): Two vertical-type supports and one trapeze member.
- P. Comply with MSS SP-58 for trapeze pipe-hanger selections and applications that are not specified in piping system Sections.
- Q. Comply with MFMA-103 for metal framing system selections and applications that are not specified in piping system Sections.

END OF SECTION 230529

SECTION 230548.13 - VIBRATION CONTROLS FOR HVAC

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:

1. Elastomeric isolation pads.
2. Elastomeric isolation mounts.
3. Restrained elastomeric isolation mounts.
4. Open-spring isolators.
5. Housed-spring isolators.
6. Restrained-spring isolators.
7. Housed-restrained-spring isolators.
8. Pipe Riser Resilient Support.
9. Resilient Pipe Guides.
10. Elastomeric hangers.
11. Spring hangers.
12. Post-installed concrete anchors.
13. Concrete inserts.
14. Restrained Roof Curb Rails.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.

1. Include rated load, rated deflection, and overload capacity for each vibration isolation device.
2. Illustrate and indicate style, material, strength, fastening provision, and finish for each type and size of vibration isolation device type required.
3. Include load rating for each wind-force-restraint fitting and assembly.

- B. Shop Drawings:

1. Detail fabrication and assembly of equipment bases. Detail fabrication including anchorages and attachments to structure and to supported equipment. Include adjustable motor bases, rails, and frames for equipment mounting.
- C. Engineering Services Submittal:
1. For each wind-load protection device that is required by this Section or is indicated on Drawings, submit the following:
 - a. Vibration Isolator and Wind-Load-Restraint Selection: Select vibration isolators, wind-load restraints, and vibration isolation bases complying with performance requirements, design criteria, and analysis data.
 - b. Riser Supports: Include riser diagrams and calculations showing anticipated expansion and contraction at each support point, initial and final loads on building structure, and spring deflection changes. Include certification by professional engineer licensed in the State of New York that riser system was examined for excessive stress and that none exists.
 - c. Concrete Anchors and Inserts: Include calculations showing anticipated wind loads.
 - d. Wind-Load Design Calculations: Submit all static and dynamic loading calculations prepared under "Wind-Load Design Calculations" Paragraph in "Performance Requirements" Article.
 - e. Qualified Professional Engineer: All submittals for wind-restraint calculations are to be signed and sealed by qualified New York State licensed professional engineer responsible for their preparation.
 2. Wind-Restraint Detail Drawing:
 - a. Design Analysis: To support selection and arrangement of wind restraints. Include calculations of combined tensile and shear loads.
 - b. Details: Indicate fabrication and arrangement. Detail attachments of restraints to restrained items and to the structure. Show attachment locations, methods, and spacings. Identify components, list their strengths, and indicate directions and values of forces transmitted to the structure during wind events. Indicate association with vibration isolation devices.
 - c. Coordinate vibration isolation details with wind-restraint details required for equipment mounted outdoors. Comply also with requirements in other Sections for equipment mounted outdoors.
 3. All Engineering Services submittals for wind-restraint detail Drawings are to be signed and sealed by qualified New York State licensed professional engineer responsible for their preparation.
 4. Design Calculations for Vibration Isolation Devices: Calculate static and dynamic loading due to equipment weight and operating forces required to select proper vibration isolators, and to design vibration isolation bases.
 5. Riser Supports: Include riser diagrams and calculations showing anticipated expansion and contraction at each support point, initial and final loads on building structure, and spring deflection changes. Include certification that riser system was examined for excessive stress and that none exists.

1.5 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Show coordination of vibration isolation device installation for HVAC piping and equipment with other systems and equipment in the vicinity, including other supports and restraints.
- B. Qualification Data: For testing agency.
- C. Welding certificates.
- D. Field quality-control reports.
- E. Wind-Force Performance Certification: Provide special certification for HVAC components subject to high wind exposure and impact damage and designated on Drawings or in the Specifications to require wind-force performance certification.
 - 1. Provide equipment manufacturer's written certification for each designated HVAC device, stating that it will remain in place and operable following the design wind event.
 - 2. Provide manufacturer's written certification for each designated louver, damper, or similar device, stating that it will remain in place and protect opening from penetration of windborne debris.
 - 3. Certification must be based on ICC-ES or similar nationally recognized testing standard procedures.
 - 4. The following HVAC systems and components require special certification for high wind performance. Written special certification of resistance to the effects of high wind force and impact damage must be provided by manufacturer:
 - a. Variable Refrigerant Flow (VRF) System Outdoor Condensing Units.
 - b. Pre-fabricated Penthouse Louver Assemblies.
 - c. Centrifugal ventilators - roof sidewall

1.6 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For the following equipment include operation and maintenance data in Project Operation and Maintenance Manuals:
 - 1. Restrained elastomeric isolation mounts.
 - 2. Open-spring isolators.
 - 3. Housed-spring isolators.
 - 4. Restrained-spring isolators.
 - 5. Housed-restrained-spring isolators.
 - 6. Pipe Riser Resilient Support.
 - 7. Resilient Pipe Guides.
 - 8. Elastomeric hangers.
 - 9. Spring hangers.
 - 10. Restrained Roof Curb Rails.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

- B. Welding Qualifications: Qualify procedures and personnel in accordance with AWS D1.1/D1.1M, "Structural Welding Code - Steel."
- C. Wind-Load-Restraint Device Load Ratings: Devices to be tested and rated in accordance with the requirements of the NYC Building Code. Devices to be listed by a nationally recognized third party that requires periodic follow-up inspections and has a listing directory available to the public.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Engineering Services: Engage a qualified professional engineer licensed in the State of New York, as defined in DDC General Conditions, to design system.
 - 1. Wind-Load Performance: Equipment shall withstand the effects of high wind events determined in accordance with NYC Building Code, ASCE/SEI 7-05 and ASCE/SEI 7-10.
- B. Wind-Load Design Calculations:
 - 1. Perform calculations to obtain force information necessary to properly select wind-load-restraint devices, fasteners, and anchorage. Perform calculations using methods as presented in ASCE/SEI 7-05 or ASCE/SEI 7-10. Where "ASCE/SEI 7" is used throughout this Section, it is to be understood that the edition referred to in this subparagraph is intended as referenced throughout the Section Text unless otherwise noted.
 - a. Factors indicated below that are specific to individual pieces of equipment must be obtained by Contractor and must be included in individual component submittal packages.
 - b. Coordinate design wind-load calculations with vibration isolation requirements. Comply with requirements in other Sections in addition to those in this Section for equipment mounted outdoors.
 - 2. Design wind pressure "p" for external sidewall-mounted equipment such as louvers is to be calculated using methods in ASCE/SEI 7, Ch. 30.
 - 3. Design wind pressure "p" for rooftop equipment is to be calculated using methods in ASCE/SEI, Ch. 30, PART 6: Building Appurtenances and Rooftop Structures and Equipment.
 - 4. Design wind force "F" for rooftop equipment and external sidewall-mounted equipment such as louvers is to be calculated using methods in ASCE/SEI 7-05, Ch. 6.
- C. Consequential Damage: Provide additional restraints for suspended HVAC components or anchorage of floor-, roof-, or wall-mounted HVAC components as indicated in ASCE/SEI 7-05 or ASCE/SEI 7-10 so that failure of a non-essential or essential HVAC component will not cause the failure of any other essential architectural, mechanical, or electrical building component.

D. Fire/Smoke Resistance: All components that are not constructed of ferrous metals must have a maximum flame-spread index of 25 and maximum smoke-developed index of 50 when tested by an NRTL in accordance with ASTM E84 or UL 723, and be so labeled.

E. Component Supports:

1. Load ratings, features, and applications of all reinforcement components must be based on testing standards of a nationally recognized testing agency.

2.2 ELASTOMERIC ISOLATION PADS

A. Elastomeric Isolation Pads:

1. Fabrication: Single or multiple layers of sufficient durometer stiffness for uniform loading over pad area.
2. Size: Factory or field cut to match requirements of supported equipment.
3. Pad Material: Oil and water resistant with elastomeric properties.
4. Surface Pattern: Smooth pattern.
5. Infused nonwoven cotton or synthetic fibers.
6. Load-bearing metal plates adhered to pads.
7. Sandwich-Core Material: Resilient and elastomeric:
 - a. Surface Pattern: Smooth pattern.
 - b. Infused nonwoven cotton or synthetic fibers.

2.3 ELASTOMERIC ISOLATION MOUNTS

A. Elastomeric Isolation Mounts, Double Deflection:

1. Mounting Plates:
 - a. Top Plate: Encapsulated steel load transfer top plates, factory drilled and threaded with threaded studs or bolts.
 - b. Baseplate: Encapsulated steel bottom plates with holes provided for anchoring to support structure.
2. Elastomeric Material: Molded, oil-resistant rubber, neoprene, or other elastomeric material.
3. Minimum deflection as per Manufacturer's criteria based on application.
4. Elastomeric Material: Molded, oil-resistant rubber, neoprene, or other elastomeric material.

2.4 RESTRAINED ELASTOMERIC ISOLATION MOUNTS

A. Restrained Elastomeric Isolation Mounts:

1. Description: All-directional isolator with restraints containing two separate and opposing elastomeric elements that prevent central threaded element and attachment hardware from contacting the housing during normal operation.
 - a. Housing: Cast-ductile iron or welded steel.
 - b. Elastomeric Material: Molded, oil-resistant rubber, neoprene, or other elastomeric material.
2. Minimum deflection as per Manufacturer's criteria based on application.

2.5 OPEN-SPRING ISOLATORS

A. Freestanding, Laterally Stable, Open-Spring Isolators:

1. Outside Spring Diameter: Not less than 80 percent of the compressed height of the spring at rated load.
2. Minimum Additional Travel: 50 percent of the required deflection at rated load.
3. Lateral Stiffness: More than 80 percent of rated vertical stiffness.
4. Overload Capacity: Support 200 percent of rated load, fully compressed, without deformation or failure.
5. Baseplates: Factory-drilled steel plate for bolting to structure with an elastomeric isolator pad attached to the underside. Baseplates shall limit floor load to 500 psig.
6. Top Plate and Adjustment Bolt: Threaded top plate with adjustment bolt and cap screw to fasten and level equipment.
7. Minimum deflection as per Manufacturer's criteria based on application.

2.6 HOUSED-SPRING ISOLATORS

A. Freestanding, Laterally Stable, Open-Spring Isolators in Two-Part Telescoping Housing:

1. Outside Spring Diameter: Not less than 80 percent of the compressed height of the spring at rated load.
2. Minimum Additional Travel: 50 percent of the required deflection at rated load.
3. Lateral Stiffness: More than 80 percent of rated vertical stiffness.
4. Overload Capacity: Support 200 percent of rated load, fully compressed, without deformation or failure.
5. Two-Part Telescoping Housing: A steel top and bottom frame separated by an elastomeric material and enclosing the spring isolators.
 - a. Drilled base housing for bolting to structure with an elastomeric isolator pad attached to the underside. Bases shall limit floor load to 500 psig.
 - b. Top housing with threaded mounting holes and internal leveling device.
6. Minimum deflection as per Manufacturer's criteria based on application.

2.7 RESTRAINED-SPRING ISOLATORS

- A. Freestanding, Laterally Stable, Open-Spring Isolators with Vertical-Limit Stop Restraint:
1. Housing: Steel housing with vertical-limit stops to prevent spring extension due to weight being removed.
 - a. Base with holes for bolting to structure with an elastomeric isolator pad attached to the underside. Bases shall limit floor load to 500 psig.
 - b. Top plate with threaded mounting holes
 - c. Internal leveling bolt that acts as blocking during installation.
 2. Restraint: Limit stop as required for equipment.
 3. Outside Spring Diameter: Not less than 80 percent of the compressed height of the spring at rated load.
 4. Minimum Additional Travel: 50 percent of the required deflection at rated load.
 5. Lateral Stiffness: More than 80 percent of rated vertical stiffness.
 6. Overload Capacity: Support 200 percent of rated load, fully compressed, without deformation or failure.
 7. Minimum deflection as per Manufacturer's criteria based on application.

2.8 HOUSED-RESTRAINED-SPRING ISOLATORS

- A. Freestanding, Steel, Open-Spring Isolators with Vertical-Limit Stop Restraint in Two-Part Telescoping Housing:
1. Two-Part Telescoping Housing: A steel top and bottom frame separated by an elastomeric material and enclosing the spring isolators. Housings are equipped with adjustable snubbers to limit vertical movement.
 - a. Drilled base housing for bolting to structure with an elastomeric isolator pad attached to the underside. Bases shall limit floor load to 500 psig
 - b. Threaded top housing with adjustment bolt and cap screw to fasten and level equipment.
 2. Outside Spring Diameter: Not less than 80 percent of the compressed height of the spring at rated load.
 3. Minimum Additional Travel: 50 percent of the required deflection at rated load.
 4. Lateral Stiffness: More than 80 percent of rated vertical stiffness.
 5. Overload Capacity: Support 200 percent of rated load, fully compressed, without deformation or failure.
 6. Minimum deflection as per Manufacturer's criteria based on application.

2.9 PIPE-RISER RESILIENT SUPPORT

- A. All-Directional, Acoustical Pipe Anchor Consisting of Two Steel Tubes Separated by a Minimum 1/2-inch-thick Neoprene:.

1. Vertical-Limit Stops: Steel and neoprene vertical-limit stops arranged to prevent vertical travel in both directions.
2. Maximum Load Per Support: 500 psi on isolation material providing equal isolation in all directions.
3. Minimum deflection as per Manufacturer's criteria based on application.

2.10 RESILIENT PIPE GUIDES

- A. Telescopic Arrangement of Two Steel Tubes or Post and Sleeve Arrangement Separated by a Minimum 1/2-inch-thick Neoprene:
1. Factory-Set Height Guide with Shear Pin: Shear pin shall be removable and re-insertable to allow for selection of pipe movement. Guides shall be capable of motion to meet location requirements.

2.11 ELASTOMERIC HANGERS

- A. Elastomeric Mount in a Steel Frame with Upper and Lower Steel Hanger Rods:
1. Frame: Steel, fabricated with a connection for an upper threaded hanger rod and an opening on the underside to allow for a maximum of 30 degrees of angular lower hanger-rod misalignment without binding or reducing isolation efficiency.
 2. Damping Element: Molded, oil-resistant rubber, neoprene, or other elastomeric material with a projecting bushing for the underside opening preventing steel-to-steel contact.
 3. Minimum deflection as per Manufacturer's criteria based on application.

2.12 SPRING HANGER

- A. Combination Coil-Spring and Elastomeric-Insert Hanger with Spring and Insert in Compression:
1. Frame: Steel, fabricated for connection to threaded hanger rods and to allow for a maximum of 30 degrees of angular hanger-rod misalignment without binding or reducing isolation efficiency.
 2. Outside Spring Diameter: Not less than 80 percent of the compressed height of the spring at rated load.
 3. Minimum Additional Travel: 50 percent of the required deflection at rated load.
 4. Lateral Stiffness: More than 80 percent of rated vertical stiffness.
 5. Minimum deflection as indicated on Drawings.
 6. Overload Capacity: Support 200 percent of rated load, fully compressed, without deformation or failure.
 7. Elastomeric Element: Molded, oil-resistant rubber or neoprene. Steel-washer-reinforced cup to support spring and bushing projecting through bottom of frame.
 8. Adjustable Vertical Stop: Steel washer with neoprene washer "up-stop" on lower threaded rod.
 9. Self-centering hanger rod cap to ensure concentricity between hanger rod and support spring coil.

2.13 RESTRAINTS - RIGID TYPE

- A. Description: Shop- or field-fabricated bracing assembly made of AISI S110-07-S1 slotted steel channels, ANSI/ASTM A53/A53M steel pipe as per NFPA 13, or other rigid steel brace member. Includes accessories for attachment to braced component at one end and to building structure at the other end and other matching components and with corrosion-resistant coating; rated in tension, compression, and torsion forces.

2.14 RESTRAINT ACCESSORIES

- A. Hanger-Rod Stiffener: Steel tube or steel slotted-support-system sleeve with internally bolted connections or Reinforcing steel angle clamped to hanger rod.
- B. Hinged and Swivel Brace Attachments: Multifunctional steel connectors for attaching hangers to rigid channel bracings.
- C. Bushings for Floor-Mounted Equipment Anchor Bolts: Neoprene bushings designed for rigid equipment mountings, and matched to type and size of anchor bolts and studs.
- D. Bushing Assemblies for Wall-Mounted Equipment Anchorage: Assemblies of neoprene elements and steel sleeves designed for rigid equipment mountings, and matched to type and size of attachment devices used.
- E. Resilient Isolation Washers and Bushings: One-piece, molded, oil- and water-resistant neoprene, with a flat washer face.

2.15 POST-INSTALLED CONCRETE ANCHORS

- A. Mechanical Anchor Bolts:
 - 1. Drilled-in and stud-wedge or female-wedge type in zinc-coated steel for interior applications and stainless steel for exterior applications. Select anchor bolts with strength for anchor and as tested according to ASTM E488/E488M.
- B. Adhesive Anchor Bolts:
 - 1. Drilled-in and capsule anchor system containing PVC or urethane methacrylate-based resin and accelerator, or injected polymer or hybrid mortar adhesive. Provide anchor bolts and hardware with zinc-coated steel for interior applications and stainless steel for exterior applications. Select anchor bolts with strength required for anchor and as tested according to ASTM E488/E488M.
- C. Provide post-installed concrete anchors that have been prequalified for use in wind-load applications. Post-installed concrete anchors must comply with all requirements of NYC Building Code, ASCE/SEI 7-05, Ch. 13 and ASCE/SEI 7-10, Ch. 13.
 - 1. Prequalify post-installed anchors in concrete in accordance with ACI 355.2 or other approved qualification testing procedures.
 - 2. Prequalify post-installed anchors in masonry in accordance with approved qualification procedures.

- D. Expansion-type anchor bolts are not permitted for equipment in excess of 10 hp that is not vibration isolated.
 - 1. Undercut expansion anchors are permitted.

2.16 CONCRETE INSERTS

- A. Provide preset concrete inserts that are prequalified in accordance with ICC-ES AC466 testing.
- B. Comply with ANSI/MSS SP-58.

2.17 RESTRAINED ISOLATION ROOF CURB RAILS

- A. Description: Factory-assembled, fully enclosed, insulated, air- and watertight curb rail designed to resiliently support equipment.
- B. Upper Frame: Shall provide continuous and captive support for equipment.
- C. Lower Support Assembly: Shall be formed sheet metal section containing adjustable and removable steel springs that support upper frame. Lower support assembly shall have a means for attaching to building structure and a wood nailer for attaching roof materials and shall be insulated with a minimum of 2 inches of rigid glass-fiber insulation on inside of assembly.
 - 1. Adjustable, restrained-spring isolators shall be mounted on elastomeric vibration isolation pads and shall have access ports, for level adjustment, with removable waterproof covers at all isolator locations. Isolators shall be located so they are accessible for adjustment at any time during the life of the installation without interfering with integrity of roof.
 - 2. Minimum deflection as indicated on Drawings.
- D. Water Seal: Galvanized sheet metal with EPDM seals at corners, attached to upper support frame, extending down past wood nailer of lower support assembly, and counter-flashed over roof materials.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine areas and equipment to receive vibration isolation and wind-load control devices for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine roughing-in of reinforcement and cast-in-place anchors to verify actual locations before installation.

- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 APPLICATION

- A. Multiple Pipe Supports: Secure pipes to trapeze member with clamps approved for application by an evaluation service member of ICC-ES.
- B. Hanger-Rod Stiffeners: Install hanger-rod stiffeners where indicated or scheduled on Drawings to receive them and where required to prevent buckling of hanger rods due to wind-load forces.
- C. Strength of Support Assemblies: Where not indicated, select sizes of components so strength is adequate to carry static and wind force loads within specified loading limits.

3.4 INSTALLATION OF VIBRATION AND WIND-LOAD CONTROL DEVICES

- A. Provide vibration and wind-load control devices for systems and equipment where indicated in Equipment Schedules or Vibration-Control Device Schedules on Drawings, and where Specifications indicate they are to be installed on specific equipment and systems.
- B. Coordinate location of embedded connection hardware with supported equipment attachment and mounting points and with requirements for concrete reinforcement and formwork specified in Section 033000 "Cast-in-Place Concrete."
- C. Installation of vibration isolators and wind-load restraints must not cause any change of position of equipment, piping, or ductwork resulting in stresses or misalignment.
- D. Comply with requirements in Section 077200 "Roof Accessories" for installation of roof curbs, equipment supports, and roof penetrations.
- E. Equipment Restraints:
 - 1. Install snubbers on HVAC equipment mounted on vibration isolators. Locate snubbers as close as possible to vibration isolators and bolt to equipment base and supporting structure.
 - 2. Install resilient bolt isolation washers on equipment anchor bolts where clearance between anchor and adjacent surface exceeds 0.125 inch.
 - 3. Install wind-load-restraint devices using methods approved by an evaluation service member of ICC-ES that provides required submittals for component.
- F. Piping Restraints:
 - 1. Comply with requirements in MSS SP-127.
 - 2. Space lateral supports a maximum of 15 feet o.c., and longitudinal supports a maximum of 25 feet o.c.
 - 3. Brace a change of direction longer than 12 feet.
- G. Install wind-load-restraint cables so they do not bend across edges of adjacent equipment or building structure.

- H. Install wind-load-restraint devices using methods approved by an evaluation service member of ICC-ES that provides required submittals for component.
- I. Install bushing assemblies for anchor bolts for floor-mounted equipment, arranged to provide resilient media between anchor bolt and mounting hole in concrete base.
- J. Install bushing assemblies for mounting bolts for wall-mounted equipment, arranged to provide resilient media where equipment or equipment-mounting channels are attached to wall.
- K. Attachment to Structure: If specific attachment is not indicated, anchor bracing to structure at flanges of beams, at upper truss chords of bar joists, or at concrete members.
- L. Post-Installed Concrete Anchors:
 - 1. Identify position of reinforcing steel and other embedded items prior to drilling holes for anchors. Do not damage existing reinforcing or embedded items during coring or drilling. Notify the Commissioner if reinforcing steel or other embedded items are encountered during drilling. Locate and avoid prestressed tendons, electrical and telecommunications conduit, and gas lines.
 - 2. Do not drill holes in concrete or masonry until concrete, mortar, or grout has achieved full design strength.
 - 3. Wedge-Type Anchor Bolts: Protect threads from damage during anchor installation. Heavy-duty sleeve anchors shall be installed with sleeve fully engaged in the structural element to which anchor is to be fastened.
 - 4. Adhesive-Type Anchor Bolts: Clean holes to remove loose material and drilling dust prior to installation of adhesive. Place adhesive in holes proceeding from the bottom of the hole and progressing toward the surface in such a manner as to avoid introduction of air pockets in the adhesive.
 - 5. Set anchors to manufacturer's recommended torque, using a torque wrench.
 - 6. Install zinc-coated steel anchors for interior and stainless steel anchors for exterior applications.

3.5 ACCOMMODATION OF DIFFERENTIAL MOTION

- A. Provide flexible connections in piping systems where they cross structural joints and other point where differential movement may occur. Provide adequate flexibility to accommodate differential movement as determined in accordance with ASCE/SEI 7. Comply with requirements in Section 232300 "Refrigerant Piping" for piping flexible connections.

3.6 ADJUSTING

- A. Adjust isolators after system is at operating weight.
- B. Adjust limit stops on restrained-spring isolators to mount equipment at normal operating height. After equipment installation is complete, adjust limit stops so they are out of contact during normal operation.

3.7 FIELD QUALITY CONTROL

- A. Manufacturer's Field Service: Engage a factory-authorized service representative to test and inspect components, assemblies, and equipment installations, including connections.
- B. Tests and Inspections:
 - 1. Provide evidence of recent calibration of test equipment by a qualified testing agency.
 - 2. Schedule test with Commissioner, before connecting anchorage device to restrained component (unless post-connection testing has been approved), and with at least seven days' advance notice.
 - 3. Obtain Commissioner's approval before transmitting test loads to structure. Provide temporary load-spreading members.
 - 4. Test at least five of each type and size of installed anchors and fasteners selected by Commissioner.
 - 5. Test to 90 percent of rated proof load of device.
 - 6. Measure isolator restraint clearance.
 - 7. Measure isolator deflection.
 - 8. Verify snubber minimum clearances.
 - 9. Test and adjust restrained-air-spring isolator controls and safeties.
- C. Remove and replace malfunctioning units and retest as specified above.
- D. Prepare test and inspection reports.

END OF SECTION 230548.13

SECTION 230553 - IDENTIFICATION FOR HVAC PIPING AND EQUIPMENT

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Equipment labels.
 - 2. Warning signs and labels.
 - 3. Warning tape.
 - 4. Pipe labels.
 - 5. Duct labels.
 - 6. Stencils.
 - 7. Valve tags.
 - 8. Warning tags.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Samples: For color, letter style, and graphic representation required for each identification material and device.
- C. Equipment-Label Schedule: Include a listing of all equipment to be labeled with the proposed content for each label.
- D. Valve-numbering scheme.
- E. Valve Schedules: Provide for each piping system. Include in operation and maintenance manuals.

1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 EQUIPMENT LABELS

A. Metal Labels for Equipment:

1. Material and Thickness: Brass, 0.032-inch aluminum, or 0.032-inch anodized aluminum, 0.032-inch minimum thickness, with predrilled or stamped holes for attachment hardware.
2. Letter and Background Color: As indicated for specific application under Part 3.
3. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.
4. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches 1/2 inch for viewing distances of up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-fourths the size of principal lettering.
5. Fasteners: Stainless steel rivets or self-tapping screws.
6. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.

B. Plastic Labels for Equipment:

1. Material and Thickness: Multilayer, multicolor, plastic labels for mechanical engraving, 1/16 inch thick, with predrilled holes for attachment hardware.
2. Letter and Background Color: As indicated for specific application under Part 3.
3. Maximum Temperature: Able to withstand temperatures of up to 160 deg F.
4. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.
5. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances of up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-fourths the size of principal lettering.
6. Fasteners: Stainless steel rivets or self-tapping screws.
7. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.

C. Label Content: Include equipment's Drawing designation or unique equipment number, Drawing numbers where equipment is indicated (plans, details, and schedules), and the Specification Section number and title where equipment is specified.

2.2 WARNING SIGNS AND LABELS

- A. Material and Thickness: Multilayer, multicolor, plastic labels for mechanical engraving, 1/16 inch thick, with predrilled holes for attachment hardware.
- B. Letter and Background Color: As indicated for specific application under Part 3.
- C. Maximum Temperature: Able to withstand temperatures of up to 160 deg F.
- D. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.

- E. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances of up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-fourths the size of principal lettering.
- F. Fasteners: Stainless steel rivets or self-taping screws.
- G. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.
- H. Label Content: Include caution and warning information plus emergency notification instructions.

2.3 WARNING TAPE

- A. Material: Vinyl.
- B. Minimum Thickness: 0.005 inch.
- C. Letter, Pattern, and Background Color: As indicated for specific application under Part 3.
- D. Waterproof Adhesive Backing: Suitable for indoor or outdoor use.
- E. Maximum Temperature: 160 deg F.
- F. Minimum Width: 4 inches.

2.4 PIPE LABELS

- A. General Requirements for Manufactured Pipe Labels: Preprinted, color coded, with lettering indicating service and showing flow direction in accordance with ASME A13.1.
- B. Letter and Background Color: As indicated for specific application under Part 3.
- C. Pretensioned Pipe Labels: Precoiled, semirigid plastic formed to partially cover circumference of pipe and to attach to pipe without fasteners or adhesive.
- D. Self-Adhesive Pipe Labels: Printed plastic with contact-type, permanent-adhesive backing.
- E. Pipe Label Contents: Include identification of piping service using same designations or abbreviations as used on Drawings. Also include:
 - 1. Pipe size.
 - 2. Flow-Direction Arrows: Include flow-direction arrows on distribution piping. Arrows may be either integral with label or applied separately.
 - 3. Lettering Size: Size letters in accordance with ASME A13.1 for piping.

2.5 DUCT LABELS

- A. Material and Thickness: Multilayer, multicolor, plastic labels for mechanical engraving, 1/16 inch thick, and having predrilled holes for attachment hardware.
- B. Letter and Background Color: As indicated for specific application under Part 3.
- C. Maximum Temperature: Able to withstand temperatures up to 160 deg F.
- D. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch
- E. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances of up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-fourths the size of principal lettering.
- F. Fasteners: Stainless steel rivets or self-tapping screws.
- G. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.
- H. Duct Label Contents: Include identification of duct service using same designations or abbreviations as used on Drawings. Also include the following:
 - 1. Duct size.
 - 2. Flow-Direction Arrows: Include flow-direction arrows on distribution ducts. Arrows may be either integral with label or may be applied separately.
 - 3. Lettering Size: Size letters in accordance with ASME A13.1 for piping.

2.6 STENCILS

- A. Stencils for Piping:
 - 1. Lettering Size: Size letters in accordance with ASME A13.1 for piping.
 - 2. Stencil Material: Fiberboard or metal.
 - 3. Stencil Paint: Exterior, gloss, acrylic enamel in colors complying with recommendations in ASME A13.1 unless otherwise indicated. Paint may be in pressurized spray-can form.
 - 4. Identification Paint: Exterior, acrylic enamel. Paint may be in pressurized spray-can form.
 - 5. Letter and Background Color: As indicated for specific application under Part 3.
- B. Stencils for Ducts:
 - 1. Lettering Size: Minimum letter height of 1-1/4 inches for viewing distances of up to 15 ft. and proportionately larger lettering for greater viewing distances.
 - 2. Stencil Material: Fiberboard or metal.
 - 3. Stencil Paint: Exterior, gloss, acrylic enamel. Paint may be in pressurized spray-can form.
 - 4. Identification Paint: Exterior, acrylic enamel. Paint may be in pressurized spray-can form.
 - 5. Letter and Background Color: Color as indicated for specific application under Part 3.
- C. Stencils for Access Panels and Door Labels, Equipment Labels, and Similar Operational Instructions:

1. Lettering Size: Minimum letter height of 1/2 inch for viewing distances of up to 72 inches and proportionately larger lettering for greater viewing distances.
2. Stencil Material: Fiberboard or metal.
3. Stencil Paint: Exterior, gloss, acrylic enamel. Paint may be in pressurized spray-can form.
4. Identification Paint: Exterior, acrylic enamel. Paint may be in pressurized spray-can form.
5. Letter and Background Color: As indicated for specific application under Part 3.

2.7 VALVE TAGS

- A. Description: Stamped or engraved with 1/4-inch letters for piping system abbreviation and 1/2-inch numbers.
 1. Tag Material: Brass, 0.04-inch or anodized aluminum 0.031-inch minimum thickness, with predrilled or stamped holes for attachment hardware.
 2. Fasteners: Brass wire, link chain or beaded chain.
- B. Letter and Background Color: As indicated for specific application under Part 3.
- C. Valve Schedules: For each piping system, on 8-1/2-by-11-inch bond paper. Tabulate valve number, piping system, system abbreviation (as shown on valve tag), location of valve (room or space), normal-operating position (open, closed, or modulating), and variations for identification. Mark valves for emergency shutoff and similar special uses.
 1. Include valve-tag schedule in operation and maintenance data.

2.8 WARNING TAGS

- A. Description: Preprinted accident-prevention tags of plasticized card stock with matte finish suitable for writing.
 1. Size: 3 by 5-1/4 inches minimum.
 2. Fasteners: Reinforced grommet and wire or string.
 3. Nomenclature: Large-size primary caption, such as "DANGER," "CAUTION," or "DO NOT OPERATE."
 4. Letter and Background Color: As indicated for specific application under Part 3.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 PREPARATION

- A. Clean piping and equipment surfaces of incompatible primers, paints, and encapsulants, as well as dirt, oil, grease, release agents, and other substances that could impair bond of identification devices.

3.3 INSTALLATION, GENERAL REQUIREMENTS

- A. Coordinate installation of identifying devices with completion of covering and painting of surfaces where devices are to be applied.
- B. Coordinate installation of identifying devices with locations of access panels and doors.
- C. Install identifying devices before installing acoustical ceilings and similar concealment.
- D. Locate identifying devices so that they are readily visible from the point of normal approach.

3.4 INSTALLATION OF EQUIPMENT LABELS, WARNING SIGNS, AND LABELS

- A. Permanently fasten labels on each item of mechanical equipment.
- B. Sign and Label Colors:
 - 1. White letters on an ANSI Z535.1 safety-blue background.
- C. Locate equipment labels where accessible and visible.
- D. Arc-Flash Warning Signs: Provide arc-flash warning signs on electrical disconnects and other equipment where arc-flash hazard exists, as indicated on Drawings, and in accordance with requirements of OSHA and NFPA 70E.

3.5 INSTALLATION OF WARNING TAPE

- A. Warning Tape Color and Pattern: Yellow background with black diagonal stripes.
- B. Install warning tape on pipes and ducts, with cross-designated walkways providing less than 6 ft. of clearance.
- C. Locate tape so as to be readily visible from the point of normal approach.

3.6 INSTALLATION OF PIPE LABELS

- A. Piping Color Coding: Painting of piping is specified in Section 099124 "Interior Painting (MPI Standards)."
- B. Install pipe labels showing service and flow direction with permanent adhesive on pipes.

- C. Stenciled Pipe Label Option: Stenciled labels showing service and flow direction may be provided instead of manufactured pipe labels, at Installer's option. Install stenciled pipe labels, complying with ASME A13.1, on each piping system.
 - 1. Identification Paint: Use for contrasting background.
 - 2. Stencil Paint: Use for pipe marking.
- D. Pipe-Label Locations: Locate pipe labels where piping is exposed or above accessible ceilings in finished spaces; machine rooms; accessible maintenance spaces such as shafts, tunnels, and plenums; and exterior exposed locations as follows:
 - 1. Within 3 ft. of each valve and control device.
 - 2. At access doors, manholes, and similar access points that permit view of concealed piping.
 - 3. Within 3 ft. of equipment items and other points of origination and termination.
 - 4. Spaced at maximum intervals of 25 ft. along each run. Reduce intervals to 10 ft. in areas of congested piping, ductwork, and equipment.
- E. Do not apply plastic pipe labels or plastic tapes directly to bare pipes conveying fluids at temperatures of 125 deg F or higher. Where these pipes are to remain uninsulated, use a short section of insulation or use stenciled labels.
- F. Flow-Direction Arrows: Use arrows to indicate direction of flow in pipes, including pipes where flow is allowed in both directions.
- G. Pipe-Label Color Schedule:
 - 1. Chilled-Water Piping: White letters on an ANSI Z535.1 safety-green background.
 - 2. Condenser-Water Piping: White letters on an ANSI Z535.1 safety-green background.
 - 3. Heating Water Piping: White letters on an ANSI Z535.1 safety-green background.
 - 4. Refrigerant Piping: White letters on an ANSI Z535.1 safety-blue background.
 - 5. Low-Pressure Steam Piping: Black letters on an ANSI Z535.1 safety-yellow background.
 - 6. High-Pressure Steam Piping: Black letters on an ANSI Z535.1 safety-yellow background.
 - 7. Steam Condensate Piping: Black letters on an ANSI Z535.1 safety-yellow background.
 - 8. Toxic and Corrosive Fluids: Black letters on an ANSI Z535.1 safety-orange background.
 - 9. Flammable Fluids: Black letters on an ANSI Z535.1 safety-yellow background.
 - 10. Combustible Fluids: White letters on an ANSI Z535.1 safety-brown background.
 - 11. Potable and Other Water: White letters on an ANSI Z535.1 safety-green background.
 - 12. Compressed Air: White letters on an ANSI Z535.1 safety-blue background.

3.7 INSTALLATION OF DUCT LABELS

- A. Install self-adhesive duct labels showing service and flow direction with permanent adhesive on air ducts.
 - 1. Provide labels in the following color codes:
 - a. For air supply ducts: White letters on blue background.
 - b. For air return ducts: White letters on blue background.
 - c. For exhaust-, outside-, relief-, return-, and mixed-air ducts: White letters on blue background.

- B. Stenciled Duct-Label Option: Stenciled labels showing service and flow direction may be provided instead of plastic-laminated duct labels, at Installer's option.
 - 1. For all air ducts: Black letters on white background.
- C. Locate label near each point where ducts enter into and exit from concealed spaces and at maximum intervals of 20 ft. where exposed or are concealed by removable ceiling system.
- D. Stenciled Access Panels and Door Labels, Equipment Labels, and Similar Operational Instructions:
 - 1. Black letters on White background.

3.8 INSTALLATION OF VALVE TAGS

- A. Install tags on valves and control devices in piping systems, except check valves, valves within factory-fabricated equipment units, shutoff valves, and HVAC terminal devices and similar roughing-in connections of end-use fixtures and units. List tagged valves in a valve schedule in the operating and maintenance manual.
- B. Valve-Tag Application Schedule: Tag valves according to size, shape, and color scheme and with captions similar to those indicated in "Valve-Tag Size and Shape" Subparagraph below.
 - 1. Valve-Tag Size and Shape:
 - a. Chilled Water: 1-1/2 inches.
 - b. Condenser Water: 1-1/2 inches, round.
 - c. Refrigerant: 1-1/2 inches, round.
 - d. Hot Water: 1-1/2 inches, round.
 - e. Gas: 1-1/2 inches, round.
 - f. Low-Pressure Steam: 1-1/2 inches, round.
 - g. High-Pressure Steam: 1-1/2 inches, round.
 - h. Steam Condensate: 1-1/2 inches, round.
 - 2. Valve-Tag Colors:
 - a. For each piping system, use the same lettering and background coloring system on valve tags as used for the Pipe Label Schedule text and background.

3.9 INSTALLATION OF WARNING TAGS

- A. Warning Tag Color: Black letters on an ANSI Z535.1 safety-yellow background.
- B. Attach warning tags, with proper message, to equipment and other items where indicated on Drawings.

END OF SECTION 230553

SECTION 230593 - TESTING, ADJUSTING, AND BALANCING FOR HVAC

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
1. Balancing Air Systems:
 - a. Constant-volume Air Systems.
 - b. In-line centrifugal fans.
 - c. Centrifugal ventilators-roof down blast
 - d. Air-to-Air Energy Recovery Ventilation (ERV) Systems.
 2. Testing, adjusting, and balancing systems and equipment.
 3. Sound tests.
 4. Vibration tests.
 5. Duct leakage tests.
 6. Control system verification.

1.3 DEFINITIONS

- A. AABC: Associated Air Balance Council.
- B. BAS: Building automation systems.
- C. NEBB: National Environmental Balancing Bureau.
- D. TAB: Testing, adjusting, and balancing.
- E. TABB: Testing, Adjusting, and Balancing Bureau.
- F. TAB Specialist: An independent entity meeting qualifications to perform TAB work.
- G. TDH: Total dynamic head.

1.4 PREINSTALLATION MEETINGS

- A. TAB Conference: If requested by the Commissioner conduct a TAB conference at Project site after approval of the TAB strategies and procedures plan to develop a mutual understanding of the details. Provide a minimum of 7 days' advance notice of scheduled meeting time and location.

1. Minimum Agenda Items:

- a. The Contract Documents examination report.
- b. The TAB plan.
- c. Needs for coordination and cooperation of trades and subcontractors.
- d. Proposed procedures for documentation and communication flow.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.6 ACTION SUBMITTALS

A. Sustainable Design Submittals:

1. Air-Balance Report: Documentation indicating that Work complies with ASHRAE 62.1, Section 7.2.2 - "Air Balancing."
2. TAB Report: Documentation indicating that Work complies with ASHRAE/IES 90.1, Section 6.7.2.3 - "System Balancing."

1.7 INFORMATIONAL SUBMITTALS

- A. Qualification Data: Submit TAB specialist Qualifications within 30 days from start of the work.
- B. Contract Documents Examination Report: Submit TAB specialist Qualifications within 30 days from start of the work.
- C. Strategies and Procedures Plan: Submit TAB strategies and step-by-step procedures as specified in "Preparation" Article, within 30 days from start of the work.
- D. System Readiness Checklists: Within 30 days from start of the work.
- E. Examination Report: Submit a summary report of the examination review required in "Examination" Article within 30 days from start of the work.
- F. Certified TAB reports.
- G. Sample report forms.
- H. Instrument calibration reports, to include the following:

1. Instrument type and make.
2. Serial number.
3. Application.
4. Dates of use.
5. Dates of calibration.

1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. TAB Specialists Qualifications: Certified by AABC, NEBB or TABB.
- C. Instrumentation Type, Quantity, Accuracy, and Calibration: Comply with requirements in ASHRAE 111, Section 4, "Instrumentation."
- D. ASHRAE 62.1 Compliance: Applicable requirements in ASHRAE 62.1, Section 7.2.2 - "Air Balancing."
- E. ASHRAE/IES 90.1 Compliance: Applicable requirements in ASHRAE/IES 90.1, Section 6.7.2.3 - "System Balancing."

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine the Contract Documents to become familiar with Project requirements and to discover conditions in systems designs that may preclude proper TAB of systems and equipment.
- B. Examine installed systems for balancing devices, such as test ports, gage cocks, thermometer wells, flow-control devices, balancing valves and fittings, and manual volume dampers. Verify that locations of these balancing devices are applicable for intended purpose and are accessible.
- C. Examine the approved submittals for HVAC systems and equipment.
- D. Examine design data including HVAC system descriptions, statements of design assumptions for environmental conditions and systems output, and statements of philosophies and assumptions about HVAC system and equipment controls.

- E. Examine ceiling plenums and underfloor air plenums used for supply, return, or relief air to verify that they are properly separated from adjacent areas. Verify that penetrations in plenum walls are sealed and fire-stopped if required.
- F. Examine equipment performance data including fan and pump curves.
 - 1. Relate performance data to Project conditions and requirements, including system effects that can create undesired or unpredicted conditions that cause reduced capacities in all or part of a system.
 - 2. Calculate system-effect factors to reduce performance ratings of HVAC equipment when installed under conditions different from the conditions used to rate equipment performance. To calculate system effects for air systems, use tables and charts found in AMCA 201, "Fans and Systems," or in SMACNA's "HVAC Systems - Duct Design." Compare results with the design data and installed conditions.
- G. Examine system and equipment installations and verify that field quality-control testing, cleaning, and adjusting specified in individual Sections have been performed.
- H. Examine test reports specified in individual system and equipment Sections.
- I. Examine HVAC equipment and verify that bearings are greased, belts are aligned and tight, filters are clean, and equipment with functioning controls is ready for operation.
- J. Examine terminal units, such as variable-air-volume boxes, and verify that they are accessible and their controls are connected and functioning.
- K. Examine strainers. Verify that startup screens have been replaced by permanent screens with indicated perforations.
- L. Examine control valves for proper installation for their intended function of throttling, diverting, or mixing fluid flows.
- M. Examine heat-transfer coils for correct piping connections and for clean and straight fins.
- N. Examine system pumps to ensure absence of entrained air in the suction piping.
- O. Examine operating safety interlocks and controls on HVAC equipment.
- P. Report deficiencies discovered before and during performance of TAB procedures. Observe and record system reactions to changes in conditions. Record default set points if different from indicated values.

3.3 PREPARATION

- A. Prepare a TAB plan that includes the following:
 - 1. Equipment and systems to be tested.
 - 2. Strategies and step-by-step procedures for balancing the systems.
 - 3. Instrumentation to be used.
 - 4. Sample forms with specific identification for all equipment.

- B. Perform system-readiness checks of HVAC systems and equipment to verify system readiness for TAB work. Include, at a minimum, the following:
 - 1. Airside:
 - a. Verify that leakage and pressure tests on air distribution systems have been satisfactorily completed.
 - b. Duct systems are complete with terminals installed.
 - c. Volume, smoke, and fire dampers are open and functional.
 - d. Clean filters are installed.
 - e. Fans are operating, free of vibration, and rotating in correct direction.
 - f. Variable-frequency controllers' startup is complete and safeties are verified.
 - g. Automatic temperature-control systems are operational.
 - h. Ceilings are installed.
 - i. Windows and doors are installed.
 - j. Suitable access to balancing devices and equipment is provided.

3.4 GENERAL PROCEDURES FOR TESTING AND BALANCING

- A. Perform testing and balancing procedures on each system according to the procedures contained in AABC's "National Standards for Total System Balance", ASHRAE 111, NEBB's "Procedural Standards for Testing, Adjusting, and Balancing of Environmental Systems", or SMACNA's "HVAC Systems - Testing, Adjusting, and Balancing" and in this Section.
- B. Cut insulation, ducts, pipes, and equipment cabinets for installation of test probes to the minimum extent necessary for TAB procedures.
 - 1. After testing and balancing, patch probe holes in ducts with same material and thickness as used to construct ducts.
 - 2. After testing and balancing, install test ports and duct access doors that comply with requirements in Section 233300 "Air Duct Accessories."
 - 3. Install and join new insulation that matches removed materials. Restore insulation, coverings, vapor barrier, and finish according to Section 230713 "Duct Insulation," and Section 230719 "HVAC Piping Insulation."
- C. Mark equipment and balancing devices, including damper-control positions, valve position indicators, fan-speed-control levers, and similar controls and devices, with paint or other suitable, permanent identification material to show final settings.
- D. Take and report testing and balancing measurements in IP (inch-pound) units.

3.5 GENERAL PROCEDURES FOR BALANCING AIR SYSTEMS

- A. Prepare test reports for both fans and outlets. Obtain manufacturer's outlet factors and recommended testing procedures. Cross-check the summation of required outlet volumes with required fan volumes.
- B. Prepare schematic diagrams of systems' "as-built" duct layouts.

- C. For variable-air-volume systems, develop a plan to simulate diversity.
- D. Determine the best locations in main and branch ducts for accurate duct-airflow measurements.
- E. Check airflow patterns from the outdoor-air louvers and dampers and the return- and exhaust-air dampers through the supply-fan discharge and mixing dampers.
- F. Locate start-stop and disconnect switches, electrical interlocks, and motor starters.
- G. Check motor and fan bearings and other lubricated parts for proper lubrication.
- H. Verify that motor starters are equipped with properly sized thermal protection.
- I. Check dampers for proper position to achieve desired airflow path.
- J. Check for airflow blockages.
- K. Check the condition of filters.
- L. Check operating system refrigerant charge.
- M. Check condensate drains for proper connections and functioning.
- N. Check for proper sealing of air-handling-unit components.
- O. Verify that air duct system is sealed as specified in Section 233113 "Metal Ducts."

3.6 PROCEDURES FOR CONSTANT-VOLUME AIR SYSTEMS

- A. Adjust fans to deliver total indicated airflows within the maximum allowable fan speed listed by fan manufacturer.
 - 1. Measure total airflow.
 - a. Set outside-air, return-air, and relief-air dampers for proper position that simulates minimum outdoor-air conditions.
 - b. Where duct conditions allow, measure airflow by main Pitot-tube traverse. If necessary, perform multiple Pitot-tube traverses, close to the fan and prior to any outlets, to obtain total airflow.
 - c. Where duct conditions are not suitable for Pitot-tube traverse measurements, a coil traverse may be acceptable.
 - 2. Measure fan static pressures as follows:
 - a. Measure static pressure directly at the fan outlet or through the flexible connection.
 - b. Measure static pressure directly at the fan inlet or through the flexible connection.
 - c. Measure static pressure across each component that makes up the air-handling system.
 - d. Report artificial loading of filters at the time static pressures are measured.

3. Review Record Documents to determine variations in design static pressures versus actual static pressures. Calculate actual system-effect factors. Recommend adjustments to accommodate actual conditions.
4. Obtain approval from Commissioner for adjustment of fan speed higher or lower than indicated speed. Comply with requirements in HVAC Sections for air-handling units for adjustment of fans, belts, and pulley sizes to achieve indicated air-handling-unit performance.
5. Do not make fan-speed adjustments that result in motor overload. Consult equipment manufacturers about fan-speed safety factors. Modulate dampers and measure fan-motor amperage to ensure that no overload occurs. Measure amperage in full-cooling, full-heating, economizer, and any other operating mode to determine the maximum required brake horsepower.

B. Adjust volume dampers for main duct, submain ducts, and major branch ducts to indicated airflows.

1. Measure airflow of submain and branch ducts.
2. Adjust submain and branch duct volume dampers for specified airflow.
3. Re-measure each submain and branch duct after all have been adjusted.

C. Adjust air inlets and outlets for each space to indicated airflows.

1. Set airflow patterns of adjustable outlets for proper distribution without drafts.
2. Measure inlets and outlets airflow.
3. Adjust each inlet and outlet for specified airflow.
4. Re-measure each inlet and outlet after they have been adjusted.

D. Verify final system conditions.

1. Re-measure and confirm that minimum outdoor, return, and relief airflows are within design. Readjust to design if necessary.
2. Re-measure and confirm that total airflow is within design.
3. Re-measure all final fan operating data, rpms, volts, amps, and static profile.
4. Mark all final settings.
5. Test system in economizer mode. Verify proper operation and adjust if necessary.
6. Measure and record all operating data.
7. Record final fan-performance data.

3.7 PROCEDURES FOR ERV DUAL CORE HEAT EXCHANGERS

- A. Adjust ERV Heat Exchanger Core supply and exhaust air flows to within specified tolerances. Adjust balancing devices to achieve +/- 5% of design airflow quantities. Perform air balancing after cleaning of heat exchanger cores and replacement of laden filters with new filters.
- B. Measure the average dry bulb and wet bulb temperatures for the ERV Unit outdoor air inlet and ventilation air supply outlet to the building taken over the equivalent of 60 switchover cycles (minimum of one hour). Prepare a Test Report of temperature readings taken over time duration for review by the ERV Manufacturer for acceptable performance. Seasonal summer and winter testing of ERV performance is to be performed. Perform seasonal winter testing with the ERV Unit Electric Resistance Air Heating Coil in the “off position”.

- C. Measure inlet and outlet air pressures across ERV Heat Exchanger Cores and filters.
- D. Check cycle settings and operation of airflow switching dampers. Record settings.

3.8 PROCEDURES FOR MOTORS

- A. Motors 1/2 HP and Larger: Test at final balanced conditions and record the following data:
 - 1. Manufacturer's name, model number, and serial number.
 - 2. Motor horsepower rating.
 - 3. Motor rpm.
 - 4. Phase and hertz.
 - 5. Nameplate and measured voltage, each phase.
 - 6. Nameplate and measured amperage, each phase.
 - 7. Starter size and thermal-protection-element rating.
 - 8. Service factor and frame size.
- B. Motors Driven by Variable-Frequency Controllers: Test manual bypass of controller to prove proper operation.

3.9 PROCEDURES FOR CONDENSING UNITS

- A. Verify proper rotation of fans.
- B. Measure entering- and leaving-air temperatures.
- C. Record fan and motor operating data.

3.10 SOUND TESTS

- A. After the systems are balanced and project is at Substantial Completion, measure and record sound levels at representative indoor and outdoor locations as designated by the Commissioner.
- B. Instrumentation:
 - 1. The sound-testing meter shall be a portable, general-purpose testing meter consisting of a microphone, processing unit, and readout.
 - 2. The sound-testing meter shall be capable of showing fluctuations at minimum and maximum levels, and measuring the equivalent continuous sound pressure level (LEQ).
 - 3. The sound-testing meter must be capable of using 1/3 octave band filters to measure mid-frequencies from 31.5 Hz to 8000 Hz.
 - 4. The accuracy of the sound-testing meter shall be plus or minus one decibel.
- C. Test Procedures:
 - 1. Perform test at quietest background noise period. Note cause of unpreventable sound that affects test outcome.

2. Equipment should be operating at design values.
3. Calibrate the sound-testing meter prior to taking measurements.
4. Use a microphone suitable for the type of noise levels measured that is compatible with meter. Provide a windshield for outside or in-duct measurements.
5. Record a set of background measurements in dBA and sound pressure levels in the eight un-weighted octave bands: 63 Hz to 8000 Hz with the equipment off.
6. Take sound readings in dBA and sound pressure levels in the eight un-weighted octave bands 63 Hz to 8000 Hz with the equipment operating.
7. Take readings no closer than 36 inches from a wall or from the operating equipment and approximately 60 inches from the floor, with the meter held or mounted on a tripod.
8. For outdoor measurements, move sound-testing meter slowly and scan area that has the most exposure to noise source being tested. Use A-weighted scale for this type of reading.

D. Reporting:

1. Report shall record the following:
 - a. Location.
 - b. System tested.
 - c. dBA reading.
 - d. Sound pressure level in each octave band with equipment on and off.
2. Plot sound pressure levels for indoor locations on NC worksheet with HVAC equipment operating and off. Present sound pressure levels for outdoor locations in tabular format with acceptable levels permitted by NYC Noise Code, Local Law 113 -2005. Identify sound level deficiencies in any and all representative octave band center frequencies (OCB) where found for both indoor and outdoor locations. The design noise criteria level for indoor locations in Library spaces is: NC 30 – 35.

3.11 VIBRATION TESTS

- A. After systems are balanced and construction is Substantially Complete, measure and record vibration levels on equipment having motor horsepower equal to or greater than 1 Hp.
- B. Instrumentation:
 1. Use portable, battery-operated, and microprocessor-controlled vibration meter with or without a built-in printer.
 2. The meter shall automatically identify engineering units, filter bandwidth, amplitude, and frequency scale values.
 3. The meter shall be able to measure machine vibration displacement in mils of deflection, velocity in inches per second, and acceleration in inches per second squared.
 4. Verify calibration date is current for vibration meter before taking readings.
- C. Test Procedures:
 1. To ensure accurate readings, verify that accelerometer has a clean, flat surface and is mounted properly.

2. With the unit running, set up vibration meter in a safe, secure location. Connect transducer to meter with proper cables. Hold magnetic tip of transducer on top of the bearing, and measure unit in mils of deflection. Record measurement, then move transducer to the side of the bearing and record in mils of deflection. Record an axial reading in mils of deflection by holding nonmagnetic, pointed transducer tip on end of shaft.
3. Change vibration meter to velocity (inches per second) measurements. Repeat and record above measurements.
4. Record CPM or rpm.
5. Read each bearing on motor, fan, and pump as required. Track and record vibration levels from rotating component through casing to base.

D. Reporting:

1. Report shall record location and the system tested.
2. Include horizontal-vertical-axial measurements for tests.
3. Verify that vibration limits follow Specifications, or, if not specified, follow the General Machinery Vibration Severity Chart or Vibration Acceleration General Severity Chart from the AABC National Standards. Acceptable levels of vibration are normally "smooth" to "good."
4. Include in report General Machinery Vibration Severity Chart, with conditions plotted.

3.12 DUCT LEAKAGE TESTS

- A. Witness the duct pressure testing performed by Installer.
- B. Verify that proper test methods are used and that leakage rates are within specified tolerances.
- C. Report deficiencies observed.

3.13 CONTROLS VERIFICATION

- A. In conjunction with system balancing, perform the following:
 1. Verify temperature control system is operating within the design limitations.
 2. Confirm that the sequences of operation are in compliance with Contract Documents.
 3. Verify that controllers are calibrated and function as intended.
 4. Verify that controller set points are as indicated.
 5. Verify the operation of lockout or interlock systems.
 6. Verify the operation of valve and damper actuators.
 7. Verify that controlled devices are properly installed and connected to correct controller.
 8. Verify that controlled devices travel freely and are in position indicated by controller: open, closed, or modulating.
 9. Verify location and installation of sensors to ensure that they sense only intended temperature, humidity, or pressure.
- B. Reporting: Include a summary of verifications performed, remaining deficiencies, and variations from indicated conditions.

3.14 TOLERANCES

- A. Set HVAC system's airflow rates within the following tolerances:
 - 1. Supply, Return, and Exhaust Fans and Equipment with Fans: +/- 5 percent.
 - 2. Air Outlets and Inlets: +/- 5 percent.
- B. Maintaining pressure relationships as designed shall have priority over the tolerances specified above.

3.15 PROGRESS REPORTING

- A. Initial Construction-Phase Report: Based on examination of the Contract Documents as specified in "Examination" Article, prepare a report on the adequacy of design for systems balancing devices. Recommend changes and additions to systems balancing devices to facilitate proper performance measuring and balancing. Recommend changes and additions to HVAC systems and general construction to allow access for performance measuring and balancing devices.
- B. Status Reports: Prepare biweekly progress reports to describe completed procedures, procedures in progress, and scheduled procedures. Include a list of deficiencies and problems found in systems being tested and balanced. Prepare a separate report for each system and each building floor for systems serving multiple floors.

3.16 FINAL REPORT

- A. General: Prepare a certified written report; tabulate and divide the report into separate sections for tested systems and balanced systems.
 - 1. Include a certification sheet at the front of the report's binder, signed and sealed by the certified testing and balancing engineer.
 - 2. Include a list of instruments used for procedures, along with proof of calibration.
 - 3. Certify validity and accuracy of field data.
- B. Final Report Contents: In addition to certified field-report data, include the following:
 - 1. Fan curves.
 - 2. Manufacturers' test data.
 - 3. Field test reports prepared by system and equipment installers.
 - 4. Other information relative to equipment performance; do not include Shop Drawings and Product Data.
- C. General Report Data: In addition to form titles and entries, include the following data:
 - 1. Title page.
 - 2. Name and address of the TAB specialist.
 - 3. Project name.
 - 4. Project location.
 - 5. Contractor's name and address.

6. Report date.
 7. Signature of TAB supervisor who certifies the report.
 8. Table of Contents with the total number of pages defined for each section of the report. Number each page in the report.
 9. Summary of contents including the following:
 - a. Indicated versus final performance.
 - b. Notable characteristics of systems.
 - c. Description of system operation sequence if it varies from the Contract Documents.
 10. Nomenclature sheets for each item of equipment.
 11. Data for terminal units, including manufacturer's name, type, size, and fittings.
 12. Notes to explain why certain final data in the body of reports vary from indicated values.
 13. Test conditions for fans and pump performance forms including the following:
 - a. Settings for outdoor-, return-, and exhaust-air dampers.
 - b. Conditions of filters.
 - c. Outdoor wet- and dry-bulb conditions.
 - d. Face and bypass damper settings at coils.
 - e. Fan drive settings including settings and percentage of maximum pitch diameter.
 - f. VFD settings for variable-speed systems.
 - g. Settings for supply-air, static-pressure controller.
 - h. Other system operating conditions that affect performance.
- D. System Diagrams: Include schematic layouts of air and hydronic distribution systems. Present each system with single-line diagram and include the following:
1. Quantities of outdoor, supply, return, and exhaust airflows.
 2. Duct, outlet, and inlet sizes.
 3. Pipe and valve sizes and locations.
 4. Terminal units.
 5. Balancing stations.
 6. Position of balancing devices.
- E. Air-Handling-Unit Test Reports: include the following:
1. Unit Data:
 - a. Unit identification.
 - b. Location.
 - c. Make and type.
 - d. Model number and unit size.
 - e. Manufacturer's serial number.
 - f. Unit arrangement and class.
 - g. Discharge arrangement.
 - h. Sheave make, size in inches, and bore.
 - i. Center-to-center dimensions of sheave and amount of adjustments in inches.
 - j. Number, make, and size of belts.
 - k. Number, type, and size of filters.

2. Motor Data:
 - a. Motor make, and frame type and size.
 - b. Horsepower and rpm.
 - c. Volts, phase, and hertz.
 - d. Full-load amperage and service factor.
 - e. Sheave make, size in inches, and bore.
 - f. Center-to-center dimensions of sheave and amount of adjustments in inches.

3. Test Data (Indicated and Actual Values):
 - a. Total airflow rate in cfm.
 - b. Total system static pressure in inches w.g.
 - c. Fan rpm.
 - d. Discharge static pressure in inches w.g.
 - e. Filter static-pressure differential in inches w.g.
 - f. Preheat-coil static-pressure differential in inches w.g.
 - g. Cooling-coil static-pressure differential in inches w.g.
 - h. Heating-coil static-pressure differential in inches w.g.
 - i. Outdoor airflow in cfm.
 - j. Return airflow in cfm.
 - k. Outdoor-air damper position.
 - l. Return-air damper position.
 - m. VFD settings for variable-speed systems.

F. Apparatus-Coil Test Reports:

1. Coil Data:
 - a. System identification.
 - b. Location.
 - c. Coil type.
 - d. Number of rows.
 - e. Fin spacing in fins per incho.c.
 - f. Make and model number.
 - g. Face area in sq. ft.
 - h. Tube size in NPS.
 - i. Tube and fin materials.
 - j. Circuiting arrangement.

2. Test Data (Indicated and Actual Values):
 - a. Airflow rate in cfm.
 - b. Average face velocity in fpm.
 - c. Air pressure drop in inches w.g
 - d. Outdoor-air, wet- and dry-bulb temperatures in deg F.
 - e. Return-air, wet- and dry-bulb temperatures in deg F.
 - f. Entering-air, wet- and dry-bulb temperatures in deg F.
 - g. Leaving-air, wet- and dry-bulb temperatures in deg F.

- h. Refrigerant expansion valve and refrigerant types.
 - i. Refrigerant suction pressure in psig.
 - j. Refrigerant suction temperature in deg F.
- G. Electric-resistance Air Heating Coil Test Reports: For duct coils, and electric coils installed in central-station air-handling units, include the following:
 - 1. Unit Data:
 - a. System identification.
 - b. Location.
 - c. Coil identification.
 - d. Capacity in Btu/h.
 - e. Number of stages.
 - f. Connected volts, phase, and hertz.
 - g. Rated amperage.
 - h. Airflow rate in cfm.
 - i. Face area in sq. ft.
 - j. Minimum face velocity in fpm.
 - 2. Test Data (Indicated and Actual Values):
 - a. Heat output in Btu/h.
 - b. Airflow rate in cfm.
 - c. Air velocity in fpm.
 - d. Entering-air temperature in deg F.
 - e. Leaving-air temperature in deg F.
 - f. Voltage at each connection.
 - g. Amperage for each phase.
- H. Fan Test Reports: For supply, return, and exhaust fans, include the following:
 - 1. Fan Data:
 - a. System identification.
 - b. Location.
 - c. Make and type.
 - d. Model number and size.
 - e. Manufacturer's serial number.
 - f. Arrangement and class.
 - g. Sheave make, size in inches, and bore.
 - h. Center-to-center dimensions of sheave and amount of adjustments in inches.
 - 2. Motor Data:
 - a. Motor make, and frame type and size.
 - b. Horsepower and rpm.
 - c. Volts, phase, and hertz.
 - d. Full-load amperage and service factor.
 - e. Sheave make, size in inches, and bore.

- f. Center-to-center dimensions of sheave, and amount of adjustments in inches.
 - g. Number, make, and size of belts.
 3. Test Data (Indicated and Actual Values):
 - a. Total airflow rate in cfm.
 - b. Total system static pressure in inches w.g.
 - c. Fan rpm.
 - d. Discharge static pressure in inches w.g.
 - e. Suction static pressure in inches w.g.
 - I. Round and Rectangular Duct Traverse Reports: Include a diagram with a grid representing the duct cross-section and record the following:
 1. Report Data:
 - a. System and air-handling-unit number.
 - b. Location and zone.
 - c. Traverse air temperature in deg F.
 - d. Duct static pressure in inches w.g.
 - e. Duct size in inches.
 - f. Duct area in sq. ft.
 - g. Indicated airflow rate in cfm.
 - h. Indicated velocity in fpm.
 - i. Actual airflow rate in cfm.
 - j. Actual average velocity in fpm.
 - k. Barometric pressure in psig.
 - J. Air-Terminal-Device Reports:
 1. Unit Data:
 - a. System and air-handling unit identification.
 - b. Location and zone.
 - c. Apparatus used for test.
 - d. Area served.
 - e. Make.
 - f. Number from system diagram.
 - g. Type and model number.
 - h. Size.
 - i. Effective area in sq. ft.
 2. Test Data (Indicated and Actual Values):
 - a. Airflow rate in cfm.
 - b. Air velocity in fpm.
 - c. Preliminary airflow rate as needed in cfm.
 - d. Preliminary velocity as needed in fpm.
 - e. Final airflow rate in cfm.
 - f. Final velocity in fpm.

- g. Space temperature in deg F.
- K. Instrument Calibration Reports:
 - 1. Report Data:
 - a. Instrument type and make.
 - b. Serial number.
 - c. Application.
 - d. Dates of use.
 - e. Dates of calibration.

3.17 VERIFICATION OF TAB REPORT

- A. TAB specialist shall conduct the inspection in the presence of Commissioner.
- B. Commissioner will randomly select measurements, documented in the final report, to be rechecked. Rechecking shall be limited to either 10 percent of the total measurements recorded or the extent of measurements that can be accomplished in a normal 8-hour business day.
- C. If rechecks yield measurements that differ from the measurements documented in the final report by more than the tolerances allowed, the measurements shall be noted as "FAILED."
- D. If the number of "FAILED" measurements is greater than 10 percent of the total measurements checked during the final inspection, the testing and balancing shall be considered incomplete and shall be rejected.
- E. If TAB work fails, proceed as follows:
 - 1. TAB specialists to recheck all measurements and undertake adjustments. Revise the final report and balancing device settings to include all changes; resubmit the final report and request a second final inspection.
- F. Prepare test and inspection reports.

3.18 ADDITIONAL TESTS

- A. Within 90 days of completing TAB, perform additional TAB to verify that balanced conditions are being maintained throughout and to correct unusual conditions.
- B. Seasonal Periods: If initial TAB procedures were not performed during near-peak summer and winter conditions, perform additional TAB during near-peak summer and winter conditions.

END OF SECTION 230593

SECTION 230713 - DUCT INSULATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section includes insulating the following duct services:
1. Indoor, concealed supply air and outdoor air.
 2. Indoor, exposed plenum, mixed air, supply air and outdoor air.
 3. Indoor, concealed return air located in unconditioned space.
 4. Indoor, exposed return air located in unconditioned space.
 5. Indoor, exposed exhaust air between isolation damper and penetration of building exterior.
- B. Related Requirements:
1. Section 230719 "HVAC Piping Insulation."
 2. Section 233113 "Metal Ducts" for duct liners.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated. Include thermal conductivity, water-vapor permeance thickness, and jackets (both factory- and field-applied if any).
- B. Sustainable Design Submittals:
1. Product Data: For recycled content, indicating postconsumer and pre-consumer recycled content and cost.
 2. Product Data: For adhesives, indicating VOC content.
 3. Product Data: For coatings, indicating VOC content.
 4. Product Data: For sealants, indicating VOC content.
- C. Shop Drawings: Include plans, elevations, sections, details, and attachments to other work.
1. Detail application of protective shields, saddles, and inserts at hangers for each type of insulation and hanger.

2. Detail insulation application at elbows, fittings, dampers, specialties and flanges for each type of insulation.
3. Detail application of field-applied jackets.
4. Detail application at linkages of control devices.

D. Samples: For each type of insulation and jacket indicated. Identify each Sample, describing product and intended use. Sample sizes are as follows:

1. Sheet Form Insulation Materials: 12 inches square.
2. Sheet Jacket Materials: 12 inches square.
3. Manufacturer's Color Charts: For products where color is specified, show the full range of colors available for each type of finish material.

1.5 INFORMATIONAL SUBMITTALS

A. Material Test Reports: From a qualified testing agency indicating, interpreting, and certifying test results for compliance of insulation materials, sealers, attachments, cements, and jackets, with requirements indicated. Include dates of tests and test methods employed.

B. Field quality-control reports.

1.6 QUALITY ASSURANCE

A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

B. Mockups: Before completing insulation on ductwork, plenums and other sheet metal assemblies, install a representative sample of work as a mockup for each type of duct service to demonstrate method of application, quality of work and finishes. Build each mockup in an accessible location or as directed by Commissioner. Use materials and methods indicated for the completing this sample of work.

1. Insulated Ductwork Mockups:

- a. One 5-foot section each of straight insulated duct.
 - b. A complete or representative portion of a sheet metal plenum assembly.
 - c. One 90-degree mitered elbow, and one 90-degree radius elbow.
 - d. One branch supply and one return take-off from a main service duct.
 - e. One rectangular to round transition fitting.
 - f. Four support hangers for ductwork.
 - g. Each type of damper and specialty.
2. For each mockup, arrange cutaway sections to allow visual observation of application details for insulation materials, adhesives, mastics, attachments, and jackets.
 3. Notify Commissioner seven days in advance of dates and times when mockups will be constructed.
 4. Obtain Commissioner's approval of mockups before completing all remaining duct services insulation applications.
 5. Approval of deviations from the Contract Documents shall be obtained prior to preparing duct services insulated mock-ups. Deviations found in mockups will not be accepted unless the Commissioner specifically approves such deviations in writing.

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Packaging: Insulation material containers are to be marked with the manufacturer's name, appropriate ASTM standard designation, type and grade, and maximum use temperature.

1.8 COORDINATION

- A. Coordinate sizes and locations of supports, hangers, and insulation shields specified in Section 230529 "Hangers and Supports for HVAC Piping and Equipment."
- B. Coordinate clearance requirements with duct Installer for duct insulation application. Before preparing ductwork Shop Drawings, establish and maintain clearance requirements for installation of insulation and field-applied jackets and finishes and for space required for maintenance.
- C. Coordinate installation and testing of heat tracing.

1.9 SCHEDULING

- A. Schedule insulation application after pressure testing systems and, where required, after installing and testing heat tracing. Insulation application may begin on segments that have satisfactory test results.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Surface-Burning Characteristics: For insulation and related materials, as determined by testing identical products in accordance with ASTM E84, by a qualified testing agency. Factory label insulation, jacket materials, adhesive, mastic, and cement material containers with markings of applicable testing agency.

1. All Insulation: Flame-spread index of 25 or less, and smoke-developed index of 50 or less.

- B. Supply and return air ducts and plenum assemblies shall be insulated with materials tested in accordance with ASTM C518, ASTM C177. In all applications, insulation R value shall be based on product manufacturer's test of the installed thickness value allowing for material compression effects. Where located inside the building thermal envelope, ducts and plenums shall be insulated from the building exterior and any unconditioned spaces with not less than an R-8 thermal barrier. Where located in un-conditioned spaces, ducts and plenums shall be insulated with not less than an R-6 thermal barrier. Where located outside the building, ducts and plenums shall be insulated with not less than an R-8 thermal barrier.

2.2 INSULATION MATERIALS

- A. Comply with requirements in "Duct Insulation Schedule, General," "Indoor Duct and Plenum Insulation Schedule," and "Aboveground, Outdoor Duct and Plenum Insulation Schedule" articles for where insulating materials are to be applied.

- B. Products do not contain asbestos, lead, mercury, or mercury compounds.
- C. Products that come in contact with stainless steel have a leachable chloride content of less than 50 ppm when tested in accordance with ASTM C871.
- D. Insulation materials for use on austenitic stainless steel are qualified as acceptable in accordance with ASTM C795.
- E. Foam insulation materials do not use CFC or HCFC blowing agents in the manufacturing process.
- F. Glass-Fiber Blanket: Glass fibers bonded with a thermosetting resin; suitable for use at temperature between 35 deg F to 250 deg F for jacketed assembly in accordance with ASTM C411. Maximum vapor sorption < 5% by weight in accordance with ASTM C1104. Fungi resistance that does not breed or promote growth in accordance with ASTM C1338. Odor emission rating: Pass / weak in accordance with ASTM C1304. Corrosivity with steel: Pass /does not accelerate metal oxidation in accordance with ASTM C665. Provide insulation with factory-applied white kraft-scrim-foil all service jacket (ASJ). Factory-applied jacket requirements are specified in "Factory-Applied Jackets" Article.
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. CertainTeed Corporation; Saint-Gobain North America.
 - b. Johns Manville; a Berkshire Hathaway company.
 - c. Owens Corning.
 - d. Or approved equal.
- G. Glass-Fiber Board Insulation: Glass fibers bonded with a thermosetting resin; suitable for maximum use temperature between 35 deg F to 250 deg F for jacketed assembly in accordance with ASTM C411. Maximum vapor sorption < 5% by weight in accordance with ASTM C1104. Fungi resistance that does not breed or promote growth in accordance with ASTM C1338. Odor emission rating: Pass / weak in accordance with ASTM C1304. Corrosivity with steel: Pass /does not accelerate metal oxidation in accordance with ASTM C665 Comply with ASTM C612, Type IA or Type IB. For duct and plenum applications, Provide insulation board with factory-applied white kraft-scrim-foil all service jacket (ASJ). Factory-applied jacket requirements are specified in "Factory-Applied Jackets" Article.
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. CertainTeed Corporation; Saint-Gobain North America.
 - b. Johns Manville; a Berkshire Hathaway company.
 - c. Owens Corning.
 - d. Or approved equal.

2.3 FIRE-RATED INSULATION SYSTEMS

- A. Fire-Rated Board: Structural-grade, press-molded, xonolite calcium silicate, fireproofing board suitable for operating temperatures up to 1700 deg F. Comply with ASTM C656, Type II, Grade 6. Tested and certified to provide a 2-hour fire rating by an NRTL.

2.4 ADHESIVES

- A. Materials are compatible with insulation materials, jackets, and substrates and for bonding insulation to itself and to surfaces to be insulated unless otherwise indicated.
- B. Flexible Elastomeric and Polyolefin Adhesive: Comply with MIL-A-24179A, Type II, Class I.
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Childers Brand; H. B. Fuller Construction Products.
 - b. Foster Brand; H. B. Fuller Construction Products.
 - c. K-Flex USA.
 - d. Or approved equal.
 - 2. Adhesives shall have a VOC content of 50 g/L or less
- C. ASJ Adhesive: Comply with MIL-A-3316C, Class 2, Grade A for bonding insulation jacket lap seams and joints.
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Childers Brand; H. B. Fuller Construction Products.
 - b. Foster Brand; H. B. Fuller Construction Products.
 - c. Mon-Eco Industries, Inc.
 - d. Or approved equal.
 - 2. Adhesive shall have a VOC content of 80 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).

2.5 SEALANTS

- A. ASJ Flashing Sealants:
 - 1. Materials are compatible with insulation materials, jackets, and substrates.
 - 2. Fire- and water-resistant, flexible, elastomeric sealant.
 - 3. Service Temperature Range: 35 deg F to 250 deg F.
 - 4. Color: White.
 - 5. Sealant shall have a VOC content of 420 g/L or less.

2.6 FACTORY-APPLIED JACKETS

- A. Insulation system schedules indicate factory-applied jackets on various applications. When factory-applied jackets are indicated, comply with the following:
 - 1. ASJ: White, kraft-paper, fiberglass-reinforced scrim with aluminum-foil backing; complying with ASTM C1136, Type I.

2. ASJ-SSL: ASJ with self-sealing, pressure-sensitive, acrylic-based adhesive covered by a removable protective strip; complying with ASTM C1136, Type I.
3. ASJ+: All-service jacket composed of aluminum foil reinforced with glass scrim bonded to a kraft paper interleaving with an outer film leaving no paper exposed; complying with ASTM C1136, Types I, II.

2.7 TAPES

- A. ASJ Tape: White vapor-retarder tape matching factory-applied jacket with acrylic adhesive, complying with ASTM C1136.
 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. 3M Industrial Adhesives and Tapes Division.
 - b. Avery Dennison Corporation, Specialty Tapes Division.
 - c. Ideal Tape Co., Inc., an American Biltrite Company.
 - d. Or approved equal.
 2. Width (nominal): 3 inches
 3. Thickness (minimum): 11 mils.
 4. Adhesion (minimum): 45 ounces force/inch.
 5. Elongation (maximum): 6 percent.
 6. Tensile Strength (minimum): 55 lbf/inch Service Temperature Range: 35 deg F to 250 deg F.
 7. Material: Kraft paper
 8. Color: White, matching ASJ finish.
 9. ASJ Tape Disks and Squares: Precut disks or squares of ASJ tape.

2.8 SECUREMENTS

- A. Insulation Pins and Hangers:
 1. Metal, Adhesively Attached, Perforated-Base Insulation Hangers: Baseplate welded to projecting spindle that is capable of holding insulation, of thickness indicated, securely in position indicated when self-locking washer is in place. Comply with the following requirements:
 - a. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1) AGM Industries, Inc.
 - 2) Gemco.
 - 3) Midwest Fasteners, Inc.
 - 4) Or approved equal.
 - b. Baseplate: Perforated, galvanized carbon-steel sheet, 20 gauge, 0.036 inch thick by 2 inches square.
 - c. Spindle: Spindle: Copper coated low-carbon steel fully annealed, 12 gauge, 0.105 diameter shank, length to suit depth of insulation indicated.

- d. Adhesive: Recommended by hanger manufacturer. Product with demonstrated capability to bond insulation hanger securely to substrates indicated without damaging insulation, hangers, and substrates.
2. Self-Sticking-Base Insulation Hangers: Baseplate welded to projecting spindle that is capable of holding insulation, of thickness indicated, securely in position indicated when self-locking washer is in place. Comply with the following requirements:
 - a. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1) AGM Industries, Inc.
 - 2) Gemco.
 - 3) Midwest Fasteners, Inc.
 - 4) Or approved equal.
 - b. Baseplate: Galvanized carbon-steel sheet, 20 gauge, 0.036 inch thick by 2 inches square.
 - c. Spindle: Copper coated low-carbon steel fully annealed, 12 gauge, 0.105 inch diameter shank, length to suit depth of insulation indicated.
 - d. Adhesive-backed base with a peel-off protective cover.
3. Insulation-Retaining Washers: Self-locking washers formed from 10 gauge, 0.134 inch thick, galvanized-steel with beveled edge sized as required to hold insulation securely in place but not less than 1-1/2 inches diameter.
 - a. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1) AGM Industries, Inc.
 - 2) Gemco.
 - 3) Midwest Fasteners, Inc.
 - 4) Or approved equal.
 - b. Protect ends with capped self-locking washers incorporating a spring steel insert to ensure permanent retention of cap in exposed locations.
- B. Staples: Outward-clinching insulation staples, nominal 3/4-inch wide, stainless steel or Monel.
- C. Wire: 18 gauge, 0.0475-inch stainless steel, T304 alloy.

2.9 CORNER ANGLES

- A. Aluminum Corner Angles: 0.040 inch thick, minimum 1 by 1 inch, aluminum according to ASTM B 209, Alloy 3003, 3005, 3105 or 5005; Temper H-14.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates and conditions for compliance with requirements for installation tolerances and other conditions affecting performance of insulation application.
 - 1. Verify that systems to be insulated have been tested and are free of defects.
 - 2. Verify that surfaces to be insulated are clean and dry.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. Surface Preparation: Clean and dry surfaces to receive insulation. Remove materials that will adversely affect insulation application.

3.4 GENERAL INSTALLATION REQUIREMENTS

- A. Install insulation materials, accessories, and finishes with smooth, straight, and even surfaces; free of voids throughout the length of ducts and fittings.
- B. Install insulation materials, vapor barriers or retarders, jackets, and thicknesses required for each item of duct system as specified in insulation system schedules.
- C. Install accessories compatible with insulation materials and suitable for the service. Install accessories that do not corrode, compress, or otherwise damage insulation or jacket .
- D. Install insulation with longitudinal seams at top and bottom of horizontal runs.
- E. Install multiple layers of insulation with longitudinal and end seams staggered.
- F. Keep insulation materials dry during application and finishing. Replace insulation materials that get wet during storage or in the installation process before being properly covered and sealed in accordance with Contract Documents.
- G. Install insulation with tight longitudinal seams and end joints. Bond seams and joints with adhesive recommended by insulation material manufacturer.
- H. Install insulation with least number of joints practical.

- I. Where vapor barrier is indicated, seal joints, seams, and penetrations in insulation at hangers, supports, anchors, and other projections with vapor-barrier mastic.
 - 1. Install insulation continuously through hangers and around anchor attachments.
 - 2. For insulation application where vapor barriers are indicated, extend insulation on anchor legs from point of attachment to supported item to point of attachment to structure. Taper and seal ends at attachment to structure with vapor-barrier mastic.
 - 3. Install insert materials and install insulation to tightly join the insert. Seal insulation to insulation inserts with adhesive or sealing compound recommended by insulation material manufacturer.
- J. Apply adhesives, mastics, and sealants at manufacturer's recommended coverage rate and wet and dry film thicknesses.
- K. Install insulation with factory-applied jackets as follows:
 - 1. Draw jacket tight and smooth, but not to the extent of creating wrinkles or areas of compression in the insulation.
 - 2. Cover circumferential joints with 3-inch wide strips, of same material as insulation jacket. Secure strips with adhesive and outward clinching staples along both edges of strip, spaced 4 inches on center - o.c.
 - 3. Overlap jacket longitudinal seams at least 1-1/2 inches. Clean and dry surface to receive self-sealing lap. Staple laps with outward clinching staples along edge at 2 inches on center -o.c.
 - a. For below ambient services, apply vapor-barrier mastic over staples.
 - 4. Cover joints and seams with tape, according to insulation material manufacturer's written instructions, to maintain vapor seal.
 - 5. Where vapor barriers are indicated, apply vapor-barrier mastic on seams and joints and at ends adjacent to duct flanges and fittings.
- L. Cut insulation in a manner to avoid compressing insulation.
- M. Finish installation with systems at operating conditions. Repair joint separations and cracking due to thermal movement.
- N. Repair damaged insulation facings by applying same facing material over damaged areas. Extend patches at least 4 inches beyond damaged areas. Adhere, staple, and seal patches similar to butt joints.

3.5 PENETRATIONS

- A. Insulation Installation at Roof Penetrations: Install insulation continuously through roof penetrations.
 - 1. Seal penetrations with flashing sealant.
 - 2. For applications requiring only indoor insulation, terminate insulation above roof surface and seal with joint sealant. For applications requiring indoor and outdoor insulation, install insulation for outdoor applications tightly joined to indoor insulation ends. Seal joint with joint sealant.
 - 3. Extend jacket of outdoor insulation outside roof flashing at least 2 inches below top of roof flashing.
 - 4. Seal jacket to roof flashing with flashing sealant.

- B. Insulation Installation at Aboveground Exterior Wall Penetrations: Install insulation continuously through wall penetrations.
 - 1. Seal penetrations with flashing sealant.
 - 2. For applications requiring only indoor insulation, terminate insulation inside wall surface and seal with joint sealant. For applications requiring indoor and outdoor insulation, install insulation for outdoor applications tightly joined to indoor insulation ends. Seal joint with joint sealant.
 - 3. Extend jacket of outdoor insulation outside wall flashing and overlap wall flashing at least 2 inches.
 - 4. Seal jacket to wall flashing with flashing sealant.
- C. Insulation Installation at Interior Wall and Partition Penetrations that Are Not Fire Rated: Install insulation continuously through walls and partitions.
- D. Insulation Installation at Fire-Rated Wall and Partition Penetrations: Terminate insulation at fire damper sleeves for fire-rated wall and partition penetrations. Externally insulate damper sleeves to match adjacent insulation and overlap duct insulation at least 2 inches.
 - 1. Comply with requirements in Section 078413 "Penetration Firestopping."
- E. Insulation Installation at Floor Penetrations:
 - 1. Duct: For penetrations through fire-rated assemblies, terminate insulation at fire damper sleeves and externally insulate damper sleeve beyond floor to match adjacent duct insulation. Overlap damper sleeve and duct insulation at least 2 inches.
 - 2. Seal penetrations through fire-rated assemblies. Comply with requirements in Section 078413 "Penetration Firestopping."

3.6 INSTALLATION OF GLASS-FIBER INSULATION

- A. Blanket Insulation Installation on Ducts and Plenums: Secure with wire, adhesive and insulation pins.
- B. Comply with manufacturer's written installation instructions.
 - 1. Apply adhesives according to manufacturer's recommended coverage rates per unit area, duct and plenum surfaces.
 - 2. Apply adhesive to all flat and contoured surfaces of fittings and transitions.
 - 3. Install insulation hangers and retaining washers on sides and bottom of horizontal ducts and sides of vertical ducts as follows:
 - a. On duct sides with dimensions 18 inches and smaller, place pins along longitudinal centerline of duct. Space 3 inches maximum from insulation end joints, and 16 inches o.c.
 - b. On duct sides with dimensions larger than 18 inches, place pins 16 inches o.c. each way, and 3 inches maximum from insulation joints. Install additional pins to hold insulation tightly against surface at cross bracing.
 - c. Pins may be omitted from top surface of horizontal, rectangular ducts and plenums.
 - d. Do not over-compress insulation during installation.
 - e. Impale insulation over pins and attach speed washers.

- f. Cut excess portion of pins extending beyond speed washers or bend parallel with insulation surface. Cover exposed pins and washers with tape matching insulation facing.
4. For ducts and plenums with surface temperatures below ambient, install a continuous unbroken vapor barrier. Create a facing lap for longitudinal seams and end joints with insulation by removing 2 inches from one edge and one end of insulation segment. Secure laps to adjacent insulation section with 1/2-inch outward-clinching staples, 1 inch o.c. Install vapor barrier consisting of factory- or field-applied jacket, adhesive, vapor-barrier mastic, and sealant at joints, seams, and protrusions.
 - a. Repair punctures, tears, and penetrations with tape or mastic to maintain vapor-barrier seal.
 - b. Install vapor stops for ductwork and plenums operating below 50 deg Fat 18-foot intervals. Vapor stops consist of vapor-barrier mastic applied in a Z-shaped pattern over insulation face, along butt end of insulation, and over the surface. Cover insulation face and surface to be insulated a width equal to two times the insulation thickness, but not less than 3 inches.
 5. Overlap unfaced blankets a minimum of 2 inches on longitudinal seams and end joints. At end joints, secure with steel bands spaced a maximum of 18 inches - o.c.
 6. Install insulation on rectangular duct elbows and transitions with a full insulation section for each surface. Install insulation on round and flat-oval duct elbows with individually mitered gores cut to fit the elbow.
 7. Insulate duct stiffeners, hangers, and flanges that protrude beyond insulation surface with 6 inch wide strips of same material used to insulate duct. Secure on alternating sides of stiffener, hanger, and flange with pins spaced 6 inches o.c.
- C. Board Insulation Installation on Ducts and Plenums: Secure with wire, adhesive and insulation pins.
1. Apply adhesives according to manufacturer's recommended coverage rates per unit area of duct and plenum surfaces.
 2. Apply adhesive to all flat and contoured surfaces of fittings and transitions.
 3. Install insulation hangers and retaining washers on sides and bottom of horizontal ducts and sides of vertical ducts as follows:
 - a. On duct sides with dimensions 18 inches and smaller, place pins along longitudinal centerline of duct. Space 3 inches maximum from insulation end joints, and 16 inches o.c.
 - b. On duct sides with dimensions larger than 18 inches, place pins 16 inches o.c. each way, and 3 inches maximum from insulation joints. Install additional pins to hold insulation tightly against surface at cross bracing.
 - c. Pins may be omitted from top surface of horizontal, rectangular ducts and plenums.
 - d. Do not over-compress insulation during installation.
 - e. Impale insulation over pins and attach speed washers.
 - f. Cut excess portion of pins extending beyond speed washers or bend parallel with insulation surface. Cover exposed pins and washers with tape matching insulation facing.
 4. For ducts and plenums with surface temperatures below ambient, install a continuous unbroken vapor barrier. Create a facing lap for longitudinal seams and end joints with insulation by removing 2 inches from one edge and one end of insulation segment. Secure laps to adjacent insulation section with 1/2-inch outward-clinching staples, 1 inch o.c. Install vapor barrier consisting of factory- or field-applied jacket, adhesive, vapor-barrier mastic, and sealant at joints, seams, and protrusions.
 - a. Repair punctures, tears, and penetrations with tape or mastic to maintain vapor-barrier seal.

- b. Install vapor stops for ductwork and plenums operating below 50 deg Fat 18-foot intervals. Vapor stops consist of vapor-barrier mastic applied in a Z-shaped pattern over insulation face, along butt end of insulation, and over the surface. Cover insulation face and surface to be insulated a width equal to two times the insulation thickness, but not less than 3 inches.
5. Overlap unfaced blankets a minimum of 2 inches on longitudinal seams and end joints. At end joints, secure with steel bands spaced a maximum of 18 inches - o.c.
6. Install insulation on rectangular duct elbows and transitions with a full insulation section for each surface. Install insulation on round and flat-oval duct elbows with individually mitered gores cut to fit the elbow.
7. Insulate duct stiffeners, hangers, and flanges that protrude beyond insulation surface with 6 inch wide strips of same material used to insulate duct. Secure on alternating sides of stiffener, hanger, and flange with pins spaced 6 inches o.c.

3.7 FINISHES

- A. Insulation with ASJ, Glass-Cloth, or Other Paintable Jacket Material: Paint jacket with paint system identified below and as specified in Section 099113 "Exterior Painting" and Section 099124 "Interior Painting (MPI Standards)".
 1. Flat Acrylic Finish: One finish coats over a primer that is compatible with jacket material and finish coat paint. Add fungicidal agent to render fabric mildew proof.
 - a. Finish Coat Material: Interior, flat, latex-emulsion size.
- B. Flexible Elastomeric Thermal Insulation: After adhesive has fully cured, apply two coats of insulation manufacturer's recommended protective coating.
- C. Color: Final color as selected by Commissioner. Vary first and second coats to allow visual inspection of the completed Work.
- D. Do not field paint aluminum or stainless-steel jackets.

3.8 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Tests and Inspections:
 1. Inspect ductwork, randomly as selected by Commissioner, by removing field-applied jacket and insulation in layers in reverse order of their installation.
 2. The extent of inspection will depend on the as-built conditions found, beginning with one location(s) for each duct system defined in the "Duct Insulation Schedule, General" Article.
- C. If sample inspection reveals noncompliance with Contract Documents, additional locations will be inspected for field quality control.

- D. Insulation applications will be considered defective work if sample inspection reveals noncompliance with requirements.
- E. The Contractor will replace all defective work at no additional cost to the City of New York as directed by the Commissioner.

3.9 DUCT INSULATION SCHEDULE, GENERAL

A. Plenums and Ducts Requiring Insulation:

1. Indoor, concealed supply and outdoor air.
2. Indoor, exposed supply and outdoor air.
3. Indoor, exposed return located in unconditioned space.
4. Indoor, concealed exhaust between isolation damper and penetration of building exterior.
5. Indoor, exposed exhaust between isolation damper and penetration of building exterior.
6. Outdoor, exposed ventilation and spill air from ERV.

B. Items Not Insulated:

1. Fibrous-glass ducts.
2. Metal ducts with duct liner of sufficient thickness to comply with energy code and ASHRAE/IESNA 90.1.
3. Factory-insulated flexible ducts.
4. Factory-insulated plenums and casings.
5. Flexible connectors.
6. Vibration-control devices.
7. Factory-insulated access panels and doors.

3.10 INDOOR DUCT AND PLENUM INSULATION SCHEDULE

A. Concealed, round, supply-air duct insulation is the following:

1. Glass-Fiber Blanket: 1-1/2 inches thick and 0.75 lb/cu. ft. nominal density.

B. Concealed, rectangular, supply-air duct insulation is the following:

1. Glass-Fiber Blanket: 1-1/2 inches thick and 0.75 lb/cu. ft. nominal density.

C. Concealed, rectangular, return-air duct insulation is the following:

1. Glass-Fiber Blanket: 1-1/2 inches thick and 0.75 lb/cu. ft. nominal density.

D. Concealed, rectangular, exhaust-air duct insulation between isolation damper and penetration of building exterior is the following:

1. Glass-Fiber Board: 1-1/2 inches thick and 2 lb/cu. ft. nominal density.

E. Concealed, return-air plenum insulation is the following:

1. Glass-Fiber Board: 1-1/2 inches thick and 2 lb/cu. ft. nominal density.

F. Exposed, supply-air plenum insulation is the following:

1. Glass-Fiber Blanket: 1-1/2 inches thick and 0.75 lb/cu. ft. nominal density

G. Exposed, return-air plenum insulation is the following:

1. Glass-Fiber Blanket: 1-1/2 inches thick and 0.75 lb/cu. ft. nominal density

H. Exposed, outdoor-air plenum insulation is the following:

1. Glass-Fiber Board: 1-1/2 inches thick and 2 lb/cu. ft. nominal density.

I. Exposed, exhaust-air plenum insulation is the following:

1. Glass-Fiber Board: 1-1/2 inches thick and 2 lb/cu. ft. nominal density.

3.11 ABOVEGROUND, OUTDOOR DUCT AND PLENUM INSULATION SCHEDULE

A. Exposed, rectangular, supply-air duct insulation is the following:

1. Glass-Fiber Blanket: 2 inches and 3 lb/cu. ft. nominal density.

B. Exposed, rectangular, return-air duct insulation is the following:

1. Glass-Fiber Blanket: 2 inches and 3 lb/cu. ft. nominal density.

3.12 OUTDOOR, FIELD-APPLIED JACKET SCHEDULE

A. Install jacket over insulation material. For insulation with factory-applied jacket, install the field-applied jacket over the factory-applied jacket.

B. Ducts and Plenums, Exposed, up to 48 Inches in Diameter or with Flat Surfaces up to 72 Inches:

1. Aluminum, Smooth: 0.032 inch thick.

2. Painted Aluminum, Smooth: 0.032 inch thick.

END OF SECTION 230713

SECTION 230719 - HVAC PIPING INSULATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section includes insulating the following HVAC piping systems:
1. AC Condensate drain piping.
 2. Refrigerant suction, hot-gas and liquid piping.
- B. Related Requirements:
1. Section 230713 "Duct Insulation" for duct insulation.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product. Include thermal conductivity, water-vapor permeance thickness, and factory applied jackets (if any).
- B. Sustainable Design Submittals:
1. Product Data: For recycled content, indicating postconsumer and pre-consumer recycled content and cost.
 2. Product Data: For adhesives, mastics, and sealants, indicating VOC content.
- C. Shop Drawings: Include plans, elevations, sections, details, and attachments to other work.
1. Detail application of protective shields, saddles, and inserts at hangers for each type of insulation and hanger.
 2. Detail attachment and covering of heat tracing inside insulation.
 3. Detail insulation application at pipe expansion joints for each type of insulation.
 4. Detail insulation application at elbows, fittings, flanges, valves, and specialties for each type of insulation.
 5. Detail removable insulation at piping specialties.
 6. Detail application of field-applied jackets.

7. Detail application at linkages of control devices.

D. Samples: For each type of insulation and jacket indicated. Identify each Sample, describing product and intended use.

1. Preformed Pipe Insulation Materials: 12 inches long.
2. Sheet Form Insulation Materials: 12 inches square.
3. Jacket Materials for Pipe: 12 inches long.
4. Sheet Jacket Materials: 12 inches square.
5. Manufacturer's Color Charts: For products where color is specified, show the full range of colors available for each type of finish material.

1.5 INFORMATIONAL SUBMITTALS

A. Material Test Reports: From a qualified testing agency indicating, interpreting, and certifying test results for compliance of insulation materials, sealers, attachments, cements, and jackets, with requirements indicated. Include dates of tests and test methods employed.

B. Field quality-control reports.

1.6 QUALITY ASSURANCE

A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

B. Mockups: Before completing insulation on piping, install a representative sample of work as a mockup for each type of piping service to demonstrate method of application, quality of work and finishes. Build each mockup in an accessible location or as directed by Commissioner. Use materials and methods indicated for the completing this sample of work

1. Notify Commissioner seven days in advance of dates and times when mockups will be constructed.
2. Obtain Commissioner's approval of mockups before completing all remaining piping services insulation applications.
3. Approval of deviations from the Contract Documents shall be obtained prior to preparing pipe services insulated mock-ups. Deviations found in mockups will not be accepted unless the Commissioner specifically approves such deviations in writing.

4. Piping Mockups:

- a. One 5-foot section of straight insulated pipe for each type of service.
- b. One each of a 90-degree threaded, brazed, and soldered elbow.
- c. One each of a threaded, brazed, and soldered tee fitting.
- d. One service valve, one shut off valve.
- e. Four support hangers, including hanger shield and insert.
- f. One strainer with removable portion of insulation.
- g. One reducer fitting.
- h. One pressure temperature tap.
- i. One mechanical coupling.
- j. One union.

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Packaging: Insulation system materials are to be delivered to the Project site in unopened containers. The packaging is to include name of manufacturer, fabricator, type, description, size, ASTM standard designation, and maximum use temperature.

1.8 COORDINATION

- A. Coordinate sizes and locations of supports, hangers, and insulation shields specified in Section 230529 "Hangers and Supports for HVAC Piping and Equipment."
- B. Coordinate clearance requirements with piping Installer for piping insulation application. Before preparing piping Shop Drawings, establish and maintain clearance requirements for installation of insulation and field-applied jackets and finishes and for space required for maintenance.
- C. Coordinate installation and testing of heat tracing.

1.9 SCHEDULING

- A. Schedule insulation application after pressure testing systems and, where required, after installing and testing heat tracing. Insulation application may begin on segments that have satisfactory test results.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Surface-Burning Characteristics: For insulation and related materials, as determined by testing identical products in accordance with ASTM E84 by a testing agency. Factory label insulation, jacket materials, adhesive, mastic, tapes, and cement material containers with appropriate markings of applicable testing agency.
 - 1. All Insulation Installed Indoors and Outdoors: Flame-spread index of 25 or less, and smoke-developed index of 50 or less.
- B. Piping shall be insulated with materials tested in accordance with ASTM C518, ASTM C177. In all applications, insulation R value shall be based on product manufacturer's test of the installed thickness value allowing for material compression effects.

2.2 INSULATION MATERIALS

- A. Comply with requirements in "Piping Insulation Schedule, General," and "Indoor and Outdoor Piping Insulation Schedule," articles for where insulating materials are applied.
- B. Products that come into contact with stainless steel have a leachable chloride content of less than 50 ppm when tested in accordance with ASTM C871.

- C. Insulation materials for use on austenitic stainless steel are qualified as acceptable in accordance with ASTM C795.
- D. Foam insulation materials do not use CFC or HCFC blowing agents in the manufacturing process.
- E. Insulation products shall not contain asbestos, lead, mercury, or mercury compounds.
- F. Flexible Elastomeric: Closed-cell, or expanded-rubber materials; suitable for use temperature between minus 35 deg F and 250 deg F. Comply with ASTM C534/C534M, Type I, for tubular materials, Type II for sheet materials.
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Aeroflex USA.
 - b. Armacell LLC.
 - c. K-Flex USA.
 - d. Or approved equal.
- G. Glass-Fiber, Preformed Pipe: Glass fibers bonded with a thermosetting resin; suitable for maximum use temperature up to 250 deg F in accordance with ASTM C411. Comply with ASTM C547.
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. CertainTeed Corporation; Saint-Gobain North America.
 - b. Johns Manville; a Berkshire Hathaway company.
 - c. Knauf Insulation.
 - d. Owens Corning.
 - e. Or approved equal.
 - 2. Preformed Pipe Insulation: Type I, Grade A, with factory-applied white kraft-scrim-foil all service jacket (ASJ).
 - 3. Fabricated shapes in accordance with ASTM C450 and ASTM C585.
 - 4. Factory-applied jacket requirements are specified in "Factory-Applied Jackets" Article.

2.3 ADHESIVES

- A. Materials are compatible with insulation materials, jackets, and substrates and for bonding insulation to itself and to surfaces to be insulated unless otherwise indicated.
- B. Flexible Elastomeric and Polyolefin Adhesive: Solvent-based adhesive.
 - 1. Adhesive: As recommended by flexible elastomeric and polyolefin manufacturer and with a VOC content of 80 g/L or less.
 - 2. Flame-spread index is 25 or less and smoke-developed index is 50 or less as tested in accordance with ASTM E84.
 - 3. Wet Flash Point: Below 0 deg F.
 - 4. Service Temperature Range: minus 35 deg F to 250 deg F
 - 5. Color: match exposed insulation surface color.

- C. ASJ Adhesive: Comply with MIL-A-3316C, Class 2, Grade A for bonding insulation jacket lap seams and joints.

- 1. Adhesive shall have a VOC content of 50 g/L or less.

2.4 SEALANTS

- A. Materials are as recommended by the insulation manufacturer and are compatible with insulation materials, jackets, and substrates.

- B. ASJ Flashing Sealants:

- 1. Materials are compatible with insulation materials, jackets, and substrates.
 - 2. Fire- and water-resistant, flexible, elastomeric sealant.
 - 3. Service Temperature Range: minus 35 deg F250 deg F.
 - 4. Color: White.
 - 5. Sealant shall have a VOC content of 420 g/L or less.

2.5 FACTORY-APPLIED JACKETS

- A. Insulation system schedules indicate factory-applied jackets on various applications. When factory-applied jackets are indicated, comply with the following:

- 1. ASJ: White, kraft-paper, fiberglass-reinforced scrim with aluminum-foil backing; complying with ASTM C1136, Type I.

2.6 FIELD-APPLIED JACKETS

- A. Field-applied jackets shall comply with ASTM C 1729, Type I, unless otherwise indicated. All outdoor insulated piping will be provided with protective corrugated metal jacket covering.

- B. Metal Jacket:

- 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Johns Manville; a Berkshire Hathaway company.
 - b. RPR Products, Inc.
 - c. ITW, General Insulation Company Inc.
 - d. Or approved equal.
 - 2. Aluminum Jacket: Comply with ASTM B 209, Alloy 3003, 3005, 3105, or 5005, Temper H-14.
 - a. Sheet and roll stock ready for shop or field sizing.
 - b. Thickness: 3/16 inch corrugated.
 - c. Moisture Barrier for Outdoor Applications: 3-mill thick polysurlyn.
 - d. Aluminum jacketing for 90° and 45° pipe elbows shall be two-piece pressed elbow covers.

- e. Aluminum jacketing for tees, valves, flanges, caps, etc. shall be factory or field-fabricated to fit closely around insulation.

2.7 TAPES

- A. ASJ Tape: White vapor-retarder tape matching factory-applied jacket with acrylic adhesive, complying with ASTM C1136.
 - 1. Width (nominal): 3 inches
 - 2. Thickness (minimum): 11 mils.
 - 3. Adhesion (minimum): 45 ounces force/inch.
 - 4. Elongation (maximum): 6 percent.
 - 5. Tensile Strength (minimum): 55 lbf/inch.
 - 6. Service Temperature Range: minus 35 deg F250 deg F.
 - 7. Material: Kraft paper
 - 8. Color: match exposed insulation surface color or ASJ finish.
 - 9. ASJ Tape Disks and Squares: Precut disks or squares of ASJ tape.

2.8 SECUREMENTS

- A. Bands:
 - 1. Aluminum: ASTM B209, Alloy 3003, 3005, 3105, or 5005; Temper H-14, 0.020 inch thick, 1/2 inchwide with closed seal.
- B. Staples: Outward-clinching insulation staples, nominal 3/4 inchwide, stainless steel or Monel.
- C. Wire: 18 gauge, 0.0475-inch stainless steel, T304 Alloy.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates and conditions for compliance with requirements for installation tolerances and other conditions affecting performance of insulation application.
 - 1. Verify that systems to be insulated have been tested and are free of defects.
 - 2. Verify that surfaces to be insulated are clean and dry.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. Clean and dry surfaces to receive insulation. Remove materials that will adversely affect insulation application. Clean and prepare surfaces to be insulated. with denatured alcohol. Remove dirt, dust, grease, moisture, oil and other contaminants that can serve as corrosion accelerators beneath the insulation and bond-breaking agents on direct-adhered adhesive applications.
- B. Test insulation adhesive for compatibility with epoxy resin and polyurethane primers. Flexible Elastomeric and Polyolefin Adhesives are not compatible with asphalt, bitumen, linseed oil or red lead primers.
- C. Before insulating, apply a corrosion coating to insulated surfaces as follows:
 - 1. Copper: Coat exposed copper surfaces operating at a service temperature of between minus 32 deg. F and 250 deg F with an air-drying Acrylic coating (Benzotriazole). Consult coating manufacturer for appropriate coating materials and application methods for operating temperature range.
- D. Coordinate insulation installation with heat tracing installers. Comply with requirements for heat tracing that apply to insulation.
- E. Mix insulating cements with clean potable water; if insulating cements are to be in contact with stainless steel surfaces, use demineralized water.

3.4 GENERAL INSTALLATION REQUIREMENTS

- A. Install insulation materials, accessories, and finishes with smooth, straight, and even surfaces; free of voids throughout the length of piping, including fittings, valves, and specialties.
- B. Install insulation materials, forms, vapor barriers or retarders, jackets, and of thicknesses required for each item of pipe system, as specified in insulation system schedules.
- C. Install accessories compatible with insulation materials and suitable for the service. Install accessories that do not corrode, compress, or otherwise damage insulation or jacket.
- D. Install insulation with longitudinal seams at top and bottom (12 o'clock and 6 o'clock positions) of horizontal runs.
- E. Install multiple layers of insulation with longitudinal and end seams staggered.
- F. Do not weld brackets, clips, or other attachment devices to piping, fittings, and specialties.
- G. Keep insulation materials dry during storage, application, and finishing. Replace insulation materials that get wet during storage or in the installation process before being properly covered and sealed in accordance with the Contract Documents.
- H. Install insulation with tight longitudinal seams and end joints. Bond seams and joints with adhesive recommended by insulation material manufacturer.
- I. Install insulation with least number of joints practical.

- J. Where vapor barrier is indicated, seal joints, seams, and penetrations in insulation at hangers, supports, anchors, and other projections with vapor-barrier mastic.
 - 1. Install insulation continuously through hangers and around anchor attachments.
 - 2. For insulation application where vapor barriers are indicated, extend insulation on anchor legs from point of attachment to supported item to point of attachment to structure. Taper and seal ends attached to structure with vapor-barrier mastic.
 - 3. Install insert materials and insulation to tightly join the insert. Seal insulation to insulation inserts with adhesive or sealing compound recommended by insulation material manufacturer.
 - 4. Cover inserts with jacket material matching adjacent pipe insulation. Install shields over jacket, arranged to protect jacket from tear or puncture by hanger, support, and shield.

- K. Apply adhesives, mastics, and sealants at manufacturer's recommended coverage rate and wet and dry film thicknesses.

- L. Install insulation with factory-applied jackets as follows:
 - 1. Draw jacket tight and smooth, but not to the extent of creating wrinkles or areas of compression in the insulation.
 - 2. Cover circumferential joints with 3-inch wide strips, of same material as insulation jacket. Secure strips with adhesive and outward clinching staples along both edges of strip, spaced 4 inches on center - o.c.
 - 3. Overlap jacket longitudinal seams at least 1-1/2 inches. Clean and dry surface to receive self-sealing lap. Staple laps with outward clinching staples along edge at 2 inches on center -o.c.
 - a. For below ambient services, apply vapor-barrier mastic over staples.
 - 4. Cover joints and seams with tape, according to insulation material manufacturer's written instructions, to maintain vapor seal.

- M. Where vapor barriers are indicated, apply vapor-barrier mastic on seams and joints and at ends adjacent to duct flanges and fittings. Cut insulation in a manner to avoid compressing insulation.

- N. Finish installation with systems at operating conditions. Repair joint separations and cracking due to thermal movement.

- O. Repair damaged insulation facings by applying same facing material over damaged areas. Extend patches at least 4 inches beyond damaged areas. Adhere, staple, and seal patches similar to butt joints.

- P. For above-ambient services, do not install insulation to the following:
 - 1. Vibration-control devices.
 - 2. Testing agency labels and stamps.
 - 3. Nameplates and data plates.

3.5 PENETRATIONS

- A. Insulation Installation at Roof Penetrations: Install insulation continuously through roof penetrations.

1. Seal penetrations with flashing sealant.
2. For applications requiring only indoor insulation, terminate insulation above roof surface and seal with joint sealant. For applications requiring indoor and outdoor insulation, install insulation for outdoor applications tightly joined to indoor insulation ends. Seal joint with joint sealant.
3. Extend jacket of outdoor insulation outside roof flashing at least 2 inches below top of roof flashing.
4. Seal jacket to roof flashing with flashing sealant.

B. Insulation Installation at Underground Exterior Wall Penetrations: Terminate insulation flush with sleeve seal. Seal terminations with flashing sealant.

C. Insulation Installation at Aboveground Exterior Wall Penetrations: Install insulation continuously through wall penetrations.

1. Seal penetrations with flashing sealant.
2. For applications requiring only indoor insulation, terminate insulation inside wall surface and seal with joint sealant. For applications requiring indoor and outdoor insulation, install insulation for outdoor applications tightly joined to indoor insulation ends. Seal joint with joint sealant.
3. Extend jacket of outdoor insulation outside wall flashing and overlap wall flashing at least 2 inches.
4. Seal jacket to wall flashing with flashing sealant.

D. Insulation Installation at Interior Wall and Partition Penetrations (That Are Not Fire Rated): Install insulation continuously through walls and partitions.

E. Insulation Installation at Fire-Rated Wall and Partition Penetrations: Install insulation continuously through penetrations of fire-rated walls and partitions.

1. Comply with requirements in Section 078413 "Penetration Firestopping" for firestopping and fire-resistive joint sealers.

F. Insulation Installation at Floor Penetrations:

1. Pipe: Install insulation continuously through floor penetrations.
2. Seal penetrations through fire-rated assemblies. Comply with requirements in Section 078413 "Penetration Firestopping."

3.6 GENERAL PIPE INSULATION INSTALLATION

A. Requirements in this article generally apply to all insulation materials, except where more specific requirements are specified in various pipe insulation material installation articles below.

B. Insulation Installation on Fittings, Valves, Strainers, Flanges, Mechanical Couplings, and Unions:

1. Install insulation over fittings, valves, strainers, flanges, mechanical couplings, unions, and other specialties with continuous thermal and vapor-retarder integrity unless otherwise indicated.
2. Insulate pipe elbows using prefabricated fitting insulation or mitered fittings made from same material and density as that of adjacent pipe insulation. Each piece is butted tightly against adjoining piece and bonded with adhesive. Fill joints, seams, voids, and irregular surfaces with insulating cement finished to a smooth, hard, and uniform contour that is uniform with adjoining pipe insulation.



3. Insulate tee fittings with prefabricated fitting insulation or sectional pipe insulation of same material and thickness as that used for adjacent pipe. Cut sectional pipe insulation to fit. Butt each section closely to the next and hold in place with tie wire. Bond pieces with adhesive.
 4. Insulate valves using prefabricated fitting insulation or sectional pipe insulation of same material, density, and thickness as that used for adjacent pipe. Overlap adjoining pipe insulation by not less than 2 times the thickness of pipe insulation, or one pipe diameter, whichever is thicker. For valves, insulate up to and including the bonnets, valve stuffing-box studs, bolts, and nuts. Fill joints, seams, and irregular surfaces with insulating cement.
 5. Insulate strainers using prefabricated fitting insulation or sectional pipe insulation of same material, density, and thickness as that used for adjacent pipe. Overlap adjoining pipe insulation by not less than 2 times the thickness of pipe insulation, or one pipe diameter, whichever is thicker. Fill joints, seams, and irregular surfaces with insulating cement. Insulate strainers, so strainer basket flange or plug can be easily removed and replaced without damaging the insulation and jacket. Provide a removable reusable insulation cover. For below-ambient services, provide a design that maintains vapor barrier.
 6. Insulate flanges, mechanical couplings, and unions using a section of oversized preformed pipe insulation to fit. Overlap adjoining pipe insulation by not less than 2 times the thickness of pipe insulation, or one pipe diameter, whichever is thicker. Stencil or label the outside insulation jacket of each union with the word "union" matching size and color of pipe labels.
 7. Cover segmented insulated surfaces with a layer of finishing cement and coat with a mastic. Install vapor-barrier mastic for below-ambient services and a breather mastic for above-ambient services. Reinforce the mastic with reinforcing mesh. Trowel the mastic to a smooth and well-shaped contour.
 8. For services not specified to receive a field-applied jacket, except for flexible elastomeric and polyolefin, install fitted PVC cover over elbows, tees, strainers, valves, flanges, and unions. Terminate ends with PVC end caps. Tape PVC covers to adjoining insulation facing, using PVC tape.
- C. Insulate instrument connections for thermometers, pressure gages, pressure temperature taps, test connections, flow meters, sensors, switches, and transmitters on insulated pipes. Shape insulation at these connections by tapering it to and around the connection with insulating cement and finish with finishing cement, mastic, and flashing sealant.
- D. Install removable insulation covers to provide service application to pipeline valves, strainers, temperature/pressure port locations and other serviceable equipment. Installation conforms to the following:
1. Make removable flange and union insulation from sectional pipe insulation of same thickness as that on adjoining pipe. Install same insulation jacket as that of adjoining pipe insulation.
 2. When flange and union covers are made from sectional pipe insulation, extend insulation from flanges or union at least 2 times the insulation thickness over adjacent pipe insulation on each side of flange or union. Secure flange cover in place with stainless steel or aluminum bands. Select band material compatible with insulation and jacket.
 3. Construct removable valve insulation covers in same manner as for flanges, except divide the two-part section on the vertical center line of valve body.
 4. When covers are made from block insulation, make two halves, each consisting of mitered blocks wired to stainless steel fabric. Secure this wire frame, with its attached insulation, to flanges with tie wire. Extend insulation at least 2 inches over adjacent pipe insulation on each side of valve. Fill space between flange or union cover and pipe insulation with insulating cement. Finish cover assembly with insulating cement applied in two coats. After first coat is dry, apply and trowel second coat to a smooth finish.

5. Unless a PVC jacket is indicated in field-applied jacket schedules, finish exposed surfaces with a metal jacket.

3.7 INSTALLATION OF CELLULAR-GLASS INSULATION

A. Insulation Installation on Straight Pipes and Tubes:

1. Secure each layer of insulation to pipe with wire or bands, and tighten bands without deforming insulation materials.
2. Where vapor barriers are indicated, seal longitudinal seams, end joints, and protrusions with vapor-barrier mastic and joint sealant.
3. For insulation with jackets on above-ambient services, secure laps with outward-clinched staples at 6 inches o.c.
4. For insulation with jackets on below-ambient services, do not staple longitudinal tabs. Instead, secure tabs with additional adhesive, as recommended by insulation material manufacturer, and seal with vapor-barrier mastic and flashing sealant.

B. Insulation Installation on Pipe Fittings and Elbows:

1. Install prefabricated sections of same material as that of straight segments of pipe insulation when available. Secure according to manufacturer's written instructions.
2. When preformed sections of insulation are not available, install mitered or routed sections of cellular-glass insulation. Secure insulation materials with wire or bands.

C. Insulation Installation on Valves and Pipe Specialties:

1. Install prefabricated sections of cellular-glass insulation to valve body.
2. Arrange insulation to permit access to packing and to allow valve operation without disturbing insulation.
3. Install insulation to flanges as specified for flange insulation application.

3.8 INSTALLATION OF FLEXIBLE ELASTOMERIC INSULATION

- #### A. Seal longitudinal seams and end joints with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.

B. Insulation Installation on Pipe Flanges:

1. Install pipe insulation to outer diameter of pipe flange.
2. Make width of insulation section same as overall width of flange and bolts, plus twice the thickness of pipe insulation.
3. Fill voids between inner circumference of flange insulation and outer circumference of adjacent straight pipe segments with cut sections of sheet insulation of same thickness as that of pipe insulation.
4. Secure insulation to flanges and seal seams with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.

C. Insulation Installation on Pipe Fittings and Elbows:

1. Install sections of pipe insulation and miter if required in accordance with manufacturer's written instructions.
2. Secure insulation materials and seal seams with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.

D. Insulation Installation on Valves and Pipe Specialties:

1. Install prefabricated valve covers manufactured of same material as that of pipe insulation when available.
2. When prefabricated valve covers are not available, install cut sections of pipe and sheet insulation to valve body. Arrange insulation to permit access to packing and to allow valve operation without disturbing insulation.
3. Install insulation to flanges as specified for flange insulation application.
4. Secure insulation to valves and specialties, and seal seams with manufacturer's recommended adhesive to eliminate openings in insulation that allow passage of air to surface being insulated.

3.9 INSTALLATION OF FIELD-APPLIED JACKETS

- A. Where metal jackets are indicated, install with 2-inch overlap at longitudinal seams and end joints. Overlap longitudinal seams arranged to shed water. Seal end joints with weatherproof sealant recommended by insulation manufacturer. Secure jacket with stainless steel bands 12 inches o.c. and at end joints.

3.10 FINISHES

- A. Insulation with ASJ, Glass-Cloth, or Other Paintable Jacket Material: Paint jacket with paint system identified below and as specified in Section 099113 "Exterior Painting" and Section 099124 "Interior Painting (MPI Standards)."

1. Flat Acrylic Finish: Two finish coats over a primer that is compatible with jacket material and finish coat paint. Add fungicidal agent to render fabric mildew proof.

a. Finish Coat Material: Interior, flat, latex-emulsion size.

- B. Flexible Elastomeric Thermal Insulation: After adhesive has fully cured, apply two coats of insulation manufacturer's recommended protective coating.
- C. Color: Final color as selected by Commissioner. Vary first and second coats to allow visual inspection of the completed Work.
- D. Do not field paint aluminum or stainless steel jackets.

3.11 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Tests and Inspections:

1. Inspect insulated piping, randomly as selected by Commissioner, by removing factory or field-applied jacket and insulation in layers in reverse order of their installation.
 2. The extent of inspection will depend on the as-built conditions found, beginning with one location(s) for each duct system defined in the "Pipe Insulation Schedule, General" Article.
- C. If sample inspection reveals noncompliance with Contract Documents, additional locations will be inspected for field quality control.
- D. Insulation applications will be considered defective work if sample inspection reveals noncompliance with requirements.
- E. Replace all defective work at no additional cost to the City of New York as directed by the Commissioner.
- F. Prepare test and inspection reports.

3.12 PIPING INSULATION SCHEDULE, GENERAL

- A. Insulation conductivity and thickness per pipe size comply with schedules in this Section or with requirements of the NYC Building Code, whichever is more stringent.
- B. Acceptable preformed pipe and tubular insulation materials and thicknesses are identified for each piping system and pipe size range.

3.13 INDOOR AND OUTDOOR PIPING INSULATION SCHEDULE

- A. AC Condensate and Equipment Drain Water below 60 Deg F:
1. Glass-Fiber Blanket: thickness will be as required to achieve manufacturer's as-installed R value specified for and compliance with NYC Energy Code:
 - a. Nominal material density: 0.75 lb/cu. ft.
 - b. Maximum Thermal Conductivity:
 - 1) For temperature between 40 deg F to 140 deg F:
 - a) 0.28 Btu-in/hr-sq-ft-deg F
- B. Refrigeration Hot Gas Line, Suction Line and Liquid Line:
1. Flexible Elastomeric: Closed-cell, or expanded-rubber insulation thickness will be as required to achieve manufacturer's as-installed R value specified for and compliance with NYC Energy Code:
 - a. Nominal material density: 5.5 lb/cu. ft.
 - b. Maximum Thermal Conductivity:
 - 1) For temperature < 40 deg F:

- a) 0.26 Btu-in/hr-sq-ft-deg F
- 2) For temperature between 40 deg F and 250 deg F:
 - a) 0.30 Btu-in/hr-sq-ft-deg F.

3.14 OUTDOOR, FIELD-APPLIED JACKET SCHEDULE

- A. Install jacket over insulation material. For insulation with factory-applied jacket, install the field-applied jacket over the factory-applied jacket.
- B. Piping, Exposed:
 - 1. Corrugated Aluminum, 3/16 inch.

END OF SECTION 230719

SECTION 230800 - COMMISSIONING OF HVAC

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. This Section includes commissioning process requirements for HVAC&R systems, assemblies, and equipment.:
- B. Related Requirements:
 - 1. DDC General Conditions Section 019113 "General Commissioning Requirements for MEP Systems" for general commissioning process requirements.

1.3 DESCRIPTION

- A. Commissioning is a systematic process of confirming that all building systems perform interactively according to the City of New York's Project Requirements and the Basis of Design and continuing through construction, acceptance and the warranty period with actual verification of performance.
- B. The Commissioning process does not take away from or reduce the responsibility of the Contractor to provide a finished and fully functioning product.
- C. The CxA directs and coordinates the commissioning activities and reports to the Commissioner. All members in the construction process work together to fulfill their contracted responsibilities and meet the objectives of the City of New York's Project Requirement's as detailed in the Contract Documents.

1.4 DEFINITIONS

- A. Refer to the DDC General Conditions for definitions.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

- B. The CxA will review and approve submittals related to the commissioned equipment for conformance to the Contract Documents as it relates to the commissioning process, to the functional performance of the equipment and adequacy for developing test procedures. This review is intended primarily to aid in the development of functional testing procedures and only secondarily to verify compliance with equipment specifications. The CxA will notify the Contractor, or Commissioner as requested, of items missing or areas that are not in conformance with Contract Documents and which require resubmission.
- C. The CxA will receive a copy of the final approved submittals.
- D. In addition, the Contractor is to provide the following:
 - 1. Certificates of readiness
 - 2. Certificates of completion of installation, prestart, and startup activities.
 - 3. O&M manuals
 - 4. Test reports

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Test Equipment Calibration Requirements: The Contractor will comply with test manufacturer's calibration procedures and intervals. Recalibrate test instruments immediately after instruments have been repaired resulting from being dropped or damaged. Affix calibration tags to test instruments. Furnish calibration records to CxA upon request.

1.7 COORDINATION

- A. Commissioning Kick-Off Meeting - Construction Team: The Contractor will attend a meeting of the Commissioning Team, chaired by the CxA, to review the scope of commissioning process activities and the Commissioning Plan with discussions on milestones, activities, and assignments of responsibilities. The flow and type of documents and the amount of submittal data given to the CxA will be determined. Meeting minutes will then be distributed to all parties by the CxA.
- B. Commissioning Meetings: The Contractor will attend coordination meetings with the Commissioning Team, chaired by the CxA, to review progress on the Commissioning Plan, construction deficiencies, scheduling conflicts, and to discuss strategies and processes for upcoming commissioning process activities.
- C. Miscellaneous Construction Meetings: The CxA attends selected planning and job-site meetings in order to remain informed on construction progress and to update parties involved in the commissioning process. This will not include 100% meeting attendance, but the CxA shall be provided with the subsequent meeting minutes for review.

- D. Pre-testing Meetings: The Contractor will attend pretest meetings with the Commissioning Team, chaired by the CxA, to review startup reports, pre-test inspection results, testing procedures, testing personnel and instrumentation requirements, and manufacturers' authorized service representative services for each system, subsystem, equipment, and component to be tested.
- E. Testing: The Contractor will coordinate with testing personnel and agencies for timing and access for CxA to witness test.
- F. Manufacturers' Inspection and Startup Services: The Contractor will coordinate services of manufacturers' inspection and startup services.
- G. Testing, Adjusting and Balancing: The Contractor will coordinate with plan and schedule for testing, adjusting and balancing for timing and access for CxA to witness process.

PART 2 - PRODUCTS

2.1 TEST EQUIPMENT

- A. All standard testing equipment required to perform startup, initial checkout and functional performance testing shall be provided by the Contractor for the equipment being tested. For example, the Contractor shall ultimately be responsible for all standard testing equipment for the HVAC&R system and controls system in Division 23. A sufficient quantity of two-way radios shall be provided by the Contractor.
- B. Proprietary test equipment and software required by any equipment manufacturer for programming and/or start-up, whether specified or not, shall be provided by the manufacturer of the equipment. Manufacturer shall provide the test equipment, demonstrate its use, and assist in the commissioning process as needed. Proprietary test equipment (and software) shall become the property of the City of New York's personnel upon completion of the commissioning process.
- C. All testing equipment shall be of sufficient quality and accuracy to test and/or measure system performance with the tolerances specified in the Specifications. If not otherwise noted, the following minimum requirements apply: Temperature sensors and digital thermometers shall have a certified calibration within the past year to accuracy of 0.5°F and a resolution of + or - 0.1°F. Pressure sensors shall have an accuracy of + or - 2.0% of the value range being measured (not full range of meter) and have been calibrated within the last year.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.
- B. With assistance from the Contractor, the CxA will prepare Pre-Functional Checklists for all commissioned components, equipment, and systems. These checklists shall be provided to the Contractor for completion. The CxA shall gather and review the completeness and accuracy of these checklists via site visits.

