



**THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE**

30-30 THOMSON AVENUE
LONG ISLAND CITY, NEW YORK 11101-3045
TELEPHONE (718) 391-1000
WEBSITE www.nyc.gov/buildnyc

LAW

VOLUME 1 OF 3

BID BOOKLET

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED FOR:

PROJECT ID: HWMP2017

RECONSTRUCTION OF WADSWORTH TERRACE

FROM WEST 190TH STREET TO FAIRVIEW AVENUE

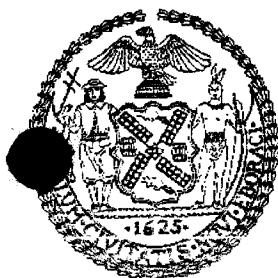
INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC WORK

**Together With All Work Incidental Thereto
BOROUGH OF MANHATTAN
CITY OF NEW YORK**

FOR THE DEPARTMENT OF TRANSPORTATION
PREPARED BY
IN-HOUSE

JANUARY 31, 2014

4-085



Bid Tab

Description RECONSTRUCTION OF WADSWORTH TERRACE
FROM WEST 190TH STREET TO FAIRVIEW AVENUE -
BOROUGH OF MANHATTAN

Bid Date	4/29/2014	FMS ID	HWMP2017
Estimated Cost	\$2,727,251.00	DEP Supervised	No
Bid Security	2% of Total Bid Price	PLA	No
Time Allowed	365 CCD	Contract Manager	Phyllis Lopez
Addendum	5	Project Manager	Dave, Gaurang
PIN	8502014HW0055C	E-PIN	85014B0116
Selective Bidding	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Consultant	In-House

Bid Rank	Vendor	Bid Amount	Security Type
1	C.A.C. INDUSTRIES, INC.	\$2,321,534.16	Bond
2	MFM CONTRACTING CORP.	\$2,730,878.36	Bond
3	TRIUMPH CONSTRUCTION CORP.	\$2,750,299.44	Bond
4	PERFETTO CONTRACTING CO. INC.	\$2,925,195.69	Bond
5	DIFAZIO INDUSTRIES, INC.	\$3,111,508.13	Bond
6	COPPOLA PAVING & LANDSCAPING CORP.	\$3,318,583.00	Bond

Recorder: Phyllis Lopez – ext. 1283

Approver: 

Bid Tab
Pin: 8502014HW0055C

Page 1 of 1





NEW YORK CITY DEPARTMENT OF
DESIGN + CONSTRUCTION

FENIOSKY A. PEÑA-MORA
Commissioner

JOHN GODDARD
Agency Chief
Contracting Officer

July 17, 2014 (Revised)

CERTIFIED MAIL - RETURN RECEIPT REQUEST

C.A.C. Industries, Inc.
54-08 Vernon Boulevard
Long Island City, NY 11101

RE: FMS ID: HWMP2017
E-PIN: 85014B0116001
DDC PIN: 8502014HW0055C
Reconstruction of Wadsworth Terrace from
West 190th Street to Fairview Avenue -
Borough of Manhattan
NOTICE OF AWARD

Dear Contractor:

You are hereby awarded the above referenced contract based upon your bid in the amount of \$2,321,534.16 submitted at the bid opening on April 29, 2014. Within ten (10) days of your receipt of this notice of award, you are required to take the actions set forth in Paragraphs (1) through (3) below. For your convenience, attached please find a copy of Schedule A of the General Conditions to the Contract, which sets forth the types and amounts of insurance coverage required for this contract.

- (1) Execute four copies of the Agreement in the Contracts Unit, 30-30 Thomson Avenue, 1st Floor, Long Island City, New York (IDCNY Building). A Commissioner of Deeds will be available to witness and notarize your signature. The Agreement must be signed by an officer of the corporation or a partner of the firm.
- (2) Submit to the Contracts Unit four properly executed performance and payment bonds. If required for this contract, copies of performance and payment bonds are attached.
- (3) Submit to the Contracts Unit the following insurance documentation: (a) original certificate of insurance for general liability in the amount required by Schedule A, and (b) original certificates of insurance or other proof of coverage for workers' compensation and disability benefits, as required by New York State Law. The insurance documentation specified in this paragraph is required for registration of the contract with the Comptroller's Office.





On or before the contract commencement date, you are required to submit all other certificates of insurance and/or policies in the types and amounts required by Schedule A. Such certificates of Insurance and/or policies must be submitted to the Agency Chief Contracting Office, Attention: Risk Manager, Fourth Floor at the above indicated department address.

Your attention is directed to the section of the Information for Bidders entitled "Failure to Execute Contract". As indicated in this section, in the event you fail to execute the contract and furnish the required bonds within the (10) days of your receipt of this notice of award, your bid security will be retained by the City and you will be liable for the difference between your bid price and the price for which the contract is subsequently awarded, less the amount of the bid security retained.

Sincerely,

John Goddard



SPECIAL NOTICE TO BIDDERS

The New York City Department of Small Business Services (SBS), in conjunction with the New York Business Development Corporation (NYBDC), have established a **NYC Construction Loan** pilot program to provide prime contractors and subcontractors financing for mobilization costs on certain City construction projects.

Under this initiative, loans are available for early stage mobilization needs such as insurance, labor, supplies and equipment. Bidders are strongly encouraged to visit "Growing Your Business" at www.nyc.gov/nycbusiness to learn more about the loan or contact constructionloan@sbs.nyc.gov / (212) 513-6444 to obtain details and to determine preliminary eligibility.

A successful loan applicant will be required to make an assignment of its contract (or subcontract) payments to the lender NYBDC until the loan is repaid. If the loan is to a subcontractor, a prime contractor must honor the terms of such an assignment.

A prime contractor may not discriminate against a subcontractor or potential subcontractor by reason of the subcontractor's participation, or nonparticipation, in the NYC Construction Loan program.

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CITY OF NEW YORK

**DEPARTMENT OF
DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE**

BID BOOKLET

**FOR FURNISHING ALL LABOR AND MATERIALS
NECESSARY AND REQUIRED FOR:**

PROJECT ID: HWMP2017

RECONSTRUCTION OF WADSWORTH TERRACE

FROM WEST 190TH STREET TO FAIRVIEW AVENUE

INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC WORK

**Together With All Work Incidental Thereto
BOROUGH OF MANHATTAN
CITY OF NEW YORK**

(NO TEXT ON THIS PAGE)

CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE

BID BOOKLET

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**CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE**

SPECIAL NOTICE TO BIDDERS

BID SUBMISSION REQUIREMENTS

THE FOLLOWING DOCUMENTS ARE TO BE COMPLETED AND SUBMITTED WITH THE BID:

1. Bid Schedule and Bid Form, including Affirmation
2. Bid Security (if required, see Attachment 1 on Page A-1)
3. Schedule B: M/WBE Utilization Plan (if participation goals have been established)

**FAILURE TO SUBMIT ITEMS (1), (2) AND (3)
WILL RESULT IN THE DISQUALIFICATION OF THE BID.**

4. Safety Questionnaire
5. Construction Employment Report (if bid is \$1,000,000 or more)
6. Contract Certificate (if bid is less than \$1,000,000)
7. Confirmation of Vendex Compliance
8. Bidder's Certification of Compliance with Iran Divestment Act
9. Special Experience Requirements (if applicable)
10. Apprenticeship Program Questionnaire (if applicable)
11. Any addenda issued prior to the receipt of bids

**FAILURE TO SUBMIT ITEMS (4) THROUGH (11)
MAY RESULT IN THE DISQUALIFICATION OF THE BID.**

NOTES:

- (1) All of the above referred to blank forms to be completed and submitted with the bid are included in the BID BOOKLET.
- (2) If the bidder has any questions or requires additional information, please contact the Department of Design and Construction by phone (718-391- 2601) or by fax (718-391-2615).
- (3) **VENDEX QUESTIONNAIRES:** The Bidder is advised that Vendex Questionnaires and procedures have been changed. Vendex Questionnaires, as well as detailed instructions, may be obtained at www.nyc.gov/vendex. The bidder may also obtain Vendex forms and instructions by contacting the Agency Chief Contracting Officer or the contact person for this contract.
- (4) **SPECIAL EXPERIENCE REQUIREMENTS:** The Bidder is advised that Special Experience Requirements may apply to this contract. Such requirements are set forth on pages 3, 3a, 3b, and 4 of this Bid Booklet.

SPECIAL NOTICE TO BIDDERS

SPECIAL EXPERIENCE REQUIREMENTS (Revised 03/2014)

- (A) **SPECIAL EXPERIENCE REQUIREMENTS FOR THE BIDDER:** The Special Experience Requirements set forth below apply to the bidder. Compliance with such Special Experience Requirements will be determined solely by the City prior to an award of contract. Failure to comply with the Special Experience Requirements will result in rejection of the bid as non-responsive.

The requirements in this Section (A) apply to this contract where indicated by a blackened box (■).

- The bidder must, within the last seven (7) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least one (1) project similar in scope and type to the required work. Such prior project may have been performed as a prime contractor, subcontractor or sub-subcontractor.

The Special Experience Requirements next to the blackened box below apply to the bidder. If the bidder intends to perform such work itself, it must demonstrate compliance with the Special Experience Requirements. If the bidder intends to subcontract this work, the proposed subcontractor or sub-subcontractor must demonstrate compliance with the Special Experience Requirements. The contractor, subcontractor or sub-subcontractor (hereinafter referred to as the "entity") that will perform any specific area of work indicated by the blackened box below, may have performed the required prior project(s) as a prime contractor, subcontractor or sub-subcontractor. Once approved, no substitution will be permitted, unless the qualifications of the proposed replacement have been approved in writing in advance by the City.

- ☐ **Trunk Water Main Work:** The entity that will perform the trunk water main work must, within the last seven (7) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least one (1) project similar in scope and type to the required work.
- ☐ **Best Management Practice Work:** Best Management Practice ("BMP") Work is any item of work in the Bid Schedule that begins with the prefix "BMP". The entity that will perform any BMP Work must, within the last five (5) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least three (3) projects similar in scope and type to the required work.

For professional services in connection with BMP Work, (i.e., monitoring and reporting services), the individual who will perform the required services must, within the last five (5) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least three (3) projects similar in scope and type to the required work. Additional requirements are set forth below.

- ☐ The individual serving as the Restoration Specialist (Construction Monitor) must be a Registered Landscape Architect licensed by the state of New York, or must have equivalent professional experience.
- ☐ The individual serving as the Erosion and Sediment Control Licensed/Certified Professional must be a Certified Professional in Erosion and Sediment Control (CPESC), certified by CPESC, Inc.
- ☐ **Micro-Tunneling/Pipe Jacking Work:** The entity that will perform the micro-tunneling/pipe jacking work must, within the last five (5) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least two (2) projects similar in scope and type to the required work.
- ☐ **OTHER:** _____

(B) **SPECIAL EXPERIENCE REQUIREMENTS FOR SPECIFIC AREAS OF WORK (to be provided after an award of contract):**

The requirements in this Section (B) apply to this contract where indicated by a blackened box (■).

The Special Experience Requirements set forth below apply to the contractor, subcontractor or sub-subcontractor that will perform the specific area of work. **Compliance with such Special Experience Requirements will be determined solely by the City after an award of contract.** After an award of contract, when requested by the City, the contractor will be required to submit the qualifications of the contractor, subcontractor or sub-subcontractor that will perform the specific area of work. If the bidder intends to perform such work itself, it must demonstrate compliance with the Special Experience Requirements. If the bidder intends to subcontract this work, the proposed subcontractor or sub-subcontractor must demonstrate compliance with the Special Experience Requirements. Once approved, no substitution will be permitted, unless the qualifications of the proposed replacement have been approved in writing in advance by the City.

Special Experience Requirements apply to the contractor, subcontractor or sub-subcontractor (hereinafter referred to as the "entity") that will perform any specific area of work indicated by a blackened box. The entity may have performed the required prior project(s) as a prime contractor, subcontractor or sub-subcontractor.

- ☐ **Hazmat Work:** Hazmat Work is any item of work in the Bid Schedule that begins with the prefix 8.01. The entity that will perform any Hazmat Work must, within the last three (3) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least five (5) projects similar in scope and type to the required work.

- ☐ **Pile, CFA Pile, and/or Mini-Pile Work:** The entity that will perform the Pile, CFA Pile and/or Mini-Pile Work must, within the last three (3) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least two (2) projects similar in scope and type to the required work.

For professional services in connection with Pile Work, (i.e., engineering and inspection services), the individual who will perform the required services must be a Professional Engineer licensed by the state of New York. Such individual must also comply with the above requirements for prior projects.

- ☐ **Construction Report, Monitoring And Post-Construction Report, and Continuous Real-Time Monitoring For Vibrations And Movements And Post-Construction Report Work:** The entity that will perform the Construction Report, Monitoring For Vibrations And Movements, and Post-Construction Report Work must, within the last three (3) consecutive years prior to the bid opening, have successfully completed in a timely fashion at least two (2) projects similar in scope and type to the required work.

For professional services in connection with Reporting and Monitoring Work, (i.e., engineering and inspection services), the individual who will perform the required services must be a Professional Engineer licensed by the state of New York. Such individual must also comply with the above requirements for prior projects.

- ☐ **OTHER:** _____

(C) **SPECIFICATIONS:** In the event of any conflict, omission or inconsistency between (1) the Specifications and/or Contract Drawings, and (2) the Special Experience Requirements in Section (B) of the Special Notice To Bidders, the special experience listed in the Specifications and/or Contract Drawings shall be controlling. The Special Experience Requirements in Section (B) of this Special Notice To Bidders are only for the convenience of the bidders.

(D) **SUBMISSION REQUIREMENTS:** For each project submitted to demonstrate compliance with the Special Experience Requirements, the bidder must complete and submit the Qualification Form included in the Bid Booklet. The City will only evaluate a project if the following criteria are met: (1) the project is described on the Qualification Form, and (2) all information on the Qualification Form is provided. The City will not evaluate any project which does not comply with the criteria set forth herein, including any project which is referred to only on the resume of an individual.

If Special Experience Requirements are indicated for any specific area of work, the submission requirement set forth above shall apply to the entity that will perform the specific area of work.

(E) **CONDITIONS:** In determining compliance with the Special Experience Requirements for the bidder set forth above, the City may consider prior projects completed by principal(s) or other employees of the bidder while affiliated with another entity, subject to the conditions set forth below.

- Any principal or other employee on whose prior experience the bidder is relying to demonstrate compliance with this special experience requirement must have held the following: (a) a significant management role in the prior entity with which he/she was affiliated, and (b) a significant management role in the entity submitting the bid for a period of six (6) months or more from the inception of the bidding entity.
- The bidder may not rely on the experience of its principals or other employees to demonstrate compliance with any other requirements, including without limitation, financial requirements or requirements for a specified minimum amount of annual gross revenues.

(F) **JOINT VENTURES:** In the event the bidder is a joint venture, at least one firm in the joint venture must meet the above described experience requirements.

Qualification Form

List previous projects completed to meet the special experience requirements for this contract.
Please photocopy this form for submission of all required projects.

Name of Contractor: OAC INDUSTRIES INC

Name of Project: SE 166B5

Location of Project: BKLYN

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: DANNY LEFKOWITZ

Title: _____ Phone Number: _____

Brief description of the Project completed or the Project in progress:

EMERGENCY REPAIR OF SEWERS

Was the Project performed as a prime, a subcontractor or a sub-subcontractor: PRIME

Amount of Contract, Subcontract or Sub-subcontract: _____

Start Date and Completion Date: MAY 2009 - MAY 2010

Name of Contractor: OAC INDUSTRIES INC

Name of Project: SE 166B4

Location of Project: BKLYN

Owner or Owner's representative (Architect or Engineer) who is familiar with the work performed:

Name: DANNY LEFKOWITZ

Title: _____ Phone Number: _____

Brief description of the Project completed or the Project in progress:

EMERGENCY REPAIR OF SEWERS

Was the Project performed as a prime, a subcontractor or a sub-subcontractor: PRIME

Amount of Contract, Subcontract or Sub-subcontract: _____

Start Date and Completion Date: MAY 2008



ATTACHMENT 1 - BID INFORMATION

PROJECT ID: HWMP2017

PIN: 8502014HW0055C

Description and Location of Work:

RECONSTRUCTION OF WADSWORTH TERRACE
FROM WEST 190TH STREET TO FAIRVIEW AVENUE
INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC WORK

Together With All Work Incidental Thereto
BOROUGH OF MANHATTAN
CITY OF NEW YORK

Documents Available At:

30-30 Thomson Avenue
First Floor Bid Procurement Room
Long Island City, New York 11101
8:30 A.M. to 4:00 P.M. – Monday through Friday

Submission of Bids To:

30-30 Thomson Avenue
First Floor Bid Procurement Room
Long Island City, New York 11101
Before 11:00 A.M. on APRIL 29, 2014

Bid Opening:

30-30 Thomson Avenue
First Floor Bid Procurement Room
Long Island City, New York 11101

Time and Date: 11:00 A.M. on APRIL 29, 2014

Pre-Bid Conference:

Yes _____ No X
If Yes, Mandatory _____ Optional: _____
Time and Date: _____
Location: _____

Bid Security:

Bid Security is required in the amount set forth below; provided, however, bid security is not required if the TOTAL BID PRICE set forth on the Bid Form is less than \$ 1,000,000.00.

- (1) Bond in an amount not less than 10% of the TOTAL BID PRICE set forth on the Bid Form, OR
- (2) Certified Check in an amount not less than 2% of the TOTAL BID PRICE set forth on the Bid Form.

Performance and Payment Security: Required for contracts in the amount of \$1,000,000 or more. Performance Security and Payment Security shall each be in an amount equal to 100% of the Contract Price.

Agency Contact Person:

Lorraine Holley
Phone: 718-391-2601 FAX: 718-391-2615

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BID SCHEDULE

NOTICE TO BIDDERS

Items listed in this Bid Schedule which have one (1) digit followed by a decimal (e.g. 4.02 CB), with the exception of items beginning with the number 8.01, shall comply with the requirements of the corresponding numerical Sections of the NYCDOT Standard Highway Specifications as amended by Addendum No. 1, herein Volume 3 of 3.

Items listed in this Bid Schedule which have two (2) digits beginning with the number "5" followed by a decimal (e.g. 52.11D12) shall comply with the requirements of the New York City Department of Environmental Protection (NYCDEP) Standard Sewer Specifications, dated August 1, 2009, and as amended by Addendum No. 2, herein Volume 3 of 3.

Items listed in the Bid Schedule which have two (2) digits beginning with the number "6" followed by a decimal (e.g. 60.11R612) shall comply with the requirements of the New York City Department of Environmental Protection (NYCDEP) Standard Water Main Specifications, dated August 1, 2009, and as amended by Addendum No. 2, herein Volume 3 of 3.

Items listed in the Bid Schedule which have two (2) digits beginning with the number "7" followed by a decimal (e.g. 73.41AG), shall comply with the requirements of the New York City Department of Environmental Protection (NYCDEP) Standard Sewer Specifications, dated August 1, 2009, or the NYCDEP Standard Water Main Specifications, dated August 1, 2009, as applicable, and as amended by Addendum No. 2, herein Volume 3 of 3.

Items listed in the Bid Schedule beginning with the prefix "UTL-" (e.g. UTL-6.01.8) are for Gas Cost Sharing (EP-7) work and shall comply with the requirements of Addendum No. 3, herein Volume 3 of 3.

Items listed in this Bid Schedule beginning with the number 8.01 followed by a letter (e.g. 8.01 C2) shall comply with the requirements of the corresponding numerical Sections of the DDC Specifications for Handling, Transportation and Disposal of Nonhazardous and Potentially Hazardous Contaminated Materials contained in Addendum No. 4, herein Volume 3 of 3.

Items listed in this Bid Schedule beginning with the prefix "SL-" (e.g. SL-21.09.09) are Street Lighting Items, less the prefix, which shall be done in accordance with the requirements of Sub-Section 1.06.23.(D) and Section 1.06.49 in the NYCDOT Standard Highway Specifications.

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**BID FORM
THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE**

**BID FOR FURNISHING ALL LABOR AND
MATERIAL NECESSARY AND REQUIRED FOR:**

PROJECT ID: HWMP2017

RECONSTRUCTION OF WADSWORTH TERRACE

FROM WEST 190TH STREET TO FAIRVIEW AVENUE

INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC WORK

**Together With All Work Incidental Thereto
BOROUGH OF MANHATTAN
CITY OF NEW YORK**

Name of Bidder: CAC INDUSTRIES INC
Date of Bid Opening: 4/29/14
Bidder is: (Check one, whichever applies) Individual () Partnership () Corporation (☒)
Place of Business of Bidder: 5408 VERNON BLVD LIC NY 11114
Bidder's Telephone Number: 718 729-3600 Fax Number: 718 729-4400
Bidder's E-Mail Address: JLABUZZA AT CAC INDUSTRIES.COM
Residence of Bidder (If Individual): _____

If Bidder is a Partnership, fill in the following blanks:

Names of Partners

Residence of Partners

If Bidder is a Corporation, fill in the following blanks:

Organized under the laws of the State of NEW YORK

Name and Home Address of President: Michael A Capasso

45 E 72ND ST NY NY 10021

Name and Home Address of Secretary: Same

Name and Home Address of Treasurer: _____

BID FORM

The above-named Bidder affirms and declares:

1. The said bidder is of lawful age and the only one interested in this bid; and no person, firm or corporation other than hereinbefore named has any interest in this bid, or in the Contract proposed to be taken.
2. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief: (1) the prices in this bid have been arrived at independently without collusion, consultation, communication or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor; (2) unless otherwise required by law, the prices quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and (3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.
3. No councilman or other officer or employee or person whose salary is payable in whole or in part from the City Treasury is directly or indirectly interested in this bid, or in the supplies, materials, equipment, work or labor to which it relates, or in any of the profits thereof.
4. The bidder is not in arrears to the City of New York upon debt or contract or taxes, and is not a defaulter, as surety or otherwise, upon any obligation of the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York or State of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except as set forth on the Affirmation included as page C-6 of this Bid Booklet.

The bidder hereby affirms that it has paid all applicable City income, excise and other taxes for all years it has conducted business activities in New York City.

5. The bidder, as an individual, or as a member, partner, director or officer of the bidder, if the same be a firm, partnership or corporation, executes this document expressly warranting and representing that should this bid be accepted by the City and the Contract awarded to him, he and his subcontractors engaged in the performance: (1) will comply with the provisions of Section 6-108 of the Administrative Code of the City of New York and the non-discrimination provisions of Section 220a of the New York State Labor Law, as more expressly and in detail set forth in the Agreement; (2) will comply with Section 6-109 of the Administrative Code of the City of New York in relation to minimum wages and other stipulations as more expressly and in detail set forth in the Agreement; (3) have complied with the provisions of the aforesaid laws since their respective effective dates, and (4) will post notices to be furnished by the City, setting forth the requirements of the aforesaid laws in prominent and conspicuous places in each and every plant, factory, building and structure where employees engaged in the performance of the Contract can readily view it, and will continue to keep such notices posted until the supplies, materials and equipment, or work labor and services required to be furnished or rendered by the Contractor have been finally accepted by the City. In the event of any breach or violation of the foregoing, the Contractor may be subject to damages, liquidated or otherwise, cancellation of the Contract and suspension as a bidder for a period of three years. (The words, "the bidder", "he", "his", and "him" where used herein shall mean the individual bidder, firm, partnership or corporation executing this bid).

AFFIRMATION

The undersigned bidder affirms and declares that said bidder is not in arrears to the City of New York upon debt, contract or taxes and is not a defaulter, as surety or otherwise, upon obligation to the City of New York, and has not been declared not responsible, or disqualified, by any agency of the City of New York, nor is there any proceeding pending relating to the responsibility or qualification of the bidder to receive public contracts except: NONE

(If none, the bidder shall insert the word "None" in the space provided above.)

Full Name of Bidder: PAC INDUSTRIES INC
Address: 2408 VERNON BLVD
City: LTC State: NY Zip Code: 11101

CHECK ONE BOX AND INCLUDE APPROPRIATE NUMBER:

☐ A - Individual or Sole Proprietorship*
SOCIAL SECURITY NUMBER

☐ B - Partnership, Joint Venture or other unincorporated organization
EMPLOYER IDENTIFICATION NUMBER

☒ C - Corporation
EMPLOYER IDENTIFICATION NUMBER

11-3082726

By: _____
Signature

Title: _____

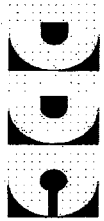
If a corporation, place seal here

This affirmation must be signed by an officer or duly authorized representative.

*Under the Federal Privacy Act the furnishing of Social Security Numbers by bidders on City contracts is voluntary. Failure to provide a Social Security Number will not result in a bidder's disqualification. Social Security Numbers will be used to identify bidders, proposers or vendors to ensure their compliance with laws, to assist the City in enforcement of laws, as well as to provide the City a means of identifying of businesses which seek City contracts.

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02/19/2014
2:39PM
Ver 5.00.01



NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE - BUREAU OF DESIGN

Contract PIN 8502014HWM0055C
Project ID HMMPE2017

BID SCHEDULE

NOTE: (1)

The Agency may reject a bid if it contains unbalanced bid prices. An unbalanced bid is considered to be one containing lump sum or unit items which do not reflect reasonable actual costs plus a reasonable proportionate share of the Bidder's anticipated profit, overhead costs, and other indirect costs, anticipated for the performance of the items in question.

(2)

The following bid prices on Unit Price Contracts are to be paid for the actual quantities of the several classes of work in the completed work or structure, and they cover the cost of all work, labor, material, tools, plant and appliances of every description necessary to complete the entire work, as specified, and the removal of all debris, temporary work and appliances.

(3)

PLEASE BE SURE A LEGIBLE BID IS ENTERED, IN INK, FOR EACH ITEM. Alterations must be initialed in ink by the bidder.

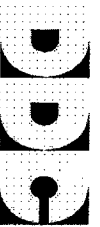
(4)

The Extended Amount entered in Column 5 shall be the product of the Estimated Quantity in Column 2 times the Unit Price Bid in Column 4.

(5)

Prospective bidders must examine the Bid Schedule carefully and, before bidding, must advise the Commissioner, in writing, if any pages are missing, and must request that such missing pages be furnished them. The pages of this Bid Schedule are numbered consecutively, as follows: B - 3 through B - 39

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Contract PIN 8502014HW0055C
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COL. 1 ITEM NUMBER (SEQUENCE NO.)	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	COL. 3 CLASSIFICATIONS	COL. 4 UNIT PRICES (IN FIGURES)		COL. 5 EXTENDED AMOUNTS (IN FIGURES)	
			DOLLARS	CTS	DOLLARS	CTS
4.02 CB (001)	410.0 TONS	ASPHALTIC CONCRETE MIXTURE	\$ 100	00	\$ 24,600	00
4.02 I4-3 (002)	3,045.0 S.Y.	ASPHALTIC CONCRETE WEARING COURSE, TYPE I-4 MIX, 3" THICK	\$ 30	00	\$ 91,352	00
4.04 AC (003)	640.0 C.Y.	CONCRETE BASE FOR PAVEMENT, 6" THICK, CLASS B-32	\$ 110	00	\$ 70,400	00
4.09 AD (004)	1,735.0 L.F.	STRAIGHT STEEL FACED CONCRETE CURB (18" DEEP)	\$ 115	00	\$ 179,525	00

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			DOLLARS	CTS	DOLLARS	CTS
4.09 BD (005)	40.0 L.F.	DEPRESSED STEEL FACED CONCRETE CURB (18" DEEP)	\$ 120	00	\$ 4,800	00
4.09 CD (006)	150.0 L.F.	CORNER STEEL FACED CONCRETE CURB (18" DEEP)	\$ 125	00	\$ 18,750	00
4.11 AA (007)	100.0 C.Y.	ROCK EXCAVATION IN STREETS, TRENCHES AND STRUCTURES	\$	01	\$	1 00
4.13 AAS (008)	18,650.0 S.F.	4" CONCRETE SIDEWALK (UNPIGMENTED)	\$ 10	00	\$ 186,500	00

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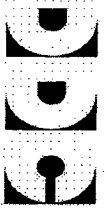
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			DOLLARS	CTS	DOLLARS	CTS
4.13 BAS (009)	1,605.0 S.F.	7" CONCRETE SIDEWALK (UNPIGMENTED)	\$ 15	60	\$ 24,675	00
4.13 DE (010)	80.0 S.F.	EMBEDDED PREFORMED DETECTABLE WARNING UNITS	\$ 1	60	\$ 80	00
4.14 (011)	300.0 LBS.	STEEL REINFORCEMENT BARS	\$ 1	00	\$ 300	00
4.16 AA (012)	1.0 EACH	TREES REMOVED (4" TO UNDER 12" CALIPER)	\$ 700	00	\$ 700	00

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			DOLLARS	CTS	DOLLARS	CTS
4.16 BA410 (013)	12.0 EACH	TREES PLANTED, 2-1/2" TO 3" CALIPER, ALL TYPES, IN 4' X 10' TREE PITS	\$ 900	00	\$ 10,800	00
4.16 BA510 (014)	6.0 EACH	TREES PLANTED, 2-1/2" TO 3" CALIPER, ALL TYPES, IN 5' X 10' TREE PITS	\$ 900	00	\$ 5,400	00
4.18 A (015)	14.0 EACH	MAINTENANCE TREE PRUNING (UNDER 12" CAL.)	\$ 500	00	\$ 7,000	00
4.21 (016)	100.0 P/HR	TREE CONSULTANT	\$ 70	00	\$ 7,000	00

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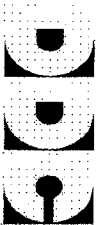


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			DOLLARS	CTS	DOLLARS	CTS
51.41S001 (017)	9.0 EACH	STANDARD CATCH BASIN, TYPE 1	\$ 20,000	00	\$ 180,000	00
51.42B1W (018)	1.0 EACH	INCREMENTAL COST OF TYPE 3 CATCH BASIN WITH CURB PIECE IN LIEU OF TYPE 1 CATCH BASIN	\$ 9,000	00	\$ 9,000	00
52.11D12 (019)	225.0 L.F.	12" DUCTILE IRON PIPE BASIN CONNECTION	\$ 400	00	\$ 90,000	00
55.11AB (020)	3.0 EACH	ABANDONING BASINS AND INLETS	\$ 1	00	\$ 3	00

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			DOLLARS	CTS	DOLLARS	CTS
6.02 AAN (021)	1,915.0 C.Y.	UNCLASSIFIED EXCAVATION	\$ 47	00	\$ 90,005	00
6.03 AA (022)	150.0 S.Y.	STRIPPING PAVEMENT SURFACE (ASPHALTIC CONCRETE)	\$ 24	00	\$ 3,600	00
6.06 AB (023)	15.0 S.Y.	GRANITE BLOCK SIDEWALK (GROUTED JOINTS) (FURNISH BLOCK)	\$ 110	00	\$ 1,650	00
6.22 F (024)	2,700.0 LBS.	ADDITIONAL HARDWARE	\$	01	\$ 27	00

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			DOLLARS	CTS	DOLLARS	CTS
6.23 AB (025)	1.0 EACH	REMOVE EXISTING FIRE ALARM POST	\$ 600	00	\$ 600	00
6.23 BA (026)	1.0 EACH	FURNISH AND INSTALL FIRE ALARM POST AND SUBBASE IN ACCORDANCE WITH F.D. STD. DWG. #141	\$ 1,600	00	\$ 1,600	00
6.23 BD (027)	60.0 L.F.	FURNISH AND INSTALL 4-PAIR FIRE ALARM CABLE	\$ 7	00	\$ 420	00
6.23 BFC (028)	1.0 EACH	FURNISH AND INSTALL FIRE DEPARTMENT 12 WIRE TERMINAL BOX AND TERMINATE FIRE ALARM CABLES	\$ 1,300	00	\$ 1,300	00

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COL. 1 ITEM NUMBER (SEQUENCE NO.)	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	COL. 3 CLASSIFICATIONS	COL. 4 UNIT PRICES (IN FIGURES)		COL. 5 EXTENDED AMOUNTS (IN FIGURES)	
			DOLLARS	CTS	DOLLARS	CTS
6.23 BGSE (029)	15.0 L.F.	FURNISH AND INSTALL 4" P.V.C. CONDUIT, SCHEDULE 40, U.L. 651 (WITH PAVEMENT EXCAVATION)	\$ 300.00	00	\$ 450.00	00
6.23 BHE (030)	1.0 EACH	FURNISH AND INSTALL 4" 90-DEGREE P.V.C. WIDE BEND, SCHEDULE 40, U.L. 651 (WITH PAVEMENT EXCAVATION) IN ACCORDANCE WITH F.D. STD. DWG. #141 OR #145AA	\$ 300.00	00	\$ 300.00	00
6.23 BP (031)	1.0 SETS	FURNISH AND INSTALL FIRE ALARM PEDESTAL BUMPERS (2 REQUIRED PER SET) IN ACCORDANCE WITH F.D. STD. DWG. #168	\$ 500.00	00	\$ 500.00	00
6.25 RS (032)	200.0 S.F.	TEMPORARY SIGNS	\$ 15.00	00	\$ 3000.00	00

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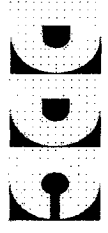


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			DOLLARS	CTS	DOLLARS	CTS
6.26 (033)	350.0 L.F.	TIMBER CURB	10	00	3,500	00
6.28 AA (034)	225.0 L.F.	LIGHTED TIMBER BARRICADES	10	00	2,250	00
6.30 AB (035)	125.0 L.F.	MALL BARRIER	20	00	6,250	00
6.30 AR (036)	130.0 L.F.	REMOVE EXISTING GUIDE RAIL	25	00	3,250	00

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			DOLLARS	CTS	DOLLARS	CTS
6.30 UB (037)	2.0 EACH	ANCHOR UNIT FOR WALL BARRIER	\$ 1,500	00	\$ 3,000	00
6.40 B (038)	18.0 MONTH	ENGINEER'S FIELD OFFICE (TYPE B)	\$ 3,500	00	\$ 63,000	00
6.43 (039)	400.0 SETS	PHOTOGRAPHS	\$ 25	00	\$ 10,000	00
6.44 (040)	2,450.0 L.F.	THERMOPLASTIC REFLECTORIZED PAVEMENT MARKINGS (4" WIDE)	\$ 1	00	\$ 2,450	00

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			DOLLARS	CTS	DOLLARS	CTS
6.52 CG (041)	1,080.0 P/HR	CROSSING GUARD	\$ 31	50	\$ 34,020	00
6.55 (042)	1,075.0 L.F.	SAWCUTTING EXISTING PAVEMENT	\$	01	\$ 10	75
6.82 A (043)	125.0 S.F.	REMOVING EXISTING TRAFFIC AND STREET NAME SIGNS	\$ 6	00	\$ 750	00
6.82 B (044)	160.0 L.F.	REMOVING EXISTING TRAFFIC AND STREET NAME SIGN POSTS	\$ 6	00	\$ 960	00

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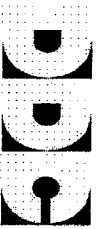
NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
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			DOLLARS	CTS	DOLLARS	CTS
6.83 AA (045)	150.0 S.F.	FURNISHING NEW NON-REFLECTORIZED TRAFFIC SIGNS	\$ 11	00	\$ 1,650	00
6.83 AB (046)	200.0 L.F.	FURNISHING NEW TRAFFIC SIGN POSTS	\$ 7	00	\$ 1,400	00
6.83 AR (047)	100.0 S.F.	FURNISHING NEW REFLECTORIZED TRAFFIC SIGNS	\$ 14	00	\$ 1,400	00
6.83 BA (048)	250.0 S.F.	INSTALLING TRAFFIC SIGNS	\$ 14	00	\$ 3,500	00

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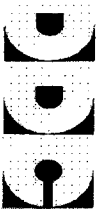
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			DOLLARS	CTS	DOLLARS	CTS
6.83 BB (049)	200.0 L.F.	INSTALLING TRAFFIC SIGN POSTS	\$ 13	00	\$ 2,600	00
6.86 AA (050)	20.0 S.F.	FURNISHING NEW STREET NAME SIGNS	\$ 33	00	\$ 660	00
6.86 AB (051)	150.0 L.F.	FURNISHING NEW STREET NAME SIGN POSTS	\$ 10	00	\$ 1,500	00
6.86 BA (052)	20.0 S.F.	INSTALLING STREET NAME SIGNS	\$ 14	00	\$ 280	00

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			DOLLARS	CTS	DOLLARS	CTS
6.86 BB (053)	150.0 L.F.	INSTALLING STREET NAME SIGN POSTS	\$ 14	00	\$ 2,100	00
6.87 (054)	300.0 EACH	PLASTIC BARRELS	\$ 15	00	\$ 4,500	00
6.91 (055)	2,750.0 L.F.	REFLECTIVE CRACKING MEMBRANE (18" WIDE)	\$ 1	00	\$ 2,750	00
6.99 (056)	1.0 L.S.	AUDIO AND VIDEO DOCUMENTATION SURVEY	\$ 22,000	00	\$ 22,000	00

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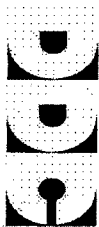
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			DOLLARS	CTS	DOLLARS	CTS
60.11R520 (057)	300.0 L.F.	FURNISHING AND DELIVERING 20-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 55)	\$ 181	00	\$ 54,300	00
60.11R606 (058)	75.0 L.F.	FURNISHING AND DELIVERING 6-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56)	\$ 41	00	\$ 3,075	00
60.11R608 (059)	40.0 L.F.	FURNISHING AND DELIVERING 8-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56)	\$ 57	00	\$ 2,280	00
60.11R612 (060)	950.0 L.F.	FURNISHING AND DELIVERING 12-INCH DUCTILE IRON RESTRAINED JOINT PIPE (CLASS 56)	\$ 91	00	\$ 86,400	00

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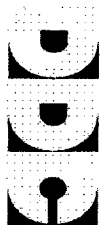


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			DOLLARS	CTS	DOLLARS	CTS
60.12D06 (061)	90.0 L.F.	LAYING 6-INCH DUCTILE IRON PIPE AND FITTINGS	70	00	6,300	00
60.12D08 (062)	50.0 L.F.	LAYING 8-INCH DUCTILE IRON PIPE AND FITTINGS	80	00	4,000	00
60.12D12 (063)	1,100.0 L.F.	LAYING 12-INCH DUCTILE IRON PIPE AND FITTINGS	85	00	93,500	00
60.12D20 (064)	350.0 L.F.	LAYING 20-INCH DUCTILE IRON PIPE AND FITTINGS	125	00	43,750	00

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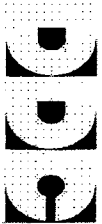
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			DOLLARS	CTS	DOLLARS	CTS
60.13MOA24 (065)	16.0 TONS	FURNISHING AND DELIVERING DUCTILE IRON MECHANICAL JOINT 24-INCH DIAMETER AND SMALLER FITTINGS, INCLUDING WEDGE TYPE RETAINER GLANDS	\$	01	\$	16
61.11DMQ06 (066)	5.0 EACH	FURNISHING AND DELIVERING 6-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	\$	2,560.00	\$	12,560.00
61.11DMQ12 (067)	3.0 EACH	FURNISHING AND DELIVERING 12-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	\$	5,000.00	\$	15,000.00
61.11DMQ20 (068)	2.0 EACH	FURNISHING AND DELIVERING 20-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	\$	25,000.00	\$	50,000.00

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			DOLLARS	CTS	DOLLARS	CTS
61.11TWC03 (069)	15.0 EACH	FURNISHING AND DELIVERING 3-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	\$ 1,500	00	\$ 22,500	00
61.11TWC04 (070)	9.0 EACH	FURNISHING AND DELIVERING 4-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	\$ 1,600	00	\$ 14,400	00
61.12DM06 (071)	5.0 EACH	SETTING 6-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	\$ 1,000	00	\$ 5,000	00
61.12DM12 (072)	3.0 EACH	SETTING 12-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	\$ 1,500	00	\$ 4,500	00

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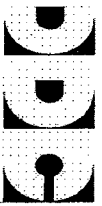
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			DOLLARS	CTS	DOLLARS	CTS
61.12DMA20 (073)	2.0 EACH	SETTING 20-INCH MECHANICAL JOINT DUCTILE IRON GATE VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	2,560	00	5,000	00
61.12TWC03 (074)	15.0 EACH	SETTING 3-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	350	00	5,250	00
61.12TWC04 (075)	9.0 EACH	SETTING 4-INCH WET CONNECTION TAPPING VALVE COMPLETE WITH WEDGE TYPE RETAINER GLANDS	450	00	4,050	00
62.11SD (076)	5.0 EACH	FURNISHING AND DELIVERING HYDRANTS	5,000	00	25,000	00

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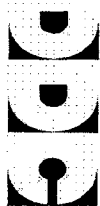
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			DOLLARS	CTS	DOLLARS	CTS
62.12SG (077)	5.0 EACH	SETTING HYDRANTS COMPLETE WITH WEDGE TYPE RETAINER GLANDS	\$ 2,500	00	\$ 12,500	00
62.13RH (078)	4.0 EACH	REMOVING HYDRANTS	\$ 1,000	00	\$ 4,000	00
62.14FS (079)	10.0 EACH	FURNISHING, DELIVERING AND INSTALLING HYDRANT FENDERS	\$ 500	00	\$ 5,000	00
63.11VC (080)	10.0 TONS	FURNISHING AND DELIVERING VARIOUS CASTINGS	\$	01	\$	10

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			DOLLARS	CTS	DOLLARS	CTS
64.11EL (081)	3.0 EACH	WITHDRAWING AND REPLACING HOUSE SERVICES USING 1-1/2-INCH OR LARGER SCREW TAPS	\$ 1,000	00	\$ 3,000	00
64.11ST (082)	3.0 EACH	WITHDRAWING AND REPLACING HOUSE SERVICES USING SMALLER THAN 1-1/2-INCH SCREW TAPS	\$ 500	00	\$ 1,500	00
64.12SEEG (083)	90.0 L.F.	EXTENDING HOUSE SERVICE WATER CONNECTIONS (EQUAL TO OR GREATER THAN 3-INCH DIAMETER)	\$ 90	00	\$ 8,100	00
64.12ESLT (084)	10.0 L.F.	EXTENDING HOUSE SERVICE WATER CONNECTIONS (LESS THAN 3-INCH DIAMETER)	\$ 75	00	\$ 750	00

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COL. 1 ITEM NUMBER (SEQUENCE NO.)	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	COL. 3 CLASSIFICATIONS	COL. 4 UNIT PRICES (IN FIGURES)		COL. 5 EXTENDED AMOUNTS (IN FIGURES)	
			DOLLARS	CTS	DOLLARS	CTS
64.13WC12 (085)	21.0 EACH	FURNISHING, DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 12-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS	\$ 2,900	00	\$ 60,900	00
64.13WC20 (086)	3.0 EACH	FURNISHING, DELIVERING AND INSTALLING WET CONNECTION SLEEVE ON 20-INCH WATER MAIN PIPE WITH VARIOUS OUTLETS	\$ 3,000	00	\$ 9,000	00
65.11BR (087)	1,000.0 LBS.	FURNISHING, DELIVERING AND INSTALLING BANDS, RODS, WASHERS, ETC., COMPLETE, FOR RESTRAINING JOINTS	\$	01	\$	10 00
65.21PS (088)	1,458.0 L.F.	FURNISHING AND PLACING POLYETHYLENE SLEEVE Unit price bid shall not be less than: \$ 0.63	\$	63	\$ 918	54

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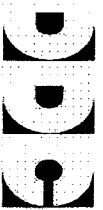
NEW YORK CITY DEPARTMENT OF DESIGN AND CONSTRUCTION
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			DOLLARS	CTS	DOLLARS	CTS
65.31EF (089)	12,000.0 S.F.	FURNISHING, DELIVERING AND PLACING FILTER FABRIC	\$	13	\$ 1,560	00
		Unit price bid shall not be less than: \$ 0.13				
65.71SG (090)	125.0 C.Y.	FURNISHING, DELIVERING AND PLACING SCREENED GRAVEL OR SCREENED BROKEN STONE BEDDING	\$	25 00	\$ 3,125	00
7.13 B (091)	12.0 MONTH	MAINTENANCE OF SITE	\$ 7,500	00	\$ 90,000	00
		Unit price bid shall not be less than: \$ 7,500.00				
7.16 D (092)	10.0 C.Y.	TEST PITS	\$	130 00	\$ 1,300	00

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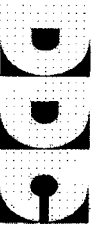
Project ID

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COL. 1 ITEM NUMBER (SEQUENCE NO.)	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	COL. 3 CLASSIFICATIONS	COL. 4 UNIT PRICES (IN FIGURES)		COL. 5 EXTENDED AMOUNTS (IN FIGURES)	
			DOLLARS	CTS	DOLLARS	CTS
7.36 (093)	1,600.0 L.F.	PEDESTRIAN STEEL BARRICADES	\$ 16	00	\$ 16,000	00
7.88 AA (094)	1.0 L.S.	RODENT INFESTATION SURVEY AND MONITORING Unit price bid shall not be less than: \$ 6,250.00	\$ 6,250	00	\$ 6,250	00
7.88 AB (095)	340.0 EACH	RODENT BAIT STATIONS Unit price bid shall not be less than: \$ 75.00	\$ 75	00	\$ 25,500	00
7.88 AC (096)	340.0 EACH	BAITING OF RODENT BAIT STATIONS Unit price bid shall not be less than: \$ 11.60	\$ 11	60	\$ 3,944	00

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COL. 1 ITEM NUMBER (SEQUENCE NO.)	COL. 2 ENGINEER'S ESTIMATE OF QUANTITIES	COL. 3 CLASSIFICATIONS	COL. 4 UNIT PRICES (IN FIGURES)		COL. 5 EXTENDED AMOUNTS (IN FIGURES)	
			DOLLARS	CTS	DOLLARS	CTS
7.88 AD (097)	10.0 BLOCK	WATERBUG BAIT APPLICATIONS Unit price bid shall not be less than: \$ 81.30	\$ 81	30	\$ 813	00
70.31FN (098)	1,300.0 L.F.	FENCING Unit price bid shall not be less than: \$ 2.50	\$ 2	50	\$ 3,250	00
70.51EO (099)	15.0 C.Y.	EXCAVATION OF BOULDERS IN OPEN CUT Unit price bid shall not be less than: \$ 93.75	\$ 100	00	\$ 1,500	00
70.71SB (100)	15.0 C.Y.	STONE BALLAST Unit price bid shall not be less than: \$ 18.75	\$ 25	00	\$ 375	00

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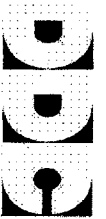
Project ID

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			DOLLARS	CTS	DOLLARS	CTS
70.81CB (101)	50.0 C.Y.	CLEAN BACKFILL	\$ 30	00	\$ 1,500	00
		Unit price bid shall not be less than: \$ 18.75				
70.91SW12 (102)	2,000.0 S.F.	FURNISHING AND PLACING SHEETING AND BRACING IN TRENCH FOR WATER MAIN PIPE 12-INCH IN DIAMETER AND LESS	\$	01	\$ 20	00
70.91SW20 (103)	3,600.0 S.F.	FURNISHING AND PLACING SHEETING AND BRACING IN TRENCH FOR WATER MAIN PIPE 20-INCH IN DIAMETER	\$	1 50	\$ 3,400	00
72.11HF (104)	25.0 C.Y.	HYDRAULIC FILL FOR ABANDONED SEWERS AND WATER MAINS	\$	1 00	\$ 25	00

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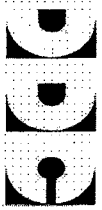
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			DOLLARS	CTS	DOLLARS	CTS
73.11AB (105)	15.0 C.Y.	ADDITIONAL BRICK MASONRY	\$ 80	00	\$ 1,200	00
		Unit price bid shall not be less than: \$ 78.13				
73.21AC (106)	15.0 C.Y.	ADDITIONAL CONCRETE	\$ 80	00	\$ 1,200	00
		Unit price bid shall not be less than: \$ 78.13				
73.31AEO (107)	15.0 C.Y.	ADDITIONAL EARTH EXCAVATION INCLUDING TEST PITS (ALL DEPTHS)	\$ 25	00	\$ 375	00
		Unit price bid shall not be less than: \$ 25.00				
73.41AG (108)	15.0 C.Y.	ADDITIONAL SELECT GRANULAR BACKFILL	\$ 28	00	\$ 420	00
		Unit price bid shall not be less than: \$ 18.75				

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			DOLLARS	CTS	DOLLARS	CTS
73.51AS (109)	150.0 LBS.	ADDITIONAL STEEL REINFORCING BARS	\$ 1	25	\$ 187	50
		Unit price bid shall not be less than: \$ 1.25				
8.01 C1 (110)	1,000.0 TONS	HANDLING, TRANSPORTING AND DISPOSAL OF NON-HAZARDOUS CONTAMINATED SOIL	\$ 60	00	\$ 60,000	00
8.01 C2 (111)	3.0 SETS	SAMPLING AND TESTING OF CONTAMINATED/POTENTIALLY HAZARDOUS SOIL FOR DISPOSAL PURPOSES	\$ 1,650	00	\$ 4,950	00
8.01 H (112)	1.0 TONS	HANDLING, TRANSPORTING AND DISPOSAL OF HAZARDOUS SOIL	\$ 160	00	\$ 160	00

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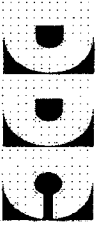
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			DOLLARS	CTS	DOLLARS	CTS
8.01 S (113)	1.0 L.S.	HEALTH AND SAFETY	\$15,000	00	\$15,000	00
8.01 WL (114)	14.0 DAY	REMOVAL, TREATMENT, AND DISCHARGE/DISPOSAL OF CONTAMINATED WATER	\$1	00	\$14	00
8.01 W2 (115)	1.0 SETS	SAMPLING AND TESTING OF WATER	\$1,750	00	\$1,750	00
8.02 A (116)	2,125.0 S.F.	SPECIAL CARE EXCAVATION AND RESTORATION FOR SIDEWALK WORK	\$2	50	\$5,312	50

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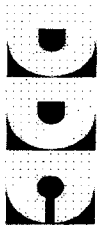
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			DOLLARS	CTS	DOLLARS	CTS
8.02 B (117)	625.0 L.F.	SPECIAL CARE EXCAVATION AND RESTORATION FOR CURB WORK	\$ 7	50	\$ 4,687	50
9.00 C (118)	150.0 C.F.	EXPLORATORY TEST PITS	\$ 10	00	\$ 1,500	00
9.04 HW (119)	1.0 F.S.	ALLOWANCE FOR ANTI-FREEZE ADDITIVE IN CONCRETE PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 50,000.00	\$ 50,000.00		\$ 50,000.00	
SL-20.02.02 (120)	10.0 EACH	FURNISH AND INSTALL STANDARD TYPE ANCHOR BOLT FOUNDATION, AS PER DRAWING E-3788	\$ 1,400	00	\$ 14,000	00

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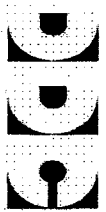
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			DOLLARS	CTS	DOLLARS	CTS
SL-21.03.02 (121)	9.0 EACH	FURNISH AND INSTALL TYPE 2S, 4S, 6S, 8S OR 12S LAMPOST WITH TRANSFORMER BASE	3,000	00	27,000	00
SL-21.03.03 (122)	2.0 EACH	FURNISH AND INSTALL TYPE 2T, 6T, 8T OR 12T LAMPOST WITH TRANSFORMER BASE	4,000	00	8,000	00
SL-21.09.09 (123)	9.0 EACH	REMOVE F.S. SPUN ALUMINUM, #10, ETC LAMPOST, WITH ARMS(S), LUMINAIRE(S), ETC., WITH ALL ATTACHMENTS, IF ANY. REMOVE PORTION OF FOUNDATION. RESTORE TO SURROUNDING CONDITIONS.	600	00	5,400	00
SL-22.16.05 (124)	13.0 EACH	FURNISH AND INSTALL ROADWAY TYPE LED FIXTURE AS PER SPECIFICATION 466 WITH PEC RECEPTACLE AND PEC	760	00	9,100	00

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			DOLLARS	CTS	DOLLARS	CTS
SL-26.01.01 (125)	11.0 EACH	FURNISH AND INSTALL, OR FURNISH AND REPLACE A PLUG-IN SOLID STATE PHOTOELECTRIC CONTROL	\$ 90	00	\$ 990	00
SL-26.06.02 (126)	1.0 EACH	FURNISH AND INSTALL LED FIRE ALARM LUMINAIRES.	\$ 280	00	\$ 280	00
SL-29.01.01 (127)	3.0 EACH	FURNISH, INSTALL, MAINTAIN AND REMOVE EQUIPMENT FOR TEMPORARY LIGHTING (PYLON), AS PER DRAWINGS F-5005 AND F-5005A	\$ 1,600	00	\$ 4,800	00
SL-33.02.02 (128)	1,000.0 L.F.	FURNISH AND INSTALL NO. 6 AWG XLP COPPER CABLE OR EQUAL FOR OVERHEAD INSTALLATION	\$ 8	00	\$ 8,000	00

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			DOLLARS	CTS	DOLLARS	CTS
UTL-6.01.8 (129)	7.0 EACH	GAS SERVICES CROSSING TRENCHES AND/OR EXCAVATIONS (S6.01)	\$ 465	00	\$ 3,255	00
		Unit price bid shall not be less than: \$ 465.00				
UTL-6.01.9 (130)	4.0 EACH	GAS MAIN CROSSING WATER MAIN UP TO 20" IN DIAMETER (S6.01)	\$ 485	00	\$ 1,940	00
		Unit price bid shall not be less than: \$ 485.00				
UTL-6.03 (131)	310.0 L.F.	REMOVAL OF ABANDONED GAS FACILITIES. ALL SIZES. (S6.03)	\$ 15	00	\$ 4,650	00
		Unit price bid shall not be less than: \$ 15.00				
UTL-6.03.1A (132)	310.0 L.F.	REMOVAL OF ABANDONED GAS FACILITIES WITH POSSIBLE COAL TAR WRAP. ALL SIZES. (S6.03)	\$ 25	00	\$ 7,750	00
		Unit price bid shall not be less than: \$ 25.00				

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			DOLLARS	CTS	DOLLARS	CTS
UTL-6.04 (133)	15.0 EACH	ADJUST HARDWARE TO GRADE USING SPACER RINGS/ADAPTORS. (STREET REPAVING.) (S6.04)	\$ 76	00	\$ 1,050	00
		Unit price bid shall not be less than: \$ 35.00				
UTL-6.05 (134)	15.0 EACH	ADJUST HARDWARE TO GRADE BY RESETTING. (ROAD RECONSTRUCTION.) (S6.05)	\$ 130	00	\$ 1,950	00
		Unit price bid shall not be less than: \$ 65.00				
UTL-6.06 (135)	150.0 C.Y.	SPECIAL CARE EXCAVATION AND BACKFILLING (S6.06)	\$ 180	00	\$ 27,000	00
		Unit price bid shall not be less than: \$ 180.00				
UTL-6.07 (136)	50.0 C.Y.	TEST PITS FOR GAS FACILITIES (S6.07)	\$ 100	00	\$ 5,000	00
		Unit price bid shall not be less than: \$ 100.00				

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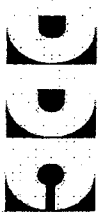


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			DOLLARS	CTS	DOLLARS	CTS
UTL-GCS-2WS (137)	1.0 F.S.	GAS INTERFERENCES AND ACCOMMODATIONS PRICE BID SHALL BE FOR THE FIXED SUM OF \$ 25,000.00	\$ 25,000.00		\$ 25,000.00	

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			DOLLARS	CTS	DOLLARS	CTS
6.39 A (138)	1.0 LUMP SUM	MOBILIZATION PRICE BID SHALL NOT EXCEED 4% OF THE ABOVE SUB-TOTAL PRICE.			\$ 2,232,534	65
SUB-TOTAL:						
TOTAL BID PRICE:						

PLEASE BE SURE A LEGIBLE BID IS ENTERED FOR EACH ITEM.
THE BIDDER SHALL INSERT THE TOTAL BID PRICE IN
THE BID FORM ON PAGE C-4 OF THIS BID BOOKLET.

(NO TEXT ON THIS PAGE)

BID FORM

PROJECT ID. HWMP2017

TOTAL BID PRICE: In the space provided below, the Bidder shall indicate its Total Bid Price in figures. Such Total Bid Price is set forth on the final page of the Bid Schedule.

**TOTAL BID PRICE:
(a/k/a BID PROPOSAL)**

\$ 2,321,534.16

4/29/14 P.8

BIDDER'S SIGNATURE AND AFFIDAVIT

Bidder:

AC INDUSTRIES INC

By:

(Signature of Partner or corporate officer)

**Attest:
(Corporate Seal)**

Secretary of Corporate Bidder

**Affidavit on the following page should be subscribed
and sworn to before a Notary Public**

BID FORM (TO BE NOTARIZED)

AFFIDAVIT WHERE BIDDER IS AN INDIVIDUAL

STATE OF NEW YORK, COUNTY OF _____ ss:

_____ being duly sworn says:

I am the person described in and who executed the foregoing bid, and the several matters therein stated are in all respects true.

(Signature of the person who signed the Bid)

Subscribed and sworn to before me this
_____ day of _____,

Notary Public

AFFIDAVIT WHERE BIDDER IS A PARTNERSHIP

STATE OF NEW YORK, COUNTY OF _____ ss:

_____ being duly sworn says:

I am a member of _____ the firm described in and which executed the foregoing bid. I subscribed the name of the firm thereto on behalf of the firm, and the several matters therein stated are in all respects true.

(Signature of Partner who signed the Bid)

Subscribed and sworn to before me this
_____ day of _____,

Notary Public

AFFIDAVIT WHERE BIDDER IS A CORPORATION

STATE OF NEW YORK, COUNTY OF QUEENS ss:

_____ being duly sworn says:

I am the J. P. M. LABOZA of the above named corporation whose name is subscribed to and which executed the foregoing bid. I reside at OSSINING, NY.
I have knowledge of the several matters therein stated, and they are in all respects true.

(Signature of Corporate Officer who signed the Bid)

Subscribed and sworn to before me this
29th day of April, 2014

[Signature]
Notary Public

DIANE C. DERIN
Notary Public, State of New York
No. 01DE5048152
Qualified in Queens County
Commission Expires August 14, 2017

**BID BOND I
FORM OF BID BOND**

KNOW ALL MEN BY THESE PRESENTS. That we, _____

C.A.C. Industries, Inc.

54-08 Vernon Blvd., Long Island City, NY 11101

hereinafter referred to as the "Principal", and _____

Federal Insurance Company

15 Mountain View Road, Warren, NJ 07059

hereinafter referred to as the "Surety" are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "CITY", or to its successors and assigns in the penal sum of

Ten Percent of Amount Bid

(\$ 10%), Dollars lawful money of the United States, for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

Whereas, the Principal is about to submit (or has submitted) to the City the accompanying proposal, hereby made a part hereof, to enter into a contract in writing for HWMP2017 Reconstruction of Wadsworth

Terrace from West 190th Street to Fairview Avenue including water main, street lighting and traffic work.

Borough of Manhattan, City of New York2

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said Proposal without the consent of the City for a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's Proposal by the City, if the Principal shall:

(a) Within ten (10) days after notification by the City, execute in quadruplicate and deliver to the City all the executed counterparts of the Contract in the form set forth in the Contract Documents, in accordance with the proposal as accepted, and

(b) Furnish a performance bond and separate payment bond, as may be required by the City, for the faithful performance and proper fulfillment of such Contract, which bonds shall be satisfactory in all respects to the City and shall be executed by good and sufficient sureties, and

(c) In all respects perform the agreement created by the acceptance of said Proposal as provided in the Information for Bidders, bound herewith and made a part hereof, or if the City shall reject the aforesaid Proposal, then this obligation shall be null and void; otherwise to remain in full force and effect.

BID BOND 2

In the event that the Proposal of the Principal shall be accepted and the Contract be awarded to him the Surety hereunder agrees subject only to the payment by the Principal of the premium therefore, if requested by the City, to write the aforementioned performance and payment bonds in the form set forth in the Contract Documents.

It is expressly understood and agreed that the liability of the Surety for any and all claims hereunder shall in no event exceed the penal amount of this obligation as herein stated.

There shall be no liability under this bond if, in the event of the acceptance of the Principal's Proposal by the City, either a performance bond or payment bond, or both, shall not be required by the City on or before the 30th day after the date on which the City signs the Contract.

The surety, for the value received, hereby stipulates and agrees that the obligations of the Surety and its bond shall in no way be impaired or affected by any postponements of the date upon which the City will receive or open bids, or by any extensions of time within which the City may accept the Principal's Proposal, or by any waiver by the City of any of the requirements of the Information for Bidders, and the Surety hereby waives notice of any such postponements, extensions, or waivers.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers the 17th day of April, 2014.

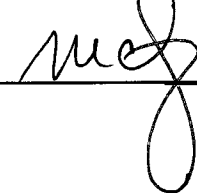
(Seal)

C.A.C. Industries, Inc.

(L.S.)

Principal

By:



(Seal)

Federal Insurance Company

Surety

By:

Susan Lupski

Attorney-in-Fact



BID BOND 3

ACKNOWLEDGEMENT OF PRINCIPAL, IF A CORPORATION

State of New York County of Queens ss:
On this 29th day of April, 2014, before me personally came
Michael A. Capasso to me known, who, being by me duly sworn, did depose and say that he
resides at 45 E 42nd St NYC NY 10021
that he is the Pres. Secy of PAO Justice LLC
the corporation described in and which executed the foregoing instrument; that he knows the seal of said
corporation; that one of the seals affixed to said instrument is such seal; that it was so affixed by order of the
directors of said corporation, and that he signed his name thereto by like order.

DIANE C. DERIN
Notary Public, State of New York
No. 01DE5048152
Qualified in Queens County
Commission Expires August 14, 2017

[Signature]
Notary Public

ACKNOWLEDGEMENT OF PRINCIPAL, IF A PARTNERSHIP

State of _____ County of _____ ss:
On this _____ day of _____, before me personally appeared
_____ to me known and known to me to be one of the members of the firm of
_____ described in and who executed the foregoing instrument, and he
acknowledged to me that he executed the same as and for the act and deed of said firm.

Notary Public

ACKNOWLEDGEMENT OF PRINCIPAL, IF AN INDIVIDUAL

State of _____ County of _____ ss:
On this _____ day of _____, before me personally appeared
_____ to me known and known to me to be the person described in and who
executed the foregoing instrument and acknowledged that he executed the same.

Notary Public

AFFIX ACKNOWLEDGEMENTS AND JUSTIFICATION OF SURETIES



**Chubb
Surety**

**POWER
OF
ATTORNEY**

**Federal Insurance Company
Vigilant Insurance Company
Pacific Indemnity Company**

**Attn: Surety Department
15 Mountain View Road
Warren, NJ 07059**

Know All by These Presents, That **FEDERAL INSURANCE COMPANY**, an Indiana corporation, **VIGILANT INSURANCE COMPANY**, a New York corporation, and **PACIFIC INDEMNITY COMPANY**, a Wisconsin corporation, do each hereby constitute and appoint **Thomas Bean, George O. Brewster, Colette R. Chisholm, Susan Lupski, Gerard S. Macholz, Camille Maitland, Robert T. Pearson and Rita Sagistano of Uniondale, New York** -----

each as their true and lawful Attorney-in-Fact to execute under such designation in their names and to affix their corporate seals to and deliver for and on their behalf as surety thereon or otherwise, bonds and undertakings and other writings obligatory in the nature thereof (other than bail bonds) given or executed in the course of business, and any instruments amending or altering the same, and consents to the modification or alteration of any instrument referred to in said bonds or obligations.

In Witness Whereof, said **FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY** have each executed and attested these presents and affixed their corporate seals on this **27th** day of **March, 2013**.

Dawn M. Chloros

Dawn M. Chloros, Assistant Secretary

David B. Norris, Jr.

David B. Norris, Jr., Vice President



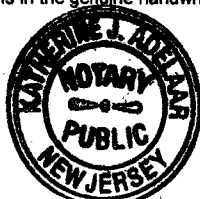
STATE OF NEW JERSEY

ss.

County of Somerset

On this **27th** day of **March, 2013** before me, a Notary Public of New Jersey, personally came Dawn M. Chloros, to me known to be Assistant Secretary of **FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY**, the companies which executed the foregoing Power of Attorney, and the said Dawn M. Chloros, being by me duly sworn, did depose and say that she is Assistant Secretary of **FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY** and knows the corporate seals thereof, that the seals affixed to the foregoing Power of Attorney are such corporate seals and were thereto affixed by authority of the By-Laws of said Companies; and that she signed said Power of Attorney as Assistant Secretary of said Companies by like authority; and that she is acquainted with David B. Norris, Jr., and knows him to be Vice President of said Companies; and that the signature of David B. Norris, Jr., subscribed to said Power of Attorney is in the genuine handwriting of David B. Norris, Jr., and was thereto subscribed by authority of said By-Laws and in deponent's presence.

Notarial Seal



**KATHERINE J. ADELAAR
NOTARY PUBLIC OF NEW JERSEY
No 2316685
Commission Expires July 16, 2014**

CERTIFICATION

Katherine J. Adelaar

Notary Public

Extract from the By-Laws of **FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY**:

"All powers of attorney for and on behalf of the Company may and shall be executed in the name and on behalf of the Company, either by the Chairman or the President or a Vice President or an Assistant Vice President, jointly with the Secretary or an Assistant Secretary, under their respective designations. The signature of such officers may be engraved, printed or lithographed. The signature of each of the following officers: Chairman, President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary and the seal of the Company may be affixed by facsimile to any power of attorney or to any certificate relating thereto appointing Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such power of attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding upon the Company with respect to any bond or undertaking to which it is attached."

I, Dawn M. Chloros, Assistant Secretary of **FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY** (the "Companies") do hereby certify that

- (i) the foregoing extract of the By-Laws of the Companies is true and correct,
- (ii) the Companies are duly licensed and authorized to transact surety business in all 50 of the United States of America and the District of Columbia and are authorized by the U.S. Treasury Department; further, Federal and Vigilant are licensed in the U.S. Virgin Islands, and Federal is licensed in American Samoa, Guam, Puerto Rico, and each of the Provinces of Canada except Prince Edward Island; and
- (iii) the foregoing Power of Attorney is true, correct and in full force and effect.

Given under my hand and seals of said Companies at Warren, NJ this **APR 17 2014**



Dawn M. Chloros

Dawn M. Chloros, Assistant Secretary

IN THE EVENT YOU WISH TO NOTIFY US OF A CLAIM, VERIFY THE AUTHENTICITY OF THIS BOND OR NOTIFY US OF ANY OTHER MATTER, PLEASE CONTACT US AT ADDRESS

LISTED ABOVE, OR BY Telephone (908) 903-3493

Fax (908) 903-3656

e-mail: surety@chubb.com

ACKNOWLEDGMENT OF SURETY COMPANY

STATE OFNew York.....} ss
COUNTY OFNassau.....}

On this APRIL 17, 2014, before me personally came SUSAN LUPSKI.....
to me know, who, being by me duly sworn, did depose and say; that he/she resides in
NASSAU COUNTY....., State of NEW YORK....., that he/she is the Attorney-In-Fact of the
FEDERAL INSURANCE COMPANY.....the corporation described in which
executed the above instrument; that he/she knows the seal of said corporation; that the
seal affixed to said instrument is such corporate seal; that is was so affixed by order of
the Board of Directors of said corporation; and that he/she signed his/her name thereto by
like order; and the affiant did further depose and say that the Superintendent of Insurance
of the State of New York, has, pursuant to Section 1111 of the Insurance Law of the State
of New York, issued to....FEDERAL INSURANCE COMPANY.....(Surety) his/her
certificate of qualification evidencing the qualification of said Company and its
sufficiency under any law of the State of New York as surety and guarantor, and the
propriety of accepting and approving it as such; and that such certificate has not been
revoked.

GRACE ACKERSON
Notary Public, State of New York
Nassau County
Lic. #01AC6111590
Term Expires June 14, 2016

.....
Notary Public

NY acknowledgment

RECEIVED
JUL 10 1964
U.S. DEPT. OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

FEDERAL INSURANCE COMPANY

STATEMENT OF ASSETS, LIABILITIES AND SURPLUS TO POLICYHOLDERS

Statutory Basis

DECEMBER 31, 2013

(in thousands of dollars)

ASSETS		LIABILITIES AND SURPLUS TO POLICYHOLDERS	
Cash and Short Term Investments.....	\$ 352,393	Outstanding Losses and Loss Expenses	\$ 12,129,450
United States Government, State and Municipal Bonds	9,295,185	Unearned Premiums.....	3,504,583
Other Bonds.....	5,535,360	Ceded Reinsurance Premiums Payable.....	338,026
Stocks.....	1,000,938	Provision for Reinsurance	61,351
Other Invested Assets.....	1,452,598	Other Liabilities.....	986,628
 TOTAL INVESTMENTS	 17,636,474	 TOTAL LIABILITIES	 17,020,038
 Investments in Affiliates:			
Chubb Investment Holdings, Inc.	3,364,996	Capital Stock.....	20,980
Pacific Indemnity Company.....	2,771,422	Paid-In Surplus.....	3,106,809
Executive Risk Indemnity Inc.....	1,218,625	Unassigned Funds	11,613,523
Chubb Insurance Investment Holdings Ltd....	1,111,941	 SURPLUS TO POLICYHOLDERS.....	 14,741,312
CC Canada Holdings Ltd.....	629,592		
Great Northern Insurance Company	478,838		
Chubb Insurance Company of Australia Ltd.	449,419		
Chubb European Investment Holdings SLP ..	281,312		
Vigilant Insurance Company.....	264,883		
Other Affiliates	472,259		
Premiums Receivable	1,586,676		
Other Assets	1,494,913		
 TOTAL ADMITTED ASSETS	 \$ 31,761,350	 TOTAL LIABILITIES AND SURPLUS TO POLICYHOLDERS.....	 \$ 31,761,350

Investments are valued in accordance with requirements of the National Association of Insurance Commissioners.
At December 31, 2013, investments with a carrying value of \$452,687,680 were deposited with government authorities
as required by law.

State, County & City of New York, — ss:

Yvonne Baker, Assistant Secretary of the Federal Insurance Company

being duly sworn, deposes and says that the foregoing Statement of Assets, Liabilities and Surplus to Policyholders of said Federal Insurance Company on December 31, 2013 is true and correct and is a true abstract of the Annual Statement of said Company as filed with the Secretary of the Treasury of the United States for the 12 months ending December 31, 2013.

Subscribed and sworn to before me
this March 11, 2014.

Jeanette Shipsey
Notary Public

JEANETTE SHIPSEY
Notary Public, State of New York
No. 02SH5074142
Qualified in Nassau County
Commission Expires March 10, 2015

Yvonne Baker
Assistant Secretary

M/WBE PROGRAM

M/WBE UTILIZATION PLAN

M/WBE Program Requirements: The requirements for the M/WBE Program are set forth on the following pages of this Bid Booklet, in the section entitled "Notice to All Prospective Contractors".

Schedule B: M/WBE Utilization Plan: Schedule B: M/WBE Utilization Plan for this Contract is set forth in this Bid Booklet on the pages following the section entitled "Notice to All Prospective Contractors". The M/WBE Utilization Plan (Part I) indicates whether Participation Goals have been established for this Contract. If Participation Goals have been established for this Contract, the bidder must submit an M/WBE Utilization Plan (Part II) with its bid.

Waiver: The bidder may seek a full or partial pre-award waiver of the Participation Goals in accordance with the "Notice to All Prospective Contractors" (See Part A, Section 10). The bidder's request for a waiver must be submitted at least seven (7) calendar days prior to the bid date. Waiver requests submitted after the deadline will not be considered. The form for requesting a waiver of the Participation Goals is set forth in the M/WBE Utilization Plan (Part III).

Rejection of the Bid: The bidder must complete Schedule B: M/WBE Utilization Plan (Part II) set forth in this Bid Booklet on the pages following the section entitled "Notice to All Prospective Contractors". A Schedule B submitted by the bidder which does not include the Vendor Certification and Required Affirmations (See Section V of Part II) will be deemed to be non-responsive, unless a full waiver of the Participation Goals is granted (Schedule B, Part III). In the event that the City determines that the bidder has submitted a Schedule B where the Vendor Certification and Required Affirmations are completed but other aspects of the Schedule B are not complete, or contain a copy or computation error that is at odds with the Vendor Certification and Required Affirmations, the bidder will be notified by the Agency and will be given four (4) calendar days from receipt of notification to cure the specified deficiencies and return a completed Schedule B to the Agency. Failure to do so will result in a determination that the Bid is non-responsive. Receipt of notification is defined as the date notice is emailed or faxed (if the bidder has provided an email address or fax number), or no later than five (5) calendar days from the date of mailing or upon delivery, if delivered.

Impact on LBE Requirements: If Participation Goals have been established for the participation of M/WBEs, the contractor is not required to comply with the Locally Based Enterprise Program ("LBE"). The LBE Program is set forth in Article 67 of the Contract.

NOTICE TO ALL PROSPECTIVE CONTRACTORS

PARTICIPATION BY MINORITY-OWNED AND WOMEN-OWNED BUSINESS ENTERPRISES IN CITY PROCUREMENT

ARTICLE I. M/WBE PROGRAM

Local Law No. 129 of 2005 added and Local Law 1 of 2013 amended Section 6-129 of the Administrative Code of the City of New York (hereinafter "Section 6-129"). Section 6-129 establishes the program for participation in City procurement ("M/WBE Program") by minority-owned business enterprises ("MBEs") and women-owned business enterprises ("WBEs"), certified in accordance with Section 1304 of the New York City Charter. As stated in Section 6-129, the intent of the program is to address the impact of discrimination on the City's procurement process, and to promote the public interest in avoiding fraud and favoritism in the procurement process, increasing competition for City business, and lowering contract costs. The contract provisions contained herein are pursuant to Section 6-129, and the rules of the Department of Small Business Services ("DSBS") promulgated thereunder.

If this Contract is subject to the M/WBE Program established by Section 6-129, the specific requirements of MBE and/or WBE participation for this Contract are set forth in Schedule B of the Contract (entitled the "M/WBE Utilization Plan"), and are detailed below. The Contractor must comply with all applicable MBE and WBE requirements for this Contract.

All provisions of Section 6-129 are hereby incorporated in the Contract by reference and all terms used herein that are not defined herein shall have the meanings given such terms in Section 6-129. Article I, Part A, below, sets forth provisions related to the participation goals for construction, standard and professional services contracts. Article I, Part B, below, sets forth miscellaneous provisions related to the M/WBE Program.

PART A

PARTICIPATION GOALS FOR CONSTRUCTION, STANDARD AND PROFESSIONAL SERVICES CONTRACTS OR TASK ORDERS

1. The MBE and/or WBE Participation Goals established for this Contract or Task Orders issued pursuant to this Contract, ("Participation Goals"), as applicable, are set forth on Schedule B, Part I to this Contract (see Page 1, line 1 Total Participation Goals) or will be set forth on Schedule B, Part I to Task Orders issued pursuant to this Contract, as applicable.

The Participation Goals represent a percentage of the total dollar value of the Contract or Task Order, as applicable, that may be achieved by awarding subcontracts to firms certified with New York City Department of Small Business Services as MBEs and/or WBEs, and/or by crediting the participation of prime contractors and/or qualified joint ventures as provided in Section 3 below, unless the goals have been waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

2. If Participation Goals have been established for this Contract or Task Orders issued pursuant to this Contract, Contractor agrees or shall agree as a material term of the Contract that Contractor shall be subject to the Participation Goals, unless the goals are waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

3. If Participation Goals have been established for this Contract or Task Order issued pursuant to this Contract, a Contractor that is an MBE and/or WBE shall be permitted to count its own participation toward fulfillment of the relevant Participation Goal, provided that in accordance with Section 6-129 the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that the Contractor pays to direct subcontractors (as defined in Section 6-129(c)(13)), and provided further that a Contractor that is certified as both an MBE and a WBE may count its own participation either toward the goal for MBEs or the goal for WBEs, but not both.

A Contractor that is a qualified joint venture (as defined in Section 6-129(c)(30)) shall be permitted to count a percentage of its own participation toward fulfillment of the relevant **Participation Goal**. In accordance with Section 6-129, the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that Contractor pays to direct subcontractors, and then multiplying the remainder by the percentage to be applied to total profit to determine the amount to which an MBE or WBE is entitled pursuant to the joint venture agreement, provided that where a participant in a joint venture is certified as both an MBE and a WBE, such amount shall be counted either toward the goal for MBEs or the goal for WBEs, but not both.

4. A. If **Participation Goals** have been established for this Contract, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Utilization Plan, Part II (see Pages 2-4) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; and (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end. In the event that this M/WBE Utilization Plan indicates that the bidder or proposer, as applicable, does not intend to meet the **Participation Goals**, the bid or proposal, as applicable, shall be deemed non-responsive, unless Agency has granted the bidder or proposer, as applicable, a pre-award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.

B. (i) If this Contract is for a master services agreement or other requirements type contract that will result in the issuance of Task Orders that will be individually registered ("Master Services Agreement") and is subject to M/WBE **Participation Goals**, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Participation Requirements for Master Services Agreements That Will Require Individually Registered Task Orders, Part II (page 2) indicating the prospective contractor's certification and required affirmations to make all reasonable good faith efforts to meet participation goals established on each individual Task Order issued pursuant to this Contract, or if a partial waiver is obtained or such goals are modified by the Agency, to meet the modified **Participation Goals** by soliciting and obtaining the participation of certified MBE and/or WBE firms. In the event that the Schedule B indicates that the bidder or proposer, as applicable, does not intend to meet the **Participation Goals** that may be established on Task Orders issued pursuant to this Contract, the bid or proposal, as applicable, shall be deemed nonresponsive.

(ii) **Participation Goals** on a Master Services Agreement will be established for individual Task Orders issued after the Master Services Agreement is awarded. If **Participation Goals** have been established on a Task Order, a contractor shall be required to submit a Schedule B – M/WBE Utilization Plan For Independently Registered Task Orders That Are Issued Pursuant to Master Services Agreements, Part II (see Pages 2-4) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; and (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end. The contractor must engage in good faith efforts to meet the **Participation Goals** as established for the Task Order unless Agency has granted the contractor a pre-award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.

C. **THE BIDDER/PROPOSER MUST COMPLETE THE SCHEDULE B INCLUDED HEREIN (SCHEDULE B, PART II). A SCHEDULE B SUBMITTED BY THE BIDDER/PROPOSER WHICH DOES NOT INCLUDE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS (SEE SECTION V OF PART II) WILL BE DEEMED TO BE NON-RESPONSIVE, UNLESS A FULL WAIVER OF THE PARTICIPATION GOALS IS GRANTED (SCHEDULE B, PART III). IN THE EVENT THAT THE CITY DETERMINES THAT THE BIDDER/PROPOSER HAS SUBMITTED A SCHEDULE B WHERE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS ARE COMPLETED BUT OTHER ASPECTS OF THE SCHEDULE B ARE NOT COMPLETE, OR CONTAIN A COPY OR COMPUTATION ERROR THAT IS AT ODDS WITH THE VENDOR CERTIFICATION AND AFFIRMATIONS, THE BIDDER/PROPOSER WILL BE NOTIFIED BY THE AGENCY AND WILL BE GIVEN FOUR (4) CALENDAR DAYS FROM RECEIPT OF NOTIFICATION TO CURE THE SPECIFIED DEFICIENCIES AND RETURN A COMPLETED SCHEDULE B TO THE AGENCY. FAILURE TO DO _**

SO WILL RESULT IN A DETERMINATION THAT THE BID/PROPOSAL IS NON-RESPONSIVE. RECEIPT OF NOTIFICATION IS DEFINED AS THE DATE NOTICE IS E-MAILED OR FAXED (IF THE BIDDER/PROPOSER HAS PROVIDED AN E-MAIL ADDRESS OR FAX NUMBER), OR NO LATER THAN FIVE (5) CALENDAR DAYS FROM THE DATE OF MAILING OR UPON DELIVERY, IF DELIVERED.

5. Where an **M/WBE** Utilization Plan has been submitted, the Contractor shall, within 30 days of issuance by Agency of a notice to proceed, submit a list of proposed persons or entities to which it intends to award subcontracts within the subsequent 12 months. In the case of multiyear contracts, such list shall also be submitted every year thereafter. The Agency may also require the Contractor to report periodically about the contracts awarded by its direct subcontractors to indirect subcontractors (as defined in Section 6-129(c)(22)). **PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor must identify all those to which it intends to award construction subcontracts for any portion of the Wicks trade work at the time of bid submission, regardless of what point in the life of the contract such subcontracts will occur. In identifying intended subcontractors in the bid submission, bidders may satisfy any Participation Goals established for this Contract by proposing one or more subcontractors that are MBEs and/or WBEs for any portion of the Wicks trade work. In the event that the Contractor's selection of a subcontractor is disapproved, the Contractor shall have a reasonable time to propose alternate subcontractors.**

6. MBE and WBE firms must be certified by DSBS in order for the Contractor to credit such firms' participation toward the attainment of the **Participation Goals**. Such certification must occur prior to the firms' commencement of work. A list of MBE and WBE firms may be obtained from the DSBS website at www.nyc.gov/buycertified, by emailing DSBS at buyer@sbs.nyc.gov, by calling (212) 513-6356, or by visiting or writing DSBS at 110 William St., New York, New York, 10038, 7th floor. Eligible firms that have not yet been certified may contact DSBS in order to seek certification by visiting www.nyc.gov/getcertified, emailing MWBE@sbs.nyc.gov, or calling the DSBS certification helpline at (212) 513-6311. A firm that is certified as both an MBE and a WBE may be counted either toward the goal for MBEs or the goal for WBEs, but not both. No credit shall be given for participation by a graduate MBE or graduate WBE, as defined in Section 6-129(c)(20).

7. Where an **M/WBE** Utilization Plan has been submitted, the Contractor shall, with each voucher for payment, and/or periodically as Agency may require, submit statements, certified under penalty of perjury, which shall include, but not be limited to: the total amount the Contractor paid to its direct subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount direct subcontractors paid to indirect subcontractors; the names, addresses and contact numbers of each MBE or WBE hired as a subcontractor by the Contractor, and, where applicable, hired by any of the Contractor's direct subcontractors; and the dates and amounts paid to each MBE or WBE. The Contractor shall also submit, along with its voucher for final payment: the total amount it paid to subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount its direct subcontractors paid directly to their indirect subcontractors; and a final list, certified under penalty of perjury, which shall include the name, address and contact information of each subcontractor that is an MBE or WBE, the work performed by, and the dates and amounts paid to each.

8. If payments made to, or work performed by, MBEs or WBEs are less than the amount specified in the Contractor's **M/WBE** Utilization Plan, Agency shall take appropriate action, in accordance with Section 6-129 and Article II below, unless the Contractor has obtained a modification of its **M/WBE** Utilization Plan in accordance with Section 6-129 and Part A, Section 11 below.

9. Where an **M/WBE** Utilization Plan has been submitted, and the Contractor requests a change order the value of which exceeds the greater of 10 percent of the Contract or Task Order, as applicable, or \$500,000, Agency shall review the scope of work for the Contract or Task Order, as applicable, and the scale and types of work involved in the change order, and determine whether the **Participation Goals** should be modified.

10. Pre-award waiver of the **Participation Goals**. (a) A bidder or proposer, or contractor with respect to a Task Order, may seek a pre-award full or partial waiver of the **Participation Goals** in accordance with Section 6-129, which requests that Agency change one or more **Participation Goals** on the grounds that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, or by demonstrating that it has legitimate business reasons for proposing a lower level of subcontracting in its M/WBE Utilization Plan.

(b) To apply for a full or partial waiver of the **Participation Goals**, a bidder, proposer, or contractor, as applicable, must complete Part III (Page 5) of Schedule B and submit such request no later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due, in writing to the Agency by email at poped@ddc.nyc.gov or via facsimile at (718) 391-1886. Bidders, proposers, or contractors, as applicable, who have submitted requests will receive an Agency response by no later than two (2) calendar days prior to the due date for bids, proposals, or Task Orders; provided, however, that if that date would fall on a weekend or holiday, an Agency response will be provided by close-of-business on the business day before such weekend or holiday date.

(c) If the Agency determines that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, it shall revise the solicitation and extend the deadline for bids and proposals, or revise the Task Order, as applicable.

(d) Agency may grant a full or partial waiver of the **Participation Goals** to a bidder, proposer or contractor, as applicable, who demonstrates—before submission of the bid, proposal or Task Order, as applicable—that it has legitimate business reasons for proposing the level of subcontracting in its M/WBE Utilization Plan. In making its determination, Agency shall consider factors that shall include, but not be limited to, whether the bidder, proposer or contractor, as applicable, has the capacity and the bona fide intention to perform the Contract without any subcontracting, or to perform the Contract without awarding the amount of subcontracts represented by the **Participation Goals**. In making such determination, Agency may consider whether the M/WBE Utilization Plan is consistent with past subcontracting practices of the bidder, proposer or contractor, as applicable, whether the bidder, proposer or contractor, as applicable, has made efforts to form a joint venture with a certified firm, and whether the bidder, proposer, or contractor, as applicable, has made good faith efforts to identify other portions of the Contract that it intends to subcontract.

11. Modification of M/WBE Utilization Plan. (a) A Contractor may request a modification of its M/WBE Utilization Plan after award of this Contract. **PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor may request a Modification of its M/WBE Utilization Plan as part of its bid submission.** The Agency may grant a request for Modification of a Contractor's M/WBE Utilization Plan if it determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts to meet the **Participation Goals**. In making such determination, Agency shall consider evidence of the following efforts, as applicable, along with any other relevant factors:

- (i) The Contractor advertised opportunities to participate in the Contract, where appropriate, in general circulation media, trade and professional association publications and small business media, and publications of minority and women's business organizations;
- (ii) The Contractor provided notice of specific opportunities to participate in the Contract, in a timely manner, to minority and women's business organizations;
- (iii) The Contractor sent written notices, by certified mail or facsimile, in a timely manner, to advise MBEs or WBEs that their interest in the Contract was solicited;
- (iv) The Contractor made efforts to identify portions of the work that could be substituted for portions originally designated for participation by MBEs and/or WBEs in the M/WBE Utilization Plan, and for which the Contractor claims an inability to retain MBEs or WBEs;

- (v) The Contractor held meetings with MBEs and/or WBEs prior to the date their bids or proposals were due, for the purpose of explaining in detail the scope and requirements of the work for which their bids or proposals were solicited;
- (vi) The Contractor made efforts to negotiate with MBEs and/or WBEs as relevant to perform specific subcontracts, or act as suppliers or service providers;
- (vii) Timely written requests for assistance made by the Contractor to Agency's M/WBE liaison officer and to DSBS;
- (viii) Description of how recommendations made by DSBS and Agency were acted upon and an explanation of why action upon such recommendations did not lead to the desired level of participation of MBEs and/or WBEs.

Agency's M/WBE officer shall provide written notice to the Contractor of the determination.

(b) The Agency may modify the **Participation Goals** when the scope of the work has been changed by the Agency in a manner that affects the scale and types of work that the Contractor indicated in its **M/WBE Utilization Plan** would be awarded to subcontractors.

12. If this Contract is for an indefinite quantity of construction, standard or professional services or is a requirements type contract and the Contractor has submitted an **M/WBE Utilization Plan** and has committed to subcontract work to MBEs and/or WBEs in order to meet the **Participation Goals**, the Contractor will not be deemed in violation of the M/WBE Program requirements for this Contract with regard to any work which was intended to be subcontracted to an MBE and/or WBE to the extent that the Agency has determined that such work is not needed.

13. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, at least once annually during the term of the Contract or Task Order, as applicable, Agency shall review the Contractor's progress toward attainment of its M/WBE Utilization Plan, including but not limited to, by reviewing the percentage of work the Contractor has actually awarded to MBE and/or WBE subcontractors and the payments the Contractor made to such subcontractors.

14. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, Agency shall evaluate and assess the Contractor's performance in meeting those goals, and such evaluation and assessment shall become part of the Contractor's overall contract performance evaluation.

PART B: MISCELLANEOUS

1. The Contractor shall take notice that, if this solicitation requires the establishment of an **M/WBE Utilization Plan**, the resulting contract may be audited by DSBS to determine compliance with Section 6-129. See §6-129(e)(10). Furthermore, such resulting contract may also be examined by the City's Comptroller to assess compliance with the **M/WBE Utilization Plan**.

2. Pursuant to DSBS rules, construction contracts that include a requirement for an **M/WBE Utilization Plan** shall not be subject to the law governing Locally Based Enterprises set forth in Section 6-108.1 of the Administrative Code of the City of New York.

3. DSBS is available to assist contractors and potential contractors in determining the availability of MBEs and/or WBEs to participate as subcontractors, and in identifying opportunities that are appropriate for participation by MBEs and/or WBEs in contracts.

4. Prospective contractors are encouraged to enter into qualified joint venture agreements with MBEs and/or WBEs as defined by Section 6-129(c)(30).

5. By submitting a bid or proposal the Contractor hereby acknowledges its understanding of the M/WBE Program requirements set forth herein and the pertinent provisions of Section 6-129, and any rules promulgated thereunder, and if awarded this Contract, the Contractor hereby agrees to comply with the M/WBE Program requirements of this Contract and pertinent provisions of Section 6-129, and any rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract. The Contractor hereby agrees to make all reasonable, good faith efforts to solicit and obtain the participation of MBEs and/or WBEs to meet the required **Participation Goals**.

ARTICLE II. ENFORCEMENT

1. If Agency determines that a bidder or proposer, as applicable, has, in relation to this procurement, violated Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, Agency may disqualify such bidder or proposer, as applicable, from competing for this Contract and the Agency may revoke such bidder's or proposer's prequalification status, if applicable.

2. Whenever Agency believes that the Contractor or a subcontractor is not in compliance with Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to any **M/WBE Utilization Plan**, Agency shall send a written notice to the Contractor describing the alleged noncompliance and offering the Contractor an opportunity to be heard. Agency shall then conduct an investigation to determine whether such Contractor or subcontractor is in compliance.

3. In the event that the Contractor has been found to have violated Section 6-129, the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to, any **M/WBE Utilization Plan**, Agency may determine that one of the following actions should be taken:

- (a) entering into an agreement with the Contractor allowing the Contractor to cure the violation;
- (b) revoking the Contractor's pre-qualification to bid or make proposals for future contracts;
- (c) making a finding that the Contractor is in default of the Contract;
- (d) terminating the Contract;
- (e) declaring the Contractor to be in breach of Contract;
- (f) withholding payment or reimbursement;
- (g) determining not to renew the Contract;
- (h) assessing actual and consequential damages;
- (i) assessing liquidated damages or reducing fees, provided that liquidated damages may be based on amounts representing costs of delays in carrying out the purposes of the M/WBE Program, or in meeting the purposes of the Contract, the costs of meeting utilization goals through additional procurements, the administrative costs of investigation and enforcement, or other factors set forth in the Contract;
- (j) exercising rights under the Contract to procure goods, services or construction from another contractor and charge the cost of such contract to the Contractor that has been found to be in noncompliance; or
- (k) taking any other appropriate remedy.

4. If an **M/WBE Utilization Plan** has been submitted, and pursuant to this Article II, Section 3, the Contractor has been found to have failed to fulfill its **Participation Goals** contained in its **M/WBE Utilization Plan** or the **Participation Goals** as modified by Agency pursuant to Article I, Part A, Section 11, Agency may assess liquidated damages in the amount of ten percent (10%) of the difference between the dollar amount of work required to be awarded to MBE and/or WBE firms to meet the **Participation Goals** and the dollar amount the Contractor actually awarded and paid, and/or credited, to MBE and/or WBE firms. In view of the difficulty of accurately ascertaining the loss which the City will suffer by reason of Contractor's failure to meet the **Participation Goals**, the foregoing amount is hereby fixed and agreed as the liquidated damages that the City will suffer by reason of such failure, and not as a penalty. Agency may deduct and retain out of any monies which may become due under this Contract the amount of any such liquidated damages; and in case the amount which may become due under this Contract shall be less than the amount of liquidated damages suffered by the City, the Contractor shall be liable to pay the difference.

5. Whenever Agency has reason to believe that an MBE and/or WBE is not qualified for certification, or is participating in a contract in a manner that does not serve a commercially useful function (as defined in Section 6-129(c)(8)), or has violated any provision of Section 6-129, Agency shall notify the Commissioner of DSBS who shall determine whether the certification of such business enterprise should be revoked.

6. Statements made in any instrument submitted to Agency pursuant to Section 6-129 shall be submitted under penalty of perjury and any false or misleading statement or omission shall be grounds for the application of any applicable criminal and/or civil penalties for perjury. The making of a false or fraudulent statement by an MBE and/or WBE in any instrument submitted pursuant to Section 6-129 shall, in addition, be grounds for revocation of its certification.

7. The Contractor's record in implementing its **M/WBE** Utilization Plan shall be a factor in the evaluation of its performance. Whenever Agency determines that a Contractor's compliance with an **M/WBE** Utilization Plan has been unsatisfactory, Agency shall, after consultation with the City Chief Procurement Officer, file an advice of caution form for inclusion in VENDEX as caution data.

SCHEDULE B - M/WBE Utilization Plan

Part I: M/WBE Participation Goals

Part I to be completed by contracting agency

Contract Overview

APT E- Pin #

85014B0116

FMS Project ID#: HWMP2017

Project Title/ Agency

PIN #

RECONSTRUCTION OF WADSWORTH TERRACE / 8502014HW0055C

Bid/Proposal

Response Date

APRIL 29, 2014

Contracting Agency

Department of Design and Construction

Agency Address

30-30 Thomson Ave.

City

Long Island City

State

NY

Zip Code

11101

Contact Person

Jessica Lavides

Title

MWBE Liaison & Compliance Analyst

Telephone #

(718) 391-1065

Email

LavidesJe@ddc.nyc.gov

Project Description (attach additional pages if necessary)

RECONSTRUCTION OF WADSWORTH TERRACE

FROM WEST 190TH STREET TO FAIRVIEW AVENUE

INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC WORK

Together With All Work Incidental Thereto

BOROUGH OF MANHATTAN

CITY OF NEW YORK

M/WBE Participation Goals for Services

Enter the percentage amount for each group or for an unspecified goal. Please note that there are no goals for Asian Americans in Professional Services.

Prime Contract Industry: Construction

Group	Percentage
Unspecified*	4 %
or	
Black American	UNSPECIFIED*
Hispanic American	UNSPECIFIED*
Asian American	UNSPECIFIED*
Women	UNSPECIFIED*
Total Participation Goals	4 % Line 1

*Note: For this procurement, individual ethnicity and gender goals are not specified. The Total Participation Goal for construction contracts may be met by using either Black-American, Hispanic-American, Asian American, or Women certified firms or any combination of such firms.

Tax ID #: 11-3082726APT E-
PIN #: _____**SCHEDULE B - Part II: M/WBE Participation Plan**

Part II to be completed by the bidder/proposer.

Please note: For Non-M/WBE Prime Contractors who will NOT subcontract any services and will self-perform the entire contract, you must obtain a FULL waiver by completing the Waiver Application on pages 17 and 18 and timely submitting it to the contracting agency pursuant to the Notice to Prospective Contractors. Once a FULL WAIVER is granted, it must be included with your bid or proposal and you do not have to complete or submit this form with your bid or proposal.

Section I: Prime Contractor Contact Information

Tax ID # 11-3082726 FMS Vendor ID # 516657
 Business Name CHA DUSTIN & SONS Contact Person JOHN M LABOZZA
 Address 5408 VERNON BLVD LIT NY 11101
 Telephone # 718 729-3600 Email JLABOZZA AT CHADUSTINSONS

Section II: M/WBE Utilization Goal Calculation: Check the applicable box and complete subsection.**PRIME CONTRACTOR ADOPTING AGENCY M/WBE PARTICIPATION GOALS**

<input checked="" type="checkbox"/> For Prime Contractors (including Qualified Joint Ventures and M/WBE firms) adopting Agency M/WBE Participation Goals.	Total Bid/Proposal Value	Agency Total Participation Goals (Line 1, Page 13)	Calculated M/WBE Participation Amount
Calculate the total dollar value of your total bid that you agree will be awarded to M/WBE subcontractors for services and/or credited to an M/WBE prime contractor or Qualified Joint Venture.			
Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation.	\$ 2,321,534.16	X 4%	= \$ 92,861.37 Line 2

PRIME CONTRACTOR OBTAINED PARTIAL WAIVER APPROVAL: ADOPTING MODIFIED M/WBE PARTICIPATION GOALS

<input type="checkbox"/> For Prime Contractors (including Qualified Joint Ventures and M/WBE firms) adopting Modified M/WBE Participation Goals.	Total Bid/Proposal Value	Adjusted Participation Goal (From Partial Waiver)	Calculated M/WBE Participation Amount
Calculate the total dollar value of your total bid that you agree will be awarded to M/WBE subcontractors for services and/or credited to an M/WBE prime contractor or Qualified Joint Venture.			
Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation.	\$	X	= \$ Line 3

Section III: M/WBE Utilization Plan: How Proposer/Bidder Will Fulfill M/WBE Participation Goals. Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation. Check applicable box. The Proposer or Bidder will fulfill the M/WBE Participation Goals:

☒ As an M/WBE Prime Contractor that will self-perform and/or subcontract to other M/WBE firms a portion of the contract the value of which is at least the amount located on Lines 2 or 3 above, as applicable. The value of any work subcontracted to non-M/WBE firms will not be credited towards fulfillment of M/WBE Participation Goals. Please check all that apply to Prime Contractor:

☐ MBE ☐ WBE

☐ As a Qualified Joint Venture with an M/WBE partner, in which the value of the M/WBE partner's participation and/or the value of any work subcontracted to other M/WBE firms is at least the amount located on Lines 2 or 3 above, as applicable. The value of any work subcontracted to non M/WBE firms will not be credited towards fulfillment of M/WBE Participation Goals.

☒ As a non M/WBE Prime Contractor that will enter into subcontracts with M/WBE firms the value of which is at least the amount located on Lines 2 or 3 above, as applicable.

Section IV: General Contract Information

What is the expected percentage of the total contract dollar value that you expect to award in subcontracts for services, regardless of M/WBE status? % 5.2

Enter brief description of the type(s) and dollar value of subcontracts for all any services you plan on subcontracting if awarded this contract. For each item, indicate whether the work is designated for participation by MBEs and/or WBEs and the time frame in which such work is scheduled to begin and end. Use additional sheets if necessary.

1. Trucking	58,000	MBE	2014-2015
2. Robert Control	12,670	WBE	2014-2015
3. Tree Removal / Planting / Pruning	25,125	WBE	2014-2015
4. Fire Alarm / Street Lighting	27,665	-	2014-2015
5. Traffic Signs	16,000	-	2014-2015
6.			
7.			
8.			
9.			
10.			
11.			
12.			
13.			
14.			
15.			
16.			
17.			

✓ Scopes of Subcontract Work

Tax ID #: 11-3082726

APT E-
PIN #: _____

Section V: Vendor Certification and Required Affirmations

I hereby:

- 1) acknowledge my understanding of the M/WBE participation requirements as set forth herein and the pertinent provisions of Section 6-129 of the Administrative Code of the City of New York ("Section 6-129"), and the rules promulgated thereunder;
- 2) affirm that the information supplied in support of this M/WBE Utilization Plan is true and correct;
- 3) agree, if awarded this Contract, to comply with the M/WBE participation requirements of this Contract, the pertinent provisions of Section 6-129, and the rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract;
- 4) agree and affirm that it is a material term of this Contract that the Vendor will award the total dollar value of the M/WBE Participation Goals to certified MBEs and/or WBEs, unless a full waiver is obtained or such goals are modified by the Agency; and
- 5) agree and affirm, if awarded this Contract, to make all reasonable, good faith efforts to meet the M/WBE Participation Goals, or If a partial waiver is obtained or such goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms.

Signature	<u>[Signature]</u>	Date	<u>4/29/14</u>
Print Name	<u>JOHN M. BOZZA</u>	Title	<u>V.P.</u>

SCHEDULE B - PART III - REQUEST FOR WAIVER OF M/WBE PARTICIPATION REQUIREMENT

Contract Overview

Tax ID # 11-3082726 FMS Vendor ID # 576657
 Business Name DAP INDUSTRIES INC
 Contact Name JOHN M LABOZZA Telephone # 718 729 3600 Email JLABOZZA@DAP-INDUSTRIES.COM
 Type of Procurement ☐ Competitive Sealed Bids ☐ Other Bid/Response Due Date 12/11/13
 APT E-PIN # (for this procurement): _____ Contracting Agency: _____

M/WBE Participation Goals as described in bid/solicitation documents

%

Agency M/WBE Participation Goal

Proposed M/WBE Participation Goal as anticipated by vendor seeking waiver

%

of the total contract value anticipated in good faith by the bidder/proposer to be subcontracted for services and/or credited to an M/WBE Prime Contractor or Qualified Joint Venture.

Basis for Waiver Request: Check appropriate box & explain in detail below (attach additional pages if needed)

- ☐ Vendor does not subcontract services, and has the capacity and good faith intention to perform all such work itself with its own employees.
- ☐ Vendor subcontracts some of this type of work but at a lower % than bid/solicitation describes, and has the capacity and good faith intention to do so on this contract. (Attach subcontracting plan outlining services that the vendor will self-perform and subcontract to other vendors or consultants.)
- ☐ Vendor has other legitimate business reasons for proposing the M/WBE Participation Goal above. Explain under separate cover.

References

List 3 most recent contracts performed for NYC agencies (if any). Include information for each subcontract awarded in performance of such contracts. Add more pages if necessary.

CONTRACT NO.	AGENCY	DATE COMPLETED
Total Contract Amount \$	Total Amount Subcontracted \$	
Item of Work Subcontracted and Value of subcontract	Item of Work Subcontracted and Value of subcontract	Item of Work Subcontracted and Value of subcontract
CONTRACT NO.	AGENCY	DATE COMPLETED
Total Contract Amount \$	Total Amount Subcontracted \$	
Item of Work Subcontracted and Value of subcontract	Item of Work Subcontracted and Value of subcontract	Item of Work Subcontracted and Value of subcontract
CONTRACT NO.	AGENCY	DATE COMPLETED
Total Contract Amount \$	Total Amount Subcontracted \$	
Item of Work Subcontracted and Value of subcontract	Item of Work Subcontracted and Value of subcontract	Item of Work Subcontracted and Value of subcontract

List 3 most recent contracts performed for other entities. Include information for each subcontract awarded in performance of such contracts. Add more pages if necessary.

(Complete ONLY if vendor has performed fewer than 3 New York City contracts.)

TYPE OF Contract	ENTITY	DATE COMPLETED
Manager at entity that hired vendor (Name/Phone No./Email)		
Total Contract Amount \$	Total Amount Subcontracted \$	
Type of Work Subcontracted		

TYPE OF Contract	AGENCY/ENTITY	DATE COMPLETED
Manager at agency/entity that hired vendor (Name/Phone No./Email)		
Total Contract Amount \$	Total Amount Subcontracted \$	
Item of Work Subcontracted and Value of subcontract	Item of Work Subcontracted and Value of subcontract	Item of Work Subcontracted and Value of subcontract

TYPE OF Contract	AGENCY/ENTITY	DATE COMPLETED
Manager at entity that hired vendor (Name/Phone No./Email)		
Total Contract Amount \$	Total Amount Subcontracted \$	
Item of Work Subcontracted and Value of subcontract	Item of Work Subcontracted and Value of subcontract	Item of Work Subcontracted and Value of subcontract

VENDOR CERTIFICATION: I hereby affirm that the information supplied in support of this waiver request is true and correct, and that this request is made in good faith.

Signature: _____ Date: _____
 Print Name: _____ Title: _____

Shaded area below is for agency completion only

AGENCY CHIEF CONTRACTING OFFICER APPROVAL	
Signature: _____	Date: _____
AGENCY CHIEF PROGRAMMING OFFICER APPROVAL	
Signature: _____	Date: _____
AGENCY CHIEF OF POLICE APPROVAL	
Signature: _____	Date: _____
AGENCY CHIEF OF DEFENSE APPROVAL	
Signature: _____	Date: _____
AGENCY CHIEF OF MARINE APPROVAL	
Signature: _____	Date: _____
AGENCY CHIEF OF NAVY APPROVAL	
Signature: _____	Date: _____
AGENCY CHIEF OF AIR FORCE APPROVAL	
Signature: _____	Date: _____
AGENCY CHIEF OF SPACE APPROVAL	
Signature: _____	Date: _____

SAFETY QUESTIONNAIRE

The bidder must include, with its bid, all information requested on this Safety Questionnaire. Failure to provide a completed and signed Safety Questionnaire at the time of bid opening may result in disqualification of the bid as non-responsive.

1. Bidder Information:Company Name: CAC INDUSTRIES INCDDC Project Number: H WMP 2017

Company Size: Ten (10) employees or less
 Greater than ten (10) employees

Company has previously worked for DDC ☒ YES NO

2. Type(s) of Construction Work

TYPE OF WORK	LAST 3 YEARS	THIS PROJECT
General Building Construction	<u> </u>	<u> </u>
Residential Building Construction	<u> </u>	<u> </u>
Nonresidential Building Construction	<u> </u>	<u> </u>
Heavy Construction, except building	<u> </u>	<u> </u>
Highway and Street Construction	<u> </u>	<u> </u>
Heavy Construction, except highways	<u> </u>	<u> </u>
Plumbing, Heating, HVAC	<u> </u>	<u> </u>
Painting and Paper Hanging	<u> </u>	<u> </u>
Electrical Work	<u> </u>	<u> </u>
Masonry, Stonework and Plastering	<u> </u>	<u> </u>
Carpentry and Floor Work	<u> </u>	<u> </u>
Roofing, Siding, and Sheet Metal	<u> </u>	<u> </u>
Concrete Work	<u> </u>	<u> </u>
Specialty Trade Contracting	<u> </u>	<u> </u>
Asbestos Abatement	<u> </u>	<u> </u>
Other (specify)	<u> </u>	<u> </u>

3. Experience Modification Rate:

The Experience Modification Rate (EMR) is a rating generated by the National Council of Compensation Insurance (NCCI). This rating is used to determine the contractor's premium for worker's compensation insurance. The contractor may obtain its EMR by contacting its insurance broker or the NCCI. If the contractor cannot obtain its EMR, it must submit a written explanation as to why.

Project ID. HWM 02017

The Contractor must indicate its Intrastate and Interstate EMR for the past three years. [Note: For contractors with less than three years of experience, the EMR will be considered to be 1.00].

YEAR	INTRASTATE RATE	INTERSTATE RATE
<u>2011</u>	<u>.98</u>	<u>N/A</u>
<u>2012</u>	<u>.90</u>	<u>N/A</u>
<u>2013</u>	<u>.84</u>	<u>N/A</u>

If the Intrastate and/or Interstate EMR for any of the past three years is greater than 1.00, the contractor must attach, to this questionnaire, a written explanation for the rating and identify what corrective action was taken to correct the situation resulting in that rating.

4. OSHA Information:

- ☐ YES ☒ NO Contractor has received a willful violation issued by OSHA or New York City Department of Buildings (NYCDOB) within the last three years.
- ☐ YES ☒ NO Contractor has had an incident requiring OSHA notification within 8 hours (i.e., fatality, or hospitalization of three or more employees).

The Occupational Safety and Health Act (OSHA) of 1970 requires employers with ten or more employees, on a yearly basis to complete and maintain on file the form entitled "Log of Work-related Injuries and Illnesses". This form is commonly referred to as the OSHA 300 Log (OSHA 200 Log for 2001 and earlier).

The OSHA 300 Log must be submitted for the last three years for contractors with more than ten employees.

The Contractor must indicate the total number of hours worked by its employees, as reflected in payroll records for the past three years.

The contractor must submit the Incident Rate for Lost Time Injuries (the Incident Rate) for the past three years. The Incident Rate is calculated in accordance with the formula set forth below. For each given year, the total number of incidents is the total number of non-fatal injuries and illnesses reported on the OSHA 300 Log. The 200,000 hours represents the equivalent of 100 employees working forty hours a week, fifty weeks per year.

$$\text{Incident Rate} = \frac{\text{Total Number of Incidents X 200,000}}{\text{Total Number of Hours Worked by Employees}}$$

YEAR	TOTAL NUMBERS OF HOURS WORKED BY EMPLOYEES	INCIDENT RATE
<u>2011</u>	<u>373731</u>	<u>2.68</u>
<u>2012</u>	<u>361622</u>	<u>2.21</u>
<u>2013</u>	<u>350387</u>	<u>3.42</u>

If the contractor's Incident Rate for any of the past three years is one point higher than the Incident Rate for the type of construction it performs (listed below), the contractor must attach, to this questionnaire, a written explanation for the relatively high rate.

General Building Construction	8.5
Residential Building Construction	7.0
Nonresidential Building Construction	10.2
Heavy Construction, except building	8.7
Highway and Street Construction	9.7
Heavy Construction, except highways	8.3
Plumbing, Heating, HVAC	11.3
Painting and Paper Hanging	6.9
Electrical Work	9.5
Masonry, Stonework and Plastering	10.5
Carpentry and Floor Work	12.2
Roofing, Siding, and Sheet Metal	10.3
Concrete Work	8.6
Specialty Trade Contracting	8.6

5. Safety Performance on Previous DDC Project(s)

☒ YES ☐ NO Contractor previously audited by the DDC Office of Site Safety.

DDC Project Number(s): HWQ 1161, _____, _____

☒ YES ☐ NO Accident on previous DDC Project(s).

DDC Project Number(s): HWQ 1161, _____, _____

☐ YES ☒ NO Fatality or Life-altering Injury on DDC Project(s) within the last three years.
[Examples of a life-altering injury include loss of limb, loss of a sense (e.g., sight, hearing), or loss of neurological function].

DDC Project Number(s): _____, _____, _____

Date: 4/29/14

By: [Signature]
(Signature of Owner, Partner, Corporate Officer)

Title: [Signature]

(NO TEXT ON THIS PAGE)

APPRENTICESHIP PROGRAM REQUIREMENTS

Bidders are advised that the Apprenticeship Program Requirements set forth below apply to each contract for which a check mark is indicated before the word "Yes". Compliance with these requirements will be determined solely by the City.

_____ YES ☒ NO

(1) Apprenticeship Program Requirements

Notice to Bidders: Please be advised that, pursuant to the authority granted to the City under Labor Law Section 816-b, the Department of Design and Construction hereby requires that the contractor awarded a contract as a result of this Invitation for Bids, and any of its subcontractors with subcontracts worth one million dollars or over, have, prior to entering into such contract or subcontract, apprenticeship agreements appropriate for the type and scope of work to be performed that have been registered with, and approved by, the New York State Commissioner of Labor. In addition, the contractor and its subcontractors will be required to show that such apprenticeship programs have three years of current, successful experience in providing career opportunities.

The failure to prove, upon request, that these requirements have been met shall result in the contract not being awarded to the contractor or the subcontract not being approved.

Please be further advised that, pursuant to Labor Law Section 220, the allowable ratio of apprentices to journeypersons in any craft classification shall not be greater than the ratio permitted to the contractor as to its workforce on any job under the registered apprenticeship program.

(2) Apprenticeship Program Questionnaire

The bidder must submit a completed and signed Apprenticeship Program Questionnaire. The Questionnaire is set forth on the following page of the Bid Booklet.

APPRENTICESHIP PROGRAM QUESTIONNAIRE

PROJECT ID: HWMP2017

The bidder must submit a completed and signed Apprenticeship Program Questionnaire.

Name of Bidder: CAC INDUSTRIES INC

1. Does the bidder have an Apprenticeship Program appropriate for the type and scope of work to be performed?
[Note: Participation may be by either direct sponsorship or through collective bargaining agreement(s).]

☒ YES ☐ NO

2. Has the bidder's Apprenticeship Program been registered with, and approved by, the New York State Commissioner of Labor?

☒ YES ☐ NO

3. Has the bidder's Apprenticeship Program had three years of successful experience in providing career opportunities?

☒ YES ☐ NO

If the answer to Question #3 is "Yes", the bidder shall, in the space below, provide information regarding the experience the Apprenticeship Program has had in providing career opportunities. The bidder may attach additional pages if necessary.

THROUGH COLLECTIVE BARGAINING AGREEMENT
W/ THE LABOR UNIONS V.I.A GCA

Bidder: CAC INDUSTRIES INC

By: [Signature]

(Signature of Partner or Corporate Officer)

Title: VP

Date: 4/24/14

C.A.C. INDUSTRIES, INC.
SIMILAR CONTRACTS COMPLETED BY THE BIDDER

Print Date: 5/2/2014

Project & Location Contract #	Contract Type	Contract Amount	Date Completed	Owner Reference & Tel. No.	Architect/Engineer Ref & Tel. No.
Construction of Storm Sewers in Springfield Blvd., Queens Contract #SE-687-A	G.C.	\$ 1,575,000.00	Nov-98	D.D.C.	John Pusz, P.E. 718-391-1903
Construction of Combined Sewers in 62nd Street, Brooklyn Contract #SEK-002258	G.C.	\$ 533,413.75	Aug-98	D.D.C.	Lambert Monah, P.E. 718-780-8115
Construction of Storm & Sanitary Sewers in 169th Street, Queens Contract #SEQ-200292/002431	G.C.	\$ 491,756.68	Dec-98	D.D.C.	Donald Granger, P.E. 718-391-1968
Construction of Sanitary Sewers in 117th Rd., Queens Contract #SEQ-002420	G.C.	\$ 391,456.71	Dec-98	D.D.C.	Donald Granger, P.E. 718-391-1968
Construction of Storm & Sanitary Sewers, Watermains & Appurtenances in 229th Street, Queens Contract #SEQ-200295/002501	G.C.	\$ 2,722,332.51	Dec-99	D.D.C.	Tom Wymne, P.E. 718-391-2273
Construction of Storm & Sanitary Sewers, Watermains & Appurtenances in Heberton Ave., Staten Island Contract #SER-20065	Sub	\$ 550,000.00	Sep-97	D.D.C.	Medhat Hanna, P.E. 718-390-5327 (718) 390-5327
Reconstruction of Baisley Blvd. Including Sewers, Watermains & Street Lighting HWQ-994	Sub	\$ 1,000,000.00	Nov-97	D.D.C.	Anna Pluta-Migoya, P.E. 718-391-1954
Construction of Storm Sewers in 58th Avenue, Queens Contract# SEQ-200289	G.C.	\$ 243,742.00	Sep-98	D.D.C.	Eric Sattler, P.E. 718-391-1966
Construction of Sewers in 85th Avenue Contract #SEQ-002482	G.C.	\$ 672,424.89	Apr-00	D.D.C.	Tom Wymne, P.E. 718-391-2273
Construction of Storm & Sanitary Sewers in 220th Street & Jamaica Avenue, Queens Contract # SEQ-002478/200319/002464/200326	G.C.	\$ 3,104,402.31	Nov-00	D.D.C.	Tom Wymne, P.E. 718-391-2273
Construction of Sewers in 125th Avenue Contract # SEQ002474	G.C.	\$ 2,491,158.96	Dec-00	D.D.C.	Tom Wymne, P.E. 718-391-2273
The Reconstruction of Sutphin Blvd Area, Queens Contract #HWQ-600C2	G.C.	\$ 9,352,053.99	Oct-01	D.D.C.	Donald Granger, P.E. 718-391-1968



**C.A.C. INDUSTRIES, INC.
SIMILAR CONTRACTS COMPLETED BY THE BIDDER**

Print Date: 5/2/2014

Project & Location Contract #	Contract Type	Contract Amount	Date Completed	Owner Reference & Tel. No.	Architect/Engineer Ref & Tel. No.
Reconstruction of Collapsed Storm, Sanitary or Combined Sewers in various locations, Queens Contract # SEQ-00201A/EP-7	G.C.	\$ 3,571,618.77	Aug-01	D.D.C.	Dan Lefkowitz 718-595-4201
Construction of Sanitary & Storm Sewers in 219th Street, Queens Contract # SEQ-002510/200344	G.C.	\$ 5,256,628.65	May-02	D.D.C.	Tom Wymne, P.E. 718-391-2273
Construction of Storm Sewers in Baisley Blvd, Queens Contract # SEQ-002514/200362	G.C.	\$ 5,022,345.51	May-02	D.D.C.	Donald Granger, P.E. 718-391-1968
Construction of Sanitary Sewers in Murdoch Avenue, Queens Contract # SEQ-002514/200362	G.C.	\$ 1,409,727.96	Aug-02	D.D.C.	Tom Wymne, P.E. 718-391-2273
Construction of Storm Sewers in Beach Channel Drive, Queens Contract # SEQ-200358/200378	G.C.	\$ 927,658.80	Aug-02	D.D.C.	Tom Wymne, P.E. 718-391-2273
Construction of Combined Sewers in East 89th Street, Brooklyn Contract # SEK-002320	G.C.	\$ 177,903.00	Dec-02	D.D.C.	Tom Wymne, P.E. 718-391-2273
Construction of Storm Sewers in Beach 139th Street, Queens Contract # SE-426C/427C	G.C.	\$ 6,545,625.39	Jun-03	D.D.C.	Tom Wymne, P.E. 718-391-2273
Construction of Sanitary Sewers in Smith Street, Queens Contract # SEQ-002532	G.C.	\$ 4,905,099.18	Jul-03	D.D.C.	Tom Wymne, P.E. 718-391-2273
Reconstruction of Greenwich Street, Manhattan Contract # HMMWTCAL1A	G.C.	\$ 2,145,384.00	Dec-03	D.D.C.	Tom Wymne, P.E. 718-391-2273
Reconstruction of 89th Road, Queens Contract # HWQ-631A	G.C.	\$ 5,422,676.58	Jan-04	D.D.C.	Tom Wymne, P.E. 718-391-2273
Construction of Storm Sewers in Beach 53rd Street, Queens Contract # SEQ-200381-R	G.C.	\$ 280,615.43	Mar-04	D.D.C.	Tom Wymne, P.E. 718-391-2273
Water Main Installation for New Building Constr & Improvement to the City's Water Main Distribution System, Queens, System, Queens & Bronx - Contract # QED-980	G.C.	\$ 1,021,005.00	May-04	D.D.C.	Tom Wymne, P.E. 718-391-2273



C.A.C. INDUSTRIES, INC.
SIMILAR CONTRACTS COMPLETED BY THE BIDDER

Print Date: 5/2/2014

Project & Location Contract #	Contract Type	Contract Amount	Date Completed	Owner Reference & Tel. No.	Architect/Engineer Ref & Tel. No.
Reconstruction of Sanitary Sewers in Daniels Street, Queens Contract # SEQ-002488	G.C.	\$ 1,215,916.60	May-04	D.D.C.	Tom Wymne, P.E. 718-391-2273
Construction of Sanitary & Storm Sewers in 43rd Avenue, Queens Contract # SEQ-002569	G.C.	\$ 911,936.43	Jul-04	D.D.C.	Tom Wymne, P.E. 718-391-2273
Reconstruction of Somerville Area, Queens Contract # HWQ-631A	G.C.	\$ 15,245,464.68	Mar-05	D.D.C.	Tom Wymne, P.E. 718-391-2273
Construction of Sanitary and Storm Sewers in Collier Avenue, Etc. - Queens Contract # SEQ-002413-R	G.C.	\$ 1,850,940.00	Apr-05	D.D.C.	Donald Granger, P.E. 718-391-1968
Construction of Sanitary Sewers in 122nd Avenue, Queens Contract # SEQ-002567	G.C.	\$ 4,666,115.25	Sep-05	D.D.C.	Donald Granger, P.E. 718-391-1968
Reconstruction of Edgemere Urban Renewal Area Phase I - Borough of Queens Contract # HD-153B	G.C.	\$ 6,436,325.09	Sep-05	D.D.C.	Donald Granger, P.E. 718-391-1968
Reconstruction of Reads Lane Borough of Brooklyn Contract # HWQ230G-R	G.C.	\$ 6,537,998.25	Sep-05	D.D.C.	Donald Granger, P.E. 718-391-1968
Reconstr of Collapsed or Defective Sanitary, Storm and Combined Vitrified Clay pipe Sewers, Bronx Contract # SEX00201P	G.C.	\$ 3,438,710.96	May-06	D.E.P.	Dan Lefkowitz 718-595-4200
Reconstr of Collapsed or Defective Sanitary, Storm & Combined Vitrified Clay pipe Sewers, Queens Contract # SEQ00201B2	G.C.	\$ 4,689,808.39	May-06	D.E.P.	Dan Lefkowitz 718-595-4200
Constr of Sanitary & Storm Sewers & Installation of Water Mains in 167th Street, Queens Contract # SEQ-002574	G.C.	\$ 4,965,952.50	Jun-07	D.D.C.	Donald Granger, P.E. 718-391-1968



C.A.C. INDUSTRIES, INC.
SIMILAR CONTRACTS COMPLETED BY THE BIDDER

Print Date: 5/2/2014

Project & Location Contract #	Contract Type	Contract Amount	Date Completed	Owner Reference & Tel. No.	Architect/Engineer Ref & Tel. No.
Reconstruction of Collapsed or Otherwise Defective Sanitary, Storm & Vitrified Clay Pipe Sewers, Borough of Queens Contract # SEQ0201B3	G.C.	\$ 4,143,865.40	Apr-07	D.E.P.	Dan Lefkowitz 718-595-4200
Reconstruction of Collapsed, Defective Sanitary, Storm and Combined Vitrified Clay Pipe Sewers, Borough of Bronx Contract # SEX00201Q	G.C.	\$ 3,204,358.27	Apr-07	D.E.P.	Dan Lefkowitz 718-595-4200
Reconstruction & Rehabilitation of Combined Sewer in Seymour Ave, etc., Borough of the Bronx Contract # SEX002251	G.C.	\$ 3,545,973.00	Sep-07	D.D.C.	Tom Wymne, P.E. 718-391-2273
Construction of Sanitary Sewers in Hoda Place Borough of Staten Island Contract # SER-002235-R	G.C.	\$ 1,639,099.89	Aug-07	D.D.C.	Tom Wymne, P.E. 718-391-2273
Reconstruction of Edgemere Urban Renewal Area Phase II - Borough of Queens Contract # HD-153B1	G.C.	\$ 17,221,197.24	Sep-07	D.D.C.	Donald Granger, P.E. 718-391-1968
Installation of Water Mains & Reconstruction of Combined Sewers in Richmond Road, Staten Island Contract # RED-354	G.C.	\$ 20,315,957.76	Dec-07	D.D.C.	Tom Wymne, P.E. 718-391-2273
Reconstruction of Gateway Estates Area Phase 1A, Brooklyn Contract # HD-161	G.C.	\$ 17,445,456.27	Mar-08	D.D.C.	Tom Wymne, P.E. 718-391-2273
Reconstruction of Gateway Estates Area Phase 1A, Brooklyn Contract # HD-161	G.C.	\$ 11,378,826.00	Nov-08	D.D.C.	Tom Wymne, P.E. 718-391-2273
Reconstruction of Collapsed or Defective Cement Pipe & Combined Sewers in Various Locations, Borough of Brooklyn Contract No: SE-166-B4	G.C.	\$ 4,178,068.70	Mar-09	D.E.P.	Dan Lefkowitz 718-595-4200
Reconstruction of Collapsed or Defective Cement Pipe & Combined Sewers in Various Locations, Borough of Brooklyn Contract No: SE-166-B5	G.C.	\$ 4,174,464.15	Mar-10	D.E.P.	Dan Lefkowitz 718-595-4200



C.A.C. INDUSTRIES, INC.
SIMILAR CONTRACTS COMPLETED BY THE BIDDER

Print Date: 5/2/2014

Project & Location Contract #	Contract Type	Contract Amount	Date Completed	Owner Reference & Tel. No.	Architect/Engineer Ref & Tel. No.
Reconstruction of 99th, 104th and 110th Avenues, etc. Borough of Queens Contract # HWQ1161	G.C.	\$ 59,545,262.70	Dec-10	D.D.C.	Donald Granger, P.E. 718-391-1968
Rehabilitation of Step Streets at West 176th and West 230th Streets Borough of the Bronx Contract No: HWXS211V2	G.C.	\$ 3,488,663.36	Dec-10	D.D.C.	Joe Cassidy, P.E. (718) 365-2106
Reconstruction of Harrison Street Borough of Manhattan Contract No: HWMWTCA7A	G.C.	\$ 13,358,600.51	Nov-10	D.D.C.	Ashwinkumar Patel, P.E. 212-442-7990
Reconstruction of Collapsed or Defective Cement Pipe & Combined Sewers in Various Locations, Borough of Brooklyn Contract No: SE-166-B6	G.C.	\$ 3,965,926.77	May-11	D.E.P.	Dan Lefkowitz 718-595-4200
Highline Reconstruction (Section 2) Borough of Queens NYCEDC Contract # 16230008	G.C.	\$ 36,714,323.00	Jul-11	E.D.C.	Len Greco, P.E. 212-3123743
Construction of Sanitary & Storm and Appurtenances in 89th Ave, etc. various locations, Borough of Queens Contract No: SEQ002658	G.C.	\$ 1,898,354.25	Dec-11	D.D.C.	Donald Granger, P.E. 718-391-1968
Construction of Storm and Combined Sewers in Fairfax Avenue between Waterbury Ave & Fairmount Avenue, Borough of the Bronx Contract No: SEXX20039	G.C.	\$ 2,245,311.00	Dec-11	D.D.C.	Joe Cassidy, P.E. 718-365-2106
Construction of Storm Sewer & Outfall in B. 42nd St b/w B. Channel Drive & the U.S. Bulthead Line, Borough of Queens Contract No: SEQ200533	G.C.	\$ 5,277,781.60	Jun-12	D.D.C.	Donald Granger, P.E. 718-391-1968
Construction of Combined Sewers and Appurtenances in 26th Avenue between 154th Street & 157th Street, Borough of Queens Contract No: SEQ002587	G.C.	\$ 1,496,484.00	Jun-12	D.D.C.	Donald Granger, P.E. 718-391-1968



C.A.C. INDUSTRIES, INC.
SIMILAR CONTRACTS COMPLETED BY THE BIDDER

Print Date: 5/2/2014

Project & Location Contract #	Contract Type	Contract Amount	Date Completed	Owner Reference & Tel. No.	Architect/Engineer Ref & Tel. No.
Reconstruction of East Fordham Rd from Southern Blvd to the Ramps of the Bronx River Parkway - Borough of the Bronx Contract No: HWXP134	G.C.	\$ 11,421,586.26	Dec-13	D.D.C.	Lambert Monah, P.E. 917-939-6966
Reconstruction of Bronx & Pelham Pkwy East Bound & Pelham Pkwy South from Bronx River Pkwy to Hutchinson River Pkwy, Borough of the Bronx Contract No: HWX710	G.C.	\$ 36,165,168.80	Apr-14	D.D.C.	Lambert Monah 917-939-6966
Reconstruction of Collapsed or Otherwise Defective Sanitary, Storm Sewers Various location - Borough of the Bronx Contract No: SEX00201W	G.C.	\$ 4,554,306.44	Apr-14	D.D.C.	Lambert Monah 917-939-6966



C.A.C. INDUSTRIES, INC.

Print Date: 5/2/2014

CONTRACTS CURRENTLY UNDER CONSTRUCTION BY THE BIDDER

Project & Location	Contract Type	Contract Amount	Subcontracted to Others	Uncompleted Portion	Date Scheduled to Complete	Owner Reference & Tel. No.	Architect/Engineer Ref & Tel. No.
Reconstruction of Thursby Avenue, Phase II, etc. Borough of Queens Contract No: HWQ631B1	G.C.	\$ 41,544,348.39	\$ 35,000.00	\$ 250,000.00	Apr-14	D.D.C.	Donald Granger, P.E. 718-391-1968
World Trade Center - WTC Street, Utilities & Related Infrastructure - Phase I Utilities & Interim Street & Sidewalk Surfaces, Manhattan Contract No: WTC-342.287	G.C.	\$ 9,057,261.78	\$ 98,000.00	\$ 25,000.00	May-14	The Port Authority	Trevor Wright 212-435-5151
Rehabilitation of College Point Blvd & Reconstruction of 32nd Ave Borough of Queens Contract No: HWQ1675	G.C.	\$ 12,454,452.00	\$ 218,000.00	\$ 4,400,000.00	May-14	D.D.C.	Donald Granger, P.E. 718-391-1968 (718) 391-1968
Installation of Trunk Mains & Appurtenances in Hudson Street, Manhattan Contract No: MED-596	G.C.	\$ 59,494,197.13	\$ 462,000.00	\$ 12,000,000.00	Feb-16	D.D.C.	Ashwinkumar Patel, P.E. 212-442-7990
Installation of Trunk Water Main in Beach 94th Street, Queens Contract No: QED-983	G.C.	\$ 24,372,688.50	\$ 355,000.00	\$ 1,000,000.00	Jun-14	D.D.C.	Donald Granger, P.E. 718-391-1968
Reconstruction of Combined and Storm Sewers in Commerce Ave Borough of the Bronx Contract No: SEX20043	G.C.	\$ 4,190,156.46	\$ 194,000.00	\$ 500,000.00	Jun-14	D.D.C.	Lambert Monah 917-939-6966
Construction of Sanitary & Storm Sewers and Appurtenances in 29th Street Borough of Queens Contract No: SE-817	G.C.	\$ 37,911,609.00	\$ 968,000.00	\$ 15,000,000.00	Aug-15	D.D.C.	Donald Granger, P.E. 718-391-1968
Reconstruction of Select Bus Service Features At Nostrand & Rogers Avenue, Brooklyn Contract No: HWK1130A	G.C.	\$ 14,945,698.98	\$ 422,000.00	\$ 100,000.00	Jun-14	D.D.C.	Tom Wynne, P.E. 718-391-2273



C.A.C. INDUSTRIES, INC.

CONTRACTS CURRENTLY UNDER CONSTRUCTION BY THE BIDDER

Print Date: 5/2/2014

Project & Location	Contract Type	Contract Amount	Subcontracted to Others	Uncompleted Portion	Date Scheduled to Complete	Owner Reference & Tel. No.	Architect/Engineer Ref & Tel. No.
Construction of Strom & Sanitary Sewers & Trunk Mains in Hart Place & Coney Island Creek, Brooklyn Contract No: CONISPH01	G.C.	\$ 27,721,963.89	\$ 876,000.00	\$ 10,500,000.00	Jun-15	D.D.C.	Tom Wymne, P.E. 718-391-2273
Reconstruction of Gateway Estates Area, Phase C Borough of Brooklyn Contract No: HD-161C	G.C.	\$ 12,787,621.65	\$ 369,000.00	\$ 12,650,000.00	Jun-15	D.D.C.	Tom Wymne, P.E. 718-391-2273



C.A.C. INDUSTRIES, INC.

PENDING CONTRACTS NOT YET STARTED BY THE BIDDER

Print Date: 5/2/2014

Project & Location	Contract Type	Contract Amount	Date Scheduled to Start	Owner Reference & Tel. No.	Architect/Engineer Ref & Tel. No.
Edenwald Houses - On Site Stormwater Management Practices Borough of Queens Contract No. GXHP 24-03	G.C.	\$ 3,580,934.00	TBD	DEP	TBD
Reconstruction of Myrtle Avenue from Hall Street to Emerson Place Brooklyn Contract HWPLZ001K	G.C.	\$ 5,861,333.79	TBD	DDC	Tom Wynn 718-391-2273

**OFFICE OF THE MAYOR
BUREAU OF LABOR SERVICES
CONTRACT CERTIFICATE**

To be completed if the contract is less than \$1,000,000

Contractor: _____

Address: _____

Telephone Number: _____

Name and Title of Signatory: _____

Contracting Agency or Owner: _____

Project Number: _____

Proposed Contract Amount: _____

Description and Address of Proposed Contract: _____

Names of Subcontractors in the amount of 750,000 or more on this contract (if not known at this time, so state indicating that trades will be subcontracted):

I, (fill in name of person signing) _____, hereby affirm that I am authorized by the above-named contractor to certify that said contractor's proposed contract with the above-named owner or city agency is less than \$1,000,000. This affirmation is made in accordance with Executive Order No. 50 (1980) as amended and its implementing regulations.

Date

Signature

WILLFUL OR FRAUDULENT FALSIFICATION OF ANY DATA OR INFORMATION SUBMITTED HEREWITH MAY RESULT IN THE TERMINATION OF ANY CONTRACT BETWEEN THE CITY AND THE BIDDER OR CONTRACTOR AND BAR THE BIDDER OR CONTRACTOR FROM PARTICIPATION IN ANY CITY CONTRACT FOR A PERIOD OF UP TO THREE YEARS. FURTHER, SUCH FALSIFICATION MAY RESULT IN CRIMINAL PROSECUTION.

(NO TEXT ON THIS PAGE)

VENDEX COMPLIANCE

(A) **Vendex Fees:** Pursuant to Procurement Policy Board Rule 2-08(f)(2), the contractor will be charged a fee for the administration of the VENDEX system, including the Vendor Name Check process, if a Vendor Name Check review is required to be conducted by the Department of Investigation. The contractor shall also be required to pay the applicable required fees for any of its subcontractors for which Vendor Name Check reviews are required. The fee(s) will be deducted from payments made to the contractor under the contract. For contracts with an estimated value of less than or equal to \$1,000,000, the fee will be \$175 per Vendor Name Check review. For contracts with an estimated value of greater than \$1,000,000, the fee will be \$350 per Vendor Name Check review.

(B) **Confirmation of Vendex Compliance:** The Bidder shall submit this Confirmation of Vendex Compliance to the Department of Design and Construction, Contracts Section, 30-30 Thomson Avenue – First Floor, Long Island City, NY 11101.

Bid Information: The Bidder shall complete the bid information set forth below.

Name of Bidder: CAC INDUSTRIES INC
Bidder's Address: 5408 VERNON BLVD LIC NY 11101
Bidder's Telephone Number: 718 729-3600
Bidder's Fax Number: 718 729-0400
Date of Bid Opening: 4/29/14
Project ID: H W M P 2017

Vendex Compliance: To demonstrate compliance with Vendex requirements, the Bidder shall complete either Section (1) or Section (2) below, whichever applies.

- (1) **Submission of Vendex Questionnaires to MOCS:** By signing in the space provided below, the Bidder certifies that as of the date specified below, the Bidder has submitted Vendex Questionnaires to the Mayor's Office of Contract Services, Attn: VENDEX, 253 Broadway, 9th Floor, New York, New York 10007.

Date of Submission: _____

By: _____
(Signature of Partner or corporate officer)

Print Name: _____

- (2) **Submission of Certification of No Change to DDC:** By signing in the space provided below, the Bidder certifies that it has read the instructions in a "Vendor's Guide to Vendex" and that such instructions do not require the Bidder to submit Vendex Questionnaires. The Bidder has completed **TWO ORIGINALS** of the Certification of No Change set forth on the next page of this Bid Booklet.

By: _____
(Signature of Partner or corporate officer)

Print Name: JOHN MACABUZZA



Certificate of No Change Form



- Please submit two completed forms. Copies will not be accepted.
- Please send both copies to the agency that requested it, unless you are advised to send it directly to the Mayor's Office of Contract Services (MOCS).
- A materially false statement willfully or fraudulently made in connection with this certification, and/or the failure to conduct appropriate due diligence in verifying the information that is the subject of this certification, may result in rendering the submitting entity non-responsible for the purpose of contract award.
- A materially false statement willfully or fraudulently made in connection with this certification may subject the person making the false statement to criminal charges.

I, JOHN M LABOZZA, being duly sworn, state that I have read
Enter Your Name

and understand all the items contained in the vendor questionnaire and any submission of change as identified on page one of this form and certify that as of this date, these items have not changed. I further certify that, to the best of my knowledge, information and belief, those answers are full, complete, and accurate; and that, to the best of my knowledge, information, and belief, those answers continue to be full, complete, and accurate.

In addition, I further certify on behalf of the submitting vendor that the information contained in the principal questionnaire(s) and any submission of change identified on page two of this form have not changed and have been verified and continue, to the best of my knowledge, to be full, complete and accurate.

I understand that the City of New York will rely on the information supplied in this certification as additional inducement to enter into a contract with the submitting entity.

Vendor Questionnaire *This section is required.*

This refers to the vendor questionnaire(s) submitted for the vendor doing business with the City.

Name of Submitting Entity: CAC INDUSTRIES INC

Vendor's Address: 5408 VERNON BLVD LIC NY 11101

Vendor's EIN or TIN: 11-3082726 Requesting Agency: _____

Are you submitting this Certification as a parent? (Please circle one) Yes ☐ No ☒

Signature date on the last full vendor questionnaire signed for the submitting vendor: 10/23/12

Signature date on change submission for the submitting vendor: 7/29/13

Principal Questionnaire

This section refers to the most recent principal questionnaire submissions.



	Principal Name	Date of signature on last full Principal Questionnaire	Date(s) of signature on submission of change
1	Michael A Capasso		7/29/13
2	John M Labozza	7/29/13	
3	James E McMoran	7/29/13	
4			
5			
6			

☐ Check if additional changes were submitted and attach a document with the date of additional submissions.

Certification This section is required.

This form must be signed and notarized. Please complete this twice. Copies will not be accepted.

Certified By:

JOHN M LABOZZA
Name (Print)

V.P.
Title

CAC INDUSTRIES INC
Name of Submitting Entity

[Signature]
Signature

4/29/14
Date

Notarized By:

[Signature]
Notary Public

QUEENS
County License Issued

01DE5048152
License Number

Sworn to before me on: 4/29/14
Date

DIANE C. DERIN
Notary Public, State of New York
No. 01DE5048152
Qualified in Queens County
Commission Expires August 14, 2017

IRAN DIVESTMENT ACT COMPLIANCE RIDER

FOR NEW YORK CITY CONTRACTORS

The Iran Divestment Act of 2012, effective as of April 12, 2012, is codified at State Finance Law ("SFL") §165-a and General Municipal Law ("GML") §103-g. The Iran Divestment Act, with certain exceptions, prohibits municipalities, including the City, from entering into contracts with persons engaged in investment activities in the energy sector of Iran. Pursuant to the terms set forth in SFL §165-a and GML §103-g, a person engages in investment activities in the energy sector of Iran if:

- (a) The person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or
- (b) The person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran and is identified on a list created pursuant to paragraph (b) of subdivision three of Section 165-a of the State Finance Law and maintained by the Commissioner of the Office of General Services.

A bid or proposal shall not be considered for award nor shall any award be made where the bidder or proposer fails to submit a signed and verified bidder's certification.

Each bidder or proposer must certify that it is not on the list of entities engaged in investment activities in Iran created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the State Finance Law. In any case where the bidder or proposer cannot certify that they are not on such list, the bidder or proposer shall so state and shall furnish with the bid or proposal a signed statement which sets forth in detail the reasons why such statement cannot be made. The City of New York may award a bid to a bidder who cannot make the certification on a case by case basis if:

- (1) The investment activities in Iran were made before the effective date of this section (i.e., April 12, 2012), the investment activities in Iran have not been expanded or renewed after the effective date of this section and the person has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
- (2) The City makes a determination that the goods or services are necessary for the City to perform its functions and that, absent such an exemption, the City would be unable to obtain the goods or services for which the contract is offered. Such determination shall be made in writing and shall be a public document.

**BIDDER'S CERTIFICATION OF COMPLIANCE WITH
IRAN DIVESTMENT ACT**

Pursuant to General Municipal Law §103-g, which generally prohibits the City from entering into contracts with persons engaged in investment activities in the energy sector of Iran, the bidder/proposer submits the following certification:

[Please Check One]

BIDDER'S CERTIFICATION

- ☒ By submission of this bid or proposal, each bidder/proposer and each person signing on behalf of any bidder/proposer certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief, that each bidder/proposer is not on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the State Finance Law.
- ☐ I am unable to certify that my name and the name of the bidder/proposer does not appear on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the State Finance Law. I have attached a signed statement setting forth in detail why I cannot so certify.

Dated: 29th, New York
APR, 2014

SIGNATURE
John M. Labozza

PRINTED NAME
V.P.

TITLE

Sworn to before me this
29th day of APR, 2014

[Signature]
Notary Public

Dated: 4/29/14

DIANE C. DERIN
Notary Public, State of New York
No. 01DE5048152
Qualified in Queens County
Commission Expires August 14, 2017



Small Business
Services

Maria Torres-Springer
Commissioner

DDC-ACCO/CSB

2014 JUN -9 P 3:58

May 30, 2014

Ms. Diane Derin
Eng. Assistant
C.A.C. Industries, Inc.
54-08 Vernon Boulevard
Long Island City, NY 11101

Re: Department of Design and Construction Contract; **Pin No. 8502014HW0055C, HWMP2017**; Reconstruction of Wadsworth Terrace from West 190th Street to Fairview Avenue; Borough of Manhattan; Contract Value: \$2,321,534.16; **File No. 214CY134**;

Pin No. 8502014HW0060C, HWSRT2009; Safe Routes to Transit, Phase IV in the vicinity of the following locations, White Plains Road at Allerton Avenue, etc.; Borough of the Bronx; Contract Value: \$1,831,140.09; **File No. 214CY135**;

Pin No. 8502013HW0023C, HWCSCCHCH3MM; Safe Routes to Schools in the Vicinity of the following Schools, P.S. 17, Henry David Thoreau School, 28-37 29th Street, etc.; Boroughs of Queens and Brooklyn; Contract Value: \$3,671,964.45; **File No. 214CY150**; and

Pin No. 8502014WM0009C, QED-1003; Water main replacement at various locations from 108th Street to 129th Street between 85th Avenue and Jamaica Avenue; Borough of Queens; Contract Value: \$9,123,131.61; **File No. 214CY152**; **Continued Certificate of Approval letter**

Dear Ms. Derin:

Please be advised that C.A.C. Industries, Inc. has already received notice of its approval status for the three (3) year period indicated in the Department of Small Business Services/Division of Labor Services' (DLS) Certificate of Approval letter effective July 30, 2012 for File Number 212CY108.

As your organization continues to meet the equal employment opportunity requirements of the City of New York, DLS approves the awarding of the above-referenced contract. This approval (**July 30, 2012 to July 29, 2015**) does not extend the initial 3-year approval referred to above.

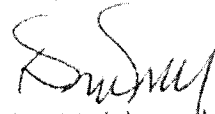
110 William Street New York, NY 10038
Tel. 212.513.6300 * Fax 212.618.8879 * TDD 212.513.6306
www.nyc.gov/sbs

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DDC-ACCO/CSB
2014 JUN -9 P 3 59

If you have any questions, please call Ms. Lisa Middleton at (212) 618-8823
or email her at lmiddleton@sbs.nyc.gov.

Very truly yours,



Kim Muldrow-Maxwell
Director
Division of Labor Services

cc: Lisa Middleton
Eugene Werner
Tia Clarke
Phyllis Lopez
FILE

**THE CITY OF NEW YORK
DEPARTMENT OF SMALL BUSINESS SERVICES
DIVISION OF LABOR SERVICES
CONTRACT COMPLIANCE UNIT
110 WILLIAMS STREET
NEW YORK, NEW YORK 10038
PHONE: (212) 513-6323
FAX: (212) 618-8879**

CONSTRUCTION

EMPLOYMENT

REPORT

(NO TEXT ON THIS PAGE)

The City of New York
Department of Small Business Services
Division of Labor Services
Contract Compliance Unit
110 William Street
New York, New York 10038
Phone: (212) 513 - 6323
Fax: (212) 618-8879

CONSTRUCTION EMPLOYMENT REPORT
INSTRUCTIONS

WHO MUST FILE A CONSTRUCTION EMPLOYMENT REPORT

A Construction Employment Report (ER) must be filed if you meet the following conditions:

CONTRACT FUNDING SOURCE	CONTRACTOR	CONTRACT VALUE	SUBMISSION REQUIREMENT
Federal/Federally assisted	Prime and subcontractors	\$10,000 or greater	Construction Employment Report
City and state funded	Prime contractor	\$1,000,000 or greater	
	Subcontractor	\$750,000 or greater	
		Less than \$750,000	Less than \$750,000 Certificate (City/State Only)

Prime Contractor:

- A general contractor or construction manager selected to perform work on a construction project funded (in whole or in part) by the federal government with a proposed contract value of \$10,000 or more.
- A general contractor or construction manager selected to perform work on a construction project funded or assisted by the City of New York with a proposed contract value of \$1,000,000 or more.

Subcontractor:

- A subcontractor selected to perform work on a construction project funded (in whole or in part) by the federal government with a proposed contract value of \$10,000 or more.
- A subcontractor selected to perform work on a construction project funded or assisted by the City of New York with a proposed contract value of \$750,000 or more.
- A subcontractor selected to perform work on a construction project funded or assisted by the City of New York with a proposed contract value of less than \$750,000 must submit a "Less than \$750,000" certificate.

WHERE TO FILE

Employment Reports must be filed with the City agency awarding the contract. If you are a contractor or subcontractor who will be working for a private developer in receipt of funding or assistance from the City, the ER must be filed with the City agency with jurisdiction over the developer's project.

DLS REVIEW PROCESS

In accordance with Executive Order 50 (EO 50), upon receipt by DLS of a completed ER, DLS conducts a review of the contractor's current employment policies, practices and procedures, as well as perform a statistical analysis of the contractor's workforce, if necessary. The process is as follows:

1. Within five (5) business days, DLS will review the ER for completeness and accuracy. If any information is omitted or incorrect, or if necessary documents are not submitted, the submission shall be deemed incomplete and DLS will inform the contractor. The substantive compliance review does not commence until the submission is complete. An incomplete submission will delay the review process and may preclude or interrupt the contract approval.
2. If the ER submission is complete, the compliance review will proceed, resulting in one of the following:

Certificate of Approval

The contractor is found to be in compliance with all applicable laws and regulations. The approval is valid for 36 months.

Continued Approval Certificate

The contractor has been issued a Certificate of Approval in the previous 36 months which is good for the applicable contract.

Conditional Certificate of Compliance

The contractor is required to take corrective actions in order to be in compliance with EO 50. The contractor must meet the conditions within one month of the issue of the Conditional Certificate.

Determination of Nonperformance

The contractor has failed to take the required corrective actions stipulated in the Conditional Certificate. A determination of nonperformance may prevent a contractor from receiving an award of a contract.

HOW TO COMPLETE THE EMPLOYMENT REPORT

Contents

General Information

Part I: Contractor/Subcontractor Information

Part II: Employment Policies and Practices

Part III: Contract Bid Information and Projected and Current Workforce Forms

Signature Page

PART I: CONTRACTOR/SUBCONTRACTOR INFORMATION

Questions 7 – 11: Please provide the required contact information for your company. All contracts must have a designated Equal Employment Officer.

Question 12: If you are a subcontractor, you must state the name of the contractor for whom you are providing the construction services.

Question 13: Please provide the number of permanent employees in your company.

Question 14a-g: The Project Identification Number (PIN) and the Contract Registration ID Number (CT#) can be obtained from the City agency. Provide a description of the trade work you will perform on this project and the address where the work will be performed. Subcontractors can obtain this information from the contract they have with the prime contractor.

Questions 15 – 18: If your company has received a valid Certificate of Approval within the past 36 months, been audited by the United States Department of Labor, Office of Federal Contract Compliance Programs (OFCCP), or if your company has submitted an ER for a different contract for which you have not yet received a compliance certificate, then you only need to complete and submit the following:

- General Information section
- Part I - Contractor/Subcontractor Information
- Form B - Projected Workforce
- Signature Page

If your company is currently waiting for an approval on another contract previously submitted, be certain to identify the date on which you submitted the completed Employment Report, the name of the City contracting agency with which the contract was made, and the name and telephone number of the person to whom the Employment Report was submitted.

If your company was issued a Conditional Certificate of Approval, all required corrective actions must have been taken or DLS will not issue a Continued Certificate.

- Question 18: If the company was audited by the OFCCP, also provide the following:
- Identify the reviewing OFCCP office by its name and address
 - If an unconditional certificate of compliance was issued by the OFCCP, attach a copy of the certificate in lieu of completing Parts II and III;
 - Include copies of all corrective actions and documentation of OFCCP's performance; and
 - Provide a copy of all stated OFCCP findings.

- Question 19: Please provide a copy of any Collective Bargaining Agreement(s) which is negotiated through an employer trade association on behalf of your organization or any of its affiliates.

PART II: EMPLOYMENT POLICIES AND PRACTICES

Remember to label all documents with the question number for which they are submitted.

Questions 20a – j: You must respond to the questions as to whether or not your firm has documents reflecting written policies, benefits and procedures. If so, then you must identify by name each document in which the policy(ies), procedure(s) and benefit(s) is located and submit copies of all of the document(s). If your firm follows unwritten practices or procedures, include an explanation of how they operate. Please submit the most current document(s), including all applicable amendments. Label each document and/or unwritten practice according to the question to which it corresponds (e.g. 20a, 20b, etc.)

Questions 21a – h: Inquires about the manner/methods by which you comply with the requirements of the Immigration Reform and Control Act of 1986 (IRCA).

Question 22: Inquires into where and how I-9 forms are maintained and stored.

Questions 23a – e: Inquires into whether or not there is a requirement that an applicant or employee be subjected to a medical examination at any given time. Copies of the medical information questionnaire and instructions must be submitted with the Employment Report.

Question 24: Indicate the existence and location of all statements of your firm's Equal Employment Opportunity policy and attach a copy of each statement.

Question 25: Submit any current Affirmative Action Plan(s) created pursuant to Executive Order 11246.

Question 26: If your firm or collective bargaining agreement has an internal grievance procedure, indicate this and submit a copy of the policy and procedure. If unwritten, explain its nature and operation. Explain how your firm's procedure addresses EEO complaints.

Question 27: If your employees have used the procedure in the last three (3) years, please submit an explanation in the format indicated below:

1. Number of complaint(s)	2. Nature of the complaint(s)	3. Position(s) of the complainant(s)	4. Was an investigation conducted? Y/N	5. Current status of the disposition
---------------------------	-------------------------------	--------------------------------------	---	--------------------------------------

Question 28: Indicate whether in the past three (3) years complaints have been filed with a court of law or administrative agency, naming your company as a defendant (or respondent) in a complaint alleging violation of any anti-discrimination or affirmative action laws. If yes, develop and submit a log to show, for each administrative/and or judicial action filed, the following information:

1. Name(s) of complainant(s)	2. Administrative agency or court in which action was filed	3. Nature of the complaint(s)	4. Current status	5. If not pending, the complaint's disposition
------------------------------	---	-------------------------------	-------------------	--

Question 29: Identify each job for which a physical qualification exists. Identify and explain the physical qualification(s) for each stated job. Submit job descriptions for each job and the reasons for the qualifications.

Question 30: Identify each job for which there exists any qualification related to age, race, color, national origin, sex, creed, disability, marital status, sexual orientation or citizenship status. Identify and explain the specific related qualification for each job stated. Submit job descriptions for each job and the reasons for the qualifications.

PART III: CONTRACT BID INFORMATION AND PROJECTED AND CURRENT WORKFORCE FORMS

FORM A: CONTRACT BID INFORMATION – USE OF SUBCONTRACTORS/TRADES

Your projections for the utilization of subcontractors on the proposed contract are to be provided in this section. A chart has been provided for the identification of subcontractors. Information is to be provided to the extent known at the time the ER is filed for review by DLS. If the subcontractor's name is unknown, then write "unknown". Under "ownership", enter the appropriate race/ethnic and gender code. If the contract is federally funded or assisted and the subcontractor is being utilized in accordance with applicable federal requirements with respect to Minority Business Enterprise or Woman Business Enterprise requirements, enter the appropriate code. This will also apply to state funded contracts with similar requirements for minority and female owned businesses.

FORM B: PROJECTED WORKFORCE FOR WORK TO BE PERFORMED ON THIS PROJECT

For each trade to be engaged by your company for this project, enter the projected workforce for Males and Females by trade classification in the charts provided.

FORM C: CURRENT WORKFORCE FOR WORK TO BE PERFORMED ON THIS PROJECT

For each trade *currently* engaged by your company for all work performed in NYC, enter the current workforce for Males and Females by trade classification in the charts provided.

SIGNATURE PAGE

The signatory of this Employment Report and all other documents submitted to DLS must be an official authorized to enter into a binding legal agreement. The signature page must be completed in its entirety and notarized. Only original signatures will be accepted.

The City of New York Department of Small Business Services
Division of Labor Services Contract Compliance Unit
110 William Street, New York, New York 10038
Phone: (212) 513 - 6323
Fax: (212) 618-8879

CONSTRUCTION EMPLOYMENT REPORT

GENERAL INFORMATION

1. Your contractual relationship in this contract is: Prime contractor ☒ Subcontractor ☐
- 1a. Are MWBE goals attached to this project? Yes ☒ No ☐
2. Please check one of the following if your firm would like information on how to certify with the City of New York as a:
☐ Minority Owned Business Enterprise ☐ Locally Based Business Enterprise
☐ Women Owned Business Enterprise ☐ Emerging Business Enterprise
☐ Disadvantaged Business Enterprise
- 2a. If you are certified as an **MBE, WBE, LBE, EBE** or **DBE**, what city/state agency are you certified with? _____ Are you DBE certified? Yes ☐ No ☐
3. Please indicate if you would like assistance from SBS in identifying certified M/WBEs for contracting opportunities: Yes ☐ No ☒
4. Is this project subject to a project labor agreement? Yes ☐ No ☒
5. Are you a Union contractor? Yes ☐ No ☒ If yes, please list which local(s) you affiliated with 1010/731/1530/282/14-15
6. Are you a Veteran owned company? Yes ☐ No ☒

PART I: CONTRACTOR/SUBCONTRACTOR INFORMATION

7. 11-3082726 JLABOZZA@CACINDUC.COM
Employer Identification Number or Federal Tax I.D. Email Address
8. CAC INDUSTRIES INC
Company Name
9. 5408 VERNON BLVD LIC NY 11101
Company Address and Zip Code
10. MICHAEL A CAPASSO 718 729-3600
Chief Operating Officer Telephone Number
11. DIANA LANGEL 718 729-3600
Designated Equal Opportunity Compliance Officer Telephone Number
(If same as Item #10, write "same")
12. Same
Name of Prime Contractor and Contact Person
(If same as Item #8, write "same")

13. Number of employees in your company: 165

14. Contract information:

(a) NV EDDC
Contracting Agency (City Agency)

(b) 2,321,534.16
Contract Amount

(c) H W M P 2017
Procurement Identification Number (PIN)

(d) _____
Contract Registration Number (CT#)

(e) _____
Projected Commencement Date

(f) _____
Projected Completion Date

(g) Description and location of proposed contract:

Reinst. Woodworth Terrace

15. Has your firm been reviewed by the Division of Labor Services (DLS) within the past 36 months and issued a Certificate of Approval? Yes ☒ No ☐

If yes, attach a copy of certificate.

16. Has DLS within the past month reviewed an Employment Report submission for your company and issued a Conditional Certificate of Approval? Yes ☐ No ☒

If yes, attach a copy of certificate.

NOTE: DLS WILL NOT ISSUE A CONTINUED CERTIFICATE OF APPROVAL IN CONNECTION WITH THIS CONTRACT UNLESS THE REQUIRED CORRECTIVE ACTIONS IN PRIOR CONDITIONAL CERTIFICATES OF APPROVAL HAVE BEEN TAKEN.

17. Has an Employment Report already been submitted for a different contract (not covered by this Employment Report) for which you have not yet received compliance certificate? Yes ☐ No ☒ If yes,

Date submitted: _____
Agency to which submitted: _____
Name of Agency Person: _____
Contract No: _____
Telephone: _____

18. Has your company in the past 36 months been audited by the United States Department of Labor, Office of Federal Contract Compliance Programs (OFCCP)? Yes ☐ No ☒

If yes,



THE GENERAL CONTRACTORS ASSOCIATION OF NEW YORK, INC.

Denise M. Richardson
Managing Director

January 14, 2013

C.A.C. Industries Inc.
Attn: Mr. Michael A. Capasso
54-08 Vernet Blvd.
Long Island City, NY 11101

Dear Mr. Capasso:

C.A.C. Industries Inc. is a member in good standing of the General Contractors Association of New York ("GCA"). Through your membership in the GCA, you have authorized the GCA to enter into collective bargaining agreements on your behalf.

As such, your firm is signatory to the collective bargaining agreements and participates in and upholds all provisions of those agreements, including but not limited to participation in the unions' established and approved apprenticeship programs.

The General Contractors Association has collective bargaining agreements with the following unions:

- Laborers International Union of North America
Local 731, Heavy Construction Laborers
Local 29 Drillers and Blasters
Local 147 Tunnel Workers
Local 1010 Asphalt Pavers
- New York District Council of Carpenters
Local 1556 Dockbuilders/Timbermen*
*Formerly Locals 1456 Dockbuilders and 1536 Timbermen dissolved and formed a new Local, 1556
- International Union of Operating Engineers
Local 14 / 15 Operating Engineers
Local 15 C Operating Engineers Mechanics & Helpers
Local 15 D Surveyors
- International Brotherhood of Teamsters Local 282
- Metallic Lathers Local 46

Please contact me if you require additional information.

Sincerely,

Frank P. DiMenna, Jr.
Deputy Director of Labor Relations



(a) Name and address of OFCCP office.

(b) Was a Certificate of Equal Employment Compliance issued within the past 36 months?
Yes___ No___

If yes, attach a copy of such certificate.

(c) Were any corrective actions required or agreed to? Yes___ No___

If yes, attach a copy of such requirements or agreements.

(d) Were any deficiencies found? Yes___ No___

If yes, attach a copy of such findings.

19. Is your company or its affiliates a member or members of an employers' trade association which is responsible for negotiating collective bargaining agreements (CBA) which affect construction site hiring? Yes ☒ No___

If yes, attach a list of such associations and all applicable CBA's.

GENERAL CONTRACTORS ASSOC

PART II: DOCUMENTS REQUIRED

20. For the following policies or practices, attach the relevant documents (e.g., printed booklets, brochures, manuals, memoranda, etc.). If the policy(ies) are unwritten, attach a full explanation of the practices. See instructions.

- ☒ (a) Health benefit coverage/description(s) for all management, nonunion and union employees (whether company or union administered)
- ☒ (b) Disability, life, other insurance coverage/description
- ☒ (c) Employee Policy/Handbook
- ☒ (d) Personnel Policy/Manual
- ☒ (e) Supervisor's Policy/Manual
- ☒ (f) Pension plan or 401k coverage/description for all management, nonunion and union employees, whether company or union administered
- ☒ (g) Collective bargaining agreement(s).
- ☒ (h) Employment Application(s)
- ☒ (i) Employee evaluation policy/form(s).
- ☒ (j) Does your firm have medical and/or non-medical (i.e. education, military, personal, pregnancy, child care) leave policy?

21. To comply with the Immigration Reform and Control Act of 1986 when and of whom does your firm require the completion of an I-9 Form?

- | | | |
|--|---|--|
| (a) Prior to job offer | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) After a conditional job offer | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) After a job offer | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (d) Within the first three days on the job | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) To some applicants | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) To all applicants | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) To some employees | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) To all employees | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |

22. Explain where and how completed I-9 Forms, with their supportive documentation, are maintained and made accessible.

*FILES ARE LOCATED IN INDIVIDUAL FOLDERS AT
DAC'S MAIN OFFICE AT 5108 VERMONT AVE
J1101*

23. Does your firm or any of its collective bargaining agreements require job applicants to take a medical examination? Yes ☐ No ☒

If yes, is the medical examination given:

- | | | |
|-----------------------------------|------------------------------|-----------------------------|
| (a) Prior to a job offer | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (b) After a conditional job offer | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (c) After a job offer | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (d) To all applicants | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (e) Only to some applicants | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

If yes, list for which applicants below and attach copies of all medical examination or questionnaire forms and instructions utilized for these examinations.

24. Do you have a written equal employment opportunity (EEO) policy? Yes ☒ No ☐

If yes, list the document(s) and page number(s) where these written policies are located.

25. Does the company have a current affirmative action plan(s) (AAP) *NO*

- ☐ Minorities and Women
☐ Individuals with handicaps
☐ Other. Please specify _____

26. Does your firm or collective bargaining agreement(s) have an internal grievance procedure with respect to EEO complaints? Yes ☒ No ☐

If yes, please attach a copy of this policy.

If no, attach a report detailing your firm's unwritten procedure for handling EEO complaints.

27. Has any employee, within the past three years, filed a complaint pursuant to an internal grievance procedure or with any official of your firm with respect to equal employment opportunity? Yes___ No ☒

If yes, attach an internal complaint log. See instructions.

28. Has your firm, within the past three years, been named as a defendant (or respondent) in any administrative or judicial action where the complainant (plaintiff) alleged violation of any anti-discrimination or affirmative action laws? Yes___ No ☒

If yes, attach a log. See instructions.

29. Are there any jobs for which there are physical qualifications? Yes ☒ No___

If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

FIELD LABOR

30. Are there any jobs for which there are age, race, color, national origin, sex, creed, disability, marital status, sexual orientation, or citizenship qualifications? Yes___ No ☒

If yes, list the job(s), submit a job description and state the reason(s) for the qualification(s).

SIGNATURE PAGE

I, (print name of authorized official signing) JOHN M LABOZZA hereby certify that the information submitted herewith is true and complete to the best of my knowledge and belief and submitted with the understanding that compliance with New York City's equal employment requirements, as contained in Chapter 56 of the City Charter, Executive Order No. 50 (1980), as amended, and the implementing Rules and Regulations, is a contractual obligation. I also agree on behalf of the company to submit a certified copy of payroll records to the Division of Labor Services on a monthly basis.

OAC INDUSTRIES INC
Contractor's Name

DIANE C DERIN ENG ASST
Name of person who prepared this Employment Report Title

JOHN M LABOZZA V.P.
Name of official authorized to sign on behalf of the contractor Title

718 729-3600
Telephone Number

[Signature] 4/29/14
Signature of authorized official Date

If contractors are found to be underutilizing minorities and females in any given trade based on Chapter 56 Section 3H, the Division of Labor Services reserves the right to request the contractor's workforce data and to implement an employment program.

Contractors who fail to comply with the above mentioned requirements or are found to be in noncompliance may be subject to the withholding of final payment.

Willful or fraudulent falsifications of any data or information submitted herewith may result in the termination of the contract between the City and the bidder or contractor and in disapproval of future contracts for a period of up to five years. Further, such falsification may result in civil and/or criminal prosecution.

To the extent permitted by law and consistent with the proper discharge of DLS' responsibilities under Charter Chapter 56 of the City Charter and Executive Order No. 50 (1980) and the implementing Rules and Regulations, all information provided by a contractor to DLS shall be confidential.

Only original signatures accepted.

Sworn to before me this 29TH day of April 20 14

[Signature] [Signature] 4/29/14
Notary Public Authorized Signature Date

DIANE C. DERIN
Notary Public, State of New York
No. 01DE5048152
Qualified in Queens County
Commission Expires August 14, 2017

FORM A. CONTRACT BID INFORMATION: USE OF SUBCONTRACTORS/TRADES

1. Do you plan to subcontract work on this contract? Yes ☐ No ☐
2. If yes, complete the chart below.

NOTE: All proposed subcontractors with a subcontract in excess of \$750,000 must complete an Employment Report for review and approval before the contract may be awarded and work commences.

SUBCONTRACTOR'S NAME*	OWNERSHIP (ENTER APPROPRIATE CODE LETTERS BELOW)	WORK TO BE PERFORMED BY SUBCONTRACTOR	TRADE PROJECTED FOR USE BY SUBCONTRACTOR	PROJECTED DOLLAR VALUE OF SUBCONTRACT

***If subcontractor is presently unknown, please enter the trade (craft name).**

OWNERSHIP CODES

W: White
 B: Black
 H: Hispanic
 A: Asian
 N: Native American
 F: Female

FORM B: PROJECTED WORKFORCE

TRADE CLASSIFICATION CODES

(J) Journeylevel Workers
(H) Helper
(TOT) Total by Column

For each trade to be engaged by your company for this project, enter the projected workforce for Males and Females by trade classification on the charts below.

Trade:

CABONERS

Union Affiliation, if applicable

1010 731

Total (Col. #1-10):

4

Total Minority, Male & Female
(Col. #2,3,4,5,7,8,9, & 10):

Total Female
(Col. #6 - 10):

MALES

FEMALES

	(1) White Non Hisp.	(2) Black Non Hisp.	(3) Hisp.	(4) Asian	(5) Native Amer.
J	4	2	2		
H					
A					
TRN					
TOT	4	2	2		

	(6) White Non Hisp.	(7) Black Non Hisp.	(8) Hisp.	(9) Asian	(10) Native Amer.
J					
H					
A					
TRN					
TOT					

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

FORM B: PROJECTED WORKFORCE

Trade:

Divers Cleaners

Union Affiliation, if applicable

282

Total (Col. #1-10):

2

Total Minority, Male & Female
(Col. #2,3,4,5,7,8,9, & 10):

Total Female
(Col. #6 - 10):

MALES

FEMALES

	(1) White Non Hisp.	(2) Black Non Hisp.	(3) Hisp.	(4) Asian	(5) Native Amer.	(6) White Non Hisp.	(7) Black Non Hisp.	(8) Hisp.	(9) Asian	(10) Native Amer.
J	2									
H										
A										
TRN										
TOT	2									

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

FORM C: CURRENT WORKFORCE

TRADE CLASSIFICATION CODES

(J) Journeylevel Workers
(H) Helper
(TOT) Total by Column

For each trade currently engaged by your company for all work performed in New York City, enter the current workforce for Males and Females by trade classification on the charts below.

Trade:

T, M BEL MAN

Union Affiliation, if applicable

IS 36

Total (Col. #1-10):

2

Total Minority, Male & Female
(Col. #2,3,4,5,7,8,9, & 10):

Total Female
(Col. #6 - 10):

MALES

(1) White Non Hisp.	(2) Black Non Hisp.	(3) Hisp.	(4) Asian	(5) Native Amer.
2				
2				
J				
H				
A				
TRN				
TOT				

FEMALES

(6) White Non Hisp.	(7) Black Non Hisp.	(8) Hisp.	(9) Asian	(10) Native Amer.

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

FORM C: CURRENT WORKFORCE

Trade:

Op Eng

Union Affiliation, if applicable

14' 15

Total (Col. #1-10):

2

Total Minority, Male & Female
(Col. #2,3,4,5,7,8,9, & 10):

Total Female
(Col. #6 - 10):

MALES

(1) White Non Hisp.	(2) Black Non Hisp.	(3) Hisp.	(4) Asian	(5) Native Amer.
J				
H				
A				
TRN				
TOT	2			

FEMALES

(6) White Non Hisp.	(7) Black Non Hisp.	(8) Hisp.	(9) Asian	(10) Native Amer.

What are the recruitment sources for you projected hires (i.e., unions, government employment office, job tap center, community outreach)?

(NO TEXT ON THIS PAGE)





**INFRASTRUCTURE DIVISION
BUREAU OF DESIGN**

VOLUME 1 OF 3

PROJECT ID: HWMP2017

RECONSTRUCTION OF WADSWORTH TERRACE

FROM WEST 190TH STREET TO FAIRVIEW AVENUE

INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC WORK

Together With All Work Incidental Thereto
BOROUGH OF MANHATTAN
CITY OF NEW YORK

Contractor.

Dated _____, 20____



**THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE**

30-30 THOMSON AVENUE
LONG ISLAND CITY, NEW YORK 11101-3045
TELEPHONE (718) 391-1000
WEBSITE www.nyc.gov/buildnyc

LAW

VOLUME 2 OF 3

**INFORMATION FOR BIDDERS
CONTRACT
PERFORMANCE AND PAYMENT BONDS
PREVAILING WAGE SCHEDULE**

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED FOR:

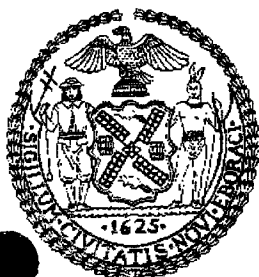
PROJECT ID: HWMP2017

RECONSTRUCTION OF WADSWORTH TERRACE

FROM WEST 190TH STREET TO FAIRVIEW AVENUE

INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC WORK

**Together With All Work Incidental Thereto
BOROUGH OF MANHATTAN
CITY OF NEW YORK**



FOR THE DEPARTMENT OF TRANSPORTATION
PREPARED BY
IN-HOUSE

JANUARY 31, 2014

4-085



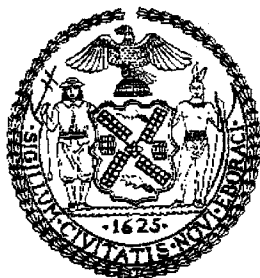
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FOR THE DEPARTMENT OF TRANSPORTATION
PREPARED BY
IN-HOUSE DESIGN

DECEMBER 24, 2013



NOTICE TO BIDDERS

Please be advised that the City of New York has issued a new Standard Construction Contract. The new Contract, which is incorporated in this bid, is significantly different from the 2008 version previously used by the City. A listing of some of the significant changes is provided below. This notice is only a partial listing. Please refer to the Contract itself for a full understanding of the changes and the actual text of the changes that were made. The text of the revised Standard Construction Contract is the controlling document should there be any discrepancies between this notice and the Standard Construction Contract.

Significant changes include the following:

ARTICLE 11 DAMAGES CAUSED BY DELAYS

In 2008, the City embarked on a pilot project to test the use of new construction contract language altering the allocation of the risk of project delays, as between the City and the contractor. The City has determined to make the pilot project language the standard language for all City construction contracts. Accordingly, there is now one Standard City Construction Contract that it to be used by all agencies for all bids released after the release of the new contract. The damages for delay language is Article 11. Please note that changes have been made to the damages for delay provisions from the pilot to the adopted version.

ARTICLE 22 INSURANCE

Changes have been made to the insurance provisions, including incorporating requirements that the insurance provided comply with recent NYC Department of Buildings regulations specifying required dollar limits for CGL insurance for certain projects and requiring proof of builder's risk insurance prior to Work commencing rather than within 10 days of award.

ARTICLE 26 EXTRA WORK

The percentage paid for overhead for Extra Work pursuant to Section 26.1.11 is increased from 10% to 12% and the calculation of Worker's Compensation insurance costs reimbursed for Extra Work has been clarified.

ARTICLE 37 LABOR LAW REQUIREMENTS
ARTICLE 38 PAYROLL REPORTS

The provisions governing Labor Law provisions have been tightened, including requirements the employee identification cards include a photo (unless the requirement is waived), a prohibition on cash payments to employees and subcontractors, and clear enforcement authority requirements.

ARTICLE 70 ELECTRONIC FILING

A provision is added to make mandatory the electronic filing of certain alteration permits with the Department of Buildings.

Other significant changes include the following:

ARTICLE 7 INDEMNIFICATION

Changes have been made to the indemnification provisions.

ARTICLE 14 FINAL ACCEPTANCE OF WORK
ARTICLE 44 SUBSTANTIAL COMPLETION PAYMENT

The Commissioner is no longer required to issue a substantial completion determination in addition to the already existing requirement that the Engineer issue a substantial completion determination and reach an agreement on a punch list of remaining work. Now, the Engineer, when issuing the punch list to the Contractor, must also include a proposed schedule for the completion of the punch list. The Contractor may propose an alternative schedule that is subject to the approval of the Engineer. If the Contractor fails to respond to the Engineer's proposed schedule, the Engineer's schedule is deemed accepted.

ARTICLE 15 LIQUIDATED DAMAGES

The contract is revised to match Schedule A to provide that liquidated damages are available only until substantial completion.

ARTICLE 17 SUBCONTRACTS

The requirements for prior approval of subcontractors, and for contractors to be responsible for the actions of their subcontractors, have been tightened. The requirement that the Contractor list subcontractors in the City's Payee Information Portal has been added; the provision was previously attached as a rider.

ARTICLE 19 SECURITY DEPOSIT

The provisions governing the return of bid deposits are clarified.

ARTICLE 20 PAYMENT GUARANTEE

The Payment Guaranty provisions, which apply when the City does not require the Contractor to obtain payment bonds, has been significantly revised to track the requirements of State Finance law 137.

ARTICLE 28 RECORDKEEPING FOR EXTRA OR DISPUTED WORK

The recordkeeping requirement that currently apply to payments for Time & Materials for extra work are expressly made applicable to regular work that is paid for on a T & M basis.

ARTICLE 35 EMPLOYEES

The whistleblower provisions of local law are added to the construction contract. They previously have been attached as a rider.

ARTICLE 38 PAYROLL REPORTS
ARTICLE 77 RECORDS RETENTION

Requirements that records be maintained for six years and directions on how such records must be made available.

ARTICLE 42 PARTIAL PAYMENTS

Increased flexibility has been provided for when contractors may submit invoices.

ARTICLE 62 TAX EXEMPTION

The provisions identifying the State tax exemption for municipalities are revised to more clearly describe State law.

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CITY OF NEW YORK
DEPARTMENT OF
DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURES

INFORMATION FOR BIDDERS

SEPTEMBER 2008

(NO TEXT ON THIS PAGE)

*CITY OF NEW YORK CITY
DEPARTMENT OF DESIGN AND CONSTRUCTION
INFORMATION FOR BIDDERS*

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INFORMATION FOR BIDDERS

1. Description and Location of Work

The description and location of the work for which bids are requested are specified in Attachment 1, "Bid Information". Attachment 1 is included as page A-1 of the Bid Booklet.

2. Time and Place for Receipt of Bids

Sealed bids shall be received on or before the date and hour specified in Attachment 1, at which time they will be publicly opened and read aloud in the presence of the Commissioner or his or her representative, and any bidders who may desire to be present.

3. Definitions

The definitions set forth in the Procurement Policy Board Rules shall apply to this Invitation For Bids.

4. Invitation For Bids and Contract Documents

(A) Except for titles, sub-titles, headings, running headlines, tables of contents and indices (all of which are printed herein merely for convenience) the following, except for such portions thereof as may be specifically excluded, shall be deemed to be part of the Contract and the Invitation for Bids.

- (1) All provisions required by law to be inserted in this Contract, whether actually inserted or not
- (2) The Contract Drawings and Specifications
- (3) The General Conditions, the General Requirements and the Special Conditions, if any
- (4) The Contract
- (5) The Information for Bidders; Request for Proposals; Notice of Solicitation and Proposal For Bids; Bid or Proposal, and, if used, the Bid Booklet
- (6) The Budget Director's Certificate; all Addenda issued prior to the receipt of the bids; the Notice of Award; Performance and Payment Bonds, if required; and the Notice to Proceed with the Work.

(B) For particulars as to this procurement, including quantity and quality of the purchase, extent of the work or labor to be performed, delivery and performance schedule, and any other special instructions, prospective bidders are referred to the Invitation For Bids Documents. A copy of such documents can be obtained at the location set forth in Attachment 1.

(C) Deposit for Copy of Invitation For Bids Documents: Prospective bidders may obtain a copy of the Invitation For Bids Documents by complying with the conditions set forth in the Notice of Solicitation. The deposit must be in the form of a check or money order made payable to the City of New York, and drawn upon a state or national bank or trust company, or a check of such bank or trust company signed by a duly authorized officer thereof.

(D) Return of Invitation For Bids Documents: All Invitation For Bids Documents must be returned to the Department upon request. If the bidder elects not to submit a bid thereunder, the

Invitation For Bids Documents shall be returned to the Department, along with a statement that no bid will be submitted.

(E) Return of Deposit: Such deposit will be returned within 30 days after the award of the contract or the rejection of all bids as set forth in the advertisement, provided the Invitation For Bids Documents are returned to the location specified in Attachment 1, in physical condition satisfactory to the Commissioner.

(F) Additional Copies: Additional copies of the Invitation For Bids Documents may be obtained, subject to the conditions set forth in the advertisement for bids.

5. Pre-Bid Conference

A pre-bid conference shall be held as set forth in Attachment 1. Nothing stated at the pre-bid conference shall change the terms or conditions of the Invitation For Bids Documents, unless a change is made by written amendment as provided in Section 9 below. Failure to attend a mandatory pre-bid conference shall constitute grounds for the rejection of the bid.

6. Agency Contact

Any questions or correspondence relating to this bid solicitation shall be addressed to the Agency Contact person specified in Attachment 1.

7. Bidder's Oath

(A) The bid shall be properly signed by an authorized representative of the bidder and the bid shall be verified by the written oath of the authorized representative who signed the bid, that the several matters stated and information furnished therein are in all aspects true.

(B) A materially false statement willfully or fraudulently made in connection with the bid or any of the forms completed and submitted with the bid may result in the termination of any Contract between the City and the Bidder. As a result, the Bidder may be barred from participating in future City contracts as well as be subject to possible criminal prosecution.

8. Examination and Viewing of Site, Consideration of Other Sources of Information and Changed Conditions

(A) Pre-Bidding (Investigation) Viewing of Site -Bidders must carefully view and examine the site of the proposed work, as well as its adjacent area, and seek other usual sources of information, for they will be conclusively presumed to have full knowledge of any and all conditions on, about or above the site relating to or affecting in any way the performance of the work to be done under the Contract which were or should have been indicated to a reasonably prudent bidder. To arrange a date for visiting the work site, bidders are to contact the Agency Contact person specified in Attachment 1.

(B) Should the contractor encounter during the progress of the work subsurface conditions at the site materially differing from any shown on the Contract Drawings or indicated in the Specifications or such subsurface conditions as could not reasonably have been anticipated by the contractor and were not anticipated by the City, which conditions will materially affect the cost of the work to be done under the

Contract, the attention of the Commissioner must be called immediately to such conditions before they are disturbed. The Commissioner shall thereupon promptly investigate the conditions. If he finds that they do so materially differ, or that they could not have been reasonably anticipated by the contractor and were not anticipated by the City, the Contract may be modified with his written approval.

9. Examination of Proposed Contract

(A) Request for Interpretation or Correction: Prospective bidders must examine the Contract Documents carefully and before bidding must request the Commissioner in writing for an interpretation or correction of every patent ambiguity, inconsistency or error therein which should have been discovered by a reasonably prudent bidder. Such interpretation or correction, as well as any additional contract provisions the Commissioner may decide to include, will be issued in writing by the Commissioner as an addendum to the Contract, which will be transmitted to each person recorded as having received a copy of the Contract Documents from the Department. Transmission of such addendum will be by mail, e-mail, facsimile or hand delivery. Such addendum will also be posted at the place where the Contract Documents are available for the inspection of prospective bidders. Upon transmission as provided for herein, such addendum shall become a part of the Contract Documents, and binding on all bidders, whether or not actual notice of such addendum is shown.

(B) Only Commissioner's Interpretation or Correction Binding: Only the written interpretation or correction so given by the Commissioner shall be binding, and prospective bidders are warned that no other officer, agent or employee of the City is authorized to give information concerning, or to explain or interpret, the Contract.

(C) Documents given to a subcontractor for the purpose of soliciting the subcontractor's bid shall include either a copy of the bid cover sheet or a separate information sheet setting forth the project name, the Contract number (if available), the contracting agency and the Project's location.

10. Form of Bid

Each bid must be submitted upon the prescribed form and must contain: a) the name, residence and place of business of the person or persons making the same; b) the names of all persons interested therein, and if no other person is so interested, such fact must be distinctly stated; c) a statement to the effect that it is made without any connection with any other person making a bid for the same purpose and that it is in all respects fair and without collusion or fraud; d) a statement that no Council member or other officer or employee or person whose salary is payable in whole or part from the City Treasury is directly or indirectly interested therein or in the supplies, materials or equipment and work or labor to which it relates, or in any portion of the profits thereof; e) a statement that the bidder is not in arrears to the City or to any agency upon a debt or contract or taxes, and is not a defaulter as surety or otherwise upon any obligation to the City to any agency thereof, except as set forth in the bid.

THE BID SHALL BE TYPEWRITTEN OR WRITTEN LEGIBLY IN INK. THE BID SHALL BE SIGNED IN INK. ERASURES OR ALTERATIONS SHALL BE INITIALED BY THE SIGNER IN INK. FAILURE TO CONFORM TO THE REQUIREMENTS OF THIS SECTION 10 SHALL RESULT IN THE REJECTION OF THE BID.

11. Irrevocability of Bid

The prices set forth in the bid cannot be revoked and shall be effective until the award of the Contract, unless the bid is withdrawn as provided for in Sections 15 and 18 below.

12. Acknowledgment of Amendments

The receipt of any amendment to the Contract Documents shall be acknowledged by the bidder in its bid submission.

13. Bid Samples and Descriptive Literature

Bid samples and descriptive literature shall not be submitted by the bidder, unless expressly requested elsewhere in the Contract or Contract Documents. Any unsolicited bid samples or descriptive literature which are submitted shall not be examined or tested and shall not be deemed to vary any of the provisions of this Contract.

14. Proprietary Information/Trade Secrets

(A) The bidder shall identify those portions of the bid which it deems to be confidential, proprietary information or trade secrets, and provide justification why such materials shall not be disclosed by the City. All such materials shall be clearly indicated by stamping the pages on which such information appears, at the top and bottom thereof with the word "Confidential". Such materials stamped "Confidential" must be easily separable from the non-confidential sections of the bid.

(B) All such materials so indicated shall be reviewed by the Agency and any decision not to honor a request for confidentiality shall be communicated in writing to the bidder. For those bids which are unsuccessful, all such confidential materials shall be returned to the bidder. Prices, makes and model or catalog numbers of the items offered, deliveries, and terms of payment shall be publicly available after bid opening, regardless of any designation of confidentiality made by the bidder.

15. Pre-Opening Modification or Withdrawal of Bids

Bids may be modified or withdrawn by written notice received in the office designated in Attachment 1, before the time and date set for the bid opening. If a bid is withdrawn in accordance with this Section, the bid security, if any, shall be returned to the bidder.

16. Bid Evaluation and Award

In accordance with the New York City Charter, the Procurement Policy Board Rules and the terms and conditions of this Invitation For Bids, this Contract shall be awarded, if at all, to the responsible bidder whose bid meets the requirements and evaluation criteria set forth in the Invitation For Bids, and whose bid price is either the most favorable bid price or, if the Invitation For Bids so states, the most favorable evaluated bid price. A bid may not be evaluated for any requirement or criterion that is not disclosed in the Invitation For Bids.

