

Construction

CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE VOLUME 1 – BID BOOKLET

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# **Introduction**

This Bid Booklet is intended to provide general information necessary for bidding on a DDC public works project and is part of the Contract Documents, as per Article 1.1 of the Standard Construction Contract.

As this contract is solicited via the PASSPort system, the bidder will be required to complete all of the PASSPort forms and questionnaires. These forms and questionnaires, along with the bidder's responses, will become part of the Bid Booklet.

Additional information on the PASSPort system can be found at the following website: <u>https://www1.nyc.gov/site/mocs/systems/passport-user-materials.page</u>

# **Bid Submission Requirements**

THE FOLLOWING MUST BE COMPLETED AND SUBMITTED FOR THE BID TO BE CONSIDERED RESPONSIVE:

- 1. Completed electronic bid submission in PASSPort;
  - a. All required fields in PASSPort must be completed.
- 2. One-page signed Bid Submission Form delivered in person to DDC before the bid due date; and
- 3. Bid security, if required.
  - a. If Bid security is in a form of a bid bond, bidders must include it with their electronic PASSPort submission.
  - b. If Bid security is in a form of a certified check, bidders must deliver the certified check with the signed Bid Submission Form.

## BIDDERS ARE ADVISED THAT PAPER BID SUBMISSIONS WILL BE DEEMED NON-RESPONSIVE. BIDDERS MUST SUBMIT THEIR BIDS ELECTRONICALLY IN PASSPORT, PROVIDE THE BID SECURITY, AND DELIVER TO DDC THE ONE-PAGE SIGNED BID SUBMISSION FOR THE BID TO BE CONSIDERED RESPONSIVE.

THE FOLLOWING <u>MAY</u> RESULT IN THE BID BEING FOUND NON-RESPONSIVE:

- 1. Any discrepancy between the total bid price listed on the Bid Submission Form and the bid information submitted in PASSPort.
- 2. Failure to upload required files or documents as part of a mandatory PASSPort Questionnaire response.
- 3. Uploading an incorrect file as part of a mandatory PASSPort Questionnaire response.

# **Notices to Bidders**

## Pre Bid Questions (PBQs)

Please be advised that PBQs should be submitted to the Agency Contact Person (<u>CSB\_projectinquiries@ddc.nyc.gov</u>) at least five (5) business days (by 5:00 PM EST) prior to the bid opening date as indicated in the PASSPort procurement.

All PBQs must reference the Project ID. If a bidder has multiple PBQs for the same Project ID, the PBQs must be numbered sequentially, even if they are submitted separately.

While the PASSPort system has a facility for submitting inquiries, bidders are directed to send PBQs as directed above instead of using the PASSPort inquiry system.

Inquiries sent using the PASSPort inquiry system will not be considered PBQs.

## **NYC Contract Financing Loan Fund**

If your business is working as a prime or subcontractor on a project with a City agency or City-funded entity, you may be eligible for a Contract Financing Loan from a participating lender coordinated with the NYC Department of Small Business Services (SBS). Loan repayment terms align with the contract payment schedule.

For more information: Call 311 or visit <u>https://www1.nyc.gov/nycbusiness/article/contract-financing-loan-fund</u>

# **M/WBE Notice to Prospective Contractors**

# PARTICIPATION BY MINORITY-OWNED AND WOMEN-OWNED BUSINESS ENTERPRISES IN CITY PROCUREMENT (9/2020 version)

## ARTICLE I. M/WBE PROGRAM

Section 6-129 of the Administrative Code of the City of New York ("Section 6-129") establishes the program for participation in City procurement ("M/WBE Program") by minority-owned business enterprises ("MBEs") and women-owned business enterprises ("WBEs"), certified in accordance with Section 1304 of the New York City Charter. As stated in Section 6-129, the intent of the program is to address the impact of discrimination on the City's procurement process, and to promote the public interest in avoiding fraud and favoritism in the procurement process, increasing competition for City business, and lowering contract costs. The contract provisions contained herein are pursuant to Section 6-129, and the rules of the Department of Small Business Services ("DSBS") promulgated thereunder.

If this Contract is subject to the M/WBE Program established by Section 6-129, the specific requirements of MBE and/or WBE participation for this Contract are set forth in Schedule B of the Contract (entitled the "M/WBE Utilization Plan") and are detailed below. Contracts solicited through the Procurement and Sourcing Solutions Portal (PASSPort) will contain a Schedule B in the format outlined in the Schedule B – M/WBE Utilization Plan & PASSPort rider. The provisions of this notice will apply to contracts subject to the M/WBE Program established by Section 6-129 regardless of solicitation source.

## The Contractor must comply with all applicable MBE and WBE requirements for this Contract.

All provisions of Section 6-129 are hereby incorporated in the Contract by reference and all terms used herein that are not defined herein shall have the meanings given such terms in Section 6-129.

References to MBEs or WBEs shall also include such businesses certified pursuant to the executive law where credit is required by section 311 of the New York City Charter or other provision of law.

Article I, Part A, below, sets forth provisions related to the participation goals for construction, standard and professional services contracts.

Article I, Part B, below, sets forth miscellaneous provisions related to the M/WBE Program.

## PART A

## PARTICIPATION GOALS FOR CONSTRUCTION, STANDARD

## AND PROFESSIONAL SERVICES CONTRACTS OR TASK ORDERS

1. The **MBE and/or WBE Participation Goals** established for this Contract or Task Orders issued pursuant to this Contract, ("**Participation Goals**"), as applicable, are set forth on Schedule B, Part 1 to this Contract (see Page 1, Line 1 Total Participation Goals) or will be set forth on Schedule B, Part 1 to Task Orders issued pursuant to this Contract, as applicable.

The **Participation Goals** represent a percentage of the total dollar value of the Contract or Task Order, as applicable, that may be achieved by awarding subcontracts to firms certified with DSBS as MBEs and/or WBEs, and/or by crediting the participation of prime contractors and/or qualified joint ventures as provided in Section 3 below, unless the goals have been waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

2. If **Participation Goals** have been established for this Contract or Task Orders issued pursuant to this Contract, Contractor agrees or shall agree as a material term of the Contract that Contractor shall be subject to the **Participation Goals**, unless the goals are waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

3. If **Participation Goals** have been established for this Contract or Task Order issued pursuant to this Contract, a Contractor that is an MBE and/or WBE shall be permitted to count its own participation toward fulfillment of the relevant **Participation Goal**, provided that in accordance with Section 6-129 the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that the Contractor pays to direct subcontractors (as defined in Section 6-129(c)(13)), and provided further that a Contractor that is certified as both an MBE and a WBE may count its own participation either toward the goal for MBEs or the goal for WBEs, but not both.

A Contractor that is a qualified joint venture (as defined in Section 6-129(c)(30)) shall be permitted to count a percentage of its own participation toward fulfillment of the relevant **Participation Goal**. In accordance with Section 6-129, the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that Contractor pays to direct subcontractors, and then multiplying the remainder by the percentage to be applied to total profit to determine the amount to which an MBE or WBE is entitled pursuant to the joint venture agreement, provided that where a participant in a joint venture is certified as both an MBE and a WBE, such amount shall be counted either toward the goal for MBEs or the goal for WBEs, but not both.

4. A. If **Participation Goals** have been established for this Contract, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Utilization Plan, Part 2 (see Pages1-2) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end; as well as the name, addresses, and telephone numbers of the M/WBE subcontractors if required by the solicitation; and (d) the prospective contractor's required certification and affirmations. In the event that this M/WBE Utilization Plan indicates that the bidder or proposer, as applicable, does not intend to meet the **Participation Goals**, the bid or proposal, as applicable, a pre-award waiver of the **Participation Goals** in accordance with Section 6-129 and Part A, Section 10 below.

B. (i) If this Contract is for a master services agreement or other requirements type contract that will result in the issuance of Task Orders that will be individually registered ("Master Services Agreement") and is subject to M/WBE **Participation Goals**, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Participation Requirements for Master Services Agreements That Will Require Individually Registered Task Orders, Part 2 (page 2) indicating the prospective contractor's certification and required affirmations to make all reasonable good faith efforts to meet participation goals established on each individual Task Order issued pursuant to this Contract, or if a partial waiver is obtained or such goals are modified by the Agency, to meet the modified **Participation Goals** by soliciting and obtaining the participation of certified MBE and/or WBE firms. In the event that the Schedule B indicates that the bidder or proposer, as applicable, does not intend to meet the **Participation Goals** that may be established on Task Orders issued pursuant to this Contract, the bid or proposal, as applicable, shall be deemed non-responsive. (ii) **Participation Goals** on a Master Services Agreement will be established for individual Task Orders issued after the Master Services Agreement is awarded. If **Participation Goals** have been established on a Task Order, a contractor shall be required to submit a Schedule B – M/WBE Utilization Plan For Independently Registered Task Orders That Are Issued Pursuant to Master Services Agreements, Part 2 (see Pages 1-2) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end; as well as the name, addresses, and telephone numbers of the M/WBE subcontractors if required by the solicitation; and (d) the prospective contractor's required certification and affirmations. The contractor must engage in good faith efforts to meet the **Participation Goals** as established for the Task Order unless Agency has granted the contractor a pre-award waiver of the **Participation Goals** in accordance with Section 6-129 and Part A, Section 10 below.

C. THE BIDDER/PROPOSER MUST COMPLETE THE SCHEDULE B INCLUDED HEREIN (SCHEDULE B, PART 2). A SCHEDULE B SUBMITTED BY THE BIDDER/PROPOSER WHICH DOES NOT INCLUDE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS WILL BE DEEMED TO BE NON-RESPONSIVE, UNLESS A FULL WAIVER OF THE PARTICIPATION GOALS IS GRANTED (SCHEDULE B, PART 3). IN THE EVENT THAT THE CITY DETERMINES THAT THE BIDDER/PROPOSER HAS SUBMITTED A SCHEDULE B WHERE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS ARE COMPLETED BUT OTHER ASPECTS OF THE SCHEDULE B ARE NOT COMPLETE, OR CONTAIN A COPY OR COMPUTATION ERROR THAT IS AT ODDS WITH THE VENDOR CERTIFICATION AND AFFIRMATIONS, THE BIDDER/PROPOSER WILL BE NOTIFIED BY THE AGENCY AND WILL BE GIVEN FOUR (4) CALENDAR DAYS FROM RECEIPT OF NOTIFICATION TO CURE THE SPECIFIED DEFICIENCIES AND RETURN A COMPLETED SCHEDULE B TO THE AGENCY. FAILURE TO DO SO WILL RESULT IN A DETERMINATION THAT THE BID/PROPOSAL IS NON-RESPONSIVE. RECEIPT OF NOTIFICATION IS DEFINED AS THE DATE NOTICE IS E-MAILED OR FAXED (IF THE BIDDER/PROPOSER HAS PROVIDED AN E-MAIL ADDRESS OR FAX NUMBER), OR NO LATER THAN FIVE (5) CALENDAR DAYS FROM THE DATE OF MAILING OR UPON DELIVERY, IF DELIVERED.

5. Where an **M/WBE** Utilization Plan has been submitted, the Contractor shall, within 30 days of issuance by Agency of a notice to proceed, submit a list of proposed persons or entities to which it intends to award subcontracts within the subsequent 12 months. In the case of multi-year contracts, such list shall also be submitted every year thereafter. The Agency may also require the Contractor to report periodically about the contracts awarded by its direct subcontractors to indirect subcontractors (as defined in Section 6-129(c)(22)). PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor must identify all those to which it intends to award construction subcontracts for any portion of the Wicks trade work at the time of bid submission, regardless of what point in the life of the contract such subcontracts will occur. In identifying intended subcontractors in the bid submission, bidders may satisfy any Participation Goals established for this Contract by proposing one or more subcontractors that are MBEs and/or WBEs for any portion of the Wicks trade work. In the event that the Contractor's selection of a subcontractor is disapproved, the Contractor shall have a reasonable time to propose alternate subcontractors.

6. MBE and WBE firms must be certified by DSBS in order for the Contractor to credit such firms' participation toward the attainment of the **Participation Goals**. Such certification must occur prior to the firms' commencement of work. A list of city-certified MBE and WBE firms may be obtained from the DSBS

website at www.nyc.gov/buycertified, by emailing DSBS at buyer@sbs.nyc.gov, by calling (212) 513-6451, or by visiting or writing DSBS at One Liberty Plaza, New York, New York, 10006, 11th floor. Eligible firms that have not yet been certified may contact DSBS in order to seek certification by visiting www.nyc.gov/getcertified, emailing MWBE@sbs.nyc.gov, or calling the DSBS certification helpline at (212) 513-6311. A firm that is certified as both an MBE and a WBE may be counted either toward the goal for MBEs or the goal for WBEs, but not both. No credit shall be given for participation by a graduate MBE or graduate WBE, as defined in Section 6-129(c)(20).

7. Where an **M/WBE** Utilization Plan has been submitted, the Contractor shall, with each voucher for payment, and/or periodically as Agency may require, submit statements, certified under penalty of perjury, which shall include, but not be limited to,: the total amount the Contractor paid to its direct subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount direct subcontractors paid to indirect subcontractor; the names, addresses and contact numbers of each MBE or WBE hired as a subcontractor by the Contractor, and, where applicable, hired by any of the Contractor's direct subcontractors; and the dates and amounts paid to each MBE or WBE. The Contractor shall also submit, along with its voucher for final payment: the total amount it paid to subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount its direct subcontractors paid directly to their indirect subcontractors; and a final list, certified under penalty of perjury, which shall include the name, address and contact information of each subcontractor that is an MBE or WBE, the work performed by, and the dates and amounts paid to each.

8. If payments made to, or work performed by, MBEs or WBEs are less than the amount specified in the Contractor's **M/WBE** Utilization Plan, Agency shall take appropriate action, in accordance with Section 6-129 and Article II below, unless the Contractor has obtained a modification of its **M/WBE** Utilization Plan in accordance with Section 6-129 and Part A, Section 11 below.

9. Where an **M/WBE** Utilization Plan has been submitted, and the Contractor requests a change order the value of which exceeds the greater of 10 percent of the Contract or Task Order, as applicable, or \$500,000, Agency shall review the scope of work for the Contract or Task Order, as applicable, and the scale and types of work involved in the change order, and determine whether the **Participation Goals** should be modified.

10. Pre-award waiver of the Participation Goals.

(a) A bidder or proposer, or contractor with respect to a Task Order, may seek a pre-award full or partial waiver of the Participation Goals in accordance with Section 6-129, which requests that Agency change one or more **Participation Goals** on the grounds that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, or by demonstrating that it has legitimate business reasons for proposing a lower level of subcontracting in its M/WBE Utilization Plan.

(b) To apply for a full or partial waiver of the **Participation Goals**, a bidder, proposer, or contractor, as applicable, must complete Part 3 of Schedule B and submit such request no later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due, in writing to the Agency by email at <u>MWBEModification@ddc.nyc.gov</u>. Full or partial waiver requests that are received later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due may be rejected as untimely. Bidders, proposers, or contractors, as applicable, who have submitted timely requests will receive an Agency response by no later than two (2) calendar days prior to the date for bids, proposals, or Task Orders; provided, however, that if that date would fall on a weekend or holiday, an Agency response will be provided by close-of-business on the business day before such weekend or holiday date.

(c) If the Agency determines that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, it shall revise the solicitation and extend the deadline for bids and proposals, or revise the Task Order, as applicable.

(d) Agency may grant a full or partial waiver of the **Participation Goals** to a bidder, proposer or contractor, as applicable, who demonstrates—before submission of the bid, proposal or Task Order, as applicable—that it has legitimate business reasons for proposing the level of

subcontracting in its **M/WBE** Utilization Plan. In making its determination, Agency shall consider factors that shall include, but not be limited to, whether the bidder, proposer or contractor, as applicable, has the capacity and the bona fide intention to perform the Contract without any subcontracting, or to perform the Contract without awarding the amount of subcontracts represented by the **Participation Goals**. In making such determination, Agency may consider whether the **M/WBE** Utilization Plan is consistent with past subcontracting practices of the bidder, proposer or contractor, as applicable, has made efforts to form a joint venture with a certified firm, and whether the bidder, proposer, or contractor, as applicable, has made good faith efforts to identify other portions of the Contract that it intends to subcontract.

11. Modification of **M/WBE** Utilization Plan. (a) A Contractor may request a modification of its **M/WBE** Utilization Plan after award of this Contract. **PLEASE NOTE:** If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor may request a Modification of its M/WBE Utilization Plan as part of its bid submission. The Agency may grant a request for Modification of a Contractor's M/WBE Utilization Plan if it determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts to meet the **Participation Goals**. In making such determination, Agency shall consider evidence of the following efforts, as applicable, along with any other relevant factors:

(i) The Contractor advertised opportunities to participate in the Contract, where appropriate, in general circulation media, trade and professional association publications and small business media, and publications of minority and women's business organizations;

(ii) The Contractor provided notice of specific opportunities to participate in the Contract, in a timely manner, to minority and women's business organizations;

(iii) The Contractor sent written notices, by certified mail or facsimile, in a timely manner, to advise MBEs or WBEs that their interest in the Contract was solicited;

(iv) The Contractor made efforts to identify portions of the work that could be substituted for portions originally designated for participation by MBEs and/or WBEs in the **M/WBE** Utilization Plan, and for which the Contractor claims an inability to retain MBEs or WBEs;

(v) The Contractor held meetings with MBEs and/or WBEs prior to the date their bids or proposals were due, for the purpose of explaining in detail the scope and requirements of the work for which their bids or proposals were solicited;

(vi) The Contractor made efforts to negotiate with MBEs and/or WBEs as relevant to perform specific subcontracts, or act as suppliers or service providers;

(vii) Timely written requests for assistance made by the Contractor to Agency's M/WBE liaison officer and to DSBS;

(viii) Description of how recommendations made by DSBS and Agency were acted upon and an explanation of why action upon such recommendations did not lead to the desired level of participation of MBEs and/or WBEs.

Agency's M/WBE officer shall provide written notice to the Contractor of the determination.

(b) The Agency may modify the **Participation Goals** when the scope of the work has been changed by the Agency in a manner that affects the scale and types of work that the Contractor indicated in its **M/WBE Utilization Plan** would be awarded to subcontractors.

12. If the Contractor was required to identify in its bid or proposal the MBEs and/or WBEs they intended to use in connection with the performance of the Contract or Task Order, substitutions to the identified firms may only be made with the approval of the Agency, which shall only be given when the Contractor has proposed to use a firm that would satisfy the **Participation Goals** to the same extent as the firm previously identified, unless the Agency determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts. In making such determination, the Agency shall require evidence of the efforts listed in Section 11(a) above, as applicable, along with any other relevant factors.

13. If this Contract is for an indefinite quantity of construction, standard or professional services or is a requirements type contract and the Contractor has submitted an **M/WBE** Utilization Plan and has committed to subcontract work to MBEs and/or WBEs in order to meet the **Participation Goals**, the Contractor will not be deemed in violation of the M/WBE Program requirements for this Contract with regard to any work which was intended to be subcontracted to an MBE and/or WBE to the extent that the Agency has determined that such work is not needed.

14. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, at least once annually during the term of the Contract or Task Order, as applicable, Agency shall review the Contractor's progress toward attainment of its **M/WBE** Utilization Plan, including but not limited to, by reviewing the percentage of work the Contractor has actually awarded to MBE and/or WBE subcontractors and the payments the Contractor made to such subcontractors.

15. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, Agency shall evaluate and assess the Contractor's performance in meeting those goals, and such evaluation and assessment shall become part of the Contractor's overall contract performance evaluation.

## PART B

## MISCELLANEOUS

1. The Contractor shall take notice that, if this solicitation requires the establishment of a **M/WBE** Utilization Plan, the resulting contract may be audited by DSBS to determine compliance with Section 6-129. See §6-129(e)(10). Furthermore, such resulting contract may also be examined by the City's Comptroller to assess compliance with the **M/WBE** Utilization Plan.

2. Pursuant to DSBS rules, construction contracts that include a requirement for a **M/WBE** Utilization Plan shall not be subject to the law governing Locally Based Enterprises set forth in Section 6-108.1 of the Administrative Code of the City of New York.

3. DSBS is available to assist contractors and potential contractors in determining the availability of MBEs and/or WBEs to participate as subcontractors, and in identifying opportunities that are appropriate for participation by MBEs and/or WBEs in contracts.

4. Prospective contractors are encouraged to enter into qualified joint venture agreements with MBEs and/or WBEs as defined by Section 6-129(c)(30).

5. By submitting a bid or proposal the Contractor hereby acknowledges its understanding of the M/WBE Program requirements set forth herein and the pertinent provisions of Section 6-129, and any rules promulgated thereunder, and if awarded this Contract, the Contractor hereby agrees to comply with the M/WBE Program requirements of this Contract and pertinent provisions of Section 6-129, and any rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract. The Contractor hereby agrees to make all reasonable, good faith efforts to solicit and obtain the participation of MBEs and/or WBEs to meet the required **Participation Goals**.

## **ARTICLE II. ENFORCEMENT**

1. If Agency determines that a bidder or proposer, as applicable, has, in relation to this procurement, violated Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, Agency may disqualify such bidder or proposer, as applicable, from competing for this Contract and the Agency may revoke such bidder's or proposer's prequalification status, if applicable.

2. Whenever Agency believes that the Contractor or a subcontractor is not in compliance with Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to any **M/WBE** Utilization Plan, Agency shall send a written notice to the Contractor describing the alleged noncompliance and offering the Contractor an opportunity to be heard. Agency shall then conduct an investigation to determine whether such Contractor or subcontractor is in compliance.

3. In the event that the Contractor has been found to have violated Section 6-129, the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to, any **M/WBE** Utilization Plan, Agency may determine that one of the following actions should be taken:

(a) entering into an agreement with the Contractor allowing the Contractor to cure the violation;

- (b) revoking the Contractor's pre-qualification to bid or make proposals for future contracts;
- (c) making a finding that the Contractor is in default of the Contract;
- (d) terminating the Contract;
- (e) declaring the Contractor to be in breach of Contract;
- (f) withholding payment or reimbursement;
- (g) determining not to renew the Contract;
- (h) assessing actual and consequential damages;

(i) assessing liquidated damages or reducing fees, provided that liquidated damages may be based on amounts representing costs of delays in carrying out the purposes of the M/WBE Program, or in meeting the purposes of the Contract, the costs of meeting utilization goals through additional procurements, the administrative costs of investigation and enforcement, or other factors set forth in the Contract;

(j) exercising rights under the Contract to procure goods, services or construction from another contractor and charge the cost of such contract to the Contractor that has been found to be in noncompliance; or

(k) taking any other appropriate remedy.

4. If an **M/WBE** Utilization Plan has been submitted, and pursuant to this Article II, Section 3, the Contractor has been found to have failed to fulfill its **Participation Goals** contained in its **M/WBE** Utilization Plan or the **Participation Goals** as modified by Agency pursuant to Article I, Part A, Section 11, Agency may assess liquidated damages in the amount of ten percent (10%) of the difference between the dollar amount of work required to be awarded to MBE and/or WBE firms to meet the **Participation Goals** and the dollar amount the Contractor actually awarded and paid, and/or credited, to MBE and/or WBE firms. In view of the difficulty of accurately ascertaining the loss which the City will suffer by reason of Contractor's failure to meet the **Participation Goals**, the foregoing amount is hereby fixed and agreed as the liquidated damages that the City will suffer by reason of such failure, and not as a penalty. Agency may deduct and retain out of any monies which may become due under this Contract the amount of any such liquidated damages; and in case the amount which may become due under this Contract shall be less than the amount of liquidated damages suffered by the City, the Contractor shall be liable to pay the difference.

5. Whenever Agency has reason to believe that an MBE and/or WBE is not qualified for certification, or is participating in a contract in a manner that does not serve a commercially useful function (as defined in Section 6-129(c)(8)), or has violated any provision of Section 6-129, Agency shall notify the Commissioner of DSBS who shall determine whether the certification of such business enterprise should be revoked.

6. Statements made in any instrument submitted to Agency pursuant to Section 6-129 shall be submitted under penalty of perjury and any false or misleading statement or omission shall be grounds for the application of any applicable criminal and/or civil penalties for perjury. The making of a false or fraudulent statement by an MBE and/or WBE in any instrument submitted pursuant to Section 6-129 shall, in addition, be grounds for revocation of its certification.

7. The Contractor's record in implementing its **M/WBE** Utilization Plan shall be a factor in the evaluation of its performance. Whenever Agency determines that a Contractor's compliance with an **M/WBE** Utilization Plan has been unsatisfactory, Agency shall, after consultation with the City Chief Procurement Officer, file an advice of caution form for inclusion in PASSPort as caution data.

# **Affirmation**

The Bidder affirms and declares:

- 1. The said bidder is of lawful age and the only one interested in this bid; and no person, firm or corporation other than hereinbefore named has any interest in this bid, or in the Contract proposed to be taken.
- 2. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief: (1) the prices in this bid have been arrived at independently without collusion, consultation, communication or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor; (2) unless otherwise required by law, the prices quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and (3) no attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.
- 3. No councilman or other officer or employee or person whose salary is payable in whole or in part from the City Treasury is directly or indirectly interested in this bid, or in the supplies, materials, equipment, work or labor to which it relates, or in any of the profits thereof.
- 4. The bidder is not in arrears to the City of New York upon debt or contract or taxes, and is not a defaulter, as surety or otherwise, upon any obligation of the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York or State of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except as set forth on the Affirmation included as disclosed in PASSPort.
- 5. The bidder hereby affirms that is has paid all applicable City income, excise and other taxes for all it has conducted business activities in New York City.
- 6. The bidder, as an individual, or as a member, partner, director or officer of the bidder, if the same be a firm, partnership or corporation, executes this document expressly warranting and representing that should this bid be accepted by the City and the Contract awarded to him, he and his subcontractors engaged in the performance:

(1) will comply with the provisions of Section 6-108 of the Administrative Code of the City of New York and the non-discrimination provisions of Section 220a of the New York State Labor Law, as more expressly and in detail set forth in the Agreement; (2) will comply with Section 6-109 of the Administrative Code of the City of New York in relation to minimum wages and other stipulations as more expressly and in detail set forth in the Agreement; (3) have complied with the provisions of the aforesaid laws since their respective effective dates, and (4) will post notices to be furnished by the City, setting forth the requirements of the aforesaid laws in prominent and conspicuous places in each and every plant, factory, building and structure where employees engaged in the performance of the Contract can readily view it, and will continue to keep such notices posted until the supplies, materials and equipment, or work labor and services required to be furnished or rendered by the Contractor have been finally accepted by the City. In the event of any breach or violation of the foregoing, the Contractor may be subject to damages, liquidated or otherwise, cancellation of the Contract and suspension as a

bidder for a period of three years. (The words, "the bidder", "he", "his", and "him" where used shall mean the individual bidder, firm, partnership or corporation executing this bid).

7. Compliance Report

The bidder, as an individual, or as a member, partner, director, or officer of the bidder, if the same be a firm, partnership, or corporation, (1) represents that his attention has been specifically drawn to Executive Order No. 50, dated April 25, 1980, on Equal Employment Compliance of the contract, and (2) warrants that he will comply with the provisions of Executive Order No. 50. The Employment Report must be submitted as part of the bid.

- 8. The bidder, as an individual, or as a member, partner, director, or officer of the bidder, if the same be a firm, partnership, or corporation, executes this document expressly warranting that he will comply with: (1) the provision of the contract on providing records, Chapter 8.
- 9. By submission of this bid, the bidder certifies that it now has and will continue to have the financial capability to fully perform the work required for this contract. Any award of this contract will be made in reliance upon such certification. Upon request therefor, the bidder will submit written verification of such financial capability in a form that is acceptable to the department.
- 10. In accordance with Section 165 of the State Finance Law, the bidder agrees that tropical hardwoods, as defined in Section 165 of the State Finance Law, shall not be utilized in the performance of this Contract, except as the same are permitted by the foregoing provision of law.
- 11. The bidder has visited and examined the site of the work and has carefully examined the Contract in the form approved by the Corporation Counsel, and will execute the Contract and perform all its items, covenants and conditions, and will provide, furnish and deliver all the work, materials, supplies, tools and appliances for all labor and materials necessary or required for the hereinafter named work, all in strict conformity with the Contract, for the prices set forth in the Bid Schedule.
- 12. M/WBE UTILIZATION PLAN: By signing its bid, the bidder agrees to the M/WBE Vendor Certification and Required Affirmations set forth below, unless a full waiver of the Participation Goals is granted.

I hereby:

- 1) acknowledge my understanding of the M/WBE participation requirements as set forth in this Contract and the pertinent provisions of Section 6-129 of the Administrative Code of the City of New York and the rules promulgated thereunder;
- 2) affirm that the information supplied in support of the M/WBE Utilization Plan is true and correct;
- 3) agree, if awarded this Contract, to comply with the M/WBE participation requirements of this Contract, the pertinent provisions of Section 6-129, and the rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract;
- 4) agree and affirm that it is a material term of this Contract that the Vendor will award the total dollar value of the M/WBE Participation Goals to certified MBEs and/or WBEs, unless a full waiver is obtained or such goals are modified by the Agency; and

agree and affirm, if awarded this Contract, to make all reasonable, good faith efforts to meet the M/WBE Participation Goals, or If a partial waiver is obtained or such goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms.

# **Bid Schedule**

The Questionnaire in the PASSPort system contains the Bid Schedule. Items listed in the Bid Schedule shall comply with the requirements of the corresponding sections of the specifications detailed in the table below. All references to the Standard Specifications, Details, Standards and Drawings shall be to the version in effect at the time of bid.

## NOTES:

- "XXX" in the table below signifies any possible combination of characters and spaces.
- The table below may contain item formats which are not included in the Bid Schedule. *Please refer to the Bid Schedule to determine which specifications apply.*

Item Number Format	Applicable Specifications
4.XXX	NYC Department of Transportation ("DOT") Standard Highway Specifications,
6.XXX	as amended in the R-Pages, located in Volume 3 of 3 herein;
7.XXX	AND
8.XXX	NYC DOT Standard Details of Construction;
(Except 8.01 XXX; see below)	OR,
9.XXX	if the item is not contained within the Standard Specifications, then see the
HW-XXX	applicable New Sections in the I-Pages, located in Volume 3 of 3 herein.
	NYC Department of Environmental Protection ("DEP") Standard Sewer and Water Main Specifications, as amended in the R-Pages and SW-Pages, located in Volume 3 of 3 herein;
1.XXX	AND
50.XXX through 55.XXX	NYC DEP Specifications for Trunk Main Work;
60.XXX through 66.XXX	AND
70.XXX through 79.XXX	NYC DEP Sewer Design Standards;
(Except 79.11XXX; see below)	AND
DSS XXX	NYC DEP Water Main Standard Drawings;
DSW XXX	OR,
	<i>if the item is not contained within the Standard Specifications,</i> then see the Amendments to the Standard Sewer and Water Main Specifications in the SW-Pages, located in Volume 3 of 3 herein.
GI-XXX	New Sections in the I-Pages, located in Volume 3 of 3 herein
PM-XXX	AND
ROW XXX	NYC DEP Standards for Green Infrastructure.

Item Number Format	Applicable Specifications
UTL-XXX	Gas Cost Sharing Standard Specifications in the EP7-Pages, located in Volume 3 of 3 herein.
83X.XXX	
MX.XXX	
MP XXX	
NYC-XXX	
NYCT-XXX	New Sections in the I-Pages, located in Volume 3 of 3 herein.
NYPD-XXX	
P XXX	
PK-XXX	
BMP-XXX	Specifications for Construction of Best Management Practice (BMP) and Mitigation Area in the BMP-Pages, located in Volume 3 of 3 herein.
E XXX	Specifications for the Specialty Electrical Works in the EL-Pages, located in
ME XXX	Volume 3 of 3 herein.
	NYC DOT Division of Street Lighting Specifications
SL-XXX	AND
	NYC Division of Street Lighting Standard Drawings.
	NYC DOT Specifications for Traffic Signals and Intelligent Transportation Systems
T-XXX	AND
	NYC DOT Traffic Signal Standard Drawings.
JB XXX	Joint Bid Specifications in the JB-Pages, located in Volume 3 of 3 herein.
8.01 XXX	Specifications for Handling, Transportation and Disposal of Nonhazardous and Potentially Hazardous Contaminated Materials in the HAZ-Pages, located in Volume 3 of 3 herein.
67.XXX	Specifications for Abatement of Coal Tar Wrap Asbestos Containing Materials in the ASB-Pages, located in Volume 3 of 3 herein.
79.11XXX	Specifications for Abatement of Transit Authority Duct Insulation Asbestos Containing Materials in the ASB-Pages, located in Volume 3 of 3 herein.

# **Pre-Award Process**

The bidder is advised that as part of the pre-award review of its bid, it may be required to submit the information described in Sections (A) through (D) below. If required, the bidder must submit such information within five (5) business days following receipt of notification from DDC that it is among the low bidders. Such notification from DDC will be by email and will specify the types of information which must be submitted directly to DDC.

# In the event the bidder fails to submit the required information within the specified time frame, its bid may be rejected as nonresponsive.

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- (A) Project Reference Form: If required, the bidder must complete and submit the Project Reference Form set forth in this Bid Booklet. The Project Reference Form consists of 3 parts: (1) Contracts Completed by the Bidder, (2) Contracts Currently Under Construction by the Bidder, and (3) Pending Contracts Not Yet Started by the Bidder.
- (B) Copy of License: If required, the bidder must submit a copy of the license under which the bidder will be performing the work. Such license must clearly show the following: (1) Name of the Licensee, (2) License Number, and (3) Expiration date of the License. A copy of the license will be required from bidders for the following contracts: Plumbing Work, Electrical Work and Asbestos Abatement.
- (C) **Financial Information**: If required, the bidder must submit the financial information described below:
  - (1) Audited Financial Statements: Financial statements (Balance Sheet and Income Statement) of the entity submitting the bid, as audited by an independent auditor licensed to practice as a certified public accountant (CPA). Audited financial statements for the three most recent fiscal years must be submitted. Each such financial statement must include the auditor's standard report.

If the bidder does not have audited financial statements, it must submit an affidavit attesting to the fact that the bidder does not have such statements. In addition, the bidder must submit the following documentation covering the three most recent fiscal years: signed federal tax returns, unaudited financial statements, and a "certified review letter" from a certified public accountant (CPA) verifying the unaudited financial statements.

Unless the most recent audited or unaudited financial statement was issued within ninety (90) days, the bidder must submit interim financial information that includes data on financial position and results of operation (income data) for the current fiscal year. Such information may be summarized on a monthly or quarterly basis or at other intervals.

(2) Schedule of Aged Accounts Receivable, including portion due within ninety (90) days.

- (D) **Project Specific Information**: If required, the bidder must submit the project specific information described below:
  - (1) Statement indicating the number of years of experience the bidder has had and in what type of construction.
  - (2) Resumes of all key personnel to be involved in the project, including the proposed project superintendent.
  - (3) List of significant pieces of equipment expected to be used for the contract, and whether such equipment is owned or leased.
  - (4) Description of work expected to be subcontracted, and to what firms, if known.
  - (5) List of key material suppliers.
  - (6) Preliminary bar chart time schedule
  - (7) Contractor's expected means of financing the project. This should be based on the assumption that the contractor is required to finance 2X average monthly billings throughout the contract period.
  - (8) Any other issues the contractor sees as impacting his ability to complete the project according to the contract.

In addition to the information described in Sections (A) through (D) above, the bidder shall submit such additional information as the Commissioner may require, including without limitation, an explanation or justification for specific unit price items.

The bidder is further advised that it may be required to attend a pre-award meeting with DDC representatives. If such a meeting is convened, the bidder will be advised as to any additional material to be provided.

# **QUALIFICATION FORM**

Name of Contractor: Power Concrete Co., Inc
Name of Project: HWPR18K2
Location of Project: <u>Borough of Brooklyn</u>
Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:
Name: _New York City Department of Design and Construction
Title: <u>Lafayette Cisco</u> Phone Number: <u>718-391-1744</u>
Brief description of the Project completed or the Project in progress:
Rehabilitation of Pedestrian Ramps at Designated Locations, Borough of Brooklyn
Was the Project performed as a prime, a subcontractor or a sub-subcontractor:
Amount of Contract, Subcontract or Sub-subcontract:\$8,370,915.55
Start Date and Completion Date:March 2019 / August 2020
***************************************
Name of Contractor: Power Concrete Co., Inc.
Name of Project:HWS2018Q2
Location of Project: Borough of Queens
Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:
Name: <u>New York City Department of Design and Construction</u>
Title:Jin LinPhone Number:347-578-1947
Brief description of the Project completed or the Project in progress:
Installation of Sidewalks, Adjacent Curbs & Pedestrian Ramps, Borough of Queens
Was the Project performed as a prime, a subcontractor or a sub-subcontractor: Prime
Amount of Contract, Subcontract or Sub-subcontract: <u>\$3,280,536.50</u>
Start Date and Completion Date:September 2018/August 2020



## NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN BID SCHEDULE

Project ID: HWP ePIN: 85024

HWPR23MQ 85024B0011

TOTAL BID PRICE: \$17,969,924.00

Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7	Col.8
			Engineer's				
Sea No	Item Number	Item Description	Estimate of	Unit	Unit Price	Extended Amount	Price Criteria
Jeq. 10	item Number	Item Description		Onit	Onterfice	Extended Amount	Fille Cillena
1	4.02.00		Quantity	TONG	¢200.00	ć220.000.00	
1	4.02 CB	ASPHALTIC CONCRETE MIXTURE	1,100.00	TONS	\$200.00	\$220,000.00	
2	4.04 H	CONCRETE BASE FOR PAVEMENT, VARIABLE THICKNESS FOR TRENCH RESTORATION,	60.00	C.Y.	\$80.00	\$4,800.00	
		(HIGH-EARLY STRENGTH)					
	4.07 AB	RESET BLUESTONE CURB	100.00		\$20.00	\$2,000.00	
-	4.07 BA	RESET GRANITE CURB	2,027.00		\$20.00		
	4.07 CB	NEW GRANITE CURB, STRAIGHT	338.00		\$60.00	. ,	
	4.07 CC	NEW GRANITE CURB, CORNER	1,689.00		\$100.00		
7	4.08 AA	CONCRETE CURB (18" DEEP)	5,000.00	L.F.	\$55.00	\$275,000.00	
8	4.08 RC-AA	RETURNED CONCRETE CURB (16" DEEP)	7,500.00	L.F.	\$50.00	\$375,000.00	
9	4.09 AD	STRAIGHT STEEL FACED CONCRETE CURB (18" DEEP)	4,662.00	L.F.	\$90.00	\$419,580.00	
10	4.09 CM-P	CORNER STEEL FACED CONCRETE CURB	23,311.00	L.F.	\$165.00	\$3,846,315.00	
11	4.13 AAS	4" CONCRETE SIDEWALK (UNPIGMENTED)	108,600.00	S.F.	\$22.00	\$2,389,200.00	
12	4.13 BAS	7" CONCRETE SIDEWALK (UNPIGMENTED)	239,350.00	S.F.	\$20.00	\$4,787,000.00	
	4.13 CABS	4" CONCRETE SIDEWALK (PIGMENTED) (SAW CUT TYPE JOINTS)	11,400.00		\$20.00		
	4.13 CBBS	7" CONCRETE SIDEWALK (PIGMENTED) (SAW CUT TYPE JOINTS)	25,650.00		\$20.00		
	4.13 DE	EMBEDDED PREFORMED DETECTABLE WARNING UNITS	19,000.00		\$32.00	. ,	
	4.13 DER	EMBEDDED PREFORMED BEFECTABLE WARNING UNITS	2,000.00		\$32.00		
	4.13 DER 4.13 DSA	SURFACE APPLIED DETECTABLE WARNING UNITS	100.00		\$32.00	. ,	
-	4.13 DSA 4.14	STEEL REINFORCEMENT BARS	1,000.00		\$1.00		
	4.14 4.14 W				\$1.00		
		WELDED STEEL WIRE FABRIC	1,000.00				
	4.15	TOPSOIL	20.00		\$45.00		
	4.16 AA	TREES REMOVED (4" TO UNDER 12" CALIPER)		EACH	\$650.00		
	4.16 AB	TREES REMOVED (12" TO UNDER 18" CALIPER)		EACH	\$800.00	\$1,600.00	
	4.16 STUMP	STUMP REMOVAL		EACH	\$250.00	\$750.00	
	4.18 A	MAINTENANCE TREE PRUNING (UNDER 12" CAL.)		EACH	\$215.00		
	4.18 B	MAINTENANCE TREE PRUNING (12" TO UNDER 18" CAL.)		EACH	\$270.00	\$2,160.00	
	4.18 C	MAINTENANCE TREE PRUNING (18" TO UNDER 24" CAL.)		EACH	\$325.00		
27	4.18 D	MAINTENANCE TREE PRUNING (24" CAL. AND OVER)	2.00	EACH	\$375.00	\$750.00	
28	4.21	TREE CONSULTANT	1,000.00	P/HR	\$80.00	\$80,000.00	
29	51.41S001	STANDARD CATCH BASIN, TYPE 1	1.00	EACH	\$1,000.00	\$1,000.00	
30	52.11D12	12" DUCTILE IRON PIPE BASIN CONNECTION	25.00	L.F.	\$100.00	\$2,500.00	
31	55.11AB	ABANDONING BASINS AND INLETS	1.00	EACH	\$10.00	\$10.00	
32	6.02 AAN	UNCLASSIFIED EXCAVATION	250.00	C.Y.	\$50.00	\$12,500.00	
33	6.20	BROKEN STONE BALLAST	50.00	C.Y.	\$24.00	\$1,200.00	Unit price bid shall not be less than: \$24.00
34	6.22 F	ADDITIONAL HARDWARE	3,000.00	LBS.	\$1.00	\$3,000.00	
	6.33 A	STEEL FACED MALL NOSING, 1' TO UNDER 3' RADIUS		EACH	\$100.00		
	6.33 B	STEEL FACED MALL NOSING, 3' TO UNDER 6' RADIUS		EACH	\$200.00		
	6.36 DR	STRUCTURAL REPAIR AND ADJUSTMENT OF UTILITY STRUCTURES	2.00		\$500.00		
	6.40 D	ENGINEER'S FIELD OFFICE (TYPE D)		MONTH	\$9,500.00		
	6.43 D	DIGITAL PHOTOGRAPHS	2,000.00		\$3,300.00	. ,	
	6.44	THERMOPLASTIC REFLECTORIZED PAVEMENT MARKINGS (4" WIDE)	4,500.00		\$24.00	. ,	
	6.44 CST		4,500.00		\$1.00	1 /	
	6.44 CST	COLOR SURFACE TREATMENT FOR PAVEMENTS (CST)	,		\$5.00	. ,	
		PAVEMENT MARKINGS - LETTERS AND NUMBERS		EACH		. ,	
-	6.44 S	PAVEMENT MARKINGS - SYMBOLS	12.00		\$500.00	. ,	
	6.47 A	FURNISH INTERLOCKING CONCRETE PAVERS (Z-BLOCKS)	5,000.00		\$25.00		
	6.47 B	INSTALL INTERLOCKING CONCRETE PAVERS (Z-BLOCKS)	5,000.00		\$40.00		
	6.51 BD-P	PAVEMENT KEY AT PEDESTRIAN RAMP	900.00		\$100.00	. ,	
	6.52 CG	CROSSING GUARD	4,000.00		\$60.00		
-	6.53	REMOVE EXISTING LANE MARKINGS (4" WIDE)	6,000.00		\$0.60	. ,	
49	6.60 A	ASPHALT BLOCK PAVERS RELAID	47.00	S.Y.	\$200.00	\$9,400.00	
50	6.60 B	FURNISH AND INSTALL ASPHALT BLOCK PAVERS	47.00	S.Y.	\$240.00	\$11,280.00	
51	6.70	MAINTENANCE AND PROTECTION OF TRAFFIC	1.00	L.S.	\$593,465.00	\$593,465.00	Unit price bid shall not be less than: \$593,465.00



## NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN BID SCHEDULE

Project ID: HWPR23MQ ePIN: 85024B0011

TOTAL BID PRICE: \$17,969,924.00

Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7	Col.8
			Engineer's				
Seq. No	Item Number	Item Description	Estimate of	Unit	Unit Price	Extended Amount	Price Criteria
52	6.82 A	REMOVING EXISTING TRAFFIC AND STREET NAME SIGNS	Quantity 1,250.00	S E	\$6.00	\$7,500.00	
	6.82 B	REMOVING EXISTING TRAFFIC AND STREET NAME SIGNS	2,600.00		\$6.00	\$15,600.00	
	6.83 AA	FURNISHING NEW NON-REFLECTORIZED TRAFFIC SIGNS	625.00		\$17.00	\$10,625.00	
	6.83 AB	FURNISHING NEW TRAFFIC SIGN POSTS	2,600.00		\$6.00	\$15,600.00	
	6.83 AR	FURNISHING NEW REFLECTORIZED TRAFFIC SIGNS	625.00	S.F.	\$17.00	\$10,625.00	
57	6.83 BA	INSTALLING TRAFFIC SIGNS	1,250.00	S.F.	\$11.00	\$13,750.00	
58	6.83 BB	INSTALLING TRAFFIC SIGN POSTS	2,600.00	L.F.	\$11.00	\$28,600.00	
59	7.08 AR	S10 RATED PIPE BOLLARD WITH RETROREFLECTIVE TAPE ON PROTECTIVE COVER	10.00	EACH	\$700.00	\$7,000.00	
60	7.08 ARL	S10 RATED PIPE BOLLARD WITH RETROREFLECTIVE TAPE ON PROTECTIVE LANDMARK COVER	10.00	EACH	\$700.00	\$7,000.00	
61	7.13 B	MAINTENANCE OF SITE	24.00	MONTH	\$8,950.00	\$214,800.00	Unit price bid shall not be less than: \$8,950.00
62	7.16 D	TEST PITS	15.00	C.Y.	\$270.00	\$4,050.00	
63	7.88 AB	RODENT BAIT STATIONS	2,000.00	EACH	\$65.00	\$130,000.00	Unit price bid shall not be less than: \$65.00
64	7.88 AC	BAITING OF RODENT BAIT STATIONS	2,000.00	EACH	\$11.00	\$22,000.00	Unit price bid shall not be less than: \$11.00
65	7.88 AD	WATERBUG BAIT APPLICATIONS	2,000.00	BLOCK	\$75.00	\$150,000.00	Unit price bid shall not be less than: \$75.00
66	72.11HF	HYDRAULIC FILL FOR ABANDONED SEWERS AND WATER MAINS	20.00	C.Y.	\$1.00	\$20.00	
67	8.02 AB-S	SPECIAL CARE EXCAVATION AND RESTORATION FOR CURB AND SIDEWALK WORK	2,000.00	S.F.	\$1.00	\$2,000.00	
68	8.10 B	NEW SURVEY MONUMENTS, TYPE "B"	30.00	EACH	\$3,500.00	\$105,000.00	
69	9.00 C	EXPLORATORY TEST PITS	50.00	C.F.	\$10.00	\$500.00	
70	9.29	ALLOWANCE FOR RAILROAD FACILITIES INCLUDING INSURANCE AND FORCE ACCOUNT (ALL TYPES)	1.00	F.S.	\$100,000.00	\$100,000.00	PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 100,000.00
71	HW-900-AVW	ALLOWANCE FOR ADDITIONAL VAULT RELATED WORK	1.00	F.S.	\$200,000.00	\$200,000.00	PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 200,000.00
72	HW-900H	ALLOWANCE FOR CITY WORK ACCELERATION	1.00	F.S.	\$200,000.00	\$200,000.00	PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 200,000.00
73	SL-20.01.02	FURNISH AND INSTALL FOUNDATION FOR TYPE "WF" LAMPPOST, AS PER DRAWING FDN- 005	1.00	EACH	\$3,000.00	\$3,000.00	
74	SL-20.02.02	FURNISH AND INSTALL STANDARD TYPE ANCHOR BOLT FOUNDATION, AS PER DRAWING E-3788	1.00	EACH	\$3,000.00	\$3,000.00	
75	SL-20.02.18	FURNISH AND INSTALL STANDARD TYPE ANCHOR BOLT FOUNDATION AS PER DRAWING J-3788A FOR INSTALLING TYPE 30' DAVIT LAMPPOST	1.00	EACH	\$3,000.00	\$3,000.00	
76	SL-20.07.01	FURNISH AND INSTALL ADDITIONAL RIGID CONDUIT BEND, SIZE AS ORDERED. USE THIS ITEM WHEN INSTALLING A NEW FOUNDATION.	3.00	EACH	\$800.00	\$2,400.00	
	T-1.1	INSTALL TYPE "S" OR "T" FOUNDATION		EACH	\$3,000.00	\$3,000.00	
	T-1.3	INSTALL TYPE "M2-5S" FOUNDATION		EACH	\$5,000.00	\$5,000.00	
	T-1.36	INSTALL ONE COSTAL STORM FND FOR S1A POLE		EACH	\$3,000.00	\$3,000.00	
	T-1.39	INSTL ONE COASTAL STORM FND FOR M2-A POLE		EACH	\$5,000.00	\$5,000.00	
81	T-1.6	INSTALL TYPE "M2-5T" FOUNDATION	1.00	EACH	\$5,000.00	\$5,000.00	
82	T-20020	a) FURNISH 3/4" ANCHOR BOLT ASSEMBLIES FOR S-1 (EACH) (3 REQUIRED PER POST)	5.00	EACH	\$500.00	\$2,500.00	
83	T-20220	c) FURNISH 1-1/4" ANCHOR BOLT ASSEMBLIES FOR M-2 (EACH) (4 REQUIRED PER POST)	5.00	EACH	\$700.00	\$3,500.00	
		SUBTOTAL				###########	
84	6.39 B	MOBILIZATION	1.00	L.S.	\$955,399.00	\$955,399.00	BID PRICE OF MOBILIZATION SHALL NOT EXCEED 8% OF THE ABOVE SUB-TOTAL PRICE.

## **BID SUBMISSION FORM**

Bidder Name:	Power Concrete Co., Inc.					
Procurement Title:	85024B0011-HWPR23MQ - REHABILITATION OF STANDARD PEDESTRIAN RAMPS AT					
	• • • • • • • • • • • • • • • • • • •					
	DESIGNATED LOCATIONS, MANHATTAN AND QUEENS					
RFx Name:	85024B0011-HWPR23MQ - REHABILITATION					
iti A fume.	OF STANDARD PEDESTRIAN RAMPS AT					
	DESIGNATED LOCATIONS, MANHATTAN					
	AND QUEENS					
The above-named bide	ler affirms and declares:					
1. The bidder has	s completed and submitted all required information for					
the above proc	curement in the PASSPort system;					
	cy between the bid price listed on this Bid Submission					
	bid information submitted in PASSPort may result in					
	ding the bid non-responsive; and					
	ng submitted in accordance with New York State					
General Municipal Law § 103.						
Total Bid Price:	\$ 17,969,924.00					
(a/k/a Total Amount)	ψ					
	Bidder Signature					
EIN (if applicable):	22-2813594					
	(EIN must match the EIN of the entity that submitted bid information in PASSPort)					
Bidder Name:	Power Concrete Co., Inc.					
By:	Jose R. Casimiro / President					
	(Name of Partner of Corporate Officer)					
	Jalo . ~					
Signature:	//11 teismo					
	(Signature of Partner of Corporate Officer)					



October 31, 2023

Department of Design & Construction 30-30 Thomson Avenue –1<sup>st</sup> Floor Long Island City, New York 11101

Attn: Anika Barrington Contract Manager/Finance and Procurement

Reference: Contract HWPR23MQ

Dear Ms. Barrington:

As per your email on October 26, 2023, please find the following information:

- 1) Project References
- 2) Project Specific Information

We are submitting all the information requested except for preliminary Bar Chart Time Schedule. At this time we do not have enough information available to execute such a schedule. This schedule is dependent on how and when the city expects to schedule the work.

If there are any questions or if additional information is required, please feel free to call the office at 718-391-0262.

Very Truly Yours, Power Concrete Co., Inc.

Jose R. Casimiro President

Folder/File:Project Reference Letter

**Project References** 

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# A. Contracts completed by the bidder

List all contracts substantially completed within the last 4 years, up to a maximum of 10 projects, in descending order of date of substantial completion.

Project & Location	Contract Type	Contract Amount (\$000)	Date Completed	Owner Reference & Tel. No.	Architect/Engineer Reference & Tel. No. (if different from owner)
Installation of Sidewalks/Ped Ramps Bronx Contract HWS2020X	Concrete Construction	3,150,469.	09/23	NYCDDC 718-391-2601	Kiran Patel 917-642-3713
Installation of Sidewalks/Ped Ramps Queens Contract HWS2020Q2	Concrete Construction	5,011,886.	02/23	NYCDDC 718-391-2601	Jin Lin 347-578-1947
ADA Ramps Various Locations #2021-007-E	ADA Ramps Concrete Construciton	1,969,924.	12/22	City of Jersey City Jersey City, NJ	Paul Russo 201-547-4411
Bridge Preventative Maint. Contract 2020-3 DP20467	Bridge Deck Repair	8,394,620.	09/22	NJDOT Trenton, NJ 609-5302103	Gerald P. Oliveto, PE 609-462-6229
Installation of sidewalks/Ped Ramps Brooklyn Contract HWS2016K1	Concrete Construction	6,023,794,	05/21	NYCDDC 718-391-2601	Robert Yueh 718-391-1937
Installation of Sidewalks/Ped Ramps Bronx Contract HWS2018X	Concrete Construction	2,169,819.	09/20	NYCDDC 718-391-2601	Patrick Larkin 718-391-1958
Installation of Sidewalks/Ped Ramps Brooklyn Contract HWPR18K2	Concrete Construction	8,542,940.	08/20	NYCDDC 718-391-2601	Lafayette Cisco 646-235-8862
Installation of sidewalks/Ped Ramps Queens Contract HWS2018Q2	Concrete Construction	3,324,131.	03/20	NYCDDC 718-391-2601	Norbu Tsering, PE 718-391-2555
Installation of Sidewalks/Ped Ramps Queens Contract HWS2016Q2	Concrete Construction	4,660,466.	02/19	NYCDDC 718-391-2601	Joseph Dorce, PE 718-391-2408

CITY OF NEW YORK DDC

INFRA BID BOOKLET JANUARY 2022

List all contracts currently under construction even if they are not similar to the contract being awarded.

**Contracts currently under construction by the bidder** 

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	Contract Type	Contract Amount (\$000)	Subcontracted to Others (\$000)	Uncompleted Portion (\$000)	Date Scheduled to Complete	Owner Reference & Tel. No.	Architect/ Engineer Reference & Tel. No. (if different from owner)
	Installation of Ped-Ramps ADA Ramps Queens Contract#HWPR20Q2 Construction	10,660,640.	0.00	197,845.	10/23	NYCDDC 718-391-2601	Jin Lin 347-578-1947
Installation of Ped-Ramps Brooklyn HWPR20K2	ADA Ramps Concrete Construciton	10,440,069,	0.00	1,024,423.	11/23	NYCDDC 718-391-2601	Jin Lin 347-578-1947
	Concrete Construciton	5,821,662.	0.00	5,821,662.	02/25	NYCDDC 718-391-2601	Peter Knight, EIC 347-203-3598
	ADA Ramps Various Locations #2021-007-E Contract 2 ADA Corners	1,969,924.	0.00	337,694.	12/23	City of Jersey City Jersey City	Paul Russo 201-547-4411
	Bridge Preventative Maint. Bridge Deck Contract 2020-1 DP20465	11,078,679.	0.00	428,168.	11/23	NJDOT Trenton, NJ 609-530-2103	Gerald P Oliveto, PE 609-462-6229
	Bridge Preventative Mairt. Contract 2022-1 DP22450 Repair	5,966,032	0.00	4,188,672.	06/24	NJDOT Trenton, NJ 609-5302103	Gerald P. Oliveto, PE 609-462-6229
	Bridge Preventative Mairt. Contract 2022-2 DP22451 Repair	5,369,379.	00.0	3,598,685.	06/24	NJDOT Trenton, NJ 609-5302103	Gerald P. Oliveto, PE 609-462-6229

CITY OF NEW YORK DDC

INFRA BID BOOKLET JANUARY 2022

C. Pending contracts not yet started by the bidder List all contracts awarded to or won by the bidder but not yet started.

Architect/Engineer Reference & Tel. No. (if different from owner)						
Owner Reference & Tel. No.		2				
Date Scheduled to Start						
Contract Amount (\$000)						
Contract Type						
Project & Location	None					

CITY OF NEW YORK DDC



October 31, 2023

1.

Power Concrete Co., Inc. was incorporated on March 23, 1987 and has been performing concrete construction work since this time.

## STATEMENT OF CONSTRUCTION EXPERIENCE

Individual Name	Present Position	Length of Time Employed By Our Organization	Years of Construction Experience	Type of Work
Jose R. Casimiro	President/Superviso	r March 1987	34 C	oncrete Construction

File: Statement of Construction Experience letter

## RESUME

Jose R. Casimiro 280 Laurel Lane Clark, New Jersey 07066

Education: Ann Street School, Newark, NJ 1972-1980

East Side High School, Newark, NJ 1980-1984

Union County Community College 1984-1985-Civil Engineering

Personal:MarriedDate of Birth: September 24, 1966

Employment Experience: 1986-1990-Roman Concrete Superintendent

> 1990-Present-Power Concrete Co., Inc. Construction Manager/President

Type of Work:All Phases of Concrete Work:Curbs, Sidewalks, Barrier, Parapet, and MiscellaneousConcrete Work

Projects Completed: 1989- Eastern Parkway Reconstruction Brooklyn, New York General Concrete Work

> 1990- Route 169-Section 2E, 2F, and 2G Bayonne, New Jersey General Concrete Work

## **Projects Completed:**

- 1992-Route 208-Sections 3R and 1D Bergen and Passaic Counties General Concrete Work
- 1993- Newport-Pace Store Jersey City, New Jersey General Concrete Work
- 1993- Route 287-Section 21G and 18E Bergen County General Concrete Work
- 1994-Route 1-Section 1N Mercer County General Concrete Work
- 1995-Route 1 & 9 Section 2AL Essex County General Concrete Work
- 1995-Route 24-Section 12L & 13A Union and Essex Counties General Concrete Work
- 1996- Route 147- Section 1E Cape Mau County General Concrete Work
- 1996- N.J.T.A. # W-6421 Essex County General Concrete Work
- 1997- Route 169-Section 1G & 2H Hudson County General Concrete Work

# Albert Leitao

leitaoal74@yahoo.com | 7 Sophia Court, Middletown, NJ 07748

## Education

Rutgers University Degree Program: Engineering Newark, NJ

## Work Experience

**Power Concrete Co., Inc.** Supervisor Newark, NJ 1998-Present

## **Completed Projects:**

- HWS2005Q2, Installation of Sidewalks in Various locations, Queens.
- HWP2006Q, Installation of Pedestrian Ramps at Designated Locations, Queens.
- HWS2007R, Installation of Sidewalks, Adjacent Curbs and Pedestrians Ramps as Necessary, Borough of Staten Island
- HWS2008K1, Installation of Sidewalks, Adjacent Curbs and Pedestrians Ramps as Necessary, Borough of Brooklyn
- HWS2009K, Installation of Sidewalks, Adjacent Curbs and Pedestrians Ramps as Necessary, Borough of Brooklyn
- HWQP1183, Reconstruction of Hillside Ave Streetscape from Van Wyck Epwy. Service Rd to 191<sup>st</sup> St., Borough of Queens
- HWS2011K, Installation of Sidewalks, Adjacent Curbs and Pedestrians Ramps as Necessary, Borough of Brooklyn
- HWS2012Q, Installation of Sidewalks, Adjacent Curbs and Pedestrians Ramps as Necessary, Borough of Queens
- HWS2013K, Installation of Sidewalks, Adjacent Curbs and Pedestrians Ramps as Necessary, Borough of Brooklyn
- HWCSCH3F1, Safe Routes to Schools, Phase IV, Including Curb and Sidewalk Reconstruction, pavement Markings, Sewer, Watermain, Street Lighting, and Traffic Signal Work, Borough of Bronx
- HWS2015K, Installation of Sidewalks, Adjacent Curbs and Pedestrians Ramps as Necessary, Borough of Brooklyn
- HWS2016Q1&2, Installation of Sidewalks, Adjacent Curbs and Pedestrians Ramps as Necessary, Borough of Queens
- HWS2018Q2, Installation of Sidewalks, Adjacent Curbs and Pedestrians Ramps as Necessary, Borough of Queens
- HWPR18K2, Rehabilitation of Pedestrian Ramps at Designated Locations, Borough of Brooklyn



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### Contract No. HWPR23MQ

2016 John Deere 710L Backhoe/Loader	Owned
2016 John Deere 410L Backhoe/Loader	Owned
2006 John Deere 710G Backhoe/Loader	Owned
2007 John Deere 710J Backhoe/Loader	Owned
2007 John Deere 710J Backhoe/Loader	Owned
2013 John Deere 410K Backhoe/Loader	Owned
2013 John Deere 410K Backhoe/Loader	Owned
Air Compressor	Owned
Vibratory Plate Compactors	Owned
Pick Up Trucks, Vans, And Flatbed	Owned

497 RAYMOND BOULEVARD, NEWARK, NEW JERSEY 07105 • TEL.: (973) 465-0030 • FAX: (973) 465-0911 AN EQUAL OPPORTUNITY EMPLOYER

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## **POWER CONCRETE CO., INC.**

## Contract No. HWPR23MQ

## WORK TO BE SUBCONTRACTED

The following categories of work are to be subcontracted. Subcontractors are undecided at this time.

- 1) Tree Consulting
- 2) Tree Pruning
- 3) Hauling & Disposal
- 4) MPT Flagging
- 5) Photos
- 6) Construction



Power Concrete Co., Inc. Contract No. HWPR23MQ

List of Suppliers

<u>Material/Item #</u> Concrete Supplier City Transit Mix Inc 104-14 148<sup>th</sup> St Jamaica, NY 11435 Phone: 718-658-3797

US Concrete

Binder Mixture and 6F Top

Subbase

Steel Face Curb

Green Asphalt Co. 37-98 Railroad Avenue Long Island City, NY 11101 Phone: 718-729-0990

Willets Point Asphalt 127-50 Northern Blvd. Flushing, NY 11368 Phone: 718-446-7000

Evergreen Recycling 12708 Willets Point Blvd Corona, NY 11368 Phone: 718-205-8038

G.C.M. Steel Products, Inc. 349 Troutman Street Brooklyn, NY 11237 Phone: 718-386-7373

Bend-All Industries 4459 Bronx Blvd. Bronx, NY 10470 Phone: 718-325-1588



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Curing Compound and Expansion Material

RAW Equipment Corp. 2800 College Point Blvd. Flushing, New York 11354 Phone: 718-461-2200

Extech Industries Inc. 43-87 Vernon Boulevard Long Island City, New York 11101 718-786-2288

Catch Basins, Manhole Castings, and Street Hardware

American Cast Iron Products, Inc. P.O. Box 610081 Bayside, NY 11361 Phone: 516-624-8543

General Foundries, Inc. 1160 State Street Perth Amboy, NJ 08861 Phone: 732-697-9000

Detectable Warning Surface

Compaction Testing, In-place Density Test Concrete Compressive Strength Test ADA Solutions, Inc. P.O. Box 3 N. Billerica, MA 01862 Phone: 800-372-0519

MT Group Corporate Headquarters 145 Sherwood Avenue Farmingdale, NY 11735



October 31, 2023

## STATEMENT OF FINANCING

Please note that we have a line of credit in the amount of \$2,000,000.00 that is readily available to finance the project.

# AGREEMENT BETWEEN LABORERS LOCAL UNION 1010 AND THE EMPLOYER

July 1, 2021- June 30, 2024

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AGREEMENT made as of the date set forth below by and between the undersigned Employer and the Highway, Road and Street Construction Laborers' Local Union 1010 of the Laborers' International Union of North America, AFL-CIO, Washington D.C. (hereinafter "Union") covering the terms and conditions of employment of employees of the Employer who perform work covered by this Agreement as defined below (hereinafter "Employees"):

NOW, THEREFORE, in consideration of the mutual promises and covenants hereinafter set forth, the parties agree as follows:

## ARTICLE I Purposes — Declaration of Principles

## Section 1—Purposes

The purposes for which this Agreement is entered into are as follows:

(a) prevent strikes and lockouts;

(b) facilitate peaceful adjustments of grievances and disputes between the Employer, Employee and Union;

(c) prevent waste, unnecessary and avoidable delays;

(d) enable the Employer to secure at all times sufficient forces of skilled workers;

(e) provide as far as possible for the continuous employment of labor;

(f) provide the employment hereunder shall be in accordance with conditions and at wages herein agreed upon;

(g) continue the custom and practice heretofore prevailing for many years on Site and Ground Improvement, Utility, Specialty, Line Striping, and Paving and Road Building Work as to the terms and conditions of employment and to establish procedure for amicable adjustment of disputes arising under this contract, to the extent provided herein.

### Section 2 — Declaration of Principles

The parties to this Agreement acknowledge that this Agreement, the Independent Agreement for the Site and Grounds Improvement, Utility, Specialty, Line Striping and Paving and Road Building Industry, will further the interests of this Industry.

(a) That there shall be no limitation as to the amount of work an Employee shall

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perform during the workday, it being understood that said Employee shall perform a fair and honest day's work within the limits of safety;

(b) That there shall be no restrictions on the use of machinery, tools or appliances, within the limits of safety;

(c) That there shall be no restriction on the use of any raw or manufactured materials, except prison made;

(d) That Employees are at liberty to work for whomsoever they see fit, and they are entitled to and shall receive the wages agreed upon as hereinafter set forth in this Agreement;

(e) That, except as provided herein, Employers are at liberty to employ and discharge whomsoever they see fit and the Employers shall at all times be the sole judge as to the work to be performed and whether such work performed by an Employee is or is not satisfactory;

(f) That the Employer, and the Union agree that they have not and will not discriminate because of race, creed, color, national origin, age, disability, religion, marital status, citizenship status, sex, sexual orientation, veteran status, or union membership against any individual.

# ARTICLE II Recognition and Jurisdiction

### Section 1— Recognition.

The Employer hereby recognizes the Union as the sole and exclusive bargaining agent, within the meaning of Section 9(a) of the National Labor Relations Act (the "Act"), of all fulltime and regular part-time Employees performing site and grounds improvement, utility, specialty, line striping, paving and road building work, employed on present job site within the jurisdiction of the Union. Such recognition is predicated on the Union's presentation of a clear showing that the majority of Employees in the bargaining unit are members of the Union and desire the Union to act as their exclusive representative within the meaning of Section 9(a) of the Act. The Employees' desire to be represented by the Union under Section 9(a) of the Act.

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## Section 2 --- Jurisdiction

This Agreement covers work performed by the Employer in New York City on Heavy Construction, Site and Grounds Improvement, Utility, Specialty, Line Striping, and Paving and Road Building Work, as hereinafter defined in Article VI and on Specialty and Line Striping as hereinafter defined in Article XIV.

If the Employer engages in any class of work not embodied in Heavy Construction, Specialty, Line Striping, or Paving and Road Building Work, as hereinafter defined, both parties shall comply with all of the Union conditions then existing in that class of work.

Heavy Construction Work, where referred to in this Agreement, is hereby defined as: including but not limited to, new construction, i.e. building and foundation construction below street level, or the inspection, rehabilitation or expansion of an existing structure or facility involving any aspect of subsurface construction or excavation, all deconstruction or demolition work; all construction from excavation through final completion of: engineered structures, parking garages, mass transit facilities including but not limited to bus depots, ventilation plants, maintenance shops, marine transfer stations, transit yards, stations, tunnels, railway lines and work along railway rights of way, highways, roads, streets, bridges, parks, piers, wharves and bulkheads, marine transfer stations, airport runways, access roads, airline terminals, water and wastewater conveyances, including but not limited to tunnels, and associated facilities including gatehouses, pump houses, valve chambers, and water and wastewater treatment plants, power plants, power generating stations, electrical substations, and pipelines; all excavation and sitework, including but not limited to all installation, relocation or removal of utilities, all drainage, landscaping, curbsetting and paving; removal of hazardous materials as it pertains to heavy construction projects; and any construction commonly associated with "public works," "infrastructure" or "heavy civil" construction, exclusive of the erection of building superstructures since this latter work is agreed to be a separate and distinct branch of the Construction Industry.

## ARTICLE III Union Security — Union Visitation — Shop Steward — Hiring Hall

## Section 1- Union Security

(a) It shall be a condition of employment that all Employees of the Employer who perform work covered by this Agreement shall become or remain members in good standing of the Union or shall pay uniform initiation and agency fees on or after the eighth (8<sup>th</sup>) day following the beginning of covered employment or the date of execution of this Agreement, whichever is later. The Union agrees that all employees will be accepted to the membership or to its roster of eligible laborers on the same terms and conditions generally applicable to other members or Employees on its roster of eligible laborers and, further, that the Employer will not be requested to discharge an Employee for reasons other than such Employee's failure to tender the periodic dues or fees uniformly required.

(b) The Employer agrees to discharge, seven days after receiving written notice signed by the Secretary-Treasurer of the Union, any Employee listed in the notice as having failed to tender initiation and agency fees uniformly required. The Union shall indemnify and hold the Employer harmless for any financial liability arising from the Employer's compliance with such notice.

## Section 2 - Union Visitation

Authorized representatives of the Union shall be allowed to visit jobs and to interview the Employer and Employees during all hours of work but shall in no way interfere with or hinder the progress of work.

That, except as provided herein, no person shall have the right to interfere with Employees or workmen during the working hours.

#### Section 3 — Shop Steward

(a) On all job sites, the first Employee on the job site shall be a Shop Steward appointed by the Union. There will be one Shop Steward per job site on each shift.

(b) The Shop Steward will be allowed sufficient time to perform his or her duties and will not be discharged, laid off, or transferred for any reason without prior approval of the Business Manager or the Trustee. The Union shall have the right to remove or replace the Shop Steward at

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any time. The Shop Steward is a working steward and shall not use his or her position as Shop Steward to avoid performance of his or her duties as an employee. The Shop Steward shall be notified prior to any hiring or lay-off. The Shop Steward is not authorized to add or subtract from the terms of the Agreement or interpret the Agreement or take any other action that may cause this Agreement to be in violation of any federal or state laws or regulations.

## Section 4 — Hiring Hall

(a) The job referral system set forth in this Article will be operated in a nondiscriminatory manner and in full compliance with all Federal, State, Local laws and regulations which require equal employment and non-discrimination.

(b) The Employer must notify the Union of all current or anticipated job openings for work within the jurisdiction of this Agreement and request that the Union refer Employees to fill these positions through the Local 1010 Hiring Hall.

(c) When the Employer requests Employees from the Union, they shall be dispatched in accordance with Local 1010 Hiring Hall rules. The Union shall maintain an out-of-work registration list ("out-of-work list") for all qualified applicants who are out of work, in the order in which such individuals register with the Local 1010 Hiring Hall.

(d) The Union shall fill Employer referral requests and dispatch to the Employer qualified Employee applicants in order of their registration on the out-of-work list, subject to the Union's right to designate a Shop Steward as the first Employee on each shift of each of the Employer's jobsites in accordance with this Agreement.

(e) Whenever the Union fills an Employer's request for Employees, by referral from the Union, or by request by name from the Employer, the Union shall provide to the Employer written notification, to be sent to the Employer by facsimile, stating each Employee's name and the start time, date, and location of the job to which each Employee has been dispatched.

(f) Each applicant referred to an Employer shall be given a written dispatch slip by the Union confirming his or her dispatch to the Employer and the specific request the dispatched applicant is filling.

(g) For each Employee performing work within the jurisdiction of this Agreement who is hired by the Employer in violation of this Section: (i) the Employer shall pay \$500 to the Union as and for liquidated damages to compensate the Union for the costs it incurred to enforce this

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Section; and (ii) the Employer shall pay the Grievance Fund damages equal to the amount of wages and fringe benefits lost, based on the number of hours covered work was performed by each Employee hired by the Employer violation of this Section.

# ARTICLE IV Pre-Job Conference

(a) There shall be a mandatory pre-job conference before commencement of work on any jobsite or any phase of a multiphase project. The Employer agrees to meet with the Union for a pre-job conference prior to the commencement of any work on the subject project. This requirement shall apply to any and all subcontractors.

(b) In the event that an Employer violates this Article, the Union may fax or mail three (3) day notice of its intention to strike on such Employer. If the Employer does not comply within three (3) days of dispatch of the required notice, the Union may strike such Employer without such action being a violation of the no-strike clause of this contract. Further, should it be determined that a job was initiated prior to a pre-job conference, the Employer agrees to pay the next available laborer on the out-of-work list a days wages and fringe benefit contributions for the first five (5) days that work is performed on the job site for failure to have a pre-job meeting and/or hire a shop steward during that period.

(c) Where a subcontractor has not had a pre-job conference, the three (3) day notice shall also be sent to the prime or general contractor and/or owner.

# ARTICLE V Disputes

### Section 1-No Lockout - Strike - Work Stoppage

It is hereby agreed that except as otherwise provided elsewhere in this Agreement and this Article no dispute or breach of this Agreement, which may be caused by any of the parties hereto, shall be the occasion for or cause of any lockout, strike, or work stoppage. The Employer expressly agrees that it will not lock out its Employees covered by this Agreement. The Union expressly agrees not to strike or in any manner stop or hinder work covered by this Agreement.

It is agreed that, except as otherwise provided herein, under no circumstances shall there be strikes, lockouts or work stoppages, both parties agreeing to settle any question or dispute that may arise from any of the parties hereto by submitting same for determination as herein provided, with the express agreement that the parties hereto will honor, obey, be bound by and carry out such decision or determination upon any question or dispute which may be submitted.

Notwithstanding the above (i) the Union may honor a primary strike or picket line and (ii) the Union may withdraw Employees from any job to enforce payment of wages or of contributions or audit obligations to the Fringe Benefits Funds to enforce the requirement that Union dues be deducted from the wages of Employees, or to enforce payment to the Union of Union dues already deducted from the wages of Employees. The Union must provide 72 hours notice of its intention to remove Employees from a job to the Employer by facsimile or by registered or certified mail.

### Section 2 — Procedures of Grievance — Arbitration

Except as otherwise provided in this Agreement and except for claims, disputes, and demands arising out of the Employer's wage, fringe benefit contribution, dues remittance, and audit obligations, disputes arising out of jurisdictional disputes, disputes concerning an Employer's discharge of an Employee for his Union activity, an Employers' failure to have a pre job conference and an Employer's demand that Employees work under dangerous conditions, for the purpose of settling disputes between the parties hereto as to any claims of violation of this Agreement, or any dispute or breach that may arise in connection therewith, or for construing the terms and provisions thereof, the following procedure is established:

(a) <u>Step 1:</u> All grievances shall be submitted in writing to the other party within fourteen (14) business days after the grievance has occurred. In the first instance, the dispute must be discussed between a Business Agent and a representative of the Employer. The employer representatives shall have authority to act and bind the employer. The grievance shall be discussed within seven (7) business days of the written submission of the grievance.

(b) <u>Step 2:</u> If the grievance is not resolved by Step 1, the Business Manager or an authorized representative shall attempt to resolve the matter with a representative of the Employer with the authority to act within seven (7) business days after the Step 1 meeting.

(c) <u>Arbitration</u>: If the grievance is not resolved at Step 2, either party may submit the

matter for final and binding arbitration to the American Arbitration Association under its Voluntary Labor Arbitration Rules. Such submission shall be made not later than fourteen (14) business days after the meeting at Step 2.

(d) Both parties agree to submit to such arbitration and the decision of the arbitration shall be final and binding on all parties.

(c) The arbitrator shall not have the authority to add to or detract from or modify in any way the provisions of this Agreement or any amendments or supplements hereto. If the arbitrator determines that the grievance is not covered by this Agreement, he shall return the grievance to the parties without decision and the grievance shall be closed.

(f) Jurisdictional disputes shall not be subject to this Article, including the grievance and arbitration provisions of this Article.

(g) The costs of arbitration, which shall include the fees and expenses of the arbitrator shall be borne by the Employer and the Union jointly, except that each party shall pay the fees and costs of its own representatives, including attorneys.

## ARTICLE VI Site and Ground Improvement, Utility, Paving Highway, Road and Street Construction Work - Employees Covered

# Section 1 — Site and Grounds Improvement, Utility, Paving, Highway, Road and Street Construction Work covered by this Agreement

This Agreement covers all Site and Grounds Improvement, including but not limited to Utility, Paving, Highway, Road and Street Construction Work ("Work") on highways, bridges, roads, streets, avenues, alleys, aprons, airports, runways, taxiways, sewer treatment plants, bus depots, parking lots (including shoulders and malls there), parks, plazas, malls, housing projects, playgrounds, schools, athletic fields and all other sites, and incidental work thereto. Said Work extends to the edge of the building structure inside and outside property lines and or right of way; said Work also extends to an entrance or exit that continues into a public street or highway, and from sub grade to the finished surface.

- 1. The Work covered by this Agreement includes but is not limited to:
  - (a) All paving work regardless of the material used, including regulating, grading, patch paving, concrete paving, binder placement, asphalt paving and repaving.



including but not limited to temporary paving, patchwork paving, utility paving and Production Paving (as defined below).

- (b) All asphalt restoration work, including temporary concrete and asphalt paving necessary on streets and roads subsequent to subway, sewer, water main, conduit, power, communications and duct line construction and all types of jobs where temporary and permanent asphalt is use.
- (c) In accordance with existing practices, Production Paving means:

(i) permanent asphalt paving and permanent asphalt repaving of streets, roads, highways, runways, parks, parking lots, tennis courts, school grounds, public housing grounds, and any and all other surfaces when a paver or micro-paver or other such equipment is utilized or where asphalt paving has been traditionally performed with such equipment, regardless of the manner in which work is actually performed and all work incidental thereto, except site preparation and milling work.

2. All asphalt restoration work, including but not limited to asphalt paving and micro-paving necessary on streets and roads subsequent to subway, sewer, water main, gas main, communications, and duct line construction and other similar type jobs, when a paver or other such equipment is utilized or where asphalt paving has been traditionally performed with such equipment, regardless of the manner in which work is actually performed, and all work incidental thereto, except site preparation and milling work, any work related to the application of Rubberized Asphaltic Base Material, any material used as a base for Astro-Turf or similar products, landscaping and maintenance, installation and removal of play equipment, slurry/seal-coating, line striping, road painting, and line striping removal.

3. The removal of old pavement by excavating, milling, or any method in use on the job site, the removal of curbs, sidewalks and the roadway to sub-grade, including the removal of hazardous soil, lead abatement, and or asbestos removal in conjunction with the regular scope of work.

4. The installation of dowels, expansion joints, rubber sidewalks, stamp sidewalk, tiles on sidewalk, bus pads, road base, dye on the mix of concrete, spraying, sealing or coating of any safety surface chemical on to concrete, asphalt or any other recreational surface, the sealing of the joints with the regular scope of work, distributing, puddling, raking and grading of all concrete work, including when utilized as a base for other types of pavement.

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5. Saw cutting within the scope of work, green cut on sidewalks and roads.

6. All concrete work, granite, marble, precast, and rubberized materials for aprons, curbs, islands, and sidewalks.

7. The carrying, setting, and stripping of all forms of concrete and steel curbs building the forms for work covered by this Agreement including but not limited to sidewalks, concrete highways, or pavement and similar highways and bridges.

8. Road finishing, including but not limited to broom, burlap, spray and slurry finishes; coating with epoxy.

9. The pouring and finishing of steel and concrete curb.

10. The preparation and painting of the steel face curb at punch list time.

11. The placement of all asphalt curbs, and concrete curbs when a concrete paver is used on the jobsite.

12. The cutting of the steel face curb for handicap ramps, driveways, walkways, or any decorative curb face.

13. Unloading and carrying all reinforcement materials for covered work.

14. Operating small power tools and operating small power equipment.

15. Restoration of all paving relocation, adjusting and setting of roadways and street casting subsequent to subway, sewer, water and gas mains, communications, and duct line construction and similar construction projects.

16. Temporary and permanent thermoplastic and other materials road marking and striping, the removal of the temporary road marking or striping.

17. The installation of all types of paving blocks including, but not limited to rubber tiles, slate brick, cobble stone, cement brick, hex block, marble, granite, and all precast pavement, sidewalks, slabs set in sand, dirt, asphalt, concrete, cement, or any other material, mixed or otherwise.

18. Paving Block & Curb includes preparation and the installation of all types of paving blocks including, concrete, asphalt, composite, stone, granite, brick, bluestone, mixed aggregate, coral, stacking stones or any type of block used as a pavement, ramp, step or landscape barrier, set on asphalt concrete sand mortar, dry pack mix, grout, tar, adhesive, or any other product used in the paving procedure, roadways, malls, curbs, steps, walk ways, bike paths, playgrounds schoolyards, parking lots, courtyards, amphitheaters, open areas surrounding a building, outdoor markets, arenas, and any type of vertical surface from the edge of the building including (but not limited to)



inside and outside of any property line or open area. All the above tasks apply to and include rooftop garden & paving systems.

19. Landscaping which is incidental to paving, and road work, encompasses grading, mixing, distributing and raking of top soil and fertilizers; also the planting and maintenance of trees, shrubs, grass, beach grass, and similar plant matter, the placement of cobble stones around the trees, cement bricks or any other tile or iron made materials on the tree pits, any laboring work related to the placement or removal of trees and maintenance of the same.

20. The maintenance and protection of traffic safety for the work under the Union's jurisdiction.

21. The installation of any temporary fence, concrete or plastic barriers to protect the job site under the Union's jurisdiction and any prep work for permanent fencing, including coring and placement of holes, coring, and concreting, cementing or grouting of holes.

22. Site work in connection with base, ballasts, markers, and monuments, pavements and appurtenances, basic materials and methods, joint material, curbs and gutters, rigid pavement or concrete paving, earthwork, excavation and fill, flexible pavement and asphalt paving compacted aggregate site construction, site improvements and amenities, play field equipment and structures or playgrounds, streetscape furniture, barriers, planters and equipment, wayfinding markers, directional signs, bus shelters, charging stations, kiosks, pre-fabricated footings, anchor bolts for the work set forth herein, security bollards, tree guards, tree and landscape barrier walls, railings, rock gardens, all labor in the installation of incidental equipment, structures, monuments, signage in parks, playgrounds, streetscapes pavements and roads, installation of horizontal in-ground or in-pavement signage or light such as "pancake lights" and light bases and all such similar fixtures of all types in cement, asphalt, asphalt cement and bitumastic materials and pole mounted or other vertically mounted signage of all types, site restoration and rehabilitation, safety surfaces, athletic and recreational surfaces, etc.

23. All work traditionally performed by Employees represented by the Union on Parks Department, School Construction Authority, and Housing Authority Projects and Small Private Parking lots including: Landscape Planting and Maintenance, Temporary Fence Installations, Line Striping Installation, and Removal, Slurry/Seal Coating, Play Equipment Installation, Maintenance Safety Surface, Small Equipment and Power Tools Operation (not Operating Engineer), Sign Installation, and all General Laboring on those projects under the Union's Jurisdiction.

24. On athletic fields, the unloading and carrying of all materials, the installation of all base material and drainage mats, the unloading and placement of all turf materials and the unloading, carrying and application of all infill materials whether it be sand composite or rubberized and the raking and sweeping of infill materials whether it be sand composite or rubberized and the raking and sweeping of the infill into the turf field, including all labor needed for repair of athletic fields. 25. Formsetting for all types of roadways, sidewalks, drive ways, walkways, alleyways, parks, schoolyards, play grounds, athletic fields, airports, runways, parking lots, malls, courtyards, outdoor markets, & open spaces. All formsetting inside and outside of any property line. All formsetting outside a fully enclosed building structure. Formsetting includes all types of form setting of pavements, curbs, ballasts and appurtenances. This includes all curbs and pavements regardless of size, length, width or depth including, fence walls, barrier walls, tennis courts, athletic field borders, hand ball courts, skateboard parks, all incidental utility forms & pads, bases, steps, stoops, ramps, French drains, all playground equipment forms, small footings, all forming and setting of all ballasts & appurtenances, impact attenuating devices, monument barriers and footings, landscape borders, all sport surfaces, specialty sidewalks, specialty surfaces, rubberized surfaces, form setting of all precast used in heavy highway & site work construction. Formsetting includes all labor from preparation to placement and finish of all concrete and form work.

Formsetting is performed with any type of form used, including wood, steel, metals, prefabricated, Symons, composite, decorative, ornate, plastic or rubberized forms, and the installation form liners and inserts. Formsetting includes installation & application of hardware & inserts, stripping, carrying, cutting, drilling, bracing, modifying and removing of all the forms listed above. Formsetters can use any tools and hardware needed to complete the setting of all formwork.

26. All work traditionally performed by Employees by this Agreement.

27. This Agreement covers work performed by the Employer on Site and Ground Improvement, Utility, Paving and Road Building Work, as hereinafter defined in Article VI.

28. If the Employer engages in any class of work not embodied in Paving and Road Building Work, hereinafter defined, the Employer shall comply with all of the Union conditions then existing in that class of work.

## Section 2 — Employees Covered

The Employees covered by this Agreement shall perform the Work covered by the Agreement, as defined above, under the classifications set forth in Article VII, Sec. 8.

# ARTICLE VII Hours - Wages - Conditions

## Section 1- Hours of Work

(a) Eight (8) hours shall constitute a day's work. Except as otherwise provided herein, any failure to work these hours due to acts of the Employee gives the Employer the right to pay only for hours actually worked and the Employer may deduct from the Employee's wages and fringes the value of the time period not worked less than eight (8) hours, exclusive of reporting pay provided by this Article VII Section 1, Subdivision (c).

(b) Varying Shift Commencement

It is understood and agreed that, because of certain statutes, law, ordinances, regulations and contractual requirements of various federal, state, city and public authorities, governing the performance of work covered by this Agreement, requiring the varying of shift commencement, shift hours shall be varied between 5:00 a.m. and 10:00 a.m. for all the work crew to conform with said statutes, laws, ordinances, regulations and contractual requirements and such shift work will be paid at the single time rate, in conformity with the terms contained in this Agreement.

(c) Reporting Conditions

If Employees report on a job after having been requested to do so by the Employer and are not employed, the Employer shall pay to each of said Employees wages and benefits for two (2) hours for reporting, and the number of Employees to be so paid shall not exceed the number of Employees requested to report on the job by said Employer. When failure to provide work is due to failure of materials to reach the job, bad weather, or conditions beyond the control of the Employer, then said Employees will not be entitled to payment of wages or benefits for so reporting.

# Section 2 - Night Work

For production paving work only, night work shall be paid at ten percent (10%) over the single time rate for the duration of this Agreement.

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### Section 3 - Shifts

(a) A single shift shall be a continuous eight and one half  $(8^{1}/_{2})$  hours, starting at 7:00 a.m., except as herein provided. The mealtime shall be one half  $\binom{1}{2}$  hour.

(b) When two (2) shifts are employed the work period for each shift shall be a continuous eight (8) hours.

(c) When three (3) shifts are employed, each shift will work seven and one-half  $(7\frac{1}{2})$  hours but will be paid for eight (8) hours, since only one-half (1/2) hour is allowed for mealtime.

(d) When two (2) or more shifts are employed single time will be paid for each shift.

(e) Employees performing Production Paving work shall receive a ten percent
 (10%) shift differential for the duration of this Agreement when there is only one Production
 Paving shift and the shift works at night.

(f) A week shall start at 7:01 a.m. Monday, and end at 7:00 a.m. Saturday. All work performed from 7:01 a.m. Saturday until 7:00 a.m. Sunday will be at Saturday rate of pay.

(g) On any Monday through Friday inclusive, the Employer can vary the shift commencement of any single, or two or three shifts four (4) hours before or after normal shift commencement, in one-half (1/2) hour increments for any Employee and/or group of Employees on any shift day and all work performed during said varied shift shall be paid for at the straight time rate, in conformity with the terms contained in this Agreement, provided, however, that the Employer is not permitted to vary shifts on a day to day basis.

(h) The Employer can vary the commencement of the normal lunch period one hour before or after same, for any Employee and/or group of Employees on any day, and all work performed during said varied lunch period shall be paid for at the single time rate in conformity with the terms contained in this Agreement.

(i) All work performed in excess of eight (8) hours for any single employer in any twenty-four (24) hour period shall be paid as overtime.

### Section 4 --- Safety and Health

(a) No Employee shall be required to engage in any activity involving dangerous conditions of work or danger to person or property in violation of an applicable statute, court order, or governmental regulation relating to safety of person or equipment.

(b) In the event that the Employer violates Subdivision (a) above, a withdrawal by the

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Union of the services of the Employee or Employees engaged in the particular operation shall not be in violation of this Agreement by the Union.

(c) The Employer and the Union do hereby agree to work together to promote safety on the job for the benefit of all Employees and the public. Safety rules and regulations will be made known to all Employees and the use of safety equipment will be continually promoted by both parties.

(d) The Union and the Employer agree that willful neglect and failure by an Employee to obey company safety rules and regulations; or to obey safety rules, standards and regulations as prescribed pursuant to the Occupational Safety and Health Act or other governmental regulation or legislation, or to use properly such safety devices or equipment as are provided by the Employer may be just cause for discharge and it shall be the decision of the Union as to whether recourse will be had to the grievance procedures of this Agreement.

(e) The Union agrees to cooperate with the Employer in encouraging Employees to observe the safety regulations prescribed by the Employer and to wear properly and utilize safety equipment as required by the Employer and to work in a safe manner.

The Union further agrees that Union representatives visiting job sites shall obey all company safety rules and regulations and shall obey all safety rules, standards and regulations prescribed pursuant to the Occupational Safety and Health Act or other governmental regulation or legislation, and shall wear and use properly all safety devices or equipment Employees on the job site are required to wear and use.

(f) The Employer shall provide warm suitable shelter of sufficient size where all Employees may eat their lunch and hang their clothing. The Employer shall provide and the Employees shall maintain clean and sanitary toilet and drinking facilities, including ice water in the summer.

(g) No provision of this Agreement shall supersede any municipal, state or federal law which imposes more stringent requirements as to safety, sanitary or general work conditions than are imposed by this Agreement.

## Section 5 - Payment of Wages

(a) All wages payable under this Agreement shall become due and be paid on the job

every week and not more than three (3) days pay shall be withheld. The day selected as the first pay day on any job shall be the designated pay day until the completion of the job. If the pay day falls on a holiday, payment shall be made on the work day preceding such holiday. On failure to pay on the regularly scheduled pay day, the Employer shall pay to the Union a penalty of \$40.00 per Employee for each week the Employee is not paid as provided herein. The Employee may pursue all legal remedies to which he or she is entitled for the Employer's failure to pay on the regularly scheduled day. If an Employee is not on the job site when pay is distributed on a regular pay day because of any reason for which the Employer is responsible, the Employee is allowed one hour with pay to retrieve his or her pay from the Employer's office.

(b) All wages shall be payable in lawful currency, enclosed in an envelope that has the Employee's name and Employer's name, regular hours worked, plus overtime hours worked, all lawful deductions, and the amount due or by a negotiable payroll check showing all of the above information drawn upon a chartered bank within the region payable upon demand at par. In the event that a salary check is not honored by the bank on which it is drawn for any reason, in addition to all other legal remedies, the Employer shall pay the affected Employee \$100 and the Employer shall not be permitted to pay wages by check in the future unless it posts a bond to ensure the payment of wages.

(c) If for any reason the Employer terminates the services of any Employee working under this Agreement, the accrued wages of that Employee shall be paid at the time of the termination of his employment, otherwise waiting time shall be charged for accrued wages. If an Employee shall, of his own volition, leave the services of his Employer, then his Employer may pay the Employees' wages on the next regular payday.

## Section 6 - Overtime

(a) Time and one-half shall be paid for all work performed from 7:01 a.m Saturdays to 7:00 a.m. and for all work performed in excess of eight (8) hours for any single employer in any twenty-four (24) hour period. (i.e. if the normal starting time is 7:01 a.m through 3:30 p.m. each day and overtime is used continuously after the shift ended, or the labor returns within/prior to 6:59 a.m., all overtime hours shall be paid at time and one-half. If the normal shit starts at 3:00 p.m. each day and if overtime is used after 11:00 p.m. and continues until 2:59 p.m. within 24



hours, all overtime hours shall be paid at time and one half) and for all work in excess of forty (40) hours per week.

(b) Double time shall be paid for all work performed from 7:01 a.m Sunday to 7:00 a.m Monday.

## Section 7 - Holidays

(a) Holidays to be observed are:

Four (4) Holidays:

Memorial Day (Decoration Day) Fourth of July (Independence Day)

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Labor Day

Thanksgiving Day

Columbus Day will be an unpaid holiday if not worked, and if worked, shall be paid the single time rate, plus one day's pay for the holiday.

Memorial Day, Independence Day, Labor Day and Thanksgiving Day are paid holidays payable at the single time pay rate however, pay shall be prorated by the Employer based on 25% of a day's wages and benefits for each day worked in the calendar week in which the holiday occurs. All Employees covered by this Agreement shall receive pay as above even if such hours are not worked.

In the event that Independence Day occurs on a Saturday, the holiday will be observed on the Friday (the day before) and if it occurs on a Sunday, it will be observed on the Monday (the day after). No Employee shall be paid for any of these holidays by more than one (1) Employer. If the Employee works on said holiday, the Employee will be paid double time wages and annuity benefits for all work performed on the holiday but will not receive holiday pay.

(b) Two (2) Holidays:

New Year's Day

Christmas Day

If any Employee does not work on any of these two (2) holidays, he shall receive no pay. If any employee works on any of these two (2) holidays, the Employee will receive the single-time rate, plus 25%.

## Section 8 --- Work Classifications and Wages

The Employees performing Work covered by this Agreement shall receive the wages set forth below in the following Work Classifications. Fringe Benefits shall be paid to the Pavers District Council Trust Funds in the amounts set forth below.

	July 1, 2021	July 1, 2022	July 1, 2023
Foreman	\$48.45	Base rate of wages plus benefits will increase by \$2.30	Base rate of wages plus benefits will increase by \$2.30
Formsetter	\$47.85	Base rate of wages plus benefits will increase by \$2.30	Base rate of wages plus benefits will increase by \$2.30
Laborer Seal coater Paving Stone Installer Construction Fence installer	\$43.98	Base rate of wages plus benefits will increase by \$2.30	Base rate of wages plus benefits will increase by \$2.30

All Work except Production Paving and Line Striping:

Laborers' work includes all preparation work, removal of all pavement regardless of material, including but not limited to milling of asphalt and concrete, all concrete work not performed by the Foreman or Formsetter, all temporary asphalt paving, utility paving, and patch work paving, road construction and related work, including fence installation and repair, slurry seal coating, crack sealing, maintenance safety surface, operation of small equipment and small power tools operation, play equipment installation, landscape planting and maintenance, and all other work defined in this Article VII not covered by another classification herein.



On	Production	<b>Paving:</b>

	July 1, 2021	July 1, 2022	July 1, 2023
Foreman	\$48.45	Base rate of wages plus benefits will increase by \$2.30	Base rate of wages plus benefits will increase by \$2.30
Screed Person / Micro Paver /	\$48.45	Base rate of wages plus benefits will increase by \$2.30	Base rate of wages plus benefits will increase by \$2.30
Raker	\$47.85	Base rate of wages plus benefits will increase by \$2.30	Base rate of wages plus benefits will increase by \$2.30
Shoveler (production paving only) Small Equipment Operator	\$43.98	Base rate of wages plus benefits will increase by \$2.30	Base rate of wages plus benefits will increase by \$2.30

Shoveler's work includes all work performed in connection with Production Paving (as defined in Article VI herein), including but not limited to AC Paint and Liquid Tar work, except Work performed by Employees in the Foreman, Screed Person and Raker classifications.

Effective as of July 1, 2021, through June 30, 2022 and from July 1, 2022 through June 30, 2023 the base rate of wages plus the Fringe Benefits of each classification set forth above shall increase by \$2.30.

All Fringe Benefit contribution increases are subject to allocation pursuant to Article IX, Section 1(e) herein.

# Section 9 - Income Replacement Benefit

An additional \$6.00 per hour of wages shall be paid on a straight time basis as an Income Replacement Benefit for each hour worked. As with all other items includable under wages, Employers are solely responsible for all withholding taxes, payrolls taxes and workers compensation with respect to the Income Replacement Benefit contributions for the duration of this Agreement.



### Section 10 - Deductions from wages

New York State Political Action Committee\$0.10 per hour workedIncome Replacement Benefit\$6.00 per hourDues Check-Off\$1.35 per hour worked

### Section 11 — Manning Levels

- (a) Manning Production Paving Work
  - Six (6) Employees shall be employed on the asphalt spreader for Production Paving work.
  - (ii) The number of Employees to be employed on repair work shall be determined by the Employer.
- (b) Manning Utility Work

This shall be the standard Agreement for Utility Work:

- (i) At least two (2) Employees shall be employed on new binder work;
- (ii) At least three (3) Employees shall be employed on the application of a surface course; and
- (iii) Emergency and winter work shall be processed at the discretion of the Union and Employer. Any variance from this standard in Utility Work will be immediately applicable to all Employers herein.
- (c) Manning Form Setter Crew

A form setter crew shall consist of one (1) Form setter and a minimum of two (2) laborers. The number of men on the crew may increase at the discretion of the employer as the job progresses.

# ARTICLE VIII Subcontracting

### Section 1-Binding Subcontractors and Other Firms

(a) The Employer shall not subcontract any unit work. However, the Employer, with consent of the Union, shall be permitted to subcontract work with prior notification to the Union to an Employer bound by the terms, covenants and conditions of an Agreement with the Union. The Employer as a condition of being permitted to subcontract work shall guarantee payments on



behalf of its subcontractor(s) for wages and contributions set forth in this Agreement. The Employer shall give notice to the Union of the subcontracting of any work covered by this Agreement on any project before persons are employed on such project. Such notice shall identify the location of the project and the name and address of the Owner and Subcontractor.

(b) The Employer shall not subcontract work covered by this Agreement to a firm, person, or group where such firm, person or group is not a party to or bound by this Agreement when the subcontracted work begins.

(c) The Employer, upon ten (10) days notice from the Union, must withhold any and all moneys due Subcontractors until such time that the Employer is assured by the Union that the Subcontractor has met all financial obligations with respect to the payment of Welfare, Pension and other fringe benefits to the Funds involved, provided, however that the absence of such notice shall not affect the Employer's liability hereunder for its Subcontractors failure to meet all financial obligations with respect to the payment of Welfare, Pension, Annuity and other fringe benefits to the Funds and remittance of union dues to the Union.

(d) If the Employer or any principal or owner covered by this Agreement forms or acquires by purchase, merger or otherwise, control, whether by ownership, stock, or management, of another company performing Paving and Road Building work within this jurisdiction, this Agreement shall cover such other operation and the employees of such other bargaining unit shall be considered an accretion to the bargaining unit to the extent permitted by law.

(e) The Employer agrees that it will not subcontract any work covered by this Agreement in order to circumvent the payment of wages and fringe benefits.

# ARTICLE IX Employee Benefit Funds

### Section 1-Funds -- Contributions

 (a) Effective the date of this Agreement, the Employer shall contribute to and be bound to the terms of, the Local 1010 Apprenticeship, Skill Improvement and Training Fund ("Training Fund").

(b) The Employer shall pay the amount indicated herein for Employees covered by this Agreement and employed within the jurisdictional territory of the Union, to a United States Treasury approved: Pavers & Road Builders District Council Welfare Fund (hereinafter "Welfare Fund") Pavers & Road Builders District Council Pension Fund (hereinafter "Pension Fund") Pavers & Road Builders District Council Annuity Fund (hereinafter "Annuity Fund") and the Local 1010 Apprenticeship, Skill Improvement and Training Fund ("1010 Training Fund") (All hereinafter collectively "Fringe Benefits Funds"), each fund to be administered by a joint Board of labor and management Trustees. The Employer is bound by all of the terms and conditions of the Agreements and Declarations of Trust with respect to the Welfare Fund, Pension Fund, 1010 Training Fund, and Annuity Fund, which Agreements and Declaration of Trusts are hereby made part of this Agreement and are incorporated herein. Contributions to the Fringe Benefits Funds shall be made on or before the thirty-fifth (35<sup>th</sup>) day after the close of the month in which the hours were worked. The following Dues Check-off, Welfare, Training, and Pension Fund contributions shall be paid for all hours worked, but not on the premium portion of the time and one-half rate or on the double time rate. The Annuity Fund contributions shall be paid for all hours paid at the straight time, or time and one-half, or double time rate. In the interest of the Funds, parties to this Agreement agree to develop a "one-check" system for all contributions.

Effective Date	2021	2022	2023
Welfare Fund	\$15.70	TBD	TBD
Pension Fund	\$16.71	TBD	TBD
Annuity Fund	\$8.25	TBD	TBD
Local 1010 Training Fund	\$0.90	TBD	TBD
Income Replacement Benefit	\$6.00	TBD	TBD
NYS LECET	\$0.15	TBD	TBD
NYS Laborers Safety & Health	\$0.10	TBD	TBD
Local 1010 LECET	\$0.70	TBD	TBD
Total Fringes Including Income Replacement Benefit	\$48.51	TBD	TBD
Heavy Construction Industry Fund	\$0.30	TBD	TBD

(c) The Employer must submit reports in a timely manner, at the end of each week when the Employer does not have a bond, and thirty-five (35) days after the close of a month when the Employer is covered by a bond whether or not work is performed during said period.

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(d) Employer reports are to contain each Employee's name, social security number, hours worked, and job locations and such other information as may be required by the Funds.

(e) The Union has the right to allocate and/or reallocate any portion of future Fringe Benefit contribution increases and wage increases to such Funds as it deems appropriate and/or wages, in its sole and absolute discretion, upon thirty (30) days notice to the Employer.

# Section 2 --- Welfare Fund --- New York State Disability and Paid Family Leave

(a) New York State Disability. The Welfare Fund shall provide, without further contributions from either the Employer or the Employee, an approved plan of coverage as required by the New York Disability Benefits Law and New York State Paid Family Leave Law.

(b) Income Replacement Benefit. The Welfare Fund accepts from employers \$6.00 per hour withheld from wages for each hour worked to be allocated to an Income Replacement Benefit.

# Section 3 — Coverage of Additional Employees under the Welfare, Pension, Training and Annuity Funds

(a) Every present and future salaried regular Employee of the Union, Welfare Fund, 1010 Training Fund and/or Pension Fund, may participate in the benefits provided herein for Employees of each Employer for whose benefit the aforementioned contributions are made to the Welfare Fund and Pension Fund on behalf of said Employees of the Union, Welfare Fund, and Pension Fund by the respective Employers of said Employees.

(b) Every present and future salaried regular Employee of the Union, Welfare Fund, 1010 Training Fund, Pension Fund and/or Annuity Fund may participate in the benefits provided herein for Employees of each Employer for whose benefit the aforementioned contributions are made to the Annuity Fund, provided that contributions at the rate herein before stated are made to the Annuity Fund for or on the behalf of said Employees of the Union, Welfare Fund, and Pension Fund and/or Annuity Fund by the respective Employers of said Employees.

## Section 4 - Dues Check-off

The Employer agrees to deduct as Union dues from the gross wages of each Employee covered by this Agreement who authorizes such deduction in writing and to pay the Union the

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sums listed below plus such additional sums as may hereafter be specified by the Union, which is \$1.35 per hour.

The Employer shall remit such deductions monthly together with its Pension, Training, Welfare and Annuity Contributions. The Union agrees to indemnify and to hold harmless the Employer from any and all claims, actions and/or proceedings arising out of said Dues Check-off instituted and/or brought by an Employee.

## Section 5 --- Interest, Cost, Liquidated Damages

If an Employer fails to pay contributions as provided for in Article IX, Article XV and/or Article XIV when due, the Employer shall pay, in addition to the amounts due and unpaid:

- (a) Annual interest at the rate of 10% per annum;
- (b) Costs and attorneys' fees;
- (c) Liquidated damages in the amount of ten (10%) percent;

(d) Audit costs and all amounts, fees costs, penalties and disbursements provided by statute or regulation, including but not limited to the Employee Retirement Income Security Act of 1974 as amended ("ERISA").

## Section 6

The Union shall allocate and/or reallocate any portion of the foregoing increases to any of the fringe benefit funds, as well as the right to reallocate any of the amounts currently allocated to wages or to the fringe benefit funds as set forth in this Agreement upon thirty (30) days' notice to the Employer.

## Section 7

The Fringe Benefit Funds provided for by this Agreement, shall be jointly administered by Trustees designated equally between Employers in the industry and the Union. The Union shall select two (2) Trustees and the Employers in the industry shall select two (2) Trustees. The GCA shall appoint all Employer designated Trustees.

The parties to this Agreement shall make diligent efforts to implement changes in the Trust documents to effectuate the provisions of this Section of the Agreement.

### Section 8 --- Local 1010 Laborers --- Employers Cooperative and Education Trust

The Employer and Local 1010 recognize that they confront many issues of mutual concern that may be better resolved through cooperation than bargaining. The Employer and Local 1010 also recognize that workers and employers benefit from labor-management cooperation. To seek resolution of these mutual concerns and to advance mutual interests through labor-management cooperative efforts, the Employer agrees to contribute to the Local 1010 Laborers Employers Cooperative and Education Trust ("1010 LECET") which has been established in accordance with Section 302(c)(9) of the Taft Hartley Act (29 U.S.C. §186 (c)(9)) and will be directed by a Board of Trustees composed equally of representatives of Local 1010 and the GCA.

## Section 9

The Employer agrees to and shall be bound by all terms and conditions of the Trust Agreements creating the Fringe Benefits Funds set forth in this Article of the Agreement, and by any rules, regulations or By-Laws adopted by the Trustees of the Funds to regulate said Funds, as they may be amended from time to time, except to the extent, any funds' document contradicts the terms of this Agreement.

## ARTICLE X Surety Bond

## Section 1-Bond Amounts

The Employer shall provide a Surety Bond to guarantee contributions to the Funds as provided for in Article IX Section 1 and Article XV and to guarantee dues deductions as provided for in Article IX, Section 4. Said Surety Bond shall be in the following amounts:

An Employer employing 1 to 5 Employees	\$ 25,000.00
An Employer employing 6 to 10 Employees	\$ 50,000.00
An Employer employing 11-15 Employees	\$ 75,000.00
An Employer employing over 16 Employees	\$100,000.00

In the event an Employer is found delinquent in contributions after an audit, the Employer shall be required to post a bond twice the amount of the audited deficiency, or in accordance with the above schedule, whichever is greater.



## Section 2 — Alternate Account

If the employer is unable to post a Surety Bond for any reason, he shall be required to post a like amount in City or State Municipal Bonds or with an escrow account with the Union Fund Office.

If the Employer cannot obtain a Surety Bond or, is unable to post an escrow amount in any manner, the Employer must pay for the Fund(s) and the Union weekly by certified check. Notwithstanding any no strike provision to the contrary if after agreeing to pay weekly, the Employer is delinquent one (1) day after said payment is due, the Union will remove the men under its jurisdiction from the job until payment is made. The Employees removed from the job shall be entitled to receive the amount of compensation lost by them not to exceed three (3) days' pay at the straight time rate

# ARTICLE XI Work Stoppage for Default in Welfare, Training, Pension, and Annuity Contributions

Whenever an Employer is in default on payments to the Welfare, Training, Pension and Annuity Funds referred to in Article IX and Article XIV of this Agreement and/or in wages and/or dues remittance required under Articles VII, IX and XIV and reasonable notice of such default is given to the Employer, the Union may remove the Employees from the work of said Employer. If said Employees who are removed remain on the worksite during regular working hours, they shall be paid for lost time not to exceed three (3) days pay.

The Employer must provide written notice to the Union in the event that it ceases business operations and/or seeks protection under the bankruptcy laws. The Employer must provide written notice to the Union in the event that it employs a member of the Union on work which is outside the jurisdiction of the Union. In the absence of such notice, the Employer is obligated to pay contributions to the Fringe Benefit Fund as prescribed in Articles IX and XIV hereof for all such work, unless contributions are paid for the Employee to the local jurisdiction.



# ARTICLE XII Auditing

Whenever an Employer is in default on payments to the Fringe Benefit Funds and reasonable notice of such default is given to the Employer, the Trustees may direct an audit of said Employer. In addition, each Employer shall make available at reasonable times for inspection and audit by the Trust Funds whenever such examination may be deemed necessary or advisable by the Trustees in connection with the proper administration of the Trust Funds, any and all such books and records which the Employer as the representatives of the Funds may request, including by not limited to all records which the Employer is required to maintain under Section 209 (u)(1) of ERISA, and further including not limited to time cards, payroll records, payroll journals, check registers, W-2 forms, time sheets, canceled payroll checks, 1099 forms, payroll tax reports, evidence of unemployment insurance contributions, job records, and any other documents and reports that reflect the hours and wages or other compensation of Employees or from which can be verified. The Employer shall also make available those parts of the general ledger or cash disbursement ledger that pertain to payroll and bargaining unit employees. The Employer shall retain the above books and records for a minimum period of six (6) years.

The Funds shall bear the cost of the inspection and audit, except where the audit discloses a delinquency in excess of fifteen 15% of the prior year's contribution, or \$2,000.00 whichever is greater. In the event the Employer fails to produce the books and records necessary for an audit, the Employer agrees to pay a penalty of \$250.00 per day for each day the books and records are not produced. The Employer also agrees that in the situation, the Funds shall be permitted to create a presumption as to what the contribution should be using the hours worked in the previous twelve (12) months. Nothing herein shall mean that the Funds relinquish their right to commence legal proceedings to compel an examination of the Employer's books and records for audit. In the event the Funds bring an action to obtain an audit of the Employer's books and records, the Employer shall be obligated to pay the reasonable costs and attorney's fees incurred in bringing said action.

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# ARTICLE XIII <u>New York State Laborers — Employers Cooperative and Education Trust</u>

The Employers and Local 1010 recognize that they must confront many issues of mutual concern, which are more susceptible to resolution through labor management cooperation than through collective bargaining. The Employers and Local 1010 also recognize that workers as well as business benefit from labor-management cooperation. To see resolution of these mutual concerns and to advance mutual interest through labor-management cooperative efforts the Employers and Local 1010 agree to participate in the New York State Laborers-Employers Cooperative and Education Trust ("LECET") which is established in accordance with Section 30 (c)(9) of the Taft-Hartley Act (29 U.S. Code Section 196 (c) (9)).

Each Employer shall pay to the New York State LECET fifteen cents (\$0.15) for each hour worked by all Employers under the jurisdiction of Local 1010 employed by each Employer in the territorial jurisdiction of Local 1010. All such payments shall be made within thirty-five (35) days after the conclusion of the month in which said hours were worked. The Employer shall list the names of the Employees for whom such contributions have been made and the amount contributed for each such Employee in the Employer's monthly report showing contributions to the Pavers & Road Builders District Council Benefits Funds (collectively "Benefit Funds"). Such payments shall be sent within thirty-five (35) days after the conclusion of the month in which said hours were worked to the Benefits Funds with the understanding, however, that the Benefit Funds neither assume nor have any obligations or responsibility of any kind to anyone for the collection of said sums or otherwise. The Benefits Funds' only obligation with respect to said remittances is limited to sending same to the New York State LECET, not less frequently than monthly.

### New York State Laborers Health and Safety Trust

The Employer agrees to contribute ten cents (\$0.10) per hour to the New York State Laborers Health and Safety Trust, for each hour worked by all Employees under the trade jurisdiction of Local 1010 employed by the Employer in the territorial jurisdiction of Local 1010.



# ARTICLE XIV Specialty & Line Striping

This Article XIV is applicable to the work set forth in Article XIV, Section 1 only ("Specialty" or "Line Striping" or "Line Striping Work"). All work not set forth in Article XIV, Section 1 must be performed in accordance with the terms set forth in this Agreement other than in Article XIV. To the extent this Article XIV is silent as to any particular term relating to Specialty and Line Striping Work, the provisions of this Agreement, even if not contained in Article XIV shall nevertheless apply to the Specialty and Line Striping Work.

#### Section 1- Jurisdiction

(a) This Article covers the striping of all gutters, roads, curbing and sidewalks; the marking and application of color to all bicycle lanes and bus lanes, the application of all non-slip surfaces to crosswalks and sidewalks and all flagging related to the work covered by this Article.