- C. **Red-lined Drawings (As-Builts):** Contractor will verify all equipment, systems, instrumentation, wiring and components are shown correctly on red-lined drawings. Preliminary red-lined drawings must be made available to the Commissioning Team for use prior to the start of Functional Performance Testing. Changes, as a result of Functional Testing, must be incorporated into the final as-built drawings, which will be created from the red-lined drawings. The Contractor will create the as-built drawings.
- D. **Operation and Maintenance Data:** Contractor will provide a copy of O&M literature within 45 days of each submittal acceptance for use during the commissioning process for all commissioned equipment and systems. The CxA will review the O&M literature once for conformance to project requirements. The CxA will receive a copy of the final approved O&M literature once corrections have been made by the Contractor.
- E. **Demonstration and Orientation:** Contractor will provide demonstration and orientation as required by the specifications. A complete orientation plan and schedule must be submitted by the Contractor to the CxA four weeks (4) prior to any orientation. An orientation agenda for each orientation session must be submitted to the CxA one (1) week prior the orientation session.

3.2 CONTRACTOR'S RESPONSIBILITIES

- A. Refer to the DDC General Conditions Section 019113 "General Commissioning Requirements for MEP systems" for Contractor's responsibilities.
- B. The Contractor will attend construction phase controls coordination meetings and ensure that the HVAC and controls subcontractors attend.
- C. The Contractor will attend testing, adjusting, and balancing review and coordination meetings and ensure that the HVAC and balancing subcontractors attend.
- D. Provide information requested by the CxA for final commissioning documentation.
- E. Prepare preliminary schedule for mechanical system orientations and inspections, operation and maintenance manual submissions, orientation sessions, pipe and duct system testing, flushing and cleaning, equipment start-up, testing and balancing and task completion for the City of New York. Distribute preliminary schedule to commissioning team members at the beginning of the construction phase.
- F. Provide measuring instruments and logging devices to record test data and provide data acquisition equipment to record data for the complete range of testing for the required test period.
- G. Provide detailed startup procedures.
- H. Provide a written list of all user adjustable set-points and reset schedules with a brief discussion of the purpose of each and the range of reasonable adjustments with energy implications.
- I. Provide a written schedule frequency to review the various set-points and reset schedules to ensure they are current relevant and efficient values.
- J. Respond to provided new deficiencies and/or responses within five (5) business days.

- K. Gather operation and maintenance literature on all equipment, and assemble in binders as required by the Contract Documents. Submit to CxA 45 days after submittal acceptance.
- L. Coordinate with the CxA to provide 48-hour advance notice so that the witnessing of equipment and system start-up and testing can begin.
- M. Notify the CxA a minimum of two weeks in advance of the time for start of the testing and balancing work. Attend the initial testing and balancing meeting for review of the official testing and balancing procedures.
- N. Provide written notification to the Commissioner and CxA that the following work has been completed in accordance with the Contract Documents, and that the equipment, systems, and sub-system are operating as required.
 - 1. HVAC&R equipment including all fans, Energy Recovery ventilators, VRF Split Systems, ductwork, dampers, terminals, and all other equipment furnished under this Division.
- O. The equipment suppliers shall document the performance of their equipment.
- P. Provide a complete set of red-lined drawings to the CxA prior to the start of Functional Performance Testing.
- Q. Test, Adjust and Balance subcontractor, under the direction of the Contractor:
 - 1. Attend initial commissioning coordination meeting scheduled by the CxA.
 - 2. Submit the site-specific testing and balancing plan to the CxA and Commissioner for review and acceptance.
 - 3. Attend the testing and balancing review meeting scheduled by the CxA. Be prepared to discuss the procedures that shall be followed in testing, adjusting, and balancing the HVAC&R system.
 - 4. At the completion of the testing and balancing work, and the submittal of the final testing and balancing report, notify the HVAC&R subcontractor and the Contractor.
 - 5. Participate in verification of the testing and balancing report, which will consist of repeating measurements contained in the testing and balancing reports. Assist in diagnostic purposes when directed.
 - 6. Provided recommended setpoints as determined by testing, adjusting, and balancing, such as static pressure and differential pressure setpoints.
- R. Contractor responsibilities to be completed by Equipment Suppliers:
 - 1. Provide all requested submittal data, including detailed start-up procedures and specific responsibilities of the City of New York's personnel, to keep warranties in force.
 - 2. Assist in equipment testing.

3. Provide information requested by CxA regarding equipment sequence of operation and testing procedures.

3.3 CxA's RESPONSIBILITIES

A. Roles and Responsibilities

1. Refer to the DDC General Conditions section 019113 "General Commissioning Requirements for MEP Systems" for CxA responsibilities.

3.4 TESTING PREPARATION

- A. Certify in writing to the CxA that HVAC&R systems, subsystems, and equipment have been installed, calibrated, and started and are operating according to the Contract Documents.
- B. Certify in writing to the CxA that HVAC&R instrumentation and control systems have been completed and calibrated, that they are operating according to the Contract Documents, and that pretest set points have been recorded.
- C. Certify in writing that testing, adjusting, and balancing procedures have been completed and that testing, adjusting, and balancing reports have been submitted, discrepancies corrected, and corrective work approved.
- D. Place systems, subsystems, and equipment into operating mode to be tested (e.g., normal shutdown, normal auto position, normal manual position, unoccupied cycle, emergency power, and alarm conditions).
- E. Inspect and verify the position of each device and interlock identified on checklists.
- F. Check safety cutouts, alarms, and interlocks with smoke control and life-safety systems during each mode of operation.
- G. Testing Instrumentation: Install measuring instruments and logging devices to record test data as directed by the CxA.

3.5 TESTING, ADJUSTING AND BALANCING VERIFICATION

- A. Prior to performance of Testing, Adjusting, and Balancing work, provide copies of reports, sample forms, checklists, and certificates to the CxA.
- B. Notify the CxA at least ten (10) days in advance of testing and balancing Work and provide access for the CxA to witness testing and balancing Work.
- C. Provide technicians, instrumentation, and tools to verify testing and balancing of HVAC&R systems at the direction of the CxA.
 1. The CxA will notify the Contractor ten (10) days in advance of the date of field verification. Notice will not include data points to be verified.

2. The Contractor will ensure that the testing and balancing subcontractor shall use the same instruments (by model and serial number) that were used when original data were collected.
3. Failure of an item includes, other than sound, a deviation of more than 10 percent. Failure of more than 10 percent of selected items shall result in rejection of final testing, adjusting, and balancing report. For sound pressure readings, a deviation of 3 dB shall result in rejection of final testing. Variations in background noise must be considered.
4. Remedy the deficiency and notify the CxA so verification of failed portions can be performed.

3.6 GENERAL TESTING REQUIREMENTS

- A. Provide technicians, instrumentation, and tools to perform commissioning test at the direction of the CxA.
- B. Scope of HVAC&R testing shall include entire HVAC&R installation, from central equipment for heat generation and refrigeration through distribution systems to each conditioned space. Testing shall include measuring capacities and effectiveness of operational and control functions.
- C. Test all operating modes, interlocks, control responses, and responses to abnormal or emergency conditions, and verify proper response of building automation system controllers and sensors.
- D. The CxA along with the Contractor, who will ensure that the HVAC&R subcontractor, testing and balancing subcontractor, and HVAC&R Instrumentation and Control subcontractor participate, shall prepare detailed testing plans, procedures, and checklists for HVAC&R systems, subsystems, and equipment.
- E. Tests will be performed using design conditions whenever possible.
- F. Simulated conditions may need to be imposed using an artificial load when it is not practical to test under design conditions. Before simulating conditions, calibrate testing instruments. Provide equipment to simulate loads. Set simulated conditions as directed by the CxA and document simulated conditions and methods of simulation. After tests, return settings to normal operating conditions.
- G. The CxA may direct that set points be altered when simulating conditions is not practical.
- H. The CxA may direct that sensor values be altered with a signal generator when design or simulating conditions and altering set points are not practical.
- I. If tests cannot be completed because of a deficiency outside the scope of the HVAC&R system, document the deficiency and report it to the Commissioner. After deficiencies are resolved, reschedule tests.
- J. If the testing plan indicates specific seasonal testing, complete appropriate initial performance tests and documentation and schedule seasonal tests.

3.7 HVAC&R SYSTEMS, SUBSYSTEMS, AND EQUIPMENT TESTING PROCEDURES

- A. Equipment Testing and Acceptance Procedures: Testing requirements are specified in individual Division 23 sections. Provide submittals, test data, inspector record, and certifications to the CxA.

- B. HVAC&R Instrumentation and Control System Testing: Field testing plans and testing requirements are specified in Section 230913 "Instrumentation and Control for HVAC Equipment" and Section 230993.11 "Sequence of Operations for HVAC Direct Digital Control." Assist the CxA with preparation of testing plans.
 - C. Pipe system cleaning, flushing, hydrostatic tests, and chemical treatment: Test requirements are specified in Division 23 piping Sections. HVAC&R subcontractor shall prepare a pipe system cleaning, flushing, and hydrostatic testing plan. Provide cleaning, flushing, testing, and treating plan and final reports to the CxA. Plan shall include the following:
 - 1. Sequence of testing and testing procedures for each section of pipe to be tested, identified by pipe zone or sector identification marker. Markers shall be keyed to Drawings for each pipe sector, showing the physical location of each designated pipe test section. Drawings keyed to pipe zones or sectors shall be formatted to allow each section of piping to be physically located and identified when referred to in pipe system cleaning, flushing, hydrostatic testing, and chemical treatment plan.
 - 2. Description of equipment for flushing operations.
 - 3. Minimum flushing water velocity.
 - 4. Tracking checklist for managing and ensuring that all pipe sections have been cleaned, flushed, hydrostatically tested, and chemically treated.
 - D. Refrigeration System Testing: Provide technicians, instrumentation, tools, and equipment to test performance of chillers, cooling towers, refrigerant compressors and condensers, heat pumps, and other refrigeration systems. The CxA shall determine the sequence of testing and testing procedures for each equipment item and pipe section to be tested.
 - E. HVAC&R Distribution System Testing: Provide technicians, instrumentation, tools, and equipment to test performance of air, steam, and hydronic distribution systems; special exhaust; and other distribution systems, including HVAC&R terminal equipment and unitary equipment.
 - F. Vibration and Sound Tests: Provide technicians, instrumentation, tools, and equipment to test performance of vibration isolation and seismic controls.
 - G. The work included in the commissioning process involves a complete and thorough evaluation of the operation and performance of all components, systems and sub-systems. Commissioning shall be performed on equipment and systems including but not limited to the following:
 - 1. Energy Recovery Unit
 - 2. VRF Split System
 - 3. Transfer/Exhaust fans
 - 4. Cabinet Unit Heaters
 - 5. Electric Duct Heaters
- 3.8 DEFICIENCIES/NON-CONFORMANCE, FAILURE DUE TO MANUFACTURER DEFECT
- A. Deficiencies/Non-Conformance

1. The CxA will record the results of the functional test on the test form. All deficiencies or non-conformance items shall be noted and reported to the Commissioner and the Contractor on a standardized form.
2. The Contractor shall respond to new deficiencies within five (5) business days. The response shall either indicate the issue will be corrected with anticipated date of completion indicated or the response should clearly indicate why the Contractor disputes the claim while referencing the Contract Documents in dispute or request further information to clarify the concern.
3. Corrections of minor deficiencies identified may be made during the tests at the discretion of the CxA.
4. Every effort will be made to expedite the testing process and minimize unnecessary delays, while not compromising the integrity of the procedures.
5. As tests progress and a deficiency is identified, the CxA discusses the issue with the Contractor.
6. When there is no dispute on the deficiency and the Contractor accepts responsibility to correct it, the CxA documents the deficiency and the Contractor's response and intentions or corrections. The CxA and Contractor then proceed to another test or sequence. Once the Contractor corrects the deficiency, the test is rescheduled and repeated in the anticipation of correct operation or function.
7. When there is a dispute about a deficiency, regarding whether it is a deficiency or who is responsible, the CxA documents the deficiency and the Contractor's response. The deficiency is then forwarded to parties assumed to be responsible for the deficiency. Resolutions are made at the lowest management level possible. Other parties are brought into the discussion as needed. Final interpretive authority is with the Commissioner. Final acceptance authority is with the Commissioner and CxA. The CxA will then document the resolution process. Once the interpretation and resolution have been decided, the appropriate party corrects the deficiency. The CxA then reschedules the test as stated in the section above.
8. Deficiencies that are not corrected at the time of documentation, shall be completed by the affected Contractor and photo evidence of the deficiency resolution shall be sent to both the Commissioner and the CxA

B. Failure due to Manufacturer Defect

1. If 10% or three, whichever is greater, of identical pieces (size alone does not constitute a difference) of equipment fail to perform to the Contract (mechanically or substantively) due to manufacturing defect, not allowing it to meet its submitted performance spec, all identical units may be considered unacceptable by the CxA and the Commissioner. In such case, the Contractor shall provide the Commissioner with the following:
 - a. Within one week of notification from the Contractor the manufacturer's representative shall examine all other identical units making a record of the findings. The findings shall be provided to the Commissioner within two weeks of the original notice.

- b. Within two weeks of the original notification, the Contractor or manufacturer shall provide a signed and dated, written explanation of the problem, cause of failures, etc. and all proposed solutions which shall include full equipment submittals. The proposed solutions shall not significantly exceed the specification requirements of the original installation.
- c. Commissioner will determine whether a replacement of all identical units or a repair is acceptable.
- d. Two examples of the proposed solution will be installed by the Contractor and the Contractor will be allowed to test the installations for up to one week, upon which the Commissioner will decide whether to accept the solution.
- e. Upon acceptance, the Contractor and/or manufacturer shall replace or repair all identical items, at their expense and extend the warranty accordingly, if the original equipment warranty had begun. The replacement/repair work shall proceed with reasonable speed beginning within one week from when parts can be obtained.

3.9 APPROVAL

- A. The CxA notes each satisfactorily demonstrated function on the test form. Formal approval of the functional test is made later after review by the CxA. The CxA recommends acceptance of each test to the Commissioner using a standard form.

3.10 SEASONAL TESTING

- A. Seasonal Testing - During the warranty period, seasonal testing (tests delayed until weather conditions are closer to the system's design) shall be completed as part of this contract. The CxA shall coordinate this activity. Tests will be executed, documented and deficiencies corrected by the Contractor, with facilities staff and the CxA witnessing. Any final adjustments to the O&M manuals and record documents due to seasonal testing will be made by the Contractor.

3.11 OPERATION AND MAINTENANCE MANUALS

- A. The Operation and Maintenance Manuals shall conform to Contract requirements as stated in the DDC General Conditions Sections 017839 "Contract Record Documents" and 019113 "General Commissioning Requirements for MEP Systems."
- B. The specific content and format requirements for the standard O&M manuals are detailed in the DDC General Conditions 017839 "Contract Record Documents" and 019113 "General Commissioning Requirements for MEP Systems." Special requirements for the controls Contractor and TAB Contractor are found in Division 23.
- C. CxA Review and Approval - Prior to substantial completion, the CxA shall review the O&M manuals, documentation and record documents for systems that were commissioned to verify compliance with the Specifications. The CxA will communicate deficiencies in the manuals to the Contractor, or Commissioner, as requested. Upon a successful review of the corrections, the CxA recommends approval and acceptance of these sections of the O&M manuals to the Commissioner. The CxA also reviews each equipment warranty and verifies that all requirements to keep the warranty valid are clearly stated.

3.12 INSTRUCTION OF CITY OF NEW YORK PERSONNEL

- A. The Contractor shall be responsible for instruction coordination, scheduling, and ultimately for ensuring that instruction is completed.
- B. The CxA shall oversee the instruction of the City of New York's personnel for commissioned equipment and systems.
 - 1. The CxA shall interview the City of New York's personnel to determine the special needs and areas where instruction will be most valuable. The Commissioner and CxA shall decide how rigorous the instruction should be for each piece of commissioned equipment. The CxA shall communicate the results to the Contractor who will ensure participation by the subcontractors.
 - 2. In addition to these general requirements, the specific instruction requirements of the City of New York's personnel by the Contractor are specified in the DDC's General Conditions Section 017900 "Demonstration and Owners' Pre-Acceptance Orientation."
 - 3. The Contractor shall ensure that each subcontractor and vendor responsible for instruction will submit a written instruction plan to the Contractor for review and approval prior to instruction. The Contractor will submit one comprehensive instruction plan to the CxA and the Commissioner.
 - 4. The plan will be reviewed by the CxA and the Commissioner. Comments pertaining to its deficiencies will be forwarded to the Contractor. The instruction plan will be rewritten until approved by the CxA and the Commissioner. The final approved instruction plan will cover the following elements:
 - a. Equipment (included in instruction).
 - b. Intended audience.
 - c. Location of instruction.
 - d. Objectives.
 - e. Subjects covered (description, duration of discussion, special methods, etc.)
 - f. Duration of instruction on each subject.
 - g. Qualified instructor for each subject .
 - h. Instructor qualifications.
 - i. Methods (classroom lecture, video, site walk-through, actual operational demonstrations, written handouts, etc.)
 - 5. For the primary HVAC equipment, the Contractor shall ensure that the controls subcontractor provide a discussion of the control of the equipment during the mechanical or electrical instruction conducted by each subcontractor or vendor.
 - 6. Instruction documentation shall include the following items:
 - a. Copy of the instruction plan, including schedule, syllabus, and agenda.
 - b. Copy of the City of New York's Project Requirements.
 - c. Copy of the Basis of Design.
 - d. Compiled operations manuals.
 - e. Compiled maintenance manuals.

- f. Completed manufacturer instruction manuals.
 - g. Red-lined drawings.
7. The CxA develops criteria for determining that the instruction was satisfactorily completed, including attending the instruction, etc. The CxA recommends approval of the instruction to the Commissioner using a standard form. The Commissioner signs the approval form/letter template.
8. At one of the instruction sessions, the CxA presents a presentation discussing the use of the blank functional test forms for re-commissioning equipment.
9. Video recording of the instruction sessions will be verified by the CxA in electrical format, at the discretion of the Commissioner.

END OF SECTION 230800



SECTION 23 09 13 - INSTRUMENTATION AND CONTROL FOR HVAC EQUIPMENT

PART 1 - GENERAL

1.1 RELATED REQUIREMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. This Section details the requirements of the projects Building Management System (BMS), utilizing Direct Digital Controls based control equipment for HVAC systems and components, including control components for units not supplied with factory provided controls.
- B. Related Sections:
 - 1. Section 230923 "Direct Digital Control System for HVAC."
 - 2. Section 230993.11 "Sequence of Operation for HVAC Direct Digital Control."
- C. Products not supplied or installed, but integrated with the work of this section:
 - 1. Energy Recovery Ventilator (ERV)
 - 2. Electric Duct Heater
 - 3. VRF/VRV System
- D. Project Document Review: The BMS subcontractor to be familiar with the terms of the documents and any sections hereinafter referred to that affect this work. If the BMS subcontractor believes there are conflicts or missing information in the project documents, the BMS subcontractor must promptly request clarification and instruction from the Commissioner.

1.3 BUILDING MANAGEMENT SYSTEM DESCRIPTION:

- A. This section contains the design intent and functionality of the Building Management System (BMS). The Building Management System must be based on the BMS manufacturer's platform which is designed to work with the BMS product specified in Part 2. It must provide the Direct Digital Control, for the heating and cooling air conditioning system. The BMS must interface with other building microprocessor-based subsystems as shown on the project documents, points lists, drawings and as described in these specifications. This scope of work must include a complete and working system including all engineering, programming, controls and installation materials, installation labor, commissioning and start-up, training, final project documentation and warranty. Each Supervisory Network Controller and Direct Digital Controls controller must be peer-to-peer BACnet Open Systems protocol communication and must communicate via the campus Wide Area Network (WAN) using BACnet open protocol. All monitored and controlled point information and calculations must be accessible via any connected Web Browser.



- B. Project Specific requirements:
1. All devices on site report these points back to the QPL central station using MS/TP through the BMS manufacturer's interface.
 2. Values must be provided in the units designated.
 3. Because the VRF systems require the use of manufacturer's controllers, the BACnet interface varies in capability between manufacturers. As such the list includes columns indicating required points by manufacturer.
 4. Provide to QPL a laptop. The VRF/ERV manufacturer must provide the manufacturer's software, cables and any other IT equipment needed for independent connection with VRF/ERV unit controllers.
 5. Building Management System must allow for all setpoints to have reset/override capability from the BMS. The Building Management System must send an alarm text message to QPL through BMS manufacturer's platform when there is a deviation from temperature setpoint.
- C. Project Drawings and Specifications: The project BMS diagrams and drawings are diagrammatic only and must not be utilized for installation configuration or mounting.
- D. Conflict Of Codes: If any codes conflict, the most restrictive applies. Nothing in this specification or related documentation must be construed to permit work not conforming to the New York City Construction Codes.
- E. Design Scope Intent: The intent of this specification Section is to provide the following features, functionality and interface services:
1. Open System Platform: The BMS subcontractor must furnish and install a complete Building Management System, Platform, and an Automatic Temperature Control System including all necessary hardware, all operating and applications software necessary to perform the control sequences as called for in Section 230993.11 "Sequence of Operation for HVAC Direct Digital Control", BMS Diagrammatic Details, as shown on the project drawings. All programming must utilize the manufacturer's embedded software package and communicate peer-to-peer via the ASHRAE 135 BACnet communications protocol.
 2. Peer To Peer Communications: The Building Management System (BMS) architecture must fully support a multi-vendor environment and be able to integrate third party systems via vendor protocols including, as a minimum, BACnet MS/TP, BACnet IP, LonTalk and MODBUS RTU.
 3. Web-Based Graphical Interface: The City of New York to provide any Thin Client Web Stations (TCWS). The Operator must be able manage the manufacturer's Building Management System through a connected Thin Client Web Station's web-browser. Provide 3 dimensional web graphics. Including all floor plans, new mechanical systems, boilers, pumps, fans, unit ventilators, roof top units, coils, etc. Copies of the web graphics must be retained in the BMS Archival Data Server.
 4. Local And Remote Connectivity: The Building Management System must provide the City of New York with a secure local access must be through an internal TCP/IP network connection. Secure remote access through a City of New York-provided TCP/IP network connection service. Alarm notification to designated stations and personnel through the TCP/IP network connection.
 5. Information Technology (It) Compliance and Control Access: The BMS must be designed for use with the City of New York's current enterprise level IT system. The BMS TCP/IP devices located in IT rooms must be fully IT compatible devices that communicate directly on the IT infrastructure in the facility.
 6. Embedded Software: All controllers furnished by the BMS subcontractor must be programmable directly from any platform embedded toolset. The City of New York must have full licensing and full access rights for all network management, server, engineering and programming software required for



- the ongoing operation of the BMS and must receive all Administrator level logins and passwords. Systems that do not fully utilize and conform to the BMS platform are not acceptable.
7. Equipment Manufacture Systems: Fully integrate the unit manufacturer HVAC controls systems into the Building Management System via an Open communications protocol.
 8. BMS Components: The Building Management System must be comprised of the following components:
 - a. (1) Thin- Client Work Stations (TCWS)
 - b. (1) Portable Operator Terminals (POT)
 - c. (1) Supervisory Network Controller (SNC)
 - d. Uninterruptable Power Supplies (UPS) - number as required for system operation
 - e. Network Routers, bridges, switches, hubs, interfaces, and the like equipment - number as required for system operation
 - f. (1) Web-Browser Graphics User Interface (GUI)
 - g. System Software Configuration Tools (SCT).
 - h. System Software with Embedded Graphic, Scheduling and Alarm Management
 - i. BACnet - Building Level Controller (B-BC) and I/O devices
 - j. BACnet Application Specific Controllers (B-ASC) and I/O devices
 - k. BACnet MS/TP Communications Network
 - l. Additional enclosures and Ancillary devices required to perform the sequences and interface as detailed in this section and 23 09 93.11 "Sequence of Operation for HVAC Direct Digital Control Systems" and BMS Diagrammatic Details, as shown on the project drawings

F. Network Communications:

1. Building Network Controllers: Building Controllers must be provided for HVAC equipment and must be networked together using CAT-6 Ethernet cable. Provide 1 Gigabit communication between BMS servers and clients. Provide 100 Megabit Peer-to-Peer communications among building controllers responsible for HVAC equipment Control.
2. Advanced Application Controllers: Each of the following HVAC equipment must be controlled by an individual BTL listed Advance Application Building Controller.
3. Application Specific Controllers: Application specific controllers must communicate across a floor level BACnet MS/TP communications network.
4. Integration Communications: Provide hardware, software, and wiring to provide communication interfaces with each of the systems listed below, at location described in the project plans.
5. Building Management System Design: The design must be by the subcontractor's in-house staff. All material and equipment must be standard components, regularly manufactured for this and/or other systems and not custom designed specifically for this project. All devices and components must have been thoroughly tested and proven in actual use for at least two years prior to this project. The system must be scalable in nature and must permit expansion of both capacity and functionality through the addition of sensors, actuators, Direct Digital Controls Controllers, and operator interface devices.
6. Peer-To-Peer: Controllers must be able to access any data from, or send to control commands and alarm reports to, any other Controller or combination of controllers on the network without dependence upon a central or intermediate processing device. Direct Digital Controls Controllers must also be able to send alarm reports to multiple operator workstations without dependence upon a central or intermediate processing device.
7. Spare Capacity: All controllers must be installed with 20% spare points (of each type) and 50% spare memory capacity.



- G. **Building Management System (BMS) subcontractor's Responsibility:** The BMS subcontractor must provide a complete, neat and workmanlike installation using only directly employed who are skilled, experienced, trained, and familiar with the specific equipment, software, standards and configurations to be provided for this Project. The BMS subcontractor must manage and coordinate the BMS work in a timely manner in consideration of the Project schedules and must coordinate with the associated work of other trades so as to not impede or delay the work of associated trades. The BMS subcontractor must provide a complete and operating Building Management System. The BMS subcontractor must provision all labor, materials, tools, equipment, software, software licenses, software configurations and database entries, interfaces, wiring, tubing, installation, labeling, engineering, calibration, documentation, samples, submittals, testing, commissioning, training services, permits and licenses, transportation, shipping, handling, administration, supervision, management, insurance, temporary protection, cleaning, cutting and patching, warranties, services, and items, even though these may not be specifically mentioned in this section which are required for the complete, fully functional Building Management System.
- H. **Project Conditions:** Coordinate the Work of this section with that of other sections to ensure that the Work is carried out in an orderly fashion. Check the Project and Contract Documents for possible conflicts between this Work and that of equipment location, pipe, duct and conduit runs, electrical outlets and fixtures, air diffusers and structural and architectural features. The BMS subcontractor must repair any damage caused by this work to a building(s) and equipment at no additional cost to the City of New York.
- I. **Component Installation:** Coordinate location of thermostats, humidistats, and other exposed control sensors with City of New York, plans and room details before installation.
- J. **Correction Of Work:** Promptly correct all BMS work found to be defective or failing to conform to the Contract Documents at no additional cost.

1.4 PERFORMANCE STANDARDS

- A. **Standard Material/Products:** All material and equipment used must be standard components, regularly manufactured and available, and not custom designed especially for this project
- B. **Open Protocol Building Management System Communications (Open System):** The Building Management System (BMS) must utilize the ASHRAE-135 BACnet Open protocol technology throughout the BMS network Hierarchy. The entire Building Management System must be in compliance with the ANSI/ASHRAE 135 BACnet standard. The Building Management System (BMS) must use BACnet protocols and LAN types throughout and exclusively. Non-BACnet compliant or propriety equipment or systems (including gateways) must not be acceptable and are specifically prohibited. The Building Management System (BMS) must conform to the industry network standards utilizing TCP/IP connections
- C. **Web Based Direct Digital Controls (Open Source):** The Building Management System (BMS) to consist of BACnet Direct Digital Controllers. All of the BACnet Direct Digital Controls controllers must be integrated into a distributed network system communicating over a single communications network. All web graphics are to be generated for interface with a standard web browser. The Operator must interface with the Building Management System from any standard browser over a TCP/IP connection, including over the Internet. All programming and system software must be licensed to the City of New York with full password capability and access. Under no circumstances can any part of the Building Management System or software be licensed or controlled by the BMS subcontractor. Upon completion of the project, the BMS subcontractor must provide to the City of New York the entire programming software including original installation discs.



- D. **Web Based Customized 3-Dimensional Color Graphics:** The Building Management System to be tested using manufacturer's recommended hardware and software for Operator Workstation (Web Server and web browser). All Web Based Graphics must be generated on the workstation for use over the Web. The web browser must depict the equipment's animated graphics, scheduler graphics, alarm screens, point and click setpoint adjustments, point and click alarm acknowledgements and resets and other graphics displays required by Operator. The Building Management System (BMS) System architecture to provide secure Web access using any of the current versions of Open web browsers from any computer on the City of New York's LAN, including Microsoft Internet Explorer, Mozilla Firefox or Google Chrome. The BMS connected equipment to be monitored, controlled and scheduled from web client computers onsite and remote sites. The units to be dynamically depicted in 3 dimension aspect, with animated components in real time. All BMS interface points must be on standard PC web browsers that do not require any special software from the BMS manufacturer for use as a GUI.
- E. **Web Graphic Display:** The Graphics screens may have up to 20 dynamic points with current data, display this data within 10 seconds. The Graphic screens to automatically refresh every 15 seconds.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."
- B. Submittals must be in defined packages. Each package must be complete and to only reference itself and previously submitted packages. The packages to be reviewed by the Commissioner for contract compliance.
- C. **Submission Period:** Submit a list of projected dates of required submittals within 30 days of contract award.
- D. **Submittal Approval.** Submittals must be approved by the Commissioner before any equipment is installed.
- E. **Deviations:** Any deviations from these specifications or the work indicated on the drawings must be clearly identified in the Submittals.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. **Single Source Responsibility of System Performance:** A single Building Management System subcontractor (BMS subcontractor) must be responsible for the complete installation and proper operation of the control system. This subcontractor must exclusively be in the regular and customary business of designing, installing, and servicing computerized Building Management Systems, similar in size and complexity to the BMS specified.
- C. **Building Management System (BMS) Subcontractor Qualifications:** The BMS subcontractor is to be fully certified, factory authorized and licensed by the Building Management Systems (BMS) manufacturer. The BMS subcontractor must have full time technicians with certification from the BMS manufacturer, and must have the following responsibilities and qualifications:
 - 1. **Complexity And Project Magnitude:** The BMS subcontractor must be responsible for the complete installation and proper operation of the control system. The BMS subcontractor must exclusively be in



- the regular and customary business of design, installation, and service of computerized building management systems similar in size and complexity to the system specified.
2. Control System Expertise: The BMS subcontractor must be regularly engaged in the engineering, programming, installation, and service of total integrated Building Management Systems. The BMS subcontractor must be dedicated solely to that of "Automation Temperature Controls & Building Management Systems." Subcontractors whose discipline does not concentrate in these categories are not acceptable.

1.7 WARRANTY

- A. Manufacturer's Special Warranty: Manufacturer agrees to repair or replace all components of the Building Management System (BMS) including defects in operation, workmanship, or materials. The manufacturer will maintain an adequate supply of repair devices and support within proximity of the Project site such as replacement of key parts and labor support, including programming.
 1. Warranty Period: One year from date of Substantial Completion.
- B. The City of New York to grant the BMS subcontractor access to the BMS during this period. Remote access to the BMS (for the purpose of diagnostics and troubleshooting, via the Internet, during this period) will be allowed.

1.8 PROJECT MATERIAL

- A. Programming And Software: The City of New York shall have ownership and full access rights for all network management, operating system server, engineering and programming software required for the ongoing maintenance and operation of the BMS. Project specific software and documentation shall become City of New York's property.

PART 2 - PRODUCTS

2.1 BUILDING MANAGEMENT SYSTEM MANUFACTURERS

- A. Sole Source Product: Provide Honeywell Controls- WEBs-N4 - Certified as ACI-DIRECT, with WEBs8000 Controller and utilizing Honeywell's Niagara 4 platform.
 1. No substitutions permitted.

2.2 SYSTEM DESCRIPTION

- A. Overview: A new Building Management System as specified in this document. The equipment must be Web-based, utilizing the BMS systems manufacturers' Platform.



2.3 BUILDING MANAGEMENT SYSTEM NETWORK ARCHITECTURE

- A. The Building Management System must be modular in nature and must permit expansion of both capacity and functionality through the addition of sensors, actuators, controllers, and operator devices. The Building Management System's architectural design must eliminate dependence upon any single device for alarm reporting and control execution. The failure of any single component or network connection must not interrupt the execution of control strategies at other operational devices. The Building Management System to consist of the following:
1. System Network Controllers(s)(SNC).
 2. Local Display Device(s)(LCD).
 3. Building Controller(s)(B-BC).
 4. Network communications equipment.
 5. Other components required for a complete and working BMS.

2.4 INTEGRATED, AND INTEROPERABLE.

- A. Overview: The intent is to provide a Building Management System (BMS) utilizing distributed web based direct digital controls which communicate over a BACnet communications network.
- B. Network Communications Protocol: Network communication protocol(s) used throughout entire Direct Digital Controls system must be open and available to other companies for use in making future modifications to Direct Digital Controls system. The Honeywell WEBs-N4 Direct Digital Controls Controllers must reside on the BACnet communications sub-network.
- C. Hierarchical Network Topology: A hierarchical network topology is required to assure reasonable system response times and to manage the flow and sharing of data, without unduly burdening the City of New York's internal Intranet network. Building Management Systems that employ a "flat" single tiered architecture is not acceptable.
- D. Peer To Peer Direct Digital Controls Controllers: All Direct Digital Controls controllers supplied under this contract must be true "peer to peer" communicating devices. Direct Digital Controls controllers requiring "polling" by a host to pass data is not acceptable.

2.5 DEVICES AND COMPONENTS

- A. Supervisory Network Controller (SNC): The Supervisory Network Building Controllers (SNC) are designed to manage communications between the Application Specific Controllers (ASC) and Advanced Application Controllers (AAC) which are connected to its communications trunks. The SNC to manage the communications between itself and other Supervisory Network Controllers (SNC) and with any networked connected stations that are part of the BMS. The SNC must perform control and operating strategies for the various HVAC and integrated systems controlled utilizing information from any of the distributed Direct Digital Controls controllers connected to the BMS. The SNC must be fully programmable to meet the unique requirements of the facility. The communication protocols utilized for peer to peer communications between SNC's to be BACnet TCP/IP, SNMP, and Niagara 4 Fox. The SNC must provide expansion of device capacity licensing that supports expansion of the Building Management System. The SNC to have embedded "Setup Wizards" controller programming software. The SNC must provide the following hardware features



as a minimum: The SNC must support standard Web browser access via the LAN/WAN network, supporting a minimum of 16 simultaneous users.

1. Two 10/100 Mbps Ethernet ports & Two Isolated RS-485 ports with biasing switches.
2. One USB Flash Drive, 1 GB RAM, 2 GB User Storage, 4 GB Flash Total Storage
3. MicroSD Memory Card with Encrypted Safe Boot Technology
4. High Speed Field Bus Expansion and Integrated 24 VAC/DC Power Supply

B. BACnet - Building Controller (B-BC): Programming Requirements: B-BC The controller must have standard and custom HVAC programs which must be modifiable to provide the Specification Section 230993.11 "Sequence of operations for HVAC Direct Digital Control".

1. The controller must maintain the Native Graphical Programming Language and graphics, fully programmable and configurable from any of the manufacturer's framework platform, not requiring any external configuration or programming tool. The Native programming software and "Setup Wizard" must be embedded within the controller. The controller must allow downloads and uploads from any of the manufacturer's framework platform, including providing offline program simulation.
2. The controller must be part of a networked system or allow for standalone operation. The controller must provide continuous Adaptive Integral Algorithm Control Loop tuning. The controller must have a loop execution response time of 1 second. The controller must have a software notifications, when connected to a network.
3. The controller must have two microprocessors, Host and Comm. The Host processor must provide FLASH memory for HVAC application programming, with RAM memory for HVAC program execution and data storage. The FLASH memory must be retained for a minimum of ten years, a battery for memory retainage must not be acceptable. The Comm processor must manage the BACnet MS/TP network communications.
4. The controller must have an internal Real Time Clock (RTC). The RTC must allow for stand-alone operation or reference if Network Master Time clock communication function is interrupted. The RTC must provide 24 hours, 365 days, multi-year calendar, day of week, including automatic daylight savings, configured for start and stop dates scheduling. The RTC must have a time accuracy of plus or minus 1 minute per month at 77 degrees F and must maintain its settings upon a loss of device power for a minimum of 24 hours at 32 to 122 degrees F.
5. The controller must have Digital Inputs (DI), Digital Outputs (DO), Analog Outputs (AO), Universal Inputs (UI), Internal Velocity Pressure sensor, and damper actuator (optional), as required to meet the Sequence of operations. The Universal inputs (UI) must be configurable as binary N.O./N.C. inputs, analog resistive inputs (0 to 10K or 20K ohm NTC), analog voltage inputs (0 to 10 VDC), or analog current inputs (4 to 20 mA). The Digital Inputs (DI) must be configurable as N.O., N.C or 15 Hz pulse counters. The Analog outputs (AO) must be configurable as 0 or 2 to 10 Vdc or as 4 to 20 mA. The Digital Outputs (DO) must be configurable as N.O. or N.C. and rated to switch 30 Vac/Vdc at 500 mA, or Relay outputs, (RO) rated for 1 Amp.

C. Thin Client Web Stations (TCWS): A current released open browser (Explorer/Firefox/Chrome) must only be required as the GUI and a valid connection to the server network. No installation of any custom or special software must be required to be installed to access the BMS via a web browser. Local and Remote interface must from a Web Browser and the Building Management System must be over a TCP/IP LAN or WAN connection, and offer encryption using 128 bit encryption within Secure Socket Layers (SSL). Communication protocol must be Hyper Text Transfer Protocol (HTTP).



- D. Router And Switches (100/1000 MBPS): The BMS network router/switches must connect directly to the architecture using standard TCP/IP protocol at a minimum of 100 mbps and must provide communication connections between individual SNCs, Servers, OWS and other TCP/IP networked devices.
- E. Room Temperature Sensors (TS-W): Room Temperature sensors with LCD must be provided in all areas. Where conditions require a blank wall plate, a metal ventilated plate cover must be provided. Room Temperature sensors must have a decorative cover, providing for surface or wall box mounting. Room Temperature sensors must have a range of 30 to 90 degrees F, with accuracy of plus or minus 0.5 degrees F.
- F. Outside Air Temperature Sensors (TS-O): Outdoor Air Temperature Transmitter must contain a 20K ohm thermistor temperature sensors with an accuracy of plus or minus ; 0.5 degrees F mounted in an enclosure rated for outdoor use. A solar shield must be provided for each sensor. Sensors exposed to wind velocity pressures must be shielded by a perforated plate that surrounds the sensor element. These devices must have accuracy of plus or minus 0.5 degrees F over the entire range.
- G. Duct Mounted Temperature Sensors (TS-D): Mount sensors in an electrical box through a hole in the duct position for easy access. Duct sensors must be insertion type and constructed as a complete assembly, including lock nut and mounting plate. Duct Type Temperature sensor must be 20K ohm thermistor temperature sensors with an accuracy of plus or minus ; 0.5 degrees F, moisture resistant for mounting into a duct. Duct mounted sensors must have an insertion measuring probe of a length appropriate for the duct size, with a temperature range of minus 40 to 160 degrees F. Duct sensors must be rigid or averaging as shown on the project BMS diagrams. Averaging sensors must be a minimum of 5 feet in length. For all mixed air and preheat air applications, install bendable averaging duct sensors with a minimum 8 feet long sensor element. The operating range must be as indicated with an accuracy of plus 1 percent over the full range. The output must be compatible with the panel it serves.
- H. Averaging Temperature Sensors (TS-A): For ductwork greater in any dimension that 48 inches and/or where air temperature stratification exists, an averaging sensor with multiple sensing points must be used. For plenum applications, such as mixed air temperature measurements, a string of sensors mounted across the plenum must be used to account for stratification and/or air turbulence. The averaging string must have a minimum of 4 sensing points per 12 foot long segment. Capillary supports at the sides of the duct must be provided to support the sensing string.
- I. Relative Humidity Sensors (RH): Operating range must be 20 to 100 percent RH and 32 to 140 degrees F. Sensors must be selected for wall, duct, or outdoor type installation as appropriate. The duct humidity transmitter sensors must be provided with a sampling chamber. Sensor must be capable of providing continuous measurement of percent relative humidity with an accuracy of plus 2 percent over the range 20 to 90 percent RH. The sensor must be either loop or external 12 to 30 VDC powered. The sensor must provide an output that is proportional (0 to 10 VDC or 4 to 20 Ma) within the linear range. Duct type sensing probes must be constructed of 304 stainless steel, and must be equipped with a neoprene grommet, bushings, and a mounting bracket
- J. Air Filter Status Switches (DPS): Automatic reset type with Snap acting SPDT contacts rated for with 2 amps at 120 VAC pilot duty rating and with suitable scale range and differential adjustment for intended service. An installation kit must be provided, including static pressure tops and tubing.
- K. CO2 Transmitter (CO2): Duct Carbon Dioxide Sensors must utilize Non-dispersive infrared technology (N.D.I.R.).



- L. **Current Switches (CS):** Current operated switches must be self-powered, solid state with an adjustable trip current. The switches must be selected by the BMS subcontractor to match the application and output requirements of the Direct Digital Controls system. The current sensing switch must be self-powered with solid state circuitry and a dry contact output. It must consist of a current transformer, a solid state current sensing circuit, adjustable trip point, solid state switch, SPDT relay, and an LED indicating the on or off status. A conductor of the load must be passed through the window of the device. It must accept over current up to twice its trip point range. Approved applications of current sensing switches include monitoring of run status for fans, pumps, and other miscellaneous motor loads. The Current sensing switches must be calibrated to show a positive run status only when the motor is operating under load. A motor running with a broken belt or coupling must indicate a negative run status.
- M. **Pilot Control Relays (R):** Pilot Control relays must provide either momentary or maintained switching action as appropriate for the application. Relay contact configuration, amp, voltage and coil ratings must be suitable for application. Remotely mounted pilot control relays (outside of the panel) must be enclosed in a NEMA enclosure suitable for the location. RIB style relays must be acceptable for remote control. All control relays must be labeled with UR symbol and UL listed.
- N. **Control Damper Actuators (M):** The control damper actuator must be sized for sufficient force to operate the damper under normal conditions and sized for torque required to guarantee tight close off of dampers, as specified. Two position control damper actuators must provide auxiliary end-switch. Control damper actuators must be electronic, 24 VAC or 120 VAC, as selected by the subcontractor and have internal electronic overload protection or digital rotation sensing circuitry. Modulating control damper actuators must be controlled from a 2 to 10 VDC or 4 to 20 mA. VAV control damper actuators must be "drive open; drive closed" type. Control damper actuators must be selected for specified control (modulating or two position) and Fail Safe position, spring return mechanism, as specified. Control damper actuator coupling must be by a V bolt and V shaped, toothed cradle designed for minimum 60,000 full stroke cycles at rated torque. The control damper actuator run time for a commanded full stroke operation to not exceed 120 seconds, if the actuator is spring returned, a return to the failsafe position must take no longer than 10 seconds closed. Provide external, manual gear release on non-spring return actuators.
- O. **Control Power Transformers (XT):** Control power transformers must be UL listed, Class 2 current limiting type, or must be furnished with over current protection with primary and secondary circuits for Class 2 service. Unit power output must match the required output current and voltage requirements. Current output must allow for a 50 percent safety factor. Output ripple must be 3.0 mV maximum Peak to Peak. Regulation must be 0 to 10 percent line and load combined, with 50 microsecond response time for 50 percent load changes. Unit must have built in over voltage protection. Unit must operate between 32 to 120 degrees F. Unit must be UL recognized.
- P. **Local Control Enclosures and Panels:** All indoor control cabinets must be fully enclosed NEMA 1 construction with (hinged door) key lock latch and removable sub panels. A single key must be common to all field panels and sub panels. The enclosure must be of steel construction with baked enamel finish, NEMA 1 rated with a hinged door and keyed lock. The enclosure must be sized for twenty percent spare mounting space. All locks must be keyed identically.
- Q. **BMS Control Cabling:** Provide copper wiring, plenum cable, and raceways as specified unless otherwise noted. All insulated wire to be copper conductors, UL labeled for 90 degrees C minimum service.
- R. **NEC Compliance:** All wiring must be installed in accordance with all applicable electrical codes and must comply with equipment manufacturer's recommendations. Should any discrepancy be found between wiring specifications in this section and Electrical sections, the stricter wiring requirements must prevail.



PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 SYSTEM TESTING AND ACCEPTANCE

- A. Setup: Upon completion of the control device installation, load all system software and startup the system. Perform all necessary calibration, testing and de-bugging and perform all required operational checks to ensure that the system is functioning in full accordance with these specifications.
- B. Manufacturer's Field Service: A factory authorized & trained service technician must inspect, test, and adjust field assembled components and equipment installation, including connections, and to assist in field testing. Report results in writing.
- C. Expertise: The BMS must be set up and checked by factory trained competent technicians skilled in the setting and adjustment of the BMS/Direct Digital Controls equipment used in this project. These technicians are to be experienced in the type of HVAC systems associated with this project. The BMS subcontractor must perform tests to verify proper performance of components, routines and points. Repeat tests until proper performance results. This testing must include a point-by-point log to validate 100 percent of the input and output points of the Direct Digital Controls system operation.
- D. Point-To-Point Checkout: Each I/O device (both field mounted as well as those located in field interface panels) must be inspected and verified for proper installation and functionality. A checkout sheet itemizing each device must be filled out, dated and approved by the BMS subcontractor for submission to Commissioner.
- E. Controller And Workstation Checkout: A field checkout of all controllers and front-end equipment (computers, printers, modems) must be conducted to verify proper operation of both hardware and software. A checkout sheet itemizing each device and a description of the associated tests must be prepared and submitted to the Commissioner by the completion of the project. All approved submitted sequences must be tested by the BMS subcontractor before acceptance testing described below.
- F. Demonstration: At the completion of the Acceptance Testing, demonstrate the sequence of operations for each system to the Commissioner.
- G. BMS Acceptance: All tests described in this specification must have been performed to the satisfaction of the Commissioner prior to the acceptance of the control system as meeting the requirements of completion. Any tests that cannot be performed due to circumstances beyond the control of the subcontractor may be exempt from the completion requirements if stated as such in writing by the Commissioner. Such tests must then be performed as part of the manufacturer's warranty.
- H. Onsite System Instruction: Provide 8 hours of instruction in multiple sessions for system orientation, and programming and troubleshooting.

END OF SECTION 230913



SECTION 23 09 23 - DIRECT-DIGITAL CONTROL SYSTEM FOR HVAC

PART I - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract [City of New York Standard Construction Contract].

1.2 SUMMARY

- A. Section includes control equipment and software.
- B. Related Sections:
 - 1. Section 230993.11 "Sequence of Operations for HVAC Direct Digital Control" for sequences of operation implemented using products specified in this section.

1.3 REFERENCES

- A. American National Standards Institute:
 - 1. ANSI MC85.1 - Terminology for Automatic Control.
 - 2. ANSI/ASHRAE Standard 135 - BACNET - A Data Communication Protocol for Building Automation and Control Networks.

1.4 SYSTEM DESCRIPTION

- A. Automatic temperature controls field monitoring and control system using field programmable microprocessor based units with communications to Building Automation and Control System.
- B. Base system on distributed system of fully intelligent, stand-alone controllers, operating in a multi-tasking, multi-user environment on token passing network, with central and remote hardware, software, and interconnecting wire and conduit.
- C. Provide computer software and hardware, operator input/output devices, control units, sensors, control devices, actuators.
- D. Provide controls for variable refrigerant flow system, multiple exhaust fans, ERV with electric heaters, cabinet unit heaters, and cold condensate pumps.



- E. Provide control systems consisting of thermostats, control valves, dampers and operators, indicating devices, interface equipment and other apparatus and accessories to operate mechanical systems, and to perform functions specified.
- F. Provide installation and calibration, supervision, adjustments, and fine tuning necessary for complete and fully operational system.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 01 33 00 "Submittal Procedures".

1.6 ACTION SUBMITTALS

- A. Shop Drawings: Indicate the following:
 - 1. Trunk cable schematic showing programmable control-unit locations and trunk data conductors.
 - 2. System schematics, including sequence of operations:
 - 3. System riser diagrams.
 - 4. Connected data points, including connected control unit and input device.
 - 5. System graphics showing monitored systems, data connected and calculated point addresses, and operator notations. Submit demonstration diskette containing graphics.
 - 6. System configuration with peripheral devices, batteries, power supplies, diagrams, modems, and interconnections.
 - 7. Description and sequence of operation for operating, user, and application software.
 - 8. Use terminology in submittals conforming to ASME MC85.1.
 - 9. Coordinate submittals with information requested in Section 230993.11 "Sequence of Operations for Direct Digital Control".
 - 10. Submit BACNET system architecture drawings and BACNET compliant devices.
- B. Product Data: Submit data for each system component and software module.
- C. Manufacturer's Installation Instructions: Submit installation instruction for each control system component.
- D. Manufacturer's Certificate: Certify products meet or exceed specified requirements.

1.7 CLOSEOUT SUBMITTALS

- A. Project Record Documents: Record actual locations of control components, including control units, thermostats, and sensors.
 - 1. Revise shop drawings to reflect actual installation and operating sequences.
 - 2. Submit data specified in Article 1.6 "Action Submittals" in final "Record Documents" form.
- B. Operation and Maintenance Data:



1. Submit interconnection wiring diagrams complete field installed systems with identified and numbered system components and devices.
2. Submit keyboard illustrations and step-by-step procedures indexed for each operator function.
3. Submit inspection period, cleaning methods, cleaning materials recommended, and calibration tolerances.

1.8 QUALITY ASSURANCE

A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

B. Direct Digital Control System Manufacturer Qualifications:

1. Nationally recognized manufacturer of Direct Digital Control systems and products.
2. Having complete published catalog literature, installation, operation, and maintenance manuals for all products intended for use.
3. Having full-time in-house support staffing for the following:
 - a. Product research and development.
 - b. Product and application engineering.
 - c. Product manufacturing, testing, and quality control.
 - d. Technical support for Direct Digital Control system installation instruction, pre-commissioning, and troubleshooting of installations.

C. Direct Digital Control System Installer Qualifications:

1. An entity that employs installers properly trained by Direct Digital Control system manufacturer for installing specified system, with an in-place support facility within reasonable distance to project site, and with the following capacity:
 - a. Product parts inventory to support ongoing Direct Digital Control system operation for a period of not less than one year after Substantial Completion.

1.9 PRE-INSTALLATION MEETINGS

A. Convene minimum one (1) week prior to commencing work of this section.

1.10 FIELD MEASUREMENTS

A. Verify field measurements prior to fabrication.

1.11 WARRANTY

A. Special Warranty: Manufacturer agrees to repair or replace Direct-Digital Control products that fail in materials or workmanship within specified warranty period.



1. Adjust, repair, or replace failures in the Direct-Digital Control system.
2. Include updates or upgrades to software and firmware if necessary to resolve deficiencies.
3. Warranty Period: One year from date of Substantial Completion.

PART II - PRODUCTS

2.1 DIRECT DIGITAL CONTROLS

- A. Sole Source Product: Provide Honeywell Controls– WEBs-N4 – Certified as ACI-DIRECT, with WEBs8000 Controller.
 1. No substitutions permitted.

2.2 CONTROL UNITS

- A. Units: Modular in design and consisting of processor board with programmable RAM memory, local operator access and display panel, and integral interface equipment located in NEMA 4 enclosures.
- B. Battery Backup: For minimum of 100 hours for complete system including RAM without interruption, with automatic battery charger.
- C. Control Units Functions:
 1. Monitor or control each input/output point.
 2. Completely independent with hardware clock/calendar and software to maintain control independently.
 3. Acquire, process, and transfer information to operator station or other control units on network.
 4. Accept, process, and execute commands from other control unit's or devices or operator stations.
 5. Access both data base and control functions simultaneously.
 6. Record, evaluate, and report changes of state or value occurring among associated points. Continue to perform associated control functions regardless of status of network.
 7. Perform in stand-alone mode:
 - a. Start/stop.
 - b. Duty cycling.
 - c. Automatic Temperature Control.
 - d. Demand control via a sliding window, predictive algorithm.
 - e. Event initiated control.
 - f. Calculated point.
 - g. Scanning and alarm processing.
 - h. Full direct digital control.
 - i. Trend logging.
 - j. Global communications.
 - k. Maintenance scheduling.



- D. Global Communications:
1. Broadcast point data onto network, making information available to other system controls units.
 2. Transmit input/output points onto network for use by other control units and use data from other control units.
- E. Input/output Capability:
1. Discrete/digital input (contact status).
 2. Discrete/digital output.
 3. Analog input.
 4. Analog output.
 5. Pulse input (5 pulses/second).
 6. Pulse output (0-655 seconds in duration with 0.01-second resolution).
- F. Monitor, control, or address data points. Include analog inputs, analog outputs, pulse inputs, pulse outputs and discrete inputs/outputs. Furnish control units with minimum 30 percent spare capacity.
- G. Point Scanning: Set scan or execution speed of each point to operator selected time from 1 to 250 seconds.
- H. Points in "Test" mode.
- I. Direct-Digital Control Controller:
1. Direct-Digital Controllers shall be a 16-bit stand-alone, multi-tasking, multi-user, real-time digital control processors consisting of modular hardware with plug-in enclosed processors, communication controllers, power supplies and input/output point modules. Controller size shall be sufficient to fully meet the requirements of this specification and the attached point I/O schedule. Each controller shall support a minimum of three (3) Floor Level Application Specific Controller Device Networks.
 2. Each Direct-Digital Controller shall have sufficient memory to support its own operating system and databases, including:
 - a. Control processes
 - b. Energy management applications
 - c. Alarm management applications including custom alarm messages for each level alarm for each point in the system.
 - d. Historical/trend data for points specified
 - e. Maintenance support applications
 - f. Custom processes
 - g. Operator I/O
 - h. Dial-up communications
 - i. Manual override monitoring
 3. Each Direct-Digital Control Controller shall support firmware upgrades without the need to replace hardware.



4. Provide all processors, power supplies and communication controllers so that the implementation of a point only requires the addition of the appropriate point input/output termination module and wiring.
 5. The operator shall have the ability to manually override automatic or centrally executed commands at the Direct-Digital Control Controller via local, point discrete, on-board hand/off/auto operator override switches for digital control type points and gradual switches for analog control type points.
 - a. Switches shall be mounted either within the Direct-Digital Controllers key-accessed enclosure, or externally mounted with each switch keyed to prevent unauthorized overrides.
 - b. Direct-Digital Controllers shall monitor the status of all overrides and inform the operator that automatic control has been inhibited. Direct-Digital Controllers shall also collect override activity information for reports.
 6. Direct-Digital Controllers shall provide local LED status indication for each digital input and output for constant, up-to-date verification of all point conditions without the need for an operator I/O device. Graduated intensity LEDs or analog indication of value shall also be provided for each analog output. Status indication shall be visible without opening the panel door.
 7. Each Direct-Digital Control Controller shall continuously perform self-diagnostics, communication diagnosis and diagnosis of all panel components. The Direct-Digital Control Controller shall provide both local and remote annunciation of any detected component failures, low battery conditions or repeated failure to establish communication.
 8. In the event of the loss of normal power, there shall be an orderly shutdown of all Direct-Digital Controllers to prevent the loss of database or operating system software. Non-volatile memory shall be incorporated for all critical controller configuration data and battery backup shall be provided to support the real-time clock and all volatile memory for a minimum of 60 days.
 - a. Upon restoration of normal power, the Direct-Digital Control Controller shall automatically resume full operation without manual intervention.
 9. Provide a separate Direct-Digital Controller for each AHU and/or other HVAC systems. It is intended that each unique system be provided with its own point resident Direct-Digital Controller.
- J. HVAC Mechanical Equipment Controllers:
1. HVAC Mechanical Equipment Controllers shall be a 12-bit stand-alone, multi-tasking, multi-user, real-time digital control processors consisting of modular hardware with plug-in enclosed processors.
 2. Each HVAC Mechanical Controller shall have sufficient memory to support its own operating system and databases, including:
 - a. Control processes
 - b. Energy management applications
 - c. Alarm management applications including custom alarm messages for each level alarm for each point in the system.



- d. Historical/trend data for points specified
 - e. Maintenance support applications
 - f. Custom processes
 - g. Operator I/O
3. Each HVAC Mechanical Equipment Controller shall support firmware upgrades without the need to replace hardware.
 4. HVAC Mechanical Equipment Controllers shall provide local LED status indication for each output for constant, up-to-date verification of all point conditions without the need for an operator I/O device.
 5. Each HVAC Mechanical Equipment Controller shall continuously perform self-diagnostics, communication diagnosis and diagnosis of all components. The HVAC Mechanical Equipment Controller shall provide both local and remote annunciation of any detected component failures, low battery conditions or repeated failure to establish communication.
 6. In the event of the loss of normal power, there shall be an orderly shutdown of all HVAC Mechanical Equipment Controllers to prevent the loss of database or operating system software. Non-volatile memory shall be incorporated for all critical controller configuration data and battery backup shall be provided to support the real-time clock and all volatile memory for a minimum of 72 hours.
 - a. Upon restoration of normal power, the HVAC Mechanical Equipment Controller shall automatically resume full operation without manual intervention.

K. Floor Level Network Application Specific Controllers (ASC)

1. Each Direct-Digital Controller shall be able to extend its performance and capacity through the use of remote application specific controllers (ASCs) through Floor Level LAN Device Networks.
2. Each ASC shall operate as a stand-alone controller capable of performing its specified control responsibilities independently of other controllers in the network. Each ASC shall be a microprocessor-based, multi-tasking, real-time digital control processor. Provide the following types of ASCs as a minimum:
 - a. Central System Controllers
 - b. Terminal Equipment Controllers:
 - 1) Each ASC shall be capable of control of the terminal device independent of the manufacturer of the terminal device.
3. Central System Controllers:
 - a. Provide for control of central HVAC systems and equipment including, but not limited to, the following:
 - 1) ERV system with electric heater.
 - 2) VRF system.
 - 3) Exhaust fans.
 - 4) Condensate pumps.



5) Electric Cabinet Unit Heaters.

- b. Controllers shall include all point inputs and outputs necessary to perform the specified control sequences. Provide a hand/off/automatic switch for each digital output for manual override capability. Switches shall be mounted either within the controller's key-accessed enclosure, or externally mounted with each switch keyed to prevent unauthorized overrides. In addition, each switch position shall be supervised in order to inform the system that automatic control has been overridden.
- c. Each controller shall support its own real-time operating system. Provide a time clock with battery backup to allow for stand-alone operation in the event communication with its Direct-Digital Controller is lost and to ensure protection during power outages.
- d. All programs shall be field-customized to meet the user's exact control strategy requirements. Central System controllers utilizing pre-packaged or canned programs shall not be acceptable. As an alternative, provide Direct-Digital Controllers for all central equipment in order to meet custom control strategy requirements.
- e. Programming of central system controllers shall utilize the same language and code as used by Direct-Digital Controllers to maximize system flexibility and ease of use. Should the system controller utilize a different control language, provide an Direct-Digital Controller to meet the specified functionality.
- f. Each controller shall have connection provisions for a portable operator's terminal. This tool shall allow the user to display, generate or modify all point databases and operating programs.
- g. Provide a door-mounted interface terminal to allow for direct-user access to the controller.
 - 1) The terminal and local user display shall provide the user with the following functionality as a minimum:
 - a) View and set date and time
 - b) Modify and override time-of-day schedules
 - c) Viewpoints and alarms
 - d) Monitor points
 - e) Command and modify setpoints.

4. Terminal Equipment Controllers:

- a. Provide for control of each piece of equipment, including, but not limited to, the following:
 - 1) Variable Air Volume (VAV) boxes
 - 2) Fan-Powered Variable Air Volume (FPVAV)
 - 3) Terminal Devices
- b. Controllers shall include all point inputs and outputs necessary to perform the specified control sequences. Analog outputs shall be industry standard signals such as 24V floating control, 3-15 psi pneumatic, 0-10v, allowing for interface to a variety of modulating actuators.



- c. All controller sequences and operation shall provide closed loop control of the intended application. Closing control loops over the FLN, BLN or MLN is not acceptable.

L. Additional features

- 1. The enclosure for all controllers shall be NEMA Type 4 which shall not be mounted directly to the unit nor to the wall. The conduit entering points must be located at bottom of the enclosure.
- 2. All controllers shall have manual overrides at the panels to manually adjust the setpoints without using computer or hand-held device.

2.3 LOCAL AREA NETWORKS (LAN)

A. The design of the BAS shall network operator workstations and stand-alone Direct-Digital Controllers (SAC). The network architecture shall consist of three levels, a campus-wide (Management Level Network) Ethernet network based on TCP/IP protocol, high performance peer-to-peer building level network(s) and Direct-Digital Controller floor level local area networks with access being totally transparent to the user when accessing data or developing control programs. All controllers shall be standalone Direct-Digital (SAC) controllers. Loss of network communications shall not stop/limit the control sequences specified herein.

B. System shall have the capability to communicate with a BACnet network over Ethernet or BACnet/IP (according to Annex J). The intent is to use the system provided under this contract to communicate with control systems provided by other vendors. In order to accomplish monitoring, commanding, and alarming, the following BACnet objects and services must be supported by the system.

- 1. The following BACnet standard objects, at a minimum, must be supported by the system:
 - a. Device
 - b. Analog Input
 - c. Analog Output
 - d. Binary Input
 - e. Binary Output
 - f. Notification Class
- 2. The following BACnet services must be supported for the system to act as a BACnet server as described below:
 - a. For the system to communicate with/on a BACnet network, it must support the following:

BACnet Service	Initiate	Execute
Who-Has		X
I-Have	X	
Who-Is		X
I-Am	X	



- b. For the system to allow other BACnet devices to monitor its point values, the system must support the following:

BACnet Service	Initiate	Execute
Read Property		X

- c. For the system to allow other BACnet devices to command its point values, the system must support the following:

BACnet Service	Initiate	Execute
Write Property		X

- d. For the system to be able to send alarms to other BACnet devices and receive alarm acknowledgement, the system must support the following:

BACnet Service	Initiate	Execute
Add List Element		X
Remove List Element		X
Acknowledge Alarm		X
Get Alarm Summary		X
Confirmed or Unconfirmed Event Notification	X	

- e. If the system will be sending messages to other BACnet devices via COV, it must support the following:

BACnet Service	Initiate	Execute
Subscribe COV		X
Confirmed or Unconfirmed COV Notification	X	

3. The following BACnet services must be supported for the system to act as a BACnet client as described below:

- a. For the system to communicate with/on a BACnet network, it must support the following:

BACnet Service	Initiate	Execute
Who-Has		X
I-Have	X	
Who-Is		X
I-Am	X	



- b. For the system to be able to monitor point values from other BACnet devices, the system must support the following:

BACnet Service	Initiate	Execute
Read Property	X	

- c. For the system to be able to command point values in other BACnet devices, the system must support the following:

BACnet Service	Initiate	Execute
Write Property	X	

- d. For the system to be able to receive alarms from points in other BACnet devices, the system must support the following:

BACnet Service	Initiate	Execute
Add List Element	X	
Remove List Element	X	
Acknowledge Alarm	X	
Get Alarm Summary	X	
Confirmed or Unconfirmed Event Notification		X

- e. If the system is capable of receiving BACnet point messages via COV, it must support the following:

BACnet Service	Initiate	Execute
Subscribe COV	X	
Confirmed or Unconfirmed COV Notification		X

2.4 ADVANCED MULTI-ZONE CONTROLLER

A. Physical characteristics

- General: The advanced multi-zone controller shall be made from plastic materials with a neutral color. Each control shall have a LCD (Liquid Crystal Display) that shows On/Off, setpoint, room temperature, mode of operation (Cool/Heat/Dry/Fan/Auto), louver position, and fan speed.

B. Electrical characteristics

- General: The advanced multi-zone controller will require 24 VAC to power the controller. The advanced multi-zone controller shall supply 16 VDC to the communication bus on the F1F2 (out-out) terminal of the outdoor unit. The voltage may rise or fall in relation to the transmission packets that are sent and received.



2. Wiring: The advanced multi-zone controller communication wiring shall be terminated in a daisy chain design at the outdoor unit, which is then daisy chained to branch selector (Heat Recovery system), then daisy chained to each indoor unit in the system and terminating at the farthest indoor unit. The termination of the wiring shall be non-polar. The remote control wiring shall run from the indoor unit control terminal block to the remote controller connected with that indoor unit.
3. Wiring size: Wiring shall be non-shielded, 2-conductor sheathed vinyl cord or cable, and 18 AWG stranded copper wire.

C. VRV Controls Network

1. The VRV Controls Network is made up of local remote controllers, multi-zone controllers, advanced multi-zone controllers, and open protocol network devices that transmit information via the communication bus. The VRV Controls Network shall also have the ability to be accessed via a networked PC. The VRV Controls Network supports operation monitoring, scheduling, error e-mail distribution, general user software, tenant billing, maintenance support, and integration with Building Management Systems (BMS) using open protocol via BACnet interface to provide the optimal control strategy for the best HVAC comfort solution.

2.5 OPERATING SYSTEM SOFTWARE

A. Input/output Capability From Operator Station:

1. Request display of current values or status in tabular or graphic format.
2. Command selected equipment to specified state.
3. Initiate logs and reports.
4. Change analog limits.
5. Add, delete, or change points within each control unit or application routine.
6. Change point input/output descriptors, status, alarm descriptors, and unit descriptors.
7. Add new control units to system.
8. Modify and set up maintenance scheduling parameters.
9. Develop, modify, delete or display full range of color graphic displays.
10. Automatically archive select data even when running third party software.
11. Capability to sort and extract data from archived files and to generate custom reports.
12. Select daily, weekly or monthly as scheduled frequency to synchronize time and date in digital control units. Accommodate daylight savings time adjustments.

B. Operator System Access: Via software password with minimum 30 access levels.

C. Data Base Creation and Support: Use standard procedures for changes. Control unit automatically checks workstation data base files upon connection and verify data base match. Include the following minimum capabilities:

1. Add and delete points.
2. Modify point parameters.
3. Change, add, or delete English language descriptors.
4. Add, modify, or delete alarm limits.



5. Add, modify, or delete points in start/stop programs, trend logs, and other items.
6. Create custom relationship between points.
7. Create or modify Direct-Digital Control loops and parameters.
8. Create or modify override parameters.
9. Add, modify, and delete applications programs.
10. Add, delete, develop, or modify dynamic color graphic displays.

D. Dynamic Color Graphic Displays:

1. Utilizes custom symbols or system supported library of symbols.
2. Sixteen (16) colors.
3. Sixty (60) outputs of real-time live dynamic data for each graphic.
4. Dynamic graphic data.
5. 1,000 separate graphic pages.
6. Modify graphic screen refresh rate between 1 and 60 seconds.

E. Operator Station:

1. Accept data from LAN as needed without scanning entire network for updated point data.
2. Interrogate LAN for updated point data when requested.
3. Allow operator command of devices.
4. Allow operator to place specific control units in or out of service.
5. Allow parameter editing of control units.
6. Control or modify specific programs.

F. Alarm Processing:

1. Off normal condition: Cause alarm and appropriate message, including time, system, point descriptor, and alarm condition. Select alarm state or value and alarms causing automatic dial-out.
2. Critical alarm or change-of-state: Display message, stored on disk for review and sort, or print.
3. Display alarm reports on video. Display multiple alarms in order of occurrence.
4. Define time delay for equipment start-up or shutdown.
5. Allow unique routing of specific alarms.
6. Operator specifies when alarm requires acknowledgment.
7. Continue to indicate unacknowledged alarms after return to normal.
8. Alarm notification:
9. Display indicating alarm condition.
10. Selectable audible alarm indication.

G. Event Processing: Automatically initiate commands, user defined messages, take specific control actions or change control strategy and application programs resulting from event condition. Event condition may be value crossing operator defined limit, change of state, specified state, or alarm occurrence or return to normal.

H. Automatic Restart: Automatically start field equipment on restoration of power. Furnish time delay between individual equipment restart and time of day start/stop.



- I. Parameter Save/Restore: Store most current operating system, parameter changes, and modifications on disk or diskette.

- J. Data Collection:
 - 1. Automatically collect and store in disk files.
 - 2. Daily electrical energy consumption, peak demand, and time of peak demand for up to electrical meters over 2-year period.
 - 3. Daily consumption for up to 30 meters over a 2 year period.
 - 4. Daily billable electrical energy consumption and time for up to 1024 zones over a 10 year period.
 - 5. Archiving of stored data for use with system supplied custom reports.

- K. Graphic Display: Support graphic development on work station with software features:
 - 1. Page linking.
 - 2. Generate, store, and retrieve library symbols.
 - 3. Single or double height characters.
 - 4. Sixty (60) dynamic points of data for each graphic page.
 - 5. Pixel level resolution.
 - 6. Animated graphics for discrete points.
 - 7. Analog bar graphs.
 - 8. Display real time value of each input or output line diagram fashion.

- L. Maintenance Management:
 - 1. Run time monitoring, for each point.
 - 2. Maintenance scheduling targets with automatic annunciation, scheduling and shutdown.
 - 3. Equipment safety targets.
 - 4. Display of maintenance material and estimated labor.
 - 5. Target point reset, for each point.

- M. Advisories:
 - 1. Summary containing status of points in locked out condition.
 - 2. Continuous operational or not operational report of interrogation of system hardware and programmable control units for failure.
 - 3. Report of power failure detection, time and date.
 - 4. Report of communication failure with operator device, field interface unit, point and programmable control unit.

2.6 LOAD CONTROL PROGRAMS

- A. General: Support inch-pounds and S.I. metric units of measurement.

- B. Duty Cycling:



1. Periodically stop and start loads, based on space temperature, and according to various On/Off patterns.
 2. Set and modify following parameters for each individual load.
 - a. Minimum and maximum off time.
 - b. On/Off time in one-minute increments.
 - c. Time period from beginning of interval until cycling of load.
 - d. Manually override the Direct-Digital Control program and place a load in an On or Off state.
 - e. Cooling Target Temperature and Differential.
 - f. Heating Target Temperature and Differential.
 - g. Cycle off adjustment.
- C. Automatic Time Scheduling:
1. Self-contained programs for automatic start/stop/scheduling of building loads.
 2. Support up to seven (7) normal day schedules, seven (7) "special day" schedules and two (2) temporary day schedules.
 3. Special day's schedule supporting up to 30 unique date/duration combinations.
 4. Number of loads assigned to time program; with each load having individual time program.
 5. Each load assigned at least 16 control actions for each day with 1 minute resolution.
 6. Furnish the following time schedule operations:
 - a. Start.
 - b. Optimized Start.
 - c. Stop.
 - d. Optimized Stop.
 - e. Cycle.
 - f. Optimized Cycle.
 7. Capable of specifying minimum of 30 holiday periods up to 100 days in length for the year.
 8. Create temporary schedules.
 9. Broadcast temporary "special day" date and duration.
- D. Start/Stop Time Optimization:
1. Perform optimized start/stop as function of outside conditions, inside conditions, or both.
 2. Adaptive and self-tuning, adjusting to changing conditions unattended.
 3. For each point under control, establish and modify:
 - a. Occupancy period.
 - b. Desired temperature at beginning of occupancy period.
 - c. Desired temperature at end of occupancy period.
- E. Night Setback/Setup Program: Reduce heating space temperature set point or raise cooling space temperature set-point during unoccupied hours; in conjunction with scheduled start/stop and optimum start/stop programs.



- F. Calculated Points: Define calculations and totals computed from monitored points (analog/digital points), constants, or other calculated points.
 - 1. Employ arithmetic, algebraic, Boolean, and special function operations.
 - 2. Treat calculated values like any other analog value; use for any function where a "hard wired point" might be used.

- G. Direct Digital Control: Furnish with each control unit Direct Digital Control software so operator is capable of customizing control strategies and sequences of operation by defining appropriate control loop algorithms and choosing optimum loop parameters.
 - 1. Control loops: Defined using "modules" are analogous to standard control devices.
 - 2. Output: Paired or individual digital outputs for pulse width modulation, and analog outputs.
 - 3. Firmware:
 - a. PID with analog or pulse-width modulation output.
 - b. Floating control with pulse-width modulated outputs.
 - c. Two-position control.
 - d. Primary and secondary reset schedule selector.
 - e. Hi/Low signal selector.
 - f. Single pole double-throw relay.
 - g. Single pole double throw time delay relay with delay before break, delay before make and interval time capabilities.
 - 4. Direct Digital Control loop: Downloaded upon creation or on operator request. On sensor failure, program executes user defined failsafe output.
 - 5. Display: Value or state of each of lines interconnecting Direct-Digital Control modules.

- H. Fine Tuning Direct Digital Control PID or floating loops:
 - 1. Display information:
 - a. Control loop being tuned.
 - b. Input (process) variable.
 - c. Output (control) variable.
 - d. Set-point of loop.
 - e. Proportional band.
 - f. Integral (reset) Interval.
 - g. Derivative (rate) Interval.
 - 2. Display format: Graphic, with automatic scaling; with input and output variable superimposed on graph of "time" versus "variable".

- I. Trend logging:
 - 1. Each control unit capable of storing samples of control unit's data points.
 - 2. Update file continuously at operator assigned intervals.
 - 3. Automatically initiate upload requests and then stores data on hard disk.



4. Time synchronize sampling at operator specified times and intervals with sample resolution of one minute.
5. Co-ordinate sampling with specified on/off point- state.
6. Display trend samples on workstation in graphic format. Automatically scale trend graph with minimum 60 samples of data in plot of time versus data.

2.7 HVAC CONTROL PROGRAMS

A. General:

1. Support Inch-pounds and S.I. metric units of measurement.
2. Identify each Control system.

B. Optimal Run Time:

1. Control start-up and shutdown times of equipment for both heating and cooling.
2. Base on occupancy schedules, outside air temperature, seasonal requirements, and interior room mass temperature.
3. Start-up systems by using outside air temperature, room mass temperatures, and adaptive model prediction for how long building takes to warm up or cool down under different conditions.
4. Use outside air temperature to determine early shut down with ventilation override.
5. Analyze multiple building mass sensors to determine seasonal mode and worse case condition for each day.
6. Operator commands:
 - a. Define term schedule.
 - b. Add/delete fan status point.
 - c. Add/delete outside air temperature point.
 - d. Add/delete mass temperature point.
 - e. Define heating/cooling parameters.
 - f. Define mass sensor heating/cooling parameters.
 - g. Lock/unlock program.
 - h. Request optimal run-time control summary.
 - i. Request optimal run-time mass temperature summary.
 - j. Request point summary.
 - k. Request saving profile summary.
7. Control Summary:
 - a. Control system begin/end status.
 - b. Optimal run time lock/unlock control status.
 - c. Heating/cooling mode status.
 - d. Optimal run time schedule.
 - e. Start/Stop times.
 - f. Selected mass temperature point ID.
 - g. Optimal run-time system normal start-times.
 - h. Occupancy and vacancy times.
 - i. Optimal run time system heating/cooling mode parameters.



8. Mass temperature summary:
 - a. Mass temperature point type and ID.
 - b. Desired and current mass temperature values.
 - c. Calculated warm-up/cool-down time for each mass temperature.
 - d. Heating/cooling season limits.
 - e. Break point temperature for cooling mode analysis.

9. Point summary:
 - a. Control system identifier and status.
 - b. Point ID and status.
 - c. Outside air temperature point ID and status.
 - d. Mass temperature point ID and status.
 - e. Calculated optimal start and stop times.
 - f. Period start.

2.8 PROGRAMMING APPLICATION FEATURES

A. Trend Point:

1. Sample up to 50 points, real or computed, with each point capable of collecting 10,000 samples at intervals specified in minutes, hours, days, or month.

B. Alarm Messages:

1. Allow definition of minimum of 100 messages, each having minimum length of 100 characters for each individual message.
2. Assign alarm messages to system messages including point's alarm condition, point's off-normal condition, totaled point's warning limit, hardware elements advisories.
3. Output assigned alarm with "message requiring acknowledgment".
4. Operator commands include define, modify, or delete; output summary listing current alarms and assignments; output summary defining assigned points.

C. Weekly Scheduling:

1. Automatically initiate equipment or system commands, based on selected time schedule for points specified.
2. Program times for each day of week, for each point, with one minute resolution.
3. Automatically generate alarm output for points not responding to command.
4. Allow for holidays, minimum of 366 consecutive holidays.
5. Operator commands:
 - a. System logs and summaries.
 - b. Start of stop point.
 - c. Lock or unlock control or alarm input.
 - d. Add, delete, or modify analog limits and differentials.
 - e. Adjust point operation position.



- f. Change point operational mode.
- g. Open or close point.
- h. Enable/disable, lock/unlock, or execute interlock sequence or computation profile.
- i. Begin or end point totals.
- j. Modify total values and limits.
- k. Access or secure point.
- l. Begin or end HVAC or load control system.
- m. Modify load parameter.
- n. Modify demand limiting and duty cycle targets.

6. Output summary: Listing of programmed function points, associated program times, and respective day of week programmed points by software groups or time of day.

D. Interlocking:

1. Permit events to occur, based on changing condition of one or more associated master points.
2. Binary contact, high/low limit of analog point or computed point capable of being used as master. Master capable of monitoring or commanding multiple slaves.
3. Operator commands:
 - a. Define single master/multiple master interlock process.
 - b. Define logic interlock process.
 - c. Lock/unlock program.
 - d. Enable/disable interlock process.
 - e. Execute terminate interlock process.
 - f. Request interlock type summary.

E. Interface to World Wide Web:

1. Provide all programming and interfaces as required to display and access all system features, including alarms, maintenance messages, graphics, etc. on the World Wide Web.
2. Arrange the central BAS console to monitor, control and supervise all system items specified in this section, remotely, via the World Wide Web, using secured network connections. Specific alarms as defined by the City of New York shall be connected to QPL (Queens Public Library)'s Central Control Station. Contractor shall be responsible for all software and hardware requirements, as required for a complete and operational system. Contractor shall be responsible for coordinating network interface requirements with the City of New York's staff.
3. Coordinate Uniform Resource Locator (URL) address name with the City of New York's staff and provide all fees associated with obtaining rights to URL. Contractor shall be responsible for coordinating and obtaining Internet Service Provider.

2.9 ELECTRICAL CHARACTERISTICS AND COMPONENTS

- A. Disconnect Switch: Factory-mount on equipment.

PART III - EXECUTION



3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for Execution requirements.

3.2 EXAMINATION

- A. Verify conditioned power supply is available to control units and to operator workstation.
- B. Verify field end devices, wiring, and pneumatic tubing is installed prior to installation proceeding.

3.3 INSTALLATION

- A. Install control units and other hardware in position on permanent walls where not subject to excessive vibration.
- B. Install software in control units and in operator workstation. Implement features of programs to specified requirements and appropriate to sequence of operation. Refer to Section 230993.11 "Sequence of Operations for Direct Digital Control."
- C. Install with 120 volts alternating current, 15 amp dedicated emergency power circuit to each programmable control unit.
- D. Install conduit and electrical wiring. Comply with requirements in Section 260533.13 "Conduits for Electrical Systems".
- E. Install electrical material and installation in accordance with appropriate requirements of Division 26.
- F. Install all devices, sensors, etc. in sheet metal enclosures to prevent dust, dirt and water damage. Provide outdoor rated enclosures for devices exposed to weather.

3.4 MANUFACTURER'S FIELD SERVICES

- A. Start and pre-commission systems. Allow adequate time for start-up and pre-commissioning prior to placing control systems in permanent operation.
- B. Furnish service technician employed by system installer to demonstrate to the Commissioner.

3.5 PRE-COMMISSIONING, TESTING AND ACCEPTANCE

- A. Coordinate work described in this article with section 208000 "Commissioning for HVAC".
- B. Perform a three-phase procedure consisting of field I/O calibration and system testing. Document all information on data sheets which shall be submitted prior to acceptance testing. Pre-Commissioning work which requires shutdown of system or deviation from normal function shall be performed when the operation of the system is not required. The pre-commissioning must be coordinated with the City of New York to ensure systems are available when needed. Notify the operating personnel in writing of the testing schedule so that authorized personnel from the City of New York are present throughout the pre-commissioning procedure.



1. Prior to system program pre-commissioning, verify that each control panel has been installed according to plans, specifications and approved shop drawings. Test, calibrate and bring on line each control sensor and device. Pre-commissioning to include, but not be limited to:
 - a. Sensor accuracy at 10, 50 and 90% of range.
 - b. Sensor range.
 - c. Verify analog limit and binary alarm reporting.
 - d. Point value reporting.
 - e. Binary alarm and switch settings.
 - f. Actuator ranges.
 - g. Fail safe operation on loss of control signal, electric power, network communications.

- C. After control devices have been pre-commissioned (i.e. calibrated, tested and signed off), each BAS program shall be put on line. The contractor shall, in the presence of the Commissioner, demonstrate each programmed sequence of operation and compare the results in writing. In addition, each control loop shall be tested to verify proper response and stable control, within specified accuracies. System program test results shall be recorded on commissioning data sheets and submitted for record. Any discrepancies between the specification and the actual performance will be immediately rectified and retested.

- D. After all BAS programs have been pre-commissioned, the contractor shall verify the overall system performance as specified. Tests shall include, but not be limited to:
 1. Data communication, both normal and failure modes.
 2. Fully loaded system response time.
 3. Impact of component failures on system performance and system operation.
 4. Time/Date changes.
 5. End of month/ end of year operation.
 6. Season changeover.
 7. Global application programs and point sharing.
 8. System backup and reloading.
 9. System status displays.
 10. Diagnostic functions.
 11. Power failure routines.
 12. Battery backup.
 13. Smoke Control, stair pressurization, stair, vents, in concert with Fire Alarm System testing.
 14. Testing of all electrical and HVAC systems with other division of work.

- E. Submit for approval, a detailed acceptance test procedure designed to demonstrate compliance with contractual requirements. This Acceptance test procedure will take place after the commissioning procedure but before final acceptance, to verify that sensors and control devices maintain specified accuracy's and the system performance does not degrade over time.

- F. Using the pre-commissioning test data sheets, the contractor shall demonstrate each point. The contractor shall also demonstrate all system functions. The contractor shall demonstrate all points and system functions until all devices and functions meet specification.



G. The contractor shall supply all instruments for testing and turn over same to the City of New York after acceptance testing.

1. All test instruments shall be submitted for approval.

a. Test Instrument Accuracy:

Temperature:	1/4F or 1/2% full scale, whichever is less.
Pressure:	High Pressure (psi): 1/2 psi or 1/2% full scale, whichever is less.
Low Pressure: (in w.c.)	1/2% of full scale
Humidity:	2% RH
Electrical:	1/4% full scale

H. After the above tests are complete and the system is demonstrated to be functioning as specified, a thirty day performance test period shall begin. If the system performs as specified throughout the test period, requiring only routine maintenance, the system shall be accepted. If the system fails during the test, and cannot be fully corrected within eight hours, the Commissioner may request that performance tests be repeated.

3.6 DEMONSTRATION

A. Furnish basic operator instruction for 16 staff on data display, alarm and status descriptors, requesting data, execution commands and log requests. Include a minimum of 40 hours instructor time. Furnish instruction on site.

B. Demonstrate complete and operating system to the Commissioner.

3.7 ELECTRICAL WIRING AND MATERIALS

A. Install, connect and wire the items included under this Section and all other Sections of HVAC work. This work includes providing required conduit, wire, fittings, transformers and related wiring accessories. All conduit and wiring shall be installed in accordance with Division 26 Specifications.

B. Provide conduit and wiring between thermostats, aquastats and unit heater motors, all control and alarm wiring for all control and alarm devices for all Sections of Specifications.

C. Provide 120 volt, single phase, 60 hertz emergency power to every B.M.S. Direct-Digital Control Controller panel, HVAC/Mechanical Equipment Controller, PC console, power supply, transformer, annunciator, modems, printers and to other devices as required. It is the intent that the entire building management system except terminal equipment shall be operative under emergency power conditions in the building.

D. Provide status function conduit and wiring for equipment covered under this Section.

E. Provide conduit and wiring between the B.M.S. panels and the temperature, humidity, or pressure sensing elements, including low voltage control wiring in conduit.



- F. Provide conduit and control wiring for devices specified in this Section.
- G. Provide conduit and signal wiring between motor starters/disconnect switches in motor control centers and high and/or low temperature relay contacts and remote relays in B.M.S. panels located in the vicinity of motor control centers.
- H. Provide conduit and wiring between the PC workstation, electrical panels, metering instrumentation, indicating devices, miscellaneous alarm points, remotely operated contactors, and B.M.S. panels, as shown on the drawings or as specified.
- I. All wiring to be compliant to the NYC Building Code and the NEC.
- J. Provide all conduit wiring for boiler systems, chillers, AC units, etc. as required for a complete and operational system.
- K. Provide electrical wall box and conduits for all wall mounted devices.
- L. 120 Volt electrical wiring will be provided by Division 26 in the mechanical room or as otherwise noted. HVAC subcontractor to extend this wiring as required and provide all 120 volt to 24 volt transformers and wiring to each thermostat, controller, etc.
- M. Reference Division 26 Specifications and drawings for conduit, wiring and accessories requirements.

END OF SECTION 230923

SECTION 230923.27 - TEMPERATURE INSTRUMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Air temperature sensors.
2. Combination air temperature sensors and switches.
3. Air temperature switches.
4. Air temperature RTD transmitters.

B. Related Requirements:

1. Section 230923 "Direct-Digital Control System for HVAC" for control equipment and software, relays, electrical power devices, uninterruptible power supply units, wire, and cable.
2. Section 230993.11 "Sequence of Operations for HVAC Direct Digital Control" for requirements that relate to this Section.

1.3 DEFINITIONS

- A. HART (Highway Addressable Remote Transducer) Protocol: The global standard for sending and receiving digital information across analog wires between smart devices and control or monitoring systems through bidirectional communication that provides data access between intelligent field instruments and host systems. A host can be any software application from a technician's hand-held device or laptop to a plant's process control, asset management, safety, or other system using any control platform.

- B. RTD: Resistance temperature detector.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For the following:

1. Air temperature sensors.

2. Combination air temperature sensors and switches.
3. Air temperature switches.
4. Air temperature RTD transmitters.

B. Product Data Submittals: For each product.

1. Construction details, material descriptions, dimensions of individual components and profiles, and finishes.
2. Operating characteristics, electrical characteristics, and furnished accessories indicating process operating range, accuracy over range, control signal over range, default control signal with loss of power, calibration data specific to each unique application, electrical power requirements, and limitations of ambient operating environment, including temperature and humidity.
3. Product description with complete technical data, performance curves, and product specification sheets.
4. Installation operation and maintenance instructions, including factors affecting performance.

C. Shop Drawings:

1. Include plans, elevations, sections, and mounting details.
2. Include details of product assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
3. Include diagrams for power, signal, and control wiring.
4. Include number-coded identification system for unique identification of wiring, cable, and tubing ends.

D. Coordination Drawings: Plan drawings and corresponding product installation details, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:

1. Product installation location shown in relationship to room, duct, pipe, and equipment.
2. Wall-mounted instruments located in finished space showing relationship to light switches, fire-alarm devices, and other installed devices.
3. Sizes and locations of wall access panels for instruments installed behind walls.
4. Sizes and locations of ceiling access panels for instruments installed in inaccessible ceilings.

1.6 INFORMATIONAL SUBMITTALS

- A. Product Certificates: For each product requiring a certificate.
- B. Product Test Reports: For each product, for tests performed by manufacturer and witnessed by a qualified testing agency.
- C. Field quality-control reports.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

A. Environmental Conditions:

1. Instruments shall operate without performance degradation under the ambient environmental temperature, pressure, humidity, and vibration conditions specified and encountered for installed location.
 - a. If instrument alone cannot meet requirement, install instrument in a protective enclosure that is isolated and protected from conditions impacting performance. Enclosure to be internally insulated, electrically heated and cooled filtered, and ventilated as required by application.
2. Instruments and accessories shall be protected with enclosures satisfying the following minimum requirements unless more stringent requirements are indicated. Instruments not available with integral enclosures complying with requirements indicated shall be housed in protective secondary enclosures. Instrument's installed location shall dictate following NEMA 250 enclosure requirements:
 - a. Outdoors, protected: Type 2.
 - b. Outdoors, Unprotected: Type 4
 - c. Indoors, Heated with Filtered Ventilation: Type 1
 - d. Indoors, Heated with Non-Filtered Ventilation: Type 2
 - e. Indoors, Heated and Air Conditioned: Type 1
 - f. Mechanical Equipment Rooms:
 - 1) Air-Moving Equipment Rooms: Type 1
 - g. Localized Areas Exposed to Wash-down: Type 4
 - h. Within Duct Systems and Air-Moving Equipment Not Exposed to Possible Condensation: Type 2.
 - i. Within Duct Systems and Air-Moving Equipment Exposed to Possible Condensation: Type 4.
 - j. Hazardous Locations: Explosion-proof rating for condition.

2.2 AIR TEMPERATURE SENSORS

A. Platinum RTDs: Common Requirements:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Honeywell International Inc.
 - b. Minco.
 - c. Siemens Industry, Inc., Building Technologies Division.
 - d. Or approved equal.
2. 100 or 1000 ohms at zero deg C and a temperature coefficient of 0.00385 ohm/ohm/deg C.
3. Two-wire, PTFE-insulated, 22-gage stranded copper leads.

4. Performance Characteristics:
 - a. Range: Minus 50 to 275 deg F.
 - b. Interchangeable Accuracy: At 32 deg F within 0.5 deg F.
 - c. Repeatability: Within 0.5 deg F.
 - d. Self-Heating: Negligible.
 5. Transmitter Requirements:
 - a. Transmitter required for each 100-ohm RTD.
 - b. Transmitter optional for 1000-ohm RTD, contingent on compliance with end-to-end control accuracy.
- B. Platinum RTD, Single-Point Air Temperature Duct Sensors:
1. 100 or 1000 ohms.
 2. Temperature Range: Minus 50 to 275 deg F
 3. Probe: Single-point sensor with a stainless-steel sheath.
 4. Length: As required by application to achieve tip at midpoint of air tunnel, up to 18 inches.
 5. Enclosure: Junction box with removable cover; NEMA 250, Type 1 for indoor applications and Type 4 for outdoor applications.
 6. Gasket for attachment to duct or equipment to seal penetration airtight.
 7. Conduit Connection: 1/2-inch.
- C. Platinum RTD, Air Temperature Averaging Sensors:
1. 100 or 1000 ohms.
 2. Temperature Range: Minus 50 to 275 deg F.
 3. Multiple sensors to provide average temperature across entire length of sensor.
 4. Rigid probe of aluminum, brass, copper, or stainless-steel sheath.
 5. Flexible probe of aluminum, brass, copper, or stainless-steel sheath and formable to a 4-inch radius.
 6. Length: As required by application to cover entire cross section of air tunnel.
 7. Enclosure: Junction box with removable cover; NEMA 250, Type 1 for indoor applications and Type 4 for outdoor applications.
 8. Gasket for attachment to duct or equipment to seal penetration airtight.
 9. Conduit Connection: 1/2-inch.
- D. Platinum RTD Outdoor Air Temperature Sensors:
1. 100 or 1000 ohms.
 2. Temperature Range: Minus 50 to 275 deg F.
 3. Probe: Single-point sensor with a stainless-steel sheath.
 4. Solar Shield: Stainless steel.
 5. Enclosure: NEMA 250, Type 4 or 4X junction box or combination conduit and outlet box with removable cover and gasket.
 6. Conduit Connection: 1/2-inch trade size.
- E. Platinum RTD Space Air Temperature Sensors:
1. 100 or 1000 ohms.

2. Temperature Range: Minus 50 to 212 deg F.
3. Sensor assembly shall include a temperature sensing element mounted under a flush, brushed-aluminum cover.
4. Provide a mounting plate that is compatible with the surface shape that it is mounted to and electrical box used.
5. Concealed wiring connection.

F. Thermal Resistors (Thermistors): Common Requirements:

1. 10,000 ohms at 25 deg C and a temperature coefficient of 23.5 ohms/ohm/deg C.
2. Two-wire, PTFE-insulated, 22-gage stranded copper leads.
3. Performance Characteristics:
 - a. Range: Minus 50 to 275 deg F.
 - b. Interchangeable Accuracy: At 77 deg F within 0.5 deg F
 - c. Repeatability: Within 0.5 deg F.
 - d. Drift: Within 0.5 deg F over 10 years.
 - e. Self-Heating: Negligible.
4. Transmitter optional, contingent on compliance with end-to-end control accuracy.

G. Thermistor, Single-Point Duct Air Temperature Sensors:

1. Temperature Range: Minus 50 to 275 deg F.
2. Probe: Single-point sensor with a stainless-steel sheath.
3. Length: As required by application to achieve tip at midpoint of air tunnel, up to 18 inches long.
4. Enclosure: Junction box with removable cover; NEMA 250, Type 1 for indoor applications and Type 4 for outdoor applications.
5. Gasket for attachment to duct or equipment to seal penetration airtight.
6. Conduit Connection: 1/2- inch trade size..

2.3 COMBINATION AIR TEMPERATURE SENSORS AND SWITCHES

- A. Source Limitations: Obtain temperature-measuring sensors and transmitters and airflow from single manufacturer.
- B. Combination temperature sensor and switch in same instrument.
- C. Air Temperature Switch:
 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Honeywell International Inc.
 - b. Minco.
 - c. Siemens Industry, Inc., Building Technologies Division.
 - d. Or approved equal.
 2. Factory preset set point of 38 deg F Field-adjustable set point from 30 to 44 deg F.
 3. Responsive to coldest 12-inch section of sensor length.

4. DPST latching relay rated at 25 A and 120-V ac, with powered controller, coil, and manual rest at panel. Wire one leg to fan start circuit and other leg to signal a remote alarm.

D. Air Temperature Sensor:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Honeywell International Inc.
 - b. Minco.
 - c. Siemens Industry, Inc., Building Technologies Division.
 - d. Or approved equal.
2. Temperature-averaging type over sensor length. Length to be determined by installing trade to provide uniform coverage over air tunnel. Consult manufacturer for recommendations.
3. Platinum RTD with a value of 1000 ohms at zero deg C and a temperature coefficient of 0.00385 ohm/ohm/deg C.
4. Accuracy: Within 0.9 deg F
5. Output Signal: 4 to 20 mA for connection to remote monitoring.
6. Encase RTDs in a flexible nominal 0.375-inch-diameter sheath constructed of brass.
7. Lead wires shall be 18-gage AWG copper.
8. Enclosure: NEMA 250, Type 4.

2.4 AIR TEMPERATURE SWITCHES

A. Thermostat and Switch for Low Temperature Control in Duct Applications:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Honeywell International Inc.
 - b. Minco.
 - c. Siemens Industry, Inc., Building Technologies Division.
 - d. Or approved equal.
2. Description:
 - a. Two-position control.
 - b. Field-adjustable set point.
 - c. Manual reset.
 - d. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
3. Performance:
 - a. Operating Temperature Range: 15 to 55 deg F
 - b. Temperature Differential: 5 deg F non-adjustable and additive.
 - c. Enclosure Ambient Temperature: Minus 20 to 140 deg F
 - d. Sensing Element Maximum Temperature: 250 deg F
 - e. Voltage: 120-V ac.
 - f. Current: 16 FLA.

- g. Switch Type: Two SPDT snap switches operate on coldest 12-inch section along element length.
- 4. Construction:
 - a. Vapor-Filled Sensing Element: Nominal 20 feetlong.
 - b. Dual Temperature Scale: Fahrenheit and Celsius visible on face.
 - c. Set-Point Adjustment: Screw.
 - d. Enclosure: Painted metal, NEMA 250, Type 1.
 - e. Electrical Connections: Screw terminals.
 - f. Conduit Connection: 1/2-inch trade size.

B. Thermostat and Switch for High Temperature Control in Duct Applications:

- 1. Source Limitations: Obtain temperature-measuring sensors and transmitters and airflow from single manufacturer.
- 2. Description:
 - a. Two-position control.
 - b. Field-adjustable set point.
 - c. Manual reset.
 - d. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- 3. Performance:
 - a. Temperature Range: 100 to 160 deg F.
 - b. Temperature Differential: 5 deg F.
 - c. Ambient Temperature: Zero to 260 deg F.
 - d. Voltage: 120-V ac.
 - e. Current: 16 FLA.
 - f. Switch Type: SPDT snap switch.
- 4. Construction:
 - a. Sensing Element: Helical bimetal.
 - b. Enclosure: Metal, NEMA 250, Type 1.
 - c. Electrical Connections: Screw terminals.
 - d. Conduit Connection: 1/2-inch trade size.

2.5 AIR TEMPERATURE RTD TRANSMITTERS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

- 1. Honeywell International Inc.
- 2. Minco.
- 3. Siemens Industry, Inc., Building Technologies Division.
- 4. Or approved equal.

- B. Source Limitations: Obtain temperature-measuring sensors and transmitters and airflow from single manufacturer.
- C. House electronics in NEMA 250 enclosure.
 - 1. Duct: Type 1.
 - 2. Outdoor: Type 4.
 - 3. Space: Type 1.
- D. Conduit Connection: 1/2-inch.
- E. Functional Characteristics:
 - 1. Input:
 - a. 100-ohm platinum RTD temperature coefficient of 0.00385 ohm/ohm/deg C, two-wire sensors.
 - b. 1000-ohm platinum RTD temperature coefficient of 0.00385 ohm/ohm/deg C, two-wire sensors.
 - 2. Span (Adjustable):
 - a. Space: 40 to 90 deg F
 - b. Supply Air Cooling and Heating: 40 to 120 deg F
 - c. Supply Air Cooling Only: 40 to 90 deg F
 - d. Supply Air Heating Only: 40 to 120 deg F
 - e. Exhaust Air: 50 to 100 deg F
 - f. Return Air: 50 to 100 deg F
 - g. Mixed Air: Minus 40 to 140 deg F
 - h. Outdoor: Minus 40 to 140 deg F
 - 3. Output: 4- to 20-mA dc, linear with temperature; RFI insensitive; minimum drive load of 600 ohms at 24-V dc .
 - 4. Zero and span field adjustments, plus or minus 5 percent of span. Minimum span of 50 deg F.
 - 5. Match sensor with temperature transmitter and factory calibrate together.
- F. Performance Characteristics:
 - 1. Calibration Accuracy: Within 0.1 percent of the span.
 - 2. Stability: Within 0.2 percent of the span for at least 6 months.
 - 3. Combined Accuracy: Within 0.5 percent.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates and conditions for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine roughing-in for instruments installed in piping to verify actual locations of connections.
- C. Examine roughing-in for instruments installed in duct systems to verify actual locations of connections.
- D. Prepare written report, endorsed by Installer, listing conditions detrimental to performance.
- E. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 TEMPERATURE INSTRUMENT APPLICATIONS

- A. Air Temperature Sensors:
 - 1. Duct Thermistor.
 - 2. Outdoor Thermistor.
 - 3. Space Thermistor.
- B. Air Temperature Transmitters:
 - 1. Duct, Air temperature RTD industrial transmitter.
 - 2. Outdoor, Air temperature RTD transmitter.
 - 3. Space, Air temperature RTD transmitter.

3.4 INSTALLATION, GENERAL

- A. Install products level, plumb, parallel, and perpendicular with building construction.
- B. Properly support instruments, tubing, piping, wiring, and conduit to comply with requirements indicated. Brace all products to prevent lateral movement and sway or a break in attachment when subjected to a force.
- C. Fastening Hardware:
 - 1. Stillson wrenches, pliers, and other tools that cause injury to or mar surfaces of rods, nuts, and other parts are prohibited for work of assembling and tightening nuts.
 - 2. Tighten bolts and nuts firmly and uniformly. Do not overstress threads by excessive force or by oversized wrenches.
 - 3. Lubricate threads of bolts, nuts, and screws with graphite and oil before assembly.
- D. Install products in locations that are accessible and that permit calibration and maintenance from floor, equipment platforms, or catwalks. Where ladders are required for City of New York's maintenance staff access, confirm unrestricted ladder placement is possible under occupied condition.

E. Corrosive Environments:

1. Use products that are suitable for environment to which they are subjected.
2. If possible, avoid or limit use of materials in corrosive environments.
3. When conduit is in contact with a corrosive environment, use Type 316 stainless-steel conduit and fittings or conduit and fittings that are coated with a corrosive-resistant coating that is suitable for environment.
4. Where instruments are located in a corrosive environment and are not corrosive resistant from manufacturer, field install products in a NEMA 250, Type 4X enclosure constructed of Type 316L stainless steel.

3.5 ELECTRIC POWER

- A. Furnish and install electrical power to products requiring electrical connections.
- B. Furnish and install circuit breakers. Comply with requirements in Section 262816 "Enclosed Switches and Circuit Breakers."
- C. Furnish and install power wiring. Comply with requirements in Section 260519 "Low-Voltage Electrical Power Conductors and Cables."
- D. Furnish and install raceways. Comply with requirements in Section 260533.13 "Conduits for Electrical Systems."

3.6 INSTALLATION OF TEMPERATURE INSTRUMENTS

A. Mounting Location:

1. Roughing In:
 - a. Outline instrument mounting locations before setting instruments and routing cable, wiring, tubing, and conduit to final location.
 - b. Provide independent inspection to confirm that proposed mounting locations comply with requirements indicated and approved submittals.
 - 1) Indicate dimensioned locations with mounting height for all surface-mounted products on Shop Drawings.
 - 2) Do not begin installation without submittal approval of mounting location.
 - c. Complete installation rough-in only after confirmation by independent inspection is complete and approval of location is documented for review by the Commissioner on request.
2. Install switches and transmitters for air and liquid temperature associated with individual air-handling units and associated connected ductwork and piping near air-handling units co-located in air-handling unit system control panel to provide service personnel a single and convenient location for inspection and service.
3. Install liquid and steam temperature switches and transmitters for indoor applications in mechanical equipment rooms. Do not locate in user-occupied space unless indicated specifically on Drawings.

4. Install air temperature switches and transmitters for indoor applications in mechanical equipment rooms. Do not locate in user-occupied space unless indicated specifically on Drawings.
5. Mount switches and transmitters on walls, floor-supported freestanding pipe stands, or floor-supported structural support frames. Use manufacturer's mounting brackets to accommodate field mounting. Securely support and brace products to prevent vibration and movement.

B. Special Mounting Requirements:

1. Protect products installed outdoors from solar radiation, building and wind effect with stand-offs and shields constructed of Type 316 stainless.
2. Temperature instruments having performance impacted by temperature of mounting substrate shall be isolated with an insulating barrier located between instrument and substrate to eliminate effect. Where instruments requiring insulation are located in finished space, conceal insulating barrier in a cover matching the instrument cover.

C. Mounting Height:

1. Mount temperature instruments in user-occupied space to match mounting height of light switches unless otherwise indicated on Drawings. Mounting height to comply ICC A117.1-2009.
2. Mount switches and transmitters located in mechanical equipment rooms and other similar space not subject to accessibility requirements of the NYC Building Code within a range of 42 to 72 inches above the adjacent floor, grade, or service catwalk or platform.

D. Seal penetrations to ductwork, plenums, and air-moving equipment to comply with duct static-pressure class and leakage and seal classes indicated using neoprene gaskets or grommets.

E. Space Temperature Sensor Installation:

1. Conceal assembly in an electrical box of sufficient size to house sensor and transmitter, if provided.
2. Install electrical box with a faceplate to match sensor cover if sensor cover does not completely cover electrical box.
3. In finished areas, recess electrical box within wall.
4. In unfinished areas, electrical box may be surface mounted if electrical light switches are surface mounted. Use a cast-aluminum electric box for surface-mounted installations.
5. Align electrical box with other electrical devices such as visual alarms and light switches located in the vicinity to provide a neat and well-thought-out arrangement. Where possible, align in both horizontal and vertical axis.

F. Outdoor Air Temperature Sensor Installation:

1. Mount sensor in a discrete location facing north.
2. Protect installed sensor from solar radiation and other influences that could impact performance.
3. If required to have a transmitter, mount transmitter remote from sensor in an accessible and serviceable location indoors.

G. Single-Point Duct Temperature Sensor Installation:

1. Install single-point-type, duct-mounted, supply- and return-air temperature sensors. Install sensors in ducts with sensitive portion of the element installed in center of duct cross section and located to sense near average temperature. Do not exceed 24 inches in sensor length.

2. Install return-air sensor in location that senses return-air temperature without influence from outdoor or mixed air.
3. Rigidly support sensor to duct and seal penetration airtight.
4. If required to have transmitter, mount transmitter remote from sensor at accessible location.

H. Averaging Duct Temperature Sensor Installation:

1. Install averaging-type air temperature sensor for temperature sensors located within air-handling units, similar equipment, and large ducts with air tunnel cross-sectional area of 20 sq. ft. and larger.
2. Install sensor length to maintain coverage over entire cross-sectional area. Install multiple sensors where required to maintain the minimum coverage.
3. Fasten and support sensor with manufacturer-furnished clips to keep sensor taut throughout entire length.
4. If required to have transmitter, mount transmitter in an accessible and serviceable location.

I. Low-Limit Air Temperature Switch Installation:

1. Install multiple low-limit switches to maintain coverage over entire cross-sectional area of air tunnel.
2. Fasten and support sensing element with manufacturer-furnished clips to keep element taut throughout entire length.
3. Mount switches outside of airstream at a location and mounting height to provide easy access for switch set-point adjustment and manual reset.
4. Install on entering side of cooling coil unless otherwise indicated on Drawings.

3.7 IDENTIFICATION

- A. Identify system components, wiring, cabling, and terminals. Each piece of wire, cable, and tubing shall have the same designation at each end for operators to determine continuity at points of connection. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
- B. Install engraved phenolic nameplate with instrument identification and on face of ceiling directly below instruments concealed above ceilings.

3.8 CLEANING

- A. Remove grease, mastic, adhesives, dust, dirt, stains, fingerprints, labels, and other foreign materials from exposed interior and exterior surfaces.
- B. Wash and shine glazing.
- C. Polish glossy surfaces to a clean shine.

3.9 CHECK-OUT PROCEDURES

- A. Check installed products before continuity tests, leak tests, and calibration.
- B. Check temperature instruments for proper location and accessibility.

- C. Verify sensing element type and proper material.
- D. Verify location and length.
- E. Verify that wiring is correct and secure.

3.10 ADJUSTMENT, CALIBRATION, AND TESTING

A. Description:

1. Calibrate each instrument installed that is not factory calibrated and provided with calibration documentation.
2. Provide a written description of proposed field procedures and equipment for calibrating each type of instrument. Submit procedures before calibration and adjustment.
3. For each analog instrument, make a three-point test of calibration for both linearity and accuracy.
4. Equipment and procedures used for calibration shall meet instrument manufacturer's written instructions.
5. Provide diagnostic and test equipment for calibration and adjustment.
6. Field instruments and equipment used to test and calibrate installed instruments shall have accuracy at least twice the instrument accuracy being calibrated. For example, an installed instrument with an accuracy of 1 percent shall be checked by an instrument with an accuracy of 0.5 percent.
7. Calibrate each instrument according to instrument instruction manual supplied by manufacturer.
8. If after calibration indicated performance cannot be achieved, replace out-of-tolerance instruments.
9. Comply with field-testing requirements and procedures indicated by ASHRAE Guideline 11, "Field Testing of HVAC Control Components," in the absence of specific requirements and to supplement requirements indicated.

B. Analog Signals:

1. Check analog voltage signals using a precision voltage meter at zero, 50, and 100 percent.
2. Check analog current signals using a precision current meter at zero, 50, and 100 percent.
3. Check resistance signals for temperature sensors at zero, 50, and 100 percent of operating span using a precision-resistance source.

C. Digital Signals:

1. Check digital signals using a jumper wire.
2. Check digital signals using an ohmmeter to test for contact.

D. Sensors: Check sensors at zero, 50, and 100 percent of Project design values.

E. Switches: Calibrate switches to make or break contact at set points indicated.

F. Transmitters:

1. Check and calibrate transmitters at zero, 50, and 100 percent of Project design values.
2. Calibrate resistance temperature transmitters at zero, 50, and 100 percent of span using a precision-resistance source.

3.11 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections with the assistance of a factory-authorized service representative:
 - 1. Perform according to manufacturer's written instruction.
 - 2. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- B. Prepare test and inspection reports.

3.12 ADJUSTING

- A. Occupancy Adjustments: When requested within 12 months from date of Substantial Completion, provide on-site assistance in adjusting system to suit actual occupied conditions. Provide up to two visits to Project during other-than-normal occupancy hours for this purpose.

3.13 DEMONSTRATION

- A. Engage a factory-authorized service representative to provide orientation to the City of New York's personnel in the proper method to adjust and operate temperature instruments.
- B. Provide a complete set of instructional videos covering each product specified and installed and showing the following:
 - 1. Software programming.
 - 2. Calibration and test procedures.
 - 3. Operation and maintenance requirements and procedures.
 - 4. Troubleshooting procedures.

END OF SECTION 230923.27



SECTION 230993.11 - SEQUENCE OF OPERATIONS FOR HVAC DIRECT DIGITAL CONTROL

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section includes control sequences for Direct Digital Control for HVAC systems, subsystems, and equipment.
- B. Related Requirements:
 - 1. Section 230923 "Direct Digital Control System for HVAC" for control equipment.

1.3 DEFINITIONS

- A. Analog Output: Proportional output signal (zero- to 10-V dc, 4 to 20 mA).
- B. Binary Output: On/off output signal or contact closure.
- C. Digital Output: Data output that must be interpreted digitally.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data:
 - 1. An instrumentation list for each controlled system. Label each element of the controlled system in table format. Show, in the table element name, type of device, manufacturer, model number, and control device product data sheet number.
 - 2. A complete description of the operation of the control system, including sequences of operation. Include and reference a schematic diagram of the controlled system.
- B. Shop Drawings:



1. Riser diagrams showing control network layout, communication protocol, and wire types.
2. Schematic diagram of each controlled system. Include all control points labeled with point names shown or listed. Show the location of control elements in the system.
3. Wiring diagram for each controlled system. Show all control elements labels. Where a control element is the same as that shown on the control system schematic, label with the same name. Label all terminals.

1.6 CONTROL DIAGRAMS AND COORDINATED SEQUENCES OF OPERATIONS

- A. Refer to the Building Management System Diagrams for the Unit configuration, Building Management System control devices, point types and locations for each device.
- B. The Sequences of Operations detailed below are predicated on the specific Project Building Management System diagrams. The Sequences of Operations describe a general overview of equipment operation as part of the coordinated Building Management System (BMS). Include any additional programming modifications and adjustments encountered due to field conditions.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions section 014000 "Quality Requirements."
- B. Refer to the Building Management System diagrams for the Unit configuration, devices, point types and locations. Provide, field install and wire a Niagara 4 BACnet Direct Digital Controller, control valves, sensors, relays, status sensors; provide and field wire damper actuators.
- C. Provide, field install and wire all necessary software and hardware, wiring, and computing equipment in compliance with this specification. Provide programming, interface design, startup services by competent technicians that are regularly employed by the subcontractor with full responsibility for proper operation of the control system including debugging and proper calibration of each component in the entire system
- D. Graphical User Interface (GUI): Provide a TCP/IP connected Workstation with the ability to read, adjust and override the various parameters for system control; provide each of the direct digital controlled equipment with graphics with a minimum of the complete I/O point listing, their associated setpoints and any other variable for the adjustment and operation of the system.
- E. Graphical User Interface Demonstration: Demonstrate the Graphics, trending, and communications setup to the Commissioner prior to acceptance of the system.
- F. Alarm Notification: The system shall notify the City of New York staff of an alarming condition via a Visual Alerts and Audible sounds locally at the GUI. If connected via a TCP/IP connection, an e-mail sent depending on user configuration. Personnel shall be capable of interrogating the alarm using the Laptop workstation browsers (via. the internet).

1.8 SEQUENCES OF OPERATION

- A. General:



1. Provide a complete and operational temperature control and building automation system based on the points listed thereafter and the sequence of operation. The system shall be complete as to sequences and standard control practices. The determined point list is the minimum amount of points that are to be provided. If additional points are required to meet they sequence of operation, they will be provided.
2. BACnet Object List
 - a. The following points as defined for each piece of equipment are designated as follows:
 - 1) Binary Out (BO) – defined as any two-state output (start/stop) (enable/disable). Etc.
 - 2) Binary In (BI) – defined as any two-state input (alarm, status), etc.
 - 3) Analog In (AI) – defined as any variable input (temperature) (position) etc.
 - 4) Analog out (AO) - defined as any electrical variable output, 0-20mA, 4-20mA and 0-10VDC are the only acceptable analog outputs. The driver for analog outputs must come from both hardware and software resident in the controllers. Transducers will not be acceptable under any circumstance.
3. All setpoints referenced in this section are subject to change and shall be adjustable from the BMS Operator Workstation or from the Master Control Panel.

B. Variable Refrigerant Flow (VRF) Air Cooled Heat Pump Split System:

1. The VRF heat pump system network controls consists of individual controllers, system controllers, heating and cooling thermostats and integrated management system. The VRF system network controls shall support operation, monitoring, scheduling, error monitor, power distribution, personal browsers, online maintenance support. Interlock ACU operation with its associated ACCU per plan. All ACU's and ACCU's will be daisy chained for communication with the factory VRF Bacnet controller.
2. When the VRF system is energized, the ERV system will also be activated. The VRF provides heating/cooling by recirculating air thru the ACU's; and the ERV provides ventilation air to each designated zone. The VRF cannot operate without the ERV unless the controls are in the Unoccupied mode.
3. ACCU-1A is a split system heat pump that will provide either cooling or heating. ACCU-1B is a split system with heat recovery that can provide cooling or heating simultaneously based on the temperature requirements on the various perimeter and interior loads. ACCU-2 is a split system cooling only unit that provides 24/7 cooling to the server room to be maintained at 70 deg F (adj).
4. VRF controller shall start/stop all ACU's based on a pre-programmed schedule:
 - a. Occupied mode:
 - 1) On a call for cooling, all ACU's and associated ACCU's; and ERV shall be energized. ACCU's variable speed compressor shall modulate required flow of refrigerant to maintain 74 deg F (adjustable) space temperature for each zone
 - 2) On a call for heating, the thermostat shall cycle the reversing valve to maintain space temperature of 72 deg F (adjustable).
 - b. Unoccupied mode:



- 1) All ACU's shall maintain a space temperature of 85 deg F (adjustable) during summer and 55 deg F (adjustable) during winter. ERV will not operate in the unoccupied mode.
5. Scheduling: The schedule shall be communicated to the Unit's Niagara 4 BACnet MS/TP Direct Digital Controller as established in the GUI. The Unit shall remain in the "Unoccupied" setting until the Supply Fan has been proven to be "On" by the Supply Fan Current Switch (SFCS).
6. Primary Space Temperature Sensor (RMT): The Space Temperature sensor (RMT) shall have a locally adjustable Cooling setpoint with an Override Pushbutton; when the button is depressed, the LED shall light, ERV MD's shall be proven open, ERV shall be energized and the AC Unit shall be scheduled into the "Occupied" mode for up to 3 hours (adj.); upon the expiration of the override, the Unit shall revert to its scheduled sequence. The setpoints shall be controlled from the GUI. The setpoints shall be initially set at 74 °F (adj.) for cooling (CSP) and 70 °F (adj.) for Heating (HSP) with a setpoint adjustment span setting of ± 4 °F (adj.).
7. Secondary Space Temperature Sensor (RMT): AC-4 is a full back up unit for AC-3. A secondary space temperature sensor shall position the associated changeover motorized control dampers upon a detection of the Multi-purpose room temperature out of parameter and switch over the standby Staff Workroom AC unit for the primary conditioning of the space. All local thermostats are password protected.
8. Morning Warm Up Mode: Thermostat shall signal VRF controller to cycle reversing valve and compressors to bring up the space temperature from the unoccupied temperature to 72°F. Cycle start time shall be based on the difference between the current temperature and the occupied setpoint for optimum start controls. Additionally, the control algorithm shall also be a function of the outdoor temperature and the amount of time prior to scheduled occupancy.
9. Morning Cool Down Mode: Thermostat shall signal controller to activate all AC's and cycle ACCU compressors to cool down the space temperature from the unoccupied temperature to 75°F. Cycle start time shall be based on the difference between the current temperature and the occupied setpoint for optimum start controls. Additionally, the control algorithm shall also be a function of the outdoor temperature and the amount of time prior to scheduled occupancy
10. "Unoccupied/Night Set Back": The Unit's Supply fan (SFSS) shall be "off", The Direct expansion cooling shall be "off". The Energy Recovery Unit (ERV) shall be commanded "off". All AC's shall maintain a space temperature of 85 deg F (adjustable) during summer and 60 deg F (adjustable) during winter. ERV will not operate in the Unoccupied mode.
11. "Occupied": The Unit's Supply fan shall start and run continuously. The Energy Recovery Unit (ERV) shall be commanded "on".
12. Minimum Outside Air: The Minimum Outside Air is provided by the Energy Recovery Unit (ERV). The Energy Recovery Unit (ERV) shall provide neutral tempered air to the AC Units, through a combination of energy recovery and conditioning of the discharge air through the Electric Duct Heater (EDH-1).
13. Cooling/Heating: The AC Unit's Direct expansion (DX) shall sequence to maintain the Space Temperature sensor (RMT) at the scheduled Cooling and Heating Setpoint(s) (CSP/HSP).
14. The Multipurpose room is provided with a CO2 that will send an alarm only to the BMS if the threshold limits are exceeded.
15. Provide a motorized damper (MD) and a space sensor to modulate the MD to prevent overcooling/over heating to the Adult Stack room. MD to be controlled by the BMS.
16. Air Filter Monitoring: The AC units shall be monitored for runtime operation. A factory timer function alarm shall alert The City of New York staff after a preset period has elapsed to change the filters and indicated on the GUI. The ERV shall have a physical sensor that shall be monitored by the unit manufacturer and shall alert upon detection of a dirty filter condition. Provide a current sensor for



- filter monitoring if a factory runtime controller is not provided.
17. Liquid Detection Sensor (LDS): A Liquid Detector Sensor (LDS) shall monitor accumulated liquids in the Drip Pan. Upon detection, an alarm shall be sent to the BMS. If after 30 minutes and the alarm has not been resolved, the Unit’s cooling sequences shall be disabled.
 18. Operator And Graphical User Interface Requirements: The Building Management System Control Diagrams and the tables below shall provide for Operator Control of the VRF equipment through an accurate depiction of the devices within the unit, along with the I/O points, parameters and alarms shall be displayed on a customized 3-dimensional web-based graphic.

a. Input/Output Points:

Air Cooled Split System Unit	I/O Points						
Point Name/Description/Legend X = Direct Digit. Control I/O L = Local Control A = Adjustable O = Override	AI	AO	BI	BO	Trend	GUI	Device
All available BACnet I/O Points of the Units, including	X	X	X	X	X	X	X
Supply Fan Start/Stop (SFSS)				O	X	X	R
Supply Fan Status (SFST)			X		X	X	CS
Space Temperature (RMT)	X				X	X	TS
Space Temperature Setpoint (RMSP)	X				X	X	TS
Supply Air Temperature (SAT)	X				X	X	TS-D
Direct expansion (DX) cooling (CLG)				X	X	X	
Liquid Detection Sensor (LDS)			X		X	X	LDS
Analog Trends shall record data samples every 5 minutes, unless noted otherwise. Binary Trends shall record data samples every Change of Value (COV)							

b. Control Parameters and Settings:

Air Cooled Split System Unit	Parameters and Settings			
Parameter Name/Description X = Display on GUI C = Concealed A = Adjustable	AV	Trend	GUI	Initial-Setting
All available BACnet Parameters of the Units, including	X	X	X	X
Space Cooling Setpoint (CSP)	A	X	X	74 F°
Space Heating Setpoint (HSP)	A	X	X	72 F°
Discharge Air Temp. Low Limit (DALL)	A	X	C	55°F
Mixed Air Temperature Setpoint (MASP)	A	X	C	(Seq.)
Return Air “Warm-up” Setpoint (WUSP)	A	X	C	68°F
Space Temp “Warm-up” Setpoint (WUSP)	A	X	C	2 °F (WUSP – RMT)
CO2 Setpoint	A	X	X	1100 ppm
OA Minimum Position (OADSP)	A	X	X	Set by Balancer
All Alarm Setpoint and/or Parameters	A	X	C	Alarm settings
Alarm Reset	A	X	X	
Analog Trends shall record data samples every 5 minutes, unless noted otherwise. Binary Trends shall record data samples every Change of Value (COV)				



c. Alarms:

Air Cooled Split System Unit	Alarms and Conditions		
Alarm Name	Point	Normal	Alarm
All available BACnet Alarms of the Units, including	#	#	#
# Fan Failure	#FSS #FCS	#FSS = ON #FCS = ON	#FSS = ON #FCS = OFF
High Space Temperature	RMT		RMT more than 78 °F
Low Space Temperature	RMT		RMT less than 55 °F
High Supply Air Temperature	SAT		SAT more than 140 °F
Compressor status	#FSS #FCS	#FSS = ON #FCS = ON	#FSS = ON #FCS = OFF
Dirty Filter	FLTR	OFF	ON
Liquid Detected	LDS	OFF	ON

C. Energy Recovery Ventilation (ERV-1):

1. ERV units are prewired such that when a call for outside air is made (field supplied 24 VAC control signal wired to unit controller), the supply fan, exhaust fan, isolation and diverting dampers are energized to provide pre-conditioned ventilation air to all AC units. Temperature and moisture levels are decreased by the transfer of energy from the cooler drier exhaust air via the alternating energy cores. The ERV is normally slaved to any of the split ac systems operation unless the systems are in the Unoccupied mode.
2. The electric duct heater (EDH) is factory provided by ERV mfr. Controls to be interfaced to the ERV Bacnet controller for maintaining a 72 deg F supply ventilation air temperature to the AC units.
3. Morning Warm Up And Cool Down Mode: ERV will be de-energized and associated MD's will be closed.
4. "Unoccupied/Night Set Back": ERV will be de-energized and associated MD's will be closed.
5. "Occupied": The isolation MD's shall be proven open by ERV controller prior to starting the Supply and Return fans to run continuously.
6. Minimum Outside Air: The Energy Recovery Unit (ERV) shall provide neutral pre-conditioned ducted air to each AC Unit mixing plenum, through a combination of energy recovery and conditioning of the discharge air through the Electric Duct Heater (EDH-1).
7. Air Filter Monitoring: A factory differential filter pressure sensor shall alert the City of New York staff to clean the filters and indicate alarm on the GUI. The ERV shall have a physical sensor that shall be monitored by the unit manufacturer and shall alert upon detection of a dirty filter condition.
8. Liquid Detection Sensor (LDS): A Liquid Detector Sensor (LDS) shall monitor accumulated liquids in the Drip Pan. Upon detection, an alarm shall be sent to the BMS. If after 30 minutes and the alarm has not been resolved, the Unit's cooling sequences shall be disabled.
9. Operator And Graphical User Interface Requirements: The Building Management System Control Diagrams and the tables below shall provide for Operator Control of the ERV equipment through an accurate depiction of the devices within the unit, along with the I/O points, parameters and alarms shall be displayed on a customized 3-dimensional web-based graphic.



a. Input/Output Points:

ERV Point Name/Description/Legend X = Direct Digit. Control I/O L = Local Control A = Adjustable O = Override	I/O Points						
	AI	AO	BI	BO	Trend	GUI	Device
All available BACnet I/O Points of the Units, including	X	X	X	X	X	X	X
SAT Heat Recovery setpoint in deg F	X	X		O	X	X	TS
RAT Heat Recovery setpoint in deg F	X	X	X		X	X	TS
OAT Cooling Recovery setpoint in deg F	X	X			X	X	TS
Supply Air Temperature (SAT)	X		X		X	X	TS-D
Return Air Temperature (RAT)	X		X		X	X	TS-D
Outside Air Temperature (OAT)			X		X	X	TS-D
SA Blower VAV speed; 0 – 100 range	X	X			X	X	CS
RA Blower VAV speed; 0 – 100 range	X	X			X	X	CS
SA Blower constant speed; 0 – 100 range	X	X			X	X	CS
RA Blower constant speed; 0 – 100 range	X	X			X	X	CS
SA Blower 0-10Vdc output	X	X			X	X	CS
RA Blower 0-10Vdc output	X	X			X	X	CS
Damper in position 1			X		X	X	
Damper in position 2			X		X	X	
Customer enable	X	X					
Customer heat recovery	X	X					
SA blower enable			X		X	X	
RA blower enable			X		X	X	
EDH SCR; 0 – 10 Vdc	X	X					
External Isolation Damper Alarms			X		X	X	
Limit switch Alarm			X		X	X	
Actuator Alarm			X		X	X	
Low Limit Alarm			X		X	X	
Liquid Detection Sensor (LDS)			X		X	X	LDS
Analog Trends shall record data samples every 5 minutes, unless noted otherwise. Binary Trends shall record data samples every Change of Value (COV)							

b. Control Parameters and Settings:

ERV Parameter Name/Description X = Display on GUI C = Concealed A = Adjustable	Parameters and Settings			
	AV	Trend	GUI	Initial-Setting
All available BACnet Parameters of the Units, including	X	X	X	X
Supply Air Temperature (SAT)	X	X	X	
Return Air Temperature (RAT)				
Outside Air Temperature (OAT)	A	X	C	
EDH	A	X	C	
Free Cooling Enable Setpoint (FCLGSP)	A	X	C	55 °F
Free Cooling Temperature Differential (FCDT)	A	X	C	8 °F (OAT – RMT)
All Alarm Setpoint and/or Parameters	A	X	C	Alarm settings



Alarm Reset	A	X	X
Analog Trends shall record data samples every 5 minutes, unless noted otherwise. Binary Trends shall record data samples every Change of Value (COV)			

c. Alarms:

ERV	Alarms and Conditions		
Alarm Name	Point	Normal	Alarm
All available BACnet Alarms of the Units, including	#	#	#
# Supply Fan Failure Status	#FSS #FCS	#FSS = ON #FCS = ON	#FSS = ON #FCS = OFF
# Return Fan Failure Status	#FSS #FCS	#FSS = ON #FCS = ON	#FSS = ON #FCS = OFF
# Supply Fan VFD failure Status	#FSS #FCS	0 – 10 V	#FSS = ON #FCS = OFF
# Return Fan VFD failure Status	#FSS #FCS	0 – 10 V	#FSS = ON #FCS = OFF
External Isolation Damper Alarms			#FSS = ON #FCS = OFF
MIB1 Limit Switch Alarm			#FSS = ON #FCS = OFF
MIB2 Limit Switch Alarm			#FSS = ON #FCS = OFF
Actuator M6 Alarm			#FSS = ON #FCS = OFF
EDH SCR Alarm			#FSS = ON #FCS = OFF
Dirty Filter	FLTR	OFF	ON
Liquid Detected	LDS	OFF	ON

D. Wall/Floor Heaters – Electric (EH-1 Thru 5):

1. Scheduling: The schedule shall be communicated to the Unit’s Niagara 4 BACnet MS/TP Direct Digital Controller as established in the GUI.
2. Space Temperature Sensor (RMT): The Space Temperature sensor (RMT) shall be a blank vented steel plate. The setpoints shall be controlled from the GUI. The setpoints shall be initially set 70 °F (adj.) for Heating (HSP) during the occupied mode and 55 F (adj.) for night setback with a setpoint adjustment span setting of ± 4 °F (adj.).
3. Heating: The Unit shall sequence to maintain the Space Temperature sensor (RMT) at the scheduled Heating Setpoint(s) (HSP).
4. Operator And Graphical User Interface Requirements: The Building Management System Control Diagrams and the tables below shall provide for Operator Control of the HVAC equipment through an accurate depiction of the devices within the unit, along with the I/O points, parameters and alarms shall be displayed on a customized 3-dimensional web-based graphic.



a. Input/Output Points:

Wall Heater	I/O Points						
Point Name/Description/Legend X = Direct Digit. Control I/O L = Local Control A = Adjustable O = Override	AI	AO	BI	BO	Trend	GUI	Device
Space Temperature (RMT)	X				X	X	TS
Analog Trends shall record data samples every 5 minutes, unless noted otherwise. Binary Trends shall record data samples every Change of Value (COV)							

b. Control Parameters and Settings:

Wall Heater	Parameters and Settings			
Parameter Name/Description X = Display on GUI C = Concealed A = Adjustable	AV	Trend	GUI	Initial-Setting
Space Heating Setpoint (HSP)				
Alarm Reset	A	X	X	
Analog Trends shall record data samples every 5 minutes, unless noted otherwise. Binary Trends shall record data samples every Change of Value (COV)				

c. Alarms:

Wall Heater	Alarms and Conditions		
Alarm Name	Point	Normal	Alarm
High Space Temperature	RMT		RMT more than 78 °F
Low Space Temperature	RMT		RMT less than 55 °F

E. Transfer Fans (TF-1 & TF-2):

1. Scheduling: The schedule shall be communicated to the Unit's Niagara 4 BACnet MS/TP Direct Digital Controller as established in the GUI.
2. TF-2 Space Temperature Sensor (RMT): The Space Temperature sensor (RMT) shall be a blank vented steel plate. The setpoints shall be controlled from the GUI. The setpoints shall be initially set at 74 °F (adj.) for cooling (CSP) with a setpoint adjustment span setting of ± 4 °F (adj.).
3. TF-1 shall be provided with a manual timed start stop switch. TF-1 shall be de-energized after 2 hours (adj). TF-1 to be monitored by BMS.
4. Operator And Graphical User Interface Requirements: The Building Management System Control Diagrams and the tables below shall provide for Operator Control of the HVAC equipment through an accurate depiction of the devices within the unit, along with the I/O points, parameters and alarms shall be displayed on a customized 3-dimensional web-based graphic.

a. Input/Output Points:

Transfer Fans TF-1 & TF-2	I/O Points						
Point Name/Description/Legend X = Direct Digit. Control I/O L = Local Control A = Adjustable O = Override	AI	AO	BI	BO	Trend	GUI	Device
All available BACnet I/O Points of the Units, including	X	X	X	X	X	X	X
Fan Start/Stop (FSS)				O	X	X	R



Fan Status (FST)			X		X	X	CS
Space Temperature (RMT)	X				X	X	TS
Analog Trends shall record data samples every 5 minutes, unless noted otherwise. Binary Trends shall record data samples every Change of Value (COV)							

b. Control Parameters and Settings:

Transfer Fans TF-1 & TF-2	Parameters and Settings						
Parameter Name/Description X = Display on GUI C = Concealed A = Adjustable	AV	Trend	GUI	Initial-Setting			
Space Cooling Setpoint (CSP)	A	X	X	74 F°			
Alarm Reset	A	X	X				
Analog Trends shall record data samples every 5 minutes, unless noted otherwise. Binary Trends shall record data samples every Change of Value (COV)							

c. Alarms:

Transfer Fans TF-1 & TF-2	Alarms and Conditions		
Alarm Name	Point	Normal	Alarm
# Fan Failure	#FSS #FCS	#FSS = ON #FCS = ON	#FSS = ON #FCS = OFF
High Space Temperature	RMT		RMT more than 78 °F

F. Toilet Exhaust Fans (TX-1):

- Scheduling: The schedule shall be communicated to the Unit's Niagara 4 BACnet MS/TP Direct Digital controller as established in the GUI. TX-1 will be started and stopped via BMS timeclock features.
- An isolation motorized damper shall open and close with TX-1 operation, an end switch will be provided for BMS monitoring
- Operator And Graphical User Interface Requirements: The Building Management System Control Diagrams and the tables below shall provide for Operator Control of the HVAC equipment through an accurate depiction of the devices within the unit, along with the I/O points, parameters and alarms shall be displayed on a customized 3-dimensional web-based graphic.

a. Input/Output Points:

Toilet Exhaust Fan TX-1	I/O Points						
Point Name/Description/Legend X = Direct Digit. Control I/O L = Local Control A = Adjustable O = Override	AI	AO	BI	BO	Trend	GUI	Device
All available BACnet I/O Points of the Units, including	X	X	X	X	X	X	X
Fan Start/Stop (FSS)				O	X	X	R
Fan Status (FST)			X		X	X	CS
MD	X				X	X	TS
Analog Trends shall record data samples every 5 minutes, unless noted otherwise. Binary Trends shall record data samples every Change of Value (COV)							



b. Control Parameters and Settings:

Toilet Exhaust TX-1	Parameters and Settings			
Parameter Name/Description X = Display on GUI C = Concealed A = Adjustable	AV	Trend	GUI	Initial-Setting
MD	A	X	X	
Alarm Reset	A	X	X	
Analog Trends shall record data samples every 5 minutes, unless noted otherwise. Binary Trends shall record data samples every Change of Value (COV)				

c. Alarms:

Toilet Exhaust TX-1	Alarms and Conditions		
Alarm Name	Point	Normal	Alarm
# Fan Failure	#FSS	#FSS = ON	#FSS = ON
	#FCS	#FCS = ON	#FCS = OFF
# MD	#FSS	#FSS = ON	#FSS = ON
	#FCS	#FCS = ON	#FCS = OFF

G. Global Outside Air Temperature And Humidity:

1. The Building Management System Subcontractor (BMSS) shall provide, install, and wire an Outside Air temperature and humidity sensors with a weather/sunshields enclosure on a northern exposure of the building.
2. Operator And Graphical User Interface Requirements: The Building Management System Control Diagrams and the tables below shall provide for Operator Control of the HVAC equipment through an accurate depiction of the devices within the unit, along with the I/O points, parameters and alarms shall be displayed on a customized 3-dimensional web-based graphic.

a. Input/Output Points:

Global OAT and OARH	I/O Points						
Point Name/Description/Legend X = Direct Digit. Control I/O L = Local Control A = Adjustable O = Override	AI	AO	BI	BO	Trend	GUI	Device
Outside Air Temperature (OAT)	X				X	X	TS-O
Outside Air Humidity (OAH)	X				X	X	RH-O
Analog Trends shall record data samples every 5 minutes, unless noted otherwise. Binary Trends shall record data samples every Change of Value (COV)							

b. Control Parameters and Settings:

Global OAT and OARH	Parameters and Settings			
Parameter Name/Description X = Display on GUI C = Concealed A = Adjustable	AV	Trend	GUI	Initial-Setting



All Alarm Setpoint and/or Parameters	A	X	C	Alarm settings
Highest Values (Past24 hours)	X	X	X	
Lowest Values (Past 24 Hours)	X	X	X	
Calculated ° Days (Monthly)	X	X	X	
Calculated Enthalpy (btu/lbs.)	X	X	X	
Alarm Reset	A	X	X	
Analog Trends shall record data samples every 5 minutes, unless noted otherwise. Binary Trends shall record data samples every Change of Value (COV)				

c. Alarms:

Global OAT and OARH	Alarms and Conditions		
Alarm Name	Point	Normal	Alarm
Sensor Failure	OA#		Invalid

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General conditions for execution requirements.