Restriction: No negotiations with any bidder shall be allowed to take place except under the circumstances and in the manner set forth in Section 21. Nothing in this Section shall be deemed to permit a contract award to a bidder submitting a higher quality item than that designated in the Invitation For Bids, if that bid is not also the most favorable bid.

17. Late Bids, Late Withdrawals and Late Modifications

Any bid received at the place designated in the solicitation after the time and date set for receipt of bids is late and shall not be considered. Any request for withdrawal or modification received at the place designated in the solicitation after the time and date set for receipt of bids is late and shall not be considered. The exception to this provision is that a late modification of a successful bid that makes the bid terms more favorable to the City shall be considered at any time it is received.

18. Withdrawal of Bids.

Except as provided for in Section 15, above, a bidder may not withdraw its bid before the expiration of forty-five (45) days after the date of the opening of bids; thereafter, a bidder may withdraw its bid only in writing and in advance of an actual award. If within sixty (60) days after the execution of the Contract, the Commissioner fails to fix the date for commencement of work by written notice to the bidder, the bidder, at his option, may ask to be relieved of his obligation to perform the work called for by written notice to the Commissioner. If such notice is given to the Commissioner, and the request to withdraw is granted, the bidder waives all claims in connection with this Contract.

19. Mistake in Bids

(A) Mistake Discovered Before Bid Opening: A bidder may correct mistakes discovered before the time and date set for bid opening by withdrawing or correcting the bid as provided in Section 15 above.

(B) Mistakes Discovered Before Award

(1) In accordance with General Municipal Law (Section 103, subdivision 11), where a unilateral error or mistake is discovered in a bid, such bid may be withdrawn upon written approval of the Agency Chief Contracting Officer if the following conditions are met:

- (a) The mistake is known or made known to the agency prior to the awarding of the Contract or within 3 days after the opening of the bid, whichever period is shorter; and
- (b) The price bid was based upon an error of such magnitude that enforcement would be unconscionable; and
- (c) The bid was submitted in good faith and the bidder submits credible evidence that the mistake was a clerical error as opposed to a judgment error; and
- (d) The error in the bid is actually due to an unintentional and substantial arithmetic error or an unintentional omission of a substantial quantity of work, labor, material or services made directly in the compilation of the bid, which unintentional arithmetic error or unintentional omission can be clearly shown by objective evidence drawn from inspection of the original work paper, documents, or materials used in the preparation of the bid sought to be withdrawn; and
- (e) It is possible to place the agency in the same position as existed prior to the bid.

(2) Unless otherwise required by law, the sole remedy for a bid mistake in accordance with this Article shall be withdrawal of the bid, and the return of the bid bond, or other security, if any, to the bidder. Thereafter, the agency may, in its discretion, award the Contract to the next lowest bidder or rebid the Contract. Any amendment to or reformation of a bid or a Contract to rectify such an error or mistake

therein is strictly prohibited.

(3) If the mistake and the intended correct bid are clearly evident on the face of the bid document, the bid shall be corrected to the intended correct bid and may not be withdrawn. Examples of mistakes that may be corrected are typographical errors, errors in extending unit prices, transposition errors and arithmetical errors.

20. Low Tie Bids

(A) When two or more low responsive bids from responsible bidders are identical in price, meeting all the requirements and criteria set forth in the Invitation For Bids, the Agency Chief Contracting Officer will break the tie in the following manner and order of priority:

- (1) Award to a certified New York City small, minority or woman-owned business entity bidder;
- (2) Award to a New York City bidder;
- (3) Award to a certified New York State small, minority or woman-owned business bidder;
- (4) Award to a New York State bidder.

(B) If two or more bidders still remain equally eligible after application of paragraph (A) above, award shall be made by a drawing by lot limited to those bidders. The bidders involved shall be invited to attend the drawing. A witness shall be present to verify the drawing and shall certify the results on the bid tabulation sheet.

21. Rejection of Bids

(A) Rejection of Individual Bids: The Agency may reject a bid if:

- (1) The bidder fails to furnish any of the information required pursuant to Section 24 or 28 hereof; or if
- (2) The bidder is determined to be not responsible pursuant to the Procurement Policy Board Rules; or if
- (3) The bid is determined to be non-responsive pursuant to the Procurement Policy Board Rules; or if
- (4) The bid, in the opinion of the Agency Chief Contracting Officer, contains unbalanced bid prices and is thus non-responsive, unless the bidder can show that the prices are not unbalanced for the probable required quantity of items, or if the imbalance is corrected pursuant to Section 15.

(B) Rejection of All Bids: The Agency, upon written approval by the Agency Chief Contracting Officer, may reject all bids and may elect to resolicit bids if in its sole opinion it shall deem it in the best interest of the City so to do.

(C) Rejection of All Bids and Negotiation With All Responsible Bidders: The Agency Head may determine that it is appropriate to cancel the Invitation For Bids after bid opening and before award and to complete the acquisition by negotiation. This determination shall be based on one of the following reasons:

- (1) All otherwise acceptable bids received are at unreasonable prices, or only one bid is received and the Agency Chief Contracting Officer cannot determine the reasonableness of the bid price, or no responsive bid has been received from a responsible bidder; or
- (2) In the judgment of the Agency Chief Contracting Officer, the bids were not independently arrived at in open competition, were collusive, or were submitted in bad faith.

(D) When the Agency has determined that the Invitation for Bids is to be canceled and that use of negotiation is appropriate to complete the acquisition, the contracting officer may negotiate and award the Contract without issuing a new solicitation, subject to the following conditions:

- (1) prior notice of the intention to negotiate and a reasonable opportunity to negotiate have been given by the contracting officer to each responsible bidder that submitted a bid in response to the Invitation for Bids;
- (2) the negotiated price is the lowest negotiated price offered by a responsible bidder; and
- (3) the negotiated price is lower than the lowest rejected bid price of a responsible bidder that submitted a bid in response to the Invitation for Bids.

22. Right to Appeal Determinations of Non-Responsiveness or Non-Responsibility and Right to Protest Solicitations and Award

The bidder has the right to appeal a determination of non-responsiveness or non-responsibility and has the right to protest a solicitation and award. For further information concerning these rights, the bidder is directed to the Procurement Policy Board Rules.

23. Affirmative Action and Equal Employment Opportunity

This Invitation For Bids is subject to applicable provisions of Federal, State and Local Laws and executive orders requiring affirmative action and equal employment opportunity.

24. VENDEX Questionnaires

(A) Requirement: Pursuant to Administrative Code Section 6-116.2 and the PPB Rules, bidders may be obligated to complete and submit VENDEX Questionnaires. Generally, if this bid is \$100,000 or more, or if this bid when added to the sum total of all contracts, concessions and franchises the bidder has received from the City and any subcontracts received from City contractors over the past twelve months, equals or exceeds \$100,000, Vendex Questionnaires must be completed. If required, Vendex Questionnaires must be completed and submitted before any award of contract may be made or before approval is given for a proposed subcontractor. Non-compliance with these submission requirements may result in the disqualification of the bid, disapproval of a subcontractor, subsequent withdrawal of approval for the use of an approved subcontractor, or the cancellation of the contract after its award.

(B) Submission: Vendex Questionnaires must be submitted directly to the Mayor's Office of Contract Services, ATTN: Vendex, 253 Broadway, 9th Floor, New York, New York 10007. In addition, the bidder must submit a Confirmation of Vendex Compliance to the agency. A form for this confirmation is set forth in the Bid Booklet.

(C) Obtaining Forms: Vendex Questionnaires, as well as detailed instructions, may be obtained at www.nyc.gov/vendex. The bidder may also obtain Vendex forms and instructions by contacting the

Agency Chief Contracting Office or the contract person for this contract.

25. Complaints About the Bid Process

The New York City Comptroller is charged with the audit of contracts in New York City. Any vendor who believes that there has been unfairness, favoritism or impropriety in the bid process should inform the Comptroller, Office of Contract Administration, One Centre Street, Room 835, New York, New York; telephone number (212)669-2797.

26. Bid, Performance and Payment Security

(A) Bid Security: Each bid must be accompanied by bid security in an amount and type specified in Attachment 1 (page A-1 of the Bid Booklet). The bid security shall assure the City of New York of the adherence of the bidder to its proposal, the execution of the Contract, and the furnishing of Performance and Payment Bonds by the bidder, if required in Attachment 1. Bid security shall be returned to the bidder as follows:

- (1) Within ten (10) days after the bid opening, the Comptroller will be notified to return the deposits of all but the three (3) lowest bidders. Within five (5) days after the award, the Comptroller will be notified to return the deposits of the remaining two unsuccessful bidders.
- (2) Within five (5) days after the execution of the Contract and acceptance of the Contractor's bonds, the Comptroller will be notified to return the bid security of the successful bidder or, if performance and payment bonds are not required, only after the sum retained under Article 21 of the Contract equals the amount of the bid security.
- (3) Where all bids are rejected, the Comptroller will be notified to return the deposit of the three (3) lowest bidders at the time of rejection.

(B) Performance and Payment Security: Performance and Payment Security must be provided in an amount and type specified in Attachment 1 (page A-1 of the Bid Booklet). The performance and payment security shall be delivered by the contractor prior to or at the time of execution of the Contract. If a contractor fails to deliver the required performance and payment security, its bid security shall be enforced, and an award of Contract may be made to the next lowest responsible and responsive bidder, or the contract may be rebid.

(C) Acceptable Types of Security: Acceptable types of security for bids, performance, and payment shall be limited to the following:

- (1) a one-time bond in a form satisfactory to the City;
- (2) a bank certified check or money order;
- (3) obligations of the City of New York; or
- (4) other financial instruments as determined by the Office of Construction in consultation with the Comptroller.

Whenever the successful bidder deposits obligations of the City of New York as performance and payment security, the Comptroller may sell and use the proceeds thereof for any purpose for which the principal or surety on such bond would be liable under the terms of the Contract. If the money is deposited with the Comptroller, the successful bidder shall not be entitled to receive interest on such money from the City.

(D) Form of Bonds: Security provided in the form of bonds must be prepared on the form of bonds authorized by the City of New York. Forms for bid, performance, and payment bonds are included in the Invitation for Bids Documents. Such bonds must have as surety thereunder such surety company or companies as are: (1) approved by the City of New York; (2) authorized to do business in the State of New York, and (3) approved by the Department of the Treasury of the United States. Premiums for any required bonds must be included in the base bid.

The bidder is advised that submission of a bid bond where the surety on such bond fails to meet the criteria set forth herein, shall result in the rejection of the bid as non-responsive.

The Department of the Treasury of the United States advises that information concerning approved surety companies may be obtained as follows: (1) from the Government Printing Office at 202-512-1800; (2) through the Internet at <http://www.fms.treas.gov/c570/index.html>, and (3) through a computerized public bulletin board, which can be accessed by using your computer modem and dialing 202-874-6887.

(E) Power of Attorney: Attorneys in fact who sign bid, performance, or payment bonds must file with each bond a certified copy of their power of attorney to sign said bonds.

27. Failure to Execute Contract

In the event of failure of the successful bidder to execute the Contract and furnish the required security within ten (10) days after notice of the award of the Contract, the deposit of the successful bidder or so much thereof as shall be applicable to the amount of the award made shall be retained by the City, and the successful bidder shall be liable for and hereby agrees to pay on demand the difference between the price bid and the price for which such Contract shall be subsequently awarded, including the cost of any required reletting and less the amount of such deposit. No plea of mistake in such accepted bid shall be available to the bidder for the recovery of the deposit or as a defense to any action based upon such accepted bid. Further, should the bidder's failure to comply with this Section cause any funding agency, body or group (Federal, State, City, Public, Private, etc.) to terminate, cancel or reduce the funding on this project, the bidder in such event shall be liable also to the City for the amount of actual funding withdrawn by such agency on this project, less the amount of the forfeited deposit.

28. Bidder Responsibilities and Qualifications

(A) Bidders must include with their bids all information necessary for a determination of bidder responsibility, as set forth in the Specifications.

(B) The Agency may require any bidder or prospective bidder to furnish all books of account, records, vouchers, statements or other information concerning the bidder's financial status for examination as may be required by the Agency to ascertain the bidder's responsibility and capability to perform the Contract. If required, a bidder must also submit a sworn statement setting forth such information as the Agency may require concerning present and proposed plant and equipment, the personnel and qualifications of his working organizations, prior experience and performance record.

(C) Oral Examination on Qualifications: In addition thereto, and when directed by the Agency, the bidder, or a responsible officer, agent or employee of the bidder, must submit to an oral examination to be conducted by the Agency in relation to his proposed tentative plan and schedule of

operations, and such other matters as the Agency may deem necessary in order to determine the bidder's ability and responsibility to perform the work in accordance with the Contract. Each person so examined must sign and verify a stenographic transcript of such examination noting thereon such corrections as such person may desire to make.

(D) If the bidder fails or refuses to supply any of the documents or information set forth in paragraph (B) hereof or fails to comply with any of the requirements thereof, the Agency may reject the bid.

29. Employment Report

In accordance with Executive Order No. 50 (1980) as modified by Executive Order 108 (1986), the filing of a completed Employment Report (ER) is a requirement of doing business with the City of New York for construction contractors with contracts of \$1,000,000 or more and subcontractors with construction subcontracts of \$750,000 or more. The required forms and information are included in the Bid Booklet.

30. Labor Law Requirements

(A) General: The successful bidder will be required to comply strictly with all Federal, State and local labor laws and regulations.

(B) New York State Labor Law: This Contract is subject to New York State Labor Law Section 220, which requires that construction workers on the site be paid prevailing wages and supplements. The Contractor is reminded that all wage provisions of this Contract will be enforced strictly and failure to comply will be considered when evaluating performance. Noncompliance may result in the contractor being debarred by the City from future contracts. Complaints filed with the Comptroller may result in decisions which may debar a contractor from bidding contracts with any state governmental entity and other political subdivisions.

(C) Records: The Contractor is expected to submit accurate payroll reports and other required documents and verify attendance and job classifications being utilized in compliance with the law, Contract provisions and agency procedures.

31. Insurance

(A) Bidders are advised that the insurance requirements contained herein are regarded as material terms of the Contract. As required by Article 22 of the Contract, the contractor must effect and maintain with companies licensed and authorized to do business in the State of New York, the types of insurance set forth therein, when required by and in the amounts set forth in Schedule A of the General Conditions. Such required insurance must be provided from the date the contractor is ordered to commence work and up to the date of final acceptance of all required work.

(B) The contractor must, within ten days of receipt of the notice of award, submit the following insurance documentation: (a) original certificate of insurance for general liability in the amount required by Schedule A of the General Conditions, and (b) original certificates of insurance or other proof of coverage for workers' compensation and disability benefits, as required by Section 57 of the New York State Workers' Compensation Law and Section 220 of the Disability Benefits Law.

32. Lump Sum Contracts

(A) Comparison of Bids: Bids on Lump Sum Contracts will be compared on the basis of the lump sum price bid, adjusted for alternate prices bid, if any.

(B) Lump Sum Bids for "General Construction Work" which include excavation shall include all necessary excavation work defined in the Specifications as being included in the lump sum bid. The bidder shall also bid a unit price for the additional cost of excavating material which is defined in the Specifications as excavation for which additional payment will be made. The total estimated additional cost of removing such material will be taken as the quantity set forth in the Engineer's Estimate multiplied by the unit price bid. This total estimated cost of additional excavation shall be added to the lump sum bid for the General Construction Work for the purpose of comparing bids to determine the low bidder.

(C) Variations from Engineer's Estimate: The Engineer's Estimate of the quantity of excavation for which additional payment will be made is approximate only and is given solely to be used as a uniform basis for the comparison of bids and such estimate is not to be considered as part of this contract. The quantities actually required to complete the contract work may be more or less than the quantities in the Engineer's Estimate and, if so, no action for damages or for loss of profits shall accrue to the contractor by reason thereof.

33. Unit Price Contracts

(A) Comparison of Bids: Bids on Unit Price Contracts will be compared on the basis of a total estimated price, arrived at by taking the sum of the estimated quantities of such items, in accordance with the Engineer's Estimate of Quantities set forth in the Bid Schedule, multiplied by the corresponding unit prices, and including any lump sum bids on individual items.

(B) Variations from Engineer's Estimate: Bidders are warned that the Engineer's Estimate of Quantities on the various items of work and materials is approximate only, given solely to be used as a uniform basis for the comparison of bids, and is not be considered part of this contract. The quantities actually required to complete the contract work may be less or more than so estimated, and if so, no action for damages or for loss of profits shall accrue to the contractor by reason thereof.

(C) Overruns: The terms and conditions applicable to overruns of unit price items are set forth in Article 26 of the Contract.

34. Excise Tax

Bidders are referred to the Specifications for information on Federal Excise Tax exemptions.

35. Licenses and Permits

The successful bidder will be required to obtain all necessary licenses and permits necessary to perform the work.

36. Multiple Prime Contractors

If more than one prime contractor will be involved on this project, all contractors are required to examine the Invitation for Bid packages for all other parts of the project.

37. Locally Based Enterprise Requirements (LBE)

This Contract is subject to the requirements of Administrative Code, Section 6-108.1, and the regulations promulgated thereunder. No construction contract will be awarded unless and until these requirements have been complied with in their entirety. The bidder is advised of the provisions set forth below, as well as the provisions with respect to the Locally Based Enterprise Program contained in Article 67 of the Contract. The contractor is advised that:

(A) If any portion of the Contract is subcontracted, not less than ten percent of the total dollar amount of the contract shall be awarded to locally based enterprises ("LBEs"); except, where less than ten percent of the total dollar amount of the Contract is subcontracted, such lesser percentage shall be so awarded.

(B) No contractor shall require performance and payment bonds from LBE subcontractors.

(C) No Contract shall be awarded unless the contractor first identifies in its bid:

- (1) the percentage, dollar amount and type of work to be subcontracted; and
- (2) the percentage, dollar amount and type of work to be subcontracted to LBEs.

(D) Within ten calendar days after notification of low bid, the apparent low bidder shall submit an "LBE Participation Schedule" to the contracting agency. If such schedule does not identify sufficient LBE subcontractors to meet the requirements of Administrative Code Section 6-108.1, the apparent low bidder shall submit documentation of its good faith efforts to meet such requirements.

(1) The "LBE Participation Schedule" shall include:

- (a) the name and address of each LBE that will be given a subcontract,
- (b) the percentage, dollar amount and type of work to be subcontracted to the LBE, and
- (c) the dates when the LBE subcontract work will commence and end.

(2) The following documents shall be attached to the "LBE Participation Schedule":

- (a) verification letters from each subcontractor listed in the "LBE Participation Schedule" stating that the LBE will enter into a formal agreement for work,
- (b) certification documents of any proposed LBE subcontractor which is not on the LBE certified list, and
- (c) copies of the certification letter of any proposed subcontractor which is an LBE.

(3) Documentation of good faith efforts to achieve the required LBE percentage shall include as appropriate but not limited to the following:

- (a) attendance at prebid meetings, when scheduled by the agency, to advise bidders of contract requirements;

- (b) advertisement where appropriate in general circulation media, trade association publications and small business media of the specific subcontracts that would be at least equal to the percentage goal for LBE utilization specified by the contractor;
- (c) written notification to association of small, minority and women contractors soliciting specific subcontractors;
- (d) written notification by certified mail to LBE firms that their interest in the contract is solicited for specific work items and their estimated values;
- (e) demonstration of efforts made to select portions of the work for performance by LBE firms in order to increase the likelihood of achieving the stated goal;
- (f) documented efforts to negotiate with LBE firms for specific subcontracts, including at a minimum:
 - (i) The names, address and telephone numbers of LBE firms that are contacted;
 - (ii) A description of the information provided to LBE firms regarding the plans and specifications for portions of the work to be performed;
 - (iii) Documentation showing that no reasonable price can be obtained from LBE firms;
 - (iv) A statement of why agreements with LBE firms were not reached;
- (g) a statement of the reason for rejecting any LBE firm which the contractor deemed to be unqualified; and
- (h) documentation of efforts made to assist the LBE firms contacted that needed assistance in obtaining required insurance.

(E) Unless otherwise waived by the Commissioner with the approval of the Office of Economic and Financial Opportunity, failure of a proposed contractor to provide the information required by paragraphs (C) and (D) above may render the bid non-responsive and the Contract may not be awarded to the bidder. If the contractor states that it will subcontract a specific portion of the work, but can demonstrate despite good faith efforts it cannot achieve its required LBE percentage for subcontracted work until after award of Contract, the Contract may be awarded, subject to a letter of compliance from the contractor stating that it will comply with Administrative Code Section 6-108.1 and subject to approval by the Commissioner. If the contractor has not met its required LBE percentage prior to award, the contractor shall demonstrate that a good faith effort has been made subsequent to award to obtain LBEs on each subcontract until it meets the required percentage.

(F) When a bidder indicates prior to award that no work will be subcontracted, no work may be subcontracted without the prior written approval of the Commissioner, which shall be granted only if the contractor in good faith seeks LBE subcontractors at least six weeks prior to the start of work.

(G) The contractor may not substitute or change any LBE which was identified prior to award of the contract without the written permission of the Commissioner. The contractor shall make a written application to the Commissioner for permission to make such substitution or change, explaining why the contractor needs to change its LBE subcontractor and how the contractor will meet its LBE subcontracting requirement. Copies of such application must be served on the originally identified LBE by certified mail return receipt requested, as well as the proposed substitute LBE. The Commissioner shall determine whether or not to grant the contractor's request for substitution.

38. Bid Submission Requirements

The following forms, all of which are contained in the Bid Booklet, are to be completed and submitted with the bid:

- (1) Bid Schedule and Bid Form, including Affirmation
- (2) Bid Security (if required, see Attachment 1 On Page A-1)
- (3) M/WBE Subcontractor Utilization Plan (if participation goals have been established)

**FAILURE TO SUBMIT ITEMS (1), (2) AND (3)
WILL RESULT IN THE DISQUALIFICATION OF THE BID.**

- (4) Safety Questionnaire
- (5) Construction Employment Report (if bid is \$1,000,000 or more)
- (6) Contract Certificate (if bid is less than \$1,000,000)
- (7) Confirmation of Vendex Compliance
- (8) Special Experience Requirements (if applicable to this contract)
- (9) Apprenticeship Program Questionnaire (if applicable)

**FAILURE TO SUBMIT ITEMS (4) THROUGH (9)
MAY RESULT IN THE DISQUALIFICATION OF THE BID.**

39. Comptroller's Certificate

This Contract shall not be binding or of any force unless it is registered by the Comptroller in accordance with Section 328 of the City Charter and the Procurement Policy Board Rules. This Contract shall continue in force only after annual appropriation of funds by the City of New York and certification as hereinabove set forth.

40. Procurement Policy Board Rules

This Invitation For Bids is subject to the Rules of the Procurement Policy Board of the City of New York. In the event of a conflict between said Rules and a provision of this Invitation For Bids, the Rules shall take precedence.

41. DDC Safety Requirements

The DDC Safety Requirements apply to the work to be performed pursuant to the Contract. The DDC Safety Requirements are set forth on the following pages.

CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
SAFETY REQUIREMENTS

THE DDC SAFETY REQUIREMENTS INCLUDE THE FOLLOWING SECTIONS:

- I. POLICY ON SITE SAFETY
- II. PURPOSE
- III. DEFINITIONS.....
- IV. RESPONSIBILITIES.....
- V. SAFETY QUESTIONNAIRE
- VI. SAFETY PROGRAM AND SITE SAFETY PLAN
- VII. KICK-OFF/PRE-CONSTRUCTION MEETINGS AND SAFETY REVIEW
- VIII. EVALUATION DURING WORK IN PROGRESS
- IX. SAFETY PERFORMANCE EVALUATION.....

I. POLICY ON SITE SAFETY

The City of New York Department of Design and Construction (DDC) is committed to a policy of injury and illness prevention and risk management for construction work that will ensure the safety and health of the workers engaged in the projects and the protection of the general public. Therefore, it is DDC's policy that work carried out by Contractors on DDC jobsites must, at a minimum, comply with applicable federal, state and city laws, rules and regulations, including without limitation:

- ❑ U. S. Department of Labor 29 Code of Federal Regulations (CFR) Part 1926 and applicable Sub-parts of Part 1910 – U.S. Occupational Safety and Health Administration (OSHA) including, but not limited to "Respiratory Protection" (29 CFR 1910.134), "Permit-Required Confined Spaces" (29 CFR 1910.146), and "Hazard Communication" (29 CFR 1910.1200);
- ❑ New York State Department of Labor Industrial Code Rule 23 – Protection in Construction, Demolition and Excavation;
- ❑ New York City Construction Codes, Title 28
- ❑ NYC Department of Transportation Title 34 Chapter 2 – Highway Rules
- ❑ New York State Department of Labor Industrial Code Rule 753
- ❑ NYC Local Law No. 113 (2005) Noise Control Code

In addition, all regulations promulgated by the NYC Department of Transportation, including requirements for Maintenance and Protection of Traffic (MPT), are applicable when contained in contract specifications. While MPT is a significant component of work in our Infrastructure Division, it does not supersede or exempt Contractors from complying with other applicable health and safety standards (for example, excavating and trenching standards, operation of heavy equipment and compliance with City environmental and noise regulations).

II. PURPOSE

The purpose of this policy is to ensure that Contractors perform their work and supervise their employees in accordance with all applicable federal, state and city rules and regulations. Further, Contractors will be expected to minimize or eliminate jobsite and public hazard, through a planning, inspection, auditing and corrective action process. The goal is to control risks so that injuries, illnesses and accidents to contractors' employees, DDC employees and the general public, as well as damage to city-owned and private property, are reduced to the lowest level feasible.

III. DEFINITIONS

Agency Chief Contracting Officer (ACCO): The ACCO shall mean the person delegated authority by the Commissioner to organize and supervise the procurement activity of subordinate Agency staff in conjunction with the CCPO.

Competent Person: As defined by OSHA, an individual who is capable of identifying existing and predictable hazards in the surroundings or working conditions that are unsanitary, hazardous, or dangerous to employees or the general public, and who has authorization to take prompt corrective measures to eliminate them.

Construction Safety Auditor: A representative of the QACS Construction Safety Unit who provides inspection and assessment services to enhance health and safety on all DDC construction projects. The activities of the Construction Safety Auditor include performing site surveys, reviewing health and safety plans, reviewing construction permits, and rendering technical advice and assistance to DDC Resident Engineers and Project Managers.

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Construction Safety Unit: A part of QACS within the Division of Technical Support that assesses contractor safety on DDC jobsites and advises responsible parties of needed corrective actions.

Construction Superintendent: A representative of the contractor responsible for overseeing performance of the required construction work. This individual must engage in sound construction practices, and is responsible to maintain a safe work site. In the case of a project involving the demolition, alteration or new construction of buildings, the Construction Superintendent must be licensed by the NYC Department of Buildings.

Contractor: For purposes of these Safety Requirements, the term "Contractor" shall mean any person or entity that enters into a contract for the performance of construction work on a DDC project. The term "Contractor" shall include any person or entity which enters into any of the following types of contracts: (1) a prime construction contract for a specific project, (2) a prime construction contract using the Job Order Contracting System ("JOCS Contract"), and (3) a subcontract with a CM/Builder ("First Tier Subcontract").

Director - Quality Assurance and Construction Safety (QACS): Responsible for the operations of the QACS Construction Safety Unit and the DDC Site Safety management programs.

Job Hazard Assessment (JHA): A process of identifying site-specific hazards that may be present during construction and establishing the means and methods to reduce or eliminate those hazards.

Jobsite Safety Coordinator: A person designated by the Contractor to be onsite during all activities. This individual shall have received, at a minimum, the OSHA 10-hour construction safety program. Other examples of acceptable training are the 30-hour OSHA Safety and Health Standards for the Construction Industry training program (OSHA 510) or a degree/certificate in a safety and health from a college-level curriculum. This person does not necessarily have to be dedicated full-time to site safety, but must have sufficient experience and authority to undertake corrective action and must qualify to be a competent person. For certain projects, as defined in NYC Construction Codes – Title 28, this person may be required to have a Site Safety Manager's License issued by the NYC DOB.

Qualified Person: As defined by OSHA, an individual who, by possession of a recognized degree, certificate, license or professional standing, or who by extensive knowledge, training, and experience, has successfully demonstrated his or her ability to solve problems relating to the subject matter, the work, or the project. Qualified Persons are required under regulation to address issues pertaining, but without limit, to fall protection, scaffold design and trenching and shoring, among others.

Resident Engineer (RE) / Construction Project Manager (CPM): Representative of the Commissioner duly designated by the Commissioner to be his/her representative at the site of the work. (The RE/CPM may be a third-party consultant, including a CM, retained by DDC.)

Safety Program: Established by the Contractor that covers all operations of that Contractor and establishes the Contractor's overall safety policy, regulatory compliance plan and minimum safety standards. The Safety Program must be submitted prior to the commencement of work at the site and is subject to review and acceptance by the Construction Safety Unit.

Safety Questionnaire: Used by DDC to evaluate Contractor's current and past safety performance. It is required to be completed by all Contractors initially when submitting bids for Construction work, or when being pre-qualified and updated annually or as requested by the DDC.

Site Safety Plan: A site-specific safety plan developed by the Contractor for a specific project. The Site Safety Plan must identify hazards associated with the project, and include specific safety precautions and training appropriate and necessary to complete the work. The Site Safety Plan must be submitted prior to the commencement of work at the site and is subject to review and acceptance by the Construction Safety Unit.

Unsafe or Unhealthy Condition: A condition that could be potentially hazardous to the health and safety of personnel or the public, and/or damaging to equipment, machinery, property or the environment.

Weekly Safety Meetings: Weekly documented jobsite safety meetings, given to all jobsite personnel by contractor, with the purpose of discussing general safety topics and job specific requirements encountered at the DDC work site.

IV. RESPONSIBILITIES

All persons who manage, perform, and provide support for construction projects shall conduct operations in compliance with the requirements identified in this Policy and all applicable governing regulatory agency requirements and guidelines pertaining to safety in construction.

A. Resident Engineer / Construction Project Manager / Construction Manager

- Monitors the issuance of safety-related permits, approvals and drawings and maintains copies on site.
- Monitors construction-related work activities to confirm that they are conducted in accordance with DDC policies and all applicable regulations that pertain to construction safety.
- Maintains documentation and periodically attends weekly safety meeting.
- Notifies the Construction Safety Unit and the ACCO's Insurance and Risk Management Unit of project-related accidents and emergencies, as per DDC's Construction Safety Emergency Protocol.
- Gathers facts related to all accidents and prepares DDC Accident Reports.
- Notifies the Construction Safety Unit of outside regulatory agency inspections and forwards a copy of the inspection report within three days of its receipt.
- Monitors the conditions at the site for conformance with the Site Safety Plan and DDC construction documents.
- Notifies the contractor and DDC in the event that any condition or activity exists that is not in compliance with the Site Safety Plan, applicable federal, state or local codes or any condition that presents a potential risk of injury to the public or workers or possible damage to property.
- Notifies DDC of any emergency condition and directs the contractor to provide such labor, materials, equipment and supervision to abate such conditions.
- Reports gross safety violations to the Construction Safety Unit immediately.

B. Contractors

- Complete a Safety Questionnaire and submit with its bid or as part of a pre-qualification package.
- Provide a Written Job Hazard Assessment (JHA) that identifies expected safety issues of the work to be performed. JHA shall be included with the Site Safety Plan submitted by the contractor.
- Submit a Site Safety Plan and Safety Program within 15 days of issuance of the Notice to Proceed, or as otherwise directed. The Site Safety Plan and Safety Program are subject to review and acceptance by the Construction Safety Unit prior to the commencement of work at the site. The Site Safety Plan shall be revised and updated as necessary.
- Ensure that all employees are aware of the hazards associated with the project through formal and informal training and/or other communications. Conduct and document weekly safety meetings for the duration of the project. Documentation to be provided to the RE/CPM/CM on a monthly basis.
- Name a Construction Superintendent, if required.
- Name a Job Site Safety Coordinator. The Contractor will be required to identify the Job Site Safety Coordinator in the Site Safety Plan.
- Comply with all mandated federal, state and local safety and health rules and regulations.
- Comply with all provisions of the Site Safety Plan.
- As part of the Site Safety Plan, prepare a site specific MPT (if not otherwise provided in the contract documents) and comply with all of its provisions.

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- Conduct and document site-specific safety orientation for Contractor personnel to review the hazards associated with the project as identified in the Site Safety Plan and the specific safety procedures and controls that will be used to protect workers, the general public and property. The Job Site Safety Coordinator will conduct this training prior to mobilization and provide documentation to the RE/CPM/CM.
- Provide, replace and adequately maintain at or around the project site, suitable and sufficient signage, lights, barricades and enclosures (fences, sidewalk sheds, netting, bracing, etc.).
- Report unsafe conditions or hazards to the DDC RE/CPM/CM as soon as practical, but no more than 24 hours after discovery, and take action to remove or abate such conditions.
- Report any accident involving injuries to workers or the general public, as well as property damage, to the DDC RE/CPM/CM within two (2) hours.
- Notify the DDC RE/CPM/CM within two (2) hours of the start of an inspection by any regulatory agency personnel, including OSHA.
- Maintain all records pertaining to all required compliance documents and accident and injury reports.
- Respond to DDC recommendations on safety, which shall in no way relieve the Contractor of its responsibilities for safety on the project. The Contractor has sole responsibility for safety.

V. SAFETY QUESTIONNAIRE

DDC requires that all Contractors provide information regarding their current and past safety and environmental performance and programs. This will be accomplished by the use of the DDC Safety Questionnaire. As a part of the bid submittal package, the contractor must submit a completed DDC Safety Questionnaire listing their workers' compensation experience modification rating and OSHA Incidence Rates for the three (3) years prior to the date of the bid opening. DDC may request a Contractor to update its Questionnaire at any time or to provide more detailed information. The Contractor must provide the requested update within 30 days.

The following criteria will be used by DDC in reviewing the Contractor's responsibility, which will be based on the information provided on the questionnaire:

- Criteria 1: OSHA Injury and Illness Rates (I&IR) are no greater than the average for the industry (based on the most current Bureau of Labor Statistics data for the Contractors SIC code); and
- Criteria 2: Insurance workers compensation Experience Modification Rate (EMR) equal to or less than 1.0; and
- Criteria 3: Any willful violations issued by OSHA or NYC DOB within the last three years; and
- Criteria 4: A fatality (worker or member of public) experienced on or near Contractor's worksite within the last three (3) years; and
- Criteria 5: An unacceptable rating by QACS based on past performance on DDC projects; and
- Criteria 6: Contractor has in place an acceptable corporate safety program and its employees shall have completed all documented relative safety training; and
- Criteria 7: Contractor shall provide OSHA Injury Records (currently OSHA 300 Log) for the last three (3) years.

If the Contractor fails to meet the basic criteria listed above, the Construction Safety Unit may request, through the ACCO, more detail concerning the Contractor's safety experience. DDC may request the Contractor to provide copies of, among other things, OSHA records, OSHA and DOB citations, EPA citations and written Safety Programs.

VI. SAFETY PROGRAM AND SITE SAFETY PLAN

Within fifteen (15) days of issuance of the Notice to Proceed, or as otherwise directed, the Contractor shall submit the following: (1) Safety Program, and (2) Site Safety Plan. The Safety Program shall set forth the Contractor's overall safety policy, regulatory compliance plan and minimum safety standard, and the Site Safety Plan shall identify hazards associated with the project, and include specific safety precautions and training appropriate and necessary to complete the work. The Safety Program and the Site Safety Plan are subject to review and acceptance by the Construction Safety Unit prior to the commencement of work at the site. Failure by the contractor to submit an acceptable Site Safety Plan and Safety Program shall be grounds for default.

The Site Safety Plan shall apply to all Contractor and subcontractor operations, and shall have at a minimum, the following elements. Each element shall be described in a separate section in the written document. It may be necessary to modify the basic format for certain unique or high-risk projects (such as tunnels or high-rise construction). The basic elements are as follows:

1. **Responsibility and Organization:** Identify the person or persons with authority and responsibility for implementing the Site Safety Plan. Provide an organization chart and define levels of authority and responsibility. Identify the Competent Person, the Construction Superintendent (if required), the Job Safety Coordinator and the Qualified Person required for this project.
2. **Communication:** Establish a system for communicating with employees and subcontractors on matters relating to worker and public safety and health and environmental protection, including provisions designed to encourage employees to inform the employer of hazards at the worksite without fear of reprisal. An emergency response notification protocol is to be established that also includes after hours contact numbers. The plan must also include provisions for weekly safety meetings held by the Job Site Safety Coordinator.
3. **Job Hazard Assessment:** A written document submitted by the contractor, used to identify expected job hazards and public safety risks and state the specific means and methods to reduce, control or eliminate those hazards. This part of the Site Safety Plan must also include how on-going evaluations of those risks and hazards will be carried out, including plans for periodic inspections to identify unsafe conditions, work practices and public safety hazards.
4. **Accident/Exposure Investigation:** Establish a procedure to investigate and report occupational and public injury or illness, property damage, vehicle accidents or other mishaps.
5. **Hazard Correction:** Establish means, methods and/or procedures for correcting unsafe or unhealthy conditions that might be exposing both the public and workers to hazards. Corrective actions must be taken immediately when observed or discovered. Should an imminent hazard exist which cannot be immediately abated without endangering employees, the public and/or property, remove or restrict all exposed persons from the area except those necessary to correct the existing condition. Employees necessary to correct the hazardous condition shall be provided the necessary safeguards. When corrective actions cannot be taken immediately, temporary measures should be taken until such time permanent measures are taken to eliminate the potential risks or hazards.
6. **Training:** Describe site-specific hazard training programs. In addition to the required safety orientation, additional site specific training, in the form of required weekly safety meetings, will be required. Contractors must also initiate training when: a) new employees are hired; b) employees are given new job assignments for which training has not been previously received; c) new substances, processes, procedures or equipment are introduced that might represent a new public or worker hazard; d) the employee is made aware of a new or previously unrecognized hazard; e) new supervisors are assigned to familiarize themselves with the safety and health hazards to which employees under their immediate direction and control may be exposed; and f) after a jobsite incident or accident has occurred.

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7. Recordkeeping: Establish procedures to maintain records of scheduled and periodic inspections, weekly safety meetings, and training records. Updated records shall be maintained at the jobsite, accessible to the Construction Safety Auditors and/or Quality Assurance Auditors/RE/CPM, and retained in accordance with DDC policy.

The most critical component of the Site Safety Plan is the Job Hazard Assessment section. This section must address specific hazards that are anticipated throughout the project. Each Site Safety Plan must address, at a minimum:

- Public and pedestrian safety
- Fall protection
- Electrical hazards
- Scaffolding
- Fire protection
- Emergency notification & response
- Housekeeping / debris removal
- Dust control
- Maintenance and protection of traffic
- Trenching and excavating
- Heavy equipment operations
- Material / equipment storage
- Environmental contamination
- Sheeting and shoring
- Alcohol and Drug Abuse Policy

The following additional hazards must be addressed, if applicable, based on the contract safety specifications and/or the results of the JHA (the list is not all-inclusive):

- Basic Personal Protective Equipment
- Compressed Air
- Compressed Gas Cylinders
- Cranes, Derricks and Hoists
- Demolition
- Electrical safety
- Excavations and Trenching
- Fall Protection – Floor openings/Stairways
- Fall Protection – Guardrails Toe boards etc
- Fall Protection – Leading Edge
- Fall Protection – Personal Fall Protection Devices
- Fire Protection and Fire Prevention
- Hazard Communication (RIGHT TO KNOW)
- Hazardous Energy & Lock Out / Tag Out
- Housekeeping/ Sanitation
- Maintenance and Protection of Traffic (MPT)
- Man Lifts /Aerial Lifts
- Marine Operations
- Motor Vehicle Safety
- Overhead Power lines
- Permit Required Confined Space
- Portable Ladders
- Powered Actuated Tools
- Powered Material Handling Equipment
- Scaffolds – Mobile
- Scaffolds – Stationary
- Scaffolds – Suspended
- Slings
- Steel Erection

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- Welding and Cutting (Hot Work)
- Airborne Contaminants – Particulates – General
- Asbestos
- Blood borne Pathogens
- Hearing Protection
- Lead in Construction
- Mercury in Construction
- PCB's
- Respiratory Protection
- Silica
- Thermal Stress
- West Nile Virus
- Rodents and Vermin
- Noise Mitigation Plan

Certain DDC programs, such as Job Order Contracting System (JOCS), may not necessarily require Site Safety Plans. The JOCS contractor will be required to submit a Safety Program. In addition, certain DDC Operating Units may establish program or client-specific safety requirements. The contractor's Site Safety Plan must address such program or client specific safety requirements.

VII. KICK-OFF MEETINGS/PRE-CONSTRUCTION AND SAFETY REVIEW

As part of the construction kick-off meeting, a Site Safety Plan review will be part of the agenda. A QACS representative will participate in this meeting with the contractor prior to the start of the project for the purpose of:

- A. Reviewing the safety issues detailed in the contract.
- B. Reviewing the Site Safety Plan.
- C. Reviewing any new issues or information that was not previously addressed.
- D. Discussing planned inspections and audits of the site by DDC personnel.

VIII. EVALUATION DURING WORK IN PROGRESS

The Contractor's adherence to these Safety Requirements will be monitored throughout the project. This will be accomplished by the following:

- A. Use of a safety checklist by a representative of the Construction Safety Unit or other designated DDC representative or Consultant during regular, unannounced inspections of the job site. Field Exit Conferences will be held with the RE/CPM, Contractor Superintendents or Safety Representatives.
- B. The RE/CPM will continually monitor the safety and environmental performance of the contractor's employees and work methods. Deficiencies shall be brought to the attention of the contractor's representative on site for immediate correction. The DDC representative will maintain a written record of these deficiencies and forward them to the Construction Safety Unit on a weekly basis. Any critical deficiencies shall be immediately reported to QACS phone# (718) 391-1624 or (718) 391-1911.
- C. If the Contractor's safety performance during the project is not up to DDC standards (safety performance measure, accident/incident rate, etc.) the Director- QACS, or designee will meet with the Contractor's safety representative, the DDC project manager, the RE/CPM, or the DDC Environmental Specialist (if environmental issues are involved). The purpose of this meeting is to 1) determine the level of non-compliance; 2) explain and clarify the safety/environmental provisions; 3) agree on a future course of action to correct the deficiencies.

City of New York Department of Design and Construction: Safety Requirements
Technical Support Division – Bureau of Quality Assurance and Construction Safety

- D. If the deficiencies continue to occur with inadequate attention by the contractor, this shall, among other remedies available, be grounds for default.
- E. The contractor shall inform the Construction Safety Unit and ACCO Insurance and Risk Management Unit of all medical injuries or illnesses that require doctors' treatment resulting from an on-the-job incident within 24 hours of the occurrence. The Construction Safety Unit shall also be immediately informed of all fatalities, catastrophic accidents with more than one employee hospitalized, any injuries to members of the general public and major equipment damage (e.g., property damage, equipment rollovers, loads dropped from crane). QACS shall maintain a record of all contractor injuries and illnesses during the project and provide regular reports to the Agency.
- F. The Construction Safety Unit shall be immediately notified at the start of any NYS-DOL/ NYC-COSH/ OSHA/ EPA inspections. The Director of Quality Assurance & Construction Safety shall maintain a log of all contractor OSHA/EPA inspections and citations during the project.

IX. SAFETY PERFORMANCE EVALUATION

The contractor's safety record, including all DDC inspection results, will be considered as part of the Contractor's performance evaluation at the conclusion of the project. Poor safety performance during the course of the project shall be a reason to rate a Contractor unsatisfactory which will be reflected in the City's Vendex system and will be considered for future procurement actions as set forth in the City's Procurement Policy Board Rules.

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STANDARD CONSTRUCTION CONTRACT

DECEMBER 2013

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WITNESSETH:

The parties, in consideration of the mutual agreements contained herein, agree as follows:

**CHAPTER I
THE CONTRACT AND DEFINITIONS**

ARTICLE 1. THE CONTRACT

1.1 Except for titles, subtitles, headings, running headlines, tables of contents and indices (all of which are printed herein merely for convenience), the following, except for such portions thereof as may be specifically excluded, shall be deemed to be part of this Contract:

1.1.1 All provisions required by law to be inserted in this Contract, whether actually inserted or not;

1.1.2 The Contract Drawings and Specifications;

1.1.3 The General Conditions and Special Conditions, if any;

1.1.4 The Contract;

1.1.5 The Information for Bidders; Request for Proposals; Notice of Solicitation and Proposal For Bids; Bid or Proposal, and, if used, the Bid Booklet;

1.1.6 All Addenda issued prior to the receipt of the bids; the Notice of Award; Performance and Payment Bonds, if required; and the Notice to Proceed or the Order to Work.

1.2 Should any conflict occur in or between the Drawings and Specifications, the Contractor shall be deemed to have estimated the most expensive way of doing the Work, unless the Contractor shall have asked for and obtained a decision in writing from the Commissioner of the Agency that is entering into this Contract, before the submission of its bid, as to what shall govern.

ARTICLE 2. DEFINITIONS

2.1 The following words and expressions, or pronouns used in their stead, shall, wherever they appear in this Contract, be construed as follows, unless a different meaning is clear from the context:

2.1.1 "Addendum" or "Addenda" shall mean the additional Contract provisions and/or technical clarifications issued in writing by the Commissioner prior to the receipt of bids.

2.1.2 "Agency" shall mean a city, county, borough or other office, position, department, division, bureau, board or commission, or a corporation, institution or agency of government, the expenses of which are paid in whole or in part from the City treasury.

2.1.3 "Agency Chief Contracting Officer" (ACCO) shall mean a person delegated authority by the Commissioner to organize and supervise the procurement activity of subordinate Agency staff in conjunction with the CCPO, or his/her duly authorized representative.

2.1.4 **"Allowance"** shall mean a sum of money which the Agency may include in the total amount of the Contract for such specific contingencies as the Agency believes may be necessary to complete the Work, *e.g.*, lead or asbestos remediation, and for which the Contractor will be paid on the basis of stipulated unit prices or a formula set forth in the Contract or negotiated between the parties provided, however, that if the Contractor is not directed to use the Allowance, the Contractor shall have no right to such money and it shall be deducted from the total amount of the Contract.

2.1.5 **"City"** shall mean the City of New York.

2.1.6 **"City Chief Procurement Officer" (CCPO)** shall mean a person delegated authority by the Mayor to coordinate and oversee the procurement activity of Mayoral agency staff, including the ACCO and any offices which have oversight responsibility for the procurement of construction, or his/her duly authorized representative.

2.1.7 **"Commissioner"** shall mean the head of the Agency that has entered into this Contract, or his/her duly authorized representative.

2.1.8 **"Comptroller"** shall mean the Comptroller of the City of New York.

2.1.9 **"Contract"** or **"Contract Documents"** shall mean each of the various parts of the contract referred to in Article 1 hereof, both as a whole and severally.

2.1.10 **"Contract Drawings"** shall mean only those drawings specifically entitled as such and listed in the Specifications or in any Addendum, or any drawings furnished by the Commissioner, pertaining or supplemental thereto.

2.1.11 **"Contract Work"** shall mean everything required to be furnished and done by the Contractor by any one or more of the parts of the Contract referred to in Article 1, except Extra Work as hereinafter defined.

2.1.12 **"Contractor"** shall mean the entity which executed this Contract, whether a corporation, firm, partnership, joint venture, individual, or any combination thereof, and its, their, his/her successors, personal representatives, executors, administrators, and assigns, and any person, firm, partnership, joint venture, individual, or corporation which shall at any time be substituted in the place of the Contractor under this Contract.

2.1.13 **"Days"** shall mean calendar days, except where otherwise specified.

2.1.14 **"Engineer"** or **"Architect"** or **"Project Manager"** shall mean the person so designated in writing by the Commissioner in the Notice to Proceed or the Order to Work to act as such in relation to this Contract, including a private Architect or Engineer or Project Manager, as the case may be. Subject to written approval by the Commissioner, the Engineer, Architect or Project Manager may designate an authorized representative.

2.1.15 **"Engineering Audit Officer" (EAO)** shall mean the person so designated by the Commissioner to perform responsible auditing functions hereunder.

2.1.16 **"Extra Work"** shall mean Work other than that required by the Contract at the time of award which is authorized by the Commissioner pursuant to Chapter VI of this Contract.

2.1.17 **"Federal-Aid Contract"** shall mean a contract in which the United States (federal) Government provides financial funding as so designated in the Information for Bidders.

2.1.18 **"Final Acceptance"** shall mean final written acceptance of all the Work by the Commissioner, a copy of which shall be sent to the Contractor.

2.1.19 **"Final Approved Punch List"** shall mean a list, approved pursuant to Article 14.2.2, specifying those items of Work to be completed by the Contractor after Substantial Completion and dates for the completion of each item of Work.

2.1.20 **"Law" or "Laws"** shall mean the Constitution of the State of New York, the New York City Charter, the New York City Administrative Code, a statute of the United States or of the State of New York, a local law of the City of New York, any ordinance, rule or regulation having the force of law, or common law.

2.1.21 **"Materialman"** shall mean any corporation, firm, partnership, joint venture, or individual, other than employees of the Contractor, who or which contracts with the Contractor or any Subcontractor, to fabricate or deliver, or who actually fabricates or delivers, plant, materials or equipment to be incorporated in the Work.

2.1.22 **"Means and Methods of Construction"** shall mean the labor, materials, temporary structures, tools, plant, and construction equipment, and the manner and time of their use, necessary to accomplish the result intended by this Contract.

2.1.23 **"Notice to Proceed" or "Order to Work"** shall mean the written notice issued by the Commissioner specifying the time for commencement of the Work and the Engineer, Architect or Project Manager.

2.1.24 **"Other Contractor(s)"** shall mean any contractor (other than the entity which executed this Contract or its Subcontractors) who or which has a contract with the City for work on or adjacent to the building or Site of the Work.

2.1.25 **"Payroll Taxes"** shall mean State Unemployment Insurance (SUI), Federal Unemployment Insurance (FUI), and payments pursuant to the Federal Insurance Contributions Act (FICA).

2.1.26 **"Project"** shall mean the public improvement to which this Contract relates.

2.1.27 **"Procurement Policy Board" (PPB)** shall mean the Agency of the City of New York whose function is to establish comprehensive and consistent procurement policies and rules which shall have broad application throughout the City.

2.1.28 **"Required Quantity"** in a unit price Contract shall mean the actual quantity of any item of Work or materials which is required to be performed or furnished in order to comply with the Contract.

2.1.29 **"Resident Engineer"** shall mean the representative of the Commissioner duly designated by the Commissioner to be his/her representative at the site of the Work.

2.1.30 **"Site"** shall mean the area upon or in which the Contractor's operations are carried on, and such other areas adjacent thereto as may be designated as such by the Engineer.

2.1.31 **"Small Tools"** shall mean items that are ordinarily required for a worker's job function, including but not limited to, equipment that ordinarily has no licensing, insurance

or substantive storage costs associated with it; such as circular and chain saws, impact drills, threaders, benders, wrenches, socket tools, etc.

2.1.32 "**Specifications**" shall mean all of the directions, requirements, and standards of performance applying to the Work as hereinafter detailed and designated under the Specifications.

2.1.33 "**Subcontractor**" shall mean any person, firm or corporation, other than employees of the Contractor, who or which contracts with the Contractor or with its subcontractors to furnish, or actually furnishes labor, or labor and materials, or labor and equipment, or superintendence, supervision and/or management at the Site. Wherever the word Subcontractor appears, it shall also mean sub-Subcontractor.

2.1.34 "**Substantial Completion**" shall mean the written determination by the Engineer that the Work required under this Contract is substantially, but not entirely, complete and the approval of the **Final Approved Punch List**.

2.1.35 "**Work**" shall mean all services required to complete the Project in accordance with the Contract Documents, including without limitation, labor, material, superintendence, management, administration, equipment, and incidentals, and obtaining any and all permits, certifications and licenses as may be necessary and required to complete the Work, and shall include both Contract Work and Extra Work.

CHAPTER II THE WORK AND ITS PERFORMANCE

ARTICLE 3. CHARACTER OF THE WORK

3.1 Unless otherwise expressly provided in the **Contract Drawings, Specifications, and Addenda**, the **Work** shall be performed in accordance with the best modern practice, utilizing, unless otherwise specified in writing, new and unused materials of standard first grade quality and workmanship and design of the highest quality, to the satisfaction of the **Commissioner**.

ARTICLE 4. MEANS AND METHODS OF CONSTRUCTION

4.1 Unless otherwise expressly provided in the **Contract Drawings, Specifications, and Addenda**, the **Means and Methods of Construction** shall be such as the **Contractor** may choose; subject, however, to the **Engineer's** right to reject the **Means and Methods of Construction** proposed by the **Contractor** which in the opinion of the **Engineer**:

4.1.1 Will constitute or create a hazard to the **Work**, or to persons or property; or

4.1.2 Will not produce finished **Work** in accordance with the terms of the **Contract**; or

4.1.3 Will be detrimental to the overall progress of the **Project**.

4.2 The **Engineer's** approval of the **Contractor's Means and Methods of Construction**, or his/her failure to exercise his/her right to reject such means or methods, shall not relieve the **Contractor** of its obligation to complete the **Work** as provided in this **Contract**; nor shall the exercise of such right to reject create a cause of action for damages.

ARTICLE 5. COMPLIANCE WITH LAWS

5.1 The **Contractor** shall comply with all **Laws** applicable to this **Contract** and to the **Work** to be done hereunder.

5.2 Procurement Policy Board Rules: This **Contract** is subject to the Rules of the **PPB** ("**PPB Rules**") in effect at the time of the bid opening for this **Contract**. In the event of a conflict between the **PPB Rules** and a provision of this **Contract**, the **PPB Rules** shall take precedence.

5.3 Noise Control Code provisions.

5.3.1 In accordance with the provisions of Section 24-216(b) of the Administrative Code of the **City** ("**Administrative Code**"), Noise Abatement Contract Compliance, devices and activities which will be operated, conducted, constructed or manufactured pursuant to this **Contract** and which are subject to the provisions of the **City Noise Control Code** shall be operated, conducted, constructed, or manufactured without causing a violation of the **Administrative Code**. Such devices and activities shall incorporate advances in the art of noise control development for the kind and level of noise emitted or produced by such devices and activities, in accordance with regulations issued by the **Commissioner** of the **City Department of Environmental Protection**.

5.3.2 The **Contractor** agrees to comply with Section 24-219 of the Administrative Code and implementing rules codified at 15 Rules of the City of New York ("**RCNY**") Section 28-100 *et seq.* In accordance with such provisions, the **Contractor**, if the **Contractor** is the responsible party under such regulations, shall prepare and post a Construction Noise Mitigation Plan at each **Site**, in which the **Contractor** shall certify that all construction tools and equipment have been maintained so that they operate at normal manufacturers operating specifications. If the **Contractor** cannot make this certification, it must have in place an Alternative Noise Mitigation Plan approved by the **City Department of Environmental Protection**. In addition, the **Contractor's** certified Construction Noise Mitigation Plan is subject inspection by the **City Department of Environmental Protection** in accordance with Section 28-101 of Title 15 of **RCNY**. No **Contract Work** may take place at a **Site** unless there is a Construction Noise Mitigation Plan or approved Alternative Noise Mitigation Plan in place. In addition, the **Contractor** shall create and implement a noise mitigation training program. Failure to comply with these requirements may result in fines and other penalties pursuant to the applicable provisions of the Administrative Code and **RCNY**.

5.4 Ultra Low Sulfur Diesel Fuel: In accordance with the provisions of Section 24-163.3 of the Administrative Code, the **Contractor** specifically agrees as follows:

5.4.1 Definitions. For purposes of this Article 5.4, the following definitions apply:

5.4.1(a) "**Contractor**" means any person or entity that enters into a Public Works Contract with a **City Agency**, or any person or entity that enters into an agreement with such person or entity, to perform work or provide labor or services related to such Public Works Contract.

5.4.1(b) "**Motor Vehicle**" means any self-propelled vehicle designed for transporting persons or property on a street or highway.

5.4.1(c) "**Nonroad Engine**" means an internal combustion engine (including the fuel system) that is not used in a Motor Vehicle or a vehicle used solely for competition, or that is not subject to standards promulgated under Section 7411 or Section 7521 of

Title 42 of the United States Code, except that this term shall apply to internal combustion engines used to power generators, compressors or similar equipment used in any construction program or project.

5.4.1(d) "Nonroad Vehicle" means a vehicle that is powered by a Nonroad Engine, fifty (50) horsepower and greater, and that is not a Motor Vehicle or a vehicle used solely for competition, which shall include, but not be limited to, excavators, backhoes, cranes, compressors, generators, bulldozers, and similar equipment, except that this term shall not apply to horticultural maintenance vehicles used for landscaping purposes that are powered by a Nonroad Engine of sixty-five (65) horsepower or less and that are not used in any construction program or project.

5.4.1(e) "Public Works Contract" means a contract with a **City Agency** for a construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge; a contract with a **City Agency** for the preparation for any construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge; or a contract with a **City Agency** for any final work involved in the completion of any construction program or project involving the construction, demolition, restoration, rehabilitation, repair, renovation, or abatement of any building, structure, tunnel, excavation, roadway, park or bridge.

5.4.1(f) "Ultra Low Sulfur Diesel Fuel" means diesel fuel that has a sulfur content of no more than fifteen parts per million (15 ppm).

5.4.2 Ultra Low Sulfur Diesel Fuel

5.4.2(a) All **Contractors** shall use Ultra Low Sulfur Diesel Fuel in diesel-powered Nonroad Vehicles in the performance of this **Contract**.

5.4.2(b) Notwithstanding the requirements of Article 5.4.2(a), **Contractors** may use diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm) to fulfill the requirements of this Article 5.4.2, where the Commissioner of the **City** Department of Environmental Protection ("DEP Commissioner") has issued a determination that a sufficient quantity of Ultra Low Sulfur Diesel Fuel is not available to meet the needs of **Agencies** and **Contractors**. Any such determination shall expire after six (6) months unless renewed.

5.4.2(c) **Contractors** shall not be required to comply with this Article 5.4.2 where the **City Agency** letting this **Contract** makes a written finding, which is approved, in writing, by the DEP Commissioner, that a sufficient quantity of Ultra Low Sulfur Diesel Fuel, or diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm) is not available to meet the requirements of Section 24-163.3 of the Administrative Code, provided that such **Contractor** in its fulfillment of the requirements of this **Contract**, to the extent practicable, shall use whatever quantity of Ultra Low Sulfur Diesel Fuel or diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm) is available. Any finding made pursuant to this Article 5.4.2(c) shall expire after sixty (60) **Days**, at which time the requirements of this Article 5.4.2 shall be in full force and effect unless the **City Agency** renews the finding in writing and such renewal is approved by the DEP Commissioner.

5.4.2(d) **Contractors** may check on determinations and approvals issued by the DEP Commissioner pursuant to Section 24-163.3 of the Administrative Code, if any, at www.dep.nyc.gov or by contacting the **City Agency** letting this **Contract**.

5.4.2(e) The requirements of this Article 5.4.2 do not apply where they are precluded by federal or State funding requirements or where the **Contract** is an emergency procurement.

5.4.3 Best Available Technology

5.4.3(a) All **Contractors** shall utilize the best available technology for reducing the emission of pollutants for diesel-powered Nonroad Vehicles in the performance of this **Contract**. For determinations of best available technology for each type of diesel-powered Nonroad Vehicle, **Contractors** shall comply with the regulations of the City Department of Environmental Protection, as and when adopted, Chapter 14 of Title 15 of the Rules of the City of New York (RCNY). The **Contractor** shall fully document all steps in the best available technology selection process and shall furnish such documentation to the **City Agency** or the DEP Commissioner upon request. The **Contractor** shall retain all documentation generated in the best available technology selection process for as long as the selected best available technology is in use.

5.4.3(b) No **Contractor** shall be required to replace best available technology for reducing the emission of pollutants or other authorized technology utilized for a diesel-powered Nonroad Vehicle in accordance with the provisions of this Article 5.4.3 within three (3) years of having first utilized such technology for such vehicle.

5.4.3(c) This Article 5.4.3 shall not apply to any vehicle used to satisfy the requirements of a specific Public Works Contract for fewer than twenty (20) **Days**.

5.4.3(d) The **Contractor** shall not be required to comply with this Article 5.4.3 with respect to a diesel-powered Nonroad Vehicle under the following circumstances:

5.4.3(d)(i) Where the **City Agency** makes a written finding, which is approved, in writing, by the DEP Commissioner, that the best available technology for reducing the emission of pollutants as required by this Article 5.4.3 is unavailable for such vehicle, the **Contractor** shall use whatever technology for reducing the emission of pollutants, if any, is available and appropriate for such vehicle.

5.4.3(d)(ii) Where the DEP Commissioner has issued a written waiver based upon the **Contractor** having demonstrated to the DEP Commissioner that the use of the best available technology for reducing the emission of pollutants might endanger the operator of such vehicle or those working near such vehicle, due to engine malfunction, the **Contractor** shall use whatever technology for reducing the emission of pollutants, if any, is available and appropriate for such vehicle, which would not endanger the operator of such vehicle or those working near such vehicle.

5.4.3(d)(iii) In determining which technology to use for the purposes of Articles 5.4.3(d)(i) and 5.4.3(d)(ii) above, the **Contractor** shall primarily consider the reduction in emissions of particulate matter and secondarily consider the reduction in emissions of nitrogen oxides associated with the use of such

technology, which shall in no event result in an increase in the emissions of either such pollutant.

5.4.3(d)(iv) The **Contractor** shall submit requests for a finding or a waiver pursuant to this Article 5.4.3(d) in writing to the DEP Commissioner, with a copy to the **ACCO** of the **City Agency** letting this **Contract**. Any finding or waiver made or issued pursuant to Articles 5.4.3(d)(i) and 5.4.3(d)(ii) above shall expire after one hundred eighty (180) **Days**, at which time the requirements of Article 5.4.3(a) shall be in full force and effect unless the **City Agency** renews the finding, in writing, and the DEP Commissioner approves such finding, in writing, or the DEP Commissioner renews the waiver, in writing.

5.4.3(e) The requirements of this Article 5.4.3 do not apply where they are precluded by federal or State funding requirements or where the **Contract** is an emergency procurement.

5.4.4 Section 24-163 of the Administrative Code. The **Contractor** shall comply with Section 24-163 of the Administrative Code related to the idling of the engines of motor vehicles while parking.

5.4.5 Compliance

5.4.5(a) The **Contractor's** compliance with Article 5.4 may be independently monitored. If it is determined that the **Contractor** has failed to comply with any provision of Article 5.4, any costs associated with any independent monitoring incurred by the **City** shall be reimbursed by the **Contractor**.

5.4.5(b) Any **Contractor** who violates any provision of Article 5.4, except as provided in Article 5.4.5(c) below, shall be liable for a civil penalty between the amounts of one thousand (\$1,000) and ten thousand (\$10,000) dollars, in addition to twice the amount of money saved by such **Contractor** for failure to comply with Article 5.4.

5.4.5(c) No **Contractor** shall make a false claim with respect to the provisions of Article 5.4 to a **City Agency**. Where a **Contractor** has been found to have done so, such **Contractor** shall be liable for a civil penalty of twenty thousand (\$20,000) dollars, in addition to twice the amount of money saved by such **Contractor** in association with having made such false claim.

5.4.6 Reporting

5.4.6(a) For all Public Works Contracts covered by this Article 5.4, the **Contractor** shall report to the **City Agency** the following information:

5.4.6(a)(i) The total number of diesel-powered Nonroad Vehicles used to fulfill the requirements of this Public Works Contract;

5.4.6(a)(ii) The number of such Nonroad Vehicles that were powered by Ultra Low Sulfur Diesel Fuel;

5.4.6(a)(iii) The number of such Nonroad Vehicles that utilized the best available technology for reducing the emission of pollutants, including a breakdown by vehicle model and the type of technology;

5.4.6(a)(iv) The number of such Nonroad Vehicles that utilized such other authorized technology in accordance with Article 5.4.3, including a breakdown by vehicle model and the type of technology used for each such vehicle;

5.4.6(a)(v) The locations where such Nonroad Vehicles were used; and

5.4.6(a)(vi) Where a determination is in effect pursuant to Article 5.4.2(b) or 5.4.2(c), detailed information concerning the **Contractor's** efforts to obtain Ultra Low Sulfur Diesel Fuel or diesel fuel that has a sulfur content of no more than thirty parts per million (30 ppm).

5.4.6(b) The **Contractor** shall submit the information required by Article 5.4.6(a) at the completion of **Work** under the Public Works Contract and on a yearly basis no later than August 1 throughout the term of the Public Works Contract. The yearly report shall cover **Work** performed during the preceding fiscal year (July 1 - June 30).

5.5 Ultra Low Sulfur Diesel Fuel. In accordance with the Coordinated Construction Act for Lower Manhattan, as amended:

5.5.1 Definitions. For purposes of this Article 5.5, the following definitions apply:

5.5.1(a) "Lower Manhattan" means the area to the south of and within the following lines: a line beginning at a point where the United States pierhead line in the Hudson River as it exists now or may be extended would intersect with the southerly line of West Houston Street in the Borough of Manhattan extended, thence easterly along the southerly side of West Houston Street to the southerly side of Houston Street, thence easterly along the southerly side of Houston Street to the southerly side of East Houston Street, thence northeasterly along the southerly side of East Houston Street to the point where it would intersect with the United States pierhead line in the East River as it exists now or may be extended, including tax lots within or immediately adjacent thereto.

5.5.1(b) "Lower Manhattan Redevelopment Project" means any project in Lower Manhattan that is funded in whole or in part with federal or State funding, or any project intended to improve transportation between Lower Manhattan and the two air terminals in the City known as LaGuardia Airport and John F. Kennedy International Airport, or between Lower Manhattan and the air terminal in Newark known as Newark Liberty International Airport, and that is funded in whole or in part with federal funding.

5.5.1(c) "Nonroad Engine" means an internal combustion engine (including the fuel system) that is not used in a Motor Vehicle or a vehicle used solely for competition, or that is not subject to standards promulgated under Section 7411 or Section 7521 of Title 42 of the United States Code, except that this term shall apply to internal combustion engines used to power generators, compressors or similar equipment used in any construction program or project.

5.5.1(d) "Nonroad Vehicle" means a vehicle that is powered by a Nonroad Engine, fifty (50) horsepower (HP) and greater, and that is not a Motor Vehicle or a vehicle used solely for competition, which shall include, but not be limited to, excavators, backhoes, cranes, compressors, generators, bulldozers, and similar equipment, except

that this terms shall not apply to horticultural maintenance vehicles used for landscaping purposes that are powered by a Nonroad Engine of sixty-five (65) HP or less and that are not used in any construction program or project.

5.5.1(e) "Ultra Low Sulfur Diesel Fuel" means diesel fuel that has a sulfur content of no more than fifteen parts per million (15 ppm).

5.5.2 Requirements. **Contractors and Subcontractors** are required to use only Ultra Low Sulfur Diesel Fuel to power the diesel-powered Nonroad Vehicles with engine HP rating of fifty (50) HP and above used on a Lower Manhattan Redevelopment Project and, where practicable, to reduce the emission of pollutants by retrofitting such Nonroad Vehicles with oxidation catalysts, particulate filters, or technology that achieves lowest particulate matter emissions.

5.6 Pesticides. In accordance with Section 17-1209 of the Administrative Code, to the extent that the **Contractor** or any **Subcontractor** applies pesticides to any property owned or leased by the **City**, the **Contractor**, and any **Subcontractor** shall comply with Chapter 12 of the Administrative Code.

5.7 Waste Treatment, Storage, and Disposal Facilities and Transporters. In connection with the **Work**, the **Contractor** and any **Subcontractor** shall use only those waste treatment, storage, and disposal facilities and waste transporters that possess the requisite license, permit or other governmental approval necessary to treat, store, dispose, or transport the waste, materials or hazardous substances.

5.8 Environmentally Preferable Purchasing. The **Contractor** shall ensure that products purchased or leased by the **Contractor** or any **Subcontractor** for the **Work** that are not specified by the **City** or are submitted as equivalents to a product specified by the **City** comply with the requirements of the New York City Environmentally Preferable Purchasing Program contained in Chapter 11 of Title 43 of the RCNY, pursuant to Chapter 3 of Title 6 of the Administrative Code.

ARTICLE 6. INSPECTION

6.1 During the progress of the **Work** and up to the date of **Final Acceptance**, the **Contractor** shall at all times afford the representatives of the **City** every reasonable, safe, and proper facility for inspecting all **Work** done or being done at the **Site** and also for inspecting the manufacture or preparation of materials and equipment at the place of such manufacture or preparation.

6.2 The **Contractor's** obligation hereunder shall include the uncovering or taking down of finished **Work** and its restoration thereafter; provided, however, that the order to uncover, take down and restore shall be in writing, and further provided that if **Work** thus exposed proves satisfactory, and if the **Contractor** has complied with Article 6.1, such uncovering or taking down and restoration shall be considered an item of **Extra Work** to be paid for in accordance with the provisions of Article 26. If the **Work** thus exposed proves unsatisfactory, the **City** has no obligation to compensate the **Contractor** for the uncovering, taking down or restoration.

6.3 Inspection and approval by the **Commissioner**, the **Engineer**, **Project Manager**, or **Resident Engineer**, of finished **Work** or of **Work** being performed, or of materials and equipment at the place of manufacture or preparation, shall not relieve the **Contractor** of its obligation to perform the **Work** in strict accordance with the **Contract**. Finished or unfinished **Work** not found to be in strict accordance with the **Contract** shall be replaced as directed by the **Engineer**, even though such **Work** may have been previously approved and paid for. Such corrective **Work** is **Contract Work** and shall not be deemed **Extra Work**.

6.4 Rejected **Work** and materials shall be promptly taken down and removed from the **Site**, which must at all times be kept in a reasonably clean and neat condition.

**ARTICLE 7. PROTECTION OF WORK AND OF PERSONS
AND PROPERTY; NOTICES AND INDEMNIFICATION**

7.1 During the performance of the **Work** and up to the date of **Final Acceptance**, the **Contractor** shall be under an absolute obligation to protect the finished and unfinished **Work** against any damage, loss, injury, theft and/or vandalism and in the event of such damage, loss, injury, theft and/or vandalism, it shall promptly replace and/or repair such **Work** at the **Contractor's** sole cost and expense, as directed by the **Resident Engineer**. The obligation to deliver finished **Work** in strict accordance with the **Contract** prior to **Final Acceptance** shall be absolute and shall not be affected by the **Resident Engineer's** approval of, or failure to prohibit, the **Means and Methods of Construction** used by the **Contractor**.

7.2 During the performance of the **Work** and up to the date of **Final Acceptance**, the **Contractor** shall take all reasonable precautions to protect all persons and the property of the **City** and of others from damage, loss or injury resulting from the **Contractor's**, and/or its **Subcontractors'** operations under this **Contract**. The **Contractor's** obligation to protect shall include the duty to provide, place or replace, and adequately maintain at or about the **Site** suitable and sufficient protection such as lights, barricades, and enclosures.

7.3 The **Contractor** shall comply with the notification requirements set forth below in the event of any loss, damage or injury to **Work**, persons or property, or any accidents arising out of the operations of the **Contractor** and/or its **Subcontractors** under this **Contract**.

7.3.1 The **Contractor** shall make a full and complete report in writing to the **Resident Engineer** within three (3) **Days** after the occurrence.

7.3.2 The **Contractor** shall also send written notice of any such event to all insurance carriers that issued potentially responsive policies (including commercial general liability insurance carriers for events relating to the **Contractor's** own employees) no later than twenty (20) days after such event and again no later than twenty (20) days after the initiation of any claim and/or action resulting therefrom. Such notice shall contain the following information: the number of the insurance policy, the name of the Named Insured, the date and location of the incident, and the identity of the persons injured or property damaged. For any policy on which the **City** and/or the **Engineer, Architect, or Project Manager** are Additional Insureds, such notice shall expressly specify that "this notice is being given on behalf of the City of New York as Additional Insured, such other Additional Insureds, as well as the Named Insured."

7.3.2(a) Whenever such notice is sent under a policy on which the **City** is an Additional Insured, the **Contractor** shall provide copies of the notice to the **Comptroller**, the **Commissioner** and the **City Corporation Counsel**. The copy to the **Comptroller** shall be sent to the Insurance Unit, NYC Comptroller's Office, 1 Centre Street – Room 1222, New York, New York, 10007. The copy to the **Commissioner** shall be sent to the address set forth in Schedule A of the General Conditions. The copy to the **City Corporation Counsel** shall be sent to Insurance Claims Specialist, Affirmative Litigation Division, New York City Law Department, 100 Church Street, New York, New York 10007.

7.3.2(b) If the **Contractor** fails to provide any of the foregoing notices to any appropriate insurance carrier(s) in a timely and complete manner, the **Contractor** shall indemnify the **City** for all losses, judgments, settlements, and expenses, including reasonable attorneys' fees, arising from an insurer's disclaimer of coverage citing late notice by or on behalf of the **City**.

7.4 To the fullest extent permitted by law, the **Contractor** shall defend, indemnify, and hold the **City**, its employees, and officials (the "Indemnitees") harmless against any and all claims (including but not limited to claims asserted by any employee of the **Contractor** and/or its **Subcontractors**) and costs and expenses of whatever kind (including but not limited to payment or reimbursement of attorneys' fees and disbursements) allegedly arising out of or in any way related to the operations of the **Contractor** and/or its **Subcontractors** in the performance of this **Contract** or from the **Contractor's** and/or its **Subcontractors'** failure to comply with any of the provisions of this **Contract** or of the **Law**. Such costs and expenses shall include all those incurred in defending the underlying claim and those incurred in connection with the enforcement of this Article 7.4 by way of cross-claim, third-party claim, declaratory action or otherwise. The parties expressly agree that the indemnification obligation hereunder contemplates (1) full indemnity in the event of liability imposed against the Indemnitees without negligence and solely by reason of statute, operation of **Law** or otherwise; and (2) partial indemnity in the event of any actual negligence on the part of the Indemnitees either causing or contributing to the underlying claim (in which case, indemnification will be limited to any liability imposed over and above that percentage attributable to actual fault whether by statute, by operation of **Law**, or otherwise). Where partial indemnity is provided hereunder, all costs and expenses shall be indemnified on a pro rata basis.

7.4.1 Indemnification under Article 7.4 or any other provision of the **Contract** shall operate whether or not **Contractor** or its **Subcontractors** have placed and maintained the insurance specified under Article 22.

7.5 The provisions of this Article 7 shall not be deemed to create any new right of action in favor of third parties against the **Contractor** or the **City**.

CHAPTER III TIME PROVISIONS

ARTICLE 8. COMMENCEMENT AND PROSECUTION OF THE WORK

8.1 The **Contractor** shall commence the **Work** on the date specified in the **Notice to Proceed** or the **Order to Work**. The time for performance of the **Work** under the **Contract** shall be computed from the date specified in the **Notice to Proceed** or the **Order to Work**. **TIME BEING OF THE ESSENCE** to the **City**, the **Contractor** shall thereafter prosecute the **Work** diligently, using such **Means and Methods of Construction** as are in accord with Article 4 herein and as will assure its completion not later than the date specified in this **Contract**, or on the date to which the time for completion may be extended.

ARTICLE 9. PROGRESS SCHEDULES

9.1 To enable the **Work** to be performed in an orderly and expeditious manner, the **Contractor**, within fifteen (15) **Days** after the **Notice to Proceed** or **Order to Work**, unless otherwise directed by the **Engineer**, shall submit to the **Engineer** a proposed progress schedule based on the Critical Path Method in the form of a bar graph or in such other form as specified by the **Engineer**, and monthly cash flow requirements, showing:

9.1.1 The anticipated time of commencement and completion of each of the various operations to be performed under this **Contract**; and

9.1.2 The sequence and interrelation of each of these operations with the others and with those of other related contracts; and

9.1.3 The estimated time required for fabrication or delivery, or both, of all materials and equipment required for the **Work**, including the anticipated time for obtaining required approvals pursuant to Article 10; and

9.1.4 The estimated amount in dollars the **Contractor** will claim on a monthly basis.

9.2 The proposed schedule shall be revised as directed by the **Engineer**, until finally approved by the **Engineer**, and after such approval, subject to the provisions of Article 11, shall be strictly adhered to by the **Contractor**.

9.3 If the **Contractor** shall fail to adhere to the approved progress schedule, or to the schedule as revised pursuant to Article 11, it shall promptly adopt such other or additional **Means and Methods of Construction**, at its sole cost and expense, as will make up for the time lost and will assure completion in accordance with the approved progress schedule. The approval by the **City** of a progress schedule which is shorter than the time allotted under the **Contract** shall not create any liability for the **City** if the approved progress schedule is not met.

9.4 The **Contractor** will not receive any payments until the proposed progress schedule is submitted.

ARTICLE 10. REQUESTS FOR INFORMATION OR APPROVAL

10.1 From time to time as the **Work** progresses and in the sequence indicated by the approved progress schedule, the **Contractor** shall submit to the **Engineer** a specific request in writing for each item of information or approval required by the **Contractor**. These requests shall state the latest date upon which the information or approval is actually required by the **Contractor**, and shall be submitted in a reasonable time in advance thereof to provide the **Engineer** a sufficient time to act upon such submissions, or any necessary re-submissions thereof.

10.2 The **Contractor** shall not have any right to an extension of time on account of delays due to the **Contractor's** failure to submit requests for the required information or the required approval in accordance with the above requirements.

ARTICLE 11. NOTICE OF CONDITIONS CAUSING DELAY AND DOCUMENTATION OF DAMAGES CAUSED BY DELAY

11.1 After the commencement of any condition which is causing or may cause a delay in completion of the **Work**, including conditions for which the **Contractor** may be entitled to an extension of time, the following notifications and submittals are required:

11.1.1 Within seven (7) **Days** after the commencement of such condition, the **Contractor** must notify the **Engineer** in writing of the existence, nature and effect of such condition upon the approved progress schedule and the **Work**, and must state why and in what respects, if any, the condition is causing or may cause a delay.

11.1.2 If the **Contractor** shall claim to be sustaining damages for delay as provided for in this Article 11, within forty-five (45) **Days** from the time such damages are first incurred, and every thirty (30) **Days** thereafter for as long as such damages are being incurred, the **Contractor** shall submit to the **Commissioner** verified written statements of the details and the amounts of such damages, together with documentary evidence of such damages, ("statement of delay damages") as further detailed in Article 11.6. The **Contractor** may submit any of the above statements within such additional time as may be granted by the **Commissioner** in writing upon written request therefor. On failure of the **Contractor** to strictly comply with all of the foregoing provisions, such claims shall be deemed waived and no right to recover on such claims shall exist. Damages that the **Contractor** may claim in any action arising under or by reason of this **Contract** shall not be different from or in excess of the statements made and documentation provided pursuant to this Article 11.

11.1.3 Within 60 days of submission of the final verified statement of claims pursuant to Article 44, the **Commissioner** shall make a determination as to whether a compensable delay has occurred and, if so, the amount of compensation due the **Contractor**. Notwithstanding the above, the **Commissioner** may make a determination as to whether a compensable delay has occurred at any time after the **Contractor's** first submission of a statement of delay damages provided, however, that the amount of compensation due to the **Contractor** will not be determined until the **Commissioner** determines that the **Work** is delayed after the date set for substantial completion.

11.2 Failure of the **Contractor** to strictly comply with the requirements of Article 11.1.1 may, in the discretion of the **Commissioner**, be deemed sufficient cause to deny any extension of time on account of delay arising out of such condition. Failure of the **Contractor** to strictly comply with the requirements of Articles 11.1.1 and 11.1.2 shall be deemed a conclusive waiver by the **Contractor** of any and all claims for damages for delay arising from such condition and no right to recover on such claims shall exist.

11.3 When appropriate and directed by the **Engineer**, the progress schedule shall be revised by the **Contractor** until finally approved by the **Engineer**. The revised progress schedule must be strictly adhered to by the **Contractor**.

11.4 Compensable Delays

11.4.1 The **Contractor** agrees to make claim only for additional costs attributable to delay in the performance of this **Contract** necessarily extending the time for completion of the **Work** or resulting from acceleration directed by the **Commissioner** and required to maintain the **Project** schedule, occasioned solely by any act or omission to act of the **City** listed below. The **Contractor** also agrees that delay from any other cause shall be compensated, if at all, solely by an extension of time to complete the performance of the **Work**.

11.4.1.1 The failure of the **City** to take reasonable measures to coordinate and progress the **Work**, except that the **City** shall not be responsible for the **Contractor's** obligation to coordinate and progress the **Work** of its **Subcontractors**.

11.4.1.2 Extended delays attributable to the **City** in the review or issuance of change orders, in shop drawing reviews and approvals or as a result of the cumulative impact of multiple change orders, which have a verifiable impact on **Project** costs.

11.4.1.3 The unavailability of the **Site** for an extended period of time that significantly affects the scheduled completion of the **Contract**.

11.4.1.4 The issuance by the **Engineer** of a stop work order relative to a substantial portion of the **Work** for a period exceeding thirty (30) **Days**, that was not brought about through any action or omission of the **Contractor**.

11.4.1.5 Differing site conditions that were neither known nor reasonably ascertainable on a pre-bid inspection of the **Site** or review of the bid documents or other publicly available sources, and that are not ordinarily encountered in the **Project's** geographical area or neighborhood or in the type of **Work** to be performed.

11.4.1.6 Delays caused by the **City's** bad faith or its willful, malicious, or grossly negligent conduct;

11.4.1.7 Delays not contemplated by the parties;

11.4.1.8 Delays so unreasonable that they constitute an intentional abandonment of the **Contract** by the **City**; and

11.4.1.9 Delays resulting from the **City's** breach of a fundamental obligation of the **Contract**.

11.4.2 No claim may be made for any alleged delay in **Substantial Completion** of the **Work** by a date earlier than the date of **Substantial Completion** provided for in Schedule A unless there is a provision in the **Contract** providing for additional compensation for early completion. No claim may be made for any alleged delay in **Substantial Completion** of the **Work** if the work is substantially completed by the date of **Substantial Completion** provided for in Schedule A unless acceleration has been directed by the **Commissioner** to meet the date of **Substantial Completion** set forth in Schedule A.

11.4.3 The provisions of this Article 11 apply only to claims for additional costs attributable to delay and do not preclude determinations by the **Commissioner** allowing reimbursements for additional costs for **Extra Work** pursuant to Articles 25 and 26 of this **Contract**. To the extent that any cost attributable to delay is reimbursed as part of a change order, no additional claim for compensation under this Article 11 shall be allowed.

11.5 Non-Compensable Delays. The **Contractor** agrees to make no claim for, and is deemed to have included in its bid prices for the various items of the **Contract**, the extra/additional costs attributable to any delays caused by or attributable to the items set forth below. For such items, the **Contractor** shall be compensated, if at all, solely by an extension of time to complete the performance of the **Work**, in accordance with the provisions of Article 13. Such extensions of time will be granted, if at all, pursuant to the grounds set forth in Article 13.3.

11.5.1 The acts or omissions of any third parties, including but not limited to **Other Contractors**, public/ governmental bodies (other than **City Agencies**), utilities or private enterprises, who are disclosed in the **Contract Documents** or are ordinarily encountered or generally recognized as related to the **Work**;

11.5.2 Any situation which was within the contemplation of the parties at the time of entering into the **Contract**, including any delay indicated or disclosed in the **Contract Documents** or generally recognized as related to the nature of the **Work**, and/or the existence of any facility or appurtenance owned, operated or maintained by any third party, as indicated or disclosed in the **Contract Documents** or ordinarily encountered or generally recognized as related to the nature of the **Work**;

11.5.3 Restraining orders, injunctions or judgments issued by a court which were caused by a Contractor's submission, action or inaction or by a Contractor's **Means and Methods** of

Construction, or by third parties, unless such order, injunction or judgment was the result of an action or omission by the **City**;

11.5.4 Any labor boycott, strike, picketing, lockout or similar situation;

11.5.5 Any shortages of supplies or materials, or unavailability of equipment, required by the **Contract Work**;

11.5.6 Climatic conditions, storms, floods, droughts, tidal waves, fires, hurricanes, earthquakes, landslides or other catastrophes or acts of God, or acts of war or of the public enemy or terrorist acts, including the **City's** reasonable responses thereto; and

11.5.7 **Extra Work** which does not significantly affect the overall completion of the **Contract**, reasonable delays in the review or issuance of change orders or field orders and/or in shop drawing reviews or approvals.

11.6 Required Content of Submission of Statement of Delay Damages

11.6.1 In the verified written statement of delay damages required by Article 11.1.2, the following information shall be provided by the **Contractor**:

11.6.1.1 For each delay, the start and end dates of the claimed periods of delay and, in addition, a description of the operations that were delayed, an explanation of how they were delayed, and the reasons for the delay, including identifying the applicable act or omission of the **City** listed in Article 11.4.

11.6.1.2 A detailed factual statement of the claim providing all necessary dates, locations and items of **Work** affected by the claim.

11.6.1.3 The amount of additional compensation sought and a breakdown of that amount into categories as described in Article 26.2, subject to the limitations set forth in Article 11.7.

11.6.1.4 Any additional information requested by the **Commissioner**.

11.7 Recoverable Costs

11.7.1 Delay damages may be recoverable for the following costs actually and necessarily incurred in the performance of the **Work**:

11.7.1.1 Direct labor, including payroll taxes (subject to statutory wage caps) and supplemental benefits, based on time and materials records;

11.7.1.2 Necessary materials (including transportation to the **Site**), based on time and material records;

11.7.1.3 Reasonable rental value of necessary plant and equipment other than small tools, plus fuel/energy costs according to the applicable formula set forth in Articles 26.2.4 and/or 26.2.8, based on time and material records;

11.7.1.4 Insurance and bond costs;

11.7.1.5 Extended field office costs;

11.7.1.6 Extended **Site** overhead; and

11.7.1.7 Extended home office overhead.

11.7.2 Recoverable Subcontractor Costs. When the **Work** is performed by a **Subcontractor**, the **Contractor** may be paid the actual and necessary costs of such subcontracted **Work** as outlined above in Articles 11.7.1.1 through 11.7.1.6, and an

additional overhead of five (5%) percent of the costs outlined in Articles 11.7.1.1 through 11.7.1.3.

11.7.3 Non-Recoverable Costs. The parties agree that the **City** will have no liability for the following items and the **Contractor** agrees it shall make no claim for the following items:

- 11.7.3.1 Profit, or loss of anticipated or unanticipated profit;
- 11.7.3.2 Consequential damages, including but not limited to interest on monies in dispute, including interest which is paid on such monies, loss of bonding capacity, bidding opportunities, or interest in investment, or any resulting insolvency;
- 11.7.3.3 Indirect costs or expenses of any nature;
- 11.7.3.4 Direct or indirect costs attributable to performance of **Work** where the **Contractor**, because of situations or conditions within its control, has not progressed the **Work** in a satisfactory manner; and
- 11.7.3.5 Attorneys' fees and dispute and claims preparation expenses.

11.8 Determinations under this Article 11 are not subject to the jurisdiction of the Contract Dispute Resolution Board pursuant to the dispute resolution process set forth in Article 27.

11.9 If the parties agree, pursuant to Article 11.1.3 above, that a compensable delay has occurred and agree on the amount of compensation, payment may be made pursuant to a written change order. Payment pursuant to such change order is subject to pre-audit by the **Engineering Audit Officer**, and may be post-audited by the **Comptroller** and/or the **Agency**.

ARTICLE 12. COORDINATION WITH OTHER CONTRACTORS

12.1 During the progress of the **Work**, **Other Contractors** may be engaged in performing other work or may be awarded other contracts for additional work on this **Project**. In that event, the **Contractor** shall coordinate the **Work** to be done hereunder with the work of such **Other Contractors** and the **Contractor** shall fully cooperate with such **Other Contractors** and carefully fit its own **Work** to that provided under other contracts as may be directed by the **Engineer**. The **Contractor** shall not commit or permit any act which will interfere with the performance of work by any **Other Contractors**.

12.2 If the **Engineer** determines that the **Contractor** is failing to coordinate its **Work** with the work of **Other Contractors** as the **Engineer** has directed, then the **Commissioner** shall have the right to withhold any payments otherwise due hereunder until the **Contractor** completely complies with the **Engineer's** directions.

12.3 The **Contractor** shall notify the **Engineer** in writing if any **Other Contractor** on this **Project** is failing to coordinate its work with the **Work** of this **Contract**. If the **Engineer** finds such charges to be true, the **Engineer** shall promptly issue such directions to the **Other Contractor** with respect thereto as the situation may require. The **City** shall not, however, be liable for any damages suffered by any **Other Contractor's** failure to coordinate its work with the **Work** of this **Contract** or by reason of the **Other Contractor's** failure to promptly comply with the directions so issued by the **Engineer**, or by reason of any **Other Contractor's** default in performance, it being understood that the **City** does not guarantee the responsibility or continued efficiency of any contractor. The **Contractor** agrees to make no claim against

the **City** for any damages relating to or arising out of any directions issued by the **Engineer** pursuant to this Article 12 (including but not limited to the failure of any **Other Contractor** to comply or promptly comply with such directions), or the failure of the **Engineer** to issue any directions, or the failure of any **Other Contractor** to coordinate its work, or the default in performance of any **Other Contractor**.

12.4 The **Contractor** shall indemnify and hold the **City** harmless from any and all claims or judgments for damages and from costs and expenses to which the **City** may be subjected or which it may suffer or incur by reason of the **Contractor's** failure to comply with the **Engineer's** directions promptly; and the **Comptroller** shall have the right to exercise the powers reserved in Article 23 with respect to any claims which may be made for damages due to the **Contractor's** failure to comply with the **Engineer's** directions promptly. Insofar as the facts and **Law** relating to any claim would preclude the **City** from being completely indemnified by the **Contractor**, the **City** shall be partially indemnified by the **Contractor** to the fullest extent provided by **Law**.

12.5 Should the **Contractor** sustain any damage through any act or omission of any **Other Contractor** having a contract with the **City** for the performance of work upon the **Site** or of work which may be necessary to be performed for the proper prosecution of the **Work** to be performed hereunder, or through any act or omission of a subcontractor of such **Other Contractor**, the **Contractor** shall have no claim against the **City** for such damage, but shall have a right to recover such damage from the **Other Contractor** under the provision similar to the following provisions which apply to this **Contract** and have been or will be inserted in the contracts with such **Other Contractors**:

12.5.1 Should any **Other Contractor** having or who shall hereafter have a contract with the **City** for the performance of work upon the **Site** sustain any damage through any act or omission of the **Contractor** hereunder or through any act or omission of any **Subcontractor** of the **Contractor**, the **Contractor** agrees to reimburse such **Other Contractor** for all such damages and to defend at its own expense any action based upon such claim and if any judgment or claim (even if the allegations of the action are without merit) against the **City** shall be allowed the **Contractor** shall pay or satisfy such judgment or claim and pay all costs and expenses in connection therewith and agrees to indemnify and hold the **City** harmless from all such claims. Insofar as the facts and **Law** relating to any claim would preclude the **City** from being completely indemnified by the **Contractor**, the **City** shall be partially indemnified by the **Contractor** to the fullest extent provided by **Law**.

12.6 The **City's** right to indemnification hereunder shall in no way be diminished, waived or discharged by its recourse to assessment of liquidated damages as provided in Article 15, or by the exercise of any other remedy provided for by **Contract** or by **Law**.

ARTICLE 13. EXTENSION OF TIME FOR PERFORMANCE

13.1 If performance by the **Contractor** is delayed for a reason set forth in Article 13.3, the **Contractor** may be allowed a reasonable extension of time in conformance with this Article 13 and the **PPB Rules**.

13.2 Any extension of time may be granted only by the **ACCO** or by the Board for the Extension of Time (hereafter "**Board**") (as set forth below) upon written application by the **Contractor**.

13.3 Grounds for Extension: If such application is made, the **Contractor** shall be entitled to an extension of time for delay in completion of the **Work** caused solely:

13.3.1 By the acts or omissions of the **City**, its officials, agents or employees; or

13.3.2 By the act or omissions of **Other Contractors** on this **Project**; or

13.3.3 By supervening conditions entirely beyond the control of either party hereto (such as, but not limited to, acts of God or the public enemy, excessive inclement weather, war or other national emergency making performance temporarily impossible or illegal, or strikes or labor disputes not brought about by any act or omission of the **Contractor**).

13.3.4 The **Contractor** shall, however, be entitled to an extension of time for such causes only for the number of **Days** of delay which the **ACCO** or the Board may determine to be due solely to such causes, and then only if the **Contractor** shall have strictly complied with all of the requirements of Articles 9 and 10.

13.4 The **Contractor** shall not be entitled to receive a separate extension of time for each of several causes of delay operating concurrently, but, if at all, only for the actual period of delay in completion of the **Work** as determined by the **ACCO** or the Board, irrespective of the number of causes contributing to produce such delay. If one of several causes of delay operating concurrently results from any act, fault or omission of the **Contractor** or of its **Subcontractors** or **Materialmen**, and would of itself (irrespective of the concurrent causes) have delayed the **Work**, no extension of time will be allowed for the period of delay resulting from such act, fault or omission.

13.5 The determination made by the **ACCO** or the Board on an application for an extension of time shall be binding and conclusive on the **Contractor**.

13.6 The **ACCO** or the Board acting entirely within their discretion may grant an application for an extension of time for causes of delay other than those herein referred.

13.7 Permitting the **Contractor** to continue with the **Work** after the time fixed for its completion has expired, or after the time to which such completion may have been extended has expired, or the making of any payment to the **Contractor** after such time, shall in no way operate as a waiver on the part of the **City** of any of its rights under this **Contract**.

13.8 Application for Extension of Time:

13.8.1 Before the **Contractor's** time extension request will be considered, the **Contractor** shall notify the **ACCO** of the condition which allegedly has caused or is causing the delay, and shall submit a written application to the **ACCO** identifying:

13.8.1(a) The **Contractor**; the registration number; and **Project** description;

13.8.1(b) Liquidated damage assessment rate, as specified in the **Contract**;

13.8.1(c) Original total bid price;

13.8.1(d) The original **Contract** start date and completion date;

13.8.1(e) Any previous time extensions granted (number and duration); and

13.8.1(f) The extension of time requested.

13.8.2 In addition, the application for extension of time shall set forth in detail:

13.8.2(a) The nature of each alleged cause of delay in completing the **Work**;

13.8.2(b) The date upon which each such cause of delay began and ended and the number of **Days** attributable to each such cause;

13.8.2(c) A statement that the **Contractor** waives all claims except for those delineated in the application, and the particulars of any claims which the **Contractor** does not agree to waive. For time extensions for **Substantial Completion** and final completion payments, the application shall include a detailed statement of the dollar amounts of each element of claim item reserved; and

13.8.2(d) A statement indicating the **Contractor's** understanding that the time extension is granted only for purposes of permitting continuation of **Contract** performance and payment for **Work** performed and that the **City** retains its right to conduct an investigation and assess liquidated damages as appropriate in the future.

13.9 Analysis and Approval of Time Extensions:

13.9.1 For time extensions for partial payments, a written determination shall be made by the **ACCO** who may, for good and sufficient cause, extend the time for the performance of the **Contract** as follows:

13.9.1(a) If the **Work** is to be completed within six (6) months, the time for performance may be extended for sixty (60) **Days**;

13.9.1(b) If the **Work** is to be completed within less than one (1) year but more than six (6) months, an extension of ninety (90) **Days** may be granted;

13.9.1(c) If the **Contract** period exceeds one (1) year, besides the extension granted in Article 13.9.1(b), an additional thirty (30) **Days** may be granted for each multiple of six (6) months involved beyond the one (1) year period; or

13.9.1(d) If exceptional circumstances exist, the **ACCO** may extend the time for performance beyond the extensions in Articles 13.9.1(a), 13.9.1(b), and 13.9.1(c). In that event, the **ACCO** shall file with the Mayor's Office of Contract Services a written explanation of the exceptional circumstances.

13.9.2 For extensions of time for **Substantial Completion** and final completion payments, the **Engineer**, in consultation with the **ACCO**, shall prepare a written analysis of the delay (including a preliminary determination of the causes of delay, the beginning and end dates for each such cause of delay, and whether the delays are excusable under the terms of this **Contract**). The report shall be subject to review by and approval of the Board, which shall have authority to question its analysis and determinations and request additional facts or documentation. The report as reviewed and made final by the Board shall be made a part of the **Agency** contract file. Neither the report itself nor anything contained therein shall operate as a waiver or release of any claim the **City** may have against the **Contractor** for either actual or liquidated damages.

13.9.3 Approval Mechanism for Time Extensions for **Substantial Completion** or Final Completion Payments: An extension shall be granted only with the approval of the Board which is comprised of the **ACCO** of the **Agency**, the **City** Corporation Counsel, and the **Comptroller**, or their authorized representatives.

13.9.4 Neither the granting of any application for an extension of time to the **Contractor** or any **Other Contractor** on this **Project** nor the papers, records or reports related to any application for or grant of an extension of time or determination related thereto shall be referred to or offered in evidence by the **Contractor** or its attorneys in any action or proceeding.

13.10 No Damage for Delay: The **Contractor** agrees to make no claim for damages for delay in the performance of this **Contract** occasioned by any act or omission to act of the **City** or any of its representatives, except as provided for in Article 11.

ARTICLE 14. COMPLETION AND FINAL ACCEPTANCE OF THE WORK

14.1 Date for **Substantial Completion**: The **Contractor** shall substantially complete the **Work** within the time fixed in Schedule A of the General Conditions, or within the time to which such **Substantial Completion** may be extended.

14.2 Determining the Date of **Substantial Completion**: The **Work** will be deemed to be substantially complete when the two conditions set forth below have been met.

14.2.1 Inspection: The **Engineer** has inspected the **Work** and has made a written determination that it is substantially complete.

14.2.2 Approval of **Final Approved Punch List** and Date for **Final Acceptance**: Following inspection of the **Work**, the **Engineer** shall furnish the **Contractor** with a final punch list, specifying all items of **Work** to be completed and proposing dates for the completion of each specified item of **Work**. The **Contractor** shall then submit in writing to the **Engineer** within ten (10) **Days** of the **Engineer** furnishing the final punch list either acceptance of the dates or proposed alternative dates for the completion of each specified item of **Work**. If the **Contractor** proposes alternative dates, then, within a reasonable time after receipt, the **Engineer**, in a written notification to the **Contractor**, shall approve the **Contractor's** completion dates or, if they are unable to agree, the **Engineer** shall establish dates for the completion of each item of **Work**. If the **Contractor** neither accepts the dates nor proposes alternative dates within ten (10) **Days**, the schedule proposed by the **Engineer** shall be deemed accepted. The latest completion date specified shall be the date for **Final Acceptance** of the **Work**.

14.3 Date of **Substantial Completion**. The date of approval of the **Final Approved Punch List**, shall be the date of **Substantial Completion**. The date of approval of the **Final Approved Punch List** shall be either (a) if the **Contractor** approves the final punch list and proposed dates for completion furnished by the **Engineer**, the date of the **Contractor's** approval; or (b) if the **Contractor** neither accepts the dates nor proposes alternative dates, ten (10) **Days** after the **Engineer** furnishes the **Contractor** with a final punch list and proposed dates for completion; or (c) if the **Contractor** proposes alternative dates, the date that the **Engineer** sends written notification to the **Contractor** either approving the **Contractor's** proposed alternative dates or establishing dates for the completion for each item of **Work**.

14.4 Determining the Date of **Final Acceptance**: The **Work** will be accepted as final and complete as of the date of the **Engineer's** inspection if, upon such inspection, the **Engineer** finds that all items on the **Final Approved Punch List** are complete and no further **Work** remains to be done. The **Commissioner** will then issue a written determination of **Final Acceptance**.

14.5 Request for Inspection: Inspection of the **Work** by the **Engineer** for the purpose of **Substantial Completion** or **Final Acceptance** shall be made within ten (10) **Days** after receipt of the **Contractor's** written request therefor.

14.6 Request for Re-inspection: If upon inspection for the purpose of **Substantial Completion** or **Final Acceptance**, the **Engineer** determines that there are items of **Work** still to be performed, the **Contractor** shall promptly perform them and then request a re-inspection. If upon re-inspection, the **Engineer** determines that the **Work** is substantially complete or finally accepted, the date of such re-inspection shall be the date of **Substantial Completion** or **Final Acceptance**. Re-inspection by the **Engineer** shall be made within ten (10) **Days** after receipt of the **Contractor's** written request therefor.

14.7 Initiation of Inspection by the **Engineer**: If the **Contractor** does not request inspection or re-inspection of the **Work** for the purpose of **Substantial Completion** or **Final Acceptance**, the **Engineer** may initiate such inspection or re-inspection.

ARTICLE 15. LIQUIDATED DAMAGES

15.1 In the event the **Contractor** fails to substantially complete the **Work** within the time fixed for such **Substantial Completion** in Schedule A of the General Conditions, plus authorized time extensions, or if the **Contractor**, in the sole determination of the **Commissioner**, has abandoned the **Work**, the **Contractor** shall pay to the **City** the sum fixed in Schedule A of the General Conditions, for each and every **Day** that the time consumed in substantially completing the **Work** exceeds the time allowed therefor; which said sum, in view of the difficulty of accurately ascertaining the loss which the **City** will suffer by reason of delay in the **Substantial Completion** of the **Work** hereunder, is hereby fixed and agreed as the liquidated damages that the **City** will suffer by reason of such delay, and not as a penalty. This Article 15 shall also apply to the **Contractor** whether or not the **Contractor** is defaulted pursuant to Chapter X of this **Contract**. Neither the failure to assess liquidated damages nor the granting of any time extension shall operate as a waiver or release of any claim the **City** may have against the **Contractor** for either actual or liquidated damages.

15.2 Liquidated damages received hereunder are not intended to be nor shall they be treated as either a partial or full waiver or discharge of the **City's** right to indemnification, or the **Contractor's** obligation to indemnify the **City**, or to any other remedy provided for in this **Contract** or by **Law**.

15.3 The **Commissioner** may deduct and retain out of the monies which may become due hereunder, the amount of any such liquidated damages; and in case the amount which may become due hereunder shall be less than the amount of liquidated damages suffered by the **City**, the **Contractor** shall be liable to pay the difference.

ARTICLE 16. OCCUPATION OR USE PRIOR TO COMPLETION

16.1 Unless otherwise provided for in the **Specifications**, the **Commissioner** may take over, use, occupy or operate any part of the **Work** at any time prior to **Final Acceptance**, upon written notification to the **Contractor**. The **Engineer** shall inspect the part of the **Work** to be taken over, used, occupied, or operated, and will furnish the **Contractor** with a written statement of the **Work**, if any, which remains to be performed on such part. The **Contractor** shall not object to, nor interfere with, the **Commissioner's** decision to exercise the rights granted by Article 16. In the event the **Commissioner** takes over, uses, occupies, or operates any part of the **Work**:

16.1.1 the **Engineer** shall issue a written determination of **Substantial Completion** with respect to such part of the **Work**;

16.1.2 the **Contractor** shall be relieved of its absolute obligation to protect such part of the unfinished **Work** in accordance with Article 7;

16.1.3 the **Contractor's** guarantee on such part of the **Work** shall begin on the date of such use by the **City**; and;

16.1.4 the **Contractor** shall be entitled to a return of so much of the amount retained in accordance with Article 21 as it relates to such part of the **Work**, except so much thereof as may be retained under Articles 24 and 44.

CHAPTER IV SUBCONTRACTS AND ASSIGNMENTS

ARTICLE 17. SUBCONTRACTS

17.1 The **Contractor** shall not make subcontracts totaling an amount more than the percentage of the total **Contract** price fixed in Schedule A of the General Conditions, without prior written permission from the **Commissioner**. All subcontracts made by the **Contractor** shall be in writing. No **Work** may be performed by a **Subcontractor** prior to the **Contractor** entering into a written subcontract with the **Subcontractor** and complying with the provisions of this Article 17.

17.2 Before making any subcontracts, the **Contractor** shall submit a written statement to the **Commissioner** giving the name and address of the proposed **Subcontractor**; the portion of the **Work** and materials which it is to perform and furnish; the cost of the subcontract; the VENDEX questionnaire if required; the proposed subcontract if requested by the **Commissioner**; and any other information tending to prove that the proposed **Subcontractor** has the necessary facilities, skill, integrity, past experience, and financial resources to perform the **Work** in accordance with the terms and conditions of this **Contract**.

17.3 In addition to the requirements in Article 17.2, **Contractor** is required to list the **Subcontractor** in the web based Subcontractor Reporting System through the City's Payee Information Portal (PIP), available at www.nyc.gov/pip.¹ For each **Subcontractor** listed, **Contractor** is required to provide the following information: maximum contract value, description of **Subcontractor's** **Work**, start and end date of the subcontract and identification of the **Subcontractor's** industry. Thereafter, **Contractor** will be required to report in the system the payments made to each **Subcontractor** within 30 days of making the payment. If any of the required information changes throughout the Term of the **Contract**, **Contractor** will be required to revise the information in the system.

Failure of the **Contractor** to list a **Subcontractor** and/or to report **Subcontractor** payments in a timely fashion may result in the **Commissioner** declaring the **Contractor** in default of the **Contract** and will subject **Contractor** to liquidated damages in the amount of \$100 per day for each day that the **Contractor** fails to identify a **Subcontractor** along with the required information about the **Subcontractor** and/or fails to report payments to a **Subcontractor**, beyond the time frames set forth herein or in the notice from the **City**. Article 15 shall govern the issue of liquidated damages.

¹ In order to use the new system, a PIP account will be required. Detailed instructions on creating a PIP account and using the new system are also available at www.nyc.gov/pip. Additional assistance with PIP may be obtained by emailing the Financial Information Services Agency Help Desk at pip@fisa.nyc.gov.

17.4 If an approved **Subcontractor** elects to subcontract any portion of its subcontract, the proposed sub-subcontract shall be submitted in the same manner as directed above.

17.5 The **Commissioner** will notify the **Contractor** in writing whether the proposed **Subcontractor** is approved. If the proposed **Subcontractor** is not approved, the **Contractor** may submit another proposed **Subcontractor** unless the **Contractor** decides to do the **Work**. No **Subcontractor** shall be permitted to enter or perform any work on the **Site** unless approved.

17.6 Before entering into any subcontract hereunder, the **Contractor** shall provide the proposed **Subcontractor** with a complete copy of this document and inform the proposed **Subcontractor** fully and completely of all provisions and requirements of this **Contract** relating either directly or indirectly to the **Work** to be performed and the materials to be furnished under such subcontract, and every such **Subcontractor** shall expressly stipulate that all labor performed and materials furnished by the **Subcontractor** shall strictly comply with the requirements of this **Contract**.

17.7 Documents given to a prospective **Subcontractor** for the purpose of soliciting the **Subcontractor's** bid shall include either a copy of the bid cover or a separate information sheet setting forth the **Project** name, the **Contract** number (if available), the **Agency** (as noted in Article 2.1.6), and the **Project's** location.

17.8 The **Commissioner's** approval of a **Subcontractor** shall not relieve the **Contractor** of any of its responsibilities, duties, and liabilities hereunder. The **Contractor** shall be solely responsible to the **City** for the acts or defaults of its **Subcontractor** and of such **Subcontractor's** officers, agents, and employees, each of whom shall, for this purpose, be deemed to be the agent or employee of the **Contractor** to the extent of its subcontract.

17.9 If the **Subcontractor** fails to maintain the necessary facilities, skill, integrity, past experience, and financial resources (other than due to the **Contractor's** failure to make payments where required) to perform the **Work** in accordance with the terms and conditions of this **Contract**, the **Contractor** shall promptly notify the **Commissioner** and replace such **Subcontractor** with a newly approved **Subcontractor** in accordance with this Article 17.

17.10 The **Contractor** shall be responsible for ensuring that all **Subcontractors** performing **Work** at the **Site** maintain all insurance required by **Law**.

17.11 The **Contractor** shall promptly, upon request, file with the **Engineer** a conformed copy of the subcontract and its cost. The subcontract shall provide the following:

17.11.1 **Payment to Subcontractors:** The agreement between the **Contractor** and its **Subcontractor** shall contain the same terms and conditions as to method of payment for **Work**, labor, and materials, and as to retained percentages, as are contained in this **Contract**.

17.11.2 **Prevailing Rate of Wages:** The agreement between the **Contractor** and its **Subcontractor** shall include the prevailing wage rates and supplemental benefits to be paid in accordance with Labor Law Section 220.

17.11.3 **Section 6-123 of the Administrative Code:** Pursuant to the requirements of Section 6-123 of the Administrative Code, every agreement between the **Contractor** and a **Subcontractor** in excess of fifty thousand (\$50,000) dollars shall include a provision that the **Subcontractor** shall not engage in any unlawful discriminatory practice as defined in Title VIII of the Administrative Code (Section 8-101 *et seq.*).

17.11.4 All requirements required pursuant to federal and/or state grant agreement(s), if applicable to the **Work**.

17.12 The **Commissioner** may deduct from the amounts certified under this **Contract** to be due to the **Contractor**, the sum or sums due and owing from the **Contractor** to the **Subcontractors** according to the terms of the said subcontracts, and in case of dispute between the **Contractor** and its **Subcontractor**, or **Subcontractors**, as to the amount due and owing, the **Commissioner** may deduct and withhold from the amounts certified under this **Contract** to be due to the **Contractor** such sum or sums as may be claimed by such **Subcontractor**, or **Subcontractors**, in a sworn affidavit, to be due and owing until such time as such claim or claims shall have been finally resolved.

17.13 On contracts where performance bonds and payment bonds are executed, the **Contractor** shall include on each requisition for payment the following data: **Subcontractor's** name, value of the subcontract, total amount previously paid to **Subcontractor** for **Work** previously requisitioned, and the amount, including retainage, to be paid to the **Subcontractor** for **Work** included in the requisition.

17.14 On **Contracts** where performance bonds and payment bonds are not executed, the **Contractor** shall include with each requisition for payment submitted hereunder, a signed statement from each and every **Subcontractor** and/or **Materialman** for whom payment is requested in such requisition. Such signed statement shall be on the letterhead of the **Subcontractor** and/or **Materialman** for whom payment is requested and shall (i) verify that such **Subcontractor** and/or **Materialman** has been paid in full for all **Work** performed and/or material supplied to date, exclusive of any amount retained and any amount included on the current requisition, and (ii) state the total amount of retainage to date, exclusive of any amount retained on the current requisition.

ARTICLE 18. ASSIGNMENTS

18.1 The **Contractor** shall not assign, transfer, convey or otherwise dispose of this **Contract**, or the right to execute it, or the right, title or interest in or to it or any part thereof, or assign, by power of attorney or otherwise any of the monies due or to become due under this **Contract**, unless the previous written consent of the **Commissioner** shall first be obtained thereto, and the giving of any such consent to a particular assignment shall not dispense with the necessity of such consent to any further or other assignments.

18.2 Such assignment, transfer, conveyance or other disposition of this **Contract** shall not be valid until filed in the office of the **Commissioner** and the **Comptroller**, with the written consent of the **Commissioner** endorsed thereon or attached thereto.

18.3 Failure to obtain the previous written consent of the **Commissioner** to such an assignment, transfer, conveyance or other disposition, may result in the revocation and annulment of this **Contract**. The **City** shall thereupon be relieved and discharged from any further liability to the **Contractor**, its assignees, transferees or sublessees, who shall forfeit and lose all monies therefor earned under the **Contract**, except so much as may be required to pay the **Contractor's** employees.

18.4 The provisions of this clause shall not hinder, prevent, or affect an assignment by the **Contractor** for the benefit of its creditors made pursuant to the **Laws** of the State of New York.

18.5 This **Contract** may be assigned by the **City** to any corporation, agency or instrumentality having authority to accept such assignment.

CHAPTER V
CONTRACTOR'S SECURITY AND GUARANTEE

ARTICLE 19. SECURITY DEPOSIT

19.1 If performance and payment bonds are required, the City shall retain the bid security to ensure that the successful bidder executes the **Contract** and furnishes the required payment and performance security within ten (10) **Days** after notice of the award of the **Contract**. If the successful bidder fails to execute the **Contract** and furnish the required payment and performance security, the City shall retain such bid security as set forth in the Information for Bidders. If the successful bidder executes the **Contract** and furnishes the required payment and performance security, the City shall return the bid security within a reasonable time after the furnishing of such bonds and execution of the **Contract** by the City.

19.2 If performance and payment bonds are not required, the bid security shall be retained by the City as security for the **Contractor's** faithful performance of the **Contract**. If partial payments are provided, the bid security will be returned to the **Contractor** after the sum retained under Article 21 equals the amount of the bid security, subject to other provisions of this **Contract**. If partial payments are not provided, the bid security will be released when final payment is certified by the City for payment.

19.3 If the **Contractor** is declared in default under Article 48 prior to the return of the deposit, or if any claim is made such as referred to in Article 23, the amount of such deposit, or so much thereof as the **Comptroller** may deem necessary, may be retained and then applied by the **Comptroller**:

19.3.1 To compensate the City for any expense, loss or damage suffered or incurred by reason of or resulting from such default, including the cost of re-letting and liquidated damages; or

19.3.2 To indemnify the City against any and all claims.

ARTICLE 20. PAYMENT GUARANTEE

20.1 On **Contracts** where one hundred (100%) percent performance bonds and payment bonds are executed, this Article 20 does not apply.

20.2 In the event the terms of this **Contract** do not require the **Contractor** to provide a payment bond or where the **Contract** does not require a payment bond for one hundred (100%) percent of the **Contract** price, the City shall, in accordance with the terms of this Article 20, guarantee payment of all lawful claims for:

20.2.1 Wages and compensation for labor performed and/or services rendered; and

20.2.2 Materials, equipment, and supplies provided, whether incorporated into the **Work** or not, when demands have been filed with the City as provided hereinafter by any person, firm, or corporation which furnished labor, material, equipment, supplies, or any combination thereof, in connection with the **Work** performed hereunder (hereinafter referred to as the "beneficiary") at the direction of the City or the **Contractor**.

20.3 The provisions of Article 20.2 are subject to the following limitations and conditions:

20.3.1 If the **Contractor** provides a payment bond for a value that is less than one hundred (100%) percent of the value of the **Contract Work**, the payment bond provided by the **Contractor** shall be primary (and non-contributing) to the payment guarantee provided under this Article 20.

20.3.2 The guarantee is made for the benefit of all beneficiaries as defined in Article 20.2 provided that those beneficiaries strictly adhere to the terms and conditions of Article 20.3.4 and 20.3.5.

20.3.3 Nothing in this Article 20 shall prevent a beneficiary providing labor, services or material for the **Work** from suing the **Contractor** for any amounts due and owing the beneficiary by the **Contractor**.

20.3.4 Every person who has furnished labor or material, to the **Contractor** or to a **Subcontractor** of the **Contractor**, in the prosecution of the **Work** and who has not been paid in full therefor before the expiration of a period of ninety (90) **Days** after the date on which the last of the labor was performed or material was furnished by him/her for which the claim is made, shall have the right to sue on this payment guarantee in his/her own name for the amount, or the balance thereof, unpaid at the time of commencement of the action; provided, however, that a person having a direct contractual relationship with a **Subcontractor** of the **Contractor** but no contractual relationship express or implied with the **Contractor** shall not have a right of action upon the guarantee unless he/she shall have given written notice to the **Contractor** within one hundred twenty (120) **Days** from the date on which the last of the labor was performed or the last of the material was furnished, for which his/her claim is made, stating with substantial accuracy the amount claimed and the name of the party to whom the material was furnished or for whom the labor was performed. The notice shall be served by delivering the same personally to the **Contractor** or by mailing the same by registered mail, postage prepaid, in an envelope addressed to the **Contractor** at any place where it maintains an office or conducts its business; provided, however, that where such notice is actually received by the **Contractor** by other means, such notice shall be deemed sufficient.

20.3.5 Except as provided in Labor Law Section 220-g, no action on this payment guarantee shall be commenced after the expiration of the one-year limitations period set forth in Section 137(4)(b) of the State Finance Law.

20.3.6 The **Contractor** shall promptly forward to the **City** any notice or demand received pursuant to Article 20.3.4. The **Contractor** shall inform the **City** of any defenses to the notice or demand and shall forward to the **City** any documents the **City** requests concerning the notice or demand.

20.3.7 All demands made against the **City** by a beneficiary of this payment guarantee shall be presented to the **Engineer** along with all written documentation concerning the demand which the **Engineer** deems reasonably appropriate or necessary, which may include, but shall not be limited to: the subcontract; any invoices presented to the **Contractor** for payment; the notarized statement of the beneficiary that the demand is due and payable, that a request for payment has been made of the **Contractor** and that the demand has not been paid by the **Contractor** within the time allowed for such payment by the subcontract; and copies of any correspondence between the beneficiary and the **Contractor** concerning such demand. The **City** shall notify the **Contractor** that a demand has been made. The **Contractor** shall inform the **City** of any defenses to the demand and shall forward to the **City** any documents the **City** requests concerning the demand.

20.3.8 The **City** shall make payment only if, after considering all defenses presented by the **Contractor**, it determines that the payment is due and owing to the beneficiary making the demand.

20.3.9 No beneficiary shall be entitled to interest from the **City**, or to any other costs, including, but not limited to, attorneys' fees, except to the extent required by State Finance Law Section 137.

20.4 Upon the receipt by the **City** of a demand pursuant to this Article 20, the **City** may withhold from any payment otherwise due and owing to the **Contractor** under this **Contract** an amount sufficient to satisfy the demand.

20.4.1 In the event the **City** determines that the demand is valid, the **City** shall notify the **Contractor** of such determination and the amount thereof and direct the **Contractor** to immediately pay such amount to the beneficiary. In the event the **Contractor**, within seven (7) **Days** of receipt of such notification from the **City**, fails to pay the beneficiary, such failure shall constitute an automatic and irrevocable assignment of payment by the **Contractor** to the beneficiary for the amount of the demand determined by the **City** to be valid. The **Contractor**, without further notification or other process, hereby gives its unconditional consent to such assignment of payment to the beneficiary and authorizes the **City**, on its behalf, to take all necessary actions to implement such assignment of payment, including without limitation the execution of any instrument or documentation necessary to effectuate such assignment.

20.4.2 In the event that the amount otherwise due and owing to the **Contractor** by the **City** is insufficient to satisfy such demand, the **City** may, at its option, require payment from the **Contractor** of an amount sufficient to cover such demand and exercise any other right to require or recover payment which the **City** may have under **Law** or **Contract**.

20.4.3 In the event the **City** determines that the demand is invalid, any amount withheld pending the **City's** review of such demand shall be paid to the **Contractor**; provided, however, no lien has been filed. In the event a claim or an action has been filed, the terms and conditions set forth in Article 23 shall apply. In the event a lien has been filed, the parties will be governed by the provisions of the Lien Law of the State of New York.

20.5 The provisions of this Article 20 shall not prevent the **City** and the **Contractor** from resolving disputes in accordance with the **PPB** Rules, where applicable.

20.6 In the event the **City** determines that the beneficiary is entitled to payment pursuant to this Article 20, such determination and any defenses and counterclaims raised by the **Contractor** shall be taken into account in evaluating the **Contractor's** performance.

20.7 Nothing in this Article 20 shall relieve the **Contractor** of the obligation to pay the claims of all persons with valid and lawful claims against the **Contractor** relating to the **Work**.

20.8 The **Contractor** shall not require any performance, payment or other bonds of any **Subcontractor** if this **Contract** does not require such bonds of the **Contractor**.

20.9 The payment guarantee made pursuant to this Article 20 shall be construed in a manner consistent with Section 137 of the State Finance Law and shall afford to persons furnishing labor or materials to the **Contractor** or its **Subcontractors** in the prosecution of the **Work** under this **Contract** all of the rights and remedies afforded to such persons by such section, including but not limited to, the right

to commence an action against the **City** on the payment guarantee provided by this Article 20 within the one-year limitations period set forth in Section 137(4)(b).

ARTICLE 21. RETAINED PERCENTAGE

21.1 If this **Contract** requires one hundred (100%) percent performance and payment security, then as further security for the faithful performance of this **Contract**, the **Commissioner** shall deduct, and retain until the substantial completion of the **Work**, five (5%) percent of the value of **Work** certified for payment in each partial payment voucher.

21.2 If this **Contract** does not require one hundred (100%) percent performance and payment security and if the price for which this **Contract** was awarded does not exceed one million (\$1,000,000) dollars, then as further security for the faithful performance of this **Contract**, the **Commissioner** shall deduct, and retain until the substantial completion of the **Work**, five (5%) percent of the value of **Work** certified for payment in each partial payment voucher.

21.3 If this **Contract** does not require one hundred (100%) percent performance and payment security and if the price for which this **Contract** was awarded exceeds one million (\$1,000,000) dollars, then as further security for the faithful performance of this **Contract**, the **Commissioner** shall deduct, and retain until the substantial completion of the **Work**, up to ten (10%) percent of the value of **Work** certified for payment in each partial payment voucher. The percentage to be retained is set forth in Schedule A of the General Conditions.

ARTICLE 22. INSURANCE

22.1 Types of Insurance: The **Contractor** shall procure and maintain the following types of insurance if, and as indicated, in Schedule A of the General Conditions (with the minimum limits and special conditions specified in Schedule A). Such insurance shall be maintained from the date the **Contractor** is required to provide Proof of Insurance pursuant to Article 22.3.1 through the date of completion of all required **Work** (including punch list work as certified in writing by the **Resident Engineer**), except for insurance required pursuant to Article 22.1.4, which may terminate upon **Substantial Completion** of the **Contract**. All insurance shall meet the requirements set forth in this Article 22. Wherever this Article requires that insurance coverage be "at least as broad" as a specified form (including all ISO forms), there is no obligation that the form itself be used, provided that the **Contractor** can demonstrate that the alternative form or endorsement contained in its policy provides coverage at least as broad as the specified form.

22.1.1 Commercial General Liability Insurance: The **Contractor** shall provide Commercial General Liability Insurance covering claims for property damage and/or bodily injury, including death, which may arise from any of the operations under this **Contract**. Coverage under this insurance shall be at least as broad as that provided by the latest edition of Insurance Services Office ("ISO") Form CG 0001. Such insurance shall be "occurrence" based rather than "claims-made" and include, without limitation, the following types of coverage: premises operations; products and completed operations; contractual liability (including the tort liability of another assumed in a contract); broad form property damage; independent contractors; explosion, collapse and underground (XCU); construction means and methods; and incidental malpractice. Such insurance shall contain a "per project" aggregate limit, as specified in Schedule A, that applies separately to operations under this **Contract**.

22.1.1(a) Such Commercial General Liability Insurance shall name the **City** as an Additional Insured. Coverage for the **City** shall specifically include the **City's** officials and employees, be at least as broad as the latest edition of ISO Form CG 20 10 and provide completed operations coverage at least as broad as the latest edition of ISO Form CG 20 37.

22.1.1(b) Such Commercial General Liability Insurance shall name all other entities designated as additional insureds in Schedule A but only for claims arising from the **Contractor's** operations under this **Contract**, with coverage at least as broad as the latest edition of ISO Form CG 20 26.

22.1.1(c) If the **Work** requires a permit from the Department of Buildings pursuant to 1 RCNY Section 101-08, at http://www.nyc.gov/html/dob/downloads/rules/1_RCNY_101-08.pdf, the **Contractor** shall provide Commercial General Liability Insurance with limits of at least those required by 1 RCNY section 101-08. If the **Work** does not require such a permit, the minimum limits shall be those provided for in Schedule A.

22.1.1(d) If any of the **Work** includes repair of a waterborne vessel owned by or to be delivered to the **City**, such Commercial General Liability shall include, or be endorsed to include, Ship Repairer's Legal Liability Coverage to protect against, without limitation, liability arising from navigation of such vessels prior to delivery to and acceptance by the **City**.

22.1.2 Workers' Compensation Insurance, Employers' Liability Insurance, and Disability Benefits Insurance: The **Contractor** shall provide, and shall cause its **Subcontractors** to provide, Workers Compensation Insurance, Employers' Liability Insurance, and Disability Benefits Insurance in accordance with the **Laws** of the State of New York on behalf of all employees providing services under this **Contract** (except for those employees, if any, for which the **Laws** require insurance only pursuant to Article 22.1.3).

22.1.3 United States Longshoremen's and Harbor Workers Act and/or Jones Act Insurance: If specified in Schedule A of the General Conditions or if required by **Law**, the **Contractor** shall provide insurance in accordance with the United States Longshoremen's and Harbor Workers Act and/or the Jones Act, on behalf of all qualifying employees providing services under this **Contract**.

22.1.4 Builders Risk Insurance: If specified in Schedule A of the General Conditions, the **Contractor** shall provide Builders Risk Insurance on a completed value form for the total value of the **Work** through **Substantial Completion** of the **Work** in its entirety. Such insurance shall be provided on an All Risk basis and include coverage, without limitation, for windstorm (including named windstorm), storm surge, flood and earth movement. Unless waived by the **Commissioner**, it shall include coverage for ordinance and law, demolition and increased costs of construction, debris removal, pollutant clean up and removal, and expediting costs. Such insurance shall cover, without limitation, (a) all buildings and/or structures involved in the **Work**, as well as temporary structures at the **Site**, and (b) any property that is intended to become a permanent part of such building or structure, whether such property is on the **Site**, in transit or in temporary storage. Policies shall name the **Contractor** as Named Insured and list the **City** as both an Additional Insured and a Loss Payee as its interest may appear.

22.1.4(a) Policies of such insurance shall specify that, in the event a loss occurs at an occupied facility, occupancy of such facility is permitted without the consent of the issuing insurance company.

22.1.4(b) Such insurance may be provided through an Installation Floater, at the **Contractor's** option, if it otherwise conforms with the requirements of this Article 22.1.4.

22.1.5 Commercial Automobile Liability Insurance: The **Contractor** shall provide Commercial Automobile Liability Insurance for liability arising out of ownership, maintenance or use of any owned (if any), non-owned and hired vehicles to be used in connection with this **Contract**. Coverage shall be at least as broad as the latest edition of ISO Form CA0001. If vehicles are used for transporting hazardous materials, the Automobile Liability Insurance shall be endorsed to provide pollution liability broadened coverage for covered vehicles (endorsement CA 99 48) as well as proof of MCS 90.

22.1.6 Contractors Pollution Liability Insurance: If specified in Schedule A of the General Conditions, the **Contractor** shall maintain, or cause the **Subcontractor** doing such **Work** to maintain, Contractors Pollution Liability Insurance covering bodily injury and property damage. Such insurance shall provide coverage for actual, alleged or threatened emission, discharge, dispersal, seepage, release or escape of pollutants (including asbestos), including any loss, cost or expense incurred as a result of any cleanup of pollutants (including asbestos) or in the investigation, settlement or defense of any claim, action, or proceedings arising from the operations under this **Contract**. Such insurance shall be in the **Contractor's** name and list the **City** as an Additional Insured and any other entity specified in Schedule A. Coverage shall include, without limitation, (a) loss of use of damaged property or of property that has not been physically injured, (b) transportation, and (c) non-owned disposal sites.

22.1.6(a) Coverage for the **City** as Additional Insured shall specifically include the **City's** officials and employees and be at least as broad as provided to the **Contractor** for this **Project**.

22.1.6(b) If such insurance is written on a claims-made policy, such policy shall have a retroactive date on or before the effective date of this **Contract**, and continuous coverage shall be maintained, or an extended discovery period exercised, for a period of not less than three (3) years from the time the **Work** under this **Contract** is completed.

22.1.7 Marine Insurance:

22.1.7(a) Marine Protection and Indemnity Insurance: If specified in Schedule A of the General Conditions or if the **Contractor** engages in marine operations in the execution of any part of the **Work**, the **Contractor** shall maintain, or cause the **Subcontractor** doing such **Work** to maintain, Marine Protection and Indemnity Insurance with coverage at least as broad as Form SP-23. The insurance shall provide coverage for the **Contractor** or **Subcontractor** (whichever is doing this **Work**) and for the **City** (together with its officials and employees) and any other entity specified in Schedule A as an Additional Insured for bodily injury and property damage arising from marine operations under this **Contract**. Coverage shall include, without limitation, injury or death of crew members (if not fully provided through other insurance), removal of wreck, damage to piers, wharves and other fixed or floating objects and loss of or damage to any other vessel or craft, or to property on such other vessel or craft.

22.1.7(b) Hull and Machinery Insurance: If specified in Schedule A of the General Conditions or if the **Contractor** engages in marine operations in the execution of any part of the **Work**, the **Contractor** shall maintain, or cause the **Subcontractor** doing such **Work** to maintain, Hull and Machinery Insurance with coverage for the **Contractor** or **Subcontractor** (whichever is doing this **Work**) and for the **City** (together with its officials and employees) as Additional Insured at least as broad as the latest edition of American Institute Tug Form for all tugs used under this **Contract** and Collision Liability at least as broad as the latest edition of American Institute Hull Clauses.

22.1.7(c) Marine Pollution Liability Insurance: If specified in Schedule A of the General Conditions or if the **Contractor** engages in marine operations in the execution of any part of the **Work**, the **Contractor** shall maintain, or cause the **Subcontractor** doing such **Work** to maintain, Marine Pollution Liability Insurance covering itself (or the **Subcontractor** doing such **Work**) as Named Insured and the **City** (together with its officials and employees) and any other entity specified in Schedule A as an Additional Insured. Coverage shall be at least as broad as that provided by the latest edition of Water Quality Insurance Syndicate Form and include, without limitation, liability arising from the discharge or substantial threat of a discharge of oil, or from the release or threatened release of a hazardous substance including injury to, or economic losses resulting from, the destruction of or damage to real property, personal property or natural resources.

22.1.8 The **Contractor** shall provide such other types of insurance, at such minimum limits and with such conditions, as are specified in Schedule A of the General Conditions.

22.2 General Requirements for Insurance Coverage and Policies:

22.2.1 All required insurance policies shall be maintained with companies that may lawfully issue the required policy and have an A.M. Best rating of at least A-/VII or a Standard and Poor's rating of at least A, unless prior written approval is obtained from the **City** Corporation Counsel.

22.2.2 The **Contractor** shall be solely responsible for the payment of all premiums for all required policies and all deductibles and self-insured retentions to which such policies are subject, whether or not the **City** is an insured under the policy.

22.2.3 In his/her sole discretion, the **Commissioner** may, subject to the approval of the **Comptroller** and the **City** Corporation Counsel, accept Letters of Credit and/or custodial accounts in lieu of required insurance.

22.2.4 The **City's** limits of coverage for all types of insurance required pursuant to Schedule A of the General Conditions shall be the greater of (i) the minimum limits set forth in Schedule A or (ii) the limits provided to the **Contractor** as Named Insured under all primary, excess, and umbrella policies of that type of coverage.

22.2.5 The **Contractor** may satisfy its insurance obligations under this Article 22 through primary policies or a combination of primary and excess/umbrella policies, so long as all policies provide the scope of coverage required herein.

22.2.6 Policies of insurance provided pursuant to this Article 22 shall be primary and non-contributing to any insurance or self-insurance maintained by the **City**.

22.3 Proof of Insurance:

22.3.1 For all types of insurance required by Article 22.1 and Schedule A, except for insurance required by Articles 22.1.4 and 22.1.7, the **Contractor** shall file proof of insurance in accordance with this Article 22.3 within ten (10) **Days** of award. For insurance provided pursuant to Articles 22.1.4 and 22.1.7, proof shall be filed by a date specified by the **Commissioner** or ten (10) **Days** prior to the commencement of the portion of the **Work** covered by such policy, whichever is earlier.

22.3.2 For Workers' Compensation Insurance provided pursuant to Article 22.1.2, the **Contractor** shall submit one of the following forms: C-105.2 Certificate of Workers' Compensation Insurance; U-26.3 - State Insurance Fund Certificate of Workers' Compensation Insurance; Request for WC/DB Exemption (Form CE-200); equivalent or successor forms used by the New York State Workers' Compensation Board; or other proof of insurance in a form acceptable to the **Commissioner**. For Disability Benefits Insurance provided pursuant to Article 22.1.2, the Contractor shall submit DB-120.1 - Certificate Of Insurance Coverage Under The NYS Disability Benefits Law, Request for WC/DB Exemption (Form CE-200); equivalent or successor forms used by the New York State Workers' Compensation Board; or other proof of insurance in a form acceptable to the **Commissioner**. ACORD forms are not acceptable.

22.3.3 For policies provided pursuant to all of Article 22.1 other than Article 22.1.2, the **Contractor** shall submit one or more Certificates of Insurance on forms acceptable to the **Commissioner**. All such Certificates of Insurance shall certify (a) the issuance and effectiveness of such policies of insurance, each with the specified minimum limits (b) for insurance secured pursuant to Article 22.1.1 that the **City** and any other entity specified in Schedule A is an Additional Insured with coverage at least as broad as the most recent edition of ISO Forms CG 20 10, CG 20 37, and CG 20 26, as applicable; (c) in the event insurance is required pursuant to Article 22.1.6 and/or Article 22.1.7, that the **City** is an Additional Insured thereunder; (d) the company code issued to the insurance company by the National Association of Insurance Commissioners (the NAIC number); and (e) the number assigned to the **Contract** by the **City**. All such Certificates of Insurance shall be accompanied by either a duly executed "Certification by Broker" in the form contained in Part III of Schedule A or copies of all policies referenced in such Certificate of Insurance as certified by an authorized representative of the issuing insurance carrier. If any policy is not available at the time of submission, certified binders may be submitted until such time as the policy is available, at which time a certified copy of the policy shall be submitted.

22.3.4 Documentation confirming renewals of insurance shall be submitted to the **Commissioner** prior to the expiration date of coverage of policies required under this **Contract**. Such proofs of insurance shall comply with the requirements of Articles 22.3.2 and 22.3.3.

22.3.5 The **Contractor** shall be obligated to provide the **City** with a copy of any policy of insurance provided pursuant to this Article 22 upon the demand for such policy by the **Commissioner** or the **City** Corporation Counsel.

22.4 Operations of the Contractor:

22.4.1 The **Contractor** shall not commence the **Work** unless and until all required certificates have been submitted to and accepted by the **Commissioner**. Acceptance by the **Commissioner** of a certificate does not excuse the **Contractor** from securing insurance

consistent with all provisions of this Article 22 or of any liability arising from its failure to do so.

22.4.2 The **Contractor** shall be responsible for providing continuous insurance coverage in the manner, form, and limits required by this **Contract** and shall be authorized to perform **Work** only during the effective period of all required coverage.

22.4.3 In the event that any of the required insurance policies lapse, are revoked, suspended or otherwise terminated, for whatever cause, the **Contractor** shall immediately stop all **Work**, and shall not recommence **Work** until authorized in writing to do so by the **Commissioner**. Upon quitting the **Site**, except as otherwise directed by the **Commissioner**, the **Contractor** shall leave all plant, materials, equipment, tools, and supplies on the **Site**. **Contract** time shall continue to run during such periods and no extensions of time will be granted. The **Commissioner** may also declare the **Contractor** in default for failure to maintain required insurance.

22.4.4 In the event the **Contractor** receives notice, from an insurance company or other person, that any insurance policy required under this Article 22 shall be cancelled or terminated (or has been cancelled or terminated) for any reason, the **Contractor** shall immediately forward a copy of such notice to both the **Commissioner** and the New York City Comptroller, attn: Office of Contract Administration, Municipal Building, One Centre Street, room 1005, New York, New York 10007. Notwithstanding the foregoing, the **Contractor** shall ensure that there is no interruption in any of the insurance coverage required under this Article 22.

22.4.5 Where notice of loss, damage, occurrence, accident, claim or suit is required under an insurance policy maintained in accordance with this Article 22, the **Contractor** shall notify in writing all insurance carriers that issued potentially responsive policies of any such event relating to any operations under this **Contract** (including notice to Commercial General Liability insurance carriers for events relating to the **Contractor's** own employees) no later than 20 days after such event. For any policy where the City is an Additional Insured, such notice shall expressly specify that "this notice is being given on behalf of the City of New York as Insured as well as the Named Insured." Such notice shall also contain the following information: the number of the insurance policy, the name of the named insured, the date and location of the damage, occurrence, or accident, and the identity of the persons or things injured, damaged or lost. The **Contractor** shall simultaneously send a copy of such notice to the City of New York c/o Insurance Claims Specialist, Affirmative Litigation Division, New York City Law Department, 100 Church Street, New York, New York 10007.

22.4.6 In the event of any loss, accident, claim, action, or other event that does or can give rise to a claim under any insurance policy required under this Article 22, the **Contractor** shall at all times fully cooperate with the City with regard to such potential or actual claim.

22.5 **Subcontractor Insurance:** In the event the **Contractor** requires any **Subcontractor** to procure insurance with regard to any operations under this **Contract** and requires such **Subcontractor** to name the **Contractor** as an **Additional Insured** thereunder, the **Contractor** shall ensure that the **Subcontractor** name the City, including its officials and employees, as an **Additional Insured** with coverage at least as broad as the most recent edition of ISO Form CG 20 26.

22.6 Wherever reference is made in Article 7 or this Article 22 to documents to be sent to the **Commissioner** (e.g., notices, filings, or submissions), such documents shall be sent to the address set forth in Schedule A of the General Conditions. In the event no address is set forth in Schedule A, such documents are to be sent to the **Commissioner's** address as provided elsewhere in this **Contract**.

22.7 Apart from damages or losses covered by insurance provided pursuant to Articles 22.1.2, 22.1.3, or 22.1.5, the **Contractor** waives all rights against the **City**, including its officials and employees, for any damages or losses that are covered under any insurance required under this Article 22 (whether or not such insurance is actually procured or claims are paid thereunder) or any other insurance applicable to the operations of the **Contractor** and/or its employees, agents, or **Subcontractors**.

22.8 In the event the **Contractor** utilizes a self-insurance program to satisfy any of the requirements of this Article 22, the **Contractor** shall ensure that any such self-insurance program provides the **City** with all rights that would be provided by traditional insurance under this Article 22, including but not limited to the defense and indemnification obligations that insurers are required to undertake in liability policies.

22.9 Materiality/Non-Waiver: The **Contractor's** failure to secure policies in complete conformity with this Article 22, or to give an insurance company timely notice of any sort required in this **Contract** or to do anything else required by this Article 22 shall constitute a material breach of this **Contract**. Such breach shall not be waived or otherwise excused by any action or inaction by the **City** at any time.

22.10 Pursuant to General Municipal Law Section 108, this **Contract** shall be void and of no effect unless **Contractor** maintains Workers' Compensation Insurance for the term of this **Contract** to the extent required and in compliance with the New York State Workers' Compensation Law.

22.11 Other Remedies: Insurance coverage provided pursuant to this Article 22 or otherwise shall not relieve the **Contractor** of any liability under this **Contract**, nor shall it preclude the **City** from exercising any rights or taking such other actions available to it under any other provisions of this **Contract** or Law.

ARTICLE 23. MONEY RETAINED AGAINST CLAIMS

23.1 If any claim shall be made by any person or entity (including **Other Contractors** with the **City** on this **Project**) against the **City** or against the **Contractor** and the **City** for any of the following:

- (a) An alleged loss, damage, injury, theft or vandalism of any of the kinds referred to in Articles 7 and 12, plus the reasonable costs of defending the **City**, which in the opinion of the **Comptroller** may not be paid by an insurance company (for any reason whatsoever); or
- (b) An infringement of copyrights, patents or use of patented articles, tools, etc., as referred to in Article 57; or
- (c) Damage claimed to have been caused directly or indirectly by the failure of the **Contractor** to perform the **Work** in strict accordance with this **Contract**,

the amount of such claim, or so much thereof as the **Comptroller** may deem necessary, may be withheld by the **Comptroller**, as security against such claim, from any money due hereunder. The **Comptroller**, in his/her discretion, may permit the **Contractor** to substitute other satisfactory security in lieu of the monies so withheld.

23.2 If an action on such claim is timely commenced and the liability of the **City**, or the **Contractor**, or both, shall have been established therein by a final judgment of a court of competent jurisdiction, or if such claim shall have been admitted by the **Contractor** to be valid, the **Comptroller**

shall pay such judgment or admitted claim out of the monies retained by the **Comptroller** under the provisions of this Article 23, and return the balance, if any, without interest, to the **Contractor**.

ARTICLE 24. MAINTENANCE AND GUARANTY

24.1 The **Contractor** shall promptly repair, replace, restore or rebuild, as the **Commissioner** may determine, any finished **Work** in which defects of materials or workmanship may appear or to which damage may occur because of such defects, during the one (1) year period subsequent to the date of **Substantial Completion** (or use and occupancy in accordance with Article 16), except where other periods of maintenance and guaranty are provided for in Schedule A.

24.2 As security for the faithful performance of its obligations hereunder, the **Contractor**, upon filing its requisition for payment on **Substantial Completion**, shall deposit with the **Commissioner** a sum equal to one (1%) percent of the price (or the amount fixed in Schedule A of the General Conditions) in cash or certified check upon a state or national bank and trust company or a check of such bank and trust company signed by a duly authorized officer thereof and drawn to the order of the **Comptroller**, or obligations of the **City**, which the **Comptroller** may approve as of equal value with the sum so required.

24.3 In lieu of the above, the **Contractor** may make such security payment to the **City** by authorizing the **Commissioner** in writing to deduct the amount from the **Substantial Completion** payment which shall be deemed the deposit required above.

24.4 If the **Contractor** has faithfully performed all of its obligations hereunder the **Commissioner** shall so certify to the **Comptroller** within five (5) **Days** after the expiration of one (1) year from the date of **Substantial Completion** and acceptance of the **Work** or within thirty (30) **Days** after the expiration of the guarantee period fixed in the **Specifications**. The security payment shall be repaid to the **Contractor** without interest within thirty (30) **Days** after certification by the **Commissioner** to the **Comptroller** that the **Contractor** has faithfully performed all of its obligations hereunder.

24.5 Notice by the **Commissioner** to the **Contractor** to repair, replace, rebuild or restore such defective or damaged **Work** shall be timely, pursuant to this article, if given not later than ten (10) **Days** subsequent to the expiration of the one (1) year period or other periods provided for herein.

24.6 If the **Contractor** shall fail to repair, replace, rebuild or restore such defective or damaged **Work** promptly after receiving such notice, the **Commissioner** shall have the right to have the **Work** done by others in the same manner as provided for in the completion of a defaulted **Contract**, under Article 51.

24.7 If the security payment so deposited is insufficient to cover the cost of such **Work**, the **Contractor** shall be liable to pay such deficiency on demand by the **Commissioner**.

24.8 The **Engineer's** certificate setting forth the fair and reasonable cost of repairing, replacing, rebuilding or restoring any damaged or defective **Work** when performed by one other than the **Contractor**, shall be binding and conclusive upon the **Contractor** as to the amount thereof.

24.9 The **Contractor** shall obtain all manufacturers' warranties and guaranties of all equipment and materials required by this **Contract** in the name of the **City** and shall deliver same to the **Commissioner**. All of the **City's** rights and title and interest in and to said manufacturers' warranties and guaranties may be assigned by the **City** to any subsequent purchasers of such equipment and materials or lessees of the premises into which the equipment and materials have been installed.

CHAPTER VI
CHANGES, EXTRA WORK, AND DOCUMENTATION OF CLAIM

ARTICLE 25. CHANGES

25.1 Changes may be made to this **Contract** only as duly authorized in writing by the **Commissioner** in accordance with the **Law** and this **Contract**. All such changes, modifications, and amendments will become a part of the **Contract**. **Work** so ordered shall be performed by the **Contractor**.

25.2 **Contract** changes will be made only for **Work** necessary to complete the **Work** included in the original scope of the **Contract** and/or for non-material changes to the scope of the **Contract**. Changes are not permitted for any material alteration in the scope of **Work** in the **Contract**.

25.3 The **Contractor** shall be entitled to a price adjustment for **Extra Work** performed pursuant to a written change order. Adjustments to price shall be computed in one or more of the following ways:

25.3.1 By applicable unit prices specified in the **Contract**; and/or

25.3.2 By agreement of a fixed price; and/or

25.3.3 By time and material records; and/or

25.3.4 In any other manner approved by the **CCPO**.

25.4 All payments for change orders are subject to pre-audit by the **Engineering Audit Officer** and may be post-audited by the **Comptroller** and/or the **Agency**.

ARTICLE 26. METHODS OF PAYMENT FOR OVERRUNS AND EXTRA WORK

26.1 **Overrun of Unit Price Item:** An overrun is any quantity of a unit price item which the **Contractor** is directed to provide which is in excess of one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule.

26.1.1 For any unit price item, the **Contractor** will be paid at the unit price bid for any quantity up to one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule. If during the progress of the **Work**, the actual quantity of any unit price item required to complete the **Work** approaches the estimated quantity for that item, and for any reason it appears that the actual quantity of any unit price item necessary to complete the **Work** will exceed the estimated quantity for that item by twenty-five (25%) percent, the **Contractor** shall immediately notify the **Engineer** of such anticipated overrun. The **Contractor** shall not be compensated for any quantity of a unit price item provided which is in excess of one hundred twenty-five (125%) percent of the estimated quantity for that item set forth in the bid schedule without written authorization from the **Engineer**.

26.1.2 If the actual quantity of any unit price item necessary to complete the **Work** will exceed one hundred twenty five (125%) percent of the estimated quantity for that item set forth in the bid schedule, the **City** reserves the right and the **Contractor** agrees to negotiate a new unit price for such item. In no event shall such negotiated new unit price exceed the unit bid price. If the **City** and **Contractor** cannot agree on a new unit price, then the **City** shall order the **Contractor** and the **Contractor** agrees to provide additional quantities of the

item on the basis of time and material records for the actual and reasonable cost as determined under Article 26.2, but in no event at a unit price exceeding the unit price bid.

26.2 Extra Work: For **Extra Work** where payment is by agreement on a fixed price in accordance with Article 25.3.2, the price to be paid for such **Extra Work** shall be based on the fair and reasonable estimated cost of the items set forth below. For **Extra Work** where payment is based on time and material records in accordance with Article 25.3.3, the price to be paid for such **Extra Work** shall be the actual and reasonable cost of the items set forth below, calculated in accordance with the formula specified therein, if any.

26.2.1 Necessary materials (including transportation to the **Site**); plus

26.2.2 Necessary direct labor, including payroll taxes (subject to statutory wage caps) and supplemental benefits; plus

26.2.3 Sales and personal property taxes, if any, required to be paid on materials not incorporated into such **Extra Work**; plus

26.2.4 Reasonable rental value of **Contractor**-owned (or **Subcontractor**-owned, as applicable), necessary plant and equipment other than **Small Tools**, plus fuel/energy costs. Except for fuel costs for pick-up trucks which shall be reimbursed based on a consumption of five (5) gallons per shift, fuel costs shall be reimbursed based on actual costs or, in the absence of auditable documentation, the following fuel consumption formula per operating hour: $(.035) \times (\text{HP rating}) \times (\text{Fuel cost/gallon})$. Reasonable rental value is defined as the lower of either seventy-five percent of the monthly prorated rental rates established in "The AED Green Book, Rental Rates and Specifications for Construction Equipment" published by Equipment Watch (the "Green Book"), or seventy-five percent of the monthly prorated rental rates established in the "Rental Rate Blue Book for Construction Equipment" published by Equipment Watch (the "Blue Book") (the applicable Blue Book rate being for rental only without the addition of any operational costs listed in the Blue Book). The reasonable rental value is deemed to be inclusive of all operating costs except for fuel/energy consumption and equipment operator's wages/costs. For multiple shift utilization, reimbursement shall be calculated as follows: first shift shall be seventy-five (75%) percent of such rental rates; second shift shall be sixty (60%) percent of the first shift rate; and third shift shall be forty (40%) percent of the first shift rate. Equipment on standby shall be reimbursed at one-third (1/3) the prorated monthly rental rate. **Contractor**-owned (or **Subcontractor**-owned, as applicable) equipment includes equipment from rental companies affiliated with or controlled by the **Contractor** (or **Subcontractor**, as applicable), as determined by the **Commissioner**. In establishing cost reimbursement for non-operating **Contractor**-owned (or **Subcontractor**-owned, as applicable) equipment (scaffolding, sheeting systems, road plates, etc.), the **City** may restrict reimbursement to a purchase-salvage/life cycle basis if less than the computed rental costs; plus

26.2.5 Necessary installation and dismantling of such plant and equipment, including transportation to and from the **Site**, if any, provided that, in the case of non-**Contractor**-owned (or non-**Subcontractor**-owned, as applicable) equipment rented from a third party, the cost of installation and dismantling are not allowable if such costs are included in the rental rate; plus

26.2.6 Necessary fees charged by governmental entities; plus

26.2.7 Necessary construction-related service fees charged by non-governmental entities, such as landfill tipping fees; plus

26.2.8 Reasonable rental costs of non-**Contractor**-owned (or non-**Subcontractor**-owned, as applicable) necessary plant and equipment other than **Small Tools**, plus fuel/energy costs. Except for fuel costs for pick-up trucks which shall be reimbursed based on a consumption of five (5) gallons per shift, fuel costs shall be reimbursed based on actual costs or, in the absence of auditable documentation, the following fuel consumption formula per hour of operation: $(.035) \times (\text{HP rating}) \times (\text{Fuel cost/gallon})$. In lieu of renting, the **City** reserves the right to direct the purchase of non-operating equipment (scaffolding, sheeting systems, road plates, etc.), with payment on a purchase-salvage/life cycle basis, if less than the projected rental costs; plus

26.2.9 Workers' Compensation Insurance, and any insurance coverage expressly required by the **City** for the performance of the **Extra Work** which is different than the types of insurance required by Article 22 and Schedule A of the General Conditions. The cost of Workers' Compensation Insurance is subject to applicable payroll limitation caps and shall be based upon the carrier's Manual Rate for such insurance derived from the applicable class Loss Cost ("LC") and carrier's Lost Cost Multiplier ("LCM") approved by the New York State Department of Financial Services, and with the exception of experience rating, rate modifiers as promulgated by the New York Compensation Insurance Rating Board ("NYCIRB"); plus

26.2.10 Additional costs incurred as a result of the **Extra Work** for performance and payment bonds; plus

26.2.11 Twelve percent (12%) percent of the total of items in Articles 26.2.1 through 26.2.5 as compensation for overhead, except that no percentage for overhead will be allowed on **Payroll Taxes** or on the premium portion of overtime pay or on sales and personal property taxes. Overhead shall include without limitation, all costs and expenses in connection with administration, management superintendence, small tools, and insurance required by Schedule A of the General Conditions other than Workers' Compensation Insurance; plus

26.2.12 Ten (10%) percent of the total of items in Articles 26.2.1 through 26.2.5, plus the items in Article 26.2.11, as compensation for profit, except that no percentage for profit will be allowed on **Payroll Taxes** or on the premium portion of overtime pay or on sales and personal property taxes; plus

26.2.13 Five (5%) percent of the total of items in Articles 26.2.6 through 26.2.10 as compensation for overhead and profit.

26.3 Where the **Extra Work** is performed in whole or in part by other than the **Contractor's** own forces pursuant to Article 26.2, the **Contractor** shall be paid, subject to pre-audit by the **Engineering Audit Officer**, the cost of such **Work** computed in accordance with Article 26.2 above, plus an additional allowance of five (5%) percent to cover the **Contractor's** overhead and profit.

26.4 Where a change is ordered, involving both **Extra Work** and omitted or reduced **Contract Work**, the **Contract** price shall be adjusted, subject to pre-audit by the **EAO**, in an amount based on the difference between the cost of such **Extra Work** and of the omitted or reduced **Work**.

26.5 Where the **Contractor** and the **Commissioner** can agree upon a fixed price for **Extra Work** in accordance with Article 25.3.2 or another method of payment for **Extra Work** in accordance with Article

25.3.4, or for **Extra Work** ordered in connection with omitted **Work**, such method, subject to pre-audit by the **EAO**, may, at the option of the **Commissioner**, be substituted for the cost plus a percentage method provided in Article 26.2; provided, however, that if the **Extra Work** is performed by a **Subcontractor**, the **Contractor** shall not be entitled to receive more than an additional allowance of five (5%) percent for overhead and profit over the cost of such **Subcontractor's Work** as computed in accordance with Article 26.2.

ARTICLE 27. RESOLUTION OF DISPUTES

27.1 All disputes between the **City** and the **Contractor** of the kind delineated in this Article 27.1 that arise under, or by virtue of, this **Contract** shall be finally resolved in accordance with the provisions of this Article 27 and the **PPB Rules**. This procedure for resolving all disputes of the kind delineated herein shall be the exclusive means of resolving any such disputes.

27.1.1 This Article 27 shall not apply to disputes concerning matters dealt with in other sections of the **PPB Rules**, or to disputes involving patents, copyrights, trademarks, or trade secrets (as interpreted by the courts of New York State) relating to proprietary rights in computer software.

27.1.2 This Article 27 shall apply only to disputes about the scope of **Work** delineated by the **Contract**, the interpretation of **Contract** documents, the amount to be paid for **Extra Work** or disputed work performed in connection with the **Contract**, the conformity of the **Contractor's Work** to the **Contract**, and the acceptability and quality of the **Contractor's Work**; such disputes arise when the **Engineer**, **Resident Engineer**, **Engineering Audit Officer**, or other designee of the **Commissioner** makes a determination with which the **Contractor** disagrees.

27.2 All determinations required by this Article 27 shall be made in writing clearly stated, with a reasoned explanation for the determination based on the information and evidence presented to the party making the determination. Failure to make such determination within the time required by this Article 27 shall be deemed a non-determination without prejudice that will allow application to the next level.

27.3 During such time as any dispute is being presented, heard, and considered pursuant to this Article 27, the **Contract** terms shall remain in force and the **Contractor** shall continue to perform **Work** as directed by the **ACCO** or the **Engineer**. Failure of the **Contractor** to continue **Work** as directed shall constitute a waiver by the **Contractor** of its claim.

27.4 Presentation of Disputes to Commissioner.

Notice of Dispute and Agency Response. The **Contractor** shall present its dispute in writing ("Notice of Dispute") to the **Commissioner** within thirty (30) Days of receiving written notice of the determination or action that is the subject of the dispute. This notice requirement shall not be read to replace any other notice requirements contained in the **Contract**. The Notice of Dispute shall include all the facts, evidence, documents, or other basis upon which the **Contractor** relies in support of its position, as well as a detailed computation demonstrating how any amount of money claimed by the **Contractor** in the dispute was arrived at. Within thirty (30) Days after receipt of the detailed written submission comprising the complete Notice of Dispute, the **Engineer**, **Resident Engineer**, **Engineering Audit Officer**, or other designee of the **Commissioner** shall submit to the **Commissioner** all materials he or she deems pertinent to the dispute. Following initial submissions to the **Commissioner**, either party may demand of the other the production of any document or other material the demanding party believes may be relevant to the dispute. The requested party shall produce all relevant materials that are not otherwise

protected by a legal privilege recognized by the courts of New York State. Any question of relevancy shall be determined by the **Commissioner** whose decision shall be final. Willful failure of the **Contractor** to produce any requested material whose relevancy the **Contractor** has not disputed, or whose relevancy has been affirmatively determined, shall constitute a waiver by the **Contractor** of its claim.

27.4.1 **Commissioner Inquiry.** The **Commissioner** shall examine the material and may, in his or her discretion, convene an informal conference with the **Contractor**, the **ACCO**, and the **Engineer, Resident Engineer, Engineering Audit Officer**, or other designee of the **Commissioner** to resolve the issue by mutual consent prior to reaching a determination. The **Commissioner** may seek such technical or other expertise as he or she shall deem appropriate, including the use of neutral mediators, and require any such additional material from either or both parties as he or she deems fit. The **Commissioner's** ability to render, and the effect of, a decision hereunder shall not be impaired by any negotiations in connection with the dispute presented, whether or not the **Commissioner** participated therein. The **Commissioner** may or, at the request of any party to the dispute, shall compel the participation of any **Other Contractor** with a contract related to the **Work** of this **Contract**, and that **Contractor** shall be bound by the decision of the **Commissioner**. Any **Other Contractor** thus brought into the dispute resolution proceeding shall have the same rights and obligations under this Article 27 as the **Contractor** initiating the dispute.

27.4.2 **Commissioner Determination.** Within thirty (30) **Days** after the receipt of all materials and information, or such longer time as may be agreed to by the parties, the **Commissioner** shall make his or her determination and shall deliver or send a copy of such determination to the **Contractor**, the **ACCO**, and **Engineer, Resident Engineer, Engineering Audit Officer**, or other designee of the **Commissioner**, as applicable, together with a statement concerning how the decision may be appealed.

27.4.3 **Finality of Commissioner's Decision.** The **Commissioner's** decision shall be final and binding on all parties, unless presented to the Contract Dispute Resolution Board pursuant to this Article 27. The **City** may not take a petition to the Contract Dispute Resolution Board. However, should the **Contractor** take such a petition, the **City** may seek, and the Contract Dispute Resolution Board may render, a determination less favorable to the **Contractor** and more favorable to the **City** than the decision of the **Commissioner**.

27.5 **Presentation of Dispute to the Comptroller.** Before any dispute may be brought by the **Contractor** to the Contract Dispute Resolution Board, the **Contractor** must first present its claim to the **Comptroller** for his or her review, investigation, and possible adjustment.

27.5.1 **Time, Form, and Content of Notice.** Within thirty (30) **Days** of its receipt of a decision by the **Commissioner**, the **Contractor** shall submit to the **Comptroller** and to the **Commissioner** a Notice of Claim regarding its dispute with the **Agency**. The Notice of Claim shall consist of (i) a brief written statement of the substance of the dispute, the amount of money, if any, claimed and the reason(s) the **Contractor** contends the dispute was wrongly decided by the **Commissioner**; (ii) a copy of the written decision of the **Commissioner**; and (iii) a copy of all materials submitted by the **Contractor** to the **Agency**, including the Notice of Dispute. The **Contractor** may not present to the **Comptroller** any material not presented to the **Commissioner**, except at the request of the **Comptroller**.

27.5.2 **Response.** Within thirty (30) **Days** of receipt of the Notice of Claim, the **Agency** shall make available to the **Comptroller** a copy of all material submitted by the **Agency** to the **Commissioner** in connection with the dispute. The **Agency** may not present to the

Comptroller any material not presented to the **Commissioner** except at the request of the **Comptroller**.

27.5.3 Comptroller Investigation. The **Comptroller** may investigate the claim in dispute and, in the course of such investigation, may exercise all powers provided in Sections 7-201 and 7-203 of the Administrative Code. In addition, the **Comptroller** may demand of either party, and such party shall provide, whatever additional material the **Comptroller** deems pertinent to the claim, including original business records of the **Contractor**. Willful failure of the **Contractor** to produce within fifteen (15) **Days** any material requested by the **Comptroller** shall constitute a waiver by the **Contractor** of its claim. The **Comptroller** may also schedule an informal conference to be attended by the **Contractor**, **Agency** representatives, and any other personnel desired by the **Comptroller**.

27.5.4 Opportunity of Comptroller to Compromise or Adjust Claim. The **Comptroller** shall have forty-five (45) **Days** from his or her receipt of all materials referred to in Article 27.5.3 to investigate the disputed claim. The period for investigation and compromise may be further extended by agreement between the **Contractor** and the **Comptroller**, to a maximum of ninety (90) **Days** from the **Comptroller's** receipt of all materials. The **Contractor** may not present its petition to the Contract Dispute Resolution Board until the period for investigation and compromise delineated in this Article 27.5.4 has expired. In compromising or adjusting any claim hereunder, the **Comptroller** may not revise or disregard the terms of the **Contract** between the parties.

27.6 Contract Dispute Resolution Board. There shall be a Contract Dispute Resolution Board composed of:

27.6.1 The chief administrative law judge of the Office of Administrative Trials and Hearings (OATH) or his/her designated OATH administrative law judge, who shall act as chairperson, and may adopt operational procedures and issue such orders consistent with this Article 27 as may be necessary in the execution of the Contract Dispute Resolution Board's functions, including, but not limited to, granting extensions of time to present or respond to submissions;

27.6.2 The **CCPO** or his/her designee; any designee shall have the requisite background to consider and resolve the merits of the dispute and shall not have participated personally and substantially in the particular matter that is the subject of the dispute or report to anyone who so participated; and

27.6.3 A person with appropriate expertise who is not an employee of the **City**. This person shall be selected by the presiding administrative law judge from a prequalified panel of individuals, established and administered by OATH with appropriate background to act as decision-makers in a dispute. Such individual may not have a contract or dispute with the **City** or be an officer or employee of any company or organization that does, or regularly represents persons, companies, or organizations having disputes with the **City**.

27.7 Petition to the Contract Dispute Resolution Board. In the event the claim has not been settled or adjusted by the **Comptroller** within the period provided in this Article 27, the **Contractor**, within thirty (30) **Days** thereafter, may petition the Contract Dispute Resolution Board to review the **Commissioner's** determination.

27.7.1 Form and Content of Petition by Contractor. The **Contractor** shall present its dispute to the Contract Dispute Resolution Board in the form of a petition, which shall

include (i) a brief written statement of the substance of the dispute, the amount of money, if any, claimed, and the reason(s) the **Contractor** contends the dispute was wrongly decided by the **Commissioner**; (ii) a copy of the written Decision of the **Commissioner**, (iii) copies of all materials submitted by the **Contractor** to the Agency; (iv) a copy of the written decision of the **Comptroller**, if any, and (v) copies of all correspondence with, or written material submitted by the **Contractor**, to the **Comptroller**. The **Contractor** shall concurrently submit four (4) complete sets of the Petition: one set to the City Corporation Counsel (Attn: Commercial and Real Estate Litigation Division) and three (3) sets to the Contract Dispute Resolution Board at OATH's offices with proof of service on the City Corporation Counsel. In addition, the **Contractor** shall submit a copy of the written statement of the substance of the dispute, cited in (i) above, to both the **Commissioner** and the **Comptroller**.

27.7.2 **Agency Response.** Within thirty (30) **Days** of its receipt of the Petition by the City Corporation Counsel, the **Agency** shall respond to the brief written statement of the **Contractor** and make available to the Contract Dispute Resolution Board all material it submitted to the **Commissioner** and **Comptroller**. Three (3) complete copies of the **Agency** response shall be provided to the Contract Dispute Resolution Board and one to the **Contractor**. Extensions of time for submittal of the **Agency** response shall be given as necessary upon a showing of good cause or, upon consent of the parties, for an initial period of up to thirty (30) **Days**.

27.7.3 **Further Proceedings.** The Contract Dispute Resolution Board shall permit the **Contractor** to present its case by submission of memoranda, briefs, and oral argument. The Contract Dispute Resolution Board shall also permit the **Agency** to present its case in response to the **Contractor** by submission of memoranda, briefs, and oral argument. If requested by the City Corporation Counsel, the **Comptroller** shall provide reasonable assistance in the preparation of the **Agency's** case. Neither the **Contractor** nor the **Agency** may support its case with any documentation or other material that was not considered by the **Comptroller**, unless requested by the Contract Dispute Resolution Board. The Contract Dispute Resolution Board, in its discretion, may seek such technical or other expert advice as it shall deem appropriate and may seek, on its own or upon application of a party, any such additional material from any party as it deems fit. The Contract Dispute Resolution Board, in its discretion, may combine more than one dispute between the parties for concurrent resolution.

27.7.4 **Contract Dispute Resolution Board Determination.** Within forty-five (45) **Days** of the conclusion of all written submissions and oral arguments, the Contract Dispute Resolution Board shall render a written decision resolving the dispute. In an unusually complex case, the Contract Dispute Resolution Board may render its decision in a longer period, not to exceed ninety (90) **Days**, and shall so advise the parties at the commencement of this period. The Contract Dispute Resolution Board's decision must be consistent with the terms of the **Contract**. Decisions of the Contract Dispute Resolution Board shall only resolve matters before the Contract Dispute Resolution Board and shall not have precedential effect with respect to matters not before the Contract Dispute Resolution Board.

27.7.5 **Notification of Contract Dispute Resolution Board Decision.** The Contract Dispute Resolution Board shall send a copy of its decision to the **Contractor**, the **ACCO**, the Engineer, the **Comptroller**, the City Corporation Counsel, the **CCPO**, and the **PPB**. A decision in favor of the **Contractor** shall be subject to the prompt payment provisions of the **PPB Rules**. The Required Payment Date shall be thirty (30) **Days** after the date the parties are formally notified of the Contract Dispute Resolution Board's decision.

27.7.6 Finality of Contract Dispute Resolution Board Decision. The Contract Dispute Resolution

Board's decision shall be final and binding on all parties. Any party may seek review of the Contract Dispute Resolution Board's decision solely in the form of a challenge, filed within four (4) months of the date of the Contract Dispute Resolution Board's decision, in a court of competent jurisdiction of the State of New York, County of New York pursuant to Article 78 of the Civil Practice Law and Rules. Such review by the court shall be limited to the question of whether or not the Contract Dispute Resolution Board's decision was made in violation of lawful procedure, was affected by an error of Law, or was arbitrary and capricious or an abuse of discretion. No evidence or information shall be introduced or relied upon in such proceeding that was not presented to the Contract Dispute Resolution Board in accordance with this Article 27.

27.8 Any termination, cancellation, or alleged breach of the Contract prior to or during the pendency of any proceedings pursuant to this Article 27 shall not affect or impair the ability of the Commissioner or Contract Dispute Resolution Board to make a binding and final decision pursuant to this Article 27.

ARTICLE 28. RECORD KEEPING FOR EXTRA OR DISPUTED WORK OR WORK ON A TIME & MATERIALS BASIS

28.1 While the Contractor or any of its Subcontractors is performing Work on a time and material basis or Extra Work on a time and material basis ordered by the Commissioner under Article 25, or where the Contractor believes that it or any of its Subcontractors is performing Extra Work but a final determination by Agency has not been made, or the Contractor or any of its Subcontractors is performing disputed Work (whether on or off the Site), or complying with a determination or order under protest in accordance with Articles 11, 27, and 30, in each such case the Contractor shall furnish the Resident Engineer daily with three (3) copies of written statements signed by the Contractor's representative at the Site showing:

28.1.1 The name, trade, and number of each worker employed on such Work or engaged in complying with such determination or order, the number of hours employed, and the character of the Work each is doing; and

28.1.2 The nature and quantity of any materials, plant and equipment furnished or used in connection with the performance of such Work or compliance with such determination or order, and from whom purchased or rented.

28.2 A copy of such statement will be countersigned by the Resident Engineer, noting thereon any items not agreed to or questioned, and will be returned to the Contractor within two (2) Days after submission.

28.3 The Contractor and its Subcontractors, when required by the Commissioner, or the Comptroller, shall also produce for inspection, at the office of the Contractor or Subcontractor, any and all of its books, bid documents, financial statements, vouchers, records, daily job diaries and reports, and cancelled checks, and any other documents relating to showing the nature and quantity of the labor, materials, plant and equipment actually used in the performance of such Work, or in complying with such determination or order, and the amounts expended therefor, and shall permit the Commissioner and the Comptroller to make such extracts therefrom, or copies thereof, as they or either of them may desire.

28.4 In connection with the examination provided for herein, the Commissioner, upon demand therefor, will produce for inspection by the Contractor such records as the Agency may have with

respect to such **Extra Work** or disputed **Work** performed under protest pursuant to order of the **Commissioner**, except those records and reports which may have been prepared for the purpose of determining the accuracy and validity of the **Contractor's** claim.

28.5 Failure to comply strictly with these requirements shall constitute a waiver of any claim for extra compensation or damages on account of the performance of such **Work** or compliance with such determination or order.

ARTICLE 29. OMITTED WORK

29.1 If any **Contract Work** in a lump sum **Contract**, or if any part of a lump sum item in a unit price, lump sum, or percentage-bid **Contract** is omitted by the **Commissioner** pursuant to Article 33, the **Contract** price, subject to audit by the EAO, shall be reduced by a pro rata portion of the lump sum bid amount based upon the percent of **Work** omitted subject to Article 29.4. For the purpose of determining the pro rata portion of the lump sum bid amount, the bid breakdown submitted in accordance with Article 41 shall be considered, but shall not be the determining factor.

29.2 If the whole of a lump sum item or units of any other item is so omitted by the **Commissioner** in a unit price, lump sum, or percentage-bid **Contract**, then no payment will be made therefor except as provided in Article 29.4.

29.3 For units that have been ordered but are only partially completed, the unit price shall be reduced by a pro rata portion of the unit price bid based upon the percentage of **Work** omitted subject to Article 29.4.

29.4 In the event the **Contractor**, with respect to any omitted **Work**, has purchased any non-cancelable material and/or equipment that is not capable of use except in the performance of this **Contract** and has been specifically fabricated for the sole purpose of this **Contract**, but not yet incorporated into the **Work**, the **Contractor** shall be paid for such material and/or equipment in accordance with Article 64.2.1(b); provided, however, such payment is contingent upon the **Contractor's** delivery of such material and/or equipment in acceptable condition to a location designated by the **City**.

29.5 The **Contractor** agrees to make no claim for damages or for loss of overhead and profit with regard to any omitted **Work**.

ARTICLE 30. NOTICE AND DOCUMENTATION OF COSTS AND DAMAGES; PRODUCTION OF FINANCIAL RECORDS

30.1 If the **Contractor** shall claim to be sustaining damages by reason of any act or omission of the **City** or its agents, it shall submit to the **Commissioner** within forty-five (45) **Days** from the time such damages are first incurred, and every thirty (30) **Days** thereafter for as long as such damages are incurred, verified statements of the details and the amounts of such damages, together with documentary evidence of such damages. The **Contractor** may submit any of the above statements within such additional time as may be granted by the **Commissioner** in writing upon written request therefor. Failure of the **Commissioner** to respond in writing to a written request for additional time within thirty (30) **Days** shall be deemed a denial of the request. On failure of the **Contractor** to strictly comply with the foregoing provisions, such claims shall be deemed waived and no right to recover on such claims shall exist. Damages that the **Contractor** may claim in any action or dispute resolution procedure arising under or by reason of this **Contract** shall not be different from or in excess of the statements and documentation made pursuant to this Article 30.

30.2 In addition to the foregoing statements, the **Contractor** shall, upon notice from the **Commissioner**, produce for examination at the **Contractor's** office, by the **Engineer, Architect or Project Manager**, all of its books of account, bills, invoices, payrolls, subcontracts, time books, daily reports, bank deposit books, bank statements, check books, and cancelled checks, showing all of its acts and transactions in connection with or relating to or arising by reason of this **Contract**, and submit itself and persons in its employment, for examination under oath by any person designated by the **Commissioner** or **Comptroller** to investigate claims made or disputes against the **City** under this **Contract**. At such examination, a duly authorized representative of the **Contractor** may be present.

30.3 In addition to the statements required under Article 28 and this Article 30, the **Contractor** and/or its **Subcontractor** shall, within thirty (30) **Days** upon notice from the **Commissioner** or **Comptroller**, produce for examination at the **Contractor's** and/or **Subcontractor's** office, by a representative of either the **Commissioner** or **Comptroller**, all of its books of account, bid documents, financial statements, accountant workpapers, bills, invoices, payrolls, subcontracts, time books, daily reports, bank deposit books, bank statements, check books, and cancelled checks, showing all of its acts and transactions in connection with or relating to or arising by reason of this **Contract**. Further, the **Contractor** and/or its **Subcontractor** shall submit any person in its employment, for examination under oath by any person designated by the **Commissioner** or **Comptroller** to investigate claims made or disputes against the **City** under this **Contract**. At such examination, a duly authorized representative of the **Contractor** may be present.

30.4 Unless the information and examination required under Article 30.3 is provided by the **Contractor** and/or its **Subcontractor** upon thirty (30) **Days'** notice from the **Commissioner** or **Comptroller**, or upon the **Commissioner's** or **Comptroller's** written authorization to extend the time to comply, the **City** shall be released from all claims arising under, relating to or by reason of this **Contract**, except for sums certified by the **Commissioner** to be due under the provisions of this **Contract**. It is further stipulated and agreed that no person has the power to waive any of the foregoing provisions and that in any action or dispute resolution procedure against the **City** to recover any sum in excess of the sums certified by the **Commissioner** to be due under or by reason of this **Contract**, the **Contractor** must allege in its complaint and prove, at trial or during such dispute resolution procedure, compliance with the provisions of this Article 30.

30.5 In addition, after the commencement of any action or dispute resolution procedure by the **Contractor** arising under or by reason of this **Contract**, the **City** shall have the right to require the **Contractor** to produce for examination under oath, up until the trial of the action or hearing before the Contract Dispute Resolution Board, the books and documents described in Article 30.3 and submit itself and all persons in its employ for examination under oath. If this Article 30 is not complied with as required, then the **Contractor** hereby consents to the dismissal of the action or dispute resolution procedure.

CHAPTER VII

POWERS OF THE RESIDENT ENGINEER, THE ENGINEER OR ARCHITECT AND THE COMMISSIONER

ARTICLE 31. THE RESIDENT ENGINEER

31.1 The **Resident Engineer** shall have the power to inspect, supervise, and control the performance of the **Work**, subject to review by the **Commissioner**. The **Resident Engineer** shall not, however, have the power to issue an **Extra Work** order, except as specifically designated in writing by the **Commissioner**.

ARTICLE 32. THE ENGINEER OR ARCHITECT OR PROJECT MANAGER

32.1 The **Engineer or Architect or Project Manager**, in addition to those matters elsewhere herein delegated to the **Engineer** and expressly made subject to his/her determination, direction or approval, shall have the power, subject to review by the **Commissioner**:

32.1.1 To determine the amount, quality, and location of the **Work** to be paid for hereunder; and

32.1.2 To determine all questions in relation to the **Work**, to interpret the **Contract Drawings, Specifications, and Addenda**, and to resolve all patent inconsistencies or ambiguities therein; and

32.1.3 To determine how the **Work** of this **Contract** shall be coordinated with **Work** of **Other Contractors** engaged simultaneously on this **Project**, including the power to suspend any part of the **Work**, but not the whole thereof; and

32.1.4 To make minor changes in the **Work** as he/she deems necessary, provided such changes do not result in a net change in the cost to the **City** or to the **Contractor** of the **Work** to be done under the **Contract**; and

32.1.5 To amplify the **Contract Drawings**, add explanatory information and furnish additional **Specifications** and drawings, consistent with this **Contract**.

32.2 The foregoing enumeration shall not imply any limitation upon the power of the **Engineer or Architect or Project Manager**, for it is the intent of this **Contract** that all of the **Work** shall generally be subject to his/her determination, direction, and approval, except where the determination, direction or approval of someone other than the **Engineer or Architect or Project Manager** is expressly called for herein.

32.3 The **Engineer or Architect or Project Manager** shall not, however, have the power to issue an **Extra Work** order, except as specifically designated in writing by the **Commissioner**.

ARTICLE 33. THE COMMISSIONER

33.1 The **Commissioner**, in addition to those matters elsewhere herein expressly made subject to his/her determination, direction or approval, shall have the power:

33.1.1 To review and make determinations on any and all questions in relation to this **Contract** and its performance; and

33.1.2 To modify or change this **Contract** so as to require the performance of **Extra Work** (subject, however, to the limitations specified in Article 25) or the omission of **Contract Work**; and

33.1.3 To suspend the whole or any part of the **Work** whenever in his/her judgment such suspension is required:

33.1.3(a) In the interest of the **City** generally; or

33.1.3(b) To coordinate the **Work** of the various contractors engaged on this **Project** pursuant to the provisions of Article 12; or

33.1.3(c) To expedite the completion of the entire **Project** even though the completion of this particular **Contract** may thereby be delayed.

ARTICLE 34. NO ESTOPPEL

34.1 Neither the **City** nor any **Agency**, official, agent or employee thereof, shall be bound, precluded or estopped by any determination, decision, approval, order, letter, payment or certificate made or given under or in connection with this **Contract** by the **City**, the **Commissioner**, the **Engineer**, the **Resident Engineer**, or any other official, agent or employee of the **City**, either before or after the final completion and acceptance of the **Work** and payment therefor:

34.1.1 From showing the true and correct classification, amount, quality or character of the **Work** actually done; or that any such determination, decision, order, letter, payment or certificate was untrue, incorrect or improperly made in any particular, or that the **Work**, or any part thereof, does not in fact conform to the requirements of this **Contract**; and

34.1.2 From demanding and recovering from the **Contractor** any overpayment made to it, or such damages as the **City** may sustain by reason of the **Contractor's** failure to perform each and every part of its **Contract**.

CHAPTER VIII LABOR PROVISIONS

ARTICLE 35. EMPLOYEES

35.1 The **Contractor** and its **Subcontractors** shall not employ on the **Work**:

35.1.1 Anyone who is not competent, faithful and skilled in the **Work** for which he/she shall be employed; and whenever the **Commissioner** shall inform the **Contractor**, in writing, that any employee is, in his/her opinion, incompetent, unfaithful or disobedient, that employee shall be discharged from the **Work** forthwith, and shall not again be employed upon it; or

35.1.2 Any labor, materials or means whose employment, or utilization during the course of this **Contract**, may tend to or in any way cause or result in strikes, work stoppages, delays, suspension of **Work** or similar troubles by workers employed by the **Contractor** or its **Subcontractors**, or by any of the trades working in or about the buildings and premises where **Work** is being performed under this **Contract**, or by **Other Contractors** or their **Subcontractors** pursuant to other contracts, or on any other building or premises owned or operated by the **City**, its **Agencies**, departments, boards or authorities. Any violation by the **Contractor** of this requirement may, upon certification of the **Commissioner**, be considered as proper and sufficient cause for declaring the **Contractor** to be in default, and for the **City** to take action against it as set forth in Chapter X of this **Contract**, or such other article of this **Contract** as the **Commissioner** may deem proper; or

35.1.3 In accordance with Section 220.3-e of the Labor Law of the State of New York (hereinafter "Labor Law"), the **Contractor** and its **Subcontractors** shall not employ on the **Work** any apprentice, unless he/she is a registered individual, under a bona fide program

registered with the New York State Department of Labor. The allowable ratio of apprentices to journey-level workers in any craft classification shall not be greater than the ratio permitted to the **Contractor** as to its work force on any job under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered as above, shall be paid the wage rate determined by the **Comptroller** of the **City** for the classification of **Work** actually performed. The **Contractor** or **Subcontractor** will be required to furnish written evidence of the registration of its program and apprentices as well as all the appropriate ratios and wage rates, for the area of the construction prior to using any apprentices on the **Contract Work**.

35.2 If the total cost of the **Work** under this **Contract** is at least two hundred fifty thousand (\$250,000) dollars, all laborers, workers, and mechanics employed in the performance of the **Contract** on the public work site, either by the **Contractor**, **Subcontractor** or other person doing or contracting to do the whole or a part of the **Work** contemplated by the **Contract**, shall be certified prior to performing any **Work** as having successfully completed a course in construction safety and health approved by the United States Department of Labor's Occupational Safety and Health Administration that is at least ten (10) hours in duration.

35.3 In accordance with Local Law Nos. 30-2012 and 33-2012, codified at sections 6-132 and 12-113 of the Administrative Code, respectively,

35.3.1 The **Contractor** shall not take an adverse personnel action with respect to an officer or employee in retaliation for such officer or employee making a report of information concerning conduct which such officer or employee knows or reasonably believes to involve corruption, criminal activity, conflict of interest, gross mismanagement or abuse of authority by any officer or employee relating to this **Contract** to (a) the Commissioner of the Department of Investigation, (b) a member of the New York City Council, the Public Advocate, or the **Comptroller**, or (c) the **CCPO**, **ACCO**, **Agency head**, or **Commissioner**.

35.3.2 If any of the **Contractor's** officers or employees believes that he or she has been the subject of an adverse personnel action in violation of Article 35.3.1, he or she shall be entitled to bring a cause of action against the **Contractor** to recover all relief necessary to make him or her whole. Such relief may include but is not limited to: (a) an injunction to restrain continued retaliation, (b) reinstatement to the position such employee would have had but for the retaliation or to an equivalent position, (c) reinstatement of full fringe benefits and seniority rights, (d) payment of two times back pay, plus interest, and (e) compensation for any special damages sustained as a result of the retaliation, including litigation costs and reasonable attorney's fees.

35.3.3 The **Contractor** shall post a notice provided by the **City** in a prominent and accessible place on any site where work pursuant to the **Contract** is performed that contains information about:

35.3.3(a) how its employees can report to the New York City Department of Investigation allegations of fraud, false claims, criminality or corruption arising out of or in connection with the **Contract**; and

35.3.3(b) the rights and remedies afforded to its employees under Administrative Code sections 7-805 (the New York City False Claims Act) and 12-113 (the Whistleblower Protection Expansion Act) for lawful acts taken in connection with the reporting of allegations of fraud, false claims, criminality or corruption in connection with the **Contract**.

35.3.4 For the purposes of this Article 35.3, "adverse personnel action" includes dismissal, demotion, suspension, disciplinary action, negative performance evaluation, any action resulting in loss of staff, office space, equipment or other benefit, failure to appoint, failure to promote, or any transfer or assignment or failure to transfer or assign against the wishes of the affected officer or employee.

35.3.5 This Article 35.3 is applicable to all of the **Contractor's Subcontractors** having subcontracts with a value in excess of \$100,000; accordingly, the **Contractor** shall include this rider in all subcontracts with a value in excess of \$100,000.

35.4 Article 35.3 is not applicable to this **Contract** if it is valued at \$100,000 or less. Articles 35.3.1, 35.3.2, 35.3.4, and 35.3.5 are not applicable to this **Contract** if it was solicited pursuant to a finding of an emergency.

ARTICLE 36. NO DISCRIMINATION

36.1 The **Contractor** specifically agrees, as required by Labor Law Section 220-e, as amended, that:

36.1.1 In the hiring of employees for the performance of **Work** under this **Contract** or any subcontract hereunder, neither the **Contractor**, **Subcontractor**, nor any person acting on behalf of such **Contractor** or **Subcontractor**, shall by reason of race, creed, color or national origin discriminate against any citizen of the State of New York who is qualified and available to perform the **Work** to which the employment relates;

36.1.2 Neither the **Contractor**, **Subcontractor**, nor any person on its behalf shall, in any manner, discriminate against or intimidate any employee hired for the performance of **Work** under this **Contract** on account of race, creed, color or national origin;

36.1.3 There may be deducted from the amount payable to the **Contractor** by the **City** under this **Contract** a penalty of fifty (\$50.00) dollars for each person for each **Day** during which such person was discriminated against or intimidated in violation of the provisions of this **Contract**; and

36.1.4 This **Contract** may be cancelled or terminated by the **City** and all moneys due or to become due hereunder may be forfeited, for a second or any subsequent violation of the terms or conditions of this Article 36.