This Article also covers incidental repair and maintenance in connection with Green Infrastructure and similar projects, but not when such activities are performed as part of or in connection with other Heavy and Highway construction or reconstruction projects covered by other Articles of the Local 1010 Independent Heavy, Highway and Road Building collective bargaining agreement.

(b) This Article covers all work performed and/or traditionally performed by Striping-Machine Operator, Lineperson and Striping Flagger / Traffic Safety and Specialty Trades.

(c) Where there is an overlap between Article XIV and any Article within this Agreement, the employment and conditions of Specialty and Line Striping Employees, described herein, will be performed according to the provisions of Article XIV, except as otherwise provided.

# Section 2---- Union Security & Visitation

All Employees who perform work covered by this Article shall become or remain members in good standing of the Union or shall pay uniform initiation and agency fees on or after the 30<sup>th</sup> day following the beginning of covered employment or the date of execution of this Agreement, whichever is later.

All Employees will be accepted to the membership or to its roster of eligible laborers on the same terms and conditions provided Article III, Section 1(a).



The Employer agrees to discharge any employee listed as having failed to tender initiation and agency fees uniformly required in accordance with Article III, Section 1(b).

## Section 3— Hiring

(a) The Employer shall have sole right to determine the competence of Employees covered by this Article; to determine the number of Employees required; to select Employees for layoff, and to reject any applicant referred by the Union.

(b) Employer may request by name, and the Union will honor requests for persons who have applied to the Union for Line Striping work and who meet the following qualifications:

- 1. Possess any certifications or license required by New York State law for the work to be performed,
- 2. Have worked in the Line Striping industry during the prior 3 years, or
- Have been organized from Nonunion competitors in the Line Striping Industry and have the skill sets needed by the Employer.

(c) The Union represents that its hiring hall and referral system for Specialty and Line Striping Work will be operated in a nondiscriminatory manner and in full compliance with all applicable federal, state and local laws, negotiated Community Workforce Agreements, and regulations which require equal employment opportunities. Referrals shall not be affected in any way by the rules, regulations, bylaws, constitutional provisions or any other aspects or obligations of union membership, policies or requirements and shall be subject to such other conditions as are established in this Article. No employment applicant shall be discriminated against by any referral system or hiring hall because of the applicant's union membership, or lack thereof.

(d) The Union shall be notified of all unit employees hired to perform Laborers Local Union 1010 jurisdictional work.

### Section 4 — Hours of Work

(a) An eight (8) hour shift shall constitute a day's work. A regular work week is Monday through Friday.

(b) Flexible Schedules - Scheduling of shift work, including Saturday and Sunday work, shall be within the discretion of the Employer in order to meet schedules and existing work conditions.



(c) Flexible Starting Times - Shift starting times will be adjusted by the Employer as necessary to fulfill work requirements.

(c) Travel Allowances: The full rate of pay will be paid to all Employees for time traveled in Employer owned work vehicles to scheduled Specialty and Line Striping projects.

(d) Sick Leave: All Employees performing work covered under this Article shall receive sick leave conforming to the conditions set forth in Article XIX.

## Section 5 --- Overtime

Time and one-half shall be paid for all work performed Saturdays and Sundays and for all work in excess of eight (8) hours per day (i.e. if the normal starting time is 7:00 am through 3:30 pm each day and overtime is used continuously after the shift ended, or the labor returns within/prior to 6:59 am, all overtime hours shall be paid at time and one-half. If the normal shift starts at 3:00 pm each day and if overtime is used after 11:00 pm and continues until 2:59 pm within 24 hours, all overtime hours shall be paid at time and one half. If the normal shift starts at 3:00 pm each day and if overtime is used after 11:00 pm and continues until 2:59 pm within 24 hours, all overtime hours shall be paid at time and one half) and for all work in excess of forty (40) hours per week.

# Section 6 — Holidays

(a) All Employees covered by this Article will receive eight (8) hours of Holiday Pay for holidays not worked if he or she worked both the regularly scheduled work day before and after the holiday.

(b) The following days shall be Paid Holidays:
New Year's Day
Good Friday
Memorial Day
Independence Day
Labor Day
Columbus Day
Election Day on years of Presidential Election
Thanksgiving Day
Christmas Day

(c) All work performed on Paid Holidays shall be paid at the rate of two times the regular rate.



# Section 7 --- Wage Classifications and Payment of Wages

Employees performing Specialty and Line Striping work covered by this Article shall receive wage increases and/or increases in contributions to Fringe Benefit Funds as follows:

	July 1, 2021	July 1, 2022	July 1, 2023
Striping Machine Operator	\$37.00	TBD	TBD
Lineperson & Operator (Road Marking Laborer)	\$41.00	TBD	TBD
Striping Flaggers & Traffic Safety	\$36.75	TBD	TBD
Repair & Maintenance	\$37.00	TBD	TBD

All wages payable to employees under this Article shall become due and be paid according to the terms and conditions set forth in Article VII, Section 5.

The Employer shall deduct from the gross wages of each Employee working under the jurisdiction of this Article the following sums:

New York State Political Action Committee	\$0.10 per hour
Dues Check-Off	\$1.35 per hour

The Employer deduction of Union dues and remittance of such dues shall be made according to the provisions set forth in Article IX, Section 4.

# Section 8 - Manning Levels

A minimum of three (3) Employees shall be employed on all Line Striping and covered work performed in the five boroughs of New York City consisting of at least one of each of the following classifications:

- 1. Striping Machine Operator
- 2. Line Person & Operator
- 3. Striping Flagger & Traffic Safety

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### Section 9 — Subcontracting

(a) The Employer shall not subcontract any unit work performed by employees covered under this Article without consent of the Union.

(b) An Employer subcontracting work performed by Employees covered under this Article must conform to the terms and conditions expressed in Article VIII, Section 1.

# Section 10 --- Employee Benefit Funds - Article IX Specialty & Line Striping Only

The Employer shall contribute and be bound to the terms provided in Article IX, Section 1. The Employer shall pay the amounts indicated herein for Employees covered by this Article and employed within the jurisdictional territory of this Article:

Effective Date	2021	2022	2023
Welfare Fund	\$10.12	TBD	TBD
Annuity Fund	\$3.75	TBD	TBD
Local 1010 Training Fund	\$0.25	TBD	TBD
NYS LECET	\$0.00	TBD	TBD
NYS Laborers Safety & Health	\$0.15	TBD	TBD
Local 1010 LECET	\$0.10	TBD	TBD
Total Fringes	\$14.37	TBD	TBD

The Employer must submit contributions and reports according to Article IX, Section 1(c), Section (d), and Section (e).

The Welfare Fund shall provide, without further contributions from either the Employer or the Employee, an approved plan of coverage as required by the New York Disability Benefits Law.

### Section 11 — Allocation/Reallocation

The Union shall allocate and/or reallocate any portion of the foregoing increases to any of the fringe benefit funds, as well as the right to reallocate any of the amounts currently allocated to wages or to the fringe benefit funds as set forth in this Agreement upon thirty (30) days' notice to the Employer.



#### Section 12 — Apprenticeship Program

Article XV as set forth below, provides the terms and conditions of the Apprenticeship Program as established by the parties to this Agreement.

Apprentices performing work covered by this Article shall be paid a progressively increasing schedule of wages no less than the levels provided in Article XV, Section 2, except for the cost-of-living increases or bonuses accorded to members forming work outside the jurisdiction covered in this Article.

# ARTICLE XV Apprenticeship Program

#### Section 1- Apprenticeship Program

An Apprenticeship Program is agreed to by the parties to this Agreement.

It is the intention of the parties to move towards an open recruitment Apprenticeship Program which will select apprentices from a pool of eligible applicants that is not limited to the current employees of participating employers. The selection process will conform to the New York State Apprenticeship Training requirements and will seek to increase minority and female participation.

## Section 2 - Apprenticeship Rates

Apprentices performing work covered by this Agreement shall be paid a progressively increasing schedule of wages that shall be no less than the following:

1<sup>st</sup> Level — not less than 66% of the journeymen's wage rate\* 2<sup>nd</sup> Level — not less than 70% of the journeymen's wage rate\*

\*Apprentices shall receive those fringe benefit contributions at the same rates as are provided by the Employer to the Union's journeymen in accordance with the applicable collective bargaining agreement, provided, however, only the amount attributable to the Union's (i) Welfare Fund and (ii) Apprenticeship Skill Improvement and Training Fund shall be paid directly to the Funds. The amount of all other fringe benefits shall be paid directly to the Apprentice as wages while the apprentice remains in good standing in the Apprenticeship Program.



Currently Employees whose starting rate or credit level under the Apprenticeship Program would place them at less than their present rate will remain at their present rate or the journeymen's trade rate, whichever is lower, until normal advancement within the Apprenticeship Program places them at a higher rate.

The apprenticeship shall also receive the full amount of all cost-of-living increases or bonuses that are accorded to all members of the Union.

Apprentices who are given credit for previous experience shall be paid the wage rate of the period to which such credit advances them.

When apprentices complete 4,000 hours of training, completed all required classes and have been in the Apprenticeship Program for at least two years, they are to receive not less than the rate paid to journeymen in the trade in which they served their apprenticeship, after approval of their completion of training by the Apprenticeship Committee. Hours spent in Related Instruction shall not be considered hours of work in computing overtime.

Effective July 1, 2021, the wage rates and fringe benefit contributions for the Local 1010 GCA Apprenticeship Program are as follows:

Apprenticeship Rates	<u>7/1/21</u>
1 <sup>st</sup> Level Rate (1 — 2,000 hours)	\$29.86
2 <sup>nd</sup> Level Rate (2,000 - 4,000 hours)	\$31.50
Fringe Benefits	\$23.55 (Local 1010 Training Fund, and Pavers
	District Council Welfare Fund)

Effective July 1, 2021, the Employer shall contribute with respect to each hour worked by an Apprentice to the following entities at the following rates:

NYS LECET	\$0.15
NYS Safety & Health	\$0.10
Local 1010 LECET	\$0.70

Commencing July 1, 2021, for each year of the Agreement, the Apprenticeship rates and Fringe Benefits shall increase on July 1<sup>st</sup> by the same percentage as the journeymen rates and benefits



are increased above, with said increases allocated between Wages and Fringe Benefits at the Union's sole and absolute discretion

## ARTICLE XVI Drug Testing

The Employer and the Union agree that when required by a contract of any City, State, Federal, and/or quasi-public agency or Public Utility to test the Employees covered by this Agreement for drugs and/or alcohol abuse, they shall comply.

### ARTICLE XVII Legality

Any provisions of this Agreement which provides for union security or employment in a manner and to an extent prohibited by a law or the determination of any governmental board or agency shall be and hereby is of no force or effect during the term of any such prohibition. It is understood and agreed, however, that if any of the provisions which are hereby declared to be of no force or effect because of restrictions imposed by law is or are determined either by Act of Congress or other legislative enactment or by a decision of the court of highest recourse to be legal or permissible, then any such provisions shall immediately become and remain effective during the remainder of the term of this Agreement. In the event that there shall be changes in applicable laws as to union security, the parties shall renegotiate any provisions concerning Union Security. In the event that any provision of this Agreement shall be declared to be in the violation of law, the remaining provisions of this Agreement shall continue in full force and effect.

#### ARTICLE XVIII Heavy Construction Industry Fund

For the benefit of the Heavy Construction Industry Fund (hereinafter called "Heavy Construction Industry Fund"), the Employer shall also send monthly to the Welfare Fund its (the Employer's) Remittance payable to the Heavy Construction Industry Fund for the aggregate sums of thirty cents (\$0.30) effective July 1, 2021, by all Highway, Road and Street Construction Laborers and by all Foremen employed by the Employer in the territorial jurisdiction of Local

1010, with the distinct understanding, however, that in connection therewith, except as hereinafter provided in the succeeding sentence hereof, the Welfare Fund neither assumes nor has any obligation or responsibility of any kind to anyone for the collection of said sums or otherwise. The foregoing payments shall be based upon hours worked, not on the premium portion of time and one-half or double time rate of pay. The Welfare Fund's only obligation with respect to said remittances required to be sent by the Employer to the Welfare Fund by remittance payable to the Heavy Construction Industry Fund, as aforementioned, is limited to the Welfare Fund sending to the Heavy Construction Industry Fund not less frequently than monthly, all such remittances actually received by the Welfare Fund from the Employer less than the Fund's cost of administration with respect to the collection of said contributions, the bookkeeping, accounting and forwarding of said remittances to the Heavy Construction Industry Fund as well as the setting up of machinery and procedures relating to such collection and remittance. All remittances of the sums referred to in this paragraph shall be sent by the Employer to the Welfare Fund within 30 days after the conclusion of the month in which the hours referred to in said first sentence were worked by the laborers and Foremen referred to in said sentence.

#### ARTICLE XIX Paid Sick Leave

Continuing as of July 1, 2021, the parties waive application of paid family leave, sick leave or vacation leave requirements established by federal, state or city law or governmental agency, including the NYS Sick Leave Law (New York Labor Law Sections 196-b and 196-c), the New York City Earned City Time Act and the NYS Paid Family Leave Law to the extent permissible by law. The parties acknowledge and in good faith believe that this Agreement provides bargaining unit members with benefits comparable to or more favorable than those required by current law, including such benefits provided through the Income Replacement Benefit and those provided under Article XI, Section 3 (Welfare), Article VIII, Section 7 (Holidays) and Article IX, Section 6 (Holidays).

#### ARTICLE XX Term Renewal

This Agreement shall continue in effect until and including June 30, 2024 and during each year thereafter unless on or before the fifteenth (15<sup>th</sup>) day of March 2024, or on or before the fifteenth (15<sup>th</sup>) day of March of any year thereafter, written notice of termination or proposed changes shall have been served by either party on the other party.

In the event the written notice shall have been served, an agreement supplemental hereto, embodying such changed agreed upon, shall be drawn up and signed by June 30<sup>th</sup> of the year in which the notice shall have been served.

(Signature page to follow)



INDEPENDENT AGREEMENT LABORERS LOCAL UNION 1010 July 1, 2021 through June 30, 2024

IN WITNESS WHEREOF, the parties hereto have hereunder signed this Agreement by their duly authorized representatives, effective as of the day and year above written.

HIGHWAY ROAD AND STREET CONSTRUCTION LABORERS' LOCAL 1010

By: Keith, Loscalzo, Business Manager Print Name o pany:

TIN: 22-281359

Print Nameand Title:

Date: 8/5/2021

JOSER CASIMIRO

Signature:

Address: 44 NEI

Telephone#: 973-4 230 Fax#: 973-465-0911 Email Address: TRCAS@lowceConcepte Coonc.



This Agreement may not be altered, modified or changed in any way unless any such proposed alteration, modification or change is in writing, is approved by the Union and is initialed or signed by an officer of the Union at the location in the Agreement of such proposed alteration, modification or change.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the day and year stated in Article XIII hereof.

EMPLOYER:

BY: YOU (Full and Exact Name of Employer)

BY:

(Signature and Title of Signing Representative)

BY: R. CASIMURD (Print Name of Signing Representative)

Dated:

BUILDING, CONCRETE, EXCAVATING & COMMON LABORERS' UNION LOCAL NO. 731 OF GREATER 'NEW YORK, LONG ISLAND & VICINITY

BY

Frank Biancaniello President

BY Joseph D' mato

Business Manager

BY

Dominic J. Valdner Secretary-Treasurer

# INTERNATIONAL UNION OF OPERATING ENGINEERS

LOCAL UNION 15, 15A, 15B, 15C, 15D, 15G & 15H AFFILIATED WITH A.EL.-C.I.O. Building & Construction Trades Council of New York City 44-40 11TH STREET • LONG ISLAND CITY, NY 11101 Tel: (212) 929-5327 • FAX; (718) 729-3070

Thomas A. Callahan President and Business Manager International Vice President New York State Conference President

> CHRISTOPHER R. THOMAS ANDREW K. CULLIMORE ANTHONY R. LAROSA

BUSINESS REPRESENTATIVES

MICHAEL SALERNO DANIEL J. GILMARTIN PATRICK F. CALLAHAN PETER A. DONOFRIO JOHN W. MCNAMARA ROBERT G. SHAW, JR.

November 1, 2023

Power Concrete Co., Inc. 497 Raymond Boulevard Newark, NJ 07105

Re: Request for "Good Standing Letter"

To Whom it May Concern:

As of today, November 1, 2023, Local 15, 15A, 15B, 15C, 15D, 15G and 15H ("Local 15") is **not** in receipt of any verbal or written claims from any Local 15 members stating that they are owed any outstanding wages and/or fringe benefit contributions from Power Concrete Co., Inc.

Please be advised, however, that if it is later determined that any wages and/or fringe benefit contributions are in fact due and owing from Power Concrete Co. Inc., both Local 15 and the Local 15 Fringe Benefit Funds hereby reserve all of their respective legal rights to collect said monies pursuant to the Local 15 Collective Bargaining Agreements and/or trust agreements, ERISA or any other applicable laws, and this letter shall not be deemed to be a waiver of any of those legal rights. Finally, Local 15 does not waive any of its rights to conduct future audit(s) of the payroll records of Power Concrete Co. Inc., as authorized by the Local 15 Collective Bargaining Agreements and/or trust agreements, as well as ERISA.

Thank you for your attention to this matter.

Very truly yours

Michael Salerno Financial Secretary

MS/klc

The City of New York Department of Smull Business Services Division of Labor Services Contract Compliance Unit 1 Liberty Plaza, New York, New York 10006 Phone: (212) 513 – 6323 Eax: (212) 618-8879 CONSTRUCTION EMPLOYMENT REPORT

## **GENERAL INFORMATION**

1.	Your contractual relationship in this contract is: Prime contractor Subcontractor
1a.	Are M/WBE goals attached to this project? Yes $\underline{\times}$ No
2.	Please check one of the following if your firm would like information on how to certify with the City of New York as a:
	Minority Owned Business EnterpriseLocally Based Business EnterpriseWomen Owned Business EnterpriseEmerging Business EnterpriseDisadvantaged Business Enterprise
2a.	If you are certified as an MBE, WBE, LBE, EBE or DBE, what city/state agency are you certified with? Are you DBE certified? Yes No X
3,	Please indicate if you would like assistance from SBS in identifying certified M/WBEs for contracting opportunities: Yes No_ $\times$
4.	Is this project subject to a project labor agreement? Yes No X
5.	Are you a Union contractor? Yes X No If yes, please list which local(s) you affiliated with Local 1010, Local 15, Local 731
6.	Are you a Veteran owned company? Yes No ×

# PART I: CONTRACTOR/SUBCONTRACTOR INFORMATION

22-2813594	Jrcas@po	werconcretecoinc.com
Employer Identification Number or Federal Tax I.D.		Email Address
Power Concrete Co., Inc.		
Company Name		
497 Raymond Boulevard, Newark, NJ 07105		•
Company Address and Zip Code		
Jose R. Casimiro	973-465-0030	
Chief Operating Officer	Telephone Number	
SAME ·	973-465-0030	
Designated Equal Opportunity Compliance Officer (If same as Item #10, write "same")	Telephone Number	
Same		
Name of Prime Contractor and Contact Person		

(If same as Item #8, write "same")

- 13. Number of employees in your company: <u>30</u>
- 14. Contract information:
  - (a) New York City Department of Design and Construciton Contracting Agency (City Agency)
  - (c) 85024B0011 Procurement Identification Number (PIN)
  - (e) Upon Notice to Proceed Projected Commencement Date

(b) <u>17,969,924.00</u> Contract Amount

- (d) HWPR23MQ Contract Registration Number (CT#)
- (f) 545 CCD after Date of Notice to Proceed Projected Completion Date
- (g) Description and location of proposed contract:

Rehabilitaion of Standard Pedestrian Ramps at designated Locations, Manhattan And Queens

15. Has your firm been reviewed by the Division of Labor Services (DLS) within the past 36 months and issued a Certificate of Approval? Yes\_\_\_ No\_X\_

If yes, attach a copy of certificate.

16. Has DLS within the past month reviewed an Employment Report submission for your company and issued a Conditional Certificate of Approval? Yes\_\_\_\_ No X\_\_\_

If yes, attach a copy of certificate.

NOTE: DLS WILL NOT ISSUE A CONTINUED CERTIFICATE OF APPROVAL IN CONNECTION WITH THIS CONTRACT UNLESS THE REQUIRED CORRECTIVE ACTIONS IN PRIOR CONDITIONAL CERTIFICATES OF APPROVAL HAVE BEEN TAKEN.

Has an Employment Report already been submitted for a different contract (not covered by this Employment Report) for which you have not yet received compliance certificate?
 Yes\_\_\_\_ No<sup>X</sup>\_\_\_ If yes,

Date submitted:	
Agency to which submitted:	
Name of Agency Person:	
Contract No:	
Telephone:	

18. Has your company in the past 36 months been audited by the United States Department of Labor, Office of Federal Contract Compliance Programs (OFCCP)? Yes\_\_\_\_ No×\_\_\_

If yes,

- (a) Name and address of OFCCP office.
- (b) Was a Certificate of Equal Employment Compliance issued within the past 36 months? Yes\_\_\_\_ No\_X\_\_

If yes, attach a copy of such certificate.

(c) Were any corrective actions required or agreed to? Yes\_\_\_\_ No $\times$ \_\_\_

If yes, attach a copy of such requirements or agreements.

(d) Were any deficiencies found? Yes\_\_\_ No\_X\_\_

If yes, attach a copy of such findings.

 Is your company or its affiliates a member or members of an employers' trade association which is responsible for negotiating collective bargaining agreements (CBA) which affect construction site hiring? Yes <u>×</u> No\_\_\_\_

If yes, attach a list of such associations and all applicable CBA's.

#### PART II: DOCUMENTS REQUIRED

- 20. For the following policies or practices, attach the relevant documents (e.g., printed booklets, brochures, manuals, memoranda, etc.). If the policy(ies) are unwritten, attach a full explanation of the practices. See instructions.
  - No (a) Health benefit coverage/description(s) for all management, nonunion and union employees (whether company or union administered)
  - No (b) Disability, life, other insurance coverage/description
  - No (c) Employee Policy/Handbook
  - No (d) Personnel Policy/Manual
  - No (e) Supervisor's Policy/Manual
  - No (f) Pension plan or 401k coverage/description for all management, nonunion and union employees, whether company or union administered
  - No (g) Collective bargaining agreement(s).
  - No (h) Employment Application(s)
  - No (i) Employee evaluation policy/form(s).
  - No (j) Does your firm have medical and/or non-medical (i.e. education, military, personal, pregnancy, child care) leave policy?
  - No (k) Sexual Harassment Policy

21. To comply with the Immigration Reform and Control Act of 1986 when <u>and of whom</u> does your firm require the completion of an I-9 Form?

(a) Prior to job offer	YesNo_X
(b) After a conditional job offer	Yes No <u>x</u>
(c) After a job offer	Yes No <u>_x_</u>
(d) Within the first three days on the job	Yes <u>X</u> No
(e) To some applicants	Yes No <u>_x_</u>
(f) To all applicants	Yes No_x_
(g) To some employees	Yes No <u>_x_</u>
(h) To all employees	Yes_X_No

- 22. Explain where and how completed I-9 Forms, with their supportive documentation, are maintained and made accessible. In Personnel File
- Does your firm or any of its collective bargaining agreements require job applicants to take a medical examination? Yes\_\_\_\_ No\_X\_\_\_

If yes, is the medical examination given:

(a) Prior to a job offer
(b) After a conditional job offer
(c) After a job offer
(d) To all applicants
(e) Only to some applicants
Yes\_\_\_\_\_No\_x\_

If yes, list for which applicants below and attach copies of all medical examination or questionnaire forms and instructions utilized for these examinations.

24. Do you have a written equal employment opportunity (EEO) policy? Yes X No\_\_\_\_

If yes, list the document(s) and page number(s) where these written policies are located.

25. Does the company have a current affirmative action plan(s) (AAP)

- X Minorities and Women
- x Individuals with handicaps

Other. Please specify \_\_\_\_\_

26. Does your firm or collective bargaining agreement(s) have an internal grievance procedure with respect to EEO complaints? Yes<sup>×</sup>\_\_\_ No\_\_\_\_

If yes, please attach a copy of this policy.

If no, attach a report detailing your firm's unwritten procedure for handling EEO complaints.

27. Has any employee, within the past three years, filed a complaint pursuant to an internal grievance procedure or with any official of your firm with respect to equal employment opportunity? Yes\_\_\_\_ No x\_\_\_

If yes, attach an internal complaint log. See instructions.

28. Has your firm, within the past three years, been named as a defendant (or respondent) in any administrative or judicial action where the complainant (plaintiff) alleged violation of any anti-discrimination or affirmative action laws? Yes\_\_\_\_ No\_X\_\_\_

If yes, attach a log. See instructions.

29. Are there any jobs for which there are physical qualifications? Yes\_\_\_\_ No\_X\_\_

If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

30. Are there any jobs for which there are age, race, color, national origin, sex, creed, disability, marital status, sexual orientation, or citizenship qualifications? Yes\_\_\_\_ No\_X\_

If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

#### SIGNATURE PAGE

1, (print name of authorized official signing) Jose R. Casimiro hereby certify that the information submitted herewith is true and complete to the best of my knowledge and belief and submitted with the understanding that compliance with New York City's equal employment requirements, as contained in Chapter 56 of the City Charter, Executive Order No. 50 (1980), as amended, and the implementing Rules and Regulations, is a contractual obligation. I also agree on behalf of the company to submit a certified copy of payroll records to the Division of Labor Services on a monthly basis.

Power Concrete Co., Inc.

11

Contractor's Name		
Jose R. Casimiro	President	
Name of person who prepared this Employment Report	Title	
Jose R. Casimiro	President	
Name of official authorized to sign on behalf of the contractor	Title	
973-465-0030		
Telephone Number		
fill axino	October 31, 2023	_
Signature of authorized official	Date	

If contractors are found to be underutilizing minorities and females in any given trade based on Chapter 56 Section 3H, the Division of Labor Services reserves the right to request the contractor's workforce data and to implement an employment program.

Contractors who fail to comply with the above mentioned requirements or are found to be in noncompliance may be subject to the withholding of final payment.

Willful or fraudulent falsifications of any data or information submitted herewith may result in the termination of the contract between the City and the bidder or contractor and in disapproval of future contracts for a period of up to five years. Further, such falsification may result in civil and/and or criminal prosecution.

To the extent permitted by law and consistent with the proper discharge of DLS' responsibilities under Charter Chapter 56 of the City Charter and Executive Order No. 50 (1980) and the implementing Rules and Regulations, all information provided by a contractor to DLS shall be confidential.

## Only original signatures accepted.

Sworn to before me this <u>31st</u>	day of <u>October</u> 20 23	
Dut bit	ARTaxino	October 31, 2023
Notary Public	Authorized Signature	Date
Pedro M. Antunes	Jose R. Casimiro / President	
Para s peringdoli 19 Sak et met Mi CSL (231 V - file Nog	PEDRO M. ANTUN NOTARY PUBLIC OF NEW COMMISSION # 5000 MY COMMISSION EXPIRES	JERSEY 12916

State On New Jerses COMM NO: Natity Public Company find 500028161 EPTEMBER 10, 2019 SEPTEMBER 10, 2024 PEDRO MANTUNES REPOSING SPECIAL TRUST AND CONFIDENCE IN YOUR INTEGRITY, PRUDENCE AND ABILITY THAVE BY THE AUTROPITY INVESTED IN ME BY I LAW COMMISSIONED YOU A NOTARY PUBLIC OF SOMERSET COUNTY IN THE STATE OF NEW JERSEY TO EXECUTE AND PERFORM ADDORDING TO LAW THE SHADE OF NEW JERSEY TO EXECUTE AND STATE TO HAVE AND TO FOLD THE SHAD OF WITH THE POWERS AND RECT FOR A PERFORM THE YEARS FROM FOR THE EXPLORE IN THE POWERS AND RECT GREAT GEAL OF THE STATE IS HERE UNTO AFEKED BIGNED IN PRESENCE OF COUNTY OLE FIR in Witness Whereof, i have believe to a nov hand and official the Official peak Suit A-Alter Suit Ander Men Suit Arcanuter 1 Sale King and

CONTRACT BID INFORMATION: USE OF SUBCONTRACTORS/TRADES FORM A.

- Do you plan to subcontractor work on this contract? Yes X No -
- If yes, complete the chart below. N.

NOTE: All proposed subcontractors with a subcontract in excess of \$750,000 must complete an Employment Report for review and approval before the contract may be awarded and work commences.

SUBCONTRACTOR'S NAME*	OWNERSHIP (ENTER APPROPRIATE CODE LETTERS BELOW)	WORK TO BE PERFORMED BY SUBCONTRACTOR	TRADE PROJECTED FOR USE BY SUBCONTRACTOR	PROJECTED DOLLAR VALUE OF SUBCONTRACT
Landform LLC		Tree Consultant		
Nadine Plaut	M	Photos		
Zero Below Trucking Corp. B	В	Crossing Gaurd		
Haulting and Disposal	Н, В	Hauling and Disposal		
Construction	M	Construction		

\*If subcontractor is presently unknown, please enter the trade (craft name).

**OWNERSHIP CODES** 

- W: White B: Black H: Hispanic A: Asian N: Native American F: Female

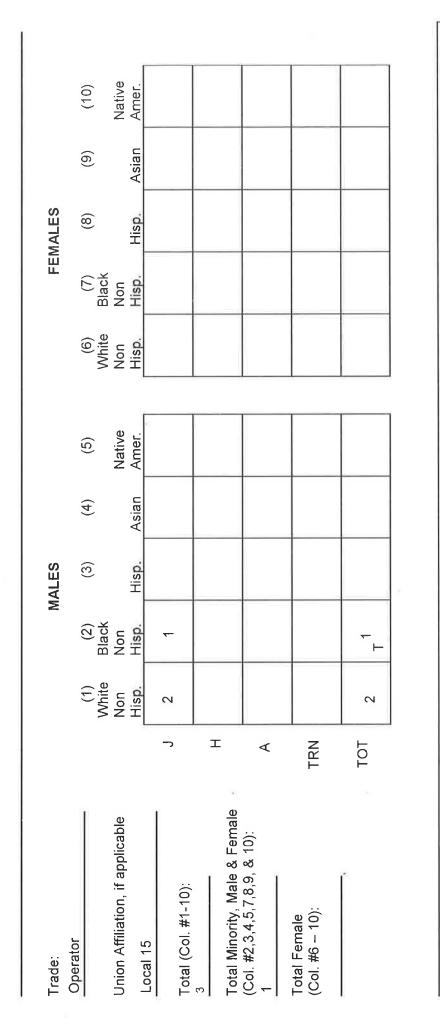
FORM B: PROJECTED WORKFORCE

TRADE CLASSIFICATION CODES

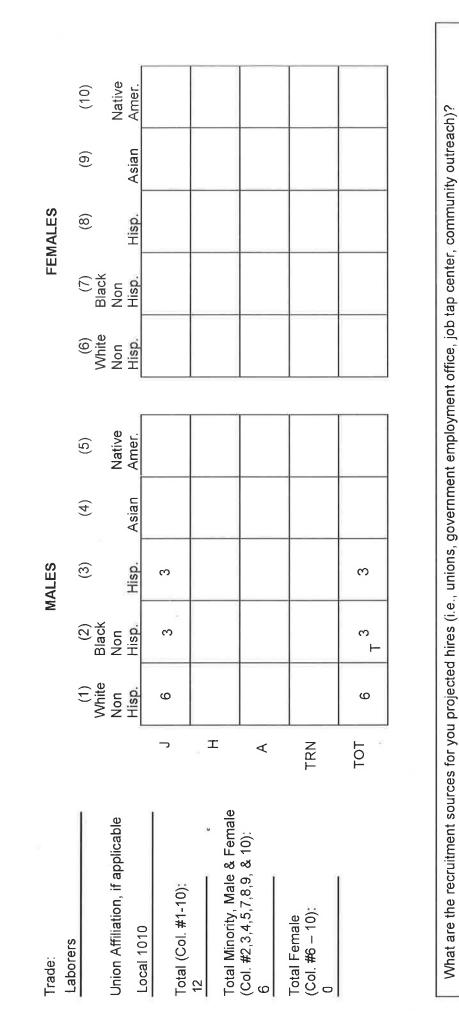
(J) Journeylevel Workers(H) Helper(TOT) Total by Column

(A) Apprentice (TRN) Trainee

For each trade to be engaged by your company for this project, enter the projected workforce for Males and Females by trade classification on the charts below.



What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?



FORM B: PROJECTED WORKFORCE

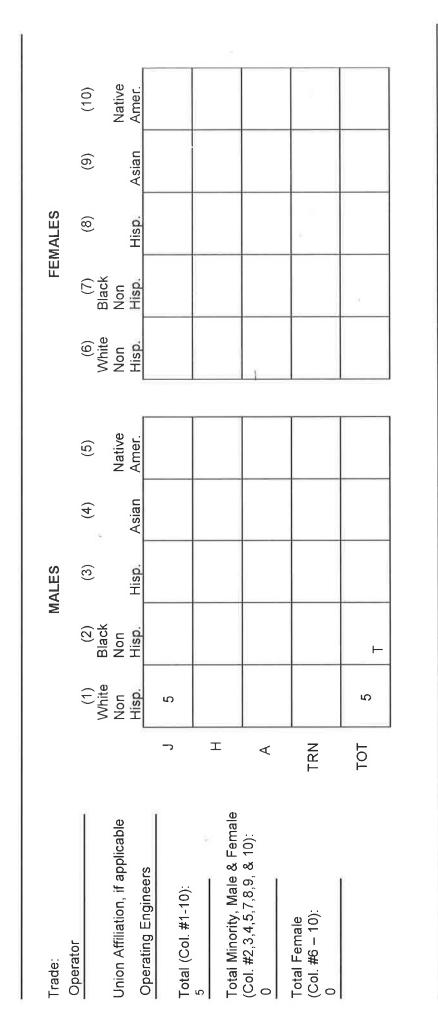
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TRADE CLASSIFICATION CODES

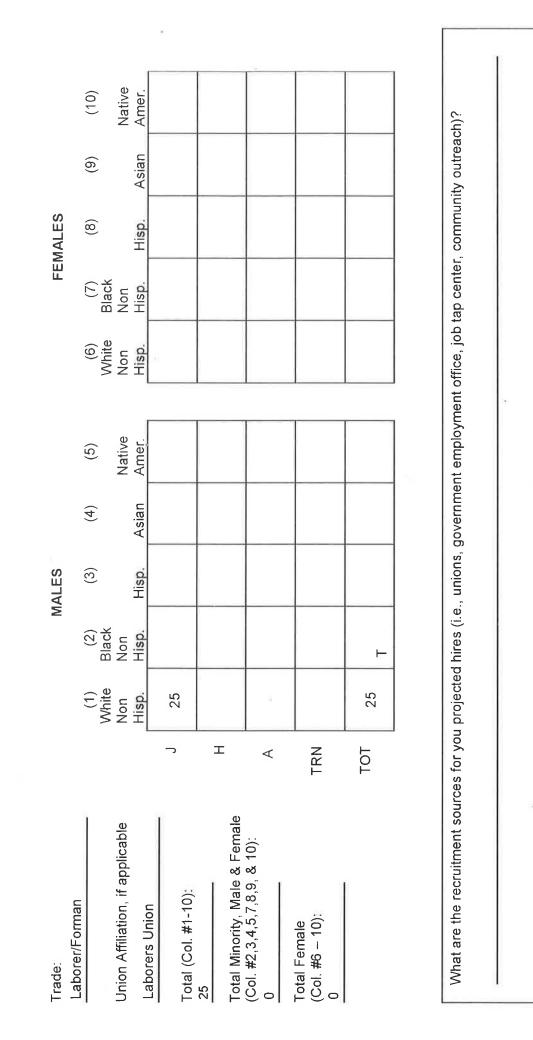
(J) Journeylevel Workers
 (H) Helper
 (TOT) Total by Column

(A) Apprentice (TRN) Trainee

For each trade currently engaged by your company for all work performed in New York City, enter the current workforce for Males and Females by trade classification on the charts below.



What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?



FORM C: CURRENT WORKFORCE



October 31, 2023

The City of New York Department of Business Services Division of Labor Services 110 William Street, 3<sup>rd</sup> Floor New York, New York 10038

RE: Construction Employment Report Part I: Contractor/Subcontractor Information Question 19

This letter is to state that we are a member of the Utility and Transportation Contractors Association, which negotiates collective bargaining agreements on our behalf.

Jose R. Casimiro President

File: constructionemploymentreport



October 31, 2023

The City of New York Department of Business Services Division of Labor Services 110 William Street, 3<sup>rd</sup> Floor New York, New York 10038

RE: Construction Employment Report Part II: Employment Policies and Procedures Question 20

This letter is to explain the unwritten employment policies and procedures for the nonunion employees. The company follows all policies and procedures for the collective bargaining agreements with all contracts signed with unions. For non-union employees, all policies and procedures are determined at the direction of the owner/president of Power Concrete Co., Inc.

Jose R. Casimiro President

2

File: constructionemploymentreport



## EQUAL EMPLOYMENT OPPORTUNITY STATEMENT

It is the policy of <u>Power Concrete Co., Inc.</u> not to discriminate against any employee or applicant for employment because of race, creed, color, national origin, sex, age, disability, marital status, sexual orientation, citizenship status, national origin, religion or veteran status. We will take specific action to ensure that applicants are employed and that employees are treated during employment, without regard to their race, creed, color, national origin, sex, age, disability, marital status, sexual orientation, citizenship status, national origin, religion or veteran status. Such action shall include, but not be limited to the following: recruitment, hiring, compensation, training and apprenticeship, promotion, upgrading, demotion, downgrading, transfer, lay-off and termination, and all other Terms and Conditions of Employment except as provided by law.

Nigel Singhhas been appointed Director of our equalemployment opportunity programs and will report directly to me on the results of suchprogram. As Director ofPower Concrete Co., Inc.opportunity programs,Nigel Singhresponsibilities include:

- i. Developing policy statements, equal employment programs, internal and external communication techniques and programs;
- ii. Assisting in the identification of problem areas;
- iii. Assisting line management in arriving at solutions to problems;
- iv. Designing and implementing audit and reporting systems that will:
  - a. measure effectiveness of the policy and implementing programs including supervisors' and management's adherence to the equal employment opportunity policy;
  - b. indicate need for remedial action; and
  - c. determine the degree to which the equal employment objectives have been met.
- v. Serve as liaison between the company and enforcement agencies;
- vi. Serve as liaison between the company and minority organizations, women's organizations, advocate organizations for the other protected groups and community action groups concerned with equal employment opportunity.

To ensure adherence to this policy, performance evaluations for supervisory personnel shall include ratings on their equal employment efforts and results.

Additionally, in furtherance of our equal employment opportunity commitment <u>Nigel Singh</u> shall insist that labor unions and other recruiting sources actively recruit and refer members of all protected groups for all positions; incorporate non-discriminatory provisions in all its contracts and purchase orders and include the EEO logo, slogan or statement in all solicitations or advertisements for employees.

Power Concrete Co., Inc.
COMPANY NAME
Jose R. Casimiro/President
NAME & TITLE (CEO)
AR Paris
SIGNATURE (CEO)
October 31, 2023

DATE

DATE:

**REFERENCE:** 

We Hereby Adopt the Contract, E.E.O., M.B.E. and training special provisions, where applicable, as part of our Affirmative Action Program as attached hereto.

Sincerely Yours, Power Concrete Co., Inc.

Jose R. Casimiro President/W/MBE Liaison Officer

attachment

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## EQUAL EMPLOYMENT OPPORTUNITY POLICY STATEMENT

DATE:

It is the policy of Power Concrete Co., Inc. not to discriminate against any applicant for employment, or any employee because of age, color, sex, disability, national origin, race, religion or veteran status.

Power Concrete Co., Inc. will take affirmative action to ensure that our EEO Policy is implemented, with particular regard to: advertising, application procedures, compensation, demotion, employment, fringe benefits, job assignment, job classification, layoff, leave, promotion, recruitment, re-hire, social activities, training, termination, transfer, upgrade, and working conditions.

Power Concrete Co., Inc. will continue to make it understood by the employment entities with which it deals, and in employment opportunity announcements, that the foregoing is company policy and all employment decisions are based on individual merit only.

All current employees of Power Concrete Co., Inc. are requested to encourage qualified disabled persons, minorities, and military veterans to apply for employment, on the job training or union accommodations for qualified disabled individuals.

It is the policy of Power Concrete Co., Inc. that all company activities, facilities, and job sites are nonsegregated. Separate or single-user toilet and changing facilities are provided to assure privacy.

It is the policy of Power Concrete Co., Inc. to ensure and maintain a working environment free of coercion, harassment, and intimidation at all job sites, and all facilities at which employees are assigned to work. Any violation of the policy should be immediately reported to you supervisor or the company EEO office.

Sincerely Yours, Power Concrete Co., Inc.

Jose R. Casimiro President

Date:

## NOTICE TO LABOR UNION OR OTHER ORGANIZATIONS OF WORKERS NON-DISCRIMINATION IN EMPLOYMENT

To: Address:

Attn:

Subject: Location: Description to Work:

 Minority Goals (Work Hours)

 Female Goals (Work Hours)

For work incidental to the construction of the above listed project, I have agreed to provide Equal Employment Opportunities without regard to race, color, or national origin, Executive Order 11246, Vevrra (41 C.F.R. Section 60-250.4) and ADA (41 C.F.R. Section 60-741.54) for all employees and applicants for employment in connection with the construction of the above referenced project. To ensure the working environment for this project, we shall see to it that the project shall be free of harassment, intimidation and coercion to any one involved with regards to: hiring, placement, upgrading, transfer or demotion, recruitment, advertisement of solicitation for employment, treatment during employment, rates of pay or other forms of compensation, selection for training, including apprenticeship, layoff or termination.

Your reply to this notice will be greatly appreciated and any efforts you can give us in meeting our minority/female goals for this project in our efforts of compliance.

Jose R. Casimiro President

## MINUTES OF E.E.O. MEETING

#### **REFERENCE**:

A meeting was held at our office located a 497 Raymond Boulevard, Newark, New Jersey, on \_\_\_\_\_\_

The following persons were in attendance:

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Vice President/W/MBE Liaison Officer EEO Officer Project EEO Officer & Superintendent

The purpose of this meeting was to discuss the upcoming job schedule.

Will take full responsibility of seeing to the hiring procedures on this project as well as various new jobs that were discussed as they relate to E.E.O. and the provisions outlined in our Affirmative Action Program and to the Contract specifications.

Minority hires were discussed. Efforts were made by contacting the Various Unions and Referral Agencies for their participation on this project. Currently we seek all hires through local unions due to our union contracts. If a problem arises concerning the hiring of minorities through unions, we will make good faith efforts through solicitation in the newspaper, bulletin board, minority/female referral agencies, use the walk-in application log, along with word of mouth through our present work force. The Executive Order 11246, VEVRAA (41 C.F.R. section 60-250.4) and ADA (41 C.F.R. section 60.741.54) has been adopted and made part of our contract EEO Provisions for this project and any future projects in our hiring practices.

Minutes of EEO Meeting (continued)

EEO requirements were discussed. Goals of participation for this project are: Minority \_\_\_\_\_\_ and Female \_\_\_\_\_ Documents to be submitted and/or posted by \_\_\_\_\_\_

- 1) Affirmative Action Program
- 2) EEO Officer Announcements
- 3) MBE Officer Announcements
- 4) Company Commitment letters to Union and Private Employment Agencies
- 5) EEO Wage Rate posters Displayed at Site
- 6) Documentation of EEO Displayed at Site
- 7) Monthly Log of Walk-In Applicants
- 8) Monthly Log of Nonminority Hires
- 9) Periodic Jobsite Inspection, Documented

The Meeting was Adjourned at

Respectfully Yours, Power Concrete Co., Inc.

## APPOINTMENT OF E.E.O. OFFICERS/M.B.E. OFFICERS

#### **REFERENCE:**

Dear Sir or Madam:

The following individual(s) will be responsible to carry out the Equal Employment Opportunity Program and Minority Business Enterprise Program requirements under Executive Order No. 11246, VEVRAA (41 C.F.R. section 60-250.4) and ADA (41 C.F.R. section 60-741.54) and to ensure and maintain a working environment free of harassment, intimidation and coercion per contract specifications and adopted by Power Concrete Co., Inc. for the above referenced project and any future project.

Nigel Singh/EEO Officer Jose R. Casimiro/MBE Officer

Sincerely Yours, Power Concrete Co., Inc.

Jose R. Casimiro President/W/MBE Liaison Officer

TO: All Supervisory Employees

FROM:

DATE:

PPROJECT:

Power Concrete Co., Inc. is morally and legally committed to nondiscrimination in employment. Any person who applies for a job with this company with not be discriminated against because of race, creed, color, religion, sex, age, disability, material status, sexual orientation, citizenship status, or national origin or veteran status, or genetic information or any other protected characteristic under applicable law. All qualified applicants are welcome to apply for jobs with this company under Executive Order 11246, VEVRRA of ADA Acts.

All Promotions within this company shall be made to the best qualified employee available without discrimination because of race, creed, color, religion, sex, age, disability, martial status, sexual orientation, citizenship status, or national origin or veteran status or genetic information or any other protected characteristic under applicable law.

Power Concrete Co., Inc. will make sure that all employees are given sufficient notice of job vacancies to be filled to ensure equal opportunity to compete and we will ensure that the working environment will be free of harassment, intimidation and coercion to anyone with regards to this project or any future project.

There will be no exceptions to the company's policy

Power Concrete Co., Inc.

Jose R. Casimiro President/DBE Liaison Officer AN EQUAL EMPLOYMENT OPPORTUNITY EMPLOYER

#### BULLETIN BOARD NOTICE

TO: All Employees

FROM: Jose R. Casimiro

DATE:

**REFERENCE**:

<u>Nigel Singh/General Superintendent</u> has been assigned the responsibilities and duties of project EEO Officer for this contract. His duties will be to carry out all duties outlined in our EEO/Affirmative Action Policies as adopted for our plan. It will be his duty to ensure that we shall maintain a working environment free of harassment, intimidation, and coercion on behalf of the company and if any problem arises during his absence, it shall be my duty to enforce the guidelines outlined in our programs.

Anyone who believes he or she has been discriminated against should report this fact to Nigel Singh/Project Superintendent.

<u>Nigel Singh</u> can be reached at the main office from Monday thru Friday until a project jobsite location has been setup and you will be updated on that location and telephone number. The main office is located at 497 Raymond Boulevard, Newark, New Jersey 07105, between 9:00 AM and 5:00 PM at (973) 465-0030.

Jose R. Casimiro President

## APPOINTMENT OF PROJECT E.E.O. OFFICER

DATE:

TO:

**REFERENCE:** 

Dear Sir or Madam:

The following individual has been appointed the Project E.E.O. Officer and will be responsible to carry out the E.E.O./Affirmative Action Program adopted by Power Concrete Co., Inc. as part of our compliance under the Contract Specifications required on the above referenced project. It shall be his duty to see to it all the guidelines set forth in our program shall be carried out to ensure nondiscrimination, harassment or coercion.

Project E.E.O. Officer:

Sincerely Yours, Power Concrete Co., Inc.

Jose R. Casimiro President/W/MBE Liaison Officer

# DISADVANTAGED BUSINESS ENTERPRISE LIASION OFFICER MINORITY BUSINESS ENTERPRISE LIASION OFFICER

DATE:

TO:

**REFERENCE**:

Dear Sir or Madam:

The following individual is the Disadvantaged/Minority Business Enterprise Liaison Officer, and will be responsible to carry out the E.E.O. and Minority Business Enterprise provisions as set forth in Contract Specifications and adopted by Power Concrete Co., Inc. on the above referenced project and any future projects. It shall be the duty of Jose R. <u>Casimiro</u> to carry out all the guidelines set forth in Executive Order No. 11246, VEVRAA and ADA which have been incorporated in our Contract and our EEO/Affirmative Action Programs and to ensure to working environment free of harassment, intimidation and coercion.

DBE/MBE/FEE Liaison Officer:

Sincerely Yours, Power Concrete Co., Inc.

Jose R. Casimiro President/W/MBE Liaison Officer

# POLICY STATEMENT AND AFFIRMATIVE ACTION PROGRAM FOR EQUAL EMPLOYMENT OPPORTUNITY AND DISADVANTAGED MINORITY AND FEMALE OWNED BUSINESS UTILIZATION

#### **REFERENCE**:

- 1) It is the policy and employment practice of Power Concrete Co., Inc. of 497 Raymond Boulevard, Newark, New Jersey 07105, there will be no discrimination on the basis of race, creed, color, religion, sex, age, disability, martial status, sexual orientation, citizenship status, or national origin or veteran status, genetic information or any other protected characteristic under applicable law, in recruiting of applicants for employment or in hiring of employees. Power Concrete Co., Inc. further states that there will be no discrimination in the promotion, placement, transfer, discharge, training, the use of facilities, or retaining under programs to which Power Concrete Co., Inc. subscribes, compensation, terms and conditions of employment or privileges of employment by reason of an individuals race, creed, color, religion, sex, age, disability, marital status, sexual orientation, citizenship status, of national origin or veteran status, genetic information or any other protected characteristic under applicable law. Power Concrete Co., Inc. will take affirmative action to seek out qualified minority group members and women to be qualified for employment and advancement all as good faith effort under the performance of this job or any NJDOT Project.
- 2) Power Concrete Co., Inc. Equal Employment Opportunity Officer shall be Nigel Singh, located at 497 Raymond Boulevard, Newark, New Jersey 07105. He shall coordinate and administer the company's Affirmative Action Program. He shall appoint Subordinate Equal Employment Opportunity Officers to administer the Affirmative Action Program when the company operations are widespread and to cover each project. He shall investigate any complaints of discrimination and if he finds such to be true, shall institute all necessary procedures to correct such discrimination. He shall at least every six months, review the company's Affirmative Action program and he shall initiate and establish a workable Affirmative Action Program under the performance of this job or any NJDOT project.

All Project EEO Officers will be formerly appointed by letter. The names, together with addresses and telephone numbers where they can be reached, will be posted on bulletin boards and at this jobsite or any other NJDOT Project.

3) Power Concrete Co., Inc.'s EEO Officer, Nigel Singh and Subordinate EEO Officers shall inform and instruct supervisory employees of the company's policy of Equal Employment Opportunity with regards to hiring, promotion, demotion and termination of employees. Mr. Singh /EEO Officer shall obtain the supervisory employee's commitment as affirmatively follow and enforce the Equal Employment Opportunity Program within they are over which he has supervisory authority. The company's EEO Officer, Nigel Singh or other EEO Officer shall at least once every three (3) months review with the Supervisors their responsibility to follow an Affirmative Action Program not only for this project but all NJDOT projects.

Power Concrete Co., Inc. shall at least twice annually, hold a meeting to provide opportunity for Nigel Singh/EEO Officer or his designated representative to review and instruct supervisory employees in their responsibilities under the contractor's Affirmative Action Program for this present contract as well as all NJDOT Projects.

4) Power Concrete Co., Inc. will upon request of an owner agency, submit a summary of its Equal Employment Opportunity Program experience in the previous twelve months, based upon the records they are required to maintain for this projector any NJDOT Project.

Power Concrete Co., Inc. agrees to submit, if requested, a breakdown of the current work force. The breakdown will show all classifications of employees, the total number of minority group members and women employed in each classification as of the dates requested.

When advertising in newspaper or other publications for employees, as a good faith effort, the company shall include in the advertisement statement, "An EQUAL OPPORTUNITY EMPLOYER", and such advertisement shall be placed on bulletin boards, word of mouth through present employees, in newspapers, employment offices and publications which have a large circulation, among minority group in the area from which the project work force is derived for the project and any NJDOT Project.

Power Concrete Co., Inc. will make its Equal Employment Opportunity Policy known at all employees meetings and will advise prospective employees and potential sources of employees through personal visits, telephone calls, conferences, personal letters, logs of walk-in applicants placed on this jobsite and all NJDOT Projects and other methods appropriate for in the individual instances. Such action is to be done by the EEO Officer or his duly appointed representatives to show a good faith effort.

Where the recruiting of employees are not covered by valid collective bargaining agreements, Power Concrete Co., Inc. as a good faith effort, will conduct on a personal basis, systematic and direct recruitment through public and private employee referral sources likely to yield minority group and female applicants, including school, colleges, and minority group and female organizations for this contract or any other NJDOT Contract.

Power Concrete Co., Inc. shall, when seeking new employees not covered by union contracts, personally notify recent minority group and female employees of the openings and shall encourage employees to refer minority group and female applicants for employment in a good faith effort for this project as well as any other NJDOT Projects.

Power Concrete Co., Inc. will establish a system of feedback controls including periodic inspections, reviews, reports, and surveys within all management and key levels, both at home office and at the project sites to assure wages, working conditions, employees benefits, upgrading, promotions, transfers, demotions, layoffs and terminations of minority group and female employees are administered on a non-discriminatory basis. Such inspection, review, etc., will be conducted by Nigel Singh/EEO Officer or his duly appointed representatives and will take place at least twice each year for this project and all NJDOT Projects.

5) Power Concrete Co., Inc. as a good faith effort, will seek the inclusion of qualified minority group members and females in a pre-apprenticeship, supervisory, on the job or other training programs in which it participates, to assist in locating, qualifying and increasing the skills of minority group and female employees and applicants for employment on this project and all NJDOT Projects.

## POLICY STATEMENT & AFFIRMATIVE ACTION PROGRAM(Continued)

- 6) Power Concrete Co., Inc. shall request the cooperation of unions representing its employees as an aid to increasing minority group and female representation within the unions and effecting greater number of minority group and female referrals from the unions for participation on this project or any NJDOT Project. The President/DBE liaison Officer, Jose R. Casimiro or his duly appointed representatives shall meet regularly, at least twice per year, with these various union representatives to seek way of increasing the number of minority group and female journeymen and apprentices within the unions, the training programs and the referral system. In the event the unions are unable to meet our requests for minorities or females, the Director, Office of Federal Contract Compliance will be contracted for documentation for such responses from the Unions.
- 7) Power Concrete Co., Inc. will not discriminate against any employees or applicants for employment because of physical or mental handicap, disabled veteran/Vietnam are veteran status in regard to any position for which the employee or applicant for employment is qualified. Power Concrete Co.,
  - Inc. agrees to take affirmative action to employ, advance in employment, advance in employment, and otherwise treat qualified handicapped individuals without discrimination based upon their physical or mental handicap in all employment practices such as the following: employment, upgrading, demotion or transfer, recruitment, advertising, layoff or termination, rates of pay or other forms of compensation, and selection for training, including apprenticeship on this contract or any NJDOT contract.
- 8) Power Concrete Co., Inc. will monitor its EEO Program and that of its subcontractors to assure compliance therewith and to effect changes therein with the contracting agency's approval to increase the possibilities of achieving practical results. The monitoring of Power Concrete Co., Inc.'s program and the subcontractors program shall be accomplished by surveys and reviews by Nigel Singh/EEO Officer and the subordinate EEO Officers. In Addition, compliance reports shall be submitted when requested, to Power Concrete Co., Inc. by the subcontractor.

#### POLICY STATEMENT & AFFIRMATIVE ACTION PROGRAM(Continued)

9) Power Concrete Co., Inc. agrees to keep such records as are necessary to determine compliance with, and progress under, the Equal Employment Opportunity Program. The records kept will be designated to indicate (1) the number of minority group members and females employed in each work classification during each period of contract performance (2) to the extent permissible under law, the name and address of each minority group, female, disabled person or covered veteran applicant for employment who was not hired and the reasons thereof, (3) the progress being made in cooperation with the unions to increase minority group and female employment opportunities, (4) and upgrading minority group and female employees, (5) the progress being made in securing the services of minority group and female (6) and the good faith efforts used to solicit minorities, handicaps or covered veterans for this project or any NJDOT project, subcontractors, and (7) the general progress being made as established by inspection, review, reports, and surveys, by each subcontractor, used under such subcontractors Equal Employment Opportunity Program. All such records will be retained for a period of three (3) years following completion of the contract work and will be available at reasonable times and places for inspection of authorized representatives of the contracting agency.

Power Concrete Co., Inc. agrees to submit to the contracting agency a monthly report for the first three months after construction begins and thereafter upon request a report indicating the total number of employees engaged in each work classification required by the contract work on this project and all other NJDOT Projects.

10) To assure compliance with Presidential Executive Order 11625 for coordinating as consistent with law the plans, programs and operations of the Federal Government which affect or may contribute to the establishment, preservation, and strengthening of minority and women business enterprises, Power Concrete Co., Inc. will in all solicitations for subcontracts, either by competitive bidding or negotiation, including procurement of materials and the leasing of equipment notify each potential subcontractor, vendor or lesser of the company's obligations relative to nondiscrimination on the grounds of race, color, sex, age, religion, national origin, handicap, veteran or marital status.

Jose R. Casimiro, Company's DBE/W/MBE Liaison Officer shall administer the company's minority and women business enterprise program.

Power Concrete Co., Inc. will use good faith efforts in the selection and retention of subcontractors, material vendors and equipment lessors, to utilize minority and women business enterprise as identified by the contracting agency as well as those identified through its own efforts.

Power Concrete Co., Inc. agrees to solicit, by letter and personal contract, the employment of minority group and women owned subcontractors with minority group and women representation among their employees. Information as to such sources will be obtained from minority group and women organizations, contractor organizations, the Small Business Administration, and other federal or state agencies, the company will, where such minority group and women contractors are available, offer to counsel and otherwise offer assistance to help those subcontractors in addition to subcontractors with minority group representation among their employees to qualify on construction work of this project and any NJDOT Project.

All subcontract agreements shall include the provisions of Executive Order 11246 of September 24, 1965, VEVRRA, ADA and any additional orders that may pertain to this program so that such provisions will be binding upon each subcontractor or vendor on this project and all NJDOT Projects.

Power Concrete Co., Inc. Disadvantaged/Minority/Woman Business Enterprise Liaison Officer, Jose R.Casimiro, shall establish procedures to ensure that his subordinates periodically review affirmative action plans of subcontractors working within their reviews and take action, as necessary, to correct any deficiencies uncovered. Such review will occur at least once during the course of the subcontract work or twice per year if the subcontract lasts more than nine months for this project as well as other NJDOT Projects.

Power Concrete Co., Inc.

By:

Jose R. Casimiro President/W/MBE Liaison Officer

#### 16 Affirmative Action Steps

Power Concrete Co., Inc. in our solicitation, shall take specific affirmative actions to ensure equal employment opportunity. We shall follow these 16 Affirmative Action Steps as good faith efforts, shall be made a part of our Affirmative Action Program, VEVRRA, ADA and adopted per contract specifications for this project and all New Jersey Department of Transportation Project.

- 1) Ensure and maintain a work environment free of harassment, intimidation, and coercion at all sites and in all facilities at which the contractor's employees are assigned to work. The contractor, where possible, will assign two or more women to each construction project. Upon request from various unions of minorities and females, and they are unable to meet our requests, the Director, Office of Federal Contract Compliance Programs will be notified. The contractor shall specially ensure that all foremen, superintendents and other on-site supervisory personnel are aware of and carry out the contractor's obligation to maintain such a working environment with specific attention to minority or female individuals working at such sites or such facilities on this project and all other New Jersey Department of Transportation Projects.
- 2) Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment, sources and to community organizations, invite disabled and covered veterans when the contractor or its unions have employment opportunities available, and maintain a record of the organizations responses to show our good faith efforts in recruitment on this project and all New Jersey Department of Transportation Project.
- 3) Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization, disabled covered veteran, handicapped person and of what action was taken with respect to each such individual on this contract or all New Jersey Department of Transportation Projects. If such individual was sent to the union hiring hall for referral and was not referred back to the contractor by the union or, if referred, not employed by the contractor, this shall be documented in the file with the reason therefore, along with whatever additional actions Power Concrete Co., Inc. may have taken on this contract.

#### 16 Affirmative Action Steps (continued)

- 4) Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or women sent by the contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligation for this project or any New Jersey Department of Transportation Project.
- 5) Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, to show good faith efforts, especially those program funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources complied under 6c above.
- 6) Disseminate the Contractor's EEO policy by providing notice of the policy to union and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, for good faith efforts by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location, this jobsite or where any construction work is performed.
- 7) Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with on-site supervisory personnel such as superintendents, general foremen, etc., prior to the initiation of construction work at this project's site or any job site. A written record shall be made and maintained identifying the item and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.

#### 16 Affirmative Action Steps (continued)

- 8) Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, local Employment Services for the solicitation of veterans or disabled candidates specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the contractor does or anticipates doing business showing our good faith efforts in solicitation for this contract or any other New Jersey Department of Transportation Contracts.
- 9) Direct its recruitment efforts, both oral and written, to minority, female and community organizations, schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for acceptance of applications for apprenticeship or other training by any recruitment sources, the Contractor shall send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process as good faith efforts on this contract or other New Jersey Department of Transportation Contracts.
- 10) Encourage present minority and female employees to recruit other minority persons and females and, where reasonable, provide after school, summer and vacation employment to minority and female youth both on the site and in the other areas of a Contractor's work force.
- 11) Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR part 60-3.
- 12) Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunity and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.

#### 16 Affirmative Action Steps (continued)

- 13) Ensure that seniority practices, job classifications, work assignments and other personnel practice do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and Power Concrete Co., Inc.'s obligation under these specifications are being carried out for this contract or other New Jersey Department of Transportation Contracts.
- 14) Ensure that all facilities and company activities are nonsegregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes on this project and all other New Jersey Department of Transportation Projects.
- 15) Document and maintain records of all solicitations of good faith efforts for subcontracts on this contract or any other New Jersey Department of Transportation Contracts from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associates.
- 16) Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations on this project and all New Jersey Department of Transportation Contracts.

Power Concrete Co., Inc.

Jose R. Casimiro President/W/MBE Liaison Officer

#### SEXUAL HARRASSMENT

#### **REFERENCE:**

Power Concrete Co., Inc. has established a policy to ensure equal employment opportunity and to prevent the discrimination of all race, creed, color, national origin, sex, age, disability, marital status, sexual orientation, citizenship status, religion or veteran practices. Such harassment is considered a type of sex discrimination, and in violation of Executive Order 11246, VEVRAA or ADA Acts. It is prohibited by TITLE VII OF THE CIVIL RIGHTS ACT as a Discriminatory Employment Practice.

Sexual Harassment is defined as: "any unwelcome advances or requests for sexual favors or any conduct of sexual nature when (1) submission of such conduct is made either implicitly a term or condition of an individual's employment, (2) submission to or rejection of such conduct by an individual is used as the basis for employment decisions affecting such individual or (3) such conduct has the purpose of effect of substantially interfering with an individual's work performance or creating coercion and intimidation, hostile or offensive working environment." In addition, Sexual Harassment can include crude or offensive language or jokes of sexual nature.

Sexual Harassment will not be tolerated by Power Concrete Co., Inc. and will be grounds for disciplinary action. Complaints of sexual harassment will be processed by our Equal Employment Opportunity Officer/Nigel Singh through the established Affirmative Action Complaint Procedure. The guidelines set forth and adopted by Power Concrete Co., Inc. in our EEO/Affirmative Action Program shall be carried and enforced per Contract Specification for this project and any future project.

Our Equal Employment Opportunity Officer is Nigel Singh, located at 497 Raymond Boulevard, Newark, New Jersey 07105, telephone number 973-465-0030.

#### COMPLAINT PROCEDURE

The complaint procedure will address discrimination complaints regarding race, creed, color, religion, age, sex, marital status, sexual orientation, citizenship status, physical disability (including but not limited to blindness), criminal record, national origin, ancestry or mental disorder (or history thereof), from both current and prospective employees. These individuals have the right to make full utilization of this complaint procedure without in any way jeopardizing their current or prospective employment status.

The components of the complaint procedure are the following:

- 1) The Equal Employment Opportunity Officer will receive all written complaints of discrimination. These may be direct from the employee or upon referral from a supervisor who has received a complaint from an employee.
- 2) All discrimination complaints filed under this procedure will be accepted for investigation up to and including thirty (30) days after the date of the alleged discriminatory act.
- 3) All complaints will be recorded on the "Notice of Discrimination Complaint" form and signed by the complainant. At this time, the complaint will be counseled as to the other avenues of redness open to him or her.
- 4) The office of the Contract Compliance and the Transportation Commissioner will be notified simultaneously of all complaints ultimate resolution as well as the Director of the Office or Civil Rights.
- 5) All Complaints will be investigated and processed by the company's EEO /W/MBE Liaison Officer within (30) days after their receipt.
- 6) The complainant will be notified, in writing, by the EEO/DBE Liaison Officer regarding the results of the investigation and the final disposition of the complaint, including and proposed remedial action.

#### COMPLAINT PROCEDURE (continued)

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- 7) Should the complainant disagree with the EEO/DBE Liaison Officer's decision, he or she can still avail himself or herself or any or all, of the other avenues of redress.
- 8) In the event the complaint against the EEO/DBE Liaison Officer complainants will be advised to utilize the commission on Human Rights and Opportunities complaint procedure.
- 9) The EEO/DBE Liaison Officer will take the necessary steps to ensure the confidentiality of all TITLE VII complaint records and of counseling done in the course of the complaint procedure.

Power Concrete Co., Inc.

Jose R. Casimiro President/W/MBE Liaison Officer

#### AMERICANS WITH DISABILITIES ACT 100% STATE FUNDED CONTRACTS

#### Equal Opportunity for Individuals with Disabilities

Power Concrete Co., Inc. and the New Jersey Department of Transportation do hereby agree that the provisions of TITLE II of the Americans with Disabilities Act of 1990 (the "ACT") (43 U.S.A. Section 10101 et seq.), which prohibits discrimination on the basis of disability by public entities in all services, programs, and activities promulgated pursuant thereunto, are made a part of this contract or any other NJDOT Contract. In providing any aid, benefit, or service on behalf of the New Jersey Department of Transportation pursuant to this contract, Power Concrete Co., Inc. agrees that the performance shall be in strict compliance with the act. In the event that Power Concrete Co., Inc., its agents, servants, employees, or subcontractors violate or are alleged to have violated the act during the performance of this contract, Power Concrete Co., Inc. shall defend the New Jersey Department of Transportation in any action or administrative proceeding commenced pursuant to this act. Power Concrete Co., Inc. shall identify, protect, and save harmless the New Jersey Department of Transportation, its agents, servants, and employees from and against any and all suits, claims, losses, demands, or damages of whatever kind of nature arising out of or claimed to arise out of alleged violation. Power Concrete Co., Inc. shall, at its own expense, appear, defend, and pay any and all charges for legal services and any and all costs and other expenses arising from such action or administrative proceedings or incurred in connection therewith. In any and all complaints brought pursuant to the New Jersey Department of Transportation's grievance procedure, Power Concrete Co., Inc. agrees to abide by any decision of the New Jersey Department of Transportation which is rendered pursuant to said grievance procedure. If any action of administrative proceeding results in an award of damages against the New Jersey Department of Transportation of it the New Jersey Department of Transportation incurs any expense to cure a violation of the ADA which has been brought pursuant to its grievance procedure, Power Concrete Co., Inc. shall satisfy and discharge the same at its own expense. The New Jersey Department of Transportation shall, as soon as practicable after claim has been made against it, give written notice thereof to Power Concrete Co., Inc. along with full and complete particulars of the claim. If any action or administrative proceeding is brought against the New Jersey Department of Transportation or any of its agents, servants, and employees, the New Jersey Department of Transportation shall expeditiously forward or have forwarded to every demand, complaint, notice, summons, pleading, or other process received by the New Jersey Department of Transportation or its representatives for this contract or any New Jersey Department of Transportation Contract.

#### AMERICANS WITH DISABILITIES ACT (continued)

It is expressly agreed and understood that any approval by the New Jersey Department of Transportation of the services provided by Power Concrete Co., Inc. of the obligation to comply with the Act and to defend, identify, protect. and save harmless the New Jersey Department of Transportation pursuant to this paragraph.

It is further agreed and understood that the New Jersey Department of Transportation assumes no obligation to identify or save harmless Power Concrete Co., Inc. its agents, servants, employees and subcontractor for any claim which arise out of their performance of this agreement. Furthermore, Power Concrete Co., Inc. expressly understands and agrees that the provisions of this indemnification clause shall in no way limit Power Concrete Co., Inc. obligations assumed in this Agreement, nor shall they be construed to relieve Power Concrete Co., Inc. from any liability, nor preclude the New Jersey Department of Transportation from taking any other actions available to it under any other provisions of this Agreement of otherwise at law.

Power Concrete Co., Inc.

Jose R. Casimiro President/W/MBE Liaison Officer



## Department of Design and Construction

## THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION

**DIVISION OF INFRASTRUCTURE** 

30-30 THOMSON AVENUE LONG ISLAND CITY, NY, 11101 TEL: 718.391.1000 WEB: www.nyc.gov/ddc

TO BE FILLED IN BY THE BIDDER:

BIDDER'S NAME:

BID SECURITY (CIRCLE ONE): BID BOND / CERTIFIED CHECK

NUMBER OF ADDENDUMS RECEIVED AND ATTACHED TO BID:

\_\_\_\_ ADDENDUMS

DDC CLIENT AGENCY: **DEPARTMENT OF TRANSPORTATION** PREPARED BY: **IN HOUSE** DATE PREPARED: 7/13/2023



# VOLUME 2 OF 3

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED FOR:

## **PROJECT ID: HWPR23MQ**

INFORMATION FOR BIDDERS CONTRACT PERFORMANCE AND PAYMENT BONDS PREVAILING WAGE SCHEDULE

## REHABILITATION OF STANDARD PEDESTRIAN RAMPS AT DESIGNATED LOCATIONS

TOGETHER WITH ALL WORK INCIDENTAL THERETO BOROUGH OF MANHATTAN AND QUEENS CITY OF NEW YORK CITY OF NEW YORK

#### DEPARTMENT OF DESIGN AND CONSTRUCTION

#### **INFORMATION FOR BIDDERS**

December 2021

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### CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION **INFORMATION FOR BIDDERS**

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#### 1. Description and Location of Work

The description and location of the work for which bids are requested are specified in the PASSPort RFx field "Description".

#### 2. <u>Time and Place for Receipt of Bids</u>

Sealed bids shall be received on or before the date and hour specified in the PASSPort RFx, at which time they will be publicly opened and read aloud in the presence of the Commissioner or the Commissioner's or her representative, and any bidders who may desire to be present.

#### 3. Definitions

The definitions set forth in the Procurement Policy Board Rules shall apply to this Invitation For Bids.

#### 4. Invitation For Bids and Contract Documents

- (A) Except for titles, sub-titles, headings, running headlines, tables of contents and indices (all of which are printed herein merely for convenience) the following, except for such portions thereof as may be specifically excluded, shall be deemed to be part of the Contract and the Invitation for Bids.
  - (1) All provisions required by law to be inserted in this Contract, whether actually inserted or not
  - (2) The Contract Drawings and Specifications
  - (3) The General Conditions, the General Requirements and the Special Conditions, if any
  - (4) The Contract
  - (5) The Information for Bidders; Request for Proposals; Notice of Solicitation and Proposal For Bids; Bid or Proposal, and the Bid Booklet
  - (6) The Budget Director's Certificate; all Addenda issued prior to the receipt of the bids; the Notice of Award; Performance and Payment Bonds, if required; and the Notice to Proceed with the Work.
- (B) For particulars as to this procurement, including quantity and quality of the purchase, extent of the work or labor to be performed, delivery and performance schedule, and any other special instructions, prospective bidders are referred to the Invitation For Bids Documents. A copy of such documents can be obtained in the PASSPort RFx.

#### 5. <u>Pre-Bid Conference</u>

A pre-bid conference shall be held as set forth in the PASSPort RFx. Nothing stated at the pre-bid conference shall change the terms or conditions of the Invitation For Bids Documents, unless a change is made by written amendment as provided in Section 9 below. Failure to attend a mandatory pre-bid conference shall constitute grounds for the rejection of the bid.

#### 6. Agency Contact

Any questions or correspondence relating to this bid solicitation shall be addressed to the Agency Contact person specified in the PASSPort RFx.

#### 7. Bidder's Oath

- (A) The bid shall be properly signed by an authorized representative of the bidder and the bid shall be verified by the written oath of the authorized representative who signed the bid, that the several matters stated and information furnished therein are in all aspects true.
- (B) A materially false statement willfully or fraudulently made in connection with the bid or any of the forms completed and submitted with the bid may result in the termination of any Contract between the City and the Bidder. As a result, the Bidder may be barred from participating in future City contracts as well as be subject to possible criminal prosecution.

#### 8. Examination and Viewing of Site, Consideration of Other Sources of Information and Changed Conditions

- (A) Pre-Bidding (Investigation) Viewing of Site Bidders must carefully view and examine the site of the proposed work, as well as its adjacent area, and seek other usual sources of information, for they will be conclusively presumed to have full knowledge of any and all conditions on, about or above the site relating to or affecting in any way the performance of the work to be done under the Contract which were or should have been indicated to a reasonably prudent bidder. To arrange a date for visiting the work site, bidders are to contact the Agency Contact person specified in The PASSPort RFx.
- (B) Should the contractor encounter during the progress of the work subsurface conditions at the site materially differing from any shown on the Contract Drawings or indicated in the Specifications or such subsurface conditions as could not reasonably have been anticipated by the contractor and were not anticipated by the City, which conditions will materially affect the cost of the work to be done under the Contract, the attention of the Commissioner must be called immediately to such conditions before they are disturbed. The Commissioner shall thereupon promptly investigate the conditions. If the Commissioner finds that they do so materially differ, or that they could not reasonably have been anticipated by the contractor and were not anticipated by the City, the Contract may be modified with the Commissioner's written approval.

#### 9. Examination of Proposed Contract

- (A) <u>Request for Interpretation or Correction</u>: Prospective bidders must examine the Contract Documents carefully and before bidding must request the Commissioner in writing for an interpretation or correction of every patent ambiguity, inconsistency or error therein which should have been discovered by a reasonably prudent bidder. Such interpretation or correction, as well as any additional contract provisions the Commissioner may decide to include, will be issued in writing by the Commissioner as an addendum to the Contract, which will be transmitted to each person recorded as having received a copy of the Contract Documents from the Department. Transmission of such addendum will be by mail, e-mail, facsimile or hand delivery. Such addendum will also be posted at the place where the Contract Documents are available for the inspection of prospective bidders. Upon transmission as provided for herein, such addendum shall become a part of the Contract Documents, and binding on all bidders, whether or not actual notice of such addendum is shown.
- (B) <u>Only Commissioner's Interpretation or Correction Binding</u>: Only the written interpretation or correction so given by the Commissioner shall be binding, and prospective bidders are warned that no other officer, agent or employee of the City is authorized to give information concerning, or to explain or interpret, the Contract.
- (C) Documents given to a subcontractor for the purpose of soliciting the subcontractor's bid shall include either a copy of the bid cover sheet or a separate information sheet setting forth the project name, the Contract number (if available), the contracting agency and the Project's location.

#### <u>10.</u> Form of Bid

Each bid must be submitted upon the prescribed form and must contain: a) the name, residence and place of business of the person or persons making the same; b) the names of all persons interested therein, and if no other person is so interested, such fact must be distinctly stated; c) a statement to the effect that it is made without any connection with any other person making a bid for the same purpose and that it is in all respects fair and without collusion or fraud; d) a statement that no Council member or other officer or employee or person whose salary is payable in whole or part from the City Treasury is directly or indirectly interested therein or in the supplies, materials or equipment and work or labor to which it relates, or in any portion of the profits thereof; e) a statement that the bidder is not in arrears to the City or to any agency upon a debt or contract or taxes, and is not a defaulter as surety or otherwise upon any obligation to the City to any agency thereof, except as set forth in the bid.

#### THE BID SHALL BE TYPEWRITTEN OR WRITTEN LEGIBLY IN INK. THE BID SHALL BE SIGNED IN INK. ERASURES OR ALTERATIONS SHALL BE INITIALED BY THE SIGNER IN INK. FAILURE TO CONFORM TO THE REQUIREMENTS OF THIS SECTION 10 SHALL RESULT IN THE REJECTION OF THE BID.

#### 11. Irrevocability of Bid

The prices set forth in the bid cannot be revoked and shall be effective until the award of the Contract, unless the bid is withdrawn as provided for in Sections 15 and 18 below.

#### <u>12.</u> <u>Acknowledgment of Amendments</u>

The receipt of any amendment to the Contract Documents shall be acknowledged by the bidder in its bid submission.

#### <u>13.</u> <u>Bid Samples and Descriptive Literature</u>

Bid samples and descriptive literature shall not be submitted by the bidder, unless expressly requested elsewhere in the Contract or Contract Documents. Any unsolicited bid samples or descriptive literature which are submitted shall not be examined or tested and shall not be deemed to vary any of the provisions of this Contract.

#### 14. Proprietary Information/Trade Secrets

- (A) The bidder shall identify those portions of the bid which it deems to be confidential, proprietary information or trade secrets, and provide justification why such materials shall not be disclosed by the City. All such materials shall be clearly indicated by stamping the pages on which such information appears, at the top and bottom thereof with the word "Confidential". Such materials stamped "Confidential" must be easily separable from the non-confidential sections of the bid.
- (B) All such materials so indicated shall be reviewed by the Agency and any decision not to honor a request for confidentiality shall be communicated in writing to the bidder. For those bids which are unsuccessful, all such confidential materials shall be returned to the bidder. Prices, makes and model or catalog numbers of the items offered, deliveries, and terms of payment shall be publicly available after bid opening, regardless of any designation of confidentiality made by the bidder.

#### 15. <u>Pre-Opening Modification or Withdrawal of Bids</u>

Bids may be modified or withdrawn by written notice received in the office designated in the PASSPort RFx, before the time and date set for the bid opening. If a bid is withdrawn in accordance with this Section, the bid security, if any, shall be returned to the bidder.

#### <u>16.</u> Bid Evaluation and Award

In accordance with the New York City Charter, the Procurement Policy Board Rules and the terms and conditions of this Invitation For Bids, this Contract shall be awarded, if at all, to the responsible bidder whose bid meets the requirements and evaluation criteria set forth in the Invitation For Bids, and whose bid price is either the most favorable bid price or, if the Invitation For Bids so states, the most favorable evaluated bid price. A bid may not be evaluated for any requirement or criterion that is not disclosed in the Invitation For Bids.

<u>Restriction</u>: No negotiations with any bidder shall be allowed to take place except under the circumstances and in the manner set forth in Section 21. Nothing in this Section shall be deemed to permit a contract award to a bidder submitting a higher quality item than that designated in the Invitation For Bids, if that bid is not also the most favorable bid.

#### 17. Late Bids, Late Withdrawals and Late Modifications

Any bid received at the place designated in the solicitation after the time and date set for receipt of bids is late and shall not be considered. Any request for withdrawal or modification received at the place designated in the solicitation after the time and date set for receipt of bids is late and shall not be considered. The exception to this provision is that a late modification of a successful bid that makes the bid terms more favorable to the City shall be considered at any time it is received.

#### <u>18.</u> <u>Withdrawal of Bids.</u>

Except as provided for in Section 15, above, a bidder may not withdraw its bid before the expiration of forty-five (45) days after the date of the opening of bids; thereafter, a bidder may withdraw its bid only in writing and in advance of an actual award. If within sixty (60) days after the execution of the Contract, the Commissioner fails to fix the date

for commencement of work by written notice to the bidder, the bidder at the bidder's option, may ask to be relieved of the bidder's obligation to perform the work called for by written notice to the Commissioner. If such notice is given to the Commissioner, and the request to withdraw is granted, the bidder waives all claims in connection with this Contract.

#### <u>19.</u> <u>Mistake in Bids</u>

(A) <u>Mistake Discovered Before Bid Opening</u>: A bidder may correct mistakes discovered before the time and date set for bid opening by withdrawing or correcting the bid as provided in Section 15 above.

#### (B) Mistakes Discovered Before Award

- (1) In accordance with General Municipal Law (Section 103, subdivision 11), where a unilateral error or mistake is discovered in a bid, such bid may be withdrawn upon written approval of the Agency Chief Contracting Officer if the following conditions are met:
  - (a) The mistake is known or made known to the agency prior to the awarding of the Contract or within 3 days after the opening of the bid, whichever period is shorter; and
  - (b) The price bid was based upon an error of such magnitude that enforcement would be unconscionable; and
  - (c) The bid was submitted in good faith and the bidder submits credible evidence that the mistake was a clerical error as opposed to a judgment error; and
  - (d) The error in the bid is actually due to an unintentional and substantial arithmetic error or an unintentional omission of a substantial quantity of work, labor, material or services made directly in the compilation of the bid, which unintentional arithmetic error or unintentional omission can be clearly shown by objective evidence drawn from inspection of the original work paper, documents, or materials used in the preparation of the bid sought to be withdrawn; and
  - (e) It is possible to place the agency in the same position as existed prior to the bid.
- (2) Unless otherwise required by law, the sole remedy for a bid mistake in accordance with this Article shall be withdrawal of the bid, and the return of the bid bond or other security, if any, to the bidder. Thereafter, the agency may, in its discretion, award the Contract to the next lowest bidder or rebid the Contract. Any amendment to or reformation of a bid or a Contract to rectify such an error or mistake therein is strictly prohibited.
- (3) If the mistake and the intended correct bid are clearly evident on the face of the bid document, the bid shall be corrected to the intended correct bid and may not be withdrawn. Examples of mistakes that may be corrected are typographical errors, errors in extending unit prices, transposition errors and arithmetical errors.

#### 20. Low Tie Bids

- (A) When two or more low responsive bids from responsible bidders are identical in price, meeting all the requirements and criteria set forth in the Invitation For Bids, the Agency Chief Contracting Officer will break the tie in the following manner and order of priority:
  - (1) Award to a certified New York City small, minority or woman-owned business entity bidder;
  - (2) Award to a New York City bidder;
  - (3) Award to a certified New York State small, minority or woman-owned business bidder;
  - (4) Award to a New York State bidder.
- (B) If two or more bidders still remain equally eligible after application of paragraph (A) above, award shall be made by a drawing by lot limited to those bidders. The bidders involved shall be invited to attend the drawing. A witness shall be present to verify the drawing and shall certify the results on the bid tabulation sheet.

#### 21. Rejection of Bids

- (A) <u>Rejection of Individual Bids</u>: The Agency may reject a bid if:
  - (1) The bidder fails to furnish any of the information required pursuant to Section 24 or 28 hereof; or if
  - (2) The bidder is determined to be not responsible pursuant to the Procurement Policy Board Rules; or if
  - (3) The bid is determined to be non-responsive pursuant to the Procurement Policy Board Rules; or if
  - (4) The bid, in the opinion of the Agency Chief Contracting Officer, contains unbalanced bid prices and is thus non-responsive, unless the bidder can show that the prices are not unbalanced for the probable required quantity of items, or if the imbalance is corrected pursuant to Section 15.
- (B) <u>Rejection of All Bids</u>: The Agency, upon written approval by the Agency Chief Contracting Officer, may reject all bids and may elect to resolicit bids if in its sole opinion it shall deem it in the best interest of the City so to do.
- (C) <u>Rejection of All Bids and Negotiation With All Responsible Bidders</u>: The Agency Head may determine that it is appropriate to cancel the Invitation For Bids after bid opening and before award and to complete the acquisition by negotiation. This determination shall be based on one of the following reasons:
  - (1) All otherwise acceptable bids received are at unreasonable prices, or only one bid is received and the Agency Chief Contracting Officer cannot determine the reasonableness of the bid price, or no responsive bid has been received from a responsible bidder; or
  - (2) In the judgment of the Agency Chief Contracting Officer, the bids were not independently arrived at in open competition, were collusive, or were submitted in bad faith.
- (B) When the Agency has determined that the Invitation for Bids is to be canceled and that use of negotiation is appropriate to complete the acquisition, the contracting officer may negotiate and award the Contract without issuing a new solicitation, subject to the following conditions:
  - prior notice of the intention to negotiate and a reasonable opportunity to negotiate have been given by the contracting officer to each responsible bidder that submitted a bid in response to the Invitation for Bids;
  - (2) the negotiated price is the lowest negotiated price offered by a responsible bidder; and
  - (3) the negotiated price is lower than the lowest rejected bid price of a responsible bidder that submitted a bid in response to the Invitation for Bids.

#### 22. Right to Appeal Determinations of Non-Responsiveness or Non-Responsibility and Right to Protest Solicitations and Award

The bidder has the right to appeal a determination of non-responsiveness or non-responsibility and has the right to protest a solicitation and award. For further information concerning these rights, the bidder is directed to the Procurement Policy Board Rules.

#### 23. Affirmative Action and Equal Employment Opportunity

This Invitation For Bids is subject to applicable provisions of Federal, State and Local Laws and executive orders requiring affirmative action and equal employment opportunity.

#### 24. PASSPort COMPLIANCE

All vendors that intend to do business with the City of New York must complete a disclosure process in order to be considered for a contract. This disclosure process was formerly completed using Vendor Information Exchange System (VENDEX) paper-based forms. The City of New York has moved collection of vendor disclosure information online. In early August 2017, the New York City Mayor's Office of Contract Services (MOCS) launched the Procurement and Sourcing Solutions Portal (PASSPort), a new online procurement system that replaced the paper-VENDEX process. In anticipation of awards, all bidders must create online accounts in the new PASSPort system, and

file all disclosure information using PASSPort. Paper submissions, including certifications of no changes to existing VENDEX packages, will not be accepted in lieu of complete online filings using PASSPort.

All vendors that intend to do business with the City, but specifically those that fall into any of the following categories, are required to enroll:

- Have a pending award with a City Agency; or
- Hold a current contract with a City Agency and have either an expiring VENDEX or expiring Certificate of No Change.

The Department of Design and Construction (DDC) and MOCS hereby notifies all proposers that the PASSPort system is available, and that disclosure filing completion is required prior to any award through this competitive bid.

To enroll in PASSPort and to access the PASSPort website (including online training), please visit www.nyc.gov/passport. Contact MOCS at passport@mocs.nyc.gov for additional information and technical support.

#### 25. Complaints About the Bid Process

The New York City Comptroller is charged with the audit of contracts in New York City. Any vendor who believes that there has been unfairness, favoritism or impropriety in the bid process should inform the Comptroller, Office of Contract Administration, One Centre Street, Room 835, New York, New York; telephone number (212)669-2323.

#### 26. Bid, Performance and Payment Security

- (A) <u>Bid Security</u>: Each bid must be accompanied by bid security in an amount and type specified in the PASSPort RFx questionnaire. The bid security shall assure the City of New York of the adherence of the bidder to its proposal, the execution of the Contract, and the furnishing of Performance and Payment Bonds by the bidder, if required in the PASSPort RFx. Bid security shall be returned to the bidder as follows:
  - Within ten (10) days after the bid opening, the Comptroller will be notified to return the deposits of all but the three (3) lowest bidders. Within five (5) days after the award, the Comptroller will be notified to return the deposits of the remaining two unsuccessful bidders.
  - (2) Within five (5) days after the execution of the Contract and acceptance of the Contractor's bonds, the Comptroller will be notified to return the bid security of the successful bidder or, if performance and payment bonds are not required, only after the sum retained under Article 21 of the Contract equals the amount of the bid security.
  - (3) Where all bids are rejected, the Comptroller will be notified to return the deposit of the three (3) lowest bidders at the time of rejection.
- (B) <u>Performance and Payment Security</u>: Performance and Payment Security must be provided in an amount and type specified in the PASSPort RFx. The performance and payment security shall be delivered by the contractor prior to or at the time of execution of the Contract. If a contractor fails to deliver the required performance and payment security, its bid security shall be enforced, and an award of Contract may be made to the next lowest responsible and responsive bidder, or the contract may be rebid.
- (C) <u>Acceptable Types of Security</u>: Acceptable types of security for bids, performance, and payment shall be limited to the following:
  - (1) a one-time bond in a form satisfactory to the City;
  - (2) a bank certified check or money order;
  - (3) obligations of the City of New York; or
  - (4) other financial instruments as determined by the Office of Construction in consultation with the Comptroller.

Whenever the successful bidder deposits obligations of the City of New York as performance and payment security, the Comptroller may sell and use the proceeds thereof for any purpose for which the principal or

surety on such bond would be liable under the terms of the Contract. If the money is deposited with the Comptroller, the successful bidder shall not be entitled to receive interest on such money from the City.

(D) <u>Form of Bonds</u>: Security provided in the form of bonds must be prepared on the form of bonds authorized by the City of New York. Forms for bid, performance, and payment bonds are included in the Invitation for Bids Documents. Such bonds must have as surety thereunder such surety company or companies as are: (1) approved by the City of New York; (2) authorized to do business in the State of New York, and (3) approved by the Department of the Treasury of the United States. Premiums for any required bonds must be included in the base bid.

The bidder is advised that submission of a bid bond where the surety on such bond fails to meet the criteria set forth herein, shall result in the rejection of the bid as non-responsive.

The Department of the Treasury of the United States advises that information concerning approved surety companies may be obtained as follows: (1) from the Government Printing Office at 215-364-6465; (2) through the Internet at <a href="https://www.fiscal.treasury.gov/surety-bonds/">https://www.fiscal.treasury.gov/surety-bonds/</a>.

(E) <u>Power of Attorney</u>: Attorneys in fact who sign bid, performance, or payment bonds must file with each bond a certified copy of their power of attorney to sign said bonds.

#### 27. Failure to Execute Contract

In the event of failure of the successful bidder to execute the Contract and furnish the required security within ten (10) days after notice of the award of the Contract, the deposit of the successful bidder or so much thereof as shall be applicable to the amount of the award made shall be retained by the City, and the successful bidder shall be liable for and hereby agrees to pay on demand the difference between the price bid and the price for which such Contract shall be subsequently awarded, including the cost of any required releting and less the amount of such deposit. No plea of mistake in such accepted bid shall be available to the bidder for the recovery of the deposit or as a defense to any action based upon such accepted bid. Further, should the bidder's failure to comply with this Section cause any funding agency, body or group (Federal, State, City, Public, Private, etc.) to terminate, cancel or reduce the funding on this project, the bidder in such event shall be liable also to the City for the amount of actual funding withdrawn by such agency on this project, less the amount of the forfeited deposit.

#### 28. Bidder Responsibilities and Qualifications

- (A) Bidders must include with their bids all information necessary for a determination of bidder responsibility, as set forth in the Specifications.
- (B) The Agency may require any bidder or prospective bidder to furnish all books of account, records, vouchers, statements or other information concerning the bidder's financial status for examination as may be required by the Agency to ascertain the bidder's responsibility and capability to perform the Contract. If required, a bidder must also submit a sworn statement setting forth such information as the Agency may require concerning present and proposed plant and equipment, the personnel and qualifications of the bidder's working organizations, prior experience and performance record.
- (C) <u>Oral Examination on Qualifications</u>: In addition thereto, and when directed by the Agency, the bidder, or a responsible officer, agent or employee of the bidder, must submit to an oral examination to be conducted by the Agency in relation to the bidder's proposed tentative plan and schedule of operations, and such other matters as the Agency may deem necessary in order to determine the bidder's ability and responsibility to perform the work in accordance with the Contract. Each person so examined must sign and verify a stenographic transcript of such examination noting thereon such corrections as such person may desire to make.
- (D) If the bidder fails or refuses to supply any of the documents or information set forth in paragraph (B) hereof or fails to comply with any of the requirements thereof, the Agency may reject the bid.

#### 29. Employment Report

In accordance with Executive Order No. 50 (1980) as modified by Executive Order 108 (1986), the filing of a

completed Employment Report (ER) is a requirement of doing business with the City of New York for construction contractors with contracts of \$1,000,000 or more and subcontractors with construction subcontracts of \$750,000 or more. The required forms and information are included in the PASSPort Vendor Profile.

#### 30. Labor Law Requirements

- (A) <u>General</u>: The successful bidder will be required to comply strictly with all Federal, State and local labor laws and regulations.
- (B) <u>New York State Labor Law</u>: This Contract is subject to New York State Labor Law Section 220, which requires that construction workers on the site be paid prevailing wages and supplements. The Contractor is reminded that all wage provisions of this Contract will be enforced strictly and failure to comply will be considered when evaluating performance. Noncompliance may result in the contractor being debarred by the City from future contracts. Complaints filed with the Comptroller may result in decisions which may debar a contractor from bidding contracts with any state governmental entity and other political subdivisions.
- (C) <u>Records:</u> The Contractor is expected to submit accurate payroll reports and other required documents and verify attendance and job classifications being utilized in compliance with the law, Contract provisions and agency procedures.

#### <u>31.</u> Insurance

- (A) Bidders are advised that the insurance requirements contained herein are regarded as material terms of the Contract. As required by Article 22 of the Contract, the contractor must effect and maintain with companies licensed and authorized to do business in the State of New York, the types of insurance set forth therein, when required by and in the amounts set forth in Schedule A of the General Conditions. Such required insurance must be provided from the date the contractor is ordered to commence work and up to the date of final acceptance of all required work.
- (B) The contractor must, within ten days of receipt of the notice of award, submit the following insurance documentation: (a) original certificate of insurance for general liability in the amount required by Schedule A of the General Conditions, and (b) original certificates of insurance or other proof of coverage for workers' compensation and disability benefits, as required by Section 57 of the New York State Workers' Compensation Law and Section 220 of the Disability Benefits Law.
- 32. Lump Sum Contracts
  - (A) <u>Comparison of Bids</u>: Bids on Lump Sum Contracts will be compared on the basis of the lump sum price bid, adjusted for alternate prices bid, if any.
  - (B) Lump Sum Bids for "General Construction Work" which include excavation shall include all necessary excavation work defined in the Specifications as being included in the lump sum bid. The bidder shall also bid a unit price for the additional cost of excavating material which is defined in the Specifications as excavation for which additional payment will be made. The total estimated additional cost of removing such material will be taken as the quantity set forth in the Engineer's Estimate multiplied by the unit price bid. This total estimated cost of additional excavation shall be added to the lump sum bid for the General Construction Work for the purpose of comparing bids to determine the low bidder.
  - (C) <u>Variations from Engineer's Estimate</u>: The Engineer's Estimate of the quantity of excavation for which additional payment will be made is approximate only and is given solely to be used as a uniform basis for the comparison of bids and such estimate is not to be considered as part of this contract. The quantities actually required to complete the contract work may be more or less than the quantities in the Engineer's Estimate and, if so, no action for damages or for loss of profits shall accrue to the contractor by reason thereof.

#### 33. Unit Price Contracts

(A) <u>Comparison of Bids</u>: Bids on Unit Price Contracts will be compared on the basis of a total estimated price,

arrived at by taking the sum of the estimated quantities of such items, in accordance with the Engineer's Estimate of Quantities set forth in the Bid Schedule, multiplied by the corresponding unit prices, and including any lump sum bids on individual items.

- (B) <u>Variations from Engineer's Estimate</u>: Bidders are warned that the Engineer's Estimate of Quantities on the various items of work and materials is approximate only, given solely to be used as a uniform basis for the comparison of bids, and is not be considered part of this contract. The quantities actually required to complete the contract work may be less or more than so estimated, and if so, no action for damages or for loss of profits shall accrue to the contractor by reason thereof.
- (C) <u>Overruns</u>: The terms and conditions applicable to overruns of unit price items are set forth in Article 26 of the Contract.

#### 34. Excise Tax

Bidders are referred to the Specifications for information on Federal Excise Tax exemptions.

#### 35. Licenses and Permits

The successful bidder will be required to obtain all necessary licenses and permits necessary to perform the work.

#### <u>36.</u> <u>Multiple Prime Contractors</u>

If more than one prime contractor will be involved on this project, all contractors are required to examine the Invitation for Bid packages for all other parts of the project.

#### 37. Locally Based Enterprise Requirements (LBE)

This Contract is subject to the requirements of Administrative Code, Section 6-108.1, and the regulations promulgated thereunder. No construction contract will be awarded unless and until these requirements have been complied with in their entirety. The bidder is advised of the provisions set forth below, as well as the provisions with respect to the Locally Based Enterprise Program contained in Article 67 of the Contract. The contractor is advised that:

- (A) If any portion of the Contract is subcontracted, not less than ten percent of the total dollar amount of the contract shall be awarded to locally based enterprises ("LBEs"); except, where less than ten percent of the total dollar amount of the Contract is subcontracted, such lesser percentage shall be so awarded.
- (B) No contractor shall require performance and payment bonds from LBE subcontractors.
- (C) No Contract shall be awarded unless the contractor first identifies in its bid:
  - (1) the percentage, dollar amount and type of work to be subcontracted; and
  - (2) the percentage, dollar amount and type of work to be subcontracted to LBEs.
- (D) Within ten calendar days after notification of low bid, the apparent low bidder shall submit an "LBE Participation Schedule" to the contracting agency. If such schedule does not identify sufficient LBE subcontractors to meet the requirements of Administrative Code Section 6-108.1, the apparent low bidder shall submit documentation of its good faith efforts to meet such requirements.
  - (1) The "LBE Participation Schedule" shall include:
    - (a) the name and address of each LBE that will be given a subcontract,
    - (b) the percentage, dollar amount and type of work to be subcontracted to the LBE, and
    - (c) the dates when the LBE subcontract work will commence and end.
  - (2) The following documents shall be attached to the "LBE Participation Schedule":
    - (a) verification letters from each subcontractor listed in the "LBE Participation Schedule" stating that the LBE will enter into a formal agreement for work,

- (b) certification documents of any proposed LBE subcontractor which is not on the LBE certified list, and
- (c) copies of the certification letter of any proposed subcontractor which is an LBE.
- (3) Documentation of good faith efforts to achieve the required LBE percentage shall include as appropriate but not limited to the following:
  - (a) attendance at prebid meetings, when scheduled by the agency, to advise bidders of contract requirements;
  - (b) advertisement where appropriate in general circulation media, trade association publications and small business media of the specific subcontracts that would be at least equal to the percentage goal for LBE utilization specified by the contractor;
  - (c) written notification to association of small, minority and women contractors soliciting specific subcontractors;
  - (d) written notification by certified mail to LBE firms that their interest in the contract is solicited for specific work items and their estimated values;
  - (e) demonstration of efforts made to select portions of the work for performance by LBE firms in order to increase the likelihood of achieving the stated goal;
  - (f) documented efforts to negotiate with LBE firms for specific subcontracts, including at a minimum:
    - (i) The names, address and telephone numbers of LBE firms that are contacted;
    - (ii) A description of the information provided to LBE firms regarding the plans and specifications for portions of the work to be performed;
    - (iii) Documentation showing that no reasonable price can be obtained from LBE firms;
    - (iv) A statement of why agreements with LBE firms were not reached;
  - (g) a statement of the reason for rejecting any LBE firm which the contractor deemed to be unqualified; and
  - (h) documentation of efforts made to assist the LBE firms contacted that needed assistance in obtaining required insurance.
- (E) Unless otherwise waived by the Commissioner with the approval of the Office of Economic and Financial Opportunity, failure of a proposed contractor to provide the information required by paragraphs (C) and (D) above may render the bid non-responsive and the Contract may not be awarded to the bidder. If the contractor states that it will subcontract a specific portion of the work, but can demonstrate despite good faith efforts it cannot achieve its required LBE percentage for subcontracted work until after award of Contract, the Contract may be awarded, subject to a letter of compliance from the contractor stating that it will comply with Administrative Code Section 6-108.1 and subject to approval by the Commissioner. If the contractor has not met its required LBE percentage prior to award, the contractor shall demonstrate that a good faith effort has been made subsequent to award to obtain LBEs on each subcontract until its meets the required percentage.
- (F) When a bidder indicates prior to award that no work will be subcontracted, no work may be subcontracted without the prior written approval of the Commissioner, which shall be granted only if the contractor in good faith seeks LBE subcontractors at least six weeks prior to the start of work.
- (G) The contractor may not substitute or change any LBE which was identified prior to award of the contract without the written permission of the Commissioner. The contractor shall make a written application to the Commissioner for permission to make such substitution or change, explaining why the contractor needs to change its LBE subcontractor and how the contractor will meet its LBE subcontracting requirement. Copies of such application must be served on the originally identified LBE by certified mail return receipt requested,

as well as the proposed substitute LBE. The Commissioner shall determine whether or not to grant the contractor's request for substitution.

#### <u>38.</u> <u>Bid Submission Requirements</u>

The Bid Submission Requirements are set forth in the PASSPort RFx.

#### <u>39.</u> <u>Comptroller's Certificate</u>

This Contract shall not be binding or of any force unless it is registered by the Comptroller in accordance with Section 328 of the City Charter and the Procurement Policy Board Rules. This Contract shall continue in force only after annual appropriation of funds by the City of New York and certification as hereinabove set forth.

#### 40. Procurement Policy Board Rules

This Invitation For Bids is subject to the Rules of the Procurement Policy Board of the City of New York. In the event of a conflict between said Rules and a provision of this Invitation For Bids, the Rules shall take precedence.

#### 41. DDC Safety Requirements

The DDC Safety Requirements apply to the work to be performed pursuant to the Contract. The DDC Safety Requirements are set forth on the following pages.

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## **CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION** SAFETY REQUIREMENTS FOR CONSTRUCTION **CONTRACTS**

January 2020

#### THE DDC SAFETY REQUIREMENTS FOR CONSTRUCTION CONTRACTS INCLUDE THE **FOLLOWING SECTIONS:**

- I. POLICY ON SITE SAFETY
- II. PURPOSE

DDC

- **III. DEFINITIONS**
- **IV. RESPONSIBILITIES**
- SAFETY QUESTIONNAIRE V.
- VI. SITE SAFETY PLAN
- VII. KICK-OFF/PRE-CONSTRUCTION MEETINGS AND SAFETY REVIEW
- VIII. EVALUATION DURING WORK IN PROGRESS
- IX. SAFETY PERFORMANCE EVALUATION

#### I. POLICY ON SITE SAFETY

The City of New York Department of Design and Construction (DDC) is committed to a policy of injury and illness prevention and risk management for construction work that will ensure the safety and health of the workers engaged in the projects and the protection of the general public. Therefore, it is DDC's policy that work carried out by Contractors on DDC contracts must, at a minimum, comply with the most current versions of all applicable federal, state and city laws, rules, and regulations, including without limitation:

- □ Code of Federal Regulations, Title 29, Part 1926 (29 CFR 1926) and applicable Sub-parts of Part 1910 U.S. Occupational Safety and Health Administration (OSHA);
- □ Federal Highway Administration Manual on Uniform Traffic Control Devices (MUTCD);
- New York Codes, Rules and Regulations (NYCRR), Title 12, Part 23 Protection in Construction, Demolition and Excavation Operations;
- □ New York Codes, Rules and Regulations (NYCRR), Title 16, Part 753 Protection of Underground Facilities;
- □ New York City Administrative Code, Title 28 New York City Construction Codes;
- Rules of the City of New York, Title 15, Chapter 13 Rules Pertaining To the Prevention of the Emission of Dust from Construction Related Activities;
- □ Rules of the City of New York, Title 15, Chapter 28 Citywide Construction Noise Mitigation;
- □ Rules of the City of New York, Title 34 Chapter 2 NYCDOT Highway Rules.

The Contractor will be required to comply with all new and/or revised federal, state and city laws, rules, and regulations, issued during the course of the project, at the expense of the Contractor without any additional costs to the DDC.

#### II. PURPOSE

The purpose of this policy is to ensure that Contractors perform their work and supervise their employees in accordance with all applicable federal, state and city rules and regulations. Further, Contractors will be expected to minimize or eliminate jobsite and public hazards, through a planning, inspection, auditing and corrective action process. The goal is to control risks so that injuries, illnesses, and accidents to contractors' employees, DDC employees and the general public, as well as damage to city-owned and private property, are reduced to the lowest level feasible.

#### **III. DEFINITIONS**

**Agency Chief Contracting Officer (ACCO)**: The ACCO will mean the person delegated authority by the Commissioner to organize and supervise the procurement activity of subordinate Agency staff in conjunction with the City Chief Procurement Officer (CCPO).

**Competent Person**: As defined by OSHA, an individual who is capable of identifying existing and predictable hazards in the surroundings or working conditions that are unsanitary, hazardous, or dangerous to employees or the general public, and who has authorization to take prompt corrective measures to eliminate them. This individual will have completed, at a minimum an authorized 30-hour OSHA Construction Safety Course. The Contractor may be required to provide more than one competent person due to construction operations and based on the number of active work sites.

**Construction Safety Auditor**: A representative of the Office of Construction Safety who provides inspection and assessment services to enhance health and safety on all DDC construction projects. The activities of the Construction Safety Auditor include performing site audits, reviewing safety plans, reviewing construction permits, drawings, verifying Contractor's compliance with applicable federal, state and city laws, rules, regulations, and DDC Contract Safety Requirements, etc. and rendering technical advice and assistance to DDC Resident Engineers and Project Managers.

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Office of Construction Safety: A unit of DDC Safety and Site Support that assesses contractor's safety on DDC jobsites and advises responsible parties of needed corrective actions.

Registered Construction Superintendent: For certain projects, as defined in New York City Construction Codes -Title 28, the contractor will provide a Construction Superintendent registered with the NYC Department of Buildings and responsible for all duties as defined in Chapter 33 of Title 1 of the Rules of the City of New York.

Contractor: For purposes of these Safety Requirements, the term "Contractor" will mean any person or entity that enters into a contract for the performance of construction work on a DDC project. The term "Contractor" will include any person or entity which enters into any of the following types of contracts: (1) a prime construction contract for a specific project, (2) a prime construction contract using the Job Order Contracting System ("JOCS Contract"), and (3) a subcontract with a CM/Builder ("First Tier Subcontract").

Daily Safety Job Briefing: Daily jobsite safety briefings, given to all jobsite personnel at project site by the Contractor before work begins and/or if hazards or potential hazards are discovered while working, with the purpose of discussing the scheduled activities for the day, the hazards related to these activities, activity specific safety procedures, and Job Hazard Analysis associated with the scheduled construction work. Daily jobsite briefings will be documented, available at the jobsite, and will include at a minimum, topics, name and signature of the person conducting the briefing session, names and signatures of attendants, name of the designated competent person, contactor's name, DDC Project ID, date, time, and location.

Director - Office of Construction Safety: Responsible for the operations of the Office of Construction Safety and the DDC Site Safety management programs.

Job Hazard Analysis (JHA): A process of identifying the major job tasks and any potential site-specific hazards that may be present during construction and establishing the means and methods to eliminate or control those hazards. A JHA will be documented, available at the jobsite and will include at a minimum work tasks, being performed, identified hazards, control methods for the identified hazards, contractor's name, DDC Project ID, location, date, name and signature of certifying person. A JHA is a living document that will be re-evaluated and revised to address new hazards and tasks that may develop and will be present at the worksite and produced upon request.

Qualified Person: As defined by OSHA, an individual who, by possession of a recognized degree, certificate, license, or professional standing, or who by extensive knowledge, training, and experience, has successfully demonstrated his or her ability to solve problems relating to the subject matter, the work, or the project. Qualified Persons are required under regulation to address issues pertaining, but without limit, to fall protection, scaffold design, maintenance and protection of traffic, and excavation protective system, among others.

**Project Site:** Those areas indicated in the Contract Documents where the Work is to be performed.

Project Safety Representative: The designated Project Safety Representative will have at a minimum an OSHA 30-hour Construction Safety Course and other safety training applicable to Contractor's/subcontractor's project work. This individual will be responsible to oversee safety performance of the required construction work, conduct documented daily safety inspections, and implement corrective actions to maintain a safe work site. The Project Safety Representative must have sufficient experience and skills necessary to thoroughly understand the health and safety hazards and controls and must have authority to undertake corrective actions. A dedicated full-time Project Safety Representative may be required on large projects and projects deemed by DDC to be particularly high risk. DDC reserves the right to request a dedicated full-time Project Safety Representative for any reason at any time during the course of the project at the expense of the Contractor without any additional costs to the DDC. The fulltime Project Safety Representative will be present at the site during all work activities.

Resident Engineer ("RE"): Representative of the Commissioner duly designated by the Commissioner to be his/her representative at the site of the work. The RE may be a consultant retained by DDC, including a Construction Management (CM) or Resident Engineer Inspection (REI) firm. If DDC has retained a CM, REI or other consultant firm to perform management and oversite for the Project (e.g., CM-Builder, CM-Design-Builder, Project Manager, Program Manager), that CM, REI or other consultant is the Resident Engineer for purposes of these Safety Requirements.

Safety Questionnaire: Used by DDC to evaluate Contractor's current and past safety performance. It is required to be completed by all Contractors initially when submitting bids for Construction work, or when being pre-qualified

and updated annually or as requested by the DDC.

Site Safety Manager: For certain projects, as defined in New York City Construction Codes - Title 28, the Contractor will provide a Site Safety Manager with a Site Safety Manager License issued by the New York City Department of Building.

Site Safety Plan: A site-specific safety plan developed by the Contractor for a DDC project. The Site Safety Plan will identify the project work scope, identify hazards associated with the project work and include project specific safety procedures and training appropriate and necessary to complete the work. The Site Safety Plan will be submitted within 30 days from the Award Date or as otherwise directed and is subject to review and acceptance by the Office of Construction Safety prior to the commencement of work at the site.

Unsafe or Unhealthy Condition: A condition that could be potentially hazardous to the health and safety of personnel or the public, and/or damaging to equipment, machinery, property, or the environment.

Weekly Safety Meetings: Weekly jobsite safety meetings, given to all jobsite personnel by Contractor, with the purpose of discussing general safety topics and job specific requirements encountered at the DDC work site. Weekly safety meetings will be documented and will include at a minimum, topics, name and signature of the person conducting the meeting, names and signatures of attendees, contractor's name, DDC Project ID, date, and location.

Work: The construction required by the Contractor's Contract Documents whether completed or partially completed, performed by the Contractor/ subcontractors. Work refers to the furnishing of labor, furnishing and incorporating materials and equipment into the construction and providing any service required by the Contract Documents to fulfill the Contractor's obligation to complete the Project. For the purposes of these Safety Requirements, the term "Work" includes all Utility Interference work (commonly referred to as "Section U", "EP-7", and "Joint Bid" work) performed in association with this Contract.

#### IV. RESPONSIBILITIES

All persons who manage, perform, and provide support for construction projects will conduct operations in compliance with the requirements identified in this Policy and all applicable governing regulatory agency requirements and guidelines pertaining to safety in construction.

#### A. Resident Engineer

- 1. Review and facilitate Contractor(s) Site Safety Plan submittals to DDC for acceptability.
- 2. Notify the Office of Construction Safety of the commencement of construction work.
- 3. Develop and implement a training verification process to ensure that all CM/REI, consultant, Contractor, and subcontractor employees are properly trained. Maintain all applicable initial and refresher training records and assures documentation availability on site.
- 4. Maintain documentation of and attend weekly safety meetings and daily safety job briefings.
- 5. Assure that Contractor(s) JHA's are current to reflect the work tasks being performed, hazards, and control methods to mitigate the identified hazards. Verify that all employees at the job site are trained on the JHAs and maintain supporting documentation on site.
- 6. Assure adequate planning for all critical construction activities (crane operation, excavation, confined space entry, etc.) including coordination between Contractor(s) /DDC/ other Agencies as required.
- 7. Maintain custody of all construction related permits, plans, approvals, drawings, etc., related to the project and assure their availability on site.
- 8. Recognize, minimize, or eliminate jobsite and public hazards, through required planning, inspection, verification, and corrective action process.
- 9. Monitor the conditions at the site for conformance with the Contractor's Site Safety Plan, DDC policies, permits, and all applicable regulations and documentation that pertain to construction safety.
- 10. Notify the Contractor and DDC immediately upon determination of any condition or activity existing which is not in compliance with the Contractor's Site Safety Plan, applicable federal, state or local codes or any

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condition that presents a potential risk of injury to the public or workers or possible damage to property. Direct the Contractor to provide such labor, materials, equipment, and supervision to remedy such conditions.

- 11. Notify the Office of Construction Safety and the ACCO's Insurance and Risk Management Unit of projectrelated accidents, incidents, and near misses as per DDC's Construction Safety Emergency and Accident Notification and Response Procedure within two (2) hours.
- 12. In case of an accident, incident, or near miss, RE is responsible to protect the integrity of the accident site including but not limited to: the safeguarding of all evidence, documentation of all personnel on site at the time of the accident, gather facts related to all accidents, incidents, or near miss, and prepare required DDC Construction Accident Report as per DDC's Construction Safety Emergency and Accident Notification and Response Procedure. Maintain all records pertaining to accidents, incidents, and near miss and have them available upon request.
- 13. Notify the Office of Construction Safety within two (2) hours of the start of an inspection by any outside/ regulatory agency personnel, including NYS, OSHA, NYC DOB or any other City/State/Federal oversight entity and forward a copy of the inspection report within one business day of its receipt.
- 14. Escort and assist Construction Safety Auditors during all field and record audits.
- 15. Report any emergency conditions to the Office of Construction Safety immediately.