3.2 GENERAL

A. Installation Criteria

1. Space mounted devices are to be identical in appearance. All devices shall be mounted under the same style cover.
2. Room sensors and thermostats shall not be located on outside walls.
3. Provide all relays, switches, sources of electricity and all other auxiliaries, accessories and connections necessary to make a complete operable system in accordance with the sequences specified.
4. Install controls so that adjustments and calibrations can be readily made. Controls are to be installed in coordination with the equipment manufacturer.
5. Mount surface mounted control devices, tubing and raceways on brackets to clear the final finished surface on insulation.
6. Conceal control conduit and wiring in all spaces except in the mechanical equipment rooms. And in unfinished spaces. Install in parallel banks with all changes in directions made at 90 degree angles.
7. Unless otherwise specified, install wall mounted sensors, thermostats and CO2 sensors to meet ICC A117.1-2009 requirements. Submit device samples, locations, mounting heights and details for approval for all devices.
8. All relays, electrical wiring, panels, outputs, etc. to make a complete operational system, shall be provided and installed by this section. See sequences of operation for details.



B. Design Criteria

1. The Automatic Temperature Control (ATC) shall be programmed to start and stop and Rooftop Unit based on occupancy schedules as coordinated with the Commissioner. Provide equipment interlocks as required to prevent improper operation.
2. Fire Alarm Interface for ERV
 - a. The Fire Alarm System shall provide outputs to notify the ATC of fire alarms.
 - b. ERV shall be stopped from the FAS. When the fan system stops, all associated dampers shall close unless otherwise noted. An alarm will be sent to the Master Control Panel and an email / text message shall be sent to City of New York personnel to investigate, monitor and reset the alarm.

END OF SECTION 230993.11

SECTION 232113 - HYDRONIC PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
1. Copper tube and fittings.
 2. Piping joining materials.
 3. Transition fittings.
 4. Dielectric fittings.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of the following:
1. Pipe and tube.
 2. Fittings.
 3. Joining materials.
 4. Transition fittings.
- B. Sustainable Design Submittals:
1. Product Data: For adhesives, indicating VOC content.
- C. Shop Drawing Submittals:
1. Provide shop drawings for any custom assembly for pipe anchors and alignment guides, hangers and supports for multiple pipes, and attachments of the same to the building structure.
 2. Locations of pipe anchors, alignment guides.
 3. Locations of and details for penetrations, including sleeves and sleeve seals for exterior walls, floors, basement, and foundation walls.
 4. Locations of and details for penetration and firestopping for fire-rated wall and floor and ceiling assemblies.

- D. Coordination Drawings: Piping layout, drawn to scale, indicating the items described in this Section, and coordinated with all building trades.

1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Condensate piping components and installation are to be capable of withstanding the following minimum working pressures and temperatures unless otherwise indicated:

- 1. Condensate-Drain Piping: 100 deg F

2.2 COPPER TUBE AND FITTINGS

- A. Drawn-Temper Copper Tube: ASTM B88, Type K and ASTM B88, Type L
- B. Annealed-Temper Copper Tube: ASTM B88, Type K and ASTM B88, Type L.
- C. DWV Copper Tube: ASTM B306, Type DWV.
- D. Cast-Copper, Solder-Joint Fittings: ASME B16.18 pressure fittings. Do not use solder joints on pipe sizes greater than NPS 4.
- E. Wrought-Copper, Solder-Joint Fittings: ASME B16.22 pressure fittings. Do not use solder joints on pipe sizes greater than NPS 4.
- F. Wrought-Copper Unions: ASME B16.22. Do not use solder joints on pipe sizes greater than NPS 4
- G. Copper-Tube, Mechanically Formed Tee Fitting: For forming T-branch on copper water tube.
 - 1. Description: Tee formed in copper tube in accordance with ASTM F2014.
- H. Copper-Tube, Pressure-Seal-Joint Fittings - Copper or Bronze:
 - 1. Source Limitations: Obtain copper-tube pressure-seal-joint fittings from single manufacturer.
 - 2. Housing: Copper or bronze.
 - 3. O-Rings and Pipe Stops: EPDM.
 - 4. Tools: Manufacturer's special tools.
 - 5. Minimum 200 psig working pressure rating at 250 deg F.

2.3 PIPING JOINING MATERIALS

- A. Solder Filler Metals: ASTM B32, lead-free alloys.
- B. Flux: ASTM B813, water flushable.
- C. Brazing Filler Metals: AWS A5.8/A5.8M, BCuP Series, copper-phosphorus alloys for joining copper with copper; or BAg-1, silver alloy for joining copper with bronze.

2.4 TRANSITION FITTINGS

- A. General Requirements:
 - 1. Same size as pipes to be joined.
 - 2. Pressure rating at least equal to pipes to be joined.
 - 3. End connections compatible with pipes to be joined.
- B. Fitting-Type Transition Couplings: Manufactured piping coupling or specified piping system fitting.
- C. Plastic-to-Metal Transition Fittings:
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Charlotte Pipe and Foundry Company.
 - b. IPEX USA LLC.
 - c. Uponor.
 - d. Viega LLC.
 - e. Or approved equal.
 - 2. One-piece fitting with one threaded brass or copper insert and one solvent-cement-joint end of material and wall thickness to match plastic pipe material.

2.5 DIELECTRIC FITTINGS

- A. General Requirements: Assembly of copper alloy and ferrous materials with separating nonconductive insulating material. Include end connections compatible with pipes to be joined.
- B. Dielectric Unions:
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. GF Piping Systems: Georg Fischer LLC.
 - b. HART Industrial Unions, LLC.
 - c. Matco-Norca.
 - d. Or approved equal.
 - 2. Source Limitations: Obtain dielectric unions from single manufacturer.

3. Description:
 - a. Standard: ASSE 1079.
 - b. Pressure Rating: 125 psig minimum at 180 deg F.
 - c. End Connections: Solder-joint copper alloy and threaded ferrous. Solder joints are not to be used on pipe sizes greater than NPS 4.

C. Dielectric Nipples:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Anvil International/Smith-Cooper International; Tailwind Capital, LLC.
 - b. Matco-Norca.
 - c. Precision Plumbing Products.
 - d. Or approved equal.
2. Source Limitations: Obtain dielectric nipples from single manufacturer.
3. Description:
 - a. Standard: IAPMO PS 66.
 - b. Electroplated steel nipple, complying with ASTM F1545.
 - c. Pressure Rating: Minimum 300 psigat 225 deg F
 - d. End Connections: Male threaded or grooved.
 - e. Lining: Inert and noncorrosive, propylene.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 PIPING APPLICATIONS

- A. Condenser-Water Piping, Aboveground, NPS 2 and Smaller, to Be Any of the Following:
 1. Type K Type L, drawn-temper copper tubing, wrought-copper fittings, and soldered or brazed joints.

3.3 INSTALLATION OF SYSTEM CONDENSATE DRAIN PIPING

- A. General Requirements for Drain Piping and Tubing:
 1. Install a union in piping at each threaded unit connection.
 2. Install an adjustable stainless-steel hose clamp with adjustable gear operator on unit hose connections. Tighten clamp to provide a leak-free installation.
 3. If required for unit installation, provide a trap assembly in drain piping to prevent air circulated through unit from passing through drain piping. Comply with more stringent of the following:

- a. Details indicated on Drawings.
 - b. Manufacturer's requirements.
 - c. The NYC Mechanical Code.
 - d. In the absence of requirements, comply with ASHRAE requirements.
4. Extend drain piping from units with drain connections to drain receptors as indicated on Drawings. If not indicated on Drawings, terminate drain connection at nearest accessible location that is not exposed to view by occupants.
 5. Provide each 90-degree change in direction with a Y- or T-fitting. Install a threaded plug connection in the dormant side of fitting or future use as a service cleanout.

B. Gravity Drains:

1. Slope piping from unit connection toward drain termination at a constant slope of not less than one percent.

C. Pumped Drains:

1. If unit condensate pump or lift mechanism is not included with an integral check valve, install a full-size check valve in each branch pipe near unit connection to prevent backflow into unit.

3.4 INSTALLATION OF REFRIGERANT PIPING

A. Refrigerant Tubing Kits:

1. Unroll and straighten tubing to suit installation. Deviations in straightness of exposed tubing shall be unnoticeable to observer.
2. Support tubing using hangers and supports indicated at intervals not to exceed 5 feet minimum rod size, 1/4 inch.
3. Prepare tubing ends and make mating connections to provide a pressure tight and leak-free installation.

B. Install refrigerant piping according to ASHRAE 15 and Chapter 11 of the NYC Mechanical Code.

C. Select system components with pressure rating equal to or greater than system operating pressure.

D. Install piping as short and direct as possible, with a minimum number of joints and fittings.

E. Arrange piping to allow inspection and service of equipment. Install valves and specialties in accessible locations to allow for service and inspection. Install access doors or panels as specified in Section 083113 "Access Doors and Frames" if valves or equipment requiring maintenance is concealed behind finished surfaces.

F. Install refrigerant piping and tubing in protective conduit where installed belowground.

G. Install refrigerant piping and tubing in rigid or flexible conduit in locations where exposed to mechanical damage.

- H. Unless otherwise required by VRF HVAC system manufacturer, slope refrigerant piping and tubing as follows:
 - 1. Install horizontal hot-gas discharge piping and tubing with a uniform slope downward away from compressor.
 - 2. Install horizontal suction lines with a uniform slope downward to compressor.
 - 3. Install traps to entrain oil in vertical runs.
 - 4. Liquid lines may be installed level.
- I. When brazing, remove or protect components that could be damaged by heat.
- J. Before installation, clean piping, tubing, and fittings to cleanliness level required by VRF HVAC system manufacturer.
- K. Joint Construction:
 - 1. Ream ends of tubes and remove burrs.
 - 2. Remove scale, slag, dirt, and debris from inside and outside of tube and fittings before assembly.
 - 3. Construct joints according to AWS's "Brazing Handbook," "Pipe and Tube" Chapter.
 - a. Use Type BCuP (copper-phosphorus) alloy for joining copper fittings with copper tubing.
 - b. Use Type BAg (cadmium-free silver) alloy for joining copper with bronze.

3.5 INSTALLATION OF PIPING

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems. Indicated locations and arrangements are used to size pipe and calculate friction loss, expansion, and other design considerations. Install piping as indicated unless deviations to layout are approved on coordination drawings.
- B. Install piping in concealed locations unless otherwise indicated and except in equipment rooms and service areas.
- C. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- D. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal.
- E. Install piping at indicated slopes.
- F. Install piping free of sags and bends.
- G. Install fittings for changes in direction and branch connections.
- H. Install piping to allow application of insulation.
- I. Install groups of pipes parallel to each other, spaced to permit applying insulation and servicing of valves.

- J. Install piping at a uniform grade of 0.2 percent upward in direction of flow.
- K. Reduce pipe sizes using eccentric reducer fitting installed with level side up.
- L. Comply with requirements in Section 230553 "Identification for HVAC Piping and Equipment" for identifying piping.
- M. Install sleeves for piping penetrations of walls, ceilings, and floors. Comply with requirements for sleeves specified in Section 230517 "Sleeves and Sleeve Seals for HVAC Piping."
- N. Install sleeve seals for piping penetrations of concrete walls and slabs. Comply with requirements for sleeve seals specified in Section 230517 "Sleeves and Sleeve Seals for HVAC Piping."
- O. Install escutcheons for piping penetrations of walls, ceilings, and floors.

3.6 JOINT CONSTRUCTION

- A. Ream ends of pipes and tubes and remove burrs. Bevel plain ends of steel pipe.
- B. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.
- C. Soldered Joints: Apply ASTM B813, water-flushable flux, unless otherwise indicated, to tube end. Construct joints in accordance with ASTM B828 or CDA's "Copper Tube Handbook," using lead-free solder alloy complying with ASTM B32.
- D. Brazed Joints: Construct joints in accordance with AWS's "Brazing Handbook," "Pipe and Tube" chapter, using copper-phosphorus brazing filler metal complying with AWS A5.8/A5.8M.
- E. Threaded Joints: Thread pipe with tapered pipe threads in accordance with ASME B1.20.1. Cut threads full and clean using sharp dies. Ream threaded pipe ends to remove burrs and restore full ID. Join pipe fittings and valves as follows:
 - 1. Apply appropriate tape or thread compound to external pipe threads unless dry seal threading is specified.
 - 2. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged. Do not use pipe sections that have cracked or open welds.
- F. Mechanically Formed Tee Fittings: Use manufacturer-recommended tools, procedure, and brazed joints.
- G. Pressure-Seal Joints: Use manufacturer-recommended tools and procedure. Leave insertion marks on pipe after assembly.

3.7 INSTALLATION OF DIELECTRIC FITTINGS

- A. Install dielectric fittings in piping at connections of dissimilar metal piping and tubing.
- B. Dielectric Fittings for NPS 2 and Smaller: Use dielectric nipples.

3.8 INSTALLATION OF HANGERS AND SUPPORTS

- A. Comply with requirements in Section 230529 "Hangers and Supports for HVAC Piping and Equipment" for hangers, supports, and anchor devices.
- B. Install hangers for copper tubing, with maximum horizontal spacing and minimum rod diameters, to comply with MSS-58 and the requirements of the NYC Building Code, whichever are most stringent.
- C. Support horizontal piping within 12 inches of each fitting and coupling.
- D. Support vertical runs of copper tubing to comply with MSS-58, and the requirements of the NYC Building Code, whichever are most stringent.

3.9 IDENTIFICATION

- A. Identify system components. Comply with requirements for identification materials and installation in Section 230553 "Identification for HVAC Piping and Equipment."

3.10 FIELD QUALITY CONTROL

- A. Prepare hydronic piping in accordance with ASME B31.9 and as follows:
 - 1. Leave joints, uninsulated and exposed for examination during test.

END OF SECTION 232113

SECTION 232300 - REFRIGERANT PIPING.

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:

1. Refrigerant pipe and fittings.
2. Refrigerant piping valves and specialty devices.
3. Refrigerants.

- B. SUBMITTAL PROCEDURES

- C. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.3 ACTION SUBMITTALS

- A. Product Data: For the following:

1. Solenoid valves.
2. Thermostatic expansion valves.
3. Hot-gas bypass valves.
4. Strainers.
5. Filter dryers.
6. Pressure-regulating valves.
7. Mufflers.

- B. Product Data Submittals: For each product.

1. Submit data for each type of refrigerant piping, fitting, valve, piping specialty, and refrigerant.

- C. Engineering Service Submittals: For final coordinated refrigerant pipe sizing, pipe layout, equipment arrangement and application of piping specialties including: oil traps, suction accumulators, double riser assemblies, REFnet fittings and Y-branch fittings and other appurtenances required to accommodate equipment selected and installed, the elevation difference between system compressor(s) and evaporator(s), and total length of piping to control pressure drop, oil return, and to ensure proper operation and compliance with manufacturer's warranties of installed equipment.

- D. Piping size and layout shall be reviewed and accepted by Refrigeration Equipment Manufacturer prior to installation of same. Refer to Section 238129 "Variable Refrigerant Flow HVAC System."
- E. Sustainable Design Submittals:
 - 1. Product Data for EA Prerequisite 3, "Fundamental Refrigerant Management": For refrigerants, indicating compliance with refrigerant management practices.
- F. Shop Drawings:
 - 1. Show final coordinated refrigerant pipe sizing, pipe layout, equipment arrangement and application of piping specialties including: oil traps, suction accumulators, double riser assemblies, REFnet fittings and Y-branch fittings and other appurtenances required to accommodate equipment selected and installed, elevation difference between system compressor(s) and evaporator(s), and total length of piping to control pressure drop, oil return, and to ensure proper operation and compliance with manufacturer's warranties of installed equipment.
 - 2. Show interface and spatial relationships between piping and equipment.
 - 3. Shop Drawing Scale: 1/4 inch equals 1 foot.

1.4 INFORMATIONAL SUBMITTALS

- A. Brazing Certificates: For each pipe brazer performing field welding on Project.
- B. Field Quality-Control Reports: For each field quality control test and inspection.

1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For refrigerant valves and piping specialties to include in maintenance manuals.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Brazing Qualifications: Qualify procedures and personnel according to ASME Boiler and Pressure Vessel Code: Section IX, "Welding, Brazing, and Fusing Qualifications."

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Store piping with end caps in place to ensure that piping interior and exterior are clean when installed.
- B. Prepare valves and piping specialties for shipping as follows:
 - 1. Protect internal parts against rust and corrosion.
 - 2. Protect threads and other end connections.
- C. Use the following precautions during storage:

1. Maintain valve and piping specialty end protection.
2. Store valves and specialties indoors and maintain at higher-than-ambient-dew-point temperature.
3. If outdoor storage is necessary, store valves off the ground in watertight enclosures.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Engineered Services: Engage a qualified professional engineer licensed in the State of New York, as defined in DDC General Conditions, for performing and/or reviewing the work associated with pipe sizing, pipe layout, equipment arrangement and application of piping specialties including: oil traps, suction accumulators, double riser assemblies, REFnet fittings and Y-branch fittings and other appurtenances required to accommodate equipment selected and installed, elevation difference between system compressor(s) and evaporator(s), and total length of piping to control pressure drop, oil return, and to ensure proper operation and compliance with manufacturer's warranties of installed equipment.
- B. Comply with ASHRAE 15, "Safety Code for Refrigeration Systems."
- C. Comply with ASME B31.5, "Refrigeration Piping and Heat Transfer Components."
- D. Comply with Refrigeration Equipment Manufacturer's Installation Requirements, Refer to Section 238129 "Variable Refrigerant Flow HVAC System."
- E. Line Test Pressure for Refrigerant R-410A:
 1. Suction Lines for Air-Conditioning Applications: 300 psig.
 2. Suction Lines for Heat-Pump Applications: 535 psig.
 3. Hot-Gas and Liquid Lines: 535 psig.

2.2 COPPER TUBE AND FITTINGS

- A. Copper Tube: ASTM B 280, Type ACR.
- B. Wrought-Copper Fittings: ASME B16.22.
- C. Wrought-Copper Unions: ASME B16.22.
- D. Solder Filler Metals: ASTM B 32. Use 95-5 tin antimony or alloy HB solder to join copper socket fittings on copper pipe.
- E. Brazing Filler Metals: AWS A5.8 / A5.8M.
- F. Flexible Connectors:
 1. Body: Tin-bronze bellows with woven, flexible, tinned-bronze-wire-reinforced protective jacket.
 2. End Connections: Socket ends.
 3. Offset Performance: Capable of minimum ¾ inch misalignment in minimum 7 inch long assembly.

4. Working Pressure Rating: Factory test at minimum 500 psig.
5. Maximum Operating Temperature: 250 deg F.

2.3 VALVES AND SPECIALTIES

A. Diaphragm Packless Valves:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Danfoss, Inc.
 - b. Henry Technologies Inc.; The Henry Group.
 - c. Parker Hannifin Corporation.
 - d. Or approved equal.
2. Body and Bonnet: Forged brass or cast bronze; globe design with straight-through or angle pattern.
3. Diaphragm: Phosphor bronze and stainless steel with stainless-steel spring.
4. Operator: Rising stem and hand wheel.
5. Seat: Nylon.
6. End Connections: Socket.
7. Working Pressure Rating: 500 psig.
8. Maximum Operating Temperature: 275 deg F.

B. Packed-Angle Valves:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Danfoss, Inc.
 - b. Henry Technologies Inc.; The Henry Group.
 - c. Parker Hannifin Corporation.
 - d. Or approved equal.
2. Body and Bonnet: Forged brass or cast bronze.
3. Packing: Molded stem, back seating, and replaceable under pressure.
4. Operator: Rising stem.
5. Seat: Nonrotating, self-aligning polytetrafluoroethylene.
6. Seal Cap: Forged-brass hex cap or 30 % glass filled nylon hex cap.
7. End Connections: Socket.
8. Working Pressure Rating: 500 psig.
9. Maximum Operating Temperature: 275 deg F.

C. Check Valves:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Danfoss, Inc.
 - b. Henry Technologies Inc.; The Henry Group.
 - c. Parker Hannifin Corporation.
 - d. Or approved equal.

2. Body: Ductile iron, forged brass, or cast bronze; globe pattern.
3. Bonnet: Bolted ductile iron, forged brass, or cast bronze; or brass hex plug.
4. Piston: Removable polytetrafluoroethylene seat.
5. Closing Spring: Stainless steel.
6. Manual Opening Stem: Seal cap, plated-steel stem, and graphite seal.
7. End Connections: Socket.
8. Maximum Opening Pressure: 0.50 psig.
9. Working Pressure Rating: 500 psig.
10. Maximum Operating Temperature: 275 deg F.

D. Service Valves:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Danfoss, Inc.
 - b. Henry Technologies Inc.; The Henry Group.
 - c. Parker Hannifin Corporation.
 - d. Or approved equal.
2. Body: Forged brass with brass cap including key end to remove core.
3. Core: Removable ball-type check valve with stainless-steel spring.
4. Seat: Polytetrafluoroethylene.
5. End Connections: Socket.
6. Working Pressure Rating: 500 psig.

E. Refrigerant Locking Caps:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. C & D Valve, LLC.
 - b. JB Industries.
 - c. Refrigeration Sales, Inc.
 - d. Or approved equal.
2. Description: Locking-type, tamper-resistant, threaded caps to protect refrigerant-charging ports from unauthorized refrigerant access and leakage.
3. Material: Brass, with protective shroud or sleeve.
4. Refrigerant Identification: Color-coded, refrigerant specific based on AHRI Guideline N.
5. Special Tool: For installing and unlocking.

F. Solenoid Valves:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Danfoss, Inc.
 - b. Henry Technologies Inc.; The Henry Group.
 - c. Parker Hannifin Corporation.
 - d. Or approved equal.

2. Body and Bonnet: Plated steel.
 3. Solenoid Tube, Plunger, Closing Spring, and Seat Orifice: Stainless steel.
 4. Seat: Polytetrafluoroethylene.
 5. End Connections: Threaded.
 6. Electrical: Molded, watertight coil in NEMA 250 enclosure of type required by location with 1/2-inch conduit adapter, and 24 V ac coil.
 7. Working Pressure Rating: 400 psig.
 8. Maximum Operating Temperature: 240 deg F.
 9. Comply with AHRI 760 I-P and UL 429; listed and labeled by an NRTL.
- G. Safety Relief Valves: Comply with ASME Boiler and Pressure Vessel Code; listed and labeled by an NRTL.
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Danfoss, Inc.
 - b. Henry Technologies Inc.; The Henry Group.
 - c. Parker Hannifin Corporation.
 - d. Or approved equal.
 2. Body and Bonnet: Ductile iron and steel, with neoprene O-ring seal.
 3. Piston, Closing Spring, and Seat Insert: Stainless steel.
 4. Seat: Polytetrafluoroethylene.
 5. End Connections: Threaded.
 6. Working Pressure Rating: 400 psig..
 7. Maximum Operating Temperature: 240 deg F. Comply with ASME Boiler and Pressure Vessel Code; listed and labeled by an NRTL.
- H. Thermostatic Expansion Valves:
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Danfoss, Inc.
 - b. Henry Technologies Inc.; The Henry Group.
 - c. Parker Hannifin; Sporlan Division (Zoomlock).
 - d. Or approved equal.
 2. Body, Bonnet, and Seal Cap: Forged brass or steel.
 3. Diaphragm, Piston, Closing Spring, and Seat Insert: Stainless steel.
 4. Packing and Gaskets: Non-asbestos.
 5. Capillary and Bulb: Copper tubing filled with refrigerant charge.
 6. Suction Temperature: 40 deg F.
 7. Superheat: Adjustable.
 8. Reverse-flow option for heat-pump applications.
 9. End Connections: Socket, flare, or threaded union.
 10. Working Pressure Rating: 450 psig. Comply with AHRI 750 I-P
- I. Hot-Gas Bypass Valves:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Danfoss, Inc.
 - b. Henry Technologies Inc.; The Henry Group.
 - c. Parker Hannifin Corporation.
 - d. Or approved equal.
2. Body, Bonnet, and Seal Cap: Ductile iron or steel.
3. Diaphragm, Piston, Closing Spring, and Seat Insert: Stainless steel.
4. Packing and Gaskets: Non-asbestos.
5. Solenoid Tube, Plunger, Closing Spring, and Seat Orifice: Stainless steel.
6. Seat: Polytetrafluoroethylene.
7. Equalizer: External.
8. Electrical: Molded, watertight coil in NEMA 250 enclosure of type required by location with 1/2-inch conduit adapter and 24 V ac coil.
9. End Connections: Socket.
10. Set Pressure: Factory set, adjustable.
11. Throttling Range: Maximum 5 psig
12. Working Pressure Rating: 500 psig
13. Maximum Operating Temperature: 240 deg F. Comply with UL 429; listed and labeled by an NRTL.

J. Straight-Type Strainers:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Danfoss, Inc.
 - b. Henry Technologies Inc.; The Henry Group.
 - c. Parker Hannifin Corporation.
 - d. Or approved equal.
2. Body: Welded steel with corrosion-resistant coating.
3. Screen: 100-mesh stainless steel.
4. End Connections: Socket.
5. Working Pressure Rating: 500 psig.
6. Maximum Operating Temperature: 275 deg F.

K. Angle-Type Strainers:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Danfoss, Inc.
 - b. Henry Technologies Inc.; The Henry Group.
 - c. Parker Hannifin Corporation.
 - d. Or approved equal.
2. Body: Forged brass or cast bronze.
3. Drain Plug: Brass hex plug.
4. Screen: 100-mesh monel.
5. End Connections: Socket.

6. Working Pressure Rating: 500 psig.
7. Maximum Operating Temperature: 275 deg F.

L. Moisture/Liquid Indicators:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Danfoss, Inc.
 - b. Henry Technologies Inc.; The Henry Group.
 - c. Parker Hannifin Corporation.
 - d. Or approved equal.
2. Body: Forged brass.
3. Window: Replaceable, clear, fused glass window with indicating element protected by filter screen.
4. Indicator: Color coded to show moisture content in parts per million (ppm).
5. Minimum Moisture Indicator Sensitivity: Indicate moisture above 60 ppm.
6. End Connections: Socket.
7. Working Pressure Rating: 500 psig.
8. Maximum Operating Temperature: 240 deg F.

M. Replaceable-Core Filter Dryers:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Danfoss, Inc.
 - b. Henry Technologies Inc.; The Henry Group.
 - c. Parker Hannifin Corporation.
 - d. Or approved equal.
2. Body and Cover: Painted-steel shell with ductile-iron cover, stainless-steel screws, and neoprene gaskets.
3. Filter Media: 10 micron, pleated with integral end rings; stainless-steel support.
4. Desiccant Media: Molecular sieve with activated alumina.
5. Designed for reverse flow (for heat-pump applications).
6. End Connections: Socket.
7. Access Ports: NPS 1/4 connections at entering and leaving sides for pressure differential measurement.
8. Maximum Pressure Loss: 2 psig.
9. Rated Flow: \geq circuit capacity, Tons.
10. Working Pressure Rating: 500 psig.
11. Maximum Operating Temperature: 240 deg F.
12. Comply with AHRI 730 I-P

N. Mufflers:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Danfoss, Inc.
 - b. Henry Technologies Inc.; The Henry Group.
 - c. Parker Hannifin; Sporlan Division (Zoomlock).

- d. Or approved equal.
- 2. Body: Welded steel with corrosion-resistant coating.
- 3. End Connections: Socket.
- 4. Working Pressure Rating: 500 psig.
- 5. Maximum Operating Temperature: 275 deg F.

O. Liquid Accumulators:

- 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Emerson Climate Technologies; Emerson Electric Co.
 - b. Henry Technologies Inc.; The Henry Group.
 - c. Parker Hannifin Corporation.
 - d. Or approved equal.
- 2. Body: Welded steel with corrosion-resistant coating.
- 3. End Connections: Socket.
- 4. Working Pressure Rating: 500 psig.
- 5. Maximum Operating Temperature: 275 deg F.
- 6. Comply with AHRI 495.

2.4 REFRIGERANTS

A. R-410A: Pentafluoroethane/Difluoromethane.

- 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. National Refrigerants Ltd.
 - b. DuPont Fluorochemicals Div.
 - c. Genetron Refrigerants; Honeywell International Inc.
 - d. Or approved equal.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 PIPING APPLICATIONS

- A. Hot-Gas Lines, Liquid Lines, Suction Lines and Safety Relief Discharge for Heat-Pump Applications: Copper, Type ACR drawn-temper tubing, wrought-copper fittings with brazed joints.

3.3 VALVE AND SPECIALTY APPLICATIONS

- A. Unless otherwise provided by the Refrigeration Equipment Manufacturer as part of their factory piping, install diaphragm packless or packed-angle valves in the suction and discharge lines of each refrigeration compressor.
- B. Unless otherwise provided by the Refrigeration Equipment Manufacturer as part of their factory piping, install service valves in the suction and discharge lines of each refrigeration compressor.
- C. Unless otherwise provided by the Refrigeration Equipment Manufacturer as part of their factory piping, install flexible connectors at each refrigeration compressor.
- D. Unless otherwise provided by the Refrigeration Equipment Manufacturer as part of their factory piping, install a check valve at the discharge of each refrigeration compressor.
- E. Unless otherwise provided by the Refrigeration Equipment Manufacturer as part of their factory piping, install a muffler at the discharge of each refrigeration compressor.
- F. Unless prohibited by or otherwise provided by the Refrigeration Equipment Manufacturer as part of their factory piping, install a liquid accumulator at the suction of each compressor.
- G. Unless otherwise provided by the Refrigeration Equipment Manufacturer as part of their factory piping, install strainers upstream of and close to the following:
 - 1. Thermostatic expansion valves.
 - 2. Compressor suction.
- H. Unless otherwise provided by the Refrigeration Equipment Manufacturer as part of their factory piping, install filter dryer in liquid line between compressor and thermostatic expansion valve and in the suction line at the compressor.
- I. Unless otherwise provided by the Refrigeration Equipment Manufacturer as part of their factory piping, install moisture / liquid indicators in the liquid after the filter dryer.
- J. Unless otherwise provided by the Refrigeration Equipment Manufacturer as part of their factory piping, install a full-size, three-valve bypass around filter dryers.
- K. Unless otherwise provided by the Refrigeration Equipment Manufacturer as part of their factory piping, install solenoid valves upstream from each expansion valve and hot-gas bypass valve. Install solenoid valves in horizontal lines with coil at top.
- L. Unless otherwise provided by the Refrigeration Equipment Manufacturer as part of their factory piping, install thermostatic expansion valves as close as possible to distributors on evaporators:
 - 1. Install valve so diaphragm case is warmer than bulb.
 - 2. Secure bulb to clean, straight, horizontal section of suction line using two bulb straps. Do not mount bulb in a trap or at bottom of the line.

3. If external equalizer lines are required, make connection where it will reflect suction-line pressure at bulb location.
- M. Install safety-relief valves where required by ASME Boiler and Pressure Vessel Code. Pipe safety-relief-valve discharge line to outside in accordance with ASHRAE 15.
- N. Unless otherwise provided by the Refrigeration Equipment Manufacturer as part of their factory piping, install moisture/liquid indicators in liquid line at the inlet of the thermostatic expansion valve or at the inlet of the evaporator coil capillary tube.
- O. Unless otherwise provided by the Refrigeration Equipment Manufacturer as part of their factory piping, install strainers upstream from and adjacent to the following unless they are furnished as an integral assembly for the device being protected:
 1. Solenoid valves.
 2. Thermostatic expansion valves.
 3. Hot-gas bypass valves.
 4. Compressor.
- P. Install receivers sized to accommodate pump-down charge.
- Q. Provide refrigerant locking caps on refrigerant charging ports that are located outdoors unless otherwise protected from unauthorized access by a means acceptable to the Commissioner.

3.4 INSTALLATION OF PIPING, GENERAL

- A. Install refrigerant piping in accordance with the requirements of the ASHRAE 15 Standard.
- B. Comply with Refrigeration Equipment Manufacturer's Installation Requirements, Refer to Section 238129 "Variable Refrigerant Flow HVAC System."
- C. Unless shown otherwise on the Design Drawings, install refrigerant piping in concealed locations. When installing refrigerant piping above accessible ceilings, allow sufficient space for ceiling panel removal.
- D. Install refrigerant piping straight, plumb and in parallel with or at right angles to building walls and interior partitions.
- E. Install refrigerant piping located adjacent to HVAC equipment to allow adequate horizontal and vertical clearance for service and maintenance.
- F. Install standard refrigerant pipe fittings for changes in direction and branch pipe connections.
- G. Select system components with pressure rating equal to or greater than system operating pressure.
- H. Install piping as short and direct as possible, with a minimum number of joints, elbows, and fittings.

- I. Arrange piping to allow inspection and service of refrigeration equipment. Install valves and specialties in accessible locations to allow for service and inspection. Install access doors or panels as specified in Section 083113 "Access Doors and Frames" if valves or equipment requiring maintenance is concealed behind finished surfaces.
- J. Install refrigerant piping in protective conduit where installed belowground.
- K. Install refrigerant piping in rigid or flexible conduit in locations where exposed to mechanical injury.
- L. Slope refrigerant piping as follows:
 - 1. Install horizontal hot-gas discharge piping with a uniform slope downward away from compressor.
 - 2. Install horizontal suction lines with a uniform slope downward to compressor.
 - 3. Install traps and double risers to entrain oil in vertical runs.
 - 4. Liquid lines may be installed level.
- M. Install refrigerant piping with adequate clearance between pipe and adjacent walls and hangers or between pipes for required insulation thickness.
- N. Identify refrigerant piping and valves according to Section 230553 "Identification for HVAC Piping and Equipment."
- O. Install sleeves for refrigerant piping penetrations of walls, ceilings, and floors. Comply with requirements for sleeves specified in Section 230517 "Sleeves and Sleeve Seals for HVAC Piping."
- P. Install fire stopping for piping penetrations of fire rated construction. Comply with requirements for fire stopping specified in Section 078413 "Penetration Firestopping."

3.5 PIPE JOINT CONSTRUCTION

- A. Ream ends of pipes and tubes and remove burrs. Bevel plain ends of steel pipe.
- B. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.
- C. Fill pipe and fittings with an inert gas (nitrogen or carbon dioxide), during brazing or welding, to prevent scale formation.
- D. Soldered Joints: Construct joints in accordance with ASTM B828 or CDA's "Copper Tube Handbook."
- E. Brazed Joints: Construct joints in accordance with AWS BRH, "Brazing Handbook," Ch. 35, "Pipe and Tubing."
 - 1. Use Type BCuP (copper-phosphorus) alloy for joining copper socket fittings with copper pipe.
 - 2. Use Type BA_g (cadmium-free silver) alloy for joining copper with bronze or steel.
- F. Threaded Joints: Thread steel pipe with tapered pipe threads in accordance with ASME B1.20.1. Cut threads full and clean using sharp dies. Ream threaded pipe ends to remove burrs and to restore full ID. Join pipe fittings and valves as follows:

1. Apply appropriate tape or thread compound to external pipe threads unless dry-seal threading is specified.
 2. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged. Do not use pipe sections that have cracked or open welds.
- G. Flanged Joints: Select appropriate gasket material, size, type, and thickness for service application. Install gasket concentrically positioned. Use suitable lubricants on bolt threads.

3.6 INSTALLATION OF HANGERS AND SUPPORTS

- A. Comply with Section 230529 "Hangers and Supports for HVAC Piping and Equipment" for hangers, supports, and anchor devices.
- B. Install hangers for copper tubing with the following maximum spacing and minimum rod diameters:
1. NPS 3/8 to 7/8: Maximum horizontal span, 4 feet; minimum rod, 1/4 inch.
 2. NPS 1: Maximum horizontal span, 6 feet; minimum rod, 1/4 inch.
 3. NPS 1-1/4: Maximum horizontal span, 8 feet; minimum rod, 3/8 inch.
 4. NPS 2-1/2: Maximum horizontal span, 10 feet; minimum rod, 1/2 inch.
 5. NPS 4: Maximum horizontal span, 12 feet; minimum rod, 3/4 inch.
- C. Install hangers for copper tubing, with maximum horizontal spacing and minimum rod diameters, to comply with MSS SP-58, or the provisions of the NYC Building Code, whichever are most stringent.
- D. Support horizontal piping within 12 inches of each fitting.
- E. Support vertical runs of copper tubing to comply with MSS SP-58, or of the provisions of the NYC Building Code, whichever are most stringent.

3.7 FIELD QUALITY CONTROL

- A. Tests and Inspections:
1. Comply with ASME B31.5, Chapter VI.
 2. Test refrigerant piping, specialties, and receivers. Isolate compressor, condenser, evaporator, and safety devices from test pressure if they are not rated above the test pressure.
 3. Test high- and low-pressure side piping of each system separately at not less than the pressures indicated in "Performance Requirements" Article.
 - a. Fill system with nitrogen to the required test pressure.
 - b. System must maintain test pressure at the manifold gauge throughout duration of test.
 - c. Test joints and fittings with electronic leak detector or by brushing a small amount of soap and glycerin solution over joints.
 - d. Remake leaking joints using new materials, and retest until satisfactory results are achieved.
- B. Prepare test and inspection reports.

3.8 SYSTEM CHARGING

- A. Charge system using the following procedures:
1. Install core in filter dryers after leak test but before evacuation.
 2. Evacuate entire refrigerant piping system with vacuum pump to a vacuum level of 500 micrometers. If vacuum holds for 24 hours, system is ready for refrigerant charging. If test vacuum level cannot be maintained, inspect piping system for leaks and repair. Re-test piping system until leak free.
 3. Break vacuum with refrigerant gas, allowing pressure to build up to 2 psig.
 4. Charge system with a new filter-dryer core in charging line.

3.9 ADJUSTING

- A. Comply with Refrigeration Equipment Manufacturer's Installation Requirements, Refer to Section 238129 "Variable Refrigerant Flow HVAC System."
- B. Adjust thermostatic expansion valve to obtain proper evaporator superheat.
- C. Adjust high- and low-pressure switch settings to avoid short cycling in response to fluctuating suction pressure.
- D. Adjust set-point temperature of air-conditioning or chilled-water controllers to the system design temperature.
- E. Perform the following adjustments before operating the refrigeration system, according to manufacturer's written instructions:
1. Verify that compressor oil level is correct.
 2. Open compressor suction and discharge valves.
 3. Open refrigerant valves except bypass valves that are used for other purposes.
 4. Check open compressor-motor alignment and verify lubrication for motors and bearings.
- F. Replace core of replaceable filter dryer after system has been adjusted and after design flow rates and pressures are established.

END OF SECTION 232300

SECTION 233113 - METAL DUCTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Single-wall rectangular ducts and fittings.
2. Single-wall round ducts and fittings.
3. Sheet metal materials.
4. Duct liner.
5. Duct Sealants and gaskets.
6. Duct Hangers and supports.

B. Related Requirements:

1. Section 230593 "Testing, Adjusting, and Balancing for HVAC" for testing, adjusting, and balancing requirements for metal ducts.
2. Section 233300 "Air Duct Accessories" for dampers, sound-control devices, duct-mounting access doors and panels, turning vanes, and flexible ducts.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

A. Product Data: For each type of the following products:

1. Duct liners.
2. Sealants.
3. Adhesives.
4. Gaskets.

B. Sustainable Design Submittals:

1. ASHRAE Compliance: Applicable requirements in ASHRAE 62.1, Section 5 - "Systems and Equipment" and Section 7 - "Construction and System Start-up."

2. Product Data: For adhesives, indicating VOC content.
3. Product Data: For sealants, indicating VOC content.

C. Technical Submittals:

1. Detailed fabrication and assembly drawings of duct and duct fittings, flexible connections, hangers, anchors and method of attachment to the building structure. Provide documentation demonstrating compliance with NYC Building Code and design documents, including supporting technical analysis prepared signed and sealed by a Professional Engineer licensed in the State of New York.
2. Identify duct materials, static-pressure class, seal class, duct reinforcement, seam and joint construction and hanger spacing.
3. Identify locations for duct accessories including: duct liners, volume dampers, control dampers, turning vanes, and access doors.
4. Identify locations of duct hanger and anchor attachments.
5. Identify locations of duct penetrations, including sleeves and sleeve seals through exterior walls, floors, basement, and foundation walls.
6. Identify locations of firestopping through fire- and smoke-rated walls, floors and ceiling assemblies.

D. Coordination Drawings: CAD duct system layout drawn to scale, on which the following items are shown and coordinated with each other, using information provided by installers of the items involved:

1. General construction background elements including but not limited to: column lines, exterior walls, interior walls, reflected ceilings, penetrations of smoke barriers and fire-rated construction.
2. Other building services including but not limited to: HVAC Piping, Plumbing Domestic Water, Sanitary Drain, Storm Drain Piping, Fire Sprinkler Piping and Electric Conduits.
3. Structural system members including but not limited to: columns, beams, joists, foundation element
4. Suspended ceiling components.
5. Items penetrating finished ceiling including but not limited to the following:
 - a. Lighting fixtures.
 - b. Air system outlets and inlets.
 - c. Access panels.
 - d. Security system devices.

1.5 INFORMATIONAL SUBMITTALS

- A. Field quality-control reports.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Mockups:

1. Mockups: Before completing installation of ductwork, plenums and other sheet metal assemblies, install a representative sample of work as a mockup for each type of duct service to demonstrate method of application, quality of work and finishes. Build each mockup in an accessible location or as directed by Commissioner. Use materials and methods indicated for the completing this sample of work.
 - a. One of each duct transverse joint type.
 - b. One access door assembly for each size.
 - c. One branch connection for each type of take-off including
 - d. manual and remote cable operated volume damper controls.
 - e. One flexible duct assembly including duct branch take-off.
 - f. One linear diffuser plenum assembly.
 - g. One apparatus flexible-connector assembly.
 - h. One 90-degree mitered elbow with air turning vanes.
 - i. One 90-degree radius elbow.
 - j. One of each duct hanger assembly type.
 - k. One duct liner installation detail.
 - l. One air transfer acoustically lined duct assembly.
 - m. One return grille with light shield detail.
 - n. One acoustically sealed duct penetration detail.
 - o. One fire damper, smoke damper and combination fire/smoke damper assembly.
 - p. Perform leakage tests specified in "Field Quality Control" Article. Revise mockup construction and perform additional tests as required to achieve specified minimum acceptable results.
2. Mockups may remain as part of completed work when approved by the Commissioner.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Ductwork: Duct construction, including sheet metal thicknesses, seam and joint construction, reinforcements, and hangers and supports, comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" and with performance requirements and design criteria indicated in "Duct Schedule" Article.
- B. Structural Performance: Duct hangers and supports are to withstand the effects of gravity loads and stresses within limits and under conditions described in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" and ASCE/SEI 7.
- C. Airstream Surfaces: Surfaces in contact with airstream comply with requirements in ASHRAE 62.1.
- D. ASHRAE Compliance: Applicable requirements in ASHRAE 62.1, Section 5 - "Systems and Equipment," and Section 7 - "Construction and System Startup."
- E. ASHRAE/IES Compliance: Applicable requirements in ASHRAE/IES 90.1, Section 6.4.4 - "HVAC System Construction and Insulation."

- F. Duct Dimensions: Unless otherwise indicated, all duct dimensions indicated on Drawings are inside clear dimensions and do not include insulation, duct lining or duct wall thickness.

2.2 SINGLE WALL RECTANGULAR DUCT AND FITTINGS

- A. General Fabrication Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" except as amended as follows:
 - 1. Minimum acceptable stainless steel construction: 18 gauge, ASTM-A 167, 300 Series, No.2 D finish.
 - 2. Minimum acceptable galvanized steel construction: 24 gauge, ASTM-A 653.
 - 3. Minimum acceptable galvanized coating: 0.90 oz/sq. ft. (G90) .
 - 4. Minimum acceptable aluminum construction nominal thickness: 0.025 inches, ASTM-B 209.
 - 5. Pressure Classification: + / - 1 inch water column.
 - 6. Seal Classification: B, Transverse joints, longitudinal seams.
- B. Transverse Joint Type:
 - 1. Flanged:
 - a. Gasketed with companion compression slip, mechanically fastened.
- C. Longitudinal Seam Type:
 - 1. A pocket and an extending straight flange.
- D. Elbows, Transitions, Offsets, Branch Connections, and Other Duct Construction: Select types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Chapter 4, "Fittings and Other Construction," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."

2.3 SINGLE-WALL ROUND DUCT AND FITTINGS

- A. General Fabrication Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible".
- B. Transverse Joint Type:
 - 1. Flanged:
 - a. Van Stone companion flange, gasketed, mechanically fastened.
 - 2. Crimp joint, adhesive sealed, mechanically fastened.
- C. Longitudinal Seam Type:
 - 1. Spiral seam, continuous.

D. Tees and Laterals:

1. Mechanically formed, stamped.

2.4 SHEET METAL MATERIALS

A. General Material Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for acceptable materials, material thicknesses, and duct construction methods and the performance requirements of this specification.

1. Sheet metal materials shall be free of pitting, seam marks, roller marks, stains, discolorations, and other imperfections.

B. Galvanized Sheet Steel: Comply with ASTM A653/A653M

1. Galvanized Coating Designation: G60.
2. Finishes for Surfaces Exposed to View: Mill phosphatized.

C. Aluminum Sheets: Comply with ASTM B209 Alloy 3003, H14 temper; with mill finish for concealed ducts, and standard, one-side bright finish for duct surfaces exposed to view.

D. Factory- or Shop-Applied Antimicrobial Coating:

1. Apply to the surface of sheet metal that will form the interior surface of the duct. An untreated clear coating shall be applied to the exterior surface.
2. Antimicrobial compound shall be tested for efficacy by an NRTL and registered by the EPA for use in HVAC systems.
3. Coating containing the antimicrobial compound shall have a hardness of 2H, minimum, when tested according to ASTM D 3363.
4. Surface-Burning Characteristics: Maximum flame-spread index of 25 and maximum smoke-developed index of 50 when tested according to UL 723; certified by an NRTL.
5. Antimicrobial coating on sheet metal is not required for duct containing liner treated with antimicrobial coating.

E. Reinforcement Shapes and Plates: ASTM A 36/A 36M, steel plates, shapes, and bars; black and galvanized.

1. Use aluminum shapes and plates to reinforce aluminum ducts.

2.5 DUCT LINER

A. Fibrous-Glass Duct Liner: Comply with ASTM C 1071, NFPA 90A, or NFPA 90B; and with NAIMA AH124, "Fibrous Glass Duct Liner Standard."

1. Minimum Thermal Conductivity:
 - a. Type I, Flexible: 0.26 Btu x in./ Hr x sq. ft. x Deg. F at 75 Deg. F mean temperature.
 - b. Type II, Rigid: 0.23 Btu x in./ Hr x sq. ft. x Deg. F at 75 Deg. F mean temperature.

2. Antimicrobial Erosion-Resistant Coating: Apply to the surface of the liner that will form the interior surface of the duct to act as a moisture repellent and erosion-resistant coating. Antimicrobial compound shall be tested for efficacy by an NRTL and registered by the EPA for use in HVAC systems.
 3. Water-Based Liner Adhesive: Comply with NFPA 90A or NFPA 90B and with ASTM C 916.
 - a. For indoor applications, adhesive shall have a VOC content of 80 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
- B. Natural-Fiber Duct Liner: 85 percent cotton, 10 percent borate, and 5 percent poly-binding fibers, treated with a microbial growth inhibitor and complying with NFPA 90A or NFPA 90B.
1. Maximum Thermal Conductivity: 0.26 Btu x in./h x sq. ft. x Deg. Fat 75 Deg. Fmean temperature when tested according to ASTM C 518.
 2. Surface-Burning Characteristics: Maximum flame-spread index of 25 and maximum smoke-developed index of 50 when tested according to ASTM E 84; certified by an NRTL.
 3. Liner Adhesive: As recommended by insulation manufacturer and complying with NFPA 90A or NFPA 90B.
 - a. For indoor applications, adhesive shall have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
- C. Shop Application of Duct Liner: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
1. Adhere a single layer of indicated thickness of duct liner with at least 90 percent adhesive coverage at liner contact surface area. Attaining indicated thickness with multiple layers of duct liner is prohibited.
 2. Apply adhesive to transverse edges of liner facing upstream that do not receive metal nosing.
 3. Butt transverse joints without gaps, and coat joint with adhesive.
 4. Fold and compress liner in corners of rectangular ducts or cut and fit to ensure butted-edge overlapping.
 5. Do not apply liner in rectangular ducts with longitudinal joints, except at corners of ducts, unless duct size and dimensions of standard liner make longitudinal joints necessary.
 6. Apply adhesive coating on longitudinal seams in ducts with air velocity of 2500 fpm.
 7. Secure liner with mechanical fasteners
 8. Secure transversely oriented liner edges facing the airstream with metal nosings that have either channel or "Z" profiles or are integrally formed from duct wall. Fabricate edge facings at the following locations:
 - a. Fan discharges.
 - b. Intervals of lined duct preceding unlined duct.
 - c. Upstream edges of transverse joints in ducts where air velocities are higher than 2500 fpm or where otherwise indicated.
 9. Terminate inner ducts with buildouts attached to fire and combination fire-smoke damper sleeves, dampers, turning vane assemblies, or other devices. Fabricated buildouts (metal hat sections) or other buildout means are optional; when used, secure buildouts to duct walls with bolts, screws, rivets, or welds.

2.6 SEALANT AND GASKETS

- A. General Sealant and Gasket Requirements: Surface-burning characteristics for sealants and gaskets shall be a maximum flame-spread index of 25 and a maximum smoke-developed index of 50 when tested according to UL 723; certified by an NRTL.
- B. Two-Part Tape Sealing System:
1. Tape: Woven cotton fiber impregnated with mineral gypsum and modified acrylic/silicone activator to react exothermically with tape to form hard, durable, airtight seal.
 2. Tape Width: 6 inches.
 3. Sealant: Modified styrene acrylic.
 4. Water resistant.
 5. Mold and mildew resistant.
 6. Maximum Static-Pressure Class: 10-inch w.g., positive and negative.
 7. Service: Indoor and outdoor.
 8. Service Temperature: - 40 to + 200 deg F.
 9. Substrate: Compatible with galvanized sheet steel (both PVC coated and bare), stainless steel, or aluminum.
 10. For indoor applications, sealant shall have a VOC content of 250 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
- C. Water-Based Joint and Seam Sealant:
1. Application Method: Brush on.
 2. Solids Content: Minimum 65 percent.
 3. Shore A Hardness: Minimum 20.
 4. Water resistant.
 5. Mold and mildew resistant.
 6. VOC: Maximum 75 g/L (less water).
 7. Maximum Static-Pressure Class: 10-inch w.g., positive and negative.
 8. Service: Indoor or outdoor.
 9. Substrate: Compatible with galvanized sheet steel (both PVC coated and bare), stainless steel, or aluminum sheets.
- D. Flanged Joint Sealant: Comply with ASTM C 920.
1. General: Single-component, acid-curing, silicone, elastomeric.
 2. Type: S.
 3. Grade: NS.
 4. Class: 25.
 5. Use: O.
 6. For indoor applications, sealant shall have a VOC content of 250 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
- E. Flange Gaskets: Butyl rubber, neoprene, or EPDM polymer with polyisobutylene plasticizer.

2.7 HANGERS AND SUPPORTS

- A. Hanger Rods for Noncorrosive Environments: Cadmium-plated steel rods and nuts.
- B. Hanger Rods for Corrosive Environments: Electrogalvanized, all-thread rods or galvanized rods with threads painted with zinc-chromate primer after installation.
- C. Strap and Rod Sizes: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Table : "Rectangular Duct Hangers Minimum Size," and Table : "Minimum Hanger Sizes for Round Duct."
- D. Duct Attachments: Sheet metal screws, blind rivets, or self-tapping metal screws; compatible with duct materials.
- E. Trapeze and Riser Supports:
 - 1. Supports for Galvanized-Steel Ducts: Galvanized-steel shapes and plates.
 - 2. Supports for Stainless-Steel Ducts: Stainless-steel shapes and plates.
 - 3. Supports for Aluminum Ducts: Aluminum or galvanized steel coated with zinc chromate.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 DUCT INSTALLATION

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of duct system. Indicated duct locations, configurations, and arrangements were used to size ducts and calculate friction loss for air-handling equipment sizing and for other design considerations. Install duct systems as indicated unless deviations to layout are approved on Shop Drawings and Coordination Drawings.
- B. Install ducts according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" unless otherwise indicated.
- C. Install round ducts in maximum practical lengths.
- D. Install ducts with fewest possible joints.
- E. Install factory- or shop-fabricated fittings for changes in direction, size, and shape and for branch connections.
- F. Unless otherwise indicated, install ducts vertically and horizontally, and parallel and perpendicular to building lines.

- G. Install ducts close to walls, overhead construction, columns, and other structural and permanent enclosure elements of building.
- H. Install ducts with a clearance of 1 inch, plus allowance for insulation thickness.
- I. Route ducts to avoid passing through transformer vaults and electrical equipment rooms and enclosures.
- J. Where ducts pass through non-fire-rated interior partitions and exterior walls and are exposed to view, cover the opening between the partition and duct or duct insulation with sheet metal flanges of same metal thickness as the duct. Overlap openings on four sides by at least 1-1/2 inches.
- K. Where ducts pass through fire-rated interior partitions and exterior walls, install fire dampers. Comply with requirements in Section 233300 "Air Duct Accessories" for fire and smoke dampers.
- L. Protect duct interiors from moisture, construction debris and dust, and other foreign materials. Comply with SMACNA's "IAQ Guidelines for Occupied Buildings Under Construction," Appendix G, "Duct Cleanliness for New Construction Guidelines."

3.3 INSTALLATION OF EXPOSED DUCTWORK

- A. Protect ducts exposed in finished spaces from being dented, scratched, or damaged.
- B. Trim duct sealants flush with metal. Create a smooth and uniform exposed bead. Do not use two-part tape sealing system.
- C. Grind welds to provide smooth surface free of burrs, sharp edges, and weld splatter. When welding stainless steel with a No. 3 or 4 finish, grind the welds flush, polish the exposed welds, and treat the welds to remove discoloration caused by welding.
- D. Maintain consistency, symmetry, and uniformity in the arrangement and fabrication of fittings, hangers and supports, duct accessories, and air outlets.
- E. Repair or replace damaged sections and finished work that does not comply with these requirements.

3.4 HANGER AND SUPPORT INSTALLATION

- A. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
- B. Install hangers and supports within 12 inches of each elbow and within 36 inches of each branch intersection.
- C. Hangers Exposed to View: Threaded rod and angle or channel supports.
- D. Support vertical ducts with steel angles or channel secured to the sides of the duct with welds, bolts, sheet metal screws, or blind rivets; support at each floor.
- E. Install upper attachments to structures. Select and size upper attachments with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.

- F. Attachment to Structure: Where specific attachment method is not shown on the Design Drawings, anchor duct hangers, bracing and restraints to structure, to flanges of beams, to upper truss chords of bar joists, or to concrete members. Refer to Article 1.4, Paragraph C for technical submission requirements for attaching ducts to structure.
- G. Install zinc-plated steel anchors for interior applications and cadmium-plated anchors for applications exposed to weather.

3.5 CONNECTIONS

- A. Make connections to equipment with flexible connectors complying with Section 233300 "Air Duct Accessories."
- B. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for branch, outlet and inlet, and terminal unit connections.

3.6 PAINTING

- A. Paint the interior of metal ducts that are visible through air system inlets and outlets that do not have a duct liner installed. Apply one coat of flat, black, latex paint over galvanized-steel primer. Paint the exterior of metal ducts that are visible at the exterior of the building. Color and finish as selected by Commissioner. Paint materials and application requirements are specified in Section 099113 "Exterior Painting" and Section 099124 "Interior Painting (MPI Standards)."

3.7 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Leakage Tests:
 - 1. Comply with SMACNA's "HVAC Air Duct Leakage Test Manual." Submit a test report for each test.
 - 2. Test the following systems:
 - a. Supply Ducts with a Pressure Class of + 1 inch w.g. or higher: Test representative duct sections totaling no less than 100 percent of total installed duct area.
 - b. Exhaust Ducts with a Pressure Class of - 1 inch w.g. or lower: Test representative duct sections totaling no less than 100 percent of total installed duct area.
 - c. Outdoor Air Ducts with a Pressure Class of - 1 inch w.g. or lower: Test representative duct sections totaling no less than 100 percent of total installed duct area.
 - 3. Disassemble, reassemble, and seal segments of systems to accommodate leakage testing and for compliance with test requirements.
 - 4. Test for leaks before applying external insulation.
 - 5. Conduct tests at positive / negative static pressures equal to design pressure of system or section being tested. Do not pressurize systems above / below the design operating pressure.

- C. Duct System Cleanliness Tests:

1. Visually inspect duct system to ensure that no visible contaminants are present.
2. Test sections of metal duct system, chosen randomly by The Commissioner, for cleanliness according to "Vacuum Test" in NADCA ACR, "Assessment, Cleaning and Restoration of HVAC Systems."
 - a. Acceptable Cleanliness Level: Net weight of debris collected on the filter media shall not exceed 0.75 mg/100 sq. cm.

D. Duct system will be considered defective if it does not pass tests and inspections.

E. Prepare test and inspection reports.

3.8 DUCT CLEANING

A. Clean new duct system(s) before testing, adjusting, and balancing.

B. Use service openings for entry and inspection.

1. Create new openings and install access panels appropriate for duct static-pressure class if required for cleaning access. Provide insulated panels for insulated or lined duct. Patch insulation and liner as recommended by duct liner manufacturer. Comply with Section 233300 "Air Duct Accessories" for access panels and doors.
2. Disconnect and reconnect flexible ducts as needed for cleaning and inspection.
3. Remove and reinstall ceiling to gain access during the cleaning process.

C. Particulate Collection and Odor Control:

1. When venting vacuuming system inside the building, use HEPA filtration with 99.97 percent collection efficiency for 0.3-micron-size (or larger) particles.
2. When venting vacuuming system to outdoors, use filter to collect debris removed from HVAC system, and locate exhaust downwind and away from air intakes and other points of entry into building.

D. Clean the following components by removing surface contaminants and deposits:

1. Air outlets and inlets (registers, grilles, and diffusers).
2. Supply, return, and exhaust fans including fan housings, plenums (except ceiling supply and return plenums), scrolls, blades or vanes, shafts, baffles, dampers, and drive assemblies.
3. Air-handling unit internal surfaces and components including mixing box, coil section, air wash systems, spray eliminators, condensate drain pans, humidifiers and dehumidifiers, filters and filter sections, and condensate collectors and drains.
4. Coils and related components.
5. Return-air ducts, dampers, actuators, and turning vanes except in ceiling plenums and mechanical equipment rooms.
6. Supply-air ducts, dampers, actuators, and turning vanes.
7. Dedicated exhaust and ventilation components and makeup air systems.

E. Mechanical Cleaning Methodology:

1. Clean metal duct systems using mechanical cleaning methods that extract contaminants from within duct systems and remove contaminants from building.
2. Use vacuum-collection devices that are operated continuously during cleaning. Connect vacuum device to downstream end of duct sections so areas being cleaned are under negative pressure.
3. Use mechanical agitation to dislodge debris adhered to interior duct surfaces without damaging integrity of metal ducts, duct liner, or duct accessories.
4. Clean fibrous-glass duct liner with HEPA vacuuming equipment; do not permit duct liner to get wet. Replace fibrous-glass duct liner that is damaged, deteriorated, or delaminated or that has friable material, mold, or fungus growth.
5. Clean coils and coil drain pans according to NADCA 1992. Keep drain pan operational. Rinse coils with clean water to remove latent residues and cleaning materials; comb and straighten fins.
6. Provide drainage and cleanup for wash-down procedures.
7. Antimicrobial Agents and Coatings: Apply EPA-registered antimicrobial agents if fungus is present. Apply antimicrobial agents according to manufacturer's written instructions after removal of surface deposits and debris.

3.9 START UP

- A. Air Balance: Comply with requirements in Section 230593 "Testing, Adjusting, and Balancing for HVAC."

3.10 DUCT SCHEDULE

- A. Fabricate ducts with galvanized sheet steel except as otherwise indicated and as follows:
 1. Fabricate all ducts to achieve SMACNA pressure class, seal class, and leakage class as indicated below.
- B. Outdoor-Air (Not Filtered, Heated, or Cooled) Ducts:
 1. Ducts Connected to Fan Coil Units, Furnaces, Heat Pumps, and Terminal Units:
 - a. Pressure Class: Positive or negative 2-inch wg.
 - b. Minimum SMACNA Seal Class: A.
 - c. SMACNA Leakage Class for Rectangular: 8.
 - d. SMACNA Leakage Class for Round and Flat Oval: 8.
 2. Ducts Connected to Air-Handling Units:
 - a. Pressure Class: Positive or negative 2-inch wg.
 - b. Minimum SMACNA Seal Class: A.
 - c. SMACNA Leakage Class for Rectangular: 8.
 - d. SMACNA Leakage Class for Round and Flat Oval: 8.
 3. Ducts Connected to Outdoor Penthouse Louvers:
 - a. Pressure Class: Positive or negative 2-inch wg.
 - b. Minimum SMACNA Seal Class: A.
 - c. SMACNA Leakage Class for Rectangular: 8.

- d. SMACNA Leakage Class for Round and Flat Oval: 8.
- C. Liner:
- 1. Supply Air Ducts: Fibrous glass, Type I, Natural fiber, 1 inchthick.
 - 2. Supply Fan Plenums: Fibrous glass, Type I, Natural fiber, 1 inchthick.
 - 3. Return Fan Plenums: Fibrous glass, Type I, Natural fiber, 1 inchthick.
 - 4. Transfer Ducts: Fibrous glass, Type I, Natural fiber, 1 inchthick.
- D. Elbow Configuration:
- 1. Rectangular Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
 - a. Velocity up to 2000 fpm:
 - 1) Radius Elbow Type with minimum 1.5 centerline radius-to-width ratio complying with Figure: "Rectangular Elbows."
 - 2) Mitered Elbow Type with turning vanes complying with Figure: "Vanes and Vane Runners."
 - 2. Round Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
 - a. Velocity up to 2000 fpm:
 - 1) Stamped or Pleated Radius Elbow Type with minimum 1.5 centerline radius-to-diameter ratio complying with Figure: "Round Duct Elbows."
- E. Branch Configuration:
- 1. Rectangular Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure: "Divided Flow Branches" and "Branch Connections."
 - a. Rectangular Main to Rectangular Branch:
 - b. Straight with Elbow, Equal / Unequal divided flow fitting.
 - c. Double Elbow, Equal divided flow fitting.
 - d. 45-degree entry.
 - e. Rectangular Main to Round Branch.
 - f. 45-degree entry with round transition.
 - g. Bell mouth.
 - 2. Round Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure: "90 Degree Tees and Laterals," and Figure: "Conical Tees."
 - a. Velocity 1000 fpm or Lower: 90-degree tap.
 - b. Velocity 1200 fpm or Higher: 45-degree lateral.
- F. Offsets and Transitions:

1. Rectangular Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure: "Offsets and Transitions."
2. Round Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Double 45-degree Elbows.

END OF SECTION 233113

SECTION 233300 - AIR DUCT ACCESSORIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Manual volume dampers.
2. Control dampers.
3. Fire dampers.
4. Combination fire and smoke dampers.
5. Flange connectors.
6. Turning vanes.
7. Remote damper operators.
8. Duct-mounted access doors.
9. Duct access panel assemblies.
10. Flexible connectors.
11. Duct accessory hardware.

B. Related Requirements:

1. Section 233346 "Flexible Ducts" for insulated and non-insulated flexible ducts.
2. Section 284621.11 "Addressable Fire-Alarm Systems" for duct-mounted fire and smoke detectors.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

A. Sustainable Design Submittals:

1. Product data showing compliance with ASHRAE 62.1.

- B. Shop Drawings: For duct accessories. Include plans, elevations, sections, details, and attachments to other work.

1. Detail duct accessories' fabrication and installation in ducts and other construction. Include dimensions, weights, loads, and required clearances; and method of field assembly into duct systems and other construction. Include the following:
 - a. Special fittings.
 - b. Manual volume damper installations.
 - c. Control-damper installations.
 - d. Fire-damper, combination fire- and smoke-damper, ceiling, and corridor-damper installations, including sleeves; and duct-mounted access doors and remote damper operators.
 - e. Include diagrams for power, signal, and control wiring.
- C. Coordination Drawings: Reflected ceiling plans drawn to scale, on which ceiling-mounted access panels and access doors required for access to duct accessories are shown and coordinated with each other, using input from installers of the items involved.

1.5 INFORMATIONAL SUBMITTALS

- A. Source quality-control reports.

1.6 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For air duct accessories to include in operation and maintenance manuals.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Comply with NFPA 90A and NFPA 90B.
- B. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for acceptable materials, material thicknesses, and duct construction methods unless otherwise indicated. Sheet metal materials shall be free of pitting, seam marks, roller marks, stains, discolorations, and other imperfections.

2.2 MANUAL VOLUME DAMPERS

- A. Standard, Steel, Manual Volume Dampers:
 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Greenheck Fan Corporation.
 - b. Nailor Industries Inc.



- c. Pottorff.
 - d. Or approved equal.
 2. Performance:
 - a. Leakage Rating Class III: Leakage not exceeding 40 cfm/sq. ft.against 1-inch wg differential static pressure.
 3. Construction:
 - a. Linkage out of airstream.
 - b. Suitable for horizontal or vertical airflow applications.
 4. Frames:
 - a. Hat-shaped, 16-gauge-thick, galvanized sheet
 - b. Mitered and welded corners.
 - c. Flanges for attaching to walls and flangeless frames for installing in ducts.
 5. Blades:
 - a. Multiple or single blade.
 - b. Parallel- or opposed-blade design.
 - c. Stiffen damper blades for stability.
 - d. Galvanized steel; 16 gauge thick.
 6. Blade Axles: Galvanized steel
 7. Bearings:
 - a. Oil-impregnated bronze
 - b. Dampers mounted with vertical blades to have thrust bearing at each end of every blade.
 8. Tie Bars and Brackets: Galvanized steel.
 9. Locking device to hold damper blades in a fixed position without vibration.
- B. Standard, Aluminum, Manual Volume Dampers for dampers within aluminum ductwork near intakes:
 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Arrow United Industries.
 - b. Nailor Industries Inc.
 - c. Pottorff.
 - d. Or approved equal.
 2. Performance:
 - a. Leakage Rating Class III: Leakage not exceeding 40 cfm/sq. ft.against 1-inch wg differential static pressure.
 3. Construction:

- a. Linkage out of airstream.
 - b. Suitable for horizontal or vertical airflow applications.
4. Frames:
- a. Hat-shaped, 0.10-inch-thick, aluminum sheet channels.
 - b. Flanges for attaching to walls and flangeless frames for installing in ducts.
5. Blades:
- a. Multiple or single blade.
 - b. Parallel- or opposed-blade design.
 - c. Stiffen damper blades for stability.
 - d. Roll-Formed Aluminum Blades: 0.10-inch-thick aluminum sheet.
 - e. Extruded-Aluminum Blades: 0.050-inch-thick extruded aluminum.
6. Blade Axles: Nonferrous metal.
7. Bearings:
- a. Oil-impregnated bronze
 - b. Dampers mounted with vertical blades to have thrust bearing at each end of every blade.
8. Tie Bars and Brackets: Aluminum.
9. Locking device to hold damper blades in a fixed position without vibration.

2.3 CONTROL DAMPERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. Greenheck Fan Corporation.
 2. Nailor Industries Inc.
 3. Pottorff.
 4. Ruskin Company.
 5. Or approved equal.
- B. General Requirements:
1. Unless otherwise indicated, use parallel-blade configuration for two-position control, equipment isolation service, and when mixing two airstreams. For other applications, use opposed-blade configuration.
 2. Factory or field assemble multiple damper sections to provide a single damper assembly of size required by the application.
- C. Performance:
1. AMCA Certification: Test and rate in accordance with AMCA 511.
 2. Leakage:

- a. Class I: Leakage shall not exceed 4 cfm/sq. ft. against 1-inch wg differential static pressure.
 3. Pressure Drop: 0.05 inch wg at 1500 fpm across a 24-by-24-inch damper when tested in accordance with AMCA 500-D, Figure 5.3.
 4. Velocity: Up to 800 fpm.
 5. Temperature: Minus 25 to plus 180 deg F
 6. Pressure Rating: Damper close-off pressure equal to fan shutoff pressure with a maximum blade deflection of 1/200 of blade length.
- D. Construction:
1. Linkage out of airstream.
 2. Suitable for horizontal or vertical airflow applications.
 3. Frames:
 - a. Hat, U, or angle shaped.
 - b. 0.08-inch-thick extruded aluminum 16-gauge-thick, galvanized sheet steel 18-gauge-thick stainless steel.
 - c. Mitered and welded corners.
 - d. Flanges for attaching to walls and flangeless frames for installing in ducts.
 4. Blades:
 - a. Multiple blade with maximum blade width of 8 inches.
 - b. Opposed -blade design.
 - c. Galvanized steel and Aluminum (for outdoor intakes).
 - d. 16-gauge-thick single skin.
 5. Blade Edging Seals:
 - a. Replaceable Closed-cell neoprene
 6. Blade Jamb Seal: Flexible stainless steel, compression type.
 7. Blade Axles: 1/2-inch diameter; galvanized.
 8. Blade-Linkage Hardware: Zinc-plated steel and brass; ends sealed against blade bearings. Linkage mounted out of air stream.
 9. Bearings:
 - a. Oil-impregnated bronze Molded synthetic.
 - b. Dampers mounted with vertical blades to have thrust bearings at each end of every blade.
- E. Damper Actuator - Electric:
1. Electric - 24 V ac.
 2. UL 873, plenum rated.
 3. Two position and fully modulating with fail-safe spring return.
 - a. Sufficient motor torque and spring torque to drive damper fully open and fully closed with adequate force to achieve required damper seal.

- b. Minimum 90-degree drive rotation.
- 4. Clockwise or counterclockwise drive rotation as required for application.
- 5. Environmental Operating Range:
 - a. Temperature: Minus 40 to plus 130 deg F
 - b. Humidity: 5 to 95 percent relative humidity noncondensing.
- 6. Environmental enclosure: NEMA 2.
- 7. Actuator to be factory mounted and provided with a single-point wiring connection.

F. Controllers, Electrical Devices, and Wiring:

- 1. Comply with requirements for electrical devices and connections specified in Section 230923 "Direct Digital Control System for HVAC."
- 2. Electrical Connection: 24 V, 60 Hz.

2.4 FIRE DAMPERS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

- 1. Aire Technologies.
- 2. Arrow United Industries.
- 3. Greenheck Fan Corporation.
- 4. Pottorff.
- 5. Or approved equal.

B. Type: Staticrated and labeled in accordance with UL 555 by an NRTL.

C. Closing rating in ducts up to 4-inch wgstatic pressure class and minimum 1000 fpm velocity.

D. Fire Rating: 1-1/2 hours.

E. Frame: Curtain type with blades outside airstream Multiple-blade type Curtain type with blades outside airstream except when located behind grille fabricated with roll-formed galvanized steel; with mitered and interlocking corners; gauge in accordance with UL listing.

F. Mounting Sleeve: Factory- or field-installed, galvanized sheet steel; gauge in accordance with UL listing.

G. Mounting Orientation: Vertical or horizontal as indicated.

H. Blades: Roll-formed galvanized sheet steel interlocking full-length steel blade connectors. Material gauge is to be in accordance with UL listing.

I. Horizontal Dampers: Include blade lock and stainless steel closure spring.

J. Heat-Responsive Device:

- 1. Replaceable, 165 deg F fusible links.

2. Electric resettable replaceable link and switch package, factory installed, 165 deg F

2.5 COMBINATION FIRE AND SMOKE DAMPERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Arrow United Industries.
2. Greenheck Fan Corporation.
3. Pottorff.
4. Ruskin Company.
5. Or approved equal.

- B. General Requirements:

1. Label to indicate conformance to UL 555 and UL 555S by an NRTL.
2. Label to indicate conformance to NFPA 80 and NFPA 90A by an NRTL.
3. Unless otherwise indicated, use parallel-blade configuration.

- C. Closing rating in ducts up to 4-inch wg static pressure class and minimum 1000 fpm velocity.

- D. Fire Rating: 1-1/2.

- E. Performance:

1. AMCA Certification: Test and rate in accordance with AMCE Publication 511.
2. Leakage:
 - a. Class I: Leakage shall not exceed 4 cfm/sq. ft. against 1-inch wg differential static pressure.
3. Pressure Drop: 0.05 in. wg at 1500 fpm across a 24-by-24-inch damper when tested in accordance with AMCA 500-D, Figure 5.3.
4. Velocity: Up to 2000 fpm.
5. Temperature: Minus 25 to plus 180 deg F.
6. Pressure Rating: Damper close-off pressure equal to fan shutoff pressure with a maximum blade deflection of 1/200 of blade length.

- F. Construction:

1. Suitable for horizontal or vertical airflow applications.
2. Linkage out of airstream.
3. Frame:
 - a. Hat shaped.
 - b. Galvanized sheet steel with welded interlocking, gusseted or mechanically attached corners and mounting flange.
 - c. Gauge is to be in accordance with UL listing.
4. Blades:

- a. Roll-formed, horizontal, airfoil galvanized sheet steel
 - b. Maximum width and gauge in accordance with UL listing.
5. Blade Edging Seals:
- a. Silicone rubber.
6. Blade Jamb Seal: Flexible stainless steel, compression type.
7. Blade Axles: 1/2-inch- diameter; galvanized steel blade-linkage hardware of zinc-plated steel and brass; ends sealed against blade bearings. Linkage mounted out of airstream.
8. Bearings:
- a. Oil-impregnated bronze
- G. Mounting Sleeve:
1. Factory installed, galvanized sheet steel.
 2. Length to suit wall or floor application
 3. Gauge in accordance with UL listing.
- H. Heat-Responsive Device:
1. Resettable Replaceable, 165 deg F rated, fire-closure device.
 2. Electric resettable device and switch package, factory installed, rated.
- I. Master control panel for use in dynamic smoke-management systems.
- J. Damper Actuator - Electric:
1. Electric - 120 V ac
 2. UL 873, plenum rated.
 3. Designed to operate in smoke-control systems complying with UL 555S requirements.
 4. Two position with fail-safe spring return.
 - a. Sufficient motor torque and spring torque to drive damper fully open and fully closed with adequate force to achieve required damper seal.
 - b. Maximum 15-second full-stroke closure.
 - c. Minimum 90-degree drive rotation.
 5. Clockwise or counterclockwise drive rotation as required for application.
 6. Environmental Operating Range:
 - a. Temperature: Minus 40 to plus 130 deg F
 - b. Humidity: 5 to 95 percent relative humidity noncondensing.
 7. Environmental Enclosure: NEMA 2.
 8. Actuator to be factory mounted and provided with single-point wiring connection.
- K. Controllers, Electrical Devices, and Wiring:

1. Comply with requirements for electrical devices and connections specified in Section 230923 "Direct Digital Control System for HVAC."
2. Electrical Connection: 115 V, single phase, 60 Hz.

L. Accessories:

1. Auxiliary switches for position indication.
2. Momentary test switch. Test and reset switches, damper mounted.
3. Smoke Detector: Integral, factory wired for single-point connection.

2.6 FLANGE CONNECTORS

- A. Description: Add-on factory fabricated, slide-on transverse flange connectors, gaskets, and components.
- B. Material: Galvanized steel.
- C. Gauge and Shape: Match connecting ductwork.

2.7 TURNING VANES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 1. Aero-Dyne Sound Control Co.
 2. Ductmate Industries, Inc.
 3. Duro Dyne Inc.
 4. DynAir; a Carlisle Company.
 5. Or approved equal.
- B. Manufactured Turning Vanes for Metal Ducts: Fabricate curved blades of galvanized sheet steel; support with bars perpendicular to blades set; set into vane runners suitable for duct mounting.
 1. Acoustic Turning Vanes: Fabricate airfoil-shaped aluminum extrusions with perforated faces and fibrous-glass fill.
- C. Manufactured Turning Vanes for Nonmetal Ducts: Fabricate curved blades of resin-bonded fiberglass with acrylic polymer coating; support with bars perpendicular to blades set; set into vane runners suitable for duct mounting.
- D. General Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible"; Figure 4-3, "Vanes and Vane Runners," and Figure 4-4, "Vane Support in Elbows."
- E. Vane Construction:
 1. Single wall.
 2. Single wall for ducts up to 48 inches wide and double wall for larger dimensions.

2.8 REMOTE DAMPER OPERATORS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. DynAir; a Carlisle Company.
 - 2. METALAIRE, Inc.
 - 3. United Enertech.
 - 4. Young Regulator Company.
 - 5. Or approved equal.
- B. Description: Cable system designed for remote manual damper adjustment.
- C. Tubing: Brass
- D. Cable: Stainless steel
- E. Wall-Box Mounting: Recessed
- F. Wall-Box Cover-Plate Material: Steel

2.9 DUCT-MOUNTED ACCESS DOORS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Aire Technologies.
 - 2. Arrow United Industries.
 - 3. Ductmate Industries, Inc.
 - 4. Ruskin Company.
 - 5. Or approved equal.
- B. Duct-Mounted Access Doors: Fabricate access panels in accordance with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible"; Figure 7-2, "Duct Access Doors and Panels," and Figure 7-3, "Access Doors - Round Duct."
 - 1. Door:
 - a. Double wall, rectangular.
 - b. Galvanized sheet metal with insulation fill and thickness as indicated for duct pressure class.
 - c. 24-gauge thick galvanized steel or 0.032-inch thick aluminum door panel.
 - d. Hinges and Latches: 1-by-1-inch butt or piano hinge and cam latches.
 - e. Fabricate doors airtight and suitable for duct pressure class.
 - 2. Frame: Galvanized sheet steel, with bend-over tabs and foam gaskets.
 - a. 24-gauge-thick galvanized steel or 0.032-inch-thick aluminum frame.
 - 3. Number of Hinges and Locks:

- a. Access Doors Less Than 12 Inches Square: No hinges and two sash locks.
- b. Access Doors up to 18 Inches Square: Two hinges and two sash locks.

2.10 DUCT ACCESSORY HARDWARE

- A. Instrument Test Holes: Cast iron or cast aluminum to suit duct material, including screw cap and gasket. Size to allow insertion of pitot tube and other testing instruments and of length to suit duct-insulation thickness.
- B. Adhesives: High strength, quick setting, neoprene based, waterproof, and resistant to gasoline and grease.

2.11 MATERIALS

- A. Galvanized Sheet Steel: Comply with ASTM A653/A653M.
 - 1. Galvanized Coating Designation: G90
 - 2. Exposed-Surface Finish: Mill phosphatized.
- B. Aluminum Sheets: Comply with ASTM B209, Alloy 3003, Temper H14; with mill finish for concealed ducts and standard, one-side bright finish for exposed ducts.
- C. Extruded Aluminum: Comply with ASTM B221, Alloy 6063, Temper T6.
- D. Reinforcement Shapes and Plates: Galvanized-steel reinforcement where installed on galvanized sheet metal ducts; compatible materials for aluminum and stainless steel ducts.
- E. Tie Rods: Galvanized steel, 1/4-inch minimum diameter for lengths 36 inches or less; 3/8-inch minimum diameter for lengths longer than 36 inches.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION

- A. Install duct accessories in accordance with applicable details in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for metal ducts and in NAIMA AH116 for fibrous-glass ducts.
- B. Install duct accessories of materials suited to duct materials; use galvanized-steel accessories in galvanized-steel and fibrous-glass ducts, stainless steel accessories in stainless steel ducts, and aluminum accessories in aluminum ducts.
- C. Install control dampers at inlet of exhaust fans or exhaust ducts as close as possible to exhaust fan unless otherwise indicated.

- D. Where multiple damper sections are necessary to achieve required dimensions, provide reinforcement to fully support damper assembly when fully closed at full system design static pressure.
- E. Install volume dampers at points on supply, return, and exhaust systems where branches extend from larger ducts. Where dampers are installed in ducts having duct liner, install dampers with hat channels of same depth as liner, and terminate liner with nosing at hat channel.
 - 1. Install steel volume dampers in steel ducts.
 - 2. Install aluminum volume dampers in aluminum ducts.
- F. Set dampers to fully open position before testing, adjusting, and balancing.
- G. Install test holes at fan inlets and outlets and elsewhere as indicated and as needed for testing and balancing.
- H. Install fire and smoke dampers in accordance with UL listing.
- I. Install duct access doors on sides of ducts to allow for inspecting, adjusting, and maintaining accessories and equipment at the following locations:
 - 1. Upstream and downstream from duct filters.
 - 2. At outdoor-air intakes and mixed-air plenums.
 - 3. At drain pans and seals.
 - 4. Downstream from manual volume dampers, control dampers and equipment.
 - 5. Adjacent to and close enough to fire or smoke dampers, to reset or reinstall fusible links. Access doors for access to fire or smoke dampers having fusible links shall be pressure relief access doors and shall be outward operation for access doors installed upstream from dampers and inward operation for access doors installed downstream from dampers.
 - 6. Control devices requiring inspection.
 - 7. Elsewhere as indicated.
- J. Install access doors with swing against duct static pressure.
- K. Access Door Sizes:
 - 1. Two-Hand Access: 12 by 12 inches.
 - 2. Head and Hand Access: 18 by 10 inches.
- L. Label access doors according to Section 230553 "Identification for HVAC Piping and Equipment" to indicate the purpose of access door.
- M. Install flexible connectors to connect ducts to equipment.
- N. Install duct test holes where required for testing and balancing purposes.
- O. Install thrust limits at centerline of thrust, symmetrical on both sides of equipment. Attach thrust limits at centerline of thrust and adjust to a maximum of 1/4-inch movement during start and stop of fans.

3.3 FIELD QUALITY CONTROL

A. Tests and Inspections:

1. Operate dampers to verify full range of movement.
2. Inspect locations of access doors, and verify that size and location of access doors are adequate to perform required operation.
3. Operate fire, smoke, and combination fire and smoke dampers to verify full range of movement and that proper heat-response device is installed.
4. Inspect turning vanes for proper and secure installation, and verify that vanes do not move or rattle.
5. Operate remote damper operators to verify full range of movement of operator and damper.

END OF SECTION 233300

SECTION 233346 - FLEXIBLE DUCTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Insulated flexible ducts.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Sustainable Design Submittals:
 - 1. Product data showing compliance with ASHRAE 62.1.
 - 2. Product Data: For insulation, indicating that R-values comply with tables in ASHRAE/IES 90.1, Section 6 - "Heating, Ventilating, and Air Conditioning."
- C. Shop Drawings: For flexible ducts.
 - 1. Include plans showing locations and mounting and attachment details.
- D. Coordination Drawings: Reflected ceiling plans, drawn to scale, on which ceiling-mounted access panels and access doors required for access to duct accessories are shown and coordinated with each other, using input from installers of the items involved.

1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 ASSEMBLY DESCRIPTION

- A. Comply with NFPA 90A, "Installation of Air Conditioning and Ventilating Systems," and with NFPA 90B, "Installation of Warm Air Heating and Air Conditioning Systems."
- B. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for acceptable materials, material thicknesses, and duct construction methods unless otherwise indicated. Sheet metal materials shall be free of pitting, seam marks, roller marks, stains, discolorations, and other imperfections.
- C. Comply with the Air Diffusion Council's "ADC Flexible Air Duct Test Code FD 72-R1."
- D. Comply with ASTM E 96/E 96M, "Test Methods for Water Vapor Transmission of Materials."

2.2 INSULATED FLEXIBLE DUCTS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Flexmaster U.S.A., Inc.
 - 2. Quietflex
 - 3. Thermaflex; a Flex-Tek Group company.
 - 4. Or approved equal.
- B. Insulated, Flexible Duct: UL 181, Class 1, two-ply vinyl film supported by helically wound, spring-steel wire; fibrous-glass insulation; aluminized vapor-barrier film.
 - 1. Pressure Rating: 10-inch w.g. positive and 0.5-inch w.g. negative.
 - 2. Maximum Air Velocity: 6000 fpm.
 - 3. Temperature Range: Minus 20 deg. F to plus 180 deg. F Supply air ducts shall be insulated with materials tested in accordance with ASTM C518, ASTM C177. In all applications, insulation R value shall be based on product manufacturer's test of the installed thickness value allowing for material compression effects. Where located inside the building thermal envelope, ducts shall be insulated from the building exterior and any unconditioned spaces with not less than an R-8 thermal barrier.

2.3 FLEXIBLE DUCT CONNECTORS

- A. Clamps: Stainless-steel band with cadmium-plated hex screw to tighten band with a worm-gear action in sizes 3 through 18 inches to suit duct size.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION

- A. Install flexible ducts according to applicable details in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for metal ducts and in NAIMA AH116, "Fibrous Glass Duct Construction Standards," for fibrous-glass ducts.
- B. Install in indoor applications only. Flexible ductwork should not be exposed to UV lighting.
- C. Connect diffusers and terminal units to supply ducts with no more than a maximum of 3 feet lengths of flexible duct. Angled offset of flexible duct from supply duct shall not exceed 30° from vertical centerline of duct take-off.
- D. Connect flexible ducts to metal ducts with stainless steel worm-gear actuated draw bands.
- E. Installation:
 - 1. Install ducts fully extended.
 - 2. Do not bend ducts across sharp corners.
 - 3. Bends of flexible ducting shall not exceed a minimum of one duct diameter.
 - 4. Avoid contact with metal fixtures, water lines, pipes, or conduits.
 - 5. Install flexible ducts in a direct line, without sags, twists, or turns.
- F. Supporting Flexible Ducts:
 - 1. Support horizontal flexible ducts with sheet metal bands 1-1/2 inches wide or wider and spaced a maximum of 48 inches apart. Maximum centerline sag between supports shall not exceed 1/2 inch per 12 inches.
 - 2. Install extra supports at bends placed approximately one duct diameter from center line of the bend.
 - 3. Vertically installed flexible ducts shall be supported and braced to limit angled offset and as required to prevent stretch and fatigue of duct inner core.

END OF SECTION 233346

SECTION 233423 - HVAC POWER VENTILATORS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. In-line centrifugal fans.
 - 2. Centrifugal ventilators - roof down blast.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Construction details, material descriptions, dimensions of individual components and profiles, and finishes for fans.
 - 2. Rated capacities, operating characteristics, and furnished specialties and accessories.
 - 3. Certified fan performance curves with system operating conditions indicated.
 - 4. Certified fan sound-power ratings.
 - 5. Motor ratings and electrical characteristics, plus motor and electrical accessories.
 - 6. Material thickness and finishes, including color charts.
 - 7. Dampers, including housings, linkages, and operators.
 - 8. Prefabricated roof curbs.
 - 9. Fan speed controllers.
- B. Shop Drawings:
 - 1. Include plans, elevations, sections, and attachment details.
 - 2. Include details of equipment assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
 - 3. Include diagrams for power, signal, and control wiring.
 - 4. Design Calculations: Calculate requirements for selecting vibration isolators and wind restraints.

- C. Coordination Drawings: Floor plans, reflected ceiling plans, and other details, drawn to scale, showing the items described in this Section and coordinated with all building trades.

1.5 INFORMATIONAL SUBMITTALS

- A. Product Certificates: Submit certificates that specified equipment will withstand required wind forces, from manufacturer.
 - 1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculations.
 - 2. Dimensioned Outline Drawings of Equipment Unit: Identify center of wind force and locate and describe mounting and anchorage provisions.
 - 3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
- B. Field quality-control reports.

1.6 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For HVAC power ventilators to include in normal and emergency operation, and maintenance manuals.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements".
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. AMCA Compliance: Fans shall have AMCA-Certified performance ratings and shall bear the AMCA-Certified Ratings Seal.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Accessories: Listed and labeled as defined in NFPA 70, by an NRTL, and marked for intended location and application.
- B. NFPA Compliance: Comply with NFPA 90A for design, fabrication, and installation of unit components.
- C. ASHRAE 62.1 Compliance: Applicable requirements in ASHRAE 62.1, Section 5 - "Systems and Equipment" and Section 7 - "Construction and Startup."
- D. ASHRAE/IES 90.1 Compliance: Applicable requirements in ASHRAE/IES 90.1, Section 6 - "Heating, Ventilating, and Air-Conditioning."

- E. Wind-Load Performance: Equipment shall withstand the effects of high wind events determined in accordance with NYC Building Code, ASCE/SEI 7-05 and ASCE/SEI 7-10. See Section 230548.13 "Vibration Controls for HVAC."

2.2 DIRECT-DRIVE, IN-LINE CENTRIFUGAL FANS (TF-1and TF-2)

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Acme Engineering & Manufacturing Corp.
2. Carnes Company.
3. Greenheck Fan Corporation.
4. Loren Cook Company.
5. PennBarry.
6. Or approved equal.

- B. General Description:

1. Base fan performance at standard conditions (density 0.075 Lb/ft³).
2. Ceiling mounted applications.
3. Performance capabilities up to 3,700 cubic feet per minute (cfm) and static pressure to 1 inches of water gauge.
4. Fans are available in nineteen sizes (110 - 3600 unit sizes).
5. Maximum operating temperatures is 130 Fahrenheit (54.4 Celsius).
6. Sound levels as low as 0.8 AMCA sones.
7. Fans are UL/cUL listed 507 - Electric Fans.
8. Each fan shall bear a permanently affixed manufacture's nameplate containing the model number and individual serial number.

- C. Wheel:

1. Forward curved centrifugal wheel.
2. Constructed of galvanized steel or calcium carbonate filled polypropylene.
3. Statically and dynamically balanced in accordance to AMCA Standard 204-05.

- D. Motors:

1. Motor enclosures shall be open drip proof (ODP), opening in the frame body and or end brackets.
2. Motors are permanently lubricated sleeve bearing type to match with the fan load and furnished at the specific voltage and phase.
3. Motor shall be mounted on vibration isolators and be accessible for maintenance.
4. Compatible for use with speed controls.
5. Thermal overload protection.

- E. Housing:

1. Constructed of heavy gauge galvanized steel.
2. Interior shall be lined with 0.5 inches of acoustical insulation.
3. Profile as low as 10 ½ inches.

F. Spring Loaded Aluminum Backdraft Damper:

1. Prevents air from entering back into the building when fan is off.
2. Eliminates rattling or unwanted backdrafts.

G. Outlet:

1. Type of outlet: Square.
2. Field rotatable from horizontal to vertical discharge.
3. Duct collar shall include an aluminum backdraft damper.

H. External Electrical Access:

1. Eliminates removing the motor pack which saves time on installation.

I. Mounting Brackets:

1. Fully adjustable for multiple installation conditions

J. Access Panel:

1. Once installed shall have easy access to internal components

K. Options/Accessories:

1. Disconnect Switches:
 - a. NEMA rated: 1.
 - b. Positive electrical shut-off.
 - c. Wired from fan motor to junction box installed within motor compartment.
 - d. Access for wiring shall be external.
2. Speed Controls:
 - a. Controls the fan's output.
 - b. Fan can be adjusted to 60 percent of full speed.
 - c. Can be used to operate more than on fan at a time.

L. Vibration kit

1. Available for suspended installations.
2. Includes pre-punched hole for ease of installation and shall have all hardware to mount one unit.

2.3 CENTRIFUGAL VENTILATORS - ROOF (TX-1)

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Acme Engineering & Manufacturing Corp.
2. Carnes Company.

3. Greenheck Fan Corporation.
4. Loren Cook Company.
5. PennBarry.
6. Or approved equal.

B. General Description:

1. Fan shall be a spun aluminum and G90 Galvanized, roof mounted, direct drive, down blast centrifugal exhaust ventilator.

C. Application:

1. To discharge general, clean air and moisture vertically down towards the roof surface of the building.

D. Certification:

1. ETL Listed and complies with UL705 (electrical) Standards and CSA Std C22.2, No 113. Models 12 thru 85 are ETL Listed and comply with UL762 and ULC-S645 Standards. Fan shall bear the AMCA certified ratings seal for sound and air

E. Construction:

1. **Housing:** The fan wind band shall be constructed of heavy gauge aluminum or G90 Galvanized and shall be spun on an automatic lathe to provide consistent dimensions. Horizontal and vertical internal supports shall be used to securely fasten the wind band to the discharge apron to provide rigidity for hinging and added strength to reduce shipping damage. The discharge apron shall have a rolled bead for added strength.
2. **Base:** The base shall be constructed of galvanized steel for improved rigidity. Base corners shall be welded to provide strength and support for hinging and cleaning and to prevent leakage into the building.
3. The fan wheel shall be centrifugal backward inclined and non-overloading. Wheels shall be balanced in two planes and done in accordance with AMCA standard 204-96, Balance Quality and Vibration Levels for Fans. The wheel blades shall be aerodynamically designed to minimize turbulence, increase efficiency and reduce noise. The wheel blades shall be welded to the wheel inlet cone. In the event that balancing weights are required they shall be riveted to the blades or wheel. The wheel inlet shall overlap the fan base inlet for maximum performance and efficiency. The wheel shall be firmly attached to the motor shaft with two set screws.
4. **Motor & Motor Compartment:**
 - a. Standard 115 volt, open drip motors shall be permanently lubricated, rated for continuous duty and thermally protected. Motors shall be mounted out of the airstream and furnished at the specified voltage, phase and enclosure.
 - b. Motor mounting plate shall be constructed of heavy gauge galvanized steel.
 - c. The motor compartment shall be cooled by outside air drawn through an extruded aluminum conduit tube. To seal the conduit tube passage and prevent noise silicone rubber grommets shall isolate the conduit tube from the fan housing.
 - d. The motor compartment shall be of a two-piece construction with the cap having quick release clips to provide quick and easy access to the motor compartment.

2.4 MOTORS

- A. Comply with NEMA designation, temperature rating, service factor, and efficiency requirements for motors specified in Section 230513 "Common Motor Requirements for HVAC Equipment."
 - 1. Motor Sizes: Minimum size as indicated. If not indicated, large enough so driven load will not require motor to operate in service factor range above 1.0.

2.5 SOURCE QUALITY CONTROL

- A. Certify sound-power level ratings according to AMCA 301, "Methods for Calculating Fan Sound Ratings from Laboratory Test Data." Factory test fans according to AMCA 300, "Reverberant Room Method for Sound Testing of Fans." Label fans with the AMCA-Certified Ratings Seal.
- B. Certify fan performance ratings, including flow rate, pressure, power, air density, speed of rotation, and efficiency by factory tests according to AMCA 210, "Laboratory Methods of Testing Fans for Aerodynamic Performance Rating." Label fans with the AMCA-Certified Ratings Seal.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION, GENERAL

- A. Install power ventilators level and plumb.
- B. Equipment Mounting:
 - 1. Secure roof-mounted fans to roof curbs with zinc-plated hardware. See Section 077200 "Roof Accessories" for installation of roof curbs.
 - 2. Ceiling Units: Suspend units from structure; use steel wire or metal straps.
 - 3. Comply with requirements for vibration isolation devices specified in Section 230548.13 "Vibration Controls for HVAC."
- C. Install units with clearances for service and maintenance.
- D. Label units according to requirements specified in Section 230553 "Identification for HVAC Piping and Equipment."

3.3 DUCTWORK CONNECTIONS

- A. Drawings indicate general arrangement of ducts and duct accessories. Make final duct connections with flexible connectors. Flexible connectors are specified in Section 233300 "Air Duct Accessories."

3.4 ELECTRICAL CONNECTIONS

- A. Connect wiring according to Section 260519 "Low-Voltage Electrical Power Conductors and Cables."
- B. Ground equipment according to Section 260526 "Grounding and Bonding for Electrical Systems."
- C. Install electrical devices furnished by manufacturer, but not factory mounted, according to NFPA 70 and NECA 1.
 - 1. Nameplate shall be laminated acrylic or melamine plastic signs, as specified in Section 260553 "Identification for Electrical Systems."
 - 2. Nameplate shall be laminated acrylic or melamine plastic signs with a black background and engraved white letters at least 1/2 inch high.

3.5 CONTROL CONNECTIONS

- A. Install control and electrical power wiring to field-mounted control devices.
- B. Connect control wiring according to Section 260523 "Control-Voltage Electrical Power Cables."

3.6 STARTUP SERVICE:

- A. Engage a factory-authorized service representative to perform startup service.
 - 1. Complete installation and startup checks in accordance with manufacturer's written instructions.
 - 2. Verify that shipping, blocking, and bracing are removed.
 - 3. Verify that unit is secure on mountings and supporting devices and that connections to ducts and electrical components are complete. Verify that proper thermal-overload protection is installed in motors, starters, and disconnect switches.
 - 4. Verify that cleaning and adjusting are complete.
 - 5. For direct-drive fans, verify proper motor rotation direction and verify fan wheel free rotation and smooth bearing operation.
 - 6. For belt-drive fans, disconnect fan drive from motor, verify proper motor rotation direction, and verify fan wheel free rotation and smooth bearing operation. Reconnect fan drive system, align and adjust belts, and install belt guards.
 - 7. Adjust belt tension.
 - 8. Adjust damper linkages for proper damper operation.
 - 9. Verify lubrication for bearings and other moving parts.
 - 10. Verify that manual and automatic volume control and fire and smoke dampers in connected ductwork systems are in fully open position.
 - 11. Disable automatic temperature-control operators, energize motor and confirm proper motor rotation and unit operation, adjust fan to indicated rpm, and measure and record motor voltage and amperage.
 - 12. Shut unit down and reconnect automatic temperature-control operators.
 - 13. Remove and replace malfunctioning units and retest as specified above.

3.7 ADJUSTING

- A. Adjust damper linkages for proper damper operation.
- B. Lubricate bearings.
- C. Comply with requirements in Section 230593 "Testing, Adjusting, and Balancing for HVAC."

3.8 CLEANING

- A. After completing system installation and testing, adjusting, and balancing and after completing startup service, clean fans internally to remove foreign material and construction dirt and dust.

3.9 FIELD QUALITY CONTROL

- A. Perform tests and inspections with the assistance of a factory-authorized service representative.
- B. Tests and inspections:
 - 1. Fan Operational Test: After electrical circuitry has been energized, start units to confirm proper motor rotation and unit operation.
 - 2. Test and adjust controls and safeties.
 - 3. Fans and components will be considered defective if they do not pass tests and inspections.
 - 4. Prepare test and inspection reports.

3.10 DEMONSTRATION

- A. Engage a factory-authorized service representative to provide demonstration to City of New York personnel in the proper method to adjust and operate centrifugal fans.

END OF SECTION 233423

SECTION 233713 – AIR DIFFUSERS, REGISTERS AND GRILLES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction.

1.2 SUMMARY

A. Section Includes:

1. Square Ceiling Diffusers
2. Architectural Linear Slot Diffusers
3. Square Return and Exhaust Grilles.

B. Related Requirements:

1. Section 233300 "Air Duct Accessories" for fire and smoke dampers and volume-control dampers not integral to registers and grilles.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

A. Product Data: For each type of product.

1. Data Sheet: Indicate materials of construction, finish, and mounting details; and performance data including throw and drop, static-pressure drop, and noise ratings.
2. Diffuser and Grille Schedule: Indicate drawing designation, room location, quantity, model number, size, and accessories furnished.

B. Samples: For each exposed product and for each color and texture specified. Smallest size register and grille indicated.

C. Coordination Drawings: Reflected ceiling plans, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:

1. Ceiling suspension assembly members.
2. Method of attaching hangers to building structure.
3. Size and location of initial access modules for acoustical tile.
4. Ceiling-mounted items including lighting fixtures, diffusers, grilles, and access panels.

5. Duct access panels.

1.5 INFORMATIONAL SUBMITTALS

- A. Source quality-control reports.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 SQUARE CEILING DIFFUSERS

- A. Basis-of-Design Product: Subject to compliance with requirements, provide scheduled product as manufactured by Titus or comparable product by one of the following:

1. Anemostat Products; a Mestek company.
2. Krueger.
3. Price Industries.
4. Or approved equal.

- B. Diffuser to a 22-gauge steel face panel that captures a secondary 22-gauge panel. The face panel is removable by means of four hanger brackets. The exposed surface of the face panel to be smooth, flat, and free of visible fasteners. The construction of the face panel, back pan and finish to comply with the following:

1. The face panel shall project ¼ inch below the outside border of the diffuser back pan. Panels projecting more than ¼ inch below the outside border are not acceptable. The back of the face panel shall have an aerodynamically shaped, rolled edge to ensure a tight horizontal discharge pattern. A single metal thickness on the edges of the face panel will not be accepted. Ceiling diffusers with a 24 x 24-inch full face shall have no less than an 18 x 18-inch face panel size.
2. The back pan shall be one piece precision die-stamped and shall include an integrally drawn inlet (welded-in inlets and corner joints are not acceptable). The diffuser back pan shall be constructed of aluminum. The diffuser neck shall have a minimum of 1¼-inch depth available for duct connection.
3. The finish shall be white. The finish shall be an anodic acrylic paint, baked at 315°F for 30 minutes. The paint must pass a 100-hour ASTM B117 Corrosive Environments Salt Spray Test without creepage, blistering or deterioration of film. The paint must pass a 250-hour ASTM D870 Water Immersion Test. The paint must also pass the ASTM D2794 Reverse Impact Cracking Test with a 50-inch pound force applied.
4. Optional round damper shall be constructed of heavy gauge steel. Damper must be operable from the face of the diffuser. Optional Directional Blow clips shall be available to restrict the discharge air in certain directions. to be tested in accordance with ANSI/ASHRAE Standard 70.
5. Source quality control:

- a. Verification of Performance: Rate diffusers, registers, and grilles according to ASHRAE 70, "Method of Testing for Rating the Performance of Air Outlets and Inlets."

2.2 ARCHITECTURAL LINEAR SLOT DIFFUSERS

- A. Basis-of-Design Product: Subject to compliance with requirements, provide scheduled product as manufactured by Titus or comparable product by one of the following:
1. Anemostat Products; a Mestek company.
 2. Krueger.
 3. Price Industries.
 4. Or approved equal.
- B. Standard one-piece lengths up to 12 feet with slot configuration, sizes and mounting types shown on the plans and outlet schedule. Diffuser lengths greater than 6 feet shall be furnished in multiple sections and will be joined together end-to-end with alignment strips or pins to form a continuous appearance. All alignment components to be provided by the manufacturer. For hard ceilings, provide clips that are integral with the linear slot diffusers allowing the diffusers to be secured directly to the ceiling framing without the requirement for hanger supports. Provide spline clips to secure joints and ceiling tees to the diffusers.
- C. Diffuser core and finish construction to comply with the following:
1. Diffuser material to be minimum wall thickness 0.062 inches extruded aluminum. Spring steel retainers to be used under the spacers to hold the slot diffusers assembly tightly together and allow the slot diffusers to be disassembled easily for field trimming. Finish to be an anodic acrylic paint, baked at 315°F for 30 minutes. Flanges exposed to view to be painted factory standard white. All other surfaces to be painted flat black. Paint must pass a 100-hour ASTM B117 Corrosive Environments Salt Spray Test without creepage, blistering, or deterioration of film and must pass a 250-hour ASTM D870 Water Immersion Test. Paint to also pass the ASTM D2794 Reverse Impact Cracking Test with a 50-inch pound force applied.
 2. Provide heavy gauge extruded aluminum end borders and mitered corners to close off the ends of the diffusers. Ends to be butt type or mitered picture frame type. Corners to be mitered one piece unit.
 3. Diffuser pattern control to be based on the architectural linear slot diffuser.
 - a. Pattern controllers shall be one piece extruded aluminum, 24 inches long maximum, positioned between spring loaded spacers. Pattern controllers shall allow the airstream to be directed flat against the ceiling in either direction or downward as well as allowing throw reduction every two feet along the entire length of the linear slot diffusers. The airstream shall be maintained at the ceiling plane and shall not dump when volume is reduced. Where shown or noted pattern controllers shall be designed to allow the airstream to be jetted into the occupied space and be adjustable to vector the airstream as required. Pattern control must be operable from the face of the diffuser.
 - b. Optional blank-offs shall also be provided for in-active sections of installed diffuser length.
 4. Diffuser plenums to be minimum 24-gauge galvanized steel and lined inside with coated black matte 1 inch fiberglass insulation. Install plenum secure to the building structure. If plenum is furnished by others, a hemmed edge inside the bottom of the Plenum sides is required to capture the hanger bracket assemblies. The plenum should be hung so that the plenum straddles the ceiling opening and the bottom edge of the plenum rests on the backside of the ceiling.
 5. Where shown on the drawings or otherwise indicated, provide a manual or cable operated friction type volume damper located in the entry collar of the supply air plenum.

6. Where return air is taken through the diffuser face, a return hood with at least 51 percent free area and constructed of 24-gauge perforated sheet metal and painted flat black, to be provided.
7. Provide published performance data for the linear bar diffuser. The diffuser to be tested in accordance with ANSI/ASHRAE Standard 70.
 - a. Diffusers shall be selected to achieve a throw to room length ratio which meets the requirements of the ASHRAE 2001 Fundamentals Handbook, Chapter 32, Table 4, at both maximum design flow rate, and for VAV systems, at the minimum flow rate expected during partial occupancy. Diffusers to be selected to achieve a minimum of 70 percent ADPI over the range of expected loads in the space. ADPI performance on at least one unit size of the selected diffuser to have been tested in accordance with ASHRAE Standard 113–90, to validate conformance and applicability to the ASHRAE ADPI Table.

2.3 CEILING RETURN AND EXHAUST GRILLES

- A. Basis-of-Design Product: Subject to compliance with requirements, provide scheduled product as manufactured by Titus or comparable product by one of the following:
 1. Anemostat Products; a Mestek company.
 2. Krueger.
 3. Price Industries.
 4. Or approved equal.
- B. Return and exhaust Grille to be constructed with 20 gauge roll formed steel. Provide 3/4-inch blade spacing. Provide 35° blade pattern for return air service and 45° blade pattern for exhaust air service, for the sizes and mounting types shown on the plans and outlet schedule. The fixed deflection blades to be available parallel to the long or short dimension of the grille. Grille border construction to be of steel with a 1/4-inch wide border on all sides. Screw holes to be countersunk for a neat appearance. Corners to be welded with full penetration resistance welds.
 1. For return air service, a light shield hood with at least 51 percent free area and constructed of 24-gauge perforated sheet metal and painted flat black, shall be provided.
 2. Deflection blades shall be contoured to a specifically designed and tested cross-section to meet published test performance data. Blades shall be firmly held in place by mullions from behind the grille and fixed to the grille by welding in place.
 3. Opposed-blade volume damper shall be constructed of heavy gauge steel. Damper must be operable from the face of the grille.
 4. Grille finish to be painted factory white. Finish to be anodic acrylic paint, baked at 315° F for 30 minutes. The pencil hardness must be HB to H. The paint must pass a 100-hour ASTM B117 Corrosive Environments Salt Spray Test without creepage, blistering or deterioration of film.
 5. The paint must pass a 250-hour ASTM D870 Water Immersion Test. The paint must also pass the ASTM D2794 Reverse Impact Cracking Test with a 50-inch pound force applied.
 6. Provide published performance data for the grille. The grille to be tested in accordance with ANSI/ASHRAE Standard 70

2.4 SOURCE QUALITY CONTROL

- A. Verification of Performance: Rate diffusers according to ASHRAE 70, "Method of Testing for Rating the Performance of Air Outlets and Inlets."

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine areas where diffusers are installed for compliance with requirements for installation tolerances and other conditions affecting performance of equipment
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Install supply diffusers, diffuser plenum assemblies and grilles level and plumb
- B. Ceiling-Mounted Outlets and Inlets: Drawings indicate general arrangement of ducts, fittings, and accessories. Air outlet and inlet locations have been indicated to achieve design requirements for air volume, noise criteria, airflow pattern, throw, and pressure drop. Make final locations where indicated, as much as practical. For units installed in lay-in ceiling panels, locate units in the center of panel. Where architectural features or other items conflict with installation, notify Commissioner for a determination of final location.
- C. Install diffusers with airtight connections to ducts and to allow service and maintenance of dampers, air extractors, and fire dampers.

3.4 ADJUSTING

- A. After installation, adjust diffusers to air patterns indicated, or as directed, before starting air balancing.

END OF SECTION 233713



SECTION 237219 - FIXED PLATE AIR-TO-AIR ENERGY RECOVERY UNITS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract.

1.2 SUMMARY

- A. Section Includes Air-To-Air Energy Recovery Ventilation (ERV) Unit with Regenerative Dual Core Energy Recovery and the following features:
 - 1. Regenerative fixed-core metal plate heat exchangers.
 - 2. Switchover damper sections with fast acting rotating electric motor actuators and mechanical linkages.
 - 3. Direct-drive plug type plenum fan(s) with ECM motors.
 - 4. Filters: 2" MERV 13 disposable panel type filter.
- B. Related Requirements:
 - 1. Section 230513 "Common Motor Requirements for HVAC Equipment."
 - 2. Section 238216.14 "Electric Resistance Air Coils."
 - 3. Section 230923 "Direct Digital Control System for HVAC" for control equipment and software, relays, electrical power devices, uninterruptible power supply units, wire, and cable.
 - 4. Section 230993.11 "Sequence of Operations for HVAC Direct Digital Control" for equipment that relates to this Section.

1.3 DEFINITIONS

- A. ECM: Electronically commutated motor.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product. Include rated capacities, operating characteristics, furnished specialties, and accessories.



- B. Sustainable Design Submittals:
 - 1. Product data showing compliance with ASHRAE 62.1.
- C. Shop Drawings: For air-to-air energy recovery equipment.
 - 1. Include plans, elevations, sections, and mounting details.
 - 2. Include details of equipment assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
 - 3. Include diagrams for power, signal, and control wiring.
- D. Engineering Services Submittal: For air-to-air energy recovery equipment indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer licensed in the State of New York, who is responsible for their preparation.
 - 1. Detail fabrication and assembly of air-to-air energy recovery equipment.
- E. Coordination Drawings: Floor plans, elevations, and other details, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
 - 1. Mechanical-room layout and relationships between components and adjacent structural and mechanical elements.
 - 2. Support location, type, and weight.
 - 3. Field measurements.

1.6 INFORMATIONAL SUBMITTALS

- A. Sample Warranty: For special warranty.

1.7 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For air-to-air energy recovery equipment to include in maintenance manuals.

1.8 COORDINATION

- A. Coordinate sizes and locations of concrete bases with actual equipment provided.

1.9 DELIVERY, STORAGE, AND HANDLING

- A. Deliver and store products in a clean, dry place.
- B. Comply with manufacturer's written rigging and installation instructions for unloading and moving to final installed location.



- C. Handle products carefully to prevent damage, breakage, denting, and scoring. Do not install damaged products.
- D. Protect products from weather, dirt, dust, water, construction debris, and physical damage.
 - 1. Retain factory-applied coverings on equipment to protect finishes during construction and remove just prior to operating unit.
 - 2. Cover unit openings before installation to prevent dirt and dust from entering inside of units. If required to remove coverings during unit installation, reapply coverings over openings after unit installation and remove just prior to operating unit.
 - 3. Replace installed products damaged during construction.

1.10 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.11 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace components of air-to-air energy recovery unit that fail in materials or workmanship within specified warranty period.
 - 1. Warranty Period for fixed air-to-air heat exchanger cores, switching damper sections, damper electric motor actuators and mechanical linkages: 10 years from Date of Substantial Completion.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. NFPA Compliance: Comply with NFPA 90A for design, fabrication, and installation of unit components.
- C. ASHRAE Compliance:
 - 1. Applicable requirements in ASHRAE 62.1, Section 5 - "Systems and Equipment" and Section 7 - "Construction and Startup."
 - 2. Capacity ratings for air-to-air energy recovery equipment shall comply with ASHRAE 84, "Method of Testing Air-to-Air Heat/Energy Exchangers."
 - 3. Applicable requirements in ASHRAE/IES 90.1, Section 6 - "Heating, Ventilating, and Air-Conditioning."
- D. Comply with UL 723 for surface burning characteristics of combustible materials.



2.2 ENERGY RECOVERY

- A. Dual Core Energy Recovery Ventilator Unit equipped with supply and exhaust fans, two fixed air-to-air heat exchanger cores constructed of corrugated aluminum plates that act as sensible and latent heat accumulators and arranged in series with patented switching damper sections that reverse the flow of air through the cores alternately every 60 seconds to transfer energy from the indoor air exhausted and the outdoor air supplied for ventilation. Damper switching action is driven by industrial grade electric gear rotating motor actuators and mechanical linkages designed and tested for continuous repetitive cycles of operation.
- B. Operating Conditions for Occupied Space (Exhaust Air):
 - 1. Airflow: 1250 cfm.
 - 2. Face Velocity: 387 fpm.
 - 3. Air Pressure Drop: 0.77 inches w.g.
 - 4. Summer:
 - a. Entering-Air Temperature, Dry Bulb: 77 deg F.
 - 5. Entering-Air Temperature, Wet Bulb: 63 deg F.
 - 6. Winter:
 - a. Entering-Air Temperature, Dry Bulb: 67 deg F.
- C. Entering-Air Temperature, Wet Bulb: 52 deg F.
- D. Operating Conditions for Outdoor Air (Ventilation Supply):
 - 1. Airflow: 1500 cfm.
 - 2. Face Velocity: 465 fpm.
- E. Air Pressure Drop: 0.97 inches w.g.
- F. Energy Recovery Ventilation (ERV) Performance:
 - 1. Summer:
 - a. Entering-Air Temperature, Dry Bulb: 90 deg F.
 - b. Entering-Air Temperature, Wet Bulb: 73 deg F.
 - c. Leaving-Air Temperature, Dry Bulb: 82 deg F.
 - d. Leaving-Air Temperature, Wet Bulb: 68 deg F.
 - e. Efficiency: 63.1% DB / 47.7% WB
 - f. Recovered Energy: -13,282.00 SENS / -15,242.00 LAT.
 - 2. Winter:
 - a. Entering-Air Temperature, Dry Bulb: 0 deg F.