36.1.5 This Article 36 covers all construction, alteration and repair of any public building or public work occurring in the State of New York and the manufacture, sale, and distribution of materials, equipment, and supplies to the extent that such operations are performed within the State of New York pursuant to this **Contract**.

36.2 The **Contractor** specifically agrees, as required by Section 6-108 of the Administrative Code, as amended, that:

36.2.1 It shall be unlawful for any person engaged in the construction, alteration or repair of buildings or engaged in the construction or repair of streets or highways pursuant to a **Contract** with the **City** or engaged in the manufacture, sale or distribution of materials, equipment or supplies pursuant to a **Contract** with the **City** to refuse to employ or to refuse to continue in any employment any person on account of the race, color or creed of such person.

36.2.2 It shall be unlawful for any person or any servant, agent or employee of any person, described in Article 36.1.2, to ask, indicate or transmit, orally or in writing, directly or indirectly, the race, color or creed or religious affiliation of any person employed or seeking employment from such person, firm or corporation.

36.2.3 Breach of the foregoing provisions shall be deemed a violation of a material provision of this **Contract**.

36.2.4 Any person, or the employee, manager or owner of or officer of such firm or corporation who shall violate any of the provisions of this Article 36.2 shall, upon conviction thereof, be punished by a fine of not more than one hundred (\$100.00) dollars or by imprisonment for not more than thirty (30) **Days**, or both.

36.3 This **Contract** is subject to the requirements of Executive Order No. 50 (1980) ("E.O. 50"), as revised, and the rules and regulations promulgated thereunder. No contract will be awarded unless and until these requirements have been complied with in their entirety. By signing this **Contract**, the **Contractor** agrees that it:

36.3.1 Will not engage in any unlawful discrimination against any employee or applicant for employment because of race, creed, color, national origin, sex, age, disability, marital status or sexual orientation with respect to all employment decisions including, but not limited to, recruitment, hiring, upgrading, demotion, downgrading, transfer, training, rates of pay or other forms of compensation, layoff, termination, and all other terms and conditions of employment; and

36.3.2 Will not engage in any unlawful discrimination in the selection of **Subcontractors** on the basis of the owner's race, color, creed, national origin, sex, age, disability, marital status or sexual orientation; and

36.3.3 Will state in all solicitations or advertisements for employees placed by or on behalf of the **Contractor** that all qualified applicants will receive consideration for employment without unlawful discrimination based on race, creed, color, national origin, sex, age, citizens status, disability, marital status, sexual orientation, or that it is an equal employment opportunity employer; and

36.3.4 Will send to each labor organization or representative of workers with which it has a collective bargaining agreement or other contract or memorandum of understanding, written notification of its equal employment opportunity commitments under E.O. 50 and the rules and regulations promulgated thereunder; and

36.3.5 Will furnish, before the award of the **Contract**, all information and reports, including an employment report, that are required by E.O. 50, the rules and regulations promulgated thereunder, and orders of the City Department of Business Services, Division of Labor Services (**DLS**) and will permit access to its books, records, and accounts by the **DLS** for the purposes of investigation to ascertain compliance with such rules, regulations, and orders.

36.4 The **Contractor** understands that in the event of its noncompliance with the nondiscrimination clauses of this **Contract** or with any of such rules, regulations, or orders, such noncompliance shall constitute a material breach of this **Contract** and noncompliance with E.O. 50 and the rules and regulations promulgated thereunder. After a hearing held pursuant to the rules of the **DLS**, the Director of the **DLS** may direct the **Commissioner** to impose any or all of the following sanctions:

36.4.1 Disapproval of the **Contractor**; and/or

36.4.2 Suspension or termination of the **Contract**; and/or

36.4.3 Declaring the **Contractor** in default; and/or

36.4.4 In lieu of any of the foregoing sanctions, the Director of the **DLS** may impose an employment program.

In addition to any actions taken under this **Contract**, failure to comply with E.O. 50 and the rules and regulations promulgated thereunder, in one or more instances, may result in a **City Agency** declaring the **Contractor** to be non-responsible in future procurements. The **Contractor** further agrees that it will refrain from entering into any **Contract** or **Contract** modification subject to E.O. 50 and the rules and regulations promulgated thereunder with a **Subcontractor** who is not in compliance with the requirements of E.O. 50 and the rules and regulations promulgated thereunder.

36.5 The **Contractor** specifically agrees, as required by Section 6-123 of the Administrative Code, that:

36.5.1 The **Contractor** will not engage in any unlawful discriminatory practice in violation of Title 8 of the Administrative Code; and

36.5.2 Any failure to comply with this Article 36.5 may subject the **Contractor** to the remedies set forth in Section 6-123 of the Administrative Code, including, where appropriate, sanctions such as withholding of payment, imposition of an employment program, finding the **Contractor** to be in default, cancellation of the **Contract**, or any other sanction or remedy provided by **Law** or **Contract**.

ARTICLE 37. LABOR LAW REQUIREMENTS

37.1 The **Contractor** shall strictly comply with all applicable provisions of the Labor Law, as amended. Such compliance is a material term of this **Contract**.

37.2 The **Contractor** specifically agrees, as required by Labor Law Sections 220 and 220-d, as amended, that:

37.2.1 **Hours of Work:** No laborer, worker, or mechanic in the employ of the **Contractor**, **Subcontractor** or other person doing or contracting to do the whole or a part of the **Work** contemplated by this **Contract** shall be permitted or required to work more than eight (8) hours in any one (1) **Day**, or more than five (5) **Days** in any one (1) week, except as provided in the Labor Law and in cases of extraordinary emergency including fire, flood, or danger to life or property, or in the case of national emergency when so proclaimed by the President of the United States of America.

37.2.2 In situations in which there are not sufficient laborers, workers, and mechanics who may be employed to carry on expeditiously the **Work** contemplated by this **Contract** as a result of such restrictions upon the number of hours and **Days** of labor, and the immediate commencement or prosecution or completion without undue delay of the **Work** is necessary for the preservation of the **Site** and/or for the protection of the life and limb of the persons using the same, such laborers, workers, and mechanics shall be permitted or required to

work more than eight (8) hours in any one (1) **Day**; or five (5) **Days** in any one (1) week; provided, however, that upon application of any **Contractor**, the **Commissioner** shall have first certified to the Commissioner of Labor of the State of New York (hereinafter "Commissioner of Labor") that such public **Work** is of an important nature and that a delay in carrying it to completion would result in serious disadvantage to the public; and provided, further, that such Commissioner of Labor shall have determined that such an emergency does in fact exist as provided in Labor Law Section 220.2.

37.2.3 Failure of the **Commissioner** to make such a certification to the Commissioner of Labor shall not entitle the **Contractor** to damages for delay or for any cause whatsoever.

37.2.4 Prevailing Rate of Wages: The wages to be paid for a legal day's **Work** to laborers, workers, or mechanics employed upon the **Work** contemplated by this **Contract** or upon any materials to be used thereon shall not be less than the "prevailing rate of wage" as defined in Labor Law Section 220, and as fixed by the **Comptroller** in the attached Schedule of Wage Rates and in updated schedules thereof. The prevailing wage rates and supplemental benefits to be paid are those in effect at the time the **Work** is being performed.

37.2.5 Requests for interpretation or correction in the Information for Bidders includes all requests for clarification of the classification of trades to be employed in the performance of the **Work** under this **Contract**. In the event that a trade not listed in the **Contract** is in fact employed during the performance of this **Contract**, the **Contractor** shall be required to obtain from the **Agency** the prevailing wage rates and supplementary benefits for the trades used and to complete the performance of this **Contract** at the price at which the **Contract** was awarded.

37.2.6 Minimum Wages: Except for employees whose wage is required to be fixed pursuant to Labor Law Section 220, all persons employed by the **Contractor** and any **Subcontractor** in the manufacture or furnishing of the supplies, materials, or equipment, or the furnishing of work, labor, or services, used in the performance of this **Contract**, shall be paid, without subsequent deduction or rebate unless expressly authorized by **Law**, not less than the sum mandated by **Law**.

37.3 Working Conditions: No part of the **Work**, labor or services shall be performed or rendered by the **Contractor** in any plants, factories, buildings or surroundings or under working conditions which are unsanitary or hazardous or dangerous to the health and safety of employees engaged in the performance of this **Contract**. Compliance with the safety, sanitary, and factory inspection **Laws** of the state in which the **Work** is to be performed shall be prima facie evidence of compliance with this Article 37.3.

37.4 Prevailing Wage Enforcement: The **Contractor** agrees to pay for all costs incurred by the **City** in enforcing prevailing wage requirements, including the cost of any investigation conducted by or on behalf of the **Agency** or the **Comptroller**, where the **City** discovers a failure to comply with any of the requirements of this Article 37 by the **Contractor** or its **Subcontractor(s)**. The **Contractor** also agrees that, should it fail or refuse to pay for any such investigation, the **Agency** is hereby authorized to deduct from a **Contractor's** account an amount equal to the cost of such investigation.

37.4.1 The Labor Law Section 220 and Section 220-d, as amended, provide that this **Contract** shall be forfeited and no sum paid for any **Work** done hereunder on a second conviction for willfully paying less than:

37.4.1(a) The stipulated prevailing wage scale as provided in Labor Law section 220, as amended, or

37.4.1(b) The stipulated minimum hourly wage scale as provided in Labor Law section 220-d, as amended.

37.4.2 For any breach or violation of either working conditions (Article 37.3) or minimum wages (Article 37.2.6) provisions, the party responsible therefor shall be liable to the City for liquidated damages, which may be withheld from any amounts due on any contracts with the City of such party responsible, or may be recovered in actions brought by the City Corporation Counsel in the name of the City, in addition to damages for any other breach of this Contract, for a sum equal to the amount of any underpayment of wages due to any employee engaged in the performance of this Contract. In addition, the Commissioner shall have the right to cancel contracts and enter into other contracts for the completion of the original contract, with or without public letting, and the original Contractor shall be liable for any additional cost. All sums withheld or recovered as deductions, rebates, refunds, or underpayment of wages hereunder, shall be held in a special deposit account and shall be paid without interest, on order of the Comptroller, directly to the employees who have been paid less than minimum rates of pay as set forth herein and on whose account such sums were withheld or recovered, provided that no claims by employees for such payments shall be entertained unless made within two (2) years from the date of actual notice to the Contractor of the withholding or recovery of such sums by the City.

37.4.3 A determination by the Comptroller that a Contractor and/or its Subcontractor willfully violated Labor Law Section 220 will be forwarded to the City's five District Attorneys for review.

37.4.4 The Contractor's or Subcontractor's noncompliance with this Article 37.4 and Labor Law Section 220 may result in an unsatisfactory performance evaluation and the Comptroller may also find and determine that the Contractor or Subcontractor willfully violated the New York Labor Law.

37.4.4(a) An unsatisfactory performance evaluation for noncompliance with this Article 37.4 may result in a determination that the Contractor is a non-responsible bidder on subsequent procurements with the City and thus a rejection of a future award of a contract with the City, as well as any other sanctions provided for by Law.

37.4.4(b) Labor Law Section 220-b, as amended, provides that when two (2) final determinations have been rendered against a Contractor or Subcontractor within any consecutive six (6) year period determining that such Contractor or Subcontractor has willfully failed to pay the prevailing rate of wages or to provide supplements in accordance with the Labor Law and this Article 37.4, whether such failures were concurrent or consecutive and whether or not such final determinations concerning separate public works projects are rendered simultaneously, such Contractor or Subcontractor shall be ineligible to submit a bid on or be awarded any public works contract with the City for a period of five (5) years from the second final determination. If the final determination involves the falsification of payroll records or the kickback of wages or supplements, the Contractor or Subcontractor shall be ineligible to submit a bid on or be awarded any public works contract with the City for a period of five (5) years from the first final determination.

37.4.4(c) Labor Law Section 220, as amended, provides that the Contractor or Subcontractor found to have violated this Article 37.4 may be directed to make payment of wages or supplements including interest found to be due, and the Contractor or Subcontractor may be directed to make payment of a further sum as

a civil penalty in an amount not exceeding twenty-five (25%) percent of the total amount found to be due.

37.5 The **Contractor** and its **Subcontractors** shall within ten (10) **Days** after mailing of a Notice of Award or written order, post in prominent and conspicuous places in each and every plant, factory, building, and structure where employees of the **Contractor** and its **Subcontractors** engaged in the performance of this **Contract** are employed, notices furnished by the **City**, in relation to prevailing wages and supplements, minimum wages, and other stipulations contained in Sections 220 and 220-h of the Labor Law, and the **Contractor** and its **Subcontractors** shall continue to keep such notices posted in such prominent and conspicuous places until **Final Acceptance** of the supplies, materials, equipment, or **Work**, labor, or services required to be furnished or rendered under this **Contract**.

37.6 The **Contractor** shall strictly comply with all of the provisions of Articles 37.6.1 through 37.6.5, and provide for all workers, laborers or mechanics in its employ, the following:

37.6.1 **Notices Posted At Site:** Post, in a location designated by the **City**, schedules of prevailing wages and supplements for this **Project**, a copy of all re-determinations of such schedules for the **Project**, the Workers' Compensation Law Section 51 notice, all other notices required by Law to be posted at the **Site**, the **City** notice that this **Project** is a public works project on which each worker is entitled to receive the prevailing wages and supplements for the occupation at which he or she is working, and all other notices which the **City** directs the **Contractor** to post. The **Contractor** shall provide a surface for such notices which is satisfactory to the **City**. The **Contractor** shall maintain and keep current such notices in a legible manner and shall replace any notice or schedule which is damaged, defaced, illegible or removed for any reason. The **Contractor** shall post such notices before commencing any **Work** on the **Site** and shall maintain such notices until all **Work** on the **Site** is complete; and

37.6.2 **Daily Site Sign-in Sheets:** Maintain daily **Site** sign-in sheets, and require that **Subcontractors** maintain daily **Site** sign-in sheets for its employees, which include blank spaces for an employee's name to be both printed and signed, job title, date started and Social Security number, the time the employee began work and the time the employee left work, until **Final Acceptance** of the supplies, materials, equipment, or **Work**, labor, or services to be furnished or rendered under this **Contract** unless exception is granted by the **Comptroller** upon application by the **Agency**. In the alternative, subject to the approval of the **CCPO**, the **Contractor** and **Subcontractor** may maintain an electronic or biometric sign-in system, which provides the information required by this Article 37.6.2; and

37.6.3 **Individual Employee Information Notices:** Distribute a notice to each worker, laborer or mechanic employed under this **Contract**, in a form provided by the **Agency**, that this **Project** is a public works project on which each worker, laborer or mechanic is entitled to receive the prevailing rate of wages and supplements for the occupation at which he or she is working. If the total cost of the **Work** under this **Contract** is at least two hundred fifty thousand (\$250,000) dollars, such notice shall also include a statement that each worker, laborer or mechanic must be certified prior to performing any **Work** as having successfully completed a course in construction safety and health approved by the United States Department of Labor's Occupational Safety and Health Administration that is at least ten (10) hours in duration. Such notice shall be distributed to each worker before he or she starts performing any **Work** of this **Contract** and with the first paycheck after July first of each year. "Worker, laborer or mechanic" includes employees of the **Contractor** and all **Subcontractors** and all employees of suppliers entering the **Site**. At the time of distribution, the **Contractor** shall have each worker, laborer or mechanic sign a statement, in a form provided by the **Agency**, certifying that the worker has received the notice required by this

Article 37.6.3, which signed statement shall be maintained with the payroll records required by this **Contract**; and

37.6.3(a) The **Contractor** and each **Subcontractor** shall notify each worker, laborer or mechanic employed under this **Contract** in writing of the prevailing rate of wages for their particular job classification. Such notification shall be given to every worker, laborer, and mechanic on their first pay stub and with every pay stub thereafter; and

37.6.4 **Site Laminated Identification Badges:** The **Contractor** shall provide laminated identification badges which include a photograph of the worker's, laborer's or mechanic's face and indicate the worker's, laborer's or mechanic's name, trade, employer's name, and employment starting date (month/day/year). Further, the **Contractor** shall require as a condition of employment on the **Site**, that each and every worker, laborer or mechanic wear the laminated identification badge at all times and that it may be seen by any representative of the **City**. The **Commissioner** may grant a written waiver from the requirement that the laminated identification badge include a photograph if the **Contractor** demonstrates that the identity of an individual wearing a laminated identification badge can be easily verified by another method; and

37.6.5 **Language Other Than English Used On Site:** Provide the **ACCO** notice when three (3) or more employees (worker and/or laborer and/or mechanic) on the **Site**, at any time, speak a language other than English. The **ACCO** will then provide the **Contractor** the notices described in Article 37.6.1 in that language or languages as may be required. The **Contractor** is responsible for all distributions under this Article 37; and

37.6.6 **Provision of Records:** The **Contractor** and **Subcontractor(s)** shall produce within five (5) **Days** on the **Site** of the **Work** and upon a written order of the **Engineer**, the **Commissioner**, the **ACCO**, the **Agency EAO**, or the **Comptroller**, such records as are required to be kept by this Article 37.6; and

37.6.7 The **Contractor** and **Subcontractor(s)** shall pay employees by check or direct deposit. If this **Contract** is for an amount greater than one million (\$1,000,000) dollars, checks issued by the **Contractor** to covered employees shall be generated by a payroll service or automated payroll system (an in-house system may be used if approved by the **Agency**). For any subcontract for an amount greater than seven hundred fifty thousand (\$750,000) dollars, checks issued by a **Subcontractor** to covered employees shall be generated by a payroll service or automated payroll system (an in-house system may be used if approved by the **Agency**); and

37.6.8 The failure of the **Contractor** or **Subcontractor(s)** to comply with the provisions of Articles 37.6.1 through 37.6.7 may result in the **Commissioner** declaring the **Contractor** in default and/or the withholding of payments otherwise due under the **Contract**.

37.7 The **Contractor** and its **Subcontractors** shall keep such employment and payroll records as are required by Section 220 of the Labor Law. The failure of the **Contractor** or **Subcontractor(s)** to comply with the provisions of this Article 37.7 may result in the **Commissioner** declaring the **Contractor** in default and/or the withholding of payments otherwise due under the **Contract**.

37.8 At the time the **Contractor** makes application for each partial payment and for final payment, the **Contractor** shall submit to the **Commissioner** a written payroll certification, in the form provided by this **Contract**, of compliance with the prevailing wage, minimum wage, and other provisions and stipulations required by Labor Law Section 220 and of compliance with the training requirements of

Labor Law Section 220-h set forth in Article 35.2. This certification of compliance shall be a condition precedent to payment and no payment shall be made to the **Contractor** unless and until each such certification shall have been submitted to and received by the **Commissioner**.

37.9 This **Contract** is executed by the **Contractor** with the express warranty and representation that the **Contractor** is not disqualified under the provisions of Section 220 of the Labor Law from the award of the **Contract**.

37.10 Any breach or violation of any of the foregoing shall be deemed a breach or violation of a material provision of this **Contract**, and grounds for cancellation thereof by the **City**.

ARTICLE 38. PAYROLL REPORTS

38.1 The **Contractor** and its **Subcontractor(s)** shall maintain on the **Site** during the performance of the **Work** the original payrolls or transcripts thereof which the **Contractor** and its **Subcontractor(s)** are required to maintain and shall submit such original payrolls or transcripts, subscribed and affirmed by it as true, within thirty (30) **Days** after issuance of its first payroll, and every thirty (30) **Days** thereafter, pursuant to Labor Law Section 220(3-a)(a)(iii). The **Contractor** and **Subcontractor(s)** shall submit such original payrolls or transcripts along with each and every payment requisition. If payment requisitions are not submitted at least once a month, the **Contractor** and its **Subcontractor(s)** shall submit original payrolls and transcripts both along with its payment requisitions and independently of its payment requisitions.

38.2 The **Contractor** shall maintain payrolls or transcripts thereof for six (6) years from the date of completion of the **Work** on this **Contract**. If such payrolls and transcripts are maintained outside of New York City after the completion of the **Work** and their production is required pursuant to this Article 38, the **Contractor** shall produce such records in New York City upon request by the **City**.

38.3 The **Contractor** and **Subcontractor(s)** shall comply with any written order, direction, or request made by the **Engineer**, the **Commissioner**, the **ACCO**, the **Agency EAO**, the **Agency Labor Law Investigator(s)**, or the **Comptroller**, to provide to the requesting party any of the following information and/or records within five (5) **Days** of such written order, direction, or request:

38.3.1 Such original payrolls or transcripts thereof subscribed and affirmed by it as true and the statements signed by each worker pursuant to this Chapter VIII; and/or

38.3.2 Attendance sheets for each **Day** on which any employee of the **Contractor** and/or any of the **Subcontractor(s)** performed **Work** on the **Site**, which attendance sheet shall be in a form acceptable to the **Agency** and shall provide information acceptable to the **Agency** to identify each such employee; and/or

38.3.3 Any other information to satisfy the **Engineer**, the **Commissioner**, the **ACCO**, the **Agency EAO**, the **Agency Labor Law Investigator(s)** or the **Comptroller**, that this Chapter VIII and the Labor Law, as to the hours of employment and prevailing rates of wages and/or supplemental benefits, are being observed.

38.4 The failure of the **Contractor** or **Subcontractor(s)** to comply with the provisions of Articles 38.1 and/or 38.2 may result in the **Commissioner** declaring the **Contractor** in default and/or the withholding of payments otherwise due under the **Contract**.

ARTICLE 39. DUST HAZARDS

39.1 Should a harmful dust hazard be created in performing the **Work** of this **Contract**, for the elimination of which appliances or methods have been approved by the Board of Standards and Appeals of the City of New York, such appliances and methods shall be installed, maintained, and effectively operated during the continuance of such harmful dust hazard. Failure to comply with this provision after notice shall make this **Contract** voidable at the sole discretion of the **City**.

CHAPTER IX PARTIAL AND FINAL PAYMENTS

ARTICLE 40. CONTRACT PRICE

40.1 The **City** shall pay, and the **Contractor** agrees to accept, in full consideration for the **Contractor's** performance of the **Work** subject to the terms and conditions hereof, the lump sum price or unit prices for which this **Contract** was awarded, plus the amount required to be paid for any **Extra Work** ordered by the **Commissioner** under Article 25, less credit for any **Work** omitted pursuant to Article 29.

ARTICLE 41. BID BREAKDOWN ON LUMP SUM

41.1 Within fifteen (15) **Days** after the commencement date specified in the **Notice to Proceed** or **Order to Work**, unless otherwise directed by the **Resident Engineer**, the **Contractor** shall submit to the **Resident Engineer** a breakdown of its bid price, or of lump sums bid for items of the **Contract**, showing the various operations to be performed under the **Contract**, as directed in the progress schedule required under Article 9, and the value of each of such operations, the total of such items to equal the lump sum price bid. Said breakdown must be approved in writing by the **Resident Engineer**.

41.2 No partial payment will be approved until the **Contractor** submits a bid breakdown that is acceptable to the **Resident Engineer**.

41.3 The **Contractor** shall also submit such other information relating to the bid breakdown as directed by the **Resident Engineer**. Thereafter, the breakdown may be used only for checking the **Contractor's** applications for partial payments hereunder, but shall not be binding upon the **City**, the **Commissioner**, or the **Engineer** for any purpose whatsoever.

ARTICLE 42. PARTIAL PAYMENTS

42.1 From time to time as the **Work** progresses satisfactorily, but not more often than once each calendar month (except where the **Commissioner** approves in writing the submission of invoices on a more frequent basis and for invoices relating to **Work** performed pursuant to a change order), the **Contractor** may submit to the **Engineer** a requisition for a partial payment in the prescribed form, which shall contain an estimate of the quantity and the fair value of the **Work** done during the payment period.

42.2 Partial payments may be made for materials, fixtures, and equipment in advance of their actual incorporation in the **Work**, as the **Commissioner** may approve, and upon the terms and conditions set forth in the General Conditions.

42.3 The **Contractor** shall also submit to the **Commissioner** in connection with every application for partial payment a verified statement in the form prescribed by the **Comptroller** setting forth the information required under Labor Law Section 220-a.

42.4 Within thirty (30) **Days** after receipt of a satisfactory payment application, and within sixty (60) **Days** after receipt of a satisfactory payment application in relation to **Work** performed pursuant to a change order, the **Engineer** will prepare and certify, and the **Commissioner** will approve, a voucher for a partial payment in the amount of such approved estimate, less any and all deductions authorized to be made by the **Commissioner** under the terms of this **Contract** or by **Law**.

ARTICLE 43. PROMPT PAYMENT

43.1 The Prompt Payment provisions of the **PPB Rules** in effect at the time of the bid will be applicable to payments made under this **Contract**. The provisions require the payment to the **Contractor** of interest on payments made after the required payment date, except as set forth in the **PPB Rules**.

43.2 The **Contractor** shall submit a proper invoice to receive payment, except where the **Contract** provides that the **Contractor** will be paid at predetermined intervals without having to submit an invoice for each scheduled payment.

43.3 Determination of interest due will be made in accordance with the **PPB Rules**.

43.4 If the **Contractor** is paid interest, the proportionate share(s) of that interest shall be forwarded by the **Contractor** to its **Subcontractor(s)**.

43.5 The **Contractor** shall pay each **Subcontractor** or **Materialman** not later than seven (7) **Days** after receipt of payment out of amounts paid to the **Contractor** by the **City** for **Work** performed by the **Subcontractor** or **Materialman** under this **Contract**.

43.5.1 If **Contractor** fails to make any payment to any **Subcontractor** or **Materialman** within seven (7) **Days** after receipt of payment by the **City** pursuant to this Article 43.5, then the **Contractor** shall pay interest on amounts due to such **Subcontractor** or **Materialman** at the rate of interest in effect on the date such payment is made by the **Contractor** computed in accordance with Section 756-b (1)(b) of the New York General Business Law. Accrual of interest shall commence on the **Day** immediately following the expiration of the seventh **Day** following receipt of payment by the **Contractor** from the **City** and shall end on the date on which payment is made.

43.6 The **Contractor** shall include in each of its subcontracts a provision requiring each **Subcontractor** to make payment to each of its **Subcontractors** or **Materialmen** for **Work** performed under this **Contract** in the same manner and within the same time period set forth above.

ARTICLE 44. SUBSTANTIAL COMPLETION PAYMENT

44.1 The **Contractor** shall submit with the **Substantial Completion** requisition:

44.1.1 A final verified statement of any pending Article 27 disputes in accordance with the **PPB Rules** and this **Contract** and any and all alleged claims against the **City**, in any way connected with or arising out of this **Contract** (including those as to which details may have been furnished pursuant to Articles 11, 27, 28, and 30) setting forth with respect to each

such claim the total amount thereof, the various items of labor and materials included therein, and the alleged value of each item; and if the alleged claim be one for delay, the alleged cause of each such delay, the period or periods of time, giving the dates when the **Contractor** claims the performance of the **Work** or a particular part thereof was delayed, and an itemized statement and breakdown of the amount claimed for each such delay.

44.1.1(a) With respect to each such claim, the **Commissioner**, the **Comptroller** and, in the event of litigation, the **City Corporation Counsel** shall have the same right to inspect, and to make extracts or copies of, the **Contractor's** books, vouchers, records, etc., as is referred to in Articles 11, 27, 28, and 30. Nothing contained in this Article 44.1.1(a) is intended to or shall relieve the **Contractor** from the obligation of complying strictly with Articles 11, 27, 28, and 30. The **Contractor** is warned that unless such claims are completely set forth as herein required, the **Contractor** upon acceptance of the **Substantial Completion** payment pursuant to this Article 44, will have waived any such claims.

44.1.2 A Final Approved Punch List.

44.1.3 Where required, a request for an extension of time to achieve **Substantial Completion** or final extension of time.

44.2 The **Commissioner** shall issue a voucher calling for payment of any part or all of the balance due for **Work** performed under the **Contract**, including monies retained under Article 21, less any and all deductions authorized to be made by the **Commissioner**, under this **Contract** or by **Law**, and less twice the amount the **Commissioner** considers necessary to ensure the completion of the balance of the **Work** by the **Contractor**. Such a payment shall be considered a partial and not a final payment. No **Substantial Completion** payment shall be made under this Article 44 where the **Contractor** failed to complete the **Work** within the time fixed for such completion in the Schedule A of the General Conditions, or within the time to which completion may have been extended, until an extension or extensions of time for the completion of **Work** have been acted upon pursuant to Article 13.

44.3 No further partial payments shall be made to the **Contractor** after **Substantial Completion**, except the **Substantial Completion** payment and payment pursuant to any **Contractor's** requisition that were properly filed with the **Commissioner** prior to the date of **Substantial Completion**; however, the **Commissioner** may grant a waiver for further partial payments after the date of **Substantial Completion** to permit payments for change order **Work** and/or release of retainage and deposits pursuant to Articles 21 and 24. Such waiver shall be in writing.

44.4 The **Contractor** acknowledges that nothing contained in this Article 44 is intended to or shall in any way diminish the force and effect of Article 13.

ARTICLE 45. FINAL PAYMENT

45.1 After completion and **Final Acceptance** of the **Work**, the **Contractor** shall submit all required certificates and documents, together with a requisition for the balance claimed to be due under the **Contract**, less the amount authorized to be retained for maintenance under Article 24. Such submission shall be within 90 days of the date of the **Commissioner's** written determination of **Final Acceptance**, or within such additional time as may be granted by the **Commissioner** in writing. If the **Contractor** fails to submit all required certificates and documents within the time allowed, no payment of the balance claimed shall be made to the **Contractor** and the **Contractor** shall be deemed to have forfeited its right to

payment of any balance claimed. A verified statement similar to that required in connection with applications for partial payments shall also be submitted to the **Commissioner**.

45.2 Amended Verified Statement of Claims: The **Contractor** shall also submit with the final requisition any amendments to the final verified statement of any pending dispute resolution procedures in accordance with the **PPB Rules** and this **Contract** and any and all alleged claims against the **City**, in any way connected with or arising out of this **Contract** (including those as to which details may have been furnished pursuant to Articles 11, 27, 28, and 30) that have occurred subsequent to **Substantial Completion**, setting forth with respect to each such claim the total amount thereof, the various items of labor and materials included therein, and the alleged value of each such item; and if the alleged claim be one for delay, the alleged cause of each such delay, the period or periods of time, giving the dates when the **Contractor** claims the performance of the **Work** or a particular part thereof was delayed, and an itemized statement and breakdown of the amount claimed for each such delay. With reference to each such claim, the **Commissioner**, the **Comptroller** and, in the event of litigation, the **City Corporation Counsel** shall have the same right to inspect, and to make extracts or copies of, the **Contractor's** books, vouchers, records, etc., as is referred to in Articles 11, 27, 28, and 30. Nothing contained in this Article 45.2, is intended to or shall relieve the **Contractor** from the obligation of complying strictly with Articles 11, 27, 28, and 30. The **Contractor** is warned that unless such claims are completely set forth as herein required, the **Contractor**, upon acceptance of the Final Payment pursuant to Article 46, will have waived any such claims.

45.3 Preparation of Final Voucher: Upon determining the balance due hereunder other than on account of claims, the **Engineer** will prepare and certify, for the **Commissioner's** approval, a voucher for final payment in that amount less any and all deductions authorized to be made by the **Commissioner** under this **Contract** or by **Law**. In the case of a lump sum **Contract**, the **Commissioner** shall certify the voucher for final payment within thirty (30) **Days** from the date of completion and acceptance of the **Work**, provided all requests for extensions of time have been acted upon.

45.3.1 All prior certificates and vouchers upon which partial payments were made, being merely estimates made to enable the **Contractor** to prosecute the **Work** more advantageously, shall be subject to correction in the final voucher, and the certification of the **Engineer** thereon and the approval of the **Commissioner** thereof, shall be conditions precedent to the right of the **Contractor** to receive any money hereunder. Such final voucher shall be binding and conclusive upon the **Contractor**.

45.3.2 Payment pursuant to such final voucher, less any deductions authorized to be made by the **Commissioner** under this **Contract** or by **Law**, shall constitute the final payment, and shall be made by the **Comptroller** within thirty (30) **Days** after the filing of such voucher in his/her office.

45.4 The **Contractor** acknowledges that nothing contained in this Article 45 is intended to or shall in any way diminish the force and effect of Article 13.

ARTICLE 46. ACCEPTANCE OF FINAL PAYMENT

46.1 The acceptance by the **Contractor**, or by anyone claiming by or through it, of the final payment, whether such payment be made pursuant to any judgment of any court, or otherwise, shall constitute and operate as a release of the **City** from any and all claims of and liability to the **Contractor** for anything heretofore done or furnished for the **Contractor** relating to or arising out of this **Contract** and the **Work** done hereunder, and for any prior act, neglect or default on the part of the **City** or any of its officials, agents or employees, excepting only a claim against the **City** for the amounts deducted or retained in accordance with the terms and provisions of this **Contract** or by **Law**, and excepting any

claims, not otherwise waived, or any pending dispute resolution procedures which are contained in the verified statement filed with the **Contractor's** substantial and final requisitions pursuant to Articles 44 and 45.

46.2 The **Contractor** is warned that the execution by it of a release, in connection with the acceptance of the final payment, containing language purporting to reserve claims other than those herein specifically excepted from the operation of this Article 46, or those for amounts deducted by the **Commissioner** from the final requisition or from the final payment as certified by the **Engineer** and approved by the **Commissioner**, shall not be effective to reserve such claims, anything stated to the **Contractor** orally or in writing by any official, agent or employee of the **City** to the contrary notwithstanding.

46.3 Should the **Contractor** refuse to accept the final payment as tendered by the **Comptroller**, it shall constitute a waiver of any right to interest thereon.

46.4 The **Contractor**, however, shall not be barred by this Article 46 from commencing an action for breach of **Contract** to the extent permitted by **Law** and by the terms of the **Contract** for any claims that are contained in the verified statement filed with the **Contractor's** substantial and final requisitions pursuant to Articles 44 and 45 or that arose after submission of the final payment requisition, provided that a detailed and verified statement of claim is served upon the contracting **Agency** and **Comptroller** not later than forty (40) **Days** after the making of such final payment by electronic funds transfer (EFT) or the mailing of such final payment. The statement shall specify the items upon which the claim will be based and any such claim shall be limited to such items.

ARTICLE 47. APPROVAL BY PUBLIC DESIGN COMMISSION

47.1 All works of art, including paintings, mural decorations, stained glass, statues, bas-reliefs, and other sculptures, monuments, fountains, arches, and other structures of a permanent character intended for ornament or commemoration, and every design of the same to be used in the performance of this **Contract**, and the design of all bridges, approaches, buildings, gates, fences, lamps, or structures to be erected, pursuant to the terms of this **Contract**, shall be submitted to the Art Commission, d/b/a the Public Design Commission of the City of New York, and shall be approved by the Public Design Commission prior to the erection or placing in position of the same. The final payment shall not become due or payable under this **Contract** unless and until the Public Design Commission shall certify that the design for the **Work** herein contracted for has been approved by the said Public Design Commission, and that the same has been executed in substantial accordance with the design so approved, pursuant to the provisions of Chapter 37, Section 854 of the City Charter, as amended.

CHAPTER X CONTRACTOR'S DEFAULT

ARTICLE 48. COMMISSIONER'S RIGHT TO DECLARE CONTRACTOR IN DEFAULT

48.1 In addition to those instances specifically referred to in other Articles herein, the **Commissioner** shall have the right to declare the **Contractor** in default of this **Contract** if:

48.1.1 The **Contractor** fails to commence **Work** when notified to do so by the **Commissioner**; or
if

48.1.2 The **Contractor** shall abandon the **Work**; or if

48.1.3 The **Contractor** shall refuse to proceed with the **Work** when and as directed by the **Commissioner**; or if

48.1.4 The **Contractor** shall, without just cause, reduce its working force to a number which, if maintained, would be insufficient, in the opinion of the **Commissioner**, to complete the **Work** in accordance with the progress schedule; or if

48.1.5 The **Contractor** shall fail or refuse to increase sufficiently such working force when ordered to do so by the **Commissioner**; or if

48.1.6 The **Contractor** shall sublet, assign, transfer, convert or otherwise dispose of this **Contract** other than as herein specified; or sell or assign a majority interest in the **Contractor**; or if

48.1.7 The **Contractor** fails to secure and maintain all required insurance; or if

48.1.8 A receiver or receivers are appointed to take charge of the **Contractor's** property or affairs; or if

48.1.9 The **Commissioner** shall be of the opinion that the **Contractor** is or has been unnecessarily or unreasonably or willfully delaying the performance and completion of the **Work**, or the award of necessary subcontracts, or the placing of necessary material and equipment orders; or if

48.1.10 The **Commissioner** shall be of the opinion that the **Contractor** is or has been willfully or in bad faith violating any of the provisions of this **Contract**; or if

48.1.11 The **Commissioner** shall be of the opinion that the **Work** cannot be completed within the time herein provided therefor or within the time to which such completion may have been extended; provided, however, that the impossibility of timely completion is, in the **Commissioner's** opinion, attributable to conditions within the **Contractor's** control; or if

48.1.12 The **Work** is not completed within the time herein provided therefor or within the time to which the **Contractor** may be entitled to have such completion extended; or if

48.1.13 Any statement or representation of the **Contractor** in the **Contract** or in any document submitted by the **Contractor** with respect to the **Work**, the **Project**, or the **Contract** (or for purposes of securing the **Contract**) was untrue or incorrect when made; or if

48.1.14 The **Contractor** or any of its officers, directors, partners, five (5%) percent shareholders, principals, or other persons substantially involved in its activities, commits any of the acts or omissions specified as the grounds for debarment in the **PPB Rules**.

48.2 Before the **Commissioner** shall exercise his/her right to declare the **Contractor** in default, the **Commissioner** shall give the **Contractor** an opportunity to be heard, upon not less than two (2) **Days** notice.

ARTICLE 49. EXERCISE OF THE RIGHT TO DECLARE DEFAULT

49.1 The right to declare the **Contractor** in default for any of the grounds specified or referred to in Article 48 shall be exercised by sending the **Contractor** a notice, signed by the **Commissioner**, setting forth the ground or grounds upon which such default is declared (hereinafter referred to as a "Notice of Default").

49.2 The **Commissioner's** determination that the **Contractor** is in default shall be conclusive, final, and binding on the parties and such a finding shall preclude the **Contractor** from commencing a plenary action for any damages relating to the **Contract**. If the **Contractor** protests the determination of the **Commissioner**, the **Contractor** may commence an action in a court of competent jurisdiction of the State of New York under Article 78 of the New York Civil Practice Law and Rules.

ARTICLE 50. QUITTING THE SITE

50.1 Upon receipt of such notice the **Contractor** shall immediately discontinue all further operations under this **Contract** and shall immediately quit the **Site**, leaving untouched all plant, materials, equipment, tools, and supplies then on the **Site**.

ARTICLE 51. COMPLETION OF THE WORK

51.1 The **Commissioner**, after declaring the **Contractor** in default, may then have the **Work** completed by such means and in such manner, by contract with or without public letting, or otherwise, as he/she may deem advisable, utilizing for such purpose such of the **Contractor's** plant, materials, equipment, tools, and supplies remaining on the **Site**, and also such **Subcontractors**, as he/she may deem advisable.

51.2 After such completion, the **Commissioner** shall make a certificate stating the expense incurred in such completion, which shall include the cost of re-letting and also the total amount of liquidated damages (at the rate provided for in the **Contract**) from the date when the **Work** should have been completed by the **Contractor** in accordance with the terms hereof to the date of actual completion of the **Work**. Such certificate shall be binding and conclusive upon the **Contractor**, its sureties, and any person claiming under the **Contractor**, as to the amount thereof.

51.3 The expense of such completion, including any and all related and incidental costs, as so certified by the **Commissioner**, and any liquidated damages assessed against the **Contractor**, shall be charged against and deducted out of monies which are earned by the **Contractor** prior to the date of default. Should the expense of such completion, as certified by the **Commissioner**, exceed the total sum which would have been payable under the **Contract** if it had been completed by the **Contractor**, any excess shall be paid by the **Contractor**.

ARTICLE 52. PARTIAL DEFAULT

52.1 In case the **Commissioner** shall declare the **Contractor** in default as to a part of the **Work** only, the **Contractor** shall discontinue such part, shall continue performing the remainder of the **Work** in strict conformity with the terms of this **Contract**, and shall in no way hinder or interfere with any **Other**

Contractor(s) or persons whom the **Commissioner** may engage to complete the **Work** as to which the **Contractor** was declared in default.

52.2 The provisions of this Chapter relating to declaring the **Contractor** in default as to the entire **Work** shall be equally applicable to a declaration of partial default, except that the **Commissioner** shall be entitled to utilize for completion of the part of the **Work** as to which the **Contractor** was declared in default only such plant, materials, equipment, tools, and supplies as had been previously used by the **Contractor** on such part.

ARTICLE 53. PERFORMANCE OF UNCOMPLETED WORK

53.1 In completing the whole or any part of the **Work** under the provisions of this Chapter X, the **Commissioner** shall have the power to depart from or change or vary the terms and provisions of this **Contract**, provided, however, that such departure, change or variation is made for the purpose of reducing the time or expense of such completion. Such departure, change or variation, even to the extent of accepting a lesser or different performance, shall not affect the conclusiveness of the **Commissioner's** certificate of the cost of completion referred to in Article 51, nor shall it constitute a defense to an action to recover the amount by which such certificate exceeds the amount which would have been payable to the **Contractor** hereunder but for its default.

ARTICLE 54. OTHER REMEDIES

54.1 In addition to the right to declare the **Contractor** in default pursuant to this Chapter X, the **Commissioner** shall have the absolute right, in his/her sole discretion and without a hearing, to complete or cause to be completed in the same manner as described in Articles 51 and 53, any or all unsatisfactory or uncompleted punch list **Work** that remains after the completion date specified in the **Final Approved Punch List**. A written notice of the exercise of this right shall be sent to the **Contractor** who shall immediately quit the **Site** in accordance with the provisions of Article 50.

54.2 The expense of completion permitted under Article 54.1, including any and all related and incidental costs, as so certified by the **Commissioner**, shall be charged against and deducted out of monies which have been earned by the **Contractor** prior to the date of the exercise of the right set forth in Article 54.1; the balance of such monies, if any, subject to the other provisions of this **Contract**, to be paid to the **Contractor** without interest after such completion. Should the expense of such completion, as certified by the **Commissioner**, exceed the total sum which would have been payable under the **Contract** if it had been completed by the **Contractor**, any excess shall be paid by the **Contractor**.

54.3 The previous provisions of this Chapter X shall be in addition to any and all other remedies available under **Law** or in equity.

54.4 The exercise by the **City** of any remedy set forth herein shall not be deemed a waiver by the **City** of any other legal or equitable remedy contained in this **Contract** or provided under **Law**.

**CHAPTER XI
MISCELLANEOUS PROVISIONS**

ARTICLE 55. CONTRACTOR'S WARRANTIES

55.1 In consideration of, and to induce, the award of this **Contract** to the **Contractor**, the **Contractor** represents and warrants:

55.1.1 That it is financially solvent, sufficiently experienced and competent to perform the **Work**; and

55.1.2 That the facts stated in its bid and the information given by it pursuant to the Information for Bidders is true and correct in all respects; and

55.1.3 That it has read and complied with all requirements set forth in the **Contract**.

ARTICLE 56. CLAIMS AND ACTIONS THEREON

56.1 Any claim, that is not subject to dispute resolution under the **PPB** Rules or this **Contract**, against the **City** for damages for breach of **Contract** shall not be made or asserted in any action, unless the **Contractor** shall have strictly complied with all requirements relating to the giving of notice and of information with respect to such claims, as herein before provided.

56.2 Nor shall any action be instituted or maintained on any such claims unless such action is commenced within six (6) months after **Substantial Completion**; except that:

56.2.1 Any claims arising out of events occurring after **Substantial Completion** and before **Final Acceptance** of the **Work** shall be asserted within six (6) months of **Final Acceptance** of the **Work**;

56.2.2 Any claims for monies deducted, retained or withheld under the provisions of this **Contract** shall be asserted within six (6) months after the date when such monies otherwise become due and payable hereunder; and

56.2.3 If the **Commissioner** exercises his/her right to terminate the **Contract** pursuant to Article 64, any such action shall be commenced within six (6) months of the date the **Commissioner** exercises said right.

ARTICLE 57. INFRINGEMENT

57.1 The **Contractor** shall be solely responsible for and shall defend, indemnify, and hold the **City** harmless from any and all claims (even if the allegations of the lawsuit are without merit) and judgments for damages and from costs and expenses to which the **City** may be subject to or which it may suffer or incur allegedly arising out of or in connection with any infringement by the **Contractor** of any copyright, trade secrets, trademark or patent rights or any other property or personal right of any third party by the **Contractor** and/or its **Subcontractors** in the performance or completion of the **Work**. Insofar as the facts or **Law** relating to any claim would preclude the **City** from being completely indemnified by the **Contractor**, the **City** shall be partially indemnified by the **Contractor** to the fullest extent permitted by **Law**.

ARTICLE 58. NO CLAIM AGAINST OFFICIALS, AGENTS OR EMPLOYEES

58.1 No claim whatsoever shall be made by the **Contractor** against any official, agent or employee of the City for, or on account of, anything done or omitted to be done in connection with this **Contract**.

ARTICLE 59. SERVICE OF NOTICES

59.1 The **Contractor** hereby designates the business address, fax number, and email address specified in its bid, as the place where all notices, directions or other communications to the **Contractor** may be delivered, or to which they may be mailed. Any notice, direction, or communication from either party to the other shall be in writing and shall be deemed to have been given when (i) delivered personally; (ii) sent by certified mail, return receipt requested; (iii) delivered by overnight or same day courier service in a properly addressed envelope with confirmation; or (iv) sent by fax or email and, unless receipt of the fax or e-mail is acknowledged by the recipient by fax or e-mail, deposited in a post office box regularly maintained by the United States Postal Service in a properly addressed, postage pre-paid envelope.

59.2 **Contractor's** notice address, email address, or fax number may be changed at any time by an instrument in writing, executed and acknowledged by the **Contractor**, and delivered to the **Commissioner**.

59.3 Nothing herein contained shall, however, be deemed to preclude or render inoperative the service of any notice, direction or other communication upon the **Contractor** personally, or, if the **Contractor** is a corporation, upon any officer thereof.

ARTICLE 60. UNLAWFUL PROVISIONS DEEMED STRICKEN FROM CONTRACT

60.1 If this **Contract** contains any unlawful provision not an essential part of the **Contract** and which shall not appear to have been a controlling or material inducement to the making thereof, the same shall be deemed of no effect and shall, upon notice by either party, be deemed stricken from the **Contract** without affecting the binding force of the remainder.

ARTICLE 61. ALL LEGAL PROVISIONS DEEMED INCLUDED

61.1 It is the intent and understanding of the parties to this **Contract** that each and every provision of **Law** required to be inserted in this **Contract** shall be and is inserted herein. Furthermore, it is hereby stipulated that every such provision is to be deemed to be inserted herein, and if, through mistake or otherwise, any such provision is not inserted, or is not inserted in correct form, then this **Contract** shall forthwith upon the application of either party be amended by such insertion so as to comply strictly with the **Law** and without prejudice to the rights of either party hereunder.

ARTICLE 62. TAX EXEMPTION

62.1 The **City** is exempt from payment of Federal, State, and local taxes, including sales and compensating use taxes of the State of New York and its cities and counties on all tangible personal property sold to the **City** pursuant to the provisions of this **Contract**. These taxes are not to be included in bids. However, this exemption does not apply to tools, machinery, equipment or other property leased by or to the **Contractor**, **Subcontractor** or **Materialman** or to tangible personal property which, even

though it is consumed, is not incorporated into the completed **Work** (consumable supplies) and tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work**. The **Contractor** and its **Subcontractors** and **Materialmen** shall be responsible for and pay any and all applicable taxes, including sales and compensating use taxes, on such leased tools, machinery, equipment or other property and upon all such consumable supplies and tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work**.

62.2 The **Contractor** agrees to sell and the **City** agrees to purchase all tangible personal property, other than consumable supplies and other tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work**, that is required, necessary or proper for or incidental to the construction of the **Project** covered by this **Contract**. The sum paid under this **Contract** for such tangible personal property shall be in full payment and consideration for the sale of such tangible personal property.

62.2.1 The **Contractor** agrees to construct the **Project** and to perform all **Work**, labor and services rendered, necessary, proper or incidental thereto for the sum shown in the bid for the performance of such **Work**, labor, and services, and the sum so paid pursuant to this **Contract** for such **Work**, labor, and services, shall be in full consideration for the performance by the **Contractor** of all its duties and obligations under this **Contract** in connection with said **Work**, labor, and services.

62.3 20 NYCRR Section 541.3(d) provides that a **Contractor's** purchases of tangible personal property that is either incorporated into real property owned by a governmental entity or purchased for and sold to a governmental entity are exempt from sales and use tax. The **City** shall not pay sales tax for any such tangible personal property that it purchases from the **Contractor** pursuant to the **Contract**. With respect to such tangible personal property, the **Contractor**, at the request of the **City**, shall furnish to the **City** such bills of sale and other instruments as may be required by the **City**, properly executed, acknowledged and delivered assuring to the **City** title to such tangible personal property, free of liens and/or encumbrances, and the **Contractor** shall mark or otherwise identify all such tangible personal property as the property of the **City**.

62.4 Title to all tangible personal property to be sold by the **Contractor** to the **City** pursuant to the provisions of the **Contract** shall immediately vest in and become the sole property of the **City** upon delivery of such tangible personal property to the **Site**. Notwithstanding such transfer of title, the **Contractor** shall have the full and continuing responsibility to install such tangible personal property in accordance with the provisions of this **Contract**, protect it, maintain it in a proper condition and forthwith repair, replace and make good any damage thereto, theft or disappearance thereof, and furnish additional tangible personal property in place of any that may be lost, stolen or rendered unusable, without cost to the **City**, until such time as the **Work** covered by the **Contract** is fully accepted by the **City**. Such transfer of title shall in no way affect any of the **Contractor's** obligations hereunder. In the event that, after title has passed to the **City**, any of the tangible personal property is rejected as being defective or otherwise unsatisfactory, title to all such tangible personal property shall be deemed to have been transferred back to the **Contractor**.

62.5 The purchase by **Subcontractors** or **Materialmen** of tangible personal property to be sold hereunder shall be a purchase or procurement for resale to the **Contractor** (either directly or through other **Subcontractors**) and therefore not subject to the aforesaid sales and compensating use taxes, provided that the subcontracts and purchase agreements provide for the resale of such tangible personal property and that such subcontracts and purchase agreements are in a form similar to this **Contract** with respect to the separation of the sale of consumable supplies and tangible personal property that the **Contractor** is required to remove from the **Site** during or upon completion of the **Work** from the **Work** and labor, services, and any other matters to be provided, and provided further that the subcontracts and

purchase agreements provide separate prices for tangible personal property and all other services and matters. Such separation shall actually be followed in practice, including the separation of payments for tangible personal property from the payments for other **Work** and labor and other things to be provided.

62.6 The **Contractor** and its **Subcontractors** and **Materialmen** shall furnish a **Contractor Exempt Purchase Certificate** to all persons, firms or corporations from which they purchase tangible personal property for the performance of the **Work** covered by this **Contract**.

62.7 In the event any of the provisions of this Article 62 shall be deemed to be in conflict with any other provisions of this **Contract** or create any ambiguity, then the provisions of this Article 62 shall control.

ARTICLE 63. INVESTIGATION(S) CLAUSE

63.1 The parties to this **Contract** agree to cooperate fully and faithfully with any investigation, audit or inquiry conducted by a United States, a State of New York (State) or a **City** governmental agency or authority that is empowered directly or by designation to compel the attendance of witnesses and to examine witnesses under oath, or conducted by the Inspector General of a governmental agency that is a party in interest to the transaction, submitted bid, submitted proposal, contract, lease, permit or license that is the subject of the investigation, audit or inquiry.

63.2 If any person who has been advised that his/her statement, and any information from such statement, will not be used against him/her in any subsequent criminal proceeding refuses to testify before a grand jury or other governmental agency or authority empowered directly or by designation to compel the attendance of witnesses and to examine witnesses under oath concerning the award of or performance under any transaction, agreement, lease, permit, contract, or license entered into with the **City**, the State, or any political subdivision or public authority thereof, or the Port Authority of New York and New Jersey, or any local development corporation within the **City**, or any public benefit corporation organized under the **Laws** of the State of New York, or;

63.3 If any person refuses to testify for a reason other than the assertion of his/her privilege against self incrimination in an investigation, audit or inquiry conducted by a **City** or State governmental agency or authority empowered directly or by designation to compel the attendance of witnesses and to take testimony under oath, or by the Inspector General of the governmental agency that is a party in interest in, and is seeking testimony concerning the award of, or performance under any transaction, agreement, lease, permit, contract, or license entered into with the **City**, the State, or any political subdivision thereof or any local development corporation within the **City**, then;

63.4 The **Commissioner** whose **Agency** is a party in interest to the transaction, submitted bid, submitted proposal, contract, lease, permit, or license shall convene a hearing, upon not less than five (5) **Days'** written notice to the parties involved to determine if any penalties should attach for the failure of a person to testify.

63.5 If any non-governmental party to the hearing requests an adjournment, the **Commissioner** who convened the hearing may, upon granting the adjournment, suspend any contract, lease, permit, or license, pending the final determination pursuant to Article 63.7 without the **City** incurring any penalty or damages for delay or otherwise.

63.6 The penalties which may attach after a final determination by the **Commissioner** may include but shall not exceed:

63.6.1 The disqualification for a period not to exceed five (5) years from the date of an adverse determination for any person, or any entity of which such person was a member at the time the testimony was sought, from submitting bids for, or transacting business with, or entering into or obtaining any contract, lease, permit or license with or from the **City**; and/or

63.6.2 The cancellation or termination of any and all such existing **City** contracts, leases, permits or licenses that the refusal to testify concerns and that have not been assigned as permitted under this **Contract**, nor the proceeds of which pledged, to an unaffiliated and unrelated institutional lender for fair value prior to the issuance of the notice scheduling the hearing, without the **City** incurring any penalty or damages on account of such cancellation or termination; monies lawfully due for goods delivered, work done, rentals, or fees accrued prior to the cancellation or termination shall be paid by the **City**.

63.7 The **Commissioner** shall consider and address in reaching his/her determination and in assessing an appropriate penalty the factors in Articles 63.7.1 and 63.7.2. The **Commissioner** may also consider, if relevant and appropriate, the criteria established in Articles 63.7.3 and 63.7.4, in addition to any other information which may be relevant and appropriate:

63.7.1 The party's good faith endeavors or lack thereof to cooperate fully and faithfully with any governmental investigation or audit, including but not limited to the discipline, discharge, or disassociation of any person failing to testify, the production of accurate and complete books and records, and the forthcoming testimony of all other members, agents, assignees or fiduciaries whose testimony is sought.

63.7.2 The relationship of the person who refused to testify to any entity that is a party to the hearing, including but not limited to, whether the person whose testimony is sought has an ownership interest in the entity and/or the degree of authority and responsibility the person has within the entity.

63.7.3 The nexus of the testimony sought to the subject entity and its contracts, leases, permits or licenses with the **City**.

63.7.4 The effect a penalty may have on an unaffiliated and unrelated party or entity that has a significant interest in an entity subject to penalties under Article 63.6, provided that the party or entity has given actual notice to the **Commissioner** upon the acquisition of the interest, or at the hearing called for in Article 63.4, gives notice and proves that such interest was previously acquired. Under either circumstance the party or entity shall present evidence at the hearing demonstrating the potential adverse impact a penalty will have on such person or entity.

63.8 Definitions:

63.8.1 The term "license" or "permit" as used in this Article 63 shall be defined as a license, permit, franchise or concession not granted as a matter of right.

63.8.2 The term "person" as used in this Article 63 shall be defined as any natural person doing business alone or associated with another person or entity as a partner, director, officer, principal or employee.

63.8.3 The term "entity" as used in this Article 63 shall be defined as any firm, partnership, corporation, association, joint venture, or person that receives monies, benefits, licenses, leases, or permits from or through the **City** or otherwise transacts business with the **City**.

63.8.4 The term "member" as used in this Article 63 shall be defined as any person associated with another person or entity as a partner, director, officer, principal or employee.

63.9 In addition to and notwithstanding any other provision of this **Contract**, the **Commissioner** may in his/her sole discretion terminate this **Contract** upon not less than three (3) **Days**' written notice in the event the **Contractor** fails to promptly report in writing to the **Commissioner** of the Department of Investigations ("DOI") of the **City** any solicitation of money, goods, requests for future employment or other benefit or thing of value, by or on behalf of any employee of the **City** or other person, firm, corporation or entity for any purpose which may be related to the procurement or obtaining of this **Contract** by the **Contractor**, or affecting the performance of this **Contract**.

ARTICLE 64. TERMINATION BY THE CITY

64.1 In addition to termination pursuant to any other article of this **Contract**, the **Commissioner** may, at any time, terminate this **Contract** by written notice to the **Contractor**. In the event of termination, the **Contractor** shall, upon receipt of such notice, unless otherwise directed by the **Commissioner**:

64.1.1 Stop **Work** on the date specified in the notice;

64.1.2 Take such action as may be necessary for the protection and preservation of the **City's** materials and property;

64.1.3 Cancel all cancelable orders for material and equipment;

64.1.4 Assign to the **City** and deliver to the **Site** or another location designated by the **Commissioner**, any non-cancelable orders for material and equipment that is not capable of use except in the performance of this **Contract** and has been specifically fabricated for the sole purpose of this **Contract** and not incorporated in the **Work**;

64.1.5 Take no action which will increase the amounts payable by the **City** under this **Contract**.

64.2 In the event of termination by the **City** pursuant to this Article 64, payment to the **Contractor** shall be in accordance with Articles 64.2.1, 64.2.2 or 64.2.3, to the extent that each respective article applies.

64.2.1 Lump Sum Contracts or Items: On all lump sum **Contracts**, or on lump sum items in a **Contract**, the **City** will pay the **Contractor** the sum of the amounts described in Articles 64.2.1(a) and 64.2.1(b), less all payments previously made pursuant to this **Contract**. On lump sum **Contracts** only, the **City** will also pay the **Contractor** an additional sum as provided in Article 64.2.1(c).

64.2.1(a) For **Work** completed prior to the notice of termination, the **Contractor** shall be paid a pro rata portion of the lump sum bid amount, plus approved change orders, based upon the percent completion of the **Work**, as determined by the **Commissioner**. For the purpose of determining the pro rata portion of the lump sum bid amount to which the **Contractor** is entitled, the bid breakdown submitted in accordance with Article 41 shall be considered, but shall not be dispositive. The **Commissioner's** determination hereunder shall be final, binding, and conclusive.

64.2.1(b) For non-cancelable material and equipment that is not capable of use except in the performance of this **Contract** and has been specifically fabricated for the sole purpose of this **Contract**, but not yet incorporated in the **Work**, the **Contractor** shall be paid the lesser of the following, less salvage value:

64.2.1(b)(i) The Direct Cost, as defined in Article 64.2.4; or

64.2.1(b)(ii) The fair and reasonable value, if less than Direct Cost, of such material and equipment, plus necessary and reasonable delivery costs.

64.2.1(b)(iii) In addition, the **Contractor** shall be paid five (5%) percent of the amount described in Article 64.2.1(b)(i) or Article 64.2.1(b)(ii), whichever applies.

64.2.1(c) Except as otherwise provided in Article 64.2.1(d), on all lump sum **Contracts**, the **Contractor** shall be paid the percentage indicated below applied to the difference between the total lump sum bid amount and the total of all payments made prior to the notice of termination plus all payments allowed pursuant to Articles 64.2.1(a) and 64.2.1(b):

64.2.1(c)(i) Five (5%) percent of the first five million (\$5,000,000) dollars; and

64.2.1(c)(ii) Three (3%) percent of any amount between five million (\$5,000,000) dollars and fifteen million (\$15,000,000) dollars; plus

64.2.1(c)(iii) One (1%) percent of any amount over fifteen million (\$15,000,000) dollars.

64.2.1(d) In the event the **City** terminates a lump sum **Contract** pursuant to this Article 64 within ninety (90) **Days** after registration of the **Contract** with the **Comptroller**, the **Contractor** shall be paid one (1%) percent of the difference between the lump sum bid amount and the total of all payments made pursuant to this Article 64.2.

64.2.2 Unit Price Contracts or Items: On all unit price **Contracts**, or on unit price items in a **Contract**, the **City** will pay the **Contractor** the sum of the amounts described in Articles 64.2.2(a) and 64.2.2(b), less all payments previously made pursuant to this **Contract**:

64.2.2(a) For all completed units, the unit price stated in the **Contract**, and

64.2.2(b) For units that have been ordered but are only partially completed, the **Contractor** will be paid:

64.2.2(b)(i) A pro rata portion of the unit price stated in the **Contract** based upon the percent completion of the unit and

64.2.2(b)(ii) For non-cancelable material and equipment, payment will be made pursuant to Article 64.2.1(b).

64.2.3 Time and Materials Contracts or Items Based on Time and Material Records: On all **Contracts** or items in a **Contract** where payment for the **Work** is based on time and

material records, the **Contractor** shall be paid in accordance with Article 26, less all payments previously made pursuant to this **Contract**.

64.2.4 Direct Costs: Direct Costs as used in this Article 64.2 shall mean:

64.2.4(a) The actual purchase price of material and equipment, plus necessary and reasonable delivery costs,

64.2.4(b) The actual cost of labor involved in construction and installation at the **Site**, and

64.2.4(c) The actual cost of necessary bonds and insurance purchased pursuant to requirements of this **Contract** less any amounts that have been or should be refunded by the **Contractor's** sureties or insurance carriers.

64.2.4(d) Direct Costs shall not include overhead.

64.3 In no event shall any payments under this Article 64 exceed the **Contract** price for such items.

64.4 All payments pursuant to Article 64 shall be in the nature of liquidated damages and shall be accepted by the **Contractor** in full satisfaction of all claims against the **City**.

64.5 The **City** may deduct or set off against any sums due and payable pursuant to this Article 64, any deductions authorized by this **Contract** or by **Law** (including but not limited to liquidated damages) and any claims it may have against the **Contractor**. The **City's** exercise of the right to terminate the **Contract** pursuant to this Article 64 shall not impair or otherwise effect the **City's** right to assert any claims it may have against the **Contractor** in a plenary action.

64.6 Where the **Work** covered by the **Contract** has been substantially completed, as determined in writing by the **Commissioner**, termination of the **Work** shall be handled as an omission of **Work** pursuant to Articles 29 and 33, in which case a change order will be issued to reflect an appropriate reduction in the **Contract** sum, or if the amount is determined after final payment, such amount shall be paid by the **Contractor**.

ARTICLE 65. CHOICE OF LAW, CONSENT TO JURISDICTION AND VENUE

65.1 This **Contract** shall be deemed to be executed in the **City** regardless of the domicile of the **Contractor**, and shall be governed by and construed in accordance with the **Laws** of the State of New York and the **Laws** of the United States, where applicable.

65.2 The parties agree that any and all claims asserted against the **City** arising under this **Contract** or related thereto shall be heard and determined in the courts of the State of New York ("New York State Courts") located in the **City** and County of New York. To effect this **Contract** and intent, the **Contractor** agrees:

65.2.1 If the **City** initiates any action against the **Contractor** in Federal court or in a New York State Court, service of process may be made on the **Contractor** either in person, wherever such **Contractor** may be found, or by registered mail addressed to the **Contractor** at its address as set forth in this **Contract**, or to such other address as the **Contractor** may provide to the **City** in writing; and

65.2.2 With respect to any action between the **City** and the **Contractor** in a New York State Court, the **Contractor** hereby expressly waives and relinquishes any rights it might otherwise have:

65.2.2(a) To move to dismiss on grounds of forum non conveniens;

65.2.2(b) To remove to Federal Court; and

65.2.2(c) To move for a change of venue to a New York State Court outside New York County.

65.2.3 With respect to any action brought by the **City** against the **Contractor** in a Federal Court located in the **City**, the **Contractor** expressly waives and relinquishes any right it might otherwise have to move to transfer the action to a Federal Court outside the **City**.

65.2.4 If the **Contractor** commences any action against the **City** in a court located other than in the **City** and County of New York, upon request of the **City**, the **Contractor** shall either consent to a transfer of the action to a New York State Court of competent jurisdiction located in the **City** and County of New York or, if the Court where the action is initially brought will not or cannot transfer the action, the **Contractor** shall consent to dismiss such action without prejudice and may thereafter reinstate the action in a New York State Court of competent jurisdiction in New York County.

65.3 If any provision(s) of this Article 65 is held unenforceable for any reason, each and all other provision(s) shall nevertheless remain in full force and effect.

ARTICLE 66. PARTICIPATION IN AN INTERNATIONAL BOYCOTT

66.1 The **Contractor** agrees that neither the **Contractor** nor any substantially owned affiliated company is participating or shall participate in an international boycott in violation of the provisions of the Federal Export Administration Act of 1979, as amended, or the regulations of the United States Department of Commerce (Commerce Department) promulgated thereunder.

66.2 Upon the final determination by the Commerce Department or any other agency of the United States as to, or conviction of the **Contractor** or a substantially-owned affiliated company thereof for participation in an international boycott in violation of the provisions of the Export Administration Act of 1979, as amended, or the regulations promulgated thereunder, the **Comptroller** may, at his/her option, render forfeit and void this **Contract**.

66.3 The **Contractor** shall comply in all respects, with the provisions of Section 6-114 of the Administrative Code and the rules and regulations issued by the **Comptroller** thereunder.

ARTICLE 67. LOCALLY BASED ENTERPRISE PROGRAM

67.1 This **Contract** is subject to the requirements of Section 6-108.1 of the Administrative Code and regulations promulgated thereunder. No construction contract shall be awarded unless and until these requirements have been complied with in their entirety; however, compliance with this Article 67 is not required if the Agency sets Subcontractor Participation Goals for Minority- and Women-Owned Business Enterprises (M/WBEs).

67.2 Unless specifically waived by the **Commissioner** with the approval of the Division of Economic and Financial Opportunity of the **City Department of Business Services**, if any portion of the **Contract** is subcontracted, not less than ten (10%) percent of the total dollar amount of the **Contract** shall be awarded to locally based enterprises (LBEs); except that where less than ten (10%) percent of the total dollar amount of the **Contract** is subcontracted, such lesser percentage shall be so awarded.

67.3 The **Contractor** shall not require performance and payment bonds from LBE **Subcontractors**.

67.4 If the **Contractor** has indicated prior to award that no **Work** will be subcontracted, no **Work** shall be subcontracted without the prior approval of the **Commissioner**, which shall be granted only if the **Contractor** makes a good faith effort beginning at least six (6) weeks before the **Work** is to be performed to obtain LBE **Subcontractors** to perform the **Work**.

67.5 If the **Contractor** has not identified sufficient LBE **Subcontractors** prior to award, it shall sign a letter of compliance stating that it complies with Section 6-108.1 of the Administrative Code, recognizes that achieving the LBE requirement is a condition of its **Contract**, and shall submit documentation demonstrating its good faith efforts to obtain LBEs. After award, the **Contractor** shall begin to solicit LBE's to perform subcontracted **Work** at least six (6) weeks before the date such **Work** is to be performed and shall demonstrate that a good faith effort has been made to obtain LBEs on each subcontract until it meets the required percentage.

67.6 Failure of the **Contractor** to comply with the requirements of Section 6-108.1 of the Administrative Code and the regulations promulgated thereunder shall constitute a material breach of this **Contract**. Remedy for such breach may include the imposition of any or all of the following sanctions:

67.6.1 Reducing the **Contractor's** compensation by an amount equal to the dollar value of the percentage of the LBE subcontracting requirement not complied with;

67.6.2 Declaring the **Contractor** in default;

67.6.3 If the **Contractor** is an LBE, de-certifying and declaring the **Contractor** ineligible to participate in the LBE program for a period of up to three (3) years.

ARTICLE 68. ANTITRUST

68.1 The **Contractor** hereby assigns, sells, and transfers to the **City** all right, title, and interest in and to any claims and causes of action arising under the antitrust **Laws** of New York State or of the United States relating to the particular goods or services purchased or procured by the **City** under this **Contract**.

ARTICLE 69. MacBRIDE PRINCIPLES PROVISIONS

69.1 Notice To All Prospective **Contractors**:

69.1.1 Local Law No. 34 of 1991 became effective on September 10, 1991 and added Section 6-115.1 of the Administrative Code. The local **Law** provides for certain restrictions on **City Contracts** to express the opposition of the people of the **City** to employment discrimination practices in Northern Ireland to promote freedom of work-place opportunity.

69.1.2 Pursuant to Section 6-115.1, prospective **Contractors** for **Contracts** to provide goods or services involving an expenditure of an amount greater than ten thousand

(\$10,000.) dollars, or for construction involving an amount greater than fifteen thousand (\$15,000.) dollars, are asked to sign a rider in which they covenant and represent, as a material condition of their **Contract**, that any business operations in Northern Ireland conducted by the **Contractor** and any individual or legal entity in which the **Contractor** holds a ten (10%) percent or greater ownership interest in the **Contractor** will be conducted in accordance with the MacBride Principles of nondiscrimination in employment.

69.1.3 Prospective **Contractors** are not required to agree to these conditions. However, in the case of **Contracts** let by competitive sealed bidding, whenever the lowest responsible bidder has not agreed to stipulate to the conditions set forth in this notice and another bidder who has agreed to stipulate to such conditions has submitted a bid within five (5%) percent of the lowest responsible bid for a **Contract** to supply goods, services or construction of comparable quality, the **Agency** shall refer such bids to the Mayor, the Speaker or other officials, as appropriate, who may determine, in accordance with applicable **Law**, that it is in the best interest of the **City** that the **Contract** be awarded to other than the lowest responsible pursuant to Section 313(b)(2) of the **City Charter**.

69.1.4 In the case of **Contracts** let by other than competitive sealed bidding, if a prospective **Contractor** does not agree to these conditions, no **Agency**, elected official or the **City Council** shall award the **Contract** to that bidder unless the **Agency** seeking to use the goods, services or construction certifies in writing that the **Contract** is necessary for the **Agency** to perform its functions and there is no other responsible **Contractor** who will supply goods, services or construction of comparable quality at a comparable price.

69.2 In accordance with Section 6-115.1 of the Administrative Code, the **Contractor** stipulates that such **Contractor** and any individual or legal entity in which the **Contractor** holds a ten (10%) percent or greater ownership interest in the **Contractor** either:

69.2.1 Have no business operations in Northern Ireland, or

69.2.2 Shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Principles, and shall permit independent monitoring of their compliance with such principles.

69.3 For purposes of this Article, the following terms shall have the following meanings:

69.3.1 "MacBride Principles" shall mean those principles relating to nondiscrimination in employment and freedom of work-place opportunity which require employers doing business in Northern Ireland to:

69.3.1(a) increase the representation of individuals from under-represented religious groups in the workforce, including managerial, supervisory, administrative, clerical and technical jobs;

69.3.1(b) take steps to promote adequate security for the protection of employees from under-represented religious groups both at the work-place and while traveling to and from **Work**;

69.3.1(c) ban provocative religious or political emblems from the workplace;

69.3.1(d) publicly advertise all job openings and make special recruitment efforts to attract applicants from under-represented religious groups;

69.3.1(e) establish layoff, recall, and termination procedures which do not in practice favor a particular religious group;

69.3.1(f) abolish all job reservations, apprenticeship restrictions and different employment criteria which discriminate on the basis of religion;

69.3.1(g) develop training programs that will prepare substantial numbers of current employees from under-represented religious groups for skilled jobs, including the expansion of existing programs and the creation of new programs to train, upgrade, and improve the skills of workers from under-represented religious groups;

69.3.1(h) establish procedures to assess, identify, and actively recruit employees from under-represented religious groups with potential for further advancement; and

69.3.1(i) appoint a senior management staff member to oversee affirmative action efforts and develop a timetable to ensure their full implementation.

69.4 The **Contractor** agrees that the covenants and representations in Article 69.2 are material conditions to this **Contract**. In the event the **Agency** receives information that the **Contractor** who made the stipulation required by this Article 69 is in violation thereof, the **Agency** shall review such information and give the **Contractor** an opportunity to respond. If the **Agency** finds that a violation has occurred, the **Agency** shall have the right to declare the **Contractor** in default and/or terminate this **Contract** for cause and procure supplies, services or **Work** from another source in the manner the **Agency** deems proper. In the event of such termination, the **Contractor** shall pay to the **Agency**, or the **Agency** in its sole discretion may withhold from any amounts otherwise payable to the **Contractor**, the difference between the **Contract** price for the uncompleted portion of this **Contract** and the cost to the **Agency** of completing performance of this **Contract** either itself or by engaging another **Contractor** or **Contractors**. In the case of a requirement **Contract**, the **Contractor** shall be liable for such difference in price for the entire amount of supplies required by the **Agency** for the uncompleted term of **Contractor's Contract**. In the case of a construction **Contract**, the **Agency** shall also have the right to hold the **Contractor** in partial or total default in accordance with the default provisions of this **Contract**, and/or may seek debarment or suspension of the **Contractor**. The rights and remedies of the **Agency** hereunder shall be in addition to, and not in lieu of, any rights and remedies the **Agency** has pursuant to this **Contract** or by operation of **Law**.

ARTICLE 70. ELECTRONIC FILING/NYC DEVELOPMENT HUB

70.1 The **Contractor** shall electronically file all alteration type-2 and alteration type-3 applications via the New York City Development Hub Web site, except applications for the following types of minor alterations: enlargements, curb cuts, legalizations, fire alarms, builders pavement plans, and jobs filed on Landmark Preservation Commission calendared properties. All such filings must be professionally certified. Information about electronic filing via the New York City Development Hub is available on the City Department of Buildings Web site at www.nyc.gov/buildings.

ARTICLE 71. PROHIBITION OF TROPICAL HARDWOODS

71.1 Tropical hardwoods, as defined in Section 165 of the New York State Finance Law (Finance Law), shall not be utilized in the performance of this **Contract** except as expressly permitted by Section 165 of the Finance Law.

ARTICLE 72. CONFLICTS OF INTEREST

72.1 Section 2604 of the City Charter and other related provisions of the City Charter, the Administrative Code, and the Penal Law are applicable under the terms of this Contract in relation to conflicts of interest and shall be extended to Subcontractors authorized to perform Work, labor and services pursuant to this Contract and further, it shall be the duty and responsibility of the Contractor to so inform its respective Subcontractors. Notice is hereby given that, under certain circumstances, penalties may be invoked against the donor as well as the recipient of any form of valuable gift.

ARTICLE 73. MERGER CLAUSE

73.1 The written Contract herein, contains all the terms and conditions agreed upon by the parties hereto, and no other agreement, oral or otherwise, regarding the subject matter of this Contract shall be deemed to exist or to bind any of the parties hereto, or to vary any of the terms contained herein.

ARTICLE 74. STATEMENT OF WORK

74.1 The Contractor shall furnish all labor and materials and perform all Work in strict accordance with the Specifications and Addenda thereto, numbered 5.

ARTICLE 75. COMPENSATION TO BE PAID TO CONTRACTOR

75.1 The City will pay and the Contractor will accept in full consideration for the performance of the Contract, subject to additions and deductions as provided herein, the total sum of: Two million Three Dollars, (\$2,321,534.14), this said sum being the amount at which the Contract was awarded to the Contractor at a public letting thereof, based upon the Contractor's bid for the Contract.

Hundred Thousand Twenty-one, Five Hundred Thirty Four and 14/100

ARTICLE 76. ELECTRONIC FUNDS TRANSFER

76.1 In accordance with Section 6-107.1 of the Administrative Code, the Contractor agrees to accept payments under this Contract from the City by electronic funds transfer (EFT). An EFT is any transfer of funds, other than a transaction originated by check, draft or similar paper instrument, which is initiated through an electronic terminal, telephonic instrument or computer or magnetic tape so as to order, instruct or authorize a financial institution to debit or credit an account. Prior to the first payment made under this Contract, the Contractor shall designate one financial institution or other authorized payment agent and shall complete the attached "EFT Vendor Payment Enrollment Form" in order to provide the Commissioner of the City Department of Finance with information necessary for the Contractor to receive electronic funds transfer payments through a designated financial institution or authorized payment agent. The crediting of the amount of a payment to the appropriate account on the books of a financial institution or other authorized payment agent designated by the Contractor shall constitute full satisfaction by the City for the amount of the payment under this Contract. The account information supplied by the Contractor to facilitate the electronic funds transfer shall remain confidential to the fullest extent provided by Law.

76.2 The Commissioner may waive the application of the requirements of this Article 76 to payments on contracts entered into pursuant to Section 315 of the City Charter. In addition, the Commissioner of the Department of Finance and the Comptroller may jointly issue standards pursuant to

which the **Agency** may waive the requirements of this Article 76 for payment in the following circumstances: (i) for individuals or classes of individuals for whom compliance imposes a hardship; (ii) for classifications or types of checks; or (iii) in other circumstances as may be necessary in the interest of the **City**.

ARTICLE 77. RECORDS RETENTION

77.1 The **Contractor** agrees to retain all books, records, and other documents relevant to this **Contract** for six years after the final payment or termination of this **Contract**, whichever is later. **City**, state, and federal auditors and any other persons duly authorized by the **City** shall have full access to and the right to examine any such books, records, and other documents during the retention period.

ARTICLE 78. PARTICIPATION BY MINORITY-OWNED AND WOMEN-OWNED BUSINESS ENTERPRISES IN CITY PROCUREMENT

NOTICE TO ALL PROSPECTIVE CONTRACTORS

ARTICLE I. M/WBE PROGRAM

Local Law No. 129 of 2005 added and Local Law 1 of 2013 amended Section 6-129 of the Administrative Code of the City of New York (hereinafter "Section 6-129"). Section 6-129 establishes the program for participation in City procurement ("M/WBE Program") by minority- owned business enterprises ("MBEs") and women-owned business enterprises ("WBEs"), certified in accordance with Section 1304 of the New York City Charter. As stated in Section 6-129, the intent of the program is to address the impact of discrimination on the City's procurement process, and to promote the public interest in avoiding fraud and favoritism in the procurement process, increasing competition for City business, and lowering contract costs. The contract provisions contained herein are pursuant to Section 6-129, and the rules of the Department of Small Business Services ("DSBS") promulgated thereunder.

If this Contract is subject to the M/WBE Program established by Section 6-129, the specific requirements of MBE and/or WBE participation for this Contract are set forth in Schedule B of the Contract (entitled the "M/WBE Utilization Plan"), and are detailed below. The Contractor must comply with all applicable MBE and WBE requirements for this Contract.

All provisions of Section 6-129 are hereby incorporated in the Contract by reference and all terms used herein that are not defined herein shall have the meanings given such terms in Section 6-129. Article I, Part A, below, sets forth provisions related to the participation goals for construction, standard and professional services contracts. Article I, Part B, below, sets forth miscellaneous provisions related to the M/WBE Program.

PART A

PARTICIPATION GOALS FOR CONSTRUCTION, STANDARD AND PROFESSIONAL SERVICES CONTRACTS OR TASK ORDERS

1. The **MBE and/or WBE Participation Goals** established for this Contract or Task Orders issued pursuant to this Contract, ("**Participation Goals**"), as applicable, are set forth on Schedule B, Part I to this Contract (see Page 1, line 1 Total Participation Goals) or will be set forth on Schedule B, Part I to Task Orders issued pursuant to this Contract, as applicable.

The **Participation Goals** represent a percentage of the total dollar value of the Contract or Task Order, as applicable, that may be achieved by awarding subcontracts to firms certified with New York City Department of Small Business Services as MBEs and/or WBEs, and/or by crediting the participation of prime contractors and/or qualified joint ventures as provided in Section 3 below, unless the goals have been waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

2. If **Participation Goals** have been established for this Contract or Task Orders issued pursuant to this Contract, Contractor agrees or shall agree as a material term of the Contract that Contractor shall be subject to the **Participation Goals**, unless the goals are waived or modified by Agency in accordance with Section 6-129 and Part A, Sections 10 and 11 below, respectively.

3. If **Participation Goals** have been established for this Contract or Task Order issued pursuant to this Contract, a Contractor that is an MBE and/or WBE shall be permitted to count its own participation toward fulfillment of the relevant **Participation Goal**, provided that in accordance with Section 6-129 the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that the Contractor pays to direct subcontractors (as defined in Section 6-129(c)(13)), and provided further that a Contractor that is certified as both an MBE and a WBE may count its own participation either toward the goal for MBEs or the goal for WBEs, but not both.

A Contractor that is a qualified joint venture (as defined in Section 6-129(c)(30)) shall be permitted to count a percentage of its own participation toward fulfillment of the relevant **Participation Goal**. In accordance with Section 6-129, the value of Contractor's participation shall be determined by subtracting from the total value of the Contract or Task Order, as applicable, any amounts that Contractor pays to direct subcontractors, and then multiplying the remainder by the percentage to be applied to total profit to determine the amount to which an MBE or WBE is entitled pursuant to the joint venture agreement, provided that where a participant in a joint venture is certified as both an MBE and a WBE, such amount shall be counted either toward the goal for MBEs or the goal for WBEs, but not both.

4. A. If **Participation Goals** have been established for this Contract, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Utilization Plan, Part II (see Pages 2-4) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; and (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end. In the event that this M/WBE Utilization Plan indicates that the bidder or proposer, as applicable, does not intend to meet the **Participation Goals**, the bid or proposal, as applicable, shall be deemed non-responsive, unless Agency has granted the bidder or proposer, as applicable, a pre- award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.

B. (i) If this Contract is for a master services agreement or other requirements type contract that will result in the issuance of Task Orders that will be individually registered ("Master Services Agreement") and is subject to M/WBE **Participation Goals**, a prospective contractor shall be required to submit with its bid or proposal, as applicable, a completed Schedule B, M/WBE Participation Requirements for Master Services Agreements That Will Require Individually Registered Task Orders, Part II (page 2) indicating the prospective contractor's certification and required affirmations to make all reasonable good faith efforts to meet participation goals established on each individual Task Order issued pursuant to this Contract, or if a partial waiver is obtained or such goals are modified by the Agency, to meet the modified **Participation Goals** by soliciting and obtaining the participation of certified MBE and/or WBE firms. In the event that the Schedule B indicates that the bidder or proposer, as applicable, does not intend to meet the **Participation Goals** that may be established on Task Orders issued pursuant to this Contract, the bid or proposal, as applicable, shall be deemed nonresponsive.

(ii) **Participation Goals** on a Master Services Agreement will be established for individual Task Orders issued after the Master Services Agreement is awarded. If **Participation Goals** have been established on a Task Order, a contractor shall be required to submit a Schedule B – M/WBE Utilization Plan For Independently Registered Task Orders That Are Issued Pursuant to Master Services Agreements, Part II (see Pages 2-4) indicating: (a) whether the contractor is an MBE or WBE, or qualified joint venture; (b) the percentage of work it intends to award to direct subcontractors; and (c) in cases where the contractor intends to award direct subcontracts, a description of the type and dollar value of work designated for participation by MBEs and/or WBEs, and the time frames in which such work is scheduled to begin and end. The contractor must engage in good faith efforts to meet the **Participation Goals** as established for the Task Order unless Agency has granted the contractor a pre-award waiver of the Participation Goals in accordance with Section 6-129 and Part A, Section 10 below.

C. THE BIDDER/PROPOSER MUST COMPLETE THE SCHEDULE B INCLUDED HEREIN (SCHEDULE B, PART II). A SCHEDULE B SUBMITTED BY THE BIDDER/PROPOSER WHICH DOES NOT INCLUDE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS (SEE SECTION V OF PART II) WILL BE DEEMED TO BE NON-RESPONSIVE, UNLESS A FULL WAIVER OF THE PARTICIPATION GOALS IS GRANTED (SCHEDULE B, PART III). IN THE EVENT THAT THE CITY DETERMINES THAT THE BIDDER/PROPOSER HAS SUBMITTED A SCHEDULE B WHERE THE VENDOR CERTIFICATION AND REQUIRED AFFIRMATIONS ARE COMPLETED BUT OTHER ASPECTS OF THE SCHEDULE B ARE NOT COMPLETE, OR CONTAIN A COPY OR COMPUTATION ERROR THAT IS AT ODDS WITH THE VENDOR CERTIFICATION AND AFFIRMATIONS, THE BIDDER/PROPOSER WILL BE NOTIFIED BY THE AGENCY AND WILL BE GIVEN FOUR (4) CALENDAR DAYS FROM RECEIPT OF NOTIFICATION TO CURE THE SPECIFIED DEFICIENCIES AND RETURN A COMPLETED SCHEDULE B TO THE AGENCY. FAILURE TO DO SO WILL RESULT IN A DETERMINATION THAT THE BID/PROPOSAL IS NON-RESPONSIVE. RECEIPT OF NOTIFICATION IS DEFINED AS THE DATE NOTICE IS E-MAILED OR FAXED (IF THE BIDDER/PROPOSER HAS PROVIDED AN E-MAIL ADDRESS OR FAX NUMBER), OR NO LATER THAN FIVE (5) CALENDAR DAYS FROM THE DATE OF MAILING OR UPON DELIVERY, IF DELIVERED.

5. Where an M/WBE Utilization Plan has been submitted, the Contractor shall, within 30 days of issuance by Agency of a notice to proceed, submit a list of proposed persons or entities to which it intends to award subcontracts within the subsequent 12 months. In the case of multiyear contracts, such list shall also be submitted every year thereafter. The Agency may also require the Contractor to report periodically about the contracts awarded by its direct subcontractors to indirect subcontractors (as defined in Section 6-129(c)(22)). **PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor must identify all those to which it intends to award construction subcontracts for any portion of the Wicks trade work at the time of bid submission, regardless of what point in the life of the contract such subcontracts will occur. In identifying intended subcontractors in the bid submission, bidders may satisfy any Participation Goals established for this Contract by proposing one or more subcontractors that are MBEs and/or WBEs for any portion of the Wicks trade work. In the event that the Contractor's selection of a subcontractor is disapproved, the Contractor shall have a reasonable time to propose alternate subcontractors.**

6. MBE and WBE firms must be certified by DSBS in order for the Contractor to credit such firms' participation toward the attainment of the **Participation Goals**. Such certification must occur prior to the

firms' commencement of work. A list of MBE and WBE firms may be obtained from the DSBS website at www.nyc.gov/buycertified, by emailing DSBS at buyer@sbs.nyc.gov, by calling (212) 513-6356, or by visiting or writing DSBS at 110 William St., New York, New York, 10038, 7th floor. Eligible firms that have not yet been certified may contact DSBS in order to seek certification by visiting www.nyc.gov/getcertified, emailing MWBE@sbs.nyc.gov, or calling the DSBS certification helpline at (212) 513-6311. A firm that is certified as both an MBE and a WBE may be counted either toward the goal for MBEs or the goal for WBEs, but not both. No credit shall be given for participation by a graduate MBE or graduate WBE, as defined in Section 6-129(c)(20).

7. Where an **M/WBE Utilization Plan** has been submitted, the Contractor shall, with each voucher for payment, and/or periodically as Agency may require, submit statements, certified under penalty of perjury, which shall include, but not be limited to,: the total amount the Contractor paid to its direct subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount direct subcontractors paid to indirect subcontractors; the names, addresses and contact numbers of each MBE or WBE hired as a subcontractor by the Contractor, and, where applicable, hired by any of the Contractor's direct subcontractors; and the dates and amounts paid to each MBE or WBE. The Contractor shall also submit, along with its voucher for final payment: the total amount it paid to subcontractors, and, where applicable pursuant to Section 6-129(j), the total amount its direct subcontractors paid directly to their indirect subcontractors; and a final list, certified under penalty of perjury, which shall include the name, address and contact information of each subcontractor that is an MBE or WBE, the work performed by, and the dates and amounts paid to each.

8. If payments made to, or work performed by, MBEs or WBEs are less than the amount specified in the Contractor's **M/WBE Utilization Plan**, Agency shall take appropriate action, in accordance with Section 6-129 and Article II below, unless the Contractor has obtained a modification of its **M/WBE Utilization Plan** in accordance with Section 6-129 and Part A, Section 11 below.

9. Where an **M/WBE Utilization Plan** has been submitted, and the Contractor requests a change order the value of which exceeds the greater of 10 percent of the Contract or Task Order, as applicable, or \$500,000, Agency shall review the scope of work for the Contract or Task Order, as applicable, and the scale and types of work involved in the change order, and determine whether the **Participation Goals** should be modified.

10. Pre-award waiver of the **Participation Goals**. (a) A bidder or proposer, or contractor with respect to a Task Order, may seek a pre-award full or partial waiver of the **Participation Goals** in accordance with Section 6-129, which requests that Agency change one or more **Participation Goals** on the grounds that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, or by demonstrating that it has legitimate business reasons for proposing a lower level of subcontracting in its **M/WBE Utilization Plan**.

(b) To apply for a full or partial waiver of the **Participation Goals**, a bidder, proposer, or contractor, as applicable, must complete Part III (Page 5) of Schedule B and submit such request no later than seven (7) calendar days prior to the date and time the bids, proposals, or Task Orders are due, in writing to the Agency by email at poped@ddc.nyc.gov or via facsimile at (718) 391-1886. Bidders, proposers, or contractors, as applicable, who have submitted requests will receive an Agency response by no later than two (2) calendar days prior to the due date for bids, proposals, or Task Orders; provided, however, that if that date would fall on a weekend or holiday, an Agency response will be provided by close-of-business on the business day before such weekend or holiday date.

(c) If the Agency determines that the **Participation Goals** are unreasonable in light of the availability of certified firms to perform the services required, it shall revise the solicitation and extend the deadline for bids and proposals, or revise the Task Order, as applicable.

(d) Agency may grant a full or partial waiver of the **Participation Goals** to a bidder, proposer or contractor, as applicable, who demonstrates—before submission of the bid, proposal or Task Order, as applicable—that it has legitimate business reasons for proposing the level of subcontracting in its **M/WBE Utilization Plan**. In making its determination, Agency shall consider factors that shall include, but not be limited to, whether the bidder, proposer or contractor, as applicable, has the capacity and the bona fide intention to perform the Contract without any subcontracting, or to perform the Contract without awarding the amount of subcontracts represented by the **Participation Goals**. In making such determination, Agency may consider whether the **M/WBE Utilization Plan** is consistent with past subcontracting practices of the bidder, proposer or contractor, as applicable, whether the bidder, proposer or contractor, as applicable, has made efforts to form a joint venture with a certified firm, and whether the bidder, proposer, or contractor, as applicable, has made good faith efforts to identify other portions of the Contract that it intends to subcontract.

11. **Modification of M/WBE Utilization Plan.** (a) A Contractor may request a modification of its **M/WBE Utilization Plan** after award of this Contract. **PLEASE NOTE: If this Contract is a public works project subject to GML §101(5) (i.e., a contract valued at or below \$3M for projects in New York City) or if the Contract is subject to a project labor agreement in accordance with Labor Law §222, and the bidder is required to identify at the time of bid submission its intended subcontractors for the Wicks trades (plumbing and gas fitting; steam heating, hot water heating, ventilating and air conditioning (HVAC); and electric wiring), the Contractor may request a Modification of its M/WBE Utilization Plan as part of its bid submission.** The Agency may grant a request for Modification of a Contractor's **M/WBE Utilization Plan** if it determines that the Contractor has established, with appropriate documentary and other evidence, that it made reasonable, good faith efforts to meet the **Participation Goals**. In making such determination, Agency shall consider evidence of the following efforts, as applicable, along with any other relevant factors:

- (i) The Contractor advertised opportunities to participate in the Contract, where appropriate, in general circulation media, trade and professional association publications and small business media, and publications of minority and women's business organizations;
- (ii) The Contractor provided notice of specific opportunities to participate in the Contract, in a timely manner, to minority and women's business organizations;
- (iii) The Contractor sent written notices, by certified mail or facsimile, in a timely manner, to advise MBEs or WBEs that their interest in the Contract was solicited;
- (iv) The Contractor made efforts to identify portions of the work that could be substituted for portions originally designated for participation by MBEs and/or WBEs in the **M/WBE Utilization Plan**, and for which the Contractor claims an inability to retain MBEs or WBEs;
- (v) The Contractor held meetings with MBEs and/or WBEs prior to the date their bids or proposals were due, for the purpose of explaining in detail the scope and requirements of the work for which their bids or proposals were solicited;
- (vi) The Contractor made efforts to negotiate with MBEs and/or WBEs as relevant to perform specific subcontracts, or act as suppliers or service providers;
- (vii) Timely written requests for assistance made by the Contractor to Agency's **M/WBE liaison officer** and to DSBS;
- (viii) Description of how recommendations made by DSBS and Agency were acted upon and an explanation of why action upon such recommendations did not lead to the desired level of participation of MBEs and/or WBEs.

Agency's **M/WBE officer** shall provide written notice to the Contractor of the determination.

(b) The Agency may modify the **Participation Goals** when the scope of the work has been changed by the Agency in a manner that affects the scale and types of work that the Contractor indicated in its **M/WBE Utilization Plan** would be awarded to subcontractors.

12. If this Contract is for an indefinite quantity of construction, standard or professional services or is a requirements type contract and the Contractor has submitted an **M/WBE Utilization Plan** and has committed to subcontract work to MBEs and/or WBEs in order to meet the **Participation Goals**, the Contractor will not be deemed in violation of the M/WBE Program requirements for this Contract with regard to any work which was intended to be subcontracted to an MBE and/or WBE to the extent that the Agency has determined that such work is not needed.

13. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, at least once annually during the term of the Contract or Task Order, as applicable, Agency shall review the Contractor's progress toward attainment of its M/WBE Utilization Plan, including but not limited to, by reviewing the percentage of work the Contractor has actually awarded to MBE and/or WBE subcontractors and the payments the Contractor made to such subcontractors.

14. If **Participation Goals** have been established for this Contract or a Task Order issued pursuant to this Contract, Agency shall evaluate and assess the Contractor's performance in meeting those goals, and such evaluation and assessment shall become part of the Contractor's overall contract performance evaluation.

PART B: MISCELLANEOUS

1. The Contractor shall take notice that, if this solicitation requires the establishment of an **M/WBE Utilization Plan**, the resulting contract may be audited by DSBS to determine compliance with Section 6-129. See §6-129(e)(10). Furthermore, such resulting contract may also be examined by the City's Comptroller to assess compliance with the **M/WBE Utilization Plan**.

2. Pursuant to DSBS rules, construction contracts that include a requirement for an **M/WBE Utilization Plan** shall not be subject to the law governing Locally Based Enterprises set forth in Section 6-108.1 of the Administrative Code of the City of New York.

3. DSBS is available to assist contractors and potential contractors in determining the availability of MBEs and/or WBEs to participate as subcontractors, and in identifying opportunities that are appropriate for participation by MBEs and/or WBEs in contracts.

4. Prospective contractors are encouraged to enter into qualified joint venture agreements with MBEs and/or WBEs as defined by Section 6-129(c)(30).

5. By submitting a bid or proposal the Contractor hereby acknowledges its understanding of the M/WBE Program requirements set forth herein and the pertinent provisions of Section 6-129, and any rules promulgated thereunder, and if awarded this Contract, the Contractor hereby agrees to comply with the M/WBE Program requirements of this Contract and pertinent provisions of Section 6-129, and any rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract. The Contractor hereby agrees to make all reasonable, good faith efforts to solicit and obtain the participation of MBEs and/or WBEs to meet the required **Participation Goals**.

ARTICLE II. ENFORCEMENT

1. If Agency determines that a bidder or proposer, as applicable, has, in relation to this procurement, violated Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, Agency may disqualify such bidder or proposer, as applicable, from competing for this Contract and the Agency may revoke such bidder's or proposer's prequalification status, if applicable.

2. Whenever Agency believes that the Contractor or a subcontractor is not in compliance with Section 6-129 or the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to any **M/WBE** Utilization Plan, Agency shall send a written notice to the Contractor describing the alleged noncompliance and offering the Contractor an opportunity to be heard. Agency shall then conduct an investigation to determine whether such Contractor or subcontractor is in compliance.

3. In the event that the Contractor has been found to have violated Section 6-129, the DSBS rules promulgated pursuant to Section 6-129, or any provision of this Contract that implements Section 6-129, including, but not limited to, any **M/WBE** Utilization Plan, Agency may determine that one of the following actions should be taken:

- (a) entering into an agreement with the Contractor allowing the Contractor to cure the violation;
- (b) revoking the Contractor's pre-qualification to bid or make proposals for future contracts;
- (c) making a finding that the Contractor is in default of the Contract;
- (d) terminating the Contract;
- (e) declaring the Contractor to be in breach of Contract;
- (f) withholding payment or reimbursement;
- (g) determining not to renew the Contract;
- (h) assessing actual and consequential damages;
- (i) assessing liquidated damages or reducing fees, provided that liquidated damages may be based on amounts representing costs of delays in carrying out the purposes of the **M/WBE** Program, or in meeting the purposes of the Contract, the costs of meeting utilization goals through additional procurements, the administrative costs of investigation and enforcement, or other factors set forth in the Contract;
- (j) exercising rights under the Contract to procure goods, services or construction from another contractor and charge the cost of such contract to the Contractor that has been found to be in noncompliance; or
- (k) taking any other appropriate remedy.

4. If an **M/WBE** Utilization Plan has been submitted, and pursuant to this Article II, Section 3, the Contractor has been found to have failed to fulfill its **Participation Goals** contained in its **M/WBE** Utilization Plan or the **Participation Goals** as modified by Agency pursuant to Article I, Part A, Section 11, Agency may assess liquidated damages in the amount of ten percent (10%) of the difference between the dollar amount of work required to be awarded to MBE and/or WBE firms to meet the **Participation Goals** and the dollar amount the Contractor actually awarded and paid, and/or credited, to MBE and/or WBE firms. In view of the difficulty of accurately ascertaining the loss which the City will suffer by reason of Contractor's failure to meet the **Participation Goals**, the foregoing amount is hereby fixed and agreed as the liquidated damages that the City will suffer by reason of such failure, and not as a penalty. Agency may deduct and retain out of any monies which may become due under this Contract the amount of any such liquidated damages; and in case the amount which may become due under this Contract shall be less than the amount of liquidated damages suffered by the City, the Contractor shall be liable to pay the difference.

5. Whenever Agency has reason to believe that an MBE and/or WBE is not qualified for certification, or is participating in a contract in a manner that does not serve a commercially useful function (as defined in Section 6-129(c)(8)), or has violated any provision of Section 6-129, Agency shall notify the Commissioner of DSBS who shall determine whether the certification of such business enterprise should be revoked.

6. Statements made in any instrument submitted to Agency pursuant to Section 6-129 shall be submitted under penalty of perjury and any false or misleading statement or omission shall be grounds for the application of any applicable criminal and/or civil penalties for perjury. The making of a false or fraudulent statement by an MBE and/or WBE in any instrument submitted pursuant to Section 6-129 shall, in addition, be grounds for revocation of its certification.

7. The Contractor's record in implementing its **M/WBE** Utilization Plan shall be a factor in the evaluation of its performance. Whenever Agency determines that a Contractor's compliance with an **M/WBE** Utilization Plan has been unsatisfactory, Agency shall, after consultation with the City Chief Procurement Officer, file an advice of caution form for inclusion in VENDEX as caution data.

IN WITNESS WHEREOF, the Commissioner, on behalf of the City of New York, and the Contractor, have executed this agreement in quadruplicate, two parts of which are to remain with the Commissioner, another to be filed with the Comptroller of the City, and the fourth to be delivered to the Contractor.

THE CITY OF NEW YORK

By: 

Commissioner

CONTRACTOR:

By: 

(Member of Firm or Officer of Corporation)

Title: 

(Where Contractor is a Corporation, add):
Attest:


Secretary

(Seal)

ACKNOWLEDGEMENT OF PRINCIPAL, IF A CORPORATION

State of NEW YORK County of QUEENS ss:

On this 17TH day of JULY 2014, before me personally came MICHAEL A CAPASCO
to me known who, being by me duly sworn did depose and say that he resides at 145 E 72
WD ST NYC NY 10021 that he is the PRESIDENT
of the corporation described in and which executed the foregoing instrument; that he knows the seal of said
corporation; that one of the seals affixed to said instrument is such seal; that it was so affixed by order of
the directors of said corporation, and that he signed his name thereto by like order.

DIANE C. DERIN
Notary Public, State of New York
No. 01DE5048152
Qualified in Queens County
Commission Expires August 14, 2017

[Signature]
Notary Public or Commissioner of Deeds

ACKNOWLEDGEMENT OF PRINCIPAL, IF A PARTNERSHIP

State of _____ County of _____ ss:

On this _____ day of _____, _____, before me personally appeared _____
to me known, and known to me to be one of the members of the firm of _____
described in and who executed the foregoing instrument; and he
acknowledged to me that he executed the same as and for the act and deed of said firm.

Notary Public or Commissioner of Deeds

ACKNOWLEDGEMENT OF PRINCIPAL, IF AN INDIVIDUAL

State of _____ County of _____ ss:

On this _____ day of _____, _____, before me personally appeared _____
to me known, and known to me to be the person described in and who executed the foregoing instrument;
and acknowledged that he executed the same.

Notary Public or Commissioner of Deeds

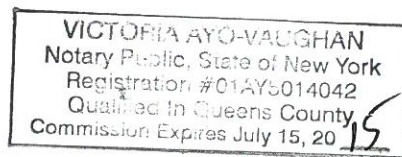
ACKNOWLEDGEMENT BY COMMISSIONER

State of New York County of Queens ss:

On this 1st day of Aug, 2014, before me personally came Eric Macfarlane to me known, and known to be the Deputy Commissioner of the Department of Design and Construction of The City of New York, the person described as such in and who as such executed the foregoing instrument and acknowledged to me that he executed the same as Deputy Commissioner for the purposes therein mentioned.



Notary Public or Commissioner of Deeds



AUTHORITY

MAYOR'S CERTIFICATE NO. CBX
BUDGET DIRECTOR'S CERTIFICATE NO.

DATED
DATED

APPROPRIATION
COMMISSIONER'S CERTIFICATE

In conformity with the provisions of Section 6-101 of the Administrative Code of the City of New York, it is hereby certified that the estimated cost of the work, materials and supplies required by the within Contract, amounting to

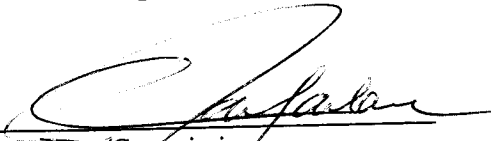
Two Million Three Hundred Twenty Thousand
Five Hundred Thirty Four and 14/100

Dollars (\$ 2,321,534.14)

is chargeable to the fund of the Department of Design and Construction entitled Code

Department of Design and Construction

I hereby certify that the specifications contained herein comply with the terms and conditions of the BUDGET.



Commissioner

COMPTROLLER'S CERTIFICATE

The City of New York _____

Pursuant to the provisions of Section 6-101 of the Administrative Code of the City of New York, I hereby certify that there remains unapplied and unexpended a balance of the above mentioned fund applicable to this Contract sufficient to pay the estimated expense of executing the same viz:

\$ _____

Comptroller

**MAYOR'S CERTIFICATE OR
CERTIFICATE OF THE DIRECTOR
OF THE BUDGET**

Performance Bond #1 (Pages 92 to 95): Use if the total contract price is \$5 Million Or Less. Performance Bond #1 has been approved by the U.S. Small Business Administration ("SBA") for participation in its Bond Guarantee Program.

PERFORMANCE BOND #1 (Page 1)

PERFORMANCE BOND #1

KNOW ALL PERSONS BY THESE PRESENTS:,

That we, _____

hereinafter referred to as the "Principal,"
and, _____

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns in the penal sum of _____

(\$ _____) Dollars, lawful money of the United States for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for _____

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full;

NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or its representatives or assigns, shall well and faithfully perform the said Contract and all modifications, amendments, additions and alterations thereto that may hereafter be made, according to its terms and its true intent and meaning, including repair and or replacement of defective work and guarantees of maintenance for the periods stated in the Contract, and shall fully indemnify and save harmless the City from all cost and damage which it may suffer by reason of the Principal's default of the Contract, and shall fully reimburse and repay the City for all outlay and expense which the City may incur in making

Performance Bond \$5 Million Or Less (SBA Approved)

PERFORMANCE BOND

Bond No. 82380861

KNOW ALL PEOPLE BY THESE PRESENTS:,

That we, C.A.C. Industries, Inc.

54-08 Vernon Blvd., Long Island City, NY 11101

hereinafter referred to as the "Principal,"
and, Federal Insurance Company

15 Mountain View Road, Warren, NJ 07059

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns in the penal sum of _____

Two Million Three Hundred Twenty One Thousand Five Hundred Thirty Four Dollars and 16/100

(\$ 2,321,534.16) Dollars, lawful money of the United States for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, The Principal is about to enter, or has entered, into a Contract in writing with the City for

HWMP2017 Reconstruction of Wadsworth Terrace from West 190th Street to Fairview Avenue including

water main, street lighting and traffic work. Borough of Manhattan, City of New York.

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full;

NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or its representatives or assigns, shall well and faithfully perform the said Contract and all modifications, amendments, additions and alterations thereto that may hereafter be made, according to its terms and its true intent and meaning, including repair and or replacement of defective work and guarantees of maintenance for the periods stated in the Contract, and shall fully indemnify and save harmless the City from all cost and damage which it may suffer by reason of the Principal's default of the Contract, and shall fully reimburse and repay the City for all outlay and expense which the City may incur in making

Performance Bond \$5 Million Or Less (SBA Approved)

good any such default and shall protect the said City of New York against, and pay any and all amounts, damages, cost and judgments which may or shall be recovered against said City or its officers or agents or which the said City of New York may be called upon to pay any person or corporation by reason of any damages arising or growing out of the Principal's default of the Contract, then this obligation shall be null and void, otherwise to remain in full force and effect.

The Surety (Sureties), for value received, hereby stipulates and agrees, upon written notice from the City that the City has determined that the Principal is in default of the Contract, to (1) pay the City the cost to complete the contract as determined by the City in excess of the balance of the Contract held by the City, plus any damages or costs to which the City is entitled, up to the full amount of the above penal sum, (2) fully perform and complete the Work to be performed under the Contract, pursuant to the terms, conditions, and covenants thereof, or (3) tender a completion Contractor that is acceptable to the City. The Surety (Sureties) further agrees, at its option, either to notify the City that it elects to pay the city the cost of completion plus any applicable damages and costs under option (1) above, or to commence and diligently perform the Work specified in the Contract, including physical site work, within twenty-five (25) business days after written notice thereof from the City and, if the Surety elects to fully perform and complete the Work, then to complete all Work within the time set forth in the Contract or such other time as agreed to between the City and Surety in accordance with the Contract. If the Surety elects to tender payment pursuant to (1) above, then the Surety shall tender such amount within fifteen (15) business days notification from the City of the cost of completion. The Surety and the City reserve all rights and defenses each may have against the other; provided, however, that the Surety expressly agrees that its reservation of rights shall not provide a basis for non-performance of its obligation to pay the City the cost of completion, to commence and complete all Work as provided herein, or to tender a completion contractor.

The Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties) and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or to the said Contract or the Work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or any moneys due or to become due thereunder; and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, and waivers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to subcontractors shall have the same effect as to said Surety (Sureties) as though done or omitted to be done by or in relation to said Principal. Notwithstanding the above, if the City makes payments to the Principal before the time required by the contract that in the aggregate exceed \$100,000 or 10% of the Contract price, whichever is less, and that have not become earned prior to the Principal being found to be in default, then all payments made to the Principal before the time required by the Contract shall be added to the remaining contract value available to be paid for the completion of the Contract as if such sums had not been paid to the Principal, but shall not provide a basis for non-performance of its obligation to pay the City the cost of completion, to commence and to complete all Work as provided herein, or to tender a completion contractor

IN WITNESS WHEREOF, The Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this

Performance Bond \$5 Million Or Less (SBA Approved)

_____ 16th _____ day of _____ July _____ 2014

(Seal)

C.A.C. Industries, Inc. _____ (L.S.)
Principal

By _____

(Seal)

Surety
Federal Insurance Company

By _____

Susan Lupski Attorney-in-Fact

(Seal)



Surety

By _____

(Seal)

Surety

By _____

(Seal)

Surety

By _____

(Seal)

Surety

By _____

Bond Premium Rate \$8.05/M Sliding Scale

Bond Premium Cost \$20,483.00

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by a duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.



Performance Bond \$5 Million Or Less (SBA Approved)

ACKNOWLEDGMENT OF PRINCIPAL IF A CORPORATION

State of New York County of Queens ss:

On this 17th day of July 2014 before me personally came Michael A. Capasso,

to me known, who, being by me duly sworn did depose and say that he/she resides at 45 E 72ND ST NYC NY 10021

CEO; that he/she is the PRESIDENT of ABC the corporation described in and which executed the foregoing instrument; and that he signed his name to the foregoing instrument by order of the directors of said corporation as the duly authorized and binding act thereof.

[Signature]
Notary Public or Commissioner of Deeds.

DIANE C. DERIN
Notary Public, State of New York
No. 01DE5048152
Qualified in Queens County
Commission Expires August 14, 2017

ACKNOWLEDGMENT OF PRINCIPAL IF A PARTNERSHIP

State of _____ County of _____ ss:

On this _____ day of _____ before me personally came _____

to me known, who, being by me duly sworn did depose and say that he/she resides at _____

_____ ; that he/she is _____ partner of _____, a limited/general partnership existing under the laws of the State of _____, the partnership described in and which executed the foregoing instrument; and that he/she signed his/her name to the foregoing instrument as the duly authorized and binding act of said partnership.

Notary Public or Commissioner of Deeds.

Performance Bond \$5 Million Or Less (SBA Approved)

ACKNOWLEDGMENT OF PRINCIPAL IF AN INDIVIDUAL

State of _____ County of _____ ss:

On this _____ day of _____ before me personally
came _____

to me known, who, being by me duly sworn did depose and say that he/she resides
at _____

_____, and that he/she is the individual whose name is
subscribed to the within instrument and acknowledged to me that by his/her signature on the
instrument, said individual executed the instrument.

Notary Public or Commissioner of Deeds.

Affix Acknowledgments and justification of Sureties



**Chubb
Surety**

**POWER
OF
ATTORNEY**

**Federal Insurance Company
Vigilant Insurance Company
Pacific Indemnity Company**

**Attn: Surety Department
15 Mountain View Road
Warren, NJ 07059**

Know All by These Presents, That FEDERAL INSURANCE COMPANY, an Indiana corporation, VIGILANT INSURANCE COMPANY, a New York corporation, and PACIFIC INDEMNITY COMPANY, a Wisconsin corporation, do each hereby constitute and appoint Thomas Bean, George O. Brewster, Colette R. Chisholm, Susan Lupski, Gerard S. Macholz, Camille Maitland, Robert T. Pearson and Rita Sagistano of Uniondale, New York

each as their true and lawful Attorney-in-Fact to execute under such designation in their names and to affix their corporate seals to and deliver for and on their behalf as surety thereon or otherwise, bonds and undertakings and other writings obligatory in the nature thereof (other than bail bonds) given or executed in the course of business, and any instruments amending or altering the same, and consents to the modification or alteration of any instrument referred to in said bonds or obligations.

In Witness Whereof, said FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY have each executed and attested these presents and affixed their corporate seals on this **27th** day of **March, 2013**.

Dawn M. Chloros

Dawn M. Chloros, Assistant Secretary

David B. Norris, Jr.

David B. Norris, Jr., Vice President



STATE OF NEW JERSEY

ss.

County of Somerset

On this **27th** day of **March, 2013** before me, a Notary Public of New Jersey, personally came Dawn M. Chloros, to me known to be Assistant Secretary of FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY, the companies which executed the foregoing Power of Attorney, and the said Dawn M. Chloros, being by me duly sworn, did depose and say that she is Assistant Secretary of FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY and knows the corporate seals thereof, that the seals affixed to the foregoing Power of Attorney are such corporate seals and were thereto affixed by authority of the By-Laws of said Companies; and that she signed said Power of Attorney as Assistant Secretary of said Companies by like authority; and that she is acquainted with David B. Norris, Jr., and knows him to be Vice President of said Companies; and that the signature of David B. Norris, Jr., subscribed to said Power of Attorney is in the genuine handwriting of David B. Norris, Jr., and was thereto subscribed by authority of said By-Laws and in deponent's presence.

Notarial Seal



**KATHERINE J. ADELAAR
NOTARY PUBLIC OF NEW JERSEY
No 2316685
Commission Expires July 16, 2014**

CERTIFICATION

Katherine J. Adelaar

Notary Public

Extract from the By-Laws of FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY:

"All powers of attorney for and on behalf of the Company may and shall be executed in the name and on behalf of the Company, either by the Chairman or the President or a Vice President or an Assistant Vice President, jointly with the Secretary or an Assistant Secretary, under their respective designations. The signature of such officers may be engraved, printed or lithographed. The signature of each of the following officers: Chairman, President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary and the seal of the Company may be affixed by facsimile to any power of attorney or to any certificate relating thereto appointing Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such power of attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding upon the Company with respect to any bond or undertaking to which it is attached."

I, Dawn M. Chloros, Assistant Secretary of FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY (the "Companies") do hereby certify that

- (i) the foregoing extract of the By-Laws of the Companies is true and correct,
- (ii) the Companies are duly licensed and authorized to transact surety business in all 50 of the United States of America and the District of Columbia and are authorized by the U.S. Treasury Department; further, Federal and Vigilant are licensed in the U.S. Virgin Islands, and Federal is licensed in American Samoa, Guam, Puerto Rico, and each of the Provinces of Canada except Prince Edward Island; and
- (iii) the foregoing Power of Attorney is true, correct and in full force and effect.

Given under my hand and seals of said Companies at Warren, NJ this

JUL 16 2014



Dawn M. Chloros

Dawn M. Chloros, Assistant Secretary

IN THE EVENT YOU WISH TO NOTIFY US OF A CLAIM, VERIFY THE AUTHENTICITY OF THIS BOND OR NOTIFY US OF ANY OTHER MATTER, PLEASE CONTACT US AT ADDRESS LISTED ABOVE, OR BY Telephone (908) 903-3493 Fax (908) 903-3656 e-mail: surety@chubb.com

ACKNOWLEDGMENT OF SURETY COMPANY

STATE OF New York } ss
COUNTY OF Nassau }

On this JULY 16, 2014, before me personally came SUSAN LUPSKI.....
to me know, who, being by me duly sworn, did depose and say; that he/she resides in
NASSAU COUNTY, State of NEW YORK, that he/she is the Attorney-In-Fact of the
FEDERAL INSURANCE COMPANY.....the corporation described in which
executed the above instrument; that he/she knows the seal of said corporation; that the
seal affixed to said instrument is such corporate seal; that is was so affixed by order of
the Board of Directors of said corporation; and that he/she signed his/her name thereto by
like order; and the affiant did further depose and say that the Superintendent of Insurance
of the State of New York, has, pursuant to Section 1111 of the Insurance Law of the State
of New York, issued to...FEDERAL INSURANCE COMPANY.....(Surety) his/her
certificate of qualification evidencing the qualification of said Company and its
sufficiency under any law of the State of New York as surety and guarantor, and the
propriety of accepting and approving it as such; and that such certificate has not been
revoked.

GRACE ACKERSON
Notary Public, State of New York
Nassau County
Lic. #01AC6111590
Term Expires June 14, 2016

.....
Notary Public

NY acknowledgment



FEDERAL INSURANCE COMPANY

STATEMENT OF ASSETS, LIABILITIES AND SURPLUS TO POLICYHOLDERS

Statutory Basis

DECEMBER 31, 2013

(In thousands of dollars)

ASSETS		LIABILITIES AND SURPLUS TO POLICYHOLDERS	
Cash and Short Term Investments.....	\$ 352,393	Outstanding Losses and Loss Expenses	\$ 12,129,450
United States Government, State and Municipal Bonds	9,295,185	Unearned Premiums.....	3,504,583
Other Bonds.....	5,535,360	Ceded Reinsurance Premiums Payable.....	338,026
Stocks	1,000,938	Provision for Reinsurance	61,351
Other Invested Assets.....	1,452,598	Other Liabilities.....	986,628
 TOTAL INVESTMENTS	 17,636,474	 TOTAL LIABILITIES	 17,020,038
Investments in Affiliates:			
Chubb Investment Holdings, Inc.	3,364,996	Capital Stock.....	20,980
Pacific Indemnity Company.....	2,771,422	Paid-In Surplus.....	3,106,809
Executive Risk Indemnity Inc.....	1,218,625	Unassigned Funds	11,613,523
Chubb Insurance Investment Holdings Ltd....	1,111,941	 SURPLUS TO POLICYHOLDERS.....	 14,741,312
CC Canada Holdings Ltd.....	629,592		
Great Northern Insurance Company	478,838		
Chubb Insurance Company of Australia Ltd.	449,419		
Chubb European Investment Holdings SLP ..	281,312		
Vigilant Insurance Company.....	264,883		
Other Affiliates	472,259		
Premiums Receivable	1,586,676		
Other Assets	1,494,913		
 TOTAL ADMITTED ASSETS	 \$ 31,761,350	 TOTAL LIABILITIES AND SURPLUS TO POLICYHOLDERS.....	 \$ 31,761,350

Investments are valued in accordance with requirements of the National Association of Insurance Commissioners.
At December 31, 2013, investments with a carrying value of \$452,687,680 were deposited with government authorities
as required by law.

State, County & City of New York, — ss:

Yvonne Baker, Assistant Secretary

_____ of the Federal Insurance Company
being duly sworn, deposes and says that the foregoing Statement of Assets, Liabilities and Surplus to Policyholders of said
Federal Insurance Company on December 31, 2013 is true and correct and is a true abstract of the Annual Statement of said
Company as filed with the Secretary of the Treasury of the United States for the 12 months ending December 31, 2013.

Subscribed and sworn to before me
this March 11, 2014.

Jeanette Shipsey

Notary Public

JEANETTE SHIPSEY
Notary Public, State of New York
No. 02SH5074142
Qualified in Nassau County
Commission Expires March 10, 2015

Yvonne Baker

Assistant Secretary

PAYMENT BOND (Pg.1)

KNOW ALL MEN BY THESE PRESENTS, That we, _____

C.A.C. Industries, Inc.

54-08 Vernon Blvd., Long Island City, NY 11101

hereinafter referred to as the "Principal" and _____

Federal Insurance Company

15 Mountain View Road, Warren, NJ 07059

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns, in the penal sum of:

Two Million Three Hundred Twenty One Thousand Five Hundred Thirty Four Dollars and 16/100 Dollars,

(\$ 2,321,534.16) lawful money of the United States, for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for:

HWMP2017 Reconstruction of Wadsworth Terrace from West 190th Street to Fairview Avenue

including water main, street lighting and traffic work. Borough of Manhattan, City of New York.

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full.

PAYMENT BOND (Pg.2)

NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or its representatives or assigns and other Subcontractors to whom Work under this Contract is sublet and his or their successors and assigns shall promptly pay or cause to be paid all lawful claims for:

(a) Wages and compensation for labor performed and services rendered by all persons engaged in the prosecution of the Work under said Contract, and any amendment or extension thereof or addition thereto, whether such persons be agents, servants or employees of the Principal or of any such Subcontractors, including all persons so engaged who perform the work of laborers or mechanics at or in the vicinity of the site of the Project regardless of any Contractual relationship between the Principal or such Subcontractors, or his or their successors or assigns, on the one hand and such laborers or mechanics on the other, but not including office employees not regularly stationed at the site of the Project, and

(b) Materials and supplies (whether incorporated in the permanent construction or not), as well as teams, fuels, oils, implements or machinery furnished, used or consumed by said Principal or any Subcontractors at or in the vicinity of the site of the Project in the prosecution of the Work under said Contract and any amendment or extension thereof or addition thereto; then this obligation shall be null and void; otherwise to remain in full force and effect.

This bond is subject to the following additional conditions, limitations and agreements:

(a) The Principal and Surety (Sureties) agree that this bond shall be for the benefit of any materialman or laborer having a just claim, as well as the City itself.

(b) All persons who have performed labor, rendered services or furnished materials and supplies, as aforesaid, shall have a direct right of action against the Principal and his, its or their successors and assigns, and the Surety (Sureties) herein, or against either or both or any of them and their successors and assigns. Such persons may sue in their own name, and may prosecute the suit to judgment and execution without the necessity of joining with any other person as party plaintiff.

(c) The Principal and Surety (Sureties) agree that neither of them will hold the City liable for any judgment for costs or



PAYMENT BOND (Pg.3)

otherwise, obtained against either or both of them by a laborer or materialman in a suit brought by either a laborer or materialman under this bond for moneys allegedly due for performing work or furnishing material.

(d) The Surety (Sureties) or its successors and assigns shall not be liable for any compensation recoverable by an employee or laborer under the Workmen's Compensation Law.

(e) In no event shall the Surety (Sureties), or its successors or assigns, be liable for a greater sum than the penalty of this bond or be subject to any suit, action or proceeding hereon that is instituted by any person, firm, or corporation hereunder later than two (2) years after the complete performance of said Contract and final settlement thereof.

The Principal, for himself and his successors and assigns, and the Surety (Sureties), for itself and its successors and assigns, do hereby expressly waive any objection that might be interposed as to the right of the City to require a bond containing the foregoing provisions, and they do hereby further expressly waive any defense which they or either of them might interpose to an action brought hereon by any person, firm or corporation, including Subcontractors, materialmen and third persons, for work, labor, services, supplies or material performed, rendered, or furnished as aforesaid upon the ground that there is no law authorizing the City to require the foregoing provisions to be placed in this bond.

And the Surety (Sureties) for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties) and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or of the said Contract or the Work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any part thereof, or of any Work to be performed, or any moneys due or to become due thereunder; and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, Subcontractors, and other transferees shall have the same effect as to said Surety (Sureties) as though done or omitted to be done by or in relation to said Principal.

PAYMENT BOND (Pg.4)

IN WITNESS WHEREOF, the Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers,

this 16th day of July, 2014

(SEAL) C.A.C. Industries, Inc. (L.S.)

By: Principal

(SEAL) Federal Insurance Company

By: Surety
Susan Lupski Attorney-in-Fact



Surety

By: _____

(SEAL) Surety

By: _____

(SEAL) Surety

By: _____

BOND PREMIUM RATE: \$8.05/M Sliding Scale

BOND PREMIUM COST: Premium is included in the premium for the performance bond

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners. If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by a duly authorized officer, agent, or attorney-in-fact. There should be executed an appropriate member of counterparts of the bond corresponding to the number of counterparts of the Contract.



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PAYMENT BOND (Pg.5)

ACKNOWLEDGMENT OF PRINCIPAL - IF A CORPORATION

State of New York County of Queens ss.:
On this 17th day of July, 2014,
before me personally came Michael A Capasso
to me known, who, being by me duly sworn did depose and say that he resides
at 68 E 72ND ST NY NY 10021

that he is the president of CHART INDUSTRIES INC

the corporation described in and which executed the foregoing instrument; that he knows
the seal of said corporation; that one of the seals affixed to said instrument is such seal; that
it was so affixed by order of the directors of said corporation, and that he signed his name

thereto by like order.

DIANE C. DEBENE
Notary Public, State of New York
No. 01DE5048152
Qualified in Queens County
Commission Expires August 14, 2017

[Signature]
Notary Public

ACKNOWLEDGMENT OF PRINCIPAL - IF A PARTNERSHIP

State of _____ County of _____ ss.:
On this _____ day of _____,
before me personally appeared _____
to me known and known to me to be one of the members of the firm of:
described in and who executed the foregoing instrument and he acknowledged to me that he
executed the same as and for the act and deed of said firm.

Notary Public

PAYMENT BOND (Pg.6)

ACKNOWLEDGMENT OF PRINCIPAL - IF AN INDIVIDUAL

State of _____ County of _____ SS.:

On this _____ day of _____, _____ before me

personally appeared _____ known to me to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same.

Notary Public

Each executed bond should be accompanied by:

- (a) appropriate acknowledgments of the respective parties;
- (b) appropriate duly certified copy of Power of Attorney or other certificate of authority where bond is executed by agent, officer or other representative of Principal or Surety;
- (c) a duly certified extract from by-laws or resolutions of Surety under which Power of Attorney or other certificate of authority of its agent, officer or representative was issued, and
- (d) a duly certified copy of latest published financial statement of assets and liabilities of Surety.

AFFIX ACKNOWLEDGMENTS AND JUSTIFICATIONS OF SURETIES



**Chubb
Surety**

**POWER
OF
ATTORNEY**

**Federal Insurance Company
Vigilant Insurance Company
Pacific Indemnity Company**

**Attn: Surety Department
15 Mountain View Road
Warren, NJ 07059**

Know All by These Presents, That FEDERAL INSURANCE COMPANY, an Indiana corporation, VIGILANT INSURANCE COMPANY, a New York corporation, and PACIFIC INDEMNITY COMPANY, a Wisconsin corporation, do each hereby constitute and appoint Thomas Bean, George O. Brewster, Colette R. Chisholm, Susan Lupski, Gerard S. Macholz, Camille Maitland, Robert T. Pearson and Rita Sagistano of Uniondale, New York

each as their true and lawful Attorney-in-Fact to execute under such designation in their names and to affix their corporate seals to and deliver for and on their behalf as surety thereon or otherwise, bonds and undertakings and other writings obligatory in the nature thereof (other than bail bonds) given or executed in the course of business, and any instruments amending or altering the same, and consents to the modification or alteration of any instrument referred to in said bonds or obligations. In Witness Whereof, said FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY have each executed and attested these presents and affixed their corporate seals on this **27th day of March, 2013.**

Dawn M. Chloros

Dawn M. Chloros, Assistant Secretary

David B. Norris, Jr.

David B. Norris, Jr., Vice President



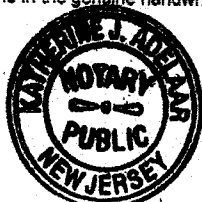
STATE OF NEW JERSEY

ss.

County of Somerset

On this **27th day of March, 2013** before me, a Notary Public of New Jersey, personally came Dawn M. Chloros, to me known to be Assistant Secretary of FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY, the companies which executed the foregoing Power of Attorney, and the said Dawn M. Chloros, being by me duly sworn, did depose and say that she is Assistant Secretary of FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY and knows the corporate seals thereof, that the seals affixed to the foregoing Power of Attorney are such corporate seals and were thereto affixed by authority of the By-Laws of said Companies; and that she signed said Power of Attorney as Assistant Secretary of said Companies by like authority; and that she is acquainted with David B. Norris, Jr., and knows him to be Vice President of said Companies; and that the signature of David B. Norris, Jr., subscribed to said Power of Attorney is in the genuine handwriting of David B. Norris, Jr., and was thereto subscribed by authority of said By-Laws and in deponent's presence.

Notarial Seal



**KATHERINE J. ADELAAR
NOTARY PUBLIC OF NEW JERSEY
No 2316685
Commission Expires July 16, 2014**

CERTIFICATION

Katherine J. Adelaar

Notary Public

Extract from the By-Laws of FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY:

"All powers of attorney for and on behalf of the Company may and shall be executed in the name and on behalf of the Company, either by the Chairman or the President or a Vice President or an Assistant Vice President, jointly with the Secretary or an Assistant Secretary, under their respective designations. The signature of such officers may be engraved, printed or lithographed. The signature of each of the following officers: Chairman, President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary and the seal of the Company may be affixed by facsimile to any power of attorney or to any certificate relating thereto appointing Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such power of attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding upon the Company with respect to any bond or undertaking to which it is attached."

I, Dawn M. Chloros, Assistant Secretary of FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY (the "Companies") do hereby certify that

- (i) the foregoing extract of the By-Laws of the Companies is true and correct,
- (ii) the Companies are duly licensed and authorized to transact surety business in all 50 of the United States of America and the District of Columbia and are authorized by the U.S. Treasury Department; further, Federal and Vigilant are licensed in the U.S. Virgin Islands, and Federal is licensed in American Samoa, Guam, Puerto Rico, and each of the Provinces of Canada except Prince Edward Island; and
- (iii) the foregoing Power of Attorney is true, correct and in full force and effect.

Given under my hand and seals of said Companies at Warren, NJ this

JUL 16 2014



Dawn M. Chloros

Dawn M. Chloros, Assistant Secretary


IN THE EVENT YOU WISH TO NOTIFY US OF A CLAIM, VERIFY THE AUTHENTICITY OF THIS BOND OR NOTIFY US OF ANY OTHER MATTER, PLEASE CONTACT US AT ADDRESS LISTED ABOVE, OR BY Telephone (908) 903-3493 Fax (908) 903-3656 e-mail: surety@chubb.com

ACKNOWLEDGMENT OF SURETY COMPANY

STATE OFNew York.....} ss
COUNTY OFNassau.....}

On this JULY 16, 2014, before me personally came SUSAN LUPSKI.....
to me know, who, being by me duly sworn, did depose and say; that he/she resides in
NASSAU COUNTY, State of NEW YORK, that he/she is the Attorney-In-Fact of the
FEDERAL INSURANCE COMPANY the corporation described in which
executed the above instrument; that he/she knows the seal of said corporation; that the
seal affixed to said instrument is such corporate seal; that it was so affixed by order of
the Board of Directors of said corporation; and that he/she signed his/her name thereto by
like order; and the affiant did further depose and say that the Superintendent of Insurance
of the State of New York, has, pursuant to Section 1111 of the Insurance Law of the State
of New York, issued to FEDERAL INSURANCE COMPANY (Surety) his/her
certificate of qualification evidencing the qualification of said Company and its
sufficiency under any law of the State of New York as surety and guarantor, and the
propriety of accepting and approving it as such; and that such certificate has not been
revoked.

GRACE ACKERSON
Notary Public, State of New York
Nassau County
Lic. #01AC6111590
Term Expires June 14, 2016


.....
Notary Public

NY acknowledgment

2000
2000
2000

FEDERAL INSURANCE COMPANY

STATEMENT OF ASSETS, LIABILITIES AND SURPLUS TO POLICYHOLDERS

Statutory Basis

DECEMBER 31, 2013

(in thousands of dollars)

ASSETS		LIABILITIES AND SURPLUS TO POLICYHOLDERS	
Cash and Short Term Investments.....	\$ 352,393	Outstanding Losses and Loss Expenses	\$ 12,129,450
United States Government, State and Municipal Bonds	9,295,185	Unearned Premiums.....	3,504,583
Other Bonds.....	5,535,360	Ceded Reinsurance Premiums Payable.....	338,026
Stocks.....	1,000,938	Provision for Reinsurance	61,351
Other Invested Assets.....	1,452,598	Other Liabilities.....	986,628
TOTAL INVESTMENTS	17,636,474	TOTAL LIABILITIES	17,020,038
Investments in Affiliates:			
Chubb Investment Holdings, Inc.	3,364,996	Capital Stock.....	20,980
Pacific Indemnity Company.....	2,771,422	Paid-In Surplus.....	3,106,809
Executive Risk Indemnity Inc.....	1,218,625	Unassigned Funds	11,613,523
Chubb Insurance Investment Holdings Ltd....	1,111,941		
CC Canada Holdings Ltd.....	629,592	SURPLUS TO POLICYHOLDERS.....	14,741,312
Great Northern Insurance Company	478,838		
Chubb Insurance Company of Australia Ltd.	449,419		
Chubb European Investment Holdings SLP ..	281,312		
Vigilant Insurance Company.....	264,883		
Other Affiliates	472,259		
Premiums Receivable	1,586,676		
Other Assets	1,494,913		
TOTAL ADMITTED ASSETS	\$ 31,761,350	TOTAL LIABILITIES AND SURPLUS TO POLICYHOLDERS.....	\$ 31,761,350

Investments are valued in accordance with requirements of the National Association of Insurance Commissioners.
At December 31, 2013, investments with a carrying value of \$452,687,680 were deposited with government authorities
as required by law.

State, County & City of New York, — ss:

Yvonne Baker, Assistant Secretary

_____ of the Federal Insurance Company
being duly sworn, deposes and says that the foregoing Statement of Assets, Liabilities and Surplus to Policyholders of said
Federal Insurance Company on December 31, 2013 is true and correct and is a true abstract of the Annual Statement of said
Company as filed with the Secretary of the Treasury of the United States for the 12 months ending December 31, 2013.

Subscribed and sworn to before me
this March 11, 2014.

Jeanette Shipsey

Notary Public

JEANETTE SHIPSEY
Notary Public, State of New York
No. 02SH5074142
Qualified in Nassau County
Commission Expires March 10, 2015

Yvonne Baker

Assistant Secretary

Performance Bond #1 (Pages 92 to 95): Use if the total contract price is \$5 Million Or Less. Performance Bond #1 has been approved by the U.S. Small Business Administration ("SBA") for participation in its Bond Guarantee Program.

PERFORMANCE BOND #1 (Page 2)

good any such default and shall protect the said City of New York against, and pay any and all amounts, damages, cost and judgments which may or shall be recovered against said City or its officers or agents or which the said City of New York may be called upon to pay any person or corporation by reason of any damages arising or growing out of the Principal's default of the Contract, then this obligation shall be null and void, otherwise to remain in full force and effect.

The Surety (Sureties), for value received, hereby stipulates and agrees, upon written notice from the City that the City has determined that the Principal is in default of the Contract, to (1) pay the City the cost to complete the contract as determined by the City in excess of the balance of the Contract held by the City, plus any damages or costs to which the City is entitled, up to the full amount of the above penal sum, (2) fully perform and complete the Work to be performed under the Contract, pursuant to the terms, conditions, and covenants thereof, or (3) tender a completion Contractor that is acceptable to the City. The Surety (Sureties) further agrees, at its option, either to notify the City that it elects to pay the city the cost of completion plus any applicable damages and costs under option (1) above, or to commence and diligently perform the Work specified in the Contract, including physical site work, within twenty-five (25) business days after written notice thereof from the City and, if the Surety elects to fully perform and complete the Work, then to complete all Work within the time set forth in the Contract or such other time as agreed to between the City and Surety in accordance with the Contract. If the Surety elects to tender payment pursuant to (1) above, then the Surety shall tender such amount within fifteen (15) business days notification from the City of the cost of completion. The Surety and the City reserve all rights and defenses each may have against the other; provided, however, that the Surety expressly agrees that its reservation of rights shall not provide a basis for non-performance of its obligation to pay the City the cost of completion, to commence and complete all Work as provided herein, or to tender a completion contractor.

The Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties) and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or to the said Contract or the Work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or any moneys due or to become due thereunder; and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, and waivers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to subcontractors shall have the same effect as to said Surety (Sureties) as though done or omitted to be done by or in relation to said Principal. Notwithstanding the above, if the City makes payments to the Principal before the time required by the contract that in the aggregate exceed \$100,000 or 10% of the Contract price, whichever is less, and that have not become earned prior to the Principal being found to be in default, then all payments made to the Principal before the time required by the Contract shall be added to the remaining contract value available to be paid for the completion of the Contract as if such sums had not been paid to the Principal, but shall not provide a basis for non-performance of its obligation to pay the City the cost of completion, to commence and to complete all Work as provided herein, or to tender a completion contractor.

Performance Bond #1 (Pages 92 to 95): Use if the total contract price is \$5 Million Or Less. Performance Bond #1 has been approved by the U.S. Small Business Administration ("SBA") for participation in its Bond Guarantee Program.

PERFORMANCE BOND #1 (Page 3)

IN WITNESS WHEREOF, The Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this

_____ day of _____, 20____.
(Seal)

_____(L.S.)
Principal

(Seal) By: _____
Surety

(Seal) By: _____
Surety

(Seal) By: _____
Surety

(Seal) By: _____
Surety

(Seal) By: _____
Surety

By: _____

Bond Premium Rate _____

Bond Premium Cost _____

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by a duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.

Performance Bond #1 (Pages 92 to 95): Use if the total contract price is \$5 Million Or Less. Performance Bond #1 has been approved by the U.S. Small Business Administration ("SBA") for participation in its Bond Guarantee Program.

PERFORMANCE BOND #1 (Page 4)

ACKNOWLEDGMENT OF PRINCIPAL IF A CORPORATION

State of _____ County of _____ ss:

On this _____ day of _____, 20 _____ before me personally came _____,

to me known, who, being by me duly sworn did depose and say that he/she resides at _____

_____ ; that he/she is the _____ of the corporation described in and which executed the foregoing instrument; and that he/she signed his/her name to the foregoing instrument by order of the directors of said corporation as the duly authorized and binding act thereof.

Notary Public or Commissioner of Deeds.

ACKNOWLEDGMENT OF PRINCIPAL IF A PARTNERSHIP

State of _____ County of _____ ss:

On this _____ day of _____, 20 _____ before me personally came _____,

to me known, who, being by me duly sworn did depose and say that he/she resides at _____

_____ ; that he/she is _____ partner of _____, a limited/general partnership existing under the laws of the State of _____, the partnership described in and which executed the foregoing instrument; and that he/she signed his/her name to the foregoing instrument as the duly authorized and binding act of said partnership.

Notary Public or Commissioner of Deeds.

ACKNOWLEDGMENT OF PRINCIPAL IF AN INDIVIDUAL

State of _____ County of _____ ss:

On this _____ day of _____, 20 _____ before me personally came _____,

to me known, who, being by me duly sworn did depose and say that he/she resides at _____

_____ , and that he/she is the individual whose name is subscribed to the within instrument and acknowledged to me that by his/her signature on the instrument, said individual executed the instrument.

Notary Public or Commissioner of Deeds

Each executed bond should be accompanied by: (a) appropriate acknowledgments of the respective parties; (b) appropriate duly certified copy of Power of Attorney or other certificate of authority where bond is executed by agent, officer or other representative of Principal or Surety; (c) a duly certified extract from By-Laws or resolutions of Surety under which Power of Attorney or other certificate of authority of its agent, officer or representative was issued, and (d) certified copy of latest published financial statement of assets and liabilities of Surety.

* * * * *

Affix Acknowledgments and Justification of Sureties.

Performance Bond #2 (Pages 96 to 99): Use if the total contract price is more than \$5 Million.

PERFORMANCE BOND #2 (Page 1)

PERFORMANCE BOND #2

KNOW ALL PERSONS BY THESE PRESENTS:

That we, _____

hereinafter referred to as the "Principal,"

and, _____

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns in the penal sum of _____

(\$ _____) Dollars, lawful money of the United States for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full;

NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or its representatives or assigns, shall well and faithfully perform the said Contract and all modifications, amendments, additions and alterations thereto that may hereafter be made, according to its terms and its true intent and meaning, including repair and or replacement of defective work and guarantees of maintenance for the periods stated in the Contract, and shall fully indemnify and save harmless the City from all cost and damage which it may suffer by reason of the Principal's default of the Contract, and shall fully reimburse and repay the City for all outlay and expense which the City may incur in making

Performance Bond #2 (Pages 96 to 99): Use if the total contract price is more than \$5 Million.

PERFORMANCE BOND #2 (Page 2)

good any such default and shall protect the said City of New York against, and pay any and all amounts, damages, cost and judgments which may or shall be recovered against said City or its officers or agents or which the said City of New York may be called upon to pay any person or corporation by reason of any damages arising or growing out of the Principal's default of the Contract, then this obligation shall be null and void, otherwise to remain in full force and effect.

The Surety (Sureties), for value received, hereby stipulates and agrees, upon written notice from the City that the City has determined that the Principal is in default of the Contract, to either (1) pay the full amount of the above penal sum in complete discharge and exoneration of this bond and of all the liabilities of the Surety relating to this bond, or (2) fully perform and complete the Work to be performed under the Contract, pursuant to the terms, conditions, and covenants thereof. The Surety (Sureties) further agrees, at its option, either to tender the penal sum or to commence and diligently perform the Work specified in the Contract, including physical site work, within twenty-five (25) business days after written notice thereof from the City and to complete all Work within the time set forth in the Contract or such other time as agreed to between the City and Surety in accordance with the Contract. The Surety and the City reserve all rights and defenses each may have against the other; provided, however, that the Surety expressly agrees that its reservation of rights shall not provide a basis for non-performance of its obligation to commence and to complete all Work as provided herein.

The Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties) and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or to the said Contract or the Work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any Work to be performed or any moneys due or to become due thereunder; and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, subcontractors, and other transferees shall have the same effect as to said Surety (Sureties) as though done or omitted to be done by or in relation to said Principal.

Performance Bond #2 (Pages 96 to 99): Use if the total contract price is more than \$5 Million.

PERFORMANCE BOND #2 (Page 3)

IN WITNESS WHEREOF, The Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this

_____ day of _____ 20 _____.
(Seal)

Principal (L.S.)

By: _____
(Seal) Surety

By: _____
(Seal) Surety

By: _____
(Seal) Surety

By: _____
(Seal) Surety

By: _____
(Seal) Surety

By: _____

Bond Premium Rate _____

Bond Premium Cost _____

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by a duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.

Performance Bond #2 (Pages 96 to 99): Use if the total contract price is more than \$5 Million.

PERFORMANCE BOND #2 (Page 4)

ACKNOWLEDGMENT OF PRINCIPAL IF A CORPORATION

State of _____ County of _____ ss:

On this _____ day of _____, 20 _____ before me personally
came _____,
to me known, who, being by me duly sworn did depose and say that he resides
at _____

_____ ; that he/she is the _____
of the corporation described in and which executed the foregoing instrument; that he/she signed his/her name to the
foregoing instrument by order of the directors of said corporation as the duly authorized and binding act thereof.

Notary Public or Commissioner of Deeds.

ACKNOWLEDGMENT OF PRINCIPAL IF A PARTNERSHIP

State of _____ County of _____ ss:

On this _____ day of _____, 20 _____ before me personally
came _____,
to me known, who, being by me duly sworn did depose and say that he/she resides
at _____

_____ ; that he/she is _____ partner of
_____, a limited/general partnership existing under the laws of the State of
_____, the partnership described in and which executed the foregoing instrument;
and that he/she signed his/her name to the foregoing instrument as the duly authorized and binding act of
said partnership.

Notary Public or Commissioner of Deeds

ACKNOWLEDGMENT OF PRINCIPAL IF AN INDIVIDUAL

State of _____ County of _____ ss:

On this _____ day of _____, 20 _____ before me personally
came _____,
to me known, who, being by me duly sworn did depose and say that he/she resides
at _____

_____, and that he/she is the individual whose name is
subscribed to the within instrument and acknowledged to me that by his/her signature on the
instrument, said individual executed the instrument.

Notary Public or Commissioner of Deeds

Each executed bond should be accompanied by: (a) appropriate acknowledgments of the respective parties; (b) appropriate
duly certified copy of Power of Attorney or other certificate of authority where bond is executed by agent, officer or other
representative of Principal or Surety; (c) a duly certified extract from By-Laws or resolutions of Surety under which Power
of Attorney or other certificate of authority of its agent, officer or representative was issued, and (d) certified copy of latest
published financial statement of assets and liabilities of Surety.

* * * * *

Affix Acknowledgments and Justification of Sureties.

Payment Bond (Pages 100 to 103): Use for any contract for which a Payment Bond is required.

PAYMENT BOND (Page 1)

PAYMENT BOND

KNOW ALL PERSONS BY THESE PRESENTS, That we, _____

hereinafter referred to as the "Principal", and _____

hereinafter referred to as the "Surety" ("Sureties") are held and firmly bound to THE CITY OF NEW YORK, hereinafter referred to as the "City" or to its successors and assigns, in the penal sum of

(\$ _____) Dollars, lawful money of the United States, for the payment of which said sum of money well and truly to be made, we, and each of us, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal is about to enter, or has entered, into a Contract in writing with the City for

a copy of which Contract is annexed to and hereby made a part of this bond as though herein set forth in full;

NOW, THEREFORE, the conditions of this obligation are such that if the Principal, his or its representatives or assigns and other Subcontractors to whom Work under this Contract is sublet and his or their successors and assigns shall promptly pay or cause to be paid all lawful claims for

(a) Wages and compensation for labor performed and services rendered by all persons engaged in the prosecution of the Work under said Contract, and any amendment or extension thereof or addition thereto, whether such persons be agents servants or employees of the Principal or any such Subcontractor, including all persons so engaged who perform the work of laborers or mechanics at or in the vicinity of the site

Payment Bond (Pages 100 to 103): Use for any contract for which a Payment Bond is required.

PAYMENT BOND (Page 2)

of the Project regardless of any contractual relationship between the Principal or such Subcontractors, or his or their successors or assigns, on the one hand and such laborers or mechanics on the other, but not including office employees not regularly stationed at the site of the project; and

(b) Materials and supplies (whether incorporated in the permanent structure or not), as well as teams, fuels, oils, implements or machinery furnished, used or consumed by said Principal or any subcontractor at or in the vicinity of the site of the Project in the prosecution of the Work under said Contract and any amendment or extension thereof or addition thereto; then this obligation shall be void, otherwise to remain in full force and effect.

This bond is subject to the following additional conditions, limitations and agreements:

(a) The Principal and Surety (Sureties) agree that this bond shall be for the benefit of any materialmen or laborer having a just claim, as well as the City itself.

(b) All persons who have performed labor, rendered services or furnished materials and supplies, as aforesaid, shall have a direct right of action against the Principal and his, its or their successors and assigns, and the Surety (Sureties) herein, or against either or both or any of them and their successors and assigns. Such persons may sue in their own name, and may prosecute the suit to judgment and execution without the necessity of joining with any other persons as party plaintiff.

(c) The Principal and Surety (Sureties) agree that neither of them will hold the City liable for any judgment for costs of otherwise, obtained by either or both of them against a laborer or materialman in a suit brought by either a laborer or materialman under this bond for moneys allegedly due for performing work or furnishing material.

(d) The Surety (Sureties) or its successors and assigns shall not be liable for any compensation recoverable by an employee or laborer under the Workmen's Compensation Law.

(e) In no event shall the Surety (Sureties), or its successors or assigns, be liable for a greater sum than the penalty of this bond or be subject to any suit, action or proceeding hereon that is instituted by any person, firm, or corporation hereunder later than two years after the complete performance of said Contract and final settlement thereof.

The Principal, for himself and his successors and assigns, and the Surety (Sureties), for itself and its successors and assigns, do hereby expressly waive any objection that might be interposed as to the right of the City to require a bond containing the foregoing provisions, and they do hereby further expressly waive any defense which they or either of them might interpose to an action brought hereon by any person, firm or corporation, including subcontractors, materialmen and third persons, for work, labor, services, supplies or material performed rendered, or furnished as aforesaid upon the ground that there is no law authorizing the City to require the foregoing provisions to be place in this bond.

And the Surety (Sureties), for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of said Surety (Sureties), and its bonds shall be in no way impaired or affected by any extension of time, modification, omission, addition, or change in or of the said Contract or the work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any part thereof, or of any Work to be performed, or any moneys due to become due thereunder and said Surety (Sureties) does hereby waive notice of any and all of such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers, and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, Subcontractors, and other transferees shall have the same effect as to said Surety (Sureties) as though done or omitted to be done or in relation to said Principal.

Payment Bond (Pages 100 to 103): Use for any contract for which a Payment Bond is required.

PAYMENT BOND (Page 3)

IN WITNESS WHEREOF, the Principal and the Surety (Sureties) have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereunto affixed and these presents to be signed by their proper officers, this _____ day of _____, _____.

(Seal)

_____(L.S.)
Principal

By: _____

(Seal)

Surety

By: _____

(Seal)

Surety

By: _____

(Seal)

Surety

By: _____

(Seal)

Surety

By: _____

If the Contractor (Principal) is a partnership, the bond should be signed by each of the individuals who are partners.

If the Contractor (Principal) is a corporation, the bond should be signed in its correct corporate name by a duly authorized officer, agent, or attorney-in-fact.

There should be executed an appropriate number of counterparts of the bond corresponding to the number of counterparts of the Contract.

Payment Bond (Pages 100 to 103): Use for any contract for which a Payment Bond is required.

PAYMENT BOND (Page 4)

ACKNOWLEDGMENT OF PRINCIPAL, IF A CORPORATION

State of _____ County of _____ ss:

On this _____ day of _____, _____, before me personally came _____ to me known, who, being by me duly sworn did depose and say that he resides at _____ that he is the _____ of the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that one of the seals affixed to said instrument is such seal; that it was so affixed by order of the directors of said corporation, and that he signed his name thereto by like order.

Notary Public or Commissioner of Deeds

ACKNOWLEDGMENT OF PRINCIPAL, IF A PARTNERSHIP

State of _____ County of _____ ss:

On this _____ day of _____, _____, before me personally appeared _____ to me known, and known to me to be one of the members of the firm of _____ described in and who executed the foregoing instrument; and he acknowledged to me that he executed the same as and for the act and deed of said firm.

Notary Public or Commissioner of Deeds

ACKNOWLEDGMENT OF PRINCIPAL, IF AN INDIVIDUAL

State of _____ County of _____ ss:

On this _____ day of _____, _____, before me personally appeared _____ to me known, and known to me to be the person described in and who executed the foregoing instrument; and acknowledged that he executed the same.

Notary Public or Commissioner of Deeds

Each executed bond should be accompanied by: (a) appropriate acknowledgments of the respective parties; (b) appropriate duly certified copy of Power of Attorney or other certificate of authority where bond is executed by agent, officer or other representative of Principal or Surety; (c) a duly certified extract from By-Laws or resolutions of Surety under which Power of Attorney or other certificate of authority of its agent, officer or representative was issued, and (d) certified copy of latest published financial statement of assets and liabilities of Surety.

Affix Acknowledgments and Justification of Sureties.

(NO TEXT ON THIS PAGE)

SCHEDULE OF WORKMEN, MECHANICS AND LABORERS

The following is a list of classifications for workmen, mechanics and laborers which are anticipated to be employed in the performance of work under this contract, followed by a schedule of the prevailing wage rates and supplemental benefits for all classifications as established by the Comptroller of the City of New York.

Request for interpretation or correction under Subsection A of Section No. 3 in the Information for Bidders includes all requests for clarification of the classification of trades to be employed in the performance of the work under this contract.

In the event that a trade not listed in the classification of trades required to be used at the time of the award of the contract is in fact employed during the performance of this contract, the Contractor shall be required to obtain from the agency the prevailing wage rates and supplementary benefits for the trades used and to complete the performance of this contract at the price at which the contract was awarded.

<u>CODE</u>	<u>CLASSIFICATION</u>
15 42 001	Rigger
15 42 002	Sign Erector
16 11 001	Gardener
16 11 002	Tree Pruner
16 11 003	Tree Remover
16 11 011	Asphalt Raker (Highway & Paving)
16 11 012	Tamper (Highway & Paving)
16 11 013	Curbsetter (Highway & Paving)
16 11 014	Formsetter (Highway & Paving)
16 11 015	Rammerman (Highway & Paving)
16 11 016	Laborer (Highway & Paving)
16 11 017	ALL OTHER TITLES (Highway & Paving)
16 23 001	Laborer
16 23 002	Operating Engineer (Heavy Construction-Maintenance)
16 23 003	Junior Operating Engineer
16 23 004	Junior Operating Engineer
16 23 005	Junior Operating Engineer
16 23 006	Fireman (Heavy Construction)
16 23 007	Oiler (Heavy Construction)
16 23 051	Surveyor-Heavy Construction
16 23 052	Surveyor-Heavy Construction-Instrument Man
16 23 053	Surveyor-Heavy Construction-Rodman
16 23 057	Surveyor-Land Surveying-Party Chief
16 23 058	Surveyor-Land Surveying-Instrument Man
16 23 059	Surveyor-Land Surveying-Rodman

<u>CODE</u>	<u>CLASSIFICATION</u>
16 23 061	Operating Engineer-Road & Heavy Construction
16 23 062	Operating Engineer-Paving
16 23 063	Operating Engineer-Concrete
16 23 071	Teamster-Heavy Equipment Trailer Driver
16 23 072	Teamster-Dump Truck Driver
16 23 073	Teamster-Flat Bed Trailer Driver (3-Axle)
16 23 074	Teamster-Redi-Mix (Sand and Gravel)
16 29 011	Drill Runners
17 11 001	Plumbers
17 21 001	Painter (Brush & Roller)
17 31 001	Electrician
17 41 001	Bricklayer
17 41 002	Mason Tender
17 41 004	Cement Mason
17 42 002	Metallic Lather
17 51 001	Carpenter
17 51 002	Dock Builder
17 71 001	Cement & Concrete Worker
17 91 001	Structural Iron Worker
17 95 001	Barman
17 96 021	Derrickmen & Riggers
17 99 001	Ornamental Iron Worker
17 99 002	Sandblaster
17 99 005	Pointers (Waterproofer)
17 99 011	Welders

Each classification may include trainees depending upon project staffing schedules and as required by the terms of this contract.

**OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE**

LABOR LAW §220 PREVAILING WAGE SCHEDULE

Workers, Laborers and Mechanics employed on a public work project must receive not less than the prevailing rate of wage and benefits for the classification of work performed by each upon such public work. Pursuant to Labor Law §220 the Comptroller of the City of New York has promulgated this schedule solely for Workers, Laborers and Mechanics engaged by private contractors on New York City public work contracts.

Contracting agencies anticipating doing work which requires the employment of a trade or classification not included in this schedule must request the Comptroller to establish a proper classification for the work pursuant to Labor Law §220 (3-a) (a). The prevailing rate schedule as promulgated by the Comptroller, must, in compliance with law, be annexed to and form part of the contract.

Contractors are solely responsible for maintaining original payroll records which delineate, among other things, the hours each employee worked within a given classification. Contractors using rates and/or classifications not promulgated by the Comptroller do so at their own risk. Additionally, prior to bid, Agency Chief Contracting Officers must contact the Bureau of Labor Law when the need arises for a work classification not published in this schedule.

The appropriate schedule of prevailing wages and benefits must be posted at all public work sites pursuant to Labor Law §220 (3-a) (a).

This schedule is applicable for work performed during the effective period, unless otherwise noted. You will be notified of any changes to this schedule by addenda published on our web site at www.comptroller.nyc.gov. The rate of wages and supplemental benefits to be paid or provided are those that prevail at the time the work is being performed. Preliminary schedules for future one-year periods are published annually in the City Record on or about June 1st of each succeeding year. Final schedules are published on or about July 1st in the City Record and on our web site at www.comptroller.nyc.gov.

The Comptroller's Office has attempted to include all overtime, shift and night differential, Holiday, Saturday, Sunday or other premium time work. However, this schedule does not set forth every prevailing practice with respect to such rates with which employers must comply. All such practices are nevertheless part of the employer's prevailing wage obligation and contained in the collective bargaining agreements of the prevailing wage unions. These collective bargaining agreements are available for inspection by appointment. Requests for appointments may be made by calling (212) 669-4443, Monday through Friday between the hours of 9 a.m. and 5 p.m.

Answers to questions concerning prevailing trade practices may be obtained from the Classification Unit by calling (212) 669-7974. Please direct all other compliance issues to: Bureau of Labor Law, Attn: Wasyl Kinach, P.E., Office of the Comptroller, 1 Centre Street, Room 1122, New York, N.Y. 10007; Fax (212) 669-4002.

Prevailing rates and ratios for apprentices are attached to this schedule in the Appendix. Pursuant to Labor Law §220 (3-e), only apprentices who are individually registered in a bona fide program to which the employer contractor is a participant, registered with the New York State Department of Labor, may be employed on a public work project. Workers who are not journey persons or not registered apprentices pursuant to Labor Law §220 (3-e) may not be substituted for apprentices and must be paid as journey persons.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Contractors are advised to review the applicable Collective Bargaining Agreements and the Comptroller's Prevailing Wage Schedule before bidding on Public Work. If there are any questions concerning prevailing wages, benefits, overtime, Holiday pay, shift differentials or any prevailing practice, please contact this office.

Public Work construction, reconstruction, demolition, excavation, rehabilitation, repair, renovation, alteration, or improvement contracts awarded pursuant to a Project Labor Agreement ("PLA") in accordance with Labor Law section 222 may have different labor standards for shift, premium and overtime work. Please refer to the PLA's pre-negotiated labor agreements for wage and benefit rates applicable to work performed outside of the regular workday. More information is available at the Mayor's Office of Contract Services (MOCS) web page at <http://www.nyc.gov/html/mocs/html/vendors/pla.shtml>.

All the provisions of Labor Law section 220 remain applicable to PLA work including, but not limited to, the enforcement of prevailing wage requirements by the Comptroller; however, we will enforce shift, premium, overtime and other non-standard rates as they appear in a project's pre-negotiated labor agreement.

Any error as to compensation under the prevailing wage law or other information as to trade classification, made by the contracting agency in the contract documents or in any other communication, will not preclude a finding against the contractor of prevailing wage violation.

In order to meet their obligation to provide prevailing supplemental benefits to each covered employee, employers must either:

- 1) Provide bona-fide benefits which cost the employer no less than the prevailing supplemental benefits rate; or
- 2) Supplement the employee's hourly wage by an amount no less than the prevailing supplemental benefits rate; or
- 3) Provide a combination of bona-fide benefits and wage supplements which cost the employer no less than the prevailing supplemental benefits rate in total.

Particular attention should be given to the supplemental benefits requirement. Although in most instances the payment or provision for supplemental benefits is for each hour worked, some classifications require the payment or provision of supplemental benefits for each hour paid. Consequently, some prevailing practices require benefits to be purchased at the overtime, shift differential, Holiday, Saturday, Sunday or other premium time rate.

Benefits are paid for EACH HOUR WORKED unless otherwise noted.

Wasył Kinach, P.E.
Director of Classifications
Bureau of Labor Law

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

220 SCHEDULE OF PREVAILING WAGES AND SUPPLEMENTAL BENEFITS ADDENDUM
EFFECTIVE PERIOD JANUARY 20, 2014 THROUGH JUNE 30, 2014

List of Amended Classifications

1. ASBESTOS HANDLER
2. BRICKLAYER
3. CARPENTER - BUILDING COMMERCIAL
4. CEMENT & CONCRETE WORKER
5. CORE DRILLER
6. ELECTRICIAN
7. FLOOR COVERER
8. HEAT AND FROST INSULATOR
9. HOUSE WRECKER
10. IRON WORKER - ORNAMENTAL
11. IRON WORKER - STRUCTURAL
12. MARBLE MECHANIC
13. MASON TENDER
14. MASON TENDER (INTERIOR DEMOLITION WORKER)
15. MOSAIC MECHANIC
16. PAINTER - STRUCTURAL STEEL
17. PLASTERER
18. PLASTERER - TENDER
19. PLUMBER
20. PLUMBER (MECHANICAL EQUIPMENT AND SERVICE)
21. PLUMBER (RESIDENTIAL RATES FOR 1, 2 AND 3 FAMILY HOME CONSTRUCTION)
22. PLUMBER: PUMP & TANK
23. ROOFER
24. STEAMFITTER
25. STEAMFITTER - REFRIGERATION AND AIR CONDITIONER
26. STONE MASON - SETTER
27. TILE FINISHER
28. TILE LAYER - SETTER

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

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§220 PREVAILING WAGE SCHEDULE

ASBESTOS HANDLER

(Hazardous Material; Disturbs, removes, encapsulates, repairs, or encloses friable asbestos material)

Asbestos Handler

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: **\$35.90**

Supplemental Benefit Rate per Hour: **\$15.05**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$36.00**

Supplemental Benefit Rate per Hour: **\$15.45**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Sunday.

Time and one half the regular hourly rate after 40 hours in any work week.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Easter

Paid Holidays

None

(Local #78 and Local #12A)

BLASTER

Blaster

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$44.40**

Supplemental Benefit Rate per Hour: **\$38.44**

Blaster (Hydraulic)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$45.17

Supplemental Benefit Rate per Hour: \$38.44

Blaster - Trac Drill Hydraulic

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$40.04

Supplemental Benefit Rate per Hour: \$38.44

Blaster - Wagon: Air Trac: Quarry Bar: Drillrunners

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$39.30

Supplemental Benefit Rate per Hour: \$38.44

Blaster - Operators of Jack Hammers

Chippers: Spaders: Concrete Breakers: and all other pneumatic tools of like usage: Walk Behind Self Propelled Hydraulic Asphalt and Concrete Breakers: Hydro (Water) Demolition

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$38.32

Supplemental Benefit Rate per Hour: \$38.44

Blaster - Powder Carriers

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$34.66

Supplemental Benefit Rate per Hour: \$38.44

Blaster - Hydraulic Trac Drill Chuck Tender

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$33.46

Supplemental Benefit Rate per Hour: \$38.44

Blaster - Chuck Tender & Nipper

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$32.75

Supplemental Benefit Rate per Hour: \$38.44

Blaster - Magazine Keepers: (Watch Person)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$19.76

Supplemental Benefit Rate per Hour: \$38.44

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Overtime Description

Magazine Keepers:

Time and one half for work performed in excess of forty (40) hours per week and for work performed on Saturdays, Sundays and Holidays.

All Other Employees:

Time and one-half for the first eight hours of work on Saturday and for Make-up Time. Double time for all hours over eight Monday through Friday (except make-up hours) and for all hours worked on Sunday and Holidays.

Overtime

Double time the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

A single shift shall be 8 hours plus an unpaid lunch, starting at 8:00 A.M (or between 6:00 A.M. and 10:00 A.M. on weekdays). When two (2) shifts are employed, each shift shall be 8 hours plus $\frac{1}{2}$ hour unpaid lunch. When three (3) shifts are employed, each shift will work seven and one-half ($7 \frac{1}{2}$) hours, but will be paid for eight (8) hours, since only one-half ($\frac{1}{2}$) hour is allowed for mealtime. When two (2) or more shifts are employed, single time will be paid for each shift. The first 8 hours of any and all work performed Monday through Friday inclusive of any off-shift shall be at the single time rate.

(Local #29)

BOILERMAKER

Boilermaker

Effective Period: 7/1/2013 - 12/31/2013

Wage Rate per Hour: \$49.47

Supplemental Benefit Rate per Hour: \$39.78

Supplemental Note: For time and one half overtime - \$59.08; For double overtime - \$78.37.

Effective Period: 1/1/2014 - 6/30/2014

Wage Rate per Hour: \$50.45

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate per Hour: \$41.31

Supplemental Note: For time and one half overtime - \$61.37; For double overtime - \$81.43.

Overtime Description

For Repair and Maintenance work:

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

For New Construction work:

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Columbus Day

Election Day

Veteran's Day

Thanksgiving Day

Christmas Day

Quadruple time the regular rate for work on the following holiday(s).

Labor Day

Paid Holidays

Good Friday

Day after Thanksgiving

Day before Christmas

Day before New Year's Day

Shift Rates

When shifts are required, the first shift shall work eight (8) hours at the regular straight-time hourly rate. The second shift shall work seven and one-half (7 ½) hours and receive eight hours at the regular straight time hourly rate plus twenty-five cents (\$0.25) per hour. The third shift shall work seven (7) hours and receive eight hours at the regular straight time hourly rate plus fifty cents (\$0.50) per hour. A thirty (30) minute lunch period shall not be considered as time worked. Work in excess of the above shall be paid overtime at the appropriate new construction work or repair work overtime wage and supplemental benefit hourly rate.

(Local #5)

BRICKLAYER

Bricklayer

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: \$46.44

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate per Hour: \$27.53

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: \$47.78

Supplemental Benefit Rate per Hour: \$28.03

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

Overtime rates to be paid outside the regular scheduled work day.

(Bricklayer District Council)

CARPENTER - BUILDING COMMERCIAL

Building Commercial

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: \$48.08

Supplemental Benefit Rate per Hour: \$41.10

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: \$48.88

Supplemental Benefit Rate per Hour: \$42.70

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
\$220 PREVAILING WAGE SCHEDULE

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
Washington's Birthday
Memorial Day
Independence Day
Labor Day
Columbus Day
Presidential Election Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

None

Shift Rates

The second shift will receive one hour at the double time rate of pay for the last hour of the shift; eight hours pay for seven hours of work, nine hours pay for eight hours of work. There must be a first shift in order to work a second shift.

(Carpenters District Council)

CARPENTER - HEAVY CONSTRUCTION WORK (Construction of Engineering Structures and Building Foundations)

Heavy Construction Work

Effective Period: 7/1/2013 - 7/17/2013

Wage Rate per Hour: **\$46.74**

Supplemental Benefit Rate per Hour: **\$42.37**

Effective Period: 7/18/2013 - 6/30/2014

Wage Rate per Hour: **\$46.82**

Supplemental Benefit Rate per Hour: **\$44.97**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Memorial Day
Independence Day
Labor Day
Columbus Day
Presidential Election Day
Thanksgiving Day
Christmas Day

Paid Holidays

None

Shift Rates

Off shift work commencing between 5:00 P.M. and 11:00 P.M. shall work eight and one half hours allowing for one half hour for lunch. The wage rate shall be 113% of the straight time hourly wage rate.

(Carpenters District Council)

CEMENT & CONCRETE WORKER

Cement & Concrete Worker

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: **\$42.33**

Supplemental Benefit Rate per Hour: **\$26.17**

Supplemental Note: \$28.92 on Saturdays; \$31.67 on Sundays & Holidays

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$42.38**

Supplemental Benefit Rate per Hour: **\$26.17**

Supplemental Note: \$28.92 on Saturdays; \$31.67 on Sundays & Holidays

Overtime Description

Time and one half the regular rate after 7 hour day (time and one half the regular rate after an 8 hour day when working with Dockbuilders on pile cap forms and for work below street level to the top of the foundation wall, not to exceed 2 feet or 3 feet above the sidewalk-brick shelf, when working on the foundation and structure.)

Overtime

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Good Friday
Memorial Day
Independence Day
Labor Day
Columbus Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Presidential Election Day
Thanksgiving Day
Christmas Day

Paid Holidays

1/2 day before Christmas Day
1/2 day before New Year's Day

Shift Rates

On shift work extending over a twenty-four hour period, all shifts are paid at straight time.

(Cement Concrete Workers District Council)

CEMENT MASON

Cement Mason

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$38.63**

Supplemental Benefit Rate per Hour: **\$39.05**

Supplemental Note: Overtime supplemental benefit rate per hour: **\$57.55**

Overtime Description

Time and one-half the regular rate after an 8 hour day, double time the regular rate after 10 hours. Time and one-half the regular rate on Saturday, double time the regular rate after 10 hours. Double time the regular rate on Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Good Friday
Memorial Day
Independence Day
Labor Day
Columbus Day
Presidential Election Day
Thanksgiving Day
Christmas Day

Paid Holidays

Any worker who reports to work on Christmas Eve or New Year's Eve pursuant to his employer's instruction shall be entitled to three (3) hours afternoon pay without working.

Shift Rates

For an off shift day, (work at times other than the regular 7:00 A.M. to 3:30 P.M. work day) a cement mason shall be paid at the regular hourly rate plus a 25% per hour differential. Four Days a week at Ten (10)hour day.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
\$220 PREVAILING WAGE SCHEDULE

(Local #780)

CORE DRILLER

Core Driller

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: **\$35.44**

Supplemental Benefit Rate per Hour: **\$19.75**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$35.71**

Supplemental Benefit Rate per Hour: **\$21.69**

Core Driller Helper

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: **\$28.60**

Supplemental Benefit Rate per Hour: **\$19.75**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$28.60**

Supplemental Benefit Rate per Hour: **\$21.69**

Core Driller Helper(Third year in the industry)

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: **\$25.74**

Supplemental Benefit Rate per Hour: **\$19.75**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$25.74**

Supplemental Benefit Rate per Hour: **\$21.69**

Core Driller Helper (Second year in the industry)

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: **\$22.88**

Supplemental Benefit Rate per Hour: **\$19.75**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$22.88**

Supplemental Benefit Rate per Hour: **\$21.69**

Core Driller Helper (First year in the industry)

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: **\$20.02**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate per Hour: \$19.75

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: \$20.02

Supplemental Benefit Rate per Hour: \$21.69

Overtime Description

Time and one half the regular rate for work on a holiday plus Holiday pay when worked.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Time and one half the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Shift Rates

The shift day shall be the continuous eight and one-half (8½) hours from 6:00 A.M. to 2:30 P.M. and from 2:30 P.M. to 11:00 P.M., including one-half (½) hour of employees regular rate of pay for lunch. When two (2) or more shifts are employed, single time shall be paid for each shift, but those employees employed on a shift other than from 8:00 A.M. to 5:00 P.M. shall, in addition, receive seventy-five cents (\$0.75) per hour differential for each hour worked. When three (3) shifts are needed, each shift shall work seven and one-half (7 ½) hours paid for eight (8) hours of labor and be permitted one-half (½) hour for mealtime.

(Carpenters District Council)

DERRICKPERSON AND RIGGER

Derrick Person & Rigger

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$41.00

Supplemental Benefit Rate per Hour: \$46.07

Supplemental Note: The above supplemental rate applies for work performed in Manhattan, Bronx, Brooklyn and Queens. \$47.49 - For work performed in Staten Island.

Derrick Person & Rigger - Site Work

For site work where no rigging is involved.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$30.00

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate per Hour: \$31.32

Overtime Description

The first two hours of overtime on weekdays and the first seven hours of work on Saturdays are paid at time and one half for wages and supplemental benefits. All additional overtimes is paid at double time for wages and supplemental benefits. Deduct \$1.42 from the Staten Island hourly benefits rate before computing overtime.

Overtime

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
Washington's Birthday
Good Friday
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Christmas Day

Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

(Local #197)

DIVER

Diver (Marine)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$59.40

Supplemental Benefit Rate per Hour: \$44.97

Diver Tender (Marine)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$42.05

Supplemental Benefit Rate per Hour: \$44.97

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Presidential Election Day
Thanksgiving Day
Christmas Day

Paid Holidays

None

Shift Rates

When three shifts are utilized each shift shall work seven and one half-hours (7 1/2 hours) and paid for 8 hours, allowing for one half hour for lunch.

(Carpenters District Council)

DOCKBUILDER - PILE DRIVER

Dockbuilder - Pile Driver

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$46.82

Supplemental Benefit Rate per Hour: \$44.97

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Presidential Election Day
Thanksgiving Day
Christmas Day

Paid Holidays

None

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
\$220 PREVAILING WAGE SCHEDULE

Shift Rates

Off shift work commencing between 5:00 P.M. and 11:00 P.M. shall work eight and one half hours allowing for one half hour for lunch. The wage rate shall be 113% of the straight time hourly wage rate.

(Carpenters District Council)

DRIVER: TRUCK (TEAMSTER)

Driver - Automobile Chauffeur (Dump Truck)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$38.11

Supplemental Benefit Rate per Hour: \$40.20

Driver - Heavy Equipment Trailer Driver

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$39.61

Supplemental Benefit Rate per Hour: \$40.20

Note: For time and one half overtime Wage Rate - \$57.16; for double time overtime Wage Rate - \$76.21

Driver - Euclid & Turnapull Operator

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$38.67

Supplemental Benefit Rate per Hour: \$40.20

Driver - Six Wheeler(3 Axle) Tractors & Trailers

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$39.11

Supplemental Benefit Rate per Hour: \$40.20

Note: For time and one half overtime Wage Rate - \$58.01; for double time overtime Wage Rate - \$77.34

Driver - Boom Truck

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$39.36

Supplemental Benefit Rate per Hour: \$40.20

Note: For time and one half overtime Wage Rate - \$58.01; for double time overtime Wage Rate - \$77.34

Overtime Description

For Paid Holidays: Holiday pay for all holidays shall be prorated based two hours per day for each day worked in the holiday week, not to exceed 8 hours of holiday pay. For Thanksgiving week, the prorated share shall be 5 1/3 hours of holiday pay for each day worked in Thanksgiving week.

Overtime

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Time and one half the regular rate after an 8 hour day.
Time and one half the regular rate for Saturday.
Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Driver - Redi-Mix Driver (Sand & Gravel)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$35.71

Supplemental Benefit Rate per Hour: \$37.27

Overtime Description

For Paid Holidays: Employees working two (2) days in the calendar week in which the holiday falls are to paid for these holidays, provided they shape each remaining workday during that calendar week.

Overtime

Time and one half the regular rate after an 8 hour day.
Time and one half the regular rate for Saturday.
Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

President's Day
Columbus Day
Veteran's Day

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Triple time the regular rate for work on the following holiday(s).

New Year's Day
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Christmas Day

Paid Holidays

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Election Day
Thanksgiving Day
Christmas Day

(Local #282)

ELECTRICIAN

(Including all low voltage cabling carrying data; video; and voice in combination with data and or video.)

Electrician "A" (Regular Day)

Effective Period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$52.00

Supplemental Benefit Rate per Hour: \$46.13

Effective Period: 5/14/2014 - 6/30/2014

Wage Rate per Hour: \$53.00

Supplemental Benefit Rate per Hour: \$47.54

Electrician "A" (Regular Day Overtime)

Effective Period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$78.00

Supplemental Benefit Rate per Hour: \$49.39

Effective Period: 5/14/2014 - 6/30/2014

Wage Rate per Hour: \$79.50

Supplemental Benefit Rate per Hour: \$50.86

Electrician "A" (Day Shift)

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Effective Period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$52.00

Supplemental Benefit Rate per Hour: \$46.13

Effective Period: 5/14/2014 - 6/30/2014

Wage Rate per Hour: \$53.00

Supplemental Benefit Rate per Hour: \$47.54

Electrician "A" (Day Shift Overtime After 8 hours)

Effective Period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$78.00

Supplemental Benefit Rate per Hour: \$49.39

Effective Period: 5/14/2014 - 6/30/2014

Wage Rate per Hour: \$79.50

Supplemental Benefit Rate per Hour: \$50.86

Electrician "A" (Swing Shift)

Effective Period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$61.01

Supplemental Benefit Rate per Hour: \$52.47

Effective Period: 5/14/2014 - 6/30/2014

Wage Rate per Hour: \$62.19

Supplemental Benefit Rate per Hour: \$54.07

Electrician "A" (Swing Shift Overtime After 7.5 hours)

Effective Period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$91.52

Supplemental Benefit Rate per Hour: \$56.30

Effective Period: 5/14/2014 - 6/30/2014

Wage Rate per Hour: \$93.29

Supplemental Benefit Rate per Hour: \$57.97

Electrician "A" (Graveyard Shift)

Effective Period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$68.34

Supplemental Benefit Rate per Hour: \$57.83

Effective Period: 5/14/2014 - 6/30/2014

Wage Rate per Hour: \$69.66

Supplemental Benefit Rate per Hour: \$59.59

Electrician "A" (Graveyard Shift Overtime After 7 hours)

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Effective Period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$102.51

Supplemental Benefit Rate per Hour: \$62.11

Effective Period: 5/14/2014 - 6/30/2014

Wage Rate per Hour: \$104.49

Supplemental Benefit Rate per Hour: \$63.96

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on a holiday.

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

Shift Rates

When so elected by the Employer, one or more shifts of at least five days duration may be scheduled as follows:

Day Shift: 8:00 am to 4:30 pm, Swing Shift 4:30 pm to 12:30 am, Graveyard Shift: 12:30 am to 8:00 am.

For multiple shifts of temporary light and/or power, the temporary light and/or power employee shall be paid for 8 hours at the straight time rate. For three or less workers performing 8 hours temporary light and/or power the supplemental benefit rate is \$22.86 effective 1/20/2014 and \$23.63 effective 5/14/2014.

Electrician "M" (First 8 hours)

"M" rated work shall be defined as jobbing: electrical work of limited duration and scope, also consisting of repairs and/or replacement of electrical and tele-data equipment. Includes all work necessary to retrofit, service, maintain and repair all kinds of lighting fixtures and local lighting controls and washing and cleaning of foregoing fixtures.

Effective Period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$26.50

Supplemental Benefit Rate per Hour: \$19.56

First and Second Year "M" Wage Rate Per Hour - Hired on or before 5/10/07: \$25.80

First and Second Year "M" Supplemental Rate- Hired on or before 5/10/07: \$19.21

First and Second Year "M" Wage Rate Per Hour - Hired after 5/10/07: \$22.00

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First and Second Year "M" Supplemental Rate- Hired after 5/10/07: \$17.30

Effective Period: 5/14/2014 - 6/30/2014

Wage Rate per Hour: \$27.00

Supplemental Benefit Rate per Hour: \$20.32

First and Second Year "M" Wage Rate Per Hour - Hired on or before 5/10/07: \$26.30

First and Second Year "M" Supplemental Rate- Hired on or before 5/10/07: \$19.96

First and Second Year "M" Wage Rate Per Hour - Hired after 5/10/07: \$22.50

First and Second Year "M" Supplemental Rate- Hired after 5/10/07: \$18.06

Electrician "M" (Overtime After First 8 hours)

"M" rated work shall be defined as jobbing: electrical work of limited duration and scope, also consisting of repairs and/or replacement of electrical and tele-data equipment. Includes all work necessary to retrofit, service, maintain and repair all kinds of lighting fixtures and local lighting controls and washing and cleaning of foregoing fixtures.

Effective Period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$39.75

Supplemental Benefit Rate per Hour: \$21.23

First and Second Year "M" Wage Rate Per Hour - Hired on or before 5/10/07: \$38.70

First and Second Year "M" Supplemental Rate- Hired on or before 5/10/07: \$20.83

First and Second Year "M" Wage Rate Per Hour - Hired after 5/10/07: \$33.00

First and Second Year "M" Supplemental Rate- Hired after 5/10/07: \$18.68

Effective Period: 5/14/2014 - 6/30/2014

Wage Rate per Hour: \$40.50

Supplemental Benefit Rate per Hour: \$21.01

First and Second Year "M" Wage Rate Per Hour - Hired on or before 5/10/07: \$39.45

First and Second Year "M" Supplemental Rate- Hired on or before 5/10/07: \$21.61

First and Second Year "M" Wage Rate Per Hour - Hired after 5/10/07: \$33.75

First and Second Year "M" Supplemental Rate- Hired after 5/10/07: \$19.47

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

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(Local #3)

ELECTRICIAN - ALARM TECHNICIAN

(Scope of Work - Inspect, test, repair, and replace defective, malfunctioning, or broken devices, components and controls of Fire, Burglar and Security Systems)

Alarm Technician

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$30.40**

Supplemental Benefit Rate per Hour: **\$13.90**

Supplemental Note: \$12.40 only after 8 hours worked in a day

Overtime Description

Time and one half the regular rate for work on the following holidays: Columbus Day, Veterans Day, Day after Thanksgiving.

Double time the regular rate for work on the following holidays: New Year's day, Martin Luther King Jr. Day, President's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas Day.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Paid Holidays

New Year's Day
Martin Luther King Jr. Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Shift Rates

Night Differential is based upon a ten percent (10%) differential between the hours of 4:00 P.M. and 12:30 A.M. and a fifteen percent (15%) differential for the hours 12:00 A.M. to 8:00 A.M.

Vacation

At least 1 year of employment.....ten (10) days
5 years or more of employment.....fifteen (15) days
10 years of employment.....twenty (20) days

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Plus one Personal Day per year

Sick Days:
One day per Year

(Local #3)

ELECTRICIAN-STREET LIGHTING WORKER

Electrician - Electro Pole Electrician

Effective Period: 7/1/2013 - 5/20/2014

Wage Rate per Hour: **\$52.00**

Supplemental Benefit Rate per Hour: **\$47.90**

Effective Period: 5/21/2014 - 6/30/2014

Wage Rate per Hour: **\$53.00**

Supplemental Benefit Rate per Hour: **\$49.34**

Electrician - Electro Pole Foundation Installer

Effective Period: 7/1/2013 - 5/20/2014

Wage Rate per Hour: **\$39.42**

Supplemental Benefit Rate per Hour: **\$36.46**

Effective Period: 5/21/2014 - 6/30/2014

Wage Rate per Hour: **\$40.18**

Supplemental Benefit Rate per Hour: **\$37.73**

Electrician - Electro Pole Maintainer

Effective Period: 7/1/2013 - 5/20/2014

Wage Rate per Hour: **\$33.75**

Supplemental Benefit Rate per Hour: **\$32.83**

Effective Period: 5/21/2014 - 6/30/2014

Wage Rate per Hour: **\$34.40**

Supplemental Benefit Rate per Hour: **\$34.00**

Overtime Description

Electrician - Electro Pole Electrician: Time and one half the regular rate after a 7 hour day and after 5 consecutive days worked per week.

Electrician - Electro Pole Foundation Installer: Time and one half the regular rate after 8 hours within a 24 hour period and Saturday and Sunday.

Electrician - Electro Pole Maintainer: Time and one half the regular rate after a 7 hour day and after 5 consecutive days worked per week. Saturdays and Sundays may be used as a make-up day at straight time when a day is lost during the week to inclement weather.

Overtime Holidays

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Time and one half the regular rate for work on the following holiday(s).

New Year's Day
Martin Luther King Jr. Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

None

(Local #3)

ELEVATOR CONSTRUCTOR

Elevator Constructor

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$57.01

Supplemental Benefit Rate per Hour: \$34.48

Overtime Description

For New Construction: work performed after 7 or 8 hour day, Saturday, Sunday or between 4:30pm and 7:00am shall be paid at double time rate.

Existing buildings: work performed after an 8 hour day, Saturday, Sunday or between 5:30pm and 7:00 am shall be paid time and one half.

Overtime

Double time the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day
President's Day
Good Friday
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Vacation

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Employer contributes 8% of regular basic hourly rate as vacation pay for employees with more than 15 years of service, and 6% for employees with 5 to 15 years of service, and 4% for employees with less than 5 years of service.

(Local #1)

ELEVATOR REPAIR & MAINTENANCE

Elevator Service/Modernization Mechanic

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$45.14

Supplemental Benefit Rate per Hour: \$33.02

Overtime Description

For Service Work: Double time - all work performed on Sundays, Holidays, and between midnight and 7:00am.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Time and one half the regular rate for work on a holiday plus the day's pay.

Paid Holidays

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Shift Rates

For Modernization Work (4pm to 12:30am) - regularly hourly rate plus a (15%) fifteen percent differential.

Vacation

Employer contributes 8% of regular basic hourly rate as vacation pay for employees with more than 15 years of service, and 6% for employees with 5 to 15 years of service, and 4% for employees with less than 5 years of service.

(Local #1)

ENGINEER

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Engineer - Heavy Construction Operating Engineer I

Cherry pickers 20 tons and over and Loaders (rubber tired and/or tractor type with a manufacturer's minimum rated capacity of six cubic yards and over).