#### Note: In addition to the responsibilities listed above, if the Resident Engineer is a CM/REI or other non-City party hired by the City to manage the Project, the Resident Engineer is also required to do the following:

- 16. Provide personnel who are certified and or trained appropriately for the requirements of the project.
- 17. Perform an investigation for any project-related accidents, incidents, and near misses. Within 24-hours of the time of the accident, incident, or near miss, the CM/REI will submit an investigation report to the Office of Construction Safety. Such report will include proposed remedial measures and implementation of corrective actions to prevent recurrence.

DDC reserves the right to request that the CM/REI replace any CM/REI personnel for any reason at any time during the project.

#### **B.** Construction Contractors

#### Note: For CM-Build and CM-Design-Build Projects, the CM will meet all requirements listed in this section, as well as the Resident Engineer section above.

- 1. Submit a completed Safety Questionnaire and other safety performance related documentation with its bid or as part of a pre-qualification package.
- 2. Submit a Site Safety Plan within 30 days from the Award Date or as otherwise directed. The Site Safety Plan is subject to review and acceptance by the Office of Construction Safety prior to the commencement of work at the site. The Site Safety Plan will be revised and updated as necessary during the course of the project. If requested by the Office of Construction Safety, the Site Safety Plan must be developed and submitted for approval using a web-based system, the Site Safety Plan Application (SSP App).
- Designate and identify a Project Safety Representative in the Site Safety Plan. The Contractor will immediately 3. notify the Office of Construction Safety, in a form and manner acceptable to the Office of Construction Safety, of any permanent change to the designated Project Safety Representative. In the event the primary designated Project Safety Representative is temporary unable to perform his or her duties, an alternate Project Safety Representative will be provided. Resumes, outlining the qualification and experience for the Project Safety Representative (s) will be included in the Site Safety Plan and available upon request. DDC reserves the right to request the Contractor to replace a Project Safety Representative for any reason at any time during the course of the project.
- 4. Designate and identify a Competent Person(s) in the Site Safety Plan. Contractor/subcontractor may be required to provide more than one competent person due to construction operations and based on a number of work tasks/areas. DDC reserves the right to request the Contractor to replace a Competent Person or provide additional Competent Person(s) for any reason at any time during the course of the project. The Competent Person will be present at the site during all work activities.
- 5. For certain projects, as defined in New York City Construction Codes Title 28, designate and identify the Licensed Site Safety Manager or Registered Construction Superintendent. Resumes, outlining the qualification and experience for the Licensed Site Safety Manager or Registered Construction Superintendent will be included in the Site Safety Plan and available upon request. The Contractor will immediately notify the Office

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of Construction Safety, in a form and manner acceptable to the Office of Construction Safety, of any permanent change to the designated Site Safety Manager and/or Construction Superintendent. In the event the primary designated Site Safety Manager or Construction Superintendent is temporarily unable to perform his or her duties, an alternate Licensed Site Safety Manager and/or Registered Construction Superintendent will be provided. The Office of Construction Safety must be informed of such change. DDC reserves the right to request the Contractor to replace Site Safety Manager or Construction Superintendent for any reason at any time during the course of the project.

- Develop a written Job Hazard Analysis (JHA) that identifies safety hazards and control methods for project 6. specific work tasks. A preliminary JHA will be included in the Site Safety Plan submitted by the Contractor. A JHA is a living document that will be re-evaluated and revised to address new hazards and tasks that may develop during the course of the project and will be present at the worksite and produced upon request.
- Develop project specific safety procedures to protect employees, general public, and property during all 7. construction activities for the duration of the project.
- Ensure that all employees are aware of the hazards associated with the project through documented formal and 8. informal training and/or other communications. Conduct and document new employee and site-specific safety orientation for all Contractor and subcontractor personnel to review the hazards associated with the project as identified in the Site Safety Plan and the specific safety procedures and controls that will be used to protect workers, the general public and property. The Project Safety Representative will conduct this training prior to mobilization and if necessary during the course of the project. Documentation will be provided to the RE.
- 9. Prior to performing any work on DDC projects all Contractor's and subcontractor's employees will, at a minimum, have successfully completed, within the previous five calendar years, an OSHA 10-hour construction safety course.

All training records (OSHA 10-hour, flagger, scaffold, fall protection, confined space, etc.) will be provided to the RE prior to mobilization, included in the Site Safety Plan, kept current during the course of the project, and available for review.

- 10. Conduct and document weekly safety meetings and daily job briefing sessions for the duration of the project. Attendance at weekly safety meetings and daily job briefing sessions is mandatory. A written record of weekly safety meetings will be available upon request and job briefing sessions will be available at the worksite.
- 11. As part of the Site Safety Plan, prepare site specific procedures, such as maintenance and protection of traffic plan, steel erection plan, confined space program, fall protection plan, demolition plan, site specific emergency evacuation plan, etc. (if not otherwise provided in the contract documents) and comply with all of its provisions.
- 12. Have immediately available for review at the project site where actual construction activities are being performed all applicable documentation, including but not limited to: JHAs for work tasks being performed, all required training records, MPT plan (where applicable), Noise and Dust Mitigation Plans, excavation protective system drawings (where applicable), Emergency Evacuation plan, fall protection program (where applicable), confined space program (where applicable), all required permits, daily job briefing records, all required documentation for crane operation (where applicable), daily inspection checklist, scaffold and sidewalk drawings (when applicable), safety data sheets for chemicals in use.
- 13. Comply with all federal, state and local safety and health rules, laws, and regulations.
- 14. Comply with all provisions of the Site Safety Plan.
- 15. Provide, replace, and adequately maintain at or around the project site, suitable and sufficient signage, lights, barricades and enclosures (fences, sidewalk sheds, netting, bracing, etc.). The project specific MPT plan will be developed, implemented, and reviewed during the course of the project.
- 16. The Project Safety Representative will conduct daily safety inspections, document the inspection results, implement corrective actions for the identified hazards. Maintain the inspection records and have them available upon request.
- 17. Report unsafe or unhealthy conditions to the RE as soon as practical, but no more than 24 hours after discovery, and take prompt actions to remove or abate such conditions. Should an imminent dangerous condition be discovered, Contractor will stop all work in the area of danger until corrections are made.
- 18. Report all accidents, incidents and near misses involving injuries to workers or the general public, as well as property damage, to the RE within one (1) hour.
- 19. Following an accident or incident, unless otherwise directed, the Contractor will not remove or alter any equipment, structure, material, or evidence related to the accident or incident. Exception: Immediate emergency procedures taken to secure structures, temporary construction, operations, or equipment that pose a continued imminent danger or facilitate assistance for persons who are trapped or who have sustained bodily injury. Take

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additional measures as necessary to secure the accident or incident site and to protect against any further injury or property damage.

- 20. The Contractor will perform an investigation into the root cause of the accident, incident, or near miss. Within 24 hours of an accident, incident, or near miss, the Contractor will prepare and submit to the RE a written investigation report detailing findings, corrective actions, and hazard mitigation implementation to prevent recurrence.
- 21. Notify the RE within two (2) hours of the start of an inspection by any outside regulatory agency personnel, including OSHA, NYC DOB, or others.
- 22. Maintain all records pertaining to all required safety compliance documents, accidents and incidents reports. DDC reserves the right to request copy of any records pertaining to the safety of the project and required by DDC and other federal, state, and city agencies, including but not limited to permits, training records, safety inspection records, drawings, equipment records, etc.
- 23. Cooperate with DDC Office of Construction Safety/ RE and address DDC recommendations on safety, which will in no way relieve the Contractor of its responsibilities for safety on the project. The Contractor has sole responsibility for safety.

#### V. SAFETY QUESTIONNAIRE

DDC requires that all Contractors provide information regarding their current and past safety performance and programs. This will be accomplished by the use of the DDC Safety Questionnaire. As a part of the bid submittal package, the contractor will submit a completed DDC Safety Questionnaire listing company workers' compensation experience modification rating and OSHA Incident Rates for the three (3) years prior to the date of the bid opening. DDC may request a Contractor to update its Questionnaire at any time or to provide more detailed information. The Contractor will provide the requested information within 15 days.

The following criteria will be used by DDC in reviewing the Contractor's responsibility, which will be based on the information provided on the questionnaire:

- Criteria 1: OSHA Injury and Illness Rates (I&IR) are no greater than the average for the industry (based on the most current Bureau of Labor Statistics data for the Contractors SIC code); and
- Criteria 2: Insurance workers compensation Experience Modification Rate (EMR) equal to or less than 1.0; and
- Criteria 3: Any willful violations issued by OSHA or NYC DOB within the last three (3) years; and
- Criteria 4: A fatality (worker or member of public) and injuries, requiring OSHA notification, experienced on or near Contractor's worksite within the last three (3) years; and
- Criteria 5: Past safety performance on DDC projects (accidents; status of site safety plan submittals; etc.)
- Criteria 6: OSHA violation history for the last three (3) years;
- Criteria 7: Contractor will provide OSHA Injury and Illness Records (currently OSHA 300 and 300A Logs) for the last three (3) years.

If the Contractor fails to meet the basic criteria listed above, the Office of Construction Safety may request, through the ACCO, more details concerning the Contractor's safety experience. DDC may request the Contractor to provide copies of, among other things, accident investigation reports, OSHA records, OSHA and NYC DOB citations, EPA citations and written corrective action plan.

#### VI. SITE SAFETY PLAN

Within thirty (30) days from the Award Date or as otherwise directed, the Contractor will submit the Site Safety Plan. The Site Safety Plan will identify project work scope, safety hazards associated with the project tasks, and include specific safety procedures and training appropriate and necessary to complete the work. The Site Safety Plan is subject to review and acceptance by the Office of Construction Safety prior to the commencement of work at the site. Due to the project work scope and project duration, the Office of Construction Safety may grant a conditional acceptance for a Site Safety Plan without all sections being complete. In a case of a "Conditional Acceptance" of a Site Safety Plan, CITY OF NEW YORK SAFETY REQUIREMENTS FOR CONSTRUCTION CONTRACTS DDC 7 JANUARY 2020 the Contractor will provide the remaining sections previously incomplete and/or not submitted for review and acceptance by the Office of Construction Safety prior to the commencement of the construction activities. The Office of Construction Safety reserves the right to withdraw the initial "Conditional Acceptance" if the Contractor fails to provide the remaining sections of a Site Safety Plan. Failure by the Contractor to submit an acceptable Site Safety Plan will be grounds for default.

<u>Site Safety Plan requirements</u>: The Site Safety Plan will be a written document and will apply to all project specific Contractor and subcontractor operations, and will have at a minimum, the following elements with each described in a separate section (It may be necessary to modify the basic format for certain unique or high-risk projects, such as tunnels or high-rise construction). All Site Safety Plan sections will be numbered in the order listed below. For sections, which are not applicable for the type of the work being performed by the Contractor on DDC project, the Contractor will in writing indicate "Not applicable based on the project work scope." The Site Safety Plan will include Contractor's name, DDC project ID, project location (s), and development and revision dates. The Site Safety Plan will include the sections, attachments, and appendixes provided in the Site Safety Plan. All pages of the Site Safety Plan will be numbered. If requested by the Office of Construction Safety, the Site Safety Plan must be developed and submitted for approval using a web-based system, the Site Safety Plan Application (SSP App).

- 1. Project Work Scope Detailed information regarding work tasks that will be performed by Contractor and subcontractors under the project.
- 2. Responsibility and Organization Contractor's organization chart with responsible personnel for the project, including titles, names, contact information, roles, and responsibilities. All Contractor's personnel required by the DDC Safety Requirements will be identified.
- 3. Safety Training and Education OSHA 10 Hours training, requirements for daily safety briefings and weekly safety meetings, any work task specific training, responsible staff for implementation of training program for the project.
- 4. Job Hazard Analysis (JHA) Project specific Job Hazard Analysis including work tasks, identified hazards, hazard control methods (administrative, engineering, PPE) to protect workers, property and general public, Contractor's name, project id, location, name and signature of a certifying person, hazard assessment date.
- 5. Protection of Public Project specific procedures covering safety of the general public during all project construction activities.
- 6. Hazard Corrective Actions Procedures for hazard identification, including responsible person(s), frequency of safety inspections, implementation of corrective actions, safety inspection checklist.
- Accident/Exposure Investigation Project specific procedures for accident/incident/near miss investigation and implementation of corrective actions. Accident/incident/near miss notification procedure of DDC project staff (timer frame and responsible personnel).
- 8. Recording and Reporting Injuries Procedures to meet 29 CFR 1904 requirements.
- 9. First Aid and Medical Attention Responsible staff, location and inspection of First Aid kit, directions to local hospitals; emergency telephone numbers.
- 10. Project Specific Fire Protection and Prevention Program Project specific procedures, including responsible staff, fire alarm system/methods, hot work procedures, etc.
- 11. Housekeeping Procedure.
- 12. Project Specific Illumination Procedure.
- 13. Project Specific Sanitation Procedure.
- 14. Personal Protective Equipment (PPE), including Respiratory Protection Program and Hearing Conservation Program, if required.
- 15. Hazard Communication Program Contractor's Hazard Communication Program, responsible staff; training; SDS records, project specific list of chemicals; location of the program and SDS records.
- 16. Means of Egress Information regarding free and unobstructed egress from all parts of the building or structure; exit marking; maintenance of means of egress, etc.
- 17. Employee Emergency Action Plan Project specific: responsible staff, emergency alarm system/devices, evacuation procedure, procedure to account for employees after evacuation, etc.
- 18. Evacuation Plan Project specific evacuation plan (drawing/scheme) with exists and evacuation routes.
- 19. Ionizing/Nonionizing Radiation Competent person, license and qualification requirements, type of radiation, employee's exposure and protection, safety procedures, etc.

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- 20. Material Handling, Storage, Use and Disposal Project specific information regarding material storage, disposal, and handling: procedures, plan/drawings, etc.
- 21. Signs, Signals, and Barricades Use of danger/warning signs, safety instruction signs, sidewalk closure and pedestrian fencing and barricades (if not included in the MPT plan), etc.
- 22. Tools Hand and Power Safety procedures for the type of tools to be used.
- 23. Scaffold Project specific scaffold types, procedures, training requirements, scaffold drawings, designed, sealed, and signed by NYS Licensed Professional Engineer, or as otherwise directed; competent person, criteria for project specific scaffold, falling object protection, procedures for aerial lifts/scissor lifts.
- 24. Welding and Cutting Project specific procedure for welding and cutting, including all necessary safety requirements such as fire prevention, personal protective equipment, hot work permits (if not covered by Contractor's Fire Prevention and Protection program, FDNY certificate requirements).
- 25. Electrical Safety Project specific procedures, including lock out-tag out.
- 26. Fall Protection Project specific information regarding selected fall protection systems, fall protection plan, responsible staff.
- 27. Cranes, Derrick, Hoists, Elevators, Conveyors project specific equipment information including type, rated load capacity, manufacture specification requirements, competent person, exposure to falling load, inspection, recordkeeping, clearance requirements, communication procedure, ground lines, permits.
- 28. Excavation Safety Competent person; excavation procedures; project specific protective system, including drawings, designed, sealed, and signed by NYS Licensed Professional Engineer, or as otherwise directed.
- 29. Protection of Underground Facilities and Utilities Procedure, including responsible staff and responsibilities.
- 30. Concrete and Masonry Construction Procedures
- 31. Maintenance and Protection of Traffic Plan Project specific MPT plan, designed, sealed, and signed by NYS Licensed Professional Engineer, or as otherwise directed; flagmen training, public safety, etc.
- 32. Steel Erection Site specific erection plan, requirements for applicable written notifications, competent person, fall protection plan, training requirements, etc.
- 33. Demolition Engineering survey, including written evidence, disconnection of all effected utilities, identification of all hazardous chemicals, materials, gases, etc., floor openings, chutes, inspection and maintenance of all stairs/passageways, removal of materials/debris/structural elements, lock out/tag out, competent person.
- 34. Blasting and the Use of Explosives Project specific safety procedures, warning signs, training/qualification, transportation, storage and use of explosives, inspection.
- 35. Stairways and Ladders Types of stairs and ladders, safety procedures, training requirements.
- 36. Alcohol and Drug Abuse Policy
- 37. Rodents and Vermin Controls
- 38. Toxic and Hazardous Substances Safety procedures for substances that Contractor's and subcontractor's employees can be exposed on project.
- 39. Noise Mitigation Plan Completed project specific Noise Mitigation Plan, and noise mitigation procedures.
- 40. Confined Space Program Project specific Confined Space Program, responsible staff, training records, equipment information, rescue procedure, list of project specific confined spaces, forms.
- 41. Construction Vehicles/Heavy Equipment Type of construction vehicles/heavy equipment to be used on site, procedures
- 42. Dust Mitigation Plan Completed project specific Dust Mitigation Plan, and dust mitigation procedures.
- 43. Working Over and Near Water. Diving Operations safety procedures including personal protective equipment, fall protection, rescue services, etc.

The most critical component of the Site Safety Plan is the Job Hazard Analysis (JHA) section. The JHA form is a written document prepared by the Contractor. The Contractor will conduct a site and task assessment to identify the tasks and any potential safety or environmental hazards related to performance of the work, eliminate or implement controls for the potential hazards, and identify proper personal protective equipment for the task. The JHA will be communicated to all Contractor/subcontractor personnel on site. The JHA will include safety hazard identification and controls to protect employees, general public, and property.

The initial JHA will be included in the Contractor's Site Safety Plan and the current JHA form will be available at the construction site for reference. A JHA is a living document that will be re-evaluated and revised to address new hazards and tasks that may develop and will be present at the worksite and produced upon request.

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#### VII. KICK-OFF MEETINGS/PRE-CONSTRUCTION AND SAFETY REVIEW

Prior to the start of construction activities on all DDC projects, RE will invite the Office of Construction Safety to the construction kick-off meeting. The Office of Construction Safety representative(s) will participate in this meeting with the Contractor and RE for the purpose of:

- A. Reviewing DDC Contract Safety Requirements
- B. Reviewing site-specific safety issues based on a project work scope, location, and any other factors which may impact safety of workers and general public.
- C. Reviewing the Site Safety Plan and JHA requirements.
- D. Reviewing Accident/Incident reporting and investigation procedures.
- E. Reviewing designated safety contacts, roles, and responsibilities.
- F. Discussing planned inspections and audits of the site by the Office of Construction Safety personnel.

#### VIII. **EVALUATION DURING WORK IN PROGRESS**

The Contractor's adherence to these Safety Requirements will be monitored throughout the project. This will be accomplished by the following:

- Use of a safety checklist by a representative of the Office of Construction Safety (or other designated DDC A. representative) and the RE during regular inspections and comprehensive audits of the job site. Field Exit Conferences will be held with the RE and Contractor Project Safety Representatives.
- The RE will continually monitor the safety and environmental performance of the Contractor's employees B. and work methods. Deficiencies will be brought to the attention of the Contractor's Project Safety Representative on site for immediate correction. The RE will maintain a written record of these deficiencies and have these records available upon request. Any critical deficiencies will be immediately reported to the Office of Construction Safety via telephone (718)391-1911.
- C. If the Contractor's safety performance during the project is not up to DDC standards (safety performance measure, accident/incident rate, etc.) the Director - Office of Construction Safety, or his/her designee will meet with the Contractor's Project Safety Representative and other representatives, the RE, and the DDC Environmental Specialist (if environmental issues are involved). The purpose of this meeting is to 1) determine the level of non-compliance; 2) explain and clarify the safety/environmental provisions; 3) agree on a future course of action to correct the deficiencies.
- D. If the deficiencies continue, the Commissioner may, without limitation, declare the Contractor in default.
- The Contractor will within 1 hour inform the RE of all accidents/incidents/near misses including all fatalities, E. any injuries to employees or members of the general public, and property damage (e.g., structural damage, equipment rollovers, utility damage, loads dropped from crane). The RE will notify the Office of Construction Safety as per DDC's Construction Safety Emergency and Accident Notification and Response Procedure and will maintain a record of all Contractor accidents/incidents for the project.
- F. The Contractor and the RE will notify the Office of Construction Safety within two (2) hours of the start of any NYS-DOL/ NYC-COSH/ OSHA/ EPA inspections.

#### IX. SAFETY PERFORMANCE EVALUATION

The Contractor's safety record, including accident/incident history and DDC safety inspection results, will be considered as part of the Contractor's performance evaluation at the conclusion of the project. Poor safety performance during the course of the project will be a reason to rate a Contractor unsatisfactory which may be reflected in the City's PASSPort system and will be considered for future procurement actions as set forth in the City's Procurement Policy Board Rules.

# **NOTICE TO BIDDERS**

Please be advised that the following Riders to the March 2017 New York City Standard Construction Contract have been attached and incorporated in this Invitation for Bid:

- Rider regarding Non-Compensable Delays and Grounds for Extension;
- Rider regarding NYC Earned Safe and Sick Time Act.

Other than provisions specifically delineated in the Riders, all other terms of the March 2017 New York City Standard Construction Contract continue to apply in full force and effect.

#### RIDER TO NEW YORK CITY STANDARD CONSTRUCTION CONTRACT (MARCH 2017) REGARDING NON-COMPENSABLE DELAYS AND GROUNDS FOR EXTENSION

The following provisions supersede the corresponding provisions in the March 2017 version of the New York City Standard Construction Contract:

1. Section **11.5.1** provides as follows:

11.5.1 The acts or omissions of public or government bodies (other than **City** agencies) or of any third parties who are disclosed in the **Contract Documents**, or those third parties who are ordinarily encountered or who are generally recognized as related to the **Work**, including but not limited to, **Other Contractors**, utilities or private enterprises;

2. Section **11.5.6** provides as follows:

**11.5.6** Climatic conditions, storms, floods, droughts, tidal waves, fires, hurricanes, earthquakes, landslides or other catastrophes or acts of God; acts of war or of the public enemy or terrorist acts; disruption, outage or power failure caused by a utility's inability or failure to provide service, pandemics, epidemics, outbreaks of infectious disease or any other public health emergency; other states of emergency declared by the City, State or Federal government , quarantine restrictions, and freight embargoes; including the **City's** reasonable responses to any of the above; and

3. Section 13.3 provides as follows:

**13.3** Grounds for Extension: If such application is made, the **Contractor** shall be entitled to an extension of time for delay in completion of the **Work** caused solely:

**13.3.1** By any of the acts or omissions of the **City**, its officials, agents or employees set forth in Articles **11.4.1.1** through **11.4.1.9**; or

13.3.2 By or attributable to any of the items set forth in Articles 11.5.1 through 11.5.7.

**13.3.3** The **Contractor** shall, however, be entitled to an extension of time for such causes only for the number of **Days** of delay which the **ACCO** or the Board may determine to be due solely to such causes, and then only if the **Contractor** shall have strictly complied with all of the requirements of Articles 9 and 10.

## NYC EARNED SAFE AND SICK TIME ACT CONTRACT RIDER

(To supersede Section 4.06 of the January 2018 Appendix A and Section 35.5 of the March 2017 Standard Construction Contract and to be attached to other City contracts and solicitations)

#### A. Introduction and General Provisions.

1. The Earned Safe and Sick Time Act ("ESSTA"), codified at Title 20, Chapter 8 of the New York City Administrative Code, also known as the "Paid Safe and Sick Leave Law," requires covered employees (as defined in Admin. Code § 20-912) in New York City ("City") to be provided with paid safe and sick time. Contractors of the City or of other governmental entities may be required to provide safe and sick time pursuant to the ESSTA. The ESSTA is enforced by the City's Department of Consumer and Worker Protection ("DCWP"), which has promulgated 6 RCNY §§ 7-101 and 201 *et seq.* ("DCWP Rules").

2. The Contractor agrees to comply in all respects with the ESSTA and the DCWP Rules, and as amended, if applicable, in the performance of this agreement. The Contractor further acknowledges that such compliance is a material term of this agreement and that failure to comply with the ESSTA in performance of this agreement may result in its termination.

3. The Contractor (with DCWP must notify а copy to at ComplianceMonitoring@dcwp.nyc.gov) the Agency Chief Contracting Officer of the City Agency or other entity with whom it is contracting in writing within 10 days of receipt of a complaint (whether oral or written) or notice of investigation regarding the ESSTA involving the performance of this agreement. Additionally, the Contractor must cooperate with DCWP's guidance and must comply with DCWP's subpoenas, requests for information, and other document demands as set forth in the ESSTA and the DCWP Rules. More information is available at https://www1.nyc.gov/site/dca/about/paid-sick-leave-what-employers-need-to-know.page.

4. Upon conclusion of a DCWP investigation, Contractor will receive a findings letter detailing any employee relief and civil penalties owed. Pursuant to the findings, Contractor will have the opportunity to settle any violations and cure the breach of this agreement caused by failure to comply with the ESSTA either i) without a trial by entering into a consent order or ii) appearing before an impartial judge at the City's administrative tribunal. In addition to and notwithstanding any other rights and remedies available to the City, non-payment of relief and penalties owed pursuant to a consent order or final adjudication within 30 days of such consent order or final adjudication may result in the termination of this agreement without further opportunity to settle or cure the violations.

5. The ESSTA is briefly summarized below for the convenience of the Contractor. The Contractor is advised to review the ESSTA and the DCWP Rules in their entirety. The Contractor may go to <u>www.nyc.gov/PaidSickLeave</u> for resources for employers, such as Frequently Asked Questions, timekeeping tools and model forms, and an event calendar of upcoming presentations and webinars at which the Contractor can get more information about how to comply with the ESSTA and the DCWP Rules. The Contractor acknowledges that it is responsible for compliance with the ESSTA and the DCWP Rules notwithstanding any inconsistent language contained herein.

#### B. Pursuant to the ESSTA and DCWP Rules: Applicability, Accrual, and Use.

1. An employee who works within the City must be provided paid safe and sick time.<sup>1</sup> Employers with one hundred or more employees are required to provide 56 hours of safe and sick time for an employee each calendar year. Employers with fewer than one hundred employees are required to provide 40 hours of sick leave each calendar year. Employers must provide a minimum of one hour of safe and sick time for every 30 hours worked by an employee and compensation for such safe and sick time must be provided at the greater of the employee's regular hourly rate or the minimum wage at the time the paid safe or sick time is taken. Employers are not discouraged or prohibited from providing more generous safe and sick time policies than what the ESSTA requires.

2. Employees have the right to determine how much safe and sick time they will use, provided that an employer may set a reasonable minimum increment for the use of safe and sick time not to exceed four hours per day. For the use of safe time or sick time beyond the set minimum increment, an employer may set fixed periods of up to thirty minutes beyond the minimum increment. In addition, an employee may carry over up to 40 or 56 hours of unused safe and sick time to the following calendar year, provided that no employer is required to carry over unused paid safe and sick time if the employee is paid for such unused safe and sick time and the employer provides the employee with at least the legally required amount of paid safe and sick time for such employee for the immediately subsequent calendar year on the first day of such calendar year.

3. An employee entitled to safe and sick time pursuant to the ESSTA may use safe and sick time for any of the following:

a. such employee's mental illness, physical illness, injury, or health condition or the care of such illness, injury, or condition or such employee's need for medical diagnosis or preventive medical care;

b. such employee's care of a family member (an employee's child, spouse, domestic partner, parent, sibling, grandchild, or grandparent, the child or parent of an employee's spouse or domestic partner, any other individual related by blood to the employee, and any other individual whose close association with the employee is the equivalent of a family relationship) who has a mental illness, physical illness, injury or health condition or who has a need for medical diagnosis or preventive medical care;

<sup>&</sup>lt;sup>1</sup> Pursuant to the ESSTA, if fewer than five employees work for the same employer, and the employer had a net income of less than one million dollars during the previous tax year, such employer has the option of providing such employees uncompensated safe and sick time.

c. closure of such employee's place of business by order of a public official due to a public health emergency;

d. such employee's need to care for a child whose school or childcare provider has been closed due to a public health emergency; or

e. when the employee or a family member has been the victim of a family offense matter, sexual offense, stalking, or human trafficking:

- 1. to obtain services from a domestic violence shelter, rape crisis center, or other shelter or services program for relief from a family offense matter, sexual offense, stalking, or human trafficking;
- 2. to participate in safety planning, temporarily or permanently relocate, or take other actions to increase the safety of the employee or employee's family members from future family offense matters, sexual offenses, stalking, or human trafficking;
- 3. to meet with a civil attorney or other social service provider to obtain information and advice on, and prepare for or participate in any criminal or civil proceeding, including but not limited to, matters related to a family offense matter, sexual offense, stalking, human trafficking, custody, visitation, matrimonial issues, orders of protection, immigration, housing, discrimination in employment, housing or consumer credit;
- 4. to file a complaint or domestic incident report with law enforcement;
- 5. to meet with a district attorney's office;
- 6. to enroll children in a new school; or
- 7. to take other actions necessary to maintain, improve, or restore the physical, psychological, or economic, health or safety of the employee or the employee's family member or to protect those who associate or work with the employee.

4. An employer must not require an employee, as a condition of taking safe and sick time, to search for a replacement. However, where the employee's need for safe and sick time is foreseeable, an employer may require an employee to provide reasonable notice of the need to use safe and sick time. For an absence of more than three consecutive work days, an employer may require reasonable documentation that the use of safe and sick time was needed for a reason listed in Admin. Code § 20-914; and/or written confirmation that an employee used safe and sick time pursuant to the ESSTA. However, an employer may not require documentation specifying the nature of a medical condition, require disclosure of the details of a medical condition, or require disclosure of the details of a family offense matter, sexual offense, stalking, or human trafficking, as a condition of providing safe and sick time. Health information and information concerning family offenses, sexual offenses, stalking or human trafficking obtained solely due to an

employee's use of safe and sick time pursuant to the ESSTA must be treated by the employer as confidential. An employer must reimburse an employee for all reasonable costs or expenses incurred in obtaining such documentation for the employer.

5. An employer must provide to all employees a written policy explaining its method of calculating sick time, policies regarding the use of safe and sick time (including any permissible discretionary conditions on use), and policies regarding carry-over of unused time at the end of the year, among other topics. It must provide the policy to employees using a delivery method that reasonably ensures that employees receive the policy. If such employer has not provided its written policy, it may not deny safe and sick time to an employee because of non-compliance with such a policy.

6. An employer must provide a pay statement or other form of written documentation that informs the employee of the amount of safe/sick time accrued and used during the relevant pay period and the total balance of the employee's accrued safe/sick time available for use.

7. Safe and sick time to which an employee is entitled must be paid no later than the payday for the next regular payroll period beginning after the safe and sick time was used.

C. *Exemptions and Exceptions*. Notwithstanding the above, the ESSTA does not apply to any of the following:

1. an independent contractor who does not meet the definition of employee under N.Y. Labor Law § 190(2);

2. an employee covered by a valid collective bargaining agreement, if the provisions of the ESSTA are expressly waived in such agreement and such agreement provides a benefit comparable to that provided by the ESSTA for such employee;

3. an audiologist, occupational therapist, physical therapist, or speech language pathologist who is licensed by the New York State Department of Education and who calls in for work assignments at will, determines their own schedule, has the ability to reject or accept any assignment referred to them, and is paid an average hourly wage that is at least four times the federal minimum wage;

4. an employee in a work study program under Section 2753 of Chapter 42 of the United States Code;

5. an employee whose work is compensated by a qualified scholarship program as that term is defined in the Internal Revenue Code, Section 117 of Chapter 20 of the United States Code; or

6. a participant in a Work Experience Program (WEP) under N.Y. Social Services Law § 336-c.

D. *Retaliation Prohibited.* An employer shall not take any adverse action against an employee that penalizes the employee for, or is reasonably likely to deter the employee from or interfere with the employee exercising or attempting in good faith to exercise any right provided by the ESSTA. In addition, an employer shall not interfere with any investigation, proceeding, or hearing pursuant to the ESSTA.

#### E. Notice of Rights.

1.An employer must provide its employees with written notice of their rights pursuantto the ESSTA. Such notice must be in English and the primary language spoken by an employee,provided that DCWP has made available a translation into such language. Downloadable noticesareavailableonDCWP'swebsiteathttps://www1.nyc.gov/site/dca/about/Paid-Safe-Sick-Leave-Notice-of-Employee-Rights.page.The notice must be provided to the employees by a method that reasonably ensures personal receiptby the employee.

2. Any person or entity that willfully violates these notice requirements is subject to a civil penalty in an amount not to exceed \$50.00 for each employee who was not given appropriate notice.

F. *Records*. An employer must retain records documenting its compliance with the ESSTA for a period of at least three years, and must allow DCWP to access such records in furtherance of an investigation related to an alleged violation of the ESSTA.

#### G. Enforcement and Penalties.

1. Upon receiving a complaint alleging a violation of the ESSTA, DCWP must investigate such complaint. DCWP may also open an investigation to determine compliance with the ESSTA on its own initiative. Upon notification of a complaint or an investigation by DCWP, the employer must provide DCWP with a written response and any such other information as DCWP may request. If DCWP believes that a violation of the ESSTA has occurred, it has the right to issue a notice of violation to the employer.

2. DCWP has the power to grant an employee or former employee all appropriate relief as set forth in Admin. Code § 20-924(d). Such relief may include, but is not limited to, treble damages for the wages that should have been paid; statutory damages for unlawful retaliation; and damages, including statutory damages, full compensation for wages and benefits lost, and reinstatement, for unlawful discharge. In addition, DCWP may impose on an employer found to have violated the ESSTA civil penalties not to exceed \$500.00 for a first violation, \$750.00 for a second violation within two years of the first violation, and \$1,000.00 for each succeeding violation within two years of the previous violation. When an employer has a policy or practice of not providing or refusing to allow the use of safe and sick time to its employees, DCWP may seek penalties and relief on a per employee basis.

3. Pursuant to Admin. Code § 20-924.2, (a) where reasonable cause exists to believe that an employer is engaged in a pattern or practice of violations of the ESSTA, the Corporation Counsel may commence a civil action on behalf of the City in a court of competent jurisdiction by filing a complaint setting forth facts relating to such pattern or practice and requesting relief, which may include injunctive relief, civil penalties and any other appropriate relief. Nothing in § 20-924.2 prohibits DCWP from exercising its authority under section 20-924 or the Charter, provided that a civil action pursuant to § 20-924.2 shall not have previously been commenced.

H. *More Generous Polices and Other Legal Requirements.* Nothing in the ESSTA is intended to discourage, prohibit, diminish, or impair the adoption or retention of a more generous safe and sick time policy, or the obligation of an employer to comply with any contract, collective bargaining agreement, employment benefit plan or other agreement providing more generous safe and sick time. The ESSTA provides minimum requirements pertaining to safe and sick time and does not preempt, limit, or otherwise affect the applicability of any other law, regulation, rule, requirement, policy or standard that provides for greater accrual or use by employees of safe and sick leave or time, whether paid or unpaid, or that extends other protections to employees. The ESSTA may not be construed as creating or imposing any requirement in conflict with any federal or state law, rule or regulation.

## **CITY OF NEW YORK**

## STANDARD CONSTRUCTION CONTRACT

March 2017

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#### **CITY OF NEW YORK STANDARD CONSTRUCTION CONTRACT**

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#### WITNESSETH:

The parties, in consideration of the mutual agreements contained herein, agree as follows:

## CHAPTER I: THE CONTRACT AND DEFINITIONS <u>ARTICLE 1. THE CONTRACT</u>

1.1 Except for titles, subtitles, headings, running headlines, tables of contents and indices (all of which are printed herein merely for convenience), the following, except for such portions thereof as maybe specifically excluded, shall be deemed to be part of this **Contract**:

1.1.1 All provisions required by law to be inserted in this **Contract**, whether actually inserted or not;

1.1.2 The Contract Drawings and Specifications;

1.1.3 The General Conditions and Special Conditions, if any;

1.1.4 The **Contract**;

1.1.5 The Information for Bidders; Request for Proposals; Notice of Solicitation and Proposal For Bids; Bid or Proposal, and, if used, the Bid Booklet;

1.1.6 All Addenda issued prior to the receipt of the bids; the Notice of Award; Performance and Payment Bonds, if required; and the Notice to Proceed or the Order to Work.

1.2 Should any conflict occur in or between the Drawings and Specifications, the **Contractor** shall be deemed to have estimated the most expensive way of doing the **Work**, unless the **Contractor** shall have asked for and obtained a decision in writing from the **Commissioner** of the **Agency** that is entering into this **Contract**, before the submission of its bid, as to what shall govern.

#### ARTICLE 2. DEFINITIONS

2.1 The following words and expressions, or pronouns used in their stead, shall, wherever they appear in this Contract, be construed as follows, unless a different meaning is clear from the context:

2.1.1 "Addendum" or "Addenda" shall mean the additional Contract provisions and/or technical clarifications issued in writing by the Commissioner prior to the receipt of bids.

2.1.2 "Agency" shall mean a city, county, borough or other office, position, department, division, bureau, board or commission, or a corporation, institution or agency of government, the expenses of which are paid in whole or in part from the City treasury.

2.1.3 **"Agency Chief Contracting Officer"** (ACCO) shall mean a person delegated authority by the Commissioner to organize and supervise the procurement activity of subordinate Agency staff in conjunction with the CCPO, or his/her duly authorized representative.

2.1.4 "Allowance" shall mean a sum of money which the Agency may include in the total amount of the Contract for such specific contingencies as the Agency believes may be necessary to complete the Work, *e.g.*, lead or asbestos remediation, and for which the Contractor will be paid on the basis of stipulated unit prices or a formula set forth in the Contract or negotiated between the parties provided, however, that if the Contractor is not directed to use the Allowance, the Contractor shall have no right to such money and it shall be deducted from the total amount of the Contract.

2.1.5 "City" shall mean the City of New York.

2.1.6 "City Chief Procurement Officer" (CCPO) shall mean a person delegated authority by the Mayor to coordinate and oversee the procurement activity of Mayoral agency staff, including the ACCO and any offices which have oversight responsibility for the procurement of construction, or his/her duly authorized representative.

2.1.7 **"Commissioner"** shall mean the head of the Agency that has entered into this Contract, or his/her duly authorized representative.

2.1.8 **"Comptroller"** shall mean the Comptroller of the City of New York.

2.1.9 **"Contract"** or **"Contract Documents"** shall mean each of the various parts of the contract referred to in Article 1 hereof, both as a whole and severally.

2.1.10 **"Contract Drawings"** shall mean only those drawings specifically entitled as such and listed in the Specifications or in any Addendum, or any drawings furnished by the Commissioner, pertaining or supplemental thereto.

2.1.11 **"Contract Work"** shall mean everything required to be furnished and done by the Contractor by any one or more of the parts of the Contract referred to in Article 1, except Extra Work as hereinafter defined.

2.1.12 **"Contractor"** shall mean the entity which executed this Contract, whether a corporation, firm, partnership, joint venture, individual, or any combination thereof, and its, their, his/her successors, personal representatives, executors, administrators, and assigns, and any person, firm, partnership, joint venture, individual, or corporation which shall at any time be substituted in the place of the Contractor under this Contract.

2.1.13 "Days" shall mean calendar days, except where otherwise specified.

2.1.14 **"Engineer"** or **"Architect"** or **"Project Manager"** shall mean the person so designated in writing by the Commissioner in the Notice to Proceed or the Order to Work to act as such in relation to this Contract, including a private Architect or Engineer or Project Manager, as the case may be. Subject to written approval by the Commissioner, the Engineer, Architect or Project Manager may designate an authorized representative.

2.1.15 **"Engineering Audit Officer" (EAO)** shall mean the person so designated by the Commissioner to perform responsible auditing functions hereunder.

2.1.16 **"Extra Work"** shall mean Work other than that required by the Contract at the time of award which is authorized by the Commissioner pursuant to Chapter VI of this Contract.

2.1.17 **"Federal-Aid Contract"** shall mean a contract in which the United States (federal) Government provides financial funding as so designated in the Information for Bidders.

2.1.18 **"Final Acceptance"** shall mean final written acceptance of all the Work by the Commissioner, a copy of which shall be sent to the Contractor.

2.1.19 **"Final Approved Punch List"** shall mean a list, approved pursuant to Article 14.2.2, specifying those items of Work to be completed by the Contractor after Substantial Completion and dates for the completion of each item of Work.

2.1.20 **"Law"** or **"Laws"** shall mean the Constitution of the State of New York, the New York City Charter, the New York City Administrative Code, a statute of the United States or of the State of New York, a local law of the City of New York, any ordinance, rule or regulation having the force of law, or common law.

2.1.21 "**Materialman**" shall mean any corporation, firm, partnership, joint venture, or individual, other than employees of the Contractor, who or which contracts with the Contractor or any Subcontractor, to fabricate or deliver, or who actually fabricates or delivers, plant, materials or equipment to be incorporated in the Work.

2.1.22 **"Means and Methods of Construction"** shall mean the labor, materials, temporary structures, tools, plant, and construction equipment, and the manner and time of their use, necessary to accomplish the result intended by this Contract.

2.1.23"**Notice to Proceed**" or "Order to Work" shall mean the written notice issued by the Commissioner specifying the time for commencement of the Work and the Engineer, Architect or Project Manager.

2.1.24 **"Other Contractor(s)"** shall mean any contractor (other than the entity which executed this Contract or its Subcontractors) who or which has a contract with the City for work on or adjacent to the building or Site of the Work.

2.1.25 **"Payroll Taxes"** shall mean State Unemployment Insurance (SUI), Federal Unemployment Insurance (FUI), and payments pursuant to the Federal Insurance Contributions Act (FICA).

2.1.26 "**Project**" shall mean the public improvement to which this Contract relates.

2.1.27 **"Procurement Policy Board" (PPB)** shall mean the Agency of the City of New York whose function is to establish comprehensive and consistent procurement policies and rules which shall have broad application throughout the City.

2.1.28 **"Required Quantity"** in a unit price Contract shall mean the actual quantity of any item of Work or materials which is required to be performed or furnished in order to comply with the Contract.

2.1.29 **"Resident Engineer"** shall mean the representative of the Commissioner duly designated by the Commissioner to be his/her representative at the site of the Work.

2.1.30 **"Site"** shall mean the area upon or in which the Contractor's operations are carried on, and such other areas adjacent thereto as may be designated as such by the Engineer.

2.1.31 "Small Tools" shall mean items that are ordinarily required for a worker's job

function, including but not limited to, equipment that ordinarily has no licensing, insurance or substantive storage costs associated with it; such as circular and chain saws, impactdrills, threaders, benders, wrenches, socket tools, etc.

2.1.32 **"Specifications"** shall mean all of the directions, requirements, and standards of performance applying to the Work as hereinafter detailed and designated under the Specifications.

2.1.33 **"Subcontractor"** shall mean any person, firm or corporation, other than employees of the Contractor, who or which contracts with the Contractor or with its subcontractors to furnish, or actually furnishes labor, or labor and materials, or labor and equipment, or superintendence, supervision and/or management at the Site. Wherever the word Subcontractor appears, it shall also mean sub-Subcontractor.

2.1.34 **"Substantial Completion"** shall mean the written determination by the Engineer that the Work required under this Contract is substantially, but not entirely, complete and the approval of the **Final Approved Punch List**.

2.1.35 **"Work"** shall mean all services required to complete the Project in accordance with the Contract Documents, including without limitation, labor, material, superintendence, management, administration, equipment, and incidentals, and obtaining any and all permits, certifications and licenses as may be necessary and required to complete the Work, and shall include both Contract Work and Extra Work.

#### CHAPTER II: THE WORK AND ITS PERFORMANCE

#### ARTICLE 3. CHARACTER OF THE WORK

3.1 Unless otherwise expressly provided in the **Contract Drawings**, **Specifications**, and **Addenda**, the **Work** shall be performed in accordance with the best modern practice, utilizing, unless otherwise specified in writing, new and unused materials of standard first grade quality and workmanship and design of the highest quality, to the satisfaction of the **Commissioner**.

#### ARTICLE 4. MEANS AND METHODS OF CONSTRUCTION

4.1 Unless otherwise expressly provided in the **Contract Drawings**, **Specifications**, and **Addenda**, the **Means and Methods of Construction** shall be such as the **Contractor** may choose; subject, however, to the **Engineer's** right to reject the **Means and Methods of Construction** proposed by the **Contractor** which in the opinion of the **Engineer**:

4.1.1 Will constitute or create a hazard to the Work, or to persons or property; or

4.1.2 Will not produce finished Work in accordance with the terms of the Contract; or

4.1.3 Will be detrimental to the overall progress of the **Project**.

4.2 The Engineer's approval of the Contractor's Means and Methods of Construction, orhis/her failure to exercise his/her right to reject such means or methods, shall not relieve the Contractor of its obligation to complete the Work as provided in this Contract; nor shall the exercise of such rightto reject

create a cause of action for damages.

#### ARTICLE 5. COMPLIANCE WITH LAWS

5.1 The **Contractor** shall comply with all **Laws** applicable to this **Contract** and to the **Work** to be done hereunder.

5.2 Procurement Policy Board Rules: This **Contract** is subject to the Rules of the **PPB** ("**PPB** Rules") in effect at the time of the bid opening for this **Contract**. In the event of a conflict between the **PPB** Rules and a provision of this **Contract**, the **PPB** Rules shall take precedence.

#### 5.3 Noise Control Code provisions.

5.3.1 In accordance with the provisions of Section 24-216(b) of the Administrative Code of the **City** ("Administrative Code"), Noise Abatement Contract Compliance, devices and activities which will be operated, conducted, constructed or manufactured pursuant to this **Contract** and which are subject to the provisions of the **City** Noise Control Code shall be operated, conducted, constructed without causing a violation of the Administrative Code. Such devices and activities shall incorporate advances in the art of noise control development for the kind and level of noise emitted or produced by such devices and activities, in accordance with regulations issued by the **Commissioner** of the **City** Department of Environmental Protection.

5.3.2 The **Contractor** agrees to comply with Section 24-219 of the Administrative Code and implementing rules codified at 15 Rules of the City of New York ("RCNY") Section 28-100 *et seq.* In accordance with such provisions, the **Contractor**, if the **Contractor** is the responsible party under such regulations, shall prepare and post a Construction Noise Mitigation Plan at each **Site**, in which the **Contractor** shall certify that all construction tools and equipment have been maintained so that they operate at normal manufacturers operating specifications. If the **Contractor** cannot make this certification, it must have in place an Alternative Noise Mitigation Plan approved by the **City** Department of Environmental Protection. In addition, the **Contractor**'s certified Construction Noise Mitigation Plan is subject inspection by the **City** Department of Environmental Protection by the **City** Department of Environmental Protection Noise Mitigation Plan or approved Alternative Noise Mitigation Plan in place. In addition, the **Contractor** shall create and implement a noise mitigation Plan in place. In addition, the **Contractor** shall create and implement a noise mitigation training program. Failure to comply with these requirements may result in fines and other penalties pursuant to the applicable provisions of the Administrative Code and RCNY.

5.4 Ultra Low Sulfur Diesel Fuel: In accordance with the provisions of Section 24-163.3 of the Administrative Code, the **Contractor** specifically agrees as follows:

5.4.1 Definitions. For purposes of this Article 5.4, the following definitions apply:

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5.4.1(a) "Contractor" means any person or entity that enters into a Public Works Contract with a **City Agency**, or any person or entity that enters into an agreement with such person or entity, to perform work or provide labor or services related to such Public Works Contract.

5.4.1(b) "Motor Vehicle" means any self-propelled vehicle designed for transporting

persons or property on a street or highway.

5.4.1(c) "Nonroad Engine" means an internal combustion engine (including the fuel system) that is not used in a Motor Vehicle or a vehicle used solely for competition, or that is not subject to standards promulgated under Section 7411 or Section 7521 of Title 42 of the United States Code, except that this term shall apply to internal combustion engines used to power generators, compressors or similar equipment used in any construction program or project.

5.4.1(d) "Nonroad Vehicle" means a vehicle that is powered by a Nonroad Engine, fifty (50) horsepower and greater, and that is not a Motor Vehicle or a vehicle used solely for competition, which shall include, but not be limited to, excavators, backhoes, cranes, compressors, generators, bulldozers, and similar equipment, except that this term shall not apply to horticultural maintenance vehicles used for landscaping purposes that are powered by a Nonroad Engine of sixty-five (65) horsepower or less and that are not used in any construction program or project.

5.4.1(e) "Public Works Contract" means a contract with a **City Agency** for a construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge; a contract with a **City Agency** for the preparation for any construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge; or a contract with a **City Agency** for any final work involved in the completion of any construction program or project involving the construction, or abatement of any building, structure, to any final work involved in the completion of any construction program or project involving the construction, or abatement of any building, structure, to any final work involved in the completion of any construction program or project involving the construction, or abatement of any building, structure, tunnel, excavation, rehabilitation, repair, renovation, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge.

5.4.1(f) "Ultra Low Sulfur Diesel Fuel" means diesel fuel that has a sulfur content of no more than fifteen parts per million (15 ppm).

5.4.2 Ultra Low Sulfur Diesel Fuel

5.4.2(a) All **Contractors** shall use Ultra Low Sulfur Diesel Fuel in diesel-powered Nonroad Vehicles in the performance of this **Contract**.

5.4.2(b) Notwithstanding the requirements of Article 5.4.2(a), **Contractors** may use diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm)to fulfill the requirements of this Article 5.4.2, where the Commissioner of the **City** Department of Environmental Protection ("DEP Commissioner") has issued a determination that a sufficient quantity of Ultra Low Sulfur Diesel Fuel is not available to meet the needs of **Agencies** and **Contractors**. Any such determination shall expire after six (6) months unless renewed.

5.4.2(c) **Contractors** shall not be required to comply with this Article 5.4.2 where the **City Agency** letting this **Contract** makes a written finding, which is approved, in writing, by the DEP Commissioner, that a sufficient quantity of Ultra Low Sulfur Diesel Fuel, or diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm) is not available to meet the requirements of Section 24-163.3 of the Administrative Code, provided that such **Contractor** in its fulfillment of the requirements of this **Contract**, to the extent practicable, shall use whatever quantity of Ultra Low Sulfur Diesel Fuel or diesel fuel that has a sulfur content of no more than thirty parts per million (30 pt) is not available to meet the requirements of Section 24-163.3 of the Administrative Code, provided that such **Contractor** in its fulfillment of the requirements of this **Contract**, to the extent practicable, shall use whatever quantity of Ultra Low Sulfur Diesel Fuel or diesel fuel that has a sulfur content of no more than thirty parts per diesel fuel that has a sulfur content of no more than thirty parts per diesel fuel that has a sulfur content of no more than thirty parts per diesel fuel that has a sulfur content of no more than thirty parts per diesel fuel that has a sulfur content of no more than thirty parts per diesel fuel that has a sulfur content of no more than thirty parts per diesel fuel that has a sulfur content of no more than thirty parts per diesel fuel that has a sulfur content of no more than thirty parts per diesel fuel that has a sulfur content of no more than thirty parts per diesel fuel that has a sulfur content of no more than thirty parts per diesel fuel that has a sulfur content of no more than thirty parts per diesel fuel that has a sulfur content of no more than thirty parts per diesel fuel that has a sulfur content of no more than thirty parts per diesel fuel that has a sulfur content of no more than thirty parts per diesel fuel that has a sulfur content of no more than thirty par

million (30 ppm) is available. Any finding made pursuant to this Article 5.4.2(c) shall expire after sixty (60) **Days**, at which time the requirements of this Article 5.4.2 shall be in full force and effect unless the **City Agency** renews the finding in writing and such renewal is approved by the DEP Commissioner.

5.4.2(d) **Contractors** may check on determinations and approvals issued by the DEP Commissioner pursuant to Section 24-163.3 of the Administrative Code, if any, at <u>www.dep.nyc.gov</u> or by contacting the **City Agency** letting this **Contract**.

5.4.2(e) The requirements of this Article 5.4.2 do not apply where they are precluded by federal or State funding requirements or where the **Contract** is an emergency procurement.

#### 5.4.3 Best Available Technology

5.4.3(a) All **Contractors** shall utilize the best available technology for reducing the emission of pollutants for diesel-powered Nonroad Vehicles in the performance of this **Contract**. For determinations of best available technology for each type of diesel-powered Nonroad Vehicle, **Contractors** shall comply with the regulations of the **City** Department of Environmental Protection, as and when adopted, Chapter 14 of Title 15 of the Rules of the City of New York (RCNY). The **Contractor** shall fully document all steps in the best available technology selection process and shall furnish such documentation to the **City Agency** or the DEP Commissioner upon request. The **Contractor** shall retain all documentation generated in the best available technology selection process for as long as the selected best available technology is in use.

5.4.3(b) No **Contractor** shall be required to replace best available technology for reducing the emission of pollutants or other authorized technology utilized for a diesel-powered Nonroad Vehicle in accordance with the provisions of this Article 5.4.3 within three (3) years of having first utilized such technology for such vehicle.

5.4.3(c) This Article 5.4.3 shall not apply to any vehicle used to satisfy the requirements of a specific Public Works Contract for fewer than twenty (20) **Days**.

5.4.3(d) The **Contractor** shall not be required to comply with this Article 5.4.3 with respect to a diesel-powered Nonroad Vehicle under the following circumstances:

5.4.3(d)(i) Where the **City Agency** makes a written finding, which is approved, in writing, by the DEP Commissioner, that the best available technology for reducing the emission of pollutants as required by this Article 5.4.3 is unavailable for such vehicle, the **Contractor** shall use whatever technology for reducing the emission of pollutants, if any, is available and appropriate for such vehicle.

5.4.3(d)(ii) Where the DEP Commissioner has issued a written waiver based upon the Contractor having demonstrated to the DEP Commissioner that the use of the best available technology for reducing the emission of pollutants might endanger the operator of such vehicle or those working near such vehicle, due to engine malfunction, the **Contractor** shall use whatever technology for reducing the emission of pollutants, if any, is available and appropriate for such vehicle, which would not endanger the operator of such vehicle or those working near such vehicle. 5.4.3(d)(iii) In determining which technology to use for the purposes of Articles 5.4.3(d)(i) and 5.4.3(d)(i) above, the **Contractor** shall primarily consider the reduction in emissions of particulate matter and secondarily consider the reduction in emissions of nitrogen oxides associated with the use of such technology, which shall in no event result in an increase in the emissions of either such pollutant.

5.4.3(d)(iv) The **Contractor** shall submit requests for a finding or a waiver pursuant to this Article 5.4.3(d) in writing to the DEP Commissioner, with a copy to the **ACCO** of the **City Agency** letting this **Contract**. Any finding or waiver made or issued pursuant to Articles 5.4.3(d)(i) and 5.4.3(d)(ii) above shall expire after one hundred eighty (180) **Days**, at which time the requirements of Article 5.4.3(a) shall be in full force and effect unless the **City Agency** renews the finding, in writing, and the DEP Commissioner approves such finding, in writing, or the DEP Commissioner renews the waiver, in writing.

5.4.3(e) The requirements of this Article 5.4.3 do not apply where they are precluded by federal or State funding requirements or where the **Contract** is an emergency procurement.

5.4.4 Section 24-163 of the Administrative Code. The **Contractor** shall comply with Section 24-163 of the Administrative Code related to the idling of the engines of motor vehicles while parking.

5.4.5 Compliance

5.4.5(a) The **Contractor's** compliance with Article 5.4 may be independently monitored. If it is determined that the **Contractor** has failed to comply with any provision of Article 5.4, any costs associated with any independent monitoring incurred by the **City** shall be reimbursed by the **Contractor**.

5.4.5(b) Any **Contractor** who violates any provision of Article 5.4, except as provided in Article 5.4.5(c) below, shall be liable for a civil penalty between the amounts of one thousand (\$1,000) and ten thousand (\$10,000) dollars, in addition to twice the amount of money saved by such **Contractor** for failure to comply with Article 5.4.

5.4.5(c) No **Contractor** shall make a false claim with respect to the provisions of Article 5.4 to a **City Agency**. Where a **Contractor** has been found to have done so, such **Contractor** shall be liable for a civil penalty of twenty thousand (\$20,000) dollars, in addition to twice the amount of money saved by such **Contractor** in association with having made such false claim.

#### 5.4.6 Reporting

5.4.6(a) For all Public Works Contracts covered by this Article 5.4, the **Contractor** shall report to the **City Agency** the following information:

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5.4.6(a)(i) The total number of diesel-powered Nonroad Vehicles used to fulfill the requirements of this Public Works Contract;

5.4.6(a)(ii) The number of such Nonroad Vehicles that were powered by Ultra Low Sulfur Diesel Fuel;

5.4.6(a)(iii) The number of such Nonroad Vehicles that utilized the best available technology for reducing the emission of pollutants, including a breakdown by vehicle model and the type of technology;

5.4.6(a)(iv) The number of such Nonroad Vehicles that utilized such other authorized technology in accordance with Article 5.4.3, including a breakdown by vehicle model and the type of technology used for each such vehicle;

5.4.6(a)(v) The locations where such Nonroad Vehicles were used; and

5.4.6(a)(vi) Where a determination is in effect pursuant to Article 5.4.2(b) or 5.4.2(c), detailed information concerning the **Contractor's** efforts to obtain Ultra Low Sulfur Diesel Fuel or diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm).

5.4.6(b) The **Contractor** shall submit the information required by Article 5.4.6(a) at the completion of **Work** under the Public Works Contract and on a yearly basis no later than August 1 throughout the term of the Public Works Contract. The yearly report shall cover **Work** performed during the preceding fiscal year (July 1 - June 30).

5.5 Ultra Low Sulfur Diesel Fuel. In accordance with the Coordinated Construction Act for Lower Manhattan, as amended:

5.5.1 Definitions. For purposes of this Article 5.5, the following definitions apply:

5.5.1(a) "Lower Manhattan" means the area to the south of and within the following lines: a line beginning at a point where the United States pierhead line in the Hudson River as it exists now or may be extended would intersect with the southerly line of West Houston Street in the Borough of Manhattan extended, thence easterly along the southerly side of West Houston Street to the southerly side of Houston Street, thence easterly along the southerly side of Houston Street, thence northeasterly along the southerly side of East Houston Street, thence northeasterly along the southerly side of East Houston Street to the southerly side of East Houston Street to the point where it would intersect with the United States pierhead line in the East River as it exists now or may be extended, including tax lots within or immediately adjacent thereto.

5.5.1(b) "Lower Manhattan Redevelopment Project" means any project in Lower Manhattan that is funded in whole or in part with federal or State funding, or any project intended to improve transportation between Lower Manhattan and the two air terminals in the **City** known as LaGuardia Airport and John F. Kennedy International Airport, or between Lower Manhattan and the air terminal in Newark known as Newark Liberty International Airport, and that is funded in whole or in part with federal funding.

5.5.1(c) "Nonroad Engine" means an internal combustion engine (including the fuel system) that is not used in a Motor Vehicle or a vehicle used solely for competition, or that is not subject to standards promulgated under Section 7411 or Section 7521 of Title 42 of the United States Code, except that this term shall apply to internal combustion engines used to power generators, compressors or similar equipment used in any construction program or project.

5.5.1(d) "Nonroad Vehicle" means a vehicle that is powered by a Nonroad Engine, fifty (50) horsepower (HP) and greater, and that is not a Motor Vehicle or a vehicle used

solely for competition, which shall include, but not be limited to, excavators, backhoes, cranes, compressors, generators, bulldozers, and similar equipment, except that this terms shall not apply to horticultural maintenance vehicles used for landscaping purposes that are powered by a Nonroad Engine of sixty-five (65) HP or less and that are not used in any construction program or project.

5.5.1(e) "Ultra Low Sulfur Diesel Fuel" means diesel fuel that has a sulfur content of no more than fifteen parts per million (15 ppm).

5.5.2 Requirements. **Contractors** and **Subcontractors** are required to use only Ultra Low Sulfur Diesel Fuel to power the diesel-powered Nonroad Vehicles with engine HP rating of fifty (50) HP and above used on a Lower Manhattan Redevelopment Project and, where practicable, to reduce the emission of pollutants by retrofitting such Nonroad Vehicles with oxidation catalysts, particulate filters, or technology that achieves lowest particulate matter emissions.

5.6 Pesticides. In accordance with Section 17-1209 of the Administrative Code, to the extent that the **Contractor** or any **Subcontractor** applies pesticides to any property owned or leased by the **City**, the **Contractor**, and any **Subcontractor** shall comply with Chapter 12 of the Administrative Code.

5.7 Waste Treatment, Storage, and Disposal Facilities and Transporters. In connection with the **Work**, the **Contractor** and any **Subcontractor** shall use only those waste treatment, storage, and disposal facilities and waste transporters that possess the requisite license, permit or other governmental approval necessary to treat, store, dispose, or transport the waste, materials or hazardous substances.

5.8 Environmentally Preferable Purchasing. The **Contractor** shall ensure that products purchased or leased by the **Contractor** or any **Subcontractor** for the **Work** that are not specified by the **City** or are submitted as equivalents to a product specified by the **City** comply with the requirements of the New York City Environmentally Preferable Purchasing Program contained in Chapter 11 of Title 43 of the RCNY, pursuant to Chapter 3 of Title 6 of the Administrative Code.

#### **ARTICLE 6. INSPECTION**

6.1 During the progress of the **Work** and up to the date of **Final Acceptance**, the **Contractor** shall at all times afford the representatives of the **City** every reasonable, safe, and proper facility for inspecting all **Work** done or being done at the **Site** and also for inspecting the manufacture or preparation of materials and equipment at the place of such manufacture or preparation.

6.2 The **Contractor's** obligation hereunder shall include the uncovering or taking down of finished **Work** and its restoration thereafter; provided, however, that the order to uncover, take down and restore shall be in writing, and further provided that if **Work** thus exposed proves satisfactory, and if the **Contractor** has complied with Article 6.1, such uncovering or taking down and restoration shall be considered an item of **Extra Work** to be paid for in accordance with the provisions of Article 26. If the **Work** thus exposed proves unsatisfactory, the **City** has no obligation to compensate the **Contractor** for the uncovering, taking down or restoration.

6.3 Inspection and approval by the **Commissioner**, the **Engineer**, **Project Manager**, or **Resident Engineer**, of finished **Work** or of **Work** being performed, or of materials and equipment at the place of manufacture or preparation, shall not relieve the **Contractor** of its obligation to perform the **Work** in strict accordance with the **Contract**. Finished or unfinished **Work** not found to be in strict accordance with the **Contract** shall be replaced as directed by the **Engineer**, even though such **Work** may have been previously approved and paid for. Such corrective **Work** is **Contract Work** and shall not be deemed **Extra Work**.

6.4 Rejected **Work** and materials shall be promptly taken down and removed from the **Site**, which must at all times be kept in a reasonably clean and neat condition.

#### ARTICLE 7. PROTECTION OF WORK AND OF PERSONS AND PROPERTY; NOTICESAND INDEMNIFICATION

7.1 During the performance of the **Work** and up to the date of **Final Acceptance**, the **Contractor** shall be under an absolute obligation to protect the finished and unfinished **Work** against any damage, loss, injury, theft and/or vandalism and in the event of such damage, loss, injury, theft and/or vandalism, it shall promptly replace and/or repair such **Work** at the **Contractor's** sole cost and expense, as directed by the **Resident Engineer**. The obligation to deliver finished **Work** in strict accordance with the **Contract** prior to **Final Acceptance** shall be absolute and shall not be affected by the **Resident Engineer's** approval of, or failure to prohibit, the **Means and Methods of Construction** used by the **Contractor**.

7.2 During the performance of the **Work** and up to the date of **Final Acceptance**, the **Contractor** shall take all reasonable precautions to protect all persons and the property of the **City** and of others from damage, loss or injury resulting from the **Contractor's**, and/or its **Subcontractors'** operations under this **Contract**. The **Contractor's** obligation to protect shall include the duty to provide, place or replace, and adequately maintain at or about the **Site** suitable and sufficient protection such as lights, barricades, and enclosures.

7.3 The **Contractor** shall comply with the notification requirements set forth below in the event of any loss, damage or injury to **Work**, persons or property, or any accidents arising out of the operations of the **Contractor** and/or its **Subcontractors** under this **Contract**.

7.3.1 The **Contractor** shall make a full and complete report in writing to the **Resident Engineer** within three (3) **Days** after the occurrence.

7.3.2 The **Contractor** shall also send written notice of any such event to all insurance carriers that issued potentially responsive policies (including commercial general liability insurance carriers for events relating to the **Contractor**'s own employees) no later than twenty (20) days after such event and again no later than twenty (20) days after the initiation of any claim and/or action resulting therefrom. Such notice shall contain the following information: the number of the insurance policy, the name of the Named Insured, the date and location of the incident, and the identity of the persons injured or property damaged. For any policy on which the **City** and/or the **Engineer**, **Architect**, or **Project Manager** are Additional Insureds, such notice shall expressly specify that "this notice is being given on behalf of the City of New York as Additional Insured, such other AdditionalInsureds, as well as the Named Insured."

7.3.2(a) Whenever such notice is sent under a policy on which the **City** is an Additional Insured, the **Contractor** shall provide copies of the notice to the **Comptroller**, the **Commissioner** and the **City** Corporation Counsel. The copy to the **Comptroller** shall be sent to the Insurance Unit, NYC Comptroller's Office, 1 Centre Street – Room 1222, New York, New York, 10007. The copy to the **Commissioner** shall be sent to the address set forth in Schedule A of the General Conditions. The copy to the **City** Corporation Counsel shall be sent to Insurance Claims Specialist, Affirmative Litigation

Division, New York City Law Department, 100 Church Street, New York, New York 10007.

7.3.2(b) If the **Contractor** fails to provide any of the foregoing notices to anyappropriate insurance carrier(s) in a timely and complete manner, the **Contractor**shall indemnify the **City** for all losses, judgments, settlements, and expenses, including reasonable attorneys' fees, arising from an insurer's disclaimer of coverage citing late notice by or on behalf of the **City**.

7.4 To the fullest extent permitted by law, the **Contractor** shall defend, indemnify, and hold the **City**, its employees, and officials (the "Indemnitees") harmless against any and all claims (including but not limited to claims asserted by any employee of the Contractor and/or its Subcontractors) and costs and expenses of whatever kind (including but not limited to payment or reimbursement of attorneys' fees and disbursements) allegedly arising out of or in any way related to the operations of the Contractor and/or its Subcontractors in the performance of this Contract or from the Contractor's and/or its Subcontractors' failure to comply with any of the provisions of this **Contract** or of the **Law**. Such costs and expenses shall include all those incurred in defending the underlying claim and those incurred in connection with the enforcement of this Article 7.4 by way of cross-claim, third-party claim, declaratory action or otherwise. The parties expressly agree that the indemnification obligation hereunder contemplates (1) full indemnity in the event of liability imposed against the Indemnitees without negligence and solely by reason of statute, operation of Law or otherwise; and (2) partial indemnity in the event of any actual negligence on the part of the Indemnitees either causing or contributing to the underlying claim (in which case, indemnification will be limited to any liability imposed over and above that percentage attributable to actual fault whether by statute, by operation of Law, or otherwise). Where partial indemnity is provided hereunder, all costs and expenses shall be indemnified on a pro rata basis.

7.4.1 Indemnification under Article 7.4 or any other provision of the **Contract** shall operate whether or not **Contractor** or its **Subcontractors** have placed and maintained the insurance specified under Article 22.

7.5 The provisions of this Article 7 shall not be deemed to create any new right of action in favor of third parties against the **Contractor** or the **City**.

#### **CHAPTER III: TIME PROVISIONS**

#### ARTICLE 8. COMMENCEMENT AND PROSECUTION OF THE WORK

8.1 The **Contractor** shall commence the **Work** on the date specified in the **Notice to Proceed** or the **Order to Work**. The time for performance of the **Work** under the **Contract** shall be computed from the date specified in the **Notice to Proceed** or the **Order to Work**. **TIME BEING OF THE ESSENCE** to the **City**, the **Contractor** shall thereafter prosecute the **Work** diligently, using such **Means and Methods of Construction** as are in accord with Article 4 herein and as will assure its completion not later than the date specified in this Contract, or on the date to which the time for completion may be extended.

#### ARTICLE 9. PROGRESS SCHEDULES

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9.1 To enable the **Work** to be performed in an orderly and expeditious manner, the **Contractor**, within fifteen (15) **Days** after the **Notice to Proceed** or **Order to Work**, unless otherwise directed by the **Engineer**, shall submit to the **Engineer** a proposed progress schedule based on the Critical Path Methodin the form of

a bar graph or in such other form as specified by the **Engineer**, and monthly cash flow requirements, showing:

9.1.1 The anticipated time of commencement and completion of each of the various operations to be performed under this **Contract**; and

9.1.2 The sequence and interrelation of each of these operations with the others and with those of other related contracts; and

9.1.3 The estimated time required for fabrication or delivery, or both, of all materials and equipment required for the **Work**, including the anticipated time for obtaining required approvals pursuant to Article 10; and

9.1.4 The estimated amount in dollars the **Contractor** will claim on a monthly basis.

9.2 The proposed schedule shall be revised as directed by the **Engineer**, until finally approved by the **Engineer**, and after such approval, subject to the provisions of Article 11, shall be strictly adhered to by the **Contractor**.

9.3 If the **Contractor** shall fail to adhere to the approved progress schedule, or to the schedule as revised pursuant to Article 11, it shall promptly adopt such other or additional **Means and Methods of Construction**, at its sole cost and expense, as will make up for the time lost and will assure completion in accordance with the approved progress schedule. The approval by the **City** of a progress schedule which is shorter than the time allotted under the **Contract** shall not create any liability for the **City** if the approved progress schedule is not met.

9.4 The **Contractor** will not receive any payments until the proposed progress schedule is submitted.

#### ARTICLE 10. REQUESTS FOR INFORMATION OR APPROVAL

10.1 From time to time as the **Work** progresses and in the sequence indicated by the approved progress schedule, the **Contractor** shall submit to the **Engineer** a specific request in writing for each item of information or approval required by the **Contractor**. These requests shall state the latest date upon which the information or approval is actually required by the **Contractor**, and shall be submitted in a reasonable time in advance thereof to provide the **Engineer** a sufficient time to act upon such submissions, or any necessary re-submissions thereof.

10.2 The **Contractor** shall not have any right to an extension of time on account of delays due to the **Contractor's** failure to submit requests for the required information or the required approval in accordance with the above requirements.

#### ARTICLE 11. NOTICE OF CONDITIONS CAUSING DELAY AND DOCUMENTATION OF DAMAGES CAUSED BY DELAY

11.1 After the commencement of any condition which is causing or may cause a delay in completion of the **Work**, including conditions for which the **Contractor** may be entitled to an extension of time, the following notifications and submittals are required:

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11.1.1 Within fifteen (15) Days after the Contractor becomes aware or reasonably should be

aware of each such condition, the **Contractor** must notify the **Resident Engineer** or **Engineer**, as directed by the **Commissioner**, in writing of the existence, nature and effect of such condition upon the approved progress schedule and the **Work**, and must state why and in what respects, if any, the condition is causing or may cause a delay. Such notice shall include a description of the construction activities that are or could be affected by the condition and may include any recommendations the **Contractor** may have to address the delay condition and any activities the **Contractor** may take to avoid or minimize the delay.

11.1.2 If the **Contractor** shall claim to be sustaining damages for delay as provided for in this Article 11, within forty-five (45) **Days** from the time such damages are first incurred for each such condition, the **Contractor** shall submit to the **Commissioner** a verified written statement of the details and estimates of the amounts of such damages, including categories of expected damages and projected monthly costs, together with documentary evidence of such damages as the **Contractor** may have at the time of submission ("statement of delay damages"), as further detailed in Article 11.6. The **Contractor** may submit the above statement within such additional time as may be granted by the **Commissioner** in writing upon written request therefor.

11.1.3 Articles 11.1.1 and 11.1.2 do not relieve the **Contractor** of its obligation to comply with the provisions of Article 44.

11.2 Failure of the **Contractor** to strictly comply with the requirements of Article 11.1.1 may, in the discretion of the **Commissioner**, be deemed sufficient cause to deny any extension of time on account of delay arising out of such condition. Failure of the **Contractor** to strictly comply with the requirements of both Articles 11.1.1 and 11.1.2 shall be deemed a conclusive waiver by the **Contractor** of any and all claims for damages for delay arising from such condition and no right to recover on such claims shall exist.

11.3 When appropriate and directed by the **Engineer**, the progress schedule shall be revised by the **Contractor** until finally approved by the **Engineer**. The revised progress schedule must be strictly adhered to by the **Contractor**.

11.4 Compensable Delays

- 11.4.1 The **Contractor** agrees to make claim only for additional costs attributable to delayin the performance of this **Contract** necessarily extending the time for completion of the **Work** or resulting from acceleration directed by the **Commissioner** and required to maintain the progress schedule, occasioned solely by any act or omission to act of the **City** listed below. The **Contractor** also agrees that delay from any other cause shall be compensated, if at all, solely by an extension of time to complete the performance of the **Work**.
  - 11.4.1.1 The failure of the **City** to take reasonable measures to coordinate and progress the **Work** to the extent required by the **Contract**, except that the City shall not be responsible for the **Contractor's** obligation to coordinate and progress the **Work** of its **Subcontractors**.
  - 11.4.1.2 Unreasonable delays attributable to the review of shop drawings, the issuance of change orders, or the cumulative impact of change orders that were not brought about by any act or omission of the **Contractor**.
  - 11.4.1.3 The unavailability of the Site caused by acts or omissions of the City..
  - 11.4.1.4 The issuance by the **Engineer** of a stop work order that was not brought about through any act or omission of the **Contractor**.
  - 11.4.1.5 Differing site conditions or environmental hazards that were neitherknown nor reasonably ascertainable on a pre-bid inspection of the **Site**or review

of the bid documents or other publicly available sources, and that are not ordinarily encountered in the **Project**'s geographical area or neighborhood or in the type of **Work** to be performed.

- 11.4.1.6 Delays caused by the **City's** bad faith or its willful, malicious, or grossly negligent conduct;
- 11.4.1.7 Delays not contemplated by the parties;
- 11.4.1.8 Delays so unreasonable that they constitute an intentional abandonment of the **Contract** by the **City**; and
- 11.4.1.9 Delays resulting from the **City's** breach of a fundamental obligation of the **Contract**.
- 11.4.2 No claim may be made for any alleged delay in **Substantial Completion** of the **Work** if the **Work** will be or is substantially completed by the date of **Substantial Completion** provided for in Schedule A unless acceleration has been directed by the **Commissioner** to meet the date of **Substantial Completion** set forth in Schedule A, or unless there is a provision in the **Contract** providing for additional compensation for early completion.

11.4.3 The provisions of this Article 11 apply only to claims for additional costs attributable to delay and do not preclude determinations by the **Commissioner** allowing reimbursements for additional costs for **Extra Work** pursuant to Articles 25 and 26 of this **Contract**. To the extent that any cost attributable to delay is reimbursed as part of a change order, no additional claim for compensation under this Article 11 shall be allowed.

11.5 Non-Compensable Delays. The **Contractor** agrees to make no claim for, and is deemed to have included in its bid prices for the various items of the **Contract**, the extra/additional costs attributable to any delays caused by or attributable to the items set forth below. For such items, the **Contractor** shall be compensated, if at all, solely by an extension of time to complete the performance of the **Work**, in accordance with the provisions of Article 13. Such extensions of time will be granted, if at all, pursuant to the grounds set forth in Article 13.3.

11.5.1 The acts or omissions of any third parties, including but not limited to **Other Contractors**, public/ governmental bodies (other than **City Agencies**), utilities or private enterprises, who are disclosed in the **Contract Documents** or are ordinarily encountered or generally recognized as related to the **Work**;

11.5.2 Any situation which was within the contemplation of the parties at the time of entering into the **Contract**, including any delay indicated or disclosed in the **Contract Documents** or that would be generally recognized by a reasonably prudent contractor as related to the nature of the **Work**, and/or the existence of any facility or appurtenance owned, operated or maintained by any third party, as indicated or disclosed in the **Contract Documents** or ordinarily encountered or generally recognized as related to the nature of the **Work**;

11.5.3 Restraining orders, injunctions or judgments issued by a court which were caused by a Contractor's submission, action or inaction or by a Contractor's **Means and Methods of Construction**, or by third parties, unless such order, injunction or judgment was the result of an act or omission by the **City**;

11.5.4 Any labor boycott, strike, picketing, lockout or similar situation;

11.5.5 Any shortages of supplies or materials, or unavailability of equipment, required by the **Contract Work**;

11.5.6 Climatic conditions, storms, floods, droughts, tidal waves, fires, hurricanes, earthquakes, landslides or other catastrophes or acts of God, or acts of war or of the public enemy or terrorist acts, including the **City's** reasonable responses thereto; and

11.5.7 **Extra Work** which does not significantly affect the overall completion of the **Contract**, reasonable delays in the review or issuance of change orders or field orders and/or in shop drawing reviews or approvals.

11.6 Required Content of Submission of Statement of Delay Damages

11.6.1 In the verified written statement of delay damages required by Article 11.1.2, the following information shall be provided by the **Contractor**:

- 11.6.1.1 For each delay, the start and end dates of the claimed periods of delay and, in addition, a description of the operations that were delayed, an explanation of how they were delayed, and the reasons for the delay, including identifying the applicable act or omission of the City listed in Article 11.4.
- 11.6.1.2 A detailed factual statement of the claim providing all necessary dates, locations and items of **Work** affected by the claim.
- 11.6.1.3 The estimated amount of additional compensation sought and a breakdown of that amount into categories as described in Article 11.7.
- 11.6.1.4 Any additional information requested by the **Commissioner**.

#### 11.7 Recoverable Costs

11.7.1 Delay damages may be recoverable for the following costs actually and necessarily incurred in the performance of the **Work**:

- 11.7.1.1 Direct labor, including payroll taxes (subject to statutory wage caps) and supplemental benefits, based on time and materials records;
- 11.7.1.2 Necessary materials (including transportation to the **Site**), based on time and material records;
- 11.7.1.3 Reasonable rental value of necessary plant and equipment other than small tools, plus fuel/energy costs according to the applicable formula set forth in Articles 26.2.4 and/or 26.2.8, based on time and material records;
- 11.7.1.4 Additional insurance and bond costs;
- 11.7.1.5 Extended Site overhead, field office rental, salaries of field office staff, onsite project managers and superintendents, field office staff vehicles, Project-specific storage, field office utilities and telephone, and field office consumables;
- 11.7.1.6 Labor escalation costs based on actual costs;

- 11.7.1.7 Materials and equipment escalation costs based on applicable industry indices unless documentation of actual increased cost is provided;
- 11.7.1.8 Additional material and equipment storage costs based on actual documented costs and additional costs necessitated by extended manufacturer warranty periods; and
- 11.7.1.9 Extended home office overhead calculated based on the following formula:
  - (1) Subtract from the original **Contract** amount the amount earned by original contractual **Substantial Completion** date (not

including change orders);

- (2) Remove 15% overhead and profit from the calculation in item(1) by dividing the results of item (1) by 1.15;
- (3) Multiply the result of item (2) by 7.25% for the total home office overhead;
- (4) Multiply the result of item (3) by 7.25% for the total profit; and
- (5) The total extended home office overhead will be the total of items (3) and (4).

11.7.2 Recoverable Subcontractor Costs. When the **Work** is performed by a **Subcontractor**, the **Contractor** may be paid the actual and necessary costs of such subcontracted **Work** as outlined above in Articles 11.7.1.1 through 11.7.1.8, and an additional overhead of 5% of the costs outlined in Articles 11.7.1.1 through 11.7.1.3.

11.7.3 Non-Recoverable Costs. The parties agree that the **City** will have no liability for the following items and the **Contractor** agrees it shall make no claim for the following items:

- 11.7.3.1Profit, or loss of anticipated or unanticipated profit, except as provided in Article 11.7.1.9;
- 11.7.3.2Consequential damages, including, but not limited to, construction or bridge loans or interest paid on such loans, loss of bonding capacity, bidding opportunities, or interest in investment, or any resulting insolvency;
- 11.7.3.3 Indirect costs or expenses of any nature except those included in Article 11.7.1;
- 11.7.3.4 Direct or indirect costs attributable to performance of **Work** where the **Contractor**, because of situations or conditions within its control, hasnot progressed the **Work** in a satisfactory manner; and
- 11.7.3.5 Attorneys' fees and dispute and claims preparation expenses.
- 11.8 Any claims for delay under this Article 11 are not subject to the jurisdiction of the Contract Dispute Resolution Board pursuant to the dispute resolution process set forth in Article 27.
- 11.9 Any compensation provided to the **Contractor** in accordance with this Article 11 will be made pursuant to a claim filed with the **Comptroller**. Nothing in this Article 11 extends the time for the **Contractor** to file an action with respect to a claim within six months after **Substantial Completion** pursuant to Article 56.

#### ARTICLE 12. COORDINATION WITH OTHER CONTRACTORS

12.1 During the progress of the **Work**, **Other Contractors** may be engaged in performing other work or may be awarded other contracts for additional work on this **Project**. In that event, the **Contractor** shall coordinate the **Work** to be done hereunder with the work of such **Other Contractors** and the **Contractor** shall fully cooperate with such **Other Contractors** and carefully fit its own **Work** to that provided under other contracts as may be directed by the **Engineer**. The **Contractor** shall not commit or permit any act which will interfere with the performance of work by any **Other Contractors**.

12.2 If the **Engineer** determines that the **Contractor** is failing to coordinate its **Work** with the work of **Other Contractors** as the **Engineer** has directed, then the **Commissioner** shall have the right to withhold any payments otherwise due hereunder until the **Contractor** completely complies with the **Engineer's** directions.

12.3 The **Contractor** shall notify the **Engineer** in writing if any **Other Contractor** on this **Project** is failing to coordinate its work with the **Work** of this **Contract**. If the **Engineer** finds such charges to be true, the **Engineer** shall promptly issue such directions to the **Other Contractor** with respect thereto as the situation may require. The **City** shall not, however, be liable for any damages suffered by any **Other Contractor's** failure to coordinate its work with the **Work** of this **Contract** or by reason of the **Other Contractor's** failure to promptly comply with the directions so issued by the **Engineer**, or by reason of any **Other Contractor's** default in performance, it being understood that the **City** does not guarantee the responsibility or continued efficiency of any contractor. The **Contractor** agrees to make no claim against the **City** for any damages relating to or arising out of any directions issued by the **Engineer** pursuant to this Article 12 (including but not limited to the failure of any **Other Contractor** to coordinate its work, or thedefault in performance of any **Other Contractor**.

12.4 The **Contractor** shall indemnify and hold the **City** harmless from any and all claims or judgments for damages and from costs and expenses to which the **City** may be subjected or which it may suffer or incur by reason of the **Contractor's** failure to comply with the **Engineer's** directions promptly; and the **Comptroller** shall have the right to exercise the powers reserved in Article 23 with respect to any claims which may be made for damages due to the **Contractor's** failure to comply with the **Engineer's** directions promptly. Insofar as the facts and **Law** relating to any claim would preclude the **City** from being completely indemnified by the **Contractor**, the **City** shall be partially indemnified by the **Contractor** to the fullest extent provided by **Law**.

12.5 Should the **Contractor** sustain any damage through any act or omission of any **Other Contractor** having a contract with the **City** for the performance of work upon the **Site** or of work which may be necessary to be performed for the proper prosecution of the **Work** to be performed hereunder, or through any act or omission of a subcontractor of such **Other Contractor**, the **Contractor** shall have no claim against the **City** for such damage, but shall have a right to recover such damage from the **Other** 12.5 **Contractor** under the provision similar to the following provisions which apply to this Contract and

have been or will be inserted in the contracts with such Other Contractors: 12.5.1 Should any **Other Contractor** having or who shall hereafter have a contract with the

12.5.1 Should any **Other Contractor** having or who shall hereafter have a contract with the **City** for the performance of work upon the **Site** sustain any damage through any act or omission of the **Contractor** hereunder or through any act or omission of any **Subcontractor** of the **Contractor**, the **Contractor** agrees to reimburse such **Other Contractor** for all such damages and to defend at its own expense any action based upon such claim and if any judgment or claim (even if the allegations of the action are without merit) against the **City** shall be allowed the **Contractor** shall pay or satisfy such judgmentor claim and pay all costs and expenses in connection therewith and agrees to indemnify and hold the **City** harmless from all such claims. Insofar as the facts and **Law** relating to any claim would preclude the **City** from being completely indemnified by the **Contractor**, the **City** shall be partially indemnified by the **Contractor** to the fullest extent provided by **Law**.

12.6 The **City's** right to indemnification hereunder shall in no way be diminished, waived or discharged by its recourse to assessment of liquidated damages as provided in Article 15, or by the exercise of any other remedy provided for by **Contract** or by **Law**.

#### **ARTICLE 13. EXTENSION OF TIME FOR PERFORMANCE**

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13.1 If performance by the **Contractor** is delayed for a reason set forth in Article 13.3, the **Contractor** may be allowed a reasonable extension of time in conformance with this Article 13 and the **PPB** 

Rules.

13.2 Any extension of time may be granted only by the **ACCO** or by the Board for the Extension of Time (hereafter "Board") (as set forth below) upon written application by the **Contractor**.

13.3 Grounds for Extension: If such application is made, the **Contractor** shall be entitled to an extension of time for delay in completion of the **Work** caused solely:

13.3.1 By the acts or omissions of the City, its officials, agents or employees; or

13.3.2 By the act or omissions of **Other Contractors** on this **Project**; or

13.3.3 By supervening conditions entirely beyond the control of either party hereto (such as, but not limited to, acts of God or the public enemy, excessive inclement weather, war or other national emergency making performance temporarily impossible or illegal, or strikes or labor disputes not brought about by any act or omission of the **Contractor**).

13.3.4 The **Contractor** shall, however, be entitled to an extension of time for such causes only for the number of **Days** of delay which the **ACCO** or the Board may determine to be due solely to such causes, and then only if the **Contractor** shall have strictly complied with all of the requirements of Articles 9 and 10.

13.4 The **Contractor** shall not be entitled to receive a separate extension of time for each of several causes of delay operating concurrently, but, if at all, only for the actual period of delay in completion of the **Work** as determined by the **ACCO** or the Board, irrespective of the number of causes contributing to produce such delay. If one of several causes of delay operating concurrently results from any act, fault or omission of the **Contractor** or of its **Subcontractors** or **Materialmen**, and would of itself (irrespective of the concurrent causes) have delayed the **Work**, no extension of time will be allowed for the period of delay resulting from such act, fault or omission.

13.5 The determination made by the **ACCO** or the Board on an application for an extension of time shall be binding and conclusive on the **Contractor**.

13.6 The ACCO or the Board acting entirely within their discretion may grant an application for an extension of time for causes of delay other than those herein referred.

13.7 Permitting the **Contractor** to continue with the **Work** after the time fixed for its completion has expired, or after the time to which such completion may have been extended has expired, or the making of any payment to the **Contractor** after such time, shall in no way operate as a waiver on the part of the **City** of any of its rights under this **Contract**.

13.8 Application for Extension of Time:

13.8.1 Before the **Contractor's** time extension request will be considered, the **Contractor** shall notify the **ACCO** of the condition which allegedly has caused or is causing the delay, and shall submit a written application to the **ACCO** identifying:

13.8.1(a) The **Contractor**; the registration number; and **Project** description;

13.8.1(b) Liquidated damage assessment rate, as specified in the Contract;

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13.8.1(c) Original total bid price;

13.8.1(d) The original **Contract** start date and completion date;

13.8.1(e) Any previous time extensions granted (number and duration); and

13.8.1(f) The extension of time requested.

13.8.2 In addition, the application for extension of time shall set forth in detail:

13.8.2(a) The nature of each alleged cause of delay in completing the **Work**;

13.8.2(b) The date upon which each such cause of delay began and ended and the number of **Days** attributable to each such cause;

13.8.2(c) A statement that the **Contractor** waives all claims except for those delineated in the application, and the particulars of any claims which the **Contractor** does not agree to waive. For time extensions for **Substantial Completion** and final completion payments, the application shall include a detailed statement of the dollar amounts of each element of claim item reserved; and

13.8.2(d) A statement indicating the **Contractor's** understanding that the time extension is granted only for purposes of permitting continuation of **Contract** performance and payment for **Work** performed and that the **City** retains its right to conduct an investigation and assess liquidated damages as appropriate in the future.

#### 13.9 Analysis and Approval of Time Extensions:

13.9.1 For time extensions for partial payments, a written determination shall be made by the **ACCO** who may, for good and sufficient cause, extend the time for the performance of the **Contract** as follows:

13.9.1(a) If the **Work** is to be completed within six (6) months, the time for performance may be extended for sixty (60) **Days**;

13.9.1(b) If the Work is to be completed within less than one (1) year but more than six(6) months, an extension of ninety (90) Days may be granted;

13.9.1(c) If the **Contract** period exceeds one (1) year, besides the extension granted in Article 13.9.1(b), an additional thirty (30) **Days** may be granted for each multipleof six (6) months involved beyond the one (1) year period; or

13.9.1(d) If exceptional circumstances exist, the **ACCO** may extend the time for performance beyond the extensions in Articles 13.9.1(a), 13.9.1(b), and 13.9.1(c). In that event, the **ACCO** shall file with the Mayor's Office of Contract Services a written explanation of the exceptional circumstances.

13.9.2 For extensions of time for **Substantial Completion** and final completion payments, the **Engineer**, in consultation with the **ACCO**, shall prepare a written analysis of the delay (including a preliminary determination of the causes of delay, the beginning and end dates for each such cause of delay, and whether the delays are excusable under the terms of this **Contract**). The report shall be subject to review by and approval of the Board, which shall have authority to question its analysis and determinations and request additional facts or documentation. The report as reviewed and made final by the Board shall be made a part of the **Agency** contract file. Neither the report itself nor anything contained therein shall operate as a

waiver or release of any claim the **City** may have against the **Contractor** for either actual or liquidated damages.

13.9.3 Approval Mechanism for Time Extensions for **Substantial Completion** or Final Completion Payments: An extension shall be granted only with the approval of the Board which is comprised of the **ACCO** of the **Agency**, the **City** Corporation Counsel, and the **Comptroller**, or their authorized representatives.

13.9.4 Neither the granting of any application for an extension of time to the **Contractor** or any **Other Contractor** on this **Project** nor the papers, records or reports related to any application for or grant of an extension of time or determination related thereto shall be referred to or offered in evidence by the **Contractor** or its attorneys in any action or proceeding.

13.10 No Damage for Delay: The **Contractor** agrees to make no claim for damages for delay in the performance of this **Contract** occasioned by any act or omission to act of the **City** or any of its representatives, except as provided for in Article 11.

# ARTICLE 14. COMPLETION AND FINAL ACCEPTANCE OF THE WORK

14.1 Date for **Substantial Completion**: The **Contractor** shall substantially complete the **Work** within the time fixed in Schedule A of the General Conditions, or within the time to which such **Substantial Completion** may be extended.

14.2 Determining the Date of **Substantial Completion**: The **Work** will be deemed to be substantially complete when the two conditions set forth below have been met.

14.2.1 Inspection: The **Engineer** or **Resident Engineer**, as applicable, has inspected the **Work** and has made a written determination that it is substantially complete.

14.2.2 Approval of **Final Approved Punch List** and Date for **Final Acceptance**: Following inspection of the **Work**, the **Engineer/Resident Engineer** shall furnish the **Contractor** with a final punch list, specifying all items of **Work** to be completed and proposing dates for the completion of each specified item of **Work**. The **Contractor** shall then submit in writing to the **Engineer/Resident Engineer** within ten (10) **Days** of the **Engineer/Resident Engineer** furnishing the final punch list either acceptance of the dates or proposed alternative dates for the completion of each specified item of **Work**. If the **Contractor** neither accepts the dates nor proposes alternative dates within ten (10) **Days**, the schedule proposed by the **Engineer/Resident Engineer** shall be deemed accepted. If the **Contractor** proposes alternative dates or, if a written notification to the **Contractor**, shall approve the **Contractor's** completion dates or, if they are unable to agree, the **Engineer/Resident Engineer** shall establish dates for the completion of each item of **Work**. The latest completion date specified shall be the date for **Final Acceptance** of the **Work**.

14.3 Date of **Substantial Completion**. The date of approval of the **Final Approved Punch List**, shall be the date of **Substantial Completion**. The date of approval of the **Final Approved Punch List** shall be either (a) if the **Contractor** approves the final punch list and proposed dates for completion furnished by the **Engineer/Resident Engineer**, the date of the **Contractor**'s approval; or (b) if the **Contractor** neither accepts the dates nor proposes alternative dates, ten (10) **Days** after the **Engineer/Resident Engineer** furnishes the **Contractor** with a final punch list and proposed dates for completion; or (c) if the **Contractor** proposes alternative dates, the **Engineer/Resident Engineer** sends written notification to the

**Contractor** either approving the **Contractor**'s proposed alternative dates or establishing dates for the completion for each item of **Work**.

14.4 Determining the Date of **Final Acceptance**: The **Work** will be accepted as final and complete as of the date of the **Engineer's/Resident Engineer**'s inspection if, upon such inspection, the **Engineer/Resident Engineer** finds that all items on the **Final Approved Punch List** are complete and no further **Work** remains to be done. The **Commissioner** will then issue a written determination of **Final Acceptance**.

14.5 Request for Inspection: Inspection of the **Work** by the **Engineer/Resident Engineer** for the purpose of **Substantial Completion** or **Final Acceptance** shall be made within fourteen (14) **Days** after receipt of the **Contractor's** written request therefor.

14.6 Request for Re-inspection: If upon inspection for the purpose of **Substantial Completion** or **Final Acceptance**, the **Engineer/Resident Engineer** determines that there are items of **Work** still to be performed, the **Contractor** shall promptly perform them and then request a re-inspection. If upon re-inspection, the **Engineer/Resident Engineer** determines that the **Work** is substantially complete or finally accepted, the date of such re-inspection shall be the date of **Substantial Completion** or **Final Acceptance**. Re-inspection by the **Engineer/Resident Engineer** shall be made within ten (10) **Days** after receipt of the **Contractor's** written request therefor.

14.7 Initiation of Inspection by the Engineer/Resident Engineer: If the Contractor does not request inspection or re-inspection of the Work for the purpose of Substantial Completion or Final Acceptance, the Engineer/Resident Engineer may initiate such inspection or re-inspection.

### ARTICLE 15. LIQUIDATED DAMAGES

15.1 In the event the **Contractor** fails to substantially complete the **Work** within the time fixed for such **Substantial Completion** in Schedule A of the General Conditions, plus authorized time extensions, or if the **Contractor**, in the sole determination of the **Commissioner**, has abandoned the **Work**, the **Contractor** shall pay to the **City** the sum fixed in Schedule A of the General Conditions, for each and every **Day** that the time consumed in substantially completing the **Work** exceeds the time allowed therefor; which said sum, in view of the difficulty of accurately ascertaining the loss which the **City** will suffer by reason of delay in the **Substantial Completion** of the **Work** hereunder, is hereby fixed and agreed as the liquidated damages that the **City** will suffer by reason of such delay, and not as a penalty. This Article 15 shall also apply to the **Contractor** whether or not the **Contractor** is defaulted pursuant to Chapter X of this **Contract**. Neither the failure to assess liquidated damages nor the granting of any time extension shall operate as a waiver or release of any claim the **City** may have against the **Contractor** for either actual or liquidated damages.

15.2 Liquidated damages received hereunder are not intended to be nor shall they be treated as either a partial or full waiver or discharge of the **City's** right to indemnification, or the **Contractor's** obligation to indemnify the **City**, or to any other remedy provided for in this **Contract** or by **Law**.

15.3 The **Commissioner** may deduct and retain out of the monies which may become due hereunder, the amount of any such liquidated damages; and in case the amount which may become due hereunder shall be less than the amount of liquidated damages suffered by the **City**, the **Contractor** shall be liable to pay the difference.

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# ARTICLE 16. OCCUPATION OR USE PRIOR TO COMPLETION

16.1 Unless otherwise provided for in the **Specifications**, the **Commissioner** may take over, use, occupy or operate any part of the **Work** at any time prior to **Final Acceptance**, upon written notification to the **Contractor**. The **Engineer** or **Resident Engineer**, as applicable, shall inspect the part of the**Work** to be taken over, used, occupied, or operated, and will furnish the **Contractor** with a written statement of the **Work**, if any, which remains to be performed on such part. The **Contractor** shall not object to, nor interfere with, the **Commissioner's** decision to exercise the rights granted by Article 16. In the event the **Commissioner** takes over, uses, occupies, or operates any part of the **Work**:

16.1.1 the Engineer/Resident Engineer shall issue a written determination of Substantial Completion with respect to such part of the Work;

16.1.2 the **Contractor** shall be relieved of its absolute obligation to protect such part of the unfinished **Work** in accordance with Article 7;

16.1.3 the **Contractor's** guarantee on such part of the **Work** shall begin on the date of such use by the **City**; and;

16.1.4 the **Contractor** shall be entitled to a return of so much of the amount retained in accordance with Article 21 as it relates to such part of the **Work**, except so much thereof as may be retained under Articles 24 and 44.

# CHAPTER IV: SUBCONTRACTS AND ASSIGNMENTS

# ARTICLE 17. SUBCONTRACTS

17.1 The **Contractor** shall not make subcontracts totaling an amount more than the percentage of the total **Contract** price fixed in Schedule A of the General Conditions, without prior written permission from the **Commissioner**. All subcontracts made by the **Contractor** shall be in writing. No **Work** may be performed by a **Subcontractor** prior to the **Contractor** entering into a written subcontract with the **Subcontractor** and complying with the provisions of this Article 17.

17.2 Before making any subcontracts, the **Contractor** shall submit a written statement to the **Commissioner** giving the name and address of the proposed **Subcontractor**; the portion of the **Work** and materials which it is to perform and furnish; the cost of the subcontract; the VENDEX questionnaire if required; the proposed subcontract if requested by the **Commissioner**; and any other information tending to prove that the proposed **Subcontractor** has the necessary facilities, skill, integrity, past experience, and financial resources to perform the **Work** in accordance with the terms and conditions of this **Contract**.

17.3 In addition to the requirements in Article 17.2, **Contractor** is required to list the **Subcontractor** in the web based Subcontractor Reporting System through the City's Payee Information Portal (PIP), available at <u>www.nyc.gov/pip</u>.<sup>1</sup>For each **Subcontractor** listed, **Contractor** is required to provide the following information: maximum contract value, description of **Subcontractor's** Work, start and end date of the subcontract and identification of the **Subcontractor**'s industry. Thereafter, **Contractor** will be required to report in the system the payments made to each **Subcontractor** within 30 days of making the

<sup>&</sup>lt;sup>1</sup> In order to use the new system, a PIP account will be required. Detailed instructions on creating a PIP account and using the new system are also available at <u>www.nyc.gov/pip</u>. Additional assistance with PIP may be obtained by emailing the Financial Information Services Agency Help Desk at <u>pip@fisa.nyc.gov</u>.

payment. If any of the required information changes throughout the Term of the **Contract**, **Contractor** will be required to revise the information in the system.

Failure of the **Contractor** to list a **Subcontractor** and/or to report **Subcontractor** payments in a timely fashion may result in the **Commissioner** declaring the **Contractor** in default of the **Contract** and will subject **Contractor** to liquidated damages in the amount of \$100 per day for each day that the **Contractor** fails to identify a **Subcontractor** along with the required information about the **Subcontractor** and/or fails to report payments to a **Subcontractor**, beyond the time frames set forth herein or in the notice from the **City**. Article 15 shall govern the issue of liquidated damages.

17.4 If an approved **Subcontractor** elects to subcontract any portion of its subcontract, the proposed sub-subcontract shall be submitted in the same manner as directed above.

17.5 The **Commissioner** will notify the **Contractor** in writing whether the proposed **Subcontractor** is approved. If the proposed **Subcontractor** is not approved, the **Contractor** may submit another proposed **Subcontractor** unless the **Contractor** decides to do the **Work**. No **Subcontractor** shall be permitted to enter or perform any work on the **Site** unless approved.

17.6 Before entering into any subcontract hereunder, the **Contractor** shall provide the proposed **Subcontractor** with a complete copy of this document and inform the proposed **Subcontractor** fully and completely of all provisions and requirements of this **Contract** relating either directly or indirectly to the **Work** to be performed and the materials to be furnished under such subcontract, and every such **Subcontractor** shall expressly stipulate that all labor performed and materials furnished by the **Subcontractor** shall strictly comply with the requirements of this **Contract**.

17.7 Documents given to a prospective **Subcontractor** for the purpose of soliciting the **Subcontractor's** bid shall include either a copy of the bid cover or a separate information sheet setting forth the **Project** name, the **Contract** number (if available), the **Agency** (as noted in Article 2.1.6), and the **Project's** location.

17.8 The **Commissioner's** approval of a **Subcontractor** shall not relieve the **Contractor** of any of its responsibilities, duties, and liabilities hereunder. The **Contractor** shall be solely responsible to the **City** for the acts or defaults of its **Subcontractor** and of such **Subcontractor's** officers, agents, and employees, each of whom shall, for this purpose, be deemed to be the agent or employee of the **Contractor** to the extent of its subcontract.

17.9 If the **Subcontractor** fails to maintain the necessary facilities, skill, integrity, past experience, and financial resources (other than due to the **Contractor's** failure to make payments where required) to perform the **Work** in accordance with the terms and conditions of this **Contract**, the **Contractor** shall promptly notify the **Commissioner** and replace such **Subcontractor** with a newly approved **Subcontractor** in accordance with this Article 17.

17.10 The **Contractor** shall be responsible for ensuring that all **Subcontractors** performing **Work** at the **Site** maintain all insurance required by **Law**.

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17.11 The **Contractor** shall promptly, upon request, file with the **Engineer** a conformed copy of the subcontract and its cost. The subcontract shall provide the following:

17.11.1 Payment to **Subcontractors**: The agreement between the **Contractor** and its **Subcontractor** shall contain the same terms and conditions as to method of payment for **Work**, labor, and materials, and as to retained percentages, as are contained in this **Contract**.

17.11.2 Prevailing Rate of Wages: The agreement between the **Contractor** and its **Subcontractor** shall include the prevailing wage rates and supplemental benefits to be paid in accordance with Labor Law Section 220.

17.11.3 Section 6-123 of the Administrative Code: Pursuant to the requirements of Section 6-123 of the Administrative Code, every agreement between the **Contractor** and a **Subcontractor** in excess of fifty thousand (\$50,000) dollars shall include a provision that the **Subcontractor** shall not engage in any unlawful discriminatory practice as defined in Title VIII of the Administrative Code (Section 8-101 *et seq.*).

17.11.4 All requirements required pursuant to federal and/or state grant agreement(s), if applicable to the **Work**.

17.12 The **Commissioner** may deduct from the amounts certified under this **Contract** to be due to the **Contractor**, the sum or sums due and owing from the **Contractor** to the **Subcontractors** according to the terms of the said subcontracts, and in case of dispute between the **Contractor** and its **Subcontractor**, or **Subcontractors**, as to the amount due and owing, the **Commissioner** may deduct and withhold from the amounts certified under this **Contract** to be due to the **Contractor** such sum or sumsas may be claimed by such **Subcontractor**, or **Subcontractors**, in a sworn affidavit, to be due and owing until such time as such claim or claims shall have been finally resolved.

17.13 On contracts where performance bonds and payment bonds are executed, the **Contractor** shall include on each requisition for payment the following data: **Subcontractor's** name, value of the subcontract, total amount previously paid to **Subcontractor** for **Work** previously requisitioned, and the amount, including retainage, to be paid to the **Subcontractor** for **Work** included in the requisition.

17.14 On **Contracts** where performance bonds and payment bonds are not executed, the **Contractor** shall include with each requisition for payment submitted hereunder, a signed statement from each and every **Subcontractor** and/or **Materialman** for whom payment is requested in such requisition. Such signed statement shall be on the letterhead of the **Subcontractor** and/or **Materialman** for whom payment is requested and shall (i) verify that such **Subcontractor** and/or **Materialman** has been paid in full for all **Work** performed and/or material supplied to date, exclusive of any amount retained and any amount included on the current requisition, and (ii) state the total amount of retainage to date, exclusive of any amount retained on the current requisition.

### **ARTICLE 18. ASSIGNMENTS**

18.1 The **Contractor** shall not assign, transfer, convey or otherwise dispose of this **Contract**, or the right to execute it, or the right, title or interest in or to it or any part thereof, or assign, by power of attorney or otherwise any of the monies due or to become due under this **Contract**, unless the previous written consent of the **Commissioner** shall first be obtained thereto, and the giving of any such consent to a particular assignment shall not dispense with the necessity of such consent to any further or other assignments.

18.2 Such assignment, transfer, conveyance or other disposition of this **Contract** shall not be valid until filed in the office of the **Commissioner** and the **Comptroller**, with the written consent of the **Commissioner** endorsed thereon or attached thereto.

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18.3 Failure to obtain the previous written consent of the Commissioner to such an assignment,

transfer, conveyance or other disposition, may result in the revocation and annulment of this **Contract**. The **City** shall thereupon be relieved and discharged from any further liability to the **Contractor**, its assignees, transferees or sublessees, who shall forfeit and lose all monies therefor earned under the **Contract**, except so much as may be required to pay the **Contractor's** employees.

18.4 The provisions of this clause shall not hinder, prevent, or affect an assignment by the **Contractor** for the benefit of its creditors made pursuant to the **Laws** of the State of New York.

18.5 This **Contract** may be assigned by the **City** to any corporation, agency or instrumentality having authority to accept such assignment.

# CHAPTER V: CONTRACTOR'S SECURITY AND GUARANTEE

### ARTICLE 19. SECURITY DEPOSIT

19.1 If performance and payment bonds are required, the **City** shall retain the bid security to ensure that the successful bidder executes the **Contract** and furnishes the required payment and performance security within ten (10) **Days** after notice of the award of the **Contract**. If the successful bidder fails to execute the **Contract** and furnish the required payment and performance security, the **City** shall retain such bid security as set forth in the Information for Bidders. If the successful bidder executes the **Contract** and furnishes the required payment and performance security, the **City** shall return the bid security within a reasonable time after the furnishing of such bonds and execution of the **Contract** by the **City**.

19.2 If performance and payment bonds are not required, the bid security shall be retained by the **City** as security for the **Contractor**'s faithful performance of the **Contract**. If partial payments are provided, the bid security will be returned to the **Contractor** after the sum retained under Article 21 equals the amount of the bid security, subject to other provisions of this **Contract**. If partial payments are not provided, the bid security will be released when final payment is certified by the **City** for payment.

19.3 If the **Contractor** is declared in default under Article 48 prior to the return of the deposit, or if any claim is made such as referred to in Article 23, the amount of such deposit, or so much thereof as the **Comptroller** may deem necessary, may be retained and then applied by the **Comptroller**:

19.3.1 To compensate the **City** for any expense, loss or damage suffered or incurred by reason of or resulting from such default, including the cost of re-letting and liquidated damages; or

19.3.2 To indemnify the **City** against any and all claims.

# ARTICLE 20. PAYMENT GUARANTEE

20.1 On **Contracts** where one hundred (100%) percent performance bonds and payment bonds are executed, this Article 20 does not apply.

20.2 In the event the terms of this **Contract** do not require the **Contractor** to provide a payment bond or where the **Contract** does not require a payment bond for one hundred (100%) percent of the **Contract** price, the **City** shall, in accordance with the terms of this Article 20, guarantee payment of all lawful claims for:

20.2.1 Wages and compensation for labor performed and/or services rendered; and

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20.2.2 Materials, equipment, and supplies provided, whether incorporated into the **Work** or not, when demands have been filed with the **City** as provided hereinafter by any person, firm, or corporation which furnished labor, material, equipment, supplies, or any combination thereof, in connection with the **Work** performed hereunder (hereinafter referred to as the "beneficiary") at the direction of the **City** or the **Contractor**.

20.3 The provisions of Article 20.2 are subject to the following limitations and conditions:

20.3.1 If the **Contractor** provides a payment bond for a value that is less than one hundred (100%) percent of the value of the **Contract Work**, the payment bond provided by the **Contractor** shall be primary (and non-contributing) to the payment guarantee provided under this Article 20.

20.3.2 The guarantee is made for the benefit of all beneficiaries as defined in Article 20.2 provided that those beneficiaries strictly adhere to the terms and conditions of Article 20.3.4 and 20.3.5.

20.3.3 Nothing in this Article 20 shall prevent a beneficiary providing labor, services or material for the **Work** from suing the **Contractor** for any amounts due and owing the beneficiary by the **Contractor**.

20.3.4 Every person who has furnished labor or material, to the Contractor or to a Subcontractor of the Contractor, in the prosecution of the Work and who has not been paid in full therefor before the expiration of a period of ninety (90) Days after the date on which the last of the labor was performed or material was furnished by him/her for which the claim is made, shall have the right to sue on this payment guarantee in his/her own name for the amount, or the balance thereof, unpaid at the time of commencement of the action; provided, however, that a person having a direct contractual relationship with aSubcontractor of the Contractor but no contractual relationship express or implied with the Contractor shall not have a right of action upon the guarantee unless he/she shall have given written notice to the Contractor within one hundred twenty (120) Days from the date on which the last of the labor was performed or the last of the material was furnished, for which his/her claim is made, stating with substantial accuracy the amount claimed and the name of the party to whom the material was furnished or for whom the labor was performed. The notice shall be served by delivering the same personally to the Contractor or by mailing the same by registered mail, postage prepaid, in an envelope addressed to the Contractor at any place where it maintains an office or conducts its business; provided, however, that where such notice is actually received by the Contractor by other means, such notice shall be deemed sufficient.

20.3.5 Except as provided in Labor Law Section 220-g, no action on this payment guarantee shall be commenced after the expiration of the one-year limitations period set forth in Section 137(4)(b) of the State Finance Law.

20.3.6 The **Contractor** shall promptly forward to the **City** any notice or demand received pursuant to Article 20.3.4. The **Contractor** shall inform the **City** of any defenses to the notice or demand and shall forward to the **City** any documents the **City** requests concerning the notice or demand.

20.3.7 All demands made against the **City** by a beneficiary of this payment guarantee shall be presented to the **Engineer** along with all written documentation concerning the demand which the **Engineer** deems reasonably appropriate or necessary, which may include, but shall not be

limited to: the subcontract; any invoices presented to the **Contractor** for payment; the notarized statement of the beneficiary that the demand is due and payable, that a request for payment has been made of the **Contractor** and that the demand has not been paid by the **Contractor** within the time allowed for such payment by the subcontract; and copies of any correspondence between the beneficiary and the **Contractor** concerning such demand. The **City** shall notify the **Contractor** that a demand has been made. The **Contractor** shall inform the **City** of any defenses to the demand and shall forward to the **City** any documents the **City** requests concerning the demand.

20.3.8 The **City** shall make payment only if, after considering all defenses presented by the **Contractor**, it determines that the payment is due and owing to the beneficiary making the demand.

20.3.9 No beneficiary shall be entitled to interest from the **City**, or to any other costs, including, but not limited to, attorneys' fees, except to the extent required by State Finance Law Section 137.

20.3.10

20.4 Upon the receipt by the **City** of a demand pursuant to this Article 20, the **City** may withhold from any payment otherwise due and owing to the **Contractor** under this **Contract** an amount sufficient to satisfy the demand.

20.4.1 In the event the **City** determines that the demand is valid, the **City** shall notify the **Contractor** of such determination and the amount thereof and direct the **Contractor** to immediately pay such amount to the beneficiary. In the event the **Contractor**, within seven (7) **Days** of receipt of such notification from the **City**, fails to pay the beneficiary, such failure shall constitute an automatic and irrevocable assignment of payment by the **Contractor** to the beneficiary for the amount of the demand determined by the **City** to be valid. The **Contractor**, without further notification or other process, hereby gives its unconditional consent to such assignment of payment to the beneficiary and authorizes the **City**, on its behalf, to take all necessary actions to implement such assignment of payment, including without limitation the execution of any instrument or documentation necessary to effectuate such assignment.

20.4.2 In the event that the amount otherwise due and owing to the **Contractor** by the **City** is insufficient to satisfy such demand, the **City** may, at its option, require payment from the **Contractor** of an amount sufficient to cover such demand and exercise any other right to require or recover payment which the **City** may have under **Law** or **Contract**.