- b. Entering-Air Temperature, Wet Bulb: 0 deg F.
- c. Leaving-Air Temperature, Dry Bulb: 52 deg F.
- d. Leaving-Air Temperature, Wet Bulb: 41 deg F.
- e. Efficiency: 76.9% DB / 54.4% WB.
- f. Recovered Energy: + 83,414.00 SENS / +17,108.00 LAT.

2.3 AIR-TO-AIR ENERGY RECOVERY VENTILATION (ERV) UNIT

A. Sole Source Product: Provide Model #RGSP 1800 Dual Core Regenerative Air to Air Energy Recovery Ventilator (ERV) as manufactured by Tempeff North America LTD.

- 1. No substitutions permitted.

B. Casing and Access Doors:

- 1. Fabricate unit with double wall galvanized panels secured with mechanical fasteners. All access doors shall be provided with permanently applied seal: bulb-type gasket.
- 2. Casing panels and access doors shall be rigidly constructed of 2 inch double wall panels with injected polyurethane foam insulation. Insulation value shall be R 6.5 per inch of wall thickness.
- 3. The outer and inner panels shall be constructed of heavy gauge G90 galvanized steel. Supply test data demonstrating less than L/240 deflection for unsupported 48"x 48" panel under a test pressure of 30" W.C pressure. Access Doors shall be flush mounted to Unit casing, with minimum of two hinges, locking latch and full size handle assembly.
- 4. Casing drain pan: same construction as Unit casing with female brass threaded condensate drain connections, compliant with ASHRAE 62.1.

C. Supply / Exhaust Fans:

- 1. Provide direct-drive airfoil plenum fan(s) with ECM motors. Fan assemblies including fan wheel, power coupling and motor shall be dynamically balanced by the Factory on all three axis planes to ensure maximum fan acceleration and operating speed (RPM) remains below the first critical speed.
- 2. Fan and motor shall be mounted internally on a steel base. Provide access to motor, drive, and bearings through hinged access door. Fan and motor assembly shall be mounted on 2" deflection spring vibration type isolators located inside Unit casing.

D. Bearings and Drives:

- 1. Bearings shall be self-aligning, grease lubricated, ball or roller bearings with extended copper lubrication lines to access side of unit. Grease fittings shall be factory supplied and attached to the fan base assembly near access door. Bearing load rating computed in accordance with AFBMA - ANSI Standards: L-50 life at 400,000 hours all airfoil plenum fans.
- 2. Shafts to be solid, hot rolled steel, ground and polished, protected with light coating of lubricating oil.

E. Particulate Filters:

- 1. All filter sections shall be provided with filter racks and guides with hinged and latching access doors on either, or both sides, for ease of access and side loading and removal of filters.



2. Filter media shall be UL 900 listed, Class I or Class II - MERV 13 rating.
3. Filter bank arrangement shall be flat or angled with 2" disposable panel type for pre-filter and final filter locations.

F. Energy Recovery:

1. Dual Core plates shall be formed of precisely corrugated aluminum material -1100 Series and when used for latent energy exchange, coated with a molecular sieve desiccant with regular and precisely defined pore openings of 3 angstrom to absorb water but not solvents, resins and other contaminants. A 2" MERV 13 rated panel filter shall be arranged between desiccant coated and non-desiccant coated core assemblies.
2. Unit Cross-leakage shall be maximum 1-3% as defined and tested in accordance with ASHRAE 84 Test Methods. Supply test data demonstrating performance in accordance with ASHRAE 84 test method.
3. Cores shall not require frost protection in applications down to -40 F degrees.
4. Switchover damper section shall be designed with low leakage, heavy gauge single or opposed blade dampers operated by fast acting/reversible industrial grade electric gear motor actuators providing damper open/closing switching times of 0.75 seconds. All damper blades shall be provided with resilient elastomer edge seals and blade seals for tight leak tight performance. Leakage rate tested in accordance with AMCA Standard 500.
5. Energy Recovery cycling control shall integrate programmed thermostats for both ventilation air supply and exhaust air to permit optimization of energy recovery and free cooling modes of operation.

G. Electrical:

1. All ERV electrical components shall bear a UL and CSA safety listing.
2. Wiring Termination: Provide terminal lugs to match branch circuit conductor quantities, sizes, and materials indicated. All wires shall be number tagged and cross-referenced to the wiring diagram for ease of troubleshooting.
3. Single-point Field Power Connection: Install and wire ERV equipment to accommodate a single field electrical connection for electrical power supply.
4. Disconnecting Means: Provide ERV with a main electrical power connection and disconnecting means to prevent access into Unit, unless power is switched to the off position.
5. Controls must include Self diagnostics and PLC error code. On board fault detection and diagnostics that senses and alerts when the damper is not operating correctly.
6. ERV Manufacturer shall provide and mount all damper motor, fan motor and operating controls.

H. ERV Equipment Nameplate to include the following data:

1. Manufacturer name, address, telephone number, website address.
2. Equipment Model number.
3. Equipment Serial number.
4. Equipment Manufacturing date.



PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine areas and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine roughing-in for electrical services to verify actual locations of connections before installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Install ERV Unit to allow ventilation air supply and exhaust airstreams to connect as per the manufacturer's installation requirements.
- B. Install duct access doors in both supply and exhaust ducts, both upstream and downstream, for access to heat exchanger. Access doors and panels are specified in Section 233300 "Air Duct Accessories."
- C. Equipment Mounting: Install floor mounted air-to-air ERV equipment on 4-inch high cast-in-place concrete equipment bases. Comply with requirements for equipment bases and foundations specified in Section 033000 "Cast-in-Place Concrete."
- D. Install units with clearances for service and maintenance.
- E. Comply with requirements for ductwork specified in Section 233113 "Metal Ducts."

3.4 PIPING CONNECTIONS

- A. Where installing piping adjacent to unit, allow space for service and maintenance.
- B. Connect piping to units mounted on vibration isolators with flexible connectors.
- C. Condensate Drain Piping: Pipe drains from drain pans to nearest floor drain; use ASTM B88, Type L, drawn-temper copper water tubing with soldered joints, same size as condensate drain connection.
- D. Condensate Drain Piping: Pipe drains from drain pans to nearest floor drain; use ASTM D1785, Schedule 40 PVC pipe and solvent-welded fittings, same size as condensate drain connection.
- E. Condensate Drain Piping Installation: Extend to nearest equipment or floor drain. Construct deep trap at connection to drain pan and install cleanouts at changes in direction.



3.5 ELECTRICAL CONNECTIONS

- A. Connect wiring according to Section 260529 "Low-Voltage Electrical Power Conductors and Cables."
- B. Ground equipment according to Section 250526 "Grounding and Bonding for Electrical Systems."
- C. Install electrical devices furnished by manufacturer, but not factory mounted, according to NFPA 70 and NECA 1.
- D. Install nameplate for each electrical connection, indicating electrical equipment designation and circuit number feeding connection.
 - 1. Nameplate shall be laminated acrylic or melamine plastic signs, as specified in Section 260553 "Identification for Electrical Systems."

3.6 CONTROL CONNECTIONS

- A. Install control and electrical power wiring to field-mounted control devices.
- B. Connect control wiring according to Section 260523 "Control-Voltage Electrical Power Cables."

3.7 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections with the assistance of a factory-authorized service representative:
 - 1. Operational Test: After electrical circuitry has been energized, start units to confirm proper water wash control and unit operation.
 - 2. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
 - 3. Set field-adjustable switches and circuit-breaker trip ranges as indicated.
- B. Air-to-Air Energy Recovery Ventilation (ERV) equipment will be considered defective if it does not pass tests and inspections.
- C. Prepare test and inspection reports.

3.8 STARTUP SERVICE

- A. Engage a factory-authorized service representative to perform startup service.
 - 1. Complete installation and startup checks according to manufacturer's written instructions.
 - 2. Verify that shipping, blocking, and bracing are removed.
 - 3. Verify that unit is secure on mountings and supporting devices and that connections to electrical systems are complete. Verify that proper thermal-overload protection is installed.
 - 4. Verify water wash mechanism operation.



- B. Starting procedures for Air-to-Air Energy Recovery Ventilation (ERV) Unit include the following:
 - 1. Energize water wash motor and verify proper operation of motor and water wash system.
 - 2. Measure and record motor electrical values for voltage and amperage.

3.9 ADJUSTING

- A. Comply with requirements in Section 230593 "Testing, Adjusting, and Balancing for HVAC" for air-handling system testing, adjusting, and balancing.

3.10 CLEANING

- A. After completing system installation and testing, adjusting, and balancing Air-to-Air Energy Recovery Ventilation (ERV) Unit and after completing startup service, clean unit to remove foreign material and construction dirt and dust.

3.11 DEMONSTRATION

- A. Engage a factory-authorized service representative to provide orientation to the Commissioner in the proper method to adjust, operate Air-to-Air Energy Recovery Ventilation (ERV) Unit.
- B. Provide a complete set of instructional videos covering installation and operation and showing the following:
 - 1. Software programming
 - 2. Calibration and test procedures.
 - 3. Operation requirements and procedures.
 - 4. Troubleshooting procedures.
- C. Coordinate video with operation manuals and classroom instruction for use by City of New York in operating and troubleshooting.
- D. City of New York has the right to make additional copies of videos for internal use.

END OF SECTION 237219

SECTION 238129 - VARIABLE-REFRIGERANT-FLOW HVAC SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section includes complete VRF HVAC system(s) including, but not limited to the following components to make a complete operating system(s) according to requirements indicated:
1. Indoor, concealed, ceiling-mounted units.
 2. Indoor, floor-mounted units for ducting.
 3. Indoor, energy recovery ventilator.
 4. Outdoor, air-source, heat-pump units.
 5. Heat recovery control units.
 6. System controls.
 7. System refrigerant and oil.
 8. System condensate drain piping.
 9. System refrigerant piping.
 10. Metal hangers and supports.
 11. Metal framing systems.
 12. Fastener systems.
 13. Equipment stands.
 14. Miscellaneous support materials.
 15. Piping and tubing insulation.
 16. System control cable and raceways.
 17. Built-in condensate pump

1.3 DEFINITIONS

- A. Heat-Pump System Operation: System capable of operation with all zones in either heating or cooling, but not with simultaneous heating and cooling zones that transfer heat between zones.
- B. Heat Recovery System Operation: System capable of operation with simultaneous heating and cooling zones that transfer heat between zones.
- C. HRCU: Heat Recovery Control Unit. HRCUs are used in heat recovery VRF HVAC systems to manage and control refrigerant between indoor units to provide simultaneous heating and cooling zones. "Heat Recovery Control Unit" is the term used by ASHRAE for what different manufacturers term as branch circuit controller, branch selector box, changeover box, flow selector unit, mode change unit, and other such terms.

- D. Low Voltage: As defined in NFPA 70 for circuits and equipment operating at less than 50 V or for remote-control, signaling power-limited circuits.
- E. Plenum: A space forming part of the air distribution system to which one or more air ducts are connected. An air duct is a passageway, other than a plenum, for transporting air to or from heating, ventilating, or air-conditioning equipment.
- F. Three-Pipe System Design: One high pressure refrigerant vapor line, one low pressure refrigerant vapor line, and one refrigerant liquid line connect a single outdoor unit or multiple manifold outdoor units in a single system to associated system HRCUs. One liquid line and refrigerant vapor line connect HRCUs to associated indoor units.
- G. Two-Pipe System Design: One refrigerant vapor line and one refrigerant liquid line connect a single outdoor unit or multiple manifold outdoor units in a single system to associated system HRCUs. One refrigerant liquid line and refrigerant vapor line connect HRCUs to associated indoor units. HRCUs used in two pipe systems act as an intermediate heat exchanger and include diverting valves and gas/liquid separators to move high and low pressure refrigerant between indoor units.
- H. VRF: Variable refrigerant flow.

1.4 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.6 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for indoor and outdoor units and for HRCUs.
 2. Include rated capacities, operating characteristics, electrical characteristics, and furnished specialties and accessories.
 3. Include operating performance at design conditions and at extreme maximum and minimum outdoor ambient conditions.
 4. Include description of system controllers, dimensions, features, control interfaces and connections, power requirements, and connections.
 5. Include system operating sequence of operation in narrative form for each unique indoor- and outdoor-unit and HRCU control.
 6. Include description of control software features.
 7. Include total refrigerant required and a comprehensive breakdown of refrigerant required by each system installed.
 8. Include refrigerant type and data sheets showing compliance with requirements indicated.
 9. For system design software.

10. Indicate location and type of service access.
- B. Sustainable Design Submittals:
1. Product Data for EA Prerequisite 2, "Minimum Energy Performance": Indicating compliance with minimum energy performance requirements.
 2. ASHRAE 62.1 Compliance: Applicable requirements in ASHRAE 62.1, Section 7.2.2 - "Air Balancing."
 3. ASHRAE/IES 90.1 compliance.
 4. Air-Balance Report: Documentation indicating that Work complies with ASHRAE 62.1, Section 7.2.2 - "Air Balancing."
 5. Product Data for EA Prerequisite 3, "Fundamental Refrigerant Management": For refrigerants, indicating compliance with refrigerant management practices.
 6. Product Data for EA Credit 1, "Optimize Energy Performance": Indicating that system meets efficiency requirements.
 7. Product Data for EA Credit 4, "Enhanced Refrigerant Management": Indicating that products meet requirements for refrigerant management.
 8. Product Data for EA Credit 5, "Measurement and Verification": For continuous metering equipment.
 9. Product Data for Credit IEQ 1, "Outdoor Air Delivery Monitoring": Documentation indicating that systems, equipment, and controls comply.
 10. Product Data for Credit IEQ 6.2, "Controllability of Systems - Thermal Comfort": Documentation indicating that systems, equipment, and controls comply.
- C. Shop Drawings: For VRF HVAC systems.
1. Include plans, elevations, sections, and mounting-details.
 2. Include details of equipment assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
 3. Vibration Isolation Base Details: Detail fabrication including anchorages and attachments to structure and to supported equipment. Include frames for equipment mounting.
 4. Include diagrams and details of refrigerant piping and tubing showing installation requirements for manufacturer-furnished divided flow fittings.
 5. Include diagrams for power, signal, and control wiring.
- D. Engineering Services Submittals:
1. Include calculations for selecting vibration isolators and for designing vibration isolation bases.
 2. Include calculations with corresponding diagram of refrigerant piping and tubing sizing for each system installed.
 3. Include calculations with corresponding floor plans indicating that refrigerant concentration limits are within allowable limits of ASHRAE 15 and CHapter 11 of the NYC Mechanical Code.
 4. Include calculations showing that system travel distance for refrigerant piping and controls cabling are within horizontal and vertical travel distances set by manufacturer. Provide a comparison table for each system installed.
- E. Coordination Drawings: Plans, elevations, sections, and details, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
1. Suspended ceiling components.

2. Structural floors, roofs and associated members to which equipment, piping, ductwork, cables, and conduit will be attached.
3. Size and location of initial access modules for acoustical tile.
4. Wall-mounted controllers located in finished space showing relationship to light switches, fire-alarm devices, and other installed devices.
5. Size and location of access doors and panels installed behind walls and inaccessible ceilings for products installed behind walls and requiring access.
6. Items penetrating finished ceiling including the following:
 - a. Luminaires.
 - b. Air outlets and inlets.
 - c. Speakers.
 - d. Sprinklers.
 - e. Service access panels.
 - f. Electrical components and devices.
 - g. Plumbing piping, hangers and supports.

1.7 INFORMATIONAL SUBMITTALS

A. Qualification Data:

1. For Installer: Certificate from VRF HVAC system manufacturer certifying that Installer has successfully completed prerequisite training administered by manufacturer for proper installation of systems, including but not limited to, equipment, piping, controls, and accessories indicated and furnished for installation.
 - a. Retain copies of Installer certificates on-site and make available on request.

- B. Product Test Reports: Where tests are required, for each product, for tests performed by manufacturer and witnessed by a qualified testing agency.
- C. Source quality-control reports.
- D. Field quality-control reports.
- E. Sample Warranties: For manufacturer's warranties.

1.8 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For VRF HVAC systems to include in emergency, operation, and maintenance manuals.
- B. Software and Firmware Operational Documentation:
 1. Software operating and upgrade manuals.
 2. Program Software Backup: On CD or DVD, USB media, or cloud storage platform approved by Commissioner, complete with data files.
 3. Device address list.
 4. Printout of software application and graphic screens.

1.9 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Factory-Authorized Service Representative Qualifications:
 - 1. Authorized representative of, and trained by, VRF HVAC system manufacturer.
 - 2. In-place facility located within proximity of Project.
 - 3. Demonstrated past experience on projects of similar complexity, scope, and value.
 - 4. Staffing resources of competent and experienced full-time employees that are assigned to execute work according to schedule.
 - 5. Service and maintenance staff assigned to support Project during warranty period.
 - 6. Product parts inventory to support ongoing system operation for a period of not less than five years after Substantial Completion.
 - 7. VRF HVAC system manufacturer's backing to take over execution of Work if necessary to comply with requirements indicated. Include Project-specific written letter, signed by manufacturer's corporate officer, if requested.
- C. Installer Qualifications: An entity that employs installers and supervisors who are trained and approved by VRF HVAC system manufacturer.
 - 1. Installers trained by manufacturer for proper installation of systems, including, but not limited to, equipment, piping, controls, and accessories indicated and furnished for installation.

1.10 DELIVERY, STORAGE, AND HANDLING

- A. Deliver and store products in a clean and dry place.
- B. Comply with manufacturer's written rigging and installation instructions for unloading and moving to final installed location.
- C. Handle products carefully to prevent damage, breaking, denting, and scoring. Do not install damaged products.
- D. Protect products from weather, dirt, dust, water, construction debris, and physical damage.
 - 1. Retain factory-applied coverings on equipment to protect finishes during construction and remove just prior to operating unit.
 - 2. Cover unit openings before installation to prevent dirt and dust from entering inside of units. If required to remove coverings during unit installation, reapply coverings over openings after unit installation and remove just prior to operating unit.
- E. Replace installed products damaged during construction.

1.11 WARRANTY

- A. **Manufacturer's Warranty:** Manufacturer agrees to repair or replace equipment and components that fail(s) in materials or workmanship within specified warranty period.
 - 1. Failures include, but are not limited to, the following:
 - a. Structural failures.
 - b. Faulty operation.
 - c. Deterioration of metals, metal finishes, and other materials beyond normal weathering and use.
 - 2. Warranty Period:
 - a. For Compressor: Seven years from date of Substantial Completion.
 - b. For Parts, Including Controls: Five years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. **Sole-Source Manufacturer:** Provide scheduled Variable-Refrigerant-Flow products by Mitsubishi Electric & Electronics USA.
 - 1. No substitutions permitted.
- B. **Features:**
 - 1. Indoor and outdoor units, including accessories.
 - 2. Controls and software.
 - 3. HRCUs.
 - 4. Refrigerant isolation valves.
 - 5. Specialty refrigerant pipe fittings.

2.2 SYSTEM DESCRIPTION

- A. **Direct-expansion (DX) VRF HVAC systems with variable capacity in response to varying cooling and heating loads.** System shall consist of multiple indoor units, built-in condensate pump, HRCUs, outdoor units, piping, controls, and electrical power to make complete operating systems complying with requirements indicated.
 - 1. Two-pipe or three-pipe system design.
 - 2. Systems operation, heat pump or heat recovery as indicated on Drawings.
 - 3. Each system with one refrigerant circuit shared by all indoor units connected to system.
- B. **Electrical Components, Devices, and Accessories:** Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

- C. AHRI Compliance: System and equipment performance certified according to AHRI 1230 and products listed in AHRI directory.
- D. ASHRAE Compliance:
 - 1. ASHRAE 15: For safety code for mechanical refrigeration.
 - 2. ASHRAE 62.1: For indoor air quality.
 - 3. ASHRAE 135: For control network protocol with remote communication.
 - 4. ASHRAE/IES 90.1 Compliance: For system and component energy efficiency.
- E. UL Compliance: Comply with UL 1995.

2.3 PERFORMANCE REQUIREMENTS

- A. Engineering Services: Engage a qualified professional engineer licensed in the State of New York, as defined in DDC General Conditions, to design complete and operational VRF HVAC system(s) complying with requirements indicated.
 - 1. Provide system refrigerant calculations.
 - a. Refrigerant concentration limits shall be within allowable limits of ASHRAE 15 and Chapter 11 of the NYC Mechanical Code.
 - b. Indicate compliance with manufacturer's maximum vertical and horizontal travel distances. Prepare a comparison table for each system showing calculated distances compared to manufacturer's maximum allowed distances.
 - 2. Include a mechanical ventilation system and gas detection system as required to comply with ASHRAE 15 and Chapter 11 of the NYC Mechanical Code.
 - 3. System Refrigerant Piping and Tubing:
 - a. Arrangement: Arrange piping to interconnect indoor units, HRCUs, and outdoor unit(s) in compliance with manufacturer requirements and requirements indicated.
 - b. Routing: Conceal piping above ceilings and behind walls to maximum extent possible.
 - c. Sizing: Size piping system, using a software program acceptable to manufacturer, to provide performance requirements indicated. Consider requirements to accommodate future change requirements.
 - 4. System Controls:
 - a. Network arrangement.
 - b. Network interface with other building systems.
 - c. Product selection.
 - d. Sizing.
- B. Service Access:
 - 1. Provide and document service access requirements.

2. Locate equipment, system isolation valves, and other system components that require service and inspection in easily accessible locations. Avoid locations that are difficult to access if possible.
 3. Where serviceable components are installed behind walls and above inaccessible ceilings, provide finished assembly with access doors or panels to gain access. Properly size the openings to allow for service, removal, and replacement.
 4. If less than full and unrestricted access is provided, locate components within an 18-inch reach of the finished assembly.
 5. Where ladder access is required to service elevated components, provide an installation that provides for sufficient access within ladder manufacturer's written instructions for use.
 6. Comply with OSHA regulations.
- C. System Design and Installation Requirements:
1. Design and install systems indicated according to manufacturer's recommendations and written instructions.
 2. Where manufacturer's requirements differ from requirements indicated, contact Commissioner for direction. The most stringent requirements should apply unless otherwise directed in writing by Commissioner.
- D. Isolation of Equipment: Provide isolation valves to isolate each HRCU, indoor unit and outdoor unit for service, removal, and replacement without interrupting system operation.
- E. System Turndown: Stable operation down to 20 percent of outdoor-unit capacity.
- F. System Auto Refrigerant Charge: Each system shall have an automatic refrigerant charge function to ensure the proper amount of refrigerant is installed in system.
- G. Outdoor Conditions:
1. Suitable for outdoor ambient conditions encountered.
 - a. Design equipment and supports to withstand wind loads as indicated on the drawings and ASCE/SEI 7.
 - b. Design equipment and supports to withstand snow and ice loads indicated on the drawings and ASCE/SEI 7.
- H. Sound Performance: Sound levels generated by operating HVAC equipment shall be within requirements indicated.
1. Outdoor: See Drawings M-101 Within the limitations set by the New York City Noise Code.
- I. Thermal Movements: Allow for controlled thermal movements from ambient, surface, and system temperature changes.
- J. Capacities and Characteristics: As indicated on Drawings.

2.4 INDOOR, CONCEALED, CEILING-MOUNTED UNITS FOR DUCTING

- A. Description: Factory-assembled and -tested complete unit with components, piping, built-in condensate pump, wiring, and controls required for mating to ductwork, piping, power, and controls field connections.
- B. Cabinet:
1. Material: Galvanized or painted steel.
 2. Insulation: Manufacturer's standard internal insulation, complying with ASHRAE 62.1, to provide thermal resistance and prevent condensation.
 3. Duct Connections: Extended collar or flange, or designated exterior cabinet surface, designed for attaching field-installed ductwork.
 4. Mounting: Manufacturer-designed provisions for field installation.
 5. Internal Access: Removable panels or hinged doors of adequate size for field access to internal components for inspection, cleaning, service, and replacement.
 6. The cabinet panel shall have provisions for a field installed filtered outside air intake.
 7. Four-way grille shall be fixed to bottom of cabinet allowing two, three or four-way blow.
- C. DX Coil Assembly:
1. Coil Casing: galvanized, steel.
 2. Coil Fins: Aluminum, mechanically bonded to tubes, with arrangement required by performance.
 3. Coil Tubes: Copper, of diameter and thickness required by performance.
 4. Expansion Valve: Electronic modulating type with linear or proportional characteristics.
 5. Unit Internal Tubing: Copper tubing with brazed joints.
 6. Unit Internal Tubing Insulation: Manufacturer's standard insulation, of thickness to prevent condensation.
 7. Field Piping Connections: Manufacturer's standard.
 8. Factory Charge: Dehydrated air or nitrogen.
 9. Testing: Factory pressure tested and verified to be without leaks.
- D. Drain Assembly:
1. Pan: Non-ferrous material, with bottom sloped to low point drain connection.
 2. Condensate Removal: Integral condensate pump, capable of lifting drain water to an elevation above 2-1/2 feet.
 3. Field Piping Connection: Non-ferrous material with threaded NPT.
- E. Fan and Motor Assembly:
1. Fan(s):
 - a. Direct-drive arrangement.
 - b. Single or multiple fans connected to a common motor shaft and driven by a single motor with permanently balanced turbo fan.
 - c. Fabricated from non-ferrous components or ferrous components with corrosion-resistant finish.
 - d. Wheels statically and dynamically balanced.

2. Motor: Brushless dc or electronically commutated with permanently lubricated bearings.
3. Motor Protection: Integral protection against thermal, overload, and voltage fluctuations.
4. Speed Settings and Control: Two (low, high), three (low, medium, high), or more than three speed settings or variable speed with a speed range of least 50 percent.
5. Vibration Control: Integral isolation to dampen vibration transmission.

F. Filter Assembly:

1. Access: Bottom, side, or rear to accommodate field installation without removing ductwork and to accommodate filter replacement without need for tools.
2. Efficiency: ASHRAE 52.2, MERV 13.

G. Unit Accessories:

1. Outdoor Air Ventilation Kit: Connection, motorized damper, and control sized to allow sequence of operation indicated on Drawings.
2. Remote Room Temperature Sensor Kit: Wall-mounted, hardwired room temperature sensor kit for use in rooms that do not have room temperature measurement.

H. Unit Controls:

1. Enclosure: Metal, suitable for indoor locations.
2. Factory-Installed Controller: Configurable digital control.
3. Factory-Installed Sensors:
 - a. Unit inlet air temperature.
 - b. Coil entering refrigerant temperature.
 - c. Coil leaving refrigerant temperature.
4. Features and Functions:
 - a. Self-diagnostics.
 - b. Time delay.
 - c. Auto-restart.
 - d. External static pressure control.
 - e. Auto operation mode.
 - f. Manual operation mode.
 - g. Filter service notification.
 - h. Power consumption display.
 - i. Drain assembly high water level safety shutdown and notification.
 - j. Run test switch.
5. Communication: Network communication with other indoor and outdoor units.
6. Cable and Wiring: Manufacturer's standard with each connection labeled and corresponding to a unit-mounted wiring diagram.
7. Field Connection: Manufacturer's standard with each connection labeled and corresponding to a unit-mounted wiring diagram.

I. Unit Electrical:

1. Enclosure: Metal, suitable for indoor locations.
2. Field Connection: Single point connection to power unit and integral controls.
3. Disconnecting Means: Factory-mounted circuit breaker or switch.
4. Control Transformer: Manufacturer's standard. Coordinate requirements with field power supply.
5. Wiring: Manufacturer's standard with each connection labeled and corresponding to a unit-mounted wiring diagram.
6. Raceways: Enclose line voltage wiring in metal raceways.

2.5 INDOOR, EXPOSED, FLOOR-MOUNTED UNITS

- A. Description: Factory-assembled and -tested complete unit with components, piping, wiring, and controls required for mating to piping, power, and controls field connections.
- B. Cabinet:
1. Material: Painted steel, with an architectural acceptable finish suitable for tenant occupancy on exposed surfaces.
 2. Insulation: Manufacturer's standard internal insulation, complying with ASHRAE 62.1, to provide thermal resistance and prevent condensation.
 3. Mounting: Manufacturer-designed provisions for field installation.
 4. Internal Access: Removable panels of adequate size for field access to internal components for inspection, cleaning, service, and replacement.
- C. DX Coil Assembly:
1. Coil Casing: Aluminum, galvanized, or stainless steel.
 2. Coil Fins: Aluminum, mechanically bonded to tubes, with arrangement required by performance.
 3. Coil Tubes: Copper, of diameter and thickness required by performance.
 4. Expansion Valve: Electronic modulating type with linear or proportional characteristics.
 5. Unit Internal Tubing: Copper tubing with brazed joints.
 6. Unit Internal Tubing Insulation: Manufacturer's standard insulation, of thickness to prevent condensation.
 7. Field Piping Connections: Manufacturer's standard.
 8. Factory Charge: Dehydrated air or nitrogen.
 9. Testing: Factory pressure tested and verified to be without leaks.
- D. Drain Assembly:
1. Pan: Non-ferrous material, with bottom sloped to low point drain connection.
 2. Condensate Removal: Gravity.
 - a. If a floor drain is not available at unit, provide unit with field-installed condensate pump accessory.
 3. Field Piping Connection: Non-ferrous material-with threaded NPT.
- E. Fan and Motor Assembly:

1. Fan(s):
 - a. Direct-drive arrangement.
 - b. Single or multiple fans connected to a common motor shaft and driven by a single motor.
 - c. Materials: Non-ferrous components or ferrous components with corrosion-resistant finish.
 - d. Statically and dynamically balanced.
 2. Motor: Brushless dc or electronically commutated with permanently lubricated bearings.
 3. Motor Protection: Integral protection against thermal, overload, and voltage fluctuations.
 4. Speed Settings and Control: Two (low, high), three (low, medium, high), or more than three speed settings or variable speed with a speed range of least 50 percent.
 5. Vibration Control: Integral isolation to dampen vibration transmission.
- F. Filter Assembly:
1. Access: Front, to accommodate filter replacement without the need for tools.
 2. Washable Media: Manufacturer's standard filter with antimicrobial treatment.
- G. Grille Assembly: Manufacturer's standard discharge grille with field-adjustable air pattern mounted in top of unit cabinet.
- H. Unit Accessories:
1. Remote Room Temperature Sensor Kit: Wall-mounted, hardwired room temperature sensor kit for use in rooms that do not have room temperature measurement.
 2. Condensate Pump: Integral reservoir and control with electrical power connection through unit power.
- I. Unit Controls:
1. Enclosure: Manufacturer's standard, and suitable for indoor locations.
 2. Factory-Installed Controller: Configurable digital control.
 3. Factory-Installed Sensors:
 - a. Unit inlet air temperature.
 - b. Coil entering refrigerant temperature.
 - c. Coil leaving refrigerant temperature.
 4. Features and Functions:
 - a. Self-diagnostics.
 - b. Time delay.
 - c. Auto-restart.
 - d. External static pressure control.
 - e. Auto operation mode.
 - f. Manual operation mode.
 - g. Filter service notification
 - h. Power consumption display.
 - i. Drain assembly high water level safety shutdown and notification.

- j. Run test switch.
- 5. Communication: Network communication with other indoor units and outdoor units.
- 6. Cable and Wiring: Manufacturer's standard with each connection labeled and corresponding to a unit-mounted wiring diagram.
- 7. Field Connection: Manufacturer's standard with each connection labeled and corresponding to a unit-mounted wiring diagram.

J. Unit Electrical:

- 1. Enclosure: Manufacturer's standard, and suitable for indoor locations.
- 2. Field Connection: Single point connection to power entire unit and integral controls.
- 3. Disconnecting Means: Factory-mounted circuit breaker or switch.
- 4. Control Transformer: Manufacturer's standard. Coordinate requirements with field power supply.
- 5. Wiring: Manufacturer's standard with each connection labeled and corresponding to a unit-mounted wiring diagram.
- 6. Raceways: Enclose line voltage wiring in metal raceways.

2.6 INDOOR, SUSPENDED, CEILING-MOUNTED UNITS

- A. Description: Factory-assembled and -tested complete unit with components, piping, wiring, and controls required for mating to piping, power, and controls field connections.

B. Cabinet:

- 1. Material: Painted steel, with an architectural acceptable finish suitable for tenant occupancy on exposed surfaces.
- 2. Insulation: Manufacturer's standard internal insulation, complying with ASHRAE 62.1, to provide thermal resistance and prevent condensation.
- 3. Mounting: Manufacturer-designed provisions for field installation.
- 4. Internal Access: Removable panels of adequate size for field access to internal components for inspection, cleaning, service, and replacement.

C. DX Coil Assembly:

- 1. Coil Casing: Aluminum, or galvanized steel.
- 2. Coil Fins: Aluminum, mechanically bonded to tubes, with arrangement required by performance.
- 3. Coil Tubes: Copper, of diameter and thickness required by performance.
- 4. Expansion Valve: Electronic modulating type with linear or proportional characteristics.
- 5. Internal Tubing: Copper tubing with brazed joints.
- 6. Internal Tubing Insulation: Manufacturer's standard insulation, of thickness to prevent condensation.
- 7. Field Piping Connections: Manufacturer's standard.
- 8. Factory Charge: Dehydrated air or nitrogen.
- 9. Testing: Factory pressure tested and verified to be without leaks.

D. Drain Assembly:

- 1. Pan: Non-ferrous material, with bottom sloped to low point drain connection.
- 2. Condensate Removal: Gravity.

- a. If a floor drain is not available at unit, provide unit with field-installed condensate pump accessory.
 3. Field Piping Connection: Non-ferrous material with threaded NPT.
- E. Fan and Motor Assembly:
1. Fan(s):
 - a. Direct-drive arrangement.
 - b. Single or multiple fans connected to a common motor shaft and driven by a single motor.
 - c. Fabricated from non-ferrous components or ferrous components with corrosion protection finish.
 - d. Wheels statically and dynamically balanced.
 2. Motor: Brushless dc or electronically commutated with permanently lubricated bearings.
 3. Motor Protection: Integral protection against thermal, overload, and voltage fluctuations.
 4. Speed Settings and Control: Two (low, high), three (low, medium, high), or more than three speed settings or variable speed with a speed range of least 50 percent.
 5. Vibration Control: Integral isolation to dampen vibration transmission.
- F. Filter Assembly:
1. Access: Front, to accommodate filter replacement without the need for tools.
 2. Washable Media: Manufacturer's standard filter with antimicrobial treatment.
- G. Discharge-Air Grille Assembly: Mounted in front of unit cabinet.
1. Discharge Pattern: One-way throw.
 2. Discharge Pattern Adjustment: Field-adjustable limits for range of pattern.
 3. Motorized Vanes: Modulating up and down flow pattern for uniform room air distribution.
- H. Return-Air Grille Assembly: Manufacturer's standard.
- I. Outdoor Air Ventilation Connection: Sheet metal knockout for optional connection to outdoor air ventilation duct.
- J. Unit Accessories:
1. Remote Room Temperature Sensor Kit: Wall-mounted, hardwired room temperature sensor kit for use in rooms that do not have room temperature measurement.
 2. Condensate Pump: Integral reservoir and control with electrical power connection through unit power.
- K. Unit Controls:
1. Enclosure: Manufacturer's standard, and suitable for indoor locations.
 2. Factory-Installed Controller: Configurable digital control.
 3. Factory-Installed Sensors: Unit inlet air temperature and-leaving air temperature sensor.

4. Features and Functions: Self-diagnostics, time delay, auto-restart, external static pressure control, auto operation mode, manual operation mode, filter service notification, power consumption display, drain assembly high water level safety shutdown and notification, run test switch.
5. Communication: Network communication with other indoor units and outdoor units.
6. Cable and Wiring: Manufacturer's standard with each connection labeled and corresponding to a unit-mounted wiring diagram.
7. Field Connection: Manufacturer's standard with each connection labeled and corresponding to a unit-mounted wiring diagram.

L. Unit Electrical:

1. Enclosure: Manufacturer's standard, and suitable for indoor locations.
2. Field Connection: Single point connection to power entire unit and integral controls.
3. Disconnecting Means: Factory-mounted circuit breaker or switch, complying with NFPA 70.
4. Control Transformer: Manufacturer's standard. Coordinate requirements with field power supply.
5. Wiring: Manufacturer's standard with each connection labeled and corresponding to a unit-mounted wiring diagram.
6. Raceways: Enclose line voltage wiring in metal raceways to comply with NFPA 70.

2.7 INDOOR, ENERGY RECOVERY VENTILATOR

- A. Description: Factory-assembled and -tested complete unit with components, wiring, and controls required for mating to ductwork, power, and controls field connections.

B. Cabinet:

1. Material: Galvanized steel.
2. Insulation: Manufacturer's standard internal insulation, complying with ASHRAE 62.1.
3. Duct Connections: Extended collar or flange, or designated exterior cabinet surface, designed for attaching field-installed ductwork.
4. Mounting: Manufacturer-designed provisions for field installation.
5. Internal Access: Removable panels or hinged doors of adequate size for field access to internal components for inspection, cleaning, service, and replacement.

C. Damper Assemblies:

1. Outdoor Air Intake and Exhaust Air Discharge:
 - a. Low-leakage damper with spring return electric actuator to fail closed on loss of power.
 - b. Damper controlled by unit to open when unit is operating and close when unit off.
2. Energy Recovery Heat-Exchanger Bypass:
 - a. Low leakage damper with electric actuator with integral controls to bypass outdoor air around the energy recovery heat exchanger during times of favorable weather, and there is no energy-saving benefit to circulate air across the energy recovery heat exchanger.

- D. Fan and Motor Assemblies: Separate fan and motor assemblies for supply and exhaust airstreams with control for equal airflow.
1. Fan(s):
 - a. Direct-drive arrangement.
 - b. Fabricated from non-ferrous components or ferrous components with corrosion protection finish.
 - c. Wheels statically and dynamically balanced.
 2. Motor: Brushless dc or electronically commutated with permanently lubricated bearings.
 3. Motor Protection: Integral protection against thermal, overload, and voltage fluctuations.
 4. Speed Settings and Control: Two (low, high), three (low, medium, high), or more than three speed settings or variable speed with a speed range of least 50 percent.
 5. Vibration Control: Integral isolation to dampen vibration transmission.
- E. Filter Assemblies: Separate filter assemblies for outdoor air and exhaust airstreams entering energy recovery heat exchanger.
1. Access: To accommodate filter replacement without the need for tools.
 2. Efficiency: ASHRAE 52.2, MERV 13
 3. Replaceable Media: Extended surface, panel, or cartridge with antimicrobial treatment fiber media.
- F. Energy Recovery Heat Exchanger:
1. Total (sensible and latent) energy exchange between outdoor air and exhaust airstreams with performance indicated on Drawings.
 2. Fixed element with no moving parts.
 3. AHRI 1060 certified and bearing the AHRI label.
- G. Unit Accessories:
1. Electric Duct Preheater:
 - a. Heater operation interlocked with energy recovery ventilator unit.
 - b. Heater with integral controls to control outdoor air temperature entering energy recovery ventilator unit to a temperature set-point determined by energy recovery ventilator unit manufacturer.
 - c. Duct sensor, Differential air flow switch, mercury type contactors and any required control contacts and transformer.
- H. Unit Controls:
1. Enclosure: Metal, similar to enclosure, and suitable for indoor locations.
 2. Factory-Installed Controller: Configurable digital control.
 3. Factory-Installed Sensors:
 - a. Unit entering outdoor air temperature.
 - b. Unit leaving supply air temperature.

- c. Unit entering outdoor air relative humidity.
 - d. Unit leaving supply air relative humidity.
4. Features and Functions: Self-diagnostics, time delay, auto-restart, external static pressure control, local auto operation mode, auto operation through remote signal, manual operation mode, filter service notification, power consumption display, run test switch.
 5. Communication: Network communication with other indoor units and outdoor units.
 6. Cable and Wiring: Manufacturer's standard with each connection labeled and corresponding to a unit-mounted wiring diagram.
 7. Field Connection: Manufacturer's standard with each connection labeled and corresponding to a unit-mounted wiring diagram.

I. Unit Electrical:

1. Enclosure: Metal, similar to enclosure, and suitable for indoor locations.
2. Field Connection: Single point connection to power entire unit and integral controls.
3. Disconnecting Means: Factory-mounted circuit breaker or switch, complying with NFPA 70.
4. Control Transformer: Manufacturer's standard. Coordinate requirements with field power supply.
5. Wiring: Manufacturer's standard with each connection labeled and corresponding to a unit-mounted wiring diagram.
6. Raceways: Enclose line voltage wiring in metal raceways to comply with NFPA 70.

2.8 SYSTEM CONTROLS

A. General Requirements:

1. Network: Indoor units, HRCUs, and outdoor units shall include integral controls and connect through a TIA-485A or manufacturer-selected control network.
2. Network Communication Protocol: open control communication between interconnected units.
3. Integration with Building Automation System: ASHRAE 135, BACnet IP and certified by BACnet Testing Lab (BTL), including the following:
 - a. Ethernet connection via RJ-45 connectors and port with transmission at 100 Mbps or higher.
 - b. Integration devices shall be connected to local uninterruptible power supply units to provide at least 5 minutes of battery backup operation after a power loss.
 - c. Integration shall include monitoring.
4. Operator Interface:
 - a. Operators shall interface with system and unit controls through the following:
 - 1) Operator interfaces integral to controllers.
 - 2) PC connected to central controllers.
 - 3) Web interface through web browser software.
 - 4) Integration with Building Automation System.
 - b. Users shall be capable of interface with controllers for indoor units' control to extent privileges are enabled. Control features available to users shall include the following:

- 1) On/off control.
- 2) Temperature set-point adjustment.

B. VRF HVAC System Operator Software for PC:

1. Software offered by VRF HVAC system manufacturer shall provide system operators with ability to monitor and control VRF HVAC system(s) from a single dedicated PC.
2. Software shall provide operator with a graphic user interface to allow monitoring and control of multiple central controllers from a single device location through point-and-click mouse exchange.
3. Plan views shall show building plans with location of indoor units and identification superimposed on plans.
4. Controls operation mode of indoor units as individual units, by selected groups of indoor units, or as collection of all indoor units. Operation modes available through central controller shall match those operation modes of controllers for indoor units.
5. Schedules operation of indoor units as individual units, by selected groups of indoor units, or as collection of all indoor units. Schedules daily, weekly, and annual events.
6. Changes operating set points of indoor units as individual units, by selected groups of indoor units, or as collection of all indoor units.
7. Optimized start features to start indoor units before scheduled time to reach temperature set-point at scheduled time based on operating history.
8. Night setback feature to operate indoor units at energy-conserving heating and cooling temperature set-points during unoccupied periods.
9. Supports Multiple Languages: English.
10. Supports Imperial and Metric Temperature Units: Fahrenheit.
11. Displays service notifications and error codes.
12. Monitors and displays up to 3000 item error history and 10000 item operation history for regular reporting and further archiving.
13. Monitors and displays cumulative operating time of indoor units.
14. Able to disable and enable operation of individual controllers for indoor units.
15. Information displayed on individual controllers shall also be available for display.
16. Information displayed for outdoor units, including refrigerant high and low pressures percent capacity.

C. Central Controllers:

1. Centralized control for all indoor and outdoor units from a single central controller location.
 - a. Include multiple interconnected controllers as required.
2. Controls operation mode of indoor units as individual units, by selected groups of indoor units, or as collection of all indoor units. Operation modes available through central controller shall match those operation modes of controllers for indoor units.
3. Schedule operation of indoor units as individual units, by selected groups of indoor units, or as collection of all indoor units.
 - a. Sets schedule for daily, weekly, and annual events.
 - b. Schedule options available through central controller shall at least include the schedule options of controllers for indoor units.



4. Changes operating set points of indoor units as individual units, by selected groups of indoor units, or as collection of all indoor units.
5. Optimized start features to start indoor units before scheduled time to reach temperature set-point at scheduled time based on operating history.
6. Night setback feature to operate indoor units at energy-conserving heating and cooling temperature set-points during unoccupied periods.
7. Service diagnostics tool.
8. Able to disable and enable operation of individual controllers for indoor units.
9. Information displayed on individual controllers shall also be available for display through central controller.
10. Information displayed for outdoor units, including refrigerant high and low pressures percent capacity.
11. Multiple RJ-45 ports for direct connection to a local PC and an Ethernet network switch.
12. Operator interface through a backlit, high-resolution color display touch panel and web accessible through standard web browser software.

D. Wired Controllers for Indoor Units:

1. Single controller capable of controlling multiple indoor units as group.
2. Auto Timeout Touch Screen LCD: Timeout duration shall be adjustable.
3. Multiple Language: English.
4. Temperature Units: Fahrenheit.
5. On/Off: Turns indoor unit on or off.
6. Hold: Hold operation settings until hold is released.
7. Operation Mode: Cool, Heat, Auto, Dehumidification, Fan Only, and Setback.
8. Temperature Display: 1-degree increments.
9. Temperature Set-Point: Separate set points for Cooling, Heating, and Setback. Adjustable in 1-degree increments between 74o F adjustable.
10. Relative Humidity Display: 1 percent increments.
11. Relative Humidity Set-Point: Adjustable in 1 percent increments between 30% to 55%.
12. Fan Speed Setting: Select between available options furnished with the unit.
13. Airflow Direction Setting: If applicable to unit, select between available options furnished with the unit.
14. Seven-day programmable operating schedule with up to five events per day. Operations shall include On/Off, Operation Mode, and Temperature Set-Point.
15. Auto Off Timer: Operates unit for an adjustable time duration and then turns unit off.
16. Occupancy detection.
17. Service Notification Display: "Filter" dirty.
18. Service Run Tests: Limit use by service personnel to troubleshoot operation.
19. Error Code Notification Display: Used by service personnel to troubleshoot abnormal operation and equipment failure.
20. User and Service Passwords: Capable of preventing adjustments by unauthorized users.
21. Setting stored in nonvolatile memory to ensure that settings are not lost if power is lost. Battery backup for date and time only.
22. Low-voltage power required for controller shall be powered through non-polar connections to indoor unit.

E. Wireless Controllers for Indoor Units:

1. Wireless Communication:
 - a. Controller communicates to remote-mounted receiver that is wired to indoor unit(s).
 - 1) Include receivers with wireless controllers as required to complete installation.
 - 2) Low-voltage power required for receivers shall be powered through non-polar connections to indoor unit.
 - b. One wireless controller shall be capable of communicating with one or multiple receivers to control one or multiple indoor units as a group.
2. Controller Battery Life: Three years.
3. Auto Timeout Touch Screen LCD: Timeout duration shall be adjustable.
4. Multiple Language: English
5. Temperature Units: Fahrenheit.
6. On/Off: Turns indoor unit on or off.
7. Hold: Hold operation settings until hold is released.
8. Operation Mode: Cool, Heat, Auto, Dehumidification, Fan Only, and Setback.
9. Temperature Display: 1-degree increments.
10. Temperature Set-Point: Separate set points for Cooling, Heating, and Setback. Adjustable in 1-degree increments between 74 °F adjustable.
11. Relative Humidity Display: 1 percent increments.
12. Relative Humidity Set-Point: Adjustable in 1 percent increments between 30% to 55%.
13. Fan Speed Setting: Select between available options furnished with the unit.
14. Airflow Direction Setting: If applicable to unit, select between available options furnished with the unit.
15. Seven-day programmable operating schedule with up to five events per day. Operations shall include On/Off, Operation Mode, and Temperature Set-Point.
16. Auto Off Timer: Operates unit for an adjustable time duration and then turns unit off.
17. Occupancy detection.
18. Service Notification Display: "Filter" dirty.
19. Service Run Tests: Limit use by service personnel to troubleshoot operation.
20. Error Code Notification Display: Used by service personnel to troubleshoot abnormal operation and equipment failure.
21. User and Service Passwords: Capable of preventing adjustments by unauthorized users.
22. Setting stored in non-volatile memory to ensure that settings are not lost if power is lost. Battery for date and time only.

2.9 SYSTEM REFRIGERANT AND OIL

A. Refrigerant:

1. As required by VRF HVAC system manufacturer for system to comply with performance requirements indicated.
2. ASHRAE 34, Class A1 refrigerant classification.
3. R-410a.

B. Oil:

1. As required by VRF HVAC system manufacturer and to comply with performance requirements indicated.

2.10 SYSTEM CONDENSATE DRAIN PIPING

- A. If more than one material is listed, material selection is Contractor's option.

- B. Copper Tubing:

1. Drawn-Temper Tubing: According to ASTM B88, Type L or Type DWV according to ASTM B306.
2. Wrought-Copper Fittings: ASME B16.22.
3. Wrought-Copper Unions: ASME B16.22.
4. Solder Filler Metals: ASTM B32, lead-free alloys, and water-flushable flux according to ASTM B813.

2.11 SYSTEM REFRIGERANT PIPING

- A. Comply with requirements in Section 232300 "Refrigerant Piping" for system piping requirements.

- B. Refrigerant Piping:

1. Copper Tube: ASTM B280, Type ACR.
2. Wrought-Copper Fittings: ASME B16.22.
3. Brazing Filler Metals: AWS A5.8/A5.8M.

- C. Refrigerant Tubing Kits:

1. Furnished by VRF HVAC system manufacturer.
2. Factory-rolled and -bundled, soft-copper tubing with tubing termination fittings at each end.
3. Standard one-piece length for connecting to indoor units.
4. Pre-insulated with flexible elastomeric insulation of thickness to comply with the NYC Energy Conservation Code and sufficient to eliminate condensation.
5. Factory Charge: Dehydrated air or nitrogen.

- D. Divided-Flow Specialty Fittings: Where required by VRF HVAC system manufacturer for proper system operation, VRF HVAC system manufacturer shall furnish specialty fittings with identification and instructions for proper installation by Installer.

- E. Refrigerant Isolation Ball Valves:

1. Description: Uni-body full port design, rated for maximum system temperature and pressure, and factory tested under pressure to ensure tight shutoff. Designed for valve operation without removing seal cap.
2. Seals: Compatible with system refrigerant and oil. Seal service life of at least 20 years.
3. Valve Connections: Flare or sweat depending on size.

2.12 METAL HANGERS AND SUPPORTS

A. Copper Tube Hangers:

1. Comply with requirements in Section 230529 “Hangers and Supports for HVAC Piping and Equipment” for hangers, supports, and anchor devices.

2.13 METAL FRAMING SYSTEMS

A. MFMA Manufacturer Metal Framing Systems:

1. Manufacturer: Subject to compliance with requirements, provide product by one of the following:
 - a. B-line, an Eaton business.
 - b. Flex-Strut Inc.
 - c. G-Strut.
 - d. Or approved equal.
2. Description: Shop- or field-fabricated, pipe-support assembly made of steel channels, accessories, fittings, and other components for supporting multiple parallel pipes.
3. Standard: Comply with MFMA-4 factory-fabricated components for field assembly.
4. Channels: Continuous slotted carbon-steel channel with inturred lips.
5. Channel Width: Selected for applicable load criteria.
6. Channel Nuts: Formed or stamped steel nuts or other devices designed to fit into channel slot and, when tightened, prevent slipping along channel.
7. Hanger Rods: Continuous-thread rod, nuts, and washer made of galvanized steel for use indoors and of stainless steel for use outdoors.
8. Metallic Coating for Use Indoors: No coating.
9. Plastic Coating for Use Outdoors: PVC.

2.14 FASTENER SYSTEMS

A. Powder-Actuated Fasteners: Threaded, zinc-coated steel stud, for use in hardened portland cement concrete with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.

B. Mechanical-Expansion Anchors: Insert-wedge-type anchors, for use in hardened portland cement concrete; with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.

1. Indoor Applications: Zinc-coated or stainless steel.
2. Outdoor Applications: Stainless steel.

2.15 OUTDOOR EQUIPMENT STANDS

A. Manufacturer: Subject to compliance with requirements, provide product by one of the following:

1. MIRO Industries.
2. RectorSeal HVAC; a CSW Industrials Company.
3. Rooftop Support Systems, a division of Eberl Iron Works, Inc.
4. Or approved equal.

B. Description: Individual foot supports with elevated adjustable channel cross bars and clamps/fasteners/bolts for ground or roof-supported outdoor equipment components, without roof membrane penetration, in a prefabricated system that can be modularly assembled on-site.

C. Foot Material: Rubber or polypropylene.

D. Rails Material: Hot-dip galvanized carbon steel.

E. Wind/Sliding Load Resistance: Up to 100 mph minimum.

2.16 MISCELLANEOUS SUPPORT MATERIALS

A. Grout: ASTM C1107, factory-mixed and -packaged, dry, hydraulic-cement, nonshrink and nonmetallic grout; suitable for interior and exterior applications.

1. Properties: Nonstaining, noncorrosive, and nongaseous.
2. Design Mix: 5000-psi, 28-day compressive strength.

B. Structural Steel: ASTM A36/A36M, carbon-steel plates, shapes, and bars; galvanized.

C. Threaded Rods: Continuously threaded. Zinc-plated steel or galvanized steel for indoor applications and stainless steel for outdoor applications. Mating nuts and washers of similar material as rods.

2.17 PIPING AND TUBING INSULATION

A. Comply with requirements in Section 230719 "HVAC Piping Insulation" for system piping insulation requirements.

B. Condensate Drain Piping and Tubing Insulation and Jacket Requirements:

1. Flexible Elastomeric Insulation:

- a. Closed-cell, sponge- or expanded-rubber materials, complying with ASTM C534, Type I for tubular materials.
- b. Indoors: 3/4 inch thick.
- c. Outdoors: 3/4 inchthick.

2. Field-Applied Jacket:

- a. Concealed: None required.
- b. Indoors, Exposed to View: None required.
- c. Outdoors, Exposed to View: None required.

C. Refrigerant Tubing Insulation and Jacket Requirements:

1. Flexible Elastomeric Insulation:

- a. Closed-cell, sponge- or expanded-rubber materials, complying with ASTM C534, Type I for tubular materials.
- b. Indoors: 1 inch thick.
- c. Outdoors: 1 inch thick.

2. Field-Applied Jacket:

- a. Concealed: None required.
- b. Indoors, Exposed to View: None required.
- c. Outdoors, Exposed to View: Aluminum, smooth, 0.020 inch thick.

D. Flexible Elastomeric Insulation Adhesive: Comply with MIL-A-24179A, Type II, Class I.

E. PVC Jacket Adhesive: Compatible with PVC jacket.

F. Metal Jacket Flashing Sealants:

1. Materials shall be compatible with insulation materials, jackets, and substrates.
2. Fire- and water-resistant, flexible, elastomeric sealant.
3. Service Temperature Range: Minus 40 to plus 250 deg F
4. Color: Aluminum.

2.18 SYSTEM CONTROL CABLE

A. Cable Rating: Listed and labeled for application according to NFPA 70.

1. Flame Travel and Smoke Density in Plenums: As determined by testing identical products according to NFPA 262, by a qualified testing agency. Identify products for installation in plenums with appropriate markings of applicable testing agency.
 - a. Flame Travel Distance: 60 inches or less.
 - b. Peak Optical Smoke Density: 0.5 or less.
 - c. Average Optical Smoke Density: 0.15 or less.
2. Flame Travel and Smoke Density for Riser Cables in Non-Plenum Building Spaces: As determined by testing identical products according to UL 1666.
3. Flame Travel and Smoke Density for Cables in Non-Riser Applications and Non-Plenum Building Spaces: As determined by testing identical products according to UL 1685.

B. Low-Voltage Control Cabling:

1. Paired Cable: NFPA 70, Type CMG.

- a. One pair, twisted, No. 16 AWG, stranded (19x29) or No. 18 AWG, stranded (19x30) tinned-copper conductors as required by VRF HVAC system manufacturer.
- b. PVC insulation.
- c. Braided or foil shielded.
- d. PVC jacket.
- e. Flame Resistance: Comply with UL 1685.

C. TIA-485A Network Cabling:

1. Standard Cable: NFPA 70, Type CMG.
 - a. Paired, one pair, twisted, No. 22 AWG, stranded (7x30) tinned-copper conductors.
 - b. PVC insulation.
 - c. Unshielded.
 - d. PVC jacket.
 - e. Flame Resistance: Comply with UL 1685.

2.19 MATERIALS

A. Steel:

1. ASTM A36/A36M for carbon structural steel.
2. ASTM A568/A568M for steel sheet.

B. Stainless Steel:

1. Manufacturer's standard grade for casing.
2. Manufacturer's standard type, ASTM A240/A240M for bare steel exposed to airstream or moisture.

C. Galvanized Steel: ASTM A653/A653M.

D. Aluminum: ASTM B209.

E. Corrosion-Resistant Coating: Coat with a corrosion-resistant coating capable of withstanding a 3000 hour salt-spray test according to ASTM B117.

1. Standards:
 - a. ASTM B117 for salt spray.
 - b. ASTM D2794 for minimum impact resistance of 100 in-lb.
 - c. ASTM B3359 for cross-hatch adhesion of 5B.
2. Application: Immersion
3. Thickness: 1 mil.
4. Gloss: Minimum gloss of 60 on a 60-degree meter.

2.20 SOURCE QUALITY CONTROL

- A. Factory Tests: Test and inspect factory-assembled equipment.
- B. Equipment will be considered defective if it does not pass tests and inspections.
- C. Prepare test and inspection reports for historical record. Submit reports only if requested.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine products before installation. Reject products that are wet, moisture damaged, or mold damaged.
- C. Examine roughing-in for piping and tubing to verify actual locations of connections before equipment installation.
- D. Examine roughing-in for ductwork to verify actual locations of connections before equipment installation.
- E. Examine roughing-in for wiring and conduit to verify actual locations of connections before equipment installation.
- F. Examine walls, floors, roofs, and outdoor pads for suitable conditions where equipment will be installed.

3.3 EQUIPMENT INSTALLATION, GENERAL

- A. Clearance:
 - 1. Maintain manufacturer's recommended clearances for service and maintenance.
- B. Loose Components: Install components, devices, and accessories furnished by manufacturer, with equipment, that are not factory mounted.
 - 1. Loose components shall be installed by manufacturer's service representative.

3.4 INSTALLATION OF INDOOR UNITS

- A. Install units to be level and plumb while providing a neat and finished appearance.

- B. Unless otherwise required by VRF HVAC system manufacturer, support ceiling-mounted units from structure above using threaded rods; minimum rod size of 3/8 inch
- C. Adjust supports of exposed and recessed units to draw units tight to adjoining surfaces.
- D. Protect finished surfaces of ceilings, floors, and walls that come in direct contact with units. Refinish or replaced damaged areas after units are installed.
- E. In rooms with ceilings, conceal piping and tubing, controls, and electrical power serving units above ceilings.
- F. In rooms without ceiling, arrange piping and tubing, controls, and electrical power serving units to provide a neat and finished appearance.
- G. Provide lateral bracing if needed to limit movement of suspended units to not more than 0.25 inch
- H. Attachment: Install hardware for proper attachment to supported equipment.
- I. Grouting: Place grout under equipment supports and make bearing surface smooth.

3.5 INSTALLATION OF OUTDOOR UNITS

- A. Install units to be level and plumb while providing a neat and finished appearance.
- B. Install outdoor units on support structures indicated on Drawings.

3.6 GENERAL REQUIREMENTS FOR PIPING INSTALLATION

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping and tubing systems. Install piping and tubing as indicated unless deviations to layout are approved on coordination drawings.
- B. Install piping and tubing in concealed locations unless otherwise indicated and except in equipment rooms and service areas.
- C. Install piping and tubing at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- D. Install piping and tubing above accessible ceilings to allow sufficient space for ceiling panel removal.
- E. Install piping and tubing to permit valve servicing.
- F. Install piping and tubing at indicated slopes.
- G. Install piping and tubing free of sags.
- H. Install fittings for changes in direction and branch connections.

- I. Install piping and tubing to allow application of insulation.
- J. Install groups of pipes and tubing parallel to each other, spaced to permit applying insulation with service access between insulated piping and tubing.
- K. Install sleeves for piping and tubing penetrations of walls, ceilings, and floors. Comply with requirements for sleeves specified in Section 230517 "Sleeves and Sleeve Seals for HVAC Piping."

3.7 INSTALLATION OF SYSTEM CONDENSATE DRAIN PIPING

- A. Comply with requirements in Section 232113 "Hydronic Piping" for installation of condensate drain piping.

3.8 INSTALLATION OF REFRIGERANT PIPING

- A. Comply with the requirements in Section 232300 "Refrigerant Piping" for installation of refrigerant piping.

3.9 INSTALLATION OF METAL HANGERS AND SUPPORTS

- A. Comply with the requirements in Section 230529 "Hangers and Supports for HVAC Piping and Equipment" for pipe hangers and support.

3.10 INSTALLATION OF PIPING AND TUBING INSULATION

- A. Comply with the requirements in Section 230719 "HVAC Piping Insulation" for the installation of piping and tubing insulation.

3.11 INSTALLATION OF DUCT, ACCESSORIES, AND AIR OUTLETS

- A. Where installing ductwork adjacent to equipment, allow space for service and maintenance.
- B. Comply with requirements for metal ducts specified in Section 233113 "Metal Ducts."
- C. Comply with requirements for air duct accessories specified in Section 233300 "Air Duct Accessories."
- D. Comply with requirements for flexible ducts specified in Section 233346 "Flexible Ducts."
- E. Comply with requirements for registers and grilles specified in Section 233713 "Air Diffusers, Registers and Grilles."

3.12 ELECTRICAL INSTALLATION

- A. Comply with requirements indicated on Drawings and in applicable Division 26 Sections.

- B. To extent electrical power is required for system equipment, components, and controls, and is not indicated on Drawings and addressed in the Specifications, the design for such electrical power shall be performed by VRF HVAC system provider.
 - 1. Engineering calculations of electrical power to equipment, components and controls, and associated installation shall be included at no additional cost to City of New York.
- C. Connect field electrical power source to each separate electrical device requiring field electrical power. Coordinate termination point and connection type with Installer.
- D. Comply with requirements in Section 260519 "Low-Voltage Electrical Power Conductors and Cables" for wiring connections.
- E. Comply with requirements in Section 260526 "Grounding and Bonding for Electrical Systems" for grounding connections.
- F. Install nameplate or acrylic label with self-adhesive back for each electrical connection indicating electrical equipment designation and circuit number feeding connection.
 - 1. Nameplate must be laminated phenolic layers of black with engraved white letters. Letters must be at least 1/2 inch high.
 - 2. Locate nameplate or label where easily visible.
- G. Comply with requirements in Section 260533.13 "Conduits for Electrical Systems" for raceway selection and installation requirements for boxes, conduits, and wireways as supplemented or revised in this Section.
 - 1. Outlet boxes shall be no smaller than 2 inches wide, 3 inches high, and 2-1/2 inches deep.
- H. Comply with TIA-569-D for pull-box sizing and length of conduit and number of bends between pull points.
- I. Install manufactured conduit sweeps and long-radius elbows if possible.
- J. Install metal conduits with grounding bushings and connect with grounding conductor to grounding system.

3.13 SOFTWARE

- A. Cybersecurity:
 - 1. Software:
 - a. Coordinate security requirements with the Commissioner.
 - b. Ensure that latest stable software release is installed and properly operating.
 - c. Disable or change default passwords to password using a combination of uppercase and lower letters, numbers, and symbols at least eight characters in length. Record passwords and turn over to party responsible for system operation and administration.
 - 2. Hardware:

- a. Coordinate location and access requirements with the Commissioner.
- b. Enable highest level of wireless encryption that is compatible with the ICT network.
- c. Disable dual network connections.

3.14 INSTALLATION OF SYSTEM CONTROL CABLE

A. Comply with NECA 1.

B. Installation Method:

1. Install cables in raceways except as follows:
 - a. Within equipment and associated control enclosures.
 - b. In accessible ceiling spaces where open cable installation method may be used.
 - c. In gypsum board partitions where cable may be enclosed within wall cavity.
2. Conceal raceway and cables except in unfinished spaces.

C. General Requirements for Cabling:

1. Comply with TIA-568-C Series of standards.
2. Comply with BICSI ITSIMM, Ch. 5, "Copper Structured Cabling Systems."
3. Terminate all conductors; no cable shall contain unterminated elements. Make terminations only at indicated outlets, terminals, and cross-connect and patch panels.
4. Cables may not be spliced and shall be continuous from terminal to terminal. Do not splice cable.
5. Cables serving a common system may be grouped in a common raceway. Install control cable in separate raceway from power wiring. Do not group conductors from different systems or different voltages.
6. Secure and support cables at intervals not exceeding 30 inches and not more than 6 inches from cabinets, boxes, fittings, outlets, racks, frames, and terminals.
7. Bundle, lace, and train conductors to terminal points without exceeding manufacturer's limitations on bending radii, but not less than radii specified in BICSI ITSIMM, Ch. 5, "Copper Structured Cabling Systems." Install lacing bars and distribution spools.
8. Do not install bruised, kinked, scored, deformed, or abraded cable. Remove and discard cable if damaged during installation and replace it with new cable.
9. Cold-Weather Installation: Bring cable to room temperature before dereeling. Do not use heat lamps for heating.
10. Pulling Cable: Comply with BICSI ITSIMM, Ch. 5, "Copper Structured Cabling Systems." Monitor cable pull tensions.
11. Support: Do not allow cables to lie on removable ceiling tiles or access panels.
12. Secure: Fasten securely in place with hardware specifically designed and installed so as to not damage cables.
13. Provide strain relief.
14. Keep runs short. Allow extra length for connecting to terminals.
15. Do not bend cables in a radius less than 10 times the cable OD.
16. Use sleeves or grommets to protect cables from vibration at points where they pass around sharp corners and through penetrations.

17. Ground wire shall be copper, and grounding methods shall comply with IEEE C2. Demonstrate ground resistance.

D. Balanced Twisted-Pair Cable Installation:

1. Comply with TIA-568-C.2.
2. Do not untwist balanced twisted-pair cables more than 1/2 inch at the point of termination to maintain cable geometry.

E. Open-Cable Installation:

1. Suspend copper cable not in a wireway or pathway a minimum of 8 inches above ceilings by cable supports not more than 30 inches apart.
2. Cable shall not be run through or on structural members or in contact with pipes, ducts, or other potentially damaging items. Do not run cables between structural members and corrugated panels.

F. Separation from EMI Sources: Comply with BICSI TDMM and TIA-569-D recommendations for separating unshielded cable from potential EMI sources including electrical power wiring and equipment.

3.15 FIRESTOPPING

- A. Comply with requirements in Section 078413 "Penetration Firestopping."
- B. Comply with TIA-569-D, Annex A, "Firestopping."
- C. Comply with BICSI TDMM, "Firestopping" Chapter.

3.16 GROUNDING INSTALLATION

- A. For data communication wiring, comply with TIA-607-B and with BICSI TDMM, "Bonding and Grounding (Earthing)" Chapter.
- B. For low-voltage control cabling, comply with requirements in Section 260526 "Grounding and Bonding for Electrical Systems."

3.17 IDENTIFICATION

- A. Identify system equipment, piping, tubing, and valves. Comply with requirements for identification specified in Section 230553 "Identification for HVAC Piping and Equipment."
- B. Identify system electrical and controls components, wiring, cabling, and terminals. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
 1. Identify each control cable on each end and at each terminal with a number-coded identification tag. Each cable shall have a unique tag.

3.18 FIELD QUALITY CONTROL

- A. Manufacturer's Field Service: Engage VRF HVAC system manufacturer's service representative to advise and assist installers; witness testing; and observe and inspect components, assemblies, and equipment installations, including controls and connections.
1. Field service shall be performed by a factory-trained and -authorized service representative of VRF HVAC system manufacturer whose primary job responsibilities is to provide direct technical support of its products.
 - a. First Visit: Kick-off meeting.
 - b. Second Visit: At approximately 25 percent completion of system(s).
 - c. Third Visit: At approximately 50 percent completion of system(s).
 - d. Fourth Visit: At approximately 75 percent completion of system(s).
 - e. Fifth Visit: Final inspection before system startup.
 2. Kick-off Meeting:
 - a. Meeting shall include system Installer and other related trades with sole purpose of reviewing VRF HVAC system installation requirements and close coordination required to make a successful installation.
 - b. Meeting shall be held at Project site and scheduled at a mutually agreed to time that occurs before the start of any part of system installation.
 - c. Meeting shall cover the following as a minimum requirement:
 - 1) Review of latest issue of Contract Documents, Drawings, and Specifications, relevant to VRF HVAC systems.
 - 2) Manufacturer's installation requirements specific to systems being installed.
 - 3) Review of all relevant VRF HVAC system submittals.
 - 4) Required field activities related installation of VRF HVAC system.
 - 5) Project team communication protocol, contact information, and exchange of responsibilities for each party involved, including manufacturer, supplier, system Installer, and other related trades.
 3. Site Visits: Activities for each site visit shall include the following:
 - a. Meet with VRF HVAC system Installer to discuss field activities, issues, and suggested methods to result in a successful installation.
 - b. Offer technical support to Installer and related trades as related to VRF system(s) being installed.
 - c. Review progress of VRF HVAC system(s) installation for strict compliance with manufacturer's requirements.
 - d. Advise and if necessary assist Installer with updating related refrigerant calculations and system documentation.
 - e. Issue a report for each visit, documenting the visit.
 - 1) Report to include name and contact information of individual making the visit.
 - 2) Date(s) and time frames while on-site.

- 3) Names and contact information of people meeting with while on-site.
 - 4) Clearly identify and list each separate issue that requires resolution. For each issue, provide a unique identification number, relevant importance, specific location or equipment identification, description of issue, recommended corrective action, and follow-up requirements needed. Include a digital photo for clarification if deemed to be beneficial.
4. Final Inspection before Startup:
- a. Before inspection, Installer to provide written request to manufacturer stating the system is fully installed according manufacturer's requirements and ready for final inspection.
 - b. All system equipment and operating components shall be inspected. If components are inaccessible for inspection, they shall be made accessible before the final inspection can be completed.
 - c. Manufacturer shall provide a comprehensive inspection of all equipment and each operating component that comprise the complete system(s). Inspection shall follow a detailed checklist specific to each equipment and operating component.
 - d. Inspection reports for indoor units shall include, but not be limited to, the following:
 - 1) Unit designation on Drawings.
 - 2) Manufacturer model number.
 - 3) Serial number.
 - 4) Network address, if applicable.
 - 5) Each equipment setting.
 - 6) Mounting, supports, and restraints properly installed.
 - 7) Proper service clearance provided.
 - 8) Wiring and power connections correct.
 - 9) Line-voltage reading(s) within acceptable range.
 - 10) Wiring and controls connections correct.
 - 11) Low-voltage reading(s) within an acceptable range.
 - 12) Controller type and model controlling unit.
 - 13) Controller location.
 - 14) Temperature settings and readings within an acceptable range.
 - 15) Humidity settings and readings within an acceptable range.
 - 16) Condensate removal acceptable.
 - 17) Fan settings and readings within an acceptable range.
 - 18) Unit airflow direction within an acceptable range.
 - 19) If applicable, fan external static pressure setting.
 - 20) Filter type and condition acceptable.
 - 21) Noise level within an acceptable range.
 - 22) Refrigerant piping properly connected and insulated.
 - 23) Condensate drain piping properly connected and insulated.
 - 24) If applicable, ductwork properly connected.
 - 25) If applicable, external interlocks properly connected.
 - 26) Remarks.
 - e. Inspection reports for outdoor units shall include, but not be limited to, the following:
 - 1) Unit designation on Drawings.

- 2) Manufacturer model number.
- 3) Serial number.
- 4) Network address, if applicable.
- 5) Each equipment setting.
- 6) Mounting, supports, and restraints properly installed.
- 7) Proper service clearance provided.
- 8) Wiring and power connections correct.
- 9) Line-voltage reading(s) within acceptable range.
- 10) Wiring and controls connections correct.
- 11) Low-voltage reading(s) within an acceptable range.
- 12) Condensate removal acceptable.
- 13) Noise level within an acceptable range.
- 14) Refrigerant piping properly connected and insulated.
- 15) Condensate drain piping properly connected and insulated.
- 16) Remarks.

f. Inspection reports for energy recovery ventilators shall include, but not be limited to, the following:

- 1) Unit designation on Drawings.
- 2) Manufacturer model number.
- 3) Serial number.
- 4) Network address, if applicable.
- 5) Each equipment setting.
- 6) Mounting, supports, and restraints properly installed.
- 7) Proper service clearance provided.
- 8) Wiring and power connections correct.
- 9) Line-voltage reading(s) within acceptable range.
- 10) Wiring and controls connections correct.
- 11) Low-voltage reading(s) within an acceptable range.
- 12) Controller type and model controlling unit.
- 13) Controller location.
- 14) Temperature settings and readings within an acceptable range.
- 15) Humidity readings.
- 16) Condensate removal acceptable.
- 17) Fan settings and readings within an acceptable range.
- 18) Fan external static pressure setting.
- 19) Filter type and condition acceptable.
- 20) Noise level within an acceptable range.
- 21) Automatic dampers properly installed and operating.
- 22) Ductwork properly connected.
- 23) If applicable, external interlocks properly connected.
- 24) Remarks.

B. Refrigerant Tubing Positive Pressure Testing:

1. Comply with more stringent of VRF HVAC system manufacturer's requirements and requirements indicated.

2. After completion of tubing installation, pressurize tubing systems to a test pressure of not less than 1.5 times VRF HVAC system operating pressure, but not less than 600 psig, using dry nitrogen.
3. Successful testing shall maintain a test pressure for a continuous and uninterrupted period of 24 hours. Allowance for pressure changes attributed to changes in ambient temperature are acceptable.
4. Prepare test report to record the following information for each test:
 - a. Name of person starting test, company name, phone number, and e-mail address.
 - b. Name of manufacturer's service representative witnessing test, company name, phone number, and e-mail address.
 - c. Detailed description of extent of tubing tested.
 - d. Date and time at start of test.
 - e. Test pressure at start of test.
 - f. Outdoor temperature at start of test.
 - g. Name of person ending test, company name, phone number, and e-mail address.
 - h. Date and time at end of test.
 - i. Test pressure at end of test.
 - j. Outdoor temperature at end of test.
 - k. Remarks:
5. Submit test reports for Project record.

C. Refrigerant Tubing Evacuation Testing:

1. Comply with more stringent of VRF HVAC system manufacturer's requirements and requirements indicated.
2. After completion of tubing positive-pressure testing, evacuate tubing systems to a pressure of 500 microns.
3. Successful testing shall maintain a test pressure for a continuous and uninterrupted period of one hour with no change.
4. Prepare test report to record the following information for each test:
 - a. Name of person starting test, company name, phone number, and e-mail address.
 - b. Name of manufacturer's service representative witnessing test, company name, phone number, and e-mail address.
 - c. Detailed description of extent of tubing tested.
 - d. Date and time at start of test.
 - e. Test pressure at start of test.
 - f. Outdoor temperature at start of test.
 - g. Name of person ending test, company name, phone number, and e-mail address.
 - h. Date and time at end of test.
 - i. Test pressure at end of test.
 - j. Outdoor temperature at end of test.
 - k. Remarks:
5. Submit test reports for Project record.
6. Upon successful completion of evacuation testing, system shall be charged with refrigerant.

D. System Refrigerant Charge:

1. Using information collected from the refrigerant tubing evacuation testing, system Installer shall consult variable refrigerant system manufacturer to determine the correct system refrigerant charge.
2. Installer shall charge system following VRF HVAC system manufacturer's written instructions.
3. System refrigerant charging shall be witnessed by system manufacturer's representative.
4. Total refrigerant charge shall be recorded and permanently displayed at the system's outdoor unit.

E. Products will be considered defective if they do not pass tests and inspections.

F. Prepare test and inspection reports.

3.19 STARTUP SERVICE

A. Engage a VRF HVAC system manufacturer's service representative to perform system(s) startup service.

1. Service representative shall be a factory-trained and -authorized service representative of VRF HVAC system manufacturer.
2. Complete startup service of each separate system.
3. Complete system startup service according to manufacturer's written instructions.

B. Startup checks shall include, but not be limited to, the following:

1. Check control communications of equipment and each operating component in system(s).
2. Check each indoor unit's response to demand for cooling and heating.
3. Check each indoor unit's response to changes in airflow settings.
4. Check each indoor unit, HRCU, and outdoor unit for proper condensate removal.
5. Check sound levels of each indoor and outdoor unit.

C. Installer shall accompany manufacturer's service representative during startup service and provide manufacturer's service representative with requested documentation and technical support during startup service.

1. Installer shall correct deficiencies found during startup service for reverification.

D. System Operation Report:

1. After completion of startup service, manufacturer shall issue a report for each separate system.
2. Report shall include complete documentation describing each startup check, the result, and any corrective action required.
3. Manufacturer shall electronically record not less than two hours of continuous operation of each system and submit with report for historical reference.

- a. All available system operating parameters shall be included in the information submitted.

E. Witness:

1. Invite Commissioning Agent and Commissioner to witness startup service procedures.
2. Provide written notice not less than 20 business days before start of startup service.

3.20 ADJUSTING

- A. Adjust equipment and components to function smoothly, and lubricate as recommended by manufacturer.
- B. Adjust initial temperature and humidity set points. Adjust initial airflow settings and discharge airflow patterns.
- C. Set field-adjustable switches and circuit-breaker trip ranges according to VRF HVAC system manufacturer's written instructions, and as indicated.
- D. Occupancy Adjustments: When requested within 12 months from date of Substantial Completion, provide on-site assistance in adjusting system to suit actual occupied conditions. Provide up to two visits to Project during other-than-normal occupancy hours for this purpose.

3.21 PROTECTION

- A. Protect products from moisture and water damage. Remove and replace products that are wet, moisture damaged, or mold damaged.
- B. Protect equipment from physical damage. Replace equipment with physical damage that cannot be repaired to new condition. Observable surface imperfections shall be grounds for removal and replacement.
- C. Protect equipment from electrical damage. Replace equipment suffering electrical damage.
- D. Cover and seal openings of equipment to keep inside of equipment clean. Do not remove covers until finish work is complete.

3.22 DEMONSTRATION

- A. Engage a VRF HVAC system manufacturer's employed instructor or factory-authorized service representative to instruct City of New York's personnel to adjust and operate entire system.
- B. Instructor:
 - 1. Instructor shall be factory trained and certified by VRF HVAC system manufacturer on the systems, equipment, and controls that are installed.
- C. Schedule and Duration:
 - 1. Schedule instruction at least 20 business days before first session.
 - 2. Instruction shall occur before occupancy.
 - 3. Instruction shall be held at mutually agreed date and time, and on-site location during normal business hours.
 - 4. Perform not less than eight total hours of instruction.
- D. Materials: Provide instruction materials in electronic format to each attendee.

1. Include instructional videos that are coordinated with operation and maintenance manuals.
- E. Video record each instruction session and submit an electronic copy to Commissioner.
- F. Acceptance: Obtain Commissioner written acceptance that instruction is complete and requirements indicated have been satisfied.

END OF SECTION 238129

SECTION 238216.14 - ELECTRIC- RESISTANCE AIR COILS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Electric-resistance air coils.
2. Controls.

B. Related Requirements:

1. Section 237219 - "Fixed Plate Air-to-Air Energy Recovery Units." For regenerative fixed-core metal plate heat exchangers.
2. Section 230923 "Direct Digital Control System for HVAC" for control equipment and software, relays, electrical power devices, uninterruptible power supply units, wire, and cable.
3. Section 230993.11 "Sequence of Operations for HVAC Direct Digital Control" for requirements that relate to this Section.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

A. Product Data: For each type of product.

1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for each air coil.
2. Include rated capacities, operating characteristics, and pressure drops for each air coil.

B. Shop Drawings: Include diagrams for power, signal, and control wiring.

C. Coordination Drawings: Floor plans, sections, and other details, drawn to scale, showing the items described in this Section and coordinated with all building trades.

1.5 INFORMATIONAL SUBMITTALS

- A. Field quality-control reports.

1.6 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For air coils to include in operation and maintenance manuals.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.8 FIELD CONDITIONS

- A. Altitude above Mean Sea Level: 0 feet.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Coil Assembly: Comply with ANSI/UL - 1996.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by an NRTL, and marked for intended location and application.
- C. NFPA Compliance: Comply with NFPA 90A for design, fabrication, and installation
- D. of air-handling units and components.
- E. ASHRAE 62.1 Compliance: Applicable requirements in ASHRAE 62.1, Section 5, "Systems and Equipment," and Section 7, "Construction and Startup."
- F. Equally balance heater electrical load for each step across all electrical phases.
- G. Part-Load Operation: Provide arrangement with operation staged for uninterrupted operation over the full operating range of airflow down to the minimum airflow indicated in Air-side Performance Section.
- H. Capacities and Characteristics:
 - 1. Coil Face Dimensions:
 - a. Length: 31 inches.
 - b. Height: 19 inches.
 - 2. Mounting: Slip in.

3. Air Side Performance:
 - a. Flow Rate: 1700 cfm.
 - b. Face Velocity: 415 fpm.
 - c. Minimum airflow: 820 cfm
 - d. Static Pressure Drop: 0.01 inches w.g.
 - e. Total Heating Capacity: 34 MBH.
 - f. Entering Temperature: 52 deg F.
 - g. Leaving Temperature: 71 deg F.

4. Electrical Characteristics:
 - a. Capacity: 10 kilowatts.
 - b. Number of Steps: Proportional SCR.
 - c. Volts: 208 V.
 - d. Phase: 3 Ph.
 - e. Hertz: 60 Hz.
 - f. Full-Load Amperes: 31 A.
 - g. Minimum Circuit Ampacity: 40 A.
 - h. Maximum Overcurrent Protection: 70 A.

2.2 ELECTRIC-RESISTANCE AIR COIL

- A. Sole-Source Product, provide an Electric Resistance Air Heating Coil by Tempeff North America LTD.
 1. No substitutions permitted.

- B. Source Limitations: Obtain electric-resistance air coils from single source from single manufacturer.

- C. Heating Elements:
 1. Open Elements:
 - a. Open-coil resistance wire of 80 percent nickel and 20 percent chromium; supported and insulated by floating ceramic bushings recessed into casing openings, fastened to supporting brackets, and mounted in a frame.
 - b. Safety Screens: Install safety screens to protect operators from accidentally coming into direct connect with elements.

- D. Frame: Galvanized steel; minimum 14 gauge (0.064 inch) thick for slip-in mounting. Include intermediate heater element support brackets equally spaced at a maximum of 4.5 inches o.c. across electric-resistance air coil.

- E. Terminal Box/Control Panel: Remote mounted with disconnection means and overcurrent protection, including the following:
 1. Enclosure: NEMA 250, Type 1 enclosure complying with UL 50.
 2. Full-face-hinged door with lock and key latching device(s).
 3. Factory insulate terminal box to prevent condensation from occurring within box.

4. Install a laminated elementary wiring diagram on inside face of heater control panel door or in another protected location than visible be service personnel.
5. Power and control wiring diagrams to match final installed condition.

F. Heater Controls:

1. Safety Controls: Each heater is to be provided with the following factory-mounted safety controls:
 - a. Disk-type thermal cutout switch with automatic reset.
 - b. Primary linear thermal limit cutout switch with automatic reset.
 - c. Secondary linear thermal limit cutout switch with local manual reset.
 - d. Airflow Proving Switch: Pressure differential type; with pressure range selected to ensure reliable operation throughout full range of air-handling unit airflow down to minimum airflow indicated.
2. SCR Control: Silicone-controlled rectifier (SCR) for 100 percent stepless capacity control.
3. Remote Monitoring and Control: Include control devices necessary to interface with remote-control signals, including the following:
 - a. Heater on/off control.
 - b. Monitoring heater on/off status.
 - c. High-temperature alarm.
 - d. Low-airflow alarm.
 - e. Proportional heater capacity control.
 - f. Duct thermostat:
 - 1) Type: Electronic PI proportional.
 - 2) Control Signal: 0-10 Vdc or 4-20Ma.
 - 3) Control Temperature Range: 50 - 90 deg F.
 - 4) Use with step controller for vernier proportional control.

G. Electrical:

1. Single-Point Field Power Connection: Install and wire the heater to accommodate a single field electrical connection for electrical power.
2. Field control wiring should be copper conductors with 75°C insulation.
3. Control thermostat circuits for SCRs shall be NEC Class II.
4. Internal Wiring: copper wire with a minimum of 105°C insulation is used throughout.
 - a. Connections are made with either box lugs or connectors crimped on with calibrated tooling. Terminal blocks are provided for all field control and power wiring.
5. Disconnecting Means: Provide each heater with a main electrical power connect, door mounted and interlocking, and disconnecting means to prevent access into panel, unless switched to the off position.
 - a. Non-fused disconnect switch with lockable handle.
 - b. Minimum Short-Circuit Current Rating (SCCR): As required by building electrical power distribution system, but not less than default value assigned by Table SB4.1 in the UL 508A Standard

6. Factory install and wire branch circuit fusing or circuit breakers in accordance with NFPA 70.
 7. Pilot Lights and indicators: Include labeled pilot lights and indicators on face of control panel for the following:
 - a. Power on.
 - b. Low-airflow alarm.
 - c. High-temperature alarm.
 - d. Leaving Air temperature - deg F.
 8. Terminations: Wire terminations and field interface terminations to labeled terminal strips.
 9. Control Transformer: Size control circuit transformer for load.
 10. Labeling: Label each electrical device with a laminated phenolic tag.
 11. Use only NRTL-labeled electrical components.
- H. Nameplate: Include the following data:
1. Manufacturer name, address, telephone number, and website address.
 2. Manufacturer Model Number.
 3. Serial Number.
 4. Manufacturing date.
 5. Coil identification tag (as indicated on Mechanical Drawings).
- I. See Section 230923.27 "Temperature Instruments" for thermostat.
- J. Thermostats: Duct/plenum-mounted thermostats, with temperature range from 50 to 90 deg F and proportional throttling range.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine ducts, plenums, and casings to receive air coils for compliance with requirements for installation tolerances and other conditions affecting coil performance.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Install coils level and plumb.
- B. Install coils in metal ducts and casings constructed in accordance with SMACNA's "HVAC Duct Construction Standards, Metal and Flexible."

- C. Clean coils using materials and methods recommended in writing by manufacturers, and clean inside of casings and enclosures to remove dust and debris.

3.4 ELECTRICAL CONNECTIONS

- A. Connect wiring according to Section 260519 "Low-Voltage Electrical Power Conductors and Cables."
- B. Ground equipment according to Section 260526 "Grounding and Bonding for Electrical Systems."
- C. Install electrical devices furnished by manufacturer, but not factory mounted, in accordance with NFPA 70 and NECA 1.
- D. Install nameplate for each electrical connection, indicating electrical equipment designation and circuit number feeding connection.
 - 1. Nameplate shall be laminated acrylic or melamine plastic signs, as specified in Section 260553 "Identification for Electrical Systems."
 - 2. Nameplate shall be laminated acrylic or melamine plastic signs with a black background and engraved white letters at least 1/2 inch high.

3.5 CONTROL CONNECTIONS

- A. Install control and electrical power wiring to field-mounted control devices.
- B. Connect control wiring in accordance with Section 260523 "Control-Voltage Electrical Power Cables."
- C. Install nameplate for each control connection, indicating field control panel designation and I/O control designation feeding connection.

3.6 FIELD QUALITY CONTROL

- A. Manufacturer's Field Service: Engage a factory-authorized service representative to test and inspect components, assemblies, and equipment installations, including connections.
- B. Tests and Inspections:
 - 1. Operational Test: After electrical circuitry has been energized, operate electric coils to confirm proper unit operation.
 - 2. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- C. Prepare test and inspection reports.

END OF SECTION 238216.14

SECTION 238239.13 - CABINET UNIT HEATERS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section includes cabinet unit heaters with centrifugal fans and electric-resistance heating coils.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Include rated capacities, operating characteristics, furnished specialties, and accessories.
- B. Sustainable Design Submittals:
 - 1. Product data showing compliance with ASHRAE 62.1.
- C. Shop Drawings:
 - 1. Include plans, elevations, sections, and details.
 - 2. Include details of equipment assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
 - 3. Include location and size of each field connection.
 - 4. Include details of anchorages and attachments to structure and to supported equipment.
 - 5. Include equipment schedules to indicate rated capacities, operating characteristics, furnished specialties, and accessories.
 - 6. Indicate location and arrangement of integral controls.
 - 7. Wiring Diagrams: Power, signal, and control wiring.
- D. Coordination Drawings: Floor plans, reflected ceiling plans, and other details, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
 - 1. Suspended ceiling components.
 - 2. Structural members to which cabinet unit heaters will be attached.

3. Method of attaching hangers to building structure.
4. Size and location of initial access modules for acoustical tile.
5. Items penetrating finished ceiling, including the following:
 - a. Lighting fixtures.
 - b. Air outlets and inlets.
 - c. Speakers.
 - d. Sprinklers.
 - e. Access panels.
6. Perimeter moldings for exposed or partially exposed cabinets.

1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For cabinet unit heaters to include in emergency, operation, and maintenance manuals.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Basis-of-Design Product: Subject to compliance with requirements, provide product indicated on Drawings or comparable product by one of the following:
 1. Dunham-Bush.
 2. INDEECO.
 3. USA Coil & Air.
 4. Or approved equal.

2.2 DESCRIPTION

- A. Factory-assembled and tested unit complying with AHRI 440.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. Comply with UL 2021.

2.3 PERFORMANCE REQUIREMENTS

- A. ASHRAE Compliance: Applicable requirements in ASHRAE 62.1, Section 5 - "Systems and Equipment" and Section 7 - "Construction and Startup."

- B. ASHRAE/IESNA 90.1 Compliance: Applicable requirements in ASHRAE/IESNA 90.1, Section 6 - "Heating, Ventilating, and Air-Conditioning."

2.4 CABINETS

- A. Material: Steel with baked-enamel finish with manufacturer's standard paint, in color selected by Commissioner.
 - 1. Vertical Unit, Exposed Front Panels: Minimum 0.0528-inch-thick galvanized sheet steel, removable panels with channel-formed edges secured with tamperproof cam fasteners.
 - 2. Horizontal Unit, Exposed Bottom Panels: Minimum 0.0528-inch-thick galvanized sheet steel, removable panels secured with tamperproof cam fasteners and safety chain.
 - 3. Base: Minimum 0.0528-inch-thick steel, finished to match cabinet, 4 inches high with leveling bolts.

2.5 CONTROLS

- A. Control devices and operational sequences are specified in Section 230923 "Direct Digital Control Systems for HVAC" and Section 230993.11 "Sequence of Operations for HVAC Direct Digital Control."

- B. Basic Unit Controls:

- 1. Control voltage transformer.
- 2. Unit-mounted thermostat with the following features:
 - a. Heat-off switch.
 - b. Fan on-auto switch.
 - c. Manual fan-speed switch.
 - d. Adjustable deadband.
 - e. Concealed set point.
 - f. Exposed indication.
 - g. Deg Findication.

- C. Basic Unit Controls:

- 1. Control voltage transformer.
- 2. Wall-mounted thermostat with the following features:
 - a. Heat-off switch.
 - b. Fan on-auto switch.
 - c. Manual fan-speed switch.
 - d. Adjustable deadband.
 - e. Exposed set point.
 - f. Exposed indication.
 - g. Deg Findication.

- D. Interface with Direct Digital Control System for HVAC Requirements:

- 1. Interface relay for scheduled operation.

2. Interface relay to provide indication of fault at central workstation.
 3. Interface shall be BAC-net or LonWorks compatible for central Direct Digital Control system for HVAC workstation and include the following functions:
 - a. Adjust set points.
 - b. Cabinet unit-heater start, stop, and operating status.
 - c. Occupied and unoccupied schedules.
- E. Electrical Connection: Factory-wired motors and controls for a single field connection.

2.6 CAPACITIES AND CHARACTERISTICS

A. Cabinet:

1. Ceiling Mounted - EH-1 (refer to drawing M-602):
 - a. Heat Capacity: 13,640 Btu/hr.
 - b. Flow rate: 175 cfm.
 - c. Voltage: 208V.
 - d. Phase: Single.
 - e. Power: 4kW.
 - f. Heating element: Metal sheathed aluminum construction.
 - g. Control: BMS.
2. Floor Mounted - EH-2 (refer to drawing M-602):
 - a. Heat Capacity: 4,260 Btu/hr.
 - b. Flow rate: 175 cfm.
 - c. Voltage: 208V.
 - d. Phase: Single.
 - e. Power: 1.25kW
 - f. Heating element: Metal sheathed aluminum construction.
 - g. Control: Built-in thermostat
3. Floor Mounted - EH-3 (refer to drawing M-602):
 - a. Heat Capacity: 5,115 Btu/hr.
 - b. Flow rate: 175 cfm.
 - c. Voltage: 120V.
 - d. Phase: Single.
 - e. Power: 1.5kW
 - f. Heating element: Metal sheathed aluminum construction.
 - g. Control: Built-in thermostat.
4. Floor Mounted - EH-4 (refer to drawing M-602):
 - a. Heat Capacity: 10,230 Btu/hr.
 - b. Flow rate: 175 cfm.
 - c. Voltage: 208V.

- d. Phase: Single.
 - e. Power: 3kW
 - f. Control: Remote wall thermostat.
5. Floor Mounted - EH-4 (refer to drawing M-602):
- a. Heat Capacity: 10,230 Btu/hr.
 - b. Flow rate: 175 cfm.
 - c. Voltage: 208V.
 - d. Phase: Single.
 - e. Power: 3kW.
 - f. Heating element: Metal sheathed aluminum construction.
 - g. Control: Remote wall thermostat.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine areas to receive cabinet unit heaters for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine roughing-in for electrical connections to verify actual locations before unit-heater installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Install cabinet unit heaters to comply with NFPA 90A.
- B. Recess, T-Bar Ceiling mounted (EH-1, refer to drawing M-602): Suspend cabinet unit heater from structure with standard mounting bracket assembly supplied by the unit manufacturer. Provide extended mounting poles and accessory where required."
- C. Floor mounted (EH-2, EH-3, refer to drawing M-602): Install floor mounted cabinet unit heater from structure with standard wall mounting brackets supplied by the unit manufacturer.
- D. Wall or surface mounted (EH-4, EH-5, refer to drawing M-602):
- E. Install wall-mounted thermostats and switch controls in electrical outlet boxes at heights to match lighting controls. Verify location of thermostats and other exposed control sensors with Drawings and room details before installation.

3.4 CONNECTIONS

- A. Comply with safety requirements in UL 1995.
- B. Ground equipment according to Section 260526 "Grounding and Bonding for Electrical Systems."
- C. Connect wiring according to Section 260519 "Low-Voltage Electrical Power Conductors and Cables."

3.5 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections with authorized service representative:
 - 1. Operational Test: After electrical circuitry has been energized, start units to confirm proper motor rotation and unit operation.
 - 2. Operate electric heating elements through each stage to verify proper operation and electrical connections.
 - 3. Test and adjust controls and safety devices. Replace damaged and malfunctioning controls and equipment.
- B. Units will be considered defective if they do not pass tests and inspections.
- C. Prepare test and inspection reports.

3.6 ADJUSTING

- A. Adjust initial temperature set points.
- B. Occupancy Adjustments: When requested within 12 months of date of Substantial Completion, provide on-site assistance in adjusting system to suit actual occupied conditions. Provide up to two visits to Project during other-than-normal occupancy hours for this purpose.

3.7 DEMONSTRATION

- A. Engage a factory-authorized service representative to instruct City of New York's personnel to adjust and operate cabinet unit heaters.

END OF SECTION 238239.13

SECTION 260010 - SUPPLEMENTAL REQUIREMENTS FOR ELECTRICAL

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Supplemental requirements applicable to Work specified in Division 26. This Section is also referenced by related Work specified in other Divisions.

B. Related Requirements:

1. Section 260011 "Facility Performance Requirements for Electrical" for seismic-load, wind-load, acoustical, and other field conditions applicable to Work specified in this Section.

1.3 REFERENCES

A. Abbreviations and Acronyms for Electrical Terms and Units of Measure:

1. 8P8C: An 8-position 8-contact modular jack.
2. A: Ampere, unit of electrical current.
3. AC or ac: Alternating current.
4. AFCI: Arc-fault circuit interrupter.
5. AIC: Ampere interrupting capacity.
6. AL, Al, or ALUM: Aluminum.
7. ASD: Adjustable-speed drive.
8. ATS: Automatic transfer switch.
9. AWG: American wire gauge; see ASTM B258.
10. BAS: Building automation system.
11. BIL: Basic impulse insulation level.
12. BIM: Building information modeling.
13. CAD: Computer-aided design or drafting.
14. CATV: Community antenna television.
15. CB: Circuit breaker.
16. cd: Candela, the SI fundamental unit of luminous intensity.
17. CO/ALR: Copper-aluminum, revised.
18. COPS: Critical operations power system.
19. CU or Cu: Copper.
20. CU-AL or AL-CU: Copper-aluminum.



21. dB: Decibel, a unitless logarithmic ratio of two electrical, acoustical, or optical power values.
22. dB(A-weighted) or dB(A): Decibel acoustical sound pressure level with A-weighting applied in accordance with IEC 61672-1.
23. dB(adjusted) or dBa: Decibel weighted absolute noise power with respect to 3.16 pW (minus 85 dBm).
24. dBm: Decibel absolute power with respect to 1 mW.
25. DC or dc: Direct current.
26. DCOA: Designated critical operations area.
27. EGC: Equipment grounding conductor.
28. ELV: Extra-low voltage.
29. EMF: Electromotive force.
30. EMI: Electromagnetic interference.
31. EPM: Electrical preventive maintenance.
32. EPS: Emergency power supply.
33. EPSS: Emergency power supply system.
34. ESS: Energy storage system.
35. EV: Electric vehicle.
36. EVPE: Electric vehicle power export equipment.
37. EVSE: Electric vehicle supply equipment.
38. fc: Footcandle, an internationally recognized unit of illuminance equal to one lumen per square foot or 10.76 lx. The simplified conversion 1 fc = 10 lx in the Specifications is common practice and considered adequate precision for building construction activities. When there are conflicts, lux is the primary unit; footcandle is specified for convenience.
39. FLC: Full-load current.
40. ft: Foot.
41. ft-cd: Foot-candle, the antiquated U.S. Standard unit of illuminance, equal to one international candle measured at a distance of one foot, that was superseded in 1948 by the unit "footcandle" after the SI unit candela (cd) replaced the international candle; see "fc,"
42. GEC: Grounding electrode conductor.
43. GFCI: Ground-fault circuit interrupter.
44. GFPE: Ground-fault protection of equipment.
45. GND: Ground.
46. HACR: Heating, air conditioning, and refrigeration.
47. HDPE: High-density polyethylene.
48. HID: High-intensity discharge.
49. HP or hp: Horsepower.
50. HVAC: Heating, ventilating, and air conditioning.
51. Hz: Hertz.
52. IBT: Intersystem bonding termination.
53. inch: Inch. To avoid confusion, the abbreviation "in." is not used.
54. IP: Ingress protection rating (enclosures); Internet protocol (communications).
55. IR: Infrared.
56. IS: Intrinsically safe.
57. IT&R: Inspecting, testing, and repair.
58. ITE: Information technology equipment.
59. kAIC: Kiloampere interrupting capacity.
60. kcmil or MCM: One thousand circular mils.
61. kV: Kilovolt.
62. kVA: Kilovolt-ampere.



63. kVAr or kVAR: Kilovolt-ampere reactive.
64. kW: Kilowatt.
65. kWh: Kilowatt-hour.
66. LAN: Local area network.
67. lb: Pound (weight).
68. lbf: Pound (force).
69. LCD: Liquid-crystal display.
70. LCDI: Leakage-current detector-interrupter.
71. LED: Light-emitting diode.
72. Li-ion: Lithium-ion.
73. lm: Lumen, the SI derived unit of luminous flux.
74. LNG: Liquefied natural gas.
75. LP-Gas: Liquefied petroleum gas.
76. LRC: Locked-rotor current
77. LV: Low voltage.
78. lx: Lux, the SI derived unit of illuminance equal to one lumen per square meter.
79. m: Meter.
80. MCC: Motor-control center.
81. MDC: Modular data center.
82. MG set: Motor-generator set.
83. MIDI: Musical instrument digital interface.
84. MLO: Main lugs only.
85. MV: Medium voltage.
86. MVA: Megavolt-ampere.
87. mW: Milliwatt.
88. MW: Megawatt.
89. MWh: Megawatt-hour.
90. NC: Normally closed.
91. Ni-Cd: Nickel cadmium.
92. Ni-MH: Nickel-metal hydride.
93. NIU: Network interface unit.
94. NO: Normally open.
95. NPT: National (American) standard pipe taper.
96. OCPD: Overcurrent protective device.
97. ONT: Optical network terminal.
98. PC: Personal computer.
99. PCS: Power conversion system.
100. PCU: Power-conditioning unit.
101. PF or pf: Power factor.
102. PHEV: Plug-in hybrid electric vehicle.
103. PLC: Programmable logic controller.
104. PLFA: Power-limited fire alarm.
105. PoE: Power over Ethernet.
106. PV: Photovoltaic.
107. PVC: Polyvinyl chloride.
108. pW: Picowatt.
109. RFI: (electrical) Radio-frequency interference; (contract) Request for interpretation.
110. RMS or rms: Root-mean-square.
111. RPM or rpm: Revolutions per minute.

112. SCADA: Supervisory control and data acquisition.
113. SCR: Silicon-controlled rectifier.
114. SPD: Surge protective device.
115. sq.: Square.
116. SWD: Switching duty.
117. TCP/IP: Transmission control protocol/Internet protocol.
118. TEFC: Totally enclosed fan-cooled.
119. TR: Tamper resistant.
120. TVSS: Transient voltage surge suppressor.
121. UL: (standards) Underwriters Laboratories, Inc.; (product categories) UL, LLC.
122. UL CCN: UL Category Control Number.
123. UPS: Uninterruptible power supply.
124. USB: Universal serial bus.
125. UV: Ultraviolet.
126. V: Volt, unit of electromotive force.
127. V(ac): Volt, alternating current.
128. V(dc): Volt, direct current.
129. VA: Volt-ampere, unit of complex electrical power.
130. VAR: Volt-ampere reactive, unit of reactive electrical power.
131. VFC: Variable-frequency controller.
132. VOM: Volt-ohm-multimeter.
133. VPN: Virtual private network.
134. VRLA: Valve regulated lead acid; also called "sealed lead acid (SLA)" or "valve regulated sealed lead acid."
135. W: Watt, unit of real electrical power.
136. Wh: Watt-hour, unit of electrical energy usage.
137. WPT: Wireless power transfer.
138. WPTE: Wireless power transfer equipment.
139. WR: Weather resistant.

B. Abbreviations and Acronyms for Electrical Single-Conductor and Multiple-Conductor Cable Types:

1. EMT: Electrical metallic tubing.
2. EMT-A: Aluminum electrical metallic tubing.
3. EMT-S: Steel electrical metallic tubing.
4. EMT-SS: Stainless steel electrical metallic tubing.
5. ENT: Electrical nonmetallic tubing.
6. EPEC: Electrical HDPE underground conduit (thin wall).
7. EPEC-A: Type A electrical HDPE underground conduit.
8. EPEC-B: Type B electrical HDPE underground conduit.
9. ERMC: Electrical rigid metal conduit.
10. ERMC-A: Aluminum electrical rigid metal conduit.
11. ERMC-S: Steel electrical rigid metal conduit.
12. ERMC-S-G: Galvanized-steel electrical rigid metal conduit.
13. ERMC-S-PVC: PVC-coated-steel electrical rigid metal conduit.
14. ERMC-SS: Stainless steel electrical rigid metal conduit.
15. FMC: Flexible metal conduit.
16. FMC-A: Aluminum flexible metal conduit.
17. FMC-S: Steel flexible metal conduit.



18. FMT: Steel flexible metallic tubing.
19. FNMC: Flexible nonmetallic conduit. See LFNC.
20. HDPE: HDPE underground conduit (thick wall).
21. HDPE-40: Schedule 40 HDPE underground conduit.
22. HDPE-80: Schedule 80 HDPE underground conduit.
23. IMC: Steel electrical intermediate metal conduit.
24. LFMC: Liquidtight flexible metal conduit.
25. LFMC-A: Aluminum liquidtight flexible metal conduit.
26. LFMC-S: Steel liquidtight flexible metal conduit.
27. LFMC-SS: Stainless steel liquidtight flexible metal conduit.
28. LFNC: Liquidtight flexible nonmetallic conduit.
29. LFNC-A: Layered (Type A) liquidtight flexible nonmetallic conduit.
30. LFNC-B: Integral (Type B) liquidtight flexible nonmetallic conduit.
31. LFNC-C: Corrugated (Type C) liquidtight flexible nonmetallic conduit.
32. PVC: Rigid PVC conduit.
33. PVC-40: Schedule 40 rigid PVC conduit.
34. PVC-80: Schedule 80 rigid PVC Conduit.
35. PVC-A: Type A rigid PVC concrete-encased conduit.
36. PVC-EB: Type EB rigid PVC concrete-encased underground conduit.
37. RGS: See ERMCM-S-G.
38. RMC: See ERMCM.
39. RTRC: Reinforced thermosetting resin conduit.
40. RTRC-AG: Low-halogen, aboveground reinforced thermosetting resin conduit.
41. RTRC-AG-HW: Heavy wall, low-halogen, aboveground reinforced thermosetting resin conduit.
42. RTRC-AG-SW: Standard wall, low-halogen, aboveground reinforced thermosetting resin conduit.
43. RTRC-AG-XW: Extra heavy wall, low-halogen, aboveground reinforced thermosetting resin conduit.
44. RTRC-BG: Low-halogen, belowground reinforced thermosetting resin conduit.

C. Abbreviations and Acronyms for Electrical Cable Types:

1. AC: Armored cable.
2. CATV: Coaxial general-purpose cable.
3. CATVP: Coaxial plenum cable.
4. CATVR: Coaxial riser cable.
5. CI: Circuit integrity cable.
6. CL2: Class 2 cable.
7. CL2P: Class 2 plenum cable.
8. CL2R: Class 2 riser cable.
9. CL2X: Class 2 cable, limited use.
10. CL3: Class 3 cable.
11. CL3P: Class 3 plenum cable.
12. CL3R: Class 3 riser cable.
13. CL3X: Class 3 cable, limited use.
14. CM: Communications general-purpose cable.
15. CMG: Communications general-purpose cable.
16. CMP: Communications plenum cable.
17. CMR: Communications riser cable.
18. CMUC: Under-carpet communications wire and cable.
19. CMX: Communications cable, limited use.



20. DG: Distributed generation cable.
21. FC: Flat cable.
22. FCC: Flat conductor cable.
23. FPL: Power-limited fire-alarm cable.
24. FPLP: Power-limited fire-alarm plenum cable.
25. FPLR: Power-limited fire-alarm riser cable.
26. IGS: Integrated gas spacer cable.
27. ITC: Instrumentation tray cable.
28. ITC-ER: Instrumentation tray cable, exposed run.
29. MC: Metal-clad cable.
30. MC-HL: Metal-clad cable, hazardous location.
31. MI: Mineral-insulated, metal-sheathed cable.
32. MTW: (machine tool wiring) Moisture-, heat-, and oil-resistant thermoplastic cable.
33. MV: Medium-voltage cable.
34. NM: Nonmetallic sheathed cable.
35. NMC: Nonmetallic sheathed cable with corrosion-resistant nonmetallic jacket.
36. NMS: Nonmetallic sheathed cable with signaling, data, and communications conductors, plus power or control conductors.
37. NPLF: Non-power-limited fire-alarm circuit cable.
38. NPLFP: Non-power-limited fire-alarm circuit cable for environmental air spaces.
39. NPLFR: Non-power-limited fire-alarm circuit riser cable.
40. NUCC: Nonmetallic underground conduit with conductors.
41. OFC: Conductive optical fiber general-purpose cable.
42. OFCG: Conductive optical fiber general-purpose cable.
43. OFCP: Conductive optical fiber plenum cable.
44. OFCR: Conductive optical fiber riser cable.
45. OFN: Nonconductive optical fiber general-purpose cable.
46. OFNG: Nonconductive optical fiber general-purpose cable.
47. OFNP: Nonconductive optical fiber plenum cable.
48. OFNR: Nonconductive optical fiber riser cable.
49. P: Marine shipboard cable.
50. PLTC: Power-limited tray cable.
51. PLTC-ER: Power-limited tray cable, exposed run.
52. PV: Photovoltaic cable.
53. RHH: (high heat) Thermoset rubber, heat-resistant cable.
54. RHW: Thermoset rubber, moisture-resistant cable.
55. SA: Silicone rubber cable.
56. SE: Service-entrance cable.
57. SER: Service-entrance cable, round.
58. SEU: Service-entrance cable, flat.
59. SIS: Thermoset cable for switchboard and switchgear wiring.
60. TBS: Thermoplastic cable with outer braid.
61. TC: Tray cable.
62. TC-ER: Tray cable, exposed run.
63. TC-ER-HL: Tray cable, exposed run, hazardous location.
64. THW: Thermoplastic, heat- and moisture-resistant cable.
65. THHN: Thermoplastic, heat-resistant cable with nylon jacket outer sheath.
66. THHW: Thermoplastic, heat- and moisture-resistant cable.
67. THWN: Thermoplastic, moisture- and heat-resistant cable with nylon jacket outer sheath.



- 68. TW: Thermoplastic, moisture-resistant cable.
- 69. UF: Underground feeder and branch-circuit cable.
- 70. USE: Underground service-entrance cable.
- 71. XHH: Cross-linked polyethylene, heat-resistant cable.
- 72. XHHW: Cross-linked polyethylene, heat- and moisture-resistant cable.

D. Definitions:

- 1. **8-Position 8-Contact (8P8C) Modular Jack:** An unkeyed jack with up to eight contacts commonly used to terminate twisted-pair and multiconductor Ethernet cable. Also called a "TIA-1096 miniature 8-position series jack" (8PSJ), or an "IEC 8877 8-pole jack."
 - a. Be careful when suppliers use "RJ45" generically. Obsolete RJ45 jacks used for analog telephone cables have rejection keys. 8P8C jacks used for digital telephone cables and Ethernet cables do not have rejection keys.
- 2. **Basic Impulse Insulation Level (BIL):** Reference insulation level expressed in impulse crest voltage with a standard wave not longer than 1.5 times 50 microseconds and 1.5 times 40 microseconds.
- 3. **Cable:** In accordance with NIST NBS Circular 37 and IEEE standards, in the United States for the purpose of interstate commerce, the definition of "cable" is (1) a conductor with insulation, or a stranded conductor with or without insulation (single-conductor cable); or (2) a combination of conductors insulated from one another (multiple-conductor cable).
- 4. **Communications Jack:** A fixed connecting device designed for insertion of a communications cable plug.
- 5. **Communications Outlet:** One or more communications jacks, or cables and plugs, mounted in a box or ring, with a suitable protective cover.
- 6. **Conductor:** In accordance with NIST NBS Circular 37 and IEEE standards, in the United States for the purpose of interstate commerce, the definition of "conductor" is (1) a wire or combination of wires not insulated from one another, suitable for carrying an electric current; (2) (National Electrical Safety Code) a material, usually in the form of wire, cable, or bar, suitable for carrying an electric current; or (3) (general) a substance or body that allows a current of electricity to pass continuously along it.
- 7. **Designated Seismic System:** A system component that requires design in accordance with Ch. 13 of ASCE/SEI 7 and for which the Component Importance Factor is greater than 1.0.
- 8. **Direct Buried:** Installed underground without encasement in concrete or other protective material.
- 9. **Enclosure:** The case or housing of an apparatus, or the fence or wall(s) surrounding an installation, to prevent personnel from accidentally contacting energized parts or to protect the equipment from physical damage. Types of enclosures and enclosure covers include the following:
 - a. **Cabinet:** An enclosure that is designed for either surface mounting or flush mounting and is provided with a frame, mat, or trim in which a swinging door or doors are or can be hung.
 - b. **Concrete Box:** A box intended for use in poured concrete.
 - c. **Conduit Body:** A means for providing access to the interior of a conduit or tubing system through one or more removable covers at a junction or terminal point. In the United States, conduit bodies are listed in accordance with outlet box requirements.
 - d. **Conduit Box:** A box having threaded openings or knockouts for conduit, EMT, or fittings.
 - e. **Cutout Box:** An enclosure designed for surface mounting that has swinging doors or covers secured directly to and telescoping with the walls of the enclosure.
 - f. **Device Box:** A box with provisions for mounting a wiring device directly to the box.



- g. Extension Ring: A ring intended to extend the sides of an outlet box or device box to increase the box depth, volume, or both.
 - h. Floor Box: A box mounted in the floor intended for use with a floor box cover and other components to complete the floor box enclosure.
 - i. Floor-Mounted Enclosure: A floor box and floor box cover assembly with means to mount in the floor that is sealed against the entrance of scrub water at the floor level.
 - j. Floor Nozzle: An enclosure used on a wiring system, intended primarily as a housing for a receptacle, provided with a means, such as a collar, for surface-mounting on a floor, which may or may not include a stem to support it above the floor level, and is sealed against the entrance of scrub water at the floor level.
 - k. Junction Box: A box with a blank cover that joins different runs of raceway or cable and provides space for connection and branching of the enclosed conductors.
 - l. Outlet Box: A box that provides access to a wiring system having pryout openings, knockouts, threaded entries, or hubs in either the sides or the back, or both, for the entrance of conduit, conduit or cable fittings, or cables, with provisions for mounting an outlet box cover, but without provisions for mounting a wiring device directly to the box.
 - m. Pedestal Floor Box Cover: A floor box cover that, when installed as intended, provides a means for typically vertical or near-vertical mounting of receptacle outlets above the floor's finished surface.
 - n. Pull Box: A box with a blank cover that joins different runs of raceway and provides access for pulling or replacing the enclosed cables or conductors.
 - o. Raised-Floor Box: A floor box intended for use in raised floors.
 - p. Recessed Access Floor Box: A floor box with provisions for mounting wiring devices below the floor surface.
 - q. Recessed Access Floor Box Cover: A floor box cover with provisions for passage of cords to recessed wiring devices mounted within a recessed floor box.
 - r. Ring: A sleeve, which is not necessarily round, used for positioning a recessed wiring device flush with the plaster, concrete, drywall, or other wall surface.
 - s. Ring Cover: A box cover, with raised center portion to accommodate a specific wall or ceiling thickness, for mounting wiring devices or luminaires flush with the surface.
 - t. Termination Box: An enclosure designed for installation of termination base assemblies consisting of bus bars, terminal strips, or terminal blocks with provision for wire connectors to accommodate incoming or outgoing conductors, or both.
10. Emergency Systems: Those systems legally required and classed as emergency by municipal, state, federal, or other codes, or by any governmental agency having jurisdiction that are designed to ensure continuity of lighting, electrical power, or both, to designated areas and equipment in the event of failure of the normal supply for safety to human life.
11. Essential Electrical Systems: (healthcare facilities) Those systems designed to ensure continuity of electrical power to designated areas and functions of a healthcare facility during disruption of normal power sources, and also to minimize disruption within the internal wiring system.
12. Fault Limited: Providing or being served by a source of electrical power that is limited to not more than 100 W when tested in accordance with UL 62368-1.
- a. The term "fault limited" is intended to encompass most Class 1, 2, and 3 power-limited sources complying with Article 725 of NFPA 70; Class ES1 and ES2 electrical energy sources that are Class PS1 electrical power sources (e.g., USB); and Class ES3 electrical energy sources that are Class PS1 and PS2 electrical power sources (e.g., PoE). See UL 62368-1 for discussion of classes of electrical energy sources and classes of electrical power sources.



13. High-Performance Building: A building that integrates and optimizes on a life-cycle basis all major high-performance attributes, including energy conservation, environment, safety, security, durability, accessibility, cost-benefit, productivity, sustainability, functionality, and operational considerations.
14. Jacket: A continuous nonmetallic outer covering for conductors or cables.
15. Luminaire: A complete lighting unit consisting of a light source such as a lamp, together with the parts designed to position the light source and connect it to the power supply. It may also include parts to protect the light source or the ballast or to distribute the light.
16. Mode: The terms "Active Mode," "Off Mode," and "Standby Mode" are used as defined in the Energy Independence and Security Act (EISA) of 2007.
17. Multi-Outlet Assembly: A type of surface, flush, or freestanding raceway designed to hold conductors, receptacles, and switches, assembled in the field or at the factory.
18. Plenum: A compartment or chamber to which one or more air ducts are connected and that forms part of the air distribution system.
19. Receptacle: A fixed connecting device arranged for insertion of a power cord plug. Also called a power jack.
20. Receptacle Outlet: One or more receptacles mounted in a box with a suitable protective cover.
21. Sheath: A continuous metallic covering for conductors or cables.
22. UL Category Control Number (CCN): An alphabetic or alphanumeric code used to identify product categories covered by UL's Listing, Classification, and Recognition Services.
23. Voltage Class: For specified circuits and equipment, voltage classes are defined as follows:
 - a. Control Voltage: Having electromotive force between any two conductors, or between a single conductor and ground, that is supplied from a battery or other Class 2 or Class 3 power-limited source.
 - b. Line Voltage: (1) (controls) Designed to operate using the supplied low-voltage power without transformation. (2) (transmission lines, transformers, SPDs) The line-to-line voltage of the supplying power system.
 - c. Extra-Low Voltage (ELV): Not having electromotive force between any two conductors, or between a single conductor and ground, exceeding 30 V(ac rms), 42 V(ac peak), or 60 V(dc).
 - d. Low Voltage (LV): Having electromotive force between any two conductors, or between a single conductor and ground, that is rated above 30 V but not exceeding 1000 V.
 - e. Medium Voltage (MV): Having electromotive force between any two conductors, or between a single conductor and ground, that is rated about 1 kV but not exceeding 69 kV.
 - f. High Voltage: (1) (circuits) Having electromotive force between any two conductors, or between a single conductor and ground, that is rated above 69 kV but not exceeding 230 kV. (2) (safety) Having sufficient electromotive force to inflict bodily harm or injury.
24. Wire: In accordance with NIST NBS Circular 37 and IEEE standards, in the United States for the purpose of interstate commerce, the definition of "wire" is a slender rod or filament of drawn metal. A group of small wires used as a single wire is properly called a "stranded wire." A wire or stranded wire covered with insulation is properly called an "insulated wire" or a "single-conductor cable." Nevertheless, when the context indicates that the wire is insulated, the term "wire" will be understood to include the insulation.

1.4 COORDINATION

- A. Interruption of Existing Electrical Service: Do not interrupt electrical service to facilities occupied by City of New York unless permitted under the following conditions:

1. Notify Commissioner no fewer than seven days in advance of proposed interruption of electrical service.
 2. Do not proceed with interruption of electrical service without Commissioner's written permission.
 3. Coordinate interruption with systems impacted by outage including, but not limited to, the following:
 - a. Emergency lighting.
 - b. Fire-alarm systems.
- B. Arrange to provide temporary electrical service or power in accordance with requirements in DDC General Conditions, Section 015000 "Temporary Facilities, Services, and Controls."

1.5 PREINSTALLATION MEETINGS

- A. Electrical Preconstruction Conference: Schedule conference with Commissioner not later than 7 days after notice to proceed. Agenda topics include, but are not limited to, the following:
1. Electrical installation schedule.
 2. Status of power system studies.
 3. Utility work coordination and class of service requests.
 4. Commissioning activities.
 5. Sustainability activities.

1.6 SEQUENCING

- A. Conduct and submit results of power system studies before submitting Product Data and Shop Drawings for electrical equipment.

1.7 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.8 ACTION SUBMITTALS

- A. Coordination Drawings for Ceiling Areas: Where indicated on drawings, provide reflected ceiling plan(s), supplemented by sections and other details, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
1. Suspended ceiling components.
 2. Structural members to which equipment and suspension systems will be attached.
 3. Size and location of access panels on ceilings.
 4. Elevation, size, and route of plumbing piping.
 5. Elevation, size, and route of ductwork.
 6. Elevation, size, and route of cable tray.
 7. Elevation, size, and route of conduit.
 8. Elevation and size of wall-mounted and ceiling-mounted equipment.
 9. Access panels.

10. Air inlets and outlets.
11. Control modules.
12. Luminaires.
13. Communications devices.
14. Speakers.
15. Security devices.
16. Fire-alarm devices.
17. Indicate clear dimensions for maintenance access in front of equipment.
18. Indicate dimensions of fully-open access doors.

B. Coordination Drawings for Conduit Routing: Conduit routing plans, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of items involved:

1. Structural members in paths of conduit groups with common supports.
2. HVAC and plumbing items and architectural features in paths of conduit groups with common supports.

1.9 INFORMATIONAL SUBMITTALS

A. Electrical Installation Schedule: At preconstruction meeting, and periodically thereafter as dates change, provide schedule for electrical installation Work to Commissioner including, but not limited to, milestone dates for the following activities:

1. Submission of action submittals specified in Division 26.
2. Preinstallation meetings specified in Division 26.
3. Closing of walls and ceilings containing electrical Work.
4. System startup, testing, and commissioning activities for major electrical equipment.
5. System startup, testing, and commissioning activities for emergency lighting.
6. System startup, testing, and commissioning activities for automation systems (SCADA, BMS, lighting, HVAC, fire alarm, fire pump, etc.).

B. Welding certificates.

C. Qualification Statements:

1. For welder.

1.10 CLOSEOUT SUBMITTALS

A. In addition to requirements per DDC General Conditions Section 017700 "Closeout Procedures", provide the following items:

1. Operation and Maintenance Data:

- a. Provide emergency operation, normal operation, and preventive maintenance manuals for each specified system, equipment, and device.
- b. Include the following information:

- 1) Manufacturer's operating specifications.

- 2) User's guides for software and hardware.
 - 3) Schedule of maintenance material items recommended to be stored at Project site.
 - 4) Detailed instructions covering operation under both normal and abnormal conditions.
 - 5) Time-current curves for overcurrent protective devices and manufacturer's written instructions for testing and adjusting their settings.
 - 6) List of load-current and overload-relay heaters with related motor nameplate data.
 - 7) List of lamp types and photoelectric relays used on Project, with ANSI and manufacturers' codes.
 - 8) Manufacturer's instructions for setting field-adjustable components.
 - 9) Manufacturer's instructions for testing, adjusting, and reprogramming microprocessor controls.
 - 10) EPSS: Manufacturer's system checklists, maintenance schedule, and maintenance log sheets in accordance with NFPA 110.
2. Software and Firmware Operational Documentation: Provide software and firmware operational documentation, including the following:
- a. Software operating and upgrade manuals.
 - b. Names, versions, and website addresses for locations of installed software.
 - c. Device address list.
 - d. Printout of software application and graphic screens.
 - e. Testing and adjusting of panic and emergency power features.
 - f. For lighting controls include the following:
 - 1) Adjustments of scene preset controls, adjustable fade rates, and fade overrides.
 - 2) Operation of adjustable zone controls.

1.11 QUALIFICATIONS

- A. Welder: Installer possessing active qualifications specified in DDC General Conditions Section 014000 "Quality Requirements," with training and certification in accordance with AWS D1.1/D1.1M and AWS D1.2/D1.2M.

1.12 FIELD CONDITIONS

- A. Modeling, analysis, product selection, installation, and quality control for Work specified in Division 26 must comply with requirements specified in Section 260011 "Facility Performance Requirements for Electrical."

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION OF ELECTRICAL WORK

- A. Unless more stringent requirements are specified in the Contract Documents or manufacturers' written instructions, comply with NFPA 70 and NECA NEIS 1 for installation of Work specified in Division 26. Consult Commissioner for resolution of conflicting requirements.