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$61.05

Supplemental Benefit Rate per Hour: \$31.93

Supplemental Note: \$57.46 on overtime

Shift Wage Rate: \$97.68

Engineer - Heavy Construction Operating Engineer II

Backhoes, Basin Machines, Groover, Mechanical Sweepers, Bobcat, Boom Truck, Barrier Transport (Barrier Mover) & machines of similar nature. Operation of Churn Drills and machines of a similar nature, Stetco Silent Hoist and machines of similar nature, Vac-Alls, Meyers Machines, John Beam and machines of a similar nature, Ross Carriers and Travel Lifts and machines of a similar nature, Bulldozers, Scrapers and Turn-a-Pulls: Tugger Hoists (Used exclusively for handling excavated material); Tractors with attachments, Hyster and Roustabout Cranes, Cherry pickers. Austin Western, Grove and machines of a similar nature, Scoopmobiles, Monorails, Conveyors, Trenchers: Loaders-Rubber Tired and Tractor: Barber Greene and Eimco Loaders and Eimco Backhoes; Mighty Midget and similar breakers and Tampers, Curb and Gutter Pavers and Motor Patrol, Motor Graders and all machines of a similar nature. Locomotives 10 Tons or under. Mini-Max, Break-Tech and machines of a similar nature; Milling machines, robotic and demolition machines and machines of a similar nature, shot blaster, skid steer machines and machines of a similar nature including bobcat, pile rig rubber-tired excavator (37,000 lbs. and under), 2 man auger.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$59.24

Supplemental Benefit Rate per Hour: \$31.93

Supplemental Note: \$57.46 on overtime

Shift Wage Rate: \$94.78

Engineer - Heavy Construction Operating Engineer III

Minor Equipment such as Tractors, Post Hole Diggers, Ditch Witch (Walk Behind), Road Finishing Machines, Rollers five tons and under, Tugger Hoists, Dual Purpose Trucks, Fork Lifts, and Dempsey Dumpers, Fireperson.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$56.22

Supplemental Benefit Rate per Hour: \$31.93

Supplemental Note: \$57.46 on overtime

Shift Wage Rate: \$89.95

Engineer - Heavy Construction Maintenance Engineer I

Installing, Repairing, Maintaining, Dismantling and Manning of all equipment including Steel Cutting, Bending and Heat Sealing Machines, Mechanical Heaters, Grout Pumps, Bentonite Pumps & Plants, Screening Machines, Fusion Coupling Machines, Tunnel Boring Machines Moles and Machines of a similar nature, Power Packs, Mechanical Hydraulic Jacks; all drill rigs including but not limited to Churn, Rotary Caisson, Raised Bore & Drills of a similar nature; Personnel, Inspection & Safety Boats or any boats used to perform functions of same, Mine Hoists, Whirlies, all Climbing Cranes, all Tower Cranes, including but not limited to Truck Mounted and Crawler Type and machines of similar nature; Maintaining Hydraulic Drills and machines of a similar nature; Well Point System-Installation and dismantling; Burning, Welding, all Pumps regardless of size and/or motor power, except

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River Cofferdam Pumps and Wells Point Pumps; Motorized Buggies (three or more); equipment used in the cleaning and televising of sewers, but not limited to jet-rodder/vacuum truck, vacall/vactor, closed circuit television inspection equipment; high powered water pumps, jet pumps; screed machines and concrete finishing machines of a similar nature; vermeers.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$58.97**

Supplemental Benefit Rate per Hour: **\$31.93**

Supplemental Note: \$57.46 on overtime

Shift Wage Rate: **\$94.35**

Engineer - Heavy Construction Maintenance Engineer II

On Base Mounted Tower Cranes

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$77.30**

Supplemental Benefit Rate per Hour: **\$31.93**

Supplemental Note: \$57.46 on overtime

Shift Wage Rate: **\$123.68**

Engineer - Heavy Construction Maintenance Engineer III

On Generators, Light Towers

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$39.10**

Supplemental Benefit Rate per Hour: **\$31.93**

Supplemental Note: \$57.46 on overtime

Shift Wage Rate: **\$62.56**

Engineer - Heavy Construction Maintenance Engineer IV

On Pumps and Mixers including mud sucking

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$40.11**

Supplemental Benefit Rate per Hour: **\$31.93**

Supplemental Note: \$57.46 on overtime

Shift Wage Rate: **\$64.18**

Engineer - Heavy Construction Oilers I

Gradalls, Cold Planer Grader, Concrete Pumps, Driving Truck Cranes, Driving and Operating Fuel and Grease Trucks.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$53.22**

Supplemental Benefit Rate per Hour: **\$31.93**

Supplemental Note: \$57.46 on overtime

Shift Wage Rate: **\$85.15**

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Engineer - Heavy Construction Oilers II

All gasoline, electric, diesel or air operated Shovels, Draglines, Backhoes, Keystones, Pavers, Guniting Machines, Battery of Compressors, Crawler Cranes, two-person Trenching Machines.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$36.97

Supplemental Benefit Rate per Hour: \$31.93

Supplemental Note: \$57.46 on overtime

Shift Wage Rate: \$59.15

Engineer - Steel Erection Maintenance Engineers

Derrick, Travelers, Tower, Crawler Tower and Climbing Cranes

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$57.05

Supplemental Benefit Rate per Hour: \$31.93

Supplemental Note: \$57.46 on overtime

Shift Wage Rate: \$91.28

Engineer - Steel Erection Oiler I

On a Truck Crane

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$53.43

Supplemental Benefit Rate per Hour: \$31.93

Supplemental Note: \$57.46 on overtime

Shift Wage Rate: \$85.49

Engineer - Steel Erection Oiler II

On a Crawler Crane

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$40.84

Supplemental Benefit Rate per Hour: \$31.93

Supplemental Note: \$57.46 on overtime

Shift Wage Rate: \$65.34

Overtime Description

On jobs of more than one shift, if the next shift employee fails to report for work through any cause over which the employer has no control, the employee on duty who works the next shift continues to work at the single time rate.

Overtime

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

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Double time the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day
Lincoln's Birthday
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

Engineer - Building Work Maintenance Engineers I

Installing, repairing, maintaining, dismantling (of all equipment including: Steel Cutting and Bending Machines, Mechanical Heaters, Mine Hoists, Climbing Cranes, Tower Cranes, Linden Peine, Lorain, Liebherr, Mannes, or machines of a similar nature, Well Point Systems, Deep Well Pumps, Concrete Mixers with loading Device, Concrete Plants, Motor Generators when used for temporary power and lights), skid steer machines of a similar nature including bobcat.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$54.04**

Supplemental Benefit Rate per Hour: **\$31.93**

Supplemental Note: \$57.46 on overtime

Engineer - Building Work Maintenance Engineers II

On Pumps, Generators, Mixers and Heaters

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$42.10**

Supplemental Benefit Rate per Hour: **\$31.93**

Supplemental Note: \$57.46 on overtime

Engineer - Building Work Oilers I

All gasoline, electric, diesel or air operated Gradealls: Concrete Pumps, Overhead Cranes in Power Houses: Their duties shall be to assist the Engineer in oiling, greasing and repairing of all machines; Driving Truck Cranes: Driving and Operating Fuel and Grease Trucks, Cherrypickers (hydraulic cranes) over 70,000 GVW, and machines of a similar nature.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$51.40**

Supplemental Benefit Rate per Hour: **\$31.93**

Supplemental Note: \$57.46 on overtime

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Engineer - Building Work Oilers II

Oilers on Crawler Cranes, Backhoes, Trenching Machines, Guniting Machines, Compressors (three or more in Battery).

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$38.31

Supplemental Benefit Rate per Hour: \$31.93

Supplemental Note: \$57.46 on overtime

Overtime Description

On jobs of more than one shift, if an Employee fails to report for work through any cause over which the Employer has no control, the Employee on duty will continue to work at the rate of single time.

Overtime

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day

Lincoln's Birthday

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

Shift Rates

Off Shift: double time the regular hourly rate.

(Local #15)

ENGINEER - CITY SURVEYOR AND CONSULTANT

Party Chief

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$35.55

Supplemental Benefit Rate per Hour: \$17.65

Instrument Person

Effective Period: 7/1/2013 - 6/30/2014

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Wage Rate per Hour: \$29.41

Supplemental Benefit Rate per Hour: \$17.65

Rodperson

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$25.54

Supplemental Benefit Rate per Hour: \$17.65

Overtime Description

Overtime Benefit Rate - \$23.63 per hour (time & one half) \$29.95 per hour (double time).

Time and one half the regular rate after an 8 hour day, Time and one half the regular rate for Saturday for the first eight hours worked, Double time the regular time rate for Saturday for work performed in excess of eight hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

Paid Holidays

New Year's Day

Lincoln's Birthday

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

ENGINEER - FIELD (BUILDING CONSTRUCTION)

(Construction of Building Projects, Concrete Superstructures, etc.)

Field Engineer - BC Party Chief

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$55.40

Supplemental Benefit Rate per Hour: \$30.62

Supplemental Note: Overtime Benefit Rate - \$42.73 per hour (time & one half) \$54.84 per hour (double time).

Field Engineer - BC Instrument Person

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$43.10

Supplemental Benefit Rate per Hour: \$30.62

Supplemental Note: Overtime Benefit Rate - \$42.73 per hour (time & one half) \$54.84 per hour (double time).

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§220 PREVAILING WAGE SCHEDULE

Field Engineer - BC Rodperson

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$27.96

Supplemental Benefit Rate per Hour: \$30.62

Supplemental Note: Overtime Benefit Rate - \$42.73 per hour (time & one half) \$54.84 per hour (double time).

Overtime Description

Time and one half the regular rate after a 7 hour work and time and one half the regular rate for Saturday for the first seven hours worked, Double time the regular time rate for Saturday for work performed in excess of seven hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

Paid Holidays

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

ENGINEER - FIELD (HEAVY CONSTRUCTION)

(Construction of Roads, Tunnels, Bridges, Sewers, Building Foundations, Engineering Structures etc.)

Field Engineer - HC Party Chief

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$62.61

Supplemental Benefit Rate per Hour: \$30.62

Supplemental Note: Overtime benefit rate - \$42.73 per hour (time & one half), \$54.84 per hour (double time).

Field Engineer - HC Instrument Person

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$46.00

Supplemental Benefit Rate per Hour: \$30.62

Supplemental Note: Overtime benefit rate - \$42.73 per hour (time & one half), \$54.84 per hour (double time).

Field Engineer - HC Rodperson

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$38.61**

Supplemental Benefit Rate per Hour: **\$30.62**

Supplemental Note: Overtime benefit rate - \$42.73 per hour (time & one half), \$54.84 per hour (double time).

Overtime Description

Time and one half the regular rate after an 8 hour day, Time and one half the regular rate for Saturday for the first eight hours worked, Double time the regular time rate for Saturday for work performed in excess of eight hours, Double time the regular rate for Sunday and Double time the regular rate for work on a holiday.

Paid Holidays

New Year's Day

Lincoln's Birthday

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

ENGINEER - FIELD (STEEL ERECTION)

Field Engineer - Steel Erection Party Chief

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$58.50**

Supplemental Benefit Rate per Hour: **\$30.62**

Supplemental Note: Overtime benefit rate - \$42.73 per hour (time & one half), \$54.84 per hour (double time).

Field Engineer - Steel Erection Instrument Person

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$45.53**

Supplemental Benefit Rate per Hour: **\$30.62**

Supplemental Note: Overtime benefit rate - \$42.73 per hour (time & one half), \$54.84 per hour (double time).

Field Engineer - Steel Erection Rodperson

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$30.43**

Supplemental Benefit Rate per Hour: **\$30.62**

Supplemental Note: Overtime benefit rate - \$42.73 per hour (time & one half), \$54.84 per hour (double time).

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Overtime Description

Time and one half the regular rate for Saturday for the first eight hours worked.

Double time the regular rate for Saturday for work performed in excess of eight hours.

Overtime

Time and one half the regular rate after an 8 hour day.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day

Lincoln's Birthday

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

(Operating Engineer Local #15-D)

ENGINEER - OPERATING

Operating Engineer - Road & Heavy Construction I

Back Filling Machines, Cranes, Mucking Machines and Dual Drum Paver.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$67.70

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Shift Wage Rate: \$108.32

Operating Engineer - Road & Heavy Construction II

Backhoes, Power Shovels, Hydraulic Clam Shells, Steel Erection, Moles and machines of a similar nature.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$70.10

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: 51.75 overtime hours

Shift Wage Rate: \$112.16

Operating Engineer - Road & Heavy Construction III

Mine Hoists, Cranes, etc. (Used as Mine Hoists)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$72.34

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Shift Wage Rate: \$115.74

Operating Engineer - Road & Heavy Construction IV

Gradealls, Keystones, Cranes on land or water (with digging buckets), Bridge Cranes, Vermeer Cutter and machines of a similar nature, Trenching Machines.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$70.63

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Shift Wage Rate: \$113.01

Operating Engineer - Road & Heavy Construction V

Pile Drivers & Rigs (employing Dock Builder foreperson): Derrick Boats, Tunnel Shovels.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$69.23

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Shift Wage Rate: \$110.77

Operating Engineer - Road & Heavy Construction VI

Mixers (Concrete with loading attachment), Concrete Pavers, Cableways, Land Derricks, Power Houses (Low Air Pressure Units).

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$65.76

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Shift Wage Rate: \$105.22

Operating Engineer - Road & Heavy Construction VII

Barrier Movers , Barrier Transport and Machines of a Similar Nature.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$53.08

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Shift Wage Rate: \$84.93

Operating Engineer - Road & Heavy Construction VIII

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Utility Compressors

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate per Hour: \$41.18
Supplemental Benefit Rate per Hour: \$28.60
Supplemental Note: \$51.75 overtime hours
Shift Wage Rate: \$51.93

Operating Engineer - Road & Heavy Construction IX

Horizontal Boring Rig

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate per Hour: \$62.53
Supplemental Benefit Rate per Hour: \$28.60
Supplemental Note: \$51.75 overtime hours
Shift Wage Rate: \$100.05

Operating Engineer - Road & Heavy Construction X

Elevators (manually operated as personnel hoist).

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate per Hour: \$57.46
Supplemental Benefit Rate per Hour: \$28.60
Supplemental Note: \$51.75 overtime hours
Shift Wage Rate: \$91.94

Operating Engineer - Road & Heavy Construction XI

Compressors (Portable 3 or more in battery), Driving of Truck Mounted Compressors, Well-point Pumps, Tugger Machines Well Point Pumps, Churn Drill.

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate per Hour: \$44.63
Supplemental Benefit Rate per Hour: \$28.60
Supplemental Note: \$51.75 overtime hours
Shift Wage Rate: \$71.41

Operating Engineer - Road & Heavy Construction XII

All Drills and Machines of a similar nature.

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate per Hour: \$66.45
Supplemental Benefit Rate per Hour: \$28.60
Supplemental Note: \$51.75 overtime hours
Shift Wage Rate: \$106.32

Operating Engineer - Road & Heavy Construction XIII

**OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
\$220 PREVAILING WAGE SCHEDULE**

Concrete Pumps, Concrete Plant, Stone Crushers, Double Drum Hoist, Power Houses (other than above).

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$64.34

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Shift Wage Rate: \$102.94

Operating Engineer - Road & Heavy Construction XIV

Concrete Mixer

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$61.53

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Shift Wage Rate: \$98.45

Operating Engineer - Road & Heavy Construction XV

Compressors (Portable Single or two in Battery, not over 100 feet apart), Pumps (River Cofferdam) and Welding Machines, Push Button Machines, All Engines Irrespective of Power (Power-Pac) used to drive auxiliary equipment, Air, Hydraulic, etc.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$41.44

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Shift Wage Rate: \$66.30

Operating Engineer - Road & Heavy Construction XVI

Concrete Breaking Machines, Hoists (Single Drum), Load Masters, Locomotives (over ten tons) and Dinkies over ten tons, Hydraulic Crane-Second Engineer.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$58.74

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.85 overtime hours

Shift Wage Rate: \$93.98

Operating Engineer - Road & Heavy Construction XVII

On-Site concrete plant engineer, On-site Asphalt Plant Engineer, and Vibratory console.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$59.21

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Shift Wage Rate: \$94.74

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Operating Engineer - Road & Heavy Construction XVIII

Tower Crane

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$85.00

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Shift Wage Rate: \$136.00

Operating Engineer - Paving I

Asphalt Spreaders, Autogrades (C.M.I.), Roto/Mil

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$65.76

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Shift Wage Rate: \$105.22

Operating Engineer - Paving II

Asphalt Roller

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$64.04

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Shift Wage Rate: \$102.46

Operating Engineer - Paving III

Asphalt Plants

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$54.17

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Shift Wage Rate: \$86.67

Operating Engineer - Concrete I

Cranes

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$70.32

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Operating Engineer - Concrete II

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Compressors

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$41.76

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Operating Engineer - Concrete III

Micro-traps (Negative Air Machines), Vac-All Remediation System.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$56.16

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Operating Engineer - Steel Erection I

Three Drum Derricks

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$73.37

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Shift Wage Rate: \$117.39

Operating Engineer - Steel Erection II

Cranes, 2 Drum Derricks, Hydraulic Cranes, Fork Lifts and Boom Trucks.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$70.50

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Shift Wage Rate: \$112.80

Operating Engineer - Steel Erection III

Compressors, Welding Machines.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$41.84

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Shift Wage Rate: \$66.94

Operating Engineer - Steel Erection IV

Compressors - Not Combined with Welding Machine.

**OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
\$220 PREVAILING WAGE SCHEDULE**

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate per Hour: \$39.85
Supplemental Benefit Rate per Hour: \$28.60
Supplemental Note: \$51.75 overtime hours
Shift Wage Rate: \$63.76

Operating Engineer - Building Work I

Forklifts, Plaster (Platform machine), Plaster Bucket, Concrete Pump and all other equipment used for hoisting material.

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate per Hour: \$57.82
Supplemental Benefit Rate per Hour: \$28.60
Supplemental Note: \$51.75 overtime hours

Operating Engineer - Building Work II

Compressors, Welding Machines (Cutting Concrete-Tank Work), Paint Spraying, Sandblasting, Pumps (with the exclusion of Concrete Pumps), All Engines irrespective of Power (Power-Pac) used to drive Auxiliary Equipment, Air, Hydraulic, Jacking System, etc.

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate per Hour: \$43.28
Supplemental Benefit Rate per Hour: \$28.60
Supplemental Note: \$51.75 overtime hours

Operating Engineer - Building Work III

Double Drum

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate per Hour: \$65.83
Supplemental Benefit Rate per Hour: \$28.60
Supplemental Note: \$51.75 overtime hours

Operating Engineer - Building Work IV

Stone Derrick, Cranes, Hydraulic Cranes Boom Trucks.

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate per Hour: \$69.74
Supplemental Benefit Rate per Hour: \$28.60
Supplemental Note: \$51.75 overtime hours

Operating Engineer - Building Work V

Dismantling and Erection of Cranes, Relief Engineer.

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate per Hour: \$64.26

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate per Hour: \$28.60
Supplemental Note: \$51.75 overtime hours

Operating Engineer - Building Work VI

4 Pole Hoist, Single Drum Hoists.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$63.58

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

Operating Engineer - Building Work VII

Rack & Pinion and House Cars

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$50.53

Supplemental Benefit Rate per Hour: \$28.60

Supplemental Note: \$51.75 overtime hours

For New House Car projects started after 7/1/11 only: Wage Rate per Hour \$40.31

Overtime Description

On jobs of more than one shift, if an Employee fails to report for work through any cause over which the Employer has no control, the Employee on duty will continue to work at the rate of single time.

For House Cars and Rack & Pinion only: Overtime paid at time and one-half for all hours in excess of eight hours in a day, Saturday, Sunday and Holidays worked.

Overtime

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day

Lincoln's Birthday

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Employees must work at least one day in the payroll week in which the holiday occurs to receive the paid holiday

Shift Rates

For Steel Erection Only: Shifts may be worked at the single time rate at other than the regular working hours (8:00 A.M. to 4:30 P.M.) on the following work ONLY: Heavy construction jobs on work below the street level, over railroad tracks and on building jobs.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

(Operating Engineer Local #14)

FLOOR COVERER

(Interior vinyl composition tile, sheath vinyl linoleum and wood parquet tile including site preparation and synthetic turf not including site preparation)

Floor Coverer

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: \$46.15

Supplemental Benefit Rate per Hour: \$38.50

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: \$48.88

Supplemental Benefit Rate per Hour: \$42.70

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

1/2 day on New Year's Eve if work is performed in the A.M.

Shift Rates

Two shifts may be utilized with the first shift working 8:00 A.M. to the end of the shift at the straight time of pay. The second shift will receive one hour at double time rate for the last hour of the shift. (eight for seven, nine for eight).

(Carpenters District Council)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

GLAZIER

(New Construction, Remodeling, and Alteration)

Glazier

Effective Period: 7/1/2013 - 10/31/2013

Wage Rate per Hour: **\$42.00**

Supplemental Benefit Rate per Hour: **\$33.24**

Supplemental Note: Supplemental Benefit Overtime Rate: **\$41.24**

Effective Period: 11/1/2013 - 6/30/2014

Wage Rate per Hour: **\$42.00**

Supplemental Benefit Rate per Hour: **\$34.09**

Supplemental Note: Supplemental Benefit Overtime Rate: **\$42.59**

Overtime Description

An optional 8th hour can be worked at straight time rate. If 9th hour is worked, then both hours or more (8th & 9th or more) will be at the double time rate of pay.

Overtime

Double time the regular rate after a 7 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

Shift Rates

Shifts shall be any 7 hours beyond 4:00 P.M. for which the glazier shall receive 8 hours pay for 7 hours worked.

(Local #1281)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

GLAZIER - REPAIR & MAINTENANCE

(For the Installation of Glass - All repair and maintenance work on a particular building, whenever performed, where the total cumulative contract value is under \$105,000. Except where enumerated (i.e. plate glass windows) does not apply to non-residential buildings.)

Craft Jurisdiction for repair, maintenance and fabrication

Plate glass replacement, Residential glass replacement, Residential mirrors and shower doors, Storm windows and storm doors, Residential replacement windows, Herculite door repairs, Door closer repairs, Retrofit apartment house (non commercial buildings), Glass tinting.

Effective Period: 7/1/2013 - 4/30/2014

Wage Rate per Hour: \$23.50

Supplemental Benefit Rate per Hour: \$18.54

Effective Period: 5/1/2014 - 6/30/2014

Wage Rate per Hour: \$23.60

Supplemental Benefit Rate per Hour: \$19.04

Overtime

Time and one half the regular rate after an 8 hour day.

Double time the regular rate for Sunday.

Time and one half the regular hourly rate after 40 hours in any work week.

Paid Holidays

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

(Local #1281)

HEAT AND FROST INSULATOR

Heat & Frost Insulator

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: \$56.48

Supplemental Benefit Rate per Hour: \$33.31

Effective Period: 1/20/2014 - 6/30/2014

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Wage Rate per Hour: \$56.98

Supplemental Benefit Rate per Hour: \$34.81

Overtime Description

Double time shall be paid for supplemental benefits during overtime work.
8th hour paid at time and one half.

Overtime

Double time the regular rate after an 8 hour day.
Double time the regular time rate for Saturday.
Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
Martin Luther King Jr. Day
President's Day
Memorial Day
Independence Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Triple time the regular rate for work on the following holiday(s).

Labor Day

Paid Holidays

None

Shift Rates

The first shift shall work seven hours at the regular straight time rate. The second and third shift shall work seven hours the regular straight time hourly rate plus a fourteen percent wage and benefit premium.
Off hour work in occupied or retail buildings may be worked on weekdays with an increment of \$1.00 per hour and eight hours pay for seven (7) hours worked. Double time will apply for over seven (7) hours worked on weekdays, weekends or holidays.

(Local #12)

HOUSE WRECKER (TOTAL DEMOLITION)

House Wrecker - Tier A

On all work sites the first, second, eleventh and every third House Wrecker thereafter shall be Tier A House Wreckers (i.e. 1st, 2nd, 11th, 14th etc). The 10th and 20th House Wrecker shall be apprentices. Other House Wreckers shall be Tier B House Wreckers.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2013 – 1/19/2014
Wage Rate per Hour: **\$34.01**
Supplemental Benefit Rate per Hour: **\$25.14**

Effective Period: 1/20/2014 - 6/30/2014
Wage Rate per Hour: **\$34.51**
Supplemental Benefit Rate per Hour: **\$25.59**

House Wrecker - Tier B

On all work sites the first, second, eleventh and every third House Wrecker thereafter shall be Tier A House Wreckers (i.e. 1st, 2nd, 11th, 14th etc). The 10th and 20th House Wrecker shall be apprentices. Other House Wreckers shall be Tier B House Wreckers.

Effective Period: 7/1/2013 – 1/19/2014
Wage Rate per Hour: **\$23.75**
Supplemental Benefit Rate per Hour: **\$18.62**

Effective Period: 1/20/2014 - 6/30/2014
Wage Rate per Hour: **\$24.02**
Supplemental Benefit Rate per Hour: **\$19.12**

Overtime

Time and one half the regular rate after an 8 hour day.
Time and one half the regular rate for Saturday.
Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Christmas Day

Paid Holidays

None

(Mason Tenders District Council)

IRON WORKER - ORNAMENTAL

Iron Worker - Ornamental

Effective Period: 7/1/2013 – 1/19/2014

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Wage Rate per Hour: **\$42.30**

Supplemental Benefit Rate per Hour: **\$43.54**

Supplemental Note: Supplemental benefits are to be paid at the applicable overtime rate when overtime is in effect.

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$42.70**

Supplemental Benefit Rate per Hour: **\$44.57**

Supplemental Note: Supplemental benefits are to be paid at the applicable overtime rate when overtime is in effect.

Overtime Description

Time and one half the regular rate after a 7 hour day for a maximum of two hours on any regular work day (the 8th and 9th hour) and double time shall be paid for all work on a regular work day thereafter, time and one half the regular rate for Saturday for the first seven hours of work and double time shall be paid for all work on a Saturday thereafter.

Overtime

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

For off shift work - 8 hours pay for 7 hours of work. When two or three shifts are employed on a job, Monday through Friday, the workday for each shift shall be seven hours and paid for ten and one-half hours at the single time rate. When two or three shifts are worked on Saturday, Sunday or holidays, each shift shall be seven hours and paid fifteen and three-quarters hours.

(Local #580)

IRON WORKER - STRUCTURAL

Iron Worker - Structural

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: **\$46.75**

Supplemental Benefit Rate per Hour: **\$62.48**

**OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE**

Supplemental Note: Supplemental benefits are to be paid at the applicable overtime rate when overtime is in effect.

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: \$47.25

Supplemental Benefit Rate per Hour: \$64.43

Supplemental Note: Supplemental benefits are to be paid at the applicable overtime rate when overtime is in effect.

Overtime Description

Monday through Friday- the first eight hours are paid at straight time, the 9th and 10th hours are paid at time and one-half the regular rate, all additional weekday overtime is paid at double the regular rate. Saturdays- the first eight hours are paid at time and one-half the regular rate, double time thereafter. Sunday-all shifts are paid at double time.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

1/2 day on New Year's Eve if work is performed in the A.M.

Shift Rates

Monday through Friday - First Shift: First eight hours are paid at straight time, the 9th & 10th hours are paid at time and a half, double time paid thereafter. Second and third Shifts: First eight hours are paid at time and one-half, double time thereafter. Saturdays: All shifts, first eight hours paid at time and one-half, double time thereafter: Sunday all shifts are paid at double time.

(Local #40 & #361)

LABORER

(Foundation, Concrete, Excavating, Street Pipe Layer and Common)

Laborer

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Excavation and foundation work for buildings, heavy construction, engineering work, and hazardous waste removal in connection with the above work. Landscaping tasks in connection with heavy construction work, engineering work and building projects. Projects include, but are not limited to pollution plants, sewers, parks, subways, bridges, highways, etc.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$39.25

Supplemental Benefit Rate per Hour: \$33.25

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

Labor Day

Thanksgiving Day

Shift Rates

When two shifts are employed, single time rate shall be paid for each shift. When three shifts are found necessary, each shift shall work seven and one half hours (7 ½), but shall be paid for eight (8) hours of labor, and be permitted one half hour for lunch.

(Local #731)

LANDSCAPING

(Landscaping tasks, as well as tree pruning, tree removing, spraying and maintenance in connection with the planting of street trees and the planting of trees in city parks but not when such activities are performed as part of, or in connection with, other construction or reconstruction projects.)

Landscaper (Above 6 years experience)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$24.25

Supplemental Benefit Rate per Hour: \$12.30

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Landscaper (3 - 6 years experience)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$23.25

Supplemental Benefit Rate per Hour: \$12.30

Landscaper (up to 3 years experience)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$20.75

Supplemental Benefit Rate per Hour: \$12.30

Groundperson

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$20.75

Supplemental Benefit Rate per Hour: \$12.30

Tree Remover / Pruner

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$29.25

Supplemental Benefit Rate per Hour: \$12.30

Landscaper Sprayer (Pesticide Applicator)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$19.25

Supplemental Benefit Rate per Hour: \$12.30

Watering - Plant Maintainer

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$14.25

Supplemental Benefit Rate per Hour: \$12.30

Overtime Description

For all overtime work performed, supplemental benefits shall include an additional seventy-five (\$0.75) cents per hour.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Time and one half the regular rate for work on a holiday plus the day's pay.

Paid Holidays

New Year's Day

Memorial Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Independence Day
Labor Day
Thanksgiving Day
Christmas Day

Shift Rates

Work performed on a 4pm to 12am shift has a 15% differential. Work performed on a 12am to 8am shift has a 20% differential.

(Local #175)

MARBLE MECHANIC

Marble Setter

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: **\$49.19**

Supplemental Benefit Rate per Hour: **\$32.24**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$50.57**

Supplemental Benefit Rate per Hour: **\$33.82**

Marble Finisher

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: **\$39.05**

Supplemental Benefit Rate per Hour: **\$31.43**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$39.71**

Supplemental Benefit Rate per Hour: **\$33.10**

Marble Polisher

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: **\$34.73**

Supplemental Benefit Rate per Hour: **\$24.60**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$35.64**

Supplemental Benefit Rate per Hour: **\$25.64**

Overtime Description

Supplemental Benefit contributions are to be made at the applicable overtime rates. Time and one half the regular rate after a 7 hour day or time and one half the regular rate after an 8 hour day - chosen by Employer at the start of the project and then would last for the full duration of the project.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Overtime

Time and one half the regular rate for Saturday.
Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Good Friday
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

None

(Local #7)

MASON TENDER

Mason Tender

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: \$35.00

Supplemental Benefit Rate per Hour: \$25.74

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: \$35.53

Supplemental Benefit Rate per Hour: \$26.31

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Thanksgiving Day
Christmas Day

Paid Holidays

None

Shift Rates

The Employer may work two (2) shifts with the first shift at the straight time wage rate and the second shift receiving eight (8) hours paid for seven (7) hours work at the straight time wage rate.

(Local #79)

MASON TENDER (INTERIOR DEMOLITION WORKER)

(The erection, building, moving, servicing and dismantling of enclosures, scaffolding, barricades, protection and site safety structures etc., on Interior Demolition jobs.)

Mason Tender Tier A

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: **\$34.07**

Supplemental Benefit Rate per Hour: **\$19.77**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$34.59**

Supplemental Benefit Rate per Hour: **\$20.75**

Mason Tender Tier B

On Interior Demolition job sites 33 1/3 % of the employees shall be classified as Tier A Interior Demolition Workers and 66 2/3 % shall be classified as Tier B Interior Demolition Workers; provided that the employer may employ more than 33 1/3 % Tier A Interior Demolition Workers on the job site. Where the number of employees on a job site is not divisible by 3, the first additional employee (above the number of employees divisible by three) shall be a Tier B Interior Demolition Worker, and the second additional employee shall be a Tier A Interior Demolition Worker.

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: **\$23.27**

Supplemental Benefit Rate per Hour: **\$14.08**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$23.78**

Supplemental Benefit Rate per Hour: **\$15.07**

Overtime

Time and one half the regular rate after an 8 hour day.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Time and one half the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Christmas Day

Paid Holidays

None

(Local #79)

METALLIC LATHER

Metallic Lather

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$41.43**

Supplemental Benefit Rate per Hour: **\$40.15**

Supplemental Note: Supplemental benefits for overtime are paid at the appropriate overtime rate.

Overtime Description

Overtime would be time and one half the regular rate after a seven (7) or eight (8) hours workday, which would be set at the start of the job.

Overtime

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
Washington's Birthday
Good Friday
Memorial Day
Independence Day
Labor Day
Columbus Day
Presidential Election Day
Thanksgiving Day
Christmas Day

Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
\$220 PREVAILING WAGE SCHEDULE

1/2 day on New Year's Eve if work is performed in the A.M.

Shift Rates

There shall be either two (2) or three (3) shifts, each shift shall be eight (8) hours with nine (9) hours pay, including one half (½) hour for lunch. Off-Hour Start shall commence after 3:30 P.M. and shall conclude by 6:00 A.M. The first consecutive seven (7) hours shall be at straight time with a differential of twelve dollars (\$12.00) per hour. Fringes shall be paid at the straight time rate.

(Local #46)

MILLWRIGHT

Millwright

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$47.69

Supplemental Benefit Rate per Hour: \$48.87

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

1/2 day on New Year's Eve if work is performed in the A.M.

Shift Rates

The first shift shall receive the straight time rate of pay. The second shift receives the straight time rate of pay plus fifteen (15%) per cent. Members of the second shift shall be allowed one half hour to eat, with this time being included in the hours of the workday established. There must be a first shift to work a second shift. All additional hours worked shall be paid at the time and one-half rate of pay plus fifteen (15%) per cent for weekday hours.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

(Local #740)

MOSAIC MECHANIC

Mosaic Mechanic - Mosaic & Terrazzo Mechanic

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: \$44.39

Supplemental Benefit Rate per Hour: \$35.11

Supplemental Note: Supplemental benefits for overtime to be paid at the rate of \$46.08 per hour.

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: \$44.64

Supplemental Benefit Rate per Hour: \$35.83

Supplemental Note: Supplemental benefits for overtime to be paid at the rate of \$46.80 per hour.

Mosaic Mechanic - Mosaic & Terrazzo Finisher

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: \$42.78

Supplemental Benefit Rate per Hour: \$35.11

Supplemental Note: Supplemental benefits for overtime to be paid at the rate of \$46.08 per hour.

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: \$43.03

Supplemental Benefit Rate per Hour: \$35.82

Supplemental Note: Supplemental benefits for overtime to be paid at the rate of \$46.79 per hour.

Mosaic Mechanic - Machine Operator Grinder

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: \$42.78

Supplemental Benefit Rate per Hour: \$35.11

Supplemental Note: Supplemental benefits for overtime to be paid at the rate of \$46.08 per hour.

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: \$43.03

Supplemental Benefit Rate per Hour: \$35.82

Supplemental Note: Supplemental benefits for overtime to be paid at the rate of \$46.79 per hour.

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Washington's Birthday

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Good Friday
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

None

(Local #7)

PAINTER

Painter - Brush & Roller

Effective Period: 7/1/2013 - 4/30/2014

Wage Rate per Hour: \$37.50

Supplemental Benefit Rate per Hour: \$25.62

Supplemental Note: \$30.25 on overtime

Effective Period: 5/1/2014 - 6/30/2014

Wage Rate per Hour: \$39.50

Supplemental Benefit Rate per Hour: \$26.12

Supplemental Note: \$30.75 on overtime

Spray & Scaffold / Decorative / Sandblast

Effective Period: 7/1/2013 - 4/30/2014

Wage Rate per Hour: \$40.50

Supplemental Benefit Rate per Hour: \$25.62

Supplemental Note: \$30.25 on overtime

Effective Period: 5/1/2014 - 6/30/2014

Wage Rate per Hour: \$42.50

Supplemental Benefit Rate per Hour: \$26.12

Supplemental Note: \$30.75 on overtime

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Memorial Day
Independence Day
Labor Day
Columbus Day
Thanksgiving Day
Christmas Day

Paid Holidays

None

(District Council of Painters #9)

PAINTER - SIGN

Designer

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate per Hour: \$36.15
Supplemental Benefit Rate per Hour: \$9.66

Journey person

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate per Hour: \$33.62
Supplemental Benefit Rate per Hour: \$9.66

Overtime

Time and one half the regular rate after an 8 hour day.
Time and one half the regular rate for Saturday.
Time and one half the regular rate for Sunday.
Double time the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Election Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Shift Rates

All work performed outside the regular 8 hour work day (either 7:00 A.M to 3:30 P.M or 8:00 A.M. to 4:30 P.M) shall be paid at time and one half the regular hourly rate.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

(Local #8A-28A)

PAINTER - STRIPER

Striper (paint)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$33.50

Supplemental Benefit Rate per Hour: \$11.62

Supplemental Note: Overtime Supplemental Benefit rate - \$7.42; New Hire Rate (0-3 months) - \$0.00

Lineperson (thermoplastic)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$37.50

Supplemental Benefit Rate per Hour: \$11.62

Supplemental Note: Overtime Supplemental Benefit rate - \$7.42; New Hire Rate (0-3 months) - \$0.00

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Time and one half the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Shift Rates

Employees hired before April 1, 2003: 15% night shift premium differential for work commenced at 9:00 PM or later.

Vacation

Employees with one to two years service shall accrue vacation based on hours worked: 250 hours worked - 1 day vacation; 500 hours worked - 2 days vacation; 750 hours worked - 3 days vacation; 900 hours worked - 4 days vacation; 1,000 hours worked - 5 days vacation. Employees with two to five years service receive two weeks vacation. Employees with five to twenty years service receive three weeks vacation. Employees with twenty to twenty-five years service receive four weeks vacation. Employees with 25 or more years service receive five weeks vacation. Vacation must be taken during winter months. 2 Personal Days except employees hired after 4/1/12 who do not have 2 years of service.

(Local #917)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

PAINTER - STRUCTURAL STEEL

Painters on Structural Steel

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: **\$47.00**

Supplemental Benefit Rate per Hour: **\$32.08**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$47.00**

Supplemental Benefit Rate per Hour: **\$33.58**

Painter - Power Tool

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: **\$53.00**

Supplemental Benefit Rate per Hour: **\$32.08**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$53.00**

Supplemental Benefit Rate per Hour: **\$33.58**

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

Regular hourly rates plus a ten per cent (10%) differential

(Local #806)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

PAPERHANGER

Paperhanger

Effective Period: 7/1/2013 - 4/30/2014

Wage Rate per Hour: \$39.00

Supplemental Benefit Rate per Hour: \$29.23

Supplemental Note: Supplemental benefits are to be paid at the appropriate straight time and overtime rate.

Effective Period: 5/1/2014 - 6/30/2014

Wage Rate per Hour: \$41.08

Supplemental Benefit Rate per Hour: \$29.23

Supplemental Note: Supplemental benefits are to be paid at the appropriate straight time and overtime rate.

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

Shift Rates

Evening shift - 4:30 P.M. to 12:00 Midnight (regular rate of pay); any work performed before 7:00 A.M. shall be at time and one half the regular base rate of pay.

(District Council of Painters #9)

PAVER AND ROADBUILDER

Paver & Roadbuilder - Formsetter

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$43.54

Supplemental Benefit Rate per Hour: \$33.55

Paver & Roadbuilder - Laborer

**OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE**

Paving and road construction work, regardless of material used, including but not limited to preparation of job sites, removal of old surfaces, asphalt and/or concrete, by whatever method, including but not limited to milling; laying of concrete; laying of asphalt for temporary, patchwork, and utility paving (but not production paving); site preparation and incidental work before the installation of rubberized materials and similar surfaces; installation and repair of temporary construction fencing; slurry seal coating, maintenance of safety surfaces; play equipment installation, and other related work.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$39.67**

Supplemental Benefit Rate per Hour: **\$33.55**

Production Paver & Roadbuilder - Screed Person

(Production paving is asphalt paving when using a paving machine or on a project where a paving machine is traditionally used)

Adjustment of paving machinery on production paving jobs.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$45.12**

Supplemental Benefit Rate per Hour: **\$33.55**

Production Paver & Roadbuilder - Raker

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$44.61**

Supplemental Benefit Rate per Hour: **\$33.55**

Production Paver & Roadbuilder - Shoveler

General laborer (except removal of surfaces - see Paver and Roadbuilder-Laborer) including but not limited to tamper, AC paint and liquid tar work.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$41.32**

Supplemental Benefit Rate per Hour: **\$33.55**

Overtime Description

Veteran's Day is a Paid Holiday for employees working on production paving.

If an employee works New Year's Day or Christmas Day, they receive the single time rate plus 25%.

Employees who work on a holiday listed below receive the straight time rate plus one day's pay for the holiday.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Paid Holidays

Memorial Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Independence Day
Labor Day
Presidential Election Day
Thanksgiving Day

Shift Rates

When two shifts are employed, the work period for each shift shall be a continuous eight (8) hours. When three shifts are employed, each shift will work seven and one half (7 ½) hours but will be paid for eight (8) hours since only one half (1/2) hour is allowed for meal time.

When two or more shifts are employed, single time will be paid for each shift.

Night Work - On night work, the first eight (8) hours of work will be paid for at the single time rate, except that production paving work shall be paid at 20% over the single time rate for the screed person, rakers and shovelers directly involved only. All other workers will be exempt. Hours worked over eight (8) hours during said shift shall be paid for at the time and one-half rate.

(Local #1010)

PLASTERER

Plasterer

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: **\$41.13**

Supplemental Benefit Rate per Hour: **\$24.95**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$41.78**

Supplemental Benefit Rate per Hour: **\$27.95**

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
Martin Luther King Jr. Day
President's Day
Good Friday
Memorial Day
Independence Day
Labor Day
Columbus Day
Presidential Election Day
Thanksgiving Day
Christmas Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Paid Holidays

None

Shift Rates

When it is not possible to conduct alteration work during regular work hours, in a building occupied by tenants, said work shall proceed on a shift basis: however work over seven (7) hours in any twenty four (24) hour period, the time after seven (7) hours shall be considered overtime.

The second shift shall start at a time between 3:30 p.m. and 7:00 p.m. and shall consist of seven (7) working hours and shall receive eight (8) hours of wages and benefits at the straight time rate. The workers on the second shift shall be allowed one-half (½) hour to eat with this time being included in the seven (7) hours of work.

(Local #530)

PLASTERER - TENDER

Plasterer - Tender

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: **\$35.00**

Supplemental Benefit Rate per Hour: **\$25.74**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$35.53**

Supplemental Benefit Rate per Hour: **\$26.31**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Washington's Birthday

Memorial Day

Independence Day

Labor Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

**OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
\$220 PREVAILING WAGE SCHEDULE**

When work commences outside regular work hours, workers receive an hour additional (differential) wage and supplement payment. Eight hours pay for seven hours work or nine hours pay for eight hours work.

(Mason Tenders District Council)

PLUMBER

Plumber

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: **\$52.36**

Supplemental Benefit Rate per Hour: **\$37.34**

Supplemental Note: Overtime supplemental benefit rate per hour: **\$74.40**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$64.87**

Supplemental Benefit Rate per Hour: **\$25.18**

Supplemental Note: Overtime supplemental benefit rate per hour: **\$50.08**

Overtime Description

Double time the regular rate after a 7 hour day - unless for new construction site work where the plumbing contract price is \$1.5 million or less, the hours of labor can be 8 hours per day at the employers option. On Alteration jobs when other mechanical trades at the site are working an eighth hour at straight time, then the plumber shall also work an eighth hour at straight time.

Overtime

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Shift Rates

Shift work, when directly specified in public agency or authority documents where plumbing contract is \$8 million or less, will be permitted. 30% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shifts Monday to Friday. 50% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shift work performed on weekends. For shift work on holidays, double time wages and fringe benefits shall be paid.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

(Plumbers Local #1)

PLUMBER (MECHNICAL EQUIPMENT AND SERVICE)
(Mechanical Equipment and Service work shall include any repair and/or replacement of the present plumbing system.)

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: \$33.46

Supplemental Benefit Rate per Hour: \$16.93

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: \$38.27

Supplemental Benefit Rate per Hour: \$12.84

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

(Plumbers Local # 1)

PLUMBER (RESIDENTIAL RATES FOR 1, 2 AND 3 FAMILY HOME CONSTRUCTION)

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: \$37.11

Supplemental Benefit Rate per Hour: \$25.56

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: \$44.91

Supplemental Benefit Rate per Hour: \$18.37

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Overtime

Double time the regular rate after an 8 hour day.
Double time the regular time rate for Saturday.
Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

None

Shift Rates

30% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shifts Monday to Friday.
50% shift premium shall be paid for wages and fringe benefits for 4:00 pm and midnight shift work performed on weekends. For shift work on holidays, double time wages and fringe benefits shall be paid.

(Plumbers Local #1)

PLUMBER: PUMP & TANK
(Installation and Maintenance)

Plumber - Pump & Tank

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: \$53.01

Supplemental Benefit Rate per Hour: \$31.86

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: \$62.83

Supplemental Benefit Rate per Hour: \$21.37

Overtime

Time and one half the regular rate after an 8 hour day.
Time and one half the regular rate for Saturday.
Time and one half the regular rate for Sunday.

Overtime Holidays

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Time and one half the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

None

Shift Rates

All work outside the regular workday (8:00 A.M. to 3:30 P.M.) is to be paid at time and one half the regular hourly rate

(Plumbers Local #1)

POINTER - WATERPROOFER, CAULKER MECHANIC (EXTERIOR BUILDING RENOVATION)

Pointer - Waterproofer, Caulker Mechanic

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$45.41

Supplemental Benefit Rate per Hour: \$23.29

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day
Martin Luther King Jr. Day
President's Day
Memorial Day
Independence Day
Labor Day
Thanksgiving Day
Christmas Day

Paid Holidays

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

None

Shift Rates

All work outside the regular work day (an eight hour workday between the hours of 6:00 A.M. and 4:30 P.M.) is to be paid at time and one half the regular rate.

(Bricklayer District Council)

ROOFER

Roofer

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: \$39.00

Supplemental Benefit Rate per Hour: \$27.37

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: \$40.00

Supplemental Benefit Rate per Hour: \$27.87

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

Second shift - Regular hourly rate plus a 10% differential. Third shift - Regular hourly rate plus a 15% differential.

(Local #8)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

SANDBLASTER - STEAMBLASTER
(Exterior Building Renovation)

Sandblaster / Steamblaster

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$45.41

Supplemental Benefit Rate per Hour: \$23.29

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

All work outside the regular work day (an eight hour workday between the hours of 6:00 A.M. and 4:30 P.M.) is to be paid at time and one half the regular rate.

(Bricklayer District Council)

SHEET METAL WORKER

Sheet Metal Worker

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$45.96

Supplemental Benefit Rate per Hour: \$43.19

Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

Sheet Metal Worker - Duct Cleaner

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$12.90

Supplemental Benefit Rate per Hour: \$8.07

Sheet Metal Worker - Fan Maintenance

(The temporary operation of fans or blowers in new or existing buildings for heating and/or ventilation, and/or air conditioning prior to the completion of the project.)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$36.77

Supplemental Benefit Rate per Hour: \$43.19

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

Shift Rates

Work that can only be performed outside regular working hours (seven hours of work between 7:30 A.M. and 3:30 P.M.) - First shift (work between 3:30 P.M. and 11:30 P.M.) - 10% differential above the established hourly rate.

Second shift (work between 11:30 P.M. and 7:30 A.M.) - 15% differential above the established hourly rate.

For Fan Maintenance: On all full shifts of fan maintenance work the straight time hourly rate of pay will be paid for each shift, including nights, Saturdays, Sundays, and holidays. No journey person engaged in fan maintenance shall work in excess of forty (40) hours in any work week.

(Local #28)

SHEET METAL WORKER - SPECIALTY
(Decking & Siding)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Sheet Metal Specialty Worker

The first worker to perform this work must be paid at the rate of the Sheet Metal Worker. The second and third workers shall be paid the Specialty Worker Rate. The ratio of One Sheet Metal Worker, then Two Specialty Workers shall be utilized thereafter.

Effective Period: 7/1/2013 - 7/31/2013

Wage Rate per Hour: **\$41.28**

Supplemental Benefit Rate per Hour: **\$22.88**

Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

Effective Period: 8/1/2013 - 6/30/2014

Wage Rate per Hour: **\$40.78**

Supplemental Benefit Rate per Hour: **\$23.38**

Supplemental Note: Supplemental benefit contributions are to be made at the applicable overtime rates.

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

(Local #28)

SIGN ERECTOR

(Sheet Metal, Plastic, Electric, and Neon)

Sign Erector

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$42.80**

Supplemental Benefit Rate per Hour: **\$42.17**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Time and one half the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day

Washington's Birthday

Memorial Day

Independence Day

Labor Day

Columbus Day

Election Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Shift Rates

Time and one half the regular hourly rate is to be paid for all hours worked outside the regular workday either (7:00 A.M. through 2:30 P.M.) or (8:00 A.M. through 3:30 P.M.)

(Local #137)

STEAMFITTER

Steamfitter I

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: **\$52.50**

Supplemental Benefit Rate per Hour: **\$50.54**

Supplemental Note: Overtime supplemental benefit rate: **\$100.34**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$53.25**

Supplemental Benefit Rate per Hour: **\$51.04**

Supplemental Note: Overtime supplemental benefit rate: **\$101.34**

Overtime

Double time the regular rate after a 7 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

None

Shift Rates

Work performed between 3:30 P.M. and 7:00 A.M. and on Saturdays, Sundays and Holidays shall be at double time the regular hourly rate and paid at the overtime supplemental benefit rate above.

Steamfitter II

For heating, ventilation, air conditioning and mechanical public works contracts with a dollar value not to exceed \$15,000,000 and for fire protection/sprinkler public works contracts not to exceed \$1,500,000.

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: **\$52.50**

Supplemental Benefit Rate per Hour: **\$50.54**

Supplemental Note: Overtime supplemental benefit rate: **\$100.34**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$53.25**

Supplemental Benefit Rate per Hour: **\$51.04**

Supplemental Note: Overtime supplemental benefit rate: **\$101.34**

Overtime

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Paid Holidays

None

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Shift Rates

May be performed outside of the regular workday except Saturday, Sunday and Holidays. A shift shall consist of eight working hours. All work performed in excess of eight hours shall be paid at double time. No shift shall commence after 7:00 P.M. on Friday or 7:00 P.M. the day before holidays. All work performed after 12:01 A.M. Saturday or 12:01 A.M. the day before a Holiday will be paid at double time. When shift work is performed the wage rate for regular time worked is a thirty percent premium together with fringe benefits.

On Transit Authority projects, where work is performed in the vicinity of tracks all shift work on weekends and holidays may be performed at the regular shift rates.

Local #638

STEAMFITTER - REFRIGERATION AND AIR CONDITIONER (Maintenance and Installation Service Person)

Refrigeration and Air Conditioner Mechanic

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: \$38.05

Supplemental Benefit Rate per Hour: \$12.26

Effective Period: 1/20/2014 – 6/30/2014

Wage Rate per Hour: \$38.30

Supplemental Benefit Rate per Hour: \$12.76

Refrigeration and Air Conditioner Service Person V

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: \$31.26

Supplemental Benefit Rate per Hour: \$11.13

Effective Period: 1/20/2014 – 6/30/2014

Wage Rate per Hour: \$31.47

Supplemental Benefit Rate per Hour: \$11.55

Refrigeration and Air Conditioner Service Person IV

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: \$25.90

Supplemental Benefit Rate per Hour: \$10.16

Effective Period: 1/20/2014 – 6/30/2014

Wage Rate per Hour: \$26.07

Supplemental Benefit Rate per Hour: \$10.52

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Refrigeration and Air Conditioner Service Person III

Filter changing and maintenance thereof, oil and greasing, tower and coil cleaning, scraping and painting, general housekeeping, taking of water samples.

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: \$22.23

Supplemental Benefit Rate per Hour: \$9.44

Effective Period: 1/20/2014 – 6/30/2014

Wage Rate per Hour: \$22.38

Supplemental Benefit Rate per Hour: \$9.76

Refrigeration and Air Conditioner Service Person II

Filter changing and maintenance thereof, oil and greasing, tower and coil cleaning, scraping and painting, general housekeeping, taking of water samples.

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: \$18.44

Supplemental Benefit Rate per Hour: \$8.78

Effective Period: 1/20/2014 – 6/30/2014

Wage Rate per Hour: \$18.56

Supplemental Benefit Rate per Hour: \$9.06

Refrigeration and Air Conditioner Service Person I

Filter changing and maintenance thereof, oil and greasing, tower and coil cleaning, scraping and painting, general housekeeping, taking of water samples.

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: \$13.48

Supplemental Benefit Rate per Hour: \$8.10

Effective Period: 1/20/2014 – 6/30/2014

Wage Rate per Hour: \$13.57

Supplemental Benefit Rate per Hour: \$8.30

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Independence Day

Labor Day

Veteran's Day

Thanksgiving Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Christmas Day

Double time and one half the regular rate for work on the following holiday(s).

Martin Luther King Jr. Day

President's Day

Memorial Day

Columbus Day

Paid Holidays

New Year's Day

Martin Luther King Jr. Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Christmas Day

(Local #638B)

STONE MASON - SETTER

Stone Mason - Setters

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: **\$47.72**

Supplemental Benefit Rate per Hour: **\$35.28**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$46.56**

Supplemental Benefit Rate per Hour: **\$36.40**

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

Washington's Birthday

Good Friday

Memorial Day

Independence Day

Labor Day

Thanksgiving Day

Christmas Day

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Paid Holidays

1/2 day on Christmas Eve if work is performed in the A.M.

Shift Rates

For all work outside the regular workday (8:00 A.M. to 3:30 P.M. Monday through Friday), the pay shall be straight time plus a ten percent (10%) differential.

(Bricklayers District Council)

TAPER

Drywall Taper

Effective Period: 7/1/2013 - 12/31/2013

Wage Rate per Hour: **\$44.32**

Supplemental Benefit Rate per Hour: **\$21.66**

Effective Period: 1/1/2014 - 6/24/2014

Wage Rate per Hour: **\$44.82**

Supplemental Benefit Rate per Hour: **\$21.66**

Effective Period: 6/25/2014 - 6/30/2014

Wage Rate per Hour: **\$45.32**

Supplemental Benefit Rate per Hour: **\$21.66**

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Martin Luther King Jr. Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Thanksgiving Day

Christmas Day

Paid Holidays

Any worker who reports to work on Christmas Eve or New Year's Eve pursuant to his employer's instruction shall be entitled to three (3) hours afternoon pay without working.

Shift Rates

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Time and one half the regular rate outside the regular work hours (8:00 A.M. through 3:30 P.M.)

(Local #1974)

TELECOMMUNICATION WORKER
(Voice Installation Only)

Telecommunication Worker

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$35.94**

Supplemental Benefit Rate per Hour: **\$13.19**

Supplemental Note: The above rate applies for Manhattan, Bronx, Brooklyn, Queens. \$12.64 for Staten Island only.

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Time and one half the regular rate for Sunday.

Overtime Holidays

Time and one half the regular rate for work on the following holiday(s).

New Year's Day

Lincoln's Birthday

Washington's Birthday

Memorial Day

Independence Day

Labor Day

Columbus Day

Election Day

Veteran's Day

Thanksgiving Day

Christmas Day

Paid Holidays

New Year's Day

Lincoln's Birthday

Washington's Birthday

Memorial Day

Independence Day

Labor Day

Columbus Day

Election Day

Veteran's Day

Thanksgiving Day

Christmas Day

Employees have the option of observing either Martin Luther King's Birthday or the day after Thanksgiving instead of Lincoln's Birthday

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Shift Rates

For any workday that starts before 8A.M. or ends after 6P.M. there is a 10% differential for the applicable worker's hourly rate.

Vacation

After 6 months.....one week.
After 12 months but less than 7 years.....two weeks.
After 7 or more but less than 15 years.....three weeks.
After 15 years or more but less than 25 years.....four weeks.

(C.W.A.)

TILE FINISHER

Tile Finisher

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: **\$38.49**

Supplemental Benefit Rate per Hour: **\$27.40**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$38.80**

Supplemental Benefit Rate per Hour: **\$28.03**

Overtime

Time and one half the regular rate after a 7 hour day.
Time and one half the regular rate for Saturday.
Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day
President's Day
Good Friday
Memorial Day
Independence Day
Labor Day
Columbus Day
Veteran's Day
Thanksgiving Day
Day after Thanksgiving
Christmas Day

Paid Holidays

None

Shift Rates

Off shift work day (work performed outside the regular 8:00 A.M. to 3:30 P.M. workday): shift differential of one and one quarter (1¼) times the regular straight time rate of pay for the seven hours of actual off-shift work.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

(Local #7)

TILE LAYER - SETTER

Tile Layer - Setter

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: \$48.35

Supplemental Benefit Rate per Hour: \$31.44

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: \$49.25

Supplemental Benefit Rate per Hour: \$31.82

Overtime

Time and one half the regular rate after a 7 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Good Friday

Memorial Day

Independence Day

Labor Day

Columbus Day

Veteran's Day

Thanksgiving Day

Day after Thanksgiving

Christmas Day

Shift Rates

Off shift work day (work performed outside the regular 8:00 A.M. to 3:30 P.M. workday): shift differential of one and one quarter (1¼) times the regular straight time rate of pay for the seven hours of actual off-shift work.

(Local #7)

TIMBERPERSON

Timberperson

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$42.63

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate per Hour: **\$44.54**

Overtime

Time and one half the regular rate after an 8 hour day.

Time and one half the regular rate for Saturday.

Double time the regular rate for Sunday.

Saturday may be used as a make-up day at straight time when a day is lost during that week to inclement weather.

Time and one half the regular hourly rate after 40 hours in any work week.

Overtime Holidays

Double time the regular rate for work on the following holiday(s).

New Year's Day

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Presidential Election Day

Thanksgiving Day

Christmas Day

Paid Holidays

None

Shift Rates

Off shift work commencing between 5:00 P.M. and 11:00 P.M. shall work eight and one half hours allowing for one half hour for lunch. The wage rate shall be 113% of the straight time hourly wage rate.

(Local #1536)

TUNNEL WORKER

Blasters, Mucking Machine Operators (Compressed Air Rates)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$54.20**

Supplemental Benefit Rate per Hour: **\$48.20**

Tunnel Workers (Compressed Air Rates)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: **\$52.31**

Supplemental Benefit Rate per Hour: **\$46.59**

Top Nipper (Compressed Air Rates)

Effective Period: 7/1/2013 - 6/30/2014

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

Wage Rate per Hour: \$51.35

Supplemental Benefit Rate per Hour: \$45.78

Outside Lock Tender, Outside Gauge Tender, Muck Lock Tender (Compressed Air Rates)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$50.42

Supplemental Benefit Rate per Hour: \$44.91

Bottom Bell & Top Bell Signal Person: Shaft Person (Compressed Air Rates)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$50.42

Supplemental Benefit Rate per Hour: \$44.92

Changehouse Attendant: Powder Watchperson (Compressed Air Rates)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$43.94

Supplemental Benefit Rate per Hour: \$42.55

Blasters (Free Air Rates)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$51.72

Supplemental Benefit Rate per Hour: \$46.03

Tunnel Workers (Free Air Rates)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$49.48

Supplemental Benefit Rate per Hour: \$44.06

All Others (Free Air Rates)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$45.73

Supplemental Benefit Rate per Hour: \$40.75

Microtunneling (Free Air Rates)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$39.58

Supplemental Benefit Rate per Hour: \$35.25

Overtime Description

For Repair-Maintenance Work on Existing Equipment and Facilities - Time and one half the regular rate after a 7 hour day, or for Saturday, or for Sunday. Double time the regular rate for work on a holiday.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 PREVAILING WAGE SCHEDULE

For Small-Bore Micro Tunneling Machines - Time and one-half the regular rate shall be paid for all overtime.

Overtime

Double time the regular rate after an 8 hour day.

Double time the regular time rate for Saturday.

Double time the regular rate for Sunday.

Double time the regular rate for work on the following holiday(s).

Paid Holidays

New Year's Day

Lincoln's Birthday

President's Day

Memorial Day

Independence Day

Labor Day

Columbus Day

Election Day

Veteran's Day

Thanksgiving Day

Christmas Day

(Local #147)

WELDER

**TO BE PAID AT THE RATE OF THE JOURNEYPERSON IN THE TRADE
PERFORMING THE WORK.**

OFFICE OF THE COMPTROLLER

CITY OF NEW YORK

220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

APPENDIX

Pursuant to Labor Law §220 (3-e), only apprentices who are individually registered in a bona fide program to which the employer contractor is a participant and registered with the New York State Department of Labor, may be employed on a public work project.

Any employee listed on a payroll at an apprentice wage rate, who is not registered as above, shall be paid the journey person wage rate for the classification of work he actually performed.

Apprentice ratios are established to ensure the proper safety, training and supervision of apprentices. A ratio establishes the number of journey workers required for each apprentice in a program and on a job site. Ratios are interpreted as follows: in the case of a 1:1, 1:4 ratio, there must be one journey worker for the first apprentice, and four additional journey workers for each subsequent apprentice.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

APPRENTICESHIP SCHEDULE OF PREVAILING WAGES AND SUPPLEMENTAL BENEFITS
ADDENDUM
EFFECTIVE PERIOD JANUARY 20, 2014 THROUGH JUNE 30, 2014

List of Amended Classifications

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2. BRICKLAYER
3. FLOOR COVERER
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6. IRON WORKER - STRUCTURAL
7. MASON TENDER
8. PLASTERER
9. PLUMBER

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ASBESTOS HANDLER

(Ratio of Apprentice Journeyperson: 1 to 1, 1 to 3)

Asbestos Handler (First 1000 Hours)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 78% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: \$15.05
Effective 1/20/2014 – Supplemental Benefits Per Hour: 15.45

Asbestos Handler (Second 1000 Hours)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 80% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: \$15.05
Effective 1/20/2014 – Supplemental Benefits Per Hour: 15.45

Asbestos Handler (Third 1000 Hours)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 83% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: \$15.05
Effective 1/20/2014 – Supplemental Benefits Per Hour: 15.45

Asbestos Handler (Fourth 1000 Hours)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 89% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: \$15.05
Effective 1/20/2014 – Supplemental Benefits Per Hour: 15.45

(Local #78)

BOILERMAKER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Boilermaker (First Year)

Effective Period: 7/1/2013 - 12/31/2013
Wage Rate Per Hour: 65% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: \$28.75

Effective Period: 1/1/2014 - 6/30/2014
Wage Rate Per Hour: 65% of Journeyperson's rate

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate Per Hour: \$29.74

Boilermaker (Second Year: 1st Six Months)

Effective Period: 7/1/2013 - 12/31/2013

Wage Rate Per Hour: 70% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$30.33

Effective Period: 1/1/2014 - 6/30/2014

Wage Rate Per Hour: 75% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$31.40

Boilermaker (Second Year: 2nd Six Months)

Effective Period: 7/1/2013 - 12/31/2013

Wage Rate Per Hour: 75% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$31.91

Effective Period: 1/1/2014 - 6/30/2014

Wage Rate Per Hour: 75% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$33.05

Boilermaker (Third Year: 1st Six Months)

Effective Period: 7/1/2013 - 12/31/2013

Wage Rate Per Hour: 80% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$33.49

Effective Period: 1/1/2014 - 6/30/2014

Wage Rate Per Hour: 80% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$34.69

Boilermaker (Third Year: 2nd Six Months)

Effective Period: 7/1/2013 - 12/31/2013

Wage Rate Per Hour: 85% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$35.05

Effective Period: 1/1/2014 - 6/30/2014

Wage Rate Per Hour: 85% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$36.34

Boilermaker (Fourth Year: 1st Six Months)

Effective Period: 7/1/2013 - 12/31/2013

Wage Rate Per Hour: 90% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$36.63

Effective Period: 1/1/2014 - 6/30/2014

Wage Rate Per Hour: 90% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$38.00

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
\$220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Boilermaker (Fourth Year: 2nd Six Months)

Effective Period: 7/1/2013 - 12/31/2013
Wage Rate Per Hour: 95% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: \$38.19

Effective Period: 1/1/2014 - 6/30/2014
Wage Rate Per Hour: 95% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: \$39.65

(Local #5)

BRICKLAYER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Bricklayer (First 750 Hours)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 50% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: \$16.60
Effective 1/20/2014 – Supplemental Benefits Per Hour: 17.10

Bricklayer (Second 750 Hours)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 60% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: \$16.60
Effective 1/20/2014 – Supplemental Benefits Per Hour: 17.10

Bricklayer (Third 750 Hours)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 70% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: \$16.60
Effective 1/20/2014 – Supplemental Benefits Per Hour: 17.10

Bricklayer (Fourth 750 Hours)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 80% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: \$16.60
Effective 1/20/2014 – Supplemental Benefits Per Hour: 17.10

Bricklayer (Fifth 750 Hours)

Effective Period: 7/1/2013 - 6/30/2014

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Wage Rate Per Hour: 90% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: \$16.60
Effective 1/20/2014 – Supplemental Benefits Per Hour: 17.10

Bricklayer (Sixth 750 Hours)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 95% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: \$16.60
Effective 1/20/2014 – Supplemental Benefits Per Hour: 17.10

(Bricklayer District Council)

CARPENTER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Carpenter (First Year)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 40% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: \$30.29

Carpenter (Second Year)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 50% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: \$30.29

Carpenter (Third Year)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 65% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: \$30.29

Carpenter (Fourth Year)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 80% of Journeyperson's rate
Supplemental Benefit Rate Per Hour: \$30.29

(Carpenters District Council)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

CEMENT MASON

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

Cement Mason (First Year)

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 50% of Journeyman's Rate

Cement Mason (Second Year)

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 60% of Journeyman's Rate

Cement Mason (Third Year)

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 70% of Journeyman's Rate

(Local #780)

CEMENT AND CONCRETE WORKER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

Cement & Concrete Worker (0 - 500 hours)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 50% of Journeyman's rate

Supplemental Benefit Rate Per Hour: \$18.04

Cement & Concrete Worker (501 - 1000 hours)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 65% of Journeyman's rate

Supplemental Benefit Rate Per Hour: \$18.87

Cement & Concrete Worker (1001 - 2000 hours)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 65% of Journeyman's rate

Supplemental Benefit Rate Per Hour: \$24.25

Cement & Concrete Worker (2001 - 4000 hours)

Effective Period: 7/1/2013 - 6/30/2014

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Wage Rate Per Hour: 80% of Journeyman's rate
Supplemental Benefit Rate Per Hour: \$25.07

(Cement Concrete Workers District Council)

DERRICKPERSON & RIGGER (STONE)
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 6)

Derrickperson & Rigger (stone) - First Year

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 50% of Journeyman's rate
Supplemental Benefit Rate Per Hour: 50% of Journeyman's rate

Derrickperson & Rigger (stone) - Second Year: 1st Six Months

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 70% of Journeyman's rate
Supplemental Benefit Rate Per Hour: 75% of Journeyman's rate

Derrickperson & Rigger (stone) - Second Year: 2nd Six Months

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 80% of Journeyman's rate
Supplemental Benefit Rate Per Hour: 75% of Journeyman's rate

Derrickperson & Rigger (stone) - Third Year

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 90% of Journeyman's rate
Supplemental Benefit Rate Per Hour: 75% of Journeyman's rate

(Local #197)

DOCKBUILDER/PILE DRIVER
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 6)

Dockbuilder/Pile Driver (First Year)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 40% of Journeyman's rate

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate Per Hour: \$30.29

Dockbuilder/Pile Driver (Second Year)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 50% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$30.29

Dockbuilder/Pile Driver (Third Year)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 65% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$30.29

Dockbuilder/Pile Driver (Fourth Year)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 80% of Journeyperson's rate

Supplemental Benefit Rate Per Hour: \$30.29

(Carpenters District Council)

ELECTRICIAN

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Electrician (First Term: 0-6 Months)

Effective period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$12.50

Supplemental Benefit Rate per Hour: \$10.86

Overtime Supplemental Rate per Hour: \$11.68

Effective period: 5/14/2014 - 6/30/2014

Wage Rate per Hour: \$12.50

Supplemental Benefit Rate per Hour: \$11.10

Overtime Supplemental Rate per Hour: \$11.93

Electrician (First Term: 7-12 Months)

Effective period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$13.50

Supplemental Benefit Rate per Hour: \$11.37

Overtime Supplemental Rate per Hour: \$12.26

Effective period: 5/14/2014 - 6/30/2014

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Wage Rate per Hour: \$13.50
Supplemental Benefit Rate per Hour: \$11.62
Overtime Supplemental Rate per Hour: \$12.51

Electrician (Second Term: 0-6 Months)

Effective period: 7/1/2013 - 5/13/2014
Wage Rate per Hour: \$14.50
Supplemental Benefit Rate per Hour: \$11.88
Overtime Supplemental Rate per Hour: \$12.83

Effective period: 5/14/2014 - 6/30/2014
Wage Rate per Hour: \$14.50
Supplemental Benefit Rate per Hour: \$12.13
Overtime Supplemental Rate per Hour: \$13.08

Electrician (Second Term: 7-12 Months)

Effective period: 7/1/2013 - 5/13/2014
Wage Rate per Hour: \$15.50
Supplemental Benefit Rate per Hour: \$12.39
Overtime Supplemental Rate per Hour: \$13.41

Effective period: 5/14/2014 - 6/30/2014
Wage Rate per Hour: \$15.50
Supplemental Benefit Rate per Hour: \$12.64
Overtime Supplemental Rate per Hour: \$13.66

Electrician (Third Term: 0-6 Months)

Effective period: 7/1/2013 - 5/13/2014
Wage Rate per Hour: \$16.50
Supplemental Benefit Rate per Hour: \$12.90
Overtime Supplemental Rate per Hour: \$13.98

Effective period: 5/14/2014 - 6/30/2014
Wage Rate per Hour: \$16.50
Supplemental Benefit Rate per Hour: \$13.15
Overtime Supplemental Rate per Hour: \$14.23

Electrician (Third Term: 7-12 Months)

Effective period: 7/1/2013 - 5/13/2014
Wage Rate per Hour: \$17.50
Supplemental Benefit Rate per Hour: \$13.40
Overtime Supplemental Rate per Hour: \$14.56

Effective period: 5/14/2014 - 6/30/2014
Wage Rate per Hour: \$17.50
Supplemental Benefit Rate per Hour: \$13.65

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
\$220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Overtime Supplemental Rate per Hour: \$14.81

Electrician (Fourth Term: 0-6 Months - Hired on or after 5/10/07)

Effective period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$18.50

Supplemental Benefit Rate per Hour: \$13.91

Overtime Supplemental Rate per Hour: \$15.13

Effective period: 5/14/2014 - 6/30/2014

Wage Rate per Hour: \$18.50

Supplemental Benefit Rate per Hour: \$14.16

Overtime Supplemental Rate per Hour: \$15.38

Electrician (Fourth Term: 7-12 Months - Hired on or after 5/10/07)

Effective period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$20.25

Supplemental Benefit Rate per Hour: \$14.80

Overtime Supplemental Rate per Hour: \$16.14

Effective period: 5/14/2014 - 6/30/2014

Wage Rate per Hour: \$20.50

Supplemental Benefit Rate per Hour: \$15.18

Overtime Supplemental Rate per Hour: \$16.53

Electrician (Fifth Term: 0-12 Months - Hired on or after 5/10/07)

Effective period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$22.00

Supplemental Benefit Rate per Hour: \$17.30

Overtime Supplemental Rate per Hour: \$18.68

Effective period: 5/14/2014 - 6/30/2014

Wage Rate per Hour: \$22.50

Supplemental Benefit Rate per Hour: \$18.06

Overtime Supplemental Rate per Hour: \$19.47

Electrician (Fifth Term: 13-18 Months - Hired on or after 5/10/07)

Effective period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$26.50

Supplemental Benefit Rate per Hour: \$19.56

Overtime Supplemental Rate per Hour: \$21.23

Effective period: 5/14/2014 - 6/30/2014

Wage Rate per Hour: \$27.00

Supplemental Benefit Rate per Hour: \$20.32

Overtime Supplemental Rate per Hour: \$22.01

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Electrician (Fourth Term: 0-6 Months - Hired before 5/10/07)

Effective period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$22.10

Supplemental Benefit Rate per Hour: \$15.74

Overtime Supplemental Rate per Hour: \$17.20

Effective period: 5/14/2014 - 6/30/2014

Wage Rate per Hour: \$22.10

Supplemental Benefit Rate per Hour: \$15.99

Overtime Supplemental Rate per Hour: \$17.45

Electrician (Fourth Term: 7-12 Months - Hired before 5/10/07)

Effective period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$23.95

Supplemental Benefit Rate per Hour: \$16.69

Overtime Supplemental Rate per Hour: \$18.26

Effective period: 5/14/2014 - 6/30/2014

Wage Rate per Hour: \$24.20

Supplemental Benefit Rate per Hour: \$17.06

Overtime Supplemental Rate per Hour: \$18.66

Electrician (Fifth Term: 0-18 Months - Hired before 5/10/07)

Effective period: 7/1/2013 - 5/13/2014

Wage Rate per Hour: \$25.80

Supplemental Benefit Rate per Hour: \$19.21

Overtime Supplemental Rate per Hour: \$20.83

Effective period: 5/14/2014 - 6/30/2014

Wage Rate per Hour: \$26.30

Supplemental Benefit Rate per Hour: \$19.96

Overtime Supplemental Rate per Hour: \$21.61

Overtime Description

Overtime Wage paid at time and one half the regular rate

For "A" rated Apprentices (work in excess of 7 hours per day)

For "M" rated Apprentices (work in excess of 8 hours per day)

(Local #3)

ELEVATOR CONSTRUCTOR

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 2)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Elevator (Constructor) - First Year

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 50% of Journeyperson's rate
Supplemental Rate Per Hour: \$26.87

Elevator (Constructor) - Second Year

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 55% of Journeyperson's rate
Supplemental Rate Per Hour: \$27.92

Elevator (Constructor) - Third Year

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 65% of Journeyperson's rate
Supplemental Rate Per Hour: \$29.38

Elevator (Constructor) - Fourth Year

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 75% of Journeyperson's rate
Supplemental Rate Per Hour: \$30.84

(Local #1)

ELEVATOR REPAIR & MAINTENANCE
(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 2)

Elevator Service/Modernization Mechanic (First Year)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 50% of Journeyperson's rate
Supplemental Benefit Per Hour: \$26.79

Elevator Service/Modernization Mechanic (Second Year)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 55% of Journeyperson's rate
Supplemental Benefit Per Hour: \$27.12

Elevator Service/Modernization Mechanic (Third Year)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 65% of Journeyperson's rate

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Supplemental Benefit Per Hour: \$28.43

Elevator Service/Modernization Mechanic (Fourth Year)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 75% of Journeyperson's rate

Supplemental Benefit Per Hour: \$29.74

(Local #1)

ENGINEER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 5)

Engineer - First Year

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$22.49

Supplemental Benefit Rate per Hour: \$20.68

Engineer - Second Year

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$28.11

Supplemental Benefit Rate per Hour: \$20.68

Engineer - Third Year

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$20.92

Supplemental Benefit Rate per Hour: \$20.68

Engineer - Fourth Year

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$33.73

Supplemental Benefit Rate per Hour: \$20.68

(Local #15)

ENGINEER - OPERATING

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 5)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Operating Engineer - First Year

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour 40% of Journeyperson's Rate
Supplemental Benefit Per Hour: \$18.60

Operating Engineer - Second Year

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 50% of Journeyperson's Rate
Supplemental Benefit Per Hour: \$18.60

Operating Engineer - Third Year

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 60% of Journeyperson's Rate
Supplemental Benefit Per Hour: \$18.60

(Local #14)

FLOOR COVERER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Floor Coverer (First Year)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 40% of Journeyperson's rate
Supplemental Rate Per Hour: \$25.75
Effective 1/20/2014 – Supplemental Benefits Per Hour: 29.55

Floor Coverer (Second Year)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 50% of Journeyperson's rate
Supplemental Rate Per Hour: \$25.75
Effective 1/20/2014 – Supplemental Benefits Per Hour: 29.55

Floor Coverer (Third Year)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 65% of Journeyperson's rate
Supplemental Rate Per Hour: \$25.75
Effective 1/20/2014 – Supplemental Benefits Per Hour: 29.55

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§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Floor Coverer (Fourth Year)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 80% of Journeyperson's rate
Supplemental Rate Per Hour: \$25.75
Effective 1/20/2014 – Supplemental Benefits Per Hour: 29.55

(Carpenters District Council)

GLAZIER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Glazier (First Year)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 40% of Journeyperson's rate
Supplemental Rate Per Hour: \$11.97

Glazier (Second Year)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 50% of Journeyperson's rate
Supplemental Rate Per Hour: \$21.13

Glazier (Third Year)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 60% of Journeyperson's rate
Supplemental Rate Per Hour: \$23.54

Glazier (Fourth Year)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 80% of Journeyperson's rate
Supplemental Rate Per Hour: \$28.34

(Local #1281)

HEAT & FROST INSULATOR

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Heat & Frost Insulator (First Year)

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 40% of Journeyman's rate

Heat & Frost Insulator (Second Year)

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 60% of Journeyman's rate

Heat & Frost Insulator (Third Year)

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 70% of Journeyman's rate

Heat & Frost Insulator (Fourth Year)

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 80% of Journeyman's rate

(Local #12)

**HOUSE WRECKER
(TOTAL DEMOLITION)
(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)**

House Wrecker - First Year

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: \$20.36

Supplemental Benefit Rate per Hour: \$16.35

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: \$20.52

Supplemental Benefit Rate per Hour: \$16.60

House Wrecker - Second Year

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: \$21.46

Supplemental Benefit Rate per Hour: \$16.35

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: \$21.67

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
\$220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Supplemental Benefit Rate per Hour: \$16.60

House Wrecker - Third Year

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: \$23.01

Supplemental Benefit Rate per Hour: \$16.35

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: \$23.27

Supplemental Benefit Rate per Hour: \$16.60

House Wrecker - Fourth Year

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: \$25.36

Supplemental Benefit Rate per Hour: \$16.35

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: \$25.83

Supplemental Benefit Rate per Hour: \$16.60

(Local #79)

IRON WORKER - ORNAMENTAL

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

Iron Worker (Ornamental) - 1st Four Months - Hired on or Before 8/1/08

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 60% of Journeyman's rate

Supplemental Rate Per Hour: \$35.78

Iron Worker (Ornamental) 5 - 10 Months - Hired on or Before 8/1/08

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 65% of Journeyman's rate

Supplemental Rate Per Hour: \$36.75

Iron Worker (Ornamental) 11 - 16 Months - Hired on or Before 8/1/08

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 70% of Journeyman's rate

Supplemental Rate Per Hour: \$37.72

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
\$220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Iron Worker (Ornamental) 17 - 22 Months - Hired on or Before 8/1/08

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 80% of Journeyman's rate
Supplemental Rate Per Hour: \$39.66

Iron Worker (Ornamental) 23 - 28 Months - Hired on or Before 8/1/08

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 85% of Journeyman's rate
Supplemental Rate Per Hour: \$40.63

Iron Worker (Ornamental) 29 - 36 Months - Hired on or Before 8/1/08

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 95% of Journeyman's rate
Supplemental Rate Per Hour: \$42.57

Iron Worker (Ornamental) - 1st Ten Months - Hired After 8/1/08

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 50% of Journeyman's rate
Supplemental Rate Per Hour: \$33.84
Effective 1/20/2014 – Supplemental Benefits Per Hour: 34.55

Iron Worker (Ornamental) - 11 - 16 Months - Hired After 8/1/08

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 55% of Journeyman's rate
Supplemental Rate Per Hour: \$34.81
Effective 1/20/2014 – Supplemental Benefits Per Hour: 35.55

Iron Worker (Ornamental) - 17 - 22 Months - Hired After 8/1/08

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 60% of Journeyman's rate
Supplemental Rate Per Hour: \$35.78
Effective 1/20/2014 – Supplemental Benefits Per Hour: 36.55

Iron Worker (Ornamental) - 23 - 28 Months - Hired After 8/1/08

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 70% of Journeyman's rate
Supplemental Rate Per Hour: \$37.72
Effective 1/20/2014 – Supplemental Benefits Per Hour: 38.56

Iron Worker (Ornamental) - 29 - 36 Months - Hired After 8/1/08

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 80% of Journeyman's rate
Supplemental Rate Per Hour: \$39.66

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Effective 1/20/2014 – Supplemental Benefits Per Hour: 40.56

(Local #580)

IRON WORKER - STRUCTURAL
(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 6)

Iron Worker (Structural) - 1st Six Months

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: **\$24.48**

Supplemental Benefit Rate per Hour: **\$43.87**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$24.73**

Supplemental Benefit Rate per Hour: **\$45.07**

Iron Worker (Structural) - 7- 18 Months

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: **\$25.08**

Supplemental Benefit Rate per Hour: **\$43.87**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$25.33**

Supplemental Benefit Rate per Hour: **\$45.07**

Iron Worker (Structural) - 19 - 36 months

Effective Period: 7/1/2013 – 1/19/2014

Wage Rate per Hour: **\$25.68**

Supplemental Benefit Rate per Hour: **\$43.87**

Effective Period: 1/20/2014 - 6/30/2014

Wage Rate per Hour: **\$25.93**

Supplemental Benefit Rate per Hour: **\$45.07**

(Local #40 and #361)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

LABORER (FOUNDATION, CONCRETE, EXCAVATING, STREET PIPE LAYER & COMMON)

(Ratio Apprentice to Journeyman: 1 to 1, 1 to 3)

Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) - First 1000 hours

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 50% of Journeyman's rate

Supplemental Rate Per Hour: \$33.25

Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) - Second 1000 hours

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 60% of Journeyman's rate

Supplemental Rate Per Hour: \$33.25

Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) - Third 1000 hours

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 75% of Journeyman's rate

Supplemental Rate Per Hour: \$33.25

Laborer (Foundation, Concrete, Excavating, Street Pipe Layer & Common) - Fourth 1000 hours

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 90% of Journeyman's rate

Supplemental Rate Per Hour: \$33.25

(Local #731)

MARBLE MECHANICS

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

Cutters & Setters - First 750 Hours

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 50% of Journeyman's rate

NO BENEFITS PAID DURING THE FIRST TWO MONTHS (PROBATIONARY PERIOD)

Cutters & Setters - Second 750 Hours

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 55% of Journeyperson's rate

Cutters & Setters - Third 750 Hours

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 65% of Journeyperson's rate

Cutters & Setters - Fourth 750 Hours

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 75% of Journeyperson's rate

Cutters & Setters - Fifth 750 Hours

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 85% of Journeyperson's rate

Cutters & Setters - Sixth 750 Hours

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 95% of Journeyperson's rate

Polishers & Finishers - First 750 Hours

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

NO BENEFITS PAID DURING THE FIRST TWO MONTHS (PROBATIONARY PERIOD)

Polishers & Finishers - Second 750 Hours

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

Polishers & Finishers - Third 750 Hours

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 75% of Journeyperson's rate

Polishers & Finishers - Fourth 750 Hours

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 90% of Journeyperson's rate

(Local #7)

MASON TENDER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Mason Tender - First Year

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: **\$20.63**

Supplemental Benefit Rate per Hour: **\$17.06**

Effective Period: 1/20/2014 – 6/30/2014

Wage Rate per Hour: **\$20.79**

Supplemental Benefit Rate per Hour: **\$17.58**

Mason Tender - Second Year

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: **\$21.73**

Supplemental Benefit Rate per Hour: **\$17.06**

Effective Period: 1/20/2014 – 6/30/2014

Wage Rate per Hour: **\$21.94**

Supplemental Benefit Rate per Hour: **\$17.58**

Mason Tender - Third Year

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: **\$23.33**

Supplemental Benefit Rate per Hour: **\$17.06**

Effective Period: 1/20/2014 – 6/30/2014

Wage Rate per Hour: **\$23.59**

Supplemental Benefit Rate per Hour: **\$17.58**

Mason Tender - Fourth Year

Effective Period: 7/1/2013 - 1/19/2014

Wage Rate per Hour: **\$25.93**

Supplemental Benefit Rate per Hour: **\$17.06**

Effective Period: 1/20/2014 – 6/30/2014

Wage Rate per Hour: **\$26.25**

Supplemental Benefit Rate per Hour: **\$17.58**

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

(Local #79)

METALLIC LATHER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

Metallic Lather (First Year -Called Prior to 6/29/11)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$28.11

Supplemental Benefit Rate per Hour: \$22.79

Metallic Lather (Second Year - Called Prior to 6/29/11)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$32.71

Supplemental Benefit Rate per Hour: \$24.44

Metallic Lather (Third Year - Called Prior to 6/29/11)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$37.77

Supplemental Benefit Rate per Hour: \$25.59

Metallic Lather (First Year -Called On Or After 6/29/11)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$17.71

Supplemental Benefit Rate per Hour: \$19.85

Metallic Lather (Second Year - Called On Or After 6/29/11)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$22.81

Supplemental Benefit Rate per Hour: \$19.85

Metallic Lather (Third Year - Called On Or After 6/29/11)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$27.91

Supplemental Benefit Rate per Hour: \$19.85

(Local #46)

MILLWRIGHT

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Millwright (First Year)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$26.23

Supplemental Benefit Rate per Hour: \$31.51

Millwright (Second Year)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$31.00

Supplemental Benefit Rate per Hour: \$34.77

Millwright (Third Year)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$35.77

Supplemental Benefit Rate per Hour: \$39.19

Millwright (Fourth Year)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$45.30

Supplemental Benefit Rate per Hour: \$44.63

(Local #740)

PAVER AND ROADBUILDER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Paver and Roadbuilder - First Year (Minimum 1000 hours)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$26.19

Supplemental Benefit Rate per Hour: \$16.20

Paver and Roadbuilder - Second Year (Minimum 1000 hours)

Effective Period: 7/1/2013 - 6/30/2014

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
\$220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Wage Rate per Hour: \$27.77

Supplemental Benefit Rate per Hour: \$16.20

(Local #1010)

PAINTER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Painter - Brush & Roller - First Year

Effective Period: 7/1/2013 - 4/30/2014

Wage Rate per Hour: \$15.00

Supplemental Benefit Rate per Hour: \$11.38

Effective Period: 5/1/2014 - 6/30/2014

Wage Rate per Hour: \$15.80

Supplemental Benefit Rate per Hour: \$11.88

Painter - Brush & Roller - Second Year

Effective Period: 7/1/2013 - 4/30/2014

Wage Rate per Hour: \$18.75

Supplemental Benefit Rate per Hour: \$15.23

Effective Period: 5/1/2014 - 6/30/2014

Wage Rate per Hour: \$19.75

Supplemental Benefit Rate per Hour: \$15.73

Painter - Brush & Roller - Third Year

Effective Period: 7/1/2013 - 4/30/2014

Wage Rate per Hour: \$22.50

Supplemental Benefit Rate per Hour: \$18.14

Effective Period: 5/1/2014 - 6/30/2014

Wage Rate per Hour: \$23.70

Supplemental Benefit Rate per Hour: \$18.64

Painter - Brush & Roller - Fourth Year

Effective Period: 7/1/2013 - 4/30/2014

Wage Rate per Hour: \$30.00

Supplemental Benefit Rate per Hour: \$23.52

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
\$220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Effective Period: 5/1/2014 - 6/30/2014

Wage Rate per Hour: \$31.60

Supplemental Benefit Rate per Hour: \$24.02

(District Council of Painters)

PAINTER - STRUCTURAL STEEL

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

Painters - Structural Steel (First Year)

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 40% of Journeyman's rate

Painters - Structural Steel (Second Year)

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 60% of Journeyman's rate

Painters - Structural Steel (Third Year)

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 80% of Journeyman's rate

(Local #806)

PLASTERER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 3)

Plasterer - First Year: 1st Six Months

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 40% of Journeyman's rate

Supplemental Rate Per Hour: \$12.76

Effective 1/20/2014 - Supplemental Benefits Per Hour: 15.76

Plasterer - First Year: 2nd Six Months

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 45% of Journeyman's rate

Supplemental Rate Per Hour: \$13.24

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Effective 1/20/2014 – Supplemental Benefits Per Hour: 16.24

Plasterer - Second Year: 1st Six Months

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 55% of Journeyperson's rate

Supplemental Rate Per Hour: \$15.21

Effective 1/20/2014 – Supplemental Benefits Per Hour: 18.21

Plasterer - Second Year: 2nd Six Months

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 60% of Journeyperson's rate

Supplemental Rate Per Hour: \$16.29

Effective 1/20/2014 – Supplemental Benefits Per Hour: 19.29

Plasterer - Third Year: 1st Six Months

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 70% of Journeyperson's rate

Supplemental Rate Per Hour: \$18.46

Effective 1/20/2014 – Supplemental Benefits Per Hour: 21.46

Plasterer - Third Year: 2nd Six Months

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 75% of Journeyperson's rate

Supplemental Rate Per Hour: \$19.54

Effective 1/20/2014 – Supplemental Benefits Per Hour: 22.54

(Local #530)

PLUMBER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Plumber - First Year: 1st Six Months

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$14.00

Supplemental Benefit Rate per Hour: \$0.71

Plumber - First Year: 2nd Six Months

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$14.00

Supplemental Benefit Rate per Hour: \$2.96

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Plumber - Second Year

Effective Period: 7/1/2013 - 1/19/2014
Wage Rate per Hour: **\$18.26**
Supplemental Benefit Rate per Hour: **\$16.32**

Effective Period: 1/20/2014 - 6/30/2014
Wage Rate per Hour: **\$23.67**
Supplemental Benefit Rate per Hour: **\$11.16**

Plumber - Third Year

Effective Period: 7/1/2013 - 1/19/2014
Wage Rate per Hour: **\$20.36**
Supplemental Benefit Rate per Hour: **\$16.32**

Effective Period: 1/20/2014 - 6/30/2014
Wage Rate per Hour: **\$25.77**
Supplemental Benefit Rate per Hour: **\$11.16**

Plumber - Fourth Year

Effective Period: 7/1/2013 - 1/19/2014
Wage Rate per Hour: **\$23.21**
Supplemental Benefit Rate per Hour: **\$16.32**

Effective Period: 1/20/2014 - 6/30/2014
Wage Rate per Hour: **\$28.62**
Supplemental Benefit Rate per Hour: **\$11.16**

Plumber - Fifth Year: 1st Six Months

Effective Period: 7/1/2013 - 1/19/2014
Wage Rate per Hour: **\$24.61**
Supplemental Benefit Rate per Hour: **\$16.32**

Effective Period: 1/20/2014 - 6/30/2014
Wage Rate per Hour: **\$30.02**
Supplemental Benefit Rate per Hour: **\$11.16**

Plumber - Fifth Year: 2nd Six Months

Effective Period: 7/1/2013 - 1/19/2014
Wage Rate per Hour: **\$36.68**
Supplemental Benefit Rate per Hour: **\$16.32**

Effective Period: 1/20/2014 - 6/30/2014

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
\$220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Wage Rate per Hour: \$42.09

Supplemental Benefit Rate per Hour: \$11.16

(Plumbers Local #1)

POINTER - WATERPROOFER, CAULKER MECHANIC (EXTERIOR BUILDING RENOVATION)

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

Pointer - Waterproofer, Caulker Mechanic - First Year

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$25.00

Supplemental Benefit Rate per Hour: \$3.64

Pointer - Waterproofer, Caulker Mechanic - Second Year

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$27.25

Supplemental Benefit Rate per Hour: \$8.59

Pointer - Waterproofer, Caulker Mechanic - Third Year

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$32.23

Supplemental Benefit Rate per Hour: \$11.34

Pointer - Waterproofer, Caulker Mechanic - Fourth Year

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$38.66

Supplemental Benefit Rate per Hour: \$11.34

(Bricklayer District Council)

ROOFER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 2)

Roofer - First Year

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 35% of Journeyperson's Rate

Roofer - Second Year

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 50% of Journeyperson's Rate

Roofer - Third Year

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 60% of Journeyperson's Rate

Roofer - Fourth Year

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 75% of Journeyperson's Rate

(Local #8)

SHEET METAL WORKER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Sheet Metal Worker - First Year

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 30% of Journeyperson's rate

Supplemental Rate Per Hour: \$15.37

Sheet Metal Worker - Second Year

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 35% of Journeyperson's rate

Supplemental Rate Per Hour: \$18.24

Sheet Metal Worker - Third Year (1st Six Months)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 40% of Journeyperson's rate

Supplemental Rate Per Hour: \$20.06

Sheet Metal Worker - Third Year (2nd Six Months)

Effective Period: 7/1/2013 - 6/30/2014

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Wage Rate Per Hour: 45% of Journeyperson's rate
Supplemental Rate Per Hour: \$21.87

Sheet Metal Worker - Fourth Year (1st Six Months)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 50% of Journeyperson's rate
Supplemental Rate Per Hour: \$23.69

Sheet Metal Worker - Fourth Year (2nd Six Months)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 55% of Journeyperson's rate
Supplemental Rate Per Hour: \$25.33

Sheet Metal Worker - Fifth Year (1st Six Months)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 60% of Journeyperson's rate
Supplemental Rate Per Hour: \$27.47

Sheet Metal Worker - Fifth Year (2nd Six Months)

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 70% of Journeyperson's rate
Supplemental Rate Per Hour: \$31.23

(Local #28)

SIGN ERECTOR

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Sign Erector - First Year: 1st Six Months

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 35% of Journeyperson's rate
Supplemental Rate Per Hour: \$5.96

Sign Erector - First Year: 2nd Six Months

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 40% of Journeyperson's rate
Supplemental Rate Per Hour: \$6.75

Sign Erector - Second Year: 1st Six Months

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
\$220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 45% of Journey person's rate
Supplemental Rate Per Hour: \$7.55

Sign Erector - Second Year: 2nd Six Months

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 50% of Journey person's rate
Supplemental Rate Per Hour: \$8.34

Sign Erector - Third Year: 1st Six Months

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 55% of Journey person's rate
Supplemental Rate Per Hour: \$9.13

Sign Erector - Third Year: 2nd Six Months

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 60% of Journey person's rate
Supplemental Rate Per Hour: \$9.92

Sign Erector - Fourth Year: 1st Six Months

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 65% of Journey person's rate
Supplemental Rate Per Hour: \$10.72

Sign Erector - Fourth Year: 2nd Six Months

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 70% of Journey person's rate
Supplemental Rate Per Hour: \$11.51

Sign Erector - Fifth Year

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 75% of Journey person's rate
Supplemental Rate Per Hour: \$12.30

Sign Erector - Sixth Year

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 80% of Journey person's rate
Supplemental Rate Per Hour: \$12.30

(Local #137)

STEAMFITTER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 3)

Steamfitter - First Year

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate and Supplemental Per Hour: 40% of Journeyperson's rate

Steamfitter - Second Year

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate and Supplemental Rate Per Hour: 50% of Journeyperson's rate.

Steamfitter - Third Year

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate and Supplemental Rate per Hour: 65% of Journeyperson's rate.

Steamfitter - Fourth Year

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate and Supplemental Rate Per Hour: 80% of Journeyperson's rate.

Steamfitter - Fifth Year

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate and Supplemental Rate Per Hour: 85% of Journeyperson's rate.

(Local #638)

STONE MASON - SETTER

(Ratio Apprentice of Journeyperson: 1 to 1, 1 to 2)

Stone Mason - Setters - First 750 Hours

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 50% of Journeyperson's rate

Stone Mason - Setters - Second 750 Hours

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate Per Hour: 60% of Journeyperson's rate

Supplemental Rate Per Hour: 50% of Journeyperson's rate

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Stone Mason - Setters - Third 750 Hours

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 70% of Journeyperson's rate
Supplemental Rate Per Hour: 50% of Journeyperson's rate

Stone Mason - Setters - Fourth 750 Hours

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 80% of Journeyperson's rate
Supplemental Rate Per Hour: 50% of Journeyperson's rate

Stone Mason - Setters - Fifth 750 Hours

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 90% of Journeyperson's rate
Supplemental Rate Per Hour: 50% of Journeyperson's rate

Stone Mason - Setters - Sixth 750 Hours

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 100% of Journeyperson's rate
Supplemental Rate Per Hour: 50% of Journeyperson's rate

(Bricklayers District Council)

TAPER

(Ratio of Apprentice to Journeyperson: 1 to 1, 1 to 4)

Drywall Taper - First Year

Effective Period: 7/1/2013 - 6/30/2014
Wage and Supplemental Rate Per Hour: 40% of Journeyperson's rate

Drywall Taper - Second Year

Effective Period: 7/1/2013 - 6/30/2014
Wage and Supplemental Rate Per Hour: 60% of Journeyperson's rate

Drywall Taper - Third Year

Effective Period: 7/1/2013 - 6/30/2014
Wage and Supplemental Rate Per Hour: 80% of Journeyperson's rate

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

(Local #1974)

TILE LAYER - SETTER

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 4)

Tile Layer - Setter - First 750 Hours

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 50% of Journeyman's rate

Tile Layer - Setter - Second 750 Hours

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 55% of Journeyman's rate

Tile Layer - Setter - Third 750 Hours

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 65% of Journeyman's rate

Tile Layer - Setter - Fourth 750 Hours

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 75% of Journeyman's rate

Tile Layer - Setter - Fifth 750 Hours

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 85% of Journeyman's rate

Tile Layer - Setter - Sixth 750 Hours

Effective Period: 7/1/2013 - 6/30/2014

Wage and Supplemental Rate Per Hour: 95% of Journeyman's rate

(Local #7)

TIMBERPERSON

(Ratio of Apprentice to Journeyman: 1 to 1, 1 to 6)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§220 APPRENTICESHIP PREVAILING WAGE SCHEDULE

Timberperson - First Year

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 40% of Journeyperson's rate
Supplemental Rate Per Hour: \$30.04

Timberperson - Second Year

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 50% of Journeyperson's rate
Supplemental Rate Per Hour: \$30.04

Timberperson - Third Year

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 65% of Journeyperson's rate
Supplemental Rate Per Hour: \$30.04

Timberperson - Fourth Year

Effective Period: 7/1/2013 - 6/30/2014
Wage Rate Per Hour: 80% of Journeyperson's rate
Supplemental Rate Per Hour: \$30.04

(Local #1536)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§6-109 PREVAILING WAGE SCHEDULE

NYC ADMINISTRATIVE CODE § 6-109 SCHEDULE OF "LIVING WAGES"

Contractors who provide the following services to the City of New York must post a copy of this Living Wage Schedule at their work site(s) as required by New York City Administrative Code § 6-109:

- Building Services,
- Day Care Services,
- Food Services,
- Head Start Services,
- Homecare Services,
- Services to Persons with Cerebral Palsy, and
- Temporary Services.

In accordance with NYC Administrative Code § 6-109, the Comptroller of the City of New York promulgated this schedule of living wages for the above services on contracts for non-emergency work in excess of the small purchase limit set by the Procurement Policy Board; contracting agencies must annex this schedule to such contracts.

A city service contractor or subcontractor that provides homecare services, day care services, head start services or services to persons with cerebral palsy must pay its covered employees that directly render such services in performance of the city service contract or subcontract no less than the living wage and must provide its employees health benefits (supplemental benefits) or must supplement their hourly wage rate by an amount no less than the health benefits supplement rate. This requirement applies for each hour that the employee works performing the city service contract or subcontract.

A city service contractor or subcontractor that provides building services, food services or temporary services must pay its employees that are engaged in performing the city service contract or subcontract no less than the living wage or the prevailing wage, whichever is greater. Where the living wage is greater than the prevailing wage, the city service contractor or subcontractor must either provide its employees health benefits or must supplement their hourly wage rate by an amount no less than the health benefits supplement rate. Where the prevailing wage is greater than the living wage, the city service contractor or subcontractor must provide its employees the prevailing wage and supplements. These requirements apply for each hour that the employee works performing the city service contract or subcontract.

The appropriate schedule of living wages must be posted at all work sites pursuant to NYC Administrative Code 6-109.

The schedule is applicable for work performed during the effective period, unless otherwise noted. You will be notified of any changes to this schedule by addenda published on our web site www.comptroller.nyc.gov. Schedules for future one-year periods will be published annually in the City Record on or about July 1st of each succeeding year and on our web site www.comptroller.nyc.gov.

The living wage rate and the health benefit supplement rate are known through June 30 of each year and those rates are listed in this schedule.

The living wage rates listed in this schedule may not include all hourly wage calculations for overtime, shift differential, Holiday, Saturday, Sunday or other premium time work. Similarly, this schedule does not set forth every living wage practice with which employers must comply.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§6-109 PREVAILING WAGE SCHEDULE

Some of the rates in this schedule are based on collective bargaining agreements. These agreements are available for inspection by appointment. Requests for appointments may be made by calling (212) 669-4443, Monday through Friday between the hours of 9 a.m. and 5 p.m.

Answers to questions concerning prevailing wage practices may be obtained from the Classification Unit by calling (212) 669-7974. Please direct all other compliance issues to; Bureau of Labor Law, Attn: Wasyl Kinach, P.E., Office of the Comptroller, 1 Centre Street, Room 1122, New York, N.Y. 10007; Fax (212) 669-4002.

Contractors are solely responsible for maintaining original payroll records, which delineate, among other things, the hours each employee worked within a given classification. Contractors using rates and/or classifications not promulgated by the Comptroller do so at their own risk. Additionally, prior to bid, an agency's chief contracting officer must contact the Bureau of Labor Law to obtain a wage determination for a work classification not published in this schedule.

The information listed below is intended to assist you in meeting your living wage and prevailing wage obligation. Contractors are advised to review the Comptroller's Living Wage Schedule prior to submitting a bid for City work. Any wage rate error made by the contracting agency in the contract documents will not preclude a finding against the contractor for an underpayment of the applicable living wage or the applicable prevailing wage.

This schedule sets forth the living wage and benefit rates required to be annexed to and form part of the contract specifications for work covered by New York City Administrative Code § 6-109. Contractors performing such work are required to pay not less than the rates specified in this schedule for the applicable trade or occupation.

Benefits are paid for EACH HOUR WORKED unless otherwise noted.

Wasyl Kinach, P.E.
Director of Classifications
Bureau of Labor Law

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OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§6-109 PREVAILING WAGE SCHEDULE

BUILDING CLEANER AND MAINTAINER (OFFICE)

For the above building service classification, see the Labor Law Section 230 Schedule.

BUILDING CLEANER AND MAINTAINER (RESIDENTIAL)

For the above building service classification, see the Labor Law Section 230 Schedule.

CLEANER (PARKING GARAGE)

For the above building service classification, see the Labor Law Section 230 Schedule.

DAY CARE SERVICES

Day Care Services

'Day Care Services' means provision of day care services through the city's center-based day care program administered under contract with the city's Administration for Children's Services. No other day care programs shall be covered, including family-based day care programs administered by city-contracted day care centers.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$10.00

Supplemental Benefit Rate per Hour: \$1.50

(NYC Administrative Code §6-109)

FOOD SERVICE EMPLOYEES

Cook

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$15.62

Supplemental Benefit Rate per Hour: \$1.72

Cafeteria Attendant

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
\$6-109 PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: ~~\$10.48~~

Supplemental Benefit Rate per Hour: \$1.72

Counter Attendant

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$9.95

Supplemental Benefit Rate per Hour: \$1.72

Kitchen Helper / Dishwasher

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$9.60

Supplemental Benefit Rate per Hour: \$1.72

Overtime

Time and one half the regular hourly rate after 40 hours in any work week.

(Based on data from NYS Department of Labor Occupational Employment Statistics and US Department of Labor Bureau of Labor Statistics)

GARDENER

For the above building service classification, see the Labor Law Section 230 Schedule.

HEAD START SERVICES

Head Start Services

'Head Start Services' means provision of head start services through the city's center-based head start program administered under contract with the city's Administration for Children's Services. No other head start programs shall be covered.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$10.00

Supplemental Benefit Rate per Hour: \$1.50

(NYC Administrative Code §6-109)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§6-109 PREVAILING WAGE SCHEDULE

HEMECARE SERVICES

Home Care Services

'Homecare Services' means the provision of homecare services under the city's Medicaid Personal Care/Home Attendant or Housekeeping Programs, including but not limited to the In-Home Services for the Elderly Programs administered by the Department for the Aging.

For homecare services provided under the Personal Care Services program, the wage and supplemental benefit rate above shall apply only as long as the state and federal government maintain their combined aggregate proportionate share of funding and approved rates for homecare services in effect as of the date of the enactment of this section.

For contractors or subcontractors providing homecare services, the supplemental benefit rate may be waived by the terms of a bona fide collective bargaining agreement with respect to employees who have never worked a minimum of eighty (80) hours per month for two consecutive months for that covered employer, but such provision may not be waived for any employee once a minimum of eighty (80) hours for two consecutive months has been achieved.

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$10.00

Supplemental Benefit Rate per Hour: \$1.50

(NYC Administrative Code §6-109)

SECURITY GUARD (ARMED)

For the above building service classification, see the Labor Law Section 230 Schedule.

SECURITY GUARD (UNARMED)

For the above building service classification, see the Labor Law Section 230 Schedule.

SERVICES TO PERSONS WITH CEREBRAL PALSY

Services To Person With Cerebral Palsy

'Services to Persons with Cerebral Palsy' means provision of services which enable persons with cerebral palsy and related disabilities to lead independent and productive lives through an agency that provides health care, education, employment, housing and technology resources to such persons under contract with the city or the department of education.

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§6-109 PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$10.00

Supplemental Benefit Rate per Hour: \$1.50

(NYC Administrative Code §6-109)

TEMPORARY OFFICE SERVICES

Administrative Assistant

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$32.83

Supplemental Benefit Rate per Hour: None

Cashier

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$11.50

Supplemental Benefit Rate per Hour: None

Clerk (various)

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$14.86

Supplemental Benefit Rate per Hour: None

Computer Assistant

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$21.23

Supplemental Benefit Rate per Hour: None

Data Entry Operator

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$16.21

Supplemental Benefit Rate per Hour: None

Receptionist

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$14.88

Supplemental Benefit Rate per Hour: None

Secretary (various)

OFFICE OF THE COMPTROLLER, CITY OF NEW YORK
§6-109 PREVAILING WAGE SCHEDULE

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$18.66

Supplemental Benefit Rate per Hour: None

Word Processor

Effective Period: 7/1/2013 - 6/30/2014

Wage Rate per Hour: \$20.02

Supplemental Benefit Rate per Hour: None

Overtime

Time and one half the regular hourly rate after 40 hours in any work week.

(Based on data from NYS Department of Labor Occupational Employment Statistics and US Department of Labor Bureau of Labor Statistics or NYC Administrative Code §6-109)

WINDOW CLEANER

For the above building service classification, see the Labor Law Section 230 Schedule.



Leonard A. Mancusi
SENIOR ASSISTANT COMPTROLLER

THE CITY OF NEW YORK
OFFICE OF THE COMPTROLLER
1 CENTRE STREET ROOM 1120
NEW YORK, N.Y. 10007-2341

TELEPHONE: (212) 669-3622
FAX NUMBER: (212) 669-8499

ALAN G. HEVESI
COMPTROLLER

MEMORANDUM

November 6, 2000

To Agency Chief Contracting Officers

From: Leonard A. Mancusi 

Re: Security at Construction Sites
.....

Prior to the enactment of Administrative Code §6-109, security guards on construction sites were not subject to prevailing wages. Security guards under the New York State labor law are covered under §230 which provides that prevailing wages are to be paid for security guards in existing buildings. §6-109 of the Administrative Code which was enacted in 1996 closed this loophole by including all security guards working pursuant to a city contract as a prevailing wage trade.

Although some construction contract boilerplate language has been amended to include §6-109, sub-contractors performing security services have advised us that they were not aware of this provision and, since traditionally, security guards were not a covered trade on construction sites, and they were not advised by a prime contractor that they would have to pay prevailing wages, they have not been doing so.

To avoid the possibility of issuing stop payments against prime contractors for the failure of their security service sub-contractors to pay

prevailing wages, we suggest that you write to all your existing security guard sub-contractors and their primes and in the future, upon approval of a security guard sub-contractor, advise the contractors of their obligation to pay prevailing wages under §6-109 of the Administrative Code.

As always, your cooperation is appreciated.

-LAM:er
ACCO.SECURITY AT SITES





NEW YORK CITY DEPARTMENT OF
DESIGN + CONSTRUCTION

**INFRASTRUCTURE DIVISION
BUREAU OF DESIGN**

VOLUME 2 OF 3

Contractor.

Dated _____, 20__

**APPROVED AS TO FORM
CERTIFIED AS TO LEGAL AUTHORITY**

Acting Corporation Counsel

Dated _____, 20__





NEW YORK CITY DEPARTMENT OF
DESIGN + CONSTRUCTION

**INFRASTRUCTURE DIVISION
BUREAU OF DESIGN**

VOLUME 2 OF 3

PROJECT ID: HWMP2017

RECONSTRUCTION OF WADSWORTH TERRACE

FROM WEST 190TH STREET TO FAIRVIEW AVENUE

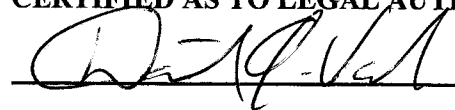
INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC WORK

Together With All Work Incidental Thereto
BOROUGH OF MANHATTAN
CITY OF NEW YORK

Contractor.

Dated _____, 20____

APPROVED AS TO FORM
CERTIFIED AS TO LEGAL AUTHORITY



Acting Corporation Counsel

JP
3-25-14

Dated March 25, 2014



**THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE**

30-30 THOMSON AVENUE
LONG ISLAND CITY, NEW YORK 11101-3045
TELEPHONE (718) 391-1000
WEBSITE www.nyc.gov/buildnyc

LAW

VOLUME 3 OF 3

**SCHEDULE A
ADDENDA NOS. 1 TO 5**

FOR FURNISHING ALL LABOR AND MATERIALS NECESSARY AND REQUIRED FOR:

PROJECT ID: HWMP2017

RECONSTRUCTION OF WADSWORTH TERRACE

FROM WEST 190TH STREET TO FAIRVIEW AVENUE

INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC WORK

**Together With All Work Incidental Thereto
BOROUGH OF MANHATTAN
CITY OF NEW YORK2**



FOR THE DEPARTMENT OF TRANSPORTATION
PREPARED BY
IN-HOUSE

JANUARY 31, 2014

4-085



SPECIFICATIONS AND STANDARDS OF NEW YORK CITY

The following NYC Department of Transportation reference documents are available on-line at:

http://www.nyc.gov/html/ddc/html/pubs/pubs_infrastdts.shtml or for purchase between 9:00 A.M. and 3:00 P.M. at 55 Water St., Ground Floor, NYC, N.Y. 10041. Contact: Ms Vivian Valdez, Tel. (212) 839-9434

1. New York City Standard Highway Specifications, November 1, 2010
2. New York City Standard Highway Details of Construction, July 1, 2010
3. New York City Division of Street Lighting Specifications
4. New York City Division of Street Lighting Standard Drawings
5. New York City Standard Specifications for Traffic Signals
6. New York City Standard Drawings for Traffic Signals

The following reference documents for Sewer Work are available on-line at:

http://www.nyc.gov/html/ddc/html/pubs/pubs_infrastdts.shtml or for pick up between 8:00 A.M. and 4:00 P.M. at 30-30 Thomson Avenue, 3rd Floor, Division of Infrastructure, Long Island City, N.Y. 11101.

Contact: Mr. William Patalano, Tel. (718) 391-2054

1. New York City DEP Standard Sewer Specifications, August 1, 2009
2. New York City DEP Instructions for Concrete Specifications, Jan. 92
3. New York City DEP General Specification 11-Concrete, November 1991
4. New York City DEP Sewer Design Standards, (September 2007) Revised January 2009

The following reference documents for Water Mains Work are available on-line at: http://www.nyc.gov/html/ddc/html/pubs/pubs_infrastdts.shtml or for pick up between 8:00 A.M. and 4:00 P.M. at 30-30 Thomson Avenue, 3rd Floor, Division of Infrastructure, Long Island City, N.Y. 11101.

Contact: Mr. Robert Kuhlmann, Tel. (718) 391-2145

1. New York City Department of Environmental Protection, Standard Water Main Specifications, dated August 1, 2009
2. New York City Department of Environmental Protection Water Main Standard Drawings
3. Specifications for Trunk Main Work, dated March 2012
4. Standards for Green Infrastructure, latest version, available only on-line at: http://www.nyc.gov/html/dep/pdf/green_infrastructure/bioswales-standard-designs.pdf

The water main work material specifications are available at the Department of Environmental Protection, 59-17 Junction Boulevard, 3rd Floor Low-Rise Building, Flushing, N.Y. 11373-5108.

Contact: Mr. Tarlock Sahansra, P.E., Tel. (718) 595-5302

E-mail: TSAHANSRA@DEP.NYC.GOV

Standard Specifications and Drawings for Fire Department Communications facilities of New York City are available at 87 Union Street, Engineering Office, Brooklyn, N.Y. 11231-1416.

Contact: Mr. Ed Durkin, Tel. (718) 624-3752

Tree Planting Standards of the City of New York Parks & Recreation are available at the following Department of Parks & Recreation website:

<http://www.nycgovparks.org/pagefiles/53/Tree-Planting-Standards.pdf>

SPECIFICATIONS AND STANDARDS OF PRIVATE UTILITIES

The Following reference document for Private Utility Work is available for pick up between 8:30 A.M. and 4:00 P.M. at 30-30 Thomson Avenue, First Floor Bid Procurement Room, L.I.C., N.Y. 11101.

1. CET SPECIFICATIONS AND SKETCHES dated November 2010

(NO TEXT ON THIS PAGE)

SCHEDULE A**(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT
(INCLUDING GENERAL CONDITIONS RELATED TO ARTICLE 22 – INSURANCE))****PART I. REQUIRED INFORMATION**

<p><u>INFORMATION FOR BIDDERS SECTION 26</u> <u>BID SECURITY</u></p> <p>The Contractor shall obtain a bid security in the amount indicated to the right.</p>	<p>See Attachment 1 (page A-1 of the Bid Booklet).</p>
<p><u>INFORMATION FOR BIDDERS SECTION 26</u> <u>PERFORMANCE AND PAYMENT BONDS</u></p> <p>The Contractor shall obtain performance and payment bonds in the amount indicated to the right.</p>	<p>See Attachment 1 (page A-1 of the Bid Booklet).</p>
<p><u>CONTRACT ARTICLE 14.</u> <u>DATE FOR SUBSTANTIAL COMPLETION</u></p> <p>The Contractor shall substantially complete the Work in the number of calendar days indicated to the right.</p>	<p>See Page SA-4</p>
<p><u>CONTRACT ARTICLE 15.</u> <u>LIQUIDATED DAMAGES</u></p> <p>If the Contractor fails to substantially complete the Work within the time fixed for substantial completion plus authorized time extensions or if the Contractor, in the sole determination of the Commissioner, has abandoned the Work, the Contractor shall pay to the City the amount indicated to the right.</p>	<p>\$ <u>900</u> for each consecutive calendar day over substantial completion time</p>
<p><u>CONTRACT ARTICLE 17.</u> <u>SUB-CONTRACTOR</u></p> <p>The Contractor shall not make subcontracts totaling an amount more than the percentage of the total Contract price indicated to the right.</p>	<p>Not to exceed <u>50</u> % of the Contract price</p>
<p><u>CONTRACT ARTICLE 21.</u> <u>RETAINAGE</u></p> <p>The Commissioner shall deduct and retain until the substantial completion of the Work the percent value of the Work indicated to the right.</p>	<p><u>5</u> % of the value of the Work</p>

<p align="center"><u>CONTRACT ARTICLE 22.</u></p> <p align="center"><u>(Per Directions Below)</u></p>	See pages SA-5 through SA-11
<p align="center"><u>CONTRACT ARTICLE 24.</u></p> <p align="center"><u>DEPOSIT GUARANTEE</u></p> <p>As security for the faithful performance of its obligations, the Contractor, upon filing its requisition for payment on Substantial Completion, shall deposit with the Commissioner a sum equal to the percentage of the Contract price indicated to the right.</p>	1% of Contract price
<p align="center"><u>CONTRACT ARTICLE 24.</u></p> <p align="center"><u>PERIOD OF GUARANTEE</u></p> <p>Periods of maintenance and guarantee other than the period set forth in Article 24.1 are indicated to the right.</p>	<p>Eighteen (18) Months, excluding Trees</p> <p>Twenty-four (24) Months for Tree Planting</p>
<p align="center"><u>CONTRACT ARTICLE 74.</u></p> <p align="center"><u>STATEMENT OF WORK</u></p> <p>The Contractor shall furnish all labor and materials and perform all Work in strict accordance with the Contract Drawings, Specifications, and all Addenda thereto.</p>	See Contract Article 74
<p align="center"><u>CONTRACT ARTICLE 75.</u></p> <p align="center"><u>COMPENSATION TO BE PAID TO CONTRACTOR</u></p> <p>The City shall pay and the Contractor shall accept in full consideration for the performance of the Contract, subject to additions and deductions as provided in Contract Article 75, this said sum being the amount at which the Contract was awarded to the Contractor at a public letting thereof, based upon the Contractor's bid for the Contract.</p>	See Contract Article 75
<p align="center"><u>CONTRACT ARTICLE 78.</u></p> <p align="center"><u>PARTICIPATION BY MINORITY-OWNED AND</u></p> <p align="center"><u>WOMEN-OWNED BUSINESS ENTERPRISES IN CITY</u></p> <p align="center"><u>PROCUREMENT</u></p>	See M/WBE Utilization Plan in the Bid Booklet

<p align="center"><u>STANDARD HIGHWAY SPECIFICATIONS</u> <u>SECTION 6.40</u> <u>LIQUIDATED DAMAGES FOR</u> <u>ENGINEER'S FIELD OFFICE</u></p> <p>If the Contractor fails to satisfactorily provide the field office and all equipment specified in Section 6.40 - Engineer's Field Office, and/or if a cited deficiency exceed seventy two (72) hours after notice from the Engineer in writing, or is permitted to recur, liquidated damages will be assessed in the amount specified herein for each subsequent calendar day or part thereof that a cited deficiency resulting in nonpayment, as described in Section 6.40.5, is not corrected.</p>	<p>\$ <u>250.00</u> for each calendar day of deficiency</p>
<p align="center"><u>STANDARD HIGHWAY SPECIFICATIONS</u> <u>SECTION 6.70</u> <u>LIQUIDATED DAMAGES FOR</u> <u>MAINTENANCE AND PROTECTION OF TRAFFIC</u></p>	<p>\$ <u>250.00</u> for each instance of failure to comply with the Maintenance and Protection of Traffic requirements within three (3) hours after written notice from the Engineer</p> <p>\$ <u>500.00</u> for each and every hour of failing to open the entire width of roadway to traffic the morning following a night/weekend work operation</p>
<p align="center"><u>STANDARD HIGHWAY SPECIFICATIONS</u> <u>SECTION 7.13</u> <u>LIQUIDATED DAMAGES FOR</u> <u>MAINTENANCE OF SITE</u></p> <p>If the Contractor fails to comply, within three (3) consecutive hours after written notice from the Engineer, with the requirements of Section 7.13 - Maintenance of Site, the Contractor shall pay to the City of New York, until such notice has been complied with or rescinded, the sum specified above per calendar day, for each instance of such failure, as liquidated damages and not as a penalty, for such default.</p>	<p>\$ <u>250.00</u> for each calendar day, for each occurrence</p>

Date for Substantial Completion (Reference: Article 14)

The Contractor shall substantially complete the Work within the Final Contract Duration determined in accordance with the terms and conditions set forth herein.

The Base Contract Duration for this project is 365 consecutive calendar days ("ccds").

The Final Contract Duration shall be the Base Contract Duration when a check mark is indicated before the word "NO", below, and shall be the Base Contract Duration adjusted by the table set forth below when a check mark is indicated before the word "YES", below.

✓ YES _____ NO

When the Final Contract Duration is indicated above to be adjusted by the table below, the table may increase the Base Contract Duration depending on the date of scheduled substantial completion to avoid a scheduled substantial completion of the Work during the winter months. The date of scheduled substantial completion shall be determined by adding the Base Contract Duration to the date specified to commence work in the written Notice to Proceed. The Final Contract Duration shall then be determined as follows:

- (a) Find the row that corresponds to the month of substantial completion based on the Base Contract Duration added to the date specified to commence work in the written Notice to Proceed.
- (b) Find the number of days to be added to the Base Contract Duration in the table below. Add that number of days to the Base Contract Duration to obtain the Final Contract Duration in consecutive calendar days.

Month of Substantial Completion based on the Base Contract Duration	Number of Days of adjustment
January	150
February	120
March	90
April	60
May	30
June	0
July	0
August	0
September	0
October	0
November – December 15	0
December 16 – December 31	180

In addition, should Item No. 9.30, "Storm Water Pollution Prevention," exist in the Contract and the required Storm Water Pollution Prevention Plan (SWPPP) does not conform to NYSDEC's recommended Standards, an additional 60 ccd shall be added to the above Final Contract Duration.

(GENERAL CONDITIONS RELATING TO ARTICLE 22 – INSURANCE)**PART II. TYPES OF INSURANCE, MINIMUM LIMITS AND SPECIAL CONDITIONS**

Note: All certificate(s) of insurance submitted pursuant to Contract Article 22.3.3 must be accompanied by a Certification by Broker consistent with Part III below and include the following information:

- For each insurance policy, the name and NAIC number of issuing company, number of policy, and effective dates;
- Policy limits consistent with the requirements listed below;
- Additional insureds or loss payees consistent with the requirements listed below; and
- The number assigned to the Contract by the City (in the “Description of Operations” field).

Insurance indicated by a blackened box (■) or by X in a □ to left will be required under this contract

Types of Insurance (per Article 22 in its entirety, including listed paragraph)	Minimum Limits and Special Conditions
<div style="display: flex; justify-content: space-between;"> <div data-bbox="191 835 623 873">■ Commercial General Liability</div> <div data-bbox="706 842 846 873">Art. 22.1.1</div> </div>	<p>The minimum limits shall be \$ <u>3,000,000</u> per occurrence and \$ <u>6,000,000</u> per project aggregate applicable to this Contract.</p> <p>Additional Insureds:</p> <ol style="list-style-type: none"> 1. City of New York, including its officials and employees, with coverage at least as broad as ISO Form CG 20 10 and CG 20 37, and 2. All person(s) or organization(s), if any, that Article 22.1.1(b) of the Contract requires to be named as Additional Insured(s), with coverage at least as broad as ISO Form CG 20 26. The Additional Insured endorsement shall either specify the entity's name, if known, or the entity's title (e.g., Project Manager). 3. The New York City Transit Authority (NYCTA), Manhattan and Bronx Surface Transit Operation Authority (MaBSTOA), Staten Island Rapid Transit Operation Authority (SIRTOA), Metropolitan Transportation Authority (MTA), its subsidiaries and affiliated companies. The Contractor shall furnish two (2) certificates of insurance to and the policy shall be endorsed to provide thirty (30) days advance notice to the Director, Risk Management, MTA Risk and Insurance Management Standards, Enforcement and Claims Unit, 2 Broadway, 21st Floor, New York, NY 10004, of any material change and/or cancellation. 4. Consolidated Edison.

- | | |
|--|-------------|
| <input checked="" type="checkbox"/> Workers' Compensation | Art. 22.1.2 |
| <input checked="" type="checkbox"/> Disability Benefits Insurance | Art. 22.1.2 |
| <input checked="" type="checkbox"/> Employers' Liability | Art. 22.1.2 |
| <input type="checkbox"/> Jones Act | Art. 22.1.3 |
| <input type="checkbox"/> U.S. Longshoremen's and Harbor Workers Compensation Act | Art. 22.1.3 |

Workers' Compensation, Employers' Liability, and Disability Benefits Insurance: Statutory per New York State law without regard to jurisdiction.

Note: The following forms are acceptable: (1) New York State Workers' Compensation Board Form No. C-105.2, (2) State Insurance Fund Form No. U-26.3, (3) New York State Workers' Compensation Board Form No. DB-120.1 and (4) Request for WC/DB Exemption Form No. CE-200. The City will not accept an ACORD form as proof of Workers' Compensation or Disability Insurance.

Jones Act and U.S. Longshoremen's and Harbor Workers' Compensation Act: Statutory per U.S. Law.

Additional Requirements:

- (1) NYCT "OUTSIDE CONTRACT" INSURANCE REQUIREMENTS:
Workers' Compensation Insurance (including Employer's Liability Insurance) with limits of not less than \$2,000,000, which limit may be met by a combination of primary and excess insurance meeting the statutory limits of New York State.
- (2) Two (2) certificates of such insurance shall be furnished to the Director, Risk Management, MTA Risk and Insurance Management Standards, Enforcement and Claims Unit, 2 Broadway, 21st Floor, New York, NY 10004.

<input type="checkbox"/> Builders' Risk	Art. 22.1.4	<p>100 % of total value of Work</p> <p>Contractor the Named Insured; the City both an Additional Insured and one of the loss payees as its interests may appear.</p> <p>If the Work does not involve construction of a new building or gut renovation work, the Contractor may provide an installation floater in lieu of Builders Risk insurance.</p> <p>Note: Builders Risk Insurance may terminate upon Substantial Completion of the Work in its entirety.</p>
<input checked="" type="checkbox"/> Commercial Auto Liability	Art. 22.1.5	<p>\$ <u>2,000,000</u> per accident combined single limit</p> <p>If vehicles are used for transporting hazardous materials, the Contractor shall provide pollution liability broadened coverage for covered vehicles (endorsement CA 99 48) as well as proof of MCS 90</p> <p>Additional Insureds:</p> <ol style="list-style-type: none"> (1) City of New York, including its officials and employees, and (2) The New York City Transit Authority (NYCTA), Manhattan and Bronx Surface Transit Operation Authority (MaBSTOA), Staten Island Rapid Transit Operation Authority (SIRTOA), Metropolitan Transportation Authority (MTA), its subsidiaries and affiliated companies.

<input type="checkbox"/> Contractors Pollution Liability Art. 22.1.6	\$ _____ per occurrence \$ _____ aggregate Additional Insureds: 1. City of New York, including its officials and employees, and 2. _____ 3. _____
<input type="checkbox"/> Marine Protection and Indemnity Art. 22.1.7(a)	\$ _____ each occurrence \$ _____ aggregate Additional Insureds: 1. City of New York, including its officials and employees, and 2. _____ 3. _____
<input type="checkbox"/> Hull and Machinery Insurance Art. 22.1.7(b)	\$ _____ per occurrence \$ _____ aggregate Additional Insureds: 1. City of New York, including its officials and employees, and 2. _____ 3. _____
<input type="checkbox"/> Marine Pollution Liability Art. 22.1.7(c)	\$ _____ per occurrence \$ _____ aggregate Additional Insureds: 1. City of New York, including its officials and employees, and 2. _____ 3. _____

[OTHER]

Art. 22.1.8

■ Railroad Protection Liability Policy

(ISO-RIMA or equivalent form) approved by Permittor covering the work to be performed at the designated site and affording protection for damages arising out of bodily injury or death, physical damage to or destruction of property, including damage to the Insured's own property and conforming to the following:

- Policy Endorsement CG 28 31 - Pollution Exclusion Amendment is required to be endorsed onto the policy when environmental-related work and/or exposures exist.
- Indicate the Name and address of the Contractor to perform the work, the Contract # and the name of the railroad property where the work is being performed and the Agency Permit.
- Evidence of Railroad Protective Liability Insurance, must be provided in the form of the Original Policy. A detailed Insurance Binder (ACORD or Manuscript Form) will be accepted pending issuance of the Original Policy, which must be provided within 30 days of the Binder Approval.

\$ 2,000,000 per occurrence

\$ 6,000,000 annual aggregate

Named Insureds:

1. New York City Transit Authority (NYCTA), the Manhattan and Bronx Surface Transit Operation Authority (MaBSTOA), the Staten Island Rapid Transit Operation Authority (SIRTOA), MTA Capital Construction Co., the Metropolitan Transportation Authority (MTA) including its subsidiaries and affiliates, and the City of New York (as Owner) and all other indemnified parties.

[OTHER]

Art. 22.1.8

☐ Professional Liability

- A. The Contractor's Professional Engineer shall maintain and submit evidence of Professional Liability Insurance in the minimum amount of \$1,000,000 per claim. The policy or policies shall include an endorsement to cover the liability assumed by the Contractor under this Contract arising out of the negligent performance of professional services or caused by an error, omission or negligent act of the Contractor's Professional Engineer or anyone employed by the Contractor's Professional Engineer.
- B. Claims-made policies will be accepted for Professional Liability Insurance. All such policies shall have an extended reporting period option or automatic coverage of not less than two (2) years. If available as an option, the Contractor's Professional Engineer shall purchase extended reporting period coverage effective on cancellation or termination of such insurance unless a new policy is secured with a retroactive date, including at least the last policy year.

[OTHER]

Art. 22.1.8

☒ Engineer's Field Office**Section 6.40, Standard Highway Specifications**

Fire insurance, extended coverage and vandalism, malicious mischief and burglary, and theft insurance coverage in the amount of \$40,000

[OTHER]

Art. 22.1.8

☐ The Following Additional Insurance Must Be Provided:

Umbrella/Excess Liability Insurance - The Contractor shall provide Umbrella/Excess Liability Insurance in the minimum amount of \$10,000,000 per Occurrence and \$10,000,000 in Aggregate. The policy terms and condition should be at least as broad as the underlying policies. The underlying policies should comply with the insurance provision as outlined by the contract. Defense cost should be in addition to the limit of liability. The City of New York, including its officials and employees, should be included as additional insured as respects to the noted project.

SCHEDULE A
(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)
(GENERAL CONDITIONS RELATING TO ARTICLE 22 – INSURANCE)

PART III. BROKER'S CERTIFICATION

Pursuant to Article 22.3.3 of the **Contract**, every Certificate of Insurance must be accompanied by either the following certification by the broker setting forth the following text and required information and signatures or certified copies of all policies referenced in the Certificate of Insurance.

CERTIFICATION BY BROKER

The undersigned insurance broker represents to the City of New York that the attached Certificate of Insurance is accurate in all material respects, and that the described insurance is effective as of the date of this Certification.

 [Name of broker (typewritten)]

 [Address of broker (typewritten)]

 [Email address of broker (typewritten)]

 [Phone number/Fax number of broker (typewritten)]

 [Signature of authorized official or broker]

 [Name and title of authorized official (typewritten)]

State of)

) ss.:

County of)

Sworn to before me this _____ day of _____, 20__

 NOTARY PUBLIC FOR THE STATE OF _____

SCHEDULE A

(GENERAL CONDITIONS TO CONSTRUCTION CONTRACT)

PART IV. ADDRESS OF COMMISSIONER

Wherever reference is made in Article 7 or Article 22 to documents to be sent to the **Commissioner** (e.g., notices, filings, or submissions), such documents shall be sent to the address set forth below or, in the absence of such address, to the **Commissioner's** address as provided elsewhere in this **Contract**.

DDC Director, Insurance Risk Manager

30 – 30 Thomson Avenue, 4th Floor (IDCNY Building)

Long Island City, NY 11101

ATTACH TO CONTRACT DOCUMENTS

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
INFRASTRUCTURE DIVISION
BUREAU OF DESIGN

PROJECT ID: HWMP2017

RECONSTRUCTION OF WADSWORTH TERRACE

FROM WEST 190TH STREET TO FAIRVIEW AVENUE

INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC WORK

Together With All Work Incidental Thereto
BOROUGH OF MANHATTAN
CITY OF NEW YORK

ADDENDUM NO. 1

DATED: February 10, 2014

THIS ADDENDUM IS HEREBY MADE A PART OF THE CONTRACT DOCUMENTS

The New York City Department of Transportation Standard Highway Specifications, dated November 1, 2010, (which include, but are not limited to, "General Conditions", "Basic Materials of Construction", "Combined Materials of Construction", "Construction Methods", "Inspection and Testing of Materials, Adjustments for Deficiencies, and Maintenance", and "Supplemental Construction Methods"), as modified by addenda issued prior to the opening of bids, shall apply to and become a part of the contract.

All references contained herein are to the New York City Department of Transportation, Standard Highway Specifications, dated November 1, 2010. The said Specifications are hereby revised. Included hereunder are the following REVISIONS:

1. Amendments to Standard Highway Specifications, Volume I
2. Amendments to Standard Highway Specifications, Volume II, including Section 7.88 (Revised) and new Sections 6.44 PO and 6.52 CG.
3. New Sections
4. Special Provisions

1. AMENDMENTS TO STANDARD HIGHWAY SPECIFICATIONS, VOLUME I

The following amendments to the Contract Requirements shall become a part of and apply to the contract:

[Added 12-09-2010]

1. Refer to Page 15, **Subsection 1.06.23.(C) CONFORMANCE WITH FEDERAL, STATE AND CITY AGENCIES;**

Add the following new paragraphs:

"The Contractor is notified that all vehicles that are owned, leased or operated by the Contractor or its subcontractors and used in connection with the Project shall comply with the following requirement:

Every truck, tractor, and tractor-trailer or semitrailer combination, having a gross vehicle weight rating of twenty-six thousand pounds or more, and a conventional cab configuration in which more than half of the engine length is forward of the foremost point of the windshield base, and the steering wheel hub is in the forward quarter of the vehicle length shall be equipped with a convex mirror on the front of such vehicle or combination of vehicles. Such convex mirror shall be adjusted so as to enable the operator of such vehicle or combination of vehicles to see all points on an imaginary horizontal line which is three feet above the road, is one foot directly forward from the midpoint of the front of such vehicle or combination of vehicles, and extends the full width of the front of such vehicle or combination of vehicles.

Any vehicle that does not comply with this provision may be prohibited from entering the Project site and/or supplying equipment or materials to the Project. The Contractor shall not be entitled to any damages as a result of such prohibition."

[Added 01-09-2011]

2. Refer to Page 240, **Subsection 4.16.5.(B) STUMP REMOVAL;**
Delete **Subsection 4.16.5.(B) STUMP REMOVAL**, in its entirety:
Substitute the following revised **Subsection 4.16.5.(B) :**

"(B) STUMP REMOVAL

1. Tree stumps designated to be removed and their roots shall be completely excavated to a minimum depth of three (3) feet below the existing grade. A portable stump cutter may be required in some locations. It may be necessary to remove concrete, asphalt, pavers, and/or other types of material surrounding the base of the stump. All excess debris, including chips from tree stumps, shall be removed and disposed of by the Contractor, away from the site prior to backfilling and the area shall be restored by completion of the workday, to the satisfaction of the Engineer. The disposal of tree stumps by burning in open fires will not be permitted.

2. All voids and excavations left after the removal of the stump and roots shall be backfilled to grade with clean earth fill. Fill shall be placed and compacted to a minimum of 95 percent of Standard Proctor Maximum Density by acceptable methods to the satisfaction of the Engineer. Where paving blocks exist, they are to be reset to the existing grade as directed.

3. Maximum safety and care must be used by Contractor during stump removal. The Contractor shall carefully protect against damage all existing trees, plants, curbs, sidewalks and utilities and other features to remain. The Contractor is responsible for locating and protecting underground utilities from damage during stump removal procedures. During stump grinding operations, plywood must be used to protect adjacent vehicles, real property, and pedestrians. If, when removing stumps, existing sidewalks or curbs are disturbed, the Contractor shall restore and/or reset these sidewalks and curbs, at no additional cost to the City. Restoration work shall be done to match the existing, to the satisfaction of the Engineer. All damaged trees, curbs, sidewalks, real property, vehicles and utilities must be addressed within three (3) days."

[Added 04-18-2011]

3. Refer to Pages 218 and 219, **Subsection 4.13.4.(H) PIGMENT**;
Delete the first three (3) paragraphs on page 219:
Substitute the following revised three (3) paragraphs:

"Where the color of the concrete is required to simulate the color of dark gray bluestone, the concrete shall be integrally pigmented to produce a gray color equivalent to: Davis Colors No. 884-3%; Lansco Color No. 437 "Strong Black" 5 lbs. per 94 lbs. Light Grey Portland Cement and 3 parts sand; L.M. Scofield "Cool Black No. 4"; Bayferrox Limestone 330, 2 lbs. per 94 lbs. Light Gray Portland Cement; or an approved equivalent, unless otherwise specified.

Where the color of the concrete is required to simulate the color of light to medium gray granite, the concrete shall be integrally pigmented to produce a gray color equal to: Davis Colors No. 884-1%; Lansco Color No. 437 "Strong Black" 2.5 lbs. per 94 lbs. Light Grey Portland Cement and 3 parts sand; L.M. Scofield "Cool Black No. 1"; Bayferrox Silver 330, 1 lb. per 94 lbs. Light Gray Portland Cement; or an approved equivalent, unless otherwise specified.

Where the sidewalk is designated to have a saw cut joint finish the color of the concrete shall be integrally pigmented to produce a gray color equivalent to L.M. Scofield "Landmarks Grey" K-157-4; L.M. Scofield "Cool Black No. 4"; Davis Colors No. 884-3%; Lansco Color No. 437 "Strong Black" 5 lbs. per 94 lbs. Light Grey Portland Cement and 3 parts sand; Bayferrox NYC Landmark Commission Gray, 3.5 lbs. per 94 lbs. Light Gray Portland Cement; or an approved equivalent, unless otherwise specified."

[Added 07-01-2011]

4. Refer to Page 14, **Subsection 1.06.23.(A) PERMITS**;
Delete line (b) under the first paragraph;
Substitute the following text:

"(b) Any planned work requiring a DOT Construction Permit that may potentially be within 100 feet of a bridge structure will be placed on a Bridge Hold. If any proposed work is within 100 feet of a bridge structure, permittees must submit a scaled drawing showing the work and exact location, along with the following:

- Plan layout of the project area.
- The scope of work.
- The contractor's means and methods.
- Indicate if work will be done of the bridge itself or its abutments, and the type of work.

If the work is more than 100 feet away from the bridge structure, permittees may send a certification by e-mail stating so. Either response must be sent to the Division of Bridges at bridgeshold@dot.nyc.gov for review and release prior to commencing work. Emergency work will not be placed on hold and shall proceed in accordance with the New York City Highway Rules, section 2-11 (g);

(c) Permits from the Department of Sanitation for use of City landfills;"

[Added 07-27-2011]

5. Refer to Page 37, **Subsection 1.06.46.(A) 6. Sign Graphics;**
Delete article "a." beginning with the words "All visual components of the sign are in an Adobe *.pdf file, . . ." and ending with the words ". . . DDC to the Contractor (on a CD or via E-mail) for printing.", in its entirety;
Substitute the following revised article "a":

"a. All visual components of the sign are in an Adobe *.pdf file, which is provided by the Commissioner's representative. The file is not to be altered for composition, type font or image from the version provided by DDC. The Commissioner's representative shall provide a complete file with data and image. The digital file shall be provided by DDC to the Contractor (on a CD or via E-mail) for printing."

[Added 09-27-2012]

6. Refer to Page 36, **Subsection 1.06.46. Project Sign;**
Delete the words "Unless otherwise specified in the Special Provisions of the contract, the following shall apply:";
Substitute the following revised text:

"The Contractor is notified that he shall be required to furnish, install, maintain, and remove, when directed, Construction Project Information Signs (CPIS) as per Sec. 2-02(c)(4) and (5) of the NYC DOT Highway Rule and the cost shall be deemed included under all scheduled items of the contract. In addition, unless otherwise specified in the Special Provisions of the contract, the following Project Sign shall also apply:"

[Added 04-08-2013]

7. Refer to Page 200, **Subsection 4.11.2.(B), first paragraph, sixth line;**
Delete the word "porcelain,".
8. Refer to Page 201, **Subsection 4.11.3.(B) FILL AND BACKFILL, second and third paragraphs;**
Delete the second and third paragraphs under Subsection 4.11.3.(B), in their entirety;
Substitute the following revised two paragraphs:

"Glass or Recycled Porcelain Aggregate (RPA) from recycling facilities that meets the requirements of **Subsection 4.11.3.(E)** for Glass and **Subsection 4.11.3.(F)** for RPA shall be considered suitable material for mixing with fill provided the Contractor maintains the gradations specified herein. However, glass shall not be placed in contact with synthetic liners, geogrids, geotextiles or other geosynthetics.

Glass and/or RPA incorporated into fill shall be thoroughly mixed with other suitable material so that glass, RPA or combination of both constitutes no more than 30 percent by volume anywhere in the fill as visually determined by the Engineer."

9. Refer to Page 202, **Subsection 4.11.3.(E) GLASS;**
Add the following new **Subsection 4.11.3.(F) RECYCLED PORCELAIN AGGREGATE (RPA) :**

“(F) RECYCLED PORCELAIN AGGREGATE (RPA)

All porcelain to be used as RPA shall be crushed by a New York City Department of Environmental Protection (NYCDEP) approved recycling facility to a maximum particle size of 3/8 inch and graded to meet the gradation specified above for use in either fill, backfill or select fill, as may be required. RPA from any other source will not be permitted. The NYCDEP approved recycling facility will also certify that the RPA being furnished is free from organic material and other unsuitable material.

Should the Contractor desire to use RPA in his fill or backfill material, he shall contact Mr. Vasyl Kravchyk at NYCDEP (Tel. No. 718-595-7512) to determine the availability of RPA and from which recycling facility it can be obtained.

The Contractor shall be required to make arrangement with the recycling plant, at least two (2) weeks in advance of when he would need the material, to schedule the time, date and quantity available for pickup. The Contractor shall be required to furnish the recycling facility with a complete list of his trucks involved in transporting the material, which shall include the name of the registered owner (Contractor), Consumer Affairs or DOS Permit numbers, body license plate number, and truck volume. This information must be supplied to the facility prior to the start of picking up the RPA.

Weight ticket receipt slips given by the recycling facility to each truck driver picking up RPA shall be collected by the Contractor and given to the Engineer upon delivering fill or backfill material to the site that contains RPA, and the Contractor agrees and warrants that in obtaining the RPA that such material has originated only from a NYCDEP approved recycling plant and it has not been mixed with porcelain material from any other source.

The Contractor shall be required to transport said material from the approved recycling facility to his yard for storage and mixing with his fill material; however, there is not guarantee that the material will actually be available.

The Contractor is advised that there is no guarantee that RPA will in fact be available for his use from a NYCDEP approved recycling plant and he shall make no claim against the City for loss of anticipated profits should the material not be available upon request by the Contractor.

All excess RPA not used in the fill or backfill shall remain the property of the DDC Contractor.

The Contractor must comply with all rules and regulations of the Department of Transportation and the Department of Environmental Protections governing the use of RPA in its fill and backfill material.”

10. Refer to Pages 218 and 219, **Subsection 4.13.4.(H) PIGMENTING,**
first four paragraphs;

Delete the first four paragraphs under Subsection 4.13.4.(H), in
their entirety;

Substitute the following revised four paragraphs:

“Where pigmenting is specified, the concrete sidewalks shall be pigmented with an admixture complying with the requirements of **Section 2.19** and the following requirements:

‘Commercial Gray’: In commercial districts C4-4 through C4-7, C5 and C6, as defined in the Zoning Resolution of the City of New York, and in areas under the jurisdiction of the Lower Manhattan Development Corporation the color of the concrete shall be integrally pigmented to produce a gray color equivalent to L.M. Scofield ‘Landmarks Grey’ K-157-4; L.M. Scofield ‘Cool Black No. 4’; Davis Colors No. 884-3%; Lansco Color No. 437 ‘Strong Black’ 5 lbs. per 94 lbs. Light Grey Portland Cement and 3 parts sand; Bayferrox NYC Landmark Commission Gray, 3.5 lbs. per 94 lbs. Light Grey Portland Cement; or an approved equivalent, unless otherwise specified.

'Bluestone': Where the color of the concrete is required to simulate the color of dark gray bluestone, the concrete shall be integrally pigmented to produce a gray color equivalent to: Davis Colors No. 884-3%; Lansco Color No. 437 'Strong Black' 5 lbs. per 94 lbs. Light Grey Portland Cement and 3 parts sand; L.M. Scofield 'Cool Black No. 4'; Bayferrox Limestone 330, 2 lbs. per 94 lbs. Light Gray Portland Cement; or an approved equivalent, unless otherwise specified.

'Granite': Where the color of the concrete is required to simulate the color of light to medium gray granite, the concrete shall be integrally pigmented to produce a gray color equal to: Davis Colors No. 884-1%; Lansco Color No. 437 'Strong Black' 2.5 lbs. per 94 lbs. Light Grey Portland Cement and 3 parts sand; L.M. Scofield 'Cool Black No. 1'; Bayferrox Silver 330, 1 lb. per 94 lbs. Light Gray Portland Cement; or an approved equivalent, unless otherwise specified."

[Added 05-24-2013]

11. Refer to Page 14, **Subsection 1.06.23.(A) PERMITS**, first paragraph as modified by Article 4 on page A1-1b;
Add the following new text:

"(d) All necessary permits from the Department of Environmental Protection which may include, but are not limited to, permits for use of City water."

12. Refer to Page 14, **Subsection 1.06.23.(A) PERMITS**, second paragraph;
Add the following as the third paragraph:

"No fee permits for use of City water necessary to complete roadway pavement reconstruction project in conjunction with installation of sewers and/or water mains, will be issued by the Department of Environmental Protection. However, for all other type projects (such as installation of sidewalks; installation of pedestrian ramps, pavement milling, resurfacing, rehabilitation of retaining walls, and bridge reconstruction type projects) the Contractor will be required to obtain the water use permit at its own cost."

[Added 08-05-2013]

13. Refer to page 116, second paragraph up from the bottom of the page, first line;
Change the words "Concrete of Type IA and IIA shall have ..." to read "Concrete of Type IA, IIA and IIIA shall have ..."

[Added 09-04-2013]

14. Refer to page 100, **Subsection 3.01.3.(C)1.(c)**;
Delete the last two lines of text beginning with the words "The proportion of reclaimed asphalt pavement permitted within each mix ...";
Substitute the following sentence: "The proportion of reclaimed asphalt pavement permitted within each mix shall be not less than 30 percent for the top and bottom courses as per Local Law #71 of 2011."

15. Refer to page 110, **Subsection 3.05.2.(A)**, **Table 3.05-I**;
Insert the following text at the bottom of **Table 3.05-I**:

"Note: The above proportions shown for non-High-Early mixes shall be modified by pozzolan substitutes as per **Subsection 3.05.4**."

16. Refer to page 112, **Subsection 3.05.3.(C)**, second paragraph;
Delete the second paragraph in its entirety;
Substitute the following paragraph:

"Water shall be potable and drawn from municipal water mains."

17. Refer to page 113, first line of text, beginning with the words
"condition making up one (1) cubic yard of concrete.";
Insert the following sentence between the words "condition making up one
(1) cubic yard of concrete." and "The range of water-cement ratio within which the ...":

"The calculated yield of the mix shall be within \pm 2% of the Theoretical (1) cubic yard."

18. Refer to Page 113, second paragraph beginning with the words "The
Contractor may substitute Portland cement . . .";
Delete the second paragraph under **Subsection 3.05.4.**, in its
entirety;
Substitute the following revised paragraph:

"With the exception of high-early strength concrete, the Contractor shall be required to substitute Portland cement with pozzolans (Fly Ash or GGBFS) such that the maximum amount of Portland cement per cubic yard of concrete does not exceed 400 pounds, and with the use of an approved non-corrosive, non-chloride admixture as required to obtain a minimum compressive strength of 3,000 psi in seven (7) days. For high-early strength concrete the Contractor may substitute Portland cement with pozzolans (Fly Ash or GGBFS), pound for pound, up to 20% (or up to 25% for tidal/sea water spray areas) of the weight of cement specified for any concrete mixture provided the Contractor can obtain a minimum compressive strength of 3,000 p.s.i. in three (3) days. The Contractor, immediately following but not later than eight weeks after the date of the Contractor's Notice to Proceed, shall file with the Engineer, Age-Strength data of the job mix he proposes to use for the various ambient temperatures anticipated during the period of concrete placement. This data shall be presented in both tabular and graphical form for those various ambient temperatures with a maximum setting period of seven (7) days for Class B-32 concrete or seventy-two (72) hours for High-Early Strength Concrete."

19. Refer to Page 115, **TABLE 3.05-III - INGREDIENT MATERIALS**;
Change in the third row, second column, the type of Portland
Cement from "Type III*" to read "Type II or Type III*"

20. Refer to page 132, **Subsection 3.06.3.(D)**;
Change the words "Water shall be drawn from mains owned by The City of New York." to
read "Water shall be potable and drawn from municipal water mains."

21. Refer to page 133, **Subsection 3.07.3.(D)**;
Change the words "Water shall be drawn from mains owned by or supplying water to The
City of New York." to read "Water shall be potable and drawn from municipal water
mains."

22. Refer to page 134, **Subsection 3.08.4.(D)**;
Change the words "Water shall be drawn from mains owned by or supplying water to The
City of New York." to read "Water shall be potable and drawn from municipal water
mains."

23. Refer to Page 166, **Subsection 4.05.2. (A)**;
Delete **Subsection 4.05.2. (A)**, in their entirety;
Substitute the following revised **Subsection 4.05.2. (A)** :

“(A) Concrete Pavement shall be of the following types:

Type 1--Non-reinforced

Type 2--Reinforced (Unpigmented or pigmented if specified)

Type 3--High Early Strength Reinforced (Unpigmented or pigmented if specified)

Type 2 and Type 3 pavements shall consist of a concrete surface course, which shall be unpigmented or pigmented if specified, laid on a concrete base course, which may or may not be pigmented at the Contractor's option, while the base course is still plastic, of the thickness shown on the Contract Drawings, with reinforcement placed between the surface and base courses.”

24. Refer to Page 166, **Subsection 4.05.3. (A)**;
Insert the following new **Subsection 4.05.3. (A1)** :

“(A1) PIGMENTING

Where pigmenting is specified, the surface course of the concrete bus pad shall be pigmented with an admixture complying with Section 2.19 and the following requirements:

Where the color of the concrete is required to simulate the red color of the Red Bus Lane Pavement Overlay (Item 6.44 POR in Section 6.44 PO), the surface course concrete shall be integrally pigmented to produce a red color equivalent to Scofield's quarry red.

Except for the use of an air-entraining agent complying with ASTM Designation C 260 and water reducing admixtures complying with ASTM Designation C 494 used in combination with the Pigment Admixture as per the pigment manufacturer's instruction, no other admixtures (including, but not limited to, calcium chloride) shall be used unless stated in writing by the manufacturer of the Pigment Admixture to be of no consequence to the colorfastness of the concrete mixture and is approved by the Engineer.

All pigmented concrete at different locations shall be identical, unless otherwise directed. Variations in color/tint/hue will not be acceptable. Therefore, the same brand and type of cement and the same source and type of aggregate shall be used throughout the project.

Prior to the mix design being made, the cement intended for use shall be checked to determine that its lightness/darkness is similar to the cement used in the original approved sample. The Pigmented Admixture shall be added in the standard proportion specified by the manufacturer.”

25. Refer to Page 170, **Subsection 4.05.5. (A) GENERAL**;
Insert the following two new paragraphs:

“For pigmented concrete, the Contractor shall within eight weeks of the notice to proceed, submit the name of its proposed roadway installer upon which his bid is based, along with their respective work history experience in placing pigmented concrete. The installer shall have documented experience in working with pigmented concrete.

Prior to making any field samples and the placing of any pigmented concrete, the Contractor, its concrete supplier, installer, cement producer, laboratory, the pigmented admixture's representative, and the Engineer shall meet and agree on the specifications and methods of handling the pigmented concrete.”

26. Refer to Page 183, **Subsection 4.05.9. PRICES TO COVER**, 4th line;
Insert in the fourth line, the words "pigment when specified" between the
words "specifications, including, but not limited to," and "furnishing and installing...":

27. Refer to Page 183, **Subsection 4.05.9. PRICES TO COVER**;
Insert the following two new Items to the list of Item Nos. at the
bottom of **Subsection 4.05.9**:

"4.05 ACP REINFORCED CONCETE PAVEMENT (BUS STOPS)(PIGMENTED) C.Y.

4.05 AXP HIGH-EARLY STRENGTH REINFORCED CONCRETE PAVEMENT
(BUS STOPS)(PIGMENTED) C.Y."

2. AMENDMENTS TO STANDARD HIGHWAY SPECIFICATIONS, VOLUME II

[Added 01-25-2012]

1. Refer to Pages 365 and 366, **Subsection 6.40.2.(C)(c)(1) Personal Computer(s) - Workstation Configuration;**

Delete the text under **Subsections (a), (b), (c), (d), (h), (i), and (m),** in their entirety;

Substitute the following revised text:

- "(a) Make and Model: Dell; HP; Gateway; Acer; or, an approved equivalent. (Note: an approved equivalent requires written approval of the Assistant Commissioner of ITS.)
- (b) Processor: i5-2400 (6MB Cache, 3.1GHz) or faster computer - Single Processor.
- (c) System Ram: Minimum of 4GB (Gigabytes) Dual Channel DDR3 SDRAM at 1333MHz – 2 DIMMSs
- (d) Hard Disk Drive(s): 500 GB (Gigabytes) Serial ATA (7200RPM) w/DataBurst Cache, or larger."
- "(h) Video Display Card: HD Graphics (VGA, HDMI) with a minimum of 64 MB of RAM.
- (i) Monitor: 22" W, 23.0 Inch VIS, Widescreen, VGA/DVI LCD Monitor."
- "(m) Software Requirements: Microsoft Windows 7 Professional SP1, 64 bit; Microsoft Office Professional 2010; Microsoft Project 2010; Adobe Acrobat reader; Anti-Virus software package with 2 year updates subscription; and, either Auto Cad 2012 LT or Microsoft Visio 2010 Standard Edition, as directed by the Engineer."

2. Refer to Page 366, **Subsection 6.40.2.(C)(c)(2)(b);**
Delete the text under **Subsection (b),** which begins with the words
"(b) One (1) 600 DPI HP Laser Jet . . .", in its entirety;
Substitute the following revised text:

- "(b) ~~One (1) 600 DPI HP Color Laser Jet all-in-one Printer/Scanner/Copier/Fax (twelve (12) pages per minute or faster) with one (1) Extra Paper Tray (Legal Size) networked to all office computers~~"

3. Refer to Page 367, **Subsection 6.40.3. SPECIFIC REQUIREMENTS FOR ENGINEERS FIELD OFFICE (TYPE A, B, C, CU, D OR DU)**, first paragraph;
Delete the text in the first paragraph of **Subsection 6.40.3.**, in its entirety;
Substitute the following revised text:

6.40.3. SPECIFIC REQUIREMENTS FOR ENGINEER'S FIELD OFFICE (TYPE A, B, C, CU, D, OR DU). In addition to the general requirements, each type of Field Office shall have the minimum floor area indicated in Table 6.40-I calculated based on usable area only, excluding any loss factors. Loss factors are defined as those areas such as lobby, sidewalk window ledge, elevator shafts and stairways. The Contractor shall provide and maintain furnishings for each type of Field Office in the quantity specified in Table 6.40-I. The furnishings shall be new or used equipment satisfactory to the Engineer:

4. Refer to Page 368, TABLE 6.40-I, ADDITIONAL REQUIREMENTS SPECIFIC REQUIREMENTS;
Delete the requirements for a Photocopy Machine shown in the 15th row of TABLE 6.40-I, in its entirety;
Substitute the following revised requirements:

Photocopying Machine – Stand-alone, heavy duty, electric, dry-process color photocopying type with a minimum production rate of 70 pages per minute and an adequate supply of copy paper, toner, etc. The machine shall be capable of duplex copying paper sizes of 8-1/2 x 11 inches, 8-1/2 x 14 inches and 11 x 17 inches, and have separate trays for each paper size. It shall have a document feeder, collator, stapler, and the capability to reduce/enlarge copies between each paper size. The supply of each size copy paper, toner, etc. shall be replenished and the machines shall be maintained for the duration of the contract by the Contractor as required by the Engineer. Make and model can be Minolta, Canon, IBM, Epson, or an approved equivalent, and shall be networked to the office computers.	1	1	1	1	1	1
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5. Refer to Page 368, TABLE 6.40-I, ADDITIONAL REQUIREMENTS SPECIFIC REQUIREMENTS;
Insert the following two additional requirements:

Heavy duty commercial grade diamond cut shredder with automatic start. The shredder shall be able to receive 8-1/2 inch wide paper and shred a minimum of 15 sheets simultaneously along with CDs and staples.	1	1	1	1	1	1
Projector – 1080p LCD with a min. of 2200 ANSI Lumens, 1920 x 1080, 16:9, 40,000:1 contrast ratio, HDMI, VGA, USB, and a 10 feet diagonal, 16:9 Projection Screen.	-	-	1	1	1	1

6. Refer to Page 496, Subsection 7.20.4. **METHODS**, last paragraph beginning with the words "When directed by the Engineer, due to the original conditions . . .";
Add the following sentence to the end of the last paragraph under **Subsection 7.20.4:**

"However, if the owner at his own expense supplies the replacement frame and doors or hatch covers the Contractor shall install the replacement frame and doors or hatch covers under this Item 7.20, as a basement access reset, in lieu of the steel safety closure plate."

[Added 07-16-2012]

7. Refer to Page 365, Subsection 6.40.2.(C)(c)(1) "**Personal Computer(s) - Workstation Configuration**";
Delete the text under Subsections (g) and (k), in their entirety;
Substitute the following revised text:

- (g) I/O Ports: Must have at least one (1) Serial Port, one (1) Parallel Port, and three (3) USB Ports.
- (k) Network Interface: Integrated 10/100/1000 Ethernet card."

8. Refer to Page 366, Subsection 6.40.2.(C)(c)(2) "**All field offices requiring computers shall be provided with the following:**";
Delete the text under Subsection (a), in its entirety;
Substitute the following revised text:

- "(a) One (1) broad-band internet service account. Wideband Internet connectivity at a minimum throughput of 15 Mbps download and 5 Mbps upload is required at each field office location with 1-5 staffers. For larger field offices see table below for minimum required upload speeds. Telephone service should be bundled together with Internet connectivity. Because of throughput requirements Verizon FIOS is the preferred connectivity provider where available.

Office Personnel #	Upload Speeds (Minimum)
1 - 5	5 Mbps
6 - 10	10 Mbps
11 - 15	15 Mbps
16 - 20 ...	20 Mbps

This account will be active for the life of the project. The e-mail name for the account shall be the DDC Field Office/project Id (e.g. FLD K HWK666 McGuinness@earthlink.com)."

[Added 08-09-2012]

9. Refer to Page 366, **Subsection 6.40.2.(C)(c)(2)(b)**, as amended by Article 2 on page A1-2 of this Addendum;
Delete the text under **Subsection (b)**, in its entirety;
Substitute the following words: **"(b) (No Text)."**

10. Refer to Page 368, TABLE 6.40-I, ADDITIONAL REQUIREMENTS SPECIFIC REQUIREMENTS;
Delete the requirements for a Photocopy Machine shown in the 15th row of TABLE 6.40-I, as modified by Article 4 on page A1-2a of this Addendum, in its entirety;
Substitute the following revised requirements:

Photocopying Machine – Stand-alone, heavy duty, electric, dry-process color photocopying type with color scan and send capability via e-mail, a minimum production rate of 70 pages per minute and an adequate supply of copy paper, toner, etc. The machine shall be capable of duplex copying paper sizes of 8-1/2 x 11 inches, 8-1/2 x 14 inches and 11 x 17 inches, and have separate trays for each paper size. It shall have a document feeder, collator, stapler, and the capability to reduce/enlarge copies between each paper size. The supply of each size copy paper, toner, etc. shall be replenished and the machines shall be maintained for the duration of the contract by the Contractor as required by the Engineer. Make and model can be Minolta, Canon, IBM, Epson, or an approved equivalent, and shall be networked to the office computers.	1	1	1	1	1	1
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[Added 11-26-2012]

11. Refer to Pages 504 through 508, **SECTION 7.88 – Rodent and Waterbug Pest Control**;
Delete **Section 7.88**, in its entirety;
Substitute **SECTION 7.88 (Revised)**, as contained on the following pages A1-2d through A1-2i.

[Added 02-08-2013]

12. (NO TEXT)

SECTION 7.88 (Revised)

Rodent and Waterbug Pest Control

7.88.1. DESCRIPTION. The Contractor shall provide all labor, materials, plant and equipment, and incidentals required to survey and monitor rodent activity and control any infestation or outbreak of rodents and waterbugs (American cockroaches) within the project limit.

7.88.2. MATERIALS. All materials shall be approved by the New York State Department of Environmental Conservation and comply with the New York City Health Code for the intended usage.

Rodenticide weatherproof bait blocks shall be multiple dose anticoagulants such as Chlorophacinone or Diphacinone, or single feed rodenticides such as ContraMeal, ContracBait block, Quintox pellets or TalonG pellets, or an approved equivalent.

Tamper proof bait station boxes shall be designed to exclude other mammals and shall be used with poisoned bait to attract rats. Information on "tamper proof bait station boxes" is available from the NYC Bureau of Regulatory & Environmental Health Services, Pest Control Office (718-956-7103/4).

Live traps shall be of proper dimensions for trapping rats and shall not be used with poisoned bait.

Insecticide bait shall be a residual type such as phenol methyl carbamate (2%) bait or an approved equivalent.

(A) SUBMITTALS

Prior to commencement of construction activities the Contractor shall submit to the Engineer manufacturer's installation instructions for all materials required for rodent and waterbug pest control work and product data which shall include illustrations, catalog data, product characteristics, typical use, performance, and limitation criteria of all rodent and waterbug pest control materials required.

7.88.3. PERSONNEL. The Contractor shall employ two independent licensed exterminators: one to engage in survey and monitoring work to establish the level of infestation of rodents and insects and provide recommendations for specific Integrated Pest Management (IPM) actions, and one to execute the rodent and waterbug pest control work to deal with such infestations. All pest control personnel employed by each exterminator company must be supervised by an exterminator licensed in categories 7A & 8. The Contractor shall submit the names and license credentials of the two exterminator companies to the Engineer for approval prior to the commencement of any work under this section.

7.88.4. METHODS. Application and dosage of all materials shall be done in strict compliance with the manufacturer's recommendations. All surveying, monitoring, baiting, and/or live trapping work shall be performed in the presence of the Engineer, without which no payment will be made under this Section.

(A) GENERAL

The Contractor's construction activity is expected to disturb any established rodent and/or waterbug population that may exist within the project limits, possibly causing their dispersion. The Contractor shall take all appropriate action to eliminate and/or control these populations within the construction corridor: the construction corridor shall be defined as being the full width of streets under the contract and intersecting streets up to the limits of construction, from property line to property line, excluding buildings and under sidewalk building vaults.

Under the Maintenance of Site requirements for the contract, any unsanitary conditions, such as uncollected garbage or debris, resulting from the Contractor's activities which will provide food and shelter to the resident rodent population shall be corrected by the Contractor immediately after notification of such condition by the Engineer. Non-compliance shall be subject to the application of the "Nonconformance" provisions of the Item for Maintenance of Site, and no payment will be made for any additional application of rodenticide or insecticide needed to control resultant infestations.

(B) SURVEY AND MONITORING WORK

(1) Prior to Construction - The Contractor's designated survey and monitoring exterminator shall execute a survey of the project area and estimate the level of rodent (Norway rat, House mouse) infestation and the waterbug population within the construction corridor. An appropriate sample of utility manholes (sewer, electrical, telephone, etc.) and catch basins should be opened and surveyed to the satisfaction of the Engineer. Contractor shall maintain all survey records in the manner described in 7.88.6., Records and Reports.

(2) During Construction - The Contractor shall monitor the rodent activity through trapping (snap, glue traps or live traps), fecal count methods, and inspection of the conditions of all installed baits every week during construction activity or as otherwise directed by the Engineer. Contractor shall maintain all monitoring records in the manner described in 7.88.6., Records and Reports.

(C) RODENT CONTROL WORK

(1) Wetlands, Woodlands and Areas Within Seventy-five (75') feet of a Stream. In wetlands, woodlands and areas adjacent to a stream, special precautions must be taken to protect water quality and to ensure the safety of other wildlife. To prevent poisoned bait from entering streams, no poisoned bait shall be used in areas within seventy-five (75') feet of either streambank. Live traps must be used in these seventy-five (75') feet buffer zone areas and within wetland and woodland areas.

(2) Outside Wetland Areas, Woodland Areas and Beyond Seventy-five (75') feet of a Stream. In areas outside the seventy-five foot zone of protection adjacent to streams, and areas outside wetlands and woodlands, tamper proof bait stations with poisoned bait shall be established during the period of construction and any consumed or decomposed bait shall be replenished as directed.

Rodent control shall be achieved in two stages as follows:

Stage I. At least one month prior to initiation of the construction work, and periodically thereafter, live traps and/or rodenticide bait, as directed above, shall be placed at locations [e.g., burrows, utility manholes (sewer, electrical, phone, etc.), and catch basins] that are inaccessible to pets, human beings, children and other non-target species, particularly wildlife (e.g., birds) in the construction corridor. Locations of initial bait placement and quantities of bait shall be determined by the survey and monitoring exterminator's written report of his survey and monitoring results, or as otherwise directed by the Engineer.

Stage II. During Construction - Infested sites as determined by the survey and monitoring exterminator's monitoring report shall be baited and/or rebaited, and live traps shall be collected and replaced, the rates and quantities of which shall be determined by the written monitoring reports submitted weekly or as otherwise directed by the Engineer in consultation with the City's Office of Pest Control.

The baiting exterminator shall be responsible for collecting and disposing of all trapped and poisoned rodents found in live traps and tamper proof bait stations. The baiting exterminator shall also be responsible for posting and maintaining signs announcing the baiting of each particular location.

The Contractor, under his maintenance of site operations, shall be responsible for the immediate collection and disposal of any visible rodent remains found on streets or sidewalk within the project limits. Any visible remains shall be placed into double plastic bags. No more than five (5) carcasses shall be placed into each bag. Each bag shall be a minimum of 3 mils thick, black plastic. No additional payment will be made for this work.

It is anticipated that public complaints will be addressed to the Engineer's Field Office. The Contractor, where directed by the Engineer, shall take appropriate Integrated Pest Management (IPM) actions, such as baiting, trapping, proofing, etc., to remedy the source of a complaint within the next six (6) hours of normal working time, which is defined herein, for the purposes of this section, as 7 A.M. to 6 P.M. on Mondays through Saturdays.

(D) WATERBUG (AMERICAN COCKROACH) CONTROL

Infested sites (e.g., sewers) shall be baited at least 2 times per month with insecticides, or as directed by the Engineer in consultation with the exterminator monitoring the work and the City's Office of Pest Control.

7.88.5. EDUCATION & TRAINING. The Contractor shall post notices in all Construction Bulletin Boards advising workers, employees, and residents to call the Engineer's Field Office to report rodent and waterbug infestations. The Contractor shall provide and distribute literature pertaining to IPM techniques of rodent control to affected businesses and superintendents of nearby residential buildings to ensure their participation in maintaining their establishments free of unsanitary conditions, harborage removal and rodent proofing.

Prior to application of any chemicals, the Contractor shall furnish copies or sample labels for each pesticide, antidote information, and Material Data Safety Sheets (MSDS) for each chemical used.

7.88.6. RECORDS AND REPORTS.

(A) GENERAL

The Contractor shall be responsible for assigning within the construction corridor an identifying number to each manhole, catch basin, and other location where bait and/or live trap placement and/or waterbug control work is proposed by the survey and monitoring exterminator. The Contractor shall then provide that list of locations and corresponding reference numbers along with a drawing showing the locations, as a reference for the exterminator(s) performing the work, to indicate locations of bait placement and waterbug control work and rodent and waterbug activity (droppings, bait consumed, dead rodents, etc.).

(B) SURVEY AND MONITORING WORK

(1) Prior to Construction – Contractor shall submit to the Engineer, for approval, a written survey report including proposed IPM procedures, including specific materials, quantities, locations, methods, and time schedule for the implementation of the exterminating work. The written report shall also include a survey with a drawing (provided by the Contractor) marked with locations indicating all signs of rodent (Norway rat, House mouse) infestation and waterbug activity discovered during the execution of the survey indicating that rodent and waterbug pest control work is necessary.

(2) During Construction - Based on monitoring results, Contractor shall submit to the Engineer a weekly written monitoring report identifying all locations and conditions of installed bait and/or other rodent control work. The monitoring report shall also include any other recommended IPM techniques, such as baiting, trapping, proofing, etc., proposed for rodent and waterbug pest control.

The survey and monitoring exterminator shall keep a record of all rodent and waterbug infestation surveys s/he has conducted. The Contractor shall be required to submit a copy of all survey and monitoring reports to the Engineer each week, prior to payment.

(C) RODENT AND WATERBUG CONTROL WORK

The baiting exterminator shall maintain records of all locations baited along with the type and quantity of rodenticide and insecticide bait used. These records will be kept by the City Inspector. A weekly report shall be prepared, signed and certified by the approved licensed exterminator, and such reports shall be submitted to the Engineer each week, prior to payment.

7.88.7. NONCONFORMANCE. If the Contractor fails to perform as directed to control the rodent and/or waterbug population at any location within the project limits for a period of more than one week, the Engineer will correct the adverse conditions by any means he deems appropriate, including but not limited to, the use of "outside services" and shall deduct the cost of the corrective work from any monies due to the Contractor. The deducted cost of this work shall be in addition to the non-payment for rodent and waterbug pest control.

7.88.8. MEASUREMENT.

(A) RODENT INFESTATION SURVEY AND MONITORING

The quantity to be measured for payment under Item No. 7.88 AA, RODENT INFESTATION SURVEY AND MONITORING, shall be a Lump Sum measurement.

(B) RODENT BAIT STATIONS

The quantity to be measured for payment under Item No. 7.88 AB, RODENT BAIT STATIONS, shall be the number of tamper-proof rodent bait station boxes and/or live traps satisfactorily installed or reinstalled after inspection within the construction corridor, as approved by the Engineer. However, the initial baiting, and subsequent rebaiting as may be required, of any bait station will be paid for under Item 7.88 AC.

(C) BAITING OF RODENT BAIT STATIONS

The quantity to be measured for payment under Item No. 7.88 AC, BAITING OF RODENT BAIT STATIONS, shall be the number of tamper-proof rodent bait station boxes, utility manholes, catch basins, or other locations approved by the Engineer, satisfactorily baited or rebaited to replenish consumed or decomposed bait within the construction corridor, as approved by the Engineer.

(D) WATERBUG BAIT APPLICATION

The quantity to be measured for payment under Item No. 7.88 AD, WATERBUG BAIT APPLICATIONS, shall be the number of blocks satisfactorily treated with insecticide bait within the construction corridor, as approved by the Engineer. A block shall be defined as the area of street, measured between property lines, from intersection to intersection. Each rebaiting of any block shall be considered as a new block for measurement purposes.

7.88.9. PRICES TO COVER.

(A) RODENT INFESTATION SURVEY AND MONITORING

Payment will be made at the lump sum price bid for RODENT INFESTATION SURVEY AND MONITORING which shall include the cost of furnishing all the labor, materials, plant, equipment (traps, etc.), insurance, and other incidentals required, including but not limited to providing all required maintenance of traffic equipment, to perform a rodent infestation survey of the project area and then monitor the site each week for rodent activity, all in accordance with the specifications and the directions of the Engineer.

Ten (10%) percent of the lump sum price bid will be paid when the initial survey of the project area has been completed and the written survey report has been submitted to the satisfaction of the Engineer. The remainder will be paid in proportion to the percentage of contract completion.

(B) RODENT BAIT STATIONS

The Contract price bid for RODENT BAIT STATIONS shall be a unit price per each tamper proof bait station box and/ or live trap installed or reinstalled after inspection and shall cover the cost of furnishing all labor, materials, plant, equipment (bait stations, etc.), insurance, and other incidentals, including but not limited to providing all required maintenance of traffic equipment, required to control the rodent population found within the project limits in accordance with the specifications and the directions of the Engineer.

In addition to the payment for Rodent Bait Stations installed or reinstalled under this Item 7.88 AB, the Contractor will also be paid for each baiting or rebaiting, when required, of each bait station, under Item No. 7.88 AC.

(C) BAITING OF RODENT BAIT STATIONS

The Contract price bid for BAITING OF RODENT BAIT STATIONS shall be a unit price per each bait station, utility manhole, catch basin or other location approved by the Engineer satisfactorily baited or rebaited, when required, and shall cover the cost of furnishing all labor, materials, plant, equipment (bait), insurance, and other incidentals, in accordance with the specifications and directions of the Engineer. Installation or resetting of the bait station will be paid for under Item 7.88 AB.

(D) WATERBUG BAIT APPLICATION

The Contract price bid for WATERBUG BAIT APPLICATION shall be a unit price per block treated by the exterminator and shall include the cost of furnishing all the labor, materials, plant, equipment (bait, etc.), insurance, and other incidentals, including but not limited to providing all required maintenance of traffic equipment, necessary to control the waterbug population found within the project limits for the duration of the contract in accordance with the specifications and the directions of the Engineer.

Payment will be made under:

Item No.	Item	Pay Unit
7.88 AA	RODENT INFESTATION SURVEY AND MONITORING	L.S.
7.88 AB	RODENT BAIT STATIONS	EACH
7.88 AC	BAITING OF RODENT BAIT STATIONS	EACH
7.88 AD	WATERBUG BAIT APPLICATION	BLOCK

[Added 05-24-2013]

13. Refer to Page 366, **Subsection 6.40.2.(C)(c)(1)(m) Software Requirements**, as modified by Article 1 on page A1-2;
Delete the text under **Subsection (m)**, in its entirety;
Substitute the following revised text:

“(m) **Software Requirements:** Microsoft Windows 7 Professional SP1, 32 bit; Microsoft Office Professional 2010; Microsoft Project 2010; Adobe Acrobat reader; Anti-Virus software package with 2 year updates subscription; and, either Auto Cad LT or Microsoft Visio Standard Edition, as directed by the Engineer.”

[Added 09-04-2013]

14. Refer to Page 384, the end of **Section 6.44 - White and Yellow Thermoplastic Reflectorized Pavement Markings**;
Insert new **SECTION 6.44 PO**, after **Section 6.44**, as contained on the following pages A1-2k through A1-2m.

[Added 02-10-2014]

15. Refer to Pages 393 and 394, **SECTION 6.52 - Uniformed Full-Time Flagperson**;
Delete **Section 6.52** on pages 393 and 394, but do not delete examples on pages 395 and 396;
Substitute **SECTION 6.52 CG**, as contained on the following pages A1-2n and A1-2o.

SECTION 6.44 PO Lane Pavement Overlay

6.44PO.1. DESCRIPTION. This section describes the furnishing and application of an approved Green Asphalt Pavement Color Scheme along designated bicycle lanes and Brick-Red Asphalt Pavement Color Scheme matching Quest's StreetBondCL Terracotta color along designated Select Bus Service (SBS) lanes, as indicated in the Contract Drawings or as directed by the Engineer.

6.44PO.2. REFERENCES.

- A. ASTM D-4541 Standard Test Method for Pull-Off Strength of Coatings Using Portable Adhesion Tester.
- B. ASTM D-4060 Test Method for Abrasion Resistance of Organic Coatings by the Taber Abrasion.
- C. ASTM D-522-93A Standard Test Method for Mandrel Bend Test of Attached Organic Coatings.
- D. ASTM G-155 QUV Accelerated Weathering Environment. Standard Practice for Operating Fluorescent Light Apparatus for UV Exposure of Nonmetallic Materials.
- E. ASTM D-2486 MEK rub test for chemical resistance.
- F. ASTM D-570 Standard Test Method for water absorption of plastics.
- G. ASTM E-303 British Pendulum test for friction.
- H. EPA 24 ASTM D3960-05 Volatile Organic Compounds.

6.44PO.3. SUBMITTALS.

- A. A copy of the current year accreditation certificate available from the Contractor or subcontractor who will be performing this work, or written verification from the coating supplier that the Contractor or subcontractor is qualified to perform this Work.
- B. Written and published specification for the application of the selected asphalt pavement coating.
- C. Confirmation of coating color.
- D. Proof of coating performance through a Certificate of Analysis or equivalent document as provided by the Contractor or the coating supplier.

6.44PO.4. MATERIALS.

The following table outlines minimum performance properties of a typical asphalt pavement coating.

Characteristic	Test Specification	Measured result
Durability: Taber Abrasion resistance	ASTM D-4060 7 day cure, H-10 wheel (wet test)	< 5.0 g/1000
Water sensitivity	ASTM D-570 Water absorption after 9 days: Remaining absorption after 1 hour of recovery:	< 10% < 1.0%
Color stability	ASTM G-155 QUV 2,000 hours (CIE units)	New York City Bike Lane Green $\Delta E < 1.5$
Color stability	ASTM G-155 QUV 2,000 hours (CIE units)	Brick color $\Delta E < 1.5$

Flexibility: Mandrel Bend	ASTM D-522-93A Flexibility as measured by Mandrel bend 0.5mm thick sample passes 10 mm at 21°C 0.5mm thick sample passes 125mm at -18°C	
Chemical resistance	ASTM D-2486 Modified MEK scrubs 16 dry mils, number of scrubs until 50% substrate exposed	>5000
Adhesion to Asphalt	ASTM D-4541	Substrate Failure
Friction Wet	ASTM E-303 British Pendulum Tester	>55
Environmental Sensitivity	EPA 24 ASTM D-3960-05 Volatile Organic Compounds	VOC < 150

These properties shall be evidenced by Certificates of Analysis produced by an independent qualified testing facility.

Green Bicycle and Red Bus Lane Pavement Overlays furnished by the following manufacturers, or approved equivalent, are acceptable for use in this contract:

Ennis Paint, Inc.
1509 S. Kaufman Street
Ennis, TX 75119

Integrated Pavement Concepts, Inc.
102-17957 55th Avenue
Surrey, BC Canada V3S 6C4

Crafco, Inc.
420 N. Roosevelt Avenue
Chandler, AZ 85226

6.44PO.5. METHODS. The asphalt pavement coating system shall be applied to the pavement in accordance with the manufacturer's specification. In its hardened state the color shall be as specified, and as approved by the Engineer. The material shall present a marking whose color and chemical resistance will not degrade under normal exposure to calcium chloride, sodium chloride or automotive oils and fuels. Color pigments used shall remain stable under exposure to ultra violet light. A minimum of four (4) layers of coating material shall be applied to the pavement surface.

The Contractor shall be required to use the proper equipment in the application of the asphalt pavement coating, as per the recommendation of the coating supplier, and as approved by the Engineer.

Asphalt pavement must be stable, well compacted and generally in excellent condition for the application of the asphalt pavement coating to be successful. The Engineer shall make the final determination as to the suitability of the existing asphalt pavement.

The asphalt pavement surface shall be dry and free from all foreign matter, including but not limited to dirt, dust, de-icing materials, and chemical residue.

The asphalt pavement coating shall only be applied in the correct environmental conditions as instructed by the coating supplier, and as approved by the Engineer.

Refer to the instructions provided by the coating supplier regarding when the painted lane may be opened to traffic. Wait time is typically a function of the dry rate of the coating, and climate conditions.

The Engineer may, at his discretion, require the Contractor to remove all extraneous marks on the pavement made by the agents or employees of the Contractor, or made by others due to improper control or protection of the work area by the Contractor, his agents or employees. Any installation which, in the opinion of the Engineer, is not acceptable, whether by reason of poor workmanship, poor appearance, poor performance, poor materials, improper width or improper alignment, shall be reworked by the Contractor at no cost to the City. The Contractor shall replace rejected installation as directed by the Engineer, within fifteen (15) days after receiving written notification of the rejection of such completed work.

6.44PO.6. MEASUREMENT. The quantities to be measured for payment shall be the number of square yards of Lane Pavement Overlay, of each color, placed as specified to the satisfaction of the Engineer.

6.44PO.7. PRICES TO COVER. The unit prices bid per square yard of Green Bicycle Lane Pavement Overlay and Red Bus Lane Pavement Overlay shall cover the cost of all labor, materials, plant, equipment, insurance, and necessary incidentals required including, but not limited to, testing, cleaning, preparation of surfaces, and application of the lane pavement overlay materials, all in accordance with the contract plans and specifications, and as directed by the Engineer.

Payment will be made under:

Item No.	Item	Pay Unit
6.44 POG	GREEN BICYCLE LANE PAVEMENT OVERLAY	S.Y.
6.44 POR	RED BUS LANE PAVEMENT OVERLAY	S.Y.

SECTION 6.52 CG

Crossing Guard

6.52CG.1. INTENT. This section describes the employment of full-time uniformed crossing guards to direct and detour traffic.

6.52CG.2. DESCRIPTION. The Contractor shall furnish an adequate number of competent crossing guards to control vehicular and pedestrian traffic when it is necessary to maintain alternating one-way traffic in one lane of a two-way roadway, and at all other locations where construction operations, construction vehicles and equipment, and temporary traffic patterns related to the construction operations require positive temporary traffic control for safe, efficient traffic operations.

6.52CG.3. METHODS. All crossing guards, whether paid for under this item or not, shall be proficient in speaking, writing and reading English and adequately trained, as approved by the Engineer, in controlling vehicular and pedestrian traffic at construction sites.

All crossing guards, whether paid for under this item or not, their apparel, hand-signaling devices, and active two-way radios shall be appropriate for use at roadway construction sites as approved by the Engineer.

Prior to the start of crossing guard operations, the Contractor shall provide to the Engineer a list of crossing guards to be used in the contract, identifying the source of crossing guard training for each individual. When requested by the Engineer, crossing guards shall demonstrate their competency in crossing guard procedures. Crossing guards not competent in controlling vehicular and pedestrian traffic procedures to the satisfaction of the Engineer shall be retrained or replaced at once. Each crossing guard paid under this item must be a full-time crossing guard. If any worker performing services under this item is also assigned the task of directing construction equipment (as per attached Example #2, worker acting as a flagperson 'A') or any laborer tasks, then such worker shall be deemed to be subject to the provisions of Labor Law §220 Prevailing Wage Schedule and will not be paid for under this Item.

6.52CG.4. MEASUREMENT. The quantity to be measured for payment shall be the number of person-hours of uniformed crossing guard service actually performed, as authorized by the Engineer. Laborers who are not full-time crossing guard will not be measured for payment as crossing guards under this or any other item. Each uniformed crossing guard shall be required to work a minimum of eight (8) hours a day and the Contractor will be given a minimum of twelve (12) hours advanced notice by the Engineer as to when to furnish a crossing guard.

6.52CG.5. PRICE TO COVER. The contract price per person-hour shall cover the cost of all labor, materials, equipment, and insurance necessary to employ a uniformed full-time crossing guard, and equip him/her with safety vests, hard hats, and signaling devices, including all other incidental costs necessary to control and detour traffic, as shown on the Contract Drawings, the Examples #1 and #2 on pages 395 and 396 (excluding worker acting as a flagperson "A" in Example #2), or as directed by the Engineer.