20.4.3 In the event the **City** determines that the demand is invalid, any amount withheld pending the **City**'s review of such demand shall be paid to the **Contractor**; provided, however, no lien has been filed. In the event a claim or an action has been filed, the terms and conditions set forth in Article 23 shall apply. In the event a lien has been filed, the parties will be governed by the provisions of the Lien Law of the State of New York.

20.5 The provisions of this Article 20 shall not prevent the **City** and the **Contractor** from resolving disputes in accordance with the **PPB** Rules, where applicable.

20.6 In the event the **City** determines that the beneficiary is entitled to payment pursuant to this Article 20, such determination and any defenses and counterclaims raised by the **Contractor** shall be taken into account in evaluating the **Contractor's** performance.

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20.7 Nothing in this Article 20 shall relieve the **Contractor** of the obligation to pay the claims of all

persons with valid and lawful claims against the Contractor relating to the Work.

20.8 The **Contractor** shall not require any performance, payment or other bonds of any **Subcontractor** if this **Contract** does not require such bonds of the **Contractor**.

20.9 The payment guarantee made pursuant to this Article 20 shall be construed in a manner consistent with Section 137 of the State Finance Law and shall afford to persons furnishing labor or materials to the **Contractor** or its **Subcontractors** in the prosecution of the **Work** under this **Contract** all of the rights and remedies afforded to such persons by such section, including but not limited to, the right to commence an action against the **City** on the payment guarantee provided by this Article 20 within the one-year limitations period set forth in Section 137(4)(b).

# ARTICLE 21. RETAINED PERCENTAGE

21.1 If this **Contract** requires one hundred (100%) percent performance and payment security, thenas further security for the faithful performance of this **Contract**, the **Commissioner** shall deduct, and retain until the substantial completion of the **Work**, five (5%) percent of the value of **Work** certified for payment in each partial payment voucher.

21.2 If this **Contract** does not require one hundred (100%) percent performance and payment security and if the price for which this **Contract** was awarded does not exceed one million (\$1,000,000) dollars, then as further security for the faithful performance of this **Contract**, the **Commissioner** shall deduct, and retain until the substantial completion of the **Work**, five (5%) percent of the value of **Work** certified for payment in each partial payment voucher.

21.3 If this **Contract** does not require one hundred (100%) percent performance and payment security and if the price for which this **Contract** was awarded exceeds one million (\$1,000,000) dollars, then as further security for the faithful performance of this **Contract**, the **Commissioner** shall deduct, and retain until the substantial completion of the **Work**, up to ten (10%) percent of the value of **Work** certified for payment in each partial payment voucher. The percentage to be retained is set forth in Schedule A of the General Conditions.

### ARTICLE 22. INSURANCE

22.1 Types of Insurance: The **Contractor** shall procure and maintain the following types of insurance if, and as indicated, in Schedule A of the General Conditions (with the minimum limits and special conditions specified in Schedule A). Such insurance shall be maintained from the date the **Contractor** is required to provide Proof of Insurance pursuant to Article 22.3.1 through the date of completion of all required **Work** (including punch list work as certified in writing by the **Resident Engineer**), except for insurance required pursuant to Article 22.1.4, which may terminate upon **Substantial Completion** of the **Contract**. All insurance shall meet the requirements set forth in this Article 22. Wherever this Article requires that insurance coverage be "at least as broad" as a specified form (including all ISO forms), there is no obligation that the form itself be used, provides coverage at least as broad as the specified form.

22.1.1 Commercial General Liability Insurance: The **Contractor** shall provide Commercial General Liability Insurance covering claims for property damage and/or bodily injury, including death, which may arise from any of the operations under this **Contract**. Coverage under this insurance shall be at least as broad as that provided by the latest edition of Insurance

Services Office ("ISO") Form CG 0001. Such insurance shall be "occurrence" based rather than "claims-made" and include, without limitation, the following types of coverage: premises operations; products and completed operations; contractual liability (including the tort liability of another assumed in a contract); broad form property damage; independent contractors; explosion, collapse and underground (XCU); construction means and methods; and incidental malpractice. Such insurance shall contain a "per project" aggregate limit, as specified in Schedule A, that applies separately to operations under this **Contract**.

22.1.1(a) Such Commercial General Liability Insurance shall name the **City** as an Additional Insured. Coverage for the City shall specifically include the **City's** officials and employees, be at least as broad as the latest edition of ISO Form CG 20 10 and provide completed operations coverage at least as broad as the latest edition of ISO Form CG 20 37.

22.1.1(b) Such Commercial General Liability Insurance shall name all other entities designated as additional insureds in Schedule A but only for claims arising from the **Contractor's** operations under this **Contract**, with coverage at least as broad as the latest edition of ISO Form CG 20 26.

22.1.1(c) If the **Work** requires a permit from the Department of Buildings pursuant to 1 RCNY Section 101-08, the **Contractor** shall provide Commercial General Liability Insurance with limits of at least those required by 1 RCNY section 101-08 or greater limits required by the Agency in accordance with Schedule A. If the **Work** does not require such a permit, the minimum limits shall be those provided for in Schedule A.

22.1.1(d) If any of the **Work** includes repair of a waterborne vessel owned by or to be delivered to the **City**, such Commercial General Liability shall include, or be endorsed to include, Ship Repairer's Legal Liability Coverage to protect against, without limitation, liability arising from navigation of such vessels prior to delivery to and acceptance by the **City**.

22.1.2 Workers' Compensation Insurance, Employers' Liability Insurance, and Disability Benefits Insurance: The **Contractor** shall provide, and shall cause its **Subcontractors** to provide, Workers Compensation Insurance, Employers' Liability Insurance, and Disability Benefits Insurance in accordance with the **Laws** of the State of New York on behalf of all employees providing services under this **Contract** (except for those employees, if any, for which the **Laws** require insurance only pursuant to Article 22.1.3).

22.1.3 United States Longshoremen's and Harbor Workers Act and/or Jones Act Insurance: If specified in Schedule A of the General Conditions or if required by **Law**, the **Contractor** shall provide insurance in accordance with the United States Longshoremen's and Harbor Workers Act and/or the Jones Act, on behalf of all qualifying employees providing services under this **Contract**.

22.1.4 Builders Risk Insurance: If specified in Schedule A of the General Conditions, the **Contractor** shall provide Builders Risk Insurance on a completed value form for the total value of the **Work** through **Substantial Completion** of the **Work** in its entirety. Such insurance shall be provided on an All Risk basis and include coverage, without limitation, for windstorm (including named windstorm), storm surge, flood and earth movement. Unless waived by the **Commissioner**, it shall include coverage for ordinance and law, demolition and increased costs of construction, debris removal, pollutant clean up and removal, and expediting costs. Such insurance shall cover, without limitation, (a) all buildings and/or structures involved in the

Work, as well as temporary structures at the **Site**, and (b) any property that is intended to become a permanent part of such building or structure, whether such property is on the **Site**, in transit or in temporary storage. Policies shall name the **Contractor** as Named Insured and list the **City** as both an Additional Insuredand a Loss Payee as its interest may appear.

22.1.4(a) Policies of such insurance shall specify that, in the event a loss occurs at an occupied facility, occupancy of such facility is permitted without the consent of the issuing insurance company.

22.1.4(b) Such insurance may be provided through an Installation Floater, at the **Contractor's** option, if it otherwise conforms with the requirements of this Article 22.1.4.

22.1.5 Commercial Automobile Liability Insurance: The **Contractor** shall provide Commercial Automobile Liability Insurance for liability arising out of ownership, maintenance or use of any owned (if any), non-owned and hired vehicles to be used in connection with this **Contract**. Coverage shall be at least as broad as the latest edition of ISO Form CA0001. If vehicles are used for transporting hazardous materials, the Automobile Liability Insurance shall be endorsed to provide pollution liability broadened coverage for covered vehicles (endorsement CA 99 48) as well as proof of MCS 90.

22.1.6 Contractors Pollution Liability Insurance: If specified in Schedule A of the General Conditions, the **Contractor** shall maintain, or cause the **Subcontractor** doing such **Work**to maintain, Contractors Pollution Liability Insurance covering bodily injury and property damage. Such insurance shall provide coverage for actual, alleged or threatened emission, discharge, dispersal, seepage, release or escape of pollutants (including asbestos), including any loss, cost or expense incurred as a result of any cleanup of pollutants (including asbestos) or in the investigation, settlement or defense of any claim, action, or proceedings arising from the operations under this **Contract**. Such insurance shall be in the **Contractor's** name and list the **City** as an Additional Insured and any other entity specified in Schedule A. Coverage shall include, without limitation, (a) loss of use of damaged property or of property that has not been physically injured, (b) transportation, and (c) non- owned disposal sites.

22.1.6(a) Coverage for the **City** as Additional Insured shall specifically include the **City's** officials and employees and be at least as broad as provided to the **Contractor** for this **Project**.

22.1.6(b) If such insurance is written on a claims-made policy, such policy shall have a retroactive date on or before the effective date of this **Contract**, and continuous coverage shall be maintained, or an extended discovery period exercised, for a period of not less than three (3) years from the time the **Work** under this **Contract** is completed.

### 22.1.7 Marine Insurance:

22.1.7(a) Marine Protection and Indemnity Insurance: If specified in Schedule A of the General Conditions or if the **Contractor** engages in marine operations in the execution of any part of the **Work**, the **Contractor** shall maintain, or cause the **Subcontractor** doing such Work to maintain, Marine Protection and Indemnity Insurance with coverage at least as broad as Form SP-23. The insurance shall provide coverage for the **Contractor** or **Subcontractor** (whichever is doing this **Work**) and for the **City** (together with its officials and employees) and any other entity specified in Schedule A as an Additional Insured for bodily injury and property damage arising from marine operations under this

**Contract**. Coverage shall include, without limitation, injury or death of crew members (if not fully provided through other insurance), removal of wreck, damage to piers, wharves and other fixed or floating objects and loss of or damage to any other vessel or craft, or to property on such other vessel or craft.

22.1.7(b) Hull and Machinery Insurance: If specified in Schedule A of the General Conditions or if the **Contractor** engages in marine operations in the execution of any part of the **Work**, the **Contractor** shall maintain, or cause the **Subcontractor** doing such **Work** to maintain, Hull and Machinery Insurance with coverage for the **Contractor** or **Subcontractor** (whichever is doing this Work) and for the **City** (together with its officials and employees) as Additional Insured at least as broad as the latest edition of American Institute Tug Form for all tugs used under this **Contract** and Collision Liability at least as broad as the latest edition of American Institute Hull Clauses.

22.1.7(c) Marine Pollution Liability Insurance: If specified in Schedule A of the General Conditions or if the **Contractor** engages in marine operations in the execution of any part of the **Work**, the **Contractor** shall maintain, or cause the **Subcontractor** doing such Work to maintain, Marine Pollution Liability Insurance covering itself (or the Subcontractor doing such Work) as Named Insured and the **City** (together with its officials and employees) and any other entity specified in Schedule A as an Additional Insured. Coverage shall be at least as broad as that provided by the latest edition of Water Quality Insurance Syndicate Form and include, without limitation, liability arising from the discharge or substantial threat of a discharge of oil, or from the release or threatened release of a hazardous substance including injury to, or economic losses resulting from, the destruction of or damage toreal property, personal property or natural resources.

22.1.8 The **Contractor** shall provide such other types of insurance, at such minimum limits and with such conditions, as are specified in Schedule A of the General Conditions.

22.2 General Requirements for Insurance Coverage and Policies:

22.2.1 All required insurance policies shall be maintained with companies that may lawfully issue the required policy and have an A.M. Best rating of at least A-/VII or a Standard and Poor's rating of at least A, unless prior written approval is obtained from the **City** Corporation Counsel.

22.2.2 The **Contractor** shall be solely responsible for the payment of all premiums for all required policies and all deductibles and self-insured retentions to which such policies are subject, whether or not the **City** is an insured under the policy.

22.2.3 In his/her sole discretion, the **Commissioner** may, subject to the approval of the **Comptroller** and the **City** Corporation Counsel, accept Letters of Credit and/or custodial accounts in lieu of required insurance.

22.2.4 The **City's** limits of coverage for all types of insurance required pursuant to Schedule A of the General Conditions shall be the greater of (i) the minimum limits set forth in Schedule A or (ii) the limits provided to the **Contractor** as Named Insured under all primary, excess, and umbrella policies of that type of coverage.

22.2.5 The **Contractor** may satisfy its insurance obligations under this Article 22 through primary policies or a combination of primary and excess/umbrella policies, so long as all policies provide the scope of coverage required herein.

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22.2.6 Policies of insurance provided pursuant to this Article 22 shall be primary and noncontributing to any insurance or self-insurance maintained by the **City**.

# 22.3 Proof of Insurance:

22.3.1 For all types of insurance required by Article 22.1 and Schedule A, except for insurance required by Articles 22.1.4 and 22.1.7, the **Contractor** shall file proof of insurance in accordance with this Article 22.3 within ten (10) **Days** of award. For insurance provided pursuant to Articles 22.1.4 and 22.1.7, proof shall be filed by a date specified by the **Commissioner** or ten (10) **Days** prior to the commencement of the portion of the **Work** covered by such policy, whichever is earlier.

22.3.2 For Workers' Compensation Insurance provided pursuant to Article 22.1.2, the **Contractor** shall submit one of the following forms: C-105.2 Certificate of Workers' Compensation Insurance; U-26.3 - State Insurance Fund Certificate of Workers' Compensation Insurance; Request for WC/DB Exemption (Form CE-200); equivalent or successor forms used by the New York State Workers' Compensation Board; or other proof of insurance in a form acceptable to the **Commissioner**. For Disability Benefits Insurance provided pursuant to Article 22.1.2, the Contractor shall submit DB-120.1 - Certificate Of Insurance Coverage Under The NYS Disability Benefits Law, Request for WC/DB Exemption (Form CE-200); equivalent or successor forms used by the New York State Workers' Compensation Board; or other proof of insurance in a form acceptable to the **Commissioner**. ACORD forms are not acceptable.

For policies provided pursuant to all of Article 22.1 other than Article 22.1.2, the 22.3.3 Contractor shall submit one or more Certificates of Insurance on forms acceptable to the Commissioner. All such Certificates of Insurance shall certify (a) the issuance and effectiveness of such policies of insurance, each with the specified minimum limits (b) for insurance secured pursuant to Article 22.1.1 that the City and any other entity specified in Schedule A is an Additional Insured thereunder; (c) in the event insurance is required pursuant to Article 22.1.6 and/or Article 22.1.7, that the City is an Additional Insured thereunder; (d) the company code issued to the insurance company by the National Association of Insurance Commissioners (the NAIC number); and (e) the number assigned to the Contract by the City. All such Certificates of Insurance shall be accompanied by either a duly executed "Certification by Insurance Broker or Agent" in the form contained in Part III of Schedule A or copies of all policies referenced in such Certificate of Insurance as certified by an authorized representative of the issuing insurance carrier. If any policy is not available at the time of submission, certified binders may be submitted until such time as the policy is available, at which time a certified copy of the policy shall be submitted.

22.3.4 Documentation confirming renewals of insurance shall be submitted to the **Commissioner** prior to the expiration date of coverage of policies required under this**Contract**. Such proofs of insurance shall comply with the requirements of Articles 22.3.2 and 22.3.3.

22.3.5 The **Contractor** shall be obligated to provide the **City** with a copy of any policy of insurance provided pursuant to this Article 22 upon the demand for such policy by the **Commissioner** or the **City** Corporation Counsel.

22.4 Operations of the **Contractor**:

22.4.1 The **Contractor** shall not commence the **Work** unless and until all required certificates have been submitted to and accepted by the **Commissioner**. Acceptance by the

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**Commissioner** of a certificate does not excuse the **Contractor** from securing insurance consistent with all provisions of this Article 22 or of any liability arising from its failure todo so.

22.4.2 The **Contractor** shall be responsible for providing continuous insurance coverage in the manner, form, and limits required by this **Contract** and shall be authorized to perform **Work** only during the effective period of all required coverage.

22.4.3 In the event that any of the required insurance policies lapse, are revoked, suspended or otherwise terminated, for whatever cause, the **Contractor** shall immediately stop all **Work**, and shall not recommence **Work** until authorized in writing to do so by the **Commissioner**. Upon quitting the **Site**, except as otherwise directed by the **Commissioner**, the **Contractor** shall leave all plant, materials, equipment, tools, and supplies on the **Site**. **Contract** time shall continue to run during such periods and no extensions of time will be granted. The **Commissioner** may also declare the **Contractor** in default for failure to maintain required insurance.

22.4.4 In the event the **Contractor** receives notice, from an insurance company or other person, that any insurance policy required under this Article 22 shall be cancelled or terminated (or has been cancelled or terminated) for any reason, the **Contractor** shall immediately forward a copy of such notice to both the **Commissioner** and the New York City Comptroller, attn: Office of Contract Administration, Municipal Building, One Centre Street, room 1005, New York, New York 10007. Notwithstanding the foregoing, the **Contractor** shall ensure that there is no interruption in any of the insurance coverage required under this Article 22.

22.4.5 Where notice of loss, damage, occurrence, accident, claim or suit is required under an insurance policy maintained in accordance with this Article 22, the **Contractor** shall notify in writing all insurance carriers that issued potentially responsive policies of any such event relating to any operations under this **Contract** (including notice to Commercial General Liability insurance carriers for events relating to the **Contractor**'s own employees) no later than 20 days after such event. For any policy where the **City** is an Additional Insured, such notice shall expressly specify that "this notice is being given on behalf of the City of New York as Insured as well as the Named Insured." Such notice shall also contain the following information: the number of the insurance policy, the name of the named insured, the date and location of the damage, occurrence, or accident, and the identity of the persons or things injured, damaged or lost. The **Contractor** shall simultaneously send a copy of such notice to the City of New York City Law Department, 100 Church Street, New York, New York 10007.

22.4.6 In the event of any loss, accident, claim, action, or other event that does or can give rise to a claim under any insurance policy required under this Article 22, the **Contractor** shall at all times fully cooperate with the **City** with regard to such potential or actual claim.

22.5 Subcontractor Insurance: In the event the Contractor requires any Subcontractor to procure insurance with regard to any operations under this Contract and requires such Subcontractor to name the Contractor as an Additional Insured thereunder, the Contractor shall ensure that the Subcontractor name the City, including its officials and employees, as an Additional Insured with coverage at least as broad as the most recent edition of ISO Form CG 20 26.

22.6 Wherever reference is made in Article 7 or this Article 22 to documents to be sent to the **Commissioner** (e.g., notices, filings, or submissions), such documents shall be sent to the address set forth in Schedule A of the General Conditions. In the event no address is set forth in Schedule A, such documents

are to be sent to the **Commissioner's** address as provided elsewhere in this **Contract**.

22.7 Apart from damages or losses covered by insurance provided pursuant to Articles 22.1.2, 22.1.3, or 22.1.5, the **Contractor** waives all rights against the **City**, including its officials and employees, for any damages or losses that are covered under any insurance required under this Article 22 (whether or not such insurance is actually procured or claims are paid thereunder) or any other insurance applicable to the operations of the **Contractor** and/or its employees, agents, or **Subcontractors**.

22.8 In the event the **Contractor** utilizes a self-insurance program to satisfy any of the requirements of this Article 22, the **Contractor** shall ensure that any such self-insurance program provides the **City** with all rights that would be provided by traditional insurance under this Article 22, including but not limited to the defense and indemnification obligations that insurers are required to undertake in liability policies.

22.9 Materiality/Non-Waiver: The **Contractor's** failure to secure policies in complete conformity with this Article 22, or to give an insurance company timely notice of any sort required in this **Contract** or to do anything else required by this Article 22 shall constitute a material breach of this **Contract**. Such breach shall not be waived or otherwise excused by any action or inaction by the **City** at any time.

22.10 Pursuant to General Municipal Law Section 108, this **Contract** shall be void and of no effect unless **Contractor** maintains Workers' Compensation Insurance for the term of this **Contract** to the extent required and in compliance with the New York State Workers' Compensation Law.

22.11 Other Remedies: Insurance coverage provided pursuant to this Article 22 or otherwise shall not relieve the **Contractor** of any liability under this **Contract**, nor shall it preclude the **City** from exercising any rights or taking such other actions available to it under any other provisions of this **Contract** or **Law**.

# ARTICLE 23. MONEY RETAINED AGAINST CLAIMS

23.1 If any claim shall be made by any person or entity (including **Other Contractors** with the **City** on this **Project**) against the **City** or against the **Contractor** and the **City** for any of the following:

(a) An alleged loss, damage, injury, theft or vandalism of any of the kinds referred to in Articles 7 and 12, plus the reasonable costs of defending the **City**, which in the opinion of the **Comptroller** may not be paid by an insurance company (for any reason whatsoever);or

(b) An infringement of copyrights, patents or use of patented articles, tools, etc., as referred to in Article 57; or

(c) Damage claimed to have been caused directly or indirectly by the failure of the **Contractor** to perform the **Work** in strict accordance with this **Contract**,

the amount of such claim, or so much thereof as the **Comptroller** may deem necessary, may be withheld by the **Comptroller**, as security against such claim, from any money due hereunder. The **Comptroller**, in his/her discretion, may permit the **Contractor** to substitute other satisfactory security in lieu of the monies so withheld.

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23.2 If an action on such claim is timely commenced and the liability of the City, or the Contractor,

or both, shall have been established therein by a final judgment of a court of competent jurisdiction, or if such claim shall have been admitted by the **Contractor** to be valid, the **Comptroller** shall pay such judgment or admitted claim out of the monies retained by the **Comptroller** under the provisions of this Article 23, and return the balance, if any, without interest, to the **Contractor**.

# ARTICLE 24. MAINTENANCE AND GUARANTY

24.1 The **Contractor** shall promptly repair, replace, restore or rebuild, as the **Commissioner** may determine, any finished **Work** in which defects of materials or workmanship may appear or to which damage may occur because of such defects, during the one (1) year period subsequent to the date of **Substantial Completion** (or use and occupancy in accordance with Article 16), except where other periods of maintenance and guaranty are provided for in Schedule A.

24.2 As security for the faithful performance of its obligations hereunder, the **Contractor**, upon filing its requisition for payment on **Substantial Completion**, shall deposit with the **Commissioner** a sum equal to one (1%) percent of the price (or the amount fixed in Schedule A of the General Conditions) in cash or certified check upon a state or national bank and trust company or a check of such bank and trust company signed by a duly authorized officer thereof and drawn to the order of the **Comptroller**, or obligations of the **City**, which the **Comptroller** may approve as of equal value with the sum so required.

24.3 In lieu of the above, the **Contractor** may make such security payment to the **City** by authorizing the **Commissioner** in writing to deduct the amount from the **Substantial Completion** payment which shall be deemed the deposit required above.

24.4 If the **Contractor** has faithfully performed all of its obligations hereunder the **Commissioner** shall so certify to the **Comptroller** within five (5) **Days** after the expiration of one (1) year from the date of **Substantial Completion** and acceptance of the **Work** or within thirty (30) **Days** after the expiration of the guarantee period fixed in the **Specifications**. The security payment shall be repaid to the **Contractor** without interest within thirty (30) **Days** after certification by the **Commissioner** to the **Comptroller** that the **Contractor** has faithfully performed all of its obligations hereunder.

24.5 Notice by the **Commissioner** to the **Contractor** to repair, replace, rebuild or restore such defective or damaged **Work** shall be timely, pursuant to this article, if given not later than ten (10) **Days** subsequent to the expiration of the one (1) year period or other periods provided for herein.

24.6 If the **Contractor** shall fail to repair, replace, rebuild or restore such defective or damaged **Work** promptly after receiving such notice, the **Commissioner** shall have the right to have the **Work** done by others in the same manner as provided for in the completion of a defaulted **Contract**, under Article 51.

24.7 If the security payment so deposited is insufficient to cover the cost of such **Work**, the **Contractor** shall be liable to pay such deficiency on demand by the **Commissioner**.

24.8 The **Engineer's** certificate setting forth the fair and reasonable cost of repairing, replacing, rebuilding or restoring any damaged or defective **Work** when performed by one other than the **Contractor**, shall be binding and conclusive upon the **Contractor** as to the amount thereof.

24.9 The **Contractor** shall obtain all manufacturers' warranties and guaranties of all equipment and materials required by this **Contract** in the name of the **City** and shall deliver same to the **Commissioner**. All of the **City's** rights and title and interest in and to said manufacturers' warranties and guaranties may be assigned by the **City** to any subsequent purchasers of such equipment and materials or lessees of the

# CHAPTER VI: CHANGES, EXTRA WORK, AND DOCUMENTATION OF CLAIM

# ARTICLE 25. CHANGES

25.1 Changes may be made to this **Contract** only as duly authorized in writing by the **Commissioner** in accordance with the **Law** and this **Contract**. All such changes, modifications, and amendments will become a part of the **Contract**. Work so ordered shall be performed by the **Contractor**.

25.2 **Contract** changes will be made only for **Work** necessary to complete the **Work** included in the original scope of the **Contract** and/or for non-material changes to the scope of the **Contract**. Changes are not permitted for any material alteration in the scope of **Work** in the **Contract**.

25.3 The **Contractor** shall be entitled to a price adjustment for **Extra Work** performed pursuant toa written change order. Adjustments to price shall be computed in one or more of the following ways:

25.3.1 By applicable unit prices specified in the Contract; and/or

25.3.2 By agreement of a fixed price; and/or

25.3.3 By time and material records; and/or

25.3.4 In any other manner approved by the **CCPO**.

25.4 All payments for change orders are subject to pre-audit by the **Engineering Audit Officer** and may be post-audited by the **Comptroller** and/or the **Agency**.

# ARTICLE 26. METHODS OF PAYMENT FOR OVERRUNS AND EXTRA WORK

26.1 Overrun of Unit Price Item: An overrun is any quantity of a unit price item which the **Contractor** is directed to provide which is in excess of one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule.

26.1.1 For any unit price item, the **Contractor** will be paid at the unit price bid for any quantity up to one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule. If during the progress of the **Work**, the actual quantity of any unit price item required to complete the **Work** approaches the estimated quantity forthat item, and for any reason it appears that the actual quantity for that item by twenty- five (25%) percent, the **Contractor** shall immediately notify the **Engineer** of such anticipated overrun. The **Contractor** shall not be compensated for any quantity of a unit price item provided which is in excess of one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule without written authorization from the **Engineer**.

26.1.2 If the actual quantity of any unit price item necessary to complete the **Work** will exceed one hundred twenty five (125%) percent of the estimated quantity for that item set forth in the bid schedule, the **City** reserves the right and the **Contractor** agrees to negotiate a new unit price for such item. In no event shall such negotiated new unit price exceed the unit bid price. If the **City** and **Contractor** cannot agree on a new unit price, then the **City** shall order the **Contractor** and the **Contractor** agrees to provide additional quantities of the item on the

basis of time and material records for the actual and reasonable cost as determined under Article 26.2, but in no event at a unit price exceeding the unit price bid.

26.2 Extra Work: For Extra Work where payment is by agreement on a fixed price in accordance with Article 25.3.2, the price to be paid for such Extra Work shall be based on the fair and reasonable estimated cost of the items set forth below. For Extra Work where payment is based on time and material records in accordance with Article 25.3.3, the price to be paid for such Extra Work shall be the actual and reasonable cost of the items set forth below, calculated in accordance with the formula specified therein, if any.

26.2.1 Necessary materials (including transportation to the **Site**); plus

26.2.2 Necessary direct labor, including payroll taxes (subject to statutory wage caps) and supplemental benefits; plus

26.2.3 Sales and personal property taxes, if any, required to be paid on materials not incorporated into such **Extra Work**; plus

26.2.4 Reasonable rental value of Contractor-owned (or Subcontractor-owned, as applicable), necessary plant and equipment other than Small Tools, plus fuel/energy costs. Except for fuel costs for pick-up trucks which shall be reimbursed based on a consumption of five (5) gallons per shift, fuel costs shall be reimbursed based on actual costs or, in the absence of auditable documentation, the following fuel consumption formula per operating hour: (.035) x (HP rating) x (Fuel cost/gallon). Reasonable rental value is defined as the lower of either seventy-five percent of the monthly prorated rental rates established in "The AED Green Book, Rental Rates and Specifications for Construction Equipment" published by Equipment Watch (the "Green Book"), or seventy-five percent of the monthly prorated rental rates established in the "Rental Rate Blue Book for Construction Equipment" published by Equipment Watch (the "Blue Book") (the applicable Blue Book rate being for rental only without the addition of any operational costs listed in the Blue Book). The reasonable rental value is deemed to be inclusive of all operating costs except for fuel/energy consumption and equipment operator's wages/costs. For multiple shift utilization, reimbursement shall be calculated as follows: first shift shall be seventy-five (75%) percent of such rental rates; second shift shall be sixty (60%) percent of the first shift rate; and third shift shall be forty (40%) percent of the first shift rate. Equipment on standby shall be reimbursed at one-third (1/3) the prorated monthly rental rate. Contractor-owned (or Subcontractor-owned, as applicable) equipment includes equipment from rental companies affiliated with or controlled by the Contractor (or Subcontractor, as applicable), as determined by the **Commissioner**. In establishing cost reimbursement for nonoperating Contractor-owned (or Subcontractor-owned, as applicable) equipment (scaffolding, sheeting systems, road plates, etc.), the City may restrict reimbursement to a purchasesalvage/life cycle basis if less than the computed rental costs; plus

- 26.2.5 Necessary installation and dismantling of such plant and equipment, including transportation to and from the **Site**, if any, provided that, in the case of non-**Contractor**-owned (or non-**Subcontractor**-owned, as applicable) equipment rented from a third party, the cost of installation and dismantling are not allowable if such costs are included in the rental rate; plus
- 26.2.6 Necessary fees charged by governmental entities; plus

26.2.7 Necessary construction-related service fees charged by non-governmental entities, such as landfill tipping fees; plus

26.2.8 Reasonable rental costs of non-**Contractor**-owned (or non-**Subcontractor**-owned, as applicable) necessary plant and equipment other than **Small Tools**, plus fuel/energy costs. Except for fuel costs for pick-up trucks which shall be reimbursed based on a consumption of five (5) gallons per shift, fuel costs shall be reimbursed based on actual costs or, in the absence of auditable documentation, the following fuel consumption formula per hour of operation: (.035) x (HP rating) x (Fuel cost/gallon). In lieu of renting, the **City** reserves the right to direct the purchase of non-operating equipment (scaffolding, sheeting systems, road plates, etc.), with payment on a purchase-salvage/life cycle basis, if less than the projected rental costs; plus

26.2.9 Workers' Compensation Insurance, and any insurance coverage expressly required by the **City** for the performance of the **Extra Work** which is different than the types of insurance required by Article 22 and Schedule A of the General Conditions. The cost of Workers' Compensation Insurance is subject to applicable payroll limitation caps and shall be based upon the carrier's Manual Rate for such insurance derived from the applicable class Loss Cost ("LC") and carrier's Lost Cost Multiplier ("LCM") approved by the New York State Department of Financial Services, and with the exception of experience rating, rate modifiers as promulgated by the New York Compensation Insurance Rating Board ("NYCIRB"); plus

26.2.10 Additional costs incurred as a result of the **Extra Work** for performance and payment bonds; plus

26.2.11 Twelve percent (12%) percent of the total of items in Articles 26.2.1 through 26.2.5 as compensation for overhead, except that no percentage for overhead will be allowed on **Payroll Taxes** or on the premium portion of overtime pay or on sales and personal property taxes. Overhead shall include without limitation, all costs and expenses in connection with administration, management superintendence, small tools, and insurance required by Schedule A of the General Conditions other than Workers' Compensation Insurance; plus

26.2.12 Ten (10%) percent of the total of items in Articles 26.2.1 through 26.2.5, plus the items in Article 26.2.11, as compensation for profit, except that no percentage for profit will be allowed on **Payroll Taxes** or on the premium portion of overtime pay or on sales and personal property taxes; plus

26.2.13 Five (5%) percent of the total of items in Articles 26.2.6 through 26.2.10 as compensation for overhead and profit.

26.3 Where the **Extra Work** is performed in whole or in part by other than the **Contractor's** own forces pursuant to Article 26.2, the **Contractor** shall be paid, subject to pre-audit by the **Engineering Audit Officer**, the cost of such **Work** computed in accordance with Article 26.2 above, plus an additional allowance of five (5%) percent to cover the **Contractor's** overhead and profit.

26.4 Where a change is ordered, involving both **Extra Work** and omitted or reduced **Contract Work**, the **Contract** price shall be adjusted, subject to pre-audit by the **EAO**, in an amount based on the difference between the cost of such **Extra Work** and of the omitted or reduced **Work**.

26.5 Where the **Contractor** and the **Commissioner** can agree upon a fixed price for **Extra Work** in accordance with Article 25.3.2 or another method of payment for **Extra Work** in accordance with Article 25.3.4, or for **Extra Work** ordered in connection with omitted **Work**, such method, subject to pre-audit by the **EAO**, may, at the option of the **Commissioner**, be substituted for the cost plus a percentage method provided in Article 26.2; provided, however, that if the **Extra Work** is performed bya **Subcontractor**, the **Contractor** shall not be entitled to receive more than an additional allowance of five (5%) percent for overhead and profit over

the cost of such Subcontractor's Work as computed in accordance with Article 26.2.

# ARTICLE 27. RESOLUTION OF DISPUTES

27.1 All disputes between the **City** and the **Contractor** of the kind delineated in this Article 27.1 that arise under, or by virtue of, this **Contract** shall be finally resolved in accordance with the provisions of this Article 27 and the **PPB** Rules. This procedure for resolving all disputes of the kind delineated herein shall be the exclusive means of resolving any such disputes.

27.1.1 This Article 27 shall not apply to disputes concerning matters dealt with in other sections of the **PPB** Rules, or to disputes involving patents, copyrights, trademarks, or trade secrets (as interpreted by the courts of New York State) relating to proprietary rights in computer software.

27.1.2 This Article 27 shall apply only to disputes about the scope of **Work** delineated by the **Contract**, the interpretation of **Contract** documents, the amount to be paid for **Extra Work** or disputed work performed in connection with the **Contract**, the conformity of the **Contractor's Work** to the **Contract**, and the acceptability and quality of the **Contractor's Work**; such disputes arise when the **Engineer**, **Resident Engineer**, **Engineering Audit Officer**, or other designee of the **Commissioner** makes a determination with which the **Contractor** disagrees.

27.2 All determinations required by this Article 27 shall be made in writing clearly stated, with a reasoned explanation for the determination based on the information and evidence presented to the party making the determination. Failure to make such determination within the time required by this Article 27 shall be deemed a non-determination without prejudice that will allow application to the next level.

27.3 During such time as any dispute is being presented, heard, and considered pursuant to this Article 27, the **Contract** terms shall remain in force and the **Contractor** shall continue to perform **Work** as directed by the **ACCO** or the **Engineer**. Failure of the **Contractor** to continue **Work** as directed shall constitute a waiver by the **Contractor** of its claim.

27.4 Presentation of Disputes to Commissioner.

Notice of Dispute and Agency Response. The Contractor shall present its dispute in writing ("Notice of Dispute") to the Commissioner within thirty (30) Days of receiving written notice of the determination or action that is the subject of the dispute. This notice requirement shall not be read to replace any other notice requirements contained in the Contract. The Notice of Dispute shall include all the facts, evidence, documents, or other basis upon which the Contractor relies in support of its position, as well as a detailed computation demonstrating how any amount of money claimed by the Contractor in the dispute was arrived at. Within thirty (30) Days after receipt of the detailed written submission comprising the complete Notice of Dispute, the Engineer, Resident Engineer, Engineering Audit Officer, or other designee of the **Commissioner** shall submit to the **Commissioner** all materials he or she deems pertinent to the dispute. Following initial submissions to the Commissioner, either party may demand of the other the production of any document or other material the demanding party believes may be relevant to the dispute. The requested party shall produce all relevant materials that are not otherwise protected by a legal privilege recognized by the courts of New York State. Any question of relevancy shall be determined by the Commissioner whose decision shall be final. Willful failure of the Contractor to produce any requested material whose relevancy the Contractor has not disputed, or whose relevancy has been affirmatively determined, shall constitute a waiver by the Contractor of its claim.

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27.4.1 **Commissioner** Inquiry. The **Commissioner** shall examine the material and may, in his or her discretion, convene an informal conference with the **Contractor**, the **ACCO**, and the **Engineer**, **Resident Engineer**, **Engineering Audit Officer**, or other designee of the **Commissioner** to resolve the issue by mutual consent prior to reaching a determination. The **Commissioner** may seek such technical or other expertise as he or she shall deem appropriate, including the use of neutral mediators, and require any such additional material from either or both parties as he or she deems fit. The **Commissioner's** ability to render, and the effect of, a decision hereunder shall not be impaired by any negotiations in connection with the dispute presented, whether or not the **Commissioner** participated therein. The **Commissioner** may or, at the request of any party to the dispute, shall compel the participation of any **Other Contractor** with a contract related to the **Work** of this **Contractor** thus brought into the dispute resolution proceeding shall have the same rights and obligations under this Article 27 as the **Contractor** initiating the dispute.

27.4.2 **Commissioner** Determination. Within thirty (30) **Days** after the receipt of all materials and information, or such longer time as may be agreed to by the parties, the **Commissioner** shall make his or her determination and shall deliver or send a copy of such determination to the **Contractor**, the **ACCO**, and **Engineer**, **Resident Engineer**, **Engineering Audit Officer**, or other designee of the **Commissioner**, as applicable, together with a statement concerning how the decision may be appealed.

27.4.3 Finality of **Commissioner's** Decision. The **Commissioner's** decision shall be final and binding on all parties, unless presented to the Contract Dispute Resolution Board pursuant to this Article 27. The **City** may not take a petition to the Contract DisputeResolution Board. However, should the **Contractor** take such a petition, the **City** may seek, and the Contract Dispute Resolution Board may render, a determination less favorable to the **Contractor** and more favorable to the **City** than the decision of the **Commissioner**.

27.5 Presentation of Dispute to the **Comptroller**. Before any dispute may be brought by the **Contractor** to the Contract Dispute Resolution Board, the **Contractor** must first present its claim to the **Comptroller** for his or her review, investigation, and possible adjustment.

27.5.1 Time, Form, and Content of Notice. Within thirty (30) **Days** of its receipt of a decision by the **Commissioner**, the **Contractor** shall submit to the **Comptroller** and to the **Commissioner** a Notice of Claim regarding its dispute with the **Agency**. The Notice of Claim shall consist of (i) a brief written statement of the substance of the dispute, the amount of money, if any, claimed and the reason(s) the **Contractor** contends the disputewas wrongly decided by the **Commissioner**; (ii) a copy of the written decision of the **Commissioner**; and (iii) a copy of all materials submitted by the **Contractor** to the **Agency**, including the Notice of Dispute. The **Contractor** may not present to the **Comptroller** any material not presented to the **Commissioner**, except at the request of the **Comptroller**.

27.5.2 Response. Within thirty (30) **Days** of receipt of the Notice of Claim, the **Agency** shall make available to the **Comptroller** a copy of all material submitted by the **Agency** to the **Commissioner** in connection with the dispute. The **Agency** may not present to the **Comptroller** any material not presented to the **Commissioner** except at the request of the **Comptroller**.

27.5.3 **Comptroller** Investigation. The **Comptroller** may investigate the claim in dispute and, in the course of such investigation, may exercise all powers provided in Sections 7-201 and 7-203 of the Administrative Code. In addition, the **Comptroller** may demand of either party, and such party shall provide, whatever additional material the **Comptroller** deems pertinent to the claim, including original business records of the **Contractor**. Willful failure of the **Contractor** to produce within fifteen (15) **Days** any material requested by the **Comptroller** shall constitute a waiver by the **Contractor** of its claim. The **Comptroller** may also schedule an informal conference to be attended by the **Contractor**, **Agency** presentatives, and any other personnel desired by the **Comptroller**.

27.5.4 Opportunity of **Comptroller** to Compromise or Adjust Claim. The **Comptroller** shall have forty-five (45) **Days** from his or her receipt of all materials referred to in Article 27.5.3 to investigate the disputed claim. The period for investigation and compromise may be further extended by agreement between the **Contractor** and the **Comptroller**, to a maximum of ninety (90) **Days** from the **Comptroller's** receipt of all materials. The **Contractor** may not present its petition to the Contract Dispute Resolution Board until the period for investigation and compromise delineated in this Article 27.5.4 has expired. In compromising or adjusting any claim hereunder, the **Comptroller** may not revise or disregard the terms of the **Contract** between the parties.

27.6 Contract Dispute Resolution Board. There shall be a Contract Dispute Resolution Board composed of:

27.6.1 The chief administrative law judge of the Office of Administrative Trials and Hearings (OATH) or his/her designated OATH administrative law judge, who shall act as chairperson, and may adopt operational procedures and issue such orders consistent with this Article 27 as may be necessary in the execution of the Contract Dispute Resolution Board's functions, including, but not limited to, granting extensions of time to present or respond to submissions;

27.6.2 The **CCPO** or his/her designee; any designee shall have the requisitebackground to consider and resolve the merits of the dispute and shall not have participated personally and substantially in the particular matter that is the subject of the dispute orreport to anyone who so participated; and

27.6.3 A person with appropriate expertise who is not an employee of the **City**. This person shall be selected by the presiding administrative law judge from a prequalified panel of individuals, established and administered by OATH with appropriate background to act as decision-makers in a dispute. Such individual may not have a contract or dispute with the **City** or be an officer or employee of any company or organization that does, or regularly represents persons, companies, or organizations having disputes with the **City**.

27.7 Petition to the Contract Dispute Resolution Board. In the event the claim has not been settled or adjusted by the **Comptroller** within the period provided in this Article 27, the **Contractor**, within thirty (30) **Days** thereafter, may petition the Contract Dispute Resolution Board to review the

27.7.1 Form and Content of Petition by **Contractor**. The **Contractor** shall present its dispute to the Contract Dispute Resolution Board in the form of a petition, which shall include (i) a brief written statement of the substance of the dispute, the amount of money, if any, claimed, and the reason(s) the **Contractor** contends the dispute was wrongly decided by the **Commissioner**; (ii) a copy of the written Decision of the **Commissioner**, (iii) copies of all materials submitted by the **Contractor** to the Agency; (iv) a copy of the written material submitted by the **Contractor**, to the **Comptroller**. The **Contractor** shall concurrently submit four (4) complete sets of the Petition: one set to the **City** Corporation Counsel (Attn: Commercial and Real Estate Litigation Division) and three (3) sets to the Contract Dispute Resolution Board at OATH's offices with proof of service on the **City** Corporation Counsel. In addition, the **Contractor** shall submit a copy of the written statement of the substance of the dispute, cited in (i) above, to both the **Commissioner** and the **Comptroller**.

27.7.2 **Agency** Response. Within thirty (30) **Days** of its receipt of the Petition by the **City** Corporation Counsel, the **Agency** shall respond to the brief written statement of the **Contractor** and make available to the Contract Dispute Resolution Board all material it submitted to the **Commissioner** and **Comptroller**. Three (3) complete copies of the **Agency** response shall be provided to the Contract Dispute Resolution Board and one to the **Contractor**. Extensions of time for submittal of the **Agency** response shall be given as necessary upon a showing of good cause or, upon consent of the parties, for an initial period of up to thirty (30) Days.

27.7.3 Further Proceedings. The Contract Dispute Resolution Board shall permit the **Contractor** to present its case by submission of memoranda, briefs, and oral argument. The Contract Dispute Resolution Board shall also permit the **Agency** to present its case in response to the **Contractor** by submission of memoranda, briefs, and oral argument. If requested by the **City** Corporation Counsel, the **Comptroller** shall provide reasonable assistance in the preparation of the **Agency's** case. Neither the **Contractor** nor the **Agency** may support its case with any documentation or other material that was not considered by the **Comptroller**, unless requested by the Contract Dispute Resolution Board. The Contract Dispute Resolution Board, in its discretion, may seek such technical or other expert advice as it shall deem appropriate and may seek, on its own or upon application of a party, any such additional material from any party as it deems fit. The Contract Dispute Resolution Board, in its discretion, may combine more than one dispute between the parties for concurrent resolution.

27.7.4 Contract Dispute Resolution Board Determination. Within forty-five (45) **Days** of the conclusion of all written submissions and oral arguments, the Contract Dispute Resolution Board shall render a written decision resolving the dispute. In an unusually complex case, the Contract Dispute Resolution Board may render its decision in a longer period, not to exceed ninety (90) **Days**, and shall so advise the parties at the commencement of this period. The Contract Dispute Resolution Board's decision must be consistent with the terms of the **Contract**. Decisions of the Contract Dispute Resolution Board and shall not have precedential effect with respect to matters not before the Contract Dispute Resolution Board.

27.7.5 Notification of Contract Dispute Resolution Board Decision. The Contract Dispute Resolution Board shall send a copy of its decision to the **Contractor**, the **ACCO**, the Engineer, the **Comptroller**, the **City** Corporation Counsel, the CCPO, and the **PPB**. A decision in favor of the **Contractor** shall be subject to the prompt payment provisions of the **PPB** Rules. The

Required Payment Date shall be thirty (30) Days after the date the parties are formally notified of the Contract Dispute Resolution Board's decision.

27.7.6 Finality of Contract Dispute Resolution Board Decision. The Contract Dispute Resolution Board's decision shall be final and binding on all parties. Any party may seek review of the Contract Dispute Resolution Board's decision solely in the form of a challenge, filed within four (4) months of the date of the Contract Dispute Resolution Board's decision, in a court of competent jurisdiction of the State of New York, County of New York pursuant to Article 78 of the Civil Practice Law and Rules. Such review by the court shall be limited to the question of whether or not the Contract Dispute Resolution Board's decision was made in violation of lawful procedure, was affected by an error of **Law**, or was arbitrary and capricious or an abuse of discretion. No evidence or information shall be introduced or relied upon in such proceeding that was not presented to the Contract Dispute Resolution Board in accordance with this Article 27.

27.8 Any termination, cancellation, or alleged breach of the **Contract** prior to or during the pendency of any proceedings pursuant to this Article 27 shall not affect or impair the ability of the **Commissioner** or Contract Dispute Resolution Board to make a binding and final decision pursuant to this Article 27.

### ARTICLE 28. RECORD KEEPING FOR EXTRA OR DISPUTED WORK OR WORK ON ATIME & MATERIALS BASIS

28.1 While the **Contractor** or any of its **Subcontractors** is performing **Work** on a time and material basis or **Extra Work** on a time and material basis ordered by the **Commissioner** under Article 25, or where the **Contractor** believes that it or any of its **Subcontractors** is performing **Extra Work** but a final determination by **Agency** has not been made, or the **Contractor** or any of its **Subcontractors** is performing disputed **Work** (whether on or off the **Site**), or complying with a determination or order under protest in accordance with Articles 11, 27, and 30, in each such case the **Contractor** shall furnish the **Resident Engineer** daily with three (3) copies of written statements signed by the **Contractor's** representative at the **Site** showing:

28.1.1 The name, trade, and number of each worker employed on such **Work** or engaged in complying with such determination or order, the number of hours employed, and the character of the **Work** each is doing; and

28.1.2 The nature and quantity of any materials, plant and equipment furnished or used in connection with the performance of such **Work** or compliance with such determination or order, and from whom purchased or rented.

28.2 A copy of such statement will be countersigned by the **Resident Engineer**, noting thereon any items not agreed to or questioned, and will be returned to the **Contractor** within two (2) **Days** after submission.

28.3 The **Contractor** and its **Subcontractors**, when required by the **Commissioner**, or the **Comptroller**, shall also produce for inspection, at the office of the **Contractor** or **Subcontractor**, any and all of its books, bid documents, financial statements, vouchers, records, daily job diaries and reports, and cancelled checks, and any other documents relating to showing the nature and quantity of the labor, materials, plant and equipment actually used in the performance of such **Work**, or in complying with such determination or order, and the amounts expended therefor, and shall permit the **Commissioner** and the

Comptroller to make such extracts therefrom, or copies thereof, as they or either of them may desire.

28.4 In connection with the examination provided for herein, the **Commissioner**, upon demand therefor, will produce for inspection by the **Contractor** such records as the **Agency** may have with respect to such **Extra Work** or disputed **Work** performed under protest pursuant to order of the **Commissioner**, except those records and reports which may have been prepared for the purpose of determining the accuracy and validity of the **Contractor's** claim.

28.5 Failure to comply strictly with these requirements shall constitute a waiver of any claim for extra compensation or damages on account of the performance of such **Work** or compliance with such determination or order.

# ARTICLE 29. OMITTED WORK

29.1 If any **Contract Work** in a lump sum **Contract**, or if any part of a lump sum item in a unit price, lump sum, or percentage-bid **Contract** is omitted by the **Commissioner** pursuant to Article 33, the **Contract** price, subject to audit by the EAO, shall be reduced by a pro rata portion of the lump sum bid amount based upon the percent of **Work** omitted subject to Article 29.4. For the purpose of determining the pro rata portion of the lump sum bid amount, the bid breakdown submitted in accordance with Article 41 shall be considered, but shall not be the determining factor.

29.2 If the whole of a lump sum item or units of any other item is so omitted by the **Commissioner** in a unit price, lump sum, or percentage-bid **Contract**, then no payment will be made therefor except as provided in Article 29.4.

29.3 For units that have been ordered but are only partially completed, the unit price shall be reduced by a pro rata portion of the unit price bid based upon the percentage of **Work** omitted subject to Article 29.4.

29.4 In the event the **Contractor**, with respect to any omitted **Work**, has purchased any noncancelable material and/or equipment that is not capable of use except in the performance of this **Contract** and has been specifically fabricated for the sole purpose of this **Contract**, but not yet incorporated into the **Work**, the **Contractor** shall be paid for such material and/or equipment in accordance with Article 64.2.1(b); provided, however, such payment is contingent upon the **Contractor's** delivery of such material and/or equipment in acceptable condition to a location designated by the **City**.

29.5 The **Contractor** agrees to make no claim for damages or for loss of overhead and profit with regard to any omitted **Work**.

# ARTICLE 30. NOTICE AND DOCUMENTATION OF COSTS AND DAMAGES; PRODUCTION OF FINANCIAL RECORDS

30.1 If the **Contractor** shall claim to be sustaining damages by reason of any act or omission of the **City** or its agents, it shall submit to the **Commissioner** within forty-five (45) **Days** from the time such damages are first incurred, and every thirty (30) **Days** thereafter to the extent additional damages are being incurred for the same condition, verified statements of the details and the amounts of such damages, together with documentary evidence of such damages. The **Contractor** may submit any of the above statements within such additional time as may be granted by the **Commissioner** in writing upon written request therefor. Failure of the **Commissioner** to respond in writing to a written request for additional time within thirty (30) **Days** shall be deemed a denial of the request. On failure of the **Contractor** to strictly comply with

the foregoing provisions, such claims shall be deemed waived and no right to recover on such claims shall exist. Damages that the **Contractor** may claim in any action or dispute resolution procedure arising under or by reason of this **Contract** shall not be different from or in excess of the statements and documentation made pursuant to this Article 30. This Article 30.1 does not apply to claims submitted to the **Commissioner** pursuant to Article 11 or to claims disputing a determination under Article 27.

30.2 In addition to the foregoing statements, the **Contractor** shall, upon notice from the **Commissioner**, produce for examination at the **Contractor's** office, by the **Engineer**, **Architect** or **Project Manager**, all of its books of account, bills, invoices, payrolls, subcontracts, time books, daily reports, bank deposit books, bank statements, check books, and cancelled checks, showing all of its acts and transactions in connection with or relating to or arising by reason of this **Contract**, and submit itself and persons in its employment, for examination under oath by any person designated by the **Commissioner** or **Comptroller** to investigate claims made or disputes against the **City** under this **Contract**. At such examination, a duly authorized representative of the **Contractor** may be present.

30.3 In addition to the statements required under Article 28 and this Article 30, the **Contractor** and/or its **Subcontractor** shall, within thirty (30) **Days** upon notice from the **Commissioner** or **Comptroller**, produce for examination at the **Contractor's** and/or **Subcontractor's** office, by a representative of either the **Commissioner** or **Comptroller**, all of its books of account, bid documents, financial statements, accountant workpapers, bills, invoices, payrolls, subcontracts, time books, daily reports, bank deposit books, bank statements, check books, and cancelled checks, showing all of its acts and transactions in connection with or relating to or arising by reason of this **Contract**. Further, the **Contractor** and/or its **Subcontractor** shall submit any person in its employment, for examination under oath by any person designated by the **Commissioner** or **Comptroller** to investigate claims made or disputes against the **City** under this **Contract**. At such examination, a duly authorized representative of the **Contractor** may be present.

30.4 Unless the information and examination required under Article 30.3 is provided by the **Contractor** and/or its **Subcontractor** upon thirty (30) **Days'** notice from the **Commissioner** or **Comptroller**, or upon the **Commissioner's** or **Comptroller's** written authorization to extend the time to comply, the **City** shall be released from all claims arising under, relating to or by reason of this **Contract**, except for sums certified by the **Commissioner** to be due under the provisions of this **Contract**. It is further stipulated and agreed that no person has the power to waive any of the foregoing provisions and that in any action or dispute resolution procedure against the **City** to recover any sum in excess of the sums certified by the **Commissioner** to be due under or by reason of this **Contract**, must allege in its complaint and prove, at trial or during such dispute resolution procedure, compliance with the provisions of this Article 30.

30.5 In addition, after the commencement of any action or dispute resolution procedure by the **Contractor** arising under or by reason of this **Contract**, the **City** shall have the right to require the **Contractor** to produce for examination under oath, up until the trial of the action or hearing before the Contract Dispute Resolution Board, the books and documents described in Article 30.3 and submit itself and all persons in its employ for examination under oath. If this Article 30 is not complied with as required, then the **Contractor** hereby consents to the dismissal of the action or dispute resolutionprocedure.

# CHAPTER VII: POWERS OF THE RESIDENT ENGINEER, THE ENGINEER ORARCHITECT AND THE COMMISSIONER

### ARTICLE 31. THE RESIDENT ENGINEER

31.1 The **Resident Engineer** shall have the power to inspect, supervise, and control the performance

of the **Work**, subject to review by the **Commissioner**. The **Resident Engineer** shall not, however, have the power to issue an **Extra Work** order, except as specifically designated in writing bythe **Commissioner**.

### ARTICLE 32. THE ENGINEER OR ARCHITECT OR PROJECT MANAGER

32.1 The **Engineer** or **Architect** or **Project Manager**, in addition to those matters elsewhere herein delegated to the **Engineer** and expressly made subject to his/her determination, direction or approval, shall have the power, subject to review by the **Commissioner**:

32.1.1 To determine the amount, quality, and location of the **Work** to be paid for hereunder; and

32.1.2 To determine all questions in relation to the **Work**, to interpret the **Contract Drawings**, **Specifications**, and **Addenda**, and to resolve all patent inconsistencies or ambiguities therein; and

32.1.3 To determine how the **Work** of this **Contract** shall be coordinated with **Work** of **Other Contractors** engaged simultaneously on this **Project**, including the power to suspend any part of the **Work**, but not the whole thereof; and

32.1.4 To make minor changes in the **Work** as he/she deems necessary, provided such changes do not result in a net change in the cost to the **City** or to the **Contractor** of the **Work** to be done under the **Contract**; and

32.1.5 To amplify the **Contract Drawings**, add explanatory information and furnish additional **Specifications** and drawings, consistent with this **Contract**.

32.2 The foregoing enumeration shall not imply any limitation upon the power of the **Engineer** or **Architect** or **Project Manager**, for it is the intent of this **Contract** that all of the **Work** shall generally be subject to his/her determination, direction, and approval, except where the determination, direction or approval of someone other than the **Engineer** or **Architect** or **Project Manager** is expressly called for herein.

32.3 The **Engineer** or **Architect** or **Project Manager** shall not, however, have the power to issue an **Extra Work** order, except as specifically designated in writing by the **Commissioner**.

### **ARTICLE 33. THE COMMISSIONER**

33.1 The **Commissioner**, in addition to those matters elsewhere herein expressly made subject to his/her determination, direction or approval, shall have the power:

33.1.1 To review and make determinations on any and all questions in relation to this **Contract** and its performance; and

33.1.2 To modify or change this **Contract** so as to require the performance of **Extra Work** (subject, however, to the limitations specified in Article 25) or the omission of **Contract Work**; and

33.1.3 To suspend the whole or any part of the **Work** whenever in his/her judgment such suspension is required:

33.1.3(a) In the interest of the City generally; or

33.1.3(b) To coordinate the **Work** of the various contractors engaged on this **Project** pursuant to the provisions of Article 12; or

33.1.3(c) To expedite the completion of the entire **Project** even though the completion of this particular **Contract** may thereby be delayed.

### ARTICLE 34. NO ESTOPPEL

34.1 Neither the **City** nor any **Agency**, official, agent or employee thereof, shall be bound, precluded or estopped by any determination, decision, approval, order, letter, payment or certificate made or given under or in connection with this **Contract** by the **City**, the **Commissioner**, the **Engineer**, the **Resident Engineer**, or any other official, agent or employee of the **City**, either before or after the final completion and acceptance of the **Work** and payment therefor:

34.1.1 From showing the true and correct classification, amount, quality or character of the **Work** actually done; or that any such determination, decision, order, letter, payment or certificate was untrue, incorrect or improperly made in any particular, or that the **Work**, or any part thereof, does not in fact conform to the requirements of this **Contract**; and

34.1.2 From demanding and recovering from the **Contractor** any overpayment made to it, or such damages as the **City** may sustain by reason of the **Contractor's** failure to perform each and every part of its **Contract**.

### **CHAPTER VIII: LABOR PROVISIONS**

#### ARTICLE 35. EMPLOYEES

#### 35.1 The Contractor and its Subcontractors shall not employ on the Work:

35.1.1 Anyone who is not competent, faithful and skilled in the **Work** for which he/she shall be employed; and whenever the **Commissioner** shall inform the **Contractor**, in writing, that any employee is, in his/her opinion, incompetent, unfaithful or disobedient, that employee shall be discharged from the **Work** for thwith, and shall not again be employed upon it; or

35.1.2 Any labor, materials or means whose employment, or utilization during the course of this **Contract**, may tend to or in any way cause or result in strikes, work stoppages, delays, suspension of **Work** or similar troubles by workers employed by the **Contractor** or its **Subcontractors**, or by any of the trades working in or about the buildings and premises where **Work** is being performed under this **Contract**, or by **Other Contractors** or their **Subcontractors** pursuant to other contracts, or on any other building or premises owned or operated by the **City**, its **Agencies**, departments, boards or authorities. Any violation by the **Contractor** of this requirement may, upon certification of the **Commissioner**, be considered as proper and sufficient cause for declaring the **Contractor** to be in default, and for the **City** to take action against it as set forth in Chapter X of this **Contract**, or such other article of this **Contract** as the Commissioner may deem proper; or

35.1.3 In accordance with Section 220.3-e of the Labor Law of the State of New York (hereinafter "Labor Law"), the **Contractor** and its **Subcontractors** shall not employ on the **Work** any apprentice, unless he/she is a registered individual, under a bona fide program registered with the New York State Department of Labor. The allowable ratio of apprentices to journey-level workers in any craft classification shall not be greater than the ratio permitted to the **Contractor** as to its work force on any job under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered as above, shall be paid the wage rate determined by the **Comptroller** of the **City** for the classification of **Work** actually performed. The **Contractor** or **Subcontractor** will be required to furnish written evidence of the registration of its program and apprentices as well as all the appropriate ratios and wage rates, for the area of the construction prior to using any apprentices on the **Contract Work**.

35.2 If the total cost of the **Work** under this **Contract** is at least two hundred fifty thousand (\$250,000) dollars, all laborers, workers, and mechanics employed in the performance of the **Contract** on the public work site, either by the **Contractor**, **Subcontractor** or other person doing or contracting to do the whole or a part of the **Work** contemplated by the **Contract**, shall be certified prior to performing any **Work** as having successfully completed a course in construction safety and health approved by the United States Department of Labor's Occupational Safety and Health Administration that is at least ten (10) hours in duration.

35.3 In accordance with Local Law Nos. 30-2012 and 33-2012, codified at sections 6-132 and 12-113 of the Administrative Code, respectively,

35.3.1 The **Contractor** shall not take an adverse personnel action with respect to an officer or employee in retaliation for such officer or employee making a report of information concerning conduct which such officer or employee knows or reasonably believes to involve corruption, criminal activity, conflict of interest, gross mismanagement or abuse of authority by any officer or employee relating to this **Contract** to (a) the Commissioner of the Department of Investigation, (b) a member of the New York City Council, the Public Advocate, or the **Comptroller**, or (c) the **CCPO**, **ACCO**, **Agency** head, or **Commissioner**.

35.3.2 If any of the **Contractor**'s officers or employees believes that he or she has been the subject of an adverse personnel action in violation of Article 35.3.1, he or she shall be entitled to bring a cause of action against the **Contractor** to recover all relief necessary to make him or her whole. Such relief may include but is not limited to: (a) an injunction to restrain continued retaliation, (b) reinstatement to the position such employee would have had but for the retaliation or to an equivalent position, (c) reinstatement of full fringe benefits and seniority rights, (d) payment of two times back pay, plus interest, and (e) compensation for any special damages sustained as a result of the retaliation, including litigation costs and reasonable attorney's fees.

35.3.3 The **Contractor** shall post a notice provided by the **City** in a prominent and accessible place on any site where work pursuant to the **Contract** is performed that contains information about:

35.3.3(a) how its employees can report to the New York City Department of Investigation allegations of fraud, false claims, criminality or corruption arising outof or in connection with the **Contract**; and

35.3.3(b) the rights and remedies afforded to its employees under Administrative Code sections 7-805 (the New York City False Claims Act) and 12-113 (the Whistleblower Protection Expansion Act) for lawful acts taken in connection with the

reporting of allegations of fraud, false claims, criminality or corruption in connection with the **Contract**.

35.3.4 For the purposes of this Article 35.3, "adverse personnel action" includes dismissal, demotion, suspension, disciplinary action, negative performance evaluation, any action resulting in loss of staff, office space, equipment or other benefit, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected officer or employee.

35.3.5 This Article 35.3 is applicable to all of the **Contractor's Subcontractors** having subcontracts with a value in excess of \$100,000; accordingly, the **Contractor** shall include this rider in all subcontracts with a value a value in excess of \$100,000.

35.4 Article 35.3 is not applicable to this **Contract** if it is valued at \$100,000 or less. Articles 35.3.1, 35.3.2, 35.3.4, and 35.3.5 are not applicable to this **Contract** if it was solicited pursuant to a finding of an emergency.

35.5 Paid Sick Leave Law.

35.5.1 Introduction and General Provisions.

35.5.1(a) The Earned Sick Time Act, also known as the Paid Sick Leave Law ("PSLL"), requires covered employees who annually perform more than 80 hours of work in New York City to be provided with paid sick time<sup>2</sup>. Contractors of the **City**or of other governmental entities may be required to provide sick time pursuant to the PSLL.

35.5.1(b) The PSLL became effective on April 1, 2014, and is codified at Title20, Chapter 8, of the New York City Administrative Code. It is administered by the City's Department of Consumer Affairs ("DCA"); DCA's rules promulgated under the PSLL are codified at Chapter 7 of Title 6 of the Rules of the City of New York ("Rules").

35.5.1(c) The **Contractor** agrees to comply in all respects with the PSLL and the Rules, and as amended, if applicable, in the performance of this **Contract**. The **Contractor** further acknowledges that such compliance is a material term of this **Contract** and that failure to comply with the PSLL in performance of this **Contract** may result in its termination.

35.5.1(d) The **Contractor** must notify the **Agency Chief Contracting Officer** of the **Agency** with whom it is contracting in writing within ten (10) days of receiptof a complaint (whether oral or written) regarding the PSLL involving the performance of this **Contract**. Additionally, the **Contractor** must cooperate with DCA's education efforts and must comply with DCA's subpoenas and other document demands as set forth in the PSLL and Rules.

35.5.1(e) The PSLL is summarized below for the convenience of the **Contractor**. The **Contractor** is advised to review the PSLL and Rules in their entirety. On the

<sup>&</sup>lt;sup>2</sup> Pursuant to the PSLL, if fewer than five employees work for the same employer, as determined pursuant to New York City Administrative Code § 20-912(g), such employer has the option of providing such employees uncompensated sick time.

website www.nyc.gov/PaidSickLeave there are links to the PSLL and the associated Rules as well as additional resources for employers, such as Frequently Asked Questions, timekeeping tools and model forms, and an event calendar of upcoming presentations and webinars at which the **Contractor** can get more information about how to comply with the PSLL. The **Contractor** acknowledges that it is responsible for compliance with the PSLL notwithstanding any inconsistent language contained herein.

35.5.2 Pursuant to the PSLL and the Rules: Applicability, Accrual, and Use.

35.5.2(a) An employee who works within the City of New York for more than eighty hours in any consecutive 12-month period designated by the employer as its "calendar year" pursuant to the PSLL ("Year") must be provided sick time. Employers must provide a minimum of one hour of sick time for every 30 hours worked by an employee and compensation for such sick time must be provided at the greater of the employee's regular hourly rate or the minimum wage. Employers are not required to provide more than 40 hours of sick time to an employee in any Year.

35.5.2(b) An employee has the right to determine how much sick time he or she will use, provided that employers may set a reasonable minimum increment for the use of sick time not to exceed four hours per **Day**. In addition, an employee may carry over up to 40 hours of unused sick time to the following Year, provided that no employer is required to allow the use of more than forty hours of sick time in a Year or carry over unused paid sick time if the employee is paid for such unused sick time and the employer provides the employee with at least the legally required amount of paid sick time for such employee for the immediately subsequent Year on the first **Day** of such Year.

35.5.2(c) An employee entitled to sick time pursuant to the PSLL may use sick time for any of the following:

- i. such employee's mental illness, physical illness, injury, or health condition or the care of such illness, injury, or condition or such employee's need for medical diagnosis or preventive medical care;
- ii. such employee's care of a family member (an employee's child, spouse, domestic partner, parent, sibling, grandchild or grandparent, or the child or parent of an employee's spouse or domestic partner) who has a mental illness, physical illness, injury or health condition or who has a need for medical diagnosis or preventive medical care;
- iii. closure of such employee's place of business by order of a public official due to a public health emergency; or
- iv. such employee's need to care for a child whose school or childcare providerhas been closed due to a public health emergency.

35.5.2(d) An employer must not require an employee, as a condition of taking sick time, to search for a replacement. However, an employer may require an employee to provide: reasonable notice of the need to use sick time; reasonable documentation that the use of sick time was needed for a reason above if for an absence of more than three consecutive work days; and/or written confirmation that an employee used sick time pursuant to the PSLL. However, an employer may not require documentation specifying the nature of a medical condition or otherwise require disclosure of the details of a medical condition as a condition of providing sick time and health information obtained solely due to an employee's use of sick time pursuant to the PSLL must be treated by the

employer as confidential.

35.5.2(e) If an employer chooses to impose any permissible discretionary requirement as a condition of using sick time, it must provide to all employees a written policy containing those requirements, using a delivery method that reasonably ensures that employees receive the policy. If such employer has not provided its written policy, it may not deny sick time to an employee because of non-compliance with such a policy.

35.5.2(f) Sick time to which an employee is entitled must be paid no later than the payday for the next regular payroll period beginning after the sick time was used.

35.5.3 Exemptions and Exceptions. Notwithstanding the above, the PSLL does not apply to any of the following:

35.5.3(a) an independent contractor who does not meet the definition of employee under section 190(2) of the New York State Labor Law;

35.5.3(b) an employee covered by a valid collective bargaining agreement in effect on April 1, 2014, until the termination of such agreement;

35.5.3(c) an employee in the construction or grocery industry covered by a valid collective bargaining agreement if the provisions of the PSLL are expressly waived in such collective bargaining agreement;

35.5.3(d) an employee covered by another valid collective bargaining agreement if such provisions are expressly waived in such agreement and such agreement provides a benefit comparable to that provided by the PSLL for such employee;

35.5.3(e) an audiologist, occupational therapist, physical therapist, or speech language pathologist who is licensed by the New York State Department of Education and who calls in for work assignments at will, determines his or her own schedule, has the ability to reject or accept any assignment referred to him or her, and is paid an average hourly wage that is at least four times the federal minimum wage;

35.5.3(f) an employee in a work study program under Section 2753 of Chapter42 of the United States Code;

35.5.3(g) an employee whose work is compensated by a qualified scholarship program as that term is defined in the Internal Revenue Code, Section 117 of Chapter20 of the United States Code; or

35.5.3(h) a participant in a Work Experience Program (WEP) under section 336-c of the New York State Social Services Law.

35.5.4 Retaliation Prohibited. An employer may not threaten or engage in retaliation against an employee for exercising or attempting in good faith to exercise any right provided by the PSLL. In addition, an employer may not interfere with any investigation, proceeding, or hearing pursuant to the PSLL.

35.5.5 Notice of Rights.

35.5.5(a) An employer must provide its employees with written notice of their rights pursuant to the PSLL. Such notice must be in English and the primary language spoken

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by an employee, provided that DCA has made available a translation into such language. Downloadable notices are available on DCA's website at http://www.nyc.gov/html/dca/html/law/PaidSickLeave.shtml.

35.5.5(b) Any person or entity that willfully violates these notice requirements is subject to a civil penalty in an amount not to exceed fifty dollars for each employee who was not given appropriate notice.

35.5.6 Records. An employer must retain records documenting its compliance with the PSLL for a period of at least three years, and must allow DCA to access such records in furtherance of an investigation related to an alleged violation of the PSLL.

35.5.7 Enforcement and Penalties.

35.5.7(a) Upon receiving a complaint alleging a violation of the PSLL, DCA has the right to investigate such complaint and attempt to resolve it through mediation. Within 30 **Days** of written notification of a complaint by DCA, or sooner in certain circumstances, the employer must provide DCA with a written response and such other information as DCA may request. If DCA believes that a violation of the PSLL has occurred, it has the right to issue a notice of violation to the employer.

35.5.7(b) DCA has the power to grant an employee or former employee all appropriate relief as set forth in New York City Administrative Code § 20-924(d). Such relief may include, among other remedies, treble damages for the wages that should have been paid, damages for unlawful retaliation, and damages and reinstatement for unlawful discharge. In addition, DCA may impose on an employer found to have violated the PSLL civil penalties not to exceed \$500 for a first violation, \$750 for a second violation within two years of the first violation, and

\$1,000 for each succeeding violation within two years of the previous violation.

35.5.8 More Generous Polices and Other Legal Requirements. Nothing in the PSLL is intended to discourage, prohibit, diminish, or impair the adoption or retention of a more generous sick time policy, or the obligation of an employer to comply with any contract,

collective bargaining agreement, employment benefit plan or other agreement providing more generous sick time. The PSLL provides minimum requirements pertaining to sick time and does not preempt, limit or otherwise affect the applicability of any other law, regulation, rule, requirement, policy or standard that provides for greater accrual or use by employees of sick leave or time, whether paid or unpaid, or that extends other protections to employees. The PSLL may not be construed as creating or imposing any requirement in conflict with any federal or state law, rule or regulation.

35.6 HireNYC: Hiring and Reporting Requirements. This Article 35.6 applies to construction contracts of \$1,000,000 or more. The **Contractor** shall comply with the requirements of Articles 35.6.1-35.6.5 for all non-trades jobs (e.g., for an administrative position arising out of **Work** ant located in New York City). The **Contractor** shall reasonably cooperate with SBS and the **City** on specific outreach events, including "Hire-on-the-Spot" events, for the hiring of trades workers in connection with the **Work**. If provided elsewhere in this **Contract**, this **Contract** is subject to a project labor agreement.

35.6.1 Enrollment. The **Contractor** shall enroll with the HireNYC system, found at www.nyc.gov/sbs, within thirty (30) days after the registration of this **Contract** pursuant to Section 328 of the New York City Charter. The **Contractor** shall provide information about the business, designate a primary contact and say whether it intends to hire for any entry

to mid-level job opportunities arising from this **Contract** and located in New York City, and, if so, the approximate start date of the first hire.

#### 35.6.2 Job Posting Requirements.

35.6.2(a) Once enrolled in HireNYC, the **Contractor** agrees to update the HireNYC portal with all entry to mid-level job opportunities arising from this **Contract** and located in New York City, if any, which shall be defined as jobs requiring no more than an associate degree, as provided by the New York State Department of Labor (see Column F of https://labor.ny.gov/stats/2012-2022- NYS- Employment-Prospects.xls). The information to be updated includes the types of entry and mid-level positions made available from the work arising from the **Contract** and located in New York City, the number of positions, the anticipated schedule of initiating the hiring process for these positions, and the contact information for the **Contractor's** representative charged with overseeing hiring. The **Contractor** must update the HireNYC portal with any hiring needs arising from the contract and located in New York City, and the requirements of the jobs to be filled, no less than three weeks prior to the intended first day of employment for each new position, except with the permission of SBS, not to be unreasonably withheld, and must also update the HireNYC portal as set forth below.

35.6.2(b) After enrollment through HireNYC and submission of relevant information, SBS will work with the **Contractor** to develop a recruitment plan which will outline the candidate screening process, and will provide clear instructions as to when, where, and how interviews will take place. HireNYC will screen applicants based on employer requirements and refer applicants whom it believes are qualified to the **Contractor** for interviews. The **Contractor** must interview referred applicants whom it believes are qualified.

35.6.2(c) After completing an interview of a candidate referred by HireNYC, the **Contractor** must provide feedback via the portal within twenty (20) business days to indicate which candidates were interviewed and hired, if any. In addition, the **Contractor** shall provide the start date of new hires, and additional information

reasonably related to such hires, within twenty (20) business days after the start date. In the event the **Contractor** does not have any job openings covered by this Rider in any given year, the **Contractor** shall be required to provide an annual update to HireNYC to that effect. For this purpose, the reporting year shall run from the date of the registration of the **Contract** pursuant to Charter section 328 and each anniversary date.

35.6.2(d) These requirements do not limit the **Contractor's** ability to assess the qualifications of prospective workers, and to make final hiring and retention decisions. No provision of this Article 35.6 shall be interpreted so as to require the **Contractor** to employ any particular worker.

35.6.2(e) In addition, the provisions of this Article 35.6 shall not apply to positions that the **Contractor** intends to fill with employees employed pursuant to the job retention provision of Section 22-505 of the Administrative Code of the City of New York. The **Contractor** shall not be required to report such openings with HireNYC. However, the **Contractor** shall enroll with the HireNYC system pursuant to Article 35.6.1, above, and, if such positions subsequently become open, then the remaining provisions of this Article 35.6 will apply.

35.6.3 Breach and Liquidated Damages. If the **Contractor** fails to comply with the terms of the **ContrSact** and this Article 35.6 (1) by not enrolling its business with HireNYC; (2) by not informing HireNYC, as required, of open positions; or (3) by failing to interview a qualified candidate, the **Agency** may assess liquidated damages in the amount of two- thousand five hundred dollars (\$2,500) per breach. For all other events of noncompliance with the terms of this Article 35.6, the **Agency** may assess liquidated damages in the amount of five hundred dollars (\$500) per breach. For all other events the **Contractor** breaches the requirements of this Article 35.6 during the term of the **Contract**, the **City** may hold the **Contractor** in default of this **Contract**.

35.6.4 Audit Compliance. In addition to the auditing requirements set forth in other parts of the **Contract**, the **Contractor** shall permit SBS and the **City** to inspect any and all records concerning or relating to job openings or the hiring of individuals for work arising from the **Contract** and located in New York City. The **Contractor** shall permit an inspection within seven (7) business days of the request.

35.6.5 Other Reporting Requirements. The **Contractor** shall report to the **City**, on amonthly basis, all information reasonably requested by the **City** that is necessary for the **City** to comply with any reporting requirements imposed by **Law**, including any requirement that the **City** maintain a publicly accessible database. In addition, the **Contractor** agrees to comply with all reporting requirements imposed by **Law**, or as otherwise requested by the **City**.

35.6.6 Federal Hiring Requirements. If this **Contract** is federally funded (as indicated elsewhere in this Contract), the **Contractor** shall comply with all federal hiring requirements as may be set forth in this **Contract**, including, as applicable: (a) Section 3 of the HUD Act of 1968, which requires, to the greatest extent feasible, economic opportunities for 30 percent of new hires be given to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing and Executive Order 11246, which prohibits discrimination in employment due to race, color, religion, sex or national origin, and requires the implementation of goals for minority and female participation for work involving any construction trade.

### ARTICLE 36. NO DISCRIMINATION

36.1 The **Contractor** specifically agrees, as required by Labor Law Section 220-e, as amended, that:

36.1.1 In the hiring of employees for the performance of **Work** under this **Contract** or any subcontract hereunder, neither the **Contractor**, **Subcontractor**, nor any person acting on behalf of such **Contractor** or **Subcontractor**, shall by reason of race, creed, color or national origin discriminate against any citizen of the State of New York who is qualified and available to perform the **Work** to which the employment relates;

36.1.2 Neither the **Contractor**, **Subcontractor**, nor any person on its behalf shall, in any manner, discriminate against or intimidate any employee hired for the performance of **Work** under this **Contract** on account of race, creed, color or national origin;

36.1.3 There may be deducted from the amount payable to the **Contractor** by the **City** under this **Contract** a penalty of fifty (\$50.00) dollars for each person for each **Day** during which such person was discriminated against or intimidated in violation of the provisions of this

### Contract; and

36.1.4 This **Contract** may be cancelled or terminated by the **City** and all moneys due or to become due hereunder may be forfeited, for a second or any subsequent violation of the terms or conditions of this Article 36.

36.1.5 This Article 36 covers all construction, alteration and repair of any public building or public work occurring in the State of New York and the manufacture, sale, and distribution of materials, equipment, and supplies to the extent that such operations are performed within the State of New York pursuant to this **Contract**.

36.2 The **Contractor** specifically agrees, as required by Section 6-108 of the Administrative Code, as amended, that:

36.2.1 It shall be unlawful for any person engaged in the construction, alteration or repairof buildings or engaged in the construction or repair of streets or highways pursuant to a **Contract** with the **City** or engaged in the manufacture, sale or distribution of materials, equipment or supplies pursuant to a **Contract** with the **City** to refuse to employ or to refuse to continue in any employment any person on account of the race, color or creed of such person.

36.2.2 It shall be unlawful for any person or any servant, agent or employee of any person, described in Article 36.1.2, to ask, indicate or transmit, orally or in writing, directly or indirectly, the race, color or creed or religious affiliation of any person employed or seeking employment from such person, firm or corporation.

36.2.3 Breach of the foregoing provisions shall be deemed a violation of a material provision of this **Contract**.

36.2.4 Any person, or the employee, manager or owner of or officer of such firm or corporation who shall violate any of the provisions of this Article 36.2 shall, upon conviction thereof, be punished by a fine of not more than one hundred (\$100.00) dollars or by imprisonment for not more than thirty (30) **Days**, or both.

36.3 This **Contract** is subject to the requirements of Executive Order No. 50 (1980) ("E.O. 50"), as revised, and the rules and regulations promulgated thereunder. No contract will be awarded unless and until these requirements have been complied with in their entirety. By signing this **Contract**, the **Contractor** agrees that it:

36.3.1 Will not engage in any unlawful discrimination against any employee or applicantfor employment because of race, creed, color, national origin, sex, age, disability, marital status or sexual orientation with respect to all employment decisions including, but not limited to, recruitment, hiring, upgrading, demotion, downgrading, transfer, training, ratesof pay or other forms of compensation, layoff, termination, and all other terms and conditions of employment; and

36.3.2 Will not engage in any unlawful discrimination in the selection of **Subcontractors** on the basis of the owner's race, color, creed, national origin, sex, age, disability, marital status or sexual orientation; and

36.3.3 Will state in all solicitations or advertisements for employees placed by or on behalf of the **Contractor** that all qualified applicants will receive consideration for employment without unlawful discrimination based on race, creed, color, national origin, sex, age, citizens status,

disability, marital status, sexual orientation, or that it is an equal employment opportunity employer; and

36.3.4 Will send to each labor organization or representative of workers with which it has a collective bargaining agreement or other contract or memorandum of understanding, written notification of its equal employment opportunity commitments under E.O. 50 and the rules and regulations promulgated thereunder; and

36.3.5 Will furnish, before the award of the **Contract**, all information and reports, including an employment report, that are required by E.O. 50, the rules and regulations promulgated thereunder, and orders of the **City** Department of Business Services, Division of Labor Services (**DLS**) and will permit access to its books, records, and accounts by the **DLS** for the purposes of investigation to ascertain compliance with such rules, regulations, and orders.

36.4 The **Contractor** understands that in the event of its noncompliance with the nondiscrimination clauses of this **Contract** or with any of such rules, regulations, or orders, such noncompliance shall constitute a material breach of this **Contract** and noncompliance with E.O. 50 and the rules and regulations promulgated thereunder. After a hearing held pursuant to the rules of the **DLS**, the Director of the **DLS** may direct the **Commissioner** to impose any or all of the following sanctions:

36.4.1 Disapproval of the Contractor; and/or

36.4.2 Suspension or termination of the **Contract**; and/or

36.4.3 Declaring the **Contractor** in default; and/or

36.4.4 In lieu of any of the foregoing sanctions, the Director of the **DLS** may impose an employment program.

In addition to any actions taken under this **Contract**, failure to comply with E.O. 50 and the rules and regulations promulgated thereunder, in one or more instances, may result in a **City Agency** declaring the **Contractor** to be non-responsible in future procurements. The **Contractor** further agrees that it will refrain from entering into any **Contract** or **Contract** modification subject to E.O. 50 and the rules and regulations promulgated thereunder with a **Subcontractor** who is not in compliance with the requirements of E.O. 50 and the rules and regulations promulgated thereunder.

36.5 The **Contractor** specifically agrees, as required by Section 6-123 of the AdministrativeCode, that:

36.5.1 The **Contractor** will not engage in any unlawful discriminatory practice in violation of Title 8 of the Administrative Code; and

36.5.2 Any failure to comply with this Article 36.5 may subject the **Contractor** to the remedies set forth in Section 6-123 of the Administrative Code, including, where appropriate, sanctions such as withholding of payment, imposition of an employment program, finding the **Contractor** to be in default, cancellation of the **Contract**, or any other sanction or remedy provided by **Law** or **Contract**.

# ARTICLE 37. LABOR LAW REQUIREMENTS

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37.1 The Contractor shall strictly comply with all applicable provisions of the Labor Law, as

amended. Such compliance is a material term of this Contract.

37.2 The **Contractor** specifically agrees, as required by Labor Law Sections 220 and 220-d, as amended, that:

37.2.1 Hours of **Work**: No laborer, worker, or mechanic in the employ of the **Contractor**, **Subcontractor** or other person doing or contracting to do the whole or a part of the **Work** contemplated by this **Contract** shall be permitted or required to work more than eight (8) hours in any one (1) **Day**, or more than five (5) **Days** in any one (1) week, except as provided in the Labor Law and in cases of extraordinary emergency including fire, flood, or danger to life or property, or in the case of national emergency when so proclaimed by the President of the United States of America.

37.2.2 In situations in which there are not sufficient laborers, workers, and mechanics who may be employed to carry on expeditiously the **Work** contemplated by this **Contract** as a result of such restrictions upon the number of hours and **Days** of labor, and the immediate commencement or prosecution or completion without undue delay of the **Work** is necessary for the preservation of the **Site** and/or for the protection of the life and limb of the persons using the same, such laborers, workers, and mechanics shall be permitted or required to work more than eight (8) hours in any one (1) **Day**; or five (5) **Days** in any one (1) week; provided, however, that upon application of any **Contractor**, the **Commissioner** shall have first certified to the Commissioner of Labor of the State of New York (hereinafter "Commissioner of Labor") that such public **Work** is of an important nature and that a delay in carrying it to completion would result in serious disadvantage to the public; and provided, further, that such Commissioner of Labor 220.2.

37.2.3 Failure of the **Commissioner** to make such a certification to the Commissioner of Labor shall not entitle the **Contractor** to damages for delay or for any cause whatsoever.

37.2.4 Prevailing Rate of Wages: The wages to be paid for a legal day's **Work** to laborers, workers, or mechanics employed upon the **Work** contemplated by this **Contract** or upon any materials to be used thereon shall not be less than the "prevailing rate of wage" as defined in Labor Law Section 220, and as fixed by the **Comptroller** in the attached Schedule of Wage Rates and in updated schedules thereof. The prevailing wage rates and supplemental benefits to be paid are those in effect at the time the **Work** is being performed.

37.2.5 Requests for interpretation or correction in the Information for Bidders includes all requests for clarification of the classification of trades to be employed in the performance of the **Work** under this **Contract**. In the event that a trade not listed in the **Contract** is in fact employed during the performance of this **Contract**, the **Contractor** shall be required to obtain from the **Agency** the prevailing wage rates and supplementary benefits for the trades used and to complete the performance of this **Contract** at the price at which the **Contract** was awarded.

37.2.6 Minimum Wages: Except for employees whose wage is required to be fixedpursuant to Labor Law Section 220, all persons employed by the **Contractor** and any **Subcontractor** in the manufacture or furnishing of the supplies, materials, or equipment, or the furnishing of work, labor, or services, used in the performance of this **Contract**, shall be paid, without subsequent deduction or rebate unless expressly authorized by **Law**, not less than the sum mandated by **Law**.

37.3 Working Conditions: No part of the Work, labor or services shall be performed or rendered by

the **Contractor** in any plants, factories, buildings or surroundings or under working conditions which are unsanitary or hazardous or dangerous to the health and safety of employees engaged in the performance of this **Contract**. Compliance with the safety, sanitary, and factory inspection **Laws** of the state in which the **Work** is to be performed shall be prima facie evidence of compliance with this Article 37.3.

37.4 Prevailing Wage Enforcement: The **Contractor** agrees to pay for all costs incurred by the **City** in enforcing prevailing wage requirements, including the cost of any investigation conducted by or on behalf of the **Agency** or the **Comptroller**, where the **City** discovers a failure to comply with any of the requirements of this Article 37 by the **Contractor** or its **Subcontractor(s)**. The **Contractor** also agrees that, should it fail or refuse to pay for any such investigation, the **Agency** is hereby authorized to deduct from a **Contractor's** account an amount equal to the cost of such investigation.

37.4.1 The Labor Law Section 220 and Section 220-d, as amended, provide that this **Contract** shall be forfeited and no sum paid for any **Work** done hereunder on a second conviction for willfully paying less than:

37.4.1(a) The stipulated prevailing wage scale as provided in Labor Law section 220, as amended, or

37.4.1(b) The stipulated minimum hourly wage scale as provided in Labor Law section 220-d, as amended.

37.4.2 For any breach or violation of either working conditions (Article 37.3) or minimum wages (Article 37.2.6) provisions, the party responsible therefor shall be liable to the City for liquidated damages, which may be withheld from any amounts due on any contracts with the **City** of such party responsible, or may be recovered in actions brought by the **City** Corporation Counsel in the name of the **City**, in addition to damages for any other breachof this **Contract**, for a sum equal to the amount of any underpayment of wages due to any employee engaged in the performance of this Contract. In addition, the Commissioner shall have the right to cancel contracts and enter into other contracts for the completion of the original contract, with or without public letting, and the original Contractor shall be liable for any additional cost. All sums withheld or recovered as deductions, rebates, refunds, or underpayment of wages hereunder, shall be held in a special deposit account and shall be paid without interest, on order of the Comptroller, directly to the employees who have been paid less than minimum rates of pay as set forth herein and on whose account such sums were withheld or recovered, provided that no claims by employees for such payments shall be entertained unless made within two (2) years from the date of actual notice to the **Contractor** of the withholding or recovery of such sums by the **City**.

37.4.3 A determination by the **Comptroller** that a **Contractor** and/or its **Subcontractor** willfully violated Labor Law Section 220 will be forwarded to the **City's** five District Attorneys for review.

37.4.4 The **Contractor's** or **Subcontractor's** noncompliance with this Article 37.4 and Labor Law Section 220 may result in an unsatisfactory performance evaluation and the **Comptroller** may also find and determine that the **Contractor** or **Subcontractor** willfully violated the New York Labor **Law**.

37.4.4(a) An unsatisfactory performance evaluation for noncompliance with this Article 37.4 may result in a determination that the **Contractor** is a non-responsible bidder on subsequent procurements with the **City** and thus a rejection of a future award

of a contract with the City, as well as any other sanctions provided for byLaw.

37.4.4(b) Labor Law Section 220-b, as amended, provides that when two (2) final determinations have been rendered against a **Contractor** or **Subcontractor** within any consecutive six (6) year period determining that such **Contractor** or **Subcontractor** has willfully failed to pay the prevailing rate of wages or to provide supplements in accordance with the Labor Law and this Article 37.4, whether such failures were concurrent or consecutive and whether or not such final determinations concerning separate public works projects are rendered simultaneously, such **Contractor** or **Subcontractor** shall be ineligible to submit a bid on or be awarded any public works contract with the **City** for a period of five (5) years from the second final determination. If the final determination involves the falsification of payroll records or the kickback of wages or supplements, the **Contractor** or **Subcontractor** shall be ineligible to submit a bid on or be awarded any public works or the kickback of wages or supplements, the **Contractor** or **Subcontractor** shall be ineligible to submit a bid on or be awarded any public works or the kickback of wages or supplements, the **Contractor** or **Subcontractor** shall be ineligible to submit a bid on or be awarded any public works contract with the **City** for a period of five (5) years from the **City** for a period of five (5) years from the **City** for a period of five (5) years from the **City** for a period of five (5) years from the **City** for a period of five (5) years from the **First** final determination.

37.4.4(c) Labor Law Section 220, as amended, provides that the **Contractor** or **Subcontractor** found to have violated this Article 37.4 may be directed to make payment of wages or supplements including interest found to be due, and the **Contractor** or **Subcontractor** may be directed to make payment of a further sum as a civil penalty in an amount not exceeding twenty-five (25%) percent of the total amount found to be due.

37.5 The **Contractor** and its **Subcontractors** shall within ten (10) **Days** after mailing of a Noticeof Award or written order, post in prominent and conspicuous places in each and every plant, factory, building, and structure where employees of the **Contractor** and its **Subcontractors** engaged in the performance of this **Contract** are employed, notices furnished by the **City**, in relation to prevailing wages and supplements, minimum wages, and other stipulations contained in Sections 220 and 220-h of the Labor Law, and the **Contractor** and its **Subcontractors** shall continue to keep such notices posted in such prominent and conspicuous places until **Final Acceptance** of the supplies, materials, equipment, or **Work**, labor, or services required to be furnished or rendered under this **Contract**.

37.6 The **Contractor** shall strictly comply with all of the provisions of Articles 37.6.1 through 37.6.5, and provide for all workers, laborers or mechanics in its employ, the following:

37.6.1 Notices Posted At Site: Post, in a location designated by the City, schedules of prevailing wages and supplements for this **Project**, a copy of all re-determinations of such schedules for the **Project**, the Workers' Compensation Law Section 51 notice, all other notices required by Law to be posted at the Site, the City notice that this **Project** is a public works project on which each worker is entitled to receive the prevailing wages and supplements for the occupation at which he or she is working, and all other notices which the City directs the **Contractor** to post. The **Contractor** shall provide a surface for such notices which is satisfactory to the City. The **Contractor** shall maintain and keep current such notices in a legible manner and shall replace any notice or schedule which is damaged, defaced, illegible or removed for any reason. The **Contractor** shall post such notices before commencing any **Work** on the **Site** and shall maintain such notices until all **Work**on the **Site** is complete; and

37.6.2 Daily **Site** Sign-in Sheets: Maintain daily **Site** sign-in sheets, and require that **Subcontractors** maintain daily **Site** sign-in sheets for its employees, which include blank spaces for an employee's name to be both printed and signed, job title, date started and Social Security number, the time the employee began work and the time the employee left

work, until **Final Acceptance** of the supplies, materials, equipment, or **Work**, labor, or services to be furnished or rendered under this **Contract** unless exception is granted by the **Comptroller** upon application by the **Agency**. In the alternative, subject to the approval of the **CCPO**, the **Contractor** and **Subcontractor** may maintain an electronic or biometric sign-in system, which provides the information required by this Article 37.6.2; and

37.6.3 Individual Employee Information Notices: Distribute a notice to each worker, laborer or mechanic employed under this **Contract**, in a form provided by the **Agency**, that this **Project** is a public works project on which each worker, laborer or mechanic is entitled to receive the prevailing rate of wages and supplements for the occupation at which he orshe is If the total cost of the Work under this Contract is at least two hundred fifty working. thousand (\$250,000) dollars, such notice shall also include a statement that each worker, laborer or mechanic must be certified prior to performing any Work as having successfully completed a course in construction safety and health approved by the United States Department of Labor's Occupational Safety and Health Administration that is at least ten (10) hours in duration. Such notice shall be distributed to each worker before he or she starts performing any Work of this Contract and with the first paycheck after July first of each year. "Worker, laborer or mechanic" includes employees of the Contractor and all Subcontractors and all employees of suppliers entering the Site. At the time of distribution, the Contractor shall have each worker, laborer or mechanic sign a statement, in a form provided by the Agency, certifying that the worker has received the notice required by this Article 37.6.3, which signed statement shall be maintained with the payroll records required by this **Contract**; and

37.6.3(a) The **Contractor** and each **Subcontractor** shall notify each worker, laborer or mechanic employed under this **Contract** in writing of the prevailing rate of wages for their particular job classification. Such notification shall be given to every worker, laborer, and mechanic on their first pay stub and with every pay stub thereafter; and

37.6.4 **Site** Laminated Identification Badges: The **Contractor** shall provide laminated identification badges which include a photograph of the worker's, laborer's or mechanic's face and indicate the worker's, laborer's or mechanic's name, trade, employer's name, and employment starting date (month/day/year). Further, the **Contractor** shall require as acondition of employment on the **Site**, that each and every worker, laborer or mechanic wear the laminated identification badge at all times and that it may be seen by any representative of the **City**. The **Commissioner** may grant a written waiver from the requirement that the laminated identification badge include a photograph if the **Contractor** demonstrates that the identity of an individual wearing a laminated identification badge can be easily verified by another method; and

37.6.5 Language Other Than English Used On **Site**: Provide the **ACCO** notice when three (3) or more employees (worker and/or laborer and/or mechanic) on the **Site**, at any time, speak a language other than English. The **ACCO** will then provide the **Contractor** the notices described in Article 37.6.1 in that language or languages as may be required. The **Contractor** is responsible for all distributions under this Article 37; and

37.6.6 Provision of Records: The **Contractor** and **Subcontractor**(s) shall produce within five (5) **Days** on the **Site** of the **Work** and upon a written order of the **Engineer**, the **Commissioner**, the **ACCO**, the **Agency EAO**, or the **Comptroller**, such records as are required to be kept by this Article 37.6; and

37.6.7 The **Contractor** and **Subcontractor**(s) shall pay employees by check or direct deposit. If this **Contract** is for an amount greater than one million (\$1,000,000) dollars, checks issued by the **Contractor** to covered employees shall be generated by a payroll service or automated payroll system (an in-house system may be used if approved by the **Agency**). For any subcontract for an amount greater than seven hundred fifty thousand (\$750,000) dollars, checks issued by a **Subcontractor** to covered employees shall be generated by a payroll service or automated payroll system (an in-house system may be used if approved by the **Agency**). For any subcontract for an amount greater than seven hundred fifty thousand (\$750,000) dollars, checks issued by a **Subcontractor** to covered employees shall be generated by a payroll service or automated payroll system (an in-house system may be used if approved by the **Agency**); and

37.6.8 The failure of the **Contractor** or **Subcontractor**(s) to comply with the provisions of Articles 37.6.1 through 37.6.7 may result in the **Commissioner** declaring the **Contractor** in default and/or the withholding of payments otherwise due under the **Contract**.

37.7 The **Contractor** and its **Subcontractors** shall keep such employment and payroll records as are required by Section 220 of the Labor Law. The failure of the **Contractor** or **Subcontractor(s)** to comply with the provisions of this Article 37.7 may result in the **Commissioner** declaring the **Contractor** in default and/or the withholding of payments otherwise due under the **Contract.** 

37.8 At the time the **Contractor** makes application for each partial payment and for final payment, the **Contractor** shall submit to the **Commissioner** a written payroll certification, in the form provided by this **Contract**, of compliance with the prevailing wage, minimum wage, and other provisions and stipulations required by Labor Law Section 220 and of compliance with the training requirements of Labor Law Section 220-h set forth in Article 35.2. This certification of compliance shall be a condition precedent to payment and no payment shall be made to the **Contractor** unless and until each such certification shall have been submitted to and received by the **Commissioner**.

37.9 This **Contract** is executed by the **Contractor** with the express warranty and representation that the **Contractor** is not disqualified under the provisions of Section 220 of the Labor Law from the award of the **Contract**.

37.10 Any breach or violation of any of the foregoing shall be deemed a breach or violation of a material provision of this **Contract**, and grounds for cancellation thereof by the **City**.

# ARTICLE 38. PAYROLL REPORTS

38.1 The **Contractor** and its **Subcontractor**(s) shall maintain on the **Site** during the performance of the **Work** the original payrolls or transcripts thereof which the **Contractor** and its **Subcontractor**(s) are required to maintain and shall submit such original payrolls or transcripts, subscribed and affirmed by it as true, within thirty (30) **Days** after issuance of its first payroll, and every thirty (30) **Days** thereafter, pursuant to Labor Law Section 220(3-a)(a)(iii). The **Contractor** and **Subcontractor**(s) shall submit such original payrolls or transcripts along with each and every payment requisition. If payment requisitions are not submitted at least once a month, the **Contractor** and its **Subcontractor**(s) shall submit original payrolls and transcripts both along with its payment requisitions and independently of its payment requisitions.

38.2 The **Contractor** shall maintain payrolls or transcripts thereof for six (6) years from the date of completion of the **Work** on this **Contract**. If such payrolls and transcripts are maintained outside of New York City after the completion of the **Work** and their production is required pursuant to this Article 38, the **Contractor** shall produce such records in New York City upon request by the City.

38.3 The **Contractor** and **Subcontractor**(s) shall comply with any written order, direction, or request made by the **Engineer**, the **Commissioner**, the **ACCO**, the **Agency EAO**, the **Agency Labor Law** 

**Investigator(s)**, or the **Comptroller**, to provide to the requesting party any of the following information and/or records within five (5) **Days** of such written order, direction, or request:

38.3.1 Such original payrolls or transcripts thereof subscribed and affirmed by it as true and the statements signed by each worker pursuant to this Chapter VIII; and/or

38.3.2 Attendance sheets for each **Day** on which any employee of the **Contractor** and/or any of the **Subcontractor(s)** performed **Work** on the **Site**, which attendance sheet shall be in a form acceptable to the **Agency** and shall provide information acceptable to the **Agency** to identify each such employee; and/or

38.3.3 Any other information to satisfy the **Engineer**, the **Commissioner**, the **ACCO**, the **Agency EAO**, the **Agency Labor Law Investigator(s)** or the **Comptroller**, that this Chapter VIII and the Labor Law, as to the hours of employment and prevailing rates of wages and/or supplemental benefits, are being observed.

38.4 The failure of the **Contractor** or **Subcontractor**(s) to comply with the provisions of Articles 38.1 and/or 38.2 may result in the **Commissioner** declaring the **Contractor** in default and/or the withholding of payments otherwise due under the **Contract**.

## ARTICLE 39. DUST HAZARDS

39.1 Should a harmful dust hazard be created in performing the **Work** of this **Contract**, for the elimination of which appliances or methods have been approved by the Board of Standards and Appeals of the City of New York, such appliances and methods shall be installed, maintained, and effectively operated during the continuance of such harmful dust hazard. Failure to comply with this provision after notice shall make this **Contract** voidable at the sole discretion of the **City**.

# CHAPTER IX: PARTIAL AND FINAL PAYMENTS

# ARTICLE 40. CONTRACT PRICE

40.1 The **City** shall pay, and the **Contractor** agrees to accept, in full consideration for the **Contractor's** performance of the **Work** subject to the terms and conditions hereof, the lump sum priceor unit prices for which this **Contract** was awarded, plus the amount required to be paid for any **Extra Work** ordered by the **Commissioner** under Article 25, less credit for any **Work** omitted pursuant to Article 29.

### ARTICLE 41. BID BREAKDOWN ON LUMP SUM

41.1 Within fifteen (15) **Days** after the commencement date specified in the **Notice to Proceed** or **Order to Work**, unless otherwise directed by the **Resident Engineer**, the **Contractor** shall submitto the **Resident Engineer** a breakdown of its bid price, or of lump sums bid for items of the **Contract**, showing the various operations to be performed under the **Contract**, as directed in the progress schedule required under Article 9, and the value of each of such operations, the total of such items to equal the lump sum price bid. Said breakdown must be approved in writing by the **Resident Engineer**.

41.2 No partial payment will be approved until the **Contractor** submits a bid breakdown that is acceptable to the **Resident Engineer**.

41.3 The **Contractor** shall also submit such other information relating to the bid breakdown as directed by the **Resident Engineer**. Thereafter, the breakdown may be used only for checking the **Contractor's** applications for partial payments hereunder, but shall not be binding upon the **City**, the **Commissioner**, or the **Engineer** for any purpose whatsoever.

## ARTICLE 42. PARTIAL PAYMENTS

42.1 From time to time as the **Work** progresses satisfactorily, but not more often than once each calendar month (except where the **Commissioner** approves in writing the submission of invoices on a more frequent basis and for invoices relating to **Work** performed pursuant to a change order), the **Contractor** may submit to the **Engineer** a requisition for a partial payment in the prescribed form, which shall contain an estimate of the quantity and the fair value of the **Work** done during the payment period.

42.2 Partial payments may be made for materials, fixtures, and equipment in advance of their actual incorporation in the **Work**, as the **Commissioner** may approve, and upon the terms and conditions set forth in the General Conditions.

42.3 The **Contractor** shall also submit to the **Commissioner** in connection with every application for partial payment a verified statement in the form prescribed by the **Comptroller** setting forth the information required under Labor Law Section 220-a.

42.4 Within thirty (30) **Days** after receipt of a satisfactory payment application, and within sixty (60) **Days** after receipt of a satisfactory payment application in relation to **Work** performed pursuant to a change order, the **Engineer** will prepare and certify, and the **Commissioner** will approve, a voucher for a partial payment in the amount of such approved estimate, less any and all deductions authorized to be made by the **Commissioner** under the terms of this **Contract** or by **Law**.

# ARTICLE 43. PROMPT PAYMENT

43.1 The Prompt Payment provisions of the **PPB** Rules in effect at the time of the bid will be applicable to payments made under this **Contract**. The provisions require the payment to the **Contractor** of interest on payments made after the required payment date, except as set forth in the **PPB** Rules.

43.2 The **Contractor** shall submit a proper invoice to receive payment, except where the **Contract** provides that the **Contractor** will be paid at predetermined intervals without having to submit an invoice for each scheduled payment.

43.3 Determination of interest due will be made in accordance with the **PPB** Rules.

43.4 If the **Contractor** is paid interest, the proportionate share(s) of that interest shall be forwarded by the **Contractor** to its **Subcontractor**(s).

43.5 The **Contractor** shall pay each **Subcontractor** or **Materialman** not later than seven (7) **Days** after receipt of payment out of amounts paid to the **Contractor** by the **City** for **Work** performed by the **Subcontractor** or **Materialman** under this **Contract**.

43.5.1 If **Contractor** fails to make any payment to any **Subcontractor** or **Materialman** within seven (7) **Days** after receipt of payment by the **City** pursuant to this Article 43.5,

then the **Contractor** shall pay interest on amounts due to such **Subcontractor** or **Materialman** at the rate of interest in effect on the date such payment is made by the **Contractor** computed in accordance with Section 756-b (1)(b) of the New York General Business Law. Accrual of interest shall commence on the **Day** immediately following the expiration of the seventh **Day** following receipt of payment by the **Contractor** from the **City** and shall end on the date on which payment is made.

43.6 The **Contractor** shall include in each of its subcontracts a provision requiring each **Subcontractor** to make payment to each of its **Subcontractors** or **Materialmen** for **Work** performed under this **Contract** in the same manner and within the same time period set forth above.

## **ARTICLE 44. SUBSTANTIAL COMPLETION PAYMENT**

## 44.1 The **Contractor** shall submit with the **Substantial Completion** requisition:

44.1.1 A final verified statement of any pending Article 27 disputes in accordance with the **PPB** Rules and this **Contract** and any and all alleged claims against the **City**, in any way connected with or arising out of this **Contract** (including those as to which details may have been furnished pursuant to Articles 11, 27, 28, and 30) setting forth with respect to each such claim the total amount thereof, the various items of labor and materials included therein, and the alleged value of each item; and if the alleged claim be one for delay, the alleged cause of each such delay, the period or periods of time, giving the dates when the **Contractor** claims the performance of the **Work** or a particular part thereof was delayed, and an itemized statement and breakdown of the amount claimed for each such delay.

44.1.1(a) With respect to each such claim, the **Commissioner**, the **Comptroller** and, in the event of litigation, the **City** Corporation Counsel shall have the same right to inspect, and to make extracts or copies of, the **Contractor's** books, vouchers, records, etc., as is referred to in Articles 11, 27, 28, and 30. Nothing contained in this Article 44.1.1(a) is intended to or shall relieve the **Contractor** from the obligation of complying strictly with Articles 11, 27, 28, and 30. The **Contractor** is warned that unless such claims are completely set forth as herein required, the **Contractor** upon acceptance of the **Substantial Completion** payment pursuant to this Article 44, will have waived any such claims.

# 44.1.2 A Final Approved Punch List.

44.1.3 Where required, a request for an extension of time to achieve **Substantial Completion** or final extension of time.

44.2 The **Commissioner** shall issue a voucher calling for payment of any part or all of the balance due for **Work** performed under the **Contract**, including monies retained under Article 21, less any and all deductions authorized to be made by the **Commissioner**, under this **Contract** or by **Law**, and less twice the amount the **Commissioner** considers necessary to ensure the completion of the balance of the **Work** by the **Contractor**. Such a payment shall be considered a partial and not a final payment. No **Substantial Completion** payment shall be made under this Article 44 where the **Contractor** failed to complete the **Work** within the time fixed for such completion in the Schedule A of the General Conditions, or within the time to which completion may have been extended, until an extension or extensions of time for the completion of **Work** have been acted upon pursuant to Article 13.

44.3 No further partial payments shall be made to the **Contractor** after **Substantial Completion**, except the **Substantial Completion** payment and payment pursuant to any **Contractor's** requisition that were properly filed with the **Commissioner** prior to the date of **Substantial Completion**; however, the **Commissioner** may grant a waiver for further partial payments after the date of **Substantial Completion** to permit payments for change order **Work** and/or release of retainage and deposits pursuant to Articles 21 and 24. Such waiver shall be in writing.

44.4 The **Contractor** acknowledges that nothing contained in this Article 44 is intended to or shall in any way diminish the force and effect of Article 13.

#### ARTICLE 45. FINAL PAYMENT

45.1 After completion and **Final Acceptance** of the **Work**, the **Contractor** shall submit all required certificates and documents, together with a requisition for the balance claimed to be due under the **Contract**, less the amount authorized to be retained for maintenance under Article 24. Such submission shall be within 90 days of the date of the **Commissioner's** written determination of **Final Acceptance**, or within such additional time as may be granted by the **Commissioner** in writing. If the **Contractor** fails to submit all required certificates and documents within the time allowed, no payment of the balance claimed shall be made to the **Contractor** and the **Contractor** shall be deemed to have forfeited its right to payment of any balance claimed. A verified statement similar to that required in connection with applications for partial payments shall also be submitted to the **Commissioner**.

45.2 Amended Verified Statement of Claims: The Contractor shall also submit with the final requisition any amendments to the final verified statement of any pending dispute resolution procedures in accordance with the **PPB** Rules and this **Contract** and any and all alleged claims against the **City**, in any way connected with or arising out of this Contract (including those as to which details may have been furnished pursuant to Articles 11, 27, 28, and 30) that have occurred subsequent to Substantial Completion, setting forth with respect to each such claim the total amount thereof, the various items of labor and materials included therein, and the alleged value of each such item; and if the alleged claim be one for delay, the alleged cause of each such delay, the period or periods of time, giving the dates when the **Contractor** claims the performance of the Work or a particular part thereof was delayed, and an itemized statement and breakdown of the amount claimed for each such delay. With reference to each such claim, the Commissioner, the Comptroller and, in the event of litigation, the City Corporation Counsel shall have the same right to inspect, and to make extracts or copies of, the Contractor's books, vouchers, records, etc., as is referred to in Articles 11, 27, 28, and 30. Nothing contained in this Article 45.2, is intended to or shall relieve the Contractor from the obligation of complying strictly with Articles 11, 27, 28, and 30. The Contractor is warned that unless such claims are completely set forth as herein required, the Contractor, upon acceptance of the Final Payment pursuant to Article 46, will have waived any such claims.

45.3 Preparation of Final Voucher: Upon determining the balance due hereunder other than on account of claims, the **Engineer** will prepare and certify, for the Commissioner's approval, a voucher for final payment in that amount less any and all deductions authorized to be made by the **Commissioner** under this **Contract** or by **Law**. In the case of a lump sum **Contract**, the **Commissioner** shall certify the voucher for final payment within thirty (30) **Days** from the date of completion and acceptance of the **Work**, provided all requests for extensions of time have been acted upon.

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45.3.1 All prior certificates and vouchers upon which partial payments were made, being merely estimates made to enable the **Contractor** to prosecute the **Work** more advantageously, shall be subject to correction in the final voucher, and the certification of the **Engineer** 

thereon and the approval of the **Commissioner** thereof, shall be conditions precedent to the right of the **Contractor** to receive any money hereunder. Such final voucher shall be binding and conclusive upon the **Contractor**.

45.3.2 Payment pursuant to such final voucher, less any deductions authorized to be made by the **Commissioner** under this **Contract** or by **Law**, shall constitute the final payment, and shall be made by the **Comptroller** within thirty (30) **Days** after the filing of such voucher in his/her office.

45.4 The **Contractor** acknowledges that nothing contained in this Article 45 is intended to or shall in any way diminish the force and effect of Article 13.

## ARTICLE 46. ACCEPTANCE OF FINAL PAYMENT

46.1 The acceptance by the **Contractor**, or by anyone claiming by or through it, of the final payment, whether such payment be made pursuant to any judgment of any court, or otherwise, shall constitute and operate as a release of the **City** from any and all claims of and liability to the **Contractor** for anything heretofore done or furnished for the **Contractor** relating to or arising out of this **Contract** and the **Work** done hereunder, and for any prior act, neglect or default on the part of the **City** or any of its officials, agents or employees, excepting only a claim against the **City** for the amounts deducted or retained in accordance with the terms and provisions of this **Contract** or by **Law**, and excepting any claims, not otherwise waived, or any pending dispute resolution procedures which are contained in the verified statement filed with the **Contractor's** substantial and final requisitions pursuant to Articles 44and 45.

46.2 The **Contractor** is warned that the execution by it of a release, in connection with the acceptance of the final payment, containing language purporting to reserve claims other than those herein specifically excepted from the operation of this Article 46, or those for amounts deducted by the **Commissioner** from the final requisition or from the final payment as certified by the **Engineer** and approved by the **Commissioner**, shall not be effective to reserve such claims, anything stated to the **Contractor** orally or in writing by any official, agent or employee of the **City** to the contrary notwithstanding.

46.3 Should the **Contractor** refuse to accept the final payment as tendered by the **Comptroller**, it shall constitute a waiver of any right to interest thereon.

46.4 The **Contractor**, however, shall not be barred by this Article 46 from commencing an action for breach of **Contract** to the extent permitted by **Law** and by the terms of the **Contract** for any claims that are contained in the verified statement filed with the **Contractor's** substantial and final requisitions pursuant to Articles 44 and 45 or that arose after submission of the final payment requisition, provided that a detailed and verified statement of claim is served upon the contracting **Agency** and **Comptroller** not later than forty (40) **Days** after the making of such final payment by electronic funds transfer (EFT)or the mailing of such final payment. The statement shall specify the items upon which the claim will be based and any such claim shall be limited to such items.