3.3 CLOSEOUT ACTIVITIES

- A. Demonstration:
1. With assistance from factory-authorized service representatives, demonstrate to City of New York's personnel how to operate the following systems and equipment:
 - a. Lighting control devices specified in Section 260923 "Lighting Control Devices."
- B. Instruction:With assistance from factory-authorized service representatives, instruct City of New York's personnel on the following topics:
1. How to adjust and operate devices specified in Section 260923 "Lighting Control Devices."
 2. How to adjust and operate devices specified in Section 264313 "Surge Protective Devices for Low-Voltage Electrical Power Circuits."
 3. How to adjust and operate luminaires specified in Section 265619 "LED Exterior Lighting."

END OF SECTION 260010

SECTION 260011 - FACILITY PERFORMANCE REQUIREMENTS FOR ELECTRICAL

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
 - 1. Field conditions and other facility performance requirements applicable to Work specified in Division 26.

1.3 FIELD CONDITIONS

- A. Altitude:
 - 1. Sea level to 33 ft.
- B. Temperature Variation: Allow for thermal movements from the following differential temperatures:
 - 1. Ambient Temperature Differential: 120 deg F.
 - 2. Material Surface Temperature Differential: 180 deg F.
- C. Ground Water:
 - 1. Assume ground-water level is 36 inch below ground surface unless a higher water table is indicated on Drawings.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 260011

SECTION 260519 - LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Copper building wire.
2. Metal-clad cable, Type MC.
3. Armored cable, Type AC.
4. Fire-alarm wire and cable.
5. Connectors and splices.

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements for Electrical" for field conditions applicable to Work specified in this Section.
3. Section 260523 "Control-Voltage Electrical Power Cables" for control systems communications cables and Classes 1, 2, and 3 control cables.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

A. Product Data:

1. Copper building wire.
2. Metal-clad cable, Type MC.
3. Armored cable, Type AC.
4. Fire-alarm wire and cable.
5. Connectors and splices.

B. Sustainable Design Submittals:

1. Product Data: For recycled content, indicating postconsumer and preconsumer recycled content and cost.
2. Product Data: For solvents and adhesives, indicating VOC content.

C. Product Schedule: Indicate type, use, location, and termination locations.

1.5 INFORMATIONAL SUBMITTALS

A. Field quality-control reports.

1.6 QUALITY ASSURANCE

A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 COPPER BUILDING WIRE

A. Description: Flexible, insulated and uninsulated, drawn copper current-carrying conductor with an overall insulation layer or jacket, or both, rated 600 V or less.

B. Standards:

1. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
2. Conductor and Cable Marking: Comply with wire and cable marking according to UL's "Wire and Cable Marking and Application Guide."

C. Conductors: Copper, complying with ASTM B3 for bare annealed copper and with ASTM B8 for stranded conductors.

D. Conductor Insulation:

1. Type THHN and Type THWN-2. Comply with UL 83.

2.2 METAL-CLAD CABLE, TYPE MC

A. Description: A factory assembly of one or more current-carrying insulated conductors in an overall metallic sheath.

B. Standards:

1. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
2. Comply with UL 1569.

3. Conductor and Cable Marking: Comply with wire and cable marking according to UL's "Wire and Cable Marking and Application Guide."

C. Circuits:

1. Single circuit and multicircuit with color-coded conductors.
2. Power-Limited Fire-Alarm Circuits: Comply with UL 1424.

- D. Conductors: Copper, complying with ASTM B3 for bare annealed copper and with ASTM B8 for stranded conductors.

E. Conductor Insulation:

1. Type TFN/THHN/THWN-2. Comply with UL 83.

- F. Armor: Steel, interlocked.

- G. Jacket: PVC applied over armor.

2.3 ARMORED CABLE, TYPE AC

- A. Description: A factory assembly of insulated current-carrying conductors with or without an equipment grounding conductor in an overall metallic sheath.

B. Standards:

1. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
2. Comply with UL 4.
3. Conductor and Cable Marking: Comply with wire and cable marking according to UL's "Wire and Cable Marking and Application Guide."

C. Circuits:

1. Single circuit and multicircuit with color-coded conductors.
2. Power-Limited Fire-Alarm Circuits: Comply with UL 1424.

- D. Conductors: Copper, complying with ASTM B3 for bare annealed copper and with ASTM B8 for stranded conductors.

- E. Conductor Insulation: Type THHN/THWN-2. Comply with UL 83.

- F. Armor: Steel, interlocked.

2.4 FIRE-ALARM WIRE AND CABLE

- A. General Wire and Cable Requirements: NRTL listed and labeled as complying with NFPA 70, Article 760.

1. Lead Content: Less than 300 parts per million.
- B. Signaling Line Circuits: Twisted, shielded pair, size as recommended by system manufacturer.
 1. Circuit Integrity Cable: Twisted shielded pair, NFPA 70, Article 760, Classification CI, for power-limited fire-alarm signal service Type FPL. NRTL listed and labeled as complying with UL 1424 and UL 2196 for a two-hour rating.
- C. Non-Power-Limited Circuits: Solid-copper conductors with 600 V rated, 75 deg C, color-coded insulation, and complying with requirements in UL 2196 for a two-hour rating.
 1. Low-Voltage Circuits: No. 16 AWG, minimum, in pathway.
 2. Line-Voltage Circuits: No. 12 AWG, minimum, in pathway.
 3. Multiconductor Armored Cable: NFPA 70, Type MC, copper conductors, Type TFN/THHN conductor insulation, copper drain wire, copper armor with outer jacket with red identifier stripe, NTRL listed for fire-alarm and cable tray installation, plenum rated.

2.5 CONNECTORS AND SPLICES

- A. Description: Factory-fabricated connectors, splices, and lugs of size, ampacity rating, material, type, and class for application and service indicated; listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
- B. Jacketed Cable Connectors: For steel and aluminum jacketed cables, zinc die-cast with set screws, designed to connect conductors specified in this Section.
- C. Lugs: One piece, seamless, designed to terminate conductors specified in this Section.
 1. Material: Copper.
 2. Type: One hole with standard barrels.
 3. Termination: Compression.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 CONDUCTOR MATERIAL APPLICATIONS

- A. Feeders:
 1. Copper; solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.
 2. Copper for feeders smaller than No. 4 AWG; copper or aluminum for feeders No. 4 AWG and larger. Conductors must be solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.

B. Branch Circuits:

1. Copper. Solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.
2. Copper. Solid for No. 12 AWG and smaller; stranded for No. 10 AWG and larger.

C. ASD Output Circuits Cable: Extra-flexible stranded for all sizes.

D. Power-Limited Fire Alarm and Control: Solid for No. 12 AWG and smaller.

3.3 CONDUCTOR INSULATION AND MULTICONDUCTOR CABLE APPLICATIONS AND WIRING METHODS

A. Service Entrance: Type THHN/THWN-2, single conductors in raceway.

B. Exposed Feeders: Type THHN/THWN-2, single conductors in raceway.

C. Feeders Concealed in Ceilings, Walls, Partitions, and Crawlspace: Type THHN/THWN-2, single conductors in raceway.

D. Feeders Concealed in Concrete, below Slabs-on-Grade, and Underground: Type THHN/THWN-2, single conductors in raceway.

E. Feeders Installed below Raised Flooring: Type THHN/THWN-2, single conductors in raceway.

F. Feeders in Cable Tray: Type THHN/THWN-2, single conductors in raceway.

G. Exposed Branch Circuits, Including in Crawlspace: Type THHN/THWN-2, single.

H. Branch Circuits Concealed in Ceilings, Walls, and Partitions: Type THHN/THWN-2, single conductors in raceway.

I. Branch Circuits Concealed in Concrete, below Slabs-on-Grade, and Underground: Type THHN/THWN-2, single conductors in raceway.

J. Branch Circuits Installed below Raised Flooring: Type THHN/THWN-2, single conductors in raceway.

K. Branch Circuits in Cable Tray: Type THHN/THWN-2, single conductors in raceway.

3.4 INSTALLATION, GENERAL

A. Conceal cables in finished walls, ceilings, and floors unless otherwise indicated.

B. Complete raceway installation between conductor and cable termination points in accordance with Section 260533.13 "Conduits for Electrical Systems" prior to pulling conductors and cables.

- C. Use manufacturer-approved pulling compound or lubricant where necessary; compound used must not deteriorate conductor or insulation. Do not exceed manufacturer's recommended maximum pulling tensions and sidewall pressure values.
- D. Use pulling means, including fish tape, cable, rope, and basket-weave wire/cable grips, that will not damage cables or raceway.
- E. Install exposed cables parallel and perpendicular to surfaces of exposed structural members, and follow surface contours where possible.
- F. Support cables according to Section 260529 "Hangers and Supports for Electrical Systems."
- G. Complete cable tray systems installation according to Section 260536 "Cable Trays for Electrical Systems" prior to installing conductors and cables.

3.5 INSTALLATION OF FIRE-ALARM WIRE AND CABLE

- A. Comply with NFPA 72.
- B. Wiring Method: Install wiring in metal pathway according to Section 260529 "Hangers and Supports for Electrical Systems."
 - 1. Install plenum cable in environmental airspaces, including plenum ceilings.
 - 2. Fire-alarm circuits and equipment control wiring associated with fire-alarm system must be installed in a dedicated pathway system.
 - a. Cables and pathways used for fire-alarm circuits, and equipment control wiring associated with fire-alarm system, may not contain any other wire or cable.
 - 3. Fire-Rated Cables: Use of two-hour, fire-rated fire-alarm cables, NFPA 70, Types MI and CI, is permitted.
 - 4. Signaling Line Circuits: Power-limited fire-alarm cables may be installed in the same cable or pathway as signaling line circuits.
- C. Wiring within Enclosures: Separate power-limited and non-power-limited conductors as recommended by manufacturer. Install conductors parallel with or at right angles to sides and back of the enclosure. Bundle, lace, and train conductors to terminal points with no excess. Connect conductors that are terminated, spliced, or interrupted in any enclosure associated with fire-alarm system to terminal blocks. Mark each terminal according to system's wiring diagrams. Make all connections with approved crimp-on terminal spade lugs, pressure-type terminal blocks, or plug connectors.
- D. Cable Taps: Use numbered terminal strips in junction, pull, and outlet boxes; cabinets; or equipment enclosures where circuit connections are made.
- E. Color-Coding: Color-code fire-alarm conductors differently from the normal building power wiring. Use one color-code for alarm circuit wiring and another for supervisory circuits. Color-code audible alarm-indicating circuits differently from alarm-initiating circuits. Use different colors for visible alarm-indicating devices. Paint fire-alarm system junction boxes and covers red.

- F. Risers: Install at least two vertical cable risers to serve the fire-alarm system. Separate risers in close proximity to each other with a minimum one-hour-rated wall, so the loss of one riser does not prevent receipt or transmission of signals from other floors or zones.
- G. Wiring to Remote Alarm Transmitting Device: 1 inch conduit between the fire-alarm control panel and the transmitter. Install number of conductors and electrical supervision for connecting wiring as needed to suit monitoring function.

3.6 CONNECTIONS

- A. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A-486B.
- B. Make splices, terminations, and taps that are compatible with conductor material
- C. Wiring at Outlets: Install conductor at each outlet, with at least 12 inch of slack.
- D. Comply with requirements in Section 284621.11 "Addressable Fire-Alarm Systems" for connecting, terminating, and identifying wires and cables.

3.7 IDENTIFICATION

- A. Identify and color-code conductors and cables according to Section 260553 "Identification for Electrical Systems."
- B. Identify each spare conductor at each end with identity number and location of other end of conductor, and identify as spare conductor.

3.8 SLEEVE AND SLEEVE-SEAL INSTALLATION FOR ELECTRICAL PENETRATIONS

- A. Install sleeves and sleeve seals at penetrations of exterior floor and wall assemblies. Comply with requirements in Section 260544 "Sleeves and Sleeve Seals for Electrical Raceways and Cabling."

3.9 FIRESTOPPING

- A. Apply firestopping to electrical penetrations of fire-rated floor and wall assemblies to restore original fire-resistance rating of assembly according to Section 078413 "Penetration Firestopping."

3.10 FIELD QUALITY CONTROL

- A. Tests and Inspections:
 - 1. After installing conductors and cables and before electrical circuitry has been energized, test service entrance and feeder conductors for compliance with requirements.

2. After installing conductors and cables and before electrical circuitry has been energized, test service entrance and feeder conductors and conductors feeding the following critical equipment and services for compliance with requirements:
3. Perform each of the following visual and electrical tests:
 - a. Inspect exposed sections of conductor and cable for physical damage and correct connection according to the single-line diagram.
 - b. Test bolted connections for high resistance using one of the following:
 - 1) A low-resistance ohmmeter.
 - 2) Calibrated torque wrench.
 - 3) Thermographic survey.
 - c. Inspect compression-applied connectors for correct cable match and indentation.
 - d. Inspect for correct identification.
 - e. Inspect cable jacket and condition.
 - f. Insulation-resistance test on each conductor for ground and adjacent conductors. Apply a potential of 500 V(dc) for 300 V rated cable and 1000 V(dc) for 600 V rated cable for a one-minute duration.
 - g. Continuity test on each conductor and cable.
 - h. Uniform resistance of parallel conductors.
- B. Cables will be considered defective if they do not pass tests and inspections.
- C. Prepare test and inspection reports to record the following:
 1. Procedures used.
 2. Results that comply with requirements.
 3. Results that do not comply with requirements, and corrective action taken to achieve compliance with requirements.

END OF SECTION 260519

SECTION 260523 - CONTROL-VOLTAGE ELECTRICAL POWER CABLES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Backboards.
2. Category 5e balanced twisted pair cable.
3. Category 6 balanced twisted pair cable.
4. RS-232 cable.
5. RS-485 cable.
6. Control cable.
7. Control-circuit conductors.
8. Fire-alarm wire and cable.

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements for Electrical" for field conditions applicable to Work specified in this Section.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

A. Product Data for the following:

1. Backboards.
2. Category 5e balanced twisted pair cable.
3. Category 6 balanced twisted pair cable.
4. RS-232 cable.
5. RS-485 cable.
6. Control cable.

7. Control-circuit conductors.
8. Fire-alarm wire and cable.

B. Sustainable Design Submittals:

1. Product Data: For each conductor and cable indicating lead content.

1.5 INFORMATIONAL SUBMITTALS

- A. Field quality-control reports.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

2.2 BACKBOARDS

- A. Description: Plywood, fire-retardant treated, 3/4 by 48 by 96 inch. Comply with requirements for plywood backing panels in Section 061000 "Rough Carpentry."
- B. Painting: Comply with requirements in Section 099124 "Interior Painting (MPI Standards)."

2.3 CATEGORY 5e BALANCED TWISTED PAIR CABLE

- A. Description: Four-pair, balanced-twisted pair cable, certified to meet transmission characteristics of Category 5e cable at frequencies up to 100 MHz.
- B. Standard: Comply with ICEA S-90-661, NEMA WC 63.1, and TIA-568-C.2 for Category 5e cables.
- C. Conductors: 100 ohm, No. 24 AWG solid copper.
- D. Shielding/Screening: Screened and shielded twisted pairs (F/FTP).
- E. Cable Rating: Plenum.
- F. Jacket: White thermoplastic.

2.4 CATEGORY 6 BALANCED TWISTED PAIR CABLE

- A. Description: Four-pair, balanced-twisted pair cable, with internal spline, certified to meet transmission characteristics of Category 6 cable at frequencies up to 250 MHz.
- B. Standard: Comply with NEMA WC 66/ICEA S-116-732 and TIA-568-C.2 for Category 6 cables.
- C. Conductors: 100 ohm, No. 23 AWG solid copper.
 - 1. Lead Content: Less than 300 parts per million.
- D. Shielding/Screening: Screened and shielded twisted pairs (F/FTP).
- E. Cable Rating: Plenum.
- F. Jacket: White thermoplastic.

2.5 RS-232 CABLE

- A. PVC-Jacketed, TIA 232-F:
 - 1. Nine, No. 22 AWG, stranded (7x30) tinned copper conductors.
 - 2. Lead Content: Less than 300 parts per million.
 - 3. Polypropylene insulation.
 - 4. Aluminum foil-polyester tape shield with 100 percent shield coverage.
 - 5. PVC jacket.
 - 6. Conductors are cabled on common axis with No. 24 AWG, stranded (7x32) tinned copper drain wire.
 - 7. NFPA 70 Type: Type CM.
 - 8. Flame Resistance: Comply with UL 1581.
- B. Plenum-Type, TIA 232-F:
 - 1. Nine, No. 22 AWG, stranded (7x30) tinned copper conductors.
 - 2. Lead Content: Less than 300 parts per million.
 - 3. PE insulation.
 - 4. Aluminum foil-polyester tape shield with 100 percent shield coverage.
 - 5. Fluorinated ethylene propylene jacket.
 - 6. Conductors are cabled on common axis with No. 24 AWG, stranded (7x32) tinned copper drain wire.
 - 7. Flame Resistance: Comply with NFPA 262.

2.6 RS-485 CABLE

- A. Standard Cable: NFPA 70, Type CMG.
 - 1. Paired, twisted, No. 22 AWG, stranded (7x30) tinned-copper conductors.
 - 2. PVC insulation.
 - 3. Unshielded.

4. PVC jacket.
5. Flame Resistance: Comply with UL 1685.
6. Lead Content: Less than 300 parts per million.

B. Plenum-Rated Cable: NFPA 70, Type CMP.

1. Paired, No. 22 AWG, stranded (7x30) tinned-copper conductors.
2. Fluorinated ethylene propylene insulation.
3. Unshielded.
4. Fluorinated ethylene propylene jacket.
5. Flame Resistance: NFPA 262.
6. Lead Content: Less than 300 parts per million.

2.7 CONTROL CABLE

A. Paired Cable: NFPA 70, Type CMG.

1. One pair, twisted, No. 16 AWG, stranded (19x29) tinned-copper conductors.
2. PVC insulation.
3. Unshielded.
4. PVC jacket.
5. Flame Resistance: Comply with UL 1685.
6. Lead Content: Less than 300 parts per million.

B. Plenum-Rated, Paired Cable: NFPA 70, Type CMP.

1. One pair, twisted, No. 16 AWG, stranded (19x29) tinned-copper conductors.
2. PVC insulation.
3. Unshielded.
4. PVC jacket.
5. Flame Resistance: Comply with NFPA 262.
6. Lead Content: Less than 300 parts per million.

2.8 CONTROL-CIRCUIT CONDUCTORS

A. Class 1 Control Circuits: Stranded copper, Type THHN/THWN-2, complying with UL 83 in raceway.

B. Class 2 Control Circuits: Stranded copper, Type THHN/THWN-2, complying with UL 83 in raceway.

C. Class 3 Remote-Control and Signal Circuits: Stranded copper, Type THHN/THWN-2, complying with UL 83 in raceway.

D. Class 2 Control Circuits and Class 3 Remote-Control and Signal Circuits That Supply Critical Circuits: Circuit Integrity (CI) cable.

1. Smoke control signaling and control circuits.

2.9 FIRE-ALARM WIRE AND CABLE

- A. General Wire and Cable Requirements: NRTL listed and labeled as complying with NFPA 70, Article 760.
 - 1. Lead Content: Less than 300 parts per million.
- B. Signaling Line Circuits: Twisted, shielded pair, not less than No. 16 AWG.
 - 1. Circuit Integrity Cable: Twisted shielded pair, NFPA 70, Article 760, Classification CI, for power-limited fire-alarm signal service Type FPL. NRTL listed and labeled as complying with UL 1424 and UL 2196 for a two-hour rating.
- C. Non-Power-Limited Circuits: Solid-copper conductors with 600 V rated, 75 deg C, color-coded insulation, and complying with requirements in UL 2196 for a two-hour rating.
 - 1. Control-Voltage Circuits: No. 16 AWG, minimum, in pathway.
 - 2. Low-Voltage Circuits: No. 12 AWG, minimum, in pathway.
 - 3. Multiconductor Armored Cable: NFPA 70, Type MC, copper conductors, Type TFN/THHN conductor insulation, copper drain wire, copper armor with outer jacket with red identifier stripe, NRTL listed for fire-alarm and cable tray installation, plenum rated.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Test cables on receipt at Project site.
 - 1. Test each pair of twisted pair cable for open and short circuits.

3.3 INSTALLATION OF RACEWAYS AND BOXES

- A. Comply with requirements in Section 260533.13 "Conduits for Electrical Systems" for raceway selection and installation requirements for conduits as supplemented or modified in this Section.
- B. Comply with requirements in Section 260533.23 "Surface Raceways for Electrical Systems" for raceway selection and installation requirements for wireways as supplemented or modified in this Section.
- C. Comply with requirements in Section 260533.16 "Boxes and Covers for Electrical Systems" for raceway selection and installation requirements for boxes as supplemented or modified in this Section.
 - 1. Outlet boxes must be no smaller than 2 inch wide, 3 inch high, and 2-1/2 inch deep.

- D. Install manufactured conduit sweeps and long-radius elbows if possible.
- E. Raceway Installation in Equipment Rooms:
 - 1. Position conduit ends adjacent to a corner on backboard if a single piece of plywood is installed, or in the corner of the room if multiple sheets of plywood are installed around perimeter walls of the room.
 - 2. Install cable trays to route cables if conduits cannot be located in these positions.
 - 3. Secure conduits to backboard if entering the room from overhead.
 - 4. Extend conduits 3 inch above finished floor.
 - 5. Install metal conduits with grounding bushings and connect with grounding conductor to grounding system.
- F. Backboards: Install backboards with 96 inch dimension vertical. Butt adjacent sheets tightly and form smooth gap-free corners and joints.

3.4 INSTALLATION OF CONDUCTORS AND CABLES

- A. Comply with NECA 1.
- B. General Requirements for Cabling:
 - 1. Comply with TIA-568-C Series of standards.
 - 2. Terminate all conductors; cable must not contain unterminated elements. Make terminations only at indicated outlets, terminals, and cross-connect and patch panels.
 - 3. Cables may not be spliced and must be continuous from terminal to terminal. Do not splice cable between termination, tap, or junction points.
 - 4. Cables serving a common system may be grouped in a common raceway. Install network cabling and control wiring and cable in separate raceway from power wiring. Do not group conductors from different systems or different voltages.
 - 5. Secure and support cables at intervals not exceeding 30 inch and not more than 6 inch from cabinets, boxes, fittings, outlets, racks, frames, and terminals.
 - 6. Bundle, lace, and train conductors to terminal points without exceeding manufacturer's limitations on bending radii, but not less than radii specified in BICSI ITSIMM, Ch. 5, "Copper Structured Cabling Systems." Install lacing bars and distribution spools.
 - 7. Do not install bruised, kinked, scored, deformed, or abraded cable. Remove and discard cable if damaged during installation and replace it with new cable.
 - 8. Cold-Weather Installation: Bring cable to room temperature before dereeling. Do not use heat lamps for heating.
 - 9. Pulling Cable: Comply with BICSI ITSIMM, Ch. 5, "Copper Structured Cabling Systems." Monitor cable pull tensions.
 - 10. Support: Do not allow cables to lie on removable ceiling tiles.
 - 11. Secure: Fasten securely in place with hardware specifically designed and installed so as to not damage cables.
 - 12. Provide strain relief.
 - 13. Keep runs short. Allow extra length for connecting to terminals. Do not bend cables in a radius less than 10 times the cable OD. Use sleeves or grommets to protect cables from vibration at points where they pass around sharp corners and through penetrations.

14. Ground wire must be copper, and grounding methods must comply with IEEE C2. Demonstrate ground resistance.

C. **Balanced Twisted Pair Cable Installation:**

1. Comply with TIA-568-C.2.
2. Install termination hardware. Do not untwist balanced twisted pair cables more than 1/2 inch at the point of termination to maintain cable geometry.

D. **Installation of Control-Circuit Conductors:**

1. Install wiring in raceways.
2. Use insulated spade lugs for wire and cable connection to screw terminals.

E. **Open-Cable Installation:**

1. Install cabling with horizontal and vertical cable guides in telecommunications spaces with terminating hardware and interconnection equipment.
2. Suspend copper cable not in a wireway or pathway a minimum of 8 inch above ceilings by cable supports not more than 30 inch apart.
3. Cable must not be run through or on structural members or in contact with pipes, ducts, or other potentially damaging items. Do not run cables between structural members and corrugated panels.

3.5 REMOVAL OF CONDUCTORS AND CABLES

- A. Remove abandoned conductors and cables. Abandoned conductors and cables are those installed that are not terminated at equipment and are not identified with a tag for future use.

3.6 CONTROL-CIRCUIT CONDUCTORS

A. **Minimum Conductor Sizes:**

1. Class 1 remote-control and signal circuits; No 14 AWG
2. Class 2 low-energy, remote-control, and signal circuits; No. 16 AWG.
3. Class 3 low-energy, remote-control, alarm, and signal circuits; No 12 AWG

3.7 FIRESTOPPING

- A. Comply with requirements in Section 078413 "Penetration Firestopping."
- B. Comply with TIA-569-D, Annex A, "Firestopping."
- C. Comply with BICSI TDMM, "Firestopping" Chapter.

3.8 GROUNDING

- A. For control-voltage wiring and cabling, comply with requirements in Section 260526 "Grounding and Bonding for Electrical Systems."

3.9 IDENTIFICATION

- A. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
- B. Identify data and communications system components, wiring, and cabling according to TIA-606-B; label printers must use label stocks, laminating adhesives, and inks complying with UL 969.
- C. Identify each wire on each end and at each terminal with a number-coded identification tag. Each wire must have a unique tag.

3.10 FIELD QUALITY CONTROL

- A. Tests and Inspections:
 - 1. Visually inspect cable jacket materials for UL or third-party certification markings. Inspect cabling terminations to confirm color-coding for pin assignments, and inspect cabling connections to confirm compliance with TIA-568-C.1.
 - 2. Visually inspect cable placement, cable termination, grounding and bonding, equipment and patch cords, and labeling of all components.
- B. End-to-end cabling will be considered defective if it does not pass tests and inspections.
- C. Prepare test and inspection reports.

END OF SECTION 260523

SECTION 260526 - GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Grounding and bonding conductors.
2. Grounding and bonding clamps.
3. Grounding and bonding bushings.
4. Grounding and bonding connectors.
5. Grounding and bonding busbars.

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements for Electrical" for field conditions applicable to Work specified in this Section.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

A. Product Data:

1. For each type of product indicated.

B. Shop Drawings: Plans showing dimensioned locations of grounding features described in "Field Quality Control" Article, including the following:

1. Test wells.
2. Rod electrodes.
3. Ring electrodes.
4. Grounding arrangements and connections for separately derived systems.

C. Field Quality-Control Submittals:

1. Field quality-control reports.

1.5 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data:

1. In addition to items specified in Section 260010 "Supplemental Requirements for Electrical," include the following:
 - a. Plans showing locations of grounding features described in "Field Quality Control" Article, including the following:
 - 1) Test wells.
 - 2) Rod electrodes.
 - 3) Ring electrodes.
 - 4) Grounding arrangements and connections for separately derived systems.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 GROUNDING AND BONDING CONDUCTORS

A. Equipment Grounding Conductor:

1. General Characteristics: 600 V, THHN/THWN-2 wire or cable, green color, in accordance with Section 260519 "Low-Voltage Electrical Power Conductors and Cables."

B. Isolated Equipment Grounding Conductor:

1. General Characteristics: 600 V, THHN/THWN-2 wire or cable, green color with one or more yellow stripes, in accordance with Section 260519 "Low-Voltage Electrical Power Conductors and Cables."

C. ASTM - Bare Copper Grounding and Bonding Conductor:

D. UL KDER - Armored Grounding Wire:

1. Description: Single corrosion-resistant copper, aluminum, or copper-clad aluminum conductor within helically formed steel armor.
2. Regulatory Requirements:

- a. Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory, and marked for intended location and application.
- 3. Listing Criteria:
 - a. Grounding and Bonding Equipment: UL CCN KDER; including UL 467.
- E. UL KDSH - Protector Grounding Conductor:
 - 1. Description: Conductors intended to be used for grounding primary protector or metallic members of cable sheath in accordance with Chapters 7 and 8 of NFPA 70.
 - 2. Regulatory Requirements:
 - a. Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory, and marked for intended location and application.
 - 3. Listing Criteria:
 - a. Grounding and Bonding Equipment for Communications: UL CCN KDSH; including UL 467.

2.2 GROUNDING AND BONDING CLAMPS

- A. Description: Clamps suitable for attachment of grounding and bonding conductors to grounding electrodes, pipes, tubing, and rebar. Grounding and bonding clamps specified in this article are also suitable for use with communications applications.
- B. Source Limitations: Obtain products from single manufacturer.
- C. Performance Criteria:
 - 1. Regulatory Requirements:
 - a. Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory, and marked for intended location and application.
 - 2. Listing Criteria:
 - a. Grounding and Bonding Equipment: UL CCN KDER; including UL 467.
 - b. Grounding and Bonding Equipment for Communications: UL CCN KDSH; including UL 467.
 - 3. Sustainability Characteristics:
 - a. Lead Content: Less than 300 parts per million.
- D. UL KDER and KDSH - Hex-Fitting-Type Pipe and Rod Grounding and Bonding Clamp:
 - 1. General Characteristics:

- a. Two pieces with stainless steel bolts.
 - b. Clamp Material: Die-cast zinc alloy.
 - c. Listed for outdoor use.
- E. UL KDER and KDSH - U-Bolt-Type Pipe and Rod Grounding and Bonding Clamp:
- 1. General Characteristics:
 - a. Clamp Material: Aluminum.
 - b. Listed for outdoor use.
- F. UL KDER and KDSH - Strap-Type Pipe and Rod Grounding and Bonding Clamp:
- 1. General Characteristics:
 - a. Clamp Material: Galvanized steel.
 - b. Listed for outdoor use.
- G. UL KDER - Beam Grounding and Bonding Clamp:
- 1. General Characteristics: Mechanical-type, terminal, ground wire access from four directions; with dual, tin-plated or silicon bronze bolts.

2.3 GROUNDING AND BONDING BUSHINGS

- A. Description: Bonding bushings connect conduit fittings, tubing fittings, threaded metal conduit, and unthreaded metal conduit to metal boxes and equipment enclosures, and have one or more bonding screws intended to provide electrical continuity between bushing and enclosure. Grounding bushings have provision for connection of bonding or grounding conductor and may or may not also have bonding screws.
- B. Source Limitations: Obtain products from single manufacturer.
- C. Performance Criteria:
 - 1. Regulatory Requirements:
 - a. Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory, and marked for intended location and application.
 - 2. Listing Criteria:
 - a. Grounding and Bonding Equipment: UL CCN KDER; including UL 467.
- D. UL KDER - Bonding Bushing :
 - 1. General Characteristics: Threaded bushing with insulated throat.
- E. UL KDER - Grounding Bushing :

1. General Characteristics: Threaded bushing with insulated throat and mechanical-type wire terminal.

2.4 GROUNDING AND BONDING CONNECTORS

- A. Source Limitations: Obtain products from single manufacturer.

- B. Performance Criteria:

1. Regulatory Requirements:

- a. Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory, and marked for intended location and application.

2. Listing Criteria:

- a. Grounding and Bonding Equipment: UL CCN KDER; including UL 467.
- b. Grounding and Bonding Equipment for Communications: UL CCN KDSH; including UL 467.

- C. UL KDER - Pressure-Type Grounding and Bonding Busbar Cable Connector:

1. General Characteristics: Copper or copper alloy, for compression bonding of one or more conductor directly to copper busbar. Listed for direct burial.

- D. UL KDER - Lay-In Lug Mechanical-Type Grounding and Bonding Busbar Terminal :

1. General Characteristics: Mechanical-type, copper rated for direct burial terminal with set screw.

- E. UL KDER - Crimped Pressure-Type Grounding and Bonding Cable Connector :

1. General Characteristics: Crimp-and-compress connectors that bond to conductor when connector is compressed around conductor.

- a. Copper, C and H shaped.

2.5 GROUNDING AND BONDING BUSBARS

- A. Description: Miscellaneous grounding and bonding device that serves as common connection for multiple grounding and bonding conductors.

- B. Source Limitations: Obtain products from single manufacturer.

- C. Performance Criteria:

1. Regulatory Requirements:

- a. Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory, and marked for intended location and application.

2. Listing Criteria:
 - a. Grounding and Bonding Equipment: UL CCN KDER; including UL 467.

D. UL KDER - Equipment Room Grounding and Bonding Busbar :

1. General Characteristics:
 - a. Bus: Rectangular bar of annealed copper.
 - b. Mounting Stand-Off Insulators: Lexan or PVC.
 - 1) Comply with UL 891 for use in 600 V switchboards, impulse tested at 5000 V.
2. Options:
 - a. Dimensions: 1/4 by 4 inch in cross section; length as indicated on Drawings.
 - b. Mounting Hardware: Stand-off brackets that provide 2 inch clearance to access rear of bus. Brackets and bolts must be stainless steel.

E. UL KDER - Rack and Cabinet Bonding Busbar :

1. General Characteristics:
 - a. Bus: Rectangular bar of hard-drawn solid copper.
 - b. Horizontal Mounting Dimensions: Designed for mounting in 23 inch wide equipment racks or cabinets.
 - c. Vertical Mounting Dimensions: Designed for mounting in 72 inch high equipment racks or cabinets.
 - d. Predrilled Hole Pattern: Accepts connectors for grounding and bonding conductor sizes 14 AWG to 2/0 AWG.
 - e. Mounting Hardware: Stainless steel or copper-plated, for attachment to rack.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 SELECTION OF BUSBARS

- A. Grounding Bus: Install in electrical equipment rooms, in rooms housing service equipment, and elsewhere as indicated.
 1. Install bus horizontally, on insulated spacers 2 inch minimum from wall, 6 inch above finished floor unless otherwise indicated.
 2. Where indicated on both sides of doorways, route bus up to top of door frame, across top of doorway, and down; connect to horizontal bus.

3.3 SELECTION OF GROUNDING AND BONDING CONDUCTORS

- A. Conductors: Install solid conductor for 8 AWG and smaller, and stranded conductors for 6 AWG and larger unless otherwise indicated.
- B. Custom-Length Insulated Equipment Bonding Jumpers: 6 AWG, 19-strand, Type THHN.
- C. Bonding Cable: 28 kcmil, 14 strands of 17 AWG conductor, 1/4 inch in diameter.
- D. Bonding Conductor: 4 AWG or 6 AWG, stranded conductor.
- E. Bonding Jumper: Copper tape, braided conductors terminated with copper ferrules; 1-5/8 inch wide and 1/16 inch thick.
- F. Tinned Bonding Jumper: Tinned-copper tape, braided conductors terminated with copper ferrules; 1-5/8 inch wide and 1/16 inch thick.
- G. Underground Grounding Conductors: Install bare copper conductor, 2/0 AWG minimum.
 - 1. Bury at least 30 inch below grade.
 - 2. Duct-Bank Grounding Conductor: Bury 12 inch above duct bank when indicated as part of duct-bank installation.

3.4 SELECTION OF CONNECTORS

- A. Conductor Terminations and Connections:
 - 1. Pipe and Equipment Grounding Conductor Terminations: Bolted connectors.
 - 2. Underground Connections: Welded connectors except at test wells and as otherwise indicated.
 - 3. Connections to Ground Rods at Test Wells: Bolted connectors.
 - 4. Connections to Structural Steel: Welded connectors.

3.5 INSTALLATION

- A. Comply with manufacturer's published instructions.
- B. Reference Standards:
 - 1. Ground Bonding Common with Lightning Protection System: Comply with NFPA 780 and UL 96 when interconnecting with lightning protection system. Bond electrical power system ground directly to lightning protection system grounding conductor at closest point to electrical service grounding electrode. Use bonding conductor sized same as system grounding electrode conductor, and install in conduit.
 - 2. Consult Commissioner for resolution of conflicting requirements.
- C. Special Techniques:

1. Conductors:
 - a. Route along shortest and straightest paths possible unless otherwise indicated or required by Code. Avoid obstructing access or placing conductors where they may be subjected to strain, impact, or damage.
2. Connections: Make connections so possibility of galvanic action or electrolysis is minimized. Select connectors, connection hardware, conductors, and connection methods so metals in direct contact are galvanically compatible.
 - a. Use electroplated or hot-tin-coated materials to ensure high conductivity and to make contact points closer in order of galvanic series.
 - b. Make connections with clean, bare metal at points of contact.
 - c. Make aluminum-to-steel connections with stainless steel separators and mechanical clamps.
 - d. Make aluminum-to-galvanized-steel connections with tin-plated copper jumpers and mechanical clamps.
 - e. Coat and seal connections having dissimilar metals with inert material to prevent future penetration of moisture to contact surfaces.
 - f. Bonding Straps and Jumpers: Install in locations accessible for inspection and maintenance except where routed through short lengths of conduit.
 - 1) Bonding to Structure: Bond straps directly to basic structure, taking care not to penetrate adjacent parts.
 - 2) Bonding to Equipment Mounted on Vibration Isolation Hangers and Supports: Install bonding so vibration is not transmitted to rigidly mounted equipment.
 - 3) Use exothermic-welded connectors for outdoor locations; if disconnect-type connection is required, use bolted clamp.
 - g. Grounding and Bonding for Piping:
 - 1) Metal Water Service Pipe: Install insulated copper grounding conductors, in conduit, from building's main service equipment, or grounding bus, to main metal water service entrances to building. Connect grounding conductors to main metal water service pipes; use bolted clamp connector or bolt lug-type connector to pipe flange by using one of lug bolts of flange. Where dielectric main water fitting is installed, connect grounding conductor on street side of fitting. Bond metal grounding conductor conduit or sleeve to conductor at each end.
 - 2) Water Meter Piping: Use braided-type bonding jumpers to electrically bypass water meters. Connect to pipe with bolted connector.
 - 3) Bond each aboveground portion of gas piping system downstream from equipment shutoff valve.
 - h. Grounding for Steel Building Structure: Install driven ground rod at base of each corner column and at intermediate exterior columns at distances not more than 60 ft apart.
3. Electrodes:
 - a. Ground Rods: Drive rods until tops are 2 inch below finished floor or final grade unless otherwise indicated.

- 1) Interconnect ground rods with grounding electrode conductor below grade and as otherwise indicated. Make connections without exposing steel or damaging coating if any.
- 2) Use exothermic welds for below-grade connections.
- b. For grounding electrode system, install at least three rods spaced at least one-rod length from each other and located at least same distance from other grounding electrodes, and connect to service grounding electrode conductor.
4. Grounding at Service:
 - a. Equipment grounding conductors and grounding electrode conductors must be connected to ground bus. Install main bonding jumper between neutral and ground buses.
5. Equipment Grounding:
 - a. Install insulated equipment grounding conductors with the following items, in addition to those required by NFPA 70:
 - 1) Feeders and branch circuits.
 - 2) Lighting circuits.
 - 3) Receptacle circuits.
 - 4) Single-phase motor and appliance branch circuits.
 - 5) Three-phase motor and appliance branch circuits.
 - 6) Flexible raceway runs.
 - 7) Armored and metal-clad cable runs.
 - 8) Busway Supply Circuits: Install insulated equipment grounding conductor from grounding bus in switchgear, switchboard, or distribution panel to equipment grounding bar terminal on busway.
 - b. Water Heater, Heat-Tracing, and Antifrost Heating Cables: Install separate insulated equipment grounding conductor to each electric water heater and heat-tracing cable. Bond conductor to heater units, piping, connected equipment, and components.
 - c. Poles Supporting Outdoor Lighting Fixtures: Install grounding electrode and separate insulated equipment grounding conductor in addition to grounding conductor installed with branch-circuit conductors.
 - d. Grounding Method: At each grounding location, drive grounding rod vertically until top is 6 inch below finished grade. Connect rod to fence with 6 AWG conductor. Connect conductor to each fence component at grounding location.

3.6 FIELD QUALITY CONTROL

- A. Field tests and inspections must be witnessed by Commissioner
- B. Tests and Inspections:
 1. After installing grounding system but before permanent electrical circuits have been energized, test for compliance with requirements.

2. Inspect physical and mechanical condition. Verify tightness of accessible, bolted, electrical connections with calibrated torque wrench in accordance with manufacturer's published instructions.
3. Test completed grounding system at each location where maximum ground-resistance level is specified, at service disconnect enclosure grounding terminal at ground test wells and at individual ground rods. Make tests at ground rods before conductors are connected.
 - a. Measure ground resistance no fewer than two full days after last trace of precipitation and without soil being moistened by means other than natural drainage or seepage and without chemical treatment or other artificial means of reducing natural ground resistance.
 - b. Perform tests by fall-of-potential method in accordance with IEEE Std 81.
 - c. Excessive Ground Resistance: If resistance to ground exceeds specified values, notify Commissioner promptly and include recommendations to reduce ground resistance.

3.7 PROTECTION

- A. After installation, protect grounding and bonding cables and equipment from construction activities. Remove and replace items that are contaminated, defaced, damaged, or otherwise caused to be unfit for use prior to acceptance by Commissioner.

END OF SECTION 260526

SECTION 260529 - HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
1. Support, anchorage, and attachment components.
- B. Related Requirements:
1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
 2. Section 260011 "Facility Performance Requirements for Electrical" for field conditions applicable to Work specified in this Section.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data:
1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for the following:
 - a. Clamps.
 - b. Hangers.
 - c. Sockets.
 - d. Fasteners.
 - e. Anchors.
 - f. Brackets.
- B. Shop Drawings: For fabrication and installation details for electrical hangers and support systems.
1. Hangers. Include product data for components.
 2. Slotted support systems.

3. Equipment supports.

1.5 INFORMATIONAL SUBMITTALS

- A. Welding certificates.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 SUPPORT, ANCHORAGE, AND ATTACHMENT COMPONENTS

- A. Steel Slotted Support Systems: Preformed steel channels and angles with minimum 13/32 inch diameter holes at a maximum of 8 inch on center in at least one surface.
 1. Standard: Comply with MFMA-4 factory-fabricated components for field assembly.
 2. Material for Channel, Fittings, and Accessories: Galvanized steel.
 3. Channel Width: Selected for applicable load criteria.
 4. Metallic Coatings: Hot-dip galvanized after fabrication and applied according to MFMA-4.
 5. Nonmetallic Coatings: Manufacturer's standard PVC, polyurethane, or polyester coating applied according to MFMA-4.
 6. Painted Coatings: Manufacturer's standard painted coating applied according to MFMA-4.
 7. Protect finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
 8. Rated Strength: Selected to suit applicable load criteria.
 9. Protect finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
- B. Conduit and Cable Support Devices Stainless steel hangers, clamps, and associated fittings, designed for types and sizes of raceway or cable to be supported.
- C. Support for Conductors in Vertical Conduit: Factory-fabricated assembly consisting of threaded body and insulating wedging plug or plugs for nonarmored electrical conductors or cables in riser conduits. Plugs must have number, size, and shape of conductor gripping pieces as required to suit individual conductors or cables supported. Body must be made of malleable iron.
- D. Structural Steel for Fabricated Supports and Restraints: ASTM A36/A36M steel plates, shapes, and bars; black and galvanized.
- E. Mounting, Anchoring, and Attachment Components: Items for fastening electrical items or their supports to building surfaces include the following:
 1. Powder-Actuated Fasteners: Threaded-steel stud, for use in hardened portland cement concrete, steel, or wood, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.

2. Mechanical-Expansion Anchors: Insert-wedge-type, stainless steel, for use in hardened portland cement concrete, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.
3. Concrete Inserts: Steel or malleable-iron, slotted support system units are similar to MSS Type 18 units and comply with MFMA-4 or MSS SP-58.
4. Clamps for Attachment to Steel Structural Elements: MSS SP-58 units are suitable for attached structural element.
5. Through Bolts: Structural type, hex head, and high strength. Comply with ASTM F3125/F3125M, Grade A325.
6. Toggle Bolts: Stainless steel springhead type.
7. Hanger Rods: Threaded steel.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 SELECTION

- A. Comply with the following standards for selection and installation of hangers and supports, except where requirements on Drawings or in this Section are stricter:
 1. NECA NEIS 101
 2. NECA NEIS 102.
 3. NECA NEIS 105.
 4. NECA NEIS 111.
- B. Comply with requirements in Section 078413 "Penetration Firestopping" for firestopping materials and installation for penetrations through fire-rated walls, ceilings, and assemblies.
- C. Comply with requirements for raceways and boxes specified in Section 260533.13 "Conduits for Electrical Systems."
- D. Comply with requirements for boxes specified in Section 260533.16 "Boxes and Covers for Electrical Systems."
- E. Maximum Support Spacing and Minimum Hanger Rod Size for Raceways: Space supports for EMT, IMC, and ERMC as required by NFPA 70. Minimum rod size must be 1/4 inch in diameter.
- F. Multiple Raceways or Cables: Install trapeze-type supports fabricated with steel slotted support system, sized so capacity can be increased by at least 25 percent in future without exceeding specified design load limits.
 1. Secure raceways and cables to these supports with two-bolt conduit clamps

- G. Spring-steel clamps designed for supporting single conduits without bolts may be used for 1-1/2 inch and smaller raceways serving branch circuits and communication systems above suspended ceilings, and for fastening raceways to trapeze supports.

3.3 INSTALLATION OF SUPPORTS

- A. Comply with NECA NEIS 101 for installation requirements except as specified in this article.
- B. Raceway Support Methods: In addition to methods described in NECA NEIS 1, EMT IMC and ERMCM may be supported by openings through structure members, in accordance with NFPA 70.
- C. Strength of Support Assemblies: Where not indicated, select sizes of components so strength will be adequate to carry present and future static loads within specified loading limits. Minimum static design load used for strength determination must be weight of supported components plus 200 lb.
- D. Mounting and Anchorage of Surface-Mounted Equipment and Components: Anchor and fasten electrical items and their supports to building structural elements by the following methods unless otherwise indicated by code:
 - 1. To Wood: Fasten with lag screws or through bolts.
 - 2. To New Concrete: Bolt to concrete inserts.
 - 3. To Masonry: Approved toggle-type bolts on hollow masonry units and expansion anchor fasteners on solid masonry units.
 - 4. To Existing Concrete: Expansion anchor fasteners.
 - 5. Instead of expansion anchors, powder-actuated driven threaded studs provided with lock washers and nuts may be used in existing standard-weight concrete 4 inch thick or greater. Do not use for anchorage to lightweight-aggregate concrete or for slabs less than 4 inch thick.
 - 6. To Steel: Welded threaded studs complying with AWS D1.1/D1.1M, with lock washers and nuts or Beam clamps (MSS SP-58, Type 19, 21, 23, 25, or 27), complying with MSS SP-69 or Spring-tension clamps.
 - 7. To Light Steel: Sheet metal screws.
- E. Drill holes for expansion anchors in concrete at locations and to depths that avoid the need for reinforcing bars.

3.4 CONCRETE BASES

- A. Construct concrete bases of dimensions indicated, but not less than 4 inch larger in both directions than supported unit, and so anchors will be a minimum of 10 bolt diameters from edge of the base.
- B. Use 3000 psi, 28-day compressive-strength concrete. Concrete materials, reinforcement, and placement requirements are specified in Section 033000 "Cast-in-Place Concrete."
- C. Anchor equipment to concrete base as follows:
 - 1. Place and secure anchorage devices. Use supported equipment manufacturer's setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
 - 2. Install anchor bolts to elevations required for proper attachment to supported equipment.

3. Install anchor bolts according to anchor-bolt manufacturer's written instructions.

3.5 PAINTING

A. Touchup:

1. Clean field welds and abraded areas of shop paint. Paint exposed areas immediately after erecting hangers and supports. Use same materials as used for shop painting. Comply with SSPC-PA 1 requirements for touching up field-painted surfaces.
 - a. Apply paint by brush or spray to provide minimum dry film thickness of 2.0 mils.
2. Comply with requirements in Section 099124 "Interior Painting (MPI Standards)" for cleaning and touchup painting of field welds, bolted connections, and abraded areas of shop paint on miscellaneous metal.

- #### B. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas and apply galvanizing-repair paint to comply with ASTM A780.

END OF SECTION 260529

SECTION 260533.13 - CONDUITS FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Type EMT-A and Type EMT-SS duct raceways and elbows.
2. Type EMT-S duct raceways and elbows.
3. Type FMC-S and Type FMC-A duct raceways.
4. Type LFMC duct raceways.
5. Type PVC duct raceways and fittings.
6. Fittings for conduit, tubing, and cable.
7. Electrically conductive corrosion-resistant compounds for threaded conduit.
8. Solvent cements.

B. Products Installed, but Not Furnished, under This Section:

1. See Section 260553 "Identification for Electrical Systems" for electrical equipment labels.

C. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements for Electrical" for field conditions applicable to Work specified in this Section.
3. Section 260519 "Low-Voltage for Electrical Power Conductors and Cables" for nonmetallic underground conduit with conductors (Type NUCC).

1.3 DEFINITIONS

- A. Conduit: A structure containing one or more duct raceways.
- B. Duct Raceway: A single enclosed raceway for conductors or cable.
- C. Duct Bank: An arrangement of conduit providing one or more continuous duct raceways between two points.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data:

1. Type EMT-A and Type EMT-SS duct raceways and elbows.
2. Type EMT-S duct raceways and elbows.
3. Type FMC-S and Type FMC-A duct raceways.
4. Type LFMC duct raceways.
5. Type PVC duct raceways and fittings.
6. Fittings for conduit, tubing, and cable.
7. Electrically conductive corrosion-resistant compounds for threaded conduit.
8. Solvent cements.

- B. Sustainable design submittals.

1. Solvent cements.

1.6 INFORMATIONAL SUBMITTALS

- A. Manufacturers' Published Instructions:

1. Type EMT-A and Type EMT-SS duct raceways and elbows.
2. Type EMT-S duct raceways and elbows.
3. Type FMC-S and Type FMC-A duct raceways.
4. Type LFMC duct raceways.
5. Type PVC duct raceways and fittings.
6. Fittings for conduit, tubing, and cable.
7. Electrically conductive corrosion-resistant compounds for threaded conduit.
8. Solvent cements.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 TYPE EMT-A AND TYPE EMT-SS DUCT RACEWAYS AND ELBOWS

- A. Performance Criteria:

1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.
2. Listing Criteria: UL CCN FJMX; including UL 797A.

B. Source Quality Control:

1. Product Data: Prepare and submit catalog cuts, brochures, and performance data illustrating size, physical appearance, and other characteristics of product.
2. Manufacturer's Published Instructions: Prepare and submit installation, testing, and operating instructions for product.

C. UL FJMX - Aluminum Electrical Metal Tubing (EMT-A) and Elbows:

1. Material: Aluminum.
2. Options:
 - a. Minimum Trade Size: Metric designator 21 (trade size 3/4).
 - b. Colors: As indicated on Drawings.

D. UL FJMX - Stainless Steel Electrical Metal Tubing (EMT-SS) and Elbows:

1. Material: Stainless steel.
2. Options:
 - a. Minimum Trade Size: Metric designator 21 (trade size 3/4).
 - b. Colors: As indicated on Drawings.

2.2 TYPE EMT-S DUCT RACEWAYS AND ELBOWS

A. Performance Criteria:

1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.
2. Listing Criteria: UL CCN FJMX; including UL 797.

B. Source Quality Control:

1. Product Data: Prepare and submit catalog cuts, brochures, and performance data illustrating size, physical appearance, and other characteristics of product.
2. Manufacturer's Published Instructions: Prepare and submit installation, testing, and operating instructions for product.

C. UL FJMX - Steel Electrical Metal Tubing (EMT-S) and Elbows:

1. Material: Steel.
2. Options:
 - a. Exterior Coating: Zinc.

- b. Interior Coating: Zinc with organic top coating.
- c. Minimum Trade Size: Metric designator 21 (trade size 3/4).
- d. Colors: As indicated on Drawings.

2.3 TYPE FMC-S AND TYPE FMC-A DUCT RACEWAYS

A. Performance Criteria:

- 1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.
- 2. Listing Criteria: UL CCN DXUZ; including UL 1.

B. Source Quality Control:

- 1. Product Data: Prepare and submit catalog cuts, brochures, and performance data illustrating size, physical appearance, and other characteristics of product.
- 2. Manufacturer's Published Instructions: Prepare and submit installation, testing, and operating instructions for product.

C. UL DXUZ - Steel Flexible Metal Conduit (FMC-S):

- 1. Material: Steel.
- 2. Options:
 - a. Minimum Trade Size: Metric designator 21 (trade size 3/4).
 - b. Colors: As indicated on Drawings.

D. UL DXUZ - Aluminum Flexible Metal Conduit (FMC-A):

- 1. Options:
 - a. Minimum Trade Size: Metric designator 21 (trade size 3/4).
 - b. Colors: As indicated on Drawings.

2.4 TYPE LFMC DUCT RACEWAYS

A. Performance Criteria:

- 1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.
- 2. Listing Criteria: UL CCN DXHR; including UL 360.

B. Source Quality Control:

- 1. Product Data: Prepare and submit catalog cuts, brochures, and performance data illustrating size, physical appearance, and other characteristics of product.
- 2. Manufacturer's Published Instructions: Prepare and submit installation, testing, and operating instructions for product.

C. UL DXHR - Steel Liquidtight Flexible Metal Conduit (LFMC-S):

1. Material: Steel.
2. Options:
 - a. Minimum Trade Size: Metric designator 21 (trade size 3/4).
 - b. Colors: As indicated on Drawings.

D. UL DXHR - Stainless Steel Liquidtight Flexible Metal Conduit (LFMC-SS):

1. Material: Stainless steel.
2. Options:
 - a. Minimum Trade Size: Metric designator 21 (trade size 3/4).
 - b. Colors: As indicated on Drawings.

2.5 TYPE PVC DUCT RACEWAYS AND FITTINGS

A. Performance Criteria:

1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.
2. Listing Criteria: UL CCN DZYR; including UL 651.

B. Source Quality Control:

1. Product Data: Prepare and submit catalog cuts, brochures, and performance data illustrating size, physical appearance, and other characteristics of product.
2. Manufacturer's Published Instructions: Prepare and submit installation, testing, and operating instructions for product.

C. UL DZYR - Schedule 40 Rigid PVC Conduit (PVC-40) and Fittings:

1. Dimensional Specifications: Schedule 40.
2. Options:
 - a. Minimum Trade Size: Metric designator 21 (trade size 3/4).
 - b. Markings: For use with maximum 90 deg C wire.

D. UL DZYR - Schedule 80 Rigid PVC Conduit (PVC-80) and Fittings:

1. Dimensional Specifications: Schedule 80.
2. Options:
 - a. Minimum Trade Size: Metric designator 21 (trade size 3/4).
 - b. Markings: For use with maximum 90 deg C wire.

E. UL DZYR - Type A Rigid PVC Concrete-Encased Conduit (PVC-A) and Fittings:

1. Dimensional Specifications: Type A.
2. Options:
 - a. Minimum Trade Size: Metric designator 21 (trade size 3/4).

F. UL DZYZR - Type EB Rigid PVC Concrete-Encased Underground Conduit (PVC-EB) and Fittings:

1. Dimensional Specifications: Type EB.
2. Options:
 - a. Minimum Trade Size: Metric designator 53 (trade size 2).

2.6 FITTINGS FOR CONDUIT, TUBING, AND CABLE

A. Performance Criteria:

1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.

B. Source Quality Control:

1. Product Data: Prepare and submit catalog cuts, brochures, and performance data illustrating size, physical appearance, and other characteristics of product.
2. Manufacturer's Published Instructions: Prepare and submit installation, testing, and operating instructions for product.
3. Listing Criteria: UL CCN EBMB; including UL 1203.

C. UL DWTT - Fittings for Type ERMC, Type IMC, Type PVC, Type HDPE, Type EPEC, and Type RTRC Duct Raceways:

1. Listing Criteria: UL CCN DWTT; including UL 514B.
2. Options:
 - a. Material: Steel.
 - b. Coupling Method: Compression coupling.
 - c. Expansion and Deflection Fittings: UL 651 with flexible bonding jumper.

D. UL FKAV - Fittings for Type EMT Duct Raceways:

1. Listing Criteria: UL CCN FKAV; including UL 514B.
2. Options:
 - a. Material: Steel.
 - b. Coupling Method: Compression coupling.
 - c. Expansion and Deflection Fittings: UL 651 with flexible bonding jumper.

E. UL ILNR - Fittings for Type FMC Duct Raceways:

1. Listing Criteria: UL CCN ILNR; including UL 514B.
- F. UL DXAS - Fittings for Type LFMC and Type LFNC Duct Raceways:
1. Listing Criteria: UL CCN DXAS; including UL 514B.
- 2.7 ELECTRICALLY CONDUCTIVE CORROSION-RESISTANT COMPOUNDS FOR THREADED CONDUIT
- A. Performance Criteria:
1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.
 2. Listing Criteria: UL CCN FOIZ; including UL Subject 2419.
- B. Source Quality Control:
1. Product Data: Prepare and submit catalog cuts, brochures, and performance data illustrating size, physical appearance, and other characteristics of product.
 2. Manufacturer's Published Instructions: Prepare and submit installation, testing, and operating instructions for product.
- C. UL FOIZ - Electrically Conductive Corrosion-Resistant Compound for Threaded Conduit:

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 SELECTION OF CONDUITS FOR ELECTRICAL SYSTEMS

- A. Unless more stringent requirements are specified in Contract Documents or manufacturers' published instructions, comply with NFPA 70 for selection of duct raceways. Consult Commissioner for resolution of conflicting requirements.
- B. Outdoors:
1. Exposed and Subject to Physical Damage: Corrosion-resistant EMT.
 - a. Locations less than 2.5 m (8 ft) above finished floor.
 2. Exposed and Not Subject to Physical Damage: Corrosion-resistant EMT.
 3. Concealed Aboveground: EMT or PVC-80 or PVC-40.
 4. Direct Buried: PVC-80 or PVC-40.
 5. Concrete Encased Not in Trench: PVC-80 or PVC-40.

6. Concrete Encased in Trench: PVC-80 or PVC-40.
7. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): LFMC.

C. Indoors:

1. Exposed and Subject to Severe Physical Damage: ERMC. Locations include the following:
 - a. Mechanical rooms.
2. Exposed and Subject to Physical Damage: EMT. Locations include the following:
 - a. Locations less than 8 ft above finished floor.
 - b. Stub-ups to above suspended ceilings.
3. Exposed and Not Subject to Physical Damage: EMT or PVC-80.
4. Concealed in Ceilings and Interior Walls and Partitions: EMT or PVC-80 or PVC-40.
5. Damp or Wet Locations: Corrosion-resistant EMT.
6. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): LFMC or FMC.
7. Circuits Operating Above 60 Hz: EMT-A. Provide nonmetallic sleeve where aluminum duct raceways pass through concrete.

D. Duct Fittings: Select fittings in accordance with NEMA FB 2.10 guidelines.

1. ERMC and IMC: Provide threaded-type fittings unless otherwise indicated.

3.3 INSTALLATION OF CONDUITS FOR ELECTRICAL SYSTEMS

A. Comply with manufacturer's published instructions.

B. Reference Standards for Installation: Unless more stringent installation requirements are specified in Contract Documents or manufacturers' published instructions, comply with the following:

1. Type EMT-A: Article 358 of NFPA 70 and NECA NEIS 102.
2. Type EMT-SS: Article 358 of NFPA 70 and NECA NEIS 101.
3. Type EMT-S: Article 358 of NFPA 70 and NECA NEIS 101.
4. Type FMC-S: Article 348 of NFPA 70 and NECA NEIS 101.
5. Type FMC-A: Article 348 of NFPA 70 and NECA NEIS 102.
6. Type LFMC: Article 350 of NFPA 70 and NECA NEIS 101.
7. Type PVC: Article 356 of NFPA 70 and NECA NEIS 111.
8. Expansion Fittings: NEMA FB 2.40.

C. Special Installation Techniques:

1. General Requirements for Installation of Duct Raceways:
 - a. Complete duct raceway installation before starting conductor installation.



- b. Provide stub-ups through floors with coupling threaded inside for plugs, set flush with finished floor. Plug coupling until conduit is extended above floor to final destination or a minimum of 2 ft above finished floor.
- c. Install no more than equivalent of three 90-degree bends in conduit run except for control wiring conduits, for which no more than equivalent of two 90-degree fewer bends are permitted. Support within 12 inch of changes in direction.
- d. Make bends in duct raceway using large-radius preformed ells except for parallel bends. Field bending must be in accordance with NFPA 70 minimum radii requirements. Provide only equipment specifically designed for material and size involved.
- e. Conceal conduit within finished walls, ceilings, and floors unless otherwise indicated. Install conduits parallel or perpendicular to building lines.
- f. Support conduit within 12 inch of enclosures to which attached.
- g. Install duct sealing fittings at accessible locations in accordance with NFPA 70 and fill them with listed sealing compound. For concealed duct raceways, install fitting in flush steel box with blank cover plate having finish similar to that of adjacent plates or surfaces. Install duct sealing fittings in accordance with NFPA 70.
- h. Install devices to seal duct raceway interiors at accessible locations. Locate seals so no fittings or boxes are between the seal and the following changes of environments. Seal interior of duct raceways at the following points:
 - 1) Where conduits pass from warm to cold locations, such as boundaries of refrigerated spaces.
 - 2) Where an underground service duct raceway enters a building or structure.
 - 3) Conduit extending from interior to exterior of building.
 - 4) Conduit extending into pressurized duct raceway and equipment.
 - 5) Conduit extending into pressurized zones that are automatically controlled to maintain different pressure set points.
 - 6) Where otherwise required by NFPA 70.
- i. Do not install duct raceways or electrical items on "explosion-relief" walls or rotating equipment.
- j. Do not install conduits within 2 inch of the bottom side of a metal deck roof.
- k. Keep duct raceways at least 6 inch away from parallel runs of flues and steam or hot-water pipes. Install horizontal duct raceway runs above water and steam piping.
- l. Cut conduit perpendicular to the length. For conduits metric designator 53 (trade size 2) and larger, use roll cutter or a guide to make cut straight and perpendicular to the length. Ream inside of conduit to remove burrs.
- m. Install pull wires in empty duct raceways. Provide polypropylene or monofilament plastic line with not less than 200 lbtensile strength. Leave at least 12 inch of slack at both ends of pull wire. Cap underground duct raceways designated as spare above grade alongside duct raceways in use.
- n. Install duct raceways square to the enclosure and terminate at enclosures without hubs with locknuts on both sides of enclosure wall. Install locknuts hand tight, plus one-quarter turn more.
 - 1) Termination fittings with shoulders do not require two locknuts.

- a. Provide insulating bushings to protect conductors, including conductors smaller than 4 AWG. Install insulated throat metal grounding bushings on service conduits.
9. Duct Fittings: Install fittings in accordance with NEMA FB 2.10 guidelines.
- a. ERM-C-S-PVC: Provide only fittings listed for use with this type of conduit. Patch and seal joints, nicks, and scrapes in PVC coating after installing conduits and fittings. Provide sealant recommended by fitting manufacturer and apply in thickness and number of coats recommended by manufacturer.
 - b. EMT: Provide compression, steel fittings. Comply with NEMA FB 2.10.
 - c. Flexible Conduit: Provide only fittings listed for use with flexible conduit type. Comply with NEMA FB 2.20.
10. Expansion-Joint Fittings:
- a. Install in runs of aboveground PVC that are located where environmental temperature change may exceed 30 deg F and that have straight-run length that exceeds 25 ft. Install in runs of aboveground EMT conduit that are located where environmental temperature change may exceed 100 deg F and that have straight-run length that exceeds 100 ft.
 - b. Install type and quantity of fittings that accommodate temperature change listed for the following locations:
 - 1) Outdoor Locations Not Exposed to Direct Sunlight: 125 deg F temperature change.
 - 2) Outdoor Locations Exposed to Direct Sunlight: 155 deg F temperature change.
 - c. Install fitting(s) that provide expansion and contraction for at least 0.00041 inch per foot of length of straight run per deg F of temperature change for PVC conduits. Install fitting(s) that provide expansion and contraction for at least 0.000078 inch per foot of length of straight run per deg F of temperature change for metal conduits.
 - d. Install expansion fittings at locations where conduits cross building or structure expansion joints.
 - e. Install expansion-joint fitting with position, mounting, and piston setting selected in accordance with manufacturer's published instructions for conditions at specific location at time of installation. Install conduit supports to allow for expansion movement.
11. Duct Raceways Penetrating Rooms or Walls with Acoustical Requirements: Seal duct raceway openings on both sides of rooms or walls with acoustically rated putty or firestopping.
12. Identification: Provide labels for conduit assemblies, duct raceways, and associated electrical equipment.
- a. Provide warning signs.

D. Interfaces with Other Work:

- 1. Coordinate with Section 078413 "Penetration Firestopping" for installation of firestopping at penetrations of fire-rated floor and wall assemblies.
- 2. Coordinate with Section 260529 "Hangers and Supports for Electrical Systems" for installation of conduit hangers and supports.

3.4 PROTECTION

- A. Protect coatings, finishes, and cabinets from damage and deterioration.
 - 1. Repair damage to galvanized finishes with zinc-rich paint recommended by manufacturer.
 - 2. Repair damage to PVC coatings or paint finishes with matching touchup coating recommended by manufacturer.

END OF SECTION 260533.13

SECTION 260533.16 - BOXES AND COVERS FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Metallic outlet boxes, device boxes, rings, and covers.
2. Junction boxes and pull boxes.
3. Cover plates for device boxes.
4. Hoods for outlet boxes.

B. Products Installed, but Not Furnished, under This Section:

1. See Section 260553 "Identification for Electrical Systems" for electrical equipment labels.

C. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements for Electrical" for field conditions applicable to Work specified in this Section.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

A. Product Data:

1. Metallic outlet boxes, device boxes, rings, and covers.
2. Junction boxes and pull boxes.
3. Cover plates for device boxes.
4. Hoods for outlet boxes.

B. Shop Drawings:

1. Shop drawings for floor boxes.

C. Sustainable design submittals.

1. Junction boxes and pull boxes.
2. Cover plates for device boxes.

1.5 INFORMATIONAL SUBMITTALS

A. Manufacturers' Published Instructions:

1. Metallic outlet boxes, device boxes, rings, and covers.
2. Junction boxes and pull boxes.
3. Cover plates for device boxes.
4. Hoods for outlet boxes.

1.6 QUALITY ASSURANCE

A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 METALLIC OUTLET BOXES, DEVICE BOXES, RINGS, AND COVERS

A. Performance Criteria:

1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.
2. Listing Criteria: UL CCN QCIT; including UL 514A.

B. Source Quality Control:

1. Product Data: Prepare and submit catalog cuts, brochures, and performance data illustrating size, physical appearance, and other characteristics of product.
2. Manufacturer's Published Instructions: Prepare and submit installation, testing, and operating instructions for product.

C. UL QCIT - Metallic Outlet Boxes and Covers:

1. Description: Box having pryout openings, knockouts, threaded entries, or hubs in either the sides of the back, or both, for entrance of conduit, conduit or cable fittings, or cables, with provisions for mounting outlet box cover, but without provisions for mounting wiring device directly to box.
2. Options:
 - a. Material: Sheet steel or Cast metal.

- b. Sheet Metal Depth: Minimum 1.5 inch.
 - c. Cast-Metal Depth: Minimum 1.8 inch.
 - d. Luminaire Outlet Boxes and Covers: Nonadjustable, listed and labeled for attachment of luminaire weighing more than 50 lb and marked with maximum allowable weight.
 - e. Paddle Fan Outlet Boxes and Covers: Nonadjustable, designed for attachment of paddle fan weighing up to 70 lb.
- D. UL QCIT - Metallic Conduit Bodies:
- 1. Description: Means for providing access to interior of conduit or tubing system through one or more removable covers at junction or terminal point. In the United States, conduit bodies are listed in accordance with outlet box requirements.
- E. UL QCIT - Metallic Device Boxes:
- 1. Description: Box with provisions for mounting wiring device directly to box.
 - 2. Options:
 - a. Material: Sheet steel or Cast metal.
 - b. Sheet Metal Depth: minimum 1.5 inch.
 - c. Cast-Metal Depth: minimum 1.8 inch.
- F. UL QCIT - Metallic Extension Rings:
- 1. Description: Ring intended to extend sides of outlet box or device box to increase box depth, volume, or both.
- G. UL QCIT - Metallic Floor Boxes and Floor Box Covers:
- 1. Description: Box mounted in floor with floor box cover and other components to complete floor box enclosure.
- H. UL QCIT - Metallic Raised-Floor Boxes and Floor Box Covers:
- 1. Description: Box mounted in raised-floor with floor box cover and other components to complete floor box enclosure.
- I. UL QCIT - Metallic Recessed Access-Floor Boxes and Recessed Floor Box Covers:
- 1. Description: Floor box with provisions for mounting wiring devices below floor surface and floor box cover with provisions for passage of cords to recessed wiring devices mounted within floor box.
- J. UL QCIT - Metallic Concrete Boxes and Covers:
- 1. Description: Box intended for use in poured concrete.

2.2 JUNCTION BOXES AND PULL BOXES

A. Performance Criteria:

1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
2. Listing Criteria: UL CCN BGUZ; including UL 50 and UL 50E.

B. Source Quality Control:

1. Product Data: Prepare and submit catalog cuts, brochures, and performance data illustrating size, physical appearance, and other characteristics of product.
2. Sustainable Design Submittals: Prepare and submit the following documentation for adhesive solvents:
 - a. Product data indicating VOC content less than 510g/L or less for PVC conduit and fittings.
3. Manufacturer's Published Instructions: Prepare and submit installation, testing, and operating instructions for product.

C. UL BGUZ - Indoor Sheet Metal Junction and Pull Boxes:

1. Description: Box with a blank cover that serves the purpose of joining different runs of raceway or cable.
2. Options:
 - a. Degree of Protection: Type 1 or Type 12.

D. UL BGUZ - Indoor Cast-Metal Junction and Pull Boxes:

1. Description: Box with a blank cover that serves the purpose of joining different runs of raceway or cable.
2. Options:
 - a. Degree of Protection: Type 1 or Type 12.

E. UL BGUZ - Outdoor Sheet Metal Junction and Pull Boxes:

1. Description: Box with a blank cover that serves the purpose of joining different runs of raceway or cable.
2. Options:
 - a. Degree of Protection: Type 3R or Type 4X.

F. UL BGUZ - Outdoor Cast-Metal Junction and Pull Boxes:

1. Description: Box with a blank cover that serves the purpose of joining different runs of raceway or cable.
2. Options:
 - a. Degree of Protection: Type 3R or Type 4X.

2.3 COVER PLATES FOR DEVICES BOXES

A. Performance Criteria:

1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
2. Listing Criteria: UL CCN QCIT or UL CCN QCMZ; including UL 514D.
3. Wallplate-Securing Screws: Metal with head color to match wallplate finish.

B. Source Quality Control:

1. Product Data: Prepare and submit catalog cuts, brochures, and performance data illustrating size, physical appearance, and other characteristics of product.
2. Sustainable Design Submittals: Prepare and submit the following documentation for adhesive solvents:
 - a. Product data indicating VOC content less than 510 g/L or less for PVC conduit and fittings.
3. Manufacturer's Published Instructions: Prepare and submit installation, testing, and operating instructions for product.

C. UL QCIT or QCMZ - Metallic Cover Plates for Device Boxes:

1. Options:
 - a. Damp and Wet Locations: Listed, labeled, and marked for location and use. Provide gaskets and accessories necessary for compliance with listing.
 - b. Wallplate Material: Steel with white baked enamel, suitable for field painting.

2.4 HOODS FOR OUTLET BOXES

A. Performance Criteria:

1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
2. Listing Criteria:
 - a. UL CCN QCIT or UL CCN QCMZ; including UL 514D.
 - b. Receptacle, Hood, Cover Plate, Gaskets, and Seals: UL 498 Supplement SA when mated with box or enclosure complying with UL 514A, UL 514C, or UL 50E.

3. Mounts to box using fasteners different from wiring device.
- B. Source Quality Control:
1. Product Data: Prepare and submit catalog cuts, brochures, and performance data illustrating size, physical appearance, and other characteristics of product.
 2. Manufacturer's Published Instructions: Prepare and submit installation, testing, and operating instructions for product.
- C. UL QCIT or QCMZ - Retractable or Reattachable Hoods for Outlet Boxes:
1. Options:
 - a. Provides white, weatherproof, "while-in-use" cover.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 PREPARATION

- A. Shop Drawings: Prepare and submit the following:
1. Shop Drawings for Floor Boxes: Show that floor boxes are located to avoid interferences and are structurally allowable. Indicate floor thickness at location where boxes are embedded in concrete floors and underfloor clearances where boxes are installed in raised floors.

3.3 SELECTION OF BOXES AND COVERS FOR ELECTRICAL SYSTEMS

- A. Unless more stringent requirements are specified in Contract Documents or manufacturers' published instructions, comply with NFPA 70 for selection of boxes and enclosures. Consult Commissioner for resolution of conflicting requirements.
- B. Degree of Protection:
1. Outdoors:
 - a. Type 3R unless otherwise indicated.
 - b. Locations in-Ground or Exposed to Corrosive Agents: Type 4X.
 2. Indoors:
 - a. Type 1 unless otherwise indicated.
 - b. Surface Mounted in Kitchens and Other Locations Exposed to Oil or Coolants: Type 12.

c. Flush Mounted in Kitchens and Other Locations Exposed to Oil or Coolants: Type 12.

C. Exposed Boxes Installed Less Than 8 ft Above Floor:

1. Provide exposed cover. Flat covers with angled mounting slots or knockouts are prohibited.

3.4 INSTALLATION OF BOXES AND COVERS FOR ELECTRICAL SYSTEMS

A. Comply with manufacturer's published instructions.

B. Reference Standards for Installation: Unless more stringent installation requirements are specified in Contract Documents or manufacturers' published instructions, comply with the following:

1. Outlet, Device, Pull, and Junction Boxes: Article 314 of NFPA 70.
2. Consult Commissioner for resolution of conflicting requirements.

C. Special Installation Techniques:

1. Provide boxes in wiring and raceway systems wherever required for pulling of wires, making connections, and mounting of devices or fixtures.
2. Mount boxes at heights indicated on Drawings. If mounting heights of boxes are not individually indicated, give priority to ADA requirements. Install boxes with height measured to center of box unless otherwise indicated.
3. Recessed Boxes in Masonry Walls: Saw-cut opening for box in center of cell of masonry block, and install box flush with surface of wall. Prepare block surfaces to provide a flat surface for a raintight connection between box and cover plate or supported equipment and box, whether installed indoors or outdoors.
4. Horizontally separate boxes mounted on opposite sides of walls so they are not in the same vertical channel.
5. Locate boxes so that cover or plate will not span different building finishes.
6. Support boxes in recessed ceilings independent of ceiling tiles and ceiling grid.
7. Support boxes of three gangs or more from more than one side by spanning two framing members or mounting on brackets specifically designed for purpose.
8. Fasten junction and pull boxes to, or support from, building structure. Do not support boxes by conduits.
9. Set metal floor boxes level and flush with finished floor surface.
10. Do not install aluminum boxes, enclosures, or fittings in contact with concrete or earth.
11. Do not rely on locknuts to penetrate nonconductive coatings on enclosures. Remove coatings in the locknut area prior to assembling conduit to enclosure to ensure a continuous ground path.
12. Boxes and Enclosures in Areas or Walls with Acoustical Requirements:
 - a. Seal openings and knockouts in back and sides of boxes and enclosures with acoustically rated putty.
 - b. Provide gaskets for wallplates and covers.
13. Identification: Provide labels for boxes and associated electrical equipment.
 - a. Identify field-installed conductors, interconnecting wiring, and components.
 - b. Provide warning signs.

- c. Label each box with engraved metal or laminated-plastic nameplate.

3.5 CLEANING

- A. Remove construction dust and debris from boxes before installing wallplates, covers, and hoods.

3.6 PROTECTION

- A. After installation, protect boxes from construction activities. Remove and replace items that are contaminated, defaced, damaged, or otherwise caused to be unfit for use prior to acceptance by Commissioner.

END OF SECTION 260533.16

SECTION 260533.23 - SURFACE RACEWAYS FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Surface metal raceways and fittings.
2. Strut-type channel raceways and fittings.
3. Wireways and auxiliary gutters.

B. Products Installed, but Not Furnished, under This Section:

1. See Section 260553 "Identification for Electrical Systems" for electrical equipment labels.

C. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements for Electrical" for field conditions applicable to Work specified in this Section.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

A. Product Data:

1. Surface metal raceways and fittings.
2. Strut-type channel raceways and fittings.
3. Wireways and auxiliary gutters.

B. Sustainable design submittals.

1. Surface metal raceways and fittings.
2. Strut-type channel raceways and fittings.

3. Wireways and auxiliary gutters.

1.5 INFORMATIONAL SUBMITTALS

- A. Manufacturers' Published Instructions:
 1. Surface metal raceways and fittings.
 2. Strut-type channel raceways and fittings.
 3. Wireways and auxiliary gutters.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 SURFACE METAL RACEWAYS AND FITTINGS

- A. Performance Criteria:
 1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.
 2. Listing Criteria: UL CCN RJBT; including UL 5.
- B. Source Quality Control:
 1. Product Data: Prepare and submit catalog cuts, brochures, and performance data illustrating size, physical appearance, and other characteristics of product.
 2. Sustainable Design Submittals: Prepare and submit the following documentation for adhesives and solvents used with nonmetallic components:
 - a. Product data indicating VOC content less than 510 g/L or less for PVC conduit and fittings.
 3. Manufacturer's Published Instructions: Prepare and submit installation, testing, and operating instructions for product.
- C. UL RJBT - Surface Metal Raceways and Fittings with Metal Covers:
 1. Options:
 - a. Galvanized steel base with snap-on covers.
 - b. Manufacturer's standard enamel finish in color selected by Commissioner.
 - c. Wiring Channels: Multiple channels must be capable of housing a standard 20 to 30 A device flush within the raceway.

2.2 STRUT-TYPE CHANNEL RACEWAYS AND FITTINGS

A. Performance Criteria:

1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.
2. Listing Criteria:
 - a. UL CCN RIUU; including UL 5B.
 - b. UL 94, V-0 requirements for self-extinguishing characteristics.

B. Source Quality Control:

1. Product Data: Prepare and submit catalog cuts, brochures, and performance data illustrating size, physical appearance, and other characteristics of product.
2. Sustainable Design Submittals: Prepare and submit the following documentation for adhesives and solvents used with nonmetallic components:
 - a. Product data indicating VOC content less than 510 g/L or less for PVC conduit and fittings.
3. Manufacturer's Published Instructions: Prepare and submit installation, testing, and operating instructions for product.

C. UL RIUU - Strut-Type Channel Raceways and Fittings with Metallic Covers:

1. Options:
 - a. Manufacturer's standard enamel finish in color selected by Commissioner.

2.3 WIREWAYS AND AUXILIARY GUTTERS

A. Performance Criteria:

1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.
2. Listing Criteria:
 - a. UL CCN ZOYX; including UL 870.
 - b. UL 94, V-0 requirements for self-extinguishing characteristics.

B. Source Quality Control:

1. Product Data: Prepare and submit catalog cuts, brochures, and performance data illustrating size, physical appearance, and other characteristics of product.
2. Sustainable Design Submittals: Prepare and submit the following documentation for adhesives and solvents used with nonmetallic components:
 - a. Product data indicating VOC content less than 510 g/L or less for PVC conduit and fittings.

3. Manufacturer's Published Instructions: Prepare and submit installation, testing, and operating instructions for product.
- C. UL ZOYX - Metal Wireways and Auxiliary Gutters:
1. Additional Characteristics:
 - a. Fittings and Accessories: Include covers, couplings, offsets, elbows, expansion joints, adapters, hold-down straps, end caps, and other fittings to match and mate with wireways as required for complete system.
 - b. Finish: Manufacturer's standard enamel finish.
 2. Options:
 - a. Degree of Protection: Type 1 or Type 3R or Type 4 or Type 12 unless otherwise indicated.
 - b. Wireway Covers: Hinged type or Screw-cover type unless otherwise indicated.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION OF SURFACE RACEWAYS FOR ELECTRICAL SYSTEMS

- A. Comply with manufacturer's published instructions.
- B. Reference Standards for Installation: Unless more stringent installation requirements are specified in Contract Documents or manufacturers' published instructions, comply with the following:
1. Auxiliary Gutters: Article 366 of NFPA 70.
 2. Surface Metal Raceway: Article 386 of NFPA 70.
 3. Consult Commissioner for resolution of conflicting requirements.
- C. Special Installation Techniques:
1. Install surface raceways only where indicated on Drawings.
 2. Install surface raceway with a minimum 2 inch radius control at bend points.
 3. Secure surface raceway with screws or other anchor-type devices at intervals not exceeding 48 inch and with no less than two supports per straight raceway section. Support surface raceway in accordance with manufacturer's published instructions. Tape and glue are unacceptable support methods.
 4. Identification: Provide labels for surface raceways and associated electrical equipment.
 - a. Identify field-installed conductors, interconnecting wiring, and components.
 - b. Provide warning signs.

3.3 CLEANING

- A. Remove construction dust and debris from surface raceways before installing covers.

3.4 PROTECTION

- A. After installation, protect surface raceways from construction activities. Remove and replace items that are contaminated, defaced, damaged, or otherwise caused to be unfit for use prior to acceptance by Commissioner.

END OF SECTION 260533.23

SECTION 260536 - CABLE TRAYS FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Ladder cable tray.
2. Wire-mesh cable tray.
3. Single-rail cable tray.
4. Channel cable tray.
5. Cable tray accessories.
6. Warning signs.

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements for Electrical" for field conditions applicable to Work specified in this Section.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

A. Product Data: For each type of product.

1. Include data indicating dimensions and finishes for each type of cable tray indicated.

B. Shop Drawings: For each type of cable tray.

1. Show fabrication and installation details of cable trays, including plans, elevations, and sections of components and attachments to other construction elements. Designate components and accessories, including clamps, brackets, hanger rods, splice-plate connectors, expansion-joint assemblies, straight lengths, and fittings.

2. Cable tray layout, showing cable tray route to scale, with relationship between the tray and adjacent structural, electrical, and mechanical elements. Include the following:
 - a. Vertical and horizontal offsets and transitions.
 - b. Clearances for access above and to sides of cable trays.
 - c. Vertical elevation of cable trays above the floor or bottom of ceiling structure.
 - d. Load calculations to show dead and live loads as not exceeding manufacturer's rating for tray and its support elements.

1.5 INFORMATIONAL SUBMITTALS

- A. Field quality-control reports.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 GENERAL REQUIREMENTS FOR CABLE TRAY

- A. Cable Trays and Accessories: Identified as defined in NFPA 70 and marked for intended location, application, and grounding.
 1. Source Limitations: Obtain cable trays and components from single manufacturer.
- B. Sizes and Configurations: See the Cable Tray Schedule on Drawings for specific requirements for types, materials, sizes, and configurations.
- C. Structural Performance: See articles on individual cable tray types for specific values for the following parameters:
 1. Uniform Load Distribution: Capable of supporting a uniformly distributed load on the indicated support span when supported as a simple span and tested according to NEMA VE 1.
 2. Concentrated Load: A load applied at midpoint of span and centerline of tray.
 3. Load and Safety Factors: Applicable to both side rails and rung capacities.

2.2 LADDER CABLE TRAY

- A. Description:
 1. Configuration: Two longitudinal side rails with transverse rungs swaged or welded to side rails, complying with NEMA VE 1.
 2. Width: 18 inch unless otherwise indicated on Drawings.
 3. Minimum Usable Load Depth: 6 inch.
 4. Straight Section Lengths: 12 ft., except where shorter lengths are required to facilitate tray assembly.



5. Rung Spacing: 6 inch on center.
6. Radius-Fitting Rung Spacing: 9 inch at center of tray's width.
7. Minimum Cable-Bearing Surface for Rungs: 7/8 inch width with radius edges.
8. No portion of the rungs must protrude below the bottom plane of side rails.
9. Structural Performance of Each Rung: Capable of supporting a maximum cable load, with a safety factor of 1.5, plus a 200 lb. concentrated load, when tested according to NEMA VE 1.
10. Fitting Minimum Radius: 12 inch.
11. Class Designation: Comply with NEMA VE 1.
12. Splicing Assemblies: Bolted type using serrated flange locknuts.
13. Splice-Plate Capacity: Splices located within support span must not diminish rated loading capacity of cable tray.
14. Covers: Louvered or 2-in-3 pitch type made of same materials and with same finishes as cable tray.

B. Materials and Finishes:

1. **Stainless Steel:**
 - a. Materials: Low-carbon, passivated stainless steel, Type 304L or Type 316L, ASTM F593 and ASTM F594.
 - b. Hardware for Stainless Steel Cable Tray Used Outdoors: Stainless steel, Type 316, ASTM F593 and ASTM F594.

2.3 SINGLE-RAIL CABLE TRAY

A. Description:

1. Configuration: An extruded-aluminum assembly, consisting of a single longitudinal center rail with transverse rungs arranged symmetrically about the center rail complying with NEMA VE 1.
2. Construction: Aluminum rungs mechanically connected to aluminum center rail in at least two places, with ends finished to protect installers and cables.
3. Width: 12 inch unless otherwise indicated on Drawings.
4. Minimum Usable Load Depth: 6 inch.
5. Straight Section Lengths: 12 ft except where shorter lengths are required to facilitate tray assembly.
6. Rung Spacing: 6 inch on center.
7. Radius-Fitting Rung Spacing: 9 inch at center of tray's width.
8. Support Point: Splice fittings must be hanger support point.
9. Support Spacing: Support each section at midpoint. Support wall-mounted sections a maximum of one-sixth of the section length from each end.
10. Class Designation: Comply with NEMA VE 1.
11. Splicing Assemblies: Bolted type using serrated flange locknuts.
12. Splicing Assembly Capacity: Splices located within support span must not diminish rated loading capacity of cable tray.
13. Splices and Connectors: Protect cables from edges of center rail and do not intrude into cable fill area.
14. Covers: Louvered type made of same materials and with same finishes as cable tray.

B. Materials: Aluminum alloy 6063-T6 according to ANSI H35.1/H 35.1M for extruded components, and Alloy 5052-H32 or Alloy 6061-T6 according to ANSI H35.1/H 35.1M for fabricated parts.

C. Hardware: Stainless steel, Type 316, ASTM F593 and ASTM F594.

2.4 WIRE-MESH CABLE TRAY

A. Description:

1. Configuration: Galvanized-steel wire mesh, complying with NEMA VE 1.
2. Width: 12 inch unless otherwise indicated on Drawings.
3. Minimum Usable Load Depth: 6 inch.
4. Straight Section Lengths: 12 ft, except where shorter lengths are required to facilitate tray assembly.
5. Structural Performance: Capable of supporting a maximum cable load, with a safety factor of 1.5, plus a 200 lb. concentrated load, when tested according to NEMA VE 1.
6. Class Designation: Comply with NEMA VE 1.
7. Splicing Assemblies: Bolted type using serrated flange locknuts.
8. Splice-Plate Capacity: Splices located within support span must not diminish rated loading capacity of cable tray.

B. Materials and Finishes:

1. Steel:
 - a. Straight Sections and Fittings: Steel complies with the minimum mechanical properties of ASTM A1011/A1011M, SS, Grade 33
 - b. Steel Tray Splice Plates: ASTM A1011/A1011M, HSLAS, Grade 50, Class 1.
 - c. Fasteners: Steel complies with the minimum mechanical properties of ASTM A510/A510M, Grade 1008.
 - d. Finish:
 - 1) Hot-dip galvanized after fabrication, complying with ASTM A123/A123M, Class B2, with galvanized, ASTM B633 hardware.
 - e. Outdoors: Stainless steel, Type 316, ASTM F593 and ASTM F594.

2.5 CHANNEL CABLE TRAY

A. Description:

1. Configuration: Single, formed sheet with a ventilated bearing surface, complying with NEMA VE 1.
2. Width: 6 inch unless otherwise indicated on Drawings.
3. Minimum Usable Load Depth: 1-1/4 inch.
4. Straight Section Lengths: 12 ft, except where shorter lengths are required to facilitate tray assembly.
5. Structural Performance: Capable of supporting a maximum cable load, with a safety factor of 1.5, plus a 200 lb concentrated load, when tested according to NEMA VE 1.
6. Fitting Minimum Radius: 12 inch.
7. Class Designation: Comply with NEMA VE 1.
8. Splicing Assemblies: Bolted type using serrated flange locknuts.
9. Splicing Assembly Capacity: Splices located within support span must not diminish rated loading capacity of cable tray.
10. Covers: Louvered type made of same materials and with same finishes as cable tray.

B. Materials and Finishes:

1. Stainless Steel:

- a. Materials: Low-carbon, passivated stainless steel, Type 304L or Type 316L, ASTM F593 and ASTM F594.
- b. Hardware for Stainless Steel Cable Tray Used Outdoors: Stainless steel, Type 316, ASTM F593 and ASTM F594.

2.6 CABLE TRAY ACCESSORIES

- A. Fittings: Tees, crosses, risers, elbows, and other fittings as indicated, of same materials and finishes as cable tray.
- B. Barrier Strips: Same materials and finishes as for cable tray.
- C. Cable tray supports and connectors, including bonding jumpers, as recommended by cable tray manufacturer.

2.7 WARNING SIGNS

- A. Lettering: 1-1/2 inch high, black letters on yellow background, with legend "WARNING! NOT TO BE USED AS WALKWAY, LADDER, OR SUPPORT FOR LADDERS OR PERSONNEL."
- B. Comply with Section 260553 "Identification for Electrical Systems."

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION OF CABLE TRAY

- A. Install cable tray as a complete system, including fasteners, hold-down clips, support systems, barrier strips, adjustable horizontal and vertical splice plates, elbows, reducers, tees, crosses, cable dropouts, adapters, covers, and bonding.
- B. Install cable tray, so that the tray is accessible for cable installation and all splices are accessible for inspection and adjustment.
- C. Remove burrs and sharp edges from cable trays.
- D. Join aluminum cable tray with splice plates; use four square-neck carriage bolts and locknuts.
- E. Fasten cable tray supports to building structure.

- F. Design fasteners and supports to carry cable tray, cables, and a concentrated load of 200 lb. Comply with requirements in Section 260529 "Hangers and Supports for Electrical Systems."
- G. Place supports, so that spans do not exceed maximum spans on schedules, and provide clearances shown on Drawings. Install intermediate supports when cable weight exceeds the load-carrying capacity of tray rungs.
- H. Construct supports from channel members, threaded rods, and other appurtenances furnished by cable tray manufacturer. Arrange supports in trapeze or wall-bracket form as required by application.
- I. Support assembly to prevent twisting from eccentric loading.
- J. Install center-hung supports for single-rail trays designed for 60 versus 40 percent eccentric loading condition, with a safety factor of 3.
- K. Do not install more than one cable tray splice between supports.
- L. Make connections to equipment with flanged fittings fastened to cable trays and to equipment. Support cable trays independent of fittings. Do not carry weight of cable trays on equipment enclosure.
- M. Install expansion connectors where cable trays cross building expansion joints and in cable tray runs that exceed recommended dimensions. Space connectors and set gaps according to applicable standard.
- N. Make changes in direction and elevation using manufacturer's recommended fittings.
- O. Make cable tray connections using manufacturer's recommended fittings.
- P. Seal penetrations through fire and smoke barriers. Comply with requirements in Section 078413 "Penetration Firestopping."
- Q. Install capped metal sleeves for future cables through firestop-sealed cable tray penetrations of fire and smoke barriers.
- R. Install cable trays with enough workspace to permit access for installing cables.
- S. Install barriers to separate cables of different systems, such as power, communications, and data processing, or of different insulation levels, such as 600, 5000, and 15 000 V.
- T. Install permanent covers, if used, after installing cable.
- U. Clamp covers on cable trays installed outdoors with heavy-duty clamps.
- V. Install warning signs in visible locations on or near cable trays after cable tray installation.

3.3 CABLE TRAY GROUNDING

- A. Ground cable trays according to NFPA 70 unless additional grounding is specified. Comply with requirements in Section 260526 "Grounding and Bonding for Electrical Systems."

- B. Cable trays with electrical power conductors must be bonded together with splice plates listed for grounding purposes or with listed bonding jumpers.
- C. Cable trays with single-conductor power conductors must be bonded together with a grounding conductor run in the tray along with the power conductors and bonded to the tray at 72 inch intervals. The grounding conductor must be sized according to NFPA 70, Article 250.122, "Size of Equipment Grounding Conductors," and Article 392, "Cable Trays."
- D. When using epoxy- or powder-coat painted cable trays as a grounding conductor, completely remove coating at all splice contact points or ground connector attachment. After completing splice-to-grounding-bolt attachment, repair the coated surfaces with coating materials recommended by cable tray manufacturer.
- E. Bond cable trays to power source for cables contained within with bonding conductors sized according to NFPA 70, Article 250.122, "Size of Equipment Grounding Conductors."

3.4 INSTALLATION OF CABLES

- A. Install cables only when each cable tray run has been completed and inspected.
- B. Fasten cables on horizontal runs with cable clamps or cable ties. Tighten clamps only enough to secure the cable, without indenting the cable jacket. Install cable ties with a tool that includes an automatic pressure-limiting device.
- C. Fasten cables on vertical runs to cable trays every 18 inch.
- D. Fasten and support cables that pass from one cable tray to another or drop from cable trays to equipment enclosures. Fasten cables to the cable tray at the point of exit and support cables independent of the enclosure. The cable length between cable trays or between cable tray and enclosure must be no more than 72 inch.
- E. Tie mineral-insulated cables down every 36 inch where required to provide a two-hour fire rating and every 72 inch elsewhere.
- F. In existing construction, remove inactive or dead cables from cable trays.

3.5 CONNECTIONS

- A. Remove paint from all connection points before making connections. Repair paint after the connections are completed.
- B. Connect raceways to cable trays according to requirements in NEMA VE 2 and NEMA FG 1.

3.6 FIELD QUALITY CONTROL

- A. Tests and Inspections:

1. After installing cable trays and after electrical circuitry has been energized, survey for compliance with requirements.
2. Visually inspect cable insulation for damage. Correct sharp corners, protuberances in cable trays, vibrations, and thermal expansion and contraction conditions, which may cause or have caused damage.
3. Verify that the number, size, and voltage of cables in cable trays do not exceed that permitted by NFPA 70. Verify that communications or data-processing circuits are separated from power circuits by barriers or are installed in separate cable trays.
4. Verify that there are no intruding items, such as pipes, hangers, or other equipment, in the cable tray.
5. Remove dust deposits, industrial process materials, trash of any description, and any blockage of tray ventilation.
6. Visually inspect each cable tray joint and each ground connection for mechanical continuity. Check bolted connections between sections for corrosion. Clean and retorque in suspect areas.
7. Check for improperly sized or installed bonding jumpers.
8. Check for missing, incorrect, or damaged bolts, bolt heads, or nuts. When found, replace with specified hardware.
9. Perform visual and mechanical checks for adequacy of cable tray grounding; verify that all takeoff raceways are bonded to cable trays. Test entire cable tray system for continuity. Maximum allowable resistance is 1 ohm.

B. Prepare test and inspection reports.

3.7 PROTECTION

A. Protect installed cable trays and cables.

1. Install temporary protection for cables in open trays to safeguard exposed cables against falling objects or debris during construction. Temporary protection for cables and cable tray can be constructed of wood or metal materials and must remain in place until the risk of damage is over.
2. Rectify damage to galvanized finishes with zinc-rich paint recommended by cable tray manufacturer.
3. Rectify damage to paint finishes with matching touchup coating recommended by cable tray manufacturer.

END OF SECTION 260536

SECTION 260539 - UNDERFLOOR RACEWAYS FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Trench-type underfloor raceways.
2. Supports, raceway fittings, and hardware.
3. Junction boxes.
4. Service fittings/activations

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements for Electrical" for field conditions applicable to Work specified in this Section.

1.3 DEFINITIONS

- A. Activation: Nomenclature used by some manufacturers for a service fitting.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

A. Product Data: For each type of product.

1. Include finishes, construction details, material descriptions, dimensions, and profiles for underfloor raceway components, fittings, and accessories.
2. Include rated capacities, operating characteristics, electrical characteristics, and furnished specialties and accessories.

B. Shop Drawings: For underfloor raceways.

1. Include floor plans, elevations, sections, and details.
2. Detail fabrication and assembly of underfloor raceways.
 - a. Identify components and accessories, such as expansion-joint assemblies, straight raceway lengths, preset and afterset inserts, and service fittings.
 - b. Detail preparation and installation methods and instructions.
 - c. Provide dimensions locating raceway header and distribution elements. Include spacing between preset inserts and between preset inserts and ends of duct runs, walls, columns, junction boxes, and header duct connections.
 - d. Provide raceway fill charts for each duct size provided for each conductor size the duct is identified to accept. Provide separate charts for power and communication conductors and cables.
 - e. Indicate height of preset inserts, junction boxes, and raceways coordinated with depth of concrete slab and floor fill.
 - f. Indicate thickening of slabs where required for adequate encasement of raceway components.
 - g. Document coordination of exposed components with floor-covering materials to ensure that fittings and trim are suitable for indicated floor-covering material.
 - h. Revise locations from those indicated in the Contract Documents, as required to suit field conditions and to ensure a functioning layout. Identify proposed deviations from the Contract Documents.
 - i. Show details of connections and terminations of underfloor raceways at panelboards and communication terminal equipment in equipment rooms, wire closets, and similar spaces.

1.6 INFORMATIONAL SUBMITTALS

- A. Field quality-control reports.

1.7 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For underfloor raceways, to include in emergency, operation, and maintenance manuals.
 1. In addition to items specified in Section 260010 "Supplemental Requirements for Electrical," include the following:
 - a. Manufacturer's written instructions for locating preset inserts and for installing afterset inserts.
- B. Project Record Documents: Submit final as-built Drawings, indicating dimensioned locations for all ducts, junction boxes, and preset inserts. Typical spacing designation must be accepted only for preset insert spacing along a continuous length of duct.

1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Comply with UL 884.

- C. Comply with NFPA 70.

PART 2 - PRODUCTS

2.1 SYSTEM DESCRIPTION

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Jacks, Receptacles, and Fittings:
 - 1. Comply with Section 262726 "Wiring Devices" for power outlets, faceplates, and connectors.

2.2 TRENCH-TYPE UNDERFLOOR RACEWAYS

- A. Description: Trench-type raceways used as header or feeder raceways to serve service raceways.
- B. Source Limitations: Obtain underfloor raceway components for each system through single source from single manufacturer.
- C. Trench: Steel, shop or factory welded and fabricated to indicated sizes. Include the following features:
 - 1. Slab Depth Adjustment: Minimum of minus 1/8 inch to plus 5/8 inch before and during concrete placement.
 - 2. Cover Supports: Height adjustable, with leveling screws to rigidly support cover assembly.
 - 3. Screed Strip: Extruded aluminum along both edges at proper elevation without requiring shim material.
 - 4. Trim Strip: Select to accommodate floor finish material.
 - 5. Partitions: Arranged to separate channels and isolate wiring of different systems.
 - 6. Grommeted openings in active floor cells or service raceways.
 - 7. Manufacturer's standard corrosion-resistant finish, applied after fabrication.
- D. Cover Plates: Removable, steel plates, 1/4 inch thick, each weighing 60 lb. or less with full gasket attached to side units. Fabricate intermediate supports to limit unsupported spans to 15 inch or less. Fabricate covers with appropriate depth recess to receive indicated floor finish.

2.3 SUPPORTS, RACEWAY FITTINGS, AND HARDWARE

- A. Source Limitations: Obtain underfloor raceway supports, fittings, and hardware components for each system through single source from single manufacturer.
- B. Supports, fittings, and hardware must be compatible with raceway and outlet system and must be listed for use with raceway systems and components delivered.
- C. Supports: Adjustable for height and arranged to maintain alignment and spacing of raceways during concrete placement. Include hold-down straps.

- D. Raceway Fittings: Couplings, expansion-joint sleeves, cross-under offsets, vertical and horizontal elbows, grounding screws, adapters, end caps, and other fittings suitable for use with basic components to form a complete installation.

2.4 JUNCTION BOXES

- A. Description: Raceway manufacturer's standard enclosure for indicated type, quantity, arrangement, and configuration of raceways at each raceway junction, intersection, and access location. Include the following accessories and features:
 - 1. Mounting brackets.
 - 2. Escutcheons and holders to accommodate surrounding floor covering.
 - 3. Means for leveling and height adjustment more than 3/8 inch before and after concrete is placed.
 - 4. Boxes must withstand a minimum 300 lb concentrated load. Internal supports must be provided as needed to meet this requirement.
 - 5. All boxes must provide 2 inch minimum bend radius for data and communication cables.
 - 6. Raceway Openings: For underfloor raceways and conduits arranged to accommodate raceway layout.
 - 7. Covers must have appropriate depth recess to receive specific floor finish material.
 - 8. Partitions to separate wiring of different systems.

2.5 SERVICE FITTINGS/ACTIVATIONS

- A. Source Limitations: Obtain underfloor raceway service fittings and hardware for each system through single source from single manufacturer.
- B. Exposed Parts Finish: Brass or Brushed aluminum.
- C. Flush, Single-System Service Fitting for Round Inserts: Include mounting and cover to support and provide access to single connector, jack, or receptacle device; mounted flush with floor within body of insert.
 - 1. Connector, Jack, and Receptacle Devices: Single modular type.
 - 2. Power Receptacle Outlet: Suitable for 20 A, 120 V device.
- D. Flush, Single or Multiple System Service Fitting for Rectangular Inserts: Include mounting, hinged cover, and trim to support and provide access to connector, jack, or receptacle devices mounted flush with floor within insert.
 - 1. Connector, Jack, and Receptacle Devices: Modular type.
 - 2. Power Receptacle Rating: 20 A, 120 V unless otherwise indicated.
 - 3. Recess-Mounted Service Fitting: Modular fittings compatible with preset inserts. Include device plates for indicated systems and provisions for receptacles, jacks, and connectors. Include hinged flush covers with recessed depth to match thickness of floor finish material. Provide for internally mounted receptacle- and communication-jack and connector assemblies.
 - a. Duplex receptacle.
 - b. Duplex data jacks.
 - c. Double duplex receptacles.

- d. Duplex receptacle and duplex data jacks.
- E. Surface-Mounted Service Fitting: Modular pedestal type, with locking attachment matched to insert floor opening.
- 1. Power-outlet, double-faced, surface-mounted unit for duplex receptacle on both sides.
 - 2. Power-outlet, single-faced, surface-mounted unit for duplex receptacle on one side.
 - 3. Communication-outlet, double-faced, surface-mounted unit.
 - a. Include provisions for modular dual jack-connector assembly, rated for Category 5e or Category 6 on both sides.
 - 4. Communication-outlet, single-faced, surface-mounted unit with bushed opening on one side; 1 inch minimum diameter; insulated with nonconducting material.
 - 5. Combination surface-mounted unit for duplex receptacle on one side and with communication cable connection provision on opposite side.
 - a. Communication Side:
 - 1) Include bushed opening; 1 inch minimum diameter; insulated with nonconducting material.
 - 2) Include provisions for modular dual fiber-optic connector assembly.
 - 3) Include provisions for modular dual jack-connector assembly, rated for Category 5e or Category 6.
 - 6. Flush-Mounted Service Fittings: Modular fittings compatible with preset inserts and must include covers, provisions for receptacles jacks and connector assemblies and wiring extensions to wall-mounted outlets, and associated device plates for indicated systems. Include flush covers, recessed to suit floor finish material.
 - 7. Indicate types and locations of devices on Drawings.
 - a. Duplex convenience receptacle.
 - b. Duplex data outlets.
 - c. Double duplex convenience receptacles.
 - d. Duplex convenience receptacle and duplex data outlets.
 - e. Double duplex data outlets.
 - f. Duplex fiber-optic communication connector.
 - g. Wiring-Extension Service Fittings: Arrangement of brackets and mountings to support and provide access to wiring or cabling of a cell, and to connect the cable or raceway that extends the system to an individual wall outlet. Provide for connection of Type MC cable for power extensions, and optical fiber/communication cable raceway for communication system extensions.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Install raceways aligned and leveled and, unless otherwise indicated, parallel or perpendicular to floor supports.
- B. Maintain arrangement of conductor services throughout the raceway system.
- C. Install a concrete mud slab for support of cellular metal, flush duct, or trench duct raceway. Construct mud slab with wire mesh in the top 1 inch of concrete.
- D. Install a vapor barrier between the cellular metal raceway and a substrate in contact with earth.
- E. Arrange supports to attain proper elevation, alignment, and spacing of raceways. Fasten supports securely at ends and at intervals not to exceed 60 inch to prevent movement during concrete pour.
- F. Level raceway components with finished slab and make adjustments in raceway component elevation to accommodate indicated floor finishes.
- G. Junction Boxes: Install tops level and flush with finished floor. Install blank closure plates or plugs to close unused junction-box openings. Grout boxes in place to prevent movement during construction. Place top covers in inverted position during construction to prevent damage to surface of cover. Reinstall covers in proper position prior to final acceptance of the Work.
- H. Install preset inserts per manufacturer's instructions.
- I. Adjust supports to maintain a 1/8 to 3/8 inch finished concrete cover over preset inserts.
- J. Remove burrs, sharp edges, dents, and mechanical defects.
- K. Cap or plug boxes, insert- and service-fitting openings, and open ends of raceways.
- L. Install expansion fittings with suitable bonding jumper where raceways cross building expansion joints.
- M. Bond underfloor raceway components to create a continuous bonding path.
- N. Seal raceways, cells, junction boxes, and inserts to prevent water, concrete, or foreign matter from entering raceways before and during pouring slab or placing fill. Tape joints or seal with compound, as recommended in writing by underfloor raceway manufacturer.
- O. Install a marker at the center of the last insert of each cell and channel of each straight run of metal underfloor service raceway to locate the insert and identify the system.

1. Install markers at last inserts on both sides of permanent walls and at first inserts adjacent to each junction box.
 2. Install markers flush at screed line before pouring slab or placing fill. Extend marker with grommets screw when floor covering is placed. Do not extend through carpet.
 3. Use slotted-head screw to identify electrical power; use Phillips-head screw to identify conventional communications.
 4. Use another distinctive screw head to identify third system, such as special-purpose wiring.
- P. Protect underfloor raceway system from damage. Do not use the installed duct system as working platforms or walkways. Do not allow equipment or heavy traffic over duct during construction period, without first installing ramps over the duct. Ramps must be designed so that imposed loads are not transferred to the duct. Components of the system that are damaged during construction must be replaced.
- Q. Install concrete surrounding underfloor raceways according to Section 033000 "Cast-in-Place Concrete."
- R. Afterset Inserts: Cut, hole saw, and drill slab and raceways to allow for installation at locations indicated on plans.
- S. Wiring must comply with Section 260519 "Low-Voltage Electrical Power Conductors and Cables" and NFPA 70 requirements for wet locations.
1. Install wiring from outlet insert toward junction boxes, then to termination at panel.
 2. Splices: All splices and taps must be made in junction boxes. No splices or taps must be made in raceways or outlet inserts.

3.4 FIELD QUALITY CONTROL

A. Tests and Inspections:

1. Perform visual inspection of interior of each section of trench raceway to verify absence of dirt, dust, construction debris, and moisture. Replace damaged and malfunctioning components.
2. Prior to and after concrete pour, perform point-to-point tests of ground continuity and resistance of ground path between the most remote accessible fitting on each branch of each underfloor raceway system and the main electrical distribution grounding system.
 - a. Determine cause and perform correction of any point-to-point resistance value that exceeds 0.05 ohms.
 - b. Comply with NETA Acceptance Testing Specification about safety, suitability of test equipment, test instrument calibration, and test report and records.

3.5 CLEANING

- A. Clean and swab out underfloor raceways, inserts, and junction boxes after finish has been applied to floor slab, and remove foreign material, dirt, and moisture. Leave interiors clean and dry.

END OF SECTION 260539

SECTION 260544 - SLEEVES AND SLEEVE SEALS FOR ELECTRICAL RACEWAYS AND CABLING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Round sleeves.
2. Rectangular sleeves.
3. Sleeve-seal systems.
4. Sleeve-seal fittings.
5. Grout.
6. Foam sealants.

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements for Electrical" for field conditions applicable to Work specified in this Section.
3. Section 078413 "Penetration Firestopping" for penetration firestopping installed in fire-resistance-rated walls, horizontal assemblies, and smoke barriers, with and without penetrating items.

1.3 SUBMITTAL PROCEDURES'

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.

B. Sustainable Design Submittals:

1. Product Data: For sealants, indicating VOC content.

1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 ROUND SLEEVES

- A. PVC Pipe Sleeves:
1. General Characteristics: ASTM D1785, Schedule 40.
- B. PVC Molded Sleeves:
1. General Characteristics: With nailing flange for attaching to wooden forms.
- C. Round, Galvanized-Steel, Sheet Metal Sleeves:
1. General Characteristics: Galvanized-steel sheet; thickness not less than 0.0239 inch; round tube closed with welded longitudinal joint, with tabs for screw-fastening the sleeve to the board.

2.2 RECTANGULAR SLEEVES

- A. Rectangular, Galvanized-Steel, Sheet Metal Sleeves:
1. General Characteristics:
 - a. Material: Galvanized sheet steel.
 - b. Minimum Metal Thickness:
 - 1) For sleeve cross-section rectangle perimeter less than 50 inch and with no side larger than 16 inch, thickness must be 0.052 inch.
 - 2) For sleeve cross-section rectangle perimeter not less than 50 inch or with one or more sides larger than 16 inch, thickness must be 0.138 inch.

2.3 SLEEVE-SEAL SYSTEMS

- A. General Characteristics: Modular sealing device, designed for field assembly, to fill annular space between sleeve and raceway or cable or between raceway and cable.
- B. Options:
1. Sealing Elements: EPDM rubber interlocking links shaped to fit surface of pipe. Include type and number required for pipe material and size of pipe.
 2. Pressure Plates: Stainless steel.

3. Connecting Bolts and Nuts: Stainless steel of length required to secure plates to sealing elements.

2.4 SLEEVE-SEAL FITTINGS

- A. General Characteristics: Manufactured plastic, sleeve-type, waterstop assembly made for embedding in concrete slab or wall. Unit must have plastic or rubber waterstop collar with opening to match piping OD.

2.5 GROUT

- A. General Characteristics: Nonshrink; recommended for interior and exterior sealing openings in non-fire-rated walls or floors.
 1. Standard: ASTM C1107/C1107M, Grade B, post-hardening and volume-adjusting, dry, hydraulic-cement grout.
 2. Design Mix: 5000 psi, 28-day compressive strength.
 3. Packaging: Premixed and factory packaged.

2.6 FOAM SEALANTS

- A. Performance Criteria:
 1. General Characteristics: Multicomponent, liquid elastomers that, when mixed, expand and cure in place to produce a flexible, nonshrinking foam. Foam expansion must not damage cables or crack penetrated structure.
 2. Sustainability Characteristics:
 - a. Sealant must have a VOC content of 250 g/L or less

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION OF SLEEVES FOR NON-FIRE-RATED ELECTRICAL PENETRATIONS

- A. Sleeves for Conduits Penetrating Above-Grade, Non-Fire-Rated, Concrete and Masonry-Unit Floors and Walls:
 1. Interior Penetrations of Non-Fire-Rated Walls and Floors:
 - a. Seal space outside of sleeves with mortar or grout. Pack sealing material solidly between sleeve and wall or floor so no voids remain. Tool exposed surfaces smooth; protect material while curing.

- b. Seal annular space between sleeve and raceway or cable, using joint sealant appropriate for size, depth, and location of joint. Comply with requirements in Section 079200 "Joint Sealants."
2. Use pipe sleeves unless penetration arrangement requires rectangular sleeved opening.
3. Size pipe sleeves to provide 1/4 inch annular clear space between sleeve and raceway or cable, unless sleeve-seal system is to be installed.
4. Install sleeves for wall penetrations unless core-drilled holes or formed openings are used. Install sleeves during erection of walls. Cut sleeves to length for mounting flush with both surfaces of walls. Deburr after cutting.
5. Install sleeves for floor penetrations. Extend sleeves installed in floors 2 inch above finished floor level. Install sleeves during erection of floors.

B. Sleeves for Conduits Penetrating Non-Fire-Rated Wall Assemblies:

1. Use circular metal sleeves unless penetration arrangement requires rectangular sleeved opening.
2. Seal space outside of sleeves with approved joint compound for wall assemblies.

C. Roof-Penetration Sleeves: Seal penetration of individual raceways and cables with flexible boot-type flashing units applied in coordination with roofing work.

D. Aboveground, Exterior-Wall Penetrations: Seal penetrations using steel pipe sleeves and mechanical sleeve-seal systems. Size sleeves to allow for 1 inch annular clear space between pipe and sleeve for installing mechanical sleeve seals.

3.3 INSTALLATION OF RECTANGULAR SLEEVES AND SLEEVE SEALS

- A. Install sleeves in existing walls without compromising structural integrity of walls. Do not cut structural elements without reinforcing the wall to maintain the designed weight bearing and wall stiffness.
- B. Install conduits and cable with no crossings within the sleeve.
- C. Fill opening around conduits and cables with expanding foam without leaving voids.
- D. Provide metal sheet covering at both wall surfaces and finish to match surrounding surfaces. Metal sheet must be same material as sleeve.

3.4 INSTALLATION OF SLEEVE-SEAL SYSTEMS

- A. Install sleeve-seal systems in sleeves in exterior concrete walls and slabs-on-grade at raceway entries into building.
- B. Install type and number of sealing elements recommended by manufacturer for raceway or cable material and size. Position raceway or cable in center of sleeve. Assemble mechanical sleeve seals and install in annular space between raceway or cable and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make watertight seal.

END OF SECTION 260544

SECTION 260553 - IDENTIFICATION FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Labels.
2. Bands and tubes.
3. Tapes and stencils.
4. Tags.
5. Signs.
6. Cable ties.
7. Miscellaneous identification products.

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements for Electrical" for field conditions applicable to Work specified in this Section.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

A. Product Data:

1. Labels.
2. Bands and tubes.
3. Tapes and stencils.
4. Tags.
5. Signs.
6. Cable ties.
7. Miscellaneous identification products.

- B. Identification Schedule: For each piece of electrical equipment and electrical system components to be index of nomenclature for electrical equipment and system components used in identification signs and labels. Use same designations indicated on Drawings.

1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Comply with ASME A13.1.
- B. Comply with 29 CFR 1910.144 for color identification of hazards; 29 CFR 1910.145 for danger, caution, warning, and safety instruction signs and tags.
- C. Adhesive-attached labeling materials, including label stocks, laminating adhesives, and inks used by label printers, must comply with UL 969.

2.2 COLOR AND LEGEND REQUIREMENTS

- A. Raceways and Cables Carrying Circuits at 1000 V or Less:
 - 1. Black letters on orange field.
 - 2. Legend: Indicate voltage and system or service type.
- B. Warning Label Colors:
 - 1. Identify system voltage with black letters on orange background.
- C. Warning labels and signs must include, but are not limited to, the following legends:
 - 1. Multiple Power Source Warning: "DANGER - ELECTRICAL SHOCK HAZARD - EQUIPMENT HAS MULTIPLE POWER SOURCES."
 - 2. Workspace Clearance Warning: "WARNING - OSHA REGULATION - AREA IN FRONT OF ELECTRICAL EQUIPMENT MUST BE KEPT CLEAR FOR 3 FEET MINIMUM."
- D. Equipment Identification Labels:
 - 1. Black letters on white field.

2.3 LABELS

- A. Vinyl Wraparound Labels: Preprinted, flexible labels laminated with clear, weather- and chemical-resistant coating and matching wraparound clear adhesive tape for securing label ends.

B. Self-Adhesive Labels: Vinyl, thermal, transfer-printed, 3 mil thick, multicolor, weather- and UV-resistant, pressure-sensitive adhesive labels, configured for intended use and location.

1. Minimum Nominal Size:

- a. 1-1/2 by 6 inch for raceway and conductors.
- b. 3-1/2 by 5 inch for equipment.

2.4 TAPES AND STENCILS

A. Marker Tapes: Vinyl or vinyl-cloth, self-adhesive wraparound type, with circuit identification legend machine printed by thermal transfer or equivalent process.

B. Floor Marking Tape: 2 inch wide, 5 mil pressure-sensitive vinyl tape, with black and white stripes and clear vinyl overlay.

2.5 TAGS

A. Metal Tags: Brass or aluminum, 2 by 2 by 0.05 inch, with stamped legend, punched for use with self-locking cable tie fastener.

B. Nonmetallic Preprinted Tags: Polyethylene tags, 0.015 inch thick, color-coded for phase and voltage level, with factory printed permanent designations; punched for use with self-locking cable tie fastener.

C. Write-on Tags:

1. Polyester Tags: 0.015 inch thick, with corrosion-resistant grommet and cable tie for attachment.
2. Marker for Tags:
 - a. Permanent, waterproof, black ink marker recommended by tag manufacturer.
 - b. Machine-printed, permanent, waterproof, black ink marker recommended by printer manufacturer.

2.6 SIGNS

A. Metal-Backed Butyrate Signs:

1. Weather-resistant, nonfading, preprinted, cellulose-acetate butyrate signs, with 0.0396 inch galvanized-steel backing, punched and drilled for fasteners, and with colors, legend, and size required for application.
2. 1/4 inch grommets in corners for mounting.
3. Nominal Size: 10 by 14 inch.

B. Laminated Acrylic or Melamine Plastic Signs:

1. Engraved legend.
2. Thicknesses as follows:

- a. For signs up to 20 sq. inch, minimum 1/16 inch thick.
- b. For signs larger than 20 sq. inch, 1/8 inch thick.
- c. Engraved legend with white letters on dark gray background.
- d. Punched or drilled for mechanical fasteners with 1/4 inch.
- e. Framed with mitered acrylic molding and arranged for attachment at applicable equipment.

2.7 CABLE TIES

- A. General-Purpose Cable Ties: Fungus inert, self-extinguishing, one piece, self-locking, and Type 6/6 nylon.
 1. Minimum Width: 3/16 inch.
 2. Tensile Strength at 73 deg F in accordance with ASTM D638: 12,000 psi.
 3. Temperature Range: Minus 40 to plus 185 deg F.
 4. Color: Black, except where used for color-coding.

2.8 MISCELLANEOUS IDENTIFICATION PRODUCTS

- A. Paint: Comply with requirements in painting Sections for paint materials and application requirements. Retain paint system applicable for surface material and location (exterior or interior).
- B. Fasteners for Labels and Signs: Self-tapping, stainless steel screws or stainless steel machine screws with nuts and flat and lock washers.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 PREPARATION

- A. Self-Adhesive Identification Products: Before applying electrical identification products, clean substrates of substances that could impair bond, using materials and methods recommended by manufacturer of identification product.

3.3 INSTALLATION

- A. Verify and coordinate identification names, abbreviations, colors, and other features with requirements in other Sections requiring identification applications, Drawings, Shop Drawings, manufacturer's wiring diagrams, and operation and maintenance manual. Use consistent designations throughout Project.
- B. Install identifying devices before installing acoustical ceilings and similar concealment.
- C. Verify identity of item before installing identification products.

- D. Coordinate identification with Project Drawings, manufacturer's wiring diagrams, and operation and maintenance manual.
- E. Apply identification devices to surfaces that require finish after completing finish work.
- F. Install signs with approved legend to facilitate proper identification, operation, and maintenance of electrical systems and connected items.
- G. Auxiliary Electrical Systems Conductor Identification: Identify field-installed alarm, control, and signal connections.
- H. Elevated Components: Increase sizes of labels, signs, and letters to those appropriate for viewing from floor.
- I. Accessible Fittings for Raceways: Identify cover of junction and pull box of the following systems with wiring system legend and system voltage. System legends must be as follows:
 - 1. "EMERGENCY POWER."
 - 2. "POWER."
 - 3. "UPS."
- J. Snap-Around Labels: Secure tight to surface at location with high visibility and accessibility.
- K. Self-Adhesive Wraparound Labels: Secure tight to surface at location with high visibility and accessibility.
- L. Self-Adhesive Labels:
 - 1. Install unique designation label that is consistent with wiring diagrams, schedules, and operation and maintenance manual.
 - 2. Unless otherwise indicated, provide single line of text with 1/2 inch high letters on 1-1/2 inch high label; where two lines of text are required, use labels 2 inch high.
- M. Snap-Around Color-Coding Bands: Secure tight to surface at location with high visibility and accessibility.
- N. Marker Tapes: Secure tight to surface at location with high visibility and accessibility.
- O. Self-Adhesive Vinyl Tape: Secure tight to surface at location with high visibility and accessibility.
- P. Tape and Stencil: Comply with requirements in painting Sections for surface preparation and paint application.
- Q. Floor Marking Tape: Apply stripes to finished surfaces following manufacturer's instructions.
- R. Metal Tags:
 - 1. Place in location with high visibility and accessibility.
 - 2. Secure using general-purpose cable ties.
- S. Nonmetallic Preprinted Tags:

1. Place in location with high visibility and accessibility.
2. Secure using general-purpose cable ties.

T. Write-on Tags:

1. Place in location with high visibility and accessibility.
2. Secure using general-purpose cable ties.

U. Baked-Enamel Signs:

1. Attach signs that are not self-adhesive type with mechanical fasteners appropriate to location and substrate.
2. Unless otherwise indicated, provide single line of text with 1/2 inch high letters on minimum 1-1/2 inch high sign; where two lines of text are required, use signs minimum 2 inch high.

V. Cable Ties: General purpose, for attaching tags, except as listed below:

1. Outdoors: UV-stabilized nylon.
2. In Spaces Handling Environmental Air: Plenum rated.

3.4 IDENTIFICATION SCHEDULE

- A. Install identification materials and devices at locations for most convenient viewing without interference with operation and maintenance of equipment. Install access doors or panels to provide view of identifying devices.
- B. Identify conductors, cables, and terminals in enclosures and at junctions, terminals, pull points, and locations of high visibility. Identify by system and circuit designation.
- C. Accessible Raceways and Metal-Clad Cables, 1000 V or Less, for Service, Feeder, and Branch Circuits, More Than 30A and 120V to Ground: Identify with self-adhesive vinyl tape applied in bands.
- D. Accessible Fittings for Raceways and Cables within Buildings: Identify cover of junction and pull box of the following systems with self-adhesive labels containing wiring system legend and system voltage. System legends must be as follows:
1. "EMERGENCY POWER."
 2. "POWER."
 3. "UPS."
- E. Power-Circuit Conductor Identification, 1000 V or Less: For conductors in vaults, pull and junction boxes, manholes, and handholes, use self-adhesive vinyl tape to identify phase.
- F. Control-Circuit Conductor Identification: For conductors and cables in pull and junction boxes, manholes, and handholes, use self-adhesive labels with conductor or cable designation, origin, and destination.
- G. Control-Circuit Conductor Termination Identification: For identification at terminations, provide self-adhesive labels with conductor designation.

- H. Conductors to Be Extended in Future: Attach marker tape to conductors and list source.
- I. Auxiliary Electrical Systems Conductor Identification: Self-adhesive vinyl tape that is uniform and consistent with system used by manufacturer for factory-installed connections.
 - 1. Identify conductors, cables, and terminals in enclosures and at junctions, terminals, and pull points. Identify by system and circuit designation.
- J. Locations of Underground Lines: Underground-line warning tape for power, lighting, communication, and control wiring and optical-fiber cable.
- K. Workspace Indication: Apply floor marking tape or tape and stencil to finished surfaces. Show working clearances in direction of access to live parts. Workspace must comply with NFPA 70 and 29 CFR 1926.403 unless otherwise indicated. Do not install at flush-mounted panelboards and similar equipment in finished spaces.
- L. Warning Labels for Indoor Cabinets, Boxes, and Enclosures for Power and Lighting: Baked-enamel warning signs.
 - 1. Apply to exterior of door, cover, or other access.
 - 2. For equipment with multiple power or control sources, apply to door or cover of equipment, including, but not limited to, the following:
 - a. Power-transfer switches.
 - b. Controls with external control power connections.
- M. Operating Instruction Signs: Baked-enamel warning signs.
- N. Equipment Identification Labels:
 - 1. Indoor Equipment: Laminated acrylic or melamine plastic sign.
 - 2. Outdoor Equipment: Laminated acrylic or melamine sign.
 - 3. Equipment to Be Labeled:
 - a. Panelboards: Typewritten directory of circuits in location provided by panelboard manufacturer. Panelboard identification must be in form of self-adhesive, engraved laminated acrylic or melamine label.
 - b. Enclosures and electrical cabinets.
 - c. Access doors and panels for concealed electrical items.
 - d. Switchgear.
 - e. Switchboards.
 - f. Enclosed switches.
 - g. Enclosed circuit breakers.
 - h. Contactors.
 - i. Remote-controlled switches, dimmer modules, and control devices.
 - j. UPS equipment.