Payment for flagperson "A" in Example #2, shall be deemed to be included under other items of work, as appropriate.

Where there is no scheduled item for Crossing Guard, the cost of furnishing Crossing Guards as required shall be deemed included in the unit price bid for the Maintenance and Protection of Traffic item.

Payment will be made under:

Item No.	Item	Pay Unit
6.52 CG	CROSSING GUARD	PERSON-HOUR (P/HR)

(NO TEXT ON THIS PAGE)

3. NEW SECTIONS

SECTION 4.02 I
Asphalt Concrete Paving,
Type I-1 and I-4

PART 1. GENERAL4.02I.1.01. SUMMARY.

- A. This Section specifies requirements for plant mix macadam base course, bottom course, top course, tack coat and overlay of existing pavement with performance graded asphalt specified in 4.02I.2.02.B.
- B. Except as in 4.02I.1.01.C. below, recycled asphalt concrete pavement (consisting of reclaimed asphalt pavement blended with new materials) may be used to the maximum percentages specified in 4.02I.1.04.C.2.b.
- C. Recycled asphalt concrete shall not be used in mixes where modified asphalts are used.

4.02I.1.02. REFERENCES. The following is a listing of the publications referenced in this Section:

American Association of State Highway and Transportation Officials (AASHTO)

AASHTO M320	Performance Graded Asphalt Binder
AASHTO R28	Practice for Accelerated Aging of Asphalt Binder Using a Pressurized Aging Vessel (PAV)
AASHTO T313	Test Method for Determining the Flexural Creep Stiffness of Asphalt Binder Using the Bending Beam Rheometer (BBR)
AASHTO T315	Test Method for Determining the Rheological Properties of Asphalt Binder Using a Dynamic Shear Rheometer (DSR)
AASHTO T48	Flash and Fire Points by Cleveland Open Cup
AASHTO T240	Effect of Heat and Air on a Moving Film of Asphalt (Rolling Thin Film Oven Test)

American Society for Testing and Materials (ASTM)

ASTM C 88	Test Method For Soundness of Aggregates by Use of Sodium Sulfate or Magnesium Sulfate
ASTM C 117	Test Method for Materials Finer than 75-micrometres (No. 200) Sieve in Mineral Aggregates by Washing
ASTM C 127	Test Method for Specific Gravity and Absorption of Coarse Aggregate
ASTM C 128	Test Method for Specific Gravity and Absorption of Fine Aggregate
ASTM C 131	Test Method for Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine
ASTM C 136	Test Method for Sieve Analysis of Fine and Coarse Aggregates
ASTM C 566	Test Method for Total Moisture Content of Aggregate by Drying

ASTM C 1252	Test Methods for Uncompacted Void Content of Fine Aggregate (as Influenced By Particle Shape, Surface Texture, and Grading)
ASTM D 75	Practice for Sampling Aggregates
ASTM D 242	Mineral Filler for Bituminous Paving Mixtures
ASTM D 692	Coarse Aggregate for Bituminous Paving Mixtures
ASTM D 979	Practice for Sampling Bituminous Paving Mixtures
ASTM D 995	Mixing Plants for Hot-Mixed, Hot-Laid Bituminous Paving Mixtures
ASTM D 1073	Fine Aggregate for Bituminous Paving Mixtures
ASTM D 2041	Test Method for Theoretical Maximum Specific Gravity and Density of Bituminous Paving Mixtures
ASTM D 2172	Test Methods for Quantitative Extraction of Bitumen from Bituminous Paving Mixtures
ASTM D 2419	Test Method for Sand Equivalent Value of Soils and Fine Aggregate
ASTM D 2726	Test Method for Bulk Specific Gravity and Density of Non-Absorptive Compacted Bituminous Mixtures
ASTM D 3203	Test Method for Percent Air Voids in Compacted Dense and Open Bituminous Paving Mixtures
ASTM D 3549	Test Method for Thickness or Height of Compacted Bituminous Paving Mixture Specimens
ASTM D 3666	Minimum Requirements for Agencies Testing and Inspecting Bituminous Paving Materials
ASTM D 4125	Test Methods for Asphalt Content of Bituminous Mixtures by the Nuclear Method
ASTM D 4318	Test Method for Liquid Limit, Plastic Limit, and Plasticity Index of Soils
ASTM D 4402	Method for Viscosity Determinations of Unfilled Asphalt Using the Brookfield Thermosel Apparatus
ASTM D 4791	Test Method for Flat Particles, Elongated Particles, or Flat and Elongated Particles in Coarse Aggregate
ASTM D 4867	Effect of Moisture on Asphalt Concrete Paving Mixtures
ASTM D 5444	Test Method for Mechanical Size Analysis of Extracted Aggregate
ASTM D 5821	Test Method for Determining the Percentage of Fractured Particles in Course Aggregate
ASTM D 5976	Type I Polymer Modified Asphalt Cement for Use in Pavement Construction
ASTM D 6084	Method for Elastic Recovery of Bituminous Materials by Ductilometer
ASTM D 6307	Test Method for Asphalt Content of Hot-Mix Asphalt by Ignition Method
ASTM D 6926	Test Method for Preparation of Bituminous Specimens Using Marshall Apparatus

- ASTM D 6927 Test Method for Marshall Stability and Flow of Bituminous Mixtures
- ASTM E 178 Practice for Dealing with Outlying Observations
- ASTM E 950 Test Method for Measuring the Longitudinal Profile of Traveled Surface with an Accelerometer Established Internal Profile Reference
- ASTM E 1274 Test Method for Measuring Pavement Roughness Using a Profilograph

Asphalt Institute - Manual Series

- MS-2 Mix Design Methods for Asphalt Concrete, and Other Hot-Mix Types
- MS-20 Asphalt Hot-Mix Recycling

Dept. of Transportation - Federal Aviation Administration (FAA)

Eastern Region Laboratory Procedures Manual (ERLPM) March, 1994

Standards for Specifying Construction of Airports

- P-401 Plant Mix Bituminous Pavements

4.02I.1.03. DESIGN AND PERFORMANCE REQUIREMENTS.

A. Job Conditions

1. Apply tack coat only when the base surface is dry and the ambient temperature in shade has not been below 32 degrees F for 12 hours immediately prior to application, unless otherwise approved by the Engineer.
2. Do not place asphalt concrete upon a wet or frozen surface.
3. The minimum laydown temperature shall be not less than 310°F, when the base temperature is below 50°F and not less than 275°F when the base temperature is 50°F or greater. The Engineer will measure the temperature of the asphalt concrete in the truck at the paver.
4. The minimum allowable base temperature for a lift thickness is shown in the following table:

<u>Nominal Lift thickness (inches)</u>	<u>Minimum Allowable Base Temperature (Degrees F)</u>
2 or greater	32
1-1/2 or greater, but less than 2	40
Less than 1-1/2	50

5. If nominal lift thickness is two inches or greater and the base temperature is below 32°F, the Engineer may approve paving operations if the Contractor can demonstrate, prior to commencing with paving, that density and mat texture uniformity can be achieved. All other requirements apply.
6. In case of sudden rain, the Engineer may at his sole discretion permit placing of mixture already in transit from the plant, provided the surface to be paved is free from pools of water and laydown temperatures conform to the above tabulation. Such permission, however, shall not be interpreted as a waiver of any of the quality requirements.

B. Asphalt Concrete Mix Design

1. Design asphalt concrete job mix formula based on the "Mix Design Table" specified in 4.02I.2.03.A and specimen compaction temperature specified in 4.02I.1.04.E.3
2. Design top and bottom courses to the following target values:

	Bottom Course	Top Course	
Design Properties	Mix 1 ¹	Mix 2	Mix 3
Marshall Stability, lbs. @ 75 Blows (ASTM D 6927)	2150 min.	2150 min.	2150 min.
Flow Value, 0.01 inch gradation (ASTM D 6927)	8 - 16	8 - 16	8 - 16
Air Voids, Percent ² (ASTM D 3203)	3.8 - 5.2	2.8 - 4.2	2.8 - 4.2
Percent Voids Filled With Bitumen	65 - 75	67 - 77	67 - 77
Voids in the Mineral Aggregate (VMA) Minimum %	12	13	14

3. Plant mix macadam base course shall be a mixture designed within the gradation limits specified in 4.02I.2.03.A.
4. The design job mix formula shall indicate definite percentages passing for each sieve fraction of aggregate and the asphalt content.
5. Design new job mix formula for each asphalt plant used, whenever there is a change in material or when field conditions dictate a need for redesign.
6. When required by the Engineer, determine the tensile strength ratio of specimens of the composite paving mixture by procedures specified in ASTM D 4867. The value shall be not less than 80 percent.

C. Plant Production Requirements

1. The asphalt concrete mixture or its components shall not be heated to a temperature outside the limits specified in 4.02I.3.02.A.
2. Plant production tolerance limits for Marshall Stability and flow value are as follows:

Marshall Stability at 75 blows (ASTM D6927) – 1800 lbs. min.³

Flow Value, 0.01 inch gradation (ASTM D 6927) - 8 to 16.³

¹ For asphalt concrete mixes with five percent of the aggregate or greater retained on the 1" sieve, the Engineer may require the use of six inch molds to increase the repeatability of Marshall test results. For six inch molds Marshall stability shall be 4800 lbs. minimum at 113 blows with 22.5 lb. hammer and 18 inch drop and the flow value shall be within the 12 to 24 range.

² Determine by comparing bulk specific gravity (ASTM D 2726) to maximum specific gravity (ASTM D 2041).

³ If six inch molds are required as set forth in 4.02I.1.03.B.2 Marshall stability shall be 4000 lbs. minimum at 113 blows with 22.5 lb. hammer, 18 inch drop and the flow value shall be within the 12 to 24 range.

Acceptance shall be on a lot basis as set forth in 4.02I.1.04.E.3. The acceptance of each lot shall be based on the Percent of Material Within Tolerance Limits (PWL), as set forth in 4.02I.4.03.B.5. If the PWL of the lot for either parameter is less than 90 percent, the Contractor shall take corrective actions as set forth in 4.02I.3.03.C.

3. Modified Asphalt Performance Grade

Modified asphalt shall meet the performance grade requirements set forth in 2.02 B, when tested in accordance with 4.02I.1.04.E.12. When material fails to meet the requirements, make corrections in accordance with 4.02I.3.03.E.

4. Marshall Air Voids

Targets for Marshall air voids are 4.5 percent for mix 1, and 3.5 percent for mixes 2 and 3. Acceptance shall be on a lot basis as specified in 4.02I.1.04.E.3. The acceptance of each lot shall be based on the Percent of Material Within Tolerance Limits (PWL) as set forth in 4.02I.4.03.B.5. Adjustment to contract compensation shall be made if the PWL of the lot is less than 90 percent as set forth in 4.02I.4.03.C. If the PWL of the lot equals or exceeds 90 percent and the PWL for pavement mat and joint densities for the lot equals or exceeds 90 percent, the percentage adjustment to compensation may be between 100 and 106 as set forth in 4.02I.4.03.C.

The tolerance limits for Mix 1 are 3.0 and 6.0 percent, and for Mixes 2 and 3 are 2 and 5 percent.

D. In-Place Pavement Requirements

1. Surface Smoothness

- a. Final surface shall be smooth and free from roller marks and irregularities greater than 1/4 inch when tested with a 16-foot straight edge. Testing and acceptance shall be on a lot basis as set forth in 4.02I.1.04.E.10.b. Make corrections for deficiencies in surface smoothness as set forth in 4.02I.3.03.A.
- b. Each surface shall conform to the lines and grades shown on the Contract Drawings within a tolerance of plus or minus 0.045 foot, except where closer tolerance is required for proper functioning of appurtenant structures and drainage. Surface grade shall be tested in accordance with 4.02I.1.04.D.8.

2. Density

a. In-Place Mat Density

The target for in-place mat density shall be 98 percent or better. Acceptance shall be on a lot basis as set forth in 4.02I.1.04.E.5. The acceptance of each lot will be based on the Percent of Material Within Tolerance Limits (PWL), as set forth in 4.02I.4.03.B.5. Adjustment to contract compensation will be made based on the PWL of the lot as set forth in 4.02I.4.03.C. The lower tolerance limit for pavement mat density is 96.3 percent.

b. In-Place Joint Density

The target for in-place joint density shall be 97 percent or better. Acceptance will be on a lot basis as set forth in 4.02I.1.04.E.6. The acceptance of each lot will be based on the Percent of Material Within Tolerance Limits (PWL), as set forth in 4.02I.4.03.B.5. Adjustment to contract compensation will be made based on the PWL of the lot for surface course only as set forth in 4.02I.4.03.F. The lower tolerance limit for pavement joint density is 94.3 percent.

- c. Plant mix macadam base course shall have stone thoroughly interlocked, interstices reduced to a minimum and creeping of mixture no longer visible and with no further increase in density achievable by additional rolling.

3. Thickness

The Engineer will test for thickness only after all corrections for surface smoothness and final surface grade have been completed.

Pavement courses shall conform to thicknesses shown on the Contract Drawings within the following tolerances:

Course or Combination of Courses	Tolerance (in inches) Plus or Minus ⁴
Thickness of top course	1/4
Total thickness of top course and bottom course	1/4
Total thickness of plant mix macadam base course, bottom course, and top course	1/4
Overlay thickness shall be as required to meet the final surface grade tolerance	--

4. Final Surface Grade

The final surface shall conform to the finished grades shown on the Contract Drawings within a target tolerance of plus or minus 0.04 foot, except where closer tolerance is required for proper functioning of appurtenant structures and drainage. The final surface abutting existing pavements shall smoothly transition to the existing surface grades. The Engineer will test the final surface, which he will accept or reject on a lot basis as set forth in 4.02I.1.04.E.11. For areas within the runway or taxiway edge markings, the Engineer will adjust contract compensation based on the percentage of grade measurements exceeding the target tolerance as set forth in 4.02I.4.03.D. For all areas when 15% or more of the grade measurements exceed the target grade tolerance or any individual measurement exceeds a 0.06 foot grade tolerance, make corrections for deficiencies in final surface grade as set forth in 4.02I.3.03.D.

5. In-Place Air Voids

Asphalt concrete shall have in-place mat air voids between 2.0 percent and 8.0 percent (9.0 percent for bottom course). The Engineer will test in-place air voids in accordance with 4.02I.1.04.E.7. When material fails to meet the requirements, correct in accordance with 4.02I.3.03.B.

4.02I.1.04. QUALITY CONTROL/ASSURANCE.

A. General

1. Establish and maintain effective quality control procedures which shall ensure that the materials and completed construction submitted for acceptance conform to Contract requirements whether manufactured or processed by the Contractor or procured from subcontractors or vendors.

⁴

All measurements for this purpose shall be to the nearest 1/8th inch.

2. Pre-Pavement Construction Meeting

- a. A pre-paving meeting will be conducted at the construction site by the Engineer a minimum of 20 days prior to the first day of laydown to discuss Contractor (suppliers) mixes, plant quality control, field quality control, tack coat, control strip, requirements for mat and joint densities, equipment –(rollers, material transfer unit and paver), smoothness and grade control, segregation, workmanship, quality assurance testing, incentive and disincentive criteria, and any other pertinent specified requirements.

At no additional cost to the City, make arrangements for the project superintendent and a qualified Contractor's representative to be present at every segment of the paving operations, including but not limited to the following:

- (1) Asphalt Producer's Quality Control Manager
 - (2) Contractor's representative for site quality control testing
 - (3) Paving crew foreman
 - (4) Smoothness testing personnel
 - (5) Survey crew chief
- b. Record, type and distribute meeting minutes to all attendees of the meeting within 5 days of the date of the meeting.
 - c. Do not schedule the pre-paving construction meeting until all submittals pertaining to the paving operation have been submitted and approved.
3. Provide the Engineer certification in writing stating that all of the testing equipment to be used is properly calibrated and will meet the specifications applicable for the specified test procedures. Upon Engineer's request, test samples to demonstrate an acceptable level of performance.
 4. Perform quality control sampling, testing, and inspection during all phases of the work at rates sufficient to ensure that the work conforms to the contract requirements, and at minimum test frequencies required by 4.02I.1.04.D.

B. Quality Control Plan

1. Establish and maintain a Quality Control Plan (Plan) along with all the personnel, equipment, supplies and facilities necessary to obtain samples, perform and document tests and meet specification requirements. For Contracts requiring 5,000 tons of asphalt concrete or greater, the Plan is required. For Contracts requiring less than 5,000 tons of asphalt concrete, the Plan is optional.
2. Describe the Plan in a written document. Submit the written Plan to the Engineer for review and approval at least 28 calendar days prior to the start of paving operations.
3. In the absence of an approved Quality Control Plan the City will make no payments for materials which are subject to specific quality control.
4. The Plan may be carried out wholly or in part by the Contractor or by an independent organization but it shall in all cases remain the responsibility of the Contractor.
5. Plan Contents - The Plan shall be organized to address at least the following items:
 - a. Quality control organization chart.

- b. Area of responsibility and authority of each individual.
 - c. Names and qualifications of personnel as required by 4.02I.1.04.B.7.d.
 - d. A listing of any outside organizations such as testing laboratories that will be employed by the Contractor and a description of the services they will provide.
 - e. A testing plan which lists the tests required to be performed by the Contractor, the frequency of testing, sampling locations and the location of the testing facilities.
 - f. Procedures for ensuring that tests are taken in accordance with the testing plan, that they are documented and that proper corrective actions are taken when necessary.
 - g. Procedures for ensuring that testing equipment is available, that it complies with specified standards and that it has been calibrated against certified standards.
 - h. Procedures for verifying that tests are taken in accordance with the appropriate AASHTO and ASTM standards.
 - i. Procedures for daily submittal of test results to the Engineer.
 - j. An action plan detailing procedures to be used to correct unsatisfactory production processes and construction practices, when tests indicate materials are failing to meet specification for the following:
 - (1) Aggregate gradation
 - (2) Mat and joint density
 - (3) Marshall air voids
 - (4) Surface smoothness
 - (5) Grades
6. Plan Elements. The Plan shall address all elements which affect the quality of the pavement including but not limited to:
- a. Mix Design
 - b. Aggregate Gradation
 - c. Quality of Materials
 - d. Stockpile Management
 - e. Proportioning/temperature control of mixture components
 - f. Mixing and Transportation
 - g. Placing and Finishing
 - h. Joints
 - i. Compaction
 - j. Surface smoothness and grades

7. Quality Control Organization

- a. Implement the Quality Control Plan by the establishment of a separate Quality Control Organization. Develop and submit an organization chart to show all quality control personnel integrated with other management, production and construction functions and personnel.
- b. The organization chart shall identify all quality control staff required to implement all elements of the quality control program, including inspection and testing functions for different items of work.
- c. If an outside organization or independent testing laboratory is used for implementation of all or part of the Plan, the personnel assigned will be subject to the qualification requirements of this 4.02I.1.04B.7.d. The organization chart shall indicate which personnel are contractor employees and which are provided by an outside organization.
- d. The Quality Control Organization shall consist of at least the following personnel:

(1) Plan Administrator

The Plan Administrator shall be an employee of the Contractor. The Plan Administrator shall have prior quality control experience on a project of size and scope comparable to this Contract. In addition, the Plan Administrator shall meet one of the following requirements:

- (a.) A New York State Licensed Professional Engineer with one year of paving experience as approved by the Engineer.
- (b.) Engineer-in-Training with two years of airport paving experience as approved by the Engineer.
- (c.) An individual with three years of highway and/or airport paving experience as approved by the Engineer and with a Bachelor Degree in Civil Engineering, Civil Engineering Technology or Construction.
- (d.) Construction Materials Technician certified at Level III by the National Institute for Certification in Engineering Technologies (NICET).
- (e.) Highway Materials Technician certified at Level III by NICET.
- (f.) Highway Construction Technician certified at Level III by NICET.
- (g.) A NICET certified Engineering Technician in Civil Engineering Technology with 5 years of highway and/or airport paving experience as approved by the Engineer.

Certification at an equivalent level by a State or nationally recognized organization will be acceptable in lieu of NICET certification. The Plan Administrator shall have full authority to institute any and all actions necessary for the successful operation of the Plan to ensure compliance with the Specifications. The Plan Administrator shall report directly to a responsible officer in the Contractor's organization. The Administrator may supervise the Plan on more than one project provided that he can upon request be at the job site within one hour.

(2) Quality Control Technicians

Provide a sufficient number of Quality Control Technicians to adequately implement the Plan. Quality Control Technicians shall be engineers, engineering technicians or experienced craftsmen holding a current certificate issued by the New Jersey Society of

Asphalt Technologists, Inc. (NJSAT) or other Engineer-approved certifying agency or organization. (Information regarding the certification procedure can be obtained by contacting NJSAT.)

The Quality Control Technicians shall report directly to the Plan Administrator and shall perform the following functions:

- (a.) Inspection of all plant equipment used in proportioning and mixing to ensure proper calibration and operating conditions.
- (b.) Performance of quality control tests necessary or desirable to adjust and control mix proportioning in accordance with the job mix formula.
- (c.) Inspection of all equipment used in placing, finishing and compacting material to ensure proper operating condition.
- (d.) Inspection during construction to ensure that placement, joint construction and compaction is in conformance with the specifications and will produce a finished product that meets specification requirements.
- (e.) Performance of all quality control testing as required by 4.02I.1.04.D, including density monitoring.

8. Testing Laboratory.

The Plan must provide for a fully equipped asphalt laboratory located at the plant or job site. It shall be available for joint use by the Contractor for quality control testing and by the Engineer for acceptance testing and must have adequate equipment for the performance of the tests required by these specifications. The Engineer shall have priority in use of the equipment necessary for acceptance testing.

The effective working area of the laboratory shall be a minimum of 250 square feet with a ceiling height of not less than 7.5 feet. Lighting shall be adequate to illuminate all working areas. It shall be equipped with heating and air conditioning units to maintain a temperature of 70°F + 5°F.

In addition to the equipment required for testing, the laboratory shall be equipped with a paper copier and facsimile machine to be utilized by the Engineer.

Keep laboratory facilities clean and maintain all equipment in proper working condition. Allow the Engineer unrestricted access to inspect the Contractor's laboratory facility and to witness quality control activities. The Engineer will advise the Contractor in writing of any noted deficiencies concerning the laboratory facility, equipment, supplies or testing personnel and procedures. When in the Engineer's opinion deficiencies may adversely affect test results, immediately suspend delivery and placement of asphalt materials and do not resume until the deficiencies are satisfactorily corrected.

9. Noncompliance.

In cases where quality control activities do not comply with either the Contractor's Quality Control Program or the Contract provisions, or where the Contractor fails to properly operate and maintain an effective Quality Control Program, the Engineer may:

- a. Order the Contractor to replace ineffective or unqualified quality control personnel.
- b. Carry out the functions and operations of the Contractor's approved Quality Control Program. Costs incurred by the Engineer to operate the Quality Control Program or to otherwise remedy the Contractor's non-compliance with quality related provisions of the Contract shall be deducted from the total amount due the Contractor.

C. Source of Aggregate and Sampling

1. Virgin Aggregate

- a. Select sources of virgin aggregates well in advance of the time the materials are required for the construction. When the aggregates are obtained from a previously approved source, submit random hot bin samples, if requested by the DDC's Director of Quality Assurance & Construction Safety, a minimum of 14 calendar days prior to the start of production and if from a source not previously approved, submit random hot bin samples a minimum 45 calendar days prior to the start of production. Sampling of the hot bin materials for job mix formulation will be observed by the Engineer and identical samples will be obtained for verification of the job mix formulation by the Department's Quality Assurance Laboratory or their agents. The Engineer may require the proposed mix formulation to be batched at the asphalt plant and tested in the presence of the Engineer.
- b. Where previously used or concurrent job mix formulations are to be used, the taking of hot bin samples may be waived by the Engineer.

2. Reclaimed Asphalt Pavement

- a. Where reclaimed asphalt pavement material is permitted, it shall have 100 percent passing 1/2 inch sieve and shall be a mixture of only coarse aggregate, fine aggregate, and asphalt cement, free of solvents or other contaminating substances. The fine aggregate contained in the reclaimed asphalt pavement shall have a plasticity index of not greater than 4 when tested in accordance with ASTM D 4318.

Maintain stockpiles of reclaimed asphalt pavement in a manner to prevent contamination with other aggregates and keep covered in order to maintain a low moisture content of the reclaimed asphalt pavement.

- b. Unless otherwise shown on the Contract Drawings, a minimum of 10% to a maximum of 25% recycled asphalt pavement will be required in all asphalt mixes, other than the surface course. Asphalt mixes that contain recycled asphalt pavement will have a tolerance range for plant voids of 1.5-5.5%. Fifty percent of the asphalt cement content contained in the recycled asphalt pavement will be deducted from the optimum asphalt content for an asphalt mix design.
 - c. Contractor's reclaimed asphalt pavement will be considered for use provided that the Engineer is notified of the intended use and that he approves the reclaimed asphalt pavement. Take at least six representative samples, each at least 7 pounds, from each stockpile. Stockpiles shall not exceed 3000 tons. Sample in accordance with ASTM D 75. Sampling will be observed by the Engineer. Take duplicate samples and submit them with mix design for verification. Test samples in accordance with ASTM D 2172 to determine asphalt cement content; test re-covered aggregate in accordance with ASTM C 136 for gradation.
 - d. Once a reclaimed asphalt pavement stockpile has been approved for use, the stockpile shall be dedicated to the Contract and no reclaimed asphalt pavement may be added to the stockpile. If there is an insufficient amount of reclaimed asphalt pavement in the stockpile to complete the work, a new separate stockpile may be made and shall be tested for acceptance as aforementioned.
3. Locate stockpiles of reclaimed asphalt pavement and of new aggregate so as to prevent intermingling.
 4. When more than one asphalt plant is to be used to supply asphalt concrete to the construction site, each asphalt plant shall use a similar job mix formula, as approved by the Engineer.
 5. Locations and timing of random sampling shall be determined in accordance with Section 6 of FAA ERLPM.

D. Contractor's Quality Control Tests

1. Perform all quality control tests necessary to control the production and construction processes. The testing program shall include, but not necessarily be limited to, tests for the control of asphalt content, aggregate gradation, Marshall properties and temperatures. Obtain samples at the direction of the Engineer for the purpose of quality control testing. Random sampling procedures specified in Section 6 of FAA ERLPM shall be used for determining the selection of samples as follows:

- a. Take four samples of freshly mixed material per each lot (one sample from each subplot) for top, bottom and base courses. Take samples in accordance with ASTM D 979 from material at the mixing plant.

A lot will be defined as one day's production for each mix. Production rates for each mix will be obtained by the Engineer from the asphalt plant at the start of each day's production. A subplot will be defined as a quarter of a lot based on the initial production forecast for the mix. Maximum lot size will be 2000 tons. If a day's production is forecast over 2000 tons, the forecast quantity shall be divided into two or more equal lots. Should actual production be greater than the initial forecast of production for a given mix, the additional production will be divided into the same subplot sizes as initially calculated. If one or two additional sublots are produced they will be added to that day's lot with n=5 or 6 for sublots. If three or more additional sublots are produced in a day, an additional lot will be established for that particular day.

If actual production is less than the initial forecast for a given mix but sufficient material was produced to constitute three sublots, a lot will be formed with three sublots (n=3). Should actual production constitute only one or two sublots, the sublots will be added either to the previous lot or the next production lot, whichever is closer in time. Each subplot shall contain a minimum of 75 tons of material.

Where more than one plant is simultaneously producing material for the job, the lot sizes shall apply separately for each plant.

- b. Test samples to determine asphalt content in accordance with ASTM D 2172, ASTM D 4125 or ASTM D 6307. Test recovered aggregate to determine gradation in accordance with ASTM D 5444. In addition, when automated recording plants are used, submit printouts of asphalt content to the Engineer.
- c. Prepare three plugs from each sample and test them in accordance with ASTM D 6926 using automatic compaction procedures. Marshall air voids shall be determined in accordance with ASTM D 3203. For each subplot, the maximum theoretical density shall be determined in accordance with ASTM D 2041.

Voids filled with asphalt, for each plant sample, shall be computed as follows:

- (1) Determine asphalt content in percentage by volume (I) using:

$$I = \frac{P_b \times G_{mb}}{G_b}$$

Where:

I = Percentage by volume of asphalt
 P_b = Percentage by weight of asphalt
 G_{mb} = Bulk specific gravity of compacted mixture
 G_b = Specific gravity of asphalt

- (2) Determine percent voids filled with asphalt (VF) as follows:

$$VF = \frac{I \times 100}{I + P_a}$$

Where:

VF = Percent voids filled with asphalt
 I = Percentage by volume of asphalt
 P_a = Percent Marshall air voids

Voids in the Mineral Aggregate (VMA) will be estimated by adding the asphalt content in percentage by volume to the percent of air voids. Bulk specific gravities will be used to calculate VMA.

- d. Take hot bin or feeder belt composite samples of top, bottom and base course aggregates for mixes at least twice daily and check gradation in accordance with ASTM C 136, including washing material passing No. 8 sieve in accordance with ASTM C 117.
- e. At least six times daily, check and record temperatures at necessary locations to determine the temperatures of:
 - (1) aggregates and asphalt immediately before introduction to the pugmill or dryer drum;
 - (2) the mixture immediately after discharge from the pugmill or dryer drum;
 - (3) the mixture at the spreader on the construction site.
- f. Reclaimed Asphalt Pavement
 - (1) Where reclaimed asphalt pavement is being used as a substitute for some of the virgin aggregate, take a sample of freshly mixed recycled asphalt concrete in accordance with ASTM D 979 and determine the moisture content at least twice daily. Moisture determinations shall be based on the weight loss by heating an approximately 4 pound sample of the freshly mixed materials for one hour in an oven at 280 plus or minus 5 degrees F. The moisture content of the freshly mixed recycled asphalt concrete shall not exceed 0.5 percent.
 - (2) Take a sample of reclaimed asphalt pavement from the approved stockpile at least once daily and test in accordance with ASTM D 2172 to determine asphalt content and gradation in accordance with ASTM D 5444. The resulting asphalt content and aggregate gradation shall be similar to the average test results of the reclaimed asphalt pavement submitted with Design Job Mix Formula. If there is a variation of plus or minus 1.0 percent in the asphalt content or plus or minus 10 percent in aggregate gradation on any sieve, a second sample shall be taken and tested in the same manner as the first sample. If the results are similar to that of the first sample, appropriate measures shall be taken to adjust the mixture to compensate for the variation in the reclaimed asphalt pavement.
- g. Moisture Content of Aggregate

For drum plants the moisture content of aggregate used for production shall be determined a minimum of once per lot in accordance with ASTM C 566.
- h. Moisture Content of Mixture

The moisture content of the mixture shall be determined once per lot in accordance with the procedure given in Section 4.02I.1.04.D.1.f. (1). The moisture content in the freshly mixed

asphalt concrete shall not exceed 0.5%. If the moisture content is 0.5% or greater, stop production and adjust plant operation.

- i. Perform additional testing as required to ensure that mixtures produced meet the requirements of this Section.

2. Control Charts

Maintain linear control charts both for individual measurements and range (i.e., difference between highest and lowest measurements) for aggregate gradation and asphalt content. When test results exceed certain limiting values, take action to bring the asphalt concrete production process under tighter control. The Action Limit is the limiting value at which corrective actions shall be made while production may continue. The Suspension Limit is the limiting value at which production must be suspended while corrections are made. Production shall not resume until Contractor's corrections are approved by the Engineer.

Post control charts in a location satisfactory to the Engineer and keep charts current. As a minimum, the control charts shall identify the project number, the contract item number, the test number, each test parameter, the Action and Suspension Limits applicable to each test parameter and the Contractor's test results. Use the control charts as part of a process control system for identifying potential problems and assignable causes before they occur. If in the Engineer's opinion the Contractor's projected data during production indicates a problem and the Contractor is not taking satisfactory corrective action, the Engineer may suspend production or acceptance of the material.

a. Individual Measurements

Establish control charts for individual measurements to maintain process control within tolerance for aggregate gradation and asphalt content. The control charts shall use the job mix formula target values as indicators of central tendency for the following test parameters with associated Action and Suspension Limits:

CONTROL CHART LIMITS FOR INDIVIDUAL MEASUREMENTS		
Sieve	Action Limit	Suspension Limit
1"	$\pm 6\%$	$\pm 9\%$
3/4"	$\pm 6\%$	$\pm 9\%$
1/2"	$\pm 6\%$	$\pm 9\%$
3/8"	$\pm 6\%$	$\pm 9\%$
No. 4	$\pm 6\%$	$\pm 9\%$
No. 8	$\pm 5\%$	$\pm 7.5\%$
No. 16	$\pm 5\%$	$\pm 7.5\%$
No. 30	$\pm 3\%$	$\pm 4.5\%$
No. 50	$\pm 3\%$	$\pm 4.5\%$
No. 100	$\pm 2\%$	$\pm 3\%$
No. 200	$\pm 2\%$	$\pm 3\%$
Asphalt content	0.45%	$\pm 0.7\%$

b. Range.

Establish control charts for range to control process variability for the test parameters and Suspension Limits listed below. Compute the range for each lot as the difference between the two test results for each control parameter. The Suspension Limits specified below are based on a sample size of $n = 2$. Should the Contractor elect to perform more than two tests per lot, the Suspension Limits shall be adjusted by multiplying the Suspension Limit by 1.18 for $n = 3$ and by 1.27 for $n = 4$.

CONTROL CHART LIMITS BASED ON RANGE	
(Based on $n = 2$)	
Sieve	Suspension Limit
1"	11%
3/4"	11%
1/2"	11%
3/8"	11%
No. 4	11%
No. 8	9%
No. 16	9%
No. 30	6%
No. 50	6%
No. 100	3.5%
No. 200	3.5%
Asphalt Content	0.8%

c. Corrective Action.

The Quality Control Plan shall provide for appropriate action to be taken when the asphalt concrete production process is out of control. The Plan shall contain sets of rules to gauge when a process is out of control and shall describe Contractor's actions to bring the process into control. A process shall be deemed out of control and production stopped and corrective action taken, if:

- (1) One point falls outside the Suspension Limit line for individual measurements or range; or,
 - (2) Two consecutive points fall outside the Action Limit line for individual measurements.
3. Document quality control efforts using copies of the sample forms contained in the FAA ERLPM, Appendix C, in its entirety, or other forms obtained from the Engineer. Make test results available to the Engineer daily.
 4. If a storage silo is used (except where excluded in 4.02I.3.02.A.8):
 - a. Check the silo to see that it can properly store the asphalt concrete for the time involved.
 - b. Take samples of asphalt concrete as it is unloaded from the silo and check them for conformance to this Section. If the asphalt concrete appears segregated, stop using the storage silo until it is demonstrated to the Engineer that the condition has been corrected.

- c. Silo storage time shall be governed by previous State DOT approval, not to exceed 24 hours.
- 5. Unless otherwise noted on the Contract Drawings, and subject to the approval of the Engineer, select an area to be called a Control Strip from the first day's production of each mix. Each Control Strip shall be a minimum of 100 feet long and two spreader widths wide and shall be constructed to meet the requirements of this Section and in the same manner as the remainder of the course it represents. Take three random samples at the plant and test them for stability, flow and air voids in accordance with ASTM D 6927 and 4.02I.1.04.D.1.c. Take three randomly selected cores from the pavement mat and three along the longitudinal pavement joint and test them to determine density in accordance with 4.02I.1.04.E.5 and E.6. Use the same means and methods which will be used to construct longitudinal and transverse joints as referred to in 4.02I.1.04.B.6.h and 4.02I.3.02.F.1 and 2.

The purpose of the Control Strip is to demonstrate that the completed pavement may be constructed using the proposed equipment and methods of operation and to obtain all quality requirements prior to the start of full production. Construct a new Control Strip whenever a change is made in equipment, methods of operation or type or source of material or whenever there is a change in the job mix formula. The Control Strip may become part of the completed pavement if it meets the requirements of this Section.

- 6. Include, as part of the quality control plan, the use of a nuclear density device to aid in meeting the specified target densities. Calibrate the nuclear density device with the control strip cores. If other than a nuclear density device is used it shall be calibrated with an additional set of pavement cores.
- 7. Surface Smoothness

Each lot shall be evaluated with a 16-foot straightedge provided by the Contractor and subject to approval by the Engineer. The lot size shall be 2,000 square yards. Measurements will be made perpendicular and parallel to the centerline of the paving strips at distances not to exceed 25 feet. When more than 15 percent of all measurements within a lot exceed the specified tolerance in 4.02I.1.03.D.1.b, the Contractor shall correct the deficiency in accordance with 4.02I.3.03.A. Written measurement results shall be submitted to the Engineer prior to payment. The Engineer shall perform the survey jointly with the Contractor.

- 8. Final Surface Grade.

The finished grade of each lot will be determined by running levels at intervals of 50 feet or less longitudinally and 25 feet or less transversely to determine the elevation of the completed pavement. The lot size shall be 2,000 square yards. When more than 15 percent of all the measurements within a lot are outside the specified tolerance, the Contractor shall correct the deficiency in accordance with 4.02I.3.03.A. Survey results shall be submitted to the Engineer prior to payment. The Engineer shall perform the survey jointly with the Contractor.

E. Engineer's Sampling and Testing

- 1. Produce asphalt concrete in approved automated plants equipped with interlocks and printouts meeting the requirements of ASTM D 995 and subject to the following:
 - a. Plant interlocks and printouts shall be in operation during production and two (2) copies of all printouts shall be furnished to the Engineer daily.
 - b. Scales shall be certified by an approved agency at least every 180 calendar days and copies of certifications shall be submitted to the Engineer upon request.
- 2. Provide labor and equipment to take samples, except cores, to check thickness and density.
- 3. The Engineer will perform acceptance testing for Marshall air voids, stability and flow. Samples will be taken from trucks at the plant, in accordance with FAA ERLPM, Section 6. These samples will be

from the sublots that were sampled in 4.02I.1.04.D.1.a. The Engineer will prepare three plugs from each sample and test them in accordance with ASTM D 6926 and ASTM D 6927 using automatic compaction procedures. The specimen compaction temperatures will be within the following range as measured within 1/2 inch from the outside edge:

<u>Performance Grade</u>	<u>Temperature Degrees F°</u>
PG 64-22, PG 70-22	275 – 295
PG 76-22	300 – 320
PG 82-22	305 - 325

Air voids, voids in mineral aggregate and voids filled with asphalt will be determined in accordance with ASTM D 3203 and 4.02I.1.04.D.1.c. The average test values obtained from each lot of top and bottom courses must conform to the parameters specified in 4.02I.1.03.C.

In lieu of sampling and testing in the field, the Engineer may sample and perform acceptance testing at the plant. The Contractor will be advised at the start of Work where the Engineer will sample and test.

4. For thickness determination, exclusive of overlay pavement, the Engineer will divide each course into area lots consisting of the area covered by the lot sampled as specified in 4.02I.1.04.D.1.a and he will further subdivide each lot into four equal sublots. The Engineer will take one 4-inch diameter core randomly in each subplot to check thickness of the top and bottom courses. One fourth of all such cores will continue through the plant mix macadam base course. Thickness will be determined in accordance with ASTM D 3549.
5. Mat Density
 - a. The Engineer will determine the in-place density of the control strip and subsequently placed pavements by taking cores at random locations as specified below.
 - b. Cores taken from the top and bottom course in place and any other specimens taken at the jobsite, sampled in accordance with the random sampling procedures as specified in 4.02I.1.04.D.1, will be tested by the Engineer for bulk specific gravity in accordance with ASTM D 2726. Cores shall not be taken closer than one foot from a transverse or longitudinal joint for pavement mat density determination. The in-place density of the pavement course will be the ratio of the in-place specific gravity to the laboratory Marshall bulk specific gravity expressed as a percentage.
 - c. Cores taken from courses containing more than 10 percent air voids will be tested by the Engineer for density (Bulk Specific Gravity) according to the following equation:

$$\text{S.G.} = \frac{W}{12.87 d^2 h}$$

Where:

- W = Weight, Dry Specimen (grams)
 d = Measured Diameter⁵ (inches)
 h = Measured Height⁵ (inches)

Laboratory bulk specific gravity and computation of in-place density will be as specified in 4.02I.1.04.E.5.b above.

⁵ Average of 5 equally spaced measurements around the core.

- d. The average in-place density determination, taken from the area covered by the lot sampled as specified in 4.02I.1.04.D.1.a, will be determined by the Engineer by summing up the subplot in-place density readings obtained from a lot and dividing the total by the number of sublots. The in-place density from each subplot will be obtained using a core reading taken from each subplot on a random basis and dividing by the Marshall bulk density (ASTM D 6926 and ASTM D 2726) taken for that subplot. Any core with a thickness less than twice the largest sieve size to retain more than 5 percent of any aggregate will be discarded and additional random cores will be taken as required to ensure representative readings.
- e. The Engineer will repeat the procedure specified in a. through d. above whenever a change is made in the type or source of material or whenever a new job mix formula is approved for material from the same source.

6. Joint Density

The Engineer will take one core from each subplot of all surface courses or from the underlying lifts as defined in 4.02I.1.04.D.1.a and will test it in accordance with 4.02I.1.04.E.5. For joint cores the lowest Marshall bulk density for sublots forming the joint will be used to compute the in-place density. The Engineer will take cores from directly over the longitudinal joint in line with the location of the random cores taken under 4.02I.1.04.E.5.b. When a paving lane has two longitudinal joints, both joints will be cored. The in-place joint density will be determined separately for each joint, and the joint producing the lowest in-place density will be used to determine payment. Based on site and placement conditions the Engineer may elect to core only one joint.

7. In-place Air Voids

The Engineer will calculate the in-place mat air voids for each subplot in accordance with ASTM D3203, by comparing the bulk specific gravity (ASTM D 2726) of the cores taken as specified in 4.02I.1.04.E.5.b to the maximum laboratory specific gravity (ASTM D 2041).

- 8. The Contractor shall patch all areas where samples are taken with an approved asphalt concrete or Portland cement concrete properly tamped to fill all voids and struck off flush with the surface within 24 hours after sampling.
- 9. The Engineer may at any time, notwithstanding previous plant approval, reject and require the Contractor to dispose of any batch of asphalt concrete mixture which is rendered unfit for use due to contamination, segregation or incomplete coating of aggregate. Such rejection may be based on only visual inspection. In the event of such rejection, the Contractor may take a representative sample of the rejected material in the presence of the Engineer and, if the Contractor can demonstrate in the laboratory, in the presence of and to the satisfaction of the Engineer, that such material was erroneously rejected, the Contractor will be compensated for the material.

10. Surface Smoothness

The Engineer will test the final surface of the pavement parallel to the direction of paving for smoothness:

- (a) A lot is defined as 2,000 SY of final paved surface. Where paving areas are not equally divisible into 2,000 square yard lots, odd sized lots between 1,000 and 3,000 square yards will be used. The odd sized lots will be used for the area remaining after the paved area is divided into 2,000 square yard lots.
- (b) Each lot will be evaluated by the Engineer with a 16-foot rolling straightedge provide by the Contractor and subject to approval by the Engineer. Measurements will be made perpendicular to the centerline of the paving lanes at distances not to exceed 25 feet; longitudinal measurements will be made along the centerline of the paving lane. Designed breaks in grade

shown on the Contract Drawings will not be included in the measurements. When more than 15 percent of all measurements within a lot exceed the tolerance specified in 4.02I.1.03.D.1.b, the Contractor shall correct the deficiency in accordance with 4.02I.3.03.A.

11. Final Surface Grade

The grades of the final surface of each lot will be measured at the finished grade locations shown on the Contract Drawings. Where paving lanes are 20 feet or greater in width, an additional line of grades, located at the center of the paving lane and spaced at 25 feet longitudinally, will be measured. A lot is defined as 2,000 square yards of final pavement surface. The transverse limit of the lot will be the transverse limit of paving. Where paving areas are not equally divisible into 2,000 square yard lots, odd sized lots between 1,000 and 3,000 square yards will be used. The odd sized lots will be used for the area remaining after the paved area is divided into 2,000 square yard lots. The Contractor shall perform the survey jointly with the Engineer.

12. The Engineer will perform acceptance testing of modified asphalt to determine if it meets the performance grade requirements set forth in 4.02I.2.02.B. Samples will be collected at the asphalt plant for each lot of asphalt concrete produced, as specified in 4.02I.1.04.D1.a. The Engineer will sample the liquid asphalt from the plant storage tanks which must be equipped with a sample valve.
13. The Engineer will measure the temperature of each load of asphalt concrete. Any load of asphalt concrete with temperature exceeding 350°F will be rejected.
14. Where there is a discrepancy between the Contractor's test results and the Engineer's, the Engineer's results shall govern.

4.02I.1.05. SUBMITTALS

- A. Submit to the Engineer, for approval, all Job Mix Formulae with Checklist (specified in 4.02I.2.03.B) for each type of asphalt concrete mix, from each plant and each type of asphalt concrete mix, from each plant and each new source of material at least 10 days prior to the start of production.
- B. Submit certified test data, location of each type aggregate to be used and quantities to be obtained from each location and make arrangements for the Director of NYCDDC's Quality Assurance Unit to obtain samples from each such location for checking against the samples submitted. Take all samples in accordance with requirements of ASTM D 75 and ASTM D 242.
- C. If requested, submit to the Director of NYCDDC's Quality Assurance Unit samples of each type aggregate to be used and from each source with proper identification as to source, type of aggregate and Contract number. Submit in clean, sturdy bags and in the following amounts for each sample when requested:

Reclaimed Asphalt Pavement (when used)	50 lbs.
Coarse Aggregate	25 lbs.
Fine Aggregate	25 lbs.
Mineral Filler	5 lbs.

- D. Submit to the Director of NYCDDC's Quality Assurance Unit, for approval, four one-quart samples of the asphalt cement and two one-quart samples of the liquid latex (if applicable) proposed for use together with the following data:
 1. The name of the supplier(s).
 2. An analysis of such asphalts by the supplier, certifying that the results of tests comply with the requirements of AASHTO M320 and this Section.

A certificate, from the liquid latex supplier, identifying and stating the physical properties of the latex components. Percentages of solids and water shall be included.

Resubmit the above data each time an asphalt or liquid latex from a different source is proposed.

- E. Where asphalt cement is to be combined at the plant with an asphalt modifier, submit the asphalt cement in accordance with 4.02I.1.05.D above and submit a 10-pound sample of the asphalt modifier together with a certificate from the modifier supplier identifying and stating the physical properties of the modifier.
- F. Submit quality control plan and control charts to the Director of NYCDDC's Quality Assurance Unit, for approval, at least 5 days prior to the start of production.
- G. Submit to Director of NYCDDC's Quality Assurance Unit, the name of the supplier and an analysis of the asphalt tack coat to be used on the project.
- H. Submit smoothness measurements and surface grade survey results to the Engineer prior to application for payment.

PART 2. PRODUCTS

4.02I.2.01. MANUFACTURERS

- A. Manufacturer of asphalt cement shall be approved by the New York State Department (NYSDOT) of Transportation.
- B. Modified Asphalt shall be one of the following. No Substitution is permitted.
 - 1. Stylink - Koch Materials; Gloucester, NJ
 - 2. Vestoplast "S" Modifier - Creanova, Inc.; Sommerset, NJ
 - 3. Kraton - Shell Chemical Co.; Houston, TX
 - 4. Citgoflex SP - Citgo Asphalt Refining Co.; Paulsboro, NJ
 - 5. Polykote - Suite - Kote Corp.; Cortland, NY
 - 6. Elvaloy RET - E.I. DuPont Co.; Wilmington, DE

4.02I.2.02. MATERIALS

- A. Aggregates
 - 1. If the tensile strength ratio of the specimens of composite mixture is less than 80 percent, as specified in 4.02I.1.03.B.6, the aggregates will be rejected unless the Contractor treats the asphalt with an approved anti-stripping agent. The amount of anti-stripping agent added to the asphalt shall be sufficient to produce a tensile strength ratio of not less than 80 percent.
 - 2. Coarse Aggregate - Material retained on the No. 8 sieve.
 - a. Except for use in the top lift of the top course within the roadway pavement edge markings as shown on the Contract Drawings, coarse aggregate shall conform to ASTM D 692, except as follows: use broken stone or crushed gravel having not less than 75 percent by weight of pieces with two or more fractured faces and 85 percent by weight having at least one fractured face (ASTM D 5821), with a maximum of 8 percent of flat or elongated pieces (ASTM D 4791),

with a maximum percentage of wear (ASTM C 131) of 40 percent and with a magnesium sulfate loss (ASTM C 88) of not more than 12 percent for a five-cycle test period.

- b. For the top lift of the top course within the roadway pavement edge markings as shown on the Contract Drawings, coarse aggregate shall conform to ASTM D 692 except as follows: use broken stone which shall be trap rock or gneiss of uniform quality obtained from a source approved by the New York State Department of Transportation (NYSDOT) for use in asphalt concrete. The aggregate shall have not less than 75 percent by weight of pieces with two or more fractured faces, with a maximum of 8 percent of flat or elongated pieces (ASTM D 4791), with a maximum percentage of wear (ASTM C 131) of 30 percent and with a magnesium sulfate loss (ASTM C 88) of not more than 12 percent for a five-cycle test period.
 - c. A flat particle is one having a ratio of width to thickness greater than five; an elongated particle is one having a ratio of length to width greater than five.
3. Fine Aggregate - Material passing the No. 8 sieve and retained on the No. 200 sieve.

Fine aggregate shall be a blend of washed, textured sand and stone screenings conforming to ASTM D 1073, with a maximum percentage of wear (ASTM C 131) of 30 percent and with a magnesium sulfate loss (ASTM C 88) of not more than 18 percent for a five-cycle test period, a plasticity index of not more than 6 and a liquid limit of not more than 25 when tested in accordance with ASTM D 4318. When tested in accordance with ASTM D 2419, the sand equivalent value shall be 35 or greater.

Textured sand shall be defined as a sand which when tested in accordance with ASTM C1252, Method A, results in uncompacted voids greater than 45.0 percent. Sand that has a low texture value and slag shall not be used.

4. Mineral filler shall conform to ASTM D 242 and have a ratio to asphalt by weight not exceeding 1.2.

B. Asphalt

Asphalt shall be one of the following conforming to the requirements of AASHTO M320 and as specified within table entitled "Requirements for Performance Graded Asphalts" for the Performance Grade (PG) as shown on the Contract Drawings:

1. Asphalt Cement

Asphalt cement shall meet the requirements for PG 64-22, unless otherwise shown on Contract Drawings.

2. Modified Asphalt

Modified Asphalt shall be one of the following. No Substitution is permitted.

- a. "Stylink", "Kraton (SEBS)", "Polykoto", "Elvaloy RET", or "Citgoflex SP" meeting the requirements for PG 76-22 as shown on the Contract Drawings.
- b. Asphalt cement modified with "Vestoplast 'S'", may be used where PG 76-22 is specified and subject to the following:
 - (1) Add Vestoplast "S" to the asphalt concrete mixture at a rate of 7 percent by weight of asphalt cement, by substitution.
 - (2) Arrange for the manufacturer to provide a representative at the plant at all times during production to verify that the proper quantity of Vestoplast "S" was added to the asphalt concrete.

C. Tack Coat

Unless otherwise shown on Contract Drawings, asphalt cement shall be as specified in 4.02I.2.02.B.1 above.

D. Resultant Asphalt Cement

Resultant asphalt cement shall be a mixture of new asphalt cement as specified in 4.02I.2.02.B.1 above, asphalt cement extracted from the reclaimed asphalt pavement and recycling agents if required.

REQUIREMENTS FOR PERFORMANCE GRADED ASPHALTS

<u>PERFORMANCE GRADE (PG)</u>	<u>64-22</u>	<u>70-22</u>	<u>76-22</u>	<u>82-22</u>
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These Tests Performed on Original Binder:

Flash Point Temperature (AASHTO T48): Minimum, Degrees Celsius.	230	230	230	230
Dynamic Shear (AASHTO T315):	64	70	76	82
G*/SIN DELTA, Minimum, 1.00 kPa, Test Temperature At 10 Rad/s, Degrees Celsius.				
Rotational Viscosity (ASTM D 4402): Maximum, 3 Pa-s, Test Temperature, Degrees Celsius.	135	135	135	135
Separation Test – R&B (ASTM D 5976): Percent Difference Maximum Degree Celsius.	--	--	4.5	4.5

These Tests Performed on Rolling Thin Film Oven Test (RTFOT) Residue:

Mass Loss (AASHTO T240): Maximum Percent.	1.00	1.00	1.00	1.00
Dynamic Shear (AASHTO T315): G*/SIN DELTA, Minimum, 2.20 kPa, Test Temperature at 10 Rad/s, Degrees Celsius.	64	70	76	82
Elastic Recovery (ASTM D 6084) 25 Degrees Celsius, 5 cm/minute Elongation, 60 Minutes, Minimum Percent.	--	--	50	75

These Tests Performed on Pressure Aging Vessel (PAV) Residue:

PAV Aging Temperature (AASHTO R28): Degrees Celsius.	100	100	100	100
Dynamic Shear (AASHTO T315): G*/SIN DELTA, Maximum, 5000 kPa, Test Temperature at 10 Rad/s, Degrees Celsius.	25	28	31	34
Creep Stiffness (AASHTO T313): S, Maximum, 300 MPa m-Value, Minimum 0.300, Test Temperature at 60 Seconds, Degrees Celsius	-12	-12	-12	-12

4.02I.2.03. MIXES.

A. Mix Design Table

Mix Designation and Percentage by Weight Passing Sieves				
Sieve Size	Plant Mix Macadam Base Course	Bottom Course Mix 1	Top Course Mix 2	Top Course Mix 3
1-1/4"	100	100	---	---
1"	90 - 100	90 - 100	---	---
3/4"	60 - 80	70 - 84	78 - 98	100
1/2"	---	54 - 68	64 - 77	72 - 98
3/8"	15 - 40	44 - 54	52 - 67	60 - 82
No. 4	0 - 10	28 - 36	33 - 46	40 - 56
No. 8	---	17 - 25	23 - 32	28 - 39
No. 16	---	12 - 20	16 - 20	19 - 24
No. 30	---	7 - 15	12 - 16	13 - 19
No. 50	---	5 - 11	8 - 12	8 - 16
No. 100	---	3 - 9	6 - 10	5 - 10
No. 200	---	1 - 5	3 - 6	3 - 6
Asphalt, Weight by Percent of Total Mixture				
	2.5 - 3.1	3.5 - 4.5	4.4 - 5.2	5.2 - 6.2

Note No. 1 - Material passing the No. 200 sieve may consist of fine particles of the aggregate, mineral filler or both. Material passing the No. 30 sieve shall be non-plastic when tested in accordance with the requirements of ASTM D 4318.

Note No. 2 - Lift Thickness shall be no less than two times the nominal maximum size of the aggregate (See Note No. 6).

Note No. 3 - If the aggregate does not satisfy tensile strength ratio requirements, add an approved antistripping agent (See 4.02I.2.02.A.1)

Note No. 4 - Job Mix Formula shall follow a smooth curve within the specified limits for all sieve sizes of the Mix Design Table, but should not fall on the maximum density line and should not cross the maximum density line below the No. 4 sieve. The maximum density line will be determined by plotting the gradations on a 0.45 power graph paper, and drawing a straight line between the amount passing the No. 200 sieve and the amount which is retained on the largest sieve.

Note No. 5 - Notify the Engineer if a satisfactory Job Mix formula using the Mix Design Table cannot be obtained.

Note No. 6 - Nominal maximum size is one sieve size larger than the first sieve to retain more than 10 percent of the aggregate, based on the Contractor's job mix formula.

B. Job Mix Formula and Checklist

1. The laboratory used to develop the job mix formula shall meet the requirements of ASTM D 3666. Before construction starts, submit to the Engineer a certification signed by the manager of the laboratory stating that it meets these requirements. The certification shall contain as a minimum:
 - a. Qualifications of personnel: laboratory manager, supervising technician and testing technicians.
 - b. A listing of equipment to be used in developing the job mix.
 - c. A copy of the laboratory's quality control system.
2. Develop Job Mix Formula using procedures contained in Chapter V, "Marshall Method of Mix Design" of the Asphalt Institute's Manual Series No. 2 (MS-2) and where applicable, "Asphalt Hot-Mix Recycling", Manual Series No. 20 (MS-20) and provide information for the following checklist:
 - a. General
 - (1) Contractor and Contract number
 - (2) Type of bituminous mixture
 - (3) Type and source of aggregates
 - (4) Type and source of asphalt
 - b. Aggregates
 - (1) Sieve analysis of each aggregate to be used in mixture in accordance with ASTM C 136. The minus 200 fraction shall be tested in accordance with ASTM C 117.
 - (2) Physical test of aggregates - soundness, wear, percent fractured faces and percent flat or elongated particles.
 - (3) Bulk specific gravity and absorption in accordance with ASTM C 127 for coarse aggregate and ASTM C 128 for fine aggregate. The aggregate shall be sampled from the plant hot bins or feeder belt.
 - (4) Proportion used of each type aggregate.
 - (5) Theoretical gradation of combined proportions of aggregates.
 - c. Asphalt
 - (1) Type and grade
 - (2) Specific gravity
 - (3) Type of antistripping agent (if required)
 - d. Optimum Asphalt Content Determination in accordance with ASTM D 6926 and ASTM D 6927
 - (1) Compactive effort (75 or 113 blows applied to specimen, each face, as appropriate)
 - (2) Actual specific gravity and unit weight of each specimen
 - (3) Percentage of asphalt in each specimen

- (4) Theoretical specific gravity of each specimen calculated
 - (5) Graph of stabilities vs. asphalt content
 - (6) Graph of flow values vs. asphalt content
 - (7) Graph of voids filled with asphalt vs. asphalt content
 - (8) Graph of Marshall air voids vs. asphalt content
 - (9) Graph of voids in the mineral aggregate vs. asphalt content.
 - (10) Graph of unit weight vs. asphalt content
 - (11) Visual description of specimens at optimum asphalt content (i.e., dry, flushing, etc.)
 - (12) Graph of Temperature vs. Viscosity of Asphalt
- e. Summation of Established Job Mix Formula
- (1) Combined gradation of aggregates
 - (2) Optimum asphalt content from above graphs
 - (3) Specified job mix tolerance range
 - (4) Mixing Temperature
 - (5) Temperature of mix at point of discharge into haul units
 - (6) Compaction Temperature
- f. Summation of the Characteristics of the Mixture at Optimum Asphalt Content
- (1) Stability, pounds
 - (2) Flow value, hundredths of an inch
 - (3) Actual specific gravity of laboratory compacted mixture
 - (4) Maximum specific gravity of paving mix at optimum asphalt content in accordance with ASTM D 2041
 - (5) Total voids (air), percent, in laboratory compacted mixture
 - (6) Voids filled with asphalt, percent, in laboratory compacted mixture
 - (7) Voids in the mineral aggregate, percent, in laboratory compacted mixture.
 - (8) Actual unit weight, lbs./cu. ft. of laboratory compacted mixture
 - (9) Tensile Strength Ratio - ASTM D 4867

g. Verification of Job Mix Formula Characteristics

Where the asphalt content for the proposed Job Mix Formula does not coincide with the asphalt content used in the trial specimens, prepare an additional set of specimens for the proposed Job Mix Formula asphalt content to verify that actual Marshall results duplicate those anticipated from the curves.

PART 3. EXECUTION**4.02I.3.01 PREPARATION.**

- A. Clean all underlying pavement surfaces and previous courses of all loose and foreign material by sweeping with hand brooms, power sweepers or blowers as directed by the Engineer.
- B. Verify that required grade and density tolerances of previous courses have been obtained before placing asphalt concrete.
- C. Construct keyways for overlay pavements as shown on the Contract Drawings by using longitudinal or transverse cuts into the existing pavement, and removing the necessary amount of pavement to provide a smooth transition from the new to existing asphalt concrete surfaces.
- D. Verify in-pavement castings are set to finished grade.
- E. Remove pavement markings.
- F. Tack Coat
 - 1. Apply tack coat by brush on edges of all previously placed or existing pavement and surfaces of manholes and other structures that will be in contact with pavement course to be placed.
 - 2. Apply tack coat by pressure distributor to existing pavement surface to receive new pavement course or where a course is not placed within 24 hours for roadway areas after placement of the underlying course, except as specified in 4.02I.3.02.E.7 and F.2. However, if the underlying pavement course has not been exposed to traffic and, in the opinion of the Engineer, is kept clean, tack coat shall not be required when a course is placed beyond the time frame mentioned above.
 - 3. Unless otherwise shown on the Contract Drawings, apply tack coat at a rate of 0.05 to 0.10 gallons per square yard on asphalt concrete pavement surfaces and 0.10 to 0.15 gallons per square yard on Portland Cement Concrete pavement surfaces. Apply tack coat at a temperature between 275 to 350 degrees F.
 - 4. Protect structures such as manhole frames and covers, joints and steel faced curbs within areas to be tack coated, prior to tack coating, by masking them with tar paper, polyethylene film or other approved materials.
 - 5. After the application of tack coat, follow immediately with placement of asphalt concrete pavement course. Take precautions necessary to maintain and protect the tack coated surface from damage until the next course is placed, including placement and removal of sand as necessary to blot up excess material.
 - 6. Pave and protect all tack coated surfaces prior to opening the area to traffic.
- G. Mixing Plant Requirements for Recycled Asphalt Concrete
 - 1. Batch Plants shall have an appropriately located metering device for adding the reclaimed asphalt pavement to the heated new aggregate and shall provide an accurate method for proportioning the reclaimed asphalt pavement into the mixture.

2. The batch plant's dryer may have to be operated at temperatures higher than with all new materials. If necessary, modify the dryer and the dust collection system to prevent damage.
3. Drum-mix plants shall have an appropriately located metering device for adding the reclaimed asphalt concrete to the dryer-mixer in a manner that does not damage the asphalt in the reclaimed material. Ensure that an accurate method for proportioning the reclaimed asphalt concrete into the mixture is provided. Make provisions for compensating for moisture in reclaimed asphalt concrete.
4. The mixing for a drum-mix plant shall be such as to achieve an intimate blending of new and reclaimed materials and a complete coating of all aggregate particles.
5. The batch or drum-mix plant may be equipped with a surge-storage bin at the mixture discharge point.

4.02I.3.02. APPLICATION.

A. Mixing in Plant

1. Place aggregate through a dryer and heat to temperature not exceeding 350 degrees F.
2. Screen aggregate to appropriate fractions and place each fraction in a storage bin over mixer unit.
3. Use equipment conforming to ASTM D 995 for preparation of paving mixtures, except provide one bin for fine aggregate, three for coarse aggregate and one for reclaimed asphalt pavement (if applicable).
4. Introduce aggregate into mixer at between 250 and 350 degrees F and dry mix for minimum of 5 seconds (7 seconds for mixtures containing Vestoplast "S") before adding asphalt. Where reclaimed asphalt pavement or Vestoplast "S" is used, add to mixer after dry mixing and before asphalt cement.
5. Heat asphalt to a temperature not exceeding 325 degrees F (350 degrees F for modified asphalt) and introduce it into mixer at a temperature of not less than 275 degrees F (300 degrees F for modified asphalt).
6. Mix as long as necessary, but not less than 30 seconds after introduction of asphalt cement, to completely and uniformly coat aggregate particles.
7. Regulate temperature of mixture according to outdoor temperature and as necessary to meet minimum laydown temperatures specified in 4.02I.1.03.A.3. However, asphalt concrete mix production temperatures leaving the plant shall be as follows:

Type of Asphalt	Temperature Degrees F
Asphalt Cement	275 - 325
Modified Asphalt- PG 76-22	305 - 335
Modified Asphalt - PG 82-22	310 - 340

Any deviation from these temperatures must be as approved by the Engineer.

8. Use of storage silos will be permitted provided such silos are approved as specified in 4.02I.1.04.D.4.

B. Delivery, Placing and Spreading

1. Trucks used for hauling asphalt concrete mixtures shall have tight, clean, and smooth metal beds free from kerosene and other solvents. To prevent the mixture from adhering to them, the truck beds shall be lightly coated with a minimum amount of paraffin oil, lime solution, or other approved material. Each truck shall have a suitable cover to protect the mixture from adverse weather. When necessary, to ensure that the mixture will be delivered to the site at the specified temperature, truck beds shall be insulated or heated and covers shall be securely fastened.
2. Load trucks using a minimum of three drops. About 40 percent of the total weight of the mix to be hauled shall be loaded into the center of the front half of the truck. The truck shall then be pulled forward so that the next 40 percent or so of the total load can be deposited into the center of the back half of the bed, near the tailgate. The vehicle shall then be moved backward so that the remaining 20 percent of the mix can be dropped into the center of the bed, between the first two piles.
3. Deliveries shall be scheduled so that placing and compacting of mixture is uniform without stopping and starting of the paver. Hauling over freshly placed material shall not be permitted until the material has been compacted, as specified herein, and allowed to cool to ambient temperature.
4. Spread evenly, screed and finish each course to tolerances and requirements specified in this Section.
5. Asphalt concrete pavers shall be self-propelled, with an activated screed, heated as necessary, and shall be capable of spreading and finishing courses of asphalt concrete which will meet the specified thickness, smoothness, and grade. The paver shall have sufficient power to propel itself and the hauling equipment without adversely affecting the finished surface.

The paver shall have a receiving hopper of sufficient capacity to permit a uniform spreading operation. The hopper shall be equipped with a distribution system to place the mixture uniformly in front of the screed without segregation. The screed shall effectively produce a finished surface of the required smoothness and texture without tearing, shoving, or gouging the mixture.

6. An automatic screed control system shall be used which is capable of automatically maintaining the specified screed elevation and transverse slope. The control system shall be automatically actuated from a reference system by a sensors.

The control system shall be capable of working in conjunction with any of the following reference systems:

- a. Ski-type device 40 to 60 feet in length.
- b. Taut stringline (wire) set to grade.
- c. Laser beam set to grade.

Automatic controls will not be required where the Contractor can demonstrate to the satisfaction of the Engineer that it can not be used effectively.

7. Before commencing each day's paving, clean the paver of any material left from previous paving operations.
8. Place in minimum of 10-foot wide strips, except that the last strip may be a lesser width if necessary. The length of paving strip shall be estimated by the following guide: 10 times the ambient temperature (degrees F) plus 100 feet. The Engineer will determine the final length.
9. Begin along high sides of areas and proceed towards low side of areas with a one directional slope. Start on centerline and work both ways for crowned pavements.

10. The longitudinal joint in one lift shall offset the longitudinal joint in the lift immediately below by at least 1 foot; however, the longitudinal joint in the surface lift shall be at the centerline of the pavement. Transverse joints in one lift shall be offset by at least 10 feet from transverse joints in the previous lift. Within the same lift, transverse joints in adjacent lanes shall be offset a minimum of 10 feet.
11. When starting paving operations at transverse joints, provide four starting block strips under full length of paver screed. Blocks should be of a thickness appropriate for the lift being placed.
12. The paver's hopper shall be kept half full throughout the paving day. The wings shall not be emptied until the end of the paving day. Residual material shall be disposed of off the site.
13. No raked asphalt concrete material shall be broadcast back onto the pavement mat. Raked material shall be placed back in the paver hopper or disposed of.
14. Use hand placing and finishing methods, as approved by the Engineer, in small areas where use of power equipment is impractical. Lutes shall be used for hand spreading.
15. Provide paving irons and heating equipment for use during paving operations and where necessary to improve the surface conditions, as approved by the Engineer.

C. Grade Control

1. Remove grade stakes just prior to rolling of the plant mix macadam base course.
2. The Engineer will provide bench marks and alignment controls adjacent to each area of construction, which shall be checked and maintained by the Contractor.
3. When placing overlays where automatic grade controls are not required, the thickness of each lift to be placed shall be marked on the pavement adjacent to each side of the paving machine at each location where final pavement grades are shown on the Contract Drawings.
4. Perform Contractor's quality control surveys immediately after top course has been rolled to check final surface grades, in accordance with 4.02I.1.04.D.8.
5. Establish and maintain required lines and grades, including crown and cross slope, for each course during paving operations.
6. Use only qualified surveyors licensed in the State in which Work is being performed.

D. Compaction Equipment

1. Use power rollers weighing not less than 10 tons, having wheel loads of at least 250 lbs./linear inch of combined static and dynamic force and equipped with adjustable scrapers to keep wheel surfaces clean and with efficient means of keeping them wet to prevent the mixture from sticking to the roller.
2. Use types and quantities of equipment as necessary to meet all quality and production requirements of this Section.
3. Do not use steel rollers with pits, flat spots or grooves worn into rolling surface. Roller shall be capable of reversing without backlash.
4. Keep roller on asphalt concrete to avoid contamination of pavement with foreign material.

E. Rolling

1. Commence as soon as material will sustain roller without undue displacement, cracking or shoving.

2. The speed of the roller shall at all times be sufficiently slow to avoid displacement of the hot mixture and be effective in compaction. Any displacement occurring as a result of reversing the direction of the roller, or from any other cause, shall be immediately corrected by the Contractor.
3. The sequence of rolling operations shall be at the discretion of the Contractor.
4. Perform rolling with types and quantity of rollers as may be necessary to satisfy all of the pavement quality requirements specified herein.
5. Do not re-roll cold in-place asphalt concrete with a steel wheel or vibratory roller to attempt to increase density. A pneumatic tire roller may be used, subject to approval by the Engineer.
6. At end of each day's operations or when paving is interrupted sufficiently to allow mixture to cool, make a stop by means of tapering the course and form a transverse joint.
7. When resuming operations, cut back joint to expose a granular surface for full depth of the course, paint exposed edge with tack coat, place fresh mixture against joint, tamp and roll.
8. Any mixture that becomes loose and broken or mixed with dirt, develops check-cracking, or is in any way defective shall be removed and replaced with fresh hot mixture and immediately compacted to conform to the surrounding area. This work shall be done at no cost to the City. Skin patching shall not be allowed.

F. Joints

1. Form all joints in such a manner as to ensure a continuous bond between the courses and to obtain the required density, as demonstrate, tested and approved in the control strip. All joints shall have the same texture as other sections of the course and shall meet the requirements for density, smoothness and grade. Minimize raking of joints. Any mix raked from joint shall be discarded and not broadcast back onto the mat.
2. For transverse joints, the roller shall not pass over the unprotected end of the freshly laid mixture except when necessary to form a temporary stop. After a temporary stop and prior to the continuation of paving, the tapered edge shall be cut back to its full depth and width on a straight line to expose a vertical face before placing the adjacent pavement. Apply tack coat on all newly exposed contact surfaces before placing any fresh mixture against the joint.

G. Feather-Edge

1. When required by the Contract Drawings, the course shall be feather-edged following the "feather-edge" lines shown on the Contract Drawings.
2. The feather-edging shall be accomplished by decreasing the full thickness of the top course in order to maintain the required profile until the course thickness is approximately 1/2 inch.
3. The remainder of the course shall be placed by broadcasting the mix for purpose of segregating the large aggregate which shall be promptly removed. This shall be immediately followed by the rolling operation.

4.021.3.03. CORRECTIONS OF DEFICIENCIES.

A. Deficiencies in Surface Smoothness and Grade Tolerance of Top Course.

1. In the event surface smoothness and surface grades fail to comply with the "In-Place Pavement Requirements", specified in 4.021.1.03.D.1 when measured in accordance with 4.021.1.04.D.7 and D.8, make corrections as specified below at no additional cost to the City.

2. The area of deficiencies in surface smoothness and/or surface grade tolerance shall be defined as the area enclosed by a line of points half way between the grade in excess of the specified tolerance and the next finished grade shown on the Contract Drawings that meets the specified tolerance, both longitudinally and transversely. The area will be determined by the Engineer from the field survey specified in 4.02I.1.04.D.7 and D.8.
3. Replace pavement deficient in surface smoothness and surface grade tolerance requirements or, at the option of the Engineer, add overlays where required to correct deficiencies in accordance with all applicable requirements of the Contract Drawings and this Section, at times approved by the Engineer, so as not to interfere with operations of the City or others using the area. A minimum thickness of 1-1/2 inches shall be placed as an overlay. Existing pavement shall be removed as necessary to provide square joints for the full depth of the overlay.
4. Where the deficiency in surface grade tolerance is in excess of 0.045 foot above or below the grade shown on the Contract Drawings but where a contour pattern satisfying riding quality and drainage as shown on the Contract Drawings has been established to the satisfaction of the Engineer, pavement may be left in place, subject to the requirements of 4.02I.4.01.C. and 4.02I.4.03.B.

B. Deficiencies in In-Place Air Voids of Top and Bottom Courses.

1. Any pavement subplot with in-place air voids less than 2.0 percent or greater than 8.0 percent (9.0 percent for bottom course) shall be removed and replaced at no additional cost to the City. For paving lifts other than the top lift of asphalt concrete the Engineer may elect to accept deficient material subject to an adjustment to Contract compensation. Adjustments to contract compensation will be made as set forth in 4.02I.4.01.G.
2. In-place air voids will be calculated in accordance with ASTM D 3203 by comparing bulk specific gravity (ASTM D2726) to maximum specific gravity (ASTM D2041).

C. Deficiency in Marshall Stability and Flow

In accordance with 4.02I.1.03.C.2 for Marshall stability and flow, if the Percentage of Material Within Tolerance Limits (PWL) of a lot for either parameter as set forth in 4.02I.4.03.B.5 equals or exceeds 90 percent, the lot shall be acceptable. If the PWL for either parameter is less than 90 percent, determine the reason and take corrective action immediately. If the PWL is below 80 percent for either parameter, stop production and make adjustments to the mix.

D. Deficiency in Final Surface Grade

1. When more than 15 percent of all measurements within a lot exceed the grade tolerance, measured in accordance with 4.02I.1.04.E.11, remove and replace or diamond grind the entire lot in accordance with 4.02I.3.03.A.
2. When any individual measurement exceeds a grade tolerance of plus or minus 0.06 foot, remove and replace the surfact of the area exceeding the tolerance in accordance with 4.02I.3.03.A.
3. Following the correction of deficiencies, the Engineer will retest the final surface of the asphalt concrete. In the event grade tolerance is exceeded, make additional corrections at no cost to the City.

E. Deficiencies in the Performance Grade Requirements of Modified Asphalt

Remove and replace the deficient material on a lot basis, unless the Engineer elects to accept the deficient material subject to an adjustment to Contract compensation. Adjustments to Contract compensation will be made as set forth in 4.02I.4.01.G.

PART 4. ADJUSTMENTS TO CONTRACT COMPENSATION**4.02I.4.01. GENERAL.**

- A. Where the Contract requires less than 500 tons of asphalt concrete, no adjustments to Contract compensation will be made as specified herein.
- B. Where the Contract requires more than 500 tons of asphalt concrete, adjustments to Contract compensation, if made, will be made as specified in 4.02I.4.03.A, B, C, D, and E.
- C. Notwithstanding other adjustments to Contract compensation or corrections specified herein for various deficiencies, no payment will be made for material placed above the allowable tolerance above required grade as specified in 4.02I.1.03.D.4, or for material that must be removed to correct deficiencies, or for that material placed in excess of the plus tolerance for the total thickness of each course as specified in 4.02I.1.03.D.3.
- D. The computations for adjustments to Contract compensation may require conversion between tons and square yards. Such conversion will be made using the actual computed weight per square yard per inch of thickness determined from the lot's average bulk specific gravity for each type of course times the density of water at 70 degrees F.
- E. Adjustments to Contract compensation for in-place mat densities, in-place joint densities for surface courses and Marshall air voids shall be determined by applying percentages, calculated as specified in 4.02I.4.03.B, to the assigned unit price of sixty dollars (\$60.00) per ton of asphalt concrete; or in the case of Classified Work, by applying such percentages to the actual Contract Unit Price bid for the appropriate asphalt concrete item in the Schedule of Unit Prices For Classified Work, but in no case less than sixty dollars (\$60.00) per ton of asphalt concrete.
- F. The percentage adjustment to the unit price specified in 4.02I.4.01.E for Marshall air voids, mat density and joint density of the surface course shall be as follows, except as set forth in 4.02I.4.01.G:

When all values calculated in accordance with 4.02I.4.03.B are 100 percent or greater, the highest value will be used to adjust Contract compensation.

When all values calculated in accordance with 4.02I.4.03.B are less than 100 percent, the lowest value will be used to adjust Contract compensation.

When values calculated in accordance with 4.02I.4.03.B are both greater and less than 100 percent, the product of the highest and lowest value will be used to adjust Contract compensation.
- G. When the Engineer elects to adjust Contract compensation in lieu of removal and replacement of material with deficient in-place mat air voids in shoulder and in-field area pavements or deficiencies in the modified asphalt performance grade, the percentage adjustment to the unit price specified in 4.02I.4.01.E will be set at 50 percent. No further adjustment will be made for Marshall air voids, mat density or joint density of the surface course.
- H. Adjustments for surface smoothness and final surface grade will be based on the final test results, which will be determined after the correction of deficiencies. Reductions in payment will be determined by the following:

$$R = A \times D \times F \times 0.01938$$

Where:

R = Reduction in payment per lot for surface smoothness or final surface grade, dollars

A = Area of lot, square feet

D = Price per ton of asphalt concrete, assigned unit price or contract unit price as set forth in 4.02I.4.01.E.

F = Contract Unit Price Adjustment Factor specified in 4.02I.4.03.D and 4.02I.4.03.E.

Reductions in payment for failure to meet surface smoothness and final surface grades will be calculated separately for the entire pavement or overlay surface. Deductions from Contract compensation will be made for the requirement (surface smoothness or final surface grade) which results in the greatest payment reduction. Reductions for surface smoothness or final surface grade will be in addition to all other adjustments to Contract compensation.

- I. When the Engineer elects to adjust Contract compensation in lieu of correcting areas with deficiencies in surface smoothness, the Contract Unit Price Adjustment Factor specified in 4.02I.4.01.H will set at 0.10.

4.02I.4.02. ADJUSTMENT TO CONTRACT COMPENSATION FOR THICKNESS DEFICIENCY IN PLANT MIX MACADAM BASE COURSE.

- A. Where the deficiency of a plant mix macadam base course core exceeds the allowable minus tolerance in plant mix macadam base course thickness, one additional core will be taken by the Engineer in each of the other three sublots adjacent to the core taken in accordance with 4.02I.1.04.E.4. Plant mix thickness for the area of entire lot will be the average of the four cores⁶. Where there is a deficiency in the average of the four cores in excess of the allowable minus tolerance, the total thickness of succeeding courses in the area of entire lot shall be increased by the amount by which the deficiency exceeds the allowable tolerance.
- B. For each additional lot resampled in accordance with 4.02I.4.02.A above, there will be deducted from the Contract compensation, in addition to adjustments for deficiencies as hereinafter specified, an amount of One Thousand Dollars (\$1,000.00).

⁶ In determining average thickness, if any core exceeds required thickness by more than 1/2 inch, the thickness of that core will be assumed to be 1/2 inch in excess of required thickness.

4.02I.4.03. ADJUSTMENT TO CONTRACT COMPENSATION FOR DEFICIENCIES IN TOP AND BOTTOM COURSES.

A. Deficiency in Thickness

Thickness of each course, excluding overlay, will be the average of the four cores in the lot for each course⁷. Deductions from Contract compensation for deficiencies in thickness of top course or total of top and bottom courses, modified as may be required by 4.02I.4.02.A, will be the following amounts (deducted per square yard) for the entire area lot:

Amount of Minus Deficiency (inches)	Amount of Payment Deduction (Dollars per Square Yard)
Greater than 1/4 and up to 1/2	1.00
Greater than 1/2 and up to 3/4	2.00

For minus deficiencies in excess of 3/4 inch either in average of four cores or in any individual core, at the Engineer's option, remove and replace deficient pavement or place an overlay that will satisfy all requirements of this Section.

B. Deficiency in In-Place Mat Density, In-Place Joint Densities and Marshall Air Voids

1. Top and bottom courses will be evaluated on a lot basis with each lot coinciding with that defined in 4.02I.1.04.D.1.a.
2. Density
 - a. In-place mat density specified in 4.02I.1.03.D.2.a will be evaluated for Section compliance using the average of the random subplot in-place density determinations from cores of the area covered by the lot specified in 4.02I.1.04.D.1.a.
 - b. In-place joint density specified in 4.02I.1.03.D.2.b will be evaluated for Section compliance using the average of random subplot in place joint density determinations from cores of the area covered by the lot specified in 4.02I.1.04.D.1.a.
3. Marshall air voids will be evaluated for Section compliance using the average of the random subplot void determinations from hot mix samples from the lot specified in 4.02I.1.04.D.1.a.
4. The Engineer will check each lot for in-place mat densities, in-place joint densities for surface courses only, and Marshall air voids with adjustments to Contract compensation based on the Percentage of Material Within Tolerance Limits (PWL) as determined by 4.02I.4.03.B.5 below and by the "Table For Estimating Percent of Lot Within Tolerance Limits-PWL (Standard Deviation Method)". No deficient lot will be approved without an adjustment to Contract compensation made in accordance with the tables entitled "Adjustments to Contract Compensation For In-Place Mat Density and Marshall Air Voids", and "Adjustments to Contract Compensation for In-Place Joint Density".

⁷ In determining average thickness, if any core exceeds required thickness by more than 1/4 inch, the thickness of that core will be assumed to be 1/4 inch in excess of required thickness.

5. Method of estimating Percentage of Material within Tolerance Limits (PWL):

- a. Locate sampling positions on the lot by use of random sampling procedures specified in FAA ERLPM, Section 6.
- b. Make a measurement at each location, or take a test portion and make the measurement on the test portion in accordance with 4.02I.1.04.D and E.
- c. Determine the average value of all samples (\bar{X})
- d. Find the standard deviation (S_n) by use of the following formula:

$$S_n = \sqrt{d_1^2 + d_2^2 + d_3^2 + \dots + d_n^2 / n - 1}$$

Where

S_n = standard deviation of the number in the set

d_1, d_2, \dots = deviation of the individual sample values X_1, X_2, \dots from the average value that is,

$$d_1 = (X_1 - \bar{X}), d_2 = (X_2 - \bar{X}), d_n = (X_n - \bar{X})$$

n = number of sublots

- e. Find the Lower Quality Index (Q_L) by subtracting the lower tolerance limit (L) from the average values (\bar{X}) and dividing the result by standard deviation (S_n).

$$Q_L = \frac{\bar{X} - L}{S_n}$$

- f. Find the Upper Quality Index (Q_U) by subtracting the average value (\bar{X}) from the upper tolerance limit (U) and dividing the result by standard deviation (S_n).

$$Q_U = \frac{U - \bar{X}}{S_n}$$

- g. The percentage of material above lower tolerance limit (P_L) and the percentage of material below upper tolerance limit (P_U) will be found by entering the "Table For Estimating Percent of Lot Within Tolerance Limits - PWL (Standard Deviation Method)" with Q_L and Q_U , using the column appropriate to the total number (n) of sublots and reading the number under the column headed "Percent Within Tolerance Limits (PWL)". If the values fall between values shown on the table, use the next higher value for P_L or P_U .
- h. For asphalt concrete properties with only a lower tolerance limit (stability, mat density, joint density), the Percentage of Material Within Tolerance Limits (PWL) equals P_L . For asphalt properties with upper and lower tolerance limits (air voids and flow) determine PWL using the following formula:

$$PWL = (P_U + P_L) - 100$$

- i. If the tests within a lot include a very large or a very small value which appears to be outside the limits of variation, the Engineer will check for an outlier in accordance with ASTM E 178, at a significance level of 5 percent, to determine if this value will be discarded when computing Percentage of Material Within Tolerance Limits (PWL).

- C. Adjustment to Contract compensation for each lot will be made in accordance with the formula contained in the table entitled "Adjustment to Contract Compensation For In Place Mat Density and Marshall Air Voids" by entering the appropriate row with the value of PWL and performing the calculation indicated for that PWL to determine the percentage adjustment of the unit price (specified in 4.02I.4.01.E).

ADJUSTMENT TO CONTRACT COMPENSATION FOR IN-PLACE MAT DENSITY AND MARSHALL AIR VOIDS	
Percentage of Material Within Tolerance Limits (PWL)	Percentage Adjustment of the Unit Price (specified in 4.01 E and 4.01 F)
96-100	106
90-96	PWL + 10
80-90	0.5 (PWL) + 55
65-80	2.0 (PWL) - 65
Below 65	⁸

- D. Deficiency in Final Surface Grade Tolerance

Adjustment to Contract Compensation for each lot will be made using the table entitled "Adjustment to Contract Compensation for Exceeding Final Surface Grade Tolerance" by entering the appropriate row with the percentage of all measurements within a lot which exceed the grade tolerance, measured in accordance with 4.02I.1.04.E.11, and reading the number under the column headed "Contract Unit Price Adjustment Factor".

ADJUSTMENT TO CONTRACT COMPENSATION FOR EXCEEDING FINAL SURFACE GRADE TOLERANCE	
Measurements Exceeding Grade Tolerance (Percent)	Contract Unit Price Adjustment Factor
0.0 – 5.0	0
5.1 – 10.0	0.05
10.1 – 15.0	0.25
15.1 and up	Corrective Work Required as specified in 4.02I.3.03.D.

The Contract Unit Price Adjustment Factor is used to calculate adjustments to Contract Compensation as Specified in 4.02I.4.01.H.

⁸

Remove and replace the lot to meet Section requirements as ordered by the Engineer. In lieu thereof, and subject to the provisions in 4.02I.3.03.B for mandatory removal and replacement, the Contractor and the Engineer may agree in writing that, for purposes of practicality, the deficient lot shall not be removed and adjustment to Contract compensation shall be made at 50 percent of the unit price specified in 4.02I.4.01.E.

E. Deficiency in Surface Smoothness

Adjustment to Contract Compensation for each lot will be made using the table entitled "Adjustment to Contract Compensation for Surface Smoothness", by entering the appropriate row with the Profile Index, measured and calculated in accordance with 4.02I.1.04.E.10., and reading the number under the column headed "Contract Unit Price Adjustment Factor".

ADJUSTMENT TO CONTRACT COMPENSATION FOR SURFACE SMOOTHNESS	
Average Profile Index (inches per mile)	Contract Unit Price Adjustment Factor
0.0 - 15.0	0.00
15.1 - 16.0	.02
16.1 - 17.0	.04
17.1 - 18.0	.06
18.1 - 20.0	.08
20.1 - 22.0	.10
22.1 and up	corrective work required as specified in 4.02I.3.03.A

The Contract Unit Price Adjustment Factor is used to calculate adjustments to Contract Compensation as Specified in 4.02I.4.01.H.

- F. Adjustment to Contract compensation for each lot will be made in accordance with the formula contained in the table entitled "Adjustment to Contract Compensation for In-Place Joint Density" by entering the appropriate row with the value of PWL and performing the calculation indicated for that PWL to determine the percentage adjustment of the unit price (specified in 4.02I.4.01.E.).

ADJUSTMENT TO CONTRACT COMPENSATION FOR IN-PLACE JOINT DENSITY	
Percentage of Material Within Tolerance Limits (PWL)	Percentage Adjustment of the Unit Price (specified in 4.02I.4.01.E and 4.01.F)
96 - 100	106
90 - 96	$PWL + 10$
80 - 90	$0.25 \times PWL + 77.5$
65 - 80	$PWL + 17.5$
Below 65	9

⁹ Remove and replace the lot to meet Section requirements as ordered by the Engineer. In lieu thereof, and subject to the provisions in 4.02I.3.03.B for mandatory removal and replacement, the Contractor and the Engineer may agree in writing that, for purposes of practicality, the deficient lot shall not be removed and adjustment to Contract compensation shall be made at 75 percent of the unit price specified in 4.02I.4.01.E.

TABLE FOR ESTIMATING PERCENT OF LOT WITHIN TOLERANCE LIMITS - PWL
(STANDARD DEVIATION METHOD)

<u>Percent Within Tolerance Limits (PWL)</u>	<u>Positive Values of Q_L or Q_U (n=Number of Sublots)</u>					
	<u>n=3</u>	<u>n=4</u>	<u>n=5</u>	<u>n=6</u>	<u>n=7</u>	<u>n=8</u>
99	1.1541	1.4700	1.6714	1.8008	1.8888	1.9520
98	1.1524	1.4400	1.6016	1.6982	1.7612	1.8053
97	1.1496	1.4100	1.5427	1.6181	1.6661	1.6993
96	1.1456	1.3800	1.4897	1.5497	1.5871	1.6127
95	1.1405	1.3500	1.4407	1.4887	1.5181	1.5381
94	1.1342	1.3200	1.3946	1.4329	1.4561	1.4716
93	1.1269	1.2900	1.3508	1.3810	1.3991	1.4112
92	1.1184	1.2600	1.3088	1.3323	1.3461	1.3554
91	1.1089	1.2300	1.2683	1.2860	1.2964	1.3032
90	1.0982	1.2000	1.2290	1.2419	1.2492	1.2541
89	1.0864	1.1700	1.1909	1.1995	1.2043	1.2075
88	1.0736	1.1400	1.1537	1.1587	1.1613	1.1630
87	1.0597	1.1100	1.1173	1.1191	1.1199	1.1204
86	1.0448	1.0800	1.0817	1.0808	1.0800	1.0794
85	1.0288	1.0500	1.0467	1.0435	1.0413	1.0399
84	1.0119	1.0200	1.0124	1.0071	1.0037	1.0015
83	0.9939	0.9900	0.9785	0.9715	0.9672	0.9643
82	0.9749	0.9600	0.9452	0.9367	0.9325	0.9281
81	0.9550	0.9300	0.9123	0.9025	0.8966	0.8928
80	0.9342	0.9000	0.8799	0.8690	0.8625	0.8583
79	0.9124	0.8700	0.8478	0.8360	0.8291	0.8245
78	0.8897	0.8400	0.8160	0.8036	0.7962	0.7915
77	0.8662	0.8100	0.7846	0.7716	0.7640	0.7590
76	0.8417	0.7800	0.7535	0.7401	0.7322	0.7271
75	0.8165	0.7500	0.7226	0.7089	0.7009	0.6958

TABLE FOR ESTIMATING PERCENT OF LOT WITHIN TOLERANCE LIMITS - PWL
(STANDARD DEVIATION METHOD)

<u>Percent Within Tolerance Limits (PWL)</u>	<u>Positive Values of Q_L or Q_U (n=Number of Sublots)</u>					
	<u>n=3</u>	<u>n=4</u>	<u>n=5</u>	<u>n=6</u>	<u>n=7</u>	<u>n=8</u>
74	0.7904	0.7200	0.6921	0.6781	0.6701	0.6649
73	0.7636	0.6900	0.6617	0.6477	0.6396	0.6344
72	0.7360	0.6600	0.6316	0.6176	0.6095	0.6044
71	0.7077	0.6300	0.6016	0.5878	0.5798	0.5747
70	0.6787	0.6000	0.5719	0.5583	0.5504	0.5454
69	0.6490	0.5700	0.5423	0.5290	0.5213	0.5164
68	0.6187	0.5400	0.5129	0.4999	0.4924	0.4877
67	0.5878	0.5100	0.4836	0.4710	0.4638	0.4592
66	0.5563	0.4800	0.4545	0.4424	0.4354	0.4310
65	0.5242	0.4500	0.4255	0.4139	0.4073	0.4031
64	0.4916	0.4200	0.3967	0.3856	0.3793	0.3753
63	0.4586	0.3900	0.3679	0.3575	0.3515	0.3477
62	0.4251	0.3600	0.3392	0.3295	0.3239	0.3203
61	0.3911	0.3300	0.3107	0.3016	0.2964	0.2931
60	0.3568	0.3000	0.2822	0.2738	0.2691	0.2660
59	0.3222	0.2700	0.2537	0.2461	0.2418	0.2391
58	0.2872	0.2400	0.2254	0.2186	0.2147	0.2122
57	0.2519	0.2100	0.1971	0.1911	0.1877	0.1855
56	0.2164	0.1800	0.1688	0.1636	0.1613	0.1592
55	0.1806	0.1500	0.1408	0.1363	0.1338	0.1322
54	0.1447	0.1200	0.1125	0.1090	0.1070	0.1057
53	0.1087	0.0900	0.0843	0.0817	0.0802	0.0792
52	0.0725	0.0600	0.0562	0.0544	0.0534	0.0528
51	0.0363	0.0300	0.0281	0.0272	0.0267	0.0264
50	0.0	0.0	0.0	0.0	0.0	0.0

G. Additional Tests

1. In the event the Contractor elects to question the original density test results obtained from a particular lot for either the mat density or joint density, the Contractor may request additional testing of that lot in writing within 48 hours of receipt of the written test results from the Engineer. Upon written request received from the Contractor for such additional testing, the Engineer will test one additional sample from each sublot from randomly selected locations in the pavement where the lot was placed. The redefined test will consist of the Engineer's original samples and the additional Contractor's requested samples. The "Percent of Material Within Tolerance Limits - PWL" will be determined in accordance with 4.02I.4.03.B.5. The value will be used to determine any adjustment to Contract compensation. Only one resampling per lot will be permitted.
2. Additional tests requested by the Contractor shall be paid for by the Contractor to the City at a cost of One Thousand Dollars (\$1,000) per lot tested.

PART 5. MEASUREMENT AND PAYMENT

4.02I.5.01. MEASUREMENT. In determining the area of wearing course or Macadam base course to be paid for, the areas of the spaces occupied by rails, bases of columns, manhole heads, gate boxes, roadway boxes and similar structures will be deducted when they measure more than one (1) square foot and will not be deducted when they measure one (1) square foot or less.

The measured quantity of wearing courses, laid to a specified thickness on an area basis, will be adjusted for deficiencies in accordance with Subsection 4.02I.4.01.

4.02I.6.01. PRICES TO COVER. The unit price bid for

- (A) Asphaltic Concrete Wearing Course, Type I-4, per square yard
- (B) Asphaltic Macadam Base, Type I-1, per square yard

shall cover the cost of all labor, materials and equipment required to furnish and lay the wearing course or Macadam base course, of the Type specified, complete, in full compliance with the requirements of the specifications, to furnish and lay test strips, to furnish such samples for testing, to take core samples for testing, to perform quality control testing in compliance with these specifications, and to provide such testing equipment, laboratory space and facilities as may be required and to maintain the courses or mixtures, as laid, in good condition as specified in Subsection 1.05.5 of the Standard Specifications.

No separate payment will be made for the cost of furnishing and applying of tack coat as directed under Subsection 4.02I.3.01.(F) above.

Payment will be made under:

Item No.	Item	Pay Unit
4.02 I4-2	ASPHALTIC CONCRETE WEARING COURSE, TYPE I-4 MIX, 2" THICK	S.Y.
4.02 I4-3	ASPHALTIC CONCRETE WEARING COURSE, TYPE I-4 MIX, 3" THICK	S.Y.
4.02 I1-4	ASPHALTIC MACADAM BASE COURSE, TYPE I-1 MIX, 4" THICK	S.Y.
4.02 I1-6	ASPHALTIC MACADAM BASE COURSE, TYPE I-1 MIX, 6" THICK	S.Y.

4. SPECIAL PROVISIONS

The following shall become a part of and apply to the Contract:

A. LINES AND GRADES. The Contractor shall furnish lines and grades in accordance with Section 1.06.27 of the Standard Highway Specifications, except that survey controls established for this project may no longer exist and the Contractor shall be required to re-establish the survey control information using official Borough Survey Control Monuments and Bench Marks, where they exist. The Contractor shall check with Topographic Section of the Borough President's Office as to the reliability and accuracy of the data to be used for lines and grades.

B. SPECIFIC TRAFFIC STIPULATIONS. Under this contract, the Contractor shall perform the work in strict accordance with the requirements of Section 6.70 in the Standard Highway Specifications, specific traffic stipulations as called for on the Contract Drawings, OCMC Traffic Stipulations attached to the end of these Special Provisions, and the directions of the Engineer. In case of a conflict, the Engineer's decision shall be final.

In addition, the cost of compliance with requirements of the OCMC Traffic Stipulations, unless otherwise provided for, shall be deemed included in the prices bid for all scheduled items.

C. HOLIDAY CONSTRUCTION EMBARGO. A special Holiday Construction Embargo shall be in effect on the Friday of the week preceding Thanksgiving Day week from 6:00 AM to 11:59 PM and again from the Monday of Thanksgiving Day week from 6:00 AM through January 2, at 11:59 PM. Roadway and sidewalk construction activities will be restricted during the embargo period on the streets listed below*.

Any permits issued prior to the date of this notice, for work during this embargo period on the streets listed below which do not already have the permit stipulation "410" are hereby suspended for the period noted above. All permittees must comply with this embargo unless a special waiver is granted by OCMC. Waiver requests must be filed at least thirteen days before Thanksgiving Day, in the Permit Office by filing a "Request for Roadway/Sidewalk Permits During Embargo Periods" and submitting supporting documentation. Waiver requests should only be submitted for critical reasons for a specific project. If a waiver is granted, the applicant will be notified so they can apply for the approved permits. Waivers are not required for ongoing Building Construction Activity Permits which already include the "410" permit stipulation. Waiver request forms may be obtained at any Permit Office or on the Department of Transportation's website at: <http://www.nyc.gov/html/dot/downloads/pdf/holidayembapp.pdf>

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- * Please note that this embargo only applies to NYCDOT construction permits.
 - * List of street and maps of the affected locations are available by borough on the Department of Transportation's website at: <http://www.nyc.gov/html/dot/html/motorist/trafalrt.shtml>

Prior to this embargo period all necessary measures must be taken so that all roadways and sidewalks are in proper condition to allow for the expeditious and safe movement of vehicular, bicycle and pedestrian traffic. Tool carts, cable reels, containers, and material stored on roadways must be removed during the embargo period.

The opening of utility access covers is prohibited on any of the streets noted below between the hours of 6:00 AM and midnight unless the utility or Contractor files for an Emergency Authorization Number as required by section 2-07 of the Department of Transportation's Highway Rules. The planned opening of utility access covers may occur during the hours of 12:01 AM and 5:59 AM where no authorization number is required.

Temporary restoration of the streets and sidewalks and removal thereof, if required for the Holiday Embargo period, will be paid for under the appropriate scheduled items.

No extension of time due to the shutdown period will be granted to the Contractor for completion of the work.

D. N.Y.C. TRANSIT INSURANCE. The Contractor (Permittee) shall indemnify and save harmless the City of New York and the New York City Transit (Permitter) in accordance with the following "Insurance Requirements" and proof that the necessary insurance is in effect will be required before work can commence:

NYCT "OUTSIDE CONTRACT" INSURANCE REQUIREMENTS

1. The Permittee at its sole cost and expense shall carry or cause to be carried and shall maintain at all times during the period of performance under this Agreement policies of insurance as herein below set forth below:

- (A) Workers' Compensation Insurance (including Employer's Liability Insurance) with limits as specified in Schedule "A", which limit may be met by a combination of primary and excess insurance meeting the statutory limits of New York State. The policy shall be endorsed to include Longshoreman's and Harbor Workers' Compensation Act/Maritime Coverage Endorsement and/or Jones Act Endorsement when applicable.
- (B) Commercial General Liability Insurance (I.S.O. 2001 Form or equivalent) approved by Permitter in the Permittee's name with limits of liability as specified in Schedule "A" for each occurrence on a combined single limit basis for injuries to persons (including death) and damages to property. The limits may be provided in the form of a primary policy or combination of primary and umbrella/excess policy. When the minimum contract amounts can only be met when applying the umbrella/excess policy; the Umbrella/Excess Policy must follow

form of the underlying policy and be extended to "drop down" to become primary in the event primary limits are reduced or aggregate limits are exhausted. Such insurance shall be primary and non-contributory to any other valid and collectable insurance and must be exhausted before implicating any Permittor/MTA policy available.

Such policy should be written on an occurrence form; and shall include:

- Contractual coverage for liability assumed by the Permittee under this agreement;
- Personal and Advertising Injury Coverage;
- Products-Completed. Operations;
- Independent Contractors Coverage;
- "XCU" coverage (Explosion, Collapse, and Underground Hazards) where necessary;
- Contractual Liability Exclusion, applicable to construction or demolition operations to be performed within 50 feet of railroad tracks, must be voided, where necessary; and,
- Additional Insured Endorsement (I.S.O. Form CG 20 26 07/04 version or equivalent) approved the Permittor naming:

New York City Transit Authority (NYCTA), the Manhattan and Bronx Surface Transit Operating Authority (MaBSTOA), the Staten Island Rapid Transit Operating Authority (SlRTOA), MTA Capital Construction Co., the Metropolitan Transportation Authority (MTA) including its subsidiaries and affiliates, and the City of New York (as Owner).

- (C) Business Automobile Liability Insurance Policy - (I.S.O. Form CA 00 01 10 01 or equivalent) approved by the Permittor is required if Permittee's vehicle enters Permittor property. The insurance must be in the name of the Permittee or its contractor entering the Permittor property with limits of liability in the amount specified in Scheule "A" for claims for bodily injuries (including death) to persons and for damage to property arising out of the ownership, maintenance or use of any owned, hired or non-owned motor vehicle.
- (D) Railroad Protective Liability Insurance policy shall be required as specified in Schedule "A".
- (E) Environmental/Pollution Exposures

In the event environmental or pollution exposures exist, the Permittee shall require the environmental contractor or subcontractor to provide the applicable insurance covering such exposure. The limits and type of insurance provided shall be satisfactory to the Permitter and will be confirmed to the parties prior to the start of the work.

2. General Requirements Applicable to Insurance Policies

- a) All of the insurance required by this Article shall be with Companies licensed or authorized to do business in the State of New York with an A.M. Best Company rating of not less than A-/VII or better and reasonably approved by the Permitter/MTA and shall deliver evidence of such policies.
- b) Except for Workers Compensation, all references to forms and coverages referred to above shall be the most recent used by the Insurance Services Office, Inc. (ISO") or equivalent forms approved by the Insurance Department of the State of New York, provided, however, that excess coverages may be written on forms reasonably acceptable to Permitter containing provisions other than those contained in ISO forms but otherwise conforming in substance to the requirements of this Article.
- c) The Permittee or its Contractor performing the work shall furnish evidence of all policies before any work is started to the permitter:

A.

B. For NYCT Contract Inspection

C. C/O Mr. John Malvasio

D. Director, MOW Engineering

E. 130 Livingston Street, Room 8044F

F. Brooklyn, NY 11201

G. Telephone: (718) 694-1358

These policies must: (i) be written in accordance with the requirements of the paragraphs above, as applicable; (ii) be endorsed in form acceptable to include a provision that the policy will not be canceled, materially changed, or not renewed, unless otherwise indicated herein, at least thirty (30) days prior written notice to the Permitter c/o MTA Risk and Insurance Management (MTA RIM) Department - Standards, Enforcement & Claims Unit, 2 Broadway - 21st floor, New York, NY 10004; and (iii) state or be endorsed to provide that the coverage afforded under the contractor's policies shall apply on a primary and not on an excess or contributing basis with any policies which may be available to the Permitter/MTA, and also that the contractor's policies, primary and excess, must be exhausted before implicating any Permitter/MTA policy available. (iv) In addition, contractor's policies shall state or be endorsed to provide that, if a subcontractor's policy contains any provision that may adversely affect whether

contractor's policies are primary and must be exhausted before implicating any Permittor/MTA policy available, contractor's and subcontractor's policies shall nevertheless be primary and must be exhausted before implicating any Permittor/MTA policy available. Except for Professional Liability, policies written on claims made basis are not acceptable. At least two (2) weeks prior to the expiration of the policies, contractor shall endeavor to provide evidence of renewal or replacement policies of insurance, with terms and limits no less favorable than the expiring policies. Except as otherwise indicated in the detailed coverage paragraphs below, self insured retentions and policy deductibles shall not exceed \$100,000, unless such increased deductible or retention is approved by Permittor/MTA. The Permittee shall be responsible for all claim expense and loss payments within the deductible or self-insured retention. The insurance monetary limits required herein may be met through the combined use of the insured's primary and umbrella/excess policies.

- d) Certificates of Insurance may be supplied as evidence of policies of the above policies, except for Policy (D) Railroad Protective Liability Insurance Policy. However, if requested by the Permittor, the Permittee shall deliver to the Authority, within forty-five (45) days of the request, a copy of such policies, certified by the insurance carrier as being true and complete. The Railroad Protective Liability Insurance Policy must be provided in the form of the Original Policy. A detailed Insurance Binder may be provided, ACORD or Manuscript Form, pending issuance of the Original Policy. The Original Policy must be submitted to MTA RIM within 30 days of the Binder Approval.
- e) If a Certificate of Insurance is submitted, it must: (1) be provided on the Permittor Certificate of Insurance Form or MTA Certificate of Insurance Form for Joint Agency Agreements; (2) be signed by an authorized representative of the insurance carrier or producer and notarized; (3) disclose any deductible, self-insured retention, sub-limit, aggregate limit or any exclusions to the policy that materially change the coverage; (4) indicate the Additional Insureds and Named Insureds as required herein, along with a physical copy of the Additional Insured Endorsement (I.S.O. Form CG 20 26 07/04 version or equivalent), as applicable and the endorsement(s) must include policy number(s); (5) reference the Contract by number on the face of the certificate; and (6) expressly reference the inclusion of all required endorsements.
- f) The minimum amounts of insurance required in the detail description of policies (A), (B), (C), and (D) above shall not be construed to limit the extent of the Permittee's liability under this Agreement.

- g) If, at any time during the period of this Agreement, insurance as required is not in effect, or proof thereof is not provided to the Permittor, the Permittor shall have the options to: (i) direct the Permittee to suspend work or operation with no additional cost or extension of time due on account thereof; or (ii) treat such failure as an Event of Default.

E. DISPOSAL OF EXCESS EXCAVATED MATERIAL. All excess excavated material, with the exception of contaminated material, shall become the property of the Contractor and shall be properly disposed of away from the site, at the Contractor's expense. Contaminated material shall be disposed of separately in accordance with contract requirements.

F. CONTRACT ITEMS THAT INCLUDE BACKFILL AS A PART OF THEIR WORK. The following shall pertain to all contract items that have backfill as a part of their work: Backfilling shall comply with Subsection 4.11.3 of the Standard Highway Specifications and no additional payment will be made for any Highway or Street Lighting work item requiring Contractor to furnish additional fill material to meet these requirements when backfilling.

G. SCHEDULING PRESENTATION. The Contractor shall submit construction schedule in the form of a bar chart using "Microsoft Project 2010", or in an approved equivalent program which shall be directly and fully translatable into Microsoft Project 2010 format, within seven days of the initial Pre-Construction Meeting. Each bar in the chart shall show dates the Contractor plans to start and complete each construction activity after the initial Pre-Construction Meeting. Bar chart shall show the order and interdependence of all activities necessary to complete the work and the sequence in which activity is to be accomplished as planned by the Contractor and in accordance with all subcontractors or suppliers whose work shall be shown on the bar chart. The Contractor shall submit the bar chart for the Engineer's review and revise it, if required, until approved by the Engineer.

The Contractor shall submit weekly progress status update reports or as otherwise directed by the Engineer. The Contractor shall submit updated bar chart every month. The revised bar chart shall be made in the same form and detail as the original submittal and shall be accompanied by an explanation of the reasons for the revisions all of which shall be subject to approval by the Engineer.

H. ACCELERATED PROJECT SCHEDULE AND COMBINATION OF STAGES. Contractor shall plan and/or stage his/her work schedule using all hours/days available. Contractor is advised that all applicable unit prices shall include, for the purpose of this contract, all overtime costs, premium time costs, shift differentials required to complete construction within the specified "Time(s) of Completion" stipulated in this contract.

Contractor shall be permitted to accelerate this project, to combine stages and/or work sequences. Any such changes shall be shown in the construction schedule, to be furnished in accordance with the General Provisions of the Standard Specifications and the above "SCHEDULING PRESENTATION" Article, and shall be submitted for approval of the Engineer.

I. UNDER-SIDEWALK VAULTS. The Contractor is hereby advised that under-sidewalk vaults may be present in both sidewalk and roadway areas within the project limits. Where Contractor claims any locations cannot be completed because of vaults, he should indicate by which method he has determined vault interference and provide that information to the Engineer.

Prior to any sidewalk excavation, the Contractor shall be responsible to verify the existence of under-sidewalk vaults. The Contractor shall perform visual sidewalk reconnaissance; search for and examine record drawings; gain access to cellars and obtain measurements within vaults; and perform sub-surface radar examination or use other non-destructive methods to locate possible vault structures. Where these above methods of verification are not available to the Contractor, he/she shall then be required to locate the vault envelopes and their roof depth below finished sidewalk grade by drilling holes (at no direct payment), or by Test Pits, under Item No. 9.00 C, as directed by the Engineer.

The Contractor shall be liable for any damage to the under-sidewalk building vaults and/or its contents and/or occupants due to his failure to verify the pre-existing vault condition.

Vault records may be available from the following (or other) sources:

A. NEW YORK CITY DEPARTMENT OF BUILDINGS

B. MS. PENNY A. JACKSON
FOIL/RECORDS ACCESS
OFFICE OF LITIGATION SERVICES AND RECORDS MANAGEMENT
NYC DEPARTMENT OF TRANSPORTATION
55 WATER STREET, 6TH FLOOR
NEW YORK , N.Y. 10041

C. NEW YORK CITY DEPARTMENT OF FINANCE

Where vault roofs are determined to interfere with construction of standard pedestrian ramps, the Engineer's may approve for construction of, or installation of, non-standard pedestrian ramps, which may include relocation of ramps and/or partial roadway ramps.

An overall field sketch of each corner suspected of having a vault, along with at least one photograph (3" x 3" minimum) of each location, and the results of his/her investigation shall be furnished by the Contractor to the Engineer for review at least ten (10) working

days prior to start of work at that respective corner. Said photographs shall be in addition to those required under Item 6.43. Each field sketch shall show measurements of affected areas of vaults, the building line as a reference guide which can be employed to indicate the vault envelope in the sidewalk, the boundaries of the underground structures, curb reveals, and location of proposed pedestrian ramps. No additional payment is to be made for this overall sketch.

Any vault structures punctured by the Contractor's operations shall be repaired by the Contractor to match the existing structure. Said hole in vault structures shall be temporarily repaired with an approved epoxy mortar, or securely steel plated if permanent repairs are not completed prior to the end of that same working day. No holes in vault roofs shall be left unattended at any time.

The Contractor shall also be responsible to replace damaged water proofing directly over vault roofs at his own expense.

J. NO EXTENSION OF TIME FOR WINTER SHUT-DOWN. Where the Contractor's approved work schedule for installing sidewalk, curb, roadway base and/or pavement falls within the winter period of December 1st through April 1st, the Contractor will NOT be granted an extension of time for completion of this contract due to the winter shut-down period, unless otherwise provided in Schedule A.

K. PRIVATE UTILITY HARDWARE ADJUSTMENTS will be performed by the owning utility company or its agent, at its expense. The Contractor shall notify the utility company 72 hours prior to start of work at each location where its hardware requires adjustment.

L. SURVEY MONUMENTS. When working in the vicinity of survey monument the Contractor shall hand excavate per Item 8.02 A and 8.02 B at City Survey Monuments, for a distance of five (5) feet around each monument, as directed by the Engineer.

M. THE CONTRACTOR IS NOTIFIED that for use of City water under this project the Contractor shall be required to obtain all necessary permits from the Department of Environmental Protection, at no cost to the Contractor in accordance with the NYC Department of Environmental Protection, STANDARD WATER MAIN SPECIFICATIONS, dated August 1, 2009, Section 1.08.4 "TEMPORARY USE OF CITY WATER ON CONSTRUCTION PROJECTS" and/or the STANDARD SEWER SPECIFICATIONS, dated August 1, 2009, Section 1.08.4 "TEMPORARY USE OF CITY WATER ON CONSTRUCTION PROJECTS."

N. THE CONTRACTOR IS NOTIFIED that wherever the Item No. "6.52" and words "flagger" and "flagperson" are used in the Contract Documents and Drawings it shall mean the Item No. "6.52 CG" and the words "Crossing Guard".

O. THE CONTRACTOR IS NOTIFIED that the fuel cost per gallon used in the formula under Sub-Article 26.2.8 of the Standard Construction

Contract for **Extra Work** will be derived from the fuel price index for the United States East Coast published weekly by the United States Energy Information Administration ("USEIA"), and available on its website at <http://www.eia.gov/petroleum/gasdiesel/>. The USEIA-published cost per gallon for the applicable fuel on the East Coast for the week in which the first day of each calendar quarter during the contract term occurs (i.e., January 1st, April 1st, July 1st and September 1st) will be used in the reimbursement formula for all **Extra Work** invoiced that was performed during that calendar quarter. Should the USEIA stop publishing this fuel price index, the fuel cost per gallon will be determined by reference to a substitute index to be agreed upon by the Contractor and the City.

P. START OF CONTRACT WORK. The Contractor is notified that it is the intent of this Agency to commence work promptly after registration of the contract and to order the Contractor to commence work within two (2) months after registration.

Q. PRICES TO INCLUDE. No direct payment will be made for costs incurred in complying with the foregoing Special Provisions, unless otherwise provided. Said costs will be deemed to have been included in the prices bid for all the scheduled contract items.



Department of Transportation

JANETTE SADIK-KHAN, Commissioner

OCMC TRAFFIC STIPULATIONS

November 20, 2013

OCMC FILE NO: MEC-13-496
 CONTRACT NO: HWMP2017
 PROJECT: RECONSTRUCTION OF WADSWORTH TERRACE
 LOCATION(S): WADSWORTH TERRACE BETWEEN WEST 190TH STREET AND FAIRVIEW AVENUE

PERMISSION IS HEREBY GRANTED TO THE (NEW YORK CITY DEPARTMENT OF DESIGN & CONSTRUCTION) AND ITS DULY AUTHORIZED AGENT, TO ENTER UPON AND RESTRICT THE FLOW OF TRAFFIC AT THE ABOVE LOCATION AND ITS LOCAL ADJACENT STREETS FOR THE PURPOSE OF CARRYING OUT THE ABOVE NOTED PROJECT, SUBJECT TO THE STIPULATIONS, AS NOTED BELOW:

A. SPECIAL STIPULATIONS

1. **EMBARGOES** – A CONSTRUCTION EMBARGO WILL APPLY TO THOSE LOCATIONS BELOW WHICH FALL WITHIN THE HOLIDAY EMBARGO OR ANY OTHER SPECIAL EVENT EMBARGOES AS PUBLISHED BY THE BUREAU OF PERMIT MANAGEMENT AND CONSTRUCTION CONTROL.
2. **BIKE LANES** – IF WORK IS IN OR AFFECTING A BIKE LANE, THE PERMITTEE MUST POST ADVANCE WARNING SIGNS 350 FEET AND 200 FEET PRIOR TO THE WORK ZONE STATING "CONSTRUCTION IN BIKE LANE AHEAD PROCEED WITH CAUTION", AND ALSO POST A SIGN AT THE WORK ZONE STATING "CONSTRUCTION IN BIKE LANE PROCEED WITH CAUTION". SUCH SIGNS SHALL BE ORANGE, 3' x 3', DIAMOND-SHAPED WITH 4" BLACK LETTERING. SIGNS SHALL BE POSTED IN ACCORDANCE WITH THE FEDERAL MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES (MUTCD).
3. **METERS** – THE PERMITTEE MAY NOT REMOVE OR RELOCATE PARKING METERS WITHOUT FIRST OBTAINING APPROVAL FROM NYCDOT PARKING-METER DIVISION AT 718-894-8651.
4. **BUS STOPS** – THE PERMITTEE SHALL PROVIDE WRITTEN NOTICE TO NYC DOT OCMC AND NEW YORK CITY TRANSIT (NYCT) A MINIMUM OF FIVE (5) WEEKS IN ADVANCE FOR LANE/STREET CLOSURES THAT AFFECT BUS ROUTES/BUS STOPS.
5. **ACCESS TO ADJUTING PROPERTIES** – THE PERMITTEE SHALL COORDINATE ALL ACTIVITIES WITH ADJUTING PROPERTY OWNERS TO ENSURE ACCESS IS PROVIDED TO/FROM ENTRANCES/DRIVEWAYS AT ALL TIMES.
6. **AUTHORIZED PARKING** – PRIOR TO PERFORMING WORK WHICH IMPACTS AUTHORIZED PARKING, THE PERMITTEE SHALL SUBMIT IN WRITING, AND COPY OCMC-STREETS, A REQUEST TO OCCUPY SPACE CURRENTLY USED BY AUTHORIZED VEHICLES. APPROVAL MUST BE RECEIVED FROM AUTHORIZED PARKING PRIOR TO OCCUPYING THESE AREAS.
7. **NOTIFICATION** – THE PERMITTEE MUST AT LEAST TWO (2) WEEKS BEFORE THE START OF CONSTRUCTION NOTIFY THE NYC FIRE DEPARTMENT, NYC POLICE DEPARTMENT, NYCEMS, LOCAL COMMUNITY BOARD, TIMES SQUARE ALLIANCE, BOROUGH PRESIDENT'S OFFICE-CHIEF ENGINEER, NYCDOT OCMC AND SPECIAL EVENTS OFFICE, MAYOR'S OFFICE OF SPECIAL EVENTS AND ALL ADJUTING PROPERTY OWNERS.
8. **CONSTRUCTION INFORMATIONAL SIGNS** – THIS PROJECT REQUIRES A CONSTRUCTION PROJECT INFORMATIONAL SIGN (CPIS) IN ACCORDANCE WITH NYCDOT HIGHWAY RULE SECTION 2-02 (4) AND (5). CRITERIA AND A PROTOTYPE FOR THIS SIGN MAY BE FOUND ON THE NYCDOT WEBSITE AT http://www.nyc.gov/html/dot/html/permits/permits.html#info_signs.
9. **ENHANCED MITIGATIONS**
 - o **"NO PARKING OR NO STANDING-TEMPORARY CONSTRUCTION" SIGNS** AND TEMPORARY PAVEMENT MARKINGS SHALL BE INSTALLED AND MAINTAINED AS WARRANTED BY THE MAINTENANCE AND PROTECTION OF TRAFFIC (MPT) REQUIRED TO FACILITATE TRAFFIC MOVEMENTS THROUGH THE WORK ZONE. ALL TEMPORARY SIGNS AND PAVEMENT MARKINGS SHALL BE REMOVED UPON COMPLETION OF THE PROJECT.
 - o **COMMUNITY OUTREACH** SHALL BE PROVIDED FOR THE DURATION OF THE PROJECT.

B. MAINTENANCE AND PROTECTION OF TRAFFIC

1. When working on Fairview Terrace between Broadway Terrace and Wadsworth Terrace, contractor must maintain two (2) lanes for traffic. one (1) lane in each direction at all time. Work hours shall be 7am-6pm Monday thru Friday and Saturday 8am-4pm only.

NYC Department of Transportation
 Bureau of Permit Management and Construction Control
 55 Water Street - 7th Floor, New York, NY 10041
 T: 212.639.9621 F: 212.639.8970
www.nyc.gov/dot

OCMC FILE NO: MEC-13-496
 CONTRACT NO: HWMP2017
 PROJECT: RECONSTRUCTION OF WADSWORTH TERRACE

November 20, 2013
 Page 2 of 2

2. When working on Wadsworth Terrace between Fairview Terrace and West 190th Street, contractor must maintain one (1) eleven (11) foot lane traffic at all time. Work hours shall be 7am-6pm Monday thru Friday and Saturday 8am-4pm only.
3. When working on Wadsworth Terrace between West 190th and 189th Streets, contractor must maintain two (2) lanes for traffic, one (1) lane in each direction at all time. Work hours shall be 7am-6pm Monday thru Friday and Saturday 8am-4pm only.
4. When working on West 190th Street between Wadsworth Terrace and Wadsworth Avenue, contractor must maintain one (1) eleven (11) foot lane traffic at all time. Work hours shall be 7am-6pm Monday thru Friday and Saturday 8am-4pm only.
5. Contractor must maintain a minimum five (5) foot clear sidewalk or maintain a five (5) foot clear pedestrian walkway in the roadway at all location.
6. Contractor shall only closed one (1) crosswalk at a time at any location

C. GENERAL NOTES

1. **THIS IS NOT A PERMIT.** THIS STIPULATION SHEET MUST BE SUBMITTED WITH ALL REQUESTS FOR PERMITS PERTAINING TO THE ABOVE CONTRACT AND PRESENT AT THE WORK SITE ALONG WITH ALL ACTIVE CONSTRUCTION PERMITS WHEN THE APPROVED WORK IS BEING PERFORMED.
2. THE CONTRACTOR MUST COMPLY WITH ALL CONSTRUCTION EMBARGOS ISSUED BY THE NYCDOT. THE PERMITTEE WILL BE REQUIRED TO MEET ALL REQUIREMENTS OF THE NYCDOT SPECIAL EVENTS OFFICE.
3. ALL RELOCATION WORK BY THE UTILITIES SUCH AS; CON EDISON, TELEPHONE, GAS AND CABLE COMPANIES SHALL PRECEDE THE CONTRACTORS' START OF WORK ON ALL AFFECTED ROADWAYS IN THE IMPACTED CONTRACT AREA.
4. THE CONTRACTOR IS ADVISED THAT OTHER CONTRACTORS MAY BE WORKING IN THE GENERAL AREA DURING THE TERM OF THIS STIPULATION. IN WHICH EVENT, THE CONTRACTOR MAY REQUIRE MODIFICATIONS BY THE OCMC-STREETS.
5. THE PERMITTEE IS NOT AUTHORIZED TO ENTER, OCCUPY OR USE ANY PUBLICLY-OWNED OR PRIVATELY OWNED, NON-PAVED, LANDSCAPE OR NON-LANDSCAPED LOCATION WITHOUT SPECIFIC WRITTEN PERMISSION. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF A LIMITED-ACCESS ARTERIAL HIGHWAY, WRITTEN APPROVAL FROM THE NYCDOT OCMC-HIGHWAYS IS REQUIRED. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF A PUBLIC STREET OR PUBLIC PARK, WRITTEN APPROVAL FROM THE NEW YORK CITY DEPARTMENT OF TRANSPORTATION OR NEW YORK CITY DEPARTMENT OF PARKS AND RECREATION IS REQUIRED. WHEN THE LOCATION IS WITHIN THE RIGHT-OF-WAY OF ANY OTHER JURISDICTION SUCH AS PRIVATE PROPERTY, STATE, FEDERAL ETC., IT IS THE PERMITTEE'S RESPONSIBILITY TO DETERMINE THE PROPERTY OWNER AND OBTAIN THE WRITTEN APPROVAL.
6. THE PERMITTEE SHALL ADHERE TO THE NYCDOT BUREAU OF BRIDGES' SPECIAL PROVISIONS FOR LANDSCAPE PROTECTION, MAINTENANCE AND RESTORATION, ITEMS 1.18.15 THROUGH 1.18.19, WHENEVER AND WHEREVER ANY OF THE PERMITTEE'S ACTIVITIES OCCUR WITHIN A LIMITED ACCESS ARTERIAL HIGHWAY RIGHT-OF-WAY.
7. NO DEVIATION OR DEPARTURE FROM THESE STIPULATIONS WILL BE PERMITTED WITHOUT THE PRIOR WRITTEN APPROVAL FROM THE OCMC-STREETS. REQUEST FOR SUCH MODIFICATIONS SHALL BE SUBMITTED TO THE OFFICE OF THE OCMC-STREETS, NEW YORK CITY DEPARTMENT OF TRANSPORTATION, A MINIMUM OF TWENTY (20) DAYS IN ADVANCE FOR CONSIDERATION.
8. FOR ANY CONSTRUCTION ACTIVITY RESULTING IN THE FULL CLOSURE OF A ROADWAY FOR MORE THAN 180 CONSECUTIVE CALENDAR DAYS, THE CONTRACTOR MUST PRODUCE AND SUBMIT A COMMUNITY REASSESSMENT, IMPACT AND AMELIORATION (CRIA) STATEMENT TO NYCDOT PLANNING AND OBTAIN THEIR APPROVAL BEFORE APPLYING FOR PERMITS, IN COMPLIANCE WITH THE PROVISIONS OF LOCAL LAW 24 STREET CLOSURE LAW.
9. FOR THIS PROJECT THE CONTRACTOR SHALL FURNISH, INSTALL AND MAINTAIN ALL NECESSARY ADVANCE WARNING AND DETOUR SIGNS, TEMPORARY CONTROL DEVICES, BARRICADES, LIGHTS AND FLASHING ARROW BOARDS IN ACCORDANCE WITH THE "MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES," THE TYPICAL SCHEMES INCLUDED IN THIS SPECIFICATION; AND AS ORDERED BY THE ENGINEER-IN-CHARGE AND THE OCMC-STREETS.
10. THE CONTRACTOR SHALL BE RESPONSIBLE FOR IDENTIFYING HIS CONSTRUCTION SIGNAGE. THE IDENTIFICATION SHALL INCLUDE THE CONTRACTOR'S NAME, SPONSORING AGENCY NAME AND THE CONTRACT NUMBER. THE IDENTIFICATION SHALL BE PLACED ON THE BACK OF THE SIGN. THE LETTERING SHALL BE THREE (3) INCHES HIGH.
11. THE OCMC-STREETS RESERVES THE RIGHT TO VOID OR MODIFY THESE STIPULATIONS SHOULD CONSTRUCTION FAIL TO COMMENCE WITHIN TWO (2) YEARS OF THE SIGNING DATE OF THESE STIPULATIONS.

JOSEPH P. NOTO
 EXECUTIVE DIRECTOR
 OCMC-STREETS

GARY SMALLS
 PROJECT MANAGER
 OCMC-STREETS



THE CITY OF NEW YORK Department of Sanitation

S. ELIZABETH SEARLE
Assistant Commissioner
for Legal Affairs

Bureau of Legal Affairs
44 Beaver Street
New York, NY 10004
Telephone (212) 837-8110
FAX (212) 837-8243

Department of Sanitation Rules and Regulations Governing Non-Putrescible Solid Waste Transfer Stations

INTERPRETIVE MEMORANDUM # 2 February 14, 1995

*Subject: Temporary Storage and Processing of Construction and Demolition Debris
by New York City Agency Contractors*

Contractors performing construction work for New York City agencies may be required to excavate dirt, concrete, rock, gravel and similar materials ("construction materials") from a contract site or to remove from a contract site construction materials resulting from construction, demolition, alteration, repair or renovation of structures, streets or buildings. On street construction projects, construction materials required to be excavated or removed may also include asphalt. The purpose of this Interpretive Memorandum is to (a) define the circumstances under which the Department of Sanitation (the "Department") will not deem its Rules and Regulations Governing Non-Putrescible Solid Waste Transfer Stations (the "Rules") to apply to the temporary storage, processing and/or stockpiling (collectively, "stockpiling") of such construction materials and (b) the procedure for ensuring such exemption. It is the responsibility of the contracting agency to oversee its contractors' compliance with the Rules and with this Interpretive Memorandum.

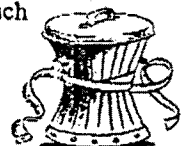
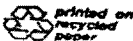
1. When No Transfer Station Permit is Required

Where a City contractor has:

- (a) set aside an area of a contract site for stockpiling construction materials excavated from and/or intended for that site; or
- (b) received written approval from the contracting agency for an off-site stockpiling location,

the Department will not deem such stockpiling location a transfer station and will not require the contractor to obtain a transfer station permit so long as: -

- (c) no construction materials or debris from off the contract site are received at the designated location for subsequent transfer to another location (other than the contract site); and
- (d) the temporary stockpiling location is clearly described as such in the contract or clearly approved by the construction agency and designated as such in writing to the Department by the agency; and
- (e) the construction agency represents in writing to the Department that such agency will monitor the temporary stockpiling location and ensure its clean-up and restoration pursuant to the procedures set out in this memorandum.



Help Reduce
New York's Waste.

Example: Street Construction Projects

As part of a contract for street construction, the contractor may be working at one end of a street and using an area at the other end of the street for the temporary stockpiling of construction materials. Both ends of the street are part of the construction contract site. In addition, the contractor may have leased an off-site location for temporary stockpiling of materials, which, following processing, will be reincorporated into the contract site, with some portion of the remainder designated for delivery to a Department disposal facility. Neither location will be regulated by the Department as a transfer station so long as the procedures set out in this memorandum are followed.

2. Procedure for Exception.

Upon a City construction agency's approval of any location to be designated as a temporary processing, storage or stockpiling area, that agency must determine that (a) its contract with the contractor provides for clean-up and restoration of such area by, for example, the contractor's posting of a restoration bond and/or by contractual set-off and (b) the agency has adequate procedures for monitoring the designated area to ensure that it does not violate the provisions set forth in this memorandum and that such location is cleaned up and restored at the completion of the contract work.

The City construction agency must submit an official letter to the Department acknowledging compliance with both (a) and (b) immediately above and representing that the agency will ensure the contractor's compliance. The letter to be submitted must be in substantially the following form, addressed to the Director, Bureau of Waste Disposal, Department of Sanitation, 125 Worth Street, Room 726, New York, NY 10013:

"The New York City Department of _____ (the "Agency")
has awarded a construction contract to _____ (Contractor)
_____ (the "Contractor") for work to be performed at _____ (Contract
Site) _____

a. This Agency has approved the following locations to be used by the Contractor for the temporary storage, processing and/or stockpiling of construction materials (the "Stockpiling Locations") excavated from the construction site or intended for the construction site:

b. The terms of the contract require the Contractor to clean up and restore the Stockpiling Locations, whether on or off the contract site, at or before the completion of the contract work.

c. This Agency assumes responsibility for the monitoring of Stockpiling Locations to ensure that only materials received from and/or intended for the construction site are stockpiled at such locations and we will enforce clean-up and restoration of such Locations at the end of their use for temporary stockpiling or at the termination of the contract, whichever occurs earlier, through restoration

bonding requirements and/or contractual set-off provisions such that the costs of clean-up and restoration will not become a charge to the Department or the City. "

3. When a Transfer Station Permit is Required

A transfer station permit will be required under any circumstances other than those outlined above. Except in the specifically defined circumstances set forth in this memorandum, any operator of a location or facility which receives, processes, stores or stockpiles construction and demolition debris or fill material for purposes of transfer to another location, including to a New York City Department of Sanitation facility, and whether or not under contract to the Department for the delivery of such materials, will be fully subject to the Department's transfer station rules and liable for enforcement for violations.

(NO TEXT ON THIS PAGE)

ATTACH TO CONTRACT DOCUMENTS

**THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
INFRASTRUCTURE DIVISION
BUREAU OF DESIGN**

PROJECT ID: HWMP2017

**RECONSTRUCTION OF WADSWORTH TERRACE
FROM WEST 190TH STREET TO FAIRVIEW AVENUE**

INCLUDING WATER MAIN, STREET LIGHTING, AND TRAFFIC WORK

Together With All Work Incidental Thereto

BOROUGH OF MANHATTAN

ADDENDUM NO. 2

DATED: January 28, 2014

This Addendum is issued for the purpose of amending the requirements of the contract documents and is hereby made part of said contract documents to the same extent as if it was originally included therein.

The Standard Sewer Specifications of the Department of Environmental Protection (dated August 1, 2009), Sewer Design Standards of the Department of Environmental Protection (dated (September 2007) Revised January 2009), Standard Water Main Specifications of the Department of Environmental Protection (dated August 1, 2009), Water Main Standard Drawings of the Department of Environmental Protection (latest revisions), and the Standard Highway Specifications (Volumes I and II) of the Department of Transportation (dated November 1, 2010) of The City of New York, shall be included as part of the contract documents. These said specifications and standard drawings are hereby revised under the following section headings:

- A. NOTICE TO BIDDERS
- B. AMENDMENTS TO THE STANDARD HIGHWAY SPECIFICATIONS
- C. AMENDMENTS TO THE STANDARD SEWER SPECIFICATIONS
- D. AMENDMENTS TO THE STANDARD WATER MAIN SPECIFICATIONS

A. NOTICE TO BIDDERS

- (1) (A) The Contractor is advised that copies of the Standard Sewer Specifications (dated August 1, 2009), Sewer Design Standards (dated (September 2007) Revised January 2009), Standard Water Main Specifications (dated August 1, 2009), Specifications For Trunk Main Work (dated March 2012) and Water Main Standard Drawings (latest revisions) are available to all prospective bidders at no cost upon presentation of receipt of purchase of Bid Package at the following location:

Department of Design and Construction
Division of Infrastructure
Design Services, Specifications, 3rd Floor
30-30 Thomson Avenue
Long Island City, NY 11101

(B) The Contractor is advised that copies of the Standard Highway Specifications (Volume I and II) (dated November 1, 2010), Standard Highway Details of Construction (latest revisions), Division of Street Lighting Specifications (latest revisions), Division of Street Lighting Standard Drawings (latest revisions), Standard Specifications for Traffic Signals (latest revisions), and Standard Drawings for Traffic Signals (latest revisions) are available to all prospective bidders for a fee at the following location:

Department of Transportation
55 Water Street, Ground Floor
New York City, NY 10041

- (2) The Contractor is notified that it is the intent of this Agency to commence work promptly after registration of the contract and to order the Contractor to commence work within two (2) months after registration.
- (3) The Contractor shall furnish, install, maintain and subsequently remove temporary Protective Tree Barriers. Protective Tree Barriers shall be Type B, unless otherwise directed by the Engineer, and shall be constructed and installed as shown on the Protective Tree Barrier sketch in Department Of Transportation, Standard Highway Details Of Construction, Drawing No. H-1046A, as directed by the Engineer, and in accordance with Department of Parks and Recreation requirements.
- (4) All utility locations and invert elevations are not guaranteed, nor is there any guarantee that all existing utilities, whether functional or abandoned within the project area are shown.
- (5) All existing house connections shall be maintained and supported during construction. The Contractor shall replace any existing house connection damaged as a result of the Contractor's construction operations as ordered by the Engineer at no cost to the City.
- (6) The Contractor is advised that any City owned light poles, traffic signals, traffic signs and encumbrances including, but not limited, to underground conduit displaced as the result of the installation of the new sewers, water mains, catch basins, catch basin connections and appurtenances shall be replaced in kind and as directed by the Engineer. The cost of such work shall be deemed included in the prices bid for all items of work under this contract.
- (7) The Contractor is notified that Victaulic Style 77 Coupling is no longer acceptable for use in any steel water main work. All reference to Victaulic Style 77 Coupling within the Standard Water Main Specifications of the Department of Environmental Protection (dated August 1, 2009), the Water Main Standard Drawings of the Department of Environmental Protection (latest revisions), the Specifications For Trunk Main Work (dated March 2012), and the contract drawings, shall be replaced with Bolted Split-Sleeve Restrained Coupling.
- (8) The Contractor is notified that the fuel cost per gallon used in the formula under **Sub-Article 26.2.8** of the Standard Construction Contract for **Extra Work** will be derived from the fuel price index for the

United States East Coast published weekly by the United States Energy Information Administration ("USEIA"), and available on its website at <http://www.eia.gov/petroleum/gasdiesel/>. The USEIA published cost per gallon for the applicable fuel on the East Coast for the week in which the first day of each calendar quarter during the contract term occurs (i.e., January 1st, April 1st, July 1st and September 1st) will be used in the reimbursement formula for all **Extra Work** invoiced that was performed during that calendar quarter. Should the USEIA stop publishing this fuel price index, the fuel cost per gallon will be determined by reference to a substitute index to be agreed upon by the Contractor and the City.

- (9) The Contractor is responsible for any damage to the existing street and traffic signal equipment, including underground conduits and the safety of both pedestrian and vehicular traffic for the duration of the contract.

Should any conduits, cables or foundations need repair due to the Contractor's negligent operations during construction, all work shall be performed according to NYCDOT Bureau of Traffic's Standard Drawings and Specifications at the sole expense of the Contractor.

It is the Contractor's responsibility to secure an approved electrical contractor to perform all traffic signal work (if any). For list of approved electrical contractors, contact Mr. Michael R. LeFosse of New York City Department of Transportation at (718) 786-2236.

B. AMENDMENTS TO THE STANDARD HIGHWAY SPECIFICATIONS

(NO TEXT)

C. AMENDMENTS TO THE STANDARD SEWER SPECIFICATIONS

- (1) **Refer** to **Subsection 1.06.3 - Hours Of Work**, Page I-4:

Add the following to **Subsection 1.06.3**:

- (A) **HOLIDAY CONSTRUCTION EMBARGO** - A special Holiday Construction Embargo shall be in effect on the Friday of the week preceding Thanksgiving Day week from 6:00 AM to 11:59 PM and again from the Monday of Thanksgiving Day week from 6:00 AM through January 2, at 11:59 PM. Roadway and sidewalk construction activities will be restricted during the embargo period on the streets listed below*.

Any permits issued prior to the date of this notice, for work during this embargo period on the streets listed below which do not already have the permit stipulation "410" are hereby suspended for the period noted above. All permittees must comply with this embargo unless a special waiver is granted by OCMC. Waiver requests must be filed at least thirteen (13) days before Thanksgiving Day, in the Permit Office by filing a "Request for Roadway/Sidewalk Permits During Embargo Periods" and submitting supporting documentation. Waiver requests should only be submitted for critical reasons for a specific project. If a waiver is granted, the applicant will be notified so they can apply for the approved permits. Waivers **are not** required for ongoing Building Construction Activity Permits which already include the "410" permit stipulation. Waiver request forms may be obtained at any Permit Office or on the Department of Transportation's website at:

<http://www.nyc.gov/html/dot/downloads/pdf/holidayembapp.pdf>

Prior to this embargo period all necessary measures must be taken so that all roadways and sidewalks are in proper condition to allow for the expeditious and safe movement of vehicular, bicycle and pedestrian traffic. Tool carts, cable reels, containers, and material stored on roadways must be removed during the embargo period.

The opening of utility access covers is prohibited on any of the streets noted below between the hours of 6:00 AM and midnight unless the utility or contractor files for an Emergency Authorization Number as required by section 2-07 of the Department of Transportation's Highway Rules. The planned opening of utility access covers may occur during the hours of 12:01 AM and 5:59 AM where no authorization number is required.

Temporary restoration of the streets and sidewalks and removal thereof, if required for the Holiday Embargo period, will be paid for under the appropriate scheduled items.

No extension of time due to the shutdown period will be granted to the Contractor for completion of the work.

* Please note that this embargo only applies to NYCDOT construction permits. List of street and maps of the affected locations are available by borough on the Department of Transportation's website at: <http://www.nyc.gov/html/dot/html/motorist/trafalrt.shtml>

- (2) **Refer** to **Subsection 1.06.14 - Notice To Utility Companies, Etc., To Remove Structures Occupying Place Of Sewers, Water Mains Or Appurtenances**, Page I-10:

Add the following to **Subsection 1.06.14**:

- (1) CONSOLIDATED EDISON COMPANY OF NEW YORK (CON EDISON)

There are CON EDISON facilities in the area of construction. The Contractor shall notify CON EDISON at least seventy-two (72) hours prior to the start of construction by contacting Mr. Theresa Kong at (212) 460-4834.

- (2) VERIZON

There are VERIZON facilities in the area of construction. The Contractor shall notify VERIZON at least seventy-two (72) hours prior to the start of construction by contacting Mr. Michael Fitzpatrick at (718) 977-8142.

(3) TIME WARNER CABLE OF NEW YORK CITY

There are TIME WARNER CABLE facilities in the area of construction. The Contractor shall notify TIME WARNER CABLE at least seventy-two (72) hours prior to the start of construction by contacting Mr. John Piazza at (718) 888-4261.

(3) **Refer** to **Subsection 1.06.20 - Contractor To Notify City Departments**, Page I-12:

Add the following to **Subsection 1.06.20**:

(1) N.Y.C. D.E.P., BUREAU OF WATER AND SEWERS OPERATIONS

The Contractor shall notify Mr. James Garin, P.E., Assistant Commissioner, Engineering at the Department of Environmental Protection, 59-17 Junction Blvd., 3rd floor low rise, Corona N.Y. 11368, at least thirty (30) days prior to the start of construction.

(2) NEW YORK CITY FIRE DEPARTMENT

The Contractor shall notify the Bureau of Fire Communications at least thirty (30) days prior to the start of construction by contacting Mr. Ed Durkin at (718) 624-3752.

(3) N.Y.C. DEPARTMENT OF TRANSPORTATION

The Contractor shall notify Mr. Steve Galgano, P.E. Chief of Signal/Street Lighting Operations, 34-02 Queens Blvd., Long Island City, N.Y. 11101 at (718) 786-3550, at least seventy-two (72) hours prior to the start of construction.

(4) N.Y.C. DEPARTMENT OF PARKS AND RECREATION

The Contractor shall notify the Parks Department at least seventy-two (72) hours prior to the start of construction by contacting Mr. Bill Steyer at (212) 860-1845.

(4) **Refer** to **Subsection 1.06.27 - Salvageable Materials**, Page I-14:

Delete the paragraph starting with the words, "No salvageable material...", and ending with the words, "...from the site.", in its entirety:

Substitute the following:

Except as specified below, no salvageable material shall be returned to the New York City Department of Environmental Protection regardless of condition. It shall become the property of the Contractor for removal and disposal, by the Contractor, away from the site.

The Contractor shall salvage and deliver to a designated NYCDEP yard all Metropolitan Valves (6" thru 20") removed during construction of the contract.

(5) **Refer** to **Subsection 1.06.29 - Contractor To Provide For Traffic**, Page I-15:

Add the following to **Subsection 1.06.29**:

(1) Traffic Stipulations:

The Contractor shall refer to Traffic Stipulations identified in the maintenance of traffic requirements under Highway Contract No. HWMP2017.

- (6) Refer to **Section 1.08 - Miscellaneous Provisions**, Page I-19:
Delete **Subsection 1.08.2 - Vendors** in its entirety:
Substitute the following new **Subsection 1.08.2**:

1.08.2 VENDORS

Prior to starting work, the Contractor shall submit in writing to the Engineer the names of all vendors and manufacturers the Contractor intends to use. Unless otherwise specified in the contract documents or a written exception is granted by NYCDDC, the Contractor shall submit only one (1) vendor or manufacturer for each product that is to be incorporated in the contract. The use of multiple vendors or manufacturers to supply the same product will be prohibited, unless otherwise specified in the contract documents or a written exception is granted by NYCDDC. If the vendor or manufacturer is not approved, the Contractor will be notified to either submit another vendor or manufacturer, or have their proposed vendor or manufacturer submit a request for approval from NYCDDC. The Contractor will be prohibited from using the vendor or manufacturer until approval of the vendor or manufacturer has been acquired from NYCDDC.

- (7) Refer to **Section 1.08 - Miscellaneous Provisions**, Page I-20:
Add the following new **Subsection 1.08.7**:

1.08.7 SUBMITTAL OF SCHEDULE LOG

The Contractor's attention is directed to **Article 9 - Progress Schedule** of the Contract. The Contractor shall submit along with the proposed progress schedule the following: A schedule log in Excel Format (tied to the proposed progress schedule) indicating a description of and the schedule submission dates for all required submittals, shop drawings, approval requests, design mixes, reports, samples, etc., as required by the specifications and the terms of the contract.

- (8) Refer to **Section 2.05 - Precast Reinforced Concrete Pipe, Subsection 2.05.4 - Materials, Workmanship And Finish**, Page II-10:
Delete from **Subsection 2.05.4**, paragraph (A) CONCRETE in its entirety:
Substitute the following:

(A) CONCRETE - The Concrete shall comply with the requirements of **General Specification 11 - Concrete, as modified in Section 2.15**, and be a homogeneous mixture of such proportions and quality that the pipe will conform to the design and test requirements of these specifications.

- (9) Refer to **Section 2.15 - Concrete, Subsection 2.15.3 - Modifications**, Page II-23:
Delete from **Subsection 2.15.3, Reference Number D 3.2.1** together with its paragraphs in their entirety:
Substitute the following:

D 3.2.1 DELETE 3.2.1 to 3.2.9 of GS11 and SUBSTITUTE the following:

All concrete mix designs shall be subject to approval by DDC's Quality Assurance and Construction Safety (QACS) Bureau and in accordance with their "MIX DESIGN, LABORATORY AND PLANT APPROVAL PROTOCOL". Copies of this protocol may be obtained at the preconstruction meeting or from the Engineer. Before the Contractor begins to manufacture concrete, the Contractor shall secure DDC's QACS approval of the mix design the Contractor proposes to use.

The Contractor shall submit for this purpose a statement, in writing, of the sources of all ingredient materials, the type and brand of the cement and the number of pounds of each

of the materials in a saturated surface-dry condition making up one (1) cubic yard of concrete. The range of water-cement ratios within which the concrete will be manufactured and the method of mixing to be employed shall also be stated. The mix design submittal shall include gradation of aggregates, specific gravities of ingredients, unit weight, mix proportion for each batch (a minimum of four (4) batches except in case of precast plants where one specific mix may be proposed), compressive strength test results for each mix at 7-days, 28-days (high-early strength mixes may require 6-hours, 24-hours, 3-days and shrinkage test as per the requirements), and graphical representation of strength vs. W/C projected in hours/days.

The Contractor may submit for approval concrete mixes that (within one (1) year of the contract) have been previously approved and used on other jobs with any Bureau of the Department of Environmental Protection or the Department of Design and Construction. Such submittals shall contain evidence that the concrete mix was approved within one (1) year of this contract and shall show that the concrete will be produced at the same mix plant, that the cement and admixtures are the same type (though not necessarily the same brand), that the water/cement ratio is the same and that adjustments have been made in the mix for air content, specific gravity and gradation of the aggregates.

If the Contractor elects to submit a concrete mix that was not previously approved, the Contractor shall submit the new concrete mix in accordance with Chapters 2 and 3 of General Specification 11 as modified herein.

(10) Refer to Section 2.15 - Concrete, Subsection 2.15.3 - Modifications, Page II-26:

Add to Subsection 2.15.3, before Reference Number D 8.2 the following:

D 7.3.3 ADD the following to Subsection 7.3.3 of GS11:

Each Portland cement concrete batching plant shall be subject to approval by DDC's Quality Assurance and Construction Safety (QACS) Bureau and in accordance with their "MIX DESIGN, LABORATORY AND PLANT APPROVAL PROTOCOL". Copies of this protocol may be obtained at the preconstruction meeting or from the Engineer. The minimum requirement for approval is that the proposed Portland cement concrete batching plant must be on the New York State Department of Transportation (NYSDOT) approved list for the current construction season.

The minimum requirement for approval of a precast concrete plant is that the proposed plant must be on the NYSDOT approved list. A waiver for this requirement may be granted by the DDC's Quality Assurance and Construction Safety (QACS) Director for special products that no NYSDOT approved plant is capable of producing.

Each Portland cement concrete batching plant shall also be subject to auditing and approval of the DDC's Director of Quality Assurance and Construction Safety (QACS). The Director of QACS may at any time discontinue the use of any previously approved equipment if nonconformance with the specifications results during the progress of the work. When the Director of QACS discontinues the use of the plant, production will not be acceptable for Department work until corrective measures satisfactory to the Director are carried out.

(11) Refer to Section 2.15 - Concrete, Subsection 2.15.3 - Modifications, Page II-26:

Delete from Subsection 2.15.3, Reference Number D 16.3 together with its paragraphs in their entirety:

Substitute the following:

D 16.3 Testing Service - ADD the following:

The Contractor shall retain the services of an independent testing laboratory to provide for the services outlined in 16.3.1.4 to 16.3.1.11 of GS11, with the exception of those tests specified herein to be performed by the Engineer and the City Retained Laboratory.

All laboratories shall be subject to approval by DDC's Quality Assurance and Construction Safety (QACS) Bureau and in accordance with their "MIX DESIGN, LABORATORY AND PLANT APPROVAL PROTOCOL". Copies of this protocol may be obtained at the pre-construction meeting or from the Engineer. The minimum requirement for approval is that the laboratory must have the current AMRL/AASHTO R-18 accreditation in the category of service proposed and must be currently licensed by the NYC Department of Buildings (DOB).

(12) Refer to Section 2.15 - Concrete, Subsection 2.15.3 - Modifications, Page II-26:

Delete from **Subsection 2.15.3, Reference Number D 16.8** together with its paragraphs in their entirety:

Substitute the following:

D 16.8 Responsibilities and Duties of Contractor - ADD the following:

The Contractor may, if the Contractor so desires, take cylinders corresponding to those taken by the Engineer for the City Retained Laboratory. However, determination of payment will be based solely on the cylinders taken by the Engineer for the City Retained Laboratory.

CONCRETE TEST CYLINDERS

The Contractor will be responsible for safe delivery of concrete cylinders to the Department of Design and Construction Laboratory, within two (2) days after molding, where they will be properly stored and cured until the date of test, and tested by others, upon removal from the curing room. The Department of Design and Construction testing laboratory will provide the services for the curing and breaking of the test cylinders.

The Contractor shall provide empty cylinder molds and facilities for the proper care of these cylinders while on the site, and shall safeguard them against injury and protect them from the elements.

The Engineer will be responsible for the preparation, documentation and labeling of the cylinders and for notifying the Contractor, at least twenty-four (24) hours in advance, when a shipment of cylinders is ready for delivery, so that cylinders can be tested for the standard twenty-eight (28) day and seven (7) day tests. Cylinders are to be delivered by the Contractor to a designated area near 30-30 Thomson Avenue, Long Island City, New York, or where otherwise directed within the City of New York.

The Contractor shall make arrangements to protect all cylinders from damage during loading, transport to, and unloading at a Department of Design and Construction designated testing laboratory, and shall obtain a receipt for delivered cylinders, which shall be submitted to the Engineer.

(13) Refer to Section 4.06 - Backfilling, Subsection 4.06.3 - Method Of Depositing All Backfill, Page IV-18:

(A) Add the following paragraph to beginning of **Subsection 4.06.3**:

At the preconstruction meeting, the Contractor shall submit for approval a full description of the Contractor's proposed methods to be used for all backfilling operations including, but not limited to, equipment, backfill material, depth of compaction layers, and trench locations where each is to be

employed. In the field, the Contractor shall be required to demonstrate that the Contractor's methods of backfilling and compaction shall obtain a minimum of ninety-five (95) percent of Standard Proctor Maximum Dry Density.

(B) Delete from **Subsection 4.06.3**, the fourth paragraph in its entirety:
Substitute the following:

Unless otherwise approved in writing by the Engineer, backfilling of the remainder of the trenches and excavations from a point not less than two (2) feet above the top of the sewer conduit (i.e. sewer pipes on cradles or encasements, reinforced concrete sewers, basin and house connections, culverts, etc.) to the underside of the pavement shall be progressively deposited in uniform and successive horizontal layers not exceeding twelve (12) inches in depth for the entire width of the trench or excavation and each successive layer shall be solidly compacted by mechanical tamping or other approved means so as to achieve the required density. In deep trenches defined as those requiring sheeting, the Contractor may submit to the Engineer, for approval, an alternate backfill method (i.e. jetting, deeper deposited layers not exceeding twenty-four (24) inches, etc.) for depositing and compacting the backfill from two (2) feet above the top of the sewer conduit to a plane five (5) feet below final surface elevation. However, approval of any alternate backfill method shall not relieve the Contractor from obtaining a minimum of ninety-five (95) percent of Standard Proctor Maximum Dry Density. Should the Engineer determine that the specified density is not being obtained, the area must be re-excavated and backfilled at the Contractor's own cost until the required compaction density is achieved.

(C) Delete from **Subsection 4.06.3**, the seventh paragraph in its entirety:
Substitute the following:

Backfill shall proceed simultaneously with the withdrawal of sheeting but at no time shall the withdrawal of sheeting exceed a height of six (6) inches above the deposited backfill. Withdrawal of sheeting below levels previously backfilled and compacted is prohibited.

(14) Refer to **Section 5.01 - Reinforced Concrete Sewers, Subsection 5.01.4 - Precast Reinforced Concrete Sewer**, Paragraph (C) - Details, second paragraph, first line, Page V-4:
Change the words "C789 or C850 (as required)", to "C1433":

(15) Refer to **Section 5.05C - Reconstruction Of Existing Sewers Using D.E.P. Approved Cured-In-Place-Pipe (CIPP) Lining Method, Subsection 5.05C.6 - Separate Payment**, third paragraph, second line, Page V-49
Change the word, "nine", to "eleven":

(16) Refer to **Section 5.11 - Outfall Structures, Subsection 5.11.2 - Materials**, Page V-95:
Delete from **Subsection 5.11.2**, paragraph (A) in its entirety:
Substitute the following:

(A) Concrete used for outfall structure (including headwalls, reinforced concrete sewer outfalls, cradles and encasements, chambers, manholes and catch basins) shall comply with the requirements of **General Specification 11 - Concrete, as modified in Section 2.15**; and, shall contain entrained air of six percent (6%), and a corrosion inhibitor. The corrosion inhibitor shall consist of a calcium nitrite solution, containing 30 ±2% calcium nitrite solids by weight and having a specific gravity of 1.27 ±0.02. The corrosion inhibitor when used in the manufacturing process shall not produce a significant amount of chloride ions in the final product (less than 1,000-ppm). The ph shall be greater than 8. The admixture shall not contain chemicals that produce a condition injurious to the quality and durability of the concrete or reinforcing steel. Calcium nitrite, which acts as an accelerator, may be used in conjunction with compatible retarding admixtures to control setting time and workability of the concrete, consult the manufacturer of the product. The corrosion inhibitor must be added to the mix immediately after air entraining and retarding admixtures have been introduced into the batch.

Acceptance of calcium nitrite based corrosion inhibitor shall be based upon it being listed in the most current New York State Department of Transportation's "Approved List Of Calcium Nitrite Based Corrosion Inhibitors".

(17)Refer to Section 5.18A - Sewer Cleaning, Subsection 5.18A.3 - Disposal, Page V-124:

Delete from **Subsection 5.18A.3**, the first paragraph in its entirety:

Substitute the following:

All material removed from the sewers and sewer portions through the manholes under this contract shall become the property of the Contractor and shall be properly disposed of away from the site, at the Contractor's expense.

(18)Refer to Section 5.23 - Decking, Subsection 5.23.1 - Description, Page V-161:

Delete from **Subsection 5.23.1**, the third paragraph in its entirety:

Substitute the following:

Steel plates that are resting on pavement, that are not part of a decking system, and are used to temporarily span trenches and excavations for vehicular traffic and for pedestrian crossings and walkways shall not be included for payment under this decking section. The cost of all labor, materials, equipment, insurance and incidentals necessary to furnish, place, anchor and ramp these temporary steel plates, when and where directed, in order to comply with the requirements of the NYCDOT Office of Construction Mitigation and Coordination (OCMC) traffic stipulations, the directions of the Engineer, and the Contractor's construction operations shall be deemed included in the prices bid for all contract items of work.

(19)Refer to Section 5.23 - Decking, Subsection 5.23.4 - Design Criteria, Page V-162:

Add the following to **Subsection 5.23.4**:

(C) The Contractor may substitute skid resistant steel plates (Non-Skid Textured Plates) for timber mats, subject to approval in accordance with **Subsection 4.05.5**. These steel plates shall be placed flush with the existing roadway and shall be installed in compliance with the requirements of **Subsection 4.05.6(G)**.

(20)Refer to Subsection 5.32.4 - Specific Pavement Restoration Provisions, Page V-185:

Add the following to **Subsection 5.32.4**:

(E) Specific Pavement Restoration Provisions:

- (1) Within the limits of the highway rehabilitation the restoration shall be accomplished and paid for in accordance with Highway Construction Plans, Details and Specifications for Highway Project ID. HWMP2017.

(21)Refer to Section 5.36 - Additional Earth Excavation Including Test Pits, Subsection 5.36.4 - Price To Cover, Paragraph (3), fifth line, Page V-195:

Change 16", to 16'.

D. AMENDMENTS TO THE STANDARD WATER MAIN SPECIFICATIONS**(1) Refer to Subsection 1.06.3 - Hours Of Work, Page I-4:**

Add the following to **Subsection 1.06.3**:

- (A) **HOLIDAY CONSTRUCTION EMBARGO** - A special Holiday Construction Embargo shall be in effect on the Friday of the week preceding Thanksgiving Day week from 6:00 AM to 11:59 PM and again from the Monday of Thanksgiving Day week from 6:00 AM through January 2, at 11:59 PM. Roadway and sidewalk construction activities will be restricted during the embargo period on the streets listed below*.

Any permits issued prior to the date of this notice, for work during this embargo period on the streets listed below which do not already have the permit stipulation "410" are hereby suspended for the period noted above. All permittees must comply with this embargo unless a special waiver is granted by OCMC. Waiver requests must be filed at least thirteen (13) days before Thanksgiving Day, in the Permit Office by filing a "Request for Roadway/Sidewalk Permits During Embargo Periods" and submitting supporting documentation. Waiver requests should only be submitted for critical reasons for a specific project. If a waiver is granted, the applicant will be notified so they can apply for the approved permits. Waivers **are not** required for ongoing Building Construction Activity Permits which already include the "410" permit stipulation. Waiver request forms may be obtained at any Permit Office or on the Department of Transportation's website at:

<http://www.nyc.gov/html/dot/downloads/pdf/holidayembapp.pdf>

Prior to this embargo period all necessary measures must be taken so that all roadways and sidewalks are in proper condition to allow for the expeditious and safe movement of vehicular, bicycle and pedestrian traffic. Tool carts, cable reels, containers, and material stored on roadways must be removed during the embargo period.

The opening of utility access covers is prohibited on any of the streets noted below between the hours of 6:00 AM and midnight unless the utility or contractor files for an Emergency Authorization Number as required by section 2-07 of the Department of Transportation's Highway Rules. The planned opening of utility access covers may occur during the hours of 12:01 AM and 5:59 AM where no authorization number is required.

Temporary restoration of the streets and sidewalks and removal thereof, if required for the Holiday Embargo period, will be paid for under the appropriate scheduled items.

No extension of time due to the shutdown period will be granted to the Contractor for completion of the work.

* Please note that this embargo only applies to NYCDOT construction permits. List of street and maps of the affected locations are available by borough on the Department of Transportation's website at: <http://www.nyc.gov/html/dot/html/motorist/trafalrt.shtml>

(2) Refer to Subsection 1.06.27 - Salvageable Materials, Page I-14:

Delete the paragraph starting with the words, "No salvageable material...", and ending with the words, "...from the site.", in its entirety:

Substitute the following:

Except as specified below, no salvageable material shall be returned to the New York City Department of Environmental Protection regardless of condition. It shall become the property of the Contractor for removal and disposal, by the Contractor, away from the site.

The Contractor shall salvage and deliver to a designated NYCDEP yard all Metropolitan Valves (6" thru 20") removed during construction of the contract.

- (3) **Refer** to Standard Water Main Specifications (August 1, 2009), **Subsection 1.06.29 - Contractor To Provide For Traffic**, Page I-15:
Add the following to **Subsection 1.06.29**:

See amended Standard Sewer Specifications (August 1, 2009) **Subsection 1.06.29 - Contractor To Provide For Traffic** of this addendum.

- (4) **Refer** to **Section 1.08 - Miscellaneous Provisions**, Page I-19:
Delete Subsection 1.08.2 - Vendors in its entirety:
Substitute the following new **Subsection 1.08.2**:

1.08.2 VENDORS

Prior to starting work, the Contractor shall submit in writing to the Engineer the names of all vendors and manufacturers the Contractor intends to use. Unless otherwise specified in the contract documents or a written exception is granted by NYCDDC, the Contractor shall submit only one (1) vendor or manufacturer for each product that is to be incorporated in the contract. The use of multiple vendors or manufacturers to supply the same product will be prohibited, unless otherwise specified in the contract documents or a written exception is granted by NYCDDC. If the vendor or manufacturer is not approved, the Contractor will be notified to either submit another vendor or manufacturer, or have their proposed vendor or manufacturer submit a request for approval from NYCDDC. The Contractor will be prohibited from using the vendor or manufacturer until approval of the vendor or manufacturer has been acquired from NYCDDC.

- (5) **Refer** to **Section 1.08 - Miscellaneous Provisions**, Page I-20:
Add the following new **Subsection 1.08.7**:

1.08.7 SUBMITTAL OF SCHEDULE LOG

The Contractor's attention is directed to **Article 9 - Progress Schedule** of the Contract. The Contractor shall submit along with the proposed progress schedule the following: A schedule log in Excel Format (tied to the proposed progress schedule) indicating a description of and the schedule submission dates for all required submittals, shop drawings, approval requests, design mixes, reports, samples, etc., as required by the specifications and the terms of the contract.

- (6) **Refer** to **Section 2.15 - Concrete, Subsection 2.15.3 - Modifications**, Page II-11:
Delete from **Subsection 2.15.3, Reference Number D 3.2.1** together with its paragraphs in their entirety:
Substitute the following:

D 3.2.1 **DELETE** 3.2.1 to 3.2.9 of GS11 and **SUBSTITUTE** the following:

All concrete mix designs shall be subject to approval by DDC's Quality Assurance and Construction Safety (QACS) Bureau and in accordance with their "MIX DESIGN, LABORATORY AND PLANT APPROVAL PROTOCOL". Copies of this protocol may be obtained at the preconstruction meeting or from the Engineer. Before the Contractor begins to manufacture concrete, the Contractor shall secure DDC's QACS approval of the mix design the Contractor proposes to use.

The Contractor shall submit for this purpose a statement, in writing, of the sources of all ingredient materials, the type and brand of the cement and the number of pounds of each of the materials in a saturated surface-dry condition making up one (1) cubic yard of concrete. The range of water-cement ratios within which the concrete will be

manufactured and the method of mixing to be employed shall also be stated. The mix design submittal shall include gradation of aggregates, specific gravities of ingredients, unit weight, mix proportion for each batch (a minimum of four (4) batches except in case of precast plants where one specific mix may be proposed), compressive strength test results for each mix at 7-days, 28-days (high-early strength mixes may require 6-hours, 24-hours, 3-days and shrinkage test as per the requirements), and graphical representation of strength vs. W/C projected in hours/days.

The Contractor may submit for approval concrete mixes that (within one (1) year of the contract) have been previously approved and used on other jobs with any Bureau of the Department of Environmental Protection or the Department of Design and Construction. Such submittals shall contain evidence that the concrete mix was approved within one (1) year of this contract and shall show that the concrete will be produced at the same mix plant, that the cement and admixtures are the same type (though not necessarily the same brand), that the water/cement ratio is the same and that adjustments have been made in the mix for air content, specific gravity and gradation of the aggregates.

If the Contractor elects to submit a concrete mix that was not previously approved, the Contractor shall submit the new concrete mix in accordance with Chapters 2 and 3 of General Specification 11 as modified herein.

(7) **Refer** to Section 2.15 - Concrete, Subsection 2.15.3 - Modifications, Page II-13:

Add to Subsection 2.15.3, before Reference Number D 8.2 the following:

D 7.3.3 **ADD** the following to Subsection 7.3.3 of GS11:

Each Portland cement concrete batching plant shall be subject to approval by DDC's Quality Assurance and Construction Safety (QACS) Bureau and in accordance with their "MIX DESIGN, LABORATORY AND PLANT APPROVAL PROTOCOL". Copies of this protocol may be obtained at the preconstruction meeting or from the Engineer. The minimum requirement for approval is that the proposed Portland cement concrete batching plant must be on the New York State Department of Transportation (NYSDOT) approved list for the current construction season.

The minimum requirement for approval of a precast concrete plant is that the proposed plant must be on the NYSDOT approved list. A waiver for this requirement may be granted by the DDC's Quality Assurance and Construction Safety (QACS) Director for special products that no NYSDOT approved plant is capable of producing.

Each Portland cement concrete batching plant shall also be subject to auditing and approval of the DDC's Director of Quality Assurance and Construction Safety (QACS). The Director of QACS may at any time discontinue the use of any previously approved equipment if nonconformance with the specifications results during the progress of the work. When the Director of QACS discontinues the use of the plant, production will not be acceptable for Department work until corrective measures satisfactory to the Director are carried out.

(8) **Refer** to Section 2.15 - Concrete, Subsection 2.15.3 - Modifications, Page II-14:

Delete from Subsection 2.15.3, Reference Number D 16.3 together with its paragraphs in their entirety:

Substitute the following:

D 16.3 Testing Service - **ADD** the following:

The Contractor shall retain the services of an independent testing laboratory to provide for the services outlined in 16.3.1.4 to 16.3.1.11 of GS11, with the exception of those tests specified herein to be performed by the Engineer and the City Retained Laboratory.

All laboratories shall be subject to approval by DDC's Quality Assurance and Construction Safety (QACS) Bureau and in accordance with their "MIX DESIGN, LABORATORY AND PLANT APPROVAL PROTOCOL". Copies of this protocol may be obtained at the pre-construction meeting or from the Engineer. The minimum requirement for approval is that the laboratory must have the current AMRL/AASHTO R-18 accreditation in the category of service proposed and must be currently licensed by the NYC Department of Buildings (DOB).

(9) **Refer to Section 2.15 - Concrete, Subsection 2.15.3 - Modifications, Page II-14:**

Delete from **Subsection 2.15.3, Reference Number D 16.8** together with its paragraphs in their entirety:

Substitute the following:

D 16.8 Responsibilities and Duties of Contractor - **ADD** the following:

The Contractor may, if the Contractor so desires, take cylinders corresponding to those taken by the Engineer for the City Retained Laboratory. However, determination of payment will be based solely on the cylinders taken by the Engineer for the City Retained Laboratory.

CONCRETE TEST CYLINDERS

The Contractor will be responsible for safe delivery of concrete cylinders to the Department of Design and Construction Laboratory, within two (2) days after molding, where they will be properly stored and cured until the date of test, and tested by others, upon removal from the curing room. The Department of Design and Construction testing laboratory will provide the services for the curing and breaking of the test cylinders.

The Contractor shall provide empty cylinder molds and facilities for the proper care of these cylinders while on the site, and shall safeguard them against injury and protect them from the elements.

The Engineer will be responsible for the preparation, documentation and labeling of the cylinders and for notifying the Contractor, at least twenty-four (24) hours in advance, when a shipment of cylinders is ready for delivery, so that cylinders can be tested for the standard twenty-eight (28) day and seven (7) day tests. Cylinders are to be delivered by the Contractor to a designated area near 30-30 Thomson Avenue, Long Island City, New York, or where otherwise directed within the City of New York.

The Contractor shall make arrangements to protect all cylinders from damage during loading, transport to, and unloading at a Department of Design and Construction designated testing laboratory, and shall obtain a receipt for delivered cylinders, which shall be submitted to the Engineer.

(10) **Refer to Section 4.06 - Backfilling, Subsection 4.06.3 - Method Of Depositing All Backfill, Page IV-18:**

(A) **Add** the following paragraph to beginning of **Subsection 4.06.3:**

At the preconstruction meeting, the Contractor shall submit for approval a full description of the Contractor's proposed methods to be used for all backfilling operations including, but not limited to, equipment, backfill material, depth of compaction layers, and trench locations where each is to be

employed. In the field, the Contractor shall be required to demonstrate that the Contractor's methods of backfilling and compaction shall obtain a minimum of ninety-five (95) percent of Standard Proctor Maximum Dry Density.

(B) Delete from **Subsection 4.06.3**, the fourth paragraph in its entirety:
Substitute the following:

Unless otherwise approved in writing by the Engineer, backfilling of the remainder of the trenches and excavations from a point not less than twelve (12) inches above the top of the barrel of the water main pipe to the underside of the pavement shall be progressively deposited in uniform and successive horizontal layers not exceeding twelve (12) inches in depth for the entire width of the trench or excavation and each successive layer shall be solidly compacted by mechanical tamping or other approved means so as to achieve the required density. In deep trenches defined as those requiring sheeting, the Contractor may submit to the Engineer, for approval, an alternate backfill method (i.e. jetting, deeper deposited layers not exceeding twenty-four (24) inches, etc.) for depositing and compacting the backfill from twelve (12) inches above the top of the barrel of the water main pipe to a plane five (5) feet below final surface elevation. However, approval of any alternate backfill method shall not relieve the Contractor from obtaining a minimum of ninety-five (95) percent of Standard Proctor Maximum Dry Density. Should the Engineer determine that the specified density is not being obtained, the area must be re-excavated and backfilled at the Contractor's own cost until the required compaction density is achieved.

(C) Delete from **Subsection 4.06.3**, the seventh paragraph in its entirety:
Substitute the following:

Backfill shall proceed simultaneously with the withdrawal of sheeting but at no time shall the withdrawal of sheeting exceed a height of six (6) inches above the deposited backfill. Withdrawal of sheeting below levels previously backfilled and compacted is prohibited.

(11) Refer to **Section 5.02 - Laying Ductile Iron Pipe And Fittings, Subsection 5.02.3(F) - Bedding And Foundation Of Pipes**, Page V-8:

Delete from **Subsection 5.02.3(F)**, Paragraph (5) - Pier And Plate, in its entirety:

Substitute the following new Paragraph (5):

- (5) Shallow Cover: Where mains 24-inches and smaller are laid with covers of 2'-0" or less, the Contractor shall provide protection in accordance with **Standard Drawing No. 42063-Y** or as directed by the Engineer.

Where mains 24-inches and smaller are laid with covers between 2'-6" and 2'-0", the Contractor shall provide steel plates only over the main with dimensions as shown on **Standard Drawing No. 46464-Z** or as directed by the Engineer.

Where mains 30-inches and larger are laid with covers of 2'-6" or less, the Contractor shall provide protection in accordance with **Standard Drawing No. 46464-Z** or as directed by the Engineer.

Covers over the new mains shall not be less than 1'-6".

(12) Refer to **Section 5.02 - Laying Ductile Iron Pipe And Fittings, Subsection 5.02.3 - Construction Methods, Paragraph (M) - Laying Temporary Connections**, Page V-12:

Delete Paragraph (M), in its entirety:

Substitute the following:

(M) LAYING TEMPORARY CONNECTIONS AND INSTALLING TEMPORARY CAP ASSEMBLIES AND/OR BULKHEADS

- (1) When new water mains are laid and it becomes necessary to provide a temporary connection between the existing main and new mains laid under this contract (regardless of whether the new and existing water mains are in the same trench or are offset in two different trenches), the Contractor shall, if ordered, provide all labor, equipment and facilities for laying, maintaining and removing when directed, temporary connections and appurtenances. If City forces do laying of temporary connections, the Contractor shall make all required equipment and facilities available to them. No payment will be made for providing temporary house services which may be required when making a temporary connection between the existing and new main.
- (2)
 - (a) Temporary cap assemblies on distribution water mains (20" and less in diameter) shall consist of a 2-foot long spigot/spigot ductile iron pipe with a mechanical joint cap restrained to the pipe with a "wedge-type" retainer gland and a minimum 2-inch tap on the pipe section.
 - (b) Temporary cap assemblies on distribution water mains (20" and less in diameter) shall be restrained and braced in a manner sufficient to support system working pressures, and thrust forces.
 - (c) The 2-inch tap required as part of the temporary cap assembly is to be utilized to allow air to escape while filling the main in addition to allow for proper flushing of the main.
 - (d) Restraint and bracing as well as temporary cap assemblies/bulkheads for water mains greater than 20" in diameter shall be submitted for approval by the Engineer prior to being utilized.

(13) Refer to Section 5.02 - Laying Ductile Iron Pipe And Fittings, Subsection 5.02.5 - Price To Cover, Paragraph (10), Page V-16:

Delete Paragraph (10), in its entirety:

Substitute the following:

- (10)(a) No separate or additional payment will be made to the Contractor for furnishing, delivering, installing, restraining, bracing and removing temporary cap assemblies/bulkheads for water mains as ordered by the Engineer. The costs thereof shall be deemed included in the unit prices bid for all items of the contract.
- (b) Payment for temporary valves (i.e. construction valves) and its associated fittings ordered by the Engineer during the course of the work to be installed will be paid for at the same rates as for valves and fittings permanently installed.
- (c) If ordered by the Engineer, removal of valves (i.e. construction valves) and its associated fittings, including their transfer and disposal shall be deemed included in the prices bid for all items of the contract. No separate or additional payment will be made for this work.
- (d) Payment For Temporary Connections: When new mains are laid and it becomes necessary to provide a temporary connection between the existing and new mains the following method of payment shall apply: The Contractor shall be paid once for furnishing and delivering pipes and fittings used in temporary connections. The Contractor shall also be paid for laying the temporary pipe connection and fitting using the appropriate pipe laying item for each time that the Contractor is directed to use them throughout the project as directed by the Engineer.

(14) Refer to Subsection 5.04.4 - Furnishing, Delivering And Installing Steel Tee, Paragraph (5), Item Numbers list, Page V-23:

Delete Item No. "60.23ST20T48", together with Description "FURNISHING, DELIVERING AND INSTALLING 48-INCH X 20-INCH STEEL TEE", and Pay Unit "EACH":

(15) Refer to Section 5.05 - Furnishing And Delivering Gate Valves, Page V-35:

(A) Delete from **Subsection 5.05.1 - Description**, the first paragraph in its entirety:
Substitute the following:

This specification describes furnishing and delivering of double disc 3-inch to 20-inch gate valves, resilient seated 3-inch to 20-inch gate valves and resilient seated 3-inch to 12-inch tapping valves.

Unless otherwise specified in the contract documents or ordered in writing by the Engineer, only resilient seated gate valves and tapping valves shall be furnished and delivered by the Contractor on the contract.

(B) Delete from **Subsection 5.05.2 - Materials**, second paragraph, first line, the words, "6-inch hydrant":
Substitute the following words, "3-inch to 20-inch":

(16) Refer to **Section 5.06 - Setting Gate Valves**, Page V-38:

(A) Delete from **Subsection 5.06.1 - Description**, the first paragraph in its entirety:
Substitute the following:

This specification describes the installation of double disc 3-inch to 20-inch gate valves, resilient seated 3-inch to 20-inch gate valves and resilient seated 3-inch to 12-inch tapping valves. It also describes the installing of manhole frames (skirts and heads) and covers.

Unless otherwise specified in the contract documents or ordered in writing by the Engineer, only resilient seated gate valves and tapping valves shall be installed by the Contractor on the contract.

(B) Delete from **Subsection 5.06.2 - Materials**, second paragraph, first line, the words, "6-inch hydrant":
Substitute the following words, "3-inch to 20-inch":

(17) Refer to **Section 5.23 - Decking, Subsection 5.23.1 - Description**, Page V-73:

Delete from **Subsection 5.23.1**, the third paragraph in its entirety:
Substitute the following:

Steel plates that are resting on pavement, that are not part of a decking system, and are used to temporarily span trenches and excavations for vehicular traffic and for pedestrian crossings and walkways shall not be included for payment under this decking section. The cost of all labor, materials, equipment, insurance and incidentals necessary to furnish, place, anchor and ramp these temporary steel plates, when and where directed, in order to comply with the requirements of the NYCDOT Office of Construction Mitigation and Coordination (OCMC) traffic stipulations, the directions of the Engineer, and the Contractor's construction operations shall be deemed included in the prices bid for all contract items of work.

(18) Refer to **Section 5.23 - Decking, Subsection 5.23.4 - Design Criteria**, Page V-74:

Add the following to **Subsection 5.23.4**:

(C) The Contractor may substitute skid resistant steel plates (Non-Skid Textured Plates) for timber mats, subject to approval in accordance with **Subsection 4.05.5**. These steel plates shall be placed flush with the existing roadway and shall be installed in compliance with the requirements of **Subsection 4.05.6(G)**.

(19) Refer to Standard Water Main Specifications (August 1, 2009), **Section 5.32 - Final Restoration Of Pavements**, Page V-99:

Add the following to **Subsection 5.32.4 - Specific Pavement Restoration Provisions**:

See amended Standard Sewer Specifications (August 1, 2009) **Subsection 5.32.4 - Specific Pavement Restoration Provisions** of this addendum.

(20)Refer to Section 5.36 - Additional Earth Excavation Including Test Pits, Subsection 5.36.4 - Price To Cover, Paragraph (3), fifth line, Page V-114:
Change 16", to 16'.

END OF ADDENDUM NO. 2
This Addendum consists of nineteen (19) pages.

NO TEXT ON THIS PAGE

ATTACH TO CONTRACT DOCUMENTS

**THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
INFRASTRUCTURE DIVISION
BUREAU OF DESIGN**

PROJECT ID: HWMP2017

**RECONSTRUCTION OF WADSWORTH TERRACE
FROM WEST 190TH STREET TO FAIRVIEW AVENUE
INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC WORK**

**Together With All Work Incidental Thereto
BOROUGH OF MANHATTAN
CITY OF NEW YORK**

ADDENDUM NO. 3

DATED: March 23, 2009

This Addendum is issued for the purpose of amending the requirements of the Contract Documents and is hereby made part of said Contract Documents to the same extent as if it was originally included therein.

GAS COST SHARING (EP-7) STANDARD SPECIFICATIONS

EP-7 GAS COST SHARING STANDARD SPECIFICATIONS

TABLE OF CONTENT

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I - NOTICE TO ALL BIDDERS; GAS COST SHARING WORK

All prospective bidders are hereby advised that, pursuant to the "Gas Facility Cost Allocation Act", ("the Act"), the City of New York has entered into an agreement ("the Agreement") with the gas companies (Con Edison or National Grid (formerly KeySpan Energy Delivery)) operating in their respective areas of the City to "share" the cost of facility relocation and/or support and protection of facilities disturbed by proposed water and/or sewer and related City work specified in this contract. Therefore, bid items, specifications and estimated quantities for the incremental costs of support and protection of certain gas facilities have been included in this contract. The low bid for this contract shall be determined by examining each bid for all work to be performed under this contract including any work of support and protection of gas facilities to be performed. The Contractor shall not seek additional compensation from gas companies except as specifically set forth in its contract.

II - GENERAL PROVISIONS; GAS COST SHARING WORK

1. General:

The Contractor shall perform City work with interferences from existing live and abandoned gas facilities. This shall be defined as utility work. Therefore, this contract include bid items, specifications and estimated quantities designed to fully compensate him/her for the incremental costs of supporting, protecting, provide accommodations and, avoid disturbing gas facilities located in the streets shown on the contract drawings. In the event that any other provisions of this contract related to gas facilities (or private utilities) conflict with these provisions, these provisions shall supersede and govern all work related to gas facilities owned by the companies operating in the project area. All utility work, as defined in these specifications, including changes and additions thereto shall be paid solely by the City except when specified otherwise in this contract. Contractor hereby agrees that the facility operator shall not be liable to pay him/her for any work performed including extra utility work. Contractor agrees that its bid prices include all compensation for loss of productivity and efficiency, idle time, delays (including any delays occasioned by negotiation of a contract change), change in operations, mobilization, demobilization, remobilization, added cost or expense, lost of profit, other damages or impact costs that may be suffered by or because of utility work, or the presence of gas facilities in the proximity of City work and that it will not seek additional compensation for these items. All disputes shall be resolved as specified in the contract.

Pursuant to the Act, Agreement, and the New York City Administrative Code, the gas company(ies) has been directed by the Commissioner and is required to perform all maintenance, repairs, replacement, shifting, alteration, relocation, and/or removal work that are not part of this contract. By having bid on this contract, the Contractor understands and agrees that the Commissioner has preasserted any right the City has to require, including the issuance of any directives or so called "order outs" under the New York City Administrative Code, any or all gas companies to maintain, repair, replace, protect, support, shift, alter, relocate, and/or remove all gas facilities that are about to be disturbed by the City contract work. The issuance of additional such directives during the performance of the contract work, where necessary in the sole judgment of the Commissioner, shall be initiated by such Commissioner as set forth in the relevant sections of the Act and Agreement. Contractor further agrees to insert such requirements as set forth herein above into any contracts with its approved subcontractors so that its subcontractors also understand and agree to such contract requirements.

2. Gas Interferences And Accommodations:

During the performance of sewer and water main work funded by the New York City Department of Environmental Protection (NYCDEP), as instructed by the Engineer, the use of any applicable contract bid item is allowed in order to resolve and accommodate all gas facilities interferences with such City work, including the removal of contaminated soil in associated trench excavation. This is in addition to the specified EP-7 bid items in the contract. Payment for such accommodation shall be funded by EP-7 bid item **"UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS"** (F.S. Fixed Sum). The value of such accommodation shall be computed by multiplying the appropriate unit prices bid to the quantity of work performed, as determined by the Engineer, and applying the total amount thus to be paid

to EP-7 bid item "UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS". When EP-7 bid item "UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS" does not exist, such additional accommodation work shall be at no cost to the City but shall be a matter of adjustment between gas facility operator and Contractor. Private facilities, other than gas, that become in interference due to gas interferences accommodations shall also be accommodated, if so directed by the Resident Engineer, at no additional cost to the City and, provided that its owner agrees to be responsible for all additional costs to Contractor, otherwise, such facility shall be ordered by the City to be maintained, shifted, relocated or replaced by its owner at his/her expenses.

2a. Water Main Accommodations:

When water main construction is to be performed in this contract, Contractor shall be required, if warranted by field conditions, and at locations designated by the Resident or Borough Engineer, to change the vertical or horizontal alignment of water mains including but not limited to all additional labor, material, work method accommodations, furnishing, delivering and laying offset fittings and pipes, etc., necessary in order to complete water main installation and, avoid gas interferences in the project area, including street intersections. Typical work method accommodations shall include, but not be limited to, pier and plate, installation of filter fabric and select fill, etc. Such work shall be performed as directed by the Engineer and in accordance with contract specifications and latest edition of water mains standards and specifications.

2b. Sewer Accommodations:

When sewer construction is to be performed in this contract, Contractor shall be required, if warranted by field conditions, and at locations designated by the Resident or Borough Engineer, to change the horizontal alignment of sewer facilities (if possible) including but not limited to all additional labor, material, work method accommodations, furnishing, delivering and construction of additional manholes or modification of manholes/catch basins, extending chute connections, house connections, using alternate materials and methods, poured-in-place structures, etc., necessary in order to complete sewer installation and, avoid gas interferences in the project area, including street intersections. The term sewer facility shall include, but not be limited to, all sewer pipe and appurtenances, manholes, catch basins, catch basin chutes, etc. Such work shall be performed as directed by the Engineer and in accordance with contract specifications and latest edition of sewer standards and specifications.

3. Quantity Overruns, EP-7 Funded Bid Items:

No quantity overrun, in excess of one hundred twenty five (125) percent, shall be permitted for EP-7 funded bid items (gas) included in this contract, except when Resident Engineer determines that such overruns are caused by field modifications to planned City work, or approved construction methods, or contract scope changes. Overruns not paid by City shall be negotiated and paid to Contractor by gas facility operator who then shall be entitled to reimbursement by NYCDEP under established cost sharing procedures.