# ARTICLE 47. APPROVAL BY PUBLIC DESIGN COMMISSION

47.1 All works of art, including paintings, mural decorations, stained glass, statues, bas-reliefs, and other sculptures, monuments, fountains, arches, and other structures of a permanent character intended for ornament or commemoration, and every design of the same to be used in the performance of this **Contract**, and the design of all bridges, approaches, buildings, gates, fences, lamps, or structures to be erected, pursuant

to the terms of this **Contract**, shall be submitted to the Art Commission, d/b/a the Public Design Commission of the City of New York, and shall be approved by the Public Design Commission prior to the erection or placing in position of the same. The final payment shall not become due or payable under this **Contract** unless and until the Public Design Commission shall certify that the design for the **Work** herein contracted for has been approved by the said Public Design Commission, and that the same has been executed in substantial accordance with the design so approved, pursuant to the provisions of Chapter 37, Section 854 of the **City** Charter, as amended.

#### **CHAPTER X: CONTRACTOR'S DEFAULT**

#### ARTICLE 48. COMMISSIONER'S RIGHT TO DECLARE CONTRACTOR IN DEFAULT

48.1 In addition to those instances specifically referred to in other Articles herein, the **Commissioner** shall have the right to declare the **Contractor** in default of this **Contract** if:

48.1.1 The **Contractor** fails to commence **Work** when notified to do so by the **Commissioner**; or if

48.1.2 The Contractor shall abandon the Work; or if

48.1.3 The **Contractor** shall refuse to proceed with the **Work** when and as directed by the **Commissioner**; or if

48.1.4 The **Contractor** shall, without just cause, reduce its working force to a number which, if maintained, would be insufficient, in the opinion of the **Commissioner**, to complete the **Work** in accordance with the progress schedule; or if

48.1.5 The **Contractor** shall fail or refuse to increase sufficiently such working force when ordered to do so by the **Commissioner**; or if

48.1.6 The **Contractor** shall sublet, assign, transfer, convert or otherwise dispose of this **Contract** other than as herein specified; or sell or assign a majority interest in the **Contractor**; or if

48.1.7 The Contractor fails to secure and maintain all required insurance; or if

48.1.8 A receiver or receivers are appointed to take charge of the **Contractor's** property or affairs; or if

48.1.9 The **Commissioner** shall be of the opinion that the **Contractor** is or has been unnecessarily or unreasonably or willfully delaying the performance and completion of the **Work**, or the award of necessary subcontracts, or the placing of necessary material and equipment orders; or if

48.1.10 The **Commissioner** shall be of the opinion that the **Contractor** is or has been willfully or in bad faith violating any of the provisions of this **Contract**; or if

48.1.11 The **Commissioner** shall be of the opinion that the **Work** cannot be completed within the time herein provided therefor or within the time to which such completion may have been extended; provided, however, that the impossibility of timely completion is, in the

Commissioner's opinion, attributable to conditions within the Contractor's control; orif

48.1.12 The **Work** is not completed within the time herein provided therefor or within the time to which the **Contractor** may be entitled to have such completion extended; or if

48.1.13 Any statement or representation of the **Contractor** in the **Contract** or in any document submitted by the **Contractor** with respect to the **Work**, the **Project**, or the **Contract** (or for purposes of securing the **Contract**) was untrue or incorrect when made; or if

48.1.14 The **Contractor** or any of its officers, directors, partners, five (5%) percent shareholders, principals, or other persons substantially involved in its activities, commits any of the acts or omissions specified as the grounds for debarment in the **PPB** Rules.

48.2 Before the **Commissioner** shall exercise his/her right to declare the **Contractor** in default, the **Commissioner** shall give the **Contractor** an opportunity to be heard, upon not less than two (2) **Days'** notice.

# ARTICLE 49. EXERCISE OF THE RIGHT TO DECLARE DEFAULT

49.1 The right to declare the **Contractor** in default for any of the grounds specified or referred to in Article 48 shall be exercised by sending the **Contractor** a notice, signed by the **Commissioner**, setting forth the ground or grounds upon which such default is declared (hereinafter referred to as a "Notice of Default").

49.2 The **Commissioner's** determination that the **Contractor** is in default shall be conclusive, final, and binding on the parties and such a finding shall preclude the **Contractor** from commencing a plenary action for any damages relating to the **Contract**. If the **Contractor** protests the determination of the **Commissioner**, the **Contractor** may commence an action in a court of competent jurisdiction of the State of New York under Article 78 of the New York Civil Practice Law and Rules.

# ARTICLE 50. QUITTING THE SITE

50.1 Upon receipt of such notice the **Contractor** shall immediately discontinue all further operations under this **Contract** and shall immediately quit the **Site**, leaving untouched all plant, materials, equipment, tools, and supplies then on the **Site**.

# ARTICLE 51. COMPLETION OF THE WORK

51.1 The **Commissioner**, after declaring the **Contractor** in default, may then have the **Work** completed by such means and in such manner, by contract with or without public letting, or otherwise, as he/she may deem advisable, utilizing for such purpose such of the **Contractor's** plant, materials, equipment, tools, and supplies remaining on the **Site**, and also such **Subcontractors**, as he/she may deem advisable.

51.2 After such completion, the **Commissioner** shall make a certificate stating the expense incurred in such completion, which shall include the cost of re-letting and also the total amount of liquidated damages (at the rate provided for in the **Contract**) from the date when the **Work** should have been completed by the **Contractor** in accordance with the terms hereof to the date of actual completion of the **Work**. Such certificate shall be binding and conclusive upon the **Contractor**, its sureties, and any person claiming under the **Contractor**, as to the amount thereof.

51.3 The expense of such completion, including any and all related and incidental costs, as so certified by the **Commissioner**, and any liquidated damages assessed against the **Contractor**, shall be charged against and deducted out of monies which are earned by the **Contractor** prior to the date of default. Should the expense of such completion, as certified by the **Commissioner**, exceed the total sum which would have been payable under the **Contract** if it had been completed by the **Contractor**, any excess shall be paid by the **Contractor**.

## **ARTICLE 52. PARTIAL DEFAULT**

52.1 In case the **Commissioner** shall declare the **Contractor** in default as to a part of the **Work** only, the **Contractor** shall discontinue such part, shall continue performing the remainder of the **Work** in strict conformity with the terms of this **Contract**, and shall in no way hinder or interfere with any **Other Contractor**(s) or persons whom the **Commissioner** may engage to complete the **Work** as to which the **Contractor** was declared in default.

52.2 The provisions of this Chapter relating to declaring the **Contractor** in default as to the entire **Work** shall be equally applicable to a declaration of partial default, except that the **Commissioner** shallbe entitled to utilize for completion of the part of the **Work** as to which the **Contractor** was declared in default only such plant, materials, equipment, tools, and supplies as had been previously used by the **Contractor** on such part.

## ARTICLE 53. PERFORMANCE OF UNCOMPLETED WORK

53.1 In completing the whole or any part of the **Work** under the provisions of this Chapter X, the **Commissioner** shall have the power to depart from or change or vary the terms and provisions of this **Contract**, provided, however, that such departure, change or variation is made for the purpose of reducing the time or expense of such completion. Such departure, change or variation, even to the extent of accepting a lesser or different performance, shall not affect the conclusiveness of the **Commissioner's** certificate of the cost of completion referred to in Article 51, nor shall it constitute a defense to an action to recover the amount by which such certificate exceeds the amount which would have been payable to the **Contractor** hereunder but for its default.

# **ARTICLE 54. OTHER REMEDIES**

54.1 In addition to the right to declare the **Contractor** in default pursuant to this Chapter X, the **Commissioner** shall have the absolute right, in his/her sole discretion and without a hearing, to complete or cause to be completed in the same manner as described in Articles 51 and 53, any or all unsatisfactory or uncompleted punch list **Work** that remains after the completion date specified in the **Final Approved Punch** List. A written notice of the exercise of this right shall be sent to the **Contractor** who shall immediately quit the **Site** in accordance with the provisions of Article 50.

54.2 The expense of completion permitted under Article 54.1, including any and all related and incidental costs, as so certified by the **Commissioner**, shall be charged against and deducted out of monies which have been earned by the **Contractor** prior to the date of the exercise of the right set forthin Article 54.1; the balance of such monies, if any, subject to the other provisions of this **Contract**, to be paid to the **Contractor** without interest after such completion. Should the expense of such completion, as certified by

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the **Commissioner**, exceed the total sum which would have been payable under the **Contract** if it had been completed by the **Contractor**, any excess shall be paid by the **Contractor**.

54.3 The previous provisions of this Chapter X shall be in addition to any and all other remedies available under **Law** or in equity.

54.4 The exercise by the **City** of any remedy set forth herein shall not be deemed a waiver by the **City** of any other legal or equitable remedy contained in this **Contract** or provided under **Law**.

## CHAPTER XI: MISCELLANEOUS PROVISIONS

# ARTICLE 55. CONTRACTOR'S WARRANTIES

55.1 In consideration of, and to induce, the award of this **Contract** to the **Contractor**, the **Contractor** represents and warrants:

55.1.1 That it is financially solvent, sufficiently experienced and competent to perform the **Work**; and

55.1.2 That the facts stated in its bid and the information given by it pursuant to the Information for Bidders is true and correct in all respects; and

55.1.3 That it has read and complied with all requirements set forth in the Contract.

## ARTICLE 56. CLAIMS AND ACTIONS THEREON

56.1 Any claim, that is not subject to dispute resolution under the **PPB** Rules or this **Contract**, against the **City** for damages for breach of **Contract** shall not be made or asserted in any action, unless the **Contractor** shall have strictly complied with all requirements relating to the giving of notice and of information with respect to such claims, as herein before provided.

56.2 Nor shall any action be instituted or maintained on any such claims unless such action is commenced within six (6) months after **Substantial Completion**; except that:

56.2.1 Any claims arising out of events occurring after **Substantial Completion** and before **Final Acceptance** of the **Work** shall be asserted within six (6) months of **Final Acceptance** of the **Work**;

56.2.2 If the **Commissioner** exercises his/her right to complete or cause to complete any or all unsatisfactory or uncompleted punch list **Work** that remains after the completion date specified in the **Final Approved Punch List** pursuant to Article 54, any such action shall be commenced within six (6) months from the date the **Commissioner** notifies the **Contractor** in writing that he/she has exercised such right. Any claims for monies deducted, retained or withheld under the provisions of this **Contract** shall be asserted within six (6) months after the date when such monies otherwise become due and payable hereunder; and

56.2.3 If the **Commissioner** exercises his/her right to terminate the **Contract** pursuant to Article 64, any such action shall be commenced within six (6) months of the date the **Commissioner** exercises said right.

#### **ARTICLE 57. INFRINGEMENT**

57.1 The **Contractor** shall be solely responsible for and shall defend, indemnify, and hold the **City** harmless from any and all claims (even if the allegations of the lawsuit are without merit) and judgments for damages and from costs and expenses to which the **City** may be subject to or which it may suffer or incur allegedly arising out of or in connection with any infringement by the **Contractor** of any copyright, trade secrets, trademark or patent rights or any other property or personal right of any third party by the **Contractor** and/or its **Subcontractors** in the performance or completion of the **Work**. Insofar as thefacts or **Law** relating to any claim would preclude the **City** from being completely indemnified by the **Contractor**, the **City** shall be partially indemnified by the **Contractor** to the fullest extent permitted by **Law**.

#### ARTICLE 58. NO CLAIM AGAINST OFFICIALS, AGENTS OR EMPLOYEES

58.1 No claim whatsoever shall be made by the **Contractor** against any official, agent or employeeof the **City** for, or on account of, anything done or omitted to be done in connection with this **Contract**.

#### ARTICLE 59. SERVICE OF NOTICES

59.1 The **Contractor** hereby designates the business address, fax number, and email address specified in its bid, as the place where all notices, directions or other communications to the **Contractor** may be delivered, or to which they may be mailed. Any notice, direction, or communication from either party to the other shall be in writing and shall be deemed to have been given when (i) delivered personally; (ii) sent by certified mail, return receipt requested; (iii) delivered by overnight or same day courier service in a properly addressed envelope with confirmation; or (iv) sent by fax or email and,unless receipt of the fax or e-mail is acknowledged by the recipient by fax or e-mail, deposited in a post office box regularly maintained by the United States Postal Service in a properly addressed, postage pre- paid envelope.

59.2 **Contractor's** notice address, email address, or fax number may be changed at any time by an instrument in writing, executed and acknowledged by the **Contractor**, and delivered to the **Commissioner**.

59.3 Nothing herein contained shall, however, be deemed to preclude or render inoperative the service of any notice, direction or other communication upon the **Contractor** personally, or, if the **Contractor** is a corporation, upon any officer thereof.

#### ARTICLE 60. UNLAWFUL PROVISIONS DEEMED STRICKEN FROM CONTRACT

60.1 If this **Contract** contains any unlawful provision not an essential part of the **Contract** and which shall not appear to have been a controlling or material inducement to the making thereof, the same shall be deemed of no effect and shall, upon notice by either party, be deemed stricken from the **Contract** without affecting the binding force of the remainder.

### ARTICLE 61. ALL LEGAL PROVISIONS DEEMED INCLUDED

61.1 It is the intent and understanding of the parties to this **Contract** that each and every provision of **Law** required to be inserted in this **Contract** shall be and is inserted herein. Furthermore, it is hereby stipulated that every such provision is to be deemed to be inserted herein, and if, through mistake or otherwise, any such provision is not inserted, or is not inserted in correct form, then this **Contract** shall forthwith upon the application of either party be amended by such insertion so as to comply strictly with the **Law** and without prejudice to the rights of either party hereunder.

# ARTICLE 62. TAX EXEMPTION

62.1 The **City** is exempt from payment of Federal, State, and local taxes, including sales and compensating use taxes of the State of New York and its cities and counties on all tangible personal property sold to the **City** pursuant to the provisions of this **Contract**. These taxes are not to be included in bids. However, this exemption does not apply to tools, machinery, equipment or other property leased by or to the **Contractor** or **Materialman** or to tangible personal property which, even though it is consumed, is not incorporated into the completed **Work** (consumable supplies) and tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work**. The **Contractor** and its **Subcontractors** and **Materialmen** shall be responsible for and pay any and all applicable taxes, including sales and compensating use taxes, on such leased tools, machinery, equipment or other property and upon all such consumable supplies and tangible personal property that the **Contractor** is required to remove from the **Site** during property that the **Contractor** is required to consumable supplies and tangible personal property that the **Contractor** is required to consumable supplies and tangible personal property that the **Contractor** is required to consumable supplies and tangible personal property that the **Contractor** is required to consumable supplies and tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work**.

62.2 The **Contractor** agrees to sell and the **City** agrees to purchase all tangible personal property, other than consumable supplies and other tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work**, that is required, necessary or proper for or incidental to the construction of the **Project** covered by this **Contract**. The sum paid under this **Contract** for such tangible personal property shall be in full payment and consideration for the sale of such tangible personal property.

62.2.1 The **Contractor** agrees to construct the **Project** and to perform all **Work**, labor and services rendered, necessary, proper or incidental thereto for the sum shown in the bid forthe performance of such **Work**, labor, and services, and the sum so paid pursuant to this **Contract** for such **Work**, labor, and services, shall be in full consideration for the performance by the **Contractor** of all its duties and obligations under this **Contract** in connection with said **Work**, labor, and services.

62.3 20 NYCRR Section 541.3(d) provides that a **Contractor**'s purchases of tangible personal property that is either incorporated into real property owned by a governmental entity or purchased for and sold to a governmental entity are exempt from sales and use tax. The **City** shall not pay sales tax for any such tangible personal property that it purchases from the **Contractor** pursuant to the **Contract.** With respect to such tangible personal property, the **Contractor**, at the request of the **City**, shall furnish to the **City** such bills of sale and other instruments as may be required by the **City**, properly executed, acknowledged and delivered assuring to the **City** title to such tangible personal property as the property of the **City**.

62.4 Title to all tangible personal property to be sold by the **Contractor** to the **City** pursuant to the provisions of the **Contract** shall immediately vest in and become the sole property of the **City** upon delivery of such tangible personal property to the **Site**. Notwithstanding such transfer of title, the **Contractor** shall

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have the full and continuing responsibility to install such tangible personal property in accordance with the provisions of this **Contract**, protect it, maintain it in a proper condition and forthwith repair, replace and make good any damage thereto, theft or disappearance thereof, and furnish additional tangible personal property in place of any that may be lost, stolen or rendered unusable, without cost to the **City**, until such time as the **Work** covered by the **Contract** is fully accepted by the **City**. Such transfer of title shall in no way affect any of the **Contractor's** obligations hereunder. In the event that, after title has passed to the **City**, any of the tangible personal property is rejected as being defective or otherwise unsatisfactory, title to all such tangible personal property shall be deemed to have been transferred back to the **Contractor**.

62.5 The purchase by **Subcontractors** or **Materialmen** of tangible personal property to be sold hereunder shall be a purchase or procurement for resale to the **Contractor** (either directly or through other **Subcontractors**) and therefore not subject to the aforesaid sales and compensating use taxes, provided that the subcontracts and purchase agreements provide for the resale of such tangible personal property and that such subcontracts and purchase agreements are in a form similar to this **Contract** with respect to the separation of the sale of consumable supplies and tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work** from the **Work** and labor, services, and any other matters to be provided, and provided further that the subcontracts and purchase agreements provide separate prices for tangible personal property and all other services and matters. Such separation shall actually be followed in practice, including the separation of payments for tangible personal property from the payments for other **Work** and labor and other things to be provided.

62.6 The **Contractor** and its **Subcontractors** and **Materialmen** shall furnish a **Contractor** Exempt Purchase Certificate to all persons, firms or corporations from which they purchase tangible personal property for the performance of the **Work** covered by this **Contract**.

62.7 In the event any of the provisions of this Article 62 shall be deemed to be in conflict with any other provisions of this **Contract** or create any ambiguity, then the provisions of this Article 62 shall control.

# ARTICLE 63. INVESTIGATION(S) CLAUSE

63.1 The parties to this **Contract** agree to cooperate fully and faithfully with any investigation, audit or inquiry conducted by a United States, a State of New York (State) or a **City** governmental agency or authority that is empowered directly or by designation to compel the attendance of witnesses and to examine witnesses under oath, or conducted by the Inspector General of a governmental agency that is a party in interest to the transaction, submitted bid, submitted proposal, contract, lease, permit or license that is the subject of the investigation, audit or inquiry.

63.2 If any person who has been advised that his/her statement, and any information from such statement, will not be used against him/her in any subsequent criminal proceeding refuses to testify before a grand jury or other governmental agency or authority empowered directly or by designation to compel the attendance of witnesses and to examine witnesses under oath concerning the award of or performance under any transaction, agreement, lease, permit, contract, or license entered into with the **City**, the State, or any political subdivision or public authority thereof, or the Port Authority of New York and New Jersey, or any local development corporation within the **City**, or any public benefit corporation organized under the **Laws** of the State of New York, or;

63.3 If any person refuses to testify for a reason other than the assertion of his/her privilege against self incrimination in an investigation, audit or inquiry conducted by a **City** or State governmental agency or authority empowered directly or by designation to compel the attendance of witnesses and to take testimony under oath, or by the Inspector General of the governmental agency that is a party in interestin, and is

seeking testimony concerning the award of, or performance under any transaction, agreement, lease, permit, contract, or license entered into with the **City**, the State, or any political subdivision thereof or any local development corporation within the **City**, then;

63.4 The **Commissioner** whose **Agency** is a party in interest to the transaction, submitted bid, submitted proposal, contract, lease, permit, or license shall convene a hearing, upon not less than five (5) **Days**' written notice to the parties involved to determine if any penalties should attach for the failure of a person to testify.

63.5 If any non-governmental party to the hearing requests an adjournment, the **Commissioner** who convened the hearing may, upon granting the adjournment, suspend any contract, lease, permit, or license, pending the final determination pursuant to Article 63.7 without the **City** incurring any penalty or damages for delay or otherwise.

63.6 The penalties which may attach after a final determination by the **Commissioner** may include but shall not exceed:

63.6.1 The disqualification for a period not to exceed five (5) years from the date of an adverse determination for any person, or any entity of which such person was a member at the time the testimony was sought, from submitting bids for, or transacting business with, or entering into or obtaining any contract, lease, permit or license with or from the **City**; and/or

63.6.2 The cancellation or termination of any and all such existing **City** contracts, leases, permits or licenses that the refusal to testify concerns and that have not been assigned as permitted under this **Contract**, nor the proceeds of which pledged, to an unaffiliated and unrelated institutional lender for fair value prior to the issuance of the notice scheduling the hearing, without the **City** incurring any penalty or damages on account of such cancellation or termination; monies lawfully due for goods delivered, work done, rentals, or fees accrued prior to the cancellation or termination shall be paid by the **City**.

63.7 The **Commissioner** shall consider and address in reaching his/her determination and inassessing an appropriate penalty the factors in Articles 63.7.1 and 63.7.2. The **Commissioner** may also consider, if relevant and appropriate, the criteria established in Articles 63.7.3 and 63.7.4, in addition to any other information which may be relevant and appropriate:

63.7.1 The party's good faith endeavors or lack thereof to cooperate fully and faithfully with any governmental investigation or audit, including but not limited to the discipline, discharge, or disassociation of any person failing to testify, the production of accurate and complete books and records, and the forthcoming testimony of all other members, agents, assignees or fiduciaries whose testimony is sought.

63.7.2 The relationship of the person who refused to testify to any entity that is a party to the hearing, including but not limited to, whether the person whose testimony is sought has an ownership interest in the entity and/or the degree of authority and responsibility the person has within the entity.

63.7.3 The nexus of the testimony sought to the subject entity and its contracts, leases, permits or licenses with the **City**.

63.7.4 The effect a penalty may have on an unaffiliated and unrelated party or entity thathas a significant interest in an entity subject to penalties under Article 63.6, provided that the party

or entity has given actual notice to the **Commissioner** upon the acquisition of the interest, or at the hearing called for in Article 63.4, gives notice and proves that such interest was previously acquired. Under either circumstance the party or entity shall present evidence at the hearing demonstrating the potential adverse impact a penalty will have on such person or entity.

#### 63.8 Definitions:

63.8.1 The term "license" or "permit" as used in this Article 63 shall be defined as a license, permit, franchise or concession not granted as a matter of right.

63.8.2 The term "person" as used in this Article 63 shall be defined as any natural person doing business alone or associated with another person or entity as a partner, director, officer, principal or employee.

63.8.3 The term "entity" as used in this Article 63 shall be defined as any firm, partnership, corporation, association, joint venture, or person that receives monies, benefits, licenses, leases, or permits from or through the **City** or otherwise transacts business with the **City**.

63.8.4 The term "member" as used in this Article 63 shall be defined as any person associated with another person or entity as a partner, director, officer, principal or employee.

63.9 In addition to and notwithstanding any other provision of this **Contract**, the **Commissioner** may in his/her sole discretion terminate this **Contract** upon not less than three (3) **Days'** written notice in the event the **Contractor** fails to promptly report in writing to the **Commissioner** of the Department of Investigations ("DOI") of the **City** any solicitation of money, goods, requests for future employment or other benefit or thing of value, by or on behalf of any employee of the **City** or other person, firm, corporation or entity for any purpose which may be related to the procurement or obtaining of this **Contract** by the **Contractor**, or affecting the performance of this **Contract**.

### **ARTICLE 64. TERMINATION BY THE CITY**

64.1 In addition to termination pursuant to any other article of this **Contract**, the **Commissioner** may, at any time, terminate this **Contract** by written notice to the **Contractor**. In the event of termination, the **Contractor** shall, upon receipt of such notice, unless otherwise directed by the **Commissioner**:

64.1.1 Stop **Work** on the date specified in the notice;

64.1.2 Take such action as may be necessary for the protection and preservation of the **City's** materials and property;

64.1.3 Cancel all cancelable orders for material and equipment;

64.1.4 Assign to the **City** and deliver to the **Site** or another location designated by the **Commissioner**, any non-cancelable orders for material and equipment that is not capable of use except in the performance of this **Contract** and has been specifically fabricated for the sole purpose of this **Contract** and not incorporated in the **Work**;

64.1.5 Take no action which will increase the amounts payable by the City under this64.1.5 Contract.

64.2 In the event of termination by the **City** pursuant to this Article 64, payment to the **Contractor** shall be in accordance with Articles 64.2.1, 64.2.2 or 64.2.3, to the extent that each respective article applies.

64.2.1 Lump Sum Contracts or Items: On all lump sum **Contracts**, or on lump sum items in a **Contract**, the **City** will pay the **Contractor** the sum of the amounts described in Articles 64.2.1(a) and 64.2.1(b), less all payments previously made pursuant to this **Contract**. On lump sum **Contracts** only, the **City** will also pay the **Contractor** an additional sum as provided in Article 64.2.1(c).

64.2.1(a) For **Work** completed prior to the notice of termination, the **Contractor** shall be paid a pro rata portion of the lump sum bid amount, plus approved change orders, based upon the percent completion of the **Work**, as determined by the **Commissioner**. For the purpose of determining the pro rata portion of the lump sum bid amount to which the **Contractor** is entitled, the bid breakdown submitted in accordance with Article 41 shall be considered, but shall not be dispositive. The **Commissioner's** determination hereunder shall be final, binding, and conclusive.

64.2.1(b) For non-cancelable material and equipment that is not capable of use except in the performance of this **Contract** and has been specifically fabricated for the sole purpose of this **Contract**, but not yet incorporated in the **Work**, the **Contractor** shall be paid the lesser of the following, less salvage value:

64.2.1(b)(i) The Direct Cost, as defined in Article 64.2.4; or

64.2.1(b)(ii) The fair and reasonable value, if less than Direct Cost, of such material and equipment, plus necessary and reasonable delivery costs.

64.2.1(b)(iii) In addition, the **Contractor** shall be paid five (5%) percent of the amount described in Article 64.2.1(b)(i) or Article 64.2.1(b)(ii), whichever applies.

64.2.1(c) Except as otherwise provided in Article 64.2.1(d), on all lump sum **Contracts**, the **Contractor** shall be paid the percentage indicated below applied to the difference between the total lump sum bid amount and the total of all payments made prior to the notice of termination plus all payments allowed pursuant to Articles 64.2.1(a) and 64.2.1(b):

64.2.1(c)(i) Five (5%) percent of the first five million (\$5,000,000) dollars; and

64.2.1(c)(ii) Three (3%) percent of any amount between five million (\$5,000,000) dollars and fifteen million (\$15,000,000) dollars; plus

64.2.1(c)(iii) One (1%) percent of any amount over fifteen million (\$15,000,000) dollars.

64.2.1(d) In the event the **City** terminates a lump sum **Contract** pursuant to this Article 64 within ninety (90) **Days** after registration of the **Contract** with the **Comptroller**, the **Contractor** shall be paid one (1%) percent of the difference between the lump sum bid amount and the total of all payments made pursuant to this Article 64.2.

64.2.2 Unit Price Contracts or Items: On all unit price Contracts, or on unit price items in a

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**Contract**, the **City** will pay the **Contractor** the sum of the amounts described in Articles 64.2.2(a) and 64.2.2(b), less all payments previously made pursuant to this **Contract**:

64.2.2(a) For all completed units, the unit price stated in the Contract, and

64.2.2(b) For units that have been ordered but are only partially completed, the **Contractor** will be paid:

64.2.2(b)(i) A pro rata portion of the unit price stated in the **Contract** based upon the percent completion of the unit and

64.2.2(b)(ii) For non-cancelable material and equipment, payment willbe made pursuant to Article 64.2.1(b).

64.2.3 Time and Materials Contracts or Items Based on Time and Material Records: On all **Contracts** or items in a **Contract** where payment for the **Work** is based on time and material records, the **Contractor** shall be paid in accordance with Article 26, less all payments previously made pursuant to this **Contract**.

64.2.4 Direct Costs: Direct Costs as used in this Article 64.2 shall mean:

64.2.4(a) The actual purchase price of material and equipment, plus necessary and reasonable delivery costs,

64.2.4(b) The actual cost of labor involved in construction and installation at the **Site**, and

64.2.4(c) The actual cost of necessary bonds and insurance purchased pursuant to requirements of this **Contract** less any amounts that have been or should be refunded by the **Contractor's** sureties or insurance carriers.

64.2.4(d) Direct Costs shall not include overhead.

64.3 In no event shall any payments under this Article 64 exceed the **Contract** price for such items.

64.4 All payments pursuant to Article 64 shall be in the nature of liquidated damages and shall be accepted by the **Contractor** in full satisfaction of all claims against the **City**.

64.5 The **City** may deduct or set off against any sums due and payable pursuant to this Article 64, any deductions authorized by this **Contract** or by **Law** (including but not limited to liquidated damages) and any claims it may have against the **Contractor**. The **City's** exercise of the right to terminate the **Contract** pursuant to this Article 64 shall not impair or otherwise effect the **City's** right to assert any claims it may have against the **Contractor**.

64.6 Where the **Work** covered by the **Contract** has been substantially completed, as determined in writing by the **Commissioner**, termination of the **Work** shall be handled as an omission of **Work** pursuant to Articles 29 and 33, in which case a change order will be issued to reflect an appropriate reduction in the **Contract** sum, or if the amount is determined after final payment, such amount shall be paid by the **Contractor**.

## ARTICLE 65. CHOICE OF LAW, CONSENT TO JURISDICTION AND VENUE

65.1 This **Contract** shall be deemed to be executed in the **City** regardless of the domicile of the **Contractor**, and shall be governed by and construed in accordance with the **Laws** of the State of New York and the **Laws** of the United States, where applicable.

65.2 The parties agree that any and all claims asserted against the **City** arising under this **Contract** or related thereto shall be heard and determined in the courts of the State of New York ("New York State Courts") located in the **City** and County of New York. To effect this **Contract** and intent, the **Contractor** agrees:

65.2.1 If the **City** initiates any action against the **Contractor** in Federal court or in a New York State Court, service of process may be made on the **Contractor** either in person, wherever such **Contractor** may be found, or by registered mail addressed to the **Contractor** at its address as set forth in this **Contract**, or to such other address as the **Contractor** may provide to the **City** in writing; and

65.2.2 With respect to any action between the **City** and the **Contractor** in a New York State Court, the **Contractor** hereby expressly waives and relinquishes any rights it might otherwise have:

65.2.2(a) To move to dismiss on grounds of forum non conveniens;

65.2.2(b) To remove to Federal Court; and

65.2.2(c) To move for a change of venue to a New York State Court outside New York County.

65.2.3 With respect to any action brought by the **City** against the **Contractor** in a Federal Court located in the **City**, the **Contractor** expressly waives and relinquishes any right it might otherwise have to move to transfer the action to a Federal Court outside the **City**.

65.2.4 If the **Contractor** commences any action against the **City** in a court located other than in the **City** and County of New York, upon request of the **City**, the **Contractor** shall either consent to a transfer of the action to a New York State Court of competent jurisdiction located in the **City** and County of New York or, if the Court where the action is initially brought will not or cannot transfer the action, the **Contractor** shall consent to dismiss such action without prejudice and may thereafter reinstate the action in a New York State Court of competent jurisdiction in New York County.

65.3 If any provision(s) of this Article 65 is held unenforceable for any reason, each and all other provision(s) shall nevertheless remain in full force and effect.

# ARTICLE 66. PARTICIPATION IN AN INTERNATIONAL BOYCOTT

66.1 The **Contractor** agrees that neither the **Contractor** nor any substantially owned affiliated company is participating or shall participate in an international boycott in violation of the provisions of the Federal Export Administration Act of 1979, as amended, or the regulations of the United States Department of Commerce (Commerce Department) promulgated thereunder.

66.2 Upon the final determination by the Commerce Department or any other agency of the United States as to, or conviction of the **Contractor** or a substantially-owned affiliated company thereof for participation in an international boycott in violation of the provisions of the Export Administration Act of 1979, as amended, or the regulations promulgated thereunder, the **Comptroller** may, at his/her option, render forfeit and void this **Contract**.

66.3 The **Contractor** shall comply in all respects, with the provisions of Section 6-114 of the Administrative Code and the rules and regulations issued by the **Comptroller** thereunder.

## ARTICLE 67. LOCALLY BASED ENTERPRISE PROGRAM

67.1 This **Contract** is subject to the requirements of Section 6-108.1 of the Administrative Code and regulations promulgated thereunder. No construction contract shall be awarded unless and until these requirements have been complied with in their entirety; however, compliance with this Article 67 is not required if the Agency sets Subcontractor Participation Goals for Minority- and Women-Owned Business Enterprises (M/WBEs).

67.2 Unless specifically waived by the **Commissioner** with the approval of the Division of Economic and Financial Opportunity of the **City** Department of Business Services, if any portion of the **Contract** is subcontracted, not less than ten (10%) percent of the total dollar amount of the **Contract** shall be awarded to locally based enterprises (LBEs); except that where less than ten (10%) percent of the total dollar amount of the **Contract** is subcontracted, such lesser percentage shall be so awarded.

67.3 The **Contractor** shall not require performance and payment bonds from LBE **Subcontractors**.

67.4 If the **Contractor** has indicated prior to award that no **Work** will be subcontracted, no **Work** shall be subcontracted without the prior approval of the **Commissioner**, which shall be granted only if the **Contractor** makes a good faith effort beginning at least six (6) weeks before the **Work** is to be performed to obtain LBE **Subcontractors** to perform the **Work**.

67.5 If the **Contractor** has not identified sufficient LBE **Subcontractors** prior to award, it shall sign a letter of compliance stating that it complies with Section 6-108.1 of the Administrative Code, recognizes that achieving the LBE requirement is a condition of its **Contract**, and shall submit documentation demonstrating its good faith efforts to obtain LBEs. After award, the **Contractor** shall begin to solicit LBE's to perform subcontracted **Work** at least six (6) weeks before the date such **Work** is to be performed and shall demonstrate that a good faith effort has been made to obtain LBEs on each subcontract until it meets the required percentage.

67.6 Failure of the **Contractor** to comply with the requirements of Section 6-108.1 of the Administrative Code and the regulations promulgated thereunder shall constitute a material breach of this **Contract**. Remedy for such breach may include the imposition of any or all of the following sanctions:

67.6.1 Reducing the **Contractor's** compensation by an amount equal to the dollar value of the percentage of the LBE subcontracting requirement not complied with;

67.6.2 Declaring the **Contractor** in default;

67.6.3 If the **Contractor** is an LBE, de-certifying and declaring the **Contractor** ineligible to participate in the LBE program for a period of up to three (3) years.

## ARTICLE 68. ANTITRUST

68.1 The **Contractor** hereby assigns, sells, and transfers to the **City** all right, title, and interest in and to any claims and causes of action arising under the antitrust **Laws** of New York State or of the United States relating to the particular goods or services purchased or procured by the **City** under this **Contract**.

## ARTICLE 69. MacBRIDE PRINCIPLES PROVISIONS

## 69.1 Notice To All Prospective **Contractors**:

69.1.1 Local Law No. 34 of 1991 became effective on September 10, 1991 and added Section 6-115.1 of the Administrative Code. The local **Law** provides for certain restrictions on **City Contracts** to express the opposition of the people of the **City** to employment discrimination practices in Northern Ireland to promote freedom of work-place opportunity.

69.1.2 Pursuant to Section 6-115.1, prospective **Contractors** for **Contracts** to provide goods or services involving an expenditure of an amount greater than ten thousand (\$10,000.) dollars, or for construction involving an amount greater than fifteen thousand (\$15,000.) dollars, are asked to sign a rider in which they covenant and represent, as a material condition of their **Contract**, that any business operations in Northern Ireland conducted by the **Contractor** and any individual or legal entity in which the **Contractor** holds a ten (10%) percent or greater ownership interest in the **Contractor** will be conducted in accordance with the MacBride Principles of nondiscrimination in employment.

69.1.3 Prospective **Contractors** are not required to agree to these conditions. However, in the case of **Contracts** let by competitive sealed bidding, whenever the lowest responsible bidder has not agreed to stipulate to the conditions set forth in this notice and another bidder who has agreed to stipulate to such conditions has submitted a bid within five (5%) percent of the lowest responsible bid for a **Contract** to supply goods, services or contraction of comparable quality, the **Agency** shall refer such bids to the Mayor, the Speaker or other officials, as appropriate, who may determine, in accordance with applicable **Law**, that it is in the best interest of the **City** that the **Contract** be awarded to other than the lowest responsible pursuant to Section 313(b)(2) of the **City** Charter.

69.1.4 In the case of **Contracts** let by other than competitive sealed bidding, if a prospective **Contractor** does not agree to these conditions, no **Agency**, elected official or the **City** Council shall award the **Contract** to that bidder unless the **Agency** seeking to use the goods, services or construction certifies in writing that the **Contract** is necessary for the **Agency** to perform its functions and there is no other responsible **Contractor** who will supply goods, services or construction of comparable quality at a comparable price.

69.2 In accordance with Section 6-115.1 of the Administrative Code, the **Contractor** stipulates that such **Contractor** and any individual or legal entity in which the **Contractor** holds a ten (10%) percent or greater ownership interest in the **Contractor** either:

69.2.1 Have no business operations in Northern Ireland, or

69.2.2 Shall take lawful steps in good faith to conduct any business operations they have in

Northern Ireland in accordance with the MacBride Principles, and shall permit independent monitoring of their compliance with such principles.

69.3 For purposes of this Article, the following terms shall have the following meanings:

69.3.1 "MacBride Principles" shall mean those principles relating to nondiscrimination in employment and freedom of work-place opportunity which require employers doing business in Northern Ireland to:

69.3.1(a) increase the representation of individuals from under-represented religious groups in the workforce, including managerial, supervisory, administrative, clerical and technical jobs;

69.3.1(b) take steps to promote adequate security for the protection of employees from under-represented religious groups both at the work-place and while traveling to and from **Work**;

69.3.1(c) ban provocative religious or political emblems from the workplace;

69.3.1(d) publicly advertise all job openings and make special recruitment efforts to attract applicants from under-represented religious groups;

69.3.1(e) establish layoff, recall, and termination procedures which do not in practice favor a particular religious group;

69.3.1(f) abolish all job reservations, apprenticeship restrictions and different employment criteria which discriminate on the basis of religion;

69.3.1(g) develop training programs that will prepare substantial numbers of current employees from under-represented religious groups for skilled jobs, including the expansion of existing programs and the creation of new programs to train, upgrade, and improve the skills of workers from under-represented religious groups;

69.3.1(h) establish procedures to asses, identify, and actively recruit employees from under-represented religious groups with potential for further advancement; and

69.3.1(i) appoint a senior management staff member to oversee affirmative actionefforts and develop a timetable to ensure their full implementation.

69.4 The **Contractor** agrees that the covenants and representations in Article 69.2 are material conditions to this **Contract**. In the event the **Agency** receives information that the **Contractor** who made the stipulation required by this Article 69 is in violation thereof, the **Agency** shall review such information and give the **Contractor** an opportunity to respond. If the **Agency** finds that a violation has occurred, the **Agency** shall have the right to declare the **Contractor** in default in default and/or terminate this **Contract** for cause and procure supplies, services or **Work** from another source in the manner the **Agency** deems proper. In the event of such termination, the **Contractor** shall pay to the **Agency**, or the **Agency** in its sole discretion may withhold from any amounts otherwise payable to the **Contractor**, the difference between the **Contract** price for the uncompleted portion of this **Contract** and the cost to the **Agency** of completing performance of this **Contract**, the **Contractor** shall be liable for such difference in price for the entire amount of supplies required by the **Agency** for the uncompleted term of **Contractor's Contract**. In the case of a construction **Contract**, the **Agency** shall also have the right to hold the **Contractor** in partial or total default in

accordance with the default provisions of this **Contract**, and/or may seek debarment or suspension of the **Contractor**. The rights and remedies of the **Agency** hereunder shall be in addition to, and not in lieu of, any rights and remedies the **Agency** has pursuant to this **Contract** or by operation of **Law**.

#### ARTICLE 70. ELECTRONIC FILING/NYC DEVELOPMENT HUB

70.1 The **Contractor** shall electronically file all alteration type-2 and alteration type-3 applications via the New York City Development Hub Web site, except applications for the following types of minor alterations: enlargements, curb cuts, legalizations, fire alarms, builders pavement plans, and jobs filed on Landmark Preservation Commission calendared properties. All such filings must be professionally certified. Information about electronic filing via the New York City Development Hub is available on the **City** Department of Buildings Web site at www.nyc.gov/buildings.

## ARTICLE 71. PROHIBITION OF TROPICAL HARDWOODS

71.1 Tropical hardwoods, as defined in Section 165 of the New York State Finance Law (Finance Law), shall not be utilized in the performance of this **Contract** except as expressly permitted by Section 165 of the Finance Law.

## ARTICLE 72. CONFLICTS OF INTEREST

72.1 Section 2604 of the **City** Charter and other related provisions of the **City** Charter, the Administrative Code, and the Penal Law are applicable under the terms of this **Contract** in relation to conflicts of interest and shall be extended to **Subcontractors** authorized to perform **Work**, labor and services pursuant to this **Contract** and further, it shall be the duty and responsibility of the **Contractor** so inform its respective **Subcontractors**. Notice is hereby given that, under certain circumstances, penalties may be invoked against the donor as well as the recipient of any form of valuable gift.

### ARTICLE 73. MERGER CLAUSE

73.1 The written **Contract** herein, contains all the terms and conditions agreed upon by the parties hereto, and no other agreement, oral or otherwise, regarding the subject matter of this **Contract** shall be deemed to exist or to bind any of the parties hereto, or to vary any of the terms contained herein.

# ARTICLE 74. STATEMENT OF WORK

74.1 The **Contractor** shall furnish all labor and materials and perform all **Work** in strictaccordance with the **Specifications** and **Addenda** thereto, numbered as shown in Schedule A.

### ARTICLE 75. COMPENSATION TO BE PAID TO CONTRACTOR

75.1 The **City** will pay and the **Contractor** will accept in full consideration for the performance of the **Contract**, subject to additions and deductions as provided herein, the total sum shown in Schedule A, this said sum being the amount at which the **Contract** was awarded to the **Contractor** at a public letting thereof, based upon the **Contractor's** bid for the **Contract**.

## ARTICLE 76. ELECTRONIC FUNDS TRANSFER

76.1 In accordance with Section 6-107.1 of the Administrative Code, the **Contractor** agrees to accept payments under this **Contract** from the **City** by electronic funds transfer (EFT). An EFT is any transfer of funds, other than a transaction originated by check, draft or similar paper instrument, which is initiated through an electronic terminal, telephonic instrument or computer or magnetic tape so as to order, instruct or authorize a financial institution to debit or credit an account. Prior to the first payment made under this **Contract**, the **Contractor** shall designate one financial institution or other authorized payment agent and shall complete the attached "EFT Vendor Payment Enrollment Form" in order to provide the Commissioner of the **City** Department of Finance with information necessary for the **Contractor** to receive electronic funds transfer payment to the appropriate account on the books of a financial institution or other authorized payment agent designated by the **Contractor** shall constitute full satisfaction by the **City** for the amount of the payment under this **Contract**. The account information supplied by the **Contractor** to facilitate the electronic funds transfer shall remain confidential to the fullest extent provided by **Law**.

76.2 The **Commissioner** may waive the application of the requirements of this Article 76 to payments on contracts entered into pursuant to Section 315 of the **City** Charter. In addition, the Commissioner of the Department of Finance and the Comptroller may jointly issue standards pursuant to which the **Agency** may waive the requirements of this Article 76 for payments in the following circumstances: (i) for individuals or classes of individuals for whom compliance imposes a hardship; (ii) for classifications or types of checks; or (iii) in other circumstances as may be necessary in the interest of the **City**.

# ARTICLE 77. RECORDS RETENTION

77.1 The **Contractor** agrees to retain all books, records, and other documents relevant to this **Contract** for six years after the final payment or termination of this **Contract**, whichever is later. **City**, state, and federal auditors and any other persons duly authorized by the **City** shall have full access to and the right to examine any such books, records, and other documents during the retention period.

# ARTICLE 78. EXAMINATION AND VIEWING OF SITE, CONSIDERATION OF OTHER SOURCES OF INFORMATION AND CHANGED SITE CONDITIONS

78.1 Pre-Bidding (Investigation) Viewing of Site – Bidders must carefully view and examine the **Site** of the proposed **Work**, as well as its adjacent area, and seek other usual sources of information, for they will be conclusively presumed to have full knowledge of any and all conditions and hazards on, aboutor above the **Site** relating to or affecting in any way the performance of the **Work** to be done under the **Contract** that were or should have been known by a reasonably prudent bidder. To arrange a date for visiting the **Site**,

bidders are to contact the Agency contact person specified in the bid documents.

78.2 Should the **Contractor** encounter during the progress of the Work site conditions or environmental hazards at the **Site** materially differing from any shown on the **Contract Drawings** or indicated in the **Specifications** or such conditions or environmental hazards as could not reasonablyhave been anticipated by the **Contractor**, which conditions or hazards will materially affect the cost of the **Work** to be done under the **Contract**, the attention of the **Commissioner** must be called immediately to such conditions or hazards before they are disturbed. The **Commissioner** shall thereupon promptly investigate the conditions or hazards. If the **Commissioner** finds that they do so materially differ, and that they could not have been reasonably anticipated by the **Contractor**, the **Contract** may be modified with the **Commissioner**'s written approval.

## ARTICLE 79. PARTICIPATION BY MINORITY-OWNED AND WOMEN-OWNED BUSINESS ENTERPRISES IN CITY PROCUREMENT

# ARTICLE I. M/WBE PROGRAM

Section 6-129 of the Administrative Code of the City of New York ("Section 6-129") establishes the program for participation in City procurement ("M/WBE Program") by minority- owned business enterprises ("MBEs") and women-owned business enterprises ("WBEs"), certified in accordance with Section 1304 of the New York City Charter. As stated in Section 6- 129, the intent of the program is to address the impact of discrimination on the City's procurement process, and to promote the public interest in avoiding fraud and favoritism in the procurement process, increasing competition for City business, and lowering contract costs. The contract provisions contained herein are pursuant to Section 6-129, and the rules of the Department of Small Business Services ("DSBS") promulgated thereunder.

If this Contract is subject to the M/WBE Program established by Section 6-129, the specific requirements of MBE and/or WBE participation for this Contract are set forth in Schedule B of the Contract (entitled the "M/WBE Utilization Plan") and are detailed below.

The Contractor must comply with all applicable MBE and WBE requirements for this Contract.

All provisions of Section 6-129 are hereby incorporated in the Contract by reference and all terms used herein that are not defined herein shall have the meanings given such terms in Section 6-129.

References to MBEs or WBEs shall also include such businesses certified pursuant to the executive law where credit is required by section 311 of the New York City Charter or other provision of law.

Article I, Part A, below, sets forth provisions related to the participation goals for construction, standard and professional services contracts.

Article I, Part B, below, sets forth miscellaneous provisions related to the M/WBE Program.

# PART A: PARTICIPATION GOALS FOR CONSTRUCTION, STANDARD AND PROFESSIONAL SERVICES CONTRACTS OR TASK ORDERS

1. The MBE and/or WBE Participation Goals established for this Contract or Task Orders issued pursuant to this Contract, ("Participation Goals"), as applicable, are set forth on Schedule B, Part 1 to this Contract (see Page 1, Line 1 Total Participation Goals) or will be set forth on Schedule B, Part 1 to Task Orders issued pursuant to this Contract, as applicable.

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The Participation Goals represent a percentage of the total dollar value of the Contract or Task Order, as applicable, that may be achieved by awarding subcontracts to firms certified with DSBS as MBEs and/or WBEs, and/or by crediting the participation of prime contractors and/or qualified joint ventures as provided in Section 3 below, unless the goals have been waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

2. If Participation Goals have been established for this Contract or Task Orders issued pursuant to this Contract, Contractor agrees or shall agree as a material term of the Contract that Contractor shall be subject to the Participation Goals, unless the goals are waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

3. If Participation Goals have been established for this Contract or Task Order issued pursuant to this Contract, a Contractor that is an MBE and/or WBE shall be permitted to count its own participation toward fulfillment of the relevant Participation Goal, provided that in accordance with Section 6-129 the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that the Contractor pays to direct subcontractors (as defined in Section 6-129(c)(13)), and provided further that a Contractor that is certified as both an MBE and a WBE may count its own participation either toward the goal for MBEs or the goal for WBEs, but not both.

A Contractor that is a qualified joint venture (as defined in Section 6-129(c)(30)) shall be permitted to count a percentage of its own participation toward fulfillment of the relevant Participation Goal. In accordance with Section 6-129, the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that Contractor pays to direct subcontractors, and then multiplying the remainder by the percentage to be applied to total profit to determine the amount to which an MBE or WBE is entitled pursuant to the joint venture agreement, provided that where a participant in a joint venture is certified as both an MBE and a WBE, such amount shall be counted either toward the goal for MBEs or the goal for WBEs, but not both.

4. A. If Participation Goals have been established for this Contract, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Utilization Plan, Part 2 (see Pages1-2) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end; as well as the name, addresses, and telephone numbers of the M/WBE subcontractors if required by the solicitation; and (d) the prospective contractor's required certification and affirmations. In the event that this M/WBE Utilization Plan indicates that the bidder or proposer, as applicable, does not intend to meet the Participation Goals, the bid or proposal, as applicable, shall be deemed non-responsive, unless Agency has granted the bidder or proposer, as applicable, a pre-award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.

B. (i) If this Contract is for a master services agreement or other requirements type contract that will result in the issuance of Task Orders that will be individually registered ("Master Services Agreement") and is subject to M/WBE Participation Goals, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Participation Requirements for Master Services Agreements That Will Require Individually Registered Task Orders, Part 2 (page 2) indicating the prospective contractor's certification and required affirmations to make all reasonable good faith efforts to meet participation goals established on each individual Task Order issued pursuant to this Contract, or if a partial waiver is obtained or such goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms. In the event that the Schedule B indicates that the bidder or proposer, as applicable, does not intend to meet the Participation Goals that may be established on Task Orders issued pursuant to this Contract, the bid or proposal, as applicable, shall be deemed non- responsive.

(ii) Participation Goals on a Master Services Agreement will be established for individual Task Orders issued after the Master Services Agreement is awarded. If Participation Goals have been established on a Task Order, a contractor shall be required to submit a Schedule B - M/WBE Utilization Plan For Independently Registered Task Orders That Are Issued Pursuant to Master Services Agreements, Part 2 (see Pages 1-2) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end; as well as the name, addresses, and telephone numbers of the M/WBE subcontractors if required by the solicitation; and (d) the prospective contractor's required certification and affirmations. The contractor must engage in good faith efforts to meet the Participation Goals as established for the Task Order unless Agency has granted the contractor a pre-award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.

C. THE BIDDER/PROPOSER MUST COMPLETE THE SCHEDULE B INCLUDED HEREIN (SCHEDULE B, PART 2). A SCHEDULE B SUBMITTED BY THE BIDDER/PROPOSER WHICH DOES NOT INCLUDE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS WILL BE DEEMED TO BE NON-RESPONSIVE, UNLESS A FULL WAIVER OF THE PARTICIPATION GOALS IS GRANTED (SCHEDULE B, PART 3). IN THE EVENT THAT THE CITY **DETERMINES** THAT THE BIDDER/PROPOSER HAS SUBMITTED A SCHEDULE B WHERE THE VENDOR CERTIFICATION AND REOUIRED AFFIRMATIONS ARE COMPLETED BUT OTHER ASPECTS OF THE SCHEDULE B ARE NOT COMPLETE, OR CONTAIN A COPY OR COMPUTATION ERROR THAT IS AT ODDS WITH THE VENDOR CERTIFICATION AND AFFIRMATIONS, THE BIDDER/PROPOSER WILL BE NOTIFIED BY THE AGENCY AND WILL BE GIVEN FOUR (4) CALENDAR DAYS FROM RECEIPT OF NOTIFICATION TO CURE THE SPECIFIED DEFICIENCIES AND RETURN A COMPLETED SCHEDULE B TO THE AGENCY. FAILURE TO DO SO WILL RESULT IN A DETERMINATION THAT THE BID/PROPOSAL IS NON-**RESPONSIVE. RECEIPT OF NOTIFICATION IS DEFINED AS THE DATE NOTICE IS E-MAILED** OR FAXED (IF THE BIDDER/PROPOSER HAS PROVIDED AN E-MAIL ADDRESS OR FAX NUMBER), OR NO LATER THAN FIVE (5) CALENDAR DAYS FROM THE DATE OF MAILING OR **UPON DELIVERY, IF DELIVERED.** 

5. Where an M/WBE Utilization Plan has been submitted, the Contractor shall, within 30 days of issuance by Agency of a notice to proceed, submit a list of proposed persons or entities to which it intends to award subcontracts within the subsequent 12 months. In the case of multi- year contracts, such list shall also be submitted every year thereafter. The Agency may also require the Contractor to report periodically about the contracts awarded by its direct subcontractors to indirect subcontractors (as defined in Section 6-129(c)(22)). PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor must identify all those to which it intends to award construction subcontracts for any portion of the Wicks trade work at the time of bid submission, regardless of what point in the life of the contract such subcontracts will occur. In identifying intended subcontractors in the bid submission, bidders may satisfy any Participation Goals established for this Contract by proposing one or more subcontractors that are MBEs and/or WBEs for any portion of the Wicks trade work. In the event that the Contractor's selection of a subcontractor is disapproved, the Contractor shall have a reasonable time to propose alternate subcontractors.

6. MBE and WBE firms must be certified by DSBS in order for the Contractor to credit such firms' participation toward the attainment of the Participation Goals. Such certification must occur prior to the firms' commencement of work. A list of city-certified MBE and WBE firms may be obtained from the DSBS website at www.nyc.gov/buycertified, by emailing DSBS at buyer@sbs.nyc.gov, by calling (212) 513-6451, or by visiting or writing DSBS at One Liberty Plaza ., New York, New York, 10006, 11th floor. Eligible firms that have not yet

been certified may contact DSBS in order to seek certification by visiting www.nyc.gov/getcertified, emailing MWBE@sbs.nyc.gov, or calling the DSBS certification helpline at (212) 513-6311. A firm that is certified as both an MBE and a WBE may be counted either toward the goal for MBEs or the goal for WBEs, but not both. No credit shall be given for participation by a graduate MBE or graduate WBE, as defined in Section 6-129(c)(20).

7. Where an M/WBE Utilization Plan has been submitted, the Contractor shall, with each voucher for payment, and/or periodically as Agency may require, submit statements, certified under penalty of perjury, which shall include, but not be limited to,: the total amount the Contractor paid to its direct subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount direct subcontractors paid to indirect subcontractor; the names, addresses and contact numbers of each MBE or WBE hired as a subcontractor by the Contractor, and, where applicable, hired by any of the Contractor's direct subcontractors; and the dates and amounts paid to each MBE or WBE. The Contractor shall also submit, along with its voucher for final payment: the total amount it paid to subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount its direct subcontractors paid directly to their indirect subcontractors; and a final list, certified under penalty of perjury, which shall include the name, address and contact information of each subcontractor that is an MBE or WBE, the work performed by, and the dates and amounts paid to each.

8. If payments made to, or work performed by, MBEs or WBEs are less than the amount specified in the Contractor's M/WBE Utilization Plan, Agency shall take appropriate action, in accordance with Section 6-129 and Article II below, unless the Contractor has obtained a modification of its M/WBE Utilization Plan in accordance with Section 6-129 and Part A, Section 11 below.

9. Where an M/WBE Utilization Plan has been submitted, and the Contractor requests a change order the value of which exceeds the greater of 10 percent of the Contract or Task Order, as applicable, or \$500,000, Agency shall review the scope of work for the Contract or Task Order, as applicable, and the scale and types of work involved in the change order, and determine whether the Participation Goals should be modified.

10. Pre-award waiver of the Participation Goals. (a) A bidder or proposer, or contractor with respect to a Task Order, may seek a pre-award full or partial waiver of the Participation Goals in accordance with Section 6-129, which requests that Agency change one or more Participation Goals on the grounds that the Participation Goals are unreasonable in light of the availability of certified firms to perform the services required, or by demonstrating that it has legitimate business reasons for proposing a lower level of subcontracting in its M/WBE Utilization Plan.

(b) To apply for a full or partial waiver of the Participation Goals, a bidder, proposer, or contractor, as applicable, must complete Part 3 of Schedule B and submit such request no later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due, in writing by email at MWBEModification@ddc.nyc.gov. Full or partial waiver requests that are received later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due may be rejected as untimely. Bidders, proposers, or contractors, as applicable, who have submitted timely requests will receive an Agency response by no later than two (2) calendar days prior to the due date for bids, proposals, or Task Orders; provided, however, that if that date would fall on a weekend or holiday, an Agency response will be provided by close-of-business on the business day before such weekend or holiday date.

(c) If the Agency determines that the Participation Goals are unreasonable in light of the availability of certified firms to perform the services required, it shall revise the solicitation and extend the deadline for bids and proposals, or revise the Task Order, as applicable.

(d) Agency may grant a full or partial waiver of the Participation Goals to a bidder, proposer or contractor, as applicable, who demonstrates—before submission of the bid, proposal or Task Order, as applicable—that it has legitimate business reasons for proposing the level of subcontracting in its M/WBE Utilization Plan. In making its determination, Agency shall consider factors that shall include, but not be limited

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to, whether the bidder, proposer or contractor, as applicable, has the capacity and the bona fide intention to perform the Contract without any subcontracting, or to perform the Contract without awarding the amount of subcontracts represented by the Participation Goals. In making such determination, Agency may consider whether the M/WBE Utilization Plan is consistent with past subcontracting practices of the bidder, proposer or contractor, as applicable, has made efforts to form a joint venture with a certified firm, and whether the bidder, proposer, or contractor, as applicable, has made good faith efforts to identify other portions of the Contract that it intends to subcontract.

11. Modification of M/WBE Utilization Plan. (a) A Contractor may request a modification of its M/WBE Utilization Plan after award of this Contract. PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor may request a Modification of its M/WBE Utilization Plan as part of its bid submission. The Agency may grant a request for Modification of a Contractor's M/WBE Utilization Plan if it determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts to meet the Participation Goals. In making such determination, Agency shall consider evidence of the following efforts, as applicable, along with any other relevant factors:

- (i) The Contractor advertised opportunities to participate in the Contract, where appropriate, in general circulation media, trade and professional association publications and small business media, and publications of minority and women's business organizations;
- (ii) The Contractor provided notice of specific opportunities to participate in the Contract, in a timely manner, to minority and women's business organizations;
- (iii) The Contractor sent written notices, by certified mail or facsimile, in a timely manner, to advise MBEs or WBEs that their interest in the Contract was solicited;
- (iv) The Contractor made efforts to identify portions of the work that could be substituted for portions originally designated for participation by MBEs and/or WBEs in the M/WBE Utilization Plan, and for which the Contractor claims an inability to retain MBEs or WBEs;
- (v) The Contractor held meetings with MBEs and/or WBEs prior to the date their bids or proposals were due, for the purpose of explaining in detail the scope and requirements of the work for which their bids or proposals were solicited;
- (vi) The Contractor made efforts to negotiate with MBEs and/or WBEs as relevant to perform specific subcontracts, or act as suppliers or service providers;
- (vii) Timely written requests for assistance made by the Contractor to Agency's M/WBE liaison officer and to DSBS;
- (viii) Description of how recommendations made by DSBS and Agency were acted upon and an explanation of why action upon such recommendations did not lead to the desired level of participation of MBEs and/or WBEs.

Agency's M/WBE officer shall provide written notice to the Contractor of the determination.

(b) The Agency may modify the Participation Goals when the scope of the work has been changed by the Agency in a manner that affects the scale and types of work that the Contractor indicated in its M/WBE Utilization Plan would be awarded to subcontractors.

12. If the Contractor was required to identify in its bid or proposal the MBEs and/or WBEs they intended to use in connection with the performance of the Contract or Task Order, substitutions to the identified firms may only be made with the approval of the Agency, which shall only be given when the Contractor has proposed to use a firm that would satisfy the Participation Goals to the same extent as the firm previously identified, unless the Agency determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts. In making such determination, the Agency shall require evidence of the

efforts listed in Section 11(a) above, as applicable, along with any other relevant factors.

13. If this Contract is for an indefinite quantity of construction, standard or professional services or is a requirements type contract and the Contractor has submitted an M/WBE Utilization Plan and has committed to subcontract work to MBEs and/or WBEs in order to meet the Participation Goals, the Contractor will not be deemed in violation of the M/WBE Program requirements for this Contract with regard to any work which was intended to be subcontracted to an MBE and/or WBE to the extent that the Agency has determined that such work is not needed.

14. If Participation Goals have been established for this Contract or a Task Order issued pursuant to this Contract, at least once annually during the term of the Contract or Task Order, as applicable, Agency shall review the Contractor's progress toward attainment of its M/WBE Utilization Plan, including but not limited to, by reviewing the percentage of work the Contractor has actually awarded to MBE and/or WBE subcontractors and the payments the Contractor made to such subcontractors.

15. If Participation Goals have been established for this Contract or a Task Order issued pursuant to this Contract, Agency shall evaluate and assess the Contractor's performance in meeting those goals, and such evaluation and assessment shall become part of the Contractor's overall contract performance evaluation.

# PART B: MISCELLANEOUS

The Contractor shall take notice that, if this solicitation requires the establishment of a M/WBE Utilization Plan, the resulting contract may be audited by DSBS to determine compliance with Section 6-129. See 6-129(e)(10). Furthermore, such resulting contract may also be examined by the City's Comptroller to assess compliance with the M/WBE Utilization Plan.

1. Pursuant to DSBS rules, construction contracts that include a requirement for a M/WBE Utilization Plan shall not be subject to the law governing Locally Based Enterprises set forth in Section 6-108.1 of the Administrative Code of the City of New York.

2. DSBS is available to assist contractors and potential contractors in determining the availability of MBEs and/or WBEs to participate as subcontractors, and in identifying opportunities that are appropriate for participation by MBEs and/or WBEs in contracts.

3. Prospective contractors are encouraged to enter into qualified joint venture agreements with MBEs and/or WBEs as defined by Section 6-129(c)(30).

4. By submitting a bid or proposal the Contractor hereby acknowledges its understanding of the M/WBE Program requirements set forth herein and the pertinent provisions of Section 6-129, and any rules promulgated thereunder, and if awarded this Contract, the Contractor hereby agrees to comply with the M/WBE Program requirements of this Contract and pertinent provisions of Section 6-129, and any rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract. The Contractor hereby agrees to make all reasonable, good faith efforts to solicit and obtain the participation of MBEs and/or WBEs to meet the required Participation Goals.

# ARTICLE II. ENFORCEMENT

1. If Agency determines that a bidder or proposer, as applicable, has, in relation to this procurement, violated Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, Agency may disqualify such bidder or proposer, as applicable, from competing for this Contract and the Agency may revoke such bidder's or proposer's prequalification status, if applicable.

2. Whenever Agency believes that the Contractor or a subcontractor is not in compliance with Section 6-129

or the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to any M/WBE Utilization Plan, Agency shall send a written notice to the Contractor describing the alleged noncompliance and offering the Contractor an opportunity to be heard. Agency shall then conduct an investigation to determine whether such Contractor or subcontractor is in compliance.

3. In the event that the Contractor has been found to have violated Section 6-129, the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to, any M/WBE Utilization Plan, Agency may determine that one of the following actions should be taken:

- (a) entering into an agreement with the Contractor allowing the Contractor to cure the violation;
- (b) revoking the Contractor's pre-qualification to bid or make proposals for future contracts;
- (c) making a finding that the Contractor is in default of the Contract;
- (d) terminating the Contract;
- (e) declaring the Contractor to be in breach of Contract;
- (f) withholding payment or reimbursement;
- (g) determining not to renew the Contract;
- (h) assessing actual and consequential damages;
- (i) assessing liquidated damages or reducing fees, provided that liquidated damages may be based on amounts representing costs of delays in carrying out the purposes of the M/WBE Program, or in meeting the purposes of the Contract, the costs of meeting utilization goals through additional procurements, the administrative costs of investigation and enforcement, or other factors set forth in the Contract;
- (j) exercising rights under the Contract to procure goods, services or construction from another contractor and charge the cost of such contract to the Contractor that has been found to be in noncompliance; or
- (k) taking any other appropriate remedy.

4. If an M/WBE Utilization Plan has been submitted, and pursuant to this Article II, Section 3, the Contractor has been found to have failed to fulfill its Participation Goals contained in its M/WBE Utilization Plan or the Participation Goals as modified by Agency pursuant to Article I, Part A, Section 11, Agency may assess liquidated damages in the amount of ten percent (10%) of the difference between the dollar amount of work required to be awarded to MBE and/or WBE firms to meet the Participation Goals and the dollar amount the Contractor actually awarded and paid, and/or credited, to MBE and/or WBE firms. In view of the difficulty of accurately ascertaining the loss which the City will suffer by reason of Contractor's failure to meet the Participation Goals, the foregoing amount is hereby fixed and agreed as the liquidated damages that the City will suffer by reason of such failure, and not as a penalty. Agency may deduct and retain out of any monies which may become due under this Contract shall be less than the amount of liquidated damages suffered by the City, the Contractor shall be liable to pay the difference.

5. Whenever Agency has reason to believe that an MBE and/or WBE is not qualified for certification, or is participating in a contract in a manner that does not serve a commercially useful function (as defined in Section 6-129(c)(8)), or has violated any provision of Section 6-129, Agency shall notify the Commissioner of DSBS who shall determine whether the certification of such business enterprise should be revoked.

6. Statements made in any instrument submitted to Agency pursuant to Section 6-129 shall be submitted under penalty of perjury and any false or misleading statement or omission shall be grounds for the application of any applicable criminal and/or civil penalties for perjury. The making of a false or fraudulent statement by an MBE and/or WBE in any instrument submitted pursuant to Section 6-129 shall, in addition, be grounds for revocation of its certification.

7. The Contractor's record in implementing its M/WBE Utilization Plan shall be a factor in the evaluation of

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its performance. Whenever Agency determines that a Contractor's compliance with an M/WBE Utilization Plan has been unsatisfactory, Agency shall, after consultation with the City Chief Procurement Officer, file an advice of caution form for inclusion in PASSPort as caution data.

### CONTRACT SIGNATURE PAGE

This Contract is entered by and between the City of New York ("City"), acting by and through the **DEPARTMENT OF DESIGN AND CONSTRUCTION**, and **POWER CONCRETE CO., INC.** ("Contractor").

This Contract consists of this contract signature page as well as the following documents ("Contract Documents") which are located in the Documents tab of the PASSPort record titled **85024B0011-HWPR23MQ**.

- 1. (Question answer) hwpr23mq-bid bond.pdf May 15 2024 3:31PM
- 2. Bid Breakdown May 14 2024 5:01PM
- 3. Broker's Certificate May 15 2024 4:30PM
- 4. Disability Insurance May 15 2024 4:29PM
- 5. HWPR23MQ Addendum 1 May 9 2024 7:49PM
- 6. HWPR23MQ Bid Schedule [Addendum 1] May 9 2024 7:49PM
- 7. HWPR23MQ PLAN HOLDERS LIST May 9 2024 7:49PM
- 8. HWPR23MQ VOLUME 2 May 9 2024 7:49PM
- 9. HWPR23MQ Volume 3 [Addendum 1] May 9 2024 7:49PM
- 10. Insurance Certificate May 15 2024 4:28PM
- 11. Performance and Payment Bonds May 15 2024 4:30PM
- 12. Proposal/Bid May 9 2024 7:49PM
- 13. RFx Document May 9 2024 7:49PM
- 14. VOLUME 1 May 9 2024 7:49PM
- 15. Worker's Compensation May 15 2024 4:29PM

The above order does not represent an order of precedence. The Contract shall be governed by the order of precedence, if any, in the Contract Documents or by ordinary contract principles if no such order of precedence exists.

Each party is signing this Contract electronically on the date stated in that party's electronic signature.

The City of New York By: DEPARTMENT OF DESIGN AND CONSTRUCTION ERI(, MA(FARIANE

(Signature)

Name: ERIC MACFARLANE

Title: OFirst Deputy Commissioner

Date: 5/20/2024 | 08:26:14 PDT

Contractor

By: POWER CONCRETE CO., INC. Jose (asimiro (Signature) Name: Jose Casimiro Title: President

Date: 5/20/2024 | 08:18:34 PDT

Number of pages (including this one):  $\underline{3}$ 

- $\checkmark$  Document signed electronically, the signatories agreeing that it is authentic between them.
- ✓ By signing this document, the signatories acknowledge and agree that they have carefully read this document and approve all its terms.

Nom:	Casimiro Jose	Place: 497 Raymond Boulevard, Newark, NJ Date: 5/20/2024   08:18:34 PDT
Fonction:	President	Date: 3/20/2021   00:10:31   Di
		DocuSigned by:
		JOSE Casimiro 8F78D470E85B4A9
Nom	Macfarlane Fric	Place: LIC NY

DocuSigned by: EKI (MULFARLANE 1A87ABA0188B41C	Nom: Fonction:	Macfarlane Eric	Date: 5/20/2024   08:26:14 PDT
	Poliction.		DocuSigned by: ERIC MUCFURUNE 1A87ABA0188B41C



## **CERTIFICATE OF LIABILITY INSURANCE**

DATE (MM/DD/YYYY) 5/8/2024

C E R	THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.								
H	MPORTANT: If the certificate holder i SUBROGATION IS WAIVED, subject his certificate does not confer rights to	to th	ne ter	ms and conditions of th	e policy, certain p	olicies may			
	DUCER				CONTACT NAME: Jennifer F	,			
-	pretti Nobre Insurance Services				PHONE (A/C, No, Ext): 201-35		FAX (A/C, No):	201-351	-0069
	1 Central Avenue				E-MAIL ADDRESS: certificat			201-33	-0003
	lite 202 ewark NJ 07103								
									NAIC #
				POWECON-01	INSURER A : Traveler	,			25666
	JRED ower Concrete Co., Inc.			FOWEGON-01	INSURER B : Starr Inc	demnity & Lial	pility Company		38318
	7 Raymond Blvd				INSURER C: Traveler	rs Indemnity C	Co of CT		25682
Ne	wark, NJ 07105				INSURER D: New Yo	rk State Insur	ance Fund		36102
					INSURER E : Hartford	Underwriters	Insurance Company		30104
					INSURER F : Navigate				42307
CC	VERAGES CER	TIFIC	CATE	NUMBER: 174805540			REVISION NUMBER:		
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С	X COMMERCIAL GENERAL LIABILITY	Y	Υ	DT-CO-4K708291-TCT-23	12/31/2023	12/31/2024	EACH OCCURRENCE	\$2,000,	000
	CLAIMS-MADE X OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 1,000,	000
							MED EXP (Any one person)	\$ 10,000	)
							PERSONAL & ADV INJURY	\$2,000,	000
	GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE	\$4,000,	000
	POLICY X PRO- JECT LOC						PRODUCTS - COMP/OP AGG	\$4,000,	000
	OTHER:							\$	
А	AUTOMOBILE LIABILITY	Y	Y	810-1L698848-22-26-G	12/31/2023	12/31/2024	COMBINED SINGLE LIMIT (Ea accident)	\$ 1,000,	000
	X ANY AUTO						BODILY INJURY (Per person)	\$	
	OWNED SCHEDULED						BODILY INJURY (Per accident)	\$	
	AUTOS ONLY AUTOS X HIRED X NON-OWNED						PROPERTY DAMAGE (Per accident)	\$	
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	X EXCESS LIAB CLAIMS-MADE						AGGREGATE	\$ 3,000,	
	DED RETENTION \$						AGGREGATE	\$ 0,000,	
D	WORKERS COMPENSATION		Y	G26031682	12/31/2023	1/1/2025	X PER OTH- STATUTE ER	φ	
Ė	AND EMPLOYERS' LIABILITY ANYPROPRIETOR/PARTNER/EXECUTIVE			246421	12/31/2023	12/31/2024		\$ 1,000,	000
	OFFICER/MEMBEREXCLUDED?	N/A					E.L. EACH ACCIDENT		
	If yes, describe under						E.L. DISEASE - EA EMPLOYEE		
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	operty - Carrier (Atlantic Specialty Insurar uipment: \$250,000	nce C	Compa	any) NAIC #27154 Policy #	10-03-82-15-0005	; Policy Period	1:02/15/2024-02/15/2025	Leased/	Rented
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Ea	ntractors Pollution Liability - Carrier (Berl ch Occurrence: \$5,000,000 / General Ag :: Project ID: HWPR23MQ- REHABILITA	gréga	ate Li	mit: \$10,000,000					
	e City of New York, including its officials ir interest may appear with respect to wo								
CE	RTIFICATE HOLDER				CANCELLATION				
	The City of New York Department of Design & Co	onstr	ructio	n		N DATE THE	ESCRIBED POLICIES BE C/ EREOF, NOTICE WILL E Y PROVISIONS.		
	30-30 Thomson Avenue Long Island City NY 11101 USA	3045	5			NTATIVE			

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## CITY OF NEW YORK CERTIFICATION BY INSURANCE BROKER OR AGENT

The undersigned insurance broker represents to the City of New York that the attached Certificate of Insurance is accurate in all material respects.

Goretti Nobre Insurance Services
[Name of broker or agent (typewritten)]
191 Central Ave, Suite 202, Newark, NJ 07103
[Address of broker or agent (typewritten)]
certificates@gorettinobre.com
[Email address of broker or agent (typewritten)]
201-351-0069
[Phone number/Fax number of broker or agent (typewritten)]
Ma The
[Signature of authorized official, broker, or agent]
Victor Fonseca, Founder & CEO
[Name and title of authorized official, broker, or agent (typewritten)]
State of) ) ss.: County ofEssex
) ss.: County ofEssex)
Sworn to before me this <u>8th</u> day of <u>May</u> , 20 <u>24</u>
NOTARY PUBLIC FOR THE STATE OF New Jersey

	KETSIA SEIDE Public, State of New Jersey Comm. # 50100403
My Co	mmission Expires 3/12/2029



## **CERTIFICATE OF WORKERS' COMPENSATION INSURANCE**

^ ^ ^ ^ ^ 222813594

HAMOND SAFETY MANAGEMENT LLC 6800 JERICHO TURNPIKE SUITE 105W SYOSSET NY 11791



SCAN TO VALIDATE AND SUBSCRIBE

POLICYHOLDER	CERTIFICATE HOLDER
POWER CONCRETE CO INC 497 RAYMOND BLVD NEWARK NJ 07105	THE CITY OF NEW YORK DEPT OF DESIGN AND CONSTRUCTION 30-30 THOMSON AVENUE LONG ISLAND CITY NY 11101

POLICY NUMBER	CERTIFICATE NUMBER	POLICY PERIOD	DATE
G2603 168-2	93501	01/01/2024 TO 01/01/2025	5/8/2024

THIS IS TO CERTIFY THAT THE POLICYHOLDER NAMED ABOVE IS INSURED WITH THE NEW YORK STATE INSURANCE FUND UNDER POLICY NO. 2603 168-2, COVERING THE ENTIRE OBLIGATION OF THIS POLICYHOLDER FOR WORKERS' COMPENSATION UNDER THE NEW YORK WORKERS' COMPENSATION LAW WITH RESPECT TO ALL OPERATIONS IN THE STATE OF NEW YORK, EXCEPT AS INDICATED BELOW.

#### IF YOU WISH TO RECEIVE NOTIFICATIONS REGARDING SAID POLICY, INCLUDING ANY NOTIFICATION OF CANCELLATIONS, OR TO VALIDATE THIS CERTIFICATE, VISIT OUR WEBSITE AT HTTPS://WWW.NYSIF.COM/CERT/CERTVAL.ASP. THE NEW YORK STATE INSURANCE FUND IS NOT LIABLE IN THE EVENT OF FAILURE TO GIVE SUCH NOTIFICATIONS.

THE POLICY INCLUDES A WAIVER OF SUBROGATION ENDORSEMENT UNDER WHICH NYSIF AGREES TO WAIVE ITS RIGHT OF SUBROGATION TO BRING AN ACTION AGAINST THE CERTIFICATE HOLDER TO RECOVER AMOUNTS WE PAID IN WORKERS' COMPENSATION AND/OR MEDICAL BENEFITS TO OR ON BEHALF OF AN EMPLOYEE OF OUR INSURED IN THE EVENT THAT, PRIOR TO THE DATE OF THE ACCIDENT, THE CERTIFICATE HOLDER HAS ENTERED INTO A WRITTEN CONTRACT WITH OUR INSURED THAT REQUIRES THAT SUCH RIGHT OF SUBROGATION BE WAIVED.

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS NOR INSURANCE COVERAGE UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICY.

NEW YORK STATE INSURANCE FUND

DIRECTOR, INSURANCE FUND UNDERWRITING



PART 1. To be completed by NYS disability and Paid Far	mily Leave benefits carrier or licensed insurance agent of that carrier
1a. Legal Name & Address of Insured (use street address only)	1b. Business Telephone Number of Insured
POWER CONCRETE CO., INC. (NEW YORK EMPLOYEES)	070 105 0000
497 RAYMOND BOULEVARD NEWARK, NJ 07105	973-465-0030
Work Location of Insured (Only required if coverage is specifically limited certain locations in New York State, i.e., Wrap-Up Policy)	1c. Federal Employer Identification Number of Insured or Social Security Number
	22-2813594
2. Name and Address of Entity Requesting Proof of Coverage	3a. Name of Insurance Carrier
(Entity Being Listed as the Certificate Holder) The City of New York	Standard Security Life Insurance Company of New York
Department of Design and Construction	3b. Policy Number of Entity Listed in Box 1a
30-30 Thomson Ave 4th Floor (IDCY Building	g) R96383-000
Long Island City, NY 11101	3c. Policy Effective Period
	1/1/2014 to 12/31/2024
insured has NYS disability and/or Paid Family Leave benefits insura Date Signed <u>5/8/2024</u> By	A set or licensed agent of the insurance carrier referenced above and that the named ance coverage as described above.
completion to the Workers' Compensation Bo	pard, Plans Acceptance Unit, PO Box 5200, Binghamton, NY 13902-5200.
PART 2. To be completed by the NYS Workers' Comp	ensation Board (Only if Box 4B, 4C or 5B of Part 1 has been checked)
Workers' According to information maintained by the NYS Workers	<b>te of New York</b> <b>Compensation Board</b> ' Compensation Board, the above-named employer has complied w(Article 9 of the Workers' Compensation Law) with respect to all of
Date Signed By	(Signature of Authorized NYS Workers' Compensation Board Employee)
Telephone Number Name and T	Title
Place Note: Only incurrence contribute licensed to write NVC disch	hility and Paid Family Leave benefits insurance policies and NYS licensed

**Please Note:** Only insurance carriers licensed to write NYS disability and Paid Family Leave benefits insurance policies and NYS licensed insurance agents of those insurance carriers are authorized to issue Form DB-120.1. **Insurance brokers are NOT authorized to issue this form.** 



## Additional Instructions for Form DB-120.1

By signing this form, the insurance carrier identified in Box 3 on this form is certifying that it is insuring the business referenced in Box 1a for disability and/or Paid Family Leave benefits under the NYS Disability and Paid Family Leave Benefits Law. The insurance carrier or its licensed agent will send this Certificate of Insurance Coverage (Certificate)to the entity listed as the certificate holder in Box 2.

The insurance carrier must notify the above certificate holder and the Workers' Compensation Board within 10 days IF a policy is cancelled due to nonpayment of premiums or within 30 days IF there are reasons other than nonpayment of premiums that cancel the policy or eliminate the insured from coverage indicated on this Certificate. (These notices may be sent by regular mail.) Otherwise, this Certificate is valid for one year after this form is approved by the insurance carrier or its licensed agent, or until the policy expiration date listed in Box 3c, whichever is earlier.

This certificate is issued as a matter of information only and confers no rights upon the certificate holder. This certificate does not amend, extend or alter the coverage afforded by the policy listed, nor does it confer any rights or responsibilities beyond those contained in the referenced policy.

This Certificate may be used as evidence of a NYS disability and/or Paid Family Leave benefits contract of insurance only while the underlying policy is in effect.

Please Note: Upon the cancellation of the disability and/or Paid Family Leave benefits policy indicated on this form, if the business continues to be named on a permit, license or contract issued by a certificate holder, the business must provide that certificate holder with a new Certificate of Insurance Coverage for NYS disability and/ or Paid Family Leave Benefits or other authorized proof that the business is complying with the mandatory coverage requirements of the NYS Disability and Paid Family Leave Benefits Law.

## NYS DISABILITY AND PAID FAMILY LEAVE BENEFITS LAW

### §220. Subd. 8

(a) The head of a state or municipal department, board, commission or office authorized or required by law to issue any permit for or in connection with any work involving the employment of employees in employment as defined in this article, and not withstanding any general or special statute requiring or authorizing the issue of such permits, shall not issue such permit unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that the payment of disability benefits and after January first, two thousand and twenty-one, the payment of family leave benefits for all employees has been secured as provided by this article. Nothing herein, however, shall be construed as creating any liability on the part of such state or municipal department, board, commission or office to pay any disability benefits to any such employee if so employed.

(b) The head of a state or municipal department, board, commission or office authorized or required by law to enter into any contract for or in connection with any work involving the employment of employees in employment as defined in this article and notwithstanding any general or special statute requiring or authorizing any such contract, shall not enter into any such contract unless proof duly subscribed by an insurance carrier is produced in a form satisfactory to the chair, that the payment of disability benefits and after January first, two thousand eighteen, the payment of family leave benefits for all employees has been secured as provided by this article.

#### PERFORMANCE BOND #1

<u>Performance Bond #1 (4 Pages)</u>: Use if the total contract price is \$5 Million Or Less. Performance Bond #1 has been approved by the U.S. Small Business Administration ("SBA")for participation in its Bond Guarantee Program.

PERFORMANCE BOND #1 (Page 1)

#### KNOW ALL PERSONS BY THESE PRESENTS:,

That we, \_\_\_\_\_

hereinafter referred to as the "Principal," and, \_\_\_\_\_

(\$\_\_\_\_\_\_) Dollars, lawful money of the United States for the payment of whichsaid sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth infull; **NOW, THEREFORE,** the conditions of this obligation are such that if the Principal, his or its representatives or assigns, shall well and faithfully perform the said Contract and all modifications, amendments, additions and alterations thereto that may hereafter be made, according to its terms and its true intent and meaning, including repair and or replacement of defective work and guarantees of maintenance for the periods stated in the Contract, and shall fully indemnify and save harmless the City from all cost and damage which it may suffer by reason of the Principal's default of the Contract, and shall fully reimburse and repay the City for all outlay and expense which the City may incur in making good any such default and shall protect the said City of New York against, and pay any and all amounts, damages, cost and judgments which may or shall be recovered against said City or its officers or agents or which the said City of New York may be called upon to pay any person or corporation by reason of any damages arising or growing out of the Principal's default of the Contract, then this obligation shall be nulland void, otherwise to remain in full force and effect.

The Surety (Sureties), for value received, hereby stipulates and agrees, upon written notice from the Citythat the City has determined that the Principal is in default of the Contract, to (1) pay the City the cost tocomplete the contract as determined by the City in excess of the balance of the Contract held by the City, plus any damages or costs to which the City is entitled, up to the full amount of the above penal sum, (2)fully perform and complete the Work to be performed under the Contract, pursuant to the terms, conditions, and covenants thereof, or (3) tender a completion Contractor that is acceptable to the City. The Surety (Sureties) further agrees, at its option, either to notify the City that it elects to pay the city thecost of completion plus any applicable damages and costs under option (1) above, or to commence anddiligently perform the Work specified in the Contract, including physical site work, within twenty-five

(25) business days after written notice thereof from the City and, if the Surety elects to fully perform and complete the Work, then to complete all Work within the time set forth in the Contract or such other time as agreed to between the City and Surety in accordance with the Contract. If the Surety elects to tender payment pursuant to (1) above, then the Surety shall tender such amount within fifteen (15) business days notification from the City of the cost of completion. The Surety and the City reserve all rights and defenses each may have against the other; provided, however, that the Surety expressly agrees that its reservation of rights shall not provide a basis for non-performance of its obligation to pay the City the cost of completion, to commence and complete all Work as provided herein, or to tender a completion contractor.

The Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties) and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or to the said Contract or the Work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or any moneys due or to become due thereunder; and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, and waivers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to subcontractors shall have the same effect as to said Surety (Sureties) as though done or omitted to be done by or in relation to said Principal. Notwithstanding the above, if the City makes payments to the Principal before the time required by the contract that in the aggregate exceed \$100,000 or 10% of the Contract price, whichever is less, and that have not become earned prior to the Principal being found to be in default, then all payments made to the Principal before the time required by the Contract shall be added to the remaining contract value available to be paid for the completion of the Contract as if such sums had not been paid to the Principal, but shall not provide abasis for non-performance of its obligation to pay the City the cost of completion, to commence and to complete all Work as provided herein, or to tender a completion contractor.

#### PERFORMANCE BOND #1 (Page 3)

**IN WITNESS WHEREOF**, The Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this

	day of		, 20		.(Seal)
			Principal	(L.S.)	
(Seal)	By:				_
		Surety			
		By:			<u>.</u> .
(Seal)			Surety		<u>.</u>
		By <u>:</u>			<u>.</u> .
(Seal)			Surety		<u>.</u>
		•			_•
(Seal)			Surety		<u>.</u>
		By <u>:</u>			<u>.</u> .
(Seal)			Surety		<u>.</u>
		By:			
Bond Premium Rate			<u>.</u>		
Bond Premium Cost			<u>.</u>		

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by aduly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.

#### PERFORMANCE BOND #1 (Page 4)

State of	<u>ACKNOWLEDGMENT O</u> Count		
State of	Count		00.
came	,		before me personally
to me known, wh	o, being by me duly sworn di		
	going instrument by order of		nent; and that he/she signed his/h poration as the duly authorized a
Notary Public or	Commissioner of Deeds.		
	ACKNOWLEDGMENT (	OF PRINCIPAL IF A PA	<u>RTNERSHIP</u>
State of	Count	y of	ss:
On this came		, 20	before me personally
to me known, wh	o, being by me duly sworn di	d dispose and say that he/ ; that he/she is artnership existing under t	she residesat partner ofpartner of he laws of the State of
	, the partnership descr	ibed in and which execute	ed the foregoing instrument; and uthorized and binding act of said
Notary Public or C	ommissioner of Deeds.		
	ACKNOWLEDGMENT	OF PRINCIPAL IF AN 1	INDIVIDUAL
State of	Count	y of	ss:
came	,		before me personally
	o, being by me duly sworn di	, and that he/she is the	e individual whose name is
subscribed to the	within instrument and acknown of the instrument	vledged to me that by his/	her signature on the

Notary Public or Commissioner of Deeds

Each executed bond should be accompanied by: (a) appropriate acknowledgments of the respective parties; (b) appropriate duly certified copy of Power of Attorney or other certificate of authority where bond is executed by agent, officer or other representative of Principal or Surety; (c) a duly certified extract from By-Laws or resolutions of Surety under which Power of Attorney or other certificate of authority of its agent, officer or representative was issued, and (d) certified copy of latest published financial statement of assets and liabilities of Surety.

\* \* \* \* \* \* \* \*

Affix Acknowledgments and Justification of Sureties.

#### BOND #108037340

#### **PERFORMANCE BOND #2**

Performance Bond #2 (4 pages): Use if the total contract price is more than \$5 Million.

#### PERFORMANCE BOND #2 (Page 1) PERFORMANCE BOND #2KNOW ALL PERSONS BY THESE PRESENTS:,

That we, \_\_\_\_

Power Concrete Co., Inc.

#### 497 Raymond Blvd.; Newark, New Jersey 07105

hereinafter referred to as the "Principal," and, \_\_\_\_\_

Travelers Casualty and Surety Company of America

343 Thornall Street, 5th Floor

Edison, New Jersey 08837

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns in the penal sum of \_\_\_\_\_\_

Seventeen Million Nine Hundred Sixty Nine Thousand Nine Hundred Twenty Four and 00/100

(\$<u>17,969,924.00</u>) Dollars, lawful money of the United States for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for

#### HWPR23MQ, REHABILITATION OF STANDARD PEDESTRIAN RAMPS AT DESIGNATED LOCATIONS; BOROUGH OF MANHATTAN AND QUEENS, CITY OF NEW YORK

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth infull;

**NOW, THEREFORE,** the conditions of this obligation are such that if the Principal, his or its representatives or assigns, shall well and faithfully perform the said Contract and all modifications, amendments, additions and alterations thereto that may hereafter be made, according to its terms and its true intent and meaning, including repair and or replacement of defective work and guarantees of maintenance for the periods stated in the Contract, and shall fully indemnify and save harmless the Cityfrom all cost and damage which it may suffer by reason of the Principal's default of the Contract, and shall fully reimburse and repay the City for all outlay and expense which the City may incur in making

good any such default and shall protect the said City of New York against, and pay any and all amounts, damages, cost and judgments which may or shall be recovered against said City or its officers or agents orwhich the said City of New York may be called upon to pay any person or corporation by reason of any damages arising or growing out of the Principal's default of the Contract, then this obligation shall be nulland void, otherwise to remain in full force and effect.

#### PERFORMANCE BOND #2 (Page 2)

The Surety (Sureties), for value received, hereby stipulates and agrees, upon written notice from the Citythat the City has determined that the Principal is in default of the Contract, to either (1) pay the full amount of the above penal sum in complete discharge and exoneration of this bond and of all the liabilities of the Surety relating to this bond, or (2) fully perform and complete the Work to be performed under theContract, pursuant to the terms, conditions, and covenants thereof. The Surety (Sureties) further agrees, at its option, either to tender the penal sum or to commence and diligently perform the Work specified in the Contract, including physical site work, within twenty-five (25) business days after written notice thereof from the City and to complete all Work within the time set forth in the Contract or such other time as agreed to between the City and Surety in accordance with the Contract. The Surety and the City reserveall rights and defenses each may have against the other; provided, however, that the Surety expressly agrees that its reservation of rights shall not provide a basis for non-performance of its obligation to commence and to complete all Work as provided herein.

The Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties) and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or to the said Contract or the Work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any Work to be performed or any moneys due or to become due thereunder; and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, subcontractors, and other transferees shall have the same effect as to said Surety (Sureties) as though done or omitted to be done by or in relation to said Principal.

#### PERFORMANCE BOND #2 (Page 3)

**IN WITNESS WHEREOF,** The Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this

OWER 8th	day of	May	20_24	
(Seal)	By:	By:	oncrete Co., Inc. Principal e R. Casimiro / President Casualty and Surety Comp	
(Seal)	- 5-	Surety By: 11	<u>Minderjahn - Attorney-</u> Surety	
(Seal)		By <u>:</u>	Surety	
		B <u>y:</u>		·
(Seal)			Surety	<b>.</b>
		By:		·
(Seal)			Surety	<u>.</u>
		By:		·
Bond Premium Rate	\$12.95 Sliding Ra	ite		
Bond Premium Cost	4145 001 00			

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by aduly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.

## PERFORMANCE BOND #2 (Page 4) ACKNOWLEDGMENT OF PRINCIPAL IF A CORPORATION

State of	<u>New Jers</u>	ey	County of	Essex		\$\$;
	8th ose R. Cas	imiro ,			before	me personally
to me kno 280 Lau	wn, who, b rel Lane,	eing by me dul Clark, NJ 070	y sworn did depos	se and say that l t he/she is the	he residesat Presiden	t
of the corr name to the binding action	poration de neforegoing t thereof	scribed in and	which executed to order of the direct	he foregoing ir tors of said cor PEDRO M. NOTARY PUBLIC COMMISSION	astrument; that he/s poration as the duly ANTUNES OF NEW JERSEY	she signed his/her y authorized and
	<u>A0</u>	CKNOWLED	GMENT OF PRI	NCIPAL IF A	PARTNERSHIP	
State of			County of			SS:
On this came		day of		, 20	before	me personally
	wn, who, b	eing by me dul	y sworn did depos	e and say that h	ne/she residesat	
			imited/general par		ng under the laws or executed the forego	partner of f the State of ping instrument; and
that he/she partnership					y authorized and bi	
Notowy Dul						

Notary Public or Commissioner of Deeds

#### **ACKNOWLEDGMENT OF PRINCIPAL IF AN INDIVIDUAL**

State of	Coi	inty of	SS:
On this	day of	, 20	before me personally
came			
to me known, who, being	, by me duly sworn	did depose and say that he	she residesat
			individual whose name is
subscribed to the within i said individual executed		owledged to me that by his	s/her signature on the instrument.

Notary Public or Commissioner of Deeds

Each executed bond should be accompanied by: (a) appropriate acknowledgments of the respective parties; (b) appropriate duly certified copy of Power of Attorney or other certificate of authority where bond is executed by agent, officer or other representative of Principal or Surety; (c) a duly certified extract from By-Laws or resolutions of Surety under which Power of Attorney or other certificate of authority of its agent, officer or representative was issued, and (d) certified copy of latest published financial statement of assets and liabilities of Surety.

\* \* \* \* \* \* \* \*

Affix Acknowledgments and Justification of Sureties.

SOMERSET COUNTY IN THE STATE OF NEW JERSEY TO EXECUTE AND PERFORM ACCORDING TO LAW THE SAID OFFICE OF NOTARY PUBLIC OF SAID STATE TO HAVE AND TO HOLD THE SAME WITH THE POWERS AND RIGHTS FOR A PERIODOF FIVE YEARS FROM DATE HEREOF, IN TESTIMONY WHEREOF THE GREAT SEAL OF THE STATE IS HEREUNTO AFFICED In Witness Whereof, I have hereinto set my hand and affixed the Official seal SIGNED IN PRESENCE OF COUNTY CLERK REPOSING SPECIAL TRUST AND CONFIDENCE IN YOUR INTEGRITY. PRUDENCE AND ABILITY I HAVE BY THE AUTHORITY INVESTED IN ME BY LAW COMMISSIONED YOU A NOTARY PUBLIC OF Alask A. Muse State Treasurer State Of New Jersey Notary Public Commission Ky. EXPIRES: SEPTEMBER 10, 2024 SEPTEMBER 10, 2019 **PEDRO M ANTUNES** COMM. NO: 50002916

## ACKNOWLEDGMENT BY PRINCIPAL IF INDIVIDUAL OR PARTNERSHIP

	· · · · · · · · · · · · · · · · · · ·	(15)
	, to wit:	(16)
, a Notary Public		(17)
	ne county and state aforesaid, do hereby certify that	(18)
	e is signed to the foregoing writing, bearing the execution	(19)
before me in my said county.	, 20, has this day acknowledged the same	
, 20	r my hand this day of	(20)
, Notary Public	(22)	(21)
	(23) Commission Expires:	
	CKNOWLEDGMENT BY PRINCIPAL IF CORPORATION	
	New Jersey	(24)
	Essex, to wit:	(25)
in and for the county and state	Pedro M. Antunes, a Notary Public	(26)
	o hereby certify that Jose R. Casimiro	(27)
	pregoing writing for <u>Power Concrete Co., Inc.</u>	
	execution date of the <u>8th</u> day of <u>May</u>	
	ounty, before me, acknowledged the said writing to be the act and	
, 20 24 .	my hand this <u>sth</u> day of <u>May</u>	(30)
,Notary Public	PEDRO M. ANTUNES NOTARY PUBLIC OF NEW JERSEY COMMISSION # 50002916 (33)Commission Expires:	(31) (31) (32)
9/10/2024	MY COMMISSION EXPIRES 9/10/2024	2452
9/10/2024	ACKNOWLEDGMENT FOR SURETY	1111111111111111
9/10/2024	MY COMMISSION EXPIRES 9/10/2024	, ø
9/10/2024	ACKNOWLEDGMENT FOR SURETY	
	ACKNOWLEDGMENT FOR SURETY Pennsylvania	(34)
in and for the county and state	ACKNOWLEDGMENT FOR SURETY Pennsylvania Montgomery, to wit:	(34) (35) (36)
in and for the county and state , who signed the	ACKNOWLEDGMENT FOR SURETY Pennsylvania Montgomery, to wit: aria Vadarlis, a Notary Public hereby certify that William L. Minderjahn - Attorney-In-Fact	(34) (35) (36) (37)
in and for the county and state , who signed the of America, a corporation,	ACKNOWLEDGMENT FOR SURETY Pennsylvania Montgomery, to wit: aria Vadarlis, a Notary Public	<ul> <li>(34)</li> <li>(35)</li> <li>(36)</li> <li>(37)</li> <li>(38)</li> </ul>
in and for the county and state , who signed the of America, a corporation, 24, has this day in my said	ACKNOWLEDGMENT FOR SURETY  Pennsylvania  Montgomery, to wit: aria Vadarlis, a Notary Public hereby certify that William L. Minderjahn - Attorney-In-Fact iting, or hereto annexed, for Travelers Casualty and Surety Company	<ul> <li>(34)</li> <li>(35)</li> <li>(36)</li> <li>(37)</li> <li>(38)</li> <li>(39)</li> </ul>
in and for the county and state , who signed the <u>of America</u> , a corporation, <u>24</u> , has this day in my said e said corporation.	ACKNOWLEDGMENT FOR SURETY  Pennsylvania  Montgomery, to wit: aria Vadarlis, to wit: hereby certify that William L. Minderjahn - Attorney-In-Fact iting, or hereto annexed, for Travelers Casualty and Surety Company xecution date of the 8th day of, 20	<ul> <li>(34)</li> <li>(35)</li> <li>(36)</li> <li>(37)</li> <li>(38)</li> <li>(39)</li> </ul>

Dated this \_\_\_\_\_\_, 20\_\_\_\_.

By:\_\_\_\_\_

Assistant Attorney General

In Witness Whereof. I have hereunto set my hand and affixed the Official seal SOMERSET COUNTY IN THE STATE OF NEW JERSEY TO EXECUTE AND PERFORM ACCORDING TO LAW THE SAID OFFICE OF NOTARY PUBLIC OF SAID STATE TO HAVE AND TO HOLD THE SAME WITH THE POWERS AND RIGHTS FOR A PERIODOF FIVE YEARS FROM DATE HEREOF, IN TESTIMONY WHEREOF THE GREAT SEAL OF THE STATE IS HEREUNTO AFFICED SIGNED IN PRESENCE OF COUNTY CLERK REPOSING SPECIAL TRUST AND CONFIDENCE IN YOUR INTEGRITY, PRUDENCE AND ABILITY 1 HAVE BY THE AUTHORITY INVESTED IN ME BY LAW COMMISSIONED YOU A NOTARY PUBLIC OF Elizabeth Maher Muoio Black of Man State Treasurer State Of New Jersey Notary Public Commission ella SEPTEMBER 10, 2019 EXPIRES: SEPTEMBER 10, 2024 **PEDRO M ANTUNES** COMM. NO: 50002916



#### Travelers Casualty and Surety Company of America **Travelers Casualty and Surety Company** St. Paul Fire and Marine Insurance Company

#### POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company are corporations duly organized under the laws of the State of Connecticut (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint William L. Minderjahn of New York, New York, their true and lawful Attorney-in-Fact to sign, execute, seal and acknowledge any and all bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereof on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

IN WITNESS WHEREOF, the Companies have caused this instrument to be signed, and their corporate seals to be hereto affixed, this 3rd day of February. 2017.



State of Connecticut

City of Hartford ss.

Bv: Robert L. Raney, Senior Vice President

On this the 3rd day of February, 2017, before me personally appeared Robert L. Raney, who acknowledged himself to be the Senior Vice President of Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

In Witness Whereof, I hereunto set my hand and official seal.

My Commission expires the 30th day of June, 2021



marie c Intreault Marie C. Tetreault, Notary Public

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company, which resolutions are now in full force and effect, reading as follows:

RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

FURTHER RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, Kevin E. Hughes, the undersigned, Assistant Secretary of Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which remains in full force and effect.

Schart 8th Dated this May, day of 2024.

Kevin E. Hughes, Assistant Secretary

To verify the authenticity of this Power of Attorney, please call us at 1-800-421-3880. Please refer to the above-named Attorney-In-Fact and the details of the bond to which the power is attached.

#### TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA

#### HARTFORD, CONNECTICUT 06183

#### FINANCIAL STATEMENT AS OF DECEMBER 31, 2023

#### AS FILED IN THE STATE OF NEW YORK

#### CAPITAL STOCK \$ 6,480,000

ASSETS		LIABILITIES & SURPLUS	
BONDS STOCKS CASH AND INVESTED CASH OTHER INVESTED ASSETS SECURITIES LENDING REINVESTED COLLATERAL ASSETS INVESTMENT INCOME DUE AND ACCRUED PREMIUM BALANCES REINSURANCE RECOVERABLE NET DEFERRED TAX ASSET GUARANTY FUNDS RECEIVABLE OR ON DEPOSIT OTHER ASSETS	\$ 5,104,395,801 119,333,643 30,541,608 8,355,939 13,350,613 43,617,243 325,304,977 27,997,684 72,421,341 1,798,901 818,309	LOSSES LOSS ADJUSTMENT EXPENSES COMMISSIONS OTHER EXPENSES TAXES, LICENSES AND FEES CURRENT FEDERAL AND FOREIGN INCOME TAXES UNEARNED PREMIUMS ADVANCE PREMIUM POLICYHOLDER DIVIDENDS CEDED REINSURANCE NET PREMIUMS PAYABLE AMOUNTS WITHHELD / RETAINED BY COMPANY FOR OTHERS REMITTANCES AND ITEMS NOT ALLOCATED PROVISION FOR REINSURANCE PAYABLE FOR SECURITIES LENDING OTHER ACCRUED EXPENSES AND LIABILITIES TOTAL LIABILITIES	<ul> <li>\$ 1,495,036,900</li> <li>146,791,770</li> <li>55,734,579</li> <li>55,546,499</li> <li>15,857,431</li> <li>5,300,838</li> <li>1,515,112,686</li> <li>4,091,249</li> <li>21,388,522</li> <li>62,914,516</li> <li>21,072,858</li> <li>7,201,721</li> <li>9,891,783</li> <li>13,350,613</li> <li>409,380</li> <li>\$ 3,429,701,342</li> </ul>
		CAPITAL STOCK PAID IN SURPLUS OTHER SURPLUS TOTAL SURPLUS TO POLICYHOLDERS	\$ 6,480,000 433,803,760 1,877,950,956 \$ 2,318,234,716
TOTAL ASSETS	\$ 5,747,936,058	TOTAL LIABILITIES & SURPLUS	\$ 5,747,936,058

STATE OF CONNECTICUT	)
COUNTY OF HARTFORD	) SS.
CITY OF HARTFORD	)

MICHAEL J. DOODY, BEING DULY SWORN, SAYS THAT HE IS VICE PRESIDENT - FINANCE, OF TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA,

AND THAT TO THE BEST OF HIS KNOWLEDGE AND BELIEF, THE FOREGOING IS A TRUE AND CORRECT STATEMENT OF THE FINANCIAL CONDITION OF SAID COMPANY AS OF THE 31ST DAY OF DECEMBER, 2023.

SUBSCRIBED AND SWORN TO BEFORE ME THIS 15TH DAY OF MARCH, 2024



SUSAN M. WEISSLEDER Notary Public My Commission Expires November 30, 2027

NOTARY PUBLIC

#### PAYMENT BOND

Use for any contract for which a Payment Bond is required.

PAYMENT BOND (Page 1)

#### PAYMENT BOND

KNOW ALL PERSONS BY THESE PRESENTS, That we, Power Concrete Co., Inc.

497 Raymond Blvd.

Newark, New Jersey 07105

hereinafter referred to as the "Principal", and <u>Travelers Casualty and Surety Company of America</u>

343 Thornall Street, 5th Floor

Edison, New Jersey 08837

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns, in the penal sum of

Seventeen Million Nine Hundred Sixty Nine Thousand Nine Hundred Twenty Four and 00/100

(\$<u>17,969,924.00</u> Dollars, lawful money of the United States, for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for

#### HWPR23MQ, REHABILITATION OF STANDARD PEDESTRIAN RAMPS AT DESIGNATED

#### LOCATIONS; BOROUGH OF MANHATTAN AND QUEENS, CITY OF NEW YORK

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full;

NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or its representatives or assigns and other Subcontractors to whom Work under this Contract is sublet and his or their successors and assigns shall promptly pay or cause to be paid all lawful claims for

(a) Wages and compensation for labor performed and services rendered by all persons engaged in the prosecution of the Work under said Contract, and any amendment or extension thereof or addition thereto, whether such persons be agents servants or employees of the Principal or any such Subcontractor, including all persons so engaged who perform the work of laborers or mechanics at or in the vicinity of the site of the Project regardless of any contractual relationship between the Principal or such Subcontractors, or his or their successors or assigns, on the one hand and such laborers or mechanics on the other, but not including office employees not regularly stationed at the site of the project; and

#### PAYMENT BOND (Page 2)

(b) Materials and supplies (whether incorporated in the permanent structure or not), as well as teams, fuels, oils, implements or machinery furnished, used or consumed by said Principal or any subcontractor at or in the vicinity of the site of the Project in the prosecution of the Work under said Contract and any amendment or extension thereof or addition thereto; then this obligation shall be void, otherwise to remain infull force and effect.

This bond is subject to the following additional conditions, limitations and agreements:

(a) The Principal and Surety (Sureties) agree that this bond shall be for the benefit of any materialmen or laborer having a just claim, as well as the City itself.

(b) All persons who have performed labor, rendered services or furnished materials and supplies, as aforesaid, shall have a direct right of action against the Principal and his, its or their successors and assigns, and the Surety (Sureties) herein, or against either or both or any of them and their successors and assigns. Such persons may sue in their own name, and may prosecute the suit to judgment and execution without the necessity of joining with any other persons as party plaintiff.

(c) The Principal and Surety (Sureties) agree that neither of them will hold the City liable for any judgment for costs of otherwise, obtained by either or both of them against a laborer or materialman in a suit brought by either a laborer or materialman under this bond for moneys allegedly due for performing work or furnishing material.

(d) The Surety (Sureties) or its successors and assigns shall not be liable for any compensation recoverable by an employee or laborer under the Workmen's Compensation Law.

(e) In no event shall the Surety (Sureties), or its successors or assigns, be liable for a greater sum than the penalty of this bond or be subject to any suit, action or proceeding hereon that is instituted by any person, firm, or corporation hereunder later than two years after the complete performance of said Contract and final settlement thereof.

The Principal, for himself and his successors and assigns, and the Surety (Sureties), for itself and its successors and assigns, do hereby expressly waive any objection that might be interposed as to the rightof the City to require a bond containing the foregoing provisions, and they do hereby further expressly waive any defense which they or either of them might interpose to an action brought hereon by any person, firm or corporation, including subcontractors, materialmen and third persons, for work, labor, services, supplies or material performed rendered, or furnished as aforesaid upon the ground that there is no law authorizing the City to require the foregoing provisions to be place in this bond.

And the Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties), and its bonds shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or of the said Contract or the work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any part thereof, or of any Work to be performed, or any moneys due to become due thereunder and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, Subcontractors, and other transferees shall have the same effect as to said Surety (Sureties) as though done or omitted to be done or in relation to said Principal.

#### PAYMENT BOND (Page 3)

seals, and such of them as are presents to be signed by their pr	EOF, the Principal and the Surety (Sureties) have corporations have caused their corporate seals to b roper officers, this <u>8th</u> day of <u>May</u>	hereunto set their handsand be hereunto affixed and these , 2024
(Seal)	Power Concrete Co., Inc.	(L.S.)Principal
(Seal)	Travelers Casualty and Surety Company of As By: M William L. Minderjahn	Minight
(Seal)	By:	Surety
(Seal)	By:	Surety
(Seal)	By:	Surety

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by aduly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.

#### **ACKNOWLEDGMENT OF PRINCIPAL, IF A CORPORATION**

State of New Jersey County of Essex SS:

On this <u>8th</u> day of <u>May</u>, <u>2024</u>, before me personally came <u>Jose R. Casimiro</u> to me known, who, being by me duly sworn did depose and say that he resides at \_\_\_\_\_

280 Laurel Lane, Clark, NJ 07066 that he is the President of the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that one of the seals affixed to said instrument is such seal; that it was so affixed by order of the directors of said corporation, and that he signed his name thereto by like order

PEDRO M. ANTUNES PEDRO M. ANTUNES NOTARY PUBLIC OF NEW JERSEY COMMISSION # 50002916 MY COMMISSION EXPIRES 9/10/2024	Notary Public or Commissioner of Deeds	DINES STAR
ACKNOWLEDGMENT OF PRINCIPA State of Cou	AL, IF A PARTNERSHIP	CH C

٨.

On this \_\_\_\_\_\_day of \_\_\_\_\_\_, before me personally appeared \_\_\_\_\_\_ to me known, and known to me to be one of the members of the firm of \_\_\_\_\_ described in and who executed the foregoing instrument; and he

acknowledged to me that he executed the same as and for the act and deed of said firm.

Notary Public or Commissioner of Deeds

## ACKNOWLEDGMENT OF PRINCIPAL, IF AN INDIVIDUAL

State of \_\_\_\_\_ County of \_\_\_\_\_ ss:

On this \_\_\_\_\_ day of \_\_\_\_\_, before me personally appeared to me known, and known to me to be the person described in and who executed the foregoing instrument; and acknowledged that he executed the same.

Notary Public or Commissioner of Deeds

Each executed bond should be accompanied by: (a) appropriate acknowledgments of the respective parties; (b) appropriate duly certified copy of Power of Attorney or other certificate of authority where bondis executed by agent, officer or other representative of Principal or Surety; (c) a duly certified extract from By-Laws or resolutions of Surety under which Power of Attorney or other certificate of authority of its agent, officer or representative was issued, and (d) certified copy of latest published financial statement of assets and liabilities of Surety.

\* \* \* \* \* \* \* \*

Affix Acknowledgments and Justification of Suretics.