END OF SECTION 260553

SECTION 260800 – COMMISSIONING OF ELECTRICAL

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. This Section includes commissioning process requirements for HVAC&R systems, assemblies, and equipment.:
- B. Related Requirements:
 - 1. DDC General Conditions Section 019113 "General Commissioning Requirements for MEP Systems" for general commissioning process requirements.

1.3 DESCRIPTION

- A. Commissioning is a systematic process of confirming that all building systems perform interactively according to the City of New York's Project Requirements and the Basis of Design and continuing through construction, acceptance and the warranty period with actual verification of performance.
- B. The Commissioning process does not take away from or reduce the responsibility of the Contractor to provide a finished and fully functioning product.
- C. The CxA directs and coordinates the commissioning activities and reports to the Commissioner. All members in the construction process work together to fulfill their contracted responsibilities and meet the objectives of the City of New York's Project Requirement's as detailed in the Contract Documents.

1.4 DEFINITIONS

- A. Refer to the DDC General Conditions for definitions.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

- B. The CxA will review and approve submittals related to the commissioned equipment for conformance to the Contract Documents as it relates to the commissioning process, to the functional performance of the equipment and adequacy for developing test procedures. This review is intended primarily to aid in the development of functional testing procedures and only secondarily to verify compliance with equipment specifications. The CxA will notify the Contractor, or Commissioner as requested, of items missing or areas that are not in conformance with Contract Documents and which require resubmission.
- C. The CxA will receive a copy of the final approved submittals.
- D. In addition, the Contractor is to provide the following:
 - 1. Certificates of readiness
 - 2. Certificates of completion of installation, prestart, and startup activities.
 - 3. O&M manuals
 - 4. Test reports

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Test Equipment Calibration Requirements: Contractor will comply with test manufacturer's calibration procedures and intervals. Recalibrate test instruments immediately after instruments have been repaired resulting from being dropped or damaged. Affix calibration tags to test instruments. Furnish calibration records to CxA upon request.

1.7 COORDINATION

- A. Commissioning Kick-Off Meeting – Construction Team: The Contractor will attend a meeting of the Commissioning Team, chaired by the CxA, to review the scope of commissioning process activities and the Commissioning Plan with discussions on milestones, activities, and assignments of responsibilities. The flow and type of documents and the amount of submittal data given to the CxA will be determined. Meeting minutes will then be distributed to all parties by the CxA.
- B. Commissioning Meetings: The Contractor will attend coordination meetings with the Commissioning Team, chaired by the CxA, to review progress on the Commissioning Plan, construction deficiencies, scheduling conflicts, and to discuss strategies and processes for upcoming commissioning process activities.
- C. Miscellaneous Construction Meetings: The CxA attends selected planning and job-site meetings in order to remain informed on construction progress and to update parties involved in the commissioning process. This will not include 100% meeting attendance, but the CxA shall be provided with the subsequent meeting minutes for review.

- D. Pre-testing Meetings: The Contractor will attend pretest meetings with the Commissioning Team, chaired by the CxA, to review startup reports, pre-test inspection results, testing procedures, testing personnel and instrumentation requirements, and manufacturers' authorized service representative services for each system, subsystem, equipment, and component to be tested.
- E. Testing: The Contractor will coordinate with testing personnel and agencies for timing and access for CxA to witness test.
- F. Manufacturers' Inspection and Startup Services: The Contractor will coordinate services of manufacturers' inspection and startup services.
- G. Testing, Adjusting and Balancing: The Contractor will coordinate with plan and schedule for testing, adjusting and balancing for timing and access for CxA to witness process.

PART 2 - PRODUCTS

2.1 TEST EQUIPMENT

- A. All standard testing equipment required to perform startup, initial checkout and functional performance testing shall be provided by the Contractor for the equipment being tested. For example, the Contractor shall ultimately be responsible for all standard testing equipment for the HVAC&R system and controls system in Division 23. A sufficient quantity of two-way radios shall be provided by the Contractor.
- B. Proprietary test equipment and software required by any equipment manufacturer for programming and/or start-up, whether specified or not, shall be provided by the manufacturer of the equipment. Manufacturer shall provide the test equipment, demonstrate its use, and assist in the commissioning process as needed. Proprietary test equipment (and software) shall become the property of the City of New York's personnel upon completion of the commissioning process.
- C. All testing equipment shall be of sufficient quality and accuracy to test and/or measure system performance with the tolerances specified in the Specifications. If not otherwise noted, the following minimum requirements apply: Temperature sensors and digital thermometers shall have a certified calibration within the past year to accuracy of 0.5°F and a resolution of + or - 0.1°F. Pressure sensors shall have an accuracy of + or - 2.0% of the value range being measured (not full range of meter) and have been calibrated within the last year.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.
- B. With assistance from the Contractor and the CxA will prepare Pre-Functional Checklists for all commissioned components, equipment, and systems.

- C. **Red-lined Drawings (As-Builts):** The Contractor will verify all equipment, systems, instrumentation, wiring and components are shown correctly on red-lined drawings. Preliminary red-lined drawings must be made available to the Commissioning Team for use prior to the start of Functional Performance Testing. Changes, as a result of Functional Testing, must be incorporated into the final as-built drawings, which will be created from the red-lined drawings. The Contractor will create the as-built drawings.
- D. **Operation and Maintenance Data:** Contractor will provide a copy of O&M literature within 45 days of each submittal acceptance for use during the commissioning process for all commissioned equipment and systems. The CxA will review the O&M literature once for conformance to project requirements. The CxA will receive a copy of the final approved O&M literature once corrections have been made by the Contractor.
- E. **Demonstration and Orientation:** Contractor will provide demonstration and orientation as required by the specifications. A complete orientation plan and schedule must be submitted by the Contractor to the CxA four weeks (4) prior to any orientation. An orientation agenda for each orientation session must be submitted to the CxA one (1) week prior the orientation session.

3.2 CONTRACTOR'S RESPONSIBILITIES

- A. Refer to the DDC General Conditions Section 019113 “General Commissioning Requirements for MEP Systems” for Contractor’s responsibilities.
- B. Attend construction phase controls coordination meetings.
- C. Provide information requested by the CxA for final commissioning documentation.
- D. Prepare preliminary schedule for electrical system orientations and inspections, operation and maintenance manual submissions, orientation sessions, equipment start-up and task completion for the City of New York. Distribute preliminary schedule to commissioning team members.
- E. Provide measuring instruments and logging devices to record test data, and provide data acquisition equipment to record data for the complete range of testing for the required test period.
- F. Provide detailed startup procedures.
- G. Provide a written list of all user adjustable set-points and reset schedules with a brief discussion of the purpose of each and the range of reasonable adjustments with energy implications.
- H. Provide a written schedule frequency to review the various set-points and reset schedules to ensure they are current relevant and efficient values.
- I. Respond to provided new deficiencies and/or responses within five (5) business days.
- J. Gather operation and maintenance literature on all equipment, and assemble in binders as required by the Contract Documents. Submit to CxA 45 days after submittal acceptance.
- K. Coordinate with the CxA to provide 48-hour advance notice so that the witnessing of equipment and system start-up and testing can begin.

- L. Notify the CxA a minimum of two weeks in advance of the time for start of the testing and balancing work. Attend the initial testing and balancing meeting for review of the official testing and balancing procedures.
- M. Provide written notification to the Commissioner and CxA that the following work has been completed in accordance with the Contract Documents, and that the equipment, systems, and sub-system are operating as required.
 - 1. Electrical equipment including panel boards, lighting controls, dimmers and all other equipment furnished under Division 26.
- N. The equipment suppliers shall document the performance of their equipment.
- O. Provide a complete set of red-lined drawings to the CxA prior to the start of Functional Performance Testing.
- P. Contractor responsibilities to be completed by Equipment Suppliers:
 - 1. Provide all requested submittal data, including detailed start-up procedures and specific responsibilities of the City of New York’s personnel, to keep warranties in force.
 - 2. Assist in equipment testing.
 - 3. Provide information requested by CxA regarding equipment sequence of operation and testing procedures.

3.3 CxA'S RESPONSIBILITIES

- A. Roles and Responsibilities
 - 1. Refer to the DDC General Conditions Section 019113 “General Commissioning Requirements for MEP Systems” for general CxA responsibilities.

3.4 TESTING PREPARATION

- A. Certify in writing to the CxA that Electrical systems, subsystems, and equipment have been installed, calibrated, and started and are operating according to the Contract Documents.
- B. Certify in writing to the CxA that Electrical instrumentation and control systems have been completed and calibrated, that they are operating according to the Contract Documents, and that pretest set points have been recorded.
- C. Certify in writing that testing procedures have been completed and that testing reports have been submitted, discrepancies corrected, and corrective work approved.
- D. Place systems, subsystems, and equipment into operating mode to be tested (e.g., normal shutdown, normal auto position, normal manual position, unoccupied cycle, emergency power, and alarm conditions).
- E. Inspect and verify the position of each device and interlock identified on checklists.

- F. Check safety cutouts, alarms, and interlocks with smoke control and life-safety systems during each mode of operation.
- G. Testing Instrumentation: Install measuring instruments and logging devices to record test data as directed by the CxA.

3.5 GENERAL TESTING REQUIREMENTS

- A. Provide technicians, instrumentation, and tools to perform commissioning test at the direction of the CxA.
- B. Scope of Electrical testing shall include the entire Electrical installation, from the incoming power equipment throughout the distribution system. Testing shall include measuring, but not be limited to resistance, voltage, and amperage of system(s) and devices.
- C. Test all operating modes, interlocks, control responses, and responses to abnormal or emergency conditions, and verify proper response of building automation system controllers and sensors.
- D. The CxA along with the Contractor shall prepare detailed testing plans, procedures, and checklists for Electrical systems, subsystems, and equipment. The Contractor shall ensure the participation of the electrical subcontractor.
- E. Tests will be performed using design conditions whenever possible.
- F. Simulated conditions may need to be imposed using an artificial load when it is not practical to test under design conditions. Before simulating conditions, calibrate testing instruments. Provide equipment to simulate loads. Set simulated conditions as directed by the CxA and document simulated conditions and methods of simulation. After tests, return settings to normal operating conditions.
- G. The CxA may direct that set points be altered when simulating conditions is not practical.
- H. The CxA may direct that sensor values be altered with a signal generator when design or simulating conditions and altering set points are not practical.
- I. If tests cannot be completed because of a deficiency outside the scope of the Electrical system, document the deficiency and report it to the Commissioner. After deficiencies are resolved, reschedule tests.
- J. If the testing plan indicates specific seasonal testing, complete appropriate initial performance tests and documentation and schedule seasonal tests.

3.6 ELECTRICAL SYSTEMS, SUBSYSTEMS, AND EQUIPMENT TESTING PROCEDURES

- A. Equipment Testing and Acceptance Procedures: Testing requirements are specified in individual Division 26 sections. Provide submittals, test data, inspector record, infrared camera and certifications to the CxA.
- B. Electrical Instrumentation and Control System Testing: Field testing plans and testing requirements are specified in Section 230913 "Instrumentation and Control for HVAC Equipment" and Section 230993.11 "Sequence of Operations for HVAC Direct Digital Control." Assist the CxA with preparation of testing plans.

- C. Electrical Distribution System Testing: Provide technicians, load banks, infrared cameras, instrumentation, tools and equipment to test performance of designated systems and devices at the direction of the CxA. The CxA shall determine the sequence of testing and testing procedures for each equipment item and pipe section to be tested
- D. Vibration and Sound Tests: Provide technicians, instrumentation, tools, and equipment to test performance of vibration isolation and seismic controls.
- E. The work included in the commissioning process involves a complete and thorough evaluation of the operation and performance of all components, systems and sub-systems. Commissioning shall be performed on equipment and systems including but not limited to the following:

- 1. Panelboards
- 2. Lighting Controls

3.7 DEFICIENCIES/NON-CONFORMANCE, FAILURE DUE TO MANUFACTURER DEFECT

A. Deficiencies/Non-Conformance

- 1. The CxA will record the results of the functional test on the test form. All deficiencies or non-conformance items shall be noted and reported to the Commissioner and Contractor on a standardized form.
- 2. The Contractor shall respond to new deficiencies within five (5) business days. The response shall indicate the proposed means of correcting the issue and the anticipated date of correction. If further information is required to clarify the issue, the Contractor's response shall include a request such clarification. If the Contractor understands that the issue has been resolved or was noted in error, the Contractor's response shall provide an explanation of their reasoning, including reference to Contract Documents as necessary.
- 3. Corrections of minor deficiencies identified may be made during the tests at the discretion of the CxA.
- 4. Every effort will be made to expedite the testing process and minimize unnecessary delays, while not compromising the integrity of the procedures.
- 5. As tests progress and a deficiency is identified, the CxA discusses the issue with the Contractor.
- 6. When the issue does not require further clarification for the Contractor to resolve, the CxA documents the deficiency and the Contractor's response and corrections or plans for correction. The CxA and the Contractor then proceed to another test or sequence. Once the Contractor corrects the deficiency, the test is rescheduled and repeated to demonstrate correct operation or function.

7. When additional information is required about any deficiency, whether to clarify the issue or to clarify the means of resolution or acceptance, the CxA documents the deficiency and the Contractor's response. The CxA will send the deficiency to the Commissioner and the Contractor, who shall forward to any subcontractors required for the correction. Once all parties are in agreement as to the means of resolving the issue, the CxA will document the agreed-upon resolution process. The CxA will document the correction or resolution. If the correction requires work by the Contractor, the Contractor and CxA will reschedule the test to demonstrate correct operation and function.

B. Failure due to Manufacturer Defect

1. If 10% or three, whichever is greater, of identical pieces (size alone does not constitute a difference) of equipment fail to perform to the Contract Documents (mechanically or substantively) due to manufacturing defect, not allowing it to meet its submitted performance spec, all identical units may be considered unacceptable by the CxA and the Commissioner. In such case, the Contractor shall provide the Commissioner with the following.
 - a. Within one week of notification from the Contractor the manufacturer's representative shall examine all other identical units making a record of the findings. The findings shall be provided to the Commissioner within two weeks of the original notice.
 - b. Within two weeks of the original notification, the Contractor or manufacturer shall provide a signed and dated, written explanation of the problem, cause of failures, etc. and all proposed solutions which shall include full equipment submittals. The proposed solutions shall not significantly exceed the specification requirements of the original installation.
 - c. The Commissioner will determine whether a replacement of all identical units or a repair is acceptable.
 - d. Two examples of the proposed solution will be installed by the Contractor and the Contractor will be allowed to test the installations for up to one week, upon which the Commissioner will decide whether to accept the solution.
 - e. Upon acceptance, the Contractor and/or manufacturer shall replace or repair all identical items, at their expense and extend the warranty accordingly, if the original equipment warranty had begun. The replacement/repair work shall proceed with reasonable speed beginning within one week from when parts can be obtained.

3.8 APPROVAL

- A. The CxA notes each satisfactorily demonstrated function on the test form. Formal approval of the functional test is made later after review by the CxA. The CxA recommends acceptance of each test to the Commissioner using a standard form.

3.9 SEASONAL TESTING

- A. Seasonal Testing – During the warranty period, seasonal testing (tests delayed until weather conditions are closer to the system's design) shall be completed as part of this contract. The CxA shall coordinate this activity. Tests will be executed, documented and deficiencies corrected by the Contractor, with facilities staff and the CxA witnessing. Any final adjustments to the O&M manuals and record documents due to seasonal testing will be made by the Contractor.

3.10 OPERATION AND MAINTENANCE MANUALS

- A. The Operation and Maintenance Manuals shall conform to Contract Documents requirements as stated in the DDC General Conditions Section 017839 “Contract Record Documents and Section” 019113 “General Commissioning Requirements for MEP Systems.”
- B. The specific content and format requirements for the standard O&M manuals are detailed in the DDC General Conditions Section 017839 “Contract Record Documents” and Section 019113 “General Commissioning Requirements for MEP Systems.” Special requirements for the controls subcontractor and TAB subcontractor are found in Division 23.
- C. CxA Review and Approval – Prior to substantial completion, the CxA shall review the O&M manuals, documentation and record documents for systems that were commissioned to verify compliance with the Specifications. The CxA will communicate deficiencies in the manuals to the Contractor, or Commissioner, as requested. Upon a successful review of the corrections, the CxA recommends approval and acceptance of these sections of the O&M manuals to the Commissioner. The CxA also reviews each equipment warranty and verifies that all requirements to keep the warranty valid are clearly stated.

3.11 INSTRUCTION OF NEW YORK CITY PERSONNEL

- A. The Contractor shall be responsible for instruction coordination, scheduling, and ultimately for ensuring that instruction is completed.
- B. The CxA shall oversee the instruction of the City of New York’s personnel for commissioned equipment and systems.
 - 1. The CxA shall interview the City of New York’s personnel to determine the special needs and areas where instruction will be most valuable. The Commissioner and CxA shall decide how rigorous the instruction should be for each piece of commissioned equipment. The CxA shall communicate the results to the Contractor who will ensure participation of the subcontractor.
 - 2. In addition to these general requirements, the specific instruction requirements of the City of New York’s personnel by the Contractor who will ensure the subcontractors and vendors are specified in the individual sections listed in DDC’s General Conditions Section 017900 “Demonstration and Owners’ Pre-Acceptance Orientation.
 - 3. The Contractor shall ensure that each subcontractor and vendor responsible for instruction will submit a written instruction plan to the Contractor for review and approval prior to instruction. The Contractor will submit one comprehensive instruction plan to the CxA and the Commissioner.
 - 4. The plan will be reviewed by the CxA and the Commissioner. Comments pertaining to its deficiencies will be forwarded to the Contractor. The instruction plan will be rewritten until approved by the CxA and the Commissioner. The final approved instruction plan will cover the following elements:
 - a. Equipment (included in instruction)
 - b. Intended audience



- c. Location of instruction
 - d. Objectives
 - e. Subjects covered (description, duration of discussion, special methods, etc.)
 - f. Duration of instruction on each subject
 - g. Qualified instructor for each subject
 - h. Instructor qualifications
 - i. Methods (classroom lecture, video, site walk-through, actual operational demonstrations, written handouts, etc.)
5. For the primary equipment, the Contractor will ensure the controls subcontractor provides a discussion of the control of the equipment during the instruction conducted by each subcontractor or vendor.
 6. Instruction documentation shall include the following items:
 - a. Copy of the instruction plan, including schedule, syllabus, and agenda.
 - b. Copy of the City of New York's Project Requirements.
 - c. Copy of the Basis of Design.
 - d. Compiled operations manuals.
 - e. Compiled maintenance manuals.
 - f. Completed manufacturer instruction manuals.
 - g. Red-lined drawings.
 7. The CxA develops criteria for determining that the instruction was satisfactorily completed, including attending the instruction, etc. The CxA recommends approval of the instruction to the Commissioner using a standard form. The Commissioner signs the approval form/letter template.
 8. At one of the instruction sessions, the CxA presents a presentation discussing the use of the blank functional test forms for re-commissioning equipment
 9. Video recording of the instruction sessions will be verified by the CxA in electrical format, at the discretion of the Commissioner.

END OF SECTION 260800

SECTION 260923 - LIGHTING CONTROL DEVICES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Electromechanical dial-time switches (Astronomic Timer).
2. Wireless Wall Switches, Dimmers
3. Wireless Indoor occupancy and vacancy sensors.
4. Wireless Power Packs.
5. Conductors and cables.

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements" for field conditions applicable to Work specified in this Section.
3. Section 262726 "Wiring Devices" for wall-box dimmers, non-networkable wall-switch occupancy sensors, and manual light switches.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 ACTION SUBMITTALS

A. Product Data:

1. Electromechanical dial-time switches.
2. Wireless Indoor occupancy and vacancy sensors.
3. Wireless Wall Switches, Dimmers.
4. Wireless Power Packs.
5. Conductors and cables.

B. Shop Drawings:

1. Show installation details for the following:
 - a. Occupancy sensors.
 - b. Vacancy sensors.
2. Interconnection diagrams showing field-installed wiring.
3. Include diagrams for power, signal, and control wiring.

C. Field quality-control reports.

1.5 INFORMATIONAL SUBMITTALS

A. Sample Warranty: For manufacturer's warranties.

1.6 QUALITY ASSURANCE

A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.7 WARRANTY

A. Special Extended Warranty: Manufacturer warrants that installed lighting control devices perform in accordance with specified requirements and agrees to repair or replace, including labor, materials, and equipment, devices that fail to perform as specified within extended warranty period.

1. Extended Warranty Period: Two year(s) from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 SYSTEM PERFORMANCE REQUIREMENTS

A. Wireless Networked Control Zone Characteristics

1. No wired connections between networked devices shall be required for the purposes of system communications.
2. Multiple wireless networking protocols shall be supported:
 - a. A standards based, distributed star topology type of protocol for 900 MHz communication, so as to support lighting control applications and IoT applications.
 - b. A Bluetooth standard protocol for 2.4 GHz communication that supports direct connection to a smartphone and tablet device, so as to support device configuration, control applications, and IoT without requiring the use of a system backbone.
3. Wireless network shall be self-healing, such that the loss of backbone or local communication between devices does not result in the loss of control of the lights in the space.



4. Wireless network communication shall support uniform and instant response such that all luminaires in a lighting control zone respond immediately and synchronously in response to a sensor or wall station signal.
5. To support the system architecture requirement for distributed intelligence, wireless network communication shall support communication of control signals from sensors and wall stations to networked luminaires and wireless load control devices, without requiring any communication, interpretation, or translation of information through a backbone device such as a wireless access point, communication bridge or gateway.
6. All wireless communication between lighting control components shall support the following five tiers of security measures:
 - a. Data Encryption.
 - b. Firmware Protection.
 - c. Tamper-Proof Hardware.
 - d. Authenticated User Access.
 - e. Mutual Device Authentication.
7. Accounting for typical environmental conditions and building construction materials encountered within commercial indoor lighting environments, wireless networked devices shall be capable of communicating to at least 150' spacing between devices with embedded wireless transceivers under typical site conditions.
8. Wireless networked devices shall have a line-of-sight communication range of at least 1000' under ideal environmental conditions.

B. Supported Sequence of Operations

1. Control Zones:
 - a. Networked luminaires and intelligent lighting control devices installed in an area (also referred to as a group of devices) shall be capable of transmitting and tracking occupancy sensor, photocell sensor, and manual switch information within at least 48 unique control zones to support different and reconfigurable sequences of operation within the area. These shall also be referred to as local control zones.
2. Wall station Capabilities:
 - a. Wall stations shall be provided to support the following capabilities:
 - 1) On/Off of a local control zone.
 - 2) Continuous dimming control of light level of a local control zone.
 - b. 3-way / multi-way control: multiple wall stations shall be capable of controlling the same local control zones, so as to support "multi-way" switching and/or dimming control.
3. Occupancy Sensing Capabilities:
 - a. Occupancy sensors shall be configurable to control a local zone.
 - b. Multiple occupancy sensors shall be capable of controlling the same local zones. This capability combines occupancy sensing coverage from multiple sensors without consuming multiple control zones.

- c. System shall support the following types of occupancy sensing sequence of operations:
 - 1) On/Off Occupancy Sensing
 - 2) Partial-On Occupancy Sensing
 - 3) Partial-Off Occupancy Sensing
 - 4) Vacancy Sensing (Manual-On / Automatic-Off)

- d. On/Off, Partial-On, and Partial-Off Occupancy Sensing modes shall function according to the following sequence of operation:
 - 1) Occupancy sensors shall automatically turn lights on to a designated level when occupancy is detected. To support fine tuning of Partial-On sequences the designated occupied light level shall support at least 100 dimming levels.
 - 2) Occupancy sensors shall automatically turn lights off or to a dimmed state (Partial- Off) when vacancy occurs or if sufficient daylight is detected. To support fine tuning of Partial-Off sequences the designated unoccupied dim level shall support at least 100 dimming levels.
 - 3) To provide additional energy savings the system shall also be capable of combining Partial-Off and Full-Off operation by dimming the lights to a designated level when vacant and then turning the lights off completely after an additional amount of time.
 - 4) Photocell readings, if enabled in the Occupancy Sensing control zone, shall be capable of automatically adjusting the light level during occupied or unoccupied conditions as necessary to further reduce energy usage. Additional requirements and details for photocell sensing capabilities are indicated under Paragraph 2.1.B.4 "Photocell Sensing Capabilities (Automatic Daylight Sensing)."
 - 5) The use of a wall station shall change the dimming level or turn lights off as selected by the occupant. The lights shall optionally remain in this manually-specified light level until the zone becomes vacant; upon vacancy the normal sequence of operation, as defined above, shall proceed.

- e. Vacancy Sensing mode (also referred to as Manual-On / Automatic-Off) shall function according to the following sequence of operation:
 - 1) The use of a wall station is required turn lights on. The system shall be capable of programming the zone to turn on to either to a designated light level or the previous user light level. Initially occupying the space without using a wall station shall not result in lights turning on.
 - 2) Occupancy sensors shall automatically turn lights off or to a dimmed state (Partial- Off) when vacancy occurs or if sufficient daylight is detected. To support fine tuning of Partial-Off sequences the designated unoccupied dim level shall support at least 100 dimming levels.
 - 3) To provide additional energy savings and an enhanced occupant experience, the system shall also be capable of dimming the lights when vacant and then turning the lights off completely after an additional amount of time.

- 4) To minimize occupant impact in case the area or zone is still physically occupied following dimming or shutoff of the lights due to detection of vacancy, the system shall support an "automatic grace period" immediately following detection of vacancy, during which time any detected occupancy shall result in the lights reverting to the previous level. After the grace period has expired, the use of a wall station is required to turn lights on.
 - 5) Photocell readings, if enabled in the Occupancy Sensing control zone, shall be capable of automatically adjusting the light level during occupied or unoccupied conditions as necessary to further reduce energy usage. Additional requirements and details for photocell sensing capabilities are indicated under Paragraph 2.1.B.4 "Photocell Sensing Capabilities (Automatic Daylight Sensing)."
 - 6) At any time, the use of a wall station shall change the dimming level or turn lights off as selected by the occupant. The lights shall optionally remain in this manually- specified light level until the zone becomes vacant; upon vacancy the normal sequence of operation, as defined above, shall proceed.
- f. To accommodate diverse types of environments, occupancy time delays before dimming or shutting off lights shall be specifiable for control zones between 15 seconds to 2 hours.
4. Photocell Sensing Capabilities (Automatic Daylight Sensing):
- a. Photocell sensing devices shall be configurable to control a local zone.
 - b. The system shall support the following type of photocell-based control:
 - 1) Continuous Dimming: The control zone automatically adjusts its dimming output in response to photocell readings, such that a minimum light level consisting of both electric light and daylight sources is maintained at the task. The photocell response shall be configurable to adjust the photocell setpoint and dimming rates.
5. Schedule Capabilities
- a. System shall support the creation of time schedules for time-of-day override of devices including offsets from dusk and dawn.
 - b. System shall support blink warning and timed extension capabilities. At the end of a scheduled period, the system shall be capable of providing a visible "blink warning" 5 minutes prior to the end of the schedule. Wall stations may be programmed to provide timed overrides that turn the lights on for an additional period of time. Timed override duration shall be programmable for each individual device, zone of devices, or customized group of devices, ranging from 5 minutes to 12 hours.
6. Global Profile Capabilities
- a. Global profiles may be scheduled with the following capabilities:
 - 1) Global Profiles shall be stored within and executed from the system controller (via internal timeclock) such that a dedicated software host or server is not required to be online to support automatic scheduling and/or operation of Global Profiles.

- 2) Global Profile time-of-day schedules shall be capable of being given the following recurrence settings: daily, specific days of week, every "n" number of days, weekly, monthly, and yearly. Lighting control profile schedules shall support definition of start date, end date, end after "n" recurrences, or never ending. Daylight savings time adjustments shall be capable of being performed automatically, if desired.
 - 3) Global Profile Holiday Schedules should follow recurrent settings for specific US holiday dates regardless if they always occur on a specific date or are determined by the day/week of the month.
 - 4) Global Profiles shall be capable of being scheduled to run according to timed offsets relative to sunrise or sunset. Sunrise/sunset times shall be automatically derived from location information using an astronomical clock.
 - 5) Software management interface shall be capable of displaying a graphic calendar view of profile schedules for each control zone.
- b. System Global Profiles shall have the following additional capabilities:
- 1) Global Profiles shall be capable of being manually activated directly from the system controller, specially programmed wired input devices, scene capable wired wall stations, and the software management interface.
 - 2) Global Profiles shall be selectable to apply to a single device, zone of devices, or customized group of devices.
 - 3) Parameters that shall be configurable and assigned to a Global Profile shall include, but not be limited to, fixture light level, occupancy time delay, response to occupancy sensors (including enabling/disabling response), response to daylight sensors (including enabling/disabling response), and enabling/disabling of wall stations.
- c. A backup of Local and Global Profiles shall be stored on the software's host server such that the Profile backup can be applied to a replacement system controller or wired wall station.
7. System shall support automated demand response capabilities with automatic reduction of light level to at least three levels of demand response.

2.2 ELECTROMECHANICAL DIAL-TIME SWITCHES (ASTRONOMIC TIMER)

A. Description: Comply with UL 917.

1. Listed and labeled in accordance with NFPA 70, by a qualified electrical testing laboratory and marked for intended location and application.
2. Contact Configuration: SPDT.
3. Contact Rating: 20 A load, 120/240 V(ac).
4. Circuitry: Allows connection of a photoelectric relay as a substitute for the on-off function of a program.
5. Astronomic time dial.
6. Eight-Day Program: Uniquely programmable for each weekday and holidays.
7. Skip-a-day mode.
8. Wound-spring reserve carryover mechanism to keep time during power failures, minimum of 16 hours.

2.3 WIRELESS WALL SWITCHES, DIMMERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Lutron
 - 2. Leviton
 - 3. Legrand
 - 4. Or approved equal.
- B. Devices shall recess into single-gang switch box and fit a standard GFI opening.
- C. Communication shall be provided by wireless BLE connection and 900MHz link to other devices.
- D. Devices shall have options to be powered by battery or line voltage. If powered by battery, expected battery life shall be no less than 10 years.
- E. Devices with mechanical push-buttons shall provide tactile and LED user feedback during button press.
- F. Devices with mechanical push-buttons shall be made available with custom button labeling.
- G. Wall switches & dimmers shall support the following device options:
 - 1. Number of control zones: 1, 2.
 - 2. Control Types Supported: On/Off or On/Off/Dimming.
 - 3. Colors: Ivory, White, Light Almond, Gray, Red.

2.4 WIRELESS INDOOR OCCUPANCY AND VACANCY SENSORS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Lutron
 - 2. Leviton
 - 3. Legrand
 - 4. Or approved equal.
- B. Communication shall be provided by wireless BLE connection and 900MHz link to other devices.
- C. Occupancy sensors shall sense the presence of human activity within the desired space and fully control the on/off function of the lights.
- D. Sensors shall utilize passive infrared (PIR) technology, which detects occupant motion, to initially turn lights on from an off state, thus preventing false on conditions. Ultrasonic or Microwave based sensing technologies shall not be accepted.
- E. For applications where a second method of sensing is necessary to adequately detect maintained occupancy (such as in rooms with obstructions), a sensor with an additional "dual" technology shall be used.

- F. Dual technology sensors shall have one of its two technologies not require motion to detect occupancy. Acceptable dual technology includes PIR/Microphonics (also known as Passive Dual Technology or PDT) which both looks for occupant motion and listens for sounds indicating occupants. Sensors where both technologies detect motion (PIR/Ultrasonic) shall not be acceptable.
 - 1. Detector Sensitivity: Detect occurrences of 6 inch minimum movement of any portion of a human body that presents a target of not less than 36 sq. inch, and detect a person of average size and weight moving not less than 12 inch in either a horizontal or a vertical manner at an approximate speed of 12 inches.
 - 2. Detection Coverage (Standard Room): Detect occupancy anywhere within a circular area of 1000 sq. ft. when mounted on a 96 inch high ceiling.
- G. All sensing technologies shall be acoustically passive, meaning they do not transmit sounds waves of any frequency (for example in the Ultrasonic range), as these technologies have the potential for interference with other electronic devices within the space (such as electronic white board readers). Acceptable detection technologies include Passive Infrared (PIR), and/or Microphonics technology. Ultrasonic or Microwave based sensing technologies shall not be accepted.
- H. All sensors shall have the ability to detect when it is not receiving valid communication and blink its LED in a pattern to visually indicate a potential issue.
- I. Devices shall have option to powered by battery or line voltage. If powered by battery, expected battery life shall be no less than 10 years.
- J. Sensor programming parameter shall be available and configurable remotely from the software
- K. Sensors shall be available with zero or one integrated dry contact switching relays, capable of switching 1 amp at 24 VAC/VDC (resistive only).
- L. Sensors shall have standard daylight photosensor for programmable daylight harvesting. Lighting control set point is based on two lighting conditions:
 - 1. When no daylight is present (target level).
 - 2. When significant daylight is present.
- M. Deadband setting shall be verified and modified by the sensor automatically every time the lights cycle to accommodate physical changes in the space (i.e., furniture layouts, lamp depreciation, or lamp outages).
- N. Nipple mounted devices shall include option for power interruption detection, where unit powers and controls the emergency circuit, and an interruption of power to this circuit for >30 ms forces unit to shunt closed, go to full bright, and ignore all system commands for 90 minutes.

2.5 WIRELESS POWER PACKS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Lutron
 - 2. Leviton

3. Legrand
 4. Or approved equal.
- B. Communication shall be provided by wireless BLE connection and 900MHz link to other devices.
- C. Power Packs shall incorporate one optional Class 1 relay, optional 0-10 VDC dimming output
- D. Power Packs shall accept 120 through 277 VAC and carry a plenum rating.
- E. Power Packs shall be available with optional 24VDC, 100mA output for use with ceiling mount sensors or other DC powered products.
- F. Power Packs shall be available with options for integrated and remote capable antennas such that devices can be optionally installed in a sealed container without detriment to wireless strength.
- G. Power Pack programming parameters shall be available and configurable remotely from the software
- H. Power Pack shall securely mount to junction location through a threaded ½ inch chase nipple or be capable of being secured within a luminaire ballast/driver channel. Plastic clips into junction box shall not be accepted. All Class 1 wiring shall pass through chase nipple into adjacent junction box without any exposure of wire leads. Note: UL Listing under Energy Management or Industrial Control Equipment automatically meets this requirement, whereas Appliance Control Listing does not meet this safety requirement.
- I. When required by local code, Power Pack must install inside standard electrical enclosure and provide UL recognized support to junction box. All Class 1 wiring is to pass through chase nipple into adjacent junction box without any exposure of wire leads.
- J. Power Packs shall be available with the following options:
1. Power Pack capable of full 20-Amp switching of all normal power lighting load types, with optional 0-10V dimming output capable of up to 100mA of sink current.
 2. Power Packs with UL924 listing capable of full 20-Amp switching of all emergency power lighting load types, with optional 0-10V dimming output capable of up to 100mA of sink current. There shall be two methods of achieving the UL924 operation:
 - a. Power sense of normal power feed, where unit powers and controls emergency circuit, and loss of the normal power sense circuit forces the power pack to shunt closed, go to full bright, and ignore all system commands until normal power is restored.
 - b. Power interruption detection, where unit powers and controls the emergency circuit, and an interruption of power to this circuit for >30 ms forces unit to shunt closed, go to full bright, and ignore all system commands for 90 minutes.
- K. Power Packs shall have the option of mounting inside a sealed metal enclosure, with a plenum rated antenna protruding from said enclosure to allow for an IP 67 rated application.

2.6 CONDUCTORS AND CABLES

- A. Power Wiring to Supply Side of Remote-Control Power Sources: Not smaller than No. 12 AWG. Comply with requirements in Section 260519 "Low-Voltage Electrical Power Conductors and Cables."
- B. Classes 2 and 3 Control Cable: Multiconductor cable with stranded-copper conductors not smaller than No. 18 AWG. Comply with requirements in Section 260519 "Low-Voltage Electrical Power Conductors and Cables."
- C. Class 1 Control Cable: Multiconductor cable with stranded-copper conductors not smaller than No. 14 AWG. Comply with requirements in Section 260519 "Low-Voltage Electrical Power Conductors and Cables."

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine lighting control devices before installation. Reject lighting control devices that are wet, moisture damaged, or mold damaged.
- B. Examine walls and ceilings for suitable conditions where lighting control devices will be installed.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION OF SENSORS

- A. Coordinate layout and installation of ceiling-mounted devices with other construction that penetrates ceilings or is supported by them, including light fixtures, HVAC equipment, smoke detectors, fire-suppression systems, and partition assemblies.
- B. Install and aim sensors in locations to achieve not less than 90 percent coverage of areas indicated. Do not exceed coverage limits specified in manufacturer's instructions.

3.4 INSTALLATION OF WIRING

- A. Wiring Method: Comply with Section 260519 "Low-Voltage Electrical Power Conductors and Cables." Minimum conduit size is 1/2 inch.
- B. Wiring within Enclosures: Separate power-limited and nonpower-limited conductors in accordance with conductor manufacturer's instructions.

- C. Size conductors in accordance with lighting control device manufacturer's instructions unless otherwise indicated.
- D. Splices, Taps, and Terminations: Make connections only on numbered terminal strips in junction, pull, device, and outlet boxes; terminal cabinets; and equipment enclosures.

3.5 SYSTEM STARTUP

- A. Upon completion of installation by the installer, including completion of all required verification and documentation required by the manufacturer, the system shall be started up and programmed.
- B. System start-up and programming shall include:
 - 1. Verifying operational communication to all system devices.
 - 2. Programming the network devices into functional control zones to meet the required sequence of operation.
 - 3. Programming and verifying all sequence of operations.
- C. Initial start-up and programming is to occur on-site.

3.6 IDENTIFICATION

- A. Identify components and power and control wiring in accordance with Section 260553 "Identification for Electrical Systems."
 - 1. Identify controlled circuits in lighting circuits.
 - 2. Identify circuits or luminaires controlled by photoelectric and occupancy sensors at each sensor.
- B. Label time switches and contactors with a unique designation.

3.7 FIELD QUALITY CONTROL

- A. Field tests must be witnessed by Commissioner.
- B. Tests and Inspections:
 - 1. Operational Test: After installing time switches and sensors, and after electrical circuitry has been energized, start units to confirm proper unit operation.
 - 2. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- C. Nonconforming Work:
 - 1. Lighting control devices will be considered defective if they do not pass tests and inspections.
 - 2. Remove and replace defective units and retest.

3.8 ADJUSTING

- A. Occupancy Adjustments: When requested within 12 months from date of Substantial Completion, provide on-site assistance in adjusting lighting control devices to suit actual occupied conditions. Provide up to two visits to Project during other-than-normal occupancy hours for this purpose.
 - 1. For occupancy and motion sensors, verify operation at outer limits of detector range. Set time delay to suit City of New York's operations.

END OF SECTION 260923

SECTION 262416 - PANELBOARDS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:

- 1. Power panelboards.
- 2. Lighting and appliance branch-circuit panelboards.
- 3. Disconnecting and overcurrent protective devices.

- B. Related Requirements:

- 1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
- 2. Section 260011 "Facility Performance Requirements for Electrical" for field conditions applicable to Work specified in this Section.

1.3 DEFINITIONS

- A. GFEP: Ground-fault equipment protection.
- B. MCCB: Molded-case circuit breaker.
- C. VPR: Voltage protection rating.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data:

- 1. Power panelboards.
- 2. Lighting and appliance branch-circuit panelboards.
- 3. Disconnecting and overcurrent protective devices.

4. Include materials, switching and overcurrent protective devices, SPDs, accessories, and components indicated.
5. Include dimensions and manufacturers' technical data on features, performance, electrical characteristics, ratings, and finishes.

B. Shop Drawings: For each panelboard and related equipment.

1. Include dimensioned plans, elevations, sections, and details.
2. Show tabulations of installed devices with nameplates, conductor termination sizes, equipment features, and ratings.
3. Detail enclosure types including mounting and anchorage, environmental protection, knockouts, corner treatments, covers and doors, gaskets, hinges, and locks.
4. Detail bus configuration, current, and voltage ratings.
5. Short-circuit current rating of panelboards and overcurrent protective devices.
6. Detail features, characteristics, ratings, and factory settings of individual overcurrent protective devices and auxiliary components.
7. Include wiring diagrams for power, signal, and control wiring.
8. Key interlock scheme drawing and sequence of operations.
9. Include time-current coordination curves for each type and rating of overcurrent protective device included in panelboards. Submit on translucent log-log graft paper; include selectable ranges for each type of overcurrent protective device. Include Internet link for electronic access to downloadable PDF of coordination curves.

C. Field Quality-Control Submittals:

1. Field quality-control reports.

1.6 INFORMATIONAL SUBMITTALS

- A. Panelboard Schedules: For installation in panelboards.

1.7 CLOSEOUT SUBMITTALS

- A. Warranty documentation.

1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.9 WARRANTY

- A. Special Manufacturer Extended Warranty: Manufacturer warrants that panelboards perform in accordance with specified requirements and agrees to provide repair or replacement of components or products that fail to perform as specified within extended-warranty period.
1. Extended-Warranty Period: Three years from date of Substantial Completion; full coverage for labor, materials, and equipment.

PART 2 - PRODUCTS

2.1 PANELBOARDS COMMON REQUIREMENTS

- A. Product Selection for Restricted Space: Drawings indicate maximum dimensions for panelboards including clearances between panelboards and adjacent surfaces and other items. Comply with indicated maximum dimensions.
- B. Electrical Components, Devices, and Accessories: Listed and labeled in accordance with NFPA 70, by qualified electrical testing agency, and marked for intended location and application.
- C. Comply with NEMA PB 1.
- D. Comply with NFPA 70.
- E. Enclosures: Flush -mounted, dead-front cabinets.
 - 1. Rated for environmental conditions at installed location.
 - a. Indoor Dry and Clean Locations: UL 50E, Type 1.
 - b. Kitchen Areas: UL 50E, Type 4X, stainless steel.
 - c. Other Wet or Damp Indoor Locations: UL 50E, Type 4.
 - 2. Height: 7 ft maximum.
 - 3. Front: Secured to box with concealed trim clamps. For surface-mounted fronts, match box dimensions; for flush-mounted fronts, overlap box. Trims must cover live parts and may have no exposed hardware.
 - 4. Finishes:
 - a. Panels and Trim: Steel and galvanized steel, factory finished immediately after cleaning and pretreating with manufacturer's standard two-coat, baked-on finish consisting of prime coat and thermosetting topcoat.
 - b. Back Boxes: Same finish as panels and trim.
 - 5. Door to have a #47 keyed lock.
- F. Incoming Mains:
 - 1. Location: Convertible between top and bottom.
 - 2. Main Breaker: Main lug interiors up to 400 A must be field convertible to main breaker.
- G. Phase, Neutral, and Ground Buses:
 - 1. Material: Hard-drawn copper, 98 percent conductivity.
 - a. Plating must run entire length of bus.
 - b. Bus must be fully rated for entire length.

2. Interiors must be factory assembled into unit. Replacing switching and protective devices may not disturb adjacent units or require removing main bus connectors.
3. Equipment Ground Bus: Adequate for feeder and branch-circuit equipment grounding conductors; bonded to box.

H. Conductor Connectors: Suitable for use with conductor material and sizes.

1. Material: Hard-drawn copper, 98 percent conductivity.
2. Terminations must allow use of 75 deg C rated conductors without derating.
3. Size: Lugs suitable for indicated conductor sizes, with additional gutter space, if required, for larger conductors.
4. Main and Neutral Lugs: Mechanical type, with lug on neutral bar for each pole in panelboard.
5. Ground Lugs and Bus-Configured Terminators: Mechanical type, with lug on bar for each pole in panelboard.
6. Feed-Through Lugs: Mechanical type, suitable for use with conductor material. Locate at opposite end of bus from incoming lugs or main device.

I. Future Devices: Panelboards or load centers must have mounting brackets, bus connections, filler plates, and necessary appurtenances required for future installation of devices.

1. Percentage of Future Space Capacity: 10 percent.

J. Panelboard Short-Circuit Current Rating:

1. Fully rated to interrupt symmetrical short-circuit current available at terminals. Assembly listed, by qualified electrical testing laboratory for 100 percent interrupting capacity.
 - a. Panelboards and overcurrent protective devices rated 240 V or less must have short-circuit ratings as shown on Drawings, but not less than 10 000 A(rms) symmetrical.
 - b. Panelboards and overcurrent protective devices rated above 240 V and less than 600 V must have short-circuit ratings as shown on Drawings, but not less than 14 000 A(rms) symmetrical.

2.2 POWER PANELBOARDS

A. Listing Criteria: NEMA PB 1, distribution type.

B. Doors: Secured with vault-type latch with tumbler lock; keyed alike.

1. For doors more than 36 inch high, provide two latches, keyed alike.

C. Mains: Circuit breaker.

D. Branch Overcurrent Protective Devices for Circuit-Breaker Frame Sizes 125 A and Smaller: Bolt-on circuit breakers.

E. Branch Overcurrent Protective Devices for Circuit-Breaker Frame Sizes Larger Than 125 A: Bolt-on circuit breakers.

2.3 LIGHTING AND APPLIANCE BRANCH-CIRCUIT PANELBOARDS

- A. Listing Criteria: NEMA PB 1, lighting and appliance branch-circuit type.
- B. Mains: Circuit breaker.
- C. Branch Overcurrent Protective Devices: Bolt-on circuit breakers, replaceable without disturbing adjacent units.
- D. Doors: Door-in-door construction with concealed hinges; secured with flush latch with tumbler lock; keyed alike
- E. Column-Type Panelboards: Single row of overcurrent devices with narrow gutter extension and overhead junction box equipped with ground and neutral terminal buses.
 - 1. Column-Type Panelboard Doors: Concealed hinges secured with multipoint latch with tumbler lock; keyed alike.

2.4 DISCONNECTING AND OVERCURRENT PROTECTIVE DEVICES

- A. MCCB: Comply with UL 489, with interrupting capacity to meet available fault currents.
 - 1. Thermal-Magnetic Circuit Breakers:
 - a. Inverse time-current element for low-level overloads.
 - b. Instantaneous magnetic trip element for short circuits.
 - c. Adjustable magnetic trip setting for circuit-breaker frame sizes 250 A and larger.
 - 2. Adjustable Instantaneous-Trip Circuit Breakers: Magnetic trip element with front-mounted, field-adjustable trip setting.
 - 3. GFCI Circuit Breakers: Single- and double-pole configurations with Class A ground-fault protection (6 mA trip).
 - 4. GFEP Circuit Breakers: Class B ground-fault protection (30 mA trip).
 - 5. Arc-Fault Circuit Interrupter Circuit Breakers: Comply with UL 1699; 120/240 V, single-pole configuration.
 - 6. MCCB Features and Accessories:
 - a. Standard frame sizes, trip ratings, and number of poles.
 - b. Breaker handle indicates tripped status.
 - c. UL listed for reverse connection without restrictive line or load ratings.
 - d. Lugs: Mechanical style, suitable for number, size, trip ratings, and conductor materials.
 - e. Application Listing: Appropriate for application; Type SWD for switching fluorescent lighting loads; Type HID for feeding fluorescent and HID lighting circuits.
 - f. Shunt Trip: 120 V trip coil energized from separate circuit, set to trip at 55 percent of rated voltage.
 - g. Handle Padlocking Device: Fixed attachment, for locking circuit-breaker handle in on or off position.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Verify actual conditions with field measurements prior to ordering panelboards to verify that equipment fits in allocated space in, and comply with, minimum required clearances specified in NFPA 70.
- B. Receive, inspect, handle, and store panelboards in accordance with NECA 407
- C. Examine panelboards before installation. Reject panelboards that are damaged, rusted, or have been subjected to water saturation.
- D. Examine elements and surfaces to receive panelboards for compliance with installation tolerances and other conditions affecting performance of the Work.
- E. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Comply with manufacturer's published instructions.
- B. Reference Standards:
 - 1. Panelboards: Unless more stringent requirements are specified in Contract Documents or manufacturers' published instructions, comply with NECA 407.
 - 2. Consult Commissioner for resolution of conflicting requirements.
- C. Special Techniques:
 - 1. Equipment Mounting:
 - a. Install panelboards on cast-in-place concrete equipment base(s). Comply with requirements for equipment bases and foundations specified in Section 033000 "Cast-in-Place Concrete."
 - b. Attach panelboard to vertical finished or structural surface behind panelboard.
 - 2. Mount top of trim 7.5 ft above finished floor unless otherwise indicated.
 - 3. Mount panelboard cabinet plumb and rigid without distortion of box.
 - 4. Mount recessed panelboards with fronts uniformly flush with wall finish and mating with back box.
 - 5. Install overcurrent protective devices and controllers not already factory installed.
 - a. Set field-adjustable, circuit-breaker trip ranges.

- b. Tighten bolted connections and circuit breaker connections using calibrated torque wrench or torque screwdriver in accordance with manufacturer's published instructions.
- 6. Make grounding connections and bond neutral for services and separately derived systems to ground. Make connections to grounding electrodes, separate grounds for isolated ground bars, and connections to separate ground bars.
- 7. Install filler plates in unused spaces.

D. Interfaces with Other Work:

- 1. Coordinate layout and installation of panelboards and components with other construction that penetrates walls or is supported by them, including electrical and other types of equipment, raceways, piping, encumbrances to workspace clearance requirements, and adjacent surfaces. Maintain required workspace clearances and required clearances for equipment access doors and panels.

3.4 IDENTIFICATION

- A. Identify field-installed conductors, interconnecting wiring, and components; install warning signs complying with requirements in Section 260553 "Identification for Electrical Systems."
- B. Panelboard Nameplates: Label each panelboard with nameplate complying with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
- C. Device Nameplates: Label each branch circuit device in power panelboards with nameplate complying with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
- D. Install warning signs complying with requirements in Section 260553 "Identification for Electrical Systems" identifying source of remote circuit.
- E. Panelboard Label: Manufacturer's name and trademark, voltage, amperage, number of phases, and number of poles must be located on interior of panelboard door.
- F. Breaker Labels: Faceplate must list current rating, UL and IEC certification standards, and AIC rating.
- G. Circuit Directory:
 - 1. Provide directory card inside panelboard door, mounted in metal frame with transparent protective cover.
 - a. Circuit directory must identify specific purpose with detail sufficient to distinguish it from other circuits.

3.5 FIELD QUALITY CONTROL

- A. Acceptance Testing Preparation:
 - 1. Test insulation resistance for each panelboard bus, component, connecting supply, feeder, and control circuit.
 - 2. Test continuity of each circuit.

B. Field tests and inspections must be witnessed by Commissioner.

C. Tests and Inspections:

1. Perform each visual and mechanical inspection and electrical test for low-voltage air circuit breakers stated in NETA ATS, Paragraph 7.6 Circuit Breakers. Certify compliance with test parameters.
2. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.

3.6 ADJUSTING

- A. Adjust moving parts and operable components to function smoothly, and lubricate as recommended by manufacturer.
- B. Set field-adjustable circuit-breaker trip ranges as indicated.

3.7 PROTECTION

- A. Temporary Heating: Prior to energizing panelboards, apply temporary heat to maintain temperature in accordance with manufacturer's published instructions.

END OF SECTION 262416

SECTION 262726 - WIRING DEVICES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. General-use switches, dimmer switches, and fan-speed controller switches.
2. General-grade single straight-blade receptacles.
3. General-grade duplex straight-blade receptacles.
4. Receptacles with arc-fault and ground-fault protective devices.

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements for Electrical" for field conditions applicable to Work specified in this Section.
3. Section 260923 "Lighting Control Devices" for occupancy sensors, timers, control-voltage switches, and control-voltage dimmers.

1.3 DEFINITIONS

- A. Commercial/Industrial-Use Cord Reel: A cord reel subject to severe use in factories, commercial garages, construction sites, and similar locations requiring a harder service-type cord.
- B. UL 1472 Type I Dimmer: Dimmer in which air-gap switch is used to energize preset lighting levels.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

A. Product Data:

1. Toggle switches.

2. Dimmer switches.
3. Fan-speed controllers.
4. Single straight-blade receptacles
5. Duplex straight-blade receptacles.
6. Receptacles with GFCI device.

B. Shop Drawings:

1. Wiring diagrams for duplex straight-blade receptacles with integral switching means.

C. Field Quality-Control Submittals:

1. Field quality-control reports.

1.6 INFORMATIONAL SUBMITTALS

A. Manufacturers' Instructions: Record copy of official installation instructions issued to Installer by manufacturer for the following:

1. Dimmers.
2. Single straight-blade receptacles.
3. Duplex straight-blade receptacles.
4. Receptacles with GFCI device.

1.7 CLOSEOUT SUBMITTALS

A. Sustainable Design Closeout Documentation:

1. Record floor plan drawings showing as-installed locations of switches and dimmers, indicating lighting zones each device controls, to verify extent of ability of installed Work to provide occupant control for customizing environment.
2. Record floor plan drawings showing as-installed locations of uncontrolled and marked controlled receptacles superimposed on office furniture layout, to verify extent of ability of installed Work to provide each occupant ability to select at least one uncontrolled receptacle and at least one controlled receptacle for powering workspace equipment. Show locations of UL-approved relocatable power taps and power strips, indicating means of securing for protection from damage and accessibility for inspection. Show locations of temporary extension cords, indicating plan for replacing them with permanent wiring within 90 days.

1.8 QUALITY ASSURANCE

A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 GENERAL-USE SWITCHES, DIMMER SWITCHES, AND FAN-SPEED CONTROLLER SWITCHES

A. Toggle Switch:

1. Regulatory Requirements:
 - a. Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.
2. General Characteristics:
 - a. Reference Standards: UL CCN WMUZ and UL 20.
3. Options:
 - a. Device Color: White.
 - b. Configuration:
 - 1) General-duty, 120-277 V, 20 A, single pole.
4. Accessories:
 - a. Cover Plate: 0.060 inch thick, high-impact thermoplastic (nylon) with smooth finish and color matching wiring device; from same manufacturer as wiring device.
 - b. Securing Screws for Cover Plate: Metal with head color matching wallplate finish.

B. Type I Dimmer Switch:

1. Regulatory Requirements:
 - a. Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.
2. General Characteristics:
 - a. Reference Standards: UL CCN EOYX and UL 1472 Type I dimmer.
3. Options:
 - a. Device Color: White.
 - b. Switch Style: Toggle.
 - c. Dimming Control Style: Slide.
4. Accessories:

- a. Cover Plate: 0.060 inch thick, high-impact thermoplastic (nylon) with smooth finish and color matching wiring device; from same manufacturer as wiring device.
- b. Securing Screws for Cover Plate: Metal with head color matching wallplate finish.

2.2 GENERAL-GRADE SINGLE STRAIGHT-BLADE RECEPTACLES

A. Single Straight-Blade Receptacle :

- 1. Regulatory Requirements:
 - a. Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.
- 2. General Characteristics:
 - a. Reference Standards: UL CCN RTRT and UL 498.
- 3. Options:
 - a. Device Color: White.
 - b. Configuration:
 - 1) General-duty, NEMA 5-20R.
- 4. Accessories:
 - a. Cover Plate: 0.060 inch thick, high-impact thermoplastic (nylon) with smooth finish and color matching wiring device; from same manufacturer as wiring device.
 - b. Securing Screws for Cover Plate: Metal with head color matching wallplate finish.

B. Tamper-Resistant, Straight-Blade Receptacle :

- 1. Regulatory Requirements:
 - a. Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.
- 2. General Characteristics:
 - a. Reference Standards: UL CCN RTRT and UL 498.
- 3. Options:
 - a. Finish: Stainless steel.
 - b. Configuration: Recessed, smooth wallplate; NEMA 5-20R.

2.3 GENERAL-GRADE DUPLEX STRAIGHT-BLADE RECEPTACLES

A. Duplex Straight-Blade Receptacle:

1. Regulatory Requirements:
 - a. Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.
2. General Characteristics:
 - a. Reference Standards: UL CCN RTRT and UL 498.
3. Options:
 - a. Device Color: White.
 - b. Configuration:
 - 1) General-duty, NEMA 5-20R.
4. Accessories:
 - a. Cover Plate: 0.060 inch thick, high-impact thermoplastic (nylon) with smooth finish and color matching wiring device; from same manufacturer as wiring device.
 - b. Securing Screws for Cover Plate: Metal with head color matching wallplate finish.

B. Tamper-Resistant Duplex Straight-Blade Receptacle:

1. Regulatory Requirements:
 - a. Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.
2. General Characteristics:
 - a. Reference Standards: UL CCN RTRT and UL 498.
3. Options:
 - a. Device Color: White.
 - b. Configuration:
 - 1) General-duty, NEMA 5-20R.
4. Accessories:
 - a. Cover Plate: 0.060 inch thick, high-impact thermoplastic (nylon) with smooth finish and color matching wiring device; from same manufacturer as wiring device.
 - b. Securing Screws for Cover Plate: Metal with head color matching wallplate finish.

2.4 RECEPTACLES WITH ARC-FAULT AND GROUND-FAULT PROTECTIVE DEVICES

- A. General-Grade, Tamper-Resistant Duplex Straight-Blade Receptacle with GFCI Device:
 - 1. Regulatory Requirements:
 - a. Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.
 - 2. General Characteristics:
 - a. Reference Standards: UL CCN AWBZ, UL 498, UL 1699, and UL Subject 1699A.
 - 3. Options:
 - a. Device Color: White.
 - b. Configuration: Heavy-duty, NEMA 5-20R.
 - 4. Accessories:
 - a. Cover Plate: 0.060 inch thick, high-impact thermoplastic (nylon) with smooth finish and color matching wiring device; from same manufacturer as wiring device.
 - b. Securing Screws for Cover Plate: Metal with head color matching wallplate finish.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Receptacles:
 - 1. Verify that receptacles to be procured and installed for City of New York-furnished equipment are compatible with mating attachment plugs on equipment.

3.3 SELECTION OF CONTROLLED AND UNCONTROLLED RECEPTACLES

- A. Private and Open Office Spaces:
 - 1. Uncontrolled Receptacles at Workstations: Coordinate final locations of receptacles with furniture plan such that at least one uncontrolled receptacle is selected for installation not greater than 6 ft from each workstation.

2. Controlled Receptacles at Workstations: Coordinate final locations of receptacles with furniture plan such that at least one controlled receptacle is selected for installation not greater than 6 ft from each workstation.
3. Contact Commissioner for resolution of discrepancies between these requirements and Drawings.

3.4 INSTALLATION OF SWITCHES

- A. Comply with manufacturer's instructions.
- B. Reference Standards:
 1. Unless more stringent requirements are specified in Contract Documents or manufacturers' instructions, comply with installation instructions in NECA NEIS 130.
 2. Mounting Heights: Unless otherwise indicated in Contract Documents, comply with mounting heights recommended in NECA NEIS 1.
 3. Consult Commissioner for resolution of conflicting requirements.
- C. Identification:
 1. Identify cover or cover plate for device with panelboard identification and circuit number in accordance with Section 260553 "Identification for Electrical Systems."

3.5 INSTALLATION OF STRAIGHT-BLADE RECEPTACLES

- A. Comply with manufacturer's instructions.
- B. Reference Standards:
 1. Unless more stringent requirements are specified in Contract Documents or manufacturers' instructions, comply with installation instructions in NECA NEIS 130.
 2. Mounting Heights: Unless otherwise indicated in Contract Documents, comply with mounting heights recommended in NECA NEIS 1.
 3. Receptacle Orientation: Unless otherwise indicated in Contract Documents, orient receptacle to match configuration diagram in NEMA WD 6.
 4. Consult Commissioner for resolution of conflicting requirements.
- C. Identification:
 1. Identify cover or cover plate for device with panelboard identification and circuit number in accordance with Section 260553 "Identification for Electrical Systems."

3.6 FIELD QUALITY CONTROL OF SWITCHES

- A. Field tests and inspections must be witnessed by Commissioner.
- B. Tests and Inspections:

1. Perform tests and inspections in accordance with manufacturers' instructions.

C. Assemble and submit test and inspection reports.

3.7 FIELD QUALITY CONTROL OF STRAIGHT-BLADE RECEPTACLES

A. Field tests and inspections must be witnessed by Commissioner.

B. Tests and Inspections:

1. Insert and remove test plug to verify that device is securely mounted.
2. Verify polarity of hot and neutral pins.
3. Measure line voltage.
4. Measure percent voltage drop.
5. Measure grounding circuit continuity; impedance must be not greater than 2 ohms.

C. Assemble and submit test and inspection reports.

3.8 ADJUSTING

A. Occupancy Adjustments for Controlled Receptacles: When requested within 12 months from date of Substantial Completion, provide on-site assistance in adjusting system to suit actual occupied conditions. Provide up to two visits to Project during other-than-normal occupancy hours for this purpose.

B. Cord Reels and Fittings: Adjust spring mechanisms and moving parts of cord reels and fittings to function smoothly, and lubricate as recommended in writing by manufacturer.

3.9 PROTECTION

A. Devices:

1. Schedule and sequence installation to minimize risk of contamination of wires and cables, devices, device boxes, outlet boxes, covers, and cover plates by plaster, drywall joint compound, mortar, cement, concrete, dust, paint, and other materials.
2. After installation, protect wires and cables, devices, device boxes, outlet boxes, covers, and cover plates from construction activities. Remove and replace items that are contaminated, defaced, damaged, or otherwise caused to be unfit for use prior to acceptance by City of New York.

END OF SECTION 262726

SECTION 262816 - ENCLOSED SWITCHES AND CIRCUIT BREAKERS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Nonfusible switches.
2. Molded-case circuit breakers (MCCBs).
3. Enclosures.

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements for Electrical" for field conditions applicable to Work specified in this Section.

1.3 DEFINITIONS

- A. GFEP: Ground-fault circuit-interrupter for equipment protection.
- B. GFLS: Ground-fault circuit-interrupter for life safety.
- C. SPDT: Single pole, double throw.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

A. Product Data:

1. For each type of enclosed switch, circuit breaker, accessory, and component indicated. Include nameplate ratings, dimensioned elevations, sections, weights, and manufacturers' technical data on features, performance, electrical characteristics, ratings, accessories, and finishes.

2. Enclosure types and details for types other than UL 50E, Type 1.
3. Current and voltage ratings.
4. Short-circuit current ratings (interrupting and withstand, as appropriate).
5. Detail features, characteristics, ratings, and factory settings of individual overcurrent protective devices, accessories, and auxiliary components.

B. Shop Drawings: For enclosed switches and circuit breakers.

1. Include plans, elevations, sections, details, and attachments to other work.
2. Include wiring diagrams for power, signal, and control wiring.

C. Field Quality-Control Submittals:

1. Field quality-control reports.

1.6 INFORMATIONAL SUBMITTALS

- A. Sample warranties.

1.7 CLOSEOUT SUBMITTALS

- A. Warranty documentation.

1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.9 WARRANTY

- A. Special Manufacturer Extended Warranty: Manufacturer warrants that enclosed switches and circuit breakers perform in accordance with specified requirements and agrees to provide repair or replacement of components or products that fail to perform as specified within extended-warranty period.

1. Extended-Warranty Period: Three years from date of Substantial Completion; full coverage for labor, materials, and equipment.

PART 2 - PRODUCTS

2.1 GENERAL REQUIREMENTS

- A. Source Limitations: Obtain products from single manufacturer.
- B. Product Selection for Restricted Space: Drawings indicate maximum dimensions for enclosed switches and circuit breakers, including clearances between enclosures, and adjacent surfaces and other items. Comply with indicated maximum dimensions.

- C. Electrical Components, Devices, and Accessories: Listed and labeled in accordance with NFPA 70, by qualified electrical testing laboratory and marked for intended location and application.

2.2 NONFUSIBLE SWITCHES

- A. Type GD, General Duty, Three Pole, Single Throw, 240 V(ac), 600 A and Smaller: UL 98 and NEMA KS 1, horsepower rated, lockable handle with capability to accept two padlocks, and interlocked with cover in closed position.
- B. Type HD, Heavy Duty, Three Pole, Single Throw, 600 V(ac), 1200 A and Smaller: UL 98 and NEMA KS 1, horsepower rated, lockable handle with capability to accept three padlocks, and interlocked with cover in closed position.
- C. Type HD, Heavy Duty, Three Pole, Double Throw, 600 V(ac), 1200 A and Smaller: UL 98 and NEMA KS 1, horsepower rated, lockable handle with capability to accept three padlocks, and interlocked with cover in closed position.
- D. Accessories:
 - 1. Equipment Ground Kit: Internally mounted and labeled for copper and aluminum ground conductors.
 - 2. Neutral Kit: Internally mounted; insulated, capable of being grounded and bonded; labeled for copper and aluminum neutral conductors.
 - 3. Isolated Ground Kit: Internally mounted; insulated, labeled for copper and aluminum neutral conductors.
 - 4. Auxiliary Contact Kit: Two NO/NC (Form "C") auxiliary contact(s), arranged to activate before switch blades open. Contact rating - 120 V(ac).

2.3 MOLDED-CASE CIRCUIT BREAKERS

- A. Circuit breakers must be constructed using glass-reinforced insulating material. Current carrying components must be completely isolated from handle and accessory mounting area.
- B. Circuit breakers must have toggle operating mechanism with common tripping of all poles, which provides quick-make, quick-break contact action. Circuit-breaker handle must be over center, be trip free, and reside in tripped position between on and off to provide local trip indication. Circuit-breaker escutcheon must be clearly marked on and off in addition to providing international I/O markings. Equip circuit breaker with push-to-trip button, located on face of circuit breaker to mechanically operate circuit-breaker tripping mechanism for maintenance and testing purposes.
- C. Maximum ampere rating and UL, IEC, or other certification standards with applicable voltage systems and corresponding interrupting ratings must be clearly marked on face of circuit breaker. Circuit breakers must be 100 percent rated. Circuit breaker/circuit breaker combinations for series connected interrupting ratings must be listed by UL as recognized component combinations. Series rated combination used must be marked on end-use equipment along with statement "Caution - Series Rated System. _____ Amps Available. Identical Replacement Component Required."
- D. MCCBs must be equipped with device for locking in isolated position.

- E. Lugs must be suitable for 90 deg C rated wire, sized in accordance with 75 deg C temperature rating in NFPA 70.
- F. Standard: Comply with UL 489 with required interrupting capacity for available fault currents.
- G. Thermal-Magnetic Circuit Breakers: Inverse time-current thermal element for low-level overloads and instantaneous magnetic trip element for short circuits. Adjustable magnetic trip setting for circuit-breaker frame sizes 250 A and larger.
- H. Adjustable, Instantaneous-Trip Circuit Breakers: Magnetic trip element with front-mounted, field-adjustable trip setting.
- I. Current-Limiting Circuit Breakers: Frame sizes 400 A and smaller, and let-through ratings less than NEMA FU 1, RK-5.
- J. Integrally Fused Circuit Breakers: Thermal-magnetic trip element with integral limiter-style fuse listed for use with circuit breaker and trip activation on fuse opening or on opening of fuse compartment door.
- K. Features and Accessories:
 - 1. Standard frame sizes, trip ratings, and number of poles.
 - 2. Auxiliary Contacts: Two SPDT switches with "a" and "b" contacts; "a" contacts mimic circuit-breaker contacts, "b" contacts operate in reverse of circuit-breaker contacts.
 - 3. Ground-Fault Protection: Comply with UL 1053; integrally mounted, self-powered type with mechanical ground-fault indicator; relay with adjustable pickup and time-delay settings, push-to-test feature, internal memory, and shunt trip unit; and three-phase, zero-sequence current transformer/sensor.

2.4 ENCLOSURES

- A. Enclosed Switches and Circuit Breakers: UL 489, NEMA KS 1, UL 50E, and UL 50, to comply with environmental conditions at installed location.
- B. Enclosure Finish: Enclosure must be gray baked enamel paint, electrodeposited on cleaned, phosphatized steel (UL 50E Type 1) or gray baked enamel paint, electrodeposited on cleaned, phosphatized galvanized steel (UL 50E Types 3R, 12) or a brush finish on Type 304 stainless steel (UL 50E Type 4-4X stainless steel).
- C. Conduit Entry: UL 50E Types 4, 4X, and 12 enclosures may not contain knockouts. UL 50E Types 7 and 9 enclosures must be provided with threaded conduit openings in both endwalls.
- D. Operating Mechanism: Circuit-breaker operating handle must be directly operable through front cover of enclosure (UL 50E Type 1) or directly operable through dead front trim of enclosure (UL 50E Type 3R). Cover interlock mechanism must have externally operated override. Override may not permanently disable interlock mechanism, which must return to locked position once override is released. Tool used to override cover interlock mechanism must not be required to enter enclosure in order to override interlock.

- E. Enclosures designated as UL 50E Type 4, 4X stainless steel, 12, or 12K must have dual cover interlock mechanism to prevent unintentional opening of enclosure cover when circuit breaker is ON and to prevent turning circuit breaker ON when enclosure cover is open.
- F. UL 50E Type 7/9 enclosures must be furnished with breather and drain kit to allow their use in outdoor and wet location applications.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine elements and surfaces to receive enclosed switches and circuit breakers for compliance with installation tolerances and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.
 - 1. Commencement of work will indicate Installer's acceptance of areas and conditions as satisfactory.

3.3 SELECTION OF ENCLOSURES

- A. Indoor, Dry and Clean Locations: UL 50E, Type 1 .
- B. Outdoor Locations: UL 50E, Type 3R or Type 4X .

3.4 INSTALLATION

- A. Comply with manufacturer's published instructions.
- B. Special Techniques:
 - 1. Coordinate layout and installation of switches, circuit breakers, and components with equipment served and adjacent surfaces. Maintain required workspace clearances and required clearances for equipment access doors and panels.
 - 2. Install individual wall-mounted switches and circuit breakers with tops at uniform height unless otherwise indicated.

3.5 IDENTIFICATION

- A. Comply with requirements in Section 260553 "Identification for Electrical Systems."
 - 1. Identify field-installed conductors, interconnecting wiring, and components; provide warning signs.

2. Label each enclosure with engraved metal or laminated-plastic nameplate.

3.6 FIELD QUALITY CONTROL

A. Field tests and inspections must be witnessed by Commissioner.

B. Tests and Inspections for Switches:

1. Visual and Mechanical Inspection:

- a. Inspect physical and mechanical condition.
- b. Inspect anchorage, alignment, grounding, and clearances.
- c. Verify that unit is clean.
- d. Verify blade alignment, blade penetration, travel stops, and mechanical operation.
- e. Verify that fuse sizes and types match the Specifications and Drawings.
- f. Verify that each fuse has adequate mechanical support and contact integrity.
- g. Inspect bolted electrical connections for high resistance using one of the following methods:
 - 1) Use low-resistance ohmmeter.
 - a) Compare bolted connection resistance values to values of similar connections. Investigate values that deviate from those of similar bolted connections by more than 50 percent of lowest value.
 - 2) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data or NETA ATS Table 100.12.
 - a) Bolt-torque levels must be in accordance with manufacturer's published data. In absence of manufacturer's published data, use NETA ATS Table 100.12.
- h. Verify that operation and sequencing of interlocking systems is as described in the Specifications and shown on Drawings.
- i. Verify correct phase barrier installation.
- j. Verify lubrication of moving current-carrying parts and moving and sliding surfaces.

2. Electrical Tests:

- a. Perform resistance measurements through bolted connections with low-resistance ohmmeter. Compare bolted connection resistance values to values of similar connections. Investigate values that deviate from adjacent poles or similar switches by more than 50 percent of lowest value.
- b. Measure contact resistance across each switchblade fuseholder. Drop values may not exceed high level of manufacturer's published data. If manufacturer's published data are not available, investigate values that deviate from adjacent poles or similar switches by more than 50 percent of lowest value.

- c. Perform insulation-resistance tests for one minute on each pole, phase-to-phase and phase-to-ground with switch closed, and across each open pole. Apply voltage in accordance with manufacturer's published data. In absence of manufacturer's published data, use Table 100.1 from NETA ATS. Investigate values of insulation resistance less than those published in Table 100.1 or as recommended in manufacturer's published data.
 - d. Measure fuse resistance. Investigate fuse-resistance values that deviate from each other by more than 15 percent.
 - e. Perform ground fault test in accordance with NETA ATS Section 7.14 "Ground Fault Protection Systems, Low-Voltage."
- C. Tests and Inspections for Molded-Case Circuit Breakers:
- 1. Visual and Mechanical Inspection:
 - a. Verify that equipment nameplate data are as described in the Specifications and shown on Drawings.
 - b. Inspect physical and mechanical condition.
 - c. Inspect anchorage, alignment, grounding, and clearances.
 - d. Verify that unit is clean.
 - e. Operate circuit breaker to ensure smooth operation.
 - f. Inspect bolted electrical connections for high resistance using one of the following methods:
 - 1) Use low-resistance ohmmeter.
 - a) Compare bolted connection resistance values to values of similar connections. Investigate values that deviate from those of similar bolted connections by more than 50 percent of lowest value.
 - 2) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data or NETA ATS Table 100.12.
 - a) Bolt-torque levels must be in accordance with manufacturer's published data. In absence of manufacturer's published data, use NETA ATS Table 100.12.
 - g. Inspect operating mechanism, contacts, and chutes in unsealed units.
 - 2. Electrical Tests:
 - a. Perform resistance measurements through bolted connections with low-resistance ohmmeter. Compare bolted connection resistance values to values of similar connections. Investigate values that deviate from adjacent poles or similar switches by more than 50 percent of lowest value.
 - b. Perform insulation-resistance tests for one minute on each pole, phase-to-phase and phase-to-ground with circuit breaker closed, and across each open pole. Apply voltage in accordance with manufacturer's published data. In absence of manufacturer's published data, use Table 100.1 from NETA ATS. Investigate values of insulation resistance less than those published in Table 100.1 or as recommended in manufacturer's published data.
 - c. Perform contact/pole resistance test. Drop values may not exceed high level of manufacturer's published data. If manufacturer's published data are not available, investigate values that deviate from adjacent poles or similar switches by more than 50 percent of lowest value.

- d. Perform insulation resistance tests on control wiring with respect to ground. Applied potential must be 500 V(dc) for 300 V rated cable and 1000 V(dc) for 600 V rated cable. Test duration must be one minute. For units with solid state components, follow manufacturer's recommendation. Insulation resistance values may be no less than 2 M Ω .
 - e. Determine the following by primary current injection:
 - 1) Long-time pickup and delay. Pickup values must be as specified. Trip characteristics may not exceed manufacturer's published time-current characteristic tolerance band, including adjustment factors.
 - 2) Short-time pickup and delay. Short-time pickup values must be as specified. Trip characteristics may not exceed manufacturer's published time-current characteristic tolerance band, including adjustment factors.
 - 3) Ground-fault pickup and time delay. Ground-fault pickup values must be as specified. Trip characteristics may not exceed manufacturer's published time-current characteristic tolerance band, including adjustment factors.
 - 4) Instantaneous pickup. Instantaneous pickup values must be as specified and within manufacturer's published tolerances.
 - f. Perform minimum pickup voltage tests on shunt trip and close coils in accordance with manufacturer's published data. Minimum pickup voltage of shunt trip and close coils must be as indicated by manufacturer.
 - g. Verify correct operation of auxiliary features such as trip and pickup indicators; zone interlocking; electrical close and trip operation; trip-free, anti-pump function; and trip unit battery condition. Reset trip logs and indicators. Investigate units that do not function as designed.
 - h. Verify operation of charging mechanism. Investigate units that do not function as designed.
3. Test and adjust controls, remote monitoring, and safeties.
- D. Collect, assemble, and submit test and inspection reports.
- 1. Test procedures used.
 - 2. Include identification of each enclosed switch and circuit breaker tested and describe test results.
 - 3. List deficiencies detected, remedial action taken, and observations after remedial action.

3.7 ADJUSTING

- A. Adjust moving parts and operable components to function smoothly, and lubricate as recommended by manufacturer.

3.8 PROTECTION

- A. After installation, protect enclosed switches and circuit breakers from construction activities. Remove and replace items that are contaminated, defaced, damaged, or otherwise caused to be unfit for use prior to acceptance by Commissioner.

END OF SECTION 262816

SECTION 264313 - SURGE PROTECTIVE DEVICES FOR LOW-VOLTAGE ELECTRICAL POWER CIRCUITS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract ."

1.2 SUMMARY

A. Section Includes:

1. Type 2 surge protective devices.
2. Enclosures.
3. Conductors and cables.

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements for Electrical" for field conditions applicable to Work specified in this Section.
3. Section 262416 "Panelboards" for integral SPDs installed by panelboard manufacturer.
4. Section 262726 "Wiring Devices" for integral SPDs installed by receptacle manufacturer.

1.3 DEFINITIONS

- A. I_n : Nominal discharge current.
- B. Maximum Continuous Operating Voltage (MCOV): The maximum designated RMS value of the power frequency voltage that may be continuously applied to the mode of protection of an SPD.
- C. Metal-Oxide Varistor (MOV): An electronic component with a significant bidirectional, nonlinear current-voltage characteristic.
- D. Mode(s), Modes of Protection, or Protection Modes: Electrical paths where the SPD offers defense against transient overvoltages. Examples include: line to neutral (L-N), line to ground (L-G), line to line (L-L), and neutral to ground (N-G).
- E. SCCR: Short-circuit current rating.
- F. Type 1 SPDs: Permanently connected SPDs intended for installation between the secondary of the service transformer and the line side of the service disconnect overcurrent device.

- G. Type 2 SPDs: Permanently connected SPDs intended for installation on the load side of the service disconnect overcurrent device, including SPDs located at the branch panel.
- H. Type 3 SPDs: Point of utilization SPDs.
- I. Type 4 SPDs: Component SPDs, including discrete components, as well as assemblies.
- J. Voltage Protection Rating (VPR): A rating selected from UL 1449 list of preferred values assigned to each mode of protection.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data:
 - 1. For each type of product.
 - a. Include electrical characteristics, specialties, and accessories for SPDs.
- B. Field quality-control reports.

1.6 INFORMATIONAL SUBMITTALS

- A. Sample Warranty: For manufacturer's special warranty.

1.7 WARRANTY

- A. Special Manufacturer Extended Warranty: Manufacturer warrants that SPDs perform in accordance with specified requirements and agrees to provide repair or replacement of SPDs that fail to perform as specified within extended warranty period.
 - 1. Initial Extended Warranty Period: Five year(s) from date of Substantial Completion, for labor, materials, and equipment.
 - 2. Follow-On Extended Warranty Period: 10 year(s) from date of Substantial Completion, for materials only.

PART 2 - PRODUCTS

2.1 TYPE 2 SURGE PROTECTIVE DEVICES (SPDs)

- A. Source Limitations: Obtain devices from single source from single manufacturer.

B. General Characteristics:

1. Reference Standards: UL 1449, Type 2 ; UL 1283.
2. MCOV: Not less than 125 percent of nominal system voltage for 208Y/120 V and 120/240 V power systems, and not less than 115 percent of nominal system voltage for 480Y/277 V power systems.
3. Peak Surge Current Rating: Minimum single-pulse surge current withstand rating per phase must not be less than 150 kA. Peak surge current rating must be arithmetic sum of the ratings of individual MOVs in a given mode.
4. Protection modes and UL 1449 VPR for grounded wye circuits with 208Y/120 V, three-phase, four-wire circuits must not exceed the following:
 - a. Line to Neutral: 700 V for 208Y/120 V.
 - b. Line to Ground: 700 V for 208Y/120 V.
 - c. Neutral to Ground: 700 V for 208Y/120 V.
 - d. Line to Line: 1200 V for 208Y/120 V.
5. Protection modes and UL 1449 VPR for 240/120 V, single-phase, three-wire circuits must not exceed the following:
 - a. Line to Neutral: 700 V.
 - b. Line to Ground: 700 V.
 - c. Neutral to Ground: 700 V.
 - d. Line to Line: 1200 V.
6. SCCR: Equal or exceed 100 kA.
7. I_n Rating: 20 kA.

C. Options:

1. Include LED indicator lights for power and protection status.
2. Include internal thermal protection that disconnects the SPD before damaging internal suppressor components.
3. Include NEMA ICS 5, dry Form C contacts rated at 2 A and 24 V(ac) for remote monitoring of protection status.
4. Include surge counter.

2.2 ENCLOSURES

- A. Indoor Enclosures: Type 1.
- B. Outdoor Enclosures: Type 3R or Type 4X.

2.3 CONDUCTORS AND CABLES

- A. Power Wiring: Same size as SPD leads, complying with Section 260519 "Low-Voltage Electrical Power Conductors and Cables."

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION

- A. Provide OCPD and disconnect for installation of SPD in accordance with UL 1449 and manufacturer's instructions.
- B. Install leads between disconnects and SPDs short, straight, twisted, and in accordance with manufacturer's instructions. Comply with wiring methods in Section 260519 "Low-Voltage Electrical Power Conductors and Cables."
 - 1. Do not splice and extend SPD leads unless specifically permitted by manufacturer.
 - 2. Do not exceed manufacturer's recommended lead length.
 - 3. Do not bond neutral and ground.
- C. Use crimped connectors and splices only. Wire nuts are unacceptable.

3.3 FIELD QUALITY CONTROL

- A. Field tests and inspections must be witnessed by Commissioner.
- B. Tests and Inspections:
 - 1. Compare equipment nameplate data for compliance with Drawings and the Specifications.
 - 2. Inspect anchorage, alignment, grounding, and clearances.
 - 3. Verify that electrical wiring installation complies with manufacturer's installation requirements.
- C. Prepare test and inspection reports.

3.4 STARTUP SERVICE

- A. Complete startup checks in accordance with manufacturer's instructions.
- B. Do not perform insulation-resistance tests of the distribution wiring equipment with SPDs installed. Disconnect SPDs before conducting insulation-resistance tests; reconnect them after the testing is over.
- C. Energize SPDs after power system has been energized, stabilized, and tested.

END OF SECTION 264313

SECTION 265119 - LED INTERIOR LIGHTING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section includes interior LED lighting luminaires and supports.
- B. Related Requirements:
 - 1. Section 260923 "Lighting Control Devices" for automatic control of lighting.

1.3 DEFINITIONS

- A. CCT: Correlated color temperature.
- B. CRI: Color Rendering Index.
- C. Fixture: See "Luminaire."
- D. IP: International Protection or Ingress Protection Rating.
- E. LED: Light-emitting diode.
- F. Lumen: Measured output of lamp and luminaire, or both.
- G. Luminaire: Complete lighting unit, including lamp, reflector, and housing.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
 - 1. Arrange in order of luminaire designation.
 - 2. Include data on features, accessories, and finishes.
 - 3. Include physical description and dimensions of luminaires.

4. Include emergency lighting units, including batteries and chargers.
5. Include life, output (lumens, CCT, and CRI), and energy-efficiency data.
6. Photometric data and adjustment factors based on laboratory tests, complying with IES "Lighting Measurements Testing and Calculation Guides" for each luminaire type. The adjustment factors shall be for lamps and accessories identical to those indicated for the luminaire as applied in this Project.
 - a. **Manufacturers' Certified Data:** Photometric data certified by manufacturer's laboratory with a current accreditation under the National Voluntary Laboratory Accreditation Program for Energy Efficient Lighting Products.

B. Shop Drawings: For nonstandard or custom luminaires.

1. Include plans, elevations, sections, and mounting and attachment details.
2. Include details of luminaire assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
3. Include diagrams for power, signal, and control wiring.

C. Sustainable Design Submittals:

1. **Product Data:** Indicating luminaire is certified by ENERGY STAR or Design Lights Consortium.

D. Product Schedule: For luminaires and lamps. Use same designations indicated on Drawings.

E. Coordination Drawings: Reflected ceiling plan(s) and other details, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:

1. Luminaires.
2. Suspended ceiling components.
3. Partitions that penetrate the ceiling or extend to within 12 inches of the plane of the luminaires.
4. Structural members to which equipment and luminaires will be attached.
5. Initial access modules for acoustical tile, including size and locations.
6. Items penetrating finished ceiling, including the following:
 - a. Other luminaires.
 - b. Air outlets and inlets.
 - c. Speakers.
 - d. Access panels.

1.6 INFORMATIONAL SUBMITTALS

A. Product Certificates: For each type of luminaire.

B. Product Test Reports: For each type of luminaire, for tests performed by manufacturer and witnessed by a qualified testing agency.

C. Sample warranty.

1.7 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For luminaires and lighting systems to include in operation and maintenance manuals.
 - 1. Provide a list of all lamp types used on Project; use ANSI and manufacturers' codes.

1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Provide luminaires from a single manufacturer for each luminaire type.
- C. Each luminaire type shall be binned within a three-step MacAdam Ellipse to ensure color consistency among luminaires.

1.9 DELIVERY, STORAGE, AND HANDLING

- A. Protect finishes of exposed surfaces by applying a strippable, temporary protective covering before shipping.

1.10 WARRANTY

- A. Warranty: Manufacturer agrees to furnish components for repair or replacement of luminaires that fail in materials or workmanship within specified warranty period.
- B. Warranty Period: Five year(s) from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 LUMINAIRE REQUIREMENTS

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Factory-Applied Labels: Comply with UL 1598. Include recommended lamps. Locate labels where they will be readily visible to service personnel, but not seen from normal viewing angles when lamps are in place.
 - 1. Label shall include the following lamp characteristics:
 - a. "USE ONLY" and include specific lamp type.
 - b. Lamp diameter, shape, size, wattage, and coating.
 - c. CCT and CRI.

- C. Recessed luminaires shall comply with NEMA LE 4.
- D. NRTL Compliance: Luminaires for hazardous locations shall be listed and labeled for indicated class and division of hazard by an NRTL.
- E. FM Global Compliance: Luminaires for hazardous locations shall be listed and labeled for indicated class and division of hazard by FM Global.

2.2 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by the following manufacturers:
 - 1. Axis.
 - 2. Edison.
 - 3. Envoy.
 - 4. Fraxion.
 - 5. Ilex.
 - 6. Lithonia.
 - 7. Lucifer.
 - 8. Lumenwerx.
 - 9. Nicor.
 - 10. Picasso.
 - 11. Pinnacle.
 - 12. Rab.
 - 13. Rayon.
 - 14. Selux.
 - 15. Spectrum.
 - 16. USAI.
 - 17. Or approved equal.
- B. Refer to Luminaire Schedule on the drawings for Basis-of-Design luminaires and corresponding manufacturer's listings offering comparable products, and or approved equals.

2.3 MATERIALS

- A. Metal Parts:
 - 1. Free of burrs and sharp corners and edges.
 - 2. Sheet metal components shall be steel unless otherwise indicated.
 - 3. Form and support to prevent warping and sagging.
- B. Steel:
 - 1. ASTM A 36/A 36M for carbon structural steel.
 - 2. ASTM A 568/A 568M for sheet steel.
- C. Stainless Steel:

1. 1. Manufacturer's standard grade.
2. 2. Manufacturer's standard type, ASTM A 240/240 M.

D. Galvanized Steel: ASTM A 653/A 653M.

E. Aluminum: ASTM B 209.

2.4 METAL FINISHES

- A. Variations in finishes are unacceptable in the same piece. Variations in finishes of adjoining components are acceptable if they are within the range of approved Samples and if they can be and are assembled or installed to minimize contrast.

2.5 LUMINAIRE SUPPORT

- A. Comply with requirements in Section 260529 "Hangers and Supports for Electrical Systems" for channel and angle iron supports and nonmetallic channel and angle supports.
- B. Single-Stem Hangers: 1/2-inch steel tubing with swivel ball fittings and ceiling canopy. Finish same as luminaire.
- C. Wires: ASTM A 641/A 641 M, Class 3, soft temper, zinc-coated steel, 12 gage.
- D. Rod Hangers: 3/16-inch minimum diameter, cadmium-plated, threaded steel rod.
- E. Hook Hangers: Integrated assembly matched to luminaire, line voltage, and equipment with threaded attachment, cord, and locking-type plug.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine roughing-in for luminaire to verify actual locations of luminaire and electrical connections before luminaire installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 TEMPORARY LIGHTING

- A. If approved by the Commissioner, use selected permanent luminaires for temporary lighting. When construction is sufficiently complete, clean luminaires used for temporary lighting and install new lamps.

3.4 INSTALLATION

- A. Comply with NECA 1.
- B. Install luminaires level, plumb, and square with ceilings and walls unless otherwise indicated.
- C. Install lamps in each luminaire.
- D. Supports:
 - 1. Sized and rated for luminaire weight.
 - 2. Able to maintain luminaire position after cleaning and relamping.
 - 3. Provide support for luminaire without causing deflection of ceiling or wall.
 - 4. Luminaire-mounting devices shall be capable of supporting a horizontal force of 100 percent of luminaire weight and a vertical force of 400 percent of luminaire weight.
- E. Flush-Mounted Luminaires:
 - 1. Secured to outlet box.
 - 2. Attached to ceiling structural members at four points equally spaced around circumference of luminaire.
 - 3. Trim ring flush with finished surface.
- F. Wall-Mounted Luminaires:
 - 1. Attached using through bolts and backing plates on either side of wall.
 - 2. Do not attach luminaires directly to gypsum board.
- G. Suspended Luminaires:
 - 1. Ceiling Mount:
 - a. Two 5/32-inch-diameter aircraft cable supports adjustable to 10 feet in length.
 - 2. Pendants and Rods: Where longer than 48 inches, brace to limit swinging.
 - 3. Stem-Mounted, Single-Unit Luminaires: Suspend with twin-stem hangers. Support with approved outlet box and accessories that hold stem and provide damping of luminaire oscillations. Support outlet box vertically to building structure using approved devices.
- H. Ceiling-Grid-Mounted Luminaires:
 - 1. Secure to any required outlet box.

2. Use approved devices and support components to connect luminaire to ceiling grid and building structure in a minimum of four locations, spaced near corners of luminaire.

- I. Comply with requirements in Section 260519 "Low-Voltage Electrical Power Conductors and Cables" for wiring connections.

3.5 IDENTIFICATION

- A. Identify system components, wiring, cabling, and terminals. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."

3.6 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:

1. Operational Test: After installing luminaires, switches, and accessories, and after electrical circuitry has been energized, test units to confirm proper operation.
2. Test for Emergency Lighting: Interrupt power supply to demonstrate proper operation. Verify transfer from normal power to battery power and retransfer to normal.

- B. Luminaire will be considered defective if it does not pass operation tests and inspections.

- C. Prepare test and inspection reports.

3.7 STARTUP SERVICE

- A. Comply with requirements for startup specified in Section 260923 "Lighting Control Devices."

3.8 ADJUSTING

- A. Occupancy Adjustments: When requested within 12 months of date of Substantial Completion, provide on-site assistance in adjusting the direction of aim of luminaires to suit occupied conditions. Make up to two visits to Project during other-than-normal hours for this purpose. Some of this work may be required during hours of darkness.

1. During adjustment visits, inspect all luminaires. Replace lamps or luminaires that are defective.
2. Parts and supplies to be manufacturer's authorized replacement parts and supplies.
3. Adjust the aim of luminaires in the presence of the Commissioner.

END OF SECTION 265119

SECTION 265213 - EMERGENCY AND EXIT LIGHTING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Emergency lighting.
2. Exit signs.
3. Materials.
4. Luminaire support components.

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.
2. Section 260011 "Facility Performance Requirements for Electrical" for field conditions applicable to Work specified in this Section.

1.3 DEFINITIONS

- A. Correlated Color Temperature (CCT): The absolute temperature, measured in kelvins, of a blackbody whose chromaticity most nearly resembles that of the light source.
- B. Color Rendering Index (CRI): Measure of the degree of color shift that objects undergo when illuminated by the light source as compared with the color of those same objects when illuminated by a reference source.
- C. Emergency Lighting Unit: A lighting unit with internal or external emergency battery powered supply and the means for controlling and charging the battery and unit operation.
- D. Lumen (lm): The SI derived unit of luminous flux equal to the luminous flux emitted within a unit solid angle by a unit point source (1 lm = 1 cd-sr).

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

A. Product Data:

1. For each type of emergency lighting unit, exit sign, and emergency lighting support.
 - a. Include data on features, accessories, and finishes.
 - b. Include physical description of unit and dimensions.
 - c. Battery and charger for light units.
 - d. Include life, output of luminaire (lumens, CCT, and CRI), and energy-efficiency data.
 - e. Include photometric data and adjustment factors based on laboratory tests by, or under supervision of, qualified luminaire photometric testing laboratory, for each luminaire type.

B. Shop Drawings:

1. For nonstandard or custom luminaires.
 - a. Include plans, elevations, sections, and mounting and attachment details.
 - b. Include details of equipment assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
 - c. Include diagrams for power, signal, and control wiring.

1.6 INFORMATIONAL SUBMITTALS

- A. Product Certificates: For each type of luminaire.
- B. Product Test Reports: For each luminaire for tests performed by, or under supervision of, qualified luminaire photometric testing laboratory.
- C. Sample Warranty: For manufacturer's warranty.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.8 DELIVERY, STORAGE, AND HANDLING

- A. Protect finishes of exposed surfaces by applying a strippable, temporary protective covering before shipping.

1.9 WARRANTY

- A. Special Manufacturer Extended Warranty for Batteries for Emergency and Exit Lighting: Manufacturer warrants that batteries for emergency luminaires and exit signs perform in accordance with specified requirements and agrees to provide repair or replacement of batteries that fail to perform as specified within extended warranty period.
 - 1. Extended Warranty Period: Five year(s) from date of Substantial Completion; full coverage for labor, materials, and equipment.

PART 2 - PRODUCTS

2.1 GENERAL REQUIREMENTS FOR EMERGENCY LIGHTING

- A. Electrical Components, Devices, and Accessories: Listed and labeled in accordance with NFPA 70 and UL 924, by qualified electrical testing laboratory and marked for intended location and application.
- B. Comply with NFPA 101.
- C. Comply with NEMA LE 4 for recessed luminaires.
- D. Comply with UL 1598 for fluorescent luminaires.
- E. Lamp Base: Comply with ANSI C81.61 or IEC 60061-1.
- F. Bulb Shape: Complying with ANSI C79.1.
- G. Internal Type Emergency Power Unit: Self-contained, modular, battery-inverter unit, factory mounted within luminaire body and compatible with ballast.
 - 1. Emergency Connection: Operate one lamp(s) continuously at an output of 1100 lumens each upon loss of normal power. Connect unswitched circuit to battery-inverter unit and switched circuit to luminaire ballast.
 - 2. Operation: Relay automatically turns lamp on when power-supply circuit voltage drops to 80 percent of nominal voltage or below. Lamp automatically disconnects from battery when voltage approaches deep-discharge level. When normal voltage is restored, relay disconnects lamps from battery, and battery is automatically recharged and floated on charger.
 - 3. Nightlight Connection: Operate lamp continuously at 40 percent of rated light output.
 - 4. Test Push-Button and Indicator Light: Visible and accessible without opening luminaire or entering ceiling space.
 - a. Push Button: Push-to-test type, in unit housing, simulates loss of normal power and demonstrates unit operability.
 - b. Indicator Light: LED indicates normal power on. Normal glow indicates trickle charge; bright glow indicates charging at end of discharge cycle.
 - 5. Battery: Sealed, maintenance-free, nickel-cadmium type.

6. Charger: Fully automatic, solid-state, constant-current type with sealed power transfer relay.
 7. Remote Test: Switch in handheld remote device aimed in direction of tested unit initiates coded infrared signal. Signal reception by factory-installed infrared receiver in tested unit triggers simulation of loss of its normal power supply, providing visual confirmation of either proper or failed emergency response.
 8. Integral Self-Test: Factory-installed electronic device automatically initiates code-required test of unit emergency operation at required intervals. Test failure is annunciated by an integral audible alarm and a flashing red LED.
- H. External Type Emergency Power Unit: Self-contained, modular, battery-inverter unit, suitable for powering one or more lamps, remote mounted from luminaire.
1. Emergency Connection: Operate one LED lamp continuously. Connect unswitched circuit to battery-inverter unit and switched circuit to luminaire ballast.
 2. Operation: Relay automatically turns lamp on when power-supply circuit voltage drops to 80 percent of nominal voltage or below. Lamp automatically disconnects from battery when voltage approaches deep-discharge level. When normal voltage is restored, relay disconnects lamps from battery, and battery is automatically recharged and floated on charger.
 3. Nightlight Connection: Operate lamp in a remote luminaire continuously.
 4. Battery: nickel-cadmium type.
 5. Charger: Fully automatic, solid-state, constant-current type.
 6. Housing: Type 1 enclosure listed for installation inside, on top of, or remote from luminaire. Remote assembly must be located no less than half of distance recommended by emergency power unit manufacturer, whichever is less.
 7. Test Push Button: Push-to-test type, in unit housing, simulates loss of normal power and demonstrates unit operability.
 8. LED Indicator Light: Indicates normal power on. Normal glow indicates trickle charge; bright glow indicates charging at end of discharge cycle.
 9. Remote Test: Switch in handheld remote device aimed in direction of tested unit initiates coded infrared signal. Signal reception by factory-installed infrared receiver in tested unit triggers simulation of loss of its normal power supply, providing visual confirmation of either proper or failed emergency response.
 10. Integral Self-Test: Factory-installed electronic device automatically initiates code-required test of unit emergency operation at required intervals. Test failure is annunciated by an integral audible alarm and a flashing red LED.

2.2 EMERGENCY LIGHTING

- A. General Characteristics: Self-contained units.
- B. Emergency Luminaire:
 1. Options:
 - a. Operating at nominal voltage of 120 V(ac).
 - b. Internal emergency power unit.
 - c. Rated for installation in damp locations, and for sealed and gasketed luminaires in wet locations.

C. Emergency Lighting Unit:

1. Options:
 - a. Operating at nominal voltage of 120 V(ac).
 - b. Wall with universal junction box adaptor.
 - c. LED lamp heads.
 - d. Internal emergency power unit.

2.3 EXIT SIGNS

A. General Characteristics: Comply with UL 924; for sign colors, visibility, luminance, and lettering size comply with the requirements of the NYC Building Code.

B. Internally Lighted Sign:

1. Options:
 - a. Operating at nominal voltage of 120 V(ac).
 - b. Lamps for AC Operation:
 - 1) LEDs; 50,000 hours minimum rated lamp life.
 - c. Self-Powered Exit Signs (Battery Type): Internal emergency power unit.

C. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Envoy.
2. Topaz
3. Utopia.
4. Or approved equal.

D. Refer to drawings for exit sign information and locations.

2.4 MATERIALS

A. Metal Parts:

1. Free of burrs and sharp corners and edges.
2. Sheet metal components must be steel unless otherwise indicated.
3. Form and support to prevent warping and sagging.

B. Doors, Frames, and Other Internal Access:

1. Smooth operating, free of light leakage under operating conditions.
2. Designed to permit relamping without use of tools.
3. Designed to prevent doors, frames, lenses, diffusers, and other components from falling accidentally during relamping and when secured in operating position.

- C. Diffusers and Globes:
 - 1. Clear glass.
 - 2. Glass: Annealed crystal glass unless otherwise indicated.
 - 3. Lens Thickness: At least 0.125 inch minimum unless otherwise indicated.
- D. Housings:
 - 1. Extruded aluminum housing and heat sink.
 - 2. Clear painted finish.
- E. Conduit: EMT minimum metric designator 21 (trade size 3/4).

2.5 METAL FINISHES

- A. Appearance of Finished Work: Noticeable variations in same piece are not acceptable. Variations in appearance of adjoining components are acceptable if they are within range of approved Samples and are assembled or installed to minimize contrast.

2.6 LUMINAIRE SUPPORT COMPONENTS

- A. Comply with requirements in Section 260529 "Hangers and Supports for Electrical Systems" for channel and angle iron supports and nonmetallic channel and angle supports.
- B. Support Wires: ASTM A641/A641M, Class 3, soft temper, zinc-coated steel, 0.106 inch.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for conditions affecting performance of luminaires.
- B. Examine roughing-in for luminaire to verify actual locations of luminaire and electrical connections before luminaire installation.
- C. Examine walls, floors, roofs, and ceilings for suitable conditions where emergency lighting luminaires will be installed.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 INSTALLATION

- A. Install luminaires level, plumb, and square with ceilings and walls unless otherwise indicated.
- B. Install lamps in each luminaire.
- C. Supports:
 - 1. Sized and rated for luminaire and emergency power unit weight.
 - 2. Able to maintain luminaire position when testing emergency power unit.
 - 3. Provide support for luminaire and emergency power unit without causing deflection of ceiling or wall.
 - 4. Luminaire-mounting devices must be capable of supporting a horizontal force of 100 percent of luminaire and emergency power unit weight and vertical force of 400 percent of luminaire weight.
- D. Wall-Mounted Luminaire Support:
 - 1. Attached using through bolts and backing plates on either side of wall.
 - 2. Do not attach luminaires directly to gypsum board.
- E. Suspended Luminaire Support:
 - 1. Pendants and Rods: Where longer than 48 inch, brace to limit swinging.
 - 2. Stem-Mounted, Single-Unit Luminaires: Suspend with twin-stem hangers. Support with approved outlet box and accessories that hold stem and provide damping of luminaire oscillations. Support outlet box vertically to building structure using approved devices.
 - 3. Continuous Rows of Luminaires: Use tubing or stem for wiring at one point and wire support for suspension for each unit length of luminaire chassis, including one at each end.
 - 4. Do not use ceiling grid as support for pendant luminaires. Connect support wires or rods to building structure.
- F. Ceiling Grid Mounted Luminaires:
 - 1. Secure to outlet box, if provided.
 - 2. Secure emergency power unit using approved fasteners in a minimum of four locations, spaced near corners of emergency power unit.

3.4 IDENTIFICATION

- A. Identify system components, wiring, cabling, and terminals. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."

3.5 FIELD QUALITY CONTROL

- A. Field tests and inspections must be witnessed by Commissioner.
- B. Tests and Inspections:

1. Test for Emergency Lighting: Interrupt power supply to demonstrate proper operation. Verify transfer from normal power to battery power and retransfer to normal.

C. Prepare test and inspection reports.

D. Manufacturer Services:

1. Engage factory-authorized service representative to support and supervise field tests and inspections.

3.6 SYSTEM STARTUP

A. Perform startup service:

1. Charge emergency power units and batteries minimum of one hour and depress switch to conduct short-duration test.
2. Charge emergency power units and batteries minimum of 24 hours and conduct one-hour discharge test.

3.7 ADJUSTING

A. Adjustments: Within 12 months of date of Substantial Completion, provide on-site visit to do the following:

1. Inspect luminaires. Replace lamps, emergency power units , batteries, exit signs, and luminaires that are defective.
 - a. Parts and supplies must be manufacturer's authorized replacement parts and supplies.
2. Conduct short-duration tests on all emergency lighting.

3.8 PROTECTION

A. Remove and replace luminaires and exit signs that are damaged or caused to be unfit for use by construction activities.

END OF SECTION 265213

SECTION 265619 - LED EXTERIOR LIGHTING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes exterior LED lighting luminaires and supports.
- B. Related Requirements:
 - 1. Section 260923 "Lighting Control Devices" for automatic control of lighting.

1.3 DEFINITIONS

- A. CCT: Correlated color temperature.
- B. CRI: Color rendering index.
- C. Fixture: See "Luminaire."
- D. IP: International Protection or Ingress Protection Rating.
- E. Lumen: Measured output of lamp and luminaire, or both.
- F. Luminaire: Complete lighting unit, including lamp, reflector, and housing.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of luminaire.
 - 1. Arrange in order of luminaire designation.
 - 2. Include data on features, accessories, and finishes.
 - 3. Include physical description and dimensions of luminaire.
 - 4. Lamps, include life, output (lumens, CCT, and CRI), and energy-efficiency data.

5. Photometric data and adjustment factors based on laboratory tests, complying with IES Lighting Measurements Testing and Calculation Guides, of each luminaire type. The adjustment factors shall be for lamps and accessories identical to those indicated for the luminaire as applied in this Project.
6. Wiring diagrams for power, control, and signal wiring.
7. Photoelectric relays.
8. Means of attaching luminaires to supports and indication that the attachment is suitable for components involved.

B. Shop Drawings: For nonstandard or custom luminaires.

1. Include plans, elevations, sections, and mounting and attachment details.
2. Include details of luminaire assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
3. Include diagrams for power, signal, and control wiring.

C. Product Schedule: For luminaires and lamps. Use same designations indicated on Drawings.

1.6 INFORMATIONAL SUBMITTALS

A. Product Certificates: For each type of the following:

1. Luminaire.
2. Photoelectric relay.

B. Product Test Reports: For each luminaire, for tests performed by manufacturer and witnessed by a qualified testing agency.

C. Source quality-control reports.

D. Sample warranty.

1.7 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For luminaires and photoelectric relays to include in operation and maintenance manuals.

1. Provide a list of all lamp types used on Project. Use ANSI and manufacturers' codes.
2. Provide a list of all photoelectric relay types used on Project; use manufacturers' codes.

1.8 QUALITY ASSURANCE

A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

B. Provide luminaires from a single manufacturer for each luminaire type.

C. Each luminaire type shall be binned within a three-step MacAdam Ellipse to ensure color consistency among luminaires.

1.9 DELIVERY, STORAGE, AND HANDLING

- A. Protect finishes of exposed surfaces by applying a strippable, temporary protective covering prior to shipping.

1.10 FIELD CONDITIONS

- A. Verify existing and proposed utility structures prior to the start of work associated with luminaire installation.
- B. Mark locations of exterior luminaires for approval by Commissioner prior to the start of luminaire installation.

1.11 WARRANTY

- A. Warranty: Manufacturer agrees to to furnish components for repair or replacement of luminaires that fail in materials or workmanship within specified warranty period.
 - 1. Failures include, but are not limited to, the following:
 - a. Structural failures, including luminaire support components.
 - b. Faulty operation of luminaires and accessories.
 - c. Deterioration of metals, metal finishes, and other materials beyond normal weathering.
 - 2. Warranty Period: 2 year(s) from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 LUMINAIRE REQUIREMENTS

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. NRTL Compliance: Luminaires shall be listed and labeled for indicated class and division of hazard by an NRTL.
- C. FM Global Compliance: Luminaires for hazardous locations shall be listed and labeled for indicated class and division of hazard by FM Global.
- D. UL Compliance: Comply with UL 1598 and listed for wet location.
- E. Lamp base complying with ANSI C81.61 or IEC 60061-1.
- F. Bulb shape complying with ANSI C79.1.
- G. CRI of minimum 80. CCT of 3500 K.

- H. L70 lamp life of 50,000 hours.
- I. Lamps dimmable from 100 percent to 0 percent of maximum light output.
- J. Internal driver.
- K. Nominal Operating Voltage: 120 V ac.
- L. Lamp Rating: Lamp marked for outdoor use and in enclosed locations.

2.2 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by the following manufacturers:
 - 1. Artemide
 - 2. Bega.
 - 3. Meteor.
 - 4. Rayon.
 - 5. SPI.
 - 6. Or approved equal.
- B. Refer to Luminaire Schedule on the drawings for Basis-of-Design luminaires and corresponding manufacturer's listings offering comparable products, and or approved equals.

2.3 MATERIALS

- A. Metal Parts: Free of burrs and sharp corners and edges.
- B. Sheet Metal Components: Stainless steel. Form and support to prevent warping and sagging.
- C. Doors, Frames, and Other Internal Access: Smooth operating, free of light leakage under operating conditions, and designed to permit relamping without use of tools. Designed to prevent doors, frames, lenses, diffusers, and other components from falling accidentally during relamping and when secured in operating position. Doors shall be removable for cleaning or replacing lenses.
- D. Diffusers and Globes:
 - 1. Acrylic Diffusers: 100 percent virgin acrylic plastic, with high resistance to yellowing and other changes due to aging, exposure to heat, and UV radiation.
 - 2. Lens Thickness: At least 0.125 inch minimum unless otherwise indicated.
- E. Lens and Refractor Gaskets: Use heat- and aging-resistant resilient gaskets to seal and cushion lenses and refractors in luminaire doors.
- F. Reflecting surfaces shall have minimum reflectance as follows unless otherwise indicated:
 - 1. White Surfaces: 85 percent.
 - 2. Specular Surfaces: 83 percent.

3. Diffusing Specular Surfaces: 75 percent.

G. Housings:

1. Rigidly formed, weather- and light-tight enclosure that will not warp, sag, or deform in use.
2. Provide filter/breather for enclosed luminaires.

H. Factory-Applied Labels: Comply with UL 1598. Include recommended lamps. Labels shall be located where they will be readily visible to service personnel, but not seen from normal viewing angles when lamps are in place.

1. Label shall include the following lamp characteristics:
 - a. "USE ONLY" and include specific lamp type.
 - b. Lamp diameter, shape, size, wattage and coating.
 - c. CCT and CRI for all luminaires.

2.4 FINISHES

A. Refer to Schedule on the drawings.

2.5 LUMINAIRE SUPPORT COMPONENTS

A. Comply with requirements in Section 260529 "Hangers and Supports for Electrical Systems" for channel and angle iron supports and nonmetallic channel and angle supports.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine roughing-in for luminaire electrical conduit to verify actual locations of conduit connections before luminaire installation.
- C. Examine walls and canopy ceilings for suitable conditions where luminaires will be installed.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 TEMPORARY LIGHTING

- A. If approved by the Commissioner, use selected permanent luminaires for temporary lighting. When construction is substantially complete, clean luminaires used for temporary lighting and install new lamps.

3.4 GENERAL INSTALLATION REQUIREMENTS

- A. Comply with NECA 1.
- B. Use fastening methods and materials selected to resist seismic forces defined for the application and approved by manufacturer.
- C. Install lamps in each luminaire.
- D. Fasten luminaire to structural support.
- E. Supports:
 - 1. Sized and rated for luminaire weight.
 - 2. Able to maintain luminaire position after cleaning and relamping.
 - 3. Support luminaires without causing deflection of finished surface.
 - 4. Luminaire-mounting devices shall be capable of supporting a horizontal force of 100 percent of luminaire weight and a vertical force of 400 percent of luminaire weight.
- F. Wall-Mounted Luminaire Support:
 - 1. Attached using through bolts and backing plates on either side of wall.
- G. Wiring Method: Install cables in raceways. Conceal raceways and cables.
- H. Install luminaires at height and aiming angle as indicated on Drawings.
- I. Coordinate layout and installation of luminaires with other construction.
- J. Adjust luminaires that require field adjustment or aiming.
- K. Comply with requirements in Section 260519 "Low-Voltage Electrical Power Conductors and Cables" and Section 260533.13 "Conduits for Electrical Systems" for wiring connections and wiring methods.

3.5 CORROSION PREVENTION

- A. Aluminum: Do not use in contact with earth or concrete. When in direct contact with a dissimilar metal, protect aluminum by insulating fittings or treatment.
- B. Steel Conduits: Comply with Section 260533.13 "Conduits for Electrical Systems." In concrete foundations, wrap conduit with 0.010-inch-thick, pipe-wrapping plastic tape applied with a 50 percent overlap.

3.6 IDENTIFICATION

- A. Identify system components, wiring, cabling, and terminals. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."

3.7 FIELD QUALITY CONTROL

- A. Inspect each installed luminaire for damage. Replace damaged luminaires and components.
- B. Perform the following tests and inspections with the assistance of a factory-authorized service representative:
 - 1. Operational Test: After installing luminaires, switches, and accessories, and after electrical circuitry has been energized, test units to confirm proper operation.
- C. Luminaire will be considered defective if it does not pass tests and inspections.
- D. Prepare a written report of tests, inspections, observations, and verifications indicating and interpreting results. If adjustments are made to lighting system, retest to demonstrate compliance with standards.

3.8 DEMONSTRATION

- A. Instruct City of New York's personnel to adjust and operate luminaires.

3.9 ADJUSTING

- A. Occupancy Adjustments: When requested within 12 months of date of Substantial Completion, provide on-site assistance in adjusting the direction of aim of luminaires to suit occupied conditions. Make up to two visits to Project during other-than-normal hours for this purpose. Some of this work may be required during hours of darkness.
 - 1. During adjustment visits, inspect all luminaires. Replace lamps or luminaires that are defective.
 - 2. Parts and supplies shall be manufacturer's authorized replacement parts and supplies.
 - 3. Adjust the aim of luminaires in the presence of the Commissioner.

END OF SECTION 265619



SECTION 27 05 36 – CABLE TRAYS FOR COMMUNICATIONS SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract (City of New York Standard Construction Contract).

1.2 SUMMARY

- A. Section Includes:

- 1. Ladder cable tray.
- 2. Single-rail cable tray.
- 3. Cable tray accessories.
- 4. Warning signs.

- B. Related Requirements:

- 1. Section 270536 "Cable trays for Communications Systems" for cable trays and accessories serving electrical systems.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 01 33 00 "Submittal Procedures".

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: For each type of cable tray.
- C. Engineering service Submittal: For seismic restraints.
 - 1. Seismic-Restraint Details: Signed and sealed by a qualified professional engineer, licensed in the state of New York, who is responsible for their preparation.
 - 2. Design Calculations: Calculate requirements for selecting seismic restraints.
 - 3. Detail fabrication, including anchorages and attachments to structure and to supported cable trays.



1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.6 INFORMATIONAL SUBMITTALS

- A. Seismic Qualification Data: Certificates, for cable trays, accessories, and components, from manufacturer.
- B. Field quality-control reports.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Engineering Service: Engage a qualified professional engineer licensed in the state of New York, as defined in Section 014000 "Quality Requirements," to design cable tray supports and seismic bracing.
- B. Seismic Performance: Cable trays and supports will withstand the effects of earthquake motions determined according to ASCE/SEI 7.
 - 1. Component Importance Factor: 1.5.

2.2 GENERAL REQUIREMENTS FOR CABLE TRAYS

- A. Cable Trays and Accessories: Identified as defined in NFPA 70 and NYC electrical code and marked for intended location, application, and grounding.
- B. Sizes and Configurations: See the Cable Tray Schedule on Drawings for specific requirements for types, materials, sizes, and configurations.
- C. Structural Performance: See articles on individual cable tray types for specific values for uniform load distribution, concentrated load, and load and safety factor parameters.

2.3 LADDER CABLE TRAY

- A. Description:
 - 1. Configuration: Two longitudinal side rails with transverse rungs swaged or welded to side rails, complying with NEMA VE 1.
 - 2. Width: 12 inches (300 mm).
 - 3. Straight Section Lengths: As per design.



4. Rung Spacing: 12 inches (300 mm) o.c.
5. Radius-Fitting Rung Spacing: 9 inches (225 mm) at center of tray's width.
6. Minimum Cable-Bearing Surface for Rungs: 7/8-inch (22-mm) width with radius edges.
7. No portion of the rungs will protrude below the bottom plane of side rails.
8. Structural Performance of Each Rung: Capable of supporting a maximum cable load, with a safety factor of 1.5, plus a 200-lb (90-kg) concentrated load, when tested according to NEMA VE 1.
9. Fitting Minimum Radius: 12 inches (300 mm).
10. Maximum uniform load and support span are indicated by cable tray class. See "Load/Span Classification System" Article in the Evaluations.
11. Class Designation: Comply with NEMA VE 1.
12. Splicing Assemblies: Bolted type using serrated flange locknuts.
13. Splice-Plate Capacity: Splices located within support span will not diminish rated loading capacity of cable tray.
14. Covers: Solid type made of same materials and with same finishes as cable tray.

B. Materials and Finishes:

1. Steel:
 - a. Straight Section and Fitting Side Rails and Rungs: Steel complies with the minimum mechanical properties of ASTM A1011/A1011M, SS, Grade 33.
 - b. Steel Tray Splice Plates: ASTM A1011/A1011M, HSLAS, Grade 50, Class 1.
 - c. Fasteners: Steel complies with the minimum mechanical properties of ASTM A510/A510M, Grade 1008.
 - d. Finish: Hot-dip galvanized after fabrication, complying with ASTM A123/A123M, Class B2.
 - 1) Hardware: Galvanized, ASTM B633, Chromium-zinc plated, ASTM F1136, Stainless steel, Type 316.
 - e. Finish: Hot-dip galvanized after fabrication, complying with ASTM A653/A653M, G90 (Z275).
 - 1) Hardware: Galvanized, ASTM B633, Chromium-zinc plated, ASTM F1136.
 - f. Finish: Electrogalvanized after fabrication, complying with ASTM B633.
 - 1) Hardware: Galvanized, ASTM B633.
 - g. Finish: Epoxy-resin paint.
 - 1) Powder-Coat Enamel: Cable tray manufacturer's recommended primer and corrosion-inhibiting treatment, with factory-applied powder-coat paint.
 - 2) Epoxy-Resin Prime Coat: Cold-curing epoxy primer, MPI# 101.
 - 3) Epoxy-Resin Topcoat: Epoxy, cold-cured gloss, MPI# 77.
 - 4) Hardware: Stainless steel, Type 316, ASTM F593 and ASTM F594.



- h. Finish: Factory-standard primer, ready for field painting, with chromium-zinc-plated hardware according to ASTM F1136.
 - i. Finish: Black oxide finish for support accessories and miscellaneous hardware according to ASTM D769.
2. Stainless Steel:
- a. Materials: Low-carbon, passivated stainless steel, Type 304L.
 - b. Hardware for Stainless-Steel Cable Tray Used Outdoors: Stainless steel, Type 316, ASTM F593 and ASTM F594.

2.4 SINGLE-RAIL CABLE TRAY

A. Description:

- 1. Configuration: An extruded-aluminum assembly, consisting of a single longitudinal center rail with transverse rungs arranged symmetrically about the center rail complying with NEMA VE 1.
- 2. Construction: Aluminum rungs mechanically connected to aluminum center rail in at least two places, with ends finished to protect installers and cables.
- 3. Width: 12 inches (300 mm) unless otherwise indicated on Drawings.
- 4. Minimum Usable Load Depth: 6 inches (150 mm).
- 5. Straight Section Lengths: a12 feet (3.7 m), except where shorter lengths are required to facilitate tray assembly.
- 6. Rung Spacing: 12 inches (300 mm) o.c.
- 7. Radius-Fitting Rung Spacing: 9 inches (225 mm) at center of tray's width.
- 8. Support Point: Splice fittings will be hanger support point.
- 9. Support Spacing: Support each section at midpoint. Support wall-mounted sections a maximum of one-sixth of the section length from each end.
- 10. Class Designation: Comply with NEMA VE 1.
- 11. Unbalanced Loads: Maintain cable tray rungs within six degrees of horizontal under all loading conditions.
- 12. Splicing Assemblies: Bolted type using serrated flange locknuts.
- 13. Splicing Assembly Capacity: Splices located within support span will not diminish rated loading capacity of cable tray.
- 14. Splices and Connectors: Protect cables from edges of center rail and do not intrude into cable fill area.
- 15. Covers: Solid: type made of same materials and with same finishes as cable tray.

B. Materials: Aluminum alloy 6063-T6 according to ANSI H35.1/H 35.1M for extruded components, and Alloy 5052-H32 or Alloy 6061-T6 according to ANSI H35.1/H 35.1M for fabricated parts.

C. Hardware: Stainless steel, Type 316, ASTM F593 and ASTM F594.



- D. Hardware for Aluminum Cable Tray Used Outdoors: Stainless steel, Type 316, ASTM F593 and ASTM F594.

2.5 CABLE TRAY ACCESSORIES

- A. Fittings: Tees, crosses, risers, elbows, and other fittings as indicated, of same materials and finishes as cable tray.
- B. Barrier Strips: Same materials and finishes as for cable tray.
- C. Cable tray supports and connectors, including bonding jumpers, as recommended by cable tray manufacturer.

2.6 WARNING SIGNS

- A. Lettering: 1-1/2-inch- (40-mm-) high, black letters on yellow background with legend "Warning! Not To Be Used as Walkway, Ladder, or Support for Ladders or Personnel."

2.7 SOURCE QUALITY CONTROL

- A. Testing: Test and inspect cable trays according to NEMA FG 1.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 CABLE TRAY INSTALLATION

- A. Install cable trays according to NEMA FG 1.
- B. Install cable trays as a complete system, including fasteners, hold-down clips, support systems, barrier strips, adjustable horizontal and vertical splice plates, elbows, reducers, tees, crosses, cable dropouts, adapters, covers, and bonding.
- C. Fasten cable tray supports to building structure and install seismic restraints.
- D. Design fasteners and supports to carry cable tray, the cables, and a concentrated load of 200 lb (90 kg). Comply with seismic-restraint details.



- E. Install center-hung supports for single-rail trays designed for 60 versus 40 percent eccentric loading condition, with a safety factor of 3.
- F. Support center support hangers for wire-basket trays with 1/4-inch- (6-mm-) diameter rods.
- G. Make connections to equipment with flanged fittings fastened to cable trays and to equipment. Support cable trays independent of fittings. Do not carry weight of cable trays on equipment enclosure.
- H. Install expansion connectors where cable trays cross building expansion joints and in cable tray runs that exceed dimensions recommended in NEMA FG 1. Space connectors and set gaps according to applicable standard.
- I. Seal penetrations through fire and smoke barriers. Comply with requirements in Section 078413 "Penetration Firestopping."
- J. Install capped metal sleeves for future cables through firestop-sealed cable tray penetrations of fire and smoke barriers.
- K. Install barriers to separate cables of different systems, such as power, communications, and data processing; or of different insulation levels, such as 600, 5000, and 15 000 V.
- L. Install permanent covers, if used, after installing cable. Install cover clamps according to NEMA VE 2.
- M. Install warning signs in visible locations on or near cable trays after cable tray installation.

3.3 CABLE TRAY GROUNDING

- A. Ground cable trays according to NFPA 70 and NYC electrical code unless additional grounding is specified. Comply with requirements in Section 260526 "Grounding and Bonding for Electrical Systems."
- B. Cable trays will be bonded together with splice plates listed for grounding purposes or with listed bonding jumpers.
- C. Bond cable trays to power source for cables contained within with bonding conductors sized according to NFPA 70, Article 250.122 and NYC electrical code, "Size of Equipment Grounding Conductors."

3.4 CABLE INSTALLATION

- A. Install cables only when each cable tray run has been completed and inspected.



- B. Fasten cables on horizontal runs with cable clamps or cable ties according to NEMA VE 2. Tighten clamps only enough to secure the cable, without indenting the cable jacket.
- C. Fasten cables on vertical runs to cable trays every 18 inches (450 mm).
- D. Fasten and support cables that pass from one cable tray to another or drop from cable trays to equipment enclosures. Fasten cables to the cable tray at the point of exit and support cables independent of the enclosure. The cable length between cable trays or between cable tray and enclosure will be no more than 72 inches (1800 mm).
- E. Tie MI cables down every 36 inches (900 mm) where required to provide a 2-hour fire rating and every 72 inches (1800 mm) elsewhere.
- F. In existing construction, remove inactive or dead cables from cable trays.

3.5 CONNECTIONS

- A. Connect raceways to cable trays according to requirements in NEMA VE 2 and NEMA FG 1.

3.6 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections with the assistance of a factory-authorized service representative:
 - 1. After installing cable trays and after electrical circuitry has been energized, survey for compliance with requirements.
 - 2. Visually inspect cable insulation for damage. Correct sharp corners, protuberances in cable trays, vibrations, and thermal expansion and contraction conditions, which may cause or have caused damage.
 - 3. Verify that the number, size, and voltage of cables in cable trays do not exceed that permitted by NFPA 70 and NYC electrical code. Verify that communications or data-processing circuits are separated from power circuits by barriers or are installed in separate cable trays.
 - 4. Verify that there are no intruding items such as pipes, hangers, or other equipment in the cable tray.
 - 5. Remove dust deposits, industrial process materials, trash of any description, and any blockage of tray ventilation.
 - 6. Visually inspect each cable tray joint and each ground connection for mechanical continuity. Check bolted connections between sections for corrosion. Clean and retorquer in suspect areas.
 - 7. Check for missing, incorrect, or damaged bolts, bolt heads, or nuts. When found, replace with specified hardware.
 - 8. Perform visual and mechanical checks for adequacy of cable tray grounding; verify that all takeoff raceways are bonded to cable trays. Test entire cable tray system for continuity. Maximum allowable resistance is 1 ohm.