4. Changes And Extra Work:

This section is not applicable to work defined under "Emergency Reconstruction Contracts" or so-called "Where and When Contracts" since these projects, by definition, inherently encounter unanticipated gas facilities and cannot be pre-engineered. In all other cases, any contract changes proposed for City work shall also cover and include all associated changes to support and protection of gas facilities affected by such changes to City work. In all other cases where the Contractor finds that City work cannot be performed as planned and specified and/or, as approved because of a need to support, protect and/or alleviate interferences from gas facilities that were not listed and/or shown, or incorrectly shown in contract plans and specifications, he shall immediately notify the Resident Engineer and the facility operators' representative of his findings. Resident Engineer shall promptly examine such claims and determine whether or not such work is covered by contract bid items and /or specifications (contract bid items and specifications shall include city contract items as well as EP-7 items). The Resident Engineer shall also examine the claim to determine if the application of EP-7 bid item "UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS" is appropriate to resolve the claim. If upon examination, the Engineer determines that such field conditions were unanticipated (not shown and/or listed, or incorrectly shown in contract documents) and are not covered by bid items and contract specifications, he shall then direct the Contractor and the affected facility operator to negotiate the cost of supporting and

protecting, and/or alleviating the impact on City work caused by such unanticipated gas facilities with each other with the understanding that the performance of City work shall continue during negotiations. If a cost agreement is reached, the Contractor and facility operator shall adjust such costs between themselves at no additional costs to the City contract. If the Contractor and affected facility operator do not reach an agreement concerning the price to be paid for the extra work within five (5) business days of the Engineer's directive to engage into such negotiations and, after considering: public safety and inconvenience, requirements of laws and regulations applicable to private utilities, integrity of all utility systems, including but not limited to sewer and water, gas, electric, telephone and, cable TV facilities, sound engineering practices, cost (long and short term) to all affected parties, and potential City work delays, then the Resident Engineer, depending on nature and severity of interferences with City work, shall either, direct the facility operator to relocate or replace its facilities at its own discretion and cost, reimbursable by NYCDEP under established gas cost sharing procedures or, direct the Contractor to perform the utility work on actual time, material and equipment costs basis pursuant to relevant contract requirements and amendments. Contract bid prices for any applicable items of work involved shall be applied, or converted to an allowance for time and material charges. Changes shall be for affected portions of utility work and, shall be processed with EP-7 funds.

5. Excavation:

All excavators shall notify the NYC/LI One Call Center at 1-800-272-4480 at least two (2) working days, not including the day of the call, but not more than ten (10) working days in advance of the start of any excavation work. The gas company(ies) will mark out its facilities within the project limits and provide Construction Inspector(s) during all excavation work in close proximity (within twelve (12) inches) to gas facilities. The Contractor shall exercise extreme caution when excavating in the vicinity of any gas facilities. Hand excavation shall be performed within twelve (12) inches of gas facilities. The Contractor prior to excavating underneath these facilities shall adequately support all gas facilities. Standard support details for gas facilities have been included in the specifications. Any damage to gas facilities shall be reported immediately to the gas company(ies). The Contractor shall be responsible for all cost associated with repairs made necessary by damages caused by his operations.

6. Backfilling And Street Restoration:

Backfilling operations and street restorations shall be in accordance with contract requirements.

7. Non-Responsive Bids:

Every gas (EP-7) bid item has a suggested "Not less than" value per unit indicated on contract bid sheet. Bids resulting in cost of less than suggested for EP-7 items are hereby prohibited and if submitted shall be considered NON-RESPONSIVE.

8. Minimum Clearances:

Clearance requirements for City work shall govern and supersede any clearance requirement of gas facility operator. Therefore, a minimum of twelve (12) inches clearance between private utilities and City water mains, sewers or related structures to be installed in this contract shall be maintained. When this clearance is not attainable, the Resident Engineer may allow a minimum of four (4) inches clearance. With less than twelve (12) inches clearance a neoprene/polyethylene shield (to be provided by facility operator) shall be installed as part of all work item specifications. However, if Resident Engineer determines that City work cannot be performed within allowable clearance and no reasonable City accommodation (no-cost change to City work) is possible, the City shall direct the facility operator to remove, relocate, shift, or alter their facility(ies) pursuant to the New York City Administrative Code.

9. Work By Facility Operator:

The facility operator may find it necessary to perform the following types of work during performance of City work: accommodating a contractor's request for gas facilities modifications (in order to facilitate City contractor's proposed construction method) or, remedial and emergency work on gas facilities proper with their own resources and materials if an approved method of construction for City work causes unanticipated disturbances to gas facilities or, replacing defective gas facilities when they are exposed by the Contractor and their actual conditions are observable by the facility operator. Also included in the above category of defective gas facilities are: the presence of environmental contaminants attributable to

the gas facility in or around gas facilities. If such work is deemed required by the facility operator or if facility operator is directed by the City to address such deficiencies at any time during the course of construction, the Contractor shall modify the construction schedule at no cost to the City and allow the facility operator five (5) business days to perform such work without interferences. Additional costs to the facility operator (in cases of accommodations) or, Contractor (in cases of defective gas facilities) due to such gas work, if any, shall be the responsibility of the parties involved and not of the City. Such costs shall be a matter of adjustment between the Contractor and the facility operator.

10. Materials Furnished By Facility Operator:

It shall be the Contractor's responsibility to inspect material to be installed by him immediately upon delivery and advise the facility operator through its authorized representative, of all damaged materials. The Contractor at no additional costs to the City or the facility operator shall replace any material that is damaged or lost after the Contractor's inspection.

11. Liability And Insurance:

Notwithstanding the provisions of this contract, the existing division of liabilities to third parties shall remain the same as between the City and the company. Therefore, it is specifically agreed by the City, company and Contractor (by bidding on this contract) that for the purpose of any liabilities to third parties, that the City contractor performing work directly and physically relating to gas company facilities in this project, shall be deemed an agent of the company and not an agent of the City, the New York City Municipal Water Finance Authority, or the New York City Water Board. Contractor shall include the company as an additional insured on all insurance policies maintained to comply with the City's insurance requirements.

12. Width And Depth Of Excavation:

Contractor shall not be authorized to deliberately change trench or excavation widths and/or depth specified without Engineer's approval. Enlargement of any side of excavation up to eighteen (18) inches beyond pay limits (or inside face of sheeting) requested by the Contractor for the installation of certain types of sheeting may be granted. However, such enlargements or those greater than allowable shall not be approved when, in the sole judgment of the City, field conditions allow the water mains and sewer work to be performed within the limits specified and, the sole purpose of such enlargement request is to impact adjacent utilities (public or private) whose support and protection are part of this contract. Any approval shall be given at no additional cost to the City contract, including EP-7 funding, and all costs associated with unauthorized enlargements shall be the sole responsibility of the Contractor.

13. Depth And Crossing Angles Of Gas Facilities:

Where gas facilities are shown (or specified as) crossing proposed alignment of sewers, water mains, catch basins and chute connections or any other proposed excavations at specific angles (as measured off plans or sketches or specified in contract), it shall be understood that actual field measurements may deviate (plus or minus) forty-five (45) degrees from those shown or specified. The cover, or depth from street surface to top of facilities, shall be as shown or specified in contract documents, no deviation is to be assumed. Where gas facilities are not shown on contract documents, but their support and protection are otherwise included in this contract then, all references to facilities crossing at "various angles and depth" in the gas sections shall mean that such facilities are crossing sewer, water, catch basin and, catch basin chute, and other excavations at a ninety (90) degree angle to the proposed sheeting line or side of excavation (for unsheeted trenches) with an allowable deviation of forty-five (45) degrees in any direction, except for catch basin chute excavation where the allowable deviation shall be sixty (60) degrees. Where the cover is not noted or specified, the bottom face of such facilities shall be assumed to be crossing catch basin chutes at a depth of three (3) foot eight (8) inches or less from the street surface. Paragraph No. 2 above shall apply in cases of distribution water main construction. Appropriate bid items and specifications are provided for cases where angle and depth are greater than stated above. This section also applies to work defined in "Emergency Reconstruction Contracts" or so-called "Where and When Contracts". These contracts are not pre-engineered and consequently have no drawings, sketches or determined locations and so, gas facilities encountered will be crossing existing and proposed sewer, water, catch basin/catch basin chutes and all appurtenances at various angles and depths.

14. Maintenance Of Traffic For Gas Work:

All work pertaining to gas bid items and specifications shall be performed within the contract maintenance of traffic plan as specified in the contract document. The bid price for the Maintenance and Protection of Traffic shall cover all work pertaining to gas items. The City shall make compensation for additional maintenance and protection of traffic items in connection with gas item of work only when such additional work is deemed reasonable and necessary by the Resident Engineer and is approved by him prior to its performance.

15. Relocated Gas And Temporary Systems Installation:

In cases where the Contractor is allowed to select the location for temporary construction such as, installation of dewatering headers, wells, well points, etc., he shall not disturb any gas facilities shown on sketches provided in this section. The only exception shall be, if the affected gas company agrees to such relocation and provided that the cost of such relocation is a matter of adjustment between the company and Contractor, and at no cost to the City.

16. Role Of Company Inspector:

In any case in which the City elects to perform some or all support and protection work with its own employees, personnel or contractors, the facility operator shall provide onsite inspectors to approve and certify such support and protection work (exclusive of City accommodations) performed by the City's own employees, personnel, and contractors. Facility operator's inspectors are not authorized to direct City contractor during the performance of contract work. They shall act through the City Resident Engineer and provide him/her required approvals and certifications, prior to preparing partial payments of EP-7 items, in a format and frequency to be prescribed by the appropriate City Head of Construction.

17. Coordination With Gas Company:

The Contractor shall be required to notify the gas company(ies), in writing, at least two (2) weeks prior to the start of final paving in order to allow companies to complete any unfinished gas work located within the area to be paved. Every effort shall be made to maintain gas service with minimum inconvenience to the public.

III - TECHNICAL SECTION

SECTION 6.01 - Trench Crossings; Support And Protection Of Gas Facilities And Services.

1. Description:

Under this section, the Contractor shall provide all labor, materials, equipment, and incidentals required to support and/or protect the integrity of gas mains, services and appurtenances of any sizes, configurations, and operating pressures crossing trench excavations above subgrade for planned construction of sewers and water mains facilities. A gas service shall be defined as a gas pipe of three (3) inches in diameter or less branching from the main to a customer pick up point or property valve box. A gas main may be any size pipe that is part of a distribution or transmission network other than services described above. Crossings shall be defined as gas facilities spanning the width of excavation (one side to the other side). These crossings may be at various angles and depth as shown on "Gas Cost Sharing Work Standard Sketches Nos. 1 and 1A", and as specified in "General Provisions; Gas Cost Sharing Work Paragraph No. 13" and, at the locations shown or listed in contract documents. The gas company operating in the area, (facility operator), owns these facilities. The work shall be performed in accordance with contract specifications, plans, and at the directions of the Resident Engineer in consultation with the authorized representatives of the facility operator.

2. Method Of Construction:

- A. Protection: In general, the gas facilities shall be protected as required by New York State Industrial Code 753. In particular, the Contractor shall use hand excavation methods (pick and shovel or hand held power tools) directly below the pavement base to expose the gas facilities (marked out by facility operators) and to ascertain the clearances and cover of the facilities with respect to the proposed excavation. Upon exposing the affected facilities sufficiently, at the discretion of the Resident Engineer, to ascertain the foregoing, Contractor shall be permitted to proceed with a combination of hand and machine excavation, as appropriate, outside a zone of protection whose limit shall be defined as a perimeter located twelve (12) inches from the outside face of each gas facility crossings (See "Gas Cost Sharing Work Standard Sketch No. 2"). If the facilities are in direct interference with City work, meaning that "Minimum Clearances" described in "General Provisions; Gas Cost Sharing Work Paragraph No. 8" cannot be maintained, and excavation has to be temporarily or permanently abandoned then this particular location shall become a test pit and dealt with as specified in Section 6.07, and "General Provisions; Gas Cost Sharing Work Paragraphs Nos. 2 and 8".
- B. Support: Gas mains or services crossing excavations equal or less than four (4) feet wide are generally self supporting, unless field conditions as determined by the Resident Engineer require otherwise. The support requirements for gas mains and services crossing excavations greater than four (4) feet wide shall be as shown on the attached "Gas Cost Sharing Work Standard Sketch No. 1" and Contractor shall use sheeting methods that permit the maintenance of gas facilities in their existing locations and configurations. Alternate methods equivalent to those shown on the sketch or accommodations by the facility operator proposed by the Contractor in order to facilitate the execution of the specified work shall be allowable, provided that prior approval is obtained by the Contractor from the Engineer and the facility operator. The support and protection of gas facilities crossings shown on plans, drawings, listings or otherwise identified in this contract shall not be circumvented with the issuance of so called "order outs".

3. Method Of Measurement:

The Contractor shall be paid for supporting and/or protecting gas facilities crossing trench excavations under the appropriate bid items covered by this section. The Contractor shall be directly responsible to the facility operator for the total cost of using any alternate method requiring the use of resources owned by the facility operator. Regardless of the method used, the City shall pay the bid price for the appropriate support and/or protect item of work. The average rate charged by the facility operator for alternate support and protection work such as, disconnecting and reconnecting gas services is listed in attached "Schedule GCS-A".

4. Payment Restrictions:

This item shall not be paid for: gas services crossing unsheeted water main trench excavation; abandoned gas main/services identified by facility operator; gas mains/services crossing trench excavations for fire hydrant branch connections pipes, catch basins and/or chutes (sewer drain pipe), house sewer and/or water services; gas facilities encroaching any face of excavation for sewer and/or water construction, all of which are covered under other contract sections. Also this item shall not be paid for new gas mains and services crossing water trenches when trenching for such new facilities has been performed by the Contractor in common with trench excavation for City work (overlapping trench limits). The cost of supporting and protecting such gas facilities crossings shall be deemed included in the cost of trench excavation for the new gas facilities. This payment restriction shall apply even if such common trench gas excavation is not part of the contract. The prices bid for items covered by this section represent full compensation to Contractor to completely perform the work described. No other bid items shall be combined with these items in order to pay for gas main and/or services crossing excavations specified herein.

5. Method Of Payment:

Each (Ea.) gas facility crossing trench excavation as described in these specifications shall be counted for payment.

6. Price To Cover:

The cost of timber/steel supports installed for gas facilities shall be included in the bid price. The bid price for each crossing shall also cover all additional supervision, labor, material (except those provided by the facility operator), equipment and insurance necessary to completely maintain the gas facilities without disruption of service to the customers and in accordance with contract plans, specifications and facility operator standards. The price shall also include: changes of method of operations; sheeting modifications where necessary to accommodate the gas facilities crossings; installation and removal of water pipe under gas facilities (so called "snaking"); extra care during excavation (including hand excavation under existing single and multiple gas facilities); extra backfilling and compaction around, over and under gas facilities; installation and removal of sheeting around gas facilities; associated maintenance and protection of traffic; barricades; and traffic plates that may be required to temporarily close and/or complete the work.

SECTION 6.02 - Extra Excavation For The Installation Of Catch Basin Sewer Drain Pipes With Gas Interferences.

1. Description:

Under this item, the Contractor shall provide all labor, materials, equipment, insurance, and incidentals for the extra excavation associated with the installation of catch basin sewer drain pipes (chute) under gas facilities of various sizes crossing the trench excavation at various angles and depth at the locations shown in the contract documents and also, for the support and protection of these facilities during associated excavation and backfill operations. The gas company operating in the area, (facility operator), owns these facilities.

2. Method Of Measurement:

The bid price shall be per location (Each) where extra excavation is required when catch basin sewer drain pipes are installed at an upstream invert depth lower than four (4) feet (up to a maximum of six (6) feet) from the proposed pavement grade because the bottom faces of interfering gas mains and appurtenances are located at a depth greater than three (3)-foot eight (8) inches from proposed pavement surface (See "Gas Cost Sharing Work Standard Sketch No. 4").

3. Method Of Construction:

Incremental cost responsibility for chute excavation is determined by the first private facility encountered starting from catch basin structure proper and that prevents the installation of the chute connection at an upstream cover less than or equal to three (3) feet or any other minimum cover required to avoid City facilities (e.g. water, sewer, etc.) as directed by the Resident Engineer.

4. Payment Restrictions:

This item shall not apply and related bid item shall not be paid in cases where:

- A. Upstream invert chute is more than six (6) feet deep because of gas facilities.
- B. Chute cannot be installed above existing gas facilities because of interferences with other private facilities that are not otherwise covered under this contract, regardless of upstream invert depth.

The above cases shall be at no cost to the City, but shall be a matter of adjustment between the Contractor and the facility operator(s).

5. Price To Cover:

The bid price shall cover the additional cost of all additional supervision, labor, materials, equipment and insurance, to complete the installation of catch basins and associated sewer connections in accordance with the contract plans and specifications. The price shall include: excavation by hand around and under single and multiple gas facilities; locating, supporting and protecting gas facilities; backfilling and all other items necessary to perform all work incidental thereto including: installation and removal of drain pipe under gas facilities ("snaking"); widening of trenches to facilitate the above work; subsequent additional

backfill and pavement restoration; modifying precast catch basin window to accommodate connection; changing sheeting method and configuration to accommodate gas facility crossings; maintenance and protection of traffic; barricades; and installation of traffic plates that may be required to temporarily close and/or complete the work. The price shall not include removal of ledge rock and/or excavation of boulders in open cut.

SECTION 6.02.1 - Extra Excavation For The Installation Of Catch Basin Sewer Drain Pipes With Upstream Inverts Greater Than Six (6) Feet.

1. Description:

Under this item, the Contractor shall provide all labor, materials, equipment, insurance and incidentals for the extra excavation of catch basin chutes where the upstream invert is greater than six (6) feet under gas facilities of various sizes crossing the trench excavation at various angles and depth at the locations shown in the contract documents or as determined by field conditions and also, for the support and protection of these facilities during the associated excavation, sheeting and backfilling operations.

2. Method Of Measurement:

The bid price shall be per location (Each) where extra excavation and sheeting is required when the catch basin chute installed at an upstream invert depth lower than six (6) feet from the proposed pavement grade because the bottom faces of the interfering gas mains and appurtenances are located at a greater depth than three foot eight inches from the proposed pavement surface only.

3. Method Of Construction:

Incremental cost responsibility for chute excavation is determined by the first private facility encountered during such excavation when initiated from catch basin structure and that prevents the installation of the chute at an upstream cover less than or equal to three (3) feet or any other cover required to avoid City facilities as directed by the Resident Engineer.

4. Payment Restriction:

This item shall not apply and related bid item shall not be paid in cases where:

Upstream invert chute is less than or equal to six (6) feet deep because of gas facilities. Section 6.02 shall be paid.

5. Price To Cover:

The bid price shall cover the additional cost of all supervision, labor, materials, equipment and insurance to complete the installation of catch basin and associated sewer connections in accordance with the contract plans and specifications. The price shall include: excavation by hand around and under single and multiple gas facilities; locating, supporting and protecting gas facilities incidental thereto; widening of trenches to facilitate the above work; subsequent additional backfilling and pavement restoration; modifying pre-cast basin window to accommodate connection; the installation of catch basin with deeper sumps as specified; additional sheeting and changes in sheeting method and configuration to accommodate gas facility crossings; maintenance and protection of traffic; barricades; and installation of traffic plates that may be required to Temporarily close and/or complete the work.

SECTION 6.03 - Removal Of Abandoned Gas Facilities. All Sizes.

1. Description:

Under this section the Contractor shall provide all labor, materials, equipment, insurance and, incidentals required for the removal of abandoned gas mains, services, or appurtenances thereof, located within the street shown on the contract plans, owned by gas company operating in the project area (facility operator), used or to be used for or in connection with or to facilitate the conveying, transportation, distribution or

furnishing of gas (natural or manufactured or mixture of both) for light, heat, or power, but does not include property used solely for or in connection with business of selling, distributing or furnishing of gas in enclosed containers. Such removal shall include only abandoned gas facilities that interfere with (i.e. cause additional work) City work.

2. Determination Of Operating Status Of Gas Facilities:

The Contractor shall notify facility operator, as required by New York State Industrial Code 753. Gas facilities shall not be removed without the approval of the facility operator whose authorized representative shall certify in writing (specific facility or area wide facilities certification) and in a timely manner acceptable to the Resident Engineer that abandoned facilities are free of combustible gas and any other environmental contaminants prior to removal. The Resident Engineer shall rely on facility operator's certification. The facility operator may request the excavation of test pits (See Section 6.07) for this determination ahead of City work and, Contractor shall provide safe access, facilitate and permit facility operator to enter test pit excavations for the purpose of testing gas facilities to be removed by the Contractor. However, facility operator may prefer to make this test during performance of City work, in order to issue the above certification. This shall be permitted provided that it is agreed that additional costs, if any resulting from this choice shall be a matter of adjustment between the Contractor and facility operator only, and at no cost to the City.

3. Restrictions:

The facility operator shall be solely responsible for its contaminated gas facilities, surrounding contaminated soil and their disposal and abatement procedures, unless contract bid items are applicable and provided for such work. In such cases, the quantity removed shall be charged to EP-7 bid item "UTL-GCS-2WS - GAS INTERFERENCES AND ACCOMMODATIONS" at the City bid prices.

4. Method Of Measurement:

Abandoned gas pipeline removal shall be measured for payment per linear foot of pipe and appurtenances removed.

5. Price To Cover:

The price shall cover all additional cost of supervision, labor, materials, equipment, and insurance necessary to complete this work in accordance with the contract plans and specifications, including excavation by hand around and under other City and facility operator owned properties and, where necessary, support and protection of such properties. The price shall also cover breaking, cutting, and/or burning of abandoned gas pipes and their disposal from the site; sealing open ends remaining in the excavation with concrete or caps (caps to be provided by the facility operator) and backfilling of the area where the pipeline has been removed with clean backfill. The price shall also include any required dump charges. This item does not include any type of extra excavation, backfilling, compaction, pavement removal and restoration associated with abandoned gas facilities removal, all of which are covered under Section 6.06.

SECTION 6.03.1 - Removal Of Abandoned Gas Facilities With Possible Coal Tar Wrap. All Sizes. (For National Grid Work Only)

1. Description:

Under this section the Contractor shall provide all labor, materials, equipment, insurance and, incidentals required for the removal of abandoned gas mains, services or appurtenances thereof, located within the street shown on the contract plans, owned by the gas company operating in the project area (facility operator), used or to be used for or in connection with or to facilitate the conveying, transportation, distribution or furnishing of gas (natural or manufactured or mixture of both) for light, heat, or power, but does not include property used solely for or in connection with business of selling, distributing or furnishing of gas in enclosed containers. Such removal shall include only abandoned gas facilities that interfere with (i.e. cause additional work) City work. These gas facilities may be coated with Coal Tar Wrap and so, may require special handling and disposal methods as specified in National Grid Standard Operating Procedure 12-2, Coal Tar Wrap Handling and 12NYCRR56.

2. Determination Of Operating Status Of Gas Facilities:

The Contractor shall notify facility operator, as required by New York State Industrial Code 753. Gas facilities shall not be removed without the approval of the facility operator whose authorized representative shall certify in writing (specific facility or area wide facilities certification) and in a timely manner acceptable to the Resident Engineer that abandoned facilities are free of combustible gas and any other environmental contaminants prior to removal. The Resident Engineer shall rely on the facility operator's certification. The facility operator may request the excavation of test pits (See Section 6.07) for this determination ahead of City work and, the Contractor shall provide safe access, facilitate and permit facility operator to enter test pit excavations for the purpose of testing gas facilities to be removed by the Contractor. However, the facility operator may prefer to make this test during performance of City work, in order to issue the above certification. This shall be permitted provided that it is agreed that additional costs, if any, resulting from this choice shall be a matter of adjustment between the Contractor and the facility operator only, and at no cost to the City contract. Should such investigation result in the determination that the abandoned gas facilities do not contain Coal Tar Wrap then the removal of said facilities shall be covered under separate item (See Section 6.03).

3. Requirements:

The City Contractor shall excavate abandoned gas facility sufficiently, either in its entirety, or at locations determined by Contractor to allow the removal of Coal Tar Wrap (if present on the abandoned gas facility) and to facilitate the safe extraction of manageable lengths of abandoned pipe without damage to adjacent facilities, utilities or City structures either parallel to or crossing above or below abandoned gas facility. The Contractor is to allow access to the designated cutting points within the Contractor's trench by authorized National Grid personnel who will remove the Coal Tar Wrap as per National Grid procedures. This work by National Grid personnel shall be performed in a timely fashion and shall not unduly impede the Contractor's progress and/or productivity. Upon completion of the coating removal, the Contractor shall be allowed to cut, burn or grind the gas facility and remove the section of abandoned pipe. The Contractor at a site designated by the Contractor shall stockpile the removed pipe. The facility operator will be responsible to provide trucking and disposal services with its own personnel and shall remove the stockpiled pipes during off hours or during such time as agreed to by the Contractor. Since the pipe removed will remain the property of the facility operator and is to be disposed of by the facility operator, the facility operator shall be responsible for any required notifications, filings, dump charges and incidentals associated with the disposal of abandoned gas facilities found to contain Coal Tar Wrap.

4. Method Of Measurement:

Abandoned gas pipeline removal shall be measured for payment per linear foot of pipe and appurtenances removed.

5. Price To Cover:

The price shall cover all additional cost of supervision, labor, materials, equipment and insurance necessary to complete this work in accordance with the contract plans and specifications, including excavation by hand around and under other City and facility operator owned properties and, where necessary, the support and protection of such properties. The cost shall also include hand excavation in the area(s) of proposed abandoned pipe cut(s), cutting and/or burning of abandoned gas pipes and stockpile of removed sections of abandoned pipe and associated maintenance and protection of traffic, blocking and temporary fencing if required. The unit price shall also cover sealing open ends remaining in the excavation with concrete or end caps (end caps to be provided by the facility operator) and backfilling of the area where the abandoned pipeline has been removed with clean backfill material. This item does not include any type of extra excavation, backfilling, compaction, pavement removal and/or restoration (temporary and permanent) associated with abandoned pipe removal ("lost trench"), all of which are covered under separate Section 6.06. The price shall also include allowance for any loss of productivity by the Contractor due to required facility operator work to remove pipe coating and prepare pipe for cutting as well as any change in Contractor's excavation method, additional trucking and/or stockpiling costs.

accordance with the contract plans, specifications and, at the directions of the Engineer. In addition the bid price shall include "chipping" around existing gas facilities using appropriate means and methods where grinding is required.

SECTION 6.06 - Special Care Excavation And Backfilling.

1. Description:

Under this section, the Contractor shall provide all labor, materials, equipment, insurance and incidentals required to support and protect the integrity of live gas facilities including mains, services, related structures and appurtenances during excavations. The gas company operating in the area, (facility operator), owns these facilities. The work shall be performed in accordance with the contract plans, specifications and at the directions of the Resident Engineer in consultation with authorized representatives of the facility operator.

2. Applicability Of Section:

This section shall apply to live gas facilities of various sizes located within two (2) feet of any face of unsheeted excavation, (unsheeted excavation refers to any excavation performed for city work and includes excavations performed that are to be subsequently sheeted using approved methods) and paralleling or, encroaching any face of excavation. Also, for crossings greater than forty-five (45) degrees and/or located at a cover depth greater than five (5) feet from existing street surface. Parallel facilities are not exposed at any time during excavation (See "Gas Cost Sharing Work Standard Sketch No. 5"). Encroaching facilities are partially exposed inside the limit of excavation (See "Gas Cost Sharing Work Standard Sketch No. 5"). This section shall also apply to gas facilities crossing catch basins excavation, and catch basins sewer connections (chutes) trench excavation only when extra depth (covered in other section), is not required for chutes installations because of such utilities interferences (See "Gas Cost Sharing Work Standard Sketch No. 3"). This section shall also apply to gas services (if shown or otherwise listed in contract documents) crossing unsheeted excavations for water mains, gas facilities crossing fire hydrant branch connections, house sewer and/or water service connections excavations. This section shall also apply for so called "loss trench", as described further, and for additional excavation (pavement and/or soil), backfilling, compaction, roadway base and pavement restoration due to abandoned gas facilities, only if removed by Contractor. If operating status of gas facilities cannot be determined prior to excavation then such facilities shall be considered live and this section shall fully apply. The excavation around fully exposed live gas facilities along and within limits of excavation (not crossings) shall be covered by this section also (not shown on "Gas Cost Sharing Work Standard Sketch No. 5"), however the support requirement, if any is required, of such facilities is beyond the scope of these specifications and therefore shall be the responsibility of facility operator to determine and prescribe, at no cost to the City contract, but shall be a matter of adjustment between the Contractor and facility operator.

3. Payment Restriction:

No special care excavation shall be paid for abandoned gas facilities paralleling and/or encroaching excavation and therefore are not in direct interference with City work. Except as allowed in this section, the bid item specified under this section shall not be used in combination with items covered under other sections for work done due to a particular gas facility. This item shall not be paid for new gas facilities when trenching for such new facilities has been performed by the Contractor of record in common with trench excavation for City Work (overlapping trench limits). The cost of excavating with care as defined in this section shall be deemed included in the cost of trench excavation for the new gas facilities. This restriction shall apply even if such gas common trench excavation is not part of the contract. If facilities are in direct interference with City work, meaning that "Minimum Clearances" described in "General Provisions; Gas Cost Sharing Work Paragraph No. 8" cannot be maintained and excavation has to be temporarily or permanently abandoned then this particular location shall become a test pit and dealt with as specified in Section 6.07 and "General Provisions; Gas Cost Sharing Work Paragraphs Nos. 2 and 8".

4. Method Of Construction:

All excavation in the vicinity of gas facilities shall be as required by NYS Industrial Code 753. Where these facilities are paralleling and located two (2) feet or less from the limits of the proposed excavation, the Contractor shall use hand excavation methods (pick and shovel or hand held power tools) to ascertain

SECTION 6.05 - Adjust Hardware To Grade By Resetting. (Road Reconstruction.)

1. Description:

Under this item, the Contractor shall provide all labor, supervision, materials, equipment, insurance and incidentals required to adjust to the proposed grade gas street surface hardware located within the contract area boundaries shown on the plans. The gas company operating in the area, (facility operator), owns these facilities. The work shall consist of either building up or lowering or resetting the casting by removing the existing frame and cover building up or decreasing the existing installation, replacing the frame and/or cover if damaged or worn out, as determined by the Resident Engineer, with a new frame and/or cover furnished by the owner, and setting the frame and cover to new elevation. The work shall be performed in accordance with the contract plans, specifications and at the directions of the Resident Engineer.

2. Materials:

The facility operator shall furnish and deliver all new hardware parts required. The Contractor shall furnish materials such as mortar, bricks and concrete in compliance with contract requirements. At locations where high-early strength concrete is required under this contract to be placed adjacent to gas facilities, then the requirement for concrete shall be high-early strength complying with the current New York State Department of Transportation, Standard Specifications for Class F concrete. Existing castings may be replaced as required and deemed necessary by the Engineer and by City rules and regulations. The Contractor shall install the new castings of various sizes furnished by the facility operator. The Contractor shall notify the facility operator of the installation schedule at least three (3) business days before materials are required on the site and, shall provide off-loading services to the facility operator. Should the facility operator fail to deliver the necessary material according to any schedule mutually agreed upon by the Contractor and facility operator, the City shall not be responsible for any delays attributable thereto, nor for the failure of delivery of such materials. Such delays shall be a matter of adjustment between the Contractor and the facility operator. On project where material storage is not permitted on site, the facility operator shall deliver the required material to the Contractor's yard and it shall be the Contractor's responsibility to transport the material to the work site when needed for installation. It shall also be the Contractor's responsibility to inspect the materials to be installed by him, immediately upon delivery and advise the facility operator through its authorized representative, of all damaged materials. The Contractor at no additional expense to the City or the facility operator shall replace any material that is damaged or lost after the Contractor's inspection.

3. Methods Of Construction:

The Contractor shall remove and reinstall existing castings or install new castings to the proposed grade. Setting and resetting the castings shall be done with mortar and brick according to the standards of the facility operator. Work shall be performed in a workmanlike manner. Castings that are deemed unacceptable for resetting shall remain the property of the facility operator and he shall be responsible for their removal and proper disposal from site. No traffic shall be allowed on adjusted street hardware until permitted by the Engineer.

4. Method Of Measurement:

The Contractor shall be paid for each gas hardware adjusted to grade regardless of size or adjustment height requirements (up or down).

5. Price To Cover:

The unit price bid for this item shall include all additional labor, supervision, insurance, equipment and, material (except those to be provided by the facility operator), required to adjust each gas hardware to grade as required in the contract plans and specifications. The bid price shall also include the removal of existing frames and covers from existing facilities; building up the existing installations with bricks and mortar, or lowering the existing installation by removing bricks and mortar; replacing damaged frames and/or covers with new frames and/or covers furnished by the facility operator; setting the frames and covers to the new elevations; protect existing installations; repair minor structural damages to existing installations prior to resetting frames; unloading of furnished castings at the Contractor's yard and transporting castings from the Contractor's yard to the job site as required; completing the work in

5. Price To Cover:

The price shall cover all additional cost of supervision, labor, materials, equipment and insurance necessary to complete this work in accordance with the plans and specifications, including, but not limited to, excavation by hand around and under other City and facility operator owned properties and, where necessary, the support and protection of such properties. The cost shall also include hand excavation in the area(s) of proposed abandoned pipe cut(s), cutting and/or burning of abandoned gas pipes and stockpile of removed sections of abandoned pipe and associated maintenance of traffic, blocking and temporary fencing if required. The unit price shall also cover sealing open ends remaining in the excavation with concrete or end caps (end caps to be supplied by facility operator) and backfilling of the area where the abandoned pipeline has been removed with clean backfill material. This item does not include any type of extra excavation, backfilling, compaction, pavement removal and/or restoration (temporary and permanent) associated with abandoned pipe removal ("lost trench"), all of which are covered under separate Section 6.06. The price shall also include allowance for any loss of productivity by the Contractor due to required facility operator work to remove pipe coating and prepare pipe for cutting as well as any change in Contractor excavation method, additional trucking and/or stockpiling costs.

SECTION 6.04 - Adjust Hardware To Grade Using Spacer Rings/Adaptors. (Street Repaving.)

1. Description:

Under this section, the Contractor shall provide all labor, supervision, materials, equipment, insurance and incidentals required to adjust to final grade gas street surface hardware located within the contract area boundaries shown on the plans. The gas company operating in the area, (facility operator), owns these facilities. The work shall be performed in accordance with the contract plans, specifications and at the directions of the Resident Engineer in concurrence with authorized representative of the facility operator.

2. Materials:

The facility operator shall furnish and deliver all prefabricated hardware parts required. These include adaptors for the grade adjustment proper and new street hardware if existing ones are found to be defective, all in accordance with the facility operator standards and City rules and regulations. The Contractor shall notify the facility operator of the installation schedule at least three (3) business days before materials are required on the site. Should the facility operator fail to deliver the necessary material according to any schedule mutually agreed upon by the Contractor and facility operator, the City shall not be responsible for any delays attributable thereto, nor for the failure of delivery of such materials. On project where material storage is not permitted on site, the facility operator shall deliver the required material to the Contractor's yard and it shall be the Contractor's responsibility to transport the material to the work site when needed for installation. It shall also be the Contractor's responsibility to inspect the materials to be installed by him immediately upon delivery and advise the facility operator through its authorized representative, of all damaged materials. The Contractor at no additional expense to the City or the facility operator shall replace any material that is damaged or lost after the Contractor's inspection.

3. Method Of Measurement:

The Contractor shall be paid for each six (6) inch round box and/or nine (9) inch square box adjusted to grade regardless of adjustment height requirements.

4. Price To Cover:

The unit price bid for this item shall include all additional labor, supervision, insurance, equipment and, material (except those to be provided by the facility operator), required to adjust each box to grade as required in the contract plans and specifications. The bid price shall also include the removal of existing frames and covers from existing facilities to be salvaged and returned to the facility operator and, all material transportation from the Contractor's material storage yard to the work site. In addition the bid price shall include "chipping" around existing box using appropriate means and methods where grinding is required.

**SECTION 6.03.1a - Removal Of Abandoned Gas Facilities With Possible Coal Tar Wrap.
All Sizes. (For Con Edison Work Only)**

1. Description:

Under this section the Contractor shall provide all labor, material, equipment, insurance and, incidentals required to prepare abandoned gas mains, services and appurtenances thereof located within the street shown on contract plans, owned by the gas company operating in the project area (facility operator), for removal due to interference with proposed City work. These abandoned gas facilities were, at one time, used for or in connection with or to facilitate the conveying, transportation, distribution or furnishing of gas (natural, manufactured or a combination of both) for light, heat, or power, but does not include property used solely for or in connection with business of selling, distribution or furnishing of gas in enclosed containers. Such preparation for removal shall include only abandoned gas facilities that interfere with (i.e. cause additional work) City work. These gas facilities may be coated with Coal Tar Wrap which may contain asbestos or PCB's and so, may require special handling and disposal methods as specified in Con Edison - ASBESTOS MANAGEMENT MANUAL, CHAPTER 6 - ASBESTOS WORK PROCEDURES, SECTION 06.04 - COAL TAR WRAP REMOVAL. For under 25' (feet) in length and an approved NYC-DEP variance for over 25' (feet).

2. Determination Of Operating Status Of Gas Facilities:

The Contractor shall notify facility operator, as required by New York State Industrial Code 753. Gas Facilities shall not be removed without the approval of the facility operator whose authorized representative shall certify in writing (specific facility or area wide facilities certification) and in a timely manner acceptable to the Resident Engineer that abandoned facilities are free of combustible gas and any other environmental contaminants prior to removal. The Resident Engineer shall rely on the facility operator's certification. The facility operator may request the excavation of test pits (See Section 6.07) for this determination ahead of City work and Contractor shall provide safe access, facilitate and permit facility operator to enter test pit excavations for the purpose of testing gas facilities. However, the facility operator may prefer to make this test during performance of City work in order to issue the above certification. This shall be permitted provided that it is agreed that additional costs, if any, resulting from this choice shall be a matter of adjustment between the Contractor and the facility operator only, and at no cost the City contract. Should such investigation result in the determination that the abandoned gas facilities do not contain Coal Tar Warp then the removal of said facilities shall be covered under separate item (See Section 6.03).

3. Requirements:

The Contractor shall excavate abandoned gas facility sufficiently, either in it's entirety, or at locations determined by Contractor to allow the removal of Coal Tar Wrap (if present on the abandoned gas facility) and to facilitate the safe extraction of manageable lengths of abandoned pipe without damage to adjacent facilities, utilities or city structures either parallel to or crossing above or below abandoned gas facility. The Contractor is to allow access to the designated cutting points within the Contractors trench by authorized Con Edison personnel who will remove the Coal Tar Wrap as per Con Edison and/or NYC-DEP approved procedures. This access shall conform to all applicable codes, rules & regulations. This work by Con Edison personnel shall be performed in a timely fashion and shall not unduly impede the Contractors progress and/or productivity. Upon completion of the coating removal, the Contractor shall be allowed to cut, burn or grind the gas facility and remove the section of abandoned pipe. Contractor shall designate a specific site to stockpile those removed pipes. The facility operator will be responsible to provide trucking and disposal services with its own personnel and shall remove the stockpiled pipes during off hours or during such time as agreed to by the Contractor. Since the pipe removed will remain the property of the facility operator and is to be disposed of by the facility operator, the facility operator shall be responsible for any required notifications, filings, dump charges and incidentals associated with the disposal of abandoned gas facilities found to contain Coal Tar Wrap.

4. Method Of Measurement:

Abandoned gas facility removal shall be measured for payment per linear foot of pipe and appurtenances removed.

the clearances of these facilities with respect to the proposed excavation. Once the location of these facilities with respect to the proposed excavation is verified to the satisfaction of the Resident Engineer, the Contractor shall then proceed with a combination of hand and machine excavation as required preserving the integrity of the facilities. The installation of timber supports or underpinning, when soil foundation cannot fully support partially exposed pipes, may be required to prevent pipe movement as directed by the Resident Engineer.

5. Method Of Payment:

The unit price for this work item shall be based on cubic yard (CY) of average excavation with care and, is to be considered as an incremental cost for performing City work with gas facilities interferences.

6. Method Of Measurement:

- A. For Paralleling Facilities: Volume calculated as: Depth as measured from existing street surface to the bottom of unsheeted trench excavation allowable by OSHA regulations, multiplied by, the width measured as one (1) foot from the face of excavation toward the center of excavation, multiplied by the length of parallel facility, divided by twenty-seven (27) cubic feet per cubic yard (See "Gas Cost Sharing Work Standard Sketch No. 5"). The gas facility is no longer considered to be in interference once sheeting has been installed, therefore no further compensation for paralleling facilities as described above will be made.
- B. For Encroaching Facilities: Volume calculated as: Depth of trench excavation multiplied by, the width of partially exposed pipe plus one (1) foot, multiplied by the length of facility encroachment, divided by twenty-seven (27) cubic feet per cubic yard (See "Gas Cost Sharing Work Standard Sketch No. 5").
- C. Fully Exposed Gas Facilities: (Not shown on "Gas Cost Sharing Work Standard Sketch No. 5") along and inside trench and/or crossing trench at an angle greater than forty-five (45) degrees and/or a cover depth greater than five (5) feet from the existing street surface. The volume shall be measured as the depth of trench excavation multiplied by the distance measured along the sheeting line between two (2) points of intersections of the gas facilities and the sides of trench excavation, multiplied by the width of trench excavation.
- D. For Additional Excavation And Restoration Due To So Called "Loss Trench", When The Integrity Of Pavement And Soil Above And Around Existing Live Gas Facilities Cannot Be Maintained Due To Its Lack Of Cohesiveness: Volume shall be calculated as: Depth of unsheeted trench excavation multiplied by width measured as distance of facility from closest edge of unsheeted excavation plus, width of facility proper plus, one (1) foot or a maximum width of three (3) feet multiplied by length of facility fully exposed divided by, twenty-seven (27) cubic feet per cubic yard (not shown on "Gas Cost Sharing Work Standard Sketch No. 5").
- E. For Facilities Crossing Excavation For Catch Basins, Or Chutes Installations (When NYCDEP Funded) Or Fire Hydrant Branch Connections, Or Unsheeted Water Main Trench, Or House Sewer And/Or Water Services: Volume calculated as: Depth as defined above multiplied by, the width taken as the outside diameter of pipe or the width of structure plus one (1) foot on either side (two (2) feet), multiplied by, the length of exposed facility crossing the trench, divided by twenty-seven (27) cubic feet per cubic yard (not shown on "Gas Cost Sharing Work Standard Sketch No. 5").

Overlapping volume dimensions measured as described above may occur when multiple facilities are paralleling excavations, encroaching excavations or crossing catch basins and catch basin chute installations. In such cases, all such facilities shall be counted as one limited by the extreme pipes, faces (See "Gas Cost Sharing Work Standard Sketch No. 2"). The volume shall then be calculated as described above.

7. Price To Cover:

The bid price shall also cover all additional supervision, labor, material, equipment and insurance necessary to excavate while protecting and maintaining (excluding supports for fully exposed live gas) gas facilities without disruption of service to the public and in accordance with contract specifications. The price shall also include, changes of sheeting method and excavation width configuration where necessary to accommodate gas facilities in their existing locations; difficulties during the installation of catch basins,

chute connections, hydrant branch, and house sewer and water connections under or over gas facilities; loss of productivity due to slower rate of excavation (special care) during excavation, including the use of such methods as: hand excavation around existing single and multiple facilities, extra excavation and backfilling due to lost trench because of existing and adjacent gas facilities, compaction, removal of sheeting from the facilities, extra roadway base restoration and temporary pavement, associated maintenance and protection of traffic, barricades, and traffic plates that may be required to temporarily close and/or complete the work.

SECTION 6.07 - Test Pits For Gas Facilities.

1. Description:

Under this section, the Contractor shall furnish all labor, materials, insurance, equipment and appliances necessary to excavate, sheet and, maintain test pits at locations approved by the Resident Engineer in consultation with the facility operator. Test pits shall be dug in order to ascertain exact locations, cover and invert elevations, clearances, alignment and operating status (live or dead) of existing gas facilities. The Contractor shall inspect jointly with the Resident Engineer and facility operator, gas facilities and other structures uncovered, take all relevant measurements and elevations as directed by the Resident Engineer. Tests to determine operating status of gas facilities shall be performed by facility operator. The pits shall be covered with steel plates during daytime nonworking hours, and uncovered, as required, until the inspection work is completed. Testing of gas facilities may require a maximum of four (4) hours. Then, the pits shall be backfilled with clean fill, and resurfaced with temporary pavement. All traffic shall be maintained and all safety measures as stipulated shall be complied with.

2. Methods Of Construction:

A. Excavation: Existing pavement to be removed shall be neatly cut along lines of removal with a saw or other approved equipment which leaves a neat straight joint line along the juncture with subsequently replaced pavement. Excavation in the vicinity of utilities and other structures shall be performed using hand tools. Use of hand operated pneumatic and electric jackhammers will be permitted only for breaking pavement and removal of masonry, concrete and boulders, or as otherwise directed by the Resident Engineer. The Contractor shall properly dispose of all materials excavated from test pits away from site. Test pits shall be excavated at locations shown on the contract drawings or as directed by the Resident Engineer. Additional test pits may be required and shall be excavated where required, as ordered by the Resident Engineer. All test pits shall be excavated to a depth and size necessary to locate the existing facilities. Shheeting shall be used when depth of excavation exceeds five (5) feet. The sheeting required shall be furnished and installed in full compliance with the State of New York and Federal Safety Codes requirements and as specified in contract, whichever is more stringent. Care shall be taken that no existing gas facilities or other structures are broken or damaged. All broken or damaged facilities shall be reported immediately to facility operator who shall decide whether such facilities shall be repaired or replaced by company forces or by City contractor and in conformance with "General Provisions; Gas Cost Sharing Work Paragraph No. 9". Contractor shall excavate all material encountered, including large masses of concrete, cemented masonry and boulders, as directed by the Resident Engineer. Any type of excavation protection used, shall satisfy the following:

- (a) Industrial Code Rule 753.
- (b) Prevent injury to workers and the public, and avoid damage to existing water, sewer, and gas pipes or other structures, and to pavements and their foundations, through caving or sliding of the banks of the excavation.

Should it become necessary, as determined by the Resident Engineer, to enlarge any test pit in any dimension after sheeting has been placed, the Contractor shall remove portions of the sheeting, as necessary, enlarge the test pits as directed, and replace the sheeting without additional compensation for this work other than for the additional volume of material excavated.

B. Maintenance Of Test Pits: Excavated test pits shall be maintained free of debris and kept dry by the Contractor in order to permit the inspection and measurements and to determine the locations of facilities. In order to accomplish this, Contractor shall, upon completion of excavation and placement of sheeting (if depth greater than five (5) feet), furnish and install adequate steel plates and posting

over the excavated pits and shall temporarily remove all equipment debris and workers, and relocate barricades in order to open the full width of street to traffic during nonworking hours. The Contractor shall then, at no additional cost, relocate such barricades, barrels, cones and other warning devices and remove steel plates, as and when directed by the Resident Engineer to facilitate the inspection of exposed facilities. When work is being performed and the pits are not covered with steel plates, the Contractor shall provide complete and safe access to the test pits as may be required, and he shall provide construction barricades and maintain traffic at all times as shown or as directed by the Resident Engineer. Upon completion of test pit inspection by the Resident Engineer, the pit shall be backfilled by the Contractor as specified in contract, except that backfill material shall conform to contract specifications for such purpose.

- C. Pavement And Sidewalk Restoration: After backfilling is completed, the Contractor shall construct a temporary pavement consisting of a minimum of four (4) inches thick asphaltic concrete mixture in roadway areas or a two (2) inches thick asphaltic concrete mixture in sidewalk areas in order to maintain existing pedestrian and vehicular traffic. This temporary pavement shall be maintained until permanent pavement and sidewalk replacement is constructed as specified in contract.

3. Measurements:

The quantity to be measured for payment shall be the number of cubic yards of material removed from within the limits of the pit dimensions as directed by the Resident Engineer. The volume occupied by existing pipes or other structures remaining within the maximum payment lines will not be deducted from the total volume measured except, where the cross sectional area of these facilities exceeds four (4) square feet. As determined by the Resident Engineer, the quantity measured for payment may be proportionate to a fair and reasonable estimate of gas responsibility in the total volume excavated.

4. Price To Cover:

The contract price bid per cubic yard for test pits shall cover all additional costs of labor, material, insurance, equipment, appliances and incidentals required to excavate test pits, including removal and disposal of excavated materials, sheeting, steel plating, backfill, compaction and temporary pavement and sidewalk restoration all in accordance with the specifications and as direction of the Resident Engineer. The price shall also include the cost of providing safe access to the excavation by facility operator for the performance of certain test to determine operating status of gas facilities prior to City work. The price shall also include support and protection of all gas facilities crossing excavation, paralleling and/or encroaching any face of excavation.

GAS COST SHARING STANDARD SPECIFICATIONS
SCHEDULE GCS-A

Average rate charged by utility companies to Disconnect and Reconnect Gas Services:

1. National Grid - \$586.90 per Service/and Visit
2. Con Edison - \$524.00 per Service/and Visit

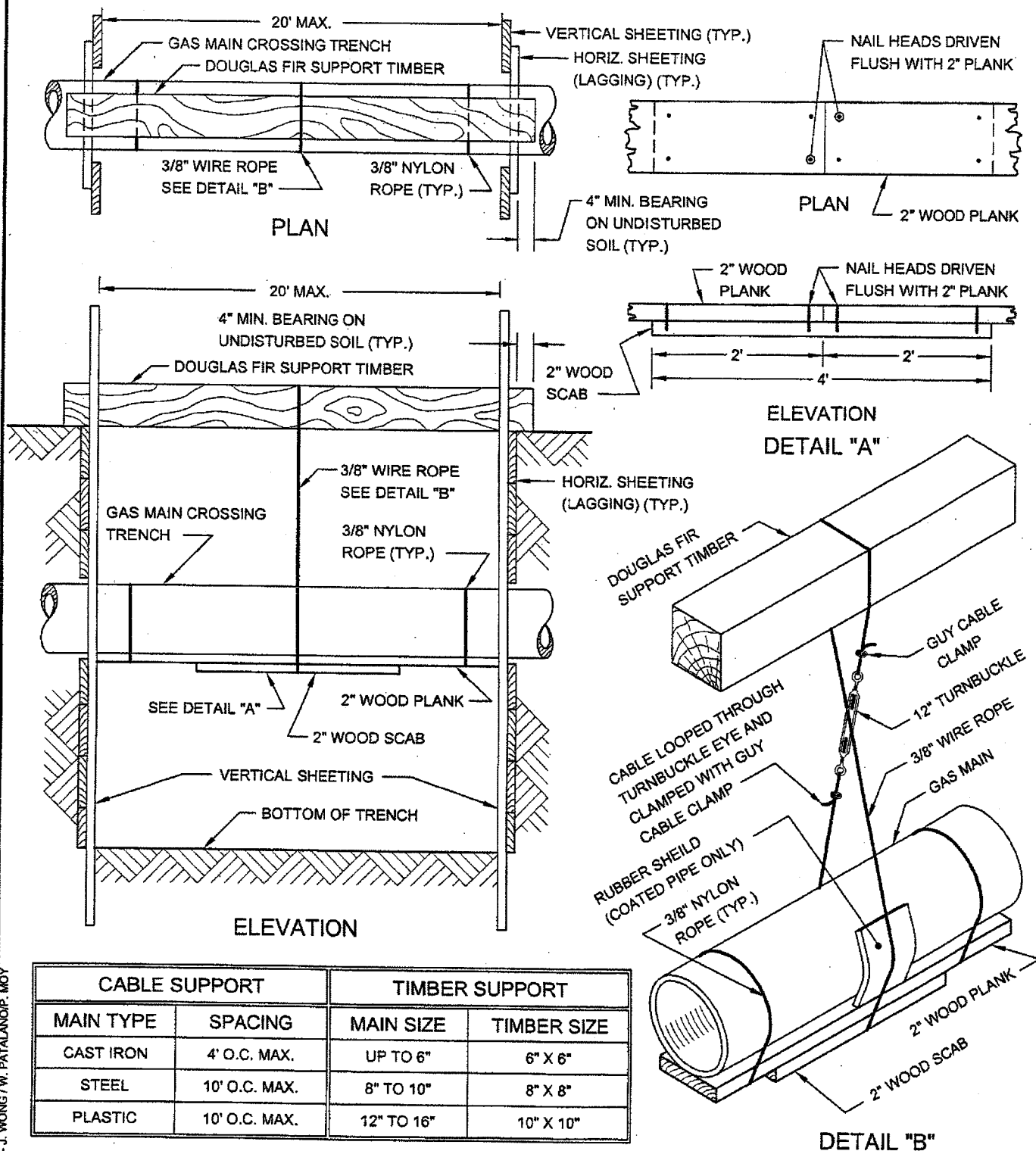
IV - STANDARD SKETCHES; GAS COST SHARING WORK

Hereinafter attached are the following Standard Sketches for Gas Cost Sharing Work:

- Sketch No. 1 - Support Requirements For Gas Mains And Services Crossing Excavation Greater Than 4' - 0" Wide At Any Angle
- Sketch No. 1A - Support Requirements For Gas Mains Over 16" Diameter Up To And Including 48" Diameter Crossing Excavation At Any Angle
- Sketch No. 2 - Typical Methods Of Measurement For Gas Crossings
- Sketch No. 3 - Utility Crossings During Catch Basin Chute Connection Pipe Installation
- Sketch No. 4 - Utility Crossings During Catch Basin Chute Connection Pipe Installation (Extra Depth)
- Sketch No. 5 - Gas Main Encroachment On And/Or Parallel To Excavation Of Unsheeted Trench

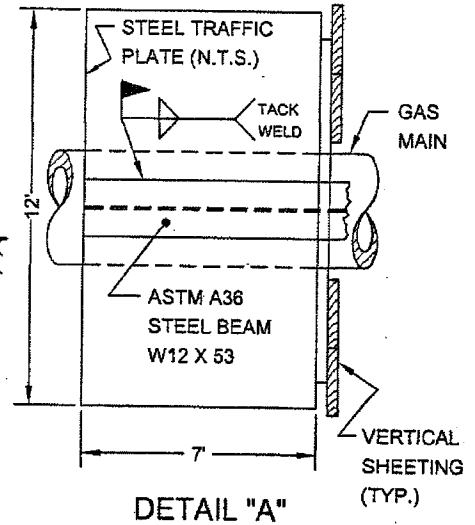
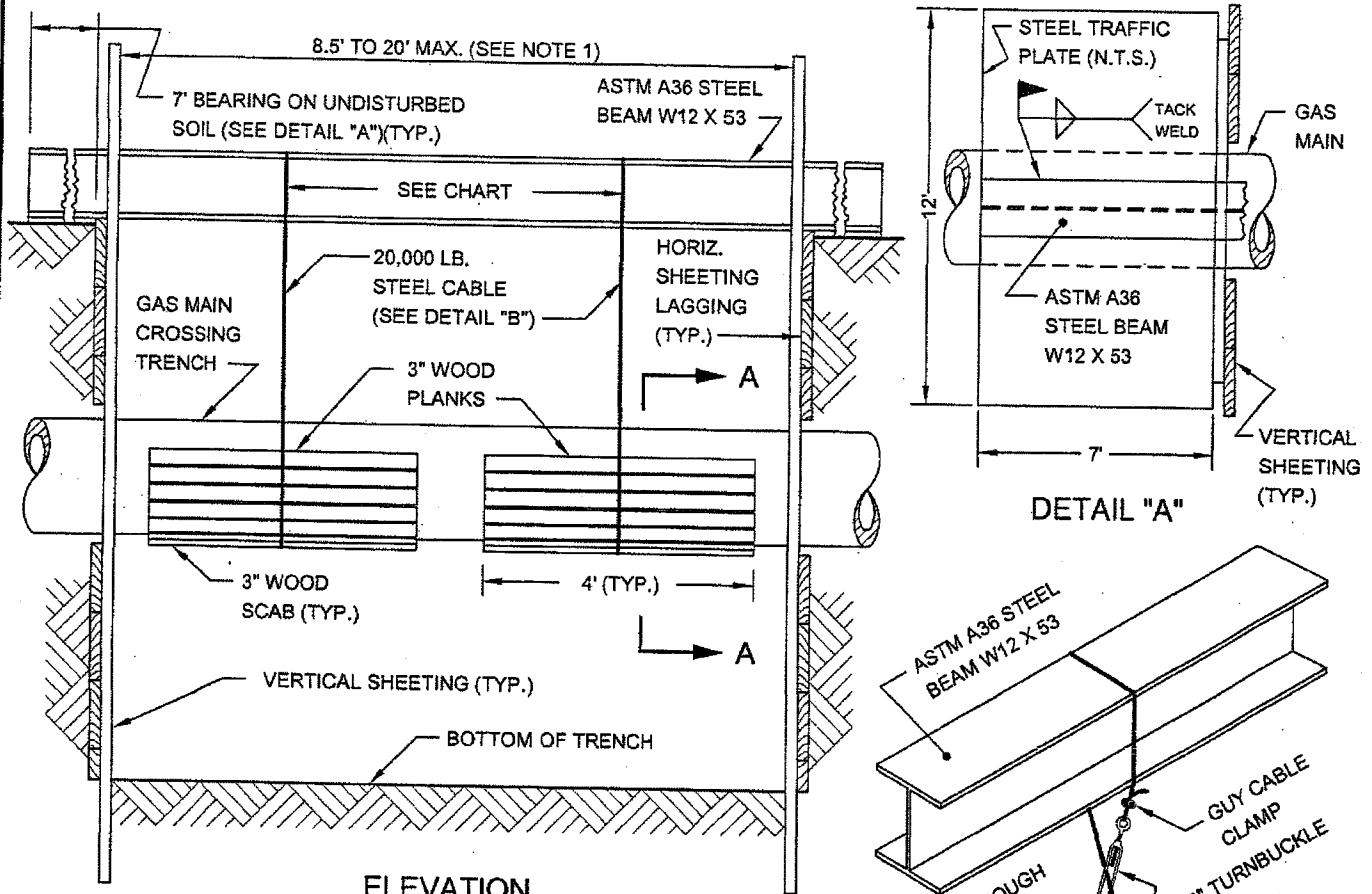
GAS COST SHARING WORK (SKETCH NO. 1)

SUPPORT REQUIREMENTS FOR GAS MAINS AND SERVICES CROSSING EXCAVATION GREATER THAN 4'-0" WIDE AT ANY ANGLE



GAS COST SHARING WORK (SKETCH NO. 1A)

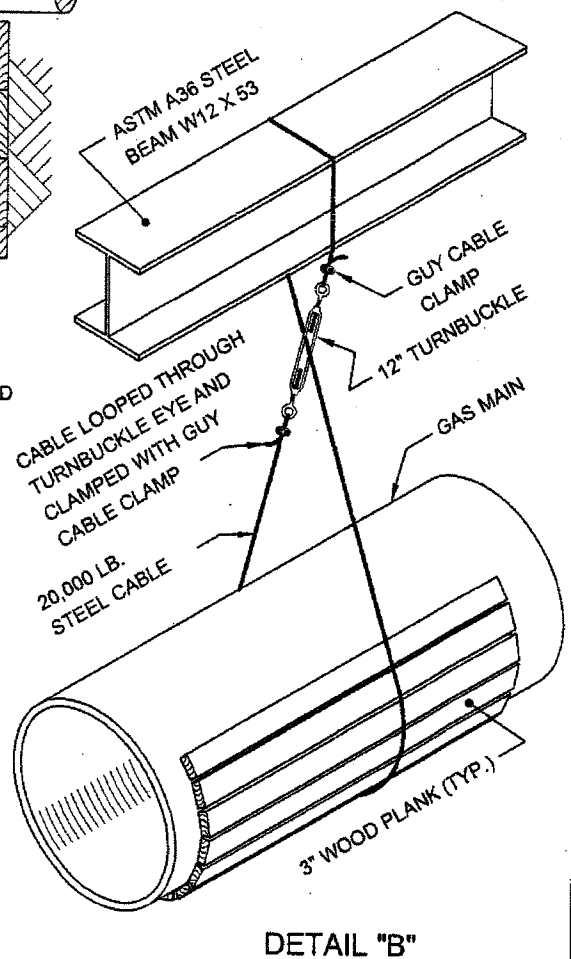
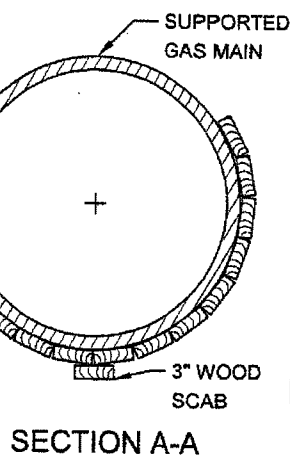
SUPPORT REQUIREMENTS FOR GAS MAINS OVER 16" DIAMETER UP TO AND INCLUDING 48" DIAMETER CROSSING EXCAVATION AT ANY ANGLE



CABLE SUPPORT	
MAIN TYPE	SPACING
CAST IRON	4' O.C. MAX.
STEEL	10' O.C. MAX.

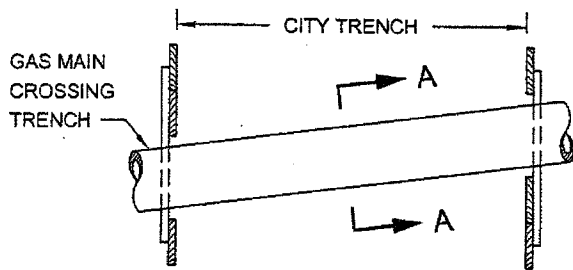
NOTES:

- (1) NO SUPPORT IS REQUIRED FOR GAS MAINS OVER 16" DIA. UP TO AND INCLUDING 48" DIA. CROSSING TRENCHES LESS THAN 8.5' WIDE.
- (2) UNDERMINE A MAXIMUM OF 8.5 L.F. OF CAST IRON GAS MAIN AT A TIME.
- (3) SET STEEL CABLE OVER 3" WOOD PROTECTIVE PLANKS AND PLACE AN ADDITIONAL 3" SCAB ON THE BOTTOM OF THE GAS MAIN.
- (4) ADJUST STEEL CABLE UNTIL DEAD WEIGHT OF THE UNDERMINED GAS MAIN HAS BEEN TAKEN UP BY THE OVERHEAD STEEL BEAM SUPPORT.
- (5) ALL SUPPORTS AND STEEL CABLES CAN BE REMOVED ONLY AFTER THE REQUIRED BACKFILL (AROUND AND BELOW GAS MAIN) HAS BEEN COMPACTED IN ACCORDANCE WITH NEW YORK CITY STANDARDS AND AT THE DIRECTIONS OF THE ENGINEER.

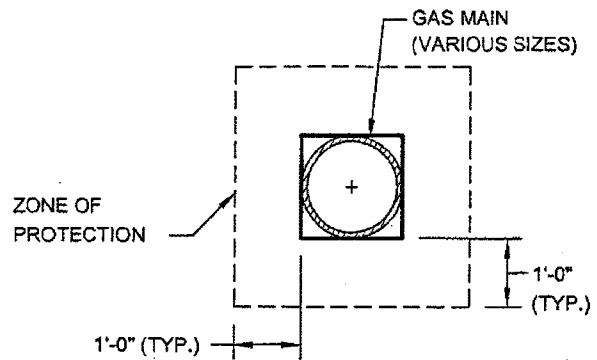


GAS COST SHARING WORK (SKETCH NO. 2)

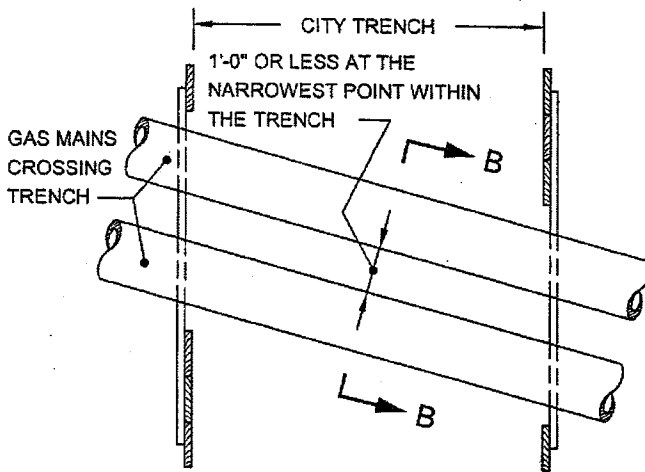
TYPICAL METHODS OF MEASUREMENT FOR GAS CROSSINGS



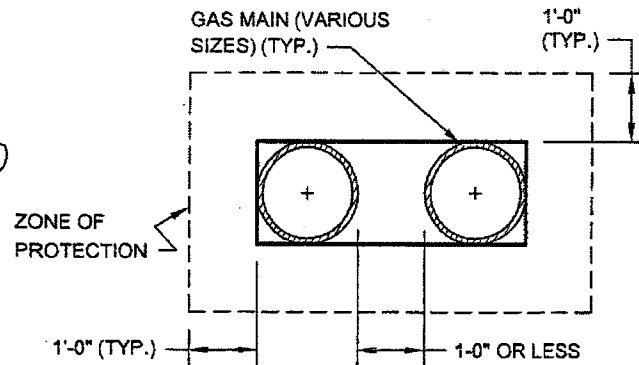
SINGLE FACILITY CROSSING



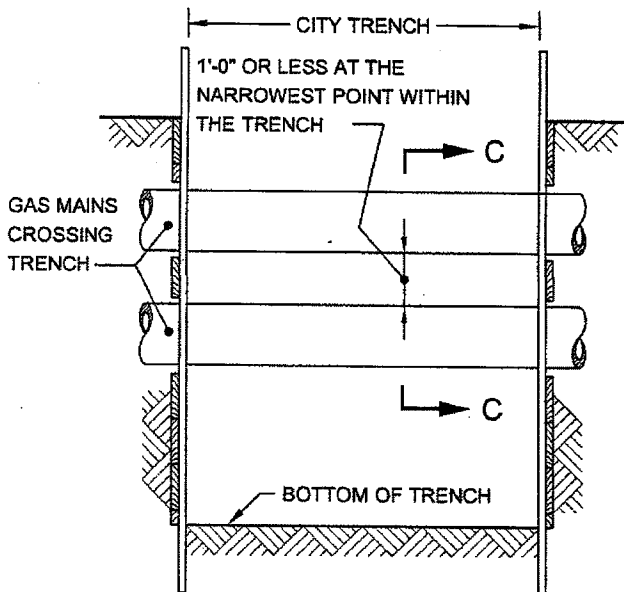
SECTION A-A



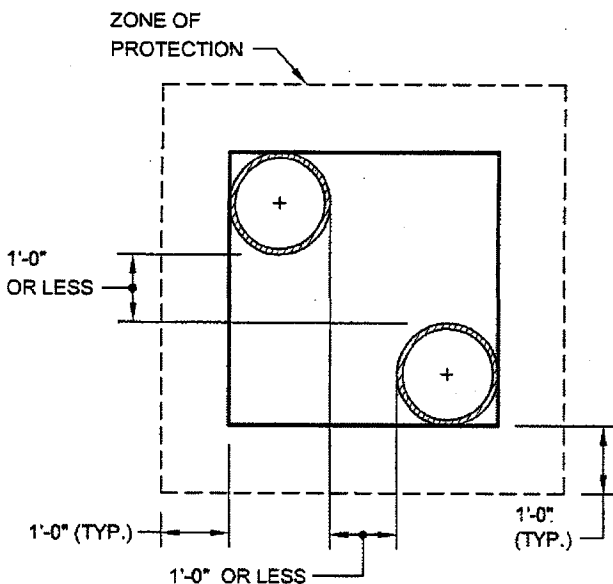
**MULTIPLE FACILITIES
(GAS MAINS AT SAME ELEVATION)**



SECTION B-B



**MULTIPLE FACILITIES
(ONE CROSSING AT DIFFERENT ELEVATIONS)**

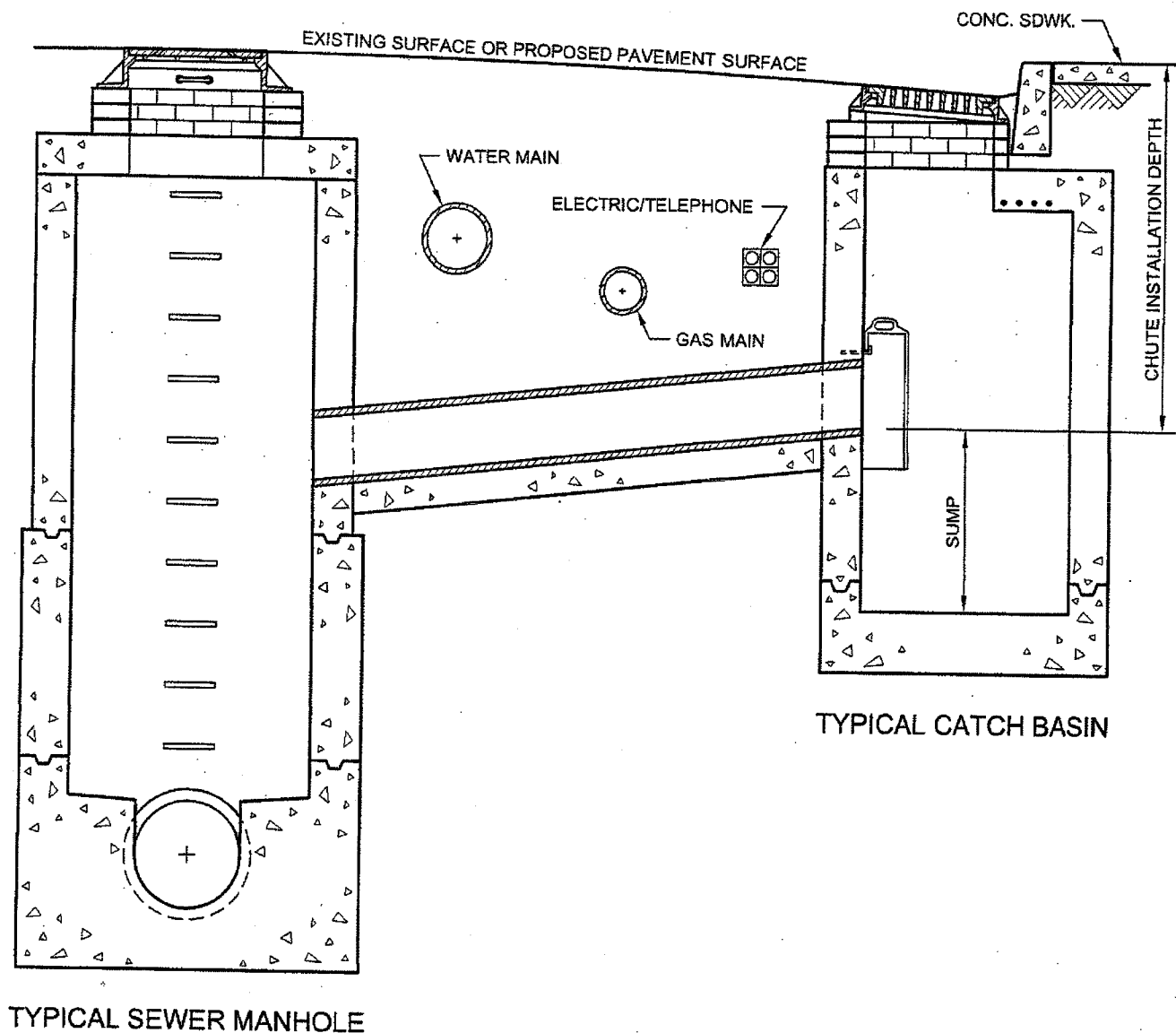


SECTION C-C

NOTE:

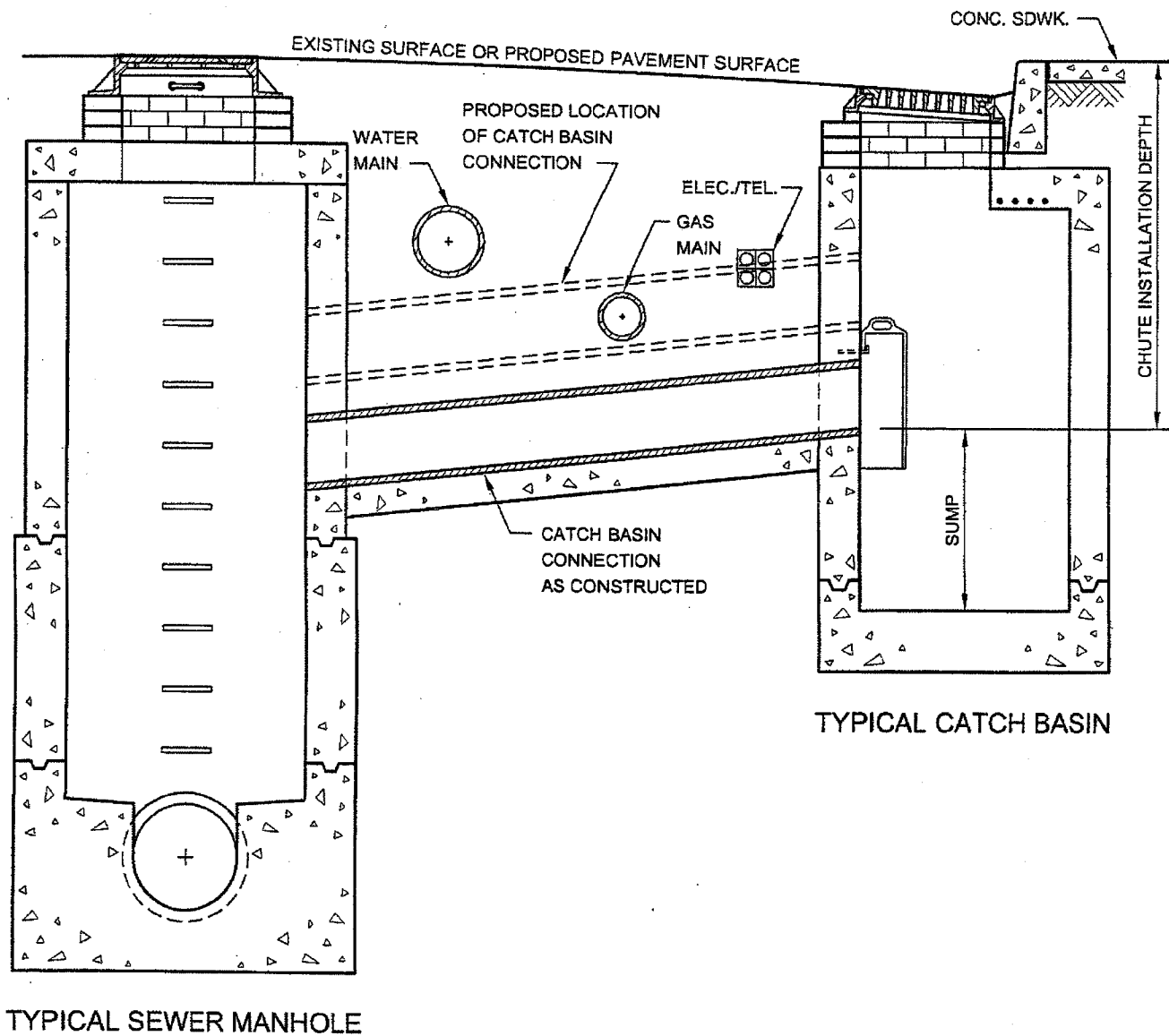
GAS MAINS MAY OR MAY NOT BE PARALLEL TO EACH OTHER.

GAS COST SHARING WORK (SKETCH NO. 3) UTILITY CROSSINGS DURING CATCH BASIN CHUTE CONNECTION PIPE INSTALLATION

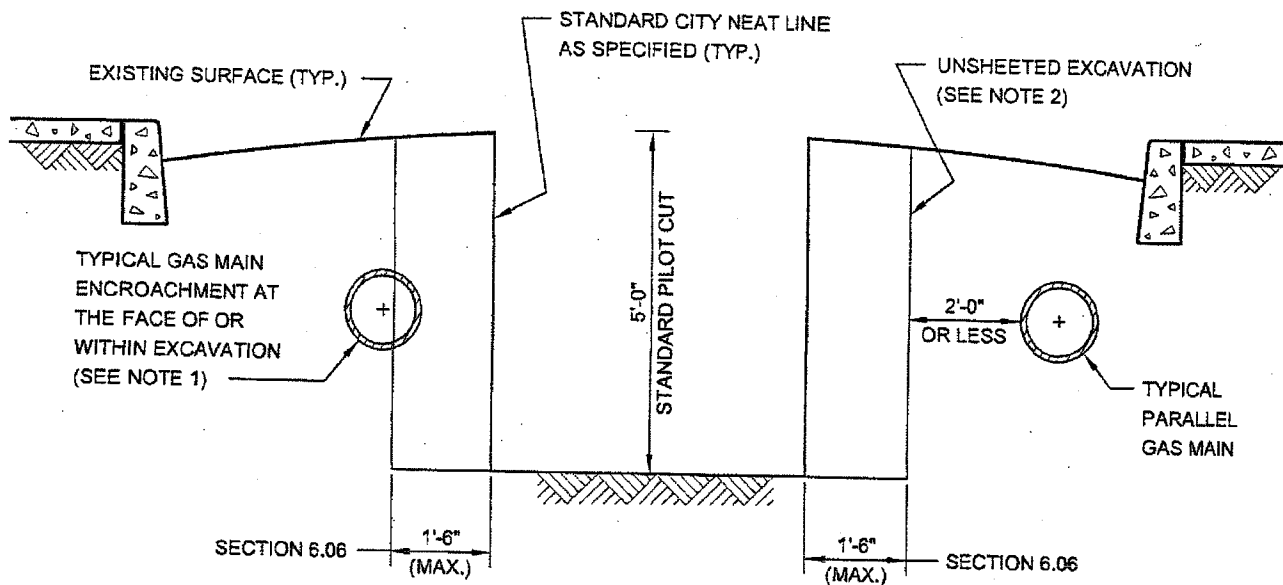


REV. 11/2004 - L. ADRIEN
 REVISED OCT. 1988 - J. WONG/W. PATALANOP, MOY

GAS COST SHARING WORK (SKETCH NO. 4) UTILITY CROSSINGS DURING CATCH BASIN CHUTE CONNECTION PIPE INSTALLATION (EXTRA DEPTH)



GAS COST SHARING WORK (SKETCH NO. 5) GAS MAIN ENCROACHMENT ON AND/OR PARALLEL TO EXCAVATION OF UNSHEETED TRENCH



NOTES:

- (1) GAS MAIN LOCATED AS SHOWN MAY HAVE TO BE REMOVED BY THE FACILITY OPERATOR PRIOR TO THE START OF CITY EXCAVATION, OTHERWISE, THE CONTRACTOR WILL BE PAID UNDER SECTION 6.06 FOR THE SAID WORK. IF GAS MAIN IS ABANDONED THEN SECTION 6.03 SHALL APPLY.
- (2) EIGHTEEN (18) INCHES FROM STANDARD NEAT LINE IS THE MAXIMUM ALLOWABLE WIDTH OF AREA THAT MAY BE DISTURBED OR EXCAVATED DURING INSTALLATION OF CERTAIN TYPES OF SHEETING SYSTEMS THAT MEET THE REQUIREMENTS OF THE STANDARD SPECIFICATIONS OF THE DEPARTMENT OF DESIGN AND CONSTRUCTION OF THE CITY OF NEW YORK.

**V - PRELIMINARY GAS WORK TO BE PERFORMED BY
FACILITY OPERATOR.**

APPLICABLE TO ALL GAS DRAWINGS:

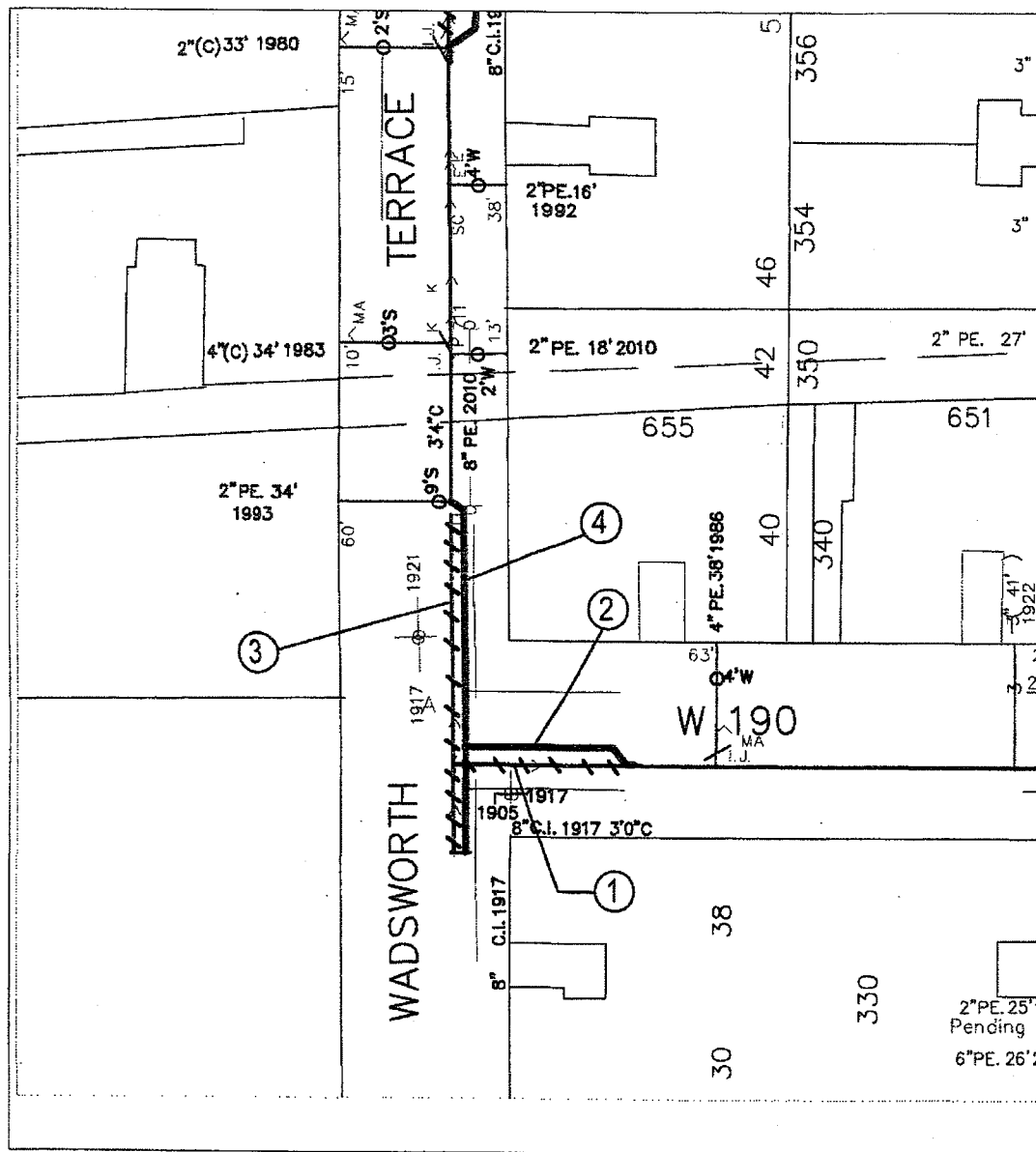
- ALL RELOCATION WORK SHOWN IN THIS ADDENDUM IS TO BE PERFORMED BY FACILITY OPERATOR.
- ALL SUPPORT AND PROTECTION WORK TO BE PERFORMED BY CITY CONTRACTOR
- IF ADDITIONAL INFORMATION IS NEEDED REGARDING THE FACILITY OPERATOR'S RELOCATION WORK, THE CONTRACTOR IS ADVISED TO CONTACT THE GAS COMPANY REPRESENTATIVE:

Ms. Theresa Kong
Consolidated Edison Company
4 Irving Pl., 17th Floor
New York, NY 10003
212-460-4834

(NO TEXT IN THIS AREA, TURN PAGE)

GAS FACILITY COST ALLOCATION AGREEMENT PROJECT NO. HWMP2017 CAPITAL GAS MAIN INSTALLATION												
SHEET #	LOC.	ON STREET	FROM	TO	ITEM	SIZE	TYPE	LENGTH	REIMB LENGTH	REMARKS		
1	1	S/S W.190 St.	Wadsworth Terrace							RET.	33'	+/-
	1	S/S W.190 St.	Wadsworth Terrace							RET.	17'	+/-
	2	S/S W.190 St.	Wadsworth Terrace		82k	12"	PE	50' +/-	50' +/-			
1	3	Wadsworth Terrace	W. 190 St.							RET.	44'	+/-
	3	Wadsworth Terrace	W. 190 St.							RET.	59'	+/-
	4	Wadsworth Terrace	W. 190 St.		82k	12"	PE	103' +/-	103' +/-			
2	5	Wadsworth Terrace	W. 190 St.	Fairview Ave.						RET.	45'	+/-
2	6	Wadsworth Terrace	W. 190 St.	Fairview Ave.	82k	12"	PE	45' +/-	45' +/-			
3	7	Wadsworth Terrace	Fairview Ave.							RET.	111'	+/-
3	8	Wadsworth Terrace	Fairview Ave.		82k	12"	PE	111' +/-	111' +/-			

A3-22-1



DATE: 8-9-2013

SCALE = N.T.S.

1 OF 3



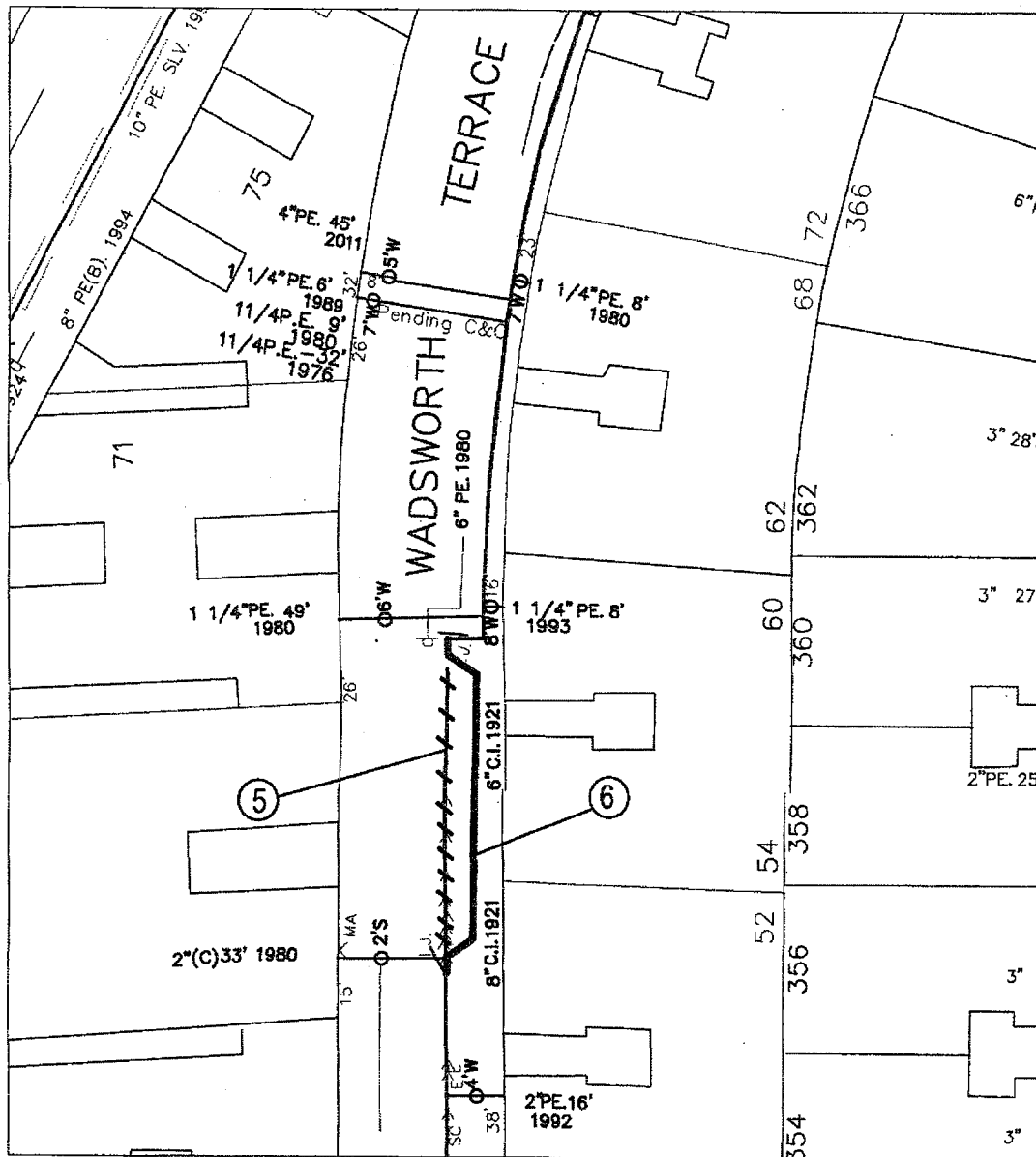
conEdison

CONSOLIDATED EDISON CO. OF NEW YORK, INC.

CAPITAL PROJECT: HWMP2017

WADSWORTH TERRACE BET. W. 190 ST. - FAIRVIEW AVE.

A3-22-2



DATE: 8-9-2013

SCALE = N.T.S.

2 OF 3



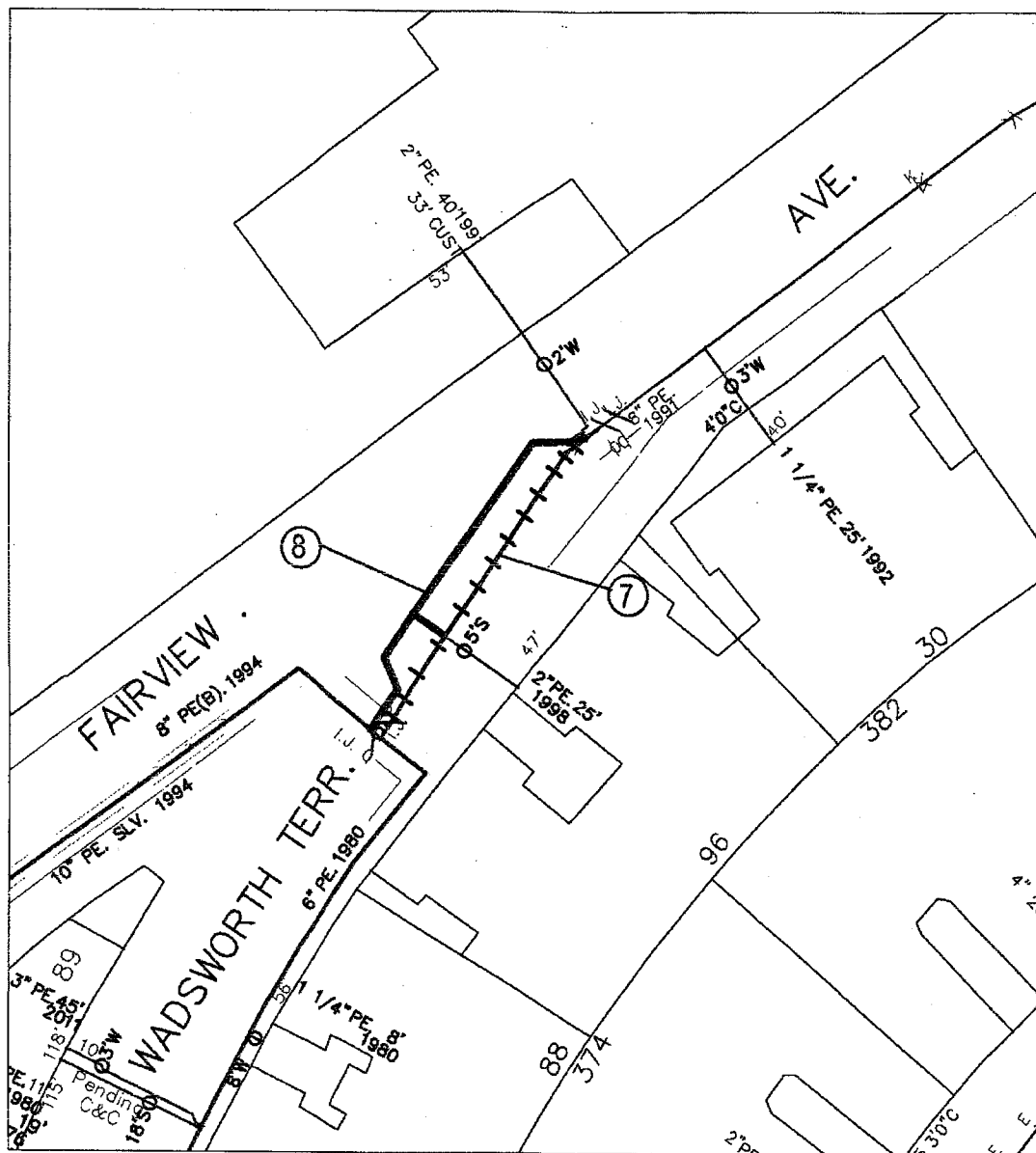
conEdison

CONSOLIDATED EDISON CO. OF NEW YORK, INC.

CAPITAL PROJECT: HWMP2017

WADSWORTH TERRACE BET. W. 190 ST. - FAIRVIEW AVE.

A3-22-3



3 OF 3



WADSWORTH TERRACE BET. W. 190 ST. - FAIRVIEW AVE.

A3-22-4

**VI - LISTING OF APPROXIMATE LOCATIONS OF EP-7 BID
ITEMS QUANTITIES.**

(NO TEXT IN THIS AREA, TURN PAGE)

**SCOPE OF WORK
SUPPORT AND PROTECTION
FOR CONTRACT NUMBER HWMP-2017**

The City of New York Department of Design and Construction is planning to install sewers and/or water mains and all appurtenances in various locations in The City of New York along with all work incidental thereto.

6.01.8 Support & Protect Gas Services Crossing Trenches and/or Excavations (Ea.)

6 in Wadsworth Terrace
1 in Fairview Ave

6.01.9 Support & Protect Gas Main Crossing Water Main Up To 20" In Diameter (Ea.)

1 in Int. of W 190 St. & Wadsworth Terrace
1 F/O #49-55 Wadsworth Terrace
1 F/O #75 Wadsworth Terrace
1 in Int. of Wadsworth Terrace & Fairview Ave

6.03 Removal Of Abandoned Gas Facilities. All Sizes (L.F.)

310 in Various Locations As Required

**6.03.1A - Removal Of Abandoned Gas facilities with Possible Coal Tar Wrap. All sizes. (L.F.)
(For ConEd work only)**

310 in Various Locations As Required

6.04 - Adjust Hardware to Grade Using Spacer Rings/Adaptors (Street repaving) (Ea.)

15 in Various Locations As Required

6.05 - Adjust Hardware to Grade by Resetting (Road Reconstruction) (Ea.)

15 in Various Locations As Required

6.06 - Special Care Excavation & Backfilling (C.Y.)

150 in various locations, as required, including but not limited
to all gas services crossing unsheeted water main trenches.

6.07 - Test Pits For Gas Facilities (C.Y.)

50 in Various Locations As Required

END OF ADDENDUM NO. 3
This addendum consists of thirty-four (34) pages.

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
DIVISION OF INFRASTRUCTURE

JULY 25, 2013

ADDENDUM NO. 4

PROJECT ID: HWMP2017

**RECONSTRUCTION OF WADSWORTH TERRACE
FROM WEST 190TH STREET TO FAIRVIEW AVENUE
INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC WORK
TOGETHER WITH ALL WORK INCIDENTAL THERETO
BOROUGH OF MANHATTAN
CITY OF NEW YORK**

**SPECIFICATIONS FOR
HANDLING, TRANSPORTATION AND DISPOSAL
OF NONHAZARDOUS AND POTENTIALLY HAZARDOUS
CONTAMINATED MATERIALS**

This Addendum is issued for the purpose of amending the requirements of the Contract Documents and hereby made part of said Contract Documents to the same extent as if it was originally included herein.

Table of Contents

ITEM 8.01 C1	<u>HANDLING, TRANSPORTING AND DISPOSAL OF NON-HAZARDOUS CONTAMINATED SOILS</u>	A4-1
ITEM 8.01 C2	<u>SAMPLING AND TESTING OF CONTAMINATED/POTENTIALLY HAZARDOUS SOILS FOR DISPOSAL PARAMETERS</u>	A4-7
ITEM 8.01 H	<u>HANDLING, TRANSPORTING AND DISPOSAL OF HAZARDOUS SOILS</u>	A4-9
ITEM 8.01 S	<u>HEALTH AND SAFETY</u>	A4-15
ITEM 8.01 W1	<u>REMOVAL, TREATMENT AND DISCHARGE/DISPOSAL OF CONTAMINATED WATER</u>	A4-20
ITEM 8.01 W2	<u>SAMPLING AND TESTING OF CONTAMINATED WATER</u>	A4-27

Attachments	1. New York City Department of Environmental Protection Limitations for Effluent to Storm-Sanitary or Combined Sewers Parameters
	2. Applicable Regulations
	3. Definitions
	4. Phase II Subsurface Corridor Investigation Report

ITEM 8.01 C1 HANDLING, TRANSPORTING AND DISPOSAL OF NON-HAZARDOUS CONTAMINATED SOILS

8.01 C1.1 WORK TO INCLUDE

General: This work shall consist of the handling, transportation and disposal of non-hazardous contaminated soils. The materials covered by this specification are soils that are contaminated with petroleum or chemical products but cannot be classified as hazardous waste. For the purpose of this specification, soil shall be defined as any material excavated below the pavement and base for pavement.

Non-hazardous contaminated soils are defined as soils exhibiting one or more of the following characteristics:

- ◆ Elevated Photo-Ionization Detector (PID) readings, subsequently confirmed by lab analysis
- ◆ Visual evidence of contamination
- ◆ Petroleum and/or chemical odors
- ◆ Soils that have been documented as contaminated in previous environmental reports.

Non-hazardous contaminated soils must be stockpiled at an off-site approved location or secured on-site by the Contractor, meeting all required Federal, State and Local stipulations. Sampling and laboratory analysis must be conducted to determine if the soils are hazardous, unless the alternative procedure as defined under subsection 8.01 C1.1 A.5 has been agreed upon by treatment facilities. Contaminated soils determined to be non-hazardous shall be handled in accordance with the specifications herein for Item 8.01 C1. Contaminated soils determined to be hazardous shall be handled in accordance with the specifications for Item 8.01 H – Handling, Transporting and Disposal of Hazardous Soils.

The Contractor shall retain the services of an independent Environmental Consultant, as specified under Item 8.01 S – Health and Safety, to oversee the work required under this Item.

Non-hazardous soils shall be delivered to the disposal or treatment facility within thirty (30) calendar days after excavation.

The Contractor shall conduct sampling and analysis of the impacted soils as specified under Item 8.01 C2 – Sampling and Testing of Contaminated/Potentially Hazardous Soils for Disposal Parameters. The laboratory results shall be forwarded to DDC Program Administration, Engineering Support Services (ESS) for review to determine if the soils will be handled and disposed of as contaminated regulated soils or hazardous waste. No other soils shall be sampled or tested without the DDC's approval or direction.

The Contractor shall ensure that all operations associated with the handling, sampling, loading, transportation, and disposal of non-hazardous contaminated soils are in compliance with all applicable Federal, State, and City statutes and regulations.

The Contractor shall document the excavation, handling, transportation and disposal of non-hazardous contaminated soils. The Contractor shall supply all equipment, material and labor required to conduct the specified work of this Item.

- A. Material Handling Plan: Within forty-five (45) calendar days after award of Contract, the Contractor shall submit to the Program Administration, ESS for review, a Material Handling Plan (MHP). The MHP must be approved by the Program Administration, ESS, prior to the Contractor's commencement of work. The MHP shall, at a minimum, consist of:

1. The Contractor's procedures for identifying non-hazardous contaminated soils during excavation, including the specific model and manufacturer of intended organic vapor monitoring equipment and calibration procedures to be used. It should also include the training and experience of the personnel who will operate the equipment.
2. The Contractor's procedures for safely handling non-hazardous contaminated soils. The procedures must include personnel safety and health as well as environmental protection considerations.
3. Name, address, New York State Department of Health's (DOH) Environmental Laboratories Accreditation Program (ELAP) status and telephone number of the proposed laboratory for analysis of representative soil samples. The ELAP for the intended analysis must approve the laboratory.
4. Identification of the Contractor's proposed waste transporter(s). This information shall include:
 - a. Name and Waste Transporter Permit Number
 - b. Address
 - c. Name of responsible contact for the hauler
 - d. Telephone number for the contact
 - e. Any and all necessary permit authorizations for each type of waste transported
 - f. Previous experience in performing the type of work specified herein
5. All staging/stockpiling areas (if stockpiling areas are intended and available), or alternate procedures that will be used. Alternate procedures may include, but are not limited to, agreements from the intended disposal or treatment facilities to accept boring data and/or analytical data previously obtained during the site characterization so that materials may be directly loaded into vehicles for shipment to the disposal facility.
6. A backup facility should the staging/stockpile areas become unavailable, insufficient in area or not be present by some other unforeseen difficulty.
7. Identification of the Contractor's two proposed Treatment Storage or Disposal (TSD) facilities for non-hazardous contaminated soils (primary and back-up) for final disposal of the soils. The primary TSD shall be an approved soil recycling/treatment facility. The backup facility may be a recycling/treatment facility or a New York State Department of Environmental Conservation (DEC) approved lined landfill or other facility approved by DEC to accept this material. The information required for each facility shall include:
 - a. Facility name and the State identification number
 - (1) Facility location
 - (2) Name of responsible contact for the facility
 - (3) Telephone number for contact
 - (4) Signed letter of agreement to accept waste as specified in this contract
 - (5) Unit of measure utilized at facility for costing purposes
 - b. A listing of all permits, licenses, letters of approval, and other authorizations to operate, which are currently held and valid for the proposed facility.

- c. A listing of all permits, licenses, letters of approval, and other authorizations to operate which have been applied for by the proposed facility but not yet granted or issued.
 - d. The Contractor shall specify and describe the disposal/containment unit(s) that the proposed facility will use to manage the waste. The Contractor shall identify the capacity available in the units and the capacity reserved for the subject waste.
 - e. The Contractor shall provide the date of the proposed facility's last compliance inspection.
 - f. A list of all active (unresolved) compliance orders (or agreements), enforcement notices, or notices of violations issued to the proposed facility shall be provided. The source and nature of the cause of violation shall be stated, if known.
8. Description of all sampling and field/laboratory analyses that will be needed to obtain disposal facility approval.

8.01 C1.2 MATERIALS

- A. Containers shall be as required in the United State Department of Transportation (DOT) regulations.
- B. Polyethylene to be placed under (20 mil. thickness minimum) and over (10 mil. thickness minimum) soil piles.
- C. The Contractor shall assure that the waste hauler's appropriate choice of vehicles and operating practices shall prevent spillage or leakage of contaminated material from occurring en route.
- D. The Contractor shall provide, install and maintain any temporary loading facilities on site as required until completion of material handling activities. The location and design of any facilities shall be included in the MHP and be approved by the Program Administration, ESS.

8.01 C1.3 CONSTRUCTION DETAILS

A. Material Handling

- 1. Immediately after excavation of non-hazardous contaminated soil the Contractor shall:
 - a. Load material directly onto trucks/tankers/roll offs for disposal off site; or
 - b. If interim stockpiling is required, place on a minimum of 20 mil. or equivalent plastic ground cloth and cover by minimum of 10 mil. polyethylene sheeting or equivalent to protect against leaching or runoff of contaminants into groundwater or stormwater. Weight or secure the sheeting by appropriate means and seal seams as approved by the DDC to prevent tearing or removal by weather. Grade surrounding surface to provide for positive drainage away from pile. Stockpile shall not exceed 100 cubic yards.
- 2. Institute appropriate procedures and security measures to ensure the protection of site personnel and the public from contaminated materials as described in the approved MHP and Item 8.01 S - Health and Safety.
- 3. Any soil encountered that appears to contain unknown contaminants (based on visual, odor, or other observation), or that vary substantially from the material originally identified must be segregated in stockpiles and the independent Environmental

Consultant promptly notified. Construct stockpiles to the same requirements as stated in subsection A.1.b above.

4. Provide any dewatering that is necessary to complete the work. Contaminated water shall be disposed of in accordance with Item 8.01 W1 – Removal, Treatment and Discharge/Disposal of Contaminated Water.
5. Provide and operate field organic vapor test equipment, a PID or a flame ionization detector (FID), to detect general organic vapor levels at intervals of approximately fifty (50) cubic yards of soil excavated, when visual or odor observations indicate the material may substantially differ from the soil previously excavated and/or as directed by the independent Environmental Consultant.

B. Off-Site Transportation to Disposal or Treatment Facility

1. General

- a. The Contractor shall furnish all labor, equipment, supplies and incidental costs required to transport contaminated material from the work area to the off-site disposal or treatment facility, and any other items and services required for transporting contaminated material for disposal at an off-site facility.
- b. The Contractor shall submit the name and location of the facility where an off-site scale is located. The Contractor shall also submit a plan to the DDC for review outlining procedures on controlling trucks leaving the work site and en-route to the off-site scale. The Contractor shall be responsible for tracking all material/vehicles from the site to the off-site scale.
- c. The Contractor shall provide to the DDC certified tare and gross weight slips for each load received at the accepted facility which shall be attached to each returned manifest.
- d. The Contractor shall coordinate the schedule for truck arrival and material deliveries at the job site to meet the approved project schedule.
- e. The Contractor shall inspect all vehicles leaving the project site to ensure that contaminated soils adhering to the wheels or undercarriage are removed prior to the vehicle leaving the site.
- f. The Contractor shall obtain letters of commitment from the waste haulers and the treatment, disposal or recovery facility to haul and accept shipments. The letter shall indicate agreement to handle and accept the specified estimated quantities and types of material during the time period specified in the project schedule and any time extension as deemed necessary.
- g. **The Program Administration, ESS shall review and approve waste profiles before transportation to the TSD facility.**

2. Hauling

- a. The Contractor shall coordinate manifesting, placarding of shipments, and vehicle decontamination. All quantities shall also be measured and recorded upon arrival at the disposal or treatment facility. If any deviation between the two records occurs, the matter is to be reported immediately to the DDC and to be resolved by the Contractor to the satisfaction of the DDC.
- b. The Contractor shall be held responsible, at its own cost for any and all actions necessary to remedy situations involving material spilled in transit or mud and dust tracked off-site.

- c. The Contractor shall ensure that trucks are protected against contamination by properly covering and lining them with compatible material (such as polyethylene) or by decontaminating them prior to and between acceptances of loads.
 - d. The Contractor shall be responsible for inspecting the access routes for road conditions, overhead clearance, and weight restrictions.
 - e. The Contractor shall only use the transporter(s) identified in the MHP for the performance of work. Any use of substitute or additional transporters must have previous written approval from the Program Administration, ESS at no additional cost to the City.
 - f. The Contractor shall develop, document, and implement a policy for accident prevention.
 - g. The Contractor shall not combine contaminated materials from other projects with material from this project.
 - h. No material shall be transported until approved by the DDC.
3. Off-Site Disposal
- a. The Contractor shall use only the facility(ies) identified in the MPH for the performance of the work. Substitutions or additions shall not be permitted without prior written approval from the Program Administration, ESS, and if approved shall be at no extra cost to the City.
 - b. The Contractor shall be responsible for acceptance of the materials at an approved facility, for ensuring that the facility is properly permitted to accept the stated materials, and that the facility provides the stated treatment and/or disposal services.
 - c. The DDC reserves the right to contact and visit the disposal or treatment facility and regulatory agencies to verify the agreement to accept the stated materials and to verify any other information provided.
 - d. In the event that the identified and approved facility ceases to accept the stated materials or the facility ceases operations, it is the Contractor's responsibility to locate an alternate approved and permitted facility(ies) for accepting materials. The alternate facility(ies) must be approved in writing by the DDC in the same manner and with the same requirements as for the original facility(ies). This shall be done at no extra cost or delay to the City.
 - e. The Contractor shall obtain manifest forms, and complete the shipment manifest records required by the appropriate regulatory agencies for verifying the material and quantity of each load in unit of volume and weight. Copies of each manifest shall be submitted to the DDC within four (4) business days following shipment, and within three (3) business days after notification of receipt of the facility. Any manifest discrepancies shall be reported immediately to the DDC and be resolved by the Contractor to the satisfaction of the DDC.
4. Equipment and Vehicle Decontamination
- a. The Contractor shall design and construct a portable decontamination station to be used to decontaminate equipment and vehicles exiting from the exclusion zone. The cost for this work will be paid under Item 8.01 S - Health and Safety.

- b. Water generated during the decontamination process shall be disposed of in accordance with Item 8.01 W1 – Removal, Treatment and Discharge/Disposal of Contaminated Water.

8.01 C1.4 METHOD OF MEASUREMENT

Quantities for non-hazardous contaminated soils shall be measured in tons. The tonnage will be determined by off-site truck scales, as per Subsection 8.01 C1.3.B1, that are capable of generating load tickets.

8.01 C1.5 PRICE TO COVER

- A. The unit bid price bid per ton for Item 8.01 C1 shall include the cost of furnishing all labor, materials, plant, equipment, and insurance for excavation, handling, transportation, disposal, documentation, fees, permits, loading, stockpiling, hauling, and any other incidentals necessary to complete all the work as specified herein for handling, transporting, and disposal of non-hazardous contaminated soil.
- B. Final disposal of hazardous soil shall be paid for under Item 8.01 H – Handling, Transporting and Disposal of Hazardous Soils. Disposal of decontamination water shall be paid for under Item 8.01 W1 – Removal, Treatment and Discharge/Disposal of Contaminated Water.
- C. Backfill will be paid for under its respective item as specified in the contract document.
- D. The independent Environmental Consultant shall be paid under Item 8.01 S – Health and Safety.

Payment will be made under:

<u>ITEM NUMBER</u>	<u>ITEM</u>	<u>PAYMENT UNIT</u>
8.01 C1	Handling, Transporting, and Disposal of Non-Hazardous Contaminated Soil	Tons

ITEM 8.01 C2 SAMPLING AND TESTING OF CONTAMINATED/ POTENTIALLY HAZARDOUS SOIL FOR DISPOSAL PARAMETERS

8.01 C2.1 WORK TO INCLUDE

A. Description

The work shall consist of collecting and analyzing representative soil samples for parameters typically requested by the disposal facilities.

B. Sampling and Laboratory Analysis

1. At least thirty (30) days prior to the commencement of work, the Contractor's independent Environmental Consultant must submit a Soil Sampling Plan/Field Sampling Plan (SSP/FSP) to the Program Administration, Engineering Support Services (ESS) for review and approval. The plan shall include the name, address, DOH's ELAP status, and telephone numbers of the proposed laboratory. The plan shall also include training and experience of the personnel who will collect the samples.
2. The Contractor shall sample and analyze representative samples of the contaminated/potentially hazardous soils. For stockpiled soils, the Contractor shall collect and analyze one (1) composite sample per 100 cubic yards or fraction thereof. Each composite sample shall consist of a minimum of five (5) grab samples collected from greater than two (2) feet below the soil surface. For drummed soil, the Contractor shall collect one (1) composite sample per (ten) 10 drums or fraction thereof. Each composite sample shall consist of a grab sample from each of the ten (10) drums or fraction thereof. Each composite sample shall be analyzed for Resource Conservation and Recovery Act (RCRA) hazardous waste characteristics (Ignitability, Reactivity, Corrosivity), Full Toxicity Characteristic Leaching Procedure (TCLP) (including RCRA metals, volatile Organic Compounds (VOCs), Semi-Volatile Organic Compounds (SVOCs), pesticides, herbicides), Total Petroleum Hydrocarbons (TPH) and Polychlorinated Biphenyls (PCBs). All samples collected should be analyzed on a rush four (4) calendar days turn around time and analytical results must be submitted to Program Administration, ESS within five (5) calendar days after sample collection.
3. All sampling shall be conducted by a person trained in sampling protocols using standard accepted practices for obtaining representative samples.
4. The Contractor must also contact the disposal facility where the waste will be sent for permanent disposal, and arrange to collect any additional samples required by the facility. The cost associated with additional sampling and testing shall be included in the bid price of this Item.
5. The quality of the data from the sampling program is the Contractor's responsibility. The Contractor must furnish all qualified personnel, equipment and instruments necessary to carry out the sampling. Unless directed otherwise, all sampling procedures must follow the DEC sampling guidelines and protocols.
6. All sample containers shall be marked and identified with legible sample labels which shall indicate the project name, sample location and/or container, the sample number, the date and time of sampling, preservatives utilized and other information that may be useful in determining the character of the sample. Chain-of-custody shall be tracked from laboratory issuance of sample containers through laboratory receipt of the samples.

7. The Contractor shall maintain a bound sample logbook. The Contractor shall provide DDC access to it at all times and shall turn it over to the DDC in good condition at the completion of the work. The following information, as a minimum shall be recorded to the log:
 1. Sample identification number
 2. Sample location
 3. Field observation
 4. Sample type
 5. Analyses
 6. Date/time of collection
 7. Collector's name
 8. Sample procedures and equipment utilized
 9. Date sent to laboratory and name of laboratory
8. The City reserves the right to direct the Contractor to conduct alternative sampling in lieu of the parameters described in subsection B2, if the situation warrants. The substitute sampling parameters shall be of equal or lesser monetary value than those described in subsection B2, as determined by industry laboratory pricing standards.
9. Only dedicated sampling equipment may be used to collect these samples. All equipment involved in field sampling must be decontaminated before being brought to the sampling location, and must be properly disposed after use.
10. Soils exceeding any of the hazardous characteristic criteria meet the legal definition of hazardous soils (rather than non-hazardous contaminated soils) and shall be transported or disposed of under Item 8.01 H – Handling, Transporting and Disposal of Hazardous Soils. All analyses must be done by a laboratory that has received approval from the ELAP for the methods to be used. The Contractor must specify the laboratory in the MHP.

8.01 C2.2 METHOD OF MEASUREMENT

Quantities for samples shall be measured as the number of sets of samples that are tested. A set shall be defined as one (1) composite sample analyzed for the full range of parameters as specified in subsection B2.

8.01 C2.3 PRICE TO COVER

The unit price bid per set for Item 8.01 C2 shall include the cost of furnishing all labor materials, plant, equipment, and insurance necessary for sampling, handling, transporting, testing, documentation, fees, permits and any other incidentals necessary to complete the work as specified herein for sampling and testing of contaminated/potentially hazardous soil.

Payment will be made under:

<u>ITEM NUMBER</u>	<u>ITEM</u>	<u>PAYMENT UNIT</u>
8.01 C2	Sampling and Testing of Contaminated/ Potentially Hazardous Soil for Disposal Parameters	Set

ITEM 8.01 H HANDLING, TRANSPORTING, AND DISPOSAL OF HAZARDOUS SOILS

8.01 H.1 WORK TO INCLUDE

General: This work shall consist of the handling, transportation and disposal of soils or materials that are listed as hazardous wastes or exhibit any of the characteristics of a hazardous waste, namely ignitability, corrosivity, reactivity, and toxicity, as defined in 6 NYCRR Part 371, Section 371.3 and 40 CFR Section 261. For the purpose of this specification, soils shall be defined as any materials excavated below the pavement and base for pavement.

Contaminated soils determined to be hazardous under Item 8.01 C2 shall be handled, transported, and disposed of under Item 8.01 H in accordance with the specifications herein.

The independent Environmental Consultant retained by the Contractor, as specified under Item 8.01 S – Health and Safety, shall conduct sampling and analysis of above soils to determine which soils are hazardous.

All work under Item 8.01 H shall be performed under the direct supervision of the Contractor's Environmental Consultant, as approved by the Program Administration, Engineering Support Services (ESS).

The Contractor shall ensure that all operations associated with the handling, sampling, loading, transportation, and disposal of hazardous materials are in compliance with the applicable Federal, State, and Local statutes and regulations.

The Contractor shall document the excavation, handling, sampling, and testing, transportation and disposal of hazardous soils. The City shall be listed in the disposal documents as the waste generator.

The Contractor shall supply all equipment, material and labor required to conduct the specified work of this section.

The Contractor shall ensure that all operations associated with the handling, sampling, loading, transportation and disposal of hazardous soils are conducted in a manner to protect site personnel, the public and the environment, in accordance with all applicable Federal, State, and Local laws and regulations.

The Contractor shall decontaminate all equipment prior to its removal from the exclusion zone and/or following contact with hazardous materials, as detailed in Item 8.01 S - Health and Safety. Water generated during the decontamination process shall be disposed of under Item 8.01 W1 – Removal, Treatment and Discharge/Disposal of Contaminated Water.

A. Material Handling Plan: Within forty-five (45) calendar days after award of Contract, the Contractor shall submit to the Program Administration, ESS for review, a Material Handling Plan (MHP). The MHP must be approved by the Program Administration, ESS, prior to the Contractor's commencement of work. The MHP shall, at a minimum, consist of:

1. The Contractor's procedures for identifying contaminated/potentially hazardous soils during excavation, including instrumentation and calibration procedures to be used.
2. The Contractor's procedures for safely handling hazardous soils or soils which have not yet been tested but are believed to be potentially hazardous.
3. Identification of the Contractor's proposed waste transporter(s). This information shall include:
 - a. Name and waste transporter permit number
 - b. Address

- c. Name of responsible contact for the hauler
 - d. Telephone number for the contact
 - e. Any and all necessary permit authorizations for each type of waste transported
 - f. Previous experience in performing the type of work specified herein
4. All staging/stockpiling areas (if stockpiling areas are intended and available), or alternate procedures that will be used. Alternate procedures could include, but are not limited to, agreements from the intended disposal or treatment facilities to accept boring data and/or analytical data previously obtained during the site characterization so that materials may be directly loaded into vehicles for shipment to the disposal facility or the use of off-site stockpiling locations approved by the DEC.
 5. A backup facility, should the staging/stockpile areas become unavailable, insufficient in area or not be present by some other unforeseen difficulty.
 6. Identification of the Contractor's two proposed United State Environmental Protection Agency (EPA) or DEC approved RCRA TSD facilities for hazardous soils.
 7. The Contractor shall submit the following information prior to any transportation of soils regarding the temporary and final off-site TSD or facilities where it is proposing to take hazardous soils. The expense of furnishing all information will be included in the Contractor's bid price:
 - a. General Information
 - (1) Facility name and the EPA identification number
 - (2) Facility location
 - (3) Name of responsible contact for the facility
 - (4) Telephone number for contact
 - (5) Signed letter of agreement to accept waste as specified in this contract
 - (6) Signed letter of agreement with a TSD for disposal of waste that may not be land-disposed
 - (7) Unit of measure utilized at each facility for costing purposes
 - b. A listing of all permits, licenses, letters of approval, and other authorizations to operate, which are currently held and valid for the proposed facility as they pertain to receipt and management of wastes derived from this Contract.
 - c. A listing of all permits, licenses, letters of approval, and other authorizations to operate which have been applied for by the proposed facility.
 - d. The Contractor shall specify and describe the disposal/containment unit(s) that the proposed facility will use to manage the waste. The Contractor shall identify the capacity available in the units and the capacity reserved for the subject waste.
 - e. The Contractor shall provide the date of the proposed facility(ies) last compliance inspection under RCRA.
 - f. A list of all active (unresolved) compliance orders, agreements, enforcement notices or notices of violations issued to the proposed facility shall be approved. The source and nature of the cause of violation shall be stated, if known.

8. Description of all sampling and analyses that will be needed to obtain disposal facility approval.

8.01 H.2 MATERIALS

- A. Containers shall be watertight as required in the DOT regulations and must meet all applicable regulations including but not limited to those in Attachment 2.
- B. Polyethylene (20 mil. thickness minimum) to be placed under and (10 mil. thickness minimum) over soil piles. If soils are placed in drums, polyethylene must be placed over the drums.

8.01 H.1.3 CONSTRUCTION DETAILS

A. Material Handling

1. The Contractor shall institute procedures to protect site personnel and the public from the non-hazardous and hazardous materials as described in Section 8.01 S - Health and Safety.
2. The Contractor shall handle hazardous soil as approved in the MHP.
3. Stockpiled materials at the temporary TSD facility shall be handled according to the facility requirements but at a minimum: shall be drummed or placed on and covered with polyethylene to protect against erosion and leaching into surrounding soils, the stockpile area shall be graded for positive drainage away from the pile, and shall be labeled while being held for sampling prior to permanent disposal.
4. Provide any dewatering that is necessary to complete the work. Water shall be disposed of in accordance with Item 8.01 W1 – Removal, Treatment and Discharge/Disposal of Contaminated Water.

B. Off-Site Transportation and Disposal

1. The Contractor shall furnish all labor, equipment and supplies required to transport hazardous materials from the work area to the off-site TSD facility(ies) and to acquire any other items and services required for transporting hazardous materials for storage and/or disposal at an approved off-site facility.
2. Weight Measurement
 - a. The Contractor shall submit the name and location of the facility where an off-site scale is located. The Contractor shall also submit a plan to the DDC for review outlining procedures on controlling trucks leaving the work site and on-route to the off-site scale. The Contractor shall be responsible for tracking all materials/vehicles from the site to the off-site scale.
 - b. The Contractor shall provide to the DDC certified tare and gross weight slips for each load received at the accepted facility which shall be attached to each returned manifest.
3. General
 - a. Manifests: The Contractor shall organize and maintain the material shipment records/manifests required by law.

- b. The Contractor shall coordinate the schedule for truck arrival and material deliveries at the job site to meet the approved project schedule. The schedule shall be compatible with the availability of equipment and personnel for material handling at the job site.
 - c. The Contractor shall inspect all vehicles leaving the project site to ensure that hazardous soils adhering to the wheels or under carriage are removed prior to the vehicle leaving the site.
 - d. The Contractor shall obtain letters of commitment from the waste haulers and the TSD facility to haul and accept shipments. The letter shall indicate agreement to handle and accept the specified estimated quantities and types of material during the time period specified in the project schedule and any time extension as deemed as necessary.
4. Hauling
- a. The Contractor shall not deliver waste to any facility other than the TSD facility(ies) listed on the shipping manifest.
 - b. The Contractor shall coordinate manifesting, placarding, of shipments, and vehicle decontamination. All quantities shall also be measured and recorded upon arrival at the TSD facility. If any deviation between the two records occurs, the matter is to be reported immediately to the DDC and to be resolved by the Contractor to the satisfaction of the DDC.
 - c. The Contractor shall be held responsible, at its own expense, for any and all actions necessary to remedy situations involving material spilled in transit or mud and dust tracked off-site.
 - d. The Contractor shall ensure that trucks are protected against contamination by properly covering and lining them with compatible material (such as polyethylene) or by decontaminating them prior to any use other than hauling hazardous materials.
 - e. The Contractor shall be responsible for inspecting the access routes for road conditions, overhead clearance, and weight restrictions.
 - f. The Contractor shall only use the transporter(s) identified in the MHP for the performance of work. Only a transporter with a current Part 364 Waste Transporter Permit from the DEC may transport this material. Any use of substitute or additional transporters must have previous written approval from the DDC at no additional cost to the City.
 - g. The Contractor shall develop, document, and implement a policy for accident prevention.
 - h. The Contractor shall not combine hazardous materials from other projects with material from this project.
 - i. **The Contractor shall obtain for the City an EPA hazardous waste generator identification number and a representative of Program Administration, ESS will review and sign the manifest as the generator.**
 - j. No materials shall be transported until approved by the DDC.
5. Off-Site Disposal

- a. The Contractor shall be responsible for acceptance of the materials at an approved TSD facility, for ensuring that the facility is properly permitted to accept the stated materials, and that the facility provides the stated storage and/or disposal services.
 - b. In the event that the identified and approved facility ceases to accept the stated materials or the facility ceases operations, it is the Contractor's responsibility to locate an alternate approved and permitted facility(ies) for accepting materials. The Contractor is responsible for making the necessary arrangements to utilize the facility(ies), and the alternate facility(ies) must be approved in writing by the DDC in the same manner and with the same requirements as for the original facility(ies). This shall be done with no extra cost or delay to the City.
 - c. The Contractor shall submit all results and weights to the DDC.
 - d. **The Contractor is responsible to pay all fees associated with the generation and disposal of all excavated hazardous waste. These fees include, but are not limited to, the New York State Department of Finance and Taxation (DFT) quarterly fees for hazardous waste and the New York State DEC annual hazardous waste regulatory fee program. The Contractor shall submit a copy of proof of payment to the DDC and Program Administration, ESS.**
6. Equipment and Vehicle Decontamination
- The Contractor shall design and construct a portable decontamination station to be used to decontaminate equipment and vehicles exiting from the exclusion zone. The cost for this work shall be paid under Item 8.01 S - Health and Safety. Disposal of decontamination liquids is described under Item 8.01 W1 - Removal, Treatment and Discharge/Disposal of Contaminated Water.
7. Record Keeping
- The Contractor shall obtain manifest forms, and complete the shipment manifest records required by the appropriate regulatory agencies for verifying the material and quantity of each load in unit of volume and weight. Copies of each manifest shall be submitted to the DDC within four (4) business days following shipment, and within three (3) business days after notification of receipt of the facility. Any manifest discrepancies shall be reported immediately to the DDC and be resolved by the Contractor to the satisfaction of the DDC.

8.01 H.4 METHOD MEASUREMENT

Quantities for hazardous soil shall be measured in tons satisfactorily delivered to the treatment, storage or disposal facility. The tonnage will be determined by off-site truck scales, as per subsection 8.01 H1.3.B.2, that are capable of generating load tickets.

8.01 H.5 PRICE TO COVER

- A. The unit price bid per ton for Item 8.01 H shall include the cost of furnishing all labor, materials, plant, equipment, and insurance for excavation, handling, transportation, disposal, documentation, permits, fees, taxes, stockpiling, hauling, and any other incidentals necessary to complete the work as specified herein for handling, transporting and disposal of hazardous soils.
- B. Final disposal of non-hazardous materials shall be paid for under Item 8.01 C1 - Handling, Transporting and Disposal of Non-Hazardous Soils. Disposal of decontamination water shall be

paid under Item 8.01 W1 – Removal, Treatment and Discharge/Disposal of Contaminated Water.

- C The independent Environmental Consultant shall be paid under Item 8.01 S – Health and Safety.
- D. Backfill will be paid for under its respective item.

Payment will be made under:

<u>ITEM NUMBER</u>	<u>ITEM</u>	<u>PAYMENT UNIT</u>
8.01 H	Handling, Transporting, and Disposal of Hazardous Soils	Tons

ITEM 8.01 S HEALTH AND SAFETY

8.01 S.1 WORK TO INCLUDE

Health and Safety Requirements

A. Scope of Work

It is the Contractor's responsibility to stage and conduct his work in a safe manner. The Contractor shall implement a Health and Safety Plan (HASP) for contaminated/hazardous soil intrusive activities as set forth in Occupational Safety and Health Administration (OSHA) Standards 1910.120 and 1926.650-652. The Contractor shall ensure that all workers have at a minimum hazard awareness training. The Contractor shall segregate contaminated work area in secured exclusion zones. These zones shall limit access to Contractor personnel specifically trained to enter the work area. The exclusion zone shall be set up to secure the area from the public and untrained personnel. The project health and safety program shall apply to all construction personnel including persons entering the work area. In addition, the Contractor shall protect the public from on-site hazards, including subsurface contaminants associated with on-site activities. The HASP shall be signed off by a Certified Industrial Hygienist and reviewed by Program Administration, Engineering Support Services (ESS).

Work shall include, but not be limited to:

1. Implementation of a baseline medical program.
2. Providing safety equipment and protective clothing for site personnel, including maintenance of equipment on a daily basis; replacement of disposable equipment as required; decontamination of clothing, equipment and personnel; and providing all other health and safety measures.
3. Providing, installing, operating and maintaining on-site emergency medical first aid equipment as specified in this section for which payment is not provided under other pay items in this Contract.
4. Providing, installing, operating, maintaining and decommissioning all equipment and personnel decontamination facilities specified within this section, including, but not limited to, the decontamination pad, decontamination water supply, decontamination water collection equipment and all other items and services required for the implementation of the health and safety requirements for which pay items are not provided elsewhere in this Contract.
5. Provide the minimum health and safety requirements for excavation activities within the limits of this Contract.
6. Implement and enforce a HASP: The HASP as presented in these specifications is dynamic with provisions for change to reflect new information, new practices or procedures, changing site environmental conditions or other situations which may affect site workers and the public. The HASP will also address measures for community protection, accident prevention, personnel protection, emergency response/contingency planning, air monitoring, odor control and hazardous chemicals expected on site. Providing a Confined Space Entry Program as defined in the Occupational Safety and Health Act, Confined Space Entry Standard, 29 CFR 1910.146.

B. Environmental Consulting Services

The Contractor shall retain an independent Environmental Consultant to obtain all permits and perform all field screening, air monitoring, community air monitoring, soil sampling, and health and safety services. The independent Environmental Consultant shall at a minimum provide documentation to the Program Administration, ESS demonstrating the minimum requirements as set forth below:

1. The independent Environmental Consultant project supervisor on site and other designated key personnel shall have a minimum of three (3) years experience in the environmental field dealing with issues associated with contaminated soils. Such experience shall include oversight on environmental, specifically volatile organic compound and dust monitoring services as a routine part of its daily operations.
2. The independent Environmental Consultant must be experienced in work of this nature, size, and complexity and must have previous experience in working with the DEC.
3. The independent Environmental Consultant shall furnish a project listing identifying the location, nature of services provided, owner, owner's contact, contact's telephone number, project duration and value for at least five (5) projects within the last three (3) years.
4. If conditions within the exclusion zone are deemed hazardous, then the Contractor and its independent Environmental Consultant shall ensure that all personnel working within identified exclusion zones and/or involved (direct contact) with the handling, storage or transport of hazardous and contaminated materials shall have completed a minimum of forty (40) hours of Health and Safety Training on Hazardous Waste Sites in accordance with 29 CFR 1910.120(e). The training program shall be conducted by a qualified safety instructor. If conditions in the exclusion zone are deemed to be non-hazardous, the independent Environmental Consultant shall provide site specific training.
5. The Contractor shall ensure that on-site management and supervisors directly responsible for or who supervise employees engaged in hazardous waste operations shall receive the training specified in above and at least eight (8) additional hours of specialized training on managing such operations at the time of job assignment.

C. Submittals

1. The Contractor shall submit, within forty-five (45) calendar days after the contract award, a written HASP as specified herein, to Program Administration, ESS for review and comment. The Contractor shall make all necessary revisions required by Program Administration, ESS and resubmit the HASP to the Program Administration, ESS for acceptance. Start-up work for the project will not be permitted until written acceptance has been issued by the Program Administration, ESS.
2. Daily safety logs shall be maintained by the Contractor and shall be submitted to the DDC either on request or on completion of the work. Training logs shall be maintained by the Contractor and submitted to the DDC either on request or on completion of the work. Daily logs on air monitoring during excavation activities shall be prepared and maintained by the Contractor and submitted to the DDC either on request or upon completion of the work.
3. A closeout report shall be submitted by the Contractor to the DDC upon completion of the work within the defined exclusion zones. This report shall summarize the daily safety and monitoring logs and provides an overview of the Contractor's performance regarding environmental and safety issues. The report shall carefully document all areas where contamination has been found including pictures, addresses of locations, and potential sources.

4. Medical Surveillance Examinations: The Contractor shall submit to the DDC the name, office address and telephone number of the medical consultant utilized. Evidence of baseline medical examinations together with the evidence of the ability to wear National Institute for Occupational Safety and Health (NIOSH) approved respirators (as specified in American National Standards Institute (ANSI) Z88.6) shall be provided to the DDC for all construction personnel who are to enter the exclusion zones.
5. Accident Reports: All accidents, spills, or other health and safety incidents shall be reported to the DDC.

D. Health and Safety Plan

The HASP shall comply with OSHA regulations 29 CFR 1910.120/1926.65. This document shall at a minimum contain the following:

1. Description of work to be performed
2. Site description
3. Key personnel
4. Worker training procedures
5. Work practices and segregation of work area
6. Hazardous substance evaluation
7. Hazard assessment
8. Personal and community air monitoring procedures and action levels
9. Personal protective equipment
10. Decontamination procedures
11. Safety rules
12. Emergency procedures
13. Spill control, dust control, vapor/odor suppression procedures
14. Identification of the nearest hospital and route
15. Confined space procedures
16. Excavation safety procedures

8.01 S.2 MEASUREMENT

Health and Safety Requirements

- A. 25% of the lump sum price will be paid when the following items are implemented or mobilized:

Medical surveillance program
Health and safety training
Health and safety plan
Environmental and personnel monitoring
Instrumentation
Spill control
Dust control
Personnel and equipment decontamination facilities
Personnel protective clothing
Communications
Mobilization

- B. 50% will be paid in proportional monthly amounts over the period of work.
- C. 25% will be paid when the operation is demobilized and removed from the project site.

8.01 S.3 PRICE TO COVER

Health and Safety Requirements

The lump sum price bid for the health and safety requirements shall include all labor, materials, equipment, and insurance necessary to complete the work in accordance with these specifications. The price bid shall include, but not be limited to, the following:

- A. Providing training, safety personnel, air monitoring and medical examinations as specified.
- B. Providing safety equipment and protective clothing for site personnel, including maintenance of equipment on a daily basis; replacement of disposable equipment as required; decontamination of clothing, equipment and personnel; and all other health and safety activities or costs not paid for under other pay items in this Contract.
- C. Providing, installing, operating and maintaining on-site emergency medical and first aid equipment. This includes all furnishings, equipment, supplies and maintenance of all medical equipment, and all other health and safety items and services for which payment is not provided under other pay items in this Contract.
- D. Providing, installing, operating, maintaining, and decommissioning all personnel and equipment decontamination facilities, including decontamination pad, decontamination water supply, and all other items and services required for the implementation of the health and safety requirements for which pay items are not provided elsewhere in this Contract. Vehicle decontamination pads shall be included in the price of this item. Disposal of decontamination fluid shall be paid for under Item 8.01 W1 – Removal, Treatment and Discharge/Disposal of Contaminated Water.

E. Spill Control

- 1. Payment shall account for furnishing, installing, and maintaining all spill control equipment and facilities. Payment will include equipment and personnel to perform emergency measures required to contain any spillage and to remove spilled materials and soils or liquids that become contaminated due to spillage during work within the exclusion zones and handling of excavated soils and liquids from these areas. This collected spill material will be properly disposed of.
- 2. Payment under this item shall not include testing, handling, transportation or disposal of petroleum-contaminated/potentially hazardous soils excavated during construction. The price for this work will be paid for under Items 8.01 C1 – Handling, Transporting and Disposal of Non-Hazardous Contaminated Soils, 8.01 C2 – Sampling and Testing of Contaminated/Potentially Hazardous Soil for Disposal Parameters or 8.01 H – Handling, Transporting and Disposal of Hazardous Soils, as appropriate.

F. Dust Control

Payment shall account for furnishing, installing, and maintaining dust control equipment and facilities to be used whenever applicable dust levels are exceeded. Payment will include all necessary labor, equipment, clean water, foam, and all other materials required by the Dust Control Plan. The DOH Community Air Monitoring Plan (CAMP) may be used as guidance.

G. Vapor/Odor Suppression

Payment shall account for furnishing, installing and maintaining vapor/odor control equipment and facilities to be used whenever organic vapor monitoring or the presence of odors indicates that vapor suppression is required to protect workers or the public. Payment will include all

necessary labor, equipment, clean water, foam and all other materials required by the Vapor/Odor Suppression Plan.

H. Mobilization/Demobilization

1. Mobilization

Payment shall include but not be limited to:

- a. All work required to furnish, install and maintain all signs, fencing, support zone facilities, parking areas and all temporary utilities;
- b. All work required to furnish, install, and maintain an office space with phone and utilities for health and safety personnel;
- c. All work required for complete preparation of lay down area for roll-off containers, including sampling, and any required fencing;
- d. All direct invoiced cost from bonding companies and government agencies for permits and costs of insurance; and
- e. All other items and services required for mobilization and site preparation.

2. Demobilization

Payment shall include but not be limited to: All work required to sample the area; remove from the site all equipment, temporary utilities and supporting facilities; performance of necessary decontamination and repairs; disposal of disposable equipment and protective gear and other items and services required for complete demobilization.

Payment will be made under:

<u>ITEM NUMBER</u>	<u>ITEM</u>	<u>PAYMENT UNIT</u>
8.01 S	Health and Safety	Lump Sum

ITEM 8.01 W1 REMOVAL, TREATMENT AND DISCHARGE/DISPOSAL OF CONTAMINATED WATER

8.01 W1.1 WORK TO INCLUDE

General: This work shall consist of the proper removal and disposal of all contaminated groundwater and decontamination water generated during construction operations. The Contractor shall be solely responsible for the proper disposal or discharge of all contaminated water generated at the job site. The Contractor will have the option of treating water on-site for discharge to the combined sanitary/storm sewer system or removing contaminated water for off-site disposal. The Contractor shall be responsible to choose a method compatible to the construction work and shall be compensated on a per day basis regardless of method employed. The Contractor will be compensated for only those days where the system is in full operation.

The Contractor shall retain a dewatering/water treatment Specialist (hereinafter the "Specialist") and laboratory as specified under Item 8.01 W2 – Sampling and Testing of Contaminated Water, to conduct any testing that may be required for disposal of impacted water.

The dewatering/water treatment Specialist is responsible to obtain all permits; perform all water sampling, testing; and provide ancillary services related to dewatering and water treatment. The Specialist shall at a minimum provide documentation to the Program Administration, Engineering Support Services (ESS) demonstrating the minimum requirements as set forth below:

1. The Specialist shall demonstrate that it has, at a minimum, three (3) years experience in the design of dewatering plans. The Specialist should demonstrate expertise dealing with issues associated with contaminated water. During that three (3) year period, the Specialist shall demonstrate that it provided dewatering and water treatment systems as a routine part of its daily operations.
2. The Specialist must be experienced in work of this nature, size, and complexity and must have previous experience in working with the DEC.
3. The Specialist shall furnish a project listing identifying the location, nature of services provided, owner, owner's contact, contact's telephone number, project duration and value for at least five (5) projects within the last three (3) years of a similar nature, size, and complexity to this one.
4. If conditions within the exclusion zone are deemed hazardous, then the Contractor and its independent Environmental Consultant shall ensure that all personnel working within identified exclusion zones and/or involved (direct contact) with the handling, storage or transport of hazardous and contaminated material shall have completed a minimum of forty (40) hours of Health and Safety Training on Hazardous Waste Sites in accordance with 29 CFR 1910.120(e). The training program shall be conducted by a qualified safety instructor. If conditions in the exclusion zone are deemed to be non-hazardous, the Specialist shall be responsible to provide site-specific training to its employees and other affected personnel.
5. The Contractor shall ensure that on-site management and supervisors directly responsible for or who supervise employees engaged in hazardous waste operations shall receive the training specified in above and at least eight (8) additional hours of specialized training on managing such operations at the time of job assignment.

The Contractor shall document all operations associated with the handling, sampling and disposal of contaminated water, and ensure that they are in compliance with applicable Federal, State and Local statutes and regulations.

The Contractor shall supply all labor, equipment, transport, plant, material, treatment, and other incidentals required to conduct the specified work of this section.

If water will be disposed of into the combined sanitary/storm sewer system, the Contractor shall ensure the Specialist treats the water to comply with the New York City Department of Environmental Protection (DEP) Sanitary/Combined and Storm Sewer Effluent Limit concentrations prior to discharge. The Contractor is responsible for providing settling or filtering tanks and any other apparatus required by DEP. Alternatively, the Contractor can provide a plan for transport and disposal at an off-site waste disposal facility.

Within forty-five (45) calendar days after award of Contract, the Contractor shall submit to the Program Administration, ESS for review, a Water Handling Plan (WHP). The WHP must be approved by the Program Administration, ESS, prior to the Contractor's commencement of work. The minimum requirements for the WHP are specified herein Item 8.01W 1.2, for each type of disposal (disposal into the combined sanitary/storm sewer or off-site disposal). The Contractor shall maintain a complete, up to date copy of the WHP on the job site at all times.

8.01 W1.2 CONSTRUCTION DETAILS

For each disposal method the Contractor proposes to utilize (disposal to combined sanitary/storm sewer or off-site disposal), the WHP shall include the information required in paragraphs A and B below, as appropriate.

A. On-site treatment and discharge into New York City combined sanitary/storm sewers.

1. Regulations: The Contractor shall comply with all applicable regulations. This includes but may not be limited to:
Title 15-New DEP Sewer Use Regulations.
2. Permits: The Contractor is solely responsible to obtain all necessary and appropriate Federal, State and Local permits and approvals. The Contractor will be responsible for performing all and any system pilot tests required for permit approval. This includes but may not be limited to:
 - a. Industrial waste approval for the New York City sewer system.
 - b. Groundwater discharge permit for the New York City sewer system (DEP Division of Sewer Regulation and Control), if discharge to sewer exceeds 10,000 gallons per day.
 - c. The Contractor shall comply with DEC State Pollutant Discharge Elimination System (SPDES) Permit Number GP-0-10-001, General Permit for Stormwater Discharges.
 - d. Long Island well point permit for Brooklyn and Queens sites, if well points are used for dewatering.
 - e. Wastewater quality control application, DEP.
3. The WHP for this portion of the work shall include at a minimum:
 - a. Identification and design of Contractor's proposed treatment to assure that the water meets the DEP sewer use guidelines prior to discharge to the sewer, including identification of all materials, procedures, settling or filtering tanks,

filters and other appurtenances proposed for treatment and disposal of contaminated water.

- b. The name, address and telephone number of the contact for the Contractor's proposed chemical laboratory, as well as the laboratory's certifications under Federal, State or non-governmental bodies.
- c. The name, address and telephone number of the contact for the Contractor's proposed independent Environmental Consultant.
- d. Copies of all submitted permit applications and approved permits the Contractor have received.

4. Materials

The Contractor shall supply all settling or filtering tanks, pumps, filters, treatment devices and other appurtenances for treatment, temporary storage and disposal of contaminated water. All equipment shall be suitable for the work described herein.

5. Execution

- a. The Contractor is solely responsible for disposal of all water, in accordance with all Federal, State and Local regulations.
- b. The Contractor is solely responsible for any treatment required to assure that water discharged into the sewer is in compliance with all permits and Federal, State and Local statutes and regulations.
- c. The Contractor is solely responsible for the quality of the water disposed of into the sewers.
- d. The Contractor is responsible for sampling and testing of water for the DEP Sanitary/Combined and Storm sewer Effluent Limit concentrations. The quality of the data is the Contractor's responsibility. Any sampling and testing shall be conducted and paid in accordance with Item 8.01 W2 – Sampling and Testing of Contaminated Water.
- e. The Contractor shall be responsible to maintain the discharge rate to the sewer such that all permit requirements are met, the capacity of the sewer is not exceeded and no surcharging occurs downstream due to the Contractor's actions. Dewatering by means of well points or deep wells will not be allowed in the Boroughs of Brooklyn or Queens where the rate of pumping exceeds forty-five (45) gallons per minute unless the appropriate permit has been secured from the DEC.
- f. Disposal of Treatment Media
 - (1) The Contractor shall be responsible for disposal or recycling of treatment media in accordance with all Federal, State and Local regulations.
 - (2) The Contractor shall provide the DDC with all relevant documentation concerning the disposal of treatment media, including manifests, bills of lading, certificates of recycling or destruction and other applicable documentation.

- (3) **Disposal of treatment media shall not be considered as a separate pay item; instead it shall be considered as incidental work thereto and included in the unit price bid.**

B. Off-Site Disposal

1. Regulations: The Contractor shall conform to all applicable Federal, State and Local regulations pertaining to the transportation, storage and disposal of any hazardous and/or non-hazardous materials as listed in Attachment 2.
2. The following shall be submitted to the DDC prior to initiating any off-site disposal:
 - a.
 - (1) Name and waste transporter permit number
 - (2) Address
 - (3) Name of responsible contact for the hauler
 - (4) Any and all necessary permit authorizations for each type of waste transported
 - (5) Previous experience in performing the type of work specified herein
 - b. General information for each proposed treatment/disposal facility and at least one backup treatment/disposal facility
 - (1) Facility name and EPA identification number
 - (2) Facility location
 - (3) Name of responsible contact for the facility
 - (4) Telephone number for contact
 - (5) Unit of measure utilized at facility for costing purposes
 - c. A listing of all permits, licenses, letters of approval and other authorizations to operate, which are currently held and valid for the proposed facility as they pertain to receipt and management of the wastes derived from this Contract.
 - d. A listing of all permits, licenses, letters of approval and other authorizations to operate which have been applied for by the proposed facility but not yet granted or issued. Provide dates of application(s) submitted. Planned submittals shall also be noted.
 - e. The Contractor shall specify and describe the disposal/containment unit(s) that the proposed facility will use to manage the waste and provide dates of construction and beginning of use, if applicable. Drawings may be provided. The Contractor shall identify the capacity available in the units and the capacity reserved for the subject waste.
 - f. The Contractor shall provide the date of the proposed facility's last compliance inspection.
 - g. A list of all active (unresolved) compliance orders, agreements, enforcement notices or notices of violations issued to the proposed facility shall be submitted. The source and nature of the cause of violation shall be stated, if known. If

groundwater contamination is noted, details of the facility's groundwater monitoring program shall be provided.

- h. Description of all sampling and field/laboratory analyses that will be needed to obtain disposal facility approval.

3. Materials

All vessels for temporary storage and transport to an off-site disposal facility shall be as required in DOT regulations.

4. Execution

a. General

- (1) The Contractor shall organize and maintain the material shipment records/manifests required by Federal, State and Local law. The Contractor shall include all bills of lading, certificates of destruction, recycling or treatment and other applicable documents.
- (2) The Contractor shall coordinate the schedule for truck arrival and material deliveries at the job site to meet the approved project schedule. The schedule shall be compatible with the availability of equipment and personnel for material handling at the job site.
- (3) The Contractor shall inspect all vehicles leaving the project site to ensure that contaminated liquids are not spilling and are contained for transport.
- (4) The Contractor shall obtain letters of commitment from the waste haulers and the treatment, disposal or recovery facility to haul and accept shipment. The letter shall indicate agreement to handle and accept the specified estimated quantities and types of material during the time period specified in the project schedule and any time extension as deemed as necessary.
- (5) The Contractor shall verify the volume of each shipment of water from the site.
- (6) The Contractor is responsible for sampling and testing of water for off-site disposal. The quality of the data is the Contractor's responsibility. Any sampling and testing shall be conducted and paid in accordance with Item 8.01 W2 – Sampling and Testing of Contaminated Water.
- (7) The Contractor shall be responsible for any additional analyses required by the TSD facility, and for the acceptance of the water at an approved TSD facility.

b. Hauling

- (1) The Contractor shall not deliver waste to any facility other than the TSD facility(ies) listed on the shipping manifest.
- (2) The Contractor shall coordinate manifesting, placarding of shipments, and vehicle decontamination. All quantities shall also be measured and recorded upon arrival at the TSD facility(ies). If any deviation between the two records occurs, the matter is to be reported immediately to the DDC and shall be resolved by the Contractor to the satisfaction of the DDC.

- (3) The Contractor shall be held responsible for any and all actions necessary to remedy situations involving material spilled in transit or mud and dust tracked off-site. This cleanup shall be accomplished at the Contractor's expense.
- (4) The Contractor shall be responsible for inspecting the access routes for road conditions, overhead clearance and weight restrictions.
- (5) The Contractor shall only use the transporter(s) identified in the WHP for the performance of work. Only a transporter with a current Part 364 Waste Transporter Permit from DEC may transport this material. Any use of substitute or additional transporters must have previous written approval from the DDC at no additional cost to the City.
- (6) The Contractor shall develop, document, and implement a policy for accident prevention.
- (7) The Contractor shall not combine waste materials from other projects with material from this project.
- (8) The Contractor shall obtain for the City a hazardous waste generator identification number and will sign the manifest as the generator, if necessary.
- (9) No material shall be transported until approved by the DDC.

c. Disposal Facilities

- (1) The Contractor shall use only the TSD facility(ies) identified in the WHP for the performance of the work. Substitutions or additions shall not be permitted without prior written approval from the Program Administration, ESS, and, if approved, shall be at no extra cost to the City.
- (2) The Contractor shall be responsible for acceptance of the material at an approved TSD facility, for ensuring that the facility is properly permitted to accept the stated material, and that the facility provides the stated storage and/or disposal services.
- (3) The DDC reserves the right to contact and visit the disposal facility and regulatory agencies to verify the agreement to accept the stated material and to verify any other information provided. This does not in any way relieve the Contractor of his responsibilities under this Contract.
- (4) In the event that the identified and approved facility ceases to accept the stated materials or the facility ceases operations, it is the Contractor's responsibility to locate an alternate approved and permitted facility(ies) for accepting materials. The Contractor is responsible for making the necessary arrangements to utilize the facility(ies), and the alternate facility(ies) must be approved in writing by the DDC in the same manner and with the same requirements as for the original facility(ies). This shall be done with no extra cost or delay to the City.

d. Equipment and Vehicle Decontamination

- (1) The Contractor shall design and construct a portable decontamination station to be used to decontaminate equipment and vehicles exiting the exclusion zone. The cost for this work shall be paid under Item 8.01 S – Health and Safety.

8.01 W1.3 METHOD OF MEASUREMENT

The quantity for on-site treatment and discharge or off-site disposal shall be on a per day basis.

8.01 W1.4 PRICE TO COVER

- A. The per day price bid for Item 8.01 W1 shall include the cost of furnishing all labor, materials, plant, equipment, and insurance for handling, transportation, disposal, documentation, permits, hauling, mobilization and demobilization, and any other incidentals thereto to complete the work.
- B. The Contractor will not be paid for water that is within the DEP Sewer Discharge Limits.

Payment will be made under:

<u>ITEM NUMBER</u>	<u>ITEM</u>	<u>PAYMENT UNIT</u>
8.01 W1	Removal, Treatment and Disposal/Discharge of Contaminated Water	Day

ITEM 8.01 W2 SAMPLING AND TESTING OF CONTAMINATED WATER

8.01 W2.1 WORK TO INCLUDE

A. Description

The work shall consist of sampling and testing of potentially contaminated groundwater, surface runoff within the excavated area and all contaminated water generated during the decontamination process.

B. Sampling and Testing

1. The Contractor is responsible, at a minimum, for sampling and testing of contaminated water for the DEP Sanitary/Combined and Storm Sewer Effluent Limit concentrations as listed in Attachment 1. The quality of the data is the Contractor's responsibility. Any additional testing required by the Federal, State and/or disposal facilities shall be included in the bid price of this Item.
2. All sampling and testing shall be conducted by a person trained in sampling protocols using accepted standard practices and/or the DEC sampling guidelines and protocols.
3. All sample containers shall be marked with legible sample labels which shall indicate the project name, sample location and/or container, the sample number, the date and time of sampling, preservatives utilized, how the sample was chilled to 4 degrees Celsius, and other information that may be useful in determining the character of the sample.
4. Chain-of-custody shall be tracked from laboratory issuance of sample containers through receipt of the samples.
5. The Contractor shall maintain a bound sample log book. The Contractor shall provide the DDC access to it at all times and shall turn it over to the DDC in good condition at the completion of the work. The following information, as a minimum, shall be recorded to the log:
 - a. Sample identification number
 - b. Sample location
 - c. Field observation
 - d. Sample type
 - e. Analyses
 - f. Date/time of collection
 - g. Collector's name
 - h. Sample procedures and equipment used
 - i. Date sent to laboratory/name of laboratory
6. Only dedicated sampling equipment may be used to collect these samples. All equipment involved in field sampling must be decontaminated before being brought to the site, and must be properly disposed of after use.
7. Samples shall be submitted to the Contractor's laboratory within the holding times for the parameters analyzed.

8. All analyses must be done by a laboratory that has received approval from the DOH's ELAP for the methods to be done. The Contractor must specify the laboratory in the WHP.
9. Analytical results for water discharged to the sewer and for off-site disposal must be submitted to the DDC no later than five (5) days after sample collection.
10. The City reserves the right to direct the Contractor to conduct alternative sampling in lieu of the parameters described above, if the situation warrants. The substitute sampling parameters shall be of equal or lesser monetary value than those described above, as determined by industry laboratory pricing standards.

8.01 W2.2 METHOD OF MEASUREMENT

Quantities for samples shall be measured as the number of sets of samples that are tested for the DEP Sanitary/Combined and Storm Sewer Effluent Limit concentrations. A set shall be defined as one (1) representative sample analyzed for the full range of DEP parameters as specified in attachment 1.

8.01 W2.3 PRICE TO COVER

The unit price bid per set for Item 8.01 W2 shall include the cost of furnishing all labor, materials, plant, equipment, and insurance for handling, transport, sampling, testing, documentation, permits, other incidentals necessary to complete the work of sampling and testing of contaminated water. Any additional costs incurred by the Contractor for sampling and testing of contaminated water shall be included in the bid price of this Item.

Payment will be made under:

<u>ITEM NUMBER</u>	<u>ITEM</u>	<u>PAYMENT UNIT</u>
8.01 W2	Sampling and Testing of Contaminated Water	Set

ATTACHMENT 1

**New York City Department of Environmental Protection
Limitations for Discharge To Storm, Sanitary/Combined Sewer**

**NEW YORK CITY DEPARTMENT OF ENVIRONMENTAL PROTECTION
BUREAU OF WASTEWATER TREATMENT**

Limitations for effluent to storm, sanitary or combined sewers

Parameter	Daily Limit	Units	Sample Type	Monthly Limit
Oil & Grease	15	mg/l	Instantaneous	
Total Petroleum Hydrocarbons	50	mg/l	Instantaneous	
pH Range (Storm sewer)	6.5-8.5	SU's	Instantaneous	
(Sanitary sewer)	5-11			
Benzene	134	ppb	Instantaneous	57
Ethyl benzene	380	ppb	Instantaneous	142
Toluene	74	ppb	Instantaneous	28
Xylenes Total	74	ppb	Instantaneous	28
Temperature	< 150	°F	Instantaneous	
Cadmium	2	mg/l	Instantaneous	
	0.69	mg/l	Composite	
Chromium VI	5	mg/l	Instantaneous	
Copper	5	mg/l	Instantaneous	
Lead	2	mg/l	Instantaneous	
Mercury	0.05	mg/l	Instantaneous	
Nickel	3	mg/l	Instantaneous	
Zinc	5	mg/l	Instantaneous	
Flash Point	> 140	°F	Instantaneous	
Total Suspended Solids	350	ppm	Instantaneous	
PCB's Total*	1	ppb	Composite	
Perc (Tetrachloroethylene)	20	ppb	Instantaneous	
MTBE (Methyl-Tert-Butyl-Ether)	10	ppb	Instantaneous	10
Naphthalene	47	ppb	Instantaneous	19

* Analysis for PCB's are requested only if both conditions listed below are met:

- 1) If proposed discharge > 10,000 gpd
- 2) If duration of discharge > 10 days

Analysis for PCB's must be conducted by USEPA Method 608 only with MDL = 65ppt

ATTACHMENT 2

Applicable Regulations

Applicable regulations include, but are not limited to:

1. 49 CFR 100 to 179 - DOT Hazardous Materials Transport and Manifest System Requirements
2. New York State Department of Environmental Conservation (DEC), Spills Technology and Remediation Series (STARS) Memo #1
3. 6 NYCRR 360-1 DEC Solid Waste Management Facilities
4. 6 NYCRR 364- Waste Transporter permits
5. Local restrictions on transportation of waste/debris
6. 40 CFR 260 to 272 - Hazardous Waste Management (RCRA)
7. 6 NYCRR 371 - Identification and Listing of Hazardous Wastes
8. 6 NYCRR 372 - Hazardous Waste Manifest System and Related Standards for Generators, Transporters and Facilities
9. 6 NYCRR 373-1 - Hazardous Waste Treatment, Storage and Disposal Facility Permitting Requirements
10. 6 NYCRR 376 - Land Disposal Restrictions
11. Posted weight limitations on roads or bridges
12. Transportation Skills Programs, Inc. 1985 - Hazardous Materials and Waste Shipping Papers and Manifests
13. Other local restrictions on transportation of waste/debris
14. Occupational Safety and Health Administration (OSHA), Standards and Regulations, 29 CFR 1910 (General Industry)
15. OSHA 29 CFR 1910.120 Hazardous Waste Operations and Emergency Response
16. OSHA Safety and Health Standards 29 CFR 1926 (Construction Industry)
17. OSHA 29 CFR 1910.146 Confined Space Entry Standard
18. Standard Operating Safety Guidelines, EPA Office of Emergency and Remedial Response Publication, 9285.1-03
19. NIOSH / OSHA / USCG / EPA Occupational Safety and Health Guidance Manual for Hazardous Waste Site Activities (1986)
20. U.S. Department of Health and Human Services (DHHS) "NIOSH Sampling and Analytical Methods," DHHS (NIOSH) Publication 84-100
21. ANSI, Practice for Respiratory Protection, Z88.2 (1980)
22. ANSI, Emergency Eyewash and Shower Equipment, Z41.1 (1983)
23. ANSI, Protective Footwear, Z358.1 (1981)
24. ANSI, Physical Qualifications for Respirator Use, Z88.6 (1984)
25. ANSI, Practice for Occupational and Educational Eye and Face Protection, Z87.1 (1968)
26. Water Pollution Control Federation "Manual of Practice No. 1, Safety in Wastewater Works"
27. NFPA No. 327 "Standard Procedures for Cleaning and Safeguarding Small Tanks and Containers"

28. Occupational Safety and Health Act Confined Space Entry Standard 29 CFR 1910.146.87
29. Department of Transportation 49 CFR 100 through 179
30. Department of Transportation 49 CFR 387 (46 FR 30974, 47073)
31. Environmental Protection Agency 40 CFR 136 (41 FR 52779)
32. Environmental Protection Agency 40 CFR 262 and 761
33. Resource Conservation and Recovery Act (RCRA)
34. Any transporter of hazardous or non-hazardous materials shall be licensed in the State of New York and all other states traversed in accordance with all applicable regulations.

ATTACHMENT 3

Definitions

Contaminated Groundwater and Decontamination Fluids: Groundwater within the excavation trench or decontamination water that contains regulated compounds above the DEP Discharge to Sanitary/Combined Sewer Effluent limits.

Disposal or Treatment Facility: A facility licensed to accept either non-hazardous regulated waste or hazardous waste for either treatment or disposal.

Exclusion Zone: Work area that will be limited to access by Contractor personnel specifically trained to enter the work area only. The exclusion zone will be set up to secure the area from the public and untrained personnel. The project health and safety program will apply to all construction personnel including persons entering the work area.

Hazard Assessment: An assessment of any physical hazards that may be encountered on a work site.

Hazardous Soils: Soils that exhibit any of the characteristics of a hazardous waste, namely ignitability, corrosivity, reactivity, and toxicity, as defined in 6 NYCRR Part 371, Section 371.3 and 40 CFR Section 261.

Hazardous Substance Evaluation: An evaluation of the possible or known presence of any hazardous substances that may be encountered on a job site. This evaluation is included in the Health and Safety Plan and will include the identification and description of any hazardous substances expected to be encountered. Material Safety Data Sheets (MSDS) will be included for each substance.

Health and Safety Plan: A plan employed at a work site that describes all the measures that will be taken to assure that all work is conducted in a safe manner, and that the health of the workers and the public will be insured.

Material Handling Plan: A plan outlining the methods that will be employed to handle, transport and dispose of contaminated materials.

New York State Health Department's Environmental Laboratory Approval Program: A program by which the state of New York approves and accredits environmental testing laboratories.

Non-Hazardous Contaminated Soils: Soils which exhibit a distinct chemical or petroleum odor, or exhibit elevated photoionization detector readings but are not classified as hazardous waste under 6 NYCRR Part 371, Section 371.3 and 40 CFR Section 261.

PCBs: Polychlorinated biphenyls are a group of toxic compounds commonly used as a coolant in transformers and other electrical components.

Photoionization Detector: A hand held instrument used to measure volatile organic compounds in air. The instrument ionizes the organic molecules through the use of an ultraviolet lamp.

RCRA Hazardous Waste Characteristics: Characteristics of a material which may indicate the material is hazardous. These include: ignitability corrosivity, reactivity, and toxicity.

Total Petroleum Hydrocarbons: An analytical procedure used to determine the total amount of petroleum compounds in a material.

Toxicity Characteristic Leaching Procedure: A laboratory testing method used to determine the concentrations of certain substances in a material that has the ability to leach from the material. These concentrations are used to determine if the material exhibits toxicity levels characteristic of a hazardous waste.

ATTACHMENT 4

Limited Subsurface Corridor Investigation Report

<u>Tables</u>	1	Summary of Environmental Boring Data
	2	Summary of TCL VOCs Detected in Soil
	3	Summary of TCL SVOCs Detected in Soil
	4	Summary of TAL Metals Detected in Soil
	5	Summary of Pesticides Detected in Soil
	6	Summary of PCBs Detected in Soil
	7	Summary of Waste Classification Parameters in Soil
<u>Figures</u>	1	Topographic Corridor Location Map
	2	Soil Boring Location Plan
<u>Appendices</u>	A	Boring Location Sketch
	B	Geologic Boring Logs
	C	Laboratory Analytical Results (attached as CD)

EXECUTIVE SUMMARY

On behalf of the New York City Department of Design and Construction (NYCDDC), Weston Solutions of New York, Inc. (Weston) conducted a Phase II Subsurface Corridor Investigation (SCI) of Wadsworth Terrace between Fairview Avenue and West 190th Street, New York, New York (hereinafter referred to as the Corridor). The proposed roadway improvements for the Corridor consist of Wadsworth Terrace between Fairview Avenue and West 190th Street in the Inwood section of Manhattan, New York. The total length of the Corridor is approximately 800 feet along Wadsworth Terrace and 450 feet along Fairview Avenue at the intersection of Wadsworth Terrace. The Corridor is located at an approximate elevation of 180 feet above mean sea level [msl] at the intersection of Wadsworth Terrace and West 190th Street and exhibits a downward slope, decreasing to 170 feet above mean sea level [msl] at the intersection of Wadsworth Terrace and Fairview Avenue. The Corridor then exhibits a steep vertical change of approximately 50 feet traveling west on Fairview Avenue from Wadsworth Terrace towards the Corridor limit located approximately 120 feet above msl.

Weston prepared a Phase I Corridor Assessment Report (Phase I CAR) dated March 11, 2013 which presented the results of a survey conducted along the Corridor to assess the presence of potential sources of subsurface media contamination within, and in the immediate vicinity of, the Corridor. The Phase I CAR identified one (1) site with a potential "High" risk and six (6) sites with a potential "Moderate" risk to impact the subsurface (soil and/or groundwater) of the Corridor and recommended the performance of a Phase II SCI.

The objective of the Phase II SCI was to assess the presence of subsurface contamination that may potentially impact proposed construction activities. The proposed construction activities for the Corridor include roadway improvements consisting of the reconstruction of Wadsworth Terrace. The Phase II SCI was conducted to determine if the Corridor's environmental condition may potentially impact the proposed construction activities. The Phase II SCI consisted of the following components:

- The advancement of five (5) soil borings (SB-01 through SB-05) to the terminal depths ranging from 2.5 to 4.5 feet below ground surface (fbgs) due to shallow bedrock and the field screening of soil samples, including Photoionization Detector (PID) readings and visual and olfactory indicators of contamination (staining, odors);
- The collection and analysis of five (5) soil samples collected from soil borings SB-01 through SB-05 which were analyzed for the following parameters: (1) United States Environmental Protection Agency (EPA) Target Compound List (TCL) Volatile Organic Compounds (VOCs); (2) TCL Semi-volatile Organic Compounds (SVOCs); (3) Target Analyte List (TAL) metals; (3) pesticides; and (4) polychlorinated biphenyls (PCBs);
- The collection of two (2) waste characterization soil sample (WC-01 and WC-02), which were analyzed for: (1) the EPA Toxicity Characteristic leaching Procedure (TCLP)

parameters; (2) the Resource Conservation and Recovery Act (RCRA) Characteristics (ignitability, reactivity and corrosivity); and (3) Total Petroleum Hydrocarbons Diesel Range Organics/Gasoline Range Organics (TPHC DRO/GRO); and

- The preparation of this report, which includes tables summarizing the laboratory analytical results and figures depicting boring locations, significant site features and, if applicable, contamination occurrence and distribution.

In order to evaluate the subsurface soil quality, laboratory analytical results were compared with the regulatory standards identified in (1) New York State Department of Environmental Conservation (NYSDEC) Subpart 375-6: Remedial Program Unrestricted (Track 1 and Track 2) and Restricted Use Soil Cleanup Objectives (SCOs); (2) NYSDEC Commissioner Policy 51 (CP-51)/Soil Cleanup Guidance Supplemental Soil Cleanup Objectives (SSCOs); and (3) the Toxicity Characteristic Regulatory Levels for Hazardous Waste published in RCRA and NYSDEC Part 371. In order to evaluate the groundwater quality, the laboratory analytical results for the groundwater samples were compared to the NYCDEP Sewer Discharge Criteria.

The subsurface soils encountered during this Phase II SCI consisted of 2 to 4.5 feet of fill material consisting of brown sand with some cobbles and rock fragments. Native soils were not encountered due to shallow bedrock immediately below the fill material.

Field screening did not identify elevated PID readings, evidence of visual, olfactory or petroleum-impacted soils in any of the soil borings (SB-01 through SB-05).

No VOCs and SVOCs were detected in any of the soil samples collected from soil borings SB-01 through SB-05 above applicable standards.

The polychlorinated biphenyl (PCB) analyte Aroclor-1254 was detected in soil sample SB-01 at a concentration exceeding the Unrestricted Use (Track 1) SCOs.

Iron was detected in five (5) soil samples (SB-01 through SB-05) at a concentration exceeding the SSCO. Calcium was detected in three (3) soil samples (SB-01, SB-03, and SB-05) at a concentration exceeding the SSCO. Aluminum was also detected in two (2) soil samples (SB-02 and SB-04) at a concentration exceeding the SSCO. Lead was detected in four (4) soil samples (SB-01, SB-03, SB-04, and SB-05) at a concentration exceeding the Track 1 SCOs. Mercury, copper and zinc were detected in two (2) soil samples (SB-03 and SB-04) at a concentration exceeding the Unrestricted Use (Track 1) SCOs. Arsenic and Barium were detected in one (1) soil sample (SB-04) at a concentration exceeding the Track 1 and Track 2 SCOs.

The pesticide analytes were detected in four (4) out of (5) borings. Dichlorodiphenyldichloroethylene (DDE) and dichlorodiphenyltrichloroethane (DDT) were detected in four (4) soil samples (SB-01, SB-03, SB-04, and SB-05) at concentrations exceeding the Unrestricted Use (Track 1) SCOs. Chlordane was detected in two (2) soil samples (SB-04 and SB-05) at concentrations exceeding the Unrestricted Use (Track 1) SCOs. Additionally, dieldrin and dichlorodiphenyldichloroethane (DDD) were detected in one (1) soil sample (SB-04) at a concentration exceeding Unrestricted Use (Track 1) SCOs.

The waste characterization soil samples (WC-01 and WC-02) did not exhibit evidence of hazardous waste characteristics.

Conclusions

Based on the evaluation of the field screening data and the laboratory analytical results, and a comparison to applicable regulatory standards, the following conclusions are presented:

- Field screening did not identify evidence of petroleum-impacted soils and PID readings at any of the soil borings;
- Subsurface soils contain elevated concentrations of metals, PCBs and pesticides which are attributed to fill material, historic operations/pesticide application, or naturally-occurring/non-anthropogenic sources;
- The subsurface soils did not exhibit hazardous waste characteristics; and
- Groundwater was not encountered at any of the soil boring locations on the Corridor, which were advanced to the terminal depths ranging from 2.5 to 4.5 ftbgs.

Based on the results of the field investigation and laboratory analytical results, Weston recommends the following:

- The Contract documents should identify provisions for managing, handling, transporting and disposing of non-hazardous contaminated (PCBs, pesticides and metals) soil. The Contractor should be required to submit a Material Handling Plan to identify the specific protocol and procedures that will be employed to manage the waste in accordance with applicable regulations.
- Due to the presence of PCBs, pesticides and metals at concentrations exceeding NYSDEC SCOs and/or SSCOs at the investigated sites, dust control procedures are recommended during excavation activities to minimize the creation and dispersion of fugitive airborne dust. The Contractor may implement dust control measures to minimize potential airborne contaminants released as a direct result of construction activities. A Community Air Monitoring Plan (CAMP) shall be developed in accordance with NYSDEC Division of Environmental Regulations (DER)-10 Regulations. The CAMP requires real-time monitoring for VOCs and particulates (i.e., dust) at the downwind perimeter of each designated work area when certain activities are in progress at contaminated sites. The CAMP is intended to provide a measure of protection for the downwind community from potential airborne contaminant releases as a direct result of investigative and remedial work activities. Specific requirements shall be reviewed for each situation in consultation with New York State Department of Health (NYSDOH) to ensure proper applicability.
- Groundwater was not encountered during this Phase II SCI; however, dewatering may be necessary during construction activities in the Corridor. If groundwater is encountered during construction activities and dewatering is determined to be necessary within the Corridor, a groundwater sample should be collected and analyzed for NYCDEP Sanitary and Combined

Sewer Discharge Parameters. Based on the results of laboratory analyses for NYCDEP Sewer Discharge Criteria, groundwater may require pretreatment prior to discharge to sanitary or combined sewers. The contractor will be required to obtain a NYCDEP sewer discharge permit and perform sampling and laboratory analysis prior to discharge into sanitary and combined sewers;

- In addition, if discharge into storm sewers is required during dewatering, it may be done under the appropriate NYSDEC State Pollutant Discharge Elimination System (SPDES) permit. Additional sampling and laboratory analysis may be required to satisfy NYSDEC requirements prior to discharge into storm sewers; and
- Before beginning any excavation activity, the contractor shall submit a site-specific health and safety plan (HASP) that will meet the requirements set forth by the Occupational, Safety and Health Administration (OSHA), the New York State Department of Health (NYSDOH) and any other applicable regulations. The HASP should identify the possible locations and risks associated with the potential contaminants that may be encountered, and the administrative and engineering controls that will be utilized to mitigate concerns (i.e., dust control procedures).

1.0 INTRODUCTION

On behalf of the New York City Department of Design and Construction (NYCDDC), Weston Solutions of New York, Inc. (Weston) conducted a Phase II Subsurface Corridor Investigation (SCI) of Wadsworth Terrace between Fairview Avenue and West 190th Street, New York, New York (hereinafter referred to as the Corridor). The proposed roadway improvements for the Corridor consist of Wadsworth Terrace between Fairview Avenue and West 190th Street in the Inwood section of Manhattan, New York. The total length of the Corridor is approximately 800 feet along Wadsworth Terrace and 450 feet along Fairview Avenue at the intersection of Wadsworth Terrace. The Corridor is located at an approximate elevation of 180 feet above mean sea level [msl] at the intersection of Wadsworth Terrace and West 190th Street and exhibits a downward slope, decreasing to 170 feet above mean sea level [msl] at the intersection of Wadsworth Terrace and Fairview Avenue. The Corridor then exhibits a steep vertical change of approximately 50 feet traveling west on Fairview Avenue from Wadsworth Terrace towards the Corridor limit located approximately 120 feet above msl.

Excavation for the reconstruction of Wadsworth Terrace is proposed along the Corridor. The Phase II SCI was conducted to determine if the Corridor's environmental condition may potentially impact the proposed construction activities.

1.1 Summary of Previous Environmental Investigations

Weston prepared a Phase I Corridor Assessment Report (Phase I CAR) dated March 11, 2013, which presented the results of a survey conducted along the Corridor to assess the presence of potential sources of subsurface contamination within, and in the immediate vicinity of, the Corridor. The survey also included a review of the fire insurance maps to document historical use and a limited review of the New York State databases to identify sites that are known to be contaminated.

The Phase I CAR identified one (1) site that had a potential "High" risk and six (6) sites that had a potential "Moderate" risk and to impact the subsurface (soil and/or groundwater) of the Corridor and recommended the performance of a Phase II SCI. The "High" and "Moderate" risk sites are listed below:

HIGH RISK SITES

- 1) Jose Drycleaners/St. Nicholas Manhattan Realty, 1645-1649 St. Nicholas

MODERATE RISK SITES

- 1) Apartment Building, 96 Wadsworth Terrace
- 2) Inwood Gardens, Inc., 45 Fairview Avenue
- 3) Inwood Tower Inc., 11 Fort George Hill
- 4) Fort George 617, LLC, 341 Wadsworth Avenue
- 5) Apartment Complex, 651 West 190th Street

6) New Heights Apartments, LLC, 604 West 191st Street

The Phase I CAR recommended a Phase II Subsurface Corridor Investigation (SCI) consisting of eight (8) soil borings to determine whether the one (1) "High" risk sites and six (6) "Moderate" risk sites impacted the Corridor.

Based on the review of the Weston CAR, site reconnaissance and discussion with the NYCDDC Project Manager, a total of five (5) soil borings were proposed by Weston to determine if the one (1) "High" risk site and six (6) "Moderate" risk sites and historic fill impacted the Corridor.

1.2 Scope of Work

The Phase II SCI consisted of a field investigation, laboratory analyses, and the preparation of this report, which includes tables summarizing the laboratory analytical results and figures depicting boring locations, significant site features and, if applicable, contamination occurrence and distribution. Drilling activities for the field investigation were performed by Aquifer Drilling and Testing (ADT) of Mineola, New York. Oversight of drilling activities was performed by Weston. Laboratory analyses were provided by Hampton-Clarke Veritech (HCV) of Fairfield, New Jersey, a New York State Department of Health (NYSDOH) ELAP approved laboratory (No. 11408). Field derived Quality Assurance/Quality Control samples (i.e., field blanks, trip blanks, and duplicates) were not collected for this project. The field investigation was conducted on June 5, 2013 and consisted of the following components:

- Clearance of subsurface utilities at each boring location using a combination of Vactron and air knife to at a terminal depth ranging from 2.5 to 4.5 feet below ground surface (ftbgs). The boring locations were terminated prior to achieving the required 6 ft bgs due to the shallow depth of bedrock.
- No drilling activities were conducted at the five (5) proposed soil boring locations due to shallow bedrock. Samples were collected at the 6 inches above terminal depth at each boring location based on the field screening of soil samples, including Photoionization Detector (PID) readings and visual and olfactory indicators of contamination (staining, odors);
- The collection and analysis of five (5) soil samples collected from soil borings SB-01 through SB-05 which were analyzed for the following parameters: (1) United States Environmental Protection Agency (EPA) Target Compound List (TCL) Volatile Organic Compounds (VOCs); (2) TCL Semi-volatile Organic Compounds (SVOCs); (3) Target Analyte List (TAL) metals; (4) pesticides; and (5) polychlorinated biphenyls (PCBs);
- The collection of two (2) waste characterization soil sample (WC-01 and WC-02), which were analyzed for: (1) the EPA Toxicity Characteristic leaching Procedure (TCLP) parameters; (2) the Resource Conservation and Recovery Act (RCRA) Characteristics (ignitability, reactivity and corrosivity); and (3) Total Petroleum Hydrocarbons Diesel Range Organics/Gasoline Range Organics (TPHC DRO/GRO); and

- The preparation of this report, which includes tables summarizing the laboratory analytical results and figures depicting boring locations, significant site features and, if applicable, contamination occurrence and distribution.

2.0 CORRIDOR INFORMATION

2.1 Corridor Location, Description and Use

The project Corridor is located in the Inwood section of Manhattan, New York. The Corridor is approximately 800 feet along Wadsworth Terrace between Fairview Avenue and West 190th Street and 450 feet along Fairview Avenue at the intersection of Wadsworth Terrace.

Property usage in the Corridor consists primarily of multi-family residential apartments. Residential properties include an apartment building located at 96 Wadsworth Terrace, Inwood Gardens Apartment located at 45 Fairview Avenue, and Fort George 617 LLC apartment building and connected dental office located at 341 Wadsworth Avenue, which are considered "Moderate" risk sites. No sites were located directly on the Corridor that were considered "High" risk sites.

Although natural gas is present along the project Corridor segment listed above, there is potential for the presence of petroleum storage tanks. A review of the New York State Department of Environmental Conservation (NYSDEC) database for petroleum storage tanks did not identify any registered storage tanks.

2.2 Description of Surrounding Properties

The Corridor is primarily surrounded by mixed residential and commercial properties. Heavy concentrations of commercial properties are located along Broadway and St. Nicholas Avenue. Commercial properties include Jose Drycleaners/St. Nicholas Manhattan Realty located at 1645-1649 St. Nicholas Avenue, which is considered a "High" risk site. Residential properties include Inwood Tower, Inc located at 11 Fort George Hill, New Heights Apartments located at 604 West 191st Street, and an apartment complex located at 651 West 190th Street, which are considered "Moderate" risk sites. The area is bound to the north and northwest by Fairview Avenue, to the West by Broadway, to the southwest by Gorman Park, to the south by West 190th Street, and to the east by Wadsworth Avenue and St. Nicholas Avenue beyond.

2.3 Corridor and Regional Topographic Setting

Weston reviewed the *United States Geologic Survey (USGS) 7.5-minute Topographic Quadrangle Maps* for Central Park (1995) to determine topography throughout the Corridor. The Corridor is located at an approximate elevation of 180 feet above mean sea level [msl] at the intersection of Wadsworth Terrace and West 190th Street and exhibits a downward slope, decreasing to 170 feet above mean sea level [msl] at the intersection of Wadsworth Terrace and Fairview Avenue. The Corridor then exhibits a steep vertical change of approximately 50 feet traveling west on Fairview Avenue from Wadsworth Terrace towards the Corridor limit located approximately 120 feet above msl. Surface runoff is expected to follow a north slope flowing to Fairview Avenue and then a west slope flowing to Broadway. This surface runoff is expected to flow regionally to the Hudson River. A topographic map is provided as Figure 1.

2.4 Corridor and Regional Geology

Based on the New York State Department of Environmental Conservation, Water Power and Control Commission report titled *Ground Water in Bronx, New York, and Richmond Counties, with Summary Data on Kings and Queens Counties, New York City, New York*, the Corridor's geology consists of Pleistocene to recent age glacial and glacialfluvial sediments (outwash) and fluvial deposits that are composed of sands and gravels, derived from melt-water of the retreating glaciers. The upper portions of the glacial and fluvial deposits have been disturbed by a long history of development activities that resulted in a layer of fill material, which is classified as Urban Land. Urban Land refers to soils that have been altered by urban development such as buildings and streets, where at least 85 percent of the surface is covered with asphalt, concrete, or other impervious building material. Typically, these soils have been mixed with other materials, such as brick fragments and concrete debris. Urban Fill is expected to be present throughout the subsurface of the Corridor. Bedrock is located approximately five (5) feet below the soil cover and consists of a crystalline metamorphic rock unit composed of schist and gneiss. Outcropping of bedrock is also present in the area and was confirmed during site reconnaissance to the west of the Corridor in Gorman Park. This bedrock is mapped as the Hartland Formation.

2.5 Corridor and Regional Hydrogeology

The surface water bodies are the Hudson River and Harlem River (East River) located approximately 0.4 miles to the West and 0.4 miles to the East of the Corridor, respectively. Based on review of topographic mapping and visible bedrock, groundwater may not be present in the overburden soils. Groundwater may be present in the bedrock at undetermined depths depending on the fractures. Groundwater flow direction in bedrock cannot be determined without appropriate bedrock monitoring wells or fracture data; however, it is anticipated that groundwater flow through bedrock would be west towards The Hudson River. Groundwater flow direction may also vary due to seasonal fluctuations in precipitation, local usage demands, geology, underground structures, or dewatering operations. According to the Federal Emergency Management Agency (FEMA) flood hazard map, the Corridor is not located within the 100 or 500 year flood zone and no wetlands exist within the Corridor boundaries.

During the Phase II SCI activities groundwater was not encountered along the Corridor. Groundwater flow direction is anticipated to be west towards the Hudson River. Groundwater flow direction may also vary due to seasonal fluctuations in precipitation, local usage demands, geology, underground structures, or dewatering operations.

3.0 CORRIDOR EVALUATION

Proposed construction activities at this site include soil excavation, which in turn requires that soils at the site be characterized to identify material handling requirements (i.e., use of protective equipment) and for material reuse, handling and/or waste disposal requirements. Weston provided oversight for the advancement of five (5) soil borings (SB-01 through SB-05) during the field investigation. A summary of the field observations, including the location of the sites and the details of the soil borings, is provided in Table 1.

3.1 Soil Quality Investigation

Four soil borings (SB-01 through SB-05) were advanced to a terminal depth of up to 4.5 fbg due to shallow bedrock and soil samples were collected from the hand cleared soils. Soil boring locations are shown on Figure 2. The designations and sampling intervals for the samples that were submitted to the laboratory are included in Table 1. A map depicting each boring location is included in Appendix A. Boring logs are provided in Appendix B. The locations of each boring are described below:

- **SB-01** – Advanced in the vicinity of “High” risk site No. 1, two hundred and fifty five (255) feet east of the intersection of Fairview Avenue and Wadsworth Terrace and two (2) feet north of the curb line on the north side of Fairview Avenue in the sidewalk.
- **SB-02** – Advanced along the corridor to assess “Moderate” risk sites and historic fill, one hundred and six (106) feet west of the intersection of Fairview Avenue and Wadsworth Terrace and one (1) foot south of the curb line on the south side of Fairview Avenue in the sidewalk.
- **SB-03** – Advanced along the corridor to assess “Moderate” risk sites and historic fill, one hundred and fifty five (155) feet south of the intersection of Fairview Avenue and Wadsworth Terrace and one (1) foot west of the curb line on the west side of Wadsworth Terrace in the sidewalk.
- **SB-04** – Advanced along the corridor to assess “Moderate” risk sites and historic fill, two hundred and sixty two (262) feet south of the intersection of Fairview Avenue and Wadsworth Terrace and two (2) feet west of the curb line on the west side of Wadsworth Terrace in the sidewalk.
- **SB-05** – Advanced along the corridor to assess “Moderate” risk sites and historic fill, thirty two (32) feet north of the intersection of Wadsworth Terrace and West 190th Street and two (2) feet west of the curb line on the west side of Wadsworth Terrace in the sidewalk.