In Witness Whereof, I have hereunto set my hand and affixed the Official seal SOMERSET COUNTY IN THE STATE OF NEW JERSEY TO EXECUTE AND PERFORM ACCORDING TO LAW THE SAID OFFICE OF NOTARY PUBLIC OF SAID STATE TO HAVE AND TO HOLD THE SAME WITH THE POWERS AND RIGHTS FOR A PERIOD OF FIVE YEARS FROM DATE HEREOF, IN TESTIMONY WHEREOF THE GREAT SEAL OF THE STATE IS HEREUNTO AFFICED SIGNED IN PRESENCE OF COUNTY CLERK REPOSING SPECIAL TRUST AND CONFIDENCE IN YOUR INTEGRITY, PRUDENCE AND ABILITY I HAVE BY THE AUTHORITY INVESTED IN ME BY LAW COMMISSIONED YOU A NOTARY PUBLIC OF Elizabeth Maher Muoio lake & Men State Treasurer State Of New Jersey Notary Public Commission SP. SEPTEMBER 10, 2019 EXPIRES: SEPTEMBER 10, 2024 **PEDRO M ANTUNES** COMM. NO: 50002916

## ACKNOWLEDGMENT BY PRINCIPAL IF INDIVIDUAL OR PARTNERSHIP

	OF	(5) STATE OF	
	of, to wit:	6) County of	(16)
, a Notary Public	I,		(17)
	or the county and state aforesaid, do hereby certify that	8) in and for the	(18)
	name is signed to the foregoing writing, bearing the execution		(19)
efore me in my said county.	, 20, has this day acknowledged the same be		
, 20	inder my hand this day of	20) Given under	(20)
, Notary Public	(22)	21) Notary Seal	(21)
	(23) Commission Expires:		
LLC or LLP	ACKNOWLEDGMENT BY PRINCIPAL IF CORPORATION,	AC	-
	OF New Jersey		(24)
	of, to wit:	.5) County of	(25)
in and for the county and state	I,Pedro M. Antunes, a Notary Public		(26)
	d, do hereby certify that Jose R. Casimiro v		(27)
	he foregoing writing for <u>Power Concrete Co., Inc.</u>		
$, 20^{24}$ , has this day,	the execution date of the <u>8th</u> day of <u>May</u>	9) bearing the ex	(29)
			5 V .
deed of the said corporation. , 20_24	id county, before me, acknowledged the said writing to be the act and onder my hand this <u>8th</u> day of <u>May</u>	in my said co 0) Given under	(30)
deed of the said corporation.	Add county, before me, acknowledged the said writing to be the act and a nder my hand this <u></u>	in my said co 0) Given under 1) Notary Sea	6.0
deed of the said corporation. , 20_24	PEDRO M. ANTUNES NOTARY PUBLIC OF NEW JERSEY COMMISSION # 50002916 (13)Commission Expires: 09	in my said co 0) Given under 1) Notary Sea	6.0
deed of the said corporation. , 20_24	id county, before me, acknowledged the said writing to be the act and onder my hand this <u></u>	in my said co 0) Given under 1) Notary Ser	(31)
deed of the said corporation. , 20_24	ACKNOWLEDGMENT FOR SURETY	<ul> <li>in my said co</li> <li>0) Given under</li> <li>1) Notary Sector</li> <li>4) STATE OF P</li> </ul>	(31)
deed of the said corporation. , 20_24 , Notary Public 	id county, before me, acknowledged the said writing to be the act and a nder my hand this <u>8th</u> day of <u>May</u> PEDRO M. ANTUNES NOTARY PUBLIC OF NEW JERSEY COMMISSION # 50002916 MY COMMISSION EXPIRES 9/10/2024 OF <u>Pennsylvania</u> of <u>Montgomery</u> , to wit: h, <u>Maria Vadarlis</u> , a Notary Public i	<ul> <li>in my said co</li> <li>0) Given under</li> <li>1) Notary Sector</li> <li>4) STATE OF P</li> <li>5) County of M</li> <li>6) I, Ma</li> </ul>	6.0
deed of the said corporation. , 20_24 , Notary Public 	id county, before me, acknowledged the said writing to be the act and onder my hand this <u></u>	<ul> <li>in my said co</li> <li>0) Given under</li> <li>1) Notary Sector</li> <li>4) STATE OF P</li> <li>5) County of M</li> <li>6) I, Ma</li> </ul>	(31) (34) (35)
in and for the county and state, who signed the	id county, before me, acknowledged the said writing to be the act and a nder my hand this <u>8th</u> day of <u>May</u> PEDRO M. ANTUNES NOTARY PUBLIC OF NEW JERSEY COMMISSION # 50002916 MY COMMISSION EXPIRES 9/10/2024 OF <u>Pennsylvania</u> of <u>Montgomery</u> , to wit: h, <u>Maria Vadarlis</u> , a Notary Public i	<ul> <li>in my said co</li> <li>0) Given under</li> <li>1) Notary Sector</li> <li>4) STATE OF P</li> <li>5) County of M</li> <li>6) I, Ma</li> <li>7) aforesaid, do I</li> </ul>	(31) (34) (35) (36)
in and for the county and state , who signed the , who signed the , a corporation,	ACKNOWLEDGMENT FOR SURETY OF <u>Pennsylvania</u> of <u>Montgomery</u> , to wit: d, do hereby certify that <u>William L. Minderjahn - Attorney-In-Fact</u>	<ul> <li>in my said co</li> <li>Given under</li> <li>Notary Sector</li> <li>STATE OF P</li> <li>County of M</li> <li>I, Ma</li> <li>foregoing write</li> </ul>	(31) (34) (35) (36) (37) (38)
in and for the county and state , who signed the of America, a corporation, 24, has this day in my said	ACKNOWLEDGMENT FOR SURETY OF Pennsylvania of Montgomery, to wit: d, do hereby certify that William L. Minderjahn - Attorney-In-Fact g writing, or hereto annexed, for <u>Travelers Casualty and Surety Company</u> of the said writing to be the act and of the said writing to be the act and of the said writing to be the act and of the said writing to be the act and of the said writing to be the act and of the said writing to be the act and of the said writing to be the act and of the said writing to be the act and of the said writing to be the act and of the said writing to be the act and of the said writing to be the act and of the said writing to be the act and of the said writing to be the act and of the said writing to be the act and of the said writing to be the act and of the said writing to be the act and of the said writing to the said writing t	<ul> <li>in my said co</li> <li>Given under</li> <li>Notary Sector</li> <li>STATE OF P</li> <li>County of M</li> <li>I, Ma</li> <li>foregoing write</li> <li>bearing the ex</li> </ul>	(31) (34) (35) (36) (37)
in and for the county and state , who signed the <u>of America</u> , a corporation, <u>24</u> , has this day in my said said corporation.	ACKNOWLEDGMENT FOR SURETY ACKNOWLEDGMENT FOR SURETY ACKNOWLEDGMENT FOR SURETY ACKNOWLEDGMENT FOR SURETY ACKNOWLEDGMENT FOR SURETY OF <u>Pennsylvania</u> of <u>Montgomery</u> , to wit: , <u>Maria Vadarlis</u> , a Notary Public is d, do hereby certify that <u>William L. Minderjahn - Attorney-In-Fact</u> g writing, or hereto annexed, for <u>Travelers Casualty and Surety Company of</u> the execution date of the <u>8th</u> day of <u>May</u> , 202	<ul> <li>in my said co</li> <li>Given under</li> <li>Notary Sector</li> <li>STATE OF P</li> <li>County of M</li> <li>I, Ma</li> <li>foregoing write</li> <li>bearing the excounty, before</li> </ul>	<ul> <li>(31)</li> <li>(34)</li> <li>(35)</li> <li>(36)</li> <li>(37)</li> <li>(38)</li> </ul>

Assistant Attorney General

In Witness Whereof, I have hereuato set my hand and affixed the Official seal SOMERSET COUNTY IN THE STATE OF NEW JERSEY TO EXECUTE AND PERFORM ACCORDING TO LAW THE SAID OFFICE OF NOTARY PUBLIC OF SAID STATE TO HAVE AND TO HOLD THE SAME WITH THE POWERS AND RIGHTS FOR A PERIOD OF FIVE YEARS FROM DATE HEREOF, IN TESTIMONY WHEREOF THE GREAT SEAL OF THE STATE IS HEREUNTO AND XED SIGNED IN PRESENCE OF COUNTY CLERK REPOSING SPECIAL TRUST AND CONFIDENCE IN YOUR INTEGRITY, PRUDENCE AND ABILITY I HAVE BY THE AUTHORITY INVESTED IN ME BY LAW COMMISSIONED YOU A NOTARY PUBLIC OF Elizabeth Maher Muoio when Allen State Treasurer State Of New Jersey Notary Public Commission MO EROM: SEPTEMBER 10, 2019 EXPIRES: SEPTEMBER 10, 2024 **PEDRO M ANTUNES** COMM. NO: 50002916



#### **Travelers Casualty and Surety Company of America** Travelers Casualty and Surety Company St. Paul Fire and Marine Insurance Company

#### POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company are corporations duly organized under the laws of the State of Connecticut (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint William L. Minderjahn of New York, New York, their true and lawful Attorney-in-Fact to sign, execute, seal and acknowledge any and all bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereof on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

IN WITNESS WHEREOF, the Companies have caused this instrument to be signed, and their corporate seals to be hereto affixed, this 3rd day of February, 2017.



State of Connecticut

City of Hartford ss.

Bv:

Robert L. Raney, Sentor Vice President

On this the 3rd day of February, 2017, before me personally appeared Robert L. Raney, who acknowledged himself to be the Senior Vice President of Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

In Witness Whereof, I hereunto set my hand and official seal.

My Commission expires the 30th day of June, 2021



arie c Letreault

Marie C. Tetreault, Notary Public

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company, which resolutions are now in full force and effect, reading as follows:

RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

FURTHER RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, Kevin E. Hughes, the undersigned, Assistant Secretary of Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of the Power of Allorney executed by said Companies, which remains in full force and effect in the same second secon

Dated this	8th	day of Ma	y, 2024.	www.cheffs CAS	SUARTUI		
(		HARTFORD, CONN.	A CONSULT OF	CONN	S SUL	Har E. Huster	
	- NUMBER	····	Co P	141 B	a de la seconda de	Kevin E. Hughes, Assistant Secre	etary

To verify the authenticity of this Power of Attorney, please call us at 1-800-421-3880. Please refer to the above-named Attorney-in-Fact and the details of the bond to which the power is attached.

#### TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA

#### HARTFORD, CONNECTICUT 06183

#### FINANCIAL STATEMENT AS OF DECEMBER 31, 2023

#### AS FILED IN THE STATE OF NEW YORK

#### CAPITAL STOCK \$ 6,480,000

ASSETS		LIABILITIES & SURPLUS		
BONDS STOCKS CASH AND INVESTED CASH OTHER INVESTED ASSETS SECURITIES LENDING REINVESTED COLLATERAL ASSETS INVESTMENT INCOME DUE AND ACCRUED PREMIUM BALANCES REINSURANCE RECOVERABLE NET DEFERRED TAX ASSET GUARANTY FUNDS RECEIVABLE OR ON DEPOSIT OTHER ASSETS	<ul> <li>\$ 5,104,395,801</li> <li>119,333,643</li> <li>30,541,608</li> <li>8,355,939</li> <li>13,350,613</li> <li>43,617,243</li> <li>325,304,977</li> <li>27,997,684</li> <li>72,421,341</li> <li>1,798,901</li> <li>818,309</li> </ul>	LOSSES LOSS ADJUSTMENT EXPENSES COMMISSIONS OTHER EXPENSES TAXES, LICENSES AND FEES CURRENT FEDERAL AND FOREIGN INCOME TAXES UNEARNED PREMIUMS ADVANCE PREMIUM POLICYHOLDER OIVIDENDS CEDED REINSURANCE NET PREMIUMS PAYABLE AMOUNTS WITHHELD / RETAINED BY COMPANY FOR OTHERS REMITTANCES AND ITEMS NOT ALLOCATED PROVISION FOR REINSURANCE PAYABLE FOR SECURITIES LENDING OTHER ACCRUED EXPENSES AND LIABILITIES TOTAL LIABILITIES CAPITAL STOCK PAID IN SURPLUS	<ul> <li>\$ 1,495,036,900</li> <li>146,791,770</li> <li>55,734,579</li> <li>55,546,499</li> <li>15,857,431</li> <li>5,300,838</li> <li>1,515,112,686</li> <li>4,091,249</li> <li>21,388,522</li> <li>62,914,516</li> <li>21,072,858</li> <li>7,201,721</li> <li>9,891,783</li> <li>13,350,613</li> <li>409,380</li> <li>\$ 3,429,701,342</li> <li>\$ 6,480,000</li> <li>433,803,760</li> </ul>	
TOTAL ASSETS	\$ 5,747,936,058	OTHER SURPLUS TOTAL SURPLUS TO POLICYHOLDERS TOTAL LIABILITIES & SURPLUS	1,877,950,956 \$ 2,318,234,716 \$ 5,747,936,058	

STATE OF CONNECTICUT	)
COUNTY OF HARTFORD	) SS
CITY OF HARTFORD	)

MICHAEL J. DOODY, BEING DULY SWORN, SAYS THAT HE IS VICE PRESIDENT - FINANCE, OF TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA,

AND THAT TO THE BEST OF HIS KNOWLEDGE AND BELIEF, THE FOREGOING IS A TRUE AND CORRECT STATEMENT OF THE FINANCIAL CONDITION OF SAID COMPANY AS OF THE 31ST DAY OF DECEMBER, 2023.

SUSAN M. WEISSLEDER Notary Public My Commission Expires November 30, 2027

NOTARY PUBLIC

SUBSCRIBED AND SWORN TO BEFORE ME THIS 15TH DAY OF MARCH, 2024



#### OFFICE OF THE COMPTROLLER, CITY OF NEW YORK CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

#### LABOR LAW ARTICLE 8 - NYC PUBLIC WORKS

Workers, Laborers and Mechanics employed on a public work project must receive not less than the prevailing rate of wage and benefits for the classification of work performed by each upon such public work. Pursuant to New York Labor Law Article 8 the Comptroller of the City of New York has promulgated this schedule solely for Workers, Laborers and Mechanics engaged by private contractors on New York City public work projects. Prevailing rates are required to be annexed to and form part of the public work contract pursuant to Labor Law section 220 (3).

This schedule is a compilation of separate determinations of the prevailing rate of wage and supplements made by the Comptroller for each trade classification listed herein pursuant to Labor Law section 220 (5). The source of the wage and supplement rates, whether a collective bargaining agreement, survey data or other, is listed at the end of each classification.

Agency Chief Contracting Officers should contact the Bureau of Labor Law's Classification Unit with any questions concerning trade classifications, prevailing rates or prevailing practices with respect to procurement on New York City public work contracts. Contractors are advised to review the Comptroller's Prevailing Wage Schedule before bidding on public work contracts. Contractors with questions concerning trade classifications, prevailing rates or prevailing practices with respect to public work contracts in the procurement stage must contact the contracting agency responsible for the procurement.

Any error as to compensation under the prevailing wage law or other information as to trade classification, made by the contracting agency in the contract documents or in any other communication, will not preclude a finding against the contractor of prevailing wage violation.

Any questions concerning trade classifications, prevailing rates or prevailing practices on New York City public work contracts that have already been awarded may be directed to the Bureau of Labor Law's Classification Unit by calling (212) 669-4443. All callers must have the agency name and contract registration number available when calling with questions on public work contracts. Please direct all other compliance issues to: <a href="mailto:laborlaw@comptroller.nyc.gov">laborlaw@comptroller.nyc.gov</a> or Bureau of Labor Law, Attn: Paul Brumlik, Office of the Comptroller, 1 Centre Street, Room 651, New York, N.Y. 10007.

Pursuant to Labor Law § 220 (3-a) (a), the appropriate schedule of prevailing wages and benefits must be posted in a prominent and accessible place at all public work sites along with the Construction Poster provided on our web site at <u>comptroller.nyc.gov/wages</u>. In addition, covered employees must be given the appropriate schedule of prevailing wages and benefits along with the Worker Notice provided on our web site at the time the public work project begins, and with the first paycheck to each such employee after July first of each year.

This schedule is applicable to work performed during the effective period, unless otherwise noted. Changes to this schedule are published on our web site <u>comptroller.nyc.gov/wages</u>. Contractors must pay the wages and supplements in effect when the worker, laborer, mechanic performs the work. Preliminary schedules for future one-year periods appear in the City Record on or about June 1 each succeeding year. Final schedules appear on or about July 1 in the City Record and on our web site <u>comptroller.nyc.gov/wages</u>.

Prevailing rates and ratios for apprentices are published in the Construction Apprentice Prevailing Wage Schedule. Pursuant to Labor Law § 220 (3-e), only apprentices who are individually registered in a bona fide program to which the employer contractor is a participant, registered with the

#### OFFICE OF THE COMPTROLLER, CITY OF NEW YORK CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

New York State Department of Labor, may be paid at the apprentice rates. Apprentices who are not so registered must be paid as journey persons.

New York City public work projects awarded pursuant to a Project Labor Agreement ("PLA") in accordance with Labor Law section 222 may have different labor standards for shift, premium and overtime work. Please refer to the PLA's pre-negotiated labor agreements for wage and benefit rates applicable to work performed outside of the regular workday. More information is available at the Mayor's Office of Contract Services (MOCS) web page at:

#### https://www1.nyc.gov/site/mocs/legal-forms/project-labor-agreements.page

All the provisions of Labor Law Article 8 remain applicable to PLA work including, but not limited to, the enforcement of prevailing wage requirements by the Comptroller in accordance with the trade classifications in this schedule; however, we will enforce shift, premium, overtime and other non-standard rates as they appear in a project's pre-negotiated labor agreement.

In order to meet their obligation to provide prevailing supplemental benefits to each covered employee, employers must either:

- 1) Provide bona fide fringe benefits which cost the employer no less than the prevailing supplemental benefits rate; or
- 2) Supplement the employee's hourly wage by an amount no less than the prevailing supplemental benefits rate; or
- 3) Provide a combination of bona fide fringe benefits and wage supplements which cost the employer no less than the prevailing supplemental benefits rate in total.

Although prevailing wage laws do not require employers to provide bona fide fringe benefits (as opposed to wage supplements) to their employees, other laws may. For example, the Employee Retirement Income Security Act, 29 U.S.C. § 1001 et seq., the Patient Protection and Affordable Care Act, 42 U.S.C. § 18001 et seq., and the New York City Paid Sick Leave Law, N.Y.C. Admin. Code § 20-911 et seq., require certain employers to provide certain benefits to their employees. Labor agreements to which employers are a party may also require certain benefits. The Comptroller's Office does not enforce these laws or agreements.

Employers must provide prevailing supplemental benefits at the straight time rate for each hour worked unless otherwise noted in the classification.

# Paid Holidays, Vacation and Sick Leave when listed must be paid or provided in addition to the prevailing hourly supplemental benefit rate.

For more information, please refer to the Comptroller's Prevailing Wage Law Regulations in Title 44 of the Rules of the City of New York, Chapter 2, available at <u>comptroller.nyc.gov/wages</u>.

Paul Brumlik Director of Classifications Bureau of Labor Law

## OFFICE OF THE COMPTROLLER, CITY OF NEW YORK CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

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## ASBESTOS HANDLER SEE HAZARDOUS MATERIAL HANDLER

## BLASTER

## <u>Blaster</u>

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$57.71 Supplemental Benefit Rate per Hour: \$52.23

## **Blaster - Hydraulic Trac Drill**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$51.85 Supplemental Benefit Rate per Hour: \$52.23

## Blaster - Wagon: Air Trac: Quarry Bar: Drillrunners

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$51.02 Supplemental Benefit Rate per Hour: \$52.23

## **Blaster - Journeyperson**

(Laborer, Chipper/Jackhammer including Walk Behind Self Propelled Hydraulic Asphalt and Concrete Breakers and Hydro (Water) Demolition, Powder Carrier, Hydraulic Chuck Tender, Chuck Tender and Nipper)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$44.50** Supplemental Benefit Rate per Hour: **\$52.23** 

## Blaster - Magazine Keepers: (Watch Person)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$22.25** Supplemental Benefit Rate per Hour: **\$52.23** 

## Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### OFFICE OF THE COMPTROLLER, CITY OF NEW YORK CONSTRUCTION WORKER PREVAILING WAGE SCHEDULE

## **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Memorial Day Independence Day Labor Day Columbus Day Thanksgiving Day Christmas Day

## Paid Holidays

Labor Day Thanksgiving Day

## Shift Rates

When two shifts are employed, single time rate shall be paid for each shift. When three shifts are found necessary, each shift shall work seven and one half hours (7  $\frac{1}{2}$ ), but shall be paid for eight (8) hours of labor, and be permitted one half hour for lunch.

(Local #731)

## BOILERMAKER

## **Boilermaker**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$65.88 Supplemental Benefit Rate per Hour: \$48.47 Supplemental Note: For time and one half overtime - \$72.13 For double overtime - \$95.79

## **Overtime Description**

For Repair and Maintenance work: Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. For New Construction work: Double time the regular rate after an 8 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Saturday.

## **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Columbus Day Election Day Veteran's Day Thanksgiving Day Christmas Day

Quadruple time the regular rate for work on the following holiday(s). Labor Day

# **Paid Holidays**

Good Friday Day after Thanksgiving Day before Christmas Day before New Year's Day

## Shift Rates

On jobs requiring two (2) or three (3) shifts, the first shift shall work eight (8) hours at the regular straight-time hourly rate. The second shift shall work eight (8) hours and receive eight hours at the regular straight time hourly rate plus two dollars (\$2.00) per hour. The third shift shall work eight (8) hours and receive eight hours at the regular straight time hourly rate plus two dollars and twenty-five cents (\$2.25) per hour.

(Local #5)

# BRICKLAYER

# **Bricklayer**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$64.23** Supplemental Benefit Rate per Hour: **\$31.75** 

### **Overtime Description**

Time and one half the regular rate after a 7 hour day. If working on a job that is predominately Pointer, Cleaner, Caulker work, then Time and one half the regular rate after an 8 hour day.

### **Overtime**

Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

# **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

# Paid Holidays

None

### Shift Rates

The second shift wage rate shall be a 15% wage premium with no premium for supplemental benefits. There must be a first shift in order to work a second shift. When it is not possible to conduct alteration or repair work during regular working hours in a building occupied by tenants, eight hours will be paid at straight time rate for seven hours of work.

(Bricklayer District Council)

# **CARPENTER - BUILDING COMMERCIAL**

### **Building Commercial**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$55.05 Supplemental Benefit Rate per Hour: \$47.88

### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

# **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Day after Thanksgiving Christmas Day

Paid Holidays

### Shift Rates

The second shift will receive one hour at the double time rate of pay for the last hour of the shift; eight hours pay for seven hours of work, nine hours pay for eight hours of work. There must be a first shift in order to work a second shift. When it is not possible to conduct alteration or repair work during regular working hours in a building occupied by tenants, the rule for the second shift will apply.

(Carpenters District Council)

# **CARPENTER - HEAVY CONSTRUCTION WORK**

(Construction of Engineered Structures and Building Foundations including all form work)

# Heavy Construction Work

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$59.16** Supplemental Benefit Rate per Hour: **\$55.31** 

### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Christmas Day

### **Paid Holidays**

None

### Shift Rates

Off shift work commencing between 5:00 P.M. and 11:00 P.M. shall work eight and one half hours allowing for one half hour for lunch. The wage rate shall be 113% of the straight time hourly wage rate and the supplemental benefits shall be paid at the straight time rate. When two (2) or more shifts of Carpenters are employed, single time will be paid for each shift.

(Carpenters District Council)

# CARPENTER - HIGH RISE CONCRETE FORMS (Excludes Engineered Structures and Building Foundations)

## Carpenter High Rise A

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$51.48 Supplemental Benefit Rate per Hour: \$44.74

# Carpenter High Rise B

Carpenter High Rise B worker is excluded from high risk operations such as erection decking, perimeter debris netting, leading edge work, self-climbing form systems, and the installation of cocoon systems unless directly supervised by a Carpenter High Rise A worker.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$40.89** Supplemental Benefit Rate per Hour: **\$18.05** 

### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Christmas Day

Paid Holidays

None

### Shift Rates

The second shift wage rate shall be 113% of the straight time hourly wage rate. However, any shift beginning after 5:00 P.M. shall be paid at time and one half the regular hourly rate. There must be a first shift in order to work a second shift. When it is not possible to conduct alteration or repair work during regular working hours in a building occupied by tenants, the rule for the second shift will apply.

(Carpenters District Council)

# **CARPENTER - SIDEWALK SHED, SCAFFOLD AND HOIST**

### Carpenter - Hod Hoist

(Assisted by Mason Tender)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$53.50** Supplemental Benefit Rate per Hour: **\$48.45** 

### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Day after Thanksgiving Christmas Day

### Paid Holidays

None

### **Shift Rates**

The second shift will receive 112% of the straight time hourly rate. Benefit fund contributions shall be paid at the straight time rate. There must be a first shift in order to work a second shift. When it is not possible to conduct alteration or repair work during regular working hours in a building occupied by tenants, the rule for the second shift will apply.

# **CARPENTER - WOOD WATER STORAGE TANK**

### Tank Mechanic

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$37.13 Supplemental Benefit Rate per Hour: \$24.18

### Tank Helper

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$29.23** Supplemental Benefit Rate per Hour: **\$24.18** 

### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Time and one half the regular rate for work on a holiday plus the day's pay.

### **Paid Holidays**

New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Thanksgiving Day Day after Thanksgiving 1/2 day on Christmas Eve if work is performed in the A.M. Christmas Day 1/2 day on New Year's Eve if work is performed in the A.M.

### Vacation

Employed for one (1) year.....one (1) week vacation (40 hours) Employed for three (3) years.....two (2) weeks vacation (80 hours) Employed for more than twenty (20) years.....three (3) weeks vacation (120 hours)

SICK LEAVE: Two (2) sick days after being employed for twenty (20) years.

(Carpenters District Council)

# **CEMENT & CONCRETE WORKER**

# Cement & Concrete Worker

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$47.28** Supplemental Benefit Rate per Hour: **\$30.20** Supplemental Note: \$34.20 on Saturdays; \$38.20 on Sundays & Holidays

# Cement & Concrete Worker - (Hired after 2/6/2016)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$36.80** Supplemental Benefit Rate per Hour: **\$22.20** Supplemental Note: \$24.20 on Saturdays; \$26.20 on Sundays & Holidays

## **Overtime Description**

Time and one half the regular rate after 7 hour day (time and one half the regular rate after an 8 hour day when working with Dockbuilders on pile cap forms and for work below street level to the top of the foundation wall, not to exceed 2 feet or 3 feet above the sidewalk-brick shelf, when working on the foundation and structure.)

### **Overtime**

Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Christmas Day

### Paid Holidays

1/2 day before Christmas Day 1/2 day before New Year's Day

### Shift Rates

On shift work extending over a twenty-four hour period, all shifts are paid at straight time.

(Cement & Concrete Workers District Council 16)

# **CEMENT MASON**

# Cement Mason

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$53.77** Supplemental Benefit Rate per Hour: **\$34.01** Supplemental Note: Supplemental benefit time and one half rate: \$61.47; Double time rate: double the base supplemental benefit rate.

## **Overtime Description**

Time and one-half the regular rate after an 8 hour day, double time the regular rate after 10 hours. Time and onehalf the regular rate on Saturday, double time the regular rate after 10 hours. Double time the regular rate on Sunday. Four Days a week at Ten (10) hours straight time is allowed.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Christmas Day

# **Paid Holidays**

Any worker who reports to work on Christmas Eve or New Year's Eve pursuant to his employer's instruction shall be entitled to three (3) hours afternoon pay without working.

# Shift Rates

For off shift work, (at times other than the regular 7:00 A.M. to 3:30 P.M. work day) a cement mason shall be paid at the regular hourly rate plus a 25% per hour differential.

(Local #780) (BCA)

# CORE DRILLER

### Core Driller

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: \$43.88 Supplemental Benefit Rate per Hour: \$31.35

## Core Driller Helper

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$34.47 Supplemental Benefit Rate per Hour: \$31.35

### Core Driller Helper(Third year in the industry)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$31.02 Supplemental Benefit Rate per Hour: \$31.35

## Core Driller Helper (Second year in the industry)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$27.58** Supplemental Benefit Rate per Hour: **\$31.35** 

### Core Driller Helper (First year in the industry)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$24.13** Supplemental Benefit Rate per Hour: **\$31.35** 

### **Overtime Description**

Time and one half the regular rate for work on a holiday plus Holiday pay when worked.

### Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Time and one half the regular rate for work on the following holiday(s).

# **Paid Holidays**

New Year's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

# Shift Rates

When two (2) or more shifts are employed, single time shall be paid for each shift, but those employees employed on a shift other than from 8:00 A.M. to 5:00 P.M. shall, in addition, receive two dollars (\$2.00) per hour differential for each hour worked. When three (3) shifts are needed, each shift shall work seven and one-half (7  $\frac{1}{2}$ ) hours paid for eight (8) hours of labor and be permitted one-half ( $\frac{1}{2}$ ) hour for mealtime.

(Carpenters District Council)

# DERRICKPERSON AND RIGGER

### **Derrick Person & Rigger**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$58.90** Supplemental Benefit Rate per Hour: **\$58.37** 

### **Derrick Person & Rigger - Site Work**

Assists the Stone Mason-Setter in the setting of stone and paving stone.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$46.49** Supplemental Benefit Rate per Hour: **\$46.47** 

### **Overtime Description**

The first two hours of overtime on weekdays and the first seven hours of work on Saturdays are paid at time and one half for wages and supplemental benefits. All additional overtimes is paid at double time for wages and supplemental benefits.

### **Overtime**

Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Washington's Birthday Good Friday Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

### **Paid Holidays**

1/2 day on Christmas Eve if work is performed in the A.M.

(Local #197)

# DIVER

# Diver (Marine)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$74.03** Supplemental Benefit Rate per Hour: **\$55.31** 

# **Diver Tender (Marine)**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$53.57 Supplemental Benefit Rate per Hour: \$55.31

### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

## **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Christmas Day

Paid Holidays

None

### **Shift Rates**

When three shifts are utilized each shift shall work seven and one half-hours (7 1/2 hours) and paid for 8 hours, allowing for one half hour for lunch.

(Carpenters District Council)

# **DOCKBUILDER - PILE DRIVER**

## **Dockbuilder - Pile Driver**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$59.16 Supplemental Benefit Rate per Hour: \$55.31

### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

## **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Christmas Day

### **Paid Holidays**

None

### **Shift Rates**

Off shift work commencing between 5:00 P.M. and 11:00 P.M. shall work eight and one half hours allowing for one half hour for lunch. The wage rate shall be 113% of the straight time hourly wage rate.

(Carpenters District Council)

# **DRIVER: TRUCK (TEAMSTER)**

### Driver - Dump Truck

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$44.17 Supplemental Benefit Rate per Hour: \$53.95 Supplemental Note: Over 40 hours worked: at time and one half rate - \$24.00; at double time rate - \$32.00

## **Driver - Tractor Trailer**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$47.32 Supplemental Benefit Rate per Hour: \$52.40 Supplemental Note: Over 40 hours worked: at time and one half rate - \$23.25; at double time rate - \$31.00

## Driver - Euclid & Turnapull Operator

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$47.88 Supplemental Benefit Rate per Hour: \$52.40 Supplemental Note: Over 40 hours worked: at time and one half rate - \$23.25; at double time rate - \$31.00

### **Overtime Description**

For Paid Holidays: Holiday pay for all holidays shall be prorated based two hours per day for each day worked in the holiday week, not to exceed 8 hours of holiday pay. For Thanksgiving week, the prorated share shall be 5 1/3 hours of holiday pay for each day worked in Thanksgiving week.

### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Christmas Day

### **Paid Holidays**

New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Christmas Day

# Shift Rates

Off shift work commencing between 6:00 P.M. and 5:00 A.M. shall work eight and one half (8 1/2) hours allowing for one half hour for lunch

# Driver Redi-Mix (Sand & Gravel)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$40.89** Supplemental Benefit Rate per Hour: **\$47.85** Supplemental Note: Over 40 hours worked: time and one half rate \$18.68; double time rate \$24.90

### **Overtime Description**

For Paid Holidays: Employees who do not work on a contractual holiday shall be compensated two (2) hours extra pay in straight time wages and benefits for every day on which the Employee does not pass up a day's work during the calendar week (Sunday through Saturday) of the holiday, up to a maximum of ten (10) hours in wages and eight (8) hours in benefit contributions for the holiday

### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). Martin Luther King Jr. Day President's Day Columbus Day Veteran's Day

Triple time the regular rate for work on the following holiday(s). New Year's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

### **Paid Holidays**

New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Election Day Thanksgiving Day Christmas Day

(Local #282)

# ELECTRICIAN (Including installation of low voltage cabling carrying data, video and/or voice on building construction/alteration/renovation projects.)

## Electrician "A" (Regular Day / Day Shift)

Effective Period: 7/1/2023 - 4/12/2024 Wage Rate per Hour: **\$61.00** Supplemental Benefit Rate per Hour: **\$60.06** \* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/13/2024 - 6/30/2024 Wage Rate per Hour: **\$62.00** Supplemental Benefit Rate per Hour: **\$62.25** \* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

## Electrician "A" (Regular Day Overtime after 7 hrs / Day Shift Overtime after 8 hrs)

Effective Period: 7/1/2023 - 4/12/2024 Wage Rate per Hour: **\$91.50** Supplemental Benefit Rate per Hour: **\$62.02** \* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/13/2024 - 6/30/2024 Wage Rate per Hour: **\$93.00** Supplemental Benefit Rate per Hour: **\$64.24** \* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

### Electrician "A" (Swing Shift)

Effective Period: 7/1/2023 - 4/12/2024 Wage Rate per Hour: \$71.57 Supplemental Benefit Rate per Hour: \$68.14 \* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/13/2024 - 6/30/2024 Wage Rate per Hour: **\$72.75** Supplemental Benefit Rate per Hour: **\$70.56** \* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

### Electrician "A" (Swing Shift Overtime after 7.5 hours)

Effective Period: 7/1/2023 - 4/12/2024 Wage Rate per Hour: **\$107.36** 

Supplemental Benefit Rate per Hour: \$70.45 \* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/13/2024 - 6/30/2024 Wage Rate per Hour: \$109.13 Supplemental Benefit Rate per Hour: \$72.91 \* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

## Electrician "A" (Graveyard Shift)

Effective Period: 7/1/2023 - 4/12/2024 Wage Rate per Hour: **\$80.17** Supplemental Benefit Rate per Hour: **\$74.99** \* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/13/2024 - 6/30/2024 Wage Rate per Hour: **\$81.49** Supplemental Benefit Rate per Hour: **\$77.61** \* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

# Electrician "A" (Graveyard Shift Overtime after 7 hours)

Effective Period: 7/1/2023 - 4/12/2024 Wage Rate per Hour: **\$120.26** Supplemental Benefit Rate per Hour: **\$77.57** \* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/13/2024 - 6/30/2024 Wage Rate per Hour: **\$122.24** Supplemental Benefit Rate per Hour: **\$80.23** \* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

\* Supplemental Benefit Rate per Hour Note

In addition to the Supplemental Benefit Rates per Hour listed above, the employer must provide an additional 6.2% of taxable gross pay earned on covered work only. This additional Supplemental Benefit Rate will terminate when the employee has contributed the maximum annual Social Security tax required by law, on all work performed.

### **Overtime**

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

### **Overtime Holidays**

Time and one half the regular rate for work on a holiday. New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

### **Paid Holidays**

None

## Shift Rates

For multiple shifts of temporary light and/or power, the temporary light and/or power employee shall be paid for 8 hours at the straight time rate. For three or less workers performing 8 hours temporary light and/or power the supplemental benefit rate is \$24.36, effective 04/13/2023 the supplemental benefit rate is \$24.78 - See \* Supplemental Benefit Rate per Hour Note above.

# Electrician "M" (First 8 hours)

"M" rated work shall be defined as jobbing: electrical work of limited duration and scope, also consisting of repairs and/or replacement of electrical and tele-data equipment. Includes all work necessary to retrofit, service, maintain and repair all kinds of lighting fixtures and local lighting controls and washing and cleaning of foregoing fixtures.

Effective Period: 7/1/2023 - 4/12/2024 Wage Rate per Hour: **\$31.25** Supplemental Benefit Rate per Hour: **\$26.55** First and Second Year "M" Wage Rate Per Hour: **\$26.75** First and Second Year "M" Supplemental Rate: **\$24.13** 

Effective Period: 4/13/2024 - 6/30/2024 Wage Rate per Hour: \$32.00 Supplemental Benefit Rate per Hour: \$27.20 First and Second Year "M" Wage Rate Per Hour: \$27.50 First and Second Year "M" Supplemental Rate: \$24.79

# Electrician "M" (Overtime After First 8 hours)

"M" rated work shall be defined as jobbing: electrical work of limited duration and scope, also consisting of repairs and/or replacement of electrical and tele-data equipment. Includes all work necessary to retrofit, service, maintain and repair all kinds of lighting fixtures and local lighting controls and washing and cleaning of foregoing fixtures.

Effective Period: 7/1/2023 - 4/12/2024 Wage Rate per Hour: \$46.88 Supplemental Benefit Rate per Hour: \$28.53 First and Second Year "M" Wage Rate Per Hour: \$40.13 First and Second Year "M" Supplemental Rate: \$25.82

Effective Period: 4/13/2024 - 6/30/2024 Wage Rate per Hour: **\$48.00** 

Supplemental Benefit Rate per Hour: **\$29.23** First and Second Year "M" Wage Rate Per Hour: **\$41.25** First and Second Year "M" Supplemental Rate: **\$26.52** 

### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

## **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

# **Paid Holidays**

None

(Local #3)

# **ELECTRICIAN - ALARM TECHNICIAN**

(Scope of Work - Inspect, test, repair, and replace defective, malfunctioning, or broken devices, components and controls of Fire, Burglar and Security Systems)

### Alarm Technician

Effective Period: 7/1/2023 - 3/6/2024 Wage Rate per Hour: **\$36.40** Supplemental Benefit Rate per Hour: **\$20.67** Supplemental Note: **\$18.80** only after 8 hours worked in a day

Effective Period: 3/7/2024 - 6/30/2024 Wage Rate per Hour: **\$37.40** Supplemental Benefit Rate per Hour: **\$21.44** Supplemental Note: \$19.31 only after 8 hours worked in a day

### **Overtime Description**

Time and one half the regular rate for work on the following holidays: Columbus Day, Veterans Day, Day after Thanksgiving.

Double time the regular rate for work on the following holidays: New Year's day, Martin Luther King Jr. Day, President's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas Day.

### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

## **Paid Holidays**

New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

### **Shift Rates**

Night Differential is based upon a ten percent (10%) differential between the hours of 4:00 P.M. and 12:30 A.M. and a fifteen percent (15%) differential for the hours 12:00 A.M. to 8:30 A.M.

### Vacation

At least 1 year of employment	ten (10) days
5 years or more of employment	
10 years of employment	twenty (20) days
Plus one Personal Day per year	

Sick Days: One day per Year. Up to 4 vacation days may be used as sick days.

(Local #3)

# **ELECTRICIAN-STREET LIGHTING WORKER**

### **Electrician - Electro Pole Electrician**

Effective Period: 7/1/2023 - 4/17/2024 Wage Rate per Hour: **\$61.00** Supplemental Benefit Rate per Hour: **\$62.13** \* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/18/2024 - 6/30/2024 Wage Rate per Hour: **\$62.00** Supplemental Benefit Rate per Hour: **\$62.85** \* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

## **Electrician - Electro Pole Foundation Installer**

Effective Period: 7/1/2023 - 4/17/2024 Wage Rate per Hour: **\$46.66** Supplemental Benefit Rate per Hour: **\$47.16** \* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/18/2024 - 6/30/2024 Wage Rate per Hour: \$47.66 Supplemental Benefit Rate per Hour: \$48.72 \* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

### Electrician - Electro Pole Maintainer

Effective Period: 7/1/2023 - 4/17/2024 Wage Rate per Hour: **\$40.61** Supplemental Benefit Rate per Hour: **\$42.88** \* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

Effective Period: 4/18/2024 - 6/30/2024 Wage Rate per Hour: **\$41.61** Supplemental Benefit Rate per Hour: **\$44.45** \* Supplemental Note: See Supplemental Benefit Rate per Hour Note below

\* Supplemental Benefit Rate per Hour Note

In addition to the Supplemental Benefit Rates per Hour listed above, the employer must provide an additional 6.2% of taxable gross pay earned on covered work only. This additional Supplemental Benefit Rate will terminate when the employee has contributed the maximum annual Social Security tax required by law, on all work performed.

### **Overtime Description**

Electrician - Electro Pole Electrician: Time and one half the regular rate after a 7 hour day and after 5 consecutive days worked per week.

Electrician - Electro Pole Foundation Installer: Time and one half the regular rate after 8 hours within a 24 hour period and Saturday and Sunday.

Electrician - Electro Pole Maintainer: Time and one half the regular rate after a 7 hour day and after 5 consecutive days worked per week. Saturdays and Sundays may be used as a make-up day at straight time when a day is lost during the week to inclement weather.

### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

### **Paid Holidays**

None

(Local #3)

# **ELEVATOR CONSTRUCTOR**

## **Elevator Constructor**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$77.49 Supplemental Benefit Rate per Hour: \$40.28

### **Overtime Description**

For New Construction: work performed after an 8 hour day, Saturday, Sunday or between 4:30pm and 7:00am shall be paid at double time rate.

Existing buildings: work performed after an 8 hour day, Saturday, Sunday or between 5:30pm and 7:00 am shall be paid time and one half.

### **Overtime**

Double time the regular rate for work on the following holiday(s).

### **Paid Holidays**

New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

### Vacation

Employer contributes 8% of regular basic hourly rate as vacation pay for employees with more than 15 years of service, and 6% for employees with 5 to 15 years of service, and 4% for employees with less than 5 years of service.

# **ELEVATOR REPAIR & MAINTENANCE**

### **Elevator Service/Modernization Mechanic**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$60.89** Supplemental Benefit Rate per Hour: **\$40.18** 

### **Overtime Description**

For Scheduled Service Work: Double time - work scheduled in advance by two or more workers performed on Sundays, Holidays, and between midnight and 7:00am.

### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday. Time and one half the regular rate for work on a holiday plus the day's pay.

## **Paid Holidays**

New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

### Shift Rates

Afternoon shift - regularly hourly rate plus a (15%) fifteen percent differential. Graveyard shift - time and one half the regular rate.

### Vacation

Employer contributes 8% of regular basic hourly rate as vacation pay for employees with more than 15 years of service, and 6% for employees with 5 to 15 years of service, and 4% for employees with less than 5 years of service.

(Local #1)

# ENGINEER

# Engineer - Heavy Construction Operating Engineer I

Cherrypickers 20 tons and over and Loaders (rubber tired and/or tractor type with a manufacturer's minimum rated capacity of six cubic yards and over).

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$75.82 Supplemental Benefit Rate per Hour: \$46.68 Supplemental Note: \$85.96 on overtime Shift Wage Rate: \$121.31

# Engineer - Heavy Construction Operating Engineer II

Backhoes, Basin Machines, Groover, Mechanical Sweepers, Bobcat, Boom Truck, Barrier Transport (Barrier Mover) & machines of similar nature. Operation of Churn Drills and machines of a similar nature, Stetco Silent Hoist and machines of similar nature, Vac-Alls, Meyers Machines, John Beam and machines of a similar nature, Ross Carriers and Travel Lifts and machines of a similar nature, Bulldozers, Scrapers and Turn-a-Pulls: Tugger Hoists (Used exclusively for handling excavated material); Tractors with attachments, Hyster and Roustabout Cranes, Cherrypickers. Austin Western, Grove and machines of a similar nature, Scoopmobiles, Monorails, Conveyors, Trenchers: Loaders-Rubber Tired and Tractor: Barber Greene and Eimco Loaders and Eimco Backhoes; Mighty Midget and similar breakers and Tampers, Curb and Gutter Pavers and Motor Patrol, Motor Graders and all machines of a similar nature. Locomotives 10 Tons or under. Mini-Max, Break-Tech and machines of a similar nature; Milling machines, robotic and demolition machines and machines of a similar nature, shot blaster, skid steer machines and machines of a similar nature including bobcat, pile rig rubber-tired excavator (37,000 lbs. and under), 2 person auger.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$73.45** Supplemental Benefit Rate per Hour: **\$46.68** Supplemental Note: \$85.96 on overtime Shift Wage Rate: **\$117.52** 

# Engineer - Heavy Construction Operating Engineer III

Minor Equipment such as Tractors, Post Hole Diggers, Ditch Witch (Walk Behind), Road Finishing Machines, Rollers five tons and under, Tugger Hoists, Dual Purpose Trucks, Fork Lifts, and Dempsey Dumpers, Fireperson.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$69.49** Supplemental Benefit Rate per Hour: **\$46.68** Supplemental Note: **\$85.96** on overtime Shift Wage Rate: **\$111.18** 

### Engineer - Heavy Construction Maintenance Engineer I

Installing, Repairing, Maintaining, Dismantling of all equipment including Steel Cutting, Bending and Heat Sealing Machines, Mechanical Heaters, Grout Pumps, Bentonite Pumps & Plants, Screening Machines, Fusion Coupling Machines, Tunnel Boring Machines Moles and Machines of a similar nature, Power Packs, Mechanical Hydraulic Jacks; all drill rigs including but not limited to Churn, Rotary Caisson, Raised Bore & Drills of a similar

nature; Personnel, Inspection & Safety Boats or any boats used to perform functions of same, Mine Hoists, Whirlies, all Climbing Cranes, all Tower Cranes, including but not limited to Truck Mounted and Crawler Type and machines of similar nature; Maintaining Hydraulic Drills and machines of a similar nature; Well Point System-Installation and dismantling; Burning, Welding, all Pumps regardless of size and/or motor power, except River Cofferdam Pumps and Wells Point Pumps; Motorized Buggies (three or more); equipment used in the cleaning and televising of sewers, but not limited to jet-rodder/vacuum truck, vacall/vactor, closed circuit television inspection equipment; high powered water pumps, jet pumps; screed machines and concrete finishing machines of a similar nature; vermeers.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$73.08 Supplemental Benefit Rate per Hour: \$46.68 Supplemental Note: \$85.96 on overtime Shift Wage Rate: \$116.93

## Engineer - Heavy Construction Maintenance Engineer II

On Base Mounted Tower Cranes

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$97.21** Supplemental Benefit Rate per Hour: **\$46.68** Supplemental Note: **\$85.96** on overtime Shift Wage Rate: **\$155.54** 

### Engineer - Heavy Construction Maintenance Engineer III

**On Generators, Light Towers** 

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$46.89** Supplemental Benefit Rate per Hour: **\$46.68** Supplemental Note: **\$85.96** on overtime Shift Wage Rate: **\$75.02** 

### Engineer - Heavy Construction Maintenance Engineer IV

On Pumps and Mixers including mud sucking

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$48.20** Supplemental Benefit Rate per Hour: **\$46.68** Supplemental Note: **\$85.96** on overtime Shift Wage Rate: **\$77.12** 

### Engineer - Heavy Construction Service Engineer

Gradalls: Concrete Pumps: Power Houses: Driving Truck Cranes: Driving and Operating Fuel and Grease Trucks.

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: \$65.49 Supplemental Benefit Rate per Hour: \$46.68 Supplemental Note: \$85.96 on overtime Shift Wage Rate: \$104.78

### Engineer - Heavy Construction Service Mechanic

Shovels: Cranes: Draglines: Backhoes: Keystones: Pavers: Trenching Machines: Gunite Machines: Compressors (three (3) or more in Battery): Crawler Cranes- having a straight lattice boom with no attachment or luffing boom, no jib and no auxiliary attachment.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$44.10 Supplemental Benefit Rate per Hour: \$46.68 Supplemental Note: \$85.96 on overtime Shift Wage Rate: \$70.56

### **Engineer - Steel Erection Maintenance Engineers**

Derrick, Travelers, Tower, Crawler Tower and Climbing Cranes

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$70.20** Supplemental Benefit Rate per Hour: **\$46.68** Supplemental Note: **\$85.96** on overtime Shift Wage Rate: **\$112.32** 

### Engineer - Steel Erection Oiler I

On a Truck Crane

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$65.46** Supplemental Benefit Rate per Hour: **\$46.68** Supplemental Note: **\$85.96** on overtime Shift Wage Rate: **\$104.74** 

### Engineer - Steel Erection Oiler II

On a Crawler Crane

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$48.91** Supplemental Benefit Rate per Hour: **\$46.68** Supplemental Note: **\$85.96** on overtime Shift Wage Rate: **\$78.26** 

### **Overtime Description**

On jobs of more than one shift, if the next shift employee fails to report for work through any cause over which the employer has no control, the employee on duty who works the next shift continues to work at the single time rate.

### **Overtime**

Double time the regular rate after an 8 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Sunday. Double time the regular rate for work on the following holiday(s).

### Paid Holidays

New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

# Engineer - Building Work Maintenance Engineers I

Installing, repairing, maintaining, dismantling (of all equipment including: Steel Cutting and Bending Machines, Mechanical Heaters, Mine Hoists, Climbing Cranes, Tower Cranes, Linden Peine, Lorain, Liebherr, Mannes, or machines of a similar nature, Well Point Systems, Deep Well Pumps, Concrete Mixers with loading Device, Concrete Plants, Motor Generators when used for temporary power and lights), skid steer machines of a similar nature including bobcat.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$63.51** Supplemental Benefit Rate per Hour: **\$45.77** Supplemental Note: **\$84.14** on overtime

# Engineer - Building Work Maintenance Engineers II

On Pumps, Generators, Mixers and Heaters

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$48.46 Supplemental Benefit Rate per Hour: \$45.77 Supplemental Note: \$84.14 on overtime

### Engineer - Building Work Oilers I

All gasoline, electric, diesel or air operated Gradealls: Concrete Pumps, Overhead Cranes in Power Houses: Their duties shall be to assist the Engineer in oiling, greasing and repairing of all machines; Driving Truck

Cranes: Driving and Operating Fuel and Grease Trucks, Cherrypickers (hydraulic cranes) over 70,000 GVW, and machines of a similar nature.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$60.19** Supplemental Benefit Rate per Hour: **\$45.77** Supplemental Note: **\$84.14** on overtime

### Engineer - Building Work Oilers II

Oilers on Crawler Cranes, Backhoes, Trenching Machines, Gunite Machines, Compressors (three or more in Battery).

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$44.93 Supplemental Benefit Rate per Hour: \$45.77 Supplemental Note: \$84.14 on overtime

### **Overtime Description**

On jobs of more than one shift, if an Employee fails to report for work through any cause over which the Employer has no control, the Employee on duty will continue to work at the rate of single time.

### **Overtime**

Double time the regular rate after an 8 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Sunday. Double time the regular rate for work on the following holiday(s).

### **Paid Holidays**

New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Christmas Day

### **Shift Rates**

When two (2) or more shifts are employed, single time will be paid for each shift.

(Local #15)

# **ENGINEER - CITY SURVEYOR AND CONSULTANT**

# Party Chief

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$42.78 Supplemental Benefit Rate per Hour: \$27.76 Supplemental Note: Overtime Benefit Rate - \$33.27 per hour (time & one half) \$38.77 per hour (double time).

### Instrument Person

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$34.64** Supplemental Benefit Rate per Hour: **\$27.76** Supplemental Note: Overtime Benefit Rate - \$33.27 per hour (time & one half) \$38.77 per hour (double time).

### <u>Rodperson</u>

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$29.50** Supplemental Benefit Rate per Hour: **\$27.76** Supplemental Note: Overtime Benefit Rate - \$33.27 per hour (time & one half) \$38.77 per hour (double time).

### **Overtime Description**

Time and one half the regular rate after an 8 hour day, Time and one half the regular rate for Saturday for the first eight hours worked, Double time the regular time rate for Saturday for work performed in excess of eight hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

### **Paid Holidays**

New Year's Day Lincoln's Birthday President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

# ENGINEER - FIELD (BUILDING CONSTRUCTION) (Construction of Building Projects, Concrete Superstructures, etc.)

## Field Engineer - BC Party Chief

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$66.83** Supplemental Benefit Rate per Hour: **\$42.39** Supplemental Note: Overtime Benefit Rate - \$59.89 per hour (time & one half) \$77.38 per hour (double time).

### Field Engineer - BC Instrument Person

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$49.67** Supplemental Benefit Rate per Hour: **\$42.39** Supplemental Note: Overtime Benefit Rate - \$59.89 per hour (time & one half) \$77.38 per hour (double time).

### Field Engineer - BC Rodperson

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$30.60** Supplemental Benefit Rate per Hour: **\$42.39** Supplemental Note: Overtime Benefit Rate - \$59.89 per hour (time & one half) \$77.38 per hour (double time).

### **Overtime Description**

Time and one half the regular rate after a 7 hour work and time and one half the regular rate for Saturday for the first seven hours worked, Double time the regular time rate for Saturday for work performed in excess of seven hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

### **Paid Holidays**

New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Veteran's Day Thanksgiving Day Christmas Day Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

# ENGINEER - FIELD (HEAVY CONSTRUCTION)

(Construction of Roads, Tunnels, Bridges, Sewers, Building Foundations, Engineering Structures etc.)

# Field Engineer - HC Party Chief

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$77.94** Supplemental Benefit Rate per Hour: **\$44.82** Supplemental Note: Overtime benefit rate - \$63.41 per hour (time & one half), \$82.00 per hour (double time).

### Field Engineer - HC Instrument Person

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$56.07** Supplemental Benefit Rate per Hour: **\$44.82** Supplemental Note: Overtime benefit rate - \$63.41 per hour (time & one half), \$82.00 per hour (double time).

## Field Engineer - HC Rodperson

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$46.34** Supplemental Benefit Rate per Hour: **\$44.82** Supplemental Note: Overtime benefit rate - \$63.41 per hour (time & one half), \$82.00 per hour (double time).

### **Overtime Description**

Time and one half the regular rate after an 8 hour day, Time and one half the regular rate for Saturday for the first eight hours worked, Double time the regular time rate for Saturday for work performed in excess of eight hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

### **Paid Holidays**

New Year's Day Lincoln's Birthday President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Veteran's Day Thanksgiving Day Christmas Day Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

# **ENGINEER - FIELD (STEEL ERECTION)**

# Field Engineer - Steel Erection Party Chief

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$72.66** Supplemental Benefit Rate per Hour: **\$44.37** Supplemental Note: Overtime benefit rate - \$62.73 per hour (time & one half), \$81.09 per hour (double time).

### Field Engineer - Steel Erection Instrument Person

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$55.67** Supplemental Benefit Rate per Hour: **\$44.37** Supplemental Note: Overtime benefit rate - \$62.73 per hour (time & one half), \$81.09 per hour (double time).

### Field Engineer - Steel Erection Rodperson

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$35.79 Supplemental Benefit Rate per Hour: \$44.37 Supplemental Note: Overtime benefit rate - \$62.73 per hour (time & one half), \$81.09 per hour (double time).

### **Overtime Description**

Time and one half the regular rate for Saturday for the first eight hours worked. Double time the regular rate for Saturday for work performed in excess of eight hours.

### **Overtime**

Time and one half the regular rate after an 8 hour day. Double time the regular rate for Sunday. Double time the regular rate for work on the following holiday(s).

### **Paid Holidays**

New Year's Day Lincoln's Birthday President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Veteran's Day Thanksgiving Day Christmas Day Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

# **ENGINEER - OPERATING**

# **Operating Engineer - Road & Heavy Construction I**

PUBLISH DATE: 7/1/2023 EFFECTIVE PERIOD: JULY 1, 2023 THROUGH JUNE 30, 2024 Page 37 of 90

Back Filling Machines, Cranes, Mucking Machines and Dual Drum Paver.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$90.59** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours Shift Wage Rate: **\$144.94** 

### **Operating Engineer - Road & Heavy Construction II**

Backhoes, Power Shovels, Hydraulic Clam Shells, Steel Erection, Moles and machines of a similar nature.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$93.75** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours Shift Wage Rate: **\$150.00** 

### **Operating Engineer - Road & Heavy Construction III**

Mine Hoists (Cranes, etc. when used as Mine Hoists)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$96.73** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours Shift Wage Rate: **\$154.77** 

### **Operating Engineer - Road & Heavy Construction IV**

Gradealls, Keystones, Cranes on land or water (with digging buckets), Bridge Cranes, Vermeer Cutter and machines of a similar nature, Trenching Machines.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$94.42** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours Shift Wage Rate: **\$151.07** 

### **Operating Engineer - Road & Heavy Construction V**

Pile Drivers & Rigs (working alongside Dock Builder foreperson): Derrick Boats, Tunnel Shovels.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$92.58** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours Shift Wage Rate: **\$148.13** 

## **Operating Engineer - Road & Heavy Construction VI**

Mixers (Concrete with loading attachment), Concrete Pavers, Cableways, Land Derricks, Power Houses (Low Air Pressure Units).

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$88.01 Supplemental Benefit Rate per Hour: \$36.05 Supplemental Note: \$65.90 overtime hours Shift Wage Rate: \$140.82

### **Operating Engineer - Road & Heavy Construction VII**

Barrier Movers, Barrier Transport and Machines of a Similar Nature.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$71.33 Supplemental Benefit Rate per Hour: \$36.05 Supplemental Note: \$65.90 overtime hours Shift Wage Rate: \$114.13

# **Operating Engineer - Road & Heavy Construction VIII**

**Utility Compressors** 

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$55.65 Supplemental Benefit Rate per Hour: \$36.05 Supplemental Note: \$65.90 overtime hours Shift Wage Rate: \$69.81

# **Operating Engineer - Road & Heavy Construction IX**

Horizontal Boring Rig

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$83.78 Supplemental Benefit Rate per Hour: \$36.05 Supplemental Note: \$65.90 overtime hours Shift Wage Rate: \$134.05

### **Operating Engineer - Road & Heavy Construction X**

Elevators (manually operated as personnel hoist).

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$77.11 Supplemental Benefit Rate per Hour: \$36.05 Supplemental Note: \$65.90 overtime hours Shift Wage Rate: \$123.38

## **Operating Engineer - Road & Heavy Construction XI**

Compressors (Portable 3 or more in battery), Driving of Truck Mounted Compressors, Well-point Pumps, Tugger Machines Well Point Pumps, Churn Drill.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$60.16** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours Shift Wage Rate: **\$96.26** 

### **Operating Engineer - Road & Heavy Construction XII**

All Drills and Machines of a similar nature.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$88.94** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours Shift Wage Rate: **\$142.30** 

# **Operating Engineer - Road & Heavy Construction XIII**

Concrete Pumps, Concrete Plant, Stone Crushers, Double Drum Hoist, Power Houses (other than above).

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$86.19** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours Shift Wage Rate: **\$137.90** 

### **Operating Engineer - Road & Heavy Construction XIV**

Concrete Mixer

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$82.44** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours Shift Wage Rate: **\$131.90** 

# **Operating Engineer - Road & Heavy Construction XV**

Compressors (Portable Single or two in Battery, not over 100 feet apart), Pumps (River Cofferdam) and Welding Machines, Push Button Machines, All Engines Irrespective of Power (Power-Pac) used to drive auxiliary equipment, Air, Hydraulic, etc.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$56.01** 

Supplemental Benefit Rate per Hour: \$36.05 Supplemental Note: \$65.90 overtime hours Shift Wage Rate: \$89.62

### **Operating Engineer - Road & Heavy Construction XVI**

Concrete Breaking Machines, Hoists (Single Drum), Load Masters, Locomotives (over ten tons) and Dinkies over ten tons, Hydraulic Crane-Second Engineer.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$78.79 Supplemental Benefit Rate per Hour: \$36.05 Supplemental Note: \$65.90 overtime hours Shift Wage Rate: \$126.06

### **Operating Engineer - Road & Heavy Construction XVII**

On-Site concrete plant engineer, On-site Asphalt Plant Engineer, and Vibratory console.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$79.36** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours Shift Wage Rate: **\$126.98** 

## **Operating Engineer - Road & Heavy Construction XVIII**

Tower Crane

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$113.37 Supplemental Benefit Rate per Hour: \$36.05 Supplemental Note: \$65.90 overtime hours Shift Wage Rate: \$181.39

### **Operating Engineer - Paving I**

Asphalt Spreaders, Autogrades (C.M.I.), Roto/Mil

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$88.01 Supplemental Benefit Rate per Hour: \$36.05 Supplemental Note: \$65.90 overtime hours Shift Wage Rate: \$140.82

## **Operating Engineer - Paving II**

Asphalt Roller

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: **\$85.79** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours Shift Wage Rate: **\$137.26** 

# **Operating Engineer - Paving III**

#### Asphalt Plants

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$72.72 Supplemental Benefit Rate per Hour: \$36.05 Supplemental Note: \$65.90 overtime hours Shift Wage Rate: \$116.35

### **Operating Engineer - Concrete I**

Cranes

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$94.01** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours

## **Operating Engineer - Concrete II**

Compressors

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$56.43 Supplemental Benefit Rate per Hour: \$36.05 Supplemental Note: \$65.90 overtime hours

# **Operating Engineer - Concrete III**

Micro-traps (Negative Air Machines), Vac-All Remediation System.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$75.37 Supplemental Benefit Rate per Hour: \$36.05 Supplemental Note: \$65.90 overtime hours

# **Operating Engineer - Steel Erection I**

Three Drum Derricks

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$97.68** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours

Shift Wage Rate: \$156.29

#### **Operating Engineer - Steel Erection II**

Cranes, 2 Drum Derricks, Hydraulic Cranes, Fork Lifts and Boom Trucks.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$93.89** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours Shift Wage Rate: **\$150.22** 

#### **Operating Engineer - Steel Erection III**

Compressors, Welding Machines.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$56.29** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours Shift Wage Rate: **\$90.06** 

#### **Operating Engineer - Steel Erection IV**

Compressors - Not Combined with Welding Machine. (Public Works Only)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$53.64 Supplemental Benefit Rate per Hour: \$36.05 Supplemental Note: \$65.90 overtime hours Shift Wage Rate: \$85.82

#### **Operating Engineer - Building Work I**

Forklifts, Plaster (Platform machine), Plaster Bucket, Concrete Pump and all other equipment used for hoisting material.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$73.47 Supplemental Benefit Rate per Hour: \$36.05 Supplemental Note: \$65.90 overtime hours

## **Operating Engineer - Building Work II**

Compressors, Welding Machines (Cutting Concrete-Tank Work), Paint Spraying, Sandblasting, Pumps (with the exclusion of Concrete Pumps), All Engines irrespective of Power (Power-Pac) used to drive Auxiliary Equipment, Air, Hydraulic, Jacking System, etc.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$55.13** 

Supplemental Benefit Rate per Hour: \$36.05 Supplemental Note: \$65.90 overtime hours

#### **Operating Engineer - Building Work III**

Double Drum

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$89.09** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours

#### **Operating Engineer - Building Work IV**

Stone Derrick, Cranes, Hydraulic Cranes Boom Trucks.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$94.30** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours

## **Operating Engineer - Building Work V**

Dismantling and Erection of Cranes, Relief Engineer.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$81.57** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours

## **Operating Engineer - Building Work VI**

4 Pole Hoist, Single Drum Hoists.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$80.71** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: **\$65.90** overtime hours

## **Operating Engineer - Building Work VII**

Rack & Pinion and House Cars

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$64.28** Supplemental Benefit Rate per Hour: **\$36.05** Supplemental Note: \$65.90 overtime hours For New House Car projects Wage Rate per Hour \$51.40 For New House Car projects: Supplemental Benefit overtime hours: **\$50.98** 

#### **Overtime Description**

On jobs of more than one shift, if an Employee fails to report for work through any cause over which the Employer has no control, the Employee on duty will continue to work at the rate of single time.

For House Cars and Rack & Pinion only: Overtime paid at time and one-half for all hours in excess of eight hours in a day, Saturday, Sunday and Holidays worked.

#### **Overtime**

Double time the regular rate after an 8 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Sunday. Double time the regular rate for work on the following holiday(s).

#### **Paid Holidays**

New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

#### Shift Rates

When two (2) or more shifts are employed, single time will be paid for each shift. For Steel Erection Only: Shifts may be worked at the single time rate at other than the regular working hours (8:00 A.M. to 4:30 P.M.) on the following work ONLY: Heavy construction jobs on work below the street level, over railroad tracks and on building jobs.

(Operating Engineer Local #14)

# **FLOOR COVERER**

(Interior vinyl composition tile, sheath vinyl linoleum and wood parquet tile including site preparation and synthetic turf not including site preparation)

#### **Floor Coverer**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$55.05 Supplemental Benefit Rate per Hour: \$47.88

#### Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Day after Thanksgiving Day before Christmas Christmas Day Day before New Year's Day

#### **Shift Rates**

Two shifts may be utilized with the first shift working 8 a.m. to the end of the shift at straight time rate of pay. The wage rate for the second shift consisting of 7 hours shall be paid at 114.29% of straight time wage rate. The wage rate for the second shift consisting of 8 hours shall be paid 112.5% of the straight time wage rate. When it is not possible to conduct alteration or repair work during regular working hours in a building occupied by tenants, the rule for the second shift will apply.

(Carpenters District Council)

# GLAZIER (New Construction, Remodeling, and Alteration)

#### **Glazier**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$47.95 Supplemental Benefit Rate per Hour: \$53.34

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

#### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s).

New Year's Day President's Day Memorial Day Independence Day Thanksgiving Day Day after Thanksgiving Christmas Day

#### Paid Holidays

None

#### Shift Rates

Shifts shall be any 8 consecutive hours after the normal working day for which the Glazier shall receive 9 hours pay for 8 hours worked.

(Local #1281)

# **GLAZIER - REPAIR & MAINTENANCE**

(For the Installation of Glass - All repair and maintenance work on a particular building.)

## Craft Jurisdiction for repair, maintenance and fabrication

Plate glass replacement, Storm windows and storm doors, Herculite door repairs, Door closer repairs, Glass tinting.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$27.05** Supplemental Benefit Rate per Hour: **\$26.50** 

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Sunday. Time and one half the regular rate for work on the following holiday(s). Time and one half the regular hourly rate after 40 straight time hours in any work week.

#### **Paid Holidays**

New Year's Day President's Day Memorial Day Independence Day Labor Day Thanksgiving Day Day after Thanksgiving Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Local #1281)

# HAZARDOUS MATERIAL HANDLER

(Removal, abatement, encapsulation or decontamination of asbestos, lead, mold, or other toxic or hazardous waste/materials)

#### Handler

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$39.50** Supplemental Benefit Rate per Hour: **\$20.60** 

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Sunday. Time and one half the regular hourly rate after 40 straight time hours in any work week.

#### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day Good Friday Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day Easter

Paid Holidays

(Local #78 and Local #12A)

# HEAT AND FROST INSULATOR

#### Heat & Frost Insulator

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: \$69.96 Supplemental Benefit Rate per Hour: \$35.76

#### **Overtime Description**

Premium rate shall be paid for supplemental benefits during overtime work.

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

Triple time the regular rate for work on the following holiday(s). Labor Day

#### **Paid Holidays**

None

#### Shift Rates

The first shift shall work seven hours at the regular straight time rate. The second and third shift shall work seven hours the regular straight time hourly rate plus a fourteen percent wage and benefit premium. There must be a first shift to work the second shift, and a second shift to work the third shift. Off-hour jobs in occupied buildings may be worked on weekdays with an increment of one-dollar (\$1.00) per hour and eight (8) hours pay for seven (7) hours worked.

(Local #12) (BCA)

# HOUSE WRECKER (TOTAL DEMOLITION)

## House Wrecker - Tier A

On all work sites the first, second, eleventh and every third House Wrecker thereafter will be Tier A House Wreckers (i.e. 1st, 2nd, 11th, 14th etc). Other House Wreckers may be Tier B House Wreckers.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$38.93 Supplemental Benefit Rate per Hour: \$31.27

## <u> House Wrecker - Tier B</u>

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$28.16** Supplemental Benefit Rate per Hour: **\$23.68** 

#### Overtime

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

#### **Paid Holidays**

None

(Mason Tenders District Council)

# **IRON WORKER - ORNAMENTAL**

#### Iron Worker - Ornamental

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$47.15** Supplemental Benefit Rate per Hour: **\$63.75** Supplemental Note: Supplemental benefits are to be paid at the applicable overtime rate when overtime is in effect.

#### **Overtime Description**

Time and one half the regular rate after a 7 hour day for a maximum of two hours on any regular work day (the 8th and 9th hour) and double time shall be paid for all work on a regular work day thereafter. Time and one half

the regular rate for Saturday for the first seven hours of work and double time should be paid for all work on a Saturday thereafter. Four (4), ten (10) hour days may be worked at straight time, Monday to Thursday.

#### **Overtime**

Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

#### **Paid Holidays**

None

#### Shift Rates

When two or three shifts are employed on a job, Monday through Friday, each shift will be paid eight (8) hours at the straight time rate for eight (8) hours of work; at time and one-half the regular straight time rate for the first two (2) hours of overtime worked beyond eight (8) hours; and at double time for all work thereafter. When it is not possible to conduct alteration or repair work during regular working hours in a building occupied by tenants, eight (8) hours will be paid at straight time rate for seven (7) hours of work, and all overtime shall be paid at time and one-half the regular straight time rates. On Saturday, Sundays and Holidays, time and one-half the regular straight time rate for all work up to seven (7) hours and double time shall be paid for all work thereafter.

(Local #580)

# **IRON WORKER - STRUCTURAL**

#### Iron Worker - Structural

Effective Period: 7/1/2023 - 6/30/2024

Wage Rate per Hour: \$57.20

Supplemental Benefit Rate per Hour: \$86.77

Supplemental Note: Supplemental benefits are to be paid at the applicable overtime rate when overtime is in effect.

#### **Overtime Description**

Monday through Friday- the first eight hours are paid at straight time, the 9th and 10th hours are paid at time and one-half the regular rate, all additional weekday overtime is paid at double the regular rate. Saturdays- the first eight hours are paid at time and one-half the regular rate, double time thereafter. Sunday-all shifts are paid at double time. Four Days a week at Ten (10) hours straight time is allowed.

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

#### **Paid Holidays**

1/2 day on Christmas Eve if work is performed in the A.M. 1/2 day on New Year's Eve if work is performed in the A.M.

#### Shift Rates

Monday through Friday - First Shift: First eight hours are paid at straight time, the 9th & 10th hours are paid at time and a half, double time paid thereafter. Second and third Shifts: First eight hours are paid at time and one-half, double time thereafter. Saturdays: All shifts, first eight hours paid at time and one-half, double time thereafter: Sunday all shifts are paid at double time.

Four (4), ten (10) hour days may be worked at straight time during a week, Monday thru Thursday.

(Local #40 & #361)

# LABORER (Foundation, Concrete, Excavating, Street Pipe Layer and Common)

#### Laborer

Excavation and foundation work for buildings, heavy construction, engineering work, and hazardous waste removal in connection with the above work. Landscaping tasks in connection with heavy construction work, engineering work and building projects. Projects include, but are not limited to pollution plants, sewers, parks, subways, bridges, highways, etc.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$44.50 Supplemental Benefit Rate per Hour: \$52.23

#### Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Memorial Day Independence Day Labor Day Columbus Day Thanksgiving Day Christmas Day

#### **Paid Holidays**

Labor Day Thanksgiving Day

#### Shift Rates

When two shifts are employed, single time rate shall be paid for each shift. When three shifts are found necessary, each shift shall work seven and one half hours (7  $\frac{1}{2}$ ), but shall be paid for eight (8) hours of labor, and be permitted one half hour for lunch.

(Local #731)

# LANDSCAPING

(Landscaping tasks, such as tree pruning, tree removing and spraying in connection with Green Infrastructure maintenance and the planting of street trees and trees in City parks, but not when such activities are performed as part of construction or reconstruction projects.)

## Landscaper (Year 6 and above)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$36.64** Supplemental Benefit Rate per Hour: **\$17.55** 

#### Landscaper (Year 3 - 5)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$35.47 Supplemental Benefit Rate per Hour: \$17.55

#### Landscaper (up to 3 years)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$32.55** Supplemental Benefit Rate per Hour: **\$17.55** 

#### **Groundperson**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$32.55** Supplemental Benefit Rate per Hour: **\$17.55** 

#### Tree Remover / Pruner

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$42.51** Supplemental Benefit Rate per Hour: **\$17.55** 

## Landscaper Sprayer (Pesticide Applicator)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$30.80 Supplemental Benefit Rate per Hour: \$17.55

#### Watering - Plant Maintainer

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$24.92** Supplemental Benefit Rate per Hour: **\$17.55** 

#### **Overtime Description**

For all overtime work performed, supplemental benefits shall include an additional seventy-five (\$0.75) cents per hour.

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Time and one half the regular rate for work on a holiday plus the day's pay.

#### **Paid Holidays**

New Year's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

#### Shift Rates

Work performed on a 4pm to 12am shift has a 15% differential. Work performed on a 12am to 8am shift has a 20% differential.

(Local #175)

# MARBLE MECHANIC

#### Marble Setter

Effective Period: 7/1/2023 - 7/2/2023 Wage Rate per Hour: **\$57.82** Supplemental Benefit Rate per Hour: **\$42.86** 

Effective Period: 7/3/2023 - 6/30/2024 Wage Rate per Hour: **\$58.12** Supplemental Benefit Rate per Hour: **\$43.31** 

#### Marble Finisher

Effective Period: 7/1/2023 - 7/2/2023 Wage Rate per Hour: \$44.77 Supplemental Benefit Rate per Hour: \$40.16

Effective Period: 7/3/2023 - 6/30/2024 Wage Rate per Hour: \$45.10 Supplemental Benefit Rate per Hour: \$40.36

#### Marble Polisher

Effective Period: 7/1/2023 - 7/2/2023 Wage Rate per Hour: \$43.97 Supplemental Benefit Rate per Hour: \$32.76

Effective Period: 7/3/2023 - 6/30/2024 Wage Rate per Hour: **\$44.19** Supplemental Benefit Rate per Hour: **\$33.11** 

#### Marble Maintenance Finisher

Effective Period: 7/1/2023 - 7/2/2023 Wage Rate per Hour: **\$27.26** Supplemental Benefit Rate per Hour: **\$14.55** 

Effective Period: 7/3/2023 - 6/30/2024 Wage Rate per Hour: **\$27.44** Supplemental Benefit Rate per Hour: **\$14.77** 

#### **Overtime Description**

Supplemental Benefit contributions are to be made at the applicable overtime rates.

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

#### **Paid Holidays**

None

(Local #7)

# MASON TENDER

#### Mason Tender

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$43.80 Supplemental Benefit Rate per Hour: \$29.39 before calculating premium wage deduct \$3.00

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s).

New Year's Day President's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

#### **Paid Holidays**

None

#### **Shift Rates**

The employer may work two (2) shifts with the first shift at the straight time wage rate and the second shift receiving eight (8) hours paid for seven (7) hours work at the straight time wage rate. When it is not possible to conduct alteration work during regular working hours in a building occupied by tenants, the rule for the second shift will apply.

(Local #79)

# **MASON TENDER (INTERIOR DEMOLITION WORKER)**

## Mason Tender Tier A

Tier A Interior Demolition Worker performs all burning, chopping, and other technically skilled tasks related to interior demolition work.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$39.19** Supplemental Benefit Rate per Hour: **\$24.60** before calculating premium wage deduct **\$1.50** 

## Mason Tender Tier B

Tier B Interior Demolition Worker performs manual work and work incidental to demolition work, such as loading and carting of debris from the work site to an area where it can be loaded in to bins/trucks for removal. Also performs clean-up of the site when demolition is completed.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$28.38** Supplemental Benefit Rate per Hour: **\$18.92** before calculating premium wage deduct **\$1.50** 

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

#### **Paid Holidays**

None

(Local #79)

# METALLIC LATHER

#### Metallic Lather

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$46.45** Supplemental Benefit Rate per Hour: **\$52.80** Supplemental Note: For time and one half overtime - \$64.80 For double overtime - \$81.60

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Thanksgiving Day Christmas Day

#### **Paid Holidays**

1/2 day on Christmas Eve if work is performed in the A.M.1/2 day on New Year's Eve if work is performed in the A.M.

## Shift Rates

Off-shift work outside of normal working hours shall receive straight time rate plus \$12 per hour for the first eight (8) hours.

(Local #46)

# MILLWRIGHT

#### **Millwright**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$58.70 Supplemental Benefit Rate per Hour: \$57.11

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

## **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Veteran's Day Thanksgiving Day Christmas Day

#### **Paid Holidays**

1/2 day on Christmas Eve if work is performed in the A.M. 1/2 day on New Year's Eve if work is performed in the A.M.

#### **Shift Rates**

Second and third shifts receives the straight time rate of pay plus fifteen (15%) percent allowing for one half hour for a meal. There must be a first shift to work a second and third shift. All additional hours worked shall be paid at the time and one-half rate of pay plus fifteen (15%) percent for weekday hours.

(Local #740)

# MOSAIC MECHANIC

## Mosaic Mechanic - Mosaic & Terrazzo Mechanic

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$53.40** Supplemental Benefit Rate per Hour: **\$45.67** 

#### Mosaic Mechanic - Mosaic & Terrazzo Finisher

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$51.79 Supplemental Benefit Rate per Hour: \$45.67

#### Mosaic Mechanic - Machine Operator Grinder

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$51.79** Supplemental Benefit Rate per Hour: **\$45.67** 

#### **Overtime**

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Washington's Birthday Good Friday Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

## **Paid Holidays**

None

(Local #7)

# PAINTER

#### Painter - Brush & Roller

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$43.00 Supplemental Benefit Rate per Hour: \$40.88 Supplemental Note: \$46.62 on overtime

#### Spray & Scaffold / Decorative / Sandblast

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$46.00** Supplemental Benefit Rate per Hour: **\$40.88** Supplemental Note: **\$46.62** on overtime

#### **Overtime**

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

#### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Thanksgiving Day Christmas Day

# Paid Holidays

None

(District Council of Painters #9)

# PAINTER - LINE STRIPING (ROADWAY) see PAVER AND ROADBUILDER - LINE STRIPING (ROADWAY)

# **PAINTER - METAL POLISHER**

## METAL POLISHER

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$32.93 Supplemental Benefit Rate per Hour: \$11.99

## **METAL POLISHER - NEW CONSTRUCTION**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$33.88 Supplemental Benefit Rate per Hour: \$11.99

#### **METAL POLISHER - SCAFFOLD OVER 34 FEET**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$36.43** Supplemental Benefit Rate per Hour: **\$11.99** 

#### ASSISTANT METAL POLISHER

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$25.71** Supplemental Benefit Rate per Hour: **\$11.51** 

## **ASSISTANT METAL POLISHER - NEW CONSTRUCTION**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$26.66** Supplemental Benefit Rate per Hour: **\$11.51** 

#### **ASSISTANT METAL POLISHER - SCAFFOLD OVER 34 FEET**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$28.21** Supplemental Benefit Rate per Hour: **\$11.51** 

#### **Overtime Description**

All work performed on Saturdays shall be paid at time-in-a half. The exception being; for suspended scaffold work and work deemed as a construction project; an eight (8) hour shift lost during the week due to circumstances beyond the control of the employer, up to a maximum of eight (8) hours per week, may be worked on Saturday at the straight time rate.

**Holiday Pay** 

Only employees who have completed one year of service, including any trial period shall be eligible for holiday pay.

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather. Triple time the regular rate for work on the following holiday(s).

#### Paid Holidays

New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

#### Shift Rates

Four Days a week at Ten (10) hours straight a day.

Local 8A-28A

# **PAINTER - SIGN**

#### Sign Painter

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$45.54** Supplemental Benefit Rate per Hour: **\$22.29** 

## Assistant Sign Painter

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$38.70 Supplemental Benefit Rate per Hour: \$20.20

#### **Overtime Description**

If any employee is required to work on any of the paid holidays then the employee shall receive double time rate of wages as well as the holiday pay for that day.

#### **Overtime**

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

#### **Paid Holidays**

New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Election Day Thanksgiving Day Day after Thanksgiving Christmas Day

#### Vacation

At least 1 year of employment	1 week
2 years or more of employment	
8 years or more of employment	

(Local #8A-28A)

# **PAINTER - STRUCTURAL STEEL**

#### Painters on Structural Steel

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$54.50** Supplemental Benefit Rate per Hour: **\$51.33** 

#### Painter - Power Tool

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$61.00 Supplemental Benefit Rate per Hour: \$51.33 Overtime Wage Rate: \$6.50 above the "Painters on Structural Steel" overtime rate.

#### **Overtime Description**

Supplemental Benefits shall be paid for each hour worked, up to forty (40) hours per week for the period of May 1st to November 15th or up to fifty (50) hours per week for the period of November 16th to April 30th.

#### **Overtime**

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

## **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

#### **Paid Holidays**

None

#### **Shift Rates**

Second shift is paid at regular hourly wage rates plus a ten percent (10%) differential. There must be a first shift in order to work a second shift.

(Local #806)

# PAPERHANGER

#### Paperhanger

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$48.02** Supplemental Benefit Rate per Hour: **\$40.51** Supplemental Note: Supplemental benefits are to be paid at the appropriate straight time and overtime rate.

#### **Overtime**

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

#### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Thanksgiving Day Day after Thanksgiving Christmas Day

Paid Holidays

#### Shift Rates

Evening shift - 4:30 P.M. to 12:00 Midnight (regular rate of pay); any work performed before 7:00 A.M. shall be at time and one half the regular base rate of pay.

(District Council of Painters #9)

# PAVER AND ROADBUILDER

#### Paver & Roadbuilder - Formsetter

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$48.85 Supplemental Benefit Rate per Hour: \$51.87 Supplemental Note: For time and one half overtime - \$56.37 For double overtime - \$60.87

## Paver & Roadbuilder - Laborer

Paving and road construction work, regardless of material used, including but not limited to preparation of job sites, removal of old surfaces, asphalt and/or concrete, by whatever method, including but not limited to milling; laying of concrete; laying of asphalt for temporary, patchwork, and utility paving (but not production paving); site preparation and incidental work for installation of rubberized materials and similar surfaces; installation and repair of temporary construction fencing; slurry/seal coating, paving stones, maintenance of safety surfaces; play equipment installation, and other related work.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$44.98** Supplemental Benefit Rate per Hour: **\$51.87** Supplemental Note: For time and one half overtime - \$56.37 For double overtime - \$60.87

## Production Paver & Roadbuilder - Screed Person

(Production paving is asphalt paving when using a paving machine or on a project where a paving machine is traditionally used)

Adjustment of paving machinery on production paving jobs.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$49.45** Supplemental Benefit Rate per Hour: **\$51.87** Supplemental Note: For time and one half overtime - \$56.37 For double overtime - \$60.87

#### Production Paver & Roadbuilder - Raker

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$48.85 Supplemental Benefit Rate per Hour: \$51.87 Supplemental Note: For time and one half overtime - \$56.37 For double overtime - \$60.87

#### **Production Paver & Roadbuilder - Shoveler**

General laborer (except removal of surfaces - see Paver and Roadbuilder-Laborer) including but not limited to tamper, AC paint and liquid tar work.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$44.98** Supplemental Benefit Rate per Hour: **\$51.87** Supplemental Note: For time and one half overtime - \$56.37 For double overtime - \$60.87

#### **Overtime Description**

If an employee works New Year's Day or Christmas Day, they receive the single time rate plus 25%.

For Paid Holidays: Holiday pay for all holidays shall be prorated based two hours per day for each day worked in the holiday week, not to exceed 8 hours of holiday pay.

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). Memorial Day Independence Day Labor Day Columbus Day Thanksgiving Day

#### **Paid Holidays**

Memorial Day Independence Day Labor Day Thanksgiving Day

#### Shift Rates

When two shifts are employed, the work period for each shift shall be a continuous eight (8) hours. When three shifts are employed, each shift will work seven and one half (7  $\frac{1}{2}$ ) hours but will be paid for eight (8) hours at the straight time rate since only one half (1/2) hour is allowed for meal time. When two or more shifts are employed, single time will be paid for each shift.

Night Work - On night work, the first eight (8) hours of work will be paid for at the single time rate, except that production paving work shall be paid at 10% over the single time rate for the screed person, rakers and shovelers directly involved only. This differential is to be paid when there is only one shift and the shift works at night. All other workers will be exempt. Hours worked over eight (8) hours during said shift shall be paid for at the time and one-half rate.

(Local #1010)

# PAVER AND ROADBUILDER - LINE STRIPING (ROADWAY)

# Striping - Machine Operator

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$40.00** Supplemental Benefit Rate per Hour: **\$17.27** Supplemental Note: For time and one half overtime - \$18.27 For double overtime - \$19.27

## Lineperson (Thermoplastic)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$44.00** Supplemental Benefit Rate per Hour: **\$17.27** Supplemental Note: For time and one half overtime - \$18.27 For double overtime - \$19.27

# Striping Assistant & Traffic Safety

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$38.00 Supplemental Benefit Rate per Hour: \$17.27 Supplemental Note: For time and one half overtime - \$18.27 For double overtime - \$19.27

#### **Overtime Description**

For Paid Holidays: Employees will only receive Holiday Pay for holidays not worked if said employee worked both the regularly scheduled workday before and after the holiday.

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday. Time and one half the regular rate for work on the following holiday(s).

## **Paid Holidays**

New Year's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Christmas Day

#### Vacation

Employees with one to two years service shall accrue vacation based on hours worked: 250 hours worked - 1 day vacation; 500 hours worked - 2 days vacation; 750 hours worked - 3 days vacation; 900 hours worked - 4 days vacation; 1,000 hours worked - 5 days vacation. Employees with two to five years service receive two weeks

vacation. Employees with five to twenty years service receive three weeks vacation. Employees with twenty to twenty-five years service receive four weeks vacation. Employees with 25 or more years service receive five weeks vacation.

(Local #1010)

# PLASTERER

#### **Plasterer**

Effective Period: 7/1/2023 - 7/31/2023 Wage Rate per Hour: **\$52.08** Supplemental Benefit Rate per Hour: **\$23.74** 

Effective Period: 8/1/2023 - 6/30/2024 Wage Rate per Hour: **\$52.10** Supplemental Benefit Rate per Hour: **\$25.35** 

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

#### **Paid Holidays**

None

#### Shift Rates

When it is not possible to conduct work during regular working hours (between 6:30am and 4:30pm), a shift differential shall be paid at the regular hourly rate plus a twelve percent (12%) per hour differential. Workers on shift work shall be allowed a paid one-half hour meal break.

(Local #262)

# **PLASTERER - TENDER**

## Plasterer - Tender

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$39.95 Supplemental Benefit Rate per Hour: \$31.99

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

## **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Washington's Birthday Memorial Day Independence Day Labor Day Presidential Election Day Thanksgiving Day Christmas Day

#### Paid Holidays

None

#### Shift Rates

When work commences outside regular work hours, workers receive an hour additional (differential) wage and supplement payment. Eight hours pay for seven hours work or nine hours pay for eight hours work.

(Mason Tenders District Council)

# PLUMBER

#### **Plumber**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$72.50** Supplemental Benefit Rate per Hour: **\$41.45** Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

#### **Plumber - Temporary Services**

Temporary Services - When there are no Plumbers on the job site, there may be three shifts designed to cover the entire twenty-four hour period, including weekends if necessary, at the following rate straight time.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$58.08 Supplemental Benefit Rate per Hour: \$33.08

#### Overtime

Double time the regular rate after an 8 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

#### Shift Rates

30% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shifts Monday to Friday. 50% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shift work performed on weekends. For shift work on holidays, double time wages and fringe benefits shall be paid.

(Plumbers Local #1)

PLUMBER (MECHNICAL EQUIPMENT AND SERVICE) (Mechanical Equipment and Service work shall include any repair and/or replacement of the present plumbing system.)

#### **Plumber**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$47.45** Supplemental Benefit Rate per Hour: **\$20.51** 

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

#### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Thanksgiving Day Day after Thanksgiving Christmas Day

**Paid Holidays** 

None

(Plumbers Local # 1)

# PLUMBER (RESIDENTIAL RATES FOR 1, 2 AND 3 FAMILY HOME CONSTRUCTION)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$50.35** Supplemental Benefit Rate per Hour: **\$29.73** 

#### **Overtime**

Double time the regular rate after an 8 hour day. Double time the regular time rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

## **Paid Holidays**

None

#### Shift Rates

30% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shifts Monday to Friday. 50% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shift work performed on weekends. For shift work on holidays, double time wages and fringe benefits shall be paid.

(Plumbers Local #1)

# PLUMBER: PUMP & TANK Oil Trades (Installation and Maintenance)

#### Plumber - Pump & Tank

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$69.73** Supplemental Benefit Rate per Hour: **\$28.48** 

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

#### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

#### **Paid Holidays**

None

#### Shift Rates

All work outside the regular workday (8:00 A.M. to 3:30 P.M.) is to be paid at time and one half the regular hourly rate

(Plumbers Local #1)

# POINTER, WATERPROOFER, CAULKER, SANDBLASTER, STEAMBLASTER (Exterior Building Renovation)

#### Journeyperson

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$61.93** Supplemental Benefit Rate per Hour: **\$30.25** 

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

#### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

#### **Paid Holidays**

None

#### Shift Rates

All work outside the regular work day (an eight hour workday between the hours of 6:00 A.M. and 4:00 P.M.) is to be paid at time and one half the regular rate. However, the employer may establish one (1) or two (2) shifts starting at or after 4:00 P.M. to be paid at the regular hourly rate plus a 10% differential. For projects bid and performed after July 1, 2023, the first shift shall be paid at the regular hourly rate plus a 5% differential.

(Bricklayer District Council)

# ROOFER

## <u>Roofer</u>

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$46.50** Supplemental Benefit Rate per Hour: **\$38.31** 

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

#### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

#### **Paid Holidays**

None

#### **Shift Rates**

Second shift - Regular hourly rate plus a 10% differential. Third shift - Regular hourly rate plus a 15% differential. There must be a first shift to work the second shift, and a second shift to work the third shift. All other work outside the regular work day (an eight hour workday between the hours of 5:00 A.M. and 4:00 P.M.) is to be paid at time and one half the regular rate.

(Local #8)

# SHEET METAL WORKER

#### **Sheet Metal Worker**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$52.60** Supplemental Benefit Rate per Hour: **\$56.93** Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

## Sheet Metal Worker - Fan Maintenance

(The temporary operation of fans or blowers in new or existing buildings for heating and/or ventilation, and/or air conditioning prior to the completion of the project.)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$42.08** Supplemental Benefit Rate per Hour: **\$56.93** 

#### **Sheet Metal Worker - Duct Cleaner**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$19.30 Supplemental Benefit Rate per Hour: \$12.35

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

#### **Paid Holidays**

None

#### Shift Rates

Work that can only be performed outside regular working hours (eight hours of work between 7:30 A.M. and 3:30 P.M.) - First shift (work between 3:30 P.M. and 11:30 P.M.) - 10% differential above the established hourly rate. Second shift (work between 11:30 P.M. and 7:30 A.M.) - 15% differential above the established hourly rate.

For Fan Maintenance: On all full shifts of fan maintenance work the straight time hourly rate of pay will be paid for each shift, including nights, Saturdays, Sundays, and holidays.

(Local #28)

# SHEET METAL WORKER - SPECIALTY (Decking & Siding)

#### **Sheet Metal Specialty Worker**

The first worker to perform this work must be paid at the rate of the Sheet Metal Worker. The second and third workers shall be paid the Specialty Worker Rate. The ratio of One Sheet Metal Worker, then Two Specialty Workers shall be utilized thereafter.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$49.40** Supplemental Benefit Rate per Hour: **\$28.99** Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Christmas Day

#### **Paid Holidays**

None

(Local #28)

# SHIPYARD WORKER

#### **Shipyard Mechanic - First Class**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$30.26** Supplemental Benefit Rate per Hour: **\$3.80** 

#### Shipyard Mechanic - Second Class

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$21.63** Supplemental Benefit Rate per Hour: **\$3.30** 

#### Shipyard Laborer - First Class

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$23.59 Supplemental Benefit Rate per Hour: \$3.70

#### Shipyard Laborer - Second Class

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$18.43 Supplemental Benefit Rate per Hour: \$3.43

#### Shipyard Dockhand - First Class

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$25.82** Supplemental Benefit Rate per Hour: **\$3.54** 

#### Shipyard Dockhand - Second Class

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$18.83 Supplemental Benefit Rate per Hour: \$3.58

#### **Overtime Description**

Work performed on holiday is paid double time the regular hourly wage rate plus holiday pay.

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Time and one half the regular hourly rate after 40 straight time hours in any work week.

#### Paid Holidays

New Year's Day Martin Luther King Jr. Day President's Day Good Friday Memorial Day Independence Day Labor Day Thanksgiving Day Day after Thanksgiving Christmas Day

**Based on Survey Data** 

# SIGN ERECTOR (Sheet Metal, Plastic, Electric, and Neon)

#### Sign Erector

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$56.00 Supplemental Benefit Rate per Hour: \$61.89

#### **Overtime**

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday. Time and one half the regular rate for work on the following holiday(s).

#### **Paid Holidays**

New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Election Day Thanksgiving Day Day after Thanksgiving Christmas Day

#### Shift Rates

Time and one half the regular hourly rate is to be paid for all hours worked outside the regular workday either (7:00 A.M. through 2:30 P.M.) or (8:00 A.M. through 3:30 P.M.)

(Local #137)

# STEAMFITTER

#### **Steamfitter**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$69.05** Supplemental Benefit Rate per Hour: **\$53.14** Supplemental Note: Overtime supplemental benefit rate: **\$105.54** 

## Steamfitter -Temporary Services

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$52.48 Supplemental Benefit Rate per Hour: \$43.57

#### **Overtime Description**

Double time after a 7 hour day except for Temporary Services.

#### **Overtime**

Double time the regular time rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

#### Paid Holidays

None

#### Shift Rates

May be performed outside of the regular workday except Saturday, Sunday and Holidays. When shift work is performed the wage rate for regular time worked is a 15% percent premium on wage and 15% percent premium on supplemental benefits.

Local 638

# STEAMFITTER - REFRIGERATION AND AIR CONDITIONER (Maintenance and Installation Service Person)

### **Refrigeration and Air Conditioner Mechanic**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$44.85** Supplemental Benefit Rate per Hour: **\$20.71** 

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

## **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Independence Day Labor Day Veteran's Day Thanksgiving Day Christmas Day

Double time and one half the regular rate for work on the following holiday(s). Martin Luther King Jr. Day President's Day Memorial Day Columbus Day

#### Paid Holidays

New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Christmas Day

(Local #638-B)

# **STONE MASON - SETTER**

#### Stone Mason - Setter

(Assisted by Derrickperson and Rigger)

Wage Rate per Hour: \$56.15 Supplemental Benefit Rate per Hour: \$53.35

#### **Overtime**

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day Washington's Birthday Good Friday Memorial Day Independence Day Labor Day Thanksgiving Day Christmas Day

#### **Paid Holidays**

1/2 day on Christmas Eve if work is performed in the A.M.

#### Shift Rates

For all work outside the regular workday (8:00 A.M. to 3:30 P.M. Monday through Friday), the pay shall be straight time plus a ten percent (10%) differential.

(Bricklayers District Council)

# TAPER

#### **Drywall Taper**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$48.47 Supplemental Benefit Rate per Hour: \$30.01

#### **Overtime**

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

#### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day Martin Luther King Jr. Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Thanksgiving Day Christmas Day

# **Paid Holidays**

Any worker who reports to work on Christmas Eve or New Year's Eve pursuant to his employer's instruction shall be entitled to three (3) hours afternoon pay without working.

(Local #1974)

# **TELECOMMUNICATION WORKER**

(Install/maintain/repair telecommunications cables carrying data, video, and/or voice except for installation on building construction/alteration/renovation projects.)

### **Telecommunication Worker**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$47.03** Supplemental Benefit Rate per Hour: **\$23.15** Supplemental Note: The above rate applies for Manhattan, Bronx, Brooklyn, Queens. **\$22.84** for Staten Island only.

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Time and one half the regular rate for Sunday.

#### **Overtime Holidays**

Time and one half the regular rate for work on the following holiday(s). New Year's Day Lincoln's Birthday Washington's Birthday Memorial Day Independence Day Labor Day Columbus Day Election Day Veteran's Day Thanksgiving Day Christmas Day

## **Paid Holidays**

New Year's Day Lincoln's Birthday Washington's Birthday Memorial Day Independence Day Labor Day Columbus Day Election Day Veteran's Day Thanksgiving Day Christmas Day Employees have the option of observing either Martin Luther King's Birthday or the day after Thanksgiving instead of Lincoln's Birthday

#### **Shift Rates**

For any workday that starts before 8A.M. or ends after 6P.M. there is a 10% differential for the applicable worker's hourly rate.

#### Vacation

After 6 months	one week.
After 12 months but less than 7 years	two weeks.
After 7 or more but less than 15 years	
After 15 years or more but less than 25 years	

(C.W.A.)

# TILE FINISHER

# <u>Tile Finisher</u>

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$48.78 Supplemental Benefit Rate per Hour: \$32.36

#### **Overtime**

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

#### **Paid Holidays**

None

#### Shift Rates

Off shift work day (work performed outside the regular 8:00 A.M. to 3:30 P.M. workday): shift differential of one and one quarter (1<sup>1</sup>/<sub>4</sub>) times the regular straight time rate of pay for the seven hours of actual off-shift work.

(Local #7)

# **TILE LAYER - SETTER**

## Tile Layer - Setter

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$63.46** Supplemental Benefit Rate per Hour: **\$35.51** 

#### **Overtime**

Time and one half the regular rate after a 7 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Good Friday Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

#### Shift Rates

Off shift work day (work performed outside the regular 8:00 A.M. to 3:30 P.M. workday): shift differential of one and one quarter (1¼) times the regular straight time rate of pay for the seven hours of actual off-shift work.

(Local #7)

# TIMBERPERSON

#### **Timberperson**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$54.05** Supplemental Benefit Rate per Hour: **\$54.99** 

#### **Overtime**

Time and one half the regular rate after an 8 hour day. Time and one half the regular rate for Saturday. Double time the regular rate for Sunday. Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

#### **Overtime Holidays**

Double time the regular rate for work on the following holiday(s). New Year's Day President's Day Memorial Day Independence Day Labor Day Columbus Day Presidential Election Day Thanksgiving Day Christmas Day

#### **Paid Holidays**

None

#### Shift Rates

Off shift work commencing between 5:00 P.M. and 11:00 P.M. shall work eight and one half hours allowing for one half hour for lunch. The wage rate shall be 113% of the straight time hourly wage rate. Benefits for off-shift work shall be paid at the straight time rate.

(Local #1556)

# TUNNEL WORKER

## **Blasters, Mucking Machine Operators (Compressed Air Rates)**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$71.86 Supplemental Benefit Rate per Hour: \$63.35

### **Tunnel Workers (Compressed Air Rates)**

Includes shield driven liner plate portions or solidification portions work (8 hour shift) during excavation phase.

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$69.30** Supplemental Benefit Rate per Hour: **\$61.35** 

#### Top Nipper (Compressed Air Rates)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$68.14 Supplemental Benefit Rate per Hour: \$60.14

# Outside Lock Tender, Outside Gauge Tender, Muck Lock Tender (Compressed Air Rates)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$66.78** Supplemental Benefit Rate per Hour: **\$59.16** 

# Bottom Bell & Top Bell Signal Person: Shaft Person (Compressed Air Rates)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$66.78** Supplemental Benefit Rate per Hour: **\$59.16** 

#### Changehouse Attendant: Powder Watchperson (Compressed Air Rates)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$58.80 Supplemental Benefit Rate per Hour: \$55.51

#### **Blasters (Free Air Rates)**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$68.55** Supplemental Benefit Rate per Hour: **\$60.82** 

# **Tunnel Workers (Free Air Rates)**

Wage Rate per Hour: \$65.58 Supplemental Benefit Rate per Hour: \$58.28

## All Others (Free Air Rates)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$60.62** Supplemental Benefit Rate per Hour: **\$53.94** 

#### Microtunneling (Free Air Rates)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$52.46** Supplemental Benefit Rate per Hour: **\$46.62** 

## **Overtime Description**

For work performed during excavation and primary concrete tunnel lining phases - Double time the regular rate after an 8 hour day and Saturday, Sunday and on the following holiday(s) listed below.

For Repair-Maintenance Work on Existing Equipment and Facilities - Time and one half the regular rate after a 8 hour day, Saturday, Sunday and double time the regular rate for work on the following holiday(s) listed below. For Small-Bore Micro Tunneling Machines - Time and one-half the regular rate shall be paid for all overtime. For work not listed above - Time and one half the regular rate after an 8 hour day and Saturday and double time the regular rate after an 8 hour day and Saturday and double time the regular rate after an 8 hour day and Saturday and double time the regular rate on Sunday and on the following holiday(s) listed below.

# **Paid Holidays**

New Year's Day Martin Luther King Jr. Day President's Day Memorial Day Independence Day Labor Day Columbus Day Veteran's Day Thanksgiving Day Day after Thanksgiving Christmas Day

(Local #147)

# UTILITY LOCATOR (Locate & mark underground utilities for street excavation.)

#### Utility Locator (Year 7 and above)

Wage Rate per Hour: \$31.56 Supplemental Benefit Rate per Hour: \$1.43

## Utility Locator (Year 5 - 6)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$22.85 Supplemental Benefit Rate per Hour: \$1.43

## Utility Locator (Year 4)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$21.54** Supplemental Benefit Rate per Hour: **\$1.43** 

## Utility Locator (Year 3)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$20.30** Supplemental Benefit Rate per Hour: **\$1.43** 

## **Utility Locator (Year 2)**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$19.13 Supplemental Benefit Rate per Hour: \$1.43

# Utility Locator (Year 1)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$18.04** Supplemental Benefit Rate per Hour: **\$1.43** 

# Utility Locator (Up to 1 year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$17.00** Supplemental Benefit Rate per Hour: **\$1.43** Supplemental Note: No benefits for the first 90 days of employment.

#### **Overtime**

Time and one half the regular rate for work on the following holiday(s). Time and one half the regular hourly rate after 40 straight time hours in any work week.

# Paid Holidays

New Year's Day Memorial Day Independence Day Thanksgiving Day

**Christmas Day** 

### **Shift Rates**

10% shift differential to employees working any shift starting between noon and 5 AM.

#### Vacation

For up to 1 year0 hoursFor year 1 - 248 hours per yearFor year 3 - 996 hours per yearFor year 10 or more144 hours per year

Sick Days:

For up to 1 year employee receives 40 hours paid sick leave. For year 1 employee earns 2 hours of paid sick leave for every 100 overtime hours worked. For year 2 - 9 years employee earns 4 hours of paid sick leave for every 100 overtime hours worked. For year 10 or more employee earns 6 hours of paid sick leave for every 100 overtime hours worked.

(C.W.A.)

### WELDER WELDER AND FIREWATCH TO BE PAID AT THE RATE OF THE JOURNEYPERSON OR REGISTERED APPRENTICE IN THE TRADE PERFORMING THE WORK.

# OFFICE OF THE COMPTROLLER

# CITY OF NEW YORK

# CONSTRUCTION APPRENTICE PREVAILING WAGE SCHEDULE

Pursuant to Labor Law § 220 (3-e), only apprentices who are individually registered in a bona fide program to which the employer contractor is a participant and registered with the New York State Department of Labor, may be paid at the apprentice rates in this schedule. Apprentices who are not so registered must be paid as journey persons in accordance with the trade classification of the work they actually performed.

Apprentice ratios are established to ensure the proper safety, training and supervision of apprentices. A ratio establishes the number of journey workers required for each apprentice in a program and on a job site. Ratios are interpreted as follows: in the case of a 1:1, 1:4 ratio, there must be one journey worker for the first apprentice, and four additional journey workers for each subsequent apprentice.