- B. Prepare test and inspection reports.

3.7 PROTECTION

- A. Protect installed cable trays and cables.

END OF SECTION 27 05 36



SECTION 27 05 44 - SLEEVES AND SLEEVE SEALS FOR COMMUNICATIONS RACEWAYS AND CABLING

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract (City of New York Standard Construction Contract).

1.2 SUMMARY

A. Section Includes:

1. Round sleeves.
2. Rectangular sleeves.
3. Sleeve seal systems.
4. Grout.
5. Pourable sealants.
6. Foam sealants.

B. Related Requirements:

1. Section 078413 "Penetration Firestopping" for penetration firestopping installed in fire-resistance-rated walls, horizontal assemblies, and smoke barriers, with and without penetrating items.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures".

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.

1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."



PART 2 - PRODUCTS

2.1 ROUND SLEEVES

A. Wall Sleeves, Steel:

1. Description: ASTM A53/A53M, Type E, Grade B, Schedule 40, zinc coated, plain ends and integral waterstop.

B. Wall Sleeves, Cast Iron:

1. Description: Cast or fabricated "wall pipe," equivalent to ductile-iron pressure pipe, with plain ends and integral waterstop.

C. Pipe Sleeves, PVC:

1. Description: ASTM D1785, Schedule 40.

D. Molded Sleeves, PVC:

1. Description: With nailing flange for attaching to wooden forms.

E. Molded Sleeves, PE or PP:

1. Description: Removable, tapered-cup shaped, and smooth outer surface with nailing flange for attaching to wooden forms.

F. Sheet Metal Sleeves, Galvanized Steel, Round:

1. Description: Galvanized-steel sheet; thickness not less than 0.0239-inch (0.6-mm); round tube closed with welded longitudinal joint, with tabs for screw-fastening the sleeve to the board.

2.2 RECTANGULAR SLEEVES

A. Sheet Metal Sleeves, Galvanized Steel, Rectangular:

1. Description:

- a. Material: Galvanized sheet steel.
- b. Minimum Metal Thickness:

- 1) For sleeve cross-section rectangle perimeter less than 50 inches (1270 mm) and with no side larger than 16 inches (400 mm), thickness must be 0.052 inch (1.3 mm).



- 2) For sleeve cross-section rectangle perimeter not less than 50 inches (1270 mm) or with one or more sides larger than 16 inches (400 mm), thickness must be 0.138 inch (3.5 mm).

2.3 SLEEVE SEAL SYSTEMS

- A. Description: Modular sealing device, designed for field assembly, to fill annular space between sleeve and pathway or cable or between pathway and cable.
 1. Sealing Elements: Nitrile (Buna N) rubber interlocking links shaped to fit surface of pipe. Include type and number required for pipe material and size of pipe.
 2. Pressure Plates: Carbon steel/Stainless steel.
 3. Connecting Bolts and Nuts: Carbon steel, with corrosion-resistant coating/Stainless steel of length required to secure pressure plates to sealing elements.

2.4 GROUT

- A. Description: Nonshrink; recommended for interior and exterior sealing openings in non-fire-rated walls or floors.
 1. Standard: ASTM C1107/C1107M, Grade B, post-hardening and volume-adjusting, dry, hydraulic-cement grout.
 2. Design Mix: 5000-psi (34.5-MPa), 28-day compressive strength.
 3. Packaging: Premixed and factory packaged.

2.5 POURABLE SEALANTS

- A. Description: Single-component, neutral-curing elastomeric sealants of grade indicated below.
 1. Grade: Pourable (self-leveling) formulation for openings in floors and other horizontal surfaces that are not fire rated.

2.6 FOAM SEALANTS

- A. Description: Multicomponent, liquid elastomers that, when mixed, expand and cure in place to produce a flexible, nonshrinking foam. Foam expansion must not damage cables or crack penetrated structure.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.



- 3.2 INSTALLATION OF SLEEVES FOR NON-FIRE-RATED ELECTRICAL PENETRATIONS
- A. Comply with NECA 1.
 - B. Sleeves for Conduits Penetrating Above-Grade, Non-Fire-Rated, Concrete and Masonry-Unit Floors and Walls:
 - 1. Interior Penetrations of Non-Fire-Rated Walls and Floors:
 - a. Seal space outside of sleeves with mortar or grout. Pack sealing material solidly between sleeve and wall or floor, so no voids remain. Tool exposed surfaces smooth; protect material while curing.
 - b. Seal annular space between sleeve and pathway or cable, using joint sealant appropriate for size, depth, and location of joint. Comply with requirements in Section 079200 "Joint Sealants."
 - 2. Use pipe sleeves unless penetration arrangement requires rectangular sleeved opening.
 - 3. Size pipe sleeves to provide 1/4-inch (6.4-mm) annular clear space between sleeve and pathway or cable, unless sleeve seal system is to be installed.
 - 4. Install sleeves for wall penetrations unless core-drilled holes or formed openings are used. Install sleeves during erection of walls. Cut sleeves to length for mounting flush with both surfaces of walls. Deburr after cutting.
 - 5. Install sleeves for floor penetrations. Extend sleeves installed in floors 2 inches (50 mm) above finished floor level. Install sleeves during erection of floors.
 - C. Sleeves for Conduits Penetrating Non-Fire-Rated Wall Assemblies:
 - 1. Use circular metal sleeves unless penetration arrangement requires rectangular sleeved opening.
 - 2. Seal space outside of sleeves with approved joint compound for wall assemblies.
 - D. Roof-Penetration Sleeves: Seal penetration of individual pathways and cables with flexible boot-type flashing units applied in coordination with roofing work.
 - E. Aboveground, Exterior-Wall Penetrations: Seal penetrations using steel pipe sleeves and mechanical sleeve seal systems. Size sleeves to allow for 1-inch (25-mm) annular clear space between pipe and sleeve for installing mechanical sleeve seals.
 - F. Underground, Exterior-Wall and Floor Penetrations:
 - 1. Install steel pipe sleeves with integral waterstops. Size sleeves to allow for 1-inch (25-mm) annular clear space between pathway or cable and sleeve for installing sleeve seal system. Install sleeve during construction of floor or wall.
 - 2. Install steel pipe sleeves. Size sleeves to allow for 1-inch (25-mm) annular clear space between pathway or cable and sleeve for installing sleeve seal system. Grout sleeve into wall or floor opening.



3.3 INSTALLATION OF RECTANGULAR SLEEVES AND SLEEVE SEALS

- A. Install sleeves in existing walls without compromising structural integrity of walls. Do not cut structural elements without reinforcing the wall to maintain the designed weight bearing and wall stiffness.
- B. Install conduits and cable with no crossings within the sleeve.
- C. Fill opening around conduits and cables with expanding foam without leaving voids.
- D. Provide metal sheet covering at both wall surfaces and finish to match surrounding surfaces. Metal sheet must be same material as sleeve.

3.4 INSTALLATION OF SLEEVE SEAL SYSTEMS

- A. Install sleeve seal systems in sleeves in exterior concrete walls and slabs-on-grade at pathway entries into building.
- B. Install type and number of sealing elements recommended by manufacturer for pathway or cable material and size. Position pathway or cable in center of sleeve. Assemble mechanical sleeve seals and install in annular space between pathway or cable and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make watertight seal.

END OF SECTION 27 05 44



SECTION 27 11 00 - COMMUNICATIONS EQUIPMENT ROOM FITTINGS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract (City of New York Standard Construction Contract).

1.2 SUMMARY

A. Section Includes:

1. Telecommunications mounting elements.
2. Backboards.
3. Telecommunications equipment racks and cabinets.
4. Grounding.

B. Related Requirements:

1. Section 270536 "Cable Tray for Communication systems" for cable trays and accessories.
2. Section 271323 "Communications Optical Fiber Backbone Cabling" for voice and data cabling associated with system panels and devices.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures".

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.

- B. Shop Drawings: For communications equipment room fittings. Include plans, elevations, sections, details, and attachments to other work.

1. Detail equipment assemblies and indicate dimensions, weights, loads, clearances, method of field assembly, components, and location and size of each field connection.
2. Equipment Racks and Cabinets: Include workspace requirements and access for cable connections.
3. Grounding: Indicate location of grounding bus bar and its mounting detail showing standoff insulators and wall mounting brackets.



1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.6 INFORMATIONAL SUBMITTALS

- A. Seismic Qualification Certificates: For equipment frames from manufacturer.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Seismic Performance: Equipment frames will withstand the effects of earthquake motions determined according to ASCE.
 - 1. The term "withstand" means "the unit will remain in place without separation of any parts from the device when subjected to the seismic forces specified."

2.2 BACKBOARDS

- A. Backboards: Plywood, fire-retardant treated, 3/4 by 48 by 96 inches (19 by 1220 by 2440 mm). Comply with requirements for plywood backing panels in Section 061000 "Rough Carpentry."

2.3 EQUIPMENT FRAMES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. ADC.
 - 2. Belden Inc.
 - 3. Cooper B-Line.
 - 4. Emerson Network Power Connectivity Solutions.
 - 5. Hubbell Premise Wiring.
 - 6. Leviton Commercial Networks Division.
 - 7. Ortronics, Inc.
 - 8. Panduit Co
 - 9. Siemon Co.
 - 10. Tyco Electronics Corporation; AMP Products.
 - 11. Or approved equal.
- B. General Frame Requirements:
 - 1. Distribution Frames: Freestanding and wall-mounting, modular-steel units designed for telecommunications terminal support and coordinated with unit dimensions to be supported.
 - 2. Module Dimension: Width compatible with EIA 310-D standard, 19-inch (480-mm) panel mounting.



3. Finish: Manufacturer's standard, baked-polyester powder coat.

C. Floor-Mounted Racks: Modular-type, steel construction.

1. Vertical and horizontal cable management channels, top and bottom cable troughs, grounding lug, and a power strip.
2. Baked-polyester powder coat finish.

D. Modular Freestanding Cabinets:

1. Removable and lockable side panels.
2. Hinged and lockable front and rear doors.
3. Adjustable feet for leveling.
4. Screened ventilation openings in the roof and rear door.
5. Cable access provisions in the roof and base.
6. Grounding bus bar.
7. Rack -mounted, 550-cfm (260-L/s) fan with filter.
8. Power strip.
9. Baked-polyester powder coat finish.
10. All cabinets keyed alike.

E. Modular Wall Cabinets:

1. Wall mounting.
2. Steel or aluminum construction.
3. Treated to resist corrosion.
4. Lockable front and rear doors.
5. Louvered side panels.
6. Cable access provisions top and bottom.
7. Grounding lug.
8. Rack-mounted, 250-cfm (118-L/s) fan.
9. Power strip.
10. All cabinets keyed alike.

F. Cable Management for Equipment Frames:

1. Metal, with integral wire retaining fingers.
2. Baked-polyester powder coat finish.
3. Vertical cable management panels will have front and rear channels, with covers.
4. Provide horizontal crossover cable manager at the top of each relay rack, with a minimum height of two rack units each.

2.4 EQUIPMENT/DEVICES

A. Power Strips: Comply with UL 1363.

1. Listed and labeled as defined in NFPA 70 and NYC electrical code, by a qualified testing agency, and marked for intended location and application.



2. Rack mounting.
3. 6, 20-A, 120-V ac, NEMA WD 6, Configuration 5-20R receptacles.
4. LED indicator lights for power and protection status.
5. LED indicator lights for reverse polarity and open outlet ground.
6. Circuit Breaker and Thermal Fusing: When protection is lost, circuit opens and cannot be reset.
7. Circuit Breaker and Thermal Fusing: Unit continues to supply power if protection is lost.
8. Cord connected with 15-foot (4.5-m) line cord.
9. Rocker-type on-off switch, illuminated when in on position.
10. Protection modes will be line to neutral, line to ground, and neutral to ground. UL 1449 clamping voltage for all three modes will be not more than 330 V.

B. POE Network Switch:

1. Sole Source Product: Cisco 9300Series 48POE.
 - a. No Substitutions Permitted.

C. Wireless Access Points:

1. Sole Source Product: Aerohive AP1130 (Outdoor), Aerohive AP410C (Internal).
 - a. No Substitutions Permitted.

D. Telephone System:

1. Sole Source Product: NEC Univerge CAT# ITZ-12DG-3(BK).
 - a. No Substitutions Permitted.

2.5 GROUNDING

A. Comply with requirements in Section 260526 "Grounding and Bonding for Electrical Systems" for grounding conductors and connectors.

B. Telecommunications Main Bus Bar:

1. Connectors: Mechanical type, cast silicon bronze, solderless exothermic-type wire terminals, and long-barrel, two-bolt connection to ground bus bar.
2. Ground Bus Bar: Copper, minimum 1/4 inch thick by 4 inches wide (6 mm thick by 100 mm wide) with 9/32-inch (7.14-mm) holes spaced 1-1/8 inches (28 mm) apart.
3. Stand-Off Insulators: Comply with UL 891 for use in switchboards, 600 V. Lexan or PVC, impulse tested at 5000 V.

C. Comply with J-STD-607-A.



2.6 LABELING

- A. Comply with TIA/EIA-606-A and UL 969 for a system of labeling materials, including label stocks, laminating adhesives, and inks used by label printers.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 ENTRANCE FACILITIES

- A. Contact telecommunications service provider and arrange for installation of demarcation point, protected entrance terminals, and a housing when so directed by service provider.

3.3 INSTALLATION

- A. Comply with NECA 1.
- B. Comply with BICSI TDMM for layout and installation of communications equipment rooms.
- C. Bundle, lace, and train conductors and cables to terminal points without exceeding manufacturer's limitations on bending radii. Install lacing bars and distribution spools.
- D. Coordinate layout and installation of communications equipment with the City of New York's telecommunications and LAN equipment and service suppliers. Coordinate service entrance arrangement with local exchange carrier.
 - 1. Meet jointly with telecommunications and LAN equipment suppliers, local exchange carrier representatives, and the Commissioner to exchange information and agree on details of equipment arrangements and installation interfaces.
 - 2. Record agreements reached in meetings and distribute them to other participants.
 - 3. Adjust arrangements and locations of distribution frames, cross-connects, and patch panels in equipment rooms to accommodate and optimize arrangement and space requirements of telephone switch and LAN equipment.
 - 4. Adjust arrangements and locations of equipment with distribution frames, cross-connects, and patch panels of cabling systems of other communications, electronic safety and security, and related systems that share space in the equipment room.
- E. Coordinate location of power raceways and receptacles with locations of communications equipment requiring electrical power to operate.



3.4 SLEEVE AND SLEEVE SEAL INSTALLATION FOR ELECTRICAL PENETRATIONS

- A. Install sleeves and sleeve seals at penetrations of exterior floor and wall assemblies. Comply with requirements in Section 270544 "Sleeves and Sleeve Seals for communications raceways and Cabling."

3.5 FIRESTOPPING

- A. Comply with requirements in Section 078413 "Penetration Firestopping."
- B. Comply with TIA-569-B, Annex A, "Firestopping."
- C. Comply with BICSI TDMM, "Firestopping Systems" Article.

3.6 GROUNDING

- A. Install grounding according to BICSI TDMM, "Grounding, Bonding, and Electrical Protection" Chapter.
- B. Comply with J-STD-607-A.
- C. Locate grounding bus bar to minimize the length of bonding conductors. Fasten to wall allowing at least 2-inch (50-mm) clearance behind the grounding bus bar. Connect grounding bus bar with a minimum No. 4 AWG grounding electrode conductor from grounding bus bar to suitable electrical building ground.
- D. Bond metallic equipment to the grounding bus bar, using not smaller than No. 6 AWG equipment grounding conductor.
 - 1. Bond the shield of shielded cable to the grounding bus bar in communications rooms and spaces.

3.7 IDENTIFICATION

- A. Identify system components, wiring, and cabling complying with TIA/EIA-606-A. Comply with requirements in Division 26 Section 260553 "Identification for Electrical Systems."
- B. Comply with requirements in Division 09 Section 099124 "Interior Painting (MPI standards)" for painting backboards. For fire-resistant plywood, do not paint over manufacturer's label.
- C. Paint and label colors for equipment identification will comply with TIA/EIA-606-A for Class 2 level of administration. Labels will be preprinted or computer-printed type.

END OF SECTION 27 11 00



SECTION 27 11 16 - COMMUNICATIONS RACKS, FRAMES, AND ENCLOSURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract (City of New York Standard Construction Contract).

1.2 SUMMARY

- A. Section Includes:
 - 1. 24-inch equipment racks.
 - 2. Power strips.
 - 3. Grounding.
 - 4. Labeling.

1.3 DEFINITIONS

- A. Access Provider: An operator that provides a circuit path or facility between the service provider and user. An access provider can also be a service provider.
- B. Service Provider: The operator of a telecommunications transmission service delivered through access provider facilities.

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures".

1.5 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: For communications racks, frames, and enclosures. Include plans, elevations, sections, details, and attachments to other work.
 - 1. Detail equipment assemblies and indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.



2. Equipment Racks and Cabinets: Include workspace requirements and access for cable connections.
3. Grounding: Indicate location of TGB and its mounting detail showing standoff insulators and wall-mounting brackets.

1.6 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer.
- B. Seismic Qualification Data: Certificates, from manufacturer.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Seismic Performance: Equipment will withstand the effects of earthquake motions determined according to ASCE/SEI 7.
 1. The term "withstand" means "the unit will remain in place without separation of any parts when subjected to the seismic forces specified and the unit will be fully operational after the seismic event."
- B. UL listed and eStar certified.
- C. RoHS compliant.
- D. Compliant with requirements of the Payment Card Industry Data Security Standard.

2.2 24-INCH EQUIPMENT RACKS

- A. Description: Two post racks with threaded rails designed for mounting telecommunications equipment. Width is compatible with EIA/ECIA 310-E, 24-inch equipment mounting with an opening of 17.72-inches (450-mm) between rails.
- B. General Requirements:
 1. Frames: Modular units designed for telecommunications terminal support and coordinated with dimensions of units to be supported.
 2. Material: Sheet steel.
 3. Finish: Manufacturer's standard, baked-polyester powder coat.



4. Color: Black.

C. Floor-Mounted Racks:

1. Overall Height: 84 inches (2133.6 mm).
2. Overall Depth: 24 inches (584.2 mm).
3. Upright Depth: 6 inches (152.4 mm).
 - a. Numbering: Every rack units, on interior of rack.
4. Threads: Universal square.
5. Vertical and horizontal cable management channels, top and bottom cable troughs, grounding lug, and a power strip.
6. Base will have a minimum of four mounting holes for permanent attachment to floor.
7. Top will have provisions for attaching to cable tray or ceiling.
8. Self-leveling.

D. Cable Management:

1. Metal, with integral wire retaining fingers.
2. Baked-polyester powder coat finish.
3. Vertical cable management panels will have front and rear channels, with covers.
4. Provide horizontal crossover cable manager at the top of each relay rack, with a minimum height of two rack units each.

2.3 POWER STRIPS

A. Power Strips: Comply with UL 1363.

1. Listed and labeled as defined in NFPA 70 and NYC electrical code, by a qualified testing agency, and marked for intended location and application.
2. Rack mounting, with detachable flanges.
3. Front-facing receptacles.
4. LED indicator lights for power and protection status.
5. LED indicator lights for reverse polarity and open outlet ground.
6. Circuit Breaker and Thermal Fusing: Unit continues to supply power if protection is lost.
7. Rocker-type on-off switch, illuminated when in on position.
8. Surge Protection: UL 1449, Type 3.
 - a. Maximum Surge Current, Line to Neutral: 45 kA.
 - b. Protection modes will be line to neutral, line to ground, and neutral to ground.
 - c. UL 1449 Voltage Protection Rating for line to neutral and line to ground will be 600 V for neutral to ground.



2.4 GROUNDING

- A. Comply with requirements in Section 260526 "Grounding and Bonding for Electrical Systems" for grounding conductors and connectors.
- B. Rack and Cabinet TGBs: Rectangular bars of hard-drawn solid copper, accepting conductors ranging from No. 14 to No. 2/0 AWG, NRTL listed as complying with UL 467, and complying with TIA-606-B. Predrilling will be with holes for use with lugs specified in this Section.
 - 1. Cabinet-Mounted TGB: Terminal block, with stainless-steel or copper-plated hardware for attachment to cabinet.
 - 2. Rack-Mounted Horizontal TGB: Designed for mounting in 23-inch (482.6- or 584.2-mm) equipment racks. Include a copper splice bar for transitioning to an adjoining rack, and stainless-steel or copper-plated hardware for attachment to the rack.
 - 3. Rack-Mounted Vertical TGB: 72 or 36 inches (1828.8 or 914.4 mm) long, with stainless-steel or copper-plated hardware for attachment to rack.

2.5 LABELING

- A. Comply with TIA-606-B and UL 969 for a system of labeling materials, including label stocks, laminating adhesives, and inks used by label printers.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION

- A. Comply with NECA 1.
- B. Comply with BICSI TDMM for layout of communications equipment spaces.
- C. Comply with BICSI ITSIMM for installation of communications equipment spaces.
- D. Bundle, lace, and train conductors and cables to terminal points without exceeding manufacturer's limitations on bending radii. Install lacing bars and distribution spools.
- E. Coordinate layout and installation of communications equipment in racks and room. Coordinate service entrance configuration with service provider.
 - 1. Meet jointly with system providers, equipment suppliers, and the Commissioner to exchange information and agree on details of equipment configurations and installation interfaces.



2. Record agreements reached in meetings and distribute them to other participants.
 3. Adjust configurations and locations of distribution frames, cross-connects, and patch panels in equipment spaces to accommodate and optimize configuration and space requirements of telecommunications equipment.
 4. Adjust configurations and locations of equipment with distribution frames, cross-connects, and patch panels of cabling systems of other communications, electronic safety and security, and related systems that share space in equipment room.
- F. Coordinate location of power raceways and receptacles with locations of communications equipment requiring electrical power to operate.

3.3 GROUNDING

- A. Comply with NECA/BICSI 607.
- B. Install grounding according to BICSI ITSIMM, "Bonding, Grounding (Earthing) and Electrical Protection."
- C. Locate TGB to minimize length of bonding conductors. Fasten to wall, allowing at least 2 inches (50 mm) of clearance behind TGB. Connect TGB with a minimum No. 4 AWG grounding electrode conductor from TGB to suitable electrical building ground. Connect rack TGB to near TGB or the TMGB.
 1. Bond the shield of shielded cable to patch panel, and bond patch panel to TGB or TMGB.

3.4 IDENTIFICATION

- A. Coordinate system components, wiring, and cabling complying with TIA-606-B. Comply with requirements in Section 260553 "Identification for Electrical Systems."
- B. Comply with requirements in Section 099124 "Interior Painting (MPI Standards)" for painting backboards. For fire-resistant plywood, do not paint over manufacturer's label.
- C. Paint and label colors for equipment identification will comply with TIA-606-B for Class 2 level of administration, including optional identification requirements of this standard.
- D. Labels will be machine printed. Type will be 1/4 inch (6 mm) in height.

END OF SECTION 27 11 16



SECTION 27 13 23 - COMMUNICATIONS OPTICAL FIBER BACKBONE CABLING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract (City of New York Standard Construction Contract).

1.2 DESCRIPTION OF WORK

- A. The intent of this specification is to provide high bandwidth fiber optic backbone for the Local Area Network and for voice connectivity. The Contractor will provide fiber optic backbone cables 6-strand horizontal fiber runs in a few selected locations where indicated. The configuration is shown on the drawings. The opto-electronic equipment necessary to 'light' this optical fiber will be provided by other trades.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures".

1.4 SUBMITTALS

- A. Submit the shop drawings, product data, samples and quality control specified below at the same time as a single package.
- B. Product Data:
 - 1. Catalog sheets, specifications and installation instructions for all products.
 - 2. Written statement from cable manufacturer indicating recommended pulling compounds.
- C. Shop Drawings:
 - 1. Complete manufacturers' construction details and specifications for the cables, including physical characteristics of optical fiber, strength members and jackets.
 - 2. Overall dimension of cable.
 - 3. Termination data, including the following:



- a. List of materials.
 - b. Method of terminating cables.
 - c. Written statement from cable manufacturer that terminations submitted are acceptable, and suitable for the proposed application.
4. Cable manufacturer's certified test data (attenuation, bandwidth).
 5. Maximum pulling strain allowed for each type cable.
 6. Composite wiring and/or schematic diagrams of the complete cabling system as proposed to be installed (standard diagrams will not be acceptable).
 7. Coordinated rack/cabinet elevations for the main equipment room, high density room and each telecommunications closet identifying the location, dimensions of all termination hardware provided by the contractor.
- D. Samples:
1. Two (2) foot samples of each type cable.
 2. Samples of termination materials if requested.
- E. Cabling system acceptance test report.

1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.6 DELIVERY, STORAGE AND HANDLING

A. Cable Delivery:

1. No cable over one (1) year old when delivered to the site will be accepted.
2. Keep ends of cables sealed at all times, except when making terminations. Use methods approved by cable manufacturer.

B. Cable Storage:

1. Store where cable will be at temperature recommended by cable manufacturer for optimum workability.



PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. Hubbell Premise Wiring.
 2. AMP Inc.
 3. Corning.
 4. ADC-Krone.
 5. Ortronics.
 6. Mohawk.
 7. Optical Cable Corporation.
 8. Belden.
 9. Or approved equal.
- B. All servers will be eStar certified.

2.2 OPTICAL FIBER CABLE

- A. Riser cabling will consist of twenty-four (24) strands of 50/125- μ OM4 Aqua Color multi-mode fiber optic cable for data and an additional six (6) strands of 50/125- μ OM4 Aqua Color multi-mode fiber optic cable for voice connectivity. Horizontal cabling for high density usage areas where indicated will consist of six (6) strands of 50/125- μ OM4 Aqua Color multi-mode fiber optic cable.
- B. Cable will consist of single ripcords and core warp tape, full dielectric construction, UL listed OFNP for Plenum applications; continuous operating temperature -40°C to 70°C for horizontal runs. Each fiber will be 50/125- μ OM4 Aqua Color (core/clad) diameter, multimode graded index optical fiber waveguide operating at 850 and 1300 nanometer (nm), maximum attenuation 3.75 dB/km and 1.0 db/km respectively. Use UL-listed plenum cable in environmental air spaces, including plenum ceilings.

2.3 ENCLOSURE FOR OPTICAL FIBER TERMINATING BOX (OFTB)

- A. The unit is designed for termination of optical fiber cables. Individual strands will be fanned out and terminated with LC connectors in an interconnect plate with twelve interconnect sleeves, following proper manufacturers' specifications for bend radius and strain relief.
1. Dimensions as required for installation of components.
 2. Single gasketed door with continuous hinge and lock.
 - a. Requires two (2) coupler panels per 12 port box.



- b. Lock Kit: Mild steel, chrome plated, cylinder lock-kit for type 3R and 12 enclosures. The kit shall include a handle, latch rods, adjustable rod guides.
 - c. Lock will be keyed the same as locks for fence accessory stations (FAS's).
 - d. Provide two (2) keys.
 - e. Rack mount patch panel: 24 port.
3. 14 gauge steel mounting plate within enclosure for mounting components and future components.
 4. Louvers as required for ventilation and to prevent temperatures above equipment ratings.
 5. Mounting accessories as required to mount in a standard 19" rack.

2.4 CONNECTORS

- A. Connector will be a no-adhesive/no-epoxy/no-polish LC connector. The connector will have a 50/125 micron fiber stub pre-epoxied and pre-polished in the ferrule tip. The fiber to be terminated will be simply prepped and cleaved before insertion into the connector. The maximum optical attenuation per each mated connector pair will not exceed 0.5 dB.

2.5 INNERDUCT

- A. Provide flame-retardant innerduct for protection, isolation and identification of fiber optic cable. Innerduct must meet UL94 V-O flame test for plenum and UL 1666 for riser applications for optical fiber raceways.

2.6 ACCESSORIES

- A. Pulling Compounds: As recommended by cable manufacturer.
- B. Tags: Precision engrave letters and numbers with uniform margins, character size minimum 3/16" high.
 1. Phenolic: Two (2) color laminated engravers' stock, 1/16" minimum thickness, machine engraved to expose inner core color (white).
- C. Markers - Premarked self-adhesive products: Subject to compliance with requirements, provide one of the followings:
 1. W.H. Brady Co.'s B940.
 2. Thomas and Betts Co.'s E-Z Code WSL self-laminating.
 3. Ideal Industries' Mylar/Cloth wire markers.



- 4. Markwick Corp.'s permanent wire markers.
- 5. Or approved equal.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 PREPARATION

- A. Before installing cable, test the cable on the reels to verify that the cables' parameters are in accordance with the manufacturers' certified test data.

3.3 INSTALLATION

- A. Installing Cables:

- 1. No fiber optic cable will be run unprotected. The cable will be protected as follows:

Location	Method of Protection
a. Accessible ceiling Spaces	Innerduct (plenum as required)
b. Non-accessible ceiling Spaces	Conduit
c. Raised floor	Wiremold
d. Exposed	Innerduct
e. Within cabinets and Racks	Innerduct or spiral wrapped buffer tubes

- 2. All raceways containing fiber optic cable will be provided with a label "Caution-Fiber Optic Cable" located on the raceway every 20 feet.
- 3. Keep ends of cables sealed watertight at all times, except when making splices or terminations.
- 4. No grease, oil, lubricant other than approved pulling compound may be used to facilitate the pulling-in of cables.



5. Use pulling attachment connected to the cable strength member for pulling in cables. Seal pulling attachment watertight.
6. The 24-strand fiber optic cable backbone for data and 6-strand fiber optic cable backbone for voice connectivity will be run from the main telecommunications room or MDF to each intermediate telecommunications room or IDF.

B. Terminations:

1. All strands of fiber optic cable will be terminated in LC type connectors at the outlets and fiber patch panels at the cabinet.
2. No splicing of optical fiber cables will be allowed except:
 - a. Connectorized splices will be allowed in the OFTB.
3. The 24-strand fiber optic cable for data and 6-strand fiber optic cable for voice connectivity will be terminated at the MDF in rack mounted interconnect units with LC type connectors and will be terminated at the IDF in rack mounted interconnect units with LC type connectors.

C. Identification of Optical Fiber Cables: Identify cables in MDF, LDFs and at all fiber outlet locations:

1. Install tags on each cable indicating cable number, date installed (month, year), type of cable and manufacturer. Attach tags to cables with non-ferrous metal wire or brass chain.
2. Use markers to identify each optical fiber in equipment to which they connect.

3.4 FIELD QUALITY CONTROL

A. Testing:

1. Perform test on optical fiber after cable has been installed complete with connectors. All strands will be tested for end-to-end attenuation loss at 850 nm and 1300 nm. Procedure for the test will comply with EIA/TIA 526-14-A, Method B: Optical Power Loss Measurements of Installed Multimode Fiber Cable Plant.
 - a. Demonstrate that the amount of power coupled into each optical fiber by its transmitter, the attenuation and connector losses, and the power received at the detector in the receiver is no greater than 75% of the parameters required by the transmitter/ receiver manufacturer.



- b. The total optical attenuation (dB loss) will not exceed .5 dB/per mated connector ports and 3.75 dB/km for the fiber.
 2. Perform test in the presence of the Commissioner. Failed strands will be corrected or replaced and re-tested for compliance.
 3. Supply equipment Optical Time Domain Reflectometer (OTDR) necessary for performing test.
 4. Submit printed report of test results. Test results will contain the following minimum information:
 - a. Cable origin.
 - b. Cable destination.
 - c. Cable ID.
 - d. Cable pair/strand.
 - e. Test date.
 - f. 2 point attenuation (dB).

3.5 EQUIPMENT INSTALLATION AND TESTING:

- A. The Contractor will be available during LAN equipment (i.e. wiring switches, hubs, server, and workstations) installation and testing and assist computer integrator to isolate faults which may exist in the cabling system installation.
- B. The contractor will coordinate with other vendors where necessary to resolve any discrepancies between the cabling system and the vendor's cabling or equipment.

END OF SECTION 27 13 23



SECTION 27 15 13 – COMMUNICATIONS COPPER HORIZONTAL CABLING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract (City of New York Standard Construction Contract).

1.2 SUMMARY

A. Section Includes:

1. UTP cabling.
2. 62.5/125-micrometer, optical fiber cabling.
3. Multiuser telecommunications outlet assemblies.
4. Cable connecting hardware, patch panels, and cross-connects.
5. Telecommunications outlet/connectors.
6. Cabling system identification products.

B. Related Requirements:

1. Section 271323 "Communications Optical Fiber Backbone Cabling" for voice and data cabling associated with system panels and devices.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures".

1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product.

B. Shop Drawings:

1. System Labeling Schedules: Electronic copy of labeling schedules that are part of the cabling and asset identification system of the software.
2. Wiring diagrams to show typical wiring schematics, including the following:
 - a. Cross-connects.
 - b. Patch panels.
 - c. Patch cords.



3. Cross-connects and patch panels. Detail mounting assemblies and show elevations and physical relationship between the installed components.

1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For Installer.
- B. Source quality-control reports.
- C. Field quality-control reports.

1.6 CLOSEOUT SUBMITTALS

- A. Maintenance data.

1.7 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.8 COORDINATION

- A. Coordinate layout and installation of telecommunications cabling with the City of New York 's telecommunications and LAN equipment and service suppliers.
- B. Coordinate telecommunications outlet/connector locations with location of power receptacles at each work area.

1.9 DELIVERY, STORAGE, AND HANDLING

- A. Test cables upon receipt at Project site. Test each pair of UTP cable for open and short circuits.

PART 2 - PRODUCTS

2.1 HORIZONTAL CABLING DESCRIPTION

- A. Horizontal cable and its connecting hardware provide the means of transporting signals between the telecommunications outlet/connector and the horizontal cross-connect located in the communications equipment room. This cabling and its connecting hardware are called a "permanent link," a term that is used in the testing protocols.
 1. TIA/EIA-568-B.1 requires that a minimum of two telecommunications outlet/connectors be installed for each work area.
 2. Horizontal cabling will contain no more than one transition point or consolidation point between the horizontal cross-connect and the telecommunications outlet/connector.



3. Bridged taps and splices will not be installed in the horizontal cabling.

2.2 PERFORMANCE REQUIREMENTS

- A. General Performance: Horizontal cabling system will comply with transmission standards in TIA/EIA-568-B.1 when tested according to test procedures of this standard.
- B. Surface-Burning Characteristics: Comply with ASTM E 84; testing by a qualified testing agency. Identify products with appropriate markings of applicable qualified testing agency.
 1. Flame-Spread Index: 25.
 2. Smoke-Developed Index: 50.
- C. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70 and NYC electrical code, by a qualified testing agency, and marked for intended location and application.
- D. Grounding: Comply with J-STD-607-A.

2.3 BACKBOARDS

- A. Backboards: Plywood, fire-retardant treated, 3/4 by 48 by 96 inches. Comply with requirements in Section 061000 "Rough Carpentry" for plywood backing panels.

2.4 UTP CABLE

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 1. ADC.
 2. Belden Inc.
 3. CommScope, Inc.
 4. Genesis Cable Products; Honeywell International, Inc.
 5. Mohawk; a division of Belden Networking, Inc.
 6. 3M Communication Markets Division.
 7. Tyco Electronics Corporation; AMP Products.
 8. Or approved equal.
- B. Description: 100-ohm, four-pair UTP, formed into 25-pair, binder groups covered with a blue thermoplastic jacket.
 1. Comply with ICEA S-90-661 for mechanical properties.
 2. Comply with TIA/EIA-568-B.1 for performance specifications.
 3. Comply with TIA/EIA-568-B.2, Category 6.
 4. Listed and labeled by an NRTL acceptable to the Commissioner as complying with UL 444, NFPA 70 and NYC electrical code for the following types.



- a. Communications, General Purpose: Type CM or CMG.
- b. Communications, Plenum Rated: Type CMP complying with NFPA 262.
- c. Communications, Riser Rated: Type CMR, complying with UL 1666.
- d. Communications, Limited Purpose.
- e. Type CMX Multipurpose: Type MP or MPG.
- f. Multipurpose, Plenum Rated: Type MPP, complying with NFPA 262.
- g. Multipurpose, Riser Rated: Type MPR, complying with UL 1666.

2.5 UTP CABLE HARDWARE

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 1. ADC.
 2. American Technology Systems Industries, Inc.
 3. Belden Inc.
 4. Dynacom Inc.
 5. Hubbell Premise Wiring.
 6. Leviton Commercial Networks Division.
 7. Molex Premise Networks; a division of Molex, Inc.
 8. Panduit Corp.
 9. Siemon Co.
 10. Tyco Electronics Corporation; AMP Products.
 11. Or approved equal.
- B. General Requirements for Cable Connecting Hardware: Comply with TIA/EIA-568-B.2, IDC type, with modules designed for punch-down caps or tools. Cables will be terminated with connecting hardware of same category or higher.
- C. Connecting Blocks: 110-style IDC for Category 6. Provide blocks for the number of cables terminated on the block, plus 50 percent spare. Integral with connector bodies, including plugs and jacks where indicated.
- D. Cross-Connect: Modular array of connecting blocks arranged to terminate building cables and permit interconnection between cables.
 1. Number of Terminals per Field: 1 for each conductor in assigned cables.
- E. Patch Panel: Modular panels housing multiple-numbered jack units with IDC-type connectors at each jack for permanent termination of pair groups of installed cables.
 1. Number of Jacks per Field: 1 for each four-pair 1.
- F. Jacks and Jack Assemblies: Modular, color-coded, eight-position modular receptacle units with integral IDC-type terminals.
- G. Patch Cords: Factory-made, four-pair cables in 3' lengths; terminated with eight-position modular plug at each end.



1. Patch cords will have bend-relief-compliant boots and color-coded icons to ensure Category 6 performance. Patch cords will have latch guards to protect against snagging.
2. Patch cords will have color-coded boots for circuit identification.

2.6 OPTICAL FIBER CABLE

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Belden Inc.
2. Berk-Tek; a Nexans company.
3. CommScope, Inc.
4. Corning Cable Systems.
5. CSI Technologies Inc.
6. General Cable Technologies Corporation.
7. Mohawk; a division of Belden Networking, Inc.
8. Superior Essex Inc.
9. SYSTIMAX Solutions; a CommScope, Inc. brand.
10. 3M Communication Markets Division.
11. Tyco Electronics Corporation; AMP Products.
12. Or approved equal.

B. Description: Multimode, 62.5/125 micrometer, 24 -fiber, tight buffer, optical fiber cable.

1. Comply with ICEA S-83-596 for mechanical properties.
2. Comply with TIA/EIA-568-B.3 for performance specifications.
3. Listed and labeled by an NRTL acceptable to the Commissioner as complying with UL 444, UL 1651, and NFPA 70 and NYC electrical code for the following types:
 - a. General Purpose, Nonconductive: Type OFN or OFNG.
 - b. Plenum Rated, Nonconductive: Type OFNP, complying with NFPA 262.
 - c. Riser Rated, Nonconductive: Type OFNR, complying with UL 1666.
 - d. General Purpose, Conductive: Type OFC Plenum Rated, Conductive: Type OFCP complying with NFPA 262.
 - e. Riser Rated, Conductive: Type OFCR, complying with UL 1666.
4. Conductive cable will be steel armored type.
5. Maximum Attenuation: 3.50 dB/km at 850 nm.
6. Minimum Modal Bandwidth: 160 MHz-km at 850 nm; 500 MHz-km at 1300 nm.

C. Jacket:

1. Jacket Color: Orange for 62.5/125-micrometer cable.
2. Cable cordage jacket, fiber, unit, and group color will be according to TIA-598-C.
3. Imprinted with fiber count, fiber type, and aggregate length at regular intervals not to exceed 40 inches (1000 mm).



2.7 OPTICAL FIBER CABLE HARDWARE

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. ADC.
 2. American Technology Systems Industries, Inc.
 3. Belden Inc.
 4. Berk-Tek; a Nexans company.
 5. Corning Cable Systems.
 6. CSI Technologies Inc.
 7. Dynacom Inc.
 8. Hubbell Premise Wiring.
 9. Molex Premise Networks; a division of Molex, Inc.
 10. Siemon Co.
 11. Or approved equal.
- B. Cross-Connects and Patch Panels: Modular panels housing multiple-numbered, duplex cable connectors.
1. Number of Connectors per Field: 1 for each fiber of cable or cables assigned to field, plus spares and blank positions adequate to suit specified expansion criteria.
- C. Patch Cords: Factory-made, dual-fiber cables in 36-inch (900-mm) lengths.
- D. Cable Connecting Hardware:
1. Comply with Optical Fiber Connector Intermate ability Standards (FOCIS) specifications of TIA-604-2-B, TIA-604-3-B, and TIA/EIA-604-12. Comply with TIA/EIA-568-B.3.
 2. Quick-connect, simplex and duplex, Type SC connectors. Insertion loss not more than 0.75 dB.
 3. Type SFF connectors may be used in termination racks, panels, and equipment packages.

2.8 CONSOLIDATION POINTS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. American Technology Systems Industries, Inc.
 2. Belden Inc.
 3. Chatsworth Products, Inc.
 4. Dynacom Inc.
 5. Hubbell Premise Wiring.
 6. Molex Premise Networks; a division of Molex, Inc.
 7. Ortronics, Inc.; a subsidiary of Legrand Group.
 8. Panduit Corp.
 9. Seimon Co.
 10. Or approved equal.



- B. Description: Consolidation points will comply with requirements for cable connecting hardware.
 - 1. Number of Terminals per Field: 1 for each conductor in assigned cables.
 - 2. Number of Connectors per Field:
 - a. 1 for each four-pair UTP cable indicated.
 - b. 1 for each four-pair conductor group of indicated cables, plus 50 percent spare positions.
 - 3. Mounting: wall
 - 4. NRTL listed as complying with UL 50 and UL 1863.
 - 5. When installed in plenums used for environmental air, NRTL listed as complying with UL 2043.

2.9 TELECOMMUNICATIONS OUTLET/CONNECTORS

- A. Jacks: 100-ohm, balanced, twisted-pair connector; four-pair, eight-position modular. Comply with TIA/EIA-568-B.1.
- B. Workstation Outlets: 2-port-connector assemblies mounted in multigang faceplate.
 - 1. Plastic Faceplate: High-impact plastic. Coordinate color with Section 262726 "Wiring Devices."
 - 2. Metal Faceplate: Stainless steel, complying with requirements in Section 262726 "Wiring Devices."
 - 3. For use with snap-in jacks accommodating any combination of UTP work area cords.
 - a. Flush mounting jacks, positioning the cord at a 45-degree angle.
 - 4. Legend: Snap-in, clear-label covers and machine-printed paper inserts.

2.10 GROUNDING

- A. Comply with requirements in Section 260526 "Grounding and Bonding for Electrical Systems" for grounding conductors and connectors.
- B. Comply with J-STD-607-A.

2.11 IDENTIFICATION PRODUCTS

- A. Comply with TIA/EIA-606-A and UL 969 for labeling materials, including label stocks, laminating adhesives, and inks used by label printers.
- B. Comply with requirements in Section 260553 "Identification for Electrical Systems."



2.12 SOURCE QUALITY CONTROL

- A. Qualified testing Agency: Engage a qualified testing agency to evaluate cables.
- B. Factory test UTP cables on reels according to TIA/EIA-568-B.1.
- C. Factory test UTP cables according to TIA/EIA-568-B.2.
- D. Cable will be considered defective if it does not pass tests and inspections.
- E. Prepare test and inspection reports.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 ENTRANCE FACILITIES

- A. Coordinate backbone cabling with the protectors and demarcation point provided by communications service provider.

3.3 WIRING METHODS

- A. Install cables in raceways.
 - 1. Install plenum cable in environmental air spaces, including plenum ceilings.
- B. Conceal conductors and cables in accessible ceilings, walls, and floors where possible.
- C. Wiring within Enclosures:
 - 1. Bundle, lace, and train conductors to terminal points with no excess and without exceeding manufacturer's limitations on bending radii.
 - 2. Install lacing bars and distribution spools.
 - 3. Install conductors parallel with or at right angles to sides and back of enclosure.

3.4 INSTALLATION OF CABLES

- A. Comply with NECA 1.
- B. General Requirements for Cabling:
 - 1. Comply with TIA/EIA-568-B.1.
 - 2. Comply with BICSI ITSIM, Ch. 6, "Cable Termination Practices."



3. Install 110-style IDC termination hardware unless otherwise indicated.
 4. MUTOA will not be used as a cross-connect point.
 5. Consolidation points may be used only for making a direct connection to telecommunications outlet/connectors:
 - a. Do not use consolidation point as a cross-connect point, as a patch connection, or for direct connection to workstation equipment.
 - b. Locate consolidation points for UTP at least 49 feet (15 m) from communications equipment room.
 6. Terminate conductors; no cable will contain unterminated elements. Make terminations only at indicated outlets, terminals, cross-connects, and patch panels.
 7. Cables may not be spliced. Secure and support cables at intervals not exceeding 30 inches and not more than 6 inches from cabinets, boxes, fittings, outlets, racks, frames, and terminals.
 8. Install lacing bars to restrain cables, to prevent straining connections, and to prevent bending cables to smaller radii than minimums recommended by manufacturer.
 9. Bundle, lace, and train conductors to terminal points without exceeding manufacturer's limitations on bending radii, but not less than radii specified in BICSI ITSIM, "Cabling Termination Practices" Chapter. Install lacing bars and distribution spools.
 10. Do not install bruised, kinked, scored, deformed, or abraded cable. Do not splice cable between termination, tap, or junction points. Remove and discard cable if damaged during installation and replace it with new cable.
 11. Cold-Weather Installation: Bring cable to room temperature before dereeling. Heat lamps will not be used for heating.
 12. In the communications equipment room, install a 10-foot- (3-m-) long service loop on each end of cable.
 13. Pulling Cable: Comply with BICSI ITSIM, Ch. 4, "Pulling Cable." Monitor cable pull tensions.
- C. UTP Cable Installation:
1. Comply with TIA/EIA-568-B.2.
 2. Do not untwist UTP cables more than 1/2 inch (12 mm) from the point of termination to maintain cable geometry.
- D. Open-Cable Installation:
1. Install cabling with horizontal and vertical cable guides in telecommunications spaces with terminating hardware and interconnection equipment.
 2. Cable will not be run through structural members or in contact with pipes, ducts, or other potentially damaging items.
- E. Group connecting hardware for cables into separate logical fields.
- F. Separation from EMI Sources:
1. Comply with BICSI TDMM and TIA-569-B for separating unshielded copper voice and data communication cable from potential EMI sources, including electrical power lines and equipment.



2. Separation between open communications cables or cables in nonmetallic raceways and unshielded power conductors and electrical equipment will be as follows:
 - a. Electrical Equipment Rating Less Than 2 kVA: A minimum of 5 inches.
3. Separation between Communications Cables and Electrical Motors and Transformers, 5 kVA or HP and Larger: A minimum of 4'.

3.5 FIRESTOPPING

- A. Comply with requirements in Section 078413 "Penetration Firestopping."
- B. Comply with TIA-569-B, Annex A, "Firestopping."
- C. Comply with BICSI TDMM, "Firestopping Systems."

3.6 GROUNDING

- A. Install grounding according to BICSI TDMM, "Grounding, Bonding, and Electrical Protection" Chapter.
- B. Comply with J-STD-607-A.
- C. Locate grounding bus bar to minimize the length of bonding conductors. Fasten to wall allowing at least 2-inch clearance behind the grounding bus bar. Connect grounding bus bar with a minimum No. 4 AWG grounding electrode conductor from grounding bus bar to suitable electrical building ground.
- D. Bond metallic equipment to the grounding bus bar, using not smaller than No. 6 AWG equipment grounding conductor.

3.7 IDENTIFICATION

- A. Identify system components, wiring, and cabling complying with TIA/EIA-606-A. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
 1. Administration Class: 1
 2. Color-code cross-connect fields. Apply colors to voice and data service backboards, connections, covers, and labels.
- B. Comply with requirements in Section 099124 "Interior Painting (MPI standards)" for painting backboards. For fire-resistant plywood, do not paint over manufacturer's label.
- C. Paint and label colors for equipment identification will comply with TIA/EIA-606-A for Class 2 level of administration, including optional identification requirements of this standard.



- D. Cable Schedule: Post in prominent location in each equipment room and wiring closet. List incoming and outgoing cables and their designations, origins, and destinations. Protect with rigid frame and clear plastic cover. Furnish an electronic copy of final comprehensive schedules for Project.
- E. Cabling Administration Drawings: Show building floor plans with cabling administration-point labeling. Identify labeling convention and show labels for telecommunications closets, backbone pathways and cables, terminal hardware and positions, horizontal cables, work areas and workstation terminal positions, grounding buses and pathways, and equipment grounding conductors. Follow convention of TIA/EIA-606-A. Furnish electronic record of all drawings, in software and format selected by the Commissioner.
- F. Cable and Wire Identification:
1. Label each cable within 4 inches (100 mm) of each termination and tap, where it is accessible in a cabinet or junction or outlet box, and elsewhere as indicated.
 2. Each wire connected to building-mounted devices is not required to be numbered at device if color of wire is consistent with associated wire connected and numbered within panel or cabinet.
 3. Exposed Cables and Cables in Cable Trays and Wire Troughs: Label each cable at intervals not exceeding 15 feet (4.5 m).
 4. Label each terminal strip and screw terminal in each cabinet, rack, or panel.
 - a. Individually number wiring conductors connected to terminal strips, and identify each cable or wiring group being extended from a panel or cabinet to a building-mounted device will be identified with name and number of particular device as shown.
 - b. Label each unit and field within distribution racks and frames.
 5. Identification within Connector Fields in Equipment Rooms and Wiring Closets: Label each connector and each discrete unit of cable-terminating and connecting hardware. Where similar jacks and plugs are used for both voice and data communication cabling, use a different color for jacks and plugs of each service.
 6. Uniquely identify and label work area cables extending from the MUTOA to the work area. These cables may not exceed the length stated on the MUTOA label.
- G. Labels will be preprinted or computer-printed type with printing area and font color that contrasts with cable jacket color but still complies with requirements in TIA/EIA-606-A.
1. Cables use flexible vinyl or polyester that flex as cables are bent.

3.8 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
1. Visually inspect UTP jacket materials for NRTL certification markings. Inspect cabling terminations in communications equipment rooms for compliance with color-coding for pin assignments, and inspect cabling connections for compliance with TIA/EIA-568-B.1.



2. Visually confirm Category 6, marking of outlets, cover plates, outlet/connectors, and patch panels.
3. Visually inspect cable placement, cable termination, grounding and bonding, equipment and patch cords, and labeling of all components.
4. Test UTP backbone copper cabling for DC loop resistance, shorts, opens, intermittent faults, and polarity between conductors. Test operation of shorting bars in connection blocks. Test cables after termination but not cross-connection.
 - a. Test instruments will meet or exceed applicable requirements in TIA/EIA-568-B.2. Perform tests with a tester that complies with performance requirements in "Test Instruments (Normative)" Annex, complying with measurement accuracy specified in "Measurement Accuracy (Informative)" Annex. Use only test cords and adapters that are qualified by test equipment manufacturer for channel or link test configuration.
5. Optical Fiber Cable Tests:
 - a. Test instruments will meet or exceed applicable requirements in TIA/EIA-568-B.1. Use only test cords and adapters that are qualified by test equipment manufacturer for channel or link test configuration.
 - b. Link End-to-End Attenuation Tests:
 - 1) Horizontal and multimode backbone link measurements: Test at 850 or 1300 nm in 1 direction according to TIA-526-14-A, Method B, One Reference Jumper.
 - 2) Attenuation test results for backbone links will be less than 2.0 dB. Attenuation test results will be less than that calculated according to equation in TIA/EIA-568-B.1.
6. UTP Performance Tests:
 - a. Test for each outlet and MUTOA. Perform the following tests according to TIA/EIA-568-B.1 and TIA/EIA-568-B.2:
 - 1) Wire map.
 - 2) Length (physical vs. electrical, and length requirements).
 - 3) Insertion loss.
 - 4) Near-end crosstalk (NEXT) loss.
 - 5) Power sum near-end crosstalk (PSNEXT) loss.
 - 6) Equal-level far-end crosstalk (ELFEXT).
 - 7) Power sum equal-level far-end crosstalk (PSELFEXT).
 - 8) Return loss.
 - 9) Propagation delay.
 - 10) Delay skew.
7. Optical Fiber Cable Performance Tests: Perform optical fiber end-to-end link tests according to TIA/EIA-568-B.1 and TIA/EIA-568-B.3.
8. Final Verification Tests: Perform verification tests for UTP systems after the complete communications cabling and workstation outlet/connectors are installed.



- a. Voice Tests: These tests assume that dial tone service has been installed. Connect to the network interface device at the demarcation point. Go off-hook and listen and receive a dial tone. If a test number is available, make and receive a local, long distance, and digital subscription line telephone call.
 - b. Data Tests: These tests assume the Information Technology Staff has a network installed and is available to assist with testing. Connect to the network interface device at the demarcation point. Log onto the network to ensure proper connection to the network.
- B. Document data for each measurement. Data for submittals will be printed in a summary report that is formatted similar to Table 10.1 in BICSI TDMM, or transferred from the instrument to the computer, saved as text files, and printed and submitted.
- C. End-to-end cabling will be considered defective if it does not pass tests and inspections.
- D. Prepare test and inspection reports.

3.9 DEMONSTRATION

- A. Instruct the City of New York's personnel in cable-plant management operations, including changing signal pathways for different workstations, rerouting signals in failed cables, and keeping records of cabling assignments and revisions when extending wiring to establish new workstation outlets.

END OF SECTION 27 15 13



SECTION 27 51 16 - PUBLIC ADDRESS SYSTEM

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract (City of New York Standard Construction Contract).

1.2 SUMMARY

- A. Provide a complete building wide sound, intercom system, hereafter referred to as "Sound System", including central control sound rack, loudspeakers, wiring, conduit, supports, boxes, equipment and accessories shown on the Drawings and as specified herein and as required to provide a complete system.
- B. Provide a local sound system in Multipurpose Room (or as indicated on drawings), and other places of assembly. The local sound systems will be interconnected with the main sound system, described above, which will be capable of overriding any local systems for announcements made to areas covered by the local sound systems irrespective of whether the local systems are "ON" or "OFF". Provide an audio amplification system for the hearing impaired for each local sound system installed.
- C. Provide a projection system in the multi-Purpose Room. The projection system will be interconnected with the Local Sound system. The PA sound system will be capable of overriding the systems for announcements made to areas covered by the audio video system. The Projection System will include a video projector, ceiling mount bracket, infrared extender, audio/video input jacks, and a quad receptacle. Provide DVD player with a new sound system.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 01 33 00 "Submittal Procedures".

1.4 SUBMITTALS

- A. Product Data and Shop Drawings of all equipment and components will be submitted in one complete package.
- B. Submit a complete wiring diagram, dimensional and descriptive Drawings of all equipment, in quadruplicate. Drawings of the Central Control Rack and Local Sound Systems will indicate the location of equipment, and will provide full descriptive details of all equipment including methods of mounting equipment, wiring details, etc. These Drawings and Product Data will fully detail each item and give complete performance and operating characteristics of the item.



- C. Sample of Speaker.
- D. Test results and certificate of completion of testing.
- E. Programming code and password for the system.
- F. Operation and Maintenance Manuals.
- G. Certificate of Installation.

1.5 SYSTEM DESCRIPTION

- A. All equipment will be designed for operation from a nominal 120 volts, 60 HZ, single-phase power source. The system will be capable of the following operations and functions:
 - 1. Public Address and Program Distribution System:
 - a. The sound system will be a multiple channel system, which will reproduce speech and music from tuner, tape recorder and microphone etc. at loudspeakers throughout the system.
 - 1) The system will provide a minimum of two simultaneously operating program channels: channel "A" and channel "B", each operating independently.
 - 2) Program material from Channels "A" and "B" may be distributed to any room, group of rooms or all loudspeakers as desired.
 - 3) Use of multiple analog type program selector switches will not be acceptable. All distribution will be via microprocessor switching circuitry located in a remotely located unattended central control sound rack.
 - 2. Local Sound Systems
 - a. Local sound systems will be capable of reproducing speech or music from microphones, Blu Ray players, at designated loudspeakers associated with the local sound system.
 - b. Local sound systems will be interconnected with the main system for ALL-CALL announcement.
 - c. An audio amplification system for the hearing impaired will be provided for each local sound system. The hearing-impaired system will be fully connected to the local sound system. The two systems to be installed are:
 - 1) Provide an FM System with each local sound system except as indicated in 2) below.



- 2) Provide an AFILS in the multipurpose Room.
3. Projection System
 - a. The Projection system will be capable of displaying full motion video and images through the ceiling mounted projector to the projection screen. Provide all wire, signal cable, conduit and interconnection of equipment as required.
 - b. The "Throw Distance" between the projector and screen will be determined by the projector selection.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. All equipment will be approved by the Underwriter's Laboratories, Inc. for commercial use and will bear the appropriate UL Seal of Approval.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Head End Units: Subject to compliance with requirements, provide products by one of the following manufacturers:
 1. Rauland Corp. (Telecenter System 21).
 2. Bogen Inc. (Quantum/Multicom 2000).
 3. Telecor Inc (Telecor II/XL).
 4. Or approved equal.
- B. All sound system components will be the product of the aforementioned manufacturers. All systems and equipment will fully meet the requirements of these Specifications.
- C. Sound system accessory equipment manufacturers: Subject to compliance with requirements, provide sound system products by one of the following:
 1. JBL
 2. EAW
 3. Yamaha
 4. Electro-Voice
 5. Crown
 6. QSC
 7. TASCAM
 8. Or approved equal



- D. Audio amplification system for the hearing-impaired manufacturer: Subject to compliance with requirements, provide products by one of the following:
1. Telex Communications.
 2. Williams Sound.
 3. Listen Technologies.
 4. Phonic Ear.
 5. Or approved equal.
- E. All systems and equipment, including those mentioned in the specifications by model/catalog numbers, will nevertheless be specially modified to meet all the requirements of these specifications.
- F. Video system equipment manufacturer: Subject to compliance with requirements, provide products by one of the following:
1. NEC
 2. Epson
 3. Panasonic
 4. Sony
 5. Toshiba
 6. Or approved equal.
- G. The projection system will be eStar certified.
- H. Display Screen Manufacturer: Subject to compliance with requirements, provide products by one of the following:
1. Samsung
 2. LG
 3. Panasonic
 4. Sony
 5. Or approved equal.
- I. Wall bracket for display Screen Manufacturer: Subject to compliance with requirements, provide products by one of the following:
1. Echogear
 2. Sanus
 3. Monoprice
 4. Or approved equal.

2.2 ASSEMBLIES OF EQUIPMENT

- A. Provide the following assemblies of equipment:
1. Central Control Sound Rack.
 2. Multipurpose Room Sound System.
 3. Other required assemblies.



2.3 CENTRAL CONTROL SOUND RACK

A. General

1. Central control sound rack will consist of sound system equipment rack mounted in one, two or three cabinets as required, in an arrangement satisfactory to the Commissioner. All equipment will be rigidly supported by steel framework in an approved manner. Method of mounting equipment will be submitted for approval before fabrication of central control rack. The front and back of the rack will be easily accessible for use, programming and maintenance.

B. Cabinet:

1. Each cabinet will be approximately 83" high x 22" wide x 19" deep, with provision to vertically mount 19" panels in the cabinet. Provide 3 feet clearance in the front and rear of the cabinet.
2. Cabinet will be constructed of 16-gage steel with panel mounting rails of 14-gage steel. All steel joints must be welded and bonded to form a sturdy solid assembly. Sides and back door will be provided with louvers.
3. All panel mounting screws will be concealed by vertical trim strips when the rack is completely assembled.
4. Cabinet will be provided with a hinged and key-locking back door of same gage as cabinet. Provide four (4) keys for each cabinet installed.
5. The bottom and/or top of the rack will be equipped with suitable openings for admitting external circuit conductors.
6. Cabinets will be finished in baked enamel and will be UL listed for Sound Recording/Reproducing Equipment Enclosures.
7. Provide a plastic folder of sufficient size to store wiring diagrams, manuals, etc. The folder will be secured to the inside of the rear door of the rack.

C. Components: The Central Control Rack will contain, but not be limited to, the following. All equipment will be rack mounted, unless otherwise noted.

1. One(1) Microprocessor Central Switching Exchange.
2. One (1) AM/FM Tuner.
3. One (1) CD Player.
4. Two (2) power amplifiers. One each for program channels A and B.
5. One (1) Tone Signal Generator.



6. One (1) Control Panel for each local sound system provided.
7. Blank Panels as required to complete appearance of the rack.
8. Power Supplies.
9. Isobar Surge Suppressor.
10. Input panel with Selector Switches.
11. Mixers.
12. Miscellaneous Equipment.

2.4 CENTRAL CONTROL RACK EQUIPMENT COMPONENTS

A. Central Switching Exchange

1. Central Switching Exchange will be microprocessor-controlled communications system with all associated cards and equipment, mounted inside the central control sound rack. All Dial Tone-Multi-Frequency (DTMF) interface equipment will be provided by the sound and intercom equipment supplier to provide for interconnection to the telephone PBX equipment supplier.
2. Provide all software and programming for the complete operation of the system.

B. Input panel with selector switches

1. Input panel will contain One (1) XLR type microphone receptacle and two (2) input selector switches to allow input selection from the following sources:
 - a. Off
 - b. Tuner
 - c. CD Player
 - d. Mic. in Multipurpose Room A.
 - e. Spare
2. Input panel will be mounted on the center portion of the rack no higher than 5'-6" A.F.F.

C. AM/FM Tuner

1. The AM/FM Tuner will be a digital quartz synthesized tuning AM/FM tuner with up to twenty station memory presets for AM and twenty station memory presets for FM. A memory scan will also be provided to allow slow scanning up and down through broadcast stations.



2. This tuner will be of advanced solid-state design for continuous duty service in institutional, commercial and industrial sound and communication systems.
3. The tuner will be mounted on the center portion of the rack no higher than 5'-6" A.F.F.

D. CD Player

1. The CD player will be capable of playing back pre-recorded material on either Channel A or Channel B, and will play CD, SD and USB memory media, CDR/RW (WAV and MP3 Disc).
2. The unit will meet the following criteria:
 - a. Horizontal front loading.
 - b. CD Playback .
 - c. 16 bit linear/channel digital converter.
 - d. Dynamic range: 100 dB.
 - e. Dual Digital/Analog Converter.
 - f. Output level: 2 volt RMS.
 - g. Frequency Response: 2-20 KHz +/- 2 dB.
 - h. S/N Ratio: 112 dB.
 - i. T.H.D.: <0.0027% at 1 kHz.
3. The CD player will be rack mounted.

E. Power Amplifiers (Channels A and B)

1. Power amplifiers will be capable of delivering 250 watts continuous (RMS) power with less than 0.5 percent harmonic distortion at 45 to 20,000 hertz.
2. Frequency response will be 20 to 20,000 hertz (+0, -1db) per EIA Standard SE-101-A. Signal to noise ratio will be greater than 90db below rated output. Input impedance will be 75K ohms and input sensitivity will be 1 volt (rms) at 1 kHz for rated output. Output will be load (voltage) will be 20 ohms (70.7V) and 2.5 ohms (25 V). An input level control will be provided. The unit will operate on 120 volts, hertz with an LED power indicator. The amplifier will have protective circuits including a thermally operated overload circuit, with an LED indicator and an automatically resetting electronic circuit to reduce dissipation under overload or short circuit conditions.
3. Amplifier will be UL 813 listed.
4. Amplifier will be capable of driving all speakers in the system, including corridor speakers and speakers associated with all Local Sound Systems plus 20% spare capacity.



- F. Tone Signal Generator
 - 1. Provide a multi-tone signal generator to produce an audible program signal when directed by the program circuitry. In lieu of a separate generator, the Contractor may use one of the tone signals generated by the central switching exchange.
 - 2. The signal generator will be part of an IP based sound system.
- G. Control Panel for Local Sound Systems
 - 1. A control panel will be provided on the central control rack for all local sound systems.
 - 2. The panel will contain suitable power supply and audio controlled relays. The relays will, in the case of emergency announcement, disconnect loudspeakers associated with the local sound systems and connect them to the central rack. This action will take place automatically, without any manual switching/control at the central control rack, or at the local sound system; and regardless of whether the local sound system is "ON" or "OFF".
 - 3. The control panel will be provided with a pilot light for each local sound system. The pilot light will be illuminated when the local sound system speakers are being controlled by the central rack. Provide a phenolic nameplate near each pilot light, identifying the local sound system that it controls.
- H. Terminal Strips
 - 1. Provide approved terminal blocks with adequate metal or equivalent backing for terminating all incoming loudspeaker, microphone and other circuits to the rack.
- I. Blank Panels
 - 1. Blank panels will be provided for spaces on the rack not needed for equipment.
- J. Power Supplies
 - 1. Provide AC and DC power supplies of ample capacity to operate all equipment and associated devices. Control voltage will not exceed 30 volts. Power supplies will isolate all associated components from AC line.
- K. Main ON-OFF switch and A.C. Power Wiring to Central Control Rack.
 - 1. A main ON-OFF power switch and an LED will be provided on the central control rack. The main switch will control the power supply into the central rack. The LED will be illuminated when the rack is "ON".
 - 2. The A.C. power wiring into the central control rack will be as indicated on Drawings, but in no case less than two (2) No. 10 wires in 3/4" conduit.



3. Provide Isobar Surge Suppressor to feed various devices within the cabinet. Each Isobar consists of 4 outlets, output 12 Amp, 2200 joules.

L. Pullbox for Central Control Rack:

1. Provide a pullbox for the central control rack of rigid steel not less than No. 14-gage. Pullbox will be rigidly braced and firmly fastened to the ceiling, directly above or below the rack. The cover will be attached by metal machine screws.
2. Whenever this pullbox is mounted over boilers or other heat producing equipment, the four vertical sides will be lined with a heat insulating material the equal of Celotex or Fiberglass. Insulation will be at least 1 inch thick.

2.5 LOCAL SOUND SYSTEM FOR MULTIPURPOSE ROOM

A. Contractor will provide a local sound system for the Multipurpose Room and will include:

1. One (1) Rack mounted type amplifier.
2. One (1) feedback exterminator.
3. One (1) system for the hearing impaired.
4. One (1) Blu Ray Player.
5. One (1) Rack mounted cabinet and associated equipment.

B. The system will provide audio mixing and amplification for:

1. Minimum of four (4) low impedance dynamic microphones, but not less than the number of microphone outlets shown on the drawings.
2. Two (2) wireless microphones.
3. Two (2) Audio input from Blu Ray player - One (1) VGA/Audio, HDMI, AUX Audio, XLR Mic IN, One (1) XLR Mic Only.

C. Rack Mounted amplifier: The Rack Mounted amplifier will contain an eight-input mixer, various controls and a nine-band equalizers.

1. The mixer will provide controls for up to eight inputs using rotary potentiometers.
2. The equalizer will be a nine-band constant Q slide potentiometers, 63-16 KHz, with center-off detent for rapid "flat" setting. Each filter section will allow up to 12 dB of cut or boost. Provide a cover plate to prevent tampering with equalizer setting.
3. The amplifier will be of the low-distortion, fully complementary symmetry type. The frequency response will be a minimum of 20 to 20,000 Hz. +0/-1.2 dB (at 9 dB below rated output per EIA Standard SE-101A), with a direct-coupled distortion of less than 0.4% from 20-20 KHz at rated output. The amplifier output will be user selectable as either direct-coupled or transformer-isolated (25V or 70V). The frequency response of the transformer-isolated outputs will be +0/-1.6 dB, 20 to 20,000 Hz at 9 dB below rated output.
4. The amplifier will, at minimum be equipped with the following controls:



- a. Illuminated power switch.
 - b. Master output volume control.
 - c. High frequency cut switch (8 KHz, 12 dB/oct.).
 - d. Low frequency cut switch (140 Hz, 120 dB/oct.).
 - e. Equalizer bypass switch.
 - f. Equalizer filter controls (9).
 - g. Mixer input controls (8).
5. Provide appropriate modules for inputs from microphones, Blu Ray and CD players, etc. Provide all cables, connectors and accessories for the sound system.
6. The rack mounted type amplifier will be minimum 75 Watts.
- C. Feedback Exterminator
1. Provide a feedback exterminator to eliminate feedback without muting or muffling. The unit will be fully connected to the local sound system.
- D. Blu Ray Player
1. The Blu Ray player will be capable of playing back pre-recorded material on Blu Ray Video, Blu Ray Audio, Video Blu Ray/CD, JPEG, MPEG and DivX.
 2. The Blu Ray will be rack mounted.

2.6 PROJECTION SYSTEM

- A. Projector:
1. The front projector will have the following minimum salient specifications:
 - a. Light Output: In the standard operating mode, the projector will be rated by the manufacturer to emit at least 7000 ANSI lumens with 3LCD technology with a laser light source. In addition the projector will have an Eco-mode mode of at least 4200 ANSI lumens as a means of extending lamp life and saving on electrical power.
 - b. Resolution: 1920 x 1200 pixels native Full HD WUXGA, up to 4K (4096 x 2160) using intelligent pixel blending technology to enhance non-native resolutions from computer data signals. This feature is key for seamless instructional presentations as it automatic-ally locks onto signals and displays optimized images in seconds, with no downtime. Projector will be able to simultaneously represent 1 billion colors. Projector will also support the following video formats: NTSC at 540 lines, NTSC4.43, HDTV: 1080p, 1080i, 720p, 576p, 480i (with optional cable), 480p Y/Cb/Cr 800 TV line component (with optional cable).



- c. The projector will employ Video enhancement technology that provides improved color uniformity, depth and accuracy. DCDi 3:2 and 2:2 pull down correction will be employed for film like reproduction to provide smooth video rendition. In addition, the projector will employ technology to enhance digital contrast from interlaced images to create vibrant colors and film like images. A 10-bit 3D video encoder will be an integral part of the projector to provide black level expansion (further contrast and color expansion while reducing video noise). Native contrast ratio will be at least 500,000:1.
- d. Lamp Life: 20,000 Hours, 30,000 in Eco-Mode or extended mode.
- e. Lens: The projector will have numerous lenses available for optimizing throw distances to a variety of screen sizes. The supplied lens will be specifically recommended by the projector's manufacturer on the projector screen used at the specific distance of the projector from the screen. It is important that the available lenses provide the ability to completely fill (without extending past the edges) a 12' wide screen at all distances up to at least 70 feet, and a 14' wide screen at distances up to at least 95 feet. The projector/lens pair will provide an acceptable lighting level at a crisp and consistent focus on the full screen at the installed distance. The lens will be of the power zoom/power focus type and be easily controlled by the user.
- f. Keystone Correction: The projector will provide for automated 3D reform keystone correction of ± 35 degrees horizontal and ± 40 degrees vertical to allow crisp, undistorted images to be displayed if projector is at an angle to the screen.
- g. Inputs:
 - 1) Computer: (1) RGB (mini D-Sub 15-pin).
 - 2) Video: (2) HDMI supporting HDCP 2.2.
 - 3) Audio: (1) Display Port Audio Support, (1) HDBaseT audio Support, (2) 3.5 mm stereo mini jack for computer analog, (2) HDMI audio support.
 - 4) Network: (1) RJ-45 10/100 Ethernet port.
 - 5) PC Card Reader Slot: (2) PCMCIA type II. This slot will be suitable for both a wireless 802.11B NIC currently offered by the manufacturer of the projector or a memory card.
 - 6) (1) USB Type A port, (1) Remote (mini), (1) PC Control.
- h. Outputs:
 - 1) Video: (1) monitor output (mini D-Sub 15-pin), (1) HDBaseT supporting HDCP 2.2.
 - 2) Audio: (1) 3.5mm Stereo Mini Audio (variable audio output).
- i. A stereo mini jack will be available as a screen trigger control.



- j. Automatic Maintenance notification: If connected to a network, projector will be able to automatically generate an E-mail notification when maintenance is required.
- k. External Controls: RS232 (AMX/Crestron compatibility), IR, USB, Network (LAN) will be available for external control.
- l. Wired and Wireless LAN: Integrated RJ45 for 10/100 megabit Ethernet wired connectivity will be standard. Remote diagnostics will be available if network is implemented to check the status of projectors connected to your wired LAN.
- m. Capability will be standard to allow the use of the projector as a network communication tool for remote or offsite distance learning participation. Information may be sent over the network to projectors or PC's. Software will be included to allow the image being sent to one projector to be simultaneously shown on a number of other projectors of the same type on a remote basis. The capability must be currently available to send information from one computer to one projector, broadcast from one computer to several projectors or send information to projectors and other computers. Switch from one presenter to another. Simply press the "speaker's button" on the computer and the next presenter is ready to go.
- n. The capability will be standard for an automatic e-mail notification by the projector to a designated address when maintenance is required.
- o. Wireless Connectivity (while not utilized for this installation, this potential will be reserved for future utilization): Wireless communication utilizing IEEE802.11b must be currently available for implementation by utilizing a PCMCIA network interface card directly offered by the projector manufacturer (NIC card is not part of this procurement).
- p. Multi-level Security Features: Attach a security cable to physically lock down the projector into an integrated security slot. Password protection will be standard to restrict access to menu system, custom logo stored in projector memory, and/or restrict unauthorized use of projector.
- q. Included Accessories: User manual, wired/wireless addressable remote control and batteries, power cable, remote cable, registration card, and quick setup poster/guide.
- r. Physical: Projector and lens will weigh less than 50 pounds. It will be capable of being mounted and oriented in the following configurations to accommodate various room configurations: Floor/Front, Floor/Rear, Ceiling/Front, Ceiling/ Rear. As the projector will typically be mounted inverted, the image must be able to be "rationalized" to the proper orientation. The audible fan noise will be minimal and not interfere with the teaching or presenting taking place in the auditorium. The fan noise



- produced by the projector will be no more than 45dB when used in the standard mode and 40 dB in eco-mode.
- s. Power Consumption: Projector will draw no more than 6 amperes during use, less than 1 watt in standby mode, and less than 2 watts in network standby mode.
 - t. Projector will be rated for full duty cycle at any operational temperature between 32°F to 95°F/0° to 35°C, Humidity: 20-80% non-condensing.
2. Projector Mounting Hardware and Accessories: The projector will be mounted so that the lens is in line with the window opening facing the screen and provided for the purpose. The projector will be mounted by means of a mounting plate specifically manufactured for the model of projector, screwed to its bottom and then inverted. A rigid piece of threaded 1½” trade size pipe, of a length to be determined by the projection booth’s ceiling height, will be used to suspend the projector from a ceiling mounting arrangement designed and approved for the purpose. The pipe stem may be of an adjustable type specifically designed for the task or of a single piece of threaded pipe custom cut to length. A cable type lock will be fitted to the integral slot provided for the purpose in the projector and securely fastened to make removal difficult. The ceiling mounting plate, specifically designed for the task, will be securely attached to the ceiling and provide the ability to support a static weight of at least four times the weight of the projector and its supporting pole.
3. Multi-Purpose Room Audio Video Input Panel:
- a. Provide an Audio-Video input panel with matching faceplate to support five jacks.
 - b. One 3.5mm Stereo, mini-headphone solder type Audio Connector and one Category 6 modular jack. The connectors fit into a 1U Mounting Insert.
 - c. One HDMI Connector and One RCA Video. The connectors fit into a 1U Mounting Insert. Middle Module One RGB Video 15 Pin connector, SVGA Module 1479079-1. Bottom Module.
4. Multi-Purpose Room Projection System Wiring: The “hard wired” cable harness will include the following cables terminated to a single gang wall plate, onto appropriate female gendered connectors (as specified in section labeled “AUDIO-VIDEO INPUT PANEL”). Other end of cables will end in male gendered connectors of the same type, with enough slack to reach connectors at rear of the projector (after ceiling mounting) and the audio cable to the ceiling mounted powered speaker, but leaving no more than 3 feet of slack cable, neatly rolled and fastened on the top of the projector:
- a. HDMI cable (a manufacturer built “connectorized” pigtail arrangement specifically designed for the purpose may be used in this cable to reduce conduit size required).



- b. Dual #16 AWG parallel speaker cable (connecting dual RCA connectors at projector location with to a 3.5mm Stereo, mini-headphone solder type Audio Connector at the wall plate). This cable will attach to the amplified speaker. A two conductor cable, with appropriate terminations will connect the amplified speaker with the passive speaker.
- c. Single RCA connector with 75 ohm coaxial cable (RG-6) (plate connector to be colored yellow).
- d. Category 6 cable will be terminated in an RJ-45 jack.

5. Projection Screen

- a. Projection screens will be of size 53” H x 85” W with the aspect ratio of 16:10, electrically operated 110-120v AC, 60 Hz. Motor mounted inside screen roller, 3-wire, instantly reversible, lifetime lubricated, equipped with internal thermal overload protector and electric brake. To have pre-set, accessible limit switch (es). Roller to be 3” diameter metal, mounted on rubber insulated supports. Viewing surface of fiberglass matt white, to be fire retardant and mildew resistant.
 - 1) Note: Matte white surfaces up to 10’ high will be seamless. Bottom of viewing surface attached to weighted dowel.
- b. Case of 22-gauge steel with flat back design and scratch-resistant white polyester finish. Case formed from one piece steel. Endcaps of finished to match case, forming universal (wall or suspended) hanging brackets. Three-position keyed control which will stop or reverse screen at any point in the operating cycle. Switch will be furnished complete with cover plate. Optional white aluminum ceiling opening trim kit will be installed to trim opening when screen is to be recessed above the ceiling.
- c. Entire unit certified by Underwriters’ Laboratories, Inc. for the United States.

2.7 DISPLAY SCREEN

A. Description:

- 1. Dimension: Diagonal length of the display screen shall be 43.”
- 2. Panel type (Backlight): Direct LED.
- 3. Inputs:
 - a. HDMI port: Display screen shall have at least 3 HDMI ports.
 - b. USB port: Display screen shall have 2 USB ports.
- 4. Display resolution: 3840 x 2160 pixels.
- 5. Refresh rate: 60 Hz.
- 6. The display screen shall be E-star certified.



2.8 WALL BRACKET FOR DISPLAY SCREEN

A. Description:

1. TV size range: The wall bracket shall be capable of supporting TV size ranging 42”-90.”
2. Maximum TV weight: Wall bracket shall be capable of supporting maximum weight of 125lbs.
3. Material: solid steel frame.
4. Articulating motion:
 - a. Tilt: 5°/-15°
 - b. Swivel: 57°/-57°
5. Wall bracket shall at the very least support the following VESA patterns: 200 x 200, 200 x 300, 200 x 400.

2.9 OTHER EQUIPMENT

A. General

1. Provide various sound system equipment and devices as shown on the Drawings. These equipment and device will meet the following specifications.

B. Loudspeaker Assemblies

1. General

- a. Provide a loudspeaker assembly of type indicated at each location on the drawings. Provide suitable backbox and all necessary mounting hardware required to rigidly fasten speaker units to building structure in an approved manner.
- b. Set loudspeaker taps as follows:
 - 1) Offices(1) Watt
 - 2) Corridor (2) Watts
 - 3) Outdoor..... (5) Watts
 - 4) Mech. Spaces..... (5) Watts
 - 5) Multipurpose room. (5) Watts
- c. The settings will be considered as initial settings. Any changes to adjust to room conditions or local requirements will be as required to achieve desired results.
- d. Wire Guards
 - 1) A guard will be provided for each loudspeaker where indicated on the Drawings.



- 2) The guard will be rectangular in shape, built up of brite cadmium plated 3/8" x 1/8" half hard round steel ribs and will be securely fastened to the wall.
2. Type "A" speaker for general use :
 - a. Unless otherwise noted, this speaker will be used as part of the speaker assemblies specified below.
 - b. Type "A" speaker will be an 8" permanent magnet seamless dual cone type, with 5 ounces ceramic magnet and 8 ohms impedance. Power rating will be 8 watts RMS and sensitivity of 93 dB at 1 meter with 1-watt input. Frequency response will be 65 to 17,000 hertz. Provide a suitable 25/27 volts line matching transformer tapped at 1/2, 1, 2 and 5 watts.
3. Surface-mounted loudspeaker assembly
 - a. The assembly will consist of a type "A" loudspeaker with matching transformer as specified above, mounted in a slope front type vandal-proof baffle, constructed of special heavy gage cold-rolled steel. The unit will measure approximately 13³/₄" H, 10⁷/₁₆" W, 5³/₄" D at top and 4³/₈" D at bottom. The baffle will be finished in semi-gloss white epoxy.
4. Flush-mounted loudspeaker assembly
 - a. The assembly will consist of a type "A" speaker with matching transformer, as specified above and a flush mounted round or square baffle as selected by the Commissioner. The baffle will be manufactured from one-piece 22-gage cold rolled steel, zinc treated to resist corrosion. The baffle will measure approx. 12" wide in mar-proof white baked epoxy.
5. Volume control for loudspeakers
 - a. Volume control will be equipped with an autotransformer and ten steps of attenuation, wall mounted to a single-gang bushed stainless steel plate at 4'-0" A.F.F.
 - b. Power rating will be 10 watts. Input voltage will be 25 volts or 70 volts. Attenuation range will be 36 dB. Insertion loss will be 0.5 dB or less.
6. Loudspeaker assembly for corridors, mechanical spaces and outdoors:
 - a. Loudspeakers will be a compact, 8-ohm unit with all metal construction, measuring approx. 6"W X 8"H X 4"D. It will have a sensitivity of 110 dB at 1 meter with 1-watt input, frequency response from 300 to 9 KHz and power rating of 25 watts.
 - b. The speaker will be weatherproof and designed for outdoor use. It will be equipped with a matching multi-tap transformer rated 15-watt minimum.



c. Mounting of loudspeaker in Corridors:

- 1) Loudspeakers in corridors will be bi-directional or uni-directional as indicated on drawings, mounted on a 90° angle bracket so that the axis of the loudspeaker is parallel with the wall to which it is attached.
- 2) The angle iron mounting bracket will be 14-gage cold rolled steel, and finished to match the loudspeaker. The transformer will be mounted in a standard multi-gang box and in a weatherproof housing if mounted outdoors.

7. Loudspeaker assembly for Multipurpose Room

- a. The speaker for Multipurpose Room will be a 200-watt, 2-way speaker system. It will consist of a 12" low frequency woofer and a mold-in high frequency horn, mounted in an enclosure constructed of black polypropylene structural foam fitted with a black steel grille.
- b. The speaker will meet the following performance criteria:
 - 1) Frequency response: 35 to 20 kHz.
 - 2) Sensitivity: 101.5 dB SPL at 1 meter with 1 watt input (300-2 KHz).
 - 3) Nominal impedance: 8 ohms.
 - 4) Dispersion: 65 degree X 65 degree.
 - 5) Crossover frequency: 1,500 Hz.

C. Microphone (hand-held)

1. Microphone will be a cardioid dynamic type with impedance suitable for use with the local sound system supplied.
2. Microphone will have a slide ON-OFF switch and a swivel attachment for mounting on floor stand. It will have the following characteristics:
 - a. Frequency response: 40 to 16,000 HZ.
 - b. Impedance: 250 ohm.
 - c. Output level at 1 kHz: Power level: -55 dB(0 dB=1 mW/10 microvars).
 - d. S/N ratio: 60 dB at 10 microbars.
3. Each microphone will be supplied with a detachable, 25-ft heavy-duty flexible, 2-conductor shielded cable and a 3-pin XLR connector. Microphone cables will be equipped, at the microphone receptacle end with approved XLR male plug.
4. Microphones with floor stand will be provided for each of the following systems in the quantity shown:



- a. Multipurpose Room sound system.....Four (4)

D. Microphone - Wireless

- 1. The wireless system will operate in the UHF band between 554 MHz and 865 MHz, with the specific available frequency range being dependent on the user's locale. Effective range of the system, receiver to transmitter, will be 100 meters (300 ft.), under optimal conditions.
- 2. The system will allow selection of over 1400 operating frequencies across 36 MHz of bandwidth in order to avoid RF interference.
- 3. Optimal frequencies will be selected automatically, ensuring that individual systems run at their highest level of performance, and that multiple systems in simultaneous use do not interfere with one another.
- 4. Each transmitter will be powered by a single 9V battery. Transmitters will have a power on/off switch, as well as a backlit LCD showing group and channel, peak indication, and battery strength.
- 5. The transmitters will include a body pack for use with electric guitars, basses, and other electric instruments, as well as lavalier or headset microphones, and a handheld microphone for vocals. The body pack will include a -20dB pad switch to compensate for higher- or lower-gain devices.
- 6. The receiver will have a multi-function display showing group, channel, frequency, squelch level, transmitter battery strength, and locked/unlocked status. The system will use diversity technology to improve reception, minimize signal dropouts, and achieve the best possible signal-to-noise ratio. Tone key squelch, and noise squelch circuitry will be built in to the system to provide optimal sound quality and minimize unwanted noise. The receiver will include an RF meter, an audio level meter, and a volume control.
- 7. Wireless Microphones will be provided for each of the following systems in the quantity shown:

- a. Multipurpose Room sound system.....Two (2)

E. Floor stand

- 1. Floor stand will be two-piece telescopic type, adjustable from 34" to 62" in height, and will be locked securely in place by means of a positive grip-action clutch. The tube will be rust-resistant steel mounted to a low profile base. The entire assembly will be finished in charcoal gray.

F. AM/FM Antenna

- 1. Indoor antenna type unit will have the following specifications:



- a. 360 degree omni-directional reception, with no need for constant adjustments.
 - b. air coil technology that isolates AM and FM elements for increased radio reception and minimized static and noise.
 - c. Extremely low noise (ELN) amplifier with gain control boosts weak signals.
 - d. FM frequency range: 88 -108 MHz.
 - e. AM frequency range: 540-1700 KHz.
 - f. Compatible with digital HD FM radio broadcasts.
2. Unit will come with 6-foot 75 ohm coax cable and 75 ohm matching transformer with AC/DC power adapter.

G. Microphone Receptacle

1. Microphone receptacles will be three pin, XLR Type mounted to a stainless-steel faceplate. The ground terminal will not be connected to yoke. Each faceplate will be inscribed "MIC-1", "MIC-2", "CENT. RACK", etc.

H. System for the Hearing Impaired – AFILS – See Section 275117 “Audio Frequency Induction Loop System.”

2.10 WIRING

A. The Contractor will provide wiring in raceway for the proper operation of the sound systems as called for in these Specifications, or as shown on the drawings. The types of cables required are as follows:

1. Loudspeaker Cable: It will contain two (2) 20 AWG stranded tinned copper conductors, (2) aluminum-polyester shielded and (2) unshielded, plus a #20 AWG copper drain wire in a chrome PVC jacket. Conductors will be polyethylene insulated with 0.014" thickness. Jacket thickness will be 0.028" and overall outer diameter 0.21".
2. Microphone Cable: Cable will consist of one (1) pair of 20 AWG stranded, tinned copper conductors, plus a #20 stranded drain wire in a chrome PVC jacket.
3. UL-listed plenum rated cable will be installed in environmental air spaces, including plenum ceiling.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION

- A. Provide all necessary and required accessory equipment for a complete system installation.



- B. The installation of the sound system and wiring will be performed under the direct supervision of an authorized distributor of the sound system manufacturer.
- C. Provide a phenolic nameplate on the front of the central rack, indicating the name, address and telephone number of the sound system supplier, as well as those of the installing contractor.
- D. Provide a typewritten and framed directory to be displayed near each ACS. The directory will contain the following:
 - 1. All room numbers, including general areas and their calling numbers.
 - 2. Individual zones, designated areas and zone paging codes.
 - 3. Various tone signals, descriptions and their access codes.
- E. Program the system to perform:
 - 1. Zone paging as indicated on the Drawings and as instructed by the Commissioner. Prior to programming, the zone paging will be finalized by the Commissioner.
 - 2. Time scheduling signals.
 - 3. Direct architectural room number calling.
 - 4. Emergency All-CALL.
- F. Mounting of devices
 - 1. In new construction, all speaker assemblies, microphone and other outlets will be flush mounted, unless otherwise noted on drawings.
 - 2. In existing building, these devices will be surface or flush mounted to suit field conditions, or as directed by the Commissioner. Provide suitable backboxes as required.
 - 3. Mounting height of devices will be as follows, unless otherwise noted on drawings:
 - a. Loudspeaker Assembly8'-6" A.F.F.
 - b. Mic. and other audio outlets...1'-6" A.F.F.
 - 4. Where field conditions vary, mounting height will be as directed by the Commissioner.



G. Projector Adjustment

1. Adjust projector of the projection system so that the screen is filled to the required dimensions as specified in part 2 of the Section from the specified throw distance of the projector.

3.3 LABELING

- A. Provide a permanent label to identify each pushbutton, switch, control and outlet, etc. (tuner, Blu Ray and CD players, Mic.1, etc.). The label will be mounted adjacent to the item it identifies. All labels will be engraved or phenolic nameplates. Labeling using paper, glue or tape will not be acceptable.

3.4 INSTALLATION OF CENTRAL CONTROL RACK

- A. The Central Control Rack may be assembled in a configuration consisting of one, two, or three cabinets bolted together. The Contractor will install this rack where shown on the Drawings or where directed. This rack will be bolted to the floor, or as directed, in an approved manner.

B. Cabinet Protection

1. The supplier of the central rack will, at the time of delivery to the job site of the central control rack, provide the Contractor with canvas covers to protect this equipment during installation and connection. The canvas will be of such weight as to withstand normal handling and to protect the metallic surfaces against abrasions and droplets of paint from soaking through. The cover will extend to within 1" of the floor, and will be so constructed to permit the lower 24" to be rolled up or otherwise raised allowing access to the terminal strips.
2. The covers will be kept in place, except for necessary testing of the cabinet until all plastering, patching and painting in the vicinity is completed and in no event removed permanently until directed. The covers will remain the property of the Contractor.

3.5 INSTALLATION OF LOCAL SOUND SYSTEM

- A. The Contractor will install all local sound systems as required by the Drawings and Specifications.
- B. In new construction, in-wall amplifier will be flush mounted at a height that sets operating controls at approx. 4'-6" above the finished floor. Wall cabinet for hearing impaired, Blu Ray player, CD player, etc. will be surface mounted at the same height.
- C. In existing buildings, in-wall amplifier and the wall cabinet will be surface mounted with suitable backboxes.
- D. Set input level, equalizer and various controls of the local sound system to the satisfaction of the Commissioner.



- E. Connect the Assistive Listening System to local sound system.

3.6 INSTALLATION OF SOUND SYSTEM WIRING

- A. Provide all conduits, conductors, boxes, receptacles, etc. indicated on the Drawings, herein specified, or required for the proper operation of the sound systems.
- B. Installation of all sound system wiring and all equipment will be performed under the direct supervision of the Commissioner.
- C. All Sound System conductors to and between the Central Rack and associated equipment such as the local sound systems, loudspeakers, microphone receptacles, etc. will be installed in one continuous length between the terminals of these units and will be free from splices or other breaks.
 - 1. Interconnecting terminals as specified may be used only at terminals of loudspeakers, amplifiers, microphone receptacles, or where directed.
- D. All loudspeaker cables will be terminated in the following manner:
 - 1. The ends connected to the terminals of the Central Control Rack or the local amplifiers will be equipped with a solderless U-type lugs, T. & B.
 - 2. The end connected to the loudspeaker transformer leads will be neatly soldered and taped where such loudspeakers are not equipped with terminal strips. Where loudspeakers are equipped with terminal strips, the ends will be equipped with U-type solderless lugs described above.
 - 3. Where two or more loudspeakers are on the same circuit, will be soldered neatly to terminals of the loudspeaker transformers to form a "loop" circuit.
 - 4. Unused leads or taps of loudspeaker matching transformers will each be separately taped to insulate the conductors from each other and from grounded parts of the equipment.
- E. All connections between/among components within the Central Control Rack will be performed under the direct supervision of personnel authorized by the manufacturer of the Central Control Rack.
- F. All connections of conductors entering the Central Control Rack and terminated at the terminal blocks will be performed by the Contractor.
- G. All connections will be made under the direct supervision of the Manufacturer's Representative. Connections will not be made until the Contractor and the Manufacturer's Representative have explained to the Commissioner the procedure to be followed.
- H. At the Central Control Rack and local sound systems, microphone and other cables will be soldered in an approved neat and workmanlike manner to the appropriate terminals.



- I. The terminal block to which the microphone and auxiliary circuits are connected will be isolated from the terminal blocks to which loudspeaker lines are connected by means of a metal barrier.
- J. The AM/FM indoor antenna unit will be mounted on top of the control rack and the antenna wires will be connected directly to the appropriate terminals on the AM/FM tuner.
- K. In addition to the above terminal strips provide as additional terminal strip for terminating the external 120 volt power circuits. This terminal strip will be separate and apart from all other terminal strips.
- L. Grounding
 - 1. A dedicated # 10 ground wire will be installed in conduit from the main ground bus of the building and attached to the frame of the central control rack. Conduit bonds will be used only for rack to rack continuities.
 - 2. Grounding of the mesh or foil shielding of all microphone, Blu Ray player and CD player conductors will be accomplished by soldering the mesh shield of each to a separate point on the terminal block. All points will be interconnected to the ground terminal of the rack.
 - 3. Grounding of the uninsulated conductor of loudspeaker cable will be accomplished in the following manner:
 - a. The screw terminals between each adjacent pair of terminals will be used to connect the uninsulated ground wire of adjacent cables.
 - b. The ground terminals will be tied together by a No. 18 tinned copper wire, which in turn will be a dedicated ground.

3.7 FINAL TESTS

- A. Upon completion of the installation, the contractor will perform overall performance test in the presence of the Commissioner. Provide testing reports and certificate of completion of testing. The test will include, but not be limited to the following:
 - 1. Loudspeaker Circuits Test:
 - a. Transmit audio signals at normal levels over each loudspeaker circuit for determination of proper loudspeaker volume and clarity.
 - b. Adjust transformer taps for proper output, as necessary.
 - 2. Input Circuits Test:
 - a. Transmit audio signals at normal levels over each input circuit to determine proper operation of each input circuit. The test will be performed using



each of the two input selector switches over each of the two program channels. Each input source (tuner, Blu Ray player, Mic., etc.) on the selector switch will be tested.

3. Load Test:
 - a. Check for proper system operation when the entire system load (all speakers) is applied to either channel.
4. Local Sound Systems
 - a. Transmit audio signals, using various input sources, over local sound system speakers for determination of proper loudspeaker volume and clarity. Adjust all settings and controls for proper output to the satisfaction of the Commissioner.
 - 1) For Multipurpose Room local sound system, input circuits will include all microphone outlets in the area, tuner, Blu Ray players, adjust feedback exterminator to achieve optimal result.
 - b. Test the system for the hearing impaired for proper operation.

3.8 INSTRUCTION OF PERSONNEL

- A. The Contractor will arrange with the authorized distributor of the sound system, to instruct persons designated by the City of New York in the proper operation and care of the sound system. A minimum of two (2) 4-hour instructing periods will be provided.
- B. The instruction will include, but not be limited to:
 1. Operating of the entire system, including, AM/FM tuner, Blu Ray players, microphones, program channels A&B, selector switches, local sound systems, hearing impaired system etc.
- C. Upon completion of the instructing sessions, the Contractor will deliver the following to the City of New York:
 1. Two (2) sets of operating instructions, programming manual and care of the sound system.
 2. Operation manual for each of the equipment supplied: AM/FM tuner, Blu Ray players, hearing impaired system, local sound system amplifier etc.
 3. One (1) framed As-built Sound System Riser Diagram, showing connection between/among the various equipment of the sound system: speakers, ACS, microphones, local sound systems and location of the equipment etc. This framed riser diagram will be installed at a location as directed by the Commissioner.
 4. Two (2) Internal Wiring Diagrams of the central control sound rack, identifying



- a. All terminations at the terminal strips: speakers and their locations, microphones, antenna, local sound systems etc.
 - b. Locations and interconnection between/among components within the rack.
5. Two (2) Wiring Diagrams of Local Sound System, showing terminal connections, speakers, microphones, take-over control by the central rack etc.
- D. Instructing the City of New York personnel.
 - E. Programming code and password for the system.

3.9 GENERAL FIELD POLICY

- A. When inspecting or supervising the installation of equipment, manufacturers' representative will be accompanied at all times by the Commissioner. In order to make the necessary arrangements, the Commissioner will be notified at least 48-hours in advance of the manufacturer's representative visit to the building.
- B. Any changes to equipment will be made only with the written permission of the Commissioner.
- C. Changes in the location of equipment may be made only with the written permission of the Commissioner.
- D. All changes of location of equipment (including pull boxes, conduit runs, wire sizes, etc.) will be incorporated in the As-built Sound/System Intercom Riser Diagram installed in the building.

END OF SECTION 27 51 16



SECTION 27 51 17 - AUDIO FREQUENCY INDUCTION LOOP SYSTEM (AFILS)

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract (City of New York Standard Construction Contract).

1.2 SUMMARY

- A. Supply and install the complete systems, detailed within this specification and on the Drawings. Provide an AFILS in the Meeting Room A.
- B. System is to be part of the Local sound system of that space.

1.3 RELATED SECTIONS

- A. Section 275116 "Public Address System."

1.4 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 01 33 00 "Submittal Procedures."

1.5 SUBMITTALS

- A. Product Data and Shop Drawings of all equipment and components will be submitted, in one complete package.
- B. The Contractor will submit a complete wiring diagram, dimensional and descriptive Drawings of all equipment, in quadruplicate, for approval.
- C. Test results and certificate of completion of testing.
- D. Programming code and password for the system.
- E. Operation and Maintenance Manuals.
- F. Certificate of Installation.

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."



- B. All equipment will be approved by the Underwriter's Laboratories, Inc. for commercial use and will bear the appropriate UL Seal of Approval.

1.7 SYSTEM DESCRIPTION

- A. The Hearing Loop system will incorporate all necessary components and accessories, including but not limited to the following:
 - 1. Hearing Loop system design including loop layout design.
 - 2. Hearing Loop drive equipment, ancillary components and mounting accessories.
 - 3. Appropriate Audio Input systems.
 - 4. Hearing Loop wire or tape with appropriate fixings or containment and associated feed cables.
 - 5. Hearing Loop test equipment.
- B. The Hearing Loop system will comply with IEC 60118-4:2014.
- C. A Certificate of Conformity to this standard will be issued for each Hearing Loop System in the project.
- D. The Hearing Loop drivers will comply with IEC 62489-1:2010.
- E. The selected Hearing Loop drivers will have been measured and tested to this induction loop performance standard.
- F. In addition, the system will comply with:
 - 1. 2010 Americans with Disabilities Act (Section 706).
 - 2. 2022 NYC Building Code (Section BC 1108.2.7 and Section N102 of Appendix N).
- G. Final design based on Drawings is to be by the system manufacturer based on the system selected by the Contractor.

1.8 WARRANTY

- A. Provide manufacturer's five-year warranty that system will operate without loss of covered area.
- B. Warranty Period: 5 years starting from the date of substantial completion.

PART 2 - PRODUCTS

2.1 MANUFACTURERS



- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
1. Williams Sound.
 2. Listen Technologies.
 3. American Loops.
 4. Or approved equal.

2.2 AUDIO FREQUENCY INDUCTION LOOP ASSEMBLIES

- A. Type A - Area Coverage Hearing Loop Driver(s):
1. For areas where the horizontal loop area that must be covered is in excess of 6½ feet x 6½ feet, for either a one-phase (perimeter) or two-phase (phased array) system.
 2. Each induction loop driver will have the following characteristics:
 - a. 'Current drive' output.
 - b. Rated current and voltage capable of driving the designed loop without clipping or distortion of the signal with full power bandwidth up to at least 1.6kHz.
 - c. Capable of delivering the rated current and voltage into a load with 1kHz sinewave signal for at least 20 seconds continuously without damage to the unit, interruption of the output signal, or an internal temperature above 85° C.
 - d. Overheat protection that de-rates the output at 75°C and mutes it at 85°C.
 - e. Frequency response from 80Hz to 6.5kHz.
 - f. THD+N less than 0.2% at 1kHz sine at full current.
 - g. A fully non-adjustable Automatic Gain Control (AGC) optimized for speech, with a dynamic range greater than 36dB, with non-adjustable compression ratio, attack, and release where the amount of compression is adjusted only by the input gain setting.
 - h. Dual Slope Metal Loss Correction to rectify frequency loss caused by adjacent metal structures.
 - i. Loop output drive voltage of 33.9 Vrms at maximum output current per channel.
 - j. Loop resistance range from 0.2 Ω to 10.0 Ω.



- k. Input facilities of a type and connection suitable for the intended audio inputs to the system. Where input connections are to exceed 10 feet in length, balanced inputs will be available. Balanced microphone inputs will have phantom power available.
 - l. The unit will incorporate built in test signals and will be fully networkable with a Wi-Fi accessible standard browser based control panel for remote set-up, monitoring and email alerts.
 - m. Front panel indication of audio signal activity on the output of the unit and where possible the input.
 - n. Front panel access to loop resistance, inductance, and heatsink temperature.
 - o. Front panel lock feature.
 - p. Adjustment of controls for audio/video commissioning will be achievable without exposure of terminals carrying hazardous voltages.
 - q. Height no greater than 1¾ inches (i.e. 1 unit of standard 19-inch rack space) per unit.
 - r. All AC powered devices will have passed testing at a Nationally Recognized Testing Laboratory (NRTL) for safety with reference to the current edition of UL 60065 and any other applicable safety standards.
3. Where two-phase systems are required, a single unit will be provided (height no greater than 1¾ inches or 1U of standard 19" rack space) that is capable of driving two separate outputs with a 90° phase shift accurate to ±1° from 100Hz to 5kHz.

B. Hearing Loops

1. Loops connected to the Hearing Loop system will meet the following requirements:
 - a. Be designed and implemented to meet all requirements of Article 2.2.
 - b. The implementation of the hearing loop design will take into account the layout and construction materials of the building.
 - c. Appropriate materials for the installation location will be used (e.g. HPDE insulated wire in the floor slab, flat copper tape under floor coverings, or a purpose-designed loop coil inside a counter vertical front).
 - d. Loop wire containment will be of non-metallic construction (to avoid short circuit grounding paths parallel with the loop wire). This restriction does not apply to the loop feeder cables between a loop amplifier and the start of the loop itself, which may be installed in metal or non-metal containment.



- e. Where flat copper tape is accepted for use under carpet or other floor coverings, this does not require the use of containment and will be installed according to the manufacturer's recommendations and current best practice.
 - f. Where flat copper tape is accepted for use, it must be UL recognized.
 - g. Implementation of the loops will in general follow best practices.
- C. Hearing Loop Receivers and Test Equipment:
- 1. Hearing Loop Receivers: For each hearing loop system, a hearing loop receiver will be provided for operational staff to check and monitor the performance of the hearing loop system. The receiver will have the following characteristics:
 - a. Headphone output and be provided with headphones or, ear piece.
 - b. Low frequency cut filter.
 - c. Field strength indicators at 0dB and -6dB (As required by the standard IEC 60118-4:2014).
 - 2. Field Strength Meter: Required to commission and test systems. Field strength meter will be capable of audio/video commissioning to the requirements of IEC 60118-4:2014. The meter will have the following characteristics:
 - a. Calibrated reading of 0dB at 400mA/m RMS as per IEC 60118-4:2014.
 - b. True RMS measurement with 125mS time constant.
 - c. Class 2 meter as defined in IEC 61672-1:2013 (Electro acoustics – Sound level meters).
 - d. A-Weighted background noise range of at least -42dB to -12dB.
 - e. Field strength measurement range of at least -22dB to +8dB, with increments of 1dB from -3dB to +3dB.
 - f. Frequency band mode with 1/3 octave frequency bands centred on at least 100Hz, 1kHz and 5kHz meeting IEC 61260:2016 and IEC 60118-4:2014.
 - g. Headphone output for listening to the hearing loop signal.
 - h. Instructions for setting up a Hearing Loop System to IEC 60118-4:2014 by use of the field strength meter.



PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Ensure that work of other trades that might damage system are complete.

3.3 INSTALLATION

A. General

1. Coordinate with other relevant contactors to ensure that all appropriate audio signals are connected to the induction loop system and transmitted clearly.
2. Provide appropriate cabling and/or connection points for system integration.
3. Provide metal loss testing results to the AFLIS manufacturer to facilitate a system design that meets IEC 60118-4:2014.
4. Provide a design by the AFLIS manufacturer that meets the requirements of IEC 60118-4:2014 and a -40 dB overspill level in any adjacent hearing loop systems to the City of New York.
5. Furnish and install the required model and number of hearing loop drivers according to the design by the AFLIS manufacturer.
6. Wire and connect to all items of equipment in accordance with the manufacturers' recommendations.
7. Ensure complete segregation of the Extra-Low Voltage (ELV) wiring system, from any other ELV or Low Voltage (LV) wiring system.
8. Provide all necessary and supplementary grounding conductors and connections to each component or item of equipment.
9. Follow good audio and other relevant practice to ensure that proper grounding and other cable system design does not cause degradation of this or other system performance by allowing interference in inappropriate paths.
10. Confirm locations of all local power supply requirements and equipment spatial requirements.
11. All wiring of loops and between equipment locations will be installed and concealed in appropriate containment.



12. All wiring, including that inside equipment enclosures or racks, will be of a neat and tidy appearance. Wiring will be identified at both ends of each cable.
 13. Ensure that all aspects are in accordance with City of New York.
- B. General Wire Installation
1. Coordinate with other relevant contactors to ensure that cable remains undamaged.
 2. Furnish and install hearing loop cable that meets all local electrical codes for the installed location.
- C. Burial Grade Cable Installation Requirements
1. Coordinate with other relevant contactors to ensure that cable remains undamaged before, during, and after the concrete pour.
 2. Provide a Direct Burial Cable approved for installation into poured concrete and that can withstand the corrosive effects of the alkalis present in cement.
 3. Keep splices to a minimum, but where necessary, solder all joints using a western union splice and protect the joint with an XLP-rated heat shrink.
 4. Ensure before, during, and after the concrete pour that the DBC has not been damaged or shorted to ground.
 5. Coordinate with other relevant contactors to fix the DBC in the event that it is damaged.
- D. Concrete Sawing Cable Installation Requirements:
1. Coordinate with other relevant contactors to ensure that cable remains undamaged.
 2. Saw cut slots deep enough and wide enough for the cable installation and the application of a client approved securing material.
 3. Provide a Direct Burial Cable approved for installation into concrete and that can withstand the corrosive effects of the alkalis present in cement.
 4. Keep splices to a minimum, but where necessary, solder all joints using a western union splice and protect the joint with an XLP-rated heat shrink.
 5. Ensure that the DBC has not been damaged or shorted to ground.
 6. Install the securing material approved by the Commissioner while maintaining a flat surface approved by the relevant flooring subcontractor.



- E. Flat Wire Installation
 - 1. Coordinate with other trades to ensure that the copper tape remains undamaged.
 - 2. Ensure the surface is dry and free from dust and grease to allow the printed warning tape to adhere to the floor.
 - 3. Solder all splices and feeder cables following the manufacturer-approved methods.
 - 4. Provide flat copper tape that is UL recognised for fire retardancy and uses RoHS compliant, halogen free, non-toxic polyester insulation.
 - 5. Provide flat copper tape that has a CSA of 1.0mm², 1.8mm², or 3.0mm².
 - 6. Ensure that the flat copper tape is not shorted to ground.

3.4 AUDIO/VIDEO COMMISSIONING

- A. Include for testing and audio/video commissioning of the complete system(s) in accordance with IEC 60118-4:2014.
- B. Provide a method statement for testing and audio/video commissioning. Provide all necessary test equipment to complete the works, all test results to be fully recorded and copies provided with the Operation and Maintenance manuals.
- C. Set the system field strength using a 1k Hz tone or combi signal as described in IEC 60118-4:2014.
- D. After audio/video commissioning, demonstrate to the client that the hearing loop system meets the IEC 60118-4:2014 field strength requirements with an external 1k Hz tone or combi signal over the City of New York's acceptable input range with no distortion or clipping. Internal signal generators are not allowed for this test.
- E. Provide a minimum of 14 days' notice of all testing in order that the Commissioner may have reasonable option to attend and witness tests.
- F. Provide operating instructions for all items of equipment and installed systems. Demonstrate all systems and methods of use to the City of New York.
- G. Provide "As Installed" drawings and Operation and Maintenance manuals for all Hearing Loop Systems.
- H. When carrying out audio/video commissioning tests, use a Field Strength measurement tool with a minimum specification as in paragraph 2.2 section C above.
- I. Issue Certificates of Conformity to IEC 60118-4:2014 that clearly state the results of testing and whether the system performance meets the relevant requirements of the standard.



3.5 FINAL TESTS

- A. Where the induction loops are to be installed prior to the driver/amplifier equipment, the loops will be tested for continuity and for isolation from electrical ground and metal structures/containment. The Commissioner will have the opportunity to witness these tests that will be recorded and documented.
- B. A complete and final test after installing the driver/amplifier system equipment and connection to the PA system is established. The complete test will be tested using both loops provide and hearing aid T-coil.

3.6 PROTECTION

- A. After installation of system, coordinate with other material installers to ensure copper tape and wires are not damaged and that installation or chairs, flooring do not damage tape during the installation.

3.7 INSTRUCTION OF PERSONNEL

- A. Instruction documentation will be provided that enables operational staff to understand the proper use of the hearing loop system and how to ensure that people with Tele Coil or, T-Coil equipped hearing aids can make use of the system effectively.
- B. A test and maintenance schedule will be provided.
- C. Instruction documentation will be provided for operational staff such that they can use and perform regular functional tests on the system(s). This instructing will include, but not be limited to, demonstrating the correct use of the test equipment and hearing loop drivers provided.

END OF SECTION 27 51 17



SECTION 28 00 10 – SUPPLEMENTAL REQUIREMENTS FOR ELECTRONIC SAFETY AND SECURITY

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract (City of New York Standard Construction Contract).

1.2 SUMMARY

- A. Section Includes:

1. Supplemental requirements generally applicable to the Work specified in Division 28.

- B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for abbreviations and acronyms for electrical terms and units of measure, abbreviations and acronyms for electrical raceway types, abbreviations and acronyms for electrical cable types, and additional coordination drawing submittal requirements.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.4 REFERENCES

- A. Abbreviations and Acronyms for Electronic Safety and Security:

1. ACU: (mass notification) Autonomous control unit.
2. BMS: Building management system.
3. FACU: Fire-alarm control unit.
4. HPSA: (mass notification) High power speaker array.
5. LOC: (mass notification) Local operator console.
6. PoE: Power over Ethernet.
7. POTS: Plain old telephone service. See "public switched telephone network."
8. SCBA: Self-contained breathing apparatus.
9. TCP/IP: Transmission control protocol/Internet protocol.

- B. Definitions for Electronic Safety and Security:



1. Calling Party Control (CPC): A momentary break in phone line loop current, which is used to signal voicemail and other automated telephone company services that distant party has hung up.
2. Private Branch Exchange (PBX): Analog telephone switch that routes calls internal to a business or organization so a direct external line for each phone is unnecessary.
3. Public Switched Telephone Network (PSTN): Analog telephone technology that uses twisted-pair cables from a telephone-provider central office for the transmission medium. PSTN refers to the telephone network; POTS refers to the individual subscriber line.
4. Remote Office Phone System (ROPS): VoIP system that allows phones for a business or organization located anywhere in the world with internet connectivity to behave similar to phones connected to a PBX.
5. Ringer Equivalence Number (REN): The loading effect of a single traditional telephone ringing circuit. TIA-968 defines REN 1 as an impedance of 7000 Ω at 20 Hz (Type A ringer) or 8000 Ω from 15 Hz to 68 Hz (Type B ringer). The sum of the RENs for all devices on a subscriber line circuit may not exceed the maximum permitted REN for the subscriber line.
6. Voice over Internet Protocol (VoIP): Digital telephone packet technology that uses the internet for its transmission medium.

1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.6 COORDINATION

- A. Interruption of Existing Fire-Alarm System: Do not interrupt fire-alarm system to facilities occupied by the City of New York unless permitted under the following conditions:
 1. Notify the Commissioner no fewer than seven days in advance of proposed interruption of fire-alarm system.
 2. Do not proceed with interruption of fire-alarm system without FDNY and the Commissioner's written permission.

1.7 PREINSTALLATION MEETINGS

- A. Electronic Safety and Security Preconstruction Conference: Schedule conference with Commissioner not later than 10 days after notice to proceed. Agenda topics include, but are not limited to, the following:
 1. Installation schedule for security, fire-alarm, and other life safety systems.
 2. Monitoring services work coordination and monitoring service requests.
 3. Audio/Video testing activities.
 4. Sustainability activities.



1.8 ACTION SUBMITTALS

- A. Coordination Drawings: Submit multidiscipline coordination drawings depicting electronic safety and security equipment, devices, cabling, conduit, and duct banks in accordance with requirements specified in Section 260010 "Supplemental Requirements for Electrical."

1.9 INFORMATIONAL SUBMITTALS

- A. Installation Schedule for Security, Fire Alarm, and Other Life Safety Systems: At preconstruction meeting, and periodically thereafter as dates change, provide schedule for installation of security, and other life safety Work to the City of New York and Commissioner including, but not limited to, milestone dates for the following activities:

1. Submission of specified coordination drawings.
2. Submission of action submittals specified in Division 28.
3. Orders placed for major equipment.
4. Arrival of major equipment on-site.
5. Preinstallation meetings specified in Division 28.
6. Security and fire-alarm system outages.
7. Security and fire-alarm system inspection and activation.
8. Mockup reviews.
9. Closing of walls and ceilings containing security and fire-alarm Work.
10. System startup and testing activities for security.
11. System startup and testing activities for fire-alarm interfaces with Work specified in other divisions.
12. System startup, and testing activities for automation systems (BMS, lighting, HVAC, fire alarm, fire pump, etc.).
13. Requests for special inspections.
14. Requests for inspections by the City New York.

- B. Certificates:

1. Welding certificates.
2. Seismic-Load Performance Certificates: Provide special certification as indicated in Paragraph 13.2.2 "Special Certification Requirements for Designated Seismic Systems" of ASCE/SEI 7-05 for designated seismic-load systems identified on Drawings or in the Specifications.
 - a. Include the following information:
 - 1) Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
 - 2) Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.
 - 3) Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.



- 4) Detailed description of conduit support devices and interconnections on which the certification is based and their installation requirements.
 - 5) Provide equipment manufacturer's written certification for each designated active electrical seismic device and system, stating that it will remain operable following the design earthquake. Certification must be based on requirements of ASCE/SEI 7, including shake table testing per ICC-ES AC156 or a similar nationally recognized testing standard procedure acceptable to the Commissioner, or experience data as permitted by ASCE/SEI 7-05.
 - 6) Provide equipment manufacturer's written certification that components with hazardous contents maintain containment following the design earthquake by methods required in ASCE/SEI 7-05.
 - 7) Submit evidence demonstrating compliance with these requirements for approval to the Commissioner after review and acceptance by qualified structural professional engineer licensed in the state of New York.
- b. The following systems and components are designated seismic-load systems requiring written special certification of seismic qualification by manufacturer:
- 1) Housings, illuminators, and pan-tilt-units for video surveillance equipment specified in Section 282000 "Video Surveillance."
 - 2) Floor-mounted and wall-mounted intrusion detection control units specified in Section 283100 "Intrusion Detection."
 - 3) FACUs and their battery backup specified in Section 284621.11 "Addressable Fire-Alarm Systems."

1.10 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data:

1. Provide emergency operation, normal operation, and preventive maintenance manuals for each system, equipment, and device.
2. Include the following information:
 - a. Manufacturer's operating specifications.
 - b. User's guides for software and hardware.
 - c. Detailed instructions covering operation under both normal and abnormal conditions.
 - d. Manufacturer's instructions for setting field-adjustable components.
 - e. Manufacturer's instructions for testing, adjusting, and reprogramming microprocessor controls.

B. Software and Firmware Operational Documentation:

1. Software operating and upgrade manuals.



2. Names, versions, and website addresses for locations of installed software.
3. Device address list.
4. Printout of software application and graphic screens.
5. Testing and adjusting of panic and emergency power features.
6. For lighting controls, include the following:
 - a. Adjustments of scene preset controls, adjustable fade rates, and fade overrides.
 - b. Operation of adjustable zone controls.

C. Software:

1. Program Software Backup: Provide username and password for approved online or cloud solution and USB media that is clearly and permanently labeled with attached placard on lanyard to prevent misplacement.

1.11 FIELD CONDITIONS

- A. Modeling, analysis, product selection, installation, and quality control for Work specified in Division 28 must comply with requirements specified in Section 260011 "Facility Performance Requirements for Electrical."

1.12 WARRANTY

- A. The Security Access Control System Board Manufacturer agrees to repair or replace all installed components against defects in material and workmanship for a period of two (2) years starting from date of Substantial Completion of the work.

PART 2 - PRODUCTS

2.1 ELECTRONIC SAFETY AND SECURITY EQUIPMENT

A. Security Access Control System Board:

1. Sole Source Product: Sielox 1700 access control system board
 - a. No Substitutions Permitted.

- B. The contractor is responsible for sequencing and scheduling equipment procurement.



PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION OF ELECTRONIC SAFETY AND SECURITY WORK

- A. Unless more stringent requirements are specified in the Contract Documents or manufacturers' instructions, comply with NFPA 70, NFPA 72, NFPA 731, NYC electrical code and NECA NEIS 1 for installation of Work specified in Division 28. Consult Commissioner for resolution of conflicting requirements.

3.3 FIELD QUALITY CONTROL

- A. Administrant for Security Tests and Inspections:
 - 1. Engage factory-authorized service representative to administer and perform tests and inspections on components, assemblies, and equipment installations, including connections.

3.4 CLOSEOUT ACTIVITIES

- A. Demonstration:
 - 1. With assistance from factory-authorized service representatives, demonstrate to the City of New York's maintenance and clerical personnel and building occupants how to operate the following systems and equipment:
 - a. Sielox 1700 boards.
- B. Instructing:
 - 1. With assistance from factory-authorized service representatives, instruct the City of New York's maintenance personnel on the following topics:
 - a. How to adjust, operate, and maintain hardware, enroll users, and issue user credentials specified in Section 281500 "Integrated Access Control Hardware Devices."
 - b. How to adjust, operate, and maintain video surveillance equipment specified in Section 282000 "Video Surveillance."
 - c. How to adjust, operate, and maintain intrusion detection system equipment specified in Section 283100 "Intrusion Detection" Comply with documentation and user instructing provisions in Chapter 4 of NFPA 731.



- d. How to adjust, operate, and maintain FACUs, their battery backup, graphic annunciators, and remote annunciators specified in Section 284621.11 "Addressable Fire-Alarm Systems."
2. Provide video recordings of instruction sessions to the City of New York.

END OF SECTION 28 00 10

SECTION 280800 - COMMISSIONING OF FIRE ALARM

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. This Section includes commissioning process requirements for HVAC&R systems, assemblies, and equipment.:
- B. Related Requirements:
 - 1. DDC General Conditions Section 019113 "General Commissioning Requirements for MEP Systems" for general commissioning process requirements.

1.3 DESCRIPTION

- A. Commissioning is a systematic process of confirming that all building systems perform interactively according to the City of New York's Project Requirements and the Basis of Design and continuing through construction, acceptance and the warranty period with actual verification of performance.
- B. The Commissioning process does not take away from or reduce the responsibility of the Contractor to provide a finished and fully functioning product.
- C. The CxA directs and coordinates the commissioning activities and reports to the Commissioner. All members in the construction process work together to fulfill their contracted responsibilities and meet the objectives of the City of New York's Project Requirement's as detailed in the Contract Documents.

1.4 DEFINITIONS

- A. Refer to the DDC General Conditions for definitions.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

- B. The CxA will review and approve submittals related to the commissioned equipment for conformance to the Contract Documents as it relates to the commissioning process, to the functional performance of the equipment and adequacy for developing test procedures. This review is intended primarily to aid in the development of functional testing procedures and only secondarily to verify compliance with equipment specifications. The CxA will notify the Contractor, or Commissioner as requested, of items missing or areas that are not in conformance with Contract Documents and which require resubmission.
- C. The CxA will receive a copy of the final approved submittals.
- D. In addition, the Contractor is to provide the following:
 - 1. Certificates of readiness
 - 2. Certificates of completion of installation, prestart, and startup activities.
 - 3. O&M manuals
 - 4. Test reports

1.6 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Test Equipment Calibration Requirements: Contractor will comply with test manufacturer's calibration procedures and intervals. Recalibrate test instruments immediately after instruments have been repaired resulting from being dropped or damaged. Affix calibration tags to test instruments. Furnish calibration records to CxA upon request.

1.7 COORDINATION

- A. Commissioning Kick-Off Meeting - Construction Team: The Contractor will attend a meeting of the Commissioning Team, chaired by the CxA, to review the scope of commissioning process activities and the Commissioning Plan with discussions on milestones, activities, and assignments of responsibilities. The flow and type of documents and the amount of submittal data given to the CxA will be determined. Meeting minutes will then be distributed to all parties by the CxA.
- B. Commissioning Meetings: The Contractor will attend coordination meetings with the Commissioning Team, chaired by the CxA, to review progress on the Commissioning Plan, construction deficiencies, scheduling conflicts, and to discuss strategies and processes for upcoming commissioning process activities.
- C. Miscellaneous Construction Meetings: The CxA attends selected planning and job-site meetings in order to remain informed on construction progress and to update parties involved in the commissioning process. This will not include 100% meeting attendance, but the CxA shall be provided with the subsequent meeting minutes for review.

- D. Pre-testing Meetings: The Contractor will attend pretest meetings with the Commissioning Team, chaired by the CxA, to review startup reports, pre-test inspection results, testing procedures, testing personnel and instrumentation requirements, and manufacturers' authorized service representative services for each system, subsystem, equipment, and component to be tested.
- E. Testing: The Contractor will coordinate with testing personnel and agencies for timing and access for CxA to witness test.
- F. Manufacturers' Inspection and Startup Services: The Contractor will coordinate services of manufacturers' inspection and startup services.
- G. Testing, Adjusting and Balancing: The Contractor will coordinate with plan and schedule for testing, adjusting and balancing for timing and access for CxA to witness process.

PART 2 - PRODUCTS

2.1 TEST EQUIPMENT

- A. All standard testing equipment required to perform startup, initial checkout and functional performance testing shall be provided by the Contractor for the equipment being tested. For example, the Contractor shall ultimately be responsible for all standard testing equipment for the Fire Alarm systems in Division 28. A sufficient quantity of two-way radios shall be provided by the Contractor.
- B. Proprietary test equipment and software required by any equipment manufacturer for programming and/or start-up, whether specified or not, shall be provided by the manufacturer of the equipment. Manufacturer shall provide the test equipment, demonstrate its use, and assist in the commissioning process as needed. Proprietary test equipment (and software) shall become the property of the City of New York's personnel upon completion of the commissioning process.
- C. All testing equipment shall be of sufficient quality and accuracy to test and/or measure system performance with the tolerances specified in the Specifications. If not otherwise noted, the following minimum requirements apply: Temperature sensors and digital thermometers shall have a certified calibration within the past year to accuracy of 0.5°F and a resolution of + or - 0.1°F. Pressure sensors shall have an accuracy of + or - 2.0% of the value range being measured (not full range of meter) and have been calibrated within the last year.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.
- B. With assistance from the Contractor and the CxA will prepare Pre-Functional Checklists for all commissioned components, equipment, and systems.