Soil from each boring was classified according to the USCS and examined for visual evidence (i.e., staining, discoloration) and any olfactory indications (i.e., odors) of contamination. Soil samples were collected from each of the borings ranging from a 2 to 4.5 foot interval. Upon sample retrieval, the soils were examined for visual evidence (i.e., staining, discoloration) and any olfactory indications (i.e., odors) of contamination. In addition, a PID was used to screen the soil for VOC vapors.

In order to identify representative conditions relative to the presence of SVOCs, metals, pesticides and PCBs over the entire soil column in each boring, composite soil samples were collected by mixing the soil from 0 to 4.5 ftbgs, dependent upon the depth of bedrock. Boring composite samples were collected from the five (5) soil borings (SB-01 through SB-05).

In order to identify representative conditions relative to the presence of VOCs, grab soil samples were proposed to be collected from the 6-inch interval where the highest PID reading was recorded, the 0-6 inches above the water table (if encountered), or the bottom 6-inch interval of the boring. Grab samples for VOCs were collected from 1.5-2 ftbgs in soil borings SB-01 and SB-05, at 4-4.5 ftbgs in soils boring SB-02, at 2.5-3 ftbgs in soil boring SB-03, and at 2-2.5 ftbgs in soil boring SB-04.

In order to identify representative conditions for disposal purposes, two (2) waste classification samples (WC-01 and WC-02) were composited of soil aliquots from borings SB-01 and SB-02 for WC-01 and SB-03, SB-04, and SB-05 for WC-02.

Soil classification information, including stratigraphy, is documented on the boring logs included in Appendix B. All boring equipment was cleaned by being rinsed with tap water, scrubbed with Alconox, then rinsed with deionized water again between each sample interval. Following the completion of each boring, the boreholes were back-filled with drill cuttings, and then sealed with cement grout.

3.2 Groundwater Quality Investigation

In the Phase II SCI Work Plan dated May 10, 2013, Weston proposed the installation of one (1) temporary well point (TWP) and the collection of one (1) groundwater sample to be analyzed for New York City Department of Environmental Protection (NYCDEP) Sanitary and Combined Sewer Discharge Parameters. Groundwater was not encountered in the Corridor to the terminal depths of the borings up to 4.5 ftbgs; therefore, a groundwater sample was not collected during this investigation.

3.3 Laboratory Analyses

The soil samples were submitted to Hampton-Clarke Veritech, of Fairfield, New Jersey, a NYSDOH approved laboratory (No. 11408). Field derived Quality Assurance/Quality Control samples (i.e., field blank, trip blank, duplicate) were not collected for this project. Laboratory analytical reports are included in Appendix C.

The grab soil samples were analyzed for EPA TCL VOCs by Method 8260. The boring composite soil samples were analyzed for: (1) TCL BN/A extractable SVOCs by EPA Method 8270; (2) TAL metals by EPA Method 6010B; (3) TCL pesticides by EPA Method 8081A; and (4) TCL PCBs by EPA Method 8082.

The waste characterization soil samples were analyzed for: (1) the EPA TCLP parameters; (2) the RCRA Characteristics (ignitability, reactivity and corrosivity); and (3) TPHC DRO/GRO.

3.4 Data Evaluation

In order to evaluate the subsurface soil quality, the laboratory analytical results of the grab and composite soil samples were compared with the regulatory standards identified in: (1) NYSDEC Subpart 375-6: Remedial Program Unrestricted (Track 1 and Track 2) and Restricted Use Soil Cleanup Objectives (SCOs); (2) NYSDEC Commissioner Policy 51 (CP-51)/Soil Cleanup Guidance Supplemental Soil Cleanup Objectives (SSCOs); and (3) the Toxicity Characteristic Regulatory Levels for Hazardous Waste published in RCRA and NYSDEC Part 371.

4.0 FINDINGS

This section discusses the analytical data and findings for the activities discussed in Section 3.0. Boring logs can be found in Appendix B. Complete analytical data reports are included in Appendix C.

4.1 Field Screening

No PID readings were detected above background concentrations of 1.0 parts per million (ppm) and no evidence of visual, olfactory, or petroleum contamination was observed in soil borings SB-01 through SB-05. Refer to Table 1 for a summary of environmental boring data.

4.2 Soil Laboratory Analytical Results

4.2.1 Volatile Organic Compounds (VOCs) in Soil

No VOCs were detected in the soil samples collected from soil borings SB-01 through SB-05 at concentrations exceeding Unrestricted Use (Track 1) SCOs, Restricted Use (Track 2) SCOs, or SSCOs.

Refer to Table 2 for a summary of TCL VOC detections.

4.2.2 Semi-Volatile Organic Compounds (SVOCs) in Soil

No SVOCs were detected in the soil samples collected from soil borings SB-01 through SB-05 at concentrations exceeding Unrestricted Use (Track 1) SCOs, Restricted Use (Track 2) SCOs, or SSCOs.

Refer to Table 3 for a summary of TCL SVOC detections.

4.2.3 Target Analyte List Metals (TAL Metals) in Soil

Iron ranging from 13,000 to 24,000 mg/kg was detected in the five (5) soil samples (SB-01 through SB-05) at a concentration exceeding the SSCO. Calcium ranging from 11,000 to 18,000 mg/kg was detected in three (3) soil samples (SB-01, SB-03, and SB-05) at a concentration exceeding the SSCO. Aluminum ranging from 12,000 to 16,000 mg/kg was also detected in two (2) soil samples (SB-02 and SB-04) at a concentration exceeding the SSCO. Lead ranging from 68 to 260 mg/kg was detected in four (4) soil samples (SB-01, SB-03, SB-04, and SB-05) at a concentration exceeding the Track 1 SCOs. Mercury ranging from 0.2 to 0.58 mg/kg, copper ranging from 63 to 81 mg/kg and zinc ranging from 110 to 430 mg/kg were detected in two (2) soil samples (SB-03 and SB-04) at a concentration exceeding the Unrestricted Use (Track 1) SCOs. Arsenic at 17 mg/kg and Barium at 650 mg/kg were detected in one (1) soil sample (SB-04) at a concentration exceeding the Track 1 and Track 2 SCOs. The presence of iron, calcium, aluminum, copper, and zinc is likely from naturally-occurring, non-anthropogenic sources. The

presence of mercury, lead, arsenic, and barium is likely from the presence of historic fill.

Refer to Table 4 for a summary of TAL Metals detections.

4.2.4 Pesticides in Soil

DDE ranging from 0.012 to 0.07 mg/kg and DDT ranging from 0.023 to 0.07 mg/kg were detected in four (4) soil samples (SB-01, SB-03, SB-04, and SB-05) at concentrations exceeding the Unrestricted Use (Track 1) SCOs. Chlordane ranging from 0.098 to 0.14 mg/kg was detected in two (2) soil samples (SB-04 and SB-05) at concentrations exceeding the Unrestricted Use (Track 1) SCOs. Additionally, dieldrin at 0.11 mg/kg and DDD at 0.0093 mg/kg were detected in one (1) soil sample (SB-04) at a concentration exceeding Unrestricted Use (Track 1) SCOs. The presence of this pesticide is likely related to the presence of historic fill or historic use of pesticides.

Refer to Table 5 for a summary of pesticide detections.

4.2.5 Polychlorinated Biphenyls (PCBs) in Soil

The PCB Aroclor 1254 was detected in one (1) soil sample (SB-01) at 0.1 mg/kg. The total concentration of PCBs detected at SB-01 exceeded Unrestricted Use (Track 1) SCOs. The presence of this PCB is likely related to the historical auto station and spill related to the "High" Risk site at 1645-1649 St. Nicholas Avenue up gradient from the Corridor.

Refer to Table 6 for a summary of PCB detections.

4.2.6 Waste Classification of Soil

Ignitability (flash point), reactivity (cyanide and sulfide), and corrosivity (pH) were within the acceptable RCRA ranges. Barium was detected but at concentrations below acceptable RCRA hazardous waste levels. TPH was also detected in WC-2 but there are no regulatory standards for TPHC DRO/GRO. Analytical results will need to be compared to levels acceptable by the chosen receiving facility to determine appropriate waste classification prior to off-site disposal.

Refer to Table 7 for a summary of TCLP parameters, RCRA characteristics and TPHC DRO/GRO results.

5.0 CONCLUSIONS AND RECOMMENDATIONS

- Field screening did not identify evidence of petroleum-impacted soils and PID readings at any of the soil borings;
- Subsurface soils contain elevated concentrations of metals and pesticides which are attributed to laboratory contaminants, fill material, historic operations/pesticide application, or naturally-occurring/non-anthropogenic sources;
- The subsurface soils did not exhibit hazardous waste characteristics; and
- Groundwater was not encountered at any of the soil boring locations on the Corridor, which were advanced to a terminal depth of up to 4.5 ftbgs.

Based on the results of the field investigation and laboratory analytical results, Weston recommends the following:

- The Contract documents should identify provisions for managing, handling, transporting and disposing of non-hazardous contaminated (pesticides, PCBs and metals) soil. The Contractor should be required to submit a Material Handling Plan to identify the specific protocol and procedures that will be employed to manage the waste in accordance with applicable regulations.
- Due to the presence of pesticides, PCBs and metals at concentrations exceeding NYSDEC SCOs and/or SSCOs at the investigated sites, dust control procedures are recommended during excavation activities to minimize the creation and dispersion of fugitive airborne dust. The Contractor may implement dust control measures to minimize potential airborne contaminants released as a direct result of construction activities. A Community Air Monitoring Plan (CAMP) shall be developed in accordance with NYSDEC Division of Environmental Regulations (DER)-10 Regulations. The CAMP requires real-time monitoring for VOCs and particulates (i.e., dust) at the downwind perimeter of each designated work area when certain activities are in progress at contaminated sites. The CAMP is intended to provide a measure of protection for the downwind community from potential airborne contaminant releases as a direct result of investigative and remedial work activities. Specific requirements shall be reviewed for each situation in consultation with New York State Department of Health (NYSDOH) to ensure proper applicability.
- Groundwater was not encountered during this Phase II SCI; however, dewatering may be necessary during construction activities in the Corridor. If groundwater is encountered during construction activities and dewatering is determined to be necessary within the Corridor, a groundwater sample should be collected and analyzed for NYCDEP Sanitary and Combined Sewer Discharge Parameters. Based on the results of laboratory analyses for NYCDEP Sewer Discharge Criteria, groundwater may require pretreatment prior to discharge to sanitary or combined sewers. The contractor will be required to obtain a NYCDEP sewer

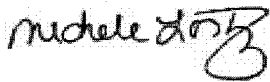
discharge permit and perform sampling and laboratory analysis prior to discharge into sanitary and combined sewers;

- In addition, if discharge into storm sewers is required during dewatering, it may be done under the appropriate NYSDEC State Pollutant Discharge Elimination System (SPDES) permit. Additional sampling and laboratory analysis may be required to satisfy NYSDEC requirements prior to discharge into storm sewers; and
- Before beginning any excavation activity, the contractor shall submit a site-specific health and safety plan (HASP) that will meet the requirements set forth by the Occupational, Safety and Health Administration (OSHA), the New York State Department of Health (NYSDOH) and any other applicable regulations. The HASP should identify the possible locations and risks associated with the potential contaminants that may be encountered, and the administrative and engineering controls that will be utilized to mitigate concerns (i.e., dust control procedures).

6.0 STATEMENT OF LIMITATIONS

The data presented and the opinions expressed in this report are qualified as stated in the attachment to this section of the report.

Report Prepared By:



Michele Lortz
Project Geoscientist

Report Reviewed By:



Michelle Afflitto
Project Manager

STATEMENT OF LIMITATIONS

The data presented and the opinions expressed in this report are qualified as follows:

The sole purpose of the investigation and of this report is to assess the physical characteristics of the Site with respect to the presence or absence in the environment of oil or hazardous materials and substances as defined in the applicable state and federal environmental laws and regulations and to gather information regarding current and past environmental conditions at the Site.

Weston Solutions of New York, Inc. (Weston) derived the data in this report primarily from visual inspections, examination of records in the public domain, interviews with individuals with information about the Site, and a limited number of subsurface explorations made on the dates indicated. The passage of time, manifestation of latent conditions or occurrence of future events may require further exploration at the Site, analysis of the data, and reevaluation of the findings, observations, and conclusions expressed in the report.

In preparing this report, Weston has relied upon and presumed accurate certain information (or the absence thereof) about the Site and adjacent properties provided by governmental officials and agencies, the Client, and others identified herein. Except as otherwise stated in the report, Weston has not attempted to verify the accuracy or completeness of any such information.

The data reported and the findings, observations, and conclusions expressed in the report are limited by the Scope of Services, including the extent of subsurface exploration and other tests. The Scope of Services was defined by the requests of the Client, the time and budgetary constraints imposed by the Client, and the availability of access to the Site.

Because of the limitations stated above, the findings, observations, and conclusions expressed by Weston in this report are not, and should not be considered, an opinion concerning the compliance of any past or present owner or operator of the site with any federal, state or local law or regulation. No warranty or guarantee, whether express or implied, is made with respect to the data reported or findings, observations, and conclusions expressed in this report. Further, such data, findings, observations, and conclusions are based solely upon site conditions in existence at the time of investigation.

This report has been prepared on behalf of and for the exclusive use of the Client, and is subject to and issued in connection with the Agreement and the provisions thereof.

TABLES

TABLE 1 – SUMMARY OF ENVIRONMENTAL BORING DATA

TABLE 2 – SUMMARY OF TCL VOCs DETECTED IN SOIL

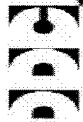
TABLE 3 – SUMMARY OF TCL SVOCs DETECTED IN SOIL

TABLE 4 – SUMMARY OF TAL METALS DETECTED IN SOIL

TABLE 5 – SUMMARY OF PESTICIDES DETECTED IN SOIL

TABLE 6 – SUMMARY OF PCBs DETECTED IN SOIL

TABLE 7 – SUMMARY OF WASTE CLASSIFICATION PARAMETERS IN SOIL



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Table 1. Summary of Environmental Boring Data
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Boring No.	PID (ppm)	Sample Interval (ftbg)	Total VOCs (mg/kg)	Total SVOCs (mg/kg)	Metals Exceed (Yes/No) ¹	Depth to Water (ftbgs)	Total Depth (ftbgs)	Other Comments
SB-01	0	1.5-2	ND	3.766	Yes	NE	2.0	Fill encountered from 0 to 2 ftbgs. Bedrock at 2 ftbgs.
		0-2						
SB-02	0	4-4.5	ND	ND	Yes	NE	4.5	Fill encountered from 0 to 4.5 ftbgs. Bedrock at 4.5 ftbgs.
		0-4.5						
SB-03	0	2.5-3	ND	2.099	Yes	NE	3.0	Fill encountered from 0 to 3 ftbgs. Bedrock at 3 ftbgs.
		0-3						
SB-04	0	2-2.5	ND	2.408	Yes	NE	2.5	Fill encountered from 0 to 2.5 ftbgs. Bedrock at 2.5 ftbgs.
		0-2.5						
SB-05	0	1.5-2	ND	1.450	Yes	NE	2.0	Fill encountered from 0 to 2 ftbgs. Bedrock at 2 ftbgs.
		0-2						

Notes:

1. Metal(s) exceeds Unrestricted Use (Track 1) SCO, Restricted Use (Track 2) SCO, and/or Supplemental Soil Cleanup Objectives (SSCOs)

All soil samples were analyzed for Target Compound List (TCL) Volatile Organic Compounds, Semi-Volatile Organic Compounds (SVOCs)

Pesticides, PCBs and Target Analyte List (TAL) Metals.

ND = Compound not detected above method detection limit (see attached lab report for mdl's)

ftbgs = feet below ground surface

ppm = parts per Million or mg/kg

NA = not applicable

NE = not encountered

Weston Solutions of New York, Inc.
DDC Project Number: HWMP2017

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NEW YORK CITY DEPARTMENT OF
DESIGN + CONSTRUCTION

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Table 2. Summary of Target Compound List Volatile Organic Compounds Detected in Soil
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TCL VOC	Unrestricted Use (Track 1) Soil Cleanup Objectives (SCOs)	Restricted Use (Track 2) Soil Cleanup Objectives (SCOs)	Supplemental Soil Cleanup Objectives (SSCOs)	Sample ID, Date Collected and Depth		Sample ID, Date Collected and Depth		Sample ID, Date Collected and Depth	
				8961-SB-01-2	8961-SB-02-4.5	8961-SB-03-3	8961-SB-04-2.5	8961-SB-05-2	
				6/3/2013	6/3/2013	6/3/2013	6/3/2013	6/3/2013	
				1.5-2	4-4.5	2.5-3	2-2.5	1.5-2	
VOCs	NS	NS	NS	ND	ND	ND	ND	ND	ND

Notes:

All concentrations are reported in parts per million (ppm or mg/kg)

ND = Compound not detected above method detection limit (see attached lab report for md's)

NS = No Standard

NA = Not Applicable

J = Compound detected below the quantitation limit

SCOs = Soil Cleanup Objectives as per the NYSDC Regulations 6 NYCRR Subpart 375-6 Remedial Program Soil Cleanup Objectives (December 14, 2006)

SSCOs = Supplemental Soil Cleanup Objectives as per NYSDC Commissioner Policy, CP-5/1/Soil Cleanup Guidance (October 21, 2010)

Shading = Concentration exceeds Unrestricted Use (Track 1) Soil Cleanup Objectives

BOLD = Concentration exceeds Restricted Use (Track 2) Soil Cleanup Objectives

Underline = Concentration exceeds Supplemental Soil Cleanup Objectives

Weston Solutions of New York, Inc.
DDC Project Number: HWMP2017

Work Order Letter No. 8961-WESTON-R-9462
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Table 3. Summary of Target Compound List Semi-Volatile Organic Compounds Detected in Soil
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New York, New York

TCL SVOC	Unrestricted Use (Track 1) Soil Cleanup Objectives (SCOs)	Restricted Use (Track 2) Soil Cleanup Objectives (SCOs)	Supplemental Soil Cleanup Objectives (SSCOs)	Sample ID, Date Collected and Depth	Sample ID, Date Collected and Depth	Sample ID, Date Collected and Depth	Sample ID, Date Collected and Depth
Anthracene	100	500	NS	8961-SB-01 6/3/2013 0-2	8961-SB-02 6/3/2013 0-4.5	8961-SB-03 6/3/2013 0-3	8961-SB-04 6/3/2013 0-2.5
Benzo(a)anthracene	1	5.6	NS	0.07	ND	ND	ND
Benzo(a)pyrene	1	1	NS	0.35	ND	0.21	0.19
Benzo(b)fluoranthene	1	5.6	NS	0.31	ND	0.17	0.17
Benzo(g,h,i)perylene	100	500	NS	0.42	ND	0.25	0.24
Benzo(k)fluoranthene	0.8	56	NS	0.26	ND	0.15	0.16
bis (2-Ethylhexyl) phthalate	NS	NS	50	0.13	ND	0.079	0.076
Butylbenzylphthalate	NS	NS	100	0.27	ND	0.24	0.66
Chrysene	1	56	NS	0.044	ND	ND	ND
Dibenz(a,h)anthracene	0.33	0.56	NS	0.33	ND	0.18	0.18
di-n-butylphthalate	NS	NS	100	0.072	ND	ND	ND
Fluoranthene	100	500	NS	ND	ND	ND	0.05
Indeno(1,2,3-cd)pyrene	0.5	5.6	NS	0.48	ND	0.27	0.22
Phenanthrene	100	500	NS	0.23	ND	0.12	0.13
Pyrene	100	500	NS	0.24	ND	0.14	0.082
				0.56	ND	0.29	0.25
							0.21

Notes:

All concentrations are reported in parts per million (ppm or mg/kg)

ND = Compound not detected above method detection limit (see attached lab report for mdl's)

NS = No Standard

NA = Not Applicable

J = Compound detected below the quantitation limit

SCOs = Soil Cleanup Objectives as per the NYSDC Regulations 6 NYCRR Subpart 375-6 Remedial Program Soil Cleanup Objectives (December 14, 2006)

SSCOs = Supplemental Soil Cleanup Objectives as per NYSDC Commissioner Policy, CP-51/Soil Cleanup Guidance (October 21, 2010)

Shading = Concentration exceeds Unrestricted Use (Track 1) Soil Cleanup Objectives

BOLD = Concentration exceeds Restricted Use (Track 2) Soil Cleanup Objectives

Underline = Concentration exceeds Supplemental Soil Cleanup Objectives



Table 4. Summary of Target Analyte List Metals Detected in Soil
Phase II Subsurface Corridor Investigation Report
Reconstruction of Wadsworth Terrace
New York, New York

Target Analyte List Metal	Unrestricted Use (Track 1) Soil Cleanup Objectives (SCOs)	Restricted Use (Track 2) Soil Cleanup Objectives (SCOs)	Supplemental Soil Cleanup Objectives (SSCOs)	Sample ID, Date Collected and Depth	Sample ID, Date Collected and Depth	Sample ID, Date Collected and Depth	Sample ID, Date Collected and Depth	Sample ID, Date Collected and Depth
Mercury	0.18	2.8	NS	8961-SB-01 6/3/2013 0-2	8961-SB-02 6/3/2013 0-4.5	8961-SB-03 6/3/2013 0-3	8961-SB-04 6/3/2013 0-2.5	8961-SB-05 6/3/2013 0-2
Aluminum	NS	NS	10,000	8,000	16,000	10,000	12,000	6,900
Antimony	NS	NS	12	ND	ND	ND	3.3	ND
Arsenic	13	16	NS	6.1	4.5	5.9	17	4.1
Barium	350	400	NS	69	80	120	650	73
Cadmium	2.5	9.3	NS	ND	ND	ND	0.73	ND
Calcium	NS	NS	10,000	12,000	1,700	18,000	5,800	11,000
Chromium	30	1500	NS	16	21	19	23	13
Cobalt	NS	NS	30	6.4	10	7.9	6.9	6.4
Copper	50	270	NS	30	35	63	81	29
Iron	NS	NS	2,000	14,000	24,000	17,000	18,000	13,000
Lead	63	1000	NS	73	26	68	260	110
Magnesium	NS	NS	10,000	5,300	4,900	3,900	2,200	2,800
Manganese	1600	10000	NS	250	380	250	270	200
Nickel	30	310	NS	19	20	21	16	15
Potassium	NS	NS	NS	1,600	2,400	2,200	1,000	1,700
Sodium	NS	NS	NS	ND	ND	ND	380	ND
Vanadium	NS	NS	100	22	32	27	28	21
Zinc	109	10000	NS	91	63	110	430	100

Notes:

All concentrations are reported in parts per million (ppm or mg/kg)

ND = Compound not detected above method detection limit (see attached lab report for mdl's)

NS = No Standard

NA = Not Applicable

J = Compound detected below the quantitation limit

SCOs = Soil Cleanup Objectives as per the NYSDEC Regulations 6 NYCRR Subpart 375-6 Remedial Program Soil Cleanup Objectives (December 14, 2006)

SSCOs = Supplemental Soil Cleanup Objectives as per NYSDEC Commissioner Policy, CP-51/Soil Cleanup Guidance (October 21, 2010)

Shading = Concentration exceeds Unrestricted Use (Track 1) Soil Cleanup Objectives

BOLD = Concentration exceeds Restricted Use (Track 2) Soil Cleanup Objectives

Underline = Concentration exceeds Supplemental Soil Cleanup Objectives



Table 5. Summary of Pesticides Detected in Soil
Phase II Subsurface Corridor Investigation Report
Reconstruction of Wadsworth Terrace
New York, New York

Pesticides	Unrestricted Use (Track 1) Soil Cleanup Objectives (SCOs)	Restricted Use (Track 2) Soil Cleanup Objectives (SCOs)	Supplemental Soil Cleanup Objectives (SSCOs)	Sample ID, Date Collected and Depth	Sample ID, Date Collected and Depth	Sample ID, Date Collected and Depth	Sample ID, Date Collected and Depth
Chlordane	0.094	24	NS	8961-SB-01 6/3/2013 0-2	8961-SB-02 6/3/2013 0-4.5	8961-SB-03 6/3/2013 0-3	8961-SB-04 6/3/2013 0-2.5
Dieldrin	0.005	1.4	NS	0.078	ND	ND	0.14
4,4'-DDD	0.0033	92	NS	ND	ND	ND	0.11
4,4'-DDE	0.0033	62	NS	0.012	ND	0.013	0.0093
4,4'-DDT	0.0033	47	NS	0.026	ND	0.037	0.07
							0.019
							0.023

Notes:

All concentrations are reported in parts per million (ppm or mg/kg)

ND = Compound not detected above method detection limit (see attached lab report for mdl's)

NS = No Standard

NA = Not Applicable

J = Compound detected below the quantitation limit

SCOs = Soil Cleanup Objectives as per the NYSDEC Regulations 6 NYCRR Subpart 375-6 Remedial Program Soil Cleanup Objectives (December 14, 2006)

SSCOs = Supplemental Soil Cleanup Objectives as per NYSDEC Commissioner Policy, CP-51/Soil Cleanup Guidance (October 21, 2010)

Shading = Concentration exceeds Unrestricted Use (Track 1) Soil Cleanup Objectives

BOLD = Concentration exceeds Restricted Use (Track 2) Soil Cleanup Objectives

Underline = Concentration exceeds Supplemental Soil Cleanup Objectives

Weston Solutions of New York, Inc.

DDC Project Number: HWMP2017

June 27, 2013

Work Order Letter No. 8961-WESTON-R-8462



NEW YORK CITY DEPARTMENT OF
DESIGN + CONSTRUCTION

New York City Department of Design and Construction
Phase II Subsurface Corridor Investigation Report
Reconstruction of Wadsworth Terrace
New York, NY

Table 6. Summary of Polychlorinated Biphenyls Detected in Soil
Phase II Subsurface Corridor Investigation Report
Reconstruction of Wadsworth Terrace
New York, New York

PCBs	Unrestricted Use (Track 1) Soil Cleanup Objectives (SCOs)	Restricted Use (Track 2) Soil Cleanup Objectives (SCOs)	Supplemental Soil Cleanup Objectives (SSCOs)	Sample ID, Date Collected and Depth		Sample ID, Date Collected and Depth		Sample ID, Date Collected and Depth		Sample ID, Date Collected and Depth	
				8961-SB-01 6/3/2013	0-2	8961-SB-02 6/3/2013	0-4.5	8961-SB-03 6/3/2013	0-3	8961-SB-04 6/3/2013	0-2.5
Total PCBs	0.1	1	1.0 (Surface) 10 (Subsurface)	0.11		ND	ND	ND	ND	ND	ND

Notes:

All concentrations are reported in parts per million (ppm or mg/kg)

ND = Compound not detected above method detection limit (see attached lab report for mdl's)

NS = No Standard

NA = Not Applicable

J = Compound detected below the quantitation limit

SCOs = Soil Cleanup Objectives as per the NYSDEC Regulations 6 NYCRR Subpart 375-6 Remedial Program Soil Cleanup Objectives (December 14, 2006)

SSCOs = Supplemental Soil Cleanup Objectives as per NYSDEC Commissioner Policy, CP-57/Soil Cleanup Guidance (October 21, 2010)

Shading = Concentration exceeds Unrestricted Use (Track 1) Soil Cleanup Objectives

BOLD = Concentration exceeds Restricted Use (Track 2) Soil Cleanup Objectives

Underline = Concentration exceeds Supplemental Soil Cleanup Objectives

Weston Solutions of New York, Inc.
DDC Project Number: HWMP2017

Work Order Letter No. 8961-WESTON-R-8462
June 27, 2013

Table 7. Summary of Waste Classification Parameters in Soil
Phase II Subsurface Corridor Investigation Report
Reconstruction of Wadsworth Terrace
New York, New York

Parameter	TCLP RCRA Hazardous Waste Levels		Sample ID, Date Collected and Depth		Sample ID, Date Collected and Depth	
			8961-WC-01		8961-WC-02	
			6/3/2013		6/3/2013	
Total Petroleum Hydrocarbons	NS	mg/L	ND	mg/Kg	71	mg/Kg
Cyanide (Reactive)	250	mg/K	ND	mg/Kg	ND	mg/Kg
Ignitability	140	°F	NEG	N/A	NEG	N/A
pH	>2.5 and <12.5	Units	8.1	N/A	7.9	N/A
Sulfide (Reactive)	500	mg/Kg	ND	mg/Kg	ND	mg/Kg
1,1-Dichloroethene	0.7	mg/L	ND	mg/L	ND	mg/L
1,2-Dichloroethane	0.5	mg/L	ND	mg/L	ND	mg/L
1,4-Dichlorobenzene	7.5	mg/L	ND	mg/L	ND	mg/L
2-Butanone	200	mg/L	ND	mg/L	ND	mg/L
Benzene	0.5	mg/L	ND	mg/L	ND	mg/L
Carbon tetrachloride	0.5	mg/L	ND	mg/L	ND	mg/L
Chlorobenzene	100	mg/L	ND	mg/L	ND	mg/L
Chloroform	6	mg/L	ND	mg/L	ND	mg/L
Tetrachloroethene	0.7	mg/L	ND	mg/L	ND	mg/L
Trichloroethene	0.5	mg/L	ND	mg/L	ND	mg/L
Vinyl chloride	0.2	mg/L	ND	mg/L	ND	mg/L
2,4,5-Trichlorophenol	400	mg/L	ND	mg/L	ND	mg/L
2,4,6-Trichlorophenol	2	mg/L	ND	mg/L	ND	mg/L
2,4-Dinitrotoluene	0.13	mg/L	ND	mg/L	ND	mg/L
2-Methylphenol	200	mg/L	ND	mg/L	ND	mg/L
3&4-Methylphenol	200	mg/L	ND	mg/L	ND	mg/L
Hexachlorobenzene	0.13	mg/L	ND	mg/L	ND	mg/L
Hexachlorobutadiene	0.5	mg/L	ND	mg/L	ND	mg/L
Hexachloroethane	3	mg/L	ND	mg/L	ND	mg/L
Nitrobenzene	2	mg/L	ND	mg/L	ND	mg/L
Pentachlorophenol	100	mg/L	ND	mg/L	ND	mg/L
Pyridine	5	mg/L	ND	mg/L	ND	mg/L
Mercury	0.2	mg/L	ND	mg/L	ND	mg/L
Arsenic	5	mg/L	ND	mg/L	ND	mg/L
Barium	100	mg/L	0.32	mg/L	0.39	mg/L
Cadmium	1	mg/L	ND	mg/L	ND	mg/L
Chromium	5	mg/L	ND	mg/L	ND	mg/L
Lead	5	mg/L	ND	mg/L	ND	mg/L
Nickel	NS	mg/L	ND	mg/L	ND	mg/L
Selenium	1	mg/L	ND	mg/L	ND	mg/L
Silver	5	mg/L	ND	mg/L	ND	mg/L
Chlordane	0.03	mg/L	ND	mg/L	ND	mg/L
Endrin	0.02	mg/L	ND	mg/L	ND	mg/L
gamma-BHC	0.4	mg/L	ND	mg/L	ND	mg/L
Heptachlor	0.008	mg/L	ND	mg/L	ND	mg/L
Heptachlor Epoxide	0.008	mg/L	ND	mg/L	ND	mg/L
Methoxychlor	10	mg/L	ND	mg/L	ND	mg/L
Toxaphene	0.5	mg/L	ND	mg/L	ND	mg/L
2,4-D	10	mg/L	ND	mg/L	ND	mg/L
Silvex	1	mg/L	ND	mg/L	ND	mg/L

Notes:

All concentrations are reported in parts per million (ppm or mg/L) or parts per billion (ppb or ug/L)

N/A = Compound or sample characteristic not analyzed

NS = No Standard

ND = Compound not detected above method detection limit (see attached lab report for mdl's)

BOLD = Positive Detection

Shaded = Concentration exceeds RCRA Hazardous Waste Level

TCLP = Toxicity characteristic leaching procedure

RCRA = Resource Conservation and Recovery Act

FIGURE 1 – TOPOGRAPHIC CORRIDOR LOCATION MAP



FIGURE 2 – SOIL BORING LOCATION PLAN



LEGEND:

- - MODERATE RISK BORING
- - HIGH RISK BORING
- EXTENT OF CORRIDOR
- WASTE CLASS AREA BOUNDARY

SOURCE: Google Earth Pro software



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Weston Solutions of New York
205 Campus Drive, Edison, New Jersey

DDC PROJECT NO. HWMP2017
WOL NO. 8961-Weston-R-8462

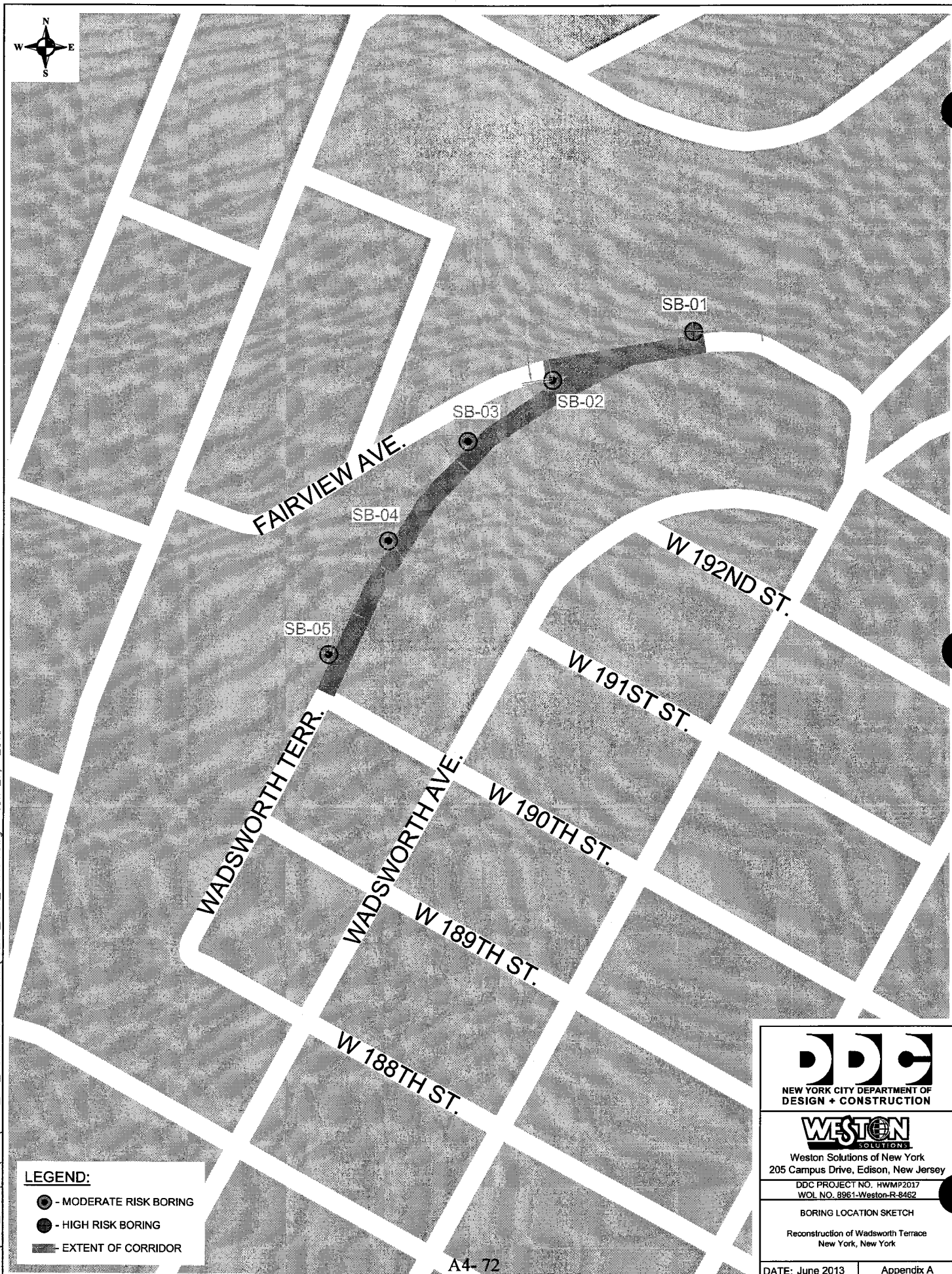
SOIL BORING LOCATION PLAN

Reconstruction of Wadsworth Terrace
New York, New York

DATE: June 2013

FIGURE 2

APPENDIX A
BORING LOCATION SKETCH



LEGEND:

- - MODERATE RISK BORING
- - HIGH RISK BORING
- - EXTENT OF CORRIDOR



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205 Campus Drive, Edison, New Jersey

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WOL NO. 8961-Weston-R-8462


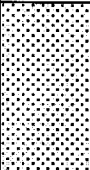
BORING LOCATION SKETCH


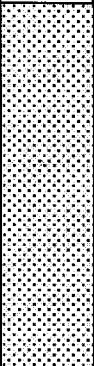
Reconstruction of Wadsworth Terrace
New York, New York


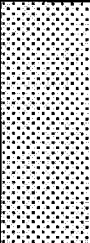
DATE: June 2013


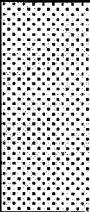
Appendix A


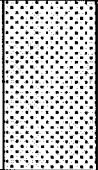
APPENDIX B
GEOLOGIC BORING LOGS

		Drilling Log Page 1 of 1		BORING ID: SB-01				
				WELL ID: N/A				
		LOCATION: New York, NY						
CLIENT: New York City Department of Design and Construction		PROJECT No: HWMP2017						
PROJECT: Reconstruction of Wadsworth Terrace		FMS ID #: PW335ES13						
DRILLING CONTRACTOR: Aquifer Drilling and Testing, Inc		WOL #: 8961-Weston-R-8462						
DRILLING METHOD: Geoprobe		DATE STARTED: 6/3/2013						
BOREHOLE DATA		WELL DATA		DATE FINISHED: 6/3/2013				
Diameter (in):	2	Well Diameter:	N/A	DRILLER: C. Iodice				
Total Depth:	2	Total Depth (ft):	N/A	WESTON INSPECTOR: M. Lortz				
Depth to Refusal (ft):	2	Screen Length (ft):	N/A	NORTHING: N/A				
Depth to Water (ft):	N/A	Depth to Water (ft):	N/A	EASTING: N/A				
Depth to Rock (ft):	2	Slot Size:	N/A	SURFACE ELEVATION: N/A				
NOTES: VOC grab sample 8961-SB-01-2 collected from 1.5-2 ftbgs Composite sample 8961-SB-01 collected from 0-2 ftbgs Soil classified in accordance with USCS Classification system								
Well Construction	Depth Interval (ft)	USCS Classification	Sample Interval	Sample Recovery (in)	Blows / 6 in	PID (std units)	Lithologic Description	Remarks
	0.50	FILL		24	N/A	0.0	Brown medium to fine sand with some cobbles and rock pieces, dry	Boring hand cleared to 2 ftbgs
	1.0					0.0		
	1.5					0.0		
	2.0					0.0		
END OF BORING AT 2 FEET BGS DUE TO BEDROCK								

		Drilling Log Page 1 of 1		BORING ID: SB-02				
				WELL ID: N/A				
CLIENT: New York City Department of Design and Construction		LOCATION: New York, NY		PROJECT No: HWMP2017				
		PROJECT: Reconstruction of Wadsworth Terrace		FMS ID #: PW335ES13				
DRILLING CONTRACTOR: Aquifer Drilling and Testing, Inc		WOL #: 8961-Weston-R-8462		DATE STARTED: 6/3/2013				
DRILLING METHOD: Geoprobe		DATE FINISHED: 6/3/2013		DRILLER: C. Iodice				
BOREHOLE DATA		WELL DATA		WESTON INSPECTOR: M. Lortz				
Diameter (in):	2	Well Diameter:	N/A	NORTHING: N/A				
Total Depth:	4.5	Total Depth (ft):	N/A	EASTING: N/A				
Depth to Refusal (ft):	4.5	Screen Length (ft):	N/A	SURFACE ELEVATION: N/A				
Depth to Water (ft):	N/A	Depth to Water (ft):	N/A					
Depth to Rock (ft):	4.5	Slot Size:	N/A					
NOTES: VOC grab sample 8961-SB-02-4.5 collected from 4-4.5 ftbgs Composite sample 8961-SB-02 collected from 4-4.5 ftbgs Soil classified in accordance with USCS Classification system								
Well Construction	Depth Interval (ft)	USCS Classification	Sample Interval	Sample Recovery (in)	Blows / 6 in	PID (std units)	Lithologic Description	Remarks
	0.50	FILL		54	N/A	0.0	Brown medium to fine sand with some cobbles, dry	Boring hand cleared to 4.5 ftbgs
	1.0					0.0		
	1.5					0.0		
	2.0					0.0		
	2.5					0.0		
	3.0					0.0		
	3.5					0.0		
	4.0					0.0		
	4.5					0.0		
END OF BORING AT 4.5 FEET BGS DUE TO BEDROCK								

		Drilling Log Page 1 of 1		BORING ID: SB-03				
				WELL ID: N/A				
		LOCATION: New York, NY						
CLIENT: New York City Department of Design and Construction				PROJECT No: HWMP2017				
PROJECT: Reconstruction of Wadsworth Terrace				FMS ID #: PW335ES13				
DRILLING CONTRACTOR: Aquifer Drilling and Testing, Inc				WOL #: 8961-Weston-R-8462				
DRILLING METHOD: Geoprobe				DATE STARTED: 6/3/2013				
BOREHOLE DATA		WELL DATA		DATE FINISHED: 6/3/2013				
Diameter (in):	2	Well Diameter:	N/A	DRILLER: C. Iodice				
Total Depth:	3	Total Depth (ft):	N/A	WESTON INSPECTOR: M. Lortz				
Depth to Refusal (ft):	3	Screen Length (ft):	N/A	NORTHING: N/A				
Depth to Water (ft):	N/A	Depth to Water (ft):	N/A	EASTING: N/A				
Depth to Rock (ft):	3	Slot Size:	N/A	SURFACE ELEVATION: N/A				
NOTES: VOC grab sample 8961-SB-03-3 collected from 2.5-3 ftbgs Composite sample 8961-SB-03 collected from 0-3 ftbgs								
Soil classified in accordance with USCS Classification system								
Well Construction	Depth Interval (ft)	USCS Classification	Sample Interval	Sample Recovery (in)	Blows / 6 in	PID (std units)	Lithologic Description	Remarks
	0.50	FILL		42	N/A	0.0	Brown tan coarse to fine sand with some cobbles, weathered rock pieces, and brick fragments, dry	Boring hand cleared to 3.5 ftbgs
	1.0							
	1.5							
	2.0							
	2.5							
	3.0					0.0		
END OF BORING AT 3 FEET BGS DUE TO BEDROCK								

		Drilling Log Page 1 of 1		BORING ID: SB-04				
				WELL ID: N/A				
				LOCATION: New York, NY				
CLIENT: New York City Department of Design and Construction				PROJECT No: HWMP2017				
PROJECT: Reconstruction of Wadsworth Terrace				FMS ID #: PW335ES13				
DRILLING CONTRACTOR: Aquifer Drilling and Testing, Inc				WOL #: 8961-Weston-R-8462				
DRILLING METHOD: Geoprobe				DATE STARTED: 6/3/2013				
BOREHOLE DATA		WELL DATA		DATE FINISHED: 6/3/2013				
Diameter (in):	2	Well Diameter:	N/A	DRILLER: C. Iodice				
Total Depth:	2.5	Total Depth (ft):	N/A	WESTON INSPECTOR: M. Lortz				
Depth to Refusal (ft):	2.5	Screen Length (ft):	N/A	NORTHING: N/A				
Depth to Water (ft):	N/A	Depth to Water (ft):	N/A	EASTING: N/A				
Depth to Rock (ft):	2.5	Slot Size:	N/A	SURFACE ELEVATION: N/A				
NOTES: VOC grab sample 8961-SB-04-2.5 collected from 2-2.5 ftbgs Composite sample 8961-SB-04 collected from 0-2.5 ftbgs Soil classified in accordance with USCS Classification system								
Well Construction	Depth Interval (ft)	USCS Classification	Sample Interval	Sample Recovery (in)	Blows / 6 in	PID (std units)	Lithologic Description	Remarks
	0.50	FILL		30	N/A	0.0	Brown medium to fine sand with some cobbles and rock pieces, dry	Boring hand cleared to 2.5 ftbgs
	1.0					0.0		
	1.5					0.0		
	2.0					0.0		
	2.5					0.0		
END OF BORING AT 2.5 FEET BGS DUE TO BEDROCK								

		Drilling Log Page 1 of 1		BORING ID: SB-05				
				WELL ID: N/A				
		LOCATION: New York, NY						
CLIENT: New York City Department of Design and Construction		PROJECT No: HWMP2017						
PROJECT: Reconstruction of Wadsworth Terrace		FMS ID #: PW335ES13						
DRILLING CONTRACTOR: Aquifer Drilling and Testing, Inc		WOL #: 8961-Weston-R-8462						
DRILLING METHOD: Geoprobe		DATE STARTED: 6/3/2013						
BOREHOLE DATA		WELL DATA		DATE FINISHED: 6/3/2013				
Diameter (in):	2	Well Diameter:	N/A	DRILLER: C. Iodice				
Total Depth:	2	Total Depth (ft):	N/A	WESTON INSPECTOR: M. Lortz				
Depth to Refusal (ft):	2	Screen Length (ft):	N/A	NORTHING: N/A				
Depth to Water (ft):	N/A	Depth to Water (ft):	N/A	EASTING: N/A				
Depth to Rock (ft):	2	Slot Size:	N/A	SURFACE ELEVATION: N/A				
NOTES: VOC grab sample 8961-SB-05-2 collected from 1.5-2 ftbgs Composite sample 8961-SB-05 collected from 0-2 ftbgs Soil classified in accordance with USCS Classification system								
Well Construction	Depth Interval (ft)	USCS Classification	Sample Interval	Sample Recovery (in)	Blows / 6 in	PID (std units)	Lithologic Description	Remarks
	0.50	FILL		24	N/A	0.0	Brown medium to fine sand with some cobbles, dry	Boring hand cleared to 2 ftbgs
	1.0					0.0		
	1.5					0.0		
	2.0					0.0		
END OF BORING AT 2 FEET BGS DUE TO BEDROCK								

APPENDIX C
LABORATORY ANALYTICAL RESULTS



175 ROUTE 46 WEST, UNIT D · FAIRFIELD, NJ 07004
2 MADISON ROAD, FAIRFIELD, NJ 07004
800-426-9992 · 973-244-9770
FAX: 973-244-9787

WWW.HCVLAB.COM

Project: 8961-DDC-Samples

Client PO: 0082761

Report To: Weston Solutions Inc.
205 Campus Drive
Edison, NJ 08837

Attn: Michele Lortz

Received Date: 6/4/2013

Report Date: 6/25/2013

Deliverables: NYDOH-CatA

Lab ID: AC72749

Lab Project No: 3060407

This report is a true report of results obtained from our tests of this material. The report relates only to those samples received and analyzed by the laboratory. All results meet the requirements of the NELAC Institute standards. Laboratory reports may not be reproduced, except in full, without the written approval of the laboratory.

In lieu of a formal contract document, the total aggregate liability of Veritech to all parties shall not exceed Veritech's total fee for analytical services rendered.


Robin Cousineau - Quality Assurance Director

OR

Stanley Gilewicz - Laboratory Director

NJ (07071)
PA (68-00463)

NY (ELAP11408)
KY (90124)

CT (PH-0671)



**THIS CATEGORY "A" REPORT
IS NUMBERED FROM
1 to 74**

HCV Case Narrative

Client: Weston Solutions Inc.
Project: 8961-DDC-Samples

HCV Project: 3060407

Hampton-Clarke/Veritech (HC-V) received the following samples on June 4, 2013:

Client ID	HCV Sample ID	Matrix	Analysis
8961_SB-01_2	AC72749-001	Soil/Encore	VO (8260B)
8961_SB-02_4.5	AC72749-002	Soil/Encore	VO (8260B)
8961_SB-03-3	AC72749-003	Soil/Encore	VO (8260B)
8961_SB-04-2.5	AC72749-004	Soil/Encore	VO (8260B)
8961_SB-05-2	AC72749-005	Soil/Encore	VO (8260B)
8961_SB-01	AC72749-006	Soil	BNA (8270C), PCB (8082), Pesticides (8081A), Metals (6010B/7471A)
8961_SB-02	AC72749-007	Soil	BNA (8270C), PCB (8082), Pesticides (8081A), Metals (6010B/7471A)
8961_SB-03	AC72749-008	Soil	BNA (8270C), PCB (8082), Pesticides (8081A), Metals (6010B/7471A)
8961_SB-04	AC72749-009	Soil	BNA (8270C), PCB (8082), Pesticides (8081A), Metals (6010B/7471A)
8961_SB-05	AC72749-010	Soil	BNA (8270C), PCB (8082), Pesticides (8081A), Metals (6010B/7471A)

This case narrative is in the form of an exception report. Method specific and/or QA/QC anomalies related to this report only are detailed below.

Volatile Organic Analysis:

Data conforms to method requirements.

Base Neutral/Acid Extractable Analysis:

The Surrogates, Matrix Spike and Matrix Spike Duplicate for batch 25131 recoveries outside QC limits. However, since the associated Method Blank and Laboratory Control Sample were within control, no corrective action was necessary.

PCB Analysis:

Data conforms to method requirements.

Pesticide Analysis:

Data conforms to method requirements.

Metals Analysis:

The Matrix Spike and Matrix Spike Duplicate for batch 24243 had recoveries outside QC limits. The MS/MSD RPDs also have recoveries outside QC limits. However, since the associated Method Blank and Laboratory Control Sample were within control, no corrective action was necessary.

The RPD between the QC sample and the Method Replicate is outside QC limits in batch 24243. The RPD criteria were met between the LCS/LCS Method Replicate.

I certify that this data package is in compliance with the terms and conditions of the contract, both technically and for completeness, for other than the conditions detailed above. Release of the data contained in this hardcopy data package and in the computer-readable data has been authorized by the Laboratory Manager or his designee, as verified by the following signature.


Robin Cousineau

Quality Assurance Director

Or


Stanley Gilewicz
Laboratory Director


Date

CONDITION UPON RECEIPT

Batch Number AC72749

Entered By: VINCENT

Date Entered 6/4/2013 2:11:00 PM

-
- 1 Yes Is there a corresponding COC included with the samples?
- 2 Yes Are the samples in a container such as a cooler or Ice chest?
- 3 Yes Are the COC seals intact?
- 4 Yes Please specify the Temperature inside the container (in degC)
3.8
- 5 Yes Are the samples refrigerated (where required)/have they arrived on ice?
- 6 Yes Are the samples within the holding times for the parameters listed on the COC? IF no, list parameters and samples:
- 7 Yes Are all of the sample bottles intact? If no, specify sample numbers broken/leaking
- 8 Yes Are all of the sample labels or numbers legible? If no specify:
- 9 Yes Do the contents match the COC? If no, specify
- 10 Yes Is there enough sample sent for the analyses listed on the COC? If no, specify:
- 11 Yes Are samples preserved correctly?
- 12 Yes Was temperature blank present (Place comment below if not)? If not was temperature of samples verified?
- 13 NA Other comments ...Specify
- 14 NA Corrective actions (Specify item number and corrective action taken).

Internal Chain of Custody

Lab#:	DateTime:	Loc or User	Bot Nu	A/ M	Analysis	Lab#:	DateTime:	Loc or User	Bot Nu	A/ M	Analysis
AC72749-001	06/04/13 13:43	VINCE	0	M	Received	AC72749-006	06/05/13 11:16	PC/JU	1	A	TDSI-HG
AC72749-001	06/04/13 14:11	VINCE	0	M	Login	AC72749-006	06/05/13 11:40	R12	1	A	NONE
AC72749-001	06/04/13 19:35	R12	2	A	NONE	AC72749-006	06/06/13 09:37	HJ	1	A	bn
AC72749-001	06/04/13 23:50	R12	2	A	NONE	AC72749-006	06/06/13 09:38	R12	1	A	NONE
AC72749-001	06/04/13 23:50	PA	2	A	mixing	AC72749-006	06/07/13 11:24	SMAR	1	A	p/p
AC72749-001	06/05/13 07:23	HS	2	A	%solids	AC72749-006	06/07/13 17:08	R12	1	A	NONE
AC72749-001	06/05/13 11:40	R12	2	A	NONE	AC72749-007	06/04/13 13:43	VINCE	0	M	Received
AC72749-001	06/04/13 19:23	R31	3	A	NONE	AC72749-007	06/04/13 14:11	VINCE	0	M	Login
AC72749-001	06/05/13 12:20	R31	3	A	NONE	AC72749-007	06/04/13 23:50	R12	1	A	NONE
AC72749-001	06/05/13 12:20	ABM	3	A	vca	AC72749-007	06/04/13 23:50	PA	1	A	mixing
AC72749-001	06/04/13 19:26	F19	4	A	NONE	AC72749-007	06/05/13 07:23	HS	1	A	%solids
AC72749-001	06/11/13 08:00	DB	4	M	VOA	AC72749-007	06/05/13 11:16	PC/JU	1	A	TDSI-HG
AC72749-001	06/04/13 19:26	F19	5	A	NONE	AC72749-007	06/05/13 11:40	R12	1	A	NONE
AC72749-001	06/17/13 10:23	VOA	5	A	DISPOSAL	AC72749-007	06/06/13 09:37	HJ	1	A	bn
AC72749-002	06/04/13 13:43	VINCE	0	M	Received	AC72749-007	06/06/13 09:38	R12	1	A	NONE
AC72749-002	06/04/13 14:11	VINCE	0	M	Login	AC72749-007	06/07/13 11:24	SMAR	1	A	p/p
AC72749-002	06/04/13 19:35	R12	2	A	NONE	AC72749-007	06/07/13 17:08	R12	1	A	NONE
AC72749-002	06/04/13 23:50	R12	2	A	NONE	AC72749-008	06/04/13 13:43	VINCE	0	M	Received
AC72749-002	06/04/13 23:50	PA	2	A	mixing	AC72749-008	06/04/13 14:11	VINCE	0	M	Login
AC72749-002	06/05/13 07:23	HS	2	A	%solids	AC72749-008	06/04/13 23:50	R12	1	A	NONE
AC72749-002	06/05/13 11:40	R12	2	A	NONE	AC72749-008	06/04/13 23:50	PA	1	A	mixing
AC72749-002	06/04/13 19:23	R31	3	A	NONE	AC72749-008	06/05/13 07:23	HS	1	A	%solids
AC72749-002	06/05/13 12:20	R31	3	A	NONE	AC72749-008	06/05/13 11:16	PC/JU	1	A	TDSI-HG
AC72749-002	06/05/13 12:20	ABM	3	A	vca	AC72749-008	06/05/13 11:40	R12	1	A	NONE
AC72749-002	06/04/13 19:26	F19	4	A	NONE	AC72749-008	06/06/13 09:37	HJ	1	A	bn
AC72749-002	06/11/13 08:00	DB	4	M	VOA	AC72749-008	06/06/13 09:38	R12	1	A	NONE
AC72749-002	06/04/13 19:26	F19	5	A	NONE	AC72749-008	06/07/13 11:24	SMAR	1	A	p/p
AC72749-002	06/21/13 16:16	VOA	5	A	DISPOSAL	AC72749-008	06/07/13 17:08	R12	1	A	NONE
AC72749-003	06/04/13 13:43	VINCE	0	M	Received	AC72749-009	06/04/13 13:43	VINCE	0	M	Received
AC72749-003	06/04/13 14:11	VINCE	0	M	Login	AC72749-009	06/04/13 14:11	VINCE	0	M	Login
AC72749-003	06/04/13 19:35	R12	2	A	NONE	AC72749-009	06/04/13 23:50	PA	1	A	mixing
AC72749-003	06/04/13 23:50	R12	2	A	NONE	AC72749-009	06/04/13 23:50	R12	1	A	NONE
AC72749-003	06/04/13 23:50	PA	2	A	mixing	AC72749-009	06/05/13 07:23	HS	1	A	%solids
AC72749-003	06/05/13 07:23	HS	2	A	%solids	AC72749-009	06/05/13 11:16	PC/JU	1	A	TDSI-HG
AC72749-003	06/05/13 11:40	R12	2	A	NONE	AC72749-009	06/05/13 11:40	R12	1	A	NONE
AC72749-003	06/04/13 19:23	R31	3	A	NONE	AC72749-009	06/06/13 09:37	HJ	1	A	bn
AC72749-003	06/05/13 12:20	ABM	3	A	vca	AC72749-009	06/06/13 09:38	R12	1	A	NONE
AC72749-003	06/05/13 12:20	R31	3	A	NONE	AC72749-009	06/07/13 11:24	SMAR	1	A	p/p
AC72749-003	06/04/13 19:26	F19	4	A	NONE	AC72749-009	06/07/13 17:08	R12	1	A	NONE
AC72749-003	06/11/13 08:00	DB	4	M	VOA	AC72749-010	06/04/13 13:43	VINCE	0	M	Received
AC72749-003	06/04/13 19:26	F19	5	A	NONE	AC72749-010	06/04/13 14:11	VINCE	0	M	Login
AC72749-003	06/21/13 16:16	VOA	5	A	DISPOSAL	AC72749-010	06/04/13 23:50	PA	1	A	mixing
AC72749-004	06/04/13 13:43	VINCE	0	M	Received	AC72749-010	06/04/13 23:50	R12	1	A	NONE
AC72749-004	06/04/13 14:11	VINCE	0	M	Login	AC72749-010	06/05/13 07:23	HS	1	A	%solids
AC72749-004	06/04/13 19:35	R12	2	A	NONE	AC72749-010	06/05/13 11:16	PC/JU	1	A	TDSI-HG
AC72749-004	06/04/13 23:50	PA	2	A	mixing	AC72749-010	06/05/13 11:40	R12	1	A	NONE
AC72749-004	06/04/13 23:50	R12	2	A	NONE	AC72749-010	06/06/13 09:37	HJ	1	A	bn
AC72749-004	06/05/13 07:23	HS	2	A	%solids	AC72749-010	06/06/13 09:38	R12	1	A	NONE
AC72749-004	06/05/13 11:40	R12	2	A	NONE	AC72749-010	06/07/13 11:24	SMAR	1	A	p/p
AC72749-004	06/04/13 19:23	R31	3	A	NONE	AC72749-010	06/07/13 17:08	R12	1	A	NONE
AC72749-004	06/05/13 12:20	R31	3	A	NONE						
AC72749-004	06/05/13 12:20	ABM	3	A	vca						
AC72749-004	06/04/13 19:26	F19	4	A	NONE						
AC72749-004	06/11/13 08:00	DB	4	M	VOA						
AC72749-004	06/04/13 19:26	F19	5	A	NONE						
AC72749-004	06/21/13 16:16	VOA	5	A	DISPOSAL						
AC72749-005	06/04/13 13:43	VINCE	0	M	Received						
AC72749-005	06/04/13 14:11	VINCE	0	M	Login						
AC72749-005	06/04/13 19:35	R12	2	A	NONE						
AC72749-005	06/04/13 23:50	R12	2	A	NONE						
AC72749-005	06/04/13 23:50	PA	2	A	mixing						
AC72749-005	06/05/13 07:23	HS	2	A	%solids						
AC72749-005	06/05/13 11:40	R12	2	A	NONE						
AC72749-005	06/04/13 19:23	R31	3	A	NONE						
AC72749-005	06/05/13 12:20	ABM	3	A	vca						
AC72749-005	06/05/13 12:20	R31	3	A	NONE						
AC72749-005	06/04/13 19:26	F19	4	A	NONE						
AC72749-005	06/11/13 08:00	DB	4	M	VOA						
AC72749-005	06/04/13 19:26	F19	5	A	NONE						
AC72749-005	06/21/13 16:16	VOA	5	A	DISPOSAL						
AC72749-006	06/04/13 13:43	VINCE	0	M	Received						
AC72749-006	06/04/13 14:11	VINCE	0	M	Login						
AC72749-006	06/04/13 23:50	R12	1	A	NONE						
AC72749-006	06/04/13 23:50	PA	1	A	mixing						
AC72749-006	06/05/13 07:23	HS	1	A	%solids						

Samples marked as received are stored in coolers or refrigerator R12, or R24 at 4 deg C until Login

Laboratory Chronicle

Client: Weston Solutions Inc.

HCV Project #: 3060407

Project: 8961-DDC-Samples

Lab#: AC72749-001

Sample ID: 8961_SB-01_2

Test Code	Prep Method	Prep Date	By	Analytical Method	Analysis Date	By
% Solids SM2540G				SM 2540G	6/5/13 00:00	melissa
Volatile Organics (no search) 8260	EPA5030/5035			EPA 8260B	6/11/13 10:38	DB

Lab#: AC72749-002

Sample ID: 8961_SB-02_4.5

Test Code	Prep Method	Prep Date	By	Analytical Method	Analysis Date	By
% Solids SM2540G				SM 2540G	6/5/13 00:00	melissa
Volatile Organics (no search) 8260	EPA5030/5035			EPA 8260B	6/11/13 10:54	DB

Lab#: AC72749-003

Sample ID: 8961_SB-03-3

Test Code	Prep Method	Prep Date	By	Analytical Method	Analysis Date	By
% Solids SM2540G				SM 2540G	6/5/13 00:00	melissa
Volatile Organics (no search) 8260	EPA5030/5035			EPA 8260B	6/11/13 11:10	DB

Lab#: AC72749-004

Sample ID: 8961_SB-04-2.5

Test Code	Prep Method	Prep Date	By	Analytical Method	Analysis Date	By
% Solids SM2540G				SM 2540G	6/5/13 00:00	melissa
Volatile Organics (no search) 8260	EPA5030/5035			EPA 8260B	6/11/13 11:26	DB

Lab#: AC72749-005

Sample ID: 8961_SB-05-2

Test Code	Prep Method	Prep Date	By	Analytical Method	Analysis Date	By
% Solids SM2540G				SM 2540G	6/5/13 00:00	melissa
Volatile Organics (no search) 8260	EPA5030/5035			EPA 8260B	6/11/13 11:42	DB

Laboratory Chronicle

Client: Weston Solutions Inc.

HCV Project #: 3060407

Project: 8961-DDC-Samples

Lab#: AC72749-006

Sample ID: 8961_SB-01

Test Code	Prep Method	Prep Date	By	Analytical Method	Analysis Date	By
% Solids SM2540G				SM 2540G	6/5/13 00:00	melissa
Mercury (Soil/Waste) 7471A	EPA 7471A	06/05/13	julijana	EPA 7471A	6/5/13 14:13	PC
Organochlorine Pesticides 8081	3510C/3550B	06/07/13	smarwala	EPA 8081A	6/11/13 07:56	MS
PCB 8082	3510C/3550B	06/07/13	smarwala	EPA 8082	6/10/13 12:38	MS
Semivolatile Organics (no search) 8270	3510C/3550B	06/06/13	hj	EPA 8270C	6/8/13 00:22	AHD/JB
TAL Metals 6010	3005&10/3050	06/05/13	julijana	EPA 6010B	6/5/13 17:56	OA
TAL Metals 6010	3005&10/3050	06/05/13	julijana	EPA 6010B	6/5/13 21:12	SRB

Lab#: AC72749-007

Sample ID: 8961_SB-02

Test Code	Prep Method	Prep Date	By	Analytical Method	Analysis Date	By
% Solids SM2540G				SM 2540G	6/5/13 00:00	melissa
Mercury (Soil/Waste) 7471A	EPA 7471A	06/05/13	julijana	EPA 7471A	6/5/13 14:14	PC
Organochlorine Pesticides 8081	3510C/3550B	06/07/13	smarwala	EPA 8081A	6/11/13 05:18	MS
PCB 8082	3510C/3550B	06/07/13	smarwala	EPA 8082	6/10/13 12:53	MS
Semivolatile Organics (no search) 8270	3510C/3550B	06/06/13	hj	EPA 8270C	6/8/13 00:45	AHD/JB
TAL Metals 6010	3005&10/3050	06/05/13	julijana	EPA 6010B	6/5/13 17:58	OA
TAL Metals 6010	3005&10/3050	06/05/13	julijana	EPA 6010B	6/5/13 21:16	SRB

Lab#: AC72749-008

Sample ID: 8961_SB-03

Test Code	Prep Method	Prep Date	By	Analytical Method	Analysis Date	By
% Solids SM2540G				SM 2540G	6/5/13 00:00	melissa
Mercury (Soil/Waste) 7471A	EPA 7471A	06/05/13	julijana	EPA 7471A	6/5/13 14:16	PC
Organochlorine Pesticides 8081	3510C/3550B	06/07/13	smarwala	EPA 8081A	6/11/13 05:36	MS
PCB 8082	3510C/3550B	06/07/13	smarwala	EPA 8082	6/10/13 13:08	MS
Semivolatile Organics (no search) 8270	3510C/3550B	06/06/13	hj	EPA 8270C	6/8/13 01:09	AHD/JB
TAL Metals 6010	3005&10/3050	06/05/13	julijana	EPA 6010B	6/5/13 18:17	OA
TAL Metals 6010	3005&10/3050	06/05/13	julijana	EPA 6010B	6/5/13 21:20	SRB

Laboratory Chronicle

3060407 0007

Client: Weston Solutions Inc.

HCV Project #: 3060407

Project: 8961-DDC-Samples

Lab#: AC72749-009

Sample ID: 8961_SB-04

Test Code	Prep Method	Prep Date	By	Analytical Method	Analysis Date	By
% Solids SM2540G				SM 2540G	6/5/13 00:00	melissa
Mercury (Soil/Waste) 7471A	EPA 7471A	06/05/13	julijana	EPA 7471A	6/5/13 14:17	PC
Organochlorine Pesticides 8081	3510C/3550B	06/07/13	smarwala	EPA 8081A	6/11/13 05:53	MS
PCB 8082	3510C/3550B	06/07/13	smarwala	EPA 8082	6/10/13 13:24	MS
Semivolatile Organics (no search) 8270	3510C/3550B	06/06/13	hj	EPA 8270C	6/8/13 01:32	AHD/JB
TAL Metals 6010	3005&10/3050	06/05/13	julijana	EPA 6010B	6/5/13 21:24	SRB
TAL Metals 6010	3005&10/3050	06/05/13	julijana	EPA 6010B	6/5/13 18:20	OA

Lab#: AC72749-010

Sample ID: 8961_SB-05

Test Code	Prep Method	Prep Date	By	Analytical Method	Analysis Date	By
% Solids SM2540G				SM 2540G	6/5/13 00:00	melissa
Mercury (Soil/Waste) 7471A	EPA 7471A	06/05/13	julijana	EPA 7471A	6/5/13 14:19	PC
Organochlorine Pesticides 8081	3510C/3550B	06/07/13	smarwala	EPA 8081A	6/11/13 06:11	MS
PCB 8082	3510C/3550B	06/07/13	smarwala	EPA 8082	6/10/13 13:39	MS
Semivolatile Organics (no search) 8270	3510C/3550B	06/06/13	hj	EPA 8270C	6/8/13 01:55	AHD/JB
TAL Metals 6010	3005&10/3050	06/05/13	julijana	EPA 6010B	6/5/13 18:22	OA
TAL Metals 6010	3005&10/3050	06/05/13	julijana	EPA 6010B	6/5/13 21:28	SRB

HCV Reporting Limit Definitions/Data Qualifiers

REPORTING DEFINITIONS

DF = Dilution Factor

MDL = Method Detection Limit

RL* = Reporting Limit

ND = Not Detected

RT = Retention Time

NA = Not Applicable

**Samples with elevated Reporting Limits (RLs) as a result of a dilution may not achieve client reporting limits in some cases. The elevated RLs are unavoidable consequences of sample dilution required to quantitate target analytes that exceed the calibration range of the instrument.*

DATA QUALIFIERS

- B-** Indicates analyte was present in the Method Blank and sample.
- d-** For Pesticide and PCB analysis, the concentration between primary and secondary columns is greater than 40%. The lower concentration is generally reported.
- E-** Indicates the concentration exceeded the upper calibration range of the instrument.
- J-** Indicates the value is estimated because it is either a Tentatively Identified Compound (TIC) or the reported concentration is greater than the MDL but less than the RL. For samples results between the MDL and RL there is a possibility of false positives or misidentification at the quantitation levels. Additionally, the acceptance criteria for QC samples may not be met.

HCV Report Of Analysis

Client: Weston Solutions Inc.

HCV Project #: 3060407

Project: 8961-DDC-Samples

Sample ID: 8961_SB-01_2

Collection Date: 6/3/2013

Lab#: AC72749-001

Receipt Date: 6/4/2013

Matrix: Soil/Encore

% Solids SM2540G

Analyte	DF	Units	RL	Result
% Solids	1	percent		83

Volatile Organics (no search) 8260

Analyte	DF	Units	RL	Result
1,1,1-Trichloroethane	0.833	mg/kg	0.0020	ND
1,1,2,2-Tetrachloroethane	0.833	mg/kg	0.0020	ND
1,1,2-Trichloro-1,2,2-trifluoroethane	0.833	mg/kg	0.0020	ND
1,1,2-Trichloroethane	0.833	mg/kg	0.0020	ND
1,1-Dichloroethane	0.833	mg/kg	0.0020	ND
1,1-Dichloroethene	0.833	mg/kg	0.0020	ND
1,2,3-Trichlorobenzene	0.833	mg/kg	0.0020	ND
1,2,4-Trichlorobenzene	0.833	mg/kg	0.0020	ND
1,2-Dibromo-3-chloropropane	0.833	mg/kg	0.0020	ND
1,2-Dibromoethane	0.833	mg/kg	0.0020	ND
1,2-Dichlorobenzene	0.833	mg/kg	0.0020	ND
1,2-Dichloroethane	0.833	mg/kg	0.0020	ND
1,2-Dichloropropane	0.833	mg/kg	0.0020	ND
1,3-Dichlorobenzene	0.833	mg/kg	0.0020	ND
1,4-Dichlorobenzene	0.833	mg/kg	0.0020	ND
1,4-Dioxane	0.833	mg/kg	0.10	ND
2-Butanone	0.833	mg/kg	0.0020	ND
2-Hexanone	0.833	mg/kg	0.0020	ND
4-Methyl-2-pentanone	0.833	mg/kg	0.0020	ND
Acetone	0.833	mg/kg	0.010	ND
Benzene	0.833	mg/kg	0.0010	ND
Bromochloromethane	0.833	mg/kg	0.0020	ND
Bromodichloromethane	0.833	mg/kg	0.0020	ND
Bromoform	0.833	mg/kg	0.0020	ND
Bromomethane	0.833	mg/kg	0.0020	ND
Carbon disulfide	0.833	mg/kg	0.0020	ND
Carbon tetrachloride	0.833	mg/kg	0.0020	ND
Chlorobenzene	0.833	mg/kg	0.0020	ND
Chloroethane	0.833	mg/kg	0.0020	ND
Chloroform	0.833	mg/kg	0.0020	ND
Chloromethane	0.833	mg/kg	0.0020	ND
cis-1,2-Dichloroethene	0.833	mg/kg	0.0020	ND
cis-1,3-Dichloropropene	0.833	mg/kg	0.0020	ND
Cyclohexane	0.833	mg/kg	0.0020	ND
Dibromochloromethane	0.833	mg/kg	0.0020	ND
Dichlorodifluoromethane	0.833	mg/kg	0.0020	ND
Ethylbenzene	0.833	mg/kg	0.0010	ND
Isopropylbenzene	0.833	mg/kg	0.0010	ND
m&p-Xylenes	0.833	mg/kg	0.0020	ND
Methyl Acetate	0.833	mg/kg	0.0020	ND
Methylcyclohexane	0.833	mg/kg	0.0020	ND
Methylene chloride	0.833	mg/kg	0.0020	ND
Methyl-t-butyl ether	0.833	mg/kg	0.0010	ND
o-Xylene	0.833	mg/kg	0.0010	ND

A4-008

Sample ID: 8961_SB-01_2

Lab#: AC72749-001

Matrix: Soil/Encore

Collection Date: 6/3/2013

Receipt Date: 6/4/2013

Styrene	0.833	mg/kg	0.0020	ND
Tetrachloroethene	0.833	mg/kg	0.0020	ND
Toluene	0.833	mg/kg	0.0010	ND
trans-1,2-Dichloroethene	0.833	mg/kg	0.0020	ND
trans-1,3-Dichloropropene	0.833	mg/kg	0.0020	ND
Trichloroethene	0.833	mg/kg	0.0020	ND
Trichlorofluoromethane	0.833	mg/kg	0.0020	ND
Vinyl chloride	0.833	mg/kg	0.0020	ND
Xylenes (Total)	0.833	mg/kg	0.0010	ND

Sample ID: 8961_SB-02_4.5

Lab#: AC72749-002

Matrix: Soil/Encore

Collection Date: 6/3/2013

Receipt Date: 6/4/2013

% Solids SM2540G

Analyte	DF	Units	RL	Result
% Solids	1	percent		87

Volatile Organics (no search) 8260

Analyte	DF	Units	RL	Result
1,1,1-Trichloroethane	0.98	mg/kg	0.0023	ND
1,1,2,2-Tetrachloroethane	0.98	mg/kg	0.0023	ND
1,1,2-Trichloro-1,2,2-trifluoroethane	0.98	mg/kg	0.0023	ND
1,1,2-Trichloroethane	0.98	mg/kg	0.0023	ND
1,1-Dichloroethane	0.98	mg/kg	0.0023	ND
1,1-Dichloroethene	0.98	mg/kg	0.0023	ND
1,2,3-Trichlorobenzene	0.98	mg/kg	0.0023	ND
1,2,4-Trichlorobenzene	0.98	mg/kg	0.0023	ND
1,2-Dibromo-3-chloropropane	0.98	mg/kg	0.0023	ND
1,2-Dibromoethane	0.98	mg/kg	0.0023	ND
1,2-Dichlorobenzene	0.98	mg/kg	0.0023	ND
1,2-Dichloroethane	0.98	mg/kg	0.0023	ND
1,2-Dichloropropane	0.98	mg/kg	0.0023	ND
1,3-Dichlorobenzene	0.98	mg/kg	0.0023	ND
1,4-Dichlorobenzene	0.98	mg/kg	0.0023	ND
1,4-Dioxane	0.98	mg/kg	0.11	ND
2-Butanone	0.98	mg/kg	0.0023	ND
2-Hexanone	0.98	mg/kg	0.0023	ND
4-Methyl-2-pentanone	0.98	mg/kg	0.0023	ND
Acetone	0.98	mg/kg	0.011	ND
Benzene	0.98	mg/kg	0.0011	ND
Bromochloromethane	0.98	mg/kg	0.0023	ND
Bromodichloromethane	0.98	mg/kg	0.0023	ND
Bromoform	0.98	mg/kg	0.0023	ND
Bromomethane	0.98	mg/kg	0.0023	ND
Carbon disulfide	0.98	mg/kg	0.0023	ND
Carbon tetrachloride	0.98	mg/kg	0.0023	ND
Chlorobenzene	0.98	mg/kg	0.0023	ND
Chloroethane	0.98	mg/kg	0.0023	ND
Chloroform	0.98	mg/kg	0.0023	ND
Chloromethane	0.98	mg/kg	0.0023	ND
cis-1,2-Dichloroethene	0.98	mg/kg	0.0023	ND
cis-1,3-Dichloropropene	0.98	mg/kg	0.0023	ND
Cyclohexane	0.98	mg/kg	0.0023	ND
Dibromochloromethane	0.98	mg/kg	0.0023	ND
Dichlorodifluoromethane	0.98	mg/kg	0.0023	ND
Ethylbenzene	0.98	mg/kg	0.0011	ND
Isopropylbenzene	0.98	mg/kg	0.0011	ND
m&p-Xylenes	0.98	mg/kg	0.0023	ND
Methyl Acetate	0.98	mg/kg	0.0023	ND
Methylcyclohexane	0.98	mg/kg	0.0023	ND
Methylene chloride	0.98	mg/kg	0.0023	ND
Methyl-t-butyl ether	0.98	mg/kg	0.0011	ND
o-Xylene	0.98	mg/kg	0.0011	ND
Styrene	0.98	mg/kg	0.0023	ND
Tetrachloroethene	0.98	mg/kg	0.0023	ND
Toluene	0.98	mg/kg	0.0011	ND
trans-1,2-Dichloroethene	0.98	mg/kg	0.0023	ND
trans-1,3-Dichloropropene	0.98	mg/kg	0.0023	ND
Trichloroethene	0.98	mg/kg	0.0023	ND

A4-92

Sample ID: 8961_SB-02_4.5**Lab#: AC72749-002****Matrix: Soil/Encore****Collection Date: 6/3/2013****Receipt Date: 6/4/2013**

Trichlorofluoromethane	0.98	mg/kg	0.0023	ND
Vinyl chloride	0.98	mg/kg	0.0023	ND
Xylenes (Total)	0.98	mg/kg	0.0011	ND

Sample ID: 8961_SB-03-3
 Lab#: AC72749-003
 Matrix: Soil/Encore

Collection Date: 6/3/2013
 Receipt Date: 6/4/2013

% Solids SM2540G

Analyte	DF	Units	RL	Result
% Solids	1	percent		84

Volatile Organics (no search) 8260

Analyte	DF	Units	RL	Result
1,1,1-Trichloroethane	0.926	mg/kg	0.0022	ND
1,1,2,2-Tetrachloroethane	0.926	mg/kg	0.0022	ND
1,1,2-Trichloro-1,2,2-trifluoroethane	0.926	mg/kg	0.0022	ND
1,1,2-Trichloroethane	0.926	mg/kg	0.0022	ND
1,1-Dichloroethane	0.926	mg/kg	0.0022	ND
1,1-Dichloroethene	0.926	mg/kg	0.0022	ND
1,2,3-Trichlorobenzene	0.926	mg/kg	0.0022	ND
1,2,4-Trichlorobenzene	0.926	mg/kg	0.0022	ND
1,2-Dibromo-3-chloropropane	0.926	mg/kg	0.0022	ND
1,2-Dibromoethane	0.926	mg/kg	0.0022	ND
1,2-Dichlorobenzene	0.926	mg/kg	0.0022	ND
1,2-Dichloroethane	0.926	mg/kg	0.0022	ND
1,2-Dichloropropane	0.926	mg/kg	0.0022	ND
1,3-Dichlorobenzene	0.926	mg/kg	0.0022	ND
1,4-Dichlorobenzene	0.926	mg/kg	0.0022	ND
1,4-Dioxane	0.926	mg/kg	0.11	ND
2-Butanone	0.926	mg/kg	0.0022	ND
2-Hexanone	0.926	mg/kg	0.0022	ND
4-Methyl-2-pentanone	0.926	mg/kg	0.0022	ND
Acetone	0.926	mg/kg	0.011	ND
Benzene	0.926	mg/kg	0.0011	ND
Bromochloromethane	0.926	mg/kg	0.0022	ND
Bromodichloromethane	0.926	mg/kg	0.0022	ND
Bromoform	0.926	mg/kg	0.0022	ND
Bromomethane	0.926	mg/kg	0.0022	ND
Carbon disulfide	0.926	mg/kg	0.0022	ND
Carbon tetrachloride	0.926	mg/kg	0.0022	ND
Chlorobenzene	0.926	mg/kg	0.0022	ND
Chloroethane	0.926	mg/kg	0.0022	ND
Chloroform	0.926	mg/kg	0.0022	ND
Chloromethane	0.926	mg/kg	0.0022	ND
cis-1,2-Dichloroethene	0.926	mg/kg	0.0022	ND
cis-1,3-Dichloropropene	0.926	mg/kg	0.0022	ND
Cyclohexane	0.926	mg/kg	0.0022	ND
Dibromochloromethane	0.926	mg/kg	0.0022	ND
Dichlorodifluoromethane	0.926	mg/kg	0.0022	ND
Ethylbenzene	0.926	mg/kg	0.0011	ND
Isopropylbenzene	0.926	mg/kg	0.0011	ND
m&p-Xylenes	0.926	mg/kg	0.0022	ND
Methyl Acetate	0.926	mg/kg	0.0022	ND
Methylcyclohexane	0.926	mg/kg	0.0022	ND
Methylene chloride	0.926	mg/kg	0.0022	ND
Methyl-t-butyl ether	0.926	mg/kg	0.0011	ND
o-Xylene	0.926	mg/kg	0.0011	ND
Styrene	0.926	mg/kg	0.0022	ND
Tetrachloroethene	0.926	mg/kg	0.0022	ND
Toluene	0.926	mg/kg	0.0011	ND
trans-1,2-Dichloroethene	0.926	mg/kg	0.0022	ND
trans-1,3-Dichloropropene	0.926	mg/kg	0.0022	ND
Trichloroethene	0.926	mg/kg	0.0022	ND

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Sample ID: 8961_SB-03-3

Lab#: AC72749-003

Matrix: Soil/Encore

Collection Date: 6/3/2013

Receipt Date: 6/4/2013

Trichlorofluoromethane	0.926	mg/kg	0.0022	ND
Vinyl chloride	0.926	mg/kg	0.0022	ND
Xylenes (Total)	0.926	mg/kg	0.0011	ND

Sample ID: 8961_SB-04-2.5

Lab#: AC72749-004

Matrix: Soil/Encore

Collection Date: 6/3/2013

Receipt Date: 6/4/2013

% Solids SM2540G

Analyte	DF	Units	RL	Result
% Solids	1	percent		87

Volatile Organics (no search) 8260

Analyte	DF	Units	RL	Result
1,1,1-Trichloroethane	0.858	mg/kg	0.0020	ND
1,1,2,2-Tetrachloroethane	0.858	mg/kg	0.0020	ND
1,1,2-Trichloro-1,2,2-trifluoroethane	0.858	mg/kg	0.0020	ND
1,1,2-Trichloroethane	0.858	mg/kg	0.0020	ND
1,1-Dichloroethane	0.858	mg/kg	0.0020	ND
1,1-Dichloroethene	0.858	mg/kg	0.0020	ND
1,2,3-Trichlorobenzene	0.858	mg/kg	0.0020	ND
1,2,4-Trichlorobenzene	0.858	mg/kg	0.0020	ND
1,2-Dibromo-3-chloropropane	0.858	mg/kg	0.0020	ND
1,2-Dibromoethane	0.858	mg/kg	0.0020	ND
1,2-Dichlorobenzene	0.858	mg/kg	0.0020	ND
1,2-Dichloroethane	0.858	mg/kg	0.0020	ND
1,2-Dichloropropane	0.858	mg/kg	0.0020	ND
1,3-Dichlorobenzene	0.858	mg/kg	0.0020	ND
1,4-Dichlorobenzene	0.858	mg/kg	0.0020	ND
1,4-Dioxane	0.858	mg/kg	0.0099	ND
2-Butanone	0.858	mg/kg	0.0020	ND
2-Hexanone	0.858	mg/kg	0.0020	ND
4-Methyl-2-pentanone	0.858	mg/kg	0.0020	ND
Acetone	0.858	mg/kg	0.0099	ND
Benzene	0.858	mg/kg	0.00099	ND
Bromochloromethane	0.858	mg/kg	0.0020	ND
Bromodichloromethane	0.858	mg/kg	0.0020	ND
Bromoform	0.858	mg/kg	0.0020	ND
Bromomethane	0.858	mg/kg	0.0020	ND
Carbon disulfide	0.858	mg/kg	0.0020	ND
Carbon tetrachloride	0.858	mg/kg	0.0020	ND
Chlorobenzene	0.858	mg/kg	0.0020	ND
Chloroethane	0.858	mg/kg	0.0020	ND
Chloroform	0.858	mg/kg	0.0020	ND
Chloromethane	0.858	mg/kg	0.0020	ND
cis-1,2-Dichloroethene	0.858	mg/kg	0.0020	ND
cis-1,3-Dichloropropene	0.858	mg/kg	0.0020	ND
Cyclohexane	0.858	mg/kg	0.0020	ND
Dibromochloromethane	0.858	mg/kg	0.0020	ND
Dichlorodifluoromethane	0.858	mg/kg	0.0020	ND
Ethylbenzene	0.858	mg/kg	0.00099	ND
Isopropylbenzene	0.858	mg/kg	0.00099	ND
m&p-Xylenes	0.858	mg/kg	0.0020	ND
Methyl Acetate	0.858	mg/kg	0.0020	ND
Methylcyclohexane	0.858	mg/kg	0.0020	ND
Methylene chloride	0.858	mg/kg	0.0020	ND
Methyl-t-butyl ether	0.858	mg/kg	0.00099	ND
o-Xylene	0.858	mg/kg	0.00099	ND
Styrene	0.858	mg/kg	0.0020	ND
Tetrachloroethene	0.858	mg/kg	0.0020	ND
Toluene	0.858	mg/kg	0.00099	ND
trans-1,2-Dichloroethene	0.858	mg/kg	0.0020	ND
trans-1,3-Dichloropropene	0.858	mg/kg	0.0020	ND
Trichloroethene	0.858	mg/kg	0.0020	ND

A4-96

Sample ID: 8961_SB-04-2.5**Lab#: AC72749-004****Matrix: Soil/Encore****Collection Date: 6/3/2013****Receipt Date: 6/4/2013**

Trichlorofluoromethane	0.858	mg/kg	0.0020	ND
Vinyl chloride	0.858	mg/kg	0.0020	ND
Xylenes (Total)	0.858	mg/kg	0.00099	ND

Sample ID: 8961_SB-05-2

Lab#: AC72749-005

Matrix: Soil/Encore

Collection Date: 6/3/2013

Receipt Date: 6/4/2013

% Solids SM2540G

Analyte	DF	Units	RL	Result
% Solids	1	percent		88

Volatile Organics (no search) 8260

Analyte	DF	Units	RL	Result
1,1,1-Trichloroethane	0.818	mg/kg	0.0019	ND
1,1,2,2-Tetrachloroethane	0.818	mg/kg	0.0019	ND
1,1,2-Trichloro-1,2,2-trifluoroethane	0.818	mg/kg	0.0019	ND
1,1,2-Trichloroethane	0.818	mg/kg	0.0019	ND
1,1-Dichloroethane	0.818	mg/kg	0.0019	ND
1,1-Dichloroethene	0.818	mg/kg	0.0019	ND
1,2,3-Trichlorobenzene	0.818	mg/kg	0.0019	ND
1,2,4-Trichlorobenzene	0.818	mg/kg	0.0019	ND
1,2-Dibromo-3-chloropropane	0.818	mg/kg	0.0019	ND
1,2-Dibromoethane	0.818	mg/kg	0.0019	ND
1,2-Dichlorobenzene	0.818	mg/kg	0.0019	ND
1,2-Dichloroethane	0.818	mg/kg	0.0019	ND
1,2-Dichloropropane	0.818	mg/kg	0.0019	ND
1,3-Dichlorobenzene	0.818	mg/kg	0.0019	ND
1,4-Dichlorobenzene	0.818	mg/kg	0.0019	ND
1,4-Dioxane	0.818	mg/kg	0.0093	ND
2-Butanone	0.818	mg/kg	0.0019	ND
2-Hexanone	0.818	mg/kg	0.0019	ND
4-Methyl-2-pentanone	0.818	mg/kg	0.0019	ND
Acetone	0.818	mg/kg	0.0093	ND
Benzene	0.818	mg/kg	0.00093	ND
Bromochloromethane	0.818	mg/kg	0.0019	ND
Bromodichloromethane	0.818	mg/kg	0.0019	ND
Bromoform	0.818	mg/kg	0.0019	ND
Bromomethane	0.818	mg/kg	0.0019	ND
Carbon disulfide	0.818	mg/kg	0.0019	ND
Carbon tetrachloride	0.818	mg/kg	0.0019	ND
Chlorobenzene	0.818	mg/kg	0.0019	ND
Chloroethane	0.818	mg/kg	0.0019	ND
Chloroform	0.818	mg/kg	0.0019	ND
Chloromethane	0.818	mg/kg	0.0019	ND
cis-1,2-Dichloroethene	0.818	mg/kg	0.0019	ND
cis-1,3-Dichloropropene	0.818	mg/kg	0.0019	ND
Cyclohexane	0.818	mg/kg	0.0019	ND
Dibromochloromethane	0.818	mg/kg	0.0019	ND
Dichlorodifluoromethane	0.818	mg/kg	0.0019	ND
Ethylbenzene	0.818	mg/kg	0.00093	ND
Isopropylbenzene	0.818	mg/kg	0.00093	ND
m&p-Xylenes	0.818	mg/kg	0.0019	ND
Methyl Acetate	0.818	mg/kg	0.0019	ND
Methylcyclohexane	0.818	mg/kg	0.0019	ND
Methylene chloride	0.818	mg/kg	0.0019	ND
Methyl-t-butyl ether	0.818	mg/kg	0.00093	ND
o-Xylene	0.818	mg/kg	0.00093	ND
Styrene	0.818	mg/kg	0.0019	ND
Tetrachloroethene	0.818	mg/kg	0.0019	ND
Toluene	0.818	mg/kg	0.00093	ND
trans-1,2-Dichloroethene	0.818	mg/kg	0.0019	ND
trans-1,3-Dichloropropene	0.818	mg/kg	0.0019	ND
Trichloroethene	0.818	mg/kg	0.0019	ND

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Sample ID: 8961_SB-05-2**Lab#: AC72749-005****Matrix: Soil/Encore****Collection Date: 6/3/2013****Receipt Date: 6/4/2013**

Trichlorofluoromethane	0.818	mg/kg	0.0019	ND
Vinyl chloride	0.818	mg/kg	0.0019	ND
Xylenes (Total)	0.818	mg/kg	0.00093	ND

Sample ID: 8961_SB-01
 Lab#: AC72749-006
 Matrix: Soil

Collection Date: 6/3/2013
 Receipt Date: 6/4/2013

% Solids SM2540G

Analyte	DF	Units	RL	Result
% Solids	1	percent		82

Mercury (Soil/Waste) 7471A

Analyte	DF	Units	RL	Result
Mercury	1	mg/kg	0.10	0.16

Organochlorine Pesticides 8081

Analyte	DF	Units	RL	Result
Aldrin	1	mg/kg	0.0061	ND
Alpha-BHC	1	mg/kg	0.0012	ND
beta-BHC	1	mg/kg	0.0012	ND
Chlordane	1	mg/kg	0.030	0.078
delta-BHC	1	mg/kg	0.0061	ND
Dieldrin	1	mg/kg	0.0012	ND
Endosulfan I	1	mg/kg	0.0061	ND
Endosulfan II	1	mg/kg	0.0061	ND
Endosulfan Sulfate	1	mg/kg	0.0061	ND
Endrin	1	mg/kg	0.0061	ND
Endrin Aldehyde	1	mg/kg	0.0061	ND
Endrin Ketone	1	mg/kg	0.0061	ND
gamma-BHC	1	mg/kg	0.0012	ND
Heptachlor	1	mg/kg	0.0061	ND
Heptachlor Epoxide	1	mg/kg	0.0061	ND
Methoxychlor	1	mg/kg	0.0061	ND
p,p'-DDD	1	mg/kg	0.0030	ND
p,p'-DDE	1	mg/kg	0.0030	0.012
p,p'-DDT	1	mg/kg	0.0030	0.026
Toxaphene	1	mg/kg	0.030	ND

PCB 8082

Analyte	DF	Units	RL	Result
Aroclor (Total)	1	mg/kg	0.030	0.11
Aroclor-1016	1	mg/kg	0.030	ND
Aroclor-1221	1	mg/kg	0.030	ND
Aroclor-1232	1	mg/kg	0.030	ND
Aroclor-1242	1	mg/kg	0.030	ND
Aroclor-1248	1	mg/kg	0.030	ND
Aroclor-1254	1	mg/kg	0.030	0.11
Aroclor-1260	1	mg/kg	0.030	ND
Aroclor-1262	1	mg/kg	0.030	ND
Aroclor-1268	1	mg/kg	0.030	ND

Semivolatile Organics (no search) 8270

Analyte	DF	Units	RL	Result
1,1'-Biphenyl	1	mg/kg	0.041	ND
1,2,4,5-Tetrachlorobenzene	1	mg/kg	0.041	ND
2,3,4,6-Tetrachlorophenol	1	mg/kg	0.041	ND
2,4,5-Trichlorophenol	1	mg/kg	0.041	ND
2,4,6-Trichlorophenol	1	mg/kg	0.041	ND
2,4-Dichlorophenol	1	mg/kg	0.010	ND
2,4-Dimethylphenol	1	mg/kg	0.010	ND
2,4-Dinitrophenol	1	mg/kg	0.20	ND
2,4-Dinitrotoluene	1	mg/kg	0.041	ND
2,6-Dinitrotoluene	1	mg/kg	0.041	ND
2-Chloronaphthalene	1	mg/kg	0.041	ND

A4-100

Sample ID: 8961_SB-01
 Lab#: AC72749-006
 Matrix: Soil

Collection Date: 6/3/2013
 Receipt Date: 6/4/2013

2-Chlorophenol	1	mg/kg	0.041	ND
2-Methylnaphthalene	1	mg/kg	0.041	ND
2-Methylphenol	1	mg/kg	0.010	ND
2-Nitroaniline	1	mg/kg	0.041	ND
2-Nitrophenol	1	mg/kg	0.041	ND
3&4-Methylphenol	1	mg/kg	0.010	ND
3,3'-Dichlorobenzidine	1	mg/kg	0.041	ND
3-Nitroaniline	1	mg/kg	0.041	ND
4,6-Dinitro-2-methylphenol	1	mg/kg	0.041	ND
4-Bromophenyl-phenylether	1	mg/kg	0.041	ND
4-Chloro-3-methylphenol	1	mg/kg	0.041	ND
4-Chloroaniline	1	mg/kg	0.019	ND
4-Chlorophenyl-phenylether	1	mg/kg	0.041	ND
4-Nitroaniline	1	mg/kg	0.041	ND
4-Nitrophenol	1	mg/kg	0.041	ND
Acenaphthene	1	mg/kg	0.041	ND
Acenaphthylene	1	mg/kg	0.041	ND
Acetophenone	1	mg/kg	0.041	ND
Anthracene	1	mg/kg	0.041	0.070
Atrazine	1	mg/kg	0.041	ND
Benzaldehyde	1	mg/kg	0.041	ND
Benzo[a]anthracene	1	mg/kg	0.041	0.35
Benzo[a]pyrene	1	mg/kg	0.041	0.31
Benzo[b]fluoranthene	1	mg/kg	0.041	0.42
Benzo[g,h,i]perylene	1	mg/kg	0.041	0.26
Benzo[k]fluoranthene	1	mg/kg	0.041	0.13
bis(2-Chloroethoxy)methane	1	mg/kg	0.041	ND
bis(2-Chloroethyl)ether	1	mg/kg	0.010	ND
bis(2-Chloroisopropyl)ether	1	mg/kg	0.041	ND
bis(2-Ethylhexyl)phthalate	1	mg/kg	0.041	0.27
Butylbenzylphthalate	1	mg/kg	0.041	0.044
Caprolactam	1	mg/kg	0.041	ND
Carbazole	1	mg/kg	0.041	ND
Chrysene	1	mg/kg	0.041	0.33
Dibenzo[a,h]anthracene	1	mg/kg	0.041	0.072
Dibenzofuran	1	mg/kg	0.010	ND
Diethylphthalate	1	mg/kg	0.041	ND
Dimethylphthalate	1	mg/kg	0.041	ND
Di-n-butylphthalate	1	mg/kg	0.020	ND
Di-n-octylphthalate	1	mg/kg	0.041	ND
Fluoranthene	1	mg/kg	0.041	0.48
Fluorene	1	mg/kg	0.041	ND
Hexachlorobenzene	1	mg/kg	0.041	ND
Hexachlorobutadiene	1	mg/kg	0.041	ND
Hexachlorocyclopentadiene	1	mg/kg	0.041	ND
Hexachloroethane	1	mg/kg	0.041	ND
Indeno[1,2,3-cd]pyrene	1	mg/kg	0.041	0.23
Isophorone	1	mg/kg	0.041	ND
Naphthalene	1	mg/kg	0.010	ND
Nitrobenzene	1	mg/kg	0.041	ND
N-Nitroso-di-n-propylamine	1	mg/kg	0.010	ND
N-Nitrosodiphenylamine	1	mg/kg	0.041	ND
Pentachlorophenol	1	mg/kg	0.068	ND
Phenanthrene	1	mg/kg	0.041	0.24
Phenol	1	mg/kg	0.041	ND
Pyrene	1	mg/kg	0.041	0.56

A4- 101

Sample ID: 8961_SB-01
Lab#: AC72749-006
Matrix: Soil

Collection Date: 6/3/2013
Receipt Date: 6/4/2013

TAL Metals 6010

Analyte	DF	Units	RL	Result
Aluminum	1	mg/kg	240	8000
Antimony	1	mg/kg	2.4	ND
Arsenic	1	mg/kg	2.4	6.1
Barium	1	mg/kg	12	69
Beryllium	1	mg/kg	0.73	ND
Cadmium	1	mg/kg	0.73	ND
Calcium	1	mg/kg	1200	12000
Chromium	1	mg/kg	6.1	16
Cobalt	1	mg/kg	3.0	6.4
Copper	1	mg/kg	6.1	30
Iron	1	mg/kg	240	14000
Lead	1	mg/kg	6.1	73
Magnesium	1	mg/kg	610	5300
Manganese	1	mg/kg	12	250
Nickel	1	mg/kg	6.1	19
Potassium	1	mg/kg	610	1600
Selenium	1	mg/kg	2.2	ND
Silver	1	mg/kg	1.8	ND
Sodium	1	mg/kg	300	ND
Thallium	1	mg/kg	1.5	ND
Vanadium	1	mg/kg	12	22
Zinc	1	mg/kg	12	91

Sample ID: 8961_SB-02
 Lab#: AC72749-007
 Matrix: Soil

Collection Date: 6/3/2013
 Receipt Date: 6/4/2013

% Solids SM2540G

Analyte	DF	Units	RL	Result
% Solids	1	percent		84

Mercury (Soil/Waste) 7471A

Analyte	DF	Units	RL	Result
Mercury	1	mg/kg	0.099	ND

Organochlorine Pesticides 8081

Analyte	DF	Units	RL	Result
Aldrin	1	mg/kg	0.0060	ND
Alpha-BHC	1	mg/kg	0.0012	ND
beta-BHC	1	mg/kg	0.0012	ND
Chlordane	1	mg/kg	0.030	ND
delta-BHC	1	mg/kg	0.0060	ND
Dieldrin	1	mg/kg	0.0012	ND
Endosulfan I	1	mg/kg	0.0060	ND
Endosulfan II	1	mg/kg	0.0060	ND
Endosulfan Sulfate	1	mg/kg	0.0060	ND
Endrin	1	mg/kg	0.0060	ND
Endrin Aldehyde	1	mg/kg	0.0060	ND
Endrin Ketone	1	mg/kg	0.0060	ND
gamma-BHC	1	mg/kg	0.0012	ND
Heptachlor	1	mg/kg	0.0060	ND
Heptachlor Epoxide	1	mg/kg	0.0060	ND
Methoxychlor	1	mg/kg	0.0060	ND
p,p'-DDD	1	mg/kg	0.0030	ND
p,p'-DDE	1	mg/kg	0.0030	ND
p,p'-DDT	1	mg/kg	0.0030	ND
Toxaphene	1	mg/kg	0.030	ND

PCB 8082

Analyte	DF	Units	RL	Result
Aroclor (Total)	1	mg/kg	0.030	ND
Aroclor-1016	1	mg/kg	0.030	ND
Aroclor-1221	1	mg/kg	0.030	ND
Aroclor-1232	1	mg/kg	0.030	ND
Aroclor-1242	1	mg/kg	0.030	ND
Aroclor-1248	1	mg/kg	0.030	ND
Aroclor-1254	1	mg/kg	0.030	ND
Aroclor-1260	1	mg/kg	0.030	ND
Aroclor-1262	1	mg/kg	0.030	ND
Aroclor-1268	1	mg/kg	0.030	ND

Semivolatile Organics (no search) 8270

Analyte	DF	Units	RL	Result
1,1'-Biphenyl	1	mg/kg	0.040	ND
1,2,4,5-Tetrachlorobenzene	1	mg/kg	0.040	ND
2,3,4,6-Tetrachlorophenol	1	mg/kg	0.040	ND
2,4,5-Trichlorophenol	1	mg/kg	0.040	ND
2,4,6-Trichlorophenol	1	mg/kg	0.040	ND
2,4-Dichlorophenol	1	mg/kg	0.0099	ND
2,4-Dimethylphenol	1	mg/kg	0.0099	ND
2,4-Dinitrophenol	1	mg/kg	0.20	ND
2,4-Dinitrotoluene	1	mg/kg	0.040	ND
2,6-Dinitrotoluene	1	mg/kg	0.040	ND
2-Chloronaphthalene	1	mg/kg	0.040	ND

A4-103

Sample ID: 8961_SB-02
 Lab#: AC72749-007
 Matrix: Soil

Collection Date: 6/3/2013
 Receipt Date: 6/4/2013

2-Chlorophenol	1	mg/kg	0.040	ND
2-Methylnaphthalene	1	mg/kg	0.040	ND
2-Methylphenol	1	mg/kg	0.0099	ND
2-Nitroaniline	1	mg/kg	0.040	ND
2-Nitrophenol	1	mg/kg	0.040	ND
3&4-Methylphenol	1	mg/kg	0.0099	ND
3,3'-Dichlorobenzidine	1	mg/kg	0.040	ND
3-Nitroaniline	1	mg/kg	0.040	ND
4,6-Dinitro-2-methylphenol	1	mg/kg	0.040	ND
4-Bromophenyl-phenylether	1	mg/kg	0.040	ND
4-Chloro-3-methylphenol	1	mg/kg	0.040	ND
4-Chloroaniline	1	mg/kg	0.019	ND
4-Chlorophenyl-phenylether	1	mg/kg	0.040	ND
4-Nitroaniline	1	mg/kg	0.040	ND
4-Nitrophenol	1	mg/kg	0.040	ND
Acenaphthene	1	mg/kg	0.040	ND
Acenaphthylene	1	mg/kg	0.040	ND
Acetophenone	1	mg/kg	0.040	ND
Anthracene	1	mg/kg	0.040	ND
Atrazine	1	mg/kg	0.040	ND
Benzaldehyde	1	mg/kg	0.040	ND
Benzo[a]anthracene	1	mg/kg	0.040	ND
Benzo[a]pyrene	1	mg/kg	0.040	ND
Benzo[b]fluoranthene	1	mg/kg	0.040	ND
Benzo[g,h,i]perylene	1	mg/kg	0.040	ND
Benzo[k]fluoranthene	1	mg/kg	0.040	ND
bis(2-Chloroethoxy)methane	1	mg/kg	0.040	ND
bis(2-Chloroethyl)ether	1	mg/kg	0.0099	ND
bis(2-Chloroisopropyl)ether	1	mg/kg	0.040	ND
bis(2-Ethylhexyl)phthalate	1	mg/kg	0.040	ND
Butylbenzylphthalate	1	mg/kg	0.040	ND
Caprolactam	1	mg/kg	0.040	ND
Carbazole	1	mg/kg	0.040	ND
Chrysene	1	mg/kg	0.040	ND
Dibenzo[a,h]anthracene	1	mg/kg	0.040	ND
Dibenzofuran	1	mg/kg	0.0099	ND
Diethylphthalate	1	mg/kg	0.040	ND
Dimethylphthalate	1	mg/kg	0.040	ND
Di-n-butylphthalate	1	mg/kg	0.020	ND
Di-n-octylphthalate	1	mg/kg	0.040	ND
Fluoranthene	1	mg/kg	0.040	ND
Fluorene	1	mg/kg	0.040	ND
Hexachlorobenzene	1	mg/kg	0.040	ND
Hexachlorobutadiene	1	mg/kg	0.040	ND
Hexachlorocyclopentadiene	1	mg/kg	0.040	ND
Hexachloroethane	1	mg/kg	0.040	ND
Indeno[1,2,3-cd]pyrene	1	mg/kg	0.040	ND
Isophorone	1	mg/kg	0.040	ND
Naphthalene	1	mg/kg	0.0099	ND
Nitrobenzene	1	mg/kg	0.040	ND
N-Nitroso-di-n-propylamine	1	mg/kg	0.0099	ND
N-Nitrosodiphenylamine	1	mg/kg	0.040	ND
Pentachlorophenol	1	mg/kg	0.067	ND
Phenanthrene	1	mg/kg	0.040	ND
Phenol	1	mg/kg	0.040	ND
Pyrene	1	mg/kg	0.040	ND

Sample ID: 8961_SB-02
Lab#: AC72749-007
Matrix: Soil

Collection Date: 6/3/2013
Receipt Date: 6/4/2013

TAL Metals 6010

Analyte	DF	Units	RL	Result
Aluminum	1	mg/kg	240	16000
Antimony	1	mg/kg	2.4	ND
Arsenic	1	mg/kg	2.4	4.5
Barium	1	mg/kg	12	80
Beryllium	1	mg/kg	0.71	ND
Cadmium	1	mg/kg	0.71	ND
Calcium	1	mg/kg	1200	1700
Chromium	1	mg/kg	6.0	21
Cobalt	1	mg/kg	3.0	10
Copper	1	mg/kg	6.0	35
Iron	1	mg/kg	240	24000
Lead	1	mg/kg	6.0	26
Magnesium	1	mg/kg	600	4900
Manganese	1	mg/kg	12	380
Nickel	1	mg/kg	6.0	20
Potassium	1	mg/kg	600	2400
Selenium	1	mg/kg	2.1	ND
Silver	1	mg/kg	1.8	ND
Sodium	1	mg/kg	300	ND
Thallium	1	mg/kg	1.4	ND
Vanadium	1	mg/kg	12	32
Zinc	1	mg/kg	12	63

Sample ID: 8961_SB-03
 Lab#: AC72749-008
 Matrix: Soil

Collection Date: 6/3/2013
 Receipt Date: 6/4/2013

% Solids SM2540G

Analyte	DF	Units	RL	Result
% Solids	1	percent		84

Mercury (Soil/Waste) 7471A

Analyte	DF	Units	RL	Result
Mercury	1	mg/kg	0.099	0.20

Organochlorine Pesticides 8081

Analyte	DF	Units	RL	Result
Aldrin	1	mg/kg	0.0060	ND
Alpha-BHC	1	mg/kg	0.0012	ND
beta-BHC	1	mg/kg	0.0012	ND
Chlordane	1	mg/kg	0.030	ND
delta-BHC	1	mg/kg	0.0060	ND
Dieldrin	1	mg/kg	0.0012	ND
Endosulfan I	1	mg/kg	0.0060	ND
Endosulfan II	1	mg/kg	0.0060	ND
Endosulfan Sulfate	1	mg/kg	0.0060	ND
Endrin	1	mg/kg	0.0060	ND
Endrin Aldehyde	1	mg/kg	0.0060	ND
Endrin Ketone	1	mg/kg	0.0060	ND
gamma-BHC	1	mg/kg	0.0012	ND
Heptachlor	1	mg/kg	0.0060	ND
Heptachlor Epoxide	1	mg/kg	0.0060	ND
Methoxychlor	1	mg/kg	0.0060	ND
p,p'-DDD	1	mg/kg	0.0030	ND
p,p'-DDE	1	mg/kg	0.0030	0.013
p,p'-DDT	1	mg/kg	0.0030	0.037
Toxaphene	1	mg/kg	0.030	ND

PCB 8082

Analyte	DF	Units	RL	Result
Aroclor (Total)	1	mg/kg	0.030	ND
Aroclor-1016	1	mg/kg	0.030	ND
Aroclor-1221	1	mg/kg	0.030	ND
Aroclor-1232	1	mg/kg	0.030	ND
Aroclor-1242	1	mg/kg	0.030	ND
Aroclor-1248	1	mg/kg	0.030	ND
Aroclor-1254	1	mg/kg	0.030	ND
Aroclor-1260	1	mg/kg	0.030	ND
Aroclor-1262	1	mg/kg	0.030	ND
Aroclor-1268	1	mg/kg	0.030	ND

Semivolatile Organics (no search) 8270

Analyte	DF	Units	RL	Result
1,1'-Biphenyl	1	mg/kg	0.040	ND
1,2,4,5-Tetrachlorobenzene	1	mg/kg	0.040	ND
2,3,4,6-Tetrachlorophenol	1	mg/kg	0.040	ND
2,4,5-Trichlorophenol	1	mg/kg	0.040	ND
2,4,6-Trichlorophenol	1	mg/kg	0.040	ND
2,4-Dichlorophenol	1	mg/kg	0.0099	ND
2,4-Dimethylphenol	1	mg/kg	0.0099	ND
2,4-Dinitrophenol	1	mg/kg	0.20	ND
2,4-Dinitrotoluene	1	mg/kg	0.040	ND
2,6-Dinitrotoluene	1	mg/kg	0.040	ND
2-Chloronaphthalene	1	mg/kg	0.040	ND

A4-106

Sample ID: 8961_SB-03
 Lab#: AC72749-008
 Matrix: Soil

Collection Date: 6/3/2013
 Receipt Date: 6/4/2013

2-Chlorophenol	1	mg/kg	0.040	ND
2-Methylnaphthalene	1	mg/kg	0.040	ND
2-Methylphenol	1	mg/kg	0.0099	ND
2-Nitroaniline	1	mg/kg	0.040	ND
2-Nitrophenol	1	mg/kg	0.040	ND
3,4-Methylphenol	1	mg/kg	0.0099	ND
3,3'-Dichlorobenzidine	1	mg/kg	0.040	ND
3-Nitroaniline	1	mg/kg	0.040	ND
4,6-Dinitro-2-methylphenol	1	mg/kg	0.040	ND
4-Bromophenyl-phenylether	1	mg/kg	0.040	ND
4-Chloro-3-methylphenol	1	mg/kg	0.040	ND
4-Chloroaniline	1	mg/kg	0.019	ND
4-Chlorophenyl-phenylether	1	mg/kg	0.040	ND
4-Nitroaniline	1	mg/kg	0.040	ND
4-Nitrophenol	1	mg/kg	0.040	ND
Acenaphthene	1	mg/kg	0.040	ND
Acenaphthylene	1	mg/kg	0.040	ND
Acetophenone	1	mg/kg	0.040	ND
Anthracene	1	mg/kg	0.040	ND
Atrazine	1	mg/kg	0.040	ND
Benzaldehyde	1	mg/kg	0.040	ND
Benzo[a]anthracene	1	mg/kg	0.040	0.21
Benzo[a]pyrene	1	mg/kg	0.040	0.17
Benzo[b]fluoranthene	1	mg/kg	0.040	0.25
Benzo[g,h,i]perylene	1	mg/kg	0.040	0.15
Benzo[k]fluoranthene	1	mg/kg	0.040	0.079
bis(2-Chloroethoxy)methane	1	mg/kg	0.040	ND
bis(2-Chloroethyl)ether	1	mg/kg	0.0099	ND
bis(2-Chloroisopropyl)ether	1	mg/kg	0.040	ND
bis(2-Ethylhexyl)phthalate	1	mg/kg	0.040	0.24
Butylbenzylphthalate	1	mg/kg	0.040	ND
Caprolactam	1	mg/kg	0.040	ND
Carbazole	1	mg/kg	0.040	ND
Chrysene	1	mg/kg	0.040	0.18
Dibenzo[a,h]anthracene	1	mg/kg	0.040	ND
Dibenzofuran	1	mg/kg	0.0099	ND
Diethylphthalate	1	mg/kg	0.040	ND
Dimethylphthalate	1	mg/kg	0.040	ND
Di-n-butylphthalate	1	mg/kg	0.020	ND
Di-n-octylphthalate	1	mg/kg	0.040	ND
Fluoranthene	1	mg/kg	0.040	0.27
Fluorene	1	mg/kg	0.040	ND
Hexachlorobenzene	1	mg/kg	0.040	ND
Hexachlorobutadiene	1	mg/kg	0.040	ND
Hexachlorocyclopentadiene	1	mg/kg	0.040	ND
Hexachloroethane	1	mg/kg	0.040	ND
Indeno[1,2,3-cd]pyrene	1	mg/kg	0.040	0.12
Isophorone	1	mg/kg	0.040	ND
Naphthalene	1	mg/kg	0.0099	ND
Nitrobenzene	1	mg/kg	0.040	ND
N-Nitroso-di-n-propylamine	1	mg/kg	0.0099	ND
N-Nitrosodiphenylamine	1	mg/kg	0.040	ND
Pentachlorophenol	1	mg/kg	0.067	ND
Phenanthrene	1	mg/kg	0.040	0.14
Phenol	1	mg/kg	0.040	ND
Pyrene	1	mg/kg	0.040	0.29

Sample ID: 8961_SB-03
Lab#: AC72749-008
Matrix: Soil

Collection Date: 6/3/2013
Receipt Date: 6/4/2013

TAL Metals 6010

Analyte	DF	Units	RL	Result
Aluminum	1	mg/kg	240	10000
Antimony	1	mg/kg	2.4	ND
Arsenic	1	mg/kg	2.4	5.8
Barium	1	mg/kg	12	120
Beryllium	1	mg/kg	0.71	ND
Cadmium	1	mg/kg	0.71	ND
Calcium	1	mg/kg	1200	18000
Chromium	1	mg/kg	8.0	18
Cobalt	1	mg/kg	3.0	7.8
Copper	1	mg/kg	8.0	63
Iron	1	mg/kg	240	17000
Lead	1	mg/kg	6.0	68
Magnesium	1	mg/kg	600	3900
Manganese	1	mg/kg	12	250
Nickel	1	mg/kg	6.0	21
Potassium	1	mg/kg	600	2200
Selenium	1	mg/kg	2.1	ND
Silver	1	mg/kg	1.8	ND
Sodium	1	mg/kg	300	ND
Thallium	1	mg/kg	1.4	ND
Vanadium	1	mg/kg	12	27
Zinc	1	mg/kg	12	110

Sample ID: 8961_SB-04
 Lab#: AC72749-009
 Matrix: Soil

Collection Date: 6/3/2013
 Receipt Date: 6/4/2013

% Solids SM2540G

Analyte	DF	Units	RL	Result
% Solids	1	percent		83

Mercury (Soil/Waste) 7471A

Analyte	DF	Units	RL	Result
Mercury	1	mg/kg	0.10	0.58

Organochlorine Pesticides 8081

Analyte	DF	Units	RL	Result
Aldrin	1	mg/kg	0.0060	ND
Alpha-BHC	1	mg/kg	0.0012	ND
beta-BHC	1	mg/kg	0.0012	ND
Chlordane	1	mg/kg	0.030	0.14
delta-BHC	1	mg/kg	0.0080	ND
Dieldrin	1	mg/kg	0.0012	0.11
Endosulfan I	1	mg/kg	0.0060	ND
Endosulfan II	1	mg/kg	0.0060	ND
Endosulfan Sulfate	1	mg/kg	0.0060	ND
Endrin	1	mg/kg	0.0060	ND
Endrin Aldehyde	1	mg/kg	0.0060	ND
Endrin Ketone	1	mg/kg	0.0060	ND
gamma-BHC	1	mg/kg	0.0012	ND
Heptachlor	1	mg/kg	0.0060	ND
Heptachlor Epoxide	1	mg/kg	0.0060	ND
Methoxychlor	1	mg/kg	0.0060	ND
p,p'-DDD	1	mg/kg	0.0030	0.0093
p,p'-DDE	1	mg/kg	0.0030	0.070
p,p'-DDT	1	mg/kg	0.0030	0.070
Toxaphene	1	mg/kg	0.030	ND

PCB 8082

Analyte	DF	Units	RL	Result
Aroclor (Total)	1	mg/kg	0.030	ND
Aroclor-1016	1	mg/kg	0.030	ND
Aroclor-1221	1	mg/kg	0.030	ND
Aroclor-1232	1	mg/kg	0.030	ND
Aroclor-1242	1	mg/kg	0.030	ND
Aroclor-1248	1	mg/kg	0.030	ND
Aroclor-1254	1	mg/kg	0.030	ND
Aroclor-1260	1	mg/kg	0.030	ND
Aroclor-1262	1	mg/kg	0.030	ND
Aroclor-1268	1	mg/kg	0.030	ND

Semivolatile Organics (no search) 8270

Analyte	DF	Units	RL	Result
1,1'-Biphenyl	1	mg/kg	0.040	ND
1,2,4,5-Tetrachlorobenzene	1	mg/kg	0.040	ND
2,3,4,6-Tetrachlorophenol	1	mg/kg	0.040	ND
2,4,5-Trichlorophenol	1	mg/kg	0.040	ND
2,4,6-Trichlorophenol	1	mg/kg	0.040	ND
2,4-Dichlorophenol	1	mg/kg	0.010	ND
2,4-Dimethylphenol	1	mg/kg	0.010	ND
2,4-Dinitrophenol	1	mg/kg	0.20	ND
2,4-Dinitrotoluene	1	mg/kg	0.040	ND
2,6-Dinitrotoluene	1	mg/kg	0.040	ND
2-Chloronaphthalene	1	mg/kg	0.040	ND

A4-109

Sample ID: 8961_SB-04
 Lab#: AC72749-009
 Matrix: Soil

Collection Date: 6/3/2013
 Receipt Date: 6/4/2013

2-Chlorophenol	1	mg/kg	0.040	ND
2-Methylnaphthalene	1	mg/kg	0.040	ND
2-Methylphenol	1	mg/kg	0.010	ND
2-Nitroaniline	1	mg/kg	0.040	ND
2-Nitrophenol	1	mg/kg	0.040	ND
3&4-Methylphenol	1	mg/kg	0.010	ND
3,3'-Dichlorobenzidine	1	mg/kg	0.040	ND
3-Nitroaniline	1	mg/kg	0.040	ND
4,6-Dinitro-2-methylphenol	1	mg/kg	0.040	ND
4-Bromophenyl-phenylether	1	mg/kg	0.040	ND
4-Chloro-3-methylphenol	1	mg/kg	0.040	ND
4-Chloroaniline	1	mg/kg	0.019	ND
4-Chlorophenyl-phenylether	1	mg/kg	0.040	ND
4-Nitroaniline	1	mg/kg	0.040	ND
4-Nitrophenol	1	mg/kg	0.040	ND
Acenaphthene	1	mg/kg	0.040	ND
Acenaphthylene	1	mg/kg	0.040	ND
Acetophenone	1	mg/kg	0.040	ND
Anthracene	1	mg/kg	0.040	ND
Atrazine	1	mg/kg	0.040	ND
Benzaldehyde	1	mg/kg	0.040	ND
Benzo[a]anthracene	1	mg/kg	0.040	0.19
Benzo[a]pyrene	1	mg/kg	0.040	0.17
Benzo[b]fluoranthene	1	mg/kg	0.040	0.24
Benzo[g,h,i]perylene	1	mg/kg	0.040	0.16
Benzo[k]fluoranthene	1	mg/kg	0.040	0.076
bis(2-Chloroethoxy)methane	1	mg/kg	0.040	ND
bis(2-Chloroethyl)ether	1	mg/kg	0.010	ND
bis(2-Chloroisopropyl)ether	1	mg/kg	0.040	ND
bis(2-Ethylhexyl)phthalate	1	mg/kg	0.040	0.66
Butylbenzylphthalate	1	mg/kg	0.040	ND
Caprolactam	1	mg/kg	0.040	ND
Carbazole	1	mg/kg	0.040	ND
Chrysene	1	mg/kg	0.040	0.18
Dibenzo[a,h]anthracene	1	mg/kg	0.040	ND
Dibenzofuran	1	mg/kg	0.010	ND
Diethylphthalate	1	mg/kg	0.040	ND
Dimethylphthalate	1	mg/kg	0.040	ND
Di-n-butylphthalate	1	mg/kg	0.020	0.050
Di-n-octylphthalate	1	mg/kg	0.040	ND
Fluoranthene	1	mg/kg	0.040	0.22
Fluorene	1	mg/kg	0.040	ND
Hexachlorobenzene	1	mg/kg	0.040	ND
Hexachlorobutadiene	1	mg/kg	0.040	ND
Hexachlorocyclopentadiene	1	mg/kg	0.040	ND
Hexachloroethane	1	mg/kg	0.040	ND
Indeno[1,2,3-cd]pyrene	1	mg/kg	0.040	0.13
Isophorone	1	mg/kg	0.040	ND
Naphthalene	1	mg/kg	0.010	ND
Nitrobenzene	1	mg/kg	0.040	ND
N-Nitroso-di-n-propylamine	1	mg/kg	0.010	ND
N-Nitrosodiphenylamine	1	mg/kg	0.040	ND
Pentachlorophenol	1	mg/kg	0.068	ND
Phenanthrene	1	mg/kg	0.040	0.082
Phenol	1	mg/kg	0.040	ND
Pyrene	1	mg/kg	0.040	0.25

Sample ID: 8961_SB-04
Lab#: AC72749-009
Matrix: Soil

Collection Date: 6/3/2013
Receipt Date: 6/4/2013

TAL Metals 6010

Analyte	DF	Units	RL	Result
Aluminum	1	mg/kg	240	12000
Antimony	1	mg/kg	2.4	3.3
Arsenic	1	mg/kg	2.4	17
Barium	1	mg/kg	12	650
Beryllium	1	mg/kg	0.72	ND
Cadmium	1	mg/kg	0.72	0.73
Calcium	1	mg/kg	1200	5800
Chromium	1	mg/kg	6.0	23
Cobalt	1	mg/kg	3.0	6.9
Copper	1	mg/kg	6.0	81
Iron	1	mg/kg	240	18000
Lead	1	mg/kg	6.0	260
Magnesium	1	mg/kg	600	2200
Manganese	1	mg/kg	12	270
Nickel	1	mg/kg	6.0	16
Potassium	1	mg/kg	600	1000
Selenium	1	mg/kg	2.2	ND
Silver	1	mg/kg	1.8	ND
Sodium	1	mg/kg	300	380
Thallium	1	mg/kg	1.4	ND
Vanadium	1	mg/kg	12	28
Zinc	1	mg/kg	12	430

Sample ID: 8961_SB-05
 Lab#: AC72749-010
 Matrix: Soil

Collection Date: 6/3/2013
 Receipt Date: 6/4/2013

% Solids SM2540G

Analyte	DF	Units	RL	Result
% Solids	1	percent		86

Mercury (Soil/Waste) 7471A

Analyte	DF	Units	RL	Result
Mercury	1	mg/kg	0.097	0.18

Organochlorine Pesticides 8081

Analyte	DF	Units	RL	Result
Aldrin	1	mg/kg	0.0058	ND
Alpha-BHC	1	mg/kg	0.0012	ND
beta-BHC	1	mg/kg	0.0012	ND
Chlordane	1	mg/kg	0.029	0.096
delta-BHC	1	mg/kg	0.0058	ND
Dieldrin	1	mg/kg	0.0012	0.0048d
Endosulfan I	1	mg/kg	0.0058	ND
Endosulfan II	1	mg/kg	0.0058	ND
Endosulfan Sulfate	1	mg/kg	0.0058	ND
Endrin	1	mg/kg	0.0058	ND
Endrin Aldehyde	1	mg/kg	0.0058	ND
Endrin Ketone	1	mg/kg	0.0058	ND
gamma-BHC	1	mg/kg	0.0012	ND
Heptachlor	1	mg/kg	0.0058	ND
Heptachlor Epoxide	1	mg/kg	0.0058	ND
Methoxychlor	1	mg/kg	0.0058	ND
p,p'-DDD	1	mg/kg	0.0029	ND
p,p'-DDE	1	mg/kg	0.0029	0.019
p,p'-DDT	1	mg/kg	0.0029	0.023
Toxaphene	1	mg/kg	0.029	ND

PCB 8082

Analyte	DF	Units	RL	Result
Aroclor (Total)	1	mg/kg	0.029	ND
Aroclor-1016	1	mg/kg	0.029	ND
Aroclor-1221	1	mg/kg	0.029	ND
Aroclor-1232	1	mg/kg	0.029	ND
Aroclor-1242	1	mg/kg	0.029	ND
Aroclor-1248	1	mg/kg	0.029	ND
Aroclor-1254	1	mg/kg	0.029	ND
Aroclor-1260	1	mg/kg	0.029	ND
Aroclor-1262	1	mg/kg	0.029	ND
Aroclor-1268	1	mg/kg	0.029	ND

Semivolatile Organics (no search) 8270

Analyte	DF	Units	RL	Result
1,1'-Biphenyl	1	mg/kg	0.039	ND
1,2,4,5-Tetrachlorobenzene	1	mg/kg	0.039	ND
2,3,4,6-Tetrachlorophenol	1	mg/kg	0.039	ND
2,4,5-Trichlorophenol	1	mg/kg	0.039	ND
2,4,6-Trichlorophenol	1	mg/kg	0.039	ND
2,4-Dichlorophenol	1	mg/kg	0.0097	ND
2,4-Dimethylphenol	1	mg/kg	0.0097	ND
2,4-Dinitrophenol	1	mg/kg	0.19	ND
2,4-Dinitrotoluene	1	mg/kg	0.039	ND
2,6-Dinitrotoluene	1	mg/kg	0.039	ND
2-Chloronaphthalene	1	mg/kg	0.039	ND

A4-112

Sample ID: 8961_SB-05

Lab#: AC72749-010

Matrix: Soil

Collection Date: 6/3/2013

Receipt Date: 6/4/2013

2-Chlorophenol	1	mg/kg	0.039	ND
2-Methylnaphthalene	1	mg/kg	0.039	ND
2-Methylphenol	1	mg/kg	0.0097	ND
2-Nitroaniline	1	mg/kg	0.039	ND
2-Nitrophenol	1	mg/kg	0.039	ND
3&4-Methylphenol	1	mg/kg	0.0097	ND
3,3'-Dichlorobenzidine	1	mg/kg	0.039	ND
3-Nitroaniline	1	mg/kg	0.039	ND
4,6-Dinitro-2-methylphenol	1	mg/kg	0.039	ND
4-Bromophenyl-phenylether	1	mg/kg	0.039	ND
4-Chloro-3-methylphenol	1	mg/kg	0.039	ND
4-Chloroaniline	1	mg/kg	0.018	ND
4-Chlorophenyl-phenylether	1	mg/kg	0.039	ND
4-Nitroaniline	1	mg/kg	0.039	ND
4-Nitrophenol	1	mg/kg	0.039	ND
Acenaphthene	1	mg/kg	0.039	ND
Acenaphthylene	1	mg/kg	0.039	ND
Acetophenone	1	mg/kg	0.039	ND
Anthracene	1	mg/kg	0.039	ND
Atrazine	1	mg/kg	0.039	ND
Benzaldehyde	1	mg/kg	0.039	ND
Benzo[a]anthracene	1	mg/kg	0.039	0.13
Benzo[a]pyrene	1	mg/kg	0.039	0.11
Benzo[b]fluoranthene	1	mg/kg	0.039	0.17
Benzo[g,h,i]perylene	1	mg/kg	0.039	0.10
Benzo[k]fluoranthene	1	mg/kg	0.039	0.052
bis(2-Chloroethoxy)methane	1	mg/kg	0.039	ND
bis(2-Chloroethyl)ether	1	mg/kg	0.0097	ND
bis(2-Chloroisopropyl)ether	1	mg/kg	0.039	ND
bis(2-Ethylhexyl)phthalate	1	mg/kg	0.039	0.15
Butylbenzylphthalate	1	mg/kg	0.039	ND
Caprolactam	1	mg/kg	0.039	ND
Carbazole	1	mg/kg	0.039	ND
Chrysene	1	mg/kg	0.039	0.13
Dibenzo[a,h]anthracene	1	mg/kg	0.039	ND
Dibenzofuran	1	mg/kg	0.0097	ND
Diethylphthalate	1	mg/kg	0.039	ND
Dimethylphthalate	1	mg/kg	0.039	ND
Di-n-butylphthalate	1	mg/kg	0.019	ND
Di-n-octylphthalate	1	mg/kg	0.039	ND
Fluoranthene	1	mg/kg	0.039	0.20
Fluorene	1	mg/kg	0.039	ND
Hexachlorobenzene	1	mg/kg	0.039	ND
Hexachlorobutadiene	1	mg/kg	0.039	ND
Hexachlorocyclopentadiene	1	mg/kg	0.039	ND
Hexachloroethane	1	mg/kg	0.039	ND
Indeno[1,2,3-cd]pyrene	1	mg/kg	0.039	0.078
Isophorone	1	mg/kg	0.039	ND
Naphthalene	1	mg/kg	0.0097	ND
Nitrobenzene	1	mg/kg	0.039	ND
N-Nitroso-di-n-propylamine	1	mg/kg	0.0097	ND
N-Nitrosodiphenylamine	1	mg/kg	0.039	ND
Pentachlorophenol	1	mg/kg	0.065	ND
Phenanthrene	1	mg/kg	0.039	0.12
Phenol	1	mg/kg	0.039	ND
Pyrene	1	mg/kg	0.039	0.21

Sample ID: 8961_SB-05
Lab#: AC72749-010
Matrix: Soil

Collection Date: 6/3/2013
Receipt Date: 6/4/2013

TAL Metals 6010

Analyte	DF	Units	RL	Result
Aluminum	1	mg/kg	230	6900
Antimony	1	mg/kg	2.3	ND
Arsenic	1	mg/kg	2.3	4.1
Barium	1	mg/kg	12	73
Beryllium	1	mg/kg	0.70	ND
Cadmium	1	mg/kg	0.70	ND
Calcium	1	mg/kg	1200	11000
Chromium	1	mg/kg	5.8	13
Cobalt	1	mg/kg	2.9	6.4
Copper	1	mg/kg	5.8	29
Iron	1	mg/kg	230	13000
Lead	1	mg/kg	5.8	110
Magnesium	1	mg/kg	580	2800
Manganese	1	mg/kg	12	200
Nickel	1	mg/kg	5.8	15
Potassium	1	mg/kg	580	1700
Selenium	1	mg/kg	2.1	ND
Silver	1	mg/kg	1.7	ND
Sodium	1	mg/kg	290	ND
Thallium	1	mg/kg	1.4	ND
Vanadium	1	mg/kg	12	21
Zinc	1	mg/kg	12	100

Form1

ORGANICS VOLATILE REPORT

Sample Number: DAILY BLANK

Client Id:

Data File: 2M01551.D

Analysis Date: 06/11/13 08:45

Date Rec/Extracted:

Column: DB-624 25M 0.200mm ID 1.12um film

Method: EPA 8260B

Matrix: Soil

Initial Vol: 5g

Final Vol: NA

Dilution: 1.00

Solids: 100

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
71-55-6	1,1,1-Trichloroethane	0.0020	U	56-23-5	Carbon Tetrachloride	0.0020	U
79-34-5	1,1,2,2-Tetrachloroethane	0.0020	U	108-90-7	Chlorobenzene	0.0020	U
76-13-1	1,1,2-Trichloro-1,2,2-trifluor	0.0020	U	75-00-3	Chloroethane	0.0020	U
79-00-5	1,1,2-Trichloroethane	0.0020	U	67-66-3	Chloroform	0.0020	U
75-34-3	1,1-Dichloroethane	0.0020	U	74-87-3	Chloromethane	0.0020	U
75-35-4	1,1-Dichloroethene	0.0020	U	156-59-2	cis-1,2-Dichloroethene	0.0020	U
87-61-6	1,2,3-Trichlorobenzene	0.0020	U	10061-01-5	cis-1,3-Dichloropropene	0.0020	U
120-82-1	1,2,4-Trichlorobenzene	0.0020	U	110-82-7	Cyclohexane	0.0020	U
96-12-8	1,2-Dibromo-3-Chloropropa	0.0020	U	124-48-1	Dibromochloromethane	0.0020	U
106-93-4	1,2-Dibromoethane	0.0020	U	75-71-8	Dichlorodifluoromethane	0.0020	U
95-50-1	1,2-Dichlorobenzene	0.0020	U	100-41-4	Ethylbenzene	0.0010	U
107-06-2	1,2-Dichloroethane	0.0020	U	98-82-8	Isopropylbenzene	0.0010	U
78-87-5	1,2-Dichloropropane	0.0020	U	136777612	m&p-Xylenes	0.0020	U
541-73-1	1,3-Dichlorobenzene	0.0020	U	79-20-9	Methyl Acetate	0.0020	U
106-46-7	1,4-Dichlorobenzene	0.0020	U	108-87-2	Methylcyclohexane	0.0020	U
123-91-1	1,4-Dioxane	0.10	U	75-09-2	Methylene Chloride	0.0020	U
78-93-3	2-Butanone	0.0020	U	1634-04-4	Methyl-t-butyl ether	0.0010	U
591-78-6	2-Hexanone	0.0020	U	95-47-6	o-Xylene	0.0010	U
08-10-1	4-Methyl-2-Pentanone	0.0020	U	100-42-5	Styrene	0.0020	U
67-64-1	Acetone	0.010	U	127-18-4	Tetrachloroethene	0.0020	U
71-43-2	Benzene	0.0010	U	108-88-3	Toluene	0.0010	U
74-97-5	Bromochloromethane	0.0020	U	156-60-5	trans-1,2-Dichloroethene	0.0020	U
75-27-4	Bromodichloromethane	0.0020	U	10061-02-6	trans-1,3-Dichloropropene	0.0020	U
75-25-2	Bromoform	0.0020	U	79-01-6	Trichloroethene	0.0020	U
74-83-9	Bromomethane	0.0020	U	75-69-4	Trichlorofluoromethane	0.0020	U
75-15-0	Carbon Disulfide	0.0020	U	75-01-4	Vinyl Chloride	0.0020	U

Worksheet #: 266492

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

Indicates the analyte was found in the blank as well as in the sample.

Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used

Form1

ORGANICS VOLATILE REPORT

Sample Number: AC72749-001

Client Id: 8961_SB-01_2

Data File: 2M01558.D

Analysis Date: 06/11/13 10:38

Date Rec/Extracted: 06/04/13-NA

Column: DB-624 25M 0.200mm ID 1.12um film

Method: EPA 8260B

Matrix: Soil

Initial Vol: 6g

Final Vol: NA

Dilution: 0.833

Solids: 83

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
71-55-6	1,1,1-Trichloroethane	0.0020	U	56-23-5	Carbon Tetrachloride	0.0020	U
79-34-5	1,1,2,2-Tetrachloroethane	0.0020	U	108-90-7	Chlorobenzene	0.0020	U
76-13-1	1,1,2-Trichloro-1,2,2-trifluor	0.0020	U	75-00-3	Chloroethane	0.0020	U
79-00-5	1,1,2-Trichloroethane	0.0020	U	67-66-3	Chloroform	0.0020	U
75-34-3	1,1-Dichloroethane	0.0020	U	74-87-3	Chloromethane	0.0020	U
75-35-4	1,1-Dichloroethene	0.0020	U	156-59-2	cis-1,2-Dichloroethene	0.0020	U
87-61-6	1,2,3-Trichlorobenzene	0.0020	U	10061-01-5	cis-1,3-Dichloropropene	0.0020	U
120-82-1	1,2,4-Trichlorobenzene	0.0020	U	110-82-7	Cyclohexane	0.0020	U
96-12-8	1,2-Dibromo-3-Chloropropa	0.0020	U	124-48-1	Dibromochloromethane	0.0020	U
106-93-4	1,2-Dibromoethane	0.0020	U	75-71-8	Dichlorodifluoromethane	0.0020	U
95-50-1	1,2-Dichlorobenzene	0.0020	U	100-41-4	Ethylbenzene	0.0010	U
107-06-2	1,2-Dichloroethane	0.0020	U	98-82-8	Isopropylbenzene	0.0010	U
78-87-5	1,2-Dichloropropane	0.0020	U	136777612	m&p-Xylenes	0.0020	U
541-73-1	1,3-Dichlorobenzene	0.0020	U	79-20-9	Methyl Acetate	0.0020	U
106-46-7	1,4-Dichlorobenzene	0.0020	U	108-87-2	Methylcyclohexane	0.0020	U
123-91-1	1,4-Dioxane	0.10	U	75-09-2	Methylene Chloride	0.0020	U
78-93-3	2-Butanone	0.0020	U	1634-04-4	Methyl-t-butyl ether	0.0010	U
591-78-6	2-Hexanone	0.0020	U	95-47-6	o-Xylene	0.0010	U
108-10-1	4-Methyl-2-Pentanone	0.0020	U	100-42-5	Styrene	0.0020	U
67-64-1	Acetone	0.010	U	127-18-4	Tetrachloroethene	0.0020	U
71-43-2	Benzene	0.0010	U	108-88-3	Toluene	0.0010	U
74-97-5	Bromochloromethane	0.0020	U	156-60-5	trans-1,2-Dichloroethene	0.0020	U
75-27-4	Bromodichloromethane	0.0020	U	10061-02-6	trans-1,3-Dichloropropene	0.0020	U
75-25-2	Bromoform	0.0020	U	79-01-6	Trichloroethene	0.0020	U
74-83-9	Bromomethane	0.0020	U	75-69-4	Trichlorofluoromethane	0.0020	U
75-15-0	Carbon Disulfide	0.0020	U	75-01-4	Vinyl Chloride	0.0020	U
1330-20-7	Xylenes (Total)	0.0010	U				

Worksheet #: 266492

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

B - Indicates the analyte was found in the blank as well as in the sample.

E - Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used

Form1

ORGANICS VOLATILE REPORT

Sample Number: AC72749-002

Client Id: 8961_SB-02_4.5

Data File: 2M01559.D

Analysis Date: 06/11/13 10:54

Date Rec/Extracted: 06/04/13-NA

Column: DB-624 25M 0.200mm ID 1.12um film

Method: EPA 8260B

Matrix: Soil

Initial Vol: 5.1g

Final Vol: NA

Dilution: 0.980

Solids: 87

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
71-55-6	1,1,1-Trichloroethane	0.0023	U	56-23-5	Carbon Tetrachloride	0.0023	U
79-34-5	1,1,2,2-Tetrachloroethane	0.0023	U	108-90-7	Chlorobenzene	0.0023	U
76-13-1	1,1,2-Trichloro-1,2,2-trifluor	0.0023	U	75-00-3	Chloroethane	0.0023	U
79-00-5	1,1,2-Trichloroethane	0.0023	U	67-66-3	Chloroform	0.0023	U
75-34-3	1,1-Dichloroethane	0.0023	U	74-87-3	Chloromethane	0.0023	U
75-35-4	1,1-Dichloroethene	0.0023	U	156-59-2	cis-1,2-Dichloroethene	0.0023	U
87-61-6	1,2,3-Trichlorobenzene	0.0023	U	10061-01-5	cis-1,3-Dichloropropene	0.0023	U
120-82-1	1,2,4-Trichlorobenzene	0.0023	U	110-82-7	Cyclohexane	0.0023	U
96-12-8	1,2-Dibromo-3-Chloropropa	0.0023	U	124-48-1	Dibromochloromethane	0.0023	U
106-93-4	1,2-Dibromoethane	0.0023	U	75-71-8	Dichlorodifluoromethane	0.0023	U
95-50-1	1,2-Dichlorobenzene	0.0023	U	100-41-4	Ethylbenzene	0.0011	U
107-06-2	1,2-Dichloroethane	0.0023	U	98-82-8	Isopropylbenzene	0.0011	U
78-87-5	1,2-Dichloropropane	0.0023	U	136777612	m&p-Xylenes	0.0023	U
541-73-1	1,3-Dichlorobenzene	0.0023	U	79-20-9	Methyl Acetate	0.0023	U
106-46-7	1,4-Dichlorobenzene	0.0023	U	108-87-2	Methylcyclohexane	0.0023	U
123-91-1	1,4-Dioxane	0.11	U	75-09-2	Methylene Chloride	0.0023	U
78-93-3	2-Butanone	0.0023	U	1634-04-4	Methyl-t-butyl ether	0.0011	U
591-78-6	2-Hexanone	0.0023	U	95-47-6	o-Xylene	0.0011	U
108-10-1	4-Methyl-2-Pentanone	0.0023	U	100-42-5	Styrene	0.0023	U
67-64-1	Acetone	0.011	U	127-18-4	Tetrachloroethene	0.0023	U
71-43-2	Benzene	0.0011	U	108-88-3	Toluene	0.0011	U
74-97-5	Bromochloromethane	0.0023	U	156-60-5	trans-1,2-Dichloroethene	0.0023	U
75-27-4	Bromodichloromethane	0.0023	U	10061-02-6	trans-1,3-Dichloropropene	0.0023	U
75-25-2	Bromoform	0.0023	U	79-01-6	Trichloroethene	0.0023	U
74-83-9	Bromomethane	0.0023	U	75-69-4	Trichlorofluoromethane	0.0023	U
75-15-0	Carbon Disulfide	0.0023	U	75-01-4	Vinyl Chloride	0.0023	U
1330-20-7	Xylenes (Total)	0.0011	U				

Worksheet #: 266492

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

R - Retention Time Out

J - Indicates the analyte was found in the blank as well as in the sample.

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

Indicates the analyte concentration exceeds the calibration range of the instrument.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration use a

Form1

ORGANICS VOLATILE REPORT

Sample Number: AC72749-003

Client Id: 8961_SB-03-3

Data File: 2M01560.D

Analysis Date: 06/11/13 11:10

Date Rec/Extracted: 06/04/13-NA

Column: DB-624 25M 0.200mm ID 1.12um film

Method: EPA 8260B

Matrix: Soil

Initial Vol: 5.4g

Final Vol: NA

Dilution: 0.926

Solids: 84

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
71-55-6	1,1,1-Trichloroethane	0.0022	U	56-23-5	Carbon Tetrachloride	0.0022	U
79-34-5	1,1,2,2-Tetrachloroethane	0.0022	U	108-90-7	Chlorobenzene	0.0022	U
76-13-1	1,1,2-Trichloro-1,2,2-trifluor	0.0022	U	75-00-3	Chloroethane	0.0022	U
79-00-5	1,1,2-Trichloroethane	0.0022	U	67-66-3	Chloroform	0.0022	U
75-34-3	1,1-Dichloroethane	0.0022	U	74-87-3	Chloromethane	0.0022	U
75-35-4	1,1-Dichloroethene	0.0022	U	156-59-2	cis-1,2-Dichloroethene	0.0022	U
87-61-6	1,2,3-Trichlorobenzene	0.0022	U	10061-01-5	cis-1,3-Dichloropropene	0.0022	U
120-82-1	1,2,4-Trichlorobenzene	0.0022	U	110-82-7	Cyclohexane	0.0022	U
96-12-8	1,2-Dibromo-3-Chloropropa	0.0022	U	124-48-1	Dibromochloromethane	0.0022	U
106-93-4	1,2-Dibromoethane	0.0022	U	75-71-8	Dichlorodifluoromethane	0.0022	U
95-50-1	1,2-Dichlorobenzene	0.0022	U	100-41-4	Ethylbenzene	0.0011	U
107-06-2	1,2-Dichloroethane	0.0022	U	98-82-8	Isopropylbenzene	0.0011	U
78-87-5	1,2-Dichloropropane	0.0022	U	136777612	m&p-Xylenes	0.0022	U
541-73-1	1,3-Dichlorobenzene	0.0022	U	79-20-9	Methyl Acetate	0.0022	U
106-46-7	1,4-Dichlorobenzene	0.0022	U	108-87-2	Methylcyclohexane	0.0022	U
123-91-1	1,4-Dioxane	0.11	U	75-09-2	Methylene Chloride	0.0022	U
78-93-3	2-Butanone	0.0022	U	1634-04-4	Methyl-t-butyl ether	0.0011	U
591-78-6	2-Hexanone	0.0022	U	95-47-6	o-Xylene	0.0011	U
108-10-1	4-Methyl-2-Pentanone	0.0022	U	100-42-5	Styrene	0.0022	U
67-64-1	Acetone	0.011	U	127-18-4	Tetrachloroethene	0.0022	U
71-43-2	Benzene	0.0011	U	108-88-3	Toluene	0.0011	U
74-97-5	Bromochloromethane	0.0022	U	156-60-5	trans-1,2-Dichloroethene	0.0022	U
75-27-4	Bromodichloromethane	0.0022	U	10061-02-6	trans-1,3-Dichloropropene	0.0022	U
75-25-2	Bromoform	0.0022	U	79-01-6	Trichloroethene	0.0022	U
74-83-9	Bromomethane	0.0022	U	75-69-4	Trichlorofluoromethane	0.0022	U
75-15-0	Carbon Disulfide	0.0022	U	75-01-4	Vinyl Chloride	0.0022	U
1330-20-7	Xylenes (Total)	0.0011	U				

Worksheet #: 266492

Total Target Concentration 0

ColumnID: (°) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

B - Indicates the analyte was found in the blank as well as in the sample.

E - Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used

Form1

ORGANICS VOLATILE REPORT

Sample Number: AC72749-004

Client Id: 8961_SB-04-2.5

Data File: 2M01561.D

Analysis Date: 06/11/13 11:26

Date Rec/Extracted: 06/04/13-NA

Column: DB-624 25M 0.200mm ID 1.12um film

Method: EPA 8260B

Matrix: Soil

Initial Vol: 5.83g

Final Vol: NA

Dilution: 0.858

Solids: 87

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
71-55-6	1,1,1-Trichloroethane	0.0020	U	56-23-5	Carbon Tetrachloride	0.0020	U
79-34-5	1,1,2,2-Tetrachloroethane	0.0020	U	108-90-7	Chlorobenzene	0.0020	U
76-13-1	1,1,2-Trichloro-1,2,2-trifluor	0.0020	U	75-00-3	Chloroethane	0.0020	U
79-00-5	1,1,2-Trichloroethane	0.0020	U	67-66-3	Chloroform	0.0020	U
75-34-3	1,1-Dichloroethane	0.0020	U	74-87-3	Chloromethane	0.0020	U
75-35-4	1,1-Dichloroethene	0.0020	U	156-59-2	cis-1,2-Dichloroethene	0.0020	U
87-61-6	1,2,3-Trichlorobenzene	0.0020	U	10061-01-5	cis-1,3-Dichloropropene	0.0020	U
120-82-1	1,2,4-Trichlorobenzene	0.0020	U	110-82-7	Cyclohexane	0.0020	U
96-12-8	1,2-Dibromo-3-Chloropropa	0.0020	U	124-48-1	Dibromochloromethane	0.0020	U
106-93-4	1,2-Dibromoethane	0.0020	U	75-71-8	Dichlorodifluoromethane	0.0020	U
95-50-1	1,2-Dichlorobenzene	0.0020	U	100-41-4	Ethylbenzene	0.00099	U
107-06-2	1,2-Dichloroethane	0.0020	U	98-82-8	Isopropylbenzene	0.00099	U
78-87-5	1,2-Dichloropropane	0.0020	U	136777612	m&p-Xylenes	0.0020	U
541-73-1	1,3-Dichlorobenzene	0.0020	U	79-20-9	Methyl Acetate	0.0020	U
106-46-7	1,4-Dichlorobenzene	0.0020	U	108-87-2	Methylcyclohexane	0.0020	U
123-91-1	1,4-Dioxane	0.099	U	75-09-2	Methylene Chloride	0.0020	U
78-93-3	2-Butanone	0.0020	U	1634-04-4	Methyl-t-butyl ether	0.00099	U
591-78-6	2-Hexanone	0.0020	U	95-47-6	o-Xylene	0.00099	U
108-10-1	4-Methyl-2-Pentanone	0.0020	U	100-42-5	Styrene	0.0020	U
67-64-1	Acetone	0.0099	U	127-18-4	Tetrachloroethene	0.0020	U
71-43-2	Benzene	0.00099	U	108-88-3	Toluene	0.00099	U
74-97-5	Bromochloromethane	0.0020	U	156-60-5	trans-1,2-Dichloroethene	0.0020	U
75-27-4	Bromodichloromethane	0.0020	U	10061-02-6	trans-1,3-Dichloropropene	0.0020	U
75-25-2	Bromoform	0.0020	U	79-01-6	Trichloroethene	0.0020	U
74-83-9	Bromomethane	0.0020	U	75-69-4	Trichlorofluoromethane	0.0020	U
75-15-0	Carbon Disulfide	0.0020	U	75-01-4	Vinyl Chloride	0.0020	U
1330-20-7	Xylenes (Total)	0.00099	U				

Worksheet #: 266492

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

Indicates the analyte was found in the blank as well as in the sample.

Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration uses

Form1

ORGANICS VOLATILE REPORT

Sample Number: AC72749-005

Client Id: 8961_SB-05-2

Data File: 2M01562.D

Analysis Date: 06/11/13 11:42

Date Rec/Extracted: 06/04/13-NA

Column: DB-624 25M 0.200mm ID 1.12um film

Method: EPA 8260B

Matrix: Soil

Initial Vol: 6.11g

Final Vol: NA

Dilution: 0.818

Solids: 88

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
71-55-6	1,1,1-Trichloroethane	0.0019	U	56-23-5	Carbon Tetrachloride	0.0019	U
79-34-5	1,1,2,2-Tetrachloroethane	0.0019	U	108-90-7	Chlorobenzene	0.0019	U
76-13-1	1,1,2-Trichloro-1,2,2-trifluor	0.0019	U	75-00-3	Chloroethane	0.0019	U
79-00-5	1,1,2-Trichloroethane	0.0019	U	67-66-3	Chloroform	0.0019	U
75-34-3	1,1-Dichloroethane	0.0019	U	74-87-3	Chloromethane	0.0019	U
75-35-4	1,1-Dichloroethene	0.0019	U	156-59-2	cis-1,2-Dichloroethene	0.0019	U
87-61-6	1,2,3-Trichlorobenzene	0.0019	U	10061-01-5	cis-1,3-Dichloropropene	0.0019	U
120-82-1	1,2,4-Trichlorobenzene	0.0019	U	110-82-7	Cyclohexane	0.0019	U
96-12-8	1,2-Dibromo-3-Chloropropa	0.0019	U	124-48-1	Dibromochloromethane	0.0019	U
106-93-4	1,2-Dibromoethane	0.0019	U	75-71-8	Dichlorodifluoromethane	0.0019	U
95-50-1	1,2-Dichlorobenzene	0.0019	U	100-41-4	Ethylbenzene	0.00093	U
107-06-2	1,2-Dichloroethane	0.0019	U	98-82-8	Isopropylbenzene	0.00093	U
78-87-5	1,2-Dichloropropane	0.0019	U	136777612	m&p-Xylenes	0.0019	U
541-73-1	1,3-Dichlorobenzene	0.0019	U	79-20-9	Methyl Acetate	0.0019	U
106-46-7	1,4-Dichlorobenzene	0.0019	U	108-87-2	Methylcyclohexane	0.0019	U
123-91-1	1,4-Dioxane	0.093	U	75-09-2	Methylene Chloride	0.0019	U
78-93-3	2-Butanone	0.0019	U	1634-04-4	Methyl-t-butyl ether	0.00093	U
591-78-6	2-Hexanone	0.0019	U	95-47-6	o-Xylene	0.00093	U
108-10-1	4-Methyl-2-Pentanone	0.0019	U	100-42-5	Styrene	0.0019	U
67-64-1	Acetone	0.0093	U	127-18-4	Tetrachloroethene	0.0019	U
71-43-2	Benzene	0.00093	U	108-88-3	Toluene	0.00093	U
74-97-5	Bromochloromethane	0.0019	U	156-60-5	trans-1,2-Dichloroethene	0.0019	U
75-27-4	Bromodichloromethane	0.0019	U	10061-02-6	trans-1,3-Dichloropropene	0.0019	U
75-25-2	Bromoform	0.0019	U	79-01-6	Trichloroethene	0.0019	U
74-83-9	Bromomethane	0.0019	U	75-69-4	Trichlorofluoromethane	0.0019	U
75-15-0	Carbon Disulfide	0.0019	U	75-01-4	Vinyl Chloride	0.0019	U
1330-20-7	Xylenes (Total)	0.00093	U				

Worksheet #: 266492

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

B - Indicates the analyte was found in the blank as well as in the sample.

E - Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used

Form1

ORGANICS SEMIVOLATILE REPORT

Sample Number: SMB25358

Client Id:

Data File: 9M50703.D

Analysis Date: 06/07/13 20:52

Date Rec/Extracted: NA-06/06/13

Column: DB-5MS 30M 0.250mm ID 0.25um film

Method: EPA 8270C

Matrix: Soil

Initial Vol: 30g

Final Vol: 0.5ml

Dilution: 1

Solids: 100

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
92-52-4	1,1'-Biphenyl	0.033	U	205-99-2	Benzo[b]fluoranthene	0.033	U
95-94-3	1,2,4,5-Tetrachlorobenzene	0.033	U	191-24-2	Benzo[g,h,i]perylene	0.033	U
58-90-2	2,3,4,6-Tetrachlorophenol	0.033	U	207-08-9	Benzo[k]fluoranthene	0.033	U
95-95-4	2,4,5-Trichlorophenol	0.033	U	111-91-1	bis(2-Chloroethoxy)methan	0.033	U
88-06-2	2,4,6-Trichlorophenol	0.033	U	111-44-4	bis(2-Chloroethyl)ether	0.0083	U
120-83-2	2,4-Dichlorophenol	0.0083	U	108-60-1	bis(2-chloroisopropyl)ether	0.033	U
105-67-9	2,4-Dimethylphenol	0.0083	U	117-81-7	bis(2-Ethylhexyl)phthalate	0.033	U
51-28-5	2,4-Dinitrophenol	0.17	U	85-68-7	Butylbenzylphthalate	0.033	U
121-14-2	2,6-Dinitrotoluene	0.033	U	105-60-2	Caprolactam	0.033	U
606-20-2	2,6-Dinitrotoluene	0.033	U	86-74-8	Carbazole	0.033	U
91-58-7	2-Chloronaphthalene	0.033	U	218-01-9	Chrysene	0.033	U
95-57-8	2-Chlorophenol	0.033	U	53-70-3	Dibenzo[a,h]anthracene	0.033	U
91-57-6	2-Methylnaphthalene	0.033	U	132-64-9	Dibenzofuran	0.0083	U
95-48-7	2-Methylphenol	0.0083	U	84-66-2	Diethylphthalate	0.033	U
88-74-4	2-Nitroaniline	0.033	U	131-11-3	Dimethylphthalate	0.033	U
88-75-5	2-Nitrophenol	0.033	U	84-74-2	Di-n-butylphthalate	0.017	U
106-44-5	3,4-Methylphenol	0.0083	U	117-84-0	Di-n-octylphthalate	0.033	U
91-94-1	3,3'-Dichlorobenzidine	0.033	U	206-44-0	Fluoranthene	0.033	U
99-09-2	3-Nitroaniline	0.033	U	86-73-7	Fluorene	0.033	U
534-52-1	4,6-Dinitro-2-methylphenol	0.033	U	118-74-1	Hexachlorobenzene	0.033	U
101-55-3	4-Bromophenyl-phenylether	0.033	U	87-68-3	Hexachlorobutadiene	0.033	U
59-50-7	4-Chloro-3-methylphenol	0.033	U	77-47-4	Hexachlorocyclopentadiene	0.033	U
106-47-8	4-Chloroaniline	0.016	U	67-72-1	Hexachloroethane	0.033	U
7005-72-3	4-Chlorophenyl-phenylether	0.033	U	193-39-5	Indeno[1,2,3-cd]pyrene	0.033	U
100-01-6	4-Nitroaniline	0.033	U	78-59-1	Isophorone	0.033	U
100-02-7	4-Nitrophenol	0.033	U	91-20-3	Naphthalene	0.0083	U
83-32-9	Acenaphthene	0.033	U	98-95-3	Nitrobenzene	0.033	U
208-96-8	Acenaphthylene	0.033	U	621-64-7	N-Nitroso-di-n-propylamine	0.0083	U
98-86-2	Acetophenone	0.033	U	86-30-6	n-Nitrosodiphenylamine	0.033	U
120-12-7	Anthracene	0.033	U	87-86-5	Pentachlorophenol	0.056	U
1912-24-9	Atrazine	0.033	U	85-01-8	Phenanthrene	0.033	U
100-52-7	Benzaldehyde	0.033	U	108-95-2	Phenol	0.033	U
56-55-3	Benzo[a]anthracene	0.033	U	129-00-0	Pyrene	0.033	U
50-32-8	Benzo[a]pyrene	0.033	U				

Worksheet #: 266621

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

J - Indicates the analyte was found in the blank as well as in the sample.

I - Indicates the analyte concentration exceeds the calibration range of the instrument.

N-Nitrosodiphenylamine decomposes in the GC inlet and is detected as diphenylamine

R - Retention Time Out

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration use a

Form1

ORGANICS SEMIVOLATILE REPORT

Sample Number: SMB25359

Client Id:

Data File: 9M50704.D

Analysis Date: 06/07/13 21:15

Date Rec/Extracted: NA-06/06/13

Column: DB-5MS 30M 0.250mm ID 0.25um film

Method: EPA 8270C

Matrix: Soil

Initial Vol: 30g

Final Vol: 0.5ml

Dilution: 1

Solids: 100

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
92-52-4	1,1'-Biphenyl	0.033	U	205-99-2	Benzo[b]fluoranthene	0.033	U
95-94-3	1,2,4,5-Tetrachlorobenzene	0.033	U	191-24-2	Benzo[g,h,i]perylene	0.033	U
58-90-2	2,3,4,6-Tetrachlorophenol	0.033	U	207-08-9	Benzo[k]fluoranthene	0.033	U
95-95-4	2,4,5-Trichlorophenol	0.033	U	111-91-1	bis(2-Chloroethoxy)methan	0.033	U
88-06-2	2,4,6-Trichlorophenol	0.033	U	111-44-4	bis(2-Chloroethyl)ether	0.0083	U
120-83-2	2,4-Dichlorophenol	0.0083	U	108-60-1	bis(2-chloroisopropyl)ether	0.033	U
105-67-9	2,4-Dimethylphenol	0.0083	U	117-81-7	bis(2-Ethylhexyl)phthalate	0.033	U
51-28-5	2,4-Dinitrophenol	0.17	U	85-68-7	Butylbenzylphthalate	0.033	U
121-14-2	2,4-Dinitrotoluene	0.033	U	105-60-2	Caprolactam	0.033	U
606-20-2	2,6-Dinitrotoluene	0.033	U	86-74-8	Carbazole	0.033	U
91-58-7	2-Chloronaphthalene	0.033	U	218-01-9	Chrysene	0.033	U
95-57-8	2-Chlorophenol	0.033	U	53-70-3	Dibenzo[a,h]anthracene	0.033	U
91-57-6	2-Methylnaphthalene	0.033	U	132-64-9	Dibenzofuran	0.0083	U
95-48-7	2-Methylphenol	0.0083	U	84-66-2	Diethylphthalate	0.033	U
88-74-4	2-Nitroaniline	0.033	U	131-11-3	Dimethylphthalate	0.033	U
88-75-5	2-Nitrophenol	0.033	U	84-74-2	Di-n-butylphthalate	0.017	U
106-44-5	3,4-Methylphenol	0.0083	U	117-84-0	Di-n-octylphthalate	0.033	U
91-94-1	3,3'-Dichlorobenzidine	0.033	U	206-44-0	Fluoranthene	0.033	U
99-09-2	3-Nitroaniline	0.033	U	86-73-7	Fluorene	0.033	U
534-52-1	4,6-Dinitro-2-methylphenol	0.033	U	118-74-1	Hexachlorobenzene	0.033	U
101-55-3	4-Bromophenyl-phenylether	0.033	U	87-68-3	Hexachlorobutadiene	0.033	U
59-50-7	4-Chloro-3-methylphenol	0.033	U	77-47-4	Hexachlorocyclopentadiene	0.033	U
106-47-8	4-Chloroaniline	0.016	U	67-72-1	Hexachloroethane	0.033	U
7005-72-3	4-Chlorophenyl-phenylether	0.033	U	193-39-5	Indeno[1,2,3-cd]pyrene	0.033	U
100-01-6	4-Nitroaniline	0.033	U	78-59-1	Isophorone	0.033	U
100-02-7	4-Nitrophenol	0.033	U	91-20-3	Naphthalene	0.0083	U
83-32-9	Acenaphthene	0.033	U	98-95-3	Nitrobenzene	0.033	U
208-96-8	Acenaphthylene	0.033	U	621-64-7	N-Nitroso-di-n-propylamine	0.0083	U
98-86-2	Acetophenone	0.033	U	86-30-6	n-Nitrosodiphenylamine	0.033	U
120-12-7	Anthracene	0.033	U	87-86-5	Pentachlorophenol	0.056	U
1912-24-9	Atrazine	0.033	U	85-01-8	Phenanthrene	0.033	U
100-52-7	Benzaldehyde	0.033	U	108-95-2	Phenol	0.033	U
56-55-3	Benzo[a]anthracene	0.033	U	129-00-0	Pyrene	0.033	U
50-32-8	Benzo[a]pyrene	0.033	U				

Worksheet #: 266621

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

B - Indicates the analyte was found in the blank as well as in the sample.

E - Indicates the analyte concentration exceeds the calibration range of the instrument.

N-Nitrosodiphenylamine decomposes in the GC inlet and is detected as diphenylamine

R - Retention Time Out

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used

Form1

ORGANICS SEMIVOLATILE REPORT

Sample Number: AC72749-006

Client Id: 8961_SB-01

Data File: 9M50712.D

Analysis Date: 06/08/13 00:22

Date Rec/Extracted: 06/04/13-06/06/13

Column: DB-5MS 30M 0.250mm ID 0.25um film

Method: EPA 8270C

Matrix: Soil

Initial Vol: 30g

Final Vol: 0.5ml

Dilution: 1

Solids: 82

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
92-52-4	1,1'-Biphenyl	0.041	U	205-99-2	Benzo[b]fluoranthene	0.041	0.42
95-94-3	1,2,4,5-Tetrachlorobenzene	0.041	U	191-24-2	Benzo[g,h,i]perylene	0.041	0.26
58-90-2	2,3,4,6-Tetrachlorophenol	0.041	U	207-08-9	Benzo[k]fluoranthene	0.041	0.13
95-95-4	2,4,5-Trichlorophenol	0.041	U	111-91-1	bis(2-Chloroethoxy)methan	0.041	U
88-06-2	2,4,6-Trichlorophenol	0.041	U	111-44-4	bis(2-Chloroethyl)ether	0.010	U
120-83-2	2,4-Dichlorophenol	0.010	U	108-60-1	bis(2-chloroisopropyl)ether	0.041	U
105-67-9	2,4-Dimethylphenol	0.010	U	117-81-7	bis(2-Ethylhexyl)phthalate	0.041	0.27
51-28-5	2,4-Dinitrophenol	0.20	U	85-68-7	Butylbenzylphthalate	0.041	0.044
121-14-2	2,4-Dinitrotoluene	0.041	U	105-60-2	Caprolactam	0.041	U
606-20-2	2,6-Dinitrotoluene	0.041	U	86-74-8	Carbazole	0.041	U
91-58-7	2-Chloronaphthalene	0.041	U	218-01-9	Chrysene	0.041	0.33
95-57-8	2-Chlorophenol	0.041	U	53-70-3	Dibenzo[a,h]anthracene	0.041	0.072
91-57-6	2-Methylnaphthalene	0.041	U	132-64-9	Dibenzofuran	0.010	U
95-48-7	2-Methylphenol	0.010	U	84-66-2	Diethylphthalate	0.041	U
88-74-4	2-Nitroaniline	0.041	U	131-11-3	Dimethylphthalate	0.041	U
88-75-5	2-Nitrophenol	0.041	U	84-74-2	Di-n-butylphthalate	0.020	U
106-44-5	3&4-Methylphenol	0.010	U	117-84-0	Di-n-octylphthalate	0.041	U
91-94-1	3,3'-Dichlorobenzidine	0.041	U	206-44-0	Fluoranthene	0.041	0.48
99-09-2	3-Nitroaniline	0.041	U	86-73-7	Fluorene	0.041	U
534-52-1	4,6-Dinitro-2-methylphenol	0.041	U	118-74-1	Hexachlorobenzene	0.041	U
101-55-3	4-Bromophenyl-phenylether	0.041	U	87-68-3	Hexachlorobutadiene	0.041	U
59-50-7	4-Chloro-3-methylphenol	0.041	U	77-47-4	Hexachlorocyclopentadiene	0.041	U
106-47-8	4-Chloroaniline	0.019	U	67-72-1	Hexachloroethane	0.041	U
7005-72-3	4-Chlorophenyl-phenylether	0.041	U	193-39-5	Indeno[1,2,3-cd]pyrene	0.041	0.23
100-01-6	4-Nitroaniline	0.041	U	78-59-1	Isophorone	0.041	U
100-02-7	4-Nitrophenol	0.041	U	91-20-3	Naphthalene	0.010	U
83-32-9	Acenaphthene	0.041	U	98-95-3	Nitrobenzene	0.041	U
208-96-8	Acenaphthylene	0.041	U	621-64-7	N-Nitroso-di-n-propylamine	0.010	U
98-86-2	Acetophenone	0.041	U	86-30-6	n-Nitrosodiphenylamine	0.041	U
120-12-7	Anthracene	0.041	0.070	87-86-5	Pentachlorophenol	0.068	U
1912-24-9	Atrazine	0.041	U	85-01-8	Phenanthrene	0.041	0.24
100-52-7	Benzaldehyde	0.041	U	108-95-2	Phenol	0.041	U
56-55-3	Benzo[a]anthracene	0.041	0.35	129-00-0	Pyrene	0.041	0.56
50-32-8	Benzo[a]pyrene	0.041	0.31				

Worksheet #: 266621

Total Target Concentration 3.8

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

J - Indicates the analyte was found in the blank as well as in the sample.

Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration uses

N-Nitrosodiphenylamine decomposes in the GC inlet and is detected as diphenylamine

Form1

ORGANICS SEMIVOLATILE REPORT

Sample Number: AC72749-007

Client Id: 8961_SB-02

Data File: 9M50713.D

Analysis Date: 06/08/13 00:45

Date Rec/Extracted: 06/04/13-06/06/13

Column: DB-5MS 30M 0.250mm ID 0.25um film

Method: EPA 8270C

Matrix: Soil

Initial Vol: 30g

Final Vol: 0.5ml

Dilution: 1

Solids: 84

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
92-52-4	1,1'-Biphenyl	0.040	U	205-99-2	Benzo[b]fluoranthene	0.040	U
95-94-3	1,2,4,5-Tetrachlorobenzene	0.040	U	191-24-2	Benzo[g,h,i]perylene	0.040	U
58-90-2	2,3,4,6-Tetrachlorophenol	0.040	U	207-08-9	Benzo[k]fluoranthene	0.040	U
95-95-4	2,4,5-Trichlorophenol	0.040	U	111-91-1	bis(2-Chloroethoxy)methan	0.040	U
88-06-2	2,4,6-Trichlorophenol	0.040	U	111-44-4	bis(2-Chloroethyl)ether	0.0099	U
120-83-2	2,4-Dichlorophenol	0.0099	U	108-60-1	bis(2-chloroisopropyl)ether	0.040	U
105-67-9	2,4-Dimethylphenol	0.0099	U	117-81-7	bis(2-Ethylhexyl)phthalate	0.040	U
51-28-5	2,4-Dinitrophenol	0.20	U	85-68-7	Butylbenzylphthalate	0.040	U
121-14-2	2,4-Dinitrotoluene	0.040	U	105-60-2	Caprolactam	0.040	U
606-20-2	2,6-Dinitrotoluene	0.040	U	86-74-8	Carbazole	0.040	U
91-58-7	2-Chloronaphthalene	0.040	U	218-01-9	Chrysene	0.040	U
95-57-8	2-Chlorophenol	0.040	U	53-70-3	Dibenzo[a,h]anthracene	0.040	U
91-57-6	2-Methylnaphthalene	0.040	U	132-64-9	Dibenzofuran	0.0099	U
95-48-7	2-Methylphenol	0.0099	U	84-66-2	Diethylphthalate	0.040	U
88-74-4	2-Nitroaniline	0.040	U	131-11-3	Dimethylphthalate	0.040	U
88-75-5	2-Nitrophenol	0.040	U	84-74-2	Di-n-butylphthalate	0.020	U
106-44-5	3&4-Methylphenol	0.0099	U	117-84-0	Di-n-octylphthalate	0.040	U
91-94-1	3,3'-Dichlorobenzidine	0.040	U	206-44-0	Fluoranthene	0.040	U
99-09-2	3-Nitroaniline	0.040	U	86-73-7	Fluorene	0.040	U
534-52-1	4,6-Dinitro-2-methylphenol	0.040	U	118-74-1	Hexachlorobenzene	0.040	U
101-55-3	4-Bromophenyl-phenylether	0.040	U	87-68-3	Hexachlorobutadiene	0.040	U
59-50-7	4-Chloro-3-methylphenol	0.040	U	77-47-4	Hexachlorocyclopentadiene	0.040	U
106-47-8	4-Chloroaniline	0.019	U	67-72-1	Hexachloroethane	0.040	U
7005-72-3	4-Chlorophenyl-phenylether	0.040	U	193-39-5	Indeno[1,2,3-cd]pyrene	0.040	U
100-01-6	4-Nitroaniline	0.040	U	78-59-1	Isophorone	0.040	U
100-02-7	4-Nitrophenol	0.040	U	91-20-3	Naphthalene	0.0099	U
83-32-9	Acenaphthene	0.040	U	98-95-3	Nitrobenzene	0.040	U
208-96-8	Acenaphthylene	0.040	U	621-64-7	N-Nitroso-di-n-propylamine	0.0099	U
98-86-2	Acetophenone	0.040	U	86-30-6	n-Nitrosodiphenylamine	0.040	U
120-12-7	Anthracene	0.040	U	87-86-5	Pentachlorophenol	0.067	U
1912-24-9	Atrazine	0.040	U	85-01-8	Phenanthrene	0.040	U
100-52-7	Benzaldehyde	0.040	U	108-95-2	Phenol	0.040	U
56-55-3	Benzo[a]anthracene	0.040	U	129-00-0	Pyrene	0.040	U
50-32-8	Benzo[a]pyrene	0.040	U				

Worksheet #: 266621

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

B - Indicates the analyte was found in the blank as well as in the sample.

E - Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used

N-Nitrosodiphenylamine decomposes in the GC inlet and is detected as diphenylamine

Form1

ORGANICS SEMIVOLATILE REPORT

Sample Number: AC72749-008

Client Id: 8961_SB-03

Data File: 9M50714.D

Analysis Date: 06/08/13 01:09

Date Rec/Extracted: 06/04/13-06/06/13

Column: DB-5MS 30M 0.250mm ID 0.25um film

Method: EPA 8270C

Matrix: Soil

Initial Vol: 30g

Final Vol: 0.5ml

Dilution: 1

Solids: 84

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
92-52-4	1,1'-Biphenyl	0.040	U	205-99-2	Benzo[b]fluoranthene	0.040	0.25
95-94-3	1,2,4,5-Tetrachlorobenzene	0.040	U	191-24-2	Benzo[g,h,i]perylene	0.040	0.15
58-90-2	2,3,4,6-Tetrachlorophenol	0.040	U	207-08-9	Benzo[k]fluoranthene	0.040	0.079
95-95-4	2,4,5-Trichlorophenol	0.040	U	111-91-1	bis(2-Chloroethoxy)methan	0.040	U
88-06-2	2,4,6-Trichlorophenol	0.040	U	111-44-4	bis(2-Chloroethyl)ether	0.0099	U
120-83-2	2,4-Dichlorophenol	0.0099	U	108-60-1	bis(2-chloroisopropyl)ether	0.040	U
105-67-9	2,4-Dimethylphenol	0.0099	U	117-81-7	bis(2-Ethylhexyl)phthalate	0.040	0.24
51-28-5	2,4-Dinitrophenol	0.20	U	85-68-7	Butylbenzylphthalate	0.040	U
121-14-2	2,4-Dinitrotoluene	0.040	U	105-60-2	Caprolactam	0.040	U
606-20-2	2,6-Dinitrotoluene	0.040	U	86-74-8	Carbazole	0.040	U
91-58-7	2-Chloronaphthalene	0.040	U	218-01-9	Chrysene	0.040	0.18
95-57-8	2-Chlorophenol	0.040	U	53-70-3	Dibenzo[a,h]anthracene	0.040	U
91-57-6	2-Methylnaphthalene	0.040	U	132-64-9	Dibenzofuran	0.0099	U
95-48-7	2-Methylphenol	0.0099	U	84-66-2	Diethylphthalate	0.040	U
88-74-4	2-Nitroaniline	0.040	U	131-11-3	Dimethylphthalate	0.040	U
88-75-5	2-Nitrophenol	0.040	U	84-74-2	Di-n-butylphthalate	0.020	U
106-44-5	3&4-Methylphenol	0.0099	U	117-84-0	Di-n-octylphthalate	0.040	U
91-94-1	3,3'-Dichlorobenzidine	0.040	U	206-44-0	Fluoranthene	0.040	0.27
99-09-2	3-Nitroaniline	0.040	U	86-73-7	Fluorene	0.040	U
534-52-1	4,6-Dinitro-2-methylphenol	0.040	U	118-74-1	Hexachlorobenzene	0.040	U
101-55-3	4-Bromophenyl-phenylether	0.040	U	87-68-3	Hexachlorobutadiene	0.040	U
59-50-7	4-Chloro-3-methylphenol	0.040	U	77-47-4	Hexachlorocyclopentadiene	0.040	U
106-47-8	4-Chloroaniline	0.019	U	67-72-1	Hexachloroethane	0.040	U
7005-72-3	4-Chlorophenyl-phenylether	0.040	U	193-39-5	Indeno[1,2,3-cd]pyrene	0.040	0.12
100-01-6	4-Nitroaniline	0.040	U	78-59-1	Isophorone	0.040	U
100-02-7	4-Nitrophenol	0.040	U	91-20-3	Naphthalene	0.0099	U
83-32-9	Acenaphthene	0.040	U	98-95-3	Nitrobenzene	0.040	U
208-96-8	Acenaphthylene	0.040	U	621-64-7	N-Nitroso-di-n-propylamine	0.0099	U
98-86-2	Acetophenone	0.040	U	86-30-6	n-Nitrosodiphenylamine	0.040	U
120-12-7	Anthracene	0.040	U	87-86-5	Pentachlorophenol	0.067	U
1912-24-9	Atrazine	0.040	U	85-01-8	Phenanthrene	0.040	0.14
100-52-7	Benzaldehyde	0.040	U	108-95-2	Phenol	0.040	U
56-55-3	Benzo[a]anthracene	0.040	0.21	129-00-0	Pyrene	0.040	0.29
50-32-8	Benzo[a]pyrene	0.040	0.17				

Worksheet #: 266621

Total Target Concentration 2.1

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

J - Indicates the analyte was found in the blank as well as in the sample.

I - Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration uses

N-Nitrosodiphenylamine decomposes in the GC inlet and is detected as diphenylamine

Form1
ORGANICS SEMIVOLATILE REPORT

Sample Number: AC72749-009

Client Id: 8961_SB-04

Data File: 9M50715.D

Analysis Date: 06/08/13 01:32

Date Rec/Extracted: 06/04/13-06/06/13

Column: DB-5MS 30M 0.250mm ID 0.25um film

Method: EPA 8270C

Matrix: Soil

Initial Vol: 30g

Final Vol: 0.5ml

Dilution: 1

Solids: 83

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
92-52-4	1,1'-Biphenyl	0.040	U	205-99-2	Benzo[b]fluoranthene	0.040	0.24
95-94-3	1,2,4,5-Tetrachlorobenzene	0.040	U	191-24-2	Benzo[g,h,i]perylene	0.040	0.16
58-90-2	2,3,4,6-Tetrachlorophenol	0.040	U	207-08-9	Benzo[k]fluoranthene	0.040	0.076
95-95-4	2,4,5-Trichlorophenol	0.040	U	111-91-1	bis(2-Chloroethoxy)methan	0.040	U
88-06-2	2,4,6-Trichlorophenol	0.040	U	111-44-4	bis(2-Chloroethyl)ether	0.010	U
120-83-2	2,4-Dichlorophenol	0.010	U	108-60-1	bis(2-chloroisopropyl)ether	0.040	U
105-67-9	2,4-Dimethylphenol	0.010	U	117-81-7	bis(2-Ethylhexyl)phthalate	0.040	0.66
51-28-5	2,4-Dinitrophenol	0.20	U	85-68-7	Butylbenzylphthalate	0.040	U
121-14-2	2,4-Dinitrotoluene	0.040	U	105-60-2	Caprolactam	0.040	U
606-20-2	2,6-Dinitrotoluene	0.040	U	86-74-8	Carbazole	0.040	U
91-58-7	2-Chloronaphthalene	0.040	U	218-01-9	Chrysene	0.040	0.18
95-57-8	2-Chlorophenol	0.040	U	53-70-3	Dibenzo[a,h]anthracene	0.040	U
91-57-6	2-Methylnaphthalene	0.040	U	132-64-9	Dibenzofuran	0.010	U
95-48-7	2-Methylphenol	0.010	U	84-66-2	Diethylphthalate	0.040	U
88-74-4	2-Nitroaniline	0.040	U	131-11-3	Dimethylphthalate	0.040	U
88-75-5	2-Nitrophenol	0.040	U	84-74-2	Di-n-butylphthalate	0.020	0.050
106-44-5	3,4-Methylphenol	0.010	U	117-84-0	Di-n-octylphthalate	0.040	U
91-94-1	3,3'-Dichlorobenzidine	0.040	U	206-44-0	Fluoranthene	0.040	0.22
99-09-2	3-Nitroaniline	0.040	U	86-73-7	Fluorene	0.040	U
534-52-1	4,6-Dinitro-2-methylphenol	0.040	U	118-74-1	Hexachlorobenzene	0.040	U
101-55-3	4-Bromophenyl-phenylether	0.040	U	87-68-3	Hexachlorobutadiene	0.040	U
59-50-7	4-Chloro-3-methylphenol	0.040	U	77-47-4	Hexachlorocyclopentadiene	0.040	U
106-47-8	4-Chloroaniline	0.019	U	67-72-1	Hexachloroethane	0.040	U
7005-72-3	4-Chlorophenyl-phenylether	0.040	U	193-39-5	Indeno[1,2,3-cd]pyrene	0.040	0.13
100-01-6	4-Nitroaniline	0.040	U	78-59-1	Isophorone	0.040	U
100-02-7	4-Nitrophenol	0.040	U	91-20-3	Naphthalene	0.010	U
83-32-9	Acenaphthene	0.040	U	98-95-3	Nitrobenzene	0.040	U
208-96-8	Acenaphthylene	0.040	U	621-64-7	N-Nitroso-di-n-propylamine	0.010	U
98-86-2	Acetophenone	0.040	U	86-30-6	n-Nitrosodiphenylamine	0.040	U
120-12-7	Anthracene	0.040	U	87-86-5	Pentachlorophenol	0.068	U
1912-24-9	Atrazine	0.040	U	85-01-8	Phenanthrene	0.040	0.082
100-52-7	Benzaldehyde	0.040	U	108-95-2	Phenol	0.040	U
56-55-3	Benzo[a]anthracene	0.040	0.19	129-00-0	Pyrene	0.040	0.25
50-32-8	Benzo[a]pyrene	0.040	0.17				

Worksheet #: 266621

Total Target Concentration 2.4

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

B - Indicates the analyte was found in the blank as well as in the sample.

E - Indicates the analyte concentration exceeds the calibration range of the instrument.

N-Nitrosodiphenylamine decomposes in the GC inlet and is detected as diphenylamine

R - Retention Time Out

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used

Form1

ORGANICS SEMIVOLATILE REPORT

Sample Number: AC72749-010

Client Id: 8961_SB-05

Data File: 9M50716.D

Analysis Date: 06/08/13 01:55

Date Rec/Extracted: 06/04/13-06/06/13

Column: DB-5MS 30M 0.250mm ID 0.25um film

Method: EPA 8270C

Matrix: Soil

Initial Vol: 30g

Final Vol: 0.5ml

Dilution: 1

Solids: 86

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
92-52-4	1,1'-Biphenyl	0.039	U	205-99-2	Benzo[b]fluoranthene	0.039	0.17
95-94-3	1,2,4,5-Tetrachlorobenzene	0.039	U	191-24-2	Benzo[g,h,i]perylene	0.039	0.10
58-90-2	2,3,4,6-Tetrachlorophenol	0.039	U	207-08-9	Benzo[k]fluoranthene	0.039	0.052
95-95-4	2,4,5-Trichlorophenol	0.039	U	111-91-1	bis(2-Chloroethoxy)methan	0.039	U
88-06-2	2,4,6-Trichlorophenol	0.039	U	111-44-4	bis(2-Chloroethyl)ether	0.0097	U
120-83-2	2,4-Dichlorophenol	0.0097	U	108-60-1	bis(2-chloroisopropyl)ether	0.039	U
105-67-9	2,4-Dimethylphenol	0.0097	U	117-81-7	bis(2-Ethylhexyl)phthalate	0.039	0.15
51-28-5	2,4-Dinitrophenol	0.19	U	85-68-7	Butylbenzylphthalate	0.039	U
121-14-2	2,4-Dinitrotoluene	0.039	U	105-60-2	Caprolactam	0.039	U
606-20-2	2,6-Dinitrotoluene	0.039	U	86-74-8	Carbazole	0.039	U
91-58-7	2-Chloronaphthalene	0.039	U	218-01-9	Chrysene	0.039	0.13
95-57-8	2-Chlorophenol	0.039	U	53-70-3	Dibenzo[a,h]anthracene	0.039	U
91-57-6	2-Methylnaphthalene	0.039	U	132-64-9	Dibenzofuran	0.0097	U
95-48-7	2-Methylphenol	0.0097	U	84-66-2	Diethylphthalate	0.039	U
88-74-4	2-Nitroaniline	0.039	U	131-11-3	Dimethylphthalate	0.039	U
88-75-5	2-Nitrophenol	0.039	U	84-74-2	Di-n-butylphthalate	0.019	U
106-44-5	3,4-Methylphenol	0.0097	U	117-84-0	Di-n-octylphthalate	0.039	U
91-94-1	3,3'-Dichlorobenzidine	0.039	U	206-44-0	Fluoranthene	0.039	0.20
99-09-2	3-Nitroaniline	0.039	U	86-73-7	Fluorene	0.039	U
534-52-1	4,6-Dinitro-2-methylphenol	0.039	U	118-74-1	Hexachlorobenzene	0.039	U
101-55-3	4-Bromophenyl-phenylether	0.039	U	87-68-3	Hexachlorobutadiene	0.039	U
59-50-7	4-Chloro-3-methylphenol	0.039	U	77-47-4	Hexachlorocyclopentadiene	0.039	U
106-47-8	4-Chloroaniline	0.018	U	67-72-1	Hexachloroethane	0.039	U
7005-72-3	4-Chlorophenyl-phenylether	0.039	U	193-39-5	Indeno[1,2,3-cd]pyrene	0.039	0.078
100-01-6	4-Nitroaniline	0.039	U	78-59-1	Isophorone	0.039	U
100-02-7	4-Nitrophenol	0.039	U	91-20-3	Naphthalene	0.0097	U
83-32-9	Acenaphthene	0.039	U	98-95-3	Nitrobenzene	0.039	U
208-96-8	Acenaphthylene	0.039	U	621-64-7	N-Nitroso-di-n-propylamine	0.0097	U
98-86-2	Acetophenone	0.039	U	86-30-6	n-Nitrosodiphenylamine	0.039	U
120-12-7	Anthracene	0.039	U	87-86-5	Pentachlorophenol	0.065	U
1912-24-9	Atrazine	0.039	U	85-01-8	Phenanthrene	0.039	0.12
100-52-7	Benzaldehyde	0.039	U	108-95-2	Phenol	0.039	U
56-55-3	Benzo[a]anthracene	0.039	0.13	129-00-0	Pyrene	0.039	0.21
50-32-8	Benzo[a]pyrene	0.039	0.11				

Worksheet #: 266621

Total Target Concentration 1.4

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

R - Retention Time Out

Indicates the analyte was found in the blank as well as in the sample.