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# **BOILERMAKER** (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

# Boilermaker (First Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 65% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$34.37

## Boilermaker (Second Year: 1st Six Months)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 70% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$36.39

#### Boilermaker (Second Year: 2nd Six Months)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 75% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$38.41

## Boilermaker (Third Year: 1st Six Months)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$40.40

#### Boilermaker (Third Year: 2nd Six Months)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 85% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$42.43

#### Boilermaker (Fourth Year: 1st Six Months)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 90% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$44.44

#### Boilermaker (Fourth Year: 2nd Six Months)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 95% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$46.46

(Local #5)

# BRICKLAYER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

# Bricklayer (First 750 Hours)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$22.60

#### Bricklayer (Second 750 Hours)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 60% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$22.60

## Bricklayer (Third 750 Hours)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 70% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$22.60

# Bricklayer (Fourth 750 Hours)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$22.60

#### Bricklayer (Fifth 750 Hours)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 90% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$22.60

#### Bricklayer (Sixth 750 Hours)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 95% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$22.60

(Bricklayer District Council)

# CARPENTER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

# **Carpenter (First Year)**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour For Building Apprentice: \$20.20 Supplemental Benefit Rate Per Hour For Building Apprentice: \$17.25

Wage Rate Per Hour For Heavy Apprentice: \$25.60 Supplemental Benefit Rate Per Hour For Heavy Apprentice: \$37.31

#### Carpenter (Second Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour For Building Apprentice: \$23.20 Supplemental Benefit Rate Per Hour For Building Apprentice: \$18.75

Wage Rate Per Hour For Heavy Apprentice: \$31.20 Supplemental Benefit Rate Per Hour For Heavy Apprentice: \$37.31

#### **Carpenter (Third Year)**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour For Building Apprentice: \$27.45 Supplemental Benefit Rate Per Hour For Building Apprentice: \$22.35

Wage Rate Per Hour For Heavy Apprentice: \$39.58 Supplemental Benefit Rate Per Hour For Heavy Apprentice: \$37.31

#### Carpenter (Fourth Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour For Building Apprentice: \$35.33 Supplemental Benefit Rate Per Hour For Building Apprentice: \$24.35

Wage Rate Per Hour For Heavy Apprentice: \$47.97 Supplemental Benefit Rate Per Hour For Heavy Apprentice: \$37.31

(Carpenters District Council)

# CARPENTER - HIGH RISE CONCRETE FORMS (Ratio of Apprentice to Journeyperson: 1 to 1, 2 to 5)

## Carpenter - High Rise (First Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$18.27 Supplemental Benefit Rate per Hour: \$17.55

#### Carpenter - High Rise (Second Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$24.70** Supplemental Benefit Rate per Hour: **\$17.68** 

#### Carpenter - High Rise (Third Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$31.28 Supplemental Benefit Rate per Hour: \$17.81

#### **Carpenter - High Rise (Fourth Year)**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$38.90 Supplemental Benefit Rate per Hour: \$17.96

(Carpenters District Council)

# CEMENT AND CONCRETE WORKER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

#### Cement & Concrete Worker (First 1333 hours)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 53% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$14.79

#### Cement & Concrete Worker (Second 1333 hours)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 69% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$19.72

#### Cement & Concrete Worker (Last 1334 hours)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 85% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$21.30

(Cement Concrete Workers District Council)

# CEMENT MASON (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

#### **Cement Mason (First Year)**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$19.92** Supplemental Benefit Rate per Hour: **\$15.61** 

## Cement Mason (Second Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$24.82** Supplemental Benefit Rate per Hour: **\$15.91** 

#### Cement Mason (Third Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$30.22** Supplemental Benefit Rate per Hour: **\$16.02** 

(Local #780)

# DERRICKPERSON & RIGGER (STONE) (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

#### Derrickperson & Rigger (stone) - First Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Benefit Rate Per Hour: 50% of Journeyperson's rate

#### Derrickperson & Rigger (stone) - Second Year: 1st Six Months

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 70% of Journeyperson's rate Supplemental Benefit Rate Per Hour: 75% of Journeyperson's rate

#### Derrickperson & Rigger (stone) - Second Year: 2nd Six Months

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Benefit Rate Per Hour: 75% of Journeyperson's rate

#### Derrickperson & Rigger (stone) - Third Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 90% of Journeyperson's rate Supplemental Benefit Rate Per Hour: 75% of Journeyperson's rate

(Local #197)

# DOCKBUILDER/PILE DRIVER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 6)

#### **Dockbuilder/Pile Driver (First Year)**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: \$25.60 Supplemental Benefit Rate Per Hour: \$37.31

#### Dockbuilder/Pile Driver (Second Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: \$31.20 Supplemental Benefit Rate Per Hour: \$37.31

#### Dockbuilder/Pile Driver (Third Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: \$39.58 Supplemental Benefit Rate Per Hour: \$37.31

#### Dockbuilder/Pile Driver (Fourth Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: \$47.97

Supplemental Benefit Rate Per Hour: \$37.31

(Carpenters District Council)

# ELECTRICIAN (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

#### Electrician (First Term: 0-6 Months)

Effective Period: 7/1/2023 - 4/12/2024 Wage Rate per Hour: \$18.00 Supplemental Benefit Rate per Hour: \$16.43 Overtime Supplemental Rate Per Hour: \$17.63

Effective Period: 4/13/2024 - 6/30/2024 Wage Rate per Hour: \$18.00 Supplemental Benefit Rate per Hour: \$17.18 Overtime Supplemental Rate Per Hour: \$18.38

#### Electrician (First Term: 7-12 Months)

Effective Period: 7/1/2023 - 4/12/2024 Wage Rate per Hour: **\$18.50** Supplemental Benefit Rate per Hour: **\$16.69** Overtime Supplemental Rate Per Hour: **\$17.92** 

Effective Period: 4/13/2024 - 6/30/2024 Wage Rate per Hour: **\$18.50** Supplemental Benefit Rate per Hour: **\$17.44** Overtime Supplemental Rate Per Hour: **\$18.67** 

#### Electrician (Second Term: 0-6 Months)

Effective Period: 7/1/2023 - 4/12/2024 Wage Rate per Hour: **\$19.50** Supplemental Benefit Rate per Hour: **\$17.22** Overtime Supplemental Rate Per Hour: **\$18.51** 

Effective Period: 4/13/2024 - 6/30/2024 Wage Rate per Hour: **\$19.50** Supplemental Benefit Rate per Hour: **\$17.97** Overtime Supplemental Rate Per Hour: **\$19.26** 

# Electrician (Second Term: 7-12 Months)

Effective Period: 7/1/2023 - 4/12/2024 Wage Rate per Hour: **\$20.50** Supplemental Benefit Rate per Hour: **\$17.74** Overtime Supplemental Rate Per Hour: **\$19.10** 

Effective Period: 4/13/2024 - 6/30/2024 Wage Rate per Hour: **\$20.50** Supplemental Benefit Rate per Hour: **\$18.49** Overtime Supplemental Rate Per Hour: **\$19.85** 

# Electrician (Third Term: 0-6 Months)

Effective Period: 7/1/2023 - 4/12/2024 Wage Rate per Hour: **\$21.50** Supplemental Benefit Rate per Hour: **\$18.27** Overtime Supplemental Rate Per Hour: **\$19.69** 

Effective Period: 4/13/2024 - 6/30/2024 Wage Rate per Hour: **\$21.50** Supplemental Benefit Rate per Hour: **\$19.02** Overtime Supplemental Rate Per Hour: **\$20.44** 

#### Electrician (Third Term: 7-12 Months)

Effective Period: 7/1/2023 - 4/12/2024 Wage Rate per Hour: **\$22.50** Supplemental Benefit Rate per Hour: **\$18.79** Overtime Supplemental Rate Per Hour: **\$20.28** 

Effective Period: 4/13/2024 - 6/30/2024 Wage Rate per Hour: **\$22.50** Supplemental Benefit Rate per Hour: **\$19.54** Overtime Supplemental Rate Per Hour: **\$21.03** 

#### Electrician (Fourth Term: 0-6 Months)

Effective Period: 7/1/2023 - 4/12/2024 Wage Rate per Hour: **\$23.50** Supplemental Benefit Rate per Hour: **\$19.31** Overtime Supplemental Rate Per Hour: **\$20.87** 

Effective Period: 4/13/2024 - 6/30/2024 Wage Rate per Hour: **\$23.50** Supplemental Benefit Rate per Hour: **\$20.06** Overtime Supplemental Rate Per Hour: **\$21.62** 

# Electrician (Fourth Term: 7-12 Months)

Effective Period: 7/1/2023 - 4/12/2024 Wage Rate per Hour: **\$25.50** Supplemental Benefit Rate per Hour: **\$20.36** Overtime Supplemental Rate Per Hour: **\$22.05** 

Effective Period: 4/13/2024 - 6/30/2024 Wage Rate per Hour: **\$25.50** Supplemental Benefit Rate per Hour: **\$21.11** Overtime Supplemental Rate Per Hour: **\$22.80** 

#### Electrician (Fifth Term: 0-12 Months)

Effective Period: 7/1/2023 - 4/12/2024 Wage Rate per Hour: **\$26.75** Supplemental Benefit Rate per Hour: **\$24.13** Overtime Supplemental Rate Per Hour: **\$25.82** 

Effective Period: 4/13/2024 - 6/30/2024 Wage Rate per Hour: **\$27.50** Supplemental Benefit Rate per Hour: **\$24.79** Overtime Supplemental Rate Per Hour: **\$26.52** 

# Electrician (Fifth Term: 13-18 Months)

Effective Period: 7/1/2023 - 4/12/2024 Wage Rate per Hour: \$31.25 Supplemental Benefit Rate per Hour: \$26.55 Overtime Supplemental Rate Per Hour: \$28.53

Effective Period: 4/13/2024 - 6/30/2024 Wage Rate per Hour: **\$32.00** Supplemental Benefit Rate per Hour: **\$27.20** Overtime Supplemental Rate Per Hour: **\$29.23** 

#### **Overtime Description**

Overtime Wage paid at time and one half the regular rate

(Local #3)

# ELEVATOR CONSTRUCTOR (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 2)

# Elevator (Constructor) - First Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Rate Per Hour: \$34.18

#### Elevator (Constructor) - Second Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 55% of Journeyperson's rate Supplemental Rate Per Hour: \$34.79

#### Elevator (Constructor) - Third Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 65% of Journeyperson's rate Supplemental Rate Per Hour: \$36.01

#### Elevator (Constructor) - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 75% of Journeyperson's rate Supplemental Rate Per Hour: \$37.23

(Local #1)

# ELEVATOR REPAIR & MAINTENANCE (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 2)

#### Elevator Service/Modernization Mechanic (First Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Benefit Per Hour: \$34.59

#### Elevator Service/Modernization Mechanic (Second Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 55% of Journeyperson's rate Supplemental Benefit Per Hour: \$35.18

#### Elevator Service/Modernization Mechanic (Third Year)

Wage Rate Per Hour: 65% of Journeyperson's rate Supplemental Benefit Per Hour: \$36.37

### **Elevator Service/Modernization Mechanic (Fourth Year)**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 75% of Journeyperson's rate Supplemental Benefit Per Hour: \$37.55

(Local #1)

# **ENGINEER** (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 5)

#### Engineer - First Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$27.47** Supplemental Benefit Rate per Hour: **\$32.38** 

#### **Engineer - Second Year**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$34.34** Supplemental Benefit Rate per Hour: **\$32.38** 

#### Engineer - Third Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$37.77 Supplemental Benefit Rate per Hour: \$32.38

#### **Engineer - Fourth Year**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$41.21** Supplemental Benefit Rate per Hour: **\$32.38** 

(Local #15)

# ENGINEER - OPERATING (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 5)

# **Operating Engineer - First Year**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 40% of Operating Engineer - Road & Heavy Construction V's Rate Supplemental Benefit Per Hour: \$25.55

#### **Operating Engineer - Second Year**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 50% of Operating Engineer - Road & Heavy Construction V's Rate Supplemental Benefit Per Hour: \$25.55

#### **Operating Engineer - Third Year**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 60% of Operating Engineer - Road & Heavy Construction V's Rate Supplemental Benefit Per Hour: \$25.55

(Local #14)

# FLOOR COVERER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

#### Floor Coverer (First Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$25.20** Supplemental Benefit Rate per Hour: **\$17.25** 

#### Floor Coverer (Second Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$28.20** Supplemental Benefit Rate per Hour: **\$18.75** 

#### Floor Coverer (Third Year)

Wage Rate per Hour: \$32.45 Supplemental Benefit Rate per Hour: \$22.35

# Floor Coverer (Fourth Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$40.33 Supplemental Benefit Rate per Hour: \$24.35

(Carpenters District Council)

# GLAZIER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

#### **Glazier (First Year)**

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 40% of Journeyperson's rate

#### **Glazier (Second Year)**

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

#### **Glazier (Third Year)**

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

#### **Glazier (Fourth Year)**

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 80% of Journeyperson's rate

(Local #1281)

# HAZARDOUS MATERIAL HANDLER (Ratio of Apprentice Journeyperson: 1 to 1, 1 to 3)

# Handler (First 1000 Hours)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$20.00** Supplemental Benefit Rate per Hour: **\$14.75** 

#### Handler (Second 1000 Hours)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$21.00** Supplemental Benefit Rate per Hour: **\$14.75** 

## Handler (Third 1000 Hours)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$24.00** Supplemental Benefit Rate per Hour: **\$14.75** 

## Handler (Fourth 1000 Hours)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$26.00** Supplemental Benefit Rate per Hour: **\$14.75** 

(Local #78)

# HEAT & FROST INSULATOR (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

#### Heat & Frost Insulator (First Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 40% of Journeyperson's rate

#### Heat & Frost Insulator (Second Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

#### Heat & Frost Insulator (Third Year)

Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

#### Heat & Frost Insulator (Fourth Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 70% of Journeyperson's rate

(Local #12)

# HOUSE WRECKER (TOTAL DEMOLITION) (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

#### House Wrecker - First Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$21.30** Supplemental Benefit Rate per Hour: **\$10.97** 

#### House Wrecker - Second Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$23.05** Supplemental Benefit Rate per Hour: **\$10.97** 

#### House Wrecker - Third Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$24.55** Supplemental Benefit Rate per Hour: **\$10.97** 

#### House Wrecker - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$27.05** Supplemental Benefit Rate per Hour: **\$10.97** 

(Mason Tenders District Council)

# **IRON WORKER - ORNAMENTAL** (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

# Iron Worker (Ornamental) - First Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$25.98** Supplemental Benefit Rate per Hour: **\$16.00** 

#### Iron Worker (Ornamental) - Second Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$28.45** Supplemental Benefit Rate per Hour: **\$18.00** 

#### Iron Worker (Ornamental) - Third Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$30.80 Supplemental Benefit Rate per Hour: \$19.00

#### Iron Worker (Ornamental) - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$34.39 Supplemental Benefit Rate per Hour: \$21.00

(Local #580)

# IRON WORKER - STRUCTURAL (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 6)

#### Iron Worker (Structural) - 1st Six Months

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$29.73** Supplemental Benefit Rate per Hour: **\$60.12** 

#### Iron Worker (Structural) - 7- 18 Months

Wage Rate per Hour: \$30.33 Supplemental Benefit Rate per Hour: \$60.12

#### Iron Worker (Structural) - 19 - 36 months

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$30.94** Supplemental Benefit Rate per Hour: **\$60.12** 

(Local #40 and #361)

# LABORER (FOUNDATION, CONCRETE, EXCAVATING, STREET PIPE LAYER & COMMON)

(Ratio Apprentice to Journeyperson: 1 to 1, 1 to 3)

## Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) - First 1000 hours

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Rate Per Hour: \$50.43

#### Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) -Second 1000 hours

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 60% of Journeyperson's rate Supplemental Rate Per Hour: \$50.43

## Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) -Third 1000 hours

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 75% of Journeyperson's rate Supplemental Rate Per Hour: \$50.43

#### Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) -Fourth 1000 hours

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 90% of Journeyperson's rate Supplemental Rate Per Hour: \$50.43

(Local #731)

# MARBLE MECHANICS (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

#### Cutters & Setters - First 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 40% of Journeyperson's rate

NO BENEFITS PAID DURING THE FIRST TWO MONTHS (PROBATIONARY PERIOD)

#### Cutters & Setters - Second 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 45% of Journeyperson's rate

#### Cutters & Setters - Third 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

#### Cutters & Setters - Fourth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 55% of Journeyperson's rate

#### Cutters & Setters - Fifth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

#### Cutters & Setters - Sixth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 65% of Journeyperson's rate

#### Cutters & Setters - Seventh 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 70% of Journeyperson's rate

#### Cutters & Setters - Eighth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 75% of Journeyperson's rate

## Cutters & Setters - Ninth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 85% of Journeyperson's rate

#### Cutters & Setters - Tenth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 95% of Journeyperson's rate

## Polishers & Finishers - First 900 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 70% of Journeyperson's rate

NO BENEFITS PAID DURING THE FIRST TWO MONTHS (PROBATIONARY PERIOD)

#### Polishers & Finishers - Second 900 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 80% of Journeyperson's rate

#### Polishers & Finishers - Third 900 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 90% of Journeyperson's rate

(Local #7)

# MASON TENDER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

#### Mason Tender - First Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$21.80** Supplemental Benefit Rate per Hour: **\$10.47** 

#### Mason Tender - Second Year

Wage Rate per Hour: \$23.55 Supplemental Benefit Rate per Hour: \$10.47

## Mason Tender - Third Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$25.05** Supplemental Benefit Rate per Hour: **\$10.47** 

#### Mason Tender - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$27.55** Supplemental Benefit Rate per Hour: **\$10.47** 

(Local #79)

# MASON TENDER (INTERIOR DEMOLITION WORKER) (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

# Mason Tender (Interior Demolition) - First Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$20.70** Supplemental Benefit Rate per Hour: **\$10.82** 

#### Mason Tender (Interior Demolition) - Second Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$22.65** Supplemental Benefit Rate per Hour: **\$10.82** 

#### Mason Tender (Interior Demolition) - Third Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$24.15** Supplemental Benefit Rate per Hour: **\$10.82** 

#### Mason Tender (Interior Demolition) - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$26.65** 

Supplemental Benefit Rate per Hour: \$10.82

(Local #79)

# **METALLIC LATHER** (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

## Metallic Lather (First Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$22.55** Supplemental Benefit Rate per Hour: **\$17.87** 

#### Metallic Lather (Second Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$23.60** Supplemental Benefit Rate per Hour: **\$16.87** 

# Metallic Lather (Third Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$24.60** Supplemental Benefit Rate per Hour: **\$15.92** 

#### Metallic Lather (Fourth Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$37.18 Supplemental Benefit Rate per Hour: \$21.82

(Local #46)

# MILLWRIGHT (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

# Millwright (First Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$31.74** Supplemental Benefit Rate per Hour: **\$36.74** 

# Millwright (Second Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$37.19** Supplemental Benefit Rate per Hour: **\$40.44** 

# Millwright (Third Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$42.64** Supplemental Benefit Rate per Hour: **\$44.79** 

# Millwright (Fourth Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$53.54** Supplemental Benefit Rate per Hour: **\$51.55** 

(Local #740)

# PAINTER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

#### Painter - Brush & Roller - First Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$17.20 Supplemental Benefit Rate per Hour: \$18.26

#### Painter - Brush & Roller - Second Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$21.50** Supplemental Benefit Rate per Hour: **\$23.46** 

#### Painter - Brush & Roller - Third Year

Wage Rate per Hour: \$25.80 Supplemental Benefit Rate per Hour: \$27.72

#### Painter - Brush & Roller - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$34.40** Supplemental Benefit Rate per Hour: **\$35.83** 

(District Council of Painters)

# PAINTER - METAL POLISHER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

#### Metal Polisher (First Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$16.00** Supplemental Benefit Rate per Hour: **\$7.96** New Construction - Wage Rate Per Hour: **\$16.39** Scaffold Over 34 Feet - Wage Rate Per Hour: **\$18.50** 

#### Metal Polisher (Second Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$17.00** Supplemental Benefit Rate per Hour: **\$7.96** New Construction - Wage Rate Per Hour: **\$17.44** Scaffold Over 34 Feet - Wage Rate Per Hour: **\$19.50** 

#### Metal Polisher (Third Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$18.00** Supplemental Benefit Rate per Hour: **\$7.96** New Construction - Wage Rate Per Hour: **\$18.54** Scaffold Over 34 Feet - Wage Rate Per Hour: **\$20.50** 

(Local 8A-28)

# PAINTER - STRUCTURAL STEEL (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

#### Painters - Structural Steel (First Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 40% of Journeyperson's rate

#### Painters - Structural Steel (Second Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

#### Painters - Structural Steel (Third Year)

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 80% of Journeyperson's rate

(Local #806)

# PAVER AND ROADBUILDER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

#### Paver and Roadbuilder - First Year (Minimum 1000 hours)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$30.86** Supplemental Benefit Rate per Hour: **\$25.54** 

#### Paver and Roadbuilder - Second Year (Minimum 1000 hours)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$32.50** Supplemental Benefit Rate per Hour: **\$25.54** 

(Local #1010)

### PAVER AND ROADBUILDER - LINE STRIPING (ROADWAY) (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

# Paver and Roadbuilder - Line Striping (Roadway) - First Year (Minimum 1000 hours)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$30.86** Supplemental Benefit Rate per Hour: **\$17.27** 

# Paver and Roadbuilder - Line Striping (Roadway) - Second Year (Minimum 1000 hours)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$32.50** Supplemental Benefit Rate per Hour: **\$17.27** 

(Local #1010)

### PLASTERER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3) (Each Term is 800 Hours.)

#### Plasterer - First Term

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 55% of Journeyperson's rate Supplemental Rate Per Hour: \$17.48

#### Plasterer - Second Term

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 60% of Journeyperson's rate Supplemental Rate Per Hour: \$18.63

#### Plasterer - Third Term

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 70% of Journeyperson's rate Supplemental Rate Per Hour: \$20.93

#### Plasterer - Fourth Term

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 75% of Journeyperson's rate Supplemental Rate Per Hour: \$22.10

(Local #262)

### PLASTERER - TENDER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

#### **Plasterer Tender - First Year**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$21.45** Supplemental Benefit Rate per Hour: **\$10.32** 

#### Plasterer Tender - Second Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$23.40 Supplemental Benefit Rate per Hour: \$10.32

#### **Plasterer Tender - Third Year**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$24.90** Supplemental Benefit Rate per Hour: **\$10.32** 

#### **Plasterer Tender - Fourth Year**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$27.40** Supplemental Benefit Rate per Hour: **\$10.32** 

(Local #79)

# PLUMBER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

#### Plumber - First Year: 1st Six Months

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$16.78** Supplemental Benefit Rate per Hour: **\$5.43** 

#### Plumber - First Year: 2nd Six Months

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$19.78** Supplemental Benefit Rate per Hour: **\$6.43** 

#### Plumber - Second Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$28.99 Supplemental Benefit Rate per Hour: \$21.95

#### Plumber - Third Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$31.09 Supplemental Benefit Rate per Hour: \$21.95

#### <u> Plumber - Fourth Year</u>

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$33.94** Supplemental Benefit Rate per Hour: **\$21.95** 

#### Plumber - Fifth Year: 1st Six Months

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$35.34 Supplemental Benefit Rate per Hour: \$21.95

#### Plumber - Fifth Year: 2nd Six Months

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$47.41** Supplemental Benefit Rate per Hour: **\$21.95** 

(Plumbers Local #1)

# POINTER, WATERPROOFER, CAULKER, SANDBLASTER, STEAMBLASTER (Exterior Building Renovation) (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

#### Pointer, Waterproofer, Caulker, Sandblaster, Steamblaster - First Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$31.48 Supplemental Benefit Rate per Hour: \$15.00

#### Pointer, Waterproofer, Caulker, Sandblaster, Steamblaster - Second Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$35.54** Supplemental Benefit Rate per Hour: **\$20.20** 

#### Pointer, Waterproofer, Caulker, Sandblaster, Steamblaster - Third Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$41.14 Supplemental Benefit Rate per Hour: \$23.95

#### Pointer, Waterproofer, Caulker, Sandblaster, Steamblaster - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$49.50** Supplemental Benefit Rate per Hour: **\$24.95** 

(Bricklayer District Council)

# ROOFER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 2)

Roofer - First Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 35% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$3.97

#### Roofer - Second Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$19.29

#### **Roofer - Third Year**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 60% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$23.09

#### Roofer - Fourth Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 75% of Journeyperson's rate Supplemental Benefit Rate Per Hour: \$28.81

(Local #8)

# SHEET METAL WORKER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

#### Sheet Metal Worker (0-6 Months)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 25% of Journeyperson's rate Supplemental Rate Per Hour: \$7.19

#### Sheet Metal Worker (7-18 Months)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 35% of Journeyperson's rate Supplemental Rate Per Hour: \$20.98

#### Sheet Metal Worker (19-30 Months)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 45% of Journeyperson's rate Supplemental Rate Per Hour: \$28.41

#### Sheet Metal Worker (31-36 Months)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 55% of Journeyperson's rate Supplemental Rate Per Hour: \$33.59

#### Sheet Metal Worker (37-42 Months)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 55% of Journeyperson's rate Supplemental Rate Per Hour: \$33.59

#### Sheet Metal Worker (43-48 Months)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 70% of Journeyperson's rate Supplemental Rate Per Hour: \$41.37

#### Sheet Metal Worker (49-54 Months)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 70% of Journeyperson's rate Supplemental Rate Per Hour: \$41.37

#### Sheet Metal Worker (55-60 Months)

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Rate Per Hour: \$46.56

(Local #28)

# SIGN ERECTOR (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

#### Sign Erector - First Year: 1st Six Months

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 35% of Journeyperson's rate Supplemental Rate Per Hour: \$17.84

#### Sign Erector - First Year: 2nd Six Months

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 40% of Journeyperson's rate

Supplemental Rate Per Hour: \$20.25

#### Sign Erector - Second Year: 1st Six Months

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 45% of Journeyperson's rate Supplemental Rate Per Hour: \$22.66

#### Sign Erector - Second Year: 2nd Six Months

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 50% of Journeyperson's rate Supplemental Rate Per Hour: \$25.09

#### Sign Erector - Third Year: 1st Six Months

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 55% of Journeyperson's rate Supplemental Rate Per Hour: \$33.83

#### Sign Erector - Third Year: 2nd Six Months

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 60% of Journeyperson's rate Supplemental Rate Per Hour: \$36.81

#### Sign Erector - Fourth Year: 1st Six Months

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 65% of Journeyperson's rate Supplemental Rate Per Hour: \$40.63

#### Sign Erector - Fourth Year: 2nd Six Months

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 70% of Journeyperson's rate Supplemental Rate Per Hour: \$43.70

#### Sign Erector - Fifth Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 75% of Journeyperson's rate Supplemental Rate Per Hour: \$46.76

#### Sign Erector - Sixth Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Rate Per Hour: \$49.80 (Local #137)

# **STEAMFITTER** (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

#### **Steamfitter - First Year**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate and Supplemental Per Hour: 40% of Journeyperson's rate

#### **Steamfitter - Second Year**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate and Supplemental Rate Per Hour: 50% of Journeyperson's rate.

#### Steamfitter - Third Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate and Supplemental Rate per Hour: 60% of Journeyperson's rate.

#### **Steamfitter - Fourth Year**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate and Supplemental Rate Per Hour: 70% of Journeyperson's rate.

#### Steamfitter - Fifth Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate and Supplemental Rate Per Hour: 80% of Journeyperson's rate.

(Local #638)

#### STEAMFITTER - REFRIGERATION & AIR CONDITIONER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

#### **Refrigeration & Air Conditioner (First Year)**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$21.71** 

Supplemental Benefit Rate per Hour: \$13.75

#### **Refrigeration & Air Conditioner (Second Year)**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$26.21** Supplemental Benefit Rate per Hour: **\$15.09** 

#### **Refrigeration & Air Conditioner (Third Year)**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$30.53** Supplemental Benefit Rate per Hour: **\$16.49** 

#### **Refrigeration & Air Conditioner (Fourth Year)**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$36.87** Supplemental Benefit Rate per Hour: **\$18.38** 

(Local #638-B)

# STONE MASON - SETTER (Ratio Apprentice of Journeyperson: 1 to 1, 1 to 2)

#### Stone Mason - Setters - First 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

#### Stone Mason - Setters - Second 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 60% of Journeyperson's rate Supplemental Rate Per Hour: 50% of Journeyperson's rate

#### Stone Mason - Setters - Third 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 70% of Journeyperson's rate Supplemental Rate Per Hour: 50% of Journeyperson's rate

#### Stone Mason - Setters - Fourth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 80% of Journeyperson's rate Supplemental Rate Per Hour: 50% of Journeyperson's rate

#### Stone Mason - Setters - Fifth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 90% of Journeyperson's rate Supplemental Rate Per Hour: 50% of Journeyperson's rate

#### Stone Mason - Setters - Sixth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: 100% of Journeyperson's rate Supplemental Rate Per Hour: 50% of Journeyperson's rate

(Bricklayers District Council)

# TAPER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

#### **Drywall Taper - First Year**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$20.97** Supplemental Benefit Rate per Hour: **\$14.25** 

#### Drywall Taper - Second Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$24.24 Supplemental Benefit Rate per Hour: \$21.26

#### Drywall Taper - Third Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: **\$29.08** Supplemental Benefit Rate per Hour: **\$23.01** 

#### **Drywall Taper - Fourth Year**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate per Hour: \$38.78

Supplemental Benefit Rate per Hour: \$26.51

(Local #1974)

### TILE LAYER - SETTER (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

#### Tile Layer - Setter - First 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour:35% of Journeyperson's rate

#### Tile Layer - Setter - Second 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour 40% of Journeyperson's rate

#### Tile Layer - Setter - Third 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

#### Tile Layer - Setter - Fourth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 55% of Journeyperson's rate

#### Tile Layer - Setter - Fifth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

#### Tile Layer - Setter - Sixth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 65% of Journeyperson's rate

#### Tile Layer - Setter - Seventh 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 70% of Journeyperson's rate

#### Tile Layer - Setter - Eighth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 75% of Journeyperson's rate

#### Tile Layer - Setter - Ninth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour:80% of Journeyperson's rate

#### Tile Layer - Setter - Tenth 750 Hours

Effective Period: 7/1/2023 - 6/30/2024 Wage and Supplemental Rate Per Hour: 90% of Journeyperson's rate

(Local #7)

### TIMBERPERSON (Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 6)

#### **Timberperson - First Year**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: \$23.42 Supplemental Rate Per Hour: \$37.27

#### Timberperson - Second Year

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: \$28.53 Supplemental Rate Per Hour: \$37.27

#### **Timberperson - Third Year**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: \$36.18 Supplemental Rate Per Hour: \$37.27

#### **Timberperson - Fourth Year**

Effective Period: 7/1/2023 - 6/30/2024 Wage Rate Per Hour: \$43.84 Supplemental Rate Per Hour: \$37.27

(Local #1536)



Leonard A. Mancusi SENIOR ASSISTANT COMPTROLLER THE CITY OF NEW YORK OFFICE OF THE COMPTROLLER 1 CENTRE STREET ROOM 1120 NEW YORK, N.Y. 10007-2341

> ALAN G. HEVESI COMPTROLLER

MEMORANDUM

November 6, 2000

То

Agency Chief Contracting Officers

From:

Leonard A. Mancusi

Re: Security at Construction Sites

Prior to the enactment of Administrative Code §6-109, security guards on construction sites were not subject to prevailing wages. Security guards under the New York State labor law are covered under §230 which provides that prevailing wages are to be paid for security guards in existing buildings. §6-109 of the Administrative Code which was enacted in 1996 closed this loophole by including all security guards working pursuant to a city contract as a prevailing wage trade.

Although some construction contract boilerplate language has been amended to include §6-109, sub-contractors performing security services have advised us that they were not aware of this provision and, since traditionally, security guards were not a covered trade on construction sites, and they were not advised by a prime contractor that they would have to pay prevailing wages, they have not been doing so.

To avoid the possibility of issuing stop payments against prime contractors for the failure of their security service sub-contractors to pay prevailing wages, we suggest that you write to all your existing security guard sub-contractors and their primes and in the future, upon approval of a security guard sub-contractor, advise the contractors of their obligation to pay prevailing wages under §6-109 of the Administrative Code.

As always, your cooperation is appreciated.

-LAM:er acco.security at sites



# DIVISION OF INFRASTRUCTURE BUREAU OF DESIGN

# VOLUME 2 OF 3

PROJECT ID: HWPR23MQ

REHABILITATION OF STANDARD PEDESTRIAN RAMPS AT DESIGNATED LOCATIONS

TOGETHER WITH ALL WORK INCIDENTAL THERETO BOROUGH OF MANHATTAN AND QUEENS CITY OF NEW YORK

	Contractor
Dated	., 20
APPROVED AS TO FORM CERTIFIED AS TO LEGAL AUTHORITY	
	Acting Corporation Counsel
Dated	., 20



Department of Design and Construction

#### THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION

**DIVISION OF INFRASTRUCTURE** 

30-30 THOMSON AVENUE LONG ISLAND CITY, NY, 11101 TEL: 718.391.1000 WEB: <u>www.nyc.gov/ddc</u>

#### TO BE FILLED IN BY THE BIDDER:

BIDDER'S NAME:

BID SECURITY (CIRCLE ONE): BID BOND / CERTIFIED CHECK

NUMBER OF ADDENDUMS RECEIVED AND ATTACHED TO BID:

\_\_\_\_ ADDENDUMS

DDC CLIENT AGENCY:

**DEPARTMENT OF TRANSPORTATION** *PREPARED BY*:

IN HOUSE

DATE PREPARED:

7/13/2023



# **VOLUME 3 OF 3**

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED FOR:

# **PROJECT ID: HWPR23MQ**

SCHEDULE A SPECIFICATIONS AND REVISIONS TO STANDARD SPECIFICATIONS

# REHABILITATION OF STANDARD PEDESTRIAN RAMPS AT DESIGNATED LOCATIONS

TOGETHER WITH ALL WORK INCIDENTAL THERETO BOROUGH OF MANHATTAN AND QUEENS CITY OF NEW YORK

#### CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

# **ADDENDA CONTROL SHEET**

BID SUBMISSION DATE/ TIME:October 24, 2023; between 8:30 AM and 11:00 AMBID OPENING DATE/ TIME:October 24, 2023; 11:30 AM

PROJECT No.: HWPR23MQ

#### TITLE: REHABILITATION OF STANDARD PEDESTRIAN RAMPS AT DESIGNATED LOCATIONS, MANHATTAN AND QUEENS

	NO. OF	NO. OF	NO. OF	NO. OF		APPROVED BY:	
ADDENDA ISSUED	DWG	DATE	SPECS UNIT	GENERAL COUNSEL			
#1 Questions from Bidders and Responses to Questions Revisions to Documents; Revisions to PASSPort Forms	0	10/16/2023		JJ 10/16/23			

#### THE CITY OF NEW YORK DEPARTMENT OF DESIGN AND CONSTRUCTION DIVISION OF INFRASTRUCTURE

10/16/2023

#### ADDENDUM No. #1

FOR FURNISHING ALL LABOR AND MATERIAL NECESSARY AND REQUIRED FOR:

#### 85024B0011-HWPR23MQ REHABILITATION OF STANDARD PEDESTRIAN RAMPS AT DESIGNATED LOCATIONS, MANHATTAN AND QUEENS

This addendum is issued for the purpose of amending the requirements of the Bid and Contract Documents and is hereby made a part of said Bid and Contract Documents to the same extent as though it were originally included therein.

The bidder is advised that the items listed below apply to the project:

- 1 **Bidders Questions and Responses to Questions:** See Attachment A is included with this Addendum.
- 2. Revisions to Documents: See Attachment B is included with this Addendum.
- 3. Revisions to PASSPort forms: See Attachment C is included with this Addendum.

<u>Transferring Data Between Rounds of an RFX:</u> A new document titled "Transferring Data Between Rounds of an RFX" has been added to the Documents section of the View RFx tab. Please refer to this document when an addendum has been issued. Note: Whenever an addendum is issued, the RFX item grid will be cleared. You can import the work you have already done by following the steps on this document.

DDC strongly advises vendors to finalize and submit bids 48 hours prior to due date and time. The City is not responsible for technical issues (e.g. internet connection, power outages, technology malfunction, computer errors, etc.) related to bid submissions.

If additional information is required, please contact the Department of Design and Construction, Contract Section at (718) 391-1041 or by email at <u>CSB projectinguiries@ddc.nyc.gov.</u>

Richard Jones, PE CWI CDT Chief Engineer, Executive- Engineering Services

#### DDC PROJECT #: HWPR23MQ

# <u>PROJECT NAME:</u> REHABILITATION OF STANDARD PEDESTRIAN RAMPS AT DESIGNATED LOCATIONS, MANHATTAN AND QUEENS

#### **ATTACHMENT A - BIDDERS QUESTIONS AND DDC RESPONSES**

No.	Bidders Questions	DDC Responses
1	Would you provide the list of plan holders for this project, please?	Please refer to the Attachment B
2	<ul> <li>Referencing the 5 solicitations below, we are able to acquire the bid schedule for each, however</li> <li>None of the solicitations come with plans to indicate where exactly the work is located, please clarify.</li> <li>Essentially each bid schedule has identical bid items with identical bid quantities, please clarify.</li> <li>85024B0007-HWPR23K1 -</li> <li>REHABILITATION OF STANDARD</li> <li>PEDESTRIAN RAMPS AT DESIGNATED</li> <li>LOCATIONS, BROOKLYN</li> <li>85024B0011-HWPR23MQ -</li> <li>REHABILITATION OF STANDARD</li> <li>PEDESTRIAN RAMPS AT DESIGNATED</li> <li>LOCATIONS, MANHATTAN AND QUEENS</li> <li>85024B0013-HWPR23Q1 -</li> <li>REHABILITATION OF STANDARD</li> <li>PEDESTRIAN RAMPS AT DESIGNATED</li> <li>LOCATIONS, QUEENS</li> <li>85024B009-HWPR23KQ -</li> <li>REHABILITATION OF STANDARD</li> <li>PEDESTRIAN RAMPS AT DESIGNATED</li> <li>LOCATIONS, QUEENS</li> <li>85024B009-HWPR23KQ -</li> <li>REHABILITATION OF STANDARD</li> <li>PEDESTRIAN RAMPS AT DESIGNATED</li> <li>LOCATIONS, BROOKLYN AND QUEENS</li> <li>85024B0012-HWPR23QX -</li> <li>REHABILITATION OF STANDARD</li> <li>PEDESTRIAN RAMPS AT DESIGNATED</li> <li>LOCATIONS, BROOKLYN AND QUEENS</li> <li>85024B0012-HWPR23QX -</li> <li>REHABILITATION OF STANDARD</li> <li>PEDESTRIAN RAMPS AT DESIGNATED</li> <li>LOCATIONS, THE BRONX AND QUEENS</li> </ul>	Please refer to the Contract Documents, Volume 3 [Addendum 1] - S-Pages→ Section B, Article 7. LOCATIONS for locations of work.

#### DDC PROJECT #: HWPR23MQ

# <u>PROJECT NAME:</u> REHABILITATION OF STANDARD PEDESTRIAN RAMPS AT DESIGNATED LOCATIONS, MANHATTAN AND QUEENS

#### ATTACHMENT B – REVISIONS TO THE DOCUMENTS

- 1. VOLUME 3 :
  - a) Revised Schedule A, at the end of Page SA-3 → added language for Liquidated Damages on failure to mobilize and begin work as specified in the work order.
  - b) Revised I-Pages added Section HW-900-AVW Allowance For Additional Vault Related Work
  - c) Revised S-Pages, S-26 to S-40 replaced the MPT drawings with updated one.
- 2. Revised Bid Schedule  $\rightarrow$  added Item 4.13 DSA and Item HW-900-AVW.
- 3. Added list of Planholders.

#### DDC PROJECT #: HWPR23MQ

# <u>PROJECT NAME:</u> REHABILITATION OF STANDARD PEDESTRIAN RAMPS AT DESIGNATED LOCATIONS, MANHATTAN AND QUEENS

#### ATTACHMENT C - REVISIONS TO PASSPORT FORMS

# This Addendum initiates Round 2 of the procurement.

Please note that numbering of addenda is independent of rounds.

#### **Questionnaire Changes:**

1. Revised Bid Schedule  $\rightarrow$  added Item 4.13 DSA and Item HW-900-AVW.

#### Item Grid Changes:

None.

# **VOLUME 3 OF 3**

# **TABLE OF CONTENTS**

#### **<u>SECTION</u>** <u>DESCRIPTION</u>

SPECIFICATIONS AND STANDARDS OF NEW YORK CITY

- SCHEDULE A GENERAL CONDITIONS TO CONSTRUCTION CONTRACT
- R PAGES REVISIONS TO STANDARD SPECIFICATIONS
- I- PAGES NEW SECTIONS
- S- PAGES SPECIAL PROVISIONS
- SW PAGES SEWER AND WATER MAIN SPECIFICATIONS

#### SPECIFICATIONS AND STANDARDS OF NEW YORK CITY

The following specifications and standards are incorporated into the Contract Documents by reference as though fully set forth herein.

1. Standard Specifications and Drawings for New York City Department of Transportation (NYCDOT) are available:

Online at: <a href="http://www1.nyc.gov/site/ddc/resources/publications.page">http://www1.nyc.gov/site/ddc/resources/publications.page</a>

- a. NYC DOT Standard Highway Specifications
- b. NYC DOT Standard Details of Construction

Online at: <a href="https://www1.nyc.gov/html/dot/html/about/dotlibrary.shtml#spec">https://www1.nyc.gov/html/dot/html/about/dotlibrary.shtml#spec</a>

- c. NYC DOT Division of Street Lighting Standard Drawings
- d. NYC DOT Standard Specifications for Traffic Signals
- e. NYC DOT Standard Drawings for Traffic Signals

For purchase between 9:00 A.M. and 3:00 P.M. Bid Window, at 55 Water St., Ground Floor, NYC, N.Y. 10041. Tel. (212) 839-9435.

- f. NYC DOT Division of Street Lighting Specifications
- 2. The 2010 Americans with Disabilities Act (ADA) Standards; available online at: https://www.ada.gov/regs2010/2010ADAStandards/2010ADAstandards.htm
- 3. The 2013 Public Rights-of-Way Accessibility Guidelines (PROWAG); available online at: https://www.access-board.gov/files/prowag/PROW-SUP-SNPRM-2013.pdf
- 4. Standard Specifications and Drawings for New York City Department of Environmental Protection (NYCDEP) are available online at: <u>http://www1.nyc.gov/site/ddc/resources/publications.page</u>
  - a. NYC DEP Standard Sewer and Water Main Specifications, August 8, 2022
  - b. NYC DEP Instructions to Architect/Engineers Specifications for Concrete, January 1992
  - c. NYC DEP General Specification 11-Concrete, November 1991
  - d. NYC DEP Sewer Design Standards, March 27, 2023
  - e. NYC DEP Water Main Standard Drawings, December 2020
  - f. Specifications for Trunk Main Work, July 2014
  - g. Standard Green Infrastructure Specifications September 1,2021
- 5. Standard Design and Guidelines for Green Infrastructure Practices, latest version, available only online at: <u>https://www1.nyc.gov/assets/dep/downloads/pdf/water/stormwater/green-infrastructure/green-infrastructure-standard-designs.pdf</u>
- Standard Specifications and Drawings for New York City Fire Department Communications facilities of New York City are available online at <a href="https://www1.nyc.gov/assets/fdny/downloads/pdf/about/fdny-plant-operations-standard-drawings-specifications.pdf">https://www1.nyc.gov/assets/fdny/downloads/pdf/about/fdny-plant-operations-standard-drawings-specifications.pdf</a> or for pick up from the FDNY Facilities Management Bureau, Plant Operations Engineering, 316 Sgt. Beers Avenue Cluster 1 Box 16, Fort Totten, N.Y. 11359. Contact: Mr. Ed Durkin, Tel. (718) 281-3933
- Tree Planting Standards of the City of New York Parks & Recreation are available at the following Department of Parks & Recreation website: <u>http://www.nycgovparks.org/pagefiles/53/Tree-Planting-Standards.pdf</u>
- 8. Standards and Specifications for Utility Joint Bid work are available online at <a href="http://www1.nyc.gov/site/ddc/resources/publications.page">http://www1.nyc.gov/site/ddc/resources/publications.page</a>
  - a. CET SPECIFICATIONS AND SKETCHES, dated November 2010
  - b. JOINT-BIDDING SPECIFICATIONS AND SKETCHES FOR MANHATTAN, Issued August 1, 2005

# SCHEDULE A

#### (GENERAL CONDITIONS TO CONSTRUCTION CONTRACT (INCLUDING GENERAL CONDITIONS RELATED TO ARTICLE 22 – INSURANCE) PART I. REQUIRED INFORMATION

INFORMATION FOR BIDDERS SECTION 26 BID SECURITY	Required provided the TOTAL BID PRICE set forth on the Bid Form is \$1,000,000. or more.
The <b>Contractor</b> shall obtain a bid security in the amount indicated to the right.	Certified Check: 2% of Bid Amount or Bond: 10% of Bid Amount
INFORMATION FOR BIDDERS SECTION 26 PERFORMANCE AND PAYMENT BONDS	Required for contracts in the amount of \$1,000,000 or more.
The <b>Contractor</b> shall obtain performance and payment bonds in the amount indicated to the right.	Performance Security and Payment Security shall each be in an amount equal to 100% of the Contract Price.
INFORMATION FOR BIDDERS DEPARTMENT OF DESIGN AND CONSTRUCTION SAFETY REQUIREMENTS	Project Safety Representative
The <b>Contractor</b> shall provide the safety personnel as indicated to the right.	<ul> <li>Dedicated, full-time Project Safety Manager</li> </ul>
CONTRACT ARTICLE 14 DATE FOR SUBSTANTIAL COMPLETION The Contractor shall substantially complete the	See Page SA-4
Work in the number of calendar days indicated to the right.	
LIQUIDATED DAMAGES If the Contractor fails to substantially complete the Work within the time fixed for substantial completion plus authorized time extensions or if the Contractor, in the sole determination of the Commissioner, has abandoned the Work, the Contractor shall pay to the City the amount indicated to the right.	\$ <u>6,500.00</u> for each consecutive calendar day over Substantial Completion Time
CONTRACT ARTICLE 17.           SUB-CONTRACTOR           The Contractor shall not make subcontracts totaling an amount more than the percentage of the total Contract price indicated to the right.	Not to exceed <u>49</u> % of the <b>Contract</b> price

-	
CONTRACT ARTICLE 21. RETAINAGE	<u>5 %</u> of the value of the <b>Work</b>
The <b>Commissioner</b> shall deduct and retain until the substantial completion of the <b>Work</b> the percent value of the <b>Work</b> indicated to the right.	
<u>CONTRACT ARTICLE 22.</u> (Per Directions Below)	See pages SA-5 through SA-12
CONTRACT ARTICLE 24. DEPOSIT GUARANTEE	
As security for the faithful performance of its obligations, the <b>Contractor</b> , upon filing its requisition for payment on <b>Substantial</b> <b>Completion</b> , shall deposit with the <b>Commissioner</b> a sum equal to the percentage of the <b>Contract</b>	1% of <b>Contract</b> price
price indicated to the right.	
CONTRACT ARTICLE 24. PERIOD OF GUARANTEE	Eighteen (18) Months, excluding Trees and Plants
Periods of maintenance and guarantee other than the period set forth in Article 24.1 are indicated to the right.	Twenty-four (24) Months for Trees and Plants
CONTRACT ARTICLE 75.	
COMPENSATION TO BE PAID TO CONTRACTOR The City shall pay and the Contractor shall accept	Amount for which the <b>Contract</b> was Awarded:
in full consideration for the performance of the	
<b>Contract</b> , subject to additions and deductions as	
provided herein, the total sum <b>shown in the</b>	Dollars
column to the right, being the amount at which	
the Contract was awarded to the Contractor at a	(\$ )
public letting thereof, based upon the <b>Contractor's</b>	(Ψ)
bid for the Contract.	
CONTRACT ARTICLE 79. PARTICIPATION BY MINORITY-OWNED AND WOMEN-OWNED BUSINESS ENTERPRISES IN CITY PROCUREMENT	See M/WBE Utilization Plan in the PASSPort Procurement M/WBE Considerations Section
· · · · · · · · · · · · · · · · · · ·	

STANDARD HIGHWAY SPECIFICATIONS SECTION 6.40 LIQUIDATED DAMAGES FOR ENGINEER'S FIELD OFFICE	
If the Contractor fails to satisfactorily provide the field office and all equipment specified in <b>Section 6.40 - Engineer's Field Office</b> , and/or if a cited deficiency exceed seventy two (72) hours after notice from the Engineer in writing, or is permitted to recur, liquidated damages will be assessed in the amount specified herein for each subsequent calendar day or part thereof that a cited deficiency resulting in nonpayment, as described in <b>Section 6.40.5</b> , is not corrected.	\$ <u>800.00</u> for each calendar day of deficiency
STANDARD HIGHWAY SPECIFICATIONS SECTION 6.70 LIQUIDATED DAMAGES FOR MAINTENANCE AND PROTECTION OF TRAFFIC	<ul> <li>\$ <u>400.00</u> for each instance of failure to comply with the Maintenance and Protection of Traffic requirements within three (3) hours after written notice from the Engineer.</li> <li>\$ <u>800.00</u> for each and every hour of failing to open the entire width of roadway to traffic the morning following a night/weekend work operation.</li> </ul>
STANDARD HIGHWAY SPECIFICATIONS SECTION 7.13 LIQUIDATED DAMAGES FOR MAINTENANCE OF SITE         If the Contractor fails to comply, within three (3) consecutive hours after written notice from the Engineer, with the requirements of Section 7.13 - Maintenance of Site, the Contractor shall pay to the City of New York, until such notice has been complied with or rescinded, the sum specified above per calendar day, for each instance of such failure, as liquidated damages and not as a penalty, for such default.	\$ 1,3 <u>00.00</u> for each calendar day, for each occurrence

#### Date for Substantial Completion (Reference: Article 14)

The Contractor shall substantially complete the Work within the Final Contract Duration determined in accordance with the terms and conditions set forth herein.

The Base Contract Duration for this project is <u>730</u> consecutive calendar days ("ccds").

The Final Contract Duration shall be the Base Contract Duration when a check mark is indicated before the word "NO", below, and shall be the Base Contract Duration adjusted by the table set forth below when a check mark is indicated before the word "YES", below.

\_\_\_\_\_YES \_\_\_\_\_NO

When the Final Contract Duration is indicated above to be adjusted by the table below, the table may increase the Base Contract Duration depending on the date of scheduled substantial completion to avoid a scheduled substantial completion of the Work during the winter months. The date of scheduled substantial completion shall be determined by adding the Base Contract Duration to the date specified to commence work in the written Notice to Proceed. The Final Contract Duration shall then be determined as follows:

- (a) Find the row that corresponds to the month of substantial completion based on the Base Contract Duration added to the date specified to commence work in the written Notice to Proceed.
- (b) Find the number of days to be added to the Base Contract Duration in the table below. Add that number of days to the Base Contract Duration to obtain the Final Contract Duration in consecutive calendar days.

Month of Substantial Completion based on the Base Contract Duration	Number of Days of adjustment
January	150
February	120
March	90
April	60
Мау	30
June	0
July	0
August	0
September	0
October	0
November – December 15	0
December 16 – December 31	180

In addition, should Item No. 9.30, "Storm Water Pollution Prevention," exist in the Contract and the required Storm Water Pollution Prevention Plan (SWPPP) does not conform to NYSDEC's recommended Standards, an additional 60 ccd shall be added to the above Final Contract Duration.

#### (GENERAL CONDITIONS RELATING TO ARTICLE 22 - INSURANCE)

#### PART II. TYPES OF INSURANCE, MINIMUM LIMITS AND SPECIAL CONDITIONS

<u>Note</u>: All certificate(s) of insurance submitted pursuant to Contract Article 22.3.3 must be accompanied by a Certification by Broker consistent with Part III below and include the following information:

- For each insurance policy, the name and NAIC number of issuing company, number of policy, and effective dates;
- Policy limits consistent with the requirements listed below;
- Additional insureds or loss payees consistent with the requirements listed below; and
- The number assigned to the Contract by the City (in the "Description of Operations" field).

Insurance indicated by a blackened box ( $\blacksquare$ ) or by X in a  $\square$  to left will be required under this contract

Types of Insurance (per Article 22 in its entirety, including listed paragraph)	Minimum Limits and Special Conditions
	The minimum limits shall be \$ <u>3,000,000</u> per occurrence and \$ <u>6,000,000</u> per project aggregate applicable to this <b>Contract.</b>
Commercial General Liability Art. 22.1.1	<ul> <li>Additional Insureds:</li> <li>1. City of New York, including its officials and employees, with coverage at least as broad as ISO Form CG 20 10 and CG 20 37,</li> <li>2. All person(s) or organization(s), if any, that Article 22.1.1(b) of the <b>Contract</b> requires to be named as Additional Insured(s), with coverage at least as broad as ISO Form CG 20 26. The Additional Insured endorsement shall either specify the entity's name, if known, or the entity's title (e.g., Project Manager),</li> </ul>

<ul> <li>Workers' Compensation</li> <li>Disability Benefits Insurance</li> <li>Employers' Liability</li> <li>Jones Act</li> <li>U.S. Longshoremen's and Harb Compensation Act</li> </ul>	Art. 22.1.2 Art. 22.1.2 Art. 22.1.2 Art. 22.1.3 or Workers Art. 22.1.3	<ul> <li>Workers' Compensation, Employers' Liability, and Disability Benefits Insurance: Statutory per New York State law without regard to jurisdiction.</li> <li><u>Note</u>: The following forms are acceptable: (1) New York State Workers' Compensation Board Form No. C-105.2, (2) State Insurance Fund Form No. U-26.3, (3) New York State Workers' Compensation Board Form No. DB-120.1 and (4) Request for WC/DB Exemption Form No. CE-200. The City will not accept an ACORD form as proof of Workers' Compensation or Disability Insurance.</li> <li>Jones Act and U.S. Longshoremen's and Harbor Workers' Compensation Act: Statutory per U.S. Law.</li> <li>Additional Requirements:</li> </ul>
☐ Builders' Risk	Art. 22.1.4	<ul> <li>Required: 100% of total bid amount</li> <li>Required: 100 % of total bid amount for Item(s):</li> <li>Contractor the Named Insured; the City both an Additional Insured and one of the loss payees as its interests may appear.</li> <li>If the Work does not involve construction of a new building or gut renovation work, the Contractor may provide an installation floater in lieu of Builders Risk insurance.</li> <li>Note: Builders Risk Insurance may terminate upon Substantial Completion of the Work in its entirety.</li> </ul>

	1
Commercial Auto Liability Art. 22.1.5	<ul> <li>\$ <u>2,000,000</u> per accident combined single limit</li> <li>If vehicles are used for transporting hazardous materials, the <b>Contractor</b> shall provide pollution liability broadened coverage for covered vehicles (endorsement CA 99 48) as well as proof of MCS 90</li> <li>Additional Insureds:</li> </ul>
□Contractors Pollution Liability Art. 22.1.6	<ul> <li>\$<u>5,000,000</u> per occurrence</li> <li>\$<u>5,000,000</u> aggregate</li> <li>Additional Insureds:</li> <li>1. City of New York, including its officials and employees, and</li> <li>2.</li> <li>3.</li> </ul>
<ul> <li>Marine Protection and Indemnity Art.</li> <li>22.1.7(a)</li> </ul>	<ul> <li>\$each occurrence</li> <li>\$aggregate</li> <li>Additional Insureds:</li> <li>1. City of New York, including its officials and employees, and</li> <li>2</li></ul>
☐ Hull and Machinery Insurance Art. 22.1.7(b)	<pre>\$ per occurrence \$ aggregate Additional Insureds: 1. City of New York, including its officials and employees, and 2 3</pre>

Project ID.: HWPR23MQ

		\$ 1,000,000 per occurrence
		\$ <u>1,000,000</u> per occurrence
	\$ <u>1,000,000</u> aggregate	
	Additional Insureds:	
		1. City of New York, including its officials
☐ Marine Pollution Liability	Art. 22.1.7(c)	and employees, and
, ,	( )	2.
		3.
[OTHER]	Art. 22.1.8	
	-	
Railroad Protection Liability Polic	су	
(ISO-RIMA or equivalent form) ap	proved by	
Permittor covering the work to be the designated site and affording	•	\$ <u>2,000,000</u> per occurrence
damages arising out of bodily inju		
physical damage to or destruction	n of property,	\$ <u>6,000,000</u> annual aggregate
including damage to the Insured's own property and conforming to the following:		
and conforming to the following.		Named Insureds:
<ul> <li>Policy Endorsement CG 28 31 - Pollution</li> </ul>		1. New York City Transit Authority
Exclusion Amendment is require endorsed onto the policy when	ed to be	(NYCTA), the Manhattan and Bronx
environmental-related work and	l/or	Surface Transit Operation Authority
exposures exist.	-	(MaBSTOA), the Staten Island Rapid
		Transit Operation Authority (SIRTOA), MTA Capital Construction Co., the
<ul> <li>Indicate the Name and address Contractor to perform the work,</li> </ul>		Metropolitan Transportation Authority
and the name of the railroad pro		(MTA) including its subsidiaries and
the work is being performed and	d the Agency	affiliates, and the City of New York (as
Permit.		Owner) and all other indemnified parties.
Evidence of Railroad Protective	Liability	
Insurance, must be provided in the form of the		2. The AMTRAK, its subsidiaries and affiliated companies.
<u>Original Policy. A detailed Insurance Binder</u> (ACORD or Manuscript Form) will be		
accepted pending issuance of t		
Policy, which must be provided within 30 days		
of the Binder Approval.		

[OTHER] Art. 22.1.8			
Professional Liability			
A. The Contractor's Professional Engineer shall maintain and submit evidence of Professional Liability Insurance in the minimum amount of \$1,000,000 per claim. The policy or policies shall include an endorsement to cover the liability assumed by the Contractor under this Contract arising out of the negligent performance of professional services or caused by an error, omission or negligent act of the Contractor's Professional Engineer or anyone employed by the Contractor's Professional Engineer.			
B. Claims-made policies will be accepted for Professional Liability Insurance. All such policies shall have an extended reporting period option or automatic coverage of not less than two (2) years. If available as an option, the Contractor's Professional Engineer shall purchase extended reporting period coverage effective on cancellation or termination of such insurance unless a new policy is secured with a retroactive date, including at least the last policy year.			
[OTHER] Art. 22.1.8	Fire insurance, extended coverage and		
<ul> <li>Engineer's Field Office</li> <li>Section 6.40, Standard Highway</li> <li>Specifications</li> <li>vandalism, malicious mischief and burglary, and theft insurance coverage in the amount of <u>\$40,000</u></li> </ul>			
			[OTHER] Art. 22.1.8
The Following Additional Insurance Must Be Provided:			
<b>Umbrella/Excess Liability Insurance</b> - The Contractor shall provide Umbrella/Excess Liability Insurance in the minimum amount of \$10,000,000 per Occurrence and \$10,000,000 in Aggregate. The policy terms and condition should be at least as broad as the underlying policies. The underlying policies should comply with the insurance provision as outlined by the contract. Defense cost should be in addition to the limit of liability. The City of New York, including its officials and employees, should be included as additional insured as respects to the noted project.			

#### SCHEDULE A <u>(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)</u> <u>(GENERAL CONDITIONS RELATING TO ARTICLE 22 – INSURANCE)</u>

#### PART III. CERTIFICATES OF INSURANCE

All certificates of insurance (except certificates of insurance solely evidencing Workers' Compensation Insurance, Employer's Liability Insurance, and/or Disability Benefits Insurance) must be accompanied by one of the following:

(1) the Certification by Insurance Broker or Agent on the following page setting forth the required information and signatures;

#### -- OR --

(2) copies of all policies as certified by an authorized representative of the issuing insurance carrier that are referenced in such certificate of insurance. If any policy is not available at the time of submission, certified binders may be submitted until such time as the policy is available, at which time a certified copy of the policy shall be submitted.

#### **CITY OF NEW YORK**

#### **CERTIFICATION BY INSURANCE BROKER OR AGENT**

The undersigned insurance broker represents to the City of New York that the attached Certificate of Insurance is accurate in all material respects.

[Name of broker or agent (typewritten)]

[Address of broker or agent (typewritten)]

[Email address of broker or agent (typewritten)]

[Phone number/Fax number of broker or agent (typewritten)]

[Signature of authorized official, broker, or agent]

[Name and title of authorized official, broker, or agent (typewritten)]

State of .....)

) ss.: County of .....)

Sworn to before me this day of , 20

NOTARY PUBLIC FOR THE STATE OF

## SCHEDULE A

## (GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)

## PART IV. ADDRESS OF COMMISSIONER

Wherever reference is made in Article 7 or Article 22 to documents to be sent to

the **Commissioner** (e.g., notices, filings, or submissions), such documents must be sent through email to <u>insurance@ddc.nyc.gov</u>. Hard copy documents of the above requirement are

no longer required.

## (NO FURTHER TEXT ON THIS PAGE)

**R - PAGES** 

# **REVISIONS TO STANDARD SPECIFICATIONS**

## NOTICE

The Specification Bulletin(s) ("SB(s)") referenced in this Section (R-Pages) may consist of revisions to the following Standard Specifications:

- New York City Department of Transportation ("NYC DOT") Standard Highway Specifications, dated 5/16/2022;
- New York City Department of Environmental Protection ("NYC DEP") Standard Sewer and Water Main Specifications, dated 8/8/2022; and
- NYC DEP Specifications for Trunk Main Work, dated 7/2014.

The SB(s) modify and supersede portions of the applicable Standard Specifications. The provisions contained in this Contract's I-Pages, S-Pages and SW-Pages may further modify the applicable Standard Specifications.

The following active SB(s) are included as part of this contract:

- SB 22-006 INCREMENTAL COST NEAR TRANSIT FACILITIES
- SB 23-001 SEWER DESIGN STANDARDS
- SB 23-002 PIPE BOLLARD
- SB 23-003 MOBILIZATION

The SB(s) are available online at: <u>http://www1.nyc.gov/site/ddc/resources/specification-bulletins.page</u>

## (NO FURTHER TEXT ON THIS PAGE)

I - PAGES

## **NEW SECTIONS**

#### NOTICE

UNLESS OTHERWISE NOTED, ALL SECTIONS, SUBSECTIONS, ARTICLES, OR SUBARTICLES AS REFERRED TO HEREIN WITHIN THESE NEW SECTION SPECIFICATIONS SHALL BE THOSE OF THE NEW YORK CITY DEPARTMENT OF TRANSPORTATION'S (NYCDOT'S) CURRENT STANDARD HIGHWAY SPECIFICATIONS WITH CURRENT ADDITIONS, MODIFICATIONS AND REVISIONS TO THE STANDARD HIGHWAY SPECIFICATIONS (R-PAGES).

THE STANDARD HIGHWAY SPECIFICATIONS ARE NOT INCLUDED IN THESE I-PAGES. SEE THE NYCDOT STANDARD HIGHWAY SPECIFICATIONS BOOKS FOR STANDARD SPECIFICATIONS TEXTS. (NO TEXT ON THIS PAGE)

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(NO TEXT ON THIS PAGE)

## SECTION 4.09 CM-P - CORNER STEEL FACED CONCRETE CURB

**4.09CM-P.1. INTENT.** This section describes construction of Corner Steel Faced Concrete Curb.

**4.09CM-P.2. DESCRIPTION.** Corner Steel Faced Concrete Curb must consist of the steel curb facing set in a concrete cradle extending to a minimum depth of nine (9") inches below the bottom of angles or a minimum of seven (7") inches below the bottom of bent plates, to provide the depth of curb specified. The cradle must be flush with the face of the steel. The steel must be backed with concrete for a width of eight (8") inches from the face of the steel facing or as shown on the Contract Drawings.

**4.09CM-P.3. MATERIALS AND METHODS.** All materials and methods must comply with the requirements of Item No. 4.09 CD in Section 4.09 of the Standard Highway Specifications, with the following modifications and additions for the replacement of existing corner curb including, but not limited to, depressed steel faced concrete curb for construction of pedestrian ramps per NYC Standard Highway Details of Construction:

The replacement of the existing corner steel faced concrete curb with steel faced concrete curb must consist of the following work:

- a) Removal of curb and roadway material.
- b) Furnishing and setting of new steel facing plate.
- c) Pouring of concrete for steel facing plate.
- d) Restoration of roadway surface adjacent to new curb, conforming to the applicable provisions of Sections 4.02 and 4.04 of the Standard Highway Specifications.
- e) Painting of steel plate (See Standard Details of Construction, Drawing H-1010).

Resetting corner steel faced concrete curb, only where specifically directed, must be paid for at the unit price bid for Corner Steel Faced Concrete Curb. The work includes:

- a) Breaking out and removal of all concrete behind the existing steel facing.
- b) Burning and/or cutting off the facing plate to conform to the required standard shape and saw-cutting the existing curb as necessary.
- c) Grinding the cut edge of steel plate facing.
- d) Cleaning and resetting, as required.
- e) Pouring of new concrete behind the remaining steel facing.
- f) Painting of steel plate (See Standard Drawing H-1010).

Also, the cost of installing any curb up to three (3') feet in length beyond the installed tangent corner curb will be paid for as corner steel faced concrete curb under this Item 4.09 CM-P.

Where the curb beyond the corner is steel faced concrete curb, the steel faced curb must be extended to meet the existing curb as directed by the Engineer and the cost of the additional tangent length of steel faced concrete curb beyond the initial three (3) feet of tangent curb, that is to be measured and paid for under this Item 4.09 CM-P, will be measured and paid for as straight steel faced concrete curb under Item 4.09 AD.

Where corner curb is directed to be reset, the unit price must also include the cost of removal and disposal of existing materials and restoration of roadway adjacent to reset curb, as required, and prime coating and finish painting of the existing steel facing.

**4.09CM-P.4. MEASUREMENT.** The quantity to be measured for payment must be the number of linear feet of steel faced concrete curb constructed, complete, in place, as required, measured along the top of the exposed face of steel and adjusted in accordance with Section 5.04 of the Standard Highway Specifications.

**4.09CM-P.5. PRICE TO COVER.** The contract price per linear foot of Corner Steel Faced Concrete Curb, must cover the cost of all labor, materials, equipment, insurance, and incidentals required to construct the curb complete in place, in full compliance with the requirements of the specifications, to furnish such samples for testing and to provide such testing equipment, laboratory space and facilities, as may be required, and the cost of maintaining the curb in good condition as specified in Section 5.05 of the Standard Highway Specifications. The work must also include, but not be limited to, excavation (other than rock excavation) and backfilling, the removal of not more than one (1') foot width of roadway pavement along the curb line and the restoration of all removed pavement in full compliance with the applicable sections of the Standard Highway Specifications and the drawings referenced in S-Pages herein.

Restoration of pavement removed beyond the above defined limits must be done by the Contractor at no additional cost to The City.

Payment will be made under:

Item No.	Item	Pay Unit
4.09 CM-P	CORNER STEEL FACED CONCRETE CURB	L.F.

#### The Section below supersedes and replaces Section 6.40 of NYC DOT

Standard Specifications dated May 16, 2022

### SECTION 6.40 – ENGINEER'S FIELD OFFICE

**6.40.1. DESCRIPTION**. The Contractor shall provide, furnish, and maintain a fully equipped field office (Type A, B, C, CU, D, DC, or DU, as specified) for the exclusive use of and occupancy by the Department's engineering personnel and/or Supervising Consultant (herein after called "City personnel"), and by the engineering personnel of private utilities when specified. The field office shall be at a location approved by the Engineer and shall be a commercial building, store front, or with the approval of both Office of Construction Mitigation and Coordination (OCMC) and the Community Board it may be a mobile trailer(s). If a trailer is used it shall be subject to approval by the Engineer, and all necessary permits shall be obtained by the Contractor. The Contractor may have facilities in an adjoining area separated by a lockable door, provided such facilities are in a location approved by the Engineer. The field office must be within ½ mile of the job site. Field offices located further than ½ mile from the job site will require approval by the Director or Assistant Commissioner for Construction.

The field office structure and occupancy thereof shall conform to the requirements of all laws, rules, regulations and orders applicable to it.

The field office and all equipment, except as otherwise specified, may be new materials or may be used materials in good condition and satisfactory to the Engineer.

#### 6.40.2. MATERIALS.

(A) GENERAL CONSTRUCTION. The Engineer's Field Office shall be in an approved and weatherproof building. It shall have a minimum ceiling height of seven (7') feet and be partitioned to provide the number of rooms required for the type of office specified. Floor space for Field Office Types C, CU, D, and DU shall be subdivided into work areas based on a floor plan provided by the City to the Contractor upon notification of space availability.

(B) GENERAL FACILITIES. The field office shall contain or have the following facilities incorporated:

- (a) <u>Lighting</u> Electric light, non-glare type luminaries to provide a minimum illumination level of 100 ft.- candles at desk height level.
- (b) <u>Heating and Cooling</u> Adequate equipment to maintain an ambient air temperature of 70<sup>o</sup> F. <u>+5<sup>o</sup></u>.
- (c) Electrical Energy Outlets
- (d) <u>Toilet</u> A separate enclosed room, properly ventilated per code and complying with applicable sanitary codes shall contain a lavatory with a sink that provides running hot and cold water, flush-type toilet, mirror, electric hand dryer, and paper towel dispenser.
- (e) <u>Potable Water</u> Potable water supplied from an existing system or five (5) gallon capacity water cooler of a type to be approved by the Engineer shall be provided for use by City personnel. Replacement bottles of water shall be provided by the Contractor, when required.
- (f) <u>Signs</u> Store front locations shall have a window graphic sign in black and white lettering with the following inscription. Other locations shall have a wood or metal sign affixed on the outside wall of the building with the following inscription painted in black block lettering on a white background. Paints shall be approved exterior enamels.

CITY OF NEW YORK	2-1/2"
DEPARTMENT OF DESIGN AND CONSTRUCTION	3-1/2"
INFRASTRUCTURE	2-1/2"
RESIDENT ENGINEER'S FIELD OFFICE	2-1/2"

- (g) Electric Refrigerator Five (5) cubic feet minimum capacity for use by City personnel.
- (h) <u>Microwave, Toaster Oven, and Coffee Maker</u> Basic reheating equipment or approved appliances for use by City personnel.
- (i) <u>Windows and Doors</u> All windows and doors shall be weatherproof and each equipped with adequate locking devices. Each window shall be equipped with vertical blinds. Exterior doors shall be provided with two (2) separate "high security" dead bolt type cylinder locks, keyed alike, and three (3) keys shall be furnished for each lock.
- (j) <u>Partitions</u> Partitions for work space enclosures shall be either permanent walls or of the modular type similar to Herman Miller's standard fabric covered line.
- (k) <u>Kitchen Sink</u> Mechanism to provide non-drinking, hot and cold, running water.
- (I) <u>Security Cameras</u> Wifi enabled security cameras must be provided at all entrances and exits, except that fire escapes / emergency stairwells do not require cameras. One security camera must be provided for the interior of the field office, with the location to be determined by the Engineer. Cameras must be minimum 1080p video resolution. Cameras must have internet cloud storage, with all videos stored for a minimum of two weeks. The cloud storage must be accessible via desktop or mobile. Cameras may be hardwired for power or battery powered; battery powered cameras must have the batteries changed by the Contractor as required to ensure no lapses of service. Signs must be posted indicating that the area is under video surveillance.
- (C) OFFICE EQUIPMENT.
  - (a) Pencil Sharpener One standard pencil sharpener for use by City personnel.
  - (b) <u>Telephone Answering Machine</u> The telephone answering machine to be provided shall be an electronic digital voice machine with emergency call forwarding capability. It shall be operable twenty four (24) hours per day and, when unattended, shall transmit to the caller the following message:

"You have reached the Field Office of the New York City Dept. of Design and Construction. No one is here now. We check our incoming messages frequently. We will get back to you as soon as possible. Please leave your name, message and phone number where you may be reached. In case of emergency, call the New York City Hotline at 311. Again, the emergency number is 311."

All electronic voicemail messages shall be automatically forwarded as email attachments, to allow for the voicemails to be played remotely.

(c) <u>Computer Equipment</u> - Computers shall be provided for all contracts regardless of construction duration.

Computers furnished by the Contractor for use by City Personnel, for the duration of the contract, shall be in accordance with Table I - ADDITIONAL SPECIFIC REQUIREMENTS, contained herein, and shall meet the following minimum requirements:

- (1) Personal Computers Personal Computers must meet the requirements of the US General Services Administration (GSA) Government-Wide Strategic Solutions (GSS) Standard Laptop, Desktop, and Tablet Specifications, V7. (Available online at <u>https://hallways.cap.gsa.gov/</u>)
  - (a) Computer type for Personal Computers to be "Desktop Small Form Factor." Computer type for projector laptop to be "Lightweight Notebook" or "Notebook"
  - (b) The following components listed as optional in the GSA specification must be provided with each personal computer: monitor, speakers, optical drive, smart card reader, webcam, and headset.

- (c) The following additional software must be provided with licenses for each computer:
  - 1. Adobe Acrobat Pro DC or Bluebeam Revu
  - 2. Microsoft Office Professional
  - 3. Autodesk AutoCAD LT
  - 4. Anti-virus software
  - 5. Microsoft Visio (only one license required per field office)
- (2) All field offices requiring computers shall be provided with the following:
  - (a) One (1) broad-band internet service account. See table below for minimum required upload and download speeds. Telephone service should be bundled together with Internet connectivity. Because of throughput requirements Verizon FIOS is the preferred connectivity provider where available.

Office Personnel #	Download Speeds ( <i>Minimum</i> )	Upload Speeds ( <i>Minimum</i> )
1 – 5	10 Mbps	15 Mbps
6 – 10	20 Mbps	15 Mbps
11 – 15	25 Mbps	15 Mbps
16 – 20	50 Mbps	15 Mbps

This account will be active for the life of the project. The e-mail name for the account shall be the NYCDDC Field Office/project Id (preferably Gmail or Outlook - e.g.<u>HWK666@gmail.c</u>om).

- (b) All necessary Cabling.
- (c) Storage Boxes for and Blank CDs/DVDs.
- (d) UPS/Surge Suppressor combo.
- (e) 10 USB Thumb (or Flash) Drive 16 GB each
- (3) All computers required for use in the Engineer's Field Office shall be delivered, installed, and setup in the Field Office by the Contractor.
- (4) All Computer Hardware shall come with a three (3) year warranty for on-site repair or replacement. Additionally, and notwithstanding any terms of the warranty to the contrary, the Contractor is responsible for rectifying all computer problems or equipment failures within one (1) business day.
- (5) An adequate supply of blank CDs/DVDs, and paper and toner cartridges for the printer shall be provided by the Contractor, and shall be replenished by the Contractor as required by the Engineer.
- (6) It is the Contractor's responsibility to ensure that electrical service and phone connections are also available at all times; that is, the Field Office Computer(s) is to be powered and turned on twenty-four (24) hours each day.

Broadband connectivity is preferred at each field office location. Please take into consideration that an extra phone line dedicated to the modern must be ordered as part of the contract unless Internet broadband connectivity, via Cable or FiOS, is available at the planned field office location. Any questions regarding this policy should be directed to the Director of Information Technology Services at 718-391-1761.

- (d) <u>Data Access</u> Electronic access to the EquipmentWatch Retail Rental Rates database (formerly known as The AED Green Book, published by Equipment Watch), shall be provided for all contracts that have a total Consecutive Calendar Days for General Construction duration as set forth in Schedule A of greater than 545 CCD's. Contracts of lesser duration shall not require any data access.
- (D) Field Testing Equipment.
  - (a) <u>Air Entrainment Meters</u> Pressure Type, with carrying case for use by City personnel. Each meter shall be capable of producing an haccurate test result in approximately five (5) minutes and shall comply with ASTM Designation C231.
  - (b) <u>Slump Test Sets</u> Slump cone and test sets conforming to the requirements of ASTM Designation C143, complete with rod and scoop for use by City personnel.
  - (c) <u>Thermometers</u>: For use by City personnel.
    - (1) 1 Minimum-maximum thermometer.
    - (2) 3 Asphalt thermometers of stainless steel construction with an accuracy of 0.5% of the full scale, able to measure temperatures from 50 to 500 degrees F. in 5 degree increments.
    - (3) 3 Surface Thermometers able to measure temperatures of flat surfaces similar to Sargent-Welsh Model S81441-D, or an approved equivalent.
  - (d) Nonsparking Pinch Bar For use in opening manholes.
  - (e) <u>Gas Meters</u> For use in detecting the presence of explosive gases and vapors for use by City personnel.
  - (f) <u>Straight Edge</u> One 10 foot long straight edge for use by City personnel in detecting pavement surface tolerance.
  - (g) 48" Smart Level For use in determining pedestrian ramp and sidewalk slopes.
  - (h) <u>Chlorine Test Kits</u> For testing residual chlorine levels following water main flushing.
  - (i) <u>Green Florescent Power Trace-Dye</u> For testing sewer connections.
  - (j) One Million Candlepower Rechargable Flashlight.
  - (k) <u>Distance Measuring Wheel</u> For measuring long distances.
- (E) Additional Office Electronics -
  - (a) Photocopying machine must be a stand-alone, heavy duty, electric, dry-process color photocopying type with color scan and send capability via e-mail, a minimum production rate of 70 pages per minute and an adequate supply of copy paper, toner, etc. The machine shall be capable of duplex copying paper sizes of 8-1/2 x 11 inches, 8-1/2 x 14 inches and 11 x 17 inches, and have separate trays for each paper size. It shall have a document feeder, collator, stapler, and the capability to reduce/enlarge copies between each paper size. The supply of each size copy paper, toner, etc. shall be replenished and the machines shall be maintained for the duration of the contract by the Contractor as required by the Engineer. Make and model can be Minolta, Canon, IBM, Epson, or an approved equivalent, and shall be networked to the office computers for printing capability.
  - (b) Fax machine must be provided with an adequate supply of copy paper, toner, etc. The supply of copy paper, toner, etc. shall be replenished and the machines shall be maintained for the duration of the contract by the Contractor as required by the Engineer.
  - (c) Paper shredder must be a heavy duty commercial grade diamond cut shredder with automatic start. The shredder shall be able to receive 8-1/2 inch wide paper and shred a minimum of 15 sheets simultaneously along with CDs and staples.

(d) Projector must be 1080p LCD with a min. of 2200 ANSI Lumens, 1920 x 1080, 16:9, 40,000:1 contrast ratio, HDMI, VGA, USB, and a 10' diagonal, 16:9 Projection Screen. A screen must be provided if directed by the Engineer. A laptop must be provided for use with the projector, and all required cables for connecting the laptop to the projector.

#### 6.40.3. SPECIFIC REQUIREMENTS FOR ENGINEER'S FIELD OFFICE.

In addition to the general requirements, each type of Field Office shall have the minimum floor area indicated in Table 6.40-I calculated based on usable area only, excluding any loss factors. Loss factors are defined as those areas such as lobby, sidewalk window ledge, elevator shafts and stairways. The Contractor shall provide and maintain furnishings for each type of Field Office in the quantity specified in Table 6.40-I. The furnishings shall be new or used equipment satisfactory to the Engineer:

- (a) Each Type shall have a minimum of one outside door and four windows.
- (b) Type C shall be partitioned to provide three (3) rooms.
- (c) Type CU shall be partitioned to provide four (4) rooms, one of which shall be at least 150 s.f. in area (for use by private utilities).
- (d) Type D and DC hshall be partitioned to provide four (4) rooms.
- (e) Type DU shall be partitioned to provide five (5) rooms, one of which shall be at least 150 s.f. in area (for use by private utilities).

SPECIFIC REQUIREMENTS	FIELD OFFICE TYPE						
	Α	В	С	CU	D	DC	DU
Minimum useable floor space (Square Feet)	400	800	1,200	1,200	1,800	2,320	1,800
Office desks, at least 4'-8" x 2'-8", with drawers, locks, and keys.	2	2	4	8 <sup>a</sup>	8	8	12 <i>ª</i>
Swivel chairs, with arms, for the above.	2	2	4	8 <sup>a</sup>	8	8	12 <i>ª</i>
Office folding chairs, metal, with padded seats and backs.	2	3	6	14 <sup>b</sup>	8	8	16 <sup><i>b</i></sup>
Steel supply cabinets (approximate size 72" high by 36" wide by 18" deep), with four adjustable shelves, tumbler lock and 3 keys.	1	1	1	1	1	1	1
Fire resistant cabinet, 4-drawer, legal size with lock and three (3) keys, meeting the requirements for "Filing devices, Insulated (36 E 9)" Class D Label, of the Underwriters' Laboratories, Inc. Specifications.	1	1	1	3 <i>°</i>	4	4	6 °
Individual lockers (17" wide x 18" deep x 72" high) with flat key locks and two (2) keys each.	1	1	4	4	4	4	4
Calculating machines, tape type with digital display registering at least ten (10) digits.	1	1	2	2	3	3	3
Waste paper baskets (metal, approximately 12" square by 16" high).	1	2	2	6 ª	4	4	8 <sup>a</sup>
Fire extinguishers, non-toxic, dry chemical type meeting Underwriters Laboratories, Inc., approval for Class A, Class B and Class C fires with a minimum rating of 2A:IOB:10C.	1	1	2	3 <sup>d</sup>	4	8	5 <sup>d</sup>
First Aid Kit kept properly stocked with appropriate first aid supplies at all times.	1	1	1	1	2	2	2
Drafting tables (3'-0" x 5'-0") with storage drawers and stool.	1	2	2	3 <sup>d</sup>	4	4	5 <sup>d</sup>
Photocopying Machine	1	1	1	1	1	1	1

#### TABLE 6.40-I – ADDITIONAL SPECIFIC REQUIREMENTS

SPECIFIC REQUIREMENTS		FIELD OFFICE TYPE					
	Α	В	С	CU	D	DC	DU
Standalone networked color laser printer. (Not required if photocopying machine prints in color)	1	1	1	1	1	1	1
Vertical filing plan racks for six sets of 22"x36" plans each rack.	1	1	2	3 <sup>d</sup>	4	4	5 <sup>d</sup>
Telephone lines for calls, where one shall be dedicated for the Fax Machine, one for each computer fax/modem and the others for telephone instruments.	4	6	6	7 e	8	8	9 e
Telephone instruments.	2	2	3	5°	4	4	6 <sup>e</sup>
Telephone answering machine.	1	1	1	1	1	1	1
Fax Machine	1	1	1	1	1	1	1
Personal Computer	1	3	3	3	4	4	4
Bottled water with refrigerator unit-hot/cold water. (For private utilities room.)	0	0	0	1	0	0	1
Paper Shredder	1	1	1	1	1	1	1
Projector	0	0	1	1	1	1	1
Conference Room, 320 square foot (20'x16' minimum, equipped with (2) 3'x6.5' tables and (30) chairs.	0	0	0	0	0	1	0

<sup>a</sup> Provide four (4) each of Office Desks, Swivel Chairs and Waste Paper Baskets in private utilities room.

<sup>b</sup> Provide eight (8) Folding Chairs in private utilities room.

<sup>c</sup> Provide two (2) Fire Resistant 4- Drawer Legal Size Cabinets in private utilities room.

<sup>d</sup> Provide one (1) each of Fire Extinguisher, Drafting Table and Vertical File Rack in private utilities room.

Provide one (1) telephone line and two (2) telephone instruments for the exclusive use by private utilities personnel. The line shall interconnect the two telephone instruments by push button control.

**6.40.4. CONSTRUCTION METHODS**. The building shall be fully equipped and made available for use and occupancy by the Department's personnel and/or Supervision Consultant not less than thirty (30) days prior to the start of any contract work.

The building interior (including access foyers, stairwells, etc.) shall be maintained in good, clean, and sanitary working condition by the Contractor for the duration of the contract. The Contractor shall provide and pay all costs for electrical service, telephone service for calls within New York City limits, hot and cold water, heat and fuel, and daily janitor service. Staples, such as paper towels, hand soap, toilet paper, and similar supplies, shall always be available.

Where necessary, the site for a mobile trailer(s) shall be graded and shoulder stone placed and maintained as directed by the Engineer to provide a parking area for City personnel and, if necessary, an approach road shall be provided. Plumbing work shall include all water supply, drainage and piping required for the operation of a complete installation. Temporary water service shall be provided from an existing main and extended into the trailer and all fixtures requiring water supply shall be properly connected up. All necessary soil, waste, vent and drainage piping shall be provided and connected to the existing sewer or as otherwise directed.

The office, incorporated facilities, equipment, and personal property of the Department's employees shall be protected by the Contractor against loss or damage from fire, theft, or other causes, at all hours of the day and night. The Contractor shall provide fire insurance, extended coverage and vandalism, malicious mischief and burglary, and theft insurance coverage in the amount of forty thousand dollars (\$40,000.00) for office equipment of the City of New York in the Engineer's field office and for property of City personnel that is used in the contract work and stored in the office. All insurance coverage shall be written by a company approved by the Commissioner and payable in case of loss to the City of New York. The office shall be maintained by the Contractor in first class condition until final acceptance of the work.

At the direction of the Engineer, any equipment on the above lists may be deleted. The Engineer may direct that other equipment of equivalent value be supplied by the Contractor or an appropriate credit be taken for the value of equipment not provided.

When directed by the Engineer, the Contractor shall disconnect all services and remove and dispose of all temporary installations from the site, including fencing, surfacing and utilities, the area shall then be cleaned, loamed and seeded if required and left in a neat and acceptable condition. On and after the date of the Engineer's Final Acceptance, the temporary structure and all installed equipment shall become the property of the Contractor, and shall be disposed of, by him, away from the site of the work. Engineer's Final Acceptance shall be when the Contractor has completed all punch list work and Official Completion Date has been set.

**6.40.5. NONCONFORMANCE.** No payment will be made under Engineer's Field Office for each calendar day during which there are deficiencies in compliance with the requirements of any subsection of this specification. The first calendar day shall commence twenty-four (24) hours after notice to the Contractor of such a deficiency. This non-payment shall be deducted from the Contractor's next estimate as a charge to the Contractor on the item. The amount of such calendar day non-payment will be determined by dividing the unit price bid per month by 30.

In addition, the Contractor may be subject to liquidated damages in accordance with Schedule A.

**6.40.6. MEASUREMENT**. The quantity to be measured for payment under this item shall be the number of months that the Field Office is available for occupancy by the Field Engineers during the period of the contract. Payment will begin the first month that the office is fully equipped, serviced as specified, and made available for occupancy. The Field Office is to be continuously made available and monthly payments will continue for the duration of the contract through a period not to exceed 6 months past the Substantial Completion date. When directed in writing by the Commissioner, the Field Office will be provided and paid for a period of time beyond 6 months past the Substantial Completion date. Payment for each month's occupancy after the date of Substantial Completion acceptance will be made as part of the final estimate. Monthly payments may be terminated on a specified date prior to acceptance of the contract by written notification by the Engineer that such office will no longer be required on the contract.

In order to incentivize early Substantial Completion of the Project, the City agrees to share the savings from the reduction of the quantity measured for payment under this item.

If the determination of Substantial Completion is reached at least two (2) months earlier than the Substantial Completion date set forth in the Notice to Proceed letter, plus any approved time extensions, the Contractor and the City will evenly split the saved amount. This payment will be in addition to any payments of incentive for early completion, if one is specified for the Project.

For example, using a contract with a 30-month duration for achievement of substantial completion, with the Engineer's Field Office directed by the Commissioner to remain open six (6) months after the substantial completion date per Subsection 6.40.6 above, the following would apply under these two scenarios:

- Project substantial completion is achieved in 28.5 months: Because the contract was completed within two (2) months of the scheduled substantial completion date, the Contractor is entitled to be paid for the 28.5 month project duration plus the six (6) months after Substantial Completion, amounting to 34.5 months to be paid to the Contractor for the Engineer's Field Office, with no additional amounts due to the Contractor from any savings.
- 2. Project substantial completion is achieved in 26 months: Because the contract was substantially completed more than two (2) months early, the Contractor is entitled to be paid for the 26 month project duration plus six (6) months after substantial completion plus half of the four months saved, amounting to 34 months to be paid to the Contractor for the Engineer's Field Office.

**6.40.7. PRICE TO COVER.** The unit price bid per month for the item Engineer's Field Office shall include the cost of furnishing all labor, materials, equipment, ground rental, fire and theft insurance, and utility charges necessary to complete the work of providing or constructing the field office; making all necessary electrical, water, sewer, and other connections required to make the above facilities operative; payment of all rental costs; furnishing and paying for heating fuel, as required; all electrical energy; private telephone services; staples, as specified; and all necessary incidentals to complete the work - all in accordance with the specifications and the directions of the Engineer.

Payment will be made under:

Item No.	Item	Pay Unit
6.40 A 6.40 B 6.40 C 6.40 CU 6.40 D 6.40 DC 6.40 DU	ENGINEER'S FIELD OFFICE (Type A) ENGINEER'S FIELD OFFICE (Type B) ENGINEER'S FIELD OFFICE (Type C) ENGINEER'S FIELD OFFICE (Joint Use) (Type CU) ENGINEER'S FIELD OFFICE (Type D) ENGINEER'S FIELD OFFICE WITH CONFERENCE ROOM ENGINEER'S FIELD OFFICE (Joint Use) (Type DU)	MONTH MONTH MONTH MONTH MONTH MONTH MONTH

## SECTION 6.51 BD-P - PAVEMENT KEY AT PEDESTRIAN RAMPS (3' TO 6' WIDE)

**6.51BD-P.1 INTENT.** This section describes the work of installing pavement keys in order to remove depressed or damaged wearing course, to facilitate storm water run off (without ponding) and to facilitate installation of new pavement.

**6.51BD-P.2 DESCRIPTION.** The construction of pavement keys must consist of cutting, milling, and removing a portion of the existing pavement to the required depth and width, generally next to curb or areas of poor pavement; all in accordance with the specifications and the directions of the Engineer.

**6.51BD-P.3 METHODS.** The Contractor must saw-cut or chisel-cut, for a depth of 1-1/2", a joint line in the existing asphaltic concrete wearing course at limits of pavement keys as directed.

All grindings (millings) and excavation material removed under this Section must be loaded directly into dump trucks and must be satisfactorily disposed of by the Contractor, away from the site. No debris will be allowed to accumulate at the site.

All milling must be done prior to excavation of existing curb; however, it must be done not less than two weeks prior to the excavation of existing curb.

**6.51BD-P.4 USES.** Pavement Key at Pedestrian Ramp (various widths) must be used in the following locations:

- 1) At all locations requiring gutter adjustments (3' to 6' wide) both inside and outside corner quadrant (pedestrian ramp) areas as directed. To lower roadway or reshape roadway to eliminate ponding.
- 2) To remove asphaltic bumps or depression or badly crazed areas in the roadway to provide positive surface flow away from the ramp area.
- 3) Milling must be to the required depth to facilitate an average of 1-1/2" resurfacing after the work is completed.
- 4) At locations to be excavated under other contract items.

**6.51BD-P.5 MEASUREMENT.** The quantity to be measured for payment, under Item 6.51 BD-P, must be the number of cubic yards of existing pavement actually cut out to provide a pavement key at pedestrian ramps and other locations as directed. Measurement must be a vehicle measurement based on the number of cubic yards of material removed and disposed away by Contractor, measured in trucks at the place of loading. Only water level loads will be accepted and no allowance will be made for any crown or peak of the load.

**6.51BD-P.6 PRICE TO COVER.** The contract price per cubic yard of Item 6.51 BD-P, must cover the cost of furnishing all labor, plant, equipment, insurance, and necessary incidentals required for completing the work, including saw cutting at beginning and end of grinding limits, cutout of existing roadway material, loading all grindings and excavated material into dump trucks, and removing and disposing of said material away from the site, all in accordance with the specifications and the directions of the Engineer.

Payment will be made under:

Item No.	Item	Pay Unit
6.51 BD-P	PAVEMENT KEY AT PEDESTRIAN RAMPS (3' TO 6' WIDE	) C.Y.

# <u>The Section below supersedes and replaces Section 7.13 of NYC DOT</u> <u>Standard Specifications dated May 16, 2022</u> SECTION 7.13 – MAINTENANCE OF SITE

**7.13.1. DESCRIPTION.** This section describes the maintenance, protection and cleanup of the construction site. The Contractor is placed on notice that a safe and clean site throughout all phases of the work and during all operations must be provided by the Contractor, and further that the monitoring by the City of the Contractor's site maintenance, site protection and site cleanup is considered for the purposes of the contract to be a Project objective necessary to eliminate and/or mitigate public disruption and inconvenience, and to insure public health and safety. The Contractor shall therefore, at all times, conduct this operation in a manner which promotes a clean site and insures the convenience, safety and health of general users consisting of, but not limited to, the motorist, the pedestrian and the abutting property owners/tenants, as well as those of the Contractor's employees. This includes compliance with the Contractor Code of Conduct in **Section 1.06.19**.

The provisions of this section are supplementary to and do not abrogate the General Conditions (Section 1.06) or the General Notes on the Contract Drawings relating to the protection and cleanup of the site, and the delivery and storage of materials at the site. Furthermore, any conditions pertaining to the maintenance, protection and cleanup of the construction site during the life of the contract which are addressed in the General Conditions and in the General Notes on the Contract Drawings, whether or not addressed under this Section, shall be deemed as having been addressed under this Section.

#### 7.13.2. METHODS.

#### (A) GENERAL

Work under this Item shall start from the date of written notice to commence work or from the actual start of construction work at the site, whichever is later.

The Contractor shall be responsible for the maintenance of the contract streets or portions of streets pursuant to Article 7 of the Standard Construction Contract.

The Contractor shall provide the necessary personnel and equipment for adequate site maintenance within and adjacent to the contract site and all detour routes. The Contractor shall keep the work site and adjacent areas free and clean from all rubbish, debris, dust, idle construction equipment, discarded or leftover construction material and excavated material as outlined below. The Contractor shall also keep all haul routes outside the work site free and clean from all rubbish, debris and dust resulting from the Contractor's operations.

The Contractor shall protect the public from damage to persons and property, which may result directly or indirectly from any construction operation. Such protection shall include, but not be limited to, providing proper street drainage and diversion of runoffs from private properties by such means as sandbagging or pumping, controlling soil erosion and/or soil migration.

All existing Fire Department Communication facilities shall be protected and provisions made for their continuous operation during construction. ALL ALARM BOXES AND POSTS MUST REMAIN ACCESSIBLE. If, due to the Contractor's operations, Fire Alarm Service is inadvertently interrupted or Fire Communication System equipment or facilities are damaged, the Contractor will be held responsible and shall replace them at its own expense and in accordance with Fire Department requirements.

The Contractor shall be fully responsible for maintaining the completed work in an acceptable condition and protecting the completed work until relieved of such responsibility by acceptance of the contract or the completed items of work. Upon completion of each phase of work, or when ordered by the Engineer, and before acceptance and final payment are made, the Contractor shall remove all surplus and discarded material, rubbish, equipment, debris, and temporary structures from the site, and restore the working site as directed by and to the satisfaction of the Engineer. All sewers, water mains, appurtenant structures, etc., shall be clean, free from debris and deposits.

#### (B) MAINTENANCE OF STREETS

Maintenance of streets and detours for vehicles shall include any repairs, as directed, including the filling of pre-existing and new potholes that may be necessary due to usage of streets by traffic. This repair work will be paid for under Item No. 4.02 CB - ASPHALTIC CONCRETE MIXTURE, or 4.02 CA - BINDER MIXTURE, as provided in the Bid Schedule.

Also, the Contractor shall provide reasonably safe and convenient walkways and passageways for pedestrian traffic. Where required by the Contract Documents or when ordered by the Engineer, the Contractor shall construct and maintain, as directed, temporary asphalt walkways and ramps in accordance with the requirements of Subsection 7.13.2.(G)(1), below, temporary wood plank or steel plate ramps or other configurations and materials, as may be required, and provide temporary pedestrian passageways (as per the NYC Department of Transportation's Standard Details of Construction, Standard Drawing H-1004, or as otherwise approved). The Contractor shall make the surface(s) of the pedestrian pathway(s) safe by eliminating ponding conditions, removing debris, sweeping, and wetting for dust control. All walkways and passageways must be in compliance with all ADA requirements.

The Contractor shall maintain access to all abutting properties and pedestrian usage of sidewalk areas, both old and new, at all times, as directed by the Engineer and as shown on the Contract Drawings, except at "Sidewalk Closings" as designated or as directed.

The Contractor shall maintain the traveled way in such a condition and conduct operations in such a manner that snow and ice may be readily removed by others as and when necessary, and in such a manner that proper drainage is provided for the melting of snow in the banks resulting from normal plowing. However, the Contractor will not be responsible for snow or ice removal on the pavement or traveled way opened for public usage, except within the limits of the work zone(s) which may include, but is not limited to, stairway, promenades, esplanade areas, and sidewalk, including those fronting the Contractor's office and the Engineer's field office all of which will be the responsibility of the Contractor. In order to minimize the amount of salts entering the storm sewer system, snow melt must not be used in place of shoveling, but must be used after all standing snow is removed. This does not prohibit applying reasonable amounts of snow melt prior to snowfall.

#### (C) CONTROL OF DUST AND DEBRIS

The Contractor shall control dust and debris within the work area and the traveled way. The Contractor shall mitigate material spilling from trucks with the use of tarpaulin covers. All dust producing materials shall be wet down with water to the extent necessary to minimize dust. When public or local inconvenience is caused by dust occasioned by the sweeping and cleaning operations, the Contractor shall furnish and sprinkle water onto the affected surfaces during the sweeping and cleaning operations; however, the application of water shall not be used as a substitute for sweeping.

The Contractor shall perform all work operations so that dust and debris is minimized within the work zone and mitigated before any of it leaves the work zone. Movement of dust and debris by wind, vehicles, persons, and the Contractor's operations shall be cause for sweeping and watering to be implemented immediately as directed by the Engineer. Also, should dust and dirt cover over all or portions of the work site it shall also be cause for immediate sweeping and watering by the Contractor.

All water furnished and applied under this item shall be free from harmful materials and shall be reasonably clean. Water shall be delivered in tanks or tank trucks, or by use of hydrants as permitted by the Department of Environmental Protection; however, no guarantee is made by the City as to the availability of suitable hydrants at the site. Where no suitable hydrants exist at the site, the Contractor shall be required to furnish water in tanks or tank trucks at no additional cost to the City.

#### (D) CLEANING OF SITE AND WASTE DISPOSAL

The Contractor shall be responsible for the removal of all rubbish and debris from the site of the project. The Contractor shall remove all piles of rubbish, debris, waste material and wood cratings as a result of the Contractor's operations as they accumulate. When directed by the Engineer the Contractor shall cart them away from the site. The Contractor shall employ and keep engaged for this purpose an adequate force of laborers.

The Contractor shall at the beginning and end of each day be required to pick up all litter, trash and debris (excluding garbage and recycled material set to be picked up by scheduled private and/or public sanitation pickups) adjacent to and within the work zone on a daily basis, seven (7) days a week. The Contractor shall also during the day keep clean all roadways, sidewalks and other places in which the work is being performed or which are to be used in connection therewith.

The Contractor shall protect the site against unauthorized dumping of waste materials by patrolling the site and reporting violations to the Engineer, and should any unauthorized dumping occur, it shall be immediately remove by the Contractor to the Engineer's satisfaction.

While performing the above site cleaning work, the Contractor shall have available an approved mechanical street sweeper, with operator, suitable for removing dirt, debris, dust and loose stones; a sprinkler truck; adequate size pick-up truck with driver and laborers; an adequate supply of brooms, sixteen (16) inch wide or larger; and necessary hand tools and materials. The Contractor shall arrange to have necessary persons and equipment assigned to satisfy concerns relating to required clean up and restoration work. These persons with equipment shall be available to correct all matters requiring attention and shall be immediately available to respond to directives issued by the Engineer regarding specified problems of maintenance and cleaning.

The Contractor shall perform this work during the normal or extended working days. However, when required in accordance with the approved schedule or directed, the Contractor shall be prepared to extend this work beyond the normal work day, including weekends.

The Contractor shall provide trash receptacles for use by its construction staff. The trash shall be periodically removed and disposed of in compliance with local ordinances.

#### (E) DISPOSAL OF REMOVED MATERIALS

Except as may be otherwise specified herein or in the General Conditions, all materials which are permanently removed from the existing construction by the Contractor in accordance with the Contract Documents shall become the Contractor's property and shall be disposed of by him away from the site.

In addition, it is the intent of NYCDDC to have all metals that are excavated and removed from the site, such as iron castings street hardware (i.e. manhole frames and cover, valve box covers, hydrants, etc.), ductile iron sewer pipe, steel and ductile iron water main pipe, trolley track rails, etc. (excluding steel reinforcement embedded in concrete), recycled provided that they are not deemed contaminated or hazardous. Therefore, the Contractor shall agree to make every effort possible to recycle said metals removed from the site. As a record of such compliance, the Contractor shall be required to keep an accurate log of said materials that are excavated and removed from the site and where and how said materials are either processed for reuse or disposed of away from the site. A copy of said log shall be submitted to the Engineer along with the invoice submitted by the Contractor for payment each month.

#### (F) REMOVAL OF SURPLUS PLANT AND EQUIPMENT

When ordered by the Engineer, the Contractor shall be required to promptly move from any location within the contract area all such items of plant and equipment determined to be no longer necessary for the effective prosecution of the work at such point, to other locations to be designated by the Engineer. If, in the opinion of the Engineer, plant and equipment are no longer required on any portion of the work, they shall be removed from the site when so ordered.

Where access to regularly scheduled private and/or public sanitation pickups, such as garbage and recycled materials, is blocked due to the Contractor's operations, the Contractor shall coordinate a schedule for collection of said materials, and/or shall collect and transport garbage and recycled materials to collection points, as directed by the Engineer, for disposal by public or private collections, as appropriate.

Waste material shall not be dumped in or on any part of the City's property except by special permission of the Engineer. Concrete mixing trucks shall not be washed on City streets nor shall the waste material from the washing out of concrete mixing trucks be discharged to any street, public property, sewer manhole, catch basin, sewer, street gutter, or other above or below ground structures. All excavated materials falling on roadways and sidewalks shall be promptly swept up and removed.

#### (G) MAINTAINING ACCESS TO PROPERTIES AT CUT AND FILL LOCATIONS

When it is necessary to cut or fill at abutting properties in accordance with the contract requirements, the Contractor shall immediately commence construction to provide entrance to and egress from said properties as shown on the Contract Drawings and/or by one of the following methods, or modifications made thereto, when so ordered by the Engineer:

(1) <u>"Asphalt Ramps"</u>

Temporary access ramps shall be made hard and smooth surfaced with asphaltic material (to be paid for under Item No. 4.02 CB or 4.02 CA, as provided in the Bid Schedule) The slope of temporary ramps at driveways and transition areas shall be approximately 25% [approximately a three (3") inch rise in one (1') foot] and be limited to a width of not more than eight (8') feet for single driveways and not more than twelve (12') feet for double driveways. The slope of temporary ramps at street hardware shall range between 1:10 and 1:6 (rise:run). The slope of temporary pedestrian ramps shall be limited to a width of not less than four (4') nor more than five (5') feet and a slope of approximately 1:12.

(2) <u>"Benching"</u>

In locations where embankments are to be constructed on existing slopes or against existing embankments with slopes steeper than 1 (vertical) on 3 (horizontal), slopes shall be benched as shown on the Contract Drawings. Benches shall be constructed as a "Temporary Retaining Wall" (Item No. 8.12). Access to abutting properties shall be provided as shown on the Contract Drawings or as per the details shown on the NYC Department of Transportation's Standard Details of Construction, Standard Drawing for Temporary Wooden Steps (Item No. 7.15).

#### (3) <u>"Specified"</u>

By methods specified and detailed on the Contract Drawings.

(H) FINAL CLEARANCE OF SITE

Immediately after the completion of the contract and before final acceptance of the Work by the Department, the Contractor shall remove all surplus material, temporary structures, and debris resulting from the Contractor's operations. Any painted markings (layout survey, etc.), excluding utility markings made under 16 NYCRR Part 753 (utility markings made under Part 753 shall <u>not</u> be removed), that have been placed by the Contractor and which are still remaining at the end of the contract shall be removed. Removal of painted markings shall be done using an approved power-washing method. The entire area shall be cleared and left in a neat presentable manner satisfactory to the Commissioner.

If as a result of the Contractor's operations, obstructions have fallen into a navigable waterway, they must be removed and the waterway and channel cleared; and the Contractor must obtain a release from the United States Coast Guard.

**7.13.3. STORAGE OF MATERIALS AND EQUIPMENT**. Roadways, sidewalks, gutters, crosswalks, and driveways shall at all times be kept clear and unobstructed unless a permit has been obtained from NYC Department of Transportation authorizing encumbrance of the roadway and/or sidewalk with equipment and/or material, provided it is in a manner which will not prevent the safe passage of vehicular traffic on such roadway designated to remain open, or the safe passage of pedestrians on such sidewalk and crosswalks, or block the normal drainage flow within the streets.

#### (A) DELIVERED MATERIALS NOT TO OBSTRUCT TRAFFIC

All materials delivered upon but not placed in the work shall be neatly piled so as not to obstruct public travel and shall be removed from the line of the work, at the direction of the Engineer, at no additional cost to the City. Unless the materials are so removed by the Contractor upon notice from the Engineer, the materials may be removed by the Commissioner and the expense thereof charged to the Contractor.

#### (B) PILING OF MATERIALS DELIVERED TO WORK SITE

Materials placed on the sidewalk or roadway shall be piled or stacked in a satisfactory and safe manner, enclosed with plastic barrels (Section 6.87) or barricades (Section 6.28 AA or 6.28 BA), and with pedestrian steel barricades (Section 7.36), "WARNING: KEEP OUT" signs (Section 6.25), and heavy duty safety orange construction fencing. The heavy duty safety orange construction fencing shall be safety orange in color, of heavy duty construction grade flexible plastic (light duty plastic screening fence will not be accepted), have a minimum height of four (4') feet, and shall be of a type approved by the Engineer. The heavy duty safety orange construction fencing shall be held vertically in place for its full length and shall be securely attached to barrels, utility poles, or a combination thereof, or other traffic control devices shown on the Contract Drawings or directed, in a manner approved by the Engineer. Loose materials shall be covered with tarpaulins, suitably held down. Areas adjacent to stored materials shall be kept clean and watered as required and as directed by the Engineer. When such materials are removed, the sidewalks and roadways must be immediately swept clean by the Contractor and control of dust shall be mitigated in accordance with the requirements of Subsection 7.13.2.(C), above.

Materials to be used in the work shall be compactly piled within limits to be designated by the Engineer. Sand and coarse aggregate may be piled within the roadway area. All old and such new material as has been approved, except sand and coarse aggregate, shall be neatly piled by the Contractor on the front half of the sidewalk, on planks or plates, if the same be flagged or otherwise improved.

Stored material shall be neatly stacked, placed at locations designated by the Engineer, and suitably enclosed or covered, protected, and wet down, as stipulated above. Streets under such construction material or equipment shall be shielded by wooden planking, skids or other protective covering approved by the Engineer. All pipes, fittings and appurtenances must be carefully stored, as approved by the Engineer, so as to prevent surface drainage, excavation material or other foreign matter from entering into the pipes, fittings and appurtenances.

Waste material and excavated material will under no conditions be permitted to remain on the work site or

Provisions must be made by the Contractor to maintain curb-line drainage through storage areas. Stored materials shall not block the normal drainage flow or cause ponding conditions within streets, and shall not be placed within fifteen (15') feet of any fire hydrant (working or not), at bus stops, within tree root zone areas, or any other areas as set forth in the rules of the department the obstruction of which would impair the safety or convenience of the public (also see General Notes on Contract Drawings for any additional information). In a street upon which there is a surface railroad, construction materials or equipment shall not be placed nearer to the track than five (5) feet.

The Contractor shall not be permitted to store, stockpile or lay down any construction material within the boundaries of tree pits or critical root zone (CRZ) of existing trees. This material includes but is not limited to: lumber, fuel and oil containers, pipes, pipe fittings, barricades, hand tools, hoses, hardware, bricks, salvaged stone or granite, trash receptacles, or asphalt. Bulk material, equipment, or vehicles shall not be stockpiled or parked within the CRZ of any tree, or within ten (10') feet of the trunk (whichever is greater). This is done to minimize surface and subsurface root and soil compaction. This applies to all CRZs within or outside the project limit line. CRZ is calculated as (DBH x 1.5 ft = Radius). The radius calculation is equal to the critical root zone.

When no work is in progress, at least one half of the roadway must be left clear at all times.

The Contractor must remove any stored materials/equipment from the project street(s), as directed by the Engineer, within forty-eight (48) hours' notice, at no additional cost to the City. Payment for compliance with such a directive shall be deemed included in the unit price bid for this "Maintenance of Site" item.

#### (C) ILLUMINATION OF BUILDING MATERIAL AND EQUIPMENT ON STREETS

Pursuant to Section 19-121 of the Administrative Code of the City of New York, the Contractor's attention is directed to the following:

- 1. Whenever a permit is issued for any construction material or equipment, the outer surface of such construction material or equipment shall be clearly marked with high intensity fluorescent paint, reflectors, or other marking which is capable of producing a warning glow when illuminated by the headlamps of a vehicle or other source of illumination.
- 2. Each approved storage area shall have at least one (1) sign identifying the Contractor's name, Project ID/Name, and the phone number of the Engineer's Field Office.
- 3. <u>Violations</u>. Any person who shall violate any of the above provisions, upon conviction thereof, shall be subject to the Criminal penalties pursuant to Section 19-149 of the Administrative Code of the City of New York or Civil penalties pursuant to Section 19-150 of the Administrative Code of the City of New York, or both such fines and imprisonment.
- (D) STORAGE WITHIN THE PROJECT LIMITS

The Contractor will not be permitted to store construction equipment, construction material or excavated material within the project limits, except where specifically approved by the Engineer and only under the following conditions:

The Contractor will not be permitted to allow the personal vehicles of the Contractor's work force to be stored, parked, or to stand within the limits of any designated work area or in "no parking", "no standing", and/or other restricted zones; vehicles so stored, parked, or found standing may be ticketed and/or towed at the owner's expense. This restriction shall exclude Contractor owned vehicles transporting and/or storing specialized equipment and/or materials necessary for the execution of ongoing contract work, as approved by the Engineer. The Contractor shall be responsible for properly notifying the Contractor's work force of these restrictions.

Payment for traffic control devices such as plastic barrels, barricades, pedestrian steel barricades, and warning signs used to enclose stored materials and equipment within the project limits will be paid for under the appropriately scheduled items; however, when no appropriately scheduled item or items are provided in the bid schedule, the cost of those items shall be deemed included under all scheduled items.

Materials stored on site shall be "Installed in Place" within two (2) consecutive working days of delivery to the job site, unless otherwise specified or permitted by the Engineer. (Construction supervisor will be required to maintain accurate records of all delivery dates.) No material shall be stored on site during construction shutdowns and/or stoppages scheduled to last more than five (5) consecutive working days.

(E) STORAGE OUTSIDE THE PROJECT LIMITS

The Contractor may be permitted to occupy off site street/roadway areas for material storage, subject to their availability and conformance with City wide permitting requirements for storage of materials; however, this neither implies nor guaranties the Contractor the availability and/or approval of any off site street/roadway areas.

Materials and/or equipment must be stored safely and neatly as specified above, with appropriate Maintenance and Protection of Traffic devices separating the storage area from vehicular traffic and pedestrians. Loose materials must be properly and neatly stored.

No separate payment will be made for providing off site storage site(s) where approved or for providing any traffic control devices used for off site storage, the cost of which shall be deemed included under all scheduled items.

**7.13.4. NONCONFORMANCE.** No payment will be made under Maintenance of Site for each calendar day during which there are deficiencies in compliance with the foregoing specification requirements, as determined by the Engineer and made evident by the Engineer's failure to sign documents each day approving payment to be made under this item.

The amount of such calendar day non-payment will be determined by dividing the unit price bid per month by thirty (30).

If the Contractor fails to maintain and protect the site, or any portion thereof, adequately and safely for a period of three (3) or more consecutive hours, the Engineer may correct the adverse conditions by any means deemed appropriate, including, but not limited to, "outside services," and shall deduct the cost of the corrective work from any monies due the Contractor. The cost of this work shall be in addition to the nonpayment for site maintenance listed above.

However, where continued nonconformance with the requirements of this specification is noted by the Engineer, and prompt Contractor compliance is deemed not to be obtainable, all contract work may be stopped by direct order of the Engineer, regardless of whether corrections are made by the Engineer as stated in the paragraph above.

Furthermore, in addition to the remedies specified above, in the event the Contractor shall fail to comply, within three (3) consecutive hours after written notice from the Engineer, with the requirements of the contract and the specifications in the matter of providing facilities and services for the maintenance, protection and cleanup of the construction site, the Contractor shall pay to the City of New York, until such notice has been complied with or rescinded, the sum shown per calendar day in Schedule A, for each instance of such failure, as liquidated damages and not as a penalty, for such default.

Any money due the City of New York under this provision shall be deducted from the amounts due or to become due to the Contractor for work performed under the contract.

#### 7.13.5. MEASUREMENT.

(A) MAINTENANCE OF SITE (LUMP SUM)

Payment will be made by lump sum.

(B) MAINTENANCE OF SITE (PER MONTH)

The quantity to be measured for payment under this item shall be the number of months (to the nearest 1/4 month increment) that the Contractor satisfactorily provides for the Maintenance of Site in accordance with these specifications, including winter shut down, holiday embargo, and other work suspension periods for which the Contractor remains responsible for site maintenance. Measurement for this item shall not begin until actual construction work is started at the site.

Periods where the Contractor is demobilized and not continuing the site maintenance will not be measured for payment. The Engineer will provide written notice two weeks in advance that the Contractor is being deemed to be demobilized. For the avoidance of doubt, reduced activity during winter shut downs, holiday embargos, and other work suspension periods as shown on the Contractor's approved CPM schedule do not count as demobilization, provided the Contractor continues to be responsible for site maintenance and responsive to notifications of nonconformance per **Subsection 7.13.4** above. Should such nonconformance occur during periods of demobilization, the liquidated damages described in **Subsection 7.13.4** above may be assessed during periods where maintenance of site is not being measured for payment.

In order to incentivize early completion, the City agrees to share the savings resulting from the reduction of the quantity measured for payment under this item.

If the determination of Substantial Completion is reached at least two (2) months earlier than the Substantial Completion date set forth in the Notice to Proceed letter, plus any approved time

extensions, the Contractor and the City will evenly split the saved amount. This payment will be in addition to any payments of incentive for early completion, if one is specified for the Project.

For example, using a contract with a 30-month duration for achievement of substantial completion, the following would apply under these two scenarios:

- 1. <u>Project substantial completion is achieved in 28.5 months:</u> Because the contract was completed within two (2) months of the scheduled substantial completion date, the contractor is entitled to be paid the 28.5 month project duration for the Maintenance of Site, with no additional amounts due to the contractor from any savings.
- 2. <u>Project substantial completion is achieved in 26 months</u>: Because the contract was completed more than two (2) months prior to the scheduled substantial completion date, the contractor is entitled to be paid the 26 month project duration plus half of the four months saved, amounting to 28 months to be paid to the contractor for the Maintenance of Site.

#### 7.13.6. PRICE TO COVER.

#### (A) MAINTENANCE OF SITE (LUMP SUM)

The lump sum price bid for Maintenance of Site shall include the cost of furnishing all labor, materials, plant, equipment, insurance and incidentals required to maintain, protect and clean up the site, all in accordance with the Contract Drawings, these specifications, and the directions of the Engineer. Payment will be made in proportion to the percentage of actual contract completion. The final payment for this item will be in direct proportion (whether higher or lower) to the final contract value as compared to the original contract value.

#### (B) MAINTENANCE OF SITE (PER MONTH)

The unit price bid per month for Maintenance of Site shall include the cost of furnishing all labor, materials, plant, equipment, insurance and incidentals required to maintain, protect and clean up the site, all in accordance with the Contract Drawings, these specifications, and the directions of the Engineer.

Where no separate item is provided for this work, the cost thereof shall be deemed to be included under all scheduled items.

Payment will be made under:

Item No.	Item	Pay Unit
7.13 A	MAINTENANCE OF SITE	L.S.
7.13 B	MAINTENANCE OF SITE	MONTH

### SECTION 7.35 - PEDESTRIAN CHANNELIZER (NOT A PAY ITEM)

**7.35.1. INTENT.** This section describes the work of providing interlocking pedestrian channelizers to be used as temporary pedestrian access route between pedestrian and construction work areas when directed by the Engineer.

Pedestrian channelizer must provide the same level of pedestrian guidance as concrete or plastic barrier, but should be light weight, easier to transport, install and remove with interlocking arrangements.

**7.35.2. MATERIALS.** The work shall consist of furnishing, maintaining, relocating, and removing pedestrian channelizer in sidewalk areas as per the specifications, as shown on the Contract Drawings or where otherwise directed by the Engineer.

Pedestrian Channelizer units must meet the requirements of the following standards:

- a. 2010 ADA Standards for Accessible Design
- b. 2011 Proposed Accessibility Guidelines for Pedestrian Facilities in the Public Rightof-Way (PROWAG)
- c. 2009 Manual on Uniform Traffic Control Devices (MUTCD), with FHWA Revisions and NYS Supplements

Product should meet the crash test and evaluation criteria contained in the American Association of State Highway and Transportation Officials' (AASHTO) Manual for Assessing Safety Hardware (MASH) and have a FHWA acceptance letter.

Acceptable products include:

- a. ADA PEDESTRIAN BARRICADE STRONG WALL by PLASTICADE
- b. ADA COMPLIANT PEDESTRIAN BARRICADE like Safety Rail & SafetyWall by PSS
- c. Urbanite 57000 Series by Urbanite
- d. Plastic Safety System Safety Wall by PAUL PETERSON SAFETY DIVISION.
- e. Or approved equivalent

Battery operated flashing units as approved by the Engineer.

**7.35.3. METHODS.** Pedestrian Channelizer units of the various sizes required shall be furnished to the site, complete, ready to use. All units shall be in good condition and acceptable to the Engineer. pedestrian channelizer installed must meet the requirements of the standards listed in **Section 7.35.2** above.

The Contractor shall install pedestrian channelizer by placing them where shown on the Contract Drawings or where otherwise directed by the Engineer. Adjacent units shall be interlocked. Weights (sand or water ballast in the unit, sandbags, concrete blocks, etc.) must be used per the manufacturer's recommendations, as necessary to ensure stability, or as directed by the Engineer. Weights must not interfere with pedestrian travel. The minimum number of interlocked barricade units in a given run must be two, unless otherwise approved by the Engineer. Where less than three units are required and approved by the Engineer, additional measures shall be taken by the Contractor to stabilize the shorter length of interlocking barricade and prevent overturning.

At corners, units four feet or less in length must be used to form smooth curved runs of barricade.

Contractor shall continuously maintain the temporary pedestrian steel barricades, where shown on the Contract Drawings or directed by the Engineer, until ordered by the Engineer to remove the barricades at the completion of a work stage. Should a unit or units of barricades become damaged or otherwise unacceptable to the Engineer, the Contractor shall replace said units within twenty-four (24) hours of notice by the Engineer, at no additional cost to the City.

Battery operated flashing units will be installed on every alternate pedestrian channelizer or as approved by the Engineer.

#### 7.35.4. MEASUREMENT AND PAYMENT

The price of PEDESTRIAN CHANNALIZER is be deemed included in the price for item number 6.70 under Maintenance and Protection of Traffic per SECTION 6.70.

### SECTION 7.70 TPR - Temporary Pedestrian Ramp (NOT A PAY ITEM)

**7.70TPR.1. INTENT.** This section describes the work of providing Temporary Pedestrian Ramps (TPRs) near new pedestrian construction work areas and the ADA guidelines. TPRs should provide access to sidewalk routes when pedestrian ramps are not accessible due to construction activities going on or around pedestrian ramps.

The work must consist of furnishing, installation, maintaining, relocating, and removing temporary pedestrian ramps in order to access sidewalk areas as shown on the Contract Drawings or where otherwise directed by the Engineer.

TPR must consist of temporary pedestrian ramp units having a geometry similar to that shown on the contract drawings, as directed the Engineer and complying with the following requirements:

- a. TPR running slope must not exceed 1:12 ramp slope, which equals 4.8 degrees slope or one foot of wheelchair ramp for each inch of rise. For instance, a 30 inch rise requires a 30 foot handicap wheelchair ramp.
- b. Cross slope must not exceed 1:48.
- c. Handrails must be provided on both sides if rise is more than 6 inches.
- d. A minimum 5' x 5' flat, unobstructed area at the top and bottom of the ramp
- e. A Minimum width of 36 inches of clear space across the wheelchair ramp.
- f. Maximum run of 30 feet will be allowed ramp before a rest or turn platform.
- g. Ramp handrail height will be between 34" and 38" in height on both sides of the wheelchair ramps.
- h. The TPR must allow for normal street drainage.

## 7.70TPR.2. MATERIALS AND METHODS.

1. LUMBER TPR: Lumber should have a slip-resistant surface. Use pressure treated wood for most of the wood material. Lumber must be preservative-treated southern pine, grade #2 or better. Pressure treated lumber must resists rot and decay with level of treatment as per American Wood Council latest standards. Decking board for the TPR will be at a minimum 2" think.

2. ALUMINUM TPR: Aluminum should be high traction (e.g., textured extruded aluminum surface), dock plate with a coefficient of friction not less than 0.5 and made from high strength, lightweight aluminum; it should be slip-resistant and have a self-adjusting ground transition plate. The Ramp, Step, and Platform system is designed to be a rigid, free-standing structure. All footplates should be fastened securely to a concrete surface or 12" minimum diameter footings in order to achieve full structural integrity. Footing depth will depend on local building code.

Fastening all platforms to the building or modular building with lag screws is highly recommended.

3. FIBERGLASS/PLASTIC TPR: Fiberglass/ Plastic ramps should be able to take minimum wheelchairs, foot traffic etc., not less than 550 lbs., should be ADA compliant and adapts to varying curb heights from minimum of 2.5" to 7". Should be anti-slip with high

visibility surface and must be bolted for maximum stability and security. Coefficient of friction will not be less than 0.5.

All ramp handrails and ramp guardrails are designed to withstand a concentrated load of 200 pounds applied in any direction on the top of the rail.

All Ramp handrails are designed to be continuous along ramp runs and in between the inside corner of 90 degree and 180 degree turns in ramp direction. Handrails are not interrupted by posts or other obstructions.

Platforms and landings will be designed to carry a uniform live load of 100 pounds per square foot and a concentrated vertical load of 300 pounds in an area of one square foot.

Walking surfaces for serial number 1, 2 and 3 above must be designed to have a coefficient of friction no less than 0.50 in all directions of travel.

**7.70TPR.3. METHODS.** All units must be in good condition and acceptable to the Engineer.

The Contractor must install the TPR by placing them where shown on the Contract Drawings, specifications and as directed by the Engineer. All the modular ramps will be assembled as per manufacturer's instructions.

If the modular ramps are pre-assembled and transported to their locations, Contractor must use utmost care in the delivery and installation of these units.

Units can also be fabricated/constructed at site with the approval of the Engineer.

Contractor must continuously maintain the TPR, where shown on the Contract Drawings or directed by the Engineer, until ordered by the Engineer to remove the completion of a work stage. Should a unit or units of barricades become damaged or otherwise unacceptable to the Engineer, the Contractor must replace said units within twenty-four (24) hours of notice by the Engineer, at no additional cost to the City.

**7.70TPR.4** NONCONFORMANCE. Please see subsection 6.70.12(C)

## 7.70TPR.5. MEASUREMENT AND PAYMENT

The price of TPR is be deemed included in the price for Maintenance and Protection of Traffic per SECTION 6.70.

## SECTION 9.29- ALLOWANCE FOR RAILROAD FACILITIES INCLUDING INSURANCE AND FORCE ACCOUNT (ALL TYPES)

## 9.29.1. DESCRIPTION.

Under this item the Contractor must negotiate with the railroad to obtain a permit to enter railroad property, determine the scope and cost of flaggers/spotters and all associated railroad work, and submit a deposit to the railroad to cover the railroad's miscellaneous costs associated with this contract and all required insurances.