- C. Red-lined Drawings (As-Builts): The Contractor will verify all equipment, systems, instrumentation, wiring and components are shown correctly on red-lined drawings. Preliminary red-lined drawings must be made available to the Commissioning Team for use prior to the start of Functional Performance Testing. Changes, as a result of Functional Testing, must be incorporated into the final as-built drawings, which will be created from the red-lined drawings. The Contractor will create the as-built drawings.
- D. Operation and Maintenance Data: Contractor will provide a copy of O&M literature within 45 days of each submittal acceptance for use during the commissioning process for all commissioned equipment and systems. The CxA will review the O&M literature once for conformance to project requirements. The CxA will receive a copy of the final approved O&M literature once corrections have been made by the Contractor.
- E. Demonstration and Orientation: Contractor will provide demonstration and orientation as required by the specifications. A complete orientation plan and schedule must be submitted by the Contractor to the CxA four weeks (4) prior to any orientation. An orientation agenda for each orientation session must be submitted to the CxA one (1) week prior the orientation session.

3.2 CONTRACTOR'S RESPONSIBILITIES

- A. Refer to the DDC General Conditions Section 019113 "General Commissioning Requirements for MEP Systems" for Contractor's responsibilities.
- B. Attend construction phase controls coordination meetings.
- C. Provide information requested by the CxA for final commissioning documentation.
- D. Prepare preliminary schedule for fire alarm system orientations and inspections, operation and maintenance manual submissions, orientation sessions, equipment start-up and task completion for the City of New York. Distribute preliminary schedule to commissioning team members.
- E. Provide measuring instruments and logging devices to record test data, and provide data acquisition equipment to record data for the complete range of testing for the required test period.
- F. Provide detailed startup procedures.
- G. Provide a written list of all user adjustable set-points and reset schedules with a brief discussion of the purpose of each and the range of reasonable adjustments with energy implications.
- H. Provide a written schedule frequency to review the various set-points and reset schedules to ensure they are current relevant and efficient values.
- I. Respond to provided new deficiencies and/or responses within five (5) business days.
- J. Gather operation and maintenance literature on all equipment, and assemble in binders as required by the Contract Documents. Submit to CxA 45 days after submittal acceptance.
- K. Coordinate with the CxA to provide 48-hour advance notice so that the witnessing of equipment and system start-up and testing can begin.

- L. Notify the CxA a minimum of two weeks in advance of the time for start of the testing and balancing work. Attend the initial testing and balancing meeting for review of the official testing and balancing procedures.
- M. Provide written notification to the Commissioner and CxA that the following work has been completed in accordance with the Contract Documents, and that the equipment, systems, and sub-system are operating as required.
 - 1. Fire alarm system
- N. The equipment suppliers shall document the performance of their equipment.
- O. Provide a complete set of red-lined drawings to the CxA prior to the start of Functional Performance Testing.
- P. Contractor responsibilities to be completed by Equipment Suppliers:
 - 1. Provide all requested submittal data, including detailed start-up procedures and specific responsibilities of the City of New York, to keep warranties in force.
 - 2. Assist in equipment testing.
 - 3. Provide information requested by CxA regarding equipment sequence of operation and testing procedures.

3.3 CxA'S RESPONSIBILITIES

- A. Roles and Responsibilities
 - 1. Refer to the DDC General Conditions Section 019113 "General Commissioning Requirements for MEP Systems" for general CxA responsibilities.

3.4 TESTING PREPARATION

- A. Certify in writing to the CxA that fire alarm systems, subsystems, and equipment have been installed, calibrated, and started and are operating according to the Contract Documents.
- B. Certify in writing to the CxA that fire alarm instrumentation and control systems have been completed and calibrated, that they are operating according to the Contract Documents, and that pretest set points have been recorded.
- C. Certify in writing that testing procedures have been completed and that testing reports have been submitted, discrepancies corrected, and corrective work approved.
- D. Place systems, subsystems, and equipment into operating mode to be tested (e.g., normal shutdown, normal auto position, normal manual position, unoccupied cycle, emergency power, and alarm conditions).
- E. Inspect and verify the position of each device and interlock identified on checklists.

- F. Check safety cutouts, alarms, and interlocks with smoke control and life-safety systems during each mode of operation.
- G. Testing Instrumentation: Install measuring instruments and logging devices to record test data as directed by the CxA.

3.5 GENERAL TESTING REQUIREMENTS

- A. Provide technicians, instrumentation, and tools to perform commissioning test at the direction of the CxA.
- B. Scope of fire alarm testing shall include the new fire alarm system installation. Testing shall include all operating modes, interlocks, control responses, and responses to abnormal or emergency conditions, and verify proper response of building automation system controllers and sensors.
- C. The CxA along with the Contractor shall prepare detailed testing plans, procedures, and checklists for fire alarm systems, subsystems, and equipment. The Contractor shall ensure the participation of the fire alarm subcontractor.
- D. Tests will be performed using design conditions whenever possible.
- E. Simulated conditions may need to be imposed using an artificial load when it is not practical to test under design conditions. Before simulating conditions, calibrate testing instruments. Provide equipment to simulate loads. Set simulated conditions as directed by the CxA and document simulated conditions and methods of simulation. After tests, return settings to normal operating conditions.
- F. The CxA may direct that set points be altered when simulating conditions is not practical.
- G. The CxA may direct that sensor values be altered with a signal generator when design or simulating conditions and altering set points are not practical.
- H. If tests cannot be completed because of a deficiency outside the scope of the fire alarm system, document the deficiency and report it to the Commissioner. After deficiencies are resolved, reschedule tests.
- I. If the testing plan indicates specific seasonal testing, complete appropriate initial performance tests and documentation and schedule seasonal tests.

3.6 FIRE ALARM SYSTEMS, SUBSYSTEMS, AND EQUIPMENT TESTING PROCEDURES

- A. Equipment Testing and Acceptance Procedures: Testing requirements are specified in individual Division 28 sections. Provide submittals, test data, inspector record, infrared camera and certifications to the CxA.
- B. Fire Detection and Alarm System Testing: Provide technicians, instrumentation, tools and equipment to test performance of designated systems and devices at the direction of the CxA. The CxA shall determine the sequence of testing and testing procedures for each equipment item and pipe section to be tested.
- C. The work included in the commissioning process involves a complete and thorough evaluation of the operation and performance of all components, systems and sub-systems. Commissioning shall be performed on equipment and systems including but not limited to the following:

1. Fire Alarm System.

3.7 DEFICIENCIES/NON-CONFORMANCE, FAILURE DUE TO MANUFACTURER DEFECT

A. Deficiencies/Non-Conformance

1. The CxA will record the results of the functional test on the test form. All deficiencies or non-conformance items shall be noted and reported to the Commissioner and Contractor on a standardized form.
2. The Contractor shall respond to new deficiencies within five (5) business days. The response shall indicate the proposed means of correcting the issue and the anticipated date of correction. If further information is required to clarify the issue, the Contractor's response shall include a request such clarification. If the Contractor understands that the issue has been resolved or was noted in error, the Contractor's response shall provide an explanation of their reasoning, including reference to Contract Documents as necessary.
3. Corrections of minor deficiencies identified may be made during the tests at the discretion of the CxA.
4. Every effort will be made to expedite the testing process and minimize unnecessary delays, while not compromising the integrity of the procedures.
5. As tests progress and a deficiency is identified, the CxA discusses the issue with the Contractor.
6. When the issue does not require further clarification for the Contractor to resolve, the CxA documents the deficiency and the Contractor's response and corrections or plans for correction. The CxA and the Contractor then proceed to another test or sequence. Once the Contractor corrects the deficiency, the test is rescheduled and repeated to demonstrate correct operation or function.
7. When additional information is required about any deficiency, whether to clarify the issue or to clarify the means of resolution or acceptance, the CxA documents the deficiency and the Contractor's response. The CxA will send the deficiency to the Commissioner and the Contractor, who shall forward to any subcontractors required for the correction. Once all parties are in agreement as to the means of resolving the issue, the CxA will document the agreed-upon resolution process. The CxA will document the correction or resolution. If the correction requires work by the Contractor, the Contractor and CxA will reschedule the test to demonstrate correct operation and function.

B. Failure due to Manufacturer Defect

1. If 10% or three, whichever is greater, of identical pieces (size alone does not constitute a difference) of equipment fail to perform to the Contract Documents (mechanically or substantively) due to manufacturing defect, not allowing it to meet its submitted performance spec, all identical units may be considered unacceptable by the CxA and the Commissioner. In such case, the Contractor shall provide the Commissioner with the following.
 - a. Within one week of notification from the Contractor the manufacturer's representative shall examine all other identical units making a record of the findings. The findings shall be provided to the Commissioner within two weeks of the original notice.
 - b. Within two weeks of the original notification, the Contractor or manufacturer shall provide a signed and dated, written explanation of the problem, cause of failures, etc. and all proposed solutions which shall include full equipment submittals. The proposed solutions shall not significantly exceed the specification requirements of the original installation.

- c. The Commissioner will determine whether a replacement of all identical units or a repair is acceptable.
- d. Two examples of the proposed solution will be installed by the Contractor and the Contractor will be allowed to test the installations for up to one week, upon which the Commissioner will decide whether to accept the solution.
- e. Upon acceptance, the Contractor and/or manufacturer shall replace or repair all identical items, at their expense and extend the warranty accordingly, if the original equipment warranty had begun. The replacement/repair work shall proceed with reasonable speed beginning within one week from when parts can be obtained.

3.8 APPROVAL

- A. The CxA notes each satisfactorily demonstrated function on the test form. Formal approval of the functional test is made later after review by the CxA. The CxA recommends acceptance of each test to the Commissioner using a standard form.

3.9 OPERATION AND MAINTENANCE MANUALS

- A. The Operation and Maintenance Manuals shall conform to Contract Documents requirements as stated in the DDC General Conditions Section 017839 "Contract Record Documents" and Section 019113 "General Commissioning Requirements for MEP Systems".
- B. The specific content and format requirements for the standard O&M manuals are detailed in DDC General Conditions Section 017839 Contract Record Documents and Section 019113 General Commissioning Requirements for MEP Systems. Special requirements for the controls subcontractor and TAB subcontractor are found in Division 23.
- C. CxA Review and Approval - Prior to substantial completion, the CxA shall review the O&M manuals, documentation and record documents for systems that were commissioned to verify compliance with the Specifications. The CxA will communicate deficiencies in the manuals to the Contractor, or Commissioner, as requested. Upon a successful review of the corrections, the CxA recommends approval and acceptance of these sections of the O&M manuals to the Commissioner. The CxA also reviews each equipment warranty and verifies that all requirements to keep the warranty valid are clearly stated.

3.10 INSTRUCTION OF NEW YORK CITY PERSONNEL

- A. The Contractor shall be responsible for instruction coordination, scheduling, and ultimately for ensuring that instruction is completed.
- B. The CxA shall oversee the instruction of the City of New York's personnel for commissioned equipment and systems.
 1. The CxA shall interview the City of New York's personnel to determine the special needs and areas where instruction will be most valuable. The Commissioner and CxA shall decide how rigorous the instruction should be for each piece of commissioned equipment. The CxA shall communicate the results to the Contractor who will ensure participation of the subcontractor.

2. In addition to these general requirements, the specific instruction requirements of the City of New York's personnel by the Contractor who will ensure the subcontractors and vendors are specified in the individual sections listed in DDC's General Conditions Section 017900 "Demonstration and Owners' Pre-Acceptance Orientation.
3. The Contractor shall ensure that each subcontractor and vendor responsible for instruction will submit a written instruction plan to the Contractor for review and approval prior to instruction. The Contractor will submit one comprehensive instruction plan to the CxA and the Commissioner.
4. The plan will be reviewed by the CxA and the Commissioner. Comments pertaining to its deficiencies will be forwarded to the Contractor. The instruction plan will be rewritten until approved by the CxA and the Commissioner. The final approved instruction plan will cover the following elements:
 - a. Equipment (included in instruction)
 - b. Intended audience
 - c. Location of instruction
 - d. Objectives
 - e. Subjects covered (description, duration of discussion, special methods, etc.)
 - f. Duration of instruction on each subject
 - g. Qualified instructor for each subject
 - h. Instructor qualifications
 - i. Methods (classroom lecture, video, site walk-through, actual operational demonstrations, written handouts, etc.)
5. For the primary equipment, the Contractor will ensure the controls subcontractor provides a discussion of the control of the equipment during the instruction conducted by each subcontractor or vendor.
6. Instruction documentation shall include the following items:
 - a. Copy of the instruction plan, including schedule, syllabus, and agenda.
 - b. Copy of the City of New York's Project Requirements.
 - c. Copy of the Basis of Design.
 - d. Compiled operations manuals.
 - e. Compiled maintenance manuals.
 - f. Completed manufacturer instruction manuals.
 - g. Red-lined drawings.
7. The CxA develops criteria for determining that the instruction was satisfactorily completed, including attending the instruction, etc. The CxA recommends approval of the instruction to the Commissioner using a standard form. The Commissioner signs the approval form/letter template.
8. At one of the instruction sessions, the CxA presents a presentation discussing the use of the blank functional test forms for re-commissioning equipment
9. Video recording of the instruction sessions will be verified by the CxA in electrical format, at the discretion of the Commissioner.

END OF SECTION 280800



SECTION 28 15 00 - INTEGRATED ACCESS CONTROL HARDWARE DEVICES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract (City of New York Standard Construction Contract).

1.2 SUMMARY

A. Section Includes:

1. Integrated credential readers and entry management.
2. Access control credentials.
3. Electrified locking devices and accessories.
4. Egress management devices.
5. Access control remote devices.
6. Electronic key management systems.
7. Doorbell and push button.

B. Related Requirements:

1. Section 280010 "Supplemental Requirements for Electronic Safety and Security" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures".

1.4 PREINSTALLATION MEETINGS

- A. Preinstallation Coordination Meeting(s): For integrated access control hardware devices. Conduct meeting(s) as videoconference.

1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."



1.6 ACTION SUBMITTALS

A. Product Data:

1. Access Control Credentials:
 1. Access control cards.
2. Access Control Remote Devices:
 1. NFC access control devices.
3. Electronic Key Management Systems:
 1. Electronic key management system units.

B. Shop Drawings:

1. Project general notes.
2. Device layout.
3. Block diagram and cable/conduit routing.
4. System communications details.
5. System mounting details.
6. Secondary power calculations.

C. Field Quality-Control Submittals:

1. Field quality-control reports.

1.7 INFORMATIONAL SUBMITTALS

A. Manufacturers' Published Instructions: Record copy of official installation and testing instructions issued to Installer by manufacturer for the following:

1. Installation instructions for integrated credential readers and entry management devices.
2. Manufacturer's recommended tests and inspections for integrated credential readers and entry management devices.
3. Printing, programming, and handling instructions for access control credentials.
4. Installation instructions for electrified locking devices and accessories.
5. Manufacturer's recommended tests and inspections for electrified locking devices and accessories.
6. Installation instructions for egress management devices.
7. Manufacturer's recommended tests and inspections for egress management devices.
8. Installation instructions for access control remote devices.
9. Manufacturer's recommended tests and inspections for access control remote devices.
10. Installation instructions for electronic key management systems.
11. Manufacturer's recommended tests and inspections for electronic key management systems.



- B. Sample warranties.

1.8 CLOSEOUT SUBMITTALS

- A. Submittals for record documents, operation and maintenance data, software, and software documentation are specified in Section 280010 "Supplemental Requirements for Electronic Safety and Security."
- B. Warranty documentation.

1.9 REGULATORY AGENCY APPROVALS FOR EGRESS MANAGEMENT DEVICES

- A. Submittals for egress management devices require action by the Commissioner prior to submitting for approval by the Commissioner.

1.10 WARRANTY

- A. Integrated Credential Readers and Entry Management Devices Warranty:
 - 1. Special Manufacturer Warranty: Manufacturer warrants that integrated credential readers and entry management devices perform in accordance with specified requirements and agrees to provide restore or replacement of components or products that fail to perform as specified within warranty period.
 - 1. Warranty Period: Five years from date of Substantial Completion; full coverage for labor, materials, and equipment.
- B. Electrified Locking Devices and Accessories Warranty:
 - 1. Special Manufacturer Warranty: Manufacturer warrants that electrified locking devices and accessories perform in accordance with specified requirements and agrees to provide restore or replacement of components or products that fail to perform as specified within warranty period.
 - 1. Warranty Period: Five years from date of Substantial Completion; full coverage for labor, materials, and equipment.

PART 2 - PRODUCTS

2.1 INTEGRATED CREDENTIAL READERS AND ENTRY MANAGEMENT

- A. Swipe Card Reader:
 - 1. Sole Source Product: HID Global iClass card readers.



- a. No Substitutions Permitted.
2. Performance Criteria:
 1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and NYC electrical code, by qualified electrical testing laboratory and marked for intended location and application.
 2. Listing Criteria:
 - 1) UL 294.
 - 2) Plastic Enclosure: UL 94.
 3. General Characteristics: Visual LED indication of card read.
3. Options:
 1. Enclosure Rating: IEC 60529, IP66.
 2. Input Voltage: 5 to 12 V(dc).
 3. Operating Temperature: Minus 40 to plus 160 deg F (Minus 40 to plus 71 deg C).
 4. Materials: Polycarbonate/Metal.
 5. Colors: By the Commissioner.
 6. Climate: Indoor and outdoor.
 7. Size: Single gang or double gang.
 8. Access Controller:
 - 1) Sole Source Product: Sielox 2700
 - a) No Substitutions Permitted.

2.2 ELECTRIFIED LOCKING DEVICES AND ACCESSORIES:

A. Electrically door strike:

1. Sole Source Product: Von Duprin 6000 Series.
 - a. No Substitutions Permitted.
2. Performance Criteria:
 1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and NYC electrical code, by qualified electrical testing laboratory recognized by the City of New York and marked for intended location and application.
 2. Listing Criteria:
 - 1) Special Locking Arrangements: UL 294, NFPA 101, and ICC IBC.



- 2) Electrically Controlled Single-Point Locks and Latches: UL 10B, and UL 10C; designed to be used with Class 2 control-voltage circuits in accordance with Article 725 of NFPA 70 and NYC electrical code.
3. Options:
 1. Input Power: PoE.
 2. Operating Temperature: Minus 31 to plus 151 deg F (Minus 35 to plus 66 deg C)
 3. Connectivity: Hardwired.
 4. Materials: Zinc.
 5. Integrated Credential Reader:
 - 1) Proximity (125 kHz).
 - 2) Smart (13.56 MHz).
 - 3) Bluetooth, low energy (2.4 GHz).
 - 4) NFC.
 6. Integrated combination lock and keypad.
 7. Failure Mode: Fail-safe.
 8. Mechanical key override.
 9. Latch bolt monitor.
 10. With deadbolt.

2.3 EGRESS MANAGEMENT DEVICES:

A. Thermal Counter:

1. Sole Source Product: Sen Source Gazelle Dual View Traf-Sys thermal counter.
 - a. No Substitutions Permitted.

B. Gate:

1. Sole Source Product: FEIG Electronics, OBID CAT# Scan ISC ANY 1690/600 Crystal Gate.
 - a. No Substitutions Permitted.

2.4 ACCESS CONTROL REMOTE DEVICES:

A. Sole Source Product: Sielox, CAT# Sielox 2700 boards

1. No Substitutions Permitted.

B. Performance Criteria:

1. OSDP compatible.
2. Up to 34 doors (2 hardwired / 32 wireless).



3. Expandable up to 60 Inputs / Outputs.
4. Web interface for diagnostics and troubleshooting.
5. Variable Input power 12-24 VDC +/- 10% auto-sensing.
6. 12 VDC Aux Power output (1A max).
7. Reader local buzzer for held open or forced.

2.5 WIRED PUSH BUTTON:

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the followings:
1. GE
 2. Honeywell
 3. Philips
 4. Hampton Bay
 5. Or approved equal.
- B. Performance Criteria:
1. Metal cover with light centered button.
 2. Led lighted buttons.
 3. Surface mount.
 4. Hole spacing 2 3/8 inches.
 5. Operating voltage 16-24 VAC.

2.6 DOOR CHIME:

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the followings:
1. GE
 2. Honeywell
 3. Philips
 4. Hampton Bay
 5. Or approved equal.
- B. Performance Criteria:
1. Brush nickel housing.
 2. Two note wired chimes.
 3. Capable of input from 16-24 VAC.
- C. Transformer:
1. Input: 120VAC
 2. Options for 8V, 16V or 24V secondary output.



PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 DEPLOYMENT OF CREDENTIALS

- A. Comply with manufacturer's published instructions.
- B. Third-Party Issuing of Access Control Credentials:
 - 1. Printing of New Credentials: Contractor must be capable of programming and issuing credentials compatible with City of New York's access control system.
 - 1. Initial Issuance: Provide spreadsheet or form for collecting data for generation of credentials. Coordinate with the Commissioner for completed form containing data for personnel to be issued credentials. Print and provide initial run, printed and programmed credentials in specified format within four weeks of request.
 - 2. Ongoing Issuance: Produce additional credentials upon the Commissioner's request. Coordinate with the Commissioner for a completed form containing data for personnel to be issued credentials. Print and program credentials in specified format within one week of request.

3.3 INSTALLATION

- A. Comply with manufacturer's published instructions.
- B. Special Techniques:
 - 1. Cable Type: Shielded/Unshielded.
 - 2. Analog Maximum Cable Length: 1000 ft (300 m).
 - 3. Digital Maximum Cable Length: 300 ft (100 m).
- C. Interfaces with Other Work:
 - 1. Coordinate access control credentials with integrated credential readers and access control system architecture.
 - 2. Egress Management Interfaces:
 - 1. Coordinate with Section 282000 "Video Surveillance" for automatic video feed callup upon delayed egress activation.
 - 2. Coordinate with Section 284621.11 "Addressable Fire-Alarm Systems."



- D. Protection: After installation, protect integrated access control hardware devices from construction activities. Remove and replace items that are contaminated, defaced, damaged, or otherwise caused to be unfit for use prior to acceptance by the Commissioner.

3.4 FIELD QUALITY CONTROL

A. Testing Preparation:

- 1. After the completion of the installation of the Access System and before the final acceptance by the City of New York, the contractor will have the certified Integrator of Record perform and manage the turnover to the City of New York as required to determine if the system is working properly in compliance of the specifications. If there are any deficiencies the contractor will fix them all at no additional cost to the City of New York.

B. The Contractor will keep the working area clean and safe.

C. Field tests and inspections must be witnessed by the Commissioner.

D. Tests and Inspections:

- 1. Perform manufacturer's recommended tests and inspections.

E. Nonconforming Work:

- 1. Device will be considered defective if it does not pass tests and inspections.
- 2. Remove and replace defective units and retest.

F. Collect, assemble, and submit test and inspection reports.

G. Manufacturer Services:

- 1. Engage factory-authorized service representative to supervise field tests and inspections.

END OF SECTION 28 15 00



SECTION 28 20 00 – VIDEO SURVEILLANCE

PART 1 – GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract (City of New York Standard Construction Contract).

1.2 SUMMARY

- A. Furnish and install cameras, equipment racks and cabinets, patch panels and wiring for the network-based Internet Protocol Digital Video Surveillance System. The work will consist of, but not be limited to the following:
1. Digital HD Dome IP Fixed Cameras (indoor/outdoor).
 2. Camera power injector.
 3. Category 6 cabling infrastructure for video, power and control signaling.
 4. Category 6 compliant patch panels.
 5. Equipment racks, cabinets and associated hardware.
 6. Refer to Architectural Drawings for console for main viewing station (when design includes a console).

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 01 33 00 “Submittal Procedures”.

1.4 SUBMITTALS

- A. Product Data
1. Catalog sheets, specifications and installation instructions for all products. Provide serial numbers of all cameras installed.
- B. Shop Drawings
1. The wiring and schematic diagrams of the wiring system as proposed to be installed.
 2. Coordinated rack/cabinet plans and elevations of main equipment room and each telecommunications closets identifying the locations, dimensions of all terminating hardware provided by this contractor.
 3. Final proposed camera locations shop drawing with types of mounts.



4. Field of view photos using video frame capture.
 5. Mounting details for typical indoor/outdoor fixed cameras.
- C. Sample
1. Category 6 Cable.
 2. Cable connector.
- D. Certificate of compliance with the Quality Assurance and Maintenance requirements.
- F. Qualification of installer of Category 6 cable.
- G. Test results and certificate of completion of testing.
- H. Operation and Maintenance Manuals, including a single line riser diagram in paper and electronic form of the installed work, including interconnection of all equipment and cabling requirements. Line diagrams required are to be submitted in the following acceptable electronic format: AUTOCAD drawing format.

1.5 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. All equipment will be UL listed and will have FCC certification where appropriate.
- C. The system will be durable and designed for continuous operation.

1.6 REFERENCES

- A. The publications listed form a part of this specification to the extent referenced. All publications to be the most current editions unless otherwise noted.
 1. National Fire Protection Association (NFPA):
 - a. NFPA-70 National Electrical Code and NYC electrical code.
 2. Electronic Industries Association (EIA):
 - a. EIA-170 Electrical Performance Standards.
 - b. EIA-310C Racks, Panels and Associated Equipment.



3. Underwriters Laboratories (UL):
 - a. UL-3044 Standards for Surveillance Closet Circuit Television Equipment.
 - b. UL-2044 Standard for Commercial CCTV Equipment and Power Supplies.
 - c. UL-1492 Standard for Audio-Video Products including CCTV Monitors.
 - d. UL-60065 Safety Requirements for Audio, Video and similar Electronic Apparatus.
4. Telecommunications Industry Association (TIA):
 - a. TIA-EIA 568-B.2.1 Transmission Performance Specifications for 4-Pair 100 Ohm Category 5e and Category 6 Cabling.
 - b. TIA-EIA 568-B Series Commercial Building Telecommunications Cabling Standard.
 - c. ISO/IEC 11801 Category 6 cable.

1.7 COORDINATION FOR DATA LAN NETWORK

- A. The IT Subcontractor must be available during LAN and video surveillance equipment (i.e. wiring switches, video cameras, server, and viewing stations) installation and testing and assist building-wide LAN computer integrator to isolate and remedy faults that may exist in the cabling system installation and cameras operation.
- B. The contractor will coordinate with the LAN/Video Surveillance IT Subcontractor and assist the integrator during two (2) four-hour instruction sessions.

1.8 SYSTEM DESCRIPTION

- A. The system will consist of standard digital color cameras, suitable for the application and the location being used. Digital video signals from the cameras will be transmitted to the nearest building telecommunication closet via unshielded twisted pair cabling (UTP). All necessary camera interface devices/equipment (such as POE Power over Ethernet), will be supplied as part of the work.
- B. Exterior and interior mounted cameras will be programmed to automatically switch from color mode (normal daylight conditions) to low-light sensitivity, black and white (nighttime usage).



PART 2 - PRODUCTS

2.1 MANUFACTURERS

A. Cameras:

1. Sole Source Manufacturer: Axis Communications stationary network cameras.
 - a. No substitutions Permitted.

B. Wiring:

1. Category 6 UTP Cable Manufacturer: Subject to compliance with requirements, provide products by one of the followings:
 - a. Comscope
 - b. Mohawk
 - c. Berk-Tek
 - d. Hubbell Premise Wiring
 - e. Panduit
 - f. General Cable
 - g. Or approved equal.

- #### C. The specification enumerates technical detail and characteristics of each camera followed by a model number. The selected camera must meet all the characteristics described in the specification.

- #### D. All servers will be eStar certified.

2.2 INDOOR AND OUTDOOR FIXED DOME CAMERA

- A. The camera will operate at 0.12-lux (at 6mm) low light sensitivity, along with automatic electronic shutter (range from 1/22500s to 2s), and automatic gain control. The camera will be capable of capturing monochrome images under .1 lux lighting conditions.
- B. The camera will be a minimum 1/3" CMOS with resolution of 1280X960 (approx. 1.3 MP).
- C. The camera will have at minimum 3 (Streams) video compression H.264 Baseline profiles and Main Profile Motion JPEG.
- D. The camera will operate to full specifications within the normal temperature range of 0-50°C (32° to 122°F).
- E. Varifocal lens will be at 6mm: 2.5 mm to 6.0 mm @ F1.2 with horizontal field of view: 105-49 and vertical field of view 80-37 degrees. All fixed cameras will be Polycarbonate transparent dome, aluminum inner camera module with capsulated electronics, IK10 impact resistance casing with aluminum base and will be PVC free.



- F. The sole-source standard camera will be Axis Model P3245/P3265-LVE Network Camera (no substitution). If model number changes due to technology advancement, latest model number will be acceptable if minimum performance criteria are still met. Sufficient time must be provided in the Contractor’s schedule to provide such mock-up testing to determine if performance can be met so as not to jeopardize the project schedule.
- G. Where indicated on the Contract Documents, provide 90-degree corner camera, short throw camera, and 4-way cameras. Cameras will meet the minimum technical requirements indicated above.

2.3 CABLING INFRASTRUCTURE FOR VIDEO, POWER, AND CONTROL SIGNALLING

- A. The test standards will apply to all connecting elements within the infrastructure system that enables the completed “link” or “channel”, inclusive of video outlets (jacks), patch panels, and connector plugs. The “link” (horizontal cabling from closet to camera) will not exceed 90 meters (295 feet) in length.

1. Category 6 Cable:

- a. Category 6 Cable will be four (4) pair, unshielded twisted pair (UTP) will be four pairs of 23 AWG solid conductors, unshielded twisted pairs, UL/NEC CMR rated with PVC jacket, cable jacketing will be lead-free, the cable will meet the following performance requirements in addition to other standard Cat 6 performance requirements:
- b. Frequency - 250 MHz.
- c. Max. Attenuation – 30.5 dB/100 meter.
- d. NEXT (Near End Cross Talk) – 48 dB minimum tolerance.
- e. ACR (Attenuation to Cross Talk Ratio) – 17.5 dB at 90 meter.
- f. The following color code will be utilized:

<u>COLOR CODE</u>	<u>PAIR NO.</u>
White/Blue and Blue/White	1
White/Orange and Orange/White	2
White/Green and Green/White	3
White/Brown and Brown/White	4

- 2. Contractor will provide UL-listed plenum rated cable (Type CMP) in environmental air spaces, including plenum ceiling.
- 3. This cable will have been tested and met all testing to be compliant to a minimum Category 6 rating, based upon TIA standards.
- 4. The conductors will be solid copper.



5. The insulation of will be PVC for non-plenum areas and 100% FEP for plenum areas.
6. This cable will possess the ratings by UL (Underwriter's Laboratory) of CMR/CMP and DP3/DP3P as applies to the cable type and insulation.
7. This cable will have been sweep tested to 250 MHz.
8. The cable will have a sheath of a bright orange color to differentiate it from other horizontal distribution cabling.
9. The cable will be Category 6 PVC jacket, or FEP plenum jacket.

B. Category 6 Patch Panel

1. The patch panel for the horizontal distribution cabling will be IDC (insulation displacement connection) in the 110 format on its backside and RJ45 interface (orange) on its front side.
2. The patch panel will have been tested and have met all testing to be compliant to a minimum Category 6 rating, based upon the TIA standards.
3. The patch panel will meet the following optimum characteristics resulting from testing:
 - a. Power Sum NEXT loss @ 250 MHz 41.5 dB
 - b. Power Sum ACR (PSACR) @ 250 MHz 14.0 dB
 - c. Connector Insertion loss @ 250 MHz 30.0 dB
 - d. Connector return loss @ 250 MHz 17.6 dB
4. The patch panel will be a 24-port, or 48-port.
5. All patch panels will have all ports completely populated with Category 6 jacks at the time of installation.
6. Provide all Patch cords.

C. Category 6-Jacks

1. The jacks will be in the configuration of RJ45 with 110 IDC cable terminations. They will meet Category 6 or greater testing standards and be color coded orange to identify as camera outlets.
2. The jacks will be Category 6 rated with a matching face plate.



D. Cable Ties

1. All cable ties used to support camera category 6 cabling will be fire-resistant Velcro type. The support rating of the cable ties used will be a minimum of twice that of the weight per unit of the cables to be supported. Cable ties will be deployed every four (4) feet minimum in horizontal cable tray and two (2) feet minimum when fastening cables vertically on wall or rack.

E. Video Frame Capture

1. This device is capable of recording both still screen captures and full motion video and comes with software compatible with standard widely used commercial product. Captures image formats are available in JPEG, BMP, TIF, and PCX.

2.4 EQUIPMENT CABINET & RACKS

- A. The server equipment cabinet will be made of individual vertical storage racks attached to each other so as to form one unit. Rack should be capable of accommodating all equipment as show on project drawings including equipment provided by IT Subcontractor. Cabinet will be 79”H X 24”W X 42”D size, floor mounted, 19” EIA mounting rails with durable powder coat paint and zinc-plated finishes. The cabinet will consist of 16-gage sturdy, ventilated steel, freestanding with lockable wheels. Provide ventilation fans, 6-point power strips, knockouts top and bottom and removable hinged locking door. The quality of cabinet and its components will be equal or better than the following:

1. Rack:

- a. Sole Source Product: APC 4 post data equipment rack.
 - 1) No Substitutions Permitted.

B. Power Strip:

1. Sole Source Product: APC UPS SMX1500RM2UNC uninterrupted power supply.
 - a. No Substitutions Permitted.

C. Cabinet:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Great Lakes
 - b. Middle Atlantic
 - c. E2
 - d. Ritual
 - e. Or approved equal.



- D. Security System (Network Video Recorder):
1. Sole Source Product: Genetec VMS Version 5.4 network video recorder.
 - a. No Substitutions Permitted.
- E. Server for video surveillance security system will include following:
1. Sole Source Product: Configurable- HPE DL 380 Gen 10 24SFFCTO, Base Model HPE DL380 Gen10 24SFF CTO Server 1868704-B21#ABA 2759 2759.
 - a. No Substitutions Permitted.
 2. Primary Processor 64-bit, server grade, 65W TDP, with 8 cores.
 3. Low profile, High Performance Heat Sink Kit capable of dissipating 105W.
 4. 16GB (2x8GB) Single Rank x8 DDR4-2666 or higher CAS-19-19-19 or lower Registered Smart Memory Kit.
 5. Gen10 (16 Internal Lanes/4GBCache/SmartCache) 12G SAS Modular Controller
 6. Gen10 12Gb SAS Expander Card Kit with Cables.
 7. 96W Smart Storage Battery (up to 20 Devices) with 145mm Cable Kit.
 8. Small form factor, Smart Array Series SAS Cable Kit.
 9. 1TB SAS 12G Enterprise SK SFF (2.Sin) SC 3yr Wty Digitally Signed Firmware HDD 2.
 10. 2TB SAS 12G Midline 7.2K SFF (2.Sin) SC 1yr Wty Digitally Signed Firmware
 11. 2TB SAS 12G Midline 7.2K SFF (2.Sin) SC 1yr Wty Digitally Signed Firmware.
 12. Ethernet 10Gbps 4-port Adapter.
 13. 500W Flex Slot Platinum Hot Plug Low Halogen Power Supply Kit.
 14. Nema 5-15P US/Call 0V 10Amp 1.83m Power Cord.
 15. 2U Small Form Factor Ball Bearing Rail Kit.
 16. 2U Cable Management Arm for Ball Bearing Rail Kit.
 17. Advanced 1-server License with 1yr Support .



18. 2U Bezel Kit.

F. Camera Licensing:

1. Genetec License product number needed is: GSC-Om-E-I C (Enterprise License, 1 for each camera installed).

G. Network Switch:

1. Sole Source Product: Cisco Catalyst 9300 Series Switch, Model: C9300-48P.

a. No Substitutions Permitted.

2.5 MOUNTING HARDWARE

A. The following are the kits for mounting the specified Sole-source Axis Cameras:

1. Sole Source Product: AXIS T94T02D Pendant Kit (for Short Throw cameras) - AXIS T94T02D.

a. No Substitutions Permitted

2. Sole Source Product: Pendant Mounting Kit-Axis (One per 4 way) - T94N01D.

a. No Substitutions Permitted

3. Sole Source Product: NPS Conduit Adapter (One per Interior Fixed-wall & ceiling mount) - 5503-721 (4 Pack), 5503-711 (1 Pack).

a. No Substitutions Permitted

4. Sole Source Product: ACI Conduit Adapter U-Shape (for Gooseneck, parapet, pendant mounts & Short throw cameras) - 5505-191 (5 pcs).

a. No Substitutions Permitted

5. Sole Source Product: AXIS ACI Conduit Adapter for 4way camera (interior surface mount) - ACI adapter (3/4").

a. No Substitutions Permitted

6. Sole Source Product: AXIS TQ9601 Conduit Top Box (One for each corner cameras) - AXIS TQ9601.

a. No Substitutions Permitted



7. Sole Source Product: AXIS T94S01P Conduit Back Box (for ST camera wall mount-surface conduit) - AXIS T94S01P.
 - a. No Substitutions Permitted
8. Sole Source Product: AXIS T91B51 Ceiling Mount (5") - AXIS T91B51.
 - a. No Substitutions Permitted
9. Sole Source Product: AXIS T91B52 Extension Pipe (12") - AXIS T91B52.
 - a. No Substitutions Permitted
10. Sole Source Product: AXIS T91H61 Wall Mount (14") - AXIS T91H61.
 - a. No Substitutions Permitted
11. Sole Source Product: AXIS T91D61 Wall Mount (9") - AXIS T91D61.
 - a. No Substitutions Permitted
12. Sole Source Product: AXIS T91A64 Corner Bracket - AXIS T91A64.
 - a. No Substitutions Permitted

2.6 WIRING

- A. Each fixed camera must be located within 328 feet (channel length) of the wiring closet. It will be provided with one dedicated 4 Pair UTP (Unshielded Twisted Pair), Category 6, 23 AWG cable; two pairs to be used for power, one pair for video and one pair for control.
- B. All wiring (Category 6 cable, control, low voltage and power) will be installed in metal raceway or cable tray. Wiring in cable tray will be plenum rated. All power wiring will be installed according to National Electrical Code. Category 6 wiring within the telecommunications rooms will not be enclosed in metallic conduit between the IPDVS server cabinet and the cable entry point.
- C. No wiring will be exposed, except in the console or cabinet, where the connections to the individual components are made.
- D. Splicing may only be accomplished with approved connectors, and only in approved splice boxes. All splicing points must be clearly defined in the wiring diagram. The Category 6 cable may not be spliced.
- E. On installations exterior to building(s), all splices will be made in weatherproof NEMA 4 Rated boxes, using gel-type insulation displacement or solder type.



PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION

- A. Permanently fasten all the equipment as indicated on the drawings in an approved manner, with all necessary hardware.
- B. Provide all conduits, wiring, outlet boxes, and all accessories necessary to complete the wiring to all components of the system. All connections will be made complete and tested.

3.3 GROUNDING

- A. All Video Surveillance racks/cabinets will be grounded according to manufacturer's instructions. Contractor will provide a #6 stranded copper bonding conductor from each rack/cabinet to the "Grounding Bus bar" in the telecom room or at main water pipe.

3.4 LABELING OF CABLES, PATCH PANELS AND CAMERAS:

- A. Cables are to be tagged at both station and closet ends with an alpha and four-digit number beginning with C1-01 or E1-01 ("C" = Interior Camera, "E" = Exterior Camera "1" = floor, "01" = camera number) and increasing in increment of 1. It will be a sticky tag, wrapped and secured to the cable behind both the camera jack faceplate and patch panel. Exterior cameras will utilize only an "E1" prefix for cameras installed no higher than the second story height or an "ER" prefix to designate roof mounted cameras.
- B. All cable and termination labels are unique identifiers, which will be permanent and comply with TIA/EIA 606 labeling standards. At the closet end, the patch panel termination is labeled at the corresponding panel port with two points of information concerning the far end and each separated by a dash:
 - 1. Camera number at the other end of the cable.
 - 2. Cable number (four digits).
- C. At the camera side, the cable is labeled with two points of information pertinent to the cable far end and each separated by a dash:
 - 1. Room number from the rack or cabinet.
 - 2. Cable number on the jack faceplate (four digits).



3. Exterior cameras will utilize only an “E1” prefix for cameras installed no higher than the second story height or an “ER” prefix to designate roof mounted cameras.
- D. The cable numbers will be in sequence for each closet. For example, if there are 5 camera cables originating from first floor Video Surveillance Rack-1 (VSR-1), then the cable numbers will be C1-01 thru C1-05. The second floor closet would start over from C2-06.
- E. In addition, every patch panel within the building will be identified with a unique 2-digit number permanently stamped or labeled on the front middle top of each patch panel.
- F. In addition, every receptacle for the dedicated IPDVS circuits will be labeled with the power panel identifier and circuit breaker number energizing the receptacle.

3.5 TESTS AND CLOSEOUT DOCUMENTATION

A. Tests

1. Wiring will be tested from camera to cable termination at the patch panel prior to acceptance. The test results will meet requirements Category 6 cable or greater.
2. Cameras will be performance tested after the network is operational.
3. Remote site access will be tested after the network remote access is operational.
4. AI will be available for the entire system operational test, conducted by the IT Subcontractor with participation of the QPL Security Unit. Final sign off will be by the Commissioner, in the presence of the QPL Security Unit.
5. Cameras will be bench tested for video, power, and control prior to installation using a portable field test monitor, 110VAC/24VAC plug-in power transformer, and a RS485 control signal generation unit.
6. The UTP cabling installation will be tested by the installer prior to Acceptance from jack-to-patch panel (Permanent Link Testing) to Category 6 performance standard EIA/TIA 568-B.2-1 using approved field test equipment and methodology as per the above standard.
 - a. All tests are to be performed after installation of the complete system.
 - b. The equipment indicated above represents test equipment utilized to develop this test specification. Substitute test equipment may be used only upon approval by the Commissioner provided the same level and quality of testing is performed.
 - c. All tests are to be performed on the following aspects of data cabling system:



- 1) From each telecommunication closet's patch panel to each and every camera termination (camera cable).
- d. Tests to be performed:
- 1) The test equipment will be configured to test the maximum transmission performance for which the cable is rated (i.e. for category 6 cable is 1 to 250 Megahertz per second).
 - 2) In accordance with TIA Standards for Category 6 (Full Duplex Parallel Transmission) cabling, the following minimum test information will be provided for each permanent link:
 - a) Wiremap: Verify Continuity on all 8 conductors and assure no opens, crosses, splits or shorts to another conductor or ground. When applicable, report continuity of shield on screen twisted pair.
 - b) Length: Total length from LDF to camera termination: The cable length will conform to the maximum distance set forth in the TIA/EIA 568-A-5 standard (i.e. 90 M or 295 feet).
 - c) Propagation Delay and Delay Skew: Will be measured and reported. Propagation Delay will not exceed 536 nanoseconds and Delay Skew will be within 45 nanoseconds between the fastest and slowest conductor pairs.
 - d) Near End Cross Talk (NEXT) or Noise: Sweep and Measure (1 MHz to 250 MHz) each pair combination. Limits will not be less than 48 dB at 250 Mhz. on any combination.
 - e) Attenuation: (dB loss): Sweep and Measure each cable for proper attenuation according to TIA specifications at 1 MHz to 250 Mhz. Limit will not be less then +17.5db at 250 MHz.
 - f) Return Loss or Echo: Sweep and Measure each cable for minimum return loss according to TIA specifications from 1 MHz to 250 Mhz. Limit will not be greater then -27.7 dB at 250 MHz.
 - g) Equal Level Far End Cross Talk or ELFEXT: Sweep and Measure each cable for a minimum ELFEXT according to



TIA specifications from 1 MHz to 250 Mhz. Limit will not be greater than -29.9db at 250 MHz.

- h) PowerSum Near End Cross Talk or PSNEXT: Sweep and Measure each cable for a minimum PSNEXT according to TIA specifications from 1 MHz to 250 Mhz. Limit will not be greater than -49.2 dB at 250 MHz.
 - i) PowerSum Elfext or PSELFEXT: Sweep and measure each cable for a minimum PSELFEXT according to TIA specifications from 1 MHz to 250 Mhz. Limit will not be greater than -42.6 dB at 250 MHz.
- e. All test results will be provided in the following formats:
- 1) Printed (3 bound copies).
 - 2) CD ROM (Windows):
 - a) The test equipment specified has the ability to record test results to electronic memory for viewing and printing later utilizing free Microtest ScanLink software. Submitting electronic test results is preferred in lieu of handwritten forms. In no instance will test data be accepted in a spreadsheet or text-based reporting software. This information will be maintained as a permanent record for the purposes of maintenance and restorations.
- f. All tests will be coordinated with and performed in the presence of the Commissioner. Any defects or deficiencies discovered in any of the cabling system work will be indicated on the test report and be corrected.
- g. Upon completion of testing and problem resolution, all cables tested are to be 100% error free.

B. Closeout

- 1. Contractor will submit the following:
 - a. Instruction on operation and maintenance.
 - b. Provide floor plans and single line riser diagram in digital format acceptable to the Commissioner.
 - c. Manufacturer's cut sheets on all equipment and components of the Cabling system. All devices, components, equipment and wiring requirements will be shown on riser diagrams, individual opening elevations, and point-to-point wiring diagrams. The submittals will show proposed locations for all material. Include a manual with specific descriptions for each openings



operation written in layman terms. A written description of the theory of operation of the system will be included. Supply six copies of the entire submittal.

END OF SECTION 28 20 00



SECTION 28 31 00 - INTRUSION DETECTION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract (City of New York Standard Construction Contract).

1.2 SUMMARY OF WORK

- A. The work will include all labor, equipment, materials and necessary services to provide a complete addressable intrusion alarm system. The system will be microprocessor based, with addressable interface modules, data gathering panels and supervised wiring, consisting of, but not be limited to the following:
 1. Central Control Panel with Digital Communicator and battery back-up.
 2. Remote alphanumeric consoles with audible and visual annunciation and control.
 3. Siren driver and power supplies with battery back-up.
 4. Wide angle, long range and very long-range passive infrared motion detectors.
 5. Door contacts.
 6. Alarm sirens.
 7. Alarm strobe.
 8. Central station connection.
 9. Panic switches.
 10. Dry contacts for connection to other systems.

1.3 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 01 33 00 "Submittal Procedures".

1.4 ACTION SUBMITTALS

- A. Provide a list of all equipment and components to be provided.
- B. Provide a description of the operation of the system, similar to that provided in Part 2 of this Section, highlighting any and all exceptions, variances.
- C. Provide system Ampere load (during both normal and alarm conditions) and time calculations to substantiate compliance (battery Ampere-Hour capacity) with battery back up power requirements.
- D. Provide manufacturer's printed product data, catalog pages and descriptions of any special installation procedures.
- E. Data from the manufacturer producing the system, documenting that:



1. Intrusion detection devices that receive their power from the initiating circuit of the control unit are listed for use with the control unit.
2. The system is UL listed.
3. The batteries proposed for use are compatible with the battery charger.

F. Provide a description of service, supervision, testing and instruction methods proposed.

G. Provide Shop Drawings as follows:

1. Large-scale drawing, including actual dimensions, of the intrusion alarm control panel(s) and all ancillary equipment with zones.
2. Single line riser diagram showing all equipment and type, all connections and number and size of all conductors.

H. Provide a schedule, for review and approval, of the proposed label for each auxiliary control switch at the intrusion alarm control panel.

I. Provide a schedule, for review and approval, of the proposed English text to be provided for each addressable point.

J. Provide samples of equipment as requested.

1.5 INFORMATIONAL SUBMITTALS

A. Certificate of compliance with the Quality Assurance and Maintenance requirements.

B. Certificate of filing with NYC Bureau of Electrical Control.

C. Test results and certificate of completion of testing.

1.6 CLOSEOUT SUBMITTALS:

A. Operating and maintenance manuals: For installed program, including access codes, a riser diagram approx. 9" x 12" in frame with glass and two (2) directories. Provide in electronic format and hard copy.

1.7 APPLICABLE LISTINGS, CODES AND STANDARDS:

A. All equipment will be UL listed for its intended use.

1. UL 1076 Burglar/Intrusion alarm.

B. The 2014 NYC Building Code.



1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. A fully equipped service organization capable of guaranteeing response time within 8 hours of receipt of a service call will be available 24 hours a day, 7 days a week to service the completed Work.

1.9 RELATED WORK

- A. The contractor will coordinate work in this section with all related trades. Work and/or equipment provided in other sections and related to the intrusion alarm system will include, but not be limited to:
 - 1. Connection to the manager's office through a dedicated telephone line.
 - 2. Installation of door contacts in door bucks.

1.10 SYSTEM DESCRIPTION

- A. The system will perform as described herein. All equipment, components and labor required will be provided by the contractor.
- B. Each detection device will be capable of being shunted from the alarm system prior to arming or turning "ON" the system.
- C. The alarm system will utilize digital communicators compatible with Central Station receiving equipment to transmit open/close (13/12) signals, burglary signal (04), shunt signal (05), panic (silent alarm) (08) signal and system problem signal (AC Loss, Low Battery) over telephone line. Coordinate with the Commissioner as to the correct building identification number to be entered in the system.
- D. With the system armed, any detector not shunted will activate the alarm. Code 04, will be transmitted to the Central Station. Alarm devices that are activated (sirens and strobes) will operate for an adjustable period not less than five (5) or more than fifteen (15) minutes. After that time, the system will reset and if all detectors, magnetic switches and sensors are in the closed position the system will be "armed" against further intrusions. An opening in any of the sensing devices will cause the system to again go into alarm.
- E. When the alarm system is turned "OFF" (unarmed state) the alarm system will transmit an open signal, code 13, to the Central Station. If the alarm was activated while in the armed state a restored signal, code 00, will also be transmitted simultaneously with the open signal.
- F. The primary means of intruder detection will be by means of passive infrared detectors (equipped with protective guards) and door contacts.
- G. When a panic switch is activated, a silent alarm, code 08, will be transmitted to the Central Station. When the panic switch is reset, a restored signal, code 00, will be transmitted. NO alarm devices will operate when the panic switch is activated. The panic switch will also operate as a 24-hour independent zone and not be dependent on the armed or unarmed state of the intrusion alarm system.
- H. Entrance and Exit Delay:



1. For exiting from the building: The zones connected into the entrance/exit delay zone will not be capable of initiating an alarm until the specified time period after the system has been activated, adjustable for 10 seconds to 120 seconds.
2. Movement detected by other motion detectors or sensors not in the delay zone will initiate an alarm immediately during the delay period. The delayed zones will be "armed" to detect intruders after the delay period has ended.
3. For entrance to the building: Entering the building-through the delay zone location should result in an alarm condition if the system is not deactivated before the delay period has ended. Entering the building at locations not within the delay zone that results in activation of motion detectors or opening sensor(s) before the system has been deactivated, will result in an alarm condition.

I. System Partitioning:

1. The system will be partitioned so that the Computer Rooms and exterior doors are independently and individually controlled.
2. Prior to programming the system, coordinate with the City of New York as to the partitioning/zoning requirements for the building.

J. Telephone Jack, and Programming of Controls.

1. Coordinate the installation of a security telephone jack (RJ31-X) with the Telephone service provider. Jack will be mounted in a lockable enclosure adjacent to the Control Console in the manager's office. The Contractor is responsible for coordination of programming and testing of signals with the Security Unit. The Contractor will provide all required wiring between the digital communicator and the telephone jack.

K. Passive infrared detectors will be wired in parallel, each as a separate zone with a unique address. If the zone capability of the main control panel is exceeded by having each detector as a separate zone, then multiple detectors in the same space may be wired as a zone. Detectors will be powered such that the LED will be lit when the system is active. Provide polling loop expander modules as required to provide the required amperage and multiple zones.

L. Door switches for a given entry with multiple doors may be wired as a zone.

M. Remote point modules (RPM) will only be used when four wire passive infrared detectors are provided. Remote Point Modules will be used for the door contact zones. A Remote Point Module (RPM) is required for every two four wire passive infrared detectors and every zone of door contacts in order to maintain individual addresses of each detector and each door entry zone.

1.11 LOCATION OF EQUIPMENT

A. Provide the following in the manager's Office unless indicated otherwise:

1. Control/Communicator and Alphanumeric Console outside Control/Communicator cabinet.
2. All necessary power supplies, siren drivers and transformers.



- B. Motion detecting devices will be mounted at 8'-0" above floor, unless otherwise specified on Drawings. The remote point module for the door contact will be housed in a metal junction box at 8'-0" adjacent to the device.
 - 1. Detectors with protective guards will be located at all locations as indicated on the Drawings.
- C. Main Entrance Vestibule: Provide Alphanumeric Console housed in metal security cabinet.
- D. Exterior Alarm Devices:
 - 1. Provide audible and visual alarm devices on exterior wall mounted minimum 20 feet above grade on the main entrance at 2nd floor level.
- E. Interior Alarm Device:
 - 1. Provide audible alarm devices at 8'-0" above floor where shown on Drawings.
- F. Panic Switches:
 - 1. Provide panic switch on wall directly behind facilities' office, at 3'-0" above finished floor.
- G. Magnetic contact switches will be located at all exterior doors, doors to utility spaces such as Mechanical and server room, and at all other locations as indicated on the Drawings.

PART 2 - PRODUCTS

2.1 GENERAL

- A. The Contractor will provide the following products where shown on the Drawings or where called for in the Specifications. Each of these products will be provided with all components, mounting brackets outlet boxes etc., necessary for a complete and fully functioning Intrusion Alarm System.

2.2 MANUFACTURER

- A. Sole Source Product: Ademco Vista 128BPT security system alarm panel.
 - 1. No Substitutions Permitted.

2.3 CONTROL/COMMUNICATOR SYSTEM

- A. The Control/Communicator System will be microprocessor based with built-in digital communicator complete with 12-volt rechargeable stand-by battery system with the flexibility of up to 8 independent partitions.
- B. The Control/Communicator will be housed in a key locked UL, attack resistant) metal cabinet with stand-by battery system.



- C. The Control/Communicator will have up to 128 individually programmable supervised zones with selectable reporting features, selectable loop type for each zone and selectable bypass capability; 24-hour selectability and selectable perimeter instant, perimeter delay, interior instant and interior delay.
- D. The Control/Communicator will have non-volatile EE-PROM memory storage to retain all information when there is total AC power loss and battery failure.
- E. Built-in communicator will be compatible to operate and transmit distinct codes for opening and closing signals, multiple alarm signals and 128 zones reporting to Central Station. Unit will have selectable transmission formats for use with Central Station receivers currently in use.
- F. All components of control/communicator will be of the same manufacturer for compatibility.
- G. The nine wired zones of the main control/communicator will be able to expand to 128 individually annunciated zones using polling loop with remote point module (RPM), polling loop expander module (PLEM) and interface module.
- H. The system will have event logging capabilities to record various events in a history log with up to 224-event capacity. Each event will be recorded with time/date in any one or combination of the following categories:
 - 1. System Events Only.
 - 2. All Events.
 - 3. Alarms and Restores.
 - 4. Troubles and Restores.
 - 5. Openings/Closings.
 - 6. Bypasses.
 - a. The system will be able to display the events on the control console, or print the events on a standard serial printer.
- I. The alphanumeric console will have 32-character display and will perform programming functions. The keys will be continuously backlit and the speakers will provide audible beeps to indicate system status, entry/exit delay and other alarm conditions.
 - 1. Manufacturer:
 - a. Sole Source Product: Honeywell 4939SN-WH door contacts.
 - 1) No Substitutions Permitted.
- J. The board will have dry contacts for connection of other systems that can be programmed for an alarm message.

2.4 DEVICES

- A. Long Range Passive Infrared Detector:



1. Long range passive infrared detector will be for surface or corner mounting in high impact plastic housing for one hundred (100) feet maximum range for motion detection with red alarm status LED, enable/disable switch, lockdown zone, automatic temperature compensation and an operating temperature from 14°F to 122°F. The PIR has a built-in Remote Point Module with serial number addressing capability in addition to DIP switch address.
 - a. Sole Source Product: Bosch PIR DS9360 motion sensor.
 - 1) No Substitutions Permitted.
- B. Wide Angle Passive Infrared Detector:
 1. Wide angle passive infrared Detector will be for surface or corner mounting in high impact plastic housing for fifty (50) feet maximum range for motion detection with red alarm status LED, enable/disable switch, lockdown zone, automatic temperature compensation and an operating temperature from 14° F to 122°F. The PIR has a built-in Remote Point Module with serial number addressing capability in addition to DIP switch address. Provide matching brackets.
 - a. Sole Source Product: Bosch PIR DS9360 motion sensor.
 - 1) No Substitutions Permitted.
- C. Glass Break Detector:
 1. Glass break detectors will be for are smart devices that are highly effective at detecting a breaking glass window. Glass break sensors will be audio sensors that trigger an alarm when they detect the sound of glass being shattered.
 - a. Sole Source Product: Interlogix 5R-5812NT glass break.
 - 1) No Substitutions Permitted.
- D. Detector Guards:
 1. Guards will be fabricated from heavy 9 gauge wire that will provide protection without interfering with the operation of the detector. The guard will have a white heavy-duty corrosion resistant polyester coating to protect against rust and corrosion. Coated wire will be 5/8" on center. Overall dimensions of the guard will be as required to cover the specific detector utilized. Contractor will provide wire guards for each detector.
- E. Magnetic Contact Switches:
 1. Each magnetic contact switch will consist of two separate parts, completely enclosed in a dust-free casing and capable of being recessed mounted in door or window. When the two parts are installed adjacent to each other, the magnetic switch is held in the closed position.
 2. In new construction, contact switch will be flush mounted in upper frame of door and approximately in the center of the opening. Frame and door will be drilled to receive switch parts.



- F. Panic Switch: Panic switch will be surface mounted type activated by lever that locks in and displays an indicator LED when pulled. The switch will reset when lever is in closed position.
1. Sole Source Product: United Security Products HUB2S4 panic button.
 - a. No Substitutions Permitted.
- G. Signal Control Power Supply:
1. Provide a signal control power supply consisting of 10A power supply/charger (suitable for charging lead acid battery (gel type), including AC and battery supervision with LED indication on the front panel, isolated trigger input, trigger LED indicator on front panel, five individual interior speaker outputs with common driving circuit, one exterior speaker output (circuit breaker protected), six individual silence switches that provide visual indication of position, circuit breaker protected strobe output, resettable 12 VDC panic output with front panel indication, four individually fused motion detector outputs. Unit will be supplied with transformer, enclosure and cabinet lock with ample space for 2x12AH batteries for back up. Enclosure size will be 15.5”H x 12.0”W x 4.5”D.
 2. Power supplies and transformers will be in a hinged and ventilated metal box to power alarm devices, control panel, communications, etc. Transformers and power supplies will be wired to a terminal board, in accordance with requirements of NYC Electrical Code. Power supplies will be multi-output modules with integral transformers.
- H. Alarm Devices:
1. Exterior Alarm:
 - a. Siren Alarm device will be a 40 watt speaker in a metal weather resistant cabinet.
 - b. The speaker enclosure will have a tamper switch that will be wired back to the control panel to indicate tampering or removal of the unit.
 - c. Manufacturer:
 - 1) Sole Source Product: Altronic PR-DS 301SET outdoor siren.
 - a) No Substitutions Permitted.
 2. Interior Alarm: Siren will be 30 watt, 8 ohm speaker mounted in a corrosion and tamper resistant stainless steel.
 - a. Manufacturer:
 - 1) Sole Source Product: Doberman ATV indoor siren.
 - a) No Substitutions Permitted.



I. Alarm Device Switches:

1. Alarm device switches will be double pole, double throw, and will be mounted on door of signal control power supply cabinet. "Lamacoid" white core Bakelite engraved nameplate will be mounted below each switch to indicate alarm device it controls. Each switch will control output circuit of one (1) audible alarm annunciator. A visual indication of the condition (bypass or normal) of the switches will be provided.

2.5 WIRING

A. General

1. The Contractor will provide all wiring for the Intrusion Alarm System to make all items completely operational. Contractor will provide UL-listed plenum rated cable in environmental air spaces including plenum ceiling.
2. All wiring installations for intrusion alarm will comply with the NYC Electrical Code. Power branch circuit wiring will be provided with over current protection as called for in the Code.
3. All terminations of wiring in panels will be made with insulated spade lugs.
4. Wiring inside all panels will be labeled, routed neatly and tied with cable straps.
5. Round fiber tags marked in black ink will be tied around wiring inside zone expander, control panel, and digital communicator, to indicate function and connection point.

B. Types of Wiring

1. Wiring from control/communicator to detectors will be unshielded #16 AWG, copper, vinyl insulated, twisted pair and UL listed. All other system wiring will be #16 AWG conductors except where indicated on drawings. Power wiring will be in accordance with other sections of this specification. All wiring will be imprinted by the manufacturer with the manufacturer's name, type of insulation, wire gage, UL listing, etc.

C. Interwiring:

1. Provide all power wiring required to transformer cabinet/power supplies.
2. Provide wiring from transformers to the respective connection points on the control/communicator panel, and all other equipment required.
3. Provide wiring from detector to specified zone of control/communicator panel.
4. Provide wiring from magnetic contact switches and/or roll-up door contacts to specified zone of control/communicator panel.
5. Provide wiring from Alphanumeric Consoles to the control/communicator panel.
6. Provide wiring for Central Station Monitoring of the entire system. Wiring will be installed so control/communicator transmits a "closed" or "open" signal when the console is turned on or off, respectively, and transmits an alarm signal when a zone is activated. A shunt signal will also be transmitted when the system is armed when one or more zones are left in the off position.
7. Provide wiring between strobe, all power supplies and siren speakers.
8. Provide telephone conductors in raceway between digital communicator(s) and RJ31X jack. Jack to be provided by Telephone service provider.
9. Provide wiring between panic switches and control/communicator panel.



10. The Division 28 Subcontractor will run a pair of conductors (AWG#16) in parallel from each boiler and connect it to the Intrusion Alarm Panel. The Intrusion Alarm Panel input will be programmed.

C. Grounding

1. All alarm equipment will be properly grounded according to manufacturer's instructions. Contractor will furnish and install a continuous #10 copper conductor in raceway properly grounded to the cold water service pipe at the building ground bus bar. Secure the conductor with an approved grounding clamp.

2.6 MISCELLANEOUS REQUIREMENTS

- A. Keypad cabinet and related cabinets with key lock, will be keyed alike using lock set for 1393 key.
- B. Contractor will clearly identify the last detector or magnetic contact switch in each zone, which contains the end-of-line resistor.
- C. Lock box for Alphanumeric Console stations will be NEMA 1 enclosure 10" x 10" x 4". Cylinder lock set and key will be for 1393 key.
- D. Contractor will provide two (2) directories; one in glass frame adjacent to alarm controls, and another inside the remote keypad cabinet. Directory will list each zone by number, description of area covered, and type of detectors.
- E. Contractor will provide a riser diagram approx. 9" x 12" in frame with glass cover mounted adjacent to alarm controls and properly secured to the wall. End of line resistors will be clearly indicated on riser diagram.
- F. Contractor will perform all programming of the control panel in accordance with specifications and manufacturers directions. The system will have a programmable chime option which provides a three-beep tone at the alphanumeric console whenever a perimeter door is violated while the system is disarmed. This chime feature will provide the Security with the ability to monitor perimeter doors from a Security Station. The chime mode will be turned on/off directly through the keypad by entering a valid security code.
- G. Contractor will provide one electronic copy and one hard copy of the system program including all access codes.

PART 3 – EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 INSTALLATION

- A. Install intrusion alarm system as described herein and as shown on the Drawings.
- B. Arrange for and install all equipment required to connect the intrusion alarm system into the Telephone Network for connection to the Central Station.



3.3 FINAL WALK TEST AND SUBMISSION

- A. After completing the local programming, the Contractor will completely walk test each device and verify local operation of the system. The contractor will forward an affidavit of the completed test to the Commissioner.
- B. Thereafter, the Commissioner, the contractor and manufacturers' representative will completely walk test each device and test operation of system including transmission to Central Station.
- C. Contractor will submit the following:
 - 1. Instructions on operation of the entire system. Provide a minimum of two-two hour sessions.
 - 2. Certificate of filing for Bureau of Electrical Control approval.
 - 3. Copy of riser diagram.
 - 4. Two (2) set of all keys plus two spare keys for control/communicator panel.

3.4 INSTRUCTING

- A. The Contractor will arrange with the manufacturers of the equipment to instruct the City of New York's personnel in the proper operation care of the intrusion alarm system. In addition, the Contractor will provide the following:
 - 1. The Contractor will provide instruction manuals for all persons designated, on the operation of the system installed.
 - 2. A complete schematic diagram (in triplicate) of the intrusion alarm equipment, as installed, showing all connections to units and parts thereof, both internal and external, will be delivered to the City of New York.
 - 3. A set of simple operating instructions for operation and care of the intrusion alarm equipment will be mounted at a location selected by the Commissioner.
 - 4. Two (2) two-hour instruction sessions with the City of New York's personnel; first after system acceptance, and after 6 months as arranged with the Commissioner to provide a follow-up session.

END OF SECTION 28 31 00

SECTION 284621.11 - ADDRESSABLE FIRE-ALARM SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

- A. Section Includes:
1. Addressable fire-alarm system.
 2. Fire-alarm control unit (FACU).
 3. Manual fire-alarm boxes.
 4. System smoke detectors.
 5. Duct smoke detectors.
 6. Carbon monoxide detectors.
 7. Heat detectors.
 8. Fire-alarm notification appliances.
 9. Fire-alarm remote annunciators.
 10. Fire-alarm addressable interface devices.
 11. Digital alarm communicator transmitters (DACTs).
 12. Wiring
 13. Conductor and Raceways
 14. Fire alarm fused disconnected switch

1.3 DEFINITIONS

- A. DACT: Digital alarm communicator transmitter.
- B. EMT: Electrical metallic tubing.
- C. FACU: Fire-alarm control unit.
- D. High-Performance Building: A building that integrates and optimizes on a life-cycle basis all major high-performance attributes, including energy conservation, environment, safety, security, durability, accessibility, cost-benefit, productivity, sustainability, functionality, and operational considerations.
- E. Mode: The terms "Active Mode," "Off Mode," and "Standby Mode" are used as defined in the 2007 Energy Independence and Security Act (EISA).
- F. PC: Personal computer.

G. Voltage Class: For specified circuits and equipment, voltage classes are defined as follows:

1. Control Voltage: Listed and labeled for use in remote-control, signaling, and power-limited circuits supplied by a Class 2 or Class 3 power supply having rated output not greater than 150 V and 5 A, allowing use of alternate wiring methods complying with NFPA 70, Article 725.
2. Low Voltage: Listed and labeled for use in circuits supplied by a Class 1 or other power supply having rated output not greater than 1000 V, requiring use of wiring methods complying with NFPA 70, Article 300, Part I.

1.4 SEQUENCING AND SCHEDULING

- A. Existing Fire-Alarm Equipment: Maintain existing equipment fully operational until new equipment has been tested and accepted. When new equipment is installed, label it "NOT IN SERVICE" until it is accepted. Remove labels from new equipment when put into service, and label existing fire-alarm equipment "NOT IN SERVICE" until removed from building.
- B. Equipment Removal: After acceptance of new fire-alarm system, remove existing disconnected fire-alarm equipment and wiring.

1.5 SUBMITTAL PROCEDURES

- A. Refer to DDC General Conditions Section 013300 "Submittal Procedures".

1.6 ACTION SUBMITTALS

- A. Approved Permit Submittal: Submittals must be approved by the NYC Fire Department prior to submitting them to Commissioner.
- B. Product Data: For each type of product, including furnished options and accessories.
 1. Include construction details, material descriptions, dimensions, profiles, and finishes.
 2. Include rated capacities, operating characteristics, and electrical characteristics.
- C. Shop Drawings: For fire-alarm system.
 1. Comply with recommendations and requirements in "Documentation" section of "Fundamentals" chapter in NFPA 72.
 2. Include plans, elevations, sections, and details, including details of attachments to other Work.
 3. Include details of equipment assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and locations. Indicate conductor sizes, indicate termination locations and requirements, and distinguish between factory and field wiring.
 4. Annunciator panel details.
 5. Detail assembly and support requirements.
 6. Include voltage drop calculations for notification-appliance circuits.
 7. Include battery-size calculations.
 8. Include input/output matrix.

9. Include written statement from manufacturer that equipment and components have been tested as a system and comply with requirements in this Section and in NFPA 72.
 10. Include performance parameters and installation details for each detector.
 11. Verify that each duct detector is listed for complete range of air velocity, temperature, and humidity possible when air-handling system is operating.
 12. Provide program report showing that air-sampling detector pipe layout balances pneumatically within airflow range of air-sampling detector.
 13. Provide control wiring diagrams for fire-alarm interface to HVAC; coordinate location of duct smoke detectors and access to them.
 - a. Show critical dimensions that relate to placement and support of sampling tubes, detector housing, and remote status and alarm indicators.
 - b. Show field wiring and equipment required for HVAC unit shutdown on alarm.
 - c. Locate detectors in accordance with manufacturer's written instructions.
 - d. Show air-sampling detector pipe routing.
 14. Include voice/alarm signaling-service equipment rack or console layout, grounding schematic, amplifier power calculation, and single-line connection diagram.
 15. Include floor plans to indicate final outlet locations showing address of each addressable device. Show size and route of cable and conduits and point-to-point wiring diagrams.
- D. Engineering Services Submittal: For notification appliances and smoke and heat detectors, in addition to submittals listed above, indicate compliance with performance requirements and design criteria, including analysis data signed and sealed by the New York State licensed professional engineer responsible for their preparation.
1. Drawings showing location of each notification appliance and smoke and heat detector, ratings of each, and installation details as needed to comply with listing conditions of device.
 2. Calculations: Calculate requirements for selecting spacing and sensitivity of detection, complying with NFPA 72. Calculate spacing and intensities for strobe signals and sound-pressure levels for audible appliances.
 3. Indicate audible appliances required to produce square wave signal per NFPA 72.

1.7 INFORMATIONAL SUBMITTALS

- A. Field quality-control reports.
- B. Qualification Statements: For Installer.
- C. Sample Warranty: Submittal must include line item pricing for replacement parts and labor.

1.8 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For fire-alarm systems and components to include in emergency, operation, and maintenance manuals.
 1. In addition to closeout requirements as required by DDC General Conditions, include the following and deliver copies to the NYC Department of Buildings:

- a. Comply with "Records" section of "Inspection, Testing and Maintenance" chapter in NFPA 72.
- b. Provide "Fire-Alarm and Emergency Communications System Record of Completion Documents" in accordance with "Completion Documents" Article in "Documentation" section of "Fundamentals" chapter in NFPA 72.
- c. Complete wiring diagrams showing connections between devices and equipment. Each conductor must be numbered at every junction point with indication of origination and termination points.
- d. Riser diagram.
- e. Device addresses.
- f. Air-sampling system sample port locations and modeling program report showing layout meets performance criteria.
- g. Record copy of site-specific software.
- h. Provide "Inspection and Testing Form" in accordance with "Inspection, Testing and Maintenance" chapter in NFPA 72, and include the following:
 - 1) Equipment tested.
 - 2) Frequency of testing of installed components.
 - 3) Frequency of inspection of installed components.
 - 4) Requirements and recommendations related to results of maintenance.
 - 5) Manufacturer's user training manuals.
- i. Manufacturer's required maintenance related to system warranty requirements.
- j. Abbreviated operating instructions for mounting at FACU and each annunciator unit.

1.9 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."
- B. Installer Qualifications: Installer's personnel must be trained and approved by manufacturer for installation of units required for this Project.

1.10 WARRANTY

- A. Special Warranty: Manufacturer agrees to repair or replace fire-alarm system equipment and components that fail because of defects in materials or workmanship within specified warranty period.
 1. Warranty Period: Five years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 ADDRESSABLE FIRE-ALARM SYSTEM

- A. Manufacturers: Subject to compliance with requirements, provide Addressable Fire-Alarm System by Edwards Engineering System Technology or comparable systems by one of the following:
 1. Gamewell-FCI.

2. Notifier.
3. Or approved equal.

B. Description:

1. Noncoded, UL-certified addressable system, with multiplexed signal transmission and horn-and-strobe notification for evacuation.

C. Performance Criteria:

1. Regulatory Requirements:

- a. Fire-Alarm Components, Devices, and Accessories: Listed and labeled by a NRTL in accordance with NFPA 70 for use with selected fire-alarm system and marked for intended location and application.

2. General Characteristics:

- a. Automatic sensitivity control of certain smoke detectors.
- b. Fire-alarm signal initiation must be by one or more of the following devices and systems:

- 1) Manual stations.
- 2) Heat detectors.
- 3) Smoke detectors.
- 4) Duct smoke detectors.
- 5) Carbon monoxide detectors.

c. Fire-alarm signal must initiate the following actions:

- 1) Continuously operate alarm notification appliances.
- 2) Identify alarm and specific initiating device at FACU, and remote annunciators.
- 3) Transmit alarm signal to remote alarm receiving station.
- 4) Unlock electric door locks in designated egress paths.
- 5) Release fire and smoke doors held open by magnetic door holders.
- 6) Activate alarm communication system.
- 7) Switch HVAC equipment controls to fire-alarm mode.
- 8) Close smoke dampers in air ducts of designated air-conditioning duct systems.
- 9) Activate emergency lighting control.
- 10) Record events in system memory.
- 11) Record events by system printer.
- 12) Indicate device in alarm on graphic annunciator.

d. Supervisory signal initiation must be by one or more of the following devices and actions:

- 1) Zones or individual devices have been disabled.
- 2) FACU has lost communication with network.

e. System trouble signal initiation must be by one or more of the following devices and actions:

- 1) Open circuits, shorts, and grounds in designated circuits.

- 2) Loss of communication with addressable sensor, input module, relay, control module, remote annunciator, printer interface, or Ethernet module.
- 3) Loss of primary power at FACU.
- 4) Ground or single break in internal circuits of FACU.
- 5) Abnormal ac voltage at FACU.
- 6) Break in standby battery circuitry.
- 7) Failure of battery charging.
- 8) Abnormal position of switch at FACU or annunciator.

f. System Supervisory Signal Actions:

- 1) Initiate notification appliances.
- 2) Identify specific device initiating event at FACU, and remote annunciators.
- 3) Record event on system printer.
- 4) After time delay of 200 seconds, transmit trouble or supervisory signal to remote alarm receiving station.
- 5) Transmit system status to building management system.
- 6) Display system status on graphic annunciator.

g. System Printer:

- 1) Printer must be listed and labeled as integral part of fire-alarm system.

h. Document Storage Box:

- 1) Description: Enclosure to accommodate standard 8-1/2-by-11 inch manuals and loose document records. Legend sheet will be permanently attached to door for system required documentation, key contacts, and system information. Provide two key ring holders with location to mount standard business cards for key contact personnel.
- 2) Material and Finish: 18-gauge cold-rolled steel; four mounting holes.
- 3) Color: Red powder-coat epoxy finish.
- 4) Labeling: Permanently screened with 1 inch high lettering "SYSTEM RECORD DOCUMENTS" with white indelible ink.
- 5) Security: Locked with 3/4 inch barrel lock. Provide solid 12 inch stainless steel piano hinge.

2.2 FIRE-ALARM CONTROL UNIT (FACU)

A. Description: Field-programmable, microprocessor-based, modular, power-limited design with electronic modules.

B. Performance Criteria:

1. Regulatory Requirements: Comply with NFPA 72 and UL 864.
2. General Characteristics:
 - a. System software and programs must be held in nonvolatile flash, electrically erasable, programmable, read-only memory, retaining information through failure of primary and secondary power supplies.



- b. Include real-time clock for time annotation of events on event recorder and printer.
- c. Provide communication between FACU and remote circuit interface panels, annunciators, and displays.
- d. FACU must be listed for connection to central-station signaling system service.
- e. Provide nonvolatile memory for system database, logic, and operating system and event history. System must require no manual input to initialize in the event of complete power down condition. FACU must provide minimum 500-event history log.
- f. Addressable Initiation Device Circuits: FACU must indicate which communication zones have been silenced and must provide selective silencing of alarm notification appliance by building communication zone.
 - 1) Addressable Control Circuits for Operation of Notification Appliances and Mechanical Equipment: FACU must be listed for releasing service.
- g. Fire-Alarm Annunciator: Arranged for interface between human operator at FACU and addressable system components including annunciation and supervision. Display alarm, supervisory, and component status messages and programming and control menu.
 - 1) Annunciator and Display: LCD, 80 characters, minimum.
 - 2) Keypad: Arranged to permit entry and execution of programming, display, and control commands.
- h. Alphanumeric Display and System Controls: Arranged for interface between human operator at FACU and addressable system components including annunciation and supervision. Display alarm, supervisory, and component status messages and programming and control menu.
 - 1) Annunciator and Display: LCD, three line(s) of 40 characters, minimum.
 - 2) Keypad: Arranged to permit entry and execution of programming, display, and control commands and to indicate control commands to be entered into system for control of smoke-detector sensitivity and other parameters.
- i. Initiating-Device, Notification-Appliance, and Signaling-Line Circuits:
 - 1) Pathway Class Designations: NFPA 72, Class A.
 - 2) Pathway Survivability: Level 0.
 - 3) Install no more than 50 addressable devices on each signaling-line circuit.
 - 4) Install fault circuit isolators to comply with circuit performance requirements of NFPA 72 or with manufacturer's written instructions, whichever is more conservative.
- j. Serial Interfaces:
 - 1) One dedicated RS 485 port for central-station operation using point ID DACT.
 - 2) One RS 485 port for remote annunciators, Ethernet module, or multi-interface module (printer port).
 - 3) One USB port for PC configuration.
 - 4) One RS 232 port for air-aspirating smoke detector connection.
 - 5) One RS 232 port for voice evacuation interface.
- k. Smoke-Alarm Verification:

- 1) Initiate audible and visible indication of "alarm-verification" signal at FACU.
 - 2) Activate approved "alarm-verification" sequence at FACU and detector.
 - 3) Record events by system printer.
 - 4) Sound general alarm if alarm is verified.
 - 5) Cancel FACU indication and system reset if alarm is not verified.
- l. Notification-Appliance Circuit:
- 1) Audible appliances must sound in three-pulse temporal pattern, as defined in NFPA 72.
 - 2) Where notification appliances provide signals to sleeping areas, alarm signal must be 520 Hz square wave with intensity 15 dB above average ambient sound level or 5 dB above maximum sound level, or at least 75 dB(A-weighted), whichever is greater, measured at pillow.
 - 3) Visual alarm appliances must flash in synchronization where multiple appliances are in same field of view, as defined in NFPA 72.
- m. Door Controls: Door hold-open devices that are controlled by smoke detectors at doors in smoke-barrier walls must be connected to fire-alarm system.
- n. Remote Smoke-Detector Sensitivity Adjustment: Controls must select specific addressable smoke detectors for adjustment, display their current status and sensitivity settings, and change those settings. Allow controls to be used to program repetitive, time-scheduled, and automated changes in sensitivity of specific detector groups. Record sensitivity adjustments and sensitivity-adjustment schedule changes in system memory, and print out final adjusted values on system printer.
- o. Transmission to Remote Alarm Receiving Station: Automatically transmit alarm, supervisory, and trouble signals to remote alarm station.
- p. Indicate number of alarm channels for automatic, simultaneous transmission of different announcements to different zones or for manual transmission of announcements by use of central-control microphone. Amplifiers must comply with UL 1711.
- 1) Allow application of, and evacuation signal to, indicated number of zones and simultaneously allow voice paging to other zones selectively or in combination.
 - 2) Programmable tone and message sequence selection.
 - 3) Standard digitally recorded messages for "Evacuation" and "All Clear."
 - 4) Generate tones to be sequenced with audio messages of type recommended by NFPA 72 and that are compatible with tone patterns of notification-appliance circuits of FACU.
- q. Status Annunciator: Indicate status of various voice/alarm speaker zones and status of firefighters' two-way telephone communication zones.
- r. Preamplifiers, amplifiers, and tone generators must automatically transfer to backup units, on primary equipment failure.
- s. Printout of Events: On receipt of signal, print alarm, supervisory, and trouble events. Identify zone, device, and function. Include type of signal (alarm, supervisory, or trouble) and date and time of occurrence. Differentiate alarm signals from other printed indications. Also, print system reset event, including same information for device, location, date, and time. Commands initiate printing of list of existing alarm, supervisory, and trouble conditions in system and historical log of events.

- t. Primary Power: 24 V(dc) obtained from 120 V(ac) service and power-supply module. Initiating devices, notification appliances, signaling lines, trouble signals, and supervisory and DACT must be powered by 24 V(dc) source.
- u. Alarm current draw of entire fire-alarm system must not exceed 80 percent of power-supply module rating.
- v. Secondary Power: 24 V(dc) supply system with batteries, automatic battery charger, and automatic transfer switch.
- w. Batteries: Sealed lead calcium.

C. Accessories:

- 1. Instructions: Computer printout or typewritten instruction card mounted behind plastic or glass cover in stainless steel or aluminum frame. Include interpretation and describe appropriate response for displays and signals. Briefly describe functional operation of system under normal, alarm, and trouble conditions.

2.3 MANUAL FIRE-ALARM BOXES

- A. General Requirements for Manual Fire-Alarm Boxes: Comply with UL 38. Boxes must be finished in red with molded, raised-letter operating instructions in contrasting color; must show visible indication of operation; and must be mounted on recessed outlet box. If indicated as surface mounted, provide manufacturer's surface back box.
 - 1. Double-action mechanism requiring two actions to initiate alarm, pull-lever type; with integral addressable module arranged to communicate manual-station status (normal, alarm, or trouble) to FACU.
 - 2. Station Reset: Key- or wrench-operated switch.
 - 3. Indoor Protective Shield: Factory-fabricated, clear plastic enclosure hinged at top to permit lifting for access to initiate alarm. Lifting cover actuates integral battery-powered audible horn intended to discourage false-alarm operation.
 - 4. Weatherproof Protective Shield: Factory-fabricated, clear plastic enclosure hinged at top to permit lifting for access to initiate alarm.
 - 5. Able to perform at up to 90 percent relative humidity at 90 deg F.
 - 6. Material: Manual stations made of polycarbonate.
 - 7. Able to be used in indoor or outdoor areas.

2.4 SYSTEM SMOKE DETECTORS

- A. Photoelectric Smoke Detectors:
 - 1. Performance Criteria:
 - a. Regulatory Requirements:
 - 1) NFPA 72.
 - 2) UL 268.
 - b. General Characteristics:

- 1) Detectors must be four-wire type.
- 2) Integral Addressable Module: Arranged to communicate detector status (normal, alarm, or trouble) to FACU.
- 3) Base Mounting: Detector and associated electronic components must be mounted in twist-lock module that connects to fixed base. Provide terminals in fixed base for connection to building wiring.
- 4) Self-Restoring: Detectors do not require resetting or readjustment after actuation to restore them to normal operation.
- 5) Integral Visual-Indicating Light: LED type, indicating detector has operated and power-on status.
- 6) Detector address must be accessible from FACU and must be able to identify detector's location within system and its sensitivity setting.
- 7) Operator at FACU, having designated access level, must be able to manually access the following for each detector:
 - a) Primary status.
 - b) Device type.
 - c) Present average value.
 - d) Present sensitivity selected.
 - e) Sensor range (normal, dirty, etc.).
- 8) Detector must have functional humidity range within 10 to 90 percent relative humidity.
- 9) Color: White.
- 10) Remote Control: Unless otherwise indicated, detectors must be digital-addressable type, individually monitored at FACU for calibration, sensitivity, and alarm condition and individually adjustable for sensitivity by FACU.
- 11) Rate-of-rise temperature characteristic of combination smoke- and heat-detection units must be selectable at FACU for 15 or 20 deg F per minute.
- 12) Fixed-temperature sensing characteristic of combination smoke- and heat-detection units must be independent of rate-of-rise sensing and must be settable at FACU to operate at 135 or 155 deg F.
- 13) Multiple levels of detection sensitivity for each sensor.
- 14) Sensitivity levels based on time of day.

B. Ionization Smoke Detectors:

1. Performance Criteria:

a. Regulatory Requirements:

- 1) NFPA 72.
- 2) UL 268.

b. General Characteristics:

- 1) Detectors must be four-wire type.
- 2) Integral Addressable Module: Arranged to communicate detector status (normal, alarm, or trouble) to FACU.

- 3) Base Mounting: Detector and associated electronic components must be mounted in twist-lock module that connects to fixed base. Provide terminals in fixed base for connection to building wiring.
- 4) Self-Restoring: Detectors do not require resetting or readjustment after actuation to restore them to normal operation.
- 5) Integral Visual-Indicating Light: LED type, indicating detector has operated and power-on status.
- 6) Detector address must be accessible from FACU and must be able to identify detector's location within system and its sensitivity setting.
- 7) Operator at FACU, having designated access level, must be able to manually access the following for each detector:
 - a) Primary status.
 - b) Device type.
 - c) Present average value.
 - d) Present sensitivity selected.
 - e) Sensor range (normal, dirty, etc.).
- 8) Detector must have functional humidity range within 10 to 90 percent relative humidity.
- 9) Color: White.
- 10) Remote Control: Unless otherwise indicated, detectors must be digital-addressable type, individually monitored at FACU for calibration, sensitivity, and alarm condition and individually adjustable for sensitivity by FACU.
- 11) Rate-of-rise temperature characteristic of combination smoke- and heat-detection units must be selectable at FACU for 15 or 20 deg F per minute.
- 12) Fixed-temperature sensing characteristic of combination smoke- and heat-detection units must be independent of rate-of-rise sensing and must be settable at FACU to operate at 135 or 155 deg F.
- 13) Multiple levels of detection sensitivity for each sensor.
- 14) Sensitivity levels based on time of day.

2.5 DUCT SMOKE DETECTORS

A. Description: Photoelectric-type, duct-mounted smoke detector.

B. Performance Criteria:

1. Regulatory Requirements:

- a. NFPA 72.
- b. UL 268A.

2. General Characteristics:

- a. Detectors must be four-wire type.
- b. Integral Addressable Module: Arranged to communicate detector status (normal, alarm, or trouble) to FACU.
- c. Self-Restoring: Detectors do not require resetting or readjustment after actuation to restore them to normal operation.

- d. Integral Visual-Indicating Light: LED type, indicating detector has operated and power-on status.
- e. Detector address must be accessible from FACU and must be able to identify detector's location within system and its sensitivity setting.
- f. Operator at FACU, having designated access level, must be able to manually access the following for each detector:
 - 1) Primary status.
 - 2) Device type.
 - 3) Present average value.
 - 4) Present sensitivity selected.
 - 5) Sensor range (normal, dirty, etc.).
- g. Weatherproof Duct Housing Enclosure: NEMA 250, Type 4X; NRTL listed for use with supplied detector for smoke detection in HVAC system ducts.
- h. Each sensor must have multiple levels of detection sensitivity.
- i. Sampling Tubes: Design and dimensions as recommended by manufacturer for specific duct size, air velocity, and installation conditions where applied.
- j. Relay Fan Shutdown: Fully programmable relay rated to interrupt fan motor-control circuit.

2.6 CARBON MONOXIDE DETECTORS

A. Description: Carbon monoxide detector listed for connection to fire-alarm system.

B. Performance Criteria:

1. Regulatory Requirements:

- a. NFPA 72
- b. NFPA 720.
- c. UL 2075.

2. General Characteristics:

- a. Mounting: Adapter plate for outlet box mounting.
- b. Testable by introducing test carbon monoxide into sensing cell.
- c. Detector must provide alarm contacts and trouble contacts.
- d. Detector must send trouble alarm when nearing end-of-life, power supply problems, or internal faults.
- e. Locate, mount, and wire in accordance with manufacturer's written instructions.
- f. Provide means for addressable connection to fire-alarm system.
- g. Test button simulates alarm condition.

2.7 HEAT DETECTORS

A. Performance Criteria:

1. Regulatory Requirements:

- a. NFPA 72.
 - b. UL 521.
2. General Characteristics:
- a. Temperature sensors must test for and communicate sensitivity range of device.
 - b. Actuated by fixed temperature of 135 deg F or rate of rise that exceeds 15 deg F per minute unless otherwise indicated.
 - c. Mounting: Adapter plate for outlet box mounting.
 - d. Integral Addressable Module: Arranged to communicate detector status (normal, alarm, or trouble) to FACU.
 - e. Detector must have functional humidity range of 10 to 90 percent relative humidity.
 - 1) Color: White.

2.8 FIRE-ALARM NOTIFICATION APPLIANCES

A. Fire-Alarm Audible Notification Appliances:

1. Description: Horns, bells, or other notification devices that cannot output voice messages.
2. Performance Criteria:
 - a. Regulatory Requirements:
 - 1) NFPA 72.
 - b. General Characteristics:
 - 1) Individually addressed, connected to signaling-line circuit, equipped for mounting as indicated, and with screw terminals for system connections.
 - 2) Connected to notification-appliance signal circuits, zoned as indicated, equipped for mounting as indicated, and with screw terminals for system connections.
 - 3) Chimes, Low-Level Output: Vibrating type, 75 dB(A-weighted) minimum rated output.
 - 4) Chimes, High-Level Output: Vibrating type, 81 dB(A-weighted) minimum rated output.
 - 5) Sounders, High Volume 24 V(dc): Less than 6 mA of alarm current.
 - 6) Sounders, Low Volume 24 V(dc): Less than 4 mA of alarm current.
 - 7) Audible notification appliances must have functional humidity range of 10 to 95 percent relative humidity.
 - 8) ISO Temporal 3 Evacuation Tone: 90 plus or minus 4 dB(A-weighted) at 24 V.
 - 9) ISO Temporal 3 Alert Tone: 95 plus or minus 5 dB(A-weighted) at 24 V.
 - 10) AS2220 Evacuation Tone: 93 plus or minus 4 dB(A-weighted) at 24 V.
 - 11) AS2220 Alert Tone: 93 plus or minus 5 dB(A-weighted) at 24 V.
 - 12) Horns: Electric-vibrating-polarized type, 24 V(dc); with provision for housing operating mechanism behind grille. Comply with UL 464. Horns must produce sound-pressure level of 90 dB(A-weighted), measured 10 ft. from horn, using coded signal prescribed in UL 464 test protocol.

- 13) Combination Devices: Factory-integrated audible and visible devices in single-mounting assembly, equipped for mounting as indicated, and with screw terminals for system connections.

B. Fire-Alarm Visible Notification Appliances:

1. Performance Criteria:

a. Regulatory Requirements:

- 1) NFPA 72.
- 2) UL 1971.

b. General Characteristics:

1) Rated Light Output:

- a) 15 cd.
- b) 15/30/75/110 cd, selectable in field.

2) Clear or nominal white polycarbonate lens mounted on aluminum faceplate.

3) Mounting: Wall mounted unless otherwise indicated.

4) For units with guards to prevent physical damage, light output ratings must be determined with guards in place.

5) Flashing must be in temporal pattern, synchronized with other units.

6) Strobe Leads: Factory connected to screw terminals.

7) Mounting Faceplate: Factory finished, red or white.

2.9 FIRE-ALARM REMOTE ANNUNCIATORS

A. Performance Criteria:

1. Regulatory Requirements:

- a. NFPA 72.

2. General Characteristics:

- a. Annunciator functions must match those of FACU for alarm, supervisory, and trouble indications. Manual switching functions must match those of FACU, including acknowledging, silencing, resetting, and testing.

1) Mounting: Surface cabinet, NEMA 250, Type 1.

- b. Display Type and Functional Performance: Alphanumeric display and LED indicating lights must match those of FACU. Provide controls to acknowledge, silence, reset, and test functions for alarm, supervisory, and trouble signals.

2.10 FIRE-ALARM ADDRESSABLE INTERFACE DEVICES

A. Performance Criteria:

1. Regulatory Requirements:

- a. NFPA 72.

2. General Characteristics:

- a. Include address-setting means on module.
- b. Store internal identifying code for control panel use to identify module type.
- c. Listed for controlling HVAC fan motor controllers.
- d. Monitor Module: Microelectronic module providing system address for alarm-initiating devices for wired applications with normally open contacts.
- e. Integral Relay: Capable of providing direct to circuit-breaker shunt trip for power shutdown.
 - 1) Allow control panel to switch relay contacts on command.
 - 2) Have minimum of two normally open and two normally closed contacts available for field wiring.
- f. Control Module:
 - 1) Operate notification devices.

2.11 DIGITAL ALARM COMMUNICATOR TRANSMITTERS (DACTs)

A. Performance Criteria:

1. Regulatory Requirements:

- a. NFPA 72.

2. General Characteristics:

- a. DACT must be acceptable to remote central station and must be listed for fire-alarm use.
- b. Functional Performance: Unit must receive alarm, supervisory, or trouble signal from FACU and automatically capture two telephone line(s) and dial preset number for remote central station. When contact is made with central station(s), signals must be transmitted. If service on either line is interrupted for longer than 45 seconds, transmitter must initiate local trouble signal and transmit signal indicating loss of telephone line to remote alarm receiving station over remaining line. Transmitter must automatically report telephone service restoration to central station. If service is lost on both telephone lines, transmitter must initiate local trouble signal.
- c. Local functions and display at DACT must include the following:
 - 1) Verification that both telephone lines are available.
 - 2) Programming device.
 - 3) LED display.

- 4) Manual test report function and manual transmission clear indication.
 - 5) Communications failure with central station or FACU.
- d. Digital data transmission must include the following:
- 1) Address of alarm-initiating device.
 - 2) Address of supervisory signal.
 - 3) Address of trouble-initiating device.
 - 4) Loss of ac supply.
 - 5) Loss of power.
 - 6) Low battery.
 - 7) Abnormal test signal.
 - 8) Communication bus failure.
- e. Secondary Power: Integral rechargeable battery and automatic charger.
- f. Self-Test: Conducted automatically every 24 hours with report transmitted to central station.

2.12 WIRING

- A. All wiring must be of the size and configuration type recommended by the manufacturer for each type of circuit in the system and providing the recommendations meet the requirements listed below:
1. Copper conductors only. Aluminum conductors or copper clad, plated or coated aluminum conductors are not acceptable.
 2. Color coded throughout
 3. In conformance with the NYC Electrical Code.
 4. Approved by the NYC Fire and Buildings Departments.
 5. A minimum of No. 16 A.W.G., unless otherwise specified.
 6. Insulated with polytetrafluoroethylene.
- B. All wires must be free from grounds and crosses between conductors
- C. A ground wire equal in size to the largest conductor used on the system, but not less than No. 10 A.W.G., attached to the FACP and each system transponder panel, must be installed in 3/4" conduit and securely connected to the Street side of the incoming Water Service in the same manner as the other ground wires and conduits.
- D. Circuit wiring from FACP or ZAM to related system equipment must be as follows:
1. Each alarm initiating, supervisory or status monitoring circuit: Two (2) No. 16 A.W.G. copper conductors.
- E. Monitor Circuit: 2 #14 A.W.G
- F. Circuit wiring from FACP to DACT: Minimum ten (10) No. 14 A.W.G copper conductors.
- G. 24V DC Circuit: 2 #14 A.W.G
- H. RS485 circuit: 1PR #18 TW, SI-ITLDED

2.13 CONDUIT AND RACEWAYS

- A. All wiring must be mechanically protected when installed surface mounted and in areas with no drop ceiling. Use surface mounted steel raceway. Rigid heavy wall conduit, or EMT raceway, properly sized to New York City Electrical Code requirements, is to be used to provide mechanical protection.
- B. When wiring is penetrating fire walls and floor slabs (risers) and for all system power wiring, use only rigid heavy wall conduit, properly sized to New York City Electrical Code requirements to provide mechanical protection.
- C. All penetrations of floor slabs and firewalls are to be fire stopped in accordance with section 078413 “Penetrations Firestopping”.
- D. Fire alarm system terminals and pull boxes to be identified in accordance with NFPA Standard 70, Section 760-3. Terminal and pull boxes to be painted red and stenciled in white letters "FIRE ALARM", preventing unintentional interference with the fire alarm system wiring during testing, servicing and additional modifications to the system.

2.14 FIRE ALARM FUSED DISCONNECT SWITCH

- A. Provide an individual fused disconnect switch with 3 poles, and a removable solid neutral bar in fuse gap for each fire alarm system indicated on the Drawings, in accordance with NFPA 70 as modified for use in NYC by the NYC Electrical Code.
- B. Rating of the fused disconnect switch to be as required by the connected load. The fusible disconnect switch must be heavy-duty type, UL listed for use as Service Entrance Equipment.
- C. Each fused disconnect switch is to be painted red and bear a white-core bakelite identification nameplate to identify its use by the phrase “FIRE ALARM SYSTEM DISCONNECT” and control equipment served.
- D. Power connection to fused disconnect switch to be provided per code.
- E. The circuits for the Fire Alarm Systems to be as follows:
 - 1. One (1) circuit for fire alarm panels.
 - 2. One (1) circuit for custodial printer.
 - 3. One (1) circuit for the Digital Alarm Communicator Transmitter (where applicable).
 - 4. The complete assembly is to meet N.Y.C. Electrical & Fire code requirements.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 EXAMINATION

- A. Examine areas and conditions for compliance with requirements for ventilation, temperature, humidity, and other conditions affecting performance of the Work.
 - 1. Verify that manufacturer's written instructions for environmental conditions have been permanently established in spaces where equipment and wiring are installed, before installation begins.
- B. Examine roughing-in for electrical connections to verify actual locations of connections before installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.3 PREPARATION

- A. Preinstallation Testing: Perform verification of functionality of installed components of existing system prior to starting work. Document equipment or components not functioning as designed.
- B. Interruption of Existing Fire-Alarm Service: Do not interrupt fire-alarm service to facilities occupied by City of New York or others unless permitted under the following conditions and then only after arranging to provide temporary guard service in accordance with requirements indicated:
 - 1. Notify Commissioner no fewer than seven days in advance of proposed interruption of fire-alarm service.
 - 2. Do not proceed with interruption of fire-alarm service without Commissioner's written permission.
- C. Protection of In-Place Conditions: Protect devices during construction unless devices are placed in service to protect facility during construction.

3.4 INSTALLATION OF EQUIPMENT

- A. Comply with NECA 305, NFPA 72, NFPA 101, and requirements the NYC Building Code for installation and testing of fire-alarm equipment. Install electrical wiring to comply with requirements in NFPA 70 including, but not limited to, Article 760, "Fire Alarm Systems."
 - 1. Devices placed in service before other trades have completed cleanup must be replaced.
 - 2. Devices installed, but not yet placed, in service must be protected from construction dust, debris, dirt, moisture, and damage in accordance with manufacturer's written storage instructions.
- B. Install wall-mounted equipment, with tops of cabinets not more than 78 inch above finished floor.
- C. Manual Fire-Alarm Boxes:
 - 1. Install manual fire-alarm box in normal path of egress within 60 inch of exit doorway.
 - 2. Mount manual fire-alarm box on background of contrasting color.
 - 3. Operable part of manual fire-alarm box must be between 42 and 48 inch above floor level. Devices must be mounted at same height unless otherwise indicated.

D. Smoke- and Heat-Detector Spacing:

1. Comply with "Smoke-Sensing Fire Detectors" section in "Initiating Devices" chapter in NFPA 72, for smoke-detector spacing.
2. Comply with "Heat-Sensing Fire Detectors" section in "Initiating Devices" chapter in NFPA 72, for heat-detector spacing.
3. Smooth ceiling spacing must not exceed 30 ft.
4. Spacing of detectors for irregular areas, for irregular ceiling construction, and for high ceiling areas must be determined in accordance with Annex A or Annex B in NFPA 72.
5. HVAC: Locate detectors not closer than 36 inch from air-supply diffuser or return-air opening.
6. Lighting Fixtures: Locate detectors not closer than 12 inch from lighting fixture and not directly above pendant mounted or indirect lighting.

E. Install cover on each smoke detector that is not placed in service during construction. Cover must remain in place except during system testing. Remove cover prior to system turnover.

F. Duct Smoke Detectors: Comply with NFPA 72 and NFPA 90A. Install sampling tubes so they extend full width of duct. Tubes more than 36 inch long must be supported at both ends.

1. Do not install smoke detector in duct smoke-detector housing during construction. Install detector only during system testing and prior to system turnover.

G. Remote Status and Alarm Indicators: Install in visible location near each smoke detector, sprinkler water-flow switch, and valve-tamper switch that is not readily visible from normal viewing position.

H. Audible Alarm-Indicating Devices: Install not less than 6 inch below ceiling. Install bells and horns on flush-mounted back boxes with device-operating mechanism concealed behind grille. Install devices at same height unless otherwise indicated.

I. Visible Alarm-Indicating Devices: Install adjacent to each alarm bell or alarm horn and at least 6 inch below ceiling. Install devices at same height unless otherwise indicated.

J. Device Location-Indicating Lights: Locate in public space near device they monitor.

3.5 ELECTRICAL CONNECTIONS

A. Connect wiring in accordance with Section 260519 "Low-Voltage Electrical Power Conductors and Cables."

B. Ground equipment in accordance with Section 260526 "Grounding and Bonding for Electrical Systems."

C. Install electrical devices furnished by manufacturer, but not factory mounted, in accordance with NFPA 70 and NECA 1.

D. Install nameplate for each electrical connection, indicating electrical equipment designation and circuit number feeding connection.

1. Nameplate must be laminated acrylic or melamine plastic signs, as specified in Section 260553 "Identification for Electrical Systems."
2. Nameplate must be laminated acrylic or melamine plastic signs with black background and engraved white letters at least 1/2 inch high.

3.6 CONTROL CONNECTIONS

- A. Install control and electrical power wiring to field-mounted control devices.
- B. Connect control wiring in accordance with Section 260523 "Control-Voltage Electrical Power Cables."
- C. Install nameplate for each control connection, indicating field control panel designation and I/O control designation feeding connection.

3.7 PATHWAYS

- A. Pathways above recessed ceilings and in inaccessible locations may be routed exposed.
 1. Exposed pathways located less than 96 inch above floor must be installed in EMT.
- B. Pathways must be installed in EMT.
- C. Exposed EMT must be painted red enamel.

3.8 CONNECTIONS

- A. For fire-protection systems related to doors in fire-rated walls and partitions and to doors in smoke partitions, comply with requirements in Section 087100 "Door Hardware." Connect hardware and devices to fire-alarm system.
 1. Verify that hardware and devices are listed for use with installed fire-alarm system before making connections.
- B. Make addressable connections with supervised interface device to the following devices and systems. Install interface device less than 36 inch from device controlled. Make addressable confirmation connection when such feedback is available at device or system being controlled.
 1. Alarm-initiating connection to smoke-control system (smoke management) at firefighters' smoke-control system panel.
 2. Smoke dampers in air ducts of designated HVAC duct systems.
 3. Magnetically held-open doors.
 4. Electronically locked doors and access gates.
 5. Alarm-initiating connection to activate emergency lighting control.

3.9 IDENTIFICATION

- A. Identify system components, wiring, cabling, and terminals, and install all framed instructions in location visible from FACU.

3.10 GROUNDING

- A. Ground FACU and associated circuits in accordance with Section 260526 "Grounding and Bonding for Electrical Systems."
- B. Ground shielded cables at control panel location only. Insulate shield at device location.

3.11 FIELD QUALITY CONTROL

- A. Field tests are to be witnessed by the NYC Fire Department's inspectors and the Commissioner.
- B. Administrant for Tests and Inspections:
 - 1. Engage factory-authorized service representative to administer and perform tests and inspections on components, assemblies, and equipment installations, including connections.
- C. Tests and Inspections:
 - 1. Visual Inspection: Conduct visual inspection prior to testing.
 - a. Inspection must be based on completed record Drawings and system documentation that is required by "Completion Documents, Preparation" table in "Documentation" section of "Fundamentals" chapter in NFPA 72.
 - b. Comply with "Visual Inspection Frequencies" table in "Inspection" section of "Inspection, Testing and Maintenance" chapter in NFPA 72; retain "Initial/Reacceptance" column and list only installed components.
 - 2. System Testing: Comply with "Test Methods" table in "Testing" section of "Inspection, Testing and Maintenance" chapter in NFPA 72.
 - 3. Test audible appliances for public operating mode in accordance with manufacturer's written instructions. Perform test using portable sound-level meter complying with Type 2 requirements in ASA S1.4 Part 1/IEC 61672-1.
 - 4. Test audible appliances for private operating mode in accordance with manufacturer's written instructions.
 - 5. Test visible appliances for public operating mode in accordance with manufacturer's written instructions.
 - 6. Factory-authorized service representative must prepare "Fire Alarm System Record of Completion" in "Documentation" section of "Fundamentals" chapter in NFPA 72 and "Inspection and Testing Form" in "Records" section of "Inspection, Testing and Maintenance" chapter in NFPA 72.
- D. Reacceptance Testing: Perform reacceptance testing to verify proper operation of added or replaced devices and appliances.

- E. Fire-alarm system will be considered defective if it does not pass tests and inspections.
- F. Prepare test and inspection reports.

3.12 DEMONSTRATION

- A. Engage a factory-authorized service representative to instruct City of New York's personnel to adjust and operate fire-alarm system. Provide video recording of instruction to City of New York.

END OF SECTION 284621.11

SECTION 312000 - EARTH MOVING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. The following documents apply to all required work for the Project: (1) the Contract Drawings, (2) the Specifications, (3) the General Conditions, (4) the Addendum and (5) the Contract "City of New York Standard Construction Contract."

1.2 SUMMARY

A. Section Includes:

1. Preparing subgrades for interior slabs-on-grade.
2. Excavating and backfilling for footings and piers.
3. Drainage course for concrete interior slabs-on-grade.
4. Subsurface drainage backfill for trenches.
5. Excavating and backfilling trenches for utilities.

B. Related Requirements:

1. DDC General Conditions Section 013233 "Photographic Documentation" for recording preexcavation and earth-moving progress.

1.3 DEFINITIONS

A. Backfill: Soil material or controlled low-strength material used to fill an excavation.

1. Initial Backfill: Backfill placed beside and over pipe in a trench, including haunches to support sides of pipe.
2. Final Backfill: Backfill placed over initial backfill to fill a trench.

B. Base Course: Aggregate layer placed between the subbase course and hot-mix asphalt paving.

C. Bedding Course: Aggregate layer placed over the excavated subgrade in a trench before laying pipe.

D. Borrow Soil: Satisfactory soil imported from off-site for use as fill or backfill.

E. Drainage Course: Aggregate layer supporting the slab-on-grade that also minimizes upward capillary flow of pore water.

F. Excavation: Removal of material encountered above subgrade elevations and to lines and dimensions indicated.

1. Bulk Excavation: Excavation more than 10 feet in width and more than 30 feet in length.

2. Unauthorized Excavation: Excavation below subgrade elevations or beyond indicated lines and dimensions without direction by Commissioner. Unauthorized excavation, as well as remedial work directed by Commissioner, will be without additional compensation.

G. Fill: Soil materials used to raise existing grades.

H. Structures: Buildings, footings, foundations, retaining walls, slabs, tanks, curbs, mechanical and electrical appurtenances, or other fabricated stationary features constructed above or below the ground surface.

I. Subbase Course: Aggregate layer placed between the subgrade and base course for hot-mix asphalt pavement, or aggregate layer placed between the subgrade and a cement concrete pavement or a cement concrete or hot-mix asphalt walk.

J. Subgrade: Uppermost surface of an excavation or the top surface of a fill or backfill immediately below subbase, drainage fill, drainage course, or topsoil materials.

K. Utilities: On-site underground pipes, conduits, ducts, and cables as well as underground services within buildings.

1.4 PREINSTALLATION MEETINGS

A. Preinstallation Conference: Conduct preexcavation conference at Project site.

1. Review methods and procedures related to earthmoving, including, but not limited to, the following:

- a. Personnel and equipment needed to make progress and avoid delays.
- b. Coordination of Work with utility locator service.
- c. Extent of trenching by hand or with air spade.
- d. Field quality control.

1.5 SUBMITTAL PROCEDURES

A. Refer to DDC General Conditions Section 013300 "Submittal Procedures."

1.6 ACTION SUBMITTALS

A. Product Data: For each type of the following manufactured products required:

1. Warning tapes.

1.7 INFORMATIONAL SUBMITTALS

A. Material Test Reports: For each on-site and borrow soil material proposed for fill and backfill as follows:

1. Classification according to ASTM D2487.
2. Laboratory compaction curve according to ASTM D1557.

- B. Preexcavation Photographs or Videotape: Show existing conditions of adjoining construction and site improvements, including finish surfaces that might be misconstrued as damage caused by earth-moving operations. Submit before earth moving begins.

1.8 QUALITY ASSURANCE

- A. Refer to DDC General Conditions Section 014000 "Quality Requirements."

1.9 FIELD CONDITIONS

- A. Traffic: Minimize interference with adjoining roads, streets, walks, and other adjacent occupied or used facilities during earth-moving operations.
 - 1. Do not close or obstruct streets, walks, or other adjacent occupied or used facilities without permission from Commissioner.
 - 2. Provide alternate routes around closed or obstructed traffic ways if required by Commissioner.
- B. Utility Locator Service: Notify utility locator service for area where Project is located before beginning earth-moving operations.
- C. The following practices are prohibited within protection zones:
 - 1. Storage of construction materials, debris, or excavated material.
 - 2. Parking vehicles or equipment.
 - 3. Foot traffic.
 - 4. Erection of sheds or structures.
 - 5. Impoundment of water.
 - 6. Excavation or other digging unless otherwise indicated.
- D. Do not direct vehicle or equipment exhaust towards protection zones.
- E. Prohibit heat sources, flames, ignition sources, and smoking within or near protection zones.

PART 2 - PRODUCTS

2.1 SOIL MATERIALS

- A. General: Provide borrow soil materials when sufficient satisfactory soil materials are not available from excavations.
- B. Satisfactory Soils: Soil Classification Groups GW, GP, GM, SW, SP, and SM according to ASTM D2487, or a combination of these groups; free of rock or gravel larger than in any dimension, debris, waste, frozen materials, vegetation, and other deleterious matter.
- C. Unsatisfactory Soils: Soil Classification Groups GC, SC, CL, ML, OL, CH, MH, OH, and PT according to ASTM D2487, or a combination of these groups.

1. Unsatisfactory soils also include satisfactory soils not maintained within 2 percent of optimum moisture content at time of compaction.
- D. Subbase Material: Naturally or artificially graded mixture of natural or crushed gravel, crushed stone, and natural or crushed sand; ASTM D2940/D2940M; with at least 90 percent passing a 1-1/2-inch sieve and not more than 12 percent passing a No. 200 sieve.
- E. Base Course: Naturally or artificially graded mixture of natural or crushed gravel, crushed stone, and natural or crushed sand; ASTM D2940/D2940M; with at least 95 percent passing a 1-1/2-inch sieve and not more than 8 percent passing a No. 200 sieve.
- F. Engineered Fill: Naturally or artificially graded mixture of natural or crushed gravel, crushed stone, and natural or crushed sand; ASTM D2940/D2940M; with at least 90 percent passing a 1-1/2-inch sieve and not more than 12 percent passing a No. 200 sieve.
- G. Bedding Course: Naturally or artificially graded mixture of natural or crushed gravel, crushed stone, and natural or crushed sand; ASTM D2940/D2940M; except with 100 percent passing a 1-inch sieve and not more than 8 percent passing a No. 200 sieve.
- H. Drainage Course: Narrowly graded mixture of washed crushed stone, or crushed or uncrushed gravel; ASTM D448; coarse-aggregate grading Size 57; with 100 percent passing a 1-1/2-inch sieve and zero to 5 percent passing a No. 8 sieve.
- I. Filter Material: Narrowly graded mixture of natural or crushed gravel, or crushed stone and natural sand; ASTM D448; coarse-aggregate grading Size 67; with 100 percent passing a 1-inch sieve and zero to 5 percent passing a No. 4 sieve.
- J. Sand: ASTM C33/C33M; fine aggregate.
- K. Impervious Fill: Clayey gravel and sand mixture capable of compacting to a dense state.

2.2 ACCESSORIES

- A. Warning Tape: Acid- and alkali-resistant, polyethylene film warning tape manufactured for marking and identifying underground utilities, 6 inches wide and 4 mils thick, continuously inscribed with a description of the utility; colored as follows:
 1. Red: Electric.
 2. Yellow: Gas, oil, steam, and dangerous materials.
 3. Orange: Telephone and other communications.
 4. Blue: Water systems.
 5. Green: Sewer systems.
- B. Detectable Warning Tape: Acid- and alkali-resistant, polyethylene film warning tape manufactured for marking and identifying underground utilities, a minimum of 6 inches wide and 4 mils thick, continuously inscribed with a description of the utility, with metallic core encased in a protective jacket for corrosion protection, detectable by metal detector when tape is buried up to 30 inches deep; colored as follows:

1. Red: Electric.
2. Yellow: Gas, oil, steam, and dangerous materials.
3. Orange: Telephone and other communications.
4. Blue: Water systems.
5. Green: Sewer systems.

PART 3 - EXECUTION

3.1 EXECUTION REQUIREMENTS

- A. Refer to DDC General Conditions for execution requirements.

3.2 PREPARATION

- A. Protect structures, utilities, sidewalks, pavements, and other facilities from damage caused by settlement, lateral movement, undermining, washout, and other hazards created by earth-moving operations.
- B. Protect and maintain erosion and sedimentation controls during earth-moving operations.
- C. Protect subgrades and foundation soils from freezing temperatures and frost. Remove temporary protection before placing subsequent materials.

3.3 EXPLOSIVES

- A. Explosives:
 1. Do not use explosives.

3.4 EXCAVATION, GENERAL

- A. Unclassified Excavation: Excavate to subgrade elevations regardless of the character of surface and subsurface conditions encountered. Unclassified excavated materials may include rock, soil materials, and obstructions.
 1. If excavated materials intended for fill and backfill include unsatisfactory soil materials and rock, replace with satisfactory soil materials.
 2. Remove rock to lines and grades indicated to permit installation of permanent construction without exceeding the following dimensions:
 - a. 24 inches outside of concrete forms other than at footings.
 - b. 12 inches outside of concrete forms at footings.
 - c. 6 inches outside of minimum required dimensions of concrete cast against grade.
 - d. Outside dimensions of concrete walls indicated to be cast against rock without forms or exterior waterproofing treatments.
 - e. 6 inches beneath bottom of concrete slabs-on-grade.

- f. 6 inches beneath pipe in trenches and the greater of 24 inches wider than pipe or 42 inches ide.

3.5 EXCAVATION FOR STRUCTURES

- A. Excavate to indicated elevations and dimensions within a tolerance of plus or minus 1 inch. If applicable, extend excavations a sufficient distance from structures for placing and removing concrete formwork, for installing services and other construction, and for inspections.
 1. Excavations for Footings and Foundations: Do not disturb bottom of excavation. Excavate by hand to final grade just before placing concrete reinforcement. Trim bottoms to required lines and grades to leave solid base to receive other work.
 2. Pile Foundations: Stop excavations 6 to 12 inches above bottom of pile cap before piles are placed. After piles have been driven, remove loose and displaced material. Excavate to final grade, leaving solid base to receive concrete pile caps.
 3. Excavation for Underground Tanks, Basins, and Mechanical or Electrical Utility Structures: Excavate to elevations and dimensions indicated within a tolerance of plus or minus 1 inch. Do not disturb bottom of excavations intended as bearing surfaces.

3.6 EXCAVATION FOR UTILITY TRENCHES

- A. Excavate trenches to indicated gradients, lines, depths, and elevations.
 1. Beyond building perimeter, excavate trenches to allow installation of top of pipe below frost line.
- B. Excavate trenches to uniform widths to provide the following clearance on each side of pipe or conduit. Excavate trench walls vertically from trench bottom to 12 inches higher than top of pipe or conduit unless otherwise indicated.
 1. Clearance: As indicated.
- C. Trench Bottoms:
 1. Trench Bottoms: Excavate and shape trench bottoms to provide uniform bearing and support of pipes and conduit. Shape subgrade to provide continuous support for bells, joints, and barrels of pipes and for joints, fittings, and bodies of conduits. Remove projecting stones and sharp objects along trench subgrade.
 - a. For pipes and conduit less than 6 inches in nominal diameter, hand-excavate trench bottoms and support pipe and conduit on an undisturbed subgrade.
 - b. For pipes and conduit 6 inches or larger in nominal diameter, shape bottom of trench to support bottom 90 degrees of pipe or conduit circumference. Fill depressions with tamped sand backfill.
 - c. For flat-bottomed, multiple-duct conduit units, hand-excavate trench bottoms and support conduit on an undisturbed subgrade.
 - d. Excavate trenches 6 inches deeper than elevation required in rock or other unyielding bearing material to allow for bedding course.
 2. Excavate trenches 4 inches deeper than bottom of pipe and conduit elevations to allow for bedding course. Hand-excavate deeper for bells of pipe.

- a. Excavate trenches 6 inches deeper than elevation required in rock or other unyielding bearing material to allow for bedding course.

3.7 SUBGRADE INSPECTION

- A. Notify Commissioner when excavations have reached required subgrade.
- B. If Commissioner determines that unsatisfactory soil is present, continue excavation and replace with compacted backfill or fill material as directed.
- C. Reconstruct subgrades damaged by freezing temperatures, frost, rain, accumulated water, or construction activities, as directed by Commissioner, without additional compensation.

3.8 STORAGE OF SOIL MATERIALS

- A. Stockpile borrow soil materials and excavated satisfactory soil materials without intermixing. Place, grade, and shape stockpiles to drain surface water. Cover to prevent windblown dust.
 1. Stockpile soil materials away from edge of excavations. Do not store within drip line of remaining trees.

3.9 BACKFILL

- A. Place and compact backfill in excavations promptly, but not before completing the following:
 1. Construction below finish grade including, where applicable, subdrainage, dampproofing, waterproofing, and perimeter insulation.
 2. Surveying locations of underground utilities for Record Documents.
 3. Testing and inspecting underground utilities.
 4. Removing concrete formwork.
 5. Removing trash and debris.
 6. Removing temporary shoring, bracing, and sheeting.
 7. Installing permanent or temporary horizontal bracing on horizontally supported walls.
- B. Place backfill on subgrades free of mud, frost, snow, or ice.

3.10 UTILITY TRENCH BACKFILL

- A. Place backfill on subgrades free of mud, frost, snow, or ice.
- B. Place and compact bedding course on trench bottoms and where indicated. Shape bedding course to provide continuous support for bells, joints, and barrels of pipes and for joints, fittings, and bodies of conduits.
- C. Trenches under Footings: Backfill trenches excavated under footings and within 18 inches of bottom of footings with satisfactory soil; fill with concrete to elevation of bottom of footings. Concrete is specified in Section 033000 "Cast-in-Place Concrete."

- D. Backfill voids with satisfactory soil while removing shoring and bracing.
- E. Initial Backfill:
 - 1. Soil Backfill: Place and compact initial backfill of satisfactory soil, free of particles larger than 1 inch in any dimension, to a height of 12 inches over the pipe or conduit.
 - a. Carefully compact initial backfill under pipe haunches and compact evenly up on both sides and along the full length of piping or conduit to avoid damage or displacement of piping or conduit. Coordinate backfilling with utilities testing.
- F. Final Backfill:
 - 1. Soil Backfill: Place and compact final backfill of satisfactory soil to final subgrade elevation.
- G. Warning Tape: Install warning tape directly above utilities, 12 inches below finished grade, except 6 inches below subgrade under pavements and slabs.

3.11 SOIL FILL

- A. Plow, scarify, bench, or break up sloped surfaces steeper than 1 vertical to 4 horizontal so fill material will bond with existing material.
- B. Place and compact fill material in layers to required elevations as follows:
 - 1. Under walks and pavements, use satisfactory soil material.
 - 2. Under steps and ramps, use engineered fill.
 - 3. Under building slabs, use engineered fill.
 - 4. Under footings and foundations, use engineered fill.
- C. Place soil fill on subgrades free of mud, frost, snow, or ice.

3.12 SOIL MOISTURE CONTROL

- A. Uniformly moisten or aerate subgrade and each subsequent fill or backfill soil layer before compaction to within 2 percent of optimum moisture content.
 - 1. Do not place backfill or fill soil material on surfaces that are muddy, frozen, or contain frost or ice.
 - 2. Remove and replace, or scarify and air dry, otherwise satisfactory soil material that exceeds optimum moisture content by 2 percent and is too wet to compact to specified dry unit weight.

3.13 COMPACTION OF SOIL BACKFILLS AND FILLS

- A. Place backfill and fill soil materials in layers not more than 8 inches in loose depth for material compacted by heavy compaction equipment and not more than 4 inches in loose depth for material compacted by hand-operated tampers.

- B. Place backfill and fill soil materials evenly on all sides of structures to required elevations and uniformly along the full length of each structure.
- C. Compact soil materials to not less than the following percentages of maximum dry unit weight according to ASTM D698:
 - 1. Coordinate with Geotech report, and provide the following:
 - a. Under structures, building slabs, steps, and pavements, scarify and recompact top 12 inches of existing subgrade and each layer of backfill or fill soil material at 95 percent.
 - b. For utility trenches, compact each layer of initial and final backfill soil material at 85 percent.

3.14 GRADING

- A. Grading inside Building Lines: Finish subgrade to a tolerance of 1/2 inch when tested with a 10-foot straightedge.

3.15 SUBSURFACE DRAINAGE

- A. Subsurface Drain: Place subsurface drainage geotextile around perimeter of subdrainage trench. Place a 6-inch course of filter material on subsurface drainage geotextile to support subdrainage pipe. Encase subdrainage pipe in a minimum of 12 inches of filter material, placed in compacted layers 6 inches thick, and wrap in subsurface drainage geotextile, overlapping sides and ends at least 6 inches.
 - 1. Compact each filter material layer to 85 percent of maximum dry unit weight according to ASTM D698.
- B. Drainage Backfill: Place and compact filter material over subsurface drain, in width indicated, to within 12 inches of final subgrade, in compacted layers 6 inches thick. Overlay drainage backfill with one layer of subsurface drainage geotextile, overlapping sides and ends at least 6 inches.
 - 1. Compact each filter material layer to 85 percent of maximum dry unit weight according to ASTM D698.
 - 2. Place and compact impervious fill over drainage backfill in 6-inch-thick compacted layers to final subgrade.

3.16 DRAINAGE COURSE UNDER CONCRETE SLABS-ON-GRADE

- A. Place drainage course on subgrades free of mud, frost, snow, or ice.
- B. On prepared subgrade, place and compact drainage course under cast-in-place concrete slabs-on-grade as follows:
 - 1. Install subdrainage geotextile on prepared subgrade according to manufacturer's written instructions, overlapping sides and ends.
 - 2. Place drainage course 6 inches or less in compacted thickness in a single layer.

3. Place drainage course that exceeds 6 inches in compacted thickness in layers of equal thickness, with no compacted layer more than 6 inches thick or less than 3 inches thick.
4. Compact each layer of drainage course to required cross sections and thicknesses to not less than 95 percent of maximum dry unit weight according to ASTM D698.

3.17 FIELD QUALITY CONTROL

- A. Special Inspections: City of New York will engage a qualified special inspector to perform the following special inspections:
 1. Subgrade, Subsurface Conditions, Subsurface Investigations, as per the requirements of Chapter 17 of the NYC Building Code.
- B. Allow testing agency to inspect and test subgrades and each fill or backfill layer. Proceed with subsequent earth moving only after test results for previously completed work comply with requirements.
- C. When testing agency reports that subgrades, fills, or backfills have not achieved degree of compaction specified, scarify and moisten or aerate, or remove and replace soil materials to depth required; recompact and retest until specified compaction is obtained.

3.18 PROTECTION

- A. Where settling occurs before Project correction period elapses, remove finished surfacing, backfill with additional soil material, compact, and reconstruct surfacing.
 1. Restore appearance, quality, and condition of finished surfacing to match adjacent work, and eliminate evidence of restoration to greatest extent possible.

3.19 DISPOSAL OF SURPLUS AND WASTE MATERIALS

- A. Remove surplus satisfactory soil and waste materials, including unsatisfactory soil, trash, and debris, and legally dispose of them off City of New York's property.

END OF SECTION 312000

FMS ID: LQD122H01



Department of Design and Construction

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF PUBLIC BUILDINGS

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TELEPHONE (718) 391-1000 WEBSITE www.nyc.gov/buildnyc

Contract for Furnishing all Labor and Material Necessary and Required for:

CONTRACT NO. 1 GENERAL CONSTRUCTION WORK

Hollis Library Interior Renovation

LOCATION: 202-05 Hillside Avenue
BOROUGH: Queens, NY 11423
CITY OF NEW YORK

Contractor

Dated _____, 20____

Entered in the Comptroller's Office

First Assistant Bookkeeper

Dated _____, 20____