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

Indicates the analyte concentration exceeds the calibration range of the instrument.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration uses

N-Nitrosodiphenylamine decomposes in the GC inlet and is detected as diphenylamine

FORM2

Surrogate Recovery

Method: EPA 8270C

Dfile	Sample#	Matrix	Date/Time	Surr Dil	Dilute Out Flag	Column1 S1 Recov	Column1 S2 Recov	Column1 S3 Recov	Column1 S4 Recov	Column1 S5 Recov	Column1 S6 Recov
10M37622.D	SMB25131	Soil	05/24/13 09:57	1		74	75	67	71	87	76
9M50703.D	SMB25358	Soil	06/07/13 20:52	1		108	113	112	117	124	146
9M50704.D	SMB25359	Soil	06/07/13 21:15	1		107	112	110	115	116	137
9M50712.D	AC72749-006	Soil	06/08/13 00:22	1		113	122	112	113	125	145
9M50713.D	AC72749-007	Soil	06/08/13 00:45	1		84	86	88	94	106	116
9M50714.D	AC72749-008	Soil	06/08/13 01:09	1		106	106	113	118	125	140
9M50715.D	AC72749-009	Soil	06/08/13 01:32	1		94	96	97	103	114	114
9M50716.D	AC72749-010	Soil	06/08/13 01:55	1		101	104	109	114	122	135
10M37629.D	SMB25131(M	Soil	05/24/13 12:32	1		75	77	67	75	94	79
10M37630.D	AC72498-008(Soil	05/24/13 12:54	3		54	58	97	64	81	70
10M37631.D	AC72498-008(Soil	05/24/13 13:16	3		51	51	87	56*	66	58
10M37632.D	AC72498-008(Soil	05/24/13 13:38	3		42*	43*	71	43*	52*	47*
5M80082.D	SMB25358(M	Soil	06/07/13 09:04	1		110	113	112	114	128	138
5M80091.D	SMB25359(M	Soil	06/07/13 12:32	1		95	95	101	103	123	130
5M80093.D	AC72653-013	Soil	06/07/13 13:18	1		94	94	95	99	108	117
5M80094.D	AC72653-013(Soil	06/07/13 13:41	1		98	101	102	107	119	125
5M80095.D	AC72653-013(Soil	06/07/13 14:04	1		89	88	90	87	100	101

Flags: SD=Surrogate diluted out

*=Surrogate out

Method: EPA 8270C

Soil Limits

Compound	Spike Amt	Limits
S1=2-Fluorophenol	100	43-128
S2=Phenol-d5	100	49-129
S3=Nitrobenzene-d5	50	52-129
S4=2-Fluorobiphenyl	50	58-125
S5=2,4,6-Tribromophenol	100	54-145
S6=Terphenyl-d14	90	58-148

Form3
Recovery Data
 QC Batch: SMB25131

3060407 0048

Data File		Sample ID:	Analysis Date				
Spike or Dup: 10M37631.D		AC72498-008(3X)(MS)	5/24/2013 1:16:00 PM				
Non Spike(If applicable): 10M37630.D		AC72498-008(3X)	5/24/2013 12:54:00 PM				
Inst Blank(If applicable):							
Method: 8270		Matrix: Soil	QC Type: MS				
Analyte:	Col	Spike Conc	Sample Conc	Expected Conc	Recovery	Lower Limit	Upper Limit
Phenol	1	53.6301	0	100	54	20	130
2-Chlorophenol	1	56.3025	0	100	56	50	130
1,4-Dichlorobenzene	1	24.843	0	50	50	50	130
2-Methylphenol	1	54.3366	0	100	54	50	130
N-Nitroso-di-n-propylamine	1	41.9706	0	50	84	20	130
2,4-Dimethylphenol	1	65.9562	0	100	66	50	130
1,2,4-Trichlorobenzene	1	29.4873	0	50	59	50	130
Naphthalene	1	242.085	277.6929	50	-71 *	50	130
4-Chloro-3-methylphenol	1	66.1761	0	100	66	50	130
Acenaphthene	1	35.2848	0	50	71	50	130
2,4-Dinitrotoluene	1	29.3697	0	50	59	50	130
4-Nitrophenol	1	62.5506	0	100	63	20	130
Fluorene	1	34.9125	0	50	70	50	130
Pentachlorophenol	1	51.8256	0	100	52	40	130
Pyrene	1	34.8732	0	50	70	50	130
Butylbenzylphthalate	1	33.6444	0	50	67	50	130

Data File		Sample ID:	Analysis Date				
Spike or Dup: 10M37632.D		AC72498-008(3X)(MSD)	5/24/2013 1:38:00 PM				
Non Spike(If applicable): 10M37630.D		AC72498-008(3X)	5/24/2013 12:54:00 PM				
Inst Blank(If applicable):							
Method: 8270		Matrix: Soil	QC Type: MSD				
Analyte:	Col	Spike Conc	Sample Conc	Expected Conc	Recovery	Lower Limit	Upper Limit
Phenol	1	43.9572	0	100	44	20	130
2-Chlorophenol	1	46.3677	0	100	46 *	50	130
1,4-Dichlorobenzene	1	20.5131	0	50	41 *	50	130
2-Methylphenol	1	45.2016	0	100	45 *	50	130
N-Nitroso-di-n-propylamine	1	32.0727	0	50	64	20	130
2,4-Dimethylphenol	1	51.8253	0	100	52	50	130
1,2,4-Trichlorobenzene	1	22.2126	0	50	44 *	50	130
Naphthalene	1	213.0492	277.6929	50	-130 *	50	130
4-Chloro-3-methylphenol	1	53.6406	0	100	54	50	130
Acenaphthene	1	27.7056	0	50	55	50	130
2,4-Dinitrotoluene	1	21.2235	0	50	42 *	50	130
4-Nitrophenol	1	47.961	0	100	48	20	130
Fluorene	1	27.1437	0	50	54	50	130
Pentachlorophenol	1	38.556	0	100	39 *	40	130
Pyrene	1	26.4657	0	50	53	50	130
Butylbenzylphthalate	1	25.9257	0	50	52	50	130

* - Indicates outside of limits

- Indicates outside of standard limits but within method exceedance limits

Form1
ORGANICS PCB REPORT

Sample Number: SMB25377
Client Id:
Data File: 5G46380.D
Analysis Date: 06/10/13 10:01
Date Rec/Extracted: NA-06/07/13
Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8082
Matrix: Soil
Initial Vol: 20g
Final Vol: 10ml
Dilution: 1
Solids: 100

Units: mg/Kg							
Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
12674-11-2	Aroclor-1016	0.025	U	11097-69-1	Aroclor-1254	0.025	U
11104-28-2	Aroclor-1221	0.025	U	11096-82-5	Aroclor-1260	0.025	U
11141-16-5	Aroclor-1232	0.025	U	37324-23-5	Aroclor-1262	0.025	U
53469-21-9	Aroclor-1242	0.025	U	11100-14-4	Aroclor-1268	0.025	U
12672-29-6	Aroclor-1248	0.025	U				

Worksheet #: 266483

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**B - Indicates the analyte was found in the blank as well as in the sample.**E - Indicates the analyte concentration exceeds the calibration range of the instrument.**R - Retention Time Out**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used*

Form1
ORGANICS PCB REPORT

Sample Number: AC72749-006
Client Id: 8961_SB-01
Data File: 3G76621.D
Analysis Date: 06/10/13 12:38
Date Rec/Extracted: 06/04/13-06/07/13
Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8082
Matrix: Soil
Initial Vol: 20g
Final Vol: 10ml
Dilution: 1
Solids: 82

Units: mg/Kg							
Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
12674-11-2	Aroclor-1016	0.030	U	11097-69-1	(^)Aroclor-1254	0.030	0.11
11104-28-2	Aroclor-1221	0.030	U	11096-82-5	Aroclor-1260	0.030	U
11141-16-5	Aroclor-1232	0.030	U	37324-23-5	Aroclor-1262	0.030	U
53469-21-9	Aroclor-1242	0.030	U	11100-14-4	Aroclor-1268	0.030	U
12672-29-6	Aroclor-1248	0.030	U	1336-36-3	Aroclor (Total)	0.030	0.11

Worksheet #: 266483

Total Target Concentration 0.11

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.
J - Indicates the analyte was found in the blank as well as in the sample.
d - Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out
J - Indicates an estimated value when a compound is detected at less than the specified detection limit.
d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used

Form1
ORGANICS PCB REPORT

Sample Number: AC72749-007

Client Id: 8961_SB-02

Data File: 3G76622.D

Analysis Date: 06/10/13 12:53

Date Rec/Extracted: 06/04/13-06/07/13

Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8082

Matrix: Soil

Initial Vol: 20g

Final Vol: 10ml

Dilution: 1

Solids: 84

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
12674-11-2	Aroclor-1016	0.030	U	11097-69-1	Aroclor-1254	0.030	U
11104-28-2	Aroclor-1221	0.030	U	11096-82-5	Aroclor-1260	0.030	U
11141-16-5	Aroclor-1232	0.030	U	37324-23-5	Aroclor-1262	0.030	U
53469-21-9	Aroclor-1242	0.030	U	11100-14-4	Aroclor-1268	0.030	U
12672-29-6	Aroclor-1248	0.030	U	1336-36-3	Aroclor (Total)	0.030	U

Worksheet #: 266483

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**B - Indicates the analyte was found in the blank as well as in the sample.**E - Indicates the analyte concentration exceeds the calibration range of the instrument.**R - Retention Time Out**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used*

Form1

ORGANICS PCB REPORT

Sample Number: AC72749-008

Client Id: 8961_SB-03

Data File: 3G76623.D

Analysis Date: 06/10/13 13:08

Date Rec/Extracted: 06/04/13-06/07/13

Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8082

Matrix: Soil

Initial Vol: 20g

Final Vol: 10ml

Dilution: 1

Solids: 84

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
12674-11-2	Aroclor-1016	0.030	U	11097-69-1	Aroclor-1254	0.030	U
11104-28-2	Aroclor-1221	0.030	U	11096-82-5	Aroclor-1260	0.030	U
11141-16-5	Aroclor-1232	0.030	U	37324-23-5	Aroclor-1262	0.030	U
53469-21-9	Aroclor-1242	0.030	U	11100-14-4	Aroclor-1268	0.030	U
12672-29-6	Aroclor-1248	0.030	U	1336-36-3	Aroclor (Total)	0.030	U

Worksheet #: 266483

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

J - Indicates the analyte was found in the blank as well as in the sample.

d - Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used

Form1
ORGANICS PCB REPORT

Sample Number: AC72749-009
Client Id: 8961_SB-04
Data File: 3G76624.D
Analysis Date: 06/10/13 13:24
Date Rec/Extracted: 06/04/13-06/07/13
Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8082
Matrix: Soil
Initial Vol: 20g
Final Vol: 10ml
Dilution: 1
Solids: 83

Units: mg/Kg							
Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
12674-11-2	Aroclor-1016	0.030	U	11097-69-1	Aroclor-1254	0.030	U
11104-28-2	Aroclor-1221	0.030	U	11096-82-5	Aroclor-1260	0.030	U
11141-16-5	Aroclor-1232	0.030	U	37324-23-5	Aroclor-1262	0.030	U
53469-21-9	Aroclor-1242	0.030	U	11100-14-4	Aroclor-1268	0.030	U
12672-29-6	Aroclor-1248	0.030	U	1336-36-3	Aroclor (Total)	0.030	U

Worksheet #: 266483

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**B - Indicates the analyte was found in the blank as well as in the sample.**E - Indicates the analyte concentration exceeds the calibration range of the instrument.**R - Retention Time Out**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used.*

Form1

ORGANICS PCB REPORT

Sample Number: AC72749-010

Client Id: 8961_SB-05

Data File: 3G76625.D

Analysis Date: 06/10/13 13:39

Date Rec/Extracted: 06/04/13-06/07/13

Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8082

Matrix: Soil

Initial Vol: 20g

Final Vol: 10ml

Dilution: 1

Solids: 86

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
12674-11-2	Aroclor-1016	0.029	U	11097-69-1	Aroclor-1254	0.029	U
11104-28-2	Aroclor-1221	0.029	U	11096-82-5	Aroclor-1260	0.029	U
11141-16-5	Aroclor-1232	0.029	U	37324-23-5	Aroclor-1262	0.029	U
53469-21-9	Aroclor-1242	0.029	U	11100-14-4	Aroclor-1268	0.029	U
12672-29-6	Aroclor-1248	0.029	U	1336-36-3	Aroclor (Total)	0.029	U

Worksheet #: 266483

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

J - Indicates the analyte was found in the blank as well as in the sample.

d - Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used

Form1**ORGANICS PESTICIDE REPORT**

Sample Number: SMB25376

Client Id:

Data File: 5G46404.D

Analysis Date: 06/11/13 02:02

Date Rec/Extracted: NA-06/07/13

Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8081A

Matrix: Soil

Initial Vol: 20g

Final Vol: 10ml

Dilution: 1

Solids: 100

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
309-00-2	Aldrin	0.0050	U	7421-93-4	Endrin Aldehyde	0.0050	U
319-84-6	alpha-BHC	0.0010	U	53494-70-5	Endrin Ketone	0.0050	U
319-85-7	beta-BHC	0.0010	U	58-89-9	gamma-BHC	0.0010	U
57-74-9	Chlordane	0.025	U	76-44-8	Heptachlor	0.0050	U
319-86-8	delta-BHC	0.0050	U	1024-57-3	Heptachlor Epoxide	0.0050	U
60-57-1	Dieldrin	0.0010	U	72-43-5	Methoxychlor	0.0050	U
959-98-8	Endosulfan I	0.0050	U	72-54-8	p,p'-DDD	0.0025	U
33213-65-9	Endosulfan II	0.0050	U	72-55-9	p,p'-DDE	0.0025	U
1031-07-8	Endosulfan Sulfate	0.0050	U	50-29-3	p,p'-DDT	0.0025	U
72-20-8	Endrin	0.0050	U	8001-35-2	Toxaphene	0.025	U

Worksheet #: 267477

Total Target Concentration 0

ColumnID: (*) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**B - Indicates the analyte was found in the blank as well as in the sample.**E - Indicates the analyte concentration exceeds the calibration range of the instrument.**R - Retention Time Out**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used*

Form1**ORGANICS PESTICIDE REPORT**

Sample Number: AC72749-006

Client Id: 8961_SB-01

Data File: 6G41491.D

Analysis Date: 06/11/13 07:56

Date Rec/Extracted: 06/04/13-06/07/13

Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8081A

Matrix: Soil

Initial Vol: 20g

Final Vol: 10ml

Dilution: 1

Solids: 82

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
309-00-2	Aldrin	0.0061	U	7421-93-4	Endrin Aldehyde	0.0061	U
319-84-6	alpha-BHC	0.0012	U	53494-70-5	Endrin Ketone	0.0061	U
319-85-7	beta-BHC	0.0012	U	58-89-9	gamma-BHC	0.0012	U
57-74-9	(^) Chlordane	0.030	0.078	76-44-8	Heptachlor	0.0061	U
319-86-8	delta-BHC	0.0061	U	1024-57-3	Heptachlor Epoxide	0.0061	U
60-57-1	Dieldrin	0.0012	U	72-43-5	Methoxychlor	0.0061	U
959-98-8	Endosulfan I	0.0061	U	72-54-8	p,p'-DDD	0.0030	U
33213-65-9	Endosulfan II	0.0061	U	72-55-9	p,p'-DDE	0.0030	0.012
1031-07-8	Endosulfan Sulfate	0.0061	U	50-29-3	(^) p,p'-DDT	0.0030	0.026
72-20-8	Endrin	0.0061	U	8001-35-2	Toxaphene	0.030	U

Worksheet #: 266527

Total Target Concentration 0.012

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

B - Indicates the analyte was found in the blank as well as in the sample.

E - Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used

Form1
ORGANICS PESTICIDE REPORT

Sample Number: AC72749-007
Client Id: 8961_SB-02
Data File: 6G41482.D
Analysis Date: 06/11/13 05:18
Date Rec/Extracted: 06/04/13-06/07/13
Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8081A
Matrix: Soil
Initial Vol: 20g
Final Vol: 10ml
Dilution: 1
Solids: 84

Units: mg/Kg							
Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
309-00-2	Aldrin	0.0060	U	7421-93-4	Endrin Aldehyde	0.0060	U
319-84-6	alpha-BHC	0.0012	U	53494-70-5	Endrin Ketone	0.0060	U
319-85-7	beta-BHC	0.0012	U	58-89-9	gamma-BHC	0.0012	U
57-74-9	Chlordane	0.030	U	76-44-8	Heptachlor	0.0060	U
319-86-8	delta-BHC	0.0060	U	1024-57-3	Heptachlor Epoxide	0.0060	U
60-57-1	Dieldrin	0.0012	U	72-43-5	Methoxychlor	0.0060	U
959-98-8	Endosulfan I	0.0060	U	72-54-8	p,p'-DDD	0.0030	U
33213-65-9	Endosulfan II	0.0060	U	72-55-9	p,p'-DDE	0.0030	U
1031-07-8	Endosulfan Sulfate	0.0060	U	50-29-3	p,p'-DDT	0.0030	U
72-20-8	Endrin	0.0060	U	8001-35-2	Toxaphene	0.030	U

Worksheet #: 266523

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.
B - Indicates the analyte was found in the blank as well as in the sample.
E - Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out
J - Indicates an estimated value when a compound is detected at less than the specified detection limit.
d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used

Form1**ORGANICS PESTICIDE REPORT**

Sample Number: AC72749-008

Client Id: 8961_SB-03

Data File: 6G41483.D

Analysis Date: 06/11/13 05:36

Date Rec/Extracted: 06/04/13-06/07/13

Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8081A

Matrix: Soil

Initial Vol: 20g

Final Vol: 10ml

Dilution: 1

Solids: 84

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
309-00-2	Aldrin	0.0060	U	7421-93-4	Endrin Aldehyde	0.0060	U
319-84-6	alpha-BHC	0.0012	U	53494-70-5	Endrin Ketone	0.0060	U
319-85-7	beta-BHC	0.0012	U	58-89-9	gamma-BHC	0.0012	U
57-74-9	Chlordane	0.030	U	76-44-8	Heptachlor	0.0060	U
319-86-8	delta-BHC	0.0060	U	1024-57-3	Heptachlor Epoxide	0.0060	U
60-57-1	Dieldrin	0.0012	U	72-43-5	Methoxychlor	0.0060	U
959-98-8	Endosulfan I	0.0060	U	72-54-8	p,p'-DDD	0.0030	U
33213-65-9	Endosulfan II	0.0060	U	72-55-9	p,p'-DDE	0.0030	0.013
1031-07-8	Endosulfan Sulfate	0.0060	U	50-29-3	(^)*p,p'-DDT	0.0030	0.037
72-20-8	Endrin	0.0060	U	8001-35-2	Toxaphene	0.030	U

Worksheet #: 266523

Total Target Concentration 0.013

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.
 J - Indicates the analyte was found in the blank as well as in the sample.
 d - Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out
 J - Indicates an estimated value when a compound is detected at less than the specified detection limit.
 d - Pesticide %Diff > 40% between columns due to coelution. Lower concentration used

Form1**ORGANICS PESTICIDE REPORT**

Sample Number: AC72749-009

Client Id: 8961_SB-04

Data File: 6G41484.D

Analysis Date: 06/11/13 05:53

Date Rec/Extracted: 06/04/13-06/07/13

Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8081A

Matrix: Soil

Initial Vol: 20g

Final Vol: 10ml

Dilution: 1

Solids: 83

Units: mg/Kg							
Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
309-00-2	Aldrin	0.0060	U	7421-93-4	Endrin Aldehyde	0.0060	U
319-84-6	alpha-BHC	0.0012	U	53494-70-5	Endrin Ketone	0.0060	U
319-85-7	beta-BHC	0.0012	U	58-89-9	gamma-BHC	0.0012	U
57-74-9	(^) Chlordane	0.030	0.14	76-44-8	Heptachlor	0.0060	U
319-86-8	delta-BHC	0.0060	U	1024-57-3	Heptachlor Epoxide	0.0060	U
60-57-1	(^) Dieldrin	0.0012	0.11	72-43-5	Methoxychlor	0.0060	U
959-98-8	Endosulfan I	0.0060	U	72-54-8	p,p'-DDD	0.0030	0.0093
33213-65-9	Endosulfan II	0.0060	U	72-55-9	(^) p,p'-DDE	0.0030	0.070
1031-07-8	Endosulfan Sulfate	0.0060	U	50-29-3	(^) p,p'-DDT	0.0030	0.070
72-20-8	Endrin	0.0060	U	8001-35-2	Toxaphene	0.030	U

Worksheet #: 266523

Total Target Concentration 0.0093

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**B - Indicates the analyte was found in the blank as well as in the sample.**E - Indicates the analyte concentration exceeds the calibration range of the instrument.**R - Retention Time Out**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used*

Form1

ORGANICS PESTICIDE REPORT

Sample Number: AC72749-010

Client Id: 8961_SB-05

Data File: 6G41485.D

Analysis Date: 06/11/13 06:11

Date Rec/Extracted: 06/04/13-06/07/13

Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8081A

Matrix: Soil

Initial Vol: 20g

Final Vol: 10ml

Dilution: 1

Solids: 86

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
309-00-2	Aldrin	0.0058	U	7421-93-4	Endrin Aldehyde	0.0058	U
319-84-6	alpha-BHC	0.0012	U	53494-70-5	Endrin Ketone	0.0058	U
319-85-7	beta-BHC	0.0012	U	58-89-9	gamma-BHC	0.0012	U
57-74-9	(^) Chlordane	0.029	0.098	76-44-8	Heptachlor	0.0058	U
319-86-8	delta-BHC	0.0058	U	1024-57-3	Heptachlor Epoxide	0.0058	U
60-57-1	Dieldrin	0.0012	0.0048 d	72-43-5	Methoxychlor	0.0058	U
959-98-8	Endosulfan I	0.0058	U	72-54-8	p,p'-DDD	0.0029	U
33213-65-9	Endosulfan II	0.0058	U	72-55-9	(^) p,p'-DDE	0.0029	0.019
1031-07-8	Endosulfan Sulfate	0.0058	U	50-29-3	p,p'-DDT	0.0029	0.023
72-20-8	Endrin	0.0058	U	8001-35-2	Toxaphene	0.029	U

Worksheet #: 266523

Total Target Concentration 0.028

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

J - Indicates the analyte was found in the blank as well as in the sample.

d - Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

d - Pesticide %Diff > 40% between columns due to coelution. Lower concentration used

Form1

Inorganic Analysis Data Sheet

Sample ID: MB 24243 (100)
 Client Id: MB 24243 (100)
 Matrix: SOIL
 Level: LOW

% Solid: 0
 Units: MG/KG

Lab Name: Veritech
 Lab Code:

Cas No.	Analyte	RL	Conc	Dil Fact	Initial Wt/Vol	Final Wt/Vol	Analysis Date	Prep Batch	File:	Seq Num	M	Instr
7429-90-5	Aluminum	200	ND	1	0.5	50	06/05/13	24243	S15171A3	10	P	PEICPRAD3A
7440-36-0	Antimony	2.0	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A
7440-38-2	Arsenic	2.0	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A
7440-39-3	Barium	10	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A
7440-41-7	Beryllium	0.60	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A
7440-43-9	Cadmium	0.60	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A
7440-70-2	Calcium	1000	ND	1	0.5	50	06/05/13	24243	S15171A3	10	P	PEICPRAD3A
7440-47-3	Chromium	5.0	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A
7440-48-4	Cobalt	2.5	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A
7440-50-8	Copper	5.0	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A
7439-89-6	Iron	200	ND	1	0.5	50	06/05/13	24243	S15171A3	10	P	PEICPRAD3A
7439-92-1	Lead	5.0	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A
7439-95-4	Magnesium	500	ND	1	0.5	50	06/05/13	24243	S15171A3	10	P	PEICPRAD3A
7439-96-5	Manganese	10	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A
7439-98-7	Molybdenum	2.5	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A
7440-02-0	Nickel	5.0	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A
7440-09-7	Potassium	500	ND	1	0.5	50	06/05/13	24243	S15171A3	10	P	PEICPRAD3A
7782-49-2	Selenium	1.8	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A
7440-22-4	Silver	1.5	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A
7440-23-5	Sodium	250	ND	1	0.5	50	06/05/13	24243	S15171A3	10	P	PEICPRAD3A
7440-28-0	Thallium	1.2	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A
7440-31-5	Tin	5.7	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A
7440-32-6	Titanium	35	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A
7440-62-2	Vanadium	10	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A
7440-66-6	Zinc	10	ND	1	0.5	50	06/05/13	24243	S15171B2	20	P	PEICP2A

Comments:

Flag Codes:

U or ND - Indicates Compound was not found above the detection/reporting limit

P - ICP-AES

CV - Cold Vapor

MS - ICP-MS

Form1
Inorganic Analysis Data Sheet

Sample ID: MB 24243 (167)
Client Id: MB 24243 (167)
Matrix: SOIL
Level: LOW

% Solid: 0
Units: MG/KG

Lab Name: Veritech
Lab Code:

Cas No.	Analyte	RL	Conc	Dil Fact	Initial Wt/Vol	Final Wt/Vol	Analysis Date	Prep Batch	File:	Seq Num	M	Instr
7439-97-6	Mercury	0.083	ND	1	0.15	25	06/05/13	24243	H15171S	11	CV	HGCV1A

Comments: _____

Flag Codes:

U or ND - Indicates Compound was not found above the detection/reporting limit
P - ICP-AES
CV -ColdVapor
MS - ICP-MS

Form1

Inorganic Analysis Data Sheet

Sample ID: AC72749-006
 Client Id: 8961_SB-01
 Matrix: SOIL
 Level: LOW

% Solid: 82
 Units: MG/KG
 Date Rec: 6/4/2013

Lab Name: Veritech
 Lab Code:
 Contract:

Nras No:
 Sdg No:
 Case No:

Cas No.	Analyte	RL	Conc	Dil Fact	Initial Wt/Vol	Final Wt/Vol	Analysis Date	Prep Batch	File:	Seq Num	M	Instr
7429-90-5	Aluminum	240	8000	1	0.5	50	06/05/13	24243	S15171A3	36	P	PEICPRAD3A
7440-36-0	Antimony	2.4	ND	1	0.5	50	06/05/13	24243	S15171B2	41	P	PEICP2A
7440-38-2	Arsenic	2.4	6.1	1	0.5	50	06/05/13	24243	S15171B2	41	P	PEICP2A
7440-39-3	Barium	12	69	1	0.5	50	06/05/13	24243	S15171B2	41	P	PEICP2A
7440-41-7	Beryllium	0.73	ND	1	0.5	50	06/05/13	24243	S15171B2	41	P	PEICP2A
7440-43-9	Cadmium	0.73	ND	1	0.5	50	06/05/13	24243	S15171B2	41	P	PEICP2A
7440-70-2	Calcium	1200	12000	1	0.5	50	06/05/13	24243	S15171A3	36	P	PEICPRAD3A
7440-47-3	Chromium	6.1	16	1	0.5	50	06/05/13	24243	S15171B2	41	P	PEICP2A
7440-48-4	Cobalt	3.0	6.4	1	0.5	50	06/05/13	24243	S15171B2	41	P	PEICP2A
7440-50-8	Copper	6.1	30	1	0.5	50	06/05/13	24243	S15171B2	41	P	PEICP2A
7439-89-6	Iron	240	14000	1	0.5	50	06/05/13	24243	S15171A3	36	P	PEICPRAD3A
7439-92-1	Lead	6.1	73	1	0.5	50	06/05/13	24243	S15171B2	41	P	PEICP2A
7439-95-4	Magnesium	610	5300	1	0.5	50	06/05/13	24243	S15171A3	36	P	PEICPRAD3A
7439-96-5	Manganese	12	250	1	0.5	50	06/05/13	24243	S15171B2	41	P	PEICP2A
7439-97-6	Mercury	0.10	0.16	1	0.15	25	06/05/13	24243	H15171S	35	CV	HGCV1A
7440-02-0	Nickel	6.1	19	1	0.5	50	06/05/13	24243	S15171B2	41	P	PEICP2A
7440-09-7	Potassium	610	1600	1	0.5	50	06/05/13	24243	S15171A3	36	P	PEICPRAD3A
7782-49-2	Selenium	2.2	ND	1	0.5	50	06/05/13	24243	S15171B2	41	P	PEICP2A
7440-22-4	Silver	1.8	ND	1	0.5	50	06/05/13	24243	S15171B2	41	P	PEICP2A
7440-23-5	Sodium	300	ND	1	0.5	50	06/05/13	24243	S15171A3	36	P	PEICPRAD3A
7440-28-0	Thallium	1.5	ND	1	0.5	50	06/05/13	24243	S15171B2	41	P	PEICP2A
7440-62-2	Vanadium	12	22	1	0.5	50	06/05/13	24243	S15171B2	41	P	PEICP2A
7440-66-6	Zinc	12	91	1	0.5	50	06/05/13	24243	S15171B2	41	P	PEICP2A

Comments: _____

Flag Codes:

U or ND - Indicates Compound was not found above the detection/reporting limit
 P - ICP-AES
 CV - ColdVapor
 MS - ICP-MS

Form1

Inorganic Analysis Data Sheet

Sample ID: AC72749-007
 Client Id: 8961_SB-02
 Matrix: SOIL
 Level: LOW

% Solid: 84
 Units: MG/KG
 Date Rec: 6/4/2013

Lab Name: Veritech
 Lab Code:
 Contract:

Nras No:
 Sdg No:
 Case No:

Cas No.	Analyte	RL	Conc	Dil Fact	Initial Wt/Vol	Final Wt/Vol	Analysis Date	Prep Batch	File:	Seq Num	M	Instr
7429-90-5	Aluminum	240	16000	1	0.5	50	06/05/13	24243	S15171A3	37	P	PEICPRAD3A
7440-36-0	Antimony	2.4	ND	1	0.5	50	06/05/13	24243	S15171B2	42	P	PEICP2A
7440-38-2	Arsenic	2.4	4.5	1	0.5	50	06/05/13	24243	S15171B2	42	P	PEICP2A
7440-39-3	Barium	12	80	1	0.5	50	06/05/13	24243	S15171B2	42	P	PEICP2A
7440-41-7	Beryllium	0.71	ND	1	0.5	50	06/05/13	24243	S15171B2	42	P	PEICP2A
7440-43-9	Cadmium	0.71	ND	1	0.5	50	06/05/13	24243	S15171B2	42	P	PEICP2A
7440-70-2	Calcium	1200	1700	1	0.5	50	06/05/13	24243	S15171A3	37	P	PEICPRAD3A
7440-47-3	Chromium	6.0	21	1	0.5	50	06/05/13	24243	S15171B2	42	P	PEICP2A
7440-48-4	Cobalt	3.0	10	1	0.5	50	06/05/13	24243	S15171B2	42	P	PEICP2A
7440-50-8	Copper	6.0	35	1	0.5	50	06/05/13	24243	S15171B2	42	P	PEICP2A
7439-89-6	Iron	240	24000	1	0.5	50	06/05/13	24243	S15171A3	37	P	PEICPRAD3A
7439-92-1	Lead	6.0	26	1	0.5	50	06/05/13	24243	S15171B2	42	P	PEICP2A
7439-95-4	Magnesium	600	4900	1	0.5	50	06/05/13	24243	S15171A3	37	P	PEICPRAD3A
7439-96-5	Manganese	12	380	1	0.5	50	06/05/13	24243	S15171B2	42	P	PEICP2A
7439-97-6	Mercury	0.099	ND	1	0.15	25	06/05/13	24243	H15171S	36	CV	HGCV1A
7440-02-0	Nickel	6.0	20	1	0.5	50	06/05/13	24243	S15171B2	42	P	PEICP2A
7440-09-7	Potassium	600	2400	1	0.5	50	06/05/13	24243	S15171A3	37	P	PEICPRAD3A
7782-49-2	Selenium	2.1	ND	1	0.5	50	06/05/13	24243	S15171B2	42	P	PEICP2A
7440-22-4	Silver	1.8	ND	1	0.5	50	06/05/13	24243	S15171B2	42	P	PEICP2A
7440-23-5	Sodium	300	ND	1	0.5	50	06/05/13	24243	S15171A3	37	P	PEICPRAD3A
7440-28-0	Thallium	1.4	ND	1	0.5	50	06/05/13	24243	S15171B2	42	P	PEICP2A
7440-62-2	Vanadium	12	32	1	0.5	50	06/05/13	24243	S15171B2	42	P	PEICP2A
7440-66-6	Zinc	12	63	1	0.5	50	06/05/13	24243	S15171B2	42	P	PEICP2A

Comments:

Flag Codes:

U or ND - Indicates Compound was not found above the detection/reporting limit

P - ICP-AES

CV - Cold Vapor

MS - ICP-MS

Form1

Inorganic Analysis Data Sheet

Sample ID: AC72749-008
 Client Id: 8961_SB-03
 Matrix: SOIL
 Level: LOW

% Solid: 84
 Units: MG/KG
 Date Rec: 6/4/2013

Lab Name: Veritech
 Lab Code:
 Contract:

Nras No:
 Sdg No:
 Case No:

Cas No.	Analyte	RL	Conc	Dil Fact	Initial Wt/Vol	Final Wt/Vol	Analysis Date	Prep Batch	File:	Seq Num	M	Instr
7429-90-5	Aluminum	240	10000	1	0.5	50	06/05/13	24243	S15171A3	42	P	PEICPRAD3A
7440-36-0	Antimony	2.4	ND	1	0.5	50	06/05/13	24243	S15171B2	43	P	PEICP2A
7440-38-2	Arsenic	2.4	5.9	1	0.5	50	06/05/13	24243	S15171B2	43	P	PEICP2A
7440-39-3	Barium	12	120	1	0.5	50	06/05/13	24243	S15171B2	43	P	PEICP2A
7440-41-7	Beryllium	0.71	ND	1	0.5	50	06/05/13	24243	S15171B2	43	P	PEICP2A
7440-43-9	Cadmium	0.71	ND	1	0.5	50	06/05/13	24243	S15171B2	43	P	PEICP2A
7440-70-2	Calcium	1200	18000	1	0.5	50	06/05/13	24243	S15171A3	42	P	PEICPRAD3A
7440-47-3	Chromium	6.0	19	1	0.5	50	06/05/13	24243	S15171B2	43	P	PEICP2A
7440-48-4	Cobalt	3.0	7.9	1	0.5	50	06/05/13	24243	S15171B2	43	P	PEICP2A
7440-50-8	Copper	6.0	63	1	0.5	50	06/05/13	24243	S15171B2	43	P	PEICP2A
7439-89-6	Iron	240	17000	1	0.5	50	06/05/13	24243	S15171A3	42	P	PEICPRAD3A
7439-92-1	Lead	6.0	68	1	0.5	50	06/05/13	24243	S15171B2	43	P	PEICP2A
7439-95-4	Magnesium	600	3900	1	0.5	50	06/05/13	24243	S15171A3	42	P	PEICPRAD3A
7439-96-5	Manganese	12	250	1	0.5	50	06/05/13	24243	S15171B2	43	P	PEICP2A
7439-97-6	Mercury	0.099	0.20	1	0.15	25	06/05/13	24243	H15171S	37	CV	HGCV1A
7440-02-0	Nickel	6.0	21	1	0.5	50	06/05/13	24243	S15171B2	43	P	PEICP2A
7440-09-7	Potassium	600	2200	1	0.5	50	06/05/13	24243	S15171A3	42	P	PEICPRAD3A
7782-49-2	Selenium	2.1	ND	1	0.5	50	06/05/13	24243	S15171B2	43	P	PEICP2A
7440-22-4	Silver	1.8	ND	1	0.5	50	06/05/13	24243	S15171B2	43	P	PEICP2A
7440-23-5	Sodium	300	ND	1	0.5	50	06/05/13	24243	S15171A3	42	P	PEICPRAD3A
7440-28-0	Thallium	1.4	ND	1	0.5	50	06/05/13	24243	S15171B2	43	P	PEICP2A
7440-62-2	Vanadium	12	27	1	0.5	50	06/05/13	24243	S15171B2	43	P	PEICP2A
7440-66-6	Zinc	12	110	1	0.5	50	06/05/13	24243	S15171B2	43	P	PEICP2A

Comments:

Flag Codes:

U or ND - Indicates Compound was not found above the detection/reporting limit
 P - ICP-AES
 CV - Cold Vapor
 MS - ICP-MS

Form1

Inorganic Analysis Data Sheet

Sample ID: AC72749-009
 Client Id: 8961_SB-04
 Matrix: SOIL
 Level: LOW

% Solid: 83
 Units: MG/KG
 Date Rec: 6/4/2013

Lab Name: Veritech
 Lab Code:
 Contract:

Nras No:
 Sdg No:
 Case No:

Cas No.	Analyte	RL	Conc	Dil Fact	Initial Wt/Vol	Final Wt/Vol	Analysis Date	Prep Batch	File:	Seq Num	M	Instr
7429-90-5	Aluminum	240	12000	1	0.5	50	06/05/13	24243	S15171A3	43	P	PEICPRAD3A
7440-36-0	Antimony	2.4	3.3	1	0.5	50	06/05/13	24243	S15171B2	44	P	PEICP2A
7440-38-2	Arsenic	2.4	17	1	0.5	50	06/05/13	24243	S15171B2	44	P	PEICP2A
7440-39-3	Barium	12	650	1	0.5	50	06/05/13	24243	S15171B2	44	P	PEICP2A
7440-41-7	Beryllium	0.72	ND	1	0.5	50	06/05/13	24243	S15171B2	44	P	PEICP2A
7440-43-9	Cadmium	0.72	0.73	1	0.5	50	06/05/13	24243	S15171B2	44	P	PEICP2A
7440-70-2	Calcium	1200	5800	1	0.5	50	06/05/13	24243	S15171A3	43	P	PEICPRAD3A
7440-47-3	Chromium	6.0	23	1	0.5	50	06/05/13	24243	S15171B2	44	P	PEICP2A
7440-48-4	Cobalt	3.0	6.9	1	0.5	50	06/05/13	24243	S15171B2	44	P	PEICP2A
7440-50-8	Copper	6.0	81	1	0.5	50	06/05/13	24243	S15171B2	44	P	PEICP2A
7439-89-6	Iron	240	18000	1	0.5	50	06/05/13	24243	S15171A3	43	P	PEICPRAD3A
7439-92-1	Lead	6.0	260	1	0.5	50	06/05/13	24243	S15171B2	44	P	PEICP2A
7439-95-4	Magnesium	600	2200	1	0.5	50	06/05/13	24243	S15171A3	43	P	PEICPRAD3A
7439-96-5	Manganese	12	270	1	0.5	50	06/05/13	24243	S15171B2	44	P	PEICP2A
7439-97-6	Mercury	0.10	0.58	1	0.15	25	06/05/13	24243	H15171S	38	CV	HGCV1A
7440-02-0	Nickel	6.0	16	1	0.5	50	06/05/13	24243	S15171B2	44	P	PEICP2A
7440-09-7	Potassium	600	1000	1	0.5	50	06/05/13	24243	S15171A3	43	P	PEICPRAD3A
7782-49-2	Selenium	2.2	ND	1	0.5	50	06/05/13	24243	S15171B2	44	P	PEICP2A
7440-22-4	Silver	1.8	ND	1	0.5	50	06/05/13	24243	S15171B2	44	P	PEICP2A
7440-23-5	Sodium	300	380	1	0.5	50	06/05/13	24243	S15171A3	43	P	PEICPRAD3A
7440-28-0	Thallium	1.4	ND	1	0.5	50	06/05/13	24243	S15171B2	44	P	PEICP2A
7440-62-2	Vanadium	12	28	1	0.5	50	06/05/13	24243	S15171B2	44	P	PEICP2A
7440-66-6	Zinc	12	430	1	0.5	50	06/05/13	24243	S15171B2	44	P	PEICP2A

Comments: _____

Flag Codes:

U or ND - Indicates Compound was not found above the detection/reporting limit

P - ICP-AES

CV - Cold Vapor

MS - ICP-MS

Form1

Inorganic Analysis Data Sheet

Sample ID: AC72749-010
 Client Id: 8961_SB-05
 Matrix: SOIL
 Level: LOW

% Solid: 86
 Units: MG/KG
 Date Rec: 6/4/2013

Lab Name: Veritech
 Lab Code:
 Contract:

Nras No:
 Sdg No:
 Case No:

Cas No.	Analyte	RL	Conc	Dil Fact	Initial Wt/Vol	Final Wt/Vol	Analysis Date	Prep Batch	File:	Seq Num	M	Instr
7429-90-5	Aluminum	230	6900	1	0.5	50	06/05/13	24243	S15171A3	44	P	PEICPRAD3A
7440-36-0	Antimony	2.3	ND	1	0.5	50	06/05/13	24243	S15171B2	45	P	PEICP2A
7440-38-2	Arsenic	2.3	4.1	1	0.5	50	06/05/13	24243	S15171B2	45	P	PEICP2A
7440-39-3	Barium	12	73	1	0.5	50	06/05/13	24243	S15171B2	45	P	PEICP2A
7440-41-7	Beryllium	0.70	ND	1	0.5	50	06/05/13	24243	S15171B2	45	P	PEICP2A
7440-43-9	Cadmium	0.70	ND	1	0.5	50	06/05/13	24243	S15171B2	45	P	PEICP2A
7440-70-2	Calcium	1200	11000	1	0.5	50	06/05/13	24243	S15171A3	44	P	PEICPRAD3A
7440-47-3	Chromium	5.8	13	1	0.5	50	06/05/13	24243	S15171B2	45	P	PEICP2A
7440-48-4	Cobalt	2.9	6.4	1	0.5	50	06/05/13	24243	S15171B2	45	P	PEICP2A
7440-50-8	Copper	5.8	29	1	0.5	50	06/05/13	24243	S15171B2	45	P	PEICP2A
7439-89-6	Iron	230	13000	1	0.5	50	06/05/13	24243	S15171A3	44	P	PEICPRAD3A
7439-92-1	Lead	5.8	110	1	0.5	50	06/05/13	24243	S15171B2	45	P	PEICP2A
7439-95-4	Magnesium	580	2800	1	0.5	50	06/05/13	24243	S15171A3	44	P	PEICPRAD3A
7439-96-5	Manganese	12	200	1	0.5	50	06/05/13	24243	S15171B2	45	P	PEICP2A
7439-97-6	Mercury	0.097	0.18	1	0.15	25	06/05/13	24243	H15171S	39	CV	HGCV1A
7440-02-0	Nickel	5.8	15	1	0.5	50	06/05/13	24243	S15171B2	45	P	PEICP2A
7440-09-7	Potassium	580	1700	1	0.5	50	06/05/13	24243	S15171A3	44	P	PEICPRAD3A
7782-49-2	Selenium	2.1	ND	1	0.5	50	06/05/13	24243	S15171B2	45	P	PEICP2A
7440-22-4	Silver	1.7	ND	1	0.5	50	06/05/13	24243	S15171B2	45	P	PEICP2A
7440-23-5	Sodium	290	ND	1	0.5	50	06/05/13	24243	S15171A3	44	P	PEICPRAD3A
7440-28-0	Thallium	1.4	ND	1	0.5	50	06/05/13	24243	S15171B2	45	P	PEICP2A
7440-62-2	Vanadium	12	21	1	0.5	50	06/05/13	24243	S15171B2	45	P	PEICP2A
7440-66-6	Zinc	12	100	1	0.5	50	06/05/13	24243	S15171B2	45	P	PEICP2A

Comments: _____

Flag Codes:

U or ND - Indicates Compound was not found above the detection/reporting limit

P - ICP-AES

CV - Cold Vapor

MS - ICP-MS

FORM 3 **(ICB/CCB/MB Summary)**

Date Analyzed: 06/05/13

Data File: S15171A3

Prep Batch: 24243

Reporting Limits Used: SOIL,6010B(ICP)/7470A,7471A(Hg),6020

Instrument: PEICPRAD3A

Units: All units in ppm except Hg and icp-ms in ppb

Project Number: 3060407

Lab Name: Veritech

Lab Code:

Contract:

Nras No:

Sdg No:

Case No:

Analyte	ICB V-166254- 7	CCB V-166254- 19	CCB V-166254- 30	CCB V-166254- 41	CCB V-166254- 49	MB 24243 (100)-10		
Aluminum	2 U	2 U	2 U	2 U	2 U	200 U		
Calcium	10 U	10 U	10 U	10 U	10 U	1000 U		
Iron	2 U	2 U	2 U	2 U	2 U	200 U		
Magnesium	5 U	5 U	5 U	5 U	5 U	500 U		
Potassium	5 U	5 U	5 U	5 U	5 U	500 U		
Sodium	2.5 U	2.5 U	2.5 U	2.5 U	2.5 U	250 U		

Notes: a-indicates absolute value of result found above the reporting limits in CCB/ICB or result found above reporting limit in the MB
u-indicates result below reporting limit

FORM 3 **(ICB/CCB/MB Summary)**

Date Analyzed: 06/05/13

Data File: S15171B2

Prep Batch: 24243

Reporting Limits Used: SOIL,6010B(ICP)/7470A,7471A(Hg),6020

Instrument: PEICP2A

Units: All units in ppm except Hg and icp-ms in ppb

Project Number: 3060407

Lab Name: Veritech

Lab Code:

Contract:

Nras No:

Sdg No:

Case No:

Analyte	ICB V-166272- 8	CCB-19	CCB-30	CCB-40	CCB-51	MB 24243 (100)-20		
Antimony	.02 U	.02 U	.02 U	.02 U	.02 U	2 U		
Arsenic	.02 U	.02 U	.02 U	.02 U	.02 U	2 U		
Barium	.1 U	.1 U	.1 U	.1 U	.1 U	10 U		
Beryllium	.006 U	.006 U	.006 U	.006 U	.006 U	.6 U		
Cadmium	.006 U	.006 U	.006 U	.006 U	.006 U	.6 U		
Chromium	.05 U	.05 U	.05 U	.05 U	.05 U	5 U		
Cobalt	.025 U	.025 U	.025 U	.025 U	.025 U	2.5 U		
Copper	.05 U	.05 U	.05 U	.05 U	.05 U	5 U		
Lead	.05 U	.05 U	.05 U	.05 U	.05 U	5 U		
Manganese	.1 U	.1 U	.1 U	.1 U	.1 U	10 U		
Nickel	.05 U	.05 U	.05 U	.05 U	.05 U	5 U		
Selenium	.018 U	.018 U	.018 U	.018 U	.018 U	1.8 U		
Silver	.015 U	.015 U	.015 U	.015 U	.015 U	1.5 U		
Thallium	.012 U	.012 U	.012 U	.012 U	.012 U	1.2 U		
Vanadium	.1 U	.1 U	.1 U	.1 U	.1 U	10 U		
Zinc	.1 U	.1 U	.1 U	.1 U	.1 U	10 U		

Notes: a-indicates absolute value of result found above the reporting limits in CCB/ICB or result found above reporting limit in the MB
u-indicates result below reporting limit

FORM 3
(ICB/CCB/MB Summary)

Date Analyzed: 06/05/13

Data File: H15171S

Prep Batch: 24243

Reporting Limits Used: SOIL,6010B(ICP)/7470A,7471A(Hg),6020

Instrument: HGC V1A

Units: All units in ppm except Hg and icp-ms in ppb

Project Number: 3060407

Lab Name: Veritech

Lab Code:

Contract:

Nras No:

Sdg No:

Case No:

Analyte	ICB-10	CCB-22	CCB-34	CCB-43	MB 24243 (167)-11			
Mercury	.5 U	.5 U	.5 U	.5 U	83 U			

Notes: a-indicates absolute value of result found above the reporting limits in CCB/ICB or result found above reporting limit in the MB
u-indicates result below reporting limit

FORM5/FORM7
SPIKE RECOVERY DATA
 PREP BATCH: 24243

3060407 0071

Instrument Type: ICP/HG

Analytical Method(s): 6010/200.7/7470A/7471A/245.1

ICP units in ppm, ICPMS and Hg in ppb

TxtQcType: MSD		Matrix: SOIL		SampleID: AC72756-001									
Analyte	Batchld	DF	Data Fil	Seq#	NS Data Fil	Seq#	Spk Conc:	NS Conc:	Spk Adde	Recov	Qual	Lo Lim	Hi Lim
Aluminum	24243	1	S15171A3	16	S15171A3	13	63.7949	49.9718	5.0	276	b	75	125
Antimony	24243	1	S15171B2	26	S15171B2	23	0.2939	0.02U	0.5	59	a	75	125
Arsenic	24243	1	S15171B2	26	S15171B2	23	0.4735	0.02U	0.5	95		75	125
Barium	24243	1	S15171B2	26	S15171B2	23	0.8259	0.3381	0.5	98		75	125
Beryllium	24243	1	S15171B2	26	S15171B2	23	0.4603	0.006U	0.5	92		75	125
Cadmium	24243	1	S15171B2	26	S15171B2	23	0.4598	0.006U	0.5	92		75	125
Calcium	24243	1	S15171A3	16	S15171A3	13	165.0130	100.6970	50	129	a	75	125
Chromium	24243	1	S15171B2	26	S15171B2	23	0.5727	0.1197	0.5	91		75	125
Cobalt	24243	1	S15171B2	26	S15171B2	23	0.5084	0.0422	0.5	93		75	125
Copper	24243	1	S15171B2	26	S15171B2	23	0.6352	0.2070	0.5	86		75	125
Iron	24243	1	S15171A3	16	S15171A3	13	104.6370	179.0360	5.0	-1500	b	75	125
Lead	24243	1	S15171B2	26	S15171B2	23	0.5634	0.1284	0.5	87		75	125
Magnesium	24243	1	S15171A3	16	S15171A3	13	88.3633	34.0003	50	109		75	125
Manganese	24243	1	S15171B2	26	S15171B2	23	2.6526	2.3361	0.5	63	b	75	125
Mercury	24243	1	H15171S	19	H15171S	16	10.0047	.5U	10	100		75	125
Nickel	24243	1	S15171B2	26	S15171B2	23	0.5657	0.1097	0.5	91		75	125
Potassium	24243	1	S15171A3	16	S15171A3	13	57.7789	9.2808	50	97		75	125
Selenium	24243	1	S15171B2	26	S15171B2	23	0.4484	0.018U	0.5	90		75	125
Silver	24243	1	S15171B2	26	S15171B2	23	0.0903	0.015U	0.1	90		75	125
Sodium	24243	1	S15171A3	16	S15171A3	13	47.7574	2.5U	50	96		75	125
Thallium	24243	1	S15171B2	26	S15171B2	23	0.4626	0.012U	0.5	93		75	125
Vanadium	24243	1	S15171B2	26	S15171B2	23	0.6405	0.1719	0.5	94		75	125
Zinc	24243	1	S15171B2	26	S15171B2	23	0.7872	0.3138	0.5	95		75	125

TxtQcType: MS		Matrix: SOIL		SampleID: AC72756-001									
Analyte	Batchld	DF	Data Fil	Seq#	NS Data Fil	Seq#	Spk Conc:	NS Conc:	Spk Adde	Recov	Qual	Lo Lim	Hi Lim
Aluminum	24243	1	S15171A3	15	S15171A3	13	65.2052	49.9718	5.0	305	b	75	125
Antimony	24243	1	S15171B2	25	S15171B2	23	0.3078	0.02U	0.5	62	a	75	125
Arsenic	24243	1	S15171B2	25	S15171B2	23	0.4736	0.02U	0.5	95		75	125
Barium	24243	1	S15171B2	25	S15171B2	23	0.8271	0.3381	0.5	98		75	125
Beryllium	24243	1	S15171B2	25	S15171B2	23	0.4663	0.006U	0.5	93		75	125
Cadmium	24243	1	S15171B2	25	S15171B2	23	0.4611	0.006U	0.5	92		75	125
Calcium	24243	1	S15171A3	15	S15171A3	13	130.5380	100.6970	50	60	a	75	125
Chromium	24243	1	S15171B2	25	S15171B2	23	0.5864	0.1197	0.5	93		75	125
Cobalt	24243	1	S15171B2	25	S15171B2	23	0.5145	0.0422	0.5	94		75	125
Copper	24243	1	S15171B2	25	S15171B2	23	0.7317	0.2070	0.5	105		75	125
Iron	24243	1	S15171A3	15	S15171A3	13	116.7450	179.0360	5.0	-1200	b	75	125
Lead	24243	1	S15171B2	25	S15171B2	23	0.5541	0.1284	0.5	85		75	125
Magnesium	24243	1	S15171A3	15	S15171A3	13	90.4282	34.0003	50	113		75	125
Manganese	24243	1	S15171B2	25	S15171B2	23	2.6227	2.3361	0.5	57	b	75	125
Mercury	24243	1	H15171S	18	H15171S	16	10.1685	.5U	10	102		75	125
Nickel	24243	1	S15171B2	25	S15171B2	23	0.5654	0.1097	0.5	91		75	125
Potassium	24243	1	S15171A3	15	S15171A3	13	60.9216	9.2808	50	103		75	125
Selenium	24243	1	S15171B2	25	S15171B2	23	0.4438	0.018U	0.5	89		75	125
Silver	24243	1	S15171B2	25	S15171B2	23	0.0913	0.015U	0.1	91		75	125
Sodium	24243	1	S15171A3	15	S15171A3	13	49.6454	2.5U	50	99		75	125
Thallium	24243	1	S15171B2	25	S15171B2	23	0.4726	0.012U	0.5	95		75	125
Vanadium	24243	1	S15171B2	25	S15171B2	23	0.6414	0.1719	0.5	94		75	125
Zinc	24243	1	S15171B2	25	S15171B2	23	0.8786	0.3138	0.5	113		75	125

FORM6/FORM9
RPD/%Difference Data
 PREP BATCH: 24243

3060407 0072

Instrument Type: ICP/HG

Analytical Method(s): 6010/200.7/7470A/7471A/245.1

ICP units in ppm, ICPMS and Hg in ppb

TxtQcType: LCSMR		Matrix: SOIL		SampleID: LCS MR 24243					
Analyte	BatchId	Data Fil	Seq#	NS File	Seq#	Result 1	Result 2	RPD	Limit
Aluminum	24243	S15171A3	12	S15171A3	11	67.7795	70.8087	4.4	20
Antimony	24243	S15171B2	22	S15171B2	21	0.7947	0.8062	1.4	20
Arsenic	24243	S15171B2	22	S15171B2	21	1.7410	1.7490	.46	20
Barium	24243	S15171B2	22	S15171B2	21	1.3212	1.3411	1.5	20
Beryllium	24243	S15171B2	22	S15171B2	21	0.9340	0.9358	.2	20
Cadmium	24243	S15171B2	22	S15171B2	21	0.5886	0.5994	1.8	20
Calcium	24243	S15171A3	12	S15171A3	11	56.8932	58.2649	2.4	20
Chromium	24243	S15171B2	22	S15171B2	21	1.1828	1.2028	1.7	20
Cobalt	24243	S15171B2	22	S15171B2	21	1.5694	1.5835	.9	20
Copper	24243	S15171B2	22	S15171B2	21	0.7673	0.7687	.18	20
Iron	24243	S15171A3	12	S15171A3	11	113.1530	116.9330	3.3	20
Lead	24243	S15171B2	22	S15171B2	21	1.2729	1.2796	.52	20
Magnesium	24243	S15171A3	12	S15171A3	11	23.5292	24.4706	3.9	20
Manganese	24243	S15171B2	22	S15171B2	21	2.6087	2.6773	2.6	20
Mercury	24243	H15171S	15	H15171S	14	10.4446	11.0528	5.7	20
Nickel	24243	S15171B2	22	S15171B2	21	1.2441	1.2535	.75	20
Potassium	24243	S15171A3	12	S15171A3	11	24.1387	24.9846	3.4	20
Selenium	24243	S15171B2	22	S15171B2	21	0.8221	0.8309	1.1	20
Silver	24243	S15171B2	22	S15171B2	21	0.5656	0.5695	.68	20
Sodium	24243	S15171A3	12	S15171A3	11	3.6923	3.8108	3.2	20
Thallium	24243	S15171B2	22	S15171B2	21	1.3981	1.3957	.17	20
Vanadium	24243	S15171B2	22	S15171B2	21	0.9424	0.9523	1	20
Zinc	24243	S15171B2	22	S15171B2	21	1.9585	1.9790	1	20

QcType: MR		Matrix: SOIL		SampleID: AC72756-001					
Analyte	BatchId	Data Fil	Seq#	NS File	Seq#	Result 1	Result 2	RPD	Limit
Aluminum	24243	S15171A3	14	S15171A3	13	48.7767	49.9718	2.4	20
Antimony	24243	S15171B2	24	S15171B2	23	0.02U	0.02U	---	20
Arsenic	24243	S15171B2	24	S15171B2	23	0.02U	0.02U	---	20
Barium	24243	S15171B2	24	S15171B2	23	0.3258	0.3381	3.7	20
Beryllium	24243	S15171B2	24	S15171B2	23	0.006U	0.006U	---	20
Cadmium	24243	S15171B2	24	S15171B2	23	0.006U	0.006U	---	20
Calcium	24243	S15171A3	14	S15171A3	13	149.7770	100.6970	39 a	20
Chromium	24243	S15171B2	24	S15171B2	23	0.1052	0.1197	13	20
Cobalt	24243	S15171B2	24	S15171B2	23	0.0378	0.0422	11	20
Copper	24243	S15171B2	24	S15171B2	23	0.1497	0.2070	32 b	20
Iron	24243	S15171A3	14	S15171A3	13	88.3480	179.0360	68 a	20
Lead	24243	S15171B2	24	S15171B2	23	0.1019	0.1284	23 b	20
Magnesium	24243	S15171A3	14	S15171A3	13	41.6489	34.0003	20	20
Manganese	24243	S15171B2	24	S15171B2	23	2.1039	2.3361	10	20
Mercury	24243	H15171S	17	H15171S	16	.5U	.5U	---	20
Nickel	24243	S15171B2	24	S15171B2	23	0.0943	0.1097	15	20
Potassium	24243	S15171A3	14	S15171A3	13	9.4042	9.2808	1.3	20
Selenium	24243	S15171B2	24	S15171B2	23	0.018U	0.018U	---	20
Silver	24243	S15171B2	24	S15171B2	23	0.015U	0.015U	---	20
Sodium	24243	S15171A3	14	S15171A3	13	2.5U	2.5U	---	20
Thallium	24243	S15171B2	24	S15171B2	23	0.012U	0.012U	---	20
Vanadium	24243	S15171B2	24	S15171B2	23	0.1606	0.1719	6.8	20
Zinc	24243	S15171B2	24	S15171B2	23	0.2837	0.3138	10	20

a-Indicates Rpd Failed the criteria

b-Method Rep Out but concentrations < 5*RL

c-Serial dilution Out but conc < 10 * IDL

FORM6/FORM9
RPD/%Difference Data
 PREP BATCH: 24243

3060407 0073

Instrument Type: ICP/HG

Analytical Method(s):6010/200.7/7470A/7471A/245.1

ICP units in ppm, ICPMS and Hg in ppb

TxtQcType: MSD		Matrix: SOIL		SampleID: AC72756-001					
Analyte	BatchId	Data Fil	Seq#:	MS File	Seq#	Result 1	Result 2	RPD	Limit
Aluminum	24243	S15171A3	16	S15171A3	15	63.7949	65.2052	2.2	20
Antimony	24243	S15171B2	26	S15171B2	25	0.2939	0.3078	4.6	20
Arsenic	24243	S15171B2	26	S15171B2	25	0.4735	0.4736	.034	20
Barium	24243	S15171B2	26	S15171B2	25	0.8259	0.8271	.15	20
Beryllium	24243	S15171B2	26	S15171B2	25	0.4603	0.4663	1.3	20
Cadmium	24243	S15171B2	26	S15171B2	25	0.4598	0.4611	.29	20
Calcium	24243	S15171A3	16	S15171A3	15	165.0130	130.5380	23 a	20
Chromium	24243	S15171B2	26	S15171B2	25	0.5727	0.5864	2.4	20
Cobalt	24243	S15171B2	26	S15171B2	25	0.5084	0.5145	1.2	20
Copper	24243	S15171B2	26	S15171B2	25	0.6352	0.7317	14	20
Iron	24243	S15171A3	16	S15171A3	15	104.6370	116.7450	11	20
Lead	24243	S15171B2	26	S15171B2	25	0.5634	0.5541	1.7	20
Magnesium	24243	S15171A3	16	S15171A3	15	88.3633	90.4282	2.3	20
Manganese	24243	S15171B2	26	S15171B2	25	2.6526	2.6227	1.1	20
Mercury	24243	H15171S	19	H15171S	18	10.0047	10.1685	1.6	20
Nickel	24243	S15171B2	26	S15171B2	25	0.5657	0.5654	.049	20
Potassium	24243	S15171A3	16	S15171A3	15	57.7789	60.9216	5.3	20
Selenium	24243	S15171B2	26	S15171B2	25	0.4484	0.4438	1	20
Silver	24243	S15171B2	26	S15171B2	25	0.0903	0.0913	1.1	20
Sodium	24243	S15171A3	16	S15171A3	15	47.7574	49.6454	3.9	20
Thallium	24243	S15171B2	26	S15171B2	25	0.4626	0.4726	2.1	20
Vanadium	24243	S15171B2	26	S15171B2	25	0.6405	0.6414	.15	20
Zinc	24243	S15171B2	26	S15171B2	25	0.7872	0.8786	11	20

TxtQcType: SD		Matrix: SOIL		SampleID: AC72756-001					
Analyte	BatchId	Data Fil	Seq#:	NS File	Seq# DF	Result 1	Result 2	%Diff	Limit
Aluminum	24243	S15171A3	20	S15171A3	13 5	9.7217	49.9718	2.7	10
Antimony	24243	S15171B2	28	S15171B2	23 5	0.0039	0.0081	—	10
Arsenic	24243	S15171B2	28	S15171B2	23 5	0.0056	0.0178	58 c	10
Barium	24243	S15171B2	28	S15171B2	23 5	0.0688	0.3381	1.7	10
Beryllium	24243	S15171B2	28	S15171B2	23 5	0.0002	0.0012	5	10
Cadmium	24243	S15171B2	28	S15171B2	23 5	0.0002	-0.0007	—	10
Calcium	24243	S15171A3	20	S15171A3	13 5	19.9664	100.6970	0.86	10
Chromium	24243	S15171B2	28	S15171B2	23 5	0.0235	0.1197	1.8	10
Cobalt	24243	S15171B2	28	S15171B2	23 5	0.0086	0.0422	1.6	10
Copper	24243	S15171B2	28	S15171B2	23 5	0.0411	0.2070	0.82	10
Iron	24243	S15171A3	20	S15171A3	13 5	35.8953	179.0360	0.25	10
Lead	24243	S15171B2	28	S15171B2	23 5	0.0266	0.1284	3.7	10
Magnesium	24243	S15171A3	20	S15171A3	13 5	6.7203	34.0003	1.2	10
Manganese	24243	S15171B2	28	S15171B2	23 5	0.4695	2.3361	0.5	10
Nickel	24243	S15171B2	28	S15171B2	23 5	0.0235	0.1097	6.9	10
Potassium	24243	S15171A3	20	S15171A3	13 5	1.8126	9.2808	2.3	10
Selenium	24243	S15171B2	28	S15171B2	23 5	0.0011	-0.0027	—	10
Silver	24243	S15171B2	28	S15171B2	23 5	0.0006	0.0015	115 c	10
Sodium	24243	S15171A3	20	S15171A3	13 5	0.0038	1.2237	—	10
Thallium	24243	S15171B2	28	S15171B2	23 5	-0.0002	-0.0106	—	10
Vanadium	24243	S15171B2	28	S15171B2	23 5	0.0342	0.1719	0.62	10
Zinc	24243	S15171B2	28	S15171B2	23 5	0.0645	0.3138	2.7	10

a-Indicates Rpd Failed the criteria

b-Method Rep Out but concentrations < 5*RL

c-Serial dilution Out but conc < 10 * IDL

Project: 8961-DDC-Waste

Client PO: 0082761

Report To: Weston Solutions Inc.
205 Campus Drive
Edison, NJ 08837

Attn: Michele Lortz

Received Date: 6/4/2013

Report Date: 6/26/2013

Deliverables: NYDOH-CatA

Lab ID: AC72753

Lab Project No: 3060411

This report is a true report of results obtained from our tests of this material. The report relates only to those samples received and analyzed by the laboratory. All results meet the requirements of the NELAC Institute standards. Laboratory reports may not be reproduced, except in full, without the written approval of the laboratory.

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Robin Cousineau - Quality Assurance Director

OR

Stanley Gilewicz - Laboratory Director

NJ (07071)
PA (68-00463)

NY (ELAP11408)
KY (90124)

CT (PH-0671)



**THIS CATEGORY "A" REPORT
IS NUMBERED FROM
1 to 50**

HCV Case Narrative

Client: Weston Solutions Inc.
Project: 8961-DDC-Waste

HCV Project: 3060411

Hampton-Clarke/Veritech (HC-V) received the following samples on June 4, 2013:

<u>Client ID</u>	<u>HCV Sample ID</u>	<u>Matrix</u>	<u>Analysis</u>
8961-WC-01	AC72753-001	Soil	DRO (8015B), GRO (8015B), Ignitability (1030), pH (9045D), Reactive Cyanide/Sulfide (SW846 7.3), TCLP VO (8260B), TCLP BNA (8270C), TCLP Pesticides (8081A), TCLP Herbicides (8151A), TLCP Metals (6010B/7470A)
8961-WC-02	AC72753-002	Soil	DRO (8015B), GRO (8015B), Ignitability (1030), pH (9045D), Reactive Cyanide/Sulfide (SW846 7.3), TCLP VO (8260B), TCLP BNA (8270C), TCLP Pesticides (8081A), TCLP Herbicides (8151A), TLCP Metals (6010B/7470A)

This case narrative is in the form of an exception report. Method specific and/or QA/QC anomalies related to this report only are detailed below.

Diesel Range Organics Analysis:

Data conforms to method requirements.

Gasoline Range Organics Analysis:

Data conforms to method requirements.

Wet Chemistry Analysis:

Samples AC72753-001 and -002 were analyzed for Reactivity using SW-846 7.3. SW-846 7.3 is not a NELAP accredited parameter.

TCLP Volatile Organic Analysis:

The Matrix Spike and MS/MSD RPD for batch 26780 had recoveries outside QC limits. However, since the associated Method Blank and Laboratory Control Sample were within control, no corrective action was necessary.

TCLP Base Neutral/Acid Extractable Analysis:

Data conforms to method requirements.

TCLP Pesticide Analysis:

The Matrix Spike and Matrix Spike Duplicate for batch 25355 had recoveries outside QC limits. However, since the associated Method Blank and Laboratory Control Sample were within control, no corrective action was necessary.

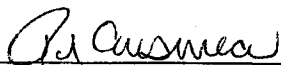
TCLP Herbicide Analysis:

Data conforms to method requirements.

TCLP Metals Analysis:

Data conforms to method requirements.

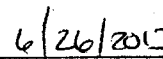
I certify that this data package is in compliance with the terms and conditions of the contract, both technically and for completeness, for other than the conditions detailed above. Release of the data contained in this hardcopy data package and in the computer-readable data has been authorized by the Laboratory Manager or his designee, as verified by the following signature.



Robin Cousineau
Quality Assurance Director

Or

Stanley Gilewicz
Laboratory Director



Date

CONDITION UPON RECEIPT

Batch Number AC72753

Entered By: VINCENT

Date Entered 6/4/2013 2:21:00 PM

-
- 1 Yes Is there a corresponding COC included with the samples?
 - 2 Yes Are the samples in a container such as a cooler or Ice chest?
 - 3 Yes Are the COC seals intact?
 - 4 Yes Please specify the Temperature inside the container (in degC)
3.8
 - 5 Yes Are the samples refrigerated (where required)/have they arrived on ice?
 - 6 Yes Are the samples within the holding times for the parameters listed on the COC? IF no, list parameters and samples:
 - 7 Yes Are all of the sample bottles intact? If no, specify sample numbers broken/leaking
 - 8 Yes Are all of the sample labels or numbers legible? If no specify:
 - 9 Yes Do the contents match the COC? If no, specify
 - 10 Yes Is there enough sample sent for the analyses listed on the COC? If no, specify:
 - 11 Yes Are samples preserved correctly?
 - 12 Yes Was temperature blank present (Place comment below if not)? If not was temperature of samples verified?
 - 13 NA Other comments ...Specify
 - 14 NA Corrective actions (Specify item number and corrective action taken).

Internal Chain of Custody

3060411 0005

Lab#:	DateTime:	Loc or User	Bot Nu	A/ M	Analysis
AC72753-001	06/04/13 13:45	VINCE	0	M	Received
AC72753-001	06/04/13 14:21	VINCE	0	M	Login
AC72753-001	06/10/13 07:12	SW	1	M	zhe
AC72753-001	06/10/13 09:54	R12	1	A	NONE
AC72753-001	06/04/13 19:32	R30	2	A	NONE
AC72753-001	06/04/13 17:09	RAMO	3	A	TCLP
AC72753-001	06/04/13 17:11	RAMO	3	A	R12
AC72753-001	06/05/13 00:16	R12	3	A	NONE
AC72753-001	06/05/13 00:16	PA	3	A	mixing
AC72753-001	06/05/13 07:23	HS	3	A	%solids
AC72753-001	06/05/13 07:40	HS	3	A	rcn/rs
AC72753-001	06/05/13 08:09	JW	3	A	ph soil
AC72753-001	06/05/13 15:15	R12	3	A	NONE
AC72753-001	06/06/13 10:09	SDL	3	A	IGNIT-1030
AC72753-001	06/06/13 14:25	R12	3	A	NONE
AC72753-001	06/07/13 09:10	TPH	3	A	lynda
AC72753-001	06/07/13 09:40	R12	3	A	NONE
AC72753-001	06/07/13 08:39	ABM	4	A	gro
AC72753-001	06/07/13 08:44	R30	4	A	NONE
AC72753-001	06/07/13 11:00	DB	5	M	gro
AC72753-001	06/07/13 11:49	R31	5	A	NONE
AC72753-002	06/04/13 13:45	VINCE	0	M	Received
AC72753-002	06/04/13 14:21	VINCE	0	M	Login
AC72753-002	06/04/13 19:32	R30	1	A	NONE
AC72753-002	06/10/13 07:12	SW	2	M	zhe
AC72753-002	06/10/13 09:54	R12	2	A	NONE
AC72753-002	06/04/13 17:09	RAMO	3	A	TCLP
AC72753-002	06/04/13 17:11	RAMO	3	A	R12
AC72753-002	06/05/13 00:16	PA	3	A	mixing
AC72753-002	06/05/13 00:16	R12	3	A	NONE
AC72753-002	06/05/13 07:23	HS	3	A	%solids
AC72753-002	06/05/13 07:40	HS	3	A	rcn/rs
AC72753-002	06/05/13 08:09	JW	3	A	ph soil
AC72753-002	06/05/13 15:15	R12	3	A	NONE
AC72753-002	06/06/13 10:09	SDL	3	A	IGNIT-1030
AC72753-002	06/06/13 14:25	R12	3	A	NONE
AC72753-002	06/07/13 09:10	TPH	3	A	lynda
AC72753-002	06/07/13 09:40	R12	3	A	NONE
AC72753-002	06/07/13 08:39	ABM	4	A	gro
AC72753-002	06/07/13 08:44	R30	4	A	NONE
AC72753-002	06/07/13 11:00	DB	5	M	gro
AC72753-002	06/07/13 11:49	R31	5	A	NONE

Lab#:	DateTime:	Loc or User	Bot Nu	A/ M	Analysis
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Samples marked as received are stored in coolers or refrigerator R12, or R24 at 4 deg C until Login

Laboratory Chronicle

3060411 0006

Client: Weston Solutions Inc.

HCV Project #: 3060411

Project: 8961-DDC-Waste

Lab#: AC72753-001

Sample ID: 8961-WC-01

Test Code	Prep Method	Prep Date	By	Analytical Method	Analysis Date	By
% Solids SM2540G				SM 2540G	6/5/13 00:00	melissa
Diesel Range Organics 8015B	Mod. Shaker	06/07/13	lynda	EPA 8015B	6/10/13 19:59	RAK/KD/MS
Gasoline range organics 8015B	EPA5030/5035			EPA 8015B	6/7/13 12:37	DB
Ignitability (EPA 1030)		06/06/13	SDL	EPA 1030	6/6/13 00:00	SDL
Mercury (TCLP) 7470A	EPA 7470A	06/06/13	Julijana	EPA 7470A	6/7/13 10:27	PC
pH 9040C/9045C				9040C/9045C	6/5/13 11:05	JW
Reactive Cyanide	SW846 7.3.3	06/05/13	hossain	SW846 7.3.3	6/5/13 16:14	nan
Reactive Sulfide	SW846 7.3.4	06/05/13	hs	SW846 7.3.4	6/5/13 00:00	HS
TCLP Herbicides 8151	EPA 8151A	06/06/13	marie	EPA 8151A	6/7/13 15:44	MS
TCLP Metals 6010	3005&10/3050	06/06/13	Julijana	EPA 6010B	6/6/13 22:41	OA
TCLP Metals Extraction 1311	EPA 1311	06/04/13	Ramos		6/5/13 00:00	Ramos
TCLP Organics Extraction 1311	EPA 1311	06/04/13	Ramos		6/5/13 00:00	Ramos
TCLP Pesticides 8081	EPA 3510	06/06/13	lynda	EPA 8081A	6/7/13 05:09	MS
TCLP Semivolatiles 8270	EPA 3510	06/10/13	dyr/kvr	EPA 8270C	6/10/13 20:40	AHD/JB
TCLP Volatiles 8260	EPA 5030B			EPA 8260B	6/11/13 11:59	SG
TCLP Zero Headspace Extraction	EPA 1311	06/10/13	sw			

Lab#: AC72753-002

Sample ID: 8961-WC-02

Test Code	Prep Method	Prep Date	By	Analytical Method	Analysis Date	By
% Solids SM2540G				SM 2540G	6/5/13 00:00	melissa
Diesel Range Organics 8015B	Mod. Shaker	06/07/13	lynda	EPA 8015B	6/10/13 19:06	RAK/KD/MS
Gasoline range organics 8015B	EPA5030/5035			EPA 8015B	6/7/13 12:53	DB
Ignitability (EPA 1030)		06/06/13	SDL	EPA 1030	6/6/13 00:00	SDL
Mercury (TCLP) 7470A	EPA 7470A	06/06/13	Julijana	EPA 7470A	6/7/13 10:28	PC
pH 9040C/9045C				9040C/9045C	6/5/13 11:05	JW
Reactive Cyanide	SW846 7.3.3	06/05/13	hossain	SW846 7.3.3	6/5/13 16:16	nan
Reactive Sulfide	SW846 7.3.4	06/05/13	hs	SW846 7.3.4	6/5/13 00:00	HS
TCLP Herbicides 8151	EPA 8151A	06/06/13	marie	EPA 8151A	6/7/13 16:05	MS
TCLP Metals 6010	3005&10/3050	06/06/13	Julijana	EPA 6010B	6/6/13 22:44	OA
TCLP Metals Extraction 1311	EPA 1311	06/04/13	Ramos		6/5/13 00:00	Ramos
TCLP Organics Extraction 1311	EPA 1311	06/04/13	Ramos		6/5/13 00:00	Ramos
TCLP Pesticides 8081	EPA 3510	06/06/13	lynda	EPA 8081A	6/7/13 05:26	MS
TCLP Semivolatiles 8270	EPA 3510	06/10/13	dyr/kvr	EPA 8270C	6/10/13 21:04	AHD/JB
TCLP Volatiles 8260	EPA 5030B			EPA 8260B	6/11/13 15:00	SG
TCLP Zero Headspace Extraction	EPA 1311	06/10/13	sw			

HCV Reporting Limit Definitions/Data Qualifiers

REPORTING DEFINITIONS

DF = Dilution Factor

MDL = Method Detection Limit

RL* = Reporting Limit

ND = Not Detected

RT = Retention Time

NA = Not Applicable

**Samples with elevated Reporting Limits (RLs) as a result of a dilution may not achieve client reporting limits in some cases. The elevated RLs are unavoidable consequences of sample dilution required to quantitate target analytes that exceed the calibration range of the instrument.*

DATA QUALIFIERS

- B-** Indicates analyte was present in the Method Blank and sample.
- d-** For Pesticide and PCB analysis, the concentration between primary and secondary columns is greater than 40%. The lower concentration is generally reported.
- E-** Indicates the concentration exceeded the upper calibration range of the instrument.
- J-** Indicates the value is estimated because it is either a Tentatively Identified Compound (TIC) or the reported concentration is greater than the MDL but less than the RL. For samples results between the MDL and RL there is a possibility of false positives or misidentification at the quantitation levels. Additionally, the acceptance criteria for QC samples may not be met.

HCV Report Of Analysis

Client: Weston Solutions Inc.
Project: 8961-DDC-Waste

HCV Project #: 3060411

Sample ID: 8961-WC-01
Lab#: AC72753-001
Matrix: Soil

Collection Date: 6/3/2013
Receipt Date: 6/4/2013

% Solids SM2540G

Analyte	DF	Units	RL	Result
% Solids	1	percent		84

Diesel Range Organics 8015B

Analyte	DF	Units	RL	Result
Diesel Range Organics	1	mg/kg	71	ND

Gasoline range organics 8015B

Analyte	DF	Units	RL	Result
Gasoline Range Organics	98	mg/kg	58	ND

Ignitability (EPA 1030)

Analyte	DF	Units	RL	Result
Burning Rate (mm/sec)	1			NA
Flame Propagation (POS/NEG)	1			NA
Ignitability Screen (POS/NEG)	1			NEG

Mercury (TCLP) 7470A

Analyte	DF	Units	RL	Result
Mercury	1	mg/l	0.00070	ND

pH 9040C/9045C

Analyte	DF	Units	RL	Result
pH	1	ph		8.1

Reactive Cyanide

Analyte	DF	Units	RL	Result
Cyanide (Reactive)	1	mg/kg	0.50	ND

Reactive Sulfide

Analyte	DF	Units	RL	Result
Sulfide (Reactive)	1	mg/kg	100	ND

TCLP Herbicides 8151

Analyte	DF	Units	RL	Result
2,4-D	1	mg/l	0.0050	ND
Silvex	1	mg/l	0.0050	ND

TCLP Metals 6010

Analyte	DF	Units	RL	Result
Arsenic	1	mg/l	0.20	ND
Barium	1	mg/l	0.25	0.32
Cadmium	1	mg/l	0.050	ND
Chromium	1	mg/l	0.20	ND
Lead	1	mg/l	0.15	ND
Nickel	1	mg/l	0.20	ND
Selenium	1	mg/l	0.20	ND
Silver	1	mg/l	0.050	ND

TCLP Pesticides 8081

Analyte	DF	Units	RL	Result
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A4-164

NOTE: Soil Results are reported to Dry Weigh

Project #: 3060411

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Sample ID: 8961-WC-01
 Lab#: AC72753-001
 Matrix: Soil

Collection Date: 6/3/2013
 Receipt Date: 6/4/2013

Chlordane	1	mg/l	0.0010	ND
Endrin	1	mg/l	0.00010	ND
gamma-BHC	1	mg/l	0.00010	ND
Heptachlor	1	mg/l	0.00010	ND
Heptachlor Epoxide	1	mg/l	0.00010	ND
Methoxychlor	1	mg/l	0.00010	ND
Toxaphene	1	mg/l	0.0025	ND

TCLP Semivolatiles 8270

Analyte	DF	Units	RL	Result
2,4,5-Trichlorophenol	1	mg/l	0.0080	ND
2,4,6-Trichlorophenol	1	mg/l	0.0080	ND
2,4-Dinitrotoluene	1	mg/l	0.0080	ND
2-Methylphenol	1	mg/l	0.0020	ND
3&4-Methylphenol	1	mg/l	0.0020	ND
Hexachlorobenzene	1	mg/l	0.0080	ND
Hexachlorobutadiene	1	mg/l	0.0080	ND
Hexachloroethane	1	mg/l	0.0080	ND
Nitrobenzene	1	mg/l	0.0080	ND
Pentachlorophenol	1	mg/l	0.040	ND
Pyridine	1	mg/l	0.040	ND

TCLP Volatiles 8260

Analyte	DF	Units	RL	Result
1,1-Dichloroethene	1	mg/l	0.0010	ND
1,2-Dichloroethane	1	mg/l	0.00050	ND
1,4-Dichlorobenzene	1	mg/l	0.0010	ND
2-Butanone	1	mg/l	0.0010	ND
Benzene	1	mg/l	0.00050	ND
Carbon tetrachloride	1	mg/l	0.0010	ND
Chlorobenzene	1	mg/l	0.0010	ND
Chloroform	1	mg/l	0.0010	ND
Tetrachloroethene	1	mg/l	0.0010	ND
Trichloroethene	1	mg/l	0.0010	ND
Vinyl chloride	1	mg/l	0.0010	ND

Sample ID: 8961-WC-02
 Lab#: AC72753-002
 Matrix: Soil

Collection Date: 6/3/2013
 Receipt Date: 6/4/2013

% Solids SM2540G

Analyte	DF	Units	RL	Result
% Solids	1	percent		84

Diesel Range Organics 8015B

Analyte	DF	Units	RL	Result
Diesel Range Organics	1	mg/kg	71	71

Gasoline range organics 8015B

Analyte	DF	Units	RL	Result
Gasoline Range Organics	98.8	mg/kg	59	ND

Ignitability (EPA 1030)

Analyte	DF	Units	RL	Result
Burning Rate (mm/sec)	1			NA
Flame Propagation (POS/NEG)	1			NA
Ignitability Screen (POS/NEG)	1			NEG

Mercury (TCLP) 7470A

Analyte	DF	Units	RL	Result
Mercury	1	mg/l	0.00070	ND

pH 9040C/9045C

Analyte	DF	Units	RL	Result
pH	1	ph		7.9

Reactive Cyanide

Analyte	DF	Units	RL	Result
Cyanide (Reactive)	1	mg/kg	0.50	ND

Reactive Sulfide

Analyte	DF	Units	RL	Result
Sulfide (Reactive)	1	mg/kg	100	ND

TCLP Herbicides 8151

Analyte	DF	Units	RL	Result
2,4-D	1	mg/l	0.0050	ND
Silvex	1	mg/l	0.0050	ND

TCLP Metals 6010

Analyte	DF	Units	RL	Result
Arsenic	1	mg/l	0.20	ND
Barium	1	mg/l	0.25	0.39
Cadmium	1	mg/l	0.050	ND
Chromium	1	mg/l	0.20	ND
Lead	1	mg/l	0.15	ND
Nickel	1	mg/l	0.20	ND
Selenium	1	mg/l	0.20	ND
Silver	1	mg/l	0.050	ND

TCLP Pesticides 8081

Analyte	DF	Units	RL	Result
Chlordane	1	mg/l	0.0010	ND
Endrin	1	mg/l	0.00010	ND
gamma-BHC	1	mg/l	0.00010	ND
Heptachlor	1	mg/l	0.00010	ND
Heptachlor Epoxide	1	mg/l	0.00010	ND
Methoxychlor	1	mg/l	0.00010	ND
Toxaphene	1	mg/l	0.0025	ND

A4-166

Sample ID: 8961-WC-02
 Lab#: AC72753-002
 Matrix: Soil

Collection Date: 6/3/2013
 Receipt Date: 6/4/2013

TCLP Semivolatiles 8270

Analyte	DF	Units	RL	Result
2,4,5-Trichlorophenol	1	mg/l	0.0080	ND
2,4,6-Trichlorophenol	1	mg/l	0.0080	ND
2,4-Dinitrotoluene	1	mg/l	0.0080	ND
2-Methylphenol	1	mg/l	0.0020	ND
3&4-Methylphenol	1	mg/l	0.0020	ND
Hexachlorobenzene	1	mg/l	0.0080	ND
Hexachlorobutadiene	1	mg/l	0.0080	ND
Hexachloroethane	1	mg/l	0.0080	ND
Nitrobenzene	1	mg/l	0.0080	ND
Pentachlorophenol	1	mg/l	0.040	ND
Pyridine	1	mg/l	0.040	ND

TCLP Volatiles 8260

Analyte	DF	Units	RL	Result
1,1-Dichloroethene	1	mg/l	0.0010	ND
1,2-Dichloroethane	1	mg/l	0.00050	ND
1,4-Dichlorobenzene	1	mg/l	0.0010	ND
2-Butanone	1	mg/l	0.0010	ND
Benzene	1	mg/l	0.00050	ND
Carbon tetrachloride	1	mg/l	0.0010	ND
Chlorobenzene	1	mg/l	0.0010	ND
Chloroform	1	mg/l	0.0010	ND
Tetrachloroethene	1	mg/l	0.0010	ND
Trichloroethene	1	mg/l	0.0010	ND
Vinyl chloride	1	mg/l	0.0010	ND

Form1
ORGANICS REPORT

Sample Number: DAILY BLANK

Client Id:

Data File: 6M98218.D

Analysis Date: 06/07/13 11:17

Date Rec/Extracted:

Column: DB-624 25M 0.200mm ID 1.12um film

Method: EPA 8015B

Matrix: Methanol

Initial Vol: 5g:10ml

Final Vol: NA

Dilution: 100

Solids: 100

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
phcg	Gasoline Range Organics	50	U				

Worksheet #: 266601

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**B - Indicates the analyte was found in the blank as well as in the sample.**E - Indicates the analyte concentration exceeds the calibration range of the instrument.**R - Retention Time Out**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration*

Form1
ORGANICS REPORT

Sample Number: AC72753-001
Client Id: 8961-WC-01
Data File: 6M98223.D
Analysis Date: 06/07/13 12:37
Date Rec/Extracted: 06/04/13-NA
Column: DB-624 25M 0.200mm ID 1.12um film

Method: EPA 8015B
Matrix: Methanol
Initial Vol: 5.1g:10ml
Final Vol: NA
Dilution: 98.0
Solids: 84

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
phcg	Gasoline Range Organics	58	U				

Worksheet #: 266601

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**R - Retention Time Out**J - Indicates the analyte was found in the blank as well as in the sample.**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Indicates the analyte concentration exceeds the calibration range of the instrument.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used*

Form1
ORGANICS REPORT

Sample Number: AC72753-002

Client Id: 8961-WC-02

Data File: 6M98224.D

Analysis Date: 06/07/13 12:53

Date Rec/Extracted: 06/04/13-NA

Column: DB-624 25M 0.200mm ID 1.12um film

Method: EPA 8015B

Matrix: Methanol

Initial Vol: 5.06g:10ml

Final Vol: NA

Dilution: 98.8

Solids: 84

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
phcg	Gasoline Range Organics	59	U				

Worksheet #: 266601

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**B - Indicates the analyte was found in the blank as well as in the sample.**E - Indicates the analyte concentration exceeds the calibration range of the instrument.**R - Retention Time Out**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration*

Form1

ORGANICS PETROLEUM HYDROCARBON REPORT

Sample Number: SMB25370

Method: EPA 8015B

Client Id:

Matrix: Soil

Data File: 7G30718.D

Initial Vol: 5g

Analysis Date: 06/10/13 10:00

Final Vol: 1ml

Date Rec/Extracted: NA-06/07/13

Dilution: 1

Column: DB-5MS 30M 0.250mm ID 0.25um film

Solids: 100

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
PHCHPD2	Diesel Range Organics	60	U				

Worksheet #: 266459

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

indicates the compound was analyzed but not detected.
indicates the analyte was found in the blank as well as in the sample.
E - Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out
J - Indicates an estimated value when a compound is detected at less than the specified detection limit.
d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used.

Form1

ORGANICS PETROLEUM HYDROCARBON REPORT

Sample Number: AC72753-001 Method: EPA 8015B
Client Id: 8961-WC-01 Matrix: Soil
Data File: 7G30740.D Initial Vol: 5g
Analysis Date: 06/10/13 19:59 Final Vol: 1ml
Date Rec/Extracted: 06/04/13-06/07/13 Dilution: 1
Column: DB-5MS 30M 0.250mm ID 0.25um film Solids: 84

Cas #		Compound	RL	Conc	Units: mg/Kg	Cas #	Compound	RL	Conc
PHCHPD2		Diesel Range Organics	71	U					

Worksheet #: 266459

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**B - Indicates the analyte was found in the blank as well as in the sample.**E - Indicates the analyte concentration exceeds the calibration range of the instrument.**R - Retention Time Out**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used.*

Form1

ORGANICS PETROLEUM HYDROCARBON REPORT

Sample Number: AC72753-002

Method: EPA 8015B

Client Id: 8961-WC-02

Matrix: Soil

Data File: 7G30738.D

Initial Vol: 5g

Analysis Date: 06/10/13 19:06

Final Vol: 1ml

Date Rec/Extracted: 06/04/13-06/07/13

Dilution: 1

Column: DB-5MS 30M 0.250mm ID 0.25um film

Solids: 84

Units: mg/Kg

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
PHCHPD2	Diesel Range Organics	71	71				

Worksheet #: 266459

Total Target Concentration 71

ColumnID: (^) Indicates results from 2nd column

Indicates the compound was analyzed but not detected.
Indicates the analyte was found in the blank as well as in the sample.
E - Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out
J - Indicates an estimated value when a compound is detected at less than the specified detection limit.
d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used.

VERITECH Wet Chem Form1 Analysis Summary

Lab#: AC72753-001	Project Number: 3060411
Matrix Soil	Received Date: 6/4/2013
Client SampleID: 8961-WC-01	Collect Date: 6/3/2013

Analysis	TestGroup	Dilution:	Result	Units:	RL	Prep Date:	Analysis Date:
Cyanide (Reactive)	CN-REACTIVE	1	ND	mg/Kg	0.50	06/05/13	06/05/13
Ignitability Screen (POS/NEG)	IGNIT-1030	1	NEG			06/06/13	06/06/13
Burning Rate (mm/sec)	IGNIT-1030	1	NA			06/06/13	06/06/13
Flame Propagation (POS/NEG)	IGNIT-1030	1	NA			06/06/13	06/06/13
pH	PH-SOIL	1	8.1	pH			06/05/13
Sulfide (Reactive)	S-REACTIVE	1	ND	mg/kg	100	06/05/13	06/05/13

Lab#: AC72753-002	Project Number: 3060411
Matrix Soil	Received Date: 6/4/2013
Client SampleID: 8961-WC-02	Collect Date: 6/3/2013

Analysis	TestGroup	Dilution:	Result	Units:	RL	Prep Date:	Analysis Date:
Cyanide (Reactive)	CN-REACTIVE	1	ND	mg/Kg	0.50	06/05/13	06/05/13
Ignitability Screen (POS/NEG)	IGNIT-1030	1	NEG			06/06/13	06/06/13
Burning Rate (mm/sec)	IGNIT-1030	1	NA			06/06/13	06/06/13
Flame Propagation (POS/NEG)	IGNIT-1030	1	NA			06/06/13	06/06/13
pH	PH-SOIL	1	7.9	pH			06/05/13
Sulfide (Reactive)	S-REACTIVE	1	ND	mg/kg	100	06/05/13	06/05/13

Blank Summary

Instrument: DA1

Qc Type: Method Blank Summary Prep Date: 6/5/13

Run Batch ID	Analysis Date/Time	Sample ID	Run#	Analyte	Conc	RL
20130605151	6/5/13 15:33	MBS-559	11	Cyanide	ND	0.50

Qc Type: ICB Summary Prep Date: NA

Run Batch ID	Analysis Date/Time	Sample ID	Run#	Analyte	Conc	RL
20130605151	6/5/13 15:31	CCB	10	Cyanide	ND	0.02

Qc Type: CCB Summary Prep Date: NA

Run Batch ID	Analysis Date/Time	Sample ID	Run#	Analyte	Conc	RL
20130605151	6/5/13 15:56	CCB	22	Cyanide	ND	0.02
20130605151	6/5/13 16:19	CCB	34	Cyanide	ND	0.02

Analysis Type: PH-S

3060411 0020

Batch Number: PH-S-904

Units: pH

Calibration Curve Information

Qc Summary Results

Qc Type	Qc Name	SpkAmt	Rec Lim	Rpd Lim	Raw Result	Recov	Rpd	Flags
DUP	AC72756-002	0	NA	20	9.67	NA	0.62	
LCS	LCS	4.4	75-125	NA	4.4	100	NA	

Analytical Method(s)

9040C/9045D

Sam #	Type	MB	Result	RL	Per Sol	Full PH Result	Prep Date	Prep By	Anal Date	Anal By
LCS	LCS		4.4		100	4.4 4.40			06/05/13	JW
AC72756-002	DUP		9.7		100	9.67 9.67			06/05/13	JW
AC72756-001	Sample		11		100	10.65 10.65			06/05/13	JW
AC72756-002	Sample		9.7		100	9.73 9.73			06/05/13	JW
AC72756-003	Sample		8.7		100	8.72 8.72			06/05/13	JW
AC72756-004	Sample		9.2		100	9.21 9.21			06/05/13	JW
AC72756-005	Sample		9.1		100	9.12 9.12			06/05/13	JW
AC72756-006	Sample		10		100	9.99 9.99			06/05/13	JW
AC72756-007	Sample		10		100	10.25 10.25			06/05/13	JW
AC72756-008	Sample		11		100	10.63 10.63			06/05/13	JW
AC72756-009	Sample		10		100	10.32 10.32			06/05/13	JW
AC72756-010	Sample		10		100	10.03 10.03			06/05/13	JW
AC72756-011	Sample		9.2		100	9.17 9.17			06/05/13	JW
AC72756-012	Sample		10		100	10.42 10.42			06/05/13	JW
AC72758-001	Sample		6.5		100	6.47 6.47			06/05/13	JW
AC72744-002	Sample		7.8		100	7.84 7.84			06/05/13	JW
AC72753-001	Sample		8.1		100	8.12 8.12			06/05/13	JW
AC72753-002	Sample		7.9		100	7.86 7.86			06/05/13	JW
AC72731-001	Sample		8.2		100	8.18 8.18			06/05/13	JW
AC72731-002	Sample		8.4		100	8.37 8.37			06/05/13	JW

Flag Codes: Ra - Recovery failed specified criteria (PVS/LCS/MS/MSD/ICV/CAL)

Rp - RPD failed specified criteria.

Na - Not Applicable

A4-178
No - Not Checked ..either one or both values =NDJW
6/5/13JW
6/5/13

Analysis Type: RS

3060411 0021

Batch Number: RS-559

Units: mg/kg

Qc Summary Results

Calibration Curve Information

Qc Type	Qc Name	SpkAmt	Rec Lim	Rpd Lim	Raw Result	Recov	Rpd	Flags
CAL-01	CAL-01-06/05/13	16	90-110	NA	16.8315	105	NA	
LCS	LCS	400	75-125	NA	410.7875	103	NA	
MS	AC72756-002	400	75-125	NA	420.7875	105	NA	
MSD	AC72756-002	400	75-125	20	420.7875	105	0	

Analytical Method(s)

SW846 7.3.4

Sam #	Type	MB	Result	RL	Per Sol	Full Titr Vol	Iod Vol	DF	Sam Wt (g)	Scrb Vol (ml)	Prep Date	Prep By	Anal Date	Anal By	
CAL-01-06/05/13	CAL-01		17		100	16.831	5.8	10	1	250			06/05/13	HS	
MB-1-06/05/13	MB	MB-1-06/05/13	ND	100	100	10.019	9.9	10	1	10	250	06/05/13	hs	06/05/13	HS
AC72756-002	MS	MB-1-06/05/13	420	100	92	420.79	5.8	10	1	10	250	06/05/13	hs	06/05/13	HS
AC72756-002	MSD	MB-1-06/05/13	420	100	92	420.79	5.8	10	1	10	250	06/05/13	hs	06/05/13	HS
LCS	LCS	MB-1-06/05/13	410	100	100	410.77	5.9	10	1	10	250	06/05/13	hs	06/05/13	HS
AC72756-002	Sample	MB-1-06/05/13	ND	100	92	40.075	9.6	10	1	10	250	06/05/13	hs	06/05/13	HS
AC72756-001	Sample	MB-1-06/05/13	ND	100	92	30.056	9.7	10	1	10	250	06/05/13	hs	06/05/13	HS
AC72756-003	Sample	MB-1-06/05/13	ND	100	88	70.131	9.3	10	1	10	250	06/05/13	hs	06/05/13	HS
AC72756-004	Sample	MB-1-06/05/13	ND	100	91	70.131	9.3	10	1	10	250	06/05/13	hs	06/05/13	HS
AC72756-005	Sample	MB-1-06/05/13	ND	100	92	50.094	9.5	10	1	10	250	06/05/13	hs	06/05/13	HS
AC72756-006	Sample	MB-1-06/05/13	ND	100	92	40.075	9.6	10	1	10	250	06/05/13	hs	06/05/13	HS
AC72756-007	Sample	MB-1-06/05/13	ND	100	92	40.075	9.6	10	1	10	250	06/05/13	hs	06/05/13	HS
AC72756-008	Sample	MB-1-06/05/13	ND	100	90	60.112	9.4	10	1	10	250	06/05/13	hs	06/05/13	HS
AC72756-009	Sample	MB-1-06/05/13	ND	100	92	60.112	9.4	10	1	10	250	06/05/13	hs	06/05/13	HS
AC72756-010	Sample	MB-1-06/05/13	ND	100	90	70.131	9.3	10	1	10	250	06/05/13	hs	06/05/13	HS
AC72756-011	Sample	MB-1-06/05/13	ND	100	90	80.15	9.2	10	1	10	250	06/05/13	hs	06/05/13	HS
AC72756-012	Sample	MB-1-06/05/13	ND	100	91	60.112	9.4	10	1	10	250	06/05/13	hs	06/05/13	HS
AC72758-001	Sample	MB-1-06/05/13	ND	100	78	70.131	9.3	10	1	10	250	06/05/13	hs	06/05/13	HS
AC72744-002	Sample	MB-1-06/05/13	ND	100	90	70.131	9.3	10	1	10	250	06/05/13	hs	06/05/13	HS
AC72753-001	Sample	MB-1-06/05/13	ND	100	84	70.131	9.3	10	1	10	250	06/05/13	hs	06/05/13	HS
AC72753-002	Sample	MB-1-06/05/13	ND	100	84	70.131	9.3	10	1	10	250	06/05/13	hs	06/05/13	HS

Flag Codes: Ra - Recovery failed specified criteria (PVS/LCS/MS/MSD/ICV/CAL)

Na - Not Applicable

Rp - RPD failed specified criteria.

Nd - Not checked ..either one or both values =ND

Form1

ORGANICS VOLATILE REPORT

Sample Number: DAILY BLANK

Client Id:

Data File: 1M02369.D

Analysis Date: 06/11/13 08:05

Date Rec/Extracted:

Column: DB-624 25M 0.200mm ID 1.12um film

Method: EPA 8260B

Matrix: Aqueous

Initial Vol: 5ml

Final Vol: NA

Dilution: 1.00

Solids: 0

Units: mg/L

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
75-35-4	1,1-Dichloroethene	0.0010	U	108-90-7	Chlorobenzene	0.0010	U
107-06-2	1,2-Dichloroethane	0.00050	U	67-66-3	Chloroform	0.0010	U
106-46-7	1,4-Dichlorobenzene	0.0010	U	127-18-4	Tetrachloroethene	0.0010	U
78-93-3	2-Butanone	0.0010	U	79-01-6	Trichloroethene	0.0010	U
71-43-2	Benzene	0.00050	U	75-01-4	Vinyl Chloride	0.0010	U
56-23-5	Carbon Tetrachloride	0.0010	U				

Worksheet #: 266808

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.*B* - Indicates the analyte was found in the blank as well as in the sample.*E* - Indicates the analyte concentration exceeds the calibration range of the instrument.*R* - Retention Time Out*J* - Indicates an estimated value when a compound is detected at less than the specified detection limit.*d* - Pesticide %Diff>40% between columns due to coelution. Lower concentration

Form1

ORGANICS VOLATILE REPORT

Sample Number: DAILY BLANK

Client Id:

Data File: 3M33299.D

Analysis Date: 06/11/13 11:26

Date Rec/Extracted:

Column: DB-624 25M 0.200mm ID 1.12um film

Method: EPA 8260B

Matrix: Aqueous

Initial Vol: 5ml

Final Vol: NA

Dilution: 1.00

Solids: 0

Units: mg/L

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
75-35-4	1,1-Dichloroethene	0.0010	U	108-90-7	Chlorobenzene	0.0010	U
107-06-2	1,2-Dichloroethane	0.00050	U	67-66-3	Chloroform	0.0010	U
106-46-7	1,4-Dichlorobenzene	0.0010	U	127-18-4	Tetrachloroethene	0.0010	U
78-93-3	2-Butanone	0.0010	U	79-01-6	Trichloroethene	0.0010	U
71-43-2	Benzene	0.00050	U	75-01-4	Vinyl Chloride	0.0010	U
56-23-5	Carbon Tetrachloride	0.0010	U				

Worksheet #: 266808

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**R - Retention Time Out**Indicates the analyte was found in the blank as well as in the sample.**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**Indicates the analyte concentration exceeds the calibration range of the instrument.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used.*

Form1

ORGANICS VOLATILE REPORT

Sample Number: EF-1V-166653(061113)

Client Id:

Data File: 3M33303.D

Analysis Date: 06/11/13 12:32

Date Rec/Extracted:

Column: DB-624 25M 0.200mm ID 1.12um film

Method: EPA 8260B

Matrix: Aqueous

Initial Vol: 5ml

Final Vol: NA

Dilution: 1.00

Solids: 0

Units: mg/L

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
75-35-4	1,1-Dichloroethene	0.0010	U	108-90-7	Chlorobenzene	0.0010	U
107-06-2	1,2-Dichloroethane	0.00050	U	67-66-3	Chloroform	0.0010	U
106-46-7	1,4-Dichlorobenzene	0.0010	U	127-18-4	Tetrachloroethene	0.0010	U
78-93-3	2-Butanone	0.0010	U	79-01-6	Trichloroethene	0.0010	U
71-43-2	Benzene	0.00050	U	75-01-4	Vinyl Chloride	0.0010	U
56-23-5	Carbon Tetrachloride	0.0010	U				

Worksheet #: 266808

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**B - Indicates the analyte was found in the blank as well as in the sample.**E - Indicates the analyte concentration exceeds the calibration range of the instrument.**R - Retention Time Out**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration*

Form1

ORGANICS VOLATILE REPORT

Sample Number: AC72753-001(T)

Client Id: 8961-WC-01

Data File: 3M33301.D

Analysis Date: 06/11/13 11:59

Date Rec/Extracted: 06/04/13-NA

Column: DB-624 25M 0.200mm ID 1.12um film

Method: EPA 8260B

Matrix: Aqueous

Initial Vol: 5ml

Final Vol: NA

Dilution: 1.00

Solids: 0

Units: mg/L							
Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
75-35-4	1,1-Dichloroethene	0.0010	U	108-90-7	Chlorobenzene	0.0010	U
107-06-2	1,2-Dichloroethane	0.00050	U	67-66-3	Chloroform	0.0010	U
106-46-7	1,4-Dichlorobenzene	0.0010	U	127-18-4	Tetrachloroethene	0.0010	U
78-93-3	2-Butanone	0.0010	U	79-01-6	Trichloroethene	0.0010	U
71-43-2	Benzene	0.00050	U	75-01-4	Vinyl Chloride	0.0010	U
56-23-5	Carbon Tetrachloride	0.0010	U				

Worksheet #: 266808

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

Indicates the analyte was found in the blank as well as in the sample.

Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used.

Form1

ORGANICS VOLATILE REPORT

Sample Number: AC72753-002(T)

Client Id: 8961-WC-02

Data File: 1M02392.D

Analysis Date: 06/11/13 15:00

Date Rec/Extracted: 06/04/13-NA

Column: DB-624 25M 0.200mm ID 1.12um film

Method: EPA 8260B

Matrix: Aqueous

Initial Vol: 5ml

Final Vol: NA

Dilution: 1.00

Solids: 0

Units: mg/L

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
75-35-4	1,1-Dichloroethene	0.0010	U	108-90-7	Chlorobenzene	0.0010	U
107-06-2	1,2-Dichloroethane	0.00050	U	67-66-3	Chloroform	0.0010	U
106-46-7	1,4-Dichlorobenzene	0.0010	U	127-18-4	Tetrachloroethene	0.0010	U
78-93-3	2-Butanone	0.0010	U	79-01-6	Trichloroethene	0.0010	U
71-43-2	Benzene	0.00050	U	75-01-4	Vinyl Chloride	0.0010	U
56-23-5	Carbon Tetrachloride	0.0010	U				

Worksheet #: 266808

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**B - Indicates the analyte was found in the blank as well as in the sample.**E - Indicates the analyte concentration exceeds the calibration range of the instrument.**R - Retention Time Out**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration in*

Form3
Recovery Data
 QC Batch: MBS26780

3060411 0027

Data File	Sample ID:	Analysis Date
Spike or Dup: 1M02214.D	AC72756-010(T:MS)	6/7/2013 2:16:00 PM
Non Spike(If applicable): 1M02210.D	AC72756-010(T)	6/7/2013 1:09:00 PM
Inst Blank(If applicable):		
Method: 8260	Matrix: Aqueous	QC Type: MS

Analyte:	Col	Spike Conc	Sample Conc	Expected Conc	Recovery	Lower Limit	Upper Limit
Vinyl Chloride	1	26.3317	0	20	132 *	20	130
1,1-Dichloroethene	1	23.1032	0	20	116	50	130
1,1-Dichloroethane	1	22.2039	0	20	111	50	130
Chloroform	1	23.7794	0	20	119	50	130
1,2-Dichloroethane	1	24.1188	0	20	121	50	130
2-Butanone	1	22.4636	0	20	112	20	130
Carbon Tetrachloride	1	24.8196	0	20	124	50	130
Trichloroethene	1	21.6817	0	20	108	50	130
Benzene	1	21.6812	0	20	108	50	130
Tetrachloroethene	1	22.0076	0	20	110	50	130
Toluene	1	20.4475	0	20	102	50	130
Chlorobenzene	1	20.4086	0	20	102	50	130
1,4-Dichlorobenzene	1	20.7101	0	20	104	50	130
1,2-Dichlorobenzene	1	20.7496	0	20	104	50	130
n-Propylbenzene	1	21.6862	0	20	108	50	130
sec-Butylbenzene	1	21.8093	0	20	109	50	130

Data File	Sample ID:	Analysis Date
Spike or Dup: 1M02221.D	AC72756-010(T:MSD)	6/7/2013 4:15:00 PM
Non Spike(If applicable): 1M02210.D	AC72756-010(T)	6/7/2013 1:09:00 PM
Inst Blank(If applicable):		
Method: 8260	Matrix: Aqueous	QC Type: MSD

Analyte:	Col	Spike Conc	Sample Conc	Expected Conc	Recovery	Lower Limit	Upper Limit
Vinyl Chloride	1	14.5354	0	20	73	20	130
1,1-Dichloroethene	1	17.981	0	20	90	50	130
1,1-Dichloroethane	1	17.5222	0	20	88	50	130
Chloroform	1	19.6563	0	20	98	50	130
1,2-Dichloroethane	1	20.8582	0	20	104	50	130
2-Butanone	1	22.6152	0	20	113	20	130
Carbon Tetrachloride	1	18.4345	0	20	92	50	130
Trichloroethene	1	16.7689	0	20	84	50	130
Benzene	1	17.1323	0	20	86	50	130
Tetrachloroethene	1	16.6458	0	20	83	50	130
Toluene	1	17.3023	0	20	87	50	130
Chlorobenzene	1	16.9628	0	20	85	50	130
1,4-Dichlorobenzene	1	16.529	0	20	83	50	130
1,2-Dichlorobenzene	1	16.632	0	20	83	50	130
n-Propylbenzene	1	16.2275	0	20	81	50	130
sec-Butylbenzene	1	15.7539	0	20	79	50	130

* - Indicates outside of limits

- Indicates outside of standard limits but within method exceedance limits

**Form3
RPD DATA**

3060411 0028

QC Batch: MBS26780

Data File	Sample ID:	Analysis Date
Spike or Dup: 1M02221.D	AC72756-010(T:MSD)	6/7/2013 4:15:00 PM
Duplicate(If applicable): 1M02214.D	AC72756-010(T:MS)	6/7/2013 2:16:00 PM
Inst Blank(If applicable):		
Method: 8260	Matrix: Aqueous	QC Type: MSD

Analyte:	Column	Dup/MSD/MBS Conc	Sample/MS/MBS Conc	RPD	Limit
Vinyl Chloride	1	14.5354	26.3317	58 *	40
1,1-Dichloroethene	1	17.981	23.1032	25	40
1,1-Dichloroethane	1	17.5222	22.2039	24	40
Chloroform	1	19.6563	23.7794	19	40
1,2-Dichloroethane	1	20.8582	24.1188	14	40
2-Butanone	1	22.6152	22.4636	0.67	40
Carbon Tetrachloride	1	18.4345	24.8196	30	40
Trichloroethene	1	16.7689	21.6817	26	40
Benzene	1	17.1323	21.6812	23	40
Tetrachloroethene	1	16.6458	22.0076	28	40
Toluene	1	17.3023	20.4475	17	40
Chlorobenzene	1	16.9628	20.4086	18	40
1,4-Dichlorobenzene	1	16.529	20.7101	22	40
1,2-Dichlorobenzene	1	16.632	20.7496	22	40
n-Propylbenzene	1	16.2275	21.6862	29	40
sec-Butylbenzene	1	15.7539	21.8093	32	40

* - Indicates outside of limits

NA - Both concentrations=0... no result can be calculated

Form1

ORGANICS SEMIVOLATILE REPORT

Sample Number: WMB25357

Client Id:

Data File: 5M80066.D

Analysis Date: 06/06/13 14:54

Date Rec/Extracted: NA-06/06/13

Column: DB-5MS 30M 0.250mm ID 0.25um film

Method: EPA 8270C

Matrix: Aqueous

Initial Vol: 1000ml

Final Vol: 1ml

Dilution: 1

Solids: 0

Units: mg/L

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
95-95-4	2,4,5-Trichlorophenol	0.0020	U	87-68-3	Hexachlorobutadiene	0.0020	U
88-06-2	2,4,6-Trichlorophenol	0.0020	U	67-72-1	Hexachloroethane	0.0020	U
121-14-2	2,4-Dinitrotoluene	0.0020	U	98-95-3	Nitrobenzene	0.0020	U
95-48-7	2-Methylphenol	0.00050	U	87-86-5	Pentachlorophenol	0.010	U
106-44-5	3&4-Methylphenol	0.00050	U	110-86-1	Pyridine	0.010	U
118-74-1	Hexachlorobenzene	0.0020	U				

Worksheet #: 266608

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

R - Retention Time Out

Indicates the analyte was found in the blank as well as in the sample.

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

Indicates the analyte concentration exceeds the calibration range of the instrument.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration uses

Form1

ORGANICS SEMIVOLATILE REPORT

Sample Number: WMB25388

Client Id:

Data File: 5M80141.D

Analysis Date: 06/10/13 16:24

Date Rec/Extracted: NA-06/10/13

Column: DB-5MS 30M 0.250mm ID 0.25um film

Method: EPA 8270C

Matrix: Aqueous

Initial Vol: 1000ml

Final Vol: 1ml

Dilution: 1

Solids: 0

Units: mg/L

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
95-95-4	2,4,5-Trichlorophenol	0.0020	U	87-68-3	Hexachlorobutadiene	0.0020	U
88-06-2	2,4,6-Trichlorophenol	0.0020	U	67-72-1	Hexachloroethane	0.0020	U
121-14-2	2,4-Dinitrotoluene	0.0020	U	98-95-3	Nitrobenzene	0.0020	U
95-48-7	2-Methylphenol	0.00050	U	87-86-5	Pentachlorophenol	0.010	U
106-44-5	3&4-Methylphenol	0.00050	U	110-86-1	Pyridine	0.010	U
118-74-1	Hexachlorobenzene	0.0020	U				

Worksheet #: 266608

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**B - Indicates the analyte was found in the blank as well as in the sample.**E - Indicates the analyte concentration exceeds the calibration range of the instrument.**R - Retention Time Out**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration*

Form1**ORGANICS SEMIVOLATILE REPORT**

Sample Number: EF-1 V-166500(06/05)

Method: EPA 8270C

Client Id:

Matrix: Aqueous

Data File: 5M80090.D

Initial Vol: 250ml

Analysis Date: 06/07/13 12:09

Final Vol: 1ml

Date Rec/Extracted: NA-06/06/13

Dilution: 1

Column: DB-5MS 30M 0.250mm ID 0.25um film

Solids: 0

Units: mg/L

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
95-95-4	2,4,5-Trichlorophenol	0.0080	U	87-68-3	Hexachlorobutadiene	0.0080	U
88-06-2	2,4,6-Trichlorophenol	0.0080	U	67-72-1	Hexachloroethane	0.0080	U
121-14-2	2,4-Dinitrotoluene	0.0080	U	98-95-3	Nitrobenzene	0.0080	U
95-48-7	2-Methylphenol	0.0020	U	87-86-5	Pentachlorophenol	0.040	U
106-44-5	3&4-Methylphenol	0.0020	U	110-86-1	Pyridine	0.040	U
118-74-1	Hexachlorobenzene	0.0080	U				

Worksheet #: 266608

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**R - Retention Time Out**Indicates the analyte was found in the blank as well as in the sample.**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**Indicates the analyte concentration exceeds the calibration range of the instrument.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used.*

Form1**ORGANICS SEMIVOLATILE REPORT**

Sample Number: AC72753-001(T)

Client Id: 8961-WC-01

Data File: 5M80152.D

Analysis Date: 06/10/13 20:40

Date Rec/Extracted: 06/04/13-06/10/13

Column: DB-5MS 30M 0.250mm ID 0.25um film

Method: EPA 8270C

Matrix: Aqueous

Initial Vol: 250ml

Final Vol: 1ml

Dilution: 1

Solids: 0

Units: mg/L

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
95-95-4	2,4,5-Trichlorophenol	0.0080	U	87-68-3	Hexachlorobutadiene	0.0080	U
88-06-2	2,4,6-Trichlorophenol	0.0080	U	67-72-1	Hexachloroethane	0.0080	U
121-14-2	2,4-Dinitrotoluene	0.0080	U	98-95-3	Nitrobenzene	0.0080	U
95-48-7	2-Methylphenol	0.0020	U	87-86-5	Pentachlorophenol	0.040	U
106-44-5	3&4-Methylphenol	0.0020	U	110-86-1	Pyridine	0.040	U
118-74-1	Hexachlorobenzene	0.0080	U				

Worksheet #: 266608

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**B - Indicates the analyte was found in the blank as well as in the sample.**E - Indicates the analyte concentration exceeds the calibration range of the instrument.**R - Retention Time Out**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration*

Form1

ORGANICS SEMIVOLATILE REPORT

Sample Number: AC72753-002(T)

Client Id: 8961-WC-02

Data File: 5M80153.D

Analysis Date: 06/10/13 21:04

Date Rec/Extracted: 06/04/13-06/10/13

Column: DB-5MS 30M 0.250mm ID 0.25um film

Method: EPA 8270C

Matrix: Aqueous

Initial Vol: 250ml

Final Vol: 1ml

Dilution: 1

Solids: 0

Units: mg/L

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
95-95-4	2,4,5-Trichlorophenol	0.0080	U	87-68-3	Hexachlorobutadiene	0.0080	U
88-06-2	2,4,6-Trichlorophenol	0.0080	U	67-72-1	Hexachloroethane	0.0080	U
121-14-2	2,4-Dinitrotoluene	0.0080	U	98-95-3	Nitrobenzene	0.0080	U
95-48-7	2-Methylphenol	0.0020	U	87-86-5	Pentachlorophenol	0.040	U
106-44-5	3&4-Methylphenol	0.0020	U	110-86-1	Pyridine	0.040	U
118-74-1	Hexachlorobenzene	0.0080	U				

Worksheet #: 266608

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**R - Retention Time Out**P - Indicates the analyte was found in the blank as well as in the sample.**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**Q - Indicates the analyte concentration exceeds the calibration range of the instrument.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used*

Form1**ORGANICS PESTICIDE REPORT**

Sample Number: WMB25355

Client Id:

Data File: 5G46372.D

Analysis Date: 06/07/13 14:08

Date Rec/Extracted: NA-06/06/13

Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8081A

Matrix: Aqueous

Initial Vol: 1000ml

Final Vol: 5ml

Dilution: 1

Solids: 0

Units: mg/L

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
57-74-9	Chlordane	0.00010	U	1024-57-3	Heptachlor Epoxide	0.000010	U
72-20-8	Endrin	0.000010	U	72-43-5	Methoxychlor	0.000010	U
58-89-9	gamma-BHC	0.000010	U	8001-35-2	Toxaphene	0.00025	U
76-44-8	Heptachlor	0.000010	U				

Worksheet #: 266340

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**B - Indicates the analyte was found in the blank as well as in the sample.**E - Indicates the analyte concentration exceeds the calibration range of the instrument.**R - Retention Time Out**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used*

Form1

ORGANICS PESTICIDE REPORT

Sample Number: AC72753-001(T)

Client Id: 8961-WC-01

Data File: 5G46345.D

Analysis Date: 06/07/13 05:09

Date Rec/Extracted: 06/04/13-06/06/13

Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8081A

Matrix: Aqueous

Initial Vol: 100ml

Final Vol: 5ml

Dilution: 1

Solids: 0

Units: mg/L

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
57-74-9	Chlordane	0.0010	U	1024-57-3	Heptachlor Epoxide	0.00010	U
72-20-8	Endrin	0.00010	U	72-43-5	Methoxychlor	0.00010	U
58-89-9	gamma-BHC	0.00010	U	8001-35-2	Toxaphene	0.0025	U
76-44-8	Heptachlor	0.00010	U				

Worksheet #: 266340

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

U - Indicates the compound was analyzed but not detected.

J - Indicates the analyte was found in the blank as well as in the sample.

d - Indicates the analyte concentration exceeds the calibration range of the instrument.

R - Retention Time Out

J - Indicates an estimated value when a compound is detected at less than the specified detection limit.

d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used

Form1**ORGANICS PESTICIDE REPORT**

Sample Number: AC72753-002(T)

Client Id: 8961-WC-02

Data File: 5G46346.D

Analysis Date: 06/07/13 05:26

Date Rec/Extracted: 06/04/13-06/06/13

Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8081A

Matrix: Aqueous

Initial Vol: 100ml

Final Vol: 5ml

Dilution: 1

Solids: 0

Units: mg/L							
Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
57-74-9	Chlordane	0.0010	U	1024-57-3	Heptachlor Epoxide	0.00010	U
72-20-8	Endrin	0.00010	U	72-43-5	Methoxychlor	0.00010	U
58-89-9	gamma-BHC	0.00010	U	8001-35-2	Toxaphene	0.0025	U
76-44-8	Heptachlor	0.00010	U				

Worksheet #: 266340

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**B - Indicates the analyte was found in the blank as well as in the sample.**E - Indicates the analyte concentration exceeds the calibration range of the instrument.**R - Retention Time Out**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used*

Form3
Recovery Data
QC Batch: WMB25355

3060411 0037

Data File		Sample ID:		Analysis Date			
Spike or Dup: 5G46371.D		WMB25355(MS)		6/7/2013 1:51:17 PM			
Non Spike(If applicable):							
Inst Blank(If applicable):							
Method: 8081		Matrix: Aqueous		QC Type: MBS			
Analyte:	Col	Spike Conc	Sample Conc	Expected Conc	Recovery	Lower Limit	Upper Limit
alpha-BHC	1	70.31	0	100	70	50	130
gamma-BHC	1	70.8	0	100	71	50	130
beta-BHC	1	71.39	0	100	71	50	130
Heptachlor	1	61.04	0	100	61	50	130
delta-BHC	1	70.86	0	100	71	50	130
Heptachlor Epoxide	1	74.61	0	100	75	70	130
Endosulfan I	1	74.15	0	100	74	70	130
p,p'-DDE	1	74.12	0	100	74	70	130
Dieldrin	1	77.34	0	100	77	70	130
Endrin	1	78.77	0	100	79	70	130
p,p'-DDD	1	78.05	0	100	78	70	130
Endosulfan II	1	79.61	0	100	80	70	130
p,p'-DDT	1	82.14	0	100	82	70	130
Endrin Aldehyde	1	78.92	0	100	79	50	130
Endosulfan Sulfate	1	78.31	0	100	78	70	130
Methoxychlor	1	80.13	0	100	80	50	130
Endrin Ketone	1	78.59	0	100	79	70	130

Data File		Sample ID:		Analysis Date			
Spike or Dup: 5G46373.D		AC72744-002(T)(MS)		6/7/2013 2:26:41 PM			
Non Spike(If applicable): 5G46344.D		AC72744-002(T)		6/7/2013 4:51:26 AM			
Inst-Blank(If applicable):							
Method: 8081		Matrix: Aqueous		QC Type: MS			
Analyte:	Col	Spike Conc	Sample Conc	Expected Conc	Recovery	Lower Limit	Upper Limit
alpha-BHC	1	65.84	0	100	66	50	130
gamma-BHC	1	68.14	0	100	68	50	130
beta-BHC	1	70.88	0	100	71	50	130
Heptachlor	1	66.06	0	100	66	50	130
delta-BHC	1	70.67	0	100	71	50	130
Heptachlor Epoxide	1	74.43	0	100	74	70	130
Endosulfan I	1	81.06	0	100	81	70	130
p,p'-DDE	1	76.69	0	100	77	70	130
Dieldrin	1	79.02	0	100	79	70	130
Endrin	1	142.14	0	100	142*	70	130
p,p'-DDD	1	83.85	0	100	84	70	130
Endosulfan II	1	85.23	0	100	85	70	130
p,p'-DDT	1	90.73	0	100	91	70	130
Endrin Aldehyde	1	78.76	0	100	79	50	130
Endosulfan Sulfate	1	84.57	0	100	85	70	130
Methoxychlor	1	89.83	0	100	90	50	130
Endrin Ketone	1	78.44	0	100	78	70	130

* - Indicates outside of limits

- Indicates outside of standard limits but within method exceedance limits

Form3
Recovery Data
QC Batch: WMB25355

3060411 0038

Data File		Sample ID:		Analysis Date			
Spike or Dup: 5G46374.D		AC72744-002(T)(MSD)		6/7/2013 2:44:31 PM			
Non Spike(If applicable): 5G46344.D		AC72744-002(T)		6/7/2013 4:51:26 AM			
Inst Blank(If applicable):							
Method: 8081		Matrix: Aqueous		QC Type: MSD			
Analyte:	Col	Spike Conc	Sample Conc	Expected Conc	Recovery	Lower Limit	Upper Limit
alpha-BHC	1	64.99	0	100	65	50	130
gamma-BHC	1	67.09	0	100	67	50	130
beta-BHC	1	71.11	0	100	71	50	130
Heptachlor	1	65.98	0	100	66	50	130
delta-BHC	1	69.3	0	100	69	50	130
Heptachlor Epoxide	1	73.25	0	100	73	70	130
Endosulfan I	1	79.81	0	100	80	70	130
p,p'-DDE	1	75.93	0	100	76	70	130
Dieldrin	1	79.28	0	100	79	70	130
Endrin	1	140.08	0	100	140*	70	130
p,p'-DDD	1	82.69	0	100	83	70	130
Endosulfan II	1	83.46	0	100	83	70	130
p,p'-DDT	1	89.89	0	100	90	70	130
Endrin Aldehyde	1	77.35	0	100	77	50	130
Endosulfan Sulfate	1	83.64	0	100	84	70	130
Methoxychlor	1	88.86	0	100	89	50	130
Endrin Ketone	1	77.42	0	100	77	70	130

* - Indicates outside of limits

- Indicates outside of standard limits but within method exceedance limits

Form1

ORGANICS HERBICIDE REPORT

Sample Number: WMB25352

Client Id:

Data File: 2G81687.D

Analysis Date: 06/07/13 10:26

Date Rec/Extracted: NA-06/06/13

Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8151A

Matrix: Aqueous

Initial Vol: 1000ml

Final Vol: 10ml

Dilution: 1

Solids: 0

Units: mg/L

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
94-75-7	2,4-D	0.00050	U	93-72-1	Silvex	0.00050	U

Worksheet #: 266342

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**Indicates the analyte was found in the blank as well as in the sample, indicates the analyte concentration exceeds the calibration range of the instrument.**R - Retention Time Out**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration uses*

Form1

ORGANICS HERBICIDE REPORT

Sample Number: EF-1-V-166500(6/5)

Client Id:

Data File: 2G81709.D

Analysis Date: 06/07/13 17:51

Date Rec/Extracted: NA-06/06/13

Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8151A

Matrix: Aqueous

Initial Vol: 100ml

Final Vol: 10ml

Dilution: 1

Solids: 0

Units: mg/L

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
94-75-7	2,4-D	0.0050	U	93-72-1	Silvex	0.0050	U

Worksheet #: 266342

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**B - Indicates the analyte was found in the blank as well as in the sample.**E - Indicates the analyte concentration exceeds the calibration range of the instrument.**R - Retention Time Out**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used*

Form1

ORGANICS HERBICIDE REPORT

Sample Number: AC72753-001(T)

Client Id: 8961-WC-01

Data File: 2G81703.D

Analysis Date: 06/07/13 15:44

Date Rec/Extracted: 06/04/13-06/06/13

Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8151A

Matrix: Aqueous

Initial Vol: 100ml

Final Vol: 10ml

Dilution: 1

Solids: 0

Units: mg/L

Cas # Compound

RL

Conc

94-75-7 2,4-D

0.0050

U

Cas # Compound

RL

Conc

93-72-1 Silvex

0.0050

U

Worksheet #: 266342

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**indicates the analyte was found in the blank as well as in the sample.**indicates the analyte concentration exceeds the calibration range of the instrument.**R - Retention Time Out**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration uses*

Form1

ORGANICS HERBICIDE REPORT

Sample Number: AC72753-002(T)

Client Id: 8961-WC-02

Data File: 2G81704.D

Analysis Date: 06/07/13 16:05

Date Rec/Extracted: 06/04/13-06/06/13

Column: DB-17/1701P 30M 0.32mm ID 0.25um film

Method: EPA 8151A

Matrix: Aqueous

Initial Vol: 100ml

Final Vol: 10ml

Dilution: 1

Solids: 0

Units: mg/L

Cas #	Compound	RL	Conc	Cas #	Compound	RL	Conc
94-75-7	2,4-D	0.0050	U	93-72-1	Silvex	0.0050	U

Worksheet #: 266342

Total Target Concentration 0

ColumnID: (^) Indicates results from 2nd column

*U - Indicates the compound was analyzed but not detected.**B - Indicates the analyte was found in the blank as well as in the sample.**E - Indicates the analyte concentration exceeds the calibration range of the instrument.**R - Retention Time Out**J - Indicates an estimated value when a compound is detected at less than the specified detection limit.**d - Pesticide %Diff>40% between columns due to coelution. Lower concentration used*

Form1

Inorganic Analysis Data Sheet

Sample ID: MB 24241 (1)
 Client Id: MB 24241 (1)
 Matrix: TCLP
 Level: LOW

% Solid: 0
 Units: MG/L

Lab Name: Veritech
 Lab Code:

Cas No.	Analyte	RL	Conc	Dil Fact	Initial Wt/Vol	Final Wt/Vol	Analysis Date	Prep Batch	File:	Seq Num	M	Instr
7429-90-5	Aluminum	1.0	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7440-36-0	Antimony	0.20	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7440-38-2	Arsenic	0.20	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7440-39-3	Barium	0.25	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7440-41-7	Beryllium	0.050	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7440-43-9	Cadmium	0.050	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7440-70-2	Calcium	5.0	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7440-47-3	Chromium	0.20	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7440-48-4	Cobalt	0.20	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7440-50-8	Copper	0.20	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7439-89-6	Iron	1.0	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7439-92-1	Lead	0.15	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7439-95-4	Magnesium	5.0	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7439-96-5	Manganese	0.20	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7439-97-6	Mercury	0.00070	ND	1	25	25	06/07/13	24241	H15169T	11	CV	HGCV1A
7439-98-7	Molybdenum	0.20	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7440-02-0	Nickel	0.20	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7782-49-2	Selenium	0.20	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7440-22-4	Silver	0.050	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7440-28-0	Thallium	0.20	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7440-31-5	Tin	0.20	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7440-32-6	Titanium	0.20	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7440-62-2	Vanadium	0.20	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A
7440-66-6	Zinc	0.20	ND	1	50	50	06/06/13	24241	T15169A3	11	P	PEICP3A

Comments: _____

Flag Codes:

U or ND - Indicates Compound was not found above the detection/reporting limit
 P - ICP-AES
 CV -ColdVapor
 MS - ICP-MS

Form1

Inorganic Analysis Data Sheet

Sample ID: AC72753-001
 Client Id: 8961-WC-01
 Matrix: TCLP
 Level: LOW

% Solid: 0
 Units: MG/L
 Date Rec: 6/4/2013

Lab Name: Veritech
 Lab Code:
 Contract:

Nras No:
 Sdg No:
 Case No:

Cas No.	Analyte	RL	Conc	Dil Fact	Initial Wt/Vol	Final Wt/Vol	Analysis Date	Prep Batch	File:	Seq Num	M	Instr
7440-38-2	Arsenic	0.20	ND	1	50	50	06/06/13	24241	T15169A3	22	P	PEICP3A
7440-39-3	Barium	0.25	0.32	1	50	50	06/06/13	24241	T15169A3	22	P	PEICP3A
7440-43-9	Cadmium	0.050	ND	1	50	50	06/06/13	24241	T15169A3	22	P	PEICP3A
7440-47-3	Chromium	0.20	ND	1	50	50	06/06/13	24241	T15169A3	22	P	PEICP3A
7439-92-1	Lead	0.15	ND	1	50	50	06/06/13	24241	T15169A3	22	P	PEICP3A
7439-97-6	Mercury	0.00070	ND	1	25	25	06/07/13	24241	H15169T	18	CV	HGCV1A
7440-02-0	Nickel	0.20	ND	1	50	50	06/06/13	24241	T15169A3	22	P	PEICP3A
7782-49-2	Selenium	0.20	ND	1	50	50	06/06/13	24241	T15169A3	22	P	PEICP3A
7440-22-4	Silver	0.050	ND	1	50	50	06/06/13	24241	T15169A3	22	P	PEICP3A

Comments: _____

Flag Codes:

U or ND - Indicates Compound was not found above the detection/reporting limit
 P - ICP-AES
 CV - Cold Vapor
 MS - ICP-MS

Form1

Inorganic Analysis Data Sheet

Sample ID: AC72753-002
 Client Id: 8961-WC-02
 Matrix: TCLP
 Level: LOW

% Solid: 0
 Units: MG/L
 Date Rec: 6/4/2013

Lab Name: Veritech
 Lab Code:
 Contract:

Nras No:
 Sdg No:
 Case No:

Cas No.	Analyte	RL	Conc	Dil Fact	Initial Wt/Vol	Final Wt/Vol	Analysis Date	Prep Batch	File:	Seq Num	M	Instr
7440-38-2	Arsenic	0.20	ND	1	50	50	06/06/13	24241	T15169A3	23	P	PEICP3A
7440-39-3	Barium	0.25	0.39	1	50	50	06/06/13	24241	T15169A3	23	P	PEICP3A
7440-43-9	Cadmium	0.050	ND	1	50	50	06/06/13	24241	T15169A3	23	P	PEICP3A
7440-47-3	Chromium	0.20	ND	1	50	50	06/06/13	24241	T15169A3	23	P	PEICP3A
7439-92-1	Lead	0.15	ND	1	50	50	06/06/13	24241	T15169A3	23	P	PEICP3A
7439-97-6	Mercury	0.00070	ND	1	25	25	06/07/13	24241	H15169T	19	CV	HGCV1A
7440-02-0	Nickel	0.20	ND	1	50	50	06/06/13	24241	T15169A3	23	P	PEICP3A
7782-49-2	Selenium	0.20	ND	1	50	50	06/06/13	24241	T15169A3	23	P	PEICP3A
7440-22-4	Silver	0.050	ND	1	50	50	06/06/13	24241	T15169A3	23	P	PEICP3A

Comments: _____

Flag Codes:

U or ND - Indicates Compound was not found above the detection/reporting limit
 P - ICP-AES
 CV - Cold Vapor
 MS - ICP-MS

FORM 3 (ICB/CCB/MB Summary)

Date Analyzed: 06/06/13

Data File: T15169A3

Prep Batch: 24241

Reporting Limits Used: TCLP,6010B(ICP)/7470A,7471A(Hg),6020

Instrument: PEICP3A

Units: All units in ppm except Hg and icp-ms in ppb

Project Number: 3060411

Lab Name: Veritech

Lab Code:

Contract:

Nras No:

Sdg No:

Case No:

Analyte	ICB V-161977- 8	CCB V-161977- 20	CCB V-161977- 32	CCB V-161977- 43	MB 24241 (1)- 11	EF-V-166500- 39		
Arsenic	.2 U	.2 U	.2 U	.2 U	.2 U	.2U		
Barium	.25 U	.25 U	.25 U	.25 U	.25 U	.25U		
Cadmium	.05 U	.05 U	.05 U	.05 U	.05 U	.05U		
Chromium	.2 U	.2 U	.2 U	.2 U	.2 U	.2U		
Lead	.15 U	.15 U	.15 U	.15 U	.15 U	.15U		
Nickel	.2 U	.2 U	.2 U	.2 U	.2 U	.2U		
Selenium	.2 U	.2 U	.2 U	.2 U	.2 U	.2U		
Silver	.05 U	.05 U	.05 U	.05 U	.05 U	.05U		

Notes: a-indicates absolute value of result found above the reporting limits in CCB/ICB or result found above reporting limit in the MB
u-indicates result below reporting limit

FORM 3
(ICB/CCB/MB Summary)

Date Analyzed: 06/07/13

Data File: H15169T

Prep Batch: 24241

Reporting Limits Used: TCLP,6010B(ICP)/7470A,7471A(Hg),6020

Instrument: HGCV1A

Units: All units in ppm except Hg and icp-ms in ppb

Project Number: 3060411

Lab Name: Veritech

Lab Code:

Contract:

Nras No:

Sdg No:

Case No:

Analyte	ICB-10	CCB-22	CCB-34	CCB-37	MB 24241 (1)- 11	EF-V-166500- 35		
Mercury	.7 U	.7 U	.7 U	.7 U	.7 U	.7 U		

Notes: a-indicates absolute value of result found above the reporting limits in CCB/ICB or result found above reporting limit in the MB
u-indicates result below reporting limit

LEACHATE PREPARATION LOG

(TCLP, SPLP)

3060411 0048

Start Date: 6/4/13

Finish Date: 6/5/13

TCLP Ext. Fluid #1 pH: 4.88 (criteria: 4.93 ± 0.5)
 TCLP Ext. Fluid #2 pH: (criteria: 2.88 ± 0.05)
 SPLP Ext. Fluid #3 pH: (criteria: 4.20 ± 0.5)

Sample #	pH (units)	pH in HCL (units)	Final pH (units)	Ext. Fluid (number)	Wt/Vol of Sample (g or mL)	Start Time	Finish Time	Analyst (s)	Ext. Type*	Comments
AC 72652-001	5.42	1.36	5.00	616500	100 g/mL	20:36	22:45	EA	T	Metals only.
AC 72652-003	6.13	1.30	5.01							
AC 72652-005	4.72	-	4.98							
AC 72652-007	5.79	1.36	4.89							
AC 72652-009	6.07	1.35	5.00							
AC 72652-011	5.69	1.34	5.01							
AC 72652-013	5.72	1.29	4.82							
AC 72652-015	5.46	1.31	5.01							
AC 72652-017	6.88	1.30	4.81							
AC 72653-001	5.95	1.28	5.00							
AC 72653-003	5.75	1.30	5.03							
AC 72653-005	5.76	1.32	5.01							
AC 72653-007	7.10	1.44	4.91							
AC 72653-009	5.88	1.32	4.99							
AC 72653-011	5.74	1.28	5.00							
AC 72653-013	5.76	1.27	5.00							
AC 72653-015	5.50	1.29	5.01							
AC 72744-002	9.40	1.65	6.27		150 g/mL					Metals and Organics
AC 72753-001	9.15	1.45	5.45							
AC 72753-002	8.97	1.53	5.21							
AC 72756-001	10.47	1.42	5.48							
AC 72756-002	10.18	1.35	5.19							
AC 72756-003	9.91	1.56	5.89							
AC 72756-004	9.83	1.53	5.99							

*Ext. Type:

TCLP = T (Method 1311)

SPLP = P (Method 1312)

ZHE = Z (Method 1311/1312)

LANP = L (Methods 1311 / ANSI/NEMA C78.11 1256-2003)

MEP = M (Method 1320)

** The pH of the extraction fluid must be checked prior to use and must be within limits specified above

ZERO HEADSPACE EXTRACTION-SAMPLE ENTRY

A4-205

*Ext. Type	TCLP=T	ASTM=A	SPLP=S	MEP=M
** Initials of person taking custody of extractions				

**** Initials of person taking custody of extractions**

MEP = M

Last Page of Report

END OF ADDENDUM NO. 4

This addendum consists of two-hundred and eight (208) pages.

THE CITY OF NEW YORK
DEPARTMENT OF DESIGN AND CONSTRUCTION
INFRASTRUCTURE DIVISION
BUREAU OF DESIGN

PROJECT ID: HWMP2017

RECONSTRUCTION OF WADSWORTH TERRACE
FROM WEST 190TH STREET TO FAIRVIEW AVENUE

INCLUDING WATER MAIN, STREET LIGHTING, AND TRAFFIC WORK
TOGETHER WITH ALL WORK INCIDENTAL THERETO

BOROUGH OF MANHATTAN
CITY OF NEW YORK

ADDENDUM NO.5

DATED: January 21, 2014

THIS ADDENDUM IS HEREBY MADE A PART OF THE CONTRACT DOCUMENTS

1. The Contractor shall be responsible for compliance with all the provisions of the following Sections and Schedules, which are hereby made a part of the original contract documents:
 - A. "SECTION U: Additional Contract Requirements Applying to Work Performed in the Presence of Privately Owned Utility Facilities" (Pages A5-3 through A5-13)
 - B. Schedule U-1 (Page A5-14)
 - C. Schedules U-2 (one for each Utility Company) (Pages A5-15 through A5-26)
 - D. Section U-3 Page A5-27 (as per the Private Utilities reference document for SECTION U called "CET SPECIFICATIONS AND SKETCHES", dated November 2010), Test Pits, Pages (A5-28 through A5-29) in this Addendum; and,
 - E. Private Utility drawings (7 Sheets) consisting of:
 - * General Notes, (Coned) (1 Sheet).
 - * Electric Capital Plan, (Coned) (2 Sheets).
 - * CET 700 and 802B, (Coned) (2 Sheets).
 - * Utility Plates, (Coned) (2 Sheets).
2. Each facility operator shall provide inspectors at the work site to inspect methods of interference work, verify quantities and items of Utility Work, and coordinate all phases of the facility operator operations.
3. In addition, the following statements are made to provide clarification of various paragraphs under Section U:
 - A. Section U, ¶4, requires the Contractor to immediately commence negotiations with each Company for an Interference

Agreement under which the Company will compensate the Contractor for any Interference Work which the Company does not elect to perform with its own forces or by specialty contractors retained by the Company. Thus the Contractor is on notice that its work under the Contract may be affected by Interference Work performed by (a) the Contractor pursuant to a separate Interference Agreement with the Company, (b) the Company, or (c) partly by each.

- B. Section U, ¶2, informs the Contractor that the duration of the Contract as shown in Schedule A includes the time which may be necessary for the Contractor to perform the necessary Interference Work.
- C. The Contractor is hereby informed that the duration of the Contract as shown in Schedule A includes the time which may be necessary for the Company to perform whatever portion of the Interference Work which the Company elects to perform with its own forces or by specialty contractors retained by the Company.
- D. Section U informs the Contractor that the City has entered into a Utility Agreement with the Companies regarding interferences to the City work in this Contract created by the facilities owned and/or operated by such Companies. Pursuant to this Addendum, a sample of the Utility Agreement letter as executed by the Companies is annexed on page A5-13, as an Exhibit to the Contract. Signed copies of those Utility Agreement letters are on file with NYCDDC.
- E. The City has no contract with any of the Companies for work on or adjacent to the site of work under this Contract, and the Companies are not "Other Contractors" as defined for the purposes of this Contract. The Contractor is reminded, however, that pursuant to Section U, ¶4, the City will not compensate the Contractor for any direct and/or indirect costs related to Interference Work, regardless of whether such Interference Work is covered by an Interference Agreement between the Contractor and the Company or is performed by the Company using its own forces or by specialty contractors retained by the Company.
- F. Section U, ¶14, provides that the provisions of Section U are material provisions of the Contract and that the Contractor's failure to comply with the procedures set forth in Section U are sufficient for the Commissioner to declare the Contractor in default pursuant to Article 48 of the Contract. Pursuant to this Addendum, the Contractor is informed that the Performance Bond required of the Contractor pursuant to the Contract is not deemed to guarantee performance of any of the Interference Work.

Section U: Additional Contract Requirements Applicable to Work Performed in the Presence of Privately Owned Utility Facilities

The Contractor is hereby notified that pursuant to the law and franchise agreements issued by the City, certain private utility and public service companies named in Schedule U-1 ("the Companies") own and/or operate surface and/or subsurface facilities within the limits of this contract. The existence of these facilities impacts the productivity of the City work called for in the contract. In order to improve coordination of the City construction with the private utility facilities owned and/or operated by the Companies named in Schedule U-1, Article 1.06.30 of the Standard Highway Specifications of the New York City Department of Transportation, Dated November 1, 2010; Articles 1.06.14 through 1.06.17 of the General Provisions of the Standard Water Main Specifications of the New York City Department of Environmental Protection, dated August 1, 2009; and/or Articles 1.06.14 through 1.06.17 of the Standard Sewer Specifications of the New York City Department of Environmental Protection, Dated August 1, 2009; as applicable, are amended and will be implemented as follows:

1. Pre-engineering:

The anticipated scopes of private utility facilities interferences and anticipated work items and specifications are included in this contract. The locations of these interferences are indicated on the plans and/or listed in the specifications for this contract, and a schedule of estimated quantities by type of interference expected to be encountered within the limits of this project area have been listed on Schedule U-2. In addition, in Section U-3 the Companies have provided standard details and methods for supporting, protecting, relocating, and/or working around their facilities when they are in interference with City contract work.

2. Means and methods for City work:

- a) The Contractor is hereby notified that the utility interferences identified on the plans and/or listed in the Specifications to be known conditions which may impact the performance of, and/or interferes with, City work. The contractor will be required to perform such utility work as directed by the Resident Engineer in order to clear all utility interferences from the project site as required for satisfactory completion of City work within specified contract schedule.
- b) In areas serviced by overhead electric system, the contractor understands and by bidding for this contract agrees that he/she has reviewed the section 'U' package and

that he/she will be required to perform the public work in the presence of energized electrical overhead lines and appurtenances located in areas adjacent and/or within the project area. As a consequence he/she will select means and method of construction appropriate to maintain the safety clearances required or as permitted by contract specifications (e.g. "CET 350 – Overhead Accommodation Protection of Overhead Facilities, Poles, and Appurtenances") in order to avoid damaging the insulation or shielding of these lines and also to prevent knocking them down. The duration of the contract as shown in Schedule A thus includes the time which may be necessary for the Contractor to remove, repair, protect, support, shift, relocate, temporarily remove and replace, work around and/or work in the presence of the Companies' facilities ("Interference Work") as described on the plans and/or specifications of the contract during the progress of the City work.

3. *Field inspection prior to construction:*

Prior to the start of any contract work in areas serviced by overhead electric lines, and after the award to the apparent low bidder for this contract, the contractor must request a field walk of the project area along with the operator of the overhead electrical facilities and the DDC Engineer-In-Charge. At that time the facility operator, pursuant to contract specification (e.g. "CET 350 – Overhead Accommodation Protection of Overhead Facilities, Poles, and Appurtenances") will confirm the type and condition of the overhead electrical lines and the sufficiency of their insulating properties with respect to the means and methods proposed by the contractor. The contractor must be prepared to describe in enough details his/her proposed means and methods of construction operations in order to anticipate the likelihood that electric lines insulation would be cut or otherwise compromised. Also such details will allow the facility operator to anticipate the need for added insulation and/or shielding of non-insulated lines.

4. *Compensation for interference work:*

Compensation for Interference Work is a matter of adjustment between the Contractor and each private utility company located within the limits of the project area and whose utility facilities are affected by City contract work. In particular, the City will not compensate the Contractor for any direct and/or indirect costs related to Interference Work, including, but not limited to, lost profit, increased overhead, or any other impact costs. Upon receipt of a Notice of Award from the City, the Contractor shall immediately commence negotiations with each of the Companies concerning the manner in which and the price for which the Contractor,

through its own forces or by others hired by it, will perform and be paid by the Company for all necessary Interference Work as defined above that the Company(ies) choose(s) not to perform with its(their) own forces or by specialty Contractors hired by it (them) (as per "Interference Agreement"). (Specialty contractors' work is limited to (i) insulation installation and removal, (ii) live gas and steam work, (iii) cleanup and disposal of hazardous materials, (iv) splicing live electrical and telecommunications facilities, and (v) work not traditionally performed by general construction contractors.)

5. *Interference Agreement:*

1. The Companies have provided estimate of the quantity of each of the types of interferences expected to be encountered in the contract in Schedule U-2. Although the parties may negotiate an Interference Agreement in any format or manner they deem fit, the Contractor is hereby advised that the Companies have indicated to the City that they will agree to compensate the Contractor on a unit price basis according to the Quantity and Types of Interferences expected to be encountered on this Contract as stated in Schedule U-2.
2. Furthermore, in Section U-3, standard unit work measurement and payment provisions are specified and shall apply only if the Contractor and affected Utility companies enters into a unit price based Interference Agreement, otherwise the unit of work measurement, and payment provisions set forth in Section U-3 shall not apply. The Contractor shall notify the City upon concluding an Interference Agreement with each of the Companies, which shall be binding and final once concluded.

6. *City contract work to continue without Interference Agreement :*

If, prior to the start of construction, as directed by the City's Order to work / Notice To Proceed (OTW/ NTP) date any of the Companies and the Contractor have not concluded an Interference Agreement as described above, then the City construction will proceed as ordered and the Contractor will be directed by the Resident Engineer to perform the City work on Time, Material and Equipment basis (T&M) as specified in standard City contract agreement Article 26.2. T&M records will include identification of types of utility facilities interfering with City work, utility facility owners, specifying the nature and quantity of any materials, plant and equipment furnished or used in connection with the performance of such work and crew size, such as: name and number of each worker employed on such work. T&M records will also indicate the hours of active time, standby time and idle time. The Company (ies) and the Contractor will maintain separate records of the actual quantity and cost of labor, materials,

and equipment expended, and will provide copies of this information to the other party on a daily basis for reconciliation. These T&M records along with cost evaluations will be submitted daily to the Resident Engineer for review and approval. The total cost of City work will be based on quantity of work performed multiplied by unit price contract bid items. The total interference cost will be calculated as the difference between the total T&M cost and total cost for City work. The Resident Engineer will conduct a monthly reconciliation session of the daily T&M records with the affected Company (ies) and contractor. If the contractor and affected utility companies cannot reconcile their T&M records, by the last day of each month, then the Resident Engineer will submit the approved City's T&M records along with total cost evaluations to the DDC Director of Construction who will review these records and recommend approval and validity certification by the DDC Deputy Commissioner.

1. Copies of the DDC approved and certified T&M records will then be transmitted by the DDC to the contractor and the utility companies. These certified records may be used by the contractor for compensation claims against the responsible private facility owners, or may be used by any party as supporting documentation in dispute regarding compensation for performing Interference Work as identified in Schedule U-2. The contractor will be required to perform City work while invoices are submitted by the contractor to the Utility companies for payment within 30 days, or while compensation disputes between contractor and affected company (ies) are submitted to Binding Arbitration process described in Paragraph 10.
2. All issues related to utility work and/ or delays due to compensation disputes or claims against utility companies are not allowable as justification for granting contract time extensions. The City may assess liquidated damages specified in the contract for net overall delays suffered by City contract work as a result of utility issues, disputes and claims.
3. The standard City contract dispute resolution process specified in Article 27 "Presentation of disputes to Commissioner", of the standard City contract agreement is not applicable to any disputes related to utility work and/ or compensation for such work or claim against utility companies. Utility work issues, disputes and claims may only be submitted to Binding Arbitration process described in Paragraph 10.
4. The contractor will notify the Resident Engineer when utility capital work not specified in Schedule U2 and/ or for utility work that require the intervention of company utility specialty crews causes excessive contractor's labor and equipment standby or idleness

and, thereby jeopardizing the City project schedule. The Resident Engineer will submit the facts to the DDC Director of construction who will recommend to the Deputy Commissioner regarding the issuance of a "48 hours notice" to the concerned utility company as authorized by the New York City administrative Code Section 19-143 and/or Section 24-521 as applicable.

5. Utility delays caused by utility capital work not listed in Schedule U2 and/ or by unavailability of utility specialty crews cannot be discounted for earning any contractual bonus when such bonus clause is included in a contract. However, if such specified bonus is not earned or disallowed by the City or if the City assesses specified liquidated damages as a result of such excessive delays, the contractor may seek damages from the responsible utility company (ies).

7. *Extra utility work with Utility Agreement:*

If during construction the Contractor encounters utility facilities interferences or utility scope of work that it believes is not covered by the Interference Agreement as described above, then the Contractor shall immediately notify the Company in writing, with a copy to the City, describing the nature and location of the extra work in question. The Company then has five (5) business days to investigate the conditions and then:

1. Advise the Contractor and the City in writing that no interference with its facilities exists at the location in question, and hence that the Contractor may proceed with City work without providing for any impact from Company facilities;
2. Advise the Contractor and the City in writing that the Interference Agreement negotiated pursuant to Paragraph (6), above, provides for the scope of work encountered, specifying the exact unit items and/or terms of the agreement that cover the work;
3. Advise the Contractor and the City in writing that it intends to perform the necessary utility Work with company forces or with its own contractor including, but not limited to, relocating its facility out of the way of the proposed City work. In this case, the Company shall provide a written schedule for the performance of the utility work it proposes to perform, which shall be subject to approval by the City based on its impact to the Contractor's currently approved progress schedule. Upon approval of the Company's schedule by the City, the Contractor shall provide access to the worksite to the Company and/or any contractors hired by it to perform this utility work. If necessary, the City may

grant a contract time extension for delays caused by the performance of such utility work by the company.

4. Reasonably specify in writing the scope of work to be performed by the Contractor on behalf of the Company that is not covered under the Interference Agreement negotiated pursuant to Paragraph (6), including, but not limited to, relocating, supporting, and/or protecting the Company's facilities, and/or shifting the City facility if approved by the Resident Engineer, and/or otherwise changing its operations to work in the presence of the Company's facilities. Should the Company elect this option, it must adequately define and provide an initial price offer for the work required to be performed.

8. *Means and Methods for utility work:*

Upon receipt of the Company's determination pursuant to paragraphs 7.2, or 7.4, above, the Contractor shall determine reasonable means and methods of performing the work defined by the Company. These means and methods are subject to approval of the Company, which shall not be unreasonably withheld. If, however, the Company objects to the Contractor's proposed means and methods then it shall define an alternate method of construction. Upon receipt of the Company's approval or its proposed alternate method of construction, the Contractor shall commence performance of the work defined by the Company as soon as possible, and shall perform the work in a good, workmanlike, and efficient manner, using the means and methods approved by the Company, in order to permit the City work to proceed in the most expeditious manner possible, but without imposing unreasonable and/or unnecessary costs on the Company. It is expressly understood by all parties that the City's rights pursuant to Article 4 of the Contract apply to Utility Work performed pursuant to this section.

9. *Disputed utility work covered by a utility agreement:*

The City Work will continue as described in paragraph 6 above. In the event of any dispute between the Company (ies) and the Contractor regarding any issue related to the performance of, or payment for, utility work, including, but not limited to, any indirect or impact costs incurred by the Contractor due to the Utility Work and/or to the existence of facilities owned or operated by the Company (ies) on the line of the work. The Company (ies) and the Contractor hereby agree to submit to each other a "Final Offer," in writing, by certified mail. Each party shall then have three business days to consider each other's Final Offer. In the event that neither party accepts the other's Final Offer within those three days, the Company (ies) and the Contractor agree to immediately submit the dispute to binding arbitration as described in Paragraph 10. During the pendency of any arbitration, the Company (ies) and the Contractor

shall maintain separate records of the actual quantity and cost of labor, materials, and equipment expended, and to provide copies of this information to the other party on a daily basis for reconciliation. Any and all disagreement with the records maintained and provided by the other, must be documented in writing to all parties. However, these records are solely for the benefit of presentation to the arbitrator, whose decision may not necessarily be based on these records and in any event is final. Both parties should be aware that the City will not confirm or deny the accuracy of any records maintained by either party for Utility work performed pursuant to a Utility Agreement. While the arbitration is pending, the Company shall pay the Contractor on a monthly basis, based on the price offered by the Company to the Contractor for the performance of the work.

10. Arbitration of utility work:

The arbitration of the issues described above shall be conducted pursuant to the Construction Industry Arbitration Rules of the American Arbitration Association (hereinafter "the Rules" and "AAA") in effect on the date the arbitration is initiated except as set forth herein. The arbitration award shall be final and binding upon the parties to the arbitration and judgment upon the award may be entered in a court having jurisdiction.

- (a) Once an arbitrator(s) has been appointed by the AAA, the arbitration shall be scheduled as promptly as possible given the arbitrator(s) and the parties' schedules.
- (b) No later than seven days prior to the first arbitration hearing, Company and Contractor shall submit to the arbitrator(s), and to each other, a summary of each party's respective position and such other information as is deemed appropriate, along with a copy of each party's Final Offer as specified in paragraph 9.
- (c) The arbitration shall be conducted and concluded in two days.
- (d) On the morning of the first day of the arbitration, Contractor and/or representatives shall have 3 ½ hrs to make a presentation of its claim to the arbitrator. During its presentation, Contractor shall not be permitted to produce any documents or cost records which have not already been provided to the Company. Contractor shall be permitted to produce any analysis or description of its claim which has been prepared for the purpose of its presentation.
- (e) After lunch, Company and/or its representatives shall have two hours to ask Contractor questions about its claim and its presentation. Thereafter the arbitrator(s) shall have two hours to ask Contractor questions about its claim and its presentation.

- (f) On the morning of the second day of the arbitration, Company and/or its representatives shall have 3 ½ hours to make a presentation of its claim to the arbitrator. During its presentation, the Company shall not be permitted to produce any documents or cost records which have not already been provided to the Contractor. The Company shall be permitted to produce any analysis or description of its claim which has been prepared for the purpose of its presentation.
- (g) After lunch, Contractor and/or its representatives shall have two hours to ask Company questions about its claim and its presentation. Thereafter the arbitrator(s) shall have two hours to ask Company questions about its claim and its presentation.
- (h) Subject to the above time limitations, the arbitrator(s) may conduct the arbitration in such manner as the arbitrator(s) deems reasonable.
- (i) The arbitrator(s) shall then have one week to select in writing, as the arbitrator ('s) award, that party's Final Offer which appears to be more reasonable, based on the presentations at the arbitration hearings.
- (j) The arbitrator shall have no discretion to grant an award other than one of the two Final Offers submitted by the parties.
- (k) Any award for work that has already been performed shall be paid on the 7th day after receipt of the arbitrator's decision, or on the 30th day after completion of the work, whichever is later. Payment for work not yet completed at the time of the arbitrator's decision shall be paid within 30 days of completion of work. Interest shall accrue from the date payment is due at the rate of 9% per annum. Either party may cause judgment to be entered in accordance with the arbitrator(s) decision in a court in the State of New York, County of New York.
- (l) The arbitrator's fees and any other costs of the arbitration shall be initially shared equally by Company and Contractor. The non-prevailing party shall then pay all arbitrator's fees and costs of the arbitration and shall reimburse the prevailing party for its share of such fees and costs theretofore paid.
- (m) The parties may, at any time, settle any matter submitted to arbitration.

11. *Order-out waiver:*

The Contractor and all subcontractors hired by it, if an Interference Agreement is executed as specified between the concerned parties, agree to waive any rights they may have, if any, under law, contract or otherwise to compel the City to assert any right the City may have, including the issuance of any directives required under the New York City Administrative Code, Section 19-143 and Section 24-521, to require any or all of the Companies to maintain, repair, replace, protect, support, shift, alter, relocate, and/or remove utility facilities in connection with the work to be performed under this contract. However, nothing in this section shall preclude the City from exercising its rights under the Law to issue such a directive to the Company.

12. *Cost of insurance:*

Each of the named Companies, at their option and if an Interference Agreement is executed as specified between the concerned parties, may be named as an additional insured on all insurance policies required to be maintained under this contract. In the event that a Company opts to be so named as an additional insured, the actual incremental cost, if any, to the Contractor of providing such insurance coverage shall be borne by that Company. The Contractor shall provide a written statement from its insurance provider documenting the actual cost of this added coverage to the Company. Under no circumstances shall the cost of insurance coverage on behalf of any Company be borne by the City. Nothing in this paragraph shall be interpreted to imply the City's acceptance of any additional responsibility or liability for any matter related to the performance of Utility Work. In particular, the Company and the Contractor bear joint and full responsibility to ensure that any Utility Work performed by the Contractor is in compliance with all applicable government and Company regulations.

13. *Cost of utility interference work:*

The Companies, by virtue of a prior agreement with the City, have agreed to perform their obligations described in this section. It is expressly understood that the cost of Utility Work shall not be a charge against the City, but shall be a matter for adjustment between the Contractor and the Company or Companies concerned. The City and the Contractor agree that the Companies are third party beneficiaries of this section of the contract, if a Utility Agreement is executed between the contractor and utility company (ies). The provisions of this section shall govern in all cases where Company property interferes with or is about to be disturbed by the City work, notwithstanding any other provision of the Contract, except for Natural Gas transmission/distribution facilities covered subject to the Gas Facility Cost Allocation Act (GFCAA) and covered separately in this contract.

14. Default declaration:

The Contractor agrees that the provisions of this section are material provisions of the contract, and that the Contractor's failure to comply with the procedures set forth above are sufficient for the Commissioner to declare the Contractor in default pursuant to Article 48 of the Contract.

15. NYS Labor Law:

The Contractor is hereby advised that New York State Labor Law applies to public work. The work described in this Section U of the contract performed by utility company (ies) with their own forces or vendors hired by such company (ies) is not public work.

16. Facility operators:

The insurance requirements in Paragraph 12 of this Section U apply to: (i) additional Companies, if any, who were not named in Schedule "A" but which have executed a Utility Agreement with the contractor for utility work; and (ii) additional coverage, if any, paid for by Utility Companies whose utility facilities are located within the project limits, that they may require for the utility work pursuant to an utility agreement between the contractor and such utility companies.

[End]

"STANDARD UTILITY LETTER OF AGREEMENT"

(Name)

Deputy Commissioner, Infrastructure Division
Department of Design and Construction
30-30 Thomson Avenue
Long Island City, NY 11101

RE: City Work Performed in the Presence of Private Utility Facilities

Project No: _____

Dear (Name):

This letter is to certify that _____, has requested the inclusion of the attached "Section U: Additional contract requirements applying to work performed in the presence of privately owned utility." The company agrees to abide by the terms of this Section U and to submit a schedule listing the scope of work, including the items and estimated quantities, and types of utility facilities to be supported and protected at the company's own expenses due to interferences with the Public work.

Sincerely,

By: Authorized Company Representative

Title

NOTARY PUBLIC

CERTIFIED AS TO FORM
AND LEGAL AUTHORITY:

By: _____

SCHEDULE U-1

HWMP2017

RECONSTRUCTION: WADSWORTH TERRACE FROM FAIRVIEW AVENUE TO WEST 190TH STREET

SCHEDULE U-1 LISTING OF COMPANIES NAMED FOR THIS CONTRACT

<u>COMPANY NAME</u>	<u>CONTACT NAME</u>	<u>CONTACT TELEPHONE</u>
CON EDISON	THERESA KONG	212-460-4834
VERIZON	AUBREY MAKHANLALL	718-977-8165
TIME WARNER	JOHN PIAZZA	718-888-4261

SCHEDULE U-2
FOR INFORMATION ONLY
ENGINEER'S ESTIMATE OF QUANTITY AND TYPES OF INTERFERENCE
FOR CONSOLIDATED EDISON

HWMP2017

0

Wadsworth Terrace Bet. W. 190 St. - Fairview Ave.

CET ITEM	DESCRIPTION	UNITS	ESTIMATED QUANTITY
CET 100.1	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECT. AND/OR TESTPIT (TYPE .1)	EA	1
CET 100.2	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECT. AND/OR TESTPIT (TYPE .2)	EA	2
CET 100.3	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECT. AND/OR TESTPIT (TYPE .3)	EA	2
CET 200.1	EXTRA DEPTH EXCAVATION OF CATCH BASIN CHUTE CONNECTIONSS (INVERT DEPTH 4'-6" TO 5' FOR TYPE 2 OR UP TO 5'-6" FOR TYPE 3)	L.F.	10
CET 200.2	EXTRA DEPTH EXCAVATION OF CATCH BASIN CHUTE CONNECTIONSS (INVERT DEPTH 5' TO 6' FOR TYPE 2 OR > 5'-6" TO 6'-6" FOR TYPE 3)	L.F.	10
CET 225.1B	INSTALLATION OF CATCH BASINS WITH UTILITY INTERFERENCES	EA	6
CET 225.1C	REMOVAL OF CATCH BASINS WITH UTILITY INTERFERENCES	EA	6
CET 300	SPECIAL CARE EXCAVATION AND BACKFILING	C.Y.	56
CET 303	FURNISH, DELIVER AND INSTALL 3/8" CLEAN SAND BACKFILL	C.Y.	219
CET 304 B	FURNISH, DELIVER AND INSTALL CONCRETE SIDEWALK	C.Y.	22
CET 330E-A.3	SUPPORT & PROTECT ELEC, GAS & STEAM FAC. DURING EXCAVATION OF CITY TRENCH WHEN FAC. LIE W/IN TRENCH LIMITS W/O SHEETING (TYPE .3)	L.F.	260
CET 400	TEST PITS FOR UTILITY FACILITIES	C.Y.	108
CET 401	TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITIES	C.Y.	62
CET 402.2	EXISTING OCCUPIED NON-CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION W/O CONCRETE ENCASEMENT	L.F.	350
CET 402.V2	EXISTING VACANT NON-CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION W/O CONCRETE ENCASEMENT	L.F.	85
CET 403	PLACING STEEL PROTECTION PLATES FOR UTILITY FACILITIES	S.F.	1,080
CET 406	EXCAVATION FOR UTILITY STRUCTURE	C.Y.	5

SCHEDULE U-2
FOR INFORMATION ONLY
ENGINEER'S ESTIMATE OF QUANTITY AND TYPES OF INTERFERENCE
FOR CONSOLIDATED EDISON

HWMP2017

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Wadsworth Terrace Bet. W. 190 St. - Fairview Ave.

CET ITEM	DESCRIPTION	UNITS	ESTIMATED QUANTITY
CET 450.2	CONSTRUCTION FIELD SUPPORT REQUIRING AVERAGE SMALL SIZE CREW CAPABLE OF PERFORMING VARIOUS TASKS (TYPE .2)	CRHRS	64
CET 500	REMOVAL OF ABANDONED UTILITY CONDUITS (NON-CONCRETE ENCASED)	L.F.	542
CET 601.3	INSTALL CONDUIT IN PAVED AREA (4 EA. 4" CONDUIT - ALL TYPES)	L.F.	95
CET 601.5	INSTALL CONDUIT IN PAVED AREA (8 EA. 4" CONDUIT - ALL TYPES)	L.F.	300
CET 603E.1	INSTALL UTILITY CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT	L.F.	480
CET 636 EG RD	ADJUSTMENT OF UTILITY HARDWARE IN ROADWAY (41" TO UNDER 75" WIDTH)	EA	3
CET 636 EI RD	ADJUSTMENT OF UTILITY HARDWARE IN ROADWAY (125" TO UNDER 170" WIDTH)	EA	1
CET 636 RS	STRUCTURAL REPAIR TO UTILITY STRUCTURES	C.Y.	16
CET 700	SPECIAL MOD. OF WORK METHODS TO ACCOMM./ PROTECT U.G. FACILITIES WITH LIMITED COVER	C.Y.	313
CET 710.1	REMOVAL OF ABANDONED UTILITY STEEL/CAST IRON/PLASTIC, UP TO AND INCL. 12" DIAMETER PIPES	L.F.	542
CET 802B	SPECIAL MODIFICATION OF WORK FOR INSTALLATION OF NEW CURBS	L.F.	199
CET 1008V	8" VERTICAL OR ROLLED WATER MAIN OFFSET	EA	2
CET 1012V	12" VERTICAL OR ROLLED WATER MAIN OFFSET	EA	1
CET 1020V	20" VERTICAL OR ROLLED WATER MAIN OFFSET	EA	2

CON EDISON SCOPE OF WORK
SUPPORT AND PROTECTION

HWMP2017

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Wadsworth Terrace Bet. W. 190 St. - Fairview Ave.

CET 100.1	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECT. AND/OR TESTPIT (TYPE .1) <i>At the following locations:</i> Fairview Ave. / Wadsworth Terrace Total quantity for CET 100.1 = 1	EA
CET 100.2	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECT. AND/OR TESTPIT (TYPE .2) <i>At the following locations:</i> F/O #60 Wadsworth Terrace F/O #96 Wadsworth Terrace Total quantity for CET 100.2 = 2	EA
CET 100.3	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECT. AND/OR TESTPIT (TYPE .3) <i>At the following locations:</i> Fairview Ave. / Wadsworth Terrace Fairview Ave. / Wadsworth Terrace Total quantity for CET 100.3 = 2	EA
CET 200.1	EXTRA DEPTH EXCAVATION OF CATCH BASIN CHUTE CONNECTIONSS (INVERT DEPTH 4'-6" TO 5' FOR TYPE 2 OR UP TO 5'-6" FOR TYPE 3) <i>At the following locations:</i> Wadsworth Terrace Bet. W. 190 St. - Fairview Ave. Total quantity for CET 200.1 = 10	L.F.
CET 200.2	EXTRA DEPTH EXCAVATION OF CATCH BASIN CHUTE CONNECTIONSS (INVERT DEPTH 5' TO 6' FOR TYPE 2 OR > 5'-6" TO 6'-6" FOR TYPE 3) <i>At the following locations:</i> Fairview Ave. / Wadsworth Terrace Total quantity for CET 200.2 = 10	L.F.
CET 225.1B	INSTALLATION OF CATCH BASINS WITH UTILITY INTERFERENCES <i>At the following locations:</i> Wadsworth Terrace Bet. W. 190 St. - Fairview Ave. Total quantity for CET 225.1B = 6	EA

CON EDISON SCOPE OF WORK
SUPPORT AND PROTECTION

HWMP2017

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Wadsworth Terrace Bet. W. 190 St. - Fairview Ave.

CET 225.1C	REMOVAL OF CATCH BASINS WITH UTILITY INTERFERENCES <i>At the following locations:</i> Wadsworth Terrace Bet. W. 190 St. - Fairview Ave. Total quantity for CET 225.1C = 6	EA
CET 300	SPECIAL CARE EXCAVATION AND BACKFILING <i>At the following locations:</i> Fairview Ave. / Wadsworth Terrace Total quantity for CET 300 = 56	C.Y.
CET 303	FURNISH, DELIVER AND INSTALL 3/8" CLEAN SAND BACKFILL <i>At the following locations:</i> W. 190 St. / Wadsworth Ave. Wadsworth Terrace Bet. W. 190 St. - Fairview Ave. Fairview Ave. / Wadsworth Terrace Total quantity for CET 303 = 219	C.Y.
CET 304 B	FURNISH, DELIVER AND INSTALL CONCRETE SIDEWALK <i>At the following locations:</i> Wadsworth Terrace Bet. W. 190 St. - Fairview Ave. Total quantity for CET 304 B = 22	C.Y.
CET 330E-A.3	SUPPORT & PROTECT ELEC, GAS & STEAM FAC. DURING EXCAVATION OF CITY TRENCH WHEN FAC. LIE W/IN TRENCH LIMITS W/O SHEETING (TYPE .3) <i>At the following locations:</i> Fairview Ave. / Wadsworth Terrace AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE Total quantity for CET 330E-A.3 = 260	L.F.
CET 400	TEST PITS FOR UTILITY FACILITIES <i>At the following locations:</i> Wadsworth Terrace Bet. W. 190 St. - Fairview Ave. Total quantity for CET 400 = 108	C.Y.

CON EDISON SCOPE OF WORK
SUPPORT AND PROTECTION

HWMP2017

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Wadsworth Terrace Bet. W. 190 St. - Fairview Ave.

CET 401	TRENCH EXCAVATION FOR ADJUSTMENT OF UTILITIES	C.Y.
	<i>At the following locations:</i> W. 190 St. / Wadsworth Ave. Wadsworth Terrace Bet. W. 190 St. - Fairview Ave. Fairview Ave. / Wadsworth Terrace AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE Total quantity for CET 401 = 62	
CET 402.2	EXISTING OCCUPIED NON-CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION W/O CONCRETE ENCASEMENT	L.F.
	<i>At the following locations:</i> Wadsworth Terrace Bet. W. 190 St. - Fairview Ave. AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE Total quantity for CET 402.2 = 350	
CET 402.V2	EXISTING VACANT NON-CONCRETE ENCASED CONDUITS PLACED IN FINAL POSITION W/O CONCRETE ENCASEMENT	L.F.
	<i>At the following locations:</i> W. 190 St. / Wadsworth Ave. AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE Total quantity for CET 402.V2 = 85	
CET 403	PLACING STEEL PROTECTION PLATES FOR UTILITY FACILITIES	S.F.
	<i>At the following locations:</i> Wadsworth Terrace Bet. W. 190 St. - Fairview Ave. AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE Total quantity for CET 403 = 1,080	
CET 406	EXCAVATION FOR UTILITY STRUCTURE	C.Y.
	<i>At the following locations:</i> W. 190 St. / Wadsworth Ave. Total quantity for CET 406 = 5	

CON EDISON SCOPE OF WORK
SUPPORT AND PROTECTION

HWMP2017

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Wadsworth Terrace Bet. W. 190 St. - Fairview Ave.

CET 450.2	CONSTRUCTION FIELD SUPPORT REQUIRING AVERAGE SMALL SIZE CREW CAPABLE OF PERFORMING VARIOUS TASKS (TYPE .2) <i>At the following locations:</i> Wadsworth Terrace Bet. W. 190 St. - Fairview Ave. W. 190 St. / Wadsworth Ave. AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE Total quantity for CET 450.2 = 64	CRHRS
CET 500	REMOVAL OF ABANDONED UTILITY CONDUITS (NON-CONCRETE ENCASED) <i>At the following locations:</i> Wadsworth Terrace Bet. W. 190 St. - Fairview Ave. AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE Total quantity for CET 500 = 542	L.F.
CET 601.3	INSTALL CONDUIT IN PAVED AREA (4 EA. 4" CONDUIT - ALL TYPES) <i>At the following locations:</i> Fairview Ave. / Wadsworth Terrace AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE Total quantity for CET 601.3 = 95	L.F.
CET 601.5	INSTALL CONDUIT IN PAVED AREA (8 EA. 4" CONDUIT - ALL TYPES) <i>At the following locations:</i> Fairview Ave. / Wadsworth Terrace AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE Total quantity for CET 601.5 = 300	L.F.
CET 603E.1	INSTALL UTILITY CONDUITS PLACED IN FINAL POSITION WITHOUT CONCRETE ENCASEMENT <i>At the following locations:</i> Fairview Ave. / Wadsworth Terrace Fairview Ave. / Wadsworth Terrace W. 190 St. / Wadsworth Ave. AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE Total quantity for CET 603E.1 = 480	L.F.

CON EDISON SCOPE OF WORK
SUPPORT AND PROTECTION

HWMP2017

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Wadsworth Terrace Bet. W. 190 St. - Fairview Ave.

CET 636 EG RD	ADJUSTMENT OF UTILITY HARDWARE IN ROADWAY (41" TO UNDER 75" WIDTH)	EA
	<i>At the following locations:</i>	
	W. 190 St. / Wadsworth Ave.	
	Fairview Ave. / Wadsworth Terrace	
	F/O #60 Wadsworth Terrace	
	Fairview Ave. / Wadsworth Terrace	
	Fairview Ave. / Wadsworth Terrace	
	AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE	
	Total quantity for CET 636 EG R = 3	
CET 636 EI RD	ADJUSTMENT OF UTILITY HARDWARE IN ROADWAY (125" TO UNDER 170" WIDTH)	EA
	<i>At the following locations:</i>	
	Fairview Ave. / Wadsworth Terrace	
	AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE	
	Total quantity for CET 636 EI R = 1	
CET 636 RS	STRUCTURAL REPAIR TO UTILITY STRUCTURES	C.Y.
	<i>At the following locations:</i>	
	W. 190 St. / Wadsworth Ave.	
	Wadsworth Terrace Bet. W. 190 St. - Fairview Ave.	
	Wadsworth Terrace Bet. W. 190 St. - Fairview Ave.	
	Fairview Ave. / Wadsworth Terrace	
	AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE	
	Total quantity for CET 636 RS = 16	
CET 700	SPECIAL MOD. OF WORK METHODS TO ACCOMM./ PROTECT U.G. FACILITIES WITH LIMITED COVER	C.Y.
	<i>At the following locations:</i>	
	Wadsworth Terrace Bet. W. 190 St. - Fairview Ave.	
	AS SHOWN ON CONTRACT DRAWINGS AND DIRECTED BY A CON EDISON REP.	
	Total quantity for CET 700 = 313	
CET 710.1	REMOVAL OF ABANDONED UTILITY STEEL/CAST IRON/PLASTIC, UP TO AND INCL. 12" DIAMETER PIPES	L.F.
	<i>At the following locations:</i>	
	Wadsworth Terrace Bet. W. 190 St. - Fairview Ave.	
	Total quantity for CET 710.1 = 542	

CON EDISON SCOPE OF WORK
SUPPORT AND PROTECTION

HWMP2017

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Wadsworth Terrace Bet. W. 190 St. - Fairview Ave.

CET 802B	SPECIAL MODIFICATION OF WORK FOR INSTALLATION OF NEW CURBS	L.F.
	<i>At the following locations:</i>	
	Wadsworth Terrace Bet. W. 190 St. - Fairview Ave.	
	AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE	
	Total quantity for CET 802B = 199	
CET 1008V	8" VERTICAL OR ROLLED WATER MAIN OFFSET	EA
	<i>At the following locations:</i>	
	Fairview Ave. / Wadsworth Terrace	
	AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE	
	Total quantity for CET 1008V = 2	
CET 1012V	12" VERTICAL OR ROLLED WATER MAIN OFFSET	EA
	<i>At the following locations:</i>	
	W. 190 St. / Wadsworth Ave.	
	AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE	
	Total quantity for CET 1012V = 1	
CET 1020V	20" VERTICAL OR ROLLED WATER MAIN OFFSET	EA
	<i>At the following locations:</i>	
	Fairview Ave. / Wadsworth Terrace	
	AS ENCOUNTERED AND DIRECTED BY A CON EDISON REPRESENTATIVE	
	Total quantity for CET 1020V = 2	

ECS

For Information Only

DECEMBER 2013**HWMP2017- Reconstruction of Wadsworth Terrace
Borough of Manhattan****Schedule U-2: Scope of Work for CET items**

CET ITEM	UNITS	TOTAL	DESCRIPTION
100.2	EACH	1	UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTIONS AND/OR TEST PITS (TYPE .2)
108.2	EACH	1	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING 12" DIAMETER (TYPE .2)
225.1B	EACH	1	INSTALLATION OF CATCH BASINS WITH UTILITY INTERFERENCES
700	CY	100	SPECIAL MODIFICATION OF WORK METHODS TO ACCOMMODATE PROTECT UNDERGROUND FACILITIES WITH LIMITED COVER
8.02B	LF	50	SPECIAL MODIFICATION OF WORK METHODS FOR INSTALLATION OF NEW CURB

HWMP2017- Reconstruction of Wadsworth Terrace**Borough of Manhattan****Schedule U-2: Scope of Work for CET items****CET 100.2**

**UTILITIES CROSSING TRENCH FOR CATCH BASIN CHUTE CONNECTIONS
AND/OR TEST PITS (TYPE .2)**

@ THE FOLLOWING LOCATIONS

QTY(EA)

E SIDE OF FAIRVIEW AVE BTWN WADSWORTH TERR & ST NICHOLAS AVE

1

CET 100.2

TOTAL

1

CET 108.2

**UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO AND INCLUDING
12" DIAMETER (TYPE .2)**

@ THE FOLLOWING LOCATIONS

QTY(EA)

SWC OF INT OF FAIRVIEW AVE & WADSWORTH TER

1

CET 108.2

TOTAL

1

CET 225.1B

INSTALLATION OF CATCH BASINS WITH UTILITY INTERFERENCES

@ THE FOLLOWING LOCATIONS

QTY(EA)

E SIDE OF FAIRVIEW AVE BTWN WADSWORTH TERR & ST NICHOLAS AVE

1

CET 225.1B

TOTAL

1

CET 700

**SPECIAL MODIFICATION OF WORK METHODS TO ACCOMMODATE/PROTECT
UNDERGROUND FACILITIES WITH LIMITED COVER**

@ THE FOLLOWING LOCATIONS

QTY(CY)

AS ENCOUNTED AND DIRECTED BY THE ECS FIELD REPRESENTATIVE

100

CET 700

TOTAL

100

CET 8.02B

SPECIAL MODIFICATION OF WORK METHODS FOR INSTALLATION OF NEW CURB

@ THE FOLLOWING LOCATIONS

QTY(LF)

AS ENCOUNTED AND DIRECTED BY THE ECS FIELD REPRESENTATIVE

50

CET 8.02B

TOTAL

50

FOR INFORMATION ONLY
ENGINEER'S ESTIMATE OF QUANTITIES AND TYPES OF INTERFERENCE
TIME WARNER CABLE OF NEW YORK CITY
HWMP2017
Wadsworth Terrace
Borough of Manhattan

CET ITEM	DESCRIPTION	UNITS	ESTIMATED QUANTITY
108.1	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO & INCLUDING 12" DIAMETER	EA	1
700	SPECIAL MODIFICATION OF WORK METHODS TO ACCOMMODATE/PROTECT UTILITY FACILITIES WITH LIMITED COVER	CY	8
802A	SPECIAL CARE EXCAVATION & RESTORATION FOR SIDEWALK WORK	SF	92
802B	SPECIAL CARE EXCAVATION & RESTORATION FOR CURB WORK	LF	8

TIME WARNER CABLE
SUPPORT & PROTECTION
HWMP2017
Wadsworth Terrace
Borough of Manhattan

CET 108.1	UTILITIES CROSSING TRENCH FOR WATER MAIN UP TO & INCLUDING 12" DIAMETER At the following location: Wadsworth Terrace N/O West 190th Street	EA 1
	Total quantity for CET 108.1	1
CET 700	SPECIAL MODIFICATION OF WORK METHODS TO ACCOMMODATE/PROTECT UNDERGROUND FACILITIES WITH LIMITED COVER At the following location: Wadsworth Terrace N/O West 190th Street	CY 8
	Total quantity for CET 700	8
CET 802A	SPECIAL CARE EXCAVATION & RESTORATION FOR SIDEWALK WORK At the following location: Wadsworth Terrace N/O West 190th Street	SF 92
	Total quantity for CET 802A	92
CET 802B	SPECIAL CARE EXCAVATION & RESTORATION FOR CURB WORK At the following location: Wadsworth Terrace N/O West 190th Street	LF 8
	Total quantity for CET 802B	8

SECTION U-3

(NO TEXT IN THIS SECTION)

TEST PITS

- (1) **THESE TEST PITS DETAIL EXISTING CONDITIONS (AS OF BID DATE) OF UTILITIES AND OTHER SUBSURFACE FACILITIES AT LOCATIONS AS SHOWN ON THE TEST PIT LOCATIONS PLAN OF THE CONTRACT DRAWINGS.**
- (2) **DEPTHS OF FACILITIES ARE FROM EXISTING ROADWAY AND SIDEWALK ELEVATIONS AS SHOWN, OFFSETS ARE FROM EXISTING CURB, PROPERTY AND BUILDING LINES, AS SHOWN.**
- (3) **RELEVANT ITEMS ARE NOTED ON EACH TEST PIT DIAGRAM.**

(NO TEXT IN THIS SECTION)

END OF ADDENDUM No.5

**This Addendum consists of Thirty (30) pages
And Seven (7) sheets of Contract Drawings**





NEW YORK CITY DEPARTMENT OF
DESIGN + CONSTRUCTION

INFRASTRUCTURE DIVISION
BUREAU OF DESIGN

VOLUME 3 OF 3

PROJECT ID: HWMP2017

RECONSTRUCTION OF WADSWORTH TERRACE

FROM WEST 190TH STREET TO FAIRVIEW AVENUE

INCLUDING WATER MAIN, STREET LIGHTING AND TRAFFIC WORK

Together With All Work Incidental Thereto
BOROUGH OF MANHATTAN
CITY OF NEW YORK

Contractor.

Dated _____, 20____