## 9.29.2. MATERIALS.

(Not applicable)

## 9.29.3. CONSTRUCTION DETAILS.

Prior to the Contractor providing any services under this Section, the Engineer will provide written direction to the Contractor. The written direction will include:

- The name and contact information of the railroad;
- Additional Insurance requirements;
- Special railroad requirements and provisions;
- Scope and area of work where railroad coordination is required.

When directed by the Engineer, the Contractor must negotiate with the railroad to obtain a permit to enter railroad property, comply with all relevant railroad requirements, and provide a payment fund to the railroad for all miscellaneous expenses incurred by the railroad due to the contractor entering the railroad property. These expenses include the costs incurred by the railroad to provide flaggers, spotters, engineering services (plan review/comments), accounting services (cost work-up and negotiations), construction inspection, or any other labor, material or equipment necessary to provide a safe environment to both the contractor and the railroad. The right-of-entry permit must be obtained prior to commencement of any work within the boundaries of the railroad. The railroad will not provide any services necessitated by the Contractor's operations until the permit is obtained.

This allowance must take care of all the railroad insurances as directed by the Engineer.

## 9.29.4. METHOD OF MEASUREMENT.

The fixed price lump sum shown in the Bid Schedule for this item must be included in the total bid price; however, actual payment to the Contractor will be based on the actual invoices submitted by the Contractor in connection with the railroad works as described above.

## 9.29.5. BASIS OF PAYMENT.

The fixed sum must be considered the price bid for this item. The fixed sum is not to be altered in any manner by the bidder. Should the amount shown be altered, the new figures will be disregarded and the original price will be used to determine the total amount bid for the contract.

The fixed sum payment made under this item will be equal to the sum of all invoices submitted by the Contractor as proof of work performed for this item, as approved by the Engineer.

The total estimated cost of this item is the "fixed sum" amount shown for this item in the Bid Schedule and must not be varied in the bid. The "fixed sum" amount is included in the bid solely to ensure that sufficient monies will be available to pay the Contractor for this work, which may be more or less than the fixed sum amount.

The unit price will cover the cost of all labor, materials, equipment, insurance, and incidentals necessary to complete the work under this section in accordance with the Contract Drawings, the specifications and the directions of the Engineer.

Payment will be made under:

Item No.	Item	Pay Unit
9.29	ALLOWANCE FOR RAILROAD FACILITIES INCLUDING	
	INSURANCE AND FORCE ACCOUNT (ALL TYPES)	F.S.

## SECTION HW-900H - ALLOWANCE FOR CITY WORK ACCELERATION

Under this Section, the Contractor will be paid for City work deemed necessary by DDC's Commissioner to accelerate the City work items in the project during critical periods but the use of this item will expire on the original contract substantial completion date. Such accelerated City work includes:

- A. 100% of the premium portions of overtime pay for working during non-scheduled work hours which must be defined as those hours of work outside the permissible hours stated in the original contract OCMC Traffic Stipulations; or,
- B. The premium portion of overtime pay for overtime actually worked beyond the 40-hour work week but within the permissible hours of work stated in the original contract OCMC Traffic Stipulations; or,
- C. All other incidental expenditures caused by modifications of project site regulations or administrative requirements ordered by the Commissioner that result in additional costs to perform contract work as specified.

Such accelerated City work must be paid for under this item in accordance with the requirements of **Articles 25 and 26** of the Standard Construction Contract.

Payment made under this Fixed Sum item must cover the cost of all labor, materials, plant, equipment, insurance, and incidentals necessary to accelerate the City work as ordered by DDC's Commissioner.

No guarantee is given that this allowance item will in fact be required in this contract. The estimated "fixed sum" amount shown in the Bid Schedule is included in the total bid solely to insure a method of payment for any accelerated work performed by the Contractor, as directed by DDC's Commissioner.

Payment will be made under:

Item No.	Item	Pay Unit
HW-900H	ALLOWANCE FOR CITY WORK ACCELERATION	F.S.

# S - PAGES

**GENERAL AND SPECIAL PROVISIONS:** 

- (A) GENERAL PROVISIONS
- (B) HIGHWAY PROJECT SPECIFIC PROVISIONS
- (C) SEWER & WATER MAIN PROJECT SPECIFIC PROVISIONS
- (D) GREEN INFRASTRUCTURE PROVISIONS

## NOTICE

THE PAGES CONTAINED HEREIN (S-PAGES) ARE GENERAL AND SPECIAL PROVISIONS THAT WILL APPLY TO AND BECOME PART OF THE CONTRACT.

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(NO TEXT)

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(NO TEXT)

# (NO TEXT ON THIS PAGE)

# (A) GENERAL PROVISIONS

A. <u>LINES AND GRADES</u>. The Contractor must furnish lines and grades in accordance with Section 1.06.27 of the NYCDOT Standard Highway Specifications, except that survey controls established for this project may no longer exist and the Contractor will be required to re-establish the survey control information using official Borough Survey Control Monuments and Bench Marks, where they exist. The Contractor must check with Topographic Section of the Borough President's Office as to the reliability and accuracy of the data to be used for lines and grades.

B. <u>SPECIFIC TRAFFIC STIPULATIONS</u>. Under this contract, the Contractor must perform the work in strict accordance with the requirements of Section 6.70 in the Standard Highway Specifications, specific traffic stipulations as called for on the plans, Office of Construction Mitigation and Coordination (OCMC) Traffic Stipulations attached to the end of these Special Provisions, and the directions of the Engineer. In case of a conflict, the Engineer's decision will be final. The Contractor must plan and schedule the work in order to accommodate all special events listed in the NYCDOT Special Events Annual Calendars. The calendars are available at the following link:

https://nycdot.sharepoint.com/:f:/s/publicshare/Ei2XN4G99\_JFkdrtjD\_cJ0QBtSPr2xhVrApcqAs1KSLpeA?e=j276PF

In addition, the cost of compliance with requirements of the OCMC Traffic Stipulations, unless otherwise provided for, will be deemed included in the prices bid for all scheduled items.

C. <u>HOLIDAY CONSTRUCTION EMBARGO</u>. A special Holiday Construction Embargo will be in effect on the Friday of the week preceding Thanksgiving Day week from 6:00 AM to 11:59 PM and again from the Monday of Thanksgiving Day week from 6:00 AM through January 2, at 11:59 PM. Roadway and sidewalk construction activities will be restricted during the embargo period on the streets listed below<sup>\*</sup>.

Any permits issued prior to the date of this notice, for work during this embargo period on the streets listed below\* which do not already have the permit stipulation "410" are hereby suspended for the period noted above. All permittees must comply with this embargo unless a special waiver is granted by OCMC. Waiver requests must be filed at least thirteen days before Thanksgiving Day, in the Permit Office by filing a "Request for Roadway/Sidewalk Permits During "Embargo Periods" and submitting supporting documentation. Waiver requests should only be submitted for critical reasons for a specific project. If a waiver is granted, the applicant will be notified so they can apply for the approved permits. Waivers <u>are not</u> required for ongoing Building Construction Activity Permits which already include the "410" permit stipulation. Waiver request forms may be obtained at any Permit Office or on the Department of Transportation's website at:

http://www.nyc.gov/html/dot/downloads/pdf/holidayembapp.pdf

Prior to this embargo period all necessary measures must be taken so that all roadways and sidewalks are in proper condition to allow for the expeditious and safe movement of vehicular, bicycle and pedestrian traffic. Tool carts, cable reels, containers, and material stored on roadways must be removed during the embargo period.

The opening of utility access covers is prohibited on any of the streets noted below\*\* between the hours of 6:00 AM and midnight unless the utility or Contractor files for an Emergency Authorization Number as required by Section 2-07 of the Department of Transportation's Highway Rules. The planned opening of utility access covers may occur during the hours of 12:01 AM and 5:59 AM where no authorization number is required.

\* Please note that this embargo only applies to NYCDOT construction permits. \*\* List of street and maps of the affected locations are available by borough on the Department of Transportation's website at: http://www.nyc.gov/html/dot/html/motorist/trafalrt.shtml Temporary restoration of the streets and sidewalks and removal thereof, if required for the Holiday Embargo period, will be paid for under the appropriate scheduled items.

No extension of time due to the shutdown period will be granted to the Contractor for completion of the work.

D. <u>CONTRACT ITEMS THAT INCLUDE BACKFILL AS A PART OF THEIR WORK</u>. The following will pertain to all contract items that have backfill as a part of their work: Backfilling will comply with Subsection 4.11.3 of the Standard Specifications and no additional payment will be made for any Highway or Street Lighting work item requiring Contractor to furnish additional fill material to meet these requirements when backfilling.

E. <u>ACCELERATED PROJECT SCHEDULE AND COMBINATION OF STAGES</u>. Contractor will plan and/or stage his/her work schedule using all hours/days available. Contractor is advised that all applicable unit prices will include, for the purpose of this contract, all overtime costs, premium time costs, shift differentials required to complete construction within the specified "Time(s) of Completion" stipulated in this contract.

Contractor will be permitted to accelerate this project, to combine stages and/or work sequences. Any such changes will be shown in the construction schedule, to be furnished in accordance with the General Provisions of the Standard Specifications.

F. <u>DISPOSAL OF EXCESS EXCAVATED MATERIAL</u>. All excess excavated material, with the exception of contaminated material, will become the property of the Contractor and will be properly disposed of away from the site, at the Contractor's expense. Contaminated material will be disposed of separately in accordance with contract requirements.

G. <u>NO EXTENSION OF TIME FOR WINTER SHUT-DOWN</u>. Where the Contractor's approved work schedule for installing sidewalk, curb, roadway base and/or pavement falls within the winter period of December 1st through April 1st, the Contractor will <u>NOT</u> be granted an extension of time for completion of this contract due to the winter shut-down period, unless otherwise provided in Schedule A.

H. <u>PRIVATE UTILITY HARDWARE ADJUSTMENTS.</u> will be performed by the owning utility company or its agent, at its expense. The Contractor must notify the utility company 72 hours prior to start of work at each location where its hardware requires adjustment.

I. <u>SURVEY MONUMENTS</u>. When working in the vicinity of survey monument the Contractor will hand excavate per Item 8.02 AB-S, 8.02 A and 8.02 B (as applicable), at City Survey Monuments, for a distance of five (5) feet around each monument, as directed by the Engineer.

J. <u>RESTORATION OF ADJACENT AREAS</u>. The Contractor will be required to remove all form work. In planting strip areas, the Contractor will be required to restore areas damaged as a result of the Contractor's operations, to the satisfaction of the Engineer, with sod. The Contractor will also, as directed by the Engineer, make safe adjacent areas to the Contractor's work, such as: restoring missing or damaged pavement markings that were removed or damaged as a result of the Contractor's operations (as per requirements of Section 6.44 in the Standard Specifications); resetting granite blocks in tree pits; and, applying binder mixture (Item 4.02 CA or as applicable) where badly broken sidewalk or curb may create a dangerous condition just outside his area of operation, where and when directed by the Engineer.

All restoration work must be performed to the satisfaction of the Engineer.

K. <u>FLAGGERS.</u> The Contractor is notified that wherever the Item No. "6.52" and words "flagger", "flagperson" and "flagman" are used in the contract documents and drawings it will mean

the Item No. "6.52 CG" and the words "Crossing Guard", respectively. The Contractor is advised that until the Comptroller of the City of New York sets a prevailing wage rate for crossing guards, there are no prevailing wage rates for crossing guards.

For projects that require compliance with the Davis-Bacon Act, wherever the Item No. "6.52" and words "flagger", "flagperson" and "flagman" are used in the contract documents and drawings it will mean the Item No. "6.52 FED" and the words "Uniformed Flagperson", respectively.

FUEL COST. The Contractor is notified that the fuel cost per gallon used in the formula L. under Sub-Article 26.2.8 of the Standard Construction Contract for Extra Work will be derived from the fuel price index for the United States East Coast published weekly by the United States Energy Information Administration ("USEIA"), and available on its website at http://www.eia.gov/petroleum/gasdiesel/. The USEIA-published cost per gallon for the applicable fuel on the East Coast for the week in which the first day of each calendar guarter during the contract term occurs (i.e., January 1<sup>st</sup>, April 1<sup>st</sup>, July 1<sup>st</sup> and September 1<sup>st</sup>) will be used in the reimbursement formula for all Extra Work invoiced that was performed during that calendar quarter. Should the USEIA stop publishing this fuel price index, the fuel cost per gallon will be determined by reference to a substitute index to be agreed upon by the Contractor and the City.

#### M. <u>NYCDPR CONSTRUCTION PERMITS AND OTHER REQUIREMENTS.</u>

1. At least thirty (30) days prior to the upcoming start of construction at or near the New York City Department of Parks and Recreation (NYCDPR) land, the Contractor is required to issue a notice to NYCDPR about the start of construction activity. At least 30 days in advance of the Order to Work Date, the Contractor must notify the New York City Department of Parks and Recreation (NYCDPR) of the upcoming start of construction by emailing interagency@parks.nyc.gov.

2. Parks Construction Permits are required for all work on Parkland. Construction Permits may also be required for work on sidewalks adjacent to Parks properties or other areas maintained by NYCDPR such as Greenstreets depending on the scope of work. It is the Contractor's responsibility to coordinate with Parks via email at <u>interagency@parks.nyc.gov</u> to establish whether Construction Permits are required for the contract scope of work.

3. The Contractor will not be permitted to store, stage, stockpile, barricade, lay down construction materials or equipment, or otherwise impede access to Parkland, Greenstreets, or sidewalks in the right-of-way fronting Park properties unless such permission is granted by NYCDPR via issuance of a Parks Construction Permit.

4. The Contractor must obtain the necessary Parks Construction Permit from NYCDPR prior to the start of work on Parkland or areas under Parks' jurisdiction. The Construction Permit application is found online at https://www.nycgovparks.org/permits/construction.

5. When no Construction Permit is required, the contactor must notify Parks at **interagency@parks.nyc.gov** at least one week in advance of any construction adjacent to Greenstreets or in the right-of-way fronting Parks properties to allow for coordination as needed.

6. The Contractor is responsible for the protection of any Greenstreets, sidewalks, and other landscape features under NYCDPR jurisdiction that are adjacent to or enclosed by the construction area, including hardscape, landscape, shrubs, and trees. Any areas and features disturbed or damaged during construction activity are the responsibility of the Contractor to restore and repair.

7. Many NYCDPR properties are indicated on the publicly accessible online mapping resource of the New York City Department of Information Technology and Telecommunications (DOITT) at <u>http://maps.nyc.gov/doitt/nycitymap</u>/. However, the map is not exhaustive, and Contractors should confirm Parks properties in the vicinity of their work with NYCDPR.

8. The Contractor must take necessary precautions to prevent interference with or damage to utilities or other facilities during construction. The cost of all work connected with maintaining and protecting utilities affected by the work be borne by the Contractor and the cost will be deemed included in the price bid for the various items in the contract.

9. In the event the Contractor damages an existing utility or interrupts utility service, the Contractor will immediately notify its owner and the Engineer and must commence repair/replacement work as instructed by the Engineer.

10. In the event the Contractor causes an interruption in utility service, the Contractor will immediately arrange for service to be restored and may not cease the repair work until service is restored. The Contractor will not continue work until the service is restored, unless otherwise directed by the Engineer. All corrective utility work will be acceptable to the engineer and the subject utility owner.

11. If any utility service or connection of unknown ownership is encountered during construction which appears to enter or serve Parkland, Contractor must contact Parks at <u>interagency@parks.nyc.gov</u> to inquire if Parks is the owner of such utility.

N. <u>START OF CONTRACT WORK</u>. The Contractor is notified that a Notice To Proceed (NTP) date will be issued for work to commence within 21 to 30 Days of Contract Registration.

O. <u>STANDARD WORKING HOURS:</u> In absence of OCMC Traffic Stipulations, Section 1.06.23 (P) of NYC DOT STANDARD HIGHWAY SPECIFICATIONS regarding standard working hours is to be followed is to be followed. Work performed outside the standard working hours must be pre-approved by NYC DDC.

P. <u>TREE BARRIERS</u>. The Contractor will furnish, install, maintain and subsequently remove temporary Protective Tree Barriers. Protective Tree Barriers will be Type B, unless otherwise directed by the Engineer, and will be constructed and installed as shown on the Protective Tree Barrier sketch in Department Of Transportation, Standard Highway Details Of Construction, Drawing No. H-1046A, as directed by the Engineer, and in accordance with Department of Parks and Recreation requirements.

Price of the tree barriers must be included in the in the unit prices bid for all scheduled items.

Q. <u>UTILITIES</u>. All utility locations and invert elevations are not guaranteed, nor is there any guarantee that all existing utilities, whether functional or abandoned within the project area are shown.

R. <u>HOUSE CONNECTIONS</u>. All existing house connections will be maintained and supported during construction. The Contractor will replace any existing house connection damaged as a result of the Contractor's construction operations as ordered by the Engineer at no cost to the City.

S. <u>STREET LIGHT AND TRAFFIC SIGNAL</u>. The Contractor is responsible for any damage to the existing street lighting and traffic signal equipment, including underground conduits and the safety of both pedestrian and vehicular traffic for the duration of the contract.

Should any conduits, cables or foundations need repair due to the Contractor's negligent operations during construction, all work will be performed according to NYCDOT Bureau of Traffic's Standard Drawings and Specifications and City of New York DOT System Engineering Specifications (dated November 2013) at the sole expense of the Contractor.

It is the Contractor's responsibility to secure an approved electrical Contractor to perform all traffic signal work (if any). For list of approved electrical Contractors, contact Bjorn Seedan or James Celentano, New York City Department of Transportation at (212) 839-3790.

T. <u>SAW CUT</u>. The Contractor is advised that where the existing roadway pavement is designated to be replaced from curb to curb, then no full depth saw cutting of pavement for sewer and water main trenches will be required, except at the limits of full width pavement restoration. No separate or additional payment will be made for any saw cutting.

U. <u>PRE-CONSTRUCTION STAGE.</u> The Contractor is advised that the Base Contract Duration (consecutive calendar days "ccds") must also include pre-construction stage from the Notice To Proceed date. During this stage the Contractor is required to submit the necessary shop drawings, obtain all permits and submit the health and safety plan for review and approval. The Engineer's field office will also need to be established during this pre-construction stage period. Failure to comply with the pre-construction stage requirements may result in assessing liquidated damages to the Contractor for everyday beyond the pre-construction stage duration. The liquidated damage will be of equivalent value as identified in the Schedule A for work beyond the construction completion date.

V. <u>EXISTING SEWERS, WATER AND APPURTENANCE</u>. The Contractor is notified that at some locations there may exists sewers, manholes, water mains, etc., which are to remain undisturbed and are in close proximity to the line of the proposed work. The Contractor exercise extreme care, minimize the trench width of the proposed sewers and take all necessary precautions in placing sheeting and during excavation of the trenches to prevent any damage to the existing structures, pavement, curbs, and sidewalks that are to remain while working adjacent to them. The Contractor maybe restricted to use wood sheeting at certain critical locations as directed by the Engineer. Should any damage occur to any portion of the existing structures that are to remain due to the Contractor's operations, the Contractor will make all repairs to the existing structures to the satisfaction of and as directed by the Engineer. The cost of such repair will be borne by the Contractor, at no cost to the City. Additional cost to use wood sheeting specifically to ensure integrity of existing sewer structures will be deemed included in all bid items for work.

W. <u>RECONNECTING EXISTING SEWERS TO NEW MANHOLES</u>. If there are locations on the contract plans, where the Contractor is required to reconnect all existing sewers to the proposed manholes in this contract. The said manholes will be fabricated to provide openings for the existing sewers at the specified invert elevations as shown on the contract drawings. The cost of reconnecting existing sewer pipes to new manholes, including concrete collar with steel reinforcements and/or grouting around the existing sewer pipes at the openings and all work necessary to complete the pipe reconnection, to the satisfaction of the Resident Engineer will be deemed included in the prices bid for all items of work. No additional payment will be made.

# [ARTICLE "X" IS ONLY APPLICABLE IF ITEMS FOR VIBRATION MONITORING ITEM NO. 76.31 IS IN THE BID SCHEDULE]

X. <u>VIBRATION MONITORING</u>. In case of structures requiring vibration monitoring, the Contractor, in addition to Continuous Real Time Monitoring for Vibrations as determined in the Construction Report must provide Continuous Real Time Monitoring for Vibrations of existing buildings/structures adjacent to or in the proximity of different types of construction activities being conducted including, but not limited to, installation of sheeting for construction of proposed water and sewer mains, installation of sheeting for excavation of jacking/receiving pits, direct jacking of sewers, piling work or as directed by the Engineer.

Y. <u>CITY ASSETS</u>. The Contractor is advised that any City owned light poles, traffic signals, street name signs, traffic signs and encumbrances including, but not limited to, underground conduit displaced as the result of the installation of the new sewers, water mains, catch basins, catch basin

connections and appurtenances will be replaced in kind and as directed by the Engineer. The cost of such work will be deemed included in the prices bid for all items of work under this contract.

#### [ARTICLE "Z" IS ONLY APPLICABLE FOR WATERMAIN 24-INCHES AND HIGHER ]

Z. <u>"AS-BUILT" DRAWINGS FOR WATER MAINS AND APPURTENANCES 24-INCHES (600-MM.) AND LARGER</u>: Upon the completion of the work for each Capital Project and as a condition precedent to obtaining the certificate for Substantial Completion for each Capital Project under Article 44 of the Contract, the Contractor will furnish "As-Built" drawings for water mains and appurtenances 24-inches and larger to the City. The Contractor will prepare and submit the "As-Built" record drawings to the Engineer for approval. Approved "As-Built" drawings will be delivered to the Department of Design and Construction, 30-30 Thomson Avenue, Long Island City, New York, 11101-3045. The following guideline is provided for the preparation of "As-Built" record drawings:

1. The Contractor will prepare the "As-Built" drawings on AutoCAD and will provide to the City two (2) sets of Mylar and AutoCAD files on a CD. The drawings on CD's and the plotted Mylar's will conform to the standard size of 22" x 36" (559-mm. x 914-mm.) using a 1"=30' (1:360) horizontal and 1"=10' (1:120) vertical scale. The Mylar will be 3-mil in thickness.

2. The "As-Built" drawings will include but not be limited to the following guidelines summarized below:

(a) Drawings will consist of the same legend and layout of title boxes shown on the contract drawings.

(b) Each plotted Mylar drawing will contain the signature and stamp of the Contractor's NYS Professional Engineer/Registered Architect.

(c) The drawings will include:

- •street name and crossing street(s) or distance from;
- •north arrow;

•property lines and widths;

- •legal and existing street widths, street alignment and grades;
- "new" curb lines and widths;
- •water main center line measured off the "new" curb line;
- •horizontal stationing for all valves, hydrants, outlets, blow-offs, house service connections, etc., measured on a horizontal line as established by the Borough Office Bureau of Topographic;

•alignment and appurtenance location stationing, and deflection angles;

•cover and elevations (Datum used will be that of the Borough where work is located);

location of pipe joints;

•profile of all piping;

•complete details of all outlet piping roundabouts;

•complete details of all blow-off connections to the sewer;

•complete details of all air cocks;

- •location of taps and access manholes;
- location of all cathodic protection stations;
- •Venturi sensing lines plans and profiles;

•all appropriate notes.

3. The cost of preparing and submitting "As-Built" approved drawings will be deemed included in the prices bid for all scheduled bid items in the contract. No separate or additional payment will be made for this work.

AA. <u>NO ADDITIONAL PAYMENT</u>. The Contractor is advised that any fences, guardrails, boulders, asphalt walkway of the park, fixtures, other encumbrances removed within project limits during construction will be replaced in kind to the satisfaction of the Engineer. The cost of such work will be deemed included in the prices bid for all contract items of work and no additional or separate payment will be made.

AB. <u>SHEETING AND EXCAVATION AT TRANSIT FACILITIES</u>. In case of transit facilities like MTA, LIRR, METRO NORTH etc., the Contractor will exercise extreme caution and take all necessary precautions in placing sheeting and excavation to prevent any damage to the existing underground or overhead structures and its appurtenances during construction work throughout the project area. The Contractor must take full responsibility to protect the said structures and its appurtenances and any damage caused by the Contractor's operations must be made good by the Contractor to the satisfaction of the Engineer at no additional cost to the City.

The Contractor must submit shop drawings to the Transit facilities showing all the details and methods of construction, such as, sheeting and bracing, including the Contractor's procedure and sequence of construction, supporting and/or protection of the existing structures and its appurtenances, with necessary design calculations for approval prior to starting of the construction. The design will be made by a New York State Licensed Professional Engineer skilled in this type of construction and as further evidenced by the imprint of Professional Engineer's seal and signature on all drawings. The cost of this work will be deemed included in the price bid for all items of work under this contract.

AC. <u>ARCHAEOLOGICAL DISCOVERIES</u>. The Contractor is notified that if requested by the Resident Engineer and the City, the Contractor will be required retain the services of an Archaeologist (the "City's Archaeologist") for this project.

The City's Archaeologist will be notified in advance and will be present on site during sub-surface excavations as deemed necessary. The City's Archaeologist will be authorized to halt construction at any time in order to record and/or recover any archaeological resources encountered during excavations, and to stabilize in place any human remains encountered.

For the purpose of evaluating and recording archaeological resources, the City's Archaeologist will be allowed to enter trenches provided all standard safety requirements are met. It is understood that some construction down time may be necessary.

In the event that human remains, and/or other significant archaeological deposits are encountered during construction or archaeological investigations, Landmarks Preservation Commission (LPC) will be notified as directed by the City's Archaeologist and the State Historic Preservation Office (SHPO) requires that the following protocol is implemented:

1. At all times human remains must be treated with the utmost dignity and respect. Should human remains be encountered work in the general area of the discovery will stop immediately and the location will be immediately secured and protected from damage and disturbance.

2. Human remains or associated artifacts will be left in place and not disturbed. No skeletal remains or materials associated with the remains will be collected or removed until appropriate consultation has taken place and a plan of action has been developed.

3. The County coroner and local law enforcement as well as the SHPO and the involved agency will be notified immediately. The coroner and local law enforcement will make the official ruling on the nature of the remains, being either forensic or archeological. If the remains are archeological in nature, a bio-archaeologist will confirm the identification as human.

4. If human remains are determined to be Native American, the remains will be left in place and protected from further disturbance until a plan for their protection or removal can be generated. The involved agency will consult SHPO and appropriate Native American groups to determine a plan of action that is consistent with the Native American Graves Protection and Repatriation Act (NAGPRA) guidance.

5. If human remains are determined to be Euro-American, African- American, etc., the remains will be left in place and protected from further disturbance until a plan for their avoidance or removal can be generated. Consultation with the SHPO and other appropriate parties will be required to determine a plan of action.

Should extra work be ordered by the Resident Engineer as a result of any archaeological discoveries, it will be paid for from the Fixed Sum included in, and in accordance with Item HW-908 Allowance for Extra Work Due To Archaeological Discoveries.

AD. <u>USE OF CITY WATER</u>. Please refer to NYCDOT STANDARD HIGHWAY SPECIFICATIONS (May 16, 2022), Sub Section 1.06.23 (A), Rules, Laws, and Requirements, for use of City water.

AE. PUBLIC DISSEMINATION OF INFORMATION. The Contractor agrees to hold confidential, both during and after the completion or termination of this Contract, all of the reports, information, or data, furnished to, or prepared, assembled or used by, the Contractor under this Contract. The Contractor agrees to maintain the confidentiality of such reports, information, or data by using a reasonable degree of care, and using at least the same degree of care that the Contractor uses to preserve the confidentiality of its own confidential information. The Contractor agrees that such reports, information, or data will not be made available to any person or entity without the prior written approval of the Commissioner. The obligation under this Section to hold reports, information or data confidential will not apply where the Contractor is legally required to disclose such reports, information or data by virtue of a subpoena, court order or otherwise ("disclosure demand"), provided that the Contractor complies with the following: (1) the Contractor will provide advance notice to the Commissioner, in writing or by e-mail, that it received a disclosure demand for such reports, information or data and (2) if requested by the Commissioner, the Contractor will not disclose such reports, information or data until the City has exhausted its legal rights, if any, to prevent disclosure of all or a portion of such reports, information, or data. The previous sentence will not apply if the Contractor is prohibited by law from disclosing to the City the disclosure demand for such reports, information or data.

The Contractor will restrict access to confidential information to persons who have a legitimate workrelated purpose to access such information. The Contractor agrees that it will instruct its officers, employees, and agents to maintain the confidentiality of any and all information required to be kept confidential by this Contract.

The Contractor, and its officers, employees, and agents will notify the Commissioner, at any time either during or after completion or termination of this Contract, of any intended statement to the press or any intended issuing of any material for publication in any media of communication (print, news, television, radio, Internet, etc.) regarding the services provided or the data collected pursuant to this Contract at least twenty-four (24) hours prior to any statement to the press or at least five (5) business days prior to the submission of the material for publication, or such shorter periods as are reasonable under the circumstances. The Contractor may not issue any statement or submit any material for publication that includes confidential information as prohibited by this Section.

At the request of the Commissioner, the Contractor will return to the Commissioner any and all confidential information in the possession of the Contractor or its Subcontractor. If the Contractor or its Subcontractor are legally required to retain any confidential information, the Contractor will notify the Commissioner in writing and set forth the confidential information that it intends to retain and the reasons why it is legally required to retain such information. The Contractor will confer with the Commissioner, in good faith, regarding any issues that arise from the Contractor retaining such confidential information. If the Commissioner does not request such information, or the Law does

not require otherwise, such information will be maintained in accordance with the requirements set forth in the Contract Documents.

AF. <u>PRICES TO INCLUDE</u>. No direct payment will be made for costs incurred in complying with the foregoing Special Provisions, unless otherwise provided. Said costs will be deemed to have been included in the prices bid for all the scheduled contract items.

# (B)HIGHWAY PROJECT SPECIFIC PROVISIONS

#### 1. INSPECTION FORM / TECHNICAL INFEASIBILITY FORM (TIF).

- a. Prior to the determination of Substantial Completion for the Project, all locations constructed under this Contract must be substantially completed and accompanied by an approved Pedestrian Ramp Inspection and Sidewalk Management System (PRISM) inspection form and where applicable, approved technical infeasibility form(s).
- b. The approved PRISM inspection form will be the determination made by the Engineer informing the Contractor that the pedestrian ramps are accepted or rejected as non-compliant with Contract Specifications and thereby instructing the Contractor to reconstruct the rejected pedestrian ramps at no cost to the City.
- c. Prior to concreting a pedestrian ramp, if any location cannot be built compliant due to existing structures, topography or trees, then the Contractor must immediately request the presence of the Resident Engineer during the framing phase. The Contractor will layout the ramp by placing nail stakes at all grade changes (ramp, landing, sidewalk approaches etc.) in the concrete sidewalk, and explain to the Resident Engineer which elements of the ped ramp cannot be built compliant and why. The Resident Engineer will either approve or disapprove the request for Technical Infeasibility and document such decisions. The Contractor is then required to complete a Technical Infeasibility form (TIF) no later than two (2) weeks after construction. All TIFs submitted to the Engineer must be signed and sealed by a licensed Professional Engineer registered in New York State. No separate payment will be made to the Contractor for services of Professional Engineer and the price to be deemed included into the price of all pedestrian ramp items. All TIFs must be approved by the Engineer otherwise the pedestrian ramp must be re-installed to be ADA compliant at no additional cost to the City.

The PRISM inspection form may be found at the following website: https://www.nycpedramps.info/sites/default/files/2023-03/TI\_23-001\_Pedestrian\_Ramp\_Inspection\_Application\_PRISM.pdf

The TIF may be found at the following website:

https://www.nycpedramps.info/sites/default/files/2023-01/DOT Technical-Infeasibility-Form v2.0.pdf

#### 2. <u>PERMITS</u>.

- a) Contractors must obtain Series 04, Type 412 Sidewalk Construction permits for all locations that require modification and/or installation of pedestrian ramps. Permits will be procured by the Contract at no cost to the City.
- b) For all work locations within 200' of an MTA facility, the Contractor is required to enter into an agreement with the MTA to secure permits to work near their facility. Any costs associated with MTA providing said approvals will be reimbursed under item number 9.29.
- c) The Contractor must obtain all Department of Parks and Recreation Tree work permit(s) for all locations where installation of pedestrian ramps are within 50 feet of a tree under City jurisdiction. Permits will be procured by the Contractor at no cost to the City.
- 3. <u>ALTERNATE PEDESTRIAN ACCESS.</u> Throughout all phases of construction, where pedestrian access routes are closed, alternate pedestrian access routes must be provided. When existing pedestrian facilities are disrupted, closed, or relocated in a Temporary Traffic Control (TTC) zone, the temporary facilities will be detectable and will include accessibility features, such as ramps and railings if required. Temporary pedestrian access routes must be designed to allow passing throughout and must comply with the minimum requirements of Manual on Uniform Traffic Control Devices (MUTCD) and Public Right-of Way Accessibility Guidelines (PROWAG). The included herein Subsection <u>Mandatory MPT</u> (Maintenance and Protection of Traffic) plans and notes for this project outline these requirements and any related layouts to the Contractor. If the Contractor encounters a field condition where the included Mandatory MPT plan is not applicable, they are required to provide a new plan signed and sealed by a licensed Engineer.

#### 4. PROTECTION OF DRAINAGE STRUCTURES

(A) Provide sandbag to all curb cuts at all green infrastructure assets.

(B) Install a 3' high barrier around all adjacent and downstream bioswales and wrap a silt fence around the perimeter of the bioswale. For bioswales with trees, provide a 6 feet high barrier with silt fence or as directed by the Engineer.

- (C) Install sediment control at all adjacent and downstream catch basins.
- 5. <u>RETURNED CONCRETE CURB.</u> Returned concrete curbs are only to be installed where pedestrian cross travel is not allowed. Returned concrete curbs are to be built against an adjacent property line, around non-walkable area or as directed by the Engineer whenever existing sidewalk grades are to be lowered.

Construction of Returned Concrete Curb must follow SECTION 4.08 of NYCDOT Standard Specifications and the details provided in Technical Bulletin (TB 22-002) Titled H-1060 Steel Faced Curb at Pedestrian Ramps and Sidewalk Curb at <u>https://www.nycpedramps.info/sites/default/files/2022-08/TB\_22-002%20-%20H-</u> <u>1060%20Steel%20Faced%20Curb%20at%20Pedestrian%20Ramps%20and%20Sidewalk%</u> <u>20Curb%20-%20signed.pdf</u>

6. <u>CAPACITY.</u>

(A) <u>Required Production Rates.</u> This Contract is being bid for reconstruction of pedestrian ramps at approximately 1000 locations provided below with the requirement that the Contractor has the capacity to successfully execute up to 8 simultaneous Locations and complete a minimum of 72 Locations per month during the construction season. Failure to comply may result in the Contractor

being declared in default of the Contract under the requirements of Article 48 of the Standard Construction Contract.

A Pedestrian Ramp Location can consist of, but not limited to:

- a standard 2-ramp corner with or without returned concrete curb
- parallel ramps
- directional ramps
- blended transitions
- a mid-block ramp
- Island cut-through ramps

(B) <u>Capacity for Contract Award.</u> Prior to award, the Contractor must prove that the Contractor meets the following capacity requirements:

- i. <u>Cash Flow.</u> The Contractor must show financial statements showing that the Contractor has sufficient access to liquid capital equal to or greater than 25% of the total Bid Price.
- ii. <u>Equipment.</u> The Contractor must show access to sufficient equipment, particularly backhoes and dump trucks, to meet the required production rates in Article 6 (A) above. In order to show access to sufficient equipment, the Contractor must identify the quantities of equipment necessary to meet the required production rates.
- iii. <u>Manpower.</u> The Contractor must show access to sufficient craft labor to meet the required production rates in Article 6 (A) above.
- iv. <u>Supervision.</u> The Contractor must identify the field supervisors or superintendents who will supervise the work under this contract. The identified superintendents and supervisors must attend the pre-award meeting. For each superintendent and supervisor, the Contractor must provide the resume and relevant experience of the individual, including, at a minimum, all relevant experience of the individual for the three (3) years prior to the bid date. Sufficient superintendents must be identified and provided, but in any event not less than one (1) superintendent for every four (4) work crews.

7. <u>LOCATIONS</u>. This Contract will be for work within the boundaries of the CBs (Community Boards) 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11 and 12 in the borough of Manhattan and CBs 1, 2 and 3 in the borough of Queens. The Engineer will select and obtain Locations for submission to the Contractor. Locations for this contract will be furnished to the Contractor by written Work Order.

#### 8. NOTICE TO PROCEED AND WORK ORDERS.

(A) <u>Notice to Proceed and Initial Work order.</u> The Contractor is hereby advised that the "Notice to Proceed" and first Work Order, with the work for the first location or group of locations, will be given promptly after registration and, pursuant to Article 8 of the Standard Construction Contract, the Contractor must commence work on the date specified in the written notice signed by the Commissioner.

(B) <u>Issuance of Work Orders.</u> Following issuance of the "Notice to Proceed" and as the need for services arises, the Engineer will issue a written Work Order to the Contractor. The Work Order will include the following:

- i. Location of Work
- ii. Scope of Work (i.e. type of ramp to be built, number of ramps to be built in the corner, etc.).

- iii. Technical Infeasibility (TI) Requests made by the Contractor at the time of the joint inspection.
- iv. Presence of city trees within 50' of the estimated construction limits. Tree permits and tree surveys not needed for locations marked as "No".
- v. The time frame for completion of all required services, based on the required production rate of seventy two (72) Locations per month, typically not more than 7 calendar days per location.
- vi. The required mobilization period to begin construction, typically fourteen (14) calendar days. The Contractor must verify the information in the field and discrepancies, if any, will be reported to the Engineer. The Contractor will inspect each work location jointly with the Engineer, at least two (2) weeks in advance of the start of construction at each location, to determine possible interferences of the existing facilities with the installation of pedestrian ramps. All request for a TI application must be made at the joint inspection. Where private utility facilities, such as telephone booths, utility poles, electric vaults, etc., interfere with the installation of pedestrian ramps, the Contractor will notify those private utility facility operators at least two (2) weeks in advance of the starting work at each location. If mail box relocation is required, the U.S. Post Office will be notified. Installation of pedestrian ramps at each location will not start prior to the removal of interferences, as determined by the Engineer. Where existing bollards, guard booths, non-City-owned signs and sign posts, etc., cause interference with the installation of pedestrian ramps, they will be carefully removed and either delivered to the property owner or disposed of away from the site, as directed by the Engineer, at no cost to the City.
- (C) <u>Execution of Work Orders.</u> The Contractor must mobilize and begin work within the mobilization period specified in the Work Order and complete all work, including the reconstruction of non-compliant ramps as directed by the Engineer, within the time frame for completion specified in the Work Order.
  - Separation of Work Orders. Every work order series issued may be split into 4 i. separate work orders where the work locations are categorized based on the presence of trees, MTA facilities or whether Granite Curb/Survey Monuments are specified. Each Work Order series will typically be named "Work Order 1", "Work Order 1-Tree", "Work 1-Order MTA". "Work Order 1- MISC". The contractor is required to complete Work Order 1 first, followed by Work Order 1-Tree, Work Order 1-MTA and Work Order 1-MISC. Since the lead time for tree permits, MTA permits, and the procurement of survey monuments and granite curbs can be lengthy, the contractor is expected to start these processes upon issuance of the Work Order series.
  - ii. The Contractor must provide sufficient work force crews to successfully execute up to 8 simultaneous locations specified in the Work Order. Each work force crew will be defined as a sufficient number of workers with adequate supervision, support staff and equipment necessary to perform the work efficiently as specified herein and directed by the Engineer. Where the Contractor can demonstrate to the Engineer that the Contractor has substantially completed work at a corner, the Contractor may be permitted to start work at additional locations, on a one-to-one basis, at the sole discretion of the Engineer.
  - iii. In the event the Contractor fails to complete all required services set forth in the Work Order within the specified time frame plus authorized time extensions, liquidated damages will be assessed on a daily basis in the amount specified in Schedule A.

- iv. Contractor is required to provide a land survey of the sidewalk corner quadrant and sidewalk transition areas, whenever the Contractor needs to document that the corner cannot be build ADA-compliant due to the existing field conditions, or at the request of the engineer.
- v. There cannot be more than 8 corners pending pavement key or final asphalt restoration at any given time. Final restoration for any individual corner must be completed within 7 days of concreting operation. New pavement markings must be reinstalled within 14 days of concreting operation.
- (D). <u>Completion of Work Orders</u>. The Contractor must finish all work by the date specified on the signed Work Order. This includes repair of non-compliant ramps, final pavement restoration, sealing of the asphalt joints, painting of the steel face curb, caulking of the expansion joints, and all other work as required by the specifications. The time allowed for the completion of each Work Order includes the time to relocate any existing survey monuments and the time to furnish and deliver granite/bluestone curbs or sidewalk material. All Technical Infeasibility Forms must be submitted within 14 days of concreting operation. See Sections above for requirements on submission of Technical Infeasibility Forms.

9. <u>GUARANTEED MINIMUM</u>. In the event the Contractor is not directed to perform any services hereunder, the City agrees to pay, and the Contractor agrees to accept, a fee of \$2,000. The Contractor further agrees that under such circumstances, it has no action for damages or for loss of profits against the City. In addition, if no services are ordered, the City agrees to reimburse the Contractor the actual and reasonable cost of required performance and payment bonds, with no mark up for overhead and profit. In its request for reimbursement, the Contractor will provide a copy of the cancelled check for the required bonds, as well as any other documentation required by the Commissioner.

10. <u>SCHEDULE OF WORK</u>. The Contractor must prepare a progress schedule, in accordance with the requirements of Article 9 of the Contract, based on working at the same time at not more than 8 active locations. Where the Contractor's work operations are not able to meet its approved progress schedule, the Engineer may order the Contractor to provide additional work force as may be necessary. Failure to comply with such orders within seven (7) calendar days after the written notice from the Engineer may result in the Contractor being declared in default of the Contract in accordance with the procedure contained in Article 48 of the Standard Construction Contract.

NOTE: THE DEPARTMENT RESERVES THE RIGHT TO:

(A) Substitute alternate and equivalent locations contiguous to the described locations should the need to delete any of the preceding locations arise;

(B) Delete any locations if funding is insufficient to complete all of this work;

(C) Delete any location where any of the following conditions are encountered: a vault structure interferes with construction of ramp; Transit Authority structure interferes with construction of ramp; or, a distinctive or pigmented sidewalk must be installed.

(D) Delete any item of work where directed by the Engineer.

11. <u>TEMPORARY RESTORATION NOT ALLOWED</u>. No temporary restoration of asphaltic concrete work is allowed. All such temporary asphalt restoration work where required must be done as permanent restoration within 7 days of completion of the constructed pedestrian ramp, or as directed by the engineer. Failure to complete the permanent restoration within the required time will

result in assessment of Liquidated Damages at the rate set forth in Schedule A. Work done under permanent restoration will be paid accordingly as per the relevant BID SCHEDULE items, e.g. 4.02 CB, 4.04 H, etc.

12. <u>Item 6.44</u> - The NYC DOT Standard Specifications Section 6.44.3(F) entire text is modified herein as following:

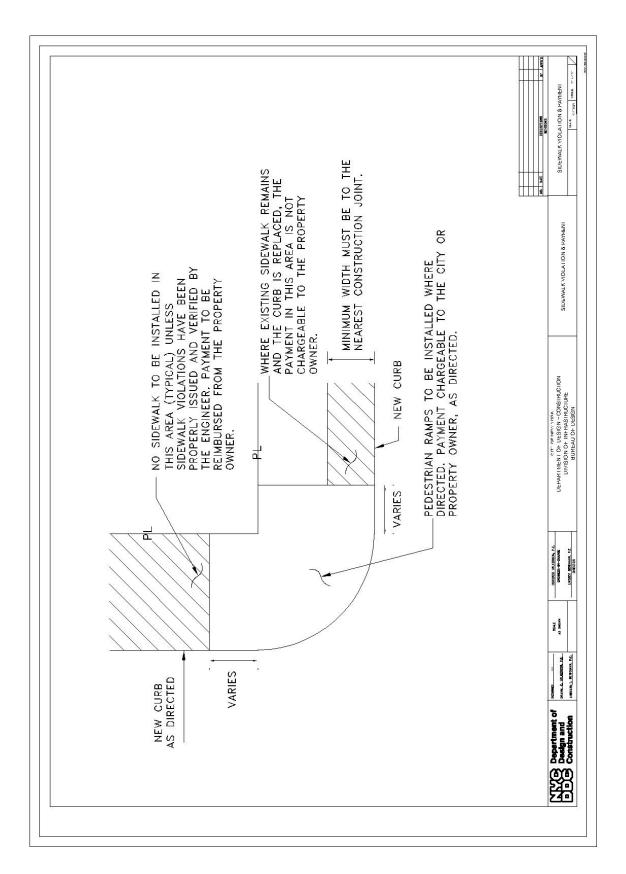
# Preformed reflectorized pavement markings from NYSDOT Approved List 727-04 may be used for all pavement marking restorations, at no extra cost.

13. <u>PAVEMENT MARKINGS</u>. Any modification(s) to proposed crosswalk pavement markings must be reviewed and approved by NYCDOT's Design and Construction unit. NYCDOT will require the Engineer to develop crosswalk pavement markings record drawings (if required) or other sketches for review and approval before installation.

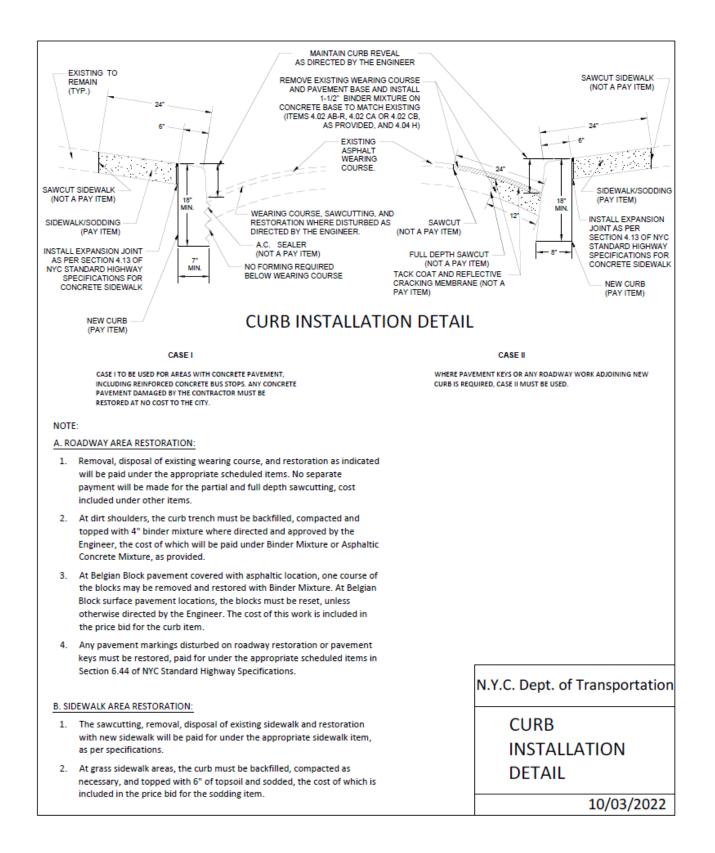
14. <u>PEDESTRIAN RAMP WIDTH</u>. 5' Wide Ped Ramps are to be built for all Directional and Perpendicular ramps.

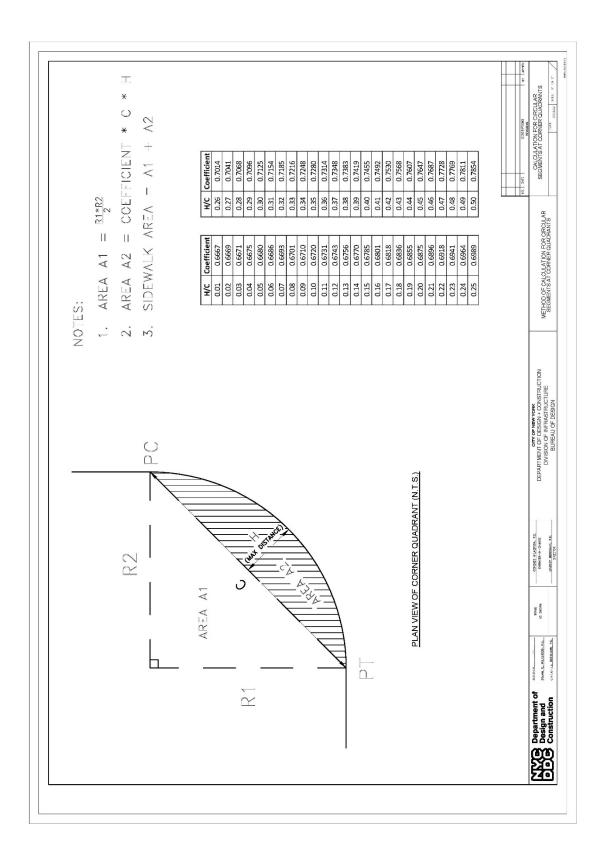
15. <u>PRICES TO INCLUDE</u>. No direct payment will be made for costs incurred in complying with the foregoing Special Provisions, unless otherwise provided. Said costs will be deemed to have been included in the prices bid for all the scheduled contract items.

#### **DRAWINGS AND SKETCHES**



S-16





July 6, 2020

### 4. OCMC STIPULATIONS

#### OCMC TRAFFIC STIPULATIONS

 OCMC FILE NO:
 CEC-12-124 Amendment #1

 CONTRACT NO:
 All Sidewalk, Curb and Pedestrian Ramp Repair/Replacement Contracts Citywide

 PROJECT:
 Sidewalk, Curb and Pedestrian Ramp Repair/Replacement Citywide

 LOCATION(S):
 VARIOUS LOCATIONS CITYWIDE

PERMISSION IS HEREBY GRANTED TO THE **NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION.** AND ITS DULY AUTHORIZED AGENT, TO ENTER UPON AND RESTRICT THE FLOW OF TRAFFIC AT THE ABOVE LOCATION(S) FOR THE PURPOSE OF CARRYING OUT THE ABOVE NOTED PROJECT, SUBJECT TO THE STIPULATIONS, AS NOTED BELOW:

#### I. SPECIAL STIPULATIONS

- A. <u>EMBARGOES</u> A CONSTRUCTION EMBARGO WILL APPLY TO THOSE LOCATIONS BELOW WHICH FALL WITHIN THE <u>HOLIDAY EMBARGO</u> OR ANY OTHER SPECIAL EVENT EMBARGOES PUBLISHED BY THE BUREAU OF PERMIT MANAGEMENT AND CONSTRUCTION CONTROL.
- BIKE LANES FOR ANY WORK IN OR AFFECTING A BIKE LANE, THE PERMITTEE MUST COMPLY WITH THE <u>NEW</u> <u>GUIDELINES FOR THE MANITENANCE & PROTECTION OF TRAFFIC PLAN FOR CYCLING</u>, WHICH ARE AVAILABLE AT:

#### HTTPS://WWW1.NYC.GOV/HTML/DOT/DOWNLOADS/PDF/BIKE-MPT-GUIDELINES.PDF

C.

- D. <u>BIKE SHARE STATIONS</u>: THE PERMITTEE SHALL NOT REMOVE, RELOCATE, DAMAGE OR DISRUPT THE OPERATION OF EXISTING BIKE SHARE STATIONS WITHOUT FIRST CONTACTING NYC BIKE SHARE AT 855-245-3311 FOR THEIR REGUIREMENTS PRIOR TO COMMENCING WORK.
- E. <u>CITYBENCH</u>: THE PERMITTEE SHALL NOT REMOVE, RELOCATE, DAMAGE OR DISRUPT AN EXISTING CITYBENCH WITHOUT FIRST CONTACTING NYC DOT AT 212-839-6569, OR VIA EMAIL AT <u>CITYBENCH@DOT.NYC.GOV</u> PRIOR TO COMMENCING WORK.
- F. <u>PROTECTION OF NYC DEP GREEN INFRASTRUCTURE</u>; THE PERMITTEE SHALL TAKE PRECAUTION OF NYC DEP GREEN INFRASTRUCTURE IN THE RIGHT-OF-WAY. THE PERMITTEE MUST PROTECT NYC DEP GREEN INFRASTRUCTURE DOWNSTREAM OF THE WORK OR WITHIN FIVE (5) FEET OF THE WORK AREA. THE PERMITTEE MUST EMAIL NYC DEP AT <u>SUSTAINABILITY@DEP.NYC.GOV</u> FOR PROTECTION REQUIREMENTS PRIOR TO COMMENCING WORK. THE PERMITTEE IS RESPONSIBLE FOR RESTORATION OF DAMAGED NYC DEP INFRASTRUCTURE AS DIRECTED BY NYC DEP.
- G. <u>BUS STOPS</u> THE PERMITTEE SHALL PROVIDE WRITTEN NOTICE TO NYC DOT OCMC AND NEW YORK CITY TRANSIT (NYCT) A MINIMUM OF FIVE (5) WEEKS IN ADVANCE FOR LANE/STREET CLOSURES THAT AFFECT BUS ROUTES/BUS STOPS.
- H. <u>STREET LIGHTS / TRAFFIC SIGNALS</u>: THE PERMITTEE SHALL NOT REMOVE OR RELOCATE EXISTING STREET LIGHTS OR TRAFFIC SIGNALS WITHOUT FIRST OBTAINING APPROVAL FROM NYCDOT STREET LIGHTING / TRAFFIC SIGNALS UNIT.
- I. <u>TRAFFIC CAMERAS, DETECTION/COMMUNICATION EQUIPMENT</u>: IF AT ANY TIME DURING THE APPROVED WORK, THE PERMITTEE ENCOUNTERS TRAFFIC SURVEILLANCE CAMERAS, DETECTION EQUIPMENT OR ANY TYPE OF COMMUNICATION EQUIPMENT (WIRELESS OR HARD-WIRED) ON ANY NYC DOT FACILITY, THAT IS NOT INCLUDED ON THE DESIGN/BUILD DRAWINGS, THE PERMITTEE SHALL IMMEDIATELY NOTIFY NYC DOT TRAFFIC MANAGEMENT BY PHONE AT 718-433-3390 OR 718-433-3340 AND VIA EMAIL AT <u>IMC@DOT.NYC.GOV</u> AND AWAIT DIRECTION PRIOR TO CONTINUING WORK.
- J. <u>METERS</u> THE PERMITTEE SHALL NOT REMOVE OR RELOCATE PARKING METERS WITHOUT FIRST OBTAINING APPROVAL FROM NYCDOT PARKING METER DIVISION AT 718 – 894 – 8651.

- K. <u>TEST PITS</u> The below traffic stipulations do not apply to test pit work related to this contract. Work hours and other requirements for test pit operations may differ from the stipulations identified below. The Permittee shall be required to obtain separate permits related to test pits.
- L. <u>TEMPORARY PARKING REGULATIONS/PAVEMENT MARKINGS</u> THE PERMITTEE IS REQUIRED TO INSTALL, MAINTAIN AND REMOVE ALL NECESSARY TEMPORARY PARKING AND REGULATORY SIGNS AND PAVEMENT MARKINGS, AND RESTORE THEIR ORIGINAL CONDITION PER NYC DOT STANDARDS, PRIOR TO EXPIRATION OF THEIR PERMITS. THE PERMITTEE OR AGENCY PERFORMING PUBLIC OUTREACH SHALL POST AND MAINTAIN ADVISORY SIGNS A MINIMUM OF 48 HOURS PRIOR TO CHANGING EXISTING PARKING REGULATION SIGNS TO APPROVED TEMPORARY CONSTRUCTION PARKING REGULATION SIGNS. THE ADVISORY SIGNS SHOULD BE POSTED ON ALL POLES AND DRIVE RAILS ON THE SEGMENT AFFECTED, INDICATING THE DATE OF THE CHANGE, THE NEW REGULATIONS AND A TELEPHONE NUMBER TO OBTAIN MORE INFORMATION.
- M. <u>ACCESS TO ABUTTING PROPERTIES</u> THE PERMITTEE SHALL COORDINATE ALL ACTIVITIES WITH ABUTTING PROPERTY OWNERS TO ENSURE ACCESS IS PROVIDED TO/FROM ENTRANCES/DRIVEWAYS AT ALL TIMES.
- N. <u>AUTHORIZED PARKING</u> PRIOR TO PERFORMING WORK WHICH IMPACTS AUTHORIZED PARKING, THE PERMITTEE SHALL SUBMIT IN WRITING, AND COPY OCMC-STREETS, A REQUEST TO OCCUPY SPACE CURRENTLY USED BY AUTHORIZED VEHICLES. APPROVAL MUST BE RECEIVED FROM AUTHORIZED PARKING PRIOR TO OCCUPYING THESE AREAS.
- O. <u>NOTIFICATION</u> THE PERMITTEE MUST AT LEAST TWO (2) WORKING DAYS BEFORE THE START OF CONSTRUCTION NOTIFY THE NYC FIRE DEPARTMENT, NYC POLICE DEPARTMENT, NYCEMS, LOCAL COMMUNITY BOARD, BOROUGH PRESIDENT'S OFFICE-CHIEF ENGINEER, NYCDOT OCMC OFFICE, AND ALL ABUTTING PROPERTY OWNERS.
- P. <u>CONSTRUCTION INFORMATIONAL SIGNS</u> This project requires a Construction Project Informational Sign (CPIS) in accordance with NYCDOT Highway Rule Section 2-02 (4) and (5). Criteria and a prototype for this sign may be found on the NYCDOT website at:

HTTP://WWW.NYC.GOV/HTML/DOT/DOWNLOADS/PDF/DOT\_CPIS\_DIRECTIONS.PDF

- Q. ENHANCED MITIGATIONS
  - "NO STANDING ANYTIME-TEMPORARY CONSTRUCTION" SIGNS AND TEMPORARY PAVEMENT MARKINGS SHALL BE INSTALLED AND MAINTAINED AS WARRANTED BY THE MAINTENANCE AND PROTECTION OF TRAFFIC (MPT) REQUIRED TO FACILITATE TRAFFIC MOVEMENTS THROUGH THE WORK ZONE. ALL TEMPORARY SIGNS AND PAVEMENT MARKINGS SHALL BE REMOVED UPON COMPLETION OF THE PROJECT.
  - COMMUNITY OUTREACH SHALL BE PROVIDED FOR THE DURATION OF THE PROJECT.

#### II. MAINTENANCE AND PROTECTION OF TRAFFIC

#### NON-CRITICAL ROADWAY

#### A. ROADWAYS UP TO 44 FEET IN WIDTH:

- 1. Work hours shall be as follows:
  - a. Monday to Friday 7am to 6pm.
  - b. Saturday 8am to 6pm.
  - c. Sunday 9am to 6pm.
  - d. In school zones when school is in session work Monday to Friday 9am to 2pm, an authorization letter from the school principal is required. When school is not in session above work times may apply.
  - e. A school variance (VAR001) is granted by as stipulated by DOT.
  - f. Should NO STANDING rush hour regulations apply adjacent/opposite of the work zone then the hours specified on the regulation will apply. <u>OCMC streets should</u> <u>be contacted in writing by the engineer in charge only in they are requesting a</u> <u>waiver and consideration to work during restricted hours.</u>
- Contractor must maintain (1) 11-foot lane for traffic on a one-way street and (2) 11-foot lanes one in each direction on a two-way street.
- Contractor shall provide a 5-foot clear sidewalk or a 5-foot clear pedestrian walkway in the roadway at all times. Occupancy of NO STOPPING ZONES, NO STANDING ZONES and AUTHORIZED PARKING is prohibited. If a pedestrian walkway in the roadway is used it must be ramped for handicapped access. All crosswalks must be opened to pedestrians.
- 4. Contractor shall not work on opposite sidewalks/curbs simultaneously.

#### B. ROADWAYS 45 FEET OR GREATER IN WIDTH

- 1. Work hours shall be as follows:
  - a. Monday to Friday 7am to 6pm.
  - b. Saturday 8am to 6pm.
  - c. Sunday 9am to 6pm.
  - d. In School zones when school is in session work Monday to Friday 9am to 2pm. An authorization letter from the school principal is required. When school is not in session above work times may apply.
  - e. A school variance (VAR001) is granted by as stipulated by DOT.
  - f. Should NO STANDING rush hour regulations apply adjacent/opposite of the work zone then the hours specified on the regulation will apply. <u>OCMC streets should</u> <u>be contacted in writing by the engineer in charge only if they are requesting a</u> <u>waiver and consideration to work during restricted hours.</u>
  - g. In school zones when school is in session work Monday to Friday 9am to 2pm. An authorization letter from the school principal is required. When school is not in session above work times may apply

- Contractor must maintain (1) 11-foot lane for traffic on a one-way street and (2) 11-foot lanes one in each direction on a two-way street.
- Contractor shall provide a 5-foot clear sidewalk or a 5-foot clear pedestrian walkway in the roadway at all times. Occupancy of NO STOPPING ZONES, NO STANDING ZONES and AUTHORIZED PARKING is prohibited. If a pedestrian walkway in the roadway is used it must be ramped for handicapped access. All crosswalks must be opened to pedestrians.
- 4. Contractor shall not work on opposite sidewalks/curbs simultaneously.

#### CRITICAL ROADWAYS

<u>NOTE:</u> On some roadways with high pedestrian/vehicular volumes (e.g. Times Square, Downtown Brooklyn, Queensboro Plaza) OCMC-Streets reserves the right to determine the appropriate maintenance and protection of traffic in consultation with the engineer in charge for the agency performing the work. In these locations the stipulations identified in CEC-12-124 may not be used.

- 1. Work hours shall be as follows:
  - a. Monday to Friday 9am to 4pm.
  - b. Saturday 8am to 6pm.
  - c. Sunday 9am to 6pm.
  - d. In School zones when school is in session work Monday to Friday 9am to 2pm, an authorization letter from the school principal is required. When school is not in session above work times may apply.
  - e. A School variance (VAR001) is granted by as stipulated by DOT.
  - f. Should NO STANDING rush hour regulations apply adjacent/opposite of the work zone then the hours specified on the regulation will apply. <u>OCMC streets should</u> <u>be contacted in writing by the engineer in charge only in they are requesting a</u> <u>waiver and consideration to work during restricted hours.</u>
  - g. In School zones when school is in session work Monday to Friday 9am to 2pm. An authorization letter from the school principal is required. When school is not in session above work times may apply.
  - h. A School variance (VAR001) is granted by as stipulated by DOT
- Contractor must maintain (1) 11-foot lane for traffic on a one-way street and (2) 11-foot lanes one in each direction on a two-way street.
- Contractor shall provide a 5-foot clear sidewalk or a 5-foot clear pedestrian walkway in the roadway at all times. Occupancy of NO STOPPING ZONES, NO STANDING ZONES and AUTHORIZED PARKING is prohibited. If a pedestrian walkway in the roadway is used it must be ramped for handicapped access. All crosswalks must be opened to pedestrians.
- 4. Contractor shall not work on opposite sidewalks/curbs simultaneously.

#### III. GENERAL NOTES

A. <u>IHIS IS NOT A PERMIT</u>. This stipulation sheet must be submitted with all requests for permits pertaining to the above contract and present at the work site along with all active construction permits when the approved work is being performed.

- B. THE PERMITTEE MUST COMPLY WITH ALL CONSTRUCTION EMBARGOS ISSUED BY THE NYCDOT INCLUDING THE HOLIDAY EMBARGO.
- C. THE PERMITTEE SHALL COMPLY WITH ALL REQUIREMENTS OF THE NYCDOT SPECIAL EVENTS UNIT AS IDENTIFIED BELOW:

#### 1. STREET FAIRS / FESTIVALS

- ALL EXCAVATIONS MUST BE PLATED WITH SKID RESISTANT PLATES.
- PLATES MUST BE RECESSED AND FLUSH WITH PAVEMENT.
- ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
- THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
- ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.
- 2. RUNNING / WALKING / BIKING EVENTS
- ALL EXCAVATIONS MUST BE BACKFILLED AND PAVED OR PLATES MUST BE RECESSED AND PAVED OVER FLUSH WITH PAVEMENT.
- ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
- THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
- ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.

#### 3. PARADES

- ALL EXCAVATIONS MUST BE BACKFILLED AND PAVED OR PLATES MUST BE RECESSED AND PAVED OVER FLUSH WITH PAVEMENT.
- FORMATION AND DISPERSAL AREA PLATES MUST BE RECESSED AND FLUSH WITH PAVEMENT (PLATES MUST BE SKID RESISTANT).
- ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
- THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
- ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.

#### 4. MAYORAL EVENTS

- ALL EXCAVATIONS MUST BE BACKFILLED AND PAVED OR PLATES MUST BE RECESSED AND PAVED OVER FLUSH WITH PAVEMENT.
- ALL PAVEMENT DEFECTS MUST BE CORRECTED WITHIN OR ADJACENT TO THE WORK ZONE.
- THE CONTRACTOR IS RESPONSIBLE FOR ANY DEFECTS WITHIN THE IMMEDIATE VICINITY IF NYCDOT STREET & ARTERIAL MAINTENANCE CANNOT MAKE REPAIRS DUE TO PROJECT INTERFERENCE (AS DETERMINED BY NYCDOT).
- ALL EQUIPMENT, TRAILERS AND MATERIAL STORAGE MUST BE REMOVED.
- D. ALL RELOCATION WORK BY THE UTILITIES SUCH AS; CON EDISON, TELEPHONE, GAS AND CABLE COMPANIES SHALL PRECEDE THE PERMITTEE'S START OF WORK ON ALL AFFECTED ROADWAYS IN THE IMPACTED CONTRACT AREA.
- E. THE PERMITTEE IS ADVISED THAT OTHER CONTRACTORS MAY BE WORKING IN THE GENERAL AREA DURING THE TERM OF THIS STIPULATION. IN WHICH EVENT, THE PERMITTEE MAY REQUIRE MODIFICATIONS BY THE OCMC-STREETS.

- F. THE PERMITTEE IS NOT AUTHORIZED TO ENTER, OCCUPY OR USE ANY PUBLICLY-OWNED OR PRIVATELY OWNED, NON-PAVED, LANDSCAPE OR NON-LANDSCAPED LOCATION WITHOUT SPECIFIC WRITTEN PERMISSION. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF A LIMITED-ACCESS ARTERIAL HIGHWAY, WRITTEN APPROVAL FROM THE NYCDOT OCMC-HIGHWAYS IS REQUIRED. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF A PUBLIC STREET OR PUBLIC PARK, WRITTEN APPROVAL FROM THE NEW YORK CITY DEPARTMENT OF TRANSPORTATION OR NEW YORK CITY DEPARTMENT OF PARKS AND RECREATION IS REQUIRED. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF ANY OTHER JURISDICTION SUCH AS PRIVATE PROPERTY, STATE, FEDERAL ETC., IT IS THE PERMITTEE'S RESPONSIBILITY TO DETERMINE THE PROPERTY OWNER AND OBTAIN THE WRITTEN APPROVAL.
- G. THE PERMITTEE SHALL ADHERE TO THE NYCDOT BUREAU OF BRIDGES' SPECIAL PROVISIONS FOR LANDSCAPE PROTECTION, MAINTENANCE AND RESTORATION, ITEMS 1.18.15 THROUGH 1.18.19, WHENEVER AND WHEREVER ANY OF THE PERMITTEE'S ACTIVITIES OCCUR WITHIN A LIMITED ACCESS ARTERIAL HIGHWAY RIGHT - OF - WAY.
- H. NO DEVIATION OR DEPARTURE FROM THESE STIPULATIONS WILL BE PERMITTED WITHOUT THE PRIOR WRITTEN APPROVAL FROM THE OCMC-STREETS. REQUEST FOR SUCH MODIFICATIONS SHALL BE SUBMITTED TO THE OFFICE OF THE OCMC-STREETS, NEW YORK CITY DEPARTMENT OF TRANSPORTATION, A MINIMUM OF TWENTY (20) DAYS IN ADVANCE FOR CONSIDERATION.
- FOR ANY CONSTRUCTION ACTIVITY RESULTING IN THE FULL CLOSURE OF A ROADWAY FOR MORE THAN 180 CONSECUTIVE CALENDAR DAYS, THE CONTRACTOR MUST PRODUCE AND SUBMIT A COMMUNITY REASSESSMENT, IMPACT AND AMELIORATION (CRIA) STATEMENT TO NYCDOT PLANNING AND OBTAIN THEIR APPROVAL BEFORE APPLYING FOR PERMITS, IN COMPLIANCE WITH THE PROVISIONS OF LOCAL LAW 24 STREET CLOSURE LAW.
- J. FOR THIS PROJECT THE PERMITTEE SHALL FURNISH, INSTALL AND MAINTAIN ALL NECESSARY ADVANCE WARNING AND DETOUR SIGNS, TEMPORARY CONTROL DEVICES, BARRICADES, LIGHTS AND FLASHING ARROW BOARDS IN ACCORDANCE WITH THE "MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES," THE TYPICAL SCHEMES INCLUDED IN THIS SPECIFICATION; AND AS ORDERED BY THE ENGINEER-IN-CHARGE AND THE OCMC-STREETS.
- K. THE PERMITTEE SHALL BE RESPONSIBLE FOR IDENTIFYING HIS CONSTRUCTION SIGNAGE. THE IDENTIFICATION SHALL INCLUDE THE PERMITTEE'S NAME, SPONSORING AGENCY NAME AND THE CONTRACT NUMBER. THE IDENTIFICATION SHALL BE PLACED ON THE BACK OF THE SIGN. THE LETTERING SHALL BE THREE (3) INCHES HIGH.
- L. THE OCMC-STREETS RESERVES THE RIGHT TO VOID OR MODIFY THESE STIPULATIONS SHOULD CONSTRUCTION FAIL TO COMMENCE WITHIN TWO (2) YEARS OF THE SIGNED DATE OF THESE STIPULATIONS.

#### NICOLAS DAGHER NICOLAS DAGHER P.E. EXECUTIVE DIRECTOR OCMC

(NO TEXT)

#### 5. MANDATORY MAINTENANCE AND PROTECTION OF TRAFFIC

# Department of Design and Design and

	LEGEND (1)	ITEM NUMBER (2)
	CONSTRUCTION WORK AREA	
$\Rightarrow$	FLASHING ARROW BOARD	9.99 (A, D, M, AD, AM) AS PER SITE CONDITIONS
$\triangleleft$	ONE WAY TRAFFIC (EXISTING)	
$\langle - \rangle$	TWO-WAY TRAFFIC (EXISTING)	
+	NEW TRAFFIC DIRECTION DURING CONSTRUCTION	
4 4 4 4 4	LIGHTED TIMBER BARRICADE	6.28AA
÷	TIMBER CURB (WITH ORANGE FENCING)	6.26
	PEDESTRIAN CHANNELIZER	7.35
	PEDESTRIAN STEEL BARRICADE	7.36
<u>8888</u>	SURFACE APPLIED DETECTABLE WARNING UNITS	4.13 DSA
0	PLASTIC BARRELS	6.87
(A) 0	TEMPORARY SIGN WITH TEXT	6.25RS
¢	CROSSING GUARD	6.52 CG
¢	UNIFORMED FLAGPERSON (FEDERALLY FUNDED PROJECTS ONLY)	6.52 FED
	ADA COMPLIANT TEMPORARY PEDESTRIAN RAMP (SECTION 7.70 TPR)	NOT A PAY ITEM

**ISED MAY 2022** 

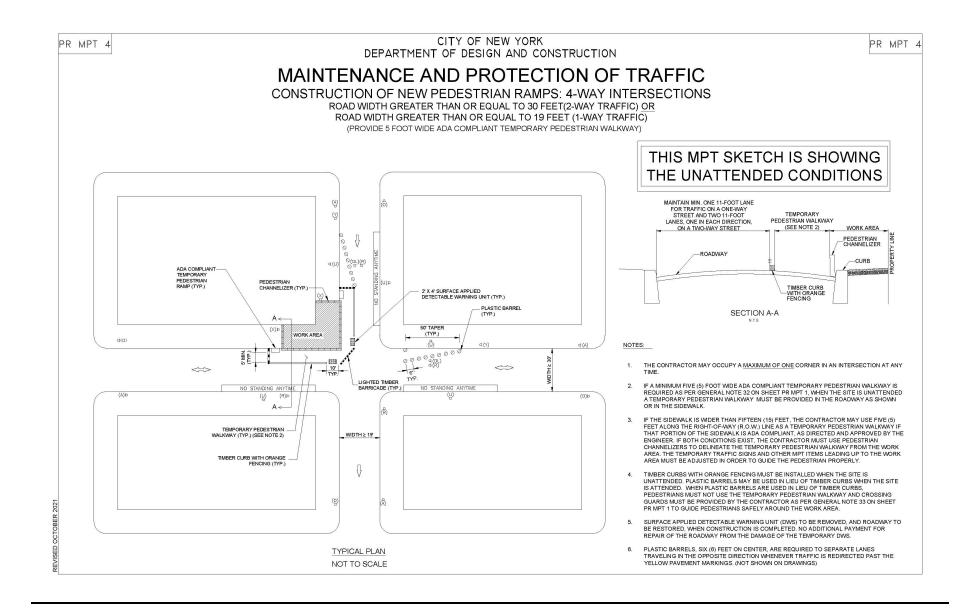
TABLE OF CONTENTS (3)	PAGE NUMBER (4)
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GENERAL NOTES - CONSTRUCTION OF NEW CURBS, SIDEWALKS AND RAMPS	PR MPT 2
TEMPORARY TRAFFIC SIGNS TABLE	PR MPT 3
CONSTRUCTION OF NEW PEDESTRIAN RAMPS: 4-WAY INTERSECTIONS ROAD WIDTH GREATER THAN OR EQUAL TO 30 FEET (2-WAY TRAFFIC) <u>OR</u> ROAD WIDTH GREATER THAN OR EQUAL TO 30 FEET (1-WAY TRAFFIC) (PROVIDE 5 FOOT WIDTH ADA COMPLIANT TEMPORARY PEDESTRIAN WALKWAY)	PR MPT 4
CONSTRUCTION OF NEW PEDESTRIAN RAMPS: 4-WAY INTERSECTIONS ROAD WIDTH LESS THAN 30 FEET (2-WAY TRAFFIC) <u>OR</u> ROAD WIDTH LESS THAN 19 FEET (1-WAY TRAFFIC)	PR MPT 5
CONSTRUCTION OF NEW PEDESTRIAN RAMPS: MID-BLOCK RAMPS ROAD WIDTH GREATER THAN OR EQUAL TO 30 FEET (2-WAY TRAFFIC) OR ROAD WIDTH GREATER THAN OR EQUAL TO 19 FEET (1-WAY TRAFFIC) (POVIDE 5 FOOT WIDTH ADA COMPLIANT TEMPORARY PEDESTRIAN WALKWAY)	PR MPT 6
CONSTRUCTION OF NEW PEDESTRIAN RAMPS: ROAD WIDTH LESS THAN 30 FEET (2/WAY TRAFFIC) OR ROAD WIDTH LESS THAN 19 FEET (1-WAY TRAFFIC)	PR MPT 7
CONSTRUCTION OF NEW PEDESTRIAN RAMPS: T- INTERSECTION ROAD WIDTH GREATER THAN OR EQUAL TO 30 FEET (2-WAY TRAFFIC) OR ROAD WIDTH GREATER THAN OR EQUAL TO 19 FEET (1-WAY TRAFFIC) (PROVIDE 5 FOOT WIDTH ADA COMPLIANT TEMPORARY PEDESTRIAN WALKWAY)	PR MPT 8
CONSTRUCTION OF NEW PEDESTRIAN RAMPS: T-INTERSECTION ROAD WIDTH LESS THAN 30 FEET (2-WAY TRAFFIC) <u>OR</u> ROAD WIDTH LESS THAN 19 FEET (1-WAY TRAFFIC)	PR MPT 9
CONSTRUCTION OF PEDESTRIAN RAMPS IN MEDIANS AND ISLANDS TEMPORARY PEDESTRIAN WALKWAY - WALKABLE MEDIAN	PR MPT 10
CONSTRUCTION OF PEDESTRIAN RAMPS IN MEDIANS AND ISLANDS FULL MEDIAN OR ISLAND CLOSURE	PR MPT 11
CONSTRUCTION OF NEW SIDEWALKS RESIDENTIAL AREA	SW MPT 12
CONSTRUCTION OF NEW SIDEWALKS COMMERCIAL & HIGH DENSITY DEDESTRIAN TRAFFIC AREA (PROVIDE 5 FOOT WIDTH ADA COMPLUANT TEMPORARY PEDESTRIAN WALKWAY)	SW MPT 13
CONSTRUCTION OF NEW SIDEWALKS COMMERCIAL & HIGH DENSITY PEDESTRIAN TRAFFIC AREA	SW MPT 14

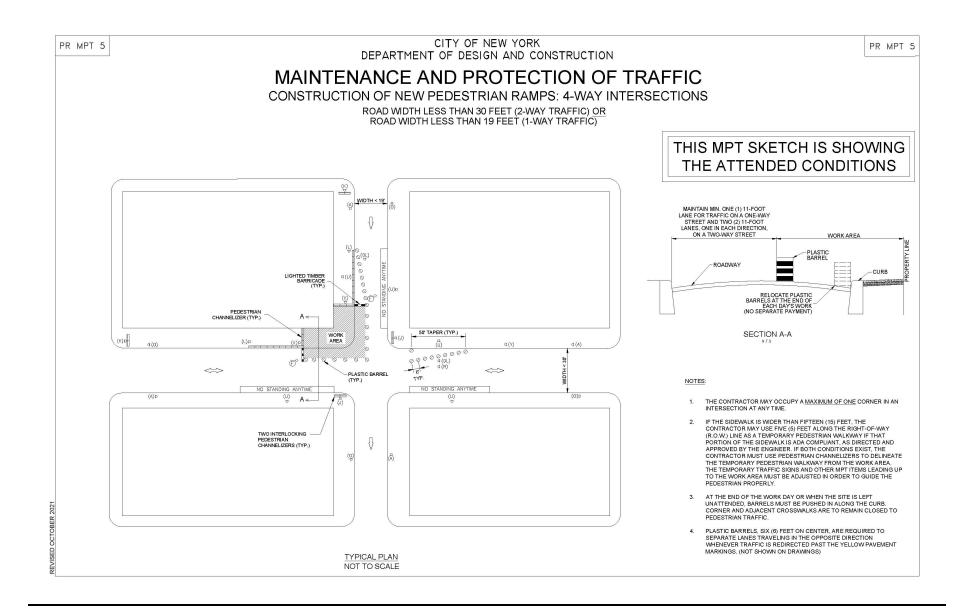
MPT 1	CITY OF N DEPARTMENT OF DESIGN		PR MPT 1
Ν	MAINTENANCE AND PRO GENERAL		
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THIS OPERATION MUST BE DONE ON THE SIME DAY. WHEN THE TEMPORARY MARKINGS ARE INCLONGER WINDLONGER WISHEL AT NO ADDITIONAL COST TO THE CITY.</li> <li>THE CONTRACTOR MUST EMPLOY AND ASSIGN CROSSING GUARD/FLAGPERSON AT LOCATIONS SPECIFIED BY THE BURGLER AND TRAFFIC ENFORCEMENT OF TRAFFIC AS REQUERED AND DIRECTED BY THE ENGINEER.</li> <li>THE CONTRACTORS WHISTE EMPLOY AND ASSIGN CROSSING GUARD/FLAGPERSON AT LOCATIONS SPECIFIED BY THE ENGINEER AND TRAFFIC ENFORCEMENT OF TRAFFIC AS REQUERED AND DIRECTED BY THE ENGINEER.</li> <li>ALL CONTRACTOR'S VEHICLES. EQUIPMENT AND PERSONNEL MUST BE KEPT WITHIN THE DESIGNATED WORK AREAS.</li> <li>NOTWITS-TADINOR PROVIDES FOR AND MAINTAIN THE ASPETY OF VEHICULAR TRAFFIC AND PEDESTRIANS DURING CONTRINCTION. THE PROVISION AND USE OF SUMPLES SUCH ASSIST TRAFFIC CONES.</li> <li>PRODISTION THE PROVIDE FOR AND MAINTAIN THE ENGINEER.</li> <li>PROMISION THE PROVIDE FOR AND MAINTAIN THE ENGINEE.</li> <li>PROMISION THE PROVIDE FOR AND MAINTAIN THE ENGINEE.</li> <li>PROMISION THE PROVIDE FOR AND MAINTAIN THE ENGINEER</li> <li>PROMISION THE PROVIDE FOR AND MAINTAIN THE ENGINEER</li> <li>PROMISION THE PROVIDE FOR AND MORY ADD WORK ZONES, WHEREVER PEDESTRIAN DEVICES MAN DISTIGE BAD MORY AND INCIDENTAL ITEMS. PAYMENT TO BE INCLUDED IN THE PROJED AST MINOR AND INCIDENTAL TIEMS. PAYMENT TO BE ENCLUDED THE PROVIDE FOR AND MORY ADD WORK ZONES, WHEREVER PEDESTRIANS DEVICES MAN DETARCHED AST MINOR AND INCIDENTAL TIEMS. 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IF THIS IS NOT POSSIBLE A TEMPORARY BICYCLE DETOLR PLAN MUST BE PREPARED BY THE CONTRACTOR AND SUBMITTED TO O.C.M.C. FOR APPROVAL.</li> <li>FOR ANY CHANCES IN PARKING REGULATIONS DURING THE CONSTRUCTION. IT IS THE CONTRACTORS REPORTSON/SUBJICY TO INSTALL. MAINTAIN AND RELOCATE THE EXISTING SIGNS, IN ACCORDANCE WITH O.C.M.C. STIPULATIONS OF ADVANCED NOTICE.</li> <li>YOO STANDING' SIGNS TO BE INSTALLED WHERE REQURED AT LEAST TWEINT-FOUR QH HOURS BRING TO THE STATU OF WORK IN AND RELOCATE WHEN THAT SECTION. ALL SIGNS MUST EE OFFICIATION WORK DETOL AND REMOVED WITHIN TWEINT-FOUR REQURED AT LEAST TWEINT-FOUR QH HOURS BIOR TO THE STATU OF WORK IN ACAH SECTION AND REMOVED WITHIN TWEINT-FOUR HOURS AFTER COMPLETION OF THE WORK IN THAT SECTION. ALL SIGNS MUST EE OFFICIATION WORK DOT TO IMPROSTS OR ON CRASHMORTHY DUAL-SPRING SIGN STANDS FLACED ON THE ROAD. 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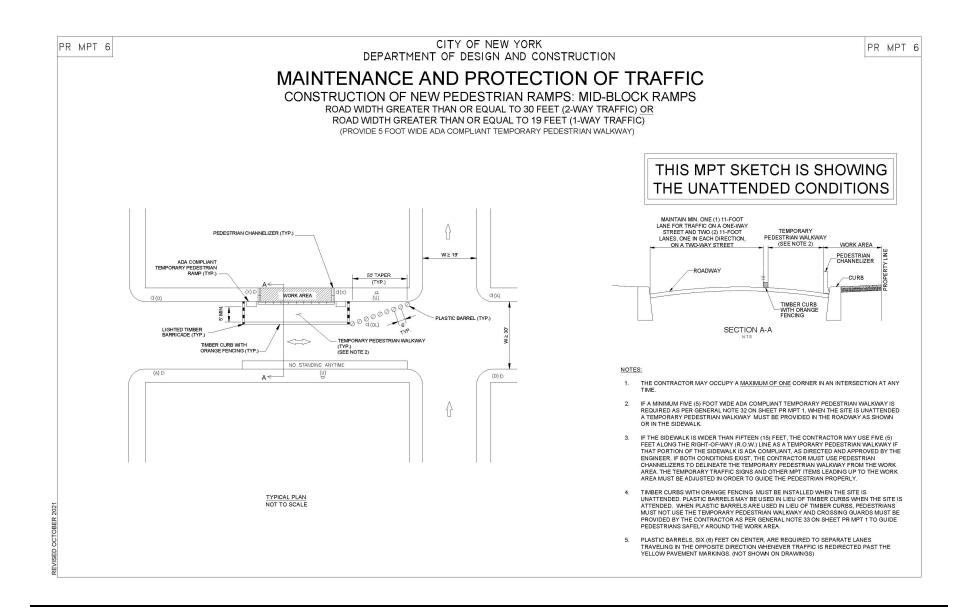
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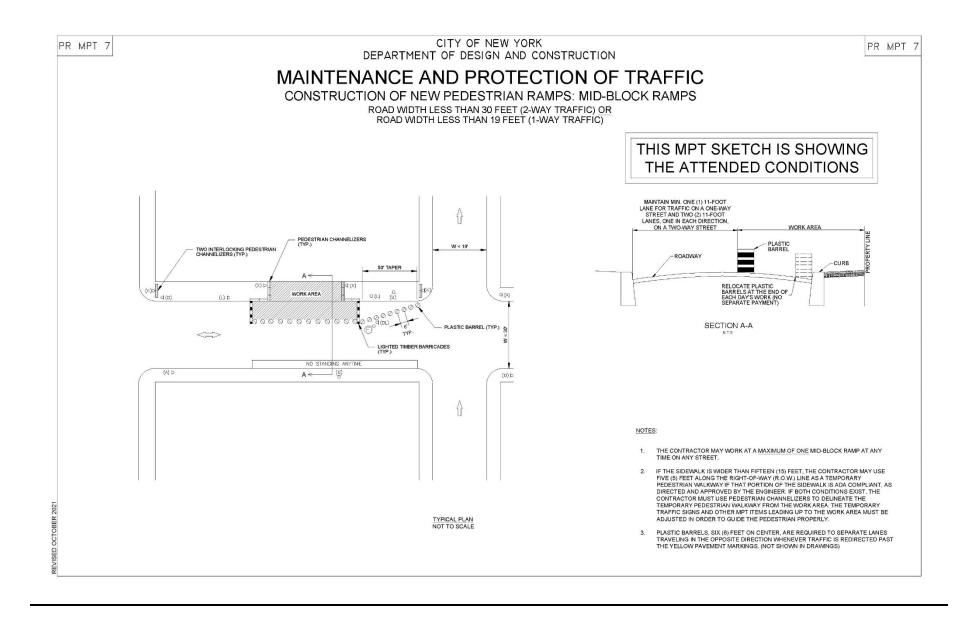
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<ul> <li>THE CONTRACTOR MAY INTUILEE THE CONSTRUCTION OF NEW CARBEN A WORK AS APPROVED AND DIRECTED BY THE ENGINEER. THE CONTRACTOR MULL BE PHRITTED TO EXTEND THE MISHINER. THE CONTRACTOR WORK AS APPROVED AND DIRECTED BY THE ENGINEER. THE CONTRACTOR WORK AS APPROVED AND DIRECTED BY THE ENGINEER. THE CONTRACTOR WORK AS APPROVED AND DIRECTED BY THE ENGINEER. THE CONTRACTOR WORK AS APPROVED AND DIRECTED BY THE ENGINEER. THE CONTRACTOR WORK AS APPROVED AND DIRECTED BY THE ENGINEER. THE CONTRACTOR WILL BE PHRITTED TO EXTEND THE MISHING AND THE CONTRACTOR WILL BE PHRITTED TO EXTEND THE ENGINEER. THE CONTRACTOR WILL BE PHRITTED TO EXTEND THE ENGINEER. THE WORK AREA AND THE KOADWIN X SHOWNIN IN THECAL PLANS. RASTIC DARRELS AND THE KOADWIN X SHOWNIN IN THECAL PLANS. RASTIC DARRELS WILL BE PHRITTED TO EXTEND THE ENGINEER.</li> <li>PLASTIC BARRELS OR TIMBER CURBS MAY BE USED TO DELINEATE THE WORK AREA AND THE KOADWIN X SHOWNIN IN THECAL PLANS. RASTIC DARRELS WILL BE PHRITTED THE CONTRACTOR AND AS SHOWNIN IN THECAL PLANS. RECURRED AS PER CIRCLES THAN NULL KWAY ACTION THE WORK AREA IS RECURRED AS PER CIRCLES THAN NULL KWAY TO AND THE WORK AREA IS RECURRED AS PER CIRCLES THAN NULL KWAY TO AND THE WORK AREA IS RECURRED AS PER CIRCLES THAN NULL KWAY TO AND THE WORK AREA IS RECURRED AS PER CIRCLES THAN NULL KWAY TO AND THE WORK AREA IS RECURRED AS PER CIRCLES THAN NULL KWAY TO AND THE WORK AREA IS RECURRED AS PER CIRCLES THE THE WILL KWAY THE THE WORK AREA IS NULL KWAY TO AND THE WORK AREA IS NULL KWAY TO AND THE WORK AREA IS NULL KWAY TO AND THE WORK AREA IS NULL THE WORK AREA AS NULL AND THE WORK AREA AS NULL KWAY TO AND THE WORK AREA AS NULL KWAY TO AND THE WORK AREA AS NULL KWAY THE WORK AREA AS NULL KWAY TO AND THE WORK AREA AS NULL KWAY THE WORK ARE</li></ul>
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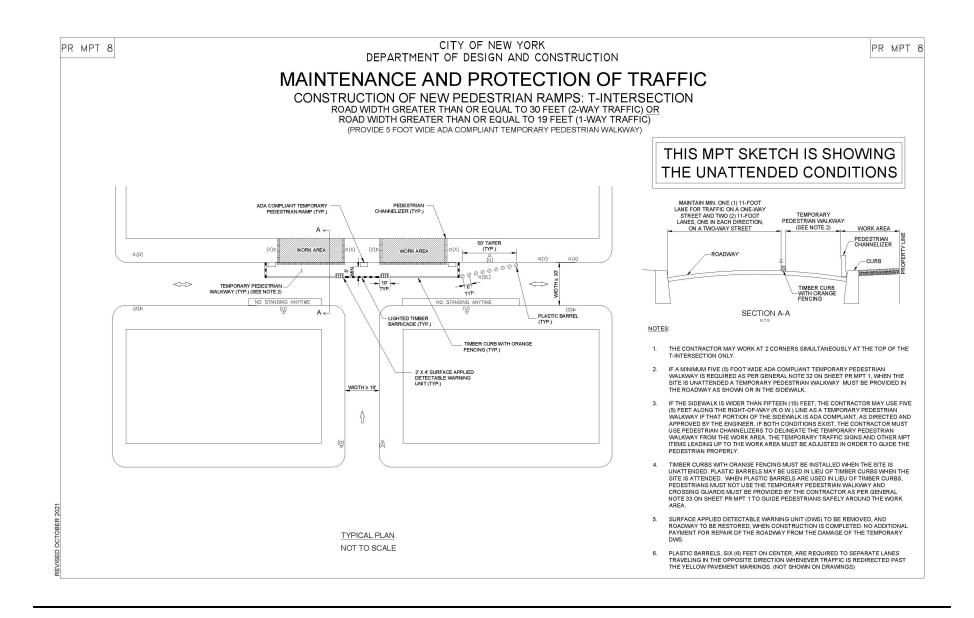
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NAME	SIGN	MUTCD CODE	COLOR CODE	SIZE	DESCRIPTION	NAME	SIGN	MUTCD CODE	COLOR CODE	SIZE	DESCRIPTION	CODE	BLACK LEGEND AND BORDER	
(A)	ROAD WORK AHEAD	W20-1	А	36"X36"	ROAD WORK AHEAD	(Q)		W4-2L	A	36"X36"	LANE REDUCTION TRANSITION	В	ON AN ORANGE BACKGROUND BLACK LEGEND AND BORDER ON A WHITE BACKGROUND	
(B)	DETOUR	W20-2	А	36"X36"	DETOUR AHEAD	(0)	<b>(1)</b>	W4-2R	A	36"X36"	SIGN	С	RED LEGEND AND BORDER ON A WHITE BACKGROUND BLACK AND WHITE LEGEND	
(C1)	DETOUR	M4-9	A	30"X24"	DETOUR (WITH ARROW)	(R)	NO TURNS	R3-3	в	24"X24"	NO TURNS	D	AND BLACK BORDER ON AN ORANGE BACKGROUND	
(C2)		M4-9L	A	30"X24"	DETOUR (WITH LEFT ARROW)	(S)	ROAD GLOSED AHEAD	W20-3	A	36"X36"	RDAD CLOSED AHEAD	L		
(C3)		M4-9R	A	30"X24"	DETOUR (WITH RIGHT ARROW)	(T)	<ul> <li>✓</li> <li>✓</li> </ul>	M6-4	В	21"X15"	DOUBLE ARROW			
(DL)		W1-4	A	30"X30"	LARGE ARROW KEEP LEFT		NC) STANDING ANYTIME	CUSTOM	тор С	TOP 12"X18"	TOP NO STANDING ANYTIME (WITH DOUBLE ARROW)			
(DR)	$\mathbf{V}$	W1-4	А	30"X30"	LARGE ARROW KEEP RIGHT	(U)		COMBINATION	воттом А	воттом 6"X18"	BOTTOM TEMPORARY CONSTRUCTION REGULATION			
(E)	ROAD CLOSED	R11-2	В	48"X30"	ROAD CLOSED	(V)	←→	M6-1	в	21"X15"	ARROW (LEFT OR RIGHT)			
(F)	ROAD CLOSED	R11-3a	в	60"X30"	ROAD CLOSED (EXCEPT LOCAL & EMERGENCY TRAFFIC)	(\V)		R3-5	в	30"X36"	RIGHT (LEFT) TURN DNLY			
(GL)	+	W1-6L	А	48"X24"	ONE WAY (ARROW POINTING LEFT)	(X)	SIDEWALK	R9-9	в	24"X12"	SIDEWALK CLOSED, USE WALKWAY			
(GR)	$\rightarrow$	W1-6R	А	48"X24"	ONE WAY (ARROW POINTING RIGHT)									
(J)	CROSSWALK CLOSED USE OTHER SIDE	CUSTOM	в	24"X12"	CROSSWALK CLOSED, USE OTHER SIDE	m	LETT LANE Q.OSD UHEAD UHEAD HEAD HEAD	W20-5	A	36"X36"	LANE CLOSED AHEAD			
(K)	SDERALK CLOSED AHEAD DROSS HERE SDEWALK CLOSED AHEAD CROSS HERE	R9-11	в	24"X18"	SIDEWALK CLOSED AHEAD, CROSS HERE (WITH ARROW)	(Z)	$\langle \hat{\mathbf{v}} \rangle$	W20-7a	A	36"X36"	CROSSING GUARD			
(L)	SIDEWALK CLOSED USE OTHER SIDE SIDEWALK CLOSED USE OTHER SIDE	R9-10	в	24"X12"	SIDEWALK CLOSED, USE OTHER SIDE								NOTES	
(M) 5021	$\mathbf{\widehat{S}}$	R3-2	В	24"X24"	ND LEFT TURN								<ol> <li>ALL SIGNS AS PER LATEST EDITION OF THE NATIONAL TRAFFIC CONTROL DEVICES" (MUTCD).</li> </ol>	
OCTOBER 202 () (2) (3)	R	R3-1	в	24"X24"	ND RIGHT TURN								<ol> <li>DIMENSIONS ARE SHOWN IN INCHES AND ARE SHOWN</li> <li>THESE TABLES ARE FOR REFERENCE PURPOSES ONLINGINAGE NOT SHOWN ON THESE TABLES REFER TO T</li> </ol>	Y. FOR ADDITIONAL
	ROAD WORK	G20-2	А	36"X18"	END ROAD WORK								<ol> <li>ALL SIGNS TO BE REFLECTORIZED.</li> <li>LETTERS ON SIGNS TO BE 5" HIGH.</li> </ol>	
(P)		W6-3	А	36"X36"	TWO WAY TRAFFIC								<ol> <li>SIGN LOCATION TO BE AS DIRECTED AND APPROVED</li> <li>MOUNTING OF SIGNS TO BE AS DIRECTED AND APPRO</li> </ol>	

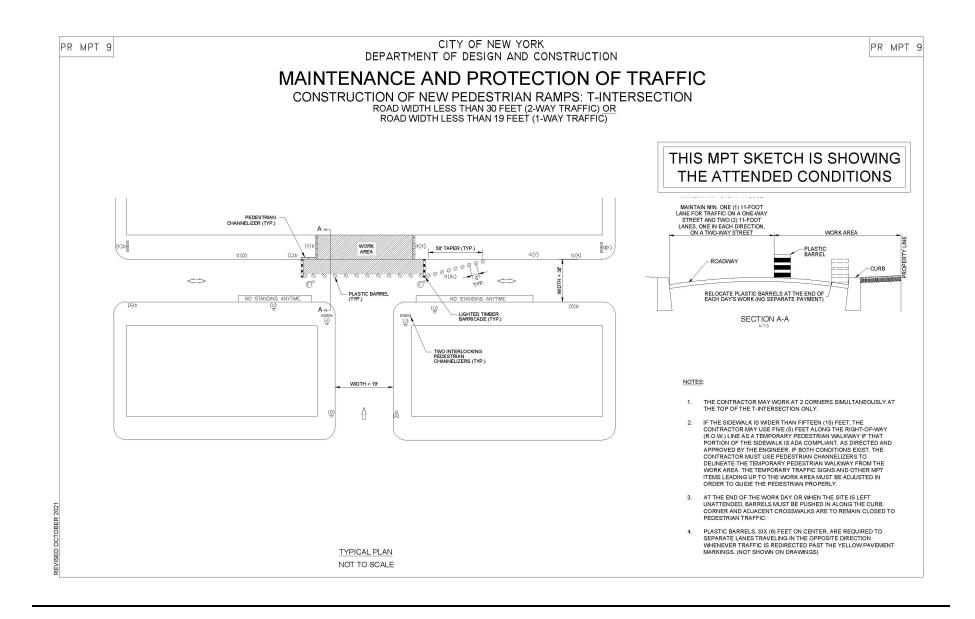


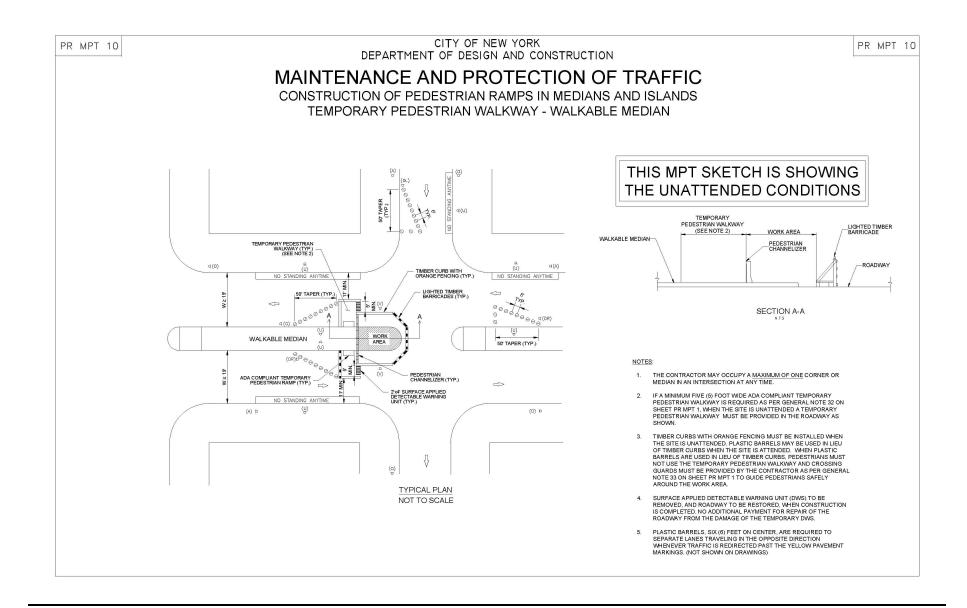


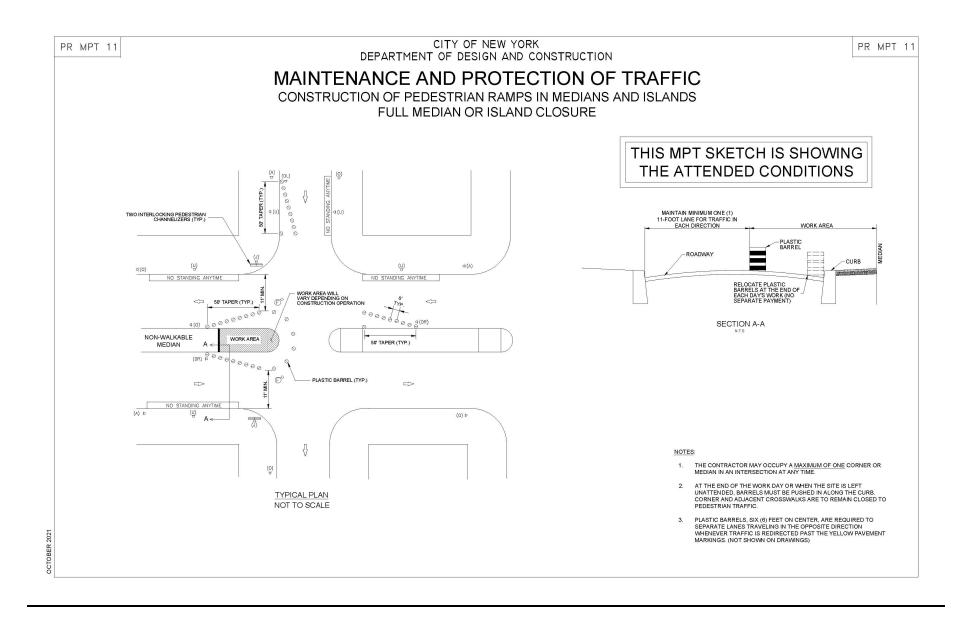


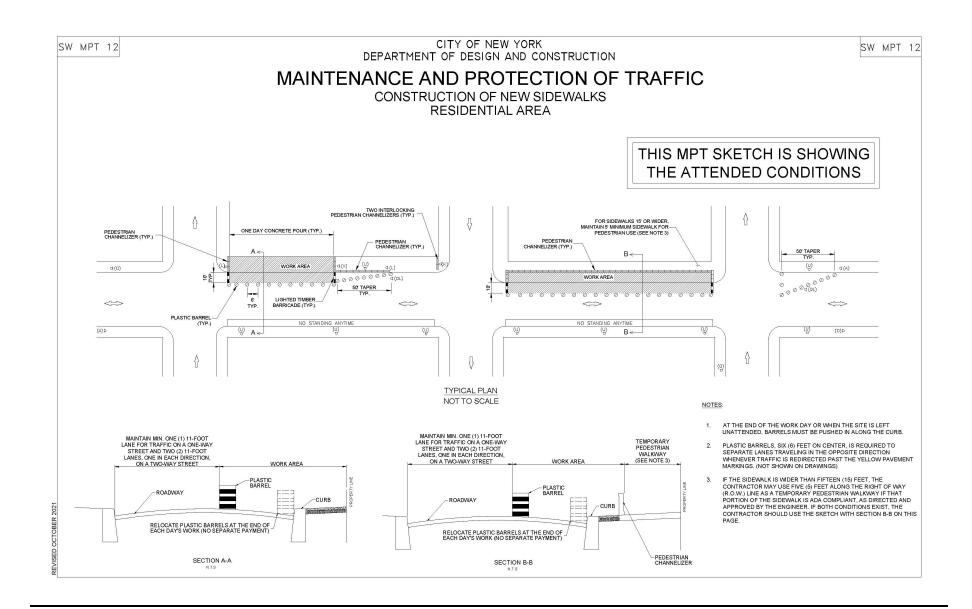


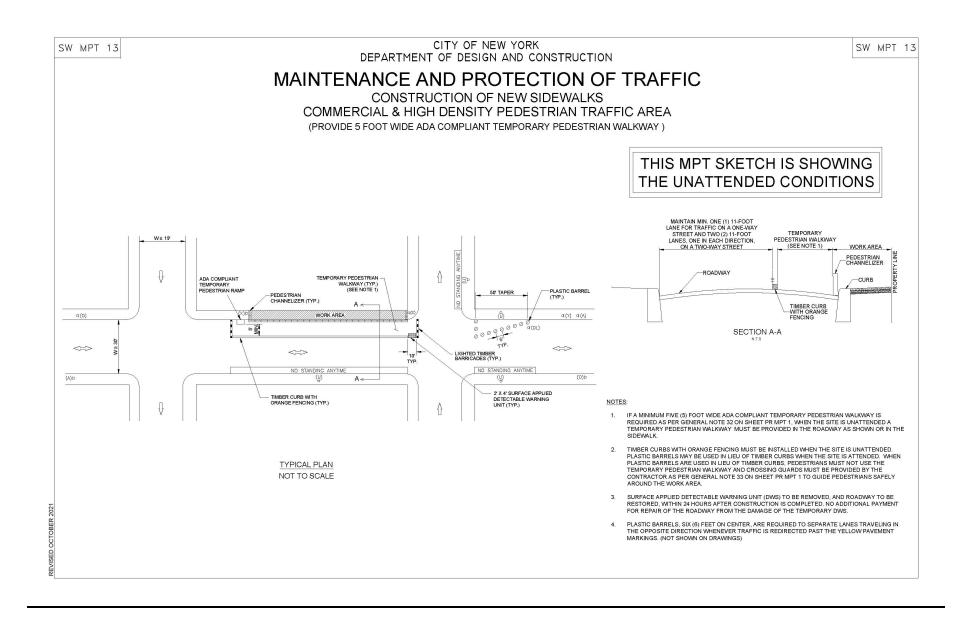


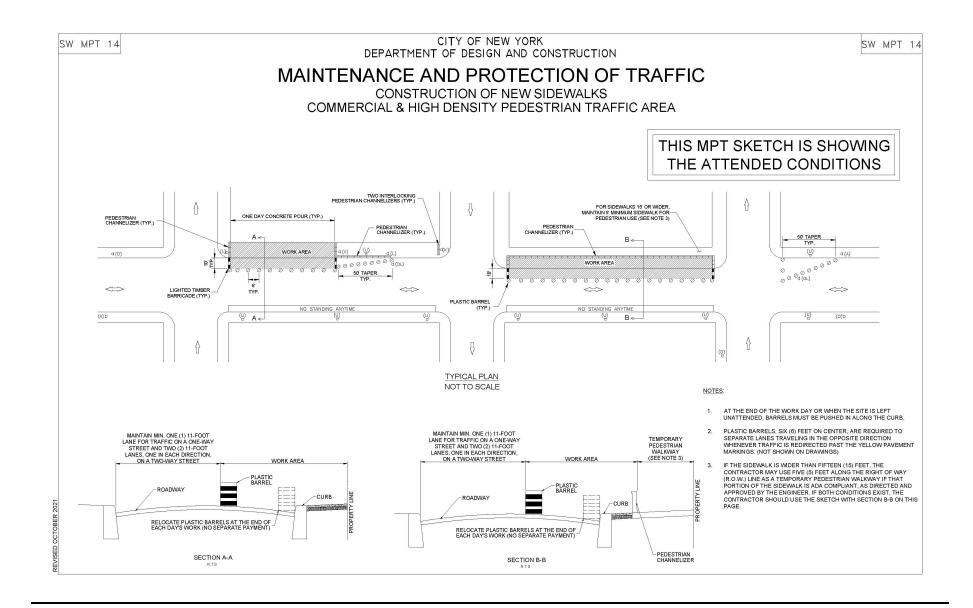












## (C) SEWER & WATER MAIN PROJECT SPECIFIC PROVISIONS

(NO TEXT)

## (D) GREEN INFRASTRUCTURE PROVISIONS

(NO TEXT)

## SEWER AND WATER MAIN REVISIONS TO SPECIFICATIONS

## NOTICE

The Standard Sewer And Water Main Specifications of the Department of Environmental Protection, Sewer Design Standards of the Department of Environmental Protection, Water Main Standard Drawings of the Department of Environmental Protection, Specifications For Trunk Main Work of the Department of Environmental Protection and the Standard Highway Specifications of the Department of Transportation of The City of New York, must be included as part of the contract documents. These said specifications and standard drawings are hereby revised under the following section headings:

A. NOTICE TO BIDDERS

**SW - PAGES** 

- B. REVISIONS TO THE STANDARD SEWER AND WATER MAIN SPECIFICATIONS
- C. REVISIONS TO THE SPECIFICATIONS FOR TRUNK MAIN WORK

(NO TEXT ON THIS PAGE)

## A. NOTICE TO BIDDERS

NO TEXT

SW-1

#### **B. REVISIONS TO THE STANDARD SEWER AND WATER MAIN SPECIFICATIONS**

(1) <u>Refer</u> to Subsection 10.21 - Contractor To Notify City Departments, Page 21: <u>Add</u> the following to Subsection 10.21:

#### (1) N.Y.C. D.E.P., BUREAU OF WATER AND SEWERS OPERATIONS

The Contractor must notify Mr. Peter Gordon, P.E. Linear Capital Program Management Division at the Department of Environmental Protection, 59-17 Junction Boulevard, 3rd Floor Low Rise, Corona, NY, 11368 at (718) 595-4347, at least thirty (30) days prior to the start of construction.

#### (2) N.Y.C. DEPARTMENT OF TRANSPORTATION

The Contractor will notify Mr. Seedan Bjorn / Mr. Akmal Mikhail, Signal / Street Lighting Operations, 34-02 Queens Blvd., Long Island City, N.Y. 11101 at (212) 839-3790 / (212) 839-3368, at least seventy-two (72) hours prior to the start of construction

#### (3) N.Y.C. TRANSIT AUTHORITY

The Contractor is advised that bus routes as well as bus stops, within the scope of this project may be affected during construction operations. The Contractor shall notify the Transit Authority at least two (2) weeks prior to the start of construction, in order to make the necessary arrangements.

Arrangements shall be made through:

Ms. Sarah Wyss Acting Director, Operation Planning New York City Transit 2 Broadway, 17<sup>th</sup> Floor, Room A17.82 New York, N.Y. 10004 Telephone No. (646) 252-5517 sarah.wyss@nyct.com

#### (2) <u>Refer</u> to Subsection 10.24 – Damaged Water Service Pipes To Be Repaired By A Licensed Plumber, Page 22: Add the following to Subsection 10.24:

If the damaged or cut water service pipe is lead, galvanized steel, or galvanized iron, the service pipe must not be partially replaced, but fully replaced from the main to the house control valve. If the service pipe was damaged, cut, or otherwise interrupted due to the Contractor's actions or means & methods (including selection of shoring systems), the water service pipe will be replaced at the Contractor's own cost.

#### (3) <u>Refer</u> to Subsection 10.30 - Contractor To Provide For Traffic, Page 24: <u>Add</u> the following to Subsection 10.30:

(1) Traffic Stipulations:

The Contractor shall refer to Traffic Stipulations identified in the maintenance of traffic requirements under Highway Contract No. HWPR23MQ.

#### (4) <u>Refer</u> to Subsection 71.41.4 - Specific Pavement Restoration Provisions, Page 531: Add the following to Subsection 71.41.4:

- (E) Specific Pavement Restoration Provisions:
  - (1) Within the limits of the highway reconstruction the restoration shall be accomplished and paid for in accordance with Highway Construction Plans, Details and Specifications for Highway Project ID. HWPR23MQ.

### C. REVISIONS TO THE SPECIFICATIONS FOR TRUNK MAIN WORK

 <u>Refer</u> to Part 1 – Furnishing And Delivering Steel Pipes And Appurtenances 30 Inches In Diameter And Larger, Section 11. Fabrication: Page 4; <u>Add</u> the following to Section 11:

All steel water mains shall be spiral welded pipes, and all steel water main fittings shall be fabricated from qualified spiral welded pipe. Can type pipe is not acceptable.

2) <u>Refer</u> to Part 1 – Furnishing And Delivering Steel Pipes And Appurtenances 30 Inches In Diameter And Larger, Section 13. Special Fittings, Page 5;
 <u>Add</u> the following to Section 13:

The steel reducer shall have a length of seven (7) feet for every twelve (12) inches reduction in diameter.

END OF SECTION This Section consists of Four (4) pages. NO TEXT ON THIS PAGE



# DIVISION OF INFRASTRUCTURE BUREAU OF DESIGN

# VOLUME 3 OF 3

PROJECT ID: HWPR23MQ

REHABILITATION OF STANDARD PEDESTRIAN RAMPS AT DESIGNATED LOCATIONS

TOGETHER WITH ALL WORK INCIDENTAL THERETO BOROUGH OF MANHATTAN AND QUEENS CITY OF NEW YORK

Dated\_\_\_\_\_, 20\_\_\_\_\_